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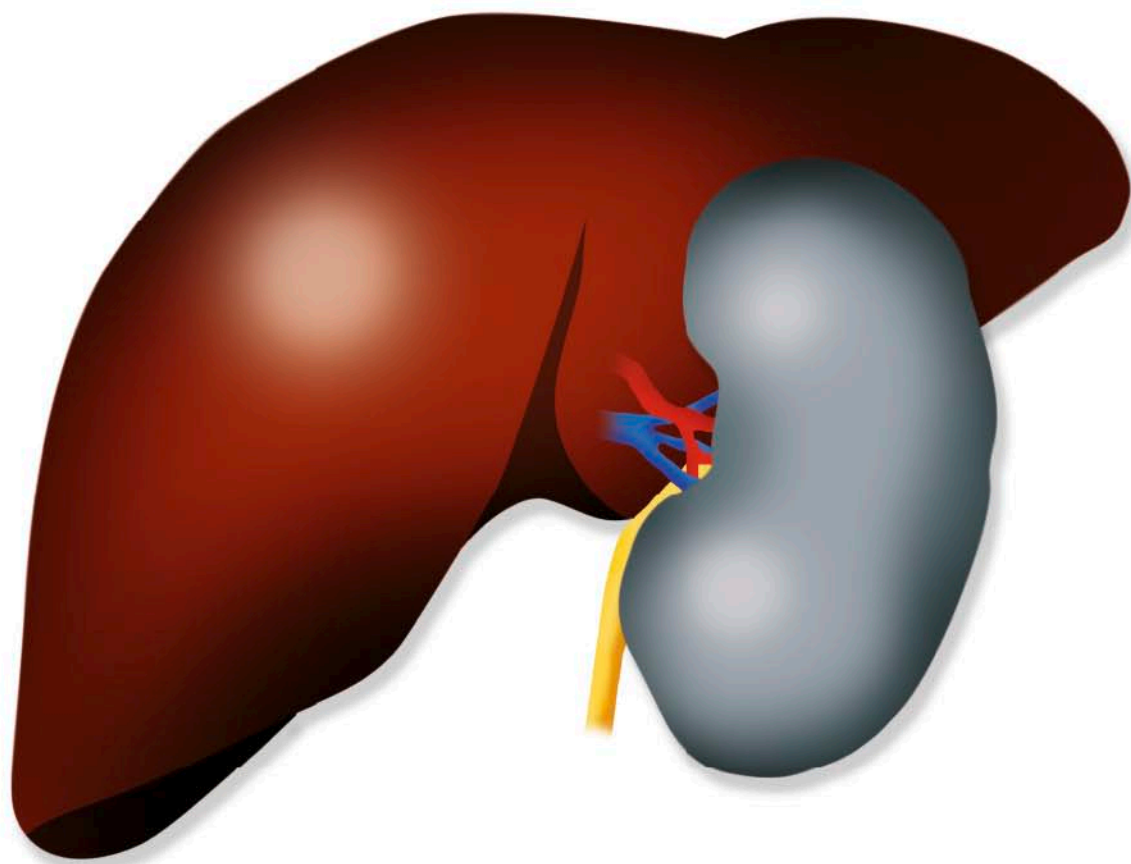
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# Ascites, Hyponatremia and Hepatorenal Syndrome: Progress in Treatment

Editor

**A.L. Gerbes**



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**Ascites, Hyponatremia and Hepatorenal Syndrome:  
Progress in Treatment**

# **Frontiers of Gastrointestinal Research**

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# **Ascites, Hyponatremia and Hepatorenal Syndrome: Progress in Treatment**

Volume Editor

**Alexander L. Gerbes** Munich

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# Contents

## **VII Preface**

Gerbes, A.L. (Munich)

### **1 Differential Diagnosis of Ascites**

Appenrodt, B. (Bonn)

### **11 Current Treatment Strategies: Diuretics**

Bernardi, M. (Bologna)

### **23 Paracentesis**

Sanyal, A.J.; Bajaj, J.S.; Shaw, J. (Richmond, Va.)

### **32 Large-Volume Paracentesis: Which Plasma Expander?**

Terg, R.A. (Buenos Aires)

### **40 Albumin: Not Just a Plasma Expander**

Davies, N.A.; Garcia, R.; Proven, A.; Jalan, R. (London)

### **52 Transjugular Intrahepatic Portosystemic Shunt for Ascites: Which Patients Will Benefit?**

Salerno, F.; Cazzaniga, M. (San Donato Milanese)

### **65 Spontaneous Bacterial Peritonitis – Prophylaxis and Treatment**

Wiest, R. (Regensburg); Garcia-Tsao, G. (New Haven, Conn./West Haven, Conn.)

### **83 Clinical Implications of Hyponatremia in Cirrhosis**

Heuman, D.M. (Richmond, Va.)

### **91 Vaptans for Ascites – Chances and Risks**

Wong, F. (Toronto, Ont.)

### **102 Cardiorenal Syndrome – A New Entity?**

Møller, S.; Krag, A. (Hvidovre)

### **112 Renal Failure in Cirrhosis**

Gustot, T. (Brussels/Clichy/Paris); Moreau, R. (Clichy/Paris)

### **122 Novel Definition of Hepatorenal Syndrome: Clinical Consequences**

Fernandez, J.; Arroyo, V. (Barcelona)

### **130 Role of Infections in Hepatorenal Syndrome**

Wiest, R. (Regensburg)

### **142 TIPS for HRS**

Sauerbruch, T.; Appenrodt, B. (Bonn)

### **149 Vasoconstrictor Therapy for Hepatorenal Syndrome**

Yeo, C.-M. (New Haven, Conn.); Garcia-Tsao, G. (New Haven, Conn./West Haven, Conn.)

|            |  |
|------------|--|
| <b>163</b> | <b>Terlipressin for Hepatorenal Syndrome: The US Experience</b>                        |
|            | Musana, A.K.; Sanyal, A.J. (Richmond, Va.)   |
| <b>172</b> | <b>Terlipressin for Hepatorenal Syndrome: Predictors of Response</b>                   |
|            | Cárdenas, A.; Ginès, P. (Barcelona)  |
| <b>178</b> | <b>Safety of Terlipressin for Hepatorenal Syndrome</b>                                 |
|            | Krag, A.; Møller, S. (Hvidovre)  |
| <b>189</b> | <b>Terlipressin for Hepatorenal Syndrome: Novel Strategies and Future Perspectives</b> |
|            | Angeli, P. (Padova)  |
| <b>198</b> | <b>Hepatorenal Syndrome and Liver Transplantation</b>                                  |
|            | Gonwa, T.A. (Jacksonville, Fla.)   |
| <b>208</b> | <b>Author Index</b>  |
| <b>209</b> | <b>Subject Index</b>   |

## Preface

In patients with cirrhosis of the liver treatment focuses on the therapy of complications.

Ascites is the most frequent and hepatorenal syndrome the most lethal complication of liver cirrhosis. Fortunately, major progress has been made in recent years in providing effective treatment and thus reducing mortality in these patients. Therefore, the topics of ascites, hyponatremia and hepatorenal syndrome are very well suited to be presented as a book in the *Frontiers in Gastrointestinal Research* series.

Consequently, this project highlights and critically appraises recent achievements and novel advances. It also provides the background needed to grasp the novel concepts, but is not intended to represent an encyclopedic textbook. Contributions are provided by the most renowned experts at the forefront of clinical research. Their state of the art contributions provide up-to-date references and conclude with a bullet point summary.

Just to pick some of the hot topics that are elaborated in this book. The Transjugular Intrahepatic Portosystemic Shunt (TIPS) and paracentesis, respectively have been introduced into clinical routine, but several pitfalls need to be observed. Chapters deal with the most relevant issues of complications of paracentesis, the right choice of plasma expanders, and selection of patients who will experience survival benefit from TIPS. Beneficial effects of albumin infusion independent of its properties as a plasma expander are discussed.

There is a broad spectrum of acute kidney injury in cirrhosis. Hepatorenal syndrome was considered as a terminal renal failure in cirrhosis until recently. Now, drug treatment can improve renal function and prolong survival – a clinical breakthrough. However, important issues for clinical outcome are still under debate, such as predictors of response and ways to reduce the incidence of side effects of vasoconstrictor therapy. The role of combined kidney-liver transplantation versus conventional liver-only transplantation is considered.

Finally, hyponatremia, an indicator of poor prognosis in cirrhosis can now be addressed with vaptans, new pharmaceutical compounds. The role of vaptans for treating patients with ascites is still a matter of controversy.



I gladly accepted the invitation by Markus Lerch, the series editor, to design and organize this volume, and am very grateful that a highly selected group of international experts has contributed to this book. I do appreciate that despite their extremely busy agenda they took the time to share their knowledge and expertise. They come from the Americas and from Europe and thus provide a truly universal perspective.

It is my hope that this book provides practical advice for practitioners and clinicians who care for patients with cirrhosis. Furthermore, clinicians and scientists working in the field should find the latest data and inspiration for future research.

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## Differential Diagnosis of Ascites

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### Abstract

Approximately 80–85% of causes of ascites are related to portal hypertension; however, malignancy-related ascites, cardiac failure and tuberculosis and other less common causes should always be considered. If ascites is suspected the patient should be carefully evaluated, including clinical history and physical examination. Diagnostic paracentesis should be performed routinely to determine the cause of ascites and spontaneous bacterial peritonitis. Basic tests include a cell count with differential and total protein concentration in ascitic fluid. Culture and other optional tests like the serum ascites albumin gradient can be performed based on clinical suspicion. New tests have been developed especially for the diagnosis of spontaneous bacterial peritonitis such as measurement of lactoferrin concentration in ascitic fluid or detection of bacterial DNA. These tests still need to be evaluated further.

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Ascites is defined as accumulation of fluid in the peritoneal cavity. It is a common complication of cirrhosis, indicating portal hypertension which occurs in 80–85% of patients with ascites [1]. Nearly 60% of patients with compensated liver cirrhosis develop ascites within 10 years after onset of the liver disease. Once patients have developed ascites their prognosis is poor; nearly half of them die within 2–3 years [2]. However, other less common causes of ascites should be evaluated in the differential diagnosis of ascites. Other causes of ascites are, for example, malignancy (10%), cardiac failure (5%) and abdominal tuberculosis (2%) (table 1) [3].

### Clinical Work-Up and Problems

In patients where cirrhosis is not the cause of ascites, a clinical work-up should be elicited for other causes of ascites. Furthermore, in approximately 5–10% of patients there is more than one cause of ascites [1].

**Table 1.** Causes of ascites

---

|   |
|---|
| Cirrhosis   |
| Alcoholic hepatitis                               |
| Partial nodular transformation                    |
| Fulminant hepatic failure                         |
| Hepatocellular carcinoma (usually with cirrhosis) |

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|                           |
|---------------------------|
| Cardiac disease           |
| Congestive heart failure  |
| Valvular disease          |
| Constrictive pericarditis |
| Cardiomyopathy            |

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|            |
|------------|
| Malignancy |
|------------|

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|   |
|---|
| Vascular disease  |
| Hepatic vein obstruction (Budd-Chiari syndrome)/sinusoidal obstruction syndrome |
| Portal vein occlusion (thrombosis, tumor)                                       |

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|                         |
|-------------------------|
| Peritoneal tuberculosis |
|-------------------------|

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|                    |
|--------------------|
| Nephrotic syndrome |
|--------------------|

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|  |
|--|
| Ovarian disease (like Meigs syndrome, struma ovarii of ovarian overstimulation syndrome) |
|--|

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|                           |
|---------------------------|
| Pancreatic ascites        |
| Rupture of pseudocyst     |
| Leak from pancreatic duct |

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|                     |
|---------------------|
| Bile ascites        |
| Gallbladder rupture |
| Traumatic bile leak |

---

|   |
|---|
| Chylous ascites                                       |
| Rupture (traumatic, surgical) of abdominal lymphatics |
| Obstructed lymphatics                                 |

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|                            |
|----------------------------|
| Rare causes                |
| HIV-related ascites        |
| Peritoneal vasculitides    |
| Myxedema                   |
| Whipple's disease          |
| Sarcoidosis                |
| Gynecologic lesions        |
| Malnutrition               |
| Hypoalbuminemia            |
| Protein-losing enteropathy |

---

## History and Physical Examination

Ascites is rarely the sole physical finding. Evidence of liver disease must be considered (jaundice, spider angiomas, palmar erythema, caput medusae, muscle wasting, splenomegaly, gynecomastia). Hepatomegaly may be absent if cirrhosis exists, possible causes of hepatomegaly with ascites include Budd-Chiari syndrome, cardiomyopathy with congestive heart failure or liver metastases. Edema of the lower extremities or significant proteinuria may suggest a nephrotic syndrome as the cause of ascites due to, for example, glomerulonephritis, collagen diseases or diabetes. Jugular venous distention, pathologic heart sound, pulmonary crackles, dyspnea and peripheral edema imply right-side heart failure. Clinical tests for ascites include inspection for bulging flanks and flank dullness and the fluid wave test. If the volume of ascites is low, these techniques are not helpful; 1,500 ml of fluid must be present before shifting dullness can be detected [4].

## Diagnostic Imaging Techniques

Abdominal ultrasonography is a cost-effective technique to confirm ascites and to detect potential causes such as liver disease and should be performed first. It may help confirm the presence of ascites and differentiate it from other conditions such as pregnancy or ovarian cysts [4].

Small amounts of ascites (<100 ml) and the best possible location to perform paracentesis can be detected by ultrasound.

A CT scan of the abdomen should be performed to determine the presence of primary malignancy of the gastrointestinal tract or peritoneal carcinomatosis and other structures in the abdomen.

To rule out congestive heart failure, further cardiac work-up can be performed.

## Diagnostic Paracentesis and Ascitic Fluid Analysis

A diagnostic paracentesis should be performed during the initial evaluation of ascites to determine its cause and to diagnose spontaneous bacterial peritonitis (SBP) [5].

It should be performed in (a) all patients with new onset of ascites, (b) patients who are admitted to hospital because of cirrhosis-related complications, and (c) in patients with clinical signs or laboratory abnormalities suggestive of infection [5].

For the diagnostic approach, 20–40 ml of ascitic fluid must be obtained.

In patients with new-onset ascites, the fluid should be evaluated for:

- macroscopic appearance
- cell count and differential
- total protein

- (optional) cultures
- (optional) ascitic and serum albumin for SAAG
- (optional) other parameters.

### **Macroscopic Appearance of Ascites**

Ascitic fluid is transparent. In jaundiced patients it is slightly yellow due to the presence of bilirubin.

Ascitic fluid may be cloudy due to the presence of neutrophils  $>5,000/\text{mm}^3$ , while it appears pink due to red blood cells  $>10,000/\text{mm}^3$ . Bloody ascites is usually due to traumatic puncture. Causes of nontraumatic bloody ascitic fluid include peritoneal tuberculosis or malignancy. Approximately 20% of malignant ascites are bloody. The ascitic fluid of patients with cirrhosis and hepatocellular carcinoma is bloody in up to 50% of the cases [6].

Chylous or 'milky' ascites is often due to the presence of a high concentration of triglycerides (a triglyceride concentration  $>200$  mg/dl establishes the diagnosis of chylous ascites) and is often found in malignancy-related ascites. However, in 20% of patients with cirrhosis ascites is chylous [7]. Another cause of chylous ascites is disruption of the lymphatic system due to abdominal surgery.

### **Diagnostic Tests for Ascites**

Following diagnostic paracentesis of ascites, it has to be decided which tests should be performed and which parameters should be analyzed.

While many tests are available, it is neither useful nor cost effective to carry them all out. Further tests can be performed as indicated by the initial specimen (table 2).

As most patients with ascites have liver disease, exclusion of malignancy, tuberculosis or other less common causes is normally not required after the first paracentesis.

#### *Cell Count*

Cell count, as a mandatory test, may be performed in an EDTA tube using a small amount of fluid. The average normal total white blood cell count in patients with ascites due to liver disease is  $100\text{--}500/\text{mm}^3$ . In bloody ascites, the white blood cell count could be misinterpreted: one PMN count per 250 red blood cells can be taken as contamination. In SBP, the total white blood cell count as well as the absolute PMN count are elevated. A PMN count  $>250/\text{mm}^3$  is defined as spontaneous bacterial peritonitis (SBP) and indicates initiation of an empiric antibiotic regime [5].

**Table 2.** Ascitic tests

| Mandatory                 | Optional                    | Rarely used   |
|---------------------------|-----------------------------|---------------|
| Cell count / differential | Albumin (ascitic and blood) | Bilirubin     |
| Total protein             | Cytology                    | Triglycerides |
|                           | Culture                     | Tumor markers |
|                           | Cholesterol                 | LDH           |
|                           |                             | Glucose       |
|                           |                             | Amylase       |

In tuberculous or malignant ascites, lymphocytes dominate in the ascitic fluid [6]. If malignant ascites is suspected, cytology should be performed. If tuberculosis is suspected, microbiological tests and a polymerase chain reaction-based method can be performed.

### *Cytology*

Ascitic fluid cytology should be performed in suspected cases of malignancy-related ascites. The fluid should be examined rapidly after paracentesis – either fresh or fixed. The amount of ascitic fluid should be 50–100 ml.

Cell count is often elevated in malignant ascites. However, in patients with hepatocellular carcinoma ascitic cytology is positive in <10% [8].

### *Total Protein*

Ascites used to be divided into exudative and transudative types using a cut-off value of 2.5 g/dl total protein [9]. Ascites due to secondary abdominal processes like malignancy or abdominal tuberculosis (50%) would be exudates (>2.5 g/dl), whereas ascites due to portal hypertension would be transudates (<2.5 g/dl). However, approximately 20% of cirrhotic patients have an ascitic total protein of >2.5 g/dl and would therefore be categorized incorrectly [10]. Furthermore, patients with cardiac ascites have a high total protein (>2.5 g/dl).

### *Serum Ascites Albumin Gradient*

Calculation of the serum ascites albumin gradient (SAAG) may differentiate the causes of ascites into two groups. It helps determine whether or not ascites is related

to portal hypertension. The gradient can be calculated by measuring the albumin concentration in blood and ascitic fluid and subtracting the ascitic from the serum value. If a patient has portal hypertension, there must be a high oncotic gradient, which means a high albumin gradient between blood and ascitic fluid.

If the SAAG is  $\geq 1.1$  g/dl, the cause of ascites is portal hypertension with a reliability of more than 90% [9], another cause could be cardiac disease with a high protein content in ascitic fluid.

While SAAG enables differentiation of ascites into one of two categories, it cannot replace further evaluation. A SAAG of  $< 1.1$  g/dl indicates that the patient does not have portal hypertension, but that a process such as peritoneal carcinomatosis, abdominal tuberculosis, pancreatic ascites, nephrotic syndrome, or biliary ascites may be present.

If the gradient has been determined at the initial paracentesis, it is not necessary to repeat this calculation.

### *Microbiological Culture and Gram Stain*

Ascites culture is negative in approximately 40% of patients with SBP [11]. The cultures should be collected at the bedside, including aerobic and anaerobic media with a minimum amount of 10 ml ascitic fluid. Ascitic fluid Gram stain is generally a useless investigation due to the low concentration of bacteria in patients with SBP. Furthermore, an ascites smear for diagnosis of tuberculosis is also not helpful [12]. The sensitivity of fluid culture of mycobacteria is approximately 50%.

### *Other Ascitic Fluid Tests*

#### *Cholesterol*

No laboratory test completely distinguishes malignant ascites from ascites associated with cirrhosis. It has been suggested that a fraction of the cholesterol could be derived from a malignant cell [14]. Measurement of total ascitic cholesterol concentration seems to be a rapid and cost-effective diagnostic test for discrimination between ascites of malignant and benign origin. A cholesterol concentration of  $> 45$  mg/dl is suspicious for malignant ascites. A cytologic examination should follow [14, 15].

#### *Lactate Dehydrogenase*

Lactate dehydrogenase (LDH) as a diagnostic test has little clinical relevance in delineating the cause of ascites. An elevation of LDH is common in SBP, tuberculous peritonitis or secondary bacterial peritonitis with a fluid:serum LDH ratio  $> 0.5$ , a ratio  $> 1.0$  is suspicious of abdominal tuberculosis [16].

### *Glucose*

The ascitic glucose concentration does not fall during intraperitoneal infection. Gut perforation seems to be one exception. Low levels of glucose in ascitic fluid – compared to serum glucose levels – could indicate secondary bacterial peritonitis [17].

### *Amylase*

Ascitic amylase is increased if amylase is released into ascitic fluid, e.g. due to pancreatitis or gut perforation. In pancreatic ascites, the amylase concentration is often higher than that found in gut perforation [18].

### *Tumor Markers*

Measurement of tumor markers in ascitic fluid like carcinoembryonic antigen has been suggested as an aid in the diagnosis of malignancy-related ascites. However, this has achieved only little clinical relevance because of its low sensitivity [19].

## **Some Common Causes of Ascites**

### *Malignant Ascites*

Approximately 50% of all malignant ascites are due to peritoneal carcinomatosis [6]. In patients with malignancy-related ascites, SAAG is usually low in up to 80–85% of the cases and ascites may be bloody. The ascitic cell count is generally high with normal glucose, normal LDH and elevated cholesterol levels. Cytological investigation is specific with a low sensitivity of <70% [14].

### *Cardiac Ascites*

Ascites due to heart failure may be difficult to distinguish from ascites due to cirrhosis. Common causes are ischemic heart disease, cardiomyopathy, valvular and restrictive lung disease and constrictive pericarditis. The characteristics of cardiac ascitic fluid are high SAAG ( $\geq 1.1$  g/dl) with a high total protein level ( $> 2.5$  g/dl). Sometimes it is necessary to carry out a more invasive testing procedure such as measurement of the hepatic venous pressure gradient [20].

### *Tuberculous Peritonitis*

The mechanism of formation of ascites is exudation of fluid from the peritoneal surface affected by the disease. The SAAG and protein is variable, the SAAG is often  $< 1.1$  g/dl and the protein value  $> 2.5$  g/dl.



The ascitic cell count is elevated between 1,000 and 3,000/mm<sup>3</sup> with a predominance of lymphocytes. The red blood cell count in ascites could be mildly elevated. Glucose values are usually within the normal limits, and LDH levels could be high. Fluid culture and polymerase chain reaction-based analysis should be performed. A direct smear is not recommended because of a low sensitivity [12, 13].

## **Novel Aspects and Future Developments**

### *New Tests for the Diagnosis of Ascitic Infection: Urinary Reagent Strips, Lactoferrin and Bacterial DNA in Ascitic Fluid*

#### *Using Urinary Reagent Strips*

As an alternative test for a more rapid diagnosis of SBP, the use of urinary reagent strips was proposed to achieve a rapid bedside diagnosis of SBP. The first studies reported enthusiastic results with a sensitivity and specificity between 90 and 100% [21]. However, when this method was evaluated several years later in a study with a larger population, the promising results of the previous study could not be confirmed and the poor sensitivity (45%) of this test was revealed [22].

#### *Measurement of Lactoferrin*

Measurement of lactoferrin in ascitic fluid may offer an alternative to the PMN count for the diagnosis of SBP. Lactoferrin is released by leukocytes upon activation of these cells and its presence in body fluids is proportional to the flux of neutrophils. The data available on the diagnostic value of the measurement of lactoferrin in ascitic fluid for SBP diagnosis are limited to a single study. In this study, in which ascitic fluid lactoferrin was measured in a total of 218 samples, the specificity and sensitivity for SBP diagnosis was 95 and 97%, respectively [23]. However, the quantitative lactoferrin assay used is not available commercially.

It must be stressed that urinary reagent strips and ascitic lactoferrin tests are qualitative methods and need to be further confirmed for use in the diagnosis of SBP.

#### *Detection of Bacterial DNA in Ascitic Fluid*

In patients with cirrhosis, bacterial translocation from the intestinal lumen is thought to precede the development of SBP. Once microbes reach the ascitic fluid, SBP may develop. According to this hypothesis, it should be possible to detect bacteria in the ascitic fluid. However, the major part of the culture obtained is negative. In 2002, bacterial DNA could be detected in culture-negative ascites using the polymerase chain reaction-based method [24]. Such et al. [24] detected bacterial DNA in ascites and postulated bacterial DNA as a surrogate marker for bacterial translocation, which needs to be further evaluated for use in the diagnosis of SBP.

## Key Messages

- The most common cause of ascites is portal hypertension in 80–85% of the cases; malignancy, cardiac failure, abdominal tuberculosis and others are less common causes.
- A diagnostic paracentesis should be performed in the initial evaluation of ascites to determine the cause of ascites and to make the diagnosis of SBP. The fluid should be evaluated for cell count and differential and total protein. Optional parameters could be determined based on clinical suspicion.
- There are promising new diagnostic ascitic tests like measurement of lactoferrin concentration in ascitic fluid or detection of bacterial DNA which have to be evaluated and tested further.

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## Current Treatment Strategies: Diuretics

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### Abstract

Diuretics are needed to counteract renal sodium retention in decompensated cirrhosis, which is responsible for ascites and edema formation. As secondary hyperaldosteronism is a major pathogenetic mechanism, aldosterone antagonists should always be administered at a dose of up to 400 mg/day. They have a very long elimination half-life and can be given once a day. Their main side effects are hyperkalemia and painful gynecomastia. When the glomerular filtration rate declines, as occurs in advanced stages of the disease, excessive sodium reabsorption by the proximal tubule becomes the main cause of sodium retention. In such cases, loop diuretics should be associated. These very potent and short-acting drugs should be given with caution because of their potential side effects: renal impairment, hyponatremia, hypokalemia, hypochloremic alkalosis and hepatic encephalopathy. The maximum recommended dosage for furosemide is 160 mg/day, which is seldom reached in clinical practice because of the adverse side effects at lower doses. Treatment can be sequential, i.e. starting with aldosterone antagonist monotherapy at increasing doses, with the eventual addition of a loop diuretic in case of failure, or combined, i.e. starting with the association straight away. Controlled clinical trials suggest that sequential treatment is to be preferred in patients with ascites at their first presentation, and a well-preserved glomerular filtration rate, where natriuresis can be achieved in more than 90% of the cases, and dose adjustments are less common. Patients with long-standing recidivant ascites would benefit from the combined treatment, which induces natriuresis more rapidly with a lower incidence of hyperkalemia.

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Renal sodium retention is the pathogenetic mechanism that promotes extracellular fluid expansion in cirrhosis [1]. The fluid overload is then compartmentalized as ascites because of postsinusoidal portal hypertension and edema. Therefore, the medical treatment of ascites aims to establish a negative sodium balance, and diuretics represent the mainstay of therapy to achieve this goal in uncomplicated ascites, i.e. neither infected nor associated with hepatorenal syndrome [2]. Complicated ascites is characterized by either refractoriness to treatment or ascitic fluid infection, renal failure or severe hyponatremia and requires different approaches that are described elsewhere in this book.

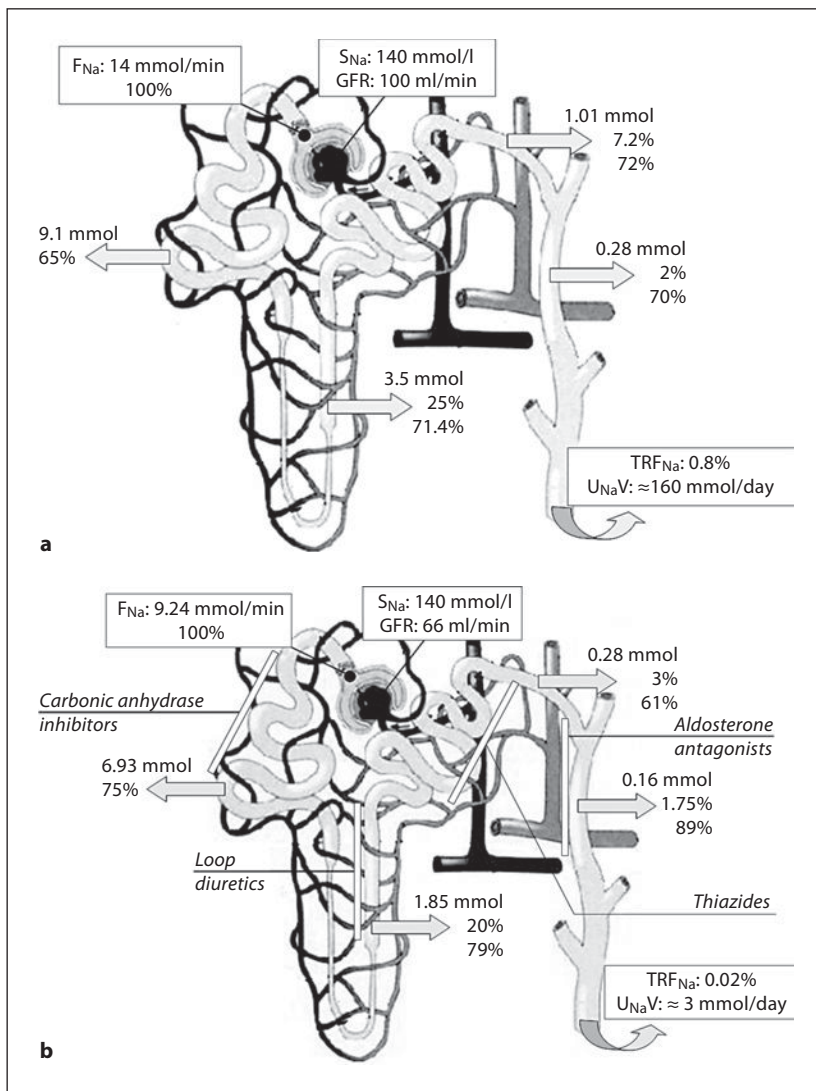
A rational choice of diuretics cannot overlook the mechanisms responsible for sodium retention or the nephron sites where this occurs. Briefly, the fundamental afferent factor is reduced effective volemia, mostly due to peripheral arterial vasodilation, that evokes the compensatory activation of systems devoted to extracellular fluid defense such as the renin-angiotensin-aldosterone axis, the sympathetic nervous system and the secretion of arginine vasopressin [3]. Among these efferent factors, secondary hyperaldosteronism plays a major role. Indeed, excessive sodium reabsorption mainly takes place at the distal nephron, even though the proximal convoluted tubule, under the effects of angiotensin II and sympathoadrenergic drive, also plays a role [1]. Progression of cirrhosis is associated with a worsening of effective volemia, which ultimately impairs the glomerular filtration rate (GFR) thereby further enhancing proximal sodium reabsorption, which becomes prevalent when GFR is severely depressed. Thus, both distal and proximal sodium reabsorption are enhanced in advanced cirrhosis due to secondary hyperaldosteronism and reduced renal perfusion, respectively [4] (fig. 1a, b).

Given this pathophysiological background, aldosterone antagonists represent the first-choice treatment, but when proximal reabsorption is also enhanced, other diuretics should be associated. Unfortunately, only carbonic anhydrase inhibitors counteract sodium reabsorption at the proximal tubule, but their side effects and scarce effectiveness once GFR is depressed prevent their use in this setting. Thus, the potent loop diuretics are employed even though Henle's loop, their site of action, does not seem to play a major role in the renal sodium handling abnormalities of cirrhosis.

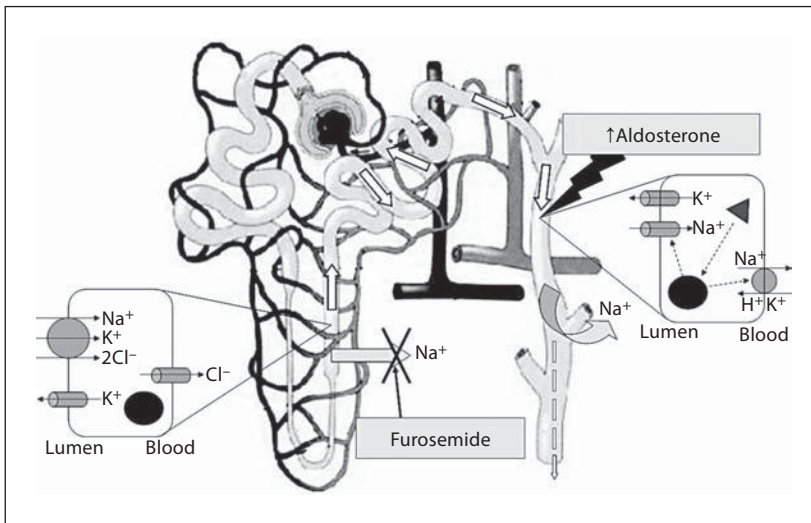
### **Aldosterone Antagonists**

Aldosterone exerts its action on the epithelial cells of the late distal and collecting ducts by binding the cytosolic mineralocorticoid receptor. The aldosterone-receptor complex migrates into the nucleus where it enhances both the synthesis of a long-lasting protein that facilitates sodium transport from the tubular lumen through the amiloride-sensitive sodium channel (ASSC) and de novo synthesis of channel proteins (ASSC, Na-K-ATPase) [5]. As a result, more sodium crosses the luminal membrane through ASSC and leaves the tubular cell through the Na-K-ATPase transporter located at the basolateral membrane. Antagonists compete with aldosterone at the mineralocorticoid receptor, thus blocking its actions on gene expression [6] (fig. 2). This mechanism implies clinically relevant consequences: (a) the drug dosage to achieve full antagonism is proportional to the degree of hyperaldosteronism [7], and (b) the effect of antagonists has a latency of at least 48 h from their administration. Thus, making a decision about their efficacy and/or increasing dosage earlier is not rational.

Spironolactone is a 17-spirolactone steroid with high selectivity and efficacy, and is the most widely used aldosterone antagonist in clinical practice. This lipophylic



**Fig. 1. a** Renal sodium reabsorption at the different nephron sites in normal conditions. Calculations were made assuming: serum sodium concentration ( $S_{Na}$ ) = 140 mmol/l; GFR = 100 ml/min. Data represent rough estimates.  $F_{Na}$  = Filtered load of sodium; TRF<sub>Na</sub> = tubular rejection fraction of sodium; U<sub>Na</sub>V = urine sodium excretion. Each box reports absolute amount of sodium reabsorbed (mmol/min), relative amount of sodium reabsorbed with respect to the  $F_{Na}$ , and relative amount of sodium reabsorbed with respect to the amount delivered to that segment. **b** Renal sodium reabsorption at the different nephron sites in cirrhosis with avid renal sodium retention. Calculations were made assuming serum sodium concentration ( $S_{Na}$ ) = 140 mmol/l and GFR = 66 ml/min. Abbreviations and reported data as in **a**. Note the increase in the relative sodium reabsorption by the proximal convoluted tubule (from 65 to 75%) as a consequence of GFR reduction, and the striking increase in the relative amount of sodium reabsorbed by the aldosterone-sensitive distal nephron (from 70 to 89%). The sites of action of diuretics are also indicated.



**Fig. 2.** Mechanism leading to failure of the natriuretic effect of loop diuretics in patients with severe hyperaldosteronism. Furosemide blocks the reabsorption of sodium at the thick ascending limb of Henle's loop by inhibiting the  $\text{Na}^+2\text{Cl}^- \text{K}^+$  symporter. As a result, the distal delivery of sodium increases (white arrows). However, unopposed hyperaldosteronism leads to avid sodium reabsorption at the mineralocorticoid-sensitive segments of the nephron. The natriuretic response to furosemide is greatly attenuated or even abolished. Some mechanisms leading to sodium reabsorption by tubular cells are also illustrated. In the epithelial cells lining the thick ascending limb of Henle's loop (left) sodium is reabsorbed by the  $\text{Na}^+2\text{Cl}^- \text{K}^+$  symporter located in the luminal membrane; this transport is counteracted by loop diuretics. In the epithelial cells lining the aldosterone-sensitive cortical collecting duct (right) and the aldosterone-sensitive mineralocorticoid receptor complex (grey triangle) migrate into the nucleus (black circle), where they enhance both the synthesis of a long-lasting protein that facilitates sodium transport from the tubular lumen through the amiloride-sensitive sodium channel located in the luminal membrane and de novo synthesis of channel proteins (amiloride-sensitive sodium channel;  $\text{Na}^+ \text{K}^+ \text{ATPase}$ , located in the basolateral membrane). Antagonists compete with aldosterone at the mineralocorticoid receptor, thus blocking its actions on gene expression.

molecule undergoes an almost complete first-pass effect in the liver, so that its action is promoted by its metabolite canrenone that contributes to, but does not fully account for the biological activity of spironolactone [5]. In fact, spironolactone metabolism produces a number of further and still active molecules. This, along with their reduced clearance in cirrhosis [8], explains the persistent anti-mineralocorticoid effect after withdrawal lasting up to a week or more. Canrenoate, a hydrophilic molecule that can be administered i.v., derives from a modification of the canrenone lateral chain. It has no intrinsic activity, but exerts biological effects by virtue of its interconversion with canrenone in the plasma, thus avoiding the hepatic first-pass effect [9]. The epoxy derivative of aldosterone eplerenone has a more selective binding affinity for the mineralocorticoid receptor. It has been widely used for treating hypertension



and heart failure [10], but no controlled studies in advanced cirrhosis and ascites are available.

Aldosterone antagonists undergo a ready and almost complete intestinal absorption (bioavailability 75–95%) facilitated by the presence of food. Their elimination half-life is prolonged (up to 24 h), and they can be administered once daily.

Spirolactone exerts anti-androgenic effects, leading to painful gynecomastia and impotence through different mechanisms: decreased testosterone production in the adrenal gland, secondary to microsomal cytochrome P450 (CP450) depletion and inhibition of the CP450-dependent enzymes 17 $\alpha$ -hydroxylase and desmolase, and competitive inhibition of dihydrotestosterone-receptor binding, which interferes with its nuclear translocation [11]. K-canrenoate metabolism has much less impact on CP450, and its anti-androgenic effects are substantially attenuated [11]. Protection from such effects also derives from a more selective binding affinity for the mineralocorticoid receptor, as reported with epleronone [12].

Aldosterone antagonists favor bicarbonate and reduce hydrogen ion excretions, potentially leading to metabolic acidosis, but this seldom becomes clinically significant [13]. Instead, hyperkalemia may be severe, but this usually occurs in patients with an impaired GFR. Otherwise, if aldosterone antagonist administration is followed by natriuresis, hyperkalemia does not develop even with high dosages [4].

The recommended starting dosage of spironolactone or other antagonists (canrenone, K-canrenoate) is 100–200 mg/day, which should be increased by 100 (200) mg/day every third day [2, 14, 15]. Provided the GFR is fairly well preserved [7], dosages should theoretically be increased up to complete aldosterone antagonism, which could be assessed by transtubular potassium gradient (urine K  $\times$  serum K<sup>-1</sup>/urine osmolality  $\times$  plasma osmolality<sup>-1</sup>; values <3 suggest aldosterone blockade). However, a controlled clinical trial [4] showed that the response rate obtained by K-canrenoate up to 600 mg/day was about 80%, and about 70% with 400 mg/day. Thus, doses higher than 400 mg/day do not substantially increase the success rate and need time to achieve and favor the occurrence of side effects. Therefore, all guidelines indicate 400 mg/day as the maximal recommended dose for spironolactone [2, 14, 15], which can be extended to canrenone and K-canrenoate.

### **Other 'Potassium-Sparing' Diuretics**

Triamterene and amiloride also act at the distal nephron, somehow mimicking the effect of aldosterone antagonists by blocking the ASSC. There are no controlled clinical trials devoted to the use of triamterene in cirrhosis. The only randomized study comparing amiloride (20–60 mg/day) versus K-canrenoate (150–500 mg/day) reported a lower effectiveness [16]. Thus, these drugs are seldom used in clinical practice.



## Loop Diuretics

These organic acids are secreted into the tubular fluid by the proximal tubule and inhibit the  $\text{Na}^+2\text{Cl}^- \text{K}^+$  symporter located in the luminal membrane of epithelial cells lining the thick ascending limb of Henle's loop [17] (fig. 2). This nephron segment reabsorbs up to 25% of the filtered sodium load. Therefore, loop diuretics can exert an intense diuretic action as the distal nephron does not have the reabsorptive capacity to compensate for this increased load; cirrhosis, however, may represent an exception, as will be discussed later. Part of the loop diuretic effect is mediated by prostaglandin  $\text{E}_2$  synthesis, and nonsteroidal anti-inflammatory agents impair their efficacy [18].

Loop diuretics are rapidly absorbed by the gut (30 min to 2 h); their bioavailability is variable, ranging from about 80% for bumetanide and torasemide to 40–60% for furosemide. Their action occurs promptly: it starts within 30 min after oral administration, peaks within 1–2 h, and ends in 3–4 h [17]. Whether furosemide pharmacokinetics are altered in cirrhosis is debated: some authors reported a reduced bioavailability [19], but others did not [20]. In any case, there appear to be no major alterations.

The most widely employed loop diuretic is furosemide. Guidelines indicate an initial oral dose of 20–40 mg/day, to be progressively increased every other day up to 160 mg/day [2, 14, 15]. However, such a dosage can seldom be reached because of the occurrence of even severe side effects at lower doses. Similar indications can be followed for other loop diuretics, taking into account that 40 mg of furosemide is equivalent to about 1 mg of bumetanide, 30 mg of torasemide and 50 mg of ethacrynic acid.

Ototoxicity is a potential side effect of loop diuretics, namely ethacrynic acid that has been almost abandoned for this reason. Other side effects are somehow exacerbated by the peculiar pathophysiological context of advanced cirrhosis. (1) Their brisk and potent action can induce a sudden blood volume contraction that cannot be compensated by vasoconstriction because of the blunted cardiovascular responsiveness to vasoconstrictors [21]. Thus, effective volemia deteriorates and worsens hyperaldosteronism, impairs GFR and increases arginine-vasopressin secretion, ultimately leading to renal failure and hyponatremia. (2) Potassium, magnesium and calcium depletions are exacerbated by secondary hyperaldosteronism if an adequate aldosterone blockade is not achieved. (3) The inhibition of sodium and chloride reabsorption at Henle's loop impairs free water clearance and favors hyponatremia (see also point 1). (4) Because of hydrogen ion, chloride and potassium depletions, along with plasma volume contraction, hypochloremic alkalosis can ensue. Alkalosis favors hydrogen ion entry into the tubular cells, where it enhances ammonia generation, and augments blood-brain barrier permeability to ammonia. Hypovolemia, alkalosis and increased ammonia production can worsen or precipitate hepatic encephalopathy [22], a phenomenon that is amplified by concomitant hyponatremia.

There is no scientific evidence for preferring one loop diuretic to another. The main problem with this class of diuretics is related to their violent action, and a drug exerting a longer-term effect could be better tolerated. This may be the case of torasemide, but available data do not suggest a substantial advantage over furosemide [23]. Under appropriate clinical conditions, continuous furosemide infusion could maximize its diuretic action and minimize untoward hemodynamic effects. This practice has been extensively studied in other contexts, such as heart failure [24], but no controlled data are available in cirrhosis. Studies addressing this issue are warranted.

## **Diuretic Treatment Strategies in Decompensated Cirrhosis**

### *Extent of Diuretic-Induced Negative Fluid Balance*

Diuretics invariably lower blood volume, the expansion of which represents an important compensatory mechanism against effective hypovolemia due to vasodilation. Diuretic-induced negative fluid balance can be monitored simply by body weight assessment and should not exceed 700–800 ml/day, an amount that can be replaced by ascites mobilization to minimize its impact on effective volemia. As peripheral edema can be more easily mobilized than ascites, it allows a daily weight reduction of up to 1.5 kg [25]. Failure to comply with these limitations can result in diuretic-induced renal failure and/or hyponatremia.

### *Importance of Aldosterone Antagonism*

Secondary hyperaldosteronism is always present in decompensated cirrhosis, and can be severe. Thus, aldosterone antagonists, whose efficacy has been clearly demonstrated by several controlled clinical trials [4, 26], should be always administered. Spironolactone proved to be more effective than furosemide in uncomplicated ascites [26], even though this may appear paradoxical, as furosemide is endowed with a far greater natriuretic potency. Indeed, the amount of sodium not reabsorbed in Henle's loop under furosemide action is reabsorbed in the distal nephron by the unopposed effect of aldosterone (fig. 2). However, when GFR is severely depressed (<60 ml/min), sodium reabsorption at the proximal tubule reduces its delivery to the distal nephron, the site of action of aldosterone antagonists, thereby cancelling out their effect. These patients cannot be treated with aldosterone antagonists alone, and loop diuretics should be added.

### *Sequential versus Combined Treatment*

The sequential or 'stepped care' treatment of ascites is widely popular: an aldosterone antagonist is given at a starting dose of 100 mg/day and is progressively increased to the highest recommended dose if no response is achieved. Loop diuretics are eventually associated in those patients who still fail to develop natriuresis.

The starting dose of furosemide is 20–40 mg and is increased every other day up to the maximum recommended dose. Sequential treatment is effective in up to 90–95% of patients with fairly-well-preserved GFR [4]. However, reaching high dosages of aldosterone antagonists is time consuming and may favor the occurrence of side effects.

Combined treatment begins with both an aldosterone antagonist (100 mg/day) and furosemide (40 mg/day), with parallel increases in dosages every third day, if needed, up to the respective maximum dosages. This therapy has been recommended by some guidelines since 1994 [27], even though evidence favoring combined treatment had not emerged from the few clinical studies available [28]. Data from two more recent controlled clinical trials are apparently conflicting. The first study did not find significant differences between sequential and combined treatments (spironolactone and furosemide) in terms of response rate, rapidity of ascites mobilization and incidence of diuretic-induced complications. However, sequential therapy was deemed more suitable for outpatients, because it needs less-frequent dose adjustments (34 vs. 68% of cases) [29]. The second study found that the combined treatment (K-canrenoate and furosemide) was superior because of the shorter time to achieve natriuresis (15 vs. 21 days) and lower incidence of side effects, chiefly hyperkalemia [30]. These discrepancies likely rely on the different patient populations enrolled. Most patients in the first study [29] had their first decompensation and mild hyperaldosteronism, and all had normal GFRs. A high rate of response to spironolactone, at even low doses, could be anticipated: 91% developed natriuresis and 72% responded to 200 mg/day. In contrast, most patients in the second study [30] had recidivant ascites and severe hyperaldosteronism. In addition, many even had a substantially reduced GFR. A low efficacy of K-canrenoate monotherapy had to be expected in such patients: 41% responded to the highest dose and 26% needed furosemide administration.

Thus, patients at the first decompensation, with preserved GFR, could be given aldosterone antagonists alone as natriuresis will likely occur at relatively low doses and side effects will be unlikely. Those with a long history of ascites, recidivant effusions and impaired GFR will likely benefit from the combined regimen, which will induce natriuresis more rapidly and reduce the risk of hyperkalemia [31].

#### *Monitoring and Counteracting Side Effects*

Up to a third of patients develop significant diuretic-induced side effects. Therefore, thorough clinical and laboratory monitoring is needed. Patients should be weighed regularly and instructed to monitor their weight at home to avoid excessive fluid loss. Should this occur, dosage of the diuretic should be reduced, starting with the loop diuretics if given. As renal impairment up to renal failure and/or dilutional hyponatremia can occur in about 20% of cases, serum electrolytes and creatinine should be assessed regularly. These complications require early recognition, and usually resolve by stopping treatment and, if needed, plasma volume expansion. Both hyper- and

**Table 1.** Definition and diagnostic criteria of refractory ascites

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*Definition*

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Refractory ascites: ascites that cannot be mobilized or the early recurrence of which (i.e. after therapeutic paracentesis) cannot be satisfactorily prevented by medical therapy

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It can be divided into

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- 1 Diuretic-resistant ascites: ascites that cannot be mobilized or the early recurrence of which cannot be prevented because of a lack of response to dietary sodium restriction and intensive diuretic treatment

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- 2 Diuretic-intractable ascites: ascites that cannot be mobilized or the early recurrence of which cannot be prevented because of the development of diuretic-induced complications that preclude the use of an effective diuretic dosage

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*Diagnostic criteria*

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- 1 Treatment duration: patients must be on intensive diuretic therapy (spironolactone 400 mg/day and furosemide 160 mg/day) for at least 1 week and on a salt-restricted diet of <90 mmol/day or 5.2 g salt/day.

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- 2 Lack of response: mean weight loss of <0.8 kg over 4 days and urinary sodium output less than the sodium intake

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- 3 Early ascites recurrence: reappearance of grade 2 or 3 ascites within 4 weeks of initial mobilization

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- 4 Diuretic-induced complications
  - a Diuretic-induced hepatic encephalopathy: development of encephalopathy in the absence of any other precipitating factor
  - b Diuretic-induced renal impairment: increase of serum creatinine by >100% to a value >2 mg/dl in patients with ascites responding to treatment
  - c Diuretic-induced hyponatremia: decrease of serum sodium by >10 mmol/l to a serum sodium of <125 mmol/l
  - d Diuretic induced hypo- or hyperkalemia: change in serum potassium to <3 mmol/l or >6 mmol/l despite appropriate measures.

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Grade 1 ascites is mild ascites only detectable by ultrasound examination. Grade 2 ascites or moderate ascites is manifest by moderate symmetrical distension of the abdomen. Grade 3 ascites is large or gross ascites with marked abdominal distension.

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hypokalemia can occur. Hypokalemia obviously requires supplementation, whereas hyperkalemia needs dosage reduction or temporary withdrawal of aldosterone antagonists. Cation-exchange resins should be given in severe cases to allow treatment with these drugs to be continued. Painful gynecomastia under spironolactone can benefit from the shift to K-canrenoate, if available. The pathophysiology of muscle cramps

remains unsettled, but they appear to be related to hypovolemia and benefit from human albumin infusion [32]. Lastly, hepatic encephalopathy should be recognized promptly, concomitant (e.g. hyponatremia) or alternative causes excluded and specific treatment instituted; diuretic withdrawal may be required.

### *Recognizing Refractory Ascites*

The diagnosis of refractory ascites has been defined stringently [2] and relies on the response to diuretics (table 1). Diuretic resistance, which is associated with GFR impairment up to renal failure, can be related to insufficient sodium delivery to the nephron segments where either loop diuretics or aldosterone antagonists act because of the reduced filtered load and increased proximal reabsorption (fig. 1). Diuretic intractability mainly reflects hemodynamic instability and the failure to compensate diuretic-induced hypovolemia.

Diuretics themselves, however, can induce reversible refractoriness, which should be recognized as it implies a different prognosis and treatment. Diuretic-induced renal failure and/or hyponatremia due to excessive diuresis can be reverted by diuretic withdrawal and plasma volume expansion, while the adjustment of diuretic therapy can resolve apparent refractoriness due to monotherapy with loop diuretics or low-dose aldosterone antagonists in patients with severe hyperaldosteronism, or monotherapy with aldosterone antagonists in patients with impaired GFR. Reversible refractoriness to diuretics can also be induced by excessive dietary sodium intake or non-steroidal anti-inflammatory drugs. These conditions should be identified as they can easily be resolved.

#### **Key Messages**

- Renal retention of sodium in cirrhosis occurs both at the proximal convoluted tubule and the aldosterone-sensitive collecting duct. Aldosterone antagonists represent the first-choice treatment, and loop diuretics are also needed when proximal reabsorption is substantial.
- The effect of aldosterone antagonists has a latency of at least 48 h and their dose should be proportional to actual hyperaldosteronism. Painful gynecomastia and hyperkalemia are the most important side effects.
- Loop diuretics are very potent and fast-acting, so that their side effects (renal impairment, hyponatremia, and hypochloremic alkalosis) are favored by the hemodynamic background of cirrhosis. They can also precipitate hepatic encephalopathy.
- The negative fluid balance induced by diuretic treatment should not be greater than 700 ml/day. This limit can be exceeded in the presence of edema.
- Sequential treatment should be preferred in patients with ascites of recent onset and well-preserved GFR. Combined treatment seems to be more beneficial to patients with long-standing ascites and impaired GFR.
- Refractory ascites needs to be carefully diagnosed. Mechanisms leading to transient refractoriness, including inappropriate diuretic treatment, should be identified and corrected.

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## Paracentesis

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### Abstract

Ascites is one of the most prevalent complications of cirrhosis. Ascites can hamper the patients' quality of life as well as predispose them to develop spontaneous bacterial peritonitis. Paracentesis is often used for diagnostic as well as therapeutic purposes in the management of cirrhotics with ascites. It is often performed as an outpatient procedure with or without the aid of ultrasound marking and the preferred site is the left lower quadrant. Diagnostic paracentesis is needed to ascertain the etiology of ascites as well as to exclude spontaneous bacterial peritonitis. Therapeutic paracentesis, total or large volume, is employed to relieve patient discomfort in cases refractory or resistant to diuretics. While coagulopathy is common in cirrhosis, it is not a contraindication to paracentesis, unless there is evidence of hyperfibrinolysis. Post-paracentesis circulatory dysfunction can occur in 20% of patients after therapeutic paracentesis and should be prevented by using albumin infusion during the procedure. Paracentesis, both diagnostic and therapeutic, is an essential and safe procedure for the management of end-stage liver disease and cirrhosis. Copyright © 2011 S. Karger AG, Basel

Chronic liver disease in the United States is mainly caused by hepatitis C and alcohol. Cirrhosis which represents the end stage of any chronic liver disease (CLD) is the twelfth leading cause of death in the US [1]. Ascites is not only the most common complication of decompensated liver disease but also the most common cause of hospital admissions [2]. Further on, development of ascites in a cirrhotic patient confers poor prognosis with around 44% dying within 5 years [3]. Appropriate management of ascites thus forms the cornerstone in the overall care of a cirrhotic patient.

### Nomenclature Used in Assessment of Ascites

The International Ascites Club has broadly divided ascites into uncomplicated and refractory ascites. The former has been graded according to severity from mild to severe as 1, 2 and 3. The latter group has been subdivided into diuretic-resistant and



**Table 1.** Classification of ascites according to severity and response to diuretics

| Uncomplicated ascites         | Refractory ascites          |                        |
|-------------------------------|-----------------------------|------------------------|
| No infection                  |                             |                        |
| No hepatorenal syndrome       | diuretic-resistant          | diuretic-intractable   |
| <i>Grade 1 (mild)</i>         |                             |                        |
| Ascites diagnosed on USG only |                             |                        |
| <i>Grade 2 (moderate)</i>     | ascites unresponsive to low | the effective diuretic |
| Clinically appreciated with   | sodium diet and maximal     | dosage cannot be used  |
| moderate distention           | diuretic dose               | due to side effects    |
| <i>Grade 3 (large)</i>        |                             |                        |
| Clinically marked or tense    |                             |                        |
| distention                    |                             |                        |

diuretic-intractable category according to response to diuretic treatment (table 1) [4, 5].

### **Confirmation and Ascitic Fluid Analysis**

For confirmation of ascites, abdominal paracentesis followed by relevant analysis of fluid to figure out the etiology and complications is considered a safe as well as informative procedure [5]. Paracentesis is a vital skill for the internists, especially those taking care of patients with liver diseases.

### **Indications of Abdominal Paracentesis**

Indications can be broadly divided into diagnostic when a limited amount of ascitic fluid is used to aid in diagnosis versus therapeutic aimed at relieving pressure symptoms in a patient with tense ascites (table 2).

### **Contraindications**

Other than frank evidence of fibrinolysis or disseminated intravascular coagulation there are no absolute contraindications to abdominal paracentesis [6]. Though there are certain special situations like pregnancy, in patients with massive organomegaly or bowel obstruction use of USG may reduce the risk of injury to the patient during the procedure. To minimize the complications, use commonsense precautions like catheterization of distended urinary bladder, nasogastric decompression in case of

**Table 2.** Indication for paracentesis in a patient with ascites

| Diagnostic   | Therapeutic   |
|--|---|
| With new onset ascites to detect etiology  | To relieve respiratory distress/abdominal pain in tense ascites       |
| With pre-existing ascites when SBP is suspected clinically or on laboratory parameters | Serial large-volume paracentesis in refractory cases                  |
| Hospitalized patients with ascites   | Prior to TIPS and USG for better procedural success and visualization |
|  | To prevent impending rupture of umbilical hernia                      |

bowel obstruction, avoiding sites such as areas of infection, abdominal wall hematomas, surgical scars, visibly engorged vessels and the anatomic location of the inferior epigastric arteries for paracentesis [7].

### Paracentesis Techniques

Various hospitals use different pre-packaged kits for paracentesis. The operator should be familiar with the type of kit being used in his hospital. Nevertheless, the principles of abdominal paracentesis remain the same regardless of the kit being used. After discussing the procedure with the patient, written consent should be obtained. The patient should be placed in a comfortable position which is usually supine.

In the past, the infraumbilical midline site 2 cm below the umbilicus was used – a preferred site in the belief that this region had no blood vessels [5]. This approach has been abandoned now as one laparoscopic study found that in patients with portal hypertension there are collaterals in the midline which can rupture during paracentesis [8]. Amongst the lateral approach, either right or left lower quadrant (2–4 cm medial and cephalad to the anterior superior iliac spine), the left lower quadrant is the preferred position. This is because a study using USG found that the abdominal wall in the left lower quadrant is significantly thinner with a larger pool of ascitic fluid in this location as compared to the midline infraumbilical location [9]. As the prevalence of obesity rises, this becomes particularly relevant. There is also a minor risk of perforating a dilated cecum (especially if the patient is on lactulose) if the right lower quadrant is used [6].

Paracentesis should be performed using standard sterile precautions. The entry site and the deeper tissues in the anticipated tract of the needle along with the pain-

sensitive parietal peritoneum should be numbed with a local anesthetic (5–10 ml of 1 or 2% lidocaine). A small puncture with either a scalpel or a large-bore needle (gauge 18) is made at the entry site to facilitate entry of the paracentesis needle [7].

Usually, various needle sizes are chosen depending upon whether the patient is thin or obese (1.5–3.5 inch, 22-gauge needle). Many experts prefer to use steel needles as compared to plastic-sheathed cannulas because of problems in draining the fluid due to kinking and obstruction of flow after the cannula is removed [10]. One of the most common complications of paracentesis is the leakage of fluid from the puncture site described as 5% in one study [11]. To prevent this special needle insertion, special techniques (namely angular insertion and Z-tract technique) are employed [12]. In the former, 45° angulation of the needle is used all along its tract from the epidermis into the peritoneal cavity while in the latter the skin is pulled 2 cm downwards before insertion of the needle and is then let go only after ascitic fluid is seen to be flowing. With these techniques, the needle tract gets sealed as the skin resumes its normal shape. The key to a successful outcome is to advance the needle in slow increments (approximately 5 mm) with the dominant hand while using the other hand to guide the needle path and intermittently aspirating on the needle. When the ascitic fluid is seen, the advancement of the needle should be stopped and the catheter guided over the needle while the needle is withdrawn. Further on, depending on the indication of paracentesis (if for diagnostics around 30–60 ml fluid is withdrawn using a syringe and if the intent is therapeutic), attaching vacuumized containers via high pressure connection tubing is performed. A sterile dressing is placed over the paracentesis site as the procedure is completed.

### **Large-Volume Paracentesis**

Approximately 10% of patients with cirrhosis develop ascites refractory to medical treatment alone [13] and hence need serial therapeutic paracentesis. In large-volume paracentesis (LVP) more than 5 liters of ascitic fluid is drained. Other indications for LVP may include tense ascites, respiratory distress, and impending rupture of the umbilical hernia prior to adequate USG examination of liver and transjugular intra-hepatic portosystemic shunt (TIPS) placement [14].

The role of plasma expanders in LVP has been dealt with in another chapter of this book. It is sufficient to mention here that if albumin is the plasma expander being infused, using 6–8 g/l is appropriate if greater than 5 liters of ascitic fluid is removed [3]. This is useful since in about 20% of patients LVP gets complicated by postparacentesis circulatory dysfunction (PPCD) [14]. As opposed to LVP, in total volume paracentesis (TVP) all the ascitic fluid is drained and this can be performed safely when albumin has been used [14]. Both LVP and TVP are associated with immediate symptomatic relief but this is short lived as there is recurrence of ascites. Hence, repeated procedures are involved without a significant increase in survival [15].

Transjugular intrahepatic portosystemic shunt (TIPS) is yet another modality of treatment available for the treatment of refractory ascites. TIPS is a side-to-side portocaval shunt aimed at correcting the portal hypertension [16]. It is hence effective in not only controlling ascites but also in preventing its re-accumulation. In a recently published meta-analysis of 4 large-scale published trials comparing the effect of TIPS versus LVP in cirrhotics with refractory ascites, it was concluded that TIPS was significantly better than LVP in transplant-free survival but encephalopathy occurred more in the former group as compared to the latter [17]. TIPS improved the quality of life in all patients apart from those who developed encephalopathy. Of the above trials, The North American Study of Treatment of Refractory Ascites (NASTRA) had the largest number of patients in each group and this trial clearly demonstrated that, similar to LVP, TIPS did not improve patients' survival [18]. The authors concluded that TIPS should be considered as a second-line therapy or a bridge to liver transplantation (LT). Referral to a LT center should be hastened in these patients as LT is considered to be the only treatment which improves the morbidity and mortality in these patients.

### **Ascitic Fluid Analysis**

If clinically uncomplicated ascites is suspected, the baseline laboratory parameters to be ordered include: total cell count with differentials, albumin, total protein concentration, and calculation of serum-ascites albumin gradient (SAAG), which is calculated by subtracting the ascitic fluid albumin level from concurrently tested serum albumin level. The clinical utility of SAAG is that it can predict that ascites is due to portal hypertension with 97% accuracy if the value of SAAG is equal to or greater than 1.1 g/dl [19].

Appropriate specimen tubes (EDTA-treated tube for cell counts and differentials and a plain tube for albumin) for collection of aspirated fluid should be used for prompt delivery to the laboratory. In those patients who get serial LVP in an outpatient setting, ordering total and differential cell counts is sufficient if they appear to be clinically stable [6].

A genuine concern in the evaluation of a patient with ascites is to determine whether the fluid is infected either spontaneously or secondarily. A polymorphonuclear cell (PMN) count of  $\geq 250$  cells/mm<sup>3</sup> along with a positive fluid culture without an obvious intra-abdominal source points towards spontaneous bacterial peritonitis (SBP) [20]. SBP, unlike secondary bacterial peritonitis, is usually a mono-microbial infection, therefore >1 organism on culture should raise the suspicion of secondary peritonitis. Further tests to help differentiate between SBP and secondary peritonitis include lactate dehydrogenase, glucose and total proteins [21]. Gram stain of ascitic fluid has a low yield (7–10%) in early SBP but it may be helpful in secondary bacterial peritonitis. The causes of hemorrhagic ascites (due to ascitic red blood cells >50,000/

mm<sup>3</sup>) include traumatic tap, cirrhosis (2%), malignancy, peritoneal carcinomatosis and congestive heart failure [22]. Appropriate correction to PMN cell counts should be applied in this scenario.

Specific tests should be ordered in response to a particular clinical scenario like ordering ascitic fluid triglyceride level if chylous ascites is drained, carcinoembryonic antigen or alkaline phosphatase level if gut perforation is suspected, checking ascitic fluid amylase if pancreatic etiology is suspected, and tubercular cultures if tubercular peritonitis is suspected. Ascitic fluid cytology is an expensive test and should only be ordered if peritoneal carcinomatosis is a diagnostic consideration.

### **Coagulopathy in Liver Disease**

In patients with liver disease, the hemostatic balance is maintained by multiple and often opposing variables which are in a dynamic state [23]. There is a delicate balance between the pro-thrombotic and anti-thrombotic forces which sometimes gets further challenged by additional factors like infections, thrombocytopenia and severity of underlying liver disease. While bacterial infections in cirrhotic patients have been shown to predispose to bleeding (due to heparin-like effect) nonalcoholic fatty liver disease and the metabolic syndrome is associated with a prothrombotic state [24]. From a simplistic view, this state can be likened to a 'see-saw' with the net clinical status of the patient being determined by which forces dominate. To further complicate this picture, the global tests of coagulation prothrombin time and international normalized ratio (PT-INR) do not reflect the changes in the anticoagulants and, hence, may not accurately predict bleeding risks [25]. There is no data-supported evidence to use coagulation parameters to assess bleeding risk in a patient with liver disease undergoing potentially hemorrhagic procedure like liver biopsy or paracentesis. Hence, no cut-off values for PT exist beyond which paracentesis should be avoided [5].

Patients with hyperfibrinolysis clinically present with mucocutaneous bleeding or hematoma formation which is diagnosed using euglobin clot lysis time (ELT) <120 min [26]. Some experts recommend using EACA in patients with hyperfibrinolysis after documenting the same using ELT and performing paracentesis only after ELT improves [6].

In a prospective study (163 patients and 410 paracentesis) conducted in an emergency room setting under ultrasound guidance, the pre-procedure INR for PT was more than 1.5 in 142 paracentesis with platelet count <50,000 cells/mm<sup>3</sup>. A minor complication rate of only 0.5% was reported. They concluded that bleeding complications are uncommon and mild even if they occur and that routine correction and checking of elevated INR or platelets is not desired [27].

Till the time that better tests for measuring coagulopathy in liver disease become available, it is advisable to use clinical judgment not only to assess risk of bleeding in

an individual but also as a guide to using agents such as platelets, blood factors and or antifibrinolytics.

## Complications

The fear about serious complications of abdominal paracentesis including death stems from the older literature when trocars were used. Currently, paracentesis is considered a safe procedure [6]. The complications of diagnostic paracentesis may be divided into bleeding complications, perforation of intra-abdominal organs, introduction of local or peritoneal infection and post-paracentesis persistent leakage of ascitic fluid. The bleeding complications present themselves either as abdominal wall hematoma, hemorrhage into the peritoneal cavity or bleeding related to direct puncture of the inferior epigastric artery.

In a large retrospective study (4,729 procedures), 9 patients (0.19%) were identified to have developed severe hemorrhage: all of these patients were in hospital and had significantly impaired renal function [28]. The mortality following bleeding complications in 0.02% of all paracentesis occurred in those patients who were hospitalized with severe thrombocytopenia and/or elevated INR. A prospective study (628 patients/1100 LVP) carried out in an outpatient setting reported no major complications: the preprocedure platelet counts ranged from 19,000 to 341,000 cells/mm<sup>3</sup> and INRs for PT ranged from 0.9 to 8.7. They also reported a very low incidence of persistent leakage from the paracentesis site (0.36%) which responded to local measures [29]. Another retrospective study did not find any increased bleeding in patients who had PT/PTT even up to twice the normal or platelet count of 50,000–99,000 cells/mm<sup>3</sup>. The overall transfusion-requiring events were very low at 0.2%, which led the authors to conclude that prophylactic treatment with blood products is not necessary [30]. A more recent prospective study (171 patients/515 paracentesis) observed complications in 10.5% which were mostly minor (8.9%). Local bleeding was observed in 2.3% of the cases and overflow of ascitic fluid from the puncture site in 5% of the cases. The major complications accounting for 1.6% included 2 patients with major hematoma, 3 cases with intraperitoneal bleeding and 3 cases with infectious complications. Technical problems were observed in 5.6% of the cases which included repeating the puncture due to flow interruption, repositioning of the catheter, and no ascites at the first attempt. Major complications were significantly associated with therapeutic procedures. In this study, a plastic sheath was used which could have accounted for the increased rate of complications [11].

Iatrogenic infection of the ascitic fluid is a concern. An earlier prospective study [5] did not report this complication while a more recent study observed infectious complications in 0.6% of the patients [11].

On review of the literature there seems to be no convincing evidence about coagulopathy or thrombocytopenia precluding abdominal paracentesis, either diagnostic or

therapeutic, and if hemorrhagic complications occur at all they are minor and easily controlled. Major hemorrhagic complications occur mainly in severely sick patients with comorbid illnesses like renal failure. It seems that LVP as compared to diagnostic paracentesis does not seem to have increased complication rates [5].

In about 20% of the patients, LVP gets complicated by postparacentesis circulatory dysfunction which is a complication unique to LVP [7]. This is characterized pathophysiologically as worsening of vasodilatation manifesting as hypotension, hyponatremia and increased catecholamine and renin levels. This state peaks at around 24–48 h and can lead to renal failure and even death [14].

### Key Messages

- Abdominal paracentesis for acquisition of ascitic fluid for diagnostic or therapeutic considerations is a quick, common and safe procedure.
- There are no absolute contraindications for paracentesis except hyperfibrinolysis.
- Using sterile precautions, proper tools and techniques along with the safest site, i.e. left lower abdominal quadrant, for paracentesis will help minimize the complications.
- The bleeding complications even in patients with deranged currently used parameters for coagulopathy in liver diseases and low platelet counts are rarely seen.
- Large-volume paracentesis effectively relieves pressure symptoms in patients with tense refractory ascites but can get complicated by postparacentesis circulatory dysfunction.

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## Large-Volume Paracentesis: Which Plasma Expander?

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### Abstract

Large-volume paracentesis without plasma volume expansion is associated with a significant increase in plasma renin activity in 70% of the cases, and is known as paracentesis-induced circulatory dysfunction (PICD). Patients with this complication are more likely to be hospitalized, need diuretics, and have a shorter survival time. In contrast, albumin administration at doses of 6–8 g/l of ascites removed can prevent the occurrence of this circulatory dysfunction. The efficacy of other plasma expanders, less expensive than albumin, in the prevention of this complication has been studied in several randomized controlled trials. In several small studies, dextran 70 and polygeline have been shown to be as safe as albumin. A large randomized, controlled trial, however, demonstrated that albumin infusion is more effective than dextran or polygeline. The incidence of PICD in patients treated with albumin was 18%, compared with 35 and 40% in patients receiving either dextran 70 or polygeline, respectively. Only when the volume of removed ascites is lower than 5 liters was dextran 70 or polygeline shown to have a similar effect as albumin. Albumin's longer half-life may account for its superior effect, but recent data suggest a direct endothelial mechanism for the efficacy. Further, the cost-benefit of replacing albumin volume expansion with vasoconstrictors in patients with large-volume paracentesis has not been proven. **Conclusion:** Albumin is the safest plasma expander in cirrhotic patients treated with paracentesis >5 liters.

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### Large-Volume Paracentesis in Clinical Practice

Since the reintroduction of therapeutic paracentesis accompanied with intravenous albumin infusion in 1985, several studies have demonstrated that this procedure should be considered as the treatment of choice for cirrhotic patients with tense ascites and potentially in the management of cirrhotic patients with refractory ascites. These studies showed that the risks of complications such as renal impairment, hyponatremia, and hepatic encephalopathy were lower in cirrhotic patients with tense ascites treated with large-volume paracentesis than in patients treated with diuretics [1–4].

In patients with refractory ascites, 5 randomized controlled clinical trials compared large-volume paracentesis with transjugular intrahepatic portosystemic shunts (TIPS) [5–9] and showed TIPS to be much more effective than paracentesis to control ascites, but at the expense of more frequent episodes of encephalopathy. Moreover, a recent meta-analysis showed that TIPS does not improve survival compared with repeated large-volume paracentesis [10].

### **Rationale for Using Plasma Volume Expansion**

Without plasma volume expansion, hemodynamic and hormonal changes after large-volume paracentesis have been extensively reported in previous studies [11–14]. Immediately after the mobilization of a large amount of ascites, a significant reduction in intra-abdominal pressure occurs, leading to decreased intrathoracic pressure and increased transmural pressure, venous return, heart volume, and cardiac performance. As a consequence of the increased cardiac output, systemic vascular resistance is reduced to accommodate the higher blood volume in systemic circulation. Endothelial vasodilators such as nitric oxide, sensitive to shear stress, vasodilator peptides and carbon monoxide are all probable mediators in the pathogenesis of the drop in systemic vascular resistance.

However, these beneficial effects, secondary to improved effective blood volume, are rapidly reversed if the large-volume paracentesis is not accompanied by plasma volume expansion. After 12 h of paracentesis, cardiac output and systemic vascular resistance fall below baseline, and renin, aldosterone and norepinephrine increase progressively to compensate the aggravation of arteriolar vasodilation. Finally, the persistence and magnitude of vasoconstriction system activation may lead to renal impairment due to significantly decreased renal perfusion and glomerular filtration rate.

Unchanged plasma volume following large-volume paracentesis [15, 16] can lead to paracentesis-induced circulatory dysfunction (PICD), defined as an increase in plasma renin activity by more than 50% from the pretreatment level to a level greater than 4 ng/ml/h on the sixth day after paracentesis. It is predominantly associated with aggravated arteriolar vasodilation already present in untreated cirrhotic patients with ascites. The mechanism of PICD is not yet completely understood, but available evidence suggests that the acute reduction of a high intra-abdominal pressure after paracentesis promotes the accentuation of arteriolar vasodilation and results in PICD. Patients with a severe decrease in intra-abdominal pressure, which can be measured by abdominal inferior vena cava catheterization, have a greater reduction in systemic vascular resistance [17].

The only large, randomized, controlled trial comparing therapeutic paracentesis with or without intravenous albumin administration showed that paracentesis plus albumin did not induce significant changes in plasma renin activity, plasma

aldosterone concentration, and renal function test [11]. In comparison, therapeutic paracentesis without albumin was followed by a significant increase in blood urea nitrogen, marked elevations in plasma renin activity and aldosterone concentration, and a significant reduction in serum sodium concentration.

### **Evidence Supporting the Use of Albumin for Plasma Expansion**

Since albumin is derived from human plasma and the cost is relatively high, its availability in many countries is limited. A number of randomized clinical trials have investigated whether albumin can be substituted by less-expensive plasma expanders in therapeutic paracentesis.

Dextran 70 was evaluated in at least two randomized studies. In the first study by Planas et al. [18], 88 patients with tense ascites and treated with total paracentesis were randomized to receive either intravenous albumin or dextran 70 at a dose of 8 g/l ascitic fluid removed. Neither treatment group showed significant changes in renal and serum electrolytes. The rate of survival and causes of death were similar between the two groups of patients. However, a significant increase in plasma renin activity and aldosterone concentration was observed in 51% of patients treated with dextran 70, compared with only 15% of those treated with albumin. The author concluded that although dextran 70 is less efficacious than albumin to prevent effective hypovolemia, it appears to prevent renal and electrolyte complications induced by paracentesis.

A second study by Fassio et al. [19] compared dextran 70 with albumin in 41 patients who were treated with 5-liter paracentesis daily until the resolution of ascites. Patients were given dextran 70 or albumin at a dose of 6 g/l of ascites evacuated. The results showed no significant changes in renal function, serum electrolytes, and plasma renin activity at 1 and 4 days after the final paracentesis. The probability of survival was similar in both groups during follow-up. The authors suggested that paracentesis with dextran 70 may be considered the treatment of choice in cirrhotic patients with tense ascites because of its lower cost.

Hemaccel was studied in a randomized, controlled trial conducted by Salerno et al. [20] in patients with refractory ascites. Twenty-seven patients received 6 g of intravenous albumin and 27 patients received an intravenous infusion of 150 ml of hemaccel per liter of ascites removed with total paracentesis. No significant changes in renal function, serum electrolytes, and plasma renin activity and aldosterone concentration were observed at 1, 3 and 6 days after the paracentesis. In addition, the rate of survival during follow-up was similar in the two groups.

Finally, dextran 40 and intravenous saline infusion were evaluated noncomparatively with albumin in patients treated with total paracentesis.

Solá et al. [21] gave 49 patients with tense ascites total paracentesis plus intravenous dextran 40 or diuretic treatment. Plasma renin activity and plasma aldosterone

concentration increased significantly in 70% of the cases. Cabrera et al. [22] performed a pilot study of 14 patients with tense ascites treated with paracentesis and intravenous saline infusion.

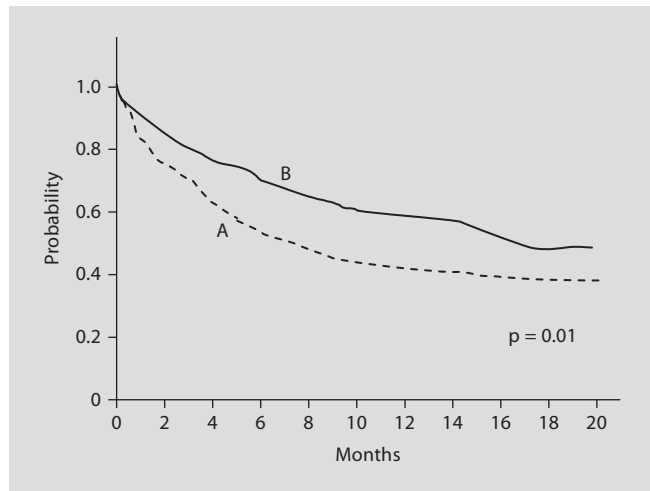
The results of the above-described studies with dextran 70 or hemacel are insufficient to conclude that the less-expensive plasma expanders can replace albumin in patients treated with large-volume paracentesis. For dextran 70 use [18], plasma renin activity had increased significantly in one but not in the other study [19]; total paracentesis was performed in one study and repeated paracentesis up to 5 liters was performed in the other. The hemacel study included a small number of patients (n = 27), and PICD was a spontaneous reversible complication. Finally, patients who received dextran 40 had a negative outcome, and saline infusion was only used in a very small pilot study.

A large randomized trial investigated whether dextran 70 or hemacel can prevent PICD and the impact of PICD on morbidity and mortality [23]. A total of 289 cirrhotic patients with ascites were randomized to receive total paracentesis plus intravenous albumin (n = 97), dextran 70 (n = 93), or polygeline (n = 99). PICD occurred significantly more frequently in patients treated with dextran 70 (34.4%) and polygeline (37.8%) than in those treated with albumin (18.5%). Arterial pressure decreased in all three groups, and hematocrit did not change in any group. Serum creatinine and plasma renin activity increased and serum sodium decreased significantly in patients treated with either dextran 70 or polygeline, but not in those treated with albumin. The total number and types of complications, including hyponatremia, renal impairment, hepatic encephalopathy, and death during hospitalization, were similar among all three groups. In addition, no difference was seen among the groups in the number of patients requiring re-admission, cause of re-admission, and number of deaths.

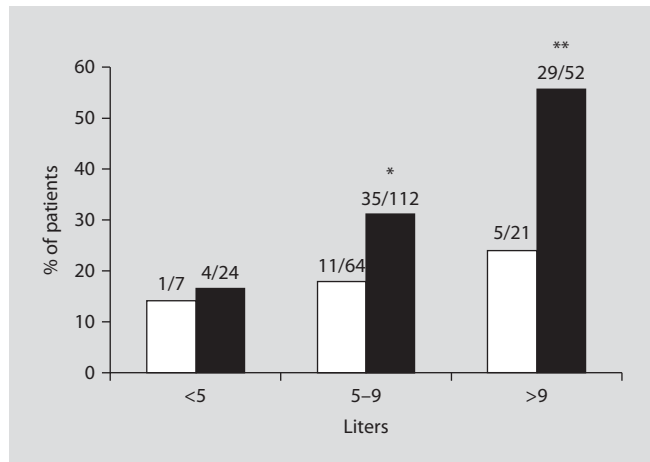
The study also showed that, in patients with PICD, the initial increase in plasma renin activity persisted 1 and 6 months after discharge from the hospital, patients had a significantly higher probability of being re-admitted to the hospital for ascites, and were more likely to require diuretics. More importantly, PICD was associated with a shorter length of survival (fig. 1). In the univariate analysis, only serum sodium, Child-Pugh score, serum creatinine and PICD were significantly related to survival. It confirmed other evidence of portal pressure increase in patients with PICD and a marked activation of the renin-angiotensin and the sympathetic nervous systems [24].

A second important finding of the study was that only volume of ascites removed and type of the plasma expanders had predictive value for the risk of developing PICD, but when the paracentesis volume was 5 liters or less, the incidence of PICD in patients receiving albumin was similar to that in patients receiving other expanders (14.2 vs. 15.4%). However, when the volume of ascites removed was greater than 5 liters, the incidence of PICD in patients treated with dextran 70 or polygeline rose to 31% when the paracentesis volume was 5–9 liters, and 55.7% when the volume removed was more than 9 liters (fig. 2).

**Fig. 1.** Probability of survival after entry into the study in the patients who (A) did and (B) did not develop postparacentesis circulatory dysfunction. Reproduced with permission from Ginés et al. [27].



**Fig. 2.** Incidence of postparacentesis circulatory dysfunction according to the plasma expander used (albumin □, dextran 70 or polygeline ■) and the volume of ascitic fluid removed. \*  $p = 0.04$  and \*\*  $p = 0.02$  with respect to the incidence in patients receiving albumin. Reproduced with permission from Ginés et al. [27].



Although the advantage of albumin appears to be related to plasma volume expansion due to its long half-life (about 21 days), recent data suggest that the prolonged improvement in circulatory function with albumin infusion can be attributed to its direct effect on the microcirculation. In patients with spontaneous bacterial peritonitis (SBP), albumin administration improved the systemic hemodynamics due to a decrease in arterial vasodilation and improving cardiac function. In addition, in patients with SBP, albumin administration, but not hydroxyethyl starch, was associated with a significant decrease in the plasma levels of factor VIII and von Willebrand-related antigen, indicating that albumin decreases endothelial activation [25, 26].

To date, no other study has been performed to change the conclusions of the Ginés et al. [27] study, which supports the advantage of albumin over less-expensive plasma expanders with therapeutic paracentesis. However, other approaches to managing cirrhotic patients treated with paracentesis can be explored. First, the dose of albumin at 6–8 g/l of ascites removed is arbitrary. It is unknown if lower amounts of albumin can be utilized with the same efficacy. Large controlled studies comparing recommended doses of albumin versus low doses are needed.

In addition, if the main cause of PICD is the aggravation of vasodilation, the use of vasoconstrictors instead of plasma expanders may be effective. Terlipressin has been compared with albumin in patients with cirrhosis treated with paracentesis [28, 29]. In a pilot study, Moreau et al. [28] randomized 20 patients to receive either terlipressin 3 mg or albumin 8 g/l of removed ascites on the day of paracentesis. No changes were seen at 4–6 days after paracentesis in plasma renin aldosterone, serum creatinine, or serum sodium levels between the two groups. Three months after the paracentesis, the survival rate was similar in both groups. The cost of terlipressin is slightly less than that of intravenous albumin. The authors concluded that terlipressin may be as effective as intravenous albumin in preventing a decrease in effective arterial blood volume in patients with cirrhosis treated with large-volume paracentesis. The second randomized pilot study also compared albumin and terlipressin with therapeutic paracentesis. Plasma renin activity and plasma aldosterone at 4–6 days after treatment did not differ between the two groups. Similar to the previous study, the authors concluded that terlipressin may be as effective as albumin in preventing PICD in patients with cirrhosis after therapeutic paracentesis [29].

Midodrine, an oral  $\alpha$ -adrenoceptor agonist, was also compared with albumin in cirrhotic patients with tense ascites for the prevention of PICD [30]. Twenty-four patients were randomized to receive either midodrine 12.5 mg three times per day over 2 days or albumin 8 g/l of removed ascites. PICD developed in 60% of the patients in the midodrine group and in 31% of the patients in the albumin group. The authors decided that midodrine is not as effective as albumin in preventing paracentesis-induced circulatory dysfunction.

In conclusion, on the basis of available data in the literature, albumin is the recommended plasma volume expander in cirrhotic patients with more than 5 liters of ascites removed by paracentesis. Dextran 70 or polygeline may safely substitute albumin only in patients treated with paracentesis of no more than 5 liters. There is insufficient evidence to recommend terlipressin as a substitute for albumin accompanying large paracentesis because of its similar cost to albumin.

#### **Key Message**

- On the basis of these data, it is strongly recommended that large-volume paracentesis should be performed along with plasma volume expansion.

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## Albumin: Not Just a Plasma Expander

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### Abstract

Human serum albumin is important for health and normal metabolic function. It comprises over half of the extracellular protein in blood and is the main regulator of plasma oncotic pressure. Traditionally, its main use has been as a volume expansion agent. Albumin undertakes a wide variety of transport functions and is essential for carrying metabolic products to the liver for metabolism and excretion. Due to its redox-active properties, it also acts as a first line of defence against pro-oxidant and free radical injury. In subjects with liver disease its concentration is reduced, an effect that is further compounded by studies that demonstrate that the remaining protein is functionally impaired. This lack of metabolic function most likely contributes to exacerbation of the disease processes. Administration of albumin to both patients with liver disease, and for a wide variety of other indications, shows beneficial effects that go far beyond simple fluid resuscitation.

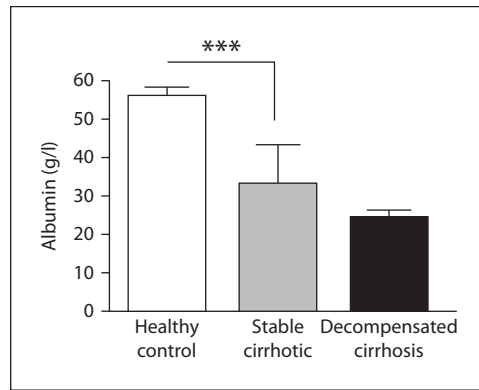
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Human serum albumin (HSA) comprises over half of the plasma extracellular protein (40–50 g/l in healthy individuals) and is responsible for approximately 75% of plasma colloid oncotic pressure. It is produced exclusively in the liver (9–12 g/day), and, as would be expected, there is a significant decrease in its serum concentration as a consequence of liver disease (see figure 1).

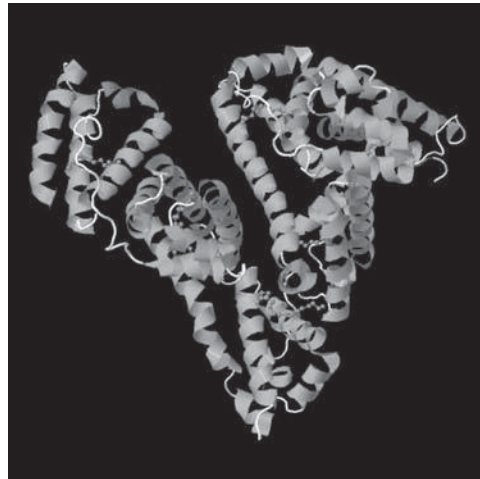
In addition to its role as a plasma volume regulator, albumin undertakes a variety of transport functions through a variety of designated binding sites formed within its tertiary structure. Albumin has the capacity to bind an extraordinarily diverse range of molecules (including drugs) and actively transports metabolic products including long-chain fatty acids, bilirubin, anions, bile acids and metals [1]. It also plays an enzymatic role in the metabolism of endogenous lipids and eicosanoids [2].

HSA is a relatively small (67 kDa) globular protein comprised of 609 amino acids, 35 of which are cysteine residues forming 17 disulphide bridges which stabilize the configuration of the molecule, a heart shaped tertiary structure with a high  $\alpha$ -helical content (see figure 2). The remaining cysteine (cys-34) residue accounts for the single free redox-active thiol (–SH) moiety of the molecule, capable of thiolation and nitrosylation. Due to the amount of HSA in the circulation and the reactive capacity of the

**Fig. 1.** Bar graph showing plasma concentrations of albumin from healthy volunteers (n = 10), stable outpatients with confirmed cirrhosis (n = 12) and patients admitted to hospital with decompensated liver disease (n = 20). A significant decrease in the albumin level is observed in subjects with liver disease (\*\*\*) p < 0.001).



**Fig. 2.** Representation of the structure of the albumin molecule, displaying the distinctive heart shape established from X-ray crystallography.



cys-34 thiol group as a scavenger of harmful reactive oxygen and nitrogen species, this provides the body's main front line extracellular defence against free radical injury.

### Albumin in Liver Disease

Liver failure is the all too common result of progressive chronic liver diseases, resulting from the impairment in liver function and the subsequent accumulation of metabolic toxins. The culmination of the disease process usually involves multiple organs and their function decreases accordingly with the severity of the liver condition.

Patients with cirrhosis develop portal hypertension which results in splanchnic arterial vasodilatation, consequently leading to high cardiac output, increased heart rate, a reduced peripheral vascular resistance and arterial pressure. This particular

cardiovascular scenario is known as circulatory dysfunction that deteriorates with the development of liver failure and leads to a progressive reduction in individual organ perfusion. Indeed, liver failure causes high morbidity and its mortality without liver transplantation (the only curative treatment but limited to a selected population) remains disturbingly high.

Albumin was introduced as a treatment in the management of cirrhotic patients with hypoalbuminaemia and ascites in the 1950s. Initially, it was thought that its benefits were limited to its oncotic properties and its capacity to expand intravascular volume. In subsequent years, better understanding of the pathophysiology of liver failure and its complications, in combination with the determination of the structure and physiological properties of albumin, have altered this opinion. In recent years, improvements in the management of cirrhotic patients have led to increases in survival and quality of life. This clinical approach now includes established indications for albumin infusion:

- Spontaneous bacterial peritonitis (SBP) is a frequent infection in cirrhotic patients with ascites that can be complicated with systemic inflammatory response. This trigger can cause deterioration in liver and hemodynamic functions and subsequently progress to multiorgan failure, in spite of sterilization of the ascitic fluid with antibiotics. Studies have evaluated the effect of albumin infusion during an episode of SBP and found that its administration together with antibiotics reduced mortality and improved outcome in these patients [3] (table 1), and are now part of the AASLD management guidelines for patients with SBP [4].
- Hepatorenal syndrome (HRS) develops from an extreme form of circulatory dysfunction. The splanchnic arterial vasodilatation results in severe underfilling of the systemic vascular territory with renal hypoperfusion ultimately leading to renal failure. Several studies have demonstrated that a combination strategy of albumin infusion with vasoconstrictors has a significant survival benefit in patients with this condition [5–8] (table 2).
- In addition, albumin infusion has also been shown to have a positive effect in preventing patient deterioration. Paracentesis-induced circulatory dysfunction (PICD) consists of an exacerbation of arteriolar vasodilatation leading to a large volume paracentesis. This syndrome develops in 80% of patients after a large-volume paracentesis and can cause acute renal failure with a high associated mortality rate. Albumin infusion has been widely evaluated in the prevention of this syndrome (table 3). Evidence suggests that albumin appears to be the most effective drug in the prevention of humoral, hemodynamic, and clinical effects associated with this syndrome, consequently showing a significant improvement in morbidity and mortality [9–16].

Recent studies have suggested that albumin infusion benefits are not limited to its plasma oncotic properties. Fernandez et al. [17] studied 12 patients with SBP treated with albumin infusion plus antibiotic therapy, examining both the humoral (plasma

**Table 1.** Clinical studies that evaluated albumin infusion in spontaneous bacterial peritonitis in cirrhosis

| Author, year    | Design     | Treatment                                | Control group                            | Number of subjects | Aim  | Effect                                      |
|-----------------|------------|--|--|--------------------|--|---|
| Sort, 1999      | randomised | cefotaxime+ albumin                      | albumin                                  | 126 (63/63)        | renal failure and 90 days mortality          | reduction in renal failure and mortality    |
| Fernandez, 2004 | cohorts    | ceftriaxone+ albumin                     | no                                       | 12                 | systemic and splanchnic hemodynamics         | improvement                                 |
| Choi, 2005      | randomised | large paracentesis+ albumin              | diuretics + albumin                      | 42 (21/21)         | effectiveness and safety at 90 days in SBP   | same benefit                                |
| Fernandez, 2005 | randomised | albumin+ antibiotic                      | hydroxyethyl starch 200/0.5 + antibiotic | 20 (10/10)         | systemic haemodynamics                       | superiority of treatment                    |
| Sigal, 2007     | cohorts    | albumin+ antibiotic (high-risk patients) | antibiotic (low-risk patients)           | 36 (21/15)         | renal impairment                             | no benefits of albumin in low-risk patients |
| Chen, 2009      | randomised | albumin + antibiotic                     | antibiotic                               | 30 (15/15)         | Systemic and ascetic endotoxin and cytokines | decrease of inflammatory mediators          |

renin activity, nitric oxide, interleukin-6) and hemodynamic (systemic and splanchnic) changes. The resolution of infection was associated with an improvement in cardiac function, but also indicated an increased peripheral vascular resistance. This study suggests that albumin provides a beneficial effect in addition to its role as a volume expander.

A clinical study carried out in patients with acute diuretic-induced hepatic encephalopathy compared the effects of either a 4.5% albumin or colloid infusion. It was found that an improvement in systemic hemodynamic measures with a complementary reduction in plasma ammonia occurred in both groups. However, a significantly more marked improvement in hepatic encephalopathy together with a reduction in oxidative stress markers was found in the albumin-treated patients. These results suggest that the beneficial effects in the albumin group are not related to the hemodynamic improvement or the decrease in ammonia and could be related to the antioxidant effects of albumin [18].

In a randomised controlled study performed in patients with severe hepatic encephalopathy (HE grades 3 or 4 in the West-Haven scale), an improvement in HE grade was reached faster and more frequently in patients receiving standard

**Table 2.** Clinical studies that evaluated the effectiveness of albumin infusion in hepatorenal syndrome

| Author, year            | Design                  | Treatment                        | Control group                 | Number of subjects | Aim  | Effect                                       |
|-------------------------|-------------------------|----------------------------------|-------------------------------|--------------------|--|--|
| Guevara, 1998           | prospective, open label | ornipresin+ albumin (3 days)     | ornipresin+ albumin (15 days) | 16 (8/8)           | resolution HRS                                 | effective in prolonged arm                   |
| Ortega, 2002            | prospective, open label | terlipresin+ albumin             | terlipresin                   | 21 (13/8)          | resolution HRS, 3 months' survival             | superiority of treatment                     |
| Pomier-Layrargues, 2003 | randomised, cross-over  | octreotido+ albumin              | placebo+ albumin              | 14 (6/8)           | Improvement renal function                     | no benefits                                  |
| Alessandria, 2007       | randomised, unblinded   | noradrenaline+ albumin           | terlipresin+ albumin          | 22 (10/12)         | resolution HRS                                 | same effect                                  |
| Testro, 2008            | retrospective           | terlipresin+ albumin             | no                            | 69                 | survival free-transplant                       | recurrence type 1 HRS decrease survival      |
| Neri, 2008              | randomised              | terlipresin+ albumin             | albumin                       | 52 (26/26)         | improvement renal function, 3 months' survival | superiority of treatment                     |
| Sanyal, 2008            | randomised              | terlipresin+ albumin             | placebo+ albumin              | 112 (56/56)        | resolution HRS                                 | superiority of treatment                     |
| Marti-Llahi, 2008       | randomised              | terlipresin+ albumin             | albumin                       | 46 (23/23)         | improvement renal function, 3 months' survival | superiority in renal function, same survival |
| Sharma, 2008            | randomised, open label  | noradrenaline + albumin          | terlipresin + albumin         | 40 (20/20)         | resolution HRS                                 | same effect                                  |
| von Kalckreuth, 2009    | retrospective           | terlipresin+ albumin             | no                            | 30                 | predictors of response                         | short treatment and high dose in responders  |
| Skagen, 2009            | cohorts                 | midodrine + octreotide + albumin | no treatment                  | 162 (75/87)        | renal function, survival free of transplant    | improvement of treatment                     |

medical therapy plus albumin dialysis than in those who received standard medical therapy alone [19]. In this study, the patient's blood was dialysed against albumin across a high-flux membrane. This allows the removal of albumin-bound and water-soluble toxins, though it prevents albumin exchange. This study indicates that the observed effect cannot be related to the albumin oncotic properties but rather

**Table 3.** Clinical studies that evaluated efficacy of albumin infusion in the prevention of paracentesis-induced circulatory dysfunction

| Author, year          | Design                 | Treatment | Control group                     | Number of subjects | Aim  | Effect                                     |
|-----------------------|------------------------|-----------|-----------------------------------|--------------------|--|--|
| Gines, 1988           | randomised             | albumin   | no treatment                      | 105 (52/53)        | prevention of PICD                             | effective                                  |
| Planas, 1990          | randomised             | albumin   | dextran 70                        | 88 (43/45)         | prevention of PICD                             | same renal effects, higher humoral changes |
| Salerno, 1991         | randomised             | albumin   | hemacel                           | 54 (27/27)         | prevention of PICD                             | same effect                                |
| Fasio, 1992           | randomised             | albumin   | dextran 70                        | 41 (21/20)         | prevention of PICD                             | same effect                                |
| Bruno, 1992           | randomised             | albumin   | ascites filtration and reinfusion | 35 (18/17)         | prevention of PICD                             | same efficacy, high safety                 |
| Garcia Compean, 1993  | randomised             | albumin   | no treatment                      | 35 (17/18)         | prevention of PICD                             | effective                                  |
| Luca, 1995            | randomised             | albumin   | no treatment                      | 18 (9/9)           | prevention of humoral and haemodynamic changes | effective                                  |
| Hernandez Perez, 1995 | randomised             | albumin   | dextrano 70                       | 16 (8/8)           | prevention of PICD                             | same effect                                |
| Gines, 1996           | randomised             | albumin   | dextran 70 or polygeline          | 289 (97/93/99)     | prevention of PICD and survival                | superiority                                |
| Altman, 1998          | randomised             | albumin   | hydroxyethyl starch               | 60 (33/27)         | prevention of PICD                             | same effect                                |
| Zhao, 2000            | randomised             | albumin   | mannitolum 20%                    | 68 (36/32)         | prevention of PICD                             | same effect                                |
| Garcia-Compean, 2002  | randomised             | albumin   | dextran 40                        | 96 (48/48)         | prevention of PICD                             | superiority                                |
| Moreau, 2002          | randomised             | albumin   | terlipresin                       | 20 (10/10)         | prevention of PICD                             | same effect                                |
| Sola-Vera, 2003       | randomised, cross-over | albumin   | saline                            | 72 (37/35)         | prevention of PICD                             | superiority                                |
| Singh, 2006           | randomised             | albumin   | terlipressin                      | 40 (20/20)         | prevention of PICD                             | same effect                                |
| Singh, 2006           | randomised             | albumin   | noradrenaline                     | 40 (20/20)         | prevention of PICD                             | same effect                                |
| Lata, 2007            | randomised             | albumin   | terlipressin                      | 49                 | prevention of PICD                             | same effect                                |

**Table 3.** Continued

| Author, year    | Design                    | Treatment                            | Control group | Number of subjects | Aim   | Effect                         |
|-----------------|---------------------------|--------------------------------------|---------------|--------------------|---|--------------------------------|
| Appenrodt, 2008 | randomised                | albumin                              | midodrine     | 23 (13/11)         | prevention of PICD                                    | superiority in humoral changes |
| Umgelter, 2008  | prospective, uncontrolled | albumin (in critically ill with HRS) | no            | 19                 | prevention worsening in renal function                | effective and safe             |
| Schneditz, 2008 | prospective, uncontrolled | albumin                              | no            | 11                 | prevention haemodynamic changes 2 h post-paracentesis | failed                         |
| Singh, 2008     | randomised                | albumin                              | midodrine     | 40 (20/20)         | prevention of PICD                                    | same effect                    |

suggests that the detoxification properties of albumin have a therapeutic benefit in this condition.

The beneficial effects derived from the detoxification properties of albumin were also demonstrated in a clinical trial comparing 15 patients with SBP who received albumin infusion plus antibiotics with 15 receiving antibiotics alone. In the albumin-treated arm, a significant decrease in TNF- $\alpha$  and interleukin-6 in plasma and ascitic fluid occurred together with a decrease in ascitic endotoxin levels [20]. These actions on bacterial products and inflammatory mediators are thought to be linked to the anti-inflammatory properties of albumin. In a separate study, neutrophil dysfunction induced by incubating normal healthy neutrophils with the plasma from a patient with alcoholic hepatitis is completely ameliorated when additional albumin is added during the incubation process [21]. In addition, other studies have shown increasing evidence of the potential benefits attributed to the capacity of albumin to bind many endogenous and exogenous compounds [22].

These new data highlight the role of albumin function in liver diseases and provide us with a better knowledge of the physiological basis of its therapeutic properties.

### **Albumin in Non-Liver Disease**

There has been much debate regarding the use of human albumin solution for volume expansion in the treatment of circulatory support, much of which has centred on cost/benefit analysis.

In 1998, a Cochrane group meta-analysis of 30 randomised controlled trials including 1,419 randomised patients that were critically ill with hypovolaemia, burns, or hypoalbuminaemia, stated that there was a strong suggestion that albumin may increase mortality [23]. They went on to suggest that it should not be used except in blind randomised controlled clinical trials. Following this publication, the use of HSA decreased worldwide.

These findings were heavily disputed. Wilkes and Navickis [24] subsequently performed a second meta-analysis, this time incorporating 55 trials. Their study contained many of the same trials included in the Cochrane report, but crucially they found that albumin use when compared to crystalloid use had no significant difference regarding mortality. Their findings supported the safety of albumin and suggested a need for further well-designed clinical trials. In 2004, the SAFE (saline vs. albumin fluid evaluation) study was published in the *New England Journal of Medicine* [25]. Patients were randomly assigned to receive either 4% albumin or normal saline following admission to the ICU for intravascular fluid resuscitation during the 28 days from admission. Of the 6,997 patients in the trial, approximately 20% died in both groups, with secondary outcomes also very similar between the two study arms. It was observed that a subset of trauma patients with head injury showed an increase in mortality with the use of HAS, possibly due to an increase in interstitial albumin in the brain increasing brain water/ICP.

Contrary to this finding, it has been reported that serum albumin is inversely correlated with mortality in stroke victims [26]. In the Bergen stroke study, patients had a greater chance of survival when admitted with a higher serum albumin. Other studies have supported the use of albumin infusion in stroke patients post-infarction suggesting an effect greater than that restricted to colloid osmotic pressure changes [27–29]. In the ALIAS trial, a dose-dependent beneficial effect of albumin infusion to stroke patients was found, with patients at the higher dose levels showing improved recovery and lower long-term morbidity [29]. Another possible neurological benefit lies in the use of albumin in the treatment of cerebral malaria from *Plasmodium falciparum* infection [30] although there is some contention regarding the trial methods and interpretation of the results.

In a cardiac surgery study using discharge data from 19,578 patients undergoing coronary artery bypass, Sedrakyan et al. [31] suggested a lower incidence of morbidity and mortality than those given non-protein colloids (41.3% received albumin as a plasma expander).

It is interesting to note that a low serum albumin concentration is a strong predictor of mortality after cardiac surgery [32, 33] with the use of albumin reported as having a protective effect [34] showing a 25% lower odds ratio using multivariable analysis for mortality rates in these patient groups.

Fluid resuscitation is a major aspect of treatment following admission to the burns unit. The Parklands formula allows calculation of appropriate fluid volume (normally Ringer's solution), but in approximately 20% of patients 'fluid creep' ensues leading



to an increased risk of further morbidity. This is ameliorated using albumin in conjunction with the crystalloid [35]. Interestingly, with the concomitant use of an iNOS inhibitor in a rat model of burns, albumin significantly reduces bacterial translocation compared to rats given an iNOS inhibitor alone [36].

Serum albumin in the elderly is, as with many other pathologies, a marker for survival following admission to hospital. Low albumin levels are associated with reduced appendicular muscle mass [37] notwithstanding nutritional and physical activity and inflammatory status. Visser et al. [37] showed a weak correlation with lean body mass and a significant finding that low serum albumin leads to sarcopenia, after controlling for confounding factors. There may also be an anti-inflammatory effect of albumin following infusion in the elderly, possibly due its anti-oxidant properties.

### **Functional Characteristics in Liver Disease and Failure – Albumin Is Dysfunctional, Why?**

Recently, our group has described an impairment in the functional capacity of albumin in cirrhotic patients [38]. In this study, the functional capacity of albumin was assessed with two different techniques: electron paramagnetic resonance spectroscopy analyzed the capacity of albumin to bind and transport fatty acids and ischaemia-modified albumin measured the ability of albumin to bind metals (cobalt). This study showed a reduced functional ability in patients' albumin closely correlated to the degree of liver insufficiency. Moreover, the ratio ischaemia-modified albumin/total serum albumin correlated with severity of liver disease and was significantly higher in non-surviving patients with acute-on-chronic liver failure. MARS therapy showed a reduction in albumin-bound metabolites (bilirubin), but crucially did not restore the functional ability of the albumin in the 12 patients submitted to this treatment.

The origin of the dysfunction remains unclear. The fact that a poorer liver function, and a more advanced disease state, correlates with albumin dysfunction suggests that toxin accumulation may physically change albumin structure and cause prevention of normal function. Or it is possible that the albumin structure has been chemically modified in such a way that either the binding sites are altered and/or there is a change in the tertiary structure. It is also not currently known whether hepatocytes of the cirrhotic liver are capable of producing normal, functional albumin.

Due to the fact that the cirrhotic liver displays a marked inability to effectively filter and remove metabolic toxins, there is an accumulation of endogenous substances that could have a pathogenic role in cirrhosis' complications (i.e. short-chain fatty acids, bilirubin and metals). Furthermore, as cirrhotic patients typically display hypoalbuminaemia together with dysfunction of the albumin present leads to a severe disturbance in the transport, metabolism and excretion of metabolic by-products, effectively contributing to systemic toxin overload. The results of these processes are alterations

in other systems habitually influenced by a normal albumin function (redox balance, oxidative stress, microvasculature permeability, coagulation, inflammation) [1, 39].

In summary, liver diseases leads to a decrease in systemic albumin functional capacity, contributed to by both reduced albumin levels and impaired function of the protein present. This effectively reduces the ability of the body to transport metabolic products and causes an impairment in the sequestration of bacterial endotoxins with an associated increase in inflammation. Albumin infusion has been shown improve outcome in these patients. The beneficial properties seem not to be limited to the colloid oncotic effect of volume expansion but, instead, work through a combination of improved metabolic function and anti-inflammatory and anti-oxidant properties.

### Key Messages

- Albumin is decreased in liver disease, both in plasma concentration and functional ability, thereby limiting metabolism.
- Administration of albumin has been demonstrated to have a number of positive benefits in a range of conditions in addition to liver dysfunction, which far exceed its traditional role as a volume expansion agent.
- The anti-oxidant, anti-inflammatory and metabolic properties of albumin indicate that it should be considered as a therapeutic agent rather than a simple fluid for infusion.

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# Transjugular Intrahepatic Portosystemic Shunt for Ascites: Which Patients Will Benefit?

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## Abstract

Transjugular intrahepatic portosystemic shunt (TIPS) is a radiological interventional procedure useful in portal hypertension-related complications. It is able to resolve variceal bleeding and refractory ascites. However, it can lead to serious side effects such as refractory encephalopathy, cardiac failure, and end-stage liver failure. Patients with refractory ascites represent the most frequent indication for TIPS. Clinicians are challenged by the necessity to select the best candidates for TIPS, so that the procedure can be successful as far as both efficacy and survival are concerned. The correct process to select TIPS for cirrhotic patients with ascites includes different steps: first, patients with absolute contraindications, such as cardiopulmonary dysfunction or too severe liver failure, should be excluded, and second, criteria to predict post-TIPS survival should be considered. The most effective predictors of survival are serum creatinine, serum bilirubin, serum sodium, age and MELD or Child-Pugh scores. According to an arbitrary choice of two thresholds of different risks for each variable, we propose a simple estimation of the whole risk after TIPS placement.

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Transjugular intrahepatic portosystemic shunt (TIPS) is a radiologic interventional procedure which lowers high portal pressure. Therefore, it represents an important therapeutic resource in order to treat or prevent complications of portal hypertension.

Historically, the concept of TIPS was developed in the late 1960s, when the initial attempts to connect a hepatic vein with an intrahepatic branch of the portal vein using nonexpandable tubing in experimental animals failed because of shunt obstruction [1, 2].

The TIPS technique was improved in 1982 by Colapinto et al. [3] who used a 9-mm catheter in men. However, only after the introduction of an expandable flexible metal shunt prosthesis originally designed for the biliary tract could a stable shunt patency be achieved [4, 5].

These pioneering experiences stimulated the interest of hepatologists and interventional radiologists, and many centers have experimented with TIPS during the last 20 years refining the technique and the materials. The most recent improvement has been the introduction of a polytetrafluoroethylene (PTFE)-covered stent which markedly decreased the rate of stent stenosis [6, 7]. Nowadays, TIPS has almost completely replaced the surgical portacaval shunt in the management of complications of portal hypertension [8].

From a functional point of view, TIPS effectively functions as a side-to-side portocaval shunt because it is able to decompress the intrahepatic sinusoidal circulation [9, 10]. Therefore, in suitably selected patients, TIPS is particularly effective in the treatment of refractory or recidivant ascites, so that these currently represent the first indication for TIPS insertion. Other indications are the emergency treatment of variceal bleeding refractory to other therapeutic strategies, such as endoscopic variceal banding and vasoconstrictors, or the prevention of variceal rebleeding in patients where prophylaxis with nonselective beta-blockers and/or endoscopic variceal banding has failed [11]. Less-frequent indications are Budd-Chiari syndrome [12] and cirrhotic hydrothorax [13].

Indications and contraindications to the use of TIPS, as recently defined by the American Association for the Study of Liver Disease (AASLD) [14], are reported in table 1.

### **Treatment of Ascites**

The success of TIPS in treating cirrhotic ascites has been ascribed to two main effects. The first is the reduction of sinusoidal hydrostatic pressure, which reduces fluid leakage into the interstitial space and the lymphatic vessels. The second is the increase of the venous blood return to the right heart that increases cardiac preload and, consequently, cardiac output. These hemodynamic effects improve the central blood volume with a reduction of the release of vasoconstrictors (renin and norepinephrine) and increased renal blood perfusion [15, 16]. Additionally, it was demonstrated that a functional TIPS is able to deafferentiate a hypothetical hepato-renal reflex [17]. The final result of this chain of events is an increase in the glomerular filtration rate and the urinary sodium excretion rate [18].

Some uncontrolled studies showed the improvement of renal function in cirrhotic patients treated with TIPS and found a concomitant improvement in the capacity of diuretic medications to reduce peritoneal fluid accumulation [19–22].

The efficacy of TIPS in correcting refractory or recidivant ascites has been confirmed by 5 randomized controlled trials (RCTs) which compared TIPS and large-volume paracentesis (LVP) [23–27]. Four of these RCTs showed a superiority of TIPS in resolving severe ascites, but they did not agree on the effect of TIPS on survival. Traditional meta-analysis showed a marginal advantage of TIPS in regard

**Table 1.** Indications and contraindications to the use of TIPS in cirrhotic patients (adapted from the American Association for the Study of the Liver Diseases [14])

| Absolute contraindications                | Relative contraindications                  | Indications  |
|---|---|--|
| Primary prevention of variceal bleeding   | Hepatoma, especially if central             | Secondary prevention of variceal bleeding            |
| Congestive heart failure                  | Obstruction of all hepatic veins            | Refractory ascites                                   |
| Multiple hepatic cysts                    | Portal vein thrombosis                      | Refractory variceal bleeding                         |
| Uncontrolled systemic infection or sepsis | Severe coagulopathy (INR=5)                 | Portal hypertensive gastropathy                      |
| Unrelieved biliary obstruction            | Thrombocytopenia of <20,000/cm <sup>3</sup> | Bleeding gastric varices                             |
| Severe pulmonary hypertension             | Moderate pulmonary hypertension             | Gastric antral vascular ectasia <sup>1</sup>         |
|   |   | Refractory hepatic hydrothorax                       |
|   |   | Hepatorenal syndrome (type 1 or type 2) <sup>1</sup> |
|   |   | Budd-Chiari syndrome                                 |
|   |   | Veno-occlusive disease <sup>1</sup>                  |
|   |   | Hepatopulmonary syndrome                             |

<sup>1</sup> Indications that are based on uncontrolled studies or are controversial.

to survival [28, 29]. A meta-analysis on individual patient data collected by the four RCTs unequivocally showed an improved survival of patients treated with TIPS [30].

Nonetheless, TIPS can also cause important side effects: first hepatic encephalopathy, second stent malfunction because of stenosis or thrombosis, followed by a series of less-frequent but serious complications such as stent dislocation, hemolytic anemia, cardiac failure when the increase of preload exceeds the ability of the heart to increase its contractility, and the most feared complication – irreversible liver failure.

Hepatic encephalopathy occurs after TIPS in about 40% of the cases and cannot be prevented [31]. In most cases, however, the episode of encephalopathy is treated successfully by standard therapy with nonabsorbable antibiotics or disaccharides. However, in a few cases, reduction of the stent size or its occlusion is necessary to restore normal neurological function [32].

Accordingly, to obtain the best clinical benefit with the use of TIPS, it is mandatory to perform a good selection of the candidates in order to minimize the risk of side-effects and identify patients who will experience an improvement of symptoms, quality of life and, possibly, survival. A precaution to reduce the risk of encephalopathy is

obtained with a portosystemic pressure gradient reduction to a final value not lower than 10 mm Hg or equal to 50% of the pre-TIPS value.

To exclude patients with a considerable risk of invalidating or lethal complications, it is necessary to carry out a careful pre-TIPS evaluation of the cardiopulmonary and liver functions. The first evaluation is necessary because TIPS causes an abrupt blood shift from the periphery to the central circulation that cannot be tolerated by patients with cardiac dysfunctions. The latter is necessary because TIPS causes an abrupt reduction of hepatic parenchymal venous blood perfusion. In most cases, this blood flow reduction is compensated by a concomitant hepatic arterial overflow (buffer effect), but this mechanism could be insufficient in patients with too advanced cirrhosis [33, 34].

There is wide consensus that TIPS should be excluded in patients with organic cardiac disorders, such as systolic and diastolic cardiac failure, severe arrhythmias, severe valve incompetence, high right atrial pressure, and pulmonary hypertension (>50 mm Hg). Thus, the candidate patient should be investigated with clinical history, examination, electrocardiography, and echocardiography. In some cases, also cardiac magnetic resonance imaging or cardiac catheterization are useful.

In contrast, to prevent cases of terminal liver failure, it is advisable exclude patients with too-advanced liver failure as can be indicated by high Child-Pugh or MELD (Model for End-Stage Liver Disease) scores or simply by high bilirubin levels.

Many investigations have been addressed to identify predictors of various post-TIPS outcomes, such as clinical efficacy, survival and development of complications (mainly hepatic encephalopathy) (table 2).

Some of these investigations created prognostic models based on the statistical association between pre-TIPS variables and post-TIPS events. Most of these models were obtained in populations of cirrhotic patients who were treated with TIPS either to prevent rebleeding or to treat severe ascites.

### **Prediction of Post-TIPS Survival**

The most famous predictor of survival is the model of end-stage liver disease or MELD. This model consists of a formula based on the determinations of three simple biochemical variables, i.e. bilirubin, creatinine and prothrombin time expressed as international normalized ratio [35]. MELD was shown to predict short-term post-TIPS survival, and was also shown to predict survival in cirrhotic patients not treated with a portosystemic shunt. Accordingly, since 2002 it is used in United States to prioritize patients on the liver transplant waiting list. A new score including serum sodium into the original formula of MELD (the so-called MELD-Na) improved the prognostic performance in patients waiting for liver transplantation, especially in those with a relatively low MELD score [36]. MELD-Na has been shown to predict mortality also after TIPS [37].



**Table 2.** Studies which investigated factors predicting survival or other outcomes in patients undergoing TIPS

| Study                   | Sample size                           | TIPS indications   | Type of stent         | Type of study |
|-------------------------|---------------------------------------|--|-----------------------|---------------|
| Harrod-Kim et al. [41]  | 99                                    | refractory ascites   | uncovered/covered     | retrospective |
| Riggio et al. [44]      | 78                                    | prevention of variceal bleeding, refractory ascites, refractory hydrothorax        | covered               | prospective   |
| Guy et al. [37]         | 148                                   | refractory ascites<br>recurrent variceal bleeding                                  | uncovered/covered     | retrospective |
| Angermayr et al. [45]   | 566                                   | elective TIPS,<br>refractory ascites<br>prevention of recurrent variceal bleeding  | uncovered vs. covered | retrospective |
| Thalheimer et al. [40]  | 61                                    | refractory ascites   | uncovered             | retrospective |
| Malinchoc et al. [35]   | 231                                   | elective TIPS,<br>refractory ascites<br>prevention of recurrent variceal bleeding  | uncovered             | retrospective |
| Chalasanani et al. [46] | 129                                   | variceal bleeding,<br>refractory ascites,<br>hepatic hydrothorax                   | uncovered             | retrospective |
| Rabie et al. [39]       | 101                                   | elective TIPS,<br>refractory ascites,<br>prevention of recurrent variceal bleeding | uncovered/covered     | retrospective |
| Cazzaniga et al. [38]   | 32                                    | elective TIPS,<br>refractory ascites,<br>prevention of recurrent variceal bleeding | uncovered/covered     | prospective   |
| Lebrec et al. [23]      | 25<br>(13 patients treated with TIPS) | refractory ascites   | uncovered             | RCT           |

| Predictors of survival   | Predictors of PSE  | Predictors of QOL | Predictors of efficacy on ascites clearance |
|--|--|-------------------|---|
| MELD>25<br>Child-Pugh C class<br>portosystemic gradient<br>after TIPS <8 mm Hg                             | age (HR 1.08)<br>creatinine (HR 1.51)<br>albumin (HR 0.35)<br>sodium (HR 0.92) |                   |   |
| MELDNa (HR 1.09)<br>MELD (HR 1.08)   |  |                   |   |
| type of stent (HR 2.24)<br>age (HR 1.038)<br>Child-Pugh score (HR 1.21)                                    |  |                   |   |
| creatinine (HR 1.02)<br>Child-Pugh score (HR 2.03)<br>encephalopathy (HR 3.01)<br>platelet count (HR 1.04) | age  |                   | none  |
| creatinine (HR 0.957)<br>INR (HR 1.12)<br>bilirubin (HR 0.378)<br>cause of cirrhosis (HR 0.64)             |  |                   |   |
| emergent TIPS<br>ALT (>100)<br>bilirubin (>3 mg/dl)<br>pre-TIPS encephalopathy                             |  |                   |   |
| MELD (HR 1.1)<br>E/A ratio <1 (HR 4.7)   |  |                   | age (HR 0.93)<br>E/A ratio <1(HR 7.3)       |
| 1-month post-TIPS<br>E/A ratio <1 (HR 8.9)   |  |                   |   |

**Table 2.** Continued

| Study                | Sample size                            | TIPS indications   | Type of stent     | Type of study           |
|----------------------|--|--------------------|-------------------|-------------------------|
| Rossle et al. [24]   | 60<br>(31 patients treated with TIPS)  | refractory ascites | uncovered         | RCT                     |
| Gines et al. [25]    | 70<br>(35 patients treated with TIPS)  | refractory ascites | uncovered         | RCT                     |
| Sanyal et al. [26]   | 109<br>(52 patients treated with TIPS) | refractory ascites | uncovered         | RCT                     |
| Salerno et al. [27]  | 66<br>(33 patients treated with TIPS)  | refractory ascites | uncovered         | RCT                     |
| Salerno et al. [30]  | 149 patients treated with TIPS         | refractory ascites | uncovered         | meta-analysis of 4 RCTs |
| Campbell et al. [43] | 106<br>(49 patients treated with TIPS) | refractory ascites | uncovered/covered | RCT                     |
| Ochs et al. [47]     | 50                                     | refractory ascites | uncovered         | prospective             |
| Somberg et al. [20]  | 77                                     |                    |                   | retrospective           |

| Predictors of survival   | Predictors of PSE  | Predictors of QOL   | Predictors of efficacy on ascites clearance |
|--|--|---|---|
| treatment with LVP<br>age >60<br>gender<br>bilirubin >3<br>sodium <125 |  |   |   |
| Child-Pugh class<br>BUN levels   | treatment with TIPS<br>BUN levels  |   |   |
| treatment with LVP<br>MELD   |  |   |   |
| age (>60)<br>bilirubin (>3 mg/dl)<br>sodium (<130)                     |  |   |   |
|  |  | physical component<br>scale:<br>baseline PCS (-0.53)<br>3 or more LVP (-5.1)<br>confusion (-4.1)<br>mental component<br>scale:<br>baseline MCS (-0.57)<br>randomization to TIPS<br>(5.29) |   |
| age<br>response to TIPS<br>organic kidney disease<br>bilirubin >1.3    |  |   |   |
|  | etiology of liver disease other<br>than alcohol<br>female gender hypoalbuminemia |   |   |

Another means to predict post-TIPS survival was based on the evaluation of the cardiac and peripheral hemodynamics either pre- or post-TIPS. Accordingly, Cazzaniga et al. [38], studying an Italian population of cirrhotic patients treated with TIPS either to prevent variceal rebleeding either to treat refractory ascites, found that an echocardiography measurement of diastolic function, the E/A ratio, recorded 30 days after TIPS insertion strongly predicts patients' survival. This study was followed by new and more numerous investigations which showed that the pre-TIPS E/A ratio is also correlated with post-TIPS survival [39]. The association of the E/A ratio and TIPS outcome can be explained in two ways. First, a low E/A ratio indicates diastolic dysfunction and can then predict cardiac inability to manage with the post-TIPS hemodynamic re-setting. Second, it is conceivable that a low E/A ratio is a feature of patients with more advanced cirrhosis and then it is associated with a risk of rapid evolution to end-stage liver failure post-TIPS. This second hypothesis was supported by a close correlation between the E/A ratio and MELD score [38].

However, all these predictions of post-TIPS survival were obtained in populations with mixed indications for TIPS. This does not ensure that the same variables maintain their predictive value when tested in a population of patients with unique indications for TIPS. Accordingly, predictors have also been evaluated in studies that included only patients undergoing TIPS because of refractory or recidivant ascites. In a retrospective analysis, Thalheimer et al. [40] found that high Child-Pugh score, high serum creatinine, low platelet count and a history of encephalopathy were independent predictors of death in patients undergoing TIPS for ascites. Harrod-Kim et al. [41] retrospectively analyzed 99 patients who underwent successful TIPS insertion for refractory ascites and found that Child-Pugh class C, a MELD score greater than 25, and a portosystemic pressure gradient reduction below 8 mm Hg were independent predictors of post-TIPS mortality. Finally, the meta-analysis which included the individual data from 149 patients treated with TIPS compared to 156 patients treated with LVP clearly identified 3 different predictors of mortality, which are age greater than 60 years, serum bilirubin greater than 3 mg/dl, and serum sodium lower than 130 mEq/l, together with a high MELD score [30].

### **Predictors of Efficacy**

Only one study found two predictors of efficacy (ascites clearance): younger age and a normal baseline E/A ratio [39].

### **Post-TIPS Quality of Life**

Gulberg et al. [42] assessed the effect of TIPS on quality of life in cirrhotic patients with refractory or recidivant ascites and demonstrated that the quality of life index

**Table 3.** Variables predicting post-TIPS outcomes: thresholds for different risks in cirrhotic patients with refractory ascites<sup>1</sup>

| Variables                        | Risk |              |      |
|----------------------------------|------|--------------|------|
|                                  | low  | intermediate | high |
| Serum bilirubin, mg/dl           | <3   | 3–5          | >5   |
| Serum sodium, mEq/l <sup>2</sup> | >135 | 125–135      | <125 |
| Age, years                       | <60  | 60–70        | >70  |
| MELD score                       | <15  | 15–21        | >21  |
| Child-Pugh score                 | <10  | 10–11        | >11  |

<sup>1</sup>Biochemical variables should be measured in stable conditions (no bleeding, no fever, etc.).

<sup>2</sup>Serum sodium should be measured with diuretics off.

significantly increased from 6.9 to 8.6 ( $p < 0.001$ ). Specifically, the improvement of quality of life was more pronounced in patients with a complete response to TIPS.

Campbell et al. [43] investigated the quality of life after TIPS or LVP performed for refractory ascites and found that TIPS, baseline lack of confusion, less hospitalizations and improved ascites were independently associated with an improved quality of life.

### Post-TIPS Hepatic Encephalopathy

In the meta-analysis on RCTs performed to compare TIPS and LVP [27] post-TIPS encephalopathy was significantly and independently predicted by baseline low blood pressure, baseline high MELD score and post-TIPS low portosystemic pressure gradient. Riggio et al. [44] studying 78 patients treated with PTFE-covered TIPS observed episodes of encephalopathy in 35 patients. Older age, high creatinine levels, low serum sodium and low albumin values were shown to be independent predictors of encephalopathy. Additionally, high creatinine levels strongly predicted cases of refractory encephalopathy.

In conclusion, taking into account the variability of the populations studied and of the results obtained by different studies, it is recommended to select candidates according to a stepwise path to achieve the best result with TIPS in patients with refractory or recidivant ascites.

First of all, it is important to exclude patients with absolute contraindications to TIPS (table 1). About 40% of cirrhotic patients with refractory ascites have one or more absolute contraindication to TIPS. The most important are cardiac failure,

severe pulmonary dysfunctions, pulmonary hypertension, multiple liver cysts, biliary dilatation, and sepsis. Second, patients who are suspected of developing a relative failure of cardiac contractility (patients with a E/A ratio <1) should be evaluated by a deep evaluation of cardiac-related risks. Third, patients without absolute contraindications should be carefully evaluated for their chance to improve after TIPS and their probability for long-term survival. To do this, it is useful to take into account those variables that have been found to predict outcomes, mainly survival, but also encephalopathy and quality of life. The four most important predictors of post-TIPS survival are age, serum bilirubin, serum sodium, and MELD or Child-Pugh scores. Table 3 reports a tentative classification of the risks according to different thresholds for each variable.

### Key Messages

- To obtain the best benefit from TIPS, cirrhotic patients with refractory ascites should be carefully evaluated for exclusion criteria. About 40% of such patients have one or more absolute contraindications to TIPS such as cardiac failure, severe pulmonary dysfunction or pulmonary hypertension, multiple liver cysts, biliary duct dilatation, and sepsis.
- Further evaluation in patients without contraindications to TIPS and their probability to achieve a beneficial effect from TIPS should include objective predictive factors and prognostic scores.
- The most relevant predictors of post-TIPS survival in patients with refractory ascites are age, serum bilirubin, serum sodium, and MELD or Child-Pugh scores.

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# Spontaneous Bacterial Peritonitis – Prophylaxis and Treatment

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## Abstract

Cirrhosis predisposes to the development of severe bacterial infections, mainly spontaneous bacteremia and spontaneous bacterial peritonitis (SBP). These life-threatening infections occur in up to 50% of cirrhotic patients during hospitalization and are responsible for 20–40% of deaths in these patients. Therefore, prompt effective therapy and prophylaxis of these spontaneous infections are crucial in order to improve the prognosis of patients with cirrhosis and ascites. Patients with a history of SBP or those presenting with gastrointestinal hemorrhage require antibiotic prophylaxis. Despite substantial improvement in the identification of patients at high risk of developing the first episode of SBP, antibiotic prophylaxis in this setting is still controversial. This is mostly related to the emergence of bacterial resistance to antibiotics induced by widespread and long-term antibiotic treatment. In fact, SBP caused by bacteria resistant to currently recommended antibiotics is increasing and associated with increased risk of treatment failure and mortality. Therefore, this chapter discusses the current guidelines and available data on the prophylaxis and therapy of SBP as well as the potential drawbacks and limitations necessitating further well-designed clinical trials.

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## Definition and Clinical Importance

Spontaneous bacterial peritonitis (SBP) is a frequent and life-threatening complication in cirrhotic patients with ascites. The diagnosis is based on the absence of any evident intra-abdominal source of infection (e.g. pancreatitis, perforation, tuberculosis) and the presence of an inflammatory reaction to the peritoneal infection [1, 2] evidenced by a polymorphonuclear leukocyte count (PMN) in ascitic fluid greater than 250/mm<sup>3</sup> ( $0.25 \times 10^9/l$ ), a cutoff that has been shown to result in the highest sensitivity. Despite improvements in culture techniques ascites culture is negative in more than 40% of patients fulfilling these criteria. However, treatment cannot be

delayed until microbiological results are available and, hence, positive culture result is not an essential criterion for the definition of SBP.

The prevalence of SBP in cirrhotic outpatients is usually very low at about 5% whereas SBP develops in about 10–12% of patients admitted to the hospital. Moreover, SBP will develop in up to 30% of patients already hospitalized, making it the most frequent type of bacterial infection in cirrhosis. SBP-related mortality exceeded 90% when it was first described but has been reduced to about 20% due to early diagnosis and treatment [3].

SBP may present with local symptoms of peritonitis, systemic signs of infection, deterioration of liver or renal function, hepatic encephalopathy, shock or gastrointestinal bleeding. However, it is important to point out that up to 30% of patients with SBP are asymptomatic [4]. Therefore, it is recommended to perform a diagnostic paracentesis in all patients with cirrhosis and ascites at admission to rule out SBP. Moreover, a diagnostic paracentesis should be performed in patients with fever or other signs of infection, gastrointestinal symptoms as well as worsening liver and/or renal function, gastrointestinal bleeding and hepatic encephalopathy [1].

The 1-year survival rate after the first episode of SBP ranges between 7 and 69% underscoring the negative prognostic character of this event in the individual course of disease [4–8]. Different independent predictive factors for a poor prognosis among SBP patients have been reported including age [8, 9], Child-Pugh score [8, 10, 11], intensive care [9, 11], nosocomial genesis [11], hepatic encephalopathy [12, 13], creatinine and bilirubin [14], treatment failure and positive culture result per se [15, 16] as well as the development of bacteremia [17]. In a systematic review of the literature, the most robust independent predictors of death in SBP are the presence of acute kidney injury, the MELD score and lack of SBP resolution [Tandon and Garcia-Tsao, unpubl. obs.].

### **Pathogenesis and Risk Factors**

Two facts point to the gut as the main source of bacteria in the development of community-acquired SBP. First, more than 70% of cases of SBP are caused by aerobic Gram-negative bacilli [18], and, second, selective gut decontamination with oral antibiotics is able to prevent most of these infections [19–21]. Intestinal bacteria reach the bloodstream and ascites through a process denominated bacterial translocation which is defined as the passage of viable bacteria from the gut to mesenteric lymph nodes and/or other extraintestinal sites [22]. Three factors have been implicated in the development of BT in liver cirrhosis: intestinal bacterial overgrowth (IBO), increased intestinal permeability and impaired immunity. It is important to stress that, although studied separately, these factors most likely act jointly, e.g. community-acquired SBP is the result of failure of the gut to contain bacteria and failure of the immune system to kill bacteria once they have escaped the gut.

IBO, predominantly with aerobic Gram-negative bacteria, has been demonstrated both in cirrhotic rats and in patients with cirrhosis, especially in severe liver disease [23–25]. An imbalance of the intestinal microflora is crucial for the process of microbial translocation since anaerobic bacteria, which represent approximately 99% of the gut flora, do not translocate readily, while aerobic Gram-negative bacilli translocate easily, even across a histologically intact intestinal epithelium [26, 27]. The majority of bacteria translocating in cirrhosis are exactly these Gram-negative bacteria, especially *Enterobacteriaceae* (mainly *E. coli*) [25, 28–32]. In addition, mucosal intestinal barrier dysfunction has been demonstrated in experimental and human advanced cirrhosis [33, 34] and is thought to be mediated at least in part by ultrastructural changes [35], oxidative stress [36] as well as alterations in the secretory barrier component, e.g. immunoglobulin A or bile acids [37]. Host defense mechanisms are defective in advanced cirrhosis including impaired innate immune response as well as changes in adaptive immunity. For instance, dysfunction of the reticuloendothelial and mononuclear system due to lack of opsonization and migratory capabilities results in reduced phagocytic and killing capacity [38, 39]. This impairment in host immune response acts synergistically with bacterial overgrowth to promote bacterial translocation and may play a crucial role in the spreading of translocated pathogenic bacteria [40]. In hospital-acquired SBP these defects in the host defense predispose to the acquisition of bacterial infections, e.g. during invasive procedures. Therefore, organisms responsible for nosocomial SBP are not exclusively enteric Gram-negative flora but predominantly nonenteric Gram-positive infections [41].

All cirrhotic patients with ascites are at risk of SBP; however, there is a wide range in incidence, depending on the presence of additional risk factors. Patients presenting with any of the following are prone to the development of SBP: (a) gastrointestinal bleeding, (b) low-protein ascitic fluid (<1.5 g/dl) or (c) a previous history of SBP. Gastrointestinal hemorrhage can trigger spontaneous bacterial infections via multiple mechanisms including increased intestinal permeability, enhanced bacterial translocation and reduced RES function [42]. Ascites protein levels correlate with local concentrations of complement factors and opsonic activity [43] and, thus, a low protein level indicates a lack of local ascites defenses. In patients with ascites protein levels >1.5 g/dl, the incidence of SBP is as low as 0–3% as compared to 14–23% in patients with an ascites protein <1.5 g/dl [5, 44]. Additional factors shown to be predictive of SBP include serum bilirubin >3.2 mg/dl and platelet count <98,000/mm [45]. Also, the risk of SBP is associated with the use of proton pump inhibitors [46] as well as increasing MELD-score [47]. Interestingly, SBP has also been shown to be increased in carriers of NOD2/CARD15 variants known to be linked with impaired intestinal mucosal barrier function [48]. In patients who have recovered from SBP, the recurrence rate is very high, ranging between 30 and 68% [6, 19] reflecting the persistence of risk factors that led to the first episode.

## Prophylaxis

Since 30–50% of deaths in patients with cirrhosis are attributable to infections, prophylaxis is of crucial relevance when aiming to improve survival. Development of the first episode of SBP is an important risk factor for future episodes of SBP and is a predictor of mortality [49]. Therefore, secondary prophylaxis after the first episode of SBP is well accepted and recommended by current guidelines [1, 3]. The use of quinolones in this setting reduces the risk of recurrent SBP from 68 to 20% [19]. With the same strong consensus agreement, prophylactic antibiotic treatment is recommended in the setting of gastrointestinal bleeding in patients with cirrhosis. Meta-analyses have evidenced that this approach significantly reduces the risk of SBP and/or bacteremia with a significant improvement in survival [50, 51]. Regarding the choice of antibiotic in this setting, norfloxacin has been recommended by consensus [62]. However, in patients with advanced cirrhosis defined by two of the following criteria (severe malnutrition, hepatic encephalopathy or bilirubin >3 mg/dl) ceftriaxone i.v. has been demonstrated to be superior to oral norfloxacin after upper GI hemorrhage regarding the probability of remaining free from spontaneous bacterial infections (SBP or bacteremia) [52]. This benefit most likely reflects the extended spectrum of bacteria including Gram-positive rods as well as quinolone-resistant bacteria. Although a prospective evaluation is not available, the antibiotic treatment should be started as soon as possible, at best before endoscopy, and should last for at least 7 days [2], although it could be discontinued if the patient is ready to be discharged from the hospital before the 7-day course is completed.

In the absence of GI hemorrhage, the use of antibiotics in the prevention of a first episode of SBP in patients with low-protein ascites (<1.5 g/dl) is still controversial despite two meta-analyses [53, 54]. Table 1 summarizes randomized-controlled trials on primary prophylaxis in high-risk cirrhotic patients. However, it needs to be emphasized that four of these trials had significant deficiencies as they included a substantial number of patients with a previous history of SBP [21, 55–57], i.e. a population with a significantly higher risk of SBP. The remaining four better-designed trials were analyzed in two recent meta-analyses achieving different conclusions [53, 54]. However, one of them has mistakenly mixed up numbers of events for primary endpoints and thus is erroneous [54, 58]. The second analysis revealed a significant reduction in incidence of SBP as well as mortality in patients treated with quinolones compared to placebo. However, this analysis also had studies that included patients with a significantly different risk of developing SBP, with the first three having a 1-year rate of ~13% [59–61] while the most recent one [27] that selected a subgroup of patients among those with low ascites protein had a 1-year rate of 30%. In the three studies with low-risk patients, antibiotics reduced SBP significantly but survival was not different. The study by Fernandez et al. [62] subselected a group of patients with low ascites protein presenting with at least one of the following

additional factors: severe liver insufficiency (Child-Pugh score  $\geq 9$  with bilirubin  $\geq 3$  mg/dl), or renal insufficiency (serum creatinine  $\geq 1.2$  mg/dl, serum urea  $\geq 25$  mg/dl or serum sodium  $\leq 130$  mEq/l). In this well-defined far-advanced stage of disease norfloxacin treatment over 1 year did achieve a marked reduction in incidence of SBP (7% vs. 61%,  $p < 0.001$ ) as well as improvement in 1-year survival (60% vs. 48%,  $p < 0.05$ ). However, this survival benefit was most marked in the first 3 months after the start of antibiotic prophylaxis (94% vs. 62%,  $p < 0.003$ ) and degraded thereafter. This has been suggested to be due to the increased mortality independent of spontaneous infections and/or development of resistant bacteria over time [54, 62, 63]. Thus, a rational approach may be to use primary prophylactic antibiotics only in highly selected patients with advanced liver cirrhosis fulfilling the criteria stated above and limited for short or medium terms, particularly for bridging to liver transplantation.

### *Future Developments for Prophylaxis*

Any chronic antibiotic prophylaxis has serious drawbacks, specifically the emergence of bacterial resistance and a change in the spectrum of bacteria causing infections, namely a shift towards Gram-positive bacteria. Cirrhotic patients undergoing selective intestinal decontamination using quinolones have an increased rate of quinolone-resistant Gram-negative enteric bacteria [41] which can subsequently cause spontaneous infections, e.g. SBP [64, 65]. Intermittent or even once-a-week quinolone treatment schedules have been proposed as effective antibiotic prophylaxis in cirrhotic patients [56], but have a higher risk of developing resistant bacteria [66] and should thus be avoided. In addition, due to the widespread use of antibiotic prophylaxis, the rate of multidrug-resistant organisms isolated from cirrhotic ascites increased from 8 to 38% in an earlier (1991–1995) compared to a later (1996–2001) cohort [65]. In recent years, these numbers have increased further, with 53% quinolone resistance, 34% ESBL and 24% multidrug-resistant organism rates [67, 68]. Also, resistance to third-generation cephalosporins has been reported in up to a third of SBP cases [64, 68] with use of antibiotics in the 3 previous months being the most important independent risk factor (OR 5.98 [3.58–9.97]  $p < 0.0001$ ) [69]. Furthermore, prior quinolone prophylaxis in cirrhotic patients has been shown to increase the risk of Gram-positive bacterial infections [41], *Clostridium difficile* infections [70] and carriage of methicillin-resistant *Staphylococcus aureus* (MRSA) [71–73], leading to the emergence of infections due to this organism [74]. Thus, any benefit achievable by prophylactic antibiotic treatment as outlined above has to be weighed against these major drawbacks and associated consequences. These include not only an increased risk of morbidity, excess length of hospital stay and increased health care costs but also an increased mortality [75] and the potential of further transmission of these resistant organisms to other patients.

**Table 1.** Randomized controlled trials on antibiotic primary prophylaxis of SBP

| Author, year        | Intervention, control/comparator   | Patient number verum/placebo | Follow-up              | Previous SBP | GI bleed      | Ascites-protein content g/l                      | Bilirubin mg/dl                         | Creatinine mg/dl                    | Child-Pugh score class A/B/C    | Exclusion criteria                         |
|---------------------|--|------------------------------|------------------------|--------------|---------------|--|---|-------------------------------------|---------------------------------|--|
| Soriano, 1991 [20]  | norfloxacin 400 mg/day vs. no therapy  | 32/31                        | n.a.                   | 6%           | 4/61 (6.6%)   | all <1.5<br>TP: 0.71+0.3<br>vs.control: 0.67+0.3 | TP: 5.67+7.07<br>control: 4.66+5.91     | TP: 1.07+0.49<br>control: 1.16+0.74 | TP: 2/3/17<br>control: 1/14/16  | active infection<br>GI bleed               |
| Rolachon, 1995 [56] | ciprofloxacin 750 mg/week vs. placebo  | 28/32                        | 6 months               | 11%          | no            | all <1.5<br>TP: 0.94+0.3<br>vs.control: 1.03+0.3 | TP: 2.46+1.81<br>control: 2.8+2.7       | TP: 0.89+0.19<br>control: 0.83+0.27 | TP: 0/17/11<br>control: 1/18/13 | HCC<br>GI-bleed<br>HE<br>Crea > 1.35 mg/dl |
| Singh, 1995 [57]    | trimetoprim-sulfamethoxazole double-strength 1x/day (5 days/week) vs. no therapy | 30/30                        | median 90 days (7-682) | 22%          | 13%           | no data  | TP: 2.2 (no SD)<br>control: 2.0 (no SD) | TP: 1.1 (no SD)<br>control: 0.9     | no data                         | no data                                    |
| Novella, 1997 [60]  | norfloxacin 400 mg/day vs. norfloxacin 400 mg/day                                | 56/53                        | 43 + 3 weeks           | no           | 23/109 (>21%) | TP: 1.0+0.2 vs. control: 0.9+0.1                 | TP: 3.8+0.3<br>control: 4.1+0.3         | TP: 1.1+0.8<br>control: 0.9+0.06    | TP: 0/29/27<br>control: 0/24/29 | HCC<br>bilirubin >15 mg/dl                 |

| SBP incidence  | Survival  | Comment  | Resistance   | Allocation sequence | Allocation concealment | Blinding | Outcome data complete | ITT | Approximate number of cases | Compliance/drop-out   |
|--|---|--|--|---------------------|------------------------|----------|-----------------------|-----|-----------------------------|---|
| TP: 0/32 (0%)<br>control: 7/31 (22.5%)<br>p < 0.05                             | TP: 30/32 (93.7%)<br>control: 26/31 (83.9%)<br>n.s. | 1 bacterocytes in each group<br>extraperitoneal infection reduced<br>(1/32 [3.1%] vs. 7/31 [22.5%], p = 0.052)   | no data  | ?                   | ?                      | -        | +                     | +   | -                           |   |
| TP: 1/28 (3.6%)<br>control: 7/32 (22%)<br>p < 0.05                             | TP: 24/28 (85.7%)<br>control: 26/32 (81.2%)<br>n.s. | no effect on extraperitoneal infections (11 % vs. 12.5%)<br>hospitalization shorter in cipro-group (9.3+4.5 vs. 17.6 + 6.2 days, p < 0.05)   | 10/28 patients<br>feces: no cipro-resistance within 6 months   | ?                   | ?                      | +        | +                     | +   | +                           | n = 3 non-compliant<br>n = 5 withdrawal or lost to follow-up in total 13% |
| TP: 1/30 (3%)<br>control: 7/30 (23.3%)<br>8/30 (27%) for endpoint<br>*p < 0.05 | TP: 28/30 (93%)<br>control: 24/30 (80%)<br>n.s.     | primary endpoint =SBP or spontaneous bacteremia<br>in-exclusion criteria wide – risk factors (total protein ascites <1, bilirubin >3, creatinine >2)<br>only stratified not selected | no data  | ?                   | ?                      | -        | ?                     | +   | -                           |   |
| TP: 1/56 (1.8%)<br>control: 9/53 (16.9%)<br>p < 0.01                           | TP: 75%<br>control: 62%<br>n.s.                     | no effect on nosocomial SBP  | 90% <i>E. coli</i> (9/19) in norfloxacin-group<br>chinolone-resistant: among those 5 with clinical infection, all sensitive to ceftriaxone | ?                   | +                      | -        | ?                     | ?   | -                           | drop-out rate: >10%   |



**Table 1.** Continued

| Author, year         | Intervention, control/comparator   | Patient number verum/placebo | Follow-up  | Previous SBP | GI bleed    | Ascites-protein content g/l  | Bilirubin mg/dl                                    | Creatinine mg/dl   | Child-Pugh score class A/B/C               | Exclusion criteria  |
|----------------------|--|------------------------------|------------|--------------|-------------|--|--|--|--|---|
| Grange, 1998 [59]    | norfloxacin 400 mg/day vs. placebo   | 53/54                        | 6 months   | no           | no          | all < 1.5<br>TP:<br>0.93+0.29<br>control: 1.04+0.028   | TP:<br>5.1+0.8<br>control:<br>3.8+0.6              | TP:<br>0.8+0.2<br>control:<br>0.8+0.1                      | no data                                    | active GI bleed HCC   |
| Alvarez, 2005 [55]   | norfloxacin 400 mg/day vs. trimetoprim-sulfamethoxazole (160/800 mg) 5 days/week | 32/25                        | 3–547 days | 39%          | no          | also patients with TP > 1.5<br>norfloxacin:<br>0.96+0.55<br>SMT:<br>1.37+0.84<br>p < 0.05 between groups | norfloxacin:<br>4.94+<br>6.88<br>SMT:<br>3.53+3.77 | norfloxacin:<br>1.76+2.07<br>SMT: 1.0+<br>0.43<br>p < 0.01 | norfloxacin:<br>1/10/21<br>SMT: 0/8<br>/17 | AB within 2 weeks<br>GI bleed within 1 week HCC/malignancy              |
| Fernandez, 2007 [62] | norfloxacin 400 mg/day vs. placebo   | 35/33                        | 12 months  | no           | 3/68 (4.4%) | all < 1.5 g/l<br>TP:<br>0.93+0.29<br>control:<br>1.04+0.28   | TP:<br>3.5+2.3<br>control:<br>4.4+4.6              | TP:<br>1.2+0.4<br>control:<br>1.2+0.4                      | TP:<br>9.9+1.5<br>control:<br>10.4+1.5     | HCC<br>HIV<br>organic kidney disease                                    |
| Terg, 2008 [61]      | ciprofloxacin 500 mg/day vs. placebo   | 50/50                        | 12 months  | no           | no data     | all < 1.5 g/l<br>TP:<br>0.84+0.01<br>control:<br>0.85+0.36   | TP:<br>2.9+4.6<br>control:<br>2.7+3.2              | TP:<br>0.9+0.3<br>control:<br>0.9+0.2                      | TP:<br>8.3+1.3<br>control:<br>8.5+1.5      | HE<br>HCC/<br>malignancy<br>creatinine >3 mg/dl<br>thrombocytes <98,000 |

Bilirubin mg/dl \*17.1 = μmol. Creatinine mg/dl \*88.4 = μmol.

| SBP incidence   | Survival   | Comment  | Resistance  | Allocation sequence | Allocation concealment | Blinding | Outcome data complete | ITT | Approximate number of cases | Compliance/drop-out   |
|---|--|--|---|---------------------|------------------------|----------|-----------------------|-----|-----------------------------|---|
| SBP or bacteremia<br>TP: 1/53 (1.9%)<br>control: 9/54 (16.7%)<br>p < 0.05 | TP: 45/53 (84.9%)<br>control: 44/54 (81.5%)<br>n.s.    | primary endpoint = rate of Gram-negative infection no information on SBP rate            | 10/24 norfloxacin patients<br>chinolone-resistant bacteria in faeces (but no clinical infection) 13% infectious problems in norfloxacin group: Gram-positive cocci; mainly Streptococci | ?                   | ?                      | ?        | +                     | +   | +                           | 4/53 and 4/54 lost to follow-up drop-out-rate 7.5% 3/53 and 2/54 noncompliant 2/53 withdrawal side effects in total 14% |
| norfloxacin: 3/32 (9.4%)<br>SMT: 4/25 (16%) n.s.                          | norfloxacin: 25/32 (78.1%)<br>SMT: 20/25 (75%)<br>n.s. | no placebo significant differences in risk factors between study groups                  | 1/32 in norfloxacin-group culture-positive urinary tract infection with chinolone-resistant Enterococcus  | +                   | +                      | -        | ?                     | ?   | -                           | no data   |
| TP: 2/35 (5.7%)<br>control: 10/33 (30.3%)<br>p<0.05                       | TP: 25/35 (71.4%)<br>control: 20/33 (60.6%)<br>n.s.    | frequency of other infections not affected 3 months mortality reduced in treatment group | 11/13 Gram-negative infections in the norfloxacin group<br>chinolone-resistant vs. 1/6 in placebo group   | +                   | +                      | +        | +                     | +   | ?                           | 3/35 and 2/33 lost to follow-up 1 protocol violation 3/35 and 3/33 non-compliant  |
| TP: 2/50 (4%)<br>control: 7/50 (14%)<br>n.s.                              | TP: 44/50 (80%)<br>control: 36/50 (72%)<br>p<0.05      | mortality not primary endpoint   | 2/2 isolated <i>E. coli</i> in verum group<br>chinolone resistant   | +                   | +                      | +        | +                     | +   | +                           | 5/50 and 4/50 lost to follow-up 1/50 ciprostop 1/50 cipro-withdrawal  |

Therefore, individualization of timing and cautious selection of prophylactic measures should be the focus of future research. Moreover, studies on the duration of secondary prophylaxis are needed defining endpoints of which resolution of ascites or improvement in Child-Pugh class could be reasonable options. Moreover, the potential advantage of antibiotic cycling or combined treatment regimens lowering the risk of emerging resistant bacteria has to be evaluated prospectively. Finally, an alternative nonantibiotic method that would decrease bacterial translocation and be safe and inexpensive would be desirable. This indeed could be the case for probiotics which have been reported to correct bacterial overgrowth, stabilize mucosal barrier function, and decrease BT in experimental conditions. However, prospective randomized trials in cirrhotic patients utilizing probiotics aiming at prevention of spontaneous infections are lacking. The use of prokinetics may also be beneficial since experimental data indicate that they decrease bacterial translocation [76]. However, two small studies in cirrhotic patients did not show an effect of cisapride in decreasing the incidence of SBP [77, 78]. Notably, one of them showed that 2/10 patients on placebo developed SBP and urinary infection while infections did not occur in patients on antibiotics or cisapride [77]. Cisapride is no longer available, but the efficacy of another prokinetic, prucalopride, could be investigated in the future.

## Therapy

Any cirrhotic patient with an ascitic fluid PMN count  $\geq 250/\text{mm}^3$  compatible with SBP must receive empiric antibiotic therapy [1, 2]. This treatment should be started immediately and independently of results of bacterial culture of ascitic fluid samples. Before starting antibiotic treatment, blood cultures should be performed since concomitant bacteremia is observed in up to 30% of the cases and is associated with a worse prognosis [17]. Potentially nephrotoxic antibiotics (e.g. aminoglycosides) should not be used as empirical therapy. Several antibiotics can be used for the initial therapy of SBP: cephalosporins, amoxicillin-clavulanic acid or quinolones (table 2), with third-generation cephalosporins being considered the drug of choice since they usually cover 95% of the flora including the most common isolates, namely *Escherichia coli*, *Klebsiella pneumoniae* and pneumococci. The optimal cost-effective dosage has only been investigated for cefotaxime being a minimum dose of 2 g/ 12 h i.v. for a minimum duration of 5 days [79, 80]. In a single small study, amoxicillin/clavulanic acid, first given intravenously then orally, has similar results with respect to SBP resolution and mortality compared with cefotaxime [81]. There have been more studies using quinolones [82–84]. Ciprofloxacin, either for 7 or for 2 days i.v. followed by 5 days orally, results in a similar SBP resolution rate and hospital survival compared with cefotaxime [84]. Oral ofloxacin has given similar results as cefotaxime in uncomplicated SBP [83] (absence of renal failure, hepatic encephalopathy, gastrointestinal bleeding, ileus or shock).

The emergence of bacteria resistant to the recommended first-line antibiotic regimens has been an increasingly observed problem. In fact, increased rates of treatment failure have been observed utilizing recommended antibiotics in nosocomial SBP [68] and have been associated with a worse outcome [65, 68]. Particularly alarming are increased rates of multidrug-resistant organisms causing nosocomial SBP being likewise associated with a higher mortality rate as compared to SBP due to common bacteria [65]. In fact, the mortality is higher in patients with SBP due to multidrug-resistant organisms and in those in whom antibiotic therapy needs to be changed due to treatment failure of first-line therapy [68]. This suggests that broader-spectrum first-line antibiotics, such as carbapenems or glycopeptides, should be considered in patients with nosocomial SBP.

Major risk factors independently associated with the occurrence of (multiple) resistant bacteria are hospitalization before the development of SBP and/or prior prophylactic antibiotics [85]. Therefore, quinolones should not be used in patients who (a) are on norfloxacin prophylaxis, (b) in settings with high prevalence of quinolone-resistant organisms, or (c) in nosocomial SBP. In community-acquired SBP multidrug-resistant bacteria are isolated in less than 3% of the patients. Thus, cefotaxime or other third-generation cephalosporins, or amoxicillin-clavulanic acid can still be used as the initial therapy of SBP in these patients.

SBP resolves with adequate antibiotic therapy in approximately 90% of patients. Usually, this is associated with resolution of clinical symptoms and normalization of laboratory changes indicating infection if present at diagnosis. However, clinical evolution is not sufficiently reliable and, therefore, control of treatment success is recommended. A corresponding treatment algorithm is presented in figure 1. Resolution of SBP should be proven by demonstrating a decrease in ascitic PMN count to  $<250/\text{mm}^3$  or at least below 25% of PMN value before antibiotic treatment [2]. Moreover, sterile culture of ascitic fluid should be evidenced if positive at diagnosis.

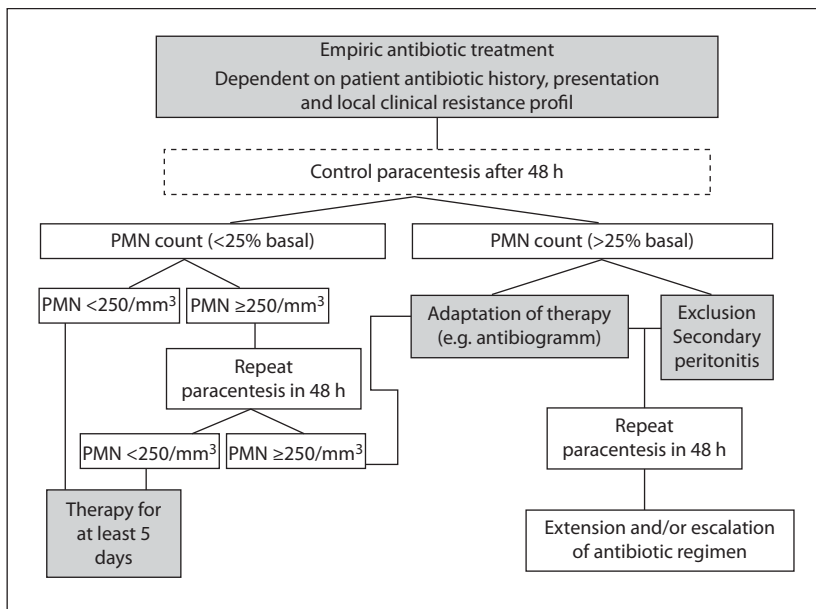
### *Future Developments for Therapy*

In nosocomial SBP, appropriate well-designed clinical trials are needed to define selection criteria for patients in need of first-line treatment with carbapenems and glycopeptides. These studies should address the potential drawback of such an aggressive approach, namely the induction of even more complex resistance profiles including carbapenem- and vancomycin-resistant bacteria. Finally, the pharmacokinetic properties of the antibiotics selected should be adequate to treat peritoneal infection (e.g. antibiotic concentration in ascitic fluid  $>\text{MIC}_{90}$  of causative micro-organisms). Pharmacokinetic data on carbapenems and vancomycin in cirrhotic patients with ascites (and SBP) are lacking.

Regarding patients with bacterascites (positive culture but  $\text{PMN} < 250$ ), it is currently recommended to treat these patients only in case of signs of systemic inflammation

**Table 2.** Clinical trials on antibiotic treatment of SBP

| Author, year        | Intervention, control/comparator  | Number of patients | SBP resolution  | In-hospital mortality                                  |
|---------------------|---|--------------------|---|--|
| Felisart, 1985 [86] | tobramycin (1.75 mg/kg 8 h i.v.) plus ampicillin (2 g/4 h i.v.) vs. cefotaxime (2 g/4 h i.v.)                                 | 36/37              | 18/32 (56%) vs. 28/33 (85%)<br>p < 0.02                 | 14/32 (39%)<br>10/33 (27%)<br>n.s.                     |
| Rimola, 1995 [79]   | cefotaxime (2 g/6 h i.v.) vs. cefotaxime (2 g/12 h i.v.)  | 71/72              | 51/66 (77%) vs. 55/70 (79%)<br>n.s.                     | 22/71 (31%) vs. 15/72 (21%)<br>n.s.                    |
| Navasa, 1996 [83]   | ofloxacin (400 mg/12 h p.o.) vs. cefotaxime (2 g/6 h i.v.)  | 64/59              | 54/64 (84%) vs. 50/59 (85%)<br>n.s.                     | 12/64 (19%)<br>11/59 (19%)<br>n.s.                     |
| Ricart, 2000 [81]   | amoxicillin/clavulanic acid (1/0.2 g/8 h i.v.) followed by 0.5/0.125 g/8 h p.o.) vs. cefotaxime 1 g/6 h i.v.)                 | 24/24              | 21/24 (87.5%) vs. 20/24 (83.8%)<br>n.s.                 | 3/24 (12.5%) vs. 5/24 (20.8%)<br>n.s.                  |
| Tuncer, 2003 [87]   | ciprofloxacin (500 mg/12 h) vs. cefotaxime (2 g/8 h i.v.) vs. ceftriaxone (2 g/24 h i.v.) for 5 days                          | 15/17/17           | 12/15 (80%) vs. 13/17 (76.4%) vs. 14/17 (82.3%)<br>n.s. | 2/15 (13.3%) vs. 2/17 (11.7%) vs. 3/17 (17.6%)<br>n.s. |
| Terg, 2000 [84]     | ciprofloxacin (200 mg/12 h for 7 days) vs. ciprofloxacin (200 mg/12 h i.v. for 2 days followed by 500 mg/12 h p.o. for 5 days | 40/40              | 30/40 (76%) vs. 31/40 (78%)                             | 77% vs. 77%<br>n.s.                                    |
| Angeli, 2006 [82]   | ciprofloxacin (200 mg/12 h i.v.) followed by 500 mg/12 h p.o. (total 8 days) vs. cetazidim (2 g/12 h i.v.)                    | 61/55              | 49/61 (80%) vs. 46/55 (84%)<br>n.s.                     | 5/61 (8.2%) vs. 7/55 (13%)<br>n.s.                     |



**Fig. 1.** Therapeutic algorithm for control of treatment failure.

or infection [1]. Otherwise, the patient should undergo a second paracentesis at the time culture results come back positive. This recommendation is based on a single-center experience showing that only the presence of signs or symptoms of infection appears to separate patients with bacterascites that progresses to SBP and those that resolve ascitic fluid colonization spontaneously [88]. However, further studies need to clarify the significance and mechanisms of bacterascites. Deficiencies in chemotaxis due to either genetic polymorphisms or acquired co-factors such as inhibition of sympathoadrenergic signaling have been shown to affect the neutrophilic response upon peritoneal bacterial stimulation in experimental cirrhosis [89]. In this context, in a retrospective study the use of a nonselective beta-blocker has recently been reported to lower the probability of community-acquired SBP in advanced cirrhosis [90] and a recent meta-analysis concluded that this protective effect is independent of the hemodynamic response achieved [91]. However, prospective randomized trials are lacking and are needed to establish the use of beta-blockers for the prevention and/or treatment of SBP.

## Key Messages

- Definition: SBP is defined by PMN count in ascitic fluid  $\geq 250/\text{mm}^3$ . Its development has been related to bacterial overgrowth, intestinal barrier failure and defects in host defense.
- Prophylaxis: Patients with gastrointestinal bleeding and severe liver disease should receive ceftriaxone, while patients with less severe liver disease and presentation may be given oral quinolone to prevent the development of SBP. Patients with low-protein ascites and no prior history of SBP may be started on primary antibiotic prophylaxis with quinolones in highly selected cases. After successful treatment and resolution of SBP, secondary prophylaxis has to be performed.
- Treatment: Empiric antibiotics should be started immediately following the diagnosis of SBP. First-line antibiotic treatment is third-generation cephalosporines. Alternatives include amoxicillin/clavulanic acid and quinolones. However, quinolones should not be used in patients who (a) are on prophylaxis using these drugs; (b) in areas with high prevalence of quinolone resistance, or (c) in nosocomial SBP. Potentially nephrotoxic antibiotics should not be used in cirrhotic patients.
- In nosocomial SBP, increased rates of bacterial resistance and multiple and/or complex resistance profiles have to be considered in treatment algorithms.
- Follow-Up: Treatment failure should be suspected and thus antibiotic therapy should be adapted when (a) clinical presentation worsens, or (b) follow-up paracentesis after 48 h of start of treatment fails to demonstrate a decrease of ascitic PMN counts to at least  $<25\%$  of basal value before treatment.
- Future Studies: These should particularly focus on individualization and duration as well as optimization of prophylactic and therapeutic measures without increasing bacterial resistance.

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# Clinical Implications of Hyponatremia in Cirrhosis

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## Abstract

Hyponatremia in cirrhosis is caused by a generalized circulatory disorder, features of which include vasodilation, portal hypertension, reduced systemic vascular resistance, increased cardiac output and systemic hypotension. Hypotension activates baroreceptor neurons in the heart and great vessels, causing the hypothalamus to override its normal osmotic controls and release antidiuretic hormone. The resulting renal water retention, mediated via vasopressin V2 receptors in the collecting ducts, leads to systemic hypo-osmolality and hyponatremia. Low serum sodium is a predictor of mortality in cirrhosis, as well as in other disorders associated with circulatory failure such as congestive heart failure or pneumonia. Low serum sodium often accompanies other manifestations of advanced cirrhosis, including refractory ascites, hepatorenal syndrome and hepatic encephalopathy. Hyponatremia worsens with diuretic therapy in cirrhosis and may render patients diuretic-intolerant. Low sodium predicts cirrhotic mortality independently of MELD score, and inclusion of sodium in MELD-based survival models improves their predictive accuracy. Hyponatremia, even when severe, is well tolerated if it develops slowly, though there is some evidence that hyponatremia per se may aggravate cognitive impairment and hepatic encephalopathy. Patients with hyponatremia at the time of liver transplantation have higher post-transplant morbidity and mortality, and are particularly at risk to develop osmotic demyelination. Cirrhotic hyponatremia may improve with water restriction, diuretic withdrawal, albumin infusion, pressor therapy or administration of aquaretic vaptan agents, but it is not known whether these treatments improve outcomes. Pretransplant correction of serum sodium may be indicated to prevent post-transplant osmotic demyelination.

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## Hyponatremia in Cirrhosis: Pathophysiology

Ascites, hyponatremia and hepatorenal syndrome are now recognized to be components of a generalized circulatory disorder of cirrhosis [1]. This circulatory disorder is characterized by vasodilation, with reduced systemic vascular resistance, increased cardiac output, and hypotension. The vasodilatory signals responsible for

the hyperdynamic circulation remain incompletely understood. Candidate mediators include bacterial endotoxins, cytokines such as TNF- $\alpha$  and IL-6, endogenous cannabinoids, and nitric oxide, among others. Sinusoidal and portal hypertension play a role in release of these mediators, and portosystemic shunting may contribute to their systemic accumulation. In response to vasodilatation, a variety of compensatory mechanisms are activated, including the sympathetic nervous system and the renin-angiotensin-aldosterone axis. These changes partially correct the circulatory disorder, but at a cost of avid renal sodium retention. In the setting of hypoalbuminemia with low plasma oncotic pressure, as well as sinusoidal portal hypertension, this sodium retention results in transudation of fluid from the vascular space, leading to accumulation of ascites and edema.

Above a critical threshold, progressive vasodilation with hypotension activates baroreceptor neurons in the central circulation that communicate with the hypothalamus. This causes the hypothalamus to override its normal osmotic control pathway and release antidiuretic hormone (vasopressin). At the level of the kidney, vasopressin acts on V2 receptors in the collecting ducts, causing aquaporins to translocate from the cytosol to the apical plasma membrane [2]. The previously impermeable collecting duct now becomes permeable to water, which diffuses into the renal medulla driven by the osmotic gradient there. The resulting water retention produces systemic hypo-osmolality, of which hyponatremia is the most prominent manifestation. The mechanism teleologically represents an 'emergency override' by which the body sacrifices osmolar homeostasis to maintain volume when confronted with impending circulatory collapse.

### **Prognostic Significance of Sodium in Cirrhosis and Liver Transplantation**

The presence of hyponatremia is often a sign of impending circulatory failure, with strong prognostic implications. Low serum sodium is a predictor of mortality in many disorders associated with circulatory failure, ranging from congestive heart failure [3] to acute pneumonia [4]. Low serum sodium also predicts overall mortality in general hospital [5] and intensive care settings [6].

The importance of hyponatremia as a prognostic indicator in cirrhosis has long been recognized [7]. In the 1960s, Summerskill and colleagues [8] noted the association of hyponatremia with renal insufficiency in cirrhosis. Sherlock et al. [9] observed that hyponatremia worsens with diuretic therapy in cirrhosis and may render patients diuretic-intolerant. A multicenter point prevalence survey in Europe and the USA [10] found that low serum sodium was present in nearly half of cirrhotic patients, and was associated with increased incidence of spontaneous bacterial peritonitis, hepatic encephalopathy, and hepatorenal syndrome.

Recognition of the prognostic significance of sodium in liver disease has assumed new importance in recent years. Because of the growing gap between demand for

liver transplantation and supply of deceased donor organs, governments in the USA, Europe and elsewhere have mandated allocation of scarce donor livers on a 'sickest first' basis. This approach requires a reliable model of short term prognosis for cirrhotic patients awaiting transplantation. The Model for End-Stage Liver Disease (MELD) was adopted for this purpose in the USA in 2002 [11, 12]. MELD provided a reasonably good indicator of 90-day pretransplant survival in a number of cirrhotic populations, with concordance statistics in the order of 0.8.

Creatinine is a component of MELD, and it was initially thought that increases in serum creatinine would effectively capture the risk associated with the circulatory derangement of cirrhosis. However, we reported in 2004 [13] that low serum sodium and uncontrolled ascites were predictive of 6-month pretransplant mortality in cirrhotic veterans, independently of MELD score. The added predictive value of sodium and ascites in this study was most apparent in patients with MELD  $\leq 20$ ; in the absence of low sodium or ascites, 180-day mortality for patients with MELD  $\leq 20$  was less than 6%, whereas if both were present or if sodium was  $< 130$  mEq/l, mortality was 40–50%. This finding suggested that while MELD may adequately identify those cirrhotic patients who are dying with hepatorenal syndrome, uncontrolled ascites and low sodium are additional important markers of advanced circulatory failure that can identify cirrhotic patients who are at risk for terminal decompensation leading to hepatorenal syndrome. Our data were also consistent with the earlier finding of Fernandez-Esparrach et al. [14], who showed in patients hospitalized with cirrhotic ascites that a failure to increase urinary volume in response to a free water challenge (indicating failure of the hypothalamus to suppress vasopressin secretion in response to hypo-osmolality) was a key predictor of mortality independent of serum creatinine.

The independent prognostic significance of low sodium and MELD in pretransplant cirrhotics was subsequently confirmed by a number of groups worldwide. A variety of prognostic models incorporating sodium and MELD have been proposed. The most sophisticated is that of Kim et al. [15], based upon analysis of mortality data from the USA national liver transplant waiting list. These authors found that mortality increased incrementally with decline in sodium from 140 to 125 mEq/l, and that the prognostic significance of sodium was greatest at low values of MELD. In the United Kingdom, a model termed UKELD that incorporates sodium along with creatinine, bilirubin and INR was adopted in 2008 to determine eligibility for liver transplantation [16].

In the USA, despite a near consensus regarding the independent prognostic value of sodium and MELD in end-stage liver disease, the liver transplant community has not embraced efforts to incorporate sodium into the survival model for organ allocation. One concern is the labile nature of hyponatremia. A number of treatments can improve serum sodium at least transiently. As a consequence, especially in the hospitalized cirrhotic patient, composite MELD-sodium prognostic scores may improve in response to short-term treatments that have little effect on the severity



or prognosis of the underlying disease. In cirrhotic subjects referred for transplantation, we found that the lowest serum sodium in the preceding 90 days was a better predictor of pretransplant mortality than the current serum sodium [17]. Among cirrhotics with currently normal sodium, those who had experienced low serum sodium in the previous 90 days had substantially higher mortality risk, and risk increased with severity of prior hyponatremia. Thus, treatment to correct hyponatremia may mask the underlying risk and attenuate or nullify the prognostic value of sodium.

A second concern is the possibility that low serum sodium at transplantation can be associated with poorer transplant outcomes, and preferential organ allocation to cirrhotics with hyponatremia may therefore reduce overall benefit. Londono et al. [18] from Barcelona reported that cirrhotic patients whose serum sodium was  $<130$  mEq/l at the time of liver transplantation had significantly higher rates of early post-transplant complications, including infections, neurological complications and renal insufficiency, as well as poorer 3-month post-transplant survival. Similar findings were reported from the United Kingdom by Dawwas et al. [19]. Yun et al. [20] reviewed outcomes of 2,321 liver transplants performed between 1990 and 2000 at a number of USA sites and found an association of pretransplant hyponatremia with prolonged post-transplant ICU and hospital stay, though early survival was not affected. Hackworth et al. [21] reported that cirrhotics whose hyponatremia had been corrected prior to transplantation nonetheless experienced higher rates of post-transplant complications than those who had not experienced hyponatremia, suggesting that hyponatremia in part may be a surrogate marker for other elements of disease severity that determine transplant risk.

A dreaded complication associated with cirrhotic hyponatremia in the transplant setting is osmotic demyelination (formerly known as central pontine myelinolysis), a type of brain injury caused by rapid increases in osmolality [22]. During the course of liver transplantation and subsequent intensive care, hyponatremic patients undergoing liver transplantation often receive massive volumes of isotonic fluids, leading to rapid normalization of serum sodium. A few of these individuals will develop osmotic demyelination [20], sometimes leading to death, coma, or permanent severe neurological deficits. Many programs are reluctant to transplant patients with severe hyponatremia. An organ allocation formula that offers priority to patients with hyponatremia would need to be constructed in such a way as to avoid penalizing clinicians and patients for taking steps to correct hyponatremia preoperatively. To date, no consensus exists on such a formula.

### **Hyponatremia in Cirrhosis: Treatment Options**

Treatments to correct cirrhotic hyponatremia can be divided into two general groups: those that specifically seek to reduce excess free water and correct hyposmolality, and

those that suppress vasopressin secretion by addressing the underlying hemodynamic derangement.

The mainstay of free water removal traditionally has been water restriction. Humans have obligatory daily water losses from skin, the respiratory tract, feces and urine in the order of 1,000–1,500 ml. If the obligatory losses exceed water intake, osmolality increases. The major limitation of water restriction as a treatment strategy is intolerable thirst, leading to noncompliance. A newer approach to free water removal is administration of vaptan diuretics, antagonists of the renal vasopressin V2 receptor. Vaptans produce rapid dilution of urine with excretion of free water, leading to an increase in serum sodium and osmolality that is sustained with continued treatment. The orally active agent tolvapatan, a selective V2 antagonist, was approved in the USA in 2009 for treatment of hyponatremia in a number of disorders, including cirrhosis. Vaptans are discussed in detail in the next chapter.

Treatments that improve sodium by correcting the underlying hemodynamics include albumin, pressors, antibiotics, and transjugular intrahepatic portosystemic shunts. These are discussed in more detail elsewhere in this volume in the context of treatment of ascites and hepatorenal syndrome. In general, aside from shunts, their efficacy is transitory, though recent studies of Tandon et al. [23] suggest that midodrine and octreotide given chronically to improve peripheral resistance and raise blood pressure in patients with cirrhotic ascites may improve hyponatremia along with renal function and diuretic response, and reduce the need for therapeutic paracentesis.

### **Should We Treat Cirrhotic Hyponatremia?**

Hyponatremia in cirrhosis generally develops slowly, and is well tolerated. Rapid onset of hyponatremia, for example in marathon runners, can lead to fatal brain edema, but this is not seen with gradual onset of hyponatremia, since cells in the brain and elsewhere adapt to the hypo-osmolar environment over days to weeks by reducing the content of osmotically active solute [24]. Whether correction of chronic cirrhotic hyponatremia per se yields significant benefits is controversial. There is some evidence that stable chronic hyponatremia may be associated with reversible neurocognitive deficits. Chronic hyponatremia induced in rats by vasopressin administration was found to be associated with memory impairment that corrected following reversal of hyponatremia with tolvaptan [25]. In a mixed population of patients with mild chronic hyponatremia, Renneboog et al. [26] found abnormalities of attention and gait with increased risk of falls. Hyponatremic cirrhotics have reduced brain concentration of solutes such as myoinositol, and are at increased risk to develop overt hepatic encephalopathy [27]. In a preliminary report, improvement of cirrhotic hyponatremia with satavaptan therapy was found



to improve complex information processing ability, as measured by the trail-making test B [28].

Aside from the potential cognitive benefits, there is little evidence that simple correction of hyponatremia, without improvement in the underlying circulatory disorder, can improve major outcomes such as survival in cirrhosis. Until recently, treatments available for outpatient management of cirrhotic hyponatremia were only transiently effective. Newer agents such as vaptan diuretics can produce sustained improvement in hyponatremia, but the role of these agents in advanced liver disease remains to be established. If transplant is imminent, a case can be made for use of vaptans or other aggressive measures to improve sodium preoperatively in a controlled manner over days to weeks, in hopes of reducing likelihood of post-transplant osmotic demyelination and other complications, even though the benefits of this approach have not yet been supported by clinical trials. However, simple correction of serum sodium is unlikely to alter substantially the natural history of cirrhosis, and use of water restriction and/or vaptans in advanced cirrhosis, especially as a measure to overcome diuretic resistance, could further aggravate circulatory failure. A prospective, randomized, placebo controlled clinical trial of satavaptan for cirrhotic hyponatremia was stopped in 2009 after excess mortality was noted in the satavaptan-treated group [29]. Optimism is further tempered by experience in patients with hyponatremia caused by heart failure. In the EVEREST trial [30], a 2-year prospective study of over 1,000 heart failure patients with hyponatremia randomized to treatment with tolvaptan versus placebo, chronic treatment with tolvaptan improved serum sodium but failed to improve survival. Similar prospective studies are needed in cirrhotics with hyponatremia before firm recommendations can be made regarding the risks and benefits of correcting hyponatremia in patients with end stage liver disease.

### **Key Messages**

- Hyponatremia in cirrhosis is one manifestation of a systemic hemodynamic derangement, characterized by low peripheral vascular resistance, increased cardiac output, hypotension, and avid renal retention of sodium and water.
- Hyponatremia in a cirrhotic patient indicates poor prognosis, independently of MELD score.
- Cirrhotics who are hyponatremic prior to liver transplantation are at higher risk of early post-transplant complications, including osmotic demyelination.
- Hyponatremia may contribute to cognitive impairment in patients with hepatic encephalopathy.
- Cirrhotic hyponatremia often improves with water restriction, aquaretic therapy, or other treatments, but correction of hyponatremia has not been shown to improve survival.

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## Vaptans for Ascites – Chances and Risks

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### Abstract

Ascites is a common complication of cirrhosis. The presence of ascites is associated with significant morbidity and mortality. Traditional treatments of ascites with diuretics and repeat large-volume paracenteses have their limitations. Definitive treatments of ascites such as the insertion of a transjugular intrahepatic portosystemic shunt or liver transplantation are available to suitably selected patients. The development of vasopressin V<sub>2</sub> receptor antagonists or vaptans as a treatment for hyponatremia associated with ascites has proven to be a welcoming addition to the management of these patients. Six vaptans have been assessed and all have been shown to be effective in correcting hyponatremia. Tolvaptan, conivaptan and moxivaptan are approved worldwide for clinical use for the correction of hyponatremia from any etiology. Satavaptan and tolvaptan have also been shown to improve the quality of life of patients once hyponatremia is corrected. The sodium-correcting effect of the vaptans can only be maintained while patients are taking it. Satavaptan, tolvaptan and M0002 may also have an ascites-reducing effect. Satavaptan has been studied for 52 weeks in several phase III trials and renal impairment and hyperkalemia seem to be two consistent side effects. All of the other vaptans have only been studied for less than 30 days. Therefore, the full risks or benefits related to the other vaptans are unknown. Until the long-term results of the other vaptans are known, it is prudent to start with a low dose and titrate upwards to find the appropriate dose for the desired effect.

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### The Clinical Problem

Ascites is a common complication of liver cirrhosis, occurring in 60% of cirrhotic patients within 10 years of diagnosis of compensated cirrhosis [1]. Ascites affects 5–7% of cirrhotic patients at any one time. The development of ascites in the natural history of cirrhosis heralds a worsening of the prognosis to 50% survival at 2 years [1], and this deteriorates to 30–50% at 1 year when the ascites becomes refractory to medical therapy [2, 3]. Ascites also worsens the quality of life of cirrhotic patients, and predisposes them to complications such as abdominal hernias, restrictive ventilatory dysfunction, malnutrition, spontaneous bacterial peritonitis and hepatorenal

syndrome, some of which are life threatening. Traditionally, the management of ascites consists of dietary sodium restriction and the judicious use of diuretics [4]. With progression of liver cirrhosis, increasingly higher doses of diuretics are required in order to control the accumulation of ascites. Eventually, either diuretic-induced complications such as electrolyte abnormalities or renal dysfunction occur, or the patient no longer has a diuretic response despite very high doses of diuretics such as 160 mg of furosemide and 400 mg of spironolactone daily [4]. These patients with refractory ascites will require second-line treatments of their ascites, especially if the ascites is large enough to interfere with patient comfort and daily activities. These include large-volume paracentesis (LVP), the insertion of a transjugular intrahepatic portosystemic stent shunt (TIPS) in the suitable patients, or liver transplantation [4].

### **Therapeutic Limitations in the Management of Refractory Ascites**

Repeat LVPs have been shown to have low complication rates [5, 6]. Although LVPs can provide a rapid relief of the symptoms related to abdominal distension, they do not correct the underlying pathophysiology of ascites formation, namely renal sodium retention. Therefore, re-accumulation of ascites invariably occurs. Although repeat LVPs are manageable, they involve significant medical manpower and inconvenience to the patients. The insertion of a TIPS shunt corrects portal hypertension, which is one of the pathogenetic factors for ascites formation, but the diversion of blood from the splanchnic to the systemic circulation through the TIPS is associated with its unique set of complications [7], especially for older patients or those with moderate underlying liver dysfunction or cardiopulmonary abnormalities [8]. Therefore, TIPS insertion is only suitable for carefully selected patients, representing approximately 40–50% of all patients with ascites. Liver transplantation corrects portal hypertension, liver dysfunction and the hemodynamic abnormalities of advanced cirrhosis. However, with the shortage of donor organs, only a small percentage of patients will receive timely liver transplantation.

### **Recent Novel Therapeutic Agents for the Management of Ascites**

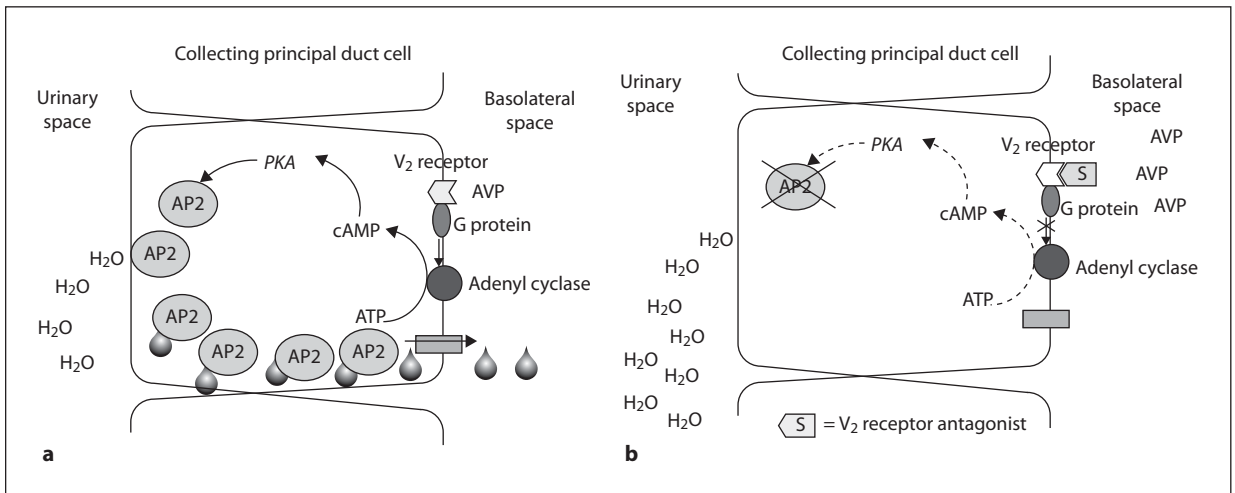
One of the pathogenetic pathways that is involved in renal sodium retention and ascites formation in cirrhosis is the development of systemic and splanchnic arterial vasodilatation, leading to relatively effective arterial underfilling that activates various renal sodium-retaining mechanisms [9]. Therefore, therapeutic agents that can potentially reduce the relative effective arterial underfilling have been tried as novel treatments for ascites in cirrhosis. These include various systemic vasoconstrictors in order to reduce the extent of arterial vasodilatation, and plasma expanders such as albumin to fill the arterial circulation.

Selective nonpeptide vasopressin  $V_2$  receptor antagonists, or ‘vaptans’ as they are known, belong to a newer class of drugs that is emerging as a plausible treatment for cirrhotic ascites. These are aquaretic agents that have been developed for the treatment of hyponatremia. The development of hyponatremia in cirrhosis is common, especially in patients with advanced cirrhosis and refractory ascites. This is related to the excess secretion of arginine vasopressin (AVP) from nonosmotic stimulation. There is also resetting of the osmoreceptors in cirrhosis, so that the secretion of AVP is only suppressed at a lower serum osmolality when compared to healthy individuals [10]. The development of hyponatremia in patients with cirrhosis and ascites precludes continued use of diuretic therapy. By correcting hyponatremia, the vaptans may allow the continued use of diuretics and therefore help in the management of ascites in cirrhosis. More recently, there are emerging data that the vaptans may also be effective in the management of ascites in patients with cirrhosis but without hyponatremia [11].

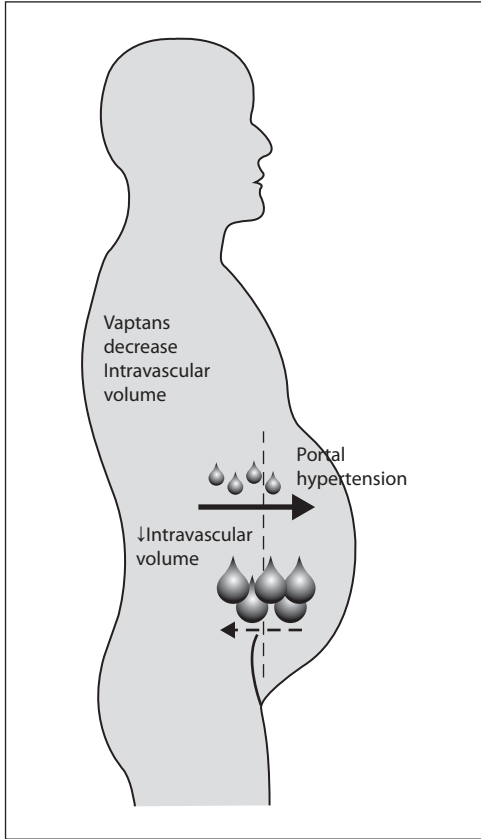
### **The Vaptans**

Vasopressin  $V_2$  receptors are located on the basolateral surface of the principal cells of the collecting duct of the nephron, which is impermeable to water in the absence of vasopressin. Vasopressin, by binding to the  $V_2$  receptor, stimulates a series of reactions, which ultimately leads to the insertion of aquaporin 2 on the luminal side of the collecting duct. Aquaporins 2 are water channels. Their insertion allows water to be reabsorbed from the luminal side to the basolateral side of the collecting duct (fig. 1a), and the urine is concentrated. The vaptans compete with vasopressin for attachment onto the  $V_2$  receptors, but they lack the ability to stimulate the insertion of aquaporin 2 into the collecting duct. Therefore, the use of vaptans can effectively inhibit the reabsorption of water in the collecting duct (fig. 1b), reducing the serum water content, thereby correcting hyponatremia.

More recently, the vaptans have also been assessed as an agent for the treatment of ascites independent of the presence of hyponatremia [11–13]. Intuitively, it seems unlikely that an aquaretic agent could effect a reduction in ascites accumulation. However, the loss of a significant volume of water induced by the aquaretic agent could lead to a reduction in the intravascular volume. This could be compensated for by an increase in oral fluid intake and/or activation of various physiological mechanisms to replenish the effective intravascular volume. One of the possible mechanisms for replenishing the intravascular volume can be achieved through an increased movement of water from the interstitial and/or intracellular compartment to the vascular compartment. Another mechanism could be an increased reabsorption of ascitic fluid, leading to a reduction in ascites accumulation (fig. 2). Given the fact that reabsorption of ascitic fluid is relatively fixed, maximally at approximately 400 ml/day [14], the rate of ascites reduction will be gradual and



**Fig. 1.** **a** Mechanism of action of vasopressin in mediating water reabsorption at the principal cell of the renal collecting duct. **b** Blocking of the vasopressin V2 receptor by a vaptan.



**Fig. 2.** The presence of portal hypertension in cirrhosis favours the transfer of fluid from the intravascular space to the peritoneal cavity. Vaptans, by decreasing the intravascular volume, favours the reabsorption of fluid from the peritoneal cavity back to intravascular compartment.

**Table 1.** Vaptans that have been assessed for the management of hyponatremia and/or ascites in cirrhosis

| Vaptan     | Compound   | Receptor type                  | Route of administration | Current status  |
|------------|------------|--------------------------------|-------------------------|---|
| Conivaptan | YM-087     | V <sub>1</sub> /V <sub>2</sub> | intravenous             | approved in the United States for the treatment of hyponatremia in hospitalized patients  |
| Tolvaptan  | OPC-41061  | V <sub>2</sub>                 | oral                    | approved in the United States for the treatment of hyponatremia of all etiologies   |
| Moxivaptan | OPC-31260  | V <sub>2</sub>                 | oral                    | approved in Japan for the treatment of hyponatremia associated with the syndrome of inappropriate anti diuretic hormone secretion |
| Satavaptan | SR-121463  | V <sub>2</sub>                 | oral                    | several phase III studies for the indication of treatment of ascites; development has been permanently discontinued               |
| Lixivaptan | VPA-985    | V <sub>2</sub>                 | oral                    | phase II studies in cirrhosis for the indication of hyponatremia; further development currently postponed                         |
| M0002      | RWJ-354617 | V <sub>2</sub>                 | oral                    | small phase II study in cirrhosis for the treatment of ascites; further development currently postponed                           |

this appears to be what was observed in a medium-term study using one of the aquaretic agents, satavaptan [12].

To date, 6 vaptans have been assessed for the management of hyponatremia of all causes and/or ascites in cirrhosis (table 1). All are selective V<sub>2</sub> receptor antagonists, with the exception of conivaptan, which is a combined V<sub>1</sub>/V<sub>2</sub> receptor antagonist. Currently, only conivaptan and tolvaptan are approved in the United States for the treatment of hyponatremia in euvoletic and hypervolemic states. Mozavaptan is



approved in Japan only for the treatment of hyponatremia associated with the syndrome of inappropriate antidiuretic hormone secretion. The development of the other vaptans has either been postponed (M0002, lixivaptan) or permanently discontinued (satavaptan).

### **Vaptans in the Management of Hyponatremia in Cirrhosis**

In the first randomized, double-blind, placebo-controlled trial assessing the efficacy of a vaptan for the management of hyponatremia, lixivaptan in ascending doses of 25, 125 or 250 mg versus placebo twice daily was given without concomitant diuretics for 7 days to 44 patients, 33 of whom were cirrhotic patients with ascites [15]. There was a dose-dependent increase in serum sodium in the lixivaptan groups, so that by day 4 of the study, the serum sodium in the 250 mg b.i.d. group had risen from a baseline of  $125 \pm 1$  to  $135 \pm 1$  mmol/l, and this remained at  $134 \pm 2$  mmol/l by the end of the 7-day study. The rise in serum sodium concentration in all the lixivaptan groups was significantly higher than that in the placebo group, whose serum sodium remained unchanged at  $125 \pm 2$  mmol/l by the end of the study [15]. In another study involving 60 cirrhotic patients with ascites, the administration of either 50 or 100 mg of lixivaptan twice daily without diuretics also resulted in a dose-dependent increase in serum sodium, so that by the end of the study, the serum sodium had increased by  $6 \pm 2$  mmol/l. This compared significantly higher than the placebo group, whose low serum sodium concentrations virtually remained unchanged by the end of the study [16]. Normalization of serum sodium concentration was achieved in 27 and 50% of patients in the 100- and 200-mg/day groups, respectively, but in none of the patients in the placebo group ( $p < 0.05$  and  $p < 0.001$ , respectively) [16].

Satavaptan at 5-, 12.5- or 25-mg doses versus placebo, together with 100 mg of spironolactone per day, was first tested in 110 cirrhotic patients with ascites and serum sodium  $\leq 130$  mmol/l in a 14-day study [17]. Despite the concomitant administration of a diuretic, an improvement in serum sodium was observed in the satavaptan groups almost immediately and this increase was maintained throughout the 14-day study. Overall, the serum sodium in the satavaptan groups increased by a mean value of at least 4 mmol/l, versus a mean increase in serum sodium of 1 mmol/l in the placebo group ( $p < 0.01$ ) [17]. The same patients were then offered re-treatment and 73 agreed [18], and, together with an additional 66 patients, participated in a 52-week study assessing the longer-term effects of 5–50 mg satvaptan daily on serum sodium concentration, with flexible doses of diuretics in order to mimic real-life clinical practice [19]. The mean sodium concentration rose from 129 mmol/l at re-randomization to either normal or near normal levels throughout the study in the satavaptan groups, whereas the mean serum sodium concentration remained at  $< 130$  mmol/l for most of the time throughout the study in the placebo group [19]. Normal sodium

concentrations could only be maintained while taking satavaptan. After completion of the study, hyponatremia with serum sodium of <130 mmol/l rapidly recurred.

Tolvaptan, another orally active vaptan, was assessed for its efficacy in the treatment of hyponatremia in 2 prospective randomized controlled trials (SALT-1 and SALT-2 studies), which included a total of 444 patients with serum sodium <135 mmol/l [20]. Tolvaptan was administered at an initial dose of 15 mg daily, and this was increased to 30 mg daily and, if necessary, to 60 mg daily if the serum sodium did not reach 136 mmol/l and the change in serum sodium had been <5 mmol/l on the previous day. Thirty-eight of the SALT-2 study patients randomized to tolvaptan and 36 patients randomized to placebo had underlying cirrhosis. Considering all the study patients as a whole, there was a significant increase in serum sodium on both day 4 and day 30 of the study [20]. The cirrhotic patients in SALT-2 had a rise in serum sodium of 1.94–3.48 mmol/l on day 4 and 2.45–3.09 mmol/l on day 30. Normalization of sodium levels (>135 mmol/l) occurred in 29–30 and 17–22% of cirrhotic subjects at days 4 and 30, respectively [21]. It is not clear whether the patients were given concomitant diuretics during the study. It appears not to be so, as patients were placed on fluid restriction to help improve their serum sodium concentration. Like satavaptan, hyponatremia recurred once the study was complete and tolvaptan was stopped.

### **Vaptans in the Management of Ascites in Cirrhosis**

It was observed in some of the above studies that, apart from improving the serum sodium concentrations, the vaptans were also able to reducing the extent of ascites in cirrhotic patients [17]. It is therefore feasible that by maintaining serum sodium, the use of vaptans had permitted diuretics to be continued, thereby improving the control of ascites. The question that follows is whether the vaptans had any effects on the control of ascites independent of diuretic therapy. In a 14-day study involving 148 patients with cirrhosis and ascites but without hyponatremia, the addition of satavaptan at the doses of 5, 12.5 or 25 mg versus placebo to 100 mg of spironolactone daily, led to a significant greater weight reduction ( $p < 0.05$ ) in all the satavaptan groups compared to the placebo group [11], suggesting that satavaptan was able to effect a reduction in ascites irrespective of diuretics. The mean volume of ascites reduction was in the range of 2.08–2.46 liters for the 2-week study period. Interestingly, there was no dose-dependent effect on ascites reduction, suggesting that the amount of ascites reabsorption from the peritoneal cavity is relatively fixed, as demonstrated by indicator studies [14]. When satavaptan was specifically studied for its effects on preventing the recurrence of ascites after a large-volume paracentesis over a 12-week period in patients with or without hyponatremia, the same doses of satavaptan were able to significantly reduce the frequency of paracentesis when compared to placebo in the presence of 100 mg of spironolactone daily [12]. However, a longer-term study

in a larger cohort of cirrhotic patients with ascites over a 52-week period on the prevention of ascites recurrence was only able to show a trend towards a better control of ascites in terms of the time to the first paracentesis and the frequency of large-volume paracentesis [22]. Further randomized controlled trials using satavaptan in patients with either diuretic-responsive ascites [23] or diuretic-resistant ascites [24] also only showed a trend towards better rather than superiority of satavaptan over placebo in terms of ascites control.

Similar to satavaptan, short-term studies with either M0002 [25] or tolvaptan [13] also showed efficacy of the vaptans in improving ascites. However, the study with M0002 only involved 15 patients for a total of vaptan administration of 2 weeks, while that using tolvaptan included 18 patients for a total of 9 study days. Therefore, while the results are encouraging, it is not clear whether longer-term studies involving a larger cohort of patients with either M0002 or tolvaptan will confirm their efficacy in reducing ascites in cirrhosis.

### **Vaptans – the Chances**

The arrival of the vaptans more than a decade ago certainly brought a lot of enthusiasm amongst the physicians caring for patients with cirrhosis, ascites and hyponatremia. The vaptans have demonstrated their efficacy in correcting hyponatremia, thereby allowing diuretics to be continued in the management of ascites. The correction of hyponatremia has resulted in an improved health-related quality of life, whether it was assessed by a 36-item (SF-36) [26] or a 12-item short form (SF-12) [20] general health survey. The vaptans as a group has also shown some promise as an agent in the management of ascites independent of hyponatremia [12, 13, 22–25]. This is the first time in several decades that a novel class of agents is being developed for the population of cirrhotic patients with ascites. As such, the vaptans are providing a real chance for improving the overall well-being of the cirrhotic patients.

### **Vaptans – the Risks**

The potential benefits of the vaptans have to be weighed against the risks involved in their use. The vaptans are aquaretics, and excessive correction of hyponatremia can result in osmotic demyelination and neurological sequelae [27]. Thirst is the most common side effect in almost all the trials involving the vaptans, and thirst will provide some protection against excess dehydration provided the patient is allowed free access to water. Despite this, the risk of dehydration will always be present. Indeed, renal impairment is reported much more commonly with vaptans than with placebo in most of the randomized control trials, especially with satavaptan [12,

19, 22–24]. There is also the risk of hyperkalemia with satavaptan [12, 19, 22–24]. This may be related to the fact that vasopressin normally regulates Na-K-ATPase and Na-KCl cotransporter activity [28], which is crucial for sodium reabsorption and potassium excretion in the distal nephron. Satavaptan, by blocking vasopressin binding to the V<sub>2</sub> receptor, effectively decreases Na-K-ATPase expression, thereby reducing renal tubular potassium secretion. It appears that hyperkalemia has only been reported with satavaptan, and not with the other vaptans. The reason for this is unknown. All these risks can be minimized by starting with lower doses of the vaptan and titrate slowly towards the most effective dose. The fact that the development of satavaptan has been discontinued permanently is disappointing. Increased mortality in the patients randomized to satavaptan in the phase III studies has been reported, although no single cause of the increased mortality can be identified [pers. commun.].

## The Future

Currently, 3 vaptans have been approved for use in the management of hyponatremia from whatever cause worldwide. Therefore, the vaptans will be administered to patients with cirrhosis when the indication arises. Although satavaptan is the only vaptan that has long-term data on its efficacy and risks in a significant number of cirrhotic patients with ascites, and there are risks associated with its use in cirrhosis, it is difficult to assign the same risks to the other vaptans when there have not been long-term studies with the other vaptans. Therefore, we will need to await further longer-term trials to assess the risk-benefit ratio of chronic vaptan use. In particular, we need to assess whether correcting hyponatremia will alter the overall morbidity and mortality of these patients with advanced cirrhosis.

### Key Messages

- The vaptans are aquaretic agents. They increase the renal excretion of free water by blocking the action of vasopressin at the renal collecting tubule.
- The vaptans are very effective in correcting hyponatremia from any etiology even in the presence of diuretics.
- The vaptans have shown a trend towards reducing ascites in cirrhotic patients, and therefore may be used as an adjunct therapy to diuretics in the management of ascites.
- The vaptans can potentially cause dehydration and too rapid correction of hyponatremia with neurological sequelae, and possibly renal impairment.
- Hyperkalemia appears to be a common side effect of satavaptan.
- It is prudent to start a vaptan with a low dose and titrate upwards to find the appropriate dose for the desired effect.

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## Cardiorenal Syndrome – A New Entity?

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### Abstract

A considerable number of patients with advanced cirrhosis develop an initial hyperdynamic circulation and renal failure, which carry a poor prognosis. The hepatorenal syndrome denotes a progressive functional renal failure, owing to pronounced renal vasoconstriction, central hypovolemia, and low arterial perfusion pressure. Cirrhotic cardiomyopathy has been described as a condition with impaired contractile responsiveness to stress and altered diastolic relaxation. There are now several observations that indicate a relation between impaired renal function and decreased cardiac systolic function in advanced cirrhosis, in particular in patients with spontaneous bacterial peritonitis or refractory ascites. This has lent support to the hypothesis of a cardiorenal syndrome in cirrhosis. A cardiorenal syndrome refers to a condition where dysfunction of one of the organs affects the other, and implies that a cardiac systolic dysfunction in cirrhosis affects kidney function and survival in patients with advanced cirrhosis and renal failure. Thus, renal failure as well as cardiac dysfunction should be targets for new treatments that ameliorate abnormal systemic vascular resistance, effective blood volume, arterial blood pressure, and cardiac systolic function. Future research should confirm the hypothesis of a cardiorenal syndrome in cirrhosis and the potential principles of treatment.

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Cirrhosis with portal hypertension leads to development of portosystemic collaterals and related complications in a variety of organ systems. These include esophageal varices, ascites, bacterial infections, encephalopathy, renal failure, and hyponatremia [1]. Preceding this, many patients develop a circulatory dysfunction with a hyperdynamic circulation simultaneously characterized by low arterial pressure [2]. The presence of these complications mainly determines the course and prognosis of the disease. For example, mild ascites is associated with poor survival, with a 50% mortality rate within 3 years [3]. In about 25% of the patients, spontaneous bacterial peritonitis (SBP) further worsens the prognosis [4]. With disease progression, a substantial number of patients with ascites develop hepatorenal syndrome (HRS), which still carries a very poor prognosis [1, 5]. Hitherto, little attention has been paid to the role of cardiac dysfunction in the pathogenesis of HRS. However, recent research has





**Table 1.** Hemodynamic changes at rest in advanced cirrhosis

|  |
|--|
| Heart                                      |
| Heart rate ↑                               |
| Cardiac output (→)↑(↓)                     |
| Left atrial volume ↑                       |
| Left ventricular volume → (↑)              |
| Right atrial volume → ↑ ↓                  |
| Right atrial pressure → ↑                  |
| Right ventricular end-diastolic pressure → |
| Pulmonary artery pressure → ↑              |
| Pulmonary capillary wedge pressure →       |
| Left atrial pressure →                     |
| Left ventricular end diastolic pressure →  |
| Systemic circulation                       |
| Plasma volume ↑                            |
| Total blood volume ↑                       |
| Non-central blood volume ↑                 |
| Central and arterial blood volume → ↓      |
| Arterial blood pressure → ↓                |
| Systemic vascular resistance ↓             |
| Kidneys                                    |
| Renal blood flow ↓                         |
| Glomerular filtration rate ↓               |
| Sodium and water retention ↑               |
| Renal venous pressure ↑                    |

↑→ ↓ = Increased, unchanged, and decreased values compared to controls.  
Arrows in parentheses describe less typical changes.

underfilling of the arterial circulation occurs in later stages of the disease as a result of a further reduction in systemic vascular resistance [6]. However, at a much later stage of the disease, underfilling of the arterial circulation may also occur secondary to a reduction in the increased cardiac output, as described in patients with renal failure and SBP [7].

### **Renal Failure in Cirrhosis – The Clinical Problem**

Renal function is disturbed even in the early phases of cirrhosis. About 75% of patients with cirrhosis develop ascites and 45% of these become infected with SBP and a further 35% of the latter develop renal failure [8]. Altogether, about 20% of cirrhotic patients with refractory ascites progress to a HRS, which is defined as a functional renal failure in patients with chronic liver disease without significant morphological changes in renal histology and with largely normal tubular function [9]. Two types of HRS have been defined depending on the rapidity and extent of the renal failure [9]. Type 1 is an acute form with a rapid decrease in renal function and renal failure as an independent predictive factor; type 2 is a chronic form with a more stable renal dysfunction [9]. The prognosis of patients with full-blown HRS is poor, ranging from days to weeks, and liver transplantation is the only radical treatment [1, 9].

SBP per se may precipitate the development of HRS, partly through release of toxic substances and cytokines, such as TNF- $\alpha$ , and others. Since the mortality of patients with HRS is extremely high, there is considerable interest in acquiring more insight into the pathophysiology and new potentials for treatment.

### **Cardiac Dysfunction**

Independent observations have confirmed the presence of a latent cardiac dysfunction in cirrhosis that affects cardiac contractility as well as the electromechanical function [10]. This syndrome is termed cirrhotic cardiomyopathy, and particularly systolic dysfunction can be revealed by pharmacological or physical strain (table 2) [2]. Diastolic dysfunction reflects delayed left ventricular filling and is partly attributed to ventricular hypertrophy and altered collagen structure. Atrial natriuretic peptide (ANP) levels are increased in some patients with cirrhosis and portal hypertension [11]. In addition, the Q-T interval is prolonged in about half of the cirrhotic patients and may be normalized with  $\beta$ -blockers [2, 10]. Systolic dysfunction can be divided into chronotropic and inotropic incompetence. Chronotropic incompetence is a prognostic indicator of primary heart disease and mortality in other patients as well as in those with sepsis [12, 13]. Similarly, chronotropic incompetence is seen in patients with advanced cirrhosis [14, 15]. Systolic dysfunction as part of a cirrhotic cardiomyopathy has recently been implicated in renal failure in advanced disease,

**Table 2.** Proposal for diagnostic and supportive criteria for cirrhotic cardiomyopathy agreed upon at a working party held at the 2005 World Congress of Gastroenterology in Montreal

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*A working definition of cirrhotic cardiomyopathy*

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Cardiac dysfunction in patients with cirrhosis characterized by impaired contractile responsiveness to stress and/or altered diastolic relaxation with electrophysiological abnormalities in the absence of other known cardiac disease

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*Diagnostic criteria*

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Systolic dysfunction

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Blunted increase in cardiac output with exercise, volume challenge or pharmacological stimuli

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Resting left ventricular ejection fraction < 55%

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Diastolic dysfunction

---

E/A ratio <1.0 (age-corrected)

---

Prolonged deceleration time (>200 ms)

---

Prolonged isovolumetric relaxation time (>80 ms)

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*Supportive criteria*

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Electrophysiological abnormalities

---

Abnormal chronotropic response

---

Electromechanical uncoupling/dyssynchrony

---

Prolonged Q-Tc interval

---

Enlarged left atrium

---

Increased myocardial mass

---

Increased BNP and pro-BNP

---

Increased troponin I

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BNP = Brain natriuretic peptide.

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and there are indications that this cardiorenal axis in cirrhosis may affect the function of various organs, including the kidneys.

### **Cardiorenal Axis in Cirrhosis**

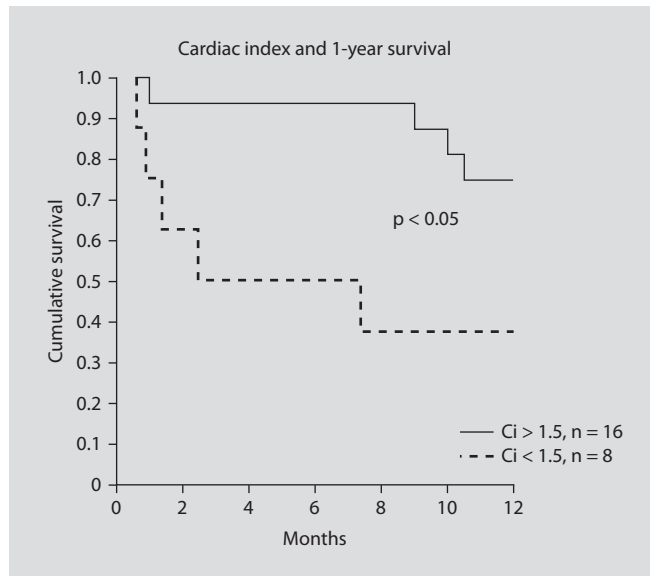
In some cirrhotic patients, increased portal pressure is accompanied by a moderate increase in renal venous pressure that may affect renal function. In cardiac failure, increased cardiac preload is associated with increased renal venous pressure. An

elevated central venous pressure has been shown to decrease the glomerular filtration rate (GFR) and cause sodium and water retention; an increase in renal venous pressure can also stimulate the RAAS [16]. Cardiac failure per se can therefore contribute to renal dysfunction by increasing the renal venous pressure and not solely because of systolic dysfunction [17]. In cardiac failure too, central hypovolaemia induces high-pressure, baroreceptor-stimulated SNS and RAAS activity. Pronounced arterial vasoconstriction in the kidneys leads to decreased renal blood flow, glomerular filtration, and sodium and water excretion. In patients with hepatic nephropathy and circulatory insufficiency, it is a major clinical challenge to distinguish between HRS, hypovolemia-induced renal failure, parenchymal renal disease, and drug-induced renal failure [1]. Cardiac dysfunction may play a role in the two first instances.

### *Cardiorenal Syndrome*

There are several indications of reduced systolic performance in cirrhosis and in particular of a renal suppressive effect on the heart when kidney function deteriorates [5]. Thus, Ruiz-Del-Arbol et al. [14] showed that cirrhotic patients with renal failure had a lower cardiac output than those without. Moreover, those patients with infections had an even lower cardiac output, which remained low even after resolution of the infection. The authors therefore concluded that cardiac output seems to decrease in SBP as a consequence of combined 'septic' and cirrhotic cardiomyopathy [14]. Later on, the same group carried out a longitudinal study in which 40% of the patients developed HRS [7]. Patients with HRS had lower arterial blood pressure as well as lower cardiac output and significant activation of the SNS and RAAS. In this study, the increased plasma renin activity and low cardiac output turned out to be strong prognostic determinants [7]. Thus, maintenance of cardiac contractility, as well as peripheral vasodilatation, seems to be of importance in the development of renal dysfunction and HRS. Anemia, often seen in cirrhotic patients for various reasons, has been discussed as a factor in the development of the hyperdynamic syndrome [18]. When adjusted for the reduced red blood cell count, cardiac output is normal [19]. In addition, inflammation and increased cytokine production can suppress erythropoiesis [16]. In both cirrhotic patients and patients with heart failure, anemia is associated with a significantly increased mortality and it is possible that normalizing blood hemoglobin per se would improve cardiac and renal function [16] (fig. 1).

Recently, Krag et al. [15] studied 24 patients with advanced cirrhosis. In patients with a low cardiac index, the GFR was significantly lower than in those with a higher cardiac index. The low cardiac index group also demonstrated lower renal blood flow and higher levels of plasma creatinine. Moreover, the number of patients who developed HRS type 1 within 3 months was significantly higher in the group with suppressed cardiac function. This study was among the first to describe the association between cardiac dysfunction and renal failure and survival in cirrhosis [15] (fig. 2).



**Fig. 2.** Kaplan-Meier survival curves showing significantly poorer survival in patients with renal impairment and lower cardiac index (<1.5 liters · min<sup>-1</sup> · m<sup>2</sup>). From Krag et al. [15].

This cardiorenal relation in decompensated cirrhosis may very well be the result of an acute-on-chronic circulatory stress. It would therefore be tempting to suggest a relation between an acute cardiac dysfunction and the development of a type 1 HRS. This could accordingly be termed type 1 cardiorenal syndrome and would primarily be expected to be associated with acute events such as infections and alcoholic hepatitis [14, 20]. A more chronic cardiac dysfunction related to type 2 HRS could be termed type 2 cardiorenal syndrome and this would be expected to be more related to chronic activation of the vasoactive systems [7, 15, 21]. Cardiac performance can be suppressed by diverse mechanisms of depressing factors such as NO, TNF- $\alpha$ , endocannabinoids, carbon monoxide, and others [10, 21]. Thus, there is a profound but reversible, myocardial depression in patients with septic shock, particularly in those who are unable to increase their cardiac output [22, 23]. In patients with ascites, bacterial translocation of bacterial products from the gut into the circulation may initiate a systemic inflammatory response with generation of cytokines and other substances having potential harmful effects on renal and cardiac function [4]. This further suppresses cardiac performance, systolic function, arterial blood pressure, and renal perfusion, starting a vicious circle that among other effects leads to central hypovolemia, further deteriorating renal function (fig. 1). With the reduced GFR, the clearance of toxic substances by the liver is further reduced. Antibiotic treatment seems to ameliorate the marked immune and hemodynamic abnormalities in some patients [24].

The relationship between the failing heart and the kidney may not be unique for patients with liver disease and can be regarded as a more universal concept [25]

(fig. 1). Thus, a cardiorenal relation has been described in patients with primary heart failure. This is generally defined as a pathophysiological disorder of the heart and kidneys, whereby acute or chronic dysfunction of one organ may induce acute or chronic dysfunction of the other. Various clinical subtypes have been defined. Cardiorenal syndromes types 1 and 2 appear to share most pathophysiological similarities to HRS types 1 and 2, respectively [26]. These are characterized by acute and chronic abnormalities in cardiac function causing progressive chronic kidney disease. In these patients even a slight decrease in the estimated GFR significantly increases mortality and is an independent negative prognostic factor in both diastolic and systolic ventricular dysfunction [27]. Moreover, neurohumoral abnormalities are present with excessive production of vasoconstrictive mediators such as noradrenaline, angiotensin, and endothelin.

## Conclusions and Future Developments

Several observations suggest a link between the heart and the liver in hepatic nephropathy and results of independent studies have reported a lower cardiac output in cirrhotic patients with advanced renal failure.

Cirrhotic cardiomyopathy comprises systolic as well as diastolic dysfunction. After transjugular intrahepatic portosystemic shunt insertion, renal failure develops only in patients with diastolic dysfunction [28]. In addition, patients with combined systolic dysfunction and renal failure seem to have a much poorer survival.

In cirrhosis, two types of cardiorenal syndrome can be suggested: an acute type 1 related to acute events such as infections, and a chronic type 2 related to retarded events such as chronic inflammation.

Treatment of a combined cardiorenal syndrome in cirrhosis is highly speculative and complex, since potential drugs can oppose their effects in the two organs. Hence, there are drugs that should be avoided or administered cautiously and drugs with potential beneficial effects that should be tested experimentally. For instance, administration of terlipressin improves the GFR and renal blood flow in patients with refractory ascites through activation of V1 receptors that most likely induce an increase in arterial blood pressure. On the other hand, terlipressin may suppress cardiac function with a further decrease in cardiac output as the result. This response, i.e. an increased systemic vascular resistance and thereby an increase in left ventricular afterload, has also been observed with other potent vasoconstrictors, for example angiotensin II.  $\beta$ -Blockers may also have adverse effects with reduced cardiac output and potential to further disturb renal function directly or indirectly through a blood pressure-lowering effect.

Adenosine 1 receptor antagonists could represent a potential therapeutic approach, as adenosine concentrations are elevated in heart failure and cirrhosis. Antagonism of adenosine 1 receptors thus has the potential to improve renal function [29].

A mutual therapeutic approach to the treatment of a potential cardiorenal syndrome in cirrhosis is complex (fig. 1). However, it should be emphasized that adequate renal perfusion pressure is essential. The arterial blood pressure depends on the product of systemic vascular resistance and cardiac output. In patients with refractory ascites and HRS, the combination of terlipressin and human serum albumin has successfully improved the survival rates. These results are most likely based on the effects of terlipressin on systemic vascular resistance and of albumin on cardiac output [30]. The effects of this therapeutic approach emphasize the importance of the combination of an increasing systemic vascular resistance and improved renal volume flow to maintain renal perfusion pressure. More studies of a clearly defined cardiorenal syndrome in cirrhosis and studies of potential, new therapies that effectively combine an improvement in cardiac contractility and vascular resistance belong to the future.

### Key Messages

- Cirrhotic cardiomyopathy denotes a condition of impaired contractile responsiveness to stress, altered diastolic relaxation, and the presence of electrophysiological abnormalities.
- Hepatorenal syndrome denotes functional renal failure in patients with advanced cirrhosis.
- Cardiorenal syndrome indicates a condition where dysfunction of one organ affects the other. There is evidence that a primary systolic dysfunction in cirrhosis affects the kidney function and survival of patients with advanced cirrhosis and renal failure.

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## Renal Failure in Cirrhosis

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### Abstract

In patients with cirrhosis, acute kidney injury (AKI) is mainly due to prerenal factors (including type 1 hepatorenal syndrome; HRS) and ischemic acute tubular necrosis (ATN). Patients with cirrhosis may also develop chronic kidney disease (CKD) due to type 2 HRS, IgA nephropathy, hepatitis C virus-related membranoproliferative glomerulonephritis, hepatitis B-related membranous glomerulopathy, diabetic nephropathy, nondiabetic glomerulosclerosis and ischemic nephropathy. Some patients have 'acute-on-chronic' kidney injury. In patients with cirrhosis and CKD waiting for liver transplantation, renal biopsy may be indicated because histopathological analysis of renal-biopsy specimens provides diagnostic and prognostic information. In these patients, the transjugular route can be safely used. Treatments of AKI should target the cause of renal hypoperfusion (e.g. fluid replacement to treat intravascular volume depletion; vasoconstrictor therapy for type 1 HRS). There is no specific treatment for ATN; renal-replacement therapy may be used. Treatments of CKD depend on the cause: there is no established therapy for type 2 HRS or IgA nephropathy; patients with chronic hepatitis C and membranoproliferative glomerulonephritis may benefit from antiviral therapy. Combined liver and kidney transplantation (CLKT) may be used in some patients with cirrhosis and CKD. The decision is based on the value of glomerular filtration rate (GFR) (ideally one should use measured and not estimated GFR) and the results of renal biopsy.

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Renal failure is common in patients with advanced cirrhosis [1, 2]. It is a syndrome which associates a decline in glomerular filtration rate, alteration of extracellular fluid volume, electrolyte and acid-base homeostasis, and retention of nitrogenous waste from protein catabolism [1, 2]. The model of end-stage liver disease (MELD) score which takes into account serum creatinine, serum bilirubin and the international normalized ratio of prothrombin time, is accurate in assessing the short-term probability of death in patients with cirrhosis [3]. Use of the MELD score has increased the number of patients with renal failure who receive a liver transplant and has reduced mortality among patients awaiting liver transplantation [2, 3]. In addition, pretransplant renal failure is a predisposing factor for post-transplant renal failure and poor

outcome [4]. Together these findings indicate that the management of pretransplant renal failure is of major importance. On the clinical scene, renal failure may be related to an acute process, referred to as acute kidney injury (AKI), or a chronic process, referred to as chronic kidney disease (CKD) or the combination of an acute process superimposed to chronic kidney changes.

## **Acute Kidney Injury**

### *Definition*

Until now, the diagnosis of AKI is based on changes in serum creatinine levels. In cirrhotic patients without renal impairment at admission, a diagnosis of AKI is based on an increase in serum creatinine level by more than 50% of the baseline value and exceeding 1.5 mg/dl (133  $\mu$ mol/l) [1]. In patients with preexisting renal impairment, AKI is diagnosed when serum creatinine increases by more than 50% above the baseline value [1]. It should be noted that the Acute Kidney Injury Network (AKIN) defined AKI as an abrupt ( $\leq$ 48 h) reduction in kidney function manifested by either an absolute increase in serum creatinine level of more than 0.3 mg/dl (26.5  $\mu$ mol/l), an increase by more than 50% (by a factor of 1.5 from baseline) or a reduction in documented urinary output ( $<$ 0.5 ml/kg body weight/h for  $>$ 6 h) [5]. Once AKI is established, a staging system then defines its severity [5]. The AKIN definition was not specifically designed for patients with cirrhosis and should therefore be validated by large observational studies in these patients. However, one should have in mind that compared to healthy subjects, patients with cirrhosis have lower serum creatinine due to decreased production and reduced muscle mass [6]. Therefore, normal serum creatinine values do not exclude low GFR. Creatinine-based equations (Cockcroft, MDRD and CDK-EPI) are also inappropriate. Theoretically, the clearance of endogenous agents (inulin or iohexol, for example) is the only reliable way to assess precisely GFR in cirrhotic patients [6]. Unfortunately, direct measurement of GFR is costly and impractical for routine use.

### *Causes*

Causes are divided as being due to prerenal, intrarenal or postrenal factors [1]. Prerenal factors range from marked renal hypoperfusion in patients with hypotension or hemorrhage to more subtle renal hypoperfusion such as that seen in patients with cirrhosis and type 1 hepatorenal syndrome (HRS). Postrenal AKI occurs because of obstruction of the urinary outflow tract. Intrarenal causes of AKI can be divided into diseases of the vasculature, tubulointerstitium, and glomerulus [1].

**Table 1.** Expected causes of AKI in patients with cirrhosis (modified from Moreau and Lebrec [1])

| Underlying problem                                | Possible causes   |
|---|---|
| <i>Prerenal</i>                                   |   |
| Intravascular volume depletion                    | hemorrhage, vomiting, diarrhea, bacterial sepsis, diuretic therapy  |
| Decreased effective perfusion volume to kidneys   | hepatorenal syndrome, heart failure, nephrotic syndrome   |
| Impaired renal blood flow from exogenous agents   | inhibitors of angiotensin II biological activity, NSAIDs, COX-2 inhibitors, contrast medium                 |
| <i>Intrarenal</i>                                 |   |
| Acute tubular necrosis                            | ischemia; toxins, including drugs (e.g. aminoglycosides), NSAIDs, COX-2 inhibitors, contrast medium; sepsis |
| Glomerular disease                                | postinfectious glomerulonephritis   |
| Interstitial disease                              | pyelonephritis  |
| For unexpected causes, see Moreau and Lebrec [1]. |   |

The expected causes of AKI in cirrhosis are shown in table 1. The most common causes are ‘prerenal factors’ and ischemic acute tubular necrosis [1, 7, 8]. In other words, renal hypoperfusion can explain most cases of cirrhosis-associated AKI [1]. Moreover, one should bear in mind that prerenal AKI is a pre-ischemic state which is reversible if renal perfusion is restored by the appropriate treatment. However, prerenal AKI may progress very rapidly to ischemic ATN in patients with shock [1, 8]. In the absence of shock, the progression of prerenal AKI to ATN may result from the absence of appropriate treatment [1]. Pathological analysis of renal-biopsy specimens obtained in patients with cirrhosis without shock has shown that lesions (e.g. endarteritis or arteriolosclerosis) of afferent glomerular arterioles are common and significantly associated with the existence ischemic ATN [9]. Patients with lesions of afferent arterioles have impaired GFR autoregulation resulting in abnormal susceptibility to ischemic ATN (the so-called ‘normotensive ischemic ATN’); in these patients, ATN may develop in response to subtle decreases in arterial pressure [10].

### *Diagnosis*

Information may be obtained from urinalysis. For example, pigmented granular casts are typical of ischemic and toxic acute renal failure and red cell casts of glomerulonephritis. Patients with renal azotemia due to acute or subacute glomerulonephritis

have significant proteinuria (around 3 g/day) [1]. In contrast, proteinuria is absent or moderate in other causes of acute renal failure [1]. However, there are limitations to urinalysis: (1) expert personnel for the analyses of the urinary sediment are not available everywhere, and (2) it has recently been shown that some patients with cirrhosis have parenchymal kidney disease (shown by pathological analysis of renal-biopsy specimens) while they have neither proteinuria nor microscopic hematuria [9].

It has been suggested that urine indices (urine osmolality, urinary sodium concentration and fractional excretion of sodium) may help distinguish prerenal failure (including type 1 HRS) from tubular necrosis [1, 2]. The tubular ability to reabsorb sodium and to concentrate urine is preserved in prerenal azotemia and impaired in tubular necrosis [1, 2]. Patients with prerenal failure have low urinary sodium concentrations (below 20 mmol/l) and elevated urine osmolality (higher than 500 mosm/kg). Patients with tubular necrosis have high urinary sodium concentrations (above 40 mmol/l) and urine osmolality below 350 mosm/kg. However, the urinary sodium concentration may be low early in the course of certain processes that lead to tubular necrosis such as sepsis, exposure to radiocontrast agents or obstruction [1, 2]. In addition, some cases of HRS with elevated urinary sodium concentrations have been reported [1]. Therefore, it may be difficult to differentiate type 1 HRS from ATN or other causes of AKI. The International Ascites Club has suggested that five major criteria must be present to confirm the diagnosis of HRS [11]. However, a recent clinicopathological study has shown that patients with the clinical diagnosis of HRS may have intrarenal lesions [9]. These findings suggest that kidney biopsy (via the transjugular route, see below) may be useful for the diagnosis of AKI in patients with cirrhosis. However, transjugular kidney biopsy is not feasible everywhere. Clearly, biomarkers for renal lesions need to be identified.

## **Chronic Kidney Disease**

### *Definition*

CKD is defined by guidelines from the Kidney Disease Outcomes Quality Initiatives (KDOQI) Workgroup [12] as the presence for at least 3 months of either kidney damage (manifested by the presence of pathological abnormalities or other markers of kidney damage, including abnormalities in the composition of the blood or urine or abnormalities in imaging tests) or reduced kidney function (estimated GFR <60 ml/min/1.73 m<sup>2</sup> body surface area; BSA) [6]. However, as discussed above, estimation of GRF in cirrhosis using creatinine-based equations is with a source of inaccuracies [6] and GFR should rather be assessed using clearance of exogenous agents. In addition, a proportion of cirrhotic patients with CKD have type 2 HRS, i.e. functional renal disease related to refractory ascites.

## *Causes*

Causes include type 2 HRS, IgA nephropathy, HCV-related membranoproliferative glomerulonephritis, HBV-related membranous glomerulopathy, diabetic nephropathy, nondiabetic glomerulosclerosis and ischemic nephropathy [6, 9].

## *Diagnosis*

Diagnosis is based on clinical and biological evaluation [1]. An important point is that the absence of significant proteinuria does not exclude significant glomerular changes in cirrhosis [9]. Renal biopsy may be useful before the occurrence of end-stage renal disease. Theoretically, percutaneous kidney biopsy represents the ideal route since, most often, it allows obtain adequate tissue samples. However, patients with advanced cirrhosis have contra-indications to percutaneous biopsy due to coagulation disorders. Transjugular renal biopsy has been developed to overcome these limitations. In a large series of cirrhotic patients, the transjugular route was found efficient: the average number of glomeruli for optical microscopy was >10 and there were no major complications [9]. Indications of kidney biopsy depend on the GFR [6]: there is no indication for GFR <15 ml/min/1.73 m<sup>2</sup> BSA or GFR >60 ml/min/1.73 m<sup>2</sup> BSA. Biopsy is mandatory for GFR between 15 and 30 ml/min/1.73 m<sup>2</sup> BSA. Biopsy is required in patients with GFR between 30 and 60 ml/min/1.73 m<sup>2</sup> BSA if there is suspicion of parenchymal kidney disease as indicated by proteinuria >500 mg/day, microscopic hematuria (>50 red blood cells per high power field) and/or a recognized cause of chronic kidney disease (diabetes, past history of hypertension, HBV and HCV infection).

## *'Acute-on-Chronic' Kidney Injury*

Results of renal biopsy in patients with cirrhosis and impaired renal function have shown that 40% of patients have complex renal lesions, including chronic tubulointerstitial injury (interstitial fibrosis and/or tubular atrophy), acute tubulointerstitial lesions (ATN, osmotic nephrosis, interstitial inflammation) and arteriolar lesions (endarteritis, hyalinosis, arteriolosclerosis) [9]. Therefore, a significant proportion of cirrhotic patients with an acute deterioration in renal function mimicking acute kidney diseases may have acute kidney changes superimposed to chronic changes. Unless no prospective study has been conducted in this context, patients with acute-on-chronic kidney injury may have lower chances of recovery compared to patients with AKI.

## **Management of Acute Kidney Injury**

In most cases, patients should be managed in an intensive care unit.

### *Prerenal Causes*

Treatment is directed at the cause of renal hypoperfusion [1].

#### *Intravascular Volume Depletion*

In patients with gastrointestinal hemorrhage, transfusions of packed red blood cells maintain the hematocrit between 25 and 30% and plasma expanders maintain hemodynamic stability [1]. In patients with intestinal or renal fluid losses, crystalloids (and sometimes colloids) are administered and diuretic therapy is stopped [1].

#### *Severe Sepsis*

The treatment is based on early broad-spectrum antibiotic therapy, correction of volume depletion, and vasopressor therapy (for patients in whom circulatory failure ‘resists’ to fluid replacement therapy) [1]. Treatments such as early goal-directed therapy or activated protein C administration have been shown to be effective in noncirrhotic patients with severe sepsis but have not yet been evaluated in cirrhotic patients with severe sepsis [13].

#### *Type 1 HRS*

Randomized clinical trials have shown that treatment with a combination of the vasopressin analogue terlipressin and intravenous albumin improved renal function in patients with type 1 HRS [14–16]. In patients with type 1 HRS, terlipressin is associated with a significant improvement of renal function [14–16]. However, the survival benefit is modest. In most responders, discontinuation of terlipressin is rapidly followed by a rapid decline in urine output and an increase in creatinine. Sustained administration of terlipressin for a few weeks as a bridge to liver transplantation has been reported occasionally [14].

Other treatments are under evaluation [reviewed in 1, 14], including vasoconstrictor therapy with noradrenaline (combined with albumin) or midodrine (combined with octreotide and albumin), and artificial liver support devices. Albumin dialysis using the MARS® system was also associated with a significant improvement in survival compared with conventional treatment. However, as with terlipressin, survival benefit was modest. Albumin dialysis should only be considered as a bridge to transplantation.

#### *Other Causes*

There are no specific measures for treatment of AKI induced by contrast medium, NSAIDs or COX-2 inhibitors [1]. In any case, these latter drugs should be withdrawn.

## *Intrarenal Causes*

### *Acute Tubular Necrosis*

Management is based on general care (see below) and renal-replacement therapy (when necessary) [1]. To date, there is no specific treatment capable of accelerating tubular compensation in patients with ATN [1].

### *Acute Glomerulonephritis*

Patients with postinfectious glomerulonephritis should receive appropriate antibiotic therapy [1].

### *Acute Interstitial Nephritis*

Patients with pyelonephritis should receive the appropriate antibiotic therapy [1].

## *General Care*

The goals of general care are to avoid further kidney injury in patients with prerenal or intrarenal AKI. Nonselective  $\beta$ -blockers should not be used in patients with refractory ascites because they increase mortality in these patients [17].

## *Prevention of AKI*

Spontaneous bacterial peritonitis (SBP) and other bacterial infections are known to precipitate type 1 HRS [1]. The administration of a combination of cefotaxime (a third-generation cephalosporin) plus intravenous albumin to patients with SBP has been shown to significantly decrease the incidence of HRS and mortality [18].

Subclinical, 'noninfectious' systemic inflammation is common in patients with advanced cirrhosis. Two randomized clinical trials have shown that the 'anti-inflammatory' pentoxifylline prevented the development of renal failure in patients with severe acute alcoholic hepatitis [19] and in patients with Child-Pugh C cirrhosis [20].

Primary SBP prophylaxis using the oral quinolone antibiotic norfloxacin is beneficial in selected patients with cirrhosis, i.e. patients with low protein ascites ( $<15$  g/l) and advanced liver failure or impaired renal function. In these patients, norfloxacin administration was found to reduce the 1-year probability of developing spontaneous bacterial peritonitis and HRS and to improve the 3-month and the 1-year probability of survival compared to a placebo [21].

Intravenous saline is recommended to prevent contrast medium-induced acute kidney injury in noncirrhotic patients who are at risk of developing this complication, i.e. mainly patients with chronic renal failure and/or diabetes [22]. Saline infusion

should also be used to prevent contrast medium-induced nephropathy in cirrhotic patients with chronic increases in serum creatinine levels and/or diabetes.

## **Management of Chronic Kidney Disease**

### *Type 2 HRS*

Patients with type 2 HRS have refractory ascites. The management should focus on treatment of refractory ascites. To date, there is no established treatment for type 2 HRS per se. Vasoconstrictor therapy plus intravenous albumin and transjugular intrahepatic portosystemic shunting should be evaluated in this indication [14].

### *Other Causes*

There is no established treatment for IgA nephropathy. Patients with HCV-related membranoproliferative glomerulonephritis and cryoglobulinemia may benefit from antiviral therapy [1].

## **Selection of Candidates for Combined Liver and Kidney Transplantation**

Patients with serum creatinine >2 mg/dl (176 µmol/l) do better with combined liver and kidney transplantation (CLKT) than with liver transplantation alone [23]. In the setting of AKI, CLKT should be considered in patients who have been on dialysis for more than 8 weeks because, in this situation, recovery is highly unlikely [24]. Otherwise, only patients with CKD should be considered for CLKT. Candidates to CLKT are patients with GFR below 30 ml/min and no evidence for an additional factor related to liver disease which could improve with liver transplantation. Borderline patients should have a biopsy. CLKT should be preferred to liver transplantation alone when pathology demonstrates more than 30% glomerulosclerosis and/or more than 30% interstitial fibrosis [24]. CLKT should also be considered if pathology shows prominent vascular changes [9] since they might be at higher risk of developing end-stage renal disease with calcineurin inhibitors.

## **Conclusions**

In cirrhosis, AKI is mainly due to renal hypoperfusion which results in prerenal AKI (including type 1 HRS) and in some cases ATN. CKD has different causes: type 2 HRS, IgA nephropathy or membranoproliferative glomerulonephritis. Some patients



have ‘acute-on-chronic’ kidney injury. In some patients with cirrhosis and CKD, renal biopsy may be useful because morphological analysis of renal-biopsy specimens provides diagnostic and prognostic information. In these patients, the transjugular route can be safely used. Treatments for AKI should target the cause of renal hypoperfusion. Treatments for CKD depend on the cause: there is no established therapy for type 2 HRS or IgA nephropathy; patients with chronic hepatitis C and membranoproliferative glomerulonephritis may benefit from antiviral therapy. CLKT may be used in some patients with cirrhosis and CKD. The decision is based on the value of GFR (ideally one should use measured GFR and not estimated GFR) and the results of renal biopsy.

### Key Messages

- In cirrhosis, AKI is mainly due to prerenal factors (including type 1 HRS) and ischemic ATN.
- CKD may be due to type 2 HRS, IgA nephropathy or membranoproliferative glomerulonephritis. Some patients have ‘acute-on-chronic’ kidney injury.
- In patients with cirrhosis and CKD waiting for liver transplantation, renal biopsy may be indicated because histopathological analysis of renal-biopsy specimens provides diagnostic and prognostic information. In these patients, the transjugular route can be safely used.
- Treatments for AKI should target the cause of renal hypoperfusion (e.g. fluid replacement to treat intravascular volume depletion; vasoconstrictor therapy for type 1 HRS). There is no specific treatment for ATN; renal-replacement therapy may be used.
- Treatments for CKD depend on the cause: there is no established therapy for type 2 HRS or IgA nephropathy; patients with chronic hepatitis C and membranoproliferative glomerulonephritis may benefit from antiviral therapy.
- CLKT may be used in some patients with cirrhosis and CKD. The decision is based on the GFR value (ideally one should use measured and not estimated GFR) and the results of renal biopsy.

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# Novel Definition of Hepatorenal Syndrome: Clinical Consequences

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## Abstract

Renal failure is common in cirrhosis, especially in patients with ascites. It is typically secondary to one or more intercurrent events, which further compromise renal perfusion on a background of a relative reduction in renal perfusion and may have a negative impact on survival. An accurate and prompt diagnosis and treatment of renal complications in cirrhosis is therefore essential to improve the management of these patients. However, several factors decrease the diagnostic accuracy of serum creatinine in patients with cirrhosis. The International Ascites Club has set out clear diagnostic criteria for both type 1 and type 2 hepatorenal syndrome, the most characteristic forms of renal failure in cirrhosis. However, no specific guidelines have been delineated for the diagnosis of other forms of acute or chronic renal failure. Recently, nephrology and critical care medicine societies have proposed definitions and staging systems for acute kidney injury (AKI) and chronic kidney disease, terms and definitions that could help to better define renal impairment in cirrhosis. Although hepatorenal syndrome is the most recognized form of AKI in patients with liver disease, it only accounts for less than 10% of cases in current clinical practice, as volume unresponsive AKI or acute tubular necrosis is now much more common. The present chapter will discuss the current definitions of hepatorenal syndrome and AKI in cirrhosis, their limitations and their possible impact on clinical practice and on future research.

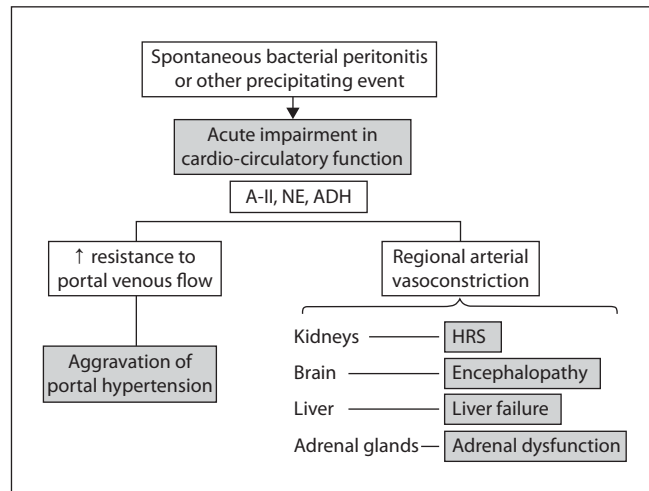
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## Hepatorenal Syndrome: Concept, Clinical Types and Diagnostic Caveats

### *Concept*

Hepatorenal syndrome (HRS) is a frequent complication in patients with cirrhosis, ascites and advanced liver failure. Its annual incidence in patients with ascites is about 8% [1]. HRS is a functional renal failure due to renal vasoconstriction and low renal perfusion [1–4]. Kidney histology is normal or shows lesions that do not justify the decrease in the glomerular filtration rate (GFR). It is characterized by impaired renal function and marked abnormalities in the arterial circulation and activity of

**Fig. 1.** Hepatorenal syndrome as part of a multiorgan failure. A-II = Angiotensin II; NE = nor-epinephrine; ADH = antidi-uretic hormone; HRS = hepatorenal syndrome.



endogenous vasoactive systems. The traditional concept is that HRS is due to deterioration in circulatory function secondary to an intense vasodilation in the splanchnic circulation (peripheral arterial vasodilation hypothesis). During the last decade, however, several features suggest a much more complex pathogenesis. In fact, two types of HRS with different clinical course, prognostic implications and treatment response have been identified. Type 1 and type 2 HRS are, therefore, not different expressions of a common disorder but probably two syndromes with distinct pathogenesis.

### *Types of Hepatorenal Syndrome*

Type 1 HRS is an extremely unstable condition. It frequently develops in the setting of an important clinical event that acts as a precipitating factor. There is a rapid deterioration of circulatory and renal function within days after the onset of the syndrome leading to severe arterial hypotension and acute renal failure with intense oliguria. Moreover, there is also a rapid deterioration of hepatic function, with increase in jaundice and encephalopathy [5]. Recent studies in patients with spontaneous bacterial peritonitis (SBP) indicate that type 1 HRS represents a special form of acute multiple organ failure related to the rapid deterioration in circulatory function (fig. 1). The syndrome develops in the setting of a significant decrease in arterial pressure and a marked stimulation of the renin-angiotensin and sympathetic nervous systems in the absence of changes in systemic vascular resistance, which is consistent with an increase in the arterial vasodilation obscured by the vascular effect of these vasoconstrictor systems. There is also an acute decrease in the cardiac output that contributes to the effective arterial hypovolemia [6]. In addition to renal vasoconstriction, patients with

type 1 HRS associated with SBP develop vasoconstriction in the intrahepatic circulation, with a marked reduction in hepatic blood flow and an increase in portal pressure [6]. The acute deterioration of hepatic function and hepatic encephalopathy may be related to this feature. Cerebral vascular resistance is increased in patients with decompensated cirrhosis and correlates directly with the activity of the renin-angiotensin and sympathetic nervous system and renal vasoconstriction [7]. Reduction in cerebral blood flow could, therefore, play a contributory role to hepatic encephalopathy.

In contrast, type 2 HRS is the chronic form of HRS. It develops imperceptibly in patients with cirrhosis and ascites who are otherwise in stable clinical condition. These patients do not differ clinically from patients with cirrhosis and ascites without renal failure. They respond poorly to diuretics but this also occurs in a significant number of patients with serum creatinine concentration below 1.5 mg/dl. Circulatory function, although severely deteriorated, remains steady or progress slowly during months as it occurs with the renal failure. Patients have advanced cirrhosis but the degree of liver failure is also stable. Hepatic encephalopathy is infrequent. The main clinical problem is refractory ascites [5].

#### *Caveats in the Diagnosis of HRS*

The first step in the diagnosis of HRS is the demonstration of a reduced GFR, and this is not easy in advanced cirrhosis. Serum creatinine measurements in cirrhosis are affected by different factors such as loss of muscle mass, reduced dietary protein intake, sex, ethnic origin as well as by interference with the standard Jaffé colorimetric method of creatinine determination by bilirubin and other compounds which accumulate in liver failure. Similarly, urea is synthesized by the liver and may be reduced as a consequence of hepatic insufficiency. Other biomarkers of kidney function such as cystatin C are not accurate in patients with liver failure. Therefore, false negative diagnosis of HRS is relatively common [8–10]. There is consensus to establish the diagnosis of HRS when serum creatinine has risen above 1.5 mg/dl [11].

The second step is the differentiation of HRS from other types of renal failure. The traditional parameters used to differentiate functional renal failure from acute tubular necrosis (oliguria, low urine sodium concentration, urine-to-plasma osmolality ratio greater than unity, normal fresh urine sediment and no proteinuria) are not useful in decompensated cirrhosis with ascites. Acute tubular necrosis in patients with cirrhosis and ascites usually occurs with oliguria, low urine sodium concentration and urine osmolality greater than plasma osmolality [12]. On the contrary, relatively high urinary sodium concentration has been observed in patients with HRS and high serum bilirubin [13]. The first guidelines for the definition and diagnosis of HRS were published in 1996 and based the diagnosis of this complex entity on the exclusion of other disorders that can cause renal failure in cirrhosis (table 1). Clinically, HRS was further divided into two types [11].

**Table 1.** Past and present diagnostic criteria for HRS

**a** Past International Ascites Club criteria [11]

*Major criteria*

Chronic or acute liver disease with advanced hepatic failure and portal hypertension

Low glomerular filtration rate, as indicated by serum creatinine of  $>1.5$  mg/dl or 24-hour creatinine clearance  $<40$  ml/min

Absence of shock, ongoing bacterial infection, and current or recent treatment with nephrotoxic drugs

Absence of gastrointestinal fluid losses (repeated vomiting or intense diarrhea) or renal fluid losses (weight loss  $>500$  g/day for several days in patients with ascites without peripheral edema or  $1,000$  g/day in patients with peripheral edema)

No sustained improvement in renal function (decrease in serum creatinine to  $\leq 1.5$  mg/dl or increase in creatinine clearance to  $\geq 40$  ml/min) following diuretic withdrawal and expansion of plasma volume with 1.5 liters of isotonic saline

Proteinuria  $<500$  mg/day and no ultrasonographic evidence of obstructive uropathy or parenchymal renal disease

*Additional criteria*

Urine volume  $<500$  ml/day

Urine sodium  $<10$  mEq/l

Urine osmolality greater than plasma osmolality

Urine red blood cells  $<50$  per high-power field

Serum sodium concentration  $<130$  mEq/l

**b** New International Ascites Club criteria [21]

Cirrhosis with ascites

Serum creatinine  $>133$   $\mu$ mol/l (1.5 mg/dl)

No improvement of serum creatinine (decrease to a level of  $\leq 133$   $\mu$ mol/l) after at least two days of diuretic withdrawal and volume expansion with albumin

The recommended dose of albumin is 1 g/kg body weight per day up to a maximum of 100 g/day

Absence of shock

No current or recent treatment with nephrotoxic drugs

Absence of parenchymal kidney disease as indicated by proteinuria  $>500$  mg/day, microhematuria ( $>50$  red blood cells per high power field) and/or abnormal renal ultrasonography

As stated before, type 1 HRS is characterized by a severe and rapidly progressive renal failure, which has been defined as doubling of serum creatinine reaching a level  $>2.5$  mg/dl in less than two weeks. Without treatment, type 1 HRS is the complication of cirrhosis with the poorest prognosis, with a median survival time after the onset of renal failure of only two weeks [8]. On the contrary, type 2 HRS is characterized by a moderate (serum creatinine  $<2.5$  mg/dl) and slowly progressive renal failure. These patients are predisposed to develop type 1 HRS following SBP or other precipitating events [5]. Median survival of patients with type 2 HRS (6 months) is worse than that of patients with non-azotemic cirrhosis with ascites [5, 14].

### **New Definition of Hepatorenal Syndrome**

Investigations performed in the last decades have markedly improved our understanding on the pathophysiology of HRS [6, 15, 16], have identified effective treatments for HRS [17–20] and have shown that it occurs frequently following bacterial infections, mainly SBP. With this background, the International Ascites Club held a second consensus conference in 2005 to update the definition and diagnostic criteria for HRS and to facilitate the diagnosis of this entity, therefore allowing the prompt institution of treatment [21]. The revised definition states that ‘HRS is a potentially reversible syndrome occurring in patients with cirrhosis, ascites and liver failure. It is characterized by impaired renal failure, marked alterations in the cardiovascular function and over-activity of the endogenous vasoactive systems. Marked vasoconstriction in the kidney causes low GFR, whereas in the systemic circulation, there is decreased vascular resistance due to splanchnic and peripheral arterial vasodilatation. A similar syndrome can also occur in acute liver failure and acute alcoholic hepatitis’. In these guidelines, HRS remained as a diagnosis of exclusion but its diagnostic criteria were simplified (table 1). The following changes were made:

- Creatinine clearance was removed because it is more complicated than serum creatinine for clinical practice and it does not increase the accuracy of renal function assessment in cirrhosis.
- Renal failure in the setting of an ongoing bacterial infection, but in the absence of septic shock, was considered HRS. This new criteria enables the physicians to start the treatment of HRS without waiting for a complete infection resolution.
- Plasma volume expansion should be performed with albumin rather than saline, as saline is isotonic to serum and will be transferred to the peritoneal cavity, without having effects on the central blood volume.
- Minor diagnostic criteria of HRS were also eliminated, as they were not essential for the diagnosis.

These latest diagnostic criteria for HRS set out clearly which patients should be considered as having HRS, and therefore receive treatment promptly. However, the

**Table 2.** Definitions and classifications of AKI**a** Acute Dialysis Quality Initiative criteria: RIFLE Classification

|                                  | RIFLE R<br>(risk)                                 | RIFLE I<br>(injury)                             | RIFLE F<br>(failure)   | RIFLE L<br>(loss)                         | RIFLE E<br>(end-stage)               |
|----------------------------------|---|---|--|---|--------------------------------------|
| Serum creatinine or GFR criteria | ↑ creatinine 1.5-fold from baseline or ↓ GFR >25% | ↑ creatinine 2-fold from baseline or ↓ GFR >50% | ↑ creatinine 3-fold from baseline or ↓ GFR by 75% or creatinine ≥4 mg/dl with an acute rise >0.5 mg/dl | Complete loss of kidney function >4 weeks | End-stage kidney disease (>3 months) |
| Urine output criteria            | <0.5 ml/kg/h × 6 h                                | <0.5 ml/kg/h × 12 h                             | <0.3 ml/kg/h × 24 h or anuria × 12 h   |   |                                      |

**b** Acute Kidney Injury Network criteria

| Stage | Serum creatinine criteria  | Urine output criteria                    |
|-------|--|--|
| 1     | ↑ creatinine of ≥0.3 mg/dl (≥26.4 μmol/l) or ↑ of 150–200% (1.5- to 2-fold) from baseline                                      | <0.5 ml/kg/h for >6 h                    |
| 2     | ↑ creatinine >200% but ≤300% (>2- to 3-fold) from baseline   | <0.5 ml/kg/h for >12 h                   |
| 3     | ↑ creatinine >300% (>3-fold) from baseline or creatinine of ≥4.0 mg/dl (≥354 μmol/l) with an acute ↑ of ≥0.5 mg/dl (44 μmol/l) | <0.3 ml/kg/h for 24 h or anuria for 12 h |

use of the rigid cut off value of serum creatinine of 1.5 mg/dl suppose that many patients with milder degrees of renal dysfunction are not considered for treatment, thus limiting the diagnosis of HRS to a very small proportion of cirrhotic patients with renal dysfunction.

**Definition of Acute Kidney Injury in Cirrhosis**

The term AKI has been recently coined by several nephrology and intensive care medicine societies. It encompasses the entire spectrum of acute kidney failure thus allowing patients with small increments of serum creatinine to be regarded as having significant renal dysfunction.

In 2004, a consensus conference developed the RIFLE classification (R-renal risk, I-injury, F-failure, L-loss of kidney function, E-end-stage renal disease) for AKI, which stratified renal failure into grades of increasing severity based on changes in patient's serum creatinine or GFR and/or urine output (table 2) [22]. These RIFLE criteria have been validated in the general population and in critically ill cirrhotic



patients and constitute a good predictor of hospital survival [23, 24]. After the development of the RIFLE criteria the Acute Kidney Injury Network redefined AKI as an abrupt ( $\leq 48$  h) reduction in kidney function manifested by an absolute increase in serum creatinine of  $\geq 26$   $\mu\text{mol/l}$  (0.3 mg/dl), equivalent to a  $\geq 50\%$  increase in serum creatinine (1.5-fold from baseline) or a urine output of  $< 0.5$  ml/kg/h for more than 6 h [25]. Once AKI is established, a staging system then defines the severity of the AKI (table 2). The application of these AKI criteria to patients with cirrhosis could lead to the identification of many patients with acute renal dysfunction, normal serum creatinine levels but low GFR. However, the real usefulness of the AKI criteria must be tested appropriately in the cirrhotic population before recommending these criteria for clinicians to use in clinical practice. Future studies should also characterize the cirrhotic patients with AKI in terms of demographics, incidence and prevalence and describe the natural history of AKI in cirrhosis. Until then and considering their complexity, RIFLE and AKI criteria should be mainly used in the management of critically ill cirrhotic patients.

### Key Messages

- Hepatorenal syndrome is the most characteristic but not the most frequent form of acute kidney injury in cirrhosis.
- The diagnostic accuracy of serum creatinine in cirrhosis is decreased.
- The clinical impact of novel definitions of hepatorenal syndrome and acute kidney injury in cirrhosis is still unknown.

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# Role of Infections in Hepatorenal Syndrome

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## Abstract

Bacterial infections are among the dominant precipitators of hepatorenal syndrome (HRS) in cirrhosis. This relates to aggravation of both key events in the pathophysiology of HRS: splanchnic vasodilation and relative insufficiency in cardiac output maintaining compensatory adequate hyperdynamic circulation. Therefore, guidelines have been adopted excluding renal failure in presence of bacterial infections now particularly allowing and recognizing bacterial infections as potent stimuli for HRS. The following chapter will therefore try to unravel the central role of pathological bacterial translocation and bacterial infections for the development of HRS in advanced cirrhosis. Furthermore, the potential differences regarding site and type of infection as well as role of underlying liver disease will be discussed.

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## Epidemiology and Prognosis of Bacterial Infections and Associated Renal Failure in Cirrhosis

Bacterial infections commonly observed in patients with cirrhosis are, in descending order of frequency, spontaneous bacterial peritonitis (SBP), urinary tract infection and pneumonia [1]. In general, risk for acquisition of bacterial infections is increased in advanced cirrhosis due to deficiencies in host defence at multiple levels. For instance, bacteraemia has been reported to be 10 times more frequent in cirrhosis as compared to non-cirrhotic hospitalized patients [2]. Except for pneumonia, community-acquired spontaneous bacterial infections are predominantly Gram-negative in nature and mainly caused by endogenous enteral commensal bacteria [e.g. *E. coli*; see chapter on SBP]. In contrast, nosocomial infections are more frequently caused by Gram-positive bacteria, mainly due to the invasive procedures necessary during treatment [1, 3, 4]. Besides increased frequency of bacterial infections, associated mortality is also vastly increased in cirrhotic patients because of their lower capacity for bacterial clearance, alterations in inflammatory responses and pre-existing hemodynamic derangement. Cases in which renal dysfunction

occurs during the course of bacterial infection have a poor prognosis, and renal dysfunction is a key indicator predicting death in patients with bacterial infection [5]. In fact, the lower GFR the worse survival in patients waiting for liver transplantation [6]. Particularly fatal is the development of irreversible renal dysfunction, which ultimately is always lethal.

### *Risk Factors for Renal Dysfunction*

Liver cirrhosis per se is associated with increased risk of renal failure for which risk factors identified include ascites [7], elevated bilirubin [8], hepatic encephalopathy [9], underlying renal insufficiency [9, 10] and use of aminoglycosides [7]. However, more than 50% of hepatorenal syndrome (HRS) are induced by precipitating events, among which bacterial infections are the leading cause, being responsible for up to 60% of the cases [11]. The main risk factors for the development of renal failure after bacterial infections are (1) the severity of infection, (2) the MELD score at the diagnosis of infection, and (3) the persistence of infection despite antibiotic treatment [12, 13]. In more detail, leukocyte count in blood, most likely reflecting severity of infection, was found to be associated with the development of renal failure in several investigations [12, 14–16]. Regarding severity of liver disease and MELD score, retrospective data reveal that in presence of SBP, patients with bilirubin >4 mg/dl and serum creatinin >1 mg/dl at diagnosis are at particularly high risk [17, 18]. Indeed, this selected group of patients presents with an up to ninefold increased risk of renal failure and more than three-fold increased mortality as compared to low-risk patients. In addition, in those high-risk patients hyponatremia (<130 mEq/l) is an independent strong predictor for the development of renal failure. Moreover, the risk of type 1 HRS following a bacterial infection is higher in patients with pre-existing type 2 HRS [14, 19]. Finally, in cases of bacterial infections other than SBP, identified risk factors have been reported to be alcoholic genesis and MELD score >20, the latter being particularly predictive for development of irreversible renal dysfunction [20].

### *Site of Infection and Renal Dysfunction*

The association of SBP and development of renal failure in cirrhosis has been well established. In fact, approximately 10–30% [1, 14] – in some reports up to 56% – of SBP cases [18] will develop renal dysfunction, despite appropriate non-nephrotoxic antibiotic treatment. Most of these cases fulfil the most recent diagnostic criteria of type 1 HRS. In patients with bacterial infections other than SBP, despite its high prevalence, the clinical significance of renal dysfunction has long been less clear. However, renal dysfunction is observed in 26–36% of cirrhotic patients presenting

with non-SBP bacterial infections [13, 20]. In fact, it has been recognized recently that renal failure in advanced cirrhosis can be precipitated by most types of bacterial infections. Particularly causative can be urinary, biliary or intestinal infections, which in some cases can trigger an acute renal failure with hallmarks of type 1 HRS [12].

### **Key Events for Development of Renal Dysfunction in Advanced Cirrhosis with Emphasis on Bacterial Infections and Pathological Bacterial Translocation**

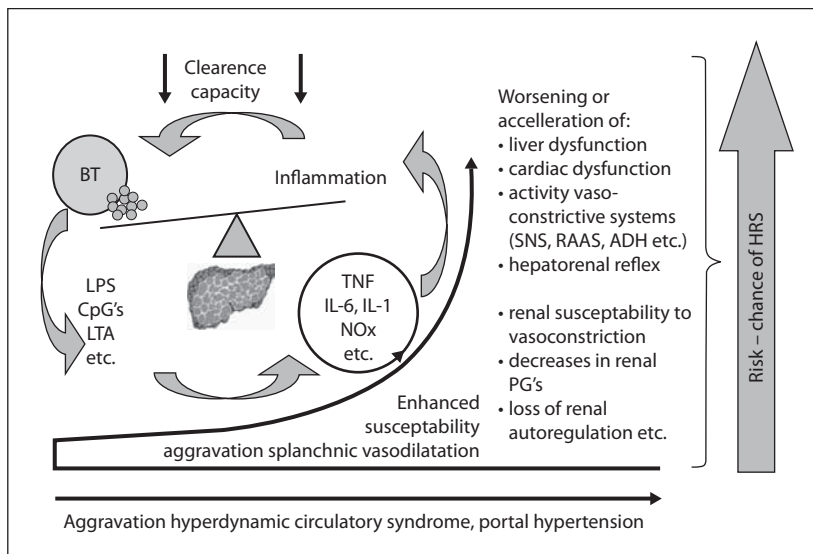
#### *Hemodynamics and Cardiac Function*

The two main causative features in the development of HRS are excessive splanchnic arterial vasodilation and impaired ventricular contractility translating into the inability of cardiac function to prevent the severe reduction of effective circulating volume caused by splanchnic vasodilation [21]. This excessive splanchnic vasodilation is due to increased production or activity of vasodilator factors, particularly nitric oxide, carbon monoxide and cannabinoids [22, 23], and leads to blood pooling and thus, relative central hypovolemia. This in turn stimulates baroreceptors and volume receptors activating the sympathetic nervous system, renin-angiotensin-aldosterone system (RAAS) and many other vasoconstrictors as well as nonosmotic release of an antidiuretic hormone aiming to counterbalance arterial vasodilation. These mediators act on the kidney, facilitating sodium and water retention and thus plasma volume expansion, to compensate for the stated central underfilling. With progression of cirrhosis, splanchnic vasodilation worsens and activated vasoconstrictive systems lead to renal vasoconstriction, causing a marked drop in renal plasma flow and thus fraction of CO delivered to the kidney [24], resulting in deterioration of GFR. In fact, plasma renin activity  $>3.5$  ng/ml/h, norepinephrine  $>544$  pg/ml, hyponatremia ( $<130$  mEq/l) and low mean arterial pressure ( $\leq 85$  mm Hg), all of which indicate that severe vasodilation and a marked hyperdynamic circulatory syndrome display significant predictive value for the development of HRS [11, 14, 17]. Therefore, due to the hyperdynamic circulatory state of advanced cirrhosis and associated neurohormonal alterations, renal blood flow is very susceptible to events associated with a further decrease in effective arterial blood volume. Additionally, the heart response becomes insufficient to maintain perfusion pressure (high-output heart failure) and further contributes to a decrease in renal blood flow and renal failure. Indeed, patients who develop HRS following SBP present with lower cardiac index at the time of bacterial infection [16]. Moreover, cirrhotic ascitic patients with cardiac index  $<1.5$  are significantly more likely to develop HRS 1 and are more likely to die within 3 months of follow-up [25]. Taken together, it is appealing to hypothesize that a hyperdynamic circulation is essential for the maintenance of an effective central blood volume and that any decrease in cardiac output due to precipitating events such as SBP or other

bacterial infections can lead to a severe effective hypovolemia, therefore precipitating HRS.

### *SBP vs. Other Infections as Precipitating Factor for Hepatorenal Syndrome*

The reason for SBP being the most frequent bacterial infection triggering HRS is most likely related to the extent and duration of inflammatory response in the peritoneal cavity induced by an episode of SBP. Patients with SBP developing renal failure present with significantly greater plasma and ascitic fluid cytokine levels (TNF and IL-6) at the time of diagnosis as compared to patients that do not [15]. In fact, the ascitic level of IL-6 represents a strong and independent predictor for the development of HRS. In this context, local ascitic levels of cytokines and endotoxin are severalfold higher than in the systemic circulation. A strong direct correlation between plasma and ascitic fluid levels of TNF and IL-6 underlines that systemic levels are mainly splanchnic/peritoneal-derived. In more detail, patients with culture-positive SBP present with a significantly stronger pro-inflammatory response and higher PMN count in ascites than patients with culture-negative SBP [15]. Moreover, Gram-negative isolates appear to associate with particularly high ascitic pro-inflammatory cytokines and PMN counts as compared to Gram-positive cases [15]. These data point towards the importance of bacterial load, subtype of causative bacteria and chemotactic capacity of the individual patient for the inflammatory response taking place. In general, however, it is important to emphasize that patients with chronic liver disease respond to sepsis and bacterial stimuli with a vastly greater and longer-lasting release in pro-inflammatory cytokines than patients without cirrhosis [26, 27]. This 'priming' phenomenon has been mainly shown in peripheral mononuclear cells but may well be likewise present or even exaggerated in the splanchnic-peritoneal compartment. This assumed splanchnic 'boost' of pro-inflammatory cytokines easily explains why SBP in particular can trigger HRS (fig. 1). (1) The hemodynamic dysregulation already present with vasodilation being most marked in the splanchnic circulation renders these patients most susceptible for further vasodilatory stimuli in this compartment [22]. (2) Endotoxins, bacterial DNA and TNF and other pro-inflammatory cytokines are known to impair cardiac function [28–30]. In fact, severe bacterial infections produce a cytokine-mediated septic cardiomyopathy which may well contribute to 'tip the tail' leading to progressive renal failure. (3) Bacterial products can exacerbate hepatic dysfunction, worsening liver insufficiency [31]. Other types of bacterial infections besides SBP may also cause renal failure in patients with cirrhosis, yet the severity of the inflammatory response and renal impairment is not as marked as in SBP [12, 13]. This in fact easily explains why infections in the splanchnic-peritoneal compartment (SBP, biliary or gastrointestinal) are more prone to trigger HRS and indeed induce more often progressive HRS than nonsplanchnic bacterial infections [12]. In other words, the splanchnic-peritoneal compartment represents the 'Achilles heel' for the development of HRS in advanced cirrhosis.



**Fig. 1.** Is bacterial translocation a trigger for HRS? Working hypothesis and scenario for the pathophysiological role of BT for the development of HRS in advanced cirrhosis. Pathological BT leads to influx of bacterial products into the splanchnic compartment and stimulates the release of pro-inflammatory cytokines. This inflammatory response is vastly enhanced, the clearance of bacterial products as well as pro-inflammatory cytokines reduced and thus, the stimulatory capacity most likely prolonged in cirrhosis. In addition, in the face of splanchnic arterial vasodilation susceptibility the clinical impact of any further vasodilatory stimulus is markedly increased in cirrhosis. This in sum explains the pronounced and often fatal hemodynamic derangement triggered by bacterial infections, particularly in the splanchnic compartment, such as SBP. These can include an additional potent increment in activity of vasoconstrictive systems, aggravation of HCS, portal hypertension and thus, most likely hepatorenal reflex. Associated effects also can impact on ventricular contractility and, at the level of the kidney, may include a loss of compensatory mechanisms such as perfusion autoregulation and enhanced susceptibility to any intrarenal vasoconstrictive effect as well as lack of vasodilatory prostaglandins.

### *Bacterial Translocation*

Bacterial translocation (BT) is defined as the migration of viable microorganisms from the intestinal lumen to mesenteric lymph nodes (MLN) and other extra-intestinal organs and sites [32]. Limited BT to MLN is a physiological phenomenon that has been proposed to be essential for development and maintenance of tolerance against the intestinal flora [33]. However, any increase in rate and severity of BT may be deleterious for the patient and thus should be termed 'pathological BT'. BT has been postulated as the main mechanism in the pathogenesis of spontaneous infections in cirrhosis. Moreover, pathological BT can be considered the primary event in endotoxemia known to be a common finding in advanced cirrhosis with increasing levels being associated with hepatic failure, encephalopathy and death [34,



35]. Experimental cirrhosis without BT exerts only low – in most cases negligible – concentrations of endotoxins in the systemic circulation whereas cirrhotic rats with BT to MLN show marked systemic endotoxemia [36]. In fact, local mesenteric lymphatic endotoxin levels being most marked in presence of BT strongly correlate with systemic appearance of endotoxins, indicating that the source of endotoxins is the gut.

Bacterial DNA detected by a PCR-based method has recently been suggested as a surrogate marker and molecular evidence for BT in decompensated cirrhosis [37–39]. Detectable bacterial DNA in serum as well as BT to MLN in experimental cirrhosis has been shown to elicit an inflammatory response, with increased production of pro-inflammatory cytokines, such as TNF and IL-6, with concomitant NO release in the splanchnic circulation aggravating splanchnic vasodilation and accelerating the hyperdynamic circulatory syndrome [36, 40–44]. The inflammatory response to bacterial DNA has been reported to be similar in magnitude for Gram-positive and Gram-negative translocation [45, 46]. Another marker of ‘pathological BT’ of Gram-negative bacteria and representing long-term exposure to LPS is LPS-binding protein. Patients with increased levels of this protein present with a more than four-fold increased cumulative probability for the development of overt bacterial infection but are clinically otherwise indistinguishable [47]. Moreover, patients with increased levels of LPS-binding protein even in absence of any evidence of bacterial infection have increased serum levels of cytokines, reduced systemic vascular resistance and increased cardiac output, as compared to cirrhotic patients without evidence of BT [40, 43, 48]. It is also tempting to speculate that indeed episodes of BT are key to the stated phenomenon of ‘priming’ of mononuclear cells (e.g. in the splanchnic compartment) to produce an excessive inflammatory response in case of SBP. Even in the absence of SBP, MLN have been shown to release more TNF in decompensated cirrhosis (known to present with an increased rate of BT), indicating that the gut becomes a ‘cytokine-releasing organ’ in the presence of pathologically increased BT [34, 49]. Indeed, TNF is found in MLN of cirrhotic ascitic rats only in the presence of BT [44]. Proof of concept for this scenario comes from investigations on the clinical impact of selective gut decontamination (e.g. utilizing norfloxacin) in advanced cirrhosis. Indeed, selective gut decontamination by mainly inhibiting growth of Gram-negative commensal flora has been shown to abrogate the presence of bacterial DNA in serum and thus prevent BT [43]. This approach results in lower gut-derived cytokine release, diminished activity of vasoactive systems (RAAS, sympathetic nervous system, NO, etc.) and thus ameliorates the hyperdynamic circulation in advanced decompensated cirrhosis [44, 48, 50, 51].

However, BT-associated bacterial products and pro-inflammatory responses not only impact on splanchnic and systemic hemodynamics but also directly affect the kidney. LPS per se induces renal vasoconstriction via stimulation of endothelin, thromboxane A<sub>2</sub> and leukotrienes and enhances susceptibility for decreases in renal synthesis of vasodilative prostaglandins [52, 53]. Likewise, TNF causes renal



vasoconstriction but also glomerular fibrin deposition leading to a reduction in GFR [54]. Finally, IL-6 with complex action on renal hemodynamic and function predicts occurrence of acute kidney injury in sepsis [55]. Therefore, it is tempting to speculate that pathological BT in cirrhosis is involved in intrarenal mechanisms contributing to the development of HRS even independent from its splanchnic and systemic hemodynamic effects. Therefore, independent of the development of any overt bacterial infection, bacterial products as well as the BT-associated pro-inflammatory cytokine response may have severe clinical consequences in advanced liver cirrhosis triggering and/or exacerbating hepatic dysfunction, aggravating hemodynamic disturbances and potentially causing HRS.

### **General Assessment**

The evaluation of cirrhotic patients with renal failure should include not only the assessment of renal function but also evaluation of liver function and, particularly, exclusion of possible bacterial infections. In that regard, even in the absence of signs of infection, evaluation should include culture of blood, ascites and urine as well as exclusion of SBP by testing PMN count in ascitic fluid. The patient's medication has to be reviewed carefully, diuretics should be discontinued since these agents either cause or contribute to the impairment of renal function. Due to the observed increased risk of renal dysfunction any use of aminoglycosides has to be avoided. Considering the key role of central and splanchnic hemodynamics, the patient with HRS should be monitored in the ICU, at best including not only central venous line and invasive blood pressure monitoring but also evaluation of cardiac output, and systemic vascular resistance.

### **Therapeutic Considerations for Bacterial Infections and Their Role for Development of Hepatorenal Syndrome**

The central role of circulatory dysfunction and particularly central arterial underfilling is substantiated by the observation that the risk of HRS in SBP can be markedly reduced with the i.v. administration of albumin (1.5 g/kg BW at diagnosis and 1.0 g/kg BW 48 h later) [56]. The observation of nonalbumin plasma expanders being less effective in this setting points towards other actions of albumin besides plasma volume expansion. These indeed include antioxidant properties but also binding and scavenging of metabolic waste and bacterial products [57, 58]. Most interestingly, however, this beneficial effect is basically only present in patients with bilirubin >4 mg/dl and/or increased serum creatinine at diagnosis of SBP. Therefore, most likely albumin is most effective in patients presenting with an already marked hyperdynamic circulation and pathologically increased BT. In this line, in cirrhotic patients

with high risk for development of SBP, namely low-protein ascites and associated impairment in liver function, renal function or both (bilirubin above 3 mg/dl, Child-Pugh score >10, serum sodium <130 mmol/l or serum creatinine >1.2 mg/dl), long-term administration of norfloxacin not only reduces the risk of SBP but also HRS and improves survival [59]. Worthwhile mentioning is the fact that every patient developing SBP did receive preventive albumin infusions and therefore, only 1 of 10 SBP patients in the placebo group developed HRS. In other words, the lower incidence of SBP induced by long-term norfloxacin is not responsible for the observed prevention of HRS. In contrast, the most logic explanation is that (although not being assessed) norfloxacin effectively inhibited BT and thus lowered the release of pro-inflammatory cytokines and ameliorated the hyperdynamic circulation in these patients. Important to note is that norfloxacin reduced the incidence of HRS but this did not reach statistical significance. However, onset of HRS was significantly delayed, with the most marked effect being observed during the first 3 months of selective gut decontamination. This gain in time without development of HRS clearly can be of fundamental importance for those patients, particularly when waiting for liver transplantation.

## **Clinical Problems and Future Developments**

### *Prophylaxis*

In patients suffering SBP or other bacterial infections, risk factors for the development of HRS need to be defined in more detail. Considering the most likely central role of (1) pathological BT and associated pro-inflammatory cytokine response as well as (2) splanchnic and systemic hemodynamics this should include investigations on the following: (a) factors determining the magnitude and duration of pro-inflammatory response in individual cirrhotic patients, and (b) new tools to measure and monitor central hemodynamics such as special catheters enabling assessment of, for example, stroke volume variance, pulse pressure variation and global end-diastolic volume, all of which are known to reflect 'central volume responsiveness'.

### *Therapy*

The lack of resolution of bacterial infection has been consistently shown to predict death in advanced cirrhosis, and thus first line therapy needs to be effective. For further details related to this point, see chapter on SBP [this vol.]. However, in some patients HRS may persist or even progress rapidly despite resolution of the infection [7, 17, 60]. For this scenario, further studies have to identify risk factors enabling stratification of patients into therapeutic algorithms preventing failure of first-line treatment.

## Key Messages

- In the hospital setting, the increased risk of bacterial infections in cirrhotic patients dictates strict adherence to universal precautions and careful monitoring of catheter sites and wounds with particular emphasis in this population and in the ICU.
- Administration of non-steroidal anti-inflammatory drugs or aminoglycosides must be avoided in all patients with cirrhosis because these agents may impair renal function.
- Bacterial infections are potent precipitating events for the development of HRS, particularly when located in the splanchnic compartment. Besides this, pathological bacterial translocation per se and associated release of pro-inflammatory cytokines from the gut, most likely is one of the key triggers for development of HRS in advanced cirrhosis.
- Bacterial infection should be ruled out in all cirrhotic patients with acute renal failure or worsening of renal function. In patients with ascites, differential cell count and culture should be performed to rule out infection of ascitic fluid. Blood and urine culture should be performed even in the absence of obvious signs of infection. Chest radiograph should be carried out to exclude lung infection.
- Further studies have to identify in more detail risk factors for the development of HRS, such as individual susceptibility and capacity of pro-inflammatory response to bacterial translocation and infection. In addition, as for therapeutic optimization, predictive factors for persistence of HRS despite resolution of infection have to be delineated, and evaluation of new invasive methods for monitoring central hemodynamics is warranted.

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## TIPS for HRS

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### Abstract

Transjugular intrahepatic portosystemic shunt (TIPS) improves kidney function in selected patients with liver cirrhosis and HRS types 1 and 2. The rationale for its placement is mainly the shift of the splanchnic blood pool into the central intrathoracic blood volume with de-escalation of vasoconstrictor formation which leads to a reduction of renal sodium reabsorption. There are contraindications which have to be observed. The procedure is not evidence based in the setting of HRS because controlled trials are lacking, but it may be an option to bridge for liver transplantation in some patients.

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A definition of hepatorenal syndrome (HRS) is given in the chapter by Fernandez and Arroyo [this vol.]. It is a functional renal failure in patients with advanced liver insufficiency. Other causes of acute kidney impairment should be ruled out in these patients, such as renal parenchymal disease or prerenal failure due to bleeding and infections. Out of those patients with kidney dysfunction and liver cirrhosis only about one third have HRS [1–3]. HRS is linked to a high portal pressure and a systemic circulatory dysfunction characterized by low cardiac output [4, 5], low mean arterial blood pressure, renal hypoperfusion and marked activation of the renin-aldosterone-angiotensin system (RAAS) as well as increased catecholamine levels in the systemic circulation [6].

It is hypothesized that splanchnic blood pooling together with a hypovolemia of the central blood compartment is the driving pathophysiology of these phenomena. Indeed, it has been shown that the vasoconstrictor terlipressin – which redistributes abdominal blood to the central pool [7, 8] – together with albumin reverses the vicious circle and improves kidney function in about half of the patients [9–11]. However, these drugs need i.v. application and can only be given transiently.

Thus, it has been speculated that transjugular intrahepatic portosystemic shunt (TIPS) could be an alternative to repeat treatment with vasoconstrictors and infusion of albumin.



## Rationale for TIPS in HRS

TIPS improves kidney function in liver cirrhosis and allows better mobilization of ascites for the following reasons:

- It has been shown that a higher hepatic venous pressure gradient, which correlates inversely with renal blood flow, is associated with a more severe renal dysfunction [6]. TIPS leads to a reduction of the portal venous pressure by around 50% and to a reduction of the sinusoidal pressure. This might improve renal perfusion by an immediate effect via neural mediation [12], for example, via stretch receptors. Yet, it remains an open question whether such a local hepatorenal reflex really is crucial since the beneficial effect of TIPS on renal function occurs gradually and is not immediate. Nevertheless, TIPS shifts the deranged renal autoregulatory blood flow curve back to the left.
- Patients with a cardiac index  $<1.5$  liters/min/m<sup>2</sup> have a reduced renal blood flow, higher serum creatinine and higher risk of developing hepatorenal syndrome than patients with values above this threshold [4]. TIPS increases the mean cardiac output [13]. At the same time central blood volume increases. However, systemic vascular resistance – which is already reduced in patients with liver cirrhosis – further declines after TIPS [13, 14]. Thus, the hyperdynamic situation of the patient with liver cirrhosis is aggravated by TIPS placement, whereas the central blood volume is increased, which has positive effects (see below).
- After establishment of an intrahepatic portosystemic shunt the activation of baroreceptors is blunted, probably due to refilling of the effective central arterial blood volume. This leads to a constant reduction of plasma renin activity and plasma aldosterone levels up to 1 month after TIPS placement. There is also a less-pronounced deactivation of norepinephrine formation [13, 15–17]. This reduced RAAS activity allows a significant reduction of (proximal) tubular reabsorption of sodium and reinstates sodium excretion [13, 15, 18].

Most of the above-mentioned effects counteract the development of functional impairment of the kidney in patients with liver cirrhosis. On the other hand, persistence of peripheral vasodilation and continued elevated plasma norepinephrine levels are factors in favor of sustainment of renal impairment. Furthermore, most patients with hepatorenal syndrome present with advanced liver dysfunction. In these patients, TIPS may worsen liver function towards terminal liver failure, mainly due to loss of portal venous perfusion. Last but not least, TIPS may aggravate spill over of intestinal bacteria into the systemic circulation. All these negative factors explain why only small series have been carried out on the effect of TIPS in patients with liver cirrhosis and HRS.



**Table 1.** Survival and kidney function after TIPS**a** Survival after TIPS in patients with HRS type 1/2

| Study                                | Survival                                |                                   | Study design              |
|--------------------------------------|---|-----------------------------------|---------------------------|
|                                      | HRS 1                                   | HRS 2                             |                           |
| Brensing [18]<br>(14 HRS 1, 7 HRS 2) | 1-year survival<br>with TIPS: 20%       | 1-year survival<br>with TIPS: 70% | prospective, uncontrolled |
| Guevara [16]<br>(7 HRS 1)            | TIPS: median survival<br>4.7±2.0 months |                                   | prospective, uncontrolled |
| Wong [19]<br>(5 HRS 1)               | TIPS: mean survival<br>17±5 months      |                                   | prospective, uncontrolled |

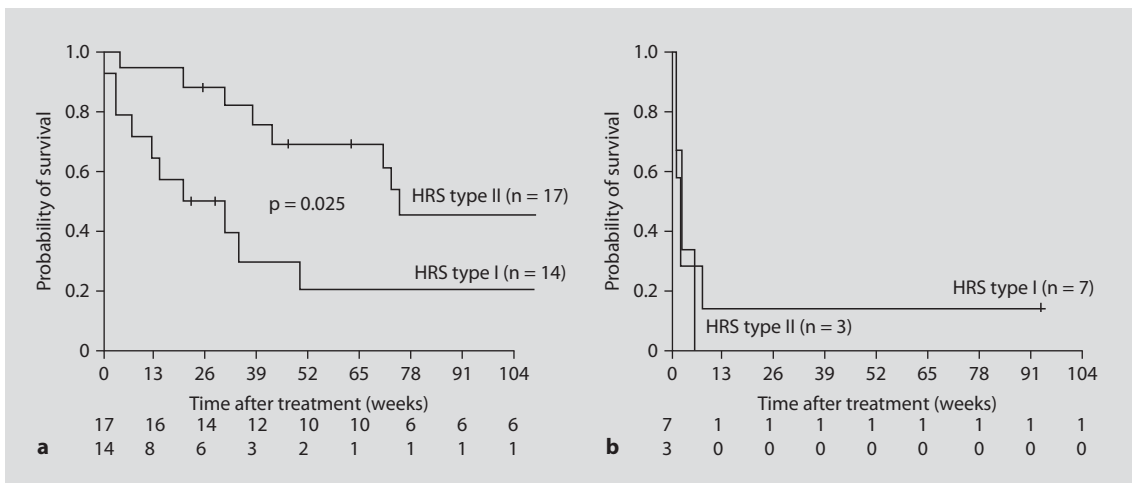
**b** Kidney function after TIPS in patients with HRS type 1/2

| Study                                   | GFR                                     | Serum creatinine                     | 24-hour urinary sodium                     |
|---|---|--------------------------------------|--|
| Brensing [18]<br>(14 HRS 1,<br>7 HRS 2) | pre-TIPS<br>18±15 ml/min                | pre-TIPS<br>2.3±1.7 mg/dl            | pre-TIPS<br>12±16 mmol/day                 |
|   | 4 weeks after TIPS<br>44±28 ml/min      | 4 weeks after TIPS<br>1.5±1.2 mg/dl  | 4 weeks after TIPS<br>91±60 mmol/day       |
| Guevara [16]<br>(7 HRS 1)               | pre-TIPS<br>9±4 ml/min                  | pre-TIPS<br>5.0±0.8 mg/dl            | pre-TIPS<br>2.4±0.4 mmol/day               |
|   | 4 weeks after TIPS<br>27±7 ml/min       | 4 weeks after TIPS<br>1.8±0.4 mg/dl  | 4 weeks after TIPS<br>9.4±4.2 mmol/day     |
| Testino [24]<br>(18 HRS 2)              | pre-TIPS<br>25.0±6.0 ml/min             | pre-TIPS<br>1.9±0.5 mg/dl            | pre-TIPS<br>8.0±2.0 mmol/day               |
|   | 12 weeks after TIPS<br>70.0±19.0 ml/min | 12 weeks after TIPS<br>0.9±0.3 mg/dl | 12 weeks after TIPS<br>110.0±41.0 mmol/day |

**Results of TIPS in Patients with HRS**

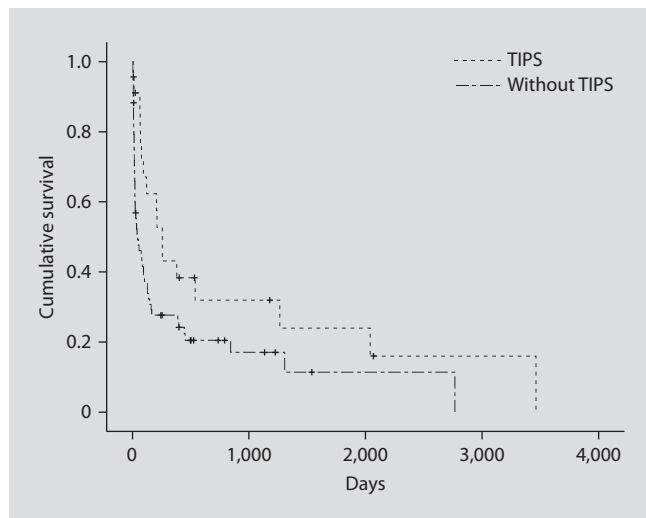
All series are uncontrolled so that – strictly speaking – a putative beneficial effect of TIPS on HRS is not evidence based. From these small series (table 1), it may be derived that TIPS can be placed in selective patients without deteriorating liver function. In short, the results are as follows:

- Even in selected type 1 patients responding to TIPS, their 1-year survival was only around 20–30% for HRS type 1. Thus, every patient should be evaluated for liver transplantation (fig. 1, 2).
- Improvement of creatinine and sodium excretion occurs gradually over 1–2 months; for example, before TIPS implantation and after 4 weeks following it, 24-hour urinary sodium excretion was 12 ± 16 mmol/day and 91 ± 60 mmol/



**Fig. 1.** Kaplan-Meier survival analysis of patients with HRS treated by TIPS (n = 31). **a** Survival analysis after TIPS. **b** Survival analysis of non-TIPS patients (n = 10). Reproduced from Brensing et al. [18].

**Fig. 2.** Kaplan-Meier survival analysis of patients (HRS type 1) with TIPS (n = 23) and without TIPS (n = 68). Mean Child-Pugh points in group with TIPS: 10 (9; 13) and in group without TIPS: 12 (11; 13). Reproduced from Appenrodt et al. [23].



day, respectively. Glomerular filtration rate rose from  $18 \pm 15$  ml/min to  $44 \pm 28$  ml/min and serum creatinine decreased from  $2.3 \pm 1.7$  to  $1.5 \pm 1.2$  mg/dl [18].

- Patients who do not respond to TIPS have a dismal prognosis and a very short survival (3-month survival is 15%) [18].
- Response to pretreatment with vasoconstrictors and albumin could be a selection criterion for TIPS placement [19].

**Table 2.** Contraindications for TIPS

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*Absolute*

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Severe heart failure

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Severe pulmonary hypertension

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Multiple hepatic cysts, liver abscesses or multifocal HCC

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Sepsis

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*Relative*

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Thrombosis of all hepatic veins

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Portal vein thrombosis

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Severe coagulopathy

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Severe chronic hepatic encephalopathy

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Bilirubin >5 mg/dl

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Spontaneous bacterial peritonitis

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- Diastolic dysfunction as measured by E/A ratio of  $\leq 1$  [20], a high MELD score and a very low GFR are predictive of a poor response [21, 22].
- There are some clear contraindications for TIPS in HRS (table 2).

## Conclusions

TIPS reverses some but not all pathogenetic factors associated with HRS.

While it may lead to a long-term improvement of kidney function in selected patients, deterioration of liver function has to be kept in mind. Therefore, TIPS should only be placed very selectively in units where liver transplantation is available. Its role is not evidence-based since controlled trials are lacking.

### Key Messages

- Some pathogenetic factors of HRS may be reversed by TIPS.
- Therefore, it can be considered in selected patients as a bridge for liver transplantation.
- Controlled trials are lacking.

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# Vasoconstrictor Therapy for Hepatorenal Syndrome

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## Abstract

Hepatorenal syndrome (HRS) is a potentially reversible form of kidney injury in cirrhotic patients. Marked splanchnic and systemic vasodilatation is the main pathogenic mechanism. Various vasoconstrictors have been used to treat HRS, with the intention of ameliorating vasodilatation to improve effective arterial blood volume, attenuate the neurohormonal system activation, thereby reducing renal vasoconstriction and increasing renal perfusion. Three main classes of vasoconstrictors have been used: vasopressin receptor agonists (vasopressin, ornipressin, terlipressin), alpha adrenergic receptor agonists (midodrine, noradrenaline) and octreotide. Vasoconstrictors have always been used in conjunction with intravenous albumin. Terlipressin is the only vasoconstrictor of proven efficacy in HRS based on randomized clinical trials and is considered first line therapy, where available. Vasoconstrictors other than terlipressin are comparable to terlipressin regarding hemodynamic, neurohumoral and renal function effects. However, clinical trials with these vasoconstrictors are limited by small sample sizes and suboptimal study design. Only two small randomized trials compare noradrenaline to terlipressin with equivalent results. In countries where terlipressin is unavailable, such as the USA, noradrenaline could be utilized. Even though the evidence is less strong for the combination of oral midodrine plus subcutaneous octreotide, it is the preferred alternative therapy given its ease of administration and safety profile. No definite recommendation can be made for type 2 HRS in view of scanty data available. Vasoconstrictors, including terlipressin, should be used cautiously as they can be associated with cardiovascular and ischemic adverse events. Mortality is still high in patients who develop HRS and therefore vasoconstrictors should be considered as a bridge to the definitive therapy, liver transplantation.

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Hepatorenal syndrome (HRS) is a potentially reversible form of kidney injury in patients with cirrhosis and ascites. It is a functional type of pre-renal kidney injury [1] characterized by intense renal vasoconstriction with very low renal perfusion and glomerular filtration rate (GFR) [2]. The incidence of HRS in cirrhotic patients with ascites is 18% after 1 year, and rises up to 39% after 5 years [3]. Progressive splanchnic

and systemic vasodilatation, its main pathogenic mechanism, is a consequence of portal hypertension and is attributable mostly to nitric oxide overproduction, though other vasodilatory substances also participate in this complex process [4, 5]. HRS represents the final phase of cirrhosis, with extreme vasodilatation that results in marked decrease in effective arterial blood volume which in turn leads to maximal activation of vasoconstrictive systems, specifically the renin-angiotensin-aldosterone axis and the sympathetic nervous system and, thereby, renal vasoconstriction (fig. 1). The prognosis is very poor and is somewhat ameliorated with therapeutic interventions. Therapies for HRS include pharmacological therapies, mainly with vasoconstrictors.

### **Pathophysiological Bases of Pharmacological Therapy in Hepatorenal Syndrome**

Since vasodilatation leading to a decreased arterial blood volume is the main mechanism in the pathogenesis of HRS, it follows that pharmacological treatment would be directed towards the use of vasoconstrictors (to overcome vasodilatation) and albumin (to replenish the intravascular volume) (fig. 1).

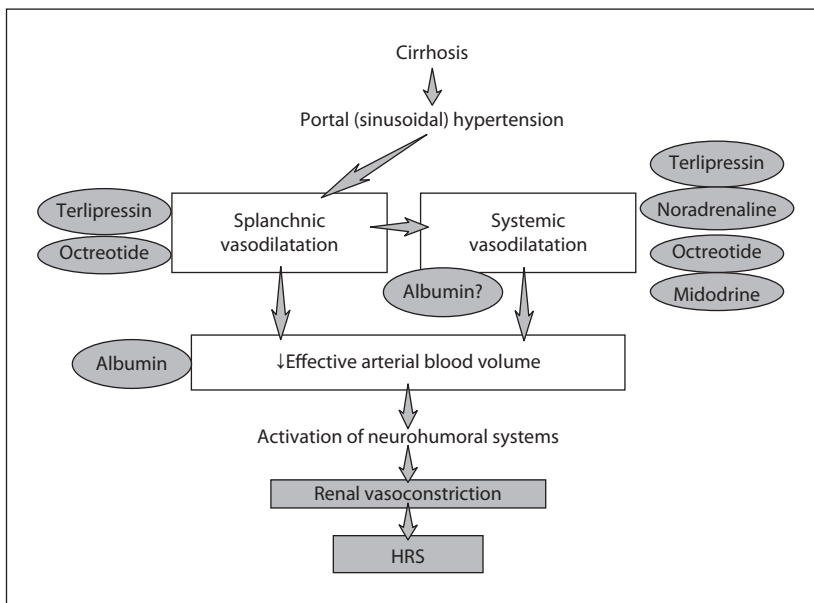
#### *Vasoconstrictors*

Various vasoconstrictors have been used to treat HRS, with the intention of ameliorating vasodilatation. This will improve effective arterial blood volume, attenuate activation of the renin-angiotensin-aldosterone and sympathetic nervous systems, thereby ameliorating renal vasoconstriction, increasing renal perfusion and improving GFR (fig. 1).

Three main classes of vasoconstrictors have been used in the treatment of HRS:

- 1 Vasopressin receptor agonists like vasopressin and its analogues, ornipressin and terlipressin, that bind to  $V_1$  receptors on vascular smooth muscle to cause vasoconstriction via the IP<sub>3</sub> signal transduction pathway. They have greatest vasoconstrictive effects on the splanchnic, muscular and cutaneous vessels.
- 2 Alpha-adrenergic receptor agonists such as noradrenaline and midodrine that cause vasoconstriction by binding predominantly to  $\alpha_1$ -adrenergic receptors that leads to increased intracellular calcium resulting in smooth muscle contraction. Given intravenously to healthy dogs at 0.2–0.4  $\mu\text{g}/\text{kg}/\text{min}$ , noradrenaline has also been shown to have a renal vasodilatory effect [6].
- 3 The vasoconstrictive effect of octreotide, a somatostatin analogue, is mediated by inhibition of the release of glucagon and other vasodilating peptides, but that has also been shown to have a direct vasoconstrictive effect [7] not only in the splanchnic but also in the systemic circulation [8].

The first proof-of-concept study used a continuous infusion of ornipressin over a 4-hour period in 11 patients with decompensated cirrhosis and deteriorating renal



**Fig. 1.** Pathogenesis of HRS and site of action of different vasoconstrictors and albumin.

function [9]. Ornipressin led to an improvement in renal clearance parameters, an increase in systemic vascular resistance and a decrease in renin and aldosterone plasma levels [9]. In a subsequent study performed in 16 patients with HRS, ornipressin was associated with intravenous albumin and administered for 3 or 15 days [10]. The 3-day course was associated with a normalization of the renin-angiotensin and sympathetic nervous systems, but with only a slight improvement in renal function. However, when ornipressin and albumin were administered for 15 days, a significant improvement in renal function was observed, with normalization of serum creatinine, a marked increase in renal plasma flow and GFR, and a persistent suppression in the activity of vasoconstrictor systems. Notably, of 8 patients that were to receive the 15-day course of ornipressin, only 4 could complete the course because of the development of severe side effects (ischemic colitis, tongue ischemia and ventricular extrasystoles).

After this, other uncontrolled small studies also performed as proof-of-concept have confirmed that other vasoconstrictors, prominently terlipressin [11–14] but also octreotide plus midodrine [15] and noradrenaline [16], administered for periods greater than 3 days lead to an increase in mean arterial pressure (MAP) and GFR and to decreases in serum creatinine and plasma renin activity (table 1), thereby providing evidence in favor of the vasodilatation concept as the driving force behind HRS. One of these studies also confirmed a high rate of ischemic side effects with ornipressin [17]. In contrast, study of the combination of octreotide



**Table 1.** Significant changes in MAP, parameters of renal function and plasma renin activity in prospective studies of vasoconstrictors + albumin administered for >3 days

| Author, year       | Vasoconstrictor      | n              | MAP    | Serum creatinine | GFR    | Urine output | Plasma renin activity |
|--------------------|----------------------|----------------|--------|------------------|--------|--------------|-----------------------|
| Guevara, 1998 [10] | ornipressin          | 4              | ↑ 20%  | ↓ 77%            | ↑ 356% | ↑ 207%       | ↓ 96%                 |
| Gulberg, 1999 [17] | ornipressin          | 7              | ↑ 20%  | –                | ↑ 153% | ↑ 112%       | –                     |
| Angeli, 1999 [15]  | ocrotide + midodrine | 5              | ↑ 28%  | ↓ 64%            | ↑ 343% | ↑ 126%       | ↓ 77%                 |
| Uriz, 2001 [11]    | terlipressin         | 9              | ↑ 18%  | ↓ 62%            | ↑ 200% | ↑ 57%        | ↓ 85%                 |
| Mulkay, 2001 [12]  | terlipressin         | 12             | ↑ 14%  | ↓ 53%            | ↑ 200% | ↑ 132%       | –                     |
| Ortega, 2002 [13]  | terlipressin         | 13             | ↑ 13%  | ↓ 58%            | ↑ 200% | ↑ 85%        | ↓ 80%                 |
| Duvoux, 2002 [16]  | noradrenaline        | 12             | ↑ 12%  | ↓ 59%            | ↑ 187% | ↑ 211%       | ↓ 71%                 |
| Pomier, 2003 [18]  | octreotide alone     | 9 <sup>1</sup> | ↑ 13%* | ↓ 7%*            | ↑ 43%* | –            | ↓ 58%*                |
| Solanki, 2003 [14] | terlipressin         | 12             | ↑ 28%  | ↓ 59%            | ↑ 255% | ↑ 134%       | ND                    |

\*Not significantly different from baseline.

All other changes in the table were statistically significant compared to baseline.

<sup>1</sup>Only patients who received octreotide first.

(administered orally) and midodrine (administered subcutaneously) that included only 5 patients reported no adverse events [15]. Notably, in this study, the dose of vasoconstrictors was adjusted based on a MAP increase of at least 15 mm Hg. This is a rational approach as a low MAP is the clinical hallmark of systemic vasodilatation and an increase in MAP would indicate improvement of this pathogenic mechanism. Importantly, in a study of octreotide alone (administered in a continuous intravenous infusion), and even though the changes were in the correct direction, the effect was mild and did not achieve statistical significance [18] (table 1). This suggests that the combination octreotide/midodrine is better than the use of octreotide alone.

The effect of vasoconstrictors on the systemic or splanchnic circulation can help determine which vascular bed, if any, plays a more important role in the vasodilatation

leading to HRS. In animal models of endotoxin-induced vasodilatation, both vasopressin and norepinephrine increase systemic arterial pressure but only vasopressin reduces portal flow (indicating splanchnic vasoconstriction) while norepinephrine has no effect or may even increase portal flow [19]. The fact that a noradrenaline infusion has the same effect as ornipressin or terlipressin in patients with HRS (table 1) indicates that systemic vasodilatation plays an important role in the genesis of HRS.

#### **Key Messages: Box 1**

- HRS is a potentially reversible form of kidney injury which is characterized by an intense renal vasoconstriction.
- Progressive splanchnic and systemic vasodilatation is the main pathogenic mechanism in HRS.
- Various vasoconstrictors have been used to treat HRS, with the intention of ameliorating splanchnic and systemic vasodilatation.
- Three main classes of vasoconstrictors have been used in the treatment of HRS – vasopressin receptor agonists,  $\alpha$ -adrenergic receptor agonists and octreotide.
- Vasoconstrictors have always been used in conjunction with intravenous albumin in the treatment of HRS.
- Terlipressin is the only agent proven effective in several randomized, controlled trials.

#### *Albumin*

Vasoconstrictors have generally been used in conjunction with intravenous albumin. A recent study suggests that the beneficial effect of albumin on circulatory and renal function in patients with HRS is related not only to the expansion of the plasma volume but also to a direct vasoconstrictive effect on the peripheral arterial circulation [20]. It is conceivable that an improvement of renal function in HRS patients treated with vasoconstrictors and albumin is attributable to the additive effects of both compounds in producing vasoconstriction (fig. 1). Alternatively, albumin may also have important under-recognized antioxidant or vascular properties, such as nitric oxide trapping that can improve renal function [21]. The need for albumin in addition to vasoconstrictors in HRS has only been examined in a nonrandomized small study that showed that treatment with terlipressin and albumin was associated with a significant decrease in serum creatinine and an increase in MAP, changes that were not observed in a non-concurrent group of patients treated with terlipressin alone [22].

In the most recent consensus from the International Ascites Club, albumin was the recommended volume expander over 0.9% sodium chloride therapy for the diagnosis and management of HRS. The recommended albumin dosage in the initial management of HRS is 1 g/kg/day (up to a maximum 100 g/day) [23]. The maintenance dose of albumin, once the diagnosis of HRS is established and vasoconstrictors are initiated, is of 25–50 g/day. Albumin may be discontinued if serum albumin concentration

**Table 2.** Vasoconstrictors other than terlipressin in HRS: doses used, titration and adverse events

| Vasoconstrictor                     | Doses used and titration  | Observed adverse events  |
|-------------------------------------|---|--|
| Vasopressin [32]                    | initial dose: i.v. infusion of 0.01 U/min. titrate dose up to a maximum of 0.8 U/min to achieve a 10 mm Hg increase in MAP from baseline or MAP >70 mm Hg   | none reported <sup>1</sup>   |
| Ornipressin [10, 17]                | initial dose: i.v. infusion of 2 IU/h on 1st day, increase to 4 IU/h on 2nd day and 6 IU/h on 3rd day   | abdominal cramps, intestinal ischemia ± bleeding (13%), tongue ischemia (13%), ventricular arrhythmias (13%) |
| Midodrine + octreotide [15, 36, 37] | initial dose: oral midodrine 5–10 mg t.i.d. plus subcutaneous octreotide 100 µg t.i.d. If no increase in MAP by 15 mm Hg: increase the doses every 24 h – midodrine up to 15 mg t.i.d. and octreotide up to 200 µg t.i.d. (octreotide can also be given intravenously 25 µg/h after a bolus injection of 25 µg) | diarrhea, tingling, goosebumps, hypertensive urgency (1.3%)  |
| Noradrenaline [16, 33, 34]          | initial dose: continuous i.v. infusion, 0.5 mg/h. If no increase in MAP by 10 mm Hg: increase the dose by 0.5 mg/h every 4 h up to a maximum of 3.0 mg/h  | ventricular arrhythmia } (7%), myocardial hypokinesia (5%)   |

<sup>1</sup>Ischemic and cardiovascular adverse events have been reported in a study used for vasodilatory shock at a dose of 0.04 U/min and in studies of variceal hemorrhage in patients with cirrhosis.

is greater than 4.5 g/dl and should be immediately withdrawn in case of pulmonary edema. As this pulmonary complication is uncommon, monitoring of central venous pressure is not mandatory; however, careful monitoring of the cardiopulmonary function is recommended [23].

### Clinical Use of Vasoconstrictors in Hepatorenal Syndrome Type 1

Terlipressin has been the most widely investigated vasoconstrictor in the treatment of HRS not only in uncontrolled clinical trials [11–13, 24–27] but also in controlled randomized trials [14, 28–30]. A recent Cochrane meta-analysis of the latter shows that

**Table 3.** Clinical outcomes of HRS-1 patients treated with vasopressin receptor agonists (other than terlipressin) plus intravenous albumin

| Author, year       | n (n HRS-2) | Vasoconstrictor          | Definition of CR                       | CR                   | Days to CR             | HRS recurrence | Median survival |
|--------------------|-------------|--------------------------|--|----------------------|------------------------|----------------|-----------------|
| Guevara, 1998 [10] | 8 (?)       | omipressin               | sCr <1.5 mg/dl                         | 6 (75%)              | 7 (4–15) <sup>a</sup>  | 2 (33%)        | 60 days         |
| Gulberg, 1999 [17] | 7 (0)       | omipressin               | 2-fold increased in CrCl to > 40ml/min | 4 (57%)              | 14 (8–27) <sup>a</sup> | 2 (50%)        | 90 days         |
| Kiser, 2005 [32]   | 19 (1)      | vasopressin + octreotide | sCr ≤1.5 mg/dl without dialysis        | 8 (42%) <sup>b</sup> | 7 <sup>c</sup>         | NA             | NA              |
|                    | 8 (2)       | vasopressin              | sCr ≤1.5 mg/dl without dialysis        | 3 (38%) <sup>b</sup> | 6 <sup>c</sup>         | NA             | NA              |
| Total              | 42          |                          |  | 21 (50%)             | 7                      | 4/15 (27%)     |                 |

CR = Complete response; sCr = serum creatinine; CrCl = creatinine clearance; NA = not available.

<sup>a</sup>Median (range).

<sup>b</sup>Percentages refer to HRS-1.

<sup>c</sup>Mean.

terlipressin has a greater efficacy than placebo in reversing renal dysfunction in HRS with an improvement in survival [31]. Terlipressin is the most common vasoconstrictor used in Europe and Asia for the treatment of HRS type 1 (HRS-1). However, this agent is still not available or approved for use in many countries including the United States. In these countries, vasoconstrictors other than terlipressin, such as vasopressin, midodrine plus octreotide or noradrenaline play an important role. The use of terlipressin will be reviewed in detail in other chapters in this book. Therefore, the remainder of this chapter will discuss the clinical use of vasoconstrictors other than terlipressin. The doses used in different studies and the observed adverse events are shown in table 2.

### *Vasopressin Receptor Agonists Other than Terlipressin*

Clinical outcomes of 4 uncontrolled series (2 ornipressin, 2 vasopressin) including 42 patients mostly with HRS-1 are summarized in table 3 [10, 17, 32]. Complete response (mostly defined as a decrease in serum creatinine to <1.5 mg/dl) was observed in 50%

(21/42) of the cases in a median of 7 days. HRS recurred in only 27% of patients that had a complete response.

Ornipressin has been administered in a continuous intravenous infusion, starting at 2 IU/h on the first day and increase by 2 IU/day to a maximum dose of 6 IU/h on the third day [10] (table 2). The most common adverse event is abdominal cramps which are usually self-limited; but severe adverse events leading to treatment discontinuation such as intestinal ischemia with or without bleeding (13%), tongue ischemia (13%) and ventricular arrhythmias (13%) have been reported. Because of them, ornipressin is not recommended for use in patients with HRS.

Vasopressin has been administered as continuous intravenous infusion with initial starting dose of 0.01 U/min, titrated up to 0.8 U/min to achieve a 10 mm Hg increase in MAP from baseline or a MAP greater than 70 mm Hg (table 2). The mean vasopressin dose required to achieve these goals in the only study investigating vasopressin was 0.23 U/min which was significantly higher than doses normally used in critically ill patients [32]. Although no adverse effects were reported in the study, cardiac arrest, ischemic digits/extremities, myocardial infarction have been associated with vasopressin doses of 0.04 U/min in the treatment of vasodilatory shock and in patients with cirrhosis and variceal hemorrhage. Extreme caution and careful monitoring of ischemic complications is recommended in patients receiving doses greater than 0.1 U/min [32].

### *Noradrenaline*

The result of the first uncontrolled pilot study using noradrenaline showed a promising result, with 83% reversal in 12 patients with HRS-1 [16] (table 4). Similar results were shown in two subsequent studies [33, 34] for an overall complete response rate of 64% (23/36) in a median of 6.5 days. HRS recurrence is very low at 8% (table 4).

The two more recent studies were a part of small prospective open-label randomized studies of noradrenaline versus terlipressin that found both vasoconstrictors to be equally effective in the treatment of HRS-1 with a similar rate of side effects [33, 34]. No firm conclusions can be drawn from these studies given the very small sample sizes (9 and 40, respectively) that did not define noninferiority or equivalence margins in their calculation [31].

Noradrenaline has been used as a continuous intravenous infusion at an initial dose of 0.5 mg/h, adjusted to achieve an increase in MAP of at least 10 mm Hg or an increase in 4-hour urine output to more than 200 ml. If these goals are not reached, the dose can be increased every 4 h in steps of 0.5 mg/h, up to the maximum dose of 3 mg/h [16, 34] (table 2). In this setting and at doses of 1.5 mg/h, noradrenaline has been associated with ventricular arrhythmias (7%) and myocardial hypokinesia (5%), both having reversed with dose reduction. Given the much lower cost and wider availability of noradrenaline, it can be considered a reasonable alternative treatment for HRS-1, especially in the countries where terlipressin is not available and cost is a major concern.

**Table 4.** Clinical outcomes of HRS-1 patients treated with noradrenaline plus intravenous albumin

| Author, Year           | n (n HRS-2) | Vasoconstrictor | Definition of CR                               | CR       | Days to CR <sup>a</sup> | HRS recurrence | Median survival                       |
|------------------------|-------------|-----------------|--|----------|-------------------------|----------------|---------------------------------------|
| Duvoux, 2002 [16]      | 12 (0)      | noradrenaline   | sCr <1.5 mg/dl or increased CrCl to >40 ml/min | 10 (83%) | 7 (5–10)                | 0 (0)          | median survival 60 days               |
| Alessandria, 2007 [33] | 4 (6)       | noradrenaline   | decrease of sCr of ≥30% to ≤1.5 mg/dl          | 3 (75%)  | 5 (2–10)                | 1 (33%)        | all bridged for liver transplantation |
| Sharma, 2008 [34]      | 20 (0)      | noradrenaline   | sCr <1.5 mg/dl                                 | 10 (50%) | 6.5 (4–15)              | not available  | not available                         |
| Total                  | 36          |                 |  | 23 (64%) | 6.5                     | 1/13 (8%)      |                                       |

CR = Complete response; sCr = serum creatinine; CrCl = creatinine clearance.

<sup>a</sup>Median (range).

### *Octreotide plus Midodrine*

In two clinical trials, octreotide monotherapy was proven to be no more effective than placebo in reversing HRS [32] or the abnormalities that lead to it [18] (table 1). In experimental studies it has been shown that octreotide exerts a local vasoconstrictive effect on vascular smooth muscle of the superior mesenteric arterial bed, but only in the presence of vasoconstrictors that activate protein kinase C [7]. Midodrine, as an alpha-1 adrenergic receptor agonist is one of such vasoconstrictors and it is therefore logical that the combination would be more effective than octreotide alone and will probably be more effective than midodrine alone, although this has not been investigated. The combination of midodrine and octreotide has been used for treatment of HRS-1 in three studies totaling 79 patients [35–37] (table 5) HRS reversal was observed in 49% of patients in a median of approximately 17 days (longer than with other vasoconstrictors).

Midodrine has been administered orally at an initial dose of 5–10 mg three times daily and octreotide subcutaneously at an initial dose of 100 µg three times daily. If there is no increase in MAP of 15 mm Hg, the dose of midodrine can be increased up to 15 mg three times daily and octreotide up to 200 mcg three times daily every 24 h (table 2). The dose of midodrine has been found to be important in determining the

**Table 5.** Clinical outcomes of HRS-1 patients treated with octreotide plus midodrine

| Author, year         | n  | Vasoconstrictor                     | Definition of CR                      | CR  | Days to CR             | HRS recurrence | Median survival                            |
|----------------------|----|-------------------------------------|---------------------------------------|---|------------------------|----------------|--|
| Angeli, 1999 [15]    | 5  | midodrine + octreotide              | sCr $\leq$ 2 mg/dl                    | 5 (100%)  | 20 (5–20) <sup>a</sup> | 0 (0)          | 60% survived >6 months                     |
| Wong, 2004 [36]      | 14 | midodrine + octreotide              | sCr <1.5 mg/dl for 3 consecutive days | 10 (71%)  | 16 <sup>b</sup>        | 0 (0)          | not available                              |
| Esraïlian, 2007 [37] | 60 | midodrine + octreotide <sup>1</sup> | sCr $\leq$ 1.5 mg/dl                  | 40% had a sustained reduction in sCr at 30 days | 16.8 <sup>b</sup>      | not available  | 43% in treatment group had died at 30 days |
| Total                | 79 |                                     |                                       | 39/79 (49%)                                     | 17                     | 0/15           |  |

CR = Complete response; sCr = serum creatinine.

<sup>a</sup>Median (range).

<sup>b</sup>Mean.

<sup>1</sup>Without concurrent albumin.

reduction in serum creatinine. While 88% of patients that received midodrine at a dose of 15 mg three times daily had a reduction in serum creatinine to  $\leq$ 1.5 mg/dl, this reduction only occurred in 33% of those receiving  $\leq$ 12.5 mg TID [37]. With regards to the adverse events, use of midodrine and octreotide has been associated with mild side effects such as diarrhea, tingling and goosebumps which are usually self-limited. No other serious ischemic or cardiovascular complication have been observed except one case of hypertensive urgency reported which was resolved with dose reduction (table 2). Given the additional advantage of oral and subcutaneous administration, the combination of midodrine and octreotide offers an appealing alternative for the treatment of HRS-1 especially in countries where terlipressin is not available. Randomized controlled trials of octreotide and midodrine versus terlipressin are necessary.

### Clinical use of Vasoconstrictors in Hepatorenal Syndrome Type 2

The data on vasoconstrictors in the treatment of HRS type 2 (HRS-2) are scarce. Some of the studies using different vasoconstrictors have included patients with HRS-2 (tables 3, 4); however, the numbers are very small and data specific for HRS-2 cannot

be abstracted. A randomized pilot study comparing terlipressin versus noradrenaline in the treatment of HRS included 6 patients with HRS-2 randomized to noradrenaline [33]. HRS reversal occurred in 4 (67%) of them and recurred in 1 (25%), which is identical to a 67% reversal rate in HRS-2 observed with terlipressin in a randomized controlled trial of terlipressin plus albumin vs. albumin alone [30]. In this study reversal rate was higher in HRS-2 compared to HRS-1 (35%); however, recurrence also seems to be higher in HRS-2 (~90%) [38].

The combination of octreotide/midodrine, by not requiring intravenous administration, is appealing in patients with HRS-2, the majority of which are treated in an outpatient setting. A retrospective study showed that patients with HRS-1 and HRS-2 who received octreotide/midodrine and albumin had a better survival than a historical control group [39] and showed that in 26 patients with HRS-2, treatment was associated with a trend towards an improvement in GFR and a median survival greater than 12 months. In another small case-control study, 10 patients with HRS-2 that had been successfully treated with terlipressin and then with midodrine (7.5–12.5 mg TID) were compared to 10 historical responders to terlipressin that did not receive midodrine [38]. HRS recurrence was 90% in both groups and there were no differences in serum creatinine, creatinine clearance and plasma renin activity after terlipressin withdrawal.

It is clear that more studies are required to further evaluate and characterize the effect of vasoconstrictors in patients with HRS-2.

#### **Key Messages: Box 2**

- In countries where terlipressin is not available, noradrenaline infusion or the combination of oral midodrine and subcutaneous octreotide is recommended as an alternative treatment for HRS-1.
- No definite treatment recommendation can be made for HRS-2 in view of the limited data available.
- The optimal dose and duration of non-terlipressin vasoconstrictors remain to be determined.
- Vasoconstrictor therapy is not recommended in patients with certain cardiac and vascular diseases.

## **Conclusions**

Clinical studies have shown that vasoconstrictors (both splanchnic and/or systemic) are useful in reversing the hemodynamic and neurohumoral abnormalities that lead to HRS and are therefore useful in reverting HRS in a significant proportion of patients. These studies prove that vasodilatation (both splanchnic and systemic) is the major pathogenic mechanism in HRS.



The bulk of evidence for the treatment of HRS-1 supports the use of terlipressin which should be considered first-line medical therapy for HRS. Where not available, evidence points towards the use of noradrenaline since two recent small open-label randomized trials showed that noradrenaline is as effective as terlipressin. In clinical practice, at least in the United States, the combination octreotide/midodrine is preferred given its good safety profile and the possibility of administration outside an intensive care unit. However, if a MAP response is not observed with maximal doses, the patient should be transferred to an intensive care unit where a noradrenaline infusion should be initiated. With any of these vasoconstrictors, extreme caution should be exercised for the development of cardiovascular and ischemic complications and patients should be closely monitored. Although higher doses have been associated to a higher rate of HRS reversal [37], they will also be associated with a higher rate of adverse events [21]. In view of the potential associated cardiovascular and ischemic complications, vasoconstrictors are generally not recommended in patient with coronary artery disease, cardiomyopathy, cardiac arrhythmias, cardiac failure, arterial hypertension, cerebrovascular disease and peripheral vascular disease.

No firm recommendation regarding the use of vasoconstrictors can be made for HRS-2.

### Key Messages

- The main pathogenic mechanism in HRS is splanchnic and systemic vasodilatation.
- Vasoconstrictors (both splanchnic and/or systemic) ameliorate vasodilatation and reverse the abnormalities that lead to HRS.
- Terlipressin (splanchnic and systemic vasoconstrictor) is the most investigated vasoconstrictor and is the first-line pharmacological treatment in HRS.
- Alternatives to terlipressin are noradrenaline (systemic vasoconstrictor) and the combination octreotide/midodrine (systemic and splanchnic vasoconstrictors). The latter is preferred given its ease of administration but has not been compared to terlipressin.
- Albumin acts by increasing arterial volume but may also have a vasoconstrictive effect. It is always administered with a vasoconstrictor, although its need has not been assessed prospectively.
- Most of the evidence is on HRS-1. More studies are needed in HRS-2. The rate of HRS-2 reversal with vasoconstrictors appears to be higher but recurrence is also higher.

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# Terlipressin for Hepatorenal Syndrome: The US Experience

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## Abstract

Hepatorenal syndrome (HRS) is characterized by the development of renal insufficiency without morphologic evidence of renal disease in subjects with advanced liver failure. Typically, it develops in subjects with cirrhosis and ascites and may be either steadily progressive (type 1) or follow a subacute course (type 2). The use of vasoconstrictor therapy has resulted in a reversal of HRS in many of these patients. In this chapter, we review the rationale for the use of vasoconstrictor therapy for HRS and discuss the United States experience with the use of terlipressin for the treatment of type 1 HRS.

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Hepatorenal syndrome (HRS) is characterized by the development of renal insufficiency without morphologic evidence of renal disease in subjects with advanced liver failure. Typically, it develops in subjects with cirrhosis and ascites and may be either steadily progressive (type 1) or follow a subacute course (type 2) [1]. The onset of renal insufficiency in a patient with cirrhosis is an ominous finding; when the renal failure is due to HRS, it is particularly significant because it is a harbinger of death [2]. However, in recent years, the use of vasoconstrictor therapy has provided hope for such patients and HRS can be reversed in many such patients. In this chapter, we will review the rationale for the use of vasoconstrictor therapy for HRS and the US experience with the use of terlipressin, a vasopressin analog, for the treatment of type 1 HRS.

## Rationale for the Use of Terlipressin for Type 1 HRS

Progressive systemic arterial vasodilation is the principal driver of the progression of diuretic sensitive ascites to refractory ascites to HRS [3]. Arterial vasodilation is

associated with cirrhosis and worsened by bouts of infection which occur frequently in this population [4]. Arterial vasodilation causes effective hypovolemia. Initially, a hyperdynamic circulation develops to compensate for this but eventually the onset of myocardial dysfunction leads to a critical drop in forward flow which, in turn, triggers renal sodium retentive mechanisms including renal vasoconstriction [5]. In the face of effective hypovolemia, renal perfusion is maintained by autoregulatory mechanisms in the kidney. When these autoregulatory mechanisms are overwhelmed by the severity of effective hypovolemia, the GFR declines and renal failure ensues [6].

Appreciation of the central role of arterial vasodilation in the pathogenesis of HRS has led to the use of arterial vasoconstrictors for the treatment of type 1 HRS. Initial uncontrolled pilot studies using a variety of vasoconstrictors including midodrine, octreotide, norepinephrine and terlipressin appeared promising [7–9]. Of these, terlipressin, a 12 amino acid synthetic analog of vasopressin, which functions both as a pro-drug and an agonist at V1 vasopressin receptors, has been reported to reverse type 1 HRS in up to 60% of subjects in uncontrolled studies [10, 11]. Also, in a small randomized study, terlipressin reversed HRS in 5/12 subjects compared to none of the control subjects [12]. While these data suggested that terlipressin could be an effective therapy for type 1 HRS, they were not conclusive. These data provided the rationale for the performance of a phase III randomized placebo-controlled trial of terlipressin for the treatment of type 1 HRS [13]. This study was performed in 30 centers across the United States and 5 centers in Europe and represents the US experience with terlipressin for the treatment of HRS. Below, we will summarize the study design and the key findings of this study and the lesions learned from it.

## **Summary of the Study Design**

### *Study Population*

The study population were adult subjects (age >18 years) with type 1 HRS. Type 1 HRS was defined strictly according to the International Ascites Club criteria [1]. The exclusion criteria included absolute hypovolemia, intrinsic renal disease, factors that would affect renal function independent of the HRS, factors that would preclude use of terlipressin, and refusal to consent. Hypovolemia was diagnosed by clinical evaluation and responsiveness to a volume challenge with 1.5 liters of saline with or without additional albumin administration. The principal renal diseases excluded were acute tubular necrosis, glomerular diseases, interstitial nephritis and urinary obstruction. Subjects who had received aminoglycosides or nonsteroidal anti-inflammatory drugs or other known nephrotoxins were excluded. Active sepsis, as defined by fever, leukocytosis and positive blood cultures with or without focal signs of infection, could

independently affect renal function and was an exclusion criteria. Similarly, the presence of recent gastrointestinal bleeding or established multiorgan failure and expected survival of less than 7 days were exclusion criteria. The safety of terlipressin in those with severe heart failure or known coronary artery disease was not well known and these conditions were exclusion criteria as well.

### *Study Design*

This study was a prospective randomized controlled phase III clinical trial in which eligible subjects were randomized to receive either terlipressin or placebo in a 1:1 ratio stratified for the presence of alcoholic hepatitis. Following randomization, subjects received either terlipressin at a dose of 1 mg every 6 h or placebo. Terlipressin was administered by slow intravenous push in all cases. Concomitantly, fluids were administered to replace renal and insensible losses. Albumin was administered intravenously to all subjects for the first 3–5 days unless clinical evidence of volume overload was apparent. In those subjects where HRS was precipitated by infection, antibiotics were continued for up to 10–14 days. Hepatic encephalopathy was managed according to standard of care. All subjects who were transplant candidates were kept on the active transplant waiting list according to local standards of care.

The study drug was continued up to 14 days or if the subject met criteria for treatment success. Treatment success was defined by a decrease in serum creatinine to less than 1.5 mg/dl on two occasions at least 48 h apart without the need for dialysis or liver transplantation. If the serum creatinine decreased by less than 30% of baseline values by day 3, the dose was escalated to 2 mg every 6 h by slow intravenous push. Within the 14-day study period, the drug was stopped and the subject removed from the study if they received a liver transplant, dialysis or died. Also, subjects were considered to have failed therapy if the serum creatinine on day 7 was equal to or higher than values seen at baseline. Those who met the failure criteria were also censored and removed from the study. Finally, subjects who chose to withdraw from the study were taken off the study drug and followed for survival data only. Subjects who survived to the end of the active treatment period (day 14 or treatment success) were followed according to standard of care to document survival data.

### **Outcomes with Terlipressin Treatment**

In total, 56 subjects were enrolled in each arm of this trial. Of these 112 subjects, 92 were followed without a liver transplant for at least 14 days. 89% and 88% of subjects on terlipressin and placebo, respectively, received intravenous albumin infusions in the first 5 days after randomization. The two groups were comparable with respect to age, distribution of etiologies for cirrhosis and the severity of liver failure as well as

the number of cases precipitated by infection. The mean serum creatinine levels were 4 and 3.9 mg/dl in the terlipressin and placebo arms, respectively, at the time of randomization. There were, however, 6 subjects with serum creatinine levels greater than 7 mg/dl. These subjects, who had the most severe HRS, were all randomly assigned to the terlipressin treatment arm. While there were a greater number of subjects with hepatocellular cancer in those randomized to terlipressin (11 vs. 7), this did not reach significance.

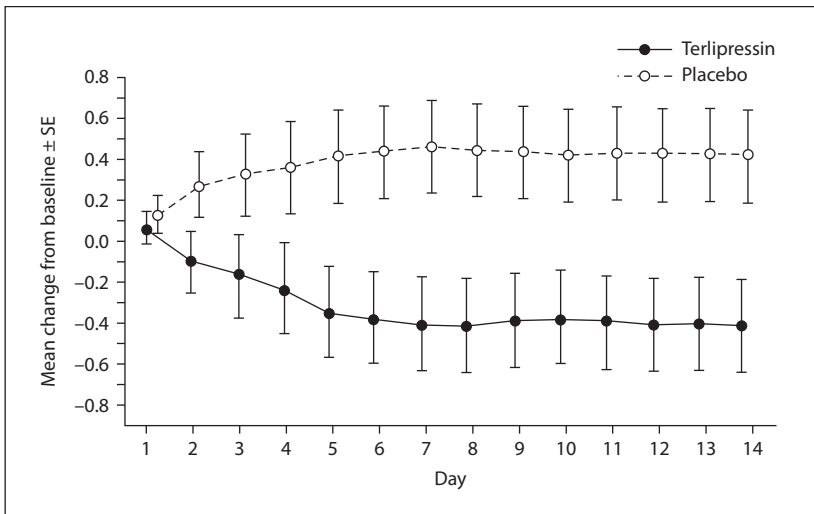
### **Primary Endpoint**

The primary endpoint was rigorously defined as a live subject on day 14 with persistent normalization of serum creatinine to values less than 1.5 mg/dl, as defined by two values obtained at least 48 h apart, without a liver transplant, dialysis or relapse of HRS following initial treatment success. By intention-to-treat analysis, 15 versus 7 subjects (27 vs. 13%) on terlipressin and placebo respectively reached the primary endpoint ( $p < 0.059$ ). It is, however, noteworthy that in one subject receiving terlipressin, the serum creatinine decreased below 1.5 mg/dl on day 14 for the first time thereby precluding them from meeting the primary endpoint even though they experienced persistent reversal of HRS. In another subject receiving terlipressin, the serum creatinine decreased to 1.5 mg/dl and then stabilized at 1.6 mg/dl thus also precluding them from meeting the primary endpoint despite persistent improvement in renal function. There was also one case each of a subject on terlipressin who relapsed after initially achieving treatment success on day 11 and another who decided to withdraw from the study despite normalization of the creatinine. The patient who relapsed was successfully retreated with the same drug and had persistent decrease of the serum creatinine below 1.5 mg/dl. Thus, although by the strict definitions used, the pre-specified primary endpoint was not met; however, this under-represents the number of subjects who responded to terlipressin.

### **Impact on Renal Function**

The serum creatinine increased compared to baseline values in those receiving placebo ( $p < 0.9$ ) while it decreased significantly in those receiving terlipressin ( $p < 0.001$ ) (fig. 1). Compared to placebo, terlipressin produced a significant improvement in serum creatinine ( $p < 0.009$ ). Terlipressin also produced a highly significant improvement in the mean MELD score compared to placebo ( $-4.1$  vs.  $-1.7$ ,  $p < 0.008$ ) by day 14.

When the traditional endpoint of HRS reversal, defined by a decrease in creatinine to values  $< 1.5$  mg/dl at anytime during treatment, terlipressin was significantly superior to placebo with 19 (34%) versus 7 (13%) subjects, respectively, meeting this



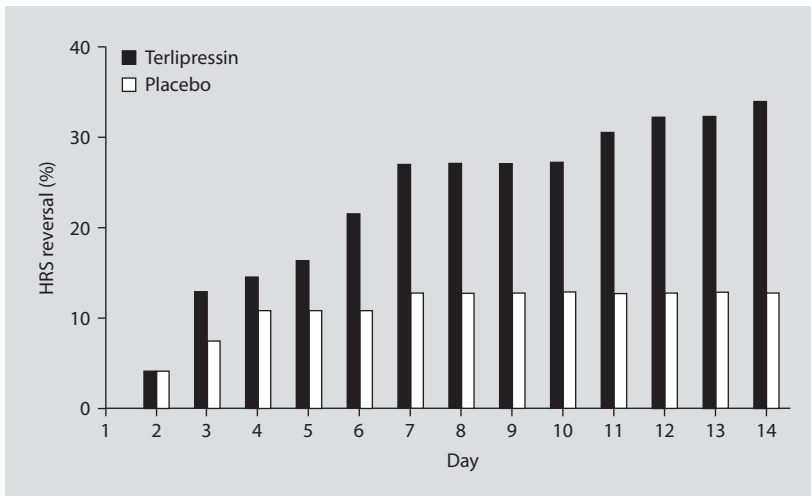
**Fig. 1.** Mean change from baseline in SCr (mg/dl) to end of treatment. Changes in serum creatinine from baseline over time during the study. Whereas the creatinine trended upward in those on placebo, there was a decline in those receiving terlipressin. These differences were highly significant ( $p < 0.009$ ). Reproduced with permission from Sanyal et al. [13].

endpoint ( $p < 0.008$ ). The relative risk of HRS reversal on terlipressin was 2.7 (95% CI 1.2–5.9) and the number needed to treat to reverse HRS in 1 patient was 4.7. This highly significant improvement in HRS can be reconciled against the failure to show a statistically significant improvement for the primary endpoint by the 4 subjects discussed above who reversed their HRS but could not be included in the analysis for the primary endpoint.

### Time Course of Changes in Renal Function

In subjects receiving placebo, renal function either continued to worsen or level off in most cases (fig. 2). In the minority of subjects who demonstrated improvement in renal function while receiving placebo and albumin, the improvement occurred invariably within the first 72 h without any additional subjects demonstrating improvement after this time period. On the other hand, two patterns of response to terlipressin were seen. While some subjects improved over the first 72 h, others did not demonstrate substantial improvement until later in the course of treatment. These latter subjects, however, had all shown an at least 30% drop in creatinine from pre-treatment values over the first 72 h. Importantly, if the creatinine did not show an at least 30% drop after 72 h of treatment, reversal of HRS was not seen in any cases.





**Fig. 2.** Reversal of type 1 HRS. Cumulative incidence of HRS reversal over time. Some subjects receiving placebo and albumin reversed their HRS within the first 72 h. However, subjects on terlipressin showed continued increase in the number of subjects with HRS reversal until days 10–11 after initiation of treatment. Reproduced with permission from Sanyal et al. [13].

### Factors Predictive of Response to Terlipressin

Several factors have been identified to be predictive of the response to terlipressin. First, in all subjects with a creatinine  $>6$  mg/dl, there was no response. This indicates that the window for therapeutic benefit is open when the renal failure is not severe and that treatment should not be delayed once a diagnosis of HRS has been made. Also, in no cases was there reversal of HRS when treatment was provided for less than 72 h. This also appears to be rational because it is difficult for a drug to provide benefit if it is not given. Unfortunately, in the context of this trial, a number of subjects in either arm were withdrawn from the trial within the first 48 h because of a perception of futility of treatment. It is therefore strongly recommended that a decision regarding futility not be made until at least 72 h of treatment have been provided unless the subject develops multiorgan failure which is invariably fatal in this population.

Since the rationale for the use of terlipressin was an increase in splanchnic vasoconstriction, it was anticipated that this would be reflected in the mean arterial pressure (MAP). The acute MAP response was similar in those receiving terlipressin who were either responders or nonresponders. However, whereas the MAP, averaged over the day, remained relatively unchanged in terlipressin responders, it increased in terlipressin nonresponders. Unfortunately, the number of subjects involved does not permit meaningful statistical analysis to identify a threshold value which is required to see a response to terlipressin.

## Impact on Survival

Using intention-to-treat analysis, there were no differences in either overall survival or transplant-free survival in the two study arms. The overall survival at 60 days was 48 and 46% for those on terlipressin and placebo, respectively, while the transplant-free survival was 38 and 34%, respectively. The principal cause of death was liver failure with progression to multi-organ failure. In contrast to the lack of improvement in survival based on intent to treat analysis, those who reversed their HRS had a markedly improved overall survival regardless of which treatment arm they were in ( $p < 0.009$  log-rank analysis). This improvement was also reflected in the transplant-free survival.

These data corroborate other studies on the outcomes of HRS [14]. Given the differences in rates of improvement in HRS between terlipressin and placebo, it is estimated that about 900 subjects would be required to show a survival difference. Conducting such a study is impractical given the logistic challenges and costs involved in a study of this magnitude in this extremely sick population. Given the superiority of terlipressin over placebo for HRS reversal, it is implied but not proven that if enough subjects are treated with terlipressin, a survival advantage would become apparent.

## Impact on Liver Transplantation

Thirty-five patients received a liver transplant. There were no dual liver/kidney transplants. The mean time to transplant was 31 days for the terlipressin group compared to 21 days for placebo ( $p > 0.05$ ). As expected, those who received a liver transplant had a significantly better survival at 6 months (the duration up to which data were collected). The benefits of transplant were present regardless of whether the subject received terlipressin or placebo. It has been suggested that pretransplant reversal of type 1 HRS improves post-transplant outcomes [15]. Given the small number of subjects to analyze, it is not possible to tell with certainty whether reversal of HRS with terlipressin or placebo prior to the transplant affected post-transplant outcomes. These data suggest that terlipressin should be considered as a bridge to transplant by increasing the likelihood of reversing HRS and thus increasing pre-transplant survival probability and the ability to get the patient to transplant. The US experience does not suggest a major impact of terlipressin on post-transplant outcomes.

## Conclusion

The principal experience with terlipressin within the US has been in the context of the pivotal trial of terlipressin for type 1 HRS. The data suggest that the drug is effective in improving renal function and reversal of HRS. However, in the context of the

trial, no effect on survival was seen. Those who responded however had a significantly improved survival. Failure to provide treatment for at least 72 h and a baseline creatinine >6 mg/dl were risk factors for failure of treatment. Liver transplantation remains the definitive treatment of type 1 HRS.

### Key Messages

#### *Rationale for the Use of Terlipressin for Type 1 HRS*

- Progressive systemic arterial vasodilation is the principal driver for the development of HRS.
- Infection can potentiate the development of HRS.
- The use of arterial vasoconstrictors for the treatment of type 1 HRS targets the pathophysiologic mechanisms.
- Terlipressin, a synthetic analog of vasopressin, has been reported to reverse type 1 HRS in up to 60% of subjects in uncontrolled studies.

#### *Terlipressin for the Treatment of Type 1 HRS*

- Compared to placebo, terlipressin produced a significant improvement in serum creatinine (SCr).
- Terlipressin produced a highly significant improvement in the mean MELD score compared to placebo.
- Terlipressin was significantly superior to placebo in the reversal of HRS (SCr <1.5 mg/dl).
- No differences in either overall survival or transplant-free survival in the two study arms.

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## Terlipressin for Hepatorenal Syndrome: Predictors of Response

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### Abstract

Hepatorenal syndrome (HRS) is a reversible cause of renal impairment that occurs in patients with cirrhosis and ascites. The best available therapy for HRS, other than liver transplantation, is the use of intravenous terlipressin (splanchnic vasoconstrictor) and intravenous albumin. Response rates in patients with type 1 HRS range between 40 and 50%. In patients that do not respond, terlipressin does not improve renal function and the available data on why these patients do not respond is limited. In this regard, it is crucial to identify non-responder patients early in order to plan alternative therapies. There are limited data regarding predictors of response to terlipressin therapy. However, the available data indicates that patients with high baseline serum bilirubin ( $\geq 10$  mg/dl) and creatinine ( $>5.6$  mg/dl) and those without a change or increase in mean arterial pressure or reduction in serum creatinine at day 3 after treatment, respond poorly to terlipressin. This article will review the data on terlipressin therapy for HRS as well as the predictors of response to terlipressin in this condition. Finally, we will discuss further areas of research that may help identify patients with HRS that will and will not respond to terlipressin.

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Hepatorenal syndrome (HRS) is a reversible cause of renal impairment that occurs in patients with cirrhosis and ascites, as well as in patients with acute liver failure or alcoholic hepatitis [1, 2]. HRS is characterized by impaired renal function, marked alterations in cardiovascular function and over-activity of the sympathetic nervous and renin-angiotensin systems that lead to severe renal vasoconstriction with significant decrease of the glomerular filtration rate (GFR) [1, 2]. There are two types of HRS. In type 1 HRS renal function deteriorates rapidly with an increase in serum creatinine (SCr) to a level  $>2.5$  mg/dl in less than 2 weeks. This type of HRS is associated with a very poor prognosis without treatment, with a median survival time of only 2 weeks. In type 2 HRS there is a steady impairment of renal function and SCr levels usually range between 1.5 and 2.5 mg/dl. Patients with type 2 HRS have a median

survival time of 6 months if they do not receive a transplant. Patients with type 2 HRS may go on to develop type 1, either due to progression of disease or triggering factors such as bacterial infections. The most important aspect of providing care to patients with HRS is assessment of candidacy for orthotopic liver transplantation. Current available therapies other than liver transplantation for HRS include the use of vasoconstrictors such as terlipressin and albumin. In this chapter we will discuss the role of terlipressin in the treatment of HRS, the predictors of response to treatment and HRS reversal.

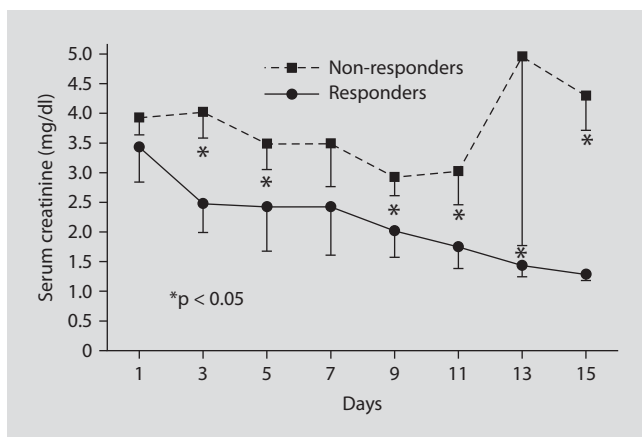
### **Terlipressin for Hepatorenal Syndrome**

Terlipressin has been successfully used in the past decade and randomized and non-randomized studies indicate that it reverses renal failure in HRS [3–13]. Most of the available information relates to patients with type 1 HRS. There is limited data on the role of terlipressin or other vasoconstrictors in type 2 HRS [10, 12]. Albumin (20–40 g/day) is concomitantly used with terlipressin in order to help improve effective arterial blood volume. In non-randomized studies, the use of terlipressin and intravenous albumin improved renal function in approximately 60–75% of patients with type 1 HRS [3–10]. However, results from recent randomized controlled studies comparing terlipressin and albumin versus albumin and placebo indicate that treatment with terlipressin and albumin is associated with HRS reversal (defined as a decrease in SCr level to  $\leq 1.5$  mg/dl) in approximately 40% of patients [11, 12]. The recommended doses of terlipressin are 1 mg/4–6 h i.v. bolus, with a dose increase up to a maximum of 2 mg/4–6 h after 2–3 days if there is no response to therapy (defined as a reduction of SCr  $>25\%$  of pretreatment values). Response to therapy is associated with a marked increase in urine volume and improvement of hyponatremia, a condition almost constantly present in these patients. The incidence of ischemic side effects during terlipressin therapy, which are usually reversible after discontinuation of treatment, is approximately 10% [11, 12].

### **Predictors of Response**

A recent systematic review and two meta-analyses indicate that terlipressin plus albumin improves renal function in 46–52% of patients with type 1 HRS [13–15]. However, in non-responder patients, terlipressin does not alter renal function and the available data on why these patients do not respond is limited. It is important to identify non-responder patients early in the management of HRS in order to plan alternative therapies. This is particularly necessary for those awaiting liver transplantation, who should be given high priority on the liver transplant list. The predictors of response were recently investigated in a study of 39 consecutive patients with cirrhosis

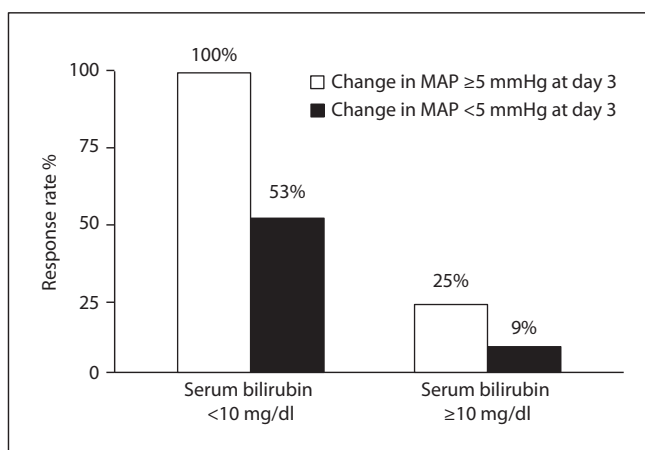
**Fig. 1.** Serum creatinine concentration (mean and SD) during treatment with terlipressin and albumin in responders and nonresponders. Reprinted with permission from Nazar et al. [16].



and type 1 HRS treated with terlipressin (dose of 0.5–1 mg/4 h as i.v. bolus for 3 days with an increase up to 2 mg/4 h in those whose values did not decrease by at least 25% of the pretreatment values) plus albumin (1 g/kg BW. during the first 24 h, followed by 40 g/day) given for a maximum of 2 weeks [16]. In the study, 18 (46%) patients responded to treatment and 21 (54%) patients did not meet the criteria of response to treatment (fig. 1). In those that responded, there was an increase in arterial pressure with marked suppression in the activity of the renin-angiotensin-aldosterone system and sympathetic nervous system. However, arterial pressure did not increase in those that did not respond to terlipressin therapy. Other variables associated with response to treatment were serum transaminases, Model for End-Stage Liver Disease (MELD) score, urine volume, leukocyte count, and serum bilirubin. Multivariate analysis showed that a serum bilirubin level that best predicted response to treatment was 10 mg/dl. Response rates in patients divided according to baseline serum bilirubin  $\geq 10$  mg/dl or  $< 10$  mg/dl were 13% (2/15) and 67% (16/24), respectively ( $p = 0.001$ ).

Although baseline arterial pressure was not a predictive factor of response, early changes of mean arterial pressure within the first days of treatment predicted response. In the study, patients with an increase in mean arterial pressure equal to or greater than 5 mm Hg at day 3 of treatment had a response rate at the end of therapy of 73% (8/11) compared with 36% (10/28) in patients with minimal increases in mean arterial pressure ( $< 5$  mm Hg) or a decrease in mean arterial pressure [16]. When this increase in arterial pressure of 5 mm Hg or more at day 3 was included in the multivariate analysis with the baseline variables, the predictive factors of response to therapy were the baseline serum bilirubin level and the increase in mean arterial pressure  $\geq 5$  mm Hg at day 3 (fig. 2). In the analysis, 1 of 4 patients (25%) responded if there was an increase in MAP  $\geq 5$  mm Hg in those with baseline serum bilirubin level  $\geq 10$  mg/dl, compared to a response in 1 of 11 (9%) in those with a change in MAP  $< 5$  mm Hg with baseline serum bilirubin level  $\geq 10$  mg/dl (fig. 2). The early reduction

**Fig. 2.** Response rate according to change in mean arterial pressure (MAP) at day 3 and its relationship to serum bilirubin at baseline. Adapted with permission from Nazar et al. [16].



in serum creatinine during treatment was also a predictor of response to therapy. Response to treatment was observed in 13 of the 17 patients (76%) in whom serum creatinine decreased by at least 0.5 mg/dl at day 3, compared with only 5 of the 22 patients (23%) in whom serum creatinine did not decrease 0.5 mg/dl or increased at day 3 compared with baseline ( $p = 0.001$ ). The value of the reduction in serum creatinine at day 3 as a predictor of response to therapy was also confirmed in a multivariate analysis [16].

Another analysis from a multicenter study investigated the baseline patient characteristics of 112 patients with type 1 HRS enrolled in a randomized, double-blind, placebo-controlled trial of terlipressin for HRS [17]. The baseline variables evaluated included treatment (terlipressin/placebo), age (>65/<65 years), gender, race (non-white/white), alcoholic hepatitis (absent/present), MELD score (per 1 point increase), Child-Pugh score, and SCr concentration. Baseline SCr concentration, baseline MELD score and treatment with terlipressin were found to be significant predictors of HRS response. Lower baseline SCr levels, lower baseline MELD scores and terlipressin therapy were found to be predictive of HRS reversal. In fact, the probability of HRS reversal decreased by 39% for each 1 mg/dl increase in SCr, while the probability for HRS reversal improved 271% with terlipressin treatment. In this analysis only patients with baseline SCr <5.6 mg/dl and receiving more than 3 days of therapy achieved HRS reversal. This indicates that less severe renal failure (i.e. lower SCr) is associated with a good probability of HRS reversal for those treated with terlipressin for more than 3 days.

The available data on predictors of response to therapy indicate that there is a clear relationship between the presence of an early increase in mean arterial pressure and the renal response to terlipressin. This shows the importance of improving systemic hemodynamics in order to achieve reversal of type 1 HRS. However, not all patients showing an early increase in arterial pressure improved renal function. On the other



hand, about 30% of patients without an early hemodynamic response had an improvement of renal function at the end of therapy, which means that terlipressin should not be stopped after day 3 if there is no improvement in arterial pressure. The predictive value of serum bilirubin level as a predictor of response cannot be explained on the basis of hemodynamic changes during therapy; however, it could be explained on the basis of advanced hepatic failure that leads to a suboptimal response to therapy. Finally, the relationship of response with Scr levels at baseline and during therapy also indicates less-severe renal impairment and an early drop in Scr levels after initiation of therapy is a good predictor of response.

Although the currently accepted treatment for HRS is terlipressin with albumin, there is a suboptimal response to therapy with over 50% of patients not responding to this treatment. It is therefore of paramount importance that we continue to investigate and search not only for prognostic factors but also adequate predictors of response before starting therapy or early afterwards. Further studies specifically looking at dosing, type of administration (bolus vs. infusion), side effects, prognostic factors and early predictors of response will help identify those patients who will be likely or unlikely to respond to therapy. These data will undoubtedly enable the treating physician to plan ahead for other treatments. Advances in pharmacological therapy for implementation in clinical practice are only accepted if they are based on the results of large randomized controlled trials. Since HRS is not an overly prevalent condition, most centers end up treating a small number of patients every year. Therefore, adequately sized randomized controlled trials are likely possible only if a significant number of centers collaborate as a consortium or a working group. Studies conducted by such groups will likely help answer many of the concerns raised above.

### **Key Messages**

- Terlipressin is the most accepted pharmacological therapy for patients with cirrhosis and type 1 hepatorenal syndrome, with response rates around 40–50%.
- The identification of those patients that will and will not respond to therapy is of key importance in planning treatment, particularly for those awaiting liver transplantation.
- Recent data indicate that patients with high baseline serum bilirubin and creatinine and those without a change in mean arterial pressure or reduction in serum creatinine at day 3 after treatment respond poorly to terlipressin.
- Further studies are needed to confirm these findings, taking into account the dose, type of administration (bolus vs. infusion) and side effects, in addition to the above-mentioned predictors of response.

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## Safety of Terlipressin for Hepatorenal Syndrome

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### Abstract

Terlipressin has affinity with vasopressin 1 and 2 receptors (V receptors), which reflects its pharmacological effects and safety profile. V1 receptor-related side effects occur when vasoconstriction is too intense and they are usually ischemic. V2 receptor-related side effects are mainly hyponatremia and, potentially, hypokalemia. No deaths due to adverse events have been reported so far in randomized clinical trials but withdrawal of terlipressin owing to adverse events was necessary in 4% of patients in the published trials. Mild adverse events occurred in about 30% of the patients. However, the number of side effects may be considerably higher in unselected patients. Before therapy is started absolute and relative contraindications should be assessed. Terlipressin is contraindicated or should be used with extreme caution in patients with severe atherosclerotic cardiovascular disease. On initiation and during therapy, patients should be monitored with ECG, blood pressure, daily electrolytes and clinically for peripheral ischemia. There is no specific antidote to terlipressin and prevention and handling of side effects include careful selection of patients, close surveillance, daily consideration of dose and duration of therapy.

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Terlipressin has proven to be effective in the treatment of the hepatorenal syndrome (HRS) and may also improve survival [1]. It is a potent drug and has a number of potential side effects, both mild and severe, which should be taken into account before and during treatment. Terlipressin has an affinity with vasopressin 1 and 2 receptors (V receptors), which mediates its pharmacological effects and reflects the safety profile. This chapter discusses the adverse events reported in trials on HRS and summarizes case reports. However, it should be borne in mind that patients selected for clinical trials may differ from the population at large.

## Pharmacokinetics and Receptor Affinity

Terlipressin is a synthetic 12-amino acid peptide (1-triglycyl-8-lysine-vasopressin) derived from the natural hormone, lysine vasopressin. 1-Triglycyl-8-lysine- vasopressin is rapidly converted to the biologically active lysine vasopressin, which reaches its peak concentration after 60–120 min. The half-life of terlipressin is about 50 min, with a metabolic clearance of 9 ml/kg/min. Terlipressin has affinity with vasopressin receptors (V receptors), especially V1. V1 receptors are mainly found on vascular smooth muscle cells in the splanchnic circulation as well as in the systemic circulation, the kidneys, aorta and heart [2]. The effects of V1 receptors are mediated by an increase in intracellular calcium from the sarcoplasmic reticulum, thereby causing vasoconstriction. Adverse events related to V1 receptors occur when vasoconstriction is too intense and are usually ischemic. V2 receptors are located on the basolateral membrane of the principal cells in collecting ducts in the kidneys and their stimulation mediates transport of water in the renal collecting ducts by forming water channels of aquaporin 2 (AQP2) in the apical plasma membrane [3]. This increases water permeability and allows the osmotically driven movement of water from the tubule lumen into the interstitium, thereby decreasing plasma osmolality [3]. V2 receptor-related adverse events are hyponatremia and possibly also hypokalemia.

## Adverse Events Reported in Trials on the Hepatorenal Syndrome

Table 1 gives a list of the adverse events reported in randomized clinical trials on HRS. No deaths were considered to be related to terlipressin in the 149 patients treated for HRS, but it was withdrawn in 6 patients (4%) because of side effects. Overall, adverse events considered to be related to terlipressin were seen in 30% of the patients, with some experiencing more than one. Two recent randomized clinical trials showed no overall difference in adverse events the between terlipressin and the placebo groups (table 1) [4, 5]. However, without reaching statistical significance, more cardiovascular adverse events were observed in the terlipressin groups versus placebo groups: 10 vs. 4 and 5 vs. 1 in the Spanish and the American studies, respectively. In a recent systematic review and meta-analyses of randomized trials on terlipressin for HRS, we looked at the number of side effects reported [1]. Three trials [4–6] reported the number of withdrawals due to adverse events (6/105 (6%) vs. 0/105; RR = 4.81; 95% CI = 0.84–27.56). The number of adverse events was reported in four trials with 117 patients in the treatment and control groups. These trials compared terlipressin given alone or in combination with albumin versus no intervention or albumin. A meta-analysis showed that the treatment group had an increased risk of cardiovascular adverse events, such as cardiac arrhythmia, myocardial infarction, suspected intestinal or peripheral ischemia, and arterial hypertension (14% vs. 0%; RR = 9.00; 95% CI = 2.14–37.85). Twenty-one percent in the treatment group and 2% in the control

**Table 1.** Adverse events of terlipressin in randomized trials on hepatorenal syndrome

| Study, year<br>(number of patients<br>in terlipressin group)        | AE: n (%)<br>Death: n (%)  | Withdrawal<br>due to AE   | Cardiac   |
|---|--|---|---|
| Sanyal, 2008 [5]<br>(n = 56)<br>number of patients<br>with AE given | related SEAs<br>terlipressin: 5 (8.9%)<br>placebo: 1 (1.8%)<br>deaths=0<br>AE:<br>up to 7 days<br>posttreatment:<br>all:<br>terlipressin: 52 (92.9%)<br>placebo: 49 (89.1%)<br>related:<br>terlipressin: 18 (32.1%)<br>placebo: 12 (21.8%) | related AEs<br>terlipressin<br>3 (5.4%)<br>nonfatal MI 1,<br>livido reticularis<br>1, cyanosis of<br>fingers 1<br>placebo: 0 (0%) | terlipressin:<br>MI 1, supraventricular<br>tachycardia 1, atrial<br>fibrillation 1<br>placebo:<br>arrhythmia: 1<br>(placebo)                                      |
| Martín-Llahí,<br>2008 [4]<br>(n = 23)<br>number of AEs<br>given     | number of AEs during<br>treatment:<br>terlipressin: 50<br>placebo: 40<br>deaths=0  | terlipressin:<br>high blood<br>pressure 1<br>placebo: 0   | terlipressin:<br>MI 1, transient<br>bradycardia 1,<br>transient, transient<br>ventricular<br>extrasystolia 1,<br>arterial<br>hypertension 1 (terli)<br>Placebo: 0 |
| Neri, 2008 [6]<br>(n = 26)  | AE 9 (17%)<br>deaths=0   | 2   | tachycardia 2 ,<br>chest pain 1   |
| Solanki,<br>2003 [7]<br>(n = 12)<br>number of<br>AEs given          | 5 (22%)<br>deaths=0  | N/A   | arrhythmia: 3<br>(occasional<br>supraventricular<br>and ventricular<br>ectopics)  |
| Alessandria,<br>2007 [8]<br>(n = 12)                                | N/A  | N/A   | N/A   |

| Pulmonary  | Ischemia and skin   | GI  | Other  |
|--|---|---|--|
| terlipressin:<br>respiratory<br>distress 2,<br>respiratory<br>acidosis 1<br>placebo: 0 | terlipressin:<br>livido reticularis 1,<br>cyanosis of fingers 1<br>placebo: 0 | N/A   | N/A  |
| terlipressin:<br>respiratory<br>failure 2,<br>placebo: 0                               | terlipressin:<br>signs of intestinal<br>ischemia 3                            | terlipressin:<br>gastrointestinal<br>bleeding 4, transient<br>abdominal pain and<br>diarrhea 4<br>placebo:<br>abdominal pain 1,<br>gastrointestinal<br>bleeding 6 | terlipressin:<br>circulatory<br>overload 7,<br>HE 16, bacterial<br>infection 9<br>other 1<br>placebo:<br>circulatory<br>overload 4, HE<br>16, bacterial<br>infection 12,<br>anemia 1 |
| bronchospasm 1   | peripheral. ischemia 1,<br>suspected abdominal<br>ischemia 1                  | abdominal pain 1,<br>diarrhea 3   | N/A  |
| N/A  | N/A   | abdominal pain<br>and diarrhea 2  | N/A  |
| N/A  | N/A   | abdominal pain and<br>diarrhea: 'most<br>patients'  | N/A  |

**Table 1.** Continued

| Study, year<br>(number of patients<br>in terlipressin group) | AE: n (%)<br>Death: n (%)                    | Withdrawal<br>due to AE | Cardiac   |
|--|--|-------------------------|---|
| Sharma,<br>2008 [9]<br>(n = 20)                              | AE 5 (25%)                                   | N/A                     | ST segment<br>depression 1  |
| Overall<br>(n = 149)   | deaths 0 (0%)<br>overall related<br>37 (30%) | 6 (4%)                  | nonfatal MI 2 (1.4%)<br>arrhythmia 10 (7%)<br>overall cardiac 14 (9%) |

AE = Adverse events; SAE = serious adverse events; N/A = not available/none mentioned;  
MI = myocardial infarction; HE = hepatic encephalopathy.

group experienced abdominal pain and diarrhea (RR = 6.82; 95% CI = 0.79–59.15). There were no differences between the treatment and the control groups in any of the remaining adverse events: hepatic encephalopathy (70%), bacterial infections (46%), circulatory overload (24%), gastrointestinal bleeding (9%), respiratory distress or acidosis (3%), chest pain (5%), and livedo reticularis (1%).

### Cardiac and Pulmonary Adverse Events

Cardiac side effects of terlipressin are fewer and less severe than those of vasopressin [10]. Table 1 list the various cardiac adverse events reported in clinical trials. The studies in the table, which cover 149 patients treated with terlipressin for HRS, describe 2 cases of nonfatal myocardial infarction (1.4%). In two case reports, myocardial infarction occurred during terlipressin treatment in patients with bleeding esophageal varices (BOV) [11, 12]. Arrhythmias are reported in 7% of the patients: 13 cases of bradycardia, 6 cases of tachycardia and 10 other or nonspecified types of arrhythmias. The literature describes only 1 case of torsades de pointes arrhythmia during terlipressin treatment and this was converted by DC shock and was not sustained [13]. Terlipressin is safer than vasopressin, which carries a rather high risk of development of malignant arrhythmia including torsades de pointes arrhythmia [14]. This is supported by an animal study, which showed that terlipressin, unlike vasopressin only affected coronary blood flow in suprathreshold levels [15]. A recent study by Wu et al. [16] investigated the relation and risk factors of myocardial injury in patients with upper gastrointestinal bleeding. A total of 155 patients, 25 of whom had BOV, had their ECG recorded and cardiac enzymes followed during the bleeding episode. Cirrhosis with more than three cardiac risk factors comprised a high-risk group for myocardial

| Pulmonary | Ischemia and skin | GI                                   | Other |
|-----------|-------------------|--------------------------------------|-------|
| N/A       | N/A               | abdominal pain and diarrhea 4        | N/A   |
| 6 (4%)    | 7 (5%)            | abdominal pain and diarrhea 24 (16%) |       |

injury. Conversely, vasopressin and terlipressin administrations were not significant predictors of myocardial injury in that study. Recently, we investigated myocardial perfusion during terlipressin therapy in patients with no history of coronary artery disease [unpubl. data]. After 2 mg of terlipressin, we performed a gated myocardial scintigraphy with single photon emission computed tomography and could not detect any change in myocardial perfusion. This supports the observations that only patients with cardiac risk factors have an increased risk of cardiac side effects. Special caution should be shown in patients with ischemic heart disease, impaired peripheral circulation, diabetes, and in obese patients. Terlipressin is contraindicated in patients with manifest cardiovascular disease or should be administered with extreme caution.

Pulmonary adverse events such as respiratory failure and bronchospasm have been described. In the randomized trials, 4% of the patients were found to have pulmonary complications (table 1). In a retrospective study, Halimi et al. [17] reported a case of bronchospasm leading to death during terlipressin therapy. Asthma and chronic obstructive pulmonary disease are relative contraindications to terlipressin therapy.

### Skin Ischemia

Peripheral ischemia with cyanosis of fingers, ischemia of the extremities, livido reticularis or cutaneous necrosis are reported in 5% of patients treated with terlipressin (table 1). The largest study on HRS reports only 2 cases of peripheral ischemia (livido reticularis and cyanosis of fingers) among the 56 patients treated with terlipressin (table 1) [5]. There are 4 case reports, comprising 7 patients who experienced skin reactions during terlipressin treatment [18–20]. Vaccaro et al. [21] report a case of HRS-1 after spontaneous bacterial peritonitis. After 10 boluses of 0.5 mg of terlipressin at



4-hour intervals, the patient developed ischemic skin complications of the abdomen, lower limbs, scrotum and penis. The second report describes a 41-year-old man who developed gangrene in his toes and necrosis at the infusion site after treatment with terlipressin for HRS [20]. Donnellan et al. [19] reported 3 cases of death during terlipressin treatment and the occurrence of ischemic skin complications. A 47-year-old man received terlipressin at a dose of 0.5 mg four times a day for treatment of HRS; 48 h later he developed bullous hemorrhagic lesions on his legs. Skin biopsy revealed the lesion to be epidermal skin necrosis. The second case was a 53-year-old woman who had extensive bruising and large exudative blistering of the skin of the abdominal wall and upper thighs after 5 days of terlipressin 0.5 mg four times a day for HRS. The third case was a 56-year-old man who after 3 days of treatment with terlipressin 1 mg four times a day developed large areas of ecchymosis and blistering of the skin of the right groin and flank. Di Micoli et al. [18] reported the case of a 65-year-old woman with HRS who developed extensive bilateral cyanosis of the breast skin on the fourth day of terlipressin treatment when the dose was increased to 9 mg/day. A recent report from Korea describes a 71-year-old man who, after 36 h of treatment with terlipressin 1 mg/6 h for BOV, developed skin blistering and ecchymosis on his upper thigh, scrotal area and trunk [22]. Recently, we studied the effects of terlipressin on transcutaneous oxygen pressure (TcPO<sub>2</sub>) [23]. The mean whole body TcPO<sub>2</sub> decreased after 2 mg of terlipressin by 34%, and was most pronounced in the lower extremities: above knee -33% (50 vs. 33 mm Hg, p = 0.01) and below knee -52% (52 vs. 26 mm Hg, p = 0.001). Levels below 30 mm Hg, which is considered critical, were found in 60% of the patients after terlipressin, compared to 0% in the placebo group [23]. However, the relation between development of TcPO<sub>2</sub> and clinical ischemic events remains to be established. During terlipressin therapy, the skin, especially on the fingers and toes, must be inspected frequently for ischemia and necroses. Contraindications with particular focus on obliterative arterial disease of the lower limbs, diabetes, and the presence of skin wounds are important, and the skin, especially at the periphery, should be inspected frequently in order to prevent ischemic lesions

### **Gastrointestinal Adverse Events**

The most common adverse events of terlipressin are abdominal cramps and diarrhea. These are reported in most terlipressin studies ranging from 2 to 23% of the patients, with a mean of 16%. They are usually mild and transient and do not seem to prompt in discontinuation of treatment. There are 3 case reports on intestinal ischemia. One describes segmental ischemic necrosis of the cecum in a patient with suspected BOV [24]. The second describes a 57-year-old man treated with terlipressin 2 mg/4 h for suspected BOV [25]. This patient developed a transient intestinal ischemia, which was verified during surgery. The third report refers to a patient who developed ischemic colitis probably due to terlipressin treatment [26].

## Hyponatremia and Hypokalemia

Terlipressin improves renal function and induces natriuresis, but decreases the excretion of solute-free water, which can induce hyponatremia and possibly hypokalemia [27, 28]. A recent study found that during 5 days of treatment with terlipressin 1 mg/4 h, serum sodium decreased from  $138 \pm 5$  to  $130 \pm 9$  mmol/l, and in the 10-day treatment group, serum sodium decreased from  $135 \pm 7$  to  $121 \pm 8$  mmol/l [29]. Hyponatremia has been reported in two trials on BOV, Escorsell's group observed 4 cases in 105 (4%) treated with terlipressin compared with none in the sclerotherapy group, and Feu and coworkers observed 5 (6%) among 80 in the terlipressin group compared with 3 of 81 treated with somatostatin. There are 2 case reports on hyponatremia during terlipressin treatment, one of which developed a tonic-clonic seizure after a decrease in serum sodium from 132 to 115 mmol/l. Among 62 patients with BOV, who were treated in our department with high-dose, short-term terlipressin 2 mg/4 h for a mean of 1.7 days (range 1–6 days) serum sodium decreased from  $136 \pm 6$  to  $130 \pm 7$  mmol/l [30]. A confounding factor could be blood transfusions. However, there was no difference in the number of transfusions given when the group with post-treatment serum sodium below 130 mmol/l was compared to that with sodium levels above 130 mmol/l (3.8 vs. 3.5,  $p = 0.83$ ). Hypokalemia is often seen in the clinic during terlipressin treatment. Possible mechanisms are the dilutional effects of antidiuresis and a V2 receptor-mediated increase in potassium excretion [31]. However, little is known about the relation to the use of terlipressin in cirrhosis and only a single report of 2 cases has described terlipressin-exacerbated hypokalemia [32].

These observations emphasize the importance of monitoring sodium and potassium levels during terlipressin treatment. Potassium can be replaced, but in the presence of HRS and ascites terlipressin may cause or worsen hyponatremia and discontinuation or a dose reduction should be considered, as saline is not an option.

## Contraindications to Terlipressin and Prevention of Side Effects

Trials with terlipressin showed the drug to be generally well tolerated, probably because significant efforts were made to separate out patients with contraindications, that is patients with cardiovascular diseases were excluded in most of the studies. More side effects are to be expected in the daily clinical setting, therefore, before initiating therapy, absolute and relative contraindications must be carefully assessed (table 2). Terlipressin is either contraindicated or should be given with extreme caution to patients with severe atherosclerotic cardiovascular disease. On initiation and during therapy, patients should be monitored with ECG, blood pressure, daily electrolytes and clinically for skin ischemia to detect adverse events at an early stage. Table 2

**Table 2.** Contraindications to terlipressin

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Coronary artery disease

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Dilated and nondilated cardiomyopathies

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Uncontrolled cardiac arrhythmias

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Obliterative arterial disease of the limbs

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Arterial hypertension

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Asthma and chronic obstructive pulmonary disease

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All mentioned contraindications are to be considered absolute if the comorbidity is severe. In less-severe cases, the contraindications are relative.

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lists some contraindications. All those mentioned are to be considered absolute if the co-morbidity is severe, and in less-severe cases the contraindications are relative. Contraindications must be carefully evaluated in each patient and the possible benefit of treatment weighed against the risk of harm.

### **Dealing with Side Effects**

There is no specific antidote to terlipressin. Prevention and handling of side effects requires careful selection of patients, close surveillance, daily consideration of dose and duration of therapy.

Close surveillance of side effects during terlipressin therapy for hepatorenal syndrome is mandatory for their early detection and management and to prevent deaths, serious adverse events and withdrawals due to adverse events. Myocardial infarctions and malignant arrhythmias are absolute contraindications to continued therapy. Less-severe side effects, such as skin cyanosis without necrosis, can usually be managed by reducing the dose and oxygen supply, or changing to continuous infusion therapy. Abdominal pain and loose stools are the most common side effects and are usually self-limiting. In patients at increased risk, i.e. patients with mild-to-moderate atherosclerotic cardiovascular disease, terlipressin treatment can be instituted at a low dose or as continuous infusion with careful titration and monitoring. Efficacy in HRS has been established in studies with a bolus infusion [1], but continuous infusion may have fewer side effects and be as effective as a bolus [33]. Continuous low-dose infusion can therefore, until further data document its noninferiority to bolus infusion, only be recommended in patients with a significantly higher risk of adverse events or as an alternative in the case of moderate adverse effects.

## Conclusions

The safety profile of terlipressin is favorable when considering clinical efficacy and the high mortality of untreated HRS. Adverse events are mostly cardiovascular and related to vasoconstriction. Mortality due to adverse events has not been reported in randomized clinical trials on HRS and terlipressin was withdrawn in 4% of patients because of adverse events. Mild adverse events related to terlipressin occur in 30% of the patients. If possible, patients should be informed about the most common side effects such as pallor, abdominal pain or cramps and loose stools. This is important if the demands for informed consent to treatment are to be met and to prepare the patient to be aware of side effects. There is no specific antidote to terlipressin. Prevention and handling of side effects include careful selection of patients, close surveillance, daily assessment of dose, and duration of therapy.

### Key Messages

- 30% of patients treated with terlipressin for the hepatorenal syndrome develop side effects.
- Prevention and management of side effects include careful selection of patients, close surveillance, daily assessment of dose, and duration of therapy.

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# Terlipressin for Hepatorenal Syndrome: Novel Strategies and Future Perspectives

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## Abstract

Type 1 hepatorenal syndrome (HRS) is a functional renal failure that often occurs in patients with cirrhosis and ascites. Type 1 HRS develops as the consequence of a severe reduction of effective circulating volume due to both extreme splanchnic arterial vasodilatation and reduction of cardiac output. Several pilot studies and two randomized control studies have shown that terlipressin plus albumin improves renal function in patients with type 1 HRS. Terlipressin plus albumin can also improve short-term survival in these patients. Terlipressin was most commonly given in intravenous boluses starting from an initial dose of 0.5–1 mg every 4 h to 3 mg every 4 h in case of nonresponse. While there is evidence that terlipressin alone may be less effective than terlipressin combined with intravenous albumin in improving renal function in patients with type 1 HRS, the best way to use terlipressin in these patients is still under evaluation. In particular, some preliminary data show that terlipressin given via continuous intravenous infusion is better tolerated than when given in intravenous boluses. Future randomized studies should confirm this difference and outline the best way to use this drug in the treatment of type 1 HRS. In any case, the available data are sufficient to state that use of terlipressin plus albumin has really changed the management of type 1 HRS in patients with advanced cirrhosis. Finally, there is some preliminary evidence suggesting that terlipressin may also be a novel therapeutic approach targeting splanchnic arterial vasodilation involved in the pathophysiology of type 2 HRS, septic shock and paracentesis-induced circulatory dysfunction, but further studies are needed in these clinical scenarios.

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## Novel Strategies

### *Terlipressin for the Treatment of Type 1 Hepatorenal Syndrome*

The administration of vasoconstrictors and albumin in patients with type 1 hepatorenal syndrome (HRS) is based on the current knowledge of the pathophysiology of this severe complication. A marked renal arterial vasoconstriction, which is the extreme renal functional abnormality that can occur in patients with cirrhosis and ascites, represents the pathophysiological basis of HRS [1]. It develops in the context of a marked

reduction of effective circulating volume, which is related to splanchnic arterial vasodilation and inadequate cardiac output [1–3] and implies an extreme overactivation of the endogenous systemic vasoconstrictors systems, namely the renin-angiotensin system, the sympathetic nervous system, and the nonosmotic release of vasopressin [1]. Splanchnic arterial vasodilation is thought to be mainly the consequence of an increased release of endogenous vasodilators due to portal hypertension and/or hepatic failure [1]. The inadequate cardiac output can be an extreme manifestation of systolic dysfunction which represents one of the components of cirrhotic cardiomyopathy [4]. Thus, the rationale of the use of vasoconstrictors in the treatment of type 1 HRS is to counteract the splanchnic arterial vasodilation in order to improve effective circulating volume and reduce portal pressure. In this way, the final aim of this therapeutic approach is to reduce severe renal arterial vasoconstriction [5].

In small pilot perspective and retrospective studies, it has been demonstrated that the prolonged use of a vasoconstrictor derived from vasopressin, ornipressin [6, 7] or terlipressin [8–19] or of an  $\alpha$ -agonist vasoconstrictor (midodrine plus octreotide or noradrenaline alone) [20–25] in association with human albumin is capable of recovering renal function in patients with type 1 HRS. These studies have shown that a vasoconstrictor plus albumin can recover renal function in 40–60% of the cases. In most cases, dilutional hyponatremia associated with HRS also improves during treatment. Recurrence of HRS after treatment withdrawal (a sharp increase in serum creatinine within few days) occurs in approximately 20% of patients, but retreatment is often effective.

Among vasoconstrictors, to this day, terlipressin is the most widely used in the treatment of type 1 HRS [8–19, 26]. Terlipressin has been used in more than 200 patients, either as an intravenous bolus starting from an initial dose of 0.5 mg every 4–6 h, or continuous intravenous infusion starting from an initial dose of 2 mg/day. In patients without response (no significant reduction of serum creatinine within 3 days), the initial dose of terlipressin was doubled. The maximal doses of terlipressin used in the treatment of type 1 HRS were 2 mg every 4–6 h by intravenous boluses, or 12 mg/day by continuous intravenous infusion. Complete reversal (defined by a decrease OD serum creatinine with a final value  $<1.5$  mg/dl) or partial reversal (defined with a decrease of serum creatinine  $>50\%$  with a final value  $\geq 1.5$  mg/dl) of type 1 HRS was observed in almost 59% of the patients [26]. In most studies, terlipressin has been used together with albumin starting with a priming dose of 1 g/kg BW followed by 20–40 g/day, monitoring central venous pressure. In two studies in which terlipressin was given also alone [13, 16], reversal of renal failure was lower than in the studies in which terlipressin was associated with albumin. The decrease in serum creatinine as a result of the administration of vasoconstrictors and albumin takes several days. Therefore, the length of treatment is usually 10–15 days. Despite the normalization of serum creatinine, GFR, when measured specifically [20], remains below the normal values in most responders to treatment. Up to now, two randomized controlled clinical trials comparing terlipressin and albumin with albumin alone



**Table 1.** Terlipressin and albumin vs. albumin in cirrhotic patients with ascites and type 1 HRS: results of two controlled trials

|          | Spanish trial (n = 45)   |         | American trial (n = 112) |                     |
|----------|--------------------------|---------|--------------------------|---------------------|
|          | terlipressin and albumin | albumin | terlipressin and albumin | placebo and albumin |
| Response | 43.5%*                   | 8.7%    | 34%#                     | 13%                 |
|          | at 3 months              |         | at 6 months              |                     |
| Survival | 27%                      | 19%     | 13%                      | 9%                  |

\*  $p < 0.025$ , #  $p < 0.01$ .

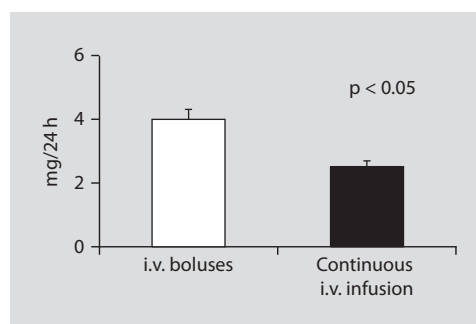
Data for the Spanish trial are from Martin-Llahi et al. [28] and those for the American trial are from Sanyal et al. [27].

have been published. While both trials confirm the effectiveness of terlipressin in recovering renal function in patients with type 1 HRS, they fail in improving survival [27, 28] (table 1). Nonetheless, a systematic review of all the randomized controlled clinical trials has more recently shown that terlipressin plus albumin may prolong 15-day survival in patients with type 1 HRS [29]. This evidence stresses the meaning of the use of terlipressin and albumin as a bridge treatment towards liver transplantation (LT). In this perspective, it has been shown that this therapeutic option increases the number of patients with type 1 HRS reaching LT [26, 30], and, after LT, reduces the need for renal replacement therapy (RRT), thus improving survival [31]. Nonetheless, it appears more and more evident that in clinical practice this treatment is often used in patients with type 1 HRS who are not candidates for LT [26, 30].

The small effect of terlipressin and albumin on survival needs some further observation. First, it should be taken into account that the prognosis in these patients is not only related to a recovery of renal function but also to the degree of liver failure. A marked impairment of liver function represents a poor predictor for the response to treatment with terlipressin and albumin [16, 17] but, overall, a poor predictor for their survival [16, 17]. In particular, a Child-Pugh score  $>11$ , predicts a poor survival [13, 16, 17]. More recently, it has been shown that a serum total bilirubin  $\geq 10$  mg/dl is also a predictor of nonresponse as is an increase in arterial pressure  $<5$  mm Hg at day 3 of treatment [32]. Thus, the severity of liver failure in patients with type 1 HRS can contribute to explain why the efficacy of terlipressin plus albumin in type 1 HRS was found to be less than 50% in patients with type 1 HRS. In addition, terlipressin is targeted on splanchnic arterial vasodilation but it has no effect on the impaired cardiac output in these patients, which has been shown to play an important role in the pathophysiology of type 1 HRS. Thus, the only effect on cardiac output of this therapeutic approach is associated with the albumin infusion. Consequently, albumin



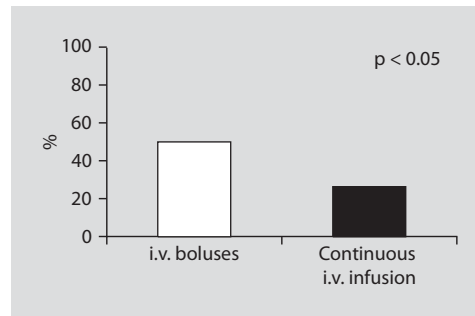
**Fig. 1.** Mean effective daily dose of terlipressin. Comparison between terlipressin given by intravenous boluses and terlipressin given as continuous intravenous infusion. Data from Angeli et al. [35].



infusion plays an important role in the effectiveness of this new therapeutic approach to type 1 HRS. It has been shown in patients with cirrhosis and spontaneous bacterial peritonitis [33] as well in an experimental model of sepsis [34] that albumin infusion can improve not only cardiac function but also the reactivity of the arterial wall to vasoconstrictors as a result of an albumin-related reduced availability of nitric oxide. These observations can lead to another main comment. The effect of terlipressin and albumin on survival in patients with cirrhosis and type 1 HRS could have been limited by comparing this approach to albumin infusion and not to a placebo. It is too easy to hypothesize today that in the future some more complex therapeutic approaches should be tested including, for example, an inotropic agent.

Finally, it should be stressed that terlipressin can induce side effects in up to 40% of patients and that severe side effects – including myocardial infarction, arrhythmia and intestinal infarction – require discontinuation of treatment in up to 10% of patients [26]. There is some preliminary evidence showing that when terlipressin has been given as a continuous intravenous infusion, it was effective at a lower dose than when it was given as an intravenous bolus, and, as a consequence, it was much better tolerated [19]. These observations have recently been confirmed by the preliminary data of a controlled clinical study in which terlipressin given as an intravenous bolus was compared to terlipressin given as a continuous intravenous infusion in the treatment of type 1 HRS in patients with cirrhosis [35]. Despite a similar efficacy, the daily effective dose of terlipressin was lower in patients who were treated with terlipressin given by continuous intravenous infusion (fig. 1). In this context it should be underlined that 10 of 14 full responders to terlipressin given as a continuous intravenous infusion responded at the initial dose of the provided schedule (2 mg/24 h). As a consequence, severe adverse effects to treatment were more frequent in patients who received terlipressin given by intravenous boluses than in those who received terlipressin via continuous intravenous infusion (fig. 2). The higher efficacy of terlipressin given as a continuous intravenous infusion as compared to terlipressin given by intravenous boluses can be explained by pharmacodynamic data on the effect of the drug on portal pressure in cirrhosis. In fact, it has been observed that continuous intravenous

**Fig. 2.** Number of patients with adverse effects. Comparison between terlipressin given by intravenous boluses and terlipressin given as continuous intravenous infusion. Data from Angeli et al. [35].



infusion of terlipressin assures a more steady profile of the lowering effect of the drug on portal pressure in patients with cirrhosis [36].

## Future Perspectives

### *Terlipressin for Treatment of Type 2 HRS*

Type 2 HRS is a more stable impairment of renal function in patients with cirrhosis and ascites. Thus, patients with type 2 HRS do not present with acute renal failure, but rather refractory ascites. As a consequence, these patients have been investigated for the treatment of refractory ascites comparing paracentesis and transjugular intrahepatic portosystemic shunt, rather than for the recovery of renal function. As a consequence, the effects of vasoconstrictors and albumin in type 2 HRS treatment have not been investigated extensively. Nevertheless, because the pathophysiology of type 2 HRS seems to be similar to that of type 1 HRS at least as far as splanchnic arterial vasodilation is concerned, terlipressin has been used in some pilot studies also in patients with type 2 HRS. Nonrandomized studies that enrolled a small number of patients with type 2 HRS have shown that the percentage of response to treatment in terms of recovered renal function does not, however, seem to be different from that observed in patients with type 1 HRS [37, 38], while survival appears longer (100% at 3 months).

### *Terlipressin for the Treatment of Post-Paracentesis Circulatory Dysfunction*

Therapeutic paracentesis is the first-line treatment of both massive and refractory ascites [39]. The mobilization of tense ascites by paracentesis is not always safe since it can provoke further deterioration of circulatory function, namely post-paracentesis circulatory dysfunction (PPCD) [39]. The incidence of PPCD, which is defined as an increase  $\geq 50\%$  of plasma renin activity 1 week after the procedure, is irreversible

and is associated with lower survival [40]. The mechanism by which paracentesis affects effective circulating volume is thought to be related to the rapid reduction of the abdominal pressure during tapping. The reduction in intra-abdominal pressure causes a similar reduction in intra-thoracic pressure with increased venous blood return to the right heart, increased cardiac output and decreased peripheral resistance. It seems that this last effect is persistent and favors a reduction of effective circulating volume [41, 42]. Thus, PPCD seems to be due to increased arterial compliance rather than to a decrease of blood volume. These observations represent the potential rationale for the use of vasoconstrictors in this clinical context. Preliminary data showed the possibility of preventing PPCD by methods other than volume expansion, e.g. administration of vasoconstrictors [43–45]. In particular, it has been shown that the administration of terlipressin (1 mg i.v. bolus just before and 1 mg i.v. bolus 8 and 16 h after paracentesis) resulted in a proportion of PPCD similar to that obtained with the albumin administration. A good tolerance to terlipressin was also noted [43]. Large randomized studies should be performed to evaluate the effects of terlipressin as well as other vasoconstrictors in patients with cirrhosis who need therapeutic paracentesis for the control of ascites.

### **Septic Shock**

Vasopressin or vasopressin analogues [46, 47] are used in patients without cirrhosis but with septic shock, since these patients have marked decreases in the plasma concentrations of endogenous vasopressin. Moreover, in patients with arterial hypotension refractory to exogenous catecholamine administration, the intravenous administration of vasopressin has been shown to be capable of increasing arterial pressure [4]. In patients with cirrhosis with septic shock, the plasma concentrations of endogenous vasopressin have not yet been measured, and the effects of vasopressin or vasopressin analogues are unknown. Nevertheless, it has been shown that in rats with cirrhosis challenged with LPS, a Gram-negative bacteria product, terlipressin administration improves LPS-induced arterial hypotension through, at least in part, an inhibitory effect on the LPS-induced over-expression and over-activity of inducible nitric oxide synthase [48]. Taking into account that a similar effect on the arterial wall was observed as a consequence of albumin infusion in an experimental model of septic shock [34], it can be hypothesized that terlipressin and albumin may also be a novel approach in the treatment of patients with cirrhosis and septic shock.

### **Conclusions**

The use of terlipressin and albumin in the treatment of type 1 HRS represents a landmark in the treatment of complications in patients with advanced cirrhosis. Its

rationale is closely related to our current knowledge of the pathophysiology of type 1 HRS. The use of terlipressin and albumin was proved to be effective in recovering renal function and it has also increased short-term survival in responders, making it possible to increase the number of patients who undergo LT. In addition, it has changed the outcome of LT in these patients since it reduced the post-LT need for RRT and increased post-LT survival. These results appear to be quite an encouraging development for an effective treatment of type 1 HRS. Nevertheless, it is important to recognize that recovery of renal function can be achieved in less than 50% of patients with type 1 HRS and that the recovery of renal function may be partial even in patients who are defined as full responders. This is not surprising, taking into account the complexity of the pathophysiology of type 1 HRS and the need to understand how to best use the existing approach, particularly how to best use terlipressin. Thus, in the future, a shift is needed in the treatment of type 1 HRS moving from 'a predominant vasoconstrictor therapy' toward a combine therapy including, for example, an inotropic agent. In addition, ongoing randomized controlled clinical trials on different modalities of the use of terlipressin in the treatment of type 1 HRS should be completed and other trials on this important issue should be planned and performed. Finally, studies should be performed in order to clarify the potential role of terlipressin and other vasoconstrictors in the treatment of type 2 HRS and septic shock as well as in the prevention of PPCD in patients with cirrhosis.

### Key Messages

- The use of terlipressin and albumin is effective in recovering renal function in patients with type 1 HRS and it also increases short-term survival in responders.
- The use of terlipressin and albumin has increased the number of patients with type 1 HRS who undergo OLT and it has changed the outcome of OLT in these patients since it has reduced the post-OLT need for RRT and increased post-OLT survival.
- At the time of writing, the best way to use terlipressin in patients with type 1 HRS was still under evaluation. Preliminary data seem to suggest that terlipressin given as continuous intravenous infusion is better tolerated and cheaper than its administration as intravenous boluses.
- There are some preliminary data suggesting that terlipressin and albumin may also be a novel therapeutic approach for type 2 HRS, septic shock and paracentesis-induced circulatory dysfunction in patients with cirrhosis.

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# Hepatorenal Syndrome and Liver Transplantation

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## Abstract

Patients with end-stage liver disease often present with hepatorenal syndrome (HRS). Prior to the introduction of liver transplant this was a fatal complication. However, these patients can now undergo successful liver transplantation. HRS, however, leads to increased mortality on the waiting list, difficult intraoperative and postoperative challenges and decreased survival compared to non-HRS patients. These include renal replacement support, fluid and electrolyte shifts, and post-operative immunosuppression. HRS patients have longer ICU stays, hospital length of stay, decreased long-term renal function and a higher incidence of end-stage renal disease following liver transplantation. Despite this, the 5-year survival can exceed 65%. There has been a recent trend in increasing liver kidney transplantation. Efforts are underway to develop criteria for patients who would benefit from combined liver kidney transplantation, and these are reviewed. Recent research has indicated that reversing HRS preoperatively may improve long-term survival postoperatively and this area of research needs to be expanded.

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Prior to the successful introduction of orthotopic liver transplantation into clinical practice, the diagnosis of hepatorenal syndrome (HRS) was a uniformly fatal one. However, early experience in patients with HRS who underwent liver transplant demonstrated recovery of renal function [1]. The normal status of kidneys in HRS was further demonstrated by the successful use of kidneys from patients dying with HRS in successful deceased donor kidney transplantation [2]. This early experience demonstrated that the presence of HRS was not a contraindication to liver transplant. Over the years, however, more experience has demonstrated that the presence of HRS and decreased renal function have profound impacts on the patient awaiting liver transplant and on the outcome following successful transplant. These can be grouped into several areas and will be the focus of this chapter. First, the impact of HRS and renal dysfunction on survival of patients awaiting liver transplantation will be explored. Secondly, support for the HRS patient on the waiting list will be discussed. Third, ramifications of HRS on the actual liver transplant procedure will be



reviewed. Finally, the impact of HRS on patient survival and long-term prognosis will be reviewed.

Patients with renal dysfunction awaiting liver transplant often suffer from HRS. The effect of HRS on morbidity and mortality of patients awaiting liver transplantation has not been specifically studied. However, the effect of renal dysfunction and dialysis has been investigated. The development of the Model for End-Stage Liver Disease (MELD) was spurred by a desire to predict mortality of patients with this condition. It has been adopted in the USA and by Eurotransplant as the primary method to determine allocation of livers for transplantation after fulminant cases in the USA and fulminant and combined cases in Eurotransplant. It is based on the premise that sicker patients benefit more from liver transplantation in terms of life years gained [3–5]. One of the primary determinants of the MELD score is serum creatinine. Furthermore, any patient on renal replacement therapy (RRT) is given a value of 4 mg/dl for creatinine, which automatically gives a MELD score of 20. Thus, renal dysfunction, whether it is from HRS or another etiology, pushes patients toward the top of the list. This has clearly been demonstrated in the USA where the percentage of patients with renal dysfunction awaiting liver transplant increased after the introduction of MELD for allocation [6]. Furthermore, there has been an increase in patients receiving combined liver kidney transplantation during the MELD era. Although there has been an increase in transplantation in these patients, there is no evidence that the overall survival of patients after liver transplantation in the USA has been compromised [6]. However, patients with HRS and those requiring RRT do have a higher mortality on the waiting list, are more difficult to care for, and have worse outcomes.

Patients suffering from cirrhosis with renal dysfunction and those on dialysis have a higher mortality. This was demonstrated by Fraley et al. [7] in 1998 when they examined the mortality in patients referred for liver transplantation who had acute renal failure. Most of these patients were labeled as HRS. In the 186 patients who were not selected for liver transplant, 66 had acute renal failure requiring RRT. The ones receiving hemodialysis (HD) had a mortality of 64% while those receiving continuous renal replacement therapy (CRRT) had a mortality of 98%. The mortality of these patients when they are awaiting liver transplant has been examined by two consensus conferences in the USA [8, 9]. Both of these conferences examined the waiting list mortality and found it to be elevated compared to patients without renal dysfunction. The one-year mortality of patients on the waiting list with a serum creatinine >1.5 mg/dl was about 30%, compared to 10% in patients with serum creatinine less than that. If the patients had a serum creatinine >2 mg/dl or required RRT, the 1-year mortality on the waiting list rose to 40–50%. Furthermore, a study by Alessandria et al. [10] reported that patients with HRS may be disadvantaged by use of the MELD syndrome. Their analysis of HRS patients compared to matched controls indicated that HRS patients had worse outcomes for any given MELD score. They suggested that HRS patients should have a different allocation formula.



Caring for these patients is difficult and when they require RRT providing it and choosing the right type is sometimes problematical. Patients with advanced renal failure suffer from hypotension, coagulopathy, and encephalopathy which make it difficult to deliver effective RRT. There is no consensus or evidence on the best method to deliver RRT. CRRT appears to be better tolerated than intermittent HD because of cardiovascular stability and gradual corrections of hyponatremia. Furthermore, intracranial pressure is better controlled with CRRT and most clinicians prefer this method for the comatose liver failure patient requiring RRT [11, 12]. Despite this preference there are no convincing studies that have demonstrated a superiority of CRRT to HD. In fact, most studies demonstrate that patients treated with CRRT may fare worse than others. However, none of these studies were randomized and suffer from comparing different populations of patients. Witzke et al. [13] studied 30 HRS patients waiting for liver transplant. In this study, patients were placed on CRRT if they were mechanically ventilated, and on HD if they were not. The 30-day survival was 53% for the HD patients and 0% for the CRRT patients. A more recent study by Wong et al. [14] examined the outcome of 102 patients with cirrhosis and acute renal failure requiring RRT. HRS was the diagnosis in 48% of the patients. Mortality in this study was 78% for CRRT and 50% for HD. Thus, the presence of HRS or renal dysfunction in patients with cirrhosis leads to increased mortality whether or not the patient is waiting for liver transplantation. Its presence in the liver transplant candidate increases mortality on the waiting list, and conventional therapies may support patients until they receive a liver transplant but there continues to be excessive mortality. Differences in survival comparing HD to CRRT most likely represent the severity of the underlying liver disease and not the modality of RRT. Although one can control the uremia of these patients, the underlying pathophysiology or the ability to survive to liver transplant determines survival.

Once a patient survives to receive a liver transplant a new set of problems arises. Early experience demonstrated that clamping of the inferior vena cava and the portal vein prior to removing the diseased liver resulted in a 20–30% fall in cardiac output. Furthermore, engorgement of the vascular bed leads to hyperkalemia and acidosis once circulation is restored. Use of venovenous bypass prevents most of these changes and preserves adequate renal hemodynamics during liver transplantation [15, 16]. The introduction of the piggyback technique of liver transplant allowed side clamping of the inferior vena cava which allows blood from the lower extremities to return to the heart. This leads to minimal changes in cardiac output and better stability during the procedure. However, the portal vein is still clamped and the release of the portal vein clamps at the end of the anastomosis leads to release of a large amount of desaturated blood containing high concentrations of potassium and lactic acid into the circulation [17]. In addition, large volumes of blood products may be utilized during the procedure. Patients with good renal function can tolerate these changes but the patient with HRS or decreased renal function may not. Intraoperative concerns in the patient with renal dysfunction were recently reviewed at an international consensus conference [18].

These issues have prompted clinicians to consider intraoperative RRT to deal with these issues. Although there appear to be good reasons to consider the use of CRRT in this situation, there is a general lack of data. A few case reports were published in the 1990s [19–21]. Recently, Townsend et al. [22] reviewed their experience in utilizing intraoperative CRRT during liver transplantation. They utilized CRRT in 6.4% of 636 liver transplant recipients. Common indications for CRRT included patients already receiving RRT (63.4%), dysnatremia (22%), lactic acidosis (17%), and hyperkalemia (12.1%). There were no complications during the procedure and the 1-year survival was 75%. All patients who survived were dialysis independent at 1 year. We follow a similar approach in Florida. Patients with HRS or renal dysfunction are considered for intraoperative CRRT if they are currently on RRT, have oliguria with ascites, hyperkalemia, or serum creatinine >1.6 mg/dl. We are currently analyzing our experience in over 90 patients. It should be pointed out that the 1-year survival following liver transplant in patients with serum creatinine at the time of transplant >2 mg/dl or those on RRT reported from the United Network for Organ Sharing (UNOS) data is about 75% [6]. Thus, the actual role of intraoperative RRT in liver transplantation is yet to be decided.

Renal function prior to liver transplantation in patients with and without HRS is an independent predictor of both short- and long-term patient and graft survival [6, 23, 24]. The group from Baylor Dallas investigated the outcome of liver transplantation in patients with HRS, compared to non-HRS, over a decade [25–27]. These studies demonstrated the clinical behavior of HRS patients after liver transplant. Compared to non-HRS patients, patients with HRS are more likely to be in hospital or in the ICU pretransplant (91 vs. 29%), more likely to require pre- and post-transplant dialysis, require longer ICU stays and have a longer length of stay in the hospital. Renal function recovered in most cases but dialysis was necessary in 33.8% of the HRS patients in the first six weeks after transplant [27]. The measured GFR in HRS patients was significantly lower posttransplant than in non-HRS patients who underwent liver transplant (HRS patients  $44 \pm 21$ ,  $39 \pm 17$  and  $45 \pm 12$  ml/min compared to  $59 \pm 25$ ,  $59 \pm 26$ , and  $57 \pm 31$  ml/min in the non-HRS patients at 1, 2, and 4 years,  $p < 0.03$ ) [26]. Although HRS patients can safely undergo liver transplant, their long-term survival at 3 and 5 years is significantly less than that observed in patients without HRS (table 1). In the Baylor experience, the 3- and 5-year patient survival was 60% and 60% in the HRS patients compared to 71% and 68% in the non-HRS patients [26]. By the end of the 1990s, the 5-year survival in HRS patients who received a liver only was 67.1% at Baylor compared to 70.1% in patients undergoing liver transplant alone who had a preoperative creatinine <2 mg/dl [27]. The lower GFR at time of transplantation and the worse outcome led to a higher incidence of end-stage renal disease (ESRD) in HRS patients. In the Baylor series, patients were followed over a 10-year period. Of the 720 patients without HRS who underwent transplantation, 4.4% had progressed to ESRD by 10 years, compared to 13/114 patients (11.4%) of the HRS patients [28]. Although not specifically looking

**Table 1.** Comparison of patient and graft survival in HRS and non-HRS patients

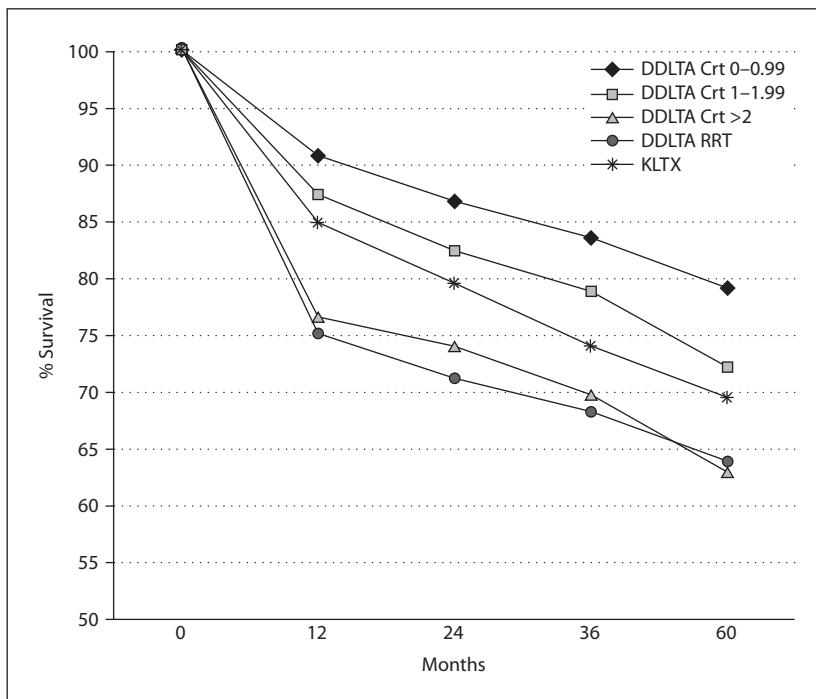
|          | HRS (n = 59)       |                      | Non-HRS (n = 513) |           |
|----------|--------------------|----------------------|-------------------|-----------|
|          | graft <sup>a</sup> | patient <sup>b</sup> | graft             | patient   |
| 3 months | 77%                | 83%                  | 86%               | 90%       |
| 1 year   | 62%                | 71% (38)             | 75%               | 83% (352) |
| 2 years  | 56%                | 65% (30)             | 69%               | 76% (264) |
| 3 years  | 51%                | 60% (25)             | 66%               | 73% (190) |
| 4 years  | 51%                | 60% (15)             | 64%               | 71% (136) |
| 5 years  | 51%                | 60% (8)              | 61%               | 68% (78)  |

Figures in parentheses are numbers of patients.

<sup>a</sup>  $p < 0.02$  (Wilcoxon) HRS vs. non-HRS; <sup>b</sup>  $p < 0.03$  (Wilcoxon) HRS vs. non-HRS.

Adapted with permission from Gonwa et al. [26].

at HRS patients, a more recent study examined long-term outcome in patients with significant pre-transplant renal dysfunction (defined as a serum creatinine  $>1.5$  mg/dl for at least 2 weeks prior to transplant) [24]. In this study, only 8/60 (13%) had an eGFR  $<20$  ml/min after a median duration of 36 months, and 6 required institution of hemodialysis. Recently published data would seem to indicate that the outcome of HRS patients following liver transplant has not improved despite newer immunosuppressive strategies including induction therapy. A recent study from Pittsburgh estimated the posttransplant reversal of HRS to be only 58% [29]. Part of the explanation may be that waiting time for transplants has increased over the last 10 years. The length of time that renal insufficiency exists prior to transplantation may impact the recovery of renal function posttransplantation [24]. An examination of the UNOS database compared survival after liver transplant in groups with different levels of renal function [6]. In this study, there were over 3,000 patients who received a liver transplant alone who had a pre-transplantation creatinine  $>2$  mg/dl or were on pretransplantation RRT. The 3- and 5-year survival in these groups were 70 and 64%, compared to 84 and 79% in patients who had a preoperative creatinine of  $<1$  mg/dl (fig. 1). Although they were not classified as HRS, the patients with HRS would be expected to be in these groups, indicating that pre-operative renal dysfunction continues to be an indicator of worse outcome following liver transplantation. The fact that the outcomes have not improved over the last 10 years indicates the need for further research on the best way to approach these patients. One area of interest has been the use of induction therapy in patients with pre-operative renal dysfunction. The earlier studies from Dallas all utilized the monoclonal antibody OKT3 for induction in these patients. More recent studies have examined the



**Fig. 1.** Survival after liver transplant in the USA, stratified according to renal function at the time of transplant. DDLTA = Deceased donor liver transplant alone; KLTX = combined kidney liver transplant; Cr = serum creatinine (mg/dl); RRT = renal replacement therapy. Reprinted with permission from Gonwa et al. [6].

use of monoclonal antibodies against IL-2r (basiliximab and daclizumab) as well as polyclonal induction with antithymocyte globulin to protect renal function in these patients prior to exposure to Calcineurin agents. These were reviewed at a recent consensus conference. Although these studies are small and nonrandomized, many clinicians recommend their use [18].

As noted above, the introduction of the MELD system and the general increase in waiting time has increased the number of patients with renal dysfunction who present for transplant [6]. This has led to a marked increase in combined liver kidney transplants [6, 8, 9]. This trend has prompted at least three consensus conferences to address the issue of who should be listed for combined liver kidney transplantation [8, 9, 18]. It has prompted UNOS to draft policy in an attempt to determine who should be listed for a combined transplant (table 2). These recommendations were based on identifying patients not likely to recover renal function following liver transplant. Clearly those with ESRD and end-stage liver disease and those with metabolic causes (oxaluria) are not controversial (A1 and C1 in table 2). Patients with decreased GFR (<30) who have signs of fixed renal disease (with proteinuria, for example) constitute category A2 in table 2. There is also some evidence that

**Table 2.** Proposed criteria for placing a patient on the list for a combined kidney liver transplant

| Category |                               |    | Dialysis required? | Duration | Documentation requirement   |
|----------|-------------------------------|----|--------------------|----------|---|
| A        | Chronic renal failure         | A1 | yes                | none     | documentation of the date of initiation of dialysis and the cause of ESRD   |
|          |                               | A2 | no                 | none     | GFR $\leq$ 30 ml/min by MDRD6 or direct measurement (iothalamate or iohexol) and proteinuria (>3 g protein/day with 24-hour protein measurement or urine protein/creatinine ratio >3.0) |
| B        | Sustained acute renal failure | B1 | yes                | >6 weeks | documentation of dialysis at least twice a week   |
|          |                               | B2 | no                 | >6 weeks | GFR $\leq$ 5 ml/min by MDRD6, iothalamate, or iohexol (test results reported every 7 days)  |
|          |                               | B3 | yes                | >6 weeks | combination of B1 and B2 documentation for at least 6 weeks   |
| C        | Metabolic disease             | C1 | no                 | none     | documentation from a nephrologist specifying the reason for kidney transplant   |

Adapted from the United Network for Organ Sharing.

performing renal biopsy on these patients will help identify those patients who would benefit from combined transplant [30, 31]. The growing evidence that length of time on dialysis influences renal recovery generates the criteria in category B [8, 9, 24]. Hepatorenal syndrome is after all a vasoconstrictive disease of the renal vasculature. It is not hard to imagine that prolonged vasospasm will lead to ischemia and fibrosis in the HRS kidney. These proposed criteria constitute a synthesis of the consensus conferences and will serve to better define when a combined liver kidney transplant should be done.

Despite all the problems outlined above, liver transplantation remains the only 'cure' for HRS. Future directions of research should be focused on reversing HRS pretransplantation. An intriguing study examined the effects of vasopressin analogue treatment of patients with HRS prior to transplant on the outcome of these

patients. Although a small series, 9 patients who responded to therapy underwent transplantation. They had a 3-year patient survival of 100% compared to 83% in 27 matched controls without HRS who underwent transplantation [32]. This suggests that correcting the underlying pathophysiology of HRS rather than just treating the symptoms prior to transplantation may improve outcome. As seen in table 1 most of the excessive mortality in these patients following liver transplant occurs in the first year. Therefore, future research should be directed at improving survival during this time period. This would include reversing HRS pretransplantation, investigating better support systems including intra-operative RRT for the treatment of these patients, and further studies on appropriate immunosuppression.

### Key Messages

- Patients with HRS have increased mortality on the waiting list and worse long-term survival.
- Patients with HRS have worse long-term renal function and higher incidence of ESRD.
- Patient with HRS can achieve 5-year survival of 65% following liver transplantation.
- Future research should be directed at reversing HRS prior to transplantation.
- The excessive post-transplantation mortality is in the first year, and better methods of immunosuppressive therapy and postoperative support are needed.
- Criteria for selection of patients for combined liver kidney transplant are being developed.

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## Author Index

- Angeli, P. 189  
Appenrodt, B. 1, 142  
Arroyo, V. 122
- Bajaj, J.S. 23  
Bernardi, M. 11
- Cárdenas, A. 172  
Cazzaniga, M. 52
- Davies, N.A. 40
- Fernandez, J. 122
- Garcia, R. 40  
Garcia-Tsao, G. 65, 149  
Gerbes, A.L. VII  
Ginès, P. 172  
Gonwa, T.A. 198  
Gustot, T. 112
- Heuman, D.M. 83
- Jalan, R. 40
- Krag, A. 102, 178
- Møller, S. 102, 178  
Moreau, R. 112  
Musana, A.K. 163
- Proven, A. 40
- Salerno, F. 52  
Sanyal, A.J. 23, 163  
Sauerbruch, T. 142  
Shaw, J. 23
- Terg, R.A. 32
- Wiest, R. 65, 130  
Wong, F. 91
- Yeo, C.-M. 149

# Subject Index

- Acute kidney injury (AKI)
  - causes 113, 114
  - definition 113, 127, 128
  - diagnosis 114, 115
  - management 117, 118
  - prevention 118, 119
- AKI, *see* Acute kidney injury
- Albumin
  - comparison with other plasma volume expanders 34–37
  - dysfunction in liver disease 48, 49
  - functions 40
  - indications for infusion
    - hepatorenal syndrome 153, 154, 191
    - liver disease 42–46
    - non-liver disease 46–48
  - levels and mortality prediction 47, 48
  - paracentesis-induced circulatory dysfunction prevention 42, 45, 46
  - serum ascites albumin gradient for ascites diagnosis 5, 6, 27
  - structure 40
- Aldosterone antagonists
  - amiloride 15
  - canrenoate 14, 15
  - decompensating cirrhosis management 17
  - dosing 15
  - mechanism of action 12, 13
  - pharmacology 15
  - safety 15
  - spironolactone 12, 14, 15
  - triamterine 15
- Amiloride, ascites management 15
- Amylase, ascites fluid analysis 7
- ANP, *see* Atrial natriuretic peptide
- Ascites
  - cirrhosis association 91, 92
  - diuretic therapy, *see* Diuretics; Vaptan diuretics
  - etiology 1, 2, 7, 8
  - evaluation
    - fluid analysis
      - amylase 7
      - appearance 4
      - bacterial DNA 8
      - cell count 4, 5, 27, 28
      - cholesterol 6
      - cytology 5
      - glucose 7
      - lactate dehydrogenase 6
      - lactoferrin 8
      - protein 5
      - serum ascites albumin gradient 5, 6, 27
      - tumor markers 7
    - history 3
    - imaging 3
    - paracentesis, *see* Paracentesis
    - physical examination 3
  - grading 23, 24
  - refractory ascites 19, 20, 92
  - transjugular intrahepatic portosystemic shunt, *see* Transjugular intrahepatic portosystemic shunt
- Atrial natriuretic peptide (ANP), cirrhosis levels 105
- Bacterial translocation, hepatorenal syndrome 134–136
- Burn patients, albumin levels and mortality 47, 48
- Canrenoate, ascites management 14, 15

- Cardiac surgery, albumin levels and mortality 47
- Cardiorenal syndrome, cirrhosis 106–110
- Cholesterol, ascites fluid analysis 6
- Chronic kidney disease (CKD)
  - acute-on-chronic kidney injury 116, 117
  - causes 116
  - definition 115, 116
  - diagnosis 116
  - management 119
- Cirrhosis
  - ascites association 91, 92
  - cardiac dysfunction 105, 106
  - cardiorenal axis 106–109
  - decompensating cirrhosis management
    - with diuretics
      - aldosterone antagonists 17
      - negative fluid balance extent 17
      - refractory ascites 19, 20
      - sequential versus combined
        - treatment 17, 18
      - side effects 18–20
    - hepatic decompensation and hemodynamic derangements 103–105
    - hyponatremia, *see* Hyponatremia, cirrhosis
    - renal failure 105
    - spontaneous bacterial peritonitis, *see* Spontaneous bacterial peritonitis
  - CKD, *see* Chronic kidney disease
  - Computed tomography (CT), ascites
    - diagnosis 3
  - CT, *see* Computed tomography
- Dextran 40, plasma volume expansion for paracentesis 34, 35
- Dextran 70, plasma volume expansion for paracentesis 34, 35
- Diuretics, *see also* Vaptan diuretics
  - aldosterone antagonists 12–15
  - amiloride 15
  - decompensating cirrhosis management
    - aldosterone antagonists 17
    - negative fluid balance extent 17
    - refractory ascites 19, 20
    - sequential versus combined
      - treatment 17, 18
    - side effects 18–20
  - loop diuretics 16, 17
  - triamterine 15
- Ethacrynic acid, ototoxicity 16
- Furosemide, dosing 16
- Glucose, ascites fluid analysis 7
- Hemaccel, plasma volume expansion for paracentesis 34, 35
- Hepatic encephalopathy, prediction with transjugular intrahepatic portosystemic shunt 61
- Hepatorenal syndrome (HRS)
  - acute kidney injury 113–115, 117–119
  - albumin infusion 42, 44, 153, 154, 191
  - cardiac dysfunction in pathogenesis 102
  - chronic kidney disease 115–117, 119
  - classification 172, 173
  - combined liver and kidney transplantation 119, 120
  - concept 122, 123
  - definition 126, 127, 142
  - diagnosis
    - caveats 124, 126
    - criteria 125–127
  - epidemiology in cirrhosis 149, 150
  - infection, *see also* Spontaneous bacterial peritonitis
    - assessment 136
    - bacterial translocation 134–136
    - epidemiology and prognosis 130–132
    - hemodynamics and cardiac function 132, 133
    - prophylaxis 137
    - risk factors for renal dysfunction 131
    - site of infection and renal dysfunction 131, 132
    - spontaneous bacterial peritonitis versus other infections in precipitation 133
    - treatment 136, 137
  - liver transplantation
    - combination liver and kidney transplantation 203, 204
    - intraoperative renal replacement therapy 201
    - morbidity and mortality of waiting list patients 199
    - renal function outcomes 201–203
    - renal replacement therapy for waiting list patients 200
    - survival 204, 205
    - technique 200

- transjugular intrahepatic portosystemic shunt, *see* Transjugular intrahepatic portosystemic shunt
- types 123, 124
- vasoconstrictor therapy, *see also* Terlipressin
  - drug types 150–152
  - HRS-1 154–158
  - HRS-2 158, 159
  - rationale 150–153
- HRS, *see* Hepatorenal syndrome
- Hypokalemia, terlipressin induction 185
- Hyponatremia, cirrhosis
  - chronic disease 87, 88
  - pathophysiology 83, 84
  - prognostic significance 84–86
  - terlipressin induction 185
  - treatment 86, 87, 93, 96, 97
- Kidney transplantation, combined liver and kidney transplantation 119, 120, 203, 204
- Lactate dehydrogenase (LDH), ascites fluid analysis 6
- Lactoferrin, ascites fluid analysis 8
- Large volume paracentesis, *see* Paracentesis
- LDH, *see* Lactate dehydrogenase
- Liver transplantation
  - combined liver and kidney transplantation 119, 120, 203, 204
  - hepatorenal syndrome
    - combination liver and kidney transplantation 203, 204
    - intraoperative renal replacement therapy 201
    - morbidity and mortality of waiting list patients 199
    - renal function outcomes 201–203
    - renal replacement therapy for waiting list patients 200
    - survival 204, 205
    - technique 200
    - terlipressin effects on outcome 169
  - hyponatremia prognostic significance 84–86
- Lixivaptin, hyponatremia management in cirrhosis 96
- M0002, ascites management in cirrhosis 98
- MELD, *see* Model for End Stage Liver Disease
- Midodrine
  - hepatorenal syndrome management 151, 152, 156–159
  - vasoconstriction for paracentesis 37
- Model for End Stage Liver Disease (MELD) 85, 112
- Noradrenaline, hepatorenal syndrome management 154–157
- Octreotide, hepatorenal syndrome management 151, 152, 157–159
- Ornipressin, hepatorenal syndrome management 150, 151
- Paracentesis
  - ascites diagnosis 3–7
  - complications 28–30
  - contraindications 24, 25
  - indications 24
  - large volume paracentesis
    - overview 26, 27, 32, 33
    - plasma volume expansion
      - albumin comparison with other expanders 34–37
      - dextran 40 34, 35
      - dextran 70 34, 35
      - hemaccel 34, 35
      - rationale 33, 34
    - vasoconstrictors
      - midodrine 37
      - terlipressin 37
  - paracentesis-induced circulatory dysfunction 33, 35–37, 42, 45, 46, 193, 194
  - techniques 25, 26
- Refractory ascites
  - definition and diagnosis 19, 20
  - management 92
- SAAG, *see* Serum ascites albumin gradient
- Satavaptan
  - ascites management in cirrhosis 97, 98
  - hyponatremia management in cirrhosis 88, 96, 97
- SBP, *see* Spontaneous bacterial peritonitis
- Septic shock, terlipressin management 194
- Serum ascites albumin gradient (SAAG),
  - ascites diagnosis 5, 6, 27
- Spironolactone, ascites management 12, 14, 15
- Spontaneous bacterial peritonitis (SBP)
  - albumin infusion 42, 43
  - ascites fluid analysis 5, 6, 8
  - diagnosis 65, 66

- Spontaneous bacterial peritonitis (SBP)
  - (continued)
  - epidemiology 66
  - hepatorenal syndrome
    - assessment 136
    - bacterial translocation 134–136
    - epidemiology and prognosis 130–132
    - hemodynamics and cardiac
      - function 132, 133
    - prophylaxis 137
    - risk factors for renal dysfunction 131
    - site of infection and renal
      - dysfunction 131, 132
    - spontaneous bacterial peritonitis versus
      - other infections in precipitation 133
    - treatment 136, 137
  - pathogenesis and risk factors 66, 67
  - prognostic factors 66
  - prophylaxis
    - antibiotics 68–73
    - prospects 69, 74
  - treatment
    - antibiotics 74–76
    - prospects 75, 77
  - urinary reagent strips in diagnosis 8
- Stroke, albumin levels and mortality 47
- Terlipressin
  - adverse events
    - cardiac 182, 183
    - gastrointestinal 184, 185
    - hyponatremia and hypokalemia 185
    - management 186, 187
    - pulmonary 182, 183
    - skin ischemia 183, 184
    - types in hepatorenal syndrome
      - trials 179–182, 192
  - contraindications 185, 186
  - hepatorenal syndrome management
    - dosing 173
    - HRS-1 154–158, 189–193
    - HRS-2 159, 193
    - multicenter trial
      - liver transplantation outcomes 169
      - primary endpoint 166
      - renal function impact and time
        - course 166, 167
      - response prediction 168
      - study design 164, 165
      - survival outcomes 169
    - novel strategies 187–193
    - rationale 163, 164, 170
    - response prediction 173–176
    - paracentesis applications 37, 193, 194
    - pharmacokinetics and receptor affinity 179
    - septic shock management 194
  - TIPS, *see* Transjugular intrahepatic
    - portosystemic shunt
  - Tolvaptan
    - ascites management in cirrhosis 98
    - hyponatremia management in cirrhosis 87, 97
  - Transjugular intrahepatic portosystemic shunt (TIPS)
    - ascites management 53–55
    - complications 92
    - efficacy predictors 60
    - hepatic encephalopathy prediction 61
    - hepatorenal syndrome management
      - outcomes 144–146
      - overview 142
      - rationale 143
    - historical perspective 52, 53
    - indications and contraindications 54, 61, 62, 146
    - large volume paracentesis comparison 27
    - quality of life 60, 61
    - survival prediction 55–60
  - Triamterine, ascites management 15
  - Ultrasonography, ascites diagnosis 3
  - Vaptan diuretics
    - ascites management in cirrhosis 97, 98
    - hyponatremia management in cirrhosis 87, 88, 93, 96, 97
    - mechanism of action 93, 94
    - prospects 99
    - quality of life outcomes 98
    - safety 98, 99
    - types 95, 96
  - Vasopressin, hepatorenal syndrome
    - management 156