

Fundamentals of  
**Conservation  
Biology**

Third Edition

MALCOLM L. HUNTER, JR. and JAMES GIBBS

# Fundamentals of Conservation Biology

For Aram Calhoun, who inspires us with her delight in the natural world and  
dedication to conservation.

# Fundamentals of Conservation Biology

Third Edition

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# Preface

*11:15 P.M. 20 June 1990* I'm not used to being this hot so late at night. I don't know the sounds coming through the window . . . crickets? . . . frogs? . . . a wheezing air-conditioning system? I don't know what to do.

I'm in a dorm at the University of Florida; the fourth meeting of the Society for Conservation Biology has just ended; I'm sifting through various conversations of the last 4 days. I wonder if I should postpone my plans to write a sequel to my book on managing forests for biodiversity – a sequel that would focus specifically on tropical forests. At the meeting I've discovered that professors are using my book for a much broader range of conservation courses than I ever anticipated and that tells me that there is a niche to be filled.

Apparently various multiauthored books on conservation biology topics are not filling the need for a basic text. Perhaps I should add a brick to the foundation of the discipline before pursuing a more specific project. Now if I can rough out an outline before I get too sleepy.

*27 August 1993* Over three years later and I have just finished the first draft. Actually the writing went reasonably quickly (I did not begin in earnest until May of 1992) because I chose a sort of stream-of-consciousness approach in which I wrote only what I knew or thought I knew. Now I look forward to spending the next several months combing the literature, correcting, refining, and updating this draft. It might seem that this approach would make it easier to convey my original thinking about conservation biology as opposed to reporting on everyone else's thinking. Perhaps so, but I claim no truly original thoughts. I tend to think each person is no more than a unique melting pot for a vast community of ideas.

Unfortunately, I have already nearly reached my target for final length and thus keeping the book to a reasonable size and cost will be a challenge. Perhaps the best index of this is the fact that in *Wildlife, Forests, and Forestry*, I described managing forest ecosystems for biodiversity in 370 pages; in this draft the subject is covered in four pages. It has been particularly difficult trying to balance spanning the breadth of conservation biology with plumbing its depths. I have tended to err on the side of breadth on the assumption that most readers will use the book as part of a conservation biology class, and the instructor can easily focus on depth, for example, by describing applications of the principles outlined here.

*24 August 1994* Sifting through the literature of conservation biology has been great fun, although it has entailed some difficult choices. If many of my readers will be North American, should I keep things familiar and easy by illustrating general principles with redwoods, bald eagles, and well-known foreign species like tigers? Or should I try to open some vistas by describing fynbos, huia, and thylacines? Many years of working abroad predispose me toward the latter approach, but I have curbed this temptation to some degree, partly to save the space it would take to describe the fynbos, and partly because I have tried to select literature that will be reasonably accessible.

As I enter the final stages of production I often think about my readers and how they will use this book. My primary audience is students who have some background in biology and ecology but who have not taken a previous conservation biology course. I also hope to reach some general-interest readers and have tried to keep the prose fairly lively so that they can manage at least half an hour of bedtime reading before dozing off.

This is an opportune place to explain two features of the book. First you will note that there are no scientific names in the text; they are all in a separate list of scientific names, which also constitutes an index to all the species mentioned in the text. Furthermore, the 'literature cited' section constitutes an index to authors, because after each citation the pages where it is cited are listed.

*27 December 1994* Two more days before the book goes out to copy-editing, and it is time to start listing all the scores of people who have helped, in an acknowledgment section. I particularly want to thank Andrea Sulzer, the friend and artist who illustrated the book; the Department of Wildlife Ecology of the University of Maine, where a relationship that began in 1970 has recently led to a professorial chair endowed by the Libra Foundation; and Aram Calhoun who has shared all but a month of our marriage with this book. Finally a special thanks to everyone who buys this book for all its royalties are allocated to a fund to support conservation students from developing countries.

When I began writing this book my goal was to fill a gaping hole, but now my colleagues have produced two other credible conservation biology textbooks (Primack 1993, Meffe and Carroll 1994), and more are in the pipeline. Still, I have absolutely no regrets about having embarked on this project for I have thoroughly enjoyed it, and if a small portion of my enthusiasm reaches my readers, it will be well worth the effort.

*Second edition: January 26, 2001* Before undertaking this second edition I was rather dreading the prospect of replotting old ground, tearing apart my first edition and putting it back together again. In hindsight, the last nine months of sorting through the conservation biology literature have been rather enjoyable, especially after I realized that it was okay to be selective in my reading. With 651 new references there is a lot of fresh material to chew on here; most of it is very recent (my last trip to the library was this morning) although I have also added some older papers from the "classical period" of conservation biology (the 1980s). Some scepticism about the "authority" of information found on the world wide web has severely limited my use of these sources, but on the other hand I have provided many URLs to give readers a gateway to the organizations that make conservation biology happen. A new glossary and many new illustrations are also prominent features of this edition.

*Third edition: 15 May 2006* I am returning home from a four-month sabbatical in Australia, where weekends were spiced with pursuing wombats, whale sharks, and lyre birds, just in time to work on the production phase of this book. Two years ago when I decided to invite a coauthor to join me it took about ten seconds to identify James Gibbs and, the next day, it took even less time for him to accept. I have worked with James for 25 years, since he was a new student at the University of Maine and I was a new professor, and it has always been a pleasure. James' expertise with genetics and population biology, complementary experiences with field conservation projects

around the world, and his willingness to dive into the social sciences was just what was needed to strengthen this edition.

Another salient feature of this edition is a strong shift from Andrea Sulzer's pen-and-ink drawings to color photographs. Finding photos for this edition has been an enjoyable challenge and we are grateful to the many photographer/artists whose works appear here. They are named in the legends but special recognition must go to Marc Adamus whose photos grace the cover, three section frontispieces, and two other figures. We have also expanded our visual breadth to include many other artists—ranging from the anonymous cave painters of Lascaux to Monet and Rubens and particularly, Debbie Maizels and the staff of Emantras.

Of course the substance of revising any textbook lies in new literature and the field of conservation biology remains vigorous in this regard. The 762 new references added are just a small sample of the high-quality research that characterizes the discipline. We have also added three new case studies, holding back somewhat because we think case studies should largely be generated and presented by faculty and students based on their own experiences and interests. Overall the book is about 6% longer than previous editions as measured by the number of words, but 50 pages shorter because of more compact formatting.

As with earlier editions, the royalties are going into a fund to support conservation students from developing countries, most recently the fieldwork of a student from Argentina studying cavity-nesting birds in the Andes for her dissertation. In time the royalties will be sufficient for an endowed, perennial source of support for similar aspiring conservationists.

*M. L. Hunter, Jr.*



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On the production side many folks have worked hard to create this book. These include, in roughly chronological order: Jane Humphreys, Simon Rallison, Bob Calhoun, Julie Dodge, Chris Halsted, Shirley Moulton, Lincoln Hunt, Shawn Girsberger, Elizabeth Frank, Nancy Whilton, Sarah Graves, and Janey Fisher.

Last but not certainly not least, are our partners in life and conservation, Aram Calhoun and Thane Joyal, who have helped with this book in many direct and indirect ways.









## **PART I**

---

# Biodiversity and Its Importance

**Think about our world and its wild things: a marsh splashed and flecked with the colors of flowers and dragonflies, the rhythmic roar and swoosh of waves punctuated by the strident calls of gulls, a dark forest pungent with the odors of unseen life teeming below a carpet of leaves and mosses. Imagine a future world utterly dominated by concrete and regimented rows of crops – a monotonous, ugly, and unhealthy home for us and the species we have chosen for domestication. This book is about hope in the face of forces that would degrade our world. It is about the rich tapestry of life that shares our world now and about how we can maintain it.**





## CHAPTER 1

# Conservation and Conservation Biology

## What Is Conservation?

Since the beginning of humanity people have been concerned about their environment and especially its ability to provide them with food, water, and other resources. As our numbers have grown and our technology has developed, we have become increasingly concerned about the impact we are having on our environment. Newspapers herald the current issues:

- “Conservationists call for tighter fishing regulations.”
- “Ecologists describe consequences of warmer climates.”
- “Environmentalists criticized by chemical industry.”
- “Preservationists want more wilderness.”

They also reveal an ambiguous terminology. Are we talking about conservation or preservation? Are the issues ecological or environmental? Students deciding which university to attend and which major to select are faced with a similarly bewildering array of choices – soil and water conservation, environmental studies, natural resource management, conservation biology, wildlife ecology, human ecology, and more – that intertwine with one another and often cut across traditional departmental and disciplinary lines. In this chapter we will try to resolve these ambiguities by examining how they are rooted in human history and ethics. To start on common ground we will briefly examine some of the differences and similarities among conservationists, preservationists, environmentalists, and ecologists. In the second part of the chapter we will see where conservation biology fits into this picture.

A *conservationist* is someone who advocates or practices the sensible and careful use of natural resources. Foresters who prudently manage forests, hunters and fishers who harvest wild animal populations sustainably, and farmers who practice the wise use of soil and water are all conservationists. Citizens who are concerned about the use of natural resources are also conservationists, and they often assert that the activities of foresters, fishers, farmers, and other natural resource users are not prudent, sustainable, or wise. In theory arguments over who is, or is not, a conservationist should turn on the issue of what is sensible and careful. In practice, the foresters, farmers, ranchers, etc. have largely ceded the title “conservationist” to their critics. They have become reluctant to call themselves conservationists and instead use the word to describe the people they consider adversaries.

A *preservationist* advocates allowing some places and some creatures to exist without significant human interference. Most people accept the idea that conservation encompasses setting certain areas aside as parks and maintaining certain species without harvesting them. The divisive issues are how many areas and which species. Many resource users believe that enough areas have already been closed to economic use, and they use “preservationist” as a negative term for people they consider to be extremists. Because of this pejorative use relatively few people call themselves preservationists. People who find themselves labeled preservationists by others usually prefer to think of preservation as just one plank in their platform as conservationists.

An *environmentalist* is someone who is concerned about the impact of people on environmental quality. Air and water pollution are often the proximate concerns; human overpopulation and wasteful use of resources are the ultimate issues. There is enormous overlap between environmentalists and conservationists. Many environmentalists would say that environmentalism encompasses conservation, while many conservationists would say the reverse. The difference is a matter of emphasis. By focusing on air and water pollution and their root causes, environmentalists often emphasize urban and suburban situations where human-induced problems and human well-being are paramount. Because conservationists focus on natural resource use, they tend to emphasize rural areas and wildlands, as well as their associated ecosystems and organisms, including people.

Traditionally, an *ecologist* is a scientist who studies the relationships between organisms and their environments. However, in the 1970s the term developed a second meaning when the public failed to distinguish between environmentalists and the scientists (ecologists) who provided the scientific basis for the environmental movement. The confusion was understandable because most ecological scientists are also, politically speaking, environmentalists. Now “ecologist” is often used in the popular press as a synonym for “environmentalist.” To make a hairsplitting distinction we can let the second definition of ecologist be a person who is concerned about the relationships between organisms (including people) and their environments.

## A Brief History of Conservation

The roots of conservation are lost in prehistory (Fig. 1.1). No doubt there was a time when human reason, growing ever more sophisticated through the millennia, began to extend the idea of deferred gratification (“save this fruit to eat tomorrow rather than now”) over much longer periods. “Leave these tubers so there will be more next year when we pass this place.” “Take this calf home so that we can raise it and eat it next winter when it is bigger and we have little food.” Certainly, such practices were simple, almost analogous to the food hoarding exhibited by many animals, but they represent conservation nevertheless. The roots of preservation are probably quite ancient too. With the development of spirituality and castes of priests and priestesses, some species were given special status as gods or totems that protected them from exploitation. Sometimes, large areas such as sacred mountains were decreed off-limits or visited only on religious occasions (Fig. 1.2).

**Figure 1.1** The roots of conservation can probably be found among the earliest *Homo sapiens*, such as the people who painted this mural in the Lascaux cave in France. (Photo from Art Resource, New York.)



Leaping forward, history records many examples of conservation throughout the ages and across cultures. For example, the biblical story of Noah's ark remains a popular metaphor for conservation. The Bible also contains the first-known game conservation law:

If you come on a bird's nest, in any tree or on the ground, with fledglings or eggs, with the mother sitting on the fledglings or on the eggs, you shall not take the mother with the young. Let the mother go, taking only the young for yourself, in order that it may go well with you and you may live long. (Deuteronomy 22:6–7)

(In other words, don't kill mother birds.)

A far broader law was promulgated by Asoka, emperor of India 274–232 BCE (Before Common [or Christian] Era):





**Figure 1.2** Mount Fuji has been a sacred mountain for the Buddhists and Shintoists of Japan for many centuries. (Painting by Katsushika Hokusai from the British Museum, London; photo from HIP/Art Resource, New York.)

Twenty-six years after my coronation I declared that the following animals were not to be killed: parrots, mynahs, the aruna, ruddy geese, wild geese, the nandimukha, cranes, bats, queen ants, terrapins, boneless fish [shrimp] ... tortoises, and porcupines, squirrels, twelve-antler deer, ... household animals and vermin, rhinoceroses, white pigeons, domestic pigeons, and quadrupeds which are not useful or edible. ... Forests must not be burned.

Many laws focused on regulating rather than prohibiting the exploitation of species. For example, Middle Eastern pharaohs issued waterfowl hunting licenses, and night hunting was banned in the city-states of ancient Greece (Alison 1981). Early regulations emphasized trees and birds, mammals, and fish caught for food, but all species and whole ecosystems benefited from the popularity of declaring preserves. Starting at least 3000 years ago with Ikhnaton, king of Egypt, and continuing with the royalty of Assyria, China, India, and Europe, as well as with the Greeks, Romans,

Mongols, Aztecs, and Incas, history has recorded many decrees setting aside land to protect its flora and fauna (Alison 1981).

Conservation was an issue during the period when European states were colonizing the rest of the world, because colonization often led to disruption of traditional systems of natural resource use and rapid overexploitation, despite the protestations of some sensitive, farsighted people who argued for moderation. This was particularly true on some small, tropical islands such as Mauritius and Tobago, where the consequences of overexploitation became apparent very quickly (Grove 1992, 1995). Freedom from feudal game laws was often a significant stimulus to colonization. Imagine how attractive the promise of abundant, free game would seem to people who feared for their lives whenever their appetite for meat led them to poach one of the king's deer. The promoters of colonization knew this, and their claims became so exaggerated that one writer felt compelled to set the record straight:

I will not tell you that you may smell the corn fields before you see the land; neither must men think that corn doth grow naturally (or on trees), nor will the deer come when they are called, or stand still and look on a man until he shoot him, not knowing a man from a beast; nor the fish leap into the kettle, nor on the dry land, neither are they so plentiful, that you may dip them up in baskets, nor take cod in nets to make a voyage, which is no truer than that the fowls will present themselves to you with spits through them. (Leven 1628, quoted from Cronon 1983)

Of course, bountiful game did not fare well under the onslaught of hungry colonists and native people armed with modern weaponry, and soon the colonists found that they had to regulate themselves. As early as 1639 it was illegal to kill deer between May 1 and November 1 in parts of Rhode Island (Trefethen 1964), and the Cape Colony in southern Africa had game laws by 1822 (MacKenzie 1988). This basic pattern – human populations growing, expanding into new areas, developing new technology, and then responding to overexploitation with an array of ever more restrictive conservation regulations – has been repeated across the globe and continues to this day.

With increasing human impacts, the abuse of resources other than trees and large animals also began to be recognized, albeit slowly for species that lack obvious economic value, such as most invertebrates, small plants, amphibians, and reptiles. Aldo Leopold (1949) called for saving every species with his well known admonition “To keep every cog and wheel is the first precaution of intelligent tinkering,” but it was not until the 1960s and 1970s that the idea of “endangered species” became a major issue for conservationists. During this period many nations passed laws (e.g. the United States Endangered Species Act) to form an umbrella under which all animal and plant species threatened with extinction can, in theory, benefit from conservation activities. In practice, however, smaller plants and animals still are not given equal treatment, and other biological entities, such as microorganisms, genes, and ecosystems, are usually not explicitly under the umbrella at all.

This brings us to the point of departure for conservation biology and this book, but first let us briefly return to preservation, environmentalism, and ecology to see where they fall in this history of conservation.

## Preservation

Although the early roots of preservation may lie in the proscriptions of religious leaders and royalty, many people would identify the establishment in 1872 of Yellowstone National Park, the world's first national park, as the beginning of governmental policy codifying the value of preservation. Here were 9018 square kilometers of evidence that society recognized the importance of removing some natural resources from the path of economic development. The national park movement has developed throughout the world and has been modified in many ways – some preserves are off-limits even to tourists, while some parks, especially in Europe, maintain traditional cultural practices such as historic livestock grazing regimes – but the underlying value system remains largely intact. This same preservationist value system has also ended the exploitation of many species. Some of these are species on the brink of extinction; some are simply species for which preservation seems preferable to utilization. Many countries, for example, have banned the harvesting of all songbirds even though some species could be harvested in a sustainable manner.

## Environmentalism

The first environmentalists were probably citizens of our earliest cities, more than 3000 years ago, who complained of water pollution and demanded the construction of sewer systems. The industrial revolution accelerated urbanization and brought its own problems, such as coal burning and factory discharges into water bodies. Environmental issues became much more high profile after the publication of Rachel Carson's 1962 treatise on pesticides, *Silent Spring*, and a global environmental movement finally coalesced at the first United Nations Conference on the Human Environment, in Stockholm in 1972. This event marked the beginning of an era of considerable environmental activity at the global, national, and local levels, with many organizations created, laws passed, and treaties ratified.

## Ecology

As is true of most sciences, elements of ecology can be traced to Hippocrates, Aristotle, and other Greek philosophers, but it was not until 1869 that the word "ecology" was coined. Scientific societies of ecology and ecology journals followed in the early 1900s, and ecology soon proved useful in developing a scientific basis for forestry and other areas of natural resource management. However, ecology did not move into the public eye until the advent of environmentalism. As the environmental movement spawned new government agencies, advocacy groups, and consulting firms, universities educated large numbers of ecologists to fill these organizations. Schools at all levels began informing students about the relationships between organisms and their environment. Consequently, there are now many professional ecologists at work solving environmental problems and many more people who call themselves ecologists out of concern for these issues.

## An Overview of Conservation Ethics

It is easy to describe the history of conservation in terms of political benchmarks such as the passage of laws, but these are only a manifestation of a more fundamental process: the evolution of human value systems or ethics. We will encounter conservation ethics in many chapters and will focus on the topic in Chapter 15, “Social Factors,” but a brief preview here will complement our history of conservation and will provide a foundation for later chapters.

A milestone paper, “Whither conservation ethics?” by J. Baird Callicott (1990), placed conservation ethics into a historical context using the writings of three people – John Muir, Gifford Pinchot, and Aldo Leopold – to describe three ethics: the Romantic-Transcendental Preservation Ethic, the Resource Conservation Ethic, and the Evolutionary-Ecological Land Ethic, respectively (Fig. 1.3).



**Figure 1.3** Put yourself in the shoes of Aldo Leopold, John Muir, and Gifford Pinchot (depicted from left to right) to view the landscape opposite. How does this influence your perspective? (Photos from Aldo Leopold Foundation, USDA Forest Service, Yosemite National Park Archives, and M. Hunter.)



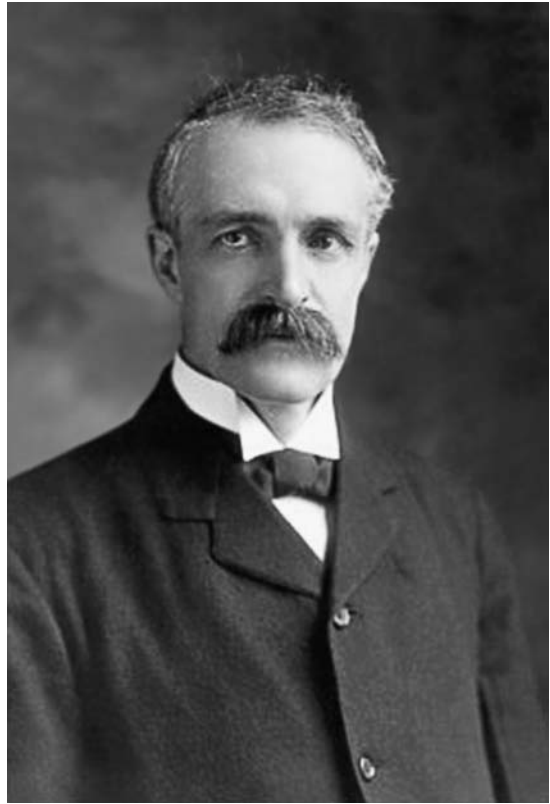


Figure 1.3 Contd.



The Romantic-Transcendental Preservation Ethic became the basis for political action in the hands of John Muir (1838–1914), the writer and naturalist who founded the Sierra Club and who was best known in some circles for climbing trees during storms to experience nature at its fullest. Muir believed that communion with nature brings people closer to God (thereby providing a “transcendent” experience) and that visiting ancient forests and alpine meadows for this purpose is morally superior to using them to cut timber or graze livestock. In other words, nature is a temple that is sullied by the economic activities of people. Obviously, such an ethic puts a high premium on establishing parks and similar areas where nature is preserved reasonably intact.

At about the same time that Muir was calling for the preservation of extensive lands, Gifford Pinchot (1865–1946) was formulating a very different value system, the Resource Conservation Ethic. Pinchot was a forester and politician, the founder of the US Forest Service. To Pinchot, nature consisted solely of natural resources and should be used to provide the greatest good for the greatest number of people for the longest time. This was not a call to plunder the land, but a call to use it in a way that distributes benefits fairly and efficiently among many people, rather than among a handful of lumber barons and cattle kings. It also advocated wise, judicious use of natural resources so that future generations would not be shortchanged. By recognizing aesthetics as a resource, the Resource Conservation Ethic even found room for a modest amount of preservation to accommodate Transcendental philosophers and Romantic poets. Given these precepts and a history of overexploitation of the nation’s natural resources, Pinchot believed that natural resources should be owned or regulated by the government.

Although there was a profound gap between Muir’s and Pinchot’s ethics, they both espoused an anthropocentric (people-centered) view of nature. They both wrote of nature’s utility – its *instrumental value* in the terminology of philosophers. One promoted nature as a source of spiritual enlightenment, the other as a source of commodities, but neither claimed that nature had *intrinsic value*, value independent of its usefulness. However, in his journals, which were published posthumously, Muir seemed to entertain the idea that nature may have intrinsic value as a work of God:

Why should man value himself as more than a small part of the one great unit of creation? And what creature of all that the Lord has taken the pains to make is not essential to the completeness of that unit – the cosmos? The universe would be incomplete without man; but it would also be incomplete without the smallest transmicroscopic creature that dwells beyond our conceited eyes and knowledge. (Muir 1916)

With the arrival of the science of ecology and the writings of Aldo Leopold (1886–1948) – founder of wildlife conservation as a professional discipline, a man who began his career eradicating predators, but ended it as a strong advocate of wilderness – one finds a utilitarian perspective of species being questioned:

Ecology is a new fusion point for all the sciences. ... The emergence of ecology has placed the economic biologist in a peculiar dilemma: with one hand he points out the accumulated findings of his search for utility or lack of utility in this or that species; with the other he lifts the veil from a biota so complex, so conditioned by interwoven cooperations and competitions, that no man can say where utility begins or ends. (Leopold 1939)

Leopold was saying that because nature is a complex system rather than a random set of species with positive, negative, and neutral values, each species is important as a component of the whole. In other words, species have instrumental value because of their utility in an ecosystem. This was the key idea that spawned the Evolutionary-Ecological Land Ethic. It was a fundamentally different idea that took Leopold's ethical vision beyond the choice of either preserving nature as inviolate or efficiently developing it. Muir wrote of the equality of species in religious terms; Leopold expressed equality in ecological terms. Pinchot (1947) stressed the dichotomy between people and nature ("there are just two things on this material earth – people and natural resources"); Leopold thought of people as citizen-members of the biotic system. Leopold's ideas gave people the right to use and manage nature *and* the responsibility of doing so in a manner that recognized the intrinsic value of other species and whole ecosystems. Indeed, he contended that the very tools that had been so frequently used to destroy the environment (namely the axe and the plow) could also be creatively applied to heal it, especially if informed by science.

All three of these ethics are still thriving. The Resource Conservation Ethic guides the actions of natural resource-based industries and their associated government agencies, although some would argue that the profit motive is too often the stronger guide. Many private conservation/environmental organizations are wedded to the Romantic-Transcendental Preservation Ethic, reflecting a membership that uses nature primarily for spiritual rejuvenation during brief forays out of the cities and suburbs. The Evolutionary-Ecological Land Ethic characterizes some conservation groups and government agencies (e.g. many park and wildlife agencies) that try to balance the needs of people and wildlife (Clark 1998). The idea that people have the rights and responsibility to manage nature carefully may be strongest in Europe, where the hand of humanity is conspicuous on virtually every landscape, and in developing countries, where the urgency of providing for the needs of poor, rural people is widely recognized.

In the conclusion to his essay, Callicott (1990) challenged conservationists with a provocative idea. If people are valid members of the biotic community as Leopold asserts, why do we turn to landscapes without people (at least without agricultural-industrial age people) to set benchmarks for what is natural? If beavers and reef-building corals can shape landscapes in positive ways, why can't people? Can people improve natural ecosystems? These are not simple issues, and we will return to them frequently in this book because this dynamic, often difficult, interface between people and nature is the crux of conservation and conservation biology.

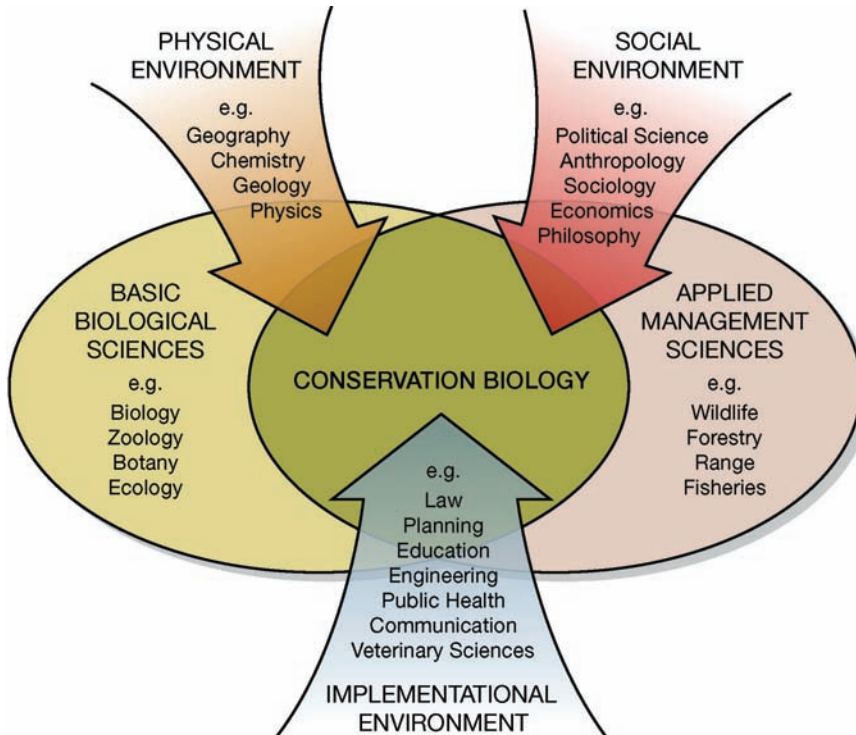
## What Is Conservation Biology?

Conservation biology is the applied science of maintaining the earth's biological diversity. A simpler, more obvious definition – biology as applied to conservation issues – would be rather misleading because conservation biology is both less and more than this. It is narrower than this definition because there are many biological aspects of conservation, such as biological research on how to grow timber, improve water quality, or graze livestock, that are only tangentially related to conservation biology. On the other hand, it reaches far beyond biology into disciplines such as philosophy, economics, and sociology that are concerned with the social environment in which we practice conservation, as well as into disciplines such as law and education that shape the ways we implement conservation (Jacobson 1990; Soulé 1985). Because conservation biology is a mission-driven discipline, conservation biologists often find themselves in the arena of political advocacy, a tendency that has earned conservation biology some criticism for straying too far from the accepted value-neutral domain expected of most scientific disciplines.

Forty years ago maintaining biological diversity meant saving endangered species from extinction and was considered a small component of conservation, completely overshadowed by forestry, soil and water conservation, fish and game management, and related disciplines. Now with so many species at risk and the idea of biological diversity extending to genes, ecosystems, and other biological entities, conservation biology has moved into the spotlight as the crisis discipline focused on saving life on earth, perhaps the major issue of our time.

Susan Jacobson (1990) devised a schematic model to illustrate the structure of conservation biology from an educational perspective (Fig. 1.4). As you can see, conservation biology sits between basic biologic sciences and natural resource sciences because it originated largely with basic biologists who have created a new, applied natural resource science. It is different from traditional natural resource sciences because it places relatively greater emphasis on all forms of life and their intrinsic value, compared with other natural resource sciences, which usually focus on a few economically valuable species (Soulé 1985). Like natural resource sciences, conservation biology is influenced by the physical sciences because it addresses issues with strong ecological and environmental linkages. Similarly, it is influenced by social sciences, law, education, and other disciplines because it operates in the world of human socio-economic-political institutions and seeks to change those institutions to allow people to coexist with the rest of the world's species.

This model also shows how students wishing to become conservation biologists need to focus on courses in the basic biologic sciences and the applied sciences of natural resource management while acquiring some understanding of the subjects that shape the arena within which conservation operates. These include physical sciences such as geology and climatology, social sciences such as economics and politics, and subjects such as law, education, and communication that provide a vehicle for changing the structure of society.



**Figure 1.4**  
A schematic view of the relationship between conservation biology and other disciplines. (Redrawn after Jacobson 1990.)

## A Brief History of a Young Discipline

The deepest roots of conservation biology are widespread but its emergence as a discipline is usually attributed to the First International Conference on Conservation Biology held in San Diego, California, in 1978, and to the book that followed, *Conservation Biology*, edited by Michael Soulé and Bruce Wilcox (1980). Eight years after this small beginning the Society for Conservation Biology was formed, and it launched a new journal, *Conservation Biology*, in 1987 (Fig. 1.5). The society and its journal flourished, and universities, foundations, private conservation groups, and government agencies nurtured this growth with an array of conservation biology programs (Jacobson 1990; Meine et al. 2006).

The founders of conservation biology had many more links to institutions of basic biological sciences (e.g. genetics, zoology, botany) than to natural resource management institutions and they wove some novel and diverse intellectual threads into the conservation tapestry. Ideas from evolutionary biology, population dynamics, landscape ecology, and biogeography provided a new understanding of the diversity of life, how it is distributed around the globe, and what most threatens it.

# *Conservation Biology*

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**Figure 1.5** The Society for Conservation Biology began publishing *Conservation Biology* in May 1987 and held its first conference that June.

## CASE STUDY

## Return of the Tortoises to Española<sup>1</sup>

The year is 1960. On the island of Española, a low dry expanse of eroding lava far to the southeast in the Galápagos Archipelago, a giant tortoise rests under a bush and gazes out to sea. The edges of her shell flare out dramatically – a distinctive characteristic of her lineage – but lichens cover the shell, a sign that she has not bred in decades. Moreover, her head lies weakly on her outstretched forelimbs, her body withering within her shell. Beyond the small bush sheltering her from the blazing sun hooves thud against rock and dust swirls as a herd of goats mills about. Kids bleat hungrily after their mothers. The island is devastated, and even the goats are starving. The magnificent stands of arboreal cactus that once crowned the island are gone, torn down and stripped of their pads. Gone also is the carpet of fragile herbs and grasses that once covered the island. Even the finches and mockingbirds that flitted about noisily in search of seeds and insects have mostly disappeared. Little remains but patches of prickly mesquite and expanses of exposed, powdery earth, from which a lava block occasionally protrudes, polished brightly by the shells and claws of thousands of generations of giant tortoises. But they too are now all gone. Seemingly only the old female tortoise remains.

By the 1950s the tortoises of Española Island had been given up as extinct. The island was low and accessible and the first stop for many whaling ships visiting the Galápagos in the 1800s. These sailing ships disgorged hungry sailors, who wobbled on their unstable “sea legs” deep into the trackless island, smoking clay pipes and clutching precious water supplies in fragile, hand-blown glass bottles. After much searching these sailors – mostly poor men conscripted from coastal villages along the northeastern coast of the United States – used make-shift stretchers to haul back down the island what may have been thousands of the giant tortoises collectively. These they rowed back in long boats to the ships and stored below decks for up to a year, without food or water, and, back under sail, the sailors slaughtered the tortoises one-by-one to provide occasional fresh meat for the often scurvy-ridden crew. After several decades of such depredations even the whaling ships stopped visiting Española once word got around that the tortoises were all gone. The introduction of goats to the island (presumably to supply another source of meat for future visits) made matters even worse. By the 1950s observations from boats passing the island of the enormous goat population and wasted landscape confirmed that the famous Española tortoises must be gone forever.

One person, however, held out hope. Miguel Castro had been recently appointed as the first tortoise warden ever in the Galápagos Islands. He had a tough task ahead of him: starting the first program to protect these magnificent reptiles, which had been subject to plunder for two centuries and remained mere sources of bush meat in the eyes of most local people. Castro sailed to Española and made a brief reconnaissance trip in August 1963 in hope that some tortoises might still exist. After much wandering around he found to his great surprise a single tortoise eating a torn-down cactus in the company of 15 goats. If there was one, perhaps there might be more. His curiosity piqued, Castro made a second trip in November 1963. Again he saw mostly goats, thousands of them, busily stripping bark from cactus tree roots, causing the cacti to fall over. Remarkably, he also found the same tortoise he had found in August. He then found another tortoise, in a different part of the island. The signs were positive that perhaps a small nucleus of tortoises might survive.

Further trips to Española located a few more individuals. In desperation, the director of the Charles Darwin Research Station, Roger Perry, ordered the removal of all animals that could be found to captivity at a safe location at the research station on another island. Some 14 were eventually located. Once they were together in captivity mating quickly ensued among the tortoises, perhaps the first breeding to occur in a half-century! But producing young tortoises was not easy. No one had bred giant tortoises successfully in large numbers before. Even the best zoos of Europe and the United States had tried in vain.



Through trial and error, another individual, Fausto Llerana, along with many helpers and advisors, gradually developed tortoise husbandry at the Research Station. Nesting initially failed because improper soil was provided. Some females laid their eggs on the soil surface, where the eggs were promptly attacked by birds. Others used the sandy soil provided, but it did not adhere well and this prevented females from digging proper nests. They often slipped on the edges of their nests while attempting to gently arrange their eggs with their rear legs at the end of the laying process, damaging the eggs in the process. Better soil was provided and hatching rates improved.

Figuring out the best diets for the animals was also a challenge, as well as how to keep these large herbivores happy and well fed on a tiny budget. A variety of local foods was offered, and slowly a tortoise diet was developed that balanced palatability, nutrition, availability, and cost. Despite these initial problems the tortoises began to reproduce well, and the effort showed that it was quite possible to establish a functional breeding colony in the Galápagos. Moreover, natural climatic conditions, local plants for foods, and native soils for nesting eliminated most of the reproductive, veterinary, dietary, and housing costs experienced by “high tech” zoos overseas.

One lingering problem was that the small nucleus of adults remaining had a very skewed sex ratio. Either by chance or because males tend to be more aggressive, larger, and conspicuous to tortoise hunters, the remaining population was heavily skewed: 12 females and just two males. So the international search for more Española tortoises began. Old records were unclear but suggested that a group of tortoises had been removed from Española and shipped to San Diego around 1934–6. Perhaps some survived 35 years later in distant California. Further investigation revealed that there was indeed a male still alive from that shipment – a third known living male for Española. So-called “Diego” was large and still extremely vigorous. He was boxed and after several false starts trying to find an aircraft suitable to transport him, he was finally flown to Ecuador and then sailed back to Galápagos in August 1977. Diego was apparently thrilled to finally arrive back home after a 40-year absence: the captive population became surprisingly productive shortly after his arrival. By 1976, 88 young had been produced, increasing the population from 14 to 102 in just five years. Diego is to this day a prolific breeder.

The captive Española tortoises also had a major, unanticipated, and ancillary benefit: educational and public relations value. Local people, especially school children, and tourists visited the rearing center with its breeding enclosures and incubators. The tortoise conservation program was a huge hit for all. Visitors could see the little hatchlings clustered around their water baths. In one exhibit they could even walk among and view without barriers some older tortoises from other islands. The breeding program came to serve as a prime example of what needed to be done to preserve what remained and to reclaim some of what had been lost in Galápagos. It remains a major attraction to visitors.

Once numbers in captivity had built up and the Española tortoises were out of danger of outright extinction, the Galápagos National Park Service turned its attentions to remedying the problems on the tortoises' home turf back on Española. During the 1970s, about 3000 goats were eliminated from Española through an intense campaign by guards of the Galápagos National Park Service. Groups of guards with rifles, stout boots, and jugs of water would go to the field for weeks and even months and hunt down the goats. The terrain was difficult and the comforts were few. They lived largely off what they hunted. Huge numbers of goats were culled early in the process but the very last goats took many months to eliminate. The last goats were of course the wildest ones of all; the hunters knew each by its coat color. The guards eventually succeeded, through sheer dedication and skill, and now just skulls of goats litter the island, weathering to bright white in the blazing sun. The nutrients the goats took from the ecosystem are being slowly incorporated back into it as the plant and animal communities reorganize themselves and begin to recuperate after being turned upside down by goats for at least a century.

After the goats were removed the repatriations of the first hatchling tortoises began in 1975. Areas of the island with the last remaining patches of cactus were chosen as special release sites because the cactus provides critical food, moisture, and shade for young tortoises. Boxes of five-year-old hatchlings were transported first by sea and then up the rocky slopes of the islands in backpacks and released one-by-one. By August 2002, the captive

population had generated 1200 offspring that were repatriated to Española. The vegetation has recuperated rapidly, with the exception of the slow-growing cacti, which remain scattered and rare and evidently much reduced in number. But even the cacti are showing signs of recovery now that the tortoises are back to disperse their seeds. Of the repatriated tortoises, perhaps half die of natural causes but half survive and grow well. Most significantly, after nearly 30 years of reintroductions, some of the first repatriates have grown to adulthood. These repatriated tortoises are now reproducing among themselves on Española (Fig. 1.6). Nests can be found, as can, occasionally, a soft-shelled, tiny tortoise newly emerged from its nest.

The Española tortoises, once abandoned and quietly relegated to extinction, have returned to their native ground. They are now essentially taking care of themselves. Humans can step back out of the picture, after being a destructive force and then a healing one in it for two centuries. We can now let the tortoises and the ecosystem of which they are part resume interacting as they have done for thousands of years previously.

### Coda

Here on Española Island, conservation has succeeded. It was accomplished by a cadre of dedicated individuals, mostly Ecuadorian park managers and scientists with some foreign support, working with scarce funds. It is an example of the awesome power of humans to control the fate of wild life. It is also an example of how we can be both agents of destruction and benevolent stewards. This book seeks to explore these issues with you in much greater detail and to provide guidance on achieving positive outcomes for the many creatures around the world that, like the Española tortoises, are still struggling to survive.



**Figure 1.6** This Española tortoise was among the very first repatriated to the island as a small hatchling some 25–30 years ago, once goats had been removed and the island's habitat restored. It is likely one of the tortoises now responsible for the new hatchlings appearing again on the island, representing the first reproduction in this population in many decades. (Photo from J. Gibbs.)



By forming a new professional society dedicated to the maintenance of biological diversity, conservation biologists overlapped some of the domain of some older professional societies. This was especially true of The Wildlife Society, which, on the first page of the first issue of *The Journal of Wildlife Management*, described wildlife management as “part of the greater movement for conservation of our entire native flora and fauna” (Bennitt et al. 1937). Despite some broad goals the dominant concern of wildlife management in its early years was managing populations of mammals and birds for sport hunting. Today wildlife managers place an ever-growing emphasis on endangered and nongame species, including reptiles, amphibians, and sometimes even invertebrates and plants, but much, arguably most, of their attention is still focused on game species. Perhaps, if more wildlife managers had reached out to embrace all forms of life that are wild, not just the vertebrates, and to work with a constituency of all people who care about nature, not just hunters and anglers, then conservation biology might not have arisen as a separate discipline. This is especially apparent if one defines “wildlife” as “all forms of life that are wild,” a definition that overlaps substantially with biodiversity. (To make it clear that this book uses a broad definition, the original, two-word spelling, “wild life,” will be used.)

## Summary

People who care about nature and the natural resources we obtain from nature, such as clean air and clean water, come with many labels: conservationists and preservationists, environmentalists and ecologists. Although these people share many goals, their priorities can differ. For example, conservationists advocate the careful use of natural resources, whereas environmentalists often emphasize maintaining an uncontaminated environment. The history of conservation has a recurring theme: people being forced to limit their use of natural resources more and more as human populations grow and technological sophistication increases. Conservation history is marked by laws regulating our use of natural resources, but more fundamental is the evolution of our ethical attitudes toward nature and its intrinsic and instrumental values. Callicott (1990) has described three such ethical positions: (1) the Romantic-Transcendental Preservation Ethic (briefly, nature is best used for spiritual purposes); (2) the Resource Conservation Ethic (nature is natural resources to be carefully developed for human purposes); and (3) the Evolutionary-Ecological Land Ethic (people are part of nature and have both the right to change it and a responsibility for respecting the intrinsic value of other species and ecosystems in general). Conservation biology is the applied science of maintaining the earth’s biological diversity. It is a cross-disciplinary subject lying between basic biologic sciences and natural resource sciences. It differs from basic biologic sciences because it reaches out to economics, law, education, politics, philosophy, and other subjects that shape the human world within which conservation must operate. It differs from traditional natural resource sciences because it places relatively greater emphasis on all forms of life and their intrinsic value, compared with other natural resource sciences, which typically focus on a few species with high instrumental (usually economic) value.

### FURTHER READING

A comprehensive world history of conservation would be a weighty tome but one succinct treatment is available (Hughes 2001a). Many books cover certain times, phenomena, and places; for example, the sixteenth to eighteenth centuries (Richards 2003), the twentieth century (McNeill 2000), European colonization (Grove 1995), collapse of civilizations (Diamond 2005), Costa Rica (Evans 1999), south and southeast Asia (Grove et al. 1998), the United Kingdom (Moore 1987), and the United States (Nash 1990). Related books include histories of ecology (Worster 1994) and environmental ethics (Nash 1988). Also see Hughes (2001b) and the journal *Environmental History* for an entrée into the literature. Articles by Soulé (1985), Callicott (1990), and Jacobson (1990) form a foundation for the latter parts of the chapter and merit further reading. For relevant websites, check out the Society for Conservation Biology's website at [www.conservationbiology.org](http://www.conservationbiology.org) and some of the major international conservation groups at [www.iucn.org](http://www.iucn.org), [www.wwf.org](http://www.wwf.org), [www.nature.org](http://www.nature.org), [www.conservation.org](http://www.conservation.org), and [www.worldwildlife.org](http://www.worldwildlife.org).

### TOPICS FOR DISCUSSION

- 1 Do you think of yourself primarily as a conservationist, environmentalist, ecologist, or preservationist, or none of these? Why?
- 2 Which of the three ethics described by Callicott do you think will be predominant 50 years from now? Why? Would you feel comfortable promoting one of these ethics among your friends and family?
- 3 Name some organizations that exemplify each of the three ethics today. Have any of these organizations changed their philosophy?
- 4 Can you identify some specific examples of how each of the disciplines in Fig. 1.4 has contributed to conservation biology?



## CHAPTER 2

# What Is Biodiversity?

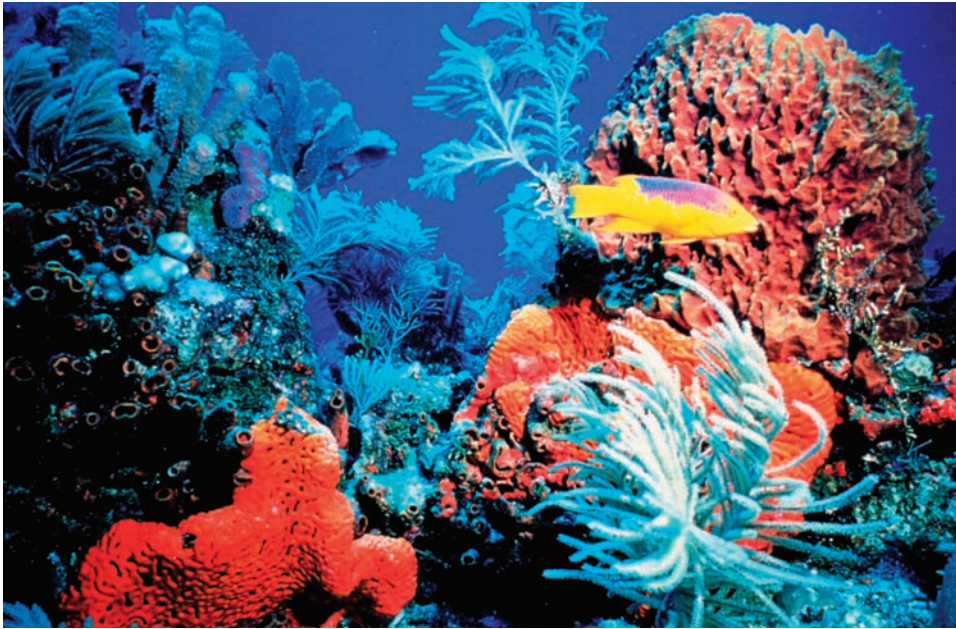
A tropical forest ringing with a cacophony of unseen frogs, insects, and birds; a coral reef seething with schools of myriad iridescent fishes; a vast tawny carpet of grass punctuated by herds of wildebeest and other antelope – these images are well known, and for many people they all revolve around a central issue and a single word, “biodiversity” (Fig. 2.1). Some have argued that “biodiversity” is too vague and trendy to be a useful word, but it does succinctly imply a fundamental idea: life on earth is extraordinarily diverse and complex. This idea is not as well captured in other words such as “nature” or “wild life.” Furthermore, “biodiversity” has entered the public vocabulary at a time when global concerns about the survival of life are at their zenith, and thus to many people the term carries a conviction to stem the loss of the planet’s life-forms.

Definitions of biodiversity usually go one step beyond the obvious – the diversity of life – and define biodiversity as the variety of life in all its forms and at all levels of organization. “In all its forms” reminds us that biodiversity includes plants, invertebrate animals, fungi, bacteria, and other microorganisms, as well as the vertebrates that garner most of the attention. “All levels of organization” indicates that biodiversity refers to the diversity of genes and ecosystems, as well as species diversity. The idea that biodiversity has levels of organization introduces a depth of complexity that we will explore in the next three chapters, “Species Diversity,” “Ecosystem Diversity,” and “Genetic Diversity,” after a brief overview here.

## Species, Genes, and Ecosystems

It is easiest to comprehend the idea of maintaining biodiversity in terms of species that are threatened with extinction. We know about blue whales, giant pandas, and whooping cranes, and we would experience a sense of loss if they were to disappear, even though most of us have never encountered them except in films and magazine articles. For most mosses, lichens, fungi, insects, and other small species that are unknown to the general public, it is much harder to elicit concern. Nevertheless, many people are prepared to extend some of the feelings they have for whales, pandas, and cranes to species they do not know, as an expression of their belief that all species have some intrinsic value.

Like tiny obscure species, genes are rather hard to understand and appreciate. These self-replicating pieces of DNA that shape the form and function of each individual organism are obviously important, but so are water, oxygen, and thousands of other molecules. It is not the genes themselves that conservation biologists value; it is the



**Figure 2.1** There are few places where biodiversity is as conspicuous as a coral reef. (Photo from the Florida Keys National Marine Sanctuary Staff.)

diversity that they impart to organisms that is so essential. If two individual strawberry plants have a different set of genes, one of them might be better adapted to fluctuations in water availability and thus would be more likely to survive a period of climate change. One of them might be less susceptible to damage from ozone and other types of air pollution. The fruit of one might be more resistant to rotting and therefore its progeny might prove useful to strawberry breeders and farmers. Perhaps the fruits simply taste different and thereby provide aesthetic diversity. The diversity of life begins with genetic differences among individuals and the processes of evolution that lead to differences among populations, species, and ultimately the higher taxonomic levels: genera, families, orders, and so on.

Unlike genes, ecosystems are large and conspicuous, and thus anyone with the most rudimentary understanding of ecology appreciates the value of lakes, forests, wetlands, and so on. Nevertheless, ecosystems can be hard to define in practice. Where do you draw the boundary between a lake and the marsh that surrounds it when many organisms are moving back and forth between the two? This sort of problem can complicate the role of ecosystems in biodiversity conservation. Conservation biologists often advocate protecting examples of all the different types of ecosystems in a region, but how finely should differences be recognized? Is an oak–pine forest ecosystem that is 60% oak and 40% pine appreciably different from one that is 40% oak and 60% pine? If you look hard enough, every ecosystem will be unique. The rationale for protecting ecosystem diversity also differs. Some conservationists advocate protecting ecosystems as independent biological entities that are not just a loose assemblage of species, whereas others think of protecting ecosystems simply as an efficient way to protect the species that compose the ecosystem.

## Structure and Function

The definition of biodiversity provided above emphasizes structure – forms of life and levels of organization – but sometimes ecological and evolutionary functions or processes are also included in a definition of biodiversity. For example, the Wildlife Society (1993) defines biodiversity as “the richness, abundance, and variability of plant and animal species and communities and the *ecological processes that link them with one another and with soil, air, and water*” (emphasis added).

The diversity of ecological functions is enormous. First, each of the earth’s millions of species interacts with other species, often many other species, through ecological processes such as competition, predation, parasitism, mutualism, and others. Second, every species interacts with its physical environment through processes that exchange energy and elements between the living and nonliving worlds, such as photosynthesis, biogeochemical cycling, and respiration. All of these functional interactions must total in the billions. The diversity of evolutionary functions is even more complex. It includes all these ecological processes because they are key elements of natural selection, in addition to processes such as genetic mutation that shape each species’ genetic diversity.

Functional biodiversity is clearly important. For example, a management plan designed to keep a species from becoming extinct will almost certainly fail in the long run unless the processes of evolution, especially natural selection, continue, allowing the species to adapt to a changing environment. Sometimes, focusing on a functional characteristic – for example, the hydrological regime of a wetland (Turner et al. 1999) – is the most efficient way to maintain the biodiversity of an ecosystem. Nevertheless, conservation biologists usually focus on maintaining structural biodiversity rather than functional biodiversity for two reasons. First, maintaining structural biodiversity is usually more straightforward. In particular, it is easier to inventory species than their interactions with one another. Second, if structural diversity is successfully maintained, functional biodiversity will probably be maintained as well. If we can maintain a species of orchid and its primary insect pollinator together in the same ecosystem, then we will probably have a pollination interaction between the two. Similarly, if we can maintain the orchid’s genetic diversity, we will probably have orchid evolution. The qualifier “probably” has been added here because one can imagine circumstances in which structural diversity is maintained without maintaining functional biodiversity in full. For example, natural selection may not have the opportunity to operate on the genetic diversity represented in the seeds that plant breeders store in a freezer to maintain the structural diversity of a crop plant species. On the other hand, it is much easier to think of circumstances where some major ecological processes are maintained, but structural diversity is severely degraded; for example, a plantation of exotic trees that maintain normal rates of photosynthesis and biogeochemical cycling.

In short, both the structural and functional aspects of biodiversity are important; however, if genetic, species, and ecosystem diversity are successfully maintained, then ecological and evolutionary processes will probably be maintained as well.

## Measuring Biodiversity

It is easy to provide a simple definition of biodiversity, such as “the variety of life in all its forms and at all levels of organization,” but this is only a starting point. To monitor biodiversity and develop scientific management plans, we should have a quantitative definition that allows us to measure biodiversity at different times and places.



| Ecosystem A  | Ecosystem B | Ecosystem C |
|--------------|-------------|-------------|
| Black oak    | Black oak   | Black oak   |
| White pine   | White pine  | White pine  |
| Red maple    | Red maple   | Red maple   |
| Yellow birch |             |             |

**Table 2.1**  
Hypothetical lists of species for three ecosystems.

The first step in measuring biodiversity is to determine which elements of biodiversity are present in the area of interest. Ideally, we would have a complete inventory, including genes, species, and ecosystems. In practice, logistical constraints commonly limit us to a partial list of species, often listing only vertebrates and perhaps vascular plants. (Sometimes a list of ecosystems is compiled, although the basis for distinguishing among the different types is often unclear; we will focus on the species level of biodiversity here for simplicity.) Lists can be tallied to provide a crude index of biodiversity. In Table 2.1, for example, ecosystem A is easily recognized as more diverse than B or C because it has four species instead of three. This characteristic is called *species richness* or just richness, and it is a simple, commonly used measure of diversity.

Ecologists also recognize a second component of diversity called *evenness*, which is based on the relative abundance of different species. In Table 2.2 ecosystem C is more diverse than B because in C the three species have similar levels of abundance, or high evenness. The concept of evenness is not as intuitively obvious as the idea of richness. It may help to think of a jury that has five women and five men versus one that has eight women and two men; the five plus five jury is more diverse because it is more even.

The ecological importance of species richness seems quite evident, especially if you consider the loss of richness through extinction. Similarly, most conservation

| Ecosystem    | A    | B    | C    |
|--------------|------|------|------|
| Black oak    | 40   | 120  | 80   |
| White pine   | 30   | 60   | 60   |
| Red maple    | 20   | 20   | 60   |
| Yellow birch | 10   |      |      |
| Richness     | 4    | 3    | 3    |
| Evenness     | 0.92 | 0.88 | 0.99 |
| <i>H</i>     | 0.56 | 0.39 | 0.47 |

**Table 2.2**  
Abundance of species (number/hectare) in three ecosystems and measures of richness, evenness, and the Shannon diversity index (*H*), one of many ways to combine richness and evenness quantitatively (Magurran 2004).

$H = -\sum p_i \log p_i$ , where  $p_i$  is a measure of the importance of the  $i$ th species.  
Evenness =  $H/H_{max}$ , where  $H_{max}$  is the maximum possible value of  $H$ .

biologists would be concerned about any process that reduced evenness, because this would mean uncommon species are becoming less common, while common species are becoming more common. To return to our jury metaphor, this would be analogous to losing a man from the jury that only had two men. Richness and evenness are often combined into a single index of diversity using mathematical formulae (Table 2.2) but, as we will see in the next section, such indices are of limited utility.

## The Mismeasure of Biodiversity

Often, being precise and quantitative will reveal solutions to a difficult problem, but using quantitative indices of diversity can be misleading when maintaining biodiversity is the goal. Consider the following three lists of species, each one representing (in very abbreviated form) a sample of the species found in three different types of ecosystems.

### Forest

Black oak  
Shagbark hickory  
Gray squirrel  
White-tailed deer  
Raccoon

### Marsh

Reed-grass  
Painted turtle  
Red-winged blackbird  
Muskrat

### Grassland

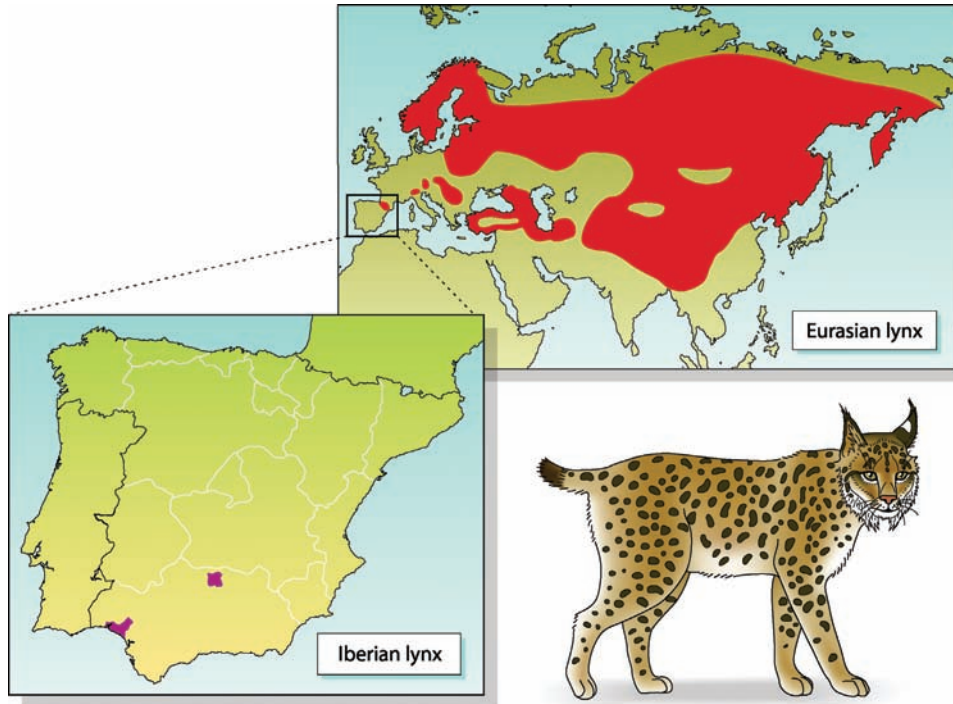
White prairie-clover  
Horned lark  
Black-footed ferret

If someone were asked which of these tracts is most important from the perspective of maintaining biodiversity, one measure of biodiversity – species richness – would suggest that the forest be chosen. However, if you knew that the black-footed ferret is one of the rarest mammals in the world and that all the other species listed are very common, you might well select the grassland tract. Why?

The simple answer is that all species may count the same when tallying species richness, but conservationists almost always consider additional information such as the likelihood of a species becoming extinct, its role in an ecosystem, and more. Consequently, all species are not equal from a conservation perspective. We will return to this issue in other chapters, but we need to build a foundation here by considering how conservation decisions are shaped by patterns of diversity and risk of extinction at different spatial scales.

## Biodiversity and Spatial Scales

*Extinction* usually refers to the disappearance of a species from the earth, but the term is also routinely used, with modifiers, to describe the disappearance of a species from a smaller area. For example, when a species disappears from a small area, this is called a *local extinction*, even though the area may later be recolonized by immigrants, e.g. when beavers return to a valley from which they had disappeared. On a somewhat larger scale one can refer to *regional extinction*. Extinctions that are not global in scope are sometimes called *extirpations*. Although conservation biologists are most concerned about global extinctions, smaller-scale extinctions are also of some concern because they may foreshadow extinctions on a larger scale and because they may represent a loss of genetic diversity. Another key term is *endemic*, which



**Figure 2.2**

Conservationists do not consider all species to be equally important. For example, the Iberian lynx, a species confined to southern Spain, is a higher priority for Spanish conservationists than the Eurasian lynx, which has a huge range that just reaches northern Spain.

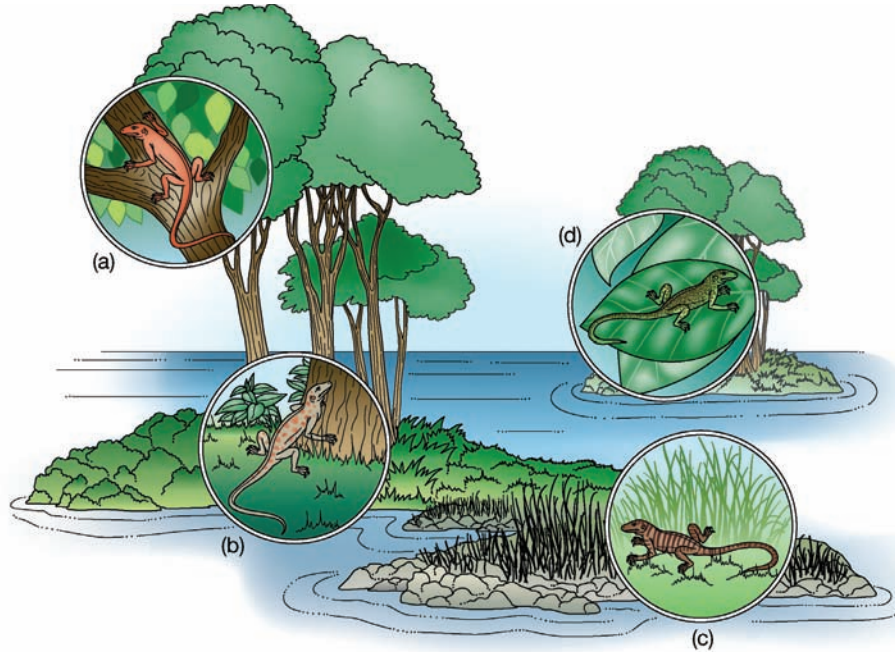
refers to species found only in a defined geographic area; thus, koalas are endemic to Australia. If a species is found only in a small area (e.g. many inhabitants of the Galápagos and other isolated islands), it is called a *local endemic*.

The risks of extinction at different spatial scales are a key consideration when deciding which endangered species are a high priority. The larger the scale at which an extinction is likely to occur, the more important it is to try to prevent it. For example, Spanish conservationists place a higher priority on protecting the Iberian lynx, a species endemic to southern Spain and Portugal that faces global extinction, than on the Eurasian lynx, a species threatened with regional extinction from the Pyrenees Mountains along the Spanish–French border, but still relatively secure in much of eastern Europe and Asia (Fig. 2.2).

The ecologist Robert Whittaker (1960) devised a simple system for classifying the scales at which diversity occurs; he described three scales of diversity as alpha, beta, gamma (A, B, C in Greek). *Alpha diversity* is the diversity that exists within an ecosystem. In Fig. 2.3 two hypothetical lizard species, spotted lizards and long-tailed lizards, illustrate alpha diversity by coexisting in the same forest, living at different heights within the forest. A third species, banded lizards, illustrates *beta diversity* (among ecosystems diversity) by occurring in a nearby field. Finally, if you imagine spotted, long-tailed, and banded lizards living on one island, and a fourth species, speckled lizards, living a thousand kilometers away on another island, this would represent *gamma diversity*, or geographic-scale diversity.



**Figure 2.3** The distribution of four hypothetical lizard species showing alpha diversity (within an ecosystem, A plus B), beta diversity (among ecosystems, A/B plus C), and gamma diversity (geographic scale, A/B/C plus D). See text.



We can use this hypothetical example to show how a narrow-scale perspective on maintaining biodiversity can lead would-be supporters of biodiversity astray. Some people might look at Fig. 2.3 and think, “There are more lizard species in forests, so let’s plant trees in the field.” By doing so they might increase the alpha diversity of the field from one lizard to two (from banded lizards to spotted and long-tailed lizards), but they might also decrease the beta diversity of the island from three species to two because banded lizards would no longer have any suitable habitat. Similarly, they might think, “Let’s bring some of the speckled lizards from the other island to our forest and have four species here.” However, the speckled lizards might outcompete and replace one of the local lizards or introduce a disease. The whole archipelago could end up with only three, two, or one lizard species instead of four and thus decreased gamma diversity.

The idea of spatial scale is so fundamental to maintaining biodiversity that a mnemonic phrase is worth remembering: “Scale is the tail that *w-a-g-s* biodiversity” (*w*, within ecosystem diversity; *a*, among ecosystem diversity; *g-s*, geographic-scale diversity).

Diversity components usually vary dramatically from one scale to another, but not always. Take the extreme case of the flowering plants of Antarctica. They include just two species – a grass, *Deschampsia antarctica*, and a cushion-forming plant, *Colobanthus quintensis* – that usually co-occur at the same sites. This is a very rare case where alpha and gamma diversity are the same.

Perspicacious readers may think that some intuitively obvious ideas are being belabored here, but these ideas are frequently overlooked in the real world of natural resource management. For example, natural resource managers who manage large tracts of contiguous forest often claim that they can increase the biodiversity of their forest by cutting moderate-sized patches in their forest (Hunter 1990). This claim is usually true; cutting some patches in a mature forest typically increases species richness by providing new habitats for many early successional species, while most of the

species associated with a mature forest ecosystem will persist in the remaining uncut forest. On the other hand, what about the few forest species that may not survive after cutting? For example, some plant species may disappear because deer populations often increase dramatically after cutting (Miller et al. 1992; Kirby 2001). Global populations of some species found in the interior of mature forests are probably declining as large tracts of unbroken forest become scarcer. If they become extinct, then global diversity will have been reduced, while the beta diversity of some forested landscapes was being increased. In sum, whenever we manipulate diversity at a local scale, we should consider the consequences at a larger scale and not rely on simple measurements of local biodiversity to judge the outcome. The following case study illustrates this issue well.

## Biodiversity Verbs

People change, manipulate, and manage the world and, consequently, affect biodiversity. Most of our activities have a negative impact on biodiversity; conservation biologists promote positive actions and use a variety of verbs to describe these activities. The verb *maintain* is dominant in this book because a major goal of conservation biology is to keep all the elements of biodiversity on earth, despite human-induced changes that tend to diminish biodiversity. In this section we will evaluate some alternative verbs that are often encountered in the conservation biology literature. This may seem like a pedantic exercise, but some verbs carry implications that are not always consistent with the goal of maintaining biodiversity. For example, to *maximize* biodiversity implies manipulations such as increasing the alpha diversity of an ecosystem, even importing exotic species, without considering the big picture. What is the natural level of biodiversity in that type of ecosystem? What will be the consequences for biodiversity at a larger scale? Manipulating the lizard populations in Fig. 2.3 is a good example of this. To *increase* or to *enhance* biodiversity may imply the same shortsightedness, unless we are referring to an ecosystem in which biodiversity has been diminished by previous human activity and the goal is to return it to its previous state. If this is the case, it is probably best to refer to *restoring* biodiversity. *Protecting* biodiversity is similar to maintaining biodiversity but with a heavier emphasis on the negative impact of most human activities. To *preserve* biodiversity carries a connotation comparable with “to protect,” but it may also imply that the only way to maintain biodiversity is to isolate it from human influence as much as possible; this is not always feasible or desirable. To *benefit* or *optimize* biodiversity is rather vague, and these terms are sometimes used by people who have unusual ideas about what is beneficial or optimal. Finally, to *conserve* biodiversity implies using it carefully in a manner that will not diminish it in the long term. This is a reasonable goal, but it tends to overlook the idea that many elements of biodiversity have little or no instrumental value for people.

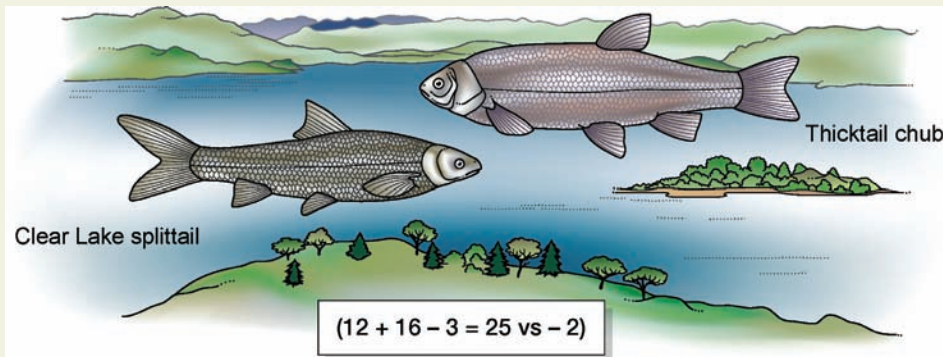
## The Related Concepts of “Integrity” and “Sustainability”

“Biodiversity” is only one of several concepts that have been competing for the attention of natural resource managers in recent years; it has been joined by “sustainability,” “ecosystem integrity,” “biotic integrity,” and others. In this section we will attempt to clarify the linkages and differences between these terms and biodiversity with a distillation of two syntheses, Callicott et al. (1999) and, primarily, Hunter (1999) (Fig. 2.5).

## CASE STUDY

## Clear Lake

In the northeastern corner of California lies Clear Lake, a large body of water (17,760 ha) that is shallow, warm, and productive; thus it supports a great abundance of fish. Originally, Clear Lake was home to 12 native kinds of fish, at least three of which were endemic to the lake: the Clear Lake splittail, Clear Lake hitch, and Clear Lake tule perch (Moyle 1976a, personal communication) (Fig. 2.4). Two of the native species, Pacific lamprey and rainbow trout, migrated between tributaries of the lake and the sea and practically disappeared from the lake when a dam was built on the lake's outlet. Other species were decimated largely because of human attempts to increase the fish diversity of the lake by importing exotic species, primarily sport fish sought by anglers. By 1894 carp and two species of catfishes had been introduced to Clear Lake, and they flourished there. During the twentieth century 13 additional species were introduced, primarily members of the Centrarchidae family (sunfishes and basses) native to the eastern United States. One species introduced in 1967, the inland silversides, soon became the most abundant species in the lake. In the face of this competition, the native species have declined dramatically, and only four native species remain common in the lake. Worse still, two of the native species that have disappeared from the lake (the Clear Lake splittail and the thicktail chub) are globally extinct. The net scorecard: misguided attempts to enrich the fish fauna of Clear Lake have increased the number of fish species there from 12 to 25 by adding 16 exotic species, but these introductions have decimated the lake's native fish fauna, eliminating two elements of biodiversity from the entire planet and reducing gamma diversity. This was not a very good trade.



**Figure 2.4** Clear Lake in northern California used to be inhabited by 12 native species of fish until fisheries managers began introducing new fish species, 16 in all. These introductions decimated the native fish populations, but still produced a net increase in alpha diversity of 13 species. This increase came at the expense of global diversity because two of the original species, the Clear Lake splittail and the thicktail chub, are now globally extinct.

## Biotic Integrity

Biotic or biological integrity refers to the completeness or wholeness of a biological system, including the presence of all the elements at appropriate densities and the occurrence of all the processes at appropriate rates (Angermeier and Karr 1994), and thus it is quite similar to the concept of biodiversity. The difference is mainly a matter of



**Figure 2.5** What is the state of this Pacific kelp forest? From a biodiversity perspective we would focus primarily on having a complete set of the native species (especially any that might be in danger of disappearing from the system), as well as genetic and ecological attributes. A biotic integrity perspective would be similar, but would put more emphasis on having an appropriate density of each species and the appropriate rate of ecological processes. In terms of ecosystem integrity, the emphasis would be on the ecological processes driving this system. A focus on sustainability would center on the prospects for maintaining this system in the future. (Photo from David Zippin.)

emphasis. Biotic integrity emphasizes the overall balance and completeness of biological systems, while biodiversity emphasizes that all the biotic elements are present. Furthermore, biotic integrity gives almost equal weight to functions and structure, whereas biodiversity usually emphasizes structure. Consequently, a person who was judging the biotic integrity of an ecosystem would be likely to focus on the ecosystem's key species and processes and might overlook the disappearance of a rare species. The well-being of rare things – species, ecosystems, and sometimes genes – is always in the spotlight from a biodiversity perspective. A biotic integrity perspective does avoid some of the misunderstandings about biodiversity described earlier in this chapter. This is accomplished primarily by focusing on the condition of an ecosystem with respect to a reference condition, usually what the ecosystem would be like if it were in a natural state (Hunter 1996; Angermeier 2000). For example, no one could ever claim that they had increased biotic integrity by increasing the number of fish species in Clear Lake.



## Ecosystem Integrity

Ecosystem health and ecosystem integrity (or ecological health and integrity) are effectively synonymous. “Ecosystem integrity” is generally a preferable term because the inevitable analogy between ecosystem health and human health can be misleading (to take just one example, an ecosystem that is profoundly affected by a native pathogen is not necessarily unhealthy) (Suter 1993; De Leo and Levins 1997; Rapport 1998). In some ways, ecosystem integrity is broader than both biotic integrity and biodiversity because it encompasses the physical environment; for example, soil erosion and sedimentation are key aspects of ecosystem integrity. Because ecosystem ecologists often focus on overall processes, ecosystem integrity is usually evaluated in terms of ecosystem functions, rather than the suite of species that constitute the biological portion of an ecosystem (Callicott et al. 1999). From an ecosystem integrity perspective the productivity or water quality of Clear Lake might be considered as important as the species composition of the fish fauna.

## Sustainability

“Sustainability” is simply the ability to maintain something over time without diminishing it. In a natural resource management context, sustaining the resources that are most directly used by people – timber, fisheries, water, recreational opportunities, and so on – usually comes first (Lélé and Norgaard 1996). The key idea here is “inter-generational equity” or, in plainer language, not messing things up for our children and grandchildren. Obviously conservation biologists support sustaining biodiversity, but they are not all comfortable with the term, partly because it implies that the status quo is a desirable state and partly because the term is primarily associated with the instrumental value of natural resources demanded by people (Newton and Freyfogle 2005a, b). For example, sustaining the sport fisheries of Clear Lake has not required sustaining the native fishes.

## Values

People’s values are clearly reflected in their choices of what should be sustained. It is also true, but less obvious, that the ways we judge biotic integrity and ecosystem integrity are also shaped by values (Lélé and Norgaard 1996; De Leo and Levins 1997; Lackey 2001). Proponents of the biotic integrity concept are quite explicit that their ideas about “all appropriate elements and occurrence of all processes at appropriate rates” are based on using natural systems as benchmarks, that is, those with little or no human influence (Angermeier and Karr 1994; Hunter 1996). For example, they would decide whether a particular species of lizard belongs on a given island by whether it would be there without human intervention. Many biologists would share this standard, but there is nothing sacred about using a natural system as the basis for comparison. For example, Robert Lackey (1995) has argued that “An undiscovered tundra lake and an artificial lake at Disneyland can be equally healthy.” For him the key question is whether the lake is in a desired state, i.e. is it satisfying human expectations? The bottom line is that to use any of these concepts, including biodiversity, requires some kind of benchmark, and the selection of benchmarks inevitably reflects human values.

## Summary

Biodiversity is the variety of life in all its forms (plants, animals, fungi, bacteria, and other microorganisms) and at all levels of organization (genes, species, and ecosystems).

Biodiversity includes these structural components, as well as functional components: that is, the ecological and evolutionary processes through which genes, species, and ecosystems interact with one another and with their environment. Conservation biologists focus on maintaining structural biodiversity because if genetic, species, and ecosystem diversity are successfully maintained, then the diversity of ecological and evolutionary processes will probably be maintained as well.

Some elements of biodiversity can be measured with quantitative indices of diversity based on richness, the number of elements of biodiversity (usually number of species), and evenness (their relative abundance). However, these indices can be misleading because a higher biodiversity index is not always desirable if the goal is maintaining biodiversity. It is more important to assess the risk of extinction of different species and emphasize those that are most endangered. The risk of extinction needs to be evaluated at different scales, and emphasis needs to be placed on those species most at risk at the global scale because they are irreplaceable. The biodiversity and scale issue can also be addressed by thinking of diversity on three scales (alpha, within an ecosystem; beta, among ecosystems; and gamma, geographic scale) and by always assessing the large-scale consequences whenever one manipulates biodiversity at a small scale. Thinking about biodiversity at large scales will often reveal that it is inappropriate to advocate maximizing biodiversity. Instead, the goals should be to maintain natural levels of biodiversity or to restore biodiversity in ecosystems degraded by human activity. The goal of maintaining biodiversity is closely related to some other goals, such as maintaining ecosystem or biotic integrity and ensuring sustainability of natural resource management.

### FURTHER READING

Wilson (1992) and Heywood and Watson (1995) provide good introductions to the concept of biodiversity, and Angermeier (2000), Povilitis (1994), and Hunter (1996) discuss some of the difficulties in moving from a conceptual definition to action. DeLong (1996) reviews definitions of biodiversity. The two major biodiversity journals are *Conservation Biology* and *Biological Conservation*, but there are many other journals also worth perusing for conservation biology topics: *Biodiversity and Conservation*, *Bioscience*, *Ecological Applications*, *Ecology and Society*, *Oryx*, and *Pacific Conservation Biology*, to name just six among dozens.

### TOPICS FOR DISCUSSION

- 1 Given a choice between conserving an ecosystem that was functioning properly (as measured by productivity, nutrient cycling, and similar parameters) and one that had a complete set of native species, which would you choose? Why?
- 2 Is it desirable to increase alpha- and beta-scale diversity if it can be done without apparently decreasing gamma-scale diversity?
- 3 If you were managing a forested stream valley, would you consider putting a small dam on the stream to add a pond ecosystem to the valley? What if the pond would be inhabited by a globally endangered species of turtle?
- 4 Think of some places in which you have observed ecosystems change over time. How did these changes affect biodiversity? Can you identify examples of both positive and negative changes?





## CHAPTER 3

# Species Diversity

Imagine flocks of parrots flashing green and gold over the piedmont forests of Virginia, a raft of penguin-like birds paddling up a Norwegian fjord, or a marsupial wolf coursing kangaroos through the eucalypt woodlands of Australia. These sights will never be seen again because the Carolina parakeet, great auk, and thylacine are gone forever. And they are not alone. Over a thousand species are known to have been driven into extinction by people just since 1600 (Hanski et al. 1995), and we can only guess at the total number of species that have disappeared because of human activities. Nothing highlights the need for maintaining biodiversity like the fate of these species and the many more that still survive but are sliding toward extinction. Keeping the wave of species extinctions from becoming a flood is the core of conservation biology.

In this chapter we first address two fundamental questions: (1) What is a species? (2) How many species are there? Then we explore the importance of species diversity in terms of both intrinsic and instrumental values.

## What Is a Species?

When we try to classify the natural world, it seems relatively easy to recognize different species – peregrines and redwoods are readily distinguished from other birds and trees, but even experts will argue about where to draw the line between different kinds of ecosystems and genes. Nevertheless, the question “What is a species?” is more complex than most people realize. One widely used definition is based on reproductive isolation: “Species are groups of actually or potentially interbreeding natural populations, which are reproductively isolated from other such groups” (Mayr 1942). For example, mammalogists classify brown bears in Eurasia and North America as the same species, even though they have been separated by the Bering Strait for about 10,000 years, because they would interbreed given the opportunity. On the other hand, American black bears and brown bears are considered separate species because they do not interbreed despite having overlapping ranges. Occasionally, interbreeding does occur between two apparently distinct species, and the offspring are considered hybrids. Here some difficult questions arise (Grant et al. 2005). How much hybridization can occur before you decide that the two parent species are really just one species? And what if the hybrid offspring form self-perpetuating populations? These issues have come to the fore as biologists work to determine

if North America is inhabited by up to four species of the genus *Canis* (gray wolves, coyotes, red wolves, and eastern timber wolves) or as few as two species, with other forms being of hybrid origin (e.g. some biologists believe that coyote–gray wolf hybridization produced the red wolf) (Wayne and Gittleman 1995; Wilson et al. 2000, 2003; Nowak 2002).

Questions about hybrids are more familiar to botanists than to zoologists. Look through any comprehensive list of plant species, and you will find many listings such as *Typha angustifolia* × *latifolia*, indicating that hybrids of the narrow-leaved cattail (*angustifolia*) and the broad-leaved cattail (*latifolia*) occur routinely. However, this is only the tip of the iceberg; it has been estimated that 70% of angiosperms (flowering plants) owe their origins to hybridization (Whitham et al. 1991; Arnold 1992). Plant species are also harder to define in terms of reproductive isolation than animal species because they are more likely to exhibit asexual reproduction, self-fertilization, polyploidy (multiple sets of chromosomes), and other variants of what we usually consider “normal” reproduction. Similarly, most microorganisms reproduce asexually, thus confounding the idea of reproductive isolation. Their extremely rapid reproduction and thus evolution adds another complexity: is the bacterium that embarks on a transoceanic voyage with a ship’s crew the same species when it returns to shore a week later? Note, too, that species definitions fail to represent well some of life’s odder forms, such as prions, which are infectious self-reproducing proteins (some of which cause serious disease risks to humans, e.g. bovine spongiform encephalopathy, also known as mad cow disease), and viruses, which reproduce by invading other cells and commandeering the cellular machinery that viruses lack for reproducing themselves.

Evolutionary biologists and taxonomists are wrestling with these issues and have proposed many other species definitions: evolutionary, phylogenetic, ecological, cladistic, morphological, and more (see Claridge et al. 1997 and Coyne and Orr 2004 for reviews). Different definitions serve different purposes, and no one of them is “best” or “correct.” The differences among definitions would be an academic issue except that species identified by different definitions do not always correspond to one another. For example, there may be from 1 to 30 species of *Drimys*, a kind of tree, in New Guinea, depending on the definition you use (Stevens 1989), and this would be an important issue for a conservation biologist trying to protect *Drimys* diversity.

Conservation biologists need to be aware of the debate over species definitions because it can have profound implications (Agapow et al. 2004; Mace 2004), but they cannot allow themselves to be paralyzed by it. It is better to use a fallback definition such as a species is “what a competent taxonomist says it is” (Stevens 1990), rather than do nothing for lack of definitive information. Fortunately, uncertainty over species definitions actually bolsters the overall goal of maintaining biodiversity because it highlights the critical importance of maintaining diversity below the species level, namely genetic diversity. This means that conservation biologists can sometimes sidestep the definition of species and use a term such as “evolutionarily significant units,” or more succinctly “taxa,” to refer to both species and subspecific groups such as subspecies, races, varieties, or even populations (see Fig. 3.1 and Fraser and Bernatchez 2001). As we will see in Chapter 5, “Genetic Diversity,” all of these merit some attention from conservationists.

## BOX 3.1

## Defining species

Judith Rhymer<sup>1</sup>

Defining a group of organisms as a species, subspecies, or distinct population is often difficult and controversial because of the lack of clear criteria for classification, and even systematists working on the same taxonomic group often disagree. In addition, variation considered subspecific in one taxonomic group may be considered worthy of species recognition in another. Because existing taxonomy may not reflect underlying genetic diversity, Ryder (1986) introduced the term “evolutionary significant unit” (ESU) to provide a rational basis for delineating conservable units of biological diversity. An ESU refers to a population that has been reproductively isolated long enough to have evolved significant genetic or ecological divergence from other groups of the same species (Fig. 3.1). It is primarily conceptual and only has legal status under the US Endangered Species Act (ESA) with regard to Pacific salmonids (Waples 1991). In a similar vein, “management units” (MU) are local populations that, because they have so little dispersal among them, have evolved some genetic differences (Moritz et al. 1995): for example, North Atlantic cod stocks.

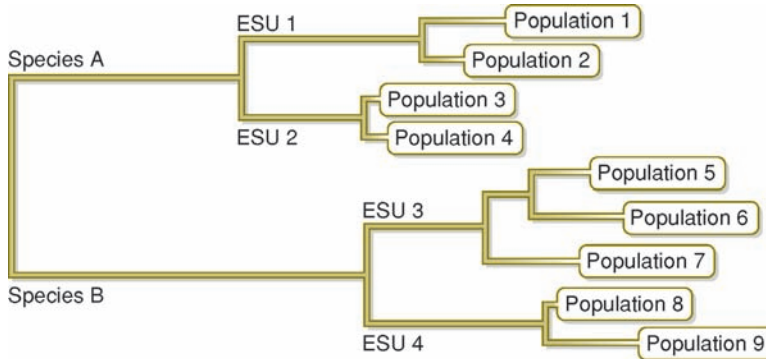
Problems arise in trying to define how much differentiation should be considered significant or sufficient for groups of organisms to be considered an ESU or MU (Fraser and Bernatchez 2001; Moritz 2002). Moritz (1994) suggested operational genetic criteria to delineate these categories, but given that it could take many generations and tens of thousands of years for genetic differences to accrue, particularly for species with long generation times, common sense in appraising levels of intraspecific and interspecific genetic variation among groups must prevail. In addition, important differences in ecological traits also need to be considered (Crandall et al. 2000).

Ultimately, there are two separate issues: are groups of organisms distinct based on some scientific criteria, and, if so, are they worthy of protection? Determining if groups are worthy of protection involves policy decisions, in addition to scientific evidence. For example, US and Australian endangered species legislation also include distinct populations in their definition of species for the purposes of protection. The term “distinct population segment” (DPS) has been applied in two ways. First, it is similar to the concept of an ESU or MU, and can include differences in one or more of morphological, behavioral, physiological, or ecological criteria, in addition to molecular genetic differences. Second, in the US, the concept of a DPS can also be based only on political boundaries. For example, woodland caribou living in the Rocky Mountains in the United States are considered a DPS even though they are only separated from Canada by a political boundary (Karl and Bowen [1999] call these “geopolitical species”). These may be appropriate for management, but have no sound scientific basis. Also, the ESA does not recognize DPS for plants and invertebrates; this, too, is a political, not a scientific, decision (Clegg et al. 1995).

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## How Many Species Are There?

Carolus Linnaeus, the Swedish biologist who founded modern taxonomy, described about 13,000 species in his 1758 opus *Systema Naturae*, but must have been well aware that this list was incomplete because in the eighteenth century much of the world remained unexplored by scientists. It is interesting to speculate how many species he might have estimated to exist. Today, roughly two and a half centuries later, scientists have described about 1.7 million species using Linnaeus’s system (Hammond 1995), but we can still only guess how many species there might be (Fig. 3.2). Hammond (1995) describes a range of estimates from 3.6 to 111.7 million species and suggests 13.6 million as a reasonable “working figure.”



**Figure 3.1** Hypothetical example illustrating the relationships between species A and B, Evolutionary Significant Units (ESU), and populations as discussed in Box 3.1. The lengths of the lines joining species, ESUs, and populations are generally equivalent to the genetic distances among them. In this example all populations could be considered separate Management Units (MU) except populations 3 and 4, which are too closely related to be managed separately.

Attempts to make a systematic estimate of the number of species have often revolved around insects. We have known for quite some time that insects represent a substantial portion of the world's species. In just one order, the beetles, roughly 400,000 species have been described, far more than the number of known species of vascular plants. Biologists like to make this point with an anecdote about J. B. S. Haldane, a nineteenth-century biologist (Gould 1993). When asked by a group of theologians what he had learned about God from having spent a lifetime studying His creations, Haldane is said to have replied, "He seems to have an inordinate fondness for beetles."

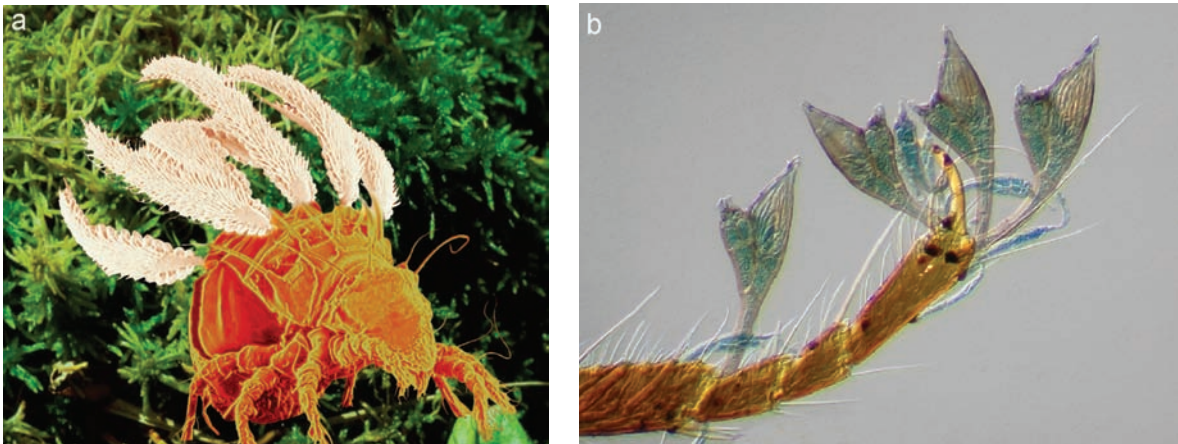


|                            | Viruses | Bacteria  | Fungi     | Protozoa | Algae   | Plants  | Arthropods | Other animals |
|----------------------------|---------|-----------|-----------|----------|---------|---------|------------|---------------|
| Described species          | 4,000   | 4,000     | 72,000    | 40,000   | 40,000  | 270,000 | 1,065,000  | 255,000       |
| Estimated species richness | 400,000 | 1,000,000 | 1,500,000 | 200,000  | 400,000 | 320,000 | 8,900,000  | 900,000       |

**Figure 3.2** Roughly 1.7 million species have been described by scientists; arthropods, primarily insects, constitute almost half this number. The estimated number of species is far greater, especially for smaller life-forms. (The data presented here are summarized from Table 3.1-2 of Heywood and Watson 1995. Redrawn from Hunter 1999.)



The scope for describing new beetles and other insects remains enormous (Odegaard 2000), although some of the attention is shifting toward even smaller creatures. Consider a study undertaken by a group of Norwegian microbiologists (Torsvik et al. 1990a, b). First, they collected two tiny soil samples: 1 gram of Norwegian forest soil and 1 gram of sediment from off the coast of Norway. Next, they extracted the bacteria from the samples and then extracted the DNA from all the bacteria. They then estimated the diversity of DNA strands, made a conservative assumption that bacteria are different species if less than 70% of their DNA is identical, and arrived at a rough estimate that each sample contained over 4000 species of bacteria, with little or no overlap in species between the two samples. Over 4000 bacteria species in a pinch of Norwegian soil is doubly impressive when you realize that only about 6200 species of bacteria have ever been described from all environments in the whole world. One author has estimated that the number of undescribed bacteria species may outnumber described species by 100:1 (Ohren 2004). Other large, unexplored lodes of species diversity exist among mites, nematodes (Hammond 1992), fungi (Hawksworth 2001), parasites (Embley et al. 1994), and organisms living on the deep-sea floor (Grassle and Maciolek 1992; Gray 2002) (Fig. 3.3). Finally, the number of species may be bolstered by the existence of *sibling species* or *cryptic species*, species that scientists cannot readily distinguish based on morphology but that are genetically distinct. Consider the case of a well known species of butterfly, *Astraptes fulgerator*, which, upon examination of its genetic structure, turned out to be ten different species, with visibly different caterpillars feeding on different host plants (Fig. 3.4) (Hebert et al. 2004). The morphology of adult genitalia (a primary way to identify insect species) gave no clue to the existence of ten cryptic species.



**Figure 3.3** The depth of unexplored biodiversity is greatest among small species. Here are two examples. (a) An oribatid mite, *Gozmanyina majesta*, that lives in mosses and leaf litter in sphagnum bogs, where it feeds on fungi; it erects the large white setae on its back as a defense against predators. (Photo by Valerie Behan-Pelletier and Roy A. Norton.) (b) A tiny fungus, *Botryandromyces ornatus*, one of a diverse group, the Laboulbeniales, that live obligately on the integument of living arthropods; these specimens are growing on a beetle's leg. (Photo from Alex Weir.)





TRIGO



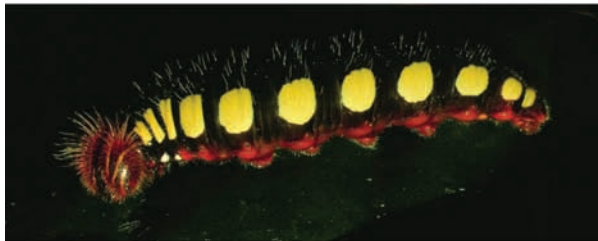
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**Figure 3.4** These caterpillars represent ten sibling species of what was long thought to be a single butterfly species, *Astrartes fulgerator* (Hebert et al. 2004). The interim names reflect the primary larval food plant and, in some cases, a color character. (Photo from Dan Janzen; © 2004, National Academy of Sciences, USA.)

Do we really need to know how many species there are? From a conservation perspective we do not even have the resources to address adequately the problems of a few hundred well known vertebrates and plants that are slipping toward extinction. Does it matter whether there are ten million or a hundred million other species that we ignore? The number of species may not matter strategically, but these estimates do convey two fundamental ideas. First, the number of species that may ultimately be at risk is enormous; in other words, we have a lot to lose. Second, we have a great deal to learn about the world.

## The Intrinsic Value of Species and Their Conservation Status

Many conservationists believe that every species has intrinsic value. Its value is independent of its usefulness to people. Strictly speaking, its value is even independent of its usefulness to other species or within an ecosystem. In other words, every species has value without reference to anything but its own existence (Fig. 3.5). The idea of things having value without reference to humans is hard for many philosophers to accept (Hargrove 1989), but it does appeal to many conservationists because of its simplicity and equity. If you accept the idea of species having intrinsic value, it is relatively straightforward to decide which species merit more attention from conservation biologists: they are those species most threatened with extinction, the ones whose continued existence is jeopardized by people. In the task of assigning conservation status to various species, the probability of extinction is the primary consideration, as illustrated in Boxes 3.2 and 3.3.

The World Conservation Union (which is still widely known as the IUCN, the initials of its former name) maintains a web-based database that lists the species that fall into these categories, commonly called the Red List ([www.redlist.org](http://www.redlist.org)). This provides the primary international standard for the conservation status of various species, but there are others. For example, the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) ([www.cites.org](http://www.cites.org)) lists species in various appendices depending on how endangered they are and Nature Serve ([www.natureserve.org](http://www.natureserve.org)) maintains lists for large portions of the western hemisphere (Regan et al. 2004). At a more local level, many national and state governments also maintain lists of species that are threatened within their borders (e.g. [www.endangered.fws.gov](http://www.endangered.fws.gov)). Sometimes, global categories are used at these local levels (e.g. Palmer et al. 1997), but more often different sets of criteria are used. Most of these organizations also maintain lists of species that are not yet endangered but are declining and need to be monitored. These are often called “species of special concern” or “species to watch.”

For all of these organizations, the decisions on which species to list and in which category were historically based on the best judgment of biologists rather than specific, quantifiable criteria. With a better understanding of the process of extinction and better data about species (e.g. population size, rate of decline) these decisions are often, but not always, now being made systematically using criteria like those illustrated in Box 3.3 (Mace and Lande 1991; Akçakaya et al. 2000; O’Grady et al. 2004).



**Figure 3.5** A species's intrinsic value is independent of its relationship with any other species, as depicted on the left, whereas its instrumental value depends on its importance to other species, including people. This tree fern supports an epiphytic bromeliad that contains a small pool of water, home to many invertebrates. (Photo by M. Hunter.)

The phrase “rare and endangered” has become a bit like “assault and battery”; most people use it without really understanding what it means. You might be surprised to know that many species that are quite rare are not highly endangered with extinction, and, conversely, that some endangered species are not particularly rare. For example, the African elephant probably has a total population over 500,000, but is listed by the IUCN as “Vulnerable” because it is considered to be in jeopardy. On the other hand, in the fynbos and succulent karoo ecosystems of southwestern South Africa there are hundreds of plant species with very small population sizes that live in fairly pristine environments and show no evidence of population decline (Cowling 1992). In other words, rarity seems to be their natural state. Should such species be listed as “endangered” even in the absence of any threat? The IUCN used to have a



separate category, “Rare,” for such species but now it lists them as “Endangered,” “Vulnerable,” etc. using much tighter standards for species that are rare yet not currently in decline. For example, to be listed as “critically endangered” a population that is in decline needs to have fewer than 250 individuals, but a population that is stable has to have fewer than 50 individuals.

The idea that rarity can be a natural state is easier to understand if we go beyond simply equating rarity with having a small total population. Deborah Rabinowitz (1981) described rarity on the basis of three separate characteristics:

- 1 *Geographic range.* Some species are rare because they are found only in a small geographic area such as a single island or lake: in other words, they are local endemics.
- 2 *Habitat specificity.* Species that occur only in specific, uncommon types of habitat such as caves or desert springs are likely to be rare.
- 3 *Local population size.* Some species occur at low population densities wherever they are found.

Some species are rare in more than one respect. The alpine lily occurs only in the Snowdonia mountains of Wales (geographically restricted), where it grows only in vertical fissures on cliffs (habitat restricted), often as solitary individuals, and never commonly (small local populations). (See Goerck 1997 and Yu and Dobson 2000 for two other examples of evaluating rarity. We will return to Rabinowitz’s classification in Chapter 7, “Extinction Processes.”) Although rare species may not be immediately threatened with extinction, they need to be monitored carefully because they can quickly shift from secure to endangered.

## BOX 3.2

### Categories of the IUCN Red List

The following categories are used by the World Conservation Union to classify species for the IUCN Red List,<sup>1</sup> a global compilation of species of concern to conservationists.

#### Extinct (EX)

A taxon is Extinct when there is no reasonable doubt that the last individual has died. The great auk, Carolina parakeet, thylacine, and over 1000 other species have become extinct since 1600.

#### Extinct in the Wild (EW)

A taxon is Extinct in the Wild when it is known only to survive in cultivation, in captivity, or as a naturalized population well outside the past range. Dozens of species are currently found only in captivity (e.g. the Guam rail and several tree snails) or used to be Extinct in the Wild until they were successfully reintroduced (e.g. the wisent and nene goose).

Species that fall in the next three categories are collectively called Threatened. Note that the US Fish and Wildlife Service uses “threatened” as a category of jeopardy one step below “endangered.”

#### Critically Endangered (CR)

A taxon is Critically Endangered when available scientific evidence indicates that it meets any of the criteria A to E in Box 3.3, and it is therefore considered to be facing an extremely high risk of extinction in the wild. Well known

examples include the Sumatran, Javan, and black rhinoceroses, Philippine eagle, California condor, hawksbill turtle, and dawn redwood.<sup>2</sup>

### Endangered (EN)

A taxon is Endangered when available scientific evidence indicates that it meets any of the criteria A to E in Box 3.3, and it is therefore considered to be facing a very high risk of extinction in the wild. Many high-profile endangered species fall in this group: for example, giant pandas, tigers,<sup>3</sup> snow leopards, gorillas, chimpanzees, Asian and African elephants, blue and fin whales, whooping and Siberian cranes, and loggerhead and green turtles.

### Vulnerable (VU)

A taxon is Vulnerable when available scientific evidence indicates that it meets any of the criteria A to E in Box 3.3, and it is therefore considered to be facing a high risk of extinction in the wild. Most threatened species are listed as Vulnerable; examples include the cheetah, orangutan, humpback whale, and snail darter.

### Near Threatened (NT)

A taxon is Near Threatened when it has been assessed against the criteria and does not qualify for Critically Endangered, Endangered, or Vulnerable now, but is close to qualifying for, or is likely to qualify for, a threatened category in the near future. Also included here are taxa that are the focus of a conservation program, the cessation of which would result in the taxon qualifying for one of the threatened categories. Jaguars, maned wolves, white-tailed eagles, and Atlantic sturgeon are listed as Near Threatened because their status is of some concern but they do not meet any of the criteria listed below. Polar bears, giraffes, and white rhinos are listed as Near Threatened species because their survival depends on conservation programs.

### Least Concern (LC)

A taxon is Least Concern when it has been evaluated against the criteria and does not qualify for Critically Endangered, Endangered, Vulnerable, or Near Threatened. Widespread and abundant taxa are included in this category.

### Data Deficient (DD)

A taxon is Data Deficient when there is inadequate information to make a direct, or indirect, assessment of its risk of extinction based on its distribution and/or population status. Listing of taxa in this category indicates that more information is required and acknowledges the possibility that future research will show that threatened classification is appropriate. Many molluscs, fishes, and nocturnal birds and mammals have been evaluated, but could not be listed as Threatened because there was not enough information.

### Not Evaluated (NE)

A taxon is Not Evaluated when it has not yet been assessed against the criteria. Most of the world's species, notably all the invertebrates and other small life-forms, fall into this category.

- 1 The World Conservation Union maintains the Red List with a consortium of other conservation groups. The wording used here follows that of the IUCN with minor differences. For the exact and latest wording see the Red List website ([www.redlist.org](http://www.redlist.org)).
- 2 The examples used here are dominated by animals because relatively few threatened plants species are widely known.
- 3 In some cases a species is assigned to one category overall, while various subspecies or populations may be designated differently. For example, tigers are Endangered as a species but the Amur, Sumatran, and South China subspecies are all considered Critically Endangered.



## BOX 3.3

## Quantitative criteria for assessing threatened status

The IUCN Red List of threatened species now requires that a species meet at least one of five quantitative criteria. Shown below are the five criteria for Critically Endangered. The primary differences for Endangered and Vulnerable are certain key numbers; these are shown in parentheses as values for EN and VU. The specificity of these criteria may seem rather naive given the uncertainty that often surrounds these kinds of data; see Akçakaya et al. (2000) for a system for dealing with this uncertainty.

A taxon is Critically Endangered when the best available evidence indicates that it meets any of the following criteria (A to E), and it is therefore considered to be facing an extremely high risk of extinction in the wild:

- A. Reduction in population size based on any of the following:
- 1 An observed, estimated, inferred, or suspected population size reduction of  $\geq 90\%$  (EN 70%; VU 50%) over the past ten years or three generations, whichever is the longer, where the causes of the reduction are clearly reversible AND understood AND ceased, based on any of the following:
    - (a) Direct observation.
    - (b) An index of abundance appropriate for the taxon.
    - (c) A decline in area of occupancy, extent of occurrence, and/or quality of habitat.
    - (d) Actual or potential levels of exploitation.
    - (e) The effects of introduced taxa, hybridization, pathogens, pollutants, competitors, or parasites.
  - 2 An observed, estimated, inferred, or suspected population size reduction of  $\geq 80\%$  (EN 50%; VU 30%) over the past ten years or three generations, whichever is the longer, where the reduction or its causes may not have ceased OR be understood OR be reversible, based on any of (a) to (e) under A1.
  - 3 A population size reduction of at least 80% (EN 50%; VU 30%), projected or suspected to be met within the next ten years or three generations, whichever is the longer (up to a maximum of 100 years), based on any of (b) to (e) under A1.
  - 4 An observed, estimated, inferred, projected, or suspected population size reduction of  $\geq 80\%$  (EN 50%; VU 30%) over any ten-year or three-generation period, whichever is longer (up to a maximum of 100 years), where the time period includes both the past and the future, and where the reduction or its causes have not ceased, based on any of (a) to (e) under A1.
- B. Geographic range in the form of either B1 (extent of occurrence) OR B2 (area of occupancy) OR both:
- 1 Extent of occurrence estimated to be less than 100 km<sup>2</sup> (EN 5000; VU 20,000) and estimates indicating at least two of a–c:
    - (a) Severely fragmented or known to exist at only a single (EN 5; VU 10) location.
    - (b) Continuing decline, observed, inferred, or projected, in any of the following:
      - (i) Extent of occurrence.
      - (ii) Area of occupancy.
      - (iii) Area, extent, and/or quality of habitat.
      - (iv) Number of locations or subpopulations.
      - (v) Number of mature individuals.
    - (c) Extreme fluctuations in any of the following:
      - (i) Extent of occurrence.
      - (ii) Area of occupancy.
      - (iii) Number of locations or subpopulations.
      - (iv) Number of mature individuals.

- 2 Area of occupancy estimated to be less than 10 km<sup>2</sup> (EN 500; VU 2000), and estimates indicating at least two of a–c:
  - (a) Severely fragmented or known to exist at only a single (EN 5; VU 10) location.
  - (b) Continuing decline, observed, inferred, or projected, in any of the following:
    - (i) Extent of occurrence.
    - (ii) Area of occupancy.
    - (iii) Area, extent, and/or quality of habitat.
    - (iv) Number of locations or subpopulations.
    - (v) Number of mature individuals.
  - (c) Extreme fluctuations in any of the following:
    - (i) Extent of occurrence.
    - (ii) Area of occupancy.
    - (iii) Number of locations or subpopulations.
    - (iv) Number of mature individuals.
- C. Population size estimated to number less than 250 (EN 2500; VU 10,000) mature individuals and either:
  - 1 An estimated continuing decline of at least 25% (EN 20%; VU 10%) within three years (EN 5; VU 10) or one generation (EN 2; VU 3), whichever is longer, OR
  - 2 A continuing decline, observed, projected, or inferred, in numbers of mature individuals AND at least one of the following (a–b):
    - (a) Population structure in the form of one of the following:
      - (i) No subpopulation estimated to contain more than 50 (EN 250; VU 1000) mature individuals, OR
      - (ii) At least 90% (EN 95%; VU 100%) of mature individuals are in one subpopulation.
    - (b) Extreme fluctuations in number of mature individuals.
- D. Population size estimated to number less than 50 (EN 250; VU 1000) mature individuals (see [www.redlist.org](http://www.redlist.org) for an alternative criterion for VU).
- E. Quantitative analysis showing that the probability of extinction in the wild is at least 50% (EN 20%; VU 10%) within ten years (EN 20; VU 100) or three generations (EN 5), whichever is the longer (up to a maximum of 100 years).

## The Instrumental Values of Species

When we think about the instrumental value of a species, we are likely to go straight to the basics: Can I eat it? Can I make it into clothing or shelter, or burn it to keep me warm? Or, in the market-based economies in which most of us live: Can I sell it? Materialistic uses of a species may be the core of instrumental values, but this is not the whole story. People also value species for purely aesthetic or spiritual reasons; species have instrumental value as members of ecosystems and as models for science and education; and conservation biologists use certain species to expedite their larger goal of maintaining biodiversity.

### Economic Values

#### *Food*

Except for salt and a few other additives, everything we eat started out as an organism, an element of biodiversity. Often, we do not even recognize all the organisms

involved: for example, the array of microorganisms that are essential in the production of cheese, bread, and alcoholic beverages. Despite their fundamental role, the instrumental value of species as food is usually considered an issue for agricultural scientists rather than conservation biologists, because the vast bulk of our food comes from a relatively small number of domesticated species (Prescott-Allen and Prescott-Allen 1990). Maintaining the genetic diversity of domestic species is a component of conservation biology, as we will see in later chapters, but it is not in the mainstream of conventional conservation biology, which tends to focus on wild species. Nevertheless, there are at least three ways in which conservation biologists who work with wild species are involved with the issue of species as food for people.

First, most domesticated species are closely related to species that are still wild, and these wild relatives are a critical source of genetic material, *germplasm*, for agricultural breeders who are trying to improve domesticated species. Indeed, in many cases (e.g. pigs, coconuts, and carrots) there are both wild and domesticated populations of the same species. Maintaining viable populations of the wild relatives of crop plants and livestock falls squarely within the purview of mainstream conservation biology, especially if the wild relatives are threatened with extinction. For example, yaks and water buffaloes are important livestock in parts of Asia, and the wild populations of both species are in danger of extinction. We lost the wild version of the domestic cow, the auroch, back in 1627 (Szafer 1968). A well known example of the potential role of wild relatives is found in the perennial teosintes, wild relatives of corn (or maize) that were thought to be extinct until rediscovered in southern Jalisco, Mexico, in 1978 (Iltis et al. 1979). The perennial habit of these teosintes suggests that some of their genetic material, if transferred to corn, could increase its resistance to some diseases and, perhaps, could even enable it to regrow annually without the expense of tilling and sowing.

Second, wild species may be a source of new domesticates in the future. Domestication is almost as old as humanity, and it is still practiced. In fact, concern about world food supplies, especially shortages of protein, has kindled a new interest in domestication (Janick 1996). For example, the National Research Council of the United States (1991) produced a book, *Microlivestock: Little-known small animals with a promising economic future*, that describes a sample of the many wild mammals, birds, and reptiles suitable for farming. Some of the food items that we associate with wild species are already produced primarily in captivity; for example, most of the venison sold in markets comes from captive herds of deer.

Third, wild plant and animal populations are still major food sources for people (Fig 3.6). It is well known that many rural people rely heavily on wild plants and animals (often called bushmeat) for food, and it is easy to attribute this to the poverty that pervades much of the world. However, people clearly do not consume wild species just out of necessity. Indeed, wild food typically commands a better price than domestic equivalents. For example, in Ghana many wild species, especially grasscutter rats and brush-tailed porcupines, sell for much more than chicken, pork, or beef (Cowlshaw et al. 2005). Of course, you do not have to visit the marketplaces of west Africa to find wild species for sale; most of the fish and shellfish (more correctly mollusks and crustaceans) sold in the world – whether dipped from a bucket on the streets of Calcutta or wrapped in plastic in a London supermarket – come from wild populations. It is particularly important for conservation biologists to be concerned with the



**Figure 3.6** Although most of our food comes from domestic species, a wide variety of wild species are consumed, ranging from the predictable, such as fruits, to the rather unusual, such as fruit bat soup. (Photos of gathering blueberries in Maine from M. Hunter and soup in Guam © Merlin D. Tuttle, Bat Conservation International, [www.batcon.org](http://www.batcon.org).)



harvesting of wild species because: (1) populations are often overexploited (Chapter 9, “Overexploitation”); (2) conserving populations requires regulating harvests (Chapter 13, “Managing Populations”); and (3) maintaining biodiversity requires special consideration for the well-being of rural people because they share most of the habitat of wild plant and animal populations (Chapters 15, 16, and 17, “Social Factors,” “Economics,” and “Politics and Action”).

### *Medicine*

There was a time when essentially all of our medicines, like all of our foods, came directly from other organisms. Traditional medicines remain a conspicuous and valuable legacy of this past, especially in developing countries where most of the world’s population resides, but also in industrialized countries where herbal medicines are worth billions of dollars per year (Tyler 1986; Farnsworth 1988; Fabricant and Farnsworth 2001). A less obvious legacy persists in modern pharmaceuticals, a large percentage of which are based on chemicals directly obtained from organisms or originally isolated and identified in an organism and then later synthesized by chemists (Akerle et al. 1991; Principe 1998). One estimate for the United States is 41%: 25% of pharmaceuticals use plants, 13% microorganisms, and 3% animals (Oldfield 1984). If you include non-active ingredients the list grows longer. For example, next time you are at a pharmacy read the ingredients list for the widely used ointment Preparation H. You will find five diverse species represented: shark liver oil, beeswax, corn oil, lanolin, and thyme oil. It is nearly impossible to attach a monetary figure to all these values, but it is almost certainly in the hundreds of billions of dollars per year (Principe 1996; Kumar 2004).

Plants are a primary source of medicinal chemicals, largely because they have developed a wide diversity of complex organic chemicals (often known as secondary compounds) for deterring plant-eating animals and for other purposes. One of the earliest examples is particularly poignant. Silphion was a plant from north Africa that became a major trade commodity in the Greek and Roman empires because of its efficacy as a contraceptive (Riddle 1997) (Fig. 3.7). Attempts to domesticate it failed and overexploitation of the wild population continued until it became so rare that it was worth more than its weight in silver. As with most extinctions it is difficult to determine the date, but by 77 CE (Common Era)/AD, when Pliny the Elder wrote his natural history, it had not been seen for many years (Parejko 2003). A more recent example comes from the Pacific Northwest of the United States, where the Pacific yew was transformed from a “trash tree” into an important medicinal plant when it was discovered to contain taxol, a chemi-



**Figure 3.7** Silphion was a plant of such great commercial value that it was depicted on Greek coins. However, its use (as a contraceptive) was short-lived because it was apparently overharvested into extinction roughly 2000 years ago. (Photo courtesy of wildwinds.com.)



cal that has proven very effective in the treatment of ovarian and breast cancer (Joyce 1993; Walsh and Goodman 1999).

Medicines derived from microorganisms include penicillin, tetracycline, and virtually all other antibiotics, as well as a variety of vaccines, hormones, and antibodies (Madigan et al. 2002; Strobel 2002). Although animals are the source of some medicines – for example, chemicals used to prevent blood clots have been isolated from the saliva of two blood-sucking animals, leeches and vampire bats – they are generally more widely used in medical science as biological systems to be studied. The role of mice, rats, and primates as surrogates for people in medical research is well known, but animals' contribution to medical science goes far beyond this. For example, research on the metabolism of black bears during their winter dormancy has given insight to researchers concerned with metabolic function in people suffering from depression (Tsiouris et al. 2004). In recent years the interplay between wild and domestic animals and humans with respect to emerging diseases such as avian influenza (bird flu) and SARS (severe acute respiratory syndrome) has received considerable attention (Daszak et al. 2004). Animals may also be useful in making the search for medicinally active plants less of a needle-in-the-haystack exercise. Medicinal surveys of plants have long been expedited by consulting with local people about their use of local plants, a field known as *ethnobotany* (Schultes and Von Reis 1995), and, more recently, researchers have discovered that some mammals, especially primates, may serve a similar role (Newton 1991).

The role of different species in medicine is of particular interest to conservation biologists because it so clearly highlights the need to maintain biodiversity. From a biochemical perspective every species is unique and thus potentially could be the source of a major scientific breakthrough. If we lose a species, we may have lost an invaluable opportunity. Who knows what modern pharmacologists could do if they had access to silphion. We have only begun to screen organisms for their biochemical properties, and it promises to be an endless task because by the time we have completed one round of screening, medical technology will likely have advanced to the stage where another search could be productive.

### *Clothing, Shelter, Tools, and Trinkets*

Plastics, metals, glass, and concrete may constitute the bulk of materials people use today, but more traditional materials such as wood, cotton, thatch, sisal, wool, silk, leather, fur, and others remain very important to us. In industrialized nations natural materials often command a premium price because people prefer to walk on hardwood floors rather than linoleum and to sit on leather upholstery rather than plastic. In places that are far from industrial centers, or where a subsistence economy prevails over a cash economy, natural materials may still be dominant.

A conservation biology perspective on the use of organisms for materials parallels our earlier discussion about using organisms for food: wild relatives of domestic populations, wild species that might be domesticated, and direct use of wild species. One issue stands out. The overexploitation of wild populations for materials seems particularly unacceptable when they are used to produce nonessential items: trinkets and toys for wealthy adults such as spotted cat fur coats, ivory knickknacks, rhino-horn dagger handles, or Brazilian rosewood guitars.

### *Fuel*

One of the single biggest uses we make of other living creatures, as measured in tons, is burning them as biomass fuel. Trees provide most of this material, about 1.8 billion cubic meters per year (UNDP et al. 2003); agricultural residues are another significant portion. Of course, all forms of life are full of carbon and will burn given sufficient heat and oxygen. Closely related to fuel are various oils and waxes used for lubricants, chemical feedstocks, and other specialized uses. Some of these substances are unique to certain species. For example, sperm whale oil has special properties as a lubricant, properties so valuable that sperm whale populations have been grossly overexploited. Fortunately, scientists have discovered that a plant, the jojoba, which can easily be cultivated, produces an oil with qualities very similar to sperm whale oil.

### *Recreation*

A person's requirements for food, clothing, shelter, tools, and fuel are fundamental, but we also have emotional needs that drive our search for pleasure. Virtually all of us find pleasure in interacting with other people, and most of us also seek enjoyment from our interactions with other living creatures. Enjoying another species does not necessarily require economic activity, but, in practice, our attraction to other species involves large sums of money (Fig. 3.8). Keeping pets and growing ornamental plants are the basis for enormous businesses. Dogs, cats, and roses may be a large part of this trade, but thousands of species from ants to zinnias are involved, and most of them are not domesticated.

The selling of encounters with wild plants and animals is the basis for a substantial enterprise that has become known as ecotourism (Fennell 2003). People pay to travel long distances for the privilege of seeing redwoods, coral reefs, whales, lions, and many other species. Most ecotourists carry expensive cameras and binoculars; some of them carry guns or fishing rods. Overall, hunters and anglers pay the highest sums to pursue their recreation, sometimes thousands of dollars per person per day. Closer to home, backyard interactions with wild creatures are the basis for large sales: food, bird feeders, birdhouses, and birdbaths tally over \$3.8 billion per year in the United States alone (USFWS 2002). In the home, hobbyists assemble collections of butterflies and mollusc shells, as well as books, paintings, sculptures, and stamps with flora and fauna themes.

Diversity is the spice of life, and species diversity is a key element in the recreational value of organisms. Many gardeners, exotic pet fanciers, and shell and butterfly collectors want to own species that their friends do not have, and they will pay handsomely for the privilege. Similarly, birders, botanizers, hunters, and anglers covet experiences with species they have not encountered before.

### *Services*

Most of the economic values described above involve species that serve as goods – physical objects that people can use – but there are some exceptions. When wild relatives of domestic species provide genetic information for plant and animal breeders, or when wild species give enjoyment to outdoor recreationists, they are providing services rather than goods. Other examples include the pollination services rendered to farmers by bees and other species, the degradation of oil spills by bacteria, the aeration of soils



**Figure 3.8** People enjoy the diversity of nature in many ways.

and decomposition of organic matter by earthworms and many other organisms, and the removal of pollutants from air and water by plants and other organisms. Many of these services are not routinely purchased and could be described as ecological values, which we will address below. On the other hand, the absence of these services often has direct, easily measured economic costs – for example, farmers often have to rent beehives because wild pollinators have been decimated by insecticides, and the global value of pollination services has been estimated at \$200 billion (Pimentel et al. 1997).

### Spiritual Values

People love living things, a phenomenon called “biophilia” by E. O. Wilson (1984). We delight in the beauty of a calypso orchid. We are inspired by the majesty of a golden eagle. We find spiritual comfort in the transformation of a caterpillar into a monarch butterfly. It is easy to find evidence of our aesthetic, spiritual, and emotional affinity for other species. This linkage is revealed in the symbols we choose for our governments, religions, businesses, and athletic teams; think of the sugar maple leaf emblem of Canada, the raven totem of the Vikings and several Native American tribes, the Jaguar sports car, the banana slug mascot of the University of California at Santa Cruz. We show it in the motifs we use to decorate our clothing, jewelry, and dwellings and in the places we select to visit in our leisure time. Our language – busy as a bee, an eager beaver – reveals the depth of this linkage (Lawrence 1993).

Sometimes, our feelings for other species are revealed in the ways we spend our money; sometimes, they are not. Imagine a woman who lives her whole life in landlocked Hungary who will never see a living blue whale, but who derives pleasure from simply knowing that they exist. Her love for whales is real and valuable, but costs her nothing. It is hard for society to account for feelings like hers when making policy decisions because economic issues are usually paramount, and her feelings are not easily expressed in monetary units. But this does not make her feelings unimportant. It also does not diminish the political impact of her feelings. For example, the decision to curtail exploiting Newfoundland’s baby harp seals for their fur was made because of the feelings of people who had no direct contact with harp seals and no economic stake in their survival. One indicator of the profound sense of loss we feel when a species goes extinct, in a converse manner, was the intense elation and media fascination associated with the rediscovery of the ivory-billed woodpecker (Fitzpatrick et al. 2005). Economists are trying to devise methods for estimating the monetary value of ivory-billed woodpeckers, blue whales, and harp seals for people whose only relationship with them is knowing that they exist; we will discuss *existence values* further in Chapter 16, “Economics.”

### Scientific and Educational Values

The world is a complex place, but our knowledge of it is increasing all the time, and some of the credit goes to our fellow inhabitants (Fig. 3.9). There are many examples. Birds offered both the inspiration to fly and a model from which to learn, and, similarly, the ability of bats to fly in the dark inspired the development of sonar and radar. Mendel’s peas opened the door to genetics, and the convenience of working with *Drosophila* fruit flies has greatly facilitated genetic research. For Charles Darwin, the





**Figure 3.9** Other organisms teach us about our world. Here biologists attach a radio-transmitter to a giant armadillo in Emas National Park Brazil. (Photo from Leandro Silveira.)

diversity of some Galápagos birds that now bear his name – Darwin’s finches – was instrumental in his development of the theory of natural selection. Many anthropologists who seek insight into human social interactions study our nearest relatives, all the other members of the primate order.

Of course, scientific inquiry is just an advanced form of the intellectual curiosity about the world that begins in infancy. Our education would suffer greatly without a diverse world to explore, without bean seeds to plant, without frog eggs to watch develop into tadpoles. Whether we want to learn about ourselves or the world we share with other species, we need models to observe.

## Ecological Values

Every population of every species is part of an ecosystem of interacting populations and their environment and thus has an ecological role to play. There are producers, consumers, decomposers, and many variations of these roles and others – competitors, dispersers, pollinators, and more. In this sense, every species has ecological value; it is of instrumental use to other species that share the same ecosystem, including people. Although all species have ecological roles, not all roles are of equal importance. Some species are ecologically important simply because of their great abundance. Sometimes, they are called *dominant species*, a term that usually implies that they constitute a large portion of the biomass of an ecosystem such as sugar



maples in a sugar maple forest or various species of planktonic copepods in many marine ecosystems. Sometimes, they are called *controller species*, which implies that they have major roles in controlling the movement of energy and nutrients. This would include dominant species such as sugar maples and various copepods, as well as many species of bacteria and fungi that are important decomposers but may be too small to have a sizable biomass.

Some species play critical ecological roles that are of greater importance than we would predict from their abundance; these are called *keystone species* (Power et al. 1996). The classic example of a keystone species is the purple sea star, an intertidal predator that preys on several species of invertebrates, apparently allowing many species to coexist without any one species becoming dominant (Fig. 3.10). After these sea stars were experimentally removed from a rocky shore in the state of Washington, the population of one prey species, the California mussel, dominated the site, and the system shifted from 15 species of invertebrates and macroscopic algae to only eight species (Paine 1966). Many animal species, especially in the tropics, depend on fruit for the bulk of their diet, but during certain seasons only a few plant species bear fruit (Shanahan et al. 2001; Watson 2001). These off-season fruit producers are keystone species. The endangered red-cockaded woodpecker might play a keystone role in those southern United States pine forests where it persists; because it is the only woodpecker that routinely excavates cavities in living trees, it provides habitat for a number of other cavity-dwelling species incapable of making their own cavities. Beaver dams produce entire aquatic ecosystems, thus making beavers a great example of a keystone species (Fig. 3.10).

As a rule conservation biologists tend to focus more on the population health of keystone species than dominant or controller species because many keystone species are uncommon, while, by definition, dominant and controller species are relatively abundant. Of course, being abundant does not mean that these species are secure from population crashes. Many island plants have gone from being ecological dominants to being quite rare following the introduction of exotic herbivores or competitors (Cuddihy and Stone 1990). Even continental species have plunged from dominance to rarity in a short period; such was the case for the American chestnut following invasion of an exotic fungus disease. Consequently conservationists should play close attention to all species that are highly interactive, both keystones and dominants, because changes in their populations will affect entire ecosystems (Soulé et al. 2003). Indeed, some conservationists use the term “*ecological extinction*” if a species becomes too rare to fill its role in an ecosystem.

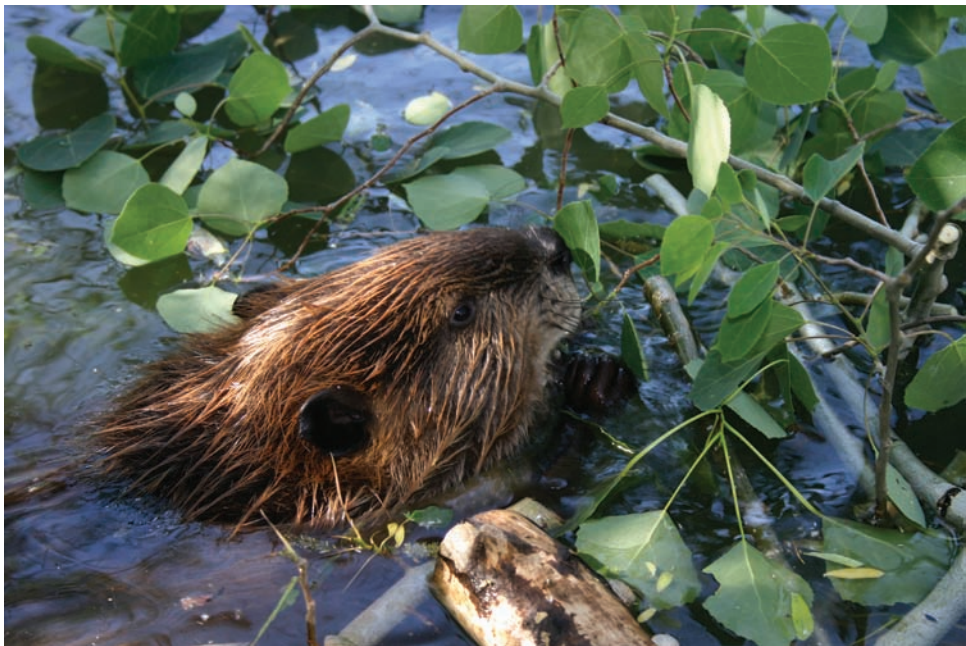
When assessing the ecological roles of species, conservation biologists are typically conservative and assume every component of an ecosystem is critical until proven otherwise (Berlow 1999; Rosenfeld 2002). Our understanding of ecosystems is usually so limited that it would be unwise not to take this position. Furthermore, it is possible that one should look beyond the role of individual species because overall species richness of an ecosystem may be an important attribute. We will return to this issue in the next chapter, “Ecosystem Diversity.” Finally, it is important to realize that a species that is relatively unimportant now may become more important as an ecosystem changes through time. For example, during the last 12,000 years the eastern white pine has varied from being quite rare to being an ecosystem dominant over large areas (Jacobson and Dieffenbacher-Krall 1995).



**Figure 3.10** The ecological impacts of keystone species take many forms. The purple sea star is a keystone species because its predatory activities allow many species to coexist, while beavers (overleaf) shape entire communities because of flooding by their dams. (Sea star photo by Lindsay Seward.)



**Figure 3.10** Contd.  
(Beaver photos by  
Skip Lisle.)



Incidentally, there are many ways in which ecological values interface with economic values. Most notably, the health and productivity of people have huge economic consequences, and these are directly dependent on ecological integrity. Similarly, each species we use directly for economic gain as food, medicine, materials, and so forth depends on ecosystems and the continuing existence of a whole suite of other species.

## Strategic Values

With a large agenda and limited resources, conservation biologists have to be efficient strategists, and this often leads them to target certain species to advance their overall goal of maintaining biodiversity (Simberloff 1998; Caro and O'Doherty 1999). Best known are the *flagship species*, the charismatic species that have captured the public's heart and won their support for conservation. Some species have won converts to conservation across the globe; consider the cuddliness of the giant panda, the haunting songs of the humpback whale, and the grandeur of the tiger or gorilla. Some species have been rallying points for local action, engendering local pride and concern. In northeastern Peru, for example, conservationists built a program around the yellow-tailed woolly monkey, an endangered species endemic to the area, using special T-shirts, posters, and other means. Once the local people learned how special their monkey was, it was much easier to enlist their support for conservation of all the local biota.

Large mammals, especially those with big brown eyes, are often the most successful flagships, but many other species have been successfully used too. In northern Maine an inconspicuous plant with an unprepossessing name, Furbish's lousewort, became a flagship species for the effort that stopped a dam that would have flooded 35,000 hectares of forest. This was a case where concern for an ecosystem pushed a species into the flagship role. A better known example of the flagship process in reverse comes from the northwestern United States, where concern for old-growth forests has made the spotted owl a flagship species.

*Umbrella species* are used to undertake broad conservation based around the habitat needs of a single species, thus allowing many species, often whole ecosystems, to be conserved under the umbrella of one species. Typically umbrella species are relatively large animals and thus many umbrella species are also flagship species. However, the terms are not synonymous because it is their patterns of habitat use, not popularity, that make some species good umbrellas (Walpole and Leader-Williams 2002). In particular, umbrella species usually have large home ranges, and thus by protecting enough habitat for their populations, adequate habitat for many other species will also be protected. Umbrella species are often found in a wide variety of ecosystems across a broad geographic range and can thereby provide an umbrella for a very large set of species. One such umbrella is the tiger. With a geographic range reaching from the Russian Far East south to Indonesia and west to India (formerly to Turkey and Iran), the tiger ranges across a broad set of ecosystems – boreal forests, mangrove swamps, rain forests, dry deciduous woodlands, and more. Efforts to keep the tiger from going extinct have benefited other wild creatures throughout much of Asia (Fig. 3.11). Umbrella species that are habitat specialists are also used to afford protection for a particular type of ecosystem. Umbrellas often have holes through which some species will fall and thus a comprehensive approach to biodiversity conservation will often require using a suite of umbrella species (Roberge and Angelstam 2004).

Some species are useful to conservation biologists because the health of their populations is an easy-to-monitor indication of environmental conditions or of the status of other species; these are called *indicator species* (Niemi and McDonald 2004). They are the “miners’ canaries” that can warn us about environmental degradation just as miners used to carry canaries to warn them of poor air quality. The classic example comes from the impact of DDT on peregrines, brown pelicans, and some other birds. It was the catastrophic decline of these species that first alerted scientists to a subtle but



**Figure 3.11**

Because jaguars range over a broad region and many different types of ecosystems, efforts to save them can benefit many other species, thus making jaguars an umbrella species. The map depicts both the range of ecosystems used by jaguars 100 years ago and the current range (in cross hatching). (From Sanderson et al. 2002b: Photo by M. Hunter.)

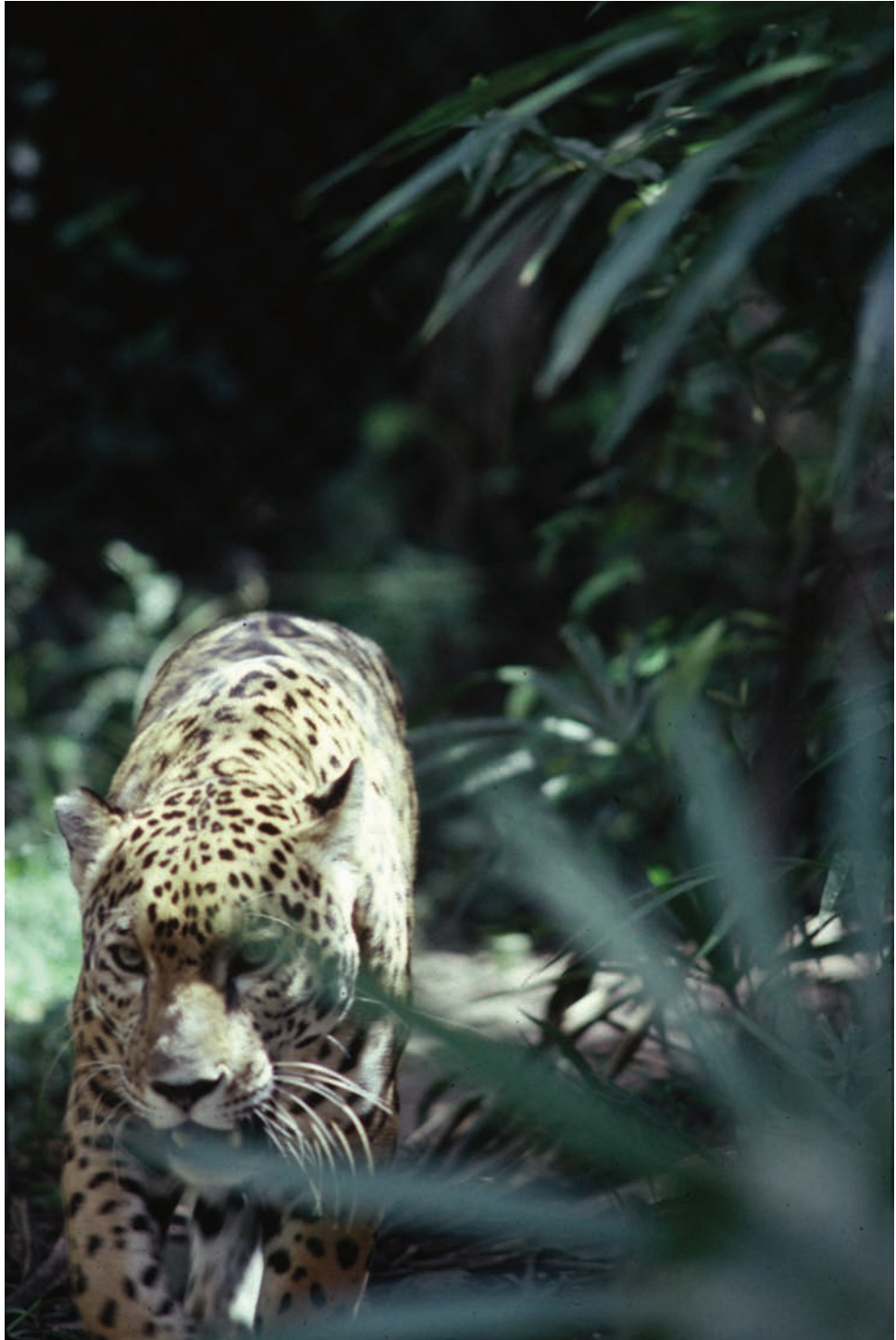






Figure 3.11 Contd.

pervasive and serious problem. Smaller species are often sensitive indicators (MacNally and Fleishman 2004); lichens reveal air pollution problems, and aquatic invertebrates are monitored to track water pollution (Miller et al 2004). Some indicator species provide “easy access”; for example, monitoring colonial seabirds to assess the health of the marine realm is often easier than deploying oceanic survey vessels (Boyd and Murray 2001). Indicator species may also reflect undisturbed ecosystems that are prime candidates for reserves. If, for example, you find an area with a sizable population of curassows, chachalacas, or guans (a family of large, delicious birds that are avidly sought by hunters throughout Latin America), you can be fairly confident that it is not heavily hunted and therefore might be a relatively easy place to establish a reserve (Thiollay 2005).

## Realized Values and Potential Values

When we assess the instrumental values of species, we generally focus on their usefulness here and now, but this is a shortsighted viewpoint as revealed in our discussion of medicinal research and biodiversity. Our rudimentary understanding of biology and ecology leaves an enormous gap between the currently realized value of a species and its potential future value. This gap is particularly wide because we have only a vague idea of what our future lives will be like – technologically, culturally, and ecologically. Consider the bacterium *Thermus aquaticus*, which grows in the boiling hot springs of Yellowstone National Park, a bacterium that has proven fundamental to an extraordinary revolution in biotechnology. Everything from using DNA fingerprinting to identify criminals to discovering the molecular basis of major diseases depends on an enzyme from this bacterium that is capable of separating DNA strands and remaining functional at very high temperatures (Mullis 1998). Before this discovery one could hardly have imagined its utility. It may be even harder to guess at the potential ecological role that a species might assume in the future. It would certainly have taken a very prescient biologist to guess that the shrew-like mammals that shared the earth with dinosaurs would lead to the earth-dominating *Homo sapiens*.

The core idea in this section is nicely captured in a phrase that could be a motto for conservation biology: keep options alive. We must take this approach because we know so little. *We can never say of any species that it lacks value.*

## The Uniqueness Value of Species

Imagine a question on your vertebrate zoology final: What do the armadillo, ostrich, and bowfin have in common? “They are all vertebrate animals” might get you grudging partial credit. “They are widely thought to be the only species in their respective orders: Tubulidentata, Struthioniformes, and Amniiformes” would earn you full credit and maybe a “Good!” penciled in the margin. These are three special species because they are unique at the taxonomic level of an order, a level of taxonomy that also encompasses such large groups as rodents (Rodentia, *c.* 1700 species), songbirds (Passeriformes, *c.* 5300 species), and perches and their relatives (Perciformes, *c.* 9000 species). We could argue about how artificial taxonomic classifications are, but in the end we would agree that a white-eyed vireo is much more similar to a red-eyed vireo than an armadillo is to one of its nearest living relatives, the African elephant.

The uniqueness of a species is a value that can amplify all the other values elaborated above. All other things being equal, a conservationist focusing on intrinsic value might give more importance to a spectacled bear (the only member of its genus) than a polar bear (one of three members of the genus *Ursus*), because the spectacled bear is more different from other bears than the polar bear is. The spectacled bear lineage split off from the main bear line over 10 million years ago, while polar bears evolved from brown bears only about 70,000 years ago and have even produced fertile hybrids with brown bears in zoo matings (O’Brien 1987). (See early treatments by Vane-Wright et al. 1991, Crozier 1992, Faith 1992, and more recent approaches by Bininda-Emonds 2002, Sechrest et al. 2002 for more on setting conservation priorities based on taxonomic relationships.)

In terms of instrumental values, a species that has close relatives is more likely to be replaceable than a species without close relatives. Huckleberries may not taste

exactly like blueberries, but they are not a bad substitute. In contrast, nothing tastes very much like a pineapple. There is some bad news lurking here. The process of replacing one species with another one that has similar economic values can spread the web of overexploitation. Whalers started with the species that were most profitable to catch, mainly the right whale (so named because it was the “right” whale to catch), and, as each species was depleted, they concentrated on the next one in line. This phenomenon is now characteristic of global fisheries in general; as predatory species such as tuna are depleted we move on to species that are at a lower trophic level, a process known as fishing down the food web (Pauly and Palomares 2005).

The instrumental values that are determined by a species’s role in an ecosystem may also be influenced by a species’s uniqueness. Although the exact ecological role or niche of each species in an ecosystem may be different from that of every other species, there is often considerable overlap or redundancy in the functional roles of species. For example, no other forest herb may fill the exact niche of a Canada mayflower, but there are other species that are fixing carbon, providing a substrate for soil fungi, providing food for pollinating insects and fruit-consuming small mammals, and so on. If these functional overlaps are sufficient, then it is likely that the ecosystem would not be profoundly changed by the disappearance of its Canada mayflower population. On the other hand, if a species is very distinctive, it is more likely that its disappearance from an ecosystem would cause significant changes because it is a keystone or dominant species. For example, the loss of African elephants from many grass and shrub ecosystems has profoundly changed the structure of these ecosystems by allowing them to become forested (Laws et al. 1975).

## CASE STUDY

### The Neem Tree

Wheat, corn, rice, potatoes – many species of plants have been profoundly important to the welfare of humanity. Indeed, some scholars have argued that one of the key defining events in western civilization was the hybridization, about 10,000 years ago in the Middle East, of two species to produce a form of wheat amenable to cultivation. From a historical perspective, at least one animal might rival these plants in its value: the horse, backbone of early transportation, exploration, and, too often, war. When we consider species in terms of the diversity of their instrumental values, not many species equal the neem tree, a member of the mahogany family from southern Asia (Fig. 3.12).

The most remarkable thing about the neem is the myriad of ways it is used as a health product. People use neem products to treat boils, burns, cholera, constipation, diabetes, heat rash, indigestion, malaria, measles, nausea, parasites, pimples, rheumatism, scorpion stings, sleeplessness, snake bites, stomach aches, syphilis, tumors, and ulcers, and they drink neem tea as a general tonic. They clean their teeth with neem twigs and neem-derived toothpaste and make a disinfectant soap with the oil of neem seeds. Some research suggests that neem products may provide the basis for a birth control pill for men and as a spermicide.

These marvelous features may account for the spiritual importance of neem as well. It is considered sacred by many Hindus, and its leaves are hung in the doors of a house to ward off evil spirits and burnt as an incense to drive evil spirits out of anyone who inhales the smoke. Some Hindu holy men place neem twigs in their ears as a

**Figure 3.12** The neem tree provides an extraordinary array of useful products ranging from medicines to insect repellants, livestock fodder, and building material. (Photo from Gerald Carr.)



charm. The wood of the neem, attractive, strong, and durable, is one of few types used for carving idols. Returning to secular uses, neem wood is also used for fuel, furniture, and house building; neem foliage and seeds are used as livestock fodder; and neem seed oil is used as lamp fuel and to make lubricants and disinfectants. Neem trees grow well on marginal sites, making them appropriate for reforestation, and they produce a deep shade that is especially valued in hot climates. People place neem leaves in their cupboards, grain bins, beds, and books to repel insect pests. Various neem extracts are also effective as repellents and antifeedants for insects and nematodes that are agricultural pests.

The qualities of the neem are well known among millions of people in the Indian subcontinent: it is often called the “village pharmacy.” It is being explored beyond the borders of India as well. The breadth of interest is evidenced in three volumes (Jacobson 1988; Vietmeyer 1992; Schmutterer 1995) that provided the basis of this account.

## Summary

There are many ways to define species, and decisions on what constitutes a species can have significant ramifications for conservation activities. It is generally desirable for conservationists to seek to maintain all distinguishable taxa, whether or not there is full agreement on definitions of “species,” because they represent significant genetic diversity.

Approximately 1.7 million species have been described by scientists, but the actual number of species that exists is certainly much greater because there are large numbers of undescribed species, notably tropical forest insects, marine invertebrates on the deep-ocean floor, and microorganisms in all ecosystems. Although conservation biologists cannot hope to work with each species, it is useful to know the magnitude of what we might lose if environmental degradation continues.

One can argue that every species has intrinsic value; in other words, its importance is independent of its relationships with people and all other species. From this perspective, conservationists usually evaluate the importance of a species relative to how endangered it is. This is the basis for the lists of species jeopardized with extinction maintained by many organizations. Instrumental values, which are based on the usefulness of species, differ among species. Many species have economic value because they provide food, medicine, materials, fuel, recreation, and various services for people. Species also have aesthetic, spiritual, scientific, and educational values that go beyond economics. They have ecological importance to many other species because of their roles in ecosystems. They can be of strategic value to conservationists by serving as flagship, umbrella, or indicator species. Some of these instrumental values are currently realized; many of them are potential values because they have not yet been expressed. Finally, species vary in their taxonomic uniqueness, and species that have no closely related species are generally considered more important than species with many close relatives.

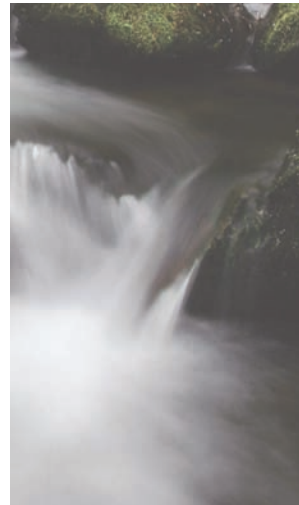
### FURTHER READING

For a popular account of how species diversity arises, how many species may exist, and related issues, see Wilson (1992). More detailed accounts are available in Groombridge (1992), Huston (1994), and Heywood and Watson (1995), and in the *Proceedings of the Royal Society of London, Series B*, 1994, Vol. 256 (1345). The World Conservation Union maintains [www.redlist.org](http://www.redlist.org), which lists and describes endangered species. For a review of the instrumental values of species see Oldfield (1984) and Prescott-Allen and Prescott-Allen (1982, 1986). Also see [www.species2000.org](http://www.species2000.org) for a global effort to list all the world's species, [www.gbif.org](http://www.gbif.org) and [www.unep-wcmc.org](http://www.unep-wcmc.org) for global biodiversity information sites, [www.natureserve.org](http://www.natureserve.org) for information on species in the western hemisphere, and [www.tol.org/tree](http://www.tol.org/tree) for information on taxonomic relationships.



### TOPICS FOR DISCUSSION

- 1 If you had a large budget to support global conservation biology research, say \$100 million per year, what percentage of it would you allocate to (a) estimating the number of species in existence, (b) surveying and classifying little-known groups of organisms, and (c) studying species and ecosystems known to be threatened? Defend your budget. How would your budget change if your activities were confined to the continent you live on and the adjacent oceans?
- 2 Should a species' instrumental value be evaluated when deciding whether to place it on a list of endangered species that will be a priority for conservation efforts? Why or why not?
- 3 What approaches would you use to estimate a species' potential instrumental value? Should potential values influence conservation decisions?
- 4 Should we seek to eradicate species such as the smallpox virus, or should we confine them to research laboratories where they cannot harm people?



Flying over the countryside in an airplane you see patterns: blue patches and ribbons that are lakes and rivers, dark green patches that are forests, brown patches that are tilled fields, and so on. These are the coarse manifestations of an enormously complicated web of ecological interactions, a myriad of species interacting with one another and their physical environment. Despite this complexity, all is not chaos. There are patterns; some are so obvious that they can be seen from far above the earth, and some are so subtle that we have little awareness or understanding of them. These patterns of interactions are the basis for ecosystems, and they are fundamental to the goal of maintaining biodiversity.

## What Is an Ecosystem?

It is easy to define an ecosystem conceptually. It is a group of interacting organisms (usually called a *community*) and the physical environment they inhabit at a given point in time. It is much harder to delineate ecosystems in the real world – to decide where one ecosystem ends and another begins – because the web of interactions does not have clean breaks (Fig. 4.1). Most ecologists would say that a forest and an adjacent lake are different ecosystems because the assemblages of organisms inhabiting them are almost completely different and have relatively few direct interactions. This said, there are some interactions across the shoreline through the movements of frogs, insects, autumn leaves, water, and so on, and these interactions can be quite important. Conversely, many ecologists would say that a young oak forest and an adjacent old oak forest are the same ecosystem even though a fair number of their species would be different, as would some key ecological processes such as succession and decomposition. Separating two adjacent ecosystems is particularly difficult when the edge between them, often called an *ecotone*, is a gradual transition zone. For example, on the side of a mountain, ecosystems change continuously in response to the climate gradient that parallels altitude, and it is probably arbitrary to draw lines among them.

Distinguishing ecosystems is also difficult because ecologists think about ecosystems at a variety of spatial scales. A pool of water that collects in a hole in an old tree and is home to some algae and invertebrates can be considered an ecosystem. At the other extreme, ecosystems are sometimes defined on the basis of the movements of wide-ranging animals. When biologists speak of the Serengeti Ecosystem they are referring to an area of about 25,000 km<sup>2</sup> defined in large part by the habitat



**Figure 4.1** Deciding where one ecosystem begins and another ends is a complex task because the web of ecological interactions does not have clean breaks. In this example, distinguishing between the forest ecosystem and the lake ecosystem may be relatively easy, but is the young forest on the left a different ecosystem from the older forest on the right?

needs of a migratory wildebeeste population (Sinclair and Arcese 1995). At the largest known scale, the earth's entire biosphere can be considered an ecosystem.

The key thing to understand is that the term “ecosystem” is a conceptual tool that makes it easier for us to organize our understanding about ecological interactions and to communicate that understanding to other people. For the purposes of this book we can think of ecosystems at a scale that is easy to detect from an airplane, typically from a fraction of a hectare to a few hundred hectares. We can draw the boundaries between adjacent ecosystems where they will separate significantly different sets of species. We will sidestep the question “what is significant?” because this may change depending on the circumstances.

A note about language ambiguities is necessary here. We have two different words for a type of organism and a particular example of that type; we call the former a species and the latter an individual. We have no parallel words to distinguish between a given type of ecosystem (e.g. an alkaline eutrophic lake) and a particular example of an ecosystem (e.g. Smith Lake). The use of a definite or indefinite article – *the* Mojave Desert Springs versus *a* Mojave Desert spring – will usually make the distinction clear. This is an important distinction because conservationists must give priority to maintaining *types* of ecosystems; we cannot realistically expect to protect every example of an ecosystem type.

## Classifying Ecosystems

Just as it can be difficult to delineate particular ecosystems on the ground, it is also difficult to classify them into different types once they are delineated (Whittaker 1973). How similar must two different ecosystems be to be considered the same type of ecosystem? Although there are several quantitative methods for assessing similarity of community composition, there is no standard level of similarity used to decide whether two ecosystems are of the same type (Table 4.1). Despite the lack of universal standards, significant progress has been made for some countries (e.g. Australia, Canada, United Kingdom, and United States) and regions (Latin America and the Caribbean) on developing vegetation classification schemes that are effectively terrestrial ecosystem classification systems (Maybury 1999; Josse et al. 2003; Box 4.1).

Ecosystem classification is usually approached hierarchically. For example, at the highest level we could separate terrestrial and aquatic ecosystems; at a lower level freshwater, marine, and estuarine ecosystems; then freshwater ecosystems into lakes and rivers; and so on. However, there is no universally accepted system for doing this analogous to the kingdom-phylum-class-order-family-genus-species system.

Geography also needs to be considered when classifying ecosystems. Two alkaline eutrophic lakes that share a very similar biota would probably be considered the same type of ecosystem even if they are hundreds of kilometers apart and on either side of a mountain range. On the other hand, if the mountain range was a geographic barrier for many species and the two lakes had quite different biotas we might decide that they are different types of ecosystems. How can we recognize both the basic similarity of the two alkaline eutrophic lakes and the differences that occur because of their geographic separation?

| Ecosystem        | A          | B    | C  |
|------------------|------------|------|----|
| Black oak        | 40         | 30   | 10 |
| White pine       | 30         | 40   | 10 |
| Red maple        | 20         | 10   | 10 |
| Yellow birch     | 10         | 20   | 70 |
| Similarity index | A versus B | 0.96 |    |
|                  | B versus C | 0.54 |    |
|                  | A versus C | 0.40 |    |

**Table 4.1** Relative abundance of species (percentages) in three hypothetical ecosystems. Based on the limited data presented, most ecologists would probably classify A and B as belonging to one type of ecosystem and C to a different type. Note that the similarity index (which has a range of 0 to 1) is much higher between A and B than between B and C or A and C. However, there is no standard level of similarity used to determine if two ecosystems are of the same type. (See Magurran 2004 for calculation of the Morisita–Horn similarity index, used here, and others.)



## BOX 4.1

## Putting ecosystem classifications to work

Don Faber-Langendoen<sup>1</sup>

Ecosystem classifications play an important role in guiding inventory, assessment, and management of ecosystems. These classifications are a powerful tool employed for several purposes, including: (1) efficient communication; (2) data reduction and synthesis; (3) interpretation; and (4) land management and planning. Classifications of terrestrial ecosystems often emphasize either vegetation, because it is the major component of terrestrial communities, is an integrating measure of site factors, and has relatively accessible features (Daubenmire 1968; Jennings et al. in press), or physical features of climate, geology, and soils, because they represent more basic and enduring aspects of ecosystems (Bailey 1996). In either case, the classifications take a hierarchical approach; that is, they define units at a variety of scales from broad, widely distributed types (such as biomes, formations, or ecoregions) to more local, site-specific types (e.g. associations and site types). For example, the US National Vegetation Classification has seven main levels (Table 4.2; Grossman et al. 1998). Recognizing that ecosystem types are not discrete units, these classifications nonetheless help to characterize the full gradient of species assemblages and physical features across the landscape.

Classifications have played a critical role in evaluating and controlling the ongoing impacts of human activity at multiple scales. Some ecosystem types are now imperiled because of destruction or degradation, and others have disappeared entirely from the landscape without ever having been formally documented. This has led to concerted efforts to develop comprehensive lists of types and to begin tracking their status. Earlier efforts to assess endangered ecosystems were hampered by the lack of consistency among classifications as one moved from one region to another (Noss et al. 1995). Recently, there have been notable successes in creating state, provincial, national, and international vegetation classifications that are integrated and jointly used by government and private organizations (FGDC 1997; Specht and Specht 2001; Rodwell et al. 2002). These classifications can be combined with ecoregional classifications to advance conservation efforts; that is, the landscape is divided into ecoregions, and within each ecoregion, the status of ecosystem types can be evaluated (Groves 2003). Because of their consistency they are also helpful in setting conservation priorities (Regan et al. 2004). For example, protection of globally rare vegetation types is now part of sustainable forestry practices (Brown et al. 2004). Global formation/biome assessments are able to draw on the powerful tools of remote sensing to address the conservation status of units such as temperate broadleaf forests or tropical rainforests (Hoekstra et al. 2005).

It was just these kinds of interests that led the Nature Conservancy and the Natural Heritage Network to survey and identify priorities for conservation of the Great Lakes alvars (Reschke et al. 1998). Alvar ecosystems are grasslands, savannas, and sparsely vegetated rock barrens that develop on flat limestone or dolostone bedrock where soils are very shallow (see Fig. 4.2). Almost all of North America's alvars occur within the Great Lakes basin, primarily in an arc from northern Lake Michigan across northern Lake Huron and along the southern edge of the Canadian Shield, including eastern Ontario and northwestern New York. The alvar classification system included 13 types, each of which was described and assigned a global rarity ranking, and each occurrence assigned a conservation priority ranking. This helped to create a broadly accepted, consistent framework for evaluating alvar conservation priorities within the 11,008 ha of alvars across the Great Lakes basin. Most types of alvar ecosystems are globally imperiled, and, in turn, they support many rare plants and animals, including 6 globally rare vascular plant species and 11 land snails. Some 3520 hectares of high-priority alvar sites are now in the process of being permanently secured through acquisition, government designation, and conservation easements.

<sup>1</sup> NatureServe, Syracuse, New York.

| Level       | Example  |
|-------------|--|
| Class       | Forest   |
| Subclass    | Deciduous forest   |
| Group       | Cold-deciduous forest  |
| Subgroup    | Natural/semi-natural   |
| Formation   | Lowland or submontane cold-deciduous forest                  |
| Alliance    | Sugar maple–yellow birch (American beech) forest alliance    |
| Association | Sugar maple–yellow birch (American beech)/hobble bush forest |

**Table 4.2**

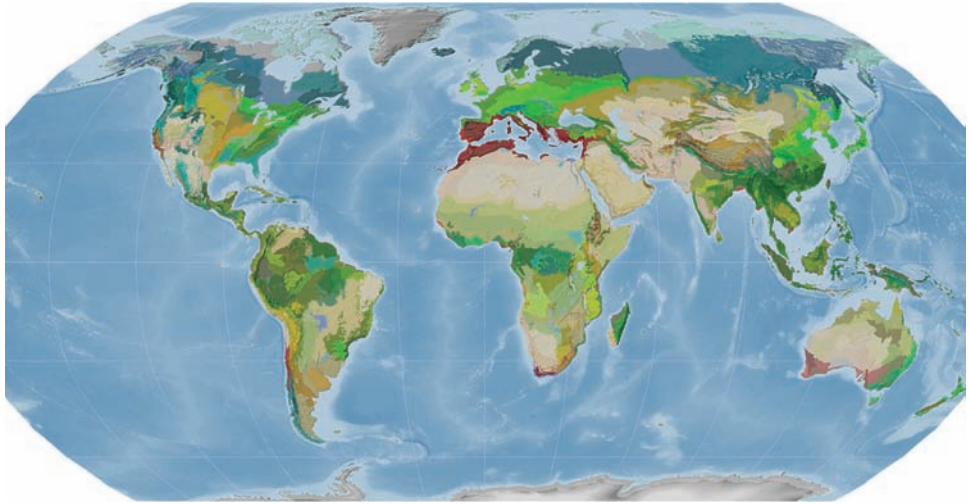
This example depicts the seven levels of the US National Vegetation Classification's physiognomic-floristic hierarchy for terrestrial vegetation ([www.natureserve.org/explorer](http://www.natureserve.org/explorer)).

One approach involves dividing the world into regions based on biologically meaningful patterns that shape the distribution and abundance of species, such as climatic zones, mountain ranges, oceans that isolate terrestrial biota, or continents that isolate marine biota. There are many examples of such maps (Fig. 4.3) and they use a variety of criteria and names such as ecoregions, ecoclimatic zones, biogeographic provinces, and biophysical regions (Bailey 1996, 2005; Loveland and Merchant 2005). In one of the most recent and ambitious examples, World Wildlife Fund-US has generated a global map that delineates 825 terrestrial ecoregions (Olson et al. 2001), and ecoregional maps for the freshwater and marine realms are being developed. By using



**Figure 4.2** Great Lakes Alvar System in Jefferson County, New York, USA, 1994. (Photo from Don Faber-Langendoen.)

**Figure 4.3** This map depicts 825 terrestrial ecoregions that have been delineated by the World Wildlife Fund; an analogous map for freshwater ecoregions is under development. (From Olson et al. 2001, © American Institute of Biological Sciences.)



such a map we could recognize the differences that exist between the two lakes because they are in different ecological regions, but we could still recognize their basic similarity by calling them both alkaline eutrophic lakes.

From a conservation perspective we could largely avoid the issue by organizing conservation efforts for each ecological region. However, conservation efforts are usually organized around political units – states, provinces, nations – and political boundaries do not usually coincide with ecological boundaries.

## The Values of Ecosystems

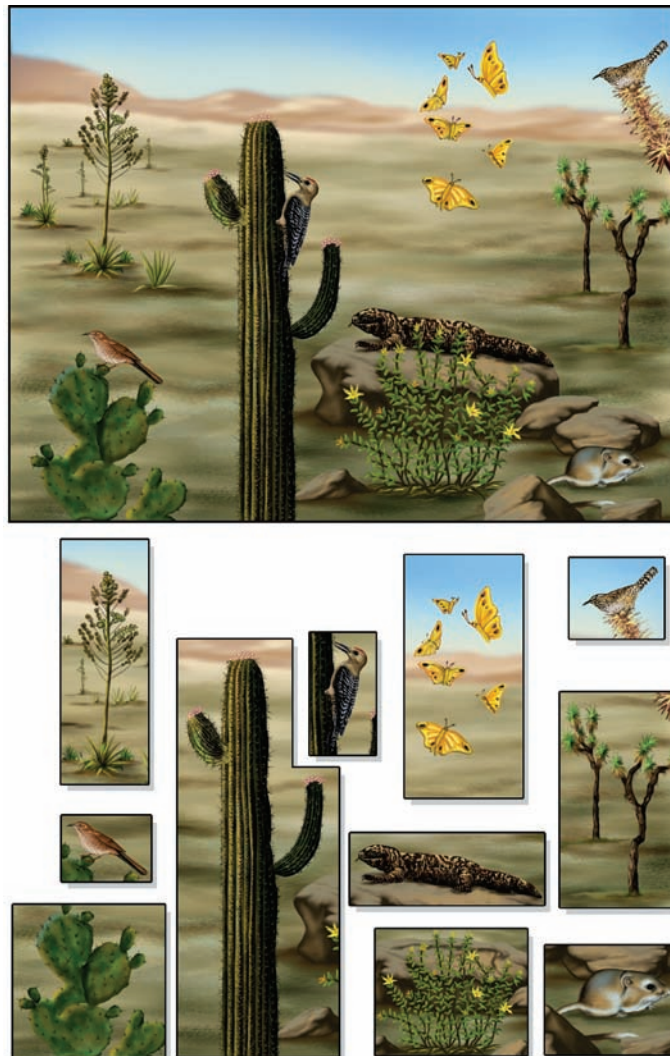
Species cannot survive in isolation from other species; they are all part of some ecosystem. Therefore all ecosystems have value because the species they support have value. In other words, at a minimum the value of an ecosystem is the summation of the value of all its constituent organisms. This idea is simple enough, but it is not the end of the story. We must also consider the possibility that ecosystems have special attributes that make them valuable beyond the sum of species-specific values. Let us consider each of the major types of values that we evaluated in Chapter 3 from this perspective.

### Intrinsic Value

Whether or not ecosystems have intrinsic value independent of the intrinsic value of their constituent species is an issue that hinges on a complex and controversial question. Are ecosystems tightly connected, synergistic systems built around a set of closely coevolved species? Or are they based on a loose assemblage of species that happen to share similar habitat needs and end up interacting with one another to varying degrees because they are in the same place at the same time? To put it another

way, are ecosystems analogous to supraorganisms in which different populations are closely connected, or are they just a collection of competing populations (Fig. 4.4)? This question has stimulated ecologists for decades (McIntosh 1980). Undoubtedly, the truth lies somewhere between the poles presented here and varies somewhat from ecosystem to ecosystem, but for our purposes it is sufficient to note that the closer ecosystems lie to the “tightly connected” pole of the spectrum, the easier it is to acknowledge that they have intrinsic value.

If ecosystems do have intrinsic value, then conservationists need to protect some examples of each different type of ecosystem, especially those that are in danger of disappearing. Some types of ecosystems are rare because they occur only in uncommon environments. For example, cool forests and alpine areas are rare in Africa because the continent has only a few, isolated mountains tall enough to support these ecosystems



**Figure 4.4** Are ecosystems tightly connected systems of closely co-evolved species, or are they a loose assemblage of species that happen to share similar habitat needs and end up interacting with one another?



(Kingdon 1989). Other ecosystem types have become uncommon because of human activities. In particular, many types of forest and grassland ecosystems with fertile soils and benign climates have largely been converted to agricultural lands.

Conservationists recognize the importance of protecting a representative array of ecosystems, but they have not yet developed many endangered ecosystem lists, at least ones with legal status analogous to various official endangered species lists (Table 4.3). Political hurdles may be paramount, but the challenges of classifying ecosystems discussed above also play a role. Are the spruce–fir forests that occur on a few summits in the southern Appalachians a different type of ecosystem from the spruce–fir forests that stretch across Canada? If so, they are a very rare ecosystem; if not, they are just a peripheral variation of one of the planet’s most widespread ecosystems. Decisions like this are absolutely critical if you are trying to protect ecosystems for their intrinsic values, but they are not quite so important if your focus is on the instrumental values of ecosystems.

## Instrumental Values

### *Economic Values*

If we think of the economic values of ecosystems in terms of goods and services, the material goods provided by ecosystems can generally be accounted for by summing the goods provided by various species such as the lumber from tree species, the food from fish species, and so on. It is services rather than goods, however, that are of primary economic importance at the ecosystem level. For example, wetlands are often used for tertiary treatment of municipal wastewater, a service that would be quite expensive to duplicate with a treatment plant. Dune and salt-marsh ecosystems provide an invaluable service during coastal storms by buffering upland areas. Coastal wetlands export nutrients and organic matter to adjacent estuaries where they support economically valuable fisheries (Fig. 4.5). Forests export high-quality water to aquatic ecosystems and urban water supplies. This list could go on and on because for virtually every ecosystem we could identify services that would be very expensive to replace artificially. Access to the recreational services of ecosystems is the basis for an enormous array of commercial enterprises. These can be as simple as bus trips for city dwellers to visit a forest or lake on a Saturday afternoon, or they can be as all-inclusive as completely catered “eco-tours” to coral reefs, tropical forests, Antarctic islands, and so on (Fennell 2003).

The economic values of ecosystems for both goods and services have been compiled (Daily 1997) and a grand tally of their economic value has been estimated by multiplying a value-per-hectare figure for each major type of ecosystem by the total global area of that ecosystem (Costanza et al. 1997a). The estimate of \$33 trillion per year was considered a minimum figure because of the nature of various uncertainties. To put that figure in perspective, the gross national products of all the world’s nations totaled about \$18 trillion at that time.

### *Spiritual Values*

The journeys people make to natural ecosystems, to places where the hand of humanity is hard to detect, are often too profoundly important to be reduced to

|  |
|--|
| <b>Australia</b>   |
| Aquatic root mat community in caves of the Swan Coastal Plain  |
| Cumberland Plain woodlands   |
| Eastern Stirling Range montane heath and thicket   |
| Grassy white box woodlands   |
| Perched wetlands of the Wheatbelt region   |
| Swamps of the Fleurieu Peninsula   |
| Temperate highland peat swamps on sandstone  |
| <b>South Africa</b>  |
| Atlantis sand fynbos   |
| Bloemfontein dry grassland   |
| Cape vernal pools  |
| Ironwood dry forest  |
| Legogote sour bushveld   |
| Lowveld riverine forest  |
| Swartland alluvium fynbos  |
| <b>United States</b>   |
| Longleaf pine forests and savannas in the southeastern coastal plain   |
| Tallgrass prairie east of the Missouri River and on mesic sites across range   |
| Wet and mesic coastal prairies in Louisiana  |
| Lake sand beaches in Vermont   |
| Coastal strand in southern California  |
| Ungrazed sagebrush steppe in the Intermountain West  |
| Streams in the Mississippi Alluvial Plain  |
| <i>Sources:</i> Australia, <a href="http://www.deh.gov.au/epbc">www.deh.gov.au/epbc</a> ; Republic of South Africa, Rouget et al. (2004), Mucina and Rutherford (2005), <a href="http://www.sanbi.org/frames/biodiversityfram.htm">www.sanbi.org/frames/biodiversityfram.htm</a> ; USA, Noss et al. (1995), <a href="http://www.biology.usgs.gov/pubs/ecosys.htm">www.biology.usgs.gov/pubs/ecosys.htm</a> . |

**Table 4.3** A few governments have begun the process of protecting endangered types of ecosystems by listing types that are rare or threatened. Listed here are a few examples from three much longer lists.



**Figure 4.5** Relatively few species can tolerate the special conditions of salt marshes, but those that do create ecosystems of great importance. This is in part because salt marshes export large amounts of organic matter to adjacent estuaries, which constitutes a key component of the estuarine food web. (Photo of the Bay of Fundy from M. Hunter.)

dollars and cents. The forty days Moses spent in the desert, the walkabouts of Australian Aborigines, and perhaps the night you spent watching the tide ebb and flood are periods of spiritual recreation and revitalization that many people find of immeasurable value. For some people, particularly those who are pantheistic (i.e. believe that God is nature and nature is God), ecosystems provide far more than an aesthetic setting for these experiences. The ecosystems themselves, with their depth and complexity, are a source of inspiration, a vehicle for feeling connected to something larger and more permanent than one's self. It is notable that all the world's major religions advocate respect and stewardship for "creation."

### *Scientific and Educational Values*

Ecology has become a very sophisticated science, but we still cannot hope to understand an ecosystem fully. This dilemma is apparent when you think of ecology as the apex of a pyramid with biology as the next layer below, earth sciences such as geology and climatology forming the third layer, chemistry the fourth, and physics the foundation. Of course, ecologists do not have to be intimately familiar with quantum physics to be effective, but they do have to have a basic understanding of thermo-

dynamics, electromagnetic radiation, and many other aspects of physics. In contrast, a physicist can be successful and understand nothing about ecology. The fact that ecosystems integrate so many phenomena makes them a focal point for scientists trying to monitor how the earth is changing, particularly in response to human activities. This feature also means that ecosystems are fascinating models for researchers interested in complex systems. The computer models developed to predict global climate change are perhaps the most obvious example (Saxon et al. 2005).

Ecosystems are also wonderful models for showing children and adults how everything in the environment can be connected to everything else. Drawing lines between boxes to represent the functional relationships of those boxes can become an extremely complex exercise. Alternatively, it can be as simple as drawing lines between the sun, a plant, and an animal to form a food chain and then adding more boxes and lines to create a food web. In short, we can all learn a great deal from ecosystems.

### *Ecological Values*

The ecological interactions that are the basis of ecosystems are absolutely fundamental to life. Try to imagine a planet where dead things did not decompose, or where plants did not replenish oxygen. Consequently, it is not really profound or insightful to say that ecosystems have ecological value. Nevertheless, it is extraordinary how often some industrialists and politicians try to draw a line between the well-being of people and the well-being of the ecosystems on which our lives ultimately depend.

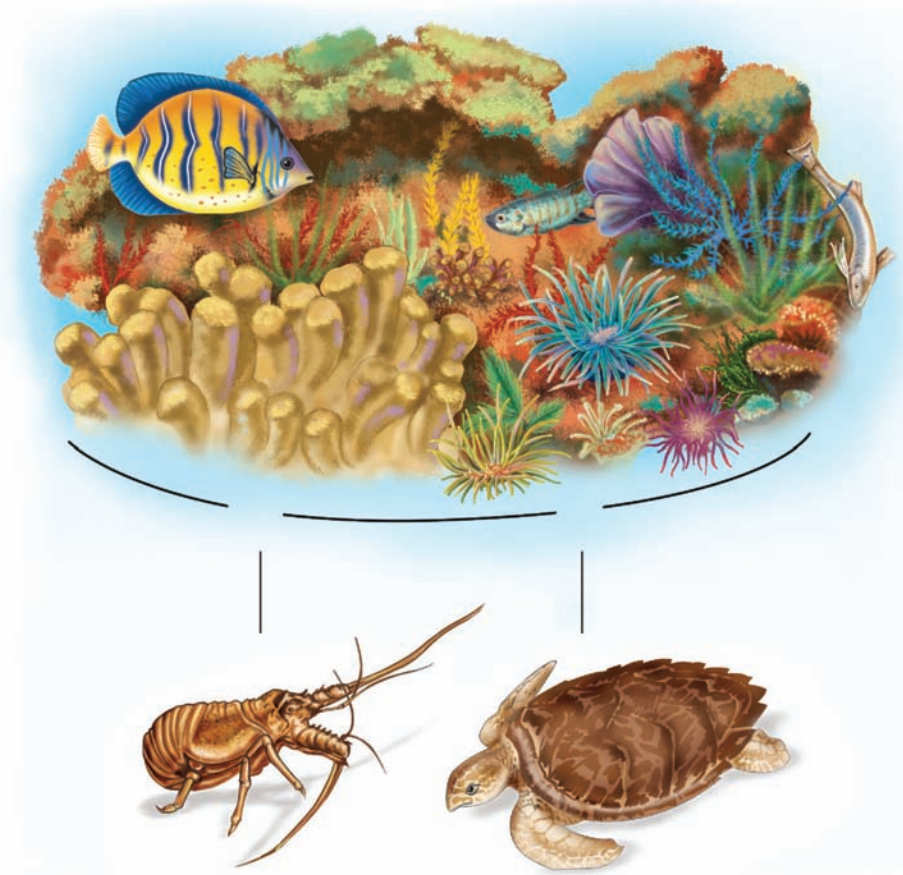
Do all ecosystems have equal ecological value? No. Obviously, a large salt marsh will usually provide more ecological values than a small salt marsh, and, similarly, a dominant type of ecosystem such as spruce–fir forests will have more total ecological value than an uncommon type of ecosystem such as caves. Certain types of ecosystems may have a significantly greater importance to other nearby ecosystems than we would predict based on their area. We can call these *keystone ecosystems*, analogous to calling species with disproportionately significant ecological roles keystone species (deMaynadier and Hunter 1997). For example, salt marshes can play a keystone role by providing critical resources – nutrients and organic matter – for an adjacent estuary (see Fig. 4.5). Keystone ecosystems can also shape disturbance regimes that affect large areas by either inhibiting or facilitating the spread of a disturbance. To take two examples: a river can inhibit the spread of a fire, while certain types of woodlands that burn easily can facilitate the spread of fires to other ecosystems.

### *Strategic Values*

From the perspective of maintaining biodiversity at all levels – genes, species, and ecosystems – the single most essential value of ecosystems may be their strategic value. Conservation biologists have often proposed that by protecting a representative array of ecosystems, most species and their genetic diversity can be protected as well (Hunter 1991; Groves 2003). This idea is often described using a metaphor of coarse filters and fine filters first proposed by The Nature Conservancy (1982) (Fig. 4.6). The coarse-filter approach to conserving biodiversity is appealing because it is efficient and provides broad protection. It is efficient because compared with the number of species in the world there are relatively few different types of ecosystems, perhaps



numbering in the thousands, and protecting a representative array of these ecosystems in each ecological region may protect a large portion of species. The Nature Conservancy (1982) originally estimated 85–90%; this may be a bit optimistic based on the few empirical tests that have been undertaken (e.g. MacNally et al. 2002; Oliver et al. 2004). The coarse-filter approach is broad because it is likely to



**Figure 4.6** The strategic value of ecosystems is illustrated by the coarse-filter–fine-filter approach to conserving biodiversity. Protecting a representative array of ecosystems constitutes the coarse filter and may protect most species. However, a few species will fall through the pores of a coarse filter because of their specialized habitat requirements or because they are overexploited. These species will require individual management, the fine-filter approach. In this example, a coral reef ecosystem with all its constituent species is protected by the coarse-filter approach, but fine-filter management is still required for the hawksbill turtle and spiny lobster.

protect most unknown species, as well as known species, plus their genetic diversity to some degree. Conservationists often think of coarse filters protecting invertebrates, fungi, and similar creatures but the rediscovery of the ivory-billed woodpecker in an extensive tract of protected forest dramatically demonstrates that they can also be effective for a well known species that is hard to detect (Fitzpatrick et al. 2005).

Importantly, the coarse-filter approach can be an effective strategy regardless of whether ecosystems are tightly connected systems or loose assemblages of species. It is only necessary that the distribution of ecosystems corresponds reasonably well with the distribution of species so that a complete array of ecosystems will harbor a nearly complete array of species (Hunter et al. 1988; Su et al. 2004). We will return to this point and the coarse-filter approach in general in Chapter 11, “Protecting Ecosystems.”

## Uniqueness Values

The process of ecosystem classification clouds the issue of ecosystem uniqueness. If we define many different types of ecosystems, each type of ecosystem will not be very different from similar types. Alternatively, if we make coarse distinctions (e.g. all coniferous forests are one type of ecosystem), then each type of ecosystem will clearly be unique. Some types of ecosystems may seem unique under any classification (for example, caves and hot springs), but there is a danger of confusing uniqueness and rarity. In short, different ecosystems may have different uniqueness values, but these will be difficult to evaluate until the classification schemes currently being developed are widely accepted.

## Ecosystem Diversity and Species Diversity

The coarse-filter–fine-filter metaphor (see Fig. 4.6) captures the strategic value of protecting ecosystems as a vehicle for maintaining species diversity, but the relationship between ecosystem-level conservation and species-level conservation is more complex than this. Some of this complexity is captured in two related questions that have long intrigued ecologists. First, are species-rich ecosystems more stable than species-poor ecosystems? Second, why do some ecosystems have more species than other ecosystems?

## Diversity and Stability

Conservation biologists have long been concerned that species extinctions could have dire consequences for the stability of entire ecosystems. This idea is captured in a well known metaphor suggested by Anne and Paul Ehrlich (1981). Imagine you were flying in a plane, looked out of the window, and saw a rivet fall out of the wing. You might not worry too much because there are thousands of rivets in a plane, and the loss of one rivet would not make it fall apart and crash. In fact, several rivets could probably fall out before the situation became dangerous, but, eventually, if enough rivets fell out, the plane would crash. By analogy, an ecosystem could survive the loss of some species, but if enough species were lost, the ecosystem

would be severely degraded. Of course, all the parts of a plane are not of equal importance, and, as explained in Chapter 3's discussion of keystone, controller, and dominant species, not all species are of equal importance in an ecosystem. Thus it is possible that even the loss of a single important species could start a cascade of extinctions that might dramatically change an entire ecosystem. A good illustration of this occurred after fur hunters eliminated sea otters from some Pacific kelp bed ecosystems: the kelp beds were practically obliterated too, because, in the absence of sea otter predation, sea urchin populations exploded and consumed most of the kelp and other macroalgae (Estes et al. 1989). The likelihood of such calamities is related to the synergistic systems versus loose assemblage debate we discussed earlier (see Fig. 4.4); obviously, significant degradation is more likely if ecosystems are highly synergistic systems.

Three mechanisms for higher diversity increasing ecosystem stability have been proposed by Chapin et al. (1997). First, if there are more species in an ecosystem, then its food web will be more complex, with greater redundancy among species in terms of their ecological niche or role. In other words, in a rich system if a species is lost, there is a good chance that other species will take over its function as prey, predator, producer, decomposer, or whatever. Second, diverse ecosystems may be less likely to be invaded by new species, notably exotics, that would disrupt the ecosystem's structure and function. Third, in a species-rich ecosystem, diseases may spread more slowly because most species will be relatively less abundant, thus increasing the average distance between individuals of the same species and hampering disease transmission among individuals.

Research to illuminate these ideas has been slow in coming and many shadows remain, but a recent spate of experiments and theoretical models support these ideas, especially the first one. More specifically, having many species in an ecosystem will tend to stabilize ecosystem functions in response to disturbances because (1) species will differ in their response to disturbances, and (2) some species have similar ecological roles (McCann 2000; Hooper et al. 2005). For example, a species-rich system is more likely than a species-poor system to have some drought-tolerant species that could approximate the function of drought-sensitive species. Most of the support for this idea comes from models, artificial microcosms, and studies of grassland plants; further work on animals and more complex ecosystems such as forests is needed but will be very challenging to undertake. We will review the second idea, that species-rich ecosystems are less vulnerable to invasion, in Chapter 10, "Invasive Exotics." Finally, the idea that species-rich ecosystems may be less susceptible to the effects of disease organisms is indirectly supported by some research on Lyme disease spirochetes. The spirochetes are likely to have a low prevalence in forests that harbor many species of vertebrate hosts because of a dilution effect that spreads the spirochetes among species that are poor hosts (Allan et al. 2003; LoGiudice et al. 2003).

## The Species Richness of Ecosystems

Lying just below the diversity–stability question is a more fundamental issue: why are some ecosystems more diverse than others? Even the most casual observer of nature realizes that a tropical coral reef is extraordinarily more diverse than an alpine pond,

but why? What factors shape the rates at which species accumulate in an ecosystem (through colonization or speciation) versus disappear from an ecosystem (through local or global extinction)? Once again, there is no simple, universally accepted answer, but here is a brief overview of some of the ideas that have been proposed, distilled largely from Jablonski (1993), Ricklefs and Schluter (1993), Ricklefs (1995), Rosenzweig (1995), Cowling et al. (1996), Ritchie and Olff (1999), Gaston (2000), and Allen et al. (2002).

Life flourishes in warm, moist places; think about tropical forests, or consider what would happen to a bowl of egg salad left on a picnic table for a couple of summer, versus winter, days. This simple observation has been supported in the scientific literature by many positive correlations between species richness and temperature, precipitation, energy flux, and complex metrics such as potential evapotranspiration. There are some exceptions to this general pattern (e.g. species richness is often greatest at intermediate levels of gross primary productivity), and, obviously, the availability of water is usually not an issue in aquatic ecosystems. Nevertheless, the overall pattern is clear, and it makes sense: more species should be able to evolve and persist in places with adequate water and energy where they can channel their resources into growth and reproduction rather than a struggle to cope with stress. This may be especially true where water and energy are available year round (e.g. many tropical ecosystems) versus very seasonal environments with long periods of cold or drought.

Interestingly, while high levels of disturbance limit diversity (e.g. on the slopes of an active volcano), moderate levels of disturbance may actually promote species diversity. For example, a forest that is subject to occasional windstorms or ground fires may harbor more species than a forest that is rarely affected by disturbance. There are two possible explanations here. First, occasional disturbances are likely to prevent a few species from dominating the ecosystem. (In this context, predation and disease may also be considered forms of disturbance that limit dominance by a few species; recall from Chapter 3 how predation by the purple sea star leads to richer intertidal communities.) In other words, the absence of predators, disease, or disturbance may allow a few species to prosper with minimal competition, and, while such ecosystems may be highly productive (e.g. a salt marsh), they will be species-poor overall. Second, disturbances are usually patchy, and this will generate spatial heterogeneity that allows many species to coexist. For example, a forest that is a mosaic of different age patches created by small windthrow events would have all the species associated with different stages of ecological succession, while an undisturbed forest would have just those species associated with a late-successional stage (i.e. the disturbed forest would exhibit beta diversity among the different patches). Of course, many ecosystems have heterogeneous environments with or without the patchiness of disturbances, and this is also an important source of niches for additional species. For example, an ecosystem that has an array of substrates ranging from clay to boulders will support more species than one that is covered by only clay. Similarly, the vertical dimension of forests and aquatic ecosystems is a form of spatial heterogeneity that adds opportunities for many species.

One simple explanation for variance in species richness among ecosystems is size. Not surprisingly, more species can fit into a large ecosystem than a small one. There



are many reasons for this, which we will discuss in Chapter 8 in the section on fragmentation. That discussion will also cover isolation, another factor that limits species richness by curtailing colonization, especially on islands. Time may also be a factor. Notably, the species richness of the tropics may be partly related to having long periods available for evolution without being bulldozed by a glacier.

Finally, we need to recognize that species richness probably operates in a positive-feedback loop, a “snowballing effect” in more colloquial language, to further increase the diversity of species-rich ecosystems. Compare two ecosystems, one with 50 species of plants and the other with 200. The latter is likely to support a much wider spectrum of herbivores, pollinators, parasites, pathogens, and so on (Wright and Samways 1998). From this perspective one could argue that the primary driver of species richness is the physical environment, especially how big, warm, and wet it is and how much it varies in space and time because of disturbances and other factors. Secondly, the dominant species in the system (plants in terrestrial systems and a mixture of plants, algae, corals, and more in aquatic systems) shape diversity by enhancing spatial heterogeneity and providing the basis of a food web. Every species plays some role, if only as food for its suite of predators, parasites, and pathogens.

### An Important Postscript

This focus on the relative species richness of different ecosystems returns us to our earlier discussion about mismeasuring biodiversity by overemphasizing species richness (Chapter 2). Becoming fixated on species richness can lead conservation managers astray. For example, although maintaining the stability of ecosystems is an important argument for avoiding the loss of species, the converse of this argument does not hold: we should not seek to increase the stability of ecosystems by artificially augmenting the number of species, e.g. by planting additional tree species in a forest. Similarly, although sustaining species-rich ecosystems like tropical forests may be a somewhat higher priority than sustaining species-poor ecosystems, overemphasizing species richness to the exclusion of species-poor ecosystems would be very short-sighted. Recall the discussion about salt marshes, home to a narrow range of species but a very important type of ecosystem because of their productivity (see Fig. 4.5). Finally, because each type of ecosystem harbors a unique suite of species, the coarse-filter approach requires protecting a complete array of ecosystems, even those that may have relatively few species (Fig. 4.7). In particular, many islands support a precious biota of endemic species, but are not very diverse overall; the Galápagos islands may be the best example of this.

## Ecosystems and Landscapes

The mosaic of ecosystems we see from a plane is not just a random array. There are patterns to the spatial configurations of ecosystems. Lakes are drained by rivers and bordered by marshes, woodlots are patches embedded in a matrix of agricultural ecosystems, clearcuts are patches in a matrix of forests, and so on. Human-dominated landscapes in particular have a regularity of pattern and a sharp-edged character not found elsewhere. Ecologists call these mosaics of ecosystems *landscapes*, and a subdiscipline called landscape ecology has developed to study ecological phenomena that



**Figure 4.7** The extreme climatic conditions of a high-latitude or high-altitude ecosystem (tundras and the alpine ecosystem shown here) are just two reasons why they support far fewer species than the coral reef depicted in Fig. 4.6. Such ecosystems still merit conservation because of their unique biota and other attributes. (Photo from Marc Adamus.)

**Figure 4.8**

Ecologists refer to a mosaic of interacting ecosystems as a landscape. How many different types of ecosystem can you recognize in this fine-scale landscape on the coast of Maine, USA? (Photo from Aram Calhoun.)



exist at this scale (Forman 1995) (Fig. 4.8). For example, landscape ecologists are interested in ecosystems that occur as long, narrow strips, such as rivers and their associated riparian (shore) ecosystems, because these ecosystems may serve as corridors that facilitate organisms moving among ecosystems. Also of interest to landscape ecologists are the edges between ecosystems. The interface between a forest and a field is one example: it will be avoided by some species and preferred by other species (Hunter 1990).

Conservation biologists are interested in landscape phenomena for a number of reasons that we will examine further in subsequent chapters. Two brief examples will suffice here. First, many endangered species are large animals that have large home ranges – tigers, wolves, elephants, etc. – that encompass many ecosystems. If we wish to maintain habitat for these species, we must maintain entire landscapes that provide for all their needs. Second, human activities have left many natural ecosystems isolated in a matrix of human-altered ecosystems, and conservation biologists are concerned with what happens along the edges of these small, residual patches. Are they being degraded by factors that originate externally, such as exotic species, pesticides, and changes in local climate?

These and similar issues have led conservation biologists to advocate maintaining biodiversity at the landscape scale (Groves 2003). This is a way of saying that it is not sufficient to protect a representative array of ecosystems. We must also ensure that these arrays occur in spatial configurations that maintain the natural relationships among ecosystems. In short, we must maintain natural, functioning landscapes.



## CASE STUDY

## Mangrove Swamps

Despite popular impressions, tropical shores are not all white-sand beaches lined by coconut palms. In many places the transition from the terrestrial to marine realms is marked by dense stands of trees and shrubs that form a type of ecosystem known as mangrove swamps or mangal (Fig. 4.9). The seaward edge of mangal is usually quite sharply delineated, but moving inland mangal often grades into other types of swamps as the elevation rises and the water becomes less saline. This gradation is one reason why the term “mangrove” is rather ambiguous.

“Mangrove” is a quasi-taxonomic term that is routinely used for at least 70 species of woody plants from 11 families that inhabit tropical intertidal environments (Wang et al. 2003). Depending on the breadth of your definition, many more species could be added. Of course, on a global scale 70 species of plants is not very many – you could find that number of tree species in a fraction of a hectare of tropical rain forest, and in any given mangrove swamp only one or a few species of mangrove may occur. The biotic diversity of mangrove swamps is quite low because relatively few vascular plant species have evolved mechanisms such as salt secretion for living in saline environments.

Despite modest levels of species diversity, mangrove swamps are very important and interesting ecosystems (Kathiresan and Bingham 2001; Saenger 2002).



**Figure 4.9** Mangroves are marine wetlands that occur along many tropical coast lines like this one in Sarawak, a state of Malaysia. (Photo from Aram Calhoun.)



First, they are extremely productive, capturing sunlight and collecting nutrients imported by the tides, and exporting huge amounts of organic matter to the adjacent aquatic ecosystems where they support aquatic food webs and economically valuable fisheries. For certain commercial fish species, mangrove swamps provide cover, as well as food, especially for young individuals. Consequently, it is common to refer to them as nurseries. They also provide a sort of cover for shoreline human communities by creating a buffer against the storm tides of hurricanes and typhoons, and, as dramatically demonstrated in Indonesia in 2004, tsunamis. Conversely, they buffer coral-reef and sea-grass ecosystems from siltation stemming from inland erosion. Mangrove swamps also provide resources – timber and fuelwood – that can, unfortunately, lead to their overexploitation (Saenger 2002). Limited wood harvest might be sustained, but it is often overdone, especially considering the risk to fisheries production. Worse than the threat of excessive timber harvesting is the wholesale destruction of mangal to make room for aquaculture, agriculture, and coastal development (ranging from garbage dumps to high-rise hotels). Because they occupy a narrow band between the land and the sea, mangrove swamps have never occupied a large total area, and this makes it doubly tragic that so many have been lost. Worldwide, roughly a third of all mangroves have been destroyed, leaving less than 200,000 km<sup>2</sup> (Alongi 2002), and the economic incentives for this continue to be enormous (Janssen and Padilla 1999). Fortunately, the great ecological value of mangrove swamps is being recognized in some quarters. For example, in a court case involving restoration of an 8.1 ha mangrove swamp in Puerto Rico damaged by an oil spill, an oil tanker was initially fined over \$6 million (\$751,368 per hectare) (Lewis 1983). Mangrove restoration may be feasible (Ellison 2000; Saenger 2002), but it certainly would be preferable to avoid damaging them in the first place.

## Summary

The conceptual definition of an ecosystem is straightforward – a group of interacting organisms and their physical environment – but deciding where one ecosystem ends and another begins can be difficult. Evaluating the differences and similarities among many ecosystems and classifying them into different ecosystem types is even more challenging. Despite these difficulties, recognizing and classifying ecosystems are useful exercises for organizing our understanding of the patterns of ecological interactions.

The value of an ecosystem, at a minimum, consists of the sum of all the values of the species that occupy the ecosystem. Beyond this, the instrumental values of ecosystems are primarily based on services: for example, exporting clean water and other economically valuable functions, providing complex models for research and education, and serving as sites for spiritual renewal. From a conservation standpoint, ecosystems have a critical strategic role because protecting a representative array of ecosystems will protect biodiversity at the species and genetic level to a significant extent. The idea of ecosystems having intrinsic value revolves around an unresolved controversy: to what extent are ecosystems loosely organized collections of species versus highly integrated systems of coevolved species? The closer they are to being highly integrated, the more likely it is that loss of species could lead to ecosystem degradation. In general, ecosystems with high species diversity are likely to be less subject to degradation (more stable), but this is a complex subject. Many factors influence the relative species diversity of different types of ecosystems, such as patterns of energy, water, heterogeneity, size, and more. Maintaining ecosystem diversity also requires maintaining the spatial arrangements in which ecosystems occur; in other words, natural landscapes require protection.

### FURTHER READING

A 29-volume series, *Ecosystems of the World*, published by Elsevier of Amsterdam, is the single most comprehensive treatment available. For material on many of the world's most threatened ecosystems, read Groombridge (1992). For further reading on the issue of how tightly organized ecosystems are, see Botkin (1990), Pimm (1991), and Schulze and Mooney (1993). The species richness patterns of ecosystems are covered in Ricklefs and Schluter (1993), Huston (1994), and Rosenzweig (1995), and the relationship to ecosystem stability in Loreau et al. (2002), Kareiva and Levin (2003), and Hooper et al. (2005). See Forman (1995) for a landscape-scale perspective on ecosystems and Bailey (1996) to read about ecological regions. For web-based information about ecological communities in the western hemisphere, including a classification system, see [www.natureserve.org](http://www.natureserve.org). Descriptions of ecoregions are available at [www.nationalgeographic.com/wildworld/](http://www.nationalgeographic.com/wildworld/) and you can use [www.worldwildlife.org/wildfinder](http://www.worldwildlife.org/wildfinder) to find lists of their mammals, birds, reptiles, and amphibians.

### TOPICS FOR DISCUSSION

- 1 In the area where you live, which types of ecosystems are easiest to define? Which are hardest? Why?
- 2 Draw a map of the ecological region you inhabit. How did you distinguish it from surrounding regions?
- 3 What is the rarest type of ecosystem in your region? Have many examples of it been protected?
- 4 What services are provided by the major types of ecosystems in your region?
- 5 What evidence can you cite that supports the idea that ecosystems are just loose collections of species? What evidence refutes the idea? If you do not specifically know of such evidence, how would you design a research program to obtain it?



## CHAPTER 5

# Genetic Diversity

The process by which sequences of four simple chemicals – adenine, thymine, cytosine, and guanine – shape a molecule of DNA and, ultimately, all the organisms that comprise the earth's biota is an extraordinary story. It is a story about the foundations of biological diversity. It can be a rather complex story, and if your recollection of Hardy–Weinberg equilibria, phenotypes versus genotypes, alleles, diploidy, and so on has rusted a bit, you will find it helpful to review the genetics and evolution sections of a biology textbook before proceeding.

## What Is Genetic Diversity?

A good place to appreciate genetic diversity is at a county fair. Peppers, squashes, chickens, horses, cattle, and most other domestic species come in an extraordinary array of colors, shapes, and sizes. Some of this phenotypic diversity was shaped by environmental conditions such as the soil in which the peppers were grown, but most of it is based on genotypic differences. In other words, you are seeing the expressions of genetic diversity based on differences in the types and distributions of the genes that occur within every individual.

It is useful to think of genetic diversity as occurring at five levels of organization: (1) among higher taxonomic categories such as phyla and families, (2) among species, (3) among populations, (4) within populations, and (5) within individuals. Most conspicuous is the kind of diversity one sees between kingdoms (such as plants versus animals), phyla (e.g. arthropods versus chordates), classes (e.g. birds versus reptiles), and so on. If one thinks of species as leaves on the tree of life, then these are the differences between the twigs, limbs, and branches. As an example, consider the marine domain where there are fewer species but more phyla of animals than there are on land (Norse and Crowder 2005). Phyletic diversity is highest in the sea, whereas species diversity is highest on land.

Still quite conspicuous are the genetic differences that distinguish one species from another, horses from cows or peppers from squashes. We do not always think of the differences between cows and horses as manifestations of genetic diversity because we can usually distinguish species readily without knowing anything about their genes. Species that are an exception to this generalization are called cryptic species (see Chapter 3).

The genetic diversity among the populations that constitute a single species can also be quite substantial. Someone who had never encountered the diversity of dogs would

hardly believe that a St Bernard and a Chihuahua represent genetic diversity within the same species. Also, most people who have eaten cabbage, cauliflower, broccoli, kale, kohlrabi, and brussels sprouts their whole lives do not realize that they are genetic variations of the same species, *Brassica oleracea*. Of course, these differences have been generated by artificial selection. Among wild populations, genetic diversity is usually not manifested in conspicuous characteristics unless perhaps the populations are widely separated geographically. Nevertheless, genetic diversity among populations (e.g. differences in tolerance to thermal stress) can be profoundly important.

Within populations of most wild species, different individuals can look quite similar, but they are almost invariably genetically distinct from all other individuals. Exceptions include individuals that have identical siblings, because a single zygote split into two or more during its development, and individuals produced by asexual reproduction. We will discuss the importance of genetic differences within populations in some detail in this chapter.

Finally, genetic diversity exists within a single individual. Because complex organisms undertake billions of cell divisions to transform from zygote to adult, mutations or copying errors can accrue during cell replication and these represent evolution within a single individual. More familiarly, wherever there are two alleles for the same gene or, to state it more explicitly, different configurations of DNA occupying the same locus on a chromosome, genetic variation occurs within an individual. Differences in the distributions of alleles are the foundation for measuring genetic diversity. (For details on how genetic diversity arises through processes such as mutation and natural selection, see Hartl and Clark 1997; Hartl 2000; Frankham et al. 2002.)

## Measuring Genetic Diversity

There are six basic methods to determine qualitative variation among individuals and populations in the types of alleles present at a given locus. An indirect technique, called protein electrophoresis, involves determining the rate at which enzymes move through a gel when subjected to an electrical field. Different alleles produce different variations of enzymes that move at different rates; enzymes that differ because of allelic differences are called allozymes. The most direct method, called DNA sequencing, involves directly determining the sequence of adenine, thymine, cytosine, and guanine for a given segment of DNA. Four intermediate methods break DNA into fragments and then separate and characterize these fragments using electrophoresis. Different alleles produce different fragment lengths. These methods are described briefly in Box 5.1 and in Hartl (2000), and more completely in Hoelzel (1998). Their application to conservation is well elaborated in Haig (1998), Hedrick (2004), and Wayne and Morin (2004).

Because these methods are quite laborious, it is not generally feasible to determine allelic distributions for the many thousands of genes found in most organisms. Therefore, a sample of genes must be selected. Similarly, it is usually not possible to test all the individuals in a population; thus a sample of individuals is used. After the allelic distribution for a sample of genes from a sample of individuals has been determined, then an index to describe these distributions quantitatively can be calculated. Conservation biologists often use two indices – polymorphism and heterogeneity – to quantify genetic diversity and understand its role in population persistence.

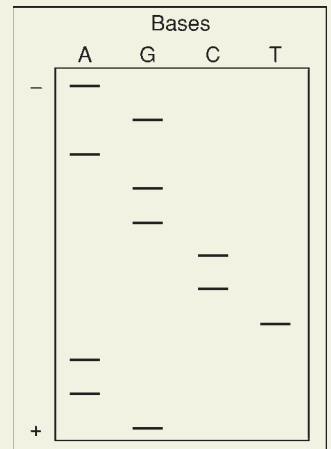




**DNA Sequencing.** In preparation for DNA sequencing, specific DNA (gene) fragments are isolated using PCR or cloning techniques. Sequences differing in length by one base pair are separated by size in an electrophoretic sequencing gel. In one technique, sequences are made radioactive and gels are exposed to X-ray film to expose the radioactive signal; another sequencing technique relies on fluorescent dyes. The DNA sequence in the example shown here is GAATCCGGAGA, reading from the bottom. Sequences are aligned, and each site along the length is compared among different individuals. Differences in molecular sequences provide the information for computing measures of genetic relatedness and subsequent phylogenetic analysis.

Besides sorting out systematic relationships (species, subspecies, and evolutionary significant units) and assessing genetic diversity within and among populations, another practical application of this DNA technology is conservation forensics, which involves the identification of illegally collected species such as whales, salmon, deer, and turtles (e.g. Cipriano and Palumbi 1999).

1 Jackson Laboratory, Bar Harbor, Maine.



## Polymorphism

Polymorphism (usually abbreviated P) is defined as the proportion or percentage of genes that are polymorphic. A gene is considered polymorphic if the frequency of the most common allele is less than some arbitrary threshold (otherwise it is monomorphic, i.e. lacking in variation). This threshold is usually 95% (Hartl and Clark 1997), although with the advent of techniques that have much higher powers of resolving different alleles (Box 5.1), a threshold of 99% may be more appropriate (D. Hartl, personal communication). This definition is easier to explain with numbers than with words; we will use data collected from five American bison sampled from the descendants of bison that were moved to Badlands National Park as part of a reintroduction program (McClenaghan et al. 1990). The allelic distributions for 24 different genes were determined using electrophoresis of blood samples, and only one gene was polymorphic. That is, for the other 23 genes sampled, a single allele accounted for at least 95% of the samples. The polymorphic gene was called malate dehydrogenase-1 (abbreviated MDH-1) for the enzyme it encoded. MDH-1 had two different alleles that we will call X and Y. Among the five bison, two individuals (A and B) were heterozygous (X/Y), two individuals (C and D) were homozygous for the Y allele (Y/Y), and one (E) was homozygous for the X allele (X/X) (Table 5.1). In this case Y was the most common allele; its frequency was 0.6 or 60% (i.e. 6 of the 10 alleles were Y), and the frequency of the X allele was 0.4. Because the frequency of the most common allele, Y, was less than 95%, the MDH-1 gene was considered polymorphic. Because out of the 24 genes sampled only MDH-1 was polymorphic, the estimated polymorphism was 1 divided by 24 or 0.042 or 4.2%.

Although it is common for a single allele to comprise close to 100% of any gene that is not polymorphic, very few genes consist of absolutely 100% of a single allele. Thus, if you search a large enough sample of individuals, you are likely to find *rare*

**Table 5.1**

Distribution of two alleles, MDH-1<sup>X</sup> and MDH-1<sup>Y</sup>, among five bison.

| Bison          | X allele | Y allele | Genotype |
|----------------|----------|----------|----------|
| A              | 1        | 1        | X/Y      |
| B              | 1        | 1        | X/Y      |
| C              | 0        | 2        | Y/Y      |
| D              | 0        | 2        | Y/Y      |
| E              | 2        | 0        | X/X      |
| Total          | 4        | 6        |          |
| Gene frequency | 0.4      | 0.6      |          |

*Source:* sampled by McClenaghan et al. (1990).

*alleles.* Rare alleles are defined as having a frequency of less than 0.005, 0.01, or 0.05, depending on the techniques employed and how the information is being used (D. Hartl, personal communication; Hartl and Clark 1997). Most of these rare alleles linger in populations but have no fitness advantages for individuals that possess them. However, these rare alleles can suddenly become the grist for evolutionary change; that is, they represent the latent variation in populations that becomes valuable when the environment changes. Finally, we need to emphasize that polymorphism is based on the distribution of alleles, not genotypes. This means that if you had a population without any heterozygotes, a gene could still be polymorphic; for example, a population of four homozygous Y/Y bison plus one homozygous X/X bison would be polymorphic at this locus, and P would still be 4.2%.

### Heterozygosity

A second index, called *heterozygosity* (usually abbreviated H), is defined in two ways. The most typical is the proportion or percentage of genes at which the average individual is heterozygous. The second is the proportion of individuals in a population heterozygous for a particular gene (Hartl and Clark 1997). In the bison example, two out of five individuals were heterozygous at the MDH-1 locus, so heterozygosity  $2/5 = 0.4$  for this gene. We can calculate H by averaging the heterozygosity of each gene across all 24 genes. In this case,

$$\frac{0.4 \text{ (for MDH-1)} + 0_1 + 0_2 + \dots + 0_{23} \text{ (for the other 23 genes)}}{24} = \frac{0.4}{24} = 0.017$$

Two uses of heterozygosity measurements merit description. First, geneticists often compare the heterozygosity that they measure – the observed H, or  $H_o$  – with the heterozygosity they would expect to find,  $H_e$ , given the relative frequency of alleles. The expected heterozygosity is calculated by using the middle component ( $2pq$ ) of the Hardy–Weinberg equation,  $p^2 + 2pq + q^2 = 1$ . In this example, given a frequency of

$p = 0.6$  for the  $Y$  allele and  $q = 0.4$  for the  $X$  allele (see Table 5.1), the Hardy–Weinberg equation is

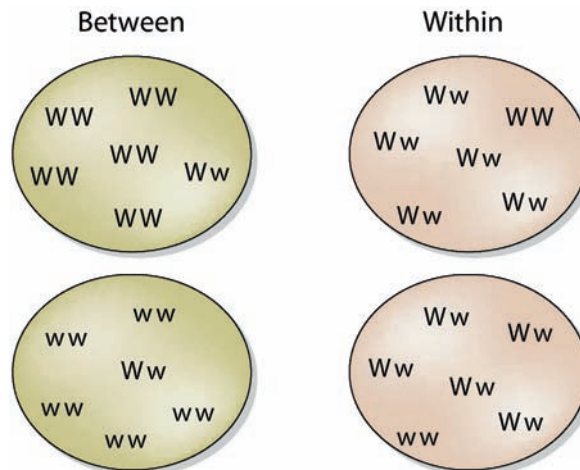
$$0.36(Y/Y) + 0.48(X/Y) + 0.16(X/X) = 1$$

Consequently,  $H_c$  for MDH-1 is 0.48 ( $2 \times 0.6 \times 0.4 = 0.48$ ). The  $H_c$  based on all genes for these five bison is  $0.48/24 = 0.02$ , which is very similar to the  $H_o$  of 0.017.

Second, geneticists often use the heterozygosity index to estimate how much of a species' total genetic diversity ( $H_t$ ) is due to genetic diversity within the populations that compose the species ( $H_s$ ) versus how much is due to variability among the populations ( $D_{st}$ ) (Nei and Kumar 2000). Mathematically, this can be expressed as  $H_t = H_s + D_{st}$ . (This concept is often expressed with different but related formulas, but the basic idea is the same: partitioning variability within and between populations.) If a species has a relatively high  $D_{st}$ , then it is necessary to maintain many different populations to maintain the species' genetic diversity. Alternatively, if most of the species' genetic diversity exists within every population (i.e.  $H_s$  is relatively high), then it is less critical to maintain many different populations (Figs 5.1 and 5.2). This is often a key issue for people who manage populations of endangered species, and we will return to it in Chapter 13, "Managing Populations."

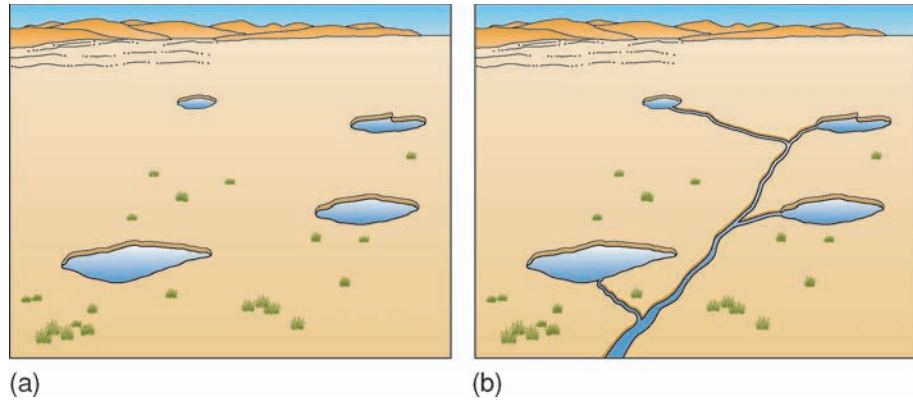
### Quantitative Variation

Not all methods for measuring genetic variation are based on tallying qualitative attributes, such as allele frequencies in populations. The key traits that most determine fitness are in fact quantitative or continuous characters such as height, weight, litter size, seed



**Figure 5.1** Genetic diversity is partitioned within versus among populations to varying degrees with important implications for conservation strategies. In the first case ("between") the two alleles present ("W" or "w") are each sequestered into different populations. Here conserving genetic diversity can be accomplished only by protecting both populations. In the second case ("within") each population has both alleles present and protecting a single population captures all the diversity present.





**Figure 5.2** The relative distribution of genetic variation between and among populations of desert fishes differs substantially. Meffe and Vrijenhoek (1988) describe two models: the Death Valley Model (a) in which populations reside in isolated desert springs; and the Stream Hierarchy Model (b) in which populations are connected by a stream system and can exchange genes at a rate that will be affected by their proximity and by the permeability of the intervening habitat.  $D_{st}$  (variability among the populations) will probably be significantly higher in populations that fit the Death Valley Model.

set, and survival probability (Frankham et al. 2002). Such traits vary continuously because they are polygenic (controlled by many genes) and are also affected by the environment. Scientists study quantitative traits because they tell us much about the capacity of a population to evolve in response to environmental change. As an example, consider the case of turtles and global climate change. The majority of the world's turtles have environmental sex determination; that is, the incubation temperature of the eggs determines the sex of the hatchlings. In most (but not all) species, eggs develop into females if incubated above a pivotal temperature (about 30°C) but into males below that temperature. Based on a study of the likely intensity of selection (predicted rate of climate change) and how much variation and heritability are present in wild turtle populations, Janzen (1994) proposed that most turtle species cannot evolve rapidly enough to keep up with climate warming and therefore global climate change could eliminate the production of male turtle offspring entirely. Such studies of quantitative traits are time-consuming and thus infrequently undertaken but they provide the only real insights into the capacity of populations to evolve to human-caused environmental changes.

## The Importance of Genetic Diversity

To assess the importance of genetic diversity, it is useful to think of genes as units of information rather than tangible things. As tiny amounts of carbon, hydrogen, oxygen, nitrogen, and some other common elements, genes have little value in and of themselves. As sources of information, however, genes are clearly essential; they shape the synthesis of the biochemicals that control cellular activity and, ultimately, all biological activity. The quantity of information encoded by genes is enormous; a typical mammal might have 100,000 genetic loci. E. O. Wilson (1988) estimated that

the amount of genetic information encoded in a single mouse, if translated into letters, would fill the first 15 editions of the *Encyclopaedia Britannica*.

Of course, most of this wealth of genetic diversity is encapsulated in the diversity of species and their interspecific genetic differences. The key issue to address here is the distribution of alleles. Why is it important to maintain different versions of the same gene and, in many circumstances, to have them well distributed in a population dominated by heterozygotes rather than homozygotes? There are three basic answers: evolutionary potential, loss of fitness, and utilitarian values.

## Evolutionary Potential

A key requisite for natural selection is genetic-based variability in the fitness of individuals; that is, some individuals must be more likely to survive and reproduce than others. If every individual were genetically identical and only chance determined which ones left progeny, then populations would not change through time or would do so chaotically. If they are to persist, however, populations must change as the world is changing. The physical world changes as continents drift over the globe, mountains rise and erode, oceanic currents and jet streams shift paths, and the earth's orbit around the sun varies. The biological world changes as species evolve, become extinct, and shift their geographic ranges, coming into contact with new species that may be predators, prey pathogens, or competitors. Changes have been particularly dramatic during the past few decades as human populations and their technological capabilities have grown and profoundly altered the evolutionary arena. Humans are now the central organizing reality around which non-human life will evolve.

Species with greater genetic diversity are more likely to be able to evolve in response to a changing environment than those with less diversity. To put it another way, the potential rate of evolution is directly proportional to the amount of variability in a population. One classic example involves many species of moth, notably *Biston betularia*, that occur in two different forms: a light form that is hard to detect against a lichen-covered tree trunk and a dark form that is not cryptic among lichens (Fig. 5.3) (Kettlewell 1973). The light moths were much more common than the dark forms until the nineteenth century when air pollution killed lichens and covered trees with soot. On the darkened trees the light moths were more conspicuous to predators, and the dark form became dominant. More recently, air pollution has been curbed in some forests, and the light moths are increasing again. Without the genetic diversity expressed in two color forms, the species might not have survived these changes. A similar story could be told for many species of plants and fungi that have evolved a tolerance for the high concentrations of toxic metals often found at mine sites (Antonovics et al. 1971). Even over-harvest can select for changes in plant morphology as long as there is genetic variation present for selective process to operate on (Fig. 5.4).

Environments change through space, as well as time, and a species with greater genetic diversity is more likely to colonize a wider range of environments than a species with limited genetic diversity. For example, a survey of the heterozygosity and polymorphism of 189 species of amphibians indicated that genetic diversity was greatest in amphibians that lived in the most heterogeneous environments (e.g. forests) and least in homogeneous environments (e.g. aquatic ecosystems and underground)

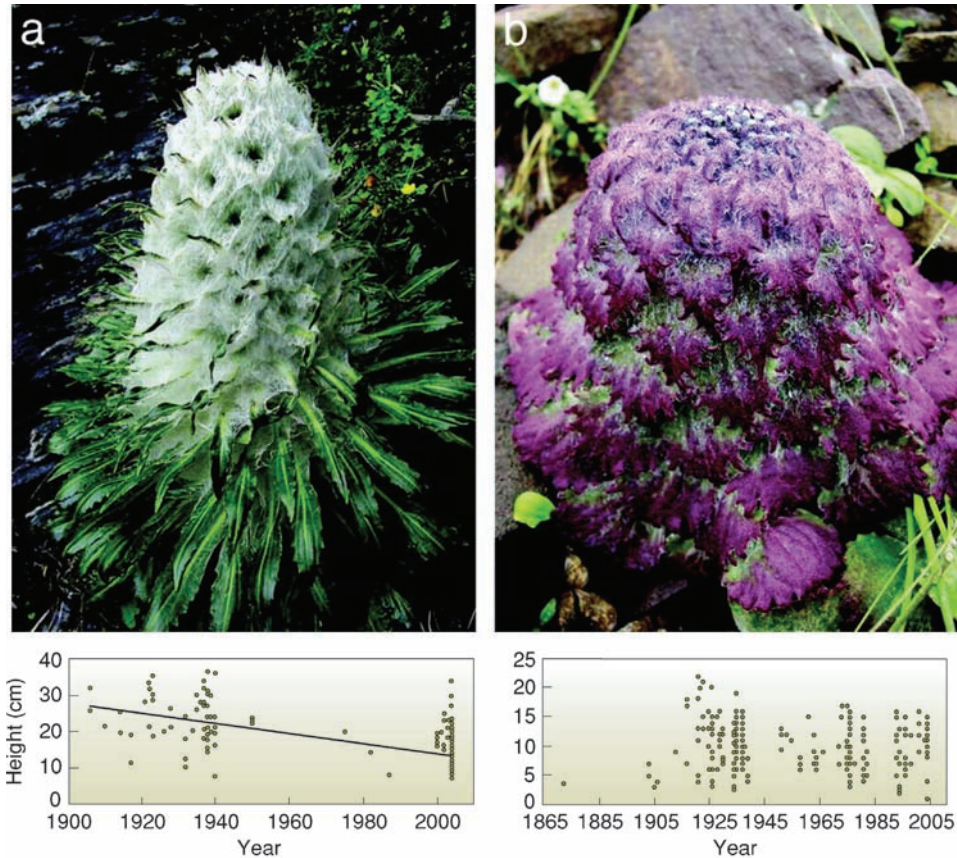
**Figure 5.3** Genetic diversity allows species to adapt to changing environments, as when dark forms of certain moths helped the species to survive after air pollution darkened the trees they inhabited.



(Nevo and Beiles 1991). A similar pattern has been shown for plants (Gray 1996). Last, maintaining levels of genetic diversity within species is important for preserving coevolutionary processes. For example, greater genetic diversity in populations of an ecologically dominant plant can be associated with greater species diversity in the insect community that depends on it, as is the case with cottonwoods (*Populus*) in western North America (Bangert et al. 2005), because many herbivorous insects are restricted to particular genotypes of their host-plant population.

### Loss of Fitness

Populations that lack genetic diversity may also experience problems (low fertility and high mortality among offspring, etc.) even in environments that are not changing (Fig. 5.5). A loss of fitness in genetically uniform populations is often called inbreeding depression because it usually develops from breeding between closely related individuals. It is a well known phenomenon in zoos, where populations of captive animals are often small and individuals are often closely related (Ralls et al. 1988) (Fig. 5.6). It affects traits important for fitness, such as symmetry of body parts (for example, Fig. 5.7). Reproductive biology is particularly sensitive. For example, female marmoset monkeys inbred in captive situations develop fused labia and cannot copulate but are otherwise reproductively healthy. The defect results from expression of a recessive trait (Isachenko et al. 2002). Inbreeding is also a problem for plant and animal breeders

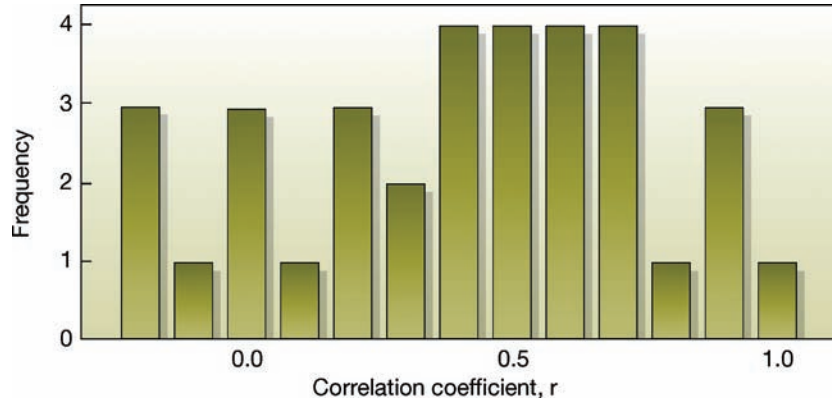


**Figure 5.4** Collecting one species of snow lotus, *Saussurea laniceps* (a), for use in traditional Tibetan and Chinese medicine has led to a decline in height based on herbarium specimens and field collections over the past 100 years, while another species that is seldom collected, *S. medusa* (b), showed no significant decline. (From Law and Salick 2005, © 2005, National Academy of Sciences, USA.)

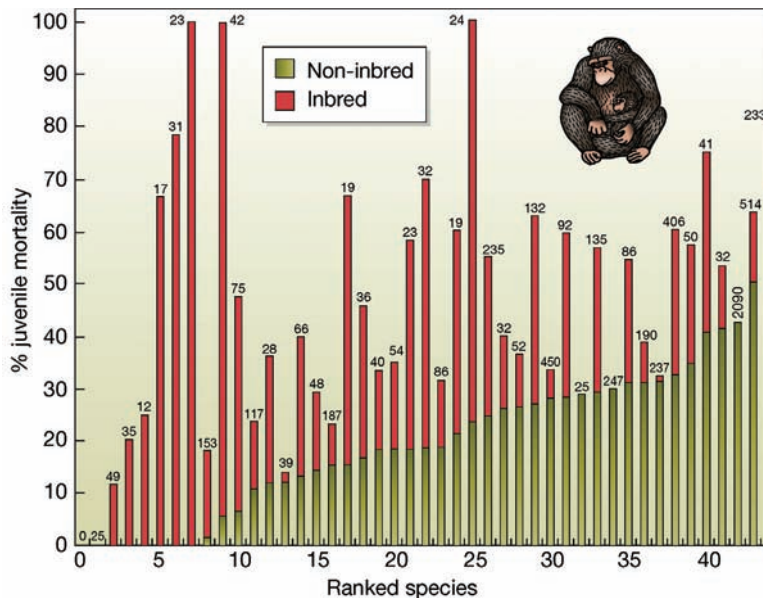
who breed individuals that are genetically similar to one another to promote desirable characteristics that they share, such as a preferred color or resistance to a certain disease.

There are three general explanations for relatively low fitness in genetically uniform populations. First, there is more homozygosity in genetically uniform populations, and this may lead to the expression of recessive deleterious alleles that are suppressed in heterozygous individuals. Hip dysplasia in purebred dogs is a widely known current example; at one time, inbreeding within the royal families of Europe resulted in many family members having a split upper lip. Some alleles, called *lethal recessives*, are even fatal when they come together in a homozygous recessive individual. Second, heterozygous individuals may be more fit in terms of phenotypic char-





**Figure 5.5** Relationships between reproductive fitness and genetic diversity summarized across many studies by Reed and Frankham (2003). The strength of the relationship is measured by the correlation coefficient, which ranges from  $-1$  when higher fitness is associated with lower genetic diversity (and vice versa) to  $+1$  when higher fitness is associated with higher genetic variation (and vice versa). If there was no relationship then most studies would report correlations between fitness and genetic diversity around zero, but as this figure clearly indicates relationships tend to be quite positive (averaging about 0.4).



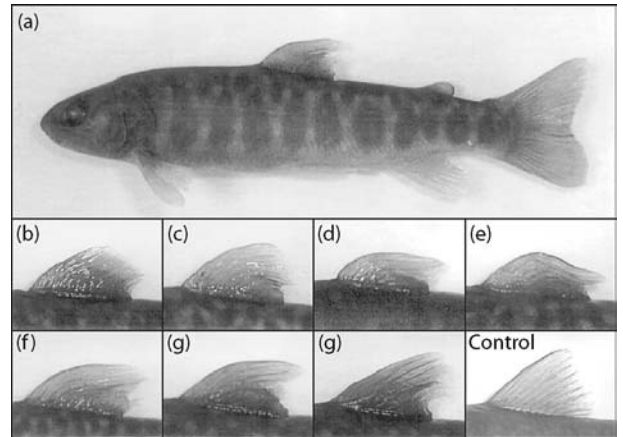
**Figure 5.6** Juvenile mortality in 44 species of mammals (16 ungulates, 16 primates, ten rodents, one marsupial, and an elephant shrew) bred in captivity. Red bars represent mortality rates with inbred parents; green bars represent mortality rates from matings between unrelated parents. Species are arranged from left to right by increasing mortality from unrelated parents. Numbers on the tops of the bars are the sample size. (Data from Ralls and Ballou 1983.)

acteristics than homozygous individuals, a phenomenon known as *heterosis*. For example, evidence suggests that heterozygous animals tend to be more resistant to disease, grow faster, and survive longer than homozygotes (Frankham 1995); this effect seems to be present, but not as strong, among plants (Ledig 1986). The third reason is closely tied to the “evolutionary potential” issue discussed in the preceding section. In a population dominated by heterozygotes there will be more genetic variability among offspring (some heterozygotes, some homozygous dominants, and some homozygous recessives), and in an unpredictable environment perhaps at least some of the young will survive. In other words, from an evolutionary perspective it may be preferable not to put all your eggs in one basket or all your zygotes into one genotype.

Inbreeding in wild animal populations is difficult to document, because many animal species employ behavioral mechanisms, such as juveniles dispersing away from the place where they are born or recognizing and avoiding mating with relatives, to avoid breeding with close relatives (Ralls et al. 1986). Nevertheless, evidence for low fitness due to loss of genetic variation has slowly been accumulating for a diverse suite of species. For example, Crnokrak and Roff (1999) reviewed 157 datasets for 34 species in natural situations and found that in 90% of cases inbred individuals displayed some form of fitness depression relative to comparably outbred individuals. Good examples are lions (Packer et al. 1991), song sparrows (Keller et al. 1994), adders (Madsen et al. 1996), and natterjack toads (Rowe and Beebee 2005). Inbreeding is particularly germane in captive populations and hence of great concern to conservation biologists who work with captive populations of wild species that are endangered or extinct in the wild, and those who manage rare breeds of domestic species. We will return to loss of fitness in genetically uniform populations later in this chapter and in Chapter 14, “Zoos and Gardens.”

Despite the slowly growing evidence, it is possible that genetic uniformity may not be detrimental to all species (Thornhill 1993). In particular, many plant species seem to have evolved a greater tolerance for inbreeding depression than animals, presumably because they are less mobile, and in many species self-fertilization is common (Barrett and Kohn 1991). With self-fertilization, deleterious recessive alleles will often appear together in homozygous recessive individuals, and natural selection should soon remove them from a population, although there are exceptions (Crnokrak and Barrett 2002).

A loss of fitness can also occur when mating occurs between individuals that are too genetically dissimilar; this is called *outbreeding depression* (Schaal and Leverich 2005). For example, when the ibex population of the Tartra Mountains of Slovakia was extirpated, conservationists replaced it with ibex from nearby Austria (*Capra ibex*



**Figure 5.7** Deformities resulting from population isolation and inbreeding, in this case in dorsal fins of white-spotted charr on a small tributary cut off by dams from the Sufu River, Honshu Island, in Japan (from Morita and Yamamoto 2000). The control is from a larger, more connected population from the Toyohira River.



**Figure 5.8** Outbreeding among ibex translocated from Austria, Turkey, and the Sinai led to a population that produced offspring in the winter. The young perished, and the population disappeared. This is one of the species involved in the dysfunctional crosses: *Capra ibex ibex*. (Photo by Amadej Trnkoczy.)

*ibex*), and later added ibex from Turkey (*Capra ibex aegagrus*) and the Sinai (*Capra ibex nubiana*) (Turcek 1951; Greig 1979). The offspring of these subspecific crosses mated in the fall rather than the winter as the Austrian ibex had, and their young were born during the winter, rather than spring, and died (Fig. 5.8). The reintroduction failed. In this case, genetic diversity in the form of local adaptations to the seasonality of local environments was lost. Turcek (1951) also reported a more extreme case of outbreeding depression after the Siberian subspecies of the roe deer was introduced to Slovakia. When females of the European subspecies mated with the much larger Siberian males, they died during parturition because they were unable to deliver the large fawns. Last, outbred common frogs (*Rana temporaria*) are smaller and malformed (Sagvik et al. 2005) so caution needs to be taken in translocating frogs to restore distant populations.

Although outbreeding depression usually refers to intraspecific mating, botanists also use the term to refer to a loss of fitness that occurs when individuals of two closely related species interbreed, what zoologists would call hybridization. (Recall that botanists often do not use the reproductive-isolation definition of species described in Chapter 3.) Interspecific outbreeding depression or hybridization is a problem among some rare plants that may be exposed to large amounts of pollen from closely related common species (e.g. Rieseberg and Gerber 1995). In the case of some rare plants suffering from inbreeding, such as seabluft catchfly, outbred individuals may be more fit than offspring from selfing or local pollination and hence more valuable for translocation efforts (Kephart 2004). In summary, inbreeding and outbreeding may lead to a loss of fitness because: (1) with inbreeding, mating within a genetically uniform population means there are fewer heterozygotes and more

homozygotes (some of which may express recessive deleterious alleles); and (2) with outbreeding, adaptive genetic differences among populations are lost through interbreeding. It must be emphasized that these are both generalizations that do not necessarily apply to all species and may even vary within different populations of the same species (Fenster and Galloway 2000). For a review of inbreeding and outbreeding, see Thornhill (1993).

## Utilitarian Values

The surreal images of a St Bernard sitting on someone's lap or a Chihuahua wading through alpine snows highlight the importance of the genetic diversity of domestic species. This diversity allows people to act as agents of selection and develop different forms of the same species for a variety of purposes: lap dogs and rescue dogs, corn for silage and corn for the dinner table, cherries to eat and cherry trees to admire, and so on (Maxted et al. 1997; Virchow 1999; Mormede 2005). Just as important, it allows

us to grow the same species in a variety of environments, each with a different climate and local suite of pathogens, predators, competitors, and so forth. Wheat thrives in the deserts of the Middle East (its original home) and in the northern prairies of Canada; cattle range from alpine meadows to tropical grasslands. Genetic diversity can also be exploited simply to satisfy our appetite for variety. Flower gardens are strong testament to the saying “variety is the spice of life.”

The genetic diversity of some wild populations is also important to plant and animal breeders because wild relatives of domestic species are a significant source of genetic material. For example, when scientists at the International Rice Research Institute in the Philippines set out to develop a variety of rice that would be resistant to a major disease, grassy stunt virus, they screened over 6000 varieties of rice and found only one variety that was resistant to the disease. That variety, a wild species of rice called *Oryza nivara*, was represented in their collection by only 30 kernels, of which only three showed resistance (Hoyt 1988). Returning to the area in north-central India where the rice sample had been collected, they could find no new material; the original collection site had been inundated by a dam. Fortunately, this story still had a happy ending because they were able to use the genetic information in these three kernels to develop a new variety of rice, IR36, that is resistant to this virus and is planted across millions of hectares in Asia.

Differences within a species can be of strategic value to conservation because they provide a clear justification for protecting a species across its entire geographic range, including all subspecies and major populations. This is particularly important if the species is a flagship or umbrella species because a wide variety of other biota may benefit. We will return to this issue in Chapter 13, “Managing Populations.”

## Postscript

Careful readers may wonder why we have departed from the taxonomy of values used for species and ecosystems: intrinsic, instrumental, and uniqueness. We could squeeze genes into this classification, but it seems a bit contrived to talk about intrinsic value and uniqueness of molecules. The value of genes lies in what they do, rather than what they are, and in this sense all of their value is instrumental. The classification used here distinguishes between values that are important to the species itself (evolutionary potential and loss of fitness) and those that are important to people and other species (utilitarian values).

## Processes that Diminish Genetic Diversity

To better understand the relationship between reduction in genetic diversity and loss of fitness, we will now consider the processes that diminish genetic diversity, especially in small populations: genetic bottlenecks, random genetic drift, and inbreeding.

### Bottlenecks and Drift

Some populations are quite large: thousands of individuals are loosely connected through a web of breeding that ensures genetic *flow* throughout the population. On



the other hand, some populations are quite small, perhaps because they are confined to small, isolated patches of habitat and have limited dispersal abilities. In this section we are primarily concerned with what can happen to the genetic diversity of small populations, especially among species that usually live in large populations. Sometimes, large populations experience a catastrophe such as a hurricane and collapse to a few remnant individuals. Sometimes, a few individuals arrive in a new area and establish a new population that is inevitably small at first; this is called a *founder event*. When a population collapses or a new population is established, the genetic diversity of the original larger population is likely to be reduced because only a sample of the original gene pool will be retained. If you start with a population of 1000 bison with 2000 alleles for MDH-1 and reduce it to 50 bison, only 100 alleles will remain. Moreover, the remaining sample is not likely to be representative of the whole. This phenomenon is called a *genetic bottleneck*. Passing through a genetic bottleneck can create two problems: (1) a loss of certain alleles, especially

**Table 5.2**

Proportion of genetic variation remaining after a genetic bottleneck.

| Sample size ( <i>N</i> ) after bottleneck | Proportion of heterozygosity retained | Average number of alleles retained from an original set ( <i>m</i> ) of 4 |  |
|---|---------------------------------------|---|--|
|   |                                       | $p_1 = 0.70,$<br>$p_2 = p_3 =$<br>$p_4 = 0.10$                            | $p_1 = 0.94,$<br>$p_2 = p_3 =$<br>$p_4 = 0.02$ |
| 1   | 0.50                                  | 1.48  | 1.12   |
| 2   | 0.75                                  | 2.02  | 1.23   |
| 6   | 0.917                                 | 3.15  | 1.64   |
| 10  | 0.95*                                 | 3.63  | 2.00†  |
| 50  | 0.99                                  | 3.99  | 3.60   |
| ∞‡  | 1.00                                  | 4.00  | 4.00   |

\*Retention of heterozygosity is approximately equal to  $1 - 1/(2N)$ , where  $N$  is the population size after the bottleneck. If a population crashed to 10 individuals, about  $1 - 1/(2 \times 10) = 1 - 0.05 = 0.95$  of the genetic variation of the original population would remain.

†The formula for estimating how many alleles would remain after a bottleneck is  $E = m - \sum_j (1 - p_j)^{2N}$ , where  $m$  is the number of alleles before the bottleneck,  $p_j$  is the frequency of the  $j$ th allele, and  $N$  is the population size after the bottleneck. From an original set of four alleles the remaining number would be

$$\begin{aligned}
 &4 - \sum (1 - 0.94)^{20} + (1 - 0.02)^{20} + (1 - 0.02)^{20} + (1 - 0.02)^{20} = \\
 &4 - \sum 0.06^{20} + 0.98^{20} + 0.98^{20} + 0.98^{20} = \\
 &4 - \sum \sim 0 + 0.666 + 0.666 + 0.666 = 2
 \end{aligned}$$

‡With a population of infinite size no genetic bottleneck occurs.

Source: based on Tables 3.1 and 3.2 in Frankel and Soulé (1981).

rare alleles; and (2) a reduction in the amount of variation in genetically determined characteristics. For example, a population that ranged across a continuum from very dark individuals to very light individuals might, after a bottleneck, have only intermediate colored individuals or only dark or only light individuals (Frankel and Soulé 1981).

The proportion of genetic variation and number of alleles likely to be retained after a bottleneck can be estimated using the formulas presented in Table 5.2. From this table we can see that most of the genetic variation is retained even in a tight bottleneck, 95% with just ten individuals. The situation is worse, however, for retention of uncommon alleles. In this example, ten individuals are likely to retain only two of four alleles if three of the alleles were uncommon (2% each of all the alleles). This figure improves to an estimate of 3.63 alleles retained if the alleles are more common, 10% of the total in this example. Genetic data from the whooping crane illustrate this phenomenon; six genotypes were detected in a sample of old museum specimens, but only one of these persists in the modern population after a 1938 bottleneck in which only 14 adults survived (Glenn et al. 1999). A study by Bouzat et al. (1998) of greater prairie chicken microsatellite variation provides another example. Birds in Illinois, which remain only in very small populations, have about two-thirds as many alleles as those from neighboring states with much larger populations, as well as those from Illinois museum specimens collected pre-1960 when the severe population decline began.

A genetic bottleneck is the outcome of a process known as *random genetic drift*, a process similar in concept to sampling error. Random genetic drift is the random change in gene frequencies, including loss of alleles, that is likely to occur in small populations because each generation retains just a portion of the gene pool of the previous generation, and that sample may not be representative (Frankel and Soulé 1981; Hartl and Clark 1997). Table 5.3 presents a formula for estimating the effect

| Population size ( <i>N</i> ) | Generations |       |      |       |
|------------------------------|-------------|-------|------|-------|
|                              | 1           | 5     | 10   | 100   |
| 2                            | 0.75        | 0.24  | 0.06 | ≪0.01 |
| 6                            | 0.917       | 0.65  | 0.42 | ≪0.01 |
| 10                           | 0.95        | 0.77  | 0.60 | <0.01 |
| 20                           | 0.975       | 0.88  | 0.78 | 0.08  |
| 50                           | 0.99        | 0.95  | 0.90 | 0.36  |
| 100                          | 0.995       | 0.975 | 0.95 | 0.60  |

Source: based on Frankel and Soulé (1981).

**Table 5.3** The proportion of genetic variation retained in small populations of constant size after 1, 5, 10, and 100 generations is approximately  $[1-1/(2N)]^t$ , where *N* is the population size and *t* is the number of generations. For example,  $0.95^5 = 0.77$ .

**Table 5.4** Expected number of alleles remaining after  $t$  generations for a population of six individuals with 2, 4, or 12 alleles for a gene, assuming equal frequency of each allele.

| Generations | Number of alleles |         |          |
|-------------|-------------------|---------|----------|
|             | $m = 2$           | $m = 4$ | $m = 12$ |
| 0           | 2.00              | 4.00    | 12.00    |
| 1           | 1.99              | 3.87    | 7.78     |
| 2           | 1.99              | 3.55    | 5.88     |
| 8           | 1.67              | 2.18    | 2.64     |
| 20          | 1.24              | 1.36    | 1.44     |
| $\infty$    | 1.00              | 1.00    | 1.00     |

Source: based on Frankel and Soulé (1981).

of random genetic drift on genetic diversity and some sample results. The formula is identical to the one for estimating the loss of genetic variation in a bottleneck, with an exponent added to represent the number of generations in which a population has continued to remain small. In other words, random genetic drift is the same thing as passing through a genetic bottleneck except that the drift lasts multiple generations (compare column 2 in Table 5.3 with column 2 in Table 5.2). Although we typically use the term “random genetic drift” when a population remains small for many generations and “bottleneck” for a short phenomenon, it is acceptable to speak of bottlenecks lasting more than one generation or of drift occurring during one generation.

We can see that although a population of ten individuals may retain 95% of its genetic variation after one generation (or after one bottleneck), with random genetic drift for ten generations only 60% of the variation is likely to be retained, and after 100 generations virtually all the original genetic variation would be lost. A similar pattern exists for the loss of alleles; after many generations of random genetic drift, small populations will usually retain only one allele for a given gene (Table 5.4). In the language of genetics, the gene will have been *fixed* for that allele. In sum, random genetic drift in a population that remains small for many generations is much more likely to lead to a loss of genetic diversity than is a single bottleneck from which a population recovers quickly.

If drift erodes genetic diversity then does not mutation simply replenish it? The problem is a severe imbalance between the rates at which the two processes operate. A population bottleneck can deplete genetic diversity from a population during just a few generations if the bottleneck is narrow enough. In contrast, it has been estimated that 105–107 generations are required to regenerate diversity at a single locus (Lande and Barrowclough 1987). Clearly we cannot rely on mutation to replenish genetic diversity over time scales of conservation concern.

### Effective Population Size

To estimate the effects of bottlenecks and random genetic drift, as presented in Tables 5.2 and 5.3, it is necessary to make some simplifying assumptions. These estimations assume that the organism is diploid, is sexually reproducing, and has nonoverlapping generations; that the population is of constant size, and has equal numbers of females and males, random mating, and no migration; that reproductive success of all individuals is the same; and that no mutation or natural selection occurs. Of course these assumptions are violated in any natural population but making them allows us to avoid a major complexity: the difference between total or census population size (the actual number of individuals in a population) and the *effective population size*. To take a very simple example of this idea, consider a population of 100 bison in which 25 are too young to breed and 15 adults are infertile; 60 is the number of breeding adults and therefore the effective size of the population. In practice, the issue is more complicated than this as it involves considerations such as fluctuations in population size, unequal family size, and unequal numbers of females and males. We will begin with a definition and then show two examples of how to calculate effective population size (see Frankham et al. 2002 for further details).

The effective population size ( $N_e$ ) of a population is the number of individuals in a theoretically ideal population (i.e. one that meets all the assumptions stated above) that would have the same magnitude of random genetic drift as the actual population.

*Example 1. Population fluctuations.* The effective size of a population that is fluctuating through time (as most do) is less than the actual population size. In this case,  $N_e$  is estimated to be the harmonic mean of the actual size of each generation (Hartl and Clark 1997). Mathematically,

$$\frac{1}{N_e} = \frac{1}{t} \left( \frac{1}{N_1} + \frac{1}{N_2} + \frac{1}{N_t} \right)$$

In words, the harmonic mean is the reciprocal of the average of reciprocals of the population size for each of  $t$  generations. This method of estimating an effective population gives more weight to small  $N$ s. For example, the  $N_e$  for three generations ( $t = 3$ ) in which  $N_1 = 1000$ ,  $N_2 = 10$ , and  $N_3 = 1000$  would be

$$\frac{1}{N_e} = \frac{1}{3} \left( \frac{1}{1000} + \frac{1}{10} + \frac{1}{1000} \right) = 0.034$$

$$N_e = \frac{1}{0.034} = 29.4$$

which is far less than 670, the arithmetic mean of 1000, 10, and 1000. (Also see Vucetich et al. 1997 for the effect of population fluctuations.)

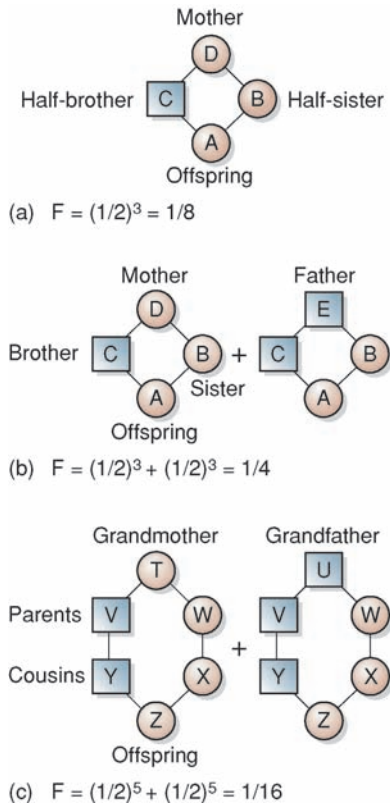
*Example 2. Unequal numbers of females and males.* If a population has an unbalanced sex ratio, the effective population size is less than the actual size and can be estimated

$$N_e = \frac{4N_f N_m}{N_f + N_m}$$

where  $N_f$  is the number of breeding females and  $N_m$  is the number of breeding males (Hartl and Clark 1997). For example, if 96 females mated with four males,

$$N_e = \frac{4 \times 96 \times 4}{96 + 4} = \frac{1536}{100} = 15.4$$





**Figure 5.9** Inbreeding pedigrees for matings between: (a) a half-sister with her half-brother, (b) full sister and brother, and (c) full cousins (different parents but identical grandparents). See the text for an explanation of (a) and (b).

This kind of imbalance may be more common than is usually realized. Research using genetic techniques to determine the mother and father of offspring has indicated that in many species relatively few individuals, especially among males, are responsible for a disproportionate share of a population's reproduction (Parker and Waite 1997). Many apparently healthy adults do not leave any offspring. Such inequity is generally not a problem – it is the basis for natural selection – but it may lead to difficulties in very small populations because of its effect on genetic diversity. A good example of the application of these formulas involves management of an endangered subspecies of giant tortoise on Española Island, Galápagos. These tortoises plummeted to just 15 breeders, consisting of 12 females and 3 males, which fortunately were rescued, placed in captivity, and have since produced more than 1200 offspring that have been released back to the island where they now breed on their own. However, it was recently discovered that the unequal sex ratio of the breeding population along with the unequal reproductive activity among individuals had led to a genetic effective population size of just 5.7, far smaller than even the census size of 15 might suggest (Milinkovitch et al. 2004). What little genetic variation remains in this population is severely threatened by genetic drift. The bottom line to remember is that the effective population size is often substantially less than the actual number of individuals in a population, often only 10–20% (Vucetich et al. 1997). Thus, if you want a population of bison with  $N_e = 100$  (sufficient to retain 99.5% of its genetic variability through at least one generation; see Table 5.3), you actually need a field population of about 500–1000.

## Inbreeding

Inbreeding refers to mating between closely related individuals; closely related individuals are likely to share identical copies of some of their genes because they have ancestors in common. Quantitatively, the inbreeding coefficient,  $F$ , is the probability that two copies of the same allele are identical by descent – in other

words, derived from a common ancestor (Templeton and Read 1994). For example, in our bison example, if both MDH-1 X alleles in the X/X homozygous individual were derived from its grandmother, those alleles would be considered identical by descent.

There are several methods to estimate  $F$ . One of the simplest involves counting links in the pedigree chain:  $F = (1/2)^n$ , where  $n$  is the number of individuals or links in the pedigree chain starting with one parent, going back to the common ancestor, and then going down the other branch to the other parent. Figure 5.9(a) shows the pedigree chain for the offspring (A) of a half-sister (B) mating with her half-brother (C) (i.e. B and C have

the same mother, D, but different fathers). The inbreeding chain has three links – B, D, and C – and thus  $F$  is equal to  $(\frac{1}{2})^3 = \frac{1}{8} = 0.125$ . If B and C were full siblings (i.e. they had both the same mother D and the same father E) (Fig. 5.9b), then there would be two chains, one for each common ancestor (B, D, and C for the mother plus B, E, and C for the father). In this case the  $F$  values for each chain would be added:  $(1/2)^3 + (1/2)^3 = 1/4 = 0.25$ .

As described in our discussion of inbreeding depression, inbreeding is known to lead to problems among captive populations and thus is of great concern to conservationists who propagate endangered species in captivity. Documentation of inbreeding depression in wild populations is limited, but it could be a problem among very small populations.

### An Important Caveat

It must be emphasized that the equations presented in this section provide only estimates of the likely effects of processes that diminish genetic diversity. Exceptions may be fairly common. For example, Indian rhinoceros appear to have retained a high level of genetic diversity despite having passed through a bottleneck, perhaps because of high mobility of some individuals and long generation times (Dinerstein and McCracken 1990). Similarly, an isolated population of pinyon pine retained its genetic diversity over several hundred years (Betancourt et al. 1991). Moreover, even if the predicted effects on genetic diversity occur, they may not have catastrophic consequences for a population. For example, the northern elephant seal was reduced to as few as 20 individuals in the 1890s and now seems to have extremely low genetic diversity: no allozyme polymorphism at 24 loci from a sample of 159 seals from five colonies (Bonnell and Selander 1974) or at 43 loci from a sample of 67 seals from two colonies (Hoelzel et al. 1993). Despite this lack of genetic diversity, the northern elephant seal is thriving now, with a total population approaching 200,000. The Mauritius kestrel also passed through a narrow bottleneck, one breeding pair that sharply reduced its genetic diversity, and has now recovered to over 200 pairs (Groombridge et al. 2000). In this case, examination of genetic material in museum specimens confirms that the original population was very diverse despite being confined to a small island. Under special circumstances, passing through a bottleneck might have a positive effect by eliminating all the individuals carrying deleterious recessive alleles, thus purging this allele from the population. Support for this idea came from a captive-breeding program for Speke's gazelle (Templeton and Read 1983), but this study and the whole concept of purging through inbreeding have been questioned (Byers and Waller 1999; Kalinowski et al. 2000). Furthermore, these may be just examples of a few lucky species that survived a bottleneck; the many other species that did not survive are not around to be studied and reported upon. Even if there are some benefits to inbreeding, they might be short-lived if a bottleneck left the species so genetically uniform that it was ill-prepared to adapt to future environmental change. Finally, some evidence suggests that we might underestimate the rate at which new genetic diversity arises through mutation (Jeffreys et al. 1985) or new species evolve through natural selection and reproductive isolation (Hendry et al. 2000).

## Cultural Diversity

The sharing of genes between parents and offspring is not the only mechanism by which information is transmitted from one generation to the next. Among many social animals information also moves among individuals and generations through learning, a process often called *cultural transmission*. Methods for exploiting novel food items provide some of the best documented examples of cultural transmission; one such example occurred when the knowledge that food could be obtained by pecking open the caps of milk bottles spread among the blue tits of England (Fisher and Hinde 1949). The location of migration routes, water holes, food patches, nesting sites, and hibernacula may be learned by young animals following old animals. For example, it is likely that the matriarchs of elephant herds know the location of water in times of drought and can lead their herds there (Moss 1988). If a herd's matriarch died before the information could be transmitted, the cultural diversity of that elephant herd would be diminished, perhaps with disastrous consequences. Breakdown of cultural transmission has been a problem for some conservationists trying to reintroduce captive-reared animals to the wild. For example, golden lion tamarins released into their native habitat have had problems identifying food and predators, information that they would have learned from other tamarins under normal circumstances (Kleiman 1989).

Among all species *Homo sapiens* has the most diverse culture, and maintaining human cultural diversity should also be of some concern to conservation biologists. For example, regions of the globe with the highest levels of biological diversity also host the greatest human language diversity (Sutherland 2003), yet of the estimated 6000 languages spoken in 2000 some 50–90% may not survive through the twenty-first century (Crystal 2000). Of course, it is hard to imagine conservation biology encompassing humanity's languages, art, music, science, literature, architecture, and so on. When conservation biologists think about maintaining human cultural diversity, they usually focus on the diverse ways in which rural people, especially people who still use traditional technology, interact with the ecosystems in which they live. For example, ethnobotanists are particularly concerned about maintaining the cultures associated with human use of plants for food, medicine, and other purposes (Balick and Cox 1996; Nazarea 1998; Gao 2003). Moreover, indigenous peoples have intimate knowledge of wild species and their interactions that can greatly improve endangered species efforts (Nabhan 2000). In short, conserving human cultural diversity along with biological diversity and interactions between the two is fertile ground for collaboration among conservation biologists, anthropologists, and others.

## CASE STUDY

## Giant Galápagos Tortoises

The Galápagos Archipelago in Ecuador is home to many unusual species, including Darwin's finches, marine and land iguanas, and, perhaps their most famous inhabitants, giant tortoises. These animals are indeed giant, weighing up to 400 kg. Giant tortoises were found on most continents during the Pleistocene but now persist only on two groups of remote oceanic islands: the Galápagos and Seychelles. Only in the Galápagos do multiple populations survive, several of which are seriously endangered. A major reason for their endangerment is that an estimated 200,000 tortoises were taken from wild populations starting in the seventeenth century when buccaneers and whalers collected tortoises as a source of fresh meat. Today, feral animals (mainly rats, dogs, and goats), along with continued poaching, represent the greatest threat. Emblematic of the plight of the tortoises is a single male nicknamed Lonesome George, who alone represents his subspecies from Pinta Island.

Although they have been studied for centuries, recent research on Galápagos tortoises based on modern molecular genetic techniques has catapulted forward our understanding of these endangered reptiles. The research was based on a ten-year-long effort to survey every remaining tortoise population. Blood samples have been archived and DNA extracted. Both sequencing of the DNA in mitochondria and analysis of microsatellites were used to shed light on relationships among populations and to assist with designing captive breeding programs.

What has been learned? One surprising finding was the close relationship of Lonesome George to other tortoises in the archipelago (Caccone et al., 1999). George is nearly identical to the tortoises of the island of Española, one of the most distant islands from his native Pinta. This raises the question of whether he might have been a transplant from Española and not actually the last member of a distinct taxon. DNA extracted from museum specimens of tortoises collected earlier on Pinta also turned out to be identical to George and thus Lonesome George is clearly a genuine native of Pinta. But more to the point, Lonesome George has not been interested in breeding to date with the females placed with him. The study revealed that the females are from islands with which he does not have a close genetic affinity and suggested more appropriate sources of a potential mate for this sole survivor.

The research program also revealed a new sub-species of giant tortoise (Russello et al. in press). On the largest island of Santa Cruz people have long known of a small and nondescript population of tortoises at a remote site named Cerro Fatal ("Death Hill," because of all the tortoises killed there). Genetic analyses revealed that the Cerro Fatal tortoises (Fig. 5.10) are more different from the other tortoises that they share the island of Santa Cruz with than from tortoises anywhere else in the archipelago. In other words, they likely represent an entirely separate colonization of the island and are worthy of special protection as a distinct taxon of tortoises. Accordingly, they are now receiving more attention from management authorities.

One curious result from the research project was that although most individuals in any tortoise population are quite similar genetically, certain individuals stand out as being completely different (Caccone et al. 2002). These "aliens" are now suspected to be the results of human translocations, primarily by whalers. Whatever the case, the hand of humans is writ upon the genetic patterning of tortoises across this remote archipelago.

Genetic analyses have been particularly important in guiding the captive breeding programs for endangered tortoises. Consider the Española population, which was reduced to just 15 individuals before being brought into captivity for safekeeping. They have since produced over 1000 offspring. By using microsatellites in a maternity/paternity assessment of the offspring, Milinkovitch et al. (2004) found that the genetic contribution of the remaining adults to the offspring pool is very uneven. In other words, modifications of the breeding program are likely warranted. The analysis pointed out specifically which individuals should be emphasized in further breeding efforts to capture what little genetic variation remains in the Española tortoises.

Last, the analyses have also shed some light on an odd assemblage of tortoises called the "unknowns." These are some 60 tortoises in pens at the Charles Darwin Research Station on Santa Cruz that were confiscated from people who kept them as pets or were caught smuggling them off the islands. No reliable records were available as to where



**Figure 5.10** An endangered “Cerro Fatal” tortoise identified by Russello et al. (in press) through genetic assays as representing an evolutionarily distinctive and new taxon of Galápagos giant tortoises. (Photo from J. Gibbs)



these tortoises came from originally. Burns et al. (2003) used microsatellite analysis to assign each tortoise to its likely island of origin. Now these animals can be repatriated to an appropriate location should local authorities choose to do so. Sadly, none of these animals turned out to be from Pinta, the island of Lonesome George, but the search continues to find him a suitable mate. Perhaps a female Pinta tortoise is still lurking in a zoo in another part of the world and genetic analyses will identify her while George is still alive.

## CASE STUDY

### The Cheetah

Running at speeds up to 112 km/h, the cheetah (Fig. 5.11) is the world’s fastest sprinter, but it is having difficulty outpacing some problems that threaten it with extinction. Twenty thousand years ago four species of cheetah roamed grasslands in Africa, Asia, Europe, and North America, and as recently as a hundred years ago the remaining species of cheetah was widespread throughout much of Africa and southwestern Asia as far east as India. Today fewer than 20,000 animals remain, largely in southern and eastern Africa, and they are hard-pressed by a lack of habitat with plentiful prey; by lions, which are both competitors for prey and predators on juvenile cheetahs; by poaching; and perhaps by an inconspicuous but potentially serious problem, a lack of genetic diversity.

Stephen O’Brien and a team of colleagues (1983, 1985) used electrophoresis of allozymes to look for allelic diversity at 52 loci in a sample of 55 cheetahs from southern Africa. They found none: polymorphism = 0; heterozygosity = 0. Thinking that perhaps all members of the cat family have low genetic diversity, they sampled allelic diversity at 48–50 loci in seven other feline species and found polymorphism (P) to range from 8 to 20.8% and heterozygosity (H) to range from 0.029 to 0.072, typical values for mammals (see Newman et al. 1985). Some



**Figure 5.11**  
Cheetahs, the world's fastest sprinters, represent a genetic conundrum that has perplexed conservation geneticists for two decades. (Photo from Don Getty, [www.DonGettyPhoto.com](http://www.DonGettyPhoto.com).)

further evidence of the cheetah's lack of genetic diversity came from experiments in which small patches of skin were transferred between pairs of cheetahs. Normally, such skin grafts are quickly rejected if they are between unrelated individuals, but the cheetah grafts were rejected slowly (three cases) or not at all (11 cases). Measurements of cheetah skull characteristics also revealed a high level of asymmetry (e.g. the left jaw longer than the right jaw); developmental abnormalities such as asymmetry are often thought to be related to inbreeding (Wayne et al. 1986). In later work the researchers found that cheetahs from east Africa had some genetic diversity ( $P = 4\%$ ;  $H = 0.014$ ), and with a larger sample of cheetahs from southern Africa ( $N = 98$ ) they found some polymorphism for one locus ( $P = 2\%$ ;  $H = 0.0004$ ) (O'Brien et al. 1987). Why are cheetahs one of the most genetically depauperate species ever examined? No one knows for sure, but some evidence suggests that they went through a major bottleneck about 10,000 years ago at a time when many large mammals went extinct (Menotti-Raymond and O'Brien 1993). (For a critique of this idea, see Pimm et al. 1989.)

The cheetah's lack of genetic diversity is of more than academic interest. It is probably linked to two facts: first, samples of cheetah semen had spermatozoal concentrations seven to ten times less than those of domestic cats; second, 70–80% of their spermatozoa were abnormal, compared with 29% for domestic cats (O'Brien et al. 1985, 1987). Lack of genetic diversity may also explain a rate of 29.1% infant mortality among captive-born cheetahs, one of the highest rates recorded among captive mammals. Finally, genetic uniformity may explain what happened to a captive population of cheetahs in Oregon, where beginning in 1982 an outbreak of feline infectious peritonitis (FIP) and related diseases killed 27 of 42 cheetahs and afflicted over 90% of the population (O'Brien et al. 1985; Heeney et al. 1990). This disease is not usually particularly lethal to felines; in fact ten lions living at the same site showed no symptoms of the disease. Perhaps the virus adapted to the particular genotype that all these cheetahs shared, and thus it had a devastating effect.

For better or worse, O'Brien's work sparked considerable controversy, primarily because field ecologists knew of no problems facing wild cheetah populations that could be attributed to low genetic diversity. In contrast, it was eminently clear to them that lion predation and habitat loss were the serious threats to cheetahs. (See Caro and Laurenson 1994, Merola 1994, O'Brien 1994, and Laurenson et al. 1995 for the core of the debate, and May 1995

and Kelly and Durant 2000 for some of the aftermath.) Unfortunately, such debates drift toward polar constructs in which the protagonists seem to be saying that it is all genes or all ecology and demography. The truth is seldom so simple. In this case it is difficult to deny the great and immediate importance of habitat loss and lion predation, but it seems foolhardy to ignore the possibility that the cheetah's impoverished genome may also be an issue – if not now, then in the future when new threats arise.

## Summary

Genetic diversity is essentially a measure of the diversity of information a species has encoded in its genes. One way of measuring it qualitatively is based on the distribution of different alleles among individuals and can be expressed as polymorphism (which is based on the proportion of genes that have more than one common allele) and heterozygosity (which is based on the proportion of genes for which an average individual is heterozygous). Another way to measure genetic variation is based on continuous or quantitative characters – height, weight, seed set, etc. – that are controlled by many genes as well as the environment. Genetic diversity is important for three primary reasons: evolutionary potential, loss of fitness, and utilitarian values. Species with high levels of genetic diversity: (1) are better equipped to evolve in response to changing environments; (2) are less likely to suffer a loss of fitness because of the expression of deleterious recessive alleles in homozygous individuals, among other problems; and (3) offer plant and animal breeders greater scope for developing varieties with specific desirable traits such as resistance to certain diseases. Genetic diversity can be eroded by some phenomena associated with small populations. First, when a population is reduced to a small size (i.e. it passes through a bottleneck), some genetic variance and uncommon alleles are likely to be lost. Similarly, in populations that remain small for multiple generations, random genetic drift changes the frequency of alleles; this often reduces genetic diversity, particularly when genes are fixed for a single allele. Finally, inbreeding between closely related individuals can diminish genetic diversity. When estimating the effects of these processes on populations, it is important to estimate the effective population size, which is often substantially less than the actual population size. Conservation biologists are also concerned with cultural diversity, the information that many animal species, including humans, pass from generation to generation through learning.

### FURTHER READING

Frankham et al. (2002) is a recent and thorough treatment of the field of conservation genetics. Hartl and Clark (1997) give a comprehensive treatment of population genetics, and Hartl (2000) provides a primer on the same topic. For more reading on the interface between conservation and genetics, see Frankel and Soulé (1981), Schonewald-Cox et al. (1983), Chapters 3–6 of Soulé (1986), Falk and Holsinger (1991), Avise (1994), Loeschcke et al. (1994), and Avise and Hamrick (1996). There is also a journal, *Conservation Genetics*.

## TOPICS FOR DISCUSSION

Below are genotypes at three loci for a sample of ten individuals:

|                   | Locus | 1  | 2  | 3  |
|-------------------|-------|----|----|----|
| <b>Individual</b> |       |    |    |    |
| 1                 |       | aa | BB | CC |
| 2                 |       | aa | Bb | CC |
| 3                 |       | Aa | BB | CC |
| 4                 |       | aa | Bb | CC |
| 5                 |       | Aa | BB | CC |
| 6                 |       | AA | BB | CC |
| 7                 |       | aa | BB | CC |
| 8                 |       | AA | BB | CC |
| 9                 |       | AA | BB | CC |
| 10                |       | Aa | BB | CC |

- 1 What are the frequencies of alleles for each locus?
- 2 What are the frequencies of genotypes for each locus?
- 3 What is the polymorphism for this population using the 95% criterion (the frequency of the most common allele <95%)?
- 4 What is the average heterozygosity for this population?
- 5 What would genotype frequencies be at locus 2 in this population if it were in Hardy–Weinberg equilibrium?
- 6 If individuals 1–6 were females and individuals 7–10 were males, what would be the effective population size of this population?
- 7 What portion of the genetic variance of this population would be likely to remain after three generations of random genetic drift? (Use the effective population size calculated in the preceding question.)

Answers

- 1 Locus 1:  $a = 0.55$ ,  $A = 0.45$ ; Locus 2:  $b = 0.10$ ,  $B = 0.90$ ; Locus 3:  $C = 1.00$ .
- 2 Locus 1:  $aa = 0.4$ ,  $AA = 0.3$ ,  $Aa = 0.3$ ; Locus 2:  $Bb = 0.2$ ,  $BB = 0.8$ ; Locus 3:  $CC = 1.0$ .
- 3 0.67 because loci 1 and 2 are polymorphic.
- 4 0.17:  $(0.3Aa + 0.2Bb) / 3 = 0.17$ .
- 5  $bb = 0.01$ ,  $Bb = 0.18$ ,  $BB = 0.81$ .
- 6 9.6:  $(4 \times 6 \times 6 \times 4) / (6 + 4)$ .
- 7 0.85:  $[1 - 1 / (2 \times 9.6)]^3$ ;





## PART II

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# Threats to Biodiversity

*The last word in ignorance is the man who says of an animal or plant: "What good is it?" If the land mechanism as a whole is good, then every part is good, whether we understand it or not. If the biota, in the course of aeons, has built something we like but do not understand, then who but a fool would discard seemingly useless parts? To keep every cog and wheel is the first precaution of intelligent tinkering.*

Aldo Leopold

*When the last individual of a race of living things breathes no more, another heaven and another earth must pass before such a one can be seen again.*

William Beebe

*... the worst thing that will probably happen – in fact is already well under way – is not energy depletion, economic collapse, conventional war, or even the expansion of totalitarian governments. As terrible as these catastrophes would be for us, they can be repaired within a few generations. The one process now ongoing that will take millions of years to correct is the loss of genetic and species diversity by the destruction of natural habitats. This is the folly our descendants are least likely to forgive us.*

E. O. Wilson

*Extinction is forever.*



## CHAPTER 6

# Mass Extinctions and Global Change

The old saying about the inevitability of death and taxes has an evolutionary analogue: extinction. Evolutionary biologists are confident that, just as death is the inevitable fate of every individual, extinction is the fate of every species. In fact, the fossil record indicates that of all the species that have ever lived on earth, about 99.9% have gone extinct (Raup 1991). It is also reasonable to assume that those that are extant now will eventually meet the same fate. Although extinction is inevitable, it does take different forms. A species may disappear because it evolves into a similar, but distinct, new species, or it may disappear into an evolutionary dead end. A few creatures have persisted nearly unchanged for such long periods that they are popularly called living fossils; for example, the horseshoe crab, genus *Limulus*, has changed little in 190 million years. A more typical “life span” is a million years or so. (Average life spans in the fossil record are closer to four million years, but the fossil record is probably biased toward widespread, successful species with longer life spans, and life spans across all species are probably somewhat shorter [Jenkins 1992].)

Although species have been falling to extinction throughout the 3.5-billion-year history of life on earth, extinction’s clock has not run smoothly. There have been at least five periods when huge numbers of species have vanished, leaving behind a greatly impoverished biota. Concern that we may be in the midst of another spasm of extinctions – one of our own making – is, of course, the catalyst behind conservation biology. Before examining the evidence for a human-induced extinction spasm and its likely mechanisms, we need some understanding of the episodes of mass extinction that preceded our arrival on the scene (Sepkoski 1995).

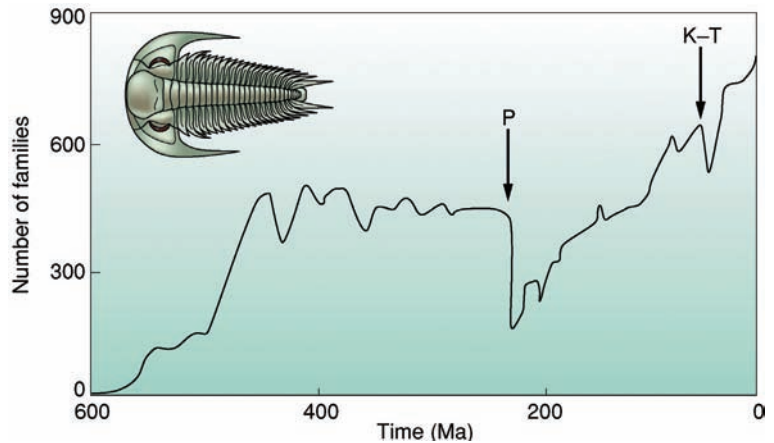
## Extinction Episodes of the Past

During the first couple of billion years of life on earth there probably was not a great deal of biological diversity. Life existed in the sea as a set of microbes – bacteria and bacteria-like species – that were sometimes abundant enough to form slimy mats (Pilcher 2003). It is likely that some species flourished for lengthy periods, while others became extinct quickly; however, such organisms do not leave many fossils so it is difficult to document their comings and goings. About 1.9 billion years ago eukaryotic organisms arose with their DNA enclosed in a membrane and with organelles in their cells such as mitochondria. Soon after, complex organisms developed that had



differentiated cells organized into tissues and organs, but still the fossil record from this period limits our understanding of speciation and extinction. It was not until the Cambrian period, beginning about 600 million years ago, that a great proliferation of macroscopic species occurred and produced a fossil record that allows us to track the rise and fall of biodiversity (Jablonski 1991).

Since the Cambrian period, biological diversity has generally risen, but there have been some notable exceptions (Fig. 6.1). It collapsed dramatically during at least five periods because of mass extinctions around the globe. The five major mass extinctions receive most of the attention, but they are only one end of a spectrum of extinction events. Collectively, more species went extinct during smaller events that were less dramatic but more frequent (Raup 1991). We will briefly examine two of the five major extinction events as case studies, starting with the best known one, the one that saw the demise of the dinosaurs.



**Figure 6.1** The rise and occasional fall of biodiversity as indicated by the fossil record of families of marine organisms. Marine organisms are used as an index of past biodiversity because they have left the most complete fossil record. (They are more likely than terrestrial taxa to leave corpses in places where they might be quickly covered with sediments and thus protected from scavengers and physical disturbance.) The number of families is used as an index of biodiversity rather than species or genera because a single species or genus might be missing from the known fossil record, but the fossil record for families is likely to be nearly complete. (Redrawn from Sepkoski 1982.)

## Recoveries from Past Extinctions

Close examination of Fig. 6.1 reveals a good news–bad news story for anyone seeking a historical basis for viewing contemporary extinctions. The good news is that the overall trend in biodiversity is upward, with mass extinctions just temporary setbacks in this pattern. Life has moved from a slimy soup of microbes to magnificent creatures like sharks, honey bees, and saguaro cacti, and the challenges posed by meteorites, volcanoes, and glaciers have not returned us to our primordial roots. Some people have feared that the activities of humanity could eradicate life on earth, perhaps through a nuclear holocaust, but this view is rich in arrogance: life on earth, in some form, is almost certain to persist despite us (Gould 1990).

The bad news is that these recoveries have required tens of millions of years each (Jablonski 1995). That means that if we are indeed in the midst of a human-caused extinction spasm of a magnitude comparable to the earlier five extinction events, none of us, or our children, or grandchildren, or great × thousands grandchildren will witness the recovery. Indeed, if the life span of *Homo sapiens* on earth is typical of most species, then no humans will be present to enjoy the return of biodiversity.



## CASE STUDY

## The Cretaceous–Tertiary Extinctions

About 315 million years ago reptiles developed skins and eggshells that were relatively impervious to water loss, and starting about 280 million years ago these became the dominant large animals in terrestrial environments. At this time “dinosaurs ruled the earth” and the likes of tyrannosaurs, triceratops, and others occupied many ecological niches. But the age of dinosaurs came to a dramatic end about 65 million years ago, whereupon mammals began to flourish, evolving from a few types of furry, shrew-like animals that had scurried for thousands of years around the feet of dinosaurs into bats, whales, and the myriad of other mammalian forms we know today. Paleontologists label this point in the earth’s history as the end of the Cretaceous period and the beginning of the Tertiary period, often abbreviated as the “K–T boundary.” This time was also marked by changes in many other taxa. Overall, about 38% of the genera of marine animals were lost (Raup 1991), with percentages much higher in some groups. Ammonoid mollusks went from being a very diverse and abundant group to being extinct (Marshall and Ward 1996). An extremely abundant set of planktonic marine animals called foraminifera largely disappeared, although they rebounded later. Among plants, the Cretaceous–Tertiary boundary saw a sharp but brief rise in the abundance of primitive vascular plants such as ferns, club mosses, horsetails, and conifers and other gymnosperms. The number of flowering plants (angiosperms) was reduced at this time, but then began a dramatic increase (Knoll 1984; Stewart and Rothwell 1993).

What caused these changes? For many years scientists assumed that a cooling of the climate was responsible, with dinosaurs being particularly vulnerable because they were ectothermic (i.e. dependent on environmental heat, “cold-blooded” in vernacular language) like modern reptiles. It is now widely believed that at least some species of dinosaurs had a metabolic rate high enough for them to be endothermic (Farlow et al. 1995; Seebacher 2003). Nevertheless, climatic explanations for the K–T extinctions are not really challenged by the idea that dinosaurs may have been endothermic, because even endotherms can be affected by a significant change in the climate.

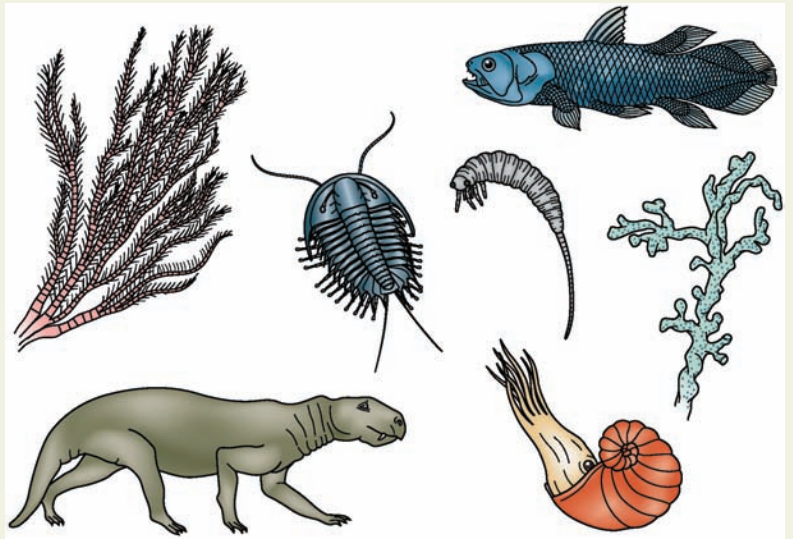
Explanations for the K–T extinctions were revolutionized in 1980 when a group of physical scientists led by Luis Alvarez proposed that 65 million years ago the earth was struck by a 10 km wide meteorite traveling at 90,000 km/h (Alvarez et al. 1980). They believed that this impact generated a thick cloud of dust that enveloped the earth, shutting out much of the incoming solar radiation and reducing photosynthesis to very low levels. Short-term effects might have included huge tidal waves and extensive fires and ensuing “acid rain.” In other words, a cascade of factors arising from a single cataclysmic event caused the massive extinctions. Initially, the meteorite theory was largely based on a single line of evidence. At locations around the globe, geologists had found an unusually high concentration of iridium in the layer of sedimentary rocks that were formed about 65 million years ago. Iridium is an element that is usually uncommon near the earth’s surface, but it is abundant in some meteorites. Therefore Alvarez and his colleagues concluded that it was likely that the iridium in sedimentary rocks deposited at the K–T boundary had originated in a giant meteorite or asteroid. Most scientists came to accept the meteorite theory after evidence came to light that a circular formation, 180 km in diameter and centered on the north coast of the Yucatan Peninsula, was created by a meteorite impact about 65 million years ago (Pope et al. 1998), although doubts have been expressed recently about the synchrony of these events (DiGregorio 2005).

## CASE STUDY

## The Permian Extinctions

As dramatic as the Cretaceous–Tertiary extinctions were, they pale in comparison with the massive extinctions that marked the end of the Permian period, 251 million years ago. At this time over half of the 500 families of marine vertebrates and invertebrates capable of forming fossils became extinct, compared with roughly 12% in the four other major extinctions (Sepkoski 1984; Erwin 1994). It is harder to estimate how many species disappeared, but extrapolations suggest that 77–96% of all marine animal species were lost (Raup 1979). Particularly hard hit were filter-feeding animals such as corals, brachiopods, crinoids, and bryozoans, especially those living in tropical oceans (Fig. 6.2). The fossil record for terrestrial organisms is more limited, but it suggests that many taxa – most vertebrates and vascular plants, for example – also declined during this period (Knoll 1984; Retallack 1995). Some animals such as the therapsids, a group of reptiles that gave rise to mammals, were hard hit during this event (Stanley 1987). The only known mass extinction event for insects, with eight of 27 orders disappearing, occurred during this time (Labandeira and Sepkoski 1993).

Various causes for the Permian extinctions have been proposed, and no one of them is widely accepted (Erwin 1993, 1994). Meteorite impact is a possibility, but supporting evidence is quite limited (Bowring et al. 1999); massive volcanic activity might have had some similar effects on climate (Lin and van Keken 2005). Continental drift may have been indirectly responsible. During the Permian period, almost all of the earth's land mass occurred in a single supercontinent, Pangaea, which had drifted into a position stretching from pole to pole. The climate of this huge land mass was probably quite unstable. Along the coast of this continent a drop in sea level dried out many shallow marine basins, and this could have constituted a critical loss of habitat for marine species. Later, when sea level rose again, many terrestrial species already forced to live at low elevations by low oxygen levels (Huey and Ward 2005) may have lost their habitat. Considerable evidence suggests that oceanic oxygen levels may have been low enough to be lethal for some marine organisms (Knoll et al. 1996; Isozaki 1997). Furthermore, an overturn in these anoxic waters could have led to extensive CO<sub>2</sub> poisoning and CO<sub>2</sub>-induced climate change. In short, we do not know what caused the greatest extinction event in global history, but it is likely that it involved a complex interplay of many different factors (Erwin 1993, 1994).



**Figure 6.2** Permian organisms included, from left to right, therapsid reptiles, crinoid echinoderms, trilobites, monuran insects, sarcopterygian fish, nautiloid molluscs, and bryozoans.

For most of us there is little solace in the notion that life on earth will return to even higher levels of diversity long after we are gone – many of us fervently seek ways to share the bounty of life with our descendants.

## Estimating the Current Rate of Extinction

Ask a group of conservation biologists about current rates of extinction, and they are likely to start rolling off statistics about the thousands of species that are being lost each year. Yet ask that same group to name ten species that have gone extinct in the last year, and they will probably struggle to name any. Why the discrepancy? There are two major reasons.

First, it is often hard to say with conviction that a species *has* become extinct. Many species are difficult to locate no matter how intensive the searching. This may occur because their habitats are hard to survey (such as marine environments or soils) or because they have shifted their range to a different, unexpected (and unsurveyed) habitat. The fact is that a few species have been presumed to be extinct and then later rediscovered after long periods. For example, the cahow, a rare seabird that nests in Bermuda, disappeared in 1621 and was not recorded by any scientists until 1906, when a single specimen was discovered; and it was 1951 before a breeding colony was found (Fisher et al. 1969). The Lord Howe Island stick-insect, once abundant on its namesake Australian island, was given up as extinct once black rats were introduced to Lord Howe Island in the 1920s. Yet in 2001, mountain climbers rediscovered a tiny population on the nearby, extremely precipitous, island of Balls Pyramid, where the insects had been surviving on a few native plants and an associated water seep on a single terrace (Priddel et al. 2003). The recent rediscovery of the ivory-billed woodpecker, presumed extinct for over 60 years, is another case in point (Fitzpatrick et al. 2005). Many species have never been reported again after they were originally described in the 1800s, yet they are not considered extinct because we do not know if the absence of records is the result of extinction or no one has looked for them. In cases like these perhaps the burden of proof should be reversed: a species should be considered extinct unless someone has proven that it is extant (Diamond 1987).

The second issue is that if most of the world's species (perhaps 85–99%) have never been described by scientists (see Chapter 3, “Species Diversity”), then it is very likely that most of the species becoming extinct are also unknown to scientists. E. O. Wilson (1992) has suggested that we call this phenomenon of species becoming extinct before they are described *Centinela extinctions*, after a small ridge in Ecuador called Centinela. Two botanists from the Missouri Botanical Garden, Alwyn Gentry and Calaway Dodson, visited Centinela in 1978 and discovered about 90 plant species that were either endemic to that ridge or found in only a few nearby locales (Dodson and Gentry 1991). By 1986 the ridge had been completely cleared and planted with crops. Most of the 90 species (and who knows how many insects and other taxa) were gone. If Gentry and Dodson had not visited the area in 1978, we would be completely ignorant of the species lost. This phenomenon also could have taken place in comparatively well studied regions such as Europe and North America, where many rare, inconspicuous species might have disappeared before they were described by scientists (E. O. Wilson, personal communication). Both of these phenomena – undocumented

extinctions of known species and extinctions of unknown species – are relatively more likely in the marine realm than in freshwater or terrestrial systems (Edgar et al. 2005).

Despite these constraints, it is possible to document some recent extinctions. The most comprehensive list, compiled by staff of the World Conservation Monitoring Centre, lists 90 species of plants and 726 animals that probably have become extinct, at least in the wild, since 1600 (Table 6.1). It is undoubtedly incomplete for all groups, extremely so for invertebrates. It does not even attempt to list extinct fungi, algae, bacteria, and other microbes. Because many such obscure organisms are part of a highly coevolved interspecific relationship with better known “higher” plants and animals (as parasites, pathogens, etc.), the number of “coendangered” species is likely many times that of documented endangered and extinct species (Koh et al. 2004). The discrepancy between two or three extinctions per year documented in Table 6.1 and estimates of hundreds or thousands of extinctions per year is in large part a result of estimates of what is happening to the rich biota of tropical forests. Several scientists have predicted the global rate of species extinctions based on the impacts of tropical deforestation (e.g. Reid 1992; Groom 1994), and one group has even estimated losses of populations (Hughes et al. 1997). Here we will consider one of the best known examples, that of E. O. Wilson (1992).

Wilson begins with the idea that there is a predictable relationship between the number of species and the area they occupy. This idea was first extensively explored by

| Animals  |     | Plants      |    |
|--|-----|-------------|----|
| Molluscs   | 303 | Mosses      | 3  |
| Crustaceans  | 9   | Gymnosperms | 1  |
| Insects  | 73  | Angiosperms |    |
| Other invertebrates  | 4   | Dicots      | 83 |
| Fishes   | 92  | Monocots    | 3  |
| Amphibians   | 5   |             |    |
| Reptiles   | 22  |             |    |
| Birds  | 131 |             |    |
| Mammals  | 87  |             |    |
| Totals   | 726 |             | 90 |
| This list includes 40 species that still survive in captivity. |     |             |    |

**Table 6.1** Numbers of plant and animal species by major taxon listed by the World Conservation Monitoring Centre ([www.redlist.org](http://www.redlist.org)) as having become extinct since 1600.



Wilson and Robert MacArthur in their island biogeography model (MacArthur and Wilson 1967), which is described further in Chapter 8, “Ecosystem Degradation and Loss.” Suffice it to say here that the model predicts that the number of species on an island can be estimated from the equation  $S = CA^z$ , where  $S$  is species richness,  $A$  is area, and  $C$  and  $z$  are constants that vary depending on the particular group of species and set of islands. Across many studies,  $z$  values often range between 0.15 and 0.35 (see Williamson 1981). A  $z$  value of 0.30 corresponds to an easily remembered relationship: if the area in question is decreased by 90%, then the number of species it supports will be halved. Next, Wilson chose an estimate for the rate at which the area of tropical forest is decreasing; he used 1.8% per year, a figure based on 1989 data assembled by Norman Myers (1989b). With a  $z$  value of 0.30 this would translate into losing 0.54% of the tropical forest biota per year. At a  $z$  value of 0.35 the loss would be 0.63% per year; for  $z = 0.15$  the annual species loss would be 0.27%. To be conservative, Wilson used this lowest reasonable estimate of annual species loss, 0.27%, and multiplied it by a conservative estimate of the number of species in tropical forests, 10,000,000 species, to arrive at an estimate of 27,000 species going extinct per year. This figure is conservative both because of the particular values used and because it is limited to tropical forest species, often estimated to constitute about half the earth’s biota. It is also conservative because it assumes that species have fairly broad geographic ranges; if species had very small geographic ranges, cutting 1.8% of the forest would eliminate 1.8% of the species, not 0.27–0.63% (see Pimm et al. 1995). It also assumes that some suitable habitat will persist within the range of most species; if we lose 90% of a given type of ecosystem (shifting from 100% to 10%), we will lose half the species tied to that ecosystem, but if we then shift from 10% to 0%, all the remaining species will disappear. (See Kinzig and Harte [2000] and Ungricht [2004] for analyses that incorporate the issue of species being endemic to a small area.)

Wilson concludes by noting that one million years is a typical “life span” for a species and that this figure would translate into one species out of every million species becoming extinct each year. This means that we could expect a tropical forest biota of ten million species to lose ten species per year under normal circumstances. In other words, the estimate of 27,000 extinctions per year is 2700 times greater than the background rate of extinction. Even if this figure is too high by an order of magnitude (perhaps the current extinction rate is “only” 270 times the background rate), it still provides a handy retort to people who state, “Why is everyone so worried about species going extinct? Extinction is a natural process.” Yes, extinction is a natural process, but a human-induced extinction rate hundreds or thousands of times greater than the natural extinction rate is *not* natural.

How long will this rate of loss continue? How many species will be lost in total? These are much harder questions to evaluate, but Wilson (1992) has ventured a guess. Assuming that the human population will stabilize at somewhere between 10 and 15 billion people in the next 50–100 years, and that the loss of ecosystems will stabilize concomitantly, Wilson believes we will lose 10–25% of our biota during this period. Another estimate suggests that the numbers of threatened species in most countries will rise by 7% by 2020 and 14% by 2050 based on current projections of human population growth (McKee et al. 2004). The 10% figure assumes that we act in a wise and judicious way; even the 25% figure will be optimistic unless population growth and excessive consumption can be curbed.

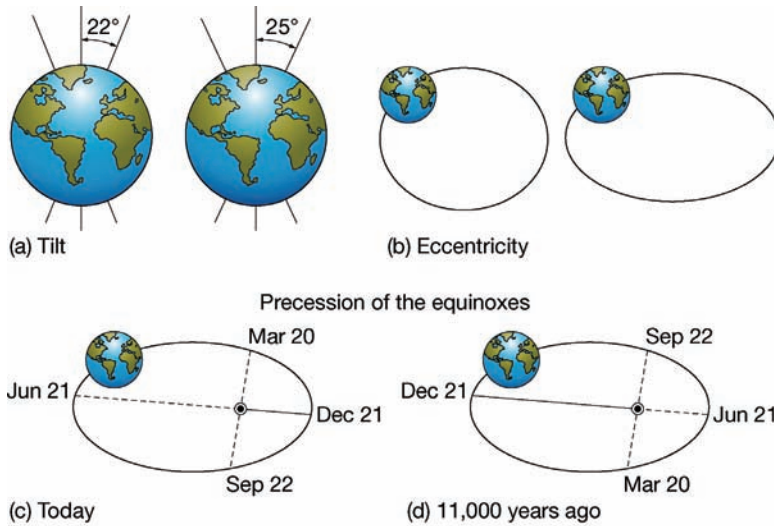
## The Prospect of Global Climate Change

Catastrophic changes in the earth's climate that precipitate mass extinctions may be relatively infrequent, but more modest changes occur quite regularly. These too may be evolutionary bottlenecks that eliminate some species. Scientists believe that the earth is experiencing a significant change in climate now because of human-induced changes in atmospheric concentrations of CO<sub>2</sub>, and that these changes are stressing the earth's biota (Root et al. 2003). In this section we will briefly review the recent history of climate change and its effect on biota, and we will explore some possible consequences of climate change in the next few decades.

### Recent History of Global Climate Change

To understand how the earth's climate has been changing during the past 2.5 million years we need to leave earthbound science and delve into astronomy. Everyone is familiar with how climate parameters change from day to night as the earth revolves on its axis, alternately warming one side with solar radiation and then cooling it again. Similarly, we all know about how the earth's orbit around the sun and tilted axis generate annual climatic cycles because the Northern Hemisphere is tilted toward the sun during half our orbit (from the March equinox until the September equinox), and the Southern Hemisphere is tilted toward the sun during the other half. These two cycles generate a pattern of climate variation that shapes organisms in many familiar ways: for example, the diurnal–nocturnal behavior of animals and the seasonal growth and death of annual plants. Far less familiar to most people are three other astronomical cycles that generate climate changes over much longer periods and also engender biotic change (Berger et al. 1984; Imbrie and Imbrie 1986).

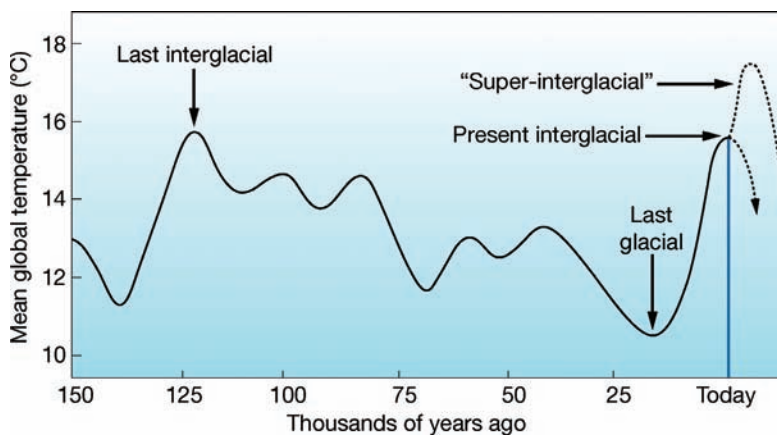
The first of these long-term cycles involves the tilt of the earth on its axis. On average the earth is tilted about 23.5°, but this figure varies from about 22 to 25° over the course of 41,000 years (Fig. 6.3a). The second cycle involves the shape of the earth's orbit around the sun. It is not a perfectly circular orbit, and its degree of eccentricity varies on a cycle of about 100,000 years (Fig. 6.3b). The third cycle is called precession of the equinoxes, and it relates to where the earth is in its orbit around the sun when the solstices and equinoxes occur. If the June solstice (the North Pole tilted toward the sun and the South Pole tilted away from the sun) occurs when the earth is relatively far from the sun and the December solstice (the North Pole tilted away from the sun and the South Pole tilted toward the sun) occurs when the earth is relatively close to the sun (Fig. 6.3c), then the change in climate from summer to winter will be relatively modest in the Northern Hemisphere and relatively pronounced in the Southern Hemisphere. In other words, the distance from the earth to the sun can either accentuate or ameliorate the effects of the axial tilt on the weather, depending on whether you are in the Northern or Southern Hemisphere. Currently, these factors tend to ameliorate seasonal climate change in the Northern Hemisphere, but 11,000 years ago (about half of a double cycle that has periodicities of 19,000 and 23,000 years) the June solstice occurred when the earth was relatively close to the sun, and seasonal changes in the weather were moderated in the Southern Hemisphere (Fig. 6.3d).



**Figure 6.3** Three long-term cyclical changes in the earth's movements collectively generate a 100,000-year cycle of climate. See the text for a description. The shapes in (b), (c), and (d) are exaggerated to make the illustrations clearer. (Based on figures in Imbrie and Imbrie 1986.)

Together these three cycles generate a quasi-cycle of about 100,000 years that has produced eight long periods of extensive glaciation followed by brief, warmer interglacial periods during the past 800,000 years. It is easy to think of these changes in terms of temperature zones that move toward the poles during warming periods and back toward the equator during cooling periods. However, in practice the changes are not nearly that simple; three different cycles are involved, and each of these may affect temperature and precipitation patterns somewhat differently. Moreover, when we consider other factors such as variations in solar output, oceanic currents and jet

streams that move thermal energy around the globe, or glaciers and  $\text{CO}_2$  that influence the balance of solar radiation that strikes the earth versus radiant energy that is returned to space, it is easy to understand why climate changes are so complex.



**Figure 6.4** Global mean temperature record of the past 150,000 years. (Redrawn by permission from Imbrie and Imbrie 1986.)

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The complexities of climate changes are especially obscured when we use average parameters for the whole globe, as in Fig. 6.4, rather than examining local climate changes. For example, scientists, examining the trace elements and continental dust in deep ice cores from Greenland, have estimated that 11,300 years ago the climate warmed  $7^\circ\text{C}$  and that there was a 50% increase in precipitation in just 50 years or less (Dansgaard et al. 1989; Johnsen et al. 1992; Alley 2000). Such extraordinarily rapid changes – sometimes called climate flickers – must have been associated with some local event such as a shift in the location of the Gulf

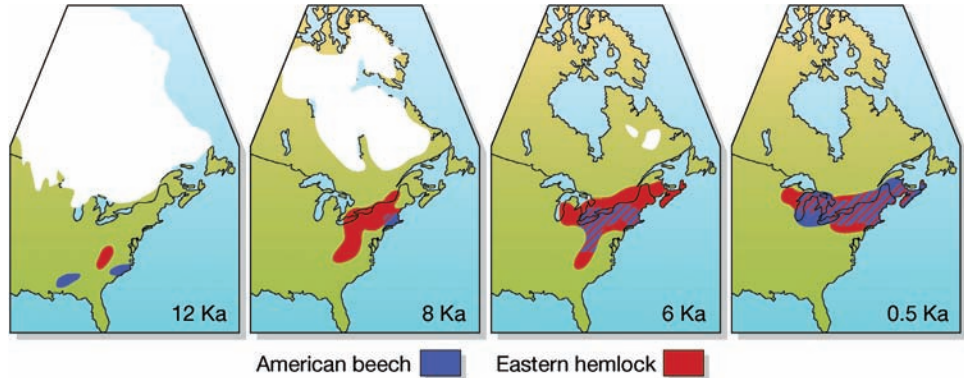
Stream that carries warm waters into the North Atlantic (Lehman and Keigwin 1992). In contrast, Fig. 6.4 suggests that average temperatures for the whole globe have increased less than 7 °C between the last glacial maximum and the present time.

## Response of Organisms to Global Climate Change

Changes in the earth's climate during the past several million years have been much less dramatic than the changes that marked some of the earlier mass extinctions, and thus it is safe to say that most species have adapted to them, rather than perished. The easiest way for a species to adapt to climate change is to shift its geographic range to a new area that has the appropriate climate. The simplest response would be moving toward the poles during warming periods and toward the equator during cooling periods. This response is well documented; for example, 18,000 years ago, when glaciers extended south to southern New York, boreal forest and tundra species occurred in Virginia (Webb 1992). In mountainous areas the range shifts could be much shorter (uphill during warming periods and downhill during cooling periods) because moving 100 meters in elevation is equivalent to moving about 110 kilometers in latitude in terms of temperatures. Closer to the equator species have been affected more by changes in precipitation than by changes in temperature. In particular, equatorial climates have often been drier during glacial periods, thus shrinking the area of tropical forests and increasing the area of tropical grasslands and deserts (Burbridge et al. 2004). Forest species survived such periods as members of relict forests. Even though we are now in an interglacial period and tropical forests have expanded again, some tropical ecologists have argued that they can detect where these climate-change refugia were because they still harbor high numbers of endemic species (Whitmore and Prance 1987). While this refugia hypothesis is not holding up to close scrutiny, at least in tropical regions (Mayle 2004), the effects of climate change on patterns of genetic diversity and speciation are of growing interest (Hampe and Petit 2005).

It is not hard to envision whole sets of species – forest communities, grassland communities – shifting across the globe in response to climate change, but this image is a bit too simplistic. If we look beyond the distribution of communities and examine the past distributions of individual species, a more complex pattern emerges. In general, most species have responded to climate change individually, not in lockstep with other species. For example, Fig. 6.5 shows that two species that co-occur widely today, American beech and eastern hemlock, did not overlap 12,000 years ago when the climate was different (Jacobson et al. 1987). Conversely, three species with widely divergent ranges today – the black-tailed prairie dog, northern bog lemming, and eastern chipmunk – co-occurred in some areas 23,000 years ago (Graham 1986). If climate change were a simple matter of warming and cooling, species might be more likely to have parallel responses. However, given the complexity of climate change, relatively few range shifts are likely to be closely correlated, especially during very rapid climate flickers (Roy et al. 1996; Bartlein et al. 1997). Likely exceptions to this rule include





**Figure 6.5** Changes in the geographic ranges of American beech and eastern hemlock indicate that these two species are responding to their environment independently of one another. Ka = 1000 years ago. (From Hunter et al. 1988 and Hunter 1990 as redrawn from Jacobson et al. 1987. Reprinted by permission of Prentice-Hall, Englewood Cliffs, New Jersey.)

parasites and their hosts or herbivorous and pollinating insects and their preferred host plants.

### Prospects for Future Climate Change

When we look at the climate record of the past 150,000 years (see Fig. 6.4), some pivotal questions emerge. Will the current interglacial period end soon? Will human alteration of the earth's climate lead us into a "super-interglacial" period? The only thing that is certain is that the climate will continue to change. Predicting when the current interglacial period might end is a daunting task that few people have attempted, but predicting the consequences of an increase in  $\text{CO}_2$  has become a major enterprise for scientists. The concern about global warming and  $\text{CO}_2$  begins with three observations (Houghton 1997). First, water vapor, carbon dioxide, methane ( $\text{CH}_4$ ), nitrous oxide ( $\text{N}_2\text{O}$ ), chlorofluorocarbons (CFCs), ozone ( $\text{O}_3$ ), and some other gases are known to allow solar radiation to penetrate the atmosphere and warm the earth's surface but to inhibit reradiation of energy back into space. This is the so-called "greenhouse effect" and these are *greenhouse gases*. Second, atmospheric concentrations of many major greenhouse gases have been rising. In particular, atmospheric  $\text{CO}_2$  concentrations have increased about 30% over preindustrial (c.1750–1800) levels, and methane concentrations have more than doubled. Third, mean global temperatures appear to be rising, by about 0.3–0.6 °C since 1860.

It is widely believed that our enormous consumption of fossil fuels, generation of CFCs, and devastation of ecosystems that serve as carbon reservoirs are responsible for much of the increase in greenhouse gases and that this increase is causing global temperatures to rise. Some scientists offer other possible explanations; perhaps temperatures are rising because of astronomical factors and the warmer temper-

atures favor more photosynthesis and more production of CO<sub>2</sub>. Nevertheless, almost all climatologists now believe that the first scenario, more greenhouse gases leading to warmer temperatures, is more plausible. Even if they are wrong, the obvious remedies – namely, curtailing fossil fuel consumption and destruction of ecosystems – make sense independent of their effect on greenhouse gases. If more greenhouse gases lead to warmer temperatures, what will this mean? More specifically, if CO<sub>2</sub> concentrations double (a probability in the next 50 years or so) and temperatures rise 1.5–4.5 °C (Houghton 1997), what would be the likely impacts of this change on the earth's biota?

## Will Organisms Be Able to Adapt to Future Climate Changes?

Given that the earth's current biota has experienced and survived eight glacial/interglacial cycles during the past 800,000 years, most species appear to be quite well adapted to climate change. Obviously, this adaptability is good news if we are entering a period of significant climate flux. The bad news is that many species may not be able to adapt to another climate change as readily as they have to past changes for two closely related reasons. First, current populations of many species are already stressed by habitat degradation and loss, by overexploitation, and by other factors (Vermeij 2004) that we will discuss in the next four chapters. Stressed populations are likely to be small, and therefore they have a relatively low chance of producing the dispersing offspring that are a prerequisite for a species shifting its geographic range in response to climate change. Second, because human alteration of landscapes has (1) reduced the total amount of suitable habitat for many species and (2) fragmented landscapes with roads, agricultural fields, and urban areas, the odds of a dispersing individual arriving in a suitable habitat have been reduced. Whether it is a willow seed carried on the wind or a juvenile salamander trudging along the forest floor, many dispersing individuals will perish because of the long distances and inhospitable environments between patches of suitable habitat.

There are other reasons to be concerned about the ability of organisms to adapt to greenhouse warming. It is possible that global temperatures may increase to levels greater than anything most species have experienced. This would require longer range shifts, and some species might encounter geographic bottlenecks. Imagine terrestrial species living south of the equator in Africa or South America; if they shift their ranges toward the South Pole, they encounter a gradually tapering continent that terminates in the ocean. A different kind of geographic bottleneck is likely to occur along maritime shores because warmer temperatures would melt a portion of the polar ice caps, causing sea levels to rise (Harris and Cropper 1992). In many regions, shoreline species that needed to move inland would find themselves squeezed between the ocean and intensive shorefront development. Similarly, although species living in mountainous areas can move their ranges up the mountains, the mountains get smaller as you go up, and eventually they stop (McDonald and Brown 1992). This phenomenon may be indirectly responsible for the extinction of the golden toad and the disappearance of 20 other frog species from Monteverde, a tropical mountain site in Costa Rica (Pounds et al. 1999). Warmer ocean temperatures appear to have led to a sharp decrease in the



**Figure 6.6** Biota particularly sensitive to global climate change include some unlikely bed-fellows. For example, some inhabitants of tropical mountains, like this Panamanian golden frog, occupy narrow thermal niches that are easily disrupted (photo: N. E. Karraker), whereas polar creatures, like these polar bears (photo: M. Hunter) rely on predictable and also easily disrupted patterns of ice pack formation to reach seals, their main prey.

number of misty days during the dry season, and this may have forced some frog species to congregate along streams where they were more vulnerable to lethal parasites (Fig. 6.6) (Pounds and Crump 1987). Some bird species at Monteverde have apparently responded to the climate change by shifting their range upward, but the site only reaches about 1800 meters in elevation, thus limiting this opportunity.

It is also conceivable that the *rate* of temperature change resulting from greenhouse warming could be far greater than the rates of change during the other climate shifts of the past 2.5 million years. Rapidly changing temperatures would further tax the abilities of organisms to move their geographic ranges. This issue highlights the need to think of different species individually because their relative mobility differs greatly and, sometimes, in ways that are hard to predict (Guisan and Thuiller 2005). Some species are quite mobile between generations but sedentary as individuals: for example, wind-dispersed plants and spiders that travel long distances as seeds or juveniles, but then stay put for life. Some are relatively mobile as individuals but sedentary between generations: for example, animals that migrate annually, but always return to their natal area to breed, such as many salmon species. Some are sedentary both as individuals and as generations, such as many plants that reproduce vegetatively (Table 6.2).

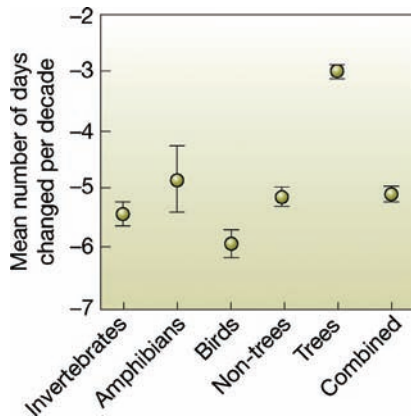
Although climate change and its impacts of the biota have been the subject of much debate, there is now “a globally coherent fingerprint of climate change impacts across natural systems” (Parmesan and Yohe 2003). A survey of published information on temperature-dependent biological phenomena (e.g. earliest flowering dates for plants, first calling dates for frogs) from 143 long-term studies conducted around the world (Root et al. 2003) revealed that more than 80% of species are shifting in the direction expected on the basis of known physiological constraints if climate warming were indeed influencing them. In fact, across a wide variety of organisms, from trees to insects, the timing of important biological events moved ahead, on average, by five days per decade over the past century (Fig. 6.7). Similarly, 62% of nearly 700 species examined by Parmesan and Yohe (2003) have exhibited shifts in phenological timing and 80% of nearly 450 species

| Mobile between generations   | Sedentary between generations  |
|--|--|
| <b>Mobile as individuals</b>   |  |
| Migratory, early-successional birds<br>Insects of ephemeral ponds<br>Pelagic fishes                                      | Migratory, philopatric birds<br>Insects of deep lakes<br>Anadromous fishes                           |
| <b>Sedentary as individuals</b>  |  |
| Territorial fishes with planktonic larvae<br>Early-successional plants; self-incompatible annuals<br>Intertidal molluscs | Desert-spring fishes<br>Late-successional plants; self-compatible perennials<br>Terrestrial molluscs |
| <i>Source:</i> compiled with George Jacobson.  |  |

**Table 6.2**

The ability of organisms to shift their geographic ranges depends on their mobility, both as individuals, and, more importantly, between generations.





**Figure 6.7** Average decadal changes in the timing of important biological events in various organisms from around the world (negative values indicate a tendency to shift to earlier dates). (Redrawn from Root et al. 2003.)

showed changes in range boundaries in the direction predicted by climate change. Thus, there is a very high level of confidence that climate change is already affecting the biota and therefore it is a reality that we must account for, particularly the possibility that interactions between rapid temperature rise and habitat fragmentation will lead to many extirpations and even outright extinctions.

## Summary

The history of life on earth provides both good news and bad for conservationists. The good news is that the diversity of life has been generally increasing despite the fact that extinction is a natural, ongoing process and, occasionally, huge numbers of species have become extinct in a short time. The bad news is that recovery from these mass extinctions takes millions of years; thus if we are in the midst of a human-induced mass extinction now, it is unlikely that any humans will survive to see the recovery. The earth is probably experiencing a mass extinction event currently, but evidence for this does not come from tallying species extinctions because it is nearly impossible to document an extinction event with certainty. This is especially true for species that have never been described. Most estimates of current extinction rates are based on (1) estimates of the numbers of species found in tropical forests, (2) estimated rates at which tropical forests are being lost, and (3) a predicted relationship,

based on island biogeography, between the number of species and the area of their habitat.

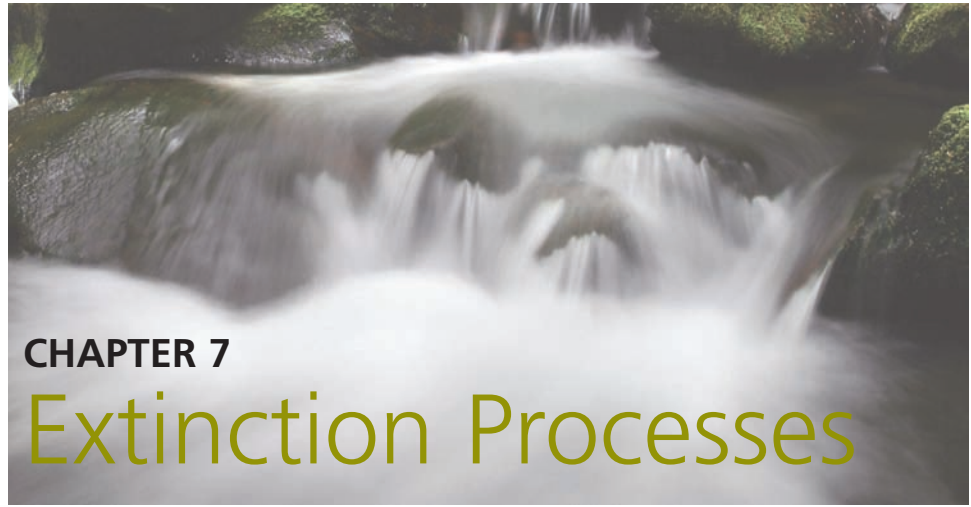
Global changes leading to mass extinctions may be relatively infrequent, but changes in global climates are occurring constantly. These changes are mainly caused by cyclical changes in the earth's movements around the sun, but recent human activities (e.g. adding greenhouse gases to the atmosphere and degrading the ability of ecosystems to store carbon) are now playing a major role. Species have generally been able to adapt to moderate climate change by shifting their geographic ranges, but under current conditions (small, stressed populations and fragmented landscapes) some species may be unable to shift their ranges and thus may become extinct. These issues are very real ones for the biota to contend with – a consistent fingerprint of climate change is already evident in natural systems across the globe.

### FURTHER READING

Stanley (1987), Raup (1991), and Hallam (2004) provide very readable accounts of past extinctions; Stanley is better for descriptions, and Raup is better for explanations. See Hallam and Wignall (1997) for more details. Wilson (1992) gives a concise account of past extinctions and a fuller description of his estimate for the current rate of extinction. For a book on rates of extinction, past and current, see Lawton and May (1995), and for a short synthesis, see Pimm (1998). See Imbrie and Imbrie (1986) for the history of ice ages and climate change and Schneider and Root (2002) for papers on the effects of climate change on biodiversity. For a comprehensive treatment of global warming, see Houghton (1997); for a more popularized one, see Philander (1998). Parmesan and Yohe (2003) and Root et al. (2003) are companion papers that summarize the latest evidence on biotic response to climate change. The lead organization on climate change issues is the Intergovernmental Panel on Climate Change (IPCC) ([www.ipcc.ch](http://www.ipcc.ch)). Also see [www.nacc.usgcrp.gov](http://www.nacc.usgcrp.gov) for the United States government's approach to climate change and [www.climatehotmap.org](http://www.climatehotmap.org) for a site maintained by several environmental groups. For more on recent extinctions visit the website of the Committee on Recently Extinct Organisms ([www.creo.amnh.org/index.html](http://www.creo.amnh.org/index.html)).

**TOPICS FOR DISCUSSION**

- 1 If birds are singing and flowers blooming earlier now because of climate warming, do you consider this to be an affront to the natural order of things or simply abundant indication of the resilience of nature?
- 2 The earth's biota will probably eventually recover from a human-induced spasm of extinctions after humans are extinct. Is this idea depressing or consoling to you?
- 3 How would you allocate conservation funds between (a) efforts to ameliorate human effects on concentrations of greenhouse gases and (b) programs to help biota adapt to climate change?
- 4 How would you respond to a question posed by a relative at a family gathering who asks: "Everybody knows that extinction has happened all through time – how can you say there's an extinction crisis now unfolding?"
- 5 The current rate of extinction is probably much higher now than normal, background rates, but this is only half the equation. Is the rate at which new species are evolving likely to be greater or less than normal?
- 6 What species traits or other biological phenomena might you start tracking (and how) to determine if the biota is responding to climate change in your area?



## CHAPTER 7

# Extinction Processes

Some species are survivors. Even a nuclear apocalypse may be to the benefit of cockroaches. In contrast, other species seem quite fragile. Consider the dodo, a bird that has become a symbol for extinction, as in “dead as a dodo.” The dodo evolved on Mauritius, an Indian Ocean island so remote that no humans inhabited it until 1644. Yet by about 1681 the dodos were gone, victims of hungry colonists and passing sailors who found the birds tasty and easy to catch; dodos were like giant pigeons and had evolved in the absence of predators to be flightless and tame (Halliday 1978). Of course, the phrase “dumb as a dodo” is unfair to a species that was probably well adapted to its environment, but it does convey the idea that the dodo was ill-prepared for a significant environmental change wrought by the arrival of humans.

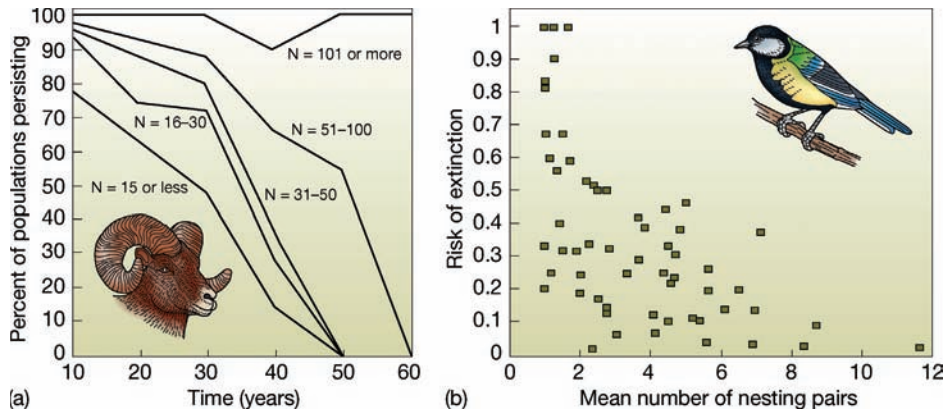
In this chapter we will seek to understand why some species like the dodo are so vulnerable to human-induced extinction. We will begin with general observations about characteristics shared by vulnerable species; next, we will review basic ideas about population structure; and, finally, we will describe a technique, population viability analysis, used by conservation biologists to examine the vulnerability of small populations.

## Why Are Some Species More Vulnerable to Extinction than Others?

The simple answer to this question is that some species are rarer than others. This answer is intuitively obvious, and there are some convincing data to support the contention that populations and, by extension, species that are comprised of fewer individuals are more susceptible to extinction (Fig. 7.1). To increase our understanding of how rarity influences extinction processes it is best to examine the issue as three subquestions.

### Why Are Some Species Rarer than Others?

This question returns us to Chapter 3, “Species Diversity,” and our discussion of the three ways in which a species can be rare (Rabinowitz 1981; Rabinowitz et al. 1986). Briefly, these were (1) restriction to an uncommon type of habitat, (2) limitation to a small geographic range, and (3) occurrence only at low population densities. Let us examine each of these further. First, some species are restricted to a rare type of habitat because they have evolved special characteristics that allow them to live there and nowhere else; blind, unpigmented cave-dwelling invertebrates, fishes, and amphibians are good examples of



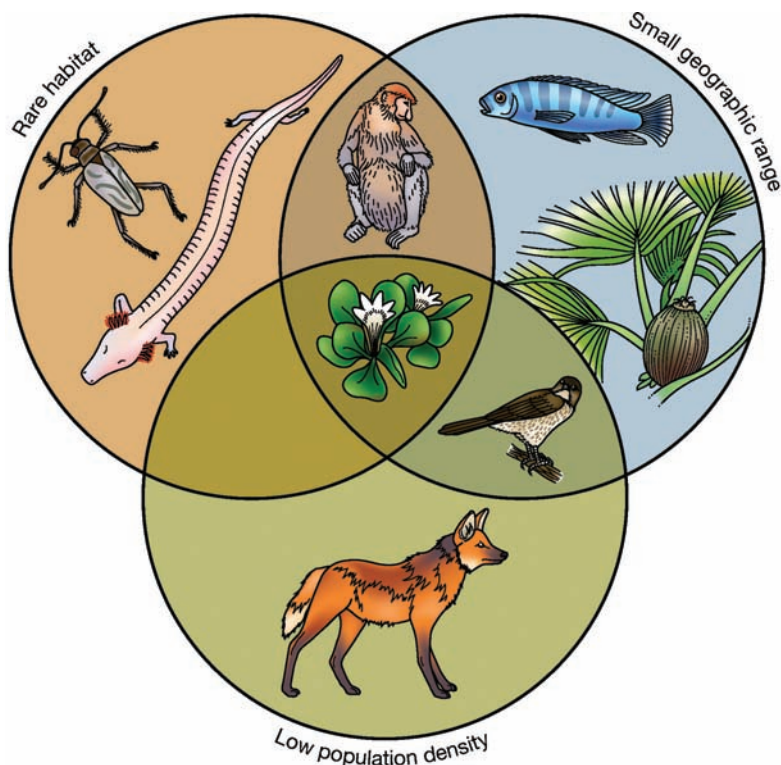
**Figure 7.1** (a) A study of bighorn sheep populations living on semi-isolated mountain ranges (primarily in deserts of the southwestern United States) demonstrated that larger populations were likely to persist longer than smaller populations. All populations of less than 50 were extinct within 50 years. (Also see Wehausen 1999 and Berger 1999.) (b) Similarly, a study of the risk of extinction for populations of 62 birds species on 16 small islands off the coast of Britain and Ireland indicated that, in general, species with smaller populations were at greater risk. In this figure, risk of extinction is the reciprocal of the average time to extinction in years. (Also see Tracy and George 1992; Duncan and Young 2000; Eisto et al. 2000; Vucetich et al. 2000.) (Part (a) redrawn from Berger 1990; part (b) redrawn by permission from Pimm et al. 1988.)

this (Culver et al. 2000). As a further example, the many species restricted to vernal pool habitats are rare precisely because the habitats they depend on are small, few, and scattered. Alternatively, some species are probably found in rare habitats primarily because they cannot compete successfully elsewhere. Consider, for example, the highly endangered steamboat buckwheat, which is restricted to open slopes in gravelly, sandy-clay soil derived only from hot springs deposits in Nevada in the western United States (Archibald et al. 2001). This species could live in normal soils, but does not because it cannot compete well with other plants in normal soils.

Second, many rare species are confined to small ranges by geographic barriers such as islands surrounded by ocean, or lakes surrounded by land. For example, over 500 species of cichlid fishes (some researchers have estimated 1000) are endemic to Lake Malawi in Africa (Keenleyside 1991). In some cases barriers may be subtle, but can still restrict the range of a species with narrow tolerances (as might a small change in water temperature; Venard and Scarnecchia 2005). For example, Gentry (1986) suggested that many Amazonian plant species with small geographic ranges may have evolved in areas with special soil conditions and have not been able to expand their ranges across other soil types.

Third, species may occur at low population densities for a variety of reasons. Body size is a key reason because, all other things being equal, a large organism requires more space than a small one (Marquet et al. 2005). This is most conspicuous when you consider the extensive home ranges of large animals, but it also applies to plants: you can





**Figure 7.2** There are three basic ways that a species can be rare. Some, such as the olm (a cave salamander) and the northeastern beach tiger beetle, are confined to rare habitats (*left circle*). Others have small geographic ranges (*right circle*), such as *Pseudotropheus heteropictus*, a cichlid fish from Lake Malawi, and the coco-de-mer from two small islands in the Seychelles archipelago. Still others, such as the maned wolf, occur at low population densities, often because they are large or require resources that are widely dispersed (*bottom circle*). Some are rare in more than one respect. For example, the proboscis monkey lives in mangrove swamps on the island of Borneo, and the Hawaiian hawk lives at low densities on the island of Hawaii. The dwarf naupaka numbers about 350 individuals in four populations growing on beach dunes on the Hawaiian island of Maui. (See Pitman et al. 1999 and Ricklefs 2000 for a recent analysis of these patterns among tropical forest trees in Peru.)

fit far more individual lilies than oaks on a single hectare. Organisms may also live at low population densities if the resources they require are scarce and dispersed. The classic examples are carnivores that commonly live at low densities because they are at the apex of their food web and thus must travel over relatively large areas to obtain food. Pelagic marine carnivores that move across vast stretches of ocean, such as bluefin tuna, are perhaps the best example. Some plant species may occur at low densities because they have a higher fitness when they are not competing with nearby members of the same species (Rabinowitz et al. 1984). Note that numerical abundance and biomass are not the only ways to measure evolutionary success. If we measure success in terms of evolutionary longevity, some rare species may be quite successful in the long run, even though they are not very successful in terms of their current abundance. This applies, too, to higher level taxonomies. For example, there are not many kinds of turtles in the world (about 260 species), yet the lineage has remained largely unchanged morphologically for over 200 million years (Lee 2001).

Most rare species will fall into only one of these three categories, but some will fit two, and a few may survive despite being rare in all three ways (Fig. 7.2).

## Why Are Rare Species Usually More Vulnerable to Extinction than Common Species?

The first and probably most important answer to this question is that a rare species has a greater chance of being pushed into extinction by an environmental change than a common species. This is particularly true of species with small geographic

ranges, because an environmental event may encompass the species' entire range whether it is a specific catastrophe (e.g. a volcano eliminating an island) or a gradual change (e.g. immigration of a competitive species). About three-quarters of all the animal species known to have become extinct since 1600 were island species (Jenkins 1992). Similarly, species that are confined to a very specific type of habitat may be vulnerable to environmental change. A study of plant species' persistence in Swiss grasslands showed that the habitat specialists were much more vulnerable to extinction than the habitat generalists (Fischer and Stöcklin 1997). Demographic problems can also lead to extinction of small populations; for example, an unbalanced sex ratio can limit the birth rate severely, particularly if it is biased against females. Finally, small populations, especially ones that have recently become small, are likely to suffer from the genetic problems discussed in Chapter 5: genetic drift, inbreeding, and bottlenecks. Similarly, over long periods the lack of genetic diversity in a rare species may restrict its ability to adapt to a changing environment. We will return to a more detailed review of these factors in our discussion of population viability analysis below, and in the next three chapters we will discuss environmental change in depth.

## Why Are Some Species Particularly Sensitive to Human-induced Threats?

Population size and distribution are not perfect predictors of a species's vulnerability to extinction, especially when human impacts are involved. In 1813 when John James Audubon camped on the shore of the Ohio River, watching a flock of passenger pigeons that stretched from horizon to horizon and took three days to pass, he could not have guessed that just 70 years later the species would be decimated and by 1914 extinct (Schorger 1973). Conversely, consider another species from the same region, the Virginia round-leaf birch, which was so rare that it remained undiscovered until 1914, when four individuals were found (Preston 1976). Despite this precarious state – it was lost by scientists from 1914 to 1976 – it persists today. Here are four primary characteristics that tend to predispose a species, even one that is not necessarily rare, toward problems with changes people make to the environment.

**1 Limited adaptability and resilience.** Some species have a limited ability to adapt to change or to recover from a disturbance because of their low reproductive capacity (small number of progeny, long generation time, etc.), limited dispersal capabilities, inflexible habitat requirements, and so on. Contrast an African elephant that can produce only one young every five years with various insects (Fig. 7.3). For example, a female fruit fly can lay 100 eggs and have 25 generations per year, theoretically leading to  $10^{41}$  progeny in one year. (Figure out how many times a line of  $10^{41}$  fruit flies would reach to the sun and back with one fly for every 2 mm of the 150,000,000 km.) Moas, giant flightless birds of New Zealand, likely were vulnerable to loss of adults, primarily through hunting, rather than as a result of habitat destruction, because they laid few eggs and bred very slowly (Turvey and Holdaway 2005).

Adaptability is not just an issue of having a large reproductive capacity; some species such as house sparrows and dandelions are able to flourish in our cities,

**Figure 7.3** The ability of species to survive in the face of environmental change is often correlated with their reproductive capacity. For example, this female elephant will typically produce one calf every five years (the little one beneath is likely her newest baby – the others may be her older calves or belong to other females) whereas the mantis is guarding an egg case full of hundreds of eggs that she will produce every year or even more often. (Photos from Dan L. Perlman.)



suburbs, and farms simply because their particular physiology, morphology, behavior, etc. fit well the conditions created. Unfortunately, they are greatly outnumbered by species whose inflexible habitat requirements, sensitivity to predation or competition, and so on leave them with a limited ability to cope with major human-induced changes.

- 2 Human attention.** Some species suffer because they are singled out for attention from people. In the case of dodos, passenger pigeons, and many other species,



being deliciously edible was their Achilles' heel. A turtle – the diamond-backed terrapin – thrived at remarkable densities in salt marshes along the eastern and southern US coastline until the early twentieth century, when a faddish craving for terrapin soup nearly killed it off. The species suffered from what Archie Carr (1952) referred to as an “innate and incontrovertible succulence.” On the other hand, some species are persecuted because they are very unpopular. Witness what happens to most bats, snakes, spiders, and wild canines (especially wolves, African wild dogs, and dholes) when they are unfortunate enough to have a close encounter with a human. Consider also the aye aye, a lemur of Madagascar, which is burdened with a nearly island-wide taboo (a “fady” in Malagasy) that associates the act of seeing the animal with ensuing ill fortune. Rural Malagasy kill aye ayes that leave the forest and approach villages, particularly when farm plots attract aye ayes during seasons of food shortage (Simons and Meyers 2001).

- 3 **Ecological overlap.** Many species are threatened with extinction because they are tied to the types of ecosystems preferred by people. Humans have thrived in places with fertile soils and benign climates, and organisms that are restricted to these sites have usually lost out to our agriculture and cities (Dobson et al. 1997; Wilcove et al. 1998; Duncan and Young 2000). For example, environments that support tallgrass prairies make wonderful farmland, and now the native biota of these ecosystems is often restricted to a handful of overlooked sites like railroad rights-of-way and unmanaged cemeteries (Breymeyer 1990). Similarly, rivers are focal points of human activity because they provide water, transportation corridors, waste disposal, and hydroelectric facilities, and as a consequence, many riverine species (especially, fishes and mussels) are in great jeopardy (Wilcove et al. 1998; Dudgeon 2000).
- 4 **Large home-range requirements.** Conflicts caused by overlapping habitat will be exacerbated if the organism requires large areas of land to roam. It is one thing for some asters to find a few square meters of suitable habitat in a human-dominated landscape; it is something else for a wolf pack to find the hundreds of square kilometers it needs. Of course, this factor cannot be readily separated from the fact that animals with extensive home-range requirements tend to be rare (i.e. have low population densities) and usually are also so large that they tend to attract unwanted human attention.

Some species may not fare well in their contact with people in part because they have had little time to adapt to humans and all the challenges they bring: notably, overexploitation, habitat degradation, and introductions of exotic species. This is particularly likely to be true of species inhabiting remote islands that have only very recently (in an evolutionary time frame) been colonized by any large mammal, human or otherwise.

## Populations

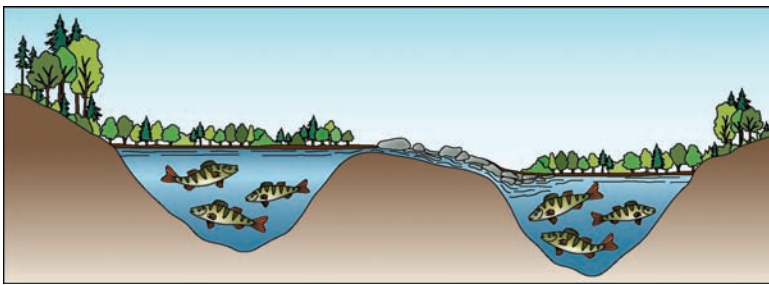
Until now we have been discussing species as though they were composed of a single population. It is not that simple. Different species have different population structures, and these have considerable bearing on vulnerability of the species to extinction. Let



us begin with a very broad definition of *population*: a group of individuals of the same species occupying a defined area at the same time. Often the area, and thus the population, is arbitrarily defined by the boundaries of a researcher's study area or by a political unit (e.g. Arizona's population of saguaro cacti). Defining the area in terms of ecological boundaries important to the species in question makes the definition less arbitrary (e.g. a pond and its population of perch).

Ideally, biologists would usually prefer to define populations with respect to their demography or genetics. In terms of demographics, a sound definition would be as follows: a group of interacting individuals of the same species whose population structure (i.e. age and gender) and dynamics (e.g. mortality and natality) are relatively independent of other groups. In terms of a pool of genes potentially exchanged, we could distinguish two groups as separate populations if one group has an allele not shared with the other group. Alternatively, we could use the overall distribution of genetic diversity (recall  $H_t = H_s + D_{st}$  from Chapter 5, section on heterozygosity). If  $D_{st}$ , the variability among populations, is above some threshold we could call two groups separate populations. There is no widespread rule of thumb for such a value of  $D_{st}$  (or its analogues  $F_{st}$ ,  $G_{st}$ , or  $R_{st}$ ), but the work of Sewall Wright (1978) suggests that it would be at least 0.05 or 5% of the overall diversity.

Whether you define populations demographically or genetically, a key issue is how much movement or interchange (typically, by the dispersal of juvenile animals or plant propagules) there is between two groups of organisms; with less interchange it is more likely that two groups will be separate populations (Fig. 7.4). It is generally accepted that exchange has to be very low, less than one breeding individual per generation, to allow two groups to develop unique alleles (Kimura and Ohta 1971). At a somewhat higher rate of exchange, you would find no unique alleles, but would be likely to see differences in the frequency of alleles that would be reflected in the value of  $D_{st}$ . Finally, even higher rates of exchange would be



**Figure 7.4** If we use an area-based definition of population, the perch in the two ponds are readily recognized as separate populations. From a population dynamics perspective, the perch will be separate populations if interchange is so limited that the populations have different levels of mortality, natality, etc. Using a reproductive isolation definition, we can define all the perch in both ponds as a single population as long as at least one breeding individual per generation is exchanged between the two ponds.

necessary to allow two groups to have the same demographic features. Unfortunately, we do not have a good understanding of what these thresholds of exchange are, and, indeed, they are likely to vary considerably among different species (Wang 2004).

This focus on exchanging individuals brings us to an important topic, the spatial structure of populations. Simply put, the chance of two groups being a single population through the exchange of individuals is lower if two groups are far apart and separated by an inhospitable environment. In the next section, after reviewing some basic con-

cepts, we will examine what it means for individuals to be scattered across space from a conservation perspective.

## Patchy Distributions and Metapopulations

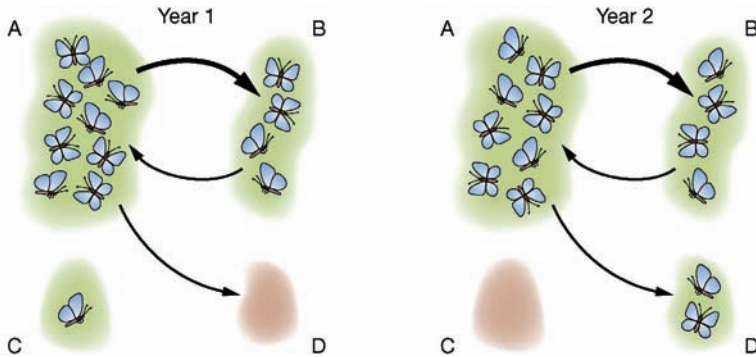
Consider the broad-leaved cattail. This is a species that is found throughout much of the Northern Hemisphere, but it occurs only in discrete patches of habitat – certain types of freshwater wetlands – that are usually only a small portion of the overall landscape. Within their patches of habitat, cattails are often exceedingly abundant, but between these patches there are large stretches of land without any cattails. But the cattails do move among patches occasionally by means of water- and sometimes air-borne seeds. Cattails are a good example of an attribute that is common to many species: patchy distributions. Patchy distributions are the basis for a model of population structure that has attracted considerable attention in conservation biology circles: *metapopulations*.

In metapopulation terms, each patch of habitat contains a different population of the species in question, and a group of different patch populations is collectively called a metapopulation. To put it another way, a metapopulation is a “population of populations” (Hanski 1998). Metapopulations exist at a spatial scale where individuals can occasionally disperse among different patches but do not make frequent movements because the patches are separated by substantial expanses of unsuitable habitat. This rate of movement is usually sufficient to avoid long-term genetic differentiation among patches, but low enough to allow each patch to be quite independent demographically. To avoid some of the ambiguity surrounding demographic versus genetic definitions of populations, groups within patches are often called subpopulations or local populations.

It is important to recognize that not all species that are distributed in habitat patches are composed of metapopulations (Harrison 1994). In many cases, perhaps most, the frequency of movement among patches is so great that there really is only one large population with no meaningful subdivision of the population. This is almost certainly the case for highly mobile species, such as most birds. This point is often obscured by the fact that some of the language of metapopulations – to be presented in the rest of this section – is often used for “patchily distributed populations” that are not metapopulations (for example, birds in fragmented forests and marshes; Donovan et al. 1995; Vierling 2000).

We can summarize the previous two paragraphs by thinking in terms of three levels of movements among patches. At high rates of interchange among discrete patches there is effectively only one population occupying all the patches. If there is very little or no movement among patches, then each patch is occupied by a distinct population. At intermediate rates of movement, the patches are occupied by a metapopulation composed of many subpopulations.

Our brief examination of metapopulation dynamics will focus on two types of subpopulations – sources and sinks – and two processes – extinctions and colonizations (Figs 7.5 and 7.6). Some subpopulations are *sources* because they produce a substantial number of emigrants that disperse to other patches. Some subpopulations are *sinks* because they cannot maintain themselves without a net immigration of individuals from other subpopulations. In other words, some subpopulations are saved



**Figure 7.5** A schematic depiction of a metapopulation in two years. Occupied patches are shaded; empty ones are unshaded. Arrows represent movement among patches, with the width of arrow corresponding to the number of dispersers. Patch A is a source of butterflies (a net producer of emigrants). Patch B is a sink (net recipient of immigrants). The butterfly subpopulation in patch C has become extinct, while in patch D a new subpopulation has begun to develop from dispersers that have colonized the patch. Patch A is probably a core subpopulation because of its size and persistence, whereas C and D are satellites. We would need data from more years to say if B is a core or a satellite.

from extinction by immigration from other subpopulations; this process has been called the *rescue effect* (Brown and Kodric-Brown 1977; Piessens et al. 2004). Perennial sinks that are caused by human changes to the environment have been termed *ecological traps* (Schlaepfer et al. 2002). Sinks and sources are useful concepts, but in practice it is difficult to distinguish them because movements of individuals among subpopulations are hard to monitor (Hoopes and Harrison 1998). Moreover, a population that is a source one year may be a sink the next year, or vice versa, especially if environmental quality (e.g. food availability) changes.

Despite the balancing effect of immigration and emigration, subpopulations sometimes appear and disappear in a manner often compared

with small lights winking on and off in a dark expanse. More formally, these appearances and disappearances are called *turnover*. Each appearance is a *colonization* event: for example, when a species of grass colonizes a forest opening after a tornado creates the opening. Each disappearance is a *local extinction* event: for example, when all the frogs in a pond are killed by a disease. These processes occur at an ecological time scale and may be quite rapid (e.g. a windstorm drops a swarm of spiders and seeds to colonize a recently burnt grassland) or quite slow (e.g. after the burn, an annual plant species restricted to recently burnt grasslands gradually disappears). These events may be interwoven with the whole pattern of disturbance and succession that operates in a given ecosystem (e.g. the plants and all the insects that depend on them), or they may affect only one or a few species (e.g. the bullfrogs and their pathogens). Subpopulations that persist for relatively long periods are often called *core* subpopulations, whereas those that are more likely to wink on and off are often called *satellite* subpopulations (Boorman and Levitt 1973). Core subpopulations are likely to be large and a net source of individuals, and satellite subpopulations are likely to be small and a net sink, but, undoubtedly, there are exceptions to these generalizations. In fact, one must always be cognizant that sometimes the smallest populations are in fact the most productive and vital to the system.

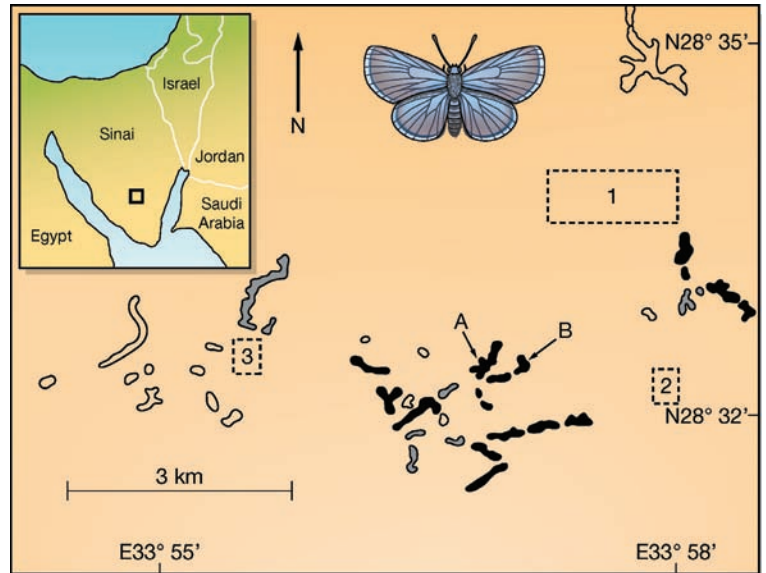
To the extent that these small-scale extinction and colonization events are reasonably in balance with one another, they need not worry conservation biologists. However, to state the all-too-obvious problem, the rate of subpopulation extinctions may often exceed the rate of colonizations in the lands and waters disturbed by

human activity. In fact, the metapopulation model is particularly applicable to one common form of ecosystem degradation – habitat fragmentation – that we will discuss at length in the next chapter (Hanski 1998, 1999). Habitat fragmentation can reduce vast ecosystems to small, isolated patches and thereby subdivide species that once had large, regionwide populations into much smaller groups. If the species has reasonably good dispersal abilities, these groups may persist as a metapopulation; if it does not, it may disappear from all the habitat patches one by one (Templeton et al. 1990). Even when habitat patches are naturally small and isolated, fragmentation can further reduce the sizes of habitat patches and increase the distances between them, thus making subpopulations smaller, more isolated, and more vulnerable to extinction (Honnay et al. 2005). It has also compelled biologists to focus more attention on dispersal, a difficult-to-study process that has traditionally been overshadowed by studies of natality and mortality (Skarpaas et al. 2005).

In sum, the metapopulation concept offers a useful framework for understanding the dynamics of populations in patchy landscapes, and patchy landscapes are becoming more and more common because of human activity. This said, it is a mistake to assume that all populations of threatened species conform to the metapopulation concept, or to assume that universal conservation rules can be derived from metapopulation theory (Harrison 1994).

## Population Viability Analysis

Conservation biologists often ask, “What is a *minimum viable population*?” Or, more fully, “For this particular population, what is the smallest that it can be and still have a reasonable probability of surviving for some time into the future?” The story of Noah in the Bible indicates just two: one male and one female. However, population biologists have shown that Noah would have to have been extremely lucky or to have enjoyed long-term divine intervention, because most populations this small are doomed to



**Figure 7.6** Metapopulation structure of the world’s smallest butterfly, the Sinai baton blue. Patches of Sinai thyme (the butterfly’s host plant) in which a colony of Sinai baton blue butterflies was persistently present are depicted in black; patches persistently lacking the butterfly are open, and patches in which a colony was occasionally present are in gray. Patches A and B were determined to be key to the metapopulation’s persistence. Numbered boxes are the area’s main settlements of St. Katherine (1), Wadi Arbaein (2), and Wadi Zuwetein (3). (From Hoyle and James 2005.)



extinction. A technique used to estimate minimum viable populations (MVP) is called population viability analysis, often abbreviated as PVA (Soulé 1987). In a general sense, any systematic attempt to understand the processes that make a population vulnerable to extinction could be called a PVA. In practice, the term usually refers to using models to predict the likely fate of a population (Beissinger and Westphal 1998). At their simplest, these models are deterministic predictions of what will happen to a population that has certain rates and degrees of variability of natality and mortality. (Box 7.1 begins with an example of a deterministic model; see Doak et al. [1994] and Nicole et al. [2005] for examples with tortoises and orchids, respectively.) The most complex PVA models incorporate metapopulation dynamics, are tied to the particular spatial distribution of the population being modeled, or both (e.g. Schtickzelle and Baguette 2004). Here we will focus on the most common form of PVA models, those that focus on a single population and incorporate an element of stochasticity, or randomness.

To understand the stochastic approach to PVA you have to appreciate the role of probability in the extinction of populations; in many respects PVA evolved out of risk assessment, which is based on probabilities (Burgman et al. 1993). Recall from Chapter 6 that sooner or later all populations become extinct; only *when* and *why* are left to chance. Let us start with “when” by considering two generic predictions. First, the smaller a population is, the greater the probability that it will become extinct in a given span of time. Conversely, the longer the time period being considered is, the greater the probability that a population of a given size will become extinct. Conservation biologists translate these ideas into real-world predictions that usually take one of two forms: (1) a dodo population needs to have at least  $x$  individuals if it is

## BOX 7.1

### Population viability analyses

Conservation biologists managing threatened populations are frequently confronted with questions such as: “How many tortoises should be maintained in a particular reserve to ensure that a population will be thriving 100 years from now?” “How many caribou should be released on this mountaintop to successfully reestablish a population?” “What recovery objective should be set for this endangered orchid?” Answers to these fundamental questions are rarely intuitive; many variables interact to determine the size of a population and how long it might persist. Often, the only way to gain insight is to develop a mathematical model of the population and to use it to perform a *population viability analysis* or PVA.

A population viability analysis is based on a model that relates a *dependent variable* (such as population size) to the *independent variables* that influence it (such as weather, harvest levels, mortality, etc.). The relationship between independent and dependent variables is mediated through the model’s *parameters* (such as survival rates and reproductive rates of individuals). In this way, the model permits us to ask whether, for example, a population will rebound if poaching is limited, or if it will be more secure in the future if 200 rather than 100 individuals are reintroduced to an area.

What sets PVA apart from other types of population models? PVA integrates both the magnitude of the model parameters *and* the amount that they vary over time and space. In other words, PVA embraces rather than ignores the variability that we observe in nature, something of great importance to the fates of small populations. To do this, PVA generally involves three steps. First, a single population projection is made over a specified period. The population size at any given time step is a function of both the population size at the previous time step and values

drawn at random from distributions of numbers that describe a model's parameters. For example, mortality rates may vary about a certain average value, but will actually be higher or lower than the average in any given year. The PVA therefore selects a value for mortality at random from the full range of possible values at each time step. Similarly, it does this for all the other parameters in a model. Accommodating natural variability in this fashion provides the realism that makes PVA so useful for studying small populations. The second step of a PVA involves making many such projections (typically 500 or more). Each projection is, of course, unique, and they usually terminate at different points. The last step in a PVA is to calculate the proportion of all the projections made for which the population reached a certain threshold. Thus, a prediction from a PVA generally has three elements: a population threshold (often zero), a probability (from 0 to 1, or 0% to 100%) that the population will reach that threshold, and an interval of time to which the prediction pertains. In aggregate, all the projections provide a good sense of the range of possible fates of the population. This is what PVA is used for primarily: estimating the chance that a population will rise above or below some level under different conditions given the natural variability in the system. It is therefore a specialized form of risk analysis.

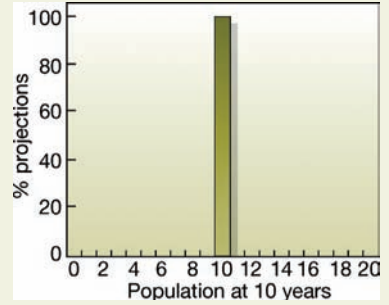


Figure 7.7 Deterministic projections.

**An example.** Consider the following model of a population in which the total number of individuals ( $N$ ) can change over a discrete interval of time (from  $t$  to  $t + 1$ ) only because of births and deaths in the population:

$$N_{t+1} = (N_t \times S) + (N_t \times B \times S)$$

where  $S$  is the probability of an individual surviving from  $t$  to  $t + 1$  and  $B$  is the number of offspring produced on average per individual at each time interval. The  $(N_t \times S)$  component of the equation represents the survival of adults from one time step to the next, and  $(N_t \times B \times S)$  represents the production of offspring and their subsequent survival.

If  $N_t = 10$ ,  $S = 0.5$ , and  $B = 1$ , then the population will remain stable at ten individuals no matter how far we project it into the future ( $10 = [10 \times 0.5] + [10 \times 1 \times 0.5]$ ). Also, its probability of extinction is zero (Fig. 7.7). Because this model's parameters do not vary, it is

termed a *deterministic model* and it always provides a single, discrete prediction. We know that population parameters are not fixed, however, so this prediction is not very useful. We need to add some elements of variation to the model to make it a *stochastic model* and thus a more realistic assessment of the population's future.

We can first add an element of demographic stochasticity. Rather than simply multiplying the whole population by the survival value (the "average" expectation), we can examine the fate of each individual in the population. At each time step

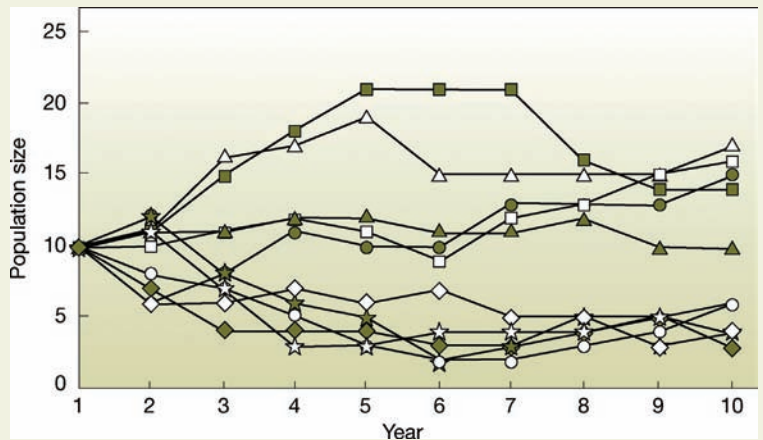
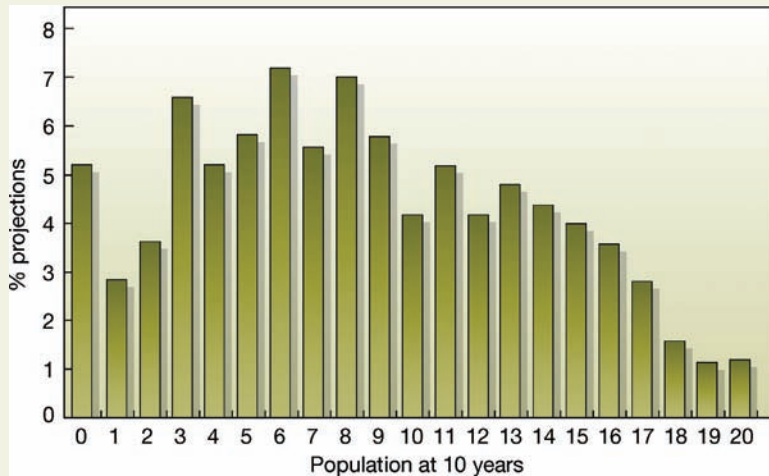


Figure 7.8 Ten projections with survival-related stochasticity.

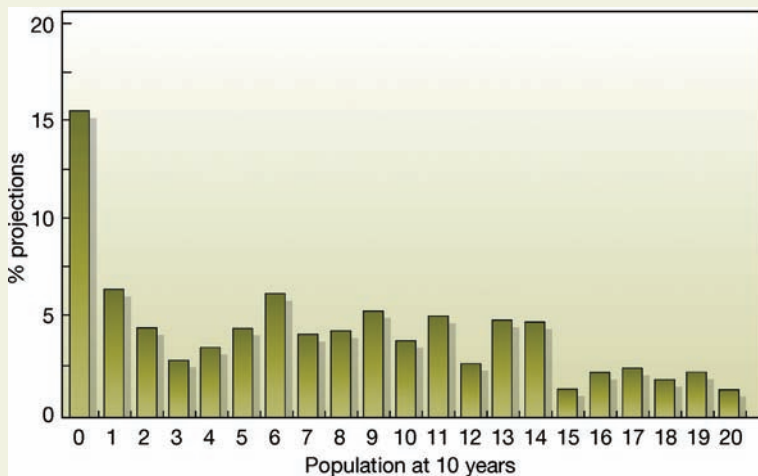


**Figure 7.9** Projections with survival-related stochasticity.

ten or none. These are the chance or stochastic events that actually happen in small populations. Next we make 500 separate projections of the population over a ten-year period, the first ten of which are shown in Fig. 7.8. When we add this element of stochasticity, each population projection is different. After 500 such projections, we can look at the frequency of ending population sizes at ten years (Fig. 7.9) and determine that the probability of population extinction is about 5%. In other words, some 25 projections, of the 500 made, fell to zero by year ten.

Let us add a second source of demographic stochasticity in this small population: gender. We can again use uniform random numbers to determine which individuals are females and which are males. We will assume a sex ratio of 1:1. If a uniform random number (from 0 to 1) is  $\geq 0.5$ , then the individual born is a female; otherwise, it is a male. Similarly,

we will assume that only females produce offspring and that they do so only if there are some males alive. This addition of a gender-related stochastic process further increases the population's probability of extinction at year ten to about 15% (Fig. 7.10).



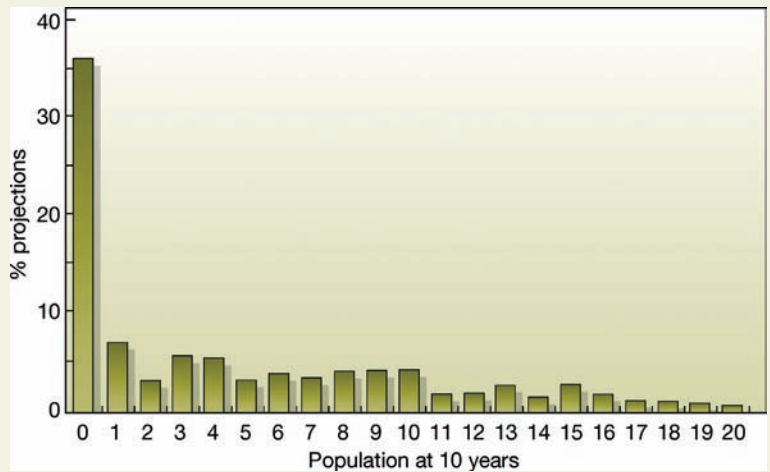
**Figure 7.10** Projections with survival- and gender-related stochasticity.

and for each individual, we can generate a random number (a “uniform” random number, scaled from 0 to 1, in which each value between 0 and 1 has the same likelihood of being sampled), and we can compare it with the survival value of 0.5. If the random number is greater than or equal to the survival value, the individual lives. If it is less than 0.5, the individual dies. (The same process could be simulated simply by flipping a coin.) Under these conditions five individuals from an initial ten will survive in most cases, but frequently six or four will survive, sometimes seven or three, occasionally eight or two, rarely nine or one, and very rarely

ten or none. Finally, we can consider a catastrophic event that occurs on average every ten years (annual probability of 0.1) and that destroys reproduction when it occurs (i.e.  $B = 0$ ). Uniform random numbers can be used here again: if a uniform random number sampled in a particular year is less than 0.1, then the catastrophe occurs; otherwise, it is a normal year, and reproduction remains unchanged. The

combination of stochastic demographic forces and occasional catastrophic reductions in reproduction increases the probability of extinction at ten years to 35% (Fig. 7.11).

To pursue this example, we could add other model parameters and develop a fairly realistic model of a particular population. Manipulation of the values of those parameters would permit an examination of how different management activities might affect the population's persistence. Through such manipulations, we could also identify the key parameters that influence population growth. This is termed a *sensitivity analysis* and is useful for targeting management and research efforts (Lindenmayer et al. 1993).



**Figure 7.11** Projections with survival- and gender-related stochasticities and reproductive catastrophes.

### Further considerations

Without PVAs, many insights about threatened populations are unavailable to us. Population processes are generally too complicated for biologists to understand without the use of such models to synthesize information about all the potential influences acting simultaneously on a small population. Predictions from a PVA are, however, only as reliable as the logic used to construct the population model and the validity of the estimates of the model's parameters. Meager information is available on the natural history of many species, especially those threatened with extinction, and deriving estimates of the key parameters of population models is often quite problematic. Also, we often do not know how the different demographic parameters interact. For example, how does population size affect inbreeding and thereby influence reproductive rates, which might, in turn, affect population growth rates and population size in a form of feedback loop? Biologists are only now learning about such interactions. Also, we must be cautious about the period over which populations are projected. Because we cannot know the state of the environment even 100 years from now, making population projections over a modest interval (10 to 50 years) is most prudent. Finally, PVA should be regarded primarily as a tool for guiding research, management, and policy and for synthesizing knowledge about a species. PVA is an extraordinary tool for understanding how a population works and what influences its ups and downs. There are, however, generally too many uncertainties about the details of the models to permit using them to make definitive statements about the precise fate of particular populations.

### Coda

PVA software for microcomputers is available (e.g. VORTEX and RAMAS). For details on these programs (and others) and a review that compares their strengths and weaknesses for particular applications, see Lindenmayer et al. (1993, 1995). Generic risk-assessment software also can be adapted to perform PVAs. Computer programming languages offer the most versatility in performing PVAs, but legitimate PVAs can also be performed using conventional spreadsheet programs.



to have a 95% probability of surviving for 500 years; or (2) a population of 25 dodos has an  $x\%$  probability of surviving 500 years if current conditions persist. (The figures 95% and 500 years are arbitrary; 90% and 99%, 100 and 1000 years are also used commonly. In reality these models cannot distinguish, with confidence, between a 90% and 99% probability of extinction over such long periods.) One key objective of PVA is to replace these  $x$  values with good predictions. Another objective is to understand “why” the population will become extinct – what factors will be responsible – because this will give conservationists some guidance on how to direct their management.

Mark Shaffer (1981) identified four interacting factors or processes that might contribute to a population’s extinction. He referred to these as stochasticities – uncertainties – to emphasize that they were based on probabilities.

**1 Demographic stochasticity** is uncertainty resulting from random variation in reproductive success and survivorship at the individual level. The importance of demographic issues is best illustrated with a simple hypothetical example. If 95% of a population of 10,000 frogs were killed by a disease, the 500 remaining could probably rebuild the population over several years. In contrast, if 95% of a population of 100 frogs died, there is a fair chance that the remaining five frogs might be all males, perhaps because females were more susceptible to the disease. Alternatively, they might be two large females and three males too small to mate with them effectively. You can imagine many scenarios; the point is that with very small populations there is a fair chance that extinction will occur simply because of vagaries in the age and sex structure of the population. This is a very real issue for small populations (e.g. island-bound foxes off the California coast; Kohmann et al. 2005).

In some species it is not sufficient to have a balanced age and sex structure; apparently, there must be a fairly large number of individuals to provide enough social stimulation for reproduction. This is called the *Allee effect* after Warder Allee (1931), who, having noted that species such as red deer and starlings thrive only in social groups, suggested that they require the stimulation of a group to breed. It has been suggested that the extinction of the passenger pigeon, which often nested in huge colonies, may have been hastened because natality dropped after populations became too low to provide enough social stimulation (Schorger 1973). For some species, issues such as group defense against predators or efficiency in finding food may also explain a need for group living (Mooring et al. 2004; Serrano et al. 2005). An extreme case of the need for sociality could occur if a species became so rare and widely dispersed that individuals had difficulty finding one another; this may be an issue for whales that travel over immense spans of ocean. It is easy to envision a rare plant (of a species incapable of self-fertilization or vegetative reproduction) failing to reproduce because no pollen ever arrived from another plant (Bawa and Ashton 1991).

To predict the effects of demographic factors we need estimates of demographic parameters such as the population’s size, sex, and age structure, and its natality and mortality rates. Ideally, we would have specific natality and mortality rates for each age group and gender; for example, what is the probability of a three-year-old female surviving until she is four years old? How many young will she probably

produce? It is often necessary to use rough estimates of these parameters because it is too difficult and expensive to gather the required data.

- 2 Environmental stochasticity** refers to random variation in components of habitat quality, such as climate, nutrients, water, cover, pollutants, and relationships with other species that might be prey, predators, competitors, parasites, or pathogens. At a conceptual level it is easy to understand how these factors are related to a population's probability of surviving. However, translating these relationships into quantitative predictions becomes very complex, and thus most PVAs either do not include any environmental stochasticities or include only a few factors that are thought to be limiting (Boyce 1992). When environmental stochasticities are incorporated into a PVA, this is usually done by making a link between certain key environmental factors and one or more demographic parameters. For example, because weather is so important for the survival of mammals, a PVA for the endangered San Joaquin kit fox includes a predicted relationship between annual variation in precipitation and the number of individuals surviving (Dennis and Otten 2000).
- 3 Catastrophes** are dramatic events such as droughts or hurricanes that occur at random intervals. In a sense they are a form of environmental stochasticity, but they differ in that they are discrete, specific events rather than continuous variation in a parameter such as temperature that is routinely affecting population dynamics, and they usually exert much greater effects. In the context of PVAs, their predicted effect on a population is usually modeled differently. They are predicted to kill a portion of the population outright at some irregular interval rather than having a continuous effect on a parameter such as natality. Their effects can be greater than all other factors combined, as in the case of the populations of Przewalski's horses being reintroduced to southwestern Mongolia (Slota-Bachmayr et al. 2004).
- 4 Genetic stochasticity** is random variation in the gene frequencies of a population resulting from genetic drift, bottlenecks, inbreeding, and similar factors (see Chapter 5). These processes are understood well enough in some experimental situations, notably with *Drosophila* fruit flies, to allow population biologists to make quantitative estimates of their effect. Unfortunately, it is probably a significant extrapolation to use numbers based on fruit fly research in PVAs for all the species in which these processes have not been studied. For example, our understanding of genetics would suggest that northern elephant seals should be suffering severely from inbreeding problems because in the 1890s they had apparently been reduced by overhunting to fewer than 20 individuals (Bonnell and Selander 1974). However, they do not seem to have any genetic problems. Perhaps they are very lucky; perhaps the potential for inbreeding depression is intrinsically low in elephant seals. Among the four factors listed here, genetic stochasticities probably have the least effect on MVP estimates, especially for short-term predictions (Lande 1988), although long-term population persistence is ultimately dependent on maintaining genetic diversity (Reed and Frankham 2003).

These four factors cannot be incorporated into a model in a simple, additive fashion. They all interact with one another in a complex manner that is likely to involve positive-feedback loops (snowballing effects) that collectively constitute what has been



**Figure 7.12** A combination of factors drove the heath hen, once widespread in the eastern United States, into extinction, including environmental stochasticity (unusual weather events), demographic stochasticity (skewed sex ratios), genetic stochasticity (loss of genetic variation due to small population size), and catastrophes (fires). (Photo by Steven Holt/Aigrette Stockpix.)

described as an extinction “vortex” (Gilpin and Soulé 1986). For example, one form of environmental stochasticity, habitat fragmentation, can easily curtail dispersal among subpopulations and thus profoundly reduce exchange of genetic material and increase inbreeding (Fahrig and Merriam 1994). Consider the extinction of the heath hen, a subspecies of prairie chicken that used to range along the United States’ Atlantic coast from Maine to Virginia (Fig. 7.12). After environmental factors (overhunting and habitat degradation) reduced the heath hen to one population on a small island, it succumbed to a catastrophic fire, more environmental problems (predation and disease), a demographic imbalance (too few females), and perhaps a genetic problem manifested as sterility (Shaffer 1981).

Combining all the various parameters that might affect population viability for a given species into a truly comprehensive model would be nearly impossible, although some very complex and sophisticated models have been created. (See Box 7.1 for a simplified model designed to illustrate some basic elements of a PVA.) Furthermore, if a realistic, comprehensive model could be created, another huge hurdle would remain: obtaining reasonable numbers to plug into the model. Even basic parameters such as age-specific natality and mortality have not been measured for most species and are not easily obtained (Beissinger and Westphal 1998; Schtickzelle et al. 2005). Despite these reservations, PVAs do not have to be comprehensive to be useful, as we will see in the case study reported below. In fact, even relatively simplistic PVAs based on different computer software have been demonstrated to be surprisingly accurate and concordant in their predictions (Brook et al. 2000).

PVA models are best thought of as a method for organizing and enhancing our understanding of the factors that shape a population’s likelihood of persistence, as well as for comparing the effects of different management alternatives on relative probabilities of extinction (Beissinger and Westphal 1998; Reed et al. 2002). As Michael Soulé (1987) wrote, when summarizing one of the first assessments of population viability, “models are tools for thinkers, not crutches for the thoughtless.”

One early PVA based solely on genetic factors generated an idea, widely known as the 50/500 rule, that most people would argue has become more of a crutch than a tool. Ian Robert Franklin (1980) estimated that an effective population size (recall  $N_e$  from Chapter 5) of 50 was the minimum viable population size required to avoid problems associated with inbreeding and should give a population a reasonable chance of persisting for 100 years or so. For long-term survival,  $N_e$  should be at least 500 so that a population could retain enough genetic variability to evolve in step with changing environments. This rule has been abandoned by most conservation biologists for being far too simplistic. It focuses on genetic issues while largely ignoring the demographic stochasticities discussed previously, and MVPs are generally much larger than simple predictions might indicate (Reed et al. 2004). At the very least, MVPs will vary greatly among species, and within a species they will vary depending on the particular circumstances facing each population (Lindenmayer et al. 1993).

Furthermore, most people lose sight of the fact that  $N_e$  is likely to be only 10–20% of  $N$ , meaning that a 50/500 rule would require actual populations of at least 250/2500 (Vucetich et al. 1997). Nevertheless, the 50/500 rule persists in some circles and is mentioned here because you are likely to encounter it.

Some people fear that estimating MVPs is an invitation for naive or optimistic managers to maintain populations only at this level and no higher, clearly a risky strategy given the uncertainty that surrounds these estimates. They might argue that it is better not to make any estimate than to make one that may not be accurate. Nevertheless, Soulé (1987) appreciates the necessity of providing some guidance to wild life managers, and he has suggested that, at least for vertebrate species, there is sufficient evidence to propose a broad rule of thumb: populations should be in the low thousands if they are to have a 95% probability of surviving for several centuries. This is bad news for larger vertebrates because few current reserves are large enough to sustain thousands of individuals of large species. If these species are to survive, they will require larger reserves and better management of the seminatural ecosystems between reserves. Failing this, the intensive management techniques described in Chapter 13, “Managing Populations,” may allow some small populations to persist. If not, captive propagation (see Chapter 14, “Zoos and Gardens”) may be a last resort.

Finally, the focus on populations in this chapter is a good reminder that conservation biologists may at times become too fixated on the global extinction of entire species and thus overlook the slow, incremental loss of populations that is likely to lead to species extinctions (Hobbs and Mooney 1998). The hidden scale of population extinction is quite alarming. With an estimated 220 populations per species, there are some 1.1–6.6 billion genetically distinct populations globally, which translates into a population extinction rate 432 times greater than that of species loss (Hughes et al. 1997).

## CASE STUDY

### The Eastern Barred Bandicoot

After Australia drifted away from the other continents about 45 million years ago, its marsupial mammals were able to evolve in isolation from other mammals, and they came to occupy a broader span of ecological niches than any other order of mammals. Sometimes, the match between an Australian marsupial and its placental counterparts in other parts of the world is quite obvious; for example, the extinct thylacine or Tasmanian wolf had a striking resemblance to canines elsewhere. On the other hand, the various species of bandicoots look like an odd cross between a rabbit (large ears and medium body size) and a shrew (long, pointed snout). In their habits they are more like shrews and other insectivores, although some bandicoot species are quite omnivorous. Many species of bandicoot have become extinct or declined precipitously since the European settlement of Australia, principally because overgrazing has eliminated cover for them, and thus they are vulnerable to introduced predators such as cats and red foxes. One species, the eastern barred bandicoot, is in grave danger of extinction on mainland Australia (it is still reasonably secure on Tasmania) and has been the subject of a population viability analysis by Robert Lacy and Tim Clark (1990) and, more recently, by Todd et al. (2002).

In 1989, at the time of the Lacy and Clark PVA, 150–300 eastern barred bandicoots (henceforth, we will just call them bandicoots) remained in the state of Victoria near the city of Hamilton. Bandicoot populations should be able to withstand considerable mortality because their reproductive rate is among the highest of any mammal their size. They have a gestation period of 12 days; young are weaned at 60 days, and the interval between births is



70–90 days; young breed for the first time at 4.5 months; and litter size averages 2.2 young. Despite this fecundity, the Victoria bandicoot population declined about 25% per year during the 1980s. High mortality rates were almost certainly responsible for the decline, but Lacy and Clark had no independent measures of mortality; therefore they used estimates back-calculated from the observed fecundity rate and overall population decline. These estimates were 50% mortality between 0 and 3 months of age, and again 50% from 3 to 4.5 months, 37% between 4.5 and 6 months, and 25% every 3 months for adults. Environmental stochasticity was included in the model by assuming that the carrying capacity of the bandicoots' environment and environmental effects on mortality rates varied randomly among seasons (every 3 months) over a modest range. Lacy and Clark incorporated the possibility of catastrophes by including in their model a 3.4% chance per year of a drought that eliminated all reproduction, and a 5.6% chance per year of a flood or fire causing 25% mortality.



After running their model for 1000 simulations, Lacy and Clark concluded that the Victoria bandicoots would be extinct in ten years under current conditions. This estimate increased only to 20 years under more optimistic scenarios such as no catastrophes occurring during this period. The PVA by Lacy and Clark was pivotal in demonstrating to Victoria's conservation agencies that the eastern barred bandicoot was in dire straits. This was particularly true because agency personnel, like most people, found it easy to ignore the threat of a random event like a drought until it was explicitly included in a model (T. Clark, personal communication).

A parallel PVA was used to evaluate the effectiveness of various management options, including (1) reducing predation risk by providing more cover (shrubs planted between a double line of fencing), (2) controlling predators (primarily feral and pet cats), and (3) modifying road designs to slow vehicles and thus reduce roadkills (Maguire et al. 1990). The PVA indicated that only a management plan that incorporated all three elements was likely to avert extinction. The PVA also indicated that the probability of extinction of the population would increase if some individuals were removed to establish a captive-breeding program, but this effect could be reduced by removing juvenile animals from places where their chances of being killed by predators was great anyway.

To date the recovery efforts for the eastern barred bandicoot of Victoria (see Seebeck 1990) are a mix of successes and failures. The bad news is that the original Victoria population has nearly disappeared. The hopeful news is that captive propagation has proven quite effective, and these animals have been used to establish seven new populations, some of which seem to be persisting reasonably well (T. Clark, personal communication). In any case, they are faring better than they would have in the absence of a PVA that catalyzed management action. Moreover, the PVA models developed for the declining population are now being used to evaluate different approaches to reintroduce them back to their habitats (Todd et al. 2002).

## Summary

Some species are more vulnerable to extinction than others. Rare species are particularly vulnerable to extinction, especially those that are rare because they are confined to a small geographic range such as a single island or lake. The processes that can drive a rare species into extinction include changes in the environment (broadly defined to include physical features such as climate, as well as interacting species such as predators, competitors, and pathogens),

demographic effects, and genetic problems. Some species are threatened with extinction, even though they are not intrinsically rare, because of conflicts with people. These include species that inhabit the types of ecosystems used by people, require large areas of habitat, are likely to be exploited or persecuted by people, or are ill-prepared to adapt to human-induced changes.

To understand extinction processes we need to understand population structure, especially metapopulation structure in which populations are subdivided into semi-isolated subpopulations occupying patches of habitat in a matrix of nonhabitat. In this context, a key question becomes whether the rate at which new subpopulations are created by colonization exceeds the rate at which existing subpopulations are lost to extinction. Striking this balance depends on understanding both changes occurring within subpopulations (changes in birth rates, site quality, etc.) and changes in the number of individuals moving among subpopulations. This has become a major problem because natural ecosystems have been extensively destroyed and fragmented by human activities.

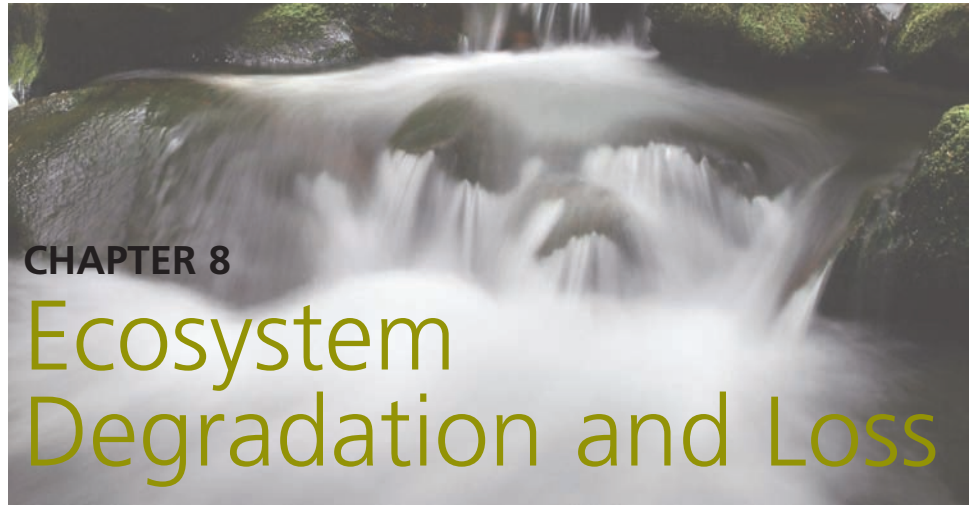
Understanding extinction processes has been facilitated by a process called population viability analysis that uses simulation models to assess the long-term viability of a population. PVAs estimate minimum viable populations (MVP), the smallest population that has a high chance of persisting for an extended period. PVAs are based on estimating the probabilities surrounding environmental, demographic, and genetic factors that can influence a population's likelihood of persistence. They are valuable tools for facilitating our understanding of extinction, and are most useful for evaluating different alternatives for managing populations.

### FURTHER READING

For more ideas on why some species are vulnerable to extinction, see Terborgh and Winter (1980), Pimm et al. (1988), and Jablonski (1991). Three volumes on metapopulations are worth examining: multiauthored compilations edited by Hanski and Gilpin (1997) and McCullough (1996) and a book by Hanski (1999). Useful books on population viability include Soulé (1987), Burgman et al. (1993), Beissinger and McCullough (2002), and Morris and Doak (2002). For shorter treatments see Boyce (1992), Lindenmayer et al. (1993), Caughley (1994), Beissinger and Westphal (1998), Noon et al. (1999), and Reed et al. (2002). Most PVAs have involved vertebrate animals; see Menges (2000) and Volis et al. (2005) for papers on plant population viability and Bergman and Kindvall (2004) for insects. To check on the status of any mammal, bird, reptile, or amphibian consult the World Wide Fund for Nature's website ([www.worldwildlife.org/wildfinder](http://www.worldwildlife.org/wildfinder)).

### TOPICS FOR DISCUSSION

- 1 Consider each of the three major ways to be rare (limited geographic range, restriction to rare habitats, and low population densities) and discuss how organisms that exhibit each kind of rarity are likely to be affected by the four major risks facing populations (environmental, demographic, and genetic stochasticities, and catastrophes). It may be helpful to construct a  $3 \times 4$  matrix and fill in the cells.
- 2 Large carnivores have many features that make them particularly sensitive to human disturbance. What are they? Although greatly reduced, most large carnivore species are still extant. What features have saved them from extinction?
- 3 Under what circumstances would a species that existed as a single population be less vulnerable to extinction than a species that existed as a metapopulation? Under what circumstances would a metapopulation be less vulnerable?
- 4 What kind of field data would you need to decide whether a species is organized as a true metapopulation or as a patchy population?
- 5 What do you think are the primary strengths and weaknesses of population viability analyses?



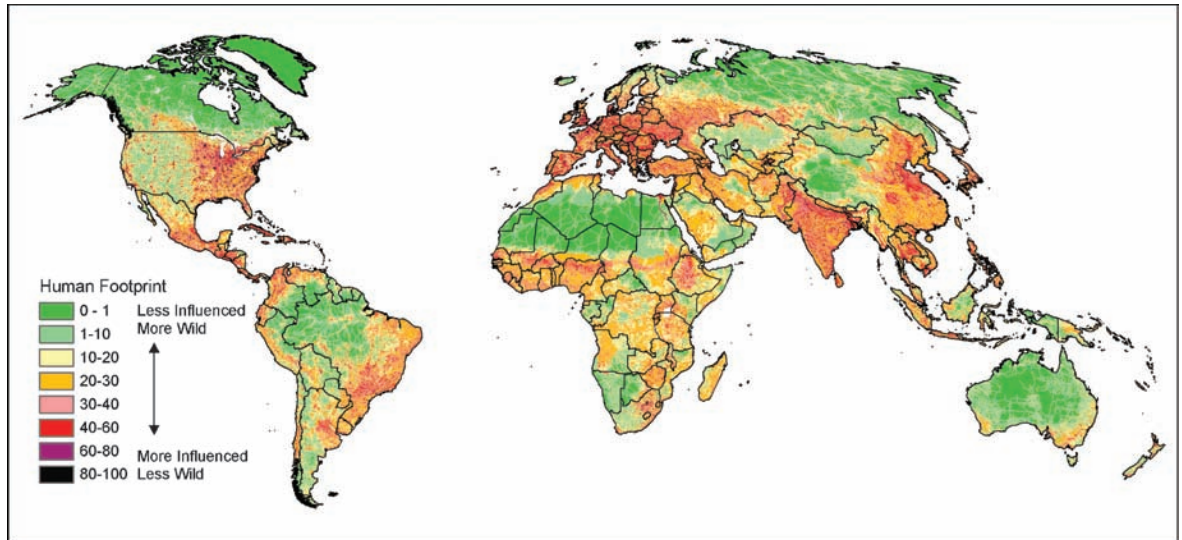
## CHAPTER 8

# Ecosystem Degradation and Loss

With light streaming out of our cities at night, with roads and power lines etched across most landscapes, any visitor from another planet would be well aware of human activities long before arriving on earth. A conservation biologist might argue that *Homo sapiens* is only one of many millions of species that constitute life on earth, but there is no denying that people are a dominant life-form. As we have captured more and more of the earth's resources, allowing our population and biomass to grow larger and larger, many other species have declined or even disappeared. Indeed, you could build a strong argument that we have degraded the overall ability of the earth to support life given the area occupied by human activity and the amount of photosynthesis appropriated by people (Vitousek et al. 1997; Rojstaczer et al. 2001; Sanderson et al. 2002a; Haberl et al. 2004; Imhoff et al. 2004) (Fig. 8.1).

In this chapter we will examine the various ways in which people diminish the earth's ability to support a diverse biota. To begin, we need to make some key distinctions, starting with *habitat* versus *ecosystem*. A habitat is the physical and biological environment used by an individual, a population, a species, or perhaps a group of species (Hall et al. 1997). In other words, at the species level we can speak of blue whale habitat and sequoia habitat, and perhaps waterfowl habitat. However, if the group of species is too broad, the term becomes so general as to be almost meaningless. What does "wild life habitat" mean if virtually every environment supports wild organisms? Even a parking lot will have microbes and small invertebrates living in the cracks in the pavement. An ecosystem is a group of organisms and their physical environment (see Chapter 4), such as a lake or a forest, and it may or may not correspond to the habitat of a species. A forest ecosystem may constitute the sole habitat of a squirrel, but a frog's habitat might include both the forest and a lake, and a bark beetle's habitat might only be certain species of trees spread widely across the forest.

We can also make a distinction between degradation and loss of habitats or ecosystems. *Habitat degradation* is the process by which habitat quality for a given species is diminished: for example, when contaminants reduce a species's ability to reproduce in an area. When habitat quality is so low that the environment is no longer usable by a given species, then *habitat loss* has occurred. The line between habitat degradation and loss will often be unclear. For example, if environmental changes prevent a species from reproducing, but some individuals can still be found (e.g. dispersing juvenile animals, or a few old trees that survive, but whose seeds never survive), is this habitat loss or severe degradation? Sometimes, these differences can be clarified if we describe the types of habitat use more explicitly: for example, by referring to breeding



**Figure 8.1** This map shows the human footprint, a quantitative depiction of human influence on the land surface, based on geographic data on human population density, land transformation, transportation and electrical power infrastructure, and normalized to reflect the continuum of human influence across each terrestrial biome defined within biogeographic realms. Further details are available at the “Atlas of the Human Footprint” website ([www.wcs.org/humanfootprint](http://www.wcs.org/humanfootprint)) and in Sanderson et al. (2002a). (Map provided by the Wildlife Conservation Society.)

habitat, foraging habitat, winter habitat, and so on. Note that habitat loss or degradation for one species will probably constitute habitat gain or enhancement for some other species. For example, cutting a forest is likely to degrade or destroy habitat for a squirrel, but the resulting early successional ecosystem is likely to be new habitat for at least one butterfly species. A more poignant example comes from the Everglades, where managing the hydrological regime means choosing between the habitat of two endangered species: wood storks (which need periods of very limited water to concentrate their food in residual pools) and snail kites (which need long, wet periods) (Bancroft et al. 1992; Beissinger 1995; Curnutt et al. 2000).

*Ecosystem degradation* occurs when alterations to an ecosystem degrade or destroy habitat for many of the species that constitute the ecosystem. For example, when warm water from a power plant increases the temperature of a river, causing many temperature-sensitive species to disappear, this is ecosystem degradation by a conservation biologist’s definition. In contrast, an ecosystem ecologist might focus on changes in ecosystem function such as a reduction in productivity, rather than on structural attributes such as the abundance and diversity of biota. *Ecosystem loss* occurs when the changes to an ecosystem are so profound and when so many species, particularly those that dominate the ecosystem, are lost that the ecosystem is converted to another type. Deforestation and draining wetlands are just two of many processes that destroy ecosystems.

Let us consider a hypothetical example to illustrate these distinctions. Imagine a small forest park on the edge of city in which there are many dead and dying trees



(i.e. snags). The park manager might decide to make this forest safer for walkers by removing all snags near paths. This would degrade the park's value as a habitat for the many species that require snags, such as woodpeckers and termites. If the manager were very thorough and cut down every snag in the park, regardless of its location, this would constitute habitat loss for snag-dependent species. Assuming snag-associated species were more than a trivial portion of the forest's biota, then loss of snags would also lead to ecosystem degradation. Removing the forest to create a golf course would constitute ecosystem loss.

There are many ways to degrade or destroy habitats or ecosystems, and in this chapter we can only provide a broad overview. We will begin with two sections on what humans add to natural environments: (1) substances that contaminate air, water, soil, and biota; and (2) physical structures such as roads, dams, and buildings. The third section covers some of the ways we modify physical environments by eroding soil, consuming water, and changing fire regimes. In the fourth, fifth, and sixth sections we will review three major processes by which ecosystems are destroyed or severely degraded: deforestation, desertification, and the various processes afflicting wetlands and aquatic ecosystems (e.g. draining and filling). We will not focus on two of the major causes of ecosystem loss and species endangerment: conversion of ecosystems to urban areas and agriculture (Czech et al. 2000; McKinney 2002). Their direct effects are so unobvious that they do not require much elaboration; we will discuss how to mitigate their impacts in Chapter 12, "Managing Ecosystems." Finally, we will discuss fragmentation, a process by which ecosystem destruction can isolate the biota of those ecosystems that remain intact. For the sake of simplicity we will cover each issue independently, but realize that in the real world many problems occur simultaneously and interact with one another.

Two special forms of ecosystem degradation – overexploitation of biota and introduction of exotic species – will be covered in Chapters 9 and 10, "Overexploitation" and "Invasive Exotics." Note that all these sundry threats are direct, proximate causes of loss of biodiversity. As with so many problems, the ultimate cause is human overpopulation and overconsumption, but we will reserve discussion of this topic until Part IV, "The Human Factors." One deadly enterprise merits special mention here: war. The human dimensions of war's tragedies are all too familiar, and it takes but a moment's reflection to extend its images – ravaged lands, shattered bodies – to all biota. As you read the following chapter, realize that virtually all the activities described here can become part of a war machine with dire and far-reaching consequences (Westing 1980; Dudley et al. 2002). Indeed, long after a war is over, elephants, rhinos, and any large, marketable animals will continue to suffer from the widespread distribution of weaponry.

## Contamination

One might define a pollutant or contaminant as a substance that is where we do not want it to be. This suggests that substances often do not stay where we put them; they move. There are three main media that can move pollutants – air, water, and living organisms – and we will structure our overview of the topic by focusing on air pollution, water pollution, and pesticides. Note that there is overlap among these media; for example, acid rain begins as air pollutants and ends up contaminating a lake or causing increased concentrations of heavy metals in biota. Pesticides can be distributed by air or water, but we will focus on those that move from organism to organism in a food web.

## Air Pollution

Every day huge quantities of materials are lofted into the atmosphere from our vehicles, factories, and homes. Nitrogen oxides and sulfur oxides combine with water to form nitric and sulfuric acids, the basis of acid rain. Chlorofluorocarbons (CFCs) and halons rise to the upper atmosphere, where they reduce the concentration of ozone, allowing more harmful ultraviolet radiation to reach the earth's surface. Closer to earth, ozone and a suite of other chemicals form toxic clouds called smog.

Through extensive research we know that these and other forms of air pollution have impaired the health of people and domestic plants and animals (Holgate et al. 1999). We know less about the effects of air pollution on wild species, but given the basic similarity in the physiology of domestic and wild species, it is likely that they are also affected (Barker and Tingey 1992). Certainly severe air pollution has even killed the majority of plant species downwind from some factories (Fig. 8.2). No doubt many animal species also become locally extinct in these zones, but it would be hard to know if they were directly eliminated by air pollution or simply disappeared because of the loss of plant species. Even moderate levels of air pollution are known to eradicate many lichen species; in fact this relationship is so well documented that lichens are widely used to monitor air pollution (Gombert et al. 2005).

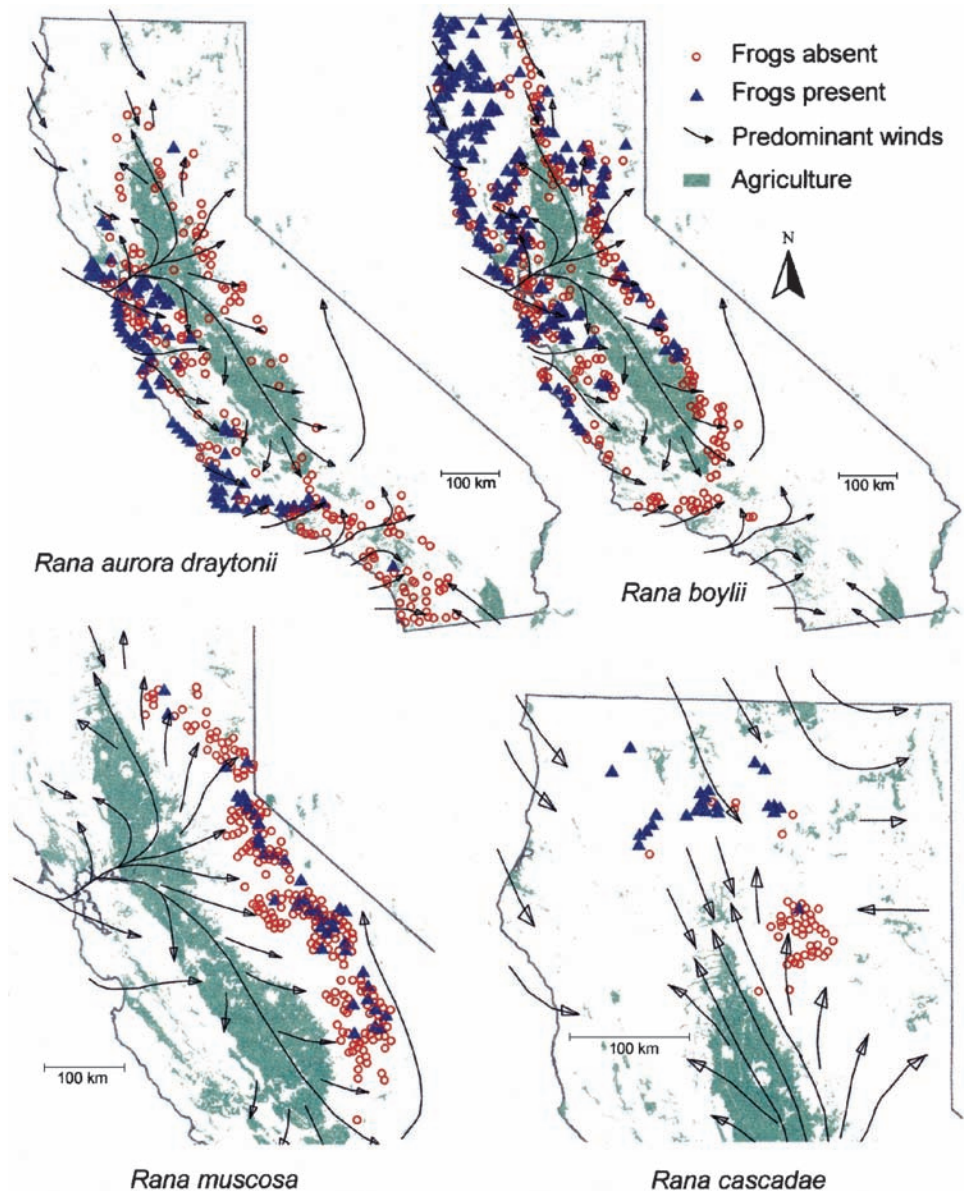
Chronic effects that diminish an individual's health and vigor, and thereby reduce reproductive success or longevity, are probably more common than acute effects that kill organisms directly. For example, in parts of Belgium great tits have reduced reproductive success that appears to be correlated to heavy metal contamination (Janssens et al. 2003).



**Figure 8.2** Fumes from a copper smelter killed most of the vegetation in the Copper Basin, Tennessee. This photo was taken in 1945, about 25 years after the fumes were controlled. (Photo from USDA Forest Service.)

**Figure 8.3**

Analysis of the spatial patterns of dominant winds (arrows) and agricultural lands (shaded areas) indicated that air pollution by pesticides is likely to have played a major role in the decline of four species of frogs in California (Davidson et al. 2002). Two other species seemed to have been more affected by direct habitat loss; climate change and ultraviolet radiation did not seem important in this system.



Even species living far from the source of air pollution may be affected. Notably, declines in some remote amphibian populations (Houlahan et al. 2000; Beebee and Griffiths 2005) might be linked to air pollution because of its effects on the acidity of aquatic ecosystems, global climate, pesticides, and ultraviolet radiation. For example, some research indicates that certain amphibian species, especially those living at high altitudes, are vulnerable to ultraviolet-B radiation (e.g. Blaustein et al. 2003); at least one paper has directly implicated climate change in the loss of many frog species at a Costa Rican site (Pounds et al. 1999; see Chapter 6); and Fig. 8.3 presents a case where pesticides carried by the wind were implicated. Similarly, one of the major threats to coral reefs can probably be traced to global climate change induced by air pollution;

unusually warm water temperatures are thought to be a primary cause of “bleaching,” the massive death of coral polyps (West and Salm 2003).

## Water Pollution

The list of substances with which we pollute aquatic ecosystems is very diverse. It includes innocuous materials such as mud and plant matter that may become contaminants only when they reach such high concentrations that they smother the bottom of aquatic ecosystems or use up all the oxygen as they decompose. The list also includes chemicals such as nitrates and phosphates that are important nutrients for aquatic plants, but can lead to an excessive growth of plants, upsetting the balance of an aquatic ecosystem. On the other hand, there are chemicals such as dioxin that endanger life at concentrations so low that they are measured in parts per billion. Some pollutants are routinely discharged into aquatic ecosystems from factories and sewage treatment plants. Others enter in a catastrophic deluge after an accident such as the rupture of an oil tanker. Still others, such as sediments, pesticides, and fertilizers, often seep in gradually, carried by the runoff from our agricultural fields, lawns, and streets. When pollutants originate from broad areas, these places are called *non-point sources*, in contrast to specific sites (e.g. factories), which are called *point sources*. It may surprise you to know that nonpoint-source pollution, usually involving sediments and nutrients and not highly toxic chemicals, is considered the leading threat to endangered freshwater species in the United States (Richter et al. 1997).

Not surprisingly, aquatic species and ecosystems are more threatened by water pollution than are terrestrial biota. On a local scale, there are many lakes, streams, rivers, and bays where water pollution has eliminated so many species that it would be fair to say that the aquatic ecosystem has been destroyed, even though a body of water and a handful of species remain. One of Europe’s largest rivers, the Rhine, exemplifies this problem; along substantial stretches the natural biota has been severely altered by pollution (Table 8.1) (Broseliske et al. 1991).

Elimination of a species from a single water body may mean global extinction because many aquatic species are found in a single lake or river system, having evolved in isolation from their relatives in nearby water bodies. One of the most interesting examples of this comes from Lake Victoria in East Africa, home to hundreds of endemic cichlid fish species (Seehausen et al. 1997). Separation among these closely related species is highly dependent on females choosing mates of the correct species; however, with growing eutrophication the lake’s turbidity is increasing, and the females cannot distinguish the colors they need to see to choose the correct mates. Consequently, cichlid diversity is declining in eutrophic areas of the lake.

In contrast, water pollution is less likely to cause global extinction of species in marine ecosystems than in freshwater ecosystems because marine ecosystems are often too large to pollute in their entirety and because many marine species have large geographic ranges, making it less likely that their entire range would be so polluted as to be uninhabitable (Palumbi and Hedgecock 2005). Even though water pollution may not be responsible for the global extinction of marine species, it still can have a profound impact on marine biodiversity, particularly through local extirpations: for example, when coral reefs are smothered in silt or overrun with macroalgae because of excessive nutrients and eutrophication (Jompa and McCook 2003; Nugues and Roberts 2003). Water pollution can also upset the equilibrium of marine food webs,



**Table 8.1**

Changes in species richness of some invertebrate taxa in the Rhine.

|                             | Upper Rhine |      | Middle Rhine |      | Lower Rhine |           |
|-----------------------------|-------------|------|--------------|------|-------------|-----------|
|                             | 1916        | 1980 | 1916         | 1980 | ~1900       | 1981–1987 |
| Gastropoda (snails)         | 8           | 4    | 8            | 5    | 11          | 10        |
| Lamellibranchiata (mussels) | 11          | 4    | 10           | 4    | 14          | 7         |
| Crustacea (crustaceans)     | 3           | 2    | 3            | 2    | 3           | 13        |
| Heteroptera (true bugs)     | 2           | 1    | 1            | 0    | 1           | 1         |
| Odonata (dragonflies)       | 2           | 1    | 1            | 0    | 3           | 2         |
| Ephemeroptera (mayflies)    | 11          | 4    | 3            | 0    | 21          | 2         |
| Plecoptera (stoneflies)     | 13          | 0    | 12           | 0    | 13          | 0         |
| Trichoptera (caddisflies)   | 11          | 5    | 11           | 2    | 17          | 5         |
| Total                       | 61          | 21   | 49           | 13   | 83          | 40        |

*Source:* from Broseliske et al. (1991).

such as when an excess of nutrients causes an explosive growth of toxin-producing plankton known as “harmful algal blooms” (Anderson et al. 2002).

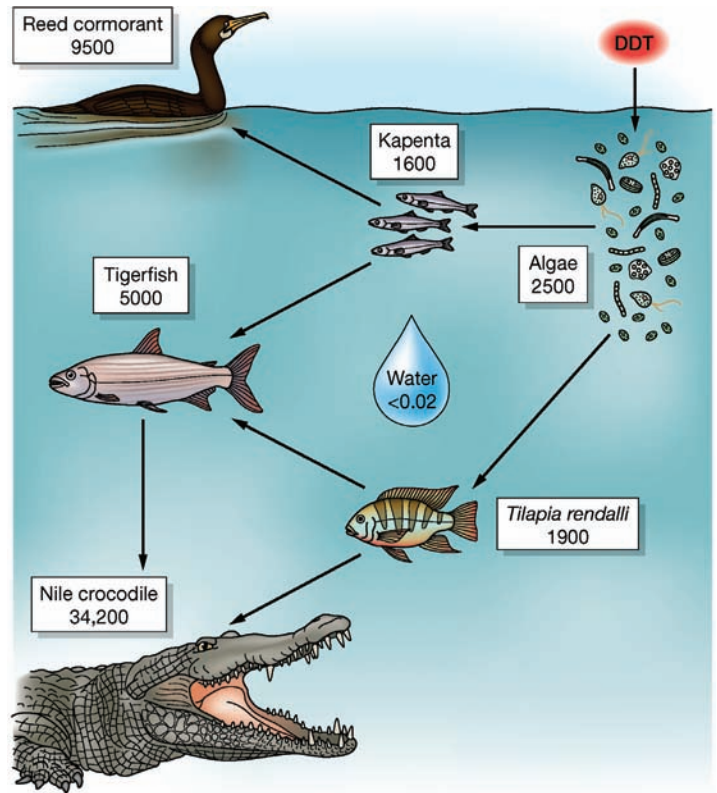
In recent years growing concern has focused on contamination from pharmaceutical drugs such as ibuprofen and other anti-inflammatory analgesics that pass from humans into aquatic ecosystems through waste water disposal (Tixier et al. 2003). In one case a drug administered to cattle, diflonac, has caused kidney failure in three species of vultures that feed on cattle carcasses, leading to widespread, catastrophic declines in vulture populations in the Indian subcontinent (Oaks et al. 2004).

## Pesticides

To capture a large portion of the earth’s resources people must compete against other organisms, and pesticides are one of our preferred tools for doing this. We use enormous quantities of insecticides and rodenticides to kill animals that would eat our crops, herbicides to kill plants that would compete with our crop plants, and fungicides to kill fungi that would decompose our food and fiber. Worldwide, over 50,000 different pesticide products with active ingredients weighing over 2.6 million metric tons are used each year (World Resources Institute et al. 1998). Some of these pesticides are relatively benign. They kill only a small group of target organisms, they are used in limited areas (e.g. food storage facilities), and after use they quickly break down into harmless chemicals. Unfortunately, very few pesticides meet all these criteria, and some, such as the notorious DDT, wreak havoc on a broad set of nontarget organisms for a long period over large areas.

Croplands strewn with corpses can mark the aftermath of pesticide use, but more often the effects are not seen until much later and in more subtle ways. One example of this has garnered considerable attention: pesticides and related chemicals that mimic the action of the female sex hormone estradiol (Colborn et al. 1996; National Research Council 1999; Hayes 2004). Sterility, delayed sexual maturity, abnormal sex organs, and an array of other problems have been attributed to these contaminants, which are characterized as “endocrine disruptors” or “hormonally active agents.” Long-term, insidious effects of pesticides are well documented because some of them can persist in the tissue of living organisms, accumulating in one individual, and passing on to other individuals through a food web. The most infamous example involves a set of chemicals known as chlorinated hydrocarbons (which includes DDT, many other pesticides, and some chemicals that are not pesticides, such as PCBs, polychlorinated biphenyls). They are soluble in fat and can take years, even decades, to break down. This means that they pass from prey to predators up a food chain and can concentrate in top predators, a process known as *biomagnification* (Fig. 8.4). Populations of several predatory birds (ospreys, brown pelicans, bald eagles, peregrines, and others) were dramatically reduced by chlorinated hydrocarbons during the 1950s and 1960s. Use of these chemicals has been sharply curtailed in many wealthier countries, and this has allowed populations of these birds to recover somewhat (Sheail 1985). However, use of chlorinated hydrocarbon pesticides continues in many less-developed countries, and, because of their persistence, a wide variety of chlorinated hydrocarbons continue to contaminate the environment of places where they have been banned (Berg et al. 1992; Gonzalez-Farias et al. 2002).

Accounts of the negative effects of pesticides typically focus on species that are most similar to us – birds and mammals – because we tend to be more concerned about their welfare, and because toxic effects on these species may portend toxic effects on us. However, it is likely that the most serious effects of pesticides fall on organisms that are most closely related to the target species. Consider the insect order Lepidoptera (butterflies



**Figure 8.4** Persistent pesticides and similar compounds accumulate in the tissues of one species and then are passed up the food web to other species where they become more concentrated. This process is called biomagnification or bioamplification. In this figure DDT has entered the food web of Lake Kariba in Zimbabwe and reached its highest levels in top predators such as crocodiles, tigerfish, and cormorants. Numbers are parts per billion of DDT and its derivatives in the fat of the species illustrated. (Redrawn by permission from Berg et al. 1992.)

and moths), which includes many pest species, as well as many endangered species. It seems reasonable to assume that attempts to control pest lepidoptera with insecticides would jeopardize some rare lepidoptera, although in practice this has not been well documented to date (New 1997; Pimentel and Raven 2000). Loss of nontarget insect populations may have far-reaching consequences. In particular, there is growing concern about the loss of pollinating insects and the consequences this may have for a wide range of plants that require animal pollinators, for the other animals dependent on those plants, and for human food production (Allen-Wardell et al. 1998; Kremen et al. 2002).

## Roads, Dams, and Other Structures

Flying in a plane, you can easily see the hand of humanity; most landscapes are crisscrossed with roads, railroads, fences, and utility corridors and dotted with buildings, dams, mines, parking lots, and many other structures. The total area covered by such structures is significant (about 3 million km<sup>2</sup> worldwide; over 2% of the land area [Wackernagel et al. 2002]) and represents a loss of habitat for virtually all wild species.

Looking beyond the immediate footprint of these structures, one can see that a much larger area is affected. For example, roads and their adjacent impact zones cover an estimated 20% of the area of the United States (Forman 2000; also see Riitters and Wickham 2003). Thus we can list “construction of human infrastructure” along with deforestation, desertification, and other processes that destroy entire ecosystems, all of which we will discuss later in this chapter. In this section we will focus on the consequences of adding these and other structures to the biota of entire landscapes, especially on animals that move across landscapes.

### Roads

The most ubiquitous structures created by people are roads, and while roads facilitate the movement of people, they can also serve as impediments to the movements of many animals (Forman and Alexander 1998; Forman et al. 2003). Some roads have curbs or lane dividers that are an absolute barrier to small, flightless animals such as amphibians, small reptiles, and various invertebrates. More commonly animals are capable of crossing a road, but may be run down in the process (Fig. 8.5). In a two-year study of a 3.6 km stretch of highway in Ontario, Canada, over 32,000 vertebrate carcasses were found (Ashley and Robinson 1996). Most of the mortality fell on amphibians and reptiles; overland migrations of these species to and from breeding sites make them especially vulnerable (e.g. Gibbs and Shriver 2002; Gibbs and Steen 2005). Nevertheless, just the mortality of birds (62 species; 1302 individuals) and mammals (21 species; 282 individuals) in this study would extrapolate to billions of carcasses on the world’s road system without even



**Figure 8.5** Roads act as filters to the movements of many animals, especially because of collisions such as the one that killed this taylor in Belize. (Photo from M. Hunter.)

attempting to measure the mortality of amphibians, reptiles, and invertebrates. Most of the individual animals killed on roads may be of common species that are in no danger of extinction, but even a few road deaths can be of great consequence for an endangered species. For example, Florida scrub jay territories adjacent to roads are population sinks because of traffic-induced mortality (Mumme et al. 2000). For some species roads are a psychological filter; individuals are apparently reluctant to cross them even though physically capable of doing so. In the Brazilian Amazon some bird species, especially those found in the understory of interior forests, very rarely crossed roads, even roads where regrowth formed a nearly intact canopy over the road (Laurance et al. 2004). If organisms are unable or unwilling to cross a road, then the populations on either side of the road may become isolated from one another; this has been demonstrated for amphibians (Gibbs 1998) and beetles (Keller and Largiader 2003).

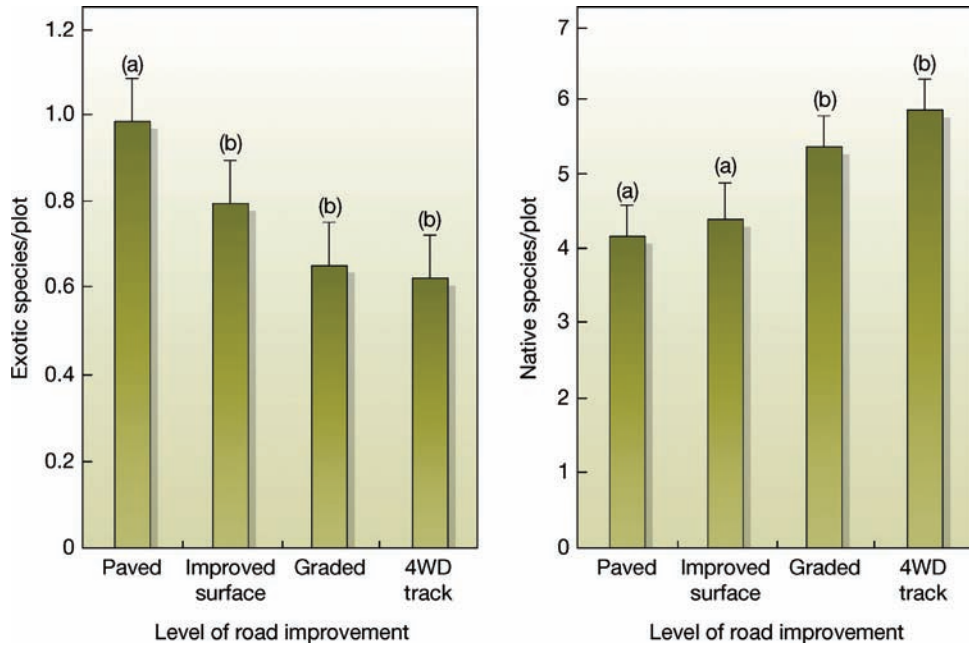
A second major problem associated with roads is the access they provide to people who may overexploit organisms or destroy whole ecosystems. The roads penetrating formerly remote areas of tropical forest, allowing access by poachers who overexploit game populations and settlers who raze the tropical forests, are a particularly lamentable example of this phenomenon. The effect of road access on habitat quality has been well studied for some large carnivores such as wolves and tigers (Kerley et al. 2002; Theuerkauf et al. 2003).

Roads may also provide access to exotic organisms that can disrupt native populations (Hansen and Clevenger 2005). Usually, these will be species carried, intentionally or not, by people traveling along the highway. Sometimes, exotic species will move along the road by themselves. In particular, weedy exotic plants seem to use the disturbed ground of roadsides to invade a landscape (Gelbard and Belnap 2003) (Fig. 8.6). Finally, roads have a variety of physical and chemical attributes that are likely to affect adjacent aquatic and terrestrial ecosystems. These include various substances such as dust, sediment, salt, heavy metals, hydrocarbons; a sunny, windy, warm microclimate; blocking surface water runoff; and more (Trombulak and Frissell 2000; Angermeier et al. 2004). One of the most annoying physical aspects of roads for human observers – traffic noise – was found to reduce bird population densities in a band hundreds of meters wide in one study (Reijnen et al. 1995).

## Dams

Worldwide, over 45,000 large dams (>15 meters high) have affected most of the world's major river systems (Nilsson et al. 2005). The damming of streams and rivers destroys many aquatic ecosystems, flooding ecosystems upstream of the dam and changing water flows to downstream ecosystems. We will return to these issues in a later section; here the focus will be on the barrier effects of dams. Many animals move up and down rivers during the course of a year, or during their life cycle, searching for the best places to forage or breed. Some of them can fly or walk around dams (otters, mergansers, mayflies, etc.), but for totally aquatic species dams can be very significant barriers. Moving downstream these animals are likely to be churned to death or at least highly stressed in turbines (Wertheimer and Evans 2005). Moving upstream they encounter an insurmountable wall that may or may not have a fish ladder around it, and even fish ladders work for only a portion of the population. The reservoir behind a dam may also impede movement, especially if it has been stocked with exotic, predatory fish. Of course, fish are the best known victims of dams, especially



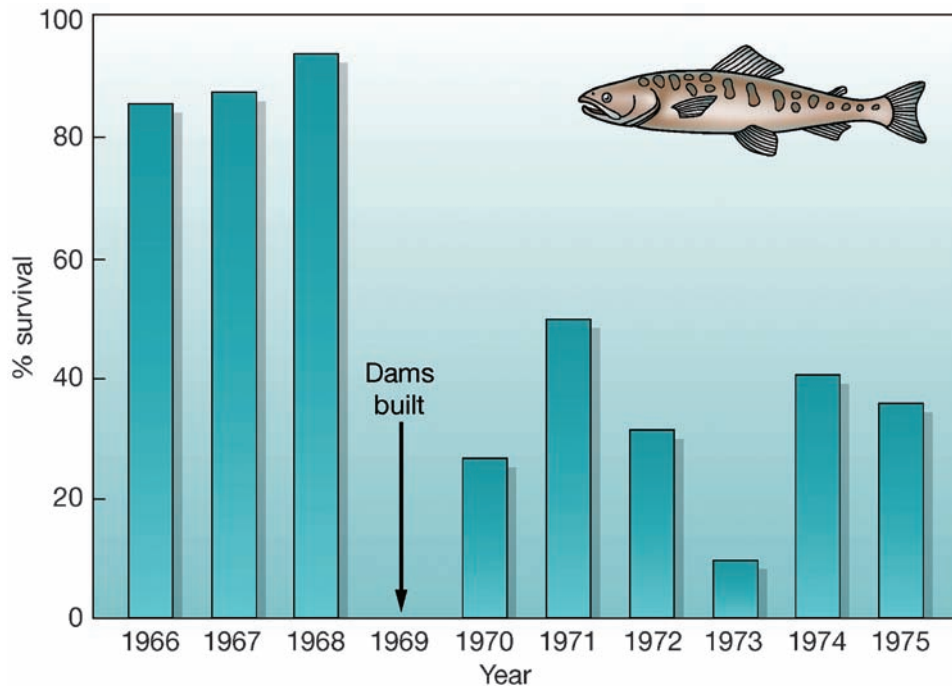


**Figure 8.6** Exotic and native plant species richness in plots 50 meters away from paved, improved-surface, graded, and four-wheel-drive (4WD) roads through grasslands, shrublands, and woodlands in southern Utah, USA (Gelbard and Belnap 2003). Error bars represent 1 SE. Different letters indicate significant differences ( $p < 0.05$ ) among levels of road improvement.

anadromous fish such as salmon that move long distances between riverine spawning areas and marine foraging areas (Petrosky et al. 2001; Fig. 8.7). Some salmon populations have been completely eliminated, largely by dams, despite millions of dollars spent building fishways, trucking fish around dams, supplementing populations with hatchery-reared stocks, and so on (Molony et al. 2003). A study of eight rivers in Sweden suggested that the effects of dams and reservoirs on shoreline plants are also shaped by dispersal issues: water-dispersed species with a limited ability to float were strongly affected by damming (Jansson et al. 2000a; also see 2000b).

### Other Barriers

Some landscapes are dissected by barriers specifically designed to inhibit the movement of animals. Notably, rangeland fences stretch huge distances, controlling the movement of both livestock and large wild mammals and sometimes severing seasonal migrations (Berger 2004). For example, in Botswana, thousands of kilometers of fences have been erected to isolate livestock from wild ungulates that might harbor diseases. These fences have had catastrophic consequences for native ungulates, especially wildebeest, that must migrate to access water during dry seasons (Williamson et al. 1988).



**Figure 8.7** Survival of wild, juvenile, chinook salmon migrating toward the sea before (1966–8) and after (1970–5) completion of two dams on the Snake River in Washington. (Redrawn by permission from Raymond 1979; also see Petrosky et al. 2001.)

Utility corridors also dissect landscapes, potentially isolating the organisms on either side. For example, a forest herb that spreads by means of vegetative reproduction would be impeded by the dry, sunny environment of a power line running through a forest. In one study even reindeer, a species usually found in open environments, exhibited avoidance of power lines during winters in Norway (Nellemann et al. 2001). Pipelines and irrigation canals also have the potential to be direct barriers. In the best known example of this issue – the Trans-Alaskan Pipeline and caribou migrations – elevating the pipe kept it from being an absolute barrier but pipelines still degrade caribou habitat quality (Cameron et al. 2005).

Most bird species can readily fly over human-made barriers, although some forest birds are very reluctant to venture into the open, and some of the large, flightless birds (e.g. emus and ostriches) are easily stopped by fences. Unfortunately, birds are often killed by flying into human structures. Large numbers of migrating birds collide with power lines, antennas, lighthouses, windmills, and similar structures (e.g. Barrios and Rodriguez 2004); even local movements can result in a collision with a large window.

## Trash and Other Things

In this final section on human-made structures we will list some of the other things people make and then add to the natural environment that are detrimental to other

organisms. Much of this material is trash, things discarded by people, perhaps intentionally or perhaps not. Lost or discarded fishing gear is a major hazard (Coe and Rogers 1997; Derraik 2002). The worst offenders are probably lost gill nets – often called ghost nets – which can drift for months or years, still catching fish, diving birds, seals, and other creatures. It is difficult to estimate the extent of this mortality, but with about 21,300 km of nets (enough to reach more than halfway around the world) set nightly to catch salmon and squid in the North Pacific alone, the total loss is likely to be enormous (Laist 1987). Even a single strand of monofilament fishing line discarded by an angler can ensnare an animal and kill it. Fishing sinkers made of lead and lead shot discharged by waterfowl hunters accumulate on the bottoms of water bodies, where they are likely to be swallowed by bottom-feeding birds and cause lead poisoning (Sanderson and Bellrose 1986; Sidor et al. 2003). Lead shot in carcasses often poisons scavengers such as California condors and various species of eagles (Pain et al. 2005). One of the major causes of death among sea turtles appears to be ingesting marine debris, especially plastic bags and balloons that they mistake for jellyfish (Derraik 2002).

Some of the problems we cause by putting human-made objects into natural environments would be hard to predict. Consider a seemingly innocuous item, red plastic insulators for electric fences. It turns out that large numbers of hummingbirds mistook the insulators for flowers and electrocuted themselves until the manufacturer withdrew the product. Street lights on beaches may make people feel safer, but they can disorient hatchling sea turtles when they emerge from their nests and make an already perilous trip to the sea even more dangerous (Tuxbury and Salmon 2005). Given that six of the seven species of marine turtle are endangered to varying degrees, any added source of mortality may be of some consequence.

Lastly, we can list the forms of motorized transport that travel across our lands and waters without using roads, often crushing plants, colliding with animals, and compacting and eroding soil. Collisions with motor boats are a major source of mortality for manatees in Florida (Nowacek et al. 2004). In deserts and on beaches off-road vehicles are a threat to sedentary or slow-moving species such as plants, hatchling birds, and desert tortoises. Ironically, fences are a common way to control off-road vehicles (Brooks 1995, 1999), and these have their own ecological problems unless carefully designed.

## Earth, Fire, Water

In this section we will consider some of the ways people modify physical environments that may have negative consequences for biota. We will focus on three issues – soil erosion, changing fire regimes, and water consumption – that usually degrade ecosystems without destroying them.

### Soil Erosion

Soil erosion is a natural process, an inevitable consequence of wind, rain, and gravity. The problem is that the rate of soil erosion is often greatly accelerated by human use of ecosystems (Fig. 8.8). Indeed, it has been estimated that collectively human activities, such as agriculture, overgrazing by livestock, timber harvesting, and road and building construction, erode soil at ten times the rate of all natural processes combined (Wilkinson 2005). Under extreme circumstances, soils that took centuries to form can be eroded in a matter of hours in a torrential rain storm.

Soil erosion is a double-edged sword. Not only does it produce sediments that can blanket other ecosystems, leading to some of the water pollution problems discussed above (Alin et al. 1999), but it also degrades the productivity of the land from which the soil is eroded. As Lester Brown has written, “Society can survive the exhaustion of oil reserves, but not the continuing wholesale loss of topsoil.” When a terrestrial ecosystem loses soil and its productivity is diminished, what are the consequences for the ecosystem’s biota? This is a difficult question, in part because there is no simple relationship between productivity and biodiversity. Some highly productive ecosystems support a very diverse biota (e.g. tropical rain forests), and some support relatively few species (e.g. salt marshes). In the short term, most species are likely to be more affected by the agent of soil disturbance – the plow, the chainsaw, etc. – than by the subsequent soil erosion. In the long term, diminishing the productivity of an ecosystem for several centuries could be one more stressor that pushes a species that is dependent on that type of ecosystem a bit closer to extinction.

In some severe cases, ecosystems can be highly degraded and species extirpated by soil erosion. For example, on Round Island in the Indian Ocean, rabbits and goats introduced to provide a food source for passing mariners removed most of the vegetation and this led to severe soil erosion. Two species of reptiles became extinct, and ten species of plants, three reptiles, and a seabird were at risk until the exotic herbivores were removed and erosion was brought under control (North et al. 1994). We will return to soil erosion below in our discussion on desertification.



**Figure 8.8** Soil erosion has profoundly degraded ecosystem productivity in many regions, although it is most noticeable in mountainous areas, as in this photo from the Himalayas. (Photo from M. Hunter.)

## Fire Regimes

Few phenomena can match the ability of a large, hot forest fire to totally transform a natural ecosystem in a short time. Volcanoes, nuclear bombs, and large meteorites could readily match a fire, but, thankfully, these are rare events. The apparent devastation wrought by severe fires has led to concerted efforts to control all fires. Smokey the Bear’s “Only you can prevent forest fires!” is one of the best-known phrases in the United States’ advertising media.

Unfortunately, the campaign has been too successful in many respects, especially when humans reduce the frequency of fires in ecosystems where they are a natural phenomenon (Van Lear et al. 2005). For example, most natural grasslands and shrublands, and some types of forests (e.g. certain eucalypt forests in Australia and pine forests of the southeastern and southwestern United States) are adapted to experiencing low-intensity fires at frequent intervals (Whelan 1995; Bond and Keeley 2005; Bond et al. 2005). Consequently, their vegetation changes dramatically without fires to



inhibit the influx of fire-intolerant species. Furthermore, when low-intensity fires are suppressed, fuel can accumulate and any fire that does get started is likely to be very intensive. A well known example of the consequences of removing fire from a fire-dependent ecosystem comes from Michigan, where fire suppression led to a shortage of young jack pine stands, the sole habitat of the rare Kirtland's warbler (Probst and Donnerwright 2003). The Kirtland's warbler almost became extinct before its habitat needs were recognized and met through active forest management.

On the other hand, humans often burn ecosystems quite deliberately. This can be an ecological problem if the frequency and intensity of the fires are too great. For example, about 70% of the forests of New Zealand have been eliminated by fire; much of this occurred soon after Polynesian colonization (Ogden et al. 1998). Undoubtedly, the very earliest humans realized that fire often promotes grassy vegetation, and therefore they set fires to produce food for their preferred prey animals and later for their livestock. These practices continue in many places to this day and, when overdone, can be a problem. This is most evident when fire is used as a tool to clear forest for agriculture, as is happening in many countries with burgeoning human populations, an issue we will discuss below. It might also be a problem in semiarid environments where frequent burning allows little opportunity for the soil's organic matter to develop and thus can contribute to desertification (Woube 1998; Savory and Butterfield 1999).

## Water Use

Every year people directly use 4430 cubic kilometers of water (Postel et al. 1996): that is over 700,000 liters per person. Some of it we drink. Far more of it we use to irrigate our crops and lawns, to bathe, to flush our toilets, to manufacture sundry products such as paper, and to cool our power plants. To be specific, an estimated 65% is used for agriculture, 22% for industry, and 7% for domestic purposes, and 6% is lost to evaporation from reservoirs (Postel et al. 1996). With 43,000 liters required to produce a kilogram of beef it is not surprising that agriculture is the dominant use (Pimentel et al. 2004). Some of this water is returned to an aquatic ecosystem; most of it is returned to the atmosphere through evaporation and transpiration. When large volumes of water are removed from aquatic ecosystems, their biota is likely to be affected. Not surprisingly, the effects are most dramatic in arid regions. Desert springs, streams, and wetlands are usually rare and fragile ecosystems, often containing unique species that have evolved in isolation (Minckley and Deacon 1991; Fagan et al. 2002). Obviously, if most of their water is removed, these ecosystems will be degraded (Contreras-B. and Lozano-V. 1994). Consider the lower Colorado River in the arid southwestern United States, where water flow disruptions have had a major role in the decline of 45 endangered species (Glenn et al. 2001).

Water scarcity can be an issue even in places where there is a great deal of water. Most of the southern tip of Florida is essentially one huge wetland – the Everglades – that covers many thousands of square kilometers in a sheet of water. Yet the Everglades is so shallow, and the demands on its water from farmers and coastal communities are so great, that the whole ecosystem is being profoundly changed by a scarcity of water (Davis and Ogden 1994). Notably, the numbers of herons, egrets, and other wading birds have declined sharply, in part because a reduction in freshwater input has reduced the productivity of estuarine parts of the Everglades.

## Deforestation

- Forests cover less than 6% of the earth's total surface area.
- Forests are habitat for a majority of the earth's known species.
- Forests are being lost faster than they are growing.

These three facts highlight why many conservation biologists believe that deforestation may be the most important direct threat to biodiversity. In this section we will first review some of the causes, and then some of the consequences, of deforestation.

### Causes of Deforestation

Forests tend to grow in places with reasonably fertile soils and benign climates, not too dry and not too cold. These also tend to be good places for people to live and grow crops. Consequently, millions of square kilometers of forests have been removed to make way for our agriculture, homes, businesses, mines, and reservoirs since the beginning of agriculture (Williams 2003). This process has slowed, stopped, or even reversed in some areas that were extensively deforested many years ago, such as Europe, China, and eastern North America. In some developed countries, the demand for forest land is less because the human population has stabilized, or because the local economy has shifted from agriculture (the single biggest cause of deforestation) to industry. In other places, such as large parts of China, there are simply few forests left to remove. Unfortunately, deforestation continues at an alarming pace in many tropical regions. The statistics vary widely – an area the size of Switzerland every year, nearly 50,000 ha every day, and so on – and we do not really have a good estimate, but the basic fact remains: forests are disappearing, especially tropical forests (Williams 2003). The fundamental reasons for the current spate of tropical deforestation are threefold. First, human populations are increasing rapidly in most tropical areas. Second, many of these people are poor, and clearing forest to open a small plot where crops can be grown is often their only choice for survival. Third, corporations and wealthy individuals cut forests for wood products with inadequate attention to regrowth (especially in Asia) and to open the land for cattle ranching (especially in Latin America).

Unfortunately, poor farmers are often trapped in poverty because the lands they clear are not really suitable for agriculture in the first place. After only a few years the soil's fertility is drained, and they must move on to another site and clear more forest. The process of clearing a small patch of tropical forest, growing crops for a few years, and then moving on to another site is called shifting cultivation and it is a traditional, sustainable practice when human populations are low and the abandoned site is allowed to return to forest. However, when populations are too high, then people stay at a site too long or return to a previously used site too soon. Alternatively, they may sell the land to a wealthy cattle rancher. Particularly in Latin America, much of the tropical forest initially cleared for subsistence agriculture ends up as rangeland for cattle, while under some circumstances the cattle ranchers raze the forest themselves (Fearnside 2005). In Asia, the direct drivers of deforestation are often logging companies. Whatever the underlying reason, abusive use of a site is likely to degrade the soil so badly that, even when it is abandoned, it will probably take several centuries, or even millennia, for a rich forest to return. Tropical forest soils are notorious for being easily degraded and difficult to reforest (Lal 1995).

In many people's eyes timber harvesting is a major cause of deforestation. For example, Pimm (1991, p. 136) wrote "consider the ultimate form of external environmental

disturbance – total destruction of the habitat, such as might result from logging of a forest, or an asteroid collision, or a nuclear holocaust.” This viewpoint needs to be scrutinized, however. A forest can be profoundly disturbed by severe fires or windstorms, but in time the forest will be restored by ecological succession. Similarly, when a forest is clearcut, it will eventually return to a forest again if it is given enough time and freedom from additional disturbances such as plows and cattle and real estate developers (Fig. 8.9). It may or may not resemble a forest that was disturbed by natural phenomena, but it will be a forest. Time is the critical issue here. Calling a clearcut forest deforested is probably appropriate only if its recovery will take significantly longer than recovery from a natural disturbance. Note that under some circumstances logging can negatively affect a forest even if only a small portion of the trees are removed, but this is more appropriately called degradation than deforestation. This issue will be covered in Chapter 9, and in Chapter 12 we will address ways to harvest wood and maintain biodiversity.

### Consequences of Deforestation

The extraordinary species diversity of forests is based on a number of factors (Hunter 1990); here are four key ones. First and most basically, the environmental conditions that forests require – some soil and a reasonably benign climate – are favorable to life in general. Contrast the places where forests grow to a tundra or desert. Second, the durability of wood means that forests contain an enormous reservoir of organic matter, and this material represents food and shelter to a large set of invertebrates, fungi, and microorganisms. For example, just two families of wood-boring beetles – long-horned beetles and metallic wood borers – contain twice as many species as all the world’s bird, mammal, reptile, and amphibian species combined (Hunter 1990). Third, the strength of wood makes forests taller, more three-dimensional, than other terrestrial ecosystems. The height of a forest means that it contains many different microenvironments, from the sunny, windy foliage at the top of the canopy to the cool, damp recesses of a crack in the bark of a tree trunk, and each of these different microenvironments may support a different set of small creatures. Fourth, forests are dynamic ecosystems, frequently changing through the processes of disturbance and succession, and many of these changes are marked by differences in species composition.

Among all forests, the most diverse are the tropical rain forests. Indeed, many biologists believe that half of all the species on earth may occur in tropical rain forests (Wilson 1992). Our knowledge is too limited to corroborate this statement (as was explained in Chapter 3), but we can consider many fragmentary bits of supporting evidence. For example, 43 species of ants have been found on one tree in a Peruvian tropical forest, about equal to the number that occur in all of Great Britain, and 1000 tree species were found collectively in ten 1 ha plots in Borneo, far more than occur in all of the United States and Canada (Wilson 1992). The reasons for the extraordinary diversity of tropical forests are complex and not well understood (Hill and Hill 2001). Suffice it to say here that the four factors mentioned above probably play a role (for example, tropical rain forests are taller and have larger reservoirs of organic matter than many other types of forest), as well as other factors such as long-term climate change.

Needless to say, when people convert a forest to another type of ecosystem, most of the forest-dependent species are lost from that site for some period. It is easy to name forest-dwelling species that are threatened with extinction largely because of deforestation – giant

**Figure 8.9**

Clearcuts have a dramatic effect on forest biota but the key issue is what happens in the following years; will the forest regenerate or will it be converted to another use, such as housing or agriculture, and thus constitute deforestation? We also need to consider to what extent a clearcut does or does not resemble the natural disturbance regime for a particular type of forest. (Photo from Marc Adamus.)

pandas, tigers, gorillas, and many many more – but, of course, these are just the tip of the iceberg. With most of the earth’s biodiversity residing in insects and other small organisms, and with many, perhaps most, of these small species living in tropical forests where they remain unknown to science, we can only make gross estimates of the likely impact of deforestation (Lawton et al. 1998). Fully acknowledging the extent of our uncertainty, it is still clear that a large portion of the earth’s biodiversity is found in tropical forests and that these forests are being lost to deforestation at a very high rate. Consequently, all conservation biologists believe that protection of tropical forests must be a high priority.



Thus far we have focused on the biological consequences of deforestation, but through changes in the physical environment, deforestation can have effects far beyond the edge of the forest. We have already discussed soil erosion as a source of sediment that can contaminate aquatic ecosystems. On a global scale, forests affect the earth's climate by acting as reservoirs of carbon, and when they are cut, much of the carbon moves into the atmosphere as carbon dioxide, the major greenhouse gas (Steininger 2004). More locally, because much of the water vapor in the atmosphere above a forest is maintained by evaporation and transpiration, when a forest is cut, rainfall may decrease. This makes the hot, dry conditions of a deforested site even hotter and drier.

## Desertification

When you envision a barren, nearly lifeless landscape, do you think of deserts? This image ignores the myriad species that flourish in desert ecosystems, but nevertheless, fewer species overall live in arid environments than in more humid ones. Therefore it is of great concern to conservationists that the extent of arid land – currently about 35% of the earth's land surface – is apparently increasing because of human activities (Mainguet 1999). In particular, grasslands and woodlands (i.e. relatively dry forests in which tree crowns do not meet to form a continuous canopy) are being degraded until they are dominated by sparse, relatively unproductive vegetation (Fig. 8.10). This process is called *desertification*.

### Causes of Desertification

In most parts of the world desertification is closely associated with overgrazing (Schlesinger et al. 1990; Asner et al. 2004). Too many cattle, sheep, goats, and other livestock consume and trample too many plants, and this alters the species composition and structure of the vegetation and reduces the overall biomass. With few plants to protect the soil and with many animal hooves breaking and compacting the soil, erosion is likely to increase. The excessive burning of grasslands, usually to provide fodder for livestock, may further exacerbate the problem (Savory and Butterfield 1999), while suppression of natural fire regimes can lead to the encroachment of shrubs (Asner et al. 2004).

Cultivation is also a major cause of desertification (Dregne 2002), particularly because it generates soil erosion. Furthermore, croplands that require irrigation often face two other problems: salinization and waterlogging (Contreras-B. and Lozano-V. 1994; Mainguet 1994). Salinization is common when irrigation is used in arid environments because large volumes of water evaporate, leaving behind salts that can reach toxic concentrations. If farmers try to solve this problem by using enough water to leach the salts lower into the soil, waterlogged soils can occur. Cutting trees in woodlands, usually for fuelwood, can also contribute to desertification.

Over the long term, whenever cyclical changes in the earth's orbit have led to warmer and drier conditions, some grasslands and woodlands have become deserts (see Chapter 6). Against this background, the *relative* importance of long-term climate change and short-term droughts, natural erosion, and human-induced causes of desertification is a complex and controversial topic. Some argue that anthropogenic

factors are paramount; others argue for climate change; and their relative role seems to depend on what part of the globe you are talking about (Geist and Lambin 2004).

## Consequences of Desertification

Desertification and its consequences are often overlooked until they become extreme, in part because it is harder to recognize the work of hungry livestock (the cumulative impact of thousands of small bites) than the work of a hungry chainsaw (Fleischner 1994). A deforested site often looks like a disaster, but an overgrazed ecosystem where grasses have been replaced by unpalatable brush may not look degraded to the untrained eye. Grasslands and woodlands that are vulnerable to desertification may not match the wealth of biodiversity of forests, but they do have a large set of unique species that merit the attention of conservation biologists, including such well known species as African elephants, cheetahs, black-footed ferrets, both black and white rhinos, great bustards, and African wild dogs. Furthermore, in decrying the loss of grasslands and woodlands to desertification, it is important not to imply that deserts lack biodiversity value. Thousands of species are found in deserts, and many of them are highly endangered: desert tortoises; Asian and African wild asses; sundry species of cactus; and a variety of antelopes such as the addax, scimitar-horned oryx, and Arabian oryx, to name some of the better known taxa.



**Figure 8.10** This photo from the Khyber Pass in Afghanistan reveals some of the classic signs of desertification: virtually no ground vegetation (at least of palatable plants), a browse line on the tree indicating how high livestock can reach, and soil erosion. (Photo from M. Hunter.)

It is instructive to think of a continuum of decreasing ecosystem biomass and productivity from forests to woodlands to grasslands to deserts. Ecosystems that already fall in the desert part of this continuum are still vulnerable to being pushed further down the continuum of decreasing productivity and biomass. This perspective raises the possibility that some species adapted to the lower end of this continuum might benefit from desertification by having larger areas of habitat (Whitford 1997). This may be true of some common, highly adaptable species, but the species of greatest concern are likely to be habitat specialists that cannot survive in degraded ecosystems that are a human-created facsimile of natural desert.

One reason why desertification has had a significant impact on biodiversity is that relatively few grasslands and woodlands have been protected as parks (Hoekstra et al. 2005). This is partly because these lands usually lack the amenities – lakes, mountains, forests – that people seek for outdoor recreation. (A notable exception to this generalization comes from eastern and southern Africa, where tourists visit arid and semiarid parks to see the spectacular suite of large mammals.) Of course, establishing some more parks would not be a complete solution; wiser management of all ecosystems vulnerable to desertification must be the goal.

## Draining, Dredging, Damming, etc.

Swamps and marshes, bogs and fens, lakes and ponds, rivers and streams, estuaries and the ocean, and more: there is a wide variety of ecosystems – freshwater ecosystems, marine ecosystems, and wetlands – in which water is a medium for life, not just an essential nutrient. Similarly, there is a wide variety of ways in which people destroy these ecosystems by changing their hydrology (Fig. 8.11). We will begin by briefly reviewing some of these methods.

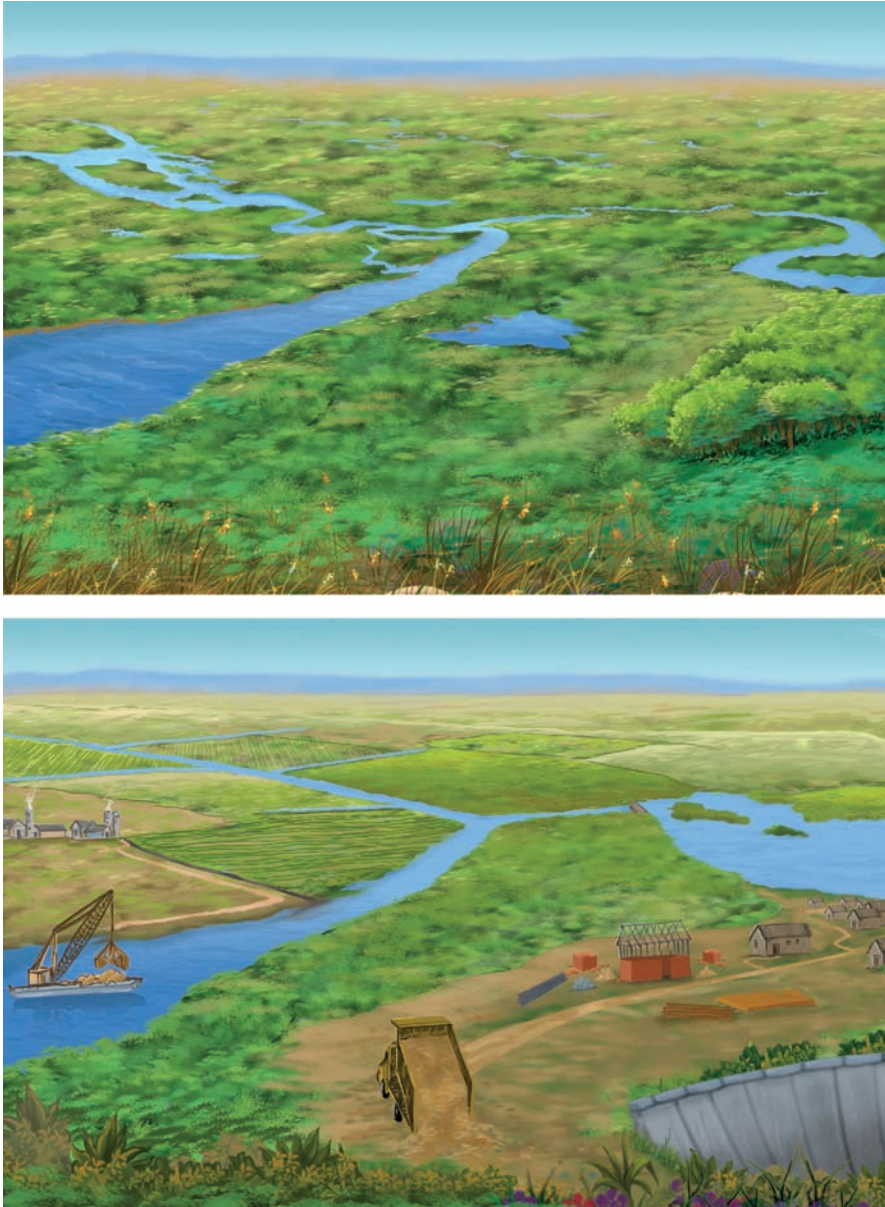
*Filling* a wet depression with material until the surface of the water table is well below ground is an obvious way to turn a wet ecosystem into a dry one. This method is usually too expensive to use for creating agricultural land, but it is routinely used to create house lots, airports, parking lots, and other high-priced land. Small, shallow wetlands are particularly vulnerable to being filled.

*Draining* a wet ecosystem (i.e. lowering the water table by moving the water somewhere else) is a common practice. In its simplest form it involves digging ditches that allow the water to drain away. Under the right circumstances, this method can be used to drain large areas relatively easily. Occasionally, water is actually pumped out of a wet ecosystem at great expense.

The primary impetus for both draining and filling is to acquire more land that is useful for human enterprises. The single biggest use for land created in this fashion is agriculture, except in urban and suburban areas where housing developments, shopping malls, and other projects are often the key issue. Occasionally, sites are drained to improve their ability to produce timber, and in some countries peatlands are drained so that the peat can be mined for fuel. Clearly, it is easier to drain or fill a shallow basin than a deep one, and thus wetlands are far more vulnerable to these losses than are lakes, rivers, and estuaries.

*Dredging* involves digging up the bottom of a water body – the mud and a host of mud-dwelling creatures – and depositing the material elsewhere, often in a wetland that someone wants filled. The goal is usually to maintain a shipping channel in a river or harbor;





**Figure 8.11** A complex of aquatic ecosystems before and after human alterations. In the lower right a housing development that was previously surrounded by dikes is being extended by filling the wetland. Nearby, the channel is being dredged. Upstream the river has been channelized and the adjacent wetlands ditched. A tributary on the right side of the main river has been dammed to create a reservoir. In the real world it would be highly unusual to have all these activities in a small area.

the ecological result is a scarred bottom and sediment pollution. Sometimes, the sediments contain high concentrations of toxins that are returned to the food web after dredging.

*Channelizing* rivers and streams means making them straighter, wider, and deeper and replacing riparian (shoreline) vegetation with banks of stone or concrete. This conversion from a complex of natural riverine communities to a barren canal may meet engineering objectives, usually flood control, but is obviously an environmental calamity. Sometimes canals are dug to connect separate water bodies; these can become conduits that allow the mixing of formerly isolated biotas (Smith et al. 2004).



*Damming* rivers and streams can profoundly change ecosystems both upstream and downstream (Nilsson and Berggren 2000; Bunn and Arthington 2002). First, upstream of a dam, a flowing-water ecosystem (the technical term is lotic) is converted to a standing-water (lentic) ecosystem, and wetland and upland ecosystems will also be flooded and thus become part of a reservoir. Wetlands are especially likely to be extensively flooded because their elevation is often close to that of a nearby river. Additionally, many reservoirs are subject to dramatic fluctuations in water level depending on changing demands for electricity and water. This means that the shores of reservoirs are often quite barren because relatively few species can cope with being inundated and then exposed in this manner (Jansson et al. 2000a). Second, downstream of a dam, floodplain ecosystems are likely to be replaced by upland ecosystems if the dam minimizes or eliminates the seasonal floods that are critical to the maintenance of these ecosystems. In the river itself, species are likely to be challenged by flow rates that are very unnatural: too much short-term fluctuation in response to demands for water or electricity, or not enough annual fluctuation in response to rainy and dry seasons. Also, the water temperature may be too warm (if drained from the top of the reservoir) or too cold (if drained from the bottom of the reservoir) (Vaughn and Taylor 1999). A third issue, dams as barriers to the movements of aquatic species, was discussed above in the section on roads and dams as barriers.

*Diking* consists of constructing earthen banks, usually called dikes or levees, along the edges of water bodies to prevent flooding. Given that floods are natural phenomena vital to the maintenance of many types of ecosystems, diking can easily destroy ecosystems, especially because it is often linked with developing land for other purposes.

Obviously, the world's oceans and seas are too large to be converted to other types of ecosystems by filling, draining, etc., but they are not completely immune to these processes. The bays and inlets that line oceans and seas (often these are estuaries where salt and fresh waters meet) are small enough to be affected by these processes, especially filling and dredging. Furthermore, sometimes our attempts to control currents in these areas with breakwaters, jetties, and other structures can change marine ecosystems profoundly. For example, shortsighted attempts to maintain sandy beaches by building jetties often end up accelerating beach erosion and sand deposition somewhere else.

To discuss the consequences for biodiversity of filling, draining, dredging, channelizing, damming, and diking, we will focus on the two groups of species that are most vulnerable to these processes: those associated with wetlands and rivers. In the wake of devastating tsunamis, hurricanes, and floods, the consequences of degrading shoreline ecosystems is of great concern for human communities too, albeit beyond our scope here. Suffice it to say that the impacts of natural disasters are much less severe where shoreline ecosystems are intact enough to provide a buffer (Danielsen et al. 2005).

## Consequences for Wetland Biota

Stemming the loss of wetlands has become a major goal of conservationists for two basic reasons: the rarity of wetlands and their ecological value. Wetlands cover a relatively small portion of the earth's total surface, roughly 1–2%, and this portion is decreasing (Harcourt 1992). In the conterminous United States, roughly 53% of wetlands were lost between the 1780s and 1990s (Dahl 2000), and worldwide figures

are probably roughly comparable (Dugan 1993; Mitsch and Gosselink 2000). These facts alone make it imperative to protect remaining wetlands, given a goal of protecting biodiversity at the ecosystem level (see Chapter 4). Furthermore, wetlands are often keystone ecosystems, playing critical roles in a landscape through hydrological processes, biomass production and export, removal of contaminants from polluted water, and so forth. (See Mitsch and Gosselink 2000 for a review of this topic.)

At the species level of biodiversity, wetlands are important because they are habitat for a diverse biota comprising three groups of species. First, there are species that are primarily aquatic (such as many species of fish and insects) that can use the pools of water often found in wetlands. Some may be permanent residents; some may be visitors, coming only at high tide, or during spring or monsoonal high waters.

Second, many terrestrial species are facultative users of wetlands, with a portion of their population found in wetlands. Wetlands can be particularly important refugia for terrestrial species that are sensitive to human interference; this is because wetlands tend to be too wet for humans to hunt, plow, or extract trees and too dry for them to access by boat. For example, the mangrove swamps in the mouth of the Ganges River, the Sunderbans, harbor one of the world's largest remaining tiger populations.

Finally, there are many thousands of species that are uniquely adapted to the interface of wet and dry environments found in wetlands. These include whole families of plants (cattails, water lilies, bur-reeds, and many more) and insects (e.g. predaceous diving beetles, water boatmen, and several families of damselflies and dragonflies) that are almost exclusively found in wetlands. Among vertebrates, most amphibians and turtles are wetland species.

Throughout the world the loss of wetlands has pushed many species toward extinction. Nine of the world's 15 species of cranes – birds that require wetlands for breeding and often foraging – are in jeopardy. In recent years herpetologists have been alarmed by precipitous drops in many frog populations, and wetland loss is a primary cause (Houlahan et al. 2000; Beebee and Griffiths 2005).

## Consequences for River Biota

Rivers and streams are often likened to the arteries of a landscape, and this metaphor is apt from both an ecological and economic perspective. It is hard to imagine human history without rivers – bringing water to our croplands and homes, driving water-wheels and turbines, providing a transportation network, and carrying away our wastes. Think about how many of the world's cities are located on a river, and you will appreciate their pivotal role.

Unfortunately, being the focus of so much attention has left many rivers badly degraded by water pollution, channelization, and dredging, or converted to reservoirs by dams (Malmqvist and Rundle 2002; Postel and Richter 2003). The victims of this scarcity of clean, free-flowing rivers do not draw much public attention because they are chiefly fish, mollusks, and insects, not the birds and mammals that galvanize public support (Allan and Flecker 1993). Scores of riverine fish species are threatened with extinction, but most of them are minnows and other small species that are seldom seen. Only a few economically important fish species such as various salmon species are likely to garner much attention. Even lower on the list of public popularity are mussels, crayfish, and other invertebrates, even though hundreds,

perhaps thousands, of species are endangered by river degradation and conversion. One analysis of North American crayfishes and unionid mussels estimated that 63% of the crayfish species (198 of 313) and 67% of the unionid mussels (201 of 300) were either extinct or at some level of risk (Master 1990). Another analysis of the freshwater fauna of North America demonstrated that the recent (since 1900) extinction rate of these animals was about five times greater than that of terrestrial vertebrates and that this difference was likely to persist in the future (Ricciardi and Rasmussen 1999). A similarly dramatic story could be told for Asian rivers – home to over half of the world's large dams (over 15 meters tall) and a large portion of the world's freshwater crabs, snails, turtles, crocodilians, river dolphins, and fishes (Dudgeon 2000, 2002). For example, there are 105 families of freshwater fishes in Asia compared with 74 in Africa and 60 in South America. For many taxa we do not even have enough information to evaluate rarity or endangerment. For example, 388 algal species were recorded in one stream in southern Ontario (Moore 1972), but few streams have been inventoried this thoroughly, and thus virtually all of their algal species could be eradicated without documentation of their disappearance.

## Fragmentation

When early explorers of wild regions found a high vantage point from which to scan the terrain, they often wrote of a “sea of green” to convey the unbroken vastness of the forests and grasslands they traversed. A modern traveler, looking down from a plane, is likely to describe a typical landscape as a “patchwork quilt” – a mosaic of pastures and croplands, woodlots and house lots and parking lots. The process by which a natural landscape is broken up into small parcels of natural ecosystems, isolated from one another in a matrix of lands dominated by human activities, is called *fragmentation*. Because fragmentation almost always involves both loss and isolation of ecosystems, researchers would like to distinguish between the effects of these two processes but it is not often practical to do so (Guerry and Hunter 2002; Fahrig 2003).

Fragmentation is a major focal point for conservation biologists, both because it has degraded many landscapes and because many nature reserves have become isolated fragments or are in danger of becoming so (Saunders et al. 1991). In addition, it captured the interest of many conservation biologists because it was recognized as an issue at about the same time that conservation biology was emerging as a new discipline; in other words, it was new ground for conservation biology to plow. Furthermore, it appeared to have a theoretical foundation in an intriguing body of ideas and observations known as island biogeography (Box 8.1). It seemed reasonable to assume that the effects of isolation on the biota of oceanic islands might provide a model for understanding the effects of isolation on populations inhabiting patches of natural ecosystems that were isolated in a sea of human-altered land.

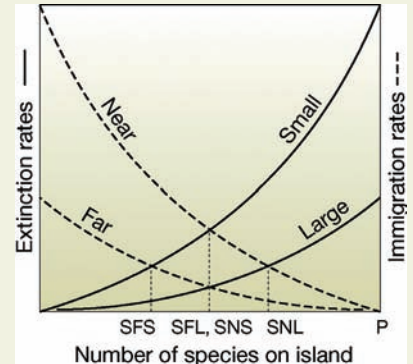
Most conservation biologists have come to recognize that the applicability of island biogeography theory to fragmentation issues is quite limited, primarily because fragmentation “islands” are not nearly as isolated for most species as true oceanic islands (Zimmerman and Bierregaard 1986; Debinski and Holt 2000; Haila 2002). Nevertheless, island biogeography does provide a conceptual foundation for understanding fragmentation and is the origin for two important ideas. Small fragments (or islands) have fewer species than large fragments, and more isolated fragments

## BOX 8.1

## Island biogeography theory

The fundamental idea of MacArthur and Wilson's (1967) equilibrium theory of island biogeography is that the number of species on an island represents a balance between immigration and extinction. The rate of immigration is determined largely by how isolated an island is; the more isolated, the lower its immigration rate. This is represented in Fig. 8.12, with the curve for remote islands (far) being lower than the curve for islands that are near the mainland (near). Extinction rates are a function of island size; populations on large islands tend to be larger and thus less vulnerable to extinction. In Fig. 8.12 the extinction curve for large islands is lower than the curve for small islands.

For any given island there is an extinction rate and an immigration rate that will balance one another and keep the number of species relatively constant. In this example, the numbers of species for four equilibria are represented as follows: *SFS*, number of species on a far, small island; *SFL*, far, large island; *SNS*, near, small island; *SNL*, near, large island. *P* is the total number of species that could potentially immigrate to the island from a nearby landmass.



**Figure 8.12** A graphical representation of island biogeography theory. (From Hunter 1990, reprinted by permission of Prentice-Hall, Englewood Cliffs, New Jersey.)

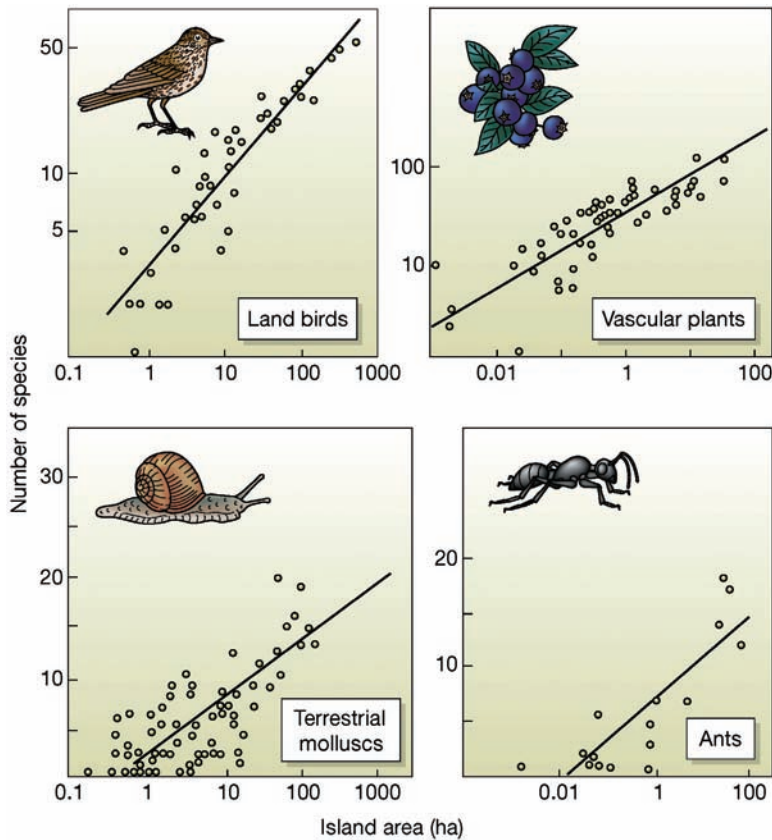
have fewer species than less isolated fragments. We will begin by considering these two ideas further.

### Fragment Size and Isolation

There are three main reasons why large fragments have more species than small fragments (Fig. 8.13). First, a large fragment will almost always have a greater variety of environments than a small fragment (e.g. different types of soil, a stream, a rock outcrop, an area recently disturbed by fire), and each of these will provide niches for some additional species.

Second, a large fragment is likely to have both common species and uncommon species (i.e. species that occur at low densities), but a small fragment is likely to have only common species. This idea is easy to grasp when we consider species that have large home ranges; for example, it means that we are unlikely to find a bear in a tiny fragment. However, it also applies to species that have rather limited home ranges but still actively avoid small fragments. For example, certain small birds such as Sprague's pipits and grasshopper sparrows have home ranges of only a few hectares, but are usually not found in habitat fragments less than 100 ha in size (Davis 2004). Species that do not occur in small patches of habitat are called *area-sensitive species* and are often of concern to conservationists. Furthermore, uncommon species that are not area-sensitive (i.e. that can find habitat in a small fragment) are also unlikely to occur in a small patch by chance alone. This last point is a subtle one that is often overlooked (Haila 1999), but it is easily explained with an example. Imagine there was an uncommon tree species that had an average density of one individual per 1000 ha; all other things being equal, a 100 ha sample plot would have a 1:10 chance of





**Figure 8.13** The number of species in a sample plot or on an island increases as area increases, but the steepness or slope of the curve varies considerably among taxa. Note that in these graphs for taxa on islands in the Baltic Sea some of the y axes are linear and some are logarithmic. All of the x axes are logarithmic. Recall from Chapter 6 that these lines are described by the formula  $S = CA^z$ , where  $S$  is number of species,  $A$  is area, and  $C$  and  $z$  are constants. (Redrawn from Järvinen and Ranta 1987.)

containing this species, but a 10 ha plot would have only a 1:100 chance. This sampling effect, added across many species, would mean that a small fragment would have fewer species than a large fragment simply because it is a smaller sample. To adjust for this phenomenon, fragmentation studies should focus on number of species per unit area (e.g. Rudnický and Hunter 1993), but most only report the number of species in each fragment.

Third, small fragments will, on average, have smaller populations of any given species than large islands, and a small population is more susceptible to becoming extinct than a large population (Henle et al. 2004). This idea was a key point in the preceding chapter.

Fragments that are isolated from other, similar patches by great distances or by terrain that is especially inhospitable are likely to have fewer species than less isolated fragments for two reasons. First, relatively few individuals of a given species will immigrate into an isolated fragment. Immigrating individuals are important both because they can “rescue” a small population from extinction and because they can replace a population that has already disappeared (Brown and Kodric-Brown 1977). Second, species that are mobile

enough to use an “archipelago” of small habitat patches to collectively comprise a home range are less likely to use an isolated fragment simply because it is inefficient to visit it. For example, the copperbelly water snake travels among ephemeral wetlands foraging for frogs and it seems to fare badly when wetlands are lost and the average distance among the remaining wetlands increases (Roe et al. 2004).

## Causes of Fragmentation

The fundamental cause of fragmentation is expanding human populations converting natural ecosystems into human-dominated ecosystems. Fragmentation typically

begins when people dissect a natural landscape with roads and then perforate it by converting some natural ecosystems into human-dominated ones (Fig. 8.14). It culminates with natural ecosystems reduced to tiny, isolated parcels. Thus fragmentation almost always involves both reducing the area of natural ecosystems and increasing their isolation, although some authors have advocated reserving the term for isolation (Fahrig 2003). As the single largest user of land, agriculture is the proximate cause of most fragmentation. Certainly, for many terrestrial species, a large expanse of cropland is a barrier nearly as effective as a stretch of water. Urban and suburban sprawl may be a more effective barrier to movement, but their total area is much more limited than that of agriculture. Some writers use “fragmentation” to describe any process that breaks up extensive ecosystems, including natural events such as fires, whereas other writers restrict the term to human-induced changes. In any case, human activities are the major cause of fragmentation in most landscapes.

Sometimes, it is unclear whether human land uses cause fragmentation. Consider clearcutting forests; if this leads to the forest's being converted to farmland, then clearcutting obviously contributes to fragmentation. However, if the clearcut site is allowed to undergo succession and return to forest, this may or may not constitute fragmentation, depending on whether the clearcut is extensive enough to constitute a significant barrier to the movement of plants and animals (Haila 1999, 2002). Of course, this will vary from species to species. A slow-moving, moisture-loving slug is far more likely to be deterred by a clearcut than most birds that can fly across a clearcut in a few seconds. Similarly, at what point on the continuum of desertification does fragmentation occur? A plant whose seeds are dispersed long distances by wind may cross a desertified barrier easily, whereas a short-dispersal plant may be incapable of crossing the barrier in one trip and unable to establish a population halfway across in the degraded habitat.

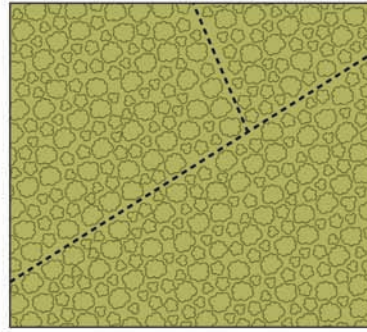
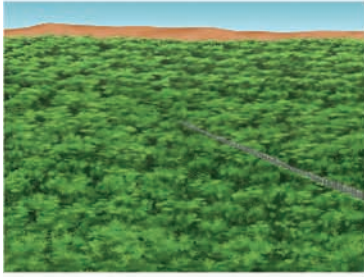
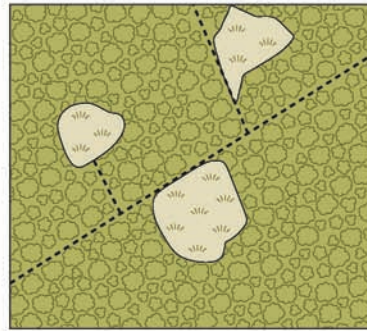
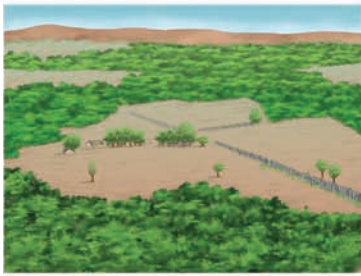
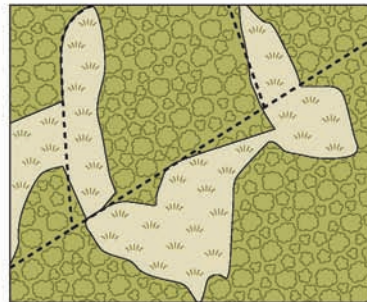
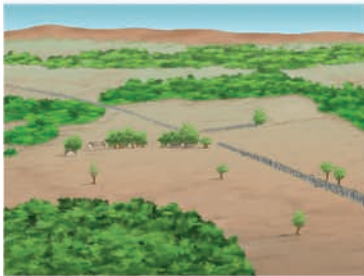
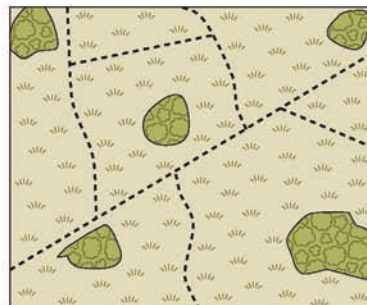
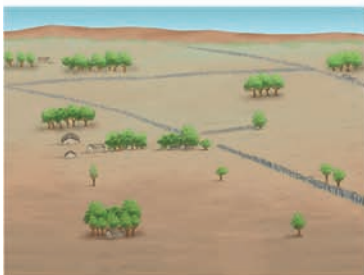
## Consequences of Fragmentation

Ecosystem destruction is the driving force behind fragmentation, and thus it is inevitable that fragmentation is associated with negative effects on biodiversity. The reason why fragmentation elicits so much special concern from conservationists is that its consequences are greater than we would anticipate based solely on the area of ecosystems destroyed. Notably, remnant ecosystems that seem to have escaped destruction may no longer be available for area-sensitive species that cannot use small patches of habitat. Most prominent among these are large predators that need extensive home ranges to find enough prey (Crooks 2002). Some small species with limited home ranges also avoid small habitat patches: for example, birds (Davis 2004) and beetles (Laurance et al. 2002). This may occur because they require the microclimate characteristic of the interior of large habitat patches, or because they select habitat patches large enough to support other members of their species (a type of loose coloniality) (Stamps 1991), or because of their interactions with other biota as predators, prey, or competitors (Gibbs and Stanton 2001).

In highly fragmented landscapes, it is difficult for individuals (usually juvenile animals, seeds, or spores) to disperse to another suitable patch of habitat. If immigration and emigration are very limited, then the individuals occupying a fragment may effectively constitute a small independent population and, as we saw in Chapter 7, small

**Figure 8.14**

People usually initiate fragmentation by building a road into a natural landscape, thereby *dissecting* it. Next, they *perforate* the landscape by converting some natural ecosystems into agricultural lands. As more and more lands are converted to agriculture, these patches coalesce and the natural ecosystems are isolated from one another; at this stage *fragmentation* has occurred. Finally, as more of the natural patches are converted, becoming smaller and farther apart, *attrition* is occurring. (Terminology from R. Forman, personal communication, and 1995; also see Collinge and Forman 1998.)

**Dissection****Perforation****Fragmentation****Attrition**

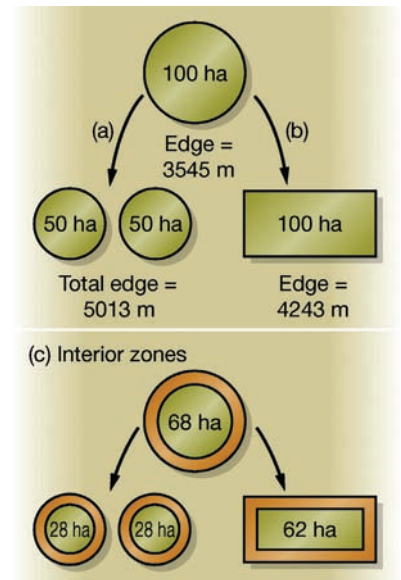


populations are more likely to disappear. Furthermore, if a population does disappear, a low immigration rate will mean it takes much longer to establish a new population. Even if fragmentation only leads to partial isolation, this may change one large population into a metapopulation, which may also affect population viability and persistence. The dispersal of fire is also an issue; fragmentation has greatly disrupted natural fire regimes in regions where fires once swept across the landscape (Van Lear et al. 2005).

The migration of animal species that travel between habitats seasonally could be impeded by fragmentation (Hunter 1997). In practice, this is likely to be a problem mainly for species that walk, such as large mammals that travel up and down mountains in spring and autumn, or amphibians that migrate to and from spring breeding pools. Similarly, the climate changes described in Chapter 6 require species to shift their entire geographic ranges over long periods. In a fragmented landscape this may be difficult for species with limited dispersal abilities, such as many plants (Pearson and Dawson 2005).

Finally, one consequence of fragmentation is based on a simple rule of geometry: the perimeter length of a patch changes as a linear function, whereas its area changes as a square function. To take a simple example, a  $4 \times 4$  km patch has a perimeter of 16 km and an area of  $16 \text{ km}^2$ , and if we decrease it to  $2 \times 2$  km, its perimeter halves to 8 km, but its area decreases fourfold to  $4 \text{ km}^2$ . This means that as fragmentation makes patches smaller and smaller, their ratio of edge to interior increases disproportionately (Fig. 8.15). Similarly, if we define a zone in the patch that is within a certain distance of the patch's edge, the relative area of this edge zone will also increase disproportionately as the patch gets smaller. Finally, although fragmentation does not necessarily affect the shape of a patch, it should be noted that another rule of geometry (a circle is the shape with the shortest perimeter) means that the further a patch's shape departs from circular, the longer its edge will be.

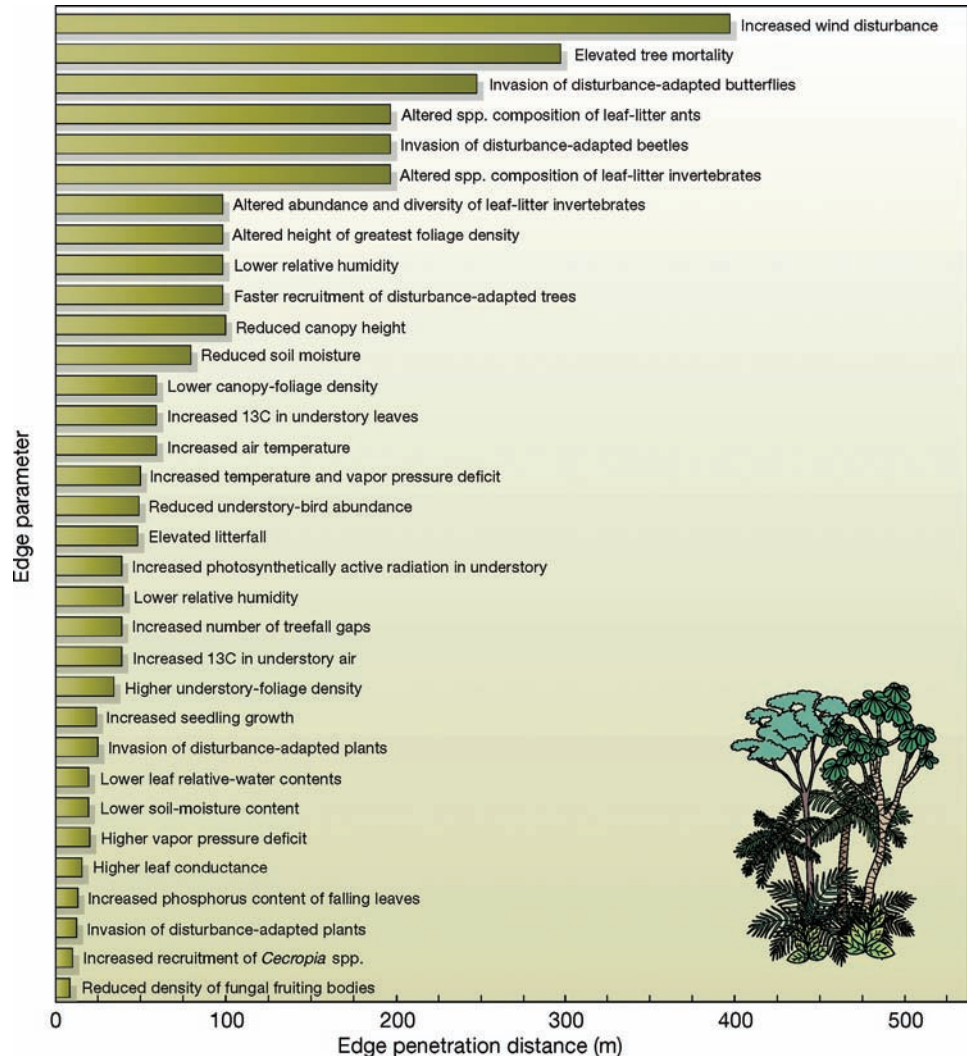
Why is it important that small patches have relatively more edge or ecotone habitat and less interior habitat? This is a complex topic (Ries et al. 2004), but one basic issue is that the physical environment near an edge is different. For example, in a forest fragment bounded by fields, the edge zone will often be windier, drier, and warmer than the forest interior, and this may increase tree mortality and prevent some species, especially certain plants, from inhabiting this zone (Laurance et al. 2002; Harper et al. 2005). Edge zones are also different because early-successional species associated with the surrounding disturbed environment often penetrate the edge. These are likely to include exotic species (e.g. competitors such as weeds, and predators such as cats, rats, and people) that we will discuss in Chapter 10, "Invasive Exotics." One of the most extensively studied aspects of edges concerns the reproductive success of birds nesting near forest–farmland edges. Many studies have reported unusually high levels of nest predation near the edges of forest fragments, although it is difficult to distinguish the specific effects of edges from the overall effects of fragmented landscapes (Stephens et al. 2003). In general,



**Figure 8.15** Three principles of geometry that affect the edge-to-area ratios of patches. (a) Small patches have relatively longer edges than large patches. (b) Patches that are less circular in shape have longer edges than circular patches. (c) The interior zone of a small or noncircular patch is relatively small compared with that of a large, circular patch. (In these patches the shaded edge zone is 100 meters wide.)



it seems clear that whenever we have a natural ecosystem surrounded by a disturbed ecosystem, the natural ecosystem is going to experience some disturbing effects, what Dan Janzen (1986) has called “the eternal external threat.” The width of these “impact zones” will vary greatly, from tens of meters in the case of microclimate issues to kilometers in the case of poachers invading a protected reserve (Laurance et al. 2002) (Fig. 8.16).



**Figure 8.16** Penetration distances of different edge effects into forest remnants of the Biological Dynamics of Forest Fragments Project in the Brazilian Amazon. (From Laurance et al. 2002.)

## CASE STUDY

## Madagascar<sup>1</sup>

The island of Madagascar lies only 400 km from the cradle of human evolution in Africa, yet for thousands of years after *Homo sapiens* had spread throughout most of the world, Madagascar remained undiscovered by people. Madagascar was not, however, isolated from all primates. At least 50 million years ago some primitive primates colonized the island, perhaps floating to the island on a tree swept to sea in a flood. Eventually, they evolved into dozens of species represented in modern times by five families: lemurs, dwarf lemurs, sportive lemurs, indris/sifakas, and the aye-aye (Fig. 8.17). Having split away from Africa and then India about 160 million and 80 million years ago, respectively, Madagascar has been an isolated haven for evolution in many life forms besides primates. Seven families of plants, five of birds, and six of mammals are restricted to Madagascar and nearby isles; overall roughly 80–90% of all the non-marine native plant and animal species are endemic to the island. The biota is rich, as well as unique. For example, Madagascar has about 12,000 plant species compared with Europe's 12,500, even though Madagascar is only about the size of France. Frogs provide a more impressive comparison; only 25 frog species inhabit all of Europe, while Madagascar has 230 described species (all but two species are endemic; and 45 more potential species await formal description). Madagascar's great climatic and geologic diversity is probably the main reason for its biotic diversity. The island is subdivided into many regions with profoundly different topography, geology, soils, and weather patterns. Collectively, these provide a diverse array of environments, from rain forests to semiarid lands dominated by didiereas, an endemic group of spine-covered plants vaguely reminiscent of cacti.

Madagascar's isolation came to a rather abrupt end roughly 2000 years ago when human colonists arrived, probably from both Africa and Southeast Asia, and began shaping the land to their needs. The Malagasy people set fires to produce fodder for large herds of cattle and cleared the forest for "slash and burn" agriculture. It is unclear how extensively forested Madagascar was when people arrived. Some ecologists have assumed that some type of forest or woodland covered the whole island; others believe that some parts of central Madagascar were grasslands. In



**Figure 8.17**  
Madagascar is home to many unique species such as the indri, the largest species of lemur. (Photo by M. Hunter.)

either case, virtually all types of ecosystems are highly degraded today, and less than 25% of the island remains in forests and woodlands (Dufils 2003). Presumably, the loss of forests and resulting siltation has also affected freshwater and coastal ecosystems (which include many mangrove swamps and coral reefs), but this has been little studied. We do know that Madagascar's unique freshwater fish fauna is severely threatened by deforestation, overfishing, and exotic species (Benstead et al. 2000). One port, Mahajanga, was lost after 100 million m<sup>3</sup> of sediment was deposited in 25 years. Many of Madagascar's most striking species – elephant birds, giant lemurs, and giant tortoises – disappeared after humans inhabited much of the island (Burney et al. 2004). The fact that many of the species were relatively large suggests that overhunting played a role in their demise too, and we will return to this issue in the next chapter. The bottom line in all of this is that the growing numbers of Malagasy people and cattle have made ecosystem degradation and loss almost inevitable. (There were about 2.5 million people in 1900, 4 million in 1950, and 18 million in 2005; it is generally estimated that the Malagasys keep about one head of cattle per person.)

Conservationists throughout the world have set their sights on Madagascar because the stakes are so high (we have so many unique taxa to lose) and the threats so enormous. Here lies some ground for optimism. Ambitious projects to protect key examples of various ecosystems by more than tripling the size of the protected area system (1.7 million km<sup>2</sup> to 6 million km<sup>2</sup>), to foster ecotourism and other forms of sustainable development, and to improve land-use practices throughout the island are under way with sponsorship from a diverse array of national and international organizations.

**1** This case study is primarily based on Jolly (1980), Jolly et al. (1984), Groombridge (1992), Quammen (1996), Goodman and Patterson (1997), Goodman and Benstead (2003, 2005), and personal communication with Eleanor Sterling.

## Summary

Ecosystems and habitats (the physical and biological environment used by a particular species) are routinely degraded, and sometimes destroyed, by human activities. These activities are the most critical threat to biodiversity. Contamination of air, water, soil, and organisms by pollutants is a major form of degradation. Pollution can range from relatively innocuous materials such as sediment that smothers the bottom of a stream to extraordinarily toxic chemicals that are lethal at small doses. Sometimes, populations are eliminated outright by pollution, especially by pesticides; more often, pollution represents a stress that reduces population fitness. People also construct many physical structures that may degrade habitat quality for certain species. Roads are the best known examples; they impede the movement of some organisms, and, worse still, some organisms are run down by vehicles. Moreover, dams and fences are likely to be absolute barriers to the movements of some species. Ecosystems can also be degraded by altering physical processes. For example, people commonly: (1) accelerate soil erosion, which causes silt pollution and decreases site productivity; (2) decrease the frequency of fire in ecosystems where it is a natural event, or increase the frequency of fire where it is uncommon; and (3) remove too much water from ecosystems where it is needed.

Deforestation is a major form of ecosystem destruction that has profound consequences for biodiversity because forests cover less than 6% of the earth's total surface area yet are habitat for a majority of the earth's known species. Deforestation has slowed in many temperate regions, but tropical deforestation continues at an alarming pace and threatens an incredibly diverse biota. Many arid and semiarid ecosystems are being degraded and even destroyed by a process called desertification, primarily the product of overgrazing by livestock and unsound cultivation. Myriad species occur in these environments and are at risk because of desertification. Many aquatic ecosystems have been destroyed by profound changes in their hydrologic

regime imposed by filling, draining, dredging, damming, channelizing, and diking. Rivers and wetlands have been especially vulnerable to these alterations. For this reason, and because they represent a small portion of the earth's area, the species tied to these ecosystems are in considerable jeopardy.

Fragmentation is the process by which a natural landscape is broken up into small parcels of natural ecosystems isolated from one another in a matrix of other ecosystems, usually dominated by human activities. Fragmentation can diminish biodiversity because small, isolated patches of habitat have fewer species than larger, less-isolated patches. This is true because: (1) small patches have less environmental heterogeneity than large patches; (2) some area-sensitive species and uncommon species are unlikely to be found in small patches; (3) small patches have small populations that are more vulnerable to local extinction; (4) immigration into populations occupying isolated patches is limited; and (5) isolated patches are less likely to be used by species that routinely travel among patches. Besides affecting biodiversity by reducing patch size and increasing isolation, fragmentation also creates more edges between different types of ecosystems. These edge zones represent degraded habitat for many species.

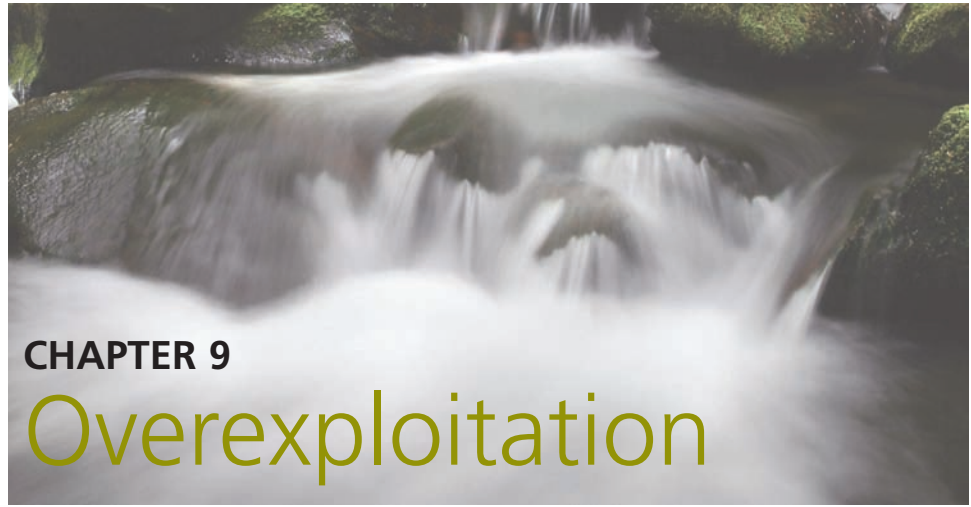
#### FURTHER READING

Many books review the various ways the earth has been degraded by pollution; one of the popular textbooks is Miller (2005a). For statistics and an overview, see the periodic reviews published by the World Resources Institute and its collaborators and their web-based service, Earthtrends (accessible at [www.wri.org](http://www.wri.org)), a United Nations assessment of the state of the planet ([www.millenniumassessment.org](http://www.millenniumassessment.org)), and the Atlas of the Human Footprint ([www.wcs.org/Footprint](http://www.wcs.org/Footprint)). For books on the degradation and destruction of various types of ecosystems, see Mainguet (1994) on desertification, Williams (2003) on deforestation, Mitsch and Gosselink (2000) on wetlands, Boon et al. (2000) on rivers, and Norse and Crowder (2005) on marine ecosystems. Forman et al. (2003) reviews road impacts; for the effects of fragmentation see Rochelle et al. (1999). Quammen (1996) is a very readable account of island biogeography and fragmentation.

#### TOPICS FOR DISCUSSION

- 1 Do you think that, whenever people significantly change an ecosystem from its natural state, this constitutes ecosystem degradation? Recall one example of ecosystem degradation: a power plant warming a river, causing temperature-sensitive species to disappear. Would you consider this ecosystem degradation if all the species that disappeared were common and they were replaced by a larger number of species, all of them native, including one that is an endangered species?
- 2 Habitat loss for one species often leads to habitat gain for another species; for example, removing a dam may increase habitat for riverine species while decreasing habitat for lake species. How do you balance these out, especially if you are comparing two species that are of equal concern to conservationists and neither habitat is particularly natural?
- 3 Describe some reasonable thresholds at which habitat degradation can be considered habitat destruction, or ecosystem degradation can be considered ecosystem destruction.
- 4 Why are some species more sensitive to contamination than others?
- 5 Discuss the fundamental similarities and dissimilarities between deforestation and desertification.
- 6 Why are lakes less vulnerable to ecosystem destruction than rivers?





## CHAPTER 9

# Overexploitation

Few things are as poignant and gripping as a dead creature. The carcass of a slaughtered elephant can move people to action far more readily than the eroded land on which it died. Even a truckload of logs is more likely to catch people's attention than the fumes generated by the truck. Of course, people kill other organisms all the time. It is just that the most provocative examples – killing other sentient beings, especially mammals and birds – are usually well hidden behind the doors of slaughter houses. The closest most of us come to killing is swatting flies, weeding a garden, or giving our dog a flea bath. Even at the grocery store, with its huge arrays of dead plants and animals and their products, we are unlikely to think about the organisms that die to feed us. Intellectually, most people can accept the killing of other creatures for human well-being until it gets out of hand, until people start overexploiting other species. Then, our emotions join with our intellect to decry this threat to biological diversity.

There is a tendency to think that overexploitation (which we can define as human overuse of a population of organisms to an extent that threatens its viability or significantly alters the natural community in which it lives) is a relatively new phenomenon. It is a romantic notion that throughout most of our span on earth we have lived in harmony with nature. This view is rather naive, as we will see in some examples of past overexploitation.

## The Long History of Overexploitation

After the most recent glaciation the grasslands of central North America harbored an extraordinary array of large mammals. The diversity of antelopes, horses, cheetahs, giant ground sloths, mammoths, mastodons, and others easily rivaled the large mammal fauna of Africa today (Fig. 9.1). However, about 11,000 years ago, at the end of the Pleistocene epoch, they disappeared; 34 genera of large mammals became extinct in less than 1000 years, while 40 more became extinct in South America (Martin 1984; Martin and Steadman 1999). This is a massive die-off when you consider that only 20 large mammal genera had become extinct in North America over the previous three *million* years. Is it a coincidence that so many large mammals went extinct shortly after the time that humans, crossing from Siberia to Alaska, probably first arrived in the Western Hemisphere? Paul Martin, an anthropologist, thinks not and has argued in many articles and books that overhunting was primarily responsible for these extinctions. In contrast, Martin's critics have argued that the extinctions were mainly the result of significant climate change (e.g. Graham and Lundelius

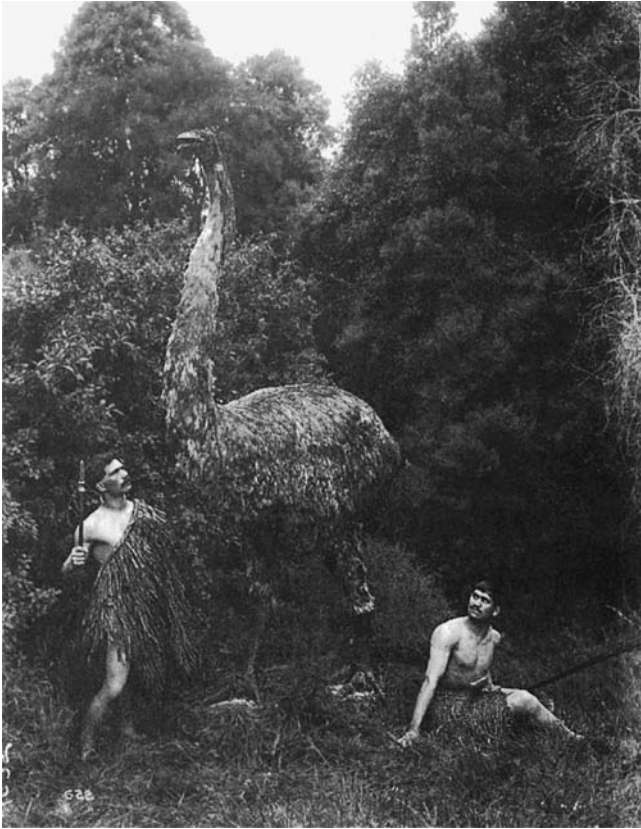


**Figure 9.1** Many scientists believe that human overexploitation was responsible for the extinction of many large North American mammals about 11,000 years ago. The woolly mammoth depicted here was apparently one victim, although the caribou shown in the background continue to survive. © American Museum of Natural History.

1984). Probably the full explanation lies in a complex amalgam of overkill, climate change, and other possible factors such as disease and human-set fires (Barnosky et al. 2004). Nevertheless, it seems highly likely that overhunting was more important than climate change because the same story – humans arrive, large animals go extinct – has now been told in many other locations where climate change was clearly not responsible (Burney and Flannery 2005).

The best evidence that overhunting by early people has eliminated some species comes from islands. On many remote islands, birds evolved in the absence of mammalian predators, sometimes losing their ability to fly in the process. When people arrived on these islands, they found easy prey. For example, when Polynesians, now known as Maoris, arrived in New Zealand in about 1200 CE, the islands had 11 species of moas, a group of flightless birds ranging in size from a turkey to far larger than an ostrich (Fig. 9.2) (Anderson 1989). By the time Europeans colonized the islands in the eighteenth century, the moas were all gone, along with five species of rail and six waterfowl species. Indeed, some evidence suggests that all the moas were extinct less than 100 years after Polynesian colonization (Holdaway and Jacomb 2000). The demise of the moas and other birds undoubtedly was hastened by forest clearing and other changes wrought by the Maoris, but the abundance of moa remains at Maori village sites and the population age structure revealed in these bones indicate that hunting was the major factor (Turvey and Holdaway 2005).

On small islands throughout the Pacific, scores of birds are known to have become extinct after the arrival of Polynesians (Steadman and Martin 2003). In the Hawaiian



**Figure 9.2** In this 1903 photo two Maori medical students pose beside a reconstruction of a moa. (Photo from A. Hamilton. Reproduced courtesy of the National Museum, New Zealand.)

islands 44 species of endemic land birds out of 82 became extinct between the arrival of Polynesians and the arrival of Europeans (Olson and James 1984). Again, habitat changes were undoubtedly important, but it is likely that overhunting was a major problem, especially for various species of flightless geese, ibises, and rails. As we saw in Chapter 8, on Madagascar the loss was not limited to birds. The arrival of people led to the extinction of two giant tortoises, a bear-size giant lemur, a small species of hippopotamus, many other mammals, and several elephant birds, some of which rivaled the largest moas in size, probably due to both overexploitation and deforestation (Dewar 1984; Burney et al. 2004).

More recently, the history of North America provides many striking examples of overexploitation (Trefethen 1964; Mowat 1984; Matthiessen 1987; Wilcove 1999). During the colonial period beaver, turkey, and white-tailed deer were nearly eradicated from the coastal plain, and as the frontier moved farther west, the wave of exploitation followed. The arrival of railroads in the nineteenth century provided easy access to large urban markets for game animals harvested on the frontier (Fig. 9.3). Market hunting led to the demise of the passenger pigeon, arguably one of the most abundant birds ever to have lived, and took the American bison from extreme abundance to extreme rarity. The heath hen, Carolina parakeet, Labrador duck, and great

auk were hunted into extinction. Some of the great whales pursued around the world by Yankee whalers may never recover (Kraus et al. 2005).

Note that our long history of overexploitation should never be used to justify current overexploitation. Doing so would be akin to justifying humans killing one another by pointing to our long history of war.

Currently, the two forms of overexploitation that receive the most attention from conservationists are overfishing and the so-called “bushmeat” trade. Overfishing does not attract adequate public scrutiny for many reasons including: (1) people are not very sympathetic to fish; (2) most fishing happens at sea, beyond sight and often beyond national boundaries; and (3) the total harvest across all fisheries has only recently started to decline (Pauly et al. 2005). The issue of total harvest requires closer inspection because this is a very crude measure that lumps all fish populations or stocks together. When you examine specific fisheries (i.e. fishing for a particular species in a particular region) you discover that 366 out of 1519 fisheries monitored



by the Food and Agriculture Organization have collapsed (Mullon et al. 2005). In particular, the predatory fish that used to dominate catches are being replaced by species further down the food chain, a phenomenon known as “fishing down the food chain,” and this can profoundly change ecosystems (Casey and Myers 1998; Pauly et al. 1998; Pauly and Palomares 2005). Finally, the total catch has been sustained by fishing in more remote regions and at greater depths, but we have nearly run out of new places to exploit (Pauly et al. 2005). The history of overfishing highlights a problem that affects our perception of overexploitation in general: the tendency of each generation to think that recent population levels are normal and to forget about past population levels. The idea that we shift our baseline of expectations is highlighted by a compilation of current and historic population levels (Jackson et al. 2001), which, for example, shows that the roughly one million adult green turtles that inhabit the Caribbean currently are just a small fraction of the 16–33 million that are thought to have lived there before European colonization.

The term “bushmeat” can be widely construed to cover any wild animal used for human food, but in the lexicon of conservation it is used primarily when describing the overexploitation of animals in tropical terrestrial ecosystems, especially in forests, and especially in West and Central Africa. The range of animals involved is enormous – from crabs to gorillas – but mammals dominate, especially rodents, ungulates, and primates (Robinson and Bennett 2000; Cowlshaw et al. 2005; Fa et al. 2005). Of course people have been hunting and eating wild animals in tropical forests for millennia, but the rate of exploitation has clearly become unsustainable in recent decades as the density of people has grown and as exploitation has been driven by commercial enterprises rather than local, subsistence consumption. With urban populations mushrooming and roads reaching farther and farther into formerly remote areas the market for bushmeat is enormous, not unlike what happened on the US frontier in the nineteenth century (Fig. 9.4). Importantly, bushmeat overexploitation carries profound risks for people as well as wild animals; notably loss of a supply of protein and exposure to diseases such as HIV/AIDS and Ebola. Demand for bushmeat in West Africa has been linked to supplies of marine fish: in years when fish supplies are strong bushmeat consumption goes down, so one solution is to increase the supply of fish (Brashares et al. 2004). In theory, this could be done by limiting access to the waters off West Africa, where the European Union has the largest fishing fleet, heavily subsidized to catch fish for European consumers; in practice, developed nations seldom curb their exploitation for the benefit of people from developing countries.



**Figure 9.3** Commercial exploitation for urban markets has devastated populations of many species. This is a 1912 photograph from Orange, Texas, USA; the bushmeat trade is a current manifestation of the same phenomenon. (Photo from the William Hornaday Collection of the US Library of Congress.)





**Figure 9.4** In many tropical forests wild animals, so called “bushmeat,” are overexploited for sale in urban markets. Logging roads provide the transportation network that facilitates this commerce. (Photo by Richard Ruggiero, US Fish and Wildlife Service, provided by the Bushmeat Crisis Task Force.)

## Types of Exploitation

### Commercial Exploitation

Money “makes the world go round” and is the driving force behind most exploitation of wild life. Significant sums of money are involved because of the importance and diversity of products obtained: food, fiber, fuel, medicine, building materials, and more (recall Chapter 3). When we think of people who make a living selling wild life, we often think of small, independent entrepreneurs: fur trappers, loggers, clam diggers, and others. In practice, the scale of commercial exploitation of wild creatures ranges from children selling berries by the roadside on a Saturday afternoon to some of the world’s largest multinational corporations logging trees and government-owned fleets combing the seas for fish.

Unfortunately, commercial exploitation of wild life can easily become overexploitation for at least eight reasons.

- 1 The potential market for wild products is enormous.** Indeed, with a global economy, once a wild product enters commerce, there are over six billion potential consumers (Fitzgerald 1989; Hemley 1994). The major markets for rhino horns and elephant ivory obtained in Africa are in the Far East; coral collected in the Philippines is destined for Europe and North America; bear gall bladders from the United States are extracted for Chinese markets (Table 9.1).

|                 |   |
|-----------------|---|
| Primates        | 20,000–40,000 live                              |
| Mammal furs     | 15 million                                      |
| Birds           | 1.5–4 million live                              |
| Reptiles        | 800,000–1,000,000 live, farmed reptiles         |
|                 | 400,000–600,000 wild-caught, live reptiles      |
|                 | 1–10 million skins and skin pieces              |
| Ornamental fish | 350–600 million (freshwater and marine species) |
| Corals          | 775–1100 metric tons of live and raw coral      |
|                 | 1.5–1.6 million raw and live coral items        |
|                 | 7500–40,000 carvings                            |
| Orchids         | 65,000 wild-collected orchids                   |
|                 | 917 million artificially propagated live plants |
|                 | 39,000 wild-collected roots                     |
|                 | 300,000 artificially propagated roots           |
| Cacti           | 20,000–40,000 live plants                       |
|                 | 30,000–60,000 seeds                             |
|                 | 340,000–500,000 parts and products              |

The data represent a range of estimates for a portion of the wild species in trade in the 1980s and 1990s. They include both species collected in the wild (e.g. most marine fish caught for the pet trade) and wild species propagated in captivity and then traded internationally (e.g. most freshwater fish). Total declared value of wild products is estimated to be almost US\$15 billion annually, excluding timber and fisheries products.

Source: Broad et al. (2004) and direct communication with TRAFFIC (USA), a program of the World Wide Fund for Nature.

**Table 9.1** Some examples of world trade in wild life.

- 2 People who exploit wild life for financial gain, like almost everyone else, have an enormous desire for wealth.** First, they need food, clothing, and shelter; then a car, a second car, and a second home; and then status and power become priorities. This is in sharp contrast to subsistence-based exploitation, as we will see below.
- 3 Domestic substitutes for wild products are not identical and often sell for less.** People usually prefer wild berries over cultivated ones, wild (slowly grown) wood over plantation-grown wood, venison over beef, and pheasants over chickens, and this translates into higher prices for the wild products.
- 4 The market price of a wild species usually increases as it becomes rarer,** and this will precipitate greater exploitation and will make the wild species even rarer. For example, at the end of the nineteenth century the demand for hat feathers pushed egrets into the most remote regions of the southeastern United States, but hunters pursued them relentlessly as the price of decorative plumes rose to twice their weight in gold (Bent 1926). This vicious cycle is exacerbated by the desire of people to have what their peers do not have: perhaps a shawl woven from shahtoosh, the neck fur of Tibetan antelopes, or a Brazilian rosewood guitar. The royalty of medieval Europe purchased unicorn horns (actually narwhal tusks) for 20 times their weight in gold (Lopez 1986).
- 5 Wild resources are often communal resources,** owned by no one and everyone. This means that the costs of overexploitation are shared by many people, not just the person who is abusing the resource, while the benefits are obtained by the exploiter. This is what Garrett Hardin (1968) has called the “Tragedy of the Commons.” This dilemma commonly applies to aquatic species because individuals do not usually own the wild life of lakes and seas, whereas in terrestrial systems landowners usually own the plants and sometimes the animals. In many countries the major landowner is the government (national, regional, or local), and the private individual is relatively free to overexploit. (We will return to the tragedy of the commons in Chapter 16, “Economics.”)
- 6 Wild life is often found in remote places** where laws and social constraints do not operate effectively. It is much easier to use wild life irresponsibly on the high seas or in a remote forest than under public scrutiny.
- 7 Commercial exploiters often have the capital** to purchase expensive technology for collecting wild life in large quantities: for example, seagoing vessels for fishing and whaling, logging machinery, and even helicopters with which to poach elephants and rhinos. Sometimes these are paid for by earlier profits, sometimes by government subsidies.
- 8 The disparity among national currencies makes it profitable to exploit rare species around the world.** Expansion of the global marketplace through increased transportation and lowering of trade barriers means that overexploitation is likely to occur whenever there is a large difference in the buying power of currencies. For example, the strength of the Japanese yen has driven the dockside value of a single bluefin tuna to over \$20,000 and that is before the costs of shipping, handling, auctions, wholesalers, and retailers are added to what the consumer must pay. At such high prices, most consumers would not pay for tuna, but in Japan, where a cup of coffee can cost \$15, bluefin tuna still seems reasonably priced, and Japanese consumers eat it regularly. They thus provide an incentive for

overseas fishers to continue to pursue bluefin tuna even when they have become quite rare.

### Subsistence Exploitation

Most rural people exploit wild life to directly meet some portion of their personal needs for food, clothing, fuel, and shelter (Fig. 9.5) (Prescott-Allen and Prescott-Allen 1982; Robinson and Redford 1991; Robinson and Bennett 2000). Among some rural people – especially those who are more affluent – these activities, like a Saturday spent fishing or gathering mushrooms, are just supplemental to the household economy. They are motivated primarily by recreational needs and secondarily by subsistence needs. At the other end of the continuum, some rural people obtain virtually all of their life requisites by gathering and hunting wild species. Worldwide, most rural people fall in the middle of this range, obtaining a moderate portion of their needs from the wild, especially fuel and building materials, and the remainder from markets and subsistence agriculture.

In contrast to commercial exploitation, the scale of subsistence exploitation is limited by the number of people living in places where they have access to wild life and by their levels of consumption (items 1 and 2 in the preceding section). This is not to say that subsistence use cannot lead to overexploitation (witness the moas), only that it is less likely to lead to overexploitation than commercial use.



**Figure 9.5** Subsistence use of wild plants and animals is very important for many rural people. This boy is carrying part of a mandrill carcass, a type of baboon that lives in the forests of West Africa. (Photo by David Wilkie, Wildlife Conservation Society, provided by the Bushmeat Crisis Task Force.)

### Recreational Exploitation

Many people routinely use wild life just for the fun of it. For example, among adults in the United States 36% use wild animals recreationally; i.e. there are an estimated 13 million hunters, 34 million anglers, and 66 million “wildlife watchers” (people who participate in outdoor activities that focus on viewing wild animals) (US Fish



and Wildlife Service 2002). When we think about recreational exploitation of wild creatures, hunting and fishing come to mind first, perhaps because killing animals is considered the ultimate form of exploitation. Much has been written about the pros and cons of these sports from a conservation perspective (Mitchell 1982; Mighetto 1991; Liddle 1997). On the one hand, sport hunters and anglers have overexploited some populations, especially in times and places with little law enforcement. On the other hand, in many countries sport hunters and anglers contribute huge sums of money to conservation through license fees and taxes on their equipment. Much of this money is used for activities, such as purchasing habitat and hiring wardens and biologists, that benefit many species (Kallman et al. 1987), although some of these funds are used for self-serving purposes such as stocking streams with hatchery-reared trout. Also, funds spent by hunters and anglers for lodging, food, and guide services can go a long way toward developing local support for conservation in rural areas, especially in developing nations (Lewis and Alpert 1997; Harris and Pletscher 2002). As we will see in Part III, hunting has become a necessity for controlling some populations, notably deer, in the absence of natural predators. Incidentally, some of the worst cases of overexploitation come from hunters who pursue smaller prey such as butterflies, mollusks, and orchids (New 1997). Naturalist collectors are notorious for going to great lengths to add rare species to their collections.

Turning to the naturalists who simply seek contact with wild life for viewing or photography, they too, like hunters and anglers, exploit wild creatures, although their activities are usually called “nonconsumptive” (Edington and Edington 1986; Liddle

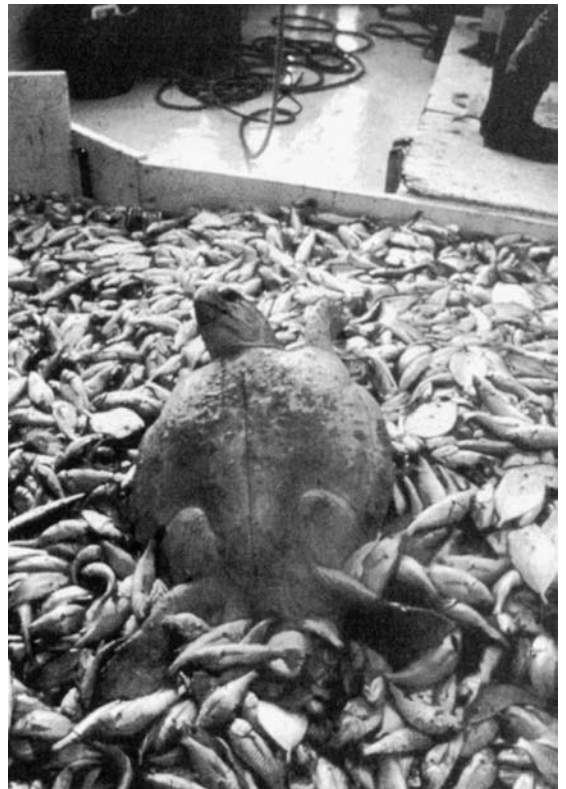
**Figure 9.6** Even nonconsumptive use of wild life can be harmful. These tourists tromping through a colony of brown noddly terns in Australia may be causing considerable damage. (Photo from M. Hunter.)



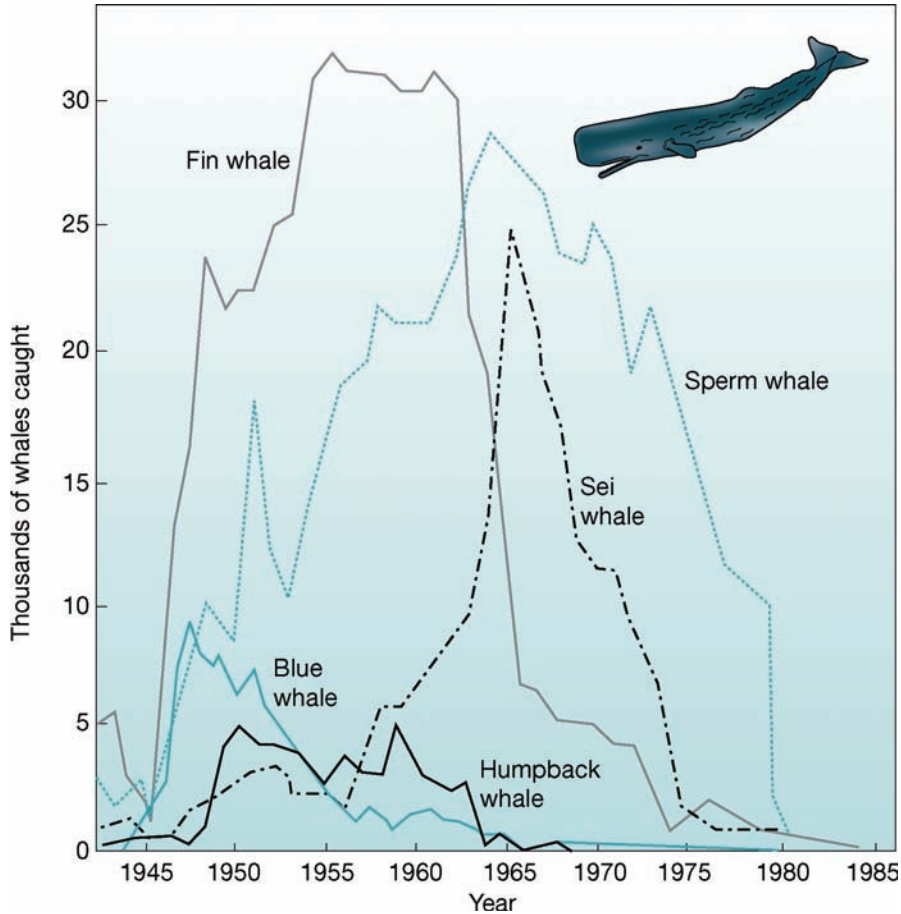
1997). Shy animals will be frightened; small plants and animals will be trampled (Fig. 9.6). A well known anecdote among bird-watchers recounts how a large group of birders gathered at a marsh to search for the black rail, an extremely shy bird that is usually seen only when flushed at close quarters. The birders lined up and swept across the marsh, but no rails were flushed. After everyone else had left, one birder recrossed the marsh and spotted a black rail under a tuft of grass, crushed to death. Even stony corals are vulnerable to damage by careless divers visiting coral reefs, especially underwater photographers (Barker and Roberts 2004). Some effects may be quite subtle; for example, just having people nearby can influence how an animal spends its time, shifting from resting and foraging to monitoring humans (Beale and Monaghan 2004; Müllner et al. 2004). We will return to some of the pros and cons of ecotourism in Chapter 16, “Economics.”

### Incidental Exploitation

Not all exploitation is deliberate; often in the process of exploiting one species, other species are incidentally exploited as well. This phenomenon is so common in fishing that there is a specific term for this unintentional mortality: *bycatch* (Lewison et al. 2004). The best known example of this involves setting nets around schools of tuna and drowning dolphins in the process, a practice that has been sharply curtailed because the popularity of dolphins led to legal actions. Unfortunately, other forms of fishing continue to kill many unintended victims; indeed, incidental mortality in gill nets is the major threat to the world’s most endangered marine cetacean, Mexico’s vaquita (D’Agrosa et al. 2000), and some albatross species are severely threatened by being hooked and drowned during long-line fishing (Laich et al. 2006). In gross terms, trawling for shrimp in tropical waters may be the most destructive form of fishing: the total weight of unwanted species that are dumped overboard dead often exceeds the retained catch by tenfold (Zeller and Pauly 2005) (Fig. 9.7). Most of these species lack the charisma of dolphins, but because shrimp trawling has killed many Kemp’s ridleys, a highly endangered sea turtle, United States trawlers must now have a TED (turtle exclusion device) to allow turtles to escape (Lewison et al. 2003). Trawling is particularly destructive when it scours the sea bed, obliterating the structural diversity created by kelp, sponges, and other species (Watling and Norse 1998; Thrush and Dayton 2002). Traps on land can also be nondiscriminating; for example, gorillas are occasionally caught in snares



**Figure 9.7** Most of the animals killed by shrimp trawlers are thrown overboard, and they include endangered species such as this loggerhead turtle. (Photo from Michael Weber, The Ocean Conservancy.)



**Figure 9.8** This graph shows how whalers have overexploited a series of great whales, starting with fin and blue whales and then switching to sperm and sei whales. (Redrawn by permission from Miller 1992.)

set to catch duikers (small forest antelopes), and giant pandas are caught in musk deer snares (Schaller 1993; Noss 1998).

### Indirect Exploitation

The term “indirect exploitation” could be used to cover a wide set of human activities that indirectly kill other organisms: the roads, fences, antennas, and so forth described in Chapter 8; the introductions of exotic species that we will cover in the next chapter. Perhaps the clearest case of indirect exploitation involves our domestic animals and their exploitation of other species. We have already discussed the effects of livestock overgrazing. Predation by domestic animals, especially house cats, is another example. One study of domestic cats

conservatively estimated that the average cat that is allowed outdoors kills about one bird per week (Lepczyk et al. 2004); that number multiplied by 200 million cats (a conservative guess based on an estimated 100 million in the United States alone [Clarke and Pacin 2002]) suggests that cat predation is likely to exceed ten billion birds per year globally.

## Consequences of Overexploitation

The most basic consequence of overexploitation is rather obvious; if we remove too many individuals from a population, we may subject it to all the problems of small populations discussed in Chapter 7 (Fig. 9.8). In this section we will consider some of the more subtle effects of overexploitation.

## Population Effects

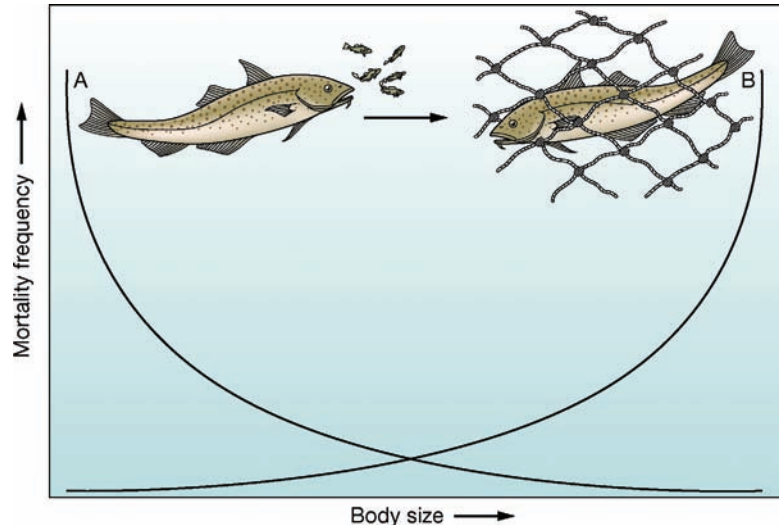
Not all the individuals in a population are equally susceptible to exploitation; their vulnerability may be influenced by their size, age, sex, phenotype, where they are, and when they are there. Consequently, the structure of a population, particularly its age, sex, and genetic composition, can be changed by exploitation. Let us briefly examine some examples.

### Age

In many fisheries, the most profitable fish to catch are the largest, oldest individuals, but these individuals also have the highest reproductive capacity. Consequently, the effects of overfishing are exacerbated because decisions on when and where to fish and what kind of equipment to use (e.g. net mesh size) are often directed toward the most fecund members of the population (Birkeland and Dayton 2005) (Fig. 9.9). The fact that this pattern of mortality is very different from natural mortality is especially worrying. A mismatch in age-specific mortality between natural predators and humans can also occur in animal populations that are subject to hunting because hunters often select animals in their prime rather than the young or old that are easier for natural predators to kill (Solberg et al. 2000). Finally, loggers tend to harvest trees when their growth rates are starting to decline rather than at an age, usually much older, when natural mortality is common (Hunter 1990).

### Sex

Among many mammal species, males are more exploited than females because they are bigger and thus more desirable and because they often travel over larger areas, making contact with people more likely. Consequently, exploited mammal populations often have a sex ratio that is skewed toward females. The effect on population viability may be modest because most mammals are polygynous (i.e. one male will mate with multiple females), but there could be important exceptions. Off the west coast of South America, preferential hunting for male sperm whales led to a shortage of males that still persisted nearly 20 years after whaling ended. More importantly, this shortage of males was blamed for the low pregnancy rate among females (Whitehead et al. 1997). Some population modeling has also shown that skewed sex ratios can jeopardize a population (Ginsberg and Milner-Gulland 1994; Mysterud et al. 2002).



**Figure 9.9** Mortality resulting from human fishing tends to increase as fish become larger (line *B*), whereas natural mortality is greatest when fish are small (line *A*). This mismatch may exacerbate the effects of overfishing, especially because large fish have more offspring. (Graph based on personal communication with Robert Steneck.)



### *Genetic Structure*

Preferential harvest can also act as a form of artificial selection and change the genetic makeup of a population (Laikre and Ryman 1996). For example, some forests are subjected to a form of overexploitation called high-grading in which the best trees (e.g. those having the best form) are cut and the worst (e.g. diseased individuals) are left behind. It is widely assumed that high-grading is likely to alter a population's genetic structure to some degree, but, surprisingly, this issue has received relatively little attention from forest geneticists. One study from Ontario found a roughly 25% overall loss of alleles after harvesting white pine, with over 80% loss among rare alleles (Buchert et al. 1997; also see Hawley et al. 2005). Overfishing has altered the genetic structure of many salmon populations by allowing some small males, which spend little or no time foraging at sea and thus are less likely to be caught by commercial fishing vessels, to become a large portion of the population (Gross 1991). These small males are able to pass on their genes by "sneaking" access to females rather than fighting for access with the large males that have returned from the sea. Game managers have expressed concern that the selective nature of trophy hunting could change the genetic structure of populations (Harris et al. 2002) and at least one clear example has been documented: trophy hunting for bighorn sheep reduced the population's horn size and body weight of males, two traits with a high degree of heritability (Coltman et al. 2003). Harvesting plants for medicine has led to the artificial dwarfing of a species of snow lotus (Law and Salick 2005; Fig. 5.4).

It is not likely that a change in the age, sex, or genetic structure of a species caused by differential exploitation could by itself cause the extinction of a species. However, it could certainly exacerbate other factors, like small population size, and thereby make extinction more likely. Recall from Chapter 7 that demographic stochasticity was a significant threat to small populations and from Chapter 5 the issue of effective population size.

### *Ecosystem Effects*

The effects of overexploitation can ripple throughout an entire ecosystem if the exploited species has a key ecological role as a dominant species or a keystone species. To take an extreme example, if you cut all the pines in a pine forest, you will no longer have a forest ecosystem, at least until succession restores the forest. For a more moderate example, consider some of the potential problems that may ensue from partially logging a forest, such as alterations to the physical structure of the vegetation. Notably, large trees are likely to be less common because, in a managed forest, trees are cut when their growth rate begins to decline, and this is often long before they reach maximum size. Similarly, trees of commercially valuable species may become scarce in a partially logged forest. Both tree size and species are important habitat attributes for many animals, ranging from an eagle seeking a suitable nest site to a bark beetle looking for a spot to carve its tunnel. Another problem can arise because dead or dying trees are often uncommon in managed forests where trees are usually cut before they are too susceptible to disease. This may create a shortage of habitat for a huge number of invertebrates, fungi, and microorganisms that use the dead wood of snags and logs; woodpeckers and other cavity-nesting vertebrates that we commonly associate with snags are just the tip of the iceberg (McComb and Lindenmayer 1999).

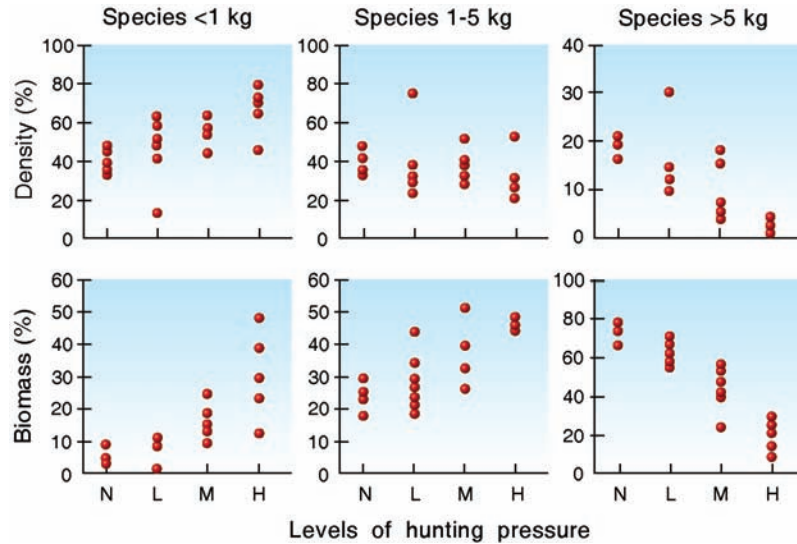
This is a very incomplete list of the potential consequences of timber harvesting (for a fuller treatment of these issues, see Hunter 1990, 1999). The bottom line is that we cannot remove a substantial portion of the population of a dominant species without affecting the rest of the ecosystem to some degree. Although we have focused on forests here, this principle will apply to any ecosystem, such as overexploiting the grass in a grassland ecosystem through excessive livestock grazing, or overfishing the fish in an aquatic ecosystem.

Overexploiting a species that is relatively uncommon, but has a keystone role, will also have profound effects upon the rest of the ecosystem (Soulé et al. 2003, 2005). For example, sea otter populations were overtrapped along several stretches of the Pacific coast, and this allowed populations of their prey, notably sea urchins, to flourish (Duggins 1980). The abundance of sea urchins limited recruitment of kelp, and as a result entire kelp bed ecosystems, with a large set of dependent species, disappeared. Another layer of complexity has been added to this “trophic cascade” story in parts of Alaska where killer whale predation on sea otter populations has also allowed kelp forests to develop (Estes et al. 1998). It is likely that killer whales switched their attention to sea otters because their traditional prey, large whales, were less available because of overexploitation by humans (Williams et al. 2004). A similar example comes from many coral reefs where overfishing of herbivorous fish has left populations too small to control algae that are blanketing the coral reef and outcompeting coral (Hawkins and Roberts 2004). Turning to terrestrial ecosystems, Flannery (1995) has advanced a controversial idea that human extirpation of large herbivores (marsupials the size of a rhinoceros) roughly 50,000 years ago increased the vegetation biomass and thus provided more fuel for the fires that have shaped so much of Australian ecology ever since. In western North America, local extirpation of an important terrestrial predator, gray wolves, resulted in an overabundance of elk and various indirect effects: excessive browsing on aspen and willow, which meant less food for beavers, which in turn meant less habitat for riparian birds (Hebblewhite et al. 2005).

We must be particularly vigilant to recognize the loss of keystone species in ecosystems that superficially appear to be intact. In a provocative paper, “The Empty Forest,” Kent Redford (1992) writes about the vast stretches of Amazonian forest that seem to be undisturbed, but that are almost devoid of large mammals and birds because of overhunting (Fig. 9.10). He speculates about what this may mean in the long term because of the ecological roles of these species as seed dispersers, herbivores, and so on. Similarly, while conservation biologists often focus on avoiding the extinction of a species, we must recognize that the ecological role of species can be compromised whenever their populations are too low; in other words, they may become extinct with respect to their ecological function long before they totally disappear (Soulé et al. 2003, 2005; Sekercioglu et al. 2004).

## Some Final Perspectives on Exploitation

It is easy to condemn the overexploitation of wild life, and conservationists should do so with vigor and conviction, but we must be careful to focus on *over*exploitation and not exploitation per se, for as consumers of wild life we all exploit wild life. To take a particularly relevant example, the vast bulk of trees harvested in the world come from seminatural forest ecosystems, not plantations, and that is generally good; it means



**Figure 9.10** Hunting pressure shifts the community structure toward smaller species of game vertebrates, based on research at 25 Amazonian forest sites. These are scatterplots of the relationship between level of hunting pressure (N, none; L, light; M, moderate; H, heavy) and the percentage contribution of species within three size classes to the overall density and biomass. Spearman correlation coefficients ( $r_s$ ) indicate statistical significance. (From Peres 2000.)

more habitat for wild life. However, it also means that by reading this book you are probably exploiting wild trees. In other words, we have to be responsible consumers, not just critics of the people who make their living from the use of wild life. When told that in some countries elephant poachers are shot on sight without the due process of law, many people nod in agreement about an unfortunate but justifiable policy. These same people would be shocked at the suggestion that customs officials should shoot tourists returning from abroad with ivory souvenirs (Fig. 9.11). The unthinking role of consumers in overexploitation is captured nicely in a quote from the actress Gina Lollobrigida, shortly after she purchased seven new fur coats: “What can I do? The tigers in my coat were already dead. ... If I don’t buy the coats, somebody else will.” Is ignorance an excuse for such behavior?

In particular, biologists who condemn overexploitation should not forget that their profession has many skeletons in the closet (literally and figuratively) from past activities. For example, beginning in 1884 several museum-organized expeditions sought to find the last northern elephant seals without success; finally, in 1892 they found seven and collected six of them (Busch 1985). Fortunately, some seals were apparently overlooked, and the species has recovered.

It is also important to remember that killing plants and animals is not the only way to exploit them. The market for pets is enormous, and millions of live animals, especially fish, birds, and reptiles, are caught in the wild and sold every year (Tissot and Hallacher 2003; Schlaepfer et al. 2005). Live plants, particularly orchids and cacti, are also in great demand. Obviously, from the perspective of a wild population it does not matter whether

an individual is dead or alive when it is removed. Indeed, the trade in live organisms can be more deleterious because many individuals die between the time of capture and the time they arrive at their ultimate destination, and thus a larger number needs to be acquired initially. Some of the worst examples of this involve young primates, in great demand for medical research, that are often captured by shooting their mothers from the treetops. Of course, many of us are alive today because of medical research, and so, again, we should condemn such practices, but must tread carefully to avoid hypocrisy. The key solution to these dilemmas revolves around careful management of exploited populations, an issue that we will cover in Chapter 13, “Managing Populations.”

Finally, we should not lose sight of the fact that the loss of ecosystems is typically a much more important threat to biodiversity than overexploitation. It is generally far better to have a forest in which some of the large animals are overexploited than to convert the forest into a pasture for raising cattle. This chapter has focused on the numerous examples of overexploitation, but the general truth of the following remains:

*The law doth punish man or woman,  
That steals the goose from off the common,  
But lets the greater felon loose,  
That steals the common from the goose.*  
Anonymous 1764



**Figure 9.11** Consumers provide the market for wild life trade items and thus are at least half the problem, despite government agents who attempt to stop illegal wild life trade. (Photo from John and Karen Hollingsworth, US Fish and Wildlife Service.)

## CASE STUDY

### The Gulf of Maine

Robert S. Steneck<sup>1</sup>

Sailing across the Gulf of Maine today you can see a vast ecosystem that appears little changed after thousands of years of human use. However, this illusion would soon disappear if you could slip beneath the surface and see the gulf through the eyes of a marine creature. Both coastal and offshore marine communities of the Gulf of Maine have been changed profoundly over the past several hundred years because of the virtual elimination of large predatory fish.

As long as 8000 years ago, the “Red Paint People” lived year-round on the coast of Maine catching marine fish no more than a short canoe trip from shore (Bourque 2001). The refuse or “middens” left by these and subsequent indigenous people accumulated over thousands of years, and by studying them, archeologists learned that these people subsisted on large fish such as the Atlantic cod. Over the next several thousand years large fish, such as Atlantic cod averaging a meter in length (Jackson et al 2001), with some growing to nearly 100 kilograms in mass (Collette and Klein-MacPhee 2002), remained sufficiently abundant to constitute over 80% of the bone volume of



the middens (Steneck and Carlton 2001). When the first Europeans explored the Gulf of Maine, it was the abundance of large fish that so impressed them (Caldwell 1981). The northern half of Juan Vespucci's 1526 map of the New World was identified as Bacallaos, which is Portuguese for "land of the codfish." In 1602, Bartholomew Gosnold named Cape Cod for the myriad of fish that "vexed" his ship. Captain John Smith reported three important facts in 1616: (1) that cod were abundant along the coast; (2) that native Americans already knew this; and (3) that the cod in Maine were two to three times larger than those found elsewhere in the New World. In the early 1600s, seafood from the Gulf of Maine had a larger share of the market in Europe than it does today. At that time, 10,000 men were employed fishing for cod in New England (Caldwell 1981); by the 1880s, three times that number

were employed in Nova Scotia alone (Barnard 1986). Late nineteenth-century advances in ships and fishing technology greatly increased fishing effectiveness. This may have been the zenith of the codfish industry.

Since the nineteenth century, cod and other large-bodied predatory fish have declined in abundance and size until they have become virtually absent from coastal habitats (Witman and Sebens 1992; Steneck 1997; Steneck and Carlton 2001; Lotze and Milewski 2004; Steneck et al. 2004). This decline is evident in published charts of coastal fishing grounds. The continuous near-shore fishing grounds charted in the nineteenth century were reduced to small discrete patches by the 1920s (Rich 1930) and today are gone. In addition to declining abundances, average fish body size has steadily dropped over the past several decades. For example, codfish sizes decreased from an average of about 80 cm in 1950 (Bigelow and Schroeder 1953) to 30 cm in the late 1980s (Ojeda and Dearborn 1989).

There is growing evidence that coastal marine landscapes have changed as a result of the loss of the large predatory finfish (Fig. 9.12). Today, mobile benthic invertebrates (e.g. Menge and Sutherland 1987) and small, commercially unimportant finfish (Wahle and Steneck 1992; Steneck 1997) are highly conspicuous and appear to be the most important predators in coastal zones of the Gulf of Maine. Experiments indicate that adult crab, lobsters, and sea urchins live today in coastal habitats without significant threats from predators (Wahle and Steneck 1992; Steneck 1997, 1998; Steneck and Sala 2005). Furthermore, the absence of predators allows more lobsters to live in areas with little shelter than was possible when predators were abundant. This expansion of habitable areas for lobsters may have contributed to the currently thriving lobster industry, which in recent years has repeatedly exceeded its record harvest set in the 1880s (Steneck and Wilson 2001; Steneck 2006).

The hyperabundance of sea urchins was also probably the result of populations growing unchecked by predators



**Figure 9.12** The decline of large, predatory fish in the Gulf of Maine (e.g. codfish that are large enough to prey on adult lobsters) has dramatically affected the entire marine community. (Photo taken on Monhegan Island; from Edward W. Coffin.)

(Steneck 1998). At high densities their grazing denudes coastal zones of most erect, fleshy seaweeds such as kelp (Steneck et al 2002) and thus reduces coastal productivity and habitat structure for other organisms (Bologna and Steneck 1993). However, in the late 1980s sea urchins themselves became targeted for their roe, which is highly valued in Japan. In a shockingly short period – about a decade – the carpets of sea urchins disappeared (Andrew et al. 2002). Since sea urchins are the dominant herbivore in the system, the reduced grazing resulted in the establishment of kelp forests and shag-carpet tangles of red algae (Steneck and Carlton 2001).

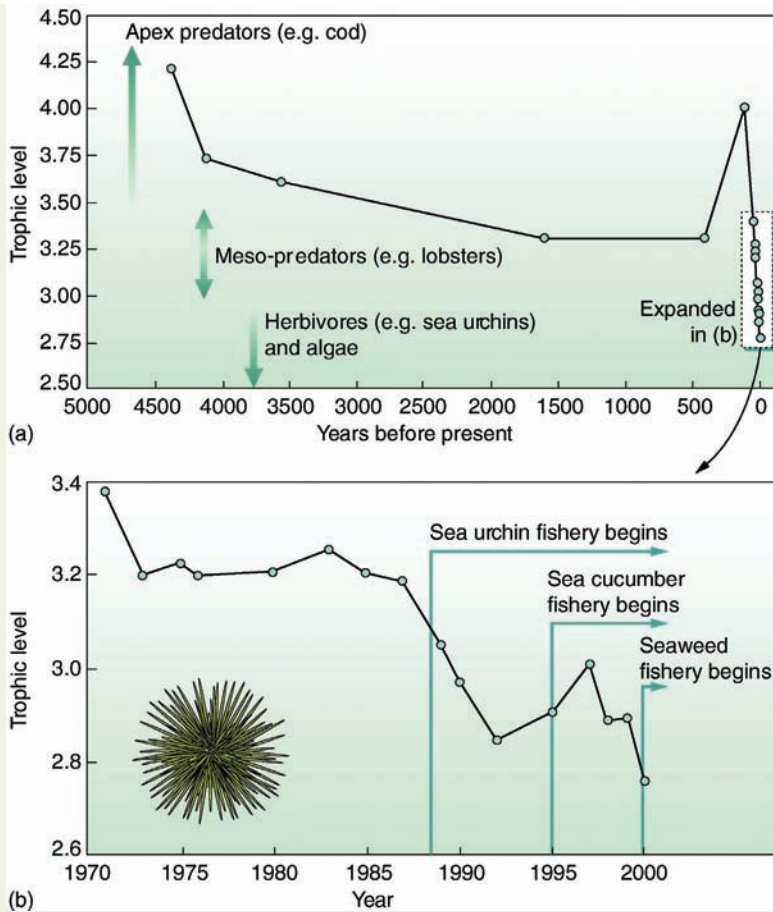
What we have observed in the Gulf of Maine is called “fishing down the food web” (Pauly et al. 1998). That is, top predators such as cod are often the first fish targeted because they are highly valued for food and commerce. As that trophic level declines, its prey become more abundant and become the new target for fisheries. If the prey species are themselves strong interactors, then their prey, at yet lower trophic levels, are also released from predator control.

In many relatively undisturbed marine ecosystems, consumer effects translate beyond the next lower trophic level; these are called “trophic cascades.” They occur when carnivores reduce herbivore abundance, allowing plants (or algae) to grow uncropped. By definition, trophic cascades cause demographic effects at least two trophic levels below apex predators. Studies have shown that coastal marine communities have particularly strong predator-to-producer trophic cascades (Shurin et al 2002).

Overfishing in the Gulf of Maine has sequentially disrupted the functioning of its coastal trophic cascade, starting with the top predators, such as cod (Steneck 1998). Fishing in the Gulf of Maine does not threaten the harvested organisms with biological extinction, but if their population densities fall low enough, they lose their ecological function (called “trophic level dysfunction,” *sensu* Steneck et al 2004). As a result, the next lower trophic level becomes both abundant and the new fisheries target (Steneck 1997; Lotze and Milewski 2004; Steneck et al. 2004). Such fishing down of food webs continues to this day, with many new fisheries emerging for distant global markets.

Recently, a variety of intertidal and subtidal seaweeds have been harvested for food and fertilizer, and a market for small, herbivorous, periwinkle snails has developed (Fig. 9.13). Temporal trends in fishing down foodwebs can be seen via fractional trophic-level analysis (Pauly et al. 2001), in which each harvested species is characterized by its trophic level grading, from 1 for primary producers (algae) and 2 for herbivores up to 4 or higher for apex predators (with the great white shark’s fractional trophic level being highest at 4.6). The trophic level of each species is weighted by its abundance in landings (Fig. 9.13). From this analysis we see that people consumed higher order carnivores for thousands of years until relatively recently (Fig. 9.13a). However, in the past several decades since predator extirpation, the harvested trophic levels have plunged (Fig. 9.13b). The rate of change fuels the growing concern that sea urchins, snails, and seaweeds may be incapable of sustaining the escalating pressures on them. It appears that the Gulf of Maine is experiencing accelerating trophic level dysfunction (Steneck et al. 2004).

The Gulf of Maine may be particularly vulnerable to trophic level dysfunction because its species diversity is naturally so low (Witman et al. 2004). The low diversity is the result of the North Atlantic being the youngest of the world’s oceans and the most battered by almost complete coastal glaciation every 20,000 years or so. Thus there are very few endemic species. Most of those present came from the North Pacific initially and from Europe and the eastern North Atlantic since New England’s last glaciation 18,000 years ago. The few hardy species that persist create more of a food chain than the more common food web with multiple species at each trophic level. Thus, when species richness is high, overfishing of one species may be compensated for by another functionally equivalent one in that trophic level. However, the Gulf of Maine does not have other taxa in some trophic levels. For example, the green sea urchin is the only important herbivore in the western North Atlantic (Steneck et al. 2002). Consequently, when the sea urchin fishery began in the late 1980s, the target was not just a herbivore, it was effectively the entire trophic level. In less than a decade, sea urchins have been extirpated over vast areas of the Gulf of Maine, causing the entire community of hundreds of species (most of them noncommercial) to be profoundly altered (Steneck et al. 2002). Some of these changes were predictable, such as the increase in kelp and other algae resulting from the loss of herbivory. Other changes are entirely unpredictable. For example, after the seaweed community changed, the habitat architecture also changed. What was once a featureless, encrusting, calcareous,



**Figure 9.13** Temporal trends in fractional trophic levels of harvested species over the past 43,000 years. (a) Entire record of trophic level (TL) analysis from archeological studies to the past three decades (in rectangle at far right of the trend line). (b) Expanded trend in fractional trophic levels since 1970. (Modified from Steneck et al 2004.)

algal-dominated bottom became a shag-carpet of red algae. This is an ideal habitat for settling crabs that would have been eaten by ever-present small fish without the algae in which to hide. However, swarms of baby crabs live in the algal shag carpet, and they consume virtually all of the settling urchin larvae. So, despite there no longer being any harvesting of sea urchins (because there is nothing to harvest), the population has not returned – it is locked in an alternate, algae-dominated, stable state.

Fishing down food webs in the Gulf of Maine has resulted in hundreds of kilometers of coast now having dangerously low biological and economic diversity. The trophic level dysfunction of both apex predators and herbivores leaves a coastal zone suited for crabs and especially lobsters – the latter attaining staggering population densities, exceeding one per square meter along much of the coast of Maine (Steneck and Wilson 2001). While the economic value of lobsters is high, this one species accounted for over 80% of the total value of Maine's fisheries in 2004. The remaining 42 harvested species account for the remaining 20% of the value. Thus, if a disease such as the one that decimated Rhode

Island's lobster stocks infects lobsters in the Gulf of Maine, the result will be socio-economic disaster. The fishing community has no other economically viable species to fish.

This and other examples worldwide of fishing down marine food webs (e.g. Pauly et al. 1998) indicate that over-exploitation is occurring at a very large scale and its impacts are escalating at an alarming rate. Whereas prehistoric indigenous Americans may have had thousands of years of sustainable harvests, we currently seem unable to have sustainable harvests and relatively stable marine communities for more than a few decades or even a few years (Fig. 9.13). The accelerating booms and busts – some of which become locked into unfavorable alternate stable states – are the antithesis of the tranquil stability we usually associate with our vast oceans.

## Summary

Exploitation of wild plants and animals is a fundamental human activity, although when it involves killing sentient species, especially birds and mammals, some people are uncomfortable with the idea. When exploitation becomes overexploitation (i.e. when our use of a population seriously threatens its viability or radically alters the natural community in which it lives), everyone should be uncomfortable with the idea, even those who readily accept the idea of killing other organisms. Human overexploitation has a long history, especially on islands, but that is no excuse for the abuses that persist today. The worst of these involve commercial exploitation, particularly because the market demand for wild organisms is enormous and the rarer a species becomes the more it is worth. Subsistence use of wild organisms is limited by the number of people living in rural areas and their needs, but still has the potential to threaten populations. Overexploitation can also result from incidental exploitation (catching species accidentally while harvesting other target species) and recreational exploitation (e.g. hunting, fishing, and, under some circumstances, nonconsumptive activities such as bird-watching). Besides reducing population size, overexploitation can have deleterious effects on the age, sex, and genetic structure of populations, and, when directed against keystone or dominant species, it can negatively affect whole ecosystems. Finally, when condemning overexploitation, it is important to think about the consumers of wild species – all of us – as well as those who earn their living harvesting wild life.

### FURTHER READING

For further information on prehistoric overexploitation see Martin and Klein (1984), Flannery (1995, 2001), and MacPhee (1999). For the historic period Mowat (1984), Matthiessen (1987), and Wilcove (1999) are interesting reading. Liddle (1997) and Oldfield (2004) provide accounts of the impacts of recreational exploitation and global trade, respectively. Safina (1998) offers a particularly compelling account of overexploitation in the sea. Check out the websites of Traffic, a group that monitors wild life trade ([www.traffic.org](http://www.traffic.org)) and the Bushmeat Crisis Taskforce, a group focused on commercial exploitation of wild animals for meat ([www.bushmeat.org](http://www.bushmeat.org)). Many conservation groups are concerned with overfishing; it is a major issue for the Ocean Conservancy ([www.oceanconservancy.org](http://www.oceanconservancy.org)).



**TOPICS FOR DISCUSSION**

- 1 Assuming that exploitation can be carefully controlled, should commercial exploiters of wild life (people doing it to make a living) have precedence over recreational exploiters of wild life (people doing it for fun)? Why or why not?
- 2 Imagine that you wished to obtain a large snake for an environmental education center where people would learn to see snakes in a positive light. Would you rather buy the snake from people who breed them in captivity or from people who collect them at a sustainable rate from a large forest that they own? Why?
- 3 One can blame commercial overexploitation on both the people who directly do the exploiting and those who buy the products, but which group deserves more of the blame? Does this change depending on the economic status of the people?
- 4 Many laws have been passed to regulate overexploitation. Try to think of some practices that might minimize the effects of overexploitation on the age, sex, and genetic structure of populations, as well as the effects of overexploitation on entire ecosystems.
- 5 What steps can consumers of wild life take to make sure their consumption is not contributing to over-exploitation?



## CHAPTER 10

# Invasive Exotics

Koalas and Australia, sequoias and California, piranha and the Amazon – the native flora and fauna of different parts of the world can be as distinctive as the various languages, cuisines, and religions that mark the diversity of human cultures. To some extent these relationships are based on the special habitat requirements of koalas, sequoias, and piranha, but this is not the whole story. Although most species are continually shifting their ranges, particularly in response to climate change, these movements are often impeded by barriers. The barriers may be as subtle as a change in temperature or salinity or as sublime as an ocean. They may be relatively short-lived like the sea-level changes that have separated North America from Asia and South America, or they may be relatively enduring like the isolation that has defined the Hawaiian islands since they began to rise from the ocean floor 27 million years ago.

Some barriers are very effective, making it a rare and chance event for an individual to cross the barrier – a seed carried on the wind for thousands of kilometers, a lizard or a crab clinging to a floating log – and far rarer still for some of these potential colonists to establish a population. If the isolation persists, new genes will arise and new species will probably evolve. Consequently, isolation is a critical factor that shapes the biodiversity of a place. It filters the biota of other places, allowing only a subset to become established locally, and it fosters the development of new elements of biodiversity.

In the past couple of thousand years, isolation has been diminished for many species. The worldwide movement of people, especially with the rise in maritime shipping in the past few hundred years, has created a new agent for moving biota around the globe and especially to formerly remote islands. Consider the Galápagos Islands, with no known native human presence, no human visitors until a few hundred years ago. Today approximately 60,000 tourists visit each year and there are some 16,000–20,000 local inhabitants. More than 1100 airline flights provide over 180,000 round trips, while five cargo ships bring some 55,000 tons of materials to the islands each year. Within the archipelago 100 vessels move between five ports, 50 visitor sites, and uncounted fishing areas, covering over 5 million kilometers a year (Gibbs et al. 1999). Obviously, this is a tremendous amount of human activity that directly or indirectly transports many other non-native life-forms with it. To put it another way, the rate at which biological communities are reshuffled as species move in and out of them through geographic range shifts has been greatly accelerated by human activities. Some species have been carried as passengers, others as stowaways. For example, on long voyages Vikings carried caged birds to release on the assumption

that if a bird flew off and did not return, land was near (Long 1981). No doubt, the Viking ships also had a large retinue of rats, lice, and fleas on board, plus barnacles and algae clinging to the hull.

*Exotic* is the adjective most commonly used by conservation biologists to describe a species living outside of its native range. However, you will often encounter the terms *introduced species*, *nonindigenous species*, and *nonnative species* and many botanists refer to *alien* or *adventive* plants. *Invasive species* is very common too; this term usually refers to exotic species that have successfully invaded (or are likely to invade) an ecosystem, causing significant ecological, economic, or human health problems. As we will see, most exotic species are not actually invasive. Some people define “invasive” to also include native species that exhibit these characteristics, but most people limit the term to exotics. In this chapter we will refer to “invasive exotics” because we focus primarily on species that are both exotic and invasive; the problem of overabundant native species is covered in Chapters 12 and 13. A final point on definitions: biologists consider a species to be exotic if it is outside of its natural geographic range (i.e. the geographic range it would occupy without human interference) regardless of political boundaries, while most nonbiologists are likely to call a species exotic if it is from a different nation or state.

## How Do Species Move?

### Stowaways

Many of the species that have been transported around the globe were stowaways, species that we would have gladly left behind. The Norway rat, house mouse, and black rat (often called the ship rat) come to mind first. In human terms, these three species cause billions of dollars in losses each year; they have also been major culprits in the extinction of many species, particularly on islands (Fig. 10.1). Conservation biologists often overlook microorganisms as invasive exotics, but the stowaways we carry in our bodies have had extraordinarily profound effects; for example, pathogens carried by European explorers and colonists have decimated native peoples around the world (Crosby 1986; Diamond 1997). Similarly, disease organisms afflicting wild life and domestic plants and animals have been spread far and wide by our activities (the rabies virus and chestnut blight to name just two of many).

Stowaways often go unnoticed because they are small and inconspicuous. Many insects have been spread widely, traveling as eggs and pupae on food, logs, and other objects. European earthworms probably arrived in North America in soil clinging to the roots of apple trees and other plants. (Most people do not realize that virtually all earthworms are exotic in Canada and the northern United States [Gundale et al. 2005; Hale et al. 2005]; presumably recent glaciers eradicated any native earthworms.) The roadsides of North America and New Zealand are dominated by plants from Europe such as dandelions, plantains, and certain thistles, most of which probably arrived as seeds in packing material or hay carried to feed livestock during voyages. A German researcher scraped the mud off a single car on four occasions during one growing season and 3926 seedlings of 124 species germinated in these samples (Schmidt 1989). Probably the greatest flood of exotic organisms involves small marine organisms – plankton and the planktonic offspring of larger species – that arrive by the millions in the ballast water of ships (Carlton 1985; Ruiz et al. 2000;



**Figure 10.1** Ships have spread Norway rats and black rats to virtually every corner of the earth, even remote islands, where they have caused hundreds, perhaps thousands, of extinctions and billions of dollars of losses for humans. (This photo of a black rat attacking a fantail nest was taken by David Mudge and provided by the Department of Conservation, New Zealand.)

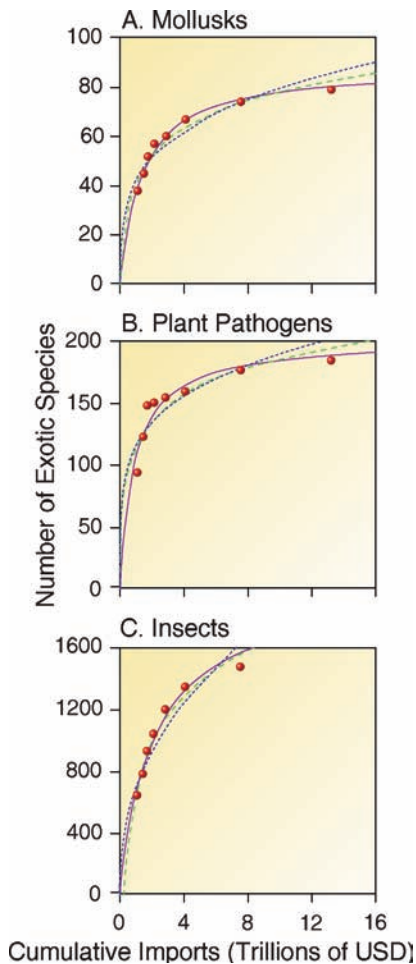
Ricciardi and MacIsaac 2000). Vessels departing for an oceanic crossing with little or no cargo take on huge volumes of seawater for stability. On arriving at their destination they discharge the water and along with it millions of small creatures. This is probably how the infamous zebra mussel spread from the Baltic Sea, eventually arriving in the United States and Canadian Great Lakes (Johnson and Padilla 1996; Ricciardi et al. 1998; Aldridge et al. 2004). Some marine organisms (algae and barnacles, for example) may be transported clinging to ship's hulls; however, with modern vessels, ballast-water stowaways are far more abundant and diverse. Carlton and Geller (1993) found 367 taxa of marine organisms in samples of ballast water collected from Japanese cargo ships arriving at an Oregon port.

It is one thing for governments to regulate deliberate introductions; it is far more difficult to control accidental introductions of stowaways. Thus the stowaway problem will almost certainly increase as transportation systems expand to accommodate the ever-growing trend toward open global markets (Levine and D'Antonio 2003) (Fig. 10.2).

## Subsistence and Commerce

Most deliberate attempts to mingle the world's biota have been motivated by our need for food, especially familiar food. Some of the earliest examples of this practice come





**Figure 10.2** International trade leads to invasion of exotic species as reflected in the relationship between imports of merchandise into the USA and the accumulation of exotic (a) mollusks, (b) plant pathogens, and (c) insects since 1920 in 10-year increments. Lines represent different species-accumulation models. USD are 1999 US dollars. (From Levine and D'Antonio 2003.)

from the Pacific Ocean, where Polynesians explored far and wide, bringing with them pigs, dogs, chickens, yams, sweet potatoes, bananas, and more (Diamond 1997). Colonists everywhere have brought their own domestic plants and animals with them, and often sent new plants and animals back to their homelands. Early during the European colonization of the New World, potatoes, tomatoes, corn, and turkeys went back to the Old World on the same ships that were introducing horses, pigs, wheat, bananas, and many other species. International shipments of live seafood continue to be a likely source of invasive exotics; one study found 24 exotic species of mollusk in seafood markets, of which 11 had established populations in nearby environments (Chapman et al. 2003a).

Species used for food dominate the list of planned introductions, but other needs have also prompted introductions. Exotic tree species have been planted widely as sources of lumber, fiber, and fuel, sometimes growing better than they did in their native environment (Richardson 1998; Petit et al. 2004). For example, Monterey pine, an uncommon species that is little used for lumber in its native California, is a prized plantation species in Australia and many other countries. Conversely, Australian eucalypts are common in California and elsewhere.

The consequences of these introductions are usually quite localized as long as these species remain domestic. However, some of these species escape into the wild; they become *feral*. Horses, donkeys, and pigs are now feral in many places in the New World, causing problems we will describe below. Sometimes, domestic animals have been released with the specific intent of establishing feral populations. Sailors released goats, pigs, and rabbits on many remote islands that had no native mammals so that they could shoot fresh meat on future visits.

Occasionally, wild species are imported for commercial or subsistence purposes. Red squirrels were introduced to Newfoundland to provide food for pine marten, a valuable species for fur trappers. Nile perch were introduced to Lake Victoria to bolster commercial fisheries (Witte et al. 1992a; Schindler et al. 1998). Escapees from fur farms have established populations in areas outside their native range: nutrias in the southeastern United States and Great Britain, American mink and raccoon dogs in Europe (Putman 1989).

## Recreation

Sport hunters and anglers have been very active in the planned introductions of exotic wild species. Anglers have been particularly ambitious in this regard, carrying fish by the bucket and truckload to water bodies all over the world (Rahel 2002; Cambray 2003) (Fig. 10.3). In California 50 of the 133 freshwater fish species are not native to the state, and sportfishing was the leading impetus for most of these introductions



**Figure 10.3** Game fishes have been introduced widely, sometimes by anglers carrying a bucket, sometimes by professionals using specially designed vessels and trucks. (Photo from the US Fish and Wildlife Service.)

(Moyle 1976b). It is probably fair to say that people have dumped new species of fish into almost every water body that they have visited regularly, including both game fish and smaller species introduced to provide food for game fish. One of the most disturbing examples of fish being introduced for sport involves the Green River in the southwestern United States (Holden 1991). When fish biologists decided to introduce rainbow trout and kokanee salmon in 1962, they first poisoned the river with rotenone to rid it of native “trash” fish (i.e. fish of little sport or commercial value). Some of these so-called trash fish, such as the Colorado squawfish, roundtail chub, and bonytail chub, are now listed as endangered species.

Among terrestrial creatures, game birds have been favorites for introductions. In Hawaii alone 75 different species of game birds (chiefly Galliformes, i.e. pheasants, quail, partridges, etc.) have been introduced, although only 17 species were successfully established (Long 1981). One of the world’s most popular game birds, the ring-necked pheasant, is now more common in Europe and North America than in its native range in Asia. Wild and domestic pigs are the same species, and between domestic individuals going feral and wild individuals being introduced by hunters, pig hunting is possible throughout much of the world.

## Whimsy or Aesthetics

*I'll find him where he lies asleep  
And in his ear I'll holler "Mortimer."  
Nay I'll have a starling shall  
Be taught to speak nothing but "Mortimer."*

These lines, spoken by Hotspur in Shakespeare's *King Henry IV*, Part I, are the reason why there are millions of starlings in North America. In the 1890s Eugene Scheiffelin, a New York man who loved both birds and Shakespeare, decided that it would be fun to introduce to the United States all of the bird species named by Shakespeare (Laycock 1966). Most of his attempts failed, but with the starling, mentioned only once by Shakespeare, he was overwhelmingly successful.

Acclimatization societies – social groups whose sole purpose was to introduce new species – were quite popular among European colonists during the late nineteenth and early twentieth centuries. Indeed, in New Zealand, many of these groups are still active, although they have changed their names to Fish and Game Councils to recognize their broader interests and in deference to the negative side of introducing exotics. To a large degree these groups were motivated by a love of nature and nostalgia for the species they left behind in Europe, and European songbirds were their favorite subjects. On the whole they were not very successful, with notable exceptions like the starling, but in New Zealand they had, from their perspective, good luck. A naturalist traveling through New Zealand today will see far more songbirds native to Europe than New Zealand songbirds.

Importing plants because of their ornamental beauty and importing animals as pets could be classified as motivated by aesthetics or commerce or recreation. Most ornamental plants have not succeeded in escaping from gardens, but quite a few have, such as the purple loosestrife, which is spreading at a rate of 100,000 ha per year (Li et al. 2004). Most exotic pets soon die when they escape or are released from captivity. However, there are many exceptions: for example, many species of parrots and tropical fishes that are well established far from their native range (Semmens et al. 2004). Incidentally, those “myths” about finding alligators in the New York City sewer system are true, although they probably do not survive the winters.

## Science

To study species closely scientists often establish breeding colonies in their laboratories. Sometimes these species are from outside their native range, and sometimes they escape. The gypsy moth is probably the most notorious example of this. It is now widespread in forests of the United States after escaping in 1869 from the lab of a scientist who imported it from Europe, hoping to develop a silk industry in New England (Forbush and Fernald 1896). Not far away a visiting scientist at the Marine Biological Laboratory at Wood's Hole, Massachusetts, released a species of sea squirt, *Botrylloides diegensis*, in 1973, and the species has now usurped space on hard marine substrates throughout southern New England (Carlton 1989).

The interplay between scientists and exotic species also raises the prospect of creating and distributing whole new “species” through genetic engineering. Could a “super-tomato” ever lead to a “superweed”? This technology raises some significant concerns that have many parallels to the invasive exotics issue (Pilson and Prendeville 2004).

## Biological Control

Many exotic species have been introduced to control invasive exotics that were introduced earlier. Sometimes, this practice works quite well, even though it is making the best of a bad situation. Notably, entomologists have been able to completely control scores of exotic insect pest species, and partially control many more, by visiting the



native range of the pest species, finding a predator, parasite, or pathogen that attacks the pest, and then introducing this species (Hajek 2004; Hoddle 2004).

Unfortunately, poorly planned introductions often make a bad situation worse (Howarth 1991; Myers et al. 2000a; Strong and Pemberton 2000; Louda et al. 2003). Rats and rabbits introduced to islands can reach plague proportions, but introducing their predators (e.g. stoats, ferrets, and weasels in New Zealand and mongooses in Hawaii and the West Indies) was worse than useless (King 1984). The rats and rabbits proved largely immune to the predators, but the predators wrought havoc on other species, notably ground-nesting birds. In Australia, red foxes were introduced initially for recreational hunting but have had some effect on introduced rabbits; unfortunately, the foxes are far more effective as predators on native marsupials and ground-nesting birds (Fig. 10.4). In the Society Islands of the South Pacific, a predatory snail, *Euglandina rosea*, was introduced in 1977 to control the giant African snail, which had been introduced earlier to provide escargot for French colonists, but then began wreaking havoc on crop plants. Today, the African snails persists, but *Euglandina* has eradicated 56 of the 61 species of tree snails native to the islands, leaving only five species living in the wild plus 15 more that survive only in captivity (Coote and Loeve 2003).

The difference between successful and unsuccessful biological controls may depend on introducing exotic predators, parasites, or pathogens that are completely dependent on the host species that you are trying to control.

## Habitat Change

When we think of exotics we usually think of species actually transported by people, deliberately or accidentally, but we could also include species that were able to expand their ranges themselves because of human changes to the environment. For example,



**Figure 10.4** In Australia, eastern barred bandicoots (shown here), other small marsupials, and ground-nesting birds have been severely affected by predation by foxes. (Photo from Vertebrate Pest Research Unit, Department of Primary Industries, Victoria, Australia.)



construction of the Welland Ship Canal allowed sea lampreys, a parasitic fish, to bypass Niagara Falls and invade the upper Great Lakes (Smith 1968) (Fig. 10.5). When the Suez Canal was opened in 1869, it permitted many species from the Red Sea to invade the eastern Mediterranean. Similarly, construction of a sea-level canal across the isthmus of Panama could allow a large-scale exchange of Pacific and Atlantic species.

Under this definition, the coyote, mallard, brown-headed cowbird, and a host of prairie plants (especially members of the aster and grass families) are exotic species in the eastern United States because opening the eastern forests for agriculture allowed them to expand their ranges from the west (Brothers 1992). In the case of the coyote, this process was facilitated by our extirpation of wolves, which can compete with coyotes.

## Impacts of Invasive Exotics

Look out the window, and there is a good chance you will see more exotic species than native ones: exotic grasses, shrubs, trees, perhaps an exotic bird on the sidewalk, or an exotic fly on the window. Many exotic species are living in environments so completely manipulated by people that their direct impacts on native biota are not very severe. Unfortunately, there are many exceptions to this generalization. Indeed, at least one assessment of the problems facing endangered species identified invasive exotics as the single most pervasive issue, affecting 305 out of 877 listed species in the United States (Czech et al. 2000). Equally dramatic is an estimate of the total economic and environmental cost of invasive exotics – US\$335 billion – for just six countries (Australia, Brazil, India, South Africa, the UK, and the US; Pimentel 2002). In this section we will review some of the ways in which invasive exotics jeopardize other species and whole ecosystems.

**Figure 10.5** After sea lampreys used the Welland canal to bypass Niagara Falls and enter the upper Great Lakes, two of their host species, the deep-water cisco and blackfin cisco, became extinct and the lampreys continued to forage on other fish such as the lake trout shown here. (Photo from Great Lakes Science Center, Hammond Bay Biological Station.)



## Predators and Grazers

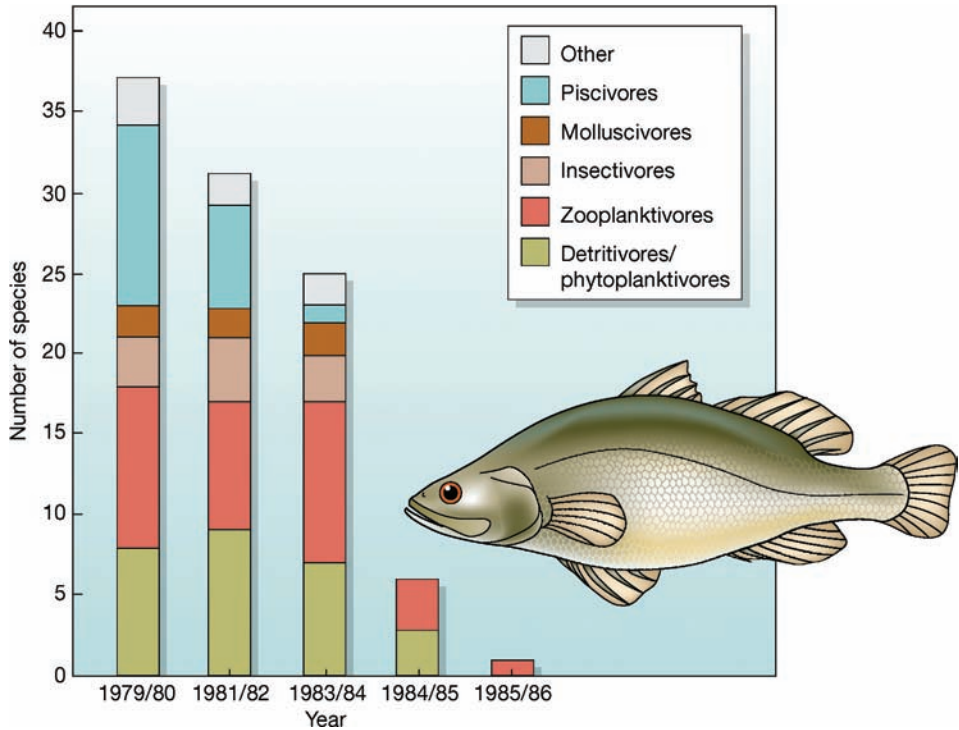
It is easy to understand the impacts of exotic species when an introduced species kills and eats native species. A particularly infamous anecdote about this comes from Stephen's Island, an islet between the North and South Islands of New Zealand. A lighthouse keeper stationed there in 1894 kept a cat, and as a hobby he prepared study skins from the birds his cat killed and mailed them to the British Museum of Natural History. Some time later a letter arrived from London telling him that his cat had collected a species new to science, the Stephen Island wren, but by then the wren was extinct, apparently wiped out by a single cat (Fig. 10.6). A more recent example comes from Guam, where the brown snake, accidentally introduced from Australia or New Guinea, has extirpated 11 of the island's 18 native species of birds, lowered populations of six more native birds, and extirpated three to five lizard species (Fritts and Rodda 1998; Wiles et al. 2003). Probably the most dramatic loss of vertebrate species in historic times involved an exotic predator. In East Africa's Lake Victoria over 200 fish species were extirpated following a population explosion of the exotic Nile perch (Witte et al. 1992a) (thankfully some portion of these probably persist in satellite water bodies [Chapman et al. 2003b]). These losses had profound effects on the entire trophic structure of the lake ecosystem (Fig. 10.7) (Witte et al. 1992b; Goldschmidt et al. 1993). Finally, predation by exotic fishes is one important ingredient in the stew that is causing a global decline in many amphibian species (e.g. Denoel et al. 2005).

From an economic perspective, introduced insects that consume crop plants are among the most destructive exotic pests. Witness the Mediterranean fruit fly, the boll weevil, the corn borer, and other insects that cause billions of dollars in damage despite massive campaigns to control them (Pimentel 2002). From a biodiversity perspective, the most destructive exotic herbivores have probably been generalist species such as goats, pigs, and rabbits introduced to islands (Courchamp et al. 2003; Cruz et al. 2005; Campbell and Donlan 2005). Two biological treasures – the Galápagos and Hawaiian archipelagoes – are particularly poignant examples of what invasive herbivores can do to islands (Schofield 1989). Because many islands have evolved a unique flora of species that are not adapted to being preyed on by large herbivores (e.g. no thorns), island plants have been hard hit by mammalian herbivores. Furthermore, because plants are



**Figure 10.6** Perhaps the most ironic victim of an exotic species was the Stephen Island wren, apparently wiped out by a single cat brought to the island by a lighthouse keeper.

**Figure 10.7** The introduction of Nile perch to Lake Victoria led to the extirpation of over 200 species of fish and significant changes in the lake's food web. (Redrawn by permission from Witte et al. 1992b.)



dominant species in most ecosystems, the consequences of overgrazing by herbivores can easily extend well beyond the plants that are being eaten. Recall Round Island from Chapter 8, where introduced rabbits and goats degraded the vegetation so badly that the whole island was eroding into the sea. Two species of reptiles became extinct, and three others, as well as ten species of plants, were at risk before the rabbits and goats were removed (North et al. 1994).

### Parasites and Pathogens

Exotic parasites and pathogens have a tremendous potential to afflict native biota; try thinking of them as incredibly abundant tiny predators feeding on the protoplasm of other species, sometimes with lethal consequences. The history of human diseases, especially smallpox and measles, provides plenty of examples of what the introduction of an exotic pathogen can do (Crosby 1986; Diamond 1997). Suffice it to say that European colonists killed far more people in Australia and the Americas with their diseases than with their guns. Throughout Europe and North America the chestnut blight has invaded from Asia, reducing the American and European chestnuts (which were once major components of temperate deciduous forests) to a few sickly specimens incapable of reproducing except by sprouts (Griffin 2000). Two introduced diseases afflicting birds, avian malaria and avian pox, are suspected to have played a major role in the extinction of several Hawaiian birds (Van Riper et al. 1986; Atkinson et al. 2005) and West Nile virus and avian influenza are of great concern because of threats to both birds and people (Spielman et al. 2004; Normile 2005).



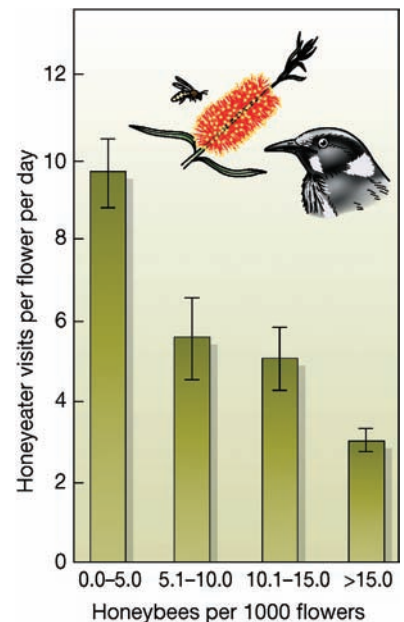
In most cases exotic parasites and pathogens arrive in or on exotic hosts, not all by themselves. For example, avian malaria probably arrived in the Hawaiian islands in the early 1900s, carried by exotic birds imported from Asia, although its primary vector, the mosquito *Culex quinquefasciatus*, was introduced in 1826 (Van Riper et al. 1986). Consequently, keeping out exotic plants and animals is probably the most effective way of keeping out their parasites and pathogens. There is at least one exception to this generalization: ballast water discharges can introduce a cocktail of exotic marine microbes (Ruiz et al. 2000).

This issue also argues for careful scrutiny of species introduced as biological control agents (Myers et al. 2000b; Strong and Pemberton 2000). For example, over 100 species of parasites, pathogens, and predators have been imported to the United States in an attempt to control gypsy moths. Many of these are likely to afflict a wide spectrum of butterflies and moths, and it has been shown that some species such as the cecropia moth do suffer high mortality because of species introduced for biological control (Boettner et al. 2000).

## Competitors

The effects of invasive exotics as competitors are most conspicuous with plants and other sedentary species. Some exotic species (e.g. kudzu, zebra mussels, purple loosestrife, water hyacinth) can become so extremely abundant that competition is evident in terms of a basic resource, space, which of course is closely tied to competition for water, nutrients, light, and so forth. Competitive exotics may dramatically affect the relative abundance of native species, but fortunately competition from exotics seems less likely than predation to drive a native species all the way to extinction (Davis 2003; Houlihan and Findlay 2004).

Exotic-native competition can also occur between mobile animals where space is not an issue. For example, gray squirrels and American mink from North America have displaced red squirrels and European mink from large areas of Europe (Bryce et al. 2002), and various exotic ants are replacing native ants in many parts of the world (Holway et al. 2002). Sometimes, competition for a single resource can be the key concern, as when European starlings displace parrots from nest cavities in Australia (Pell and Tidemann 1997) or sapsuckers in the United States (Koenig 2003). Even species that are very different taxonomically may be brought into competition for a single resource. In New Zealand exotic wasps consume large quantities of honeydew secreted by a scale insect, *Ultracoelostoma assimile*, and they may have contributed to the decline of kakas, an endangered species of parrot that used to be highly dependent on the honeydew (Beggs 2001). Honey bees, native to Europe, have been introduced widely and are known to compete with native insects and birds for nectar and pollen. Moreover, they are not as likely to pollinate many native plants because their morphology and behavior are different from the pollinators with which the plant evolved (Paton 1993; Hansen et al. 2002; Goulson 2003; Kato and Kawakita 2004) (Fig. 10.8).



**Figure 10.8** The rate at which New Holland honeyeaters visited *Callistemon rugulosus* flowers decreased as the abundance of exotic honey bees increased. (Redrawn by permission from Paton 1993.)



## Hybridization

Some introduced species are so closely related to a native species that they can interbreed and produce hybrids. Consider the mallard, a duck that has been introduced widely by sport hunters and whose range has been expanded by conversion of natural ecosystems into agricultural lands. In captivity mallards have interbred with at least 40 other species. In the wild, mallards have interbred with both ducks that are usually recognized to be distinct species (e.g. mottled ducks and American black ducks), and with ducks that are sometimes considered separate species and sometimes subspecies (e.g. Mexican ducks and Hawaiian ducks) (Williams et al. 2005). Some of these ducks are declining, and it is feared that they could eventually disappear, replaced with mallards and mallard hybrids. Similar stories could be told for many rare fishes (Moyle 1976b; Rosenfield et al. 2004), mammals (Greig 1979), and especially plants (Ellstrand 1992; Levin et al. 1996). Conservationists are also concerned about the movement of genes from domestic species to their wild relatives, especially since the advent of *genetically engineered* or *genetically modified organisms* (GEO or GMO) (Ellstrand et al. 1999; Pilson and Prendeville 2004; Snow et al. 2005). (See Rhymer and Simberloff [1996] and Mallet [2005] for reviews.)

This process is often called genetic swamping because the genes of one species come to dominate a common gene pool, largely excluding the genes of the second species. You could argue that genetic swamping is simply the result of natural selection and that two species that interbreed readily when brought into contact were not true species in the first place. On the other hand, if taxonomists had identified the two groups as distinct species, it probably means that they were relatively isolated and morphologically distinguishable. Thus, given a longer time to evolve in isolation, it is likely that they would have become incapable of interbreeding; in other words, we may be curtailing the process that produces new species. In a sense, we may have caused a species to become extinct shortly before it came into existence.

The impacts of hybridization usually fall on the native species that is being overwhelmed by exotic genes, but in at least one case entire ecosystems are being affected. The common reed is a species naturally found on all continents except Antarctica that became far more abundant in North America after invasion of a genotype common in Eurasia (it now dominates many wetlands, particularly disturbed wetlands) (Saltonstall 2002).

## Ecosystem Effects

The consequences of a biological invasion can reach far beyond the individual species that must cope with a new predator, competitor, pathogen, or parasite. Invading species can alter a variety of ecosystem properties, such as productivity, nutrient cycling, natural disturbance regimes, and soil and vegetation structure. Recall that the Nile perch invasion of Lake Victoria disrupted the trophic structure of the lake (see Fig. 10.7) and that the rabbits and goats on Round Island precipitated soil erosion that profoundly degraded the island ecosystem. New Zealand stream ecosystems with populations of exotic brown trout have a lower density and biomass of insects, a higher biomass of algae, and altered nitrogen dynamics compared with streams with native fishes (Simon et al. 2004). Exotic plants can also change entire ecosystems in many ways (Vitousek

1986; Mack et al. 2000; Crooks 2002; Brooks et al. 2004a). For example, nitrogen-fixing exotic plants can significantly alter the soil chemistry of the environments they invade; fire-prone exotic plants can allow fires to burn more extensively; floating aquatic weeds can blanket aquatic ecosystems, profoundly changing water chemistry; exotic plants with deep root systems and high rates of transpiration can lower water tables; and changes in vegetation structure can profoundly alter the habitat of animals. In some cases these changes can lead to the invasion of additional exotics; for example, an exotic tree in Hawaii increases soil fertility by fixing nitrogen, thereby facilitating the invasion of further exotic species (Vitousek et al. 1987).

Two final thoughts on the impacts of invasive exotics. First, while the impacts of exotic species are unquestionably enormous it is very difficult to definitively prove that an exotic species has driven a native species into global extinction (Gurevitch and Padilla 2004); evidence runs from very strong in the case of exotic predators on islands (e.g. Blackburn et al. 2004) to almost non-existent in the case of exotic competitive plants (Davis 2003). Second, this review has focused on the ecological consequences of invasion but there are enormous direct impacts on humans too, especially through agriculture and human health (Pimentel 2002; Lounibos 2002).

## Success Rates

Why are some species more successful invaders than others? Why are some ecosystems more susceptible to invasion than others? These questions have long fascinated ecologists because in answering them we may gain insights into the basic structure of ecosystems, especially the interactions among species (Elton 1958).

Only a minority of individual plants and animals that are transported to a new site become established and fewer still become invasive pests. This idea has been codified in the “tens rule”: roughly one in ten transported species escapes to the wild, only one in ten escaped species becomes established, and only one in ten established species becomes invasive (Williamson and Fitter 1996a). As with all such coarse rules, exceptions are common (e.g. a recent analysis found success rates of one in two for vertebrate introductions between Europe and North America [Jeschke and Strayer 2005]), but it does convey the important idea that every stray seed or insect found in a packing crate is not destined to become a noxious pest. For a start, there usually needs to be a sizable number of individual invaders (Lockwood et al. 2005). For example, an analysis of exotic birds in New Zealand found that introductions using over 100 individual birds were more likely to be successful than small ones, probably for all the reasons we discussed in Chapter 7 (Green 1997).

Examination of success rates reveals one particularly interesting pattern: introduced species usually fare better on islands. One likely explanation is that most islands have relatively few species (for reasons explained in Chapter 8), and that means an introduced species has fewer competitors, predators, parasites, and pathogens with which to cope (Elton 1958). A corollary to this idea is the possibility that, because many island species have evolved in an impoverished biota, they are less efficient at being competitors or at avoiding being prey (Huston 1994). The idea that low species richness might predispose an ecosystem to invasion has also been supported in some

controlled experiments, especially in grasslands (e.g. Fargione and Tilman 2005). On the other hand, some studies have not found diverse communities to be more resistant to invasion, especially when confounding factors were considered (Marchetti et al. 2004; Smith et al. 2004; Hooper et al. 2005).

Exotic species seem to be particularly common in disturbed ecosystems (Elton 1958). For example, the flora of roadsides is often dominated by introduced plants, and degraded aquatic ecosystems are often dominated by introduced fishes (Marchetti et al. 2004; Pauchard and Alaback 2004; Hansen and Clevenger 2005). However, there are notable exceptions to this generalization, such as the mammals that have overrun some pristine island ecosystems (King 1984; Hobbs 1989).

If disturbed ecosystems are particularly vulnerable to invasion, it seems logical to predict that species that are adapted to disturbed ecosystems (what ecologists would call early-successional colonizers) will thrive as exotic species (Bazzaz 1986). Similarly one would predict that invaders will tend to be abundant species, tolerant of a wide range of conditions with a high reproductive potential (Williamson and Fitter 1996b). In practice, these generalizations do not hold up particularly well under close scrutiny and we are still not very good at predicting which species are likely to become invasive (Daehler 2003; Duncan et al. 2003).

One observation about invading species is not intuitively obvious: species originating in Europe have been especially successful as exotics. Charles Darwin remarked that European plants were very common in the American countryside in a letter to the botanist Asa Gray: “Does it hurt your Yankee pride that we thrash you so confoundedly?” Jane Gray, Asa’s wife, responded in kind, observing that American plants were “modest, woodland, retiring things; and no match for the intrusive, pretentious, and self-asserting foreigners” (Crosby 1986). Alfred Crosby (1986) has argued that the success of European species is the result of coevolution and synergism. When European people began exploring the globe and profoundly disrupting native ecosystems with their guns, plows, steel axes, livestock, crop plants, weeds, and diseases, these ecosystems were opened up to a whole suite of coevolved species. A new twist on this story has emerged with the discovery that at least one European plant, the diffuse knapweed, exhibits *allelopathy* in North America (i.e. it releases chemicals into the soil that suppress neighboring plants), but not in its native range (Callaway and Aschehoug 2000). Apparently, at least some of the species that share its native range have evolved a tolerance to its chemicals.

In summary, there are some broad patterns to the relative success of different introduced species and to the relative ease with which different ecosystems are invaded; however, exceptions are common. Understanding these patterns better would help conservationists to focus management efforts on the species most likely to be invasive and the ecosystems most likely to be invaded.

## Irony

It is easy to lament the bad luck that distributed Norway rats and the AIDS virus around the world and the capricious stupidity that brought starlings. However, are we hypocrites for condemning these species and planting a cosmopolitan array of vegetables in our gardens? Why do some government agriculture and health agen-

cies spend millions of dollars on quarantines to keep out exotic pests, while some fish and game agencies spend millions raising and releasing game fish and birds in places outside their native range? Of course, the answers to these questions come down to values and to the truth of the well known aphorism from George Orwell's *Animal Farm*: "All animals are created equal, but some animals are created more equal than others."

It is usually easy for conservation biologists to write off agricultural lands and backyard gardens and to strongly condemn any exotic species living in a natural or semi-natural ecosystem. Nevertheless, difficulties do arise. Think about the following examples.

In California's Angel Island State Park there are groves of Australian eucalypts that park managers wanted to cut so that the sites could be restored to native grasslands, shrublands, and oak woodlands (Westman 1990). Environmentalists protested the decision because the eucalypts provide important habitat for native animals, notably migrating monarch butterflies. What do you think?

A subspecies of the greater prairie chicken, the heath hen, lived along the Atlantic seaboard from New England to Virginia until the early twentieth century, when it succumbed to habitat loss, overhunting, and other problems. With some parts of the heath hen's habitat now protected, should we replace it by introducing a subspecies of the greater prairie chicken that still survives in the Midwest?

In the high-elevation shrublands of Hawaii's Haleakala National Park, exotic ring-necked pheasants and chukar partridges are the dominant birds (Cole et al. 1995). Should they be removed from the park even though their diets suggest that they are filling the ecological niche of extinct birds and helping to disperse native plants?

Given that horses and burros are exotic species in North American grasslands, should we continue spending millions of dollars caring for wild populations, instead of letting them join the many domestic equines that are used for pet food? Alternatively, does the fact that wild equines lived in North America for most of the past 50 millions years (until pushed into extinction just 10,000 years ago by Pleistocene hunters) make it more acceptable to let feral horses and burros run free? On a larger scale, what do you think of the proposal (Donlan et al. 2005) to recreate a semblance of the Pleistocene mammal fauna of North America by establishing populations of cheetahs, lions, elephants, camels, horses, and asses in large "Pleistocene Parks"?

If you could wave a magic wand and eliminate any exotic population, are there any nondomesticated species that you would spare? If so, why?

Because people have been moving species for millennia, it is often difficult to tell whether a species is exotic or native without careful study of archeological, historical, geographic, ecological, and genetic evidence. Species are generally assumed to be native unless proven otherwise, and thus there are probably far more exotic species than we realize. Should we undertake research to identify and control these hidden exotics?

Finally, and most importantly, do you accept the general idea – exemplified by the case history from Clear Lake told in Chapter 2 – that an ecosystem that has more species following biological invasions is less desirable than a natural, uninvaded ecosystem with fewer species?



## CASE STUDY

## Exotics in New Zealand<sup>1</sup>

About 65–90 million years ago a relatively small piece of the earth's crust broke away from the rest of Gondwana, the ancient southern continent, and went drifting off to the east by itself. New Zealand's departure left it with only a subsample of the species then inhabiting Gondwana, and later, as new life-forms evolved elsewhere, very few of these ever made it across the Tasman Sea that separates Australia and New Zealand. For example, while mammals were becoming a dominant group in the rest of the world, New Zealand was colonized only by a few mammals that could fly or swim, namely some bats, seals, and cetaceans. This long period of isolation allowed New Zealand's biota to evolve into many new species, uniquely adapted to a biological environment that was profoundly different from the rest of the world. It was generally a benign environment with abundant rainfall, mild temperatures, and rich soils, a land free of many of the competitors and predators found elsewhere. Moas and eagles seemed to fill the niche of large mammalian herbivores and carnivores, respectively, while large, flightless insects seemed to be the ecological equivalents of small mammalian herbivores. Many species were uniquely New Zealand's; for example, over 80% of the native plants are endemic.

New Zealand's biota remained sheltered by isolation for a very long time, until about 700–800 years ago – yesterday on the time scale of evolution – when Polynesian colonists arrived. Colonizing a land that had been devoid of virtually all mammals for 60 million years, you certainly could argue that humans were an exotic species in New Zealand. However, let us focus on the other species introduced by people. The Polynesians, whose descendants are called Maoris, brought some species with them, and one, the kiore or Polynesian rat, had a profound effect, causing local or total extinction of many insects, land snails, lizards, frogs, bats, and birds (Fig. 10.9). The kiore's impact is difficult to appreciate today because, with the beginning of European colonization in the late eighteenth century, it was reduced to being just the vanguard of a mammalian invasion that ultimately involved 54 species. These range from the small and inevitable (e.g. house mice, Norway rats, black rats) to the large and improbable (e.g. various species of deer and wild goats). Seven species of marsupials came from nearby Australia; many more mammal species arrived from Europe. The invaders include herbivores, notably the brush-tailed possum, that have devastated forests; they include carnivores, notably ferrets, stoats, and feral cats, that have devastated the native fauna. Most of the introduced species never became well established, but 14 of them did, and now the overall abundance of mammals is relatively high compared with other parts of the world. Some exotic mammals have penetrated the most remote, uninhabited corners of New Zealand and thus are an exception to the generalization that exotic species usually become established only in disturbed ecosystems.

A naturalist traveling in New Zealand today will see few wild or feral mammals because most of them are shy and nocturnal. The predominance of European birds and plants across most of the countryside is what strikes visiting naturalists. The smaller New Zealand birds that survived hunting by the Maori (recall the preceding chapter) have, for the most part, been pushed into residual patches of habitat by deforestation and exotic predators. Indeed, quite a few species, such as the saddleback, stitchbird, and black robin, survive only on some small islands where conservation biologists have been able to eradicate exotic mammals, especially rats. In their place one sees blackbirds, chaffinches, goldfinches, and many other exotics, chiefly from Europe.

Most of New Zealand's forest ecosystems have been converted to open lands by Maori farmers and European sheepherders and now support roughly 43 million sheep. Thus it is hardly surprising that exotic, early-successional plants are a dominant part of the vegetation. Consider this quote from Julien Crozet, an early explorer: "I planted ... wherever I went – in the plains, in the glens, on the slopes, and even on the mountains; ... and most of the officers did the same." Exotic trees grown in plantations and exotic grains, fruits, and vegetables occupy significant parts of the landscape too. Even the plant most people associate with New Zealand, the kiwi fruit, is an exotic species. Natural forests are relatively free of exotic plants, but many ecosystems that appear natural – floodplains, lakes, and sand dunes, for example – have large numbers of exotics. Currently, New Zealand has about 2300 native species of vascular plants and 2071 wild



**Figure 10.9** The giant weta, a huge flightless insect weighing up to 70 grams, is one of many native New Zealand animals that probably declined soon after Polynesian colonization of the island brought the kiore, or Polynesian rat, to the islands. (Photo from C. R. Veitch, Crown Copyright, Department of Conservation, New Zealand.)

exotics. Perhaps more importantly, it also has thousands of species in cultivation, and the process of invasion is still continuing. Numerous exotic insects have also arrived with the exotic plants and caused their share of problems; recall the kaka-versus-wasps story told above. From an invertebrate conservation perspective, the best known losses center on spectacular, giant, flightless insects such as various wetas that have been eliminated by rats (Fig. 10.9).

New Zealand conservationists are engaged in a valiant effort to make the best of a bad situation. They have set aside the vast majority of their remaining natural ecosystems, and they have undertaken many ambitious campaigns to eradicate invasive exotics from some smaller islands and to restore them as microcosms of the unique ecosystems that used to cover the main islands. Lately, they have even carried this restoration campaign to the two main islands with some notable success (Gillies et al. 2003). Some of the most impressive stories of conservation biology in action have come from New Zealand; we will review one of them in Chapter 13, “Managing Populations.”

**1** This account was distilled primarily from Crosby (1986), King (1984, 1990), Towns et al. (1990, 1997), Wardle (1991), and David Norton (personal communication).

## Summary

Isolation has been a critical factor in shaping the evolution and distribution of species, but human activities have often broken down the barrier of isolation, allowing exotic species (also known as introduced, alien, nonnative, and nonindigenous species) to occupy areas outside of their natural geographic ranges. Some of these species have flourished and caused serious problems and thus are called invasive exotics. Many species have been moved by accident: for example, as stowaways in ships and as parasites or pathogens on other organisms deliberately moved by people. Motivations for deliberately moving species to new areas include commerce, subsistence, recreation, science, attempts to control invasive exotics established earlier, and simple whimsy. Some species have been able to extend their natural range because of human-induced habitat changes, and these may also be considered exotic species. The effects of invasive exotics have been diverse and profound, especially on islands. Some populations have become extinct and many have been severely reduced because of predation, competition, disease, parasitism, and hybridization associated with exotic species. Some entire ecosystems have been altered. Exotic species seem to be particularly successful at invading islands and disturbed ecosystems. Managing exotic species raises many interesting questions that challenge purist views of what is natural.

### FURTHER READING

Dozens of books have been written about invasive exotics: some classics that we recommend are Elton (1958), one of the earliest books on the subject; King (1984), which focuses on the introduction of mammals to New Zealand; and Crosby (1986), which examines the European invasions of Australia, New Zealand, and the Americas. For a short overview, see Mack et al. (2000), and for popularized treatments, see Bright (1998) and Burdick (2005). Three websites – [www.invasive.org](http://www.invasive.org), [www.issg.org](http://www.issg.org), [www.invasivespeciesinfo.gov](http://www.invasivespeciesinfo.gov) – and a journal, *Biological Invasions*, are devoted to this issue.

### TOPICS FOR DISCUSSION

See the section entitled “Irony” for some thought-provoking questions to discuss.







## PART III

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# Maintaining Biodiversity

Unless another large meteorite slams into the earth between the time these words are written and when you read them, it is reasonable to trace most threats to the earth's biodiversity back to human causes. Because of this, some people feel that the best way to diminish our effect on biodiversity is to leave it alone. In other words, we could simply arrest our population growth, reduce our use of resources, and withdraw from large stretches of the planet, leaving the other biota to operate without us. This would substantially diminish the overall threat to biodiversity, but it is not realistic. In practice, we need to work with existing social, political, and economic systems, trying to change them from within to make them more compatible with existence of all life on earth (the subject of the book's last section, Part IV). Societies can be changed over decades or centuries; unfortunately, this is not fast enough. We must also attack the problem of maintaining biodiversity directly and quickly because species are being lost now. In Part III we will examine the things that can be done on the ground, in the field, out in the wild places, to maintain biodiversity by protecting and managing ecosystems (Chapters 11 and 12) and populations (Chapter 13). In Chapter 14 we will discuss the role zoos, aquaria, and botanical gardens can play in maintaining biodiversity, especially their role as insurance against the possibility that our efforts in the field may not succeed.



## CHAPTER 11

# Protecting Ecosystems

Conservation biologists are fairly skilled at looking at the big picture, at seeing forests, not just trees. They understand that we cannot maintain genetic diversity without maintaining species diversity and that we cannot maintain species diversity without maintaining ecosystem diversity. They know that we cannot think about a species in isolation; we have to be concerned about the whole suite of interacting species and environmental features that constitute its habitat. As Shakespeare's Shylock, the merchant of Venice, said "You take my life when you take the means whereby I live."

When biodiversity advocates think about ecosystem conservation, they usually think first about reserves. In particular, they are likely to focus on protecting a cluster of ecosystems that are representative of the region's ecological diversity and thus are likely to contain a large portion of a region's species. This is the coarse-filter strategy of maintaining biodiversity (recall Fig. 4.6). In this chapter, we will consider the strategies conservationists employ to protect natural ecosystems (i.e. ecosystems that are little changed by people) by establishing and managing reserves.

Most conservationists also recognize that protecting some exemplary natural ecosystems is not enough. We must look beyond the boundaries of reserves to the ecosystems that form the larger matrix in which reserves are imbedded, especially those seminatural ecosystems in which we can integrate management for biodiversity and management for commodities such as timber, livestock, and fisheries. In most parts of the world, seminatural, cultivated, and urban ecosystems cover a far greater area than protected ecosystems and their management will be covered in Chapter 12, "Managing Ecosystems." The idea that some places should be protected from the usual gamut of human uses goes back at least 3000 years to Ikhnaton, king of Egypt, and probably earlier to sacred mountains and groves unrecorded by history (Fig. 1.2; Alison 1981). It is hard to know why such places were selected for protection and exactly what types of protection were enacted. In this chapter we will consider three contemporary issues regarding protecting ecosystems: selecting particular ecosystems to be protected; designing a reserve for those ecosystems; and managing a reserve after it is established. Natural places protected from most human activities may have many names: parks, refuges, sanctuaries, wilderness areas, preserves, and more (Table 11.1). Sometimes, these different names reflect different management goals and strategies, and, sometimes, they simply reflect the ambiguity of language. We will use "reserve" as a generic term for areas in which natural ecosystems are protected from most forms of human use; "protected area" is another common generic term.

|              |  |
|--------------|--|
| Category Ia  | <p>Strict nature reserve: protected area managed mainly for science. (4731 units covering 1,033,888 km<sup>2</sup>)</p> <p><i>Definition:</i> Area of land and/or sea possessing some outstanding or representative ecosystems, geological or physiological features and/or species, available primarily for scientific research and/or environmental monitoring.</p>  |
| Category Ib  | <p>Wilderness area: protected area managed mainly for wilderness protection. (1302 units covering 1,015,512 km<sup>2</sup>)</p> <p><i>Definition:</i> Large area of unmodified or slightly modified land, and/or sea, retaining its natural character and influence, without permanent or significant habitation, which is protected and managed so as to preserve its natural condition.</p>  |
| Category II  | <p>National park: protected area managed mainly for ecosystem protection and recreation (3881 units covering 4,413,142 km<sup>2</sup>)</p> <p><i>Definition:</i> Natural area of land and/or sea, designated to:</p> <p>(a) protect the ecological integrity of one or more ecosystems for present and future generations; (b) exclude exploitation or occupation inimical to the purposes of designation of the area; and (c) provide a foundation for spiritual, scientific, education, recreational, and visitor opportunities, all of which must be environmentally and culturally compatible.</p> |
| Category III | <p>Natural monument: protected area managed mainly for conservation of specific natural features (19,833 units covering 275,432 km<sup>2</sup>)</p> <p><i>Definition:</i> Area containing one, or more, specific natural or natural/cultural feature that is of outstanding or unique value because of its inherent rarity, representative or aesthetic qualities, or cultural significance.</p>   |
| Category IV  | <p>Habitat/species management area: protected area managed mainly for conservation through management intervention (27,641 units covering 3,022,515 km<sup>2</sup>)</p> <p><i>Definition:</i> Area of land and/or sea subject to active intervention for management purposes so as to ensure the maintenance of habitats and/or to meet the requirements of specific species.</p>  |
| Category V   | <p>Protected landscape/seascape: protected area managed mainly for landscape/seascape conservation and recreation (6555 units covering 1,056,008 km<sup>2</sup>)</p> <p><i>Definition:</i> Area of land, with coast and sea as appropriate, where the interaction of people and nature over time has produced an area of distinct character with significant aesthetic, ecological, and/or cultural value, and often with high biological diversity. Safeguarding the integrity of this traditional interaction is vital to the protection, maintenance, and evolution of such an area.</p>            |
| Category VI  | <p>Managed resource protected area: protected area managed mainly for the sustainable use of natural ecosystems (4123 units covering 4,377,091 km<sup>2</sup>)</p> <p><i>Definition:</i> Area containing predominantly unmodified natural systems, managed to ensure long-term protection and maintenance of biological diversity, while providing at the same time a sustainable flow of natural products and services to meet community needs.</p>   |

Categories I to III are clearly reserves as we are using the term here. The 2003 United Nations estimates of the number of each different type of protected area and their total area appear in parentheses; 34,036 additional sites totaling 3,569,820 km<sup>2</sup> were not assigned to any category. The data generally apply only to areas protected by national governments, not areas protected by states, provinces, counties, private organizations, and so on.

**Table 11.1** The United Nations recognizes seven basic categories of protected areas.



## Reserve Selection

Traditionally, the selection of reserves has been driven by aesthetics and recreation because people love to visit spectacular places: lakes ringed by forested slopes, snow-covered crags, wind-swept beaches. Some places were protected because they harbor an unusual diversity and abundance of wild life (e.g. the Serengeti plains of Tanzania and Kenya) or a species that is uncommon and spectacular (e.g. the redwoods and sequoias of California). Some reserves even focus on species that are uncommon but not very spectacular. For example, in the United Kingdom many reserves are managed for natterjack toads, which look a bit too much like a lump of mud to appear on the cover of a travel magazine (Phillips et al. 2002), as well as the improbably named wart-biter, a rare species of bush cricket. We will discuss managing the habitat of single species in Chapter 13, “Managing Populations.” Here the primary focus will be on protecting ecosystems as a strategy for maintaining multiple species, while acknowledging that it is also important to think about maintaining ecological and evolutionary processes, especially in the long term (Cowling and Pressey 2001).

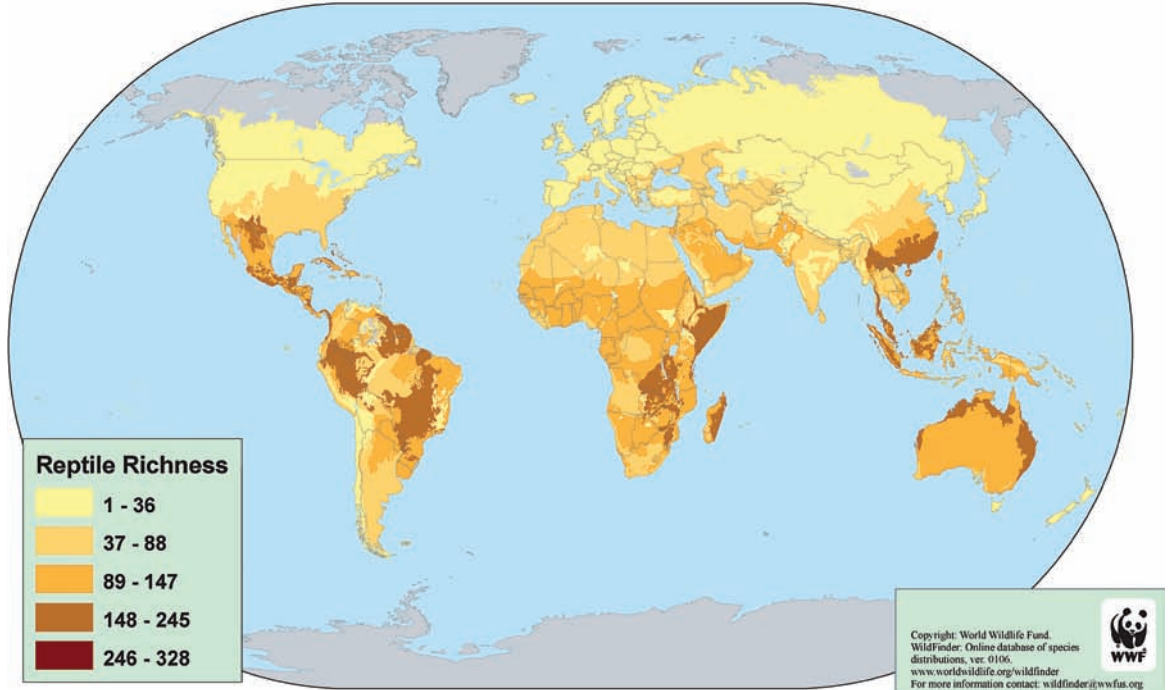
All reserves – even those selected for their scenic qualities – encompass ecosystems or portions of ecosystems and thus maintain habitat for a variety of species. However, natural resource managers cannot be content with a haphazard approach because it will lead to an incomplete array of protected ecosystems that provide little or no habitat for many species. Yet how can we systematically protect the habitat of most species if relatively few species have been described by scientists to date (Chapter 3), and if, even in relatively well studied regions such as Europe, we know little about the distribution of most known species? Obviously, one strategy is to do the best we can with whatever species distribution data are available (Margules and Pressey 2000; Gaston and Rodrigues 2003; Brooks et al. 2004c, d). An important complement to this strategy, or even alternative, lies with the coarse-filter approach to maintaining biological diversity (Chapter 4) and its assumption that most species, known and unknown, will be protected if a reserve system contains a complete array of the region’s ecosystems. We will describe the species-based approach first, then turn to ecosystems.

## Centers of Species Diversity

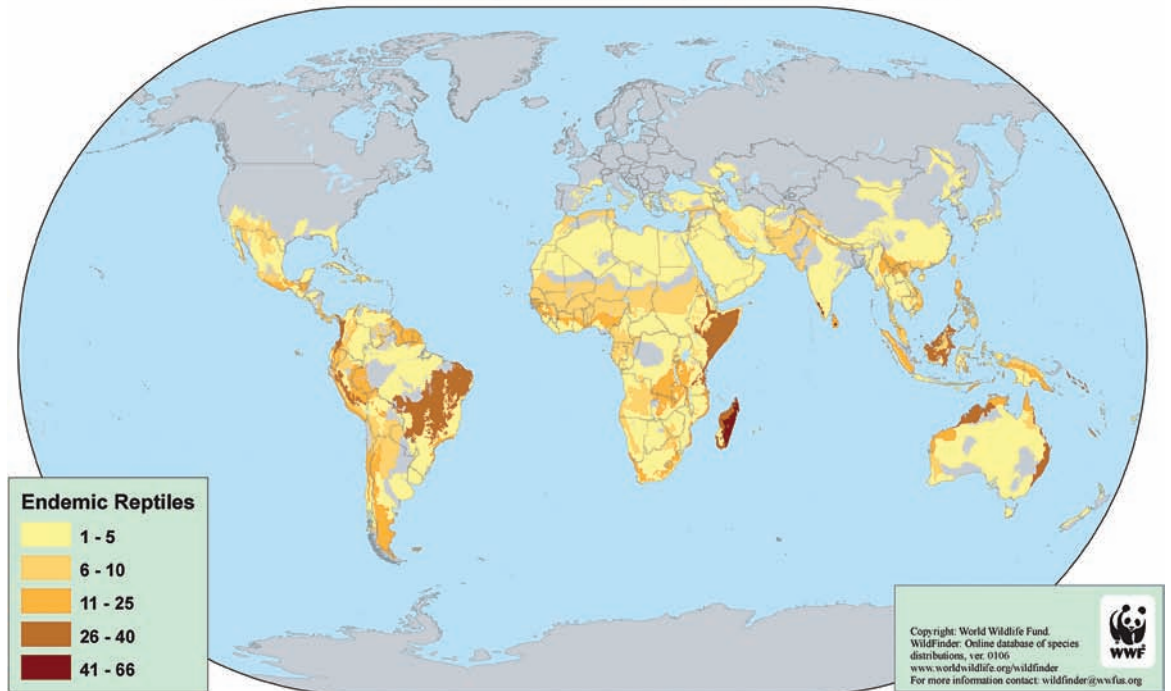
The world’s species are not distributed uniformly. There are some obvious “hotspots” such as tropical forests and coral reefs that have unusually large numbers of species (Fig. 11.1a). Other places can be called hotspots because they have a wealth of endemic species: Madagascar, the Cape region of South Africa, and southwestern Australia are good examples (Fig. 11.1b). Not surprisingly, many conservationists believe that these places with high species richness or lots of endemics should be a major priority for establishing reserves (Myers 1990; Myers et al. 2000b; Mittermeier et al. 2004), especially in regions that are experiencing severe rates of ecosystem loss (Fig. 11.2).

Taxonomists can provide a general sense of where centers of diversity and endemism might exist, but to explore the issue systematically requires a geographic information system (GIS) that can assimilate many layers of information into

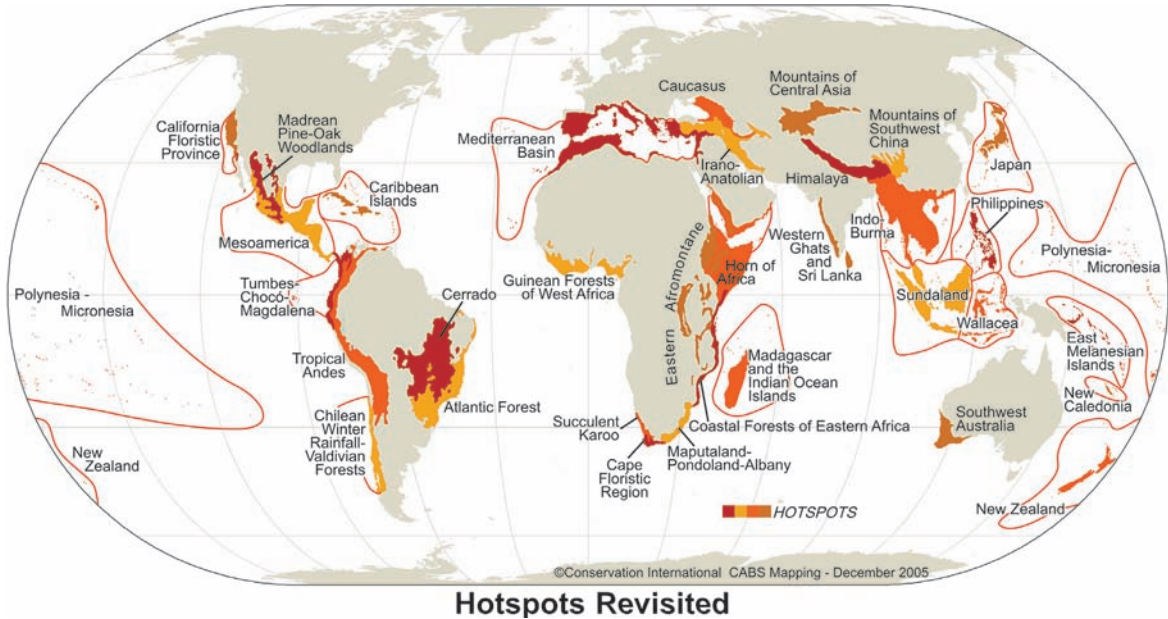
## Reptile Richness by Ecoregion



## Endemic Reptiles by Ecoregion



**Figure 11.1** These maps depict global patterns of reptile distributions based on the terrestrial ecoregions shown in Fig. 4.3. (a) The relative species richness of reptiles in different ecoregions. (b) The ecoregions that have the most species that are endemic to a given ecoregion. (Maps reproduced with permission from the World Wide Fund for Nature; see [worldwildlife.org/wildfinder/printableMaps.cfm](http://worldwildlife.org/wildfinder/printableMaps.cfm) for maps for birds, reptiles, and amphibians.)



### Hotspots Revisited

**Figure 11.2** The idea of focusing conservation in areas with high species richness and endemism and high degrees of threat has led Conservation International to propose a set of global hotspots for conservation action. Different colors are used to distinguish adjacent hotspots. (Map reproduced with permission from Conservation International; see Mittermeier et al. 2004.)

composite maps (Figs 11.1, 11.3) (Scott et al. 1993; Groves et al. 2002; Groves 2003). GIS, remote sensing, and related technologies open the door to various quantitative techniques for selecting reserves, notably computer models that can identify a set of reserves that complement one another. In other words, a particular group of reserves can be chosen to limit overlap in the species they hold, thereby potentially conserving the smallest area necessary to “capture” all species at the lowest cost (Williams et al. 1996; Margules and Pressey 2000; Drechsler 2005). To illustrate complementarity, imagine four potential reserves: Site A has red, green, and blue snails; Site B has red, green, and yellow snails; Site C has yellow, orange, and purple snails; Site D has red and orange snails. Selection of which two sites maximizes “complementarity”? Choosing Sites A and C would, because they share no snail species and contain all six species. Any other combination is less efficient at capturing the snail diversity present. Also note that both Sites A and C are irreplaceable because they have species that are unique in this set (blue and purple snails, respectively). *Irreplaceability* will make a potential reserve much more important.

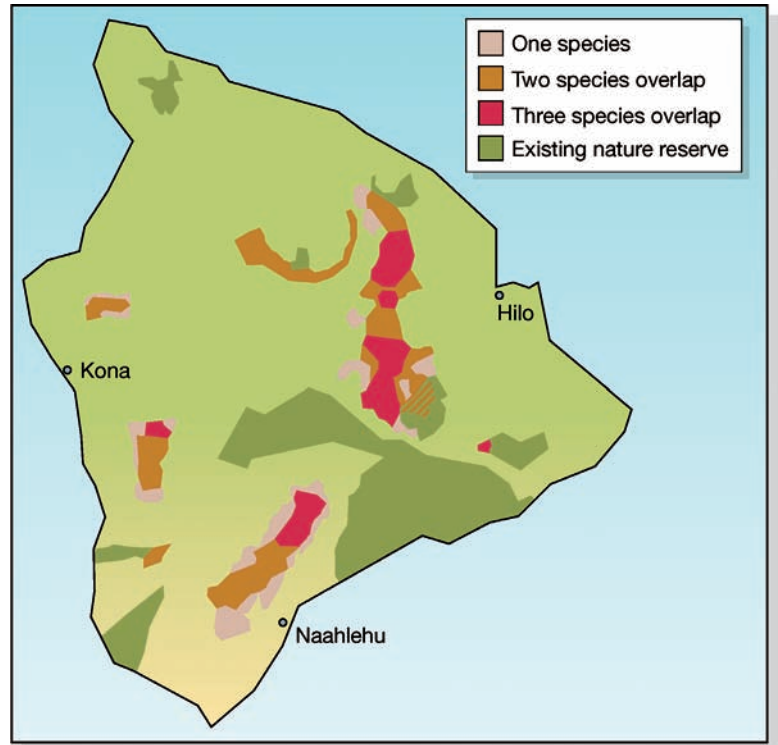
Extensive use of GIS has revealed some weaknesses in the hotspot concept. For example, a study of global bird distributions found relatively little overlap between

hotspots of species richness, threatened species, and endemism (defined here as birds with relatively small geographic ranges) (Orme et al. 2005), and a regional study of plants found a similar result (Stohlgren et al. 2005). More problematically, hotspots of species richness for different taxonomic groups (e.g. butterflies versus birds) often do not coincide (Prendergast et al. 1993; Gaston 2000; Oertli et al. 2005), thus suggesting that a few well known taxa are not good surrogates for biodiversity writ large. On the other hand, at least one study found that patterns of species composition are similar for different taxonomic groups (Su et al. 2004) (e.g. both the butterflies and birds of Site A are different from Site B as measured by species composition). It seems likely that species composition reflects ecological differences better than species richness patterns.

## Ecosystems and Environmental Surrogates

Reserve selection is often driven by the distribution of ecosystems, either because they are conservation targets themselves or because they are a way to organize species conservation based on the coarse-filter concept that assumes that protecting a complete set of all ecosystems will protect most – but not all – species (Chapter 4).

An effective coarse-filter approach requires a detailed ecosystem classification system. It is not sufficient simply to define a “forest ecosystem” or a “lake ecosystem” because, for example, the biota of a warm-water, acidic lake would show little overlap with that of a nearby cold-water, alkaline lake. For this purpose an ecosystem classification system should be based on both the physical environment (e.g. water, soil, and climate factors) and the species that dominate the ecosystems. In practice, classifications, particularly of terrestrial ecosystems, are usually weighted toward dominant



**Figure 11.3** Conservation biologists have used geographic information systems (GIS) to combine maps representing distributions of many different species and existing reserves (layers of information) into composite maps. In this simple figure (redrawn by permission from Scott et al. 1993), a composite map based on the ranges of just three species of Hawaiian finch shows that the existing reserves did not coincide well with the areas of finch diversity. See Scott et al. (1993) for a description of these techniques. See Fig. 11.1 for more complex examples.



species (e.g. oak–pine forests, spruce–fir forests) because it is often easier to recognize the distribution of conspicuous species than the distribution of physical features. There are two problems with relying primarily on dominant species; first, dominant species are often successful species that are able to thrive in a variety of environments, and thus their distribution may mask factors that shape the distribution of other species. Second, many species are continuously changing their range in response to climate change (Chapter 6). Consequently, it is better to focus the coarse-filter strategy on the physical environment as the arena that holds biological diversity, rather than on the dominant species that happen to occupy the arena at this time (Hunter et al. 1988).

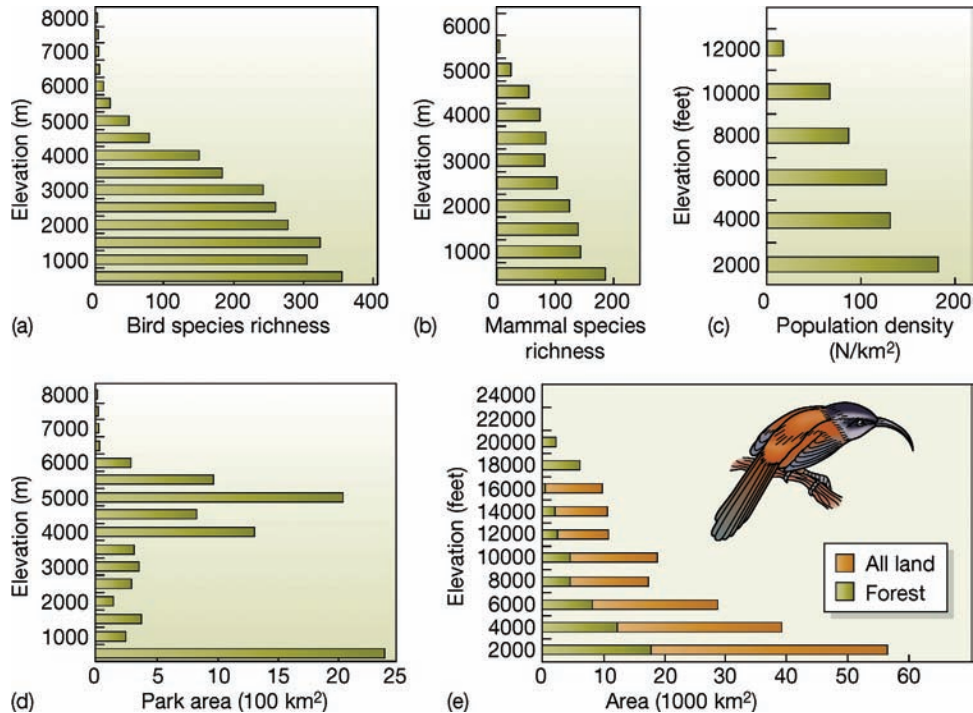
Some researchers have used environmental factors directly, without classifying ecosystems, to predict the distribution of species as a basis for selecting reserves (Faith et al. 2004; Sarkar et al. 2005). For example, Trakhtenbrot and Kadmon (2005) used maps of rainfall, temperature, and bedrock geology in Israel to identify a set of sites that would be complementary (i.e. represent a range of environments with little overlap) and then showed that these sites did a good job of representing the distribution of plant species, including rare species.

Classification of ecoregions (Fig. 4.3) also plays a role in reserve selection. First, ecoregions are a logical basis for delineating the areas within which we will try to maintain a representative array of ecosystems (Groves et al. 2002; Groves 2003), although inevitably politically defined regions are often used too. Ecoregions are also used by global organizations to decide where they should focus their efforts to establish reserves (Olson and Dinerstein 1998; Hoekstra et al. 2005).

There is a fair amount of disagreement between advocates of a strongly species-centered approach and those who believe that we should start with ecosystems and environmental factors and then turn to species to fill in the holes (i.e. a coarse filter leading to fine filters) (see Brooks et al. 2004c, d, and responses such as Pressey 2004). The arguments primarily revolve around logistical issues – notably, which data sets are most readily available and which do the best job of predicting the distribution of overall biodiversity – and thus for now the answer seems to be to use a variety of data, both biotic and physical (Bonn and Gaston 2005).

## Filling the Gaps

Conservationists rarely have the opportunity to create a system of reserves from scratch. Usually there is an existing set of reserves and they must undertake a process called “gap analysis” to identify holes in the existing network, which is often unbalanced and incomplete from the perspective of biodiversity conservation (Fig. 11.3; Scott et al. 1993; Pressey 1994; Jennings 2000; Groves 2003). In particular, high-altitude ecosystems sometimes dominate reserve systems because they are appreciated for their scenery and are of marginal value for most economic endeavors. In contrast, areas with fertile soils and benign climates are often uncommon in reserve systems because they are in demand for agriculture; indeed most such areas were already converted to agriculture before people began creating substantial reserve systems (Hunter and Yonzon 1993; Scott et al. 2001; Fig. 11.4). Marine ecosystems have long been very poorly represented in reserve



**Figure 11.4** In Nepal there are few protected areas at middle elevations because, historically, most of the people lived in these areas. High altitude areas are represented in reserves because they are scenic and have few people; the reserves in low-lying areas are a legacy of the past when malaria limited human populations. Many species are found exclusively in the ecosystems characteristic of the middle altitudes, and thus this is an important gap in the network of existing reserves.

systems despite their aesthetic, recreational, and ecological values, although this is finally starting to change with the creation of what are often called “marine protected areas” (MPAs) (see Lubchenco et al. 2003 and 16 associated papers). This deficiency can generally be traced to our lack of sensitivity to things that happen underwater.

## How Many to Select

Nature reserves are very popular with the public although not necessarily with those who depend on large areas of land or water for their livelihood. Consequently, the issue of how much area needs to be protected is frequently debated. Clearly, one small representative of each type of ecosystem in each region is not sufficient because it would be too small to protect viable populations of many species, especially animals with large home ranges, and it would be vulnerable to a

catastrophic disturbance. Unfortunately, there may be a considerable gulf between what is ecologically desirable and politically feasible. The World Conservation Union has long recommended that at least 10–15% of the total area of each ecosystem type be protected and the Convention on Biological Diversity has set a goal of protecting at least 10% of each ecoregion by the year 2010 (Chape et al. 2005). Currently the global coverage of protected areas is estimated to be about 12% of the land surface but the distribution is very imbalanced among ecosystem types. For example, there are sizable areas of temperate coniferous forest and tundra protected, while many other ecosystems, such as temperate grasslands, are underrepresented and many species have no habitat in reserves (Brooks et al. 2004b; Rodrigues et al. 2004a, b; Chape et al. 2005; Hoekstra et al. 2005). Most notably, reserves cover only 0.5% of the oceans and 1.4% of the coastal shelf areas (Chape et al. 2005). The blanket of protection also does not look so comforting when you consider the types of protected areas (Table 11.1); less than half of the coverage is in the best protected categories (Types I–IV) (Chape et al. 2005; Hoekstra et al. 2005).

The 10–15% figure was based on a rather generic recommendation that the extent of the world's protected areas (about 4–5% at that time) “needs to be at least tripled” (World Commission on Environment and Development 1987). Recommendations from other sources have ranged from 5% to 99.7%, with a rough convergence on 50% depending on the goals and the ecosystems or taxa being considered (Noss and Cooperrider 1994; Soulé and Sanjayan 1998; Neel and Cummings 2003; Solomon et al. 2003). Obviously, there is no one correct answer. For example, the minimum area for a network of reserves would depend on whether they were surrounded by seminatural ecosystems or built and cultivated ecosystems.

### Logistical issues

Thus far we have focused on the biological values that would characterize a potential reserve: a representative array of ecosystems, high species richness, endemic or rare species, etc., but this is not the entire story. We must also consider a number of logistical issues (Usher 1986; Groves 2003). For example, the threats that face a potential reserve are a critical consideration because a landscape that is under imminent threat of degradation may be considered a higher priority than a remote landscape that seems safe for the time being. On the other hand, if the threat is too severe then the situation might be deemed a lost cause and a safer site would be preferred.

Furthermore, the feasibility of creating a reserve in an area under imminent threat is often challenging because typically land will cost more and some people will oppose creating a reserve. Having a single landowner who is willing to sell land at a low cost is the ideal scenario but this is uncommon in areas with dense human populations. Similarly, allocating government-owned land to a reserve will be more controversial if there are many stakeholders living nearby. The current condition of the area is an important consideration too: maintaining a relatively pristine area is far easier than restoring a degraded area, as we will see when we address ecological restoration in the next chapter. Some of these issues can be ameliorated by the design of a reserve, the subject of the next section.

## Reserve Design

Reserve selection is inevitably followed by reserve design: deciding how large the reserve should be, where its boundaries should lie, and other issues. Many ideas about reserve design can be traced back to a 1975 paper in which Jared Diamond made an analogy between reserves and islands and proposed six design features for reserves based, in part, on island biogeography theory (Fig. 11.5):

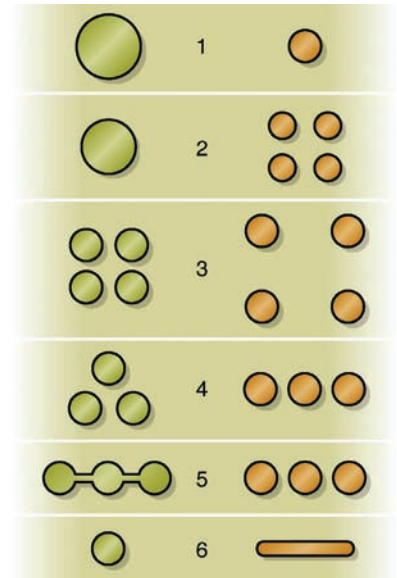
- 1 A large reserve will hold more species than a small reserve because of the species–area relationships described in Chapter 8.
- 2 A single large reserve is preferable to several small reserves of equal total area, assuming they all represent the same ecosystem type.
- 3 If it is necessary to have multiple small reserves, they should be close to one another to minimize isolation.
- 4 Arranging small reserves in a cluster, as opposed to a linear fashion, will also facilitate movement among the reserves.
- 5 Connecting the reserves with corridors will make dispersal easier for many species.
- 6 By making reserves as circular as possible, dispersal within the reserve will be enhanced, and the negative effects of edges (see Chapter 8) will be minimized.

These ideas were soon widely accepted even though a number of the points have been challenged (e.g. Kunin 1997) and one – that a single large reserve is better than several small ones of equal total area – generated a heated controversy. We will address these points and others in three sections on reserve size, landscape context, and connectivity.

## Reserve Size

Conservationists prefer large reserves to small reserves for two main reasons. First, large reserves will, on average, contain a wider range of environmental conditions and thus more species than small reserves. Additionally, some species will be absent from small reserves because they require large home ranges (e.g. large carnivores), or simply because they live at low densities and by chance alone are unlikely to be in a small reserve (e.g. many rare plants). In both cases, these are species that are likely to be high priorities for conservation. (See “Fragmentation” in Chapter 8 for further discussion of these ideas.)

Second, large reserves are more secure and easier to manage (at least per unit area) than small reserves for three reasons: (1) large reserves have relatively large populations that are less likely to become extinct (recall Chapter 7); (2) large reserves have a relatively shorter edge than small reserves and thus are less susceptible to external disturbances such as invasions of exotic species and poachers (recall Fig. 8.15); and (3) large reserves are less vulnerable to a catastrophic event such as a volcanic eruption, hurricane, or oil spill because most catastrophes cannot disturb an entire reserve



**Figure 11.5** Schematic representations of design principles for nature reserves. In each pair the design on the left will probably have a lower extinction rate and thus may have higher species diversity. (Redrawn by permission from Diamond 1975.)



if it is large enough. All three of these factors, especially the second one, make large reserves easier and cheaper to manage per unit area. There are also efficiencies of scale in supporting the management infrastructure of a large reserve (e.g. almost every reserve, large or small, needs a headquarters building).

The issue of natural catastrophes needs to be clarified. It is important that natural disturbances such as fires be allowed to shape reserves (we will return to this issue below when we discuss reserve management). This means that reserves need to be large enough not to be profoundly changed by a single disturbance event. This concept led Pickett and Thompson (1978) to suggest that reserves should be larger than the *minimum dynamic area*, the smallest area that would hold an array of patches representing different stages of disturbance and succession. For example, if a landscape was characterized by fires covering 1000 hectares, a reserve for this landscape should be many thousands of hectares to contain a series of patches representing burns of different ages.

Reserve size was central to a well known debate that erupted shortly after Diamond's paper was published, a debate known by the acronym SLOSS, Single Large or Several Small (Diamond 1976; Simberloff and Abele 1976a, b, 1982; Terborgh 1976; Whitcomb et al. 1976). The controversy began when Daniel Simberloff, Lawrence Abele, and others expressed some doubt about Diamond's second principle. They did not believe that there is a simple, universal answer to the question: if you have a finite amount of money, should you buy one large nature reserve or several small ones of equal total area? Defenders of Diamond's model have sometimes reacted as though the first design principle – large reserves are better than small reserves – was under attack, and have even accused the opposition of advocating the dismembering of nature reserves (Simberloff and Abele 1984; Willis 1984). In Box 11.1 this

## BOX 11.1

### Single large reserve or several small<sup>1</sup>

To illustrate the fundamental difference between the alternatives “single large or several small,” Table 11.2 depicts two extreme cases. Diamond's approach would be supported if Scenario 1 described the real world. Each successively larger reserve contains all the species of the smaller reserves plus additional species in a pattern that is called perfect nestedness, i.e. the species list for each reserve nests within the list for larger reserves. There is a predictable gradient among the species, from daisies that are found in all the reserves to hawks that need so much land that they can survive in only the 240 ha reserve. In this situation, if you were given \$1,200,000 to save forests from being turned into parking lots and if land cost \$5000 per hectare, you should buy the 240 ha reserve and thus maintain 224 species. For the same amount of money you could buy reserves D, E, and F, but you would protect only 199 species.

Scenario 2 describes a situation that would definitely favor the Simberloff approach. Again, large reserves have more species, but each reserve has a unique set of species, a more or less random selection from the species pool, so there is no nestedness at all. Here, the best approach would be to buy reserves A, B, C, D, and E; they would harbor 709 species and cost just \$750,000. The G reserve would still cost \$1.2 million and only have 224 species.

Clearly, neither of these scenarios describes the real world, but which is more accurate? A statistically significant pattern of nestedness has been documented for a variety of taxa, even small species such as butterflies (Fleishman and Murphy 1999) and fungi (Berglund and Jonsson 2003), and this would suggest support for the “single large” perspective. However, patterns of nestedness are usually confounded by environmental patterns (Fleishman and MacNally 2002) and there can be large differences between a *significantly* nested set of species and a *perfectly* nested

| Patch size (ha)  | Number of species | Number of new species | Accum. no. of species | Representative species                             |
|--|-------------------|-----------------------|-----------------------|--|
| <b>Scenario 1</b>  |                   |                       |                       |  |
| A(10)  | 119               | –                     | 119                   | Daisy, etc.  |
| B(10)  | 119               | 0                     | 119                   | Daisy, etc.  |
| C(20)  | 137               | 22                    | 137                   | Daisy, sparrow, etc.                               |
| D(40)  | 159               | 16                    | 159                   | Daisy, sparrow, snake, etc.                        |
| E(70)  | 175               | 24                    | 175                   | Daisy, sparrow, snake, robin, etc.                 |
| F(130)   | 199               | 25                    | 199                   | Daisy, sparrow, snake, robin, squirrel, etc.       |
| G(240)   | 224               | 25                    | 224                   | Daisy, sparrow, snake, robin, squirrel, hawk, etc. |
| <b>Scenario 2</b>  |                   |                       |                       |  |
| A(10)  | 119               | –                     | 119                   | Daisy, etc.  |
| B(10)  | 119               | 119                   | 238                   | Sparrow, etc.                                      |
| C(20)  | 137               | 137                   | 375                   | Ivy, grackle, etc.                                 |
| D(40)  | 159               | 159                   | 534                   | Trillium, blackbird, tortoise, etc.                |
| E(70)  | 175               | 175                   | 709                   | Lily, toad, rabbit, shrew, etc.                    |
| F(130)   | 199               | 199                   | 908                   | Holly, snake, warbler, mouse, pine, etc.           |
| G(240)   | 224               | 224                   | 1132                  | Robin, lizard, frog, squirrel, fox, hawk, etc.     |
| The series is described with the area of each reserve (column 1), the total number of species in each reserve (column 2), the number of new species added to the series total by each reserve (column 3), and the accumulative number of species in the series (column 4). The last column gives a hypothetical sample of the species found in each reserve. In Scenario 1 each reserve has all the species of the smaller reserves plus some new species. Each reserve has the same area as the total of the three preceding reserves. Species numbers were calculated from $S = CA^z$ with $C = 75$ and $z = 0.2$ ; this might roughly approximate the number of vascular plant and vertebrate animal species in a temperate forest. |                   |                       |                       |  |

**Table 11.2**  
A hypothetical series of seven progressively larger reserves.

one (i.e. perfect nestedness does not occur and thus some species found in small reserves are missed by large reserves [Fischer and Lindenmayer 2005]). Consequently, most people would argue that there is an important role for small reserves too, at least as complements to large reserves (Gotmark and Thorell 2003). Furthermore, Diamond's assertion that one large reserve is superior to several small ones explicitly assumes that all the reserves represent the same type of environment, and this will not usually be true, at least at a microenvironmental scale.

question is explored in detail; suffice it to say here that no consensus on the correct answer has been reached beyond an ambiguous compromise position: “Nature reserves should be as large as possible, and there should be many of them” (Soulé and Simberloff 1986). The key question behind SLOSS is still alive among conservation practitioners although the SLOSS debate has disappeared from the conservation literature, partly because academics grew tired of arguing about a question for which there was no clear answer, and partly because, in practice, reserve size will be determined by a complex amalgam of ecological, political, and fiscal realities that make every situation unique.

### Landscape Context

Although it is common to think of reserves as sacrosanct refuges – islands of nature isolated in a sea of human-altered ecosystems – this is not an accurate view. The boundaries of reserves are permeable and many things move across them (Janzen 1986). Air and water pollution, invasive exotics, livestock, and poachers are some of the negative factors that can impinge on reserves from outside. On the positive side, reserves often export clean air and water and are a source of individual organisms that can bolster low populations outside the reserve. For example, proponents of marine reserves have argued that fishing outside reserves is improved because breeding stocks in the reserves produce offspring that are caught outside the reserve (Palumbi 2004; Roberts et al. 2005). Some of the movements into a reserve are positive too, especially because many reserves are so small that they would probably lose their populations of some species if they were not part of a metapopulation with individuals regularly exchanged with ecosystems outside the reserve (Chapter 7). In short, reserve designers must pay careful attention to what will lie outside a reserve when deciding where to put its boundaries.

One obvious idea is to design reserves so that they will be buffered from the most harmful human activities by being imbedded in a matrix of seminatural ecosystems such as native forests managed for production of large trees (Lindenmayer and Franklin 2002). Dense human populations (some of whom might be poachers) and incompatible land uses such as intensive agriculture would be kept at a distance from the reserve (Brashares et al. 2001; Wiersma et al. 2004) (Fig. 11.6).

Reserves are easier to buffer if they are fairly circular, because a circle has less edge per unit area than any other shape. Keoladeo Ghana National Park in Bharatpur, India, one of the world’s premier bird reserves, is surrounded by a high brick wall about 35 km long. However, if the 29 km<sup>2</sup> reserve were circular, the wall would only be 19 km long and far easier to patrol and maintain.

Buffering is also easier if the reserve boundaries correspond with certain natural boundaries such as shorelines and ridge tops. Watershed lines are often excellent reserve boundary lines because a reserve that fully occupies a single watershed will have relatively few problems with water quality and quantity, and it will be a cohesive unit of habitat for many aquatic species. In practice, reserve boundaries are more likely to follow a political or ownership boundary than a natural boundary. In an interesting twist on buffering, many reserves are located along



**Figure 11.6** The reserve depicted in the center of this drawing illustrates many desirable features, although it is fairly small for ease of illustration. It encompasses a wide range of ecosystems spanning elevations from river level to mountaintop. It fully occupies a watershed by lying within natural boundaries, the watershed line and river shore, and is fairly circular in outline. It is buffered by seminatural forests from plantation forests, and by plantation forests from agriculture. It is connected to other reserves by natural vegetation along both the mountain slope and the river shore.

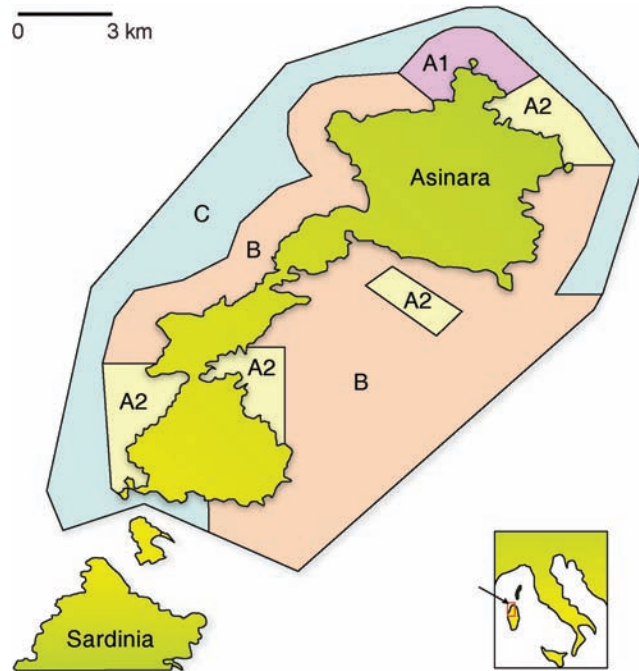
international frontiers to provide a strategic military buffer in case of war. The most conspicuous example of this is the de facto reserve that now exists in the demilitarized zone between North and South Korea, providing habitat for two very rare birds, the Japanese and white-naped cranes, as well as for many other species (Kim 1997).

The importance of context, especially integrating reserves with well managed seminatural ecosystems, is so great that many conservationists prefer to think about planning entire conservation areas at a landscape scale rather than designing reserves per se (Groves 2003) (Fig. 11.7), and this will involve many of the practices we will discuss in the next chapter on “Managing Ecosystems.”

## Connectivity

In a Panglossian “best of all possible worlds,” reserves would be so large that they would adequately protect even the most demanding species, or they would be completely surrounded by carefully managed seminatural ecosystems through which





**Figure 11.7** Final zoning plan for the Asinara Island Marine Reserve in Italy, which shows how core protected areas can be buffered by zones in which some uses are allowed. In both zones A1 (no-entry, no-take) and A2 (entry, no-take) no fishing is allowed; only park personnel are allowed in A1 for research and management. Zone B (general reserve) is open for recreation and fishing but with special limits on fishing, while in zone C (partial reserve) a greater range of fishing activity (both commercial and recreational) is allowed. (From Villa et al. 2002.)

species could easily move from reserve to reserve. In the real world, very few reserves are large enough to protect their complete biota, and the landscapes around reserves are likely to be degraded further as human populations increase (Newmark 1996; Carroll et al. 2004). In the face of these realities, conservation biologists often stress the importance of maintaining connectivity among reserves, perhaps with broad swaths of seminatural ecosystems, perhaps with corridors, linear strips of protected land (Beier and Noss 1998).

Four basic kinds of movement need to be maintained (Hunter 1997). First are the daily movements most animals make among the patches of preferred habitat that comprise their home range. These are relatively small-scale movements, and most reserves are large enough to encompass them except for wide-ranging species like large carnivores and some colonial birds and bats.

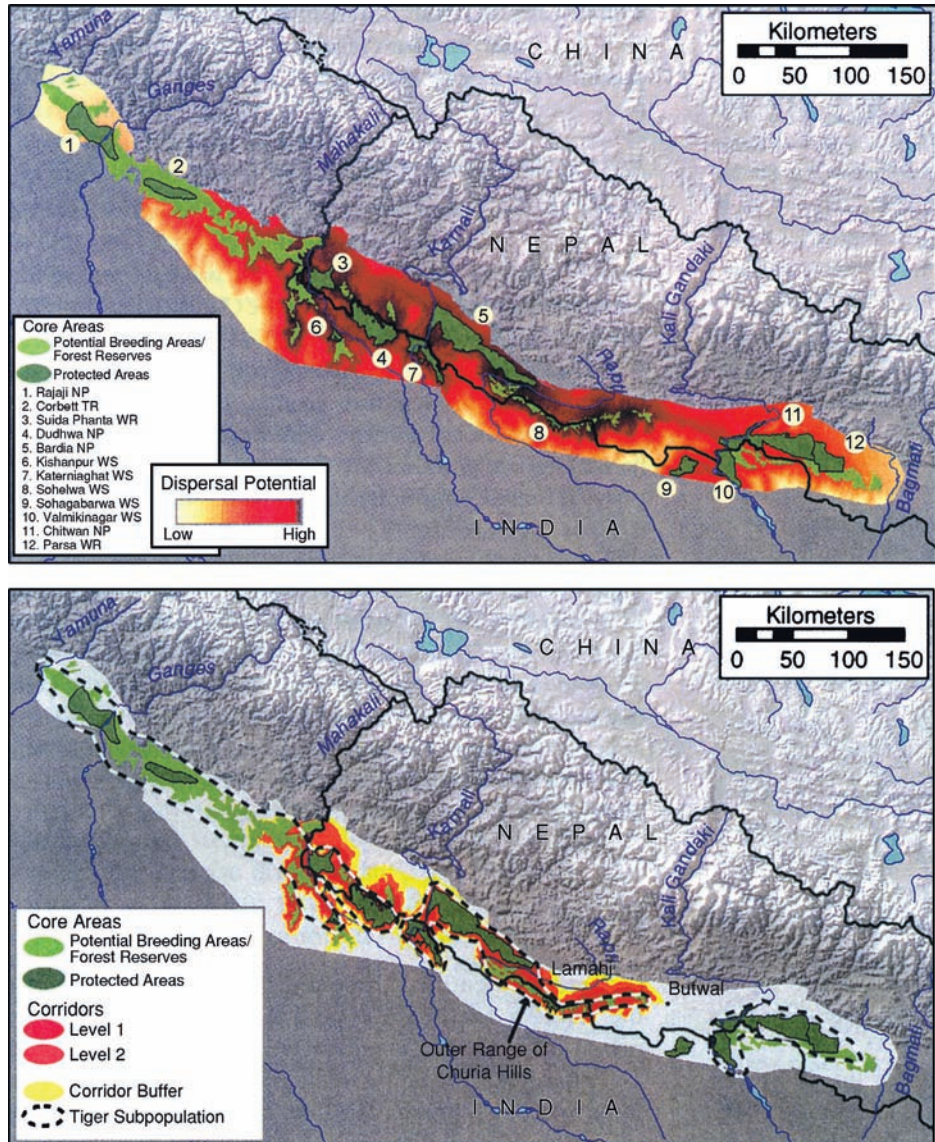
Second are the annual migrations many animals make between winter and summer ranges, or dry season and wet season ranges. The lengths of these

movements vary from a few hundred meters for some amphibians and insects to thousands of kilometers for some birds and marine animals. For migration over intermediate distances, e.g. herds of large mammals moving between high-altitude summer range and low-altitude winter range, connecting reserves could be of critical importance (Berger 2004). In Tanzania conservationists are trying to protect land between two national parks, Lake Manyara and Tarangire, to allow zebras, wildebeest, and other antelopes to move to Lake Manyara in the dry season (Mwalyosi 1991). For long-distance migration, notably by birds, it is important to think of reserves as stepping-stones along their routes where they can rest and forage to refuel.

Third are the dispersal movements that young animals and plants (the latter usually as seeds, spores, or pollen) make away from their parents. Dispersal movements are vital to keeping the organisms of a reserve “connected” with conspecifics living elsewhere. Imagine a reserve with ten tigers. As long as tigers are freely dispersing in and out of the reserve, the reserve’s tigers are part of the whole region’s tiger population, say 300 tigers, and thus relatively safe from the problems that afflict small populations. Without dispersal the reserve’s ten tigers constitute an isolated, and very vulnerable, population. See Fig. 11.8 for a real world example involving tiger dispersal. Of course, dispersal ecology varies greatly among species: some species can easily disperse long distances over any terrain (e.g. fungi spores), but others cannot; some species can persist in small isolated populations with no immigration (e.g. fish species confined to a single spring or cave), but others cannot (Bullock et al. 2002). Dispersal can be a difficult phenomenon to study but it clearly affects the viability of many populations (Chapter 7), especially for animals, and thus maintaining dispersal is a major goal of conservation biologists.

Fourth are the range shifts that species make in response to climate change, moving back and forth across continents at time scales measured in thousands of years (Chapter 6). No refuges are large enough to accommodate continental-scale movements, but conservationists have considered linking reserves with continental-scale corridors, or at least having reserves arranged as stepping-stones across a continent (Hunter et al. 1988). In mountainous areas, species can respond to climate change by shifting their altitude; therefore linking reserves at different altitudes would deal with this issue in montane environments.

Naturally, the design of a connection should depend on the kinds of organisms and the types of movements it was intended to accommodate. A connection designed to accommodate short-range movements by relatively mobile animals may only need to provide some cover or the right microclimate. To take an extreme example, eastern chipmunks will move among isolated woodlots along a barbed-wire fence with a narrow strip of uncut grass and herbs (Henderson et al. 1985), while, conversely, butterflies will move among forest clearings using narrow openings (Haddad and Tewksbury 2005). Connectivity in the context of marine reserves may mean locating reserves strategically with respect to oceanic currents that transport organisms, especially larvae and propagules (Roberts 1997). A connection designed to allow large-scale movement by organisms that are relatively sedentary (e.g. terrestrial snails and many plants) would have to provide habitat in which the species could live and



**Figure 11.8** The top map depicts core areas of tiger habitat in the terai region of India and Nepal in green colors (NP, national park; TR, tiger reserve; WR, wildlife reserve; WS, wildlife sanctuary). The potential for dispersal is indicated, with darker reds representing areas with the lowest biological costs for dispersal (e.g. good food and cover) and yellows representing areas with higher biological costs. The bottom map shows potential tiger dispersal corridors, with Level 1 corridors representing the best pathways for dispersal (as defined by low biological cost), Level 2 corridors representing the next best pathways, and Corridor Buffers the next best. Existing tiger subpopulations are delineated by the dashed line. (From Wikramanayake et al. 2004.)

reproduce, because it might take multiple generations for a species to move. It will often make sense to “piggyback” connections onto other efforts to maintain linear belts of natural vegetation such as hiking trails and riparian zones. Riparian zones are particularly attractive in this context because they form a natural landscape network and have so many other values, such as protecting water quality.

One common manifestation of the connection idea – protecting narrow corridors between reserves – has been widely criticized (Simberloff and Cox 1987; Simberloff et al. 1992; Knopf and Samson 1994), particularly with respect to cost effectiveness. A strip of land 0.5 km wide by 50 km long is likely to be much more difficult to purchase and manage than a compact area of the same size because it will cross many ownerships. Furthermore, corridors are particularly vulnerable to external disturbances because of their shape, and they may even facilitate the spread of diseases (Lomolino et al. 2004) and exotic species from one reserve to another. Perhaps the most convincing argument in favor of corridors is that natural landscapes are far more connected than those heavily shaped by humans (Beier and Noss 1998). How well this argument stands up in the real world of limited monies for conservation is an open question. This argument also leaves unanswered the question of which will maintain connectivity more effectively: a narrow corridor of natural vegetation or a broad swath of seminatural ecosystems such as forest managed for timber production.

## Reserve Management

Once a reserve has been selected and its boundaries laid out, the hard work begins, for you cannot simply “lock the gate and throw away the key.” Here we will review a few of the many problems that make reserve management a challenging career.

### Human Visitors

Most reserves are open to visitors; indeed, most reserves would not exist if they did not provide opportunities for outdoor recreation. Unfortunately, the number of human visitors can be overwhelming, with some parks attracting over a million visitors per year. This means that reserve management encompasses all the problems that accompany entertaining large numbers of people: proliferation of roads, air pollution, sewage disposal, plant trampling, soil erosion, and so on. Simply put, reserve management is, first and foremost, people management.

Because most reserves are not routinely open to hunting, cutting trees, and so on, it is often assumed that controlling direct exploitation of wild life is not an issue. In fact, few reserves are closed to absolutely all forms of exploitation. One widespread exception is sportfishing. Reserve managers usually allow visitors to fish even in reserves where hunting is strictly forbidden, presumably because fish are generally out of sight and lack charisma, and, unlike hunters, anglers pose no danger to other visitors. This acceptance of fishing carries over to marine reserves, very few of which are closed to all fishing. A second common exception is allowing people to gather deadwood for firewood, despite



a growing appreciation of the importance of deadwood as habitat for myriad small organisms (McComb and Lindenmayer 1999). Of course, simply having large numbers of people visit an area can disturb wild life and constitute “nonconsumptive exploitation” as described in Chapter 9 (Fig. 9.6).

To be successful, reserve managers must always foster the good will of local people, but in developing countries the people who live near a reserve are often too poor to spend a weekend enjoying its recreational amenities. To give these people a vested interest in the reserve, managers often allow some limited forms of exploitation. In Chitwan National Park in lowland Nepal, local people are allowed to enter the park once a year for ten days during the dry season to collect dead grass, some of which stands 5 meters tall (Straede and Helles 2000). Traditionally, they used the grass to thatch roofs, and, like bamboo, for construction, but now most of it is sold to a paper mill for pulp. This grass harvest generates some good will, but it does come at a cost in terms of small logs stolen from the park for firewood. Such activities become much more controversial if the exploited resources are birds, mammals, and live trees as opposed to fish and dead plants (Bruner et al. 2001). Local people will also be favorably disposed toward a reserve if they can derive an income by providing services for visitors (Bookbinder et al. 1998). Unfortunately, in many developing countries, tourist facilities are owned by people who live far from the reserve, in cities or even overseas. For example, when a European or American tourist pays several thousand dollars to visit Africa’s spectacular parks, most of that money never goes to Africa at all, and extremely little reaches the people who live near the reserve. This remains a fundamental problem with linking the benefits of ecotourism to local conservation. Moreover, it explains why local people, who bear the costs of protected areas but often receive little of the benefits, are typically ambivalent or even hostile toward the creation of new reserves.

## Natural Disturbances

Fires, floods, hurricanes, insect outbreaks, and earthquakes are some of the many unpredictable natural events that can shape reserve management. In the past, reserve managers often viewed such events as unmitigated catastrophes that upset the balance of nature they were trying to protect. More recently, most reserve managers have come to understand that disturbances are often critical in maintaining the natural structure and function of ecosystems, and that suppressing disturbances can soon degrade a reserve. This revelation has not made the job of reserve managers any easier. Indeed, it has made it more difficult because the public does not understand the ecological role of natural disturbances and will often question the wisdom of a reserve manager who accepts disturbances.

Some disturbances cannot be controlled (volcanic eruptions, earthquakes, hurricanes, tornadoes), but reserve managers still have to decide what to do after the disturbance. Should they replant vegetation, stabilize eroding slopes,

and so forth, or let it be? Wild fires are particularly challenging because they are essential elements in many ecosystems (Baker 1992; Nordlind and Ostlund 2003) and, to some extent, controllable. Reserve managers cannot simply shrug their shoulders and say “It’s out of my hands” because small fires can be put out, and the movement of large fires can often be controlled with firebreaks. Reserve managers can even set fires, choosing locations and weather conditions that will allow them to determine how large and hot a fire will become.

Fire frequency is a key issue for reserve managers. Sometimes, fires happen at fairly regular intervals when sufficient fuel accumulates; sometimes, fires occur only at long, unpredictable intervals determined by droughts; if both fuel buildup and droughts need to coincide, then the frequency of fire may be neither totally random nor predictable. Often, reserve managers do not know what the natural fire frequency is for their reserve, and, anyway, it will change over time as the climate changes (McKenzie et al. 2004). If fire frequency is quite short (e.g. in many grasslands and woodlands where only a few years elapse between fires on average), reserve managers will probably have many opportunities to let natural fires burn or to set fires. In ecosystems that tend to burn at longer intervals (every several decades or centuries) it is tempting to suppress fires. This was the policy in Yellowstone National Park from 1872 to 1972, and some ecologists have blamed this policy for the severity of the 1988 fires, which burned over 321,000 ha in the park. It makes sense that a long history of suppressing fires could lead to an artificial buildup of fuels, but in this case the park’s suppression policy may not have contributed to the 1988 burn. By analyzing fire-scarred tree rings and other information ecologists have determined that fires comparable with those in 1988 also burnt the area in the early eighteenth century (Romme and Despain 1989; Schoennagel et al. 2004).

## Water Regimes

Reserve managers often find themselves embroiled in an argument over water. Usually, the issue is relatively straightforward: the supply of water is limited, and someone wants to reduce the reserve’s share and allocate more water to irrigating crops, turning power turbines, or flushing toilets. Sometimes, things are more complicated. For example, managers of the Everglades National Park seeking to restore some semblance of the park’s natural water regime – a broad sheet of freshwater that flows slowly south from central Florida through the park – have encountered a number of cases where they must balance competing needs of different species (Davis and Ogden 1994; Sklar et al. 2005). In one case, restoring some of the Everglades’ flow has reduced water availability in an area outside the park that had become prime habitat for the Everglades snail kite, an endangered subspecies (Curnutt et al. 2000). Manipulating water regimes of wetlands is also a major activity for natural resource managers who wish to maximize waterfowl production by providing optimum mixtures of water and vegetation (Payne 1992). These waterfowl sanctuaries are important habitat for many species, but it could be argued that

conceptually they are closer to the modified ecosystems we will discuss in the next chapter than to nature reserves.

Water management on reserves is also an issue in arid lands, where reserve managers have a long tradition of digging wells to provide water for wild animals. These artificial water holes tend to increase the abundance of animals overall, and particularly avoid population crashes during droughts. They also make it much easier for visitors to watch wild animals. Think about all the African nature films you have seen with elephants and lions coming and going from a water hole. Many arid reserve managers now question the wisdom of digging wells (James et al. 1999; Thrash 2000). If artificial water holes increase wild animal populations, what are the effects on other species – plants that the animals graze or animals that are not dependent on water holes? What are the effects of concentrating animals on disease transmission and social relationships?

### Invasive Exotics and Overabundant Natives

Many reserves have populations of exotic species that reserve managers would like to eliminate: goats in the Galápagos, Brazilian peppers in the Everglades, and rats in the New Zealand Alps to name just three. Similarly, some reserves have very large populations of certain native species that managers would like to sharply reduce. Notably, many small reserves have unnaturally large numbers of herbivorous mammals such as deer because the reserve is too small to harbor large carnivores, and these animals wreak havoc on the reserve's flora (Cote et al. 2004). In some aquatic reserves, geese have become a problem by moving huge quantities of nutrients from the surrounding farmland, where they feed, to the water bodies where they roost (Olson et al. 2005).

Eliminating exotic species and reducing the population of a native species are challenging tasks because of both logistical and political constraints. Logistically, controlling a successful species can be exceedingly difficult, as we will see in Chapter 13, "Managing Populations." Suffice it to say here that the scope of the problem is suggested by the billions of dollars farmers spend to control weeds and pests.

Political difficulties are also nearly inevitable, especially if most people are fond of the species in question. Public affection has curtailed many programs to control appealing creatures such as deer, burros, and horses. Public opposition can also be catalyzed by aversion to the proposed methods. Shooting birds and spraying plants with herbicides are sure to provoke a negative reaction, whereas destroying bird eggs and digging up plants may not.

Although these issues present daunting challenges, reserve managers can overcome them. New Zealand biologists have learned how to eliminate rats and other exotic mammals from islands that are the only remaining habitat for many bird, reptile, and insect species eliminated from the main islands. They started poisoning and trapping campaigns on some very small islands (fractions of a hectare) and have been progressing to larger and larger islands, some measuring thousand of hectares (Towns et al. 1990, 1997, Courchamp et al. 2003, Towns and Broome 2003).

## What Is Natural?

Fire regimes, water regimes, management of abundant native species, and many other issues facing reserve managers often lead to the question: what is natural? Typically, the question arises after some more specific questions are asked first, such as: How does the current density of deer on this reserve compare with what it was 200 years ago? Is 200 years ago the right benchmark to be using just because that is when people with modern technology began to colonize this region? Or should it be thousands of years ago, before there were any humans here? This is a complicated issue that quickly moves into philosophical debates about the role of humans in ecosystems (Hunter 1996; Angermeier 2000; Povilitis 2002). Suffice it to say here that many people would take a purist view and advocate that natural reserves should be managed to minimize human influences as much as feasible. On the other hand, many people would argue that humans and ecosystems are so inseparable that it is reasonable to manage reserves for whatever condition society deems desirable. For example, many European reserves strive to maintain traditional land-use practices (e.g. livestock grazing regimes) that were common before the advent of industrial agriculture and forestry (Sutherland and Hill 1995).

## CASE STUDY

### Vietnam Conservation Areas

Eleanor J. Sterling,<sup>1</sup> Martha M. Hurley,<sup>1</sup> Andrew Tordoff,<sup>2</sup>  
and Jonathan C. Eames<sup>3</sup>

Have you heard of the saola, Annamite striped rabbit, or golden-winged laughingthrush? If not, you are not alone, for these species were unknown to science just 15 years ago, and we still know virtually nothing about them (Fig. 11.9). Along with three turtles, nine lizards, four snakes, over 25 frogs, and additional mammals and birds, these species have all been discovered in the Annamite mountain range separating Vietnam and Laos since 1992 (e.g. Eames et al. 1999; Inger et al. 1999; SurrIDGE et al. 1999; Groves and Schaller 2000; Ziegler et al. 2000; Stuart and Parham 2004; Sterling et al. 2006). These discoveries were one of several reasons why, in 1998, the government of Vietnam proposed increasing the protected-area forest network from 1.3 to 2 million hectares. To identify where these new conservation areas should be located, researchers conducted a gap analysis (Wege et al. 1999; Eames and Tordoff 2001).

A gap analysis is a priority-setting technique that provides a preliminary, landscape-scale overview of the distribution and conservation status of species and ecosystems. It identifies “gaps,” vegetation types,



**Figure 11.9**

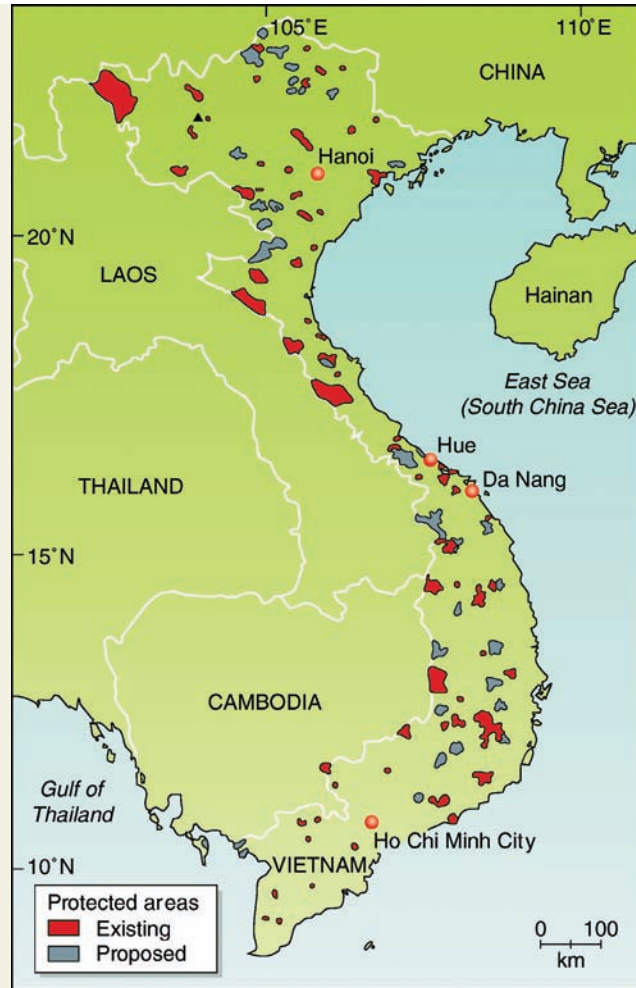
Recently described vertebrate species from the Annamite Range include: Morafka's cascade frog (top) and Ba Na cascade frog (third from top) (Bain et al. 2003); Large-antlered muntjac (second panel, left) (Schaller and Vrba 1996); Annamite muntjac (second panel, right) (Pham Mong Giao et al. 1998); Annamite striped rabbit (second from bottom) (Averianov et al. 2000); and saola (bottom) (Vu Van Dung et al. 1993). Also pictured are species closely related to these newly described ones: green cascade frog (second from top) and red muntjac (middle panel: female on left, male on right). (Paintings by Joyce A. Powzyk, © Center for Biodiversity and Conservation, American Museum of Natural History.)



ecoregions, species, or other elements of biodiversity that are not represented in a protected-areas network. Gap analyses often use the distribution of vegetation types and selected species (usually well known groups like mammals and birds) as surrogates for biodiversity in general (Scott and Jennings 1998; Scott et al. 2001).

There are three key steps in a gap analysis: (1) creating maps of an area showing the distribution of vegetation cover and of selected species, along with other features of interest such as elevation, slope, aspect, soils, aquatic features, climate, or socioeconomic data, as well as areas currently managed primarily for biodiversity; (2) overlaying these different maps to identify gaps in the protected-areas system; and (3) determining priorities for conservation action by placing the results within the context of other factors, such as ecosystem patch dynamics, habitat quality, population viability analysis, distribution of threatened species, the feasibility of creating a reserve in the area, and the importance of having multiple representations of species or ecosystems throughout their geographic range to protect against potentially catastrophic stochastic events.

In 1996 there were 90 protected areas in Vietnam – 10 national parks, 53 nature reserves, and 27 cultural and historical sites – covering 1,345,000 ha (equivalent to 4% of the land area of Vietnam). These protected areas were all terrestrial sites, mainly forested, with a small number of wetland areas; comprehensive, protected-areas networks for wetland and marine sites had yet to be developed. For the gap analysis, researchers mapped datasets for seven natural forest types; 13 ecoregions; four elevation zones; a subset of globally threatened large mammals, primates, and birds; existing protected areas; and political provinces. Results showed that almost half (575,000 ha) of the existing protected-areas network encompassed nonforest land – principally, agricultural land, scrub, and non-natural grassland.



**Figure 11.10** As of 2004, Vietnam's protected area network covered approximately 1.7 million ha (5% of the country); if all the conservation areas currently proposed were approved, coverage would increase to roughly 2.5 million ha, or around 7.5% of the land area, exceeding the goals proposed in 1998. (Map produced by Kevin Koy, American Museum of Natural History.)

Next, researchers identified areas that fulfilled representation criteria for these variables and refined their selection by considering the need to include: globally threatened species currently underrepresented within the network; large areas of contiguous natural forest; sites contiguous with other protected areas, including those in other countries; provinces in need of further protection; and existing, well documented proposals for protected area development.

As a result of the analysis, researchers recommended the addition of 25 conservation areas to the current, protected-areas forest network, including the creation of 14 new protected areas and the extension of 11 existing ones (Wege et al. 1999). These expansions would add more than 750,000 ha to the current network and increase coverage of all forest types to a minimum of 15% (evergreen forest coverage had previously been only 8.2%). Protected areas would be established in three political provinces that currently have none, and a large number of globally threatened bird and mammal species would have increased protection in the expanded network.

As of 2004 Vietnam had made some progress in expanding the terrestrial conservation network (BirdLife International and MARD 2004). There are now 96 protected areas and revisions in management categories have raised the number of National Parks from 11 to 27. Significant extensions have also occurred at some of the country's most important protected areas (Yok Don and Ke Bang), almost doubling their size. The Forest Protections Department's proposed list of expansions would bring the total number of protected areas to 121 by 2010 (Fig. 11.10).

A more sophisticated gap analysis would go well beyond equitability of representation and would weigh ecoregions by variables affecting their importance and priority, such as threat level, global uniqueness, regional uniqueness, maintenance of migratory corridors, the potential for effective conservation strategies, and other considerations (Timmins and Trinh 2001; W. Duckworth, personal communication). Such an analysis would also include datasets on distribution of other animal species, such as threatened frogs and invertebrates and flora.

- 1 Center for Biodiversity and Conservation, American Museum of Natural History.
- 2 BirdLife International Asia Division.
- 3 BirdLife International Indochina Programme.

## Summary

Often the most reliable way to conserve the biodiversity of ecosystems is to protect them in a reserve (also known as park, refuge, sanctuary, protected area, etc.) The first step is to select reserves that will protect a large number of targeted species and/or a representative array of ecosystems, and this is likely to involve filling in the gaps in an existing reserve network by selecting new reserves that complement existing ones. Logistical considerations such as the degree of threat, current condition, and feasibility will also affect selection decisions. Designing reserves chiefly involves deciding on their size, shape, and location with respect to other types of ecosystems; it is particularly desirable that they sit in a landscape context that connects them to other reserves and buffers them from threats. Managing reserves to maintain their natural structure and function often will require controlling human visitors, exotic species (and sometimes overabundant native species), water distribution, and natural disturbance regimes, notably fires.

### FURTHER READING

For a grand overview on protecting and managing ecosystems see Groves (2003) and United Nations Development Programme et al. (2003). For regional perspectives see Lindenmayer and Burgman (2005) for Australia, Noss and Cooperrider (1994) for North America, and Sutherland and Hill (1995) for Europe. See [www.unep-wcmc.org](http://www.unep-wcmc.org) for the World Conservation Monitoring Centre's work on protected areas and habitats. See [worldwildlife.org/wildfinder/printableMaps.cfm](http://worldwildlife.org/wildfinder/printableMaps.cfm) for more maps like Fig. 11.1.

**TOPICS FOR DISCUSSION**

- 1** Are you more comfortable selecting reserves on the basis of species distributions or ecosystems distributions?
- 2** Find a map of a nearby reserve. If you had a million dollars to spend on land conservation near this reserve, which would be easier, to better buffer it from threats or to connect it with other natural areas?
- 3** Given finite resources, is it generally better to create large new reserves in remote areas or smaller ones in more densely populated areas? To take an extreme example would it be better to create a million hectare reserve in the high Arctic or a 10,000 hectare reserve near Hong Kong?
- 4** Would you create artificial water holes in arid reserves? Would you remove existing artificial water holes?
- 5** Should natural ecosystems disturbed by natural events, such as a hurricane or volcano, be restored? What if not restoring the ecosystem would lead to the extinction of a species?
- 6** Should we purchase more reserves or manage better the ones we have?





## CHAPTER 12

# Managing Ecosystems

Have you ever had a window seat on a plane on a clear day? If so, you probably saw landscapes dominated by the hand of humanity, roads and power lines stretching to the horizon, etched across a mosaic of cities, towns, and farms. You may have also seen the dark green of extensive forests or the blue of lakes or ocean, especially as you flew farther from the airport. However, you are not likely to have seen many reserves, for they constitute a tiny fraction of most landscapes. Fortunately, the good news is that a multitude of species thrive, or at least survive, outside of reserves, sharing lands and waters with loggers, fishers, farmers, ranchers, etc. The opportunities for pursuing biodiversity conservation while meeting the needs of people are particularly great in seminatural ecosystems – ecosystems that have been modified by human activities such as logging, fishing, and grazing livestock, but that are still dominated by native species. Methods for integrating biodiversity maintenance with natural resource management in these modified ecosystems constitute the first section of this chapter. The second and third sections deal with cultivated ecosystems (largely agricultural land) and built ecosystems (urban areas and other places intensively used by people), where a surprising number of species can survive under careful management. We also need to keep these ecosystems from exporting problems such as invasive exotics and contaminants to natural and seminatural ecosystems. In the final section of this chapter we will delve into restoration ecology, a discipline that focuses on methods for restoring the structure and function of ecosystems degraded by human activities.

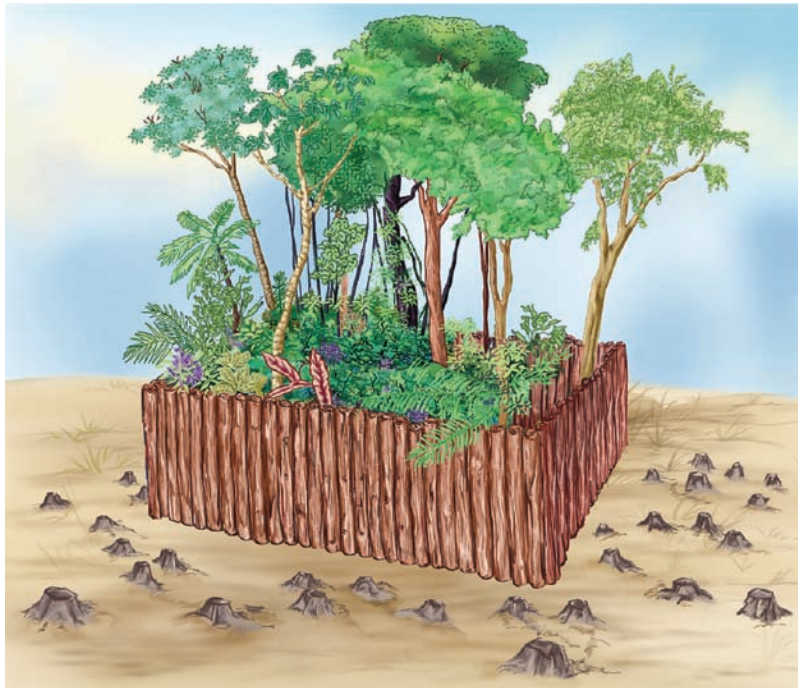
## Modified Ecosystems

It is likely that an astute observer could detect human-induced modifications in all the world's ecosystems. Some we have modified beyond recognition; in others, perhaps deep-ocean bottoms, it would be fairly difficult to detect our influence. In this section we will focus on just a narrow set of modifications, those that modify ecosystems through management for three commodities – wood, livestock, and fish – but still leave the ecosystem in a seminatural condition. These activities present important opportunities for conservation biologists to work collaboratively with their fellow natural resource managers, especially foresters, range managers, and fisheries managers. They offer vast expanses of land and water because most of the earth's terrestrial ecosystems and virtually all of its aquatic ecosystems are seminatural ecosystems open to natural resource utilization. To ignore these areas would be extremely shortsighted (Fig. 12.1). They may never be pristine ecosystems, but they can support a multitude of species, including some species that

are often deemed highly sensitive to human activities, such as wolves and grizzly bears (Musiani and Paquet 2004).

## Forestry

Three facts from Chapter 8 bear repeating here: forests cover less than 6% of the earth's total surface area; forests are habitat for a majority of the earth's known species; forests are being lost far faster than they are expanding. Let us add a fourth fact: most forests are not in reserves; they are available for logging and other uses. This fact brings both good news and bad. The bad news is that logging can seriously threaten biodiversity in those areas that remain forested. The good news is that logging does not have to be a serious threat, and that forests that are producing a valuable commodity are less likely to be eradicated to make way for other land uses, such as agriculture or urban areas. Here are three ideas for integrating forest management and maintenance of biodiversity extracted from two books on the subject (Hunter 1990, 1999).



**Figure 12.1** Conservationists cannot afford to adopt a siege mentality, protecting reserves and ignoring the rest of the landscape. (The idea for this figure was shared by Eduardo Santana, but its originator is unknown.)

## Age Structure

It is difficult for people, with a life span measured in decades, to fully appreciate the life and death of trees whose lives span centuries, sometimes millennia. Yet trees do die, of course. In some forests, trees tend to die a few at a time, leaving small holes in the forest canopy in which young trees can grow. These forests will have trees of several different ages, and they are called uneven-aged. Other forests are even-aged because most of the trees originated after some disturbance event (e.g. a crown fire or clearcut) killed most of the previous generation.

Age structure is a critical issue because the biota of an old, even-aged forest is not the same as the biota of a young, even-aged forest (Fig. 12.2). Even at the scale of an individual tree, an old tree provides habitat for a different set of species than a young tree. Consequently, maintenance of biodiversity requires having a balanced age-class distribution. This means having (1) uneven-aged forests (in places where trees usually die a few at a time), (2) landscapes with many different even-aged forests – some young, some middle-aged, some old – (in places where large-scale



**Figure 12.2** The assemblage of species associated with a forest cycle changes as the forest undergoes a cycle of succession and disturbance. Even a single old tree will support a different biota than a small tree, perhaps because it is taller or its bark more fissured. (From Hunter 1990, reprinted by permission of Prentice-Hall, Englewood Cliffs, New Jersey.)

disturbances typically initiate succession on a large area), or (3) some combination of these two (in some landscapes large-scale disturbances produce even-aged forests at intervals of several hundred or even thousands of years, but most of the time small-scale disturbances are predominant [Seymour et al. 2002]). Having a balanced age-class distribution is also essential to meet a major goal of timber managers: producing a continuous supply of wood. Unfortunately, this is not the end of the story.

A conflict arises between maintaining biodiversity and timber production because trees usually grow to an optimal size for cutting long before they die of natural causes. This means that old trees and old forests are uncommon, or even absent, in most areas managed for timber production. The most famous example of this conflict comes from the North American Pacific Northwest, where the remaining, old-growth, Douglas fir forests are both critical habitat for the spotted owl and many other species, and a commodity of great value to the timber industry.

There is another dimension to forest age structure. When a tree eventually dies, it continues to have ecological value because a unique and very diverse set of species is dependent on dead and dying trees (McComb and Lindenmayer 1999). These range from woodpeckers and the broad array of other vertebrates that use tree cavities to the myriad of invertebrates, fungi, and bacteria that reduce deadwood to its organic constituents. Furthermore, in many forests fallen trees are “nurse logs” for another generation of trees because they provide nutrients and moisture for seedlings. Few trees die and are left to rot if a forest is being managed for maximum timber production, and this can be a major problem for all the species dependent on this unique microhabitat.

The conflict between the need for timber production and the need for old and dead trees can be resolved, or at least diminished, by allowing some trees to age and die. This can take place on many scales. At the smallest scale, it means identifying some individual trees that will be allowed to grow old and die. This is simple when trees are individually selected for cutting; it is more difficult, but still possible, to retain some large old trees in clearcuts (Franklin et al. 2002). At an intermediate scale, forest ecologists often advocate setting aside small patches of trees (e.g. a quarter-hectare patch on every 10 hectares of forest), or uncut riparian zones that offer two other benefits: protection of aquatic ecosystems and travel corridors. Finally, at the largest scale, forgoing logging on entire forests and landscapes returns us to the preceding chapter on protected ecosystems.

Forest managers can also defer cutting until the trees are larger and thus allow them to provide habitat for old-forest species for a longer time: for example, cutting an even-aged forest when it is 125 years old rather than 80 years old. Silvicultural techniques

for stimulating trees to grow bigger (e.g. thinning) can be useful because organisms are attuned to the size of a tree rather than its actual age. Of course, growing bigger trees does nothing for all the species that need dead trees if the trees are still cut before dying. Forest managers have sometimes remedied a shortage of dead trees by killing live trees, but this is only a short-term solution.

### *Spatial Patterns*

When mature trees die, they leave an opening that can range in size from the canopy gap left by a single windthrown tree, to many thousands of hectares in the case of boreal forest fires (Spies and Turner 1999). Similarly, the scale of logging operations can range from cutting single trees scattered throughout a forest to clearcutting large swathes. Many conservationists favor small-scale cutting because removing single trees distributed over a large area seems much less disruptive than cutting all the trees in one place. However, most forest ecologists would argue that it is more important to match the scale of cutting to the scale of natural disturbances. This would mean cutting individual trees in all-aged forests where trees die one at a time, but it would also mean cutting tracts of even-aged forest in blocks that match the sizes of the natural disturbances that initiate succession (Hunter 1993).

The following hypothetical scenario will make this difference clearer. Imagine an isolated village in which wood is the only source of fuel and the villagers need to cut 1000 trees each year. Near the village is a 1000 ha forest that has 100,000 mature trees and (to keep things simple) the villagers have three choices: (1) cut one tree from each hectare; (2) cut all 1000 trees in a single clearcut of 10 ha, or (3) cut ten 1 ha patches each containing 100 trees. Option 1 would have the least impact in the short term and thus be favored by many conservationists. However, what if this type of forest routinely experiences large-scale natural disturbances, and the trees in this forest are only able to regenerate in openings larger than the size of a single tree crown? (This is true of many tree species that live in even-aged forests; they are called shade-intolerant.) In this case many conservationists would propose option 3, ten small patch cuts. However, if you recall Figs 8.14 and 8.15, you will realize that option 3 would fragment the forest more than option 2, especially if you needed a road network to access all the cuts. Ideally you would determine whether option 2 or 3 was a better match for the natural disturbance regime and, in the absence of precise information, perhaps you would use a mixed strategy, cutting ten small patches one year then one large one the next year.

This scenario was constructed to show that the obvious solution is not necessarily the right one; small-scale cutting is not always preferable to large-scale cutting. This said, conservationists' concerns about clearcutting are usually well founded. There are many forests that are being clearcut because it is the most expedient way to remove trees even though it bears no resemblance to a natural disturbance regime. It is far harder to find forests that should be subject to large-scale disturbances, but that are being logged with small cuts. Furthermore, unless sensitively undertaken, clearcuts may have little resemblance to fires and windthrows, in particular because these natural disturbances usually leave significant numbers of live and dead trees in their wake (Keeton and Franklin 2005).



### *Species Composition*

Some tree species are more profitable to grow and cut than others: for example, some are so valuable that a single tree is worth tens of thousands of dollars; some can grow over 10 meters in five years. These differences encourage foresters to try to control the species composition of a site by planting seeds or seedlings of desirable species or controlling undesirable species (e.g. through thinning or herbicides). Not surprisingly, these manipulations can have negative consequences for the forest's other biota. To take a simple example, all the species dependent on acorns will suffer if a forest's oaks are replaced by pines. The effect is likely to be considerably greater if the planted trees are exotics: plantations of Australian eucalyptus trees are found on every continent except Antarctica, and many of these plantations have impoverished floras and faunas.

From a biodiversity standpoint the solution is simple. Foresters should favor the tree species that are native to a particular forest. Techniques for controlling species composition also allow foresters to shift the species compositions of forests that have been altered by previous management toward their natural composition (Palik and Engstrom 1999).

### Livestock Grazing

We are all familiar with the image of cattle grazing on an open plain, but many other species are used as livestock, and they forage in a diverse array of uncultivated terrestrial ecosystems, collectively called rangeland, that cover about 25% of the earth's land surface (Asner et al. 2004). This section is relevant in some degree to sheep, yaks, and llamas on alpine meadows; reindeer on the tundra of Lapland; dromedaries and goats in the deserts of the Middle East; and the various species that are grazed in woodlands (i.e. forests open enough to have a well developed stratum of ground vegetation). This said, however, we will focus primarily on grasslands and cows.

Compared with forests, grasslands have been given less attention by conservation biologists, and, consequently, we have a more limited understanding of what livestock grazing does to them and how to manage them for biodiversity (Noss and Cooperrider 1994; Tainton 1999). Nevertheless, some ideas seem intrinsically obvious because they are based on the logical premise that rangeland management will be more compatible with biodiversity if it maintains ecosystems that are somewhat similar to natural ecosystems.

### *Native Grazers*

One obvious tactic is to use species of livestock that are as close as possible to the species that are native to a particular ecosystem. For example, consider the evolutionary-ecological relationships of the cow, which is thought to have been domesticated from aurochs, a largely forest-dwelling bovine from Eurasia that became extinct in the seventeenth century (Clutton-Brock 1981). Cattle are clearly more at home in Eurasia than in Australia, where kangaroos and other marsupials were the only large mammalian grazers for at least 20 million years. In North America some people have argued that cattle are a reasonable substitute for American bison (buffalo) because they are fairly close relatives. No doubt they are a better substitute for bison than are goats or sheep, and grazing by cattle may well be preferable to no grazing by large mammals at all (Milchunas et al. 1998). For example, one study found that plant species richness was



**Figure 12.3** The grazing effects of cattle may be analogous to those of wild ungulates but there are differences. For example, cattle are even more dependent on riparian zones than are bison. (Photo from R. Robinson, provided by Yellowstone National Park.)

greater on plots grazed by bison or cattle than ungrazed plots because the grazers created a patchwork of different degrees of grazing pressure (Towne et al. 2005). However, there are some differences between cattle and bison; notably, cattle need access to water and shade more than bison do, and thus in semiarid landscapes they concentrate in riparian zones, where they often overgraze the vegetation (Fig. 12.3).

To a limited extent this pattern of favoring natives exists already: Asian elephants, reindeer, Bactrian camels, dromedaries, llamas, alpacas, yaks, and water buffalo are all used primarily within their native ranges. Moreover, there is a growing interest in game ranching or farming, i.e. raising undomesticated large mammals such as bison in North America, or eland in Africa within fenced areas (Teer et al. 1993).

Finally, human desire for meat could be met by game cropping: the systematic and, it is hoped, sustainable harvest of wild (neither domesticated nor captive) larger mammals, birds, and reptiles (Hudson et al. 1989; Robinson and Bennett 2004). Game cropping is not livestock management, but it can involve managing rangelands (e.g. by providing water holes) and thus fits within this section.

### *Natural Grazing Patterns*

Another tactic is to use the spatial and temporal patterns of native grazers as a model for livestock grazing systems. For example, many native grazers visit an area for a short time, graze it intensively, and then do not return for a year or longer (McNaughton 1993). In contrast, livestock is often allowed to graze an area continuously as long as there is some food and water. When livestock managers do rotate herds among different areas, the emphasis is usually on providing the livestock with more forage rather than on maintaining a seminatural ecosystem (Holechek et al. 2003). It is particularly important to control the spatial distribution of livestock

because they tend to gravitate toward and overgraze precisely those places that are most important to the native biota, the relatively uncommon spots with ample water and the most fertile soil. Livestock abundance also needs to be tightly controlled because populations of native herbivores are likely to be relatively low compared with livestock (Towne et al. 2005).

The key issue is to avoid overtaxing the plants' ability to grow and reproduce because overgrazing can profoundly change the vegetation and thus the entire biota. Moreover, once these changes have occurred, simply removing the livestock will not necessarily lead to the restoration of the original vegetation, especially if overgrazing has led to desertification or the encroachment of woody shrubs (Asner et al. 2004). Overgrazing can be difficult to assess because one of the most critical processes happens underground, where perennial grasses and forbs (vascular plants that are neither woody nor grasslike) must replenish their carbohydrate reserves during each growing season. If grazing curtails this process too much, these plants will be replaced by other species that are less vulnerable to overgrazing, either because they are less palatable to grazers, or because they are more tolerant of being grazed.

### *Natural Disturbance Regimes*

Like forests, grasslands are shaped by natural disturbance regimes such as fires, floods, droughts, and tornadoes. Fire is the most important of these on most rangelands, and ecologically sensitive range management must provide for the continuation of a natural fire regime, although what constitutes a "natural" fire regime can be controversial given the ancient history of humans setting fires (Bond and Keeley 2005; Bond et al. 2005). In many grassland and shrubland ecosystems, if fire does not occur quite frequently, trees will invade and transform the site into a woodland or forest ecosystem. The similarity and differences among grassland fires, grazing, and mowing is a complex topic that can prove quite controversial when managers propose substituting one for another (Collins et al. 1998; Swengel 1998; Panzer 2002). For example, in Europe it has been suggested that the current rarity of natural fires and native large herbivores means that livestock grazing or mowing is needed to maintain habitat for many open-land species (Pykälä 2000, 2005).

### *Predators and Competitors*

The interests of range managers and conservation biologists collide directly over one issue in particular, predator control (Freilich et al. 2003). Livestock owners are understandably reluctant to share their valuable stock with wolves, snow leopards, cheetahs, and other predators, while, on the other hand, these same predators are flagship species around which conservationists rally. Fortunately, livestock managers can, if they wish, minimize the loss of livestock without decimating entire predator populations: for example, by using guard dogs and selectively removing individual predators that have developed a taste for livestock (Marker et al. 2005).

An analogous problem can arise whenever livestock managers feel that native herbivores are competing for scarce forage. Programs to control prairie dogs in North America are a particularly egregious example of this because prairie dogs play keystone roles in grassland ecosystems through their extensive burrowing activity

(Miller et al. 2000), and some evidence suggests that they do not affect habitat selection or grazing rates of cattle anyway (Guenther and Detling 2003).

### *Range Management Techniques*

Range managers have a sizable repertoire of management tools that are likely to produce results that are contrary to the well-being of wild life (Holechek et al. 2003). Unwanted vegetation is often removed by dragging a chain between two vehicles, or spraying with herbicides. Exotic species, especially grasses believed to be more palatable or less vulnerable to overgrazing, are introduced. Fences are erected to control the movement of livestock and sometimes wild animals. Water holes are dug and can become a focal point of overgrazing. It is important to remember that these are just tools and that they can be used for positive purposes as well. For example, fences may be necessary to keep livestock out of sensitive riparian zones or from spreading diseases to wild animals. Vegetation control may be the first step in restoring a degraded grassland that has been invaded by shrubs.

### Fisheries

Like eating grass in a grassland, catching fish in an aquatic ecosystem may seem like a fairly benign activity, but appearances can be deceptive. As we saw in Chapter 9 and the Gulf of Maine case study, fishing can profoundly modify aquatic ecosystems, particularly because many exploited species have pivotal ecological roles as dominant or keystone species. In this section we will examine how fisheries management in semi-natural aquatic ecosystems may affect aquatic biodiversity. This topic has received relatively little attention (Wilcove and Bean 1994; Kohler and Hubert 1999), and thus some of the ideas presented here are somewhat speculative.

The oceans, lakes, rivers, and other aquatic ecosystems that support fishing are usually publicly owned, and thus a large portion of fisheries management consists of government agencies managing the people who catch fish, both commercially and recreationally (Fig. 12.4). This means regulating when, where, and how fish are caught, and especially how many fish of what species and sizes. (To keep things simple we will refer just to fish in this section, but the basic principles apply to many other aquatic organisms exploited by people, such as shrimp, mollusks, lobsters, and various seaweeds.) The traditional goal of most fisheries managers is usually fairly simple: optimize the sustainable production of desirable fish species. This usually means maintaining populations of these fishes at fairly high levels, at least half of what they would be in a natural, unexploited ecosystem. Therefore, in theory, sustainable fisheries management could be reasonably consistent with biodiversity conservation as long as management does not focus too narrowly on the species targeted for harvest.

Unfortunately, this is not the end of the story. Fisheries managers are often unable to achieve sustainability because they cannot adequately regulate fishing, as described in Chapter 9. Not only are total catches unsustainable, but the impact on particular fish species, especially those high in the food chain, has been catastrophic (Mullon et al. 2005; Pauly et al. 2005). The difficulty in restricting fishing is due in part to an inherent mismatch between fishing by people and the natural mortality patterns of fish (see Fig. 9.9). Furthermore, regulating fishing is not



**Figure 12.4**

Regulating fishing is the primary way that fisheries managers control aquatic ecosystems. Here a fisheries observer measures the size of commercial fishing nets. (Photo from the Alaska Fisheries Science Center.)



enough; fisheries managers must also be vocal opponents of water pollution, loss of wetlands, dam construction, and other factors that generally degrade the environment for fish. In short, managing aquatic ecosystems for biodiversity is usually in tune with the major efforts of fisheries managers. Their lack of success at stemming the tide of overexploitation and environmental degradation may be dismaying, but at least they are trying.

Although the objectives of fisheries managers are in concert with the objectives of conservation biologists much of the time, there are important exceptions (Wilcove et al. 1992). Exotic species provide the most obvious example. From the perspective of a fisheries manager trying to produce large catches of desirable fishes, introducing new species to a water body has long been an acceptable practice. From a biodiversity perspective these exotics are an anathema (Chapter 10). Similarly, fisheries managers sometimes try to reduce populations of native, undesirable species – “trash fish” – that compete with preferred species. In its most extreme form this can involve poisoning a lake or river to kill the native fish and then replacing them with desirable species; recall what happened on the Green River (Chapter 10; Holden 1991). Fortunately, most fisheries managers are now better attuned to the value of all aquatic organisms and no longer use the term “trash fish,” at least in polite company (Wydoski and Wiley 1999). Conservation biologists also need to evaluate fisheries management techniques that involve modifying the natural physical or chemical environment of aquatic ecosystems: for example, manipulating water levels, building artificial structures to serve as spawning areas or cover, and adding fertilizer to increase primary production (Kohler and Hubert 1999). The scale and impact of these modifications are usually quite limited, but in some cases they might have a deleterious effect on biodiversity by altering the habitat of a rare species.

The bottom line is that as long as fisheries managers are attempting to maintain or restore populations of native fishes and their ecosystems, their activities can be endorsed by biodiversity advocates. Sometimes, zealous fisheries managers will initiate something that is likely to degrade biodiversity such as introducing an exotic fish, but this is becoming less common. Unfortunately, the actual track record for maintaining healthy seminatural aquatic ecosystems is poor, which highlights the need for many more aquatic reserves closed to fishing (Norse and Crowder 2005).

## Extractive Reserves

The term “extractive reserve” may seem like one of those oxymorons: “soft rock” or “bureaucratic efficiency.” It is most commonly associated with areas in the Amazon Basin that have been protected from intrusive forms of land use such as large-scale agriculture or commercial logging, but that are still open for limited extraction of resources: for example, collecting nuts and fruits and, especially, tapping rubber trees (Fearnside 1989; Salafsky et al. 1993; Ruiz-Perez et al. 2005). This basic idea could be applied anywhere. For example, if a large area of the Arctic were declared off limits to oil extraction and commercial fisheries, but were still open to native people for subsistence hunting and fishing, this area could be called an extractive reserve. The primary difference between an extractive reserve and a traditional reserve that allows some extraction (e.g. Nepal’s Chitwan National Park, described in Chapter 11) lies in their goals. An extractive reserve would put production of natural resources for local people first, and protection of the ecosystem would be a second, although still very important, goal. A traditional reserve would put ecosystem protection first.

## Ecological Management

The take-home message from this section can be summarized easily: to integrate natural resource management and maintenance of biodiversity, ecosystems should be managed in a way that is as consistent with natural ecological processes as possible. In other words, sustainable exploitation of ecosystems will be most successful if approaches are used that mimic established ecological relationships rather than introduce novel ones: for example, cut trees in a manner that imitates natural disturbances; graze livestock so that they are a surrogate for native herbivores. In other words, use natural ecosystems as a model, a point of departure (Angermeier 2000). Too often managers of these ecosystems use agriculture as a model, and that is fraught with difficulties, as we will see in the next section.

## Cultivated Ecosystems

Across great sweeps of the earth, the land is a vibrant green testament to photosynthesis, yet the variety and abundance of wild life are only a shadow of what they should be. These are our cultivated lands, the places where we have replaced natural ecosystems with a sparse assemblage of exotic and native species. Row crops of grains and vegetables are the dominant form of cultivated ecosystem, but we have created many other types of ecosystems to produce food, fiber, or fuel. These include orchards, tree plantations, ponds devoted to aquaculture, cranberry bogs, cattail

marshes managed for biomass fuel, and more. Admittedly, drawing a line between a cultivated ecosystem and an intensively managed seminatural ecosystem can be a rather arbitrary decision. A pasture sown with seeds of an exotic grass species and then carefully fertilized and grazed is clearly cultivated, but what if the sown grass were a native species? How do we separate tree plantations and intensively managed forests?

The process of turning natural and seminatural ecosystems into cultivated ones is probably the most important proximate cause of biodiversity loss, the ultimate causes being the burgeoning human population and our demand for the products of all these cultivated ecosystems. Consequently, conservationists routinely object to the expansion of cultivated ecosystems. Beyond this, however, they tend to ignore these places as blank spots on the map of biodiversity, and thus they do not interact much with farmers (here broadly defined to include fish farmers, tree farmers, etc.) except in regions where farms completely dominate the landscape. This is shortsighted for two reasons that we will examine further: (1) with careful management, some important elements of biodiversity can persist in a cultivated ecosystem; and (2) thoughtful stewardship of cultivated ecosystems can ameliorate their negative effects on surrounding landscapes and minimize their rate of expansion.



**Figure 12.5** Whether it is a stone-wall lined pasture in New England or a hillside in Nepal carved into terraces, a key factor in maintaining biodiversity in agricultural landscapes is maintaining patches of native vegetation, especially along streams and lakes. (Photos from M. Hunter.)



## Biodiversity in Cultivated Ecosystems

If farmers had total control of their ecosystems, many of them would channel virtually all the resources of a site – energy, water, nutrients – into crop species and a handful of key associates such as nitrifying bacteria and pollinating insects. Witness farmers' efforts to control unwanted species – weeds, pests, vermin. Fortunately for biodiversity, most farmers fall far short of this goal, and some do not pursue it assiduously because they enjoy sharing their land with other species.

The single most important factor allowing wild life to persist in a cultivated setting is the tiny relicts of habitat that receive little or no cultivation (Carroll et al. 1990). These would include a strip of shrubs along a ditch, a patch of trees on a rocky outcrop in the middle of a hayfield, a wet spot in the midst of a plantation, a hedgerow separating two fields, and similar places (Fig. 12.5). They are too small to be managed as independent



Figure 12.5 Contd.



ecosystems, but are large enough to provide refuge to a surprising diversity of wild creatures (Miller and Cale 2000; Duelli and Obrist 2003). Therefore, one of the most important things a farmer can do for biodiversity is to retain these places or even restore and expand them. For example, farmers in Europe and elsewhere need to retain hedgerows even though with modern machinery it is now easier to cultivate one large field than two smaller ones (Dowdeswell 1987; Baudry et al. 2000). Prairie farmers in North America need to resist the temptation to fill or drain the small potholes that support pintails, avocets, and a large array of other wetland species (Mitsch and Gosselink 2000). Some farmers will actively create these environments; farm ponds are the most common example of this (Knutson et al. 2004). In Europe habitat for uncommon plants and insects is created by maintaining 2–12 meter-wide strips at the edges of fields that are managed differently from the crops perhaps not sprayed with pesticides or fertilizers, perhaps not tilled (Critchley et al. 2004; Field et al. 2005). Decisions to set aside some land to lie fallow for one or more years, resting before another commercial crop is grown, also creates these patches of natural, albeit on a short-term, always shifting basis (Firbank et al. 2003). Conservation that focuses on small features of the landscape such as hedgerows and riparian strips has been termed “mesofilter” conservation because it operates at a scale between the ecosystems of coarse filters and the single species focus of fine filters (Hunter 2005).

Natural remnants are not the whole story in agricultural landscapes; the variety of commodities being grown also contribute to landscape diversity (Chamberlain et al. 2000; Wilson et al. 2005a). Not surprisingly, dairy farmers who maintain pastures, hayfields, and feed-corn cropland, and who supplement their income with a small orchard, are providing habitat for far more species than farmers who grow nothing but soybeans. Unfortunately, the overall trend has been toward greater specialization. This is particularly noticeable among farmers of developing countries as they shift from an emphasis on subsistence agriculture – growing a diversity of crops to meet most of their personal needs – toward an emphasis on growing cash crops (Donald 2004; Gray 2005). The difference between coffee grown under the shade of various trees that provide fruit and firewood and coffee grown in the open is one example of this phenomenon (Tejeda-Cruz and Sutherland 2004). The shade coffee supports a much larger native biota and can provide a wider variety of products for the farmer, but commercialization favors sun coffee. A growing movement to make agriculture more ecologically sound (associated with terms such as sustainable agriculture, agri-environment, alternative agriculture, or agroecology) emphasizes using a diversity of crops, including trees, but it remains to be seen if the overall trend toward specialization will be reversed (for more information on this movement, see Carroll et al. 1990; Collins and Qualset 1999; Kleijn and Sutherland 2003; Firbank 2005).

The specific practices farmers employ to cultivate their farms can also have a dramatic effect on wild life (Bengtsson et al. 2005). Use of insecticides, herbicides, fungicides, and other types of pesticides is probably the most important example because they are so commonly used and because their effects on targeted and nontargeted species, both on and off the sprayed site, can be so severe (see the section on pesticides in Chapter 8). Suffice it to say here that farmers who are concerned about biodiversity will minimize their use of these chemicals (Beecher et al. 2002; Hole et al. 2005). One practice that can rivet the attention of conservationists is farmers’ protecting their crops by killing popular vertebrates; for example, shooting kingfishers and herons

at a fish farm. Sometimes, this pits farmers against species that are in jeopardy globally but common enough locally to be considered pests by the farmers who have to live with them. Think about the dilemma of an African farmer who lives near a herd of elephants, each one of which eats about 150 kg of vegetation per day (Chiyo et al. 2005).

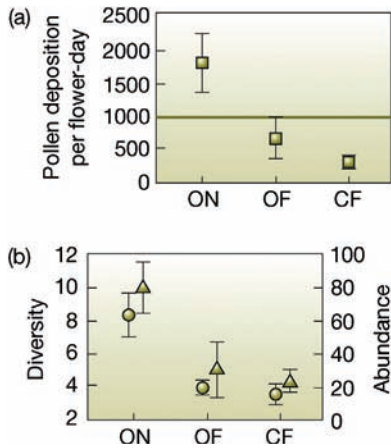
Even relatively subtle changes in farming practices such as timing can affect wild life; here are two examples from the British Isles and Germany. When British farmers shifted from spring-sown varieties of grain to autumn-sown varieties this reduced the populations of lapwings, song thrushes, and rooks because these species were dependent on the seeds and soil invertebrates brought to the surface by spring tilling (O'Connor and Shrubbs 1986). In Germany, a model of white storks' foraging behavior indicated that there would be much higher breeding success if nests were surrounded by fields that were mowed asynchronously, thus creating a steady supply of newly mown sites, their optimal foraging habitat (Johst et al. 2001). A comprehensive review of farming practices and their potential effects on wild life is beyond our scope here. The basic point is that these practices need to be evaluated and perhaps changed if cultivated ecosystems are to host a wide range of species.

Biological diversity also includes domestic species in all the myriad of forms developed by plant and animal breeders. We will cover their conservation in Chapter 14, "Zoos and Gardens," but they raise an interesting issue relevant here: should conservationists be concerned with maintaining cultivated ecosystems as important elements of biodiversity in their own right, irrespective of their role as habitat for species? The answer for many Europeans is "yes," because they view the countryside as an ecological, cultural, and aesthetic amenity. Indeed, the European Union has shifted its subsidies to farmers away from support for commodity production toward encouraging them to provide broad environmental benefits (Kleijn and Sutherland 2003; Firbank 2005).

## Minimizing the Negative Effects of Cultivated Ecosystems

Many cultivated ecosystems share a landscape with sizable tracts of natural and seminatural ecosystems, and therefore it is important to minimize the extent to which cultivated ecosystems impinge on ecosystems that are more critical for biodiversity. Fortunately, in this regard good farming is good for biodiversity in some important ways. For example, responsible farmers are vigilant against soil erosion, and this will minimize problems with sediment pollution. Similarly, conservative, careful use of fertilizers and pesticides will save farmers money and ameliorate problems with eutrophication and pesticide contamination (Matson et al. 1997; Stoate et al. 2001). Minimizing the use of pesticides can also have a direct positive return for agriculture because all farmers are dependent on healthy soils (with their myriad of organisms) and many need the assistance of beneficial insects (notably, pollinators and natural enemies of pest species) (Collins and Qualset 1999). *Integrated pest management* (often abbreviated IPM) is a good example of this, for it uses natural enemies of pests, specific cultivation practices (e.g. mixing crops), and conservative use of pesticides to achieve pest control (Koul et al. 2004). One of the primary goals of sustainable agriculture is to maintain profits for farmers by minimizing costs, and this means limiting soil loss and the expensive use of pesticides and fertilizers.

Ironically, one undesirable "export" from cultivated lands can be wild life. Many species are quite successful at living along the interface between cultivated and natural or



**Figure 12.6** Farmers who maintain natural vegetation may benefit from increased rates of pollination. A study of California watermelon farms compared organic (O) and conventional (C) farms that were near (N) natural vegetation (over 30% of the landscape within a 1 km radius) or far (F) (less than 1% native vegetation). (a) Total estimated pollen deposition by native bees ( $\pm$ SE) on organic near, organic far, and conventional far farms. (There were no conventional farms near natural vegetation.) The horizontal line indicates the level of pollen deposition required for production of marketable fruit. (b) Native bee diversity (circles) and abundance (triangles) ( $\pm$ SE). During a two-year study, all CF, one OF, and no ON farms brought managed honeybee colonies to the fields to achieve adequate pollination. (From Kremen et al. 2002, © National Academy of Sciences, USA.)

seminatural ecosystems, such as various members of the deer, crow, and kangaroo families and quite a number of small mammalian carnivores such as red foxes and raccoons. Farmers have long been familiar with the losses sometimes inflicted by these species, but their depredations on other native wild life can be critical too (Cote et al. 2004).

Some of the negative effects of cultivated ecosystems can be ameliorated by limiting their extent through increases in their productivity. The more commodities we obtain per unit area, the more room there is for natural ecosystems (Box 12.1) (Hunter and Calhoun 1995; Sedjo and Botkin 1997). Of course, there are some important pitfalls hidden here. In particular, the emphasis must be on achieving sustainable, long-term increases in production without excessive exports of pesticides, fertilizers, and soil. Furthermore, one way to increase productivity is through the use of *genetically engineered* or *genetically modified organisms* (GEO or GMO), but most conservationists are too concerned about the risks involved to endorse their use, at least until much more research is undertaken (Snow et al. 2005). With such reservations clearly in view, we still have ample opportunity to increase the productivity of many cultivated lands through intelligence, innovation, and diligence, and for many species this may have a greater net benefit than trying to increase the quality of their habitat on cultivated lands (see Green et al. 2005b for an analysis of this issue).

We also need to consider the juxtaposition of natural, seminatural, cultivated, and built ecosystems on the landscape to minimize the effects of cultivated ecosystems. As discussed earlier, from a biodiversity perspective buffering reserves from cultivated and built ecosystems is desirable, sometimes essential. On the other hand, farmers can often benefit by proximity to natural or seminatural ecosystems: for example, by increasing visitation by pollinators and pest-consuming animals (Fig. 12.6; Kremen et al. 2002). Having seminatural ecosystems as transition zones between natural and cultivated ecosystems will often balance various needs.

### Some Economic Perspectives

If society expects farmers, ranchers, fishers, and loggers to adopt practices that are amenable to maintaining biodiversity, what should we offer in return? Our respect? Some money? We will cover many aspects of these issues in Chapters 15 and 16 (“Social Factors” and “Economics”), but a quick description of two compensation mechanisms is in order here. Many governments offer various financial subsidies to farmers and fishers, and these have often encouraged environmental destruction that did not even make sense financially. These subsidies can be reoriented toward practices that are deemed environmentally acceptable, as is happening in Europe currently (Kleijn and Sutherland 2003; Firbank 2005). Such annual payments to “do the right thing” go by many names and can be offered to anyone who owns or uses the lands and waters, not just farmers (Main et al. 1999). Conservation easements are a

## BOX 12.1

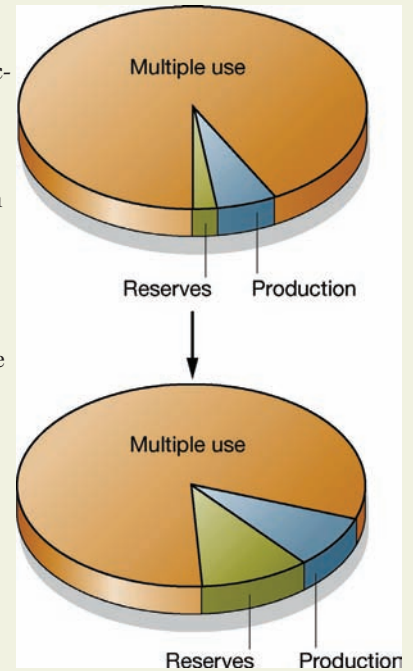
## A triad approach to land-use allocation<sup>1</sup>

From the perspective of producing commodities such as food, fiber, and fuel, it is possible to conceptualize a “triad” of three types of land use: (1) cultivated ecosystems where high levels of commodity production are achieved; (2) protected ecosystems with virtually no commodity production; and (3) modified ecosystems in which modest resource use occurs, while ecological values are carefully protected. Many environmentalists are reluctant to be advocates of cultivated ecosystems because so much biodiversity has been lost from the conversion of natural ecosystems to cultivated ecosystems. However, in some circumstances it might make sense to switch commodity production from extensive extraction in modified ecosystems to intensive production in cultivated ecosystems so that more land can be set aside in reserves.

The forests of Maine provide a good example: fewer than 3% have been set aside as reserves, roughly 6% are used for intensive forest management (e.g., tree plantations), and over 90% are used for extensive forestry. Intensive forest management in Maine produces roughly four times as much wood as extensive management, and thus for every hectare of forest switched from extensive management to intensive management 4 ha could be put in reserves with no net loss in commodity production. In other words, it would be possible to increase Maine’s forest reserves from 3% to 10% and to compensate for all of the lost production with a modest increase in the area of intensive production, from about 6% to 8% in round numbers (Fig. 12.7). In aquatic ecosystems the tradeoffs could be even more dramatic because aquaculture can easily produce ten times as much fish, often much more, compared with catching fish in semi-natural ecosystems. This tradeoff would be particularly attractive because the world’s aquatic ecosystems are overwhelmingly skewed toward extensive management, with very little area allocated to aquaculture or aquatic reserves.

Some conservationists think trades like these make sense. Others believe that we should set aside the reserves anyway and make up for the loss of production by reducing human populations and consumption. No doubt the latter approach would solve the problem, but which approach is more feasible?

<sup>1</sup> Based on Seymour and Hunter (1992) and Hunter and Calhoun (1995).



**Figure 12.7** The current allocation of Maine’s forests from a triad perspective and what the allocation could be if some trade-offs between cultivated ecosystems and reserves were made.

one-time agreement to purchase certain property rights from landowners; typically, the landowners can continue their traditional use of the land, but cannot convert it to a more intensive use, especially development as housing, factories, mines, etc. Easements and subsidies are widely accepted in conservation circles, in part because modified and cultivated ecosystems are judged to be far preferable to the alternative of having them developed into built ecosystems (Knight et al. 1995).

## Built Ecosystems

The final group of ecosystems to consider are easily detected, especially at night. These are the places where people live in great density and where, after dark, our enormous use of energy is manifested by lights readily detected from airplanes and



spaceships. In these ecosystems – cities, factories, mines, highways, and the like – human-made structures are dominant, and the hand of nature can be difficult to discern. However, nature is still there, even if it has been reduced to rats and cockroaches hiding in the recesses of a building, a crust of lichens and lichen-inhabiting invertebrates on a bridge abutment, or a line of weeds growing through the cracks in an abandoned parking lot. Some people are reluctant to think of cities as ecosystems, but they do meet our definition: they constitute a physical environment plus interacting populations (Chapter 4). A child feeding pigeons on a city street is participating in an ecological interaction, even though the solar energy in the bread crumbs was fixed by a wheat plant far away (Gilbert 1989; Pickett et al. 2001; Faeth et al. 2005).

Built ecosystems are not a major focal point for conservation biologists because they are primarily habitat for very adaptable species that are in no danger of extinction. You might guess from their names alone that house finches, house mice, house sparrows, house geckos, and bedbugs are able to survive in close proximity to people. Nevertheless, it would be a mistake to ignore these places completely for at least three reasons that we will consider here.

### Habitat for People

In most industrialized countries the vast bulk of people already live in urban and suburban environments and across the globe human populations are shifting toward urban areas (Palmer et al. 2004). This pattern is generally conducive to maintaining biodiversity because, if all of these people were scattered across the countryside, far less land would remain in natural and seminatural ecosystems. Consequently, conservationists have an interest in built ecosystems being pleasant, healthy places so that people will live there. This rationale applies also to recreation. Wild life will fare better if people spend an afternoon at the city park or in their backyard rather than drive to a beach where endangered piping plovers are trying to nest, or worse yet, build a vacation home on the dunes. One way to make urban and suburban life more pleasant is to facilitate positive interactions with wild life: encounters with robins, daisies, and dragonflies rather than rats and ragweed. Such contact may also encourage people to support conservation with their votes and their money and may provide nutriment and inspiration for young conservation biologists (McKinney 2002; Louv 2005; Miller 2005).

### Biodiversity in Built Ecosystems

Many built ecosystems harbor a surprising variety of wild life, species that cling to any oasis of green in a concrete desert (McKinney 2002; DeStefano and DeGraaf 2003) (Fig. 12.8). Fruit bats roost in a tree that overhangs one of the main streets of Kathmandu. Peregrines wing through the canyonlands of several North American cities searching for pigeons. In the southwestern United States many seminatural ecosystems are dotted with abandoned mine shafts, which represent small, human-built habitats for rare bats and many other species. One analysis of urban landscapes in Germany found relatively high species richness of native plants, which the authors attributed to cities being situated in places with heterogeneous physical environments



**Figure 12.8** In urban landscapes oases for quite a few species of wild life can be found in parks, backyards, cemeteries, etc. Canberra, the capital of Australia, is home for over 300,000 people and a remarkable diversity of wild species because of city planning that maintained large areas of open space. (Photo from M. Hunter.)

(Kuhn et al. 2004). The fact that cities tend to be located in places with fertile soils and benign climates may also play a role (Schwartz et al. 2002; Gaston 2005). Of course, most urban species are quite common, and peregrines and eastern barred bandicoots are unusual exceptions to this pattern. Nevertheless, it is important to remember that there are more urban species that merit our esteem than our disdain, more native butterflies than exotic cockroaches.

It is interesting to speculate that urban populations of some species may be genetically different from their conspecifics living elsewhere. Perhaps, for example, some urban plant populations are more tolerant of ozone than rural populations of the same species. The famous story of industrial melanism in moths (Chapter 5) suggests that this is not a farfetched idea and that it may be of practical importance. Any allele that increases the fitness of individuals in human-altered environments has a fair chance of spreading, and it might allow an entire species to persist in our changing world. The possibility of genetic adaptations to urban settings is another argument for maintaining viable populations of species across their entire geographic range, including built ecosystems.

### Imports and Exports

Built ecosystems interact with other ecosystems in a far-reaching network. Tremendous quantities of energy and matter are imported – notably fossil fuel, electricity, food, and building materials – often coming from thousands of kilometers away. Tremendous quantities of wastes are exported. Air pollutants travel downwind. Solid wastes travel to open spaces, often nearby or sometimes far away.

Most major urban areas are on the shores of rivers or the ocean, where currents can carry water pollutants away. Clearly, these imports and exports are of direct concern to natural resource managers trying to maintain biodiversity in the ecosystems where the energy and matter are acquired or where the wastes are disposed.

### How to Do It

These three issues can be crystallized into a single goal: making built ecosystems inhabitable for both people and other life forms. Pursuing this goal involves activities that are the cornerstones of environmentalism (pollution abatement, curbing resource use, recycling, etc.) and that need no elaboration here. (Although it is worth pointing out that college campuses are ripe for local action [Barlett and Chase 2004].) It also requires activities that are a bit closer to mainstream conservation biology – notably, managing the patches of green that dot the urban and suburban landscape (Gilbert 1989; McKinney 2002). These city parks, backyard gardens, cemeteries, golf courses, and the like conform to our definition of cultivated ecosystems, but they fit here better than in our preceding discussion of farms, because they are so closely linked to built ecosystems. They differ from rural cultivated ecosystems quite significantly because they are managed primarily for their aesthetic qualities rather than commodity production. Sometimes, this means monocultures of exotic species; witness the expanse of lawns that we maintain with liberal inputs of pesticides, fertilizers, and fossil fuels (Bormann et al. 2001). Yet aesthetic considerations also foster diversity. They encourage people to grow a variety of flowers, shrubs, and trees, and, whether intended or not, a variety of associated animals. Indeed, more and more people are thinking of gardens and city parks as habitat for wild life, not just a pretty place to play croquet. People are replacing lawns with patches of native plants and focusing on plant species that will provide food for birds and butterflies (Johnson et al. 2004; Mizejewski 2004). We do not have space to describe all the techniques for wild life gardening, but there is abundant literature on the subject. Wild life gardening gives everyone an opportunity for hands-on action, even if it is only maintaining a window box. Much of the work outlined here may be in the realms of urban planners and horticulturalists, but conservation biologists have a role too, for example, in pointing out the importance of ecological connectivity to sensitive species (Rubbo and Kiesecker 2005).

## Restoring Ecosystems

Scan the landscape from any vantage point near the Mediterranean – the Acropolis, Mount Sinai, the seven hills of Rome – and you will witness what thousands of years of human occupation have done.

in those days the country ... yielded far more abundant produce ... in comparison of what then was, there are remaining only the bones of the wasted body as they may be called ... all the richer and softer parts of the soil having fallen away and the mere skeleton of the land being left. But in the primitive state of the country its mountains

were high hills covered with soil, and the plains ... of Phellus were full of rich earth, and there was abundance of wood in the mountains ... not so very long ago there were still to be seen roofs of timber cut from trees growing there, which were of a size sufficient to cover the largest houses; and there were many other high trees, cultivated by man and bearing abundance of food for cattle. Moreover, the land reaped the benefit of the annual rainfall, not as now losing the water which flows off the bare earth into the sea, but, having an abundant supply in all places, and receiving it into herself and treasuring it up in the close clay soil, it let off into the hollows the streams which it absorbed from the heights, providing everywhere abundant fountains and rivers, of which there may still be observed sacred memorials in places where fountains once existed. Such was the natural state of the country which was cultivated. (Critias, 111.b,c,d)

These are not the words of a twentieth-century naturalist; they were written by Plato over 2000 years ago (quoted from Forman and Godron 1986). Plato understood what was being lost with a clarity that would be uncommon among most current inhabitants of the Mediterranean basin. It is hard to fully appreciate ecosystem degradation unless you have seen it happening within your lifetime, and much of the Mediterranean Basin suffered its most profound losses long ago. In many other parts of the world, natural ecosystems are being degraded today at a pace so fast that even young conservationists will have some personal experience with these changes.

What can be done about all these degraded ecosystems – the woodlands of the Mediterranean Basin, the deforested lands of Amazonia, the polluted rivers of Europe? Recall our discussion on global change (Chapter 6), and you will realize that degraded ecosystems will eventually recover. Someday, after the era of *Homo sapiens* has passed, even the hills of the Mediterranean will probably have a flora and fauna as rich as it ever was. Unfortunately, natural recovery processes are likely to be very slow; Fig. 6.1 suggests that in the worst cases several million years of evolution might be required. However, we do not have to wait. We can accelerate the recovery process if we wish.

There are many good reasons to restore ecosystems, but biodiversity advocates support restoring degraded ecosystems for one overarching reason (Dobson et al. 1997). At best, protecting natural ecosystems can only retain what we have, and wisely managing seminatural, cultivated, and built ecosystems can only avoid future degradation. If we want to reverse past degradation, we must think in terms of improving damaged ecosystems. Improvement can mean many different things. For a cultivated ecosystem degraded by erosion it might mean an increase in productivity. For a seminatural forest degraded by excessive logging it might mean restoring its ability to provide habitat for an endangered species. For a protected ecosystem it might mean removing an exotic species so that the ecosystem is closer to its original state. To clarify what improvement means we need to explore the concept further and, in the process, define some terminology.

## Some Terminology for Improving Degraded Ecosystems

It is easy to understand ecosystem degradation and improvement if we think in terms of an ecosystem moving through a conceptual space defined by ecosystem

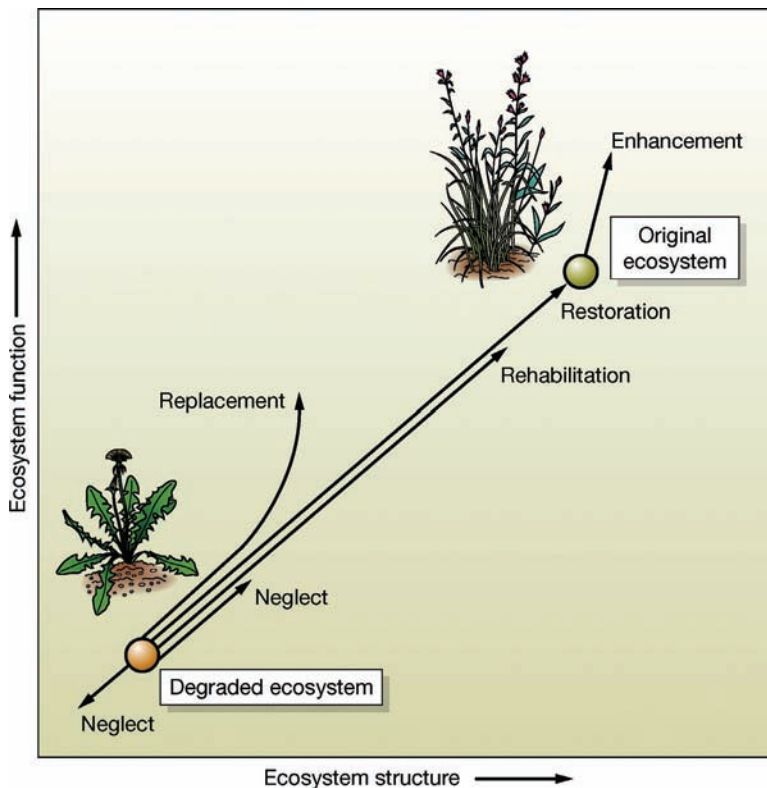


structure and function. In Fig. 12.9 the filled circle represents a healthy structure (e.g. high diversity) and function (e.g. high productivity), while the empty circle represents the same ecosystem with a structure and function that have been degraded by some human activity. If the degradation process is stopped, the ecosystem will recover over time. Initially, the ecosystem may continue to degrade for a while, especially if severe soil erosion occurs, but, eventually, it will probably move toward its original state because of ecological succession. If the scope of degradation is great, then the recovery may take a long time (centuries or longer) and may only be approximate. Restoration ecologists often describe the “let-nature-take-its-own-course” option as *neglect*, a word that clearly shows their preference for active management and improvement. “Recovery” would be a more neutral term.

The type of improvement most in concert with the goals of conservation biology is *restoration*, which means actively trying to return the ecosystem to its original state. Many ecosystem ecologists tend to emphasize function over structure, and thus they

would concentrate on restoring an ecosystem’s productivity and nutrient cycling. Conservation biologists usually would not be satisfied with this; they would also attempt to restore an approximate replica of the original biota.

Restoration ecology as we have just defined it narrowly is distinct from some closely related activities because ecosystem managers sometimes try to improve an ecosystem without returning it to an approximation of its original state. *Rehabilitation* of a degraded ecosystem means shifting it back toward a greater value or higher use than it is serving currently, not necessarily all the way to its original state. “Greater value” and “higher use” can be broadly defined, but usually reflect human instrumental values. Reclaiming a mine site as pasture for livestock rather than restoring it to its former state as a natural grassland would be an example of rehabilitation. (“Reclamation” is another common synonym for rehabilitation.) Sometimes, the goal is *replacement* of a degraded ecosystem by creating a completely new



**Figure 12.9** A conceptual representation of ecosystem degradation, restoration, and related processes. See the text for an explanation; for each line on this graph there is an italicized term in the text. (Redrawn by permission from Bradshaw 1984.)

one. Creating a marsh in a mine pit that was formerly a forest would constitute replacement. Replacing terrestrial ecosystems with wetlands is quite common in the United States because laws often compel people who destroy one wetland, to build a road, for example, to create a new wetland somewhere else.

Finally, *enhancement* is used for any activity that improves the value of an ecosystem, even if the change is rather limited. This term can even include activities that, depending on how you measure value, improve an ecosystem that has not been degraded, as shown in Fig. 12.9. We have already discussed one example, installing water holes in desert reserves. Similarly, waterfowl managers often enhance wetlands by putting in water control structures that allow them to maintain the type of vegetation favored by ducks. Conservation biologists will usually be skeptical of enhancing undegraded natural ecosystems because they will wonder what species may be harmed by the manipulation.

The issue of ecosystem restoration, reclamation, and so on often arises when discussing *mitigation* of the impact of a proposed development, especially roads, airports, shopping malls, etc., that will profoundly degrade a site. There are four major forms of mitigation. First and most ideally, the impact should be avoided altogether; for example, by relocating the development to a site that has already been severely degraded. Second, if the impact cannot be avoided, the site should be restored, or at least rehabilitated, after the impact is over; for example, after a mine is exhausted. Third, if the impacts are relatively permanent, another nearby degraded site should be restored to replace the one lost. Fourth, the developer can be required to purchase and permanently protect natural ecosystems, preferably at a ratio of several hectares protected for every one lost.

## Six Basic Steps for Restoring an Ecosystem

**1 Set a goal.** Do we wish to restore the preexisting ecosystem, or is it only feasible to rehabilitate the degraded ecosystem? It is important to be realistic, especially because ecosystem restoration can be quite expensive. The total bill for restoring the Everglades will be tens of billions of dollars (Holl and Howarth 2000). Given the dynamic nature of ecosystems (recall Fig. 6.5) and the long history of degradation, we will also need to decide *which* preexisting ecosystem to restore, the one that was present ten years ago, or that present 300 years ago. Conservationists often desire to restore ecosystems to a state that existed before the colonization of people, at least technologically advanced people (Angermeier 2000; MacDougall et al. 2004). However, if we choose to restore an ecosystem to an ancient state, we need to recognize that the ecosystem we hope to restore would have changed even in the absence of people. To put it another way, the natural (undegraded by human activities) state of an ecosystem is a moving target because of long-term climate change, species range shifts, and other factors. Finally, once a general goal has been set, it can be translated into a specific set of objectives, usually by comparison with a benchmark ecosystem that exhibits the desired state, or *reference conditions* (Kuuluvainen 2002). In sum, setting a goal requires answering both ethical (what do we want?) and technical (exactly what does that look like?) questions.

- 2 Determine a strategy and methods.** Ecosystem restoration is not easy because, to paraphrase Frank Egler (1977), not only are ecosystems more complex than we think they are, they are more complex than we *can* think. This complexity is daunting, but it must not be an excuse for inaction. It does mean that ecosystem restorationists need to do their homework; to understand the ecosystem in question as thoroughly as possible; and to work out a plan of attack with other experts such as civil engineers, landscape architects, horticulturalists, and other specialists, including social scientists who can help ensure community support (Gobster and Hull 2000). Restoration projects often offer unique opportunities for public education and involvement.

Interestingly, ecosystem restoration can give to the science of ecology as well as take from it because it represents an experimental application of our knowledge of ecosystem function and structure. As Bradshaw (1987) put it, “Ecologists working in the field of ecosystem restoration are in the construction business and, like their engineering colleagues, can soon discover if their theory is correct by whether the airplane falls out of the sky, the bridge collapses, or the ecosystem fails to flourish.” It is wise to learn from the mistakes of others, and, fortunately, ecosystem restoration has been the subject of many books (e.g. Bradshaw and Chadwick 1980; Sauer 1998; Perrow and Davy 2002) and is covered in two journals: *Ecological Restoration* and *Restoration Ecology*. Note that successful restoration ecology projects also incorporate the social sciences.

Every restoration project is unique. Even steps as fundamental as 3, 4, and 5 are not required in every project, and the specific execution of these steps will always vary.

- 3 Remove the source of degradation.** This step is obvious and critical: you cannot recover from a knife wound until you have removed the knife. We cannot restore a eutrophic lake until we remove the source of excess nutrients. We cannot restore an overgrazed grassland until we have removed much, if not all, of the livestock. In some cases, especially on islands, exotic species are the primary source of degradation and must be removed to initiate restoration (Campbell and Donlan 2005; Cruz et al. 2005). In other cases, exotic species can be removed later while fine-tuning the ecosystem restoration process, and may even have a role in furthering the restoration process; for example, by stabilizing soils (Ewel and Putz 2004). Sometimes, the source of degradation will have disappeared before the restorationist arrives on the scene (e.g. the bulldozers will be gone), but if not this is the first “hands-on” task.
- 4 Restore the physical environment.** In some cases restoring physical structure is sufficient; for example, coral reef restoration sometimes begins with providing suitable substrates for coral colonization (Fox et al. 2005). Often restoring the physical environment is far more complex. In most terrestrial and wetland ecosystems soil is a critical issue. If it is eroding, it must be stabilized; if it has already eroded away or is contaminated it must be replaced. Unfortunately, replacing soil by importation is expensive and depletes the supply of soil at the other site, while rebuilding the soil on site is a long process.

Restoration of an ecosystem’s hydrologic regime is often essential, especially in aquatic and wetland ecosystems (Poff et al. 1997). Sometimes much can be accomplished through changing the management of water control structures, but

in many cases the enormous network of dams, dikes, canals, and so on will need to be redesigned or even removed (Hart et al. 2002). Similarly, restoration of a disturbance regime is often critical; in particular, returning fire or grazing to grasslands and woodlands is often an issue, as we discussed earlier (Poyry et al. 2004; Van Lear et al. 2005).

- 5 Restore the biota.** Given time many species would recolonize a suitably restored environment, but this process can be accelerated significantly by translocating populations – collecting appropriate plants and animals and moving them. (The next chapter will cover translocations in more detail.) Plants are usually the priority for restoration projects because they provide habitat for the animals, and many animals are mobile enough to colonize on their own after suitable vegetation is growing (Bradshaw 1983). Simply finding enough suitable specimens for importation can be difficult, especially because we do not want to overexploit the ecosystem where we obtain the colonists. Whenever possible, it is best to work with any organisms that survive on the site rather than undertake the expense and risk of importing new ones. For example, restoring a degraded forest may involve manipulating the age-structure of the current population by thinning, a much easier proposition than planting new trees (Frelich and Puettmann 1999; Allen et al. 2002).

Conservation biologists are particularly interested in restoring rare species, but often these will be the most difficult to obtain and establish (Maina and Howe 2000). In the worst cases, some of the ecosystem's original inhabitants will have become extinct. If substitutes of a different subspecies are available, these are generally deemed appropriate for reintroduction as long as they are likely to be adapted to site conditions (Seddon and Soorae 1999; McKay et al. 2005), but the issue is more difficult when an entire species is globally extinct. Consider the dilemma of European conservationists who would like to restore a forest complete with a population of aurochs, or a North American longing for a grassland ecosystem with a mammal fauna as rich as that before the Pleistocene extinctions. Most conservationists would argue that we should do the best we can with extant, native species, but some people have argued that we should introduce ecological equivalents of extinct species: for example, moving African elephants and cheetahs to North America to replace those lost during the Pleistocene extinctions (Donlan et al. 2005).

- 6 Be patient.** It can take many years for reintroduced individuals to grow, populations to increase, other species to colonize, and so on. In the meantime the site should be carefully monitored so that the next restoration project will be based on a larger foundation of knowledge.

## A Cautionary Note

Sometimes, promoting ecosystem restoration can have an unintended side effect. The real or perceived opportunity for restoration can make it easier to justify additional ecosystem degradation. If miners promise to replace an abandoned field with a beautiful lake surrounded by a lush forest, they will find it easier to win approval of their proposal. Conservationists need to be conservative on this point because



ecosystem restoration has a significant risk of failure even when undertaken with great care and diligence (Ruiz-Jaen and Aide 2005). In short, the promised lake ecosystem may turn out to be just a barren body of water. At best, it is not likely to be a perfect replica of a natural lake ecosystem.

## CASE STUDY

### Forests of the Pacific Northwest<sup>1</sup>

Some of the world's most spectacular forests lie in a broad band paralleling the Pacific coast from northern California to southeastern Alaska. Ample rainfall, mild winters, and fertile soils allow trees to grow to prodigious size (Fig. 12.10). These same conditions, plus the wide range of microhabitats created by having exceptionally tall trees and exceptionally large reservoirs of dead wood, support a diverse flora and fauna. From a human perspective, all of this represents a rich lode of natural resources, notably, timber, salmon, and opportunities for outdoor recreation. Unfortunately, it also creates an arena for managing ecosystems in which the stakes are high and the potential for conflicts is great.

Humans arrived in this region relatively recently: several thousand years ago in the case of people immigrating from Asia across the Bering land bridge; in the nineteenth century in the case of settlers from the east coast of



**Figure 12.10** The forests of the Pacific Northwest are some of the richest temperate forests on the planet in terms of both their biological wealth and their value for timber. (Photo by Marc Adamus.)

North America. This relatively short tenure and the overall abundance of natural resources may explain why loggers have not entered a fairly high percentage of the region's forests, relative to other temperate forests in the world. Estimates of this percentage will vary, particularly depending on how we define the region's northern boundary, but may be roughly 15–25%. To someone concerned with maintaining biodiversity, these remaining virgin forests are a small legacy that must be carefully protected in reserves. To someone concerned with maintaining the health of the timber industry, these remaining forests represent billions of dollars worth of standing timber, as well as land that can be allocated to growing more timber in the future. There are other perspectives as well – for example, those of people who treasure the region's wild places as a setting for outdoor recreation and those of people who value salmon as a commercial and recreational resource and who recognize the link between healthy forest ecosystems and healthy salmon populations. However, we will focus on the issue of biodiversity versus timber, particularly as it is being addressed in the United States.

Initially the issue was seen as spotted owls versus timber, at least in the southern end of the region. In reality the spotted owl was essentially a flagship species for environmentalists to rally public attention and a scapegoat for the timber industry to pit against the welfare of people. Legally speaking, the spotted owl was a vehicle for addressing the larger issue of maintaining old forest ecosystems because it is protected under the US Endangered Species Act. This means that its habitat must be protected, and its habitat consists largely of these old remnant forests, often several hundred hectares per pair.

The first response to protecting spotted owl habitat was establishing small reserves (Spotted Owl Habitat Areas, SOHA) around many of the known sites occupied by owls. Soon the inadequacies of this approach became apparent, and the focus switched to identifying areas of many thousands of hectares that would hold 20 or more owl territories (Habitat Conservation Areas, HCA) and to maintaining significant forest cover (>40% canopy closure on half the area) between the HCAs to facilitate owl dispersal. Neither of these approaches specifically considered the needs of species other than spotted owls.

The third approach, devised by a Forest Ecosystem Management Assessment Team and widely known as FEMAT, involved identifying a large set of Late-Successional Reserves and Riparian Reserves designed to protect virtually the entire suite of species associated with old forests, including salmon and other species associated with forest streams. Ostensibly, this was an improvement, but environmentalists were disappointed with the specific plan because it still opened some areas of old-growth forests to commercial logging. Furthermore, it allowed some thinning of stands and salvaging of dead timber in Late-Successional Reserves that would presumably require road access. The FEMAT approach also attempts to improve management of federally owned forests outside of the reserves so that they will provide some habitat for a greater array of species. Specifically, it requires retaining some trees after clearcutting to accelerate the development of vertical structure in logged stands.

The picture painted here applies only to the roughly 50% of forest lands that are publicly owned. The other half of the forest is primarily owned by large timber corporations, and their management is quite different. Virtually all of the old-growth forests have been cut, and the major emphasis is on growing a single species, Douglas fir, on a 40- to 80-year cutting cycle. This usually involves clear-cutting a site, planting seedlings, and using various silvicultural techniques to accelerate growth. Management is usually intense enough to consider these forests to be cultivated ecosystems.

It remains to be seen how well the biota of this region will be served by this mixture of natural, modified, and cultivated forests. Certainly, it will fare better than the wild life of places like Europe that have a long history of intensive land use, but it will be compromised to some degree.

**1** This account is distilled from Harris (1984), Hunter (1990), Forest Ecosystem Management Assessment Team (1993), Franklin et al. (1997), Spies and Turner (1999) and personal communication with Jerry Franklin.

## CASE STUDY

## Restoration of the Iraq Marshes<sup>1</sup>

Images of Iraq in contemporary media are of a hot, dusty land, but 5000-year-old clay tablets residing in museums depict enormous expanses of lush marshlands. Indeed through the 1980s wetlands in southern Iraq spanned an area twice the size of the Florida Everglades (Fig. 12.11). The marshes are internationally significant for their bird-life and support many unusual and rare species. These include two endemic breeding birds: the Iraq babbler and the Basra reed warbler. Many endangered species also winter in the area: Dalmatian pelican, pygmy cormorant, marbled teal, white-tailed eagle, and slender-billed curlew. It has also been a vital overwintering area for several million migratory waterfowl. Moreover, several hundred thousand people known as “marsh Arabs” thrived in the marshes, living by fishing, hunting birds, and grazing their water buffalo. Many biblical scholars regard the marshes as the site of the legendary “Garden of Eden.” In modern times, this wetland complex was a massive water-treatment system that released clean water to the Persian Gulf and provided vital nutrients and spawning areas that sustained fisheries both in the marshes and in the Persian Gulf.

Widespread destruction of the southern marshes began in 1991 right after the first Persian Gulf War. An uprising of Shi’ite rebels against the regime of Saddam Hussein failed and many rebels fled to the marshes. To destroy their refuge Saddam Hussein ordered the construction of two canals and several dams to divert river flows away from the marshlands and into the desert, and also large-scale burning of the marshland vegetation. The projects had enormous and immediate destructive effects. In 2000, a report by the United Nations Environment Program’s Division of Early Warning and Assessment suggested that 90% of the marshes had disappeared. By 2003, experts feared that the entire wetland along with its biota would disappear entirely unless urgent action was taken. What was once a vast, interconnected mosaic of densely vegetated marshlands and lakes teeming with life had become a mostly lifeless desert of salt-encrusted lakebeds and riverbeds.

**Figure 12.11** A vast wetland complex in southern Iraq, home to the Marsh Arabs and abundant wild life, has been devastated by conflicts but is now being restored. (Photo from Jassim Al-Asadi, Center for the Restoration of Iraqi Marshlands, Iraq Ministry of Water Resources.)





After the 2003 allied occupation of Iraq, the United States government funded a plan called “Eden Again” to recover the marshlands, and the government of Japan funded implementation of the plan. Dikes that held water back from the marshes were breached and uncontrolled releases of Tigris and Euphrates River waters were made. By March 2004 nearly 20% of the original 15,000 km<sup>2</sup> marsh area was reflooded. As of 2005 as much as 50% of the marshes had been reflooded. Restoration is failing in some areas because of high soil and water salinities, but elsewhere rapid reestablishment, high productivity, and reproduction of native flora and fauna in reflooded areas suggest that the marsh restoration will be successful. The key will be ensuring sufficient flow of noncontaminated water and flushing of salts from the ecosystem. Moreover, the tenuous political situation in Iraq will determine whether the restoration efforts will be sustained. With continued attention the legendary Garden of Eden and the unique and abundant forms of life it supports will likely flourish again.

1 Key sources used were Munro and Tournon (1997), Bonn (2005), and Richardson et al. (2005). Useful websites are the United Nations Environment Programme’s Iraqi Marshlands Observation System (IMOS) (<http://imos.grid.unep.ch>) and the “Eden Again” Project ([www.edenagain.org](http://www.edenagain.org)).

## Summary

Managing ecosystems to maintain biodiversity requires a diverse mixture of approaches, including the following: protecting natural ecosystems in reserves; combining biodiversity conservation and commodity production (e.g. forestry and fisheries) in modified, seminatural ecosystems; managing cultivated and built ecosystems to ensure that they efficiently provide for human well-being without having a negative impact on other ecosystems; and restoring degraded ecosystems. Modified ecosystems dominate the earth’s surface, and thus it is essential that they provide habitat for most biota in addition to connectivity among reserves. This can be accomplished if these ecosystems are managed in a way that is as consistent as possible with natural processes, for example, managing livestock to imitate the role of native herbivores. Cultivated and built ecosystems do provide habitat for some species, but they are generally not species jeopardized with extinction. Conservationists need to ensure that these ecosystems are safe, enjoyable places for people to live in and that they produce most needed commodities so that the pressure on other ecosystems is minimized. Finally, all of the activities described above can only maintain the status quo; if we want to restore an ecosystem that has been degraded by human activities, we must make a special effort.

### FURTHER READING

For more information about managing particular types of ecosystems for biodiversity see Hunter (1990, 1999) and Lindenmayer and Franklin (2002) on forests, Samson and Knopf (1996) on rangelands, Wilcove and Bean (1994) and Boon et al. (2000) on aquatic ecosystems, O’Connor and Shrubbs (1986) and Collins and Qualset (1999) on farms, Gilbert (1989) on urban areas, and Perrow and Davy (2002) on restoration ecology. For some ideas about what you can do on campus see Barlett and Chase (2004). See [www.iucn.org/themes/cem/](http://www.iucn.org/themes/cem/) for the website of the IUCN Commission on Ecosystem Management. See Grumbine (1994), Callicott et al. (1999), and Dale et al. (2000) for some conceptual treatments of ecosystem management.



## TOPICS FOR DISCUSSION

- 1 Would you be willing to convert some portion of a 1 million hectare seminatural forest, currently modified by regular logging, into a plantation if an equal portion of the forest were set aside as a reserve?
- 2 Comparing ecosystems modified by fisheries, forestry, or livestock grazing, which do you think pose the most serious problems for conserving biodiversity? In which could the problems be solved most readily?
- 3 Should significant national funds be used for managing biodiversity in urban environments or should this be solely the responsibility of local governments and thus paid for by local taxpayers?
- 4 Do you think that exotic species should ever be used in ecosystem restoration projects; for example, planting a fast-growing exotic plant species to avoid soil erosion, then removing it later?
- 5 In your region which types of ecosystems have experienced the worst degradation and loss? What steps could be taken to restore them?



## CHAPTER 13

# Managing Populations

In 1976 there were only seven black robins in the world, and they were slipping into oblivion in their last refuge, a tiny patch of dying forest on top of a sea stack called Little Mangere Island, one of the Chatham Island archipelago about 600 km east of the South Island of New Zealand (Butler and Merton 1992). To save them, the New Zealand Wildlife Service captured all seven and moved them to a more stable patch of forest on adjacent Mangere Island. Yet on Mangere the robins continued to decline until 1979, when only five survived. A comprehensive, all-out effort to save the species was initiated. This involved supplementing their diets with feeding stations, removing eggs from nests, and transferring these eggs to the nests of other species – foster parents – so that the black robins could lay another clutch. This effort also included erecting artificial nest boxes, controlling parasites and predators, and other techniques that we will discuss later. It was all very complex, laborious, and intrusive, but it worked. At one point the fate of the species depended on a single breeding female – known as Old Blue for her leg band – who proved remarkably long-lived (>12 years) and tolerant of human manipulation. Now, there are over 250 black robins living on two islands and receiving no regular management. As Don Merton, chief architect of the project, said, “If we can save the black robin from extinction, we can save any species.”

This story is an inspiring example of what committed, creative people can do. It is also a dire warning of what may be necessary if we let species descend into such dangerous straits and then have to rescue them. For one thing, the approach is very risky. Consider the po’ouli, one of five endangered Hawaiian honeycreepers living in the rainforests of east Maui. The po’ouli had been the focus of an expensive relocation program that ultimately failed (Groombridge et al. 2004); the species is now likely extinct. To state the obvious, we cannot take this approach for each of the world’s millions of species; ecosystem management must be the backbone of programs for maintaining biodiversity. Indeed, it played a critical role in the black robin story: habitat restoration on Mangere Island was a critical element that began several years before the robins were transferred from Little Mangere. Nevertheless, there will be many situations in which it is necessary to manage populations directly because maintaining the ecosystems they inhabit is insufficient. This is particularly true of species that are close to extinction and those threatened by overexploitation.

Realistically, it is not possible to work with every single species that could benefit from direct management. How do we develop management plans for insect species that we have not even classified yet? Despite the difficulties, hundreds of species are

being managed now – mainly the vertebrates and vascular plants that are deemed most important ecologically, economically, or aesthetically – and this number will increase.

In this chapter we will review some of the techniques used for managing populations. To manage a population wisely, we must first understand its structure and the factors affecting it, but we will not return to population viability analysis, metapopulations, and other topics covered in Chapter 7, “Extinction Processes.” Here, the focus will be on techniques to use once the problems affecting a population are understood. In broad terms, these are: (1) providing resources that may be scarce such as food or water; (2) controlling threats such as predators, especially human predators; and (3) directly manipulating populations, as when individuals are moved to new sites, for example. These techniques can be used to manage all species, from very rare black robins to very abundant starlings, but, of course, conservation biologists are usually most concerned about species that are in jeopardy. Therefore we will focus primarily on techniques relevant to recovering small populations.

## Providing Resources

The most basic resources that organisms require are energy, carbon, hydrogen, oxygen, nitrogen, and certain other elements and combinations of them: in more familiar terms, food and water. Organisms also need a place to live, a place where the microclimate (mainly defined by levels of temperature and moisture) and their adaptations converge agreeably. This place may also provide concealment from other organisms, or a substrate to which they can attach themselves and not be swept away by wind, water, or gravity. Conservationists can meet all of these needs for any given species by maintaining the type, or types, of ecosystem it uses as habitat. In other words, all the strategies described in the preceding two chapters can be brought to focus on a single species. Sometimes, however, an ecosystem is almost a suitable habitat, but lacks something that is a limiting factor, such as enough hollow trees to serve as nest sites. In this case it is sufficient (and more efficient) to provide directly the key missing resource. Here we will review a few examples of these practices. This is not a comprehensive review because the potential scope of these practices is huge, and they have barely been explored for endangered plants and invertebrates.

### Food Energy Plus Nutrients

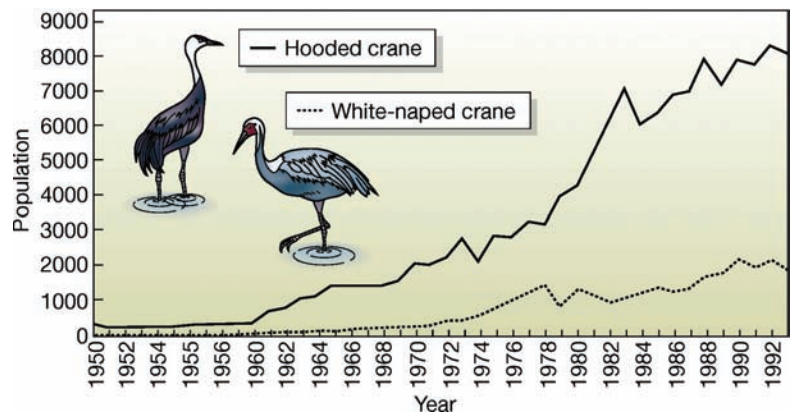
People love to feed animals. In the United States alone, roughly 500,000 metric tons of seeds are fed to wild birds each year, and this food probably improves the survival prospects of many individual birds during lean winter months (Brittingham 1991; Morneau et al. 1999). It definitely gives people a great deal of pleasure. As a tool for helping endangered species, the extent of food provisioning is much more limited (Archibald 1977a) (Fig. 13.1). One well known example involves providing carcasses that are free of contaminants, especially lead shot, to endangered birds of prey such as the California condor, griffon vulture, bald eagle, and white-tailed eagle (Knight and Anderson 1990; Meretsky et al. 2000). These species benefit from receiving clean food because they often suffer from contamination and because young individuals often have difficulty finding food. Supplemental feeding also increased populations and expanded

distribution of three highly endangered birds on Mauritius: the endemic kestrel, pigeon, and parakeet (Nichols et al. 2004). People often cultivate specific types of plants as food for animals – for example, seeding abandoned logging roads with clover or planting flower gardens designed to attract butterflies and hummingbirds – and, occasionally, this is undertaken specifically to assist endangered species. In England, conservationists have attempted to help a rare moth, the netted carpet, by managing wild patches of touch-me-not balsam, the plant that its larvae consume (Hatcher and Alexander 1994). By thinning forest overstories and removing competing ground vegetation,

conservationists hope to maintain the moth by maintaining its host plant. Programs to re-establish a new animal population after the original population has been extirpated (a technique we will review below) occasionally include feeding animals until they are well established. For example, conservationists trying to establish a population of the American burying beetle on an island in Massachusetts provided each transplanted pair with carrion (a small chicken corpse) that the beetles could bury and use as a food cache on which to raise their young (Amaral et al. 1997). In Spain conservation programs for endangered carnivores such as the Iberian lynx include releasing rabbits to augment depleted populations (Calvete et al. 1997). In Costa Rica supplemental feeding helps to retain captive-bred scarlet macaws at release sites long enough to form the stable social bonds vital to their later survival (Brightsmith et al. 2005).

Besides the major food constituents – carbohydrates, proteins, and fats – animals also need a wide array of macronutrients (e.g. calcium, potassium, and sodium) and micronutrients (e.g. iron, selenium, and iodine), and a scarcity of these can sometimes limit populations (Robbins 1993). In some regions, game managers set out mineral blocks to meet some of these needs, especially sodium requirements, but the efficacy of these practices has not been established.

Feeding wild animals has at least two significant downsides. First, it is likely to foster long-term dependence on people, leaving the animals vulnerable to starvation if feeding is discontinued. A particularly unfortunate case is that of the diminutive key deer, which is being slowly domesticated as a result of illegal and continuous feeding, mostly by tourists, within the urban areas of its restricted range on the Florida Keys (Peterson et al. 2005). Second, feeding tends to concentrate animals and thus may make them more vulnerable to disease and predation (Brittingham 1991). Furthermore, the impact of providing food for endangered species is often not evaluated in the first place. For example, in one of the few such assessments, Jamieson (2004) reported that



**Figure 13.1** The numbers of cranes appearing at Japanese feeding stations increased markedly over time, suggesting that availability of winter food had limited populations (Archibald 1977b, personal communication). Of course, other factors could have contributed to the increase, including the possibility that cranes were simply more concentrated at feeding stations in later years.



a proposed feeding program to improve egg fertility for the takahe, an endangered herbivorous ground-dwelling bird of New Zealand, was not in fact needed.

In a broad sense, food includes the energy and nutrient requirements of plants. Plant conservationists have long recognized that managing these resources directly could be an important tool for maintaining endangered plant species if a scarcity of solar radiation or certain nutrients were limiting a population (Stuckey 1967). However, there are few actual cases of conservationists directly managing energy or nutrient resources for endangered plants. Some species need very specific light levels that must be managed for accordingly (e.g. the pondberry; Aleric and Kirkman 2005). For example, conservationists in Maine have thinned the forest canopy over patches of the small whorled pogonia, a rare orchid, to allow more solar radiation to reach the plants, which seemed to be declining for lack of light (Alison Dibble, personal communication). Notably, artificial fertilization tends to occur to the detriment of many rare plants, because many rare plants are confined to sites of poor fertility. For this reason *reducing* soil fertility may favor rare species (Allcock 2002); for example, cutting sods benefits many rare heath plants in the Netherlands by removing excessive nutrients and providing substrates for germination (Dorland et al. 2004).

## Water

In arid regions the availability of water is often a limiting factor for many populations. Responding to this fact by broadly increasing the availability of water would just replace an arid ecosystem with a less arid ecosystem, and this could decrease overall global diversity. Sometimes providing drinking water for desert animals may make sense, such as for saving an endangered species that is now found only in a small portion of its original geographic range (likely the driest portion) because of hunting and competition with livestock. For example, two highly endangered ungulates, the Sonoran pronghorn and the Nelson's bighorn sheep, both of arid regions of the western United States, rely on access to both natural and human-created, perennial water resources (Turner et al. 2004; Morgart et al. 2005).

Providing water to desert animals is widely undertaken for livestock and occasionally for wild animals (Yoakum et al. 1980; Burkett and Thompson 1994) despite some of the potential shortcomings described in Chapter 11. At its simplest, it involves building a basin to hold water. Usually, some means of obtaining water such as a well or a rainwater catchment is also necessary. More elaborate structures may provide fencing to exclude livestock; escape ramps for small animals that may fall into the basin; and shade, both for the animals' comfort and to inhibit evaporation. Although wild life managers usually build water holes principally for game species such as quail and desert bighorn sheep, they have been constructed specifically for endangered species. In Saudi Arabia water holes are used in programs to restore mountain gazelle and houbara bustard populations (Dunham 1998) (Fig. 13.2).

## Physical Environments

Each species requires a particular physical environment. It may be as amorphous and common as air or water; thousands of microscopic species drift through life wherever the air or water takes them. It may be as specific as the follicles of your eyelashes,



**Figure 13.2** Populations of some arid land animals such as reticulated giraffes can be limited by the availability of drinking water during years when drought occurs. Populations can be increased by constructing water holes, although overbrowsing of the surrounding vegetation may then occur. (Photo from Don Getty, [www.DonGettyPhoto.com](http://www.DonGettyPhoto.com).)

which are probably home to a tiny, elongate species of mite, *Demodex follicularum*. Physical environments provide three basic things. First, many species require a physical environment that provides them with a benign microclimate, perhaps shelter from a chilling wind or desiccating sun. Second, many species require a physical environment that offers concealment from potential predators or grazers or, if they are themselves predators, concealment from their prey. Finally, some species need a particular kind of substrate on which to live to avoid being moved away by gravity, wind, or water. (When biologists, especially zoologists, speak about physical environments that provide shelter or concealment, they often use the term *cover*, but this term would generally not include substrates.)

For many species, a physical environment is provided by other organisms, and thus briar patches offer concealment for rabbits, just as eyelash follicles are a substrate for *Demodex follicularum*. Maintaining cactus and other rare desert plants may depend on maintaining nurse plants, plants of other species that protect smaller species from temperature extremes and herbivores (Godinez-Alvarez et al. 2003) (Fig. 13.3). You



**Figure 13.3** Many small cacti benefit from other species, sometimes called nurse plants, sheltering them from temperature extremes and grazing livestock. Small cacti have sprouted here under the protection of fallen trunks of trees and cacti. (Photo from J. Gibbs.)



might think that these are examples of biological environments rather than physical environments, but the term “biological environment” usually refers to the suite of competitors, symbionts, predators, and so on with which each species interacts.

Providing special physical environments for wild life is a very old management technique. People have been erecting sections of hollow logs in which bees and birds could nest (and from which honey and eggs could be easily extracted) for centuries, probably millennia. Supplying nest environments is especially widespread. It is effective and efficient because secure nesting sites are often limiting to wild species yet easily created by humans. Even a small amount of shelter and concealment, enough for a nest, can have a marked effect on an individual’s chance of reproducing. The recovery of Puerto Rican parrots, which for many years numbered fewer than 20 wild birds, was helped by the creation of artificial nest sites and improvement of natural nest cavities, particularly by making them deeper, darker, and more waterproof (White and Vilella 2004). In south-eastern Australia, removal of exposed sandstone rocks for landscaping urban gardens has reduced diurnal shelter sites for both the endangered broad-headed snake and its major prey, the velvet gecko, yet placement of artificial paving stones can readily restore degraded rock outcrops (Webb and Shine 2000). Adding half-cylindrical ceramic tiles as artificial nest cavities to stretches of stream can increase productivity of the small, endangered fish, relict darter (Piller and Burr 1999).

Sometimes, conservationists supply additional sites for other activities, such as resting during the day or night, or hibernating for a whole winter. Brush piles are a common way to meet these needs for small mammals and ground-dwelling birds; rock piles (perhaps as hibernacula for snakes) are a less common example. Occasionally,

the scale of providing new physical environments is so large that you could argue that a whole new ecosystem has been created; for example, when rocks, junk cars, abandoned oil rigs, and similar items are sunk at sea to make artificial reefs in areas lacking natural reefs (see the *Bulletin of Marine Science* 44(2) for 51 articles on artificial reefs). Similarly, managing agricultural landscapes for wild life by creating and maintaining hedgerows can be viewed as ecosystem-scale provision of cover (Dowdeswell 1987).

## Interactions

Some populations are limited by the scarcity of another species with which they interact symbiotically. Failure of fungal symbionts of roots that are key to plant nutrition can limit recovery of endangered plants (Thangaswamy et al. 2004), especially during translocations. As another example, biologists trying to maintain endangered freshwater mussel species have to be concerned with maintaining populations of fish that the mussels can parasitize (McLain and Ross 2005). These mussels pass through a life stage (during which they are called glochidia) encysted on the gills or fins of fish, and many mussel species are quite specific about which fish species are acceptable hosts. Similarly, British conservationists discovered that to maintain a rare butterfly, the large blue, they must maintain a population of ants, particularly *Myrmica sabuleti*, because the caterpillars overwintered and pupated in the ants' colonies (New 1991). Unfortunately, they made this discovery only after they had inadvertently eliminated ant colonies in the butterfly's only habitat by prohibiting livestock grazing and thus changing the site's vegetation. The large blue butterfly is now extinct in Britain. (The history of managing populations is rife with tales of mistakes, but these errors must be a call for sound research, learning lessons, and publishing both successes and failures, not inaction.) In some cases people step in to fill a symbiotic role themselves: hand-pollinating plants in the absence of their natural pollinators is key to maintaining the eastern prairie fringed orchid (Brown 1994) and the endangered and medicinally important jewel orchid on Taiwan (Shiau et al. 2002).

Intraspecific interactions may also demand the attention of conservation biologists. While trying to reestablish colonies of arctic terns, Atlantic puffins, and dark-rumped petrels, Stephen Kress discovered that it was necessary to provide the birds with social stimulation (e.g. Kress and Nettleship 1988). Birds were more likely to breed at a site where wooden decoys had been set out and/or where they could hear vocalizations of their species broadcast from tape recorders (Fig. 13.4). This approach was later exported successfully to the Galápagos islands to help restore populations of the endangered dark-rumped petrel (Podolsky and Kress 1992).

## Controlling Threats

In the big picture, human overpopulation, global pollution, deforestation, desertification, and other problems of this magnitude are the principal, ultimate threats that conservation biologists must meet. Proximate causes are usually local and best addressed at the level of population management. For example, here we will not worry about managing energy consumption by the six billion people that crowd our planet, but address how to stop the handful of people who are still poaching giant pandas. We will also consider other species that may threaten a population because



**Figure 13.4** Puffin decoys are used to provide a social stimulus for puffins establishing a new colony. (Photo from Steve Kress.)



of their roles as competitors, predators, grazers, parasites, or pathogens. In many cases these are exotic species, but sometimes they are natives (Garrott et al. 1993).

### Overexploitation

The world's best known poacher is a mythical hero, Robin Hood, and this fact is symbolic of a larger truth. In most people's view the ethical dimensions of unlawful exploitation of wild plants and animals are more similar to those of illegal parking or speeding rather than theft or murder. Indeed, for most species, overexploitation is not even illegal. For plants this is often true even if they are known to be an endangered species (Bean 1983). Most governments consider plants to be the property of landowners because they are immobile (i.e. they do not move from property to property as many animals can), and governments often are reluctant to restrict what people can do to their private property. Diminishing the acceptability of overexploitation requires education and other approaches to social, economic, and political issues that we will discuss in Chapters 15, 16, and 17. Here we will focus on the front lines of what is sometimes called the war on wild life (Reisner 1991).

Biodiversity advocates are usually focused on species that are in such perilous straits that it is best to prohibit human exploitation completely, with the possible exception of nonconsumptive uses such as whale watching. On paper this is simple. We pass a law banning exploitation, and we employ wardens to enforce the law (Sigler 1972). In practice it has all the problems of conventional law enforcement plus some added difficulties. In particular, wardens have to work in remote areas, often with little or no support from the local community. In many places wardens have a long tradition of effectively enforcing laws designed to protect game birds, fishes, and

mammals, but they are often reluctant and ill-prepared to take on the added burden of protecting endangered butterflies, plants, reptiles, and so on, even if they have the mandate to do so.

Protecting endangered species can be particularly difficult because the laws of supply and demand dictate that the rarer a species becomes, the more valuable it will probably be, thus offering a greater incentive for poachers to break the law. The classic example of this vicious circle comes with the five species of rhinoceros whose horns are now worth many thousands of dollars per kilogram, in large part because rhinos are now so rare. This crisis has precipitated a dramatic response in some southern Africa nations: conservation officials are capturing rhinos and cutting off their horns (Fig. 13.5). Unfortunately, this solution has many problems: it is expensive, especially because the horns regrow quite quickly; some poachers kill dehorned rhinos out of spite; lack of a horn probably inhibits the ability of mother rhinos to defend their young from predators; and it may affect social dominance (Cunningham and Berger 1997).

Ideally, conservationists would never deal with crisis situations like that of the rhinos. They would work with all the species that are subject to exploitation while these species are still common enough to sustain some appropriate level of harvest. Determining an appropriate level of harvest opens a key issue of *additive mortality* versus *compensatory mortality*. Harvest mortality is said to be compensatory if it does not increase the population's mortality above what it would have been under natural (no harvesting) conditions. In other words, hunting mortality merely compensates for that which would have occurred eventually and naturally, e.g. the killing of juveniles ducks in the fall that would have died during the subsequent winter from lack of food or intense cold. If, however, harvest mortality significantly increases total mortality, it is said to be additive. For example, imagine a population of catfish that experiences annual mortality of 20% because of starvation. If we began harvesting 15% of the fish each year and this reduced starvation mortality to 5%, so that overall mortality remained 20%, then our harvesting is inducing compensatory mortality. In contrast, if a 15% harvest increased overall mortality from 20% to 30%, then harvesting is additive. Clearly, in a perfect world, harvesting by humans would be largely compensatory. For some organisms like turtles, which naturally experience very high levels of survival because of their hard shells but low levels of egg production, virtually any harvest represents additive mortality. Others, like ducks, have high rates of reproduction and typically low rates of annual survival and can tolerate high levels of harvest and still rebound the next year.

Once an appropriate harvest level is determined, there are many ways to achieve that level by limiting exploitation in various ways. If we have enough staff to monitor harvesting closely, we can directly limit *how many* plants or animals of a given species (and perhaps of a given sex or age class) can be harvested from a particular area during a given period. More commonly, indirect methods are used. These could include limiting *who* is allowed to do the harvesting, such as only local people, only people who buy a license (perhaps a license expensive enough to be a deterrent), only people selected by lottery, only people who are doing it for sport, or only people who are doing it to make a living. For example, in the United States only Native American subsistence hunters are allowed to harvest bowhead whales, polar bears, and Pacific walrus. We can also limit *when* harvesting is allowed; in many cases harvesting animals is permitted only after the



**Figure 13.5** In some countries conservation officials are dehorning rhinos to dissuade poachers from killing them. Here a white rhino is being dehorned near Lake Kyle, Zimbabwe. (Photo from Tom Claytor, [www.claytor.com](http://www.claytor.com).) A white rhino with intact horn from Lake Nakuru National Park, Kenya is shown for comparison opposite. (Photo from Don Getty, [www.DonGettyPhoto.com](http://www.DonGettyPhoto.com).)



breeding season when there are many young individuals around, many of which are likely to die with or without harvesting (thus exploiting the opportunity for hunting mortality to be compensatory in nature). *Where* harvesting occurs is commonly restricted by nature reserves; sometimes no-harvest areas are also established for single species. Limiting *how* harvesting is conducted, the methods employed, can be important. Prohibiting fishing with dynamite and deer-hunting with machine guns are examples of restricting harvest-related “gear.” Methods that are likely to kill or injure more organisms than are harvested – incidental harvest – should be eliminated or modified. For example, simply trawling a net is likely to kill huge numbers of nontarget organisms (see Fig. 9.7) (Kaiser et al. 2000). Sometimes, changes in the equipment are sufficient: for example, modifying nets and traps to allow nontarget species to escape (Epperly and Teas 2002), or adding warning devices like sonic alarms on fishing nets (“pingers”) to keep marine mammals and seabirds away (Cox et al. 2004). The preceding ideas are just the tip of an iceberg because fish, game, and timber managers have devoted much thought and effort to managing harvests. See Reynolds et al. (2002) for a review. Unfortunately, the history of our success in carefully regulating harvests is marked by many dismal failures. Worse yet, some people have suggested that because biological systems are so complex and unpredictable overexploitation is almost inevitable. Human demand for natural resources is relentless and we have well developed theory for harvested populations but our understanding of how to apply theory to real systems remains limited (Ludwig et al. 1993; Lande et al. 1997). For a review of these concepts and issues see Sutherland (2001).



Figure 13.5 Contd.



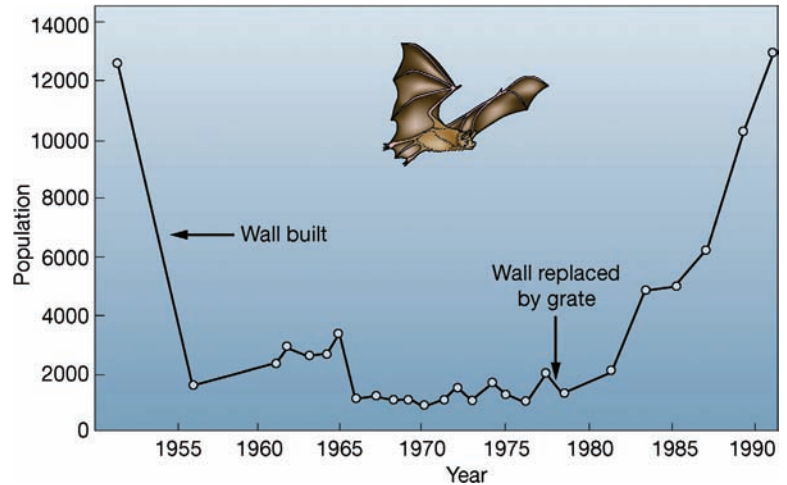
## Indirect Threats by Humans

Most of the harm that wild life suffers at the hand of humanity is indirect and unintentional; it is a by-product of human negligence, ignorance, or apathy. These problems usually operate at the scale of ecosystems, but occasionally their effects can be ameliorated through population-scale management. Helping animal populations cope with roads provides a good example. Conservationists and road engineers have collaborated to design many ways to minimize road-crossing mortality for certain species (Fig. 13.6). Tunnels plus fences leading to the tunnels have reduced the mortality of thousands of turtles traveling over a busy highway between water bodies in Florida (Aresco 2005). On a larger scale, underpasses with fences have been installed to reduce road mortality for large mammals, also in Florida (for Florida panthers in particular), and in Canada for grizzly bears (Chruszcz et al. 2003). In Australia, simply maintaining wide roadside margins has allowed motorists to spot cassowaries (a rare, nearly ostrich-sized bird) and avoid collisions. In Belize a rope bridge over a road is used by howler monkeys.



**Figure 13.6** Tunnels can allow toads and other amphibians to pass under roads during their spring migrations. The effectiveness of these particular tunnels is being evaluated by researchers who introduce large numbers of amphibians into the center and note which tunnels the animals choose (in this case long versus short tunnels). The resulting information is used to design behaviorally palatable road-crossing structures. (Photo from J. Gibbs.)

Other examples of this population-scale approach include building grates over the entrances to bat caves to exclude people (Martin et al. 2003) (Fig. 13.7), designing fences that allow pronghorn antelope through but not livestock (Yoakum et al. 1980), placing silhouettes on large windows to reduce bird collisions (Klem et al. 2004), erecting fences to keep people from trampling rare plants (Maschinski et al. 1997), and restricting boat speeds in areas frequented by manatees (Gorzelany 2004). Conservationists trying to save a population of imperial eagles in southwestern Spain were able to alleviate the birds' major source of mortality – electrocution – by putting 9 km of electric line underground and better insulating another 33 km (Ferrer and Hiraldo 1991). Survival of juveniles increased from 17.6% to 80% following their efforts. In another study Spanish biologists discovered that marking thin electric lines (ground wires) with some colorful spirals reduced collision mortality for all bird species by 60% (Alonso et al. 1994).



**Figure 13.7** Population changes of Indiana bats hibernating in a cave after a stone wall was built to exclude human intruders and after the wall was replaced with a grate. The wall increased temperatures, which increased the bats' rate of fat metabolism; apparently, many did not survive hibernation. (Redrawn by permission from Richter et al. 1993.)

## Consumers

Virtually all organisms are vulnerable to being consumed by other organisms. Normally, this is a fact of life that affects populations but does not threaten them with extinction. Sometimes, however, conservation biologists discover that the impact of consumption on a particular population or species is significant and must be controlled. This is particularly likely to happen if the consumer is an exotic species against which the prey species has evolved few defenses.

In this section we will review a few examples of population management programs that involve controlling consumers. We will define *consumer* broadly as an organism that consumes other organisms. Defined this way, consumers include *predators* (organisms that attack, quickly kill, and consume other organisms, e.g. a crocodile killing a heron or a feral hog uprooting and eating an orchid); *grazers* (organisms that attack large numbers of prey consuming a part of each one, but rarely killing them, at least in the short term, e.g. an antelope grazing on grass or a vampire bat sucking blood from a tapir); and *parasites* (organisms that obtain their nutrients from one or very few host individuals and cause harm but not immediate death, e.g. fleas and viruses). Microbial parasites that cause disease are called *pathogens*. (This classification is modified from Begon et al. 1990.)

### Predators

The conservation biology literature is full of examples of predators that have decimated a prey species. Recall Chapter 10 and the toll of species eradicated by exotic rats, cats, and mongooses, especially on islands where many prey species have evolved in isolation from predators. Small islands sometimes present an opportunity for effective population management through the complete elimination of a predator. Starting with some very small islands and learning as they progressed, the New Zealand Wildlife Service is now eradicating rats and cats from islands that are thousands of hectares in size (Empson and Miskelly 1999). Similarly, eradication of goats, which are highly destructive to fragile island vegetation, has become a highly technical and successful undertaking (Campell and Donlan 2005).

It is usually easy to decide to remove an exotic predator, but what if a native species is causing the problem? In the 1970s ornithologists working in the Gulf of Maine decided to do something to help tern populations, primarily arctic and common terns, which had declined markedly over the previous 40 years (Kress 1983). The terns were declining largely because of predation by gulls (herring and great black-backed), whose populations had increased in response to an increase in food obtained from human sources such as landfills and garbage dumped at sea. Attempts to restore tern colonies were not successful until wild life managers killed gulls nesting on the islands targeted for restoration by distributing pieces of bread containing poison (see also Guillemette and Brousseau 2001). In contrast, a decade of removal of over 1.5 million introduced fish predators from the Colorado River has yet to improve the native fish community (Mueller 2005).



**Figure 13.8** Fences around piping plover nests may reduce predation.

Naturally, most conservationists would prefer to employ nonlethal methods for controlling native predators, and often this is feasible (Goodrich and Buskirk 1995). For example, predation on shorebird and turtle nests can be controlled by erecting fences around the nests to exclude foxes, raccoons, and other predators (Fig. 13.8) (Murphy et al. 2003), and raven predation on young desert tortoises may be reduced by putting spikes on utility poles to prevent the ravens from using them as hunting perches.

On rare occasions, conservation biologists have been forced to choose between species that are both of concern. New Zealand biologists trying to manage Cook's petrels and kakapos (a very rare flightless parrot) on Codfish Island found it necessary to remove the island's wekas, a species of rail that often preys on other birds' nests



(Lloyd and Powlesland 1994). Ironically, the weka has disappeared from large sections of its former range, but still it is not nearly as threatened as the kakapo and Cook's petrel.

### *Grazers*

The impacts of grazing animals may be manifested rather slowly – one bite at a time – but over a period of a few years grazers can exert dramatic effects on both the species they consume and whole ecosystems. The most striking examples can be found on islands that have been invaded by goats, rabbits, and pigs and in forests that have been defoliated by any number of exotic insects (Chapter 10). Yet excessive grazing often goes unnoticed; for example, most visitors to the forests of eastern North America and Europe would not realize that in many areas the plants are severely overgrazed by native species of deer (Cote et al. 2004).

Grazers are usually more common than predators, and this can substantially increase the difficulty of controlling them. In particular, eradication of most species of exotic grazers, notably insects, is nearly impossible because they are so numerous, widely distributed, and resistant to control (Dahlsten 1986). The best we can hope for is to keep their populations low enough to give the threatened species we are worried about a better chance of survival. For limited areas, some large herbivores can be kept at bay with fences, but building and maintaining such fences can be extremely expensive. Erecting 71 kilometers of pig-proof fencing around nine areas within Hawaii Volcanoes National Park cost US\$18,600–26,700 per kilometer and annual maintenance costs averaged US\$1056 per kilometer (Katahira et al. 1993).

As with predators, controlling grazers can become quite controversial. In the western United States millions of dollars are spent every year catching and removing feral horses and burros from ecologically sensitive areas and then caring for them in captivity because the public will not permit the animals to be killed. Although elephants have been massacred in much of Africa, they are so abundant in some southern African reserves that reserve managers must sometimes shoot them because large elephant populations can easily change forest into scrubland and thus may jeopardize many forest-dwelling species (Gillson and Lindsay 2003). Many elephant supporters would prefer to move the elephants rather than kill them, but this is probably not feasible on a meaningful scale. Turning to some much smaller grazers, some plant conservationists have proposed that judicious use of insecticides to maintain rare plants might be in order, but, of course, any use of pesticides stirs up controversy among conservationists (Lesica and Atthowe 2000; Louda and Bevill 2000).

### *Parasites and Pathogens*

Organisms that live on or in another organism, deriving their nutrition from its tissues, will usually fare better (have greater evolutionary fitness) if they do not kill their host. However, it does not always work this way. Many organisms succumb to parasites and pathogens, particularly if they are stressed by other changes in their environment, such as habitat loss or contaminants. Consequently, conservation biologists sometimes need to control the impacts of these usually unseen species. Indeed, the convergence of veterinary science and conservation biology has resulted in the emerging field of conservation medicine (Spear 2000).



The simplest way to help a population deal with the threat of parasites and pathogens is to keep it in general good health, with adequate food, water, and cover. Vigorous plants and animals are usually able to withstand the effects of their normal parasite and pathogen load and even to repel novel agents. A second approach is to avoid overcrowding, which may both stress the organisms and facilitate the spread of parasites and pathogens. This issue often comes to the fore, or at least it should, while planning population management programs such as feeding, watering, and translocations that may concentrate individuals at unnaturally high densities.

“Hands-on” research and management also carries the risk that conservation biologists themselves may spread parasites and pathogens. The world’s last-known population of black-footed ferrets was nearly eliminated by canine distemper, probably introduced to the colony by a researcher who had had contact with a sick dog (Thorne and Williams 1988) (Fig. 13.9). Similarly, there is concern that herpetologists could spread viruses and fungi among amphibian populations, perhaps even on their field equipment as they move from site-to-site (Daszak et al 2003).

Occasionally, wild life managers have tried to vaccinate wild individuals against disease. For example, researchers managed an outbreak of rabies in a population of endangered Ethiopian wolves in the Bale Mountains, Ethiopia, in 2003 and 2004 through vaccination of wild animals (Randall et al. 2004). Unfortunately, the logistics of catching and vaccinating a large portion of a population are rather daunting. Treating infected individuals is also problematic. The old adage “An ounce of prevention is worth a pound of cure” is doubly true for wild organisms (Woodroffe 1999).

Understandably, programs designed to kill parasites and pathogens do not arouse much concern because there is little public sympathy for these creatures. A purist could argue that parasites and pathogens have just as much intrinsic value as whales and eagles, but this would be a very difficult position to defend, especially if the organ-

### Figure 13.9

Despite taking precautions like wearing surgical masks, researchers spread canine distemper to the only known wild population of black-footed ferret. (Photo from US Fish and Wildlife Service.)



ism in question affects people (Koshland 1994; Gompper and Williams 1998). Fortunately, this is largely an academic question because it is extremely difficult to totally eradicate a species of parasite or pathogen as long as its host survives.

## Competitors

In theory, no two species can occupy exactly the same ecological niche; nevertheless, competition for specific resources – a type of food, a place to nest, or simply space – is often quite intense. Consequently, conservation biologists sometimes find it necessary to tilt the balance toward rare species, lest they lose out to their competitors entirely and become extinct.

Controlling competition is widely practiced by plant conservationists. This can involve a form of competition control known to every gardener – weeding. However, weeding obviously needs to be selective, and hand-removing the various plants that are crowding a rare population is extremely labor-intensive (Wester 1994). Sometimes, the labor can be reduced if only a few species, typically exotic species, are targeted for removal. Botanists managing a population of the large-flowered fiddle-neck used a grass-specific herbicide to kill competing exotic grasses (Pavlick et al. 1993). More commonly, controlling competition involves regulating the natural



**Figure 13.10** Conservationists often burn grasslands to control the competitors of rare plants. Here a kerosene drip can is being used to set a perimeter fire during a prescribed prairie fire burn during autumn at the University of Wisconsin-Madison Arboretum's Greene Prairie. Controlled fires rid plant debris and kill off woody plant growth and thereby help the prairie thrive during the next growing season (Photo © UW-Madison University Communications 608/262-0067, credit Jeff Miller.)

patterns of competition that are part of succession (Smith et al. 2005). Many imperilled plant species are associated with early-successional communities that are becoming uncommon because of human interference with natural disturbance patterns. For example, many grassland plant species exist only in environments where frequent fires prevent woody plants from outcompeting herbaceous plants, and some of these species have become uncommon, in part because of human fire control. Consequently, as incongruous as it may seem, managers of grassland plant species often set fire to the populations they are trying to save (Fig. 13.10). Of course, they do this outside the growing season and know that the plant will survive the fire as seeds, roots, or rhizomes. In some cases managers have to employ a disturbance regime that may seem unnatural. Near Cheltenham, England, managers of a tiny reserve (394 m<sup>2</sup>, not much larger than a tennis court) discovered that to perpetuate a very rare buttercup, the adder's-tongue spearwort, they had to allow cattle to graze on a portion of the reserve each year (Frost 1981). Without the disturbance of grazing and trampling, the reserve's star species would be outcompeted by common plants.

Sometimes, conservationists also find it necessary to control competition between endangered animal species and their competitors. The best known example of this comes from efforts to help the Kirtland's warbler compete with brown-headed cowbirds (Mayfield 1977). The cowbirds deposit their eggs in Kirtland's warblers' nests, where their young usurp food and parental attention, causing the death of the young warblers. (This behavior, which is displayed by several bird species, is called brood parasitism, but it is a form of competition, rather than parasitism, as defined here.) By 1971 the world population of Kirtland's warblers had declined to 201 pairs, but beginning in 1972 a program of trapping about 3000–4000 cowbirds per year has helped populations increase roughly fivefold (Solomon 1998). Fish biologists are sometimes able to control exotic competitors of endangered stream fishes simply by erecting a barrier that the exotics cannot pass (Verrill and Berry 1995). More dramatically, they sometimes use rotenone or other poisons to kill all the fishes (native and exotics) in a stream and then detoxify the stream and replace the native fishes (Finlayson et al. 2005).

Many of the interactions between people and wild life we have discussed could be construed as competition, a competition that we usually win, at least in the short term. This competition is both broad (e.g. we compete for space and solar energy whenever we convert a natural ecosystem to a cultivated ecosystem) and narrow (e.g. we compete for food with whales and other marine species by trawling for krill).

## Direct Manipulations

Both “manage” and “manipulate” are derived from the Latin *manus*, meaning hand, but manipulate has a stronger link to hands. The term manipulate is used here because sometimes it is necessary for conservation biologists literally to put their hands on endangered species to save them from extinction. For example, saving the black robin required gathering up the last few birds and moving them to a new island. Such activities are expensive and risky, but when they appear to be the only means to save a species from extinction, they can be justified. We will discuss three topics here: first, translocations, i.e. moving organisms from one habitat to another; second, artificial breeding, i.e. methods to increase the reproductive output of small populations; and, finally, the interface between population management and maintenance of genetic diversity.



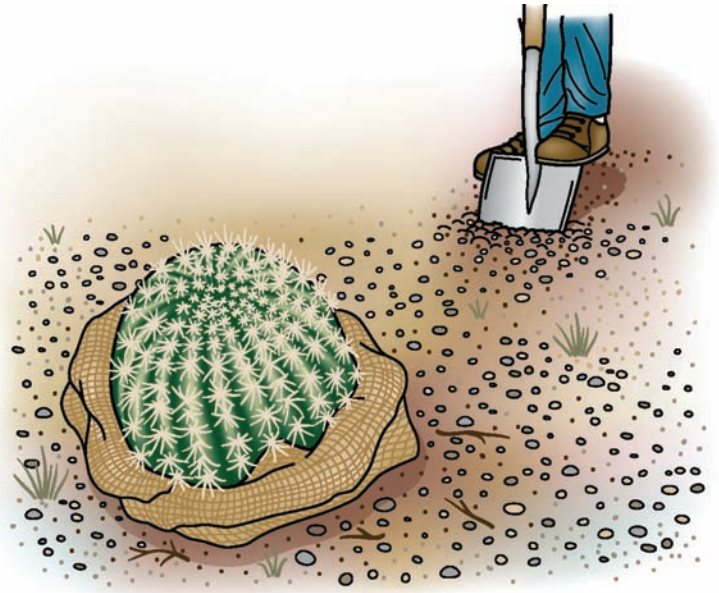
## Translocations

People have a long history of moving organisms around the globe; witness all the dubious “successes” described in Chapter 10. Here we will limit ourselves to the intentional movement of organisms for the purpose of maintaining biodiversity in the wild, including both the transportation of wild organisms from one ecosystem to another and the release of captive-bred individuals into the wild. Translocation or relocation can take three basic forms: *introducing* organisms into new sites, places where they did not exist previously; *reintroducing* organisms to environments where they have been extirpated; and *augmenting* or supplementing small existing populations by adding individuals obtained elsewhere.

The first type of translocation may not seem to fit here because, of course, introducing organisms into new sites is how exotic populations are created. Nevertheless, there are some situations in which this can be a useful tool. Notably, some species are native to small areas, usually islands, that are so irrevocably altered that there is no hope of their persisting there. In this case it may make sense to introduce them to a nearby island that is in better condition than their original habitat, but that they are unable to colonize on their own. For example, New Zealanders have moved frogs, flightless insects (the giant weta), and several flightless birds (kakapos; all three species of kiwis; and takahes, a type of rail) from the larger islands of New Zealand, where they were losing out to rats and other exotic species, to small islands where they are probably not native, but where it is feasible to control exotic species (Townsend et al. 1997; Armstrong et al. 2002).

People love to reintroduce species (Fig. 13.11). To return a species to its native range feels like a small, but positive, step in pushing back the oncoming wave of extinction. Some wonderful successes have been achieved. In the early 1900s several now abundant North American game species (e.g. wood duck, white-tailed deer, wild turkey) had been reduced by overhunting to perilously small populations scattered about their native range. Since then, programs to control hunting and to reintroduce these species have allowed them to reoccupy a substantial portion of their original range (Matthiessen 1987). In some cases translocations may be the key to restoring an entire ecosystem; for example, moving live colonies of coral onto a dead coral reef may accelerate restoration of the entire ecosystem (Rinkevich 2005).

Unfortunately, the success stories are shadowed by many failures. Summarizing four studies that have spanned many animal taxa and countries, roughly half of the translocation projects for endangered species were successful (Griffith et al. 1989;



**Figure 13.11** Translocations can be particularly useful to reintroduce species such as barrel cacti that are often overharvested by collectors for the ornamental plant industry.



Dodd and Seigel 1991; Wolf et al. 1996; Fischer and Lindenmayer 2000). (This estimate is based only on projects with known outcomes; often they are outnumbered by projects for which the outcome is not known.) Turning to some more focused studies, 40 taxa of fishes native to the deserts of North America were transplanted to 407 sites and became established at just 26% of the sites (Hendrickson and Brooks 1991). A review of 15 plant translocations in California, usually transplanting adults, found only four that were judged a complete success (Hall 1987).

Some important lessons have been learned from both the successes and failures (Maunder 1992; Fischer and Lindenmayer 2000), although detailed studies of translocated populations are not common (Armstrong and McLean 1995; Morgan 2000). First, many projects fail simply because the problems that caused the population to become extinct in the first place were still operating. Not surprisingly, reintroduced organisms need undegraded habitat, freedom from overexploitation, etc. Second, successful reintroductions often require repeated translocations of substantial numbers of organisms – in other words, a substantial, long-term commitment of money and personnel. Careful selection of individuals fit for release (Mathews et al. 2005) and a period of careful husbandry (e.g. providing food or water or controlling consumers) may also be required and might lessen the need for large numbers. These are called *soft releases*, as distinct from *hard releases*, where the organisms are simply transported to their new habitat. Third, individuals obtained in the wild are more likely to survive than offspring from captive populations, especially if the population has been in captivity for several generations. For additional ideas about the biological foundations for successful translocations, see Armstrong and McLean (1995), and for the organizational keys to success, see Reading et al. (1997). Perhaps the most important lesson is that reintroduction projects are risky and expensive, and the best strategy is to avoid having to undertake them in the first place.

Augmenting existing populations is widely practiced by sport hunters and anglers who want to have a large number of prey to pursue and by foresters who want to ensure that there is adequate regeneration of trees after a cut. However, this method has not been widely undertaken to help endangered species. One reason is that a small remnant population would be very vulnerable to any disease carried by the translocated individuals (Viggers et al. 1993; Cunningham 1996; Gerber et al. 2003). People releasing their pet desert tortoises have probably spread respiratory diseases to wild populations (Jacobson et al. 1991). Genetic issues are also of concern because translocated individuals could introduce “exotic” alleles into the local gene pool, and these might be maladaptive or might displace uncommon local alleles, thereby reducing adaptability of the species as a whole (Ellstrand and Elam 1993; Rhymer and Simberloff 1996). For example, the Mauna Loa silversword populations are severely reduced but display significant genetic differentiation among remaining populations, arguing that mixing of propagules from different source populations should best be avoided (Friar et al. 2001). On the other hand, for at least one Swedish snake species, inbreeding problems were alleviated by adding new individuals. An island adder population, known to be limited by inbreeding, expanded dramatically after 20 males were added to the breeding population for four breeding seasons and then removed again (Fig. 13.12) (Madsen et al. 1999). A drop in the proportion of stillborn young was the chief reason for the population increase. Translocation of eight female panthers from Texas to south Florida in 1995 resulted in hybrid cats with Texas ancestry surviving better than purebred panthers and thereby increased prospects for the entire species in Florida (Stokstad 2005). Moreover, genetic

diversity of a small population of gray wolves in Scandinavia, founded by only two individuals, was recovered by the arrival of just a single immigrant (Vila et al. 2003). We will return to these and other genetic issues in a separate section below.

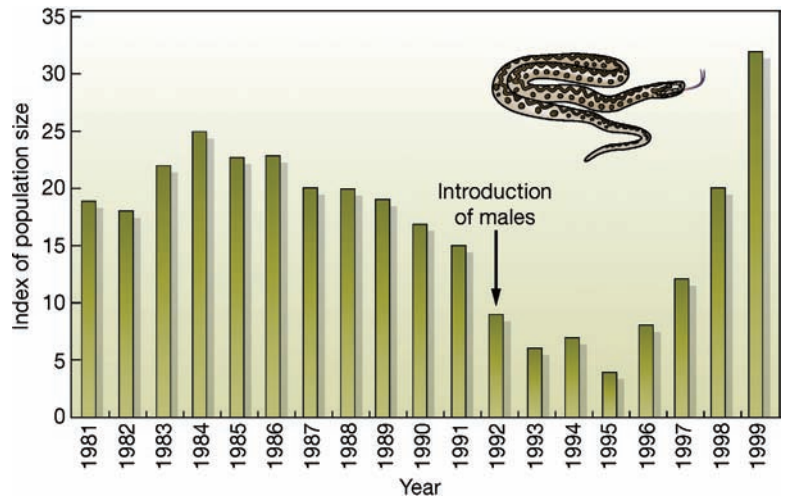
Occasionally, translocations are motivated by goals other than establishing a new population or augmenting a declining one. For example, sometimes, when wild life managers are faced with the dilemma of a population that is too large for the available habitat, they will decide to move individuals rather than kill them because of public opposition to lethal control. Similarly, wild life managers sometimes try to return animals to the wild that have been confiscated from people who held them illegally – notably orangutans and parrots (Yaeger 1997). Again, finding a home for individuals that no one wants to kill or keep in captivity is likely to be a key motive. Most worrisome are those translocation projects that are catalyzed by the need to get a population, usually an endangered plant species, out of the way so that a site can be developed. As with ecosystem restoration projects (Chapter 12), conservationists must be careful to avoid being complicit in ecosystem destruction (Falk and Olwell 1992).

## Artificial Breeding

Hands-on manipulations of populations reach their zenith when conservation biologists alter the breeding systems of endangered species to increase their reproductive output. The most elaborate techniques (e.g. artificial insemination, embryo transfer) are generally confined to captive populations and will be covered in the next chapter. Here we will focus on some techniques that have been used with wild populations.

### *Cross-fostering and Double-clutching*

Among animals that provide extensive parental care (primarily birds and mammals), reproductive output may be limited more by their ability to provide care than by their physiological capacity to produce young. Under these circumstances, it may be possible to increase reproductive output by using two closely allied techniques, double-clutching and cross-fostering. *Double-clutching* involves removing one set of eggs to induce an animal to produce a second clutch and incubating the initial clutch elsewhere. It has



**Figure 13.12** Addition of 20 male adders to a declining island population apparently rescued the population from the effects of inbreeding. The graph shows an index of population size (total number of individual males captured; females were not counted because they were much harder to catch) that indicates a slow decline and then a dramatic recovery. The 20 translocated males were not counted in the population estimates. Other data showed an increase in genetic variability after 1996 and a decrease in the number of stillborn young. (Redrawn from Madsen et al. 1999.)

been used fairly often with birds because many bird species are able to produce a second clutch of eggs if their first one is removed – an adaptation to compensate for nest predation. It was a key tool for conservationists who have brought back species like the black robin, California condor, and Mauritius kestrel from the brink of extinction (Jones et al. 1991; Cade and Jones 1993). Double-clutching will not work for most animals. For example, in most reptiles, amphibians, and fishes the female generally departs after laying her eggs and will not know whether they have been removed. Many mammals cannot soon produce a second brood if their first is lost, to say nothing of the difficulties of caring for extra nursing newborns even if they could.

Returning to birds, once the first clutch of eggs is removed, it requires care from a surrogate parent. Sometimes, this will be undertaken by humans, either alone or in concert with a domestic hen. Sometimes, other wild species are enlisted to serve as foster parents; this is called *cross-fostering*. This was done with black robins by transferring some of their eggs to the nests of another species, the Chatham Island tit, thus enabling the black robins to lay a second clutch of eggs (Butler and Merton 1992). Cross-fostering has also been undertaken as a reintroduction technique. For example, by placing whooping crane eggs in the nests of sandhill cranes, conservation biologists tried to establish a group of whooping cranes that would spend the summer in Idaho and then migrate to New Mexico for the winter, a far shorter migration than the northern Manitoba to coastal Texas journey currently undertaken (Drewien and Bizeau 1977). Unfortunately, the Idaho whooping cranes did not breed, a fact that highlights a major problem with cross-fostering: the possibility that a bird raised by a different species will not know which species it is, a major identity crisis. Humans who act as foster parents for endangered species attempt to address this problem by remaining hidden and using appropriate puppets to interact directly with the young animals, but problems can still arise; for example, animals may not develop a healthy fear of humans or other predators (Meretsky et al. 2000). For example, captive-bred swift fox were more likely to die following release if they exhibited “boldness” in captivity: that is, tended more frequently to investigate novel stimuli in their cages (Bremner-Harrison et al. 2004).

### *Head-starting*

One of the fundamental laws of nature is that little things tend to die quickly. They get eaten or outcompeted by big things. Some species cope with this reality by producing a few, large young and then taking good care of them. Other species try to beat the odds by producing huge numbers of small young that are independent from birth. These latter species offer conservation biologists an opportunity because, if we can reduce mortality during the short period when the young are highly vulnerable to predation, starvation, or desiccation, we can greatly increase the number that survive to adulthood. Techniques designed to increase survivorship of young organisms that do not receive parental care are often called *head-starting*.

Sea turtles provide an important example of this technology, especially since five of the six species are at risk of extinction (Bjorndal 1981; Frazer 1992). During her lifetime a female sea turtle can lay thousands of eggs on beaches, but few are likely to hatch because of nest predation (principally by people and other mammals) and other factors such as storms. Once they have hatched, the young turtles continue to suffer enormous mortality from birds, crabs, and fish. Sea turtle conservationists can reduce



**Figure 13.13** Gathering turtle eggs and raising them in captivity can reduce predation losses and give young turtles a head start. This wooden box contains five-year-old Galápagos giant tortoises hatched in captivity. They have been raised to a size large enough to survive the predators and harsh conditions they will encounter when reintroduced back to their native habitat on Española Island (to which they are now en route). Each individual has a unique set of notches filed along the margins of the shell and a temporary number painted on its back so researchers can keep track of them. (Photo from J. Gibbs.)

this mortality markedly by techniques such as: erecting predator-proof fencing around nests; excavating eggs and moving them to a safe place until they have hatched and then returning them to their original nest site; and raising young turtles in captivity until they are large enough to avoid most forms of predation, usually nine months to a year (Fig. 13.13). (Some people would reserve the term “head-starting” for only the last technique.) While head-starting may be a useful tool under some circumstances, it may have some real problems because of skewed sex ratios of the artificially incubated eggs, maladaptive behavior of hatchlings, and the redirecting of conservation efforts away from fundamental issues such as habitat quality and loss of more critical life stages, such as young females, to fishing nets (Frazer 1992).

Head-starting has been used for other reptiles (notably crocodylians; Thorbjarnarson et al. 2000) and plants (Ferreira and Smith 1987) and could be used for many invertebrates. It reaches its highest level of sophistication with fish, but in this case there is usually another dimension. Typically, the adult fish are captured and manipulated to obtain eggs, or eggs are obtained from a captive-breeding stock. This added dimension moves us one step closer to the type of intensive population management that happens in zoos and gardens, and thus we will cover fish hatcheries in a separate section.



### Hatcheries

Raising fish is a big business. Global aquaculture harvests alone total over 33 million tons per year (United Nations Development Programme et al. 2003). (As in Chapter 12, we will refer only to fish for simplicity's sake, but much of this section also applies to various mollusks and crustaceans such as mussels and shrimp.) On top of that we can add many millions of fish that are raised to a certain size then released into the wild, either to be caught by sport anglers, usually soon after release, or to continue growing for commercial harvest when they are much larger. There is a voluminous literature of fish culture (see the journals *Progressive Fish-Culturist* and *Aquaculture* for further information); suffice it to say that the technologies developed to raise fish for food can be adapted to raise fish that are at risk of extinction.

This has already happened at some hatcheries: for example, for the endangered kootenai river white sturgeon (Paragamian et al. 2005) and razor-backed sucker (Modde et al. 2005). There are many more examples at the population level, such as rivers that have populations of native salmon species that would probably be absent were it not for salmon hatcheries. Hatcheries are also being established for endangered mussel species (Keller and Zam 1990). Unfortunately, some significant downsides arise when population management becomes so intensive that complex institutions such as fish hatcheries are needed (Meffe 1992). We will see some of these in the next chapter, "Zoos and Gardens."

### Maintaining Genetic Diversity

Maintaining the genetic diversity of a species is inextricably linked to population decline (Spielman et al. 2004). Gene banking technology may someday allow us to maintain genetic diversity even after a wild population is extinct, but it is also a rather dismal alternative to having healthy, wild populations.

The most important way to maintain the genetic diversity of a species is very straightforward: retain a substantial number of individuals comprising many different populations that occupy the species' entire geographic range and the full spectrum of habitats that they occupy. As we saw in Chapter 5, most populations need to be reasonably large to avoid problems with genetic drift, inbreeding, and bottlenecks (Frankham 1996). Occupation of the entire range is important because populations in different parts of the range may develop unique genetic adaptations to the local environment (Lesica and Allendorf 1995; Ficetola and De Bernardi 2005). Similarly, there may even be genetic differences among populations occupying different types of environment in the same general area (Blondel et al. 1999). The importance of maintaining genetic diversity by having many different populations depends on how the genetic diversity of a species is distributed (Cole 2003). Recall from Chapter 5, "Genetic Diversity," that a species's total heterozygosity ( $H_t$ ) can be partitioned into two components: genetic diversity within the populations that compose the species ( $H_s$ ), and genetic diversity caused by variability among the populations ( $D_{st}$ ). Mathematically, this is expressed as:  $H_t = H_s + D_{st}$ . If a species has a relatively high  $D_{st}$ , then it is necessary to maintain many different populations to maintain the genetic diversity of the species. Alternatively, if most of the species's genetic diversity exists within each population (i.e.  $H_s$  is relatively high), then it is less critical to maintain many different populations.

Because programs for managing populations and their habitats are generally directed toward the goal of having many large, well distributed populations, they are usually compatible with the goal of maintaining genetic diversity. However, some complexities

can arise, especially when direct manipulations are involved. The next four paragraphs describe some examples of potential issues.

It is obvious that reintroduction projects should use individuals that are as genetically similar as possible to the former population to maximize their chances of being adapted to local conditions (Policansky and Magnuson 1998; Montalvo and Ellstrand 2000). Unfortunately, this is not always possible (Seddon and Soorae 1999). When conservation biologists set out to reestablish a population of peregrines in the eastern United States, the native subspecies, the eastern peregrine, was virtually extinct (Barclay and Cade 1983). Lacking any of the native subspecies, they decided to use peregrines from all over the world as breeding stock to create as diverse a gene pool as possible (Fig. 13.14). They assumed that natural selection would



**Figure 13.14**  
Efforts to replace the eastern peregrine falcon sought to maximize genetic diversity by using individuals from as far away as Australia and Europe. (Photo from Don Getty, [www.DonGettyPhoto.com](http://www.DonGettyPhoto.com).)

favor the assemblage of genes best adapted to conditions as they exist in the region today. Because the reintroduced peregrines seem to be persisting, this approach of maximizing outbreeding appears to have worked. At least one plant reintroduction project, that of the lakeside daisy, was also designed to maximize outbreeding (DeMauro 1993). We do know that maximizing outbreeding is not always the best strategy for creating a new population from scratch. Recall from Chapter 5 the ibex reintroduction to Slovakia (see Fig. 5.8) (Turcek 1951; Greig 1979). The offspring of mixed-origin ibex (Austria, Turkey, and the Sinai) mated during the fall rather than the winter, as the original ibex had, and therefore their young were born in winter. These young perished, and the population disappeared.

Conservationists involved in direct manipulations are more often concerned about minimizing inbreeding rather than maximizing outbreeding. For example, grizzly bear biologists in the western United States are concerned that the grizzly bear population in and near Yellowstone National Park is so small and isolated that it may suffer from lack of genetic diversity (Miller and Waits 2003). Consequently, they have suggested that it may be desirable to translocate some grizzlies into Yellowstone from nearby, but isolated, populations to ameliorate this problem. This is a very artificial solution, but it may be more feasible than providing landscape connectivity that would facilitate dispersal among these populations, especially if only one successful transfer per generation is required (Mills and Allendorf 1996; Vila et al. 2003). In the case of the inbred viper population living on a small Swedish island, actively importing new genes seemed to be the only option (Madsen et al. 1999).

At the smallest level of detail, maintaining the genetic diversity of a population can involve regulating the reproductive fitness of specific individuals. Deciding “who gets to mate and with whom” is a routine part of captive-breeding programs, as we will see in the next chapter. It is more difficult to practice with wild populations because we seldom know the genetic makeup of any given individual and have little control over her or his behavior. One form of controlling the reproductive fitness of wild individuals could be practiced: removing (perhaps only temporarily) or killing individuals with undesirable characteristics to limit their contribution to the gene pool. Game managers in Europe often cull animals with undesirable characteristics such as small antlers. For populations of endangered species suffering from severe inbreeding depression, it might be useful to learn how to recognize individuals carrying deleterious recessive genes and then remove them from the population or sterilize them.

Finally, maintaining genetic diversity sometimes requires protecting genetic integrity – specifically taking steps to keep local alleles from being displaced by exotic alleles (Ellstrand and Elam 1993; Rhymer and Simberloff 1996). These steps would include controlling exotic taxa that may hybridize with local organisms (see Chapter 10, “Invasive Exotics”). In particular, rare plants that are exposed to large amounts of pollen from closely related common species may lose their genetic integrity and effectively disappear (e.g. Kim et al. 2005), thereby leaving genetic diversity as a whole diminished (Ellstrand 1992; Levin et al. 1996). Maintaining genetic integrity could also simply mean maintaining the “among-populations” ( $D_{st}$ ) component of a species’s genetic diversity by not breaking down any natural barriers that separate populations (Hogbin and Peakall 1999; Wolf et al. 2000). For example, it could spur conservationists to object to a proposal to connect two isolated lakes with a canal that would allow gene flow between their fish populations (Meffe and Vrijenhoek 1988) (see Fig. 5.2).

**CASE STUDY**

## The Black Robin

The opening paragraph of this chapter does not do justice to the extraordinary program of hands-on manipulation that saved the black robin, so in this case study we will delve a bit deeper; for the whole story, read Butler and Merton (1992). The history of the black robin begins in 1871 when the species was first described. By this time the robins were confined to Mangere (pronounced MANG'uree) and Little Mangere Islands. They may have once been found throughout the Chatham Islands, but forest clearing by Maori and European colonists and predation by cats and rats left them stranded on these tiny isles. Soon after their discovery they were gone from Mangere too, and for most of the twentieth century they were clinging to survival in 9 hectares of forest perched on top of Little Mangere. Their fate seemed sealed when a helicopter landing was cleared on top of Little Mangere to allow people to collect sooty shearwaters for food, and afterward the remaining forest began to die off, apparently because of airborne salt intrusion.

The decision to move the last seven birds to Mangere in 1976 was not an easy one, in part because the program to restore forest on Mangere had not progressed far enough. Furthermore, it obviously was not a sufficient step because by 1979 only five robins remained. This is when the critical decision to undertake cross-fostering was made.

In the first cross-fostering experiments biologists moved robin eggs into nests of the Chatham Island warbler. The warblers proved to be capable egg incubators, but seemed unable to provide enough food for a robin chick. Consequently, robin chicks hatched by warblers had to be transferred back to robin parents for rearing, although not the chick's original parents, who were busy with a new clutch. The limitations of warblers as foster parents were avoided beginning in 1981, when some black robin eggs were taken 12 km away to South East Island, where there was a population of another potential foster parent species, the Chatham Island tit. The tits proved capable both of incubating eggs and feeding robin chicks adequately, and after these tasks were completed, the chicks were returned to Mangere to join the rest of the robin population. It was later discovered that robin chicks had to have at least some experience being fed by robin parents lest they grow up confused about whether to mate with a robin or a tit. In sum, these manipulations involved translocation coupled with interspecific cross-fostering and then, about



**Figure 13.15**

A diverse array of techniques was used to bring the black robin back from the very brink of extinction. Photo by G. Taylor, Crown copyright, Department of Conservation, New Zealand.



two weeks after hatching, translocation back with further intraspecific cross-fostering to avoid imprinting on the wrong species. Keeping track of who needed to go where and when was extraordinarily complex, particularly because all the robins and their potential foster parents were not nesting in perfect synchrony. Plastic eggs were often needed to substitute temporarily for the real things to keep parents at the right stage of reproductive activity.

Starting in 1983, adult robins were transferred to South East Island to establish a second population there. This gave the biologists the opportunity to manage the populations' genetic structure; for example, separating close relatives to prevent them from breeding. The black robins seem to have survived extraordinary inbreeding – virtually all of them are descendants of the female Old Blue and a male, Old Yellow – which is likely to have purged any deleterious recessive alleles, but this does not mean that further inbreeding might not be deleterious.

Besides moving eggs, chicks, and adults from nest to nest and island to island, the biologists helped the robins in other ways. They supplemented the diet of robins and surrogate parents by distributing insects at feeding stations. They provided the robins with better shelter by erecting artificial nest boxes and moving the birds' nests into artificial nest boxes when they were not used voluntarily. The nest boxes protected the robins from being crushed by seabirds blundering through the vegetation or evicted by starlings; they made egg transfers easier; and they facilitated control of a major problem, nest parasites. The biologists occasionally killed potential predators such as hawks (Australasian harriers), and they even killed tits to reduce competition for food before the tits' role as surrogate parents came into play.

It all worked. There are about 250 robins living on South East and Mangere Islands, thriving without regular human manipulations, and the future seems bright, with plans to reintroduce them to two more islands (Mike Thorsen, personal communication). However, was it worth all the trouble? Some people might say no; and it is certainly true that the black robin is unlikely to contribute to the economic well-being of humanity. On the other hand, the black robins, especially Old Blue, have become an inspiring symbol of what dedicated conservation biologists can accomplish.

## Epilogue

Many readers may be offended by the heavy-handed population management techniques described in this chapter. On the other hand, drastic situations call for drastic solutions. Given a choice between manipulating the lives of a few individual plants or animals or standing aside to watch the evaporation of a long river of evolution, involving millions of years and billions of individuals, why not choose the former? Are you willing to just say "Let them die with dignity and in peace"? This said, we do need to question these methods because too often they are merely staunching the flow of blood rather than repairing a severed artery; they are dealing with symptoms rather than their root causes.

## Summary

If a population is at great risk of extinction, it may not be sufficient to maintain the ecosystem it inhabits. It may be necessary to manage the population more directly by providing resources, controlling direct threats, and undertaking other manipulations. Providing resources can mean supplying food (broadly defined to include energy and nutrients for animals and plants), water, a physical environment (e.g. shelter from climatic extremes and concealment from other organisms), and key interactions with other individuals. Usually, the most critical threat to minimize is human exploitation. This may involve eliminating harvests or at least tightly controlling them so that they represent compensatory rather than additive mortality. Many indirect human threats (e.g. vehicle collisions, electrocutions) can be avoided or mitigated by changes in the design of human-made structures. Sometimes, it is necessary to control predators, grazers, competitors,

parasites, or pathogens that are diminishing an endangered species's chance of survival. Eradicating these species may be desirable, albeit difficult, if they are exotics. Conservationists may decide to translocate endangered species to ecosystems where they have been extirpated (reintroduction), where they are not native (introduction), or where their populations are depleted (augmentation). These techniques are often combined with other direct manipulations, such as double-clutching, cross-fostering, head-starting, and hatchery raising, that are designed to increase reproductive success. All of these methods are expensive and full of risks and thus best avoided if possible. These methods also raise many questions about how best to maintain genetic diversity. For example, how important is it to maintain the genetic integrity of a population? Also, should outbreeding be used to maximize the genetic diversity of reintroduced populations? The best strategy for maintaining genetic diversity is to have a large number of individuals comprising many different populations that occupy the species's entire original geographic range.

### FURTHER READING

No single book adequately covers managing populations of endangered species, although there are some useful collections of papers for certain taxa, such as plants (Elias 1987; Given 1994), desert fishes (Minckley and Deacon 1991), and birds (Norris and Pain 2002). Books on certain subtopics of the issue have also been compiled, such as plant reintroductions (Falk et al. 1996) and wild life disease (Hudson et al. 2001; Aquirre et al. 2002). Krajick (2005) is an excellent overview of controlling invasive species. Sutherland's (2000) *The Conservation Handbook* is particularly strong on population monitoring and related techniques.

### TOPICS FOR DISCUSSION

- 1 Dealing with invasive species on islands often involves killing large numbers of goats, pigs, sheep, or other introduced mammalian herbivores to save rare, island endemics. Few would argue that we should do all we can to save the island endemics, but don't the introduced mammalian herbivores have rights to exist too?
- 2 What is the maximum amount of money that should be spent to save a species from extinction? If your answer is "it depends" then on what does it depend?
- 3 Would you be willing to eliminate a common species of predator from an island where it is native so that a rare species (one of its prey) could be reintroduced to the island? Would you be willing to eliminate a native plant to permit the reintroduction of a rare competitor? Would you be willing to eliminate a native parasite or pathogen to permit the reintroduction of its host?
- 4 Imagine that you have been intensively managing a small population for 20 years (providing food, controlling predators, augmenting the population from captive stocks, etc.) and that the population is still highly dependent on your assistance and shows no sign of becoming self-sufficient. Would you consider terminating the program and allowing the population to disappear? Would your decision be different if this was the last wild population (but the species was secure in captivity)? Would it be different if this were absolutely the last population, wild or captive?
- 5 Would you be willing to permit human exploitation of most common species for which human-induced mortality is compensatory for natural mortality? Why or why not?
- 6 Imagine that you are managing a genetically distinctive population that, if current problems with inbreeding continue, will be extinct in five years. Would you augment the population now with individuals from elsewhere, thereby solving the inbreeding problem but compromising genetic integrity? Or would you wait, hoping that the population might recover by purging itself of deleterious alleles? (Assume that if you take the second alternative, you have an 80% probability of losing the population entirely, but you are 90% confident that you can replace it with a reintroduction from a different population.)



## CHAPTER 14

# Zoos and Gardens

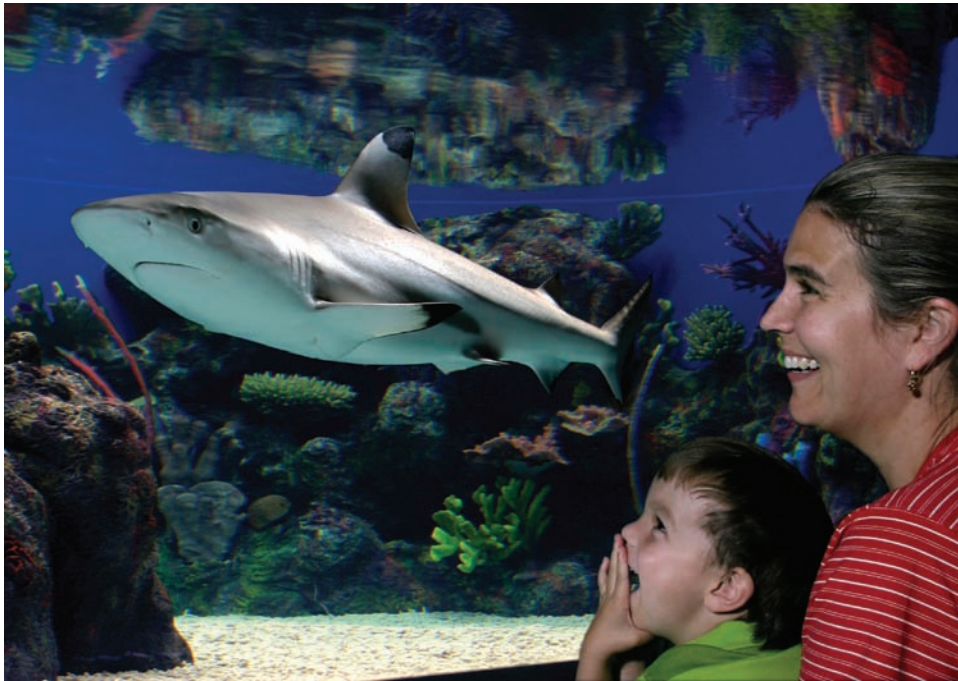
In Paris, Delhi, Sydney, Seattle, and most other large cities one can find small oases of extraordinary biotic diversity: a patch of tropical forest crowded with orchids and ferns, a coral reef seething with life in a panoply of colors that defies description, or a room reverberating with the calls of birds gathered from far and wide. These are, of course, our zoos, aquariums, and botanical gardens. They are amazing places where skillful husbandry maintains some of nature's most awesome creatures, surrounded by humanity far from their native haunts. These institutions have long served many purposes – recreation, education, research – and in recent years they have become centers for a specialized form of biodiversity conservation, *ex situ* conservation. *Ex situ* conservation is conservation that takes place outside of a species's natural habitat; it contrasts with *in situ* conservation, which takes place within a species's natural habitat. It has played a distinctive role in the conservation of biodiversity, but a role that is sharply criticized at times. The bulk of this chapter focuses on *ex situ* conservation of wild species, but one section covers a parallel undertaking, conservation of domesticated species. We will begin with an overview of the traditional roles of zoos, aquariums, and botanical gardens.

## Changing Roles

Wealthy people such as King Solomon, Montezuma, Louis XIV, and Michael Jackson have collected exotic creatures for millennia, driven by the same basic motivation that drives a stamp collector: it is an entertaining diversion from day-to-day life.

Recreational values remain paramount today when most zoos, aquariums, and botanical gardens have become public institutions and, consequently, depend on gate receipts and the good will of taxpayers. This means that they have to be enjoyable places to visit, and, apparently, they are. For example, each year around 600 million visitors, almost 10% of the earth's population, come to zoos and aquariums (Sunquist 1995), while 200 million people visit botanic gardens ([www.bgci.org](http://www.bgci.org)). The zoo and aquarium total for the United States is over 135 million, more than the combined attendance at all professional baseball, basketball, hockey, and football games (Nelson 1990; Hutchins et al. 2003).

There was a time when entertaining people was the only important goal for zoos, aquariums, and botanical gardens, when watching a sea lion balance a ball or chimpanzees attend a tea party were the highlights of a visit. Today, such shows are quite uncommon, and far more emphasis is placed on public education (Fig. 14.1)



**Figure 14.1** Public zoos, aquariums, and gardens have long emphasized educating visitors, as well as entertaining them. Close encounters with wild creatures like this blacktip reef shark create a unique and memorable experience at California's Monterey Bay Aquarium. (Photo from Randy Wilder, © Monterey Bay Aquarium Foundation.)

(Robinson 1988; Anderson et al. 2003; Hutchins 2003). Organisms are often displayed in settings that simulate their natural habitat, and, occasionally, in multi-species groups. Most exhibits are accompanied by signs that both identify the species on display and describe its natural history. If the species is at risk of extinction, this is usually emphasized, and there is likely to be detailed information about its plight and what is being done to save it. More and more, the central theme of these institutions is conservation, and they are using many channels to communicate this message beyond signs next to exhibits: publications, lecture series, visits to schools, and interaction with the local mass media, to name but a few. It is difficult to judge how much enthusiasm for biodiversity has been engendered from seeing a segment on the local TV news about the city zoo's newborn gorilla or from viewing a herd of scimitar-horned oryx and reading a sign that the species probably no longer exists in the wild. This support is probably quite significant, especially given that roughly half of the world's people live within an hour's travel of a zoo, aquarium, or botanical garden. If these institutions did nothing else for biodiversity beyond giving people a tangible link between themselves, the family of pygmy marmosets behind a pane of glass, and the fate of the tropical forests that harbor the remaining pygmy marmosets, their role would be very praiseworthy.

Modern zoos, aquariums, and botanical gardens employ biologists, and if you give biologists daily access to little-known species from all over the globe, they will learn many new things. To put it more directly, conducting scientific research is an important role for these institutions. For many species our understanding of their physiology, diseases, reproductive biology, nutrition, and so on has come primarily from studies on captive populations. Naturally, there are limits to what we can learn about a species



outside its natural habitat, especially about ecological interactions. Nevertheless, any information is better than none, and some things learned with captive populations have been vital. For example, capturing wild animals and equipping them with radio transmitters, a key part of studying many wild populations, would be exceedingly dangerous for the animals (and sometimes the researchers) without tranquilizers, many of which were tested and refined in zoos and aquariums. Indeed, the radios themselves and modes of attachment are often first tested in zoos and aquariums. The idea that inbreeding could be a problem for wild animals living in small, isolated reserves was largely generated by detailed analyses of zoo breeding records that revealed that many mammal species manifested inbreeding depression (Ralls and Ballou 1983) (see Fig. 5.6).

In emphasizing the role of zoos, aquariums, and botanical gardens as centers for conservation education and research, we do not mean to ignore all the other institutions that pursue these goals: environmental education centers, natural history museums, universities, and a vast array of other governmental and nongovernmental organizations. The education and research roles of zoos, aquariums, and botanical gardens have been highlighted here because conservation biologists often focus on the well known *ex situ* conservation programs that we will examine next.

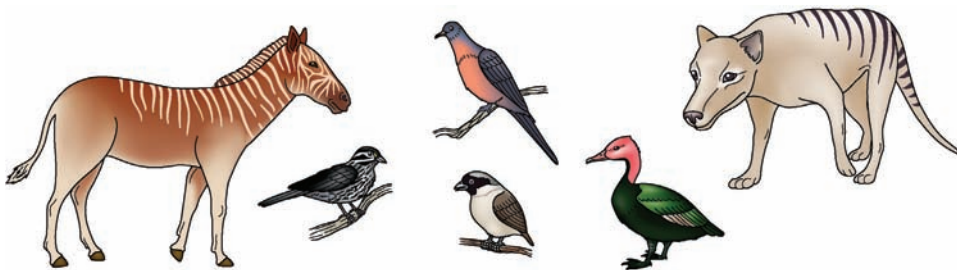
## Building Arks

Noah's ark has become an overused metaphor for *ex situ* conservation, but it does convey the simplest, most profound justification for the practice: many species would not exist today if they had not been taken from the wild and kept in captivity (Fig. 14.2). To be more precise, in 2005 the World Conservation Monitoring Centre's website listed 24 species of plants and 36 species of animals that effectively survived only in captivity. The duration of captivity has varied enormously. The tree *Franklinia altamaha* disappeared from the wild shortly after its discovery in 1765 but has persisted in botanical gardens ever since. In contrast, the black-footed ferret was removed from the wild and kept in safe, captive havens for less than five years, from February 1987 to September 1991, following an outbreak of distemper (Thorne and Williams 1988; Dobson and Lyles 2000) and then released back to the wild. Similarly, we can think of captive populations as insurance against the future loss of wild populations. Certainly, the long-term fate of three species of rhinoceros (black, white, and Indian) is more secure because there are captive populations that are not subject to the poaching that threatens wild populations. A captive population of the Leon Springs pupfish became the only genetically pure form of the species after the wild population was "contaminated" through hybridization with an exotic minnow (Echelle and Echelle 1997).

The other side of the coin is shown in Fig. 14.3, which depicts some animals whose last known individual died in captivity. The most famous of these is Martha, the last passenger pigeon, who died in the Cincinnati Zoo on September 1, 1914, about ten years after her species had vanished from the wild, less than a century after her kin had numbered in the billions (Schorger 1973). Perhaps some of these species could have been saved by modern *ex situ* husbandry, but certainly not all. The last known po'ouli, a Hawaiian honeycreeper, died in captivity in 2004, despite the advances of modern aviculture (Groombridge et al. 2004). Furthermore, we must remember that there are large numbers of species – blue whales, ivory-billed woodpeckers, and many



**Figure 14.2** Some species that would probably be extinct today without *ex situ* conservation. Most disappeared from the wild for some period; in some cases (e.g. the nene) a few individuals persisted in the wild, but probably in numbers too small to be viable. Clockwise from the center top they are European bison, red wolf, nene goose, viviparous tree snail, Przewalski's horse, Guam rail, Pere David's deer, *Paphiopedilum delenatii*, black-footed ferret, California condor, Arabian oryx, *Tecophilaea cyanocrocus*, and, in the center, *Franklinia alatamaha*.



**Figure 14.3** The quagga, dusky seaside sparrow, passenger pigeon, po'ouli, pink-headed duck, and thylacine apparently became extinct when the last known individual died in captivity.

more – that have never even been maintained in captivity, much less bred there, and likely never will be. The limitations of *ex situ* conservation are particularly evident when we recall that the vast bulk of biodiversity resides with insects and other small life-forms that are seldom kept in captivity. Financial limitations must be considered too; for example, it has been estimated that the capacity of the world's zoos could sustain fewer than 1000 of the over 20,000 species of mammals, birds, reptiles, and amphibians (Conway 1986). Such shortcomings are not an excuse to abandon *ex situ* conservation, but they have to shape a realistic evaluation of its feasibility *vis-à-vis in situ* conservation; we will return to this topic later.

An exhaustive treatment of the techniques used by *ex situ* conservationists lies beyond our purview. Suffice it to say that the technology has become quite sophisticated and that “building spaceships” might be a more appropriate metaphor than “building arks.” We will briefly cover just two topics: first, controlling who mates with whom; second, storing biodiversity in the form of seeds, sperm, embryos, tissue, and similar material.

## Studbooks and Pedigrees

A long history of breeding domesticated plants and animals has provided *ex situ* conservationists with a starting point from which they can develop their efforts to maintain captive populations of wild species. Of course, artificial selection techniques – the process by which people have produced roses in myriad colors and dogs in myriad colors, shapes, and sizes – are of little interest to *ex situ* conservationists. Usually, they try to avoid artificial selection on the assumption that someday a portion of their stock will be returned to the wild and subjected to natural selection again (Wisely et al. 2005). One could argue that two different types of captive breeding should be undertaken: one to maintain populations for exhibition and a second to produce stock for reintroductions. If this distinction is made, then some artificial selection that leads to limited domestication is desirable for populations destined to be kept in captivity, but best avoided for those chosen for reintroductions. Unfortunately, it is impossible to avoid totally any selection for domesticity simply because individuals that do not acclimate to confinement to some degree will not produce any offspring (Ashton 1988; Snyder et al. 1996).

Also of great interest to *ex situ* conservationists are practices designed to maximize the retention of genetic diversity. Such practices can be relatively simple (e.g. preventing siblings from mating with one another) or very elaborate, depending on the type of genetic variation to be managed. Most practices require keeping track of each individual's ancestors (their pedigree or lineage). However, when the number of individuals becomes quite large and they are distributed among institutions around the world, keeping accurate records is a significant logistical undertaking (see Fig. 14.4 for a sample). Despite the barriers, *ex situ* conservationists have organized themselves to create studbooks (pedigree records) for many mammals and birds, and a few reptiles, amphibians, fishes, and invertebrates. There are currently 1190 studbooks in use and these cover 836 species (because some species have multiple studbooks, e.g. one for Europe and one for Australasia); studbooks for another 300 species are being developed (Laurie Lackie, personal communication). An even larger database exists within ISIS, the International Species Inventory System,

| Studbook No. | ISIS No. | Sex | Arrival   | Birth Date | Birth Place | Sire No. | Dam No. | Death Date | Zoo       |
|--------------|----------|-----|-----------|------------|-------------|----------|---------|------------|-----------|
| SM/CE 7525   | 017S     | M   |           | 01-JUN-75  | CHENGDU     | UNKN     | UNKN    | 11-JAN-88  | NANJING   |
| SF/CE 7526   | 018S     | F   |           | 01-JUN-75  | CHENGDU     | UNKN     | UNKN    | 21-FEB-85  | NANJING   |
| SM/00 7603   |          | M   | 07-JUL-76 |            | WILDCAUGHT  |          |         | 06-APR-79  | TORONTO   |
| SM/NN 7621   | 098S     | M   |           | 30-JUN-76  | NANNING     | UNKN     | UNKN    |            | NANJING   |
| SF/00 7722   |          | F   | 29-DEC-77 |            | WILDCAUGHT  |          |         | 22-JUN-84  | SYDNEY    |
| SM/00 7723   |          | M   | 02-NOV-77 |            | WILDCAUGHT  |          |         | 03-APR-78  | SYDNEY    |
| SF/CH 7729   |          | F   |           | 01-JAN-77  | CHINA       | UNKN     | UNKN    | 29-JUL-82  | MELBOURNE |
| SF/CH 7819   |          | M   |           | 01-JAN-78  | CHINA       | UNKN     | UNKN    | 05-DEC-88  | AUCKLAND  |
| SF/CQ 7897   | 019S     | F   |           | 01-JAN-78  | CHONGQING   | UNKN     | UNKN    |            | NANJING   |
| SM/00 7921   |          | M   | 25-JUN-87 |            | WILDCAUGHT  |          |         | 17-MAY-88  | AUCKLAND  |



Removed: 18 Dec. 1991      Specimen Report: Dept of Zool Research - National Zoo      Report Date: 5 Aug. 1994

Taxon Name: AILURUS FULGENS      Accession Number: 106568  
Common Name: RED PANDA      Acq. Date: 17 Jun 1986

#### Current Status >>>

Age: 6Y, 5M, 30D at removal      Sex: Female  
Time on Inventory: 8Y, 1M, 21D      House Name: DUSTY  
Tattoo: 568 (THIGH)      Tag Band:  
Studbook Number: FFNZ 8512      Enclosure:  
Birth Type: Captive Born  
Birth Location: NZP-CRC  
Birth Date: 20 Jun 1985

#### Transaction History >>>

| # | Terms/Party   | Their Spec. Id                           | Date        |
|---|---------------|--|-------------|
| 1 | Donation from | 106568                                   | 17 Jun 1986 |
|   |               | NZP-CRC : National Zoological Park       |             |
| 2 | Donation to   | 106568                                   | 10 Jul 1987 |
|   |               | NZP-CRC : National Zoological Park       |             |
| 3 | Donation from | 106568                                   | 2 Dec 1987  |
|   |               | NZP-CRC : National Zoological Park       |             |
| 4 | Loan to       | UNK                                      | 8 Dec 1987  |
|   |               | PORTLAND : Washington Park Zoo           |             |
| 5 | Loan to       | 1404                                     | 18 Oct 1990 |
|   |               | KNOXVILLE : Knoxville Zoological Gardens |             |
| 6 | Loan to       | 557                                      | 18 Dec 1991 |
|   |               | SCOTTSLUFF : Riverside Zoo               |             |

**Figure 14.4** The top part shows a small section of the studbook for red pandas, and below is an ISIS entry for one individual red panda. (Provided by Miles Roberts, National Zoological Park, Washington, DC.)

which covers roughly 15,000 taxa from 655 zoos ([www.isis.org](http://www.isis.org)). *Ex situ* plant conservationists are in the process of developing databases for wild plants, but they are not likely to be studbooks per se. This is partly because the breeding systems of plants are such that the “who mates with whom” question is often difficult to answer (consider wind-pollinated plants) and/or less relevant (many plants routinely fertilize themselves or reproduce vegetatively). *Ex situ* wild plant conservationists are often more concerned with keeping closely related taxa from breeding with one another in the artificial proximity of a garden (Ashton 1988; Maunder et al. 2004a).

Even with a studbook, maintaining genetic variation is more easily said than done because most institutions only have a small population of any given species. This forces *ex situ* conservationists to exchange breeding stock regularly despite the risks and expenses of shipping organisms from place to place. In the future it is possible that sperm, rather than whole animals, will be routinely shipped among institutions, although to date artificial insemination techniques have been developed for



relatively few wild animals, such as the gaur, a species of wild Asian cattle (Holt and Pickard 1999; Pukazhenthii and Wildt 2004). Techniques for transferring embryos among individuals of wild species are also being developed. Embryo transfer has even been undertaken between different species, a sort of uterus-to-uterus cross-fostering (e.g. a gaur calf has been born to a domestic cow and a bongo calf to an eland) (Dresser 1988) and, most dramatically, domestic species have given birth to some cloned wild species (e.g. gaur, Spanish goat, and wild cat) (Holt et al. 2004). Many zoo biologists remain skeptical concerning whether these high-tech approaches can be developed and effectively implemented for a large suite of species, although they may be somewhat easier to develop for amphibians and fishes compared to the mammals that have received most of the attention to date (Holt et al. 2004).

In the early days of *ex situ* conservation, breeding programs' efforts focused on avoiding inbreeding. For example, in 1982 zoos in the United States and the then Soviet Union exchanged Przewalski's horses to reduce the extent of inbreeding in both countries (Ryder 1993). However, pedigree information allows *ex situ* conservationists to go well beyond avoiding inbreeding. They can enhance their attempts to maintain genetic diversity by using pedigree information to calculate a measure of relatedness called "mean kinship." Using mean kinship values to decide who should mate with whom helps equalize the distribution of each ancestor's genetic contribution and thereby maintain genetic diversity. That statement is almost as complex as the procedure to which it refers. A simplified explanation will be adequate for our purposes: consider the roughly 1500 Przewalski's horses now alive, all of which can trace their ancestry back to 13 founders. Imagine that 60% of the members of the current population are direct descendants of mare A and that only 5% are direct descendants of mare B. Using mean kinship values to determine pair formation, more descendants of mare B will be paired for breeding in the future because her genes are underrepresented in the population. There is an unfortunate side effect of these careful breeding programs; large numbers of animals (many of the descendants of mare A in this example) need to be removed from the breeding program, and keeping them alive uses up scarce resources. For example, Sunquist (1995) reported that there were 88 "surplus" orangutans in North American zoos and that keeping them alive through their normal life expectancy would cost US\$3.8 million. For further details and examples of managing the genetics of *ex situ* populations, see Ballou and Lacy (1995), Lacy et al. (1995), Fernandez et al. (2004), Ralls and Ballou (2004), and Russello and Amato (2004).

### Storing Biodiversity

Zoos, aquariums, and botanic gardens require a great deal of complicated and expensive maintenance, particularly when they are trying to keep large, demanding species like black rhinos, killer whales, and coco-de-mer palms. *Ex situ* conservation would be much easier if species were small and immobile, and did not need to be fed or watered. This kind of thinking has led to *ex situ* conservation techniques directed toward life-history stages that are amenable to storage, particularly microbes, plant propagules (seeds, spores, and vegetative parts), and the sperm and embryos of animals.

### Microbes

Most conservation biologists view conservation of microorganisms as an invisible enterprise, riding on the coattails of conservation directed at ecosystems. Nevertheless, microbiologists do strive to maintain the diversity of microorganisms, and their primary technique is *ex situ* storage (Kirsop and Snell 1984). The most common technique is freeze-drying samples, which involves rapid cooling, sealing under vacuum pressure, and then storage at temperatures between 5 and  $-70^{\circ}\text{C}$ . For some species cryopreservation is more effective. *Cryopreservation* means storage at extremely low temperatures, commonly in liquid nitrogen ( $-196^{\circ}\text{C}$ ) or its vapors ( $-150^{\circ}\text{C}$ ). Long-term storage of microbes is usually considered preferable to culturing them continuously for two reasons: (1) it is cheaper; and (2) microbial organisms evolve so rapidly that they would be very different after a period of being cultured.

### Animals

Cryopreservation of semen and embryos has become a routine procedure for domestic mammals; for example, every year millions of cows, horses, sheep, etc. are artificially inseminated using frozen sperm. This technology is more at the experimental stage for wild mammals, and especially birds and other animals, but it does hold some promise as a future method of long-term storage (Karow and Critser 1997; Holt and Pickard 1999).

### Plants

Storage is relatively straightforward for plant species that have seeds (often called *orthodox seeds*) that remain viable when exposed to cold, dry conditions that reduce metabolic activity. For these species, seed longevity can be greatly increased by storing the seeds in chambers that are dry (5% moisture content is often used, but lower might be preferred) and cold (temperatures ranging from merely cool to  $-196^{\circ}\text{C}$  are used) (Frankel et al. 1995; Hawkes et al. 2000; Schoen and Brown 2001). People have long used cool, dry conditions to store seeds needed for agriculture; for example, O'odham farmers of southwestern North America stored seeds in sealed pots placed in desert caves (Nabhan 1989). On the other hand, some species have seeds (*recalcitrant seeds*) that cannot tolerate desiccation or freezing. Still other species usually reproduce vegetatively and rarely produce seeds. For these species, maintaining pollen, plantlets, and tissue samples may be feasible. Several major institutions are dedicated to storing plant material, but the vast bulk of the effort is directed toward domesticated plants (Fig. 14.5). Only a small portion of wild species are adequately represented in seed banks, but this number is increasing quite rapidly (Frankel et al. 1995; Hawkes et al. 2000; Schoen and Brown 2001; Berjak 2005), despite criticism of this approach (Hamilton 1994). For example, the Royal Botanical Garden, Kew, in the United Kingdom, has a goal of collecting seeds from 24,000 plant species by 2010.

One drawback to storage techniques has been particularly apparent with seed banks, especially those that do not use cryopreservation. The viability of seeds deteriorates through time, and thus it is necessary to periodically remove them from storage, grow new plants, and then harvest and store the new seeds; this process, an expensive and time-consuming one, is called *growing-out*.

**Figure 14.5** Many domestic plant species come in a startling variety because of the efforts of farmers and plant breeders. Much of this diversity is maintained in *ex situ* facilities like this one in Colorado, USA, that holds over a million samples, although some still exists in farmers' fields. (Photo by Scott Bauer, ARS, US Department of Agriculture.)



### *Genetic Material*

Storing genetic material is relatively straightforward; one can freeze tissue at  $-70^{\circ}\text{C}$  and extract DNA at a later date, or extract and purify DNA now and store it at room temperature in vials of inert gases, or maintain cell lines (Ryder et al. 2000; Holt et al. 2004). This material can provide useful information about the genetic composition of a species in perpetuity. Furthermore, in the wake of the movie *Jurassic Park*, and the cloning of a sheep named “Dolly” and a gaur named “Noah,” we must also acknowledge the possibility that scientists may one day be able to reconstruct extinct species from small fragments of DNA (Holt et al. 2004). The odds of doing this in the foreseeable future are extremely slim, probably very close to zero with dinosaur genes that have deteriorated for over 60 million years. The odds are somewhat better for a species like the woolly mammoth for which we have tissue, found frozen in Siberian permafrost, that is only thousands of years old, or for an organism such as the thylacine, which is only recently extinct (Holt et al. 2004). Of course, the probability of reintroducing a species “raised from the dead” into a wild ecosystem is even more vanishingly small. When reading the popular press, one sometimes gets the impres-

sion that with the advent of cloning and other genetic techniques we can relax a bit in our struggle to save the giant panda and other species, but this prospect shows a stunning ignorance of ecological realities.

## The *ex Situ*–*in Situ* Interface

Few people are content with the idea that species like black rhinos and California condors could survive in perpetuity in captivity. Ideally *ex situ* conservation is just a stopgap technique until a species can be reintroduced to its native range, after the problems that plagued it have been remedied. Unfortunately, this is easier said than done (Gipps 1991; Mathews et al. 2005). As you will recall from our discussion of translocations in the preceding chapter, failure rates are high, especially when captive stock is used. Reintroductions have been attempted, or are currently under way, for most of the species depicted in Fig. 14.2, but to date one could argue that none of these has produced an unqualified success: that is, the creation of a secure, free-living, self-sustaining population within its native range and habitat. For example, European bison (or wisent) come fairly close to meeting this definition, but their wild populations still need some special care, such as genetic management to avoid inbreeding (Perzanowski et al. 2004). The efforts to reintroduce Arabian oryx to Oman, described below as a case history, were initially successful but then ran into serious problems. The bottom line is that, while it may be possible to reintroduce a species to the wild after it has been confined to captivity for a few generations, experiencing selection for survival in artificial environments, it is never easy.

Augmenting wild populations with captive-bred individuals is also a possibility. For example, if the cheetahs of an isolated reserve were known to be suffering from inbreeding, a captive-reared cheetah with a different genotype could be added to the population. Again, ensuring that it survived and became part of the local population is easier said than done, and the specter of introducing a disease always looms. Finally, some *ex situ* conservationists envision a day when they will routinely introduce genetic material from a captive population to a wild population by transferring pollen, sperm, or embryos (Holt et al. 2004).

The *ex situ*–*in situ* path is a two-way street. Although many of the inhabitants of zoos, aquariums, and botanical gardens have been reared in captivity, some were removed from wild populations, and occasionally this includes endangered species. Sometimes, endangered species are removed from the wild by conservationists because their chances of survival in the wild are too low; this was the case with black-footed ferrets, California condors, and various Hawaiian birds (Groombridge et al. 2004). Sometimes, they are removed because they are needed to bolster captive populations and to avoid inbreeding. For example, Nepal exported some Indian rhinos to overseas zoos to increase the genetic diversity of the world's captive population. Ideally, this would be done with individuals who are not breeding members of viable populations (e.g. orphans and individuals whose habitat has been destroyed), but this is typically not the case. In one well documented case, publicity about establishing a captive-breeding program for the babirusa (a member of the pig family confined to the island of Sulawesi) generated a black market in the species among people hoping to sell animals for the program (Clayton et al. 2000).



All of this requires that *ex situ* conservationists keep their finger on the pulse of what is happening to wild populations and make decisions that complement *in situ* conservation. For example, Foose (1983) noted that in 1980, 539 of the world's 797 captive rhinos (68%) were the southern subspecies of the white rhinoceros, even though this is the only kind of rhino that is moderately secure in the wild. Therefore he proposed that the world's capacity for holding captive rhinos should be directed toward the other species. The good news is that by 2005 the world's capacity for *ex situ* rhino conservation had expanded to support 1160 animals; the bad news is that 740 (64%) of these were still southern white rhinos. Complementing *in situ* conservation has also meant that conservation-sensitive zoos have abandoned the old objective of exhibiting as many different species as possible. They try to focus on a few select taxa whose wild populations can be helped the most through holistic *ex situ* programs that incorporate maintaining healthy populations, education, research, and direct support of *in situ* conservation projects (Hutchins and Wiese 1991; Hutchins et al. 1995). Unfortunately, while the trend is in the right direction, most zoos and aquariums still allocate a disproportionate share of their resources to the species that are deemed most likely to attract the public – notably large mammals – even though these species are usually the most expensive to keep and are difficult to breed. At least one analysis has shown that visitor preferences are not really so narrow, and it is quite feasible to allocate resources in a fashion that would better complement *in situ* conservation (Balmford et al. 1996).

Plants may not demand as much space as large animals but there are significant constraints on the capacity for *ex situ* plant conservation too. Plant conservationists have articulated a goal of maintaining 60% of the threatened plant species in accessible *ex situ* collections and this would translate into an estimated 54,000–75,000 species (Maunder et al. 2004b). If all the world's roughly 2000 botanic gardens were to care for 25–40 species each this goal could be met.

In summary, there is much that can be done to integrate management of wild and captive populations (see Pedrono et al. 2004; Tenhumberg et al. 2004; Wisely et al. 2005 for examples) but the track record to date is fairly limited.

### The Controversial Side of *ex Situ* Conservation

*Ex situ* conservation is often highly controversial. Some people do not like it for ethical reasons (Bostock 1993; Norton et al. 1995; Hutchins 2003; Hutchins et al. 2003). They would rather see a species slip into extinction with dignity rather than be subjected to high-tech meddling that will expose some members of the species to the tribulations of captivity. These feelings rise up particularly with animals; it is not obvious how these ethical arguments would play out for a tree like the toromiro, confined to captivity since 1960 but recently reintroduced to the wilds of Rapa Nui (Easter Island) (Maunder et al. 2000).

Also common are criticisms by *in situ* conservationists who feel that *ex situ* conservation is too focused on a minority of species, too expensive, and too risky (because of the high incidence of diseases in captivity and the poor success rate of reintroducing captives to the wild) (Snyder et al. 1996). Perhaps most problematic is the danger that captive breeding can become a smokescreen to obscure solutions to the real problems. For example, it has been claimed that the US Fish and Wildlife Service found it easier

to support captive-breeding projects for black-footed ferrets and California condors than to tackle the politically difficult problems of prairie-dog eradication and lead poisoning, respectively (Snyder et al. 1996). The recent decision by the Chinese government to attempt to clone giant pandas could be seen as an attempt to avoid the thorny issue of habitat conservation (Holt et al. 2004).

Arguably the most controversial *ex situ* conservation plan involves the Sumatran rhino, one of the most highly endangered large mammals because of poaching and loss of habitat (Fig. 14.6). In 1984 *ex situ* conservationists initiated a program to establish a captive population, and, ultimately, 40 rhinos were caught and held in captivity at a cost of millions of dollars (T. Foose, personal communication). To date, they have only had two births (2001 and 2004 in Cincinnati, Ohio) and the captive population has dwindled to nine animals from the original 40. Not surprisingly, many critics of *ex situ* conservation have argued that the time and money should have been spent on better management of the remaining Sumatran rhino habitat, particularly because all the other species that share this habitat would have also benefited from an *in situ* approach (See Rabinowitz 1995 and responses in *Conservation Biology* 9[5].)



**Figure 14.6** The Sumatran rhinoceros is highly endangered, and this led to a concerted effort to breed them in captivity, an effort that has proven almost fruitless to date. (Photo provided by S. David Jenike/Cincinnati Zoo and Botanical Garden.)

### Supporting *in Situ* Conservation

One way to lubricate the friction that can exist between *in situ* and *ex situ* conservationists is for zoos, aquariums, and botanical gardens to become more directly involved in *in situ* conservation and thus escape the constraints of the Noah's ark metaphor (Hutchins 2003; Miller et al. 2004a). Some of the largest institutions (e.g. the Missouri Botanical Garden, New England Aquarium, Bronx Zoo, and Frankfurt Zoo) have their own field conservation units operating in many parts of the globe. Others have formed a special relationship with a particular reserve. For example, Zoo Zurich in Switzerland, which has a large exhibit featuring a Madagascar rainforest, supports conservation programs in Masoala National Park in eastern Madagascar. To date few of these institutions commit more than 5% of their budget to conservation so there is much room for growth (Miller et al. 2004a).

The simplest idea is for zoos, aquariums, and botanical gardens to raise funds for other organizations that undertake *in situ* conservation. For example, substantial

sums could be raised if each visitor were charged for two tickets, one for regular admission and a second “conservation ticket” costing perhaps 20% of the regular admission. By putting their conservation tickets in collection boxes around the grounds, visitors could direct their contribution to a particular project (e.g. marine mammal conservation next to a seal pool, support for a conservation group in Mexico in a cactus greenhouse, or a “Special Fund for All the Ugly Creatures that Usually Are Ignored” next to a crocodile exhibit).

In the best of all possible worlds it would never be necessary to attempt risky and expensive reintroductions of captive plants and animals. They could stay in captivity, leading safe and sheltered lives and serving as ambassadors for conservation education and research. This scenario is a bit more likely if zoos, aquariums, and botanical gardens become major supporters of *in situ* conservation, not just instruments of last resort.

## Conservation of Domesticated Species

With our omnivorous digestive systems, there are many thousands of species we could eat, but only a few hundred are consumed routinely, and only a tiny handful of plants (e.g. wheat, rice, corn, soybeans, potatoes) comprises a large portion of our diet (Prescott-Allen and Prescott-Allen 1990). Our dependence on a few domesticated species has led us to lavish a great deal of attention on them, and this is most apparent in all the varieties we have produced through artificial selection. Some of this genetic diversity can be seen during a trip to the grocery store, among the apples and squashes, for example. However, to really learn about this diversity you need to visit a farm and talk to farmers about the varieties that they select for growing. (If they are growing plants, they may use the term *cultivar* for variety; animal farmers are likely to use the term *breed*.)

Farmers select varieties that will produce good yields as well as meet consumer preferences. For most farmers living in industrialized countries, this means selecting a variety that will perform well in an environment intensively manipulated with fertilizers, insecticides, herbicides, and perhaps irrigation. Other farmers cannot afford these inputs or simply prefer the low-input style of agriculture known as sustainable agriculture (recall our discussion in Chapter 12). These farmers need to select varieties that will thrive with the local climate, soil, and assemblage of potential pests, producing crops that are reasonably large and have a low risk of failure. Both of these types of farmers need to be concerned with maintaining the genetic diversity of domesticated species (Fuccillo et al. 1997; Virchow 1999; Brush 2004; Fowler and Hodgkin 2004).

High-tech, high-input farmers need genetic diversity as the basis for developing new varieties that are adapted to ever-changing technologies (e.g. varieties of plants that can withstand more potent herbicides or that lend themselves to mechanical harvesting), ever-changing environments (e.g. insects that have become immune to certain insecticides), and ever-changing consumer preferences. Most plant breeders cater to these farmers, and they have established an international network of repositories for genetic material (often called *germplasm*) (Fuccillo et al. 1997; Fowler and Hodgkin 2004). Typically, these consist of an *ex situ* storage facility or seed bank, as described

earlier in this chapter, plus some nearby fields where plant varieties can be cultivated either continuously (for species with recalcitrant seeds) or periodically (for species with orthodox seeds that need to be grown out).

Farmers using traditional practices need genetic diversity in the form of a diverse array of local varieties, usually called *landraces*. This is because local varieties are more likely to be adapted to local conditions and not to need substantial inputs of fertilizers and pesticides (Frankel et al. 1995; Brush 2004). Landraces can be maintained in regional germplasm repositories, but there are some disadvantages to this. First, over time a landrace will evolve in response to the conditions at the germplasm center rather than to conditions at its site of origin. This is especially true of recalcitrant seeds that may need continuous cultivation. Second, germplasm material stored at a distant repository is not very accessible to a small farmer.

One way to maintain landraces is to keep them on the farms where they were first developed, essentially *in situ* conservation for domestic species (Oldfield and Alcorn 1987; Maxted et al. 2002; Brush 2004). The problem with this approach is that local farmers are often under considerable economic pressure to replace their local varieties with high-yield varieties. Consequently, it may require a program of financial subsidies and other forms of cooperation to encourage them to continue growing a landrace (Smale et al. 2004). Such programs would be a worthwhile investment for high-tech agriculture because the pool of genetic diversity in landraces is an important resource for breeders trying to develop high-yield varieties. Indeed, allowing landraces to disappear undermines the very foundation of genetic diversity of domestic species. Moreover, these programs may be particularly useful because landraces often occur within the native range of wild relatives of the domesticated species, and these are an additional important source of genetic material (Meilleur and Hodgkin 2004).

Efforts to maintain the genetic diversity of domesticated animals have focused primarily on the studbook and pedigree approach described above and on preserving germplasm from individuals known to have desirable qualities. This work has largely been limited to a few major breeds, but in recent years many people have developed a keen interest in saving rare, local breeds (Alderson 1990; Hall and Ruane 1993; Reist-Marti et al. 2003). To date the maintenance of rare breeds of animals is closer to being a hobby, such as collecting living antiques, than to a mainstream undertaking supported by the agricultural establishment, but it is hoped this will change. With about a third of breeds threatened with extinction the Food and Agriculture Organization (FAO) of the United Nations is paying attention to the issue now (Scherf 2000) and there are proposals to take a systematic approach to prioritizing breeds for conservation (Simianer 2005).

Finally, we must mention some species that are in danger of falling between the cracks of conservation focused on wild and domestic species: the wild relatives of domestic species. Actually, plant conservationists do a fairly decent job of tracking down these populations and at least collecting their seeds for storage, if not undertaking *in situ* conservation (Meilleur and Hodgkin 2004), but the animal conservationists seldom turn their attention to the wild ancestors of pigs, chickens, etc. (Brisbin 1995).



## CASE STUDY

## The Arabian Oryx

The political strife of the Middle East tends to color our view of the whole region, and thus many people would be surprised to learn that this is home to a fine model of international cooperation to save an endangered species, the Arabian oryx. Four large antelopes – the Arabian oryx, scimitar-horned oryx, gemsbok, and addax – roam throughout the arid regions of Africa and the Middle East, or, rather, they used to. Human overexploitation, especially since the advent of motorized vehicles capable of taking parties of hunters far into the desert and outrunning herds of antelope, has left all but the gemsbok either gone or in grave danger of disappearing from the wild. Indeed, for several years the Arabian oryx did disappear from the wild, and that is the basis of our story, summarizing a book by Mark Stanley Price (1989), later papers by Ostrowski et al. (1998) and Spalton et al. (1999), and information from [www.arabian-oryx.com](http://www.arabian-oryx.com) and [www.oryxoman.com](http://www.oryxoman.com).

Arabian oryx were once found throughout most of the Arabian peninsula, but by the mid-1960s they were confined to a small area of central Oman, and on October 18, 1972, the last known wild herd was eliminated when three animals were killed and three captured alive. Fortunately, ten years earlier, in 1962, the Fauna and Flora Preservation Society (a British-based conservation group that publishes a journal called *Oryx*) had launched Operation Oryx to capture some Arabian oryx and start a captive population. Their expedition produced three oryx, which they took to northern Kenya because of the climatic similarity. Over the next two years various negotiations netted six more oryx from captives held in London (one), Kuwait (one), and Saudi Arabia (four), and all nine oryx were shipped to the Phoenix, Arizona, zoo. Here the climate was fairly similar to the Arabian peninsula, and the threat of hoof-and-mouth disease, a major risk in Kenya, was minimal. The oryx thrived and reproduced in Phoenix, and beginning in 1972 some individuals were transferred to other United States zoos to minimize the risk of having all the animals at one site. By 1978 oryx were being shipped back across the Atlantic, and by 1986 there were herds in Morocco, ten Middle Eastern countries, and four European countries totaling over 700 animals. The success of the captive-breeding program may be the result both of good luck (the founder animals probably were not closely related to one another) and of careful breeding management designed to ensure that the genomes of all of the founders were well represented in later generations.

From its inception, the goal of Operation Oryx was to return the Arabian oryx to the wild eventually, and the first steps toward making this a reality began in 1978 when Arabian oryx from the United States were released into large, natural enclosures in Jordan and Israel. A couple of years later a more ambitious and, ultimately, more successful reintroduction project began in Oman. Eighteen oryx were imported into Oman from 1980 to 1984, and 16 of these were integrated into two separate herds with a reasonably natural sex and age composition (two were judged unfit for release). The animals were held at the release site in small pens and then in a 100 ha enclosure for about one to two years to acclimate them to the area and to one another. After release they were monitored very closely by teams of rangers following in vehicles, a strategy that both protected the oryx from poachers and generated detailed information. The oryx seemed to adapt to their new home quite readily, moving over large areas in search of fresh forage and only rarely returning to the pens to obtain water and food. Their population increased slowly; apparently, inbreeding depression was partly responsible. Therefore some more animals were introduced in 1988 and 1989. By 1996 there were over 400 animals, most of them wild-born, ranging over 16,000 km<sup>2</sup> without any special management. Sadly, just when the project could be deemed a full success, poaching reared its ugly head, driven by a demand for live oryx for private collections. By 1998 the population had crashed to 138 animals with just 28 females, so it was judged necessary to bring many animals back into captivity. Since then poaching has continued to be a problem but the wild population has grown, especially in a fenced reserve in Saudi Arabia, and is now approaching 1000, roughly equivalent to the captive population (Fig. 14.7).

If we treat the poaching problem as an unavoidable threat that requires routine vigilance, the project can be judged a success, but it came at great expense. At one point over 40 people were employed by the Oman reintroduction project, and no doubt the captive-breeding program cost far more. An all-out effort to protect the wild Arabian oryx from poachers in the 1960s might have been more cost effective, but it might have failed. The approach that was taken worked, and it is a testament to the key role that *ex situ* conservation can play.



**Figure 14.7**  
Arabian oryx have been reintroduced to the wild in Oman (as seen here), Saudi Arabia, Jordan, and Israel. (Photo used with permission of the Office of the Adviser for Conservation of the Environment, Sultanate of Oman.)

## Summary

Although the original goals of zoos, aquariums, and botanical gardens focused on public recreation, many of these institutions also developed into important centers of public education and biological research. In recent years, much of this research and education activity has acquired a dominant theme: conservation of the world's biological diversity. Major institutions usually go one step further and become directly involved in *ex situ* conservation, specifically, maintaining organisms outside of their natural habitat. Zoos, aquariums, and botanical gardens generally do this through careful husbandry of captive populations, including, among other things, management of breeding systems to minimize loss of genetic diversity and avoid domestication. Some institutions maintain biodiversity *ex situ* by storing seeds, spores, sperm, embryos, and similar material, as well as microorganisms. It is important that *ex situ* and *in situ* conservation programs be carefully integrated with one another so that *ex situ* populations can be: (1) insurance against the loss of natural populations; (2) a direct contributor to conservation of wild populations through education, research, and funding; and, if necessary, (3) a source for reintroduction projects. Because integration is often less than perfect, *ex situ* conservation is controversial among some conservationists.

For one segment of the earth's biodiversity – domestic plants and animals – captivity is their natural state. Nevertheless, it is also necessary to maintain the diversity, especially the genetic diversity, of these species in a proactive fashion. This has traditionally meant storage of germplasm, but, increasingly, it has also involved cooperating with farmers to maintain local varieties or breeds.

### FURTHER READING

For popular accounts of the *ex situ* programs of zoos, see Luoma (1987) and Tudge (1992). Guerrant et al. (2004) provides many papers on *ex situ* plant conservation. Two periodicals that carry many *ex situ* conservation articles are *Zoo Biology* and the *International Zoo Yearbook*. See Olney et al. (1994) for papers about the interface between *in situ* and *ex situ* conservation and *The Last Panda* (Schaller 1993) to see how this interface has not worked well for giant panda conservation. For conserving the genetic diversity of domestic species, key journals are *Ark* and *Genetic Resources and Crop Evolution*, and two books that introduce the issues are Alderson (1990) and Brush (2004). The global system for keeping track of captive animal populations, ISIS, can be accessed at [www.isis.org](http://www.isis.org). For the World Associations of Zoos and Aquariums, see [www.waza.org](http://www.waza.org), which has links to regional associations. At [www.bgci.org](http://www.bgci.org) you will find Botanic Gardens Conservation International. See [www.wcs.org](http://www.wcs.org) for the Wildlife Conservation Society, an organization that works extensively in both the *in situ* and *ex situ* arenas. The Conservation Breeding Specialist Group of IUCN can be found at [www.cbsg.org](http://www.cbsg.org).

### TOPICS FOR DISCUSSION

- 1 Are there any species that you would be unwilling to maintain in captivity even if it meant their extinction from both the wild and captivity? Why?
- 2 Do you think that eventually we will know enough about captive propagation and storage techniques such as cryopreservation to maintain virtually all species *ex situ*?
- 3 Do you think there is a role for private individuals assisting with *ex situ* conservation (e.g. through planting endangered species in their gardens)? What would be some of the pros and cons of this? (See Reinartz [1995] after arriving at your own ideas.)
- 4 Are animals in zoos and aquariums better off than their counterparts in the wild? Think hard about this in terms of the relative levels of competition, parasites and disease loads, and predation threats, as well as animal psychology and social relations. What actually is animal “well-being” and animal welfare?
- 5 If you could design a zoo, aquarium, or botanic garden from scratch, what would it be like? What taxa would it hold? What geographic areas or types of ecosystems would it represent? How would it allocate its resources in terms of captive breeding, research, and education? How would you develop cooperative relationships with *in situ* conservationists? Estimate the annual budget it would take to run your institution. To be realistic, you should plan on millions of dollars per hectare. How would you modify your vision if you had only half of your dream budget?
- 6 Think about a zoo, aquarium, or botanic garden that you have visited. If you could change one significant thing about it, what would it be?







## PART IV

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# The Human Factors

Conservation biologists are constantly reminded of what our species has done to extirpate or threaten other life-forms. As Aldo Leopold wrote in *A Sand County Almanac*: “one of the penalties of an ecological education is that one lives alone in a world of wounds” (Leopold 1949). This awareness seems to make some conservation biologists a bit misanthropic. Moreover, many conservation biologists purposefully select careers in which they can interact with other species in preference to their own. Consequently they may not be very comfortable in dealing with human institutions such as the social, economic, and political systems that are the subjects of our last three chapters (Jacobson and McDuff 1998). Yet this does not diminish the importance of human institutions to conservation biology. If people are the primary force degrading biodiversity, then people must change their actions. If we wish to facilitate these changes, we must understand social, economic, and political systems. These three chapters are far too brief to provide a real foundation for understanding sociology, economics, and politics, but they can give you an appreciation of how critical these subjects are to conservation biology.





## CHAPTER 15

# Social Factors

When I am hungry, a date palm gives me food. When my belly is full, behold, the tree is beautiful.

This statement, ascribed to a Bedouin of Jordan by Guy Mountforth, says two important things about the values held by individuals and societies. Values differ between hungry people and well-fed people. Values change when a person who was hungry has eaten. More generally put, values differ and values change. We will begin by examining how different groups value biodiversity – focusing on different cultures, rural and urban people, and women and men.

## Values Differ

### Cultures and Religions

The cultural diversity that characterizes humanity is one of our greatest assets. It is a deep, rich lode of human potential that reflects our religious, ethnic, racial, and linguistic diversity. At times, however, when universal cooperation and unanimity are needed, this diversity seems like a significant liability, a source of frustration and bafflement.

Differences in cultural values are seen on the world stage most often when human rights are being discussed, but cultural differences in attitudes about nonhuman organisms are at least as profound. Consider the various rodents known as rats. In most places they are loathed as the epitome of vermin, but in several parts of the world they are relished as food; in Nigerian markets grasscutter rats sell for more than beef and pork (Adu et al. 1999). In India, rats are fed and protected in temples of the Hindu goddess Bhagwati Karniji (Canby 1977). Many people find insects generally disgusting but an estimated 2000 species of insects serve as food for people around the world (RamosElorduy 1997). In North America and Europe dogs are beloved companions; in many East Asian countries they are prized as food; among the Zoroastrians of the Middle East they are key participants in certain religious rites. Similar stories could be told for many species: bats, snakes, whales, ravens, and more (Fig. 15.1).

We often explain such differences with the simplistic statement “It’s their culture.” For example, Hindus consider cows to be sacred and do not eat them because that is their culture. However, such a statement is not really an explanation. In his book, *Cows, Pigs, Wars, and Witches: The Riddles of Culture*, anthropologist Marvin Harris (1974) argues that usually a rational, ecologically based explanation can be found. For example, he

**Figure 15.1**

Snakes epitomize widely divergent attitudes held by humans toward wild life. For example, snakes are typically vilified in European cultures owing to myths such as that of Medusa, punished for her beauty by Athena, who turned her beautiful tresses into snakes, which gave her the power to turn to stone anyone who looked at her. (Peter Paul Rubens/Art Resource.) In contrast, at religious ceremonies in Asia snakes are often given offerings. In this sculpture from Thailand Buddha is in repose upon Naga, a serpent-being that can both bestow wealth and assure crop fertility as well as decline these blessings. (Artist unknown/Art Resource.)



argues that the Jewish and Islamic strictures against pigs are based on the fact that these religions originated in a desert environment where raising pigs (which require a high-quality diet compared with sheep, goats, and cattle) was a waste of limited resources. Once an idea has been codified as part of a set of cultural values, it can persist, even if the original reason for it disappears, because it becomes a mechanism for maintaining group cohesion. In other words, Jews and Muslims who do not live in desert environments also do not eat pork because it is a way to demonstrate their cultural identity.

Differences in the ways that various cultures perceive their relationship with nature are sometimes linked to theology. For example, it has been argued that Verse 26 of the first chapter of Genesis compels Christians, Jews, and Muslims to think that all other species exist for the use of people (White 1967):

Then God said, “Let us make humankind in our image, according to our likeness; and let them have dominion over the fish of the sea, and over the birds of the air, and over the cattle, and over all the wild animals of the earth, and over every creeping thing that creeps upon the earth.”

Other scholars have argued that this verse should be interpreted as a mandate to be good stewards of nature (Van Dyke et al. 1996). Certainly, an environmental ethic in the Old Testament can be found in passages such as Isaiah (5:8):

Woe to you who add house to house and join field to field till no space is left and you live alone in the land.

Nevertheless, it seems clear that these religions see humans as distinct from the rest of creation in some way, and in this respect they contrast with some other religions, such as Hinduism, Buddhism, and Taoism, that emphasize the sameness of humans and nature (Callicott 1994). Consider this passage from the *Ishopanashads*, a holy scripture of Hinduism:

This universe is the creation of the supreme power meant for the benefit of all His creations. Individual species must, therefore, learn to enjoy its benefits by forming a part of the system in close relation with other species. Let not any one species encroach upon the other's rights.

Ultimately, the theological distinction between religions that emphasize “sameness” versus “separation” may or may not mean a great deal in practical terms. For example, recognition of the Ganges as a sacred river has not prevented Hindus from polluting it. Religion is only part of what distinguishes cultures, and one must consider other influences, such as history, politics, economics, and technology, to understand cultural differences in the way people interact with nature. Yet religions evolve, and a strong religious response to the biodiversity crisis may emerge as the effects of loss begin to affect people more profoundly (McNeely 2001).

## Urban–Rural

Living in a city and living in the country are profoundly different experiences. Many urban people are quite isolated from the natural world that lies outside their cities,

and this can limit their understanding of it. Such isolation can also lead to apathy that may be broken only by an event with a direct impact; for example, if water rationing were imposed because deforestation had ruined the city's watershed. Of course, not all urbanites are apathetic or poorly informed about nature. Indeed, many of the world's most committed naturalists and conservationists have deep urban roots. Notably, what little research has been conducted on the topic has indicated that urban and rural residents show complex attitudes toward nature that are not easily dichotomized (see Hunter and Brehm 2004).

It is tempting to speculate that for some people their day-to-day distance from nature has given them a stronger appreciation for it, a sort of "distance makes the heart grow fonder" effect. Nevertheless, in general, rural people are more likely to understand their relationship with the natural world, in part because they will be more directly affected by any problems that arise. This is particularly true for people who depend on wild life for their well-being. The understanding such people develop, known in some circles as *traditional ecological knowledge* or TEK, encompasses much more than a set of information; it shapes value systems and world views (see Berkes et al. 2000 and other articles in *Ecological Applications* 10[5]). TEK is also a productive springboard for initiating conservation efforts in both forest (Becker et al. 2003) and marine environments (Drew 2005).

Certainly, urban isolation from nature does not mean that urban people are the villains and rural people are the heroes in the drama of conservation. Through farming, fishing, logging, and similar activities many rural people interact daily with other species and have a strong utilitarian attitude toward them. Utilitarian attitudes are not a threat as long as the species in question can sustain the usage, but, sometimes, these attitudes are extended to species that are too uncommon to be exploited. To put it in more practical terms: when an endangered species is exploited, it is usually a rural person holding the gun or the axe.

If conflict arises between the well-being of biota and the well-being of people, rural people are usually the ones on the front line. Consider two brief examples. Millions of city dwellers cherish the tiger as one of the most spectacular life-forms on earth. However, tigers inspire mostly fear among the people living in the Sunderbans, a large delta on the border of India and Bangladesh where tigers kill people each year (Saberwal 1997; Kleiven et al. 2004). Similarly, urbanites around the world write to politicians and give money to conservation groups to save the rain forests of Amazonia; however, for the poor people who live there, establishing parks impedes their ability to hunt for game or cut the forest to grow crops (Schwartzman et al. 2000). In these cases the isolation of urban people may make it easier for them to advocate for biodiversity because it costs them little to do so.

To make a summary generalization: rural people's attitudes toward wild life – both positive and negative – are likely to be pragmatic attitudes based on regular interactions. On the other hand, the attitudes of urban people – positive, negative, and apathetic – are likely to be more conceptual and removed from direct experience. Where these distinctions become important is in geopolitical regions where urban voters outnumber rural voters in conservation matters affecting primarily rural areas. Such was recently the case with proposed reintroductions of wolves to wilderness areas of New York state's Adirondack Park, an action favored by urban and suburban dwellers but not embraced by residents of the reintroduction area

(Enck and Brown 2002). Only by rural voters passing local ordinances specifically blocking wolf reintroduction in their towns did rural residents prevail in the debate. Curiously, extrapolating attitudes over time in the context of an increasingly urbanized society suggests attitudes will improve steadily toward carnivore conservation (Williams et al. 2002).

## Women–Men

The concept of equal rights and opportunities for women is widely accepted, if not always practiced, in many countries. Equality of rights and opportunities does not mean that women and men are identical physically or psychologically, however; such differences could lead to both divergent interactions with nature and different attitudes toward nature (Fig. 15.2). Some writers – Rachel Carson and Ariel Kay Salleh, for example – have suggested that because most women bear and care for children, they are more nurturing than men, and that this quality shapes their attitudes toward nature (Norwood 1993; Mellor 1997). Moreover, because fertility, nativity, birth, and renewal have always been associated with females, nature has generally been portrayed with female features. Consider Gaia, Mother Earth for the early Greeks, Pachama, who personified the Earth to the Incas, or Bharat Mata, the modern Hindu Mother of India. This said, many feminist writers minimize or reject the idea that women are closer to nature than men. They contend that the stereotype perpetuates a dualism that separates men from women and men from nature (Warren 1993). From their perspective, language that makes nature seem feminine (e.g. raping Mother Earth) or that makes women seem more like a part of nature (e.g. slang terms for women such as chick, bunny, kitten, fox, bitch, etc.) lumps women and nature together, and thereby makes them both inferior to men.

Whatever the case, all agree that both nature and women have been subjected to domination by men, and that we must work toward more harmony and balance. This idea is the foundation for a growing area of philosophy called ecological feminism, or *ecofeminism* (see Norwood 1993, Mellor 1997, or Wilson 2005 for further details), and may partly explain why women play such a pivotal role in the environmental movement, especially at the grassroots level. Beyond romantic or mythological notions of the connection between women and nature, the fact remains that women play a pivotal role in maintaining biological diversity and developing knowledge of its uses through their reliance on wild resources (Deda and Rubian 2004).

Beyond differences in attitudes toward nature in general, men and women may also differ in how they value particular species because they interact with different suites of species (Shiva 1988). In many rural parts of southern Africa men are primarily hunters of large mammals, whereas women interact with a much broader array of wild life: gathering wild plants for food, fuel, fiber, and medicine and catching birds, reptiles, fish, insects, and small mammals for food (Hunter et al. 1990). It is interesting to speculate that the tendency of conservationists to focus heavily on large mammals may, in part, reflect what is still a male-dominated culture and a relationship between men and large mammals that stretches back to our earliest ancestors.





**Figure 15.2**  
Women and men often interact with the natural world in different ways that may reflect or shape their values. (Georges Seurat/Art Resource, top; Claude Monet/Art Resource, bottom).





## Additional Perspectives

Differences among cultures, rural and urban people, and women and men are but three axes along which to view social values. We could also discuss how attitudes about nature are influenced by age, occupation, income, education, and other factors. Given all this complexity, it is often difficult to sort out why people feel the way they do. If a male banker in London does not share the same attitude toward tigers as a female farmer in the Sunderbans, to what degree are the differences based on their gender, culture, geography, wealth, education, and so on? Sorting out these complexities is more feasible if one uses a systematic approach to describing values; in the next section we will examine one well known example.

## Describing Values

How do you feel about crocodiles? Do they frighten you? Do they fascinate you? Do you love them? Do you love them more than your parents do? Discussions about human values can be rather fuzzy because they are difficult to describe systematically. Stephen Kellert, a sociologist who works on conservation issues, has spent many years developing systematic techniques for describing how people feel about animals, especially wild animals, and then using them to better understand how values differ among people of different ages, education, employment, culture, race, gender, region, and so on (Kellert and Berry 1981; Kellert 1996). The basic method is to read statements to people and ask them to strongly agree, agree, slightly agree, slightly disagree, disagree, or strongly disagree. By scoring responses to statements such as “I have owned pets that were as dear to me as another person” or “If I were going camping I would prefer staying in a modern campground than in an isolated spot where there might be wild animals around,” Kellert has identified several basic types of attitudes toward animals. See Table 15.1.

Survey data of this type bear out many of the generalizations made above about how values differ among cultures, between rural and urban people, and between women and men. For example, Czech et al. (2001) identified that women ascribe greater preservation value to nonhuman species, express greater concern for species conservation relative to property rights, and seek stronger support for endangered species protection than men. Similarly, people of rural areas (defined as towns with populations less than 500) tend to have high scores for utilitarian and naturalistic attitudes, whereas urban people (from populations greater than 1,000,000) show higher scores for moralistic and humanistic attitudes (Kellert and Berry 1981). Finally, Kellert (1991, 1993) has contrasted attitudes toward wild animals among western cultures. In a cross-cultural comparison of the United States, Japan, and Germany the most noticeable results were the higher scores for moralistic and ecologicistic attitudes in the United States and for dominionistic attitudes in Japan. Data from Germany revealed extremely high scores for moralistic attitudes and relatively low scores for dominionistic and utilitarian attitudes. As a generalization, people in all three countries cared more about the welfare of individual species of wild animals, typically species with strong aesthetic, cultural, and historic associations, than about broader, more conceptual entities such as ecosystems or biodiversity. These results may help to explain why the public often seems to prefer

| Term          | Definition   |
|---------------|--|
| Naturalistic  | Showing an interest in, and affection for, wild animals and the outdoors. <b>10%</b>   |
| Ecologicistic | Concerned with ecosystems, particularly the interrelationships between species and their habitats. <b>7%</b>                           |
| Humanistic    | Showing a strong affection for individual animals such as pets or large wild animals. Strong tendency for anthropomorphism. <b>35%</b> |
| Moralistic    | Concerned with ethical treatment of animals; strongly opposed to cruelty toward animals or presumed overexploitation. <b>20%</b>       |
| Scientific    | Intellectual interest in organisms as biological entities. <b>1%</b>   |
| Aesthetic     | Interested in the physical attractiveness and symbolic characteristics of animals. <b>15%</b>  |
| Utilitarian   | Interested in the practical value of animals and their habitats. <b>20%</b>  |
| Dominionistic | Interested in the mastery and control of animals, typically in sporting situations. <b>3%</b>  |
| Negativistic  | Preferring to actively avoid animals because of dislike or fear. <b>2%</b>   |
| Neutralistic  | Preferring to passively avoid animals because of a lack of interest. <b>35%</b>  |

**Table 15.1**

Stephen Kellert has described several types of attitudes that people have toward animals. The types are described here using definitions slightly modified from Kellert and Berry (1981). Following each definition is the percentage of United States residents (based on a survey of 2455 people over 18 years old) who strongly exhibited that type of attitude (Kellert and Berry 1981). Note that most people have more than one type of attitude, but usually only one type is strongly held. The percentages total 148 because of people who hold more than one attitude strongly.

concerted efforts to conserve a few high-profile species rather than the coarse-filter approach to conservation, despite its obvious efficiency (Chapters 11 and 13).

These techniques can also be used to try to understand how people feel about biodiversity in general. For example, Czech and Krausman (1997) compared people's attitudes toward different groups of endangered species in the United States. Respondents rated their favorability toward various taxonomic groups on a scale of 0 (lowest) to 100 (highest) as follows: a first tier consisting of plants (72), birds (71), and mammals (71), a second tier of fish (68), a third tier of reptiles (59), amphibians (59), and invertebrates (57), and a fourth tier of microorganisms (52). In an extension of this work, Czech et al. (1998) examined if these attitudes were consistent with how we allocate funds for conservation. They found that they generally were, with the

exception that amphibians and plants were gravely underfunded relative to the positive values most people extend to them.

## Values Change

A paradoxical truism worth remembering is that the only thing that never changes is the fact that everything changes. A few millennia ago, when daily life revolved around being predators and grazers and avoiding becoming prey, ecologicistic and utilitarian attitudes toward wild life must have been very widespread and neutralistic attitudes virtually unknown. Even looking back just a few decades can reveal some remarkable changes in values. Not very long ago, attitudes toward whales and wolves were shaped by *Moby Dick* and *Little Red Riding Hood*. At best, these creatures were irrelevant to the lives of most people; at worst, they were the embodiment of evil. Today, attitudes toward whales and wolves seem to be much more positive (Williams et al. 2002). Dramatic photographs and evocative recordings of songs and howls have transformed these creatures into powerful and popular symbols to people who denounce the human assault on nature (Fig. 15.3). If you belong to a conservation group you have seen countless advertisements for merchandise – jewelry, mugs, T-shirts, etc. – with whale and wolf motifs. Whales and wolves have seemingly become sacred totems for thousands of people. Amphibians are another good example; after being viewed for centuries with utter indifference they are now the focus of world-wide concern (Beebee and Griffiths 2005).

Marked changes can also occur within a single individual. During his early career Aldo Leopold never passed up the chance to kill a wolf. Later in life the wolf became a potent symbol of wilderness for him. Reflecting on his youth, he described the death of a wolf he had shot in *Thinking Like a Mountain*:

We reached the old wolf in time to watch a fierce green fire dying in her eyes. I realized then, and have known ever since, that there was something new to me in those eyes – something known only to her and to the mountain. I was young then, and full of trigger-itch; I thought that because fewer wolves meant more deer that no wolves would mean hunters' paradise. But after seeing the green fire die I sensed that neither the wolf nor the mountain agreed with such a view. (Leopold 1949)

## Changing People's Values

If we are to maintain the earth's biodiversity, values must change in the future even more than they have during the past few decades. In Kellert's terminology, attitudes toward wild life that are naturalistic, ecologicistic, aesthetic, and moralistic must wax stronger; while negativistic, neutralistic, dominionistic, and utilitarian values must wane. Trying to sensitize people to the value of nature is a routine exercise for environmentalists; in particular, it is a central part of environmental education (Orr 1992). In the words of the Senegalese ecologist Baba Dioum: "For in the end we will conserve only what we love. We will love only what we understand, and we will understand only what we are taught."



**Figure 15.3** Wolves fit into the human psyche in various ways. Wolves may embody nurturance, as in the case of the twin brothers Romulus and Remus abandoned on the banks of the River Tiber and found by a she-wolf who fed them with her own milk. (Musei Capitolini, Rome, Italy. Scala/Art Resource, NY.) Wolves may also be villainous, as in the tale of *Little Red Riding Hood* and the conniving and evil intentioned wolf that has profoundly spooked generations of small children. (Broune, Tom (1872–1910). 1990. Private collection. Image Select/Art Resource, NY.) Why do we ascribe such complex attributes to these highly social canines? (Photo from Don Getty, [www.DonGettyPhoto.com](http://www.DonGettyPhoto.com).)

Environmental education can shift people's attitudes toward nature through two basic modes: information and experience. If we give people information about the instrumental value of biodiversity, about how important it is to the welfare of humanity and the biosphere in general, then people will probably place a higher value on it. Millions of people around the world now think of tropical rain forests as storehouses of medicinal plants and pivotal components of global climatic processes, rather than as bug-infested jungles, simply because they were given information. Notably, taking a course in conservation biology makes people more concerned about wild life conservation (Caro et al. 2003). That said, more education is not the simple solution to changing attitudes that it is often assumed to be.



In fact, often individuals with the greatest factual knowledge (for example, rural people with direct contact and deep familiarity with endangered wild carnivores) may express the most negative attitudes toward them (e.g. Reading and Kellert 1993).

Experience can shape people's values too, and environmental educators often try to get people outdoors where they can interact with the local biota. Not surprisingly, Kellert's (1980) research found that people who participated in outdoor, nature-related activities (ranging from bird-watching to fur trapping) had higher naturalistic and ecologicistic scores than those who did not. People who have encountered organisms in their natural habitat may also find it easier to accept the idea that they have intrinsic value. Even indirect exposure, through wolf and whale paraphernalia, for example, may help to shape values. Kellert (1980) found that watching nature shows on television was positively correlated with naturalistic and ecologicistic attitudes.

Which shapes values more, experience or information? This is probably one of those head-versus-heart, emotional-versus-rational, questions. Whatever the case, basic natural history study, which combines both themes via personal discovery of organisms, their diversification, and their environmental relationships, seems to have a particular role in promoting awareness and concern for biodiversity, but its status in modern biology curricula is diminishing (Greene 2005).

The idea of changing people's values can be rather controversial. Environmental educators often refer to "clarifying" values rather than "changing" values to avoid the idea that they are imposing their own set of values on other people, especially children. They are confident that knowledge and experience will lead to caring without forcing one person's values onto someone else. This issue becomes even more controversial when the boundaries between cultures are crossed. For example, people in many parts of the world are flooded by a tidal wave of music, movies, television, fashion, fast food, and so on that emanate from the United States and Europe. Some people welcome this because it makes them feel modern and cosmopolitan; others resent it because it drowns their traditional culture. These conflicts become more troubling when political and economic power are used to impose foreign value systems. Consider the fact that animal-rights groups in the United States have been able to coerce some Asian nations into banning the use of dogs for food. What do you suppose the reaction in the United States would be if a group of Hindus, for whom cows are sacred animals, came to Washington, DC, to persuade Congress to ban the consumption of beef? More to the point, consider the widespread notion that people do not belong in areas managed for biodiversity and should therefore be removed from them. This paradigm has been widely exported by international conservationists but may make little sense in parts of the world with ancient human cultures long dependent on natural resources (Locke and Dearden 2005). Worse, it has disrupted the lives of many local peoples and often stoked resentment to conservation efforts (Saberwal et al. 1994). In reality, protected areas networks in much of the world typically require some combination of strictly protected areas along with extensive areas accessible to local people to engage in traditional resource use.

On the other hand, simply providing information seems to be an innocuous way to change values across cultural boundaries. Imagine a scenario in which a Finnish

ecologist doing comparative research on circumboreal forests discovers that the fruits of a particular shrub species are critical to the overwinter survival of many birds and mammals. If sharing this information results in Canadians changing their logging practices to minimize detrimental effects on that shrub species, it would be hard to argue that the Finnish ecologist's values were inappropriately imposed on the Canadians. Next, consider a survey of Costa Ricans that found a poor understanding of the relationship between overpopulation and environmental quality (Holl et al. 1995). In this case, an educational campaign on this theme might seem reasonable, but it certainly could spark a controversy if it were initiated by foreigners rather than Costa Ricans.

People living in remote areas often have no idea that a particular local species is globally significant until an outsider tells them so. Moreover, if cultivated carefully, the knowledge that a local species is unique can be the source of great pride and conservation action. Throughout the Caribbean there are nine parrots of the genus *Amazona*, most of which are endemic to single islands (Butler 1992a). In recent years, these parrots have become national treasures, celebrated with songs and plays, stamps and posters (see case study below). The initial impetus to this outpouring was often an outsider saying, "You have a special parrot living on your island."

Some conservationists would argue that all this sensitivity about the feelings of local people is missing the point. They would argue that all the earth's species belong to everyone (in other words, they are a globally shared inheritance) or that they all belong to no one (i.e. their intrinsic value is paramount). There is an attractive simplicity to this point of view, but, as we will see in the next two chapters, it is naive because it overlooks important economic and political realities about who carries the burden of conservation.

## The Biggest Change: Anthropocentrism versus Biocentrism

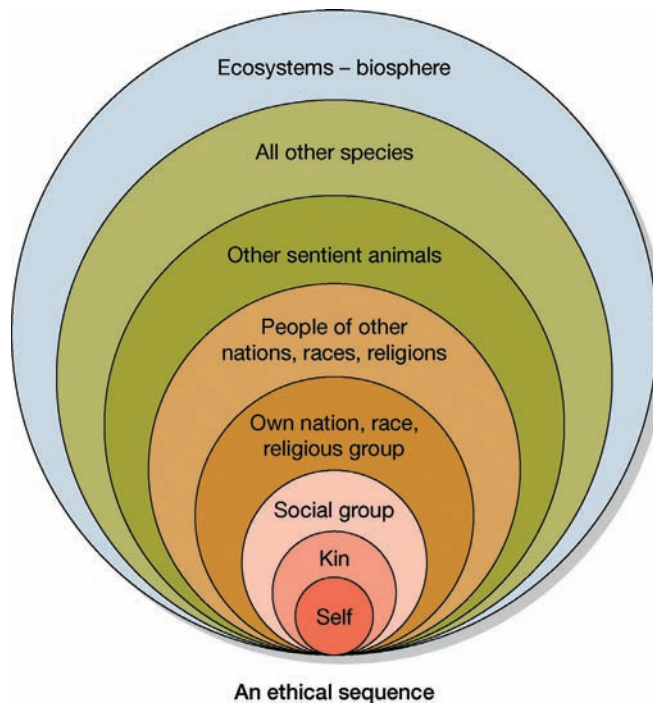
Many environmental philosophers have argued that if we are to maintain the earth's biota, we need a major shift in human values (Naess 1989; Snyder 1990). They believe that we need to move from being *anthropocentric* (i.e. believing that people are the center of the universe) to being *biocentric* (i.e. believing that life, in all its various forms, is the center of the universe). A biocentric view (sometimes called *ecocentric*) recognizes that all species have intrinsic value and rejects the idea that *Homo sapiens* is more important than other species (Kawall 2003). Without such a change we may be left cataloging the instrumental value of different species and saving only those that we find useful. Biocentrism forms the philosophical foundation of what Naess has called the deep ecology movement (Devall and Sessions 1985).

A related concept is that of "biophilia" or love of the biota. The term was coined by the biologist Edward O. Wilson (1993) on the basis of "the innately emotional affiliation of human beings to other living organisms. Innate means hereditary and hence part of ultimate human nature." The roots of biophilia lie in our coevolution with the natural world for many millennia and, indeed, the fact that our very survival depended on an intimate knowledge of and connection to wild life. It is a compelling

hypothesis that predicts that human performance and health – even emotional states – are strongly connected to biological diversity. Medical literature bears this out insofar as patients recover more quickly if, for example, they are exposed to greenery rather than a purely artificial environment (Frumkin 2001).

As with most things in life, when ideas about biocentrism, biophilia, and anthropocentrism are applied to action, they are not black and white. Even the most ardent preservationists are not likely to be purely biocentric; given a choice between the survival of humanity and the survival of a small species of snail, very few people would flip a coin. Conversely, very few people would opt to eliminate a life-form simply because it is not apparently essential to human welfare. It is probably better to think of anthropocentrism and biocentrism as two poles that define a continuum and to recognize that we need to shift more toward the biocentric pole from where we are now.

Another way to represent this issue is as a nested hierarchy of concern (Fig. 15.4) (Noss 1992). In this hierarchy the lowest, narrowest level is concern for one's



**Figure 15.4** This figure conceptualizes an ethical sequence as a nested hierarchy, with concern for oneself at the lowest, narrowest level and concern for ecosystems and the whole biosphere at the highest, broadest level. The success of conservation hinges on people expanding their level of concern to fully encompass all species and ecosystems. (Redrawn by permission from Noss 1992.)

personal well-being; the highest, broadest concern is at the level of ecosystems or the whole biosphere. Most people have some concern about the welfare of all other humans and many people care about sentient animals (i.e. those species – chiefly, mammals and birds – that they perceive to have feelings). Raising people’s level of concern to embrace all species and ecosystems is an essential goal for conservation biologists. Some will argue that this can be done only if people become biocentric; others will argue that you can be anthropocentric – caring primarily about people – and still reach out to care about life in all its forms. Both of these are easier to do after basic needs are met; in other words, date trees are more beautiful to someone with a full stomach.

## CASE STUDY

### The Bahama Parrot

On October 12, 1492, Lucayan Indians greeted Christopher Columbus on his arrival on the island they called Guanahani. They presented him with a variety of items, and Columbus seemed particularly attracted by the parrots he was given; for when he returned to Spain a few months later, he carried 40 parrots with him. Much has changed in 500 years. Guanahani is now known as San Salvador, and it is part of an island nation, the Bahamas, inhabited by 254,000 residents and visited by three million tourists annually. The Lucayans are gone and the parrots – so abundant that Columbus described them darkening the sky – have disappeared from Guanahani. Today, the Bahama parrot persists on only two islands, Abaco and Great Inagua, and numbers fewer than 3000 individuals. The parrot’s demise can be traced to several factors, the broadest being loss of habitat because of development, agriculture, and logging. Hunting parrots for food was a significant issue at one time, but today catching live parrots for the pet trade is a greater threat. Lastly and perhaps of most immediate importance, feral cats cause heavy losses on Abaco Island, where the parrots nest in holes in the ground.

In 1990 a program to save the Bahama parrot was initiated by four organizations: the Forestry Section of the Lands and Surveys Department; the Ministry of Agriculture; the Bahamas National Trust, a private group dedicated to protecting the natural and cultural heritage of the Bahamas; and the RARE Center for Tropical Conservation, a small United States-based conservation group. A wide-reaching campaign to engender public support for the Bahama parrot followed. Here are some of the tactics employed, as described by Paul Butler (1992b), RARE’s director of conservation education. The visual image of the Bahama parrot and a simple conservation message were dispersed far and wide through posters, buttons, bumper stickers, billboards, puppets, grocery bags, a one



**Figure 15.5** A key part of the success of the early public relations campaign to promote support for Bahama Parrot conservation has been Quincy – a person wearing a parrot costume – who taught children a song about the Bahama parrot, led them in a parrot dance, and told them about the plight of the bird. (Photo from Lynn Gape, Bahamas National Trust.)



dollar bill, postage stamps in five denominations, and a cancellation mark used by the post office. Over 26,000 school children were visited by Quincy (a person wearing a parrot costume) plus a counterpart who taught the children a song about the Bahama parrot, led them in a parrot dance, and told them about the plight of the Bahama parrot and other wildlife (Fig. 15.5). Fact sheets about the Bahama parrot were distributed widely; for example, clergy were sent these sheets along with a selection of universal prayers with an environmental theme and scriptural sources pertaining to caring for the earth. A rap song, a music video, and a stream of press releases made sure the Bahama parrot program was very conspicuous in the mass media.

The campaign has worked. Questionnaires have ascertained that most Bahamians are now aware of the Bahama parrot's plight, and this has translated into direct action. In particular, a 10,700 ha national park was created on Abaco Island. It protects habitat not only for the parrot, but for many other species, at least one of which, the Kirtland's warbler, is even rarer than the parrot. It is also likely that the campaign has sensitized Bahamians to the ecological well-being and biological riches of their nation in general.

## Summary

The attitudes people have toward other organisms and conservation vary enormously from person to person. While each person's values may be unique, there are patterns that can, to some extent, be explained by culture, religion, gender, income, occupation, age, and other factors. Understanding how these factors affect someone's attitudes is easier if we use a systematic means of describing attitudes toward nature. Values change through time, and promoting positive attitudes toward the natural world is a fundamental part of environmental education. By informing people about the importance of biodiversity and encouraging them to experience nature, we can foster attitudes that move us away from an anthropocentric (human centered) view of the world with its attendant indifference and destructiveness to wild life toward a more biocentric (life centered) perspective that embraces the other life forms with which we share the earth.

### FURTHER READING

See Wilshusen et al. (2003), Callicott (2002), Smith (1999), and Van De Veer and Pierce (1994) for anthologies of papers about environmental philosophy that include work on ecofeminism, biocentrism, and other relevant topics, and see Pojman (1999) for a textbook on the same topics. Aldo Leopold's (1949) *Sand County Almanac* remains an important source. For a summary of Stephen Kellert's work, see his 1996 book. For some recent ideas about environmental education and changing values, read Orr (1992, 1994) and Jacobson (1995). Jacobson and McDuff (1998) make a strong argument for why conservation biologists need to understand the human dimension of conservation.

**TOPICS FOR DISCUSSION**

- 1** How would you encourage Chinese peasants to protect giant pandas and their habitat even though they could earn many years' wages by catching one?
- 2** What factors do you feel have the most profound influence on a person's attitudes toward wild organisms? Has this changed in recent years? Will it change in the future?
- 3** Is trying to change another person's values generally acceptable? When is it not acceptable? When is it acceptable?
- 4** Do you feel that you are more anthropocentric or more biocentric? Why? Has taking a conservation biology class and/or reading this text shifted your values? (See Caro et al. 1994.)



## CHAPTER 16

# Economics

Both a date palm and a tiny mite living in a crack in the palm's bark may stand equal in the eyes of people who believe that every life-form has intrinsic value, value that is independent of the special, self-centered interests of humanity. However, intrinsic values do not eliminate or invalidate instrumental values. Instrumental values are still there, profoundly influencing the way most people view date palms and mites. Why? Instrumental values are readily translated into economic values that people can relate to in their daily lives. The phrase “money makes the world go round,” may seem trite given the realities of astronomy, but it does hint at the central role of economics in human endeavors, including conservation. Consider the World Conservation Union's definition of conservation: “the management of human use of the biosphere so that it may yield the greatest sustainable benefit to present generations while maintaining its potential to meet the needs and aspirations of future generations” (IUCN et al. 1980). These certainly sound more like the words of an economist than the words of a biologist, and they remind us of the need to have a solid grounding in economics if one is to participate meaningfully in the societal dimensions of conservation biology.

In this chapter we will first address a two-pronged question: what are the costs and benefits of maintaining biodiversity? Then we will examine how these costs and benefits are distributed among people. Perhaps you can already anticipate the take-home message of this chapter: people will be compelled to overexploit species and degrade ecosystems if the costs and benefits of maintaining biodiversity are not distributed in a fair and sensible manner (Fig. 16.1). Moreover, there is a fundamental, though often unappreciated, conflict between economic growth to improve the lives of humans versus the welfare of wild life. To put it directly, as the human economy grows and appropriates increasing amounts of matter and energy it degrades or eliminates ecosystems (Czech 2000; Czech et al. 2000). Addressing discrepancies between those who bear the costs and those who enjoy the benefits is the clearest path to a solution.

## The Benefits

Coal, copper, and gold are fundamentally different from cod, ducks, and oak in the eyes of an economist who sees the world as a collection of resources to be exploited for the benefit of people. The first three are *nonrenewable resources*, supplies of which are finite and exhaustible. The latter three are *renewable resources*; they will last indefinitely if used wisely. Most renewable resources are living, biological resources, but some nonliving resources are also renewable. Clean air and water are examples.

To exclude domestic species, which are also a renewable resource, the term *renewable natural resource* is often used. Quantifying the values of renewable resources is often more difficult than quantifying the values of nonrenewable resources. A cod is not just an inert commodity waiting to be extracted and sold at market. It is a living thing – dynamic, mobile, and interacting, often unpredictably, with many other species.

In this section we will describe benefits as economists do – goods and services. Briefly, goods are physical objects that you can purchase, own, and use, while services are labor that is performed for your benefit (e.g. by a professor teaching you). We will also discuss two types of benefits that are less concrete: potential values and existence values. We will continue to focus on wild species, but we will not overlook domestic species entirely. Domestic species may be a small component of biodiversity, a few hundred species among millions, but they are a significant portion of the overall economy.



**Figure 16.1** Strong tensions arise when those asked to bear the costs of protecting biodiversity do not perceive that they receive any benefits for doing so. Here anger is being expressed at restrictions to logging in government-owned forests in the US Pacific Northwest designed to protect the spotted owl under the Endangered Species Act. (Photo from Steven Holt/stockpix.com.)

## Goods

Plants and animals harvested from the earth's farmlands, rangelands, forests, and waters and sold at market every year account for nearly \$2 trillion (United Nations Development Programme et al. 2003), roughly 6% of the global domestic product. (All monetary figures used here will be in United States dollars.) In many less-industrialized countries such as Albania, Burundi, Guyana, and Nepal the percentage is much higher, 30–50% or more. The commercial value of wild species is a significant portion of this total because two major enterprises, forestry (Fig. 16.2) and fisheries, rely far more heavily on wild stocks than on plantations and aquaculture. Global values for wood and fishery products have been estimated at \$418 billion and \$70 billion, respectively (Freese 1998). (Note: the annual production of fisheries is about \$20 billion less than the cost because of government subsidies that we will discuss further below.)

A significant portion of the goods derived from biota are not bought and sold commercially. They are used for direct subsistence by the people who collect them. For example, modern supermarkets with thousands of food products – sardines from Norway, grapes from Chile, tea from Sri Lanka – are amazing things, but far more people grow or gather much of their own food than use supermarkets (Fig. 16.3). Similarly, wild species gathered from nearby ecosystems are often very important to subsistence lifestyles, especially for fuel and building materials.

Estimating the total volume and value of wild and agricultural products that are consumed directly by the people who grow and harvest them is not easy for two



**Figure 16.2**

Harvesting trees from natural forests for fuel, fiber, and construction materials is a major source of goods derived from wild species. (Photo from J. Gibbs.)



reasons. First, because items move from ecosystem to home quickly, not changing hands except within a family, it is difficult for government data collectors to record these uses except by doing a house-by-house survey. Second, many wild products are seldom sold at market, making it difficult to estimate their true value. Despite these difficulties, several assessments have been made. For example, on the Kizilirmak Delta, a wetland of international importance on Turkey's Black Sea coast, villagers sell about \$500,000/year of sharp-pointed rush for making flower arrangements, baskets, and spikes for frying mussels, shish kebabs, and drying pasta. Villagers make five to seven times as much money in this activity as in farm labor (and fear being excluded from the delta if it is protected as a nature park) (Ozesmi 2003).

In some areas, simply determining the variety of wild species being used is a substantial exercise. For example, Phillips et al. (1994) documented uses of 57 families of woody plants in one small area of the Peruvian Amazon. Similarly, Borana pastoralists of southern Ethiopia use 248 rangeland plant species and can identify many more (Gemedo-Dalle et al. 2005).

## Services

The distinction between goods and services is readily applied to the benefits we receive from other living things. If you eat the dates of a date palm, it has provided you with goods; if you rest in its shade, it has provided you with a service. Of course, estimating the value of the shade provided by a date palm can be difficult unless the person who owns the date palm charges a fee for this service, and, in practice, most of the services provided by genes, species, and ecosystems are not sold.



**Figure 16.3** In many parts of the world, wild meat is an important source of protein for people, such as these subsistence hunters with recently captured tortoises in northern Amazonia. (Photo from Joel Strong.)

This has not stopped creative people from devising ways to estimate the value of biological services (Daily 1997; Pimentel et al. 1997; Cullen et al. 2005). For example, horticulturalists routinely estimate the value of the aesthetic services provided by ornamental trees, usually to settle insurance claims, and values often reach thousands of dollars for a tree too large to be directly replaced (Council of Tree and Landscape Appraisers 1992). One ambitious project attempted to estimate the total value of global ecological services in two steps (Costanza et al. 1997a). First, they summed value estimates (dollars per hectare per year) for 17 types of service across 16 major types of ecosystem in a huge matrix. (Some goods were included too, but they were dwarfed by services.) For example, the value of coral reefs was estimated at \$6075 per hectare per year by summing up \$3008 for recreational value, \$1 for cultural value, \$2750 for disturbance regulation (blocking storm waves), \$58 for waste treatment, and so on for eight types of service provided by coral reefs. For tropical forests, estimates for 14 different types of service totaled \$2007 per hectare per year. Open oceans totaled \$252 per hectare per year. After they had summed estimates for each ecosystem type these were multiplied by the global area of that type. For example, although the per-hectare figure for open ocean was small, \$252, when multiplied by 33 billion hectares, it yielded a total value over \$8 trillion. Summed across all the ecosystem types, the grand estimate for global ecosystem services was \$33 trillion per year, with a reasonable range of \$16 trillion to \$54 trillion. As a point of reference, the gross national products of all the world's nations total about \$18 trillion. Because of various uncertainties, notably missing estimates for many services of many ecosystem types, the estimate was considered a minimum. A similar accounting by Pimentel et al. (1997) is given in Table 16.1.

**Table 16.1** A biotic invoice, that is, estimated annual economic benefits of biodiversity in the United States and worldwide (figures are in billion US dollars).

| Activity                       | United States | World |
|--------------------------------|---------------|-------|
| Waste disposal                 | 62            | 760   |
| Soil formation                 | 5             | 25    |
| Nitrogen fixation              | 8             | 90    |
| Bioremediation of chemicals    | 22.5          | 121   |
| Crop breeding (genetics)       | 20            | 115   |
| Livestock breeding (genetics)  | 20            | 40    |
| Biotechnology                  | 2.5           | 6     |
| Biocontrol of pests (crops)    | 12            | 100   |
| Biocontrol of pests (forests)  | 5             | 60    |
| Host plant resistance (crops)  | 8             | 80    |
| Host plant resistance (forest) | 0.8           | 6     |
| Perennial grains (potential)   | 17            | 170   |
| Pollination                    | 40            | 200   |
| Fishing                        | 29            | 60    |
| Hunting                        | 12            | 25    |
| Seafood                        | 2.5           | 82    |
| Other wild foods               | 0.5           | 180   |
| Wood products                  | 8             | 84    |
| Ecotourism                     | 18            | 500   |
| Pharmaceuticals from plants    | 20            | 84    |
| CO <sub>2</sub> sequestration  | 6             | 135   |
| Total                          | 319           | 2928  |

Source: from Pimentel et al. 1997.



Because people do not actually pay, for example, \$6075 per hectare per year for the services of a coral reef, these numbers are arguably too speculative to be useful. Nevertheless, these numbers do clearly illustrate the importance of ecosystems to human welfare, especially to those who view the world in economic terms. (See Box 16.1 for a more detailed example of estimating value; see Wilson and Carpenter [1999] for a comparison of three common methods.)

### BOX 16.1

## Using contingent valuation to value elements of biodiversity<sup>1</sup>

Kevin J. Boyle<sup>2</sup>

About one-half of all land in the contiguous United States is used for cropland or pasture. Biologists have expressed concern about the declining populations of grassland bird species, and loss of habitat is generally cited as the major reason for their decline. The major historical grassland area of the United States is in the plains states, where agriculture dominates the landscape. Over time, farms have been established and consolidated, leaving less undisturbed habitat for grassland birds.

Beginning in 1985 the US Department of Agriculture's (USDA) Conservation Reserve Program (CRP) converted about 7% of the cropland in the 48 contiguous states to grassland. Over four-fifths of this area is concentrated in one-fifth of the counties, which are predominantly in the plains states. The Wildlife Management Institute (1994) reports that CRP grasslands cover at least twice the area of grasslands in all of the national and state wildlife refuges within the continental United States. Anecdotal evidence by wildlife experts (e.g. National Audubon Society) and empirical analyses suggest that the CRP has helped to reduce, stop, or reverse the declines in the populations of some grassland bird species.

Initial enrollments in the CRP were prioritized according to the erodibility of the soil. As priorities of the CRP are expanded to recognize other environmental benefits such as improved habitat for grassland birds, information is needed on the values the public places on such benefits. Revisions of the CRP in 1990 introduced the Environmental Benefits Index (EBI) as a tool to prioritize and rank landowners' offers of land for enrollment in the CRP. Contingent-valuation estimates of the values the public places on changes in the populations of grassland birds can be used to help to justify changes in grassland bird populations as a component of the EBI.

Two samples were used, one national and one of Iowa residents, and the survey was administered by mail. Individuals in the national sample were asked about changes in populations of grassland birds in the plains states, which included all of Iowa and parts of the border areas of each state that is adjacent to Iowa. Iowa is one of three areas of high concentrations of CRP lands.

Empirical analyses of grassland bird populations suggested that CRP lands may benefit populations of grassland birds whose populations have been decreasing over the past 30 years (grasshopper sparrow, Henslow's sparrow, mourning dove, eastern kingbird, northern bobwhite quail, horned lark, dickcissel, and ring-necked pheasant) and may also benefit species whose populations have been constant or increased over the past 30 years (lark sparrow, upland sandpiper, gray partridge, field sparrow, indigo bunting, killdeer, barn swallow, and house wren). We asked respondents to reveal their monetary values, through a contingent-valuation question, for restoring populations



of grassland birds to their population of 30 years ago (for the species whose populations had declined), or for increasing the populations (for species whose populations had been constant or increased over the same period). For declining populations the proposed increases ranged from 14% for ring-necked pheasants to 136% for grasshopper sparrows. The proposed increases for the second group of species ranged from 20% for house wrens to 84% for lark sparrows.

The survey described a proposal where changing agricultural lands to native grasses would result in the populations of each of the species specified above being increased by a certain amount. For each species, respondents were told: (1) whether it was native or introduced; (2) whether it was a permanent resident or migratory with breeding habitat in the study area; (3) the population 30 years ago; (4) the current population; and (5) the population with the habitat enhancement. Study participants were asked two questions. The Iowa sample was first asked:

Would you vote for the proposal if passage of the proposal would increase your household's 1998 Iowa income tax?

Those who answered "yes" were asked a second question:

How would you vote on the proposal if passage of the proposal would increase your household's 1998 Iowa income tax by the following amounts?

The amounts ranged from \$1 to \$100. Participants in the national sample received similar questions where they were asked about increases in their federal income tax. Responses from the first question allowed us to find out if respondents held a value for changes in the populations of the specified grassland birds, and the second question allowed us to statistically estimate the value for people who hold values for the population changes.

For the national sample, 71% of respondents answered yes to the first question, and the comparable figure for the Iowa sample was 72%. The average values were \$12 for the national sample and \$13 for the Iowa sample.

The values for the national sample are primarily composed of existence values because these people are not expected to have any direct use of these grassland birds for viewing or hunting, while the Iowa values contain a use component. Quail, pheasant, and partridge can all be hunted in Iowa, and other species in the lists are popular for viewing.

These results indicate substantial public values for improving grassland bird habitats in the greater Iowa area from both a national and local perspective. When the averages of \$12 or \$13 are multiplied by the respective populations of households, the aggregate economics benefits are substantial: over \$500 million at the national level. While increased populations of grassland birds are only one of the environmental benefits of the CRP, these valuation results indicate that providing habitat for grassland bird populations should be a component of USDA's EBI for prioritizing land to take out of agricultural production.

1 This box is distilled from Ahearn et al. (2004).

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There are some important exceptions to the generalization that ecological services are not bought and sold. In particular, ecological services that are chiefly based on aesthetics and recreation are widely purchased. This happens whenever people pay for the privilege of visiting a natural ecosystem or encountering wild life by paying for transportation, food, lodging, equipment, guide services, licenses, entrance fees, and so on. The total value of nature-based recreational activities or ecotourism is enormous. In the United

States alone, people pay about \$108 billion per year just for recreation centered on wild animals: hunting, fishing, and wild animal viewing (US Fish and Wildlife Service 2002). When the actual expenditures people make for recreational services are compared with the amounts they are willing to pay, people tend to be willing to pay more than they actually have to pay. In other words, the potential value of recreational services probably greatly exceeds the current market value, at least in wealthier nations. Even in South Africa, where the gross domestic product per capita is only about \$11,100 per year, citizens are willing to pay about \$3.3 million per year to maintain the endemic-rich fynbos region, and \$58 million for biodiversity nationally, values about comparable to the government budgets for conserving these areas (Turpie 2003).

## Potential Values

Most economists are reasonably comfortable with the idea of predicting the future, but they are quite conservative about predicting the future value of all the species that are not currently of direct use. Their reticence is understandable. Who is to say which species of plant or microbe, if any, will be discovered to contain a cure for AIDS or malaria?

Given that we cannot predict where science will take us, the one thing that we can say with confidence is that all life-forms have potential value. Perhaps we can say that some life-forms have more potential value than others: for example, plants in families that are well known to have high levels of bioactive compounds, or wild species that are close relatives to important domesticates and that might serve as a source of genetic material. Again, however, we can never definitively say of any form of life that it has no potential value. Who would guess, for example, that “slime” collected from a hot spring in Yellowstone National Park would contain an organism, *Thermus aquaticus*, that would give rise to a revolution in biotechnology that would change our lives irrevocably?

Biodiversity advocates usually think of potential values, also called option values, with respect to species and genes not known to be of direct value currently. However, in a broad sense, the term could be used for populations or ecosystems that are known to be of value if someone wished to initiate exploitation. For example, forests in currently protected nature reserves or in parts of Siberia and Canada that are too remote to be logged have potential value because we can cut them in the future if we choose to. It is not a matter of determining their potential usefulness, only of deciding whether it makes sense to use them at a particular time.

## Existence Values

Your chances of ever seeing a wild snow leopard, slinking down a slope of snow and scree, are exceedingly small. Snow leopards are so rare and elusive, and their habitat in the mountain fastness of central Asia is so inaccessible, that only a handful of outsiders (or even local residents) have ever seen one. Nevertheless, you probably derive some pleasure simply from knowing that snow leopards exist in the wild. Many people feel this way about species that they will never encounter and ecosystems that they will never visit. Whether this phenomenon is based on spiritual values, ethical values, respect for intrinsic value, or other factors can be argued, but the key point is that it is not tied to tangible goods or services. Economists prefer to speak

of *existence values* (Fig. 16.4), the value of simply knowing that something exists (Krutilla 1967).

Sometimes, people like to know that something exists simply because it might be of use to future generations, even though it is not used now. This is called *bequest value*, and one could consider it to be a special type of existence value or a special type of potential value. Many wild species lurking in obscure locations whose commercial value is as yet unrealized qualify for having significant bequest value. They have historic precedents in species such as the rosy periwinkle, with its anti-cancer compounds upon which we are now so dependent.

Economists have estimated existence values by asking people questions such as “How much would you pay to save blue whales from extinction, even though you will never see one?” The average amounts mentioned in response are usually rather small, typically a few dollars, although they may reach tens of dollars for well known species such as bald eagles (Bishop and Welsh 1992). Nevertheless, if you multiply these figures by millions of people and across whole ecosystems, then the total values can be quite impressive. Existence values are quite controversial because some economists object to attempts to quantify something so intangible, especially by asking people hypothetical questions about how they would spend money (see, for example, Attfield 1998; White et al. 2001).

### Consumptive versus Nonconsumptive Uses

Natural resource managers often use particular terminology in discussing benefits.

Using something in such a manner that it is no longer available for someone else to use (e.g. we harvest an oak tree or codfish) is called *consumptive use*. On the other hand, if our use does not eliminate or substantially reduce its value (e.g. children joyously climbing in the oak tree or bird watchers ogling a rare warbler in the oak’s crown), this is *nonconsumptive use*.

Generally, goods used for commerce and subsistence involve consumption, whereas services and existence values are nonconsumptive.

Sometimes, using ecological services can involve a form of consumption: for example, when so many people visit an ecosystem that they degrade it simply by compacting soil, trampling vegetation, and frightening animals. Some economists also distinguish a third style of use, *indirect use*. In this sense, people who know and value the Serengeti, Amazonia, Great Barrier Reef, mountain gorillas, and blue whales through books and films, but will never encounter them directly, are making indirect use of these ecosystems and species.



**Figure 16.4** Gorillas are an excellent example of a species with significant existence value. Despite the remote possibility that the average person will ever get to see an actual gorilla many people still derive great satisfaction out of knowing that gorillas exist in the wild and are willing to contribute financially to support gorilla conservation. (Photo from M. Hunter.)

## The Costs

“There is no such thing as a free lunch.” This truism is a favorite among environmentalists, who frequently use it when pointing out the hidden costs of environmental degradation. Consider a simple example: historically, when a business person weighed the costs and benefits of building a coal-fired power plant, the costs in terms of respiratory disease of people living downwind were not included in the calculations. These were external costs (externalities, in the language of economics) that did not affect business profits and losses.

One consequence of the environmental movement is that some costs that were formerly external have been internalized. Today, in many countries environmental regulations mean that business people must include the costs of pollution control in their calculations. This is often called the “polluter-pays” principle. The extent to which a country requires polluters to internalize these costs has major consequences for biodiversity (Stone 2001). Of course, many environmental costs, beyond the more blatant effects of pollution on human health, still exist but remain hidden; however, at least a precedent for internalizing them has been set. We will return to this issue below, but in the balance of this section we will turn the “no free lunch” truism upside down by asking: what are the costs of maintaining biodiversity – of maintaining a healthy environment? There are basically two; we will call them explicit and implicit costs.

### Explicit Costs

Human beings are doers, actors, manipulators. If we encounter a problem, we try to solve it. As it became apparent that loss of biodiversity was a problem, we began to attack the problem by using a host of technological approaches outlined in the chapters of Part III, “Maintaining Biodiversity.” We restore wetlands, grasslands, and other ecosystems; we translocate endangered species and provide them with food and other required resources; we redesign our existing technology to reduce environmental pollution and energy use; and so on. Collectively, we could refer to these explicit costs as the cost of environmental technology.

Our response may be grossly inadequate, but this work still requires money. Some projects have substantial budgets, such as bringing California condors back from the brink of extinction (well over \$1,000,000 per year) (Cohn 1993; Alagona 2004) or restoring the Everglades wetland complex of southern Florida (estimated at \$7.8 billion over 30 years) (Perry 2004). Others get by on a shoestring. For example, the entire world population of the Chittenango ovate amber snail is located on a small ledge at the base of a 30 meter high waterfall in central New York state. The species has been rescued from near extinction largely due to the efforts of a group of dedicated volunteers who pluck out members of an invasive snail species that competes with the endangered snail. In between these extremes we have estimates that \$32 million to \$42 million per year would pay for habitat management for 681 endangered species in the United States (chiefly through the control of exotic species and the management of natural fire regimes) (Wilcove and Chen 1998).

Turning to the big picture, in terrestrial parts of developing countries where much biodiversity resides some \$1–1.7 billion per year is needed to manage all



existing protected areas and some \$4 billion more per year over the next decade to establish and manage an adequate protected area system (Bruner et al. 2004). For marine protected areas globally, conserving 20–30% of the world's seas would cost \$5–19 billion annually (Balmford et al. 2004). Developing subsidies designed to make farming, logging, fishing, etc. ecologically friendly would bring the total global bill for conservation to \$300 billion (James et al. 1999) or only about \$50 per person per year. This is an extraordinary bargain when you consider how many individuals spend much more than this each month on junk food alone. Moreover, it is not a great deal of money when compared with the subsidies governments currently give to farmers, fishers, etc., usually to do things that are rather detrimental to the environment. These negative subsidies have been estimated at roughly \$1.5 trillion dollars per year, about five times the amount needed for conservation (Myers 1998). Notably, where to invest geographically is also a significant consideration. More specifically, the returns on investment in conservation are highly skewed around the world, with benefits-to-costs ratios being far greater in less developed, tropical regions than in the industrialized nations where land is so expensive (Balmford et al. 2003).

### Implicit Costs

From an economist's perspective, whenever a logger is prevented from cutting a tree, a whaler from harpooning a whale, or a farmer from draining a wetland, they have suffered an implicit cost because they have lost an opportunity to use a resource to make money. These losses of opportunity will seem most acute if a specific investment has been made: for example, if the wetlands, forest land, whaling ship, logging equipment, and so on were purchased on the assumption that the trees, whales, or wetlands were available for use. If the farmer has owned the wetland for many years and only recently considered draining it, or if the whaler purchased the ship 20 years ago and long ago repaid the initial investment, then the loss may seem less severe. Similarly, the loss will seem less acute if the investment can be easily redirected somewhere else – if the logging equipment can be used to cut a different stand of trees, for example. However, if the investment is in land, it can be difficult to sell the land if it has special ecological values that restrict how it can be used.

The implicit costs imposed by environmental regulations can be significant. The timber in a single hectare of old-growth Douglas fir in the Pacific Northwest can have a stumpage value (the price paid by the logger to the landowner) of about \$75,000 per hectare (Lippke and Bishop 1999). This translates into \$75 million for a thousand hectares of old-growth forest. This is approximately the area needed by a single pair of spotted owls. The value is even greater loss if you assume that the land is protected into perpetuity and will not be available for growing lumber in the future.

## The Distribution of Benefits and Costs

Life can be unfair, and so can biodiversity conservation. Some people derive more benefits from the maintenance of biodiversity than do some other people; some people bear relatively more costs. Before going on to some ideas about how to make costs and

benefits more equitable, in this section we will briefly examine how the benefits and costs described are distributed among people.

**Goods.** The commercial and subsistence benefits of biodiversity flow most directly to the producers who grow domestic species or harvest wild life; to the manufacturers who generate secondary products such as paper and medicines from biotic materials; and to the merchants who distribute these items to consumers. Of course, the ultimate beneficiaries of commercial use are consumers, and we all consume other species.

**Services.** Everyone benefits from the ecological services of the earth's biota, even urbanites who never leave cities, but still need clean air to breathe and water to drink. New York City is a case in point – an agglomeration of some 13 million people drinking water derived from well managed ecosystems in nearby mountains that is so clean that it requires only minimal treatment. Most people also enjoy ecological services based on aesthetics, especially if you include watching nature films on television and walking in a city park.

**Potential values.** Potential values simply reflect the possible future value of goods and services, and thus one could describe their principal beneficiaries as our children and grandchildren.

**Existence values.** Many people have heard of at least a few of the better known species (e.g. ostriches, giraffes, koalas) and have a generally positive impression toward them that can be construed as an existence value. Of course, on the other hand, most people have not heard of very similar creatures such as rheas, okapis, and wombats, to say nothing of the myriad species of invertebrates and microorganisms. In other words, most people hold existence values for biota, but they are focused on a tiny subset of biodiversity.

**Explicit costs.** Understanding how the costs of environmental technology are distributed is a bit complex because there are four widely overlapping groups involved: taxpayers, consumers, business owners, and volunteers. Taxpayers fund all the government agencies that undertake conservation work, such as establishing and maintaining reserves, restoring ecosystems, protecting endangered species, and so forth. Consumers usually pay for environmental technology through higher prices whenever regulations mandate that businesses internalize the costs of maintaining a healthy environment. On the other hand, there are at least two circumstances under which the owners of a business will bear the cost of internalizing environmental technology through a reduction in their profits. First, this can happen if competing businesses are not subject to the same regulations. For example, imagine that government regulations require Argentinean farmers to use an expensive, low-toxicity pesticide, whereas Chilean farmers can use a cheaper but more dangerous pesticide. If farmers in both countries are competing for the same international market, the Argentinean farmers may have to lower their profits so that they can still sell their produce at the same price as the Chilean farmers. Second, some businesses (e.g. utilities companies) have their profits regulated by the government, and the government can decree that internalization of environmental costs should be borne by the company owners rather than consumers. The fourth group of people who pay the explicit cost of environmental technology are the millions of individuals who make donations to private conservation groups, thereby supporting conservation work with voluntary contributions of money and sometimes labor.

**Implicit costs.** When protection of biodiversity takes precedence over someone's opportunity to use a species or ecosystem for personal gain, the costs are borne most directly by people who make their living by farming, logging, fishing, hunting, trapping, mining, developing land, and so on. Most of these people are commercial entrepreneurs, ranging from huge corporations that own millions of hectares of forest to loggers who may own little more than an axe. A few are subsistence users who sell little, if any, of their harvest. Merchants and manufacturers who might have used the species or ecosystem later (e.g. converting a tree to paper and then selling it) will also experience an implicit cost through losing an opportunity to use a resource.

## Problems and Solutions

Superficially, there seems to be a fairly good balance in the distribution of biodiversity maintenance costs and benefits. Everyone to varying extent sits on both sides of the equation. We all use ecological services and consume biota-based products on the one hand; we all support biodiversity maintenance through taxes and higher prices on the other hand. On closer inspection there are many fundamental imbalances. In this section we will examine four problems and outline some possible solutions. Note that while it is easy to suggest solutions, this does not mean that it is easy to implement them. As you will see, many of them require fundamental changes to how society operates.

### Problem 1

*Many biological resources are communally owned, and thus the costs of overexploitation and degradation are shared by many people, whereas the benefits of overexploitation are taken largely by the people who are doing the overexploiting.* If you are familiar with Garrett Hardin's classic essay "The Tragedy of the Commons," you will realize that this situation tends to compel overexploitation. (See Box 16.2 if you are not familiar with the "tragedy of the commons," formally known in economic circles by terms like "open-access resource management" [Costanza et al. 1997b]) This phenomenon is particularly pervasive in aquatic ecosystems, especially the oceans, where private ownership is rare. Indeed, in most parts of the oceans and in Antarctica, ownership is not even claimed by nations, let alone by individuals or corporations. Ownership is also a very tenuous thing in many tropical forests where local people have only a tradition of access, not legally binding deeds, as a basis of "ownership." As a result they are frequently at risk of being displaced by programs concocted by the government and large businesses (see Oldfield and Alcorn 1991).

The "tragedy of the commons" dilemma is also applicable to biodiversity in general if you think of genes and species as communally owned assets. From this perspective, you may own the individual sequoia trees growing on your land at this time, but the sequoia as a species is owned by everyone on earth as part of a global biological heritage. (Note that this requires an anthropocentric perspective; it would not be accepted by a biocentrist; recall Chapter 15.) If sequoias were to become extinct, we would all lose something; however, the people who drove the species into extinction might gain more than they lost and thus would be acting consistently with their self-interest.

## BOX 16.2

## Tragedy of the commons

In a classic essay entitled “Tragedy of the Commons,” Garrett Hardin (1968) explained how communally owned natural resources are highly vulnerable to degradation through over-

use. This is easy to understand using the metaphor of an English village commons, a tract of pasture land used by all the farmers of a village to graze their cattle. Imagine that the commons can support 100 cattle without being degraded and that currently there are 20 farmers using the commons, each of whom owns five cows. Each of these cows can produce an average of 10kg of milk per day, so the total milk production of the commons averages 1000kg/day, and the production for each farmer averages 50kg/day.

Now, imagine that one day one of the farmers considers buying another cow, thus increasing her herd to six cows and the commons herd to 101. She knows that, if she does this, she will push the herd above the carrying capacity of the commons and average milk production per cow will fall; let us assume it will fall 1% to 9.9kg/day. Should she buy the extra cow? If she is acting in terms of her own immediate economic interest, she should because her cows’ daily milk production will increase from 50 to 59.4kg (6 cows  $\times$  9.9kg/cow).

Unfortunately, average milk production for the other 19 farmers will fall to 49.5kg/day (5  $\times$  9.9). Now, imagine that one of these farmers decides that he needs more than 49.5kg/day, so he buys another cow too, even though average production per cow will fall again, to



**Figure 16.5** Fisheries such as this for tuna are recurring examples of the “tragedy of commons” dilemma. (Photo from U.S. National Oceanic and Atmospheric Administration.)



9.8kg/day. This will make economic sense for him because his production will be 58.8kg/day ( $6 \times 9.8$ ), far better than 49.5kg/day. This pattern can continue to snowball until all 20 farmers have six cows, total production is 960kg/day for 120 cows, and each farmer is producing 48kg/day. This is 2kg less than when the cycle began, and now each farmer has to care for six cows instead of five. (This assumes that production per cow continues to drop by 0.1kg for each cow over the carrying capacity; in reality the drop per cow may get larger as the carrying capacity is exceeded by a greater and greater number of cows.)

Although the numbers used here were contrived to make a simplified, hypothetical example, the tragedy of the commons is real. It is well illustrated in many fisheries (Fig. 16.5) where each fisher buys more equipment and works longer hours to catch a larger share of a fish population that is constantly dwindling because it is being over-exploited (Wilson 1977, Butler et al. 1993, Cinner et al. 2005). It remains a problem on communal grazing land in many countries (Yonzon and Hunter 1991; Yeh 2003). It also underlies one problem with ecotourism: tour guides will take their clients closer and closer to wild animals, thus assuring a good tip, until they end up molesting the animals. Although the classic image of a commons is a communal resource that everyone consumes, we can also think of the commons as a communal place where everyone deposits his or her wastes, such as the earth's atmosphere and waters. In both cases, each individual, acting in accord with his or her own short-term economic interest, degrades the long-term economic well-being of everyone. For a collection of writings about the tragedy of the commons, see Hardin and Baden (1977); also see Ciriacy-Wantrup and Bishop (1975), Uphoff and Langholz (1998), and Baird and Dearden (2003).

### *Solutions*

Passing and enforcing laws are the standard ways of ensuring that people do not harm society as a whole while acting in their own self-interest, and laws designed to protect biodiversity are widespread. Nevertheless, new laws and better enforcement of existing laws are needed. For example, in many countries wild animals are owned and protected by society as a whole because they can move from property to property, but wild plants are owned by whoever owns the land where they are rooted (Bean 1983). This can make it very difficult to prevent landowners from destroying plants, even if they are a highly endangered species, and thus new laws to protect endangered plants are sorely needed. Laws to protect biodiversity may simply prohibit certain actions (e.g. banning the killing of endangered species), or they may impose significant financial costs (e.g. by requiring mining companies to establish a fund that will be used to restore a mined ecosystem after the mine is closed). In small, local communities formal laws are often unnecessary because simple rules of conduct regulate sharing common property as a public trust (Ciriacy-Wantrup and Bishop 1975). Sometimes, the benefits of smaller groups can be achieved while retaining some central government control. For example, the lobster fishery along the coast of Maine is now managed in large part by seven local councils of elected lobster fishers (Acheson et al. 2000). Sharing authority, so-called comanagement (see Chapter 17), between these councils and the state government has produced one of the most successful examples of sustainable fisheries management on earth. Australian lobster fisheries are a further example of successful, co-managed fisheries (Phillips and Melville-Smith 2005).

The regulatory approach to protecting our global biotic heritage becomes quite complex when the species concerned are mobile and cross political boundaries.

In such cases international laws or treaties are required. International treaties designed to protect migratory birds have been moderately successful in terms of curbing overhunting, although they have done little to stem habitat loss. Attempts to restrict overfishing the oceans through the Law of the Sea conferences have been much less successful. A major advantage of international coordination is that it can make the regulatory approach to maintaining biodiversity fairer by compelling all the businesses that are competing for the same market to internalize the environmental costs of doing business. To return to our earlier hypothetical example, international coordination of environmental regulations can force both Chilean and Argentinean farmers to use less dangerous, but more expensive, pesticides and thus can avoid giving either group an unfair advantage. Furthermore, if collaboration fails, a government could act unilaterally by imposing “ecological tariffs” to increase the cost of goods imported from any country where environmental costs are not internalized (Costanza et al. 1997b). However, this approach runs headlong against the World Trade Organization’s (WTO) General Agreement of Tariffs and Trade (GATT), which requires international agreements on any environmental constraints to free trade (Abboud 2000). Arguably GATT could work for biodiversity conservation by eliminating the “perverse” subsidies governments pay to prop up unsustainable economic activity. Such subsidies typically lead to overharvest of natural resources because they sustain the harvest long after the market would make it unprofitable to continue. This said, in practice powerful nations often succeed in protecting their use of subsidies despite agreeing to the principles of trade agreements and treaties (Polasky et al. 2004).

It is often argued that the solution to the “tragedy of the commons” dilemma is to privatize resources that are usually communal. Some governments have done this by giving or selling permanent ownership or long-term leases on government-controlled resources; leases of coastal waters to private aquaculture operations or restricting the numbers of individuals who can use the commons through licensing are common examples. Privatization of genetic information derived from natural populations of plants and animals has, to date, been resisted. In particular, germplasm of wild relatives of crop plants is traditionally considered a global heritage and is widely shared among agricultural researchers as communal property. However, the advent of biotechnology has complicated the application of international laws protecting intellectual property rights (Gepts 2004). If plant breeders use genetic engineering to develop a new breed of rice, should they have exclusive rights to sell this breed with its unique genetic information? Should pharmaceutical researchers who develop a new medicine based on a chemical they identified in a plant have to share their profits with the people who live where the plant grows?

Considerable dissension has arisen over genetic resources, especially between developed countries, which tend to be rich in technology but relatively poor in terms of genetic diversity, and tropical developing countries, which tend to be technology poor and gene rich. The issue was particularly prominent at the 1992 Earth Summit (formally UNCED, the United Nations Conference on Environment and Development), where the Convention on Biodiversity called for a “fair and equitable” sharing of the profits obtained by biotechnological development based on biological resources. In other words, if a United States pharmaceutical company developed a new medicine from a plant obtained in Ecuador, the company would have to share a “fair and

equitable” portion of its profits with the government of Ecuador. Without this provision there might be little economic incentive for Ecuador to protect biota that have potential value to biotechnology companies elsewhere. The United States has refused to sign the Convention because of ambiguity over what was “fair and equitable.” See Kowalski et al. (2002) and Tsioumanis et al. (2003) for further insights on the issue of who owns genetic information.

## Problem 2

*When biodiversity maintenance generates an implicit cost by reducing opportunities to use resources, this cost often falls on relatively few people, especially poor people in rural areas.* The richest parts of our planet in terms of biodiversity are often the poorest economically. The image of poor peasant farmers trying to scratch out a living by clearing garden patches in tropical forests is a dramatic example of a widespread discrepancy between biotic and economic wealth. These situations are rife with unfairness. If the government of Gabon establishes a new national park to protect gorilla habitat from encroachment by local farmers, it will cost the affluent fans of gorillas in Boston and Bern virtually nothing, perhaps a few cents each if they pay an annual membership fee to an international conservation group that is supporting the project. For a young Gabon couple, living in a village on the border of the proposed park and looking for land where they can start a farm and a family, establishment of the park might severely constrain their opportunities (Colchester 2004). Inequities often occur within a single country too. If the people of Belgium decide that there is not enough forest remaining in the country and pass a law prohibiting the conversion of forest to farmland or housing developments, the loss of opportunity falls on the small number of Belgians who own forests.

## Solutions

Simply put, maintaining biodiversity is everyone’s responsibility, and therefore everyone must share part of the burden. Sometimes, this will require a net flow of funds from society as a whole to those people who experience the costs of maintaining the earth’s biota most directly (Shogren et al. 1999). This is particularly important because in many parts of the world relatively poor people bear much of the cost.

Within a single government this redistribution of funds is relatively easy because governments have many mechanisms for subsidizing activities deemed to be in the public interest. For example, property taxes on lands that are managed to maintain their ecological values can be reduced or waived, or subsidized prices can be paid for carefully harvested commodities. Conservation groups and governments often purchase *conservation easements* from private landowners, a legal mechanism by which landowners give up certain property rights for conservation purposes (typically, they sell, in perpetuity, the right to develop the property). Permanent conservation easements often cost over 80% of the regular purchase price of a tract of land. Sometimes, specific ecological services are purchased on an annual basis (Ferraro and Kiss 2002). For example, Main et al. (1999) described resource conservation agreements designed to give private landowners a financial incentive to maintain habitat

for the Florida panther: \$74–82 per hectare per year. Conservation easements are rapidly proliferating as a means of extending limited conservation funding to protect ever larger amounts of land, although the approach is not without its complexities (see Merenlender et al. 2004).

Sharing the burden internationally is more difficult. Monies collected by international conservation groups in wealthy countries and spent in poor countries are one mechanism. Formerly, these monies were used almost exclusively for the cost of environmental technology, especially as salaries for foreign biologists who would travel to developing countries to try to conserve the local wild life. Now, much of this money goes to building capacity “in country” by focusing on local conservationists, whose activities often include developing economic alternatives, schools, medical aid, and other forms of assistance for the people whose ability to make a living is compromised by biodiversity projects. One innovative way of generating funds used by international conservation groups is debt-for-nature swaps (Webb 1994), which are explained in Box 16.3. There is also growing interest in allowing industries that produce greenhouse gases to compensate for this activity by paying to maintain forests where carbon can be sequestered, especially tropical forests in developing countries (Schulze et al. 2002).

### BOX 16.3

## Debt-for-nature swaps

Many nations have borrowed large sums of money, well over two trillion dollars in total, to invest in their economy by building roads, dams, irrigation systems, factories, and the like (United Nations Development Programme et al. 2003). Some of this debt is being steadily repaid, and it contributes to a net flow of money from the world's poorer countries to the world's richer countries of many billions of dollars per year. However, even this rate of repayment is slow compared with what is owed, and, consequently, the commercial banks that made these loans often sell the debts on the secondary market for much less than their face value, commonly at about 10–20%. This means, to take a hypothetical example, that if the government of a Latin American nation owes \$10 million to a bank in New York City, the bank would be willing to sell the debt bond to another institution between \$1 million and \$2 million. Beginning in 1987 conservation groups and some wealthier nations have bought these discounted debt bonds to generate funds for conservation in what are called *debt-for-nature swaps* (Hansen 1989).

For example, in 1990 a coalition of the government of Sweden, the World Wide Fund for Nature, and the Nature Conservancy banded together to purchase \$10,753,631 worth of Costa Rica's debt bonds, which, because they were discounted, only cost \$1,953,473 (WRI 1992). They gave these bonds to Costa Rica, and in exchange the Costa Rican government agreed to spend \$9,602,904 on a series of conservation projects in Costa Rica, mutually agreed on by Costa Rica and the donors.

The advantage to the Costa Ricans is that they can repay their debt through projects that will benefit their own country, and they can pay for these projects in colones (their own national currency) rather than in a foreign currency such as United States dollars or German marks. (International banks would not accept payment in Costa Rican colones, only in so-called hard currencies, which are scarce in Costa Rica because they have to be earned through international trade.) The advantage to the donors is that they can multiply the impact of their donation dramatically, 4.9-fold in this example. Retiring international debt has another benefit because many debtor nations



have tried to repay their debts through mining, logging, and ranching enterprises that are designed to generate foreign revenues quickly, even if it is at the expense of the environment and long-term, sustainable, natural resource use. To date this mechanism has been used in many contexts; despite its complexities it can help alleviate debt while securing habitat (Resor 1997). There are many countries with attributes that make them good candidates for debt-for-nature swaps: heavily indebted, experiencing ongoing environmental degradation, and possessing an inability to provide adequate resources for environmental conservation from internal sources, all coupled with a demonstrated commitment toward environmental conservation. Nepal is an example (Thapa and Thapa 2002).

Another mechanism for sharing the financial burden internationally is the transferring of funds from wealthy governments to poor governments as bilateral aid, either directly or channeled through an intermediary organization such as the World Bank or the United Nations. Historically, international aid has done far more harm to the environment than good, particularly through construction of dams and roads and the initiation of badly designed agricultural, fishing, and logging schemes. In recent years, most international-development agencies have at least been trying to ameliorate the environmental impact of their projects, and in some cases they are undertaking projects such as establishing new national parks or protecting endangered species that have a primary goal of conservation (e.g. Mukherjee and Borad 2004). It would be easy to digress into a long critique of large-scale projects that completely overwhelm the people or ecosystems they are meant to help – whether they be building a dam or a park – but that lies beyond our purview here. See Ayittey (1998) or Wieczkowski (2005) for examples.

Probably the best way to offset the losses experienced by people who share their land with wild life is to find ways to increase the benefits they receive from the local biota. This idea is the basis for what is often called “community-based conservation” or an “integrated conservation and development project” (Jones and Horwich 2005). Conservationists often promote ecotourism to this end (Fig. 16.6) because the Gabon couple may not need a farm if they can get jobs as guides for tourists who come to see the gorillas (Paaby et al. 1991). Ecotourism has some problems. For example, it can lead to significant environmental degradation; some people do not relish working for demanding tourists; and much of the money it generates goes to foreign-owned airlines, hotels chains, tour companies, etc. rather than to local people (Bookbinder et al. 1998). However, if done properly with careful planning, significant local involvement, and control measures, ecotourism can produce significant benefits for local people (Kruger 2005). Improving markets for local products based on sustainable use of wild life is another way to offset costs. For example, several organizations encourage consumers to purchase tropical hardwoods that have been sustainably harvested by local people so that these people will have an incentive to use their forests judiciously (Shanley 1999).

Problem 2 has a corollary: *Environmental technology costs are often experienced by people and governments who are least able to afford them.* Why should Gabonese taxpayers pay for wardens to protect gorillas when gorillas are more highly valued by people in Boston and Bern and when the average Ugandan is far poorer than the average person in the United States or Switzerland? (One crude measure of this is



**Figure 16.6** If carefully structured, ecotourism is one mechanism for allowing local people to obtain economic benefit from sharing their environment with tourists. (Photo from Thane Joyal.)

the gross domestic product [sum of all economic activity] divided by the population size. In 2004 it was \$5900 in Gabon, \$40,100 for United States residents, and \$33,800 for the Swiss [CIA World Factbook: [www.cia.gov/cia/publications/factbook](http://www.cia.gov/cia/publications/factbook)]). Again, the solution involves transfer of wealth from rich nations to poor; but technical know-how needs to be shared as well (see Watkins and Donnelly 2005). This can involve sending conservation biologists, environmental engineers, and other specialists to parts of the world where their expertise is in short supply. Addressing the need for technical know-how must be done collaboratively. For example, an assessment of why Africa lacks sufficient professionals to manage its wetland ecosystems argued that it is critical both for scientists from the “North” to foster development of a self-sustaining research community in Africa and for African institutions to build research momentum and invest in their own scientific enterprise (Denny 2001).

### Problem 3

*Resource exploitation that yields a quick profit is more attractive than harvesting programs that produce moderate profits, but are sustainable over a longer period.* The short-sightedness of “get-rich-quick” schemes has been decried since at least the days of the Brothers Grimm and their tale of killing the goose that laid golden eggs, and criticism of this folly formed one of the historical roots of conservation in Europe

and North America. In recent years, sustainable development has become the catchphrase for natural resource exploitation programs designed to produce goods and services in perpetuity with little or no environmental degradation. Treating future generations equitably (i.e. leaving them a healthy, diverse planet) is the key ethical concern here. Unfortunately, “A bird in the hand is worth two in the bush,” and people have a clear tendency to devalue something that they will not use until the future (Marsh 1994).

Economists account for our tendency to devalue use by employing *discount rates* to calculate *net present value*. A simple formula for calculating net present value is  $NPV = V/r$ ; where  $V$  is the current annual value for production of some commodity and  $r$  is the rate at which we discount its future value. For example, if your date palm produces a crop worth \$100 per year and your discount rate is 5%, then the net present value of the date palm is  $100/0.05$  or \$2000. This is roughly equivalent to saying that the palm tree is worth \$100 for this year’s crop, plus \$95 for next year’s crop, plus \$90.25 for the following year’s crop, and so on in perpetuity, for a grand total of \$2000. To put it another way – if your discount rate is 5%, receiving \$2000 now is equivalent to the promise of receiving \$100 per year forever.

Peters et al. (1989) used net present values to argue that sustainable production of nontimber plant products from a tract of tropical rainforest was more valuable than if the forest were cut and converted to another purpose. They measured annual production of fruits and natural rubber from one hectare of riparian forest in Peru and estimated that it could be sold for \$422 profit, after deducting the costs of collecting and transporting the products 30km to the city of Iquitos. They used a figure of \$316.50 for current annual value (having deducted 25% from \$422 on the assumption that some fruit and latex should be left unharvested) and a discount rate of 5% to arrive at a net present value estimate of \$6330 per hectare. They estimated that clearcutting all the commercially valuable timber on the hectare would generate an immediate net profit of \$1000 on delivery to a sawmill. If the site were then converted to another use, potential NPVs might include \$3184 for a tree plantation or \$2960 for a cattle pasture. Either figure, when added to the \$1000 for selling the timber, is still far less than \$6330. (See Rosenberg and Marcotte [2005] for a similar analysis based on various land use options for protecting forest in Belize.)

Are people acting irrationally when they cut down tropical forests rather than slowly harvest its fruits? Not necessarily. Cutting down the forest may not be wise from the perspective of the biosphere, of humanity as a whole, or of their own descendants, but it still may make sense in terms of their personal economics. Phillips (1993) argued that the 5% discount rate used by Peters et al. (1989) was far too low. Many Amazonian villagers discount future value much more than this because they have little confidence that they will be able to use the forest into the future. For example, if they discount future value at 20% because they fear they will lose their access to the forest to powerful political and commercial interests, then the estimated NPV would decline from \$6330 to \$1582.50. Many people in less-developed countries are so impoverished – they lead lives so close to the margin of survival – that discount rates are practically 100%. Future values mean virtually nothing because, if they do not use a resource now, they will probably die.

Finally, uncertainty may not be the major reason why people devalue future uses. Some individuals and corporations are always ready to reap a quick profit and reinvest their gains somewhere else for more quick profits, despite the long-term consequences for the biota or the people who continue to live in the degraded areas remaining. If you are a beneficiary of this practice you might commend it as an aggressive business strategy, but if you are one of the many who suffer the consequences of this approach you would call it simple greed.

### Solutions

Trying to mitigate uncertainty and greed is a tall order. Let us consider greed first. Regulations can curb some of the environmental consequences of greed, but some of the most promising approaches are based on environmentally based tax reform. “Polluter-pays” taxes are the best known of these; “natural capital depletion” taxes that act as a brake on exploitation of natural resources are another idea (Costanza et al. 1997b). Unfortunately, governments routinely find themselves caught between two goals: a desire to encourage economic activity and a desire to protect their citizens, including future generations, from the undesirable spinoffs of economic development. The paradox is that liquidation of natural capital is clearly associated with economic expansion (e.g. Naidoo 2004). In practice most environmentalists feel that too often the economic well-being of a relatively few people takes precedence over the environmental well-being of everyone (Smith and Walpole 2005).

Dealing with uncertainty or risk is also complex. If the problem is poor people whose land tenure is insecure, then the solution is to give them land and legal protection from those who might take the land away. For people whose immediate survival is in jeopardy, economic assistance is needed to allow them to envision a future beyond finding food and fuel for tomorrow. Uncertainty is also a significant problem for large corporations. Not knowing how markets, supplies, government regulations, and other factors will affect profitability is a major catalyst for reaping short-term profits. Governments can mitigate this tendency by trying to create a stable business climate, but ironically this can conflict with the need for new environmental regulations that arises when scientists discover new problems. Addressing these uncertainties is at the heart of novel conservation approaches that involve regulation of businesses, such as conservation banking and “safe harbor” agreements (Wilcove and Lee 2004).

### Problem 4

*Not everyone agrees that the benefits of biodiversity far outweigh the costs of maintaining it.* To most biodiversity advocates the benefits of conservation are obvious and unassailable and therefore should be automatically secured. This premise is easily challenged by people who weigh only direct economic benefits against the explicit costs of environmental technology and the implicit costs of opportunity losses. Such economic rationalists would dismiss potential values as too speculative. They would say we should not worry about some obscure plant in the highlands of Tanzania that might have a cure for breast cancer because by the time we figure out that it has this



property, biochemists will have already synthesized a cure. They would argue that while the earth's biota as a whole clearly has ecological value, it has not been proven that each species has a unique role and that disaster will ensue if we lose some or even many species. They would dismiss existence values as too abstract, too philosophical to have meaning in the hard-headed world of business with its "bottom line" of profitability.

### *Solutions*

The solution to this problem begins with the rhetorical argument that the burden of proof must lie with those who assert that a species lacks value. If some people wish to dismiss a species as without commercial, subsistence, or ecological value, let them demonstrate convincingly that it lacks value now and will probably have no value in the future. This conservative approach is the only reasonable course in the absence of deep knowledge and understanding. If the case for conserving a species relies heavily on potential values or ill-defined ecological values, then this suggests that taxpayers should pay most of the costs because one of the major responsibilities of a government is to provide for the well-being of future generations.

It may be useful to weigh the costs of environmental technology against other expensive undertakings such as medical and military endeavors. If human overpopulation and environmental degradation continue to widen the gap between rich nations and poor, spurring military conflict and diminishing human health (Donohoe 2003), then it is also appropriate to think of efforts to maintain a healthy environment as an alternative to increasing national security through military expenditures and boosting health through investment in the medical industry. Some conservationists, notably David Ehrenfeld (1988), have argued that this problem is essentially insoluble. He believes that cost-benefit analyses will serve biodiversity badly, particularly because the species that are rarest, and thus most vulnerable to extinction, are least likely to have critical ecological roles. Disavowing cost-benefit analyses would force conservationists to focus on solutions based on morality, particularly a shift from anthropocentrism toward biocentrism. Others, however, have made strong arguments that commercialization of wild life is a viable way to save it. For example, based on a cost-benefit analysis, Lindsey et al. (2005) concluded that reintroducing African wild dogs to private game ranches could play a key role in conserving the species. The revenues derived from ecotourism to view the dogs can fund compensation for harm the wild dogs may cause to livestock, which often is the root of persecution of wild dogs. There are many additional ways to express the basic problem of an imbalance in the costs and benefits of maintaining biodiversity. We can summarize the solutions by characterizing them as either economic incentives (e.g. subsidies, privatization of government-owned assets) or economic disincentives (e.g. regulations, tax penalties, tariffs). The costs of economic incentives will be borne largely by taxpayers; the costs of economic disincentives will be paid largely by the owners of biological resources and their customers. On the whole, incentives are generally preferable to disincentives because carrots work better than sticks. Moreover, it is most fair to favor carrots when poor people are the resource owners.

## CASE STUDY

## Butterfly Ranching<sup>1</sup>

When you think of ranches, images of cattle grazing on a dusty plain under the watchful eye of a cowboy are likely to come to the fore. You probably would not envision butterflies fluttering about a small opening in a tropical forest, yet in Papua New Guinea people have created hundreds of butterfly ranches. Most of these consist of a small patch planted with some plant species that are preferred food for the caterpillars of certain butterfly species, especially the elegant birdwing butterflies. Surrounding the patch, the rancher maintains a border of plants that produce nectar and thus attract the adults. Pupae are collected from the food plants and protected from predators, chiefly ants, until they hatch. Although butterfly ranches (which rely on a wild butterfly population that will use the ranch) are the norm, there are some butterfly farms where captive butterfly populations are maintained in cages. Butterflies may seem a strange form of livestock, but not to the Papua New Guineans. They understood the basic ecology of the butterflies even before ranching was initiated and in contrast have virtually no familiarity with sheep and cattle.

There are three potential markets for ranched butterflies. First, decorative specimens are popular as tourist souvenirs and are exported to curio shops. Serious butterfly collectors are a second market; they seek to own a wide variety of butterflies, preferably of rare species. Collectors want perfect specimens, and these are provided

more readily by a rancher than by someone who nets wild butterflies. Finally, there is a significant market for live specimens, which are exported, usually as pupae, to be displayed in butterfly houses, often at zoos. In 1986 over four million people visited 40 butterfly houses in Great Britain. Papua New Guinea has chosen not to enter this live market for fear that, if they export live specimens, competitors will start breeding programs with their species.



**Figure 16.7** Butterfly ranching involves cultivation of productive larval habitat to attract members of wild butterfly populations to lay eggs in the cultivated areas. Ranchers can then harvest a portion of the eggs deposited, hatch the eggs, rear the larvae to metamorphosis, and sell the adults on the market. The undertaking can be both sustainable and lucrative. Many tropical butterflies such as this blue morpho have been used in ranching schemes. (Photo from Dan Perlman/EcoLibrary.)

People are not getting rich through butterfly ranching – annual incomes generally range from \$100 to \$3000 in Papua New Guinea – but in a country where the average annual income in rural areas is about \$50, these are significant amounts. More importantly, butterfly ranching is the kind of development that many conservationists advocate for rural areas because it allows local people to participate in a cash economy through the sustainable use of their renewable natural resources. Indeed, for many Papua New Guineans butterfly ranching has been their first opportunity to earn cash. In other countries, such as Malaysia and Costa Rica (Fig. 16.7), butterfly ranching is more likely to supplement existing cash income.

Butterfly ranching is not a complete solution to the problem of overcollecting butterflies. In particular, not all marketable species lend themselves to ranching, and thus the collecting of wild specimens continues. This is generally viewed as acceptable as long as overexploitation is avoided. The key to avoiding overexploitation, black markets, and related problems is to have a reliable, well run agency that will serve as an honest broker for the ranchers, buying their produce at fair prices and marketing it effectively overseas. When the system works, it can provide a significant incentive for people to maintain butterflies and the entire ecosystems that support both the butterflies and themselves.

**1** Primary references for this section are National Research Council (1983) and New (1991, 1994, 1997). See also Webb et al. (1987) and Thorbjarnarson (1999) for details on crocodile farming and Hoogesteijn and Chapman (1997) about capybara and caiman farming.

## Summary

Recognition of the true costs and benefits associated with wise natural resource management has led to a paradigm shift in many circles. Many people are no longer asking, “Can we afford to conserve?” but, rather, “Can we afford not to conserve?” The benefits of biodiversity include the wide variety of organisms that we use as goods for commerce or subsistence, plus many ecological services such as providing us with clean air and water and recreational opportunities. Less tangible benefits include existence values (the value in simply knowing that a species or ecosystem exists) and potential values (the future, possible values of a gene, species, or ecosystem). The costs of maintaining biodiversity can be divided into two major types: explicit costs for environmental technology, such as salaries for people who undertake projects that maintain and restore biodiversity; and implicit costs, the loss of potential benefits when conservation means giving up an opportunity to use a resource.

From an economic perspective, the fundamental problem with maintaining biodiversity is that there is often an imbalance between who pays for maintaining biodiversity and who enjoys its benefits. We all enjoy the goods and services provided by biodiversity, but often the costs of maintaining biodiversity fall disproportionately on rural people and developing nations that have the richest biodiversity. Furthermore, when biological resources are open to unrestricted access, the “tragedy of the commons” can prevail and an imbalance of costs and benefits can drive overexploitation within a single community. Solutions can be characterized as economic incentives or disincentives. Incentives can encourage people to maintain biodiversity by giving them subsidies such as tax breaks. Disincentives can encourage sound stewardship by imposing financial penalties such as fines and tariffs on activities that degrade or overexploit natural resources.

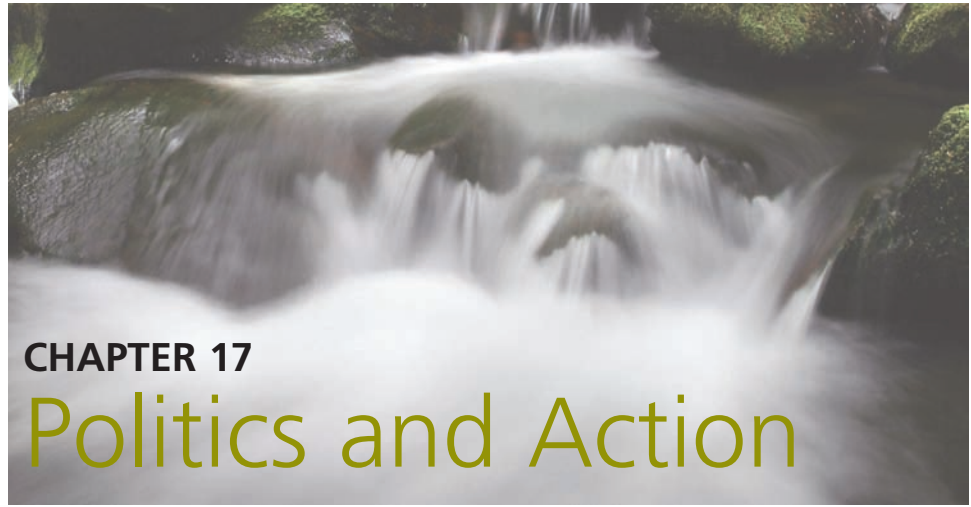
### FURTHER READING

For further information on ecological economics, we recommend Barbier et al. (1995), Rees (2003), Costanza et al. (1997b) or Costanza (2001). Barbier et al. is strong for methods and Costanza for the development of ecological economics. McNeely (1988) describes both general concepts and case studies. *Elephants, Economics and Ivory* by Barbier et al. (1990) is an interesting case study. A compelling exploration of environmentally sound ways to use land for human benefit under the umbrella of “reconciliation ecology” is provided by Rosensweig (2003). *Ecological Economics* and *Land Economics* are key journals for this field. See [www.ecologicaeconomics.org](http://www.ecologicaeconomics.org) to learn more about the International Society for Ecological Economics. Important resources on interactions among biological diversity, human welfare, and economic activity are the various reports associated with the Millennium Ecosystem Assessment, available at [www.millenniumassessment.org](http://www.millenniumassessment.org).

### TOPICS FOR DISCUSSION

- 1 Is conservation ultimately possible only if economic growth ceases?
- 2 Can you think of any creative mechanisms for sharing the benefits and costs of maintaining biodiversity more equitably?
- 3 Why is the environmental record of countries with a communist economic system generally worse than that of capitalist countries?
- 4 Some people think that we need to maximize economic growth to keep people satisfied with their lifestyle and to pay for environmental technology. What do you think?
- 5 What are the relative advantages and disadvantages to using financial incentives versus disincentives to effect conservation activities?
- 6 Many of our technological developments, notably the automobile, have had negative impacts on environmental quality. Do you think that future technological advances will have a net effect on environmental quality that is positive or negative?





## CHAPTER 17

# Politics and Action

Talk is easy. So is hand-wringing. Solving problems is more difficult. Conserving biodiversity is, in fact, all about problem solving. As such it requires action. Conservationists must try to shape human institutions to make them more compatible with maintaining biodiversity. Broadly speaking, politics is the art and science of governing human institutions, and thus conservationists must be political if they wish to advance their agenda.

The interface between conservation and politics is a complex landscape that can be explored in many ways. First, it must be recognized that politics and action inevitably occur within severe constraints on resources available for conservation work, chiefly time and money. That means setting priorities, which is the focus of the first part of this chapter. Next we must determine who has rights and responsibilities for conserving biodiversity. To do so, we take a relatively short and simple route that touches on what different types of human entities – international agencies, governments, nongovernmental organizations, corporations, communities, and individuals – can do to foster biodiversity conservation and what their responsibilities are. Some approaches described are of an economic nature and were outlined in more detail in the preceding chapter; others are not based on economics. All of these actions are currently being undertaken somewhere, but seldom at an adequate scope or intensity. We end with a strong message about what you can do as an individual to make a difference.

## Setting Priorities for Action

Conservationists understand the finite natural resources that humans overexploit and thus it is easy for us to appreciate the resources available for conservation work. We can and should decry the myopia of social, political, and economic systems that do not recognize the importance of conserving biodiversity. For example, why do we spend far, far more money on medical research and treatment than on controlling environmental pollution when many diseases are primarily symptoms of environmental degradation? Even within a conservation context, why do we end up paying dearly for last minute interventions to save a species on the brink of extinction when it would have been far easier and cheaper to maintain the species's habitat years ago? Inevitably, we have to work with what society allocates to us, which often is minimal. There are many approaches to setting priorities; we will outline seven issues that are quite different but not mutually exclusive.

## Levels of Biodiversity

Biosphere, biome, landscape, ecosystem, community, guild, species, population, individual, gene, allele – it is easy to construct hierarchical organizations for life on earth. In such a hierarchy each level contains more elements of biodiversity than the level below, making this one logical and simple way to decide which elements of biodiversity merit primary attention (Noss 1990; Soulé 1991; Zacharias and Roff 2000). If we give priority to protecting a marsh from being destroyed, we protect hundreds, even thousands, of different species that inhabit the marsh. This is the essential idea behind the coarse-filter approach to maintaining biodiversity (see Fig. 4.6). In contrast, if we give priority to protecting a single species, we may be helping only that one species and a few other species with which it is closely associated. Most conservation biologists recognize the general wisdom of focusing on organizing conservation around ecosystems, but sometimes, as we saw in Chapter 11, they debate the merits of ecosystems versus species as targets for conservation. This largely stems from the fact that species are more easily recognized as biological entities.

## Geographic Scales

It is easy to be parochial, to let your perception of the world revolve around your day-to-day life. Conservation biologists need to moderate this tendency by asking, “At what geographic scale is this species or ecosystem at risk?” and then giving priority to those in jeopardy at large scales, especially the global scale. This is the alpha, beta, and gamma diversity perspective of Chapter 2 and Fig. 2.3 again. It merits repetition because it is very important and often ignored. Wealthy countries often spend large sums protecting species that are threatened within their borders but that are globally secure (Hunter and Hutchinson 1994; Bunnell et al. 2004). For example, biologists have labored for over 20 years to restore Atlantic puffins to some islands on the coast of Maine because there are few Atlantic puffin colonies remaining in the United States (Kress and Nettleship 1988). Given that Atlantic puffins number in the millions in Canada, Greenland, Iceland, and Europe, this effort is not a global priority. On the other hand, it is not a complete waste of time to save species that are only in danger of local extirpation. Maintaining populations across a species’s entire geographic range is necessary if its complete genetic wealth is to be maintained (Lesica and Allendorf 1995; Bunnell et al. 2004; Ficetola and De Bernardi 2005). Locally endangered species can also be important because of their ecological, economic, or strategic roles (Chapter 3; Hunter and Hutchinson 1994). Nevertheless, the earth’s biodiversity as a whole would usually be better served if we could take a truly global perspective when setting priorities. This will require looking beyond political boundaries that so often constrain our thinking (Rodrigues and Gaston 2002).

## Choosing Areas

When conservation biologists daydream, it is often about winning a huge sum of money at a lottery that they could then use to buy land and establish nature reserves. The conservation literature has dozens of papers on how to spend such money wisely (e.g. Usher 1986; Spellerberg 1992; Noss and Cooperrider 1994; Margules and

Pressey 2000; Myers et al. 2000b; Moilanen et al. 2005; Wilson et al. 2005b; and papers cited in Chapter 11). Five key criteria emerge from this literature:

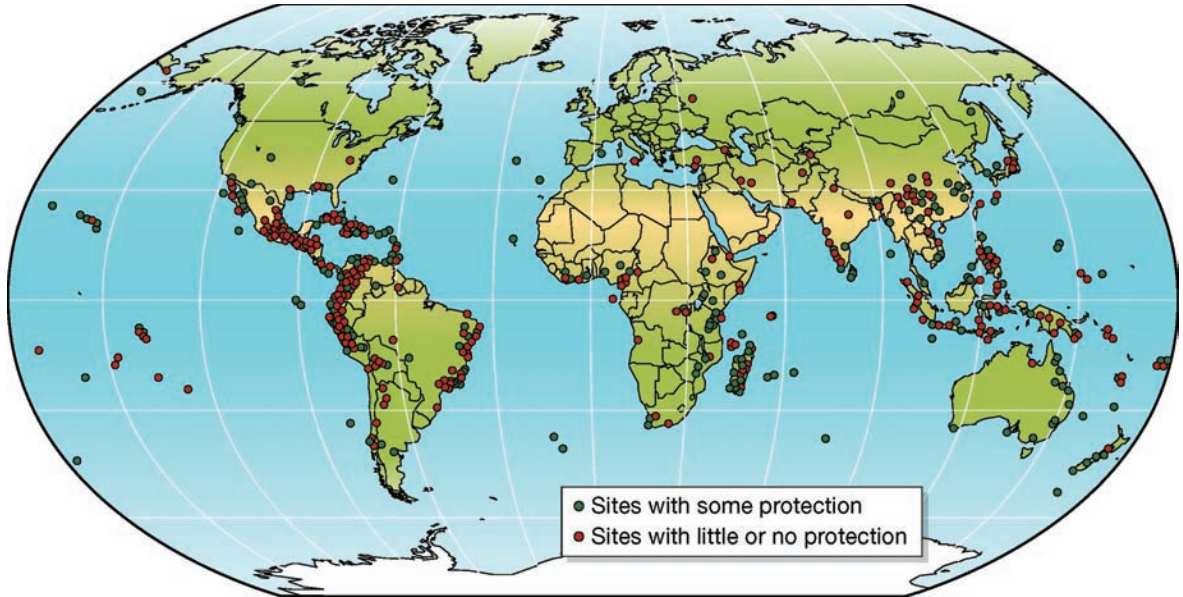
- 1 Size and number: we need both more and larger reserves, and (per the SLOSS debate described in Chapter 11) are often forced to choose between these goals.
- 2 Representativeness: the coarse-filter approach (see Fig. 4.6) requires conserving an array of ecosystems that characterize a region or, from a fine-filter perspective, a complete array of species's habitats.
- 3 Rarity: areas that support rare ecosystems (e.g. aquatic ecosystems in the midst of an arid region) or habitat for rare or threatened species are a clear priority.
- 4 Condition: relatively pristine areas are usually preferred over areas that have been substantially degraded, although exceptions do occur; for example, when purchasing forest land conservationists might prefer to buy 1000 ha of recently logged forest if the same amount of money will buy only 300 ha of mature forest.
- 5 Threat: a reserve that is isolated from potential sources of disruption will probably be easier to maintain, although conservationists sometimes give higher priority to areas that are likely to be threatened by human activities in the foreseeable future.

This is not an exhaustive list of criteria. We could add cost, fragility, feasibility, urgency, and more; see Balmford et al. (2000), Hughey et al. (2003), and Luck et al. 2004 for examples, Usher (1986) and Groves (2003) for reviews, and Ricketts et al. (2005) for a new approach that puts a strong emphasis on species that are on the brink of extinction (Fig. 17.1). Finally, it is important to remember that choosing reserves is only a part of the process of managing areas for conservation. The vast majority of ecosystems exist outside reserves and it is often wise to think about entire landscapes where conservation action should be directed (Groves 2003).

## Choosing Species

Blue whales or redwoods? Black rhinos or white? One could list dozens of factors to consider when choosing which species should receive priority, but we will address just two overarching questions: "Which species is more valuable?" and "Which species is at greater risk of extinction?"

The first question returns us to Chapter 3 and our discussion of the instrumental values of species. If our primary concern is the welfare of humanity, we should favor species with economic values and, because people are dependent on healthy ecosystems, species with important ecological roles as dominant, controller, or keystone species. If our concern is more equitably distributed among all species, we should still focus on species with important ecological roles because so many other species depend on them. For the same reason, we should give priority to flagship and umbrella species that have strategic value to conservation action. People usually favor a species with realized value over one whose value is only potential because, as the adage goes, "A bird in the hand is worth two in the bush." Finally, the uniqueness of a species amplifies all other values. If we lose a species like the African elephant, its role will not be easily filled by another species. (See Balmford et al. [1996], Halupka et al. [2003], and Simianer [2005] for parallel but rather different exercises in selecting, respectively, zoo collections, salmon stocks, and rare, domestic-animal breeds for conservation.)



**Figure 17.1** A consortium of conservation groups called the Alliance for Zero Extinction (Ricketts et al. 2005) has mapped 595 “centers of imminent extinction,” sites that harbor the only remaining population of highly threatened species of mammals, birds, reptiles, amphibians, and conifers. Given that just one-third of these sites are currently protected, they are a high priority for avoiding a wave of extinctions (“open” dots represent sites that have some degree of protection, whereas the filled dots represent sites with little or no protection). (Map courtesy of Alliance for Zero Extinction, data version 2.1.)

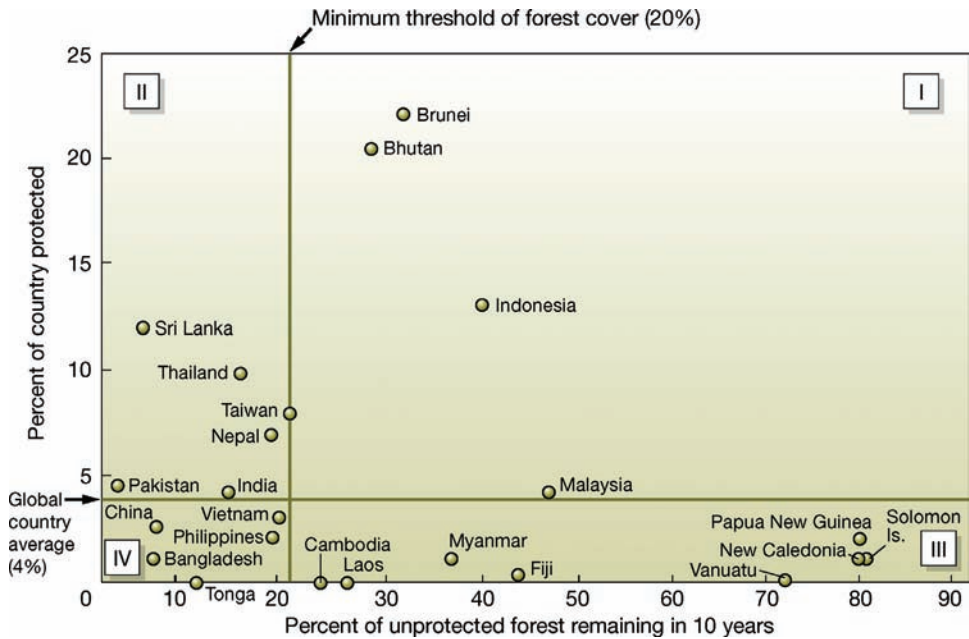
The second question, “Which species is at greater risk of extinction?” is also a key issue, especially if you believe that all species have intrinsic value. Intuitively, this seems to be a simple issue: species that are at greater risk of extinction should receive higher priority (see Boxes 3.2 and 3.3). However, some conservationists have advocated a triage approach to dealing with species (McIntyre et al. 1992). *Triage* refers to the idea that there are three classes of war casualties: people who will recover without immediate medical aid; people who will die even if given aid; and people for whom aid is a life-or-death matter. Priority is given to the third group of casualties, and, similarly, priority is given to species that have a reasonable chance of surviving if given attention. Many conservation biologists have difficulty with deliberately abandoning a species to extinction; surely, the black robin, described in Chapter 13, would have been lost under a triage system. On the other hand, one could argue that sending four biologists to Brazil to save the Spix’s macaw, after it was apparently reduced to a single wild bird, was overreacting to a lost cause (Juniper and Yamashita 1990).

## Choosing Nations

International organizations have to decide which countries should receive assistance with their efforts to conserve biodiversity. Some relevant issues have already been discussed (e.g. in the hotspots discussion of Chapter 11): notably, determining



which countries harbor the most endangered or endemic species or which countries are in greatest danger of losing their natural ecosystems (see Fig. 17.2 for an example). Similar processes can be used to prioritize among biogeographic units such as ecoregions or biomes that reflect species distributions better than political units (Olson and Dinerstein 1998; Brooks et al. 2004c; Hoekstra et al. 2005). Other issues have little to do with biology. Which countries have sufficient political stability to make ambitious conservation projects feasible? Which nations have the greatest financial need for assistance? Money spent in an unstable country like



**Figure 17.2** Eric Dinerstein and Eric Wikramanayake (1993) used the extent of protected areas and estimates of deforestation to create an index that would guide international conservation organizations in setting priorities among 23 Indo-Pacific countries. They divided the countries into four classes. Category I: countries with a relatively large percentage (>4%) of forests under formal protection and that will have a high proportion (>20%) of unprotected forested areas left in ten years. Category II: countries with a relatively large percentage of forest (>4%) under formal protection, but that will have little (<20%) unprotected forests left in 10 years. Category III: countries with a relatively low percentage (<4%) of forests presently protected. However, under current deforestation rates these countries will still have a relatively large proportion (>20%) of their unprotected forests remaining in ten years. Category IV: countries with a relatively low proportion (<4%) of forests presently protected. Obviously, Category IV countries require urgent action, while Category II and III countries should be shifted toward Category I status expeditiously.

Rwanda or Columbia is less likely to be effectively used than in a country like Costa Rica. Conservationists in Swaziland are more likely to need an external subsidy than those in Sweden. Sometimes, expertise is what is needed. Nations like Saudi Arabia suffer from a shortage of ecologists, not the money to pay their salaries. One analysis recommended nations for conservation effort after explicitly incorporating the estimated cost of creating and maintaining a reserve network covering 15% of each nation's area (Balmford et al. 2000). When cost effectiveness was added to the formula, countries where conservation is relatively expensive moved down the priority list (e.g. the United States and Australia), while countries such as Peru and Malaysia rose higher.

## Choosing Tasks

Projects designed to maintain biodiversity can often be divided into two broad classes of activities: *protecting* ecosystems and species that are threatened versus *restoring* ecosystems and species that have been degraded or locally extirpated. Which is more important? Of course, there is no general answer because so many factors come into play, but one generalization can be made. It is almost always easier to protect what exists than to restore what has been lost. Consequently, for a given level of effort, the impact of a protection project will usually be greater than the impact of a restoration project.

We can also address the issue of choosing tasks by examining the four basic parts of most complex human undertakings. These are *planning* (figuring out what we want to do and how to do it), *implementation* (doing it), *monitoring* (figuring out what we have done and whether it worked), and *modification* (changing our activity to better achieve our goals). All of these tasks are critical. Compared with planning and implementation, most people find monitoring boring, but without monitoring there can be no effective modification. Vast amounts of conservation effort (translocating endangered species, restoring degraded ecosystems, etc.) have been wasted because they were not done correctly the first time and because no one took the time to check the outcome carefully (Goldsmith 1991; Noss and Cooperrider 1994; Elzinga et al. 2001; Green et al. 2005a). A recent swell of concern that conservationists have not been adequately sharing information and learning from one another's failures and successes prompted the development of "Open Standards for the Practice of Conservation" now embraced by most major conservation groups (see Box 17.1).

## The Highest Priority of All

*Address the causes of problems, not just the symptoms.* Many of the activities described in this book – cross-fostering and double-brooding, maintaining studbooks and seed banks – only address the symptoms of larger, underlying problems. In particular, the peril of endangered species is but a symptom of ecosystem degradation and, ultimately, human overpopulation and excessive consumption (Soulé 1991). Of course, we cannot devote all of our energy to the ultimate problem of human population and consumption and completely ignore the cascade of symptoms that it produces. However, we must never lose sight of what is a problem and what is merely a symptom of that problem. In *Lives of a Cell*, Lewis Thomas (1974) writes about this issue from a medical perspective, describing much of medical technology as "halfway

## BOX 17.1

## Successfully implementing conservation projects

The Conservation Measures Partnership (CMP) was formed when a large group of conservation practitioners met in 2002 with questions and concerns about how to monitor and measure conservation success. There was a prevailing sense that many organizations were repeating the same mistakes, failing to share lessons learned, and generally lacking robust ways to measure performance of conservation projects. Donor organizations, which significantly underwrite the activities of most conservation groups, were particularly interested in evaluating the results of their investments. In other words, donor groups had few established means to know whether the funds being applied to conservation were making any difference or which conservation groups or approaches were a better investment. By forming the CMP, the various member organizations sought to share their experience to avoid duplication of effort, steer away from failed approaches, and identify and adopt best practices. The most visible product of the CMP has been a set of standards for designing, implementing, assessing, and auditing conservation projects. The standards amount to a clear articulation of the adaptive management cycle. As such they bring much needed integrity to conservation practice by yielding answers to the question: “Do our actions achieve our conservation goals?” Ultimately the question must be answered in the affirmative if donors and society are to be convinced that conservation is indeed a worthwhile investment. For further background consult the Conservation Measures Partnership website ([www.conservationmeasures.org](http://www.conservationmeasures.org)).

technology” because it addresses symptoms rather than causes. For example, heart transplant surgery replaces diseased hearts instead of changing the diet and lifestyle problems that produced the diseased heart. There is an important conservation analogy here. Protecting entire ecosystems is good public health practice compared with the emergency-room tactics of *ex situ* conservation in zoos, aquariums, botanical gardens, hatcheries, or the intensive management of single species in the wild (see Chapters 13 and 14) (Fig. 17.3).



**Figure 17.3** We need to deal with the root causes of the biodiversity crisis. Maintaining biodiversity by limiting human population growth and wisely caring for entire ecosystems is much more efficient than saving critically endangered species. It is analogous to saving lives through public-health programs versus emergency-room surgery.

## Rights and Responsibilities

Politics and action depend first on clarifying who has rights and responsibilities for the well-being of wild creatures. Who owns the giant pandas? Who has the right to use them and the responsibility to ensure their continued survival? The citizens of China? Only the people who share the giant pandas' range in the montane forests of south-central China? All the world's people? Legally speaking, the people of China – formally the national government of China – own the giant pandas with the exception of the handful owned by foreign zoos. For other species the answer is not necessarily so simple. Legal rights and responsibilities can rest with private property owners; with local, regional, or national governments; with international coalitions of governments (e.g. in the case of some marine species and migratory birds); or with no one and everyone (in the case of most marine species that live outside of territorial waters).

In an ideal world rights and responsibilities are shared commensurate with costs and benefits. For example, the people who live in the forests inhabited by giant pandas would have the greatest rights and responsibilities per person, but everyone, wherever they live, would have some rights and responsibilities. In other words, even though you may live halfway around the world from giant pandas and never see one, you still have the right to ask for the continued existence of giant pandas and the responsibility to do what you can to help save them, for example, by giving money to an organization that supports giant-panda conservation.

Although the rights and responsibilities of people who live far from the pandas' range are quite small on a per capita basis, collectively they may supersede the rights and responsibilities of the people who live close by. For example, if the people who inhabited the giant pandas' range wanted to allow the panda to become extinct, their right to make this decision would be superseded by the collective rights of all the world's people who want the giant panda to survive.

## International Agencies

UNDP, UNEP, UNESCO, IUCN, IMF, ADB: the alphabet soup of organizations that has evolved to foster better international relationships is large and complex. (See Box 17.2 for brief descriptions of these and other organizations.) In this section we will focus on some common threads that link these diverse groups to conservation.

- 1 Fostering a global conservation ethic.** All of these organizations have a fundamental goal of improving the well-being of humanity, but this goal cannot be achieved without careful stewardship of natural resources. To this end it is important that the “family of nations” fosters a climate in which its members are encouraged to practice sound conservation. Various international documents have codified a global conservation ethic. Among the most important are *The World Conservation Strategy* (IUCN et al. 1980), *The World Charter for Nature* (Annex 2 in McNeely et al. 1990), the *Rio Declaration* (Parson et al. 1992; Grubb et al. 1993), and the “Framework for Action on Biodiversity and Ecosystem Management” agreed to at the World Summit on Sustainable Development (the so-called “Johannesburg Summit” of 2002). Although essentially anthropocentric, these documents suggest some movement toward biocentrism. For example, the World Charter for Nature, which was passed by the United Nations in 1982, states that “every life-form is unique, warranting respect regardless of its worth to man.”



## BOX 17.2

## International agencies<sup>1</sup>

**United Nations Environment Programme** (UNEP, Nairobi) ([www.unep.org](http://www.unep.org)) facilitates international cooperation on environmental issues chiefly as a catalyst and source of information. It also administers some funds for environmental projects, but this is a secondary role. It now oversees the **World Conservation Monitoring Centre** ([www.unep-wcmc.org](http://www.unep-wcmc.org)).

**United Nations Development Programme** (UNDP, New York) ([www.undp.org](http://www.undp.org)) is the world's largest source of multilateral grants and funds a wide variety of projects (agriculture, transportation systems, health care, etc.) with environmental consequences. It also funds projects designed to aid conservation and is a major participant in a new program, the **Global Environmental Facility**, along with UNEP and the World Bank.

**United Nations Educational Scientific and Cultural Organization** (UNESCO, Paris) ([www.unesco.org](http://www.unesco.org)) facilitates international intellectual endeavors such as improving world literacy. Its mission also includes protecting the world's cultural and natural heritage, and it administers the **Man and the Biosphere Programme** ([www.unesco.org/mab](http://www.unesco.org/mab)) and the list of **World Heritage Sites** (see Box 17.3).

**United Nations Population Fund** (UNFPA, New York) ([www.unfpa.org](http://www.unfpa.org)) gathers population statistics and funds family planning services.

Several other United Nations organizations administer programs that have strong links to conservation issues, including the **Food and Agriculture Organization** (FAO, Rome), the **World Health Organization** (WHO, Geneva), the **World Food Program** (Rome), and the **United Nations International Children's Emergency Fund** (UNICEF, New York).

The **World Bank** (Washington, DC) ([www.worldbank.org](http://www.worldbank.org)) is formally known as the International Bank for Reconstruction and Development, and its goal is to raise the living standards of people in the developing world by distributing funds provided by wealthier nations. It does this primarily through loans and grants for developing infrastructure such as roads, dams, electrical systems, and so on. It has often been criticized for the environmental impacts of its projects, but it is trying to ameliorate these and to initiate conservation projects. There are also regional development banks: the **Asian Development Bank** (ADB), the **African Development Bank** (AFDB), and the **Inter-American Development Bank** (IDB).

The **International Monetary Fund** (IMF, Washington, DC) ([www.imf.org](http://www.imf.org)) was created simultaneously with the World Bank, oversees the international system for currency exchange and loans, and negotiates loans itself.

The **World Trade Organization** (WTO) ([www.wto.org](http://www.wto.org)) deals with the rules of trade between nations, and its goal is to help producers of goods and services, exporters, and importers conduct their business. To date its actions have been widely perceived as harmful to the environment, but it could play a role in removing harmful subsidies and in negotiating environmental treaties.

The **World Conservation Union** ([www.iucn.org](http://www.iucn.org)) (formerly the International Union for Conservation of Nature and Natural Resources and still usually known as the IUCN) is a hybrid organization formed by over 1000 member organizations: governments (chiefly national-level, natural-resource agencies), nongovernmental conservation groups, and research institutions. Its goal is to promote the protection and sustainable use of living resources.

<sup>1</sup> Information primarily from Welsh and Butorin (1990) and websites.

Unfortunately, the world has yet to live up to the expectations of these documents. Moreover, one of the most critical elements of a global conservation ethic – limiting human population growth – is often suppressed in these documents. Objective analyses based on known ecological constraints suggest that human populations are already beyond carrying capacity (Wackernagel et al. 2002). For example, Pimentel et al. (1998) estimate that the populations of North America, currently some 300 million people, and South America, 500 million, are both projected to double in about 50 years, yet each continent can support sustainably only about 200 million people. Why is debate over overpopulation skirted? Some people fear that population control will infringe on basic human reproductive rights, is an affront to cultures that value large families, and is a hidden political agenda to suppress growth of some segments of human society (Seltzer 2002). Others fear that the discussion of overpopulation turns the spotlight on less-developed countries rather than on the wealthy countries whose excessive use of resources makes them equally culpable of causing extinctions (Baltz 1999) (Fig. 17.4).

- 2 Regulating globally shared resources.** Maritime law has regulated human use of the oceans for centuries – making piracy illegal, for example – and this concept has been extended to a few treaties that help protect the marine environment, as well as Antarctica. Unfortunately, a comprehensive treaty for conserving marine resources, a major goal of the United Nations Law of the Sea Conference, has still not been completed. More recently, the atmosphere has been recognized as a collective resource in need of protection. Particular attention has focused on global warming, and the Kyoto Protocols of The Convention on Climate Change are the ongoing attempts to cope with the issue (Cameron 2000).



**Figure 17.4** Based on a *Miami Herald* cartoon, June 1992. (Reprinted with special permission of King Features Syndicate.)

Recognition of species, genes, and nonmarine ecosystems as common resources has been more problematic. However, some longstanding treaties do accomplish the following: (1) protecting natural sites of global significance; (2) conserving organisms that live outside of territorial boundaries or move among nations (e.g. whales and migratory birds); and (3) regulating international trade in endangered species. At the 1992 Earth Summit (officially UNCED, the United Nations Conference on Environment and Development) in Rio de Janeiro, 153 nations signed a biodiversity treaty. The “Rio Summit” put forth many grandiose ideas with good intentions and was followed up by the World Summit on Sustainable Development (the “Johannesburg Summit”) in 2002, which articulated more concrete steps forward under its “Framework for Action on Biodiversity and Ecosystem Management.” See Box 17.3 for the official titles and brief descriptions of some of the major international environmental treaties.

- 3 **Facilitating the sharing of financial resources.** Many international agencies – notably the United Nations Development Programme, World Bank, and International Monetary Fund – were designed to allow richer nations to aid the development of poorer nations through loans or outright donations. In practice, this system has some major shortcomings (e.g. development projects that do more harm than good, aid programs that are designed to aid the donor nations more than the recipients, and exacerbation of the international debt crisis). Despite various problems, a

### BOX 17.3

## Environmental treaties<sup>1</sup>

**The Convention on International Trade in Endangered Species of Wild Fauna and Flora** (CITES) (1973) ([www.cites.org](http://www.cites.org)) controls international trade in endangered species of plants and animals whether they are live or dead, whole organisms, or materials derived from organisms. Species listed in their Appendix I cannot be traded internationally for commercial purposes. International trade in their Appendix II species is regulated and monitored.

**The Convention on the Conservation of Migratory Species of Wild Animals** (1979) protects wild animals that migrate across international borders through international agreements.

**The International Convention for the Regulation of Whaling** (1946) establishes the International Whaling Commission ([www.ourworld.compuserve.com/homepages/iwcoffice/](http://www.ourworld.compuserve.com/homepages/iwcoffice/)) to regulate whaling.

**The Convention on the Conservation of Antarctic Marine Living Resources** (1980) protects the integrity of the ecosystems surrounding Antarctica and conserves marine living resources there.

**The Convention Concerning the Protection of World Cultural and Natural Heritage** (1972) establishes a system of World Heritage Sites that are protected for their natural and cultural values. Another international system of reserves called Biosphere Reserves has been established by UNESCO's **Man and the Biosphere Programme** to demonstrate the integration of rural development and environmental protection.

**The Convention on Wetlands of International Importance, Especially as Water-fowl Habitat** (1971) (often known as the Ramsar Convention because it was signed in Ramsar, Iran) promotes protection of wetland resources in general and establishes a system of Wetlands of International Importance.

**The Convention on the Prevention of Marine Pollution by Dumping of Wastes and Other Matter** (1972) prohibits the ocean dumping of some pollutants and regulates others.

**The United Nations Convention on the Law of the Sea** (1982) ([www.un.org/Depts/los/index.htm](http://www.un.org/Depts/los/index.htm)) establishes a comprehensive legal framework for oceans, including regulation of marine pollution and harvesting natural resources.

**The Protocol on Substances that Deplete the Ozone Layer** (1987) requires reduction in emissions of chlorofluorocarbons and halons that deplete the ozone.

**The Treaty Banning Nuclear Weapon Tests in the Atmosphere, in Outer Space, and Under Water** (1963) prohibits tests that could distribute radioactive debris across international boundaries.

The following five documents were signed by heads of state at the United Nations Conference of Environment and Development (UNCED) ([www.unep.org/unep/partners/un/unced/home.htm](http://www.unep.org/unep/partners/un/unced/home.htm)) in 1992; the first two are binding treaties.

**The Convention on Biodiversity** ([www.biodiv.org](http://www.biodiv.org)) This convention's objectives are "the conservation of biological diversity, the sustainable use of its components, and the fair and equitable sharing of the benefits arising out of the utilization of genetic resources." (This last item has proven contentious, at least in the United States, because it attempts to establish a mechanism by which nations that are the site of origin for a species or gene would benefit financially if this species or gene were developed into a marketable product (e.g. a new medicine) in another country. This treaty has been signed and ratified by 188 nations but not by the United States.)

**The Convention on Climate Change** ([www.unfccc.org](http://www.unfccc.org)) requires stabilization of the concentrations of carbon dioxide, methane, and other greenhouse gases to avoid interfering with the earth's climate. The Kyoto Protocol is the latest manifestation of this convention, but it still has not been ratified (Cameron 2000).

**The Statements on Forest Principles.** A formal treaty on sustainable management of forests could not be negotiated, in large part because industrialized nations insisted that it apply only to tropical forests. A nonbinding statement of 17 principles was signed.

**The Rio Declaration** promotes general principles to guide nations in their programs for development and environmental protection.

**Agenda 21** describes environmental problems and associated issues such as health and poverty and puts forth a series of action plans. These cover the legal, technical, financial, and institutional aspects of tackling a host of problems such as deforestation, desertification, atmospheric pollution, and so on. The difficult part of Agenda 21 was determining how to pay for its estimated cost of \$600 billion per year.

**The Framework for Action on Biodiversity and Ecosystem Management** derived from the World Summit on Sustainable Development (the Johannesburg Summit 2002) outlines concrete steps toward implementing the vision outlined in the Rio Declaration.

**The Durban Accord: Action Plan** resulted from the Fifth World Parks Congress in 2003 and placed protected areas on the global sustainable development and biodiversity agenda by articulating the following six desired outcomes: (1) a global system of protected areas linked to surrounding landscapes and seascapes achieved; (2) improved effectiveness of protected areas management in place; (3) empowerment of indigenous peoples and local communities achieved; (4) significantly greater support for protected areas from other constituencies agreed; (5) new forms of governance, recognizing traditional forms of great value for conservation, implemented; and (6) increased resources for protected areas secured.

1 Information for this box came principally from WRI (1994), Parson et al. (1992), Grubb et al. (1993), and the listed websites.



system for transferring wealth from richer nations to poorer ones is an essential part of biodiversity conservation because many of the poorest nations have a vast array of biota, and it is not fair to expect them to bear the costs of protecting this global heritage alone. The mechanisms are present to facilitate this process, but the political will to use them often seems inadequate.

- 4 **Facilitating the sharing of information.** Biodiversity conservation is a complex enterprise that requires vast amounts of information, and international agencies are uniquely positioned to facilitate this exchange of information through publications, computerized databases, and conferences. From a biodiversity perspective, the most important example of such an enterprise is the World Conservation Monitoring Centre in Cambridge, England, an effort initiated by the World Conservation Union, the World Wide Fund for Nature, and the United Nations Environment Programme that is now run as a function of UNEP.

## Governments

Governments are powerful. They strongly influence human interaction with most elements of biodiversity, as well as many key institutions: economics, education, law, and so on. Ultimate control usually lies with a sovereign nation, but in many cases proximate control is exercised at a smaller scale by state, provincial, county, or municipal governments. In some cases there is considerable overlap between national and local government (Goble et al. 1999; Ray and Ginsberg 1999); for example, having both national and state laws to protect endangered species may make the safety net of laws more thorough, or it may lead to inefficient redundancies (Press et al. 1996). In practice, the actions of governments in protecting biological resources are frequently hobbled by internal corruption and conservationists need to develop and implement policies that address corruption's effects (Smith et al. 2003). In this section we will review some of the most important ways that governments can shape conservation.

- 1 **Developing and enforcing environmental regulations.** Whether by setting a quota for the number of fish that can be harvested, by compelling car manufacturers to install air-pollution-control devices, or by prohibiting farmers and homeowners from wasting water, governments have an enormous, virtually unlimited, scope to protect the public interest by regulating the activities of private individuals and organizations. In theory the only limits on what democratic governments can undertake to conserve biodiversity are constraints imposed by public opinion. In practice, environmental regulations are often constrained by powerful special interest groups, especially those that would prefer not to internalize the environmental costs of doing business. Furthermore, it is much easier to pass laws than to enforce them.
- 2 **Conserving publicly owned resources.** In most countries, virtually all aquatic ecosystems and many terrestrial ecosystems are publicly owned. In these areas governments have a particular responsibility to be good stewards because they are on the front line of natural-resource management, not simply looking over the shoulder of private property owners and trying to motivate them indirectly to promote conservation. This responsibility usually takes one of three basic forms: (1) maintaining a well trained staff of governmental natural-resource managers who

directly manage publicly owned lands and waters; (2) issuing long-term leases to individuals and corporations (e.g. selling grazing rights to ranchers) that are designed to ensure sound conservation; or (3) working with local communities to conserve natural resources that are legally owned by the national government, but that are, practically speaking, owned by local communities that have a long tradition of using the resource. (We will return to communities below.) Additionally, in most countries wild animals are publicly owned because they can move from property to property and here, too, governments have special responsibilities.

- 3 Encouraging conservation through economic policy.** Governments profoundly affect the economics of both individuals and corporations through many mechanisms. They can offer financial incentives (e.g. direct subsidies, or abatement of property and income taxes) for activities that contribute to conservation, as well as financial disincentives (e.g. higher tax rates and fines) for activities that are harmful (Young et al. 2005). Lowering property taxes for land that is used for conservation purposes is one of the best examples of an incentive. Another role is promoting novel economic mechanisms for conservation, such as land use easements (Merenlender et al. 2004) or “species banking,” in which a property owner agrees to not develop sensitive lands in exchange for cash from a species bank, which then collects payments from land owners who wish to develop sensitive land elsewhere, under government-sanctioned guidelines (Fox and Nino-Murcia 2005).
- 4 Supporting environmental education and research.** Most of the world’s schools are public institutions; therefore governments assume a major responsibility for providing students with the education they need to be responsible citizens. Clearly, this includes education that encourages students to be careful stewards of the earth. Similarly, most environmental research is undertaken by governmental agencies and government-funded universities and research institutions; thus governments have the primary responsibility for filling the information vacuum that often hampers conservation.

## Nongovernmental Organizations

“Nongovernmental organization” (NGO) is a term that covers a broad spectrum of groups ranging from the World Wide Fund for Nature, with millions of members throughout the world, to small groups of volunteers that only operate within a single community, sometimes focusing on a single topic like saving a marsh from being developed. Many NGOs have no members at all, only a professional staff supported by grants from foundations, governmental agencies, and corporations. NGOs working on conservation problems are usually easy to label as “conservation” or “environmental” groups, but some groups have their major focus on another issue (e.g. labor, health, indigenous community development, religion) and are involved in conservation because it is linked with their primary concern.

In a perfect world, there might be little need for NGOs because governments would be responsive to their citizens’ desires and effective in meeting their needs. In practice, NGOs have diverse roles to play in the conservation movement. A few are “umbrella” organizations but most have a particular niche (e.g. fostering a global conservation ethic, supporting environmental education in a particular region, or research on a

particular taxon). Here, we will consider just two features that are unique to NGOs and that focus on their interactions with other organizations, especially governments.

- 1 **Representing members to governments and other organizations.** People become members of NGOs because they care, because they support the goals of the NGO, and because they wish to add their voice to the chorus calling for change. NGOs give ordinary people a vehicle for communicating with governments, and sometimes with international agencies and corporations, that are often quite inaccessible to the average citizen. Writing to elected officials and other powerful people is important, as we shall see below, but not everyone who cares can attend an official hearing and give expert testimony. However, along with like-minded people, they can join an NGO and can be represented by experts. When an NGO staff person can say: “I represent 400,000 members of the Save the \_\_\_\_\_ Society,” significant clout is brought to bear.
- 2 **Using their flexibility to undertake actions that are not open to governments.** Governmental bureaucracies can be rather slow and ponderous because they are usually large and hobbled by rules designed to limit power and avoid corruption, so-called “checks and balances.” In contrast, NGOs are nimble, for example, quickly purchasing a critical ecosystem that is in imminent danger of being degraded. Moreover, because they are less encumbered by bureaucracy, NGOs can often undertake the same project at a much lower cost than a governmental agency. Interestingly, NGOs often work in partnership with governments, for example, securing and holding land for governments until their bureaucracy can catch up with the process and assume responsibility. A fundamental part of this flexibility is the fact that NGOs can use monies obtained from their members and foundations rather than public tax dollars. Private funds usually have far fewer strings attached than public funds.

Sometimes, NGOs initiate actions that would be illegal for most governmental agencies: for example, calling for a boycott of products manufactured by an irresponsible corporation or, in extreme cases, acts of civil disobedience such as sabotaging a whaling ship or blocking a road to limit access for oil exploration or unrestrained logging.

## Corporations

Corporations usually have a single primary goal – to make money – but most corporate managers believe that to achieve this goal it is necessary that they be perceived as “good corporate citizens.” Traditionally, this has meant providing stable, high-salaried employment, a safe workplace, generous health and retirement benefits, donations to charitable causes – in other words, being socially responsible. Increasingly, being a good corporate citizen has come to include being environmentally responsible too (Daily and Walker 2000). Moreover, many of the resources corporations seek to capitalize upon can only be secured through cooperative relationships with the communities controlling them. Consequently some corporations are seeking proactive relations with local communities and adequate protection of fragile ecosystems (May et al. 2002).

- 1 **Internalizing the environmental costs of doing business.** At a minimum, this means meeting the standards of environmental regulations; ideally, it means

exceeding these standards. The greatest disincentive to this – competition in international markets with corporations that do not internalize environmental costs – can be solved through international cooperation and trade agreements that “level the playing field” for these costs.

- 2 **Exceeding the standards of environmental regulations.** Some corporations have learned that it can be profitable to exceed environmental standards. Consumers are increasingly concerned about the larger impacts of their consumption choices and prefer to buy products that have been produced in an environmentally sensitive manner. This phenomenon, often known as green-labeling, first became prominent with “dolphin-free” labels on cans of tuna fish. It has long been recognized that good public relations are important to corporate success, and green labels are a mechanism for codifying the responsible behavior of corporations and conveying it to the public. Green-labeling involves an independent agency certifying that a corporation has met or exceeded high standards for responsible behavior (Bennett 2000). Examples are certification programs for “green” coffee (Perfecto et al. 2005) and forest products (Guynn et al. 2004). It must not be confused with the advertising many corporations use to promote themselves as good citizens; this is often based only on the corporation’s image of itself.
- 3 **Finding innovative ways to advance conservation.** Some corporations have found ways to promote conservation that are completely divorced from environmental regulations (PCEQ 1993). For example, some manufacturers have used their packaging to carry conservation messages to their consumers. Corporations that own land can take a proactive approach to conservation, ranging from planting native plants instead of exotic species on the grounds around a corporate headquarters to restoring degraded ecosystems and extirpated species on large tracts. Others make sizable donations to conservation groups whose agendas are consistent with corporate shareholders or employees. Some do it to enhance their own image, such as the ExxonMobil Foundation’s significant contributions to the conservation of tigers, which are also the corporation’s emblem.

## Communities

Groups of people who live in the same area, who share common resources, who are confronted with common problems, or who share common interests can be a very effective force for conservation (Bernard and Young 1997). This is particularly true in the rural areas of many developing countries where access to natural resources is often based on traditional uses rather than on private property rights. In other words, in these places people’s right to harvest wild plants and animals is based on the fact that their family has done so for generations, rather than on a legal document giving them exclusive ownership.

In situations such as this, effective conservation requires empowerment of the communities. From the governmental side, this begins with recognizing communities’ rights. From the community side, it begins with recognizing the need for cooperation, both internally and between the community and the government. Once these hurdles are passed, the process requires sharing control between communities and governmental officials so that both community interests (e.g. continued access to natural



resources such as firewood and livestock fodder) and national or global interests (e.g. maintaining biodiversity or minimizing atmospheric pollution) are met. This sharing of the authority and responsibility of management by different stakeholders has been called *comanagement* or *participatory management*. See Berkes (1989) and West and Brechin (1991) for more information. Some of the best examples of comanagement involve fishing, where local fishers have banded together to form cooperatives, and the government has collaborated with these cooperatives to ensure sound fisheries management (Acheson et al. 2000; Jentoft 2005).

## Individuals

Last and most importantly, there are individuals. All organizations are simply assemblages of individuals, and all actions begin with one person, one catalyst. The standard advice for individual conservationists is “Think globally, act locally.” Here are seven things you can do to follow this advice.

- 1 **Be informed.** Read voraciously. Listen attentively. Think critically. Learn your whole life long (Fig. 17.5). Knowledge confers power. The conservation movement needs some emotion and subjectivity, but it has an acute need for people with facts and objectivity. As Patrick Moynahan, a prominent politician, once observed, “while each of us is entitled to his own opinions, none of us is entitled to his own

**Figure 17.5**

Remaining a life-long learner is one of the most important traits of any successful conservation biologist. These people are keenly inspecting a basin full of leaf litter in hopes of seeing a small forest-dwelling frog, Kihansi Gorge, southeastern Tanzania. (Photo from J. Gibbs.)



facts.” It is easy to be a “do-gooder”; it is more difficult to be a “good do-er,” someone who has what it takes to be effective – including knowledge and credibility.

- 2 **Become experienced.** Information is not enough; you need wisdom too, and wisdom comes with experience. Experience can come with age, but there are short-cuts. Travel is one way. Immerse yourself in another culture, another biota, for a few months or years. If that is not possible, seek out people from other cultures who live nearby and talk with them. Try to see the world as they do. By all means learn another language. Colleges and universities are wonderful places to do all these things because they are intended to be collegial and universal. Also, travel where you live; get out and explore your local environment whenever you can. Our strongest motivations to “change the world” are often rooted in a strong “sense of place” and devotion to our homeland.
- 3 **Communicate.** Write or call your elected representatives, your local newspaper, corporations, or anyone who is in a position to make a difference, and tell them what you think. Letters and phone calls make a huge difference. The environmental movement was founded in grassroots activism, and its strength still lies there (Fig. 17.6). Also, do not limit your communication to distant officials. Talk to your family, friends, and colleagues about conservation. Change begins at home.
- 4 **Make your lifestyle consistent with your values.** In other words, do not be a hypocrite: practice what you preach. This can be difficult. It is easy to be self-righteous around people who own big, gas-guzzling sport utility vehicles, have more than two children, eat lots of meat, and so on, but are you more virtuous than those few of your fellow citizens who have no cars, are 100% vegetarian, live in small houses heated only with wood that they grow themselves? Live as frugally as you can and still be happy. On this note, be aware that overconsumption in industrialized nations is at the root of many personal, social, environmental, and spiritual troubles (Naylor et al. 2001). Last, make a conscious decision about having children (see, for example, Hall et al. 1995).
- 5 **Support conservation groups.** Many people are quite generous with their personal monies; United States citizens alone donated over \$185 billion in 2002 to charitable causes (Anft and Lipman 2003). The lion’s share of these funds goes to religion (about 35%), health (15%), and education (13%); conservation groups



**Figure 17.6** There are many things you can do as an individual to “think globally, act locally” like the environmental educator shown here. Remain informed, gain experience, learn to communicate effectively, make your lifestyle consistent with your values, support conservation groups, and even consider becoming a professional conservationist. (Photo from D. Andrew Saunders.)

receive less than 5% (as do the arts and humanities). If you think this distribution is unbalanced, then you should consider directing most or all of your charitable donations to conservation groups. If you have little money, give your time; most conservation groups make extensive use of volunteers and interns (in exchange for valuable experience to you).

- 6 **Become a professional conservationist.** Around the world millions of natural-resource managers, scientists, educators, and the like have dedicated their lives to conservation. The financial rewards may be modest, but the personal satisfaction offers substantial compensation. *Do it now.* Try not to think of yourself as a student who happens to be majoring in biology, natural-resource management, or whatever; think of yourself as a professional conservationist who happens to be a student. In the intellectual hierarchies of colleges and universities, you may feel unprepared to speak out with authority. However, having successfully read this far in the book you already are something of an authority on biodiversity conservation and very much so relative to the general public's knowledge on the topic. Join a professional society such as the Society for Conservation Biology and attend its meetings. Many professional societies have local and student chapters. Seek out a mentor and ask that person lots of questions.
- 7 **Keep your perspective.** It is easy to get depressed when contemplating the magnitude of the biodiversity crisis and when evaluating your chances of making a measurable difference. To avoid this, keep your perspective focused on an appropriate temporal and spatial scale. Make life better where you live, in your lifetime. Also, take heart that there have been some miraculous success stories in conservation that originated through the actions of individuals like you. Chico Mendes, Rachel Carson, John Muir, Wangari Mathai, and many others had very humble origins, but accomplished great things for wild creatures.

## Summary

Conservation action begins with individuals, and there is much that *you* can do to assist with efforts to maintain biodiversity. First you must recognize the limitations on resources for conservation work and prioritize actions. Efficiency often dictates that we focus on large-scale entities (ecosystems rather than species or genes), especially those that are at risk at a global scale. Choosing specific sites for conservation management involves weighing multiple criteria such as size, representativeness, rarity, condition, and feasibility. In choosing tasks, we must be careful not to focus solely on planning and implementing conservation action and, thereby, neglect the monitoring that can lead to modifications of our actions. Finally, the overriding priority is to try to deal with the root causes of biodiversity loss, rather than the symptoms, mainly human overpopulation and excessive consumption. Once priorities have been set, politics and action requires working with other people in the context of various types of institutions: international and governmental agencies, conservation groups and other nongovernmental organizations, local communities, and corporations. Each of these has a special role to play in the conservation movement. Ultimately, every person has the right to enjoy the manifold benefits of biodiversity and with that right comes the responsibility to work to maintain biodiversity. This work must go forward within the fabric of social, economic, and political realities.

### FURTHER READING

Book length treatments of conservation priorities include Usher (1986), Spellerberg (1992), and Johnson (1995) (available on the web at [www.worldwildlife.org/bsp/](http://www.worldwildlife.org/bsp/)). An internet search on “conservation priorities” will generate scores of websites where various conservation organizations describe their priorities for action. The “Framework for Action on Biodiversity and Ecosystem Management” derived from the 2002 Johannesburg Summit gives substantial insight into concrete steps toward implementing global approaches to conservation (see [www.johannesburgsummit.org](http://www.johannesburgsummit.org)). The Conservation Measures Partnership ([www.conservationmeasures.org](http://www.conservationmeasures.org)) is a key resource for bringing standard practice to conservation programs. Similarly, the Alliance for Zero Extinction is a global consortium of conservation organizations that seeks to prevent extinctions by identifying and safeguarding key sites for biodiversity ([www.zeroextinction.org](http://www.zeroextinction.org)). For activities directly relevant to college campuses and conservation students, see Marzluff (2002), Wellnitz et al. (2002), and Inouye and Dietz (2000). World Resources 2002–2004 and its periodic revisions (United Nations Development Programme et al. 2003) are important compendia of information on which to base action. For popular accounts of biodiversity to share with people, see Grumbine (1992) and Wilson (1992). Schaller (1993) gives a good account of the politics that have surrounded giant panda conservation. Mulder and Coppolillo (2005) provide a particularly lucid presentation of the linkages between biodiversity, politics, economics, and culture. Many journals carry articles related to conservation biology; the two most important are *Conservation Biology* and *Biological Conservation*. URLs for the websites of major international agencies and certain treaties are given in Boxes 17.2 and 17.3.

### TOPICS FOR DISCUSSION

- 1 If you had to choose between purchasing 1000 ha of mature forest to establish a reserve or buying 2500 ha of recently cut forest for the same price, which would you choose? Assume that the mature forest was partially cut forty years ago and that the recently cut forest received a similar cut (about half the mature trees removed) two years ago.
- 2 How does the motto “Think globally, act locally” square with the idea that priorities for conservation action should be at a global scale? What should conservationists who live in a low priority region do?
- 3 If you believe that all species have intrinsic value should you consider any other issues besides risk of extinction when deciding which species should be a high priority for conservation action?
- 4 If everybody made the same personal choices as you, would there be a biodiversity crisis on earth?
- 5 Do you think corporations that undertake environmental activities are sincere or driven by public relations concerns? Do their motivations matter?
- 6 How would natural-resource management in your area be different if policies were determined entirely by local communities without influence from state and national governments?
- 7 What species or ecosystems are threatened in your area? What can you do to help them?
- 8 Identify one obstacle that hinders you from taking political action. How can you overcome it?
- 9 How can the different entities described in this chapter work together more effectively?





# Epilogue

In a universe too vast to comprehend, life is but a tiny mote, an extraordinarily rare and precious jewel. Nevertheless, life is demonstrably resilient. It has persisted for over three billion years, and will almost certainly continue for billions of years more. Moreover, a few hundred million years from now a visitor to planet earth will probably be barely able to detect that *Homo sapiens* ever existed. For some people, it may be comforting to have this big picture firmly in view because it offers a path to freedom from despair over the earth's extinction crisis. However, we cannot allow such intellectual ponderings to be used as a veil to disguise apathy. The earth's biota is a beautiful, incredible thing now. Species are being lost today because of human greed, desperation, or ignorance, and each loss is a tragedy. Collectively, with thousands, probably millions, of species in jeopardy we are unraveling the tapestry of life. Although this will not lead to the eradication of life on earth, it will, unless stopped, lead to an enormously diminished quality of life for each of us. Fortunately, each of us has the opportunity to make a difference. At a personal scale we can create a better environment for ourselves and the organisms that live nearby. We can also find ways to work with others to fashion solutions to the more complex problems that link biodiversity, economics, politics, and culture. Collectively, we can choose to live in a world where a chorus of birds marks the dawn, where flowers and butterflies and a myriad of other creatures wait to make every day rich and full of wonderment.



# Glossary

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## A

**Acclimatization societies:** social groups composed of European colonists during the late 19th and early 20th centuries whose sole purpose was to introduce new species; motivated largely by a love of nature and nostalgia for species left behind in Europe, e.g., songbirds to New Zealand

**Adventive plants:** term used by botanist for plant species living outside its native range

**Agricultural seed banks:** collections of seeds embraced by international agricultural community as an effective resource for preserving genetic variability in crops

**Alien plants:** term used by botanist for plant species living outside of its native range

**Allee effect:** the positive relationship between population density and the reproduction and survival of individuals (Warder Allee)

**Alleles:** differing configurations of DNA occupying the same locus on a chromosome; differences in the distributions of alleles are the foundation of measuring genetic diversity

**Allozymes:** enzymes that differ because of allelic differences

**Alpha diversity:** species diversity that exists within an ecosystem

**Angiosperms:** flowering plants

**Anthropocentric:** believing people are the center of the universe; people-centered

**Area-sensitive species:** species that do not occur in small patches of habitat

**Attrition:** a stage in the process of fragmentation when only very small, very isolated patches of natural vegetation remain

**Augmentation program:** release of individuals (wild or captive) into existing population to increase its size and genetic diversity

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## B

**Bequest value:** knowing that something exists simply because it might be of use to future generations, even though it is not used now

**Beta diversity:** among ecosystems, species diversity

**Biocentric:** believing that life, in all its various forms, is the center of the universe

**Biochemical screening:** testing organisms for their unique biochemical properties

**Biodiversity:** the variety of genes, species, and ecosystems in a given place or the world

**Biological control:** introduction of exotic species to control other exotic species

**Biomagnification (bioamplification):** a process whereby fat soluble chemicals (pesticides and PCBs) accumulate in the tissues of one species and pass from prey to predators, becoming more concentrated as they travel up the food chain

**Biophilia:** E.O. Wilson coinage for “love of life” which encompasses our aesthetic, spiritual, and emotional affinity for other species

**Bioregion:** geographic region based on ecological factors, not political boundaries

**Bioregionalism:** organizing conservation efforts around ecological regions



**Biotic (biological) integrity:** the completeness or wholeness of a biological system, especially including the presence of all the species at appropriate densities and the occurrence of all ecological processes at appropriate rates

**Bleaching:** unusually warm water temperatures thought to cause the massive death of coral polyps

**Built ecosystems:** human-made structures, e.g. cities, factories, mines, highways; urban areas and other places intensively used by people

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## C

**Catastrophes:** events such as droughts or hurricanes that occur at random intervals

**Census population size:** actual number of individuals in a population

**Centinelan extinctions:** phenomenon of species becoming extinct before they are described (E.O. Wilson)

**Channelizing:** making rivers and streams straighter, wider, and deeper and replacing shoreline vegetation with banks of stone and concrete

**Chlorinated hydrocarbons:** fat soluble chemicals (including DDT and PCBs) that pass through food chains, causing long-term and insidious damage

**Climate flickers:** extraordinarily rapid climate changes

**Coarse-filter approach:** concept of maintaining biodiversity by protecting a representative array of ecosystems

**Colonization event:** appearance of a subpopulation, e.g., a species of grass colonizing a forest opening after a tornado creates the opening

**Connectivity:** a quality of landscapes in which organisms can readily move among patches of habitat

**Conservation biology:** applied science of maintaining earth's biological diversity; crisis discipline focused on saving life on earth

**Conservation easements:** agreement to purchase certain property rights from landowners so that they can continue their traditional use of the land but cannot convert it to more intensive use such as housing, factories, or mines

**Conservation forensics:** identification of illegally collected species

**Conservationist:** advocate or practitioner of sensible and careful use of natural resources

**Consumptive use:** using something in such a manner that it is no longer available for someone else to use (harvesting an oak tree or cod)

**Contaminant:** substance which infects or makes impure by introducing foreign or undesirable material

**Contingent valuation:** survey method asking for the maximum values that users would pay for access to a particular activity

**Controller species:** major role in controlling movement of energy and nutrients

**Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES):** an agreement among a group of countries to ban commercial international trade in an agreed list of endangered species and to regulate and monitor trade in others that might become endangered.

**Core subpopulations:** subpopulations that persist for relatively long periods

**Corridors:** linear strips of protected ecosystems designed to maintain connectivity

**Critically endangered:** a taxon that is facing an extremely high risk of extinction in the wild based on several objective criteria



**Cross-fostering:** a method used to save species at risk whereby one species is used as a “foster parent” to the offspring of another species

**Cryopreservation:** *ex situ* storage of semen, embryos, or microbial organisms through storage at extremely low temperature, commonly in liquid nitrogen or its vapors

**Cryptic species:** genetically isolated species, not readily distinguished based on morphology

**Cultivar:** variety of plants a farmer selects for growing

**Cultivated ecosystems:** largely agricultural land; places where natural ecosystems have been replaced with a sparse assemblage of exotic and native species used in our production of food, fuel, and fiber

**Cultural transmission:** information transmitted through individuals and generations through a learning process, e.g., methods for exploiting novel food items

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## D

**Damming:** building barriers on rivers to impede water flow. Dams also stop or inhibit the movement of organisms; their effects on hydrology can alter ecosystems profoundly both upstream and downstream

**Debt-for-nature swaps:** conservation groups and wealthier nations purchasing discounted debt bonds from poorer nations to generate funds for conservation

**Deforestation:** conversion of forest to a nonforested ecosystem, persisting for a significantly prolonged period

**Demographic population:** group of interacting individuals of the same species whose structure and dynamics are relatively independent of other groups

**Desertification:** land degradation of grasslands and woodlands until they are dominated by sparse, relatively unproductive vegetation, resulting mainly from adverse human impact

**Diking:** construction of earthen banks along edges of water bodies to prevent flooding

**Dispersal:** movement of young plants and animals away from their parents

**Dissection:** a stage in the process of fragmentation when natural ecosystems are cut by roads and other human-made structures

**DNA sequencing:** determining the sequence of adenine, thymine, cytosine, and guanine for a given allele

**Domestication:** taming of wild species

**Dominant species:** constitutes a large portion of the biomass of an ecosystem

**Double-clutching:** transferring eggs from a rare mother bird to an incubator or a bird of a related species to raise, forcing mother to lay and raise a second “clutch” of eggs

**Draining:** lowering the water table by moving the water in a wet ecosystem elsewhere

**Dredging:** digging up the bottom of a water body and depositing the material elsewhere, often in a wetland that someone wants filled

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## E

**Early successional colonizers:** species adapted to disturbed ecosystems

**Ecocentric:** believing that life, in all its various forms, is the center of the universe

**Ecological management:** use of natural ecosystems as a model for resource management

- Ecologist:** scientist who studies relationships between organisms and their environments, often used as synonym for environmentalist
- Economic incentives and disincentives:** encouraging people to maintain biodiversity by giving subsidies and tax breaks, or, conversely, imposing penalties such as fines and tariffs on activities that degrade or overexploit natural resources
- Economic values:** utilitarian value of species, (e.g., food, medicine, clothing, shelter, fuel, tools, services, recreation)
- Ecoregion:** geographic region based on ecological factors, not political boundaries
- Ecosystem:** a group of interacting organisms (usually called a community) and the physical environment they inhabit at a given point in time
- Ecosystem degradation:** occurs when alterations to an ecosystem degrade or destroy habitat for many of the species that constitute the ecosystem
- Ecosystem integrity:** the quality of an ecosystem in which its constituent species and natural ecological processes are sustained
- Ecosystem loss:** occurs when the changes to an ecosystem are so profound and so many species are lost that the ecosystem is converted to another type
- Ecosystem restoration:** the return of an ecosystem or habitat to its original community structure and ecological functions; see reclamation, rehabilitation, and replacement
- Ecotone:** edge between two adjacent ecosystems
- Ecotourism:** travel undertaken to witness sites or regions of unique natural or ecological quality, or the provision of services to facilitate such travel
- Ectothermic:** dependent on environmental heat; “cold blooded”
- Effective population size:** number of individuals in a theoretically ideal population that would have same magnitude of random genetic drift as the actual population
- Endangered species:** a taxon that is facing a very high risk of extinction in the wild based on several objective criteria
- Endemic:** a species found only in a defined geographic area (e.g., koalas in Australia)
- Endocrine disruptors:** contaminants that are thought to cause problems by mimicking the action of the female sex hormone estradiol, causing sterility, delayed sexual maturity, abnormal sex organs, and an array of other problems
- Endothermic:** generating own body heat; “warm blooded”
- Enhancement, ecosystem:** any activity that improves the value of an ecosystem, even if the change is limited or the ecosystem has not been degraded (for example, installing water holes in desert reserves)
- Environmental stochasticity:** refers to random variation in parameters that measure habitat quality such as climate, nutrients, water, cover, pollutants, and relationships with other species
- Environmentalist:** someone concerned about impact of people on environmental quality
- Ethnobotany:** the study of the way plants are identified, classified, and used by various cultural groups
- Eutrophication, cultural:** an increase in the amount of nutrients, especially nitrogen and phosphorus, in a marine or aquatic ecosystem resulting from human activities
- Evenness, species:** component of diversity based on relative abundance of different species
- Ex-situ conservation:** maintaining organisms outside of their natural habitat
- Existence values:** Non-monetary value of human pleasure in fact of other species’ very existence; the value of simply knowing that something exists even if one may never encounter it (e.g., snow leopard in central Asia)
- Exotic species:** a species living outside its native range

**Explicit costs:** money it costs to utilize environmental technology in restoring ecosystems, reducing environmental pollution or energy use, etc.

**Exploitation:** fundamental human activity to make use of wild plants and animals; including commercial, subsistence, recreational, nonconsumptive, indirect, incidental

**Extinct:** a taxon is extinct when there is no reasonable doubt that the last individual has died

**Extinct in the wild:** a taxon is extinct in the wild when it is known only to survive in cultivation or in captivity, or used to be extinct in the wild until successfully introduced

**Extinction:** disappearance of a species from the earth

**Extirpation:** small-scale disappearance of a species

**Extractive reserve:** allows limited extraction of resources, for example, collecting nuts and fruit, and tapping rubber trees, or subsistence hunting and fishing for native people

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## F

**Feral:** species having escaped from a state of domestication and reverted to the original wild or untamed state

**Filling:** material put in a wet depression until the surface of water table is well below ground, turning a wet ecosystem into a dry one

**Fire suppression:** removing fire from a fire-dependent ecosystem

**Flagship species:** charismatic species that captures the public's heart and wins support for its conservation; often a fellow mammal

**Founder event:** a few individuals arrive in a new area and establish a new population that is inevitably small at first, likely reducing genetic diversity

**Fragmentation:** process by which a natural landscape is broken into small parcels of natural ecosystems isolated from one another in a matrix of lands dominated by human activities

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## G

**Gaia hypothesis:** idea that all life on earth might constitute a giant, well-organized, self-regulating organism

**Game cropping:** systematic harvest of wild (neither domesticated nor captive) larger mammals, birds, and reptiles

**Game ranching/farming:** raising undomesticated large mammals such as bison in North America, or eland in Africa, within fenced areas

**Gamma diversity:** geographic-scale species diversity

**Gap analysis:** priority-setting technique that identifies gaps in the network of reserves designed to protect species and ecosystems

**Gene:** the functional unit of heredity; the part of the DNA molecule that encodes a single enzyme or structural protein on it

**Genetic bottleneck:** a phenomenon in which the genetic diversity of the original larger population is likely to be reduced because only a sample of the original gene pool will be retained

**Genetic diversity:** variation in the genetic composition of individuals within or among species; the heritable genetic variation within and among populations

- Genetic population:** a group possessing an allele not shared with another group, or alternatively, a group that shares less than 95% of its genetic variability with another group (Sewall Wright)
- Genetic stochasticity:** random variation in the gene frequencies of a population due to genetic drift, bottlenecks, inbreeding, and similar factors
- Genetic swamping:** when genes of one species come to dominate a common gene pool, largely excluding the genes of the second species
- Genetically modified (or genetically engineered) organisms (GMO/GEO):** organisms that have been changed by the introduction, removal, or suppression of genes using DNA manipulation technology
- Geographic Information System (GIS):** computer system for capturing, storing, checking, integrating, manipulating, analyzing, and displaying data related to positions on the earth's surface
- Germplasm:** the genetic material, especially its specific molecular and chemical constitution, that comprises the physical basis of the inherited quality of an organism
- Ghost nets:** lost gill nets which drift for months or years, still catching enormous quantities of fish, diving birds, seals, and other creatures
- Green-ways:** ecological connectivity in urban ecosystems by way of vegetated ribbons for walking and biking
- Greenhouse gases:** gases known to allow solar radiation to penetrate the atmosphere and warm the earth's surface, but to inhibit reradiation of energy back into space, causing the so-called "Greenhouse effect"
- Growing-out:** when viability of seed in seed banks deteriorates, this necessitates removing them from storage, growing new plants, then harvesting and storing the new seeds
- Gymnosperms:** plants, such as conifers and cycads, whose seeds are bare, the ovules not being enclosed in an ovary

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## H

- Habitat:** the physical and biological environment used by an individual, a population, a species, or perhaps a group of species
- Habitat degradation:** the process by which habitat quality for a given species is diminished, e.g., when contaminants reduce an area's ability to support a population
- Habitat generalists:** species adapted to a more varied habitat and as a result less vulnerable to extinction than habitat specialists
- Habitat loss:** when habitat quality is so low that the environment is no longer usable by a given species
- Habitat specialists:** species confined to a very specific habitat and as a result more vulnerable to environmental change
- Hatchery raising ("Head Start" program):** eggs collected and placed in ideal hatching conditions, cared for by humans during their vulnerable early stages, and then released into wild or raised in captivity
- HCA:** Habitat Conservation Areas
- Heterosis:** phenomenon whereby heterozygous individuals are more fit in terms of phenotypic characteristics than homozygous individuals
- Heterozygosity:** index of genetic diversity defined as proportion of percentage of genes at which the average individual is heterozygous
- Heterozygous:** possessing two different forms of a particular gene, one inherited from each parent



**High-grading:** forestry practice whereby the best formed trees are harvested and the worst formed or diseased trees are left behind; thought to cause an alteration of population's genetic structure

**Homozygous:** possessing two identical forms of a particular gene, one inherited from each parent

**Hormonally active agents:** contaminants that are thought to cause problems by mimicking the action of the female sex hormone estradiol, causing sterility, delayed sexual maturity, abnormal sex organs, and an array of other problems

**Hot spots:** areas that conservationists believe should have a high priority for establishing reserves because they are host to an unusually large number of species, e.g., tropical forests and coral reefs; home to endemic species, e.g., Madagascar, southwestern Australia; or, areas experiencing exceptional loss of habitat

**Hybridization:** offspring resulting from interbreeding between two apparently distinct species

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## I

**Implicit costs:** loss of opportunity to profit from natural resources when environmental regulations are imposed

**In-situ conservation:** protecting and maintaining organisms in their natural habitat

**Inbreeding depression:** loss of fitness in genetically uniform populations through breeding between closely related individuals

**Incidental exploitation:** catching species accidentally while harvesting other target species

**Indicator species:** health of these populations is an easy-to-monitor indication of environmental conditions or status of other species.

**Indirect exploitation:** human activities that indirectly kill other organisms, e.g., roads, fences, antennas, overgrazing, predation of domestic animals, or our introduction of exotic species

**Indirect use:** knowing and valuing an ecosystem or species only through books and films, but never actually encountering them personally

**Instrumental value:** the importance of a species because of its utility to people and other species

**Integrated pest management (IPM):** use of natural enemies of pests, specific cultivation practices (e.g., mixing crops), and limited use of pesticides to achieve pest control

**International Species Inventory System (ISIS):** global system for keeping track of captive animal populations

**Intrinsic value:** the internal importance of a species without any reference to its usefulness for people or other species

**Introduced species:** term used to describe a species moved by humans to areas outside its native range

**Invaders/Invasive species:** term used for exotic populations that are expanding dramatically

**Island biogeography theory:** number of species on an island represents a balance between immigration and extinction which will keep the number of species on any given island relatively constant

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## K

**Keystone species:** species that play critical ecological roles that are of greater importance than we would predict from their abundance (e.g., beavers; purple sea star)

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**L**

**Landraces:** crop grown locally, often in only one small area of the world by traditional farmers

**Landscape:** a large-scale mosaic of ecosystems often consisting of a matrix with patches (small ecosystems) imbedded within it

**Lethal recessives:** alleles that are fatal when they come together in a homozygous recessive individual

**Limnology:** study of the chemistry, biology, and physics of freshwater

**Local endemic:** species found only in a small area (e.g., a small, isolated island)

**Local extinction:** disappearance of a species from a small area (e.g., beavers from small watershed)

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**M**

**Mangal or mangrove swamps:** ecosystem dominated by woody plants found in tropical intertidal environments

**Mean kinship:** pedigree information that allows *ex situ* conservationists to calculate a measure of relatedness to decide who should mate with whom to maintain genetic diversity

**Metapopulations:** a model of population structures whereby each patch of habitat contains a different subpopulation of a species, and a group of different patch populations is collectively called a metapopulation

**Minimum viable populations (MVP):** The smallest viable population having a good chance of surviving for a given number of years despite the foreseeable effects of demographic, environmental, and genetic events and natural catastrophes

**Mitigation of environmental impact:** four major forms are: 1) impact avoided altogether 2) if impact cannot be avoided, site should be restored or rehabilitated 3) if impact is permanent, another nearby site should be restored to replace lost one 4) purchase and permanently protect natural ecosystems at a ratio of several hectares protected for every one lost

**Modified ecosystem:** ecosystem subject to management for commodities (e.g., wood, livestock, fish), that leaves the ecosystem in a semi-natural state

**Multiple-use module (MUM):** idea that a reserve is a central core buffered by concentric circles of ecosystems with decreasing degrees of naturalness

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**N**

**Natural disturbances:** fires, floods, hurricanes, insect outbreaks, earthquakes, etc., that can initiate ecological succession and are often critical in maintaining natural structure and function of ecosystems

**Near threatened (NT):** A taxon is Near Threatened when it has been assessed against objective criteria and does not qualify for Critically Endangered, Endangered, or Vulnerable now, but is likely to qualify for a threatened category in the near future.

**Nonconsumptive use:** use that does not eliminate or substantially reduce value of something (e.g., naturalists viewing wildlife)

**Nonconsumptive use of wildlife:** non-hunting activities, including naturalists viewing or photographing, divers touching coral reefs, or the growth in ecotourism which can harm species in their natural habitats

**Nongovernmental organizations (NGOs):** a term covering a broad spectrum of private, not-for-profit groups

**Nonindigenous species:** term used to describe species living outside of its native range

**Nonnative species:** term used to describe species living outside of its native range

**Nonpoint sources:** pollutants originating from broad areas, e.g., runoff of pollutants from fields, lawns, and streets

**Nurse logs:** fallen trees which provide reservoirs of nutrients and moisture for seedlings

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## O

**Orthodox seeds:** seeds of certain plant species that remain viable when exposed to cold, dry conditions that reduce metabolic activity

**Outbreeding depression:** loss of fitness resulting from mating between individuals that are too genetically dissimilar

**Overexploitation:** human overuse of a population of organisms that seriously threatens its viability or radically alters the natural community in which it lives

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## P

**Patchy distributions:** species occurring in discrete patches of habitat

**Pedigree:** a record of the genetic history of an individual

**Perforation:** a stage in the process of fragmentation when natural landscapes have been broken by patches of human-altered vegetation such as agricultural fields

**Phenotypic characteristics:** refers to a species' adaptation to surrounding conditions, which are neither stable nor capable of being inherited

**Point sources:** pollutants originating from specific sites, e.g., factories

**Pollutant:** any substance or agent that causes pollution

**Polluter-pays principle:** idea that business must include pollution control in their costs

**Polygynous:** one male mating with multiple females

**Polymorphic gene:** a gene in which the frequency of the most common allele is less than some arbitrary threshold (often 95%)

**Polymorphism:** an index of genetic diversity based on the proportion or percentage of genes that are polymorphic

**Population viability analysis (PVA models):** method for organizing and enhancing our understanding of factors that shape a population's likelihood of persistence, and for comparing the effects of different management alternatives on relative probabilities of extinction

**Potential value:** the concept that all life-forms may have undiscovered worth

**Preservationist:** an advocate of allowing some land and some creatures to exist without significant human interference

**Protein electrophoresis:** indirect method of measuring genetic diversity by determining rate at which enzymes move through a gel when subjected to an electrical field

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**R**

- Random genetic drift:** change in gene frequencies likely to occur in small populations because each generation retains just a portion of gene pool from previous generation
- Rare alleles:** alleles that have a frequency below some threshold; usually 0.05, 0.01, or 0.005
- Rare species:** species that are geographically specific, or habitat specific, or have naturally small populations
- Recalcitrant seeds:** species whose seeds cannot tolerate desiccation or freezing
- Recessive deleterious alleles:** potentially harmful alleles that are only expressed in homozygous individuals because they are recessive (i.e., not dominant)
- Reclamation, ecosystem:** shifting a degraded ecosystem back toward a greater value or higher use, but not all the way to its original state (e.g., reclaiming a mine site as a grazing pasture, rather than restoring it to a natural grassland)
- Red tide:** excess of nutrients causing explosive growth of plankton; a result of water pollution upsetting the equilibrium of marine food webs
- Rehabilitation, ecosystem:** see reclamation
- Reintroduction program:** releasing captive-bred or wild-collected individuals into an ecologically suitable site within their historic range where the species no longer occurs, with the intention of creating a new population in its original environment; terms to denote this are restorations, reestablishments, or translocation
- Replacement, ecosystem:** creating a completely new ecosystem out of a degraded one (e.g., creating a marsh in a mine pit that was formerly a forest, or replacing terrestrial ecosystems with wetlands)
- Rescue effect:** subpopulations are saved from extinction by immigration from other subpopulations
- Reserve:** used in text as a generic term for areas in which natural ecosystems are protected from most forms of human use
- Restoration ecology:** discipline that focuses on methods for restoring the structure and function of ecosystems degraded by human activities
- Richness, species:** number of different species in an ecosystem
- Riparian:** shoreline

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**S**

- Salinization:** common when irrigation is used in arid environments; large volumes of water evaporate, leaving behind sodium chloride and other salts that can reach toxic concentrations
- Satellite subpopulations:** subpopulations that are likely to be small and a net sink, and which have rapid turnover
- Secondary compounds:** organic chemicals in plants that deter animals and may lend themselves to medicinal use
- Seed banks:** collections of seeds from the wild and from cultivated plants
- Seminatural ecosystems:** ecosystems modified by human activities, e.g., logging, fishing, grazing, but which are still dominated by native species
- Shade intolerant trees:** trees that can regenerate only in openings
- Sibling species:** genetically isolated species, not readily distinguished based on morphology
- Sinks:** subpopulations that cannot maintain themselves without a net immigration of individuals from other subpopulations
- SLOSS (*single large or several small*):** debate regarding optimal size of reserves



**Soil erosion:** process whereby soil is removed especially by water and wind; it is greatly accelerated by human use of ecosystems, e.g., agriculture, overgrazing, timber harvesting, roads, construction

**Sources:** subpopulations which produce a substantial number of emigrants that disperse to other patches

**Species:** groups of actually or potentially interbreeding natural populations, which are reproductively isolated from other such groups (this is one of several alternative definitions)

**Spiritual value:** aesthetic, emotional, and spiritual affinity for other species

**Strategic value:** value of a species or ecosystem in achieving broader conservation goals; see flagship, indicator, and umbrella species for examples

**Studbooks:** pedigree records of captive populations for purpose of maintaining genetic diversity in *ex situ* conservation circles

**Sustainability:** ability to maintain something over period of time without diminishing it

**Sustainable agriculture:** often local and low-input style that avoids manipulating the environment with fertilizers, insecticides, herbicides, and irrigation

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## T

**Threatened species:** category of jeopardy one step below “endangered”

**Tragedy of the commons:** when biological resources are open to unrestricted access, and an imbalance of costs and benefits can drive overexploitation within a single community

**Translocation:** moving plants or animals from a location where they are about to be destroyed to another site that will provide greater protection; also sometimes called reestablishments or restorations

**Trash fish:** undesirable and abundant native species that compete with preferred species

**Triage approach:** idea that priority should be given to species with a reasonable chance of surviving if given attention

**Turnover:** subpopulations appearing and disappearing due to colonization and local extinction

**Typology of attitudes:** systematic sociological scale to determine peoples' attitudes toward animals

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## U

**Umbrella species:** species with large home ranges and broad habitat requirements; protecting habitat for their populations protects habitat for many other species across a broad set of ecosystems (e.g., tiger)

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## V

**Vulnerable (VU):** A taxon is Vulnerable if it faces a high risk of extinction in the wild based on several objective criteria

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## W

**Waterlogging:** raising of water table to the surface as a result of farmers using water to leach salts lower into soil to solve problem of salinization in irrigated croplands

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