

# Lecture 6- Trees and Binary Trees

**Data Structure and Algorithm Analysis**

# Trees

- Linear access time of linked lists is expensive
  - Requires  $O(N)$  running time for most of basic operations like search, insert and delete
- Does there exist any simple data structure for which the running time of most operations (search, insert, delete) is  $O(\log N)$ ?
  - The answer is yes
    - Data structures like **binary tree**

# Trees...

- A tree is a collection of nodes
  - The collection can be empty
- (recursive definition) If not empty, a tree consists of a distinguished node  $r$  (the *root*), and zero or more nonempty *sub-trees*  $T_1, T_2, \dots, T_k$ , each of whose roots are connected by an *edge* from  $r$

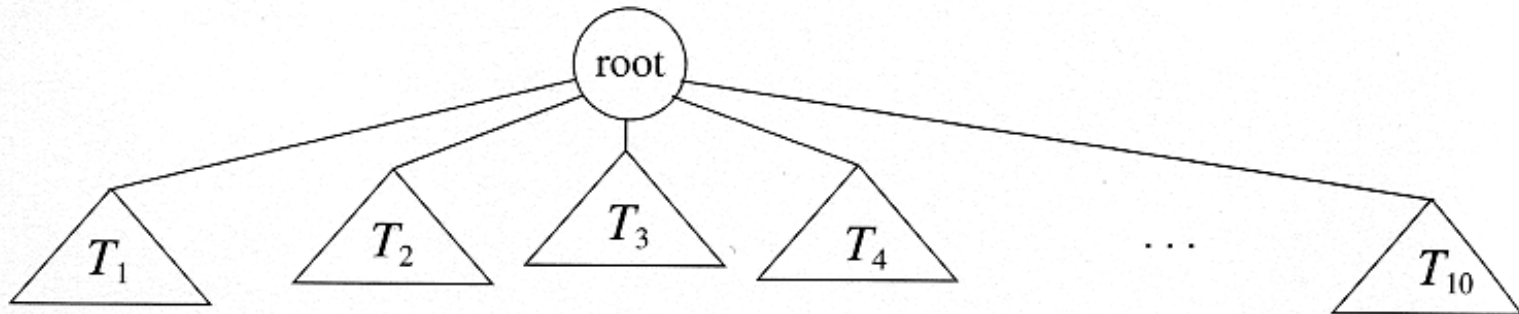
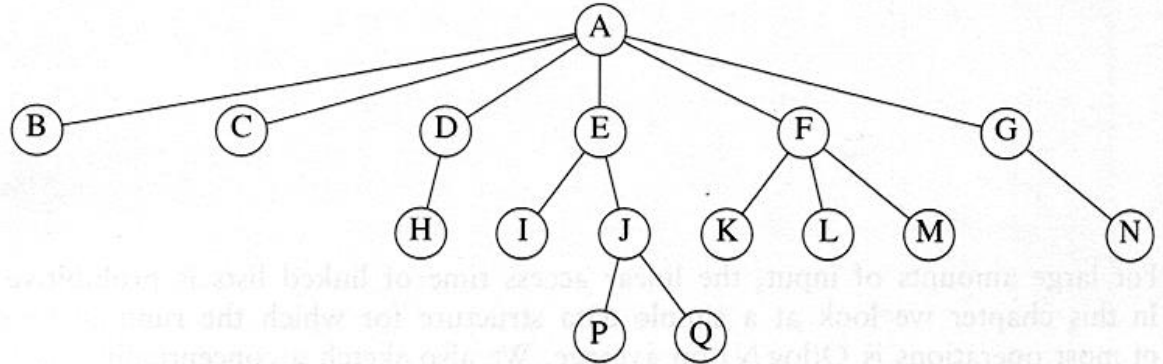


Figure 4.1 Generic tree

# Some Terminologies



- ▶ *Child and parent*

- ▶ Every node except

- ▶ A node can have an arbitrary number of children (A has 6 while D has 1)

- ▶ *Leaves/ External Nodes*

- ▶ Nodes with no children (B, C, H, I, P, Q, K, L, M, N)

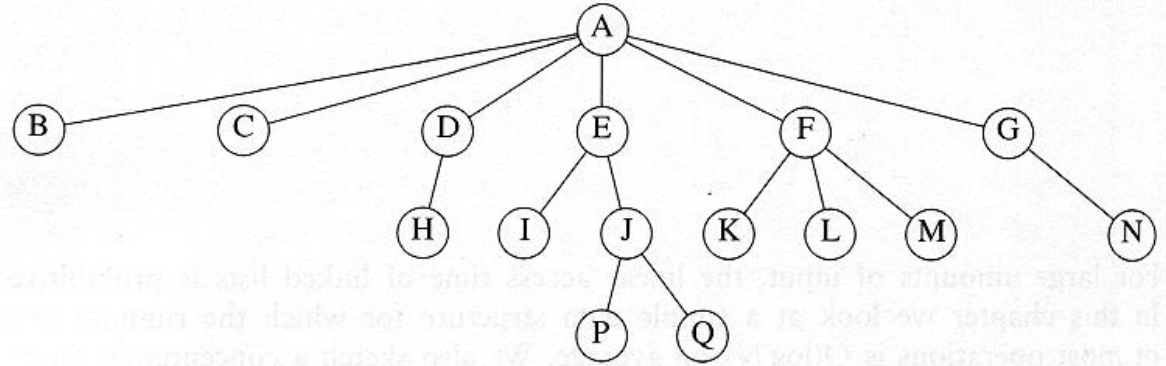
- ▶ *Sibling*

- ▶ nodes with same parent (P and Q)

- ▶ *Internal node*

- ▶ A node with at least one child (A, D, E, F, G, J)

# Some Terminologies...



- ▶ *Path*

- ▶ *is a sequence of nodes from root to a node (arbitrary node in the tree).*

- ▶ *Length*

- ▶ Number of edges on the path from node x to node y

- ▶ *Depth* of a node

- ▶ Number of edges from the root to that node (Depth of C = 1)

- ▶ The depth of a tree is equal to the depth of the deepest leaf (=3)

# Some Terminologies...

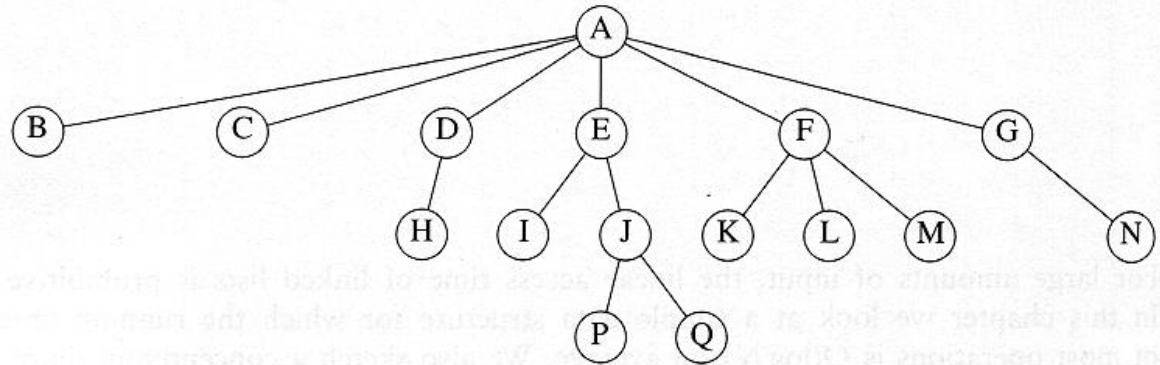


Figure 4.2 A tree

## ▶ *Height* of a node

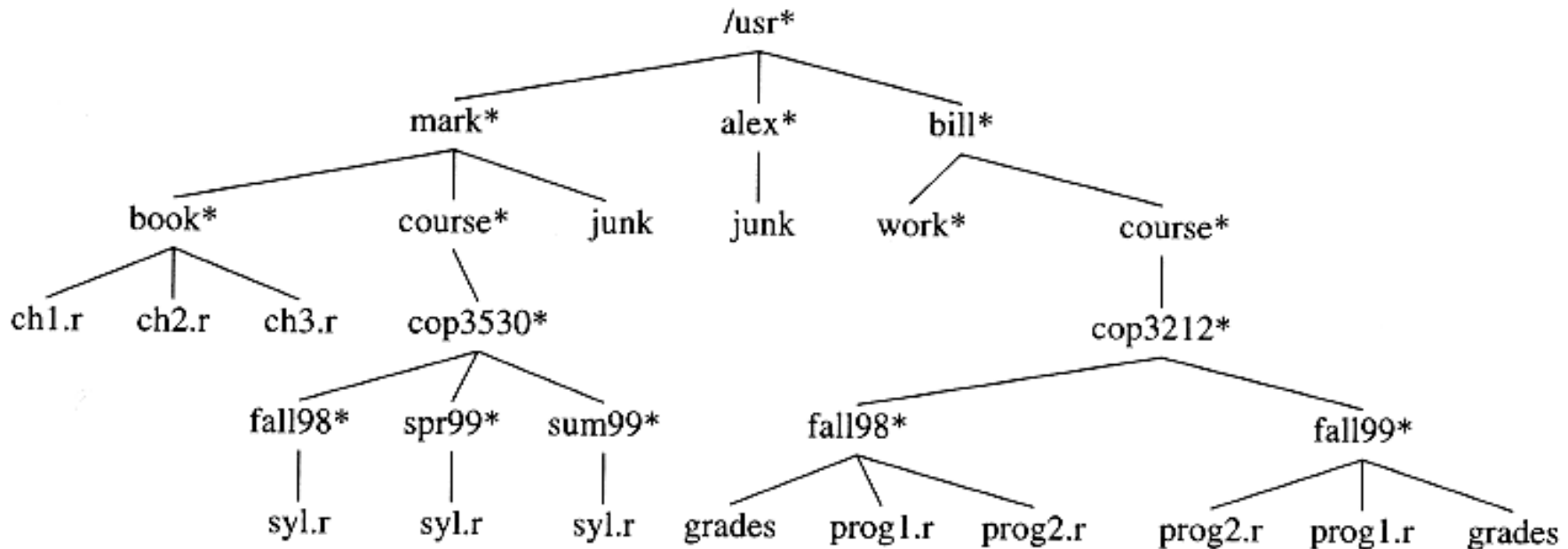
- ▶ length of the longest path from that node to a leaf (E=2)
- ▶ all leaves are at height 0
- ▶ The height of a tree is equal to the height of the root

## ▶ *Ancestor* and *descendant*

- ▶ The ancestors of a node are all the nodes along the path from the root to the node.
- ▶ *Descendant* node reachable by repeated proceeding from parent to child.

# Example: UNIX Directory

- Tree is useful to represent hierarchical data
- One of its application a file system used by many systems
- The following is an example of unix file system



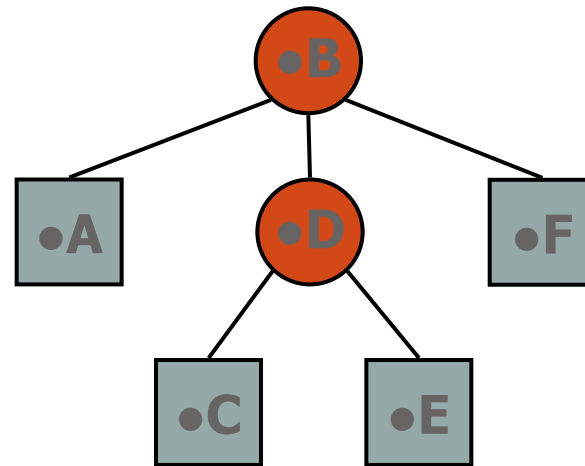
# Tree ADT

- We use struct/class to abstract nodes
- Generic methods:
  - integer **size()**
  - boolean **isEmpty()**
  - **displayElements()**
- Accessor methods:
  - Object **root()**
  - Object **parent(p)**
  - **displayChildren(p)**
- ✦ **Query methods:**
  - ✦ **boolean isInternal(p)**
  - ✦ **boolean isExternal(p)**
  - ✦ **boolean isRoot(p)**
- ✦ **Update methods:**
  - ✦ **swapElements(p, q)**
  - ✦ **object**  
**replaceElement(p, o)**
- ✦ **Additional update methods may be defined by data structures implementing the Tree ADT**



# A Tree Representation

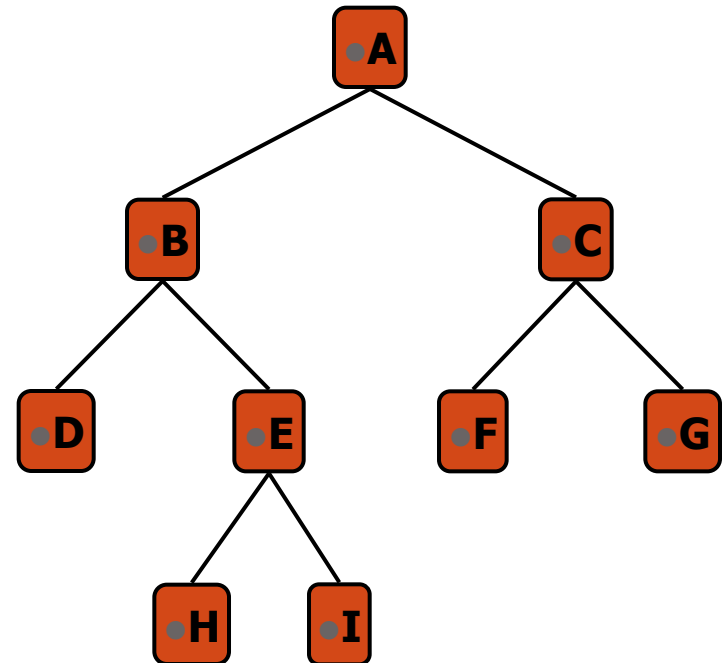
- A node is represented by an object storing
  - Element
  - Parent node
  - Sequence of children nodes



# Binary Tree

- A binary tree is a tree with the following properties:
  - Each internal node has at most two children (degree of two)
  - The children of a node are an ordered pair
- We call the children of an internal node left child and right child
- Alternative recursive definition: a binary tree is either
  - a tree consisting of a single node, OR
  - a tree whose root has an ordered pair of children, each of which is a binary tree

- **Applications:**
  - **arithmetic expressions**
  - **decision processes**
  - **searching**

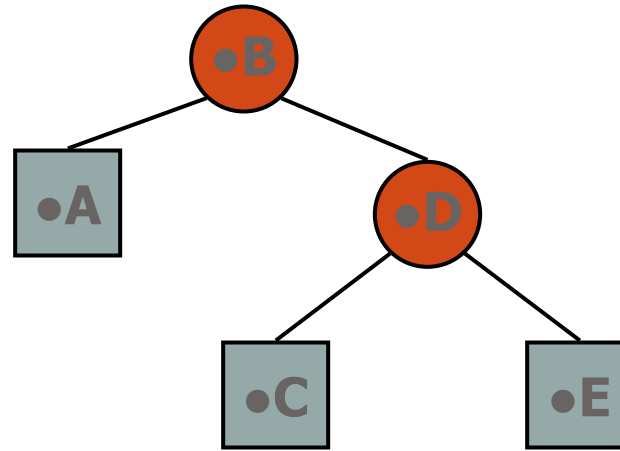


# Binary Tree ADT

- The Binary Tree ADT extends the Tree ADT, i.e., it inherits all the methods of the Tree ADT
- Update methods may be defined by data structures implementing the Binary Tree ADT
- Additional methods:
  - position leftChild(p)
  - position rightChild(p)
  - position sibling(p)

# Data Structure for Binary Trees

- A node is represented by an object storing
  - Element
  - Parent node
  - Left child node
  - Right child node

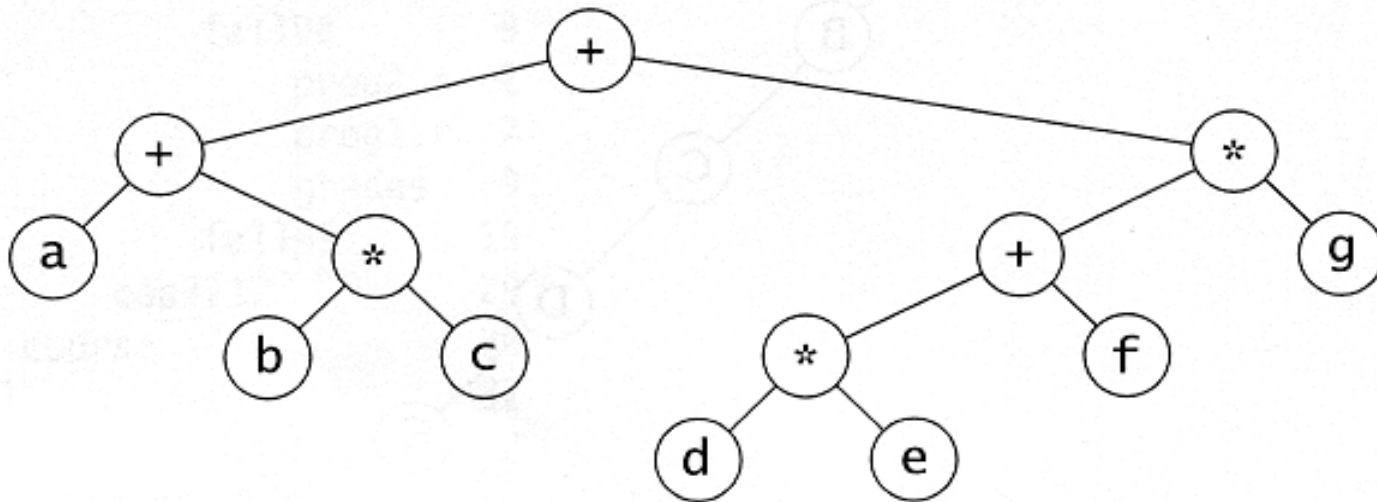


# Example

```
Struct Node {  
    Int data;  
    Node * parent;  
    Node *Lchiled;  
    Node * Rchiled;  
} Node *root=NULL;
```

# Example: Expression Trees

- One of the application of binary is representing arithmetic expression



**Figure 4.14** Expression tree for  $(a + b * c) + ((d * e + f) * g)$

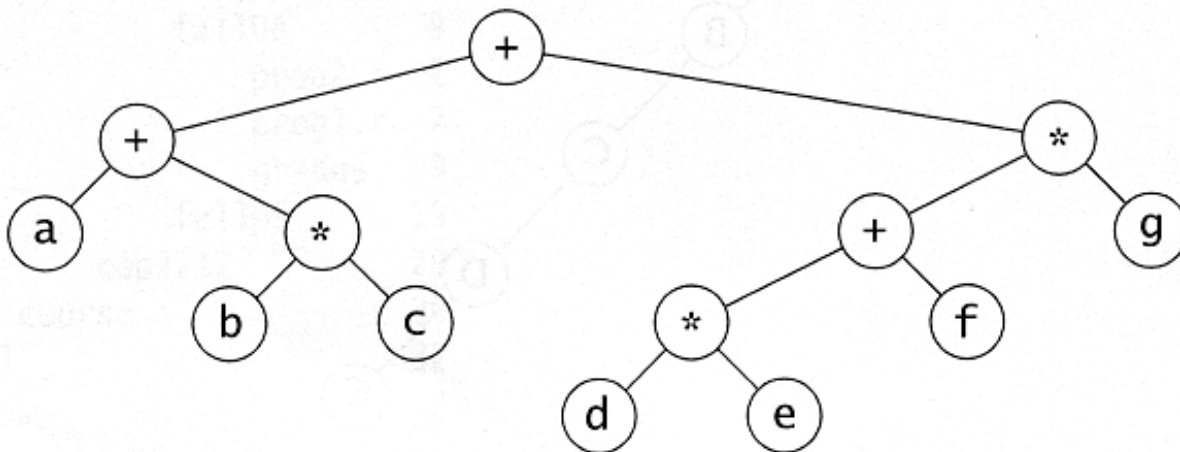
- Leaves are operands (constants or variables)
- The other nodes (internal nodes) contain operators
- Will not be a binary tree if some operators are not binary

# Tree traversal

- Used to print out the data in a tree in a certain order
- Pre-order traversal
  - Print the data at the root
  - Recursively print out all data in the left subtree
  - Recursively print out all data in the right subtree

# Preorder, Postorder and Inorder

- Preorder traversal
  - node, left, right
  - prefix expression
    - ++a\*bc\*+\*defg



**Figure 4.14** Expression tree for  $(a + b * c) + ((d * e + f) * g)$



# Preorder, Postorder and Inorder

- Postorder traversal
  - left, right, node
  - postfix expression
    - $abc*+de*f+g*+$
- Inorder traversal
  - left, node, right.
  - infix expression
    - $a+b*c+d*e+f*g$

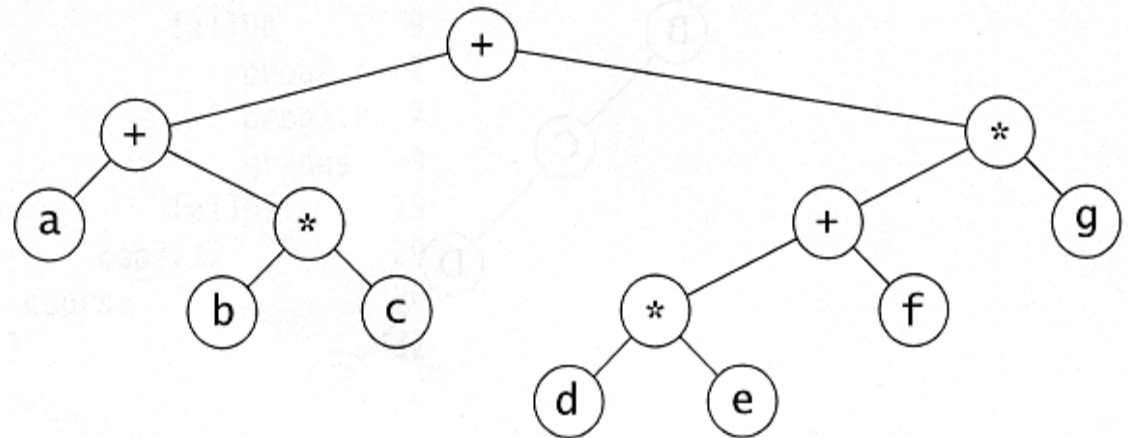


Figure 4.14 Expression tree for  $(a + b * c) + ((d * e + f) * g)$

# Preorder, Postorder and Inorder

## **Algorithm** *Preorder*( $x$ )

**Input:**  $x$  is the root of a subtree.

1. **if**  $x \neq \text{NULL}$
2.     **then** output key( $x$ );
3.         *Preorder*(left( $x$ ));
4.         *Preorder*(right( $x$ ));

## **Algorithm** *Inorder*( $x$ )

**Input:**  $x$  is the root of a subtree.

1. **if**  $x \neq \text{NULL}$
2.     **then** *Inorder*(left( $x$ ));
3.         output key( $x$ );
4.         *Inorder*(right( $x$ ));

## **Algorithm** *Postorder*( $x$ )

**Input:**  $x$  is the root of a subtree.

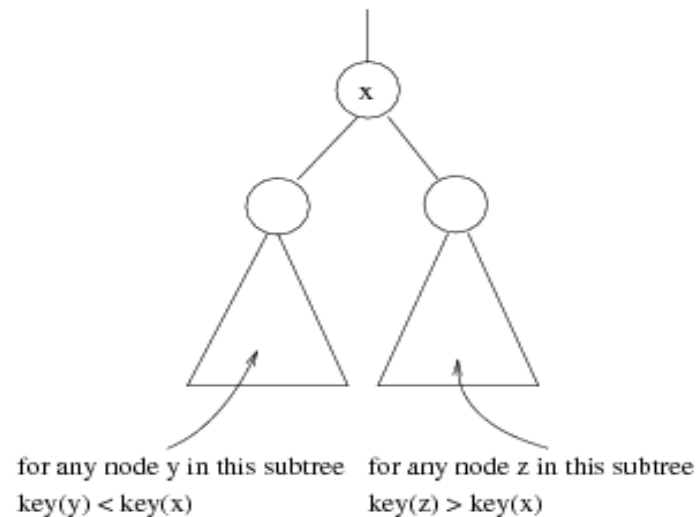
1. **if**  $x \neq \text{NULL}$
2.     **then** *Postorder*(left( $x$ ));
3.         *Postorder*(right( $x$ ));
4.         output key( $x$ );

# Binary Search Trees

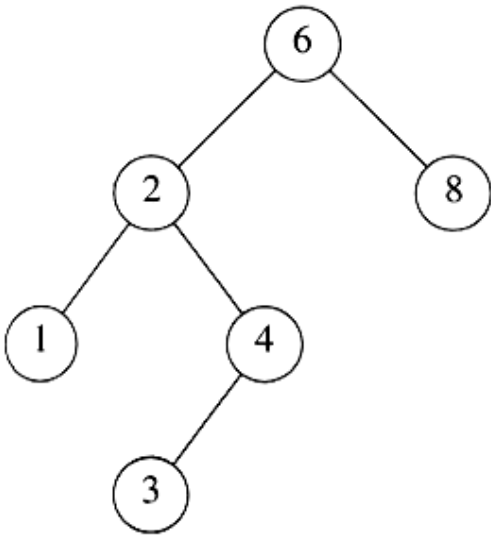
- Stores keys in the nodes in a way so that searching, insertion and deletion can be done efficiently.

## Binary search tree property

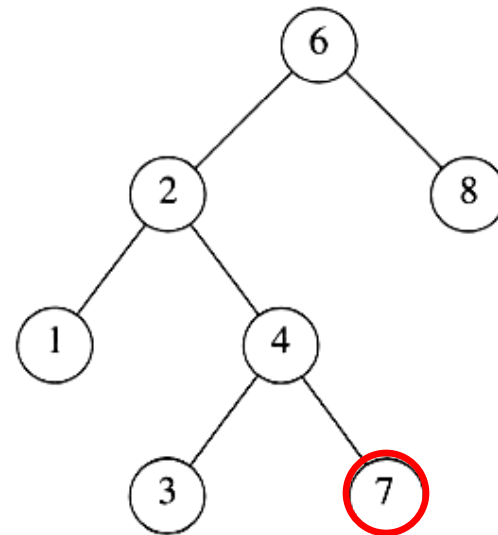
- For every node  $X$ , all the keys in its left subtree are smaller than the key value in  $X$ , and all the keys in its right subtree are larger than the key value in  $X$



# Binary Search Trees...



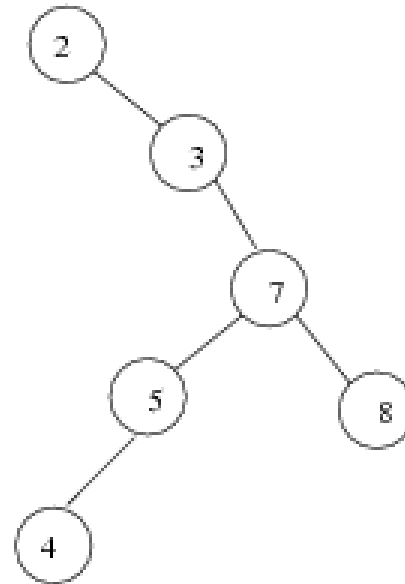
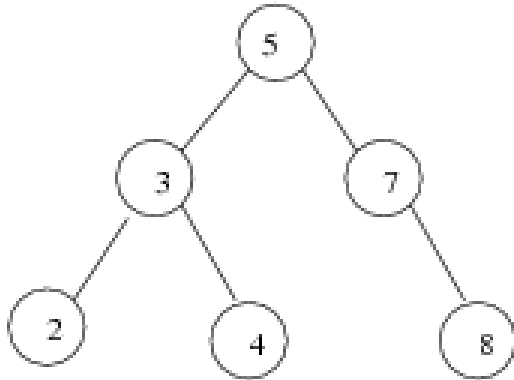
**A binary search tree**



**Not a binary search tree**

# Binary search trees...

**Two binary search trees representing the same set:**



- Average depth of a node is  $O(\log N)$ ; maximum depth of a node is  $O(N)$

# Implementation of BST

Struc node

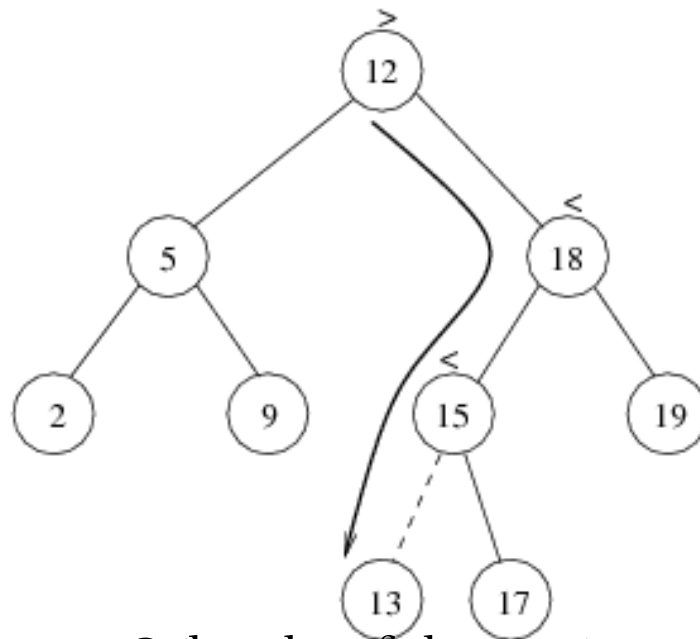
```
{  
    Int num;  
    Node * parent  
    Node*left;  
    Node * right;  
}  
Node *root=NULL;
```

# Inserting node in BST

- When a new node is inserted the definition of BST should be preserved.
- There are two cases to consider
  - There is no data in the tree (root=null)
    - Root=newnode;
  - There is data
    - Search the appropriate position
    - Insert the node in that position.

# Example- insert node13

- ▶ Proceed down the tree as you would with a find
- ▶ If newnode is found, do nothing (or update something)
- ▶ Otherwise, insert newnode at the last spot on the path traversed

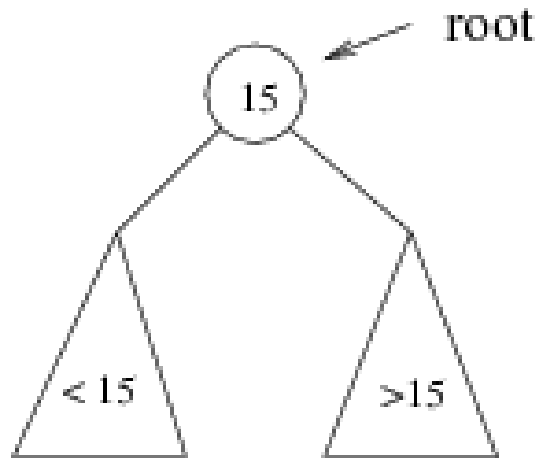


- ▶ Time complexity =  $O(\text{height of the tree})$



# Searching BST

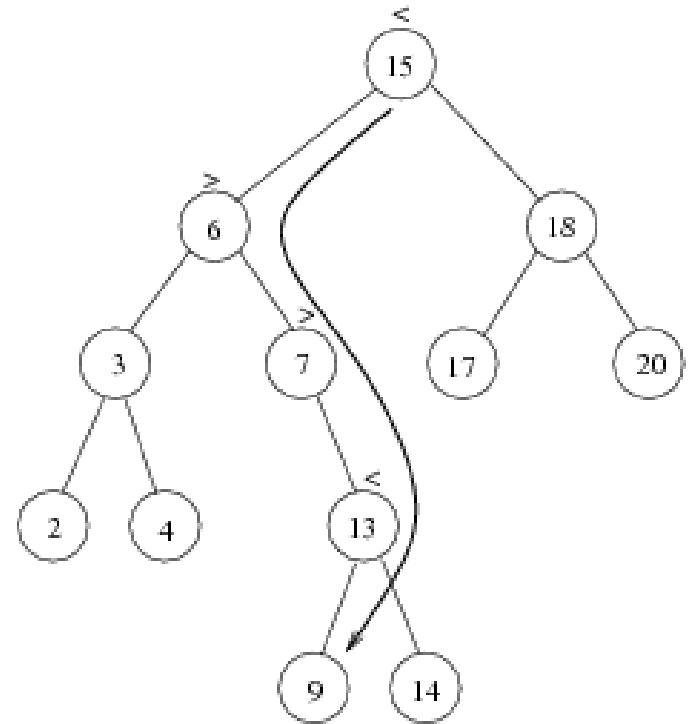
- If we are searching for 15, then we are done.
- If we are searching for a key  $< 15$ , then we should search in the left subtree.
- If we are searching for a key  $> 15$ , then we should search in the right subtree.



*Example: Search for 9 ...*

Search for 9:

1. compare 9:15(the root), go to left subtree;
2. compare 9:6, go to right subtree;
3. compare 9:7, go to right subtree;
4. compare 9:13, go to left subtree;
5. compare 9:9, found it!



# Searching (Find)

- ▶ Find X: return a pointer to the node that has key X, or NULL if there is no such node

- ▶ Node \*searchBST(node \*root, int x)

```
{  
  If(root==NULL || root->num==x)  
    Return (root)  
  Else if(root->num>x)  
    Return (searchBST(root->left, x))  
  Else  
    Return (searchBST(root->right, x))  
}
```

- ▶ Time complexity
  - ▶  $O(\text{height of the tree})$

# findMin

- Return the node containing the smallest element in the tree
- Start at the root and go left as long as there is a left child. The stopping point is the smallest element

```
Node*findMin(node*root)
```

```
{
```

```
  If(root==NULL)
```

```
    Return Null;
```

```
  Else if(root->left==Null)
```

```
    Return root
```

```
  Else
```

```
    Return(findMin(root->left))
```

```
}
```

- Similarly for findMax
- Time complexity =  $O(\text{height of the tree})$

# findMax

- Finds the maximum element in BST
- Start at the root and go right as long as there is a right child. The stopping point is the largest element

```
Node*findMin(node*root)
{
    If(root==NULL)
        Return Null;
    Ellse if(root->right==Null)
        Return root
    Ellse
        Return(findMin(root->right))
}
```

# delete

- When we delete a node, we need to consider how we take care of the children of the deleted node.
  - When a node is deleted the definition of a BST should be maintained.
- When a node is deleted four cases should be considered
  - Case1: Deleting a leaf node (a node with no child )
  - Case2: Deleting a node having only one child
  - Case3: Deleting a node having two child
  - Case4: Deleting a root node

# delete

Three cases:

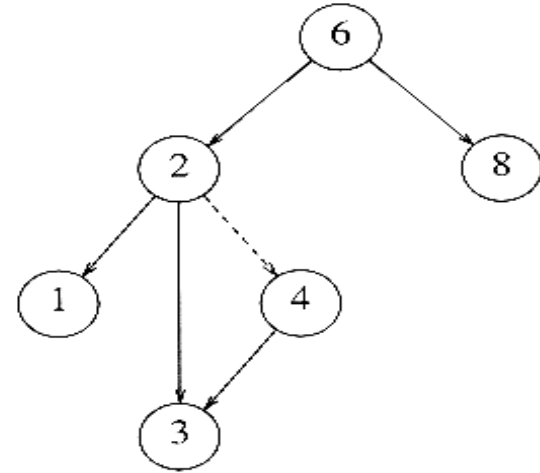
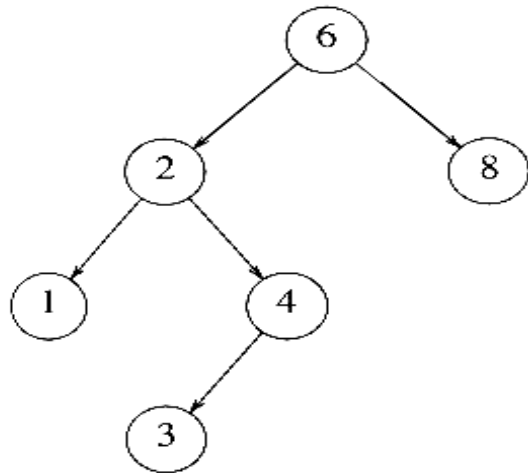
(1) the node is a leaf

- Delete it immediately

(2) the node has one child

- Adjust a pointer from the parent to bypass that node

• Example delete node 4, make node 2 pointer point to node 3



**Figure 4.24** Deletion of a node (4) with one child, before and after

# delete

(3) the node has 2 children

- ▶ Copy the node containing the largest element in the left( or the smallest element in the right)to the node to be deleted
- ▶ Delete the copied node
- ▶ The picture below shows deleting node 2

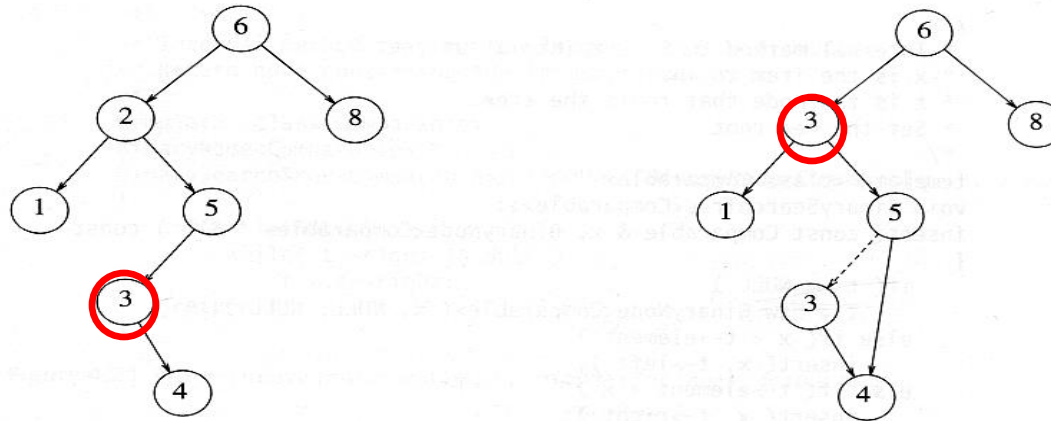


Figure 4.25 Deletion of a node (2) with two children, before and after

- ▶ Time complexity =  $O(\text{height of the tree})$



# Delete the root

- If BST has only one node, make root to point to nothing
  - Root=NULL
- ▶ Otherwise,
  - ▶ copy the node containing the largest element in the left( or the smallest element in the right)to the node to be deleted
  - ▶ Delete the copied node

End of Lecture 6

**End of the Course**