

Porous Carbons – Hyperbranched Polymers – Polymer Solvation

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Editors

Porous Carbons – Hyperbranched Polymers – Polymer Solvation

With contributions by

S. Banerjee · A. Bazargan · A. Ghosh · C. W. Hui · G. McKay ·
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ISSN 0065-3195

ISBN 978-3-319-13616-5

DOI 10.1007/978-3-319-13617-2

Springer Cham Heidelberg New York Dordrecht London

ISSN 1436-5030 (electronic)

ISBN 978-3-319-13617-2 (eBook)

Library of Congress Control Number: 2014956549

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The present volume of *Advances in Polymer Science* is a collection of substantial review articles on interesting and valuable subjects from different areas of Polymer Science. The volume was not originally planned as a topical volume. The articles were invited and reviewed by the Series Editors of *Advances in Polymer Science* on an independent basis. The collected articles were put together for publication by the publisher.

Publishing Editor, Springer

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Porous Carbons from Plastic Waste

Alireza Bazargan, Chi Wai Hui, and Gordon McKay

Abstract Thermoplastic polymers (such as polypropylene, polyethylene, polyvinyl chloride, polystyrene, etc.) are major constituents of municipal solid waste. Millions of tons of plastic waste are discarded each year, most of which is either incinerated or dumped in landfills. As an alternative, methods using these wastes as feeds for the production of value-added products such as fuels, carbon nanotubes, and porous carbons have been proposed by various researchers. In recent years there has been considerable research in the area of activated carbon production from plastic wastes and products with high surface areas and pore volumes have been produced. However, no literature survey has yet summarized the findings. Thus, herein, the studies pertaining to the production of porous carbon (such as activated carbon) from plastic wastes are reviewed for the first time. This review is organized on the basis of the type of plastic polymer used as the precursor. The first part covers various thermoplastics, whereas the second focuses more deeply on poly(ethylene terephthalate) (PET). This is because the majority of research in this area has used PET. The low carbon yield may make the production of porous carbons from plastic waste impractical. Hence, the authors suggest an alternative integrated approach for future studies so that porous carbons can be produced as a byproduct during the conversion of plastics to gaseous and liquid products.

Keywords Activation methods · Plastic wastes · Polymer pyrolysis · Porous carbon

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Abbreviations

AC	Activated carbon
HDPE	High density polyethylene
LDPE	Low density polyethylene
PE	Polyethylene
PET	Poly(ethylene terephthalate)
PP	Polypropylene
PS	Polystyrene
PVC	Polyvinyl chloride

1 Introduction

Plastic polymers form a considerable portion of the volume of waste produced across the world. Although around 5–15% by weight of municipal solid waste is composed of plastics, this waste stream corresponds to 20–30% of the volume [1]. Around 1.45 million people work in Europe’s plastic industry, which generates an estimated 300 billion euros in the EU-27 countries each year. Nonetheless, more than 25 million tons of plastic waste is annually generated in this region [2]. For years, researchers have been looking for various ways to overcome the problems of such large quantities of waste polymers. Currently, the use of landfills and incineration are the two most widespread solutions [3]. However, the increase in cost, environmental concerns, and the decreasing space for landfills make alternative treatment options desirable [4]. Various alternative routes have been proposed in order to process the plastic wastes [5–7].

One area of intense research is plastic pyrolysis, with the goal of obtaining oily products that could be later modified and treated to produce fuels [8]. The issue of plastic waste feedstock recycling has been so popular that from 1999 until now, the “International Symposium on Feedstock Recycling of Polymeric Materials (ISFR)” has been held in Japan (1999), Belgium (2002), Germany (2005), Korea (2007), China (2009), Spain (2011), and India (2013). The issues considered include mechanical and

chemical recycling, life cycle assessment, dehalogenation of plastics containing chlorine and bromine, various reactor designs such as rotary kilns and fluidized beds, and various heating methods such as microwave heating, molten baths and so on. Unfortunately, there is no golden rule that can be employed for optimizing the pyrolysis of all plastic species in a mixture because the optimal temperature for the degradation of one plastic polymer might be too low for another [9].

Catalysts (mostly zeolites) have been employed in order to improve the conditions of the pyrolysis to yield more useful products and lower the operation temperatures. Nevertheless, the produced oils require further processing in order to meet standard fuel qualities [10]. Various problems such as blockages caused by the production of unwanted products such as coke, waxes, and organic acids have been encountered. Another problematic issue is the thermal conductivity of plastics, which is low. Values as low as 0.17 and $0.33 \text{ Wm}^{-1} \text{ K}^{-1}$ have been reported for the thermal conductivity of polypropylene (PP) and polyethylene (PE), respectively [11, 12]. Although most of these problems have been overcome one way or another and some industrial-scale units are operational in the world today, in general, the scale of production is too small to make these processes economically viable. Political and environmental pressure obliges the continuation of such endeavors [13].

The ultimate/elemental analysis of mixed plastics has shown a carbon content of about 80% mass [14, 15]. Hence, another option for plastic waste treatment could be to target the production of solid and gaseous products instead of liquids. In recent years, there have been many studies that have aimed to do this. The authors have discussed the various methods of obtaining carbon nanotubes from plastic waste polymers in a detailed review elsewhere [16].

The present article will aim to give a literature survey of the studies pertaining to the production of porous carbons (in particular activated carbon) from plastic polymers. As far as the authors are aware, this is the first such review article. Activated carbons (ACs) are porous solids with desirable properties that include high thermal stability, high surface area, and high chemical resistance. The high surface area is produced by the numerous pore networks inside the material. It should be noted that the current IUPAC classification of pores is as follows [17]:

1. Micropore: pore width smaller than 2 nm
2. Mesopore: pore width between 2 and 50 nm
3. Macropore: pore width greater than 50 nm

The pore sizes and volumes are a function of various parameters including the precursor material, the pretreatment of the precursor prior to carbonization, the carbonization process itself, and the activation process carried out after or simultaneously with the carbonization. To date, a variety of materials have been used for AC production, including coals [18], bio and agricultural products [19–23], polymeric materials [24], tires [25], etc. [26]. At the moment, the use of coals and agricultural byproducts seem to be the most widespread industrial production paths [27]. Often, porous carbons investigated in research function better than the commercially available carbons [28, 29]. The economic analyses of AC plants are very sensitive to production yield and the activation route. In addition to plant capacity, another

important parameter in the cost of AC production is the availability and cost of its precursor [30].

This review will be organized on the basis of the plastic polymer used as the precursor for porous carbon production. Section 2 will include studies using various thermoplastics as precursors, whereas Sect. 3 will focus more deeply on poly(ethylene terephthalate) (PET) due to its popularity.

2 Porous Carbons from Various Plastic Precursors

2.1 Steam Activation

Activation with steam, also known as physical activation, has been employed for activated carbon production. Qiao et al. have used hot steam for the activation of PVC, which was prepared in the form of spinnable pitch [31]. The virgin plastics were first held at 260°C for 2 h and at 410°C for 1–2 h. The temperature of the second stage is not increased further because higher temperatures resulted in pitches with poor spinnability. A three-stage heat treatment (260, 360, and 410°C) was also tested but no particular difference was found. In the two-stage process, a weight loss of 58% is seen in the first significant step (200–320°C), which is attributed to the removal of HCl. It is interesting to note that this amount of recovered HCl is almost the same as the theoretical maximum amount of recoverable HCl from PVC (58.4%). The carbon content of PVC is approximately 43%, and the weight loss of the second step is approximately 23.2%, leaving only about 18.4% of the PVC as carbon to be used for AC synthesis. The pitch is spun and in turn stabilized in air without preoxidation treatment. The sample is carbonized at 900°C in the presence of air and finally activated with steam at 900°C for 30–90 min. The obtained activated carbons were predominantly microporous and had surface areas of 1,000–2,000 m²/g. In relation to the various activation times, the final yields varied from 4 to 8% of the initial PVC mass. This value corresponds to a yield of 9–18% with respect to the actual carbon content of PVC. Finally, it should be noted that the PVC used in the study was virgin resin material rather than waste. The additives and inorganic substances present in PVC waste might make pitch spinning difficult.

2.2 Chemical Activation

To obtain high BET specific surface areas (S_{BET} , i.e., surface area divided by mass, as measured using the Brunauer–Emmett Teller method) for activated carbons from plastic polymers, chemicals such KOH and NaOH have been used either alongside [32] or after the carbonization step.

In a recent example, the syntheses of activated carbons from different synthetic waste polymers were tested [33]. PVC pellets of 1–3 mm were bought from a

Chinese supplier and PET was obtained from cutting water bottles into small pieces. A 50% solid yield of carbonized residue was claimed after the raw material was carbonized at 600°C in nitrogen. Then, the residue was activated by ground KOH (1:2 plastic to KOH mass ratio) in a nitrogen stream at 850°C. After 90 min of activation, a further burn-off of about 50% occurred. Then the products were washed and dried. These conversions would amount to a final yield of approximately 25%. If these numbers are accurate, they are exceptionally high. Unfortunately, the explanation of the AC preparation procedures published in the study is quite short. Most of the study was centered on the characterization and application of the products with maximum BET surface areas of 2,831 and 2,666 m²/g for PET and PVC, respectively. It was speculated that this extremely high surface area is due to the uniform structure of the polymers as well as their small ash content. The adsorption capacities of the products for the remediation of wastewater were tested and it was concluded that in some cases they were more than twice that of the commercial F400 activated carbon. Table 1 contains information regarding the various samples in this study. Figure 1 shows the adsorption isotherms of methylene blue for these polymer-based activated carbons compared to commercial F400. Activated PET and activated PVC showed maximum removals higher than 2 mmol of pollutant per gram of adsorbent. Unfortunately regeneration studies have not been performed yet. The uptake of other liquid pollutants has been tested by the same group elsewhere [34].

PVC is a widely used plastic with a net calorific value of 22 MJ/kg and is used in long-life products such as window frames, flooring, fittings, door frames, and piping, which might not enter the waste stream for decades. PVC composed a little less than 7% of the municipal plastic waste collected in Western Europe in the year 2000 [1]. As an advantage in waste separation, the pieces of PVC are typically big, so their sorting is simple. Polystyrene (PS) and PVC both have an advantage when it comes to separation due to the difference in their densities compared to other plastics [35].

Various studies show that a two-stage heat treatment of PVC can be utilized in order to recover the chlorine content in the form of HCl. The remaining residue can then be used for other purposes such as porous carbon production and/or further volatilization [36]. As an example, the production of activated carbon from carbon residues has been investigated in conjunction with the removal of Cl from PVC containing plasticizer and inorganic filler [37]. After a heat treatment to remove the chlorine, the carbon residues were pre-oxidized at 300°C for 3 h under a flow of air. This was followed by a carbonization of 2 h at various temperatures under a flow of nitrogen. About 40–55% of the solid remained as carbonized residue (the difference in yield depends on the amount of plasticizer and inorganic filler used in the experiments). The material was then impregnated with KOH at various ratios, dried, and activated at 750°C in the presence of nitrogen for 1 h. The final yields after the activation were unfortunately not reported. When comparing the different samples, although the presence of the plasticizer and inorganic filler prolonged and hindered the evolution of HCl, they also improved the final AC product surface area.

Table 1 Properties of the waste polymers and produced activated carbons (elemental composition on a dry mass basis)

		Sample name					
		Tire rubber	Activated tire rubber	PVC	Activated PVC	PET	Activated PET
Elemental composition % (results from elemental analysis)	C	62.1	82.9	40	86.7	62	80
	H	6.7	2.01	5.36	1.31	5.2	1.76
	N	0.47	0.43	n/d	0.5	n/d	0.52
	O	5.4	2.66	17	6.12	32.8	16.6
	S	1.53	1.5	n/d	n/d	n/d	n/d
	Cl	n/d	n/d	21.4	n/d	n/d	n/d
Surface atomic composition % (results from XPS analysis)	C	–	87.5	–	95.4	–	77.6
	O	–	10.3	–	3.7	–	21.7
	Si	–	2.15	–	0.44	–	0.55
	Cl	–	0.07	–	0.05	–	0.12
	Sb	–	n/d	–	0.37	–	n/d
	Pb	–	n/d	–	0.06	–	n/d
Ash content (%)	–	23.8	10.5	16.2	5.37	0.12	1.17
Pore structure of the materials	S_{BET} (m^2/g)	–	398.5	–	2,666	–	2831
	D_{p} (nm)	–	3.81	–	2.16	–	2.37
	V_{tot} (cm^3/g)	–	0.38	–	1.44	–	1.68
	V_{micro} (cm^3/g)	–	0.11	–	0.25	–	0.25
	V_{mes} (cm^3/g)	–	0.27	–	1.19	–	1.43
	$V_{\text{mes}}/V_{\text{tot}}$ (%)	–	71.1	–	82.6	–	85.1

Reconstructed from Lian et al. [33] Elsevier 2011

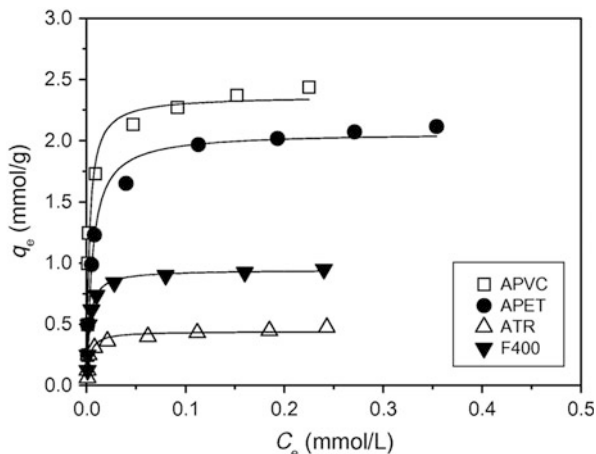
S_{BET} BET surface area, D_{p} pore size distribution, V_{tot} total pore volume, V_{micro} micropore volume, V_{mes} mesopore volume, *n/d* not detected

The presence of CaCO_3 as inorganic filler was particularly effective because it resulted in activated carbons with maximum surface areas above $1,700 \text{ m}^2/\text{g}$ [37].

Polyurethane foam has also been used as a precursor for AC production by chemical activation with K_2CO_3 , and a maximum BET surface area of about $2,800 \text{ m}^2/\text{g}$ has been obtained. It is speculated that K_2CO_3 plays a large role in creating the high obtained surface area [38]. The use of K_2CO_3 seems particularly attractive because it does not pose a hazard. The role of K_2CO_3 in chemical activation using KOH has been recently studied elsewhere [39].

Refuse paper and plastic fuel (which is a mixture of old paper and plastic with a ratio in the range of 3:7 to 7:3) has also been used to produce porous materials consisting of activated carbon and amorphous $\text{CaO-Al}_2\text{O}_3\text{-SiO}_2$ [40]. Both physical activation with wet N_2 (20 mol% steam) and chemical activation with K_2CO_3 were tested. Chemical activation resulted in higher BET surface areas (as high as

Fig. 1 Isotherms and Langmuir model fitting of methylene blue adsorption for various adsorbents [33]. The pollution uptake capacity (q_e) is plotted versus the equilibrium pollution concentration (C_e). APVC, APET, ATR, and F400 are activated PVC, activated PET, activated tire rubber, and standard F400 activated carbon, respectively. Reproduced with permission from Lian et al. [33] Elsevier



1,330 m²/g) and lower ash content (≤ 28 mass%). Physical activation resulted in BET surface areas of approximately 500 m²/g with a higher ash content (≤ 45 mass%). Although the samples created by both activation methods showed potential multisorption properties for heavy metals, the chemically activated samples showed higher sorption capacity for organic dye, while the physically activated samples were favorable for removal of heavy metals and oxyanions. The pore sizes of both samples were relatively small as compared to the size of the methylene blue (MB) molecule [41].

3 Porous Carbons from PET

Poly(ethylene terephthalate) (PET) is used for bottles, carpets, and food packaging and is a very common waste polymer. It comprises 11.7% of the municipal waste plastic in Western Europe. Due to the fact that over 90% of all PET is used in packaging (in particular drink bottles because of its gas barrier characteristics) the majority of PET becomes waste within less than a year of production [1]. This plastic is commonly seen in studies attempting to derive porous carbons from plastic wastes due to the relatively higher residue that remains after its pyrolysis. A complete section in the review is allocated to PET due to its relative popularity for AC production.

The production of porous carbons is certainly not the only way of treating PET waste. Other recycling methods such as alcoholysis, hydrolysis, and glycolysis have been considered. Glycolysis is the oldest and simplest chemical recycling method for PET. With this technique, glycols are inserted into PET chains in order to obtain bis(hydroxyethyl) terephthalate (BHET), which is itself a substrate for the synthesis of various oligomers including PET. The main drawback of this method is the formation of various products along with BHET of higher oligomers, which are difficult to purify. Alcoholysis (which usually employs methanol) has the

noticeable disadvantage of methanol volatilization; and hydrolysis (which is done under acidic or alkali conditions) results in corrosion and pollution problems [41].

There are a wide range of studies that investigate the production and utilization of activated carbons derived from PET [42–47]. The production of activated carbons from blends such as coal/pitch and PET [48, 49], as well as the preparation of porous polyester fiber by using supercritical carbon dioxide, have also been studied [50].

In contrast to the studies mentioned previously, there has also been an investigation of the use of PET in conjunction with fly ash [51]. Although fly ash has the potential of being used in wastewater treatment its adsorption capacities are limited. Furthermore, the heavy metals within the ash might leach out and cause additional problems. By melting and blending waste PET bottles with fly ash, followed by subsequent thermal treatment, various adsorbents were produced that favorably restricted metal leaching. These were tested for removal of methylene blue and heavy metals from solution. It was speculated that after acid treatment, these adsorbents could ultimately be used for treatment of wastewater from dye processes, steel mills, mine factories, and electroplating plants. The BET surface area of these low-cost adsorbents was no more than $485 \text{ m}^2/\text{g}$ [51].

Due to the use of heavy metals as catalysts during the synthesis of PET bottles, there are concerns regarding human exposure (in particular by the leaching of antimony into drinking water) [52]. Nonetheless, if plastic bottle manufacturers consider the contamination during the manufacturing process and wash the bottles before their first use, the degree of exposure to these contaminants will be reduced [53]. The presence of small amounts of antimony oxides has been detected in PET pyrolysis studies. The presence of antimony can also slightly decrease the decomposition temperature [54].

3.1 Steam Activation

In the 1990s, several studies attempting to produce ACs from PET emerged. ACs produced from PET and cellulose sheets with BET surface areas of 1,190 and $780 \text{ m}^2/\text{g}$, respectively, were compared and contrasted [55]. First, the materials were carbonized at 700°C in the presence of nitrogen. Although unfortunately the carbon yield was not reported, judging from other similar studies by the same authors it can be predicted to be about 20% [56]. The carbonized chars were subsequently activated with steam diluted with nitrogen (1:1 ratio) at 900°C until a burn-off of 50% related to the mass of the char was obtained. Based on other studies, the final yield compared to the initial amount of PET was 9–12%. The details of the yields can be seen in Table 2. The researchers went on to investigate the adsorption of a binary benzene–methanol liquid mixture and concluded that morphological differences exist in the ACs that correspond to the different links between their crystallites [55].

László and coworkers conducted more studies regarding the production of porous adsorbents from PET in the following years [57]. The samples were first

Table 2 Yields after treatment, relative to initial carbonaceous precursors

Precursor	Carbonization (wt%)	Activation (wt%)
Polyacrylonitrile	48–52	26–31
PET	17–21	9–12
Cellulose	17–22	11–14

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carbonized under nitrogen flow at 750°C for 30 min, and then activated at 900°C under steam and nitrogen flow (1:1 molar ratio) for 90 min [58]. Microporous carbons were produced with BET surface areas of 1,170 m²/g, a total pore volume of 0.625 cm³/g, an amphoteric surface (due to oxygen functionalities), and with a majority of basic functional groups. The adsorption of phenol and 2,3,4-trichlorophenol were studied at various pH values. It was found that both the pH and pK of the phenols affect the adsorption mechanism. The final yield was 9–12%. The researchers proposed that these carbons could be used for wastewater treatment because, although the majority of their pores were micropores, a sufficient amount of mesopores were also available, which play a vital role for the diffusion of the pollutants into the sample [59]. The same preparation and activation method for PET was used by the researchers in a later study [60]. The researchers concluded that the surface properties and morphologies of the carbonaceous products are dependent on the heat treatment method as well as the temperature applied for their preparation. Surprisingly, the porosity and surface areas were found to decrease as the temperature and heat treatment increased. This is because the pore structure showed a gate effect and the open pores closed during heat treatment. As temperatures rises, however, the carbon skeleton becomes much more ordered.

In a later study, additional treatment was carried out on the porous products from PET [61]. The samples were treated with nitric acid to obtain various degrees of surface functionalization. Additional heat treatment was also sometimes incorporated for modification and distribution of some surface functional groups. Overall, various porous carbons with BET surface areas of 1,200–1,500 m²/g were obtained. Buffered aqueous phenol and aniline solutions were used to assess the waste removal capacity of the products. It was seen that the concentration of the pollutant molecule was always more than that of the functional groups, meaning that “the major contribution to the adsorption came from the dispersion effect” and that “the enhancement of the interactions was obtained only through attractive electrostatic forces” [61]. Various differences were seen between phenol and aniline. For example, phenol adsorption showed a maximum peak, whereas aniline adsorption strongly increased monotonically with pH. The maximum uptake of phenol was higher than that of aniline. In addition, the lower solubility of aniline in water did not lead to increased adsorption capacity. Complementary techniques and analysis of the porous carbons was carried out elsewhere [62]. Surface oxygen content was not greatly increased due to acid treatment at room temperature and the adsorption properties and structural parameters remained unchanged. Nevertheless, treatment with boiling acid resulted in significant differences in morphology, leading to approximately 75% loss of BET surface area.

Further examination of phenol adsorption by such porous carbons was carried out [63]. Both adsorption and desorption of phenol were seen to be influenced by not only the pH of the solution, but also by the method of pH setting. The thermal regeneration of the carbon adsorbents was studied and it was found that with unbuffered solutions, only a part of the surface area could be regenerated. If NaOH is used for regeneration, the surface area of the carbons will significantly increase; and when physisorption is dominant no substantial variation in the surface area is observable. It is noteworthy that surface oxidation lessens the adsorption capacity for phenol and favors physisorption. Carbon deposition and subsequent pore blocking during thermal regeneration are also reduced when high concentrations of surface acidic groups are present.

3.2 Chemical Activation

The combination of chemical activation (heat treatment by sulfuric acid) and physical activation (by steam) has been investigated [64]. The impregnation coefficient of 28% was found to be particularly important. An increase in the activation temperature enhanced the surface area and pore volume in addition to increasing burn-off. With a yield of 15%, a maximum BET surface area of approximately 1,000 m²/g was obtained. The adsorption of methylene blue and iodine were also examined. The effects of soaking the PET precursors with chemicals (in particular sulfuric acid) have also been investigated elsewhere [65, 66].

In studies by other researchers, compounds such as Ca(OH)₂ and Ca(NO₃)₂ together with HNO₃ treatment have been used to produce better quality ACs [67]. Nakagawa et al. used a mixture of several metal salts (5 wt%) and acid pretreatment (HCl for 24 h) prior to steam activation and discussed the effects of the various mixtures on the pore properties [68]. The samples showed a larger mesoporosity than carbons with no such pretreatment. Although the mesopores were dependent on the kind of metal salt used, the microporous structure was not influenced by this factor. Compared with commercial carbon, these samples show better adsorption capacity for C₄H₁₀. The details of the various prepared samples can be seen in Table 3. It should be noted that the values reported for “burn-off” are relative to the chars produced from prior pyrolysis of the PET at 773 K for 1 h under nitrogen flow. So, in fact, the final yields compared to the initial waste material are much less [68]. In addition to PET, the researchers applied their method to waste tires, refuse-derived fuel, and waste generated during lactic acid fermentation from garbage [69]. The surface of PET-derived adsorbents had a fairly strong hydrophobic nature. Phenol and Black5 adsorption were tested and it was concluded that the adsorption capacities of the samples are comparable to commercially available activated carbon and that these porous carbons are suitable for the adsorption of bulky molecules in aqueous solutions.

Increasing the hydroxide content in the treatment often increases the micropore volume and the formation of mesopores, while an increase in nitrogen flow enhances ultramicropore volume and homogenous porosity, yielding molecular

Table 3 Properties of prepared porous carbons from the mixing of PET pellets with 5% metal compounds, followed by acid treatment and subsequent steam activation

Chemical	Activation time (min)	Burn-off (wt%)	S_{BET} (cm^2/g)	V_{mic} (cm^3/g)	V_{mes} (cm^3/g)
None	0	12.5	394	0.21	0.04
	5	12.7	456	0.24	0.06
	120	68.7	1,450	0.72	0.13
	240	77.8	1,740	0.93	0.15
$\text{Ca}(\text{NO}_3)_2 \cdot 4\text{H}_2\text{O}$	0	13.2	396	0.20	0.04
	5	18.0	521	0.25	0.08
	150	63.7	1,460	0.66	0.27
	180	85.7	2,190	0.81	0.90
$\text{Ca}(\text{OH})_2$	0	25.0	419	0.21	0.07
	5	29.4	495	0.23	0.08
	150	60.6	1,200	0.55	0.19
	180	81.0	1,960	0.86	0.50
CaCO_3	0	14.4	421	0.22	0.09
	5	20.7	446	0.23	0.05
	150	57.1	1,170	0.59	0.14
	240	87.5	2,180	0.93	0.78
ZnO	0	13.9	416	0.20	0.09
	5	20.5	459	0.25	0.05
	1,150	61.6	1,240	0.66	0.16
	240	85.9	2,240	1.10	0.87
$\text{AlNH}_4(\text{SO}_4)_2 \cdot 12\text{H}_2\text{O}$	0	15.6	370	0.17	0.03
	5	23.3	493	0.27	0.04
	150	63.5	1,220	0.66	0.11
	240	85.1	2,080	0.82	0.61

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S_{BET} BET surface area, V_{mic} micropore volume, V_{mes} mesopore volume

sieves. An increase in the pyrolysis temperature while other parameters remain constant increases the micropore volume [70]. Plasma pretreatment of PET with a microwave apparatus prior to carbonization showed positive results, which could help reduce the need for a subsequent activation step [71]. The use of these carbons as electrode material in supercapacitors has also been investigated by the group of researchers [72].

Parra and coworkers also focused on the production of porous carbons from PET [73, 74]. Sometimes, the surfaces of the produced activated carbons do not have enough strength for particular adsorbate–adsorbent interactions. When facing such a problem, it is possible to modify the chemistry by incorporating heteroatoms such as nitrogen on the AC. As an example, PET from soft-drink bottles was compounded with different functionalities, namely acridine, carbazole, and urea. PET and the N-compound were mixed and stirred (1:1 ratio) in a solution of water containing KOH. Then, the slurry mixture was vacuum-dried overnight followed by heating up to a 30-min carbonization at 500°C. Secondary interactions and

reactions occur during the carbonization, which incorporates nitrogen into the carbonized material. This alteration significantly influences pore structure and pore size. The researchers went on to examine how the adsorption of CO₂ is affected by this nitrogen enrichment. Results showed moderate effectiveness, and indicated that in addition to the surface area and the nitrogen content, the nature of the N-functionalities is important [75]. Modification of activated carbon from PET by urea impregnation and pyrolysis has shown an increase in the mean pore size, total pore volume, and the point zero charge. By contrast, sodium hydroxide treatment reduced the total pore volume and mean pore size of PET-based activated carbons. This was not the case for cork-based or other activated carbons [76].

3.3 Carbon Dioxide Activation

Almazán-Almazán et al. attempted to change the textural properties of activated carbons from PET by controlling various variables and showed that these carbons can be tailored to range from molecular sieves to samples with variant pore sizes and high adsorption volume [70]. Chars were obtained after pyrolysis at 800 and 950°C with 19% yield. Subsequent activation under CO₂ flow took place for 4 or 8 h. The activation resulted in further burn-offs of 81–87%. In the carbonization process at 800°C under carbon dioxide, the amorphous carbon is eliminated but the micropore system is not affected. These porous samples show molecular sieve behavior for cyclohexane/benzene as well as 2,2-DMB/benzene pairs. At 950°C, however, micropore textural characteristics are changed and the samples do not exhibit molecular sieve behavior due to constrictions at the entrance of the micropores [77].

Porous materials with high capacities have been proposed for the storage of gases such as hydrogen, methane, and carbon dioxide. In gas storage, the gaseous molecules accumulate in the pores of the sorbent material. Various adsorbents have been developed and tested. Studies show high dependence on the surface area, porosity, and pore-size distribution. Regardless of which type of adsorbent is used, the following characteristics are favorable [78]:

1. The adsorbent should be composed of light elements
2. The adsorbent should have high stability under working conditions
3. The adsorbent should be chemical inert – especially towards the adsorbed gas
4. The density of the material should be high as to avoid undesirable large volumes

High hydrogen uptake, equal to that of other high-technology carbon materials, is possible with the ACs produced from PET. In a particular study, PET waste was first pyrolyzed in a quartz tube reactor to yield around 20% char. Further activation was carried out under a CO₂ flow of 10 mL/min at 925°C [79]. Samples with different activation degrees were obtained and denoted as PC#, where # is a number showing the percentage of burn-off resulting from activation (PC12, PC35, PC58, and PC76). Although the samples were not highly ordered structures, XRD analysis showed that elongation of the activation process (and thus additional burn off) increased the

Table 4 BET surface areas of various samples as well as adsorption capacities obtained from phenol and naphthalene breakthrough curves

Sample ^a	BET surface area (m ² /g)	Adsorption capacity (mg/g)	
		Phenol ^b	Naphthalene ^c
PC12	668	125	24
PC35	1,405	200	26
PC58	1,920	239	27
PC76	2,468	291	28
Q	1,149	289	30
CM	849	263	24
F400	1,164	346	33

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^aPC12, PC35, PC38, and PC58 are PET-derived porous carbons of increasing degree of activation (see text). Q, CM, and F400 are three commercially activated carbons

^bSolution of phenol (2,000 ppm)

^cSaturated solution of naphthalene (30 ppm)

crystallographic parameters of the crystallites to some extent. This could be due to two reasons: first, the disordered fraction of the carbon is more liable to destruction during activation because it is more reactive; and second, the longer activation time may cause some ordering to take place. The hydrogen adsorption capacities of the samples were studied in the 0–1 bar pressure range and showed reversible physisorption in all samples. The maximum hydrogen uptake (2.3%) was by the PC76 sample at -196°C . The adsorption seems non-dissociative since no hysteresis is seen in the uptake–release process. It is thought that higher hydrogen adsorption is observed in samples with more activation due to their increased volume of micropores [80]. The BET surface areas of various samples, as well as adsorption capacities obtained from phenol and naphthalene breakthrough curves, can be seen in Table 4 (Q, CM, and F400 are three commercially activated carbons). Figure 2 shows the correlation of H₂ adsorption capacity with surface area and the volume of the micropores. Figure 3 is a depiction of the pore size distribution of the samples with an increase in heat treatment/burn-off [79].

Parra and coworkers also investigated the removal of the widely used drug ibuprofen using such activated carbons [81]. For adsorption of ibuprofen, the existence of mesopores is vital for accessibility to the inner porosity. In addition, the microporosity must be large enough for the compound molecule. The PET porous carbons outperformed the adsorption capacity of commercial activated carbons for the targeted drug. However, the adsorption kinetics were much slower due to the lack of enough mesopores to establish an internal transport network in the adsorbent (less than 10%). Nonetheless, high ibuprofen uptake was achieved over a longer pH range by the PET-derived carbons than by commercial adsorbents. This is linked to the basicity of the PET-derived carbons, due to the delocalized π electron density of their graphene layers, which may act as Lewis base sites for adsorbing protons [82].

Fig. 2 Correlation of H₂ adsorption capacity with surface area and the volume of the micropores (W_0). Reproduced with permission from Parra et al. [79] Elsevier

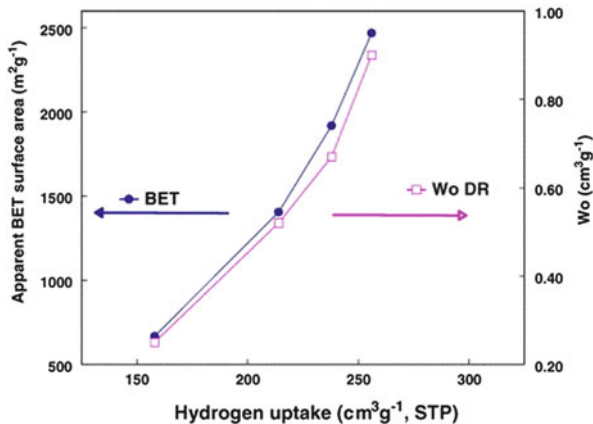
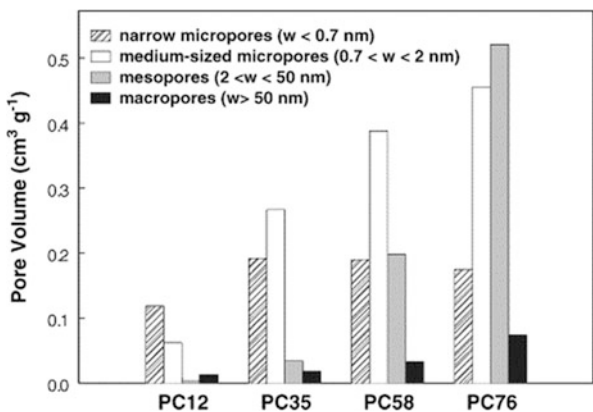


Fig. 3 Pore size distribution of PET-derived porous carbon samples with the increase of heat treatment/burn-off. Sample number indicates the percentage of burn-off resulting from activation: PC12, PC35, PC58, and PC76 signifying 12, 35, 58 and 76% respectively; w pore width. Reproduced with permission from Parra et al. [79] Elsevier



The Iranian team of Esfandiari and coworkers has also investigated activated carbon production from PET [83]. Carbon dioxide activation was used and the effects of variables such as temperature, heating rate, flow rate, and duration of heat treatments were investigated. It was observed that the most influential parameters are the activation time, activation temperature, and carbonization time. At the expense of further burn-off, the iodine number of the sample was seen to increase with elongation of the activation time. The experimental values for the iodine number were in good agreement with those obtained by the Taguchi optimization model [84].

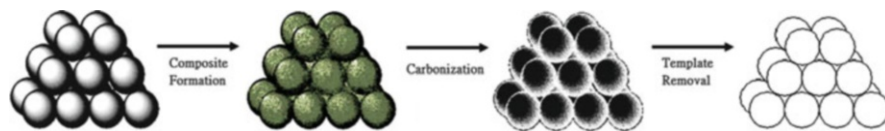


Fig. 4 Illustration of hard template method for porous carbon production. Modified and adapted with permission from [86] Copyright 2011 American Chemical Society

4 Hard Template Method

Producing porous carbons from templates has a general three-step procedure. First, a composite is produced from the carbon precursor and the template. Then, the mixture is carbonized. Finally, the template is removed [85]. A schematic of the hard template method is provided in Fig. 4.

A good review has already been published on the production of porous carbons by mixing carbonaceous precursors with MgO precursors, subsequent carbonization, and the dissolution of the MgO substrate by a diluted acid [87]. The carbon precursors included (but were not limited to) thermoplastics such as poly(vinyl alcohol) and PET. Even though there is no classic “activation” method used in this process, BET surface areas as high as $2,000 \text{ m}^2/\text{g}$ and large pore volumes as high as 3 mL/g are obtainable. Interestingly, as can be seen from Fig. 5, the ratio of MgO to precursor does not affect the yield when poly(vinyl alcohol) or hydroxyl propyl cellulose is used as the carbon source. Porous carbon yield from PET is dependent on the mixing ratio.

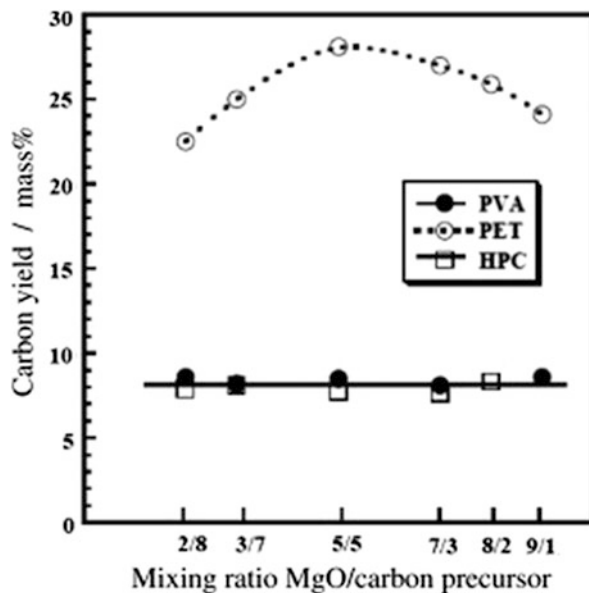
In addition to the fact that the resulting materials are obtained in powder form, the following four advantages have been distinctly mentioned for this method:

1. The MgO template is easily removable with a non-corrosive acid
2. The MgO is recyclable
3. Although the arrangement of mesopores in carbon is random, the size and volume of the mesopores in the porous carbon can be tuned by adjusting the MgO precursor
4. Various carbon precursors can be selected for tuning the size and volume of resulting micropores

These porous carbons, referred to as MgO-templated carbons, have been applied in various areas such as electrodes for electric double-layer capacitors [88], as absorbents for gasoline, and as anodes for lithium ion batteries. The application of these MgO-templated porous carbons has been reviewed elsewhere in Japanese [89].

The use of polystyrene-based materials has also been investigated for porous carbon formation with the hard template method using amorphous silica gel, mesoporous alumina, and microporous zeolites as inorganic templates [90].

Fig. 5 Dependence of porous carbon yield on mixing ratios with MgO. PVA polyvinyl alcohol, PVC polyvinyl chloride, HPC hydroxypropyl cellulose .Reproduced with permission from Morishita et al. [87] Elsevier



5 Outlook and Future Prospects

Low production yields seem to be the main hindrance to the feasibility of producing porous carbons from plastics. Lower pyrolysis temperatures do give higher yields of solid amorphous products; however, these carbons require further high-temperature processing for activation, which would in turn cause additional burn-off [3] [31]. In addition, the residues from plastic waste pyrolysis often leave behind inorganic impurities and additives. Hence, using them to produce solid products such as activated carbons might incur further problems. With calorific values of about 20 MJ/kg, it has been suggested that these solid residues be used as fuels on a par with brown coal [91]. If the low yields of the process are not to cause concern, the justification would lie in looking at porous carbon products as “byproducts” alongside the simultaneous production of other gaseous and/or liquid products with heat. Only in such a condition would low solid porous carbon yields be economically attractive.

Another option would be to employ an alternative method for plastic carbonization that would overcome the problem of low yields posed by classic carbonization and activation methods. A possible route would be the employment of pressurized pyrolysis. For purposes other than activated carbon production, hydrocarbon precursors have been heated under pressure to produce solid carbon products with high yields and high purity [92–94]. As an example, exceptionally hard carbon microspheres have been produced from PET waste via the closed autoclave method. This autogenic method has been patented for the production of carbon spheres for use in batteries [95]. Pol et al. further explained their method in a more extensive article [96]. In order

to overcome the problems associated with the separation of various plastic types, Pol et al. evaluated the process for mixed polymers, namely low density polyethylene (LDPE), high density polyethylene (HDPE), poly(ethylene terephthalate) (PET), and polystyrene (PS). Most of the break down is presumed to occur between 600 and 700°C. The conversion of the plastics to solids in this study resulted in yields ranging from 30 to 55%, with 40% being characteristic. The plastic polymers in this particular study (which were processed together) account for more than three quarters of all plastics found in municipal solid waste. The high-pressure processing of combined plastics is particularly favorable because in plastic recycling it is difficult to obtain homogeneous streams from household waste due to their variety. One of the main obstacles is the existence of food contamination on lightweight plastics. Due to energy requirements, it is often not justifiable both economically and environmentally to clean and recycle such plastics. That is why almost all selective collection schemes in the EU for household waste plastics focus on bottles that are mostly composed of PET and HDPE [1]. Also, the obtained solid yields of high-pressure pyrolysis are particularly important because plastics such as LDPE and HDPE normally produce very minute amounts of solids under atmospheric carbonization. Furthermore, high pressure pumps/compressors are not required in the proposed high-pressure system. This is because the utilization of an autoclave can lead to high pressures just by containing the gases evolving during the break down of the polymers. The economic importance of this phenomenon should not be overlooked. Nonetheless, the main obstacle of such high pressure systems is the capital cost of high pressure equipment, including the alloy that the reactor must be constructed from.

As early as the 1970s, Inagaki et al. considered the pressure pyrolysis of plastic products[97]. Generally, when pressures are applied to the evolved gases during the reaction of a precursor, the carbonization behavior changes and the carbon products are different from those obtained without pressure. The differences can be seen in the structure, properties, and even the morphology of the residue carbon particles. It is important to note that although the solid yield is increased for all carbonaceous precursors, the magnitude of the increase is dependent on the precursor material. Again, it was shown that although the atmospheric pyrolysis of PE does not leave behind significant residues under atmospheric heat treatment, considerable solid yields are obtained under pressure. When powder mixtures of PE with the addition of a smaller mass percentage of PVC (5–30%) were carbonized in a gold tube under a pressure of 10–30 MPa, solid yields as high as 45% were obtained [98–100]. The process is also applicable when polypropylene (PP) is used to replace PE. When a specially designed autoclave is used instead of a gold tube, the yield falls to about 30% [101]. The effects of some impurities on the residue product in a pressurized method have also been studied [102].

If the temperatures and pressures of the pressurized carbonization are not high enough, less solids will emerge and a high amount of liquid will be obtained [103]. With higher pressure, the carbon number distribution of products shifts to lower molecular weights. At lower temperatures, the co-pyrolysis of plastics has been shown to produce different products compared to when individual polymers

Table 5 Solid carbon yields after treatment for various durations and temperatures

	Treatment conditions							
	500°C, 3 h	600°C, 3 h	650°C, 0 h	650°C, 0.5 h	650°C, 1 h	650°C, 2 h	650°C, 3 h	650°C, 9 h
Carbon yield (wt%)	23.8	27.0	13.0	22.7	33.5	42.1	45.4	47.5

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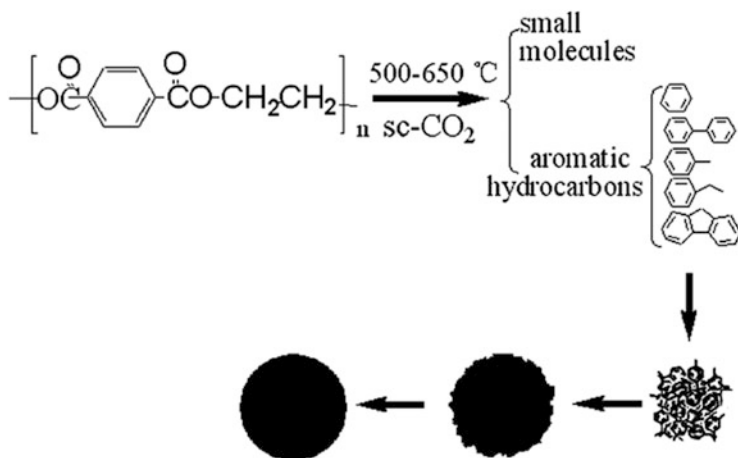


Fig. 6 Solid carbon yields after treatment for various durations and temperatures. Reconstructed from Wei et al. [105]. Copyright 2011 American Chemical Society

are used. Aromatic compounds are speculated to have a role in increased char formation due to the condensation of the aromatic ring structure [104].

Adding CO_2 into an autoclave system to create supercritical conditions has also been considered. Soft-drink PET bottles were used as precursors and 1.5 g of the PET cut foil was placed inside a 25-mL stainless steel autoclave alongside 12 g of solid CO_2 . The mixture was kept at $350-650\text{ }^\circ\text{C}$ for 3 h under autogenic pressures, prior to being naturally cooled to room temperature, washed, filtered, and dried. As can be seen in Table 5, the yield increased with reaction time and temperature. At a temperature of $650\text{ }^\circ\text{C}$, the carbon yield increased from 22.7 to 45.4 as reaction times increased from 30 min to 3 h. At a temperature of $500\text{ }^\circ\text{C}$, the yield stood at 23.8 after 3 h. Elemental analysis showed that the PET sample (61.73% carbon prior to reaction) contains 93.15% carbon after 3 h reaction at $650\text{ }^\circ\text{C}$. Figure 6 shows the formation mechanism. When no dry ice was used ($1\text{ g PET}/16.67\text{ cm}^3$), a coke cake rather than carbon spheres was obtained. Increasing the amount of PET ($\text{g PET}/\text{cm}^3$) resulted in formation of carbon spheres. The researchers indicated that when the PET precursor is smashed into a powder (and not used as a foil), then multiple conglomerates of irregular carbon spherules appear with larger BET

surface areas (values not reported). Unfortunately, obtaining a larger BET surface area is only passingly mentioned by the researchers but not fully explored [105].

The hopes of generating pores in solid carbon spheres formed under pressure seems to be a valid supposition because other non-porous carbon spheres have been successfully activated previously, showing large increases in specific surface areas [106, 107]. As an example, Yuan et al. [108] were able to activate hard carbon spheres with initially low surface areas in molten KOH at 600°C for 1–2.5 h. Measurements showed that depending on the KOH/carbon ratio, the specific surface areas could increase to more than 1,100 m²/g. Elsewhere, solid carbon spheres derived from glucose have been activated with KOH, resulting in a 100-fold increase of their surface area from 13.9 to 1,283 m²/g [109]. Meanwhile, although some other researchers have failed to increase the BET surface area from low surface area carbon spheres, they were nevertheless able to achieve satisfactory adsorption of compounds during experimentation [110].

The use of various pretreatments of the plastic wastes such as chemical soaking, heat treatments, microwave, and plasma treatments, etc. in conjunction with the pressurized method might be attractive areas for future research. Co-pyrolysis with other wastes such as food wastes is also plausible. Much work has been carried out on other pressurized carbonization methods such as biomass hydrothermal carbonization [111, 112]. If an industrial process is to emerge from the research, the combined use of various carbon sources would be attractive for economy-of-scale purposes. Producing porous carbons for further applications from plastic wastes would not only yield useful products from cheap precursors, but it would also help reduce the problems associated with the ever-growing plastic waste stream.

Overall, not many studies utilizing the pressurized method for treating plastic wastes have exclusively focused on the production of porous carbons with high surface areas [113, 114]. Recently, one research group has reported the production of porous carbons and porous carbon composites using a high temperature, high pressure system [115]. The only other study that has addressed the issue of porous carbon production via a high pressure system is in the Chinese language [116]. In the study, SBA-15 was used alongside a surfactant that acted both as a soft template and carbon source. A carbon/silica composite was produced under high pressure, followed by the removal of the silica using H₂O, ethanol, and NaOH. The final product was highly mesoporous with a narrow pore size distribution.

6 Conclusion

Activated porous carbon can be created from plastic wastes by using various activation routes. The ACs can have high BET surface areas, pore volumes, and good adsorption capacities. However, the carbon yields are rather low (usually around 10%). Large volatilization and burn-off under vacuum, atmospheric, and moderate pressure pyrolysis conditions makes the production of AC from plastics less attractive. Nonetheless, coupling AC production from plastic wastes with other processes such as the production of liquid fuels and high calorific value gases could

be helpful in achieving better economic feasibility. Such research is greatly in line with the goals of waste reduction and sustainability.

On the other hand, if only solid carbon products are sought, high pressure pyrolysis could potentially be used. Although hard non-porous spheres have been repeatedly produced by this method, further research is needed to assess the possibility of obtaining porous carbons. The lack of diverse literature regarding the use of plastic wastes as precursors of autogenic methods leaves the door open for further investigations.

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Aromatic Hyperbranched Polymers: Synthesis and Application

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Abstract Hyperbranched (hb) polymers have been receiving increasing attention because of their unique architecture that results in an interesting set of unusual chemical and physical properties. Over the past decade quite a number of excellent reviews on hb polymers have been published by different research groups, covering various aspects of this class of polymers. This review will highlight the work on aromatic hb polymers of the last decade, emphasizing general synthetic strategies and recent development of alternative synthetic strategies, and discussing various aspects of hb polymers to demonstrate their wide range of applications.

Keywords Hyperbranched polymers · Polymer applications · Polymer synthesis

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Abbreviations

6F-BPA	4,4'-(Hexafluoroisopropylidene)diphenol
AFM	Atomic force microscopy
BPA	4,4'-Isopropylidenediphenol
BPADA	2,2-Bis[4-(3,4-dicarboxyphenoxy) phenyl]propane dianhydride
BTDA	3,3',4,4'-Benzophenonetetracarboxylic dianhydride
C-BPA	4,4'-(9-Fluorenylidene)diphenol
CHCl ₃	Chloroform
\bar{D}	Dispersity (M_w/M_n)
DB	Degree of branching
DMAc	Dimethyl acetamide
DMF	Dimethylformamide
DMSO	Dimethyl sulfoxide
DSC	Differential scanning calorimetry
EB	Elongation at break
FTIR	Fourier transform infra-red spectroscopy
GPC	Gel permeation chromatography
HQDPA	1,4-Bis(3,4-dicarboxyphenoxy)benzene dianhydride
hb	Hyperbranched
M_n	Number average molecular weight
M_w	Weight average molecular weight
NMP	<i>N</i> -Methylpyrrolidinone
NMR	Nuclear magnetic resonance
ODA	4,4'-Oxydianiline
ODPA	4,4'-Oxydiphthalic dianhydride
PAA	Polyamic acid
PL	Photoluminescence
PMDA	Pyromellitic dianhydride
SEM	Scanning electron microscopy
T_c	Crystallization temperature
T_d	Onset decomposition temperature
$T_{d,5\%}$	5% weight loss temperature
$T_{d,10\%}$	10% weight loss temperature
TEM	Transmission electron microscopy
T_g	Glass transition temperature
TGA	Thermogravimetric analysis
THF	Tetrahydrofuran
T_m	Melting temperature
UV	Ultraviolet

1 Introduction to Hyperbranched Polymers

The research activities in the field of hyperbranched (hb) polymers have generated an innovative and impressive number of new hb polymers, together with first applications of these materials. With the availability of synthetic strategies from a wide range of building blocks and with precise control over polymer architecture and functionality, hb polymers have attracted a great deal of attention during the past decade for many interesting applications. New synthetic methodologies have allowed the preparation of highly branched molecules under controlled conditions, and new analytical techniques have allowed the characterization of these materials [1–8]. Numerous unusual and novel properties have been noted for hb and dendritic macromolecules [9–11], and new applications are now appearing at a rapid pace [12–15].

Hyperbranched polymers are promising in practical applications due to their one-pot synthetic route on a large scale, as compared to dendrimers, which require multistep synthesis. Compared with linear polymers, hb polymers have lower viscosity and better solubility due to their abundant functional groups and globular shape. The ease of preparation of hb polymers has led to their incorporation into many copolymers [3, 16]. Copolymerization of linear monomer with branched monomer as a random component generates material with controlled branching that can enhance the properties of linear polymers [17, 18] and also lead to products that have added flexibility [19–22]. Hyperbranched polymers are frequently used as the core of core–shell block copolymers, with the shell block grown from the functional groups of the hb polymer [23–26]. They have also been grown from linear polymers to form diblock [27], triblock [28–30], and graft copolymers [31–33]. It is believed that the terminal groups of hb polymers can greatly affect the macromolecular properties, such as the glass transition temperature, solubility, dielectric properties, hydrophobicity, and thermal stability [34].

Of particular interest from an industrial point of view are the impressive advances that have been made using hb polymers for rheology modifiers, processing aids and, more recently, as functional materials in coatings, catalysts, sensors, biomaterials, emitting materials, nanotemplating applications, and piezoelectric sensors [35–42]. Many hb polymers have already been used as modifiers for different matrix polymers, especially for large-scale engineering plastics. Polymer blending is also a useful approach for combining the advantages of individual components [43]. The different structures of the hb polymers influence the properties of the blends in different ways.

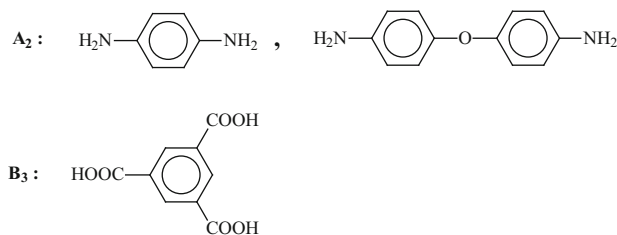
This review is organized in the following way: In the first part, recent developments in the synthesis of various aromatic hb polymers are discussed. In the second part, the applications of hb polymers in polymer processing, including melt modifiers, additives, and blend components as classical examples, are discussed. In addition, the review includes a detailed discussion of new areas that are being explored for the use of hb polymers, such as materials for fuel cell applications, gas separation, optics, and electronics.

2 Synthesis of Aromatic Hyperbranched Polymers

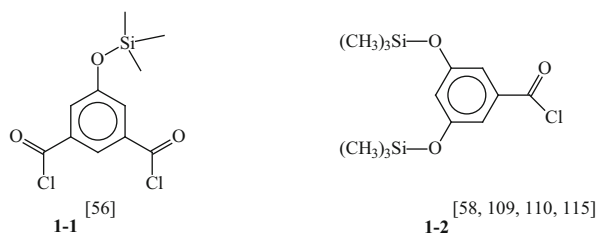
Hyperbranched polymers have attracted increasing attention because of their ease of synthesis, high degree of functionality, and material properties. Many strategies have been developed for the preparation of polymers with highly branched structure; in particular, the convenient and cheap one-step polycondensation of AB_x monomer has received significant attention [44, 45]. Many hb polymers have also been prepared via the $A_2 + B_3$ synthetic approach [46, 47]. Kim has reported the synthesis of aromatic hb polyamides from AB_2 and A_2B -type monomers [48]. Monticelli et al. [49] have reported the synthesis of hb aromatic polyamides from an AB_2 monomer, namely 5-(4-aminobenzoylamino) isophthalic acid. Polymerizations were carried out in *N*-methylpyrrolidinone (NMP) using triphenylphosphite/pyridine as condensing agent. Yamakawa and Ueda developed a ‘one-pot–multistep’ strategy for the synthesis of aromatic hb polyamides with relatively low dispersities (D) of 1.1–1.5 and very high degree of branching (DB) of 80–90% [50, 51]. Their strategy consisted of a repetitive sequence of in situ carboxyl group activation and condensation reactions. Kakimoto and coworkers synthesized hb polyamides [52] using *p*-phenylenediamine or 4,4'-oxyphenylenediamine as A_2 monomer and trimesic acid as B_3 building block. The structures of these A_2 and B_3 monomers are shown in Scheme 1.

Russo and coworkers have extensively investigated hb polyamides prepared by homopolymerization of 5-(4-aminobenzamido) isophthalic acid or copolymerization of *p*-phenylenediamine and trimesic acid as supports for the preparation of Pd and Pt nanoparticles [53, 54]. Fang et al. [47, 55] first reported the synthesis of hb polyimides (hb-PIs) from a triamine, tris(4-aminophenyl)amine, and commercially available dianhydrides. The hb-PIs showed a combination of the excellent high temperature characteristics of linear polyimides together with high solubility, low viscosity, and noncrystallinity resulting from the hb structure. Melt polycondensation has been applied for the synthesis of aromatic and aromatic–aliphatic based hb polyesters, leading to broad molar mass distributions and limited molecular weights [56, 57]. Carboxylic acid chloride end-functionalized all-aromatic hb polyesters were prepared from the AB_2 monomer 5-(trimethylsiloxy) isophthaloyl dichloride [56] and the structure of this AB_2 monomer (**1–1**) is shown in Scheme 2.

The hb aromatic polyesters prepared via melt condensation reaction resulted in materials with a very high glass transition temperature (T_g) due to rigidity of the polymer backbones. However, the synthesis of hb polymers with much more facile techniques is still desirable. Kricheldorf et al. [58] synthesized highly branched aromatic polyesters from 3,5-bis(trimethylsiloxy)-benzoyl chloride as an AB_2 type monomer (**1–2**, Scheme 2). Moore and Stupp synthesized linear polyesters [59] by solution condensation using a condensing agent such as 1,3-dicyclohexylcarbodiimide (DCC) and a catalyst [4-(*N,N*-dimethylamino)pyridinium 4-tosylate] and the method was further utilized for the synthesis of hb polyesters. Blencowe and coworkers reported the synthesis of a rigid hb polyester using coupling agents such as DCC and 1,3-diisopropylcarbodiimide with an AB_2



Scheme 1 Structures of A_2 and B_3 monomers used for preparation of hb polyamides [52]

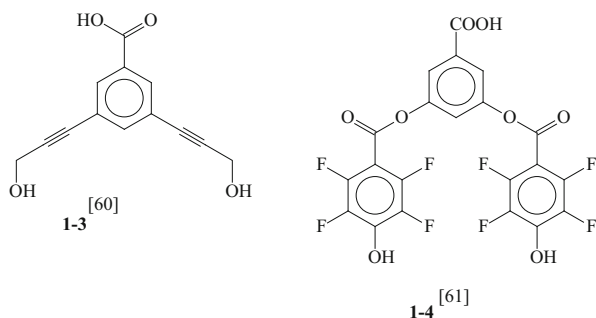


Scheme 2 Structures of AB_2 monomers used for preparing hb aromatic polyesters

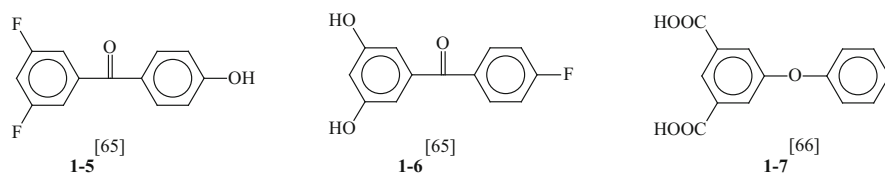
monomer, namely 3,5-bis(3-hydroxyprop-1-ynyl)-benzoic acid [60] (**1-3**, Scheme 3). Jen and colleagues [61] reported a fluorinated hb aromatic polyester that was prepared by mild one-step polyesterification of an AB_2 -type monomer, namely 3,5-bis(4-hydroxy-2,3,5,6-tetrafluorobenzoate)benzoic acid (**1-4**, Scheme 3), at room temperature using DCC and 4-(dimethylamino)pyridium 4-toluenesulfonate as the condensing agents.

Nucleophilic displacement of an activated dihalo or dinitro compound with an activated bisphenoxide salt at high temperatures has been the most explored method of poly(aryl ether) synthesis [62]. These synthetic strategies were further extended for the preparation of hb poly(aryl ether)s in one-step polymerization from AB_2 monomers containing a phenolic group and two aryl fluorides, which were activated toward nucleophilic displacement by a sulfone, ketone, imide, or heterocycle [63–65]. Miller et al. [63], Hawker and Chu [65], and Shu and Leu [66] reported the synthesis of hb poly(aryl ether ketone)s (hb-PAEKs) via the AB_x method. The structures of AB_2 monomers reported by Hawker and Chu [65] are shown in Scheme 4 (**1-5** and **1-6**). The structures of AB_2 monomers reported by Shu and Leu [66] are shown in **1-7**, Scheme 4.

The synthesis of hb-PAEKs via the $A_2 + B_3$ approach has been reported by many research groups [67, 68]. Choi et al. [69] reported a self-controlled synthesis of hb-PAEKs from diphenyl ether (or 1,4-diphenoxybenzene, B_2) and trimesic acid (A_3) via the Friedel–Crafts reaction. Baek and coworkers developed optimized conditions for Friedel–Crafts acylation in poly(phosphoric acid)/phosphorus pentoxide (PPA/ P_2O_5) medium for the preparation of hb-PAEKs [70, 71]. Martinez and Hay [72, 73] proposed the efficient synthesis and characterization of hb poly(aryl ether sulfone)s with a $K_2CO_3/Mg(OH)_2$ catalyst system for nucleophilic aromatic substitution. Kim et al. [74] reported the controlled nucleophilic aromatic



Scheme 3 Structures of AB₂ monomers used for preparation of hb polyesters [60, 61]



Scheme 4 Structures of AB₂ monomers used for preparation of hb-PAEKs [65, 66]

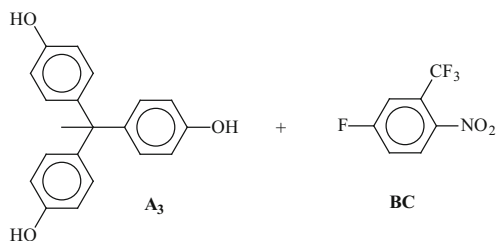
substitution reaction (S_NAr) of BC-type monomer, namely 5-fluoro-2-nitrobenzotrifluoride [where the reactivity of B (fluoro group) > C (nitro group)], with A or A₂-type phenolate for the formation of two aromatic ether linkages. The nitro group activated by the trifluoromethyl group at the *ortho* position had a strong electron-withdrawing capability, enabling the displacement of other leaving groups at the *para* position before it was displaced.

The authors also investigated unusual growth of hb poly(arylene ether)s using 1,1,1-tris(4-hydroxyphenyl ethane) as A₃-type and 5-fluoro-2-nitrobenzotrifluoride as BC-type monomer by one-pot synthesis with stepwise fluorine displacement followed by nitro displacement [75].

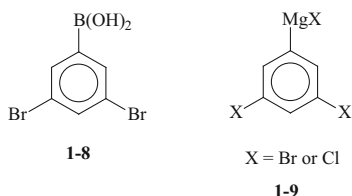
The *trans*-etherification reaction was realized during the polymerization of the above A₃ + BC system, leading to various types of growing species whose functional groups were dynamically exchanged during the polymerization. The monomer combination A₃ + BC is shown in Scheme 5.

Kim and Webster first prepared hb polyphenylenes from 3,5-dibromophenyl 4-boronic acid and dihalophenyl Grignard reagents by palladium-catalyzed and nickel-catalyzed aryl–aryl coupling reactions, respectively [76]. The structures of 3,5-dibromophenyl 4-boronic acid (1–8) and dihalophenyl Grignard reagents (1–9) are shown in Scheme 6.

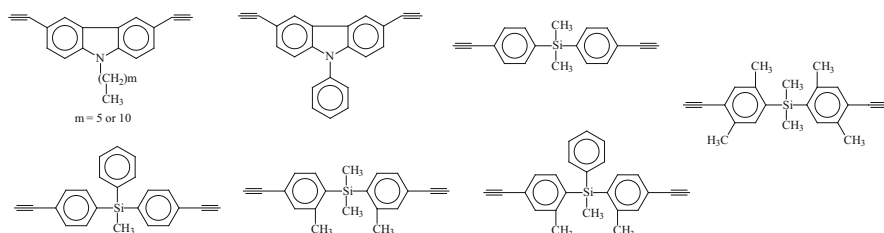
Tang and coworkers [77] used cobalt-catalyzed polycyclotrimerization of aromatic diynes for the preparation of hb polyphenylenes. The representative structures of aromatic diynes used for homopolycyclotrimerizations are shown in Scheme 7.



Scheme 5 Monomer combination $A_3 + BC$ used for the synthesis of hb poly(arylene ether)s



Scheme 6 Structures of 3,5-dibromophenyl 4-boronic acid and dihalophenyl Grignard reagents [76]



Scheme 7 Representative structures of aromatic diynes used for homopolycyclotrimerizations [77]

2.1 General Synthetic Approaches and Theoretical Aspects

Hyperbranched polymers are more promising for industrial applications than dendrimers because of single-step polymerizations, which are convenient for large-scale production. Hyperbranched polymers were first introduced by Flory in 1952 [78]. Flory presented hb polymers from a theoretical point of view, describing the intermolecular condensation of AB_x -type monomers. There are three types of repeating units, classified as dendritic (D), linear (L), and terminal (T), depending on the number of unreacted B functional groups in the structure of the hb polymers obtained from AB_x or $A_2 + B_x$ type monomers. In 1991, Hawker and Fréchet [79] described the degree of branching (DB) of AB_2 products as a factor for explaining the structure of the hb polymers:

$$DB = (D + T)/(D + L + T). \quad (1)$$

Because the number of dendritic units is theoretically equal to the number of terminal units at high molecular weights, Hawker and Chu [65] and Frey and coworkers [80] modified the definition as follows:

$$DB = 2D/(2D + L), \quad (2)$$

$DB = 0$ for a linear polymer because there is no branching and $DB = 1$ for a perfect dendrimer because it is completely branched.

Copolymerization has been widely used with polycondensation reactions to alter or enhance the physical properties and improve the processability of the final polymer. The introduction of the AB monomer allows control of the content of branching units. There are numerous reports in which an AB monomer has been copolymerized with an AB_x type monomer to afford copolymers with varying DB values, where DB is a typical characteristic frequently used to evaluate the irregularity of the structure of hb polymers.

The DB of the hb polymers resulting from the copolymerization of AB_2 with AB monomers depends on the fraction of branching unit AB_2 according to Eq. (3):

$$DB = 2rx / [r(1 - x) + (1 + r)^2], \quad (3)$$

where x represents the conversion ratio of A groups and r represents the feed fraction of AB_2 monomers in the total.

The maximum value the DB can reach is 0.5 when the reaction is close to completion for the AB_2 polycondensation system. The DB of the hb polymers resulting from the copolymerization of AB_2 and AB monomers depends greatly on the initial fraction of AB_2 monomer in the total feed. At the end of the reaction, when $x = 1$, Eq. (3) reduces to $DB = 2r/(1 + r)^2$.

Highly branched polymeric structures are also attainable through the polymerization of $A_2 + B_3$ monomers, but at a functional equivalence, when three-dimensional (3D) structures are developed, the polymer becomes a gel or highly crosslinked material that is insoluble in any organic solvent [78, 81]. Hence, polymerization requires careful control of the reaction to produce soluble hb polymers. The polycondensation to prepare hb polymers utilizing the $A_2 + B_3$ approach leads to gelation at the later stage of reactions (at a specific critical conversion and critical concentration) and affects the structure and properties of the final products. The gelation occurs because of the nonlinear propagation of macromolecules through the intermolecular reaction, which depends on the monomer reactivity and molecular steric elements. By choosing the appropriate monomer concentration and composition ratio, or controlling the reaction conversion, the crosslinking can be effectively avoided. A deeper understanding of the kinetics of $A_2 + B_3$ polymerization is valuable for both academic and practical application. Recently, Yang et al. [82] applied a 3D reactive bond fluctuation lattice model to study the kinetics of nonideal $A_2 + B_3$ -type hb polymerization with consideration of the intramolecular cyclization, monomer reactivity, and steric elements. This model was further

used to simulate a realistic example of an $A_2 + B_3$ polycondensation system from the work of Voit and colleagues [83, 84], utilizing fitting approximations.

It is well known that avoidance of gelation is crucial in the synthesis of hb polymers. Hence, low monomer concentration, intense stirring, and slow addition speed are used to maintain the lowest local concentration in order to prevent gelation for $A_2 + B_3$ -type hb polymerization. Flory's theory of gelation in the polymerization of $A_2 + B_3$ monomers is based on the following requirements for gelation: the equal reactivity of all A groups as well as B groups, the exclusive reactivity of A groups with B groups, and no intramolecular cyclization or chain termination in the process [85]. Thus, if an $A_2 + B_3$ polymerization does not obey these assumptions, gelation can be avoided. Recently, the more facile synthetic approach of $A_2 + BB'_2$ was developed [86–90] because of the more effective avoidance of gelation in this system compared with $A_2 + B_3$. In this approach, monomers are used that contain the same functional groups but with different reactivities, allowing for the formation of an intermediate AB_2 type monomer that is further polymerized to an hb polymer [91].

Litvinenko and coworkers [92, 93], Beginn et al. [94], Dusek et al. [95], Cameron et al. [96], and Galina et al. [97] have discussed the theoretical aspects of the related polycondensation systems in detail. Recently, Zhou et al. investigated the kinetics of the co-polycondensation of AB_2 and AB -type monomers in the presence of multifunctional cores [98].

2.2 *Synthesis of Selected Aromatic hb Polymers*

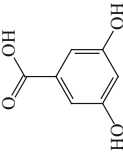
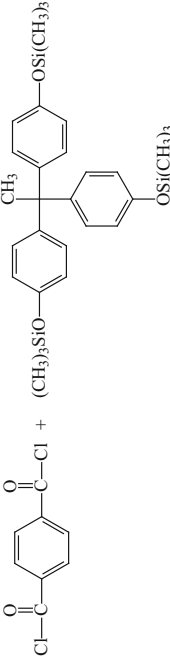
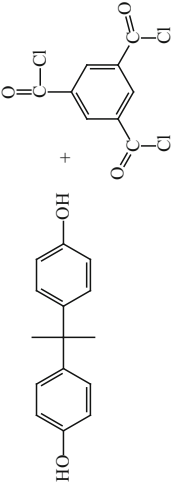
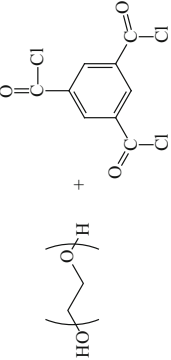
Unlike linear polymers, hb polymers are highly branched 3D macromolecules and have inherent internal cavities and abundant terminal groups, which often lead to better processability, compatibility, and solubility [99]. The terminal groups of hb polymers can greatly affect the macromolecular properties, such as the T_g , solubility, dielectric properties, hydrophobicity, and thermal stability. For example, aromatic polyamides (aramids) are well known as high-performance polymers [100]. However, hb structures are introduced [101, 102] in order to improve the poor processability caused by the rigid repeating unit in aramids. As an important engineering plastic, poly(phenylene oxide) has outstanding thermal, mechanical, and dielectric properties [103, 104]. However, its poor moldability, poor solubility, and inadequate adhesive strength limit its application. Compared with linear polymers, hb polymers show lower viscosity and better solubility due to the branching and their abundant functional groups and, therefore, hb poly(phenylene oxide)s, which combine the merits of poly(phenyl oxide) and hb polymers, have been synthesized to expand their application. Linear polyphenylenes [105] are found to be only partially soluble in most common organic solvents as they tend to aggregate in the solid state because of interchain π - π stacking interactions. Introducing the highly branched structure of these materials has made them attractive because of improved solubility and reduced or eliminated strong intermolecular interactions

and aggregation [106]. We will now discuss the synthesis of selected hb polymers such as hb poly(aryl ester)s, hb poly(aryl amide)s, hb polyimides, hb poly(aryl ether)s, hb poly(phenylene oxide)s, hb poly(phenylene sulfide)s, and hb poly(phenylene)s.

2.2.1 Hyperbranched Poly(aryl ester)s

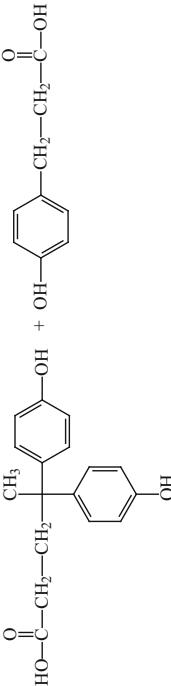
Melt polymerization is widely used in preparation of hb poly(aryl ester)s in industry because of the easy one-step process, but the solution polymerization route is more attractive because of the mild polymerization conditions, which reduce the potential for side reactions. Voit and coworkers [107] performed one-pot solution polycondensation of commercially available 3,5-dihydroxybenzoic acid as AB₂ monomer (Table 1, entry 1), at room temperature in the presence of 4-(dimethylamino)pyridinium-4-tosylate as catalyst to suppress the formation of *N*-acylurea. Different carbodiimides as coupling agents were investigated to find the optimal esterification conditions. To compare the properties of the AB₂ aromatic polyesters produced by different pathways, the solution polycondensation was compared with their well-known analogs synthesized in melt [78, 108]. Because of the mild reaction conditions, completely colorless fully OH-terminated hb aromatic polyester with narrow *D* was obtained. The degree of polymerization could be simply controlled by the reaction time, in contrast to melt polycondensation. The product showed a DB of 60%, which was similar to that of the product previously reported by melt polymerization of 3,5-bis(trimethylsiloxy) benzoyl chloride [78] and was higher in comparison with ideal statistically branched polymers from AB₂ monomers (which should possess a theoretical DB of 50%). The authors attributed the high DB to the activation or deactivation of different reaction sites as a result of different electron densities caused by different substituents formed during the course of the reaction in both melt and solution. Thermal analysis indicated that the hb polyesters were amorphous in nature in contrast to the linear analog poly(*m*-hydroxybenzoate), which is semicrystalline with a *T_g* of 145°C and a melting point *T_m* of 183°C [58]. Previously, Schmaljohann and coworkers [109, 110] used quantitative NMR to analyze the kinetics of the melt polycondensation of 3,5-bis(trimethylsiloxy)benzoyl chloride in detail using the contents of different structural units at different degrees of conversion. A major accelerating effect was a result of preferred formation of the most stable phenolate, which favored the formation of dendritic units as per the previous findings reported in literature [111, 112]. Recently, Khalyavina et al. [113] studied the effect of the DB on the *T_g* of hb polyesters and observed that the DB did not show much influence on the *T_g* of the polymers with an identical amount of phenolic –OH groups per unit. For example, the *T_g* and *M_n* values of OH-terminated polymers with DB = 8% (*M_n* = 14,500 Da, *T_g* = 155°C) and DB = 50% (*M_n* = 17,000 g/mol, *T_g* = 152°C), were close to each other. This finding was also in accordance with that observed previously by Wooley et al. [114], where linear, hb, and dendritic polyesters containing an identical amount of phenolic –OH groups per unit showed similar *T_g* values in the range 197–204°C.

Table 1 Monomer structures and monomer combinations used for the synthesis of hb poly(aryl ester)s

Entry no.	Monomer structures and monomer combinations	Polymerization type	References
1		AB ₂	[107]
2		A ₂ + B ₃	[115]
3		A ₂ + B ₃	[116]
4		A ₂ + B ₃	[117]

(continued)

Table 1 (continued)

Entry no.	Monomer structures and monomer combinations	Polymerization type	References
5		A ₂ + B ₃	[118]

Voit and coworkers [115] prepared hb polyesters by solution polycondensation at room temperature from the previously reported monomer, namely 3,5-bis(trimethylsiloxy)-benzoyl chloride (Scheme 2, 1–2) [109, 110]. Fully soluble aromatic AB₂ hb polyesters with a DB of about 55% in very high yield (~98 %) and of high molar mass ($M_w = 45,000$ Da) were achieved, which was in accordance with results previously reported in the literature [79, 108]. The hb polyesters showed high T_g of 220°C and $T_{d,5}$ of ~380°C. The hb aromatic polyesters prepared by the A₂+B₃ approach were also prepared by Voit and coworkers [115], where the A₂ monomer was terephthaloyl chloride (TCl) and the B₃ monomer was 1,1,1-tris(4-hydroxyphenyl)-ethane (THPE) or THPE modified with 1,1,1-tris(4-trimethylsiloxyphenyl)ethane (TMS) (see Table 1, entry 2 for monomer combination). All the polymerization reactions were conducted at room temperature due to the high reactivity of the TCl. In order to avoid gelation, the polycondensation of TCl (A₂) and THPE (B₃) was performed in dilute solution by slowly adding TCl solution to the THPE solution. The synthesis was carried out using different monomer ratios (A₂:B₃ = 3:2, 1:1, 3:4, and 1:2). The structures of the A₂+B₃ hb polyesters as well as the DB were calculated from the NMR spectra. Well-separated signals corresponding to the different subunits confirmed the hb structure of the A₂+B₃ hb polyester. In general, on approaching the stoichiometric ratio of the functionalities, the molecular weight of the resulting hb polymers increased and, as a result, the T_g and DB also showed an increasing trend. However, at the same time, gelation could not be avoided with higher monomer concentration or when high molar mass products were aimed for. Gelation was observed for higher A₂ ratios (3:2 and 1:1 at a monomer concentration of 57 mmol/L), resulting in insoluble polymers and, hence, gel permeation chromatography (GPC) could not be performed. The T_g values of the polymers obtained were in the range of 199–268°C, which showed an increasing trend with increasing molecular weight. A high T_g of 268°C was realized for M_w as high as 28,500 Da, at an A₂:B₃ molar ratio of 1:1. Interestingly, the melt rheology of A₂+B₃ (3:4) hb polyester from solution polymerization indicated viscous behavior with a shear thinning effect, whereas AB₂ polyester behaved as a completely elastic material.

Hyperbranched poly(aryl ester)s via the polycondensation of A₂ and B₃ monomers were also prepared utilizing the A₂+B₃ approach by Lin and Long [116]. A dilute bisphenol A (A₂) solution was added slowly to a dilute 1,3,5-benzenetricarbonyl trichloride (B₃) solution at 25°C to prepare hb poly(aryl ester)s in the absence of gelation (see Table 1, entry 3 for monomer combination). The molar ratio of A₂:B₃ was maintained at 1:1, and the maximum final monomer concentration was maintained at 0.08 M to avoid gelation. Gelation was not observed, even at longer reaction times of 72 h. Moderate values of M_w of the hb poly(aryl ester)s were obtained in the range of 10,000 and 22,000 Da, with \bar{D} values ranging from 2.45 to 3.48. The molecular weights did not show any increase on increasing the reaction time. The DB was determined to be in the range 47–55%. The hb polymers exhibited lower solution viscosities, as expected, and also exhibited lower T_g values than the linear analogs, ranging from 130 to 150°C,

depending on molecular weight. The authors attributed this to poor chain entanglement of the hb polymers in comparison to the linear analogs.

Tailoring the DB through the synthesis of hb poly(ether ester)s was accomplished by Unal et al. [117]. The polymerizations were conducted at room temperature using the $A_2 + B_3$ approach for the reaction of an acid chloride (1,3,5-benzenetricarbonyltrichloride) with poly(ethylene glycol) (PEG) (see Table 1, entry 4 for monomer combination) in the presence of anhydrous triethylamine (TEA) and using chloroform as solvent. In general, reactions were allowed to proceed for 24 h to ensure complete conversion. When a dilute solution of B_3 monomer was added to a dilute solution of A_2 oligomer, highly crosslinked products were obtained regardless of the rate of addition. In contrast, slow addition of the oligomeric A_2 solution to the B_3 solution yielded branched polymers. The monomer concentration did not show much influence on the molar mass and the D of the final products. Commercially available PEG diols of various number-average molecular weights (M_n) controlled the DB. ^1H NMR spectroscopy indicated a DB of 69% for a highly branched poly(ether ester) derived from 200 g/mol PEG diol. In general, the molar mass increased with increasing monomer concentration for PEG-600, decreased with increasing monomer concentration for PEG-2000, and changed nonsystematically for the samples derived from PEG-200. Decrease in molar mass with increased monomer concentration for hb poly(ether ester) derived from PEG-2000 was attributed to the limited solubility of the A_2 oligomer and the branched products at higher concentrations. Gelation during the polymerization was also observed at higher monomer concentration for various PEG-diols of M_n 200, 600, and 2,000 g/mol. Molar mass distributions broadened with increasing weight-average molar mass (M_w). The effects of branching and the length of the PEG segments also showed interesting thermal properties of the highly branched polymers, as detected by differential scanning calorimetry (DSC). The branched poly(ether ester)s based on PEG-2000 showed T_g values ranging from -42 to -51°C and a depressed T_m of 40°C , relative to both the A_2 oligomer (PEG-2000) and the linear poly(ether ester) based on PEG-2000, consistent with the reduced crystallization behavior of branched polymers.

A one-pot solution polymerization was performed at room temperature using partially aromatic monomers, namely 4,4-bis(4'-hydroxyphenyl)valeric acid as AB_2 and 3-(4-hydroxyphenyl)propionic acid as AB [118] (see Table 1, entry 5 for monomer combination), in the presence of 4-(*N,N* dimethylamino) pyridinium 4-tosylate (DPTS) as catalyst and dicyclohexylcarbodiimide (DCC). The dependencies of the DB and the thermal properties of the polymers on the $AB:AB_2$ monomer ratio were studied. Polyesters with statistical dendritic topology, controlled DB, and $M_w > 35,000$ g/mol were obtained. The DB was found to decrease with an increase in the amount of AB monomers and increasing comonomer ratio in the polymer (r_p = ratio of AB to AB_2) as shown in Fig. 1. Interestingly, the DB for hb homopolyester and branched copolyester at $r_p = 0.46$ was similar (see Fig. 1a), because of the fact that on adding the small-sized linear AB to the more voluminous AB_2 monomers in the reaction mixture, the steric effects decreased, which promoted the formation of dendritic units formed by the AB_2 monomer. The thermal

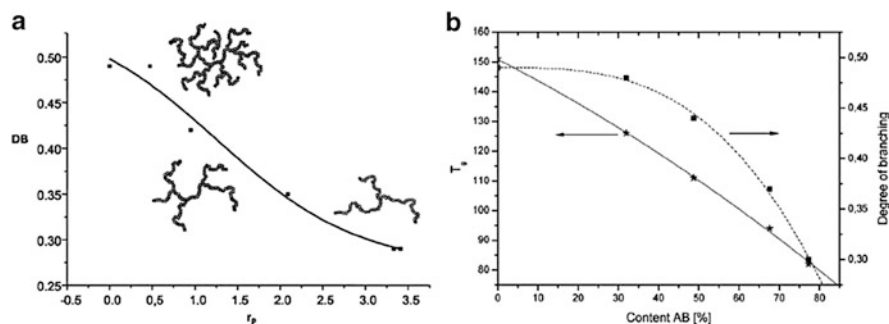


Fig. 1 (a) Degree of branching (DB) versus ratio of AB to AB₂ (r_p). (b) Influence of the content of AB units on DB and T_g . Reproduced with permission from [118]

behavior strongly depended on the branching of the polymers. Theoretically, with increasing DB, the T_g should decrease but an opposite behavior was observed. The T_g value is also dependent on the flexibility of the polymers. DSC measurements showed a decrease in the T_g with a decrease in the DB, which was ascribed to the higher flexibility of the polymer structures containing an increasing amount of AB units. The T_g of homopolymer resulting from AB₂ monomer was 151 °C and that of copolymer resulting from AB + AB₂ monomer combinations with $r_p = 0.46$ was 125 °C. The hb homopolymer and copolyesters ($r_p = 0, 0.46, \text{ and } 0.94\text{--}2.00$) showed an amorphous behavior. However, the decrease in the DB and increase in the number of linear units in the polymers enabled the formation of crystalline sections. For this reason, the hb copolyester with $r_p = 3.44$ showed a broad melting region, and the T_g ranged from 150 to 220 °C with a maximum at 178 °C. The dependence of the T_g on the DB and the content of AB units in the copolymer is shown in Fig. 1b. The T_g decreased from 150 to 81 °C with increasing AB content in the copolymer as the flexibility of the chains increased, which in turn decreased the T_g . Thermogravimetric analysis (TGA) showed a higher thermal stability for the branched copolyesters, with maximum decomposition temperature in the range 430–450 °C, in comparison with the maximum decomposition temperature of 400 °C for the hb homopolymer.

2.2.2 Hyperbranched Poly(aryl amide)s and Poly(arylether amide)s

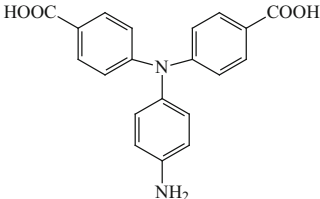
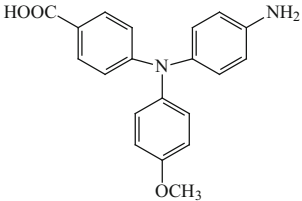
Polymers containing arylamide units have been prepared by forming the amide bonds directly during polymerization or by using monomers that contain amide units and linking them, e.g. by nucleophilic substitution, to form poly(arylether amide)s. For example, Baek and Harris [119] prepared two self-polymerizable AB₂ amide monomers, namely *N,N'*-bis(4-fluorobenzoyl)-3,4-diamino-4'-hydroxydiphenylether (Table 2, entry 1) and *N,N'*-bis(4-fluorobenzoyl)-3,5-diamino-4'-hydroxybenzophenone (Table 2, entry 2), and polymerized them to afford a hb poly(aryl ether amide) and a hb poly(aryl ether ketone amide). The aryl fluoride-

Table 2 Structures of monomers used for the synthesis of hb poly(aryl ether amide)s and poly(aryl amide)s

Entry no.	Monomer structures	Type of monomer	References
1		AB ₂	[119]
2		AB ₂	[119]
3		AB ₂	[120]
4		A ₂ B	[120]
5		B ₃	[121]
6		AB ₂	[122]

(continued)

Table 2 (continued)

Entry no.	Monomer structures	Type of monomer	References
7		A ₂ B	[123]
8		AB	[125]

terminated hb poly(aryl ether amide) and poly(aryl ether ketone amide) were amorphous and soluble in many common organic solvents, including THF and CHCl₃. However, hb poly(aryl ether ketone amide) showed a higher T_g of 269°C compared with that of poly(aryl ether amide), which showed a T_g value of 210°C. The thermal stability evaluated by TGA also showed a similar trend. The $T_{d,5\%}$ values in N₂ were recorded as 323°C and 433°C for hb poly(aryl ether amide) and poly(aryl ether ketone amide), respectively. The authors attributed the drastic weight loss at 323°C to the intramolecular formation of imidazole moieties with a concomitant loss of 4-fluorobenzoic acid.

Hyperbranched poly(aryl ether amides) with fluorine or hydroxy end groups were synthesized by In and Kim [120] from AB₂ (Table 2, entry 3) or A₂B (Table 2, entry 4) type monomers via a nucleophilic aromatic substitution (S_NAr) reaction. Monomer syntheses were facilitated by chemoselective amidation reactions, and even a direct synthesis of hb polymer was possible without isolation of the monomer. The resulting hb poly(aryl ether amide)s showed highly branched characteristics, with DB ranging from 43 to 53%. All hb polymers were readily soluble in polar aprotic solvents such as DMF, DMSO, and NMP, regardless of the end groups, due to the high amide contents and longer branching distances between adjacent branching points in the hb polymer backbones. The M_n values measured by GPC were in the range of 46,200 to 112,400 Da. The polymers showed a high T_g of over 220°C and high thermal stability, with $T_{d,10\%}$ over 420°C.

Shabbir et al. [121] aimed to prepare aromatic and semi-aromatic amine-terminated hb polyamides without gelation via direct polymerization of triamine as B₃ monomer with different aliphatic and aromatic diacid chlorides (terephthaloyl chloride, isophthaloyl chloride, sebacoyl chloride, and adipoyl chloride) as A₂ monomers. An aromatic triamine (B₃), 1,3,5-tris(4'-aminophenylcarbonyl)benzene (TAPCB) (Table 2, entry 5), was reacted with two aromatic and two aliphatic

diacid chlorides (terephthaloyl chloride, isophthaloyl chloride, sebacoyl chloride, and adipoyl chloride) to yield four hb polyamides. In this work, the ratio $A_2:B_3$ was set to 2:3 to control the polymerization without catalyst by consideration of reactants and reaction conditions; no free acid chloride groups existed in the polymers, making these amine-terminated polymers. No gelation occurred for the selected reaction temperature and time (0°C for 3 h) with a monomer concentration of 10 mmol. Products containing a large amount of terminal amine groups resulted. The polymers were soluble in polar aprotic solvents at room temperature. The hb polyamides in general showed low molecular weights in the range of 13,000–27,000 Da and DB in the range of 51–55%. Higher molar masses could not be achieved because of the off-stoichiometry chosen to prevent gelation. The T_g values for hb polyamides were in the range of 138–198°C, depending on the rigidity of the polymer structure, the highest being for the hb polyamide containing rigid benzamide units and the lowest for the hb polymer with an alkyl–amide chain. The $T_{d,5\%}$ values recorded in nitrogen for the hb polyamides were in the range of 262–331°C, indicating moderate thermal stability. The hb polyamides studied by X-ray diffraction showed no crystallinity, indicating an amorphous nature that was attributed to the highly branched architecture preventing the packing of macromolecules through hydrogen bonding between the amide groups.

Ohta et al. [122] reported a new approach for controlling the polymerization of AB_2 monomers from a core initiator by utilizing the change in substituent effects between monomer and polymer to suppress statistical self-condensation but favor a type of chain growth. The polymerization of AB_2 monomer (Table 2, entry 6) was carried out utilizing a mixture of 1.1 equivalents of lithium 1,1,1,3,3,3-hexamethyldisilazide (LiHMDS) and 6.7 mol% of core initiator in the presence of lithium chloride (LiCl), where LiCl helped in reducing the reactivity of the intermediate amide anion. The obtained hb polyamides showed controlled molecular weight and narrow dispersity, $\bar{D} \sim 1.11$, indicating that the controlled polymerization of AB_2 monomer was not only governed by slow monomer addition, but also by the change in substituent effects between the monomer and polymer to suppress self-polymerization.

Liou and coworkers [123] synthesized A_2B type monomer (Table 2, entry 7) by chemical modification of end functional groups incorporating *para*-methoxy-substituted triphenylamine groups [124], which lowered the oxidation potentials and afforded different electrochromic characteristics. Furthermore, the content of branching units could also be tuned by copolymerization of the A_2B with AB type monomer (Table 2, entry 8), namely 4-amino-4'-carboxy-4''-methoxytriphenylamine [125]. The aromatic hb polyamide formed by self-condensation of A_2B monomer and the copolymer formed by utilizing the monomer combination $A_2B + AB$ were prepared by the usual polycondensation method using triphenyl phosphite and pyridine. The hb polyamide formed by self-condensation of A_2B monomer was post-functionalized with an amino monomer as the end-capping agent. The high solubility of the hb polyamides in polar aprotic solvents such as NMP, DMAc, DMF, and DMSO and also in THF was attributed to the incorporation of bulky, 3D triphenylamine moieties along the polymer backbone, which

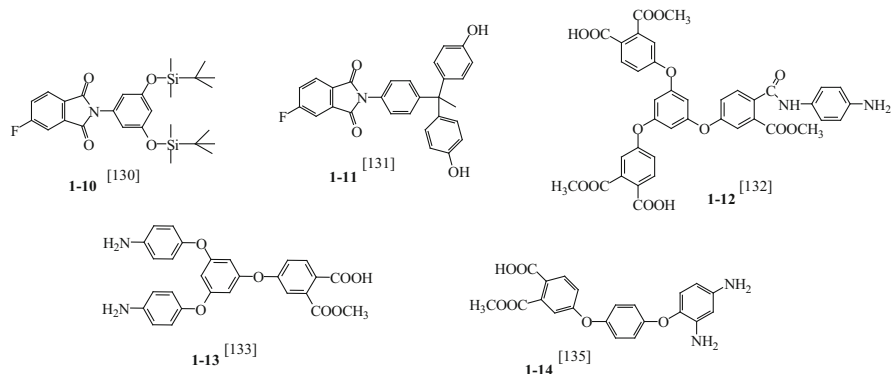
resulted in a high steric hindrance for close packing, and thus reduced their tendency to crystallize. A high T_g in the range of 193–211°C was recorded, depending upon the stiffness of the polymer chain, and DSC thermograms indicated the amorphous nature of the hb polyamides. The $T_{d,10\%}$ of these polymers in nitrogen and air were recorded as 505–550°C and 510–535°C, respectively, indicating good thermal stability.

2.2.3 Hyperbranched Polyimides

Aromatic polyimides represent an important class of high-performance polymeric materials because of their many outstanding key properties, such as high mechanical strength, high modulus, unusual thermoxidative stability, excellent electrical properties, and superior chemical resistance [126, 127]. Hyperbranched polyimides (hb-PIs) show high T_g and superior solubility due to their 3D architecture as well as excellent physical and chemical properties.

Similarly to hb poly(aryl amide)s, the imide function in hyperbranched polyimides can be formed directly through imidation of the respective anhydride (acid)- and amine-containing AB_2 monomers or $A_2 + B_3$ monomer combinations or by polymerization of imide function-containing monomers leading, e.g., to poly(ester imide)s or poly(ether imide)s. Kricheldorf et al. synthesized a poly(ester imide) from a trifunctional imide monomer [128]. Maier et al. synthesized a heterocyclic hb-PI from a monomer containing a maleimide group (A) and an azine group (B_2) through a crisscross cycloaddition polymerization [129]. Moore and coworkers [130] reported the preparation of aromatic hb-PIs through the nucleophilic etherification of a protected AB_2 monomer (**1–10**, Scheme 8). The self-polycondensation was carried out through the nucleophilic etherification of silylated phenol and aryl fluoride in diphenylsulfone at 240°C in the presence of cesium fluoride. Other AB_2 -type monomers were also prepared for the synthesis of hb-PIs via nucleophilic etherification, as shown in **1–11**, Scheme 8 [131].

An AB_2 -type monomer (**1–12**, Scheme 8) was prepared by Hao et al. [132] by multistep synthesis. The AB_2 monomer was self-condensed to form five hb-PIs by variation of the concentration and reaction temperature. The synthesized hb-PIs showed good solubility in polar aprotic solvents such as in DMF, DMAc, DMSO, and NMP with a DB around 50%. The hb-PIs prepared from the new AB_2 monomer were observed to be soluble in polar aprotic solvents like NMP, DMF, and DMSO. The self-condensation of AB_2 monomer at room temperature, carried out at a dilute concentration of 0.06–0.16, led to low molecular weight oligomers of ~11,100 Da and, hence, polymerization was investigated at higher solution concentration and at a temperature of 50°C. Increasing the solution concentration from 0.06 to 0.32 g/mL showed a limited increase in molecular weight up to 25,500 Da; however, increasing the temperature to 50°C at a dilute concentration of 0.08 g/mL resulted in a marked increase in molecular weight to 173,000 Da. The T_g values of the hb-PIs were found to be in the range of 155–161°C and showed an increasing trend with



Scheme 8 Structures of some AB₂ monomers used for the synthesis of hb-PIs

increase in molecular weight. The $T_{d,5\%}$ values for the hb-PIs were 445–460°C, indicating high thermal stability.

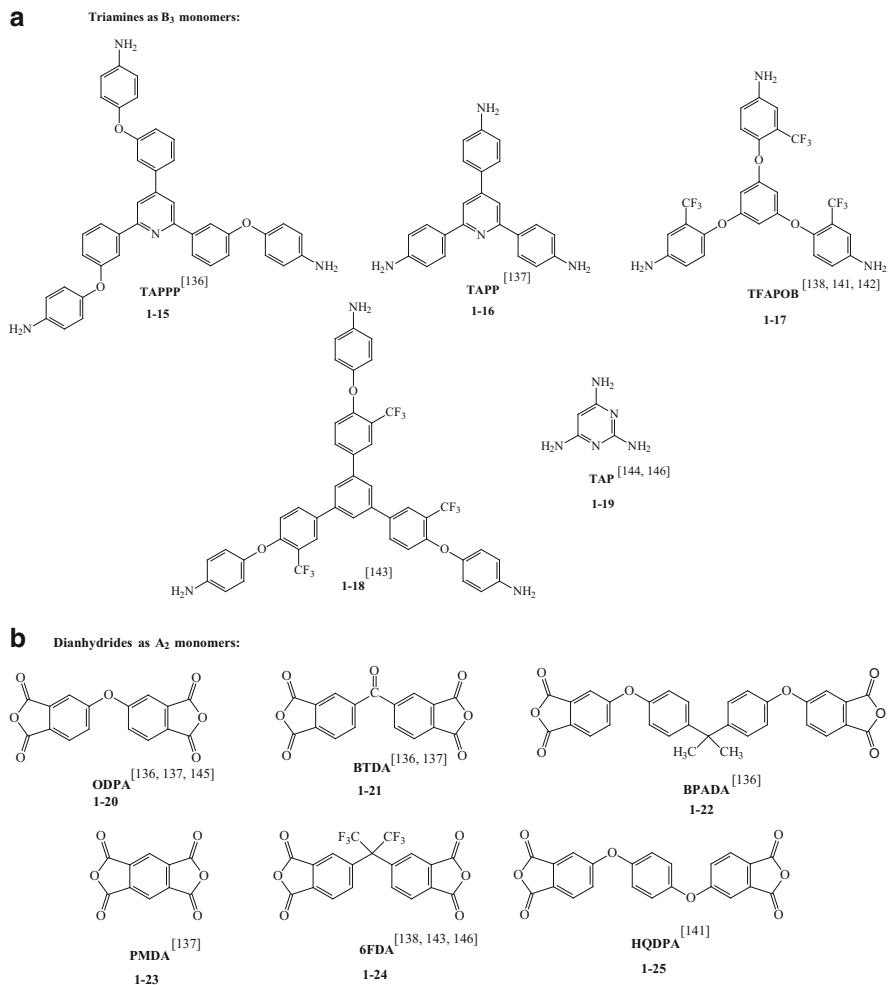
An AB₂-type monomer (**1-13**, Scheme 8), was synthesized by Yamanaka et al. [133] starting from 3,5-dimethoxyphenol via a polyamic acid methyl ester precursor. The aromatic hb-PIs were prepared by chemical imidization in the presence of acetic anhydride and pyridine. The synthesized polyimides were soluble in polar aprotic solvents and showed thermal stability, with a $T_{d,10\%}$ of 470°C in nitrogen and a T_g of 193°C. In another work by Yamanaka et al. [134], the same AB₂ monomer containing free amine end groups of the precursor was end-capped with acetyl, *n*-heptanoyl chloride, and 4-methylphthalic anhydride. By chemical imidization of these precursors in the presence of acetic anhydride and pyridine, hb-PIs were prepared. The DB of the hb-PIs was ~50% as expected. The hb-PIs showed a $T_{d,5\%}$ above 395°C, and T_g values of 189, 138, and 186°C for the end groups of acetamide, *n*-heptanoamide, and 4-methylphthalimide, respectively.

Wang et al. [135] prepared a novel ABB' monomer (**1-14**, Scheme 8), namely 4-[4-(2,4-diaminophenoxy)phenoxy] phthalic acid 2-methyl ester, which was polymerized to form the precursor polyamic acid monomethyl ester. The direct polycondensation of the ABB' monomer was carried out to form polyamic acid monomethyl ester as a precursor and had a M_n of 12,000 Da. Chemical imidization in the presence of acetic anhydride and pyridine gave hb-PIs with low DB. The DB of the precursor, as determined by the ¹H NMR spectra, was only 7%. They ascribed the low DB to the differences in the reactivities of the amino groups. End modification reactions were accomplished with acetyl chloride, benzoyl chloride, and phthalic anhydride to form end-capped polyimides. The end-group-modified polyimides were soluble in polar aprotic solvents such as DMSO, DMF, and NMP. TGA measurements showed $T_{d,5\%}$ in the range of 400–520°C, and T_g of 200–258°C. The hb-PIs showed film-forming ability, but they were more brittle than analogous linear polymers.

Shen et al. [136] prepared several hb-PIs from a BB'₂-like aromatic triamine monomer, namely 2,4,6-tris[3-(4-aminophenoxy)phenyl]pyridine (TAPPP) (**1-15**

Scheme 9a), with commercial aromatic dianhydrides [4,4'-oxydiphthalic dianhydride (ODPA), 3,3',4,4'-benzophenonetetracarboxylic dianhydride (BTDA), and 2,2-bis[4-(3,4-dicarboxyphenoxy) phenyl]propane dianhydride (BPADA)] as A_2 type monomers by the $A_2 + B_3$ approach. A different monomer addition order and changes in monomer molar ratios resulted in different hb-PIs. The addition of dianhydride into triamine TAPPP with a monomer molar ratio of 1:1 yielded the amine-terminated hb-PIs, whereas the reverse monomer addition order with a molar ratio of 2:1 gave the anhydride-terminated hb-PIs. The monomer was added slowly to avoid any high local concentration. The amine-terminated hb polyamic acids (hb-PAA) were thermally imidized in solution at 180°C for 24 h, whereas the anhydride-terminated hb-PAA were chemically converted into hb-PIs using a mixture of excess acetic anhydride and pyridine. The hb-PIs were obtained in high yields (95–98%). The DB for anhydride-terminated hb-PIs based on ODPA (1–20, Scheme 9b), BTDA (1–21, Scheme 9b), or BPADA (1–22, Scheme 9b) with TAPPP was 100%, indicating a completely branched structure. For amine-terminated hb-PIs, it was impossible to determine the DB by $^1\text{H-NMR}$ because the peaks of linear units and terminal units were always in the same range. For the amine-terminated and anhydride-terminated hb-PIs based on BPADA with TAPPP, the M_w was 92,000 and 32,000 Da, respectively. The DSC measurements of the amine-terminated hb-PIs showed T_g in the range of 232–258.5°C and the anhydride-terminated hb-PIs showed T_g in the range of 219–273.5°C. The highest T_g was recorded for BTDA-based hb-PIs and the lowest T_g in the series was detected for BPADA-based hb-PIs because of the flexible ether linkages present in the structure. In the dynamic mechanical analysis (DMA), the T_g values of obtained hb-PI films were in the range of 214–270°C, showing similar values to those detected by DSC. The $T_{d,10\%}$ values for the hb-PIs were in the range of 532–575°C for the amine- and anhydride-terminated hb-PIs, indicating high thermal stability. The hb-PI films showed film-forming ability. Their mechanical properties were evaluated and showed high tensile strength of 83–96 MPa, tensile modulus of 1.8–2.4 GPa, and low elongation at break (EB) of 5–7%.

Chen et al. [137] employed microwave irradiation for the preparation of amine- or anhydride-terminated hb-PIs. A BB'_2 -type triamine monomer, namely 2,4,6-tris(4-aminophenyl)pyridine (TAPP) (1–16, Scheme 9a), was synthesized under microwave irradiation to prepare a series of amine- and anhydride-terminated triphenylpyridine-containing hb-PIs by $A_2 + BB'_2$ polymerization. Several commercially available aromatic dianhydrides, namely pyromellitic dianhydride (PMDA, 1–23, Scheme 9b), BTDA, and ODPA, were used as A_2 type monomers to react with the BB'_2 type aromatic triamine (TAPP). The addition of dianhydride to triamine with a monomer molar ratio of 1:1 yielded the amine-terminated polymer, whereas the reverse monomer addition order with a molar ratio of 2:1 gave the anhydride-terminated polymer. Slow monomer addition was used to avoid any high local concentration. The authors kept the total solid content below 0.08 mol/L for the amine-terminated polymer and 0.06 mol/L for the anhydride-terminated polymer to prevent insoluble gels. During the whole polymerization, continuous microwave irradiation was employed to enhance the reactivity and



Scheme 9 Structures of (a) triamines as B₃ monomers and (b) dianhydrides as A₂ monomers commonly used for the synthesis of hb-PIs

shorten the reaction time. The amine-terminated hb-PAA were thermally imidized in solution in the presence of *m*-xylene at 170°C, whereas the anhydride-terminated hb-PAA were chemically converted into hb-PIs using a mixture of excess acetic anhydride and pyridine at 40°C. All resulting hb-PIs were obtained in high yields (95–98 wt%). The model compounds of terminal and linear analogous units were not easy to obtain separately because of their multiple isomers and it was difficult to determine the DB. The amorphous polyimides were soluble in polar aprotic solvents at room temperature or upon heating. The amino- and anhydride-terminated hb-PIs showed $T_{d,10\%}$ values of 568–583°C, indicating high thermal stability. The BTDA- and ODPDA-based hb-PIs showed high T_g values of 311–339°C. The

thermally cured solution-cast films evaluated for mechanical properties showed tensile strength and modulus of 53–89 MPa, and 1.0–1.2 GPa, respectively, with low EB of up to 7%.

Gao et al. [138] synthesized fluorinated hb-PIs from a triamine monomer, namely 1,3,5-tris(2-trifluoromethyl-4-aminophenoxy)benzene (TFAPOB) (**1–17**, Scheme 9a), as B_3 monomer (shown in Scheme 2) and 4,4-(hexafluoroisopropylidene)diphthalic anhydride (6FDA, **1–24**, Scheme 9b) as A_2 monomer to form fluorinated hb-PIs by the $A_2 + B_3$ approach. Here, 3,5-ditrifluoromethylphenyl was used as an end-capping reagent. The synthesis route was similar to the conventional two-step method for the synthesis of linear polyimides, but with a different monomer addition order. Molar ratios of the dianhydride monomer and triamine monomer resulted in different hb polymers. The addition of dianhydride to triamine TFAPOB with a monomer molar ratio of 1:1 yielded the amine-terminated polymers, whereas the reverse monomer addition order with a molar ratio of 2:1 gave the anhydride-terminated polymers, as also reported by Chen et al. [137]. All hb-PIs exhibited moderate molecular weights with broad distributions. The anhydride-terminated hb-PI and amine-terminated hb-PI showed T_g values of 232°C and 243°C, respectively, in DSC. They ascribed the difference in T_g values to the appearance of hydrogen bonds in amine-terminated hb-PI and the different content of 6FDA residues in the two polymers [139]. The authors observed that hb-PI showed a birefringence as low as 0.002 at 650 nm, which was attributed to the presence of triamine monomers that reduced the orientation of the bonds in the polymer backbone and thereby reduced the birefringence. Liu et al. [140] used a nonideal $A_2 + B_3$ polymerization approach to obtain fluorinated hb-PIs based on TFAPOB. A series of aromatic ether dianhydride monomers with different flexible linear lengths were used to overcome the poor mechanical properties of globular branched macromolecules. In general, by increasing the linear part of the dianhydride monomer, the entanglements of polymer chains were enhanced and the mechanical properties of fluorinated hb-PIs were further improved.

Gao et al. [141] synthesized $-CF_3$ -terminated hb-PI by condensation of a triamine monomer, 1,3,5-tris(2-trifluoromethyl-4-aminophenoxy) benzene (TFAPOB) (**1–17** Scheme 9a), and a commercially available dianhydride monomer, 1,4-bis(3,4-dicarboxyphenoxy) benzene dianhydride (HQDPA, **1–25**, Scheme 9b), using the $A_2 + B_3$ approach. Here, 3,5-ditrifluoromethylaniline was used as an end-capping reagent. In addition, the physical and gas transport properties of CF_3 -HQDPA were compared with those of the non-trifluoromethyl-terminated fluorinated hb-PI analog. The authors found that the introduction of $-CF_3$ groups at the end of hb polyimide increased oxygen and nitrogen permeability, whereas the selectivity decreased in the presence of the terminal groups. The B_3 monomer TFAPOB (**1–17**, Scheme 9a) was further utilized by Zhang and colleagues [142] together with 4,4'-oxydiphthalic anhydride (ODPA) as A_2 monomer to form fluorinated hb-PIs by the $A_2 + B_3$ approach. Fluorinated hb-PIs end-capped with metallophthalocyanines were prepared by the reaction of dicyanophenyl end-capped fluorinated hb-PI with excessive amounts of 1,2-dicyanobenzene and

the corresponding metal (Cu, Zn, Ni) salt in quinoline. Because of the paramagnetic copper and nickel ions, $^1\text{H-NMR}$ analysis was not possible for structural elucidation; however, the UV–vis absorption spectrum supported the chemical structure of metallophthalocyanine-containing hb-PIs. The metallophthalocyanine-containing hb-PIs also showed good solubility in polar aprotic solvents and CHCl_3 and their M_n varied from 25,300 to 31,800 Da. The T_g values of these polymers were in the range of 217–225°C and the $T_{d,5\%}$ varied from 440 to 487°C.

Banerjee et al. [143] synthesized a triamine monomer B_3 (**1–18**, Scheme 9a) with the aim of preparing fluorinated hb-PIs by the $\text{A}_2 + \text{B}_3$ approach using commercially available dianhydrides as A_2 monomers. However, during solution imidization of the amide acids that formed by the reaction of the dianhydrides and B_3 monomer, an appreciable amount of gelation was observed for all the molar ratios, except when 6FDA was used as dianhydride for reaction molar ratio of 1:1 and the solution concentration was maintained at 2.7 wt%. Due to the considerable amount of gelation during solution imidization, further characterization was not explored.

Previously, Park et al. [144] prepared hb-PIs by the polymerization of $\text{A}_2 + \text{B}_2\text{B}'$ monomers. No gelation occurred during the polymerization although the monomer conversions surpassed the theoretical gel points, which was attributed to the unequal reactivity of the amino groups present in 2,4,6-triaminopyrimidine TAP as $\text{B}_2\text{B}'$ monomer (**1–19**, Scheme 9a). Peter et al. [145] prepared a series of hb-PI membranes by copolymerization of 4,4'-oxydiphthalic anhydride (ODPA), 2,4,6-triaminopyrimidine (TAP), and 4,4'-oxydianiline (ODA) at various molar ratios of comonomers. No gelation occurred during polymerizations, probably because of the different reactivities of the amino groups at the 2- and 4-/6- positions in TAP. These hb-PIs were further explored for gas separation studies.

Recently, Chen et al. [146] also prepared a series of free-standing transparent hb-PI membranes by the $\text{A}_2 + \text{B}_2\text{B}' + \text{B}_2$ polymerization approach using 6FDA as A_2 monomer, TAP as $\text{B}_2\text{B}'$ monomer, and ODA as B_2 monomer. Here too, no gelation occurred during polymerization because of the different reactivities of the amino groups present in the TAP monomer. The DB of the prepared hb-PIs increased from 0 to 69% with increase in the content of branching unit TAP. The T_g decreased in the range of 313–266°C with increasing the content of TAP, which the authors attributed to a lowering of molecular weight [147] with increasing TAP content, which in turn led to higher segment mobility of the macromolecules and, hence, lower T_g values. The TGA measurements revealed that the $T_{d,5\%}$ decreased from 559 to 432°C with increase in the TAP content. These hb-PI membranes were further studied for gas separation applications.

2.2.4 Hyperbranched Poly(aryl ether)s

High molecular weight poly(aryl ether)s can be prepared typically from arylhalogenides and phenols in the presence of weak bases such as potassium carbonate in dipolar aprotic solvents [148–150]. The water formed during the

reaction is removed azeotropically with toluene to promote the polymerization. The S_NAr reaction generally requires a leaving group activated with an electron-withdrawing group at the *ortho* or *para* position. Typical leaving groups are fluorine, chlorine, and nitro groups. Fluorine as a leaving group shows good reactivity due to its small size and high electronegativity.

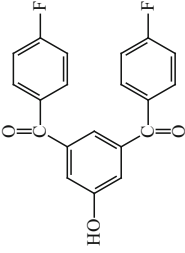
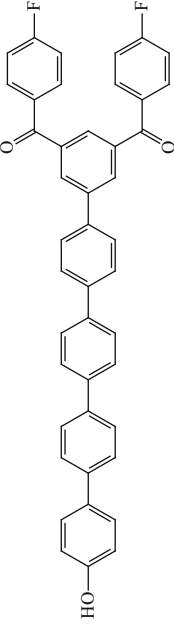
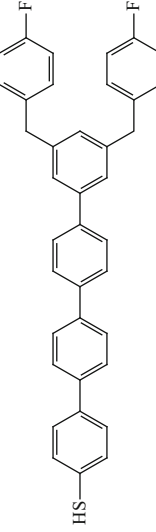
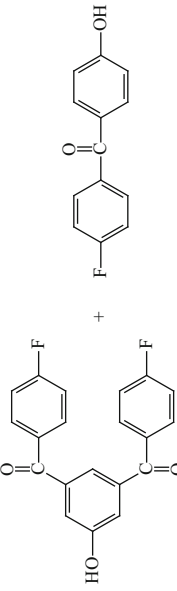
Hyperbranched Poly(aryl ether)s with a Ketone Moiety

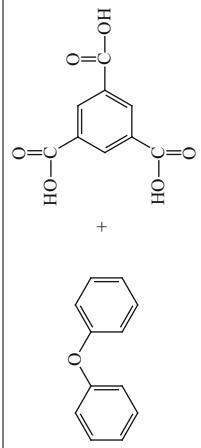
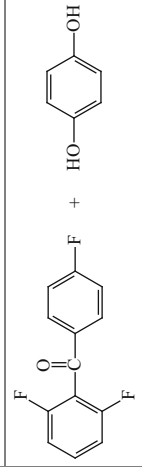
Kricheldorf et al. [151] prepared 3,5-bis(4-fluorobenzoyl)phenol from 5-hydroxyisophthalic acid and 5-methoxyisophthalic acid via Friedel–Crafts acylation of fluorobenzene with 5-methoxyisophthaloyl chloride. The 3,5-bis(4-fluorobenzoyl)phenol as AB_2 monomer (Table 3, entry 1) was polycondensed under various reaction conditions utilizing different solvent mixtures and temperature ranges (DMSO + toluene/140–145°C, NMP + toluene/150–155°C, sulfolane + toluene/150–155°C, sulfolane + xylene/170–175°C). In NMP, the molecular weights of the poly(aryl ether ketone)s were slightly lower than those obtained in DMSO. With sulfolane and either toluene or xylene, the reaction temperature could be raised to 150–155 or 170–175°C, respectively, but the lowest molecular weights were obtained using this solvent. The M_w values were in the range of 7,000 to 12,500 Da. Copolymerizations were carried out using 4,4'-difluorodiphenyl sulfone (DFBP) as a comonomer in varying ratios, leading to the formation of star-shaped polymers with a diphenyl sulfone star center. The results were compared with those obtained from 'linear polycondensations' based on DFBP and 4-*tert*-butylcatechol or bisphenol A. The hb poly(aryl ether ketones) (hb-PAEKs) prepared from DFBP had low molecular weights and contained high fractions of cyclic poly(ether ketone)s. The authors concluded that for hb polymers, cyclization competes with propagation at any stage of the polycondensation at any concentration and that for polycondensations with AB_n -type monomers, in general, star-shaped polymers having a cyclic core and hb star arms are formed.

Previously, Morikawa [152] prepared hb-PAEKs with various numbers of phenylene units in the backbone. A representative structure of the AB_2 monomer containing phenylene units is shown in Table 3, entry 2. The values of T_g for the hb-PAEKs were in the range of 188–218°C and increased with an increasing number of the phenylene units.

Recently, Maken and coworkers [153] prepared AB_2 -type monomers, namely 4-thio-3',5'-bis(4-fluorobenzal)biphenyl, 4-thio-3'',5''-bis(4-fluorobenzal)-*p*-terphenyl and 4-thio-3''',5'''-bis(4-fluorobenzal)-*p*-quarterphenyl (Table 3, entry 3), starting from 3,5-bis(4-fluorobenzoyl)phenol. The AB_2 monomers were prepared by repeating a series of conversions of the hydroxy group to the triflate, crosscoupling of the triflate with (*p*-methoxythiophenyl) boronic acid, reduction of the carbonyl groups, and subsequent conversion of the methylthio group to a thiol group. The AB_2 monomers self-condensed to form different hb-PAEKs and the T_g was 175, 197, or 215°C, depending on whether the repeat unit structure contained biphenyl, terphenyl, or quadriphenyl units in the polymer backbone.

Table 3 Monomer structures and monomer combinations used for the synthesis of hb poly(aryl ether ketone)s

Entry no.	Monomer structures and monomer combinations	Type of polymerization	References
1		AB ₂	[151]
2		AB ₂	[152]
3		AB ₂	[153]
4		AB ₂ + AB	[154]

5	 <p>The reaction shows diphenyl ether (two benzene rings connected by an oxygen atom) reacting with 3,5-dihydroxybenzoic acid (a benzene ring with hydroxyl groups at the 3 and 5 positions and a carboxylic acid group at the 1 position). The product is a hyperbranched polymer structure where the diphenyl ether units are linked to the 3,5-dihydroxybenzoic acid units.</p>	$A_2 + B_3$	[155]
6	 <p>The reaction shows 2,6-difluorobenzophenone (a benzophenone core with fluorine atoms at the 2 and 6 positions) reacting with 4-hydroxyphenol (a benzene ring with a hydroxyl group at the 4 position). The product is a hyperbranched polymer structure where the 2,6-difluorobenzophenone units are linked to the 4-hydroxyphenol units.</p>	$A_2 + BB'_2$	[156]

Baek and Tan [154] improved the procedure for preparation of 3,5-bis(4-fluorobenzoyl)phenol (AB_2 monomer) at the intermediate step by the use of an acetyl group instead of a methyl group as the protecting group for the hydroxyl function of the AB_2 monomer. Alkaline hydrolysis of the intermediate 3,5-bis(4-fluorobenzoyl)phenyloxyacetate led to the desired AB_2 monomer, 3,5-bis(4-fluorobenzoyl)phenol. High molecular weight products ($M_w = 23,900$ – $49,800$ Da) were obtained from that monomer. The T_g value of the hb-PAEK was recorded to be 159°C , which was higher than the previously reported T_g by Miller et al. (140 – 143°C) [63]. The hb homopolymer showed a bimodal molecular weight distribution that was also observed for other related linear hb systems. The AB_2 monomer was also copolymerized with AB monomer, namely 4-fluoro-4'-hydroxybenzophenone, in weight ratios $AB_2:AB$ of 1:3, 1:1, and 3:1 to afford the respective hb-PAEKs with variable degrees of branching. The monomer combination $AB_2 + AB$ is shown in Table 3, entry 4. For 1:1 and 3:1 copolymers, the T_g value was 213°C and 164°C , respectively, indicating an increase in T_g with increase in the AB monomer content. The 1:3 copolymer (i.e., at 75 wt% of AB content) was semicrystalline in nature and showed a well-defined melting endotherm at 340°C , but no T_g was observed.

Trimesic acid and phenyl ether were in-situ polymerized as A_2 and B_3 monomers, respectively, in a Friedel–Crafts acylation in the presence of 10 wt% multiwalled carbon nanotubes (MWCNTs) by Baek and coworkers [155] to afford nanocomposites of hb-PAEK and MWCNT (hb-PAEK-g-MWNT). The feed ratios of A_2 and B_3 monomers varied from 2:3 to 2:1 in a mildly acidic medium. The monomer combination $A_2 + B_3$ is shown in Table 3, entry 5. By simply varying the $A_2:B_3$ monomer feed ratio, the polarity of the resulting nanocomposites was altered, changing from highly ionizable (theoretically 100% COOH end groups when $A_2:B_3 = 1:1$) to relatively nonpolar (theoretically 100% phenoxy end groups when $A_2:B_3 = 2:1$). Because of the globular molecular architecture of hb polymers, the morphology of the nanocomposites resembled ‘mushroom-like clusters on MWCNT stalks.’ The hb-PAEK-g-MWCNT nanocomposites showed good solubility in polar aprotic solvents. The T_g of the nanocomposites was 276 – 325°C and $T_{d,10\%}$ was recorded to be 477 – 498°C in air, indicating high temperature stability.

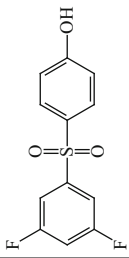
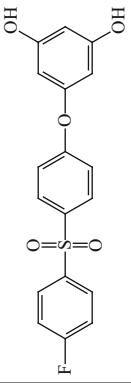
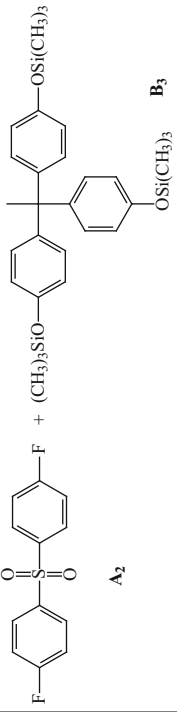
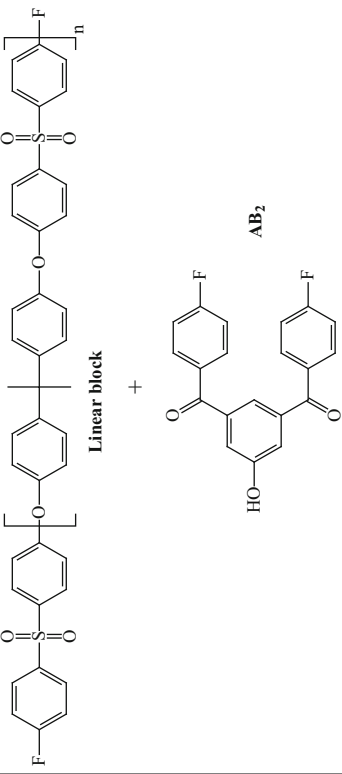
Li et al. [156] prepared hb poly(aryl ether ketone)s by the $A_2 + BB'_2$ approach using hydroquinone (A_2 monomer) and 2,4,6-trifluorobenzophenone (BB'_2 monomer). The monomer combination $A_2 + BB'_2$ is shown in Table 3, entry 6. When the feed molar ratio of A_2 to BB'_2 was less than or equal to 1 ($A_2:BB'_2 \leq 1$ or $OH:F \leq 0.67$), aryl fluoro-terminated hb poly(aryl ether ketone) (F-hb-PAEK) was obtained. When the feed molar ratio of A_2 to BB'_2 was greater than or equal to 2 ($A_2:BB'_2 \geq 2$ or $OH:F \geq 1.33$), phenolic –OH terminated hb poly(aryl ether ketone) (OH-hb-PAEK) was obtained. The chemical structure of F-hb-PAEK and OH-hb-PAEK was confirmed using FTIR and $^1\text{H-NMR}$ spectroscopy. In the $A_2 + BB'_2$ approach, the reaction of the B group (fluorine located at *para* position of the C=O group) of BB'_2 and the A group of A_2 monomer was much faster than that of B' group (fluorine located at *ortho* position of the C=O group) of BB'_2 and the A group of A_2 monomer. Hence, in the initial stage of the reaction, the dimers

AB'_2 were formed predominantly as new monomers, and such dimers further polymerized with each other to form the hb polymer. The DB of F-hb-PAEK was more than 50%, whereas that of OH-hb-PAEK was 100%. Other copolymers were also prepared by using 4,4'-oxydiphenol, bisphenol A, or dihydroxydiphenyl as A_2 monomers. All the hb polymers exhibited good solubility in common organic solvents. The T_g values of the hb polymers varied in the range of 146–176°C, depending on the structure of the A_2 monomers. The T_g of OH-hb-PAEK was higher than that of F-hb-PAEK. This difference was attributed to the appearance of hydrogen bonds and the different contents of hydroquinone in the two types of hb polymers. The highest T_g was recorded for the copolymer containing the rigid diphenyl moiety and the lowest T_g was recorded for the copolymer containing isopropylidene groups on account of the flexibility and increased free volume in the polymer. The $T_{d,5\%}$ values of all the hb polymers were above 500°C in nitrogen, indicating high thermal stability.

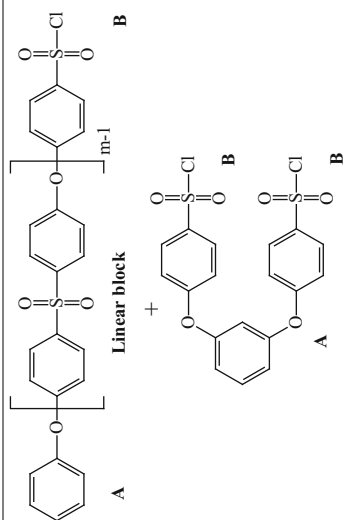
Hyperbranched Poly(aryl ether)s with a Sulfone Moiety

Himmelberg and Fossum [157] synthesized a new AB_2 monomer, namely 3,5-difluoro-4'-hydroxydiphenyl sulfone) (Table 4, entry 1), by the reaction of 3,5-difluorophenylmagnesium bromide with 4-methoxyphenylsulfonyl chloride, followed by deprotection of the phenol group with HBr in acetic acid. The polymerization of AB_2 in the presence of core molecules, namely 3,4,5-trifluorophenylsulfonyl benzene or tris(3,4,5-trifluorophenyl)phosphine oxide, yielded hb poly(aryl ether sulfone)s, (hb-PAESs) with M_n values ranging from 3,400 to 8,400 Da and D values ranging from 1.5 to 4.8. The DB of the hb-PAESs was determined by ^{19}F -NMR spectra of the polymers and found to be in the range 51–70%, which was higher than the 50% usually observed for AB_2 -type polymers. No satisfactory explanation was provided by the authors for the higher DB values. The polymerizations were accomplished using two different solvents, namely DMSO and NMP. The hb-PAESs synthesized using DMSO or NMP showed relatively low M_n values of 4,070 Da ($D = 4.00$) and 7,170 Da ($D = 2.84$), respectively. The presence of cyclic oligomeric species, formed by an intramolecular cyclization process, was a contributing factor for the relatively low molecular weights, which were attributed to the tetrahedral geometry of the AB_2 monomer. The intramolecular cyclization was restricted by conducting polymerization at higher concentration, leading to higher D values. However, all the M_n values observed for the polymerization reactions of 3,5-difluoro-4'-hydroxydiphenyl sulfone were also relatively low in comparison with the M_n values of the polymers prepared from a similar monomer with a ketone analog [65]. The authors were of the opinion that the low M_n values were due to the presence of core molecules that placed an upper limit on the molecular weight, or due to the formation of small cyclic structures that consumed monomer leading to additional core molecules. The T_g values for the hb-PAESs were in the range of 205–222°C with $T_{d,10\%}$ as high as 500°C under nitrogen.

Table 4 Monomer structures and monomer combinations used for the synthesis of hb poly(aryl ether sulfone)s

Entry no.	Monomer structures and monomer combinations	Type of polymerization	References
1		AB ₂	[157]
2		AB ₂	[158]
3		A ₂ +B ₃	[160]
4		Linear block + AB ₂	[162]

5

AB + AB₂

[163]

Jikei et al. [158] reported the synthesis of a new AB₂ monomer (Table 4, entry 2) with a sulfone linkage and prepared hb-PAESs and studied their properties. It was found that the reaction conditions affected the DB of the resulting polymers. Self-condensation of the AB₂ monomer to form hb-PAES was explored in three different ways: First, self-condensation of the AB₂ monomer was performed in the presence of a base such as K₂CO₃ in a DMAc/toluene solvent system. Second, the AB₂ monomer was polymerized using cesium fluoride (CsF) in DMAc as solvent by stirring the mixture at 160°C for 10 h. Third, self-condensation of the AB₂ monomer was performed by using low monomer concentration in the presence of DMAc as solvent in a highly diluted solution. The structure of the AB₂ monomer was elucidated by ¹H-NMR and ¹³C-NMR spectra and the peaks confirmed the proposed structure.

The self-condensed polymer prepared in three different ways showed an absolute M_w (determined by GPC-MALLS in NMP) in the range 27,000–270,000 Da. The DB of the hb-PAES prepared at a temperature of 160°C with CsF was higher (DB = 39%) than that of hb-PAES prepared with K₂CO₃ (DB = 17%) although both showed the same T_g value of 217°C. The difference in DB was attributed to the difference between the solubility of dissociated phenolate anions mediated by K₂CO₃ and that of phenolate-ion-like intermediates mediated by CsF [159] for the polymerization reaction. Both the hydroxyl groups of the AB₂ monomer were converted to the corresponding phenolates but the solubility of the diphenolates in the reaction medium was very low compared with the monophenolate. This increased the possibility of reaction between the monophenolate and the AB₂ monomer resulting in the formation of the linear units and causing a lower DB of 17%. In comparison, the phenolate-ion-like intermediates showed better solubility in the reaction medium, leading to a higher DB of 39%. Interestingly, when the heating–cooling scan of the hydroxyl-terminated hb-PAES (DB = 39%) was carried out five times in air at temperatures ranging from 50 to 300°C, the T_g increased from 217 to 236°C and the polymer sample became insoluble in all of the organic solvents, indicating thermal crosslinking. The hb-PAES prepared with K₂CO₃ with DB = 17% also showed an increase in the T_g , but the effect on the increasing trend of T_g was predominantly weaker than for the hb-PAES with DB = 39%. This finding indicated that the increasing trend in T_g was dependent on the DB of hb-PAES. However, the nitrobenzene-terminated hb-PAES did not show the increase in T_g after the heating–cooling scans from 50 to 260°C (repeated five times) in air. The hydroxyl-terminated hb-PAES prepared with CsF showed an improvement in thermal stability after heating at 300°C for 30 min in air and the $T_{d,5\%}$ and $T_{d,10\%}$ were recorded as 406 and 424°C, respectively, due to thermal crosslinking reactions at high temperature. The hydroxyl groups in the hb-PAES were end-functionalized by *p*-fluoro nitrobenzene to yield nitrobenzene-terminated hb-PAES, which showed a T_g value of 183°C that was lower than the T_g of the hydroxyl-terminated hb-PAES (T_g = 217°C). This difference was attributed to the strong intra- and intermolecular interactions occurring between the hydroxyl groups present in hydroxyl-terminated hb-PAES.

Kricheldorf et al. [160] prepared hb-PAESs from 1,1,1-tris(4-hydroxyphenyl) ethane (THPE) as B_3 and 4,4'-difluorodiphenyl sulfone (DFDPS) as A_2 monomers, either by polycondensation in DMSO with the elimination of water or via the silyl method in NMP. All polycondensations based on silylated THPE were conducted in NMP. The monomer combination of $A_2 + B_3$ monomers is shown in Table 3, entry 3. The reaction temperature of most experiments was kept at 140–145°C to allow for a comparison with the experiments performed in DMSO and to keep minimum chain scission. The silyl method required longer reaction times than the standard method because the concentration of active end groups (phenoxide ions activated by K_2CO_3) was significantly lower when silylated monomers were used. However, when an exact 1:1 stoichiometry was used, an increase in reaction time from 24 to 48 h did not significantly enhance the molecular weight, whereas a higher temperature for 24 h was more successful. With an exact 1:1 stoichiometry, crosslinking was avoidable. For the silyl method, even an excess of DFDPS of 10 mol% did not result in crosslinking, but larger excess of DFDPS yielded gels after a short reaction time of 2 h.

Lin et al. [161] reported the preparation of hb polymers, with moderately branched, slightly branched, and linear topologies by phenol end-capped telechelic poly(arylene ether sulfone) oligomers as A_2 and tris(4-fluorophenyl) phosphine oxide as trifunctional monomer B_3 . When bisphenol A and low molar mass oligomers were used as A_2 , pronounced cyclic reactions led to branched products without gelation. The significance of the cyclic reactions decreased as the molar mass of the A_2 oligomers was increased. When moderate molar mass oligomers were used as A_2 monomers, a kinetic excluded volume effect resulted in a low branching efficiency. An increase in the concentration of A_2 oligomer significantly improved the branching efficiency.

Osano et al. [162] reported the synthesis of hybrid linear–dendritic ‘ABA’-type architectures, where A and B were hb poly(ether ketone)s and linear poly(ether sulfone)s, respectively. They prepared an AB_2 monomer [3,5-bis(4'-fluorobenzoyl) phenol] to construct the hb segments, in an attempt to provide better control of the resultant hb structures than by utilizing the $A_2 + B_3$ approach. The monomer combination of linear block and AB_2 monomer for the formation of ABA-type architecture are shown in Table 4, entry 4. Unreacted terminal groups of the ABA polymers were capped by *tert*-butylphenol and sodium 4-hydroxybenzene sulfonate. Such a flexible approach could allow different functional groups on the polymer chain ends simply by replacing the functional group on the phenol in the last part of the synthesis.

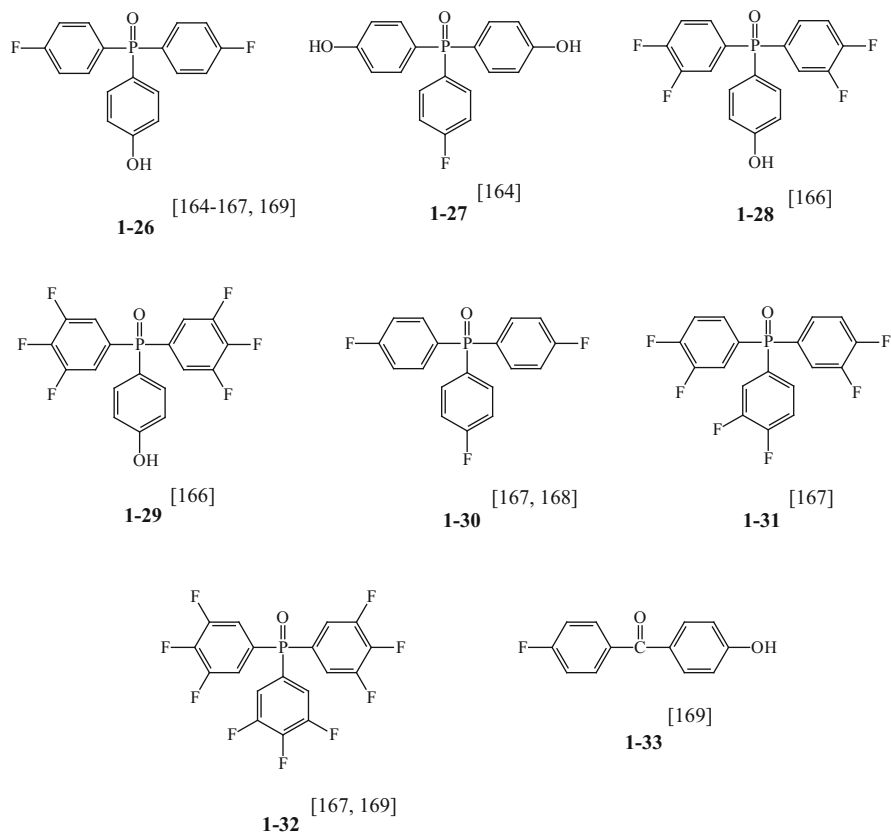
Kakimoto and coworkers [163] prepared multiblock linear–hb copolymers by a two-step method involving polymerization of the AB monomer, namely [4-(phenoxy)benzenesulfonyl chloride], to generate the linear block followed by addition of the AB_2 monomer 4,4'-(*m*-phenylene-dioxy)-bis(benzenesulfonyl chloride) in large molar excess ($AB_2:AB = 19:1$) to generate the hb block. The monomer combinations of linear block AB and AB_2 are shown in Table 4, entry 5. The thermal properties of multiblock linear–hb polymers were compared with the homo-linear and homo-hb polymers.

The advantage of such a multiblock linear–hb architecture lies in its simplicity, ability to control the ratio of linear to hb blocks, and the avoidance of the potential degradation of the macromonomer sulfonyl chloride by clean-up procedures. The DB of such polymers was difficult to calculate from the $^1\text{H-NMR}$ spectra because of the broad, overlapping peaks. The overall $\text{AB}_2:\text{AB}$ monomer molar feed ratio for the entire procedure was 1.35 for 15 min and 0.39 for 1 h for linear–hb block polymerization. The thermal stability of the multiblock hb–linear polymers was intermediate to that of the homo-linear and homo-hb polymers. The linear polymers showed $T_{\text{d},10\%}$ at nearly 500°C , independent of molecular weight, and the hb polymer showed $T_{\text{d},10\%}$ above 343°C . The multiblock hb–linear polymers showed $T_{\text{d},10\%}$ values between 500 and 343°C , based on the weight ratio of linear to hb polymer. The DSC thermograms showed a single T_g of $\sim 200^\circ\text{C}$ for all multiblock hb–linear polymers, which was higher than that measured for the linear homopolymers ($T_g = 133\text{--}154^\circ\text{C}$), indicating a homogenous product formation with no phase separation. A homo-hb polymer of molecular weight 30,000 Da showed no indication of a T_g below 250°C ; however, a homo-hb polymer of only 8,400 Da showed a T_g at 181°C . Multiblock hb–linear products prepared with a linear reaction time of 15 min and hb reaction time of 6–12.5 h had $M_w = 26,600\text{--}34,600$ Da and did not show any film-forming ability, similar to homo-hb polymers. Multiblock hb–linear products prepared with a linear reaction time of 1 h and longer hb reaction time (6–12.5 h) had $M_w = 53,500\text{--}145,600$ Da and showed film-forming ability, but the mechanical properties could not be evaluated due to brittleness of the hb polymer films.

Hyperbranched Poly(aryl ether)s with a Phosphine Oxide Moiety

The phosphine oxide group is an exceptionally good electron-donating group and facilitates the subsequent coordination with various metal ions or the formation of relatively strong hydrogen bonds. In addition, polymers incorporating phosphorus are known to have flame retardance and oxygen plasma resistance.

Lee et al. [164] prepared AB_2 and BA_2 monomers, namely bis(4-fluorophenyl)-4'-hydroxyphenylphosphine oxide and bis(4-hydroxyphenyl)-4'-fluorophenylphosphine oxide, that were converted to the corresponding hb poly(arylene ether phosphine oxide)s (hb-PAEPOs) with hydroxyphenyl and fluorophenyl end functional groups. The hb polymers were prepared by self-condensation of AB_2 (**1–26**, Scheme 10) or BA_2 monomers (**1–27**, Scheme 10) utilizing NMP/toluene as solvent in the presence of K_2CO_3 as base. The hb-PAEPO with hydroxyphenyl end functional groups showed a lower intrinsic viscosity ($\eta = 0.15$ dL/g), and thus a lower molecular weight, in comparison to the hb-PAEPO with fluorophenyl end functional groups ($\eta = 0.44$ dL/g) because of the low solubility of the phenolate ion during polymerization. The T_g values for the obtained polymers were 230 and 266°C , which showed an increasing trend with increase in η values. The fluorophenyl-terminated hb polymer was soluble in CHCl_3 , but the hydroxyphenyl-terminated polymer was not soluble in CHCl_3 even though it had



Scheme 10 Structures of monomers and core molecules used for the synthesis of hb-PAEPOs

a lower molecular weight than the fluorophenyl-terminated polymer, indicating that the properties of the hb polymers were dependent on the end functional groups as well as on their molecular weight. The prolonged polymerization of the AB₂ monomer yielded insoluble product, presumably due to the intermolecular reaction. However, the polymerization of BA₂ monomer at the same polymerization conditions produced relatively low molecular weight polymer due to the poor solubility of the corresponding phenoxide salt.

The AB₂ monomer, 4-(fluorophenyl)-4',4''-(bishydroxyphenyl) phosphine oxide (**1-26**, Scheme 10), was synthesized by Lin and Long [165] by polymerizing the AB₂ monomer with various catalysts, such as K₂CO₃ or Cs₂CO₃/Mg(OH)₂, in the presence of dry and distilled DMSO as a solvent. All hb-PAEPOs were readily soluble at room temperature in polar aprotic solvents and basic water. Because of the highly irregular, branched 3D structures, gel permeation chromatography (GPC) did not provide an accurate measurement of molecular weight. Higher monomer concentrations in DMSO resulted in higher molecular weight but with

lower yields. The $\text{Cs}_2\text{CO}_3/\text{Mg}(\text{OH})_2$ catalyst resulted in higher molecular weights than similar reaction conditions employing K_2CO_3 but resulted in lower yields of about 45%, which the authors attributed to the formation of insoluble, high molecular weight products. DSC measurements indicated no thermal transitions for the hb-PAEPO below 350°C , with the exception of a transition at 100°C that the authors attributed to the presence of residual water. They were of the opinion that the abundance of phenolic end groups was capable of efficient hydrogen bonding with other polar compounds.

A series of AB_2 monomers, namely bis-(4-fluorophenyl)-(4-hydroxyphenyl) phosphine oxide (**1–26**, Scheme 10), bis-(3,4-difluorophenyl)-(4-hydroxyphenyl) phosphine oxide (**1–28**, Scheme 10), and 4-hydroxyphenyl-bis-(3,4,5-trifluorophenyl)phosphine oxide (**1–29**, Scheme 10), were synthesized and characterized by Bernal et al. [166]. The AB_x monomers were self-condensed to form hb-PAEPOs according to the procedure reported by Hawker and Chu [65]. Spectral analysis of the resulting polymers indicated DB of 57% with M_w ranging from 22,400 to 52,500 Da and \bar{D} in the range of 2.44–3.60. The polymerization time required to achieve reasonable molecular weights was decreased from 20 h to less than 6 h and then to 4.5 h by the introduction of additional fluorine atoms to some of the monomers, and a M_w of 33,000–22,400 Da was obtained. The hb-PAEPOs showed only a very weak endothermic baseline shift at approximately 300°C in DSC scans up to 450°C . TGA measurements of hb-PAEPOs showed high thermal stability at 545 – 590°C under nitrogen atmosphere and 475 – 480°C in air atmosphere.

Bernal et al. [167] further extended their work and prepared hb-PAEPOs with controlled molecular weights and narrow \bar{D} by the polymerization of bis-(4-fluorophenyl)-(4-hydroxyphenyl)phosphine oxide in the presence of three core molecules. Polymerization reactions of bis-(4-fluorophenyl)-(4-hydroxyphenyl)phosphine oxide (**1–26**, Scheme 10) in the presence of 3, 5, and 10 mol% of tris(4-fluorophenyl)phosphine oxide (**1–30**, Scheme 10), tris(3,4-difluorophenyl)phosphine oxide (**1–31**, Scheme 10), and tris(3,4,5-trifluorophenyl)phosphine oxide (**1–32**, Scheme 10), respectively, were carried out in NMP at reflux in the presence of K_2CO_3 , with reaction times of 8 h. The more reactive the core toward nucleophilic aromatic substitution, the more control was provided over the final molecular weight and the resultant \bar{D} . Polymers showed M_n values ranging from 3,270 to 8,100 Da for polymerization reactions in the presence of core molecules. The highly fluorinated core, tris(3,4,5-trifluorophenyl) phosphine oxide (**1–32**, Scheme 10), yielded polymers with molecular weights approaching the theoretical values and narrow \bar{D} values as low as 1.25 were obtained. The DB also decreased significantly with increase in concentration of the core molecule from 3.5 to 10 mol%.

Czupik and Fossum [168] prepared hb-PAEPOs via an $\text{A}_2 + \text{B}_3$ polymerization technique with tris(4-fluorophenyl) phosphine oxide (**1–30**, Scheme 10) as B_3 monomer, using a variety of bisphenols, namely 4,4'-isopropylidenediphenol (BPA), 4,4'-dihydroxybiphenyl (DHB), and 4,4'-dihydroxybiphenyl ether

(DHBE), as A_2 monomers. They studied the effects of the reactivity of the A_2 monomer, the A:B ratio, the mode of addition, the solvent, and the concentration on the final molecular weight, \bar{D} , and DB. Soluble hb-PAEPOs with M_w up to 299,000 Da were obtained. When BPA was added to a solution of tris(4-fluorophenyl) phosphine oxide over a period of 5.7 h, the M_w of the resulting polymer increased slowly until all of the BPA was added, and then the M_w increased dramatically to 299,000 Da with a \bar{D} of 24.2. If a solution of tris(4-fluorophenyl) phosphine oxide was slowly added to a solution of BPA over a period about 4 h, only 85% of tris(4-fluorophenyl) phosphine oxide could be added before the gel point was reached. If tris(4-fluorophenyl) phosphine oxide was added slowly to BPA over 3.5 h at twice the concentration (i.e., $A_2:B_3 = 2:1$), the entire solution of tris(4-fluorophenyl) phosphine oxide could be added before the gel point was reached. These results indicated that soluble, moderate molecular weight hb-PAEPOs with \bar{D} values of about 3.0 were achieved with a molar ratio of 2:1 ($A_2:B_3$) if the reaction was stopped at an appropriate time. Slow addition of DHB to a solution of tris(4-fluorophenyl) phosphine oxide and of tris(4-fluorophenyl) phosphine oxide to a solution of DHB resulted in significantly lower molecular weight products, with M_w values ranging from 7,800 to 12,600 Da and \bar{D} of about 2. When DHBE was added slowly to a solution of tris(4-fluorophenyl) phosphine oxide, M_w values were similar to those observed for BPA and DHB, which increased with an increase in reaction time. Reactions in which the A_2 component was added slowly resulted in lower DBs, ranging from 20 to 50%, whereas the slow addition of the B_3 component provided samples with DBs of approximately 75%. Reactions performed under highly diluted conditions were independent of the mode of monomer addition and afforded completely soluble products with M_w values in the range of 9,000–12,100 Da and \bar{D} values as low as 2.2.

Fossum and coworkers [169] reported the synthesis and characterization of soluble, branched copoly(aryl ether ketones) with a phosphine oxide moiety with controlled molecular weights and relatively low \bar{D} values, prepared via the copolymerization reactions of AB (**1–33**, Scheme 10) and AB_2 (**1–26**, Scheme 10) in the presence of a highly reactive core molecule, tris-(3,4,5-trifluorophenyl)phosphine oxide (**1–32**, Scheme 10). Many of their findings were compared with previously reported results [170]. Initial reactions were performed with AB: AB_2 molar ratios of 75:25, 90:10, and 95:5 in the presence of 3 mol% of core molecule, at a final reaction temperature of 200°C. The final molecular weight could be easily controlled by varying the amount of core molecule added to the reaction mixture. For example, for AB: AB_2 with 95:5 mol%, when 5 mol% of core molecule was utilized in the polymerization reaction compared to 3 mol%, a corresponding decrease in the M_n value (4,010 Da compared with 6,820 Da) was observed for the same polymerization temperature of 200°C. At each polymerization temperature, the ratio of AB: AB_2 also showed a significant impact on the \bar{D} of the samples. Samples prepared at the same reaction temperature, for example at 200°C, with AB: AB_2 ratios of 75:25, 90:10, and 95:5 possessed \bar{D} values of 2.2, 2.6, and 4.1, respectively. The combined effects of reaction temperature, the ratio of AB: AB_2 , and the

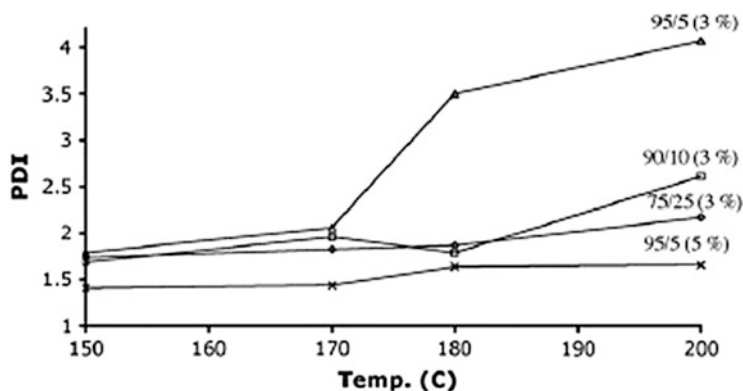
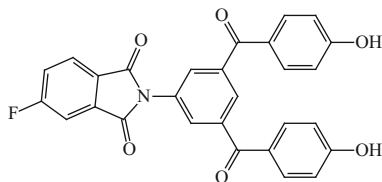


Fig. 2 The combined effects of reaction temperature, the ratio of AB to AB₂, and the mol% of the core molecule on the final polydispersity (*PDI*) of hb-PEK copolymers. Reproduced with permission from [169]

percentage of core molecule on the final \bar{D} of hb-PEK copolymers are shown in Fig. 2.

The substantial increase in \bar{D} values with the increase of AB₂ in the ratio of AB:AB₂ was attributed to the greater number of end groups present in the growing polymer chains, thus favoring the reaction of monomer with growing polymer rather than starting a new polymer chain. Fossum and coworkers also observed that the effect of reaction temperature was not that significant for 5 mol% of core molecule in comparison to that observed at 3 mol%. This finding was attributed to the fact that the total number of end groups in the system was higher when a higher mol% of core was utilized. Furthermore, for hb polymer prepared under similar reaction conditions, with AB:AB₂ molar ratio of 75:25 mol% containing 3 mol% of core molecule, both T_c (229°C) and T_m (278°C) were measurable in comparison to a similar polymer product prepared without a core molecule, which was completely amorphous. Samples prepared under the same reaction temperature of 200°C, with AB:AB₂ ratios of 75:25 containing 3 mol% of core molecule, showed much lower M_n and dispersity ($M_n = 5,400$ Da, $\bar{D} = 2.2$) compared with a similar polymer sample prepared without the presence of a core molecule ($M_n = 13,000$ Da, $\bar{D} = 11$). Fossum and coworkers assumed that the polymer samples prepared in the presence of core molecule were more homogeneous in nature, leading to better packing into crystalline regions and, hence, a T_m of 205°C. A similar polymer sample prepared under the same reaction conditions without the core molecule was completely amorphous in nature and did not show any T_m , which the authors attributed to self-plasticification, thus preventing the formation of crystalline regions. The M_n values of these systems could be controlled by altering the percentage content of core molecule, providing an efficient method for generating materials for a thorough study of structure–property relationships.

Scheme 11 Structure of AB₂ monomer with ketone-imide moiety [131]

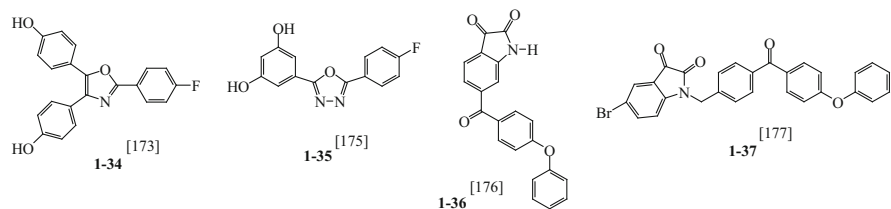


Hyperbranched Poly(aryl ether) with a Keto-imide Moiety

Baek et al. [131] synthesized an AB₂ monomer containing a preformed aromatic imide moiety and *para*-carbonyl functions to facilitate the formation of phenolate nucleophiles using K₂CO₃ as base. The AB₂ monomer, *N*-[3,5-bis(4-hydroxybenzoyl)benzene]-4-fluorophthalimide (shown in Scheme 11) was prepared from 4-fluoroisophthalic anhydride and 3,5-bis(4-hydroxybenzoyl)aniline. The AB₂ monomer was then polymerized via the aromatic fluoride-displacement reaction to afford the corresponding hydroxyl-terminated hb poly(aryl ether keto-imide) (hb-PAEKI). Functionalization of the resulting hb poly(arylene-ether-ketone-imide) was accomplished by modifying the chain ends with allyl bromide, propargyl bromide, or epichlorohydrin to afford allyl-terminated, propargyl-terminated, or epoxy (glycidyl)-terminated hb polymers, respectively. All hb-PAEKIs were soluble in common organic solvents. The hydroxyl-terminated hb PAEKI showed a T_g of 224°C due to hydrogen bonding whereas its derivatives exhibited lower T_g values that ranged from 122 to 174°C. Preliminary thermal study of the allyl ether-terminated hb polymer as a toughening additive for high-performance thermosets was conducted. The allyl-terminated hb-PAEKI was blended with a bisphenol A-based bis(maleimide) in various weight ratios. The results from DSC studies indicated that the presence of allyl-terminated hb-PAEKI significantly affected the T_g and cure behavior of bisphenol A-based bis(maleimide) when added up to 32 wt%.

Baek and coworkers [171] also synthesized a phthalonitrile-terminated hb-PAEKI that was end-functionalized with allyl groups, which could serve as a good processing aid and property enhancer for a bismaleimide resin. Furthermore, the authors blended it with a phthalonitrile (PN) resin based on [4,4'-bis(3,4-dicyanophenoxy)biphenyl] because PN resins are known to show excellent thermal and oxidative stability, together with flame retardant properties, and have attracted a great deal of attention as matrices for composite applications in the vicinity of an aircraft or submarine engine.

Fossum and coworkers were very curious to prepare hb-PAEKIs from the same AB₂ monomer [172] on an industrial scale via a cost-effective route. They concluded that the introduction of phenol groups via the nucleophilic aromatic substitution reaction of aryl fluorides with K₂CO₃ afforded a very low-cost and efficient alternative to demethylation of the methoxy groups using either pyridine hydrochloride or BBr₃ when a large excess of reagent is used. The yields and selectivity of the reactions were also improved significantly utilizing the nucleophilic aromatic



Scheme 12 Structures of monomers containing oxazole, oxadiazole, and oxindole moieties

substitution reaction of aryl fluorides with potassium hydroxide as a low cost reagent.

Hyperbranched Poly(aryl ether)s with Oxazole, Oxadiazole, and Oxindole Moieties

Gong et al. [173] synthesized and characterized an ABB'-type monomer containing a pair of phenolic groups and an aryl fluoride, which was activated toward displacement by the attached oxazole ring. The ABB' monomer (**1-34**, Scheme 12) was self-condensed to form a hb poly(aryl ether oxazole) (hb-PAE-Ox) with terminal phenolic groups. The DB of hb-PAE-Ox was approximately 50%. The polymer was thermally stable and readily soluble in polar organic solvents. The terminal phenolic groups were easily functionalized, yielding hb hb-PAE-Oxs with a variety of ester-terminated and ether-terminated chain ends using different end-capping agents. Physical properties such as the T_g and solubility of the hb-PAE-Oxs depended significantly on the nature of the chain ends. It is known that for hb polymers the transition from the polar hydroxyl function to nonpolar aliphatic end groups results in a decrease in T_g because of the reduction in the extent of intermolecular interactions in the polymeric molecules [174]. The hb-PAE-Ox, which has polar hydroxyl terminal groups, showed a T_g value of 274°C. Polymers with less polar terminal groups, such as ester and ether groups, showed T_g values of 197 and 154°C, respectively. A further decrease in T_g to 124 and 119°C was observed for polymers when the length of the alkoxy chain of the terminal ester or ether groups increased. The different chain ends also led to differences in solubility. The phenolic-terminated polymers were soluble in polar solvents such as DMSO and DMF, whereas the ester-terminated polymers were only partially soluble in DMF and insoluble in DMSO; the ether-terminated polymers were totally insoluble in both polar solvents. Conversely, in relatively nonpolar solvents such as CH_2Cl_2 and CHCl_3 polymers with ester- and ether-terminated chain ends were very soluble, whereas the polymers with a hydroxyl-terminated chain end were insoluble.

An AB_2 monomer (**1-35**, Scheme 12) was synthesized by Wu and Shu [175] for preparation of a hb poly(aryl ether oxadiazole) (hb-PAE-Oxd) with terminal phenol functionalities. The AB_2 monomer contained two phenolic groups and a single aryl

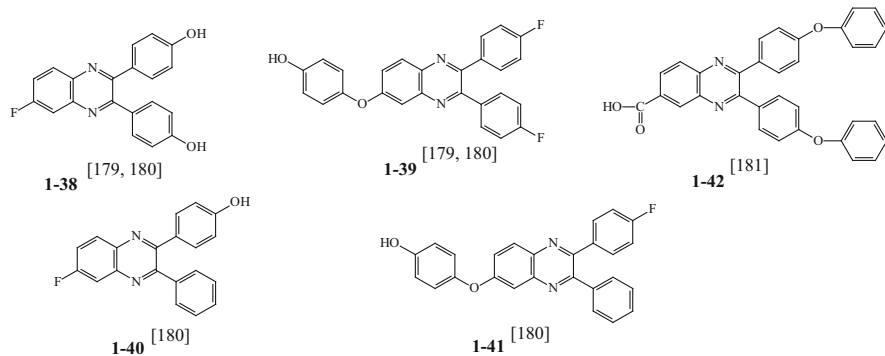
fluoride group that was activated toward nucleophilic displacement by the attached oxadiazole ring. The hb-PAE-Oxd showed a DB of 44%. The terminal phenolic groups were further post-functionalized with ether and ester chain ends using different end-capping agents to yield hb-PAE-Oxds. The nature of the chain-end groups showed a significant influence on the T_g and solubility. The hb-PAE-Oxd containing polar hydroxyl terminal groups showed T_g of 286°C, whereas the T_g values of hb-PAE-Oxds that contained less polar terminal groups, namely ether and ester groups, were 183 and 220°C, respectively. A further decrease in T_g to 121 and 146°C was observed for polymers with increasing length of the alkoxy chain of the terminal ether or ester groups.

Hyperbranched poly(aryl ether oxindole)s (hb-PAE-Oxns) with a DB of 100% were prepared by Fu et al. [176] from an isatin-based AB_2 monomer (**1-36**, Scheme 12) by one-pot polymerization without using toxic organometallics nor chromatographic purification. The hb-PAE-Oxns soluble in apolar solvents were able to encapsulate a hydrophilic dye from the same polymer.

Kowalczuk et al. [177] synthesized an AB_2 -type monomer (**1-37**, Scheme 12) that was self-condensed to give the hb poly(aryl ether oxindole) (hb-PAE-Oxn) with a DB of 100% and showed good solubility in common organic solvents such as $CHCl_3$ and THF. In general, the synthesis of the monomers for the preparation of hb-PAE-Oxn required toxic chemicals. However, in this work, the AB_2 monomer was prepared by only three steps: Friedel–Crafts acylation of 4-methylbenzoyl chloride with diphenyl ether to yield benzophenone, which was brominated by treatment with *N*-bromosuccinimide leading to benzyl bromide, and alkylation with 5-bromoisatin to yield the respective AB_2 monomer purified simply by precipitation from diisopropyl ether. The isatin functional groups were then end-capped with phenol and acylated using 2-bromoisobutyryl bromide, yielding a macroinitiator that was used for the synthesis of star polymers. The molar mass and dispersity of the synthesized bromopropionyl-functionalized poly(arylene oxindole) core showed $M_n = 20,000$ Da and $\mathcal{D} = 1.7$. The hb core polymer exhibited a high T_g of about 250°C, which was similar to the previously obtained linear analogs ($T_g \sim 250\text{--}300^\circ\text{C}$) [178].

Hyperbranched Poly(aryl ether)s with a Phenylquinoxaline Moiety

Baek and Harris prepared AB_2 (**1-38**, Scheme 13) and A_2B (**1-39**, Scheme 13) monomers [179] and self-polymerized them to very high molecular weight hb poly(phenylquinoxaline)s (hb-PPQs). The phenol-terminated hb-PPQs were only soluble in strong organic acids, whereas the aryl fluoride-terminated hb-PPQs were soluble in most common organic solvents. The hb-PPQs were treated with allyl bromide to afford an allyl ether-terminated hb-PPQ, which was also soluble in most organic solvents. The aryl fluoride hb-PPQs had a much higher M_w of $\sim 2,643,000$ Da and a much broader molecular weight distribution ($\mathcal{D} \sim 60$) than the phenol-terminated hb-PPQs ($M_w = 322,000$ Da, $\mathcal{D} = 3.7$) and allyl-terminated hb-PPQs ($M_w = 243,000$ Da, $\mathcal{D} \sim 4$). The results indicated that phenol-terminated



Scheme 13 Structures of monomers used for the synthesis of hb-PPQs

hb-PPQs formed aggregates in solution due to the formation of hydrogen bonding and showed limited solubility in organic solvents. The aryl fluoride-terminated hb-PPQs, on the other hand, had a much more extended and open conformation and showed good solubility in most common organic solvents. The lower T_g (225°C) of aryl fluoride-terminated hb-PPQs compared with phenol-terminated hb-PPQs ($T_g = 255^\circ\text{C}$) was attributed to the extra flexible units in the repeating unit, no hydrogen bonding, and its apparent extended and open conformation. The phenol-terminated hb-PPQ and the aryl fluoride-terminated hb-PPQ showed $T_{d,5\%}$ at 511 and 575°C , respectively, in nitrogen, indicating high thermal stability. The reduced thermal stability of phenol-terminated hb-PPQs was attributed to the lower stability of the terminal phenol groups.

Baek and Harris also synthesized AB (**1-40**, Scheme 13) and BA (**1-41**, Scheme 13) monomers [180] in addition to the previously synthesized AB_2 and A_2B monomers [179]. The AB and AB_2 monomers were copolymerized to afford different degrees of linear units in hydroxyl-terminated hb-PPQs. Similarly, BA and A_2B monomers were copolymerized to afford different types of fluorine-terminated hb-PPQs. In the case of hydroxyl-terminated hb-PPQs, properties such as solubility, solution viscosity, T_g , and polymer degradation temperature were greatly influenced by the number of hydroxyl groups on the surface. However, the properties of fluorine-terminated hb-PPQs were much less influenced by the number of fluorines on the surface. The copolymers of AB and AB_2 were soluble in most aprotic solvents and phenolic solvents and T_g values ranged from 239 to 274°C . Copolymers of BA and A_2B were also soluble in most aprotic solvents and phenolic solvents. The hydroxyl- and fluorine-terminated hb-PPQs showed $T_{d,5\%}$ values ranging from 511 to 568°C in air and from 556 to 588°C in nitrogen, respectively, indicating that thermal degradation depended on the surface functional groups at the chain ends. The enhanced thermal stabilities of fluorine terminated hb-PPQs could be attributed to the fluorine terminal groups on the macromolecule surfaces.

A new phenylquinoxaline-containing AB_2 monomer (**1-42**, Scheme 13) was designed and synthesized by Baek and Tan [181] and the corresponding polymer

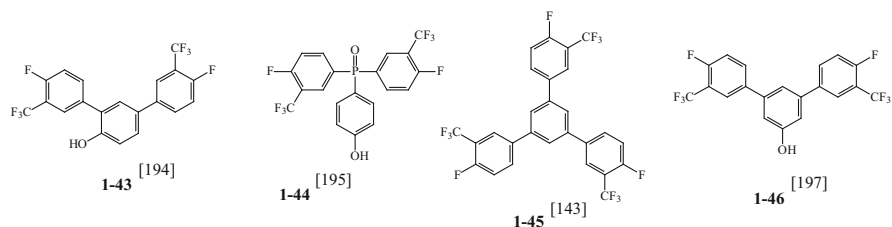
was synthesized at the optimal temperature of $\sim 130^\circ\text{C}$ using PPA and P_2O_5 (4:1). During the optimization study, the evolution of polymer density was realized by visualizing the existence of a changeover in the macromolecular architecture from a ‘fanlike’ conformation at the early stage of polymerization to a denser, globular conformation at higher molecular weights. The authors also showed that the subsequent chain-end functionality transformation for the resulting hb-PPQ could be performed either in a one-pot process or a batch process. The resultant hb-PPQs were thermally stable, with a $T_{d,5\%}$ of over 500°C in air.

Fluorinated hb Poly(arylene ether)s

Fluorine-containing polymers [182] are of special interest because they provide attractive properties such as low optical loss, birefringence, dielectric constant, and moisture absorption. On the other hand, poly(arylene ether)s are well-known aromatic polymers of high T_g , excellent thermal stability, and mechanical strength. Researchers have reported that perfluoroalkyl groups as a pendant unit or in the main chain activate fluoro displacement by phenoxides [183]. Since electron-withdrawing perfluoroalkyl groups cannot participate in resonance stabilization, activation by this group is expected due to the stabilization of the negative charges at the 2- or 4-position by a negative inductive effect [184, 185]. The steric congestion due to the bulky trifluoromethyl group ($-\text{CF}_3$) may also facilitate the formation of a stable Meisenheimer complex with the release of steric strain [186]. The presence of a pendent $-\text{CF}_3$ group in polymers increases the fractional free volume and lowers the dielectric constant while increasing its solubility without forfeiture of thermal stability [187–190]. The pendent $-\text{CF}_3$ group also decreases the crystallinity and serves to increase the free volume of the polymers, thereby improving gas permeability [191, 192] and electrical insulating properties [193]. The continued search for new activating groups for nucleophilic aromatic substitution leading to the formation of poly(arylene ether)s has been a very active area of polymer research, and in this regard fluorinated hb poly(arylene ether)s (F-hb-PAEs) with pendent $-\text{CF}_3$ groups are noteworthy in terms of their interesting properties observed.

An AB_2 monomer containing pendent $-\text{CF}_3$ groups (**1–43**, Scheme 14), namely 2,4 bis-(4-fluoro-trifluoromethylphenyl)phenol, was synthesized by Banerjee [194] utilizing Pd-initiated coupling, which was self-condensed to yield fluorinated hb poly(arylene ether) (F-hb-PAE) with an $M_n = 8,200$ Da and $D = 1.2$, indicating a highly regular structure. The F-hb-PAE was soluble in a wide variety of organic solvents and also in acetone. F-hb-PAE showed reasonable thermal stability, with $T_{d,5\%}$ in air of about 379°C and a T_g of 132°C . However, it was not possible to calculate the DB from NMR spectra due to several aromatic units between the branching points that restricted the analysis between a linear and a terminal unit.

An AB_2 monomer containing pendent $-\text{CF}_3$ groups (**1–44**, Scheme 14), namely bis-(4-fluoro-3-trifluoromethylphenyl)-4'-hydroxyphenylphosphine oxide, was synthesized by Satpathi et al. [195]. On self-condensation, this AB_2 monomer led



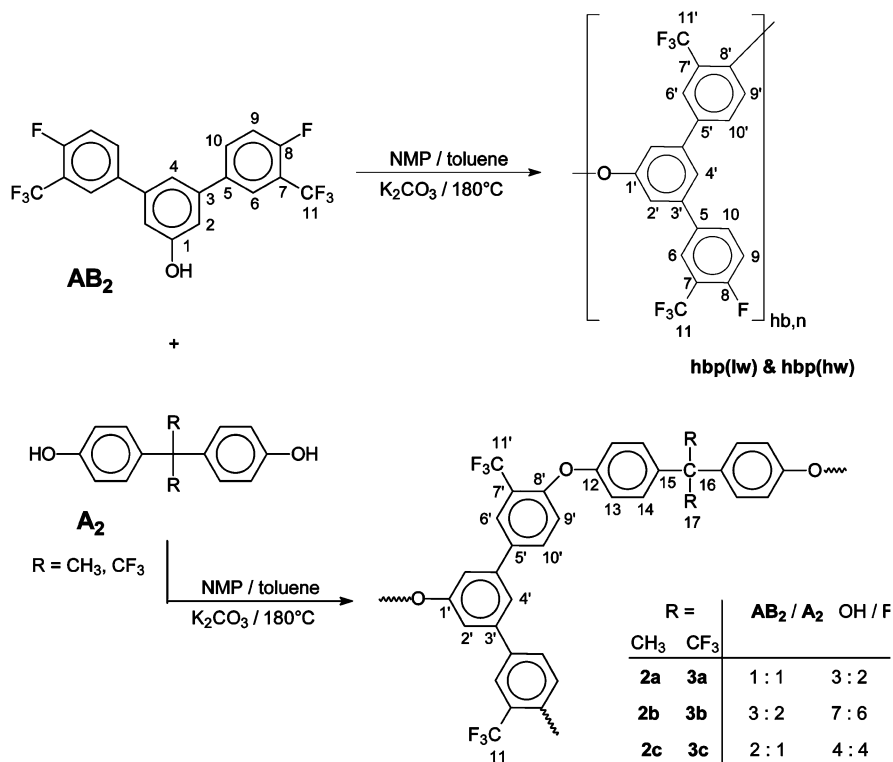
Scheme 14 Structures of monomers used for the synthesis of F-hb-PAEs

to fluorinated hb poly(arylene ether phosphine oxide) (F-hb-PAEPO) of much higher molar mass ($M_w \sim 6,16,000$ Da). The F-hb-PAEPO showed a T_g value of 225°C ; the $T_{d,10\%}$ in nitrogen and air were found to be 508°C and 448°C , respectively. Due to missing entanglements, no free-standing films could be prepared from the F-hb-PAEPO and no mechanical properties could be recorded. An interesting finding was observed from ^{19}F -NMR spectra that indicated partial loss of 4-fluoro groups from unreacted B sites, as also observed previously by Fossum and coworkers [167] but was not explained. This effect was also manifested on the DB of the F-hb-PAEPO, which was approximated to 53 or 59% using the equations given by Frechét or Frey, respectively, but the DB should be $\sim 50\%$ without unwanted side reactions. Satpathi and coworkers ascribed this to the lability of these activated fluorine atoms under basic polymerization conditions. Under basic conditions of the polycondensation reaction, this fluorine was not only replaced by the phenolate under formation of ether bonds but probably also by the water that was generated by the deprotonation of the hydroxyl groups, which was not removed fast enough by azeotropic distillation, and this finding was supported by a model reaction. Herbert et al. showed that activation of the fluorine by the phosphine oxide group in the *para* position could further accelerate this reaction [196].

Banerjee et al. synthesized a new trifluoromethyl-activated trifluoro monomer by Pd-initiated coupling of 1,3,5-tribromobenzene with 4-fluoro-3-trifluoromethylphenylboronic acid to afford 1,3,5-tris(4-fluoro-3-trifluoromethylphenyl)benzene as B_3 monomer (**1-45**, Scheme 14) [143]. The B_3 monomer was reacted with three different bisphenols, namely 4,4'-isopropylidenediphenol (BPA), 4,4'-(hexafluoroisopropylidene) diphenol (6F-BPA), and 4,4'-(9-fluorenylidene) diphenol (C-BPA), as A_2 monomers in different molar ratios ($A_2:B_3 = 1:1, 1.5:1,$ or $2:1$) leading to several fluorinated hb poly(arylene ether)s (F-hb-PAEs). At a functional equivalence of $A_2:B_3$ (i.e., 3:2 molar product) very high molecular weight products were obtained without significant gelation. In order to prevent gelation, a slow mode of addition of B_3 monomer for a period of 3 h was adopted to prevent any high local concentration at any time during polymerization, and the solid content was kept as low as 2.7 wt%. For 1:1 and 2:1 ($A_2:B_3$) molar ratios, reactions proceeded smoothly without any noticeable gel formation since both contained a significant excess of one type of functionality. However, despite the highest reactivity of BPA (strongly nucleophilic) toward the B_3 monomer in the

series, there was no gelation even at a functional equivalence ($A_2:B_3 = 1.5:1$). Theoretically, the gel point is reached in such a system at a functional group conversion of about 71% whereas, interestingly, a very high molar mass product (714,200 Da) was achieved in high yields, which clearly indicated a high monomer conversion and this was also manifested by spectral analysis. Polymerization in a highly diluted system, as well as slow monomer addition, helps to prevent macroscopic gelation to some extent according to the previous findings in literature [83, 84]; however, there could be a tendency to intramolecular cyclization due to favorable monomer configuration, which might help in shifting the gel point to higher conversion or prevented it totally. Some gelation was observed in the case of F-hb-PAEs resulting from 6F-BPA or C-BPA towards B_3 monomer (i.e., $A_2:B_3 = 2:1$ molar ratio) under similar reaction conditions. It was also observed that the gel content increased with increase in reaction time, particularly when the reaction temperature was 180°C. To avoid the problem of gelation, the F-hb-PAEs based on 6F-BPA or C-BPA with $A_2:B_3 = 1.5:1$ were prepared by maintaining the reaction temperature at only 165°C. All the polymers were soluble at room temperature in common organic solvents such as NMP, DMF, and DMAc, but were insoluble in DMSO. The ^{19}F -NMR signals revealed very high DB for these F-hb-PAEs (>70% and approaching almost 100%). GPC analysis of the F-hb-PAEs polymers prepared from 1:1 or 2:1 molar reaction of A_2 and B_3 monomers showed relatively low molar masses with values as low as 10,900 Da and narrow D values as low as 1.45 due to the presence of excess reactive functional groups, resulting in termination of the polycondensation. The 1.5:1 molar reaction resulted in very high molecular weight polymers, up to 2,840,000 Da, with D as high as 7.94. The 1.5:1 and 2:1 molar F-hb-PAEs exhibited higher T_g s than the 1:1 molar products. The phenoxy-terminated products, i.e., the 2:1 molar reaction products, showed a higher T_g (180–249°C) than the 1:1 molar reaction products ($T_g \sim 147$ –232°C). The F-hb-PAE prepared from C-BPA and B_3 monomer with 1.5:1 molar ratio of $A_2:B_3$ did not show any T_g up to 350°C and showed a $T_{d,10\%}$ as high as 595°C, indicating very high thermal stability. Besides, the polymers showed more than 50% char residue even above 700°C under nitrogen atmosphere.

A new AB_2 monomer [3,5-bis(4-fluoro-3-trifluoromethylphenyl)phenol] containing pendent $-\text{CF}_3$ groups (**1-46**, Scheme 14) was prepared by Ghosh et al. [197] utilizing Suzuki coupling of 4-fluoro-3-trifluoromethylphenylboronic acid and 3,5-dibromophenol. The self-condensation of the AB_2 monomer led to F-hb-PAEs of low molecular weight and high molecular weight (see Scheme 15 for hb structures), depending on the solution concentration and reaction time. In general, a high solution concentration and long reaction time led to high molecular weight products. The M_w of the AB_2 self-condensation product was further increased to 231,300 Da with a D of 2.0 when the solution concentration was increased to 10 wt%. The fluorinated hb copoly(arylene ether)s **2a**, **2b**, **2c**, **3a**, **3b**, and **3c** (see Scheme 15 for hb structures) were prepared by the $AB_2 + A_2$ approach. The synthesized AB_2 monomer was reacted with two different commercial bisphenols, namely BPA and 6 F-BPA, at three different molar ratios ($AB_2:A_2 = 1:1, 3:2, 2:1$) to form fluorinated hb copoly(arylene ether)s. Here, the reactions

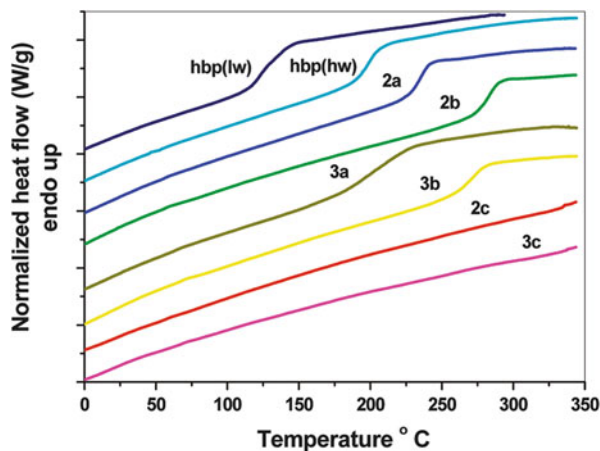


Scheme 15 Reaction scheme and structure of the hb poly(arylene ether)s. Reproduced with permission from [197]

were carried out at lower solution concentration of about 4 wt% to avoid any gelation, and some changes were also made in terms of reaction temperature and reaction time, such as 150°C for 8 h and then at 180°C for another 3 h. These changes were required to avoid any gelation in the 2:1 molar reactions of AB₂ and A₂. The calculation from ¹H-NMR spectra for hbp(lw) showed DB_{Frechet} value of 0.5, whereas DB_{Frey} gave a value of 0.44. As the molecular weight increased for high molecular weight F-hb-PAE, broadening of the ¹H-NMR signals prevented an accurate determination of the content of linear, dendritic, and terminal subunits. For the polymers **2c** and **3c**, there were broad and overlapping signals; however, the copolymer structures could be confirmed. For the fluorinated hb copoly(arylene ether) samples with excess of A groups (**2a**, **2b**, **3a**, and **3b**), additional signals due to unreacted A sites of the AB₂ and A₂ monomer, respectively, were observed.

Both refractive index (RI) and multi-angle laser light scattering (MALLS) detectors were used in GPC measurements to determine the molecular weight of the F-hb-PAEs synthesized using different monomer ratios. Extremely high molecular weight products were obtained without gelation for 2:1 molar reactions, with *M_w* values of ~3,730,000 and ~4,470,000 Da using BPA and 6 F-BPA as A₂

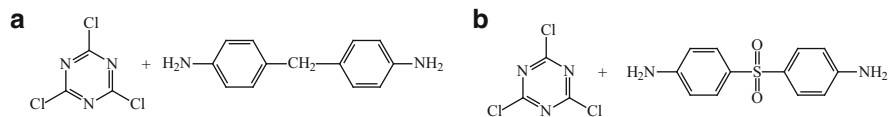
Fig. 3 DSC plots of fluorinated hb copoly(aryl ether)s (2a–c and 3a–c; see Scheme 15 for structures) and fluorinated hb homopoly(aryl ether)s, where *hbp(lw)* signifies hb poly(aryl ether) of low molecular weight and *hbp(hw)* signifies hb poly(aryl ether) of high molecular weight. Reproduced with permission from [197]



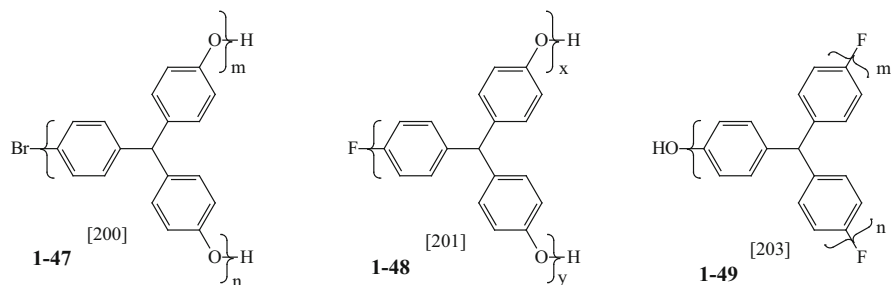
monomers, respectively. The authors stated that for the molar ratio $AB_2:A_2 = 2:1$, i.e., at a stoichiometric equivalence, only half of the A functional groups contributed to potential gelation whereas the other half underwent self-condensation of the AB_2 monomer, and shifted the critical conversion from $\sim 70\%$ to nearly 90% without affecting the molar mass of the product. This observation was somewhat in accordance with the previous theoretical finding by Voit and coworkers on the ‘diluting’ effect on the critical functionality ratio of adding A_x to AB_2 [198]. The F-hb-PAEs showed excellent thermal stability and very high T_g values. The AB_2 self-condensed F-hb-PAE showed a T_g as high as 199°C and $T_{d,10\%}$ as high as 573°C in N_2 , whereas the extremely high molar mass products of the $AB_2 + A_2$ approach did not show any T_g up to 350°C and $T_{d,10\%}$ as high as 554°C . The DSC plots of the fluorinated hb homopoly(aryl ether)s and copoly(aryl ether)s are shown in Fig. 3.

2.2.5 Hyperbranched Poly(aryl amine)s with a Triazine Moiety

Mahapatra and Karak [199] prepared triazine-containing hb polyamines (hb-PAMs) by the $A_2 + B_3$ polymerization approach. The methylene-containing hb-PAM (the monomer combination is shown in Scheme 16a) showed a lower T_g of 230°C due to the presence of the flexible methylene linkage compared with the sulfone-containing hb-PAM (monomer combination shown in Scheme 16b), with a T_g of 240°C as per DSC measurements. The solubility studies revealed that the hb-PAMs were soluble only in polar aprotic solvents, which the authors attributed to the presence of polar $-\text{NH}-$ groups and rigid triazine moieties. Flame retardancy studies revealed that the resulting sulfone-containing hb-PAM showed higher flame retardancy in terms of the limiting oxygen index (LOI), with a value as high as 42 compared with that of the methylene-containing hb-PAM with a LOI value of 32. The authors attributed the higher LOI of the sulfone-containing hb polyamine to the presence of sulfur as a nonflammable element compared to the



Scheme 16 Structures of monomer combinations used for the synthesis of triazine-containing hb-PAMs [199]



Scheme 17 Structures of hb-PPOs with different end-functionalities

methylene-containing hb-PAM, which might form flammable methane, ethane or similar type of flammable molecule upon combustion.

2.2.6 Hyperbranched Poly(phenylene oxide)s

Zhang et al. [200] prepared an AB₂ monomer, namely 4-bromo-4',4''-dihydroxytriphenylmethane, through a modified Ullmann reaction. The monomer was treated with K₂CO₃ or NaOH as a base and copper chloride (CuCl) as a catalyst in solvents such as DMSO or sulfolane to form hb poly(phenylene oxide)s (hb-PPOs) with phenolic terminal groups (**1-47**, Scheme 17). The sulfolane/NaOH system at a higher temperature of ~210°C led to more rapid polymerization with a higher DB (71%), in comparison to polymers prepared in the presence of DMSO/K₂CO₃ at a temperature of 170°C, which showed a DB of 48%. The phenolic terminal groups were modified into a variety of functional chain ends, namely methoxy, 1-butoxy, ethyleneoxy, or diethyleneoxy units, which were coupled with the aryl hydroxyl branch-end. The nature of the chain-end groups showed significant influence on the solubility of the hb-PPOs. The hb-PPOs were insoluble in chloroform, whereas the modified hb-PPOs were soluble in chloroform. Due to the presence of the branched structure and the large number of polar phenolic terminal groups, the resulting samples exhibited higher *T_g* values, between 130°C and 153°C, compared to linear PPOs with *T_g* values of about 95°C. The resulting hb polymers showed high thermal stability, with *T_{d,5%}* above 258°C in N₂ and above 280°C in air.

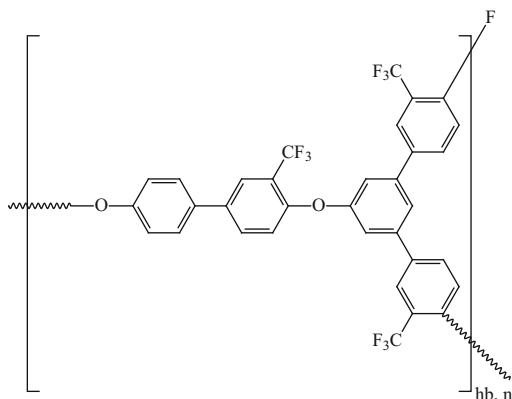
Lv et al. [201] designed and synthesized an AB₂-type monomer, namely 4-fluoro-4',4''-dihydroxy triphenyl methane. Through the homopolymerization of

the monomer, hb-PPO with terminal phenolic groups (**1–48**, Scheme 17) was obtained. Here, the aryl bromide was replaced with aryl fluoride group because in the previous studies [200], hb-PPOs prepared from 4-bromo-4',4''-dihydroxytriphenylmethane by the Ullmann reaction had some limitations such as promotion of branching via Ar-CH₃ groups during high temperature processing by the unreacted amine and copper salts and also difficulty in removal of copper salts [202]. Hence, the aromatic nucleophilic substitution reaction was used to replace the Ullmann reaction to synthesize hb-PPO free of the amine and copper salts for environmental safety. Aromatic nucleophilic substitution (S_NAr) is a very effective method among the various reactions that involve a leaving group activated with an electron-withdrawing group that produces an aromatic ether linkage [74, 120]. The fluorine was chosen as a leaving group due to its good reactivity on account of its small size and high electronegativity. Moreover, the fluorine group can offer many desirable properties such as low polarity, low moisture absorption, and good solubility.

A new AB₂ monomer, namely 4-hydroxy-4',4''-difluorotriphenylmethane, was successfully synthesized by Luo et al. [203] via a Friedel–Crafts alkylation of phenol from 4,4'-difluorodiphenylmethanol. A series of fluoro-terminated hb-PPOs (F-hb-PPOs, **1–49**, Scheme 17) was prepared by self-condensation leading to different molecular weights, with M_n values varying from 2,000 to 6,800 Da and D of 1.7–4.8. It was found that the molecular weight and D of the F-hb-PPOs increased with monomer concentration and reaction time. The solubility of the F-hb-PPO was different from the hb-PPO synthesized in a previous study [200], which the authors attributed to the large number of terminal groups leading to the greater solubility of hb polymers. The DB of the F-hb-PPOs decreased from 0.63 to 0.53 as the molecular weight increased. This was attributed to differences in reactivity between the two B groups of AB₂ monomer due to the steric hindrance. The authors were of the opinion that one B group of the AB₂ monomer reacted with an A group and the other B group in the same AB₂ had lesser chance of reacting with the A group. As the molecular weight increased, the hb architecture became larger and the terminal B groups on the outside surface became increasingly crowded. The crowding or the steric hindrance inhibited the reaction between the second B group and the A group, resulting in a more linear architecture and thus lowered the DB. The T_g of the F-hb-PPOs increased with increasing molecular weight, up to 164°C when the M_n was over 6,800 Da. The increase in T_g was ascribed to the highly branched molecular architecture, which could inhibit the mobility of chain segments. The F-hb-PPOs showed excellent thermal stability, with $T_{d,5\%}$ values up to 559°C.

The trifluoromethyl group can act as an effective activating group for fluoro displacement. Ghosh et al. synthesized a new trifluoromethyl-substituted AB-type monomer, namely 4-fluoro-3-trifluoromethylphenyl phenol [204], which was copolymerized with the AB₂ monomer [197] in molar ratio 1:1 to yield a fluorinated hb copolymer by the AB + AB₂ polymerization approach. The representative structure of the hb copolymer is shown in Scheme 18. The DB could not be evaluated from spectral analysis; however, assuming a random copolymerization of the

Scheme 18 Representative structure of the fluorinated hb copolymer [204]



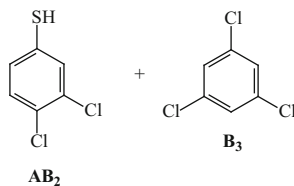
monomers and a full conversion of A groups, the DB should be approximately 44% as calculated theoretically by Frey and Holter for a 1:1 molar ratio of AB:AB₂ [205]. The hb copolymer exhibited M_w of 144,100 Da. The hb copolymers exhibited good solubility in common organic solvents such as in THF, CH₂Cl₂, and CHCl₃ compared with a linear polymer that was prepared by self-condensation of the AB monomer for comparison. The hb copolymers showed excellent thermal stability, with $T_{d,10\%}$ up to 522°C in air and T_g s as high as 187°C.

2.2.7 Hyperbranched Poly(phenylene sulfide)s

Mellace et al. [206] reported the preparation and characterization of hb poly(phenylene sulfide) (hb-PPS) utilizing 3,4-dichlorobenzenethiol as the AB₂ monomer. Furthermore, polymerization of 3,4-dichlorobenzenethiol as AB₂ monomer with 1,3,5-trichlorobenzene as a multifunctional core (B₃ monomer) was utilized to prepare hb-PPS by the AB₂+B₃ approach. Polymerization of commercially available 3,4-dichlorobenzenethiol was accomplished utilizing anhydrous K₂CO₃ as a base in the presence of DMF or NMP as solvent. The monomer combination is presented in Scheme 19. When DMF was used as solvent, the reaction was carried out at 100°C for 24 h and in NMP the reaction was carried out at 150°C for 8.5 h.

The polymer resulting from AB₂ monomer (see structure of AB₂ monomer in Scheme 19) showed a reasonably high M_w (~17,000 Da), with a \mathcal{D} value of 2.0 when polymerization was accomplished in DMF as solvent. Addition of 1 core molecule for every 50 monomers gave a polymer with a M_w of 8,400 Da and \mathcal{D} of 1.2 in DMF, and a polymer with a M_w of 13,000 Da and \mathcal{D} of 1.3 in NMP. DSC revealed that the hb-PPS was amorphous, with a T_g between 60 and 90°C in comparison to linear PPS with a T_g value of 85°C. No T_m was observed up to 375°C for hb-PPS. They attributed the low T_g values (similar to the linear analogs) to a change in the nature of the intermolecular interactions as a result of the large number of terminal chlorines, or to the nonsymmetrical branching [207, 208]. The

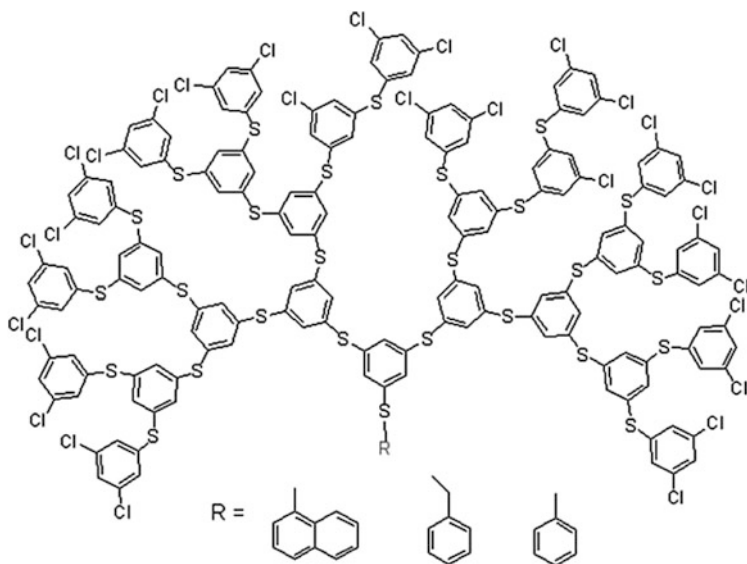
Scheme 19 $AB_2 + B_3$
monomer combination for
preparation of hb-PPS [206]



hb-PPSs reported by Mellace and co-workers had both nonsymmetrical branching and a nonsymmetrical arrangement of the chlorines on the terminal groups, which might have resulted in the reduction in T_g . A crystallization temperature T_c was not observed for hb-PPSs by annealing, even when the polymer samples were heated to 300°C, in contrast to the linear PPS that showed T_c of ~225°C. The lack of crystallinity was due to the highly branched nature of hb-PPS. The hb-PPS samples showed an onset temperature for decomposition (T_d) between 400 and 445°C, compared to 480°C for linear PPS. The author attributed the slightly reduced thermal stability to the large numbers of chain ends, which had a tendency to evolve volatiles at a lower temperature, or to effect of chlorination of the terminal and linear groups in the polymer, leading to more rapid chain-cleavage.

A detailed study on the thermal degradation of hb-PPS was accomplished by Bo et al. [209] utilizing thermal decomposition kinetics by applying the Kissinger [210], Friedman [211, 212], and Ozawa–Flynn methods [213]. The values of activation energy were constant with increasing degree of conversion. Through analyzing the activation energies obtained by the three methods, it was found that the values were close to each other for Kissinger, Friedman, and Flynn–Wall–Ozawa methods and the values were 183, 189, and 194 kJ mol⁻¹, respectively. The Coats–Redern method [214–216] was also chosen for determining the activation energy and showed a value of 184 kJ mol⁻¹. These values strongly suggest that the solid-state thermal degradation mechanism followed by hb-PPS is a phase boundary controlled reaction mechanism. Oxidation of the hb-PPS to hb poly(phenylene sulfone) was also accomplished by Mellace et al. [206] with hydrogen peroxide in acetic acid. The resulting sulfone polymers were insoluble in all common solvents. DSC analysis of the hb poly(phenylene sulfone) polymer revealed a T_g of ~155°C, which was higher than for hb-PPS ($T_g = 85^\circ\text{C}$) and lower than for the linear aromatic polysulfones, which usually show high T_g values of above 200°C. The hb poly(phenylene sulfone) did not show any detectable T_m due to its branched architecture.

Xu et al. [217] decorated the core of hb-PPS with benzyl, phenyl, and naphthyl groups and investigated the effects of the core structures on the fluorescence properties of hb-PPS. Two hb-PPSs were synthesized (batch 1: polymerization time = 7 h, $M_n = 1,900$ Da, and $\mathcal{D} = 1.4$; batch 2: polymerization time = 16 h, $M_n = 4,500$ Da, and $\mathcal{D} = 1.2$). Under the same reaction conditions, three different molecules naphthyl, phenyl, or benzyl were attached to the central thiol (–SH) group as shown in Scheme 20.



Scheme 20 Schematic structure of core-functionalized hb-PPS. Reproduced with permission from [217]

Both the phenyl-cored and the naphthyl-cored hb-PPS gave rise to a fluorescence peak at about 500 nm due to excimers formed by intermolecular packing, which results in a high degree of fluorescence polarization due to encumbered molecular rotation. Phenyl and naphthyl groups could form conjugated structures with the hb-PPS backbones through sulfide bridges, whereas the benzyl group showed almost no effect on the fluorescence properties of hb-PPS. The naphthyl-cored hb-PPS showed drastic fluorescence enhancement of about 10- to 18-fold higher than the original hb-PPS, indicating that the core structures are conjugated with the hb backbones and might have important effects on the structural rigidity of the hb backbones [218]. The naphthyl core was more restricted in its intramolecular rotations in comparison to the phenyl core, which could easily rotate. DSC analysis showed that the T_g of naphthyl-cored hb-PPS increased to 93°C in comparison with the T_g of 55°C for neat hb-PPS; however, no significant change in T_g was found for benzyl- or phenyl-cored hb-PPS. DSC results indicated that naphthyl-cored hb-PPS showed a higher T_g because of the strengthened intermolecular packing, leading to strengthened planar rigidity, proving that the focal group might have an important effect on the electronic structure of highly branched hb-PPS conjugated polymers.

2.2.8 Hyperbranched Polyarylenes

Acetylene cyclotrimerization is a well-established method for the effective transformation of triple bonds to benzene rings. Acetylenic polymerization has emerged

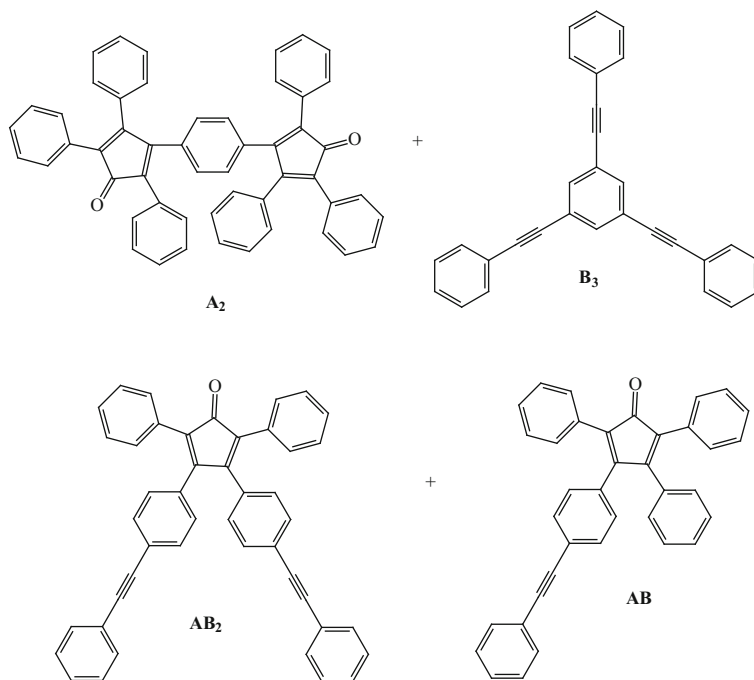
as a useful technique for the synthesis of advanced specialty polymers with novel molecular structures and unique functional properties. Polycyclotrimerizations of diyne molecules are anticipated to result in the formation of hb polyarylenes [219–221]. The polycyclotrimerization of aromatic diyne uses only a single A_2 -type monomer. The A_2 -type diyne monomers are stable at room temperature in the absence of a catalytic species. The polymers have been found to exhibit a variety of unique properties such as high luminescence with fluorescence quantum yields up to unity and high thermal stability up to 500°C [222]. Triple bond-mediated metathesis or insertion, coupling, addition, and cyclization have also been explored for synthesis of acetylenic polymers with different chain structures and dimensionalities such as poly(arylene ethynylene), polydiacetylene, polyarylene, and poly(1,2,3-triazole) as well as their substituted derivatives [223, 224].

Hyperbranched Polyphenylenes

Hyperbranched polyphenylenes (hb PPhs) are nonconducting polymers because extended π -conjugation is hindered due to their tightly packed and strongly twisted phenylene units. Different linear or branched PPh have been explored by several research groups [76, 225–227]. The polymers are synthesized utilizing Diels–Alder cycloaddition [228] of phenylated cyclopentadienones with phenylated alkynes and subsequent decarbonylation leading to hb structures with high thermal stability and better processability. Previously, Tang and colleagues prepared hb-PPhs using diyne polycyclotrimerization initiated by transition metal catalysts [229] and base-catalyzed alkyne polycyclization [230].

Hyperbranched PPhs were synthesized by Voit and coworkers [231] utilizing the Diels–Alder reaction with subsequent decarbonylation based on the $A_2 + B_3$, $AB + AB_2$, and AB_2 approaches. All the polymer backbones were based on hexaphenylbenzene units that were linked in different ways depending on the monomer structure and the Diels–Alder adduct that was formed during the course of the polymerization reaction, which was conducted for 48 h. The structures of the monomers and monomer combinations are shown in Scheme 21. For structural characterization of different hb-PPhs, $^1\text{H-NMR}$ and $^{13}\text{C-NMR}$ was carried out. It was difficult to assign terminal, linear, and dendritic substructures due to signal overlap for AB_2 and $AB_2 + AB$ -type polymers. For $A_2 + B_3$ polymers, signals for both $^1\text{H-NMR}$ and $^{13}\text{C-NMR}$ spectra were clearly distinguishable and it was possible to assign terminal, linear, and dendritic substructures.

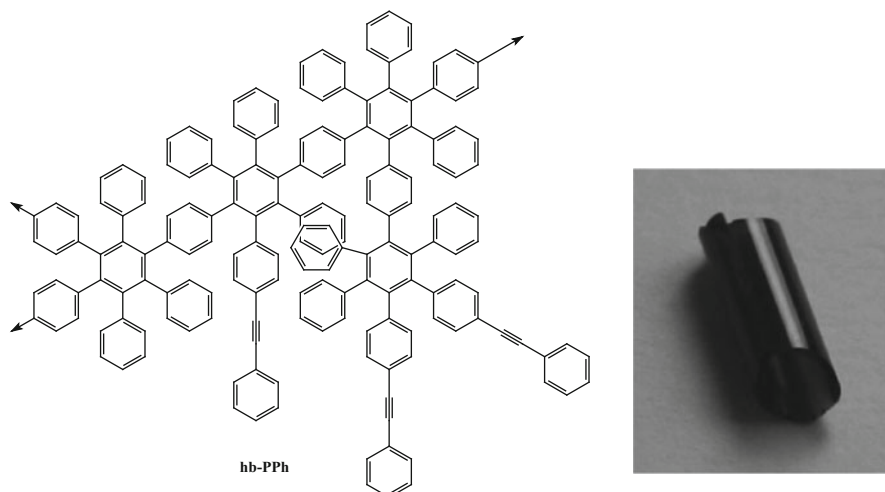
The $A_2 + B_3$ polymerization was carried out at different molar ratios of A_2 and B_3 monomers ($A_2:B_3 = 1:1, 3:2, 2:1, \text{ and } 3:1$). The molar masses of polymers obtained by the $A_2 + B_3$ approach were lower ($M_w = 2,500\text{--}68,000$ Da, as recorded by refractive index detector) than those of AB_2 polymers ($M_w = 47,000\text{--}660,000$ Da, as recorded by light scattering detector) due to the off-stoichiometric functional ratios. For AB_2 -type polymerization, a higher molar mass was attained at longer reaction times. All the AB_2 polymers showed a bimodal weight distribution whereas all the polyphenylenes derived from the A_2 and B_3 monomers showed a



Scheme 21 Structures of monomers and monomer combinations used for the synthesis of hb-PPhs [231]

monomodal distribution. The molar masses of polymers resulting from the $AB_2 + AB$ approach ($AB_2:AB = 3:1$ and $1:1$) decreased with an increase in the AB monomer content ($M_w = 74,000\text{--}20,000$ Da, as recorded by light scattering detector). All the hb-PPhs showed good solubility in common organic solvents, including chloroform and toluene. The polymer of molar mass $M_w = 95,800$ Da and D of 9.5 synthesized by self-condensation of AB_2 monomer also showed film-forming ability using very slow evaporation from a chloroform solution over several weeks [232]. Scheme 22 shows the structure of hb-PPh from AB_2 monomer and of a small rolled-up film of hb-PPh. It is noteworthy that the $A_2 + B_3$ system showed no crosslinking at functional equivalence ($A_2:B_3 = 3:2$) although the monomer conversions crossed the theoretical gel points. Voit and colleagues ascribed this behavior to the steric hindrance of the bulky hexaphenylbenzene units after two of the B groups of monomer B_3 were converted, resulting in polymers with a high percentage of linear units. The synthesized hb-PPhs did not show any T_g up to 360°C and TGA measurements showed $T_{d,10\%}$ of $550\text{--}600^\circ\text{C}$, indicating high thermal stability.

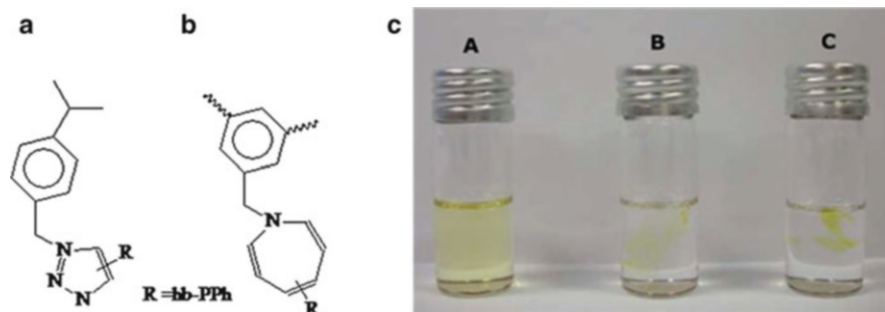
Suitable crosslinking chemistry for the hb-PPh with reactive alkynyl functionalities derived from the AB_2 approach, utilizing azide–alkyne click reaction by 1,3-dipolar cycloaddition, was utilized to check the suitability of such polymers as dielectric material in microelectronics. For polymers to be used for optoelectronic



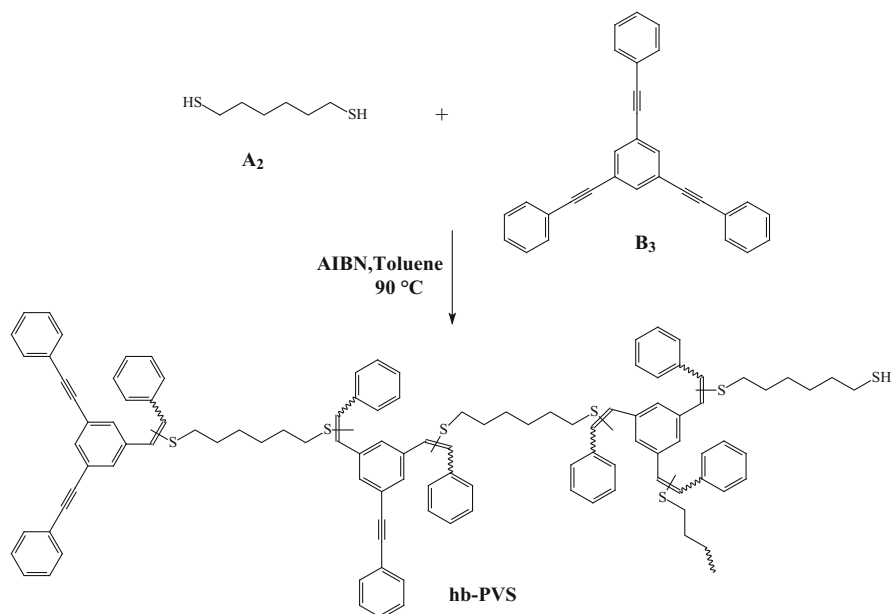
Scheme 22 Structure of hb-PPh from an AB₂ monomer (left) [231]. Small rolled-up film of hb-PPh of wall thickness ~0.5 mm (right). Reproduced with permission from [232]

application a metal-free reaction for curing is the preferred route because the removal of the Cu residues after click coupling of alkyne–azide moieties using Cu(I) catalyst is quite difficult, and small residues might be detrimental for a material to be used in microelectronic applications. Again, high temperatures for curing, such as above 200°C, should be avoided because of potential degradation of some active substances. In this regard, a small molecule, namely 1,3,5-tris (azidomethyl)benzene (TAMB), was prepared by Pötzsch and Voit [233] and successfully used to crosslink the hb-PPh matrix both thermally and photochemically. The mechanisms of these two crosslinking reactions were different; in thermal curing, triazole units were formed between the acetylene groups of hb-PPh and the azide group of the crosslinker in a 1,3-dipolar cycloaddition reaction. In the photochemical curing, a reactive nitrene group was formed upon photolysis of the azide group, which further reacted with the benzene rings in hb-PPh to form an azanorcaradiene derivate and after rearrangement an azepine unit. Films of hb-PPh and TAMB (9:1) were made by drop-casting the 5 wt% toluene solution onto glass substrates and either thermally crosslinking at 180°C for 6 h or exposing to UV light for 2 h. Scheme 23c shows neat hb-PPh film, where immediate film dissolution is observed in CHCl₃ yielding a greenish solution that is typical for hb-PPh. In comparison, for the thermally or photochemically crosslinked films, no film dissolution in CHCl₃ took place even after several days, indicating that these films were sufficiently crosslinked. Atomic force microscopy (AFM) in tapping mode revealed homogeneous surfaces of thin film, which explains why the thin film morphology remained unaffected by the crosslinking reactions.

The selectivity of thiol addition to a diphenylacetylene (DPA) system was studied recently by Voit and coworkers [234]. When thiophenol was used, no trace of bis-adduct was observed even when a large excess was used, which was



Scheme 23 (a) Thermal curing by formation of triazole unit. (b) UV curing by formation of azepine unit. (c) Films of hb-PPh in CHCl_3 : pristine film (A); thermally crosslinked film (B); and UV crosslinked film (C). Reproduced with permission from [233]



Scheme 24 Synthesis of hb poly(vinyl sulfide) (hb-PVS) with a sketch of the hyperbranched structure showing all potential units that may appear in the final polymer, depending on the molar ratio of A_2 and B_3 . Reproduced with permission from [234]

attributed to the steric hindrance of a second thiol addition to the vinyl sulfide. This selective and efficient mono-addition reaction was applied for the preparation of hb poly(vinyl sulfide)s (hb-PVSs) via the $A_2 + B_3$ approach starting from 1,3,5-tris(phenylethynyl)benzene B_3 monomer and hexane-1,6-dithiol as A_2 monomer (as shown in Scheme 24). Polymerization was therefore conducted with a 1:1 molar ratio of thiol and alkyne functional groups using thermal initiation. The hb-PVS showed $T_{d,5\%}$ of 350°C and T_g of 68°C . The resulting polymer exhibited

excellent solubility in common organic solvents and showed a high refractive index of 1.70 at 589 nm, which was attributed to the presence of sulfur and phenyl rings in the (hb-PVS) [235]. The vinyl sulfides and residual end groups also provided suitable crosslinking sites. The authors concluded that such interesting properties, including good processability and the potential for secondary crosslinking, might be useful for application as suitable coating materials for optical devices.

Utilizing the methodology of selective thiol radical mono-addition to phenyl-acetylene derivatives, Voit and coworkers [236] recently synthesized a series of high refractive index hb polymers (refractive index of 1.68–1.75 with low optical dispersions of 0.004) by using different dithiol and trialkyne monomers. The hb structures produced materials with better performance in terms of light reflection and chromatic dispersion compared with linear analogs that were also synthesized for comparison.

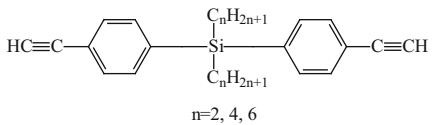
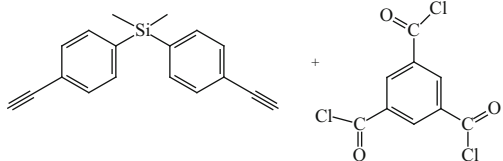
Conjugated Hyperbranched Polyarylenes

A variety of potential optical and electronic applications have been proposed for conjugated polymers, and the perspective has stimulated dynamic research activities on macromolecules with extended π -conjugations [237]. The frontier of research on conjugated polymers is now moving from linear to dendritic and hb structures [238, 239]. In general, such polymers are prepared by transition-metal-catalyzed alkyne polycyclotrimerization involving a single monomer species, such as acetylenic monomers, and do not suffer from stoichiometric imbalance [240]. Tang and coworkers explored polycyclotrimerization of aromatic diynes and succeeded in the homopolycyclotrimerization of organic and organometallic diynes and their copolycyclotrimerization with aromatic and aliphatic monoynes [241, 242].

Liu et al. [243] prepared soluble and processable hb poly(silylenephenylene)s (hb-PSPs) (Table 5, entry 1) by the polycyclotrimerization of A_2 -type monomers initiated by a single-component catalyst TaBr₅. Spectroscopic analyses assisted by mathematical modeling revealed that ~75% of the triple bonds were cyclotrimerized into benzene rings via a [2+2+2] cyclotrimerization mechanism. The hb-PSPs were completely soluble in common organic solvents. The polymers showed $T_{d,5\%}$ of 480°C, possessed a unique σ - π conjugated electronic structure, and emitted strong violet-blue light upon photoexcitation. The triple bonds on the peripheries allowed the thin films of the polymers to be readily photocrosslinked, generating fluorescent photoimages in high resolutions.

Tang and coworkers [244] further synthesized a series of conjugated hb polyarylenes (hb-PAs) containing carbazole and/or fluorene chromophores by the homo- and copolycyclotrimerization of diynes with monoyne by different transition-metal catalysts and investigated their thermal and optical properties. Alkyne polycyclotrimerizations are effected by TaX₅-Ph₄Sn (X = Cl, Br) and C_pCo(CO)₂-*hν* catalysts, yielding soluble hb-PAs with high molecular weights (M_w up to $\sim 1.6 \times 10^5$ Da). The homopolymer showed $T_{d,5\%}$ as high as 560°C. All

Table 5 Monomer structures and monomer combination used for the synthesis of conjugated hb polyarylenes

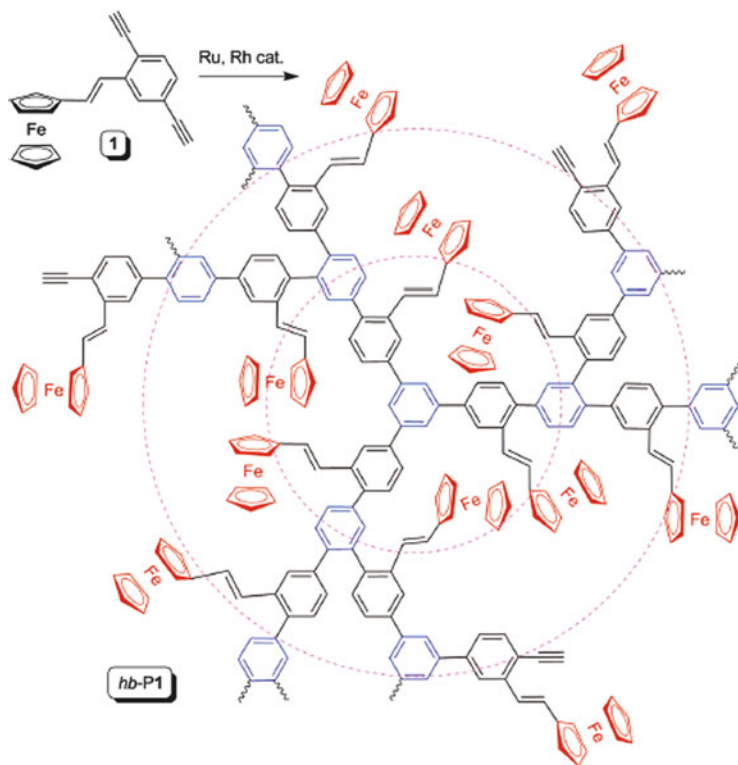
Entry no.	Monomer structures and monomer combination	References
1	 <p style="text-align: center;">$n=2, 4, 6$</p>	[243]
2		[246]

the copolymers started degrading at slightly lower temperatures due to the incorporation of aliphatic 1-octyne into the polymer structure. In general, the hb-PAs prepared from $\text{TaCl}_5\text{-Ph}_4\text{Sn}$ and $\text{C}_p\text{Co}(\text{CO})_2$ showed quantum yield values of 50 and 53%, respectively, whereas the hb-PAs obtained from $\text{TaBr}_5\text{-Ph}_4\text{Sn}$ showed a quantum yield value as high as 90%. The authors suggested that the poorer π -conjugation along the all-*meta*-substituted benzene ring might partially block the radiative decay pathways of the excited species and, consequently, lower the quantum yield value.

Organometallic hb-PPhs containing ferrocene groups to be utilized for magnetic ceramics were synthesized by Tang and colleagues [245] by designing a diyne monomer carrying a ferrocene moiety as single crystals. Scheme 25 shows the reaction scheme and structures of the monomer and hb-PPh. The authors successfully developed ruthenium- and rhodium-based catalysts to bring the polymerization reactions under control. The hb-PPh recorded by DSC showed a peak at 240°C during the first heating scan due to the thermally induced crosslinking associated with the alkyne polymerization; however, during the second heating scan no exothermic peak was realized indicating that the thermal curing process was irreversible. The (hb-PPh) started to lose weight at temperatures above 300°C.

For metallization, hb-PPh was admixed with octacarbonyldicobalt to form a cobalt complex. Refractive index values were measured for the neat hb-PPh and for the hb-PPh cobalt complex, indicating refractive index values of 1.704–1.681 together with low optical dispersion for the hb-PPh-cobalt complex, as verified by the high value of the Abbe number. The authors concluded that these materials could act as precursors to magnetic ceramics with high magnetizabilities.

Recently, Tang and coworkers [246] reported a new method for the formation of conjugated hb polymers with diyne monomer [bis(4-ethynylphenyl)dimethylsilane] and benzene-1,3,5-tricarbonyl trichloride as branching unit using the $\text{A}_2 + \text{B}_3$ polymerization approach (Table 5, entry 2) by the rhodium (Rh)-catalyzed decarbonylative reaction for the formation of hb poly(arylene chloro-vinylene)s. The molar ratio selected for polymerization of $\text{A}_2:\text{B}_3$ was 4:3 ($\text{A}:\text{B} = 8:9$) so as to



Scheme 25 Polymerization of (*E*)-1-[2-(2,5-diethynylphenyl)vinyl]ferrocene (**1**) to hyperbranched polyphenylene (*hb-P1*) with ferrocenyl groups attached to its repeat branches as pendant groups. Reproduced with permission from [245]

render an excess of alkyne functionalities at its peripheries for post-functionalization. The polymers showed good solubility in common organic solvents. The DB value of *hb* polymer was determined to be 30%. The authors attributed the low DB to the stoichiometric imbalance of the two mutually reactive groups during polymerization and to structural crowding of the branching unit (benzene-1,3,5-tricarbonyl trichloride). Thus, the reaction of the remaining acyl chloride moiety was difficult after the first two were consumed, leading to the formation of a large fraction of linear units and, hence, a lower DB. DSC measurements showed no thermal transitions in the *hb* polymer in both first and second heating cycles. The authors assumed that the *hb* polymer did not undergo any crosslinking reaction or post-polymerization due to its spatially branched structure, which restricted the probability for the vinyl chloride groups to approach each other. The $T_{d,5\%}$ of $\sim 350^\circ\text{C}$ indicated good thermal stability.

3 Applications

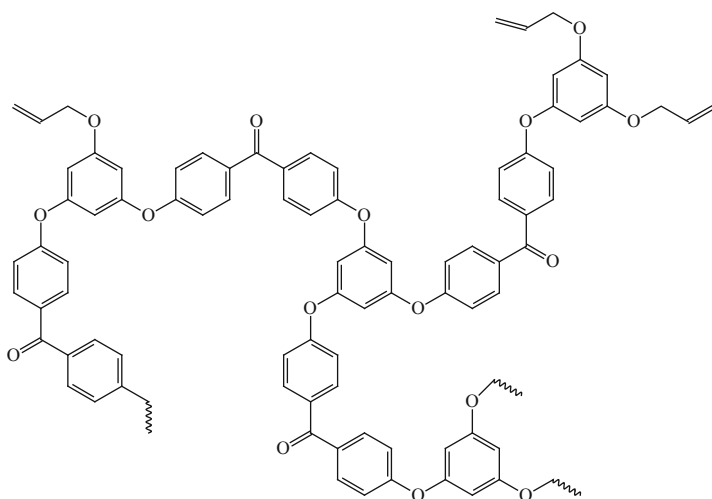
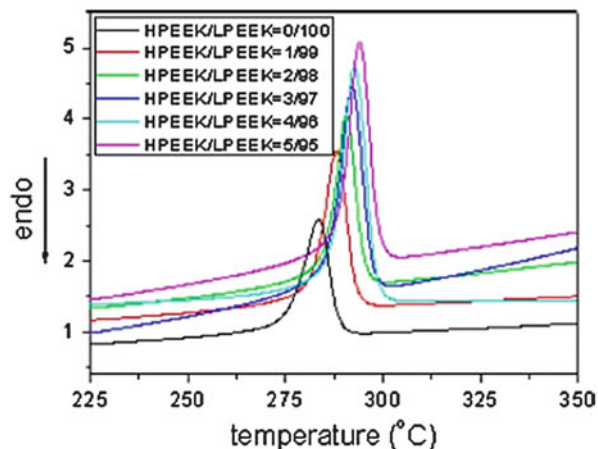
Hyperbranched polymers show lower viscosity and better solubility due to the branching and abundant functional groups and therefore they are good for use as additives. The aromatic hb polymers combine good miscibility and are thermally stable enough to allow melt processing.

3.1 Additives and Rheology Modifier

Schmaljohann et al. [247] used hb polyesters with alkyl-modified end groups as dye carriers in polyolefins. The use of hb polyesters resulted in a reduced melt viscosity of the polyolefin and a much better distribution of the dye in the polyolefin matrix. Jang et al. [248] showed that a small amount of hb polyester with hydroxyl end groups could greatly reduce the relative crystallinity of a semicrystalline polyethylene terephthalate (PET) through hydrogen bonds between the $-OH$ groups of the hb polymer and the carbonyl groups of PET. Simon and coworkers [249] discovered that the lower-generation hb polyesters (BoltornTM-type) showed shear-thinning, whereas higher-generation polyesters exhibited Newtonian dependency, which was also found in the blends if at least one blend component showed Newtonian behavior. The study of Nunez et al. [250] on the rheological behavior of hb polyesters and their blends with linear polymers observed a drastic decrease in viscosity depending on the hb polymer concentration in the blends. More recently, Li et al. [251] employed hb poly(ether ether ketone) (hb-PEEK) at 1–5 wt% as a rheology modifier towards linear poly(ether ether ketone) (LPEEK) for improving the melt processability. Interestingly, the crystallization temperatures (T_c) of LPEEK/hb-PEEK blends were higher than that of pure LPEEK ($T_c = 283^\circ\text{C}$), and the T_c of LPEEK/hb-PEEK blends gradually increased from 288 to 294°C with increasing hb-PEEK content in the blends. The increase in T_c of the blends was attributed to the enhanced crystallization capacity of LPEEK because incorporation of branched polymer resulted in a lower melt viscosity of the LPEEK/hb-PEEK blends compared to pure LPEEK, thus improving the mobility of LPEEK segments and leading to the increase in the crystallization capacity of LPEEK. Figure 4 shows the effect of hb-PEEK concentration on nonisothermal crystallization of LPEEK, indicating an increase in the crystallization capacity of LPEEK in the blends due to the presence of hb-PEEK as a rheology modifier in the crystallizing process of LPEEK.

Bismaleimide (BMI) resin is one of the most important thermosetting polymers due to its superior thermal resistance, outstanding dielectric property, and good fatigue resistance at high humidity. However, being a thermosetting resin, original BMI resins suffer from brittleness and poor processing features, such as high melting point and narrow work life, so toughening and improving the processing characteristics have been the main targets of the investigation of BMI resins. Recently, Tang et al. [252] synthesized allyl-terminated hb-PAEK and incorporated it into the BMI matrix with the aim of improving the toughness. BMI resins

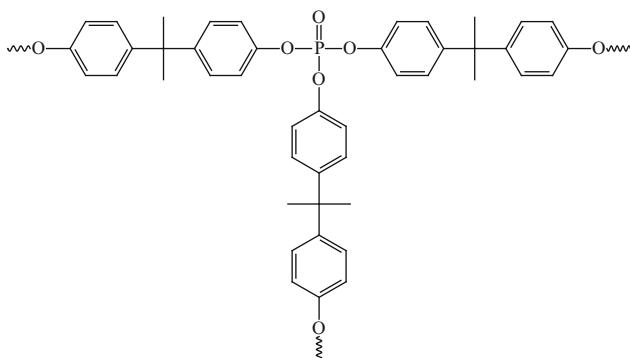
Fig. 4 Effect of hyperbranched poly(ether ether ketone) (HPEEK) concentration on nonisothermal crystallization of linear poly(ether ether ketone) (LPEEK) at 10°C/min. Reproduced with permission from [251]



Scheme 26 Structure of allyl-terminated hb-PAEK [252]

modified by allyl-terminated hb-PAEK (see structure in Scheme 26) showed good processibility, with viscosities below 0.6 Pa s at 110°C. An increase in impact strength from 9.5 kJ/m² to about 14 kJ/m² was realized, indicating improvement in the toughness of the cured BMI resins due to the presence of allyl-terminated hb-PAEK.

Aromatic hb polyamides have been used to modify the thermal, dielectric, viscoelastic, and rheological properties of linear polyamides [253, 254]. The characteristic architecture of these macromolecules makes them interesting candidates as a blend component with commercial linear polymers. A hb poly(ether amide) was blended with commercially available polyamide-6 (PA6) by Huber et al. [255] and Clausnitzer and coworkers [256]. The complex melt viscosity of the new materials was reduced, even at small amounts of the hb polymer, whereas the



Scheme 27 Structure of dendritic unit of hb polyphosphate ester [258]

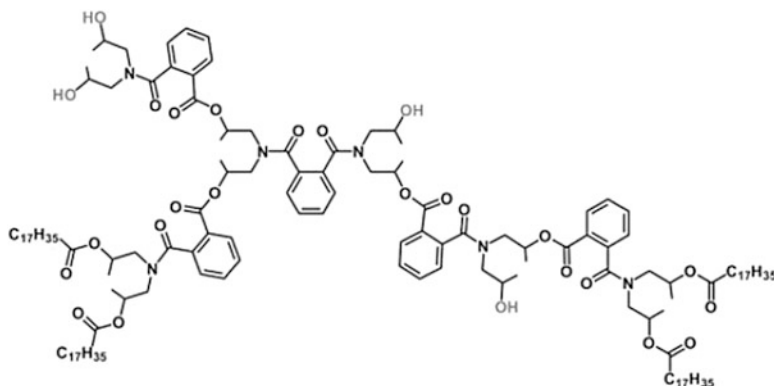
mechanical properties of the blends remained nearly constant. This was explained by the formation of hydrogen bonds between the hb polymer with the large number of hydroxyl groups and the polyamide. Hyperbranched polyphenylenes synthesized from AB_2 monomers were blended with linear polystyrene (PS) [76]. The resulting materials exhibited a reduced viscosity at high temperatures and an improved thermal stability compared with pure PS.

PA6 is one of the most important engineering plastics and is widely used as fibers, molded articles, and binders for composites. However, the applications of PA6 are still restricted in many fields owing to its poor flame retardant property and low limiting oxygen index (LOI) ~ 21 , and because it burns easily. Fang et al. [257] synthesized hb polyphosphate bisphenol-S ester to improve the flame retardancy of PA6. Chen et al. [258] synthesized hb polyphosphate ester (Scheme 27) and used it as curing agent and flame retardant in epoxy resins.

Polypropylene shows an interesting set of mechanical and physical properties but it lacks dyeability and is not usually regarded as a textile fiber. Many efforts have been made to improve the dyeability of polypropylene. Recently, Sari et al. [259] utilized a poly(ester amide)-based hb polymer (Scheme 28) in fiber-grade polypropylene (PP) to study the nanostructure of the hb-modified PP and its correlation with macromechanical behavior. The study revealed an enhancement in mechanical properties due to the grafting reactions of hb poly(ester amide), leading to the formation of a crosslinked nanostructure of dendritic domains. The domains were capable of penetrating into the amorphous phase of the semicrystalline structure of the PP matrix and behaving as a toughener.

In order to improve the toughness of epoxy materials, hb polymers, having a 3D globular architecture and a large number of functional groups, were used for improving the toughness [260] and reducing the dielectric constant [203] by blending with epoxy resin lacking such qualities.

Qiang et al. used hb polymer with a terminal carboxyl group as an additive in auxiliary tanning of natural leather to improve the moisture absorption and permeability to water vapor of microfiber synthetic leather [261]. Recently, Ren et al. [262] prepared amino-terminated hb polyamide and grafted the same onto the polyamide microfiber synthetic leather employed for clothing, and organic



Scheme 28 Structure of hb poly(ester amide) (DSM Hybrane PS2550). Reproduced with permission from [259]

phosphine was used as a crosslinking agent to improve the content of the active groups and enhance the dyeing rate and color fastness. Wang and colleagues [263] synthesized hb poly(aryl ether ketone) terminated with cobalt phthalocyanine (CoPc-hb-PAEK) and studied its catalytic activity in oxidative decomposition of 2,4,6-trichlorophenol (TCP); the results were compared with those for the linear poly(aryl ether ketone) terminated with cobalt phthalocyanine [264, 265]. CoPc-hb-PAEK decomposed 75% of initial TCP within 7 h, whereas linear analogs decomposed only 68–70% within 7 h. This indicated that the efficiency of CoPc-hb-PAEK was better than the linear analogs due to highly branched structure of CoPc-hb-PAEK, followed by the high density and good dispersion of cobalt phthalocyanines compared to the linear analogs.

Hence, because of their unique 3D structure and the large number of functional groups, hb polymers provide high chances for possible interactions and, therefore, are expected to result in novel materials with improved properties upon compounding with other components. Thus, hb polymers are considered good candidates for use as additives and rheology modifiers.

3.1.1 Hyperbranched Phenylene Oxide as a Low Temperature Curing Agent

An epoxy-functionalized hb-PPO (epoxy-hb-PPO) (see structure in Scheme 29) was synthesized by Huang et al. [266] and the effect of adding 2.5–15% of epoxy-hb-PPO on the curing mechanism and kinetics of cyanate ester (CE) was studied.

Each prepolymer showed one exothermic peak; however, interestingly, all peaks of CE/epoxy-hb-PPO prepolymers appeared at a significantly lower temperature than those of CE prepolymer, demonstrating that an additional reaction took place in the CE/epoxy-hb-PPO resin system. The curing mechanism of CE was the cyclotrimerization of CE, whereas that of CE/epoxy-hb-PPO included the cyclotrimerization of CE under the catalytic role of –OH groups in epoxy-hb-PPO, the

Scheme 29 Structure of epoxy-functionalized hb-PPO [266]

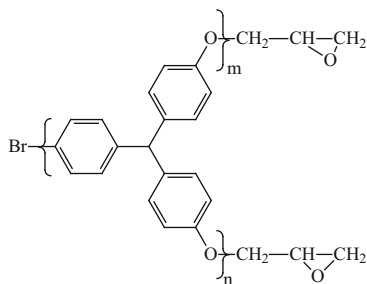
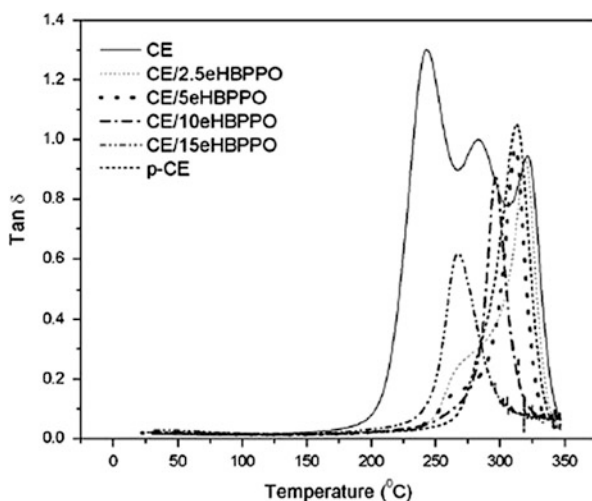
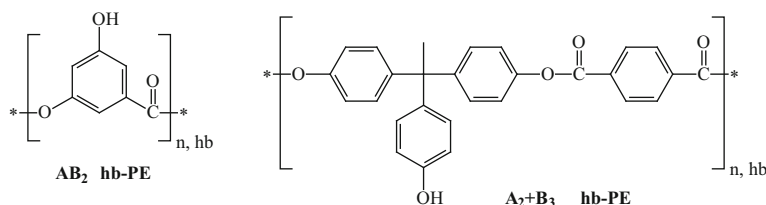


Fig. 5 Overlay plots of $\tan \delta$ versus temperature for cured cyanate ester (CE), cured CE resin post-cured at 230°C (*p*-CE), and epoxy-functionalized hyperbranched poly(phenylene oxide) (CE/*e*HBPPO) resins. Reproduced with permission from [266]



copolymerization between epoxy and $-\text{OCN}$ groups as well as triazine rings of CE, and the self-polymerization of epoxy-hb-PPO. Moreover, CE/epoxy-hb-PPO showed a slightly smaller apparent activation energy ($55\text{--}57\text{ kJ mol}^{-1}$) than CE (58 kJ mol^{-1}), indicating the ease of the curing reaction of CE/epoxy-hb-PPO with the addition of epoxy-hb-PPO to CE. On the other hand, CE/epoxy-hb-PPO molecules less easily to collide with each other for curing because of the more branched architecture of epoxy-hb-PPO compared with neat CE. The incorporation of 10 and 15% of epoxy-hb-PPO to CE yielded CE/10epoxy-hb-PPO or CE/15epoxy-hb-PPO resin systems and their thermal properties were studied. Because the T_g of the cured CE ranged from 243 to 321°C and was difficult to compare with the resin systems containing epoxy-hb-PPO, the authors compared the thermal properties of the resins with *p*-CE (cured CE resin post-cured at 230°C), which showed a single T_g value of 314°C, as recorded from the plot of $\tan \delta$ versus temperature. The T_g values observed for CE/10epoxy-hb-PPO and CE/15epoxy-hb-PPO resin system were 296 and 266°C, respectively, which were lower than the T_g value of the *p*-CE, indicating that the CE/epoxy-hb-PPO resin systems cured at lower temperatures than *p*-CE. Figure 5 shows the $\tan \delta$ –temperature plots for cured CE, *p*-CE, and CE/*e*HBPPO resins.



Scheme 30 Structures of hb-PEs from AB_2 and $A_2 + B_3$ approaches [267]

3.1.2 Hyperbranched Poly(aryl ester)s as Rheology Modifiers for Linear Polyamides

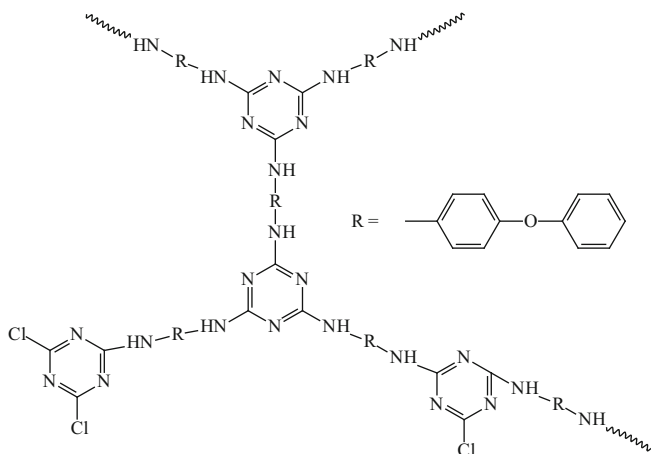
Voit and coworkers utilized high T_g hb aromatic polyesters [115] as additives for modification of the most important engineering thermoplastics, namely polyamides, in terms of their thermal properties and melt rheological behavior by blending. A lowering of the melt viscosity and, thus, an improvement in the processing properties is of high interest, especially for the partially aromatic polyamide PA6T/6, which requires a processing temperature above 310°C. Different amounts of the two hb polyesters, from 1 up to 20 wt%, were added to the linear thermoplastic polyamides by melt mixing. Two different polyamide grades, either partly aromatic polyamide-6 (PA6) or aliphatic polyamide-6 T-type (PA6T/6), were used for blending with hb aromatic polyesters [267]. Scheme 30 shows the structures of the AB_2 and $A_2 + B_3$ -type polyesters that were used for blending. The influence of the addition of AB_2 and $A_2 + B_3$ -type polyesters on the rheological behavior of linear polyamides was investigated. Miscible blends were formed in all cases, as was confirmed by a single T_g in DSC measurements.

The $-OH$ group density in AB_2 hb polyesters (hb-PEs) was higher than that of $A_2 + B_3$ hb-PEs. The hb-PEs were compounded in the melt with the partly aromatic polyamide PA6 and the aliphatic polyamide PA6T/6 to obtain polyamide blends. Melt mixing was carried out at 320°C for PA6T/6 and at 250°C for PA6. As control samples, pure matrix polyamides and pure hb polyesters were also processed under the same conditions for comparison with the blends. All of the blends showed both T_g and T_m , indicating the semicrystalline nature of the polymer blends. The T_m as well as the crystallinity of the blends of PA6T/6 and hb-PE containing the AB_2 hb-PE was decreased from 297 to 258°C with increasing the amount of hb polymer from 1 to 20 wt%. The T_m as well as the crystallinity of the PA6/hb-PE blends containing the AB_2 hb-PE was decreased from 221 to 205°C with increasing the amount of hb polymer from 1 to 20 wt%. The T_g of the blends increased from 103 to 119°C for polyamide PA6T/6 as matrix material and from 56 to 72°C in the blends for polyamide PA6 as matrix material with increasing the content of AB_2 hb-PE from 1 to 20 wt% in the blends. With respect to the structures of the hb polyester and the polyamides, the increase in T_g was favored due to the formation of strong hydrogen bonding between the hydroxyl groups of AB_2 hb-PE and the carbonyl groups of the polyamides.

The addition of 1–10 wt% of $A_2 + B_3$ hb-PEs to polyamide PA6T/6 showed negligible effect on the T_g of the blends, the value of 101°C being the same as that for the polyamide PA6T/6. This was attributed to the influence of the temperature selected for compounding. At high processing temperatures (320°C) for the PA6T/6 blends, the $A_2 + B_3$ hb-PEs might undergo side reactions such as post-polymerization, leading to crosslinking, which prevent the formation of miscible blends. Gas chromatography/mass spectrometry (GC/MS) investigations of $A_2 + B_3$ hb-PE showed a release of phenol at temperatures above 250°C due to decomposition of terminal groups derived from the B_3 monomer [115], thus reducing the number of free phenolic groups responsible for the interactions with the polyamide.

The modification of PA6T/6 with AB_2 hb-PE led to a reduction in the complex viscosity, even at low concentrations of AB_2 hb-PE. After adding 1 wt% of AB_2 hb-PE, the zero-shear viscosity of PA6T/6 changed from 500 Pa s (0 wt% of AB_2 hb-PE) to 340 Pa s (1 wt% of AB_2 hb-PE). By increasing the amount of AB_2 hb-PE in the blends, a continuous reduction in the viscosity was observed until a concentration of 10 wt% was reached. Further increase in the AB_2 hb-PE content of the blends did not further affect the complex viscosity. The decreased melt viscosity of the resulting blends indicated improved processability of polyamides by the addition of AB_2 hb-PE. Adding 1 wt% of $A_2 + B_3$ hb-PE to PA6T/6 resulted in a comparable complex viscosity to that of the processed matrix polyamide. However, a larger amount of $A_2 + B_3$ hb-PE (10 wt%) in the blends resulted in a reduction in viscosity, especially in the region of higher frequencies. Generally, for the same concentration (e.g., 10 wt%) of hb polymers in the blends, the addition of AB_2 hb-PE resulted in a much more significant reduction in the complex viscosity of the final material than addition of $A_2 + B_3$ hb-PE.

All of the blends of PA6 and the hb polyesters exhibited Newtonian behavior. Only at a high content of AB_2 hb-PE was a decrease in the complex viscosity of the blends observed. The addition of $A_2 + B_3$ hb-PE to PA6 resulted in a continuously increased zero-shear viscosity from 380 to 920 Pa s with increase in the content of $A_2 + B_3$ hb-PE from 0 to 15 wt% in the blends, which is in contrast to previous findings [49, 247, 254, 268]. This was attributed to the existence of a heterogeneous system in which a phase with a higher viscosity ($A_2 + B_3$ hb-PE) was dispersed in the continuous phase (PA6) possessing a lower complex viscosity, and this was also manifested in the scanning electron microscopy (SEM) images. The hb polymer phase behaved like reactive filler. Also, $A_2 + B_3$ hb-PE distributed in the blend might behave as a coupling agent, connecting the polyamide chains at different positions through strong physical interactions that result in effects similar to a material being reinforced, causing an increase in the complex viscosity. In general, the addition of AB_2 hb-PE to the polyamides showed a more pronounced effect on the improvement of T_g and the processability of both the polyamides. However, the gelation tendency of $A_2 + B_3$ hb-PE at higher processing temperatures and the larger nonpolar branching unit and lower branching density lead to a lower miscibility of $A_2 + B_3$ hb-PE within the polyamide matrix. Besides, the lower density of –OH groups in $A_2 + B_3$ hb-PE induce a lower possibility for hydrogen bonding between $A_2 + B_3$ hb-PE and polyamides. Hence, AB_2 hb polyesters show a much higher



Scheme 31 Representative structure of hb-PAm used as flame retardant for PA6 [269]

potential for use as processing additives in linear thermoplastic polyamides compared to $A_2 + B_3$ hb polyesters because of the potential post-polymerization at the processing temperatures.

3.1.3 Hyperbranched Poly(aryl amine) as Flame Retardant for PA6

A hb polyamine (hb-PAm) was prepared by Ke et al. [269] utilizing the $A_2 + B_3$ approach where s-triazine was the B_3 monomer and ODA was the A_2 monomer. The representative hb-PAm structure is shown Scheme 31. The resulting hb-PAm acted as a hb charring and foaming agent (HCFA) in combination with ammonium polyphosphate (APP) to form a new intumescent flame retardant (IFR) system for PA6, abbreviated as IFR-PA6. The effect of HCFA on the flame retardance and thermal degradation properties of IFR-PA6 was investigated by the limiting oxygen index (LOI), UL-94 vertical burning, cone calorimetry, and thermogravimetric analysis (TGA).

The experimental results of UL-94 vertical burning indicated that all the IFR-PA6 composites gave a V-0 rating when the weight ratio of HCFA to APP was between 1:3 and 3:2. The IFR system showed the most effective flame retardancy in PA6 when the weight ratio of HCFA to APP was 1:2. The LOI value of IFR-PA6 could reach 36.5 with a V-0 rating when the IFR loading was 30 wt%. Additionally, even with 25 wt% loading of the IFR (where HCFA: APP = 1:2), a V-0 rating could be still maintained with an LOI value of 31. The interaction between APP and HCFA improved the char formation ability of the IFR system. Much more char was formed for the PA6/HCFA/APP composite than for the PA6/APP system, indicating better flame retardancy with incorporation of HCFA. The char acted as a barrier that prevented the transfer of gas and heat flow during combustion and, hence, the flame retardancy of PA6 resin was greatly

improved by adding HCFA to the system. The average heat release rate (Av-HRR), peak heat release rate (PHRR), and total heat release (THR) values were recorded by a cone calorimeter. In general, for effective flame retardant materials, the heat release in tests should reduce drastically. The results of cone calorimetry also showed HCFA/APP as an effective IFR system for PA6. Neat PA6 showed the following values: Av-HRR 310 KW/m², PHRR 490 KW/m², and THR 106.5 MJ/m². For the PA6/APP system, the values were reduced: Av-HRR 133 KW/m², PHRR 420 KW/m², and THR 43.7 MJ/m². The PA6/HCFA/APP showed Av-HRR 76 KW/m², PHRR 343 KW/m², and THR 31 MJ/m² values, which were lower than the values recorded for neat PA6 and indicated that PA6/HCFA/APP is an effective flame retardant system. TGA results showed higher char residue at 700°C for the PA6/HCFA/APP composite system (24% char residue) compared to the PA6/APP composite system (15% char residue) because when HCFA was added the polyphosphoric acid not only interacted with PA6 but also with HCFA, decreasing the amount of evaporated excess polyphosphoric acid and enhancing the char formation ability.

3.1.4 Hyperbranched Polyphenylene Sulfide as Textile Dyeing Agent for Polypropylene

The hb-PPS prepared from previously synthesized AB₂ monomer [206] was utilized for studying the dye uptake by PP in the presence of a monoazo-type of disperse dye, namely CI Disperse Red 202 [270]. The hb-PPS at various concentrations was added to PP granules followed by melt mixing to form hb-PPS/PP spun fibers. Due to the presence of polar groups and aromatic rings in hb-PPS, the hb-PPS/PP spun fibers showed the potential to interact with the dye. The neat PP fibers were dyed to a pale depth and the modified PP fibers were dyed to a deeper depth, indicating the presence of hb-PPS in the modified PP. In the case of the modified PP fibers, the dye uptake increased with increased hb-PPS content from 1 to 3 w/w%, which was attributed to the increased introduction of polar groups and aromatic rings that acted as active sites for the dye molecules. With hb-PPS dispersed in PP matrix, the chlorides formed intermolecular hydrogen bonding with the proton-supplying groups (such as –OH and –NH₂) present in the dye structure. Aromatic rings, present in hb-PPS, formed π bonds with the groups in the dye molecules supplying protons, which also helped to produce deeper shades of color. There was no increase in the depth of shade in hb-PPS modified PP fibers with increasing the dye concentration from 4 to 6%, which Yan's group attributed to the saturation of dye sites in hb-PPS-modified PP fiber. The hb-PPS/PP blends showed only one T_g , corresponding to the T_g of PP, because of the very low content of hb-PPS present in the blend. Interestingly, with increasing the hb-PPS content, the T_g of the PP phase showed a tendency to shift towards higher temperatures, indicating that the blends were partially miscible.

3.2 Membranes

Aromatic hb polymers have been found to fulfill the broad material needs for membrane-based separation applications due to their large free volume. Some selected examples will be highlighted to demonstrate the potential of hb polymers for membrane-based applications.

In recent years, there has been increasing interest in reverse osmosis (RO) membranes for desalination. Thin film composite polyamide membranes are currently used in commercial RO membranes [271]. Among these materials, *m*-phenylenediamine- and trimesoyl chloride-based polyamides prepared by interfacial polymerization are available as commercial products [272, 273]. Ideally, RO membranes should possess high flux and high salt rejection, in addition to excellent chlorine and fouling resistance, mechanical durability, and low cost. Chiang et al. [274] synthesized nanofiltration membranes based on hb polyethyleneimine ($M_w = 2,000$ Da) with two acyl chlorides, namely trimesoyl chloride and terephthaloyl chloride. They observed that highly branched polyamides showed higher flux and higher salt rejection to sodium chloride, which the authors attributed to the presence of pendant amine groups that interact with the ions in the pathway and thus hinder ion transport. Recently, Park et al. [275] prepared hb aromatic polyamide-grafted silica and disulfonated 4,4-bis(3-aminophenoxy)phenyl sulfone composite membranes to enhance the chlorination resistance of RO membranes for desalination. After the chlorination test, salt rejection was decreased by 36.2% and water permeation was increased by only 5.6% compared with performance before chlorination measurement.

Fuel cells are regarded as efficient and clean energy sources as alternatives to limited fossil fuel resources. For proton exchange membranes for fuel cell (PEMFC) applications, high proton conductivity, mechanical properties, and oxidative stability are the basic requirements. DuPont's Nafion is a commercially available perfluoro sulfonated polymer membrane and is the current state-of-the-art proton exchange membrane [276]. However, Nafion has the drawbacks of low operational temperature ($<80^\circ\text{C}$) and being very costly due to its complex preparative technique. These deficiencies have produced great research interest in developing alternative polymer electrolyte membranes based on ethoxysiloxane [277]. The proton conductivities of these membranes are comparable to Nafion 115 in humid state. In a separate study, Gode et al. synthesized a novel sulfated hb polymer for the PEMFC [278], such as sulfonated poly[3-ethyl-3-(hydroxy-methyl) oxetane]. The hb polymer-containing PEMFC showed higher proton conductivity compared to Nafion 117 in humid state. The hb sulfonated poly(3-ethyl-3-(hydroxy-methyl) oxetane)-modified membrane was more flexible and exhibited better mechanical properties than the chemically crosslinked membranes, such as the Nafion series. Recently, Itoh et al. synthesized two novel hb polymers with sulfonic acid or acryloyl groups at the end of chains [279]. It was reported that the ionic conductivity of these two novel hb polymers increased with increasing

temperature and that the thermal stability of these materials was perfect up to 200°C.

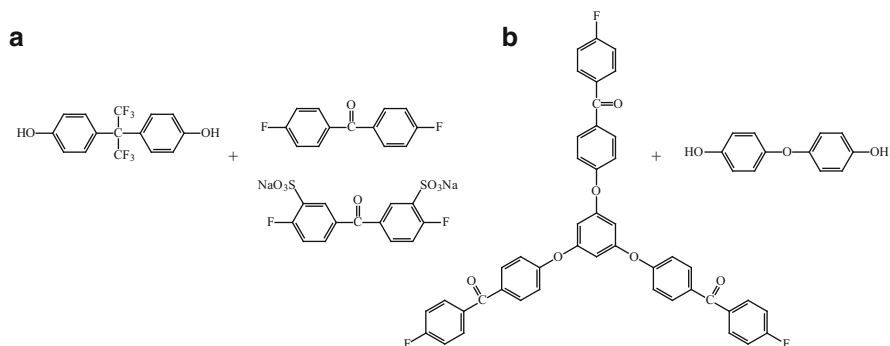
Membrane-based gas separation has attracted much attention during the last two decades. Compared with traditional separation processes it has many significant advantages, such as low investment cost, low energy consumption, simple operation, etc. Gas separation processes using polyimide gas separation membranes have been developed and are of great interest because of their excellent thermal, mechanical, and gas transport properties [280]. The high gas permeability of polyimides is generally attributed to the large fractional free volume, which is closely related to their highly rigid structure, whereas the high selectivity is due to the high diffusion selectivity and/or the high solubility selectivity. On the other hand, it is reported that there are many open and accessible cavities in a rigid hb polymer that are formed due to the periphery of neighboring branches. These cavities may function as the pathways for the transport of gas molecules, resulting in high gas permeability [281–286].

3.2.1 Sulfonated hb Poly(aryl ether ketone) for PEMFC

Wang et al. [287] prepared sulfonated linear poly(aryl ether ketone) (S-LPAEK)/sulfonated hb poly(aryl ether ketone)s (S-hb-PAEKs) blend membranes and focused on the influence of the IEC and the content of S-hb-PAEKs on the properties of the blend membranes. The S-LPAEK membranes with varying content of sulfonated groups were synthesized and characterized. Three S-hb-PAEKs were synthesized based on varying degrees of sulfonation by post-functionalization of fluoro-terminated hb poly(aryl ether ketone) (F-hb-PAEK) with concentrated sulfuric acid at different temperatures and reaction times to afford S-hb-PAEKs with three different ion exchange capacities (IECs); these were named S-hb-PAEK-20, S-hb-PAEK-40, and S-hb-PAEK-60. The monomer combinations for the synthesis of S-LPAEK and S-hb-PAEK are shown in Scheme 32. The S-hb-PAEKs and S-LPAEKs were blended and cast into membranes. The blend membranes were prepared using DMAc as solvent and S-hb-PAEK content was 15, 20, 25, and 30 wt% in the S-hb-PAEK/S-LPAEK blends.

The T_g of F-hb-PAEK was 124°C, whereas no T_g was found up to 300°C for S-hb-PAEKs due to the ionic effects that produced increased intermolecular interaction, followed by the difficulty of the internal rotations. The thermal stability of F-hb-PAEK was better than that of the S-hb-PAEKs, and the thermal stability of S-hb-PAEKs gradually decreased with increasing IEC value because of the increase in sulfonated groups.

The T_g values of all S-hb-PAEK/S-LPAEK blend membranes were lower than 214°C (the T_g of the sulfonated linear analog, S-LPAEK was 214°C) and gradually decreased with the increase in S-hb-PAEK content. The T_g values of these membranes were 214, 199, 188, 184, and 180°C for blend composition S-hb-PAEK-XX/S-LPAEK with S-hb-PAEK-XX contents of 0, 15, 20, 25, and 30 wt%, respectively. Besides, S-hb-PAEK-40/S-LPAEK and S-hb-PAEK-60/S-LPAEK membranes



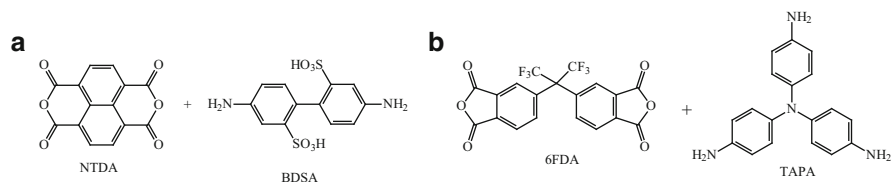
Scheme 32 (a) Monomer combinations for the synthesis of S-LPAEK. (b) Monomer combination for the synthesis of S-hb-PAEK [287]

showed similar a trend. The blend membranes exhibited second and third weight loss at $\sim 350^{\circ}\text{C}$ and 500°C , corresponding to the loss of sulfonated groups and the actual thermal decomposition of the polymer backbone, respectively, indicating high thermal stability. The water uptake and proton conductivity of all the blend membranes increased with the IEC and content of S-hb-PAEK in the blend membranes compared to neat S-LPAEK. This was a result of the formation of larger and more continuous ion networks as well as an increase in the free volume arising from the introduction of the branched structure. The membranes exhibited enhanced proton conductivity at lower temperatures (20 and 40°C).

3.2.2 Sulfonated Star Hyperbranched Polyimide for PEMFC

Suda et al. [288] reported the synthesis and characterization of a series of sulfonated star-hb polyimides (S-hb-PIs) without any crosslinking for use as proton exchange membranes. Sulfonated anhydride-terminated polyimides with different molecular weights ($M_w = 59,000$, $200,000$ and $300,000$ Da) based on monomer combination 1,4,5,8-naphthalene tetracarboxylic dianhydride/4,4'-diaminobiphenyl 2,2'-disulfonic acid (NTDA/BDSA) were synthesized using different molar ratios of BDSA:NTDA. The amine-terminated hb-PI based on monomer combination 4,4-(hexafluoroisopropylidene)diphthalic anhydride/tris(4-aminophenyl)amine (6FDA/TAPA) was also prepared. Scheme 33 shows the monomer combinations used for the preparation of (S-hb-PI).

The amine-terminated polyimide solution in *m*-cresol was added dropwise to sulfonated anhydride-terminated polyimide and stirred following a temperature profile of 70°C for 4 h and 180°C for 24 h to form S-hb-PI with a core-shell structure. The hb polymer based on 6FDA/TAPA formed the core and linear polymer based on NTDA/BDSA formed the shell. The S-hb-PI membranes was soluble in aprotic polar solvents such as in DMAc, DMSO, DMF, and NMP. The

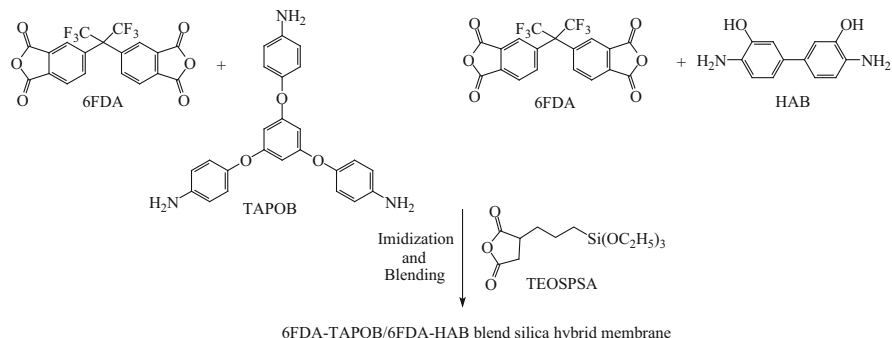


Scheme 33 (a) Monomer combination NTDA and BDSA used for the synthesis of sulfonated anhydride-terminated polyimide. (b) Monomer combination 6FDA and TAPA used for the synthesis of amine-terminated hb-PI [288]

S-hb-PI membranes showed film-forming ability because of the enhanced entanglement between linear polymers. The M_w values of the S-hb-PI membranes were 320,000, 500,000, and 830,000 Da, respectively, which corresponded to the increase in the molecular weights of sulfonated anhydride terminated polyimides. The onset temperature of decomposition T_d started above 280°C due to the desulfonation of the S-hb-PI membranes. The weight loss above 550°C was due to decomposition of the polymer backbone. The proton conductivities of the S-hb-PI membranes were in the range of 0.34–0.51 S/cm at 80°C and relative humidity ~98%, indicating higher values than that of Nafion (proton conductivity ~0.15 S/cm) under the same conditions. Suda and coworkers suggested that the S-hb-PI membrane containing sulfonic acid groups as a linear hydrophilic domain at the core surface might have formed ionic channels. However, the S-hb-PI membranes broke within 1 h in the presence of Fenton's reagent, indicating that oxidative stability should be improved from an application point of view.

3.2.3 Hyperbranched Polyimide–Silica as a Gas Separation Membrane

The gas transport properties of hb-PI blend membranes of 4,4'-(hexafluoroisopropylidene) diphthalic anhydride/1,3,5-tris(4-aminophenoxy)benzene (6FDA/TAPOB) and 4,4'-(hexafluoroisopropylidene) diphthalic anhydride/3,3'-dihydroxybenzidine (6FDA/HAB) blends and their silica hybrid membranes were investigated by Suzuki et al. [289]. The formation of a hb-PI blend silica hybrid membrane is presented in Scheme 34 in a simplified manner. Polymer blending is also a useful approach for combining the advantages of individual components. A number of polyimide-based polymer blends have been studied to develop high-performance gas separation membranes [43, 290–292]. DMAc solutions of the amine-terminated 6FDA/TAPOB-based hb-PAA and 6FDA/HAB hydroxyl-PAA were mixed at room temperature in ratios of 40:60 or 20:80 wt%. Then, 3-(triethoxysilyl)propylsuccinic anhydride (TEOSPSA) was added as a coupling agent and imidization carried out under nitrogen flow to obtain (6FDA/TAPOB)/(6FDA/HAB) blend silica hybrid membranes of 20–25 μm thickness. Neat blended membranes without silica were also prepared in a similar manner for comparison.



Scheme 34 Monomer combinations used for the formation of hb blend silica hybrid membranes in the presence of coupling agent [289]

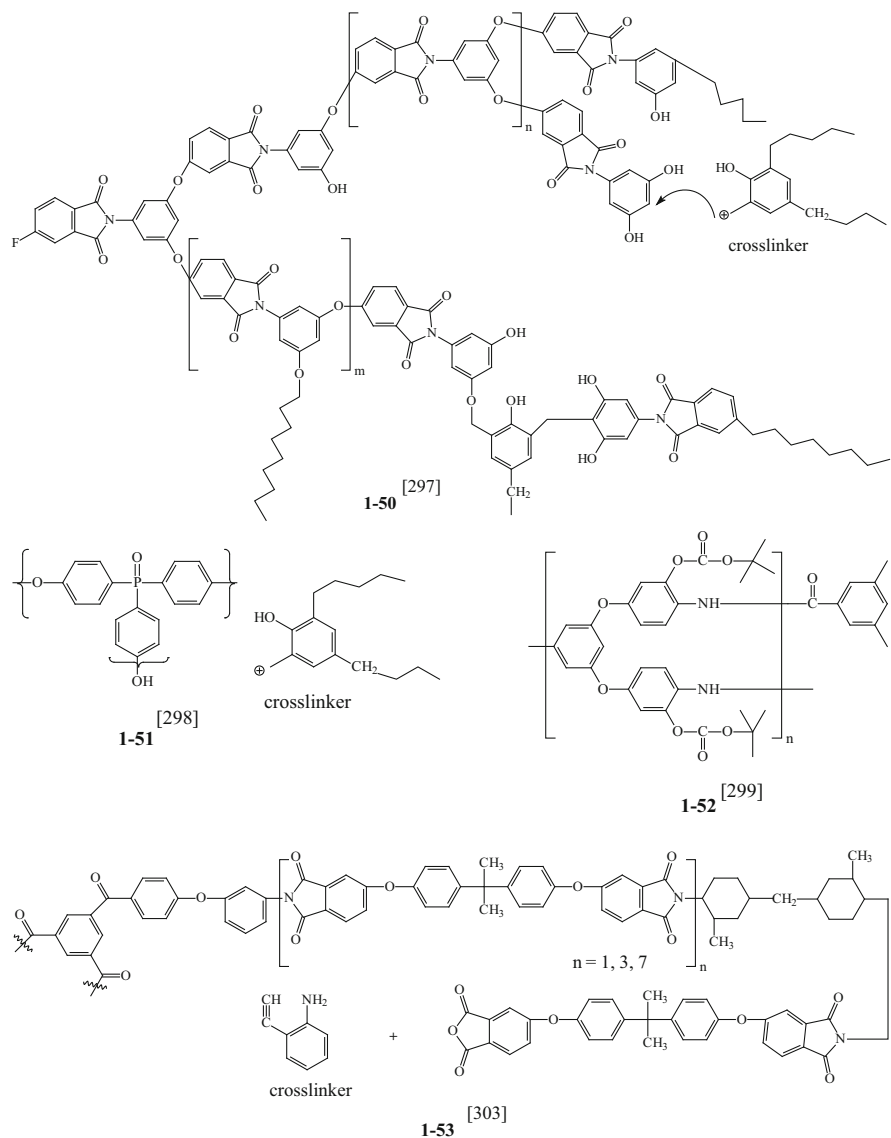
In general, gas permeability and selectivity of a binary polymer blend are described by the semilogarithmic additive rule [293]. Gas permeability coefficients of hb-PI (6FDA/TAPOB)/(6FDA/HAB) blend membranes showed positive deviation from the semilogarithmic additive rule. The enhanced gas permeabilities resulted from the increase in free volume elements caused by the intermolecular interaction, disrupting molecular chain packing between terminal amine groups of hb-PI (6FDA/TAPOB) and hydroxyl groups coming from the (6FDA/HAB) backbone. Ideal the CO_2/CH_4 selectivity of hb-PI(6FDA/TAPOB)/(6FDA/HAB) blend silica hybrid membranes showed a tendency to exceed the upper limit for CO_2/CH_4 separation with increasing silica content, that is CO_2 permeability, comparing the results reported by Robeson [294, 295]. The remarkable CO_2/CH_4 separation behavior was considered to be due to the characteristic distribution and interconnectivity of free volume elements created by the incorporation of silica. For the hb-PI (6FDA/TAPOB)/(6FDA/HAB) blend system, the polymer blending and hybridization with silica synergetically induced the enhancement of free volume elements, which provided the prominent CO_2/CH_4 separation ability. This finding was also supported by SEM images, where silica particles showed poor interfacial adhesion with sharp and clear boundaries, indicating the induction of free space around the polymer–silica interfacial area. The T_g values of the hybrid membranes could not be detected by DSC, whereas the blend membranes without silica showed T_g values in the range of 332–329°C. This behavior was attributed to a strong inhibition of segmental mobility of molecular chains by silica. On the other hand, the $T_{d,5\%}$ increased up to 486–496°C with increasing silica content in comparison to the blend membranes without silica that showed values of ~479°C, indicating higher thermal stability by the hybridization with silica. The gas permeability coefficients of the hybrid membranes also increased with increasing silica content.

3.3 Optoelectronic Materials

Photosensitive polymers are well-known polymer materials that play important roles in the field of semiconductor manufacturing as protection and insulation layers. The applications of hb polymers as photoresist materials seem to be very promising. Hyperbranched polymers with compact molecular chains and low chain entanglement offer some advantages over linear polymers for those attempting to pattern feature sizes that are on the order of the molecular dimensions. In addition, the peripheral location of the photosensitive groups at the polymer framework is expected to produce high sensitivity to exposure to light. Fréchet and coworkers [296] reported a hb polymer as the first example of a chemically amplified resist. Ueda and coworkers [297] reported a new negative working photoresist based on resorcinol-terminated hb poly(ether imide) with 4,4'-methylenebis[2,6-bis(hydroxymethyl)]phenol as a crosslinker (**1–50**, Scheme 35) and diphenyliodonium 9,10-dimethoxyanthracene-2-sulfonate as a photoacid generator; it exhibited a feature resolution of 4.5 μm when exposed to 365-nm UV light.

In et al. [298] reported a hydroxy-terminated hb poly(arylene ether phosphine oxide) (**1–51**, Scheme 35) containing 10 wt% diphenyliodonium-9,10-dimethoxyanthracene-2-sulfonate as a photoacid generator and 25 wt% 4,4'-methylenebis[2,6-bis(hydroxymethyl)phenyl]phenol as a crosslinker. It had a sensitivity of 9 mJ/cm^2 and a contrast of 1.6 under 365-nm UV light exposure. Kakimoto and coworkers [299] described a kind of chemically amplified photosensitive polybenzoxazole based on a *tert*-butoxycarbonyl-protected hb poly(*o*-hydroxyamide) (**1–52**, Scheme 35). The resist showed a sensitivity of 115 mJ/cm^2 and a contrast of 2.2 with 365-nm light exposure. A series of benzophenone-containing hb polyimides (hb-PIs) were prepared by Chen and Yin [300, 301] via the end-capping modification of the terminal anhydride groups by *ortho*-alkyl aniline. The hb-PIs were characterized as inherently photosensitive to UV exposure, giving a patterning resolution greater than 3 μm . Chen and Yin [302] also prepared hb-PIs with terminal phenol groups that were modified by acyl chloride compounds (acryloyl chloride, methylacryloyl chloride, and cinnamoyl chloride) to yield photosensitive hb-PIs. Photosensitive property studies revealed good photolithographic properties with a resolution greater than 3 μm and a sensitivity of 650–680 mJ/cm^2 . A series of autophotosensitive semi-aromatic hb-PIs end-capped with 3-aminophenylacetylene (**1–53**, Scheme 35) were prepared by Liu et al. [303]. Photosensitivities of hb-PIs were obtained in the range of 80–162 mJ/cm^2 , and they showed a clear negative image with a line width of 8 μm when exposed to UV light of 365 nm. Scheme 35 shows some structures of hb polymers used as photoresist materials.

Second-order nonlinear optical (NLO) organic polymer materials have also attracted much attention because of their potential applications in the fields of high-speed electro-optic (EO) modulators, optical data transmission, and optical information processing [304, 305]. Organic polymers have been conceived as a suitable material for these optical devices due to their large optical nonlinearity and



Scheme 35 Representative structures of hb photosensitive polymers

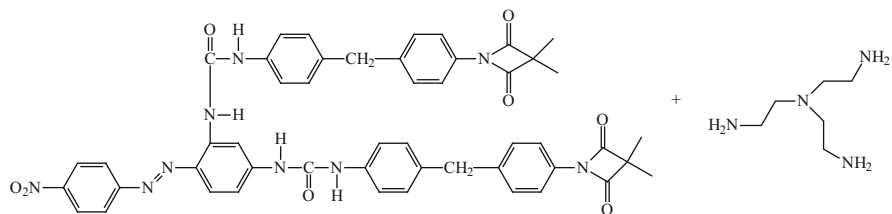
easy fabrication. NLO polymers have many advantages over conventional inorganic polymers, such as light weight, low cost, ultrafast response, wide response wave band, high optical damage threshold, and good processability to form optical devices. A potential NLO polymer must contain highly polarizable conjugated electronic systems and has to be mechanically very strong and thermally stable with a high T_g , together with stabilization of electrically induced dipole alignment.

To exhibit an EO effect, the active moieties (NLO chromophores) should be generally poled under an electric field to form a highly ordered noncentrosymmetric alignment. A proportional relationship between chromophore concentration and optical nonlinearity is observed at low chromophore content. Furthermore, intermolecular dipole interactions at high chromophore content cause obvious decrement in optical nonlinearity. The design of a chemical structure that suppresses the dipole interactions is a promising approach for improving the optical nonlinearity. Hence, there is a need to design and synthesize new chromophores to produce better optical nonlinearity. Hyperbranched polymers with 3D spatial separation of the chromophore moieties endows them with favorable site isolation effects, while their void-rich topological structure could minimize optical loss in the NLO process [306–309]. Therefore, macromolecules with branched architectures are alternative promising candidates for NLO materials with large bulk EO activities. Chang et al. [310] synthesized hb polymers via a ring-opening addition reaction between azetidine-2,4-dione and primary amine. All the obtained polymers showed EO coefficients in the range of 6–16 pm/V with temporal stability at 60°C. The monomer combination used for the synthesis of hb NLO polymer is shown in Scheme 36.

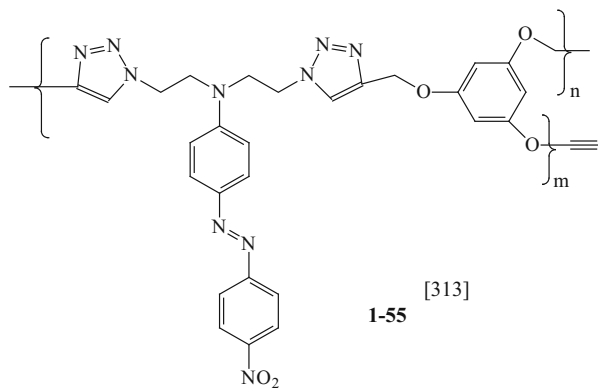
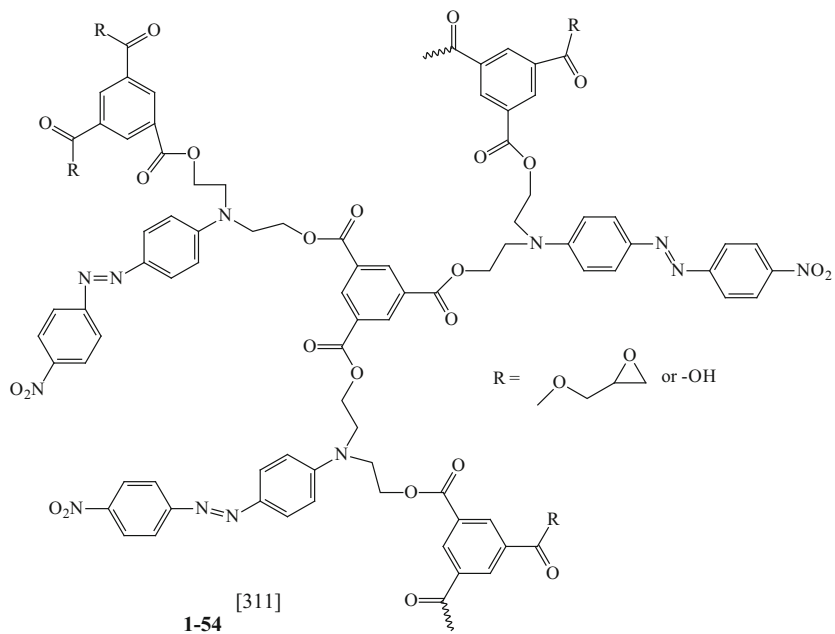
Hyperbranched polymers with methyl ester or epoxy as terminal groups containing pendant azobenzene chromophores (**1–54**, Scheme 37) were prepared by Xie et al. [311]. The poled films exhibited EO coefficients (>50 pm/V) due to the 3D spatial isolation effect resulting from their highly branched structures. Bai et al. [312] prepared thermally crosslinkable, hb oligomer containing NLO chromophores and blended it with linear host polymer. They obtained large and stable EO coefficients up to 65 pm/V, which are suitable for device development in terms of improved poling efficiency and temporal stability. Xie et al. [313] synthesized an hb polytriazole (**1–55**, Scheme 37) and compared the results with a linear analog. The poled film of hb polytriazole showed a much higher second-harmonic coefficient (96.8 pm/V) than the linear analog (23.5 pm/V). They proposed that the 3D spatial isolation effect resulting from the highly branched structure and crosslinking of the terminal acetylene groups at moderate temperature led to the enhancement of optical nonlinearity.

Jiang's group [68] prepared fluorinated hb-PAEK end-capped with nickel phthalocyanine and checked the material for NLO applications. The third-order nonlinear coefficient was found to have a value of 0.98×10^{-11} esu, which the authors attributed to the dual contributions of nonlinear absorption and refraction of the molecules. Moreover, the obtained value was found to be almost five times larger than that of other metallophthalocyanines [314] due to presence of the hyperbranched structure, the aromatic backbone, and the number of end-functionalities.

Hyperbranched polymers, are good candidates for both core and cladding materials in photonic device applications [315, 316] due to excellent processability and good optical properties in terms of low optical losses, low birefringence and high T_g . Gao et al. [317] developed ZnO/hb-PI nanohybrid films and investigated the optical properties and fluorescence mechanisms. An efficient energy transfer from



Scheme 36 Monomer combination used for the synthesis of hb NLO polymer [310]



Scheme 37 Representative hb architectures used as NLO polymers

the excited donor (ZnO) to the acceptor (hb-PI) occurred in this unique hybrid system and originated from the sufficiently short distance between the ZnO surface and hb-PI chains. All the films exhibited good optical transparency in the range of 450–800 nm, as detected by UV–vis absorption spectra. Chen et al. [137] synthesized both amine- and anhydride-terminated hb-PIs and investigated them using UV–vis spectra and fluorescence spectroscopy. The hb-PIs showed UV–vis absorptions in the region 200–400 nm and also displayed strong purple and blue fluorescence at 400 and 460 nm, respectively, indicating their possible utilization in organic photoluminescence and photoelectricity. Zhang and colleagues [142] prepared hb-PIs end-capped with metallophthalocyanines that showed different colors in chloroform (CHCl_3) solution depending on the type of metal present in the hb-PI, i.e., brown, dark green, and green colored for Cu, Zn, and Ni, respectively. The absorption maxima as detected by UV–vis spectra of the three polymers in chloroform solution were 672, 701, and 665 nm, respectively. A fluoro-terminated hb poly (aryl ether ketone) end-capped by azobenzene chromophores was prepared by Jiang et al. [318]. The UV-visible absorption spectrum of azo-hb-PAEK in DMF solution exhibited two absorption bands in the range of 300–650 nm, centered around 347 and 445 nm, which revealed the π - π^* and n - π^* electronic transitions of the azo-chromophoric moieties. The azo-hb-PAEK films were investigated for surface relief grating (SRG) and birefringence measurements. The SRGs of the azo-hb-PAEK film showed good thermal shape stability and could not be totally erased by even heating up to 300°C, which the authors attributed to the rigidity of the azo-hb-PAEK structure. The azo-hb-PAEK showed a large photoinduced birefringence intensity and good reversible optical storage upon irradiation with 532 nm light using a Nd:YAG laser. Such azo-hb-PAEKs show potential application in holographic memory, reversible high-density optical storage, optical switches, and other photodriven devices.

Multilevel interconnection technology is essential for realization of high density and ultralarge scale integrated circuits (ULSIs). The interlayer dielectric film technology is one of the most important keys for fabrication of multilevel interconnections [319]. Dielectric materials must meet stringent material property requirements for successful integration into the interconnect structures. The desired electrical properties are low dielectric constant, high T_g , high thermal and chemical stability, and good mechanical properties. The linear polyimide made from PMDA and ODA was the first to be commercialized by DuPont under the trade name Kapton[®], and it is still the most widely used dielectric material in microelectronics. It has a high T_g of 377–400°C and dielectric constant of 3.1–3.5. With microelectronic devices becoming smaller and lighter during the past decades, a low dielectric constant has become one of the most crucial factors for minimizing electrical power loss and delay in signal transmission in microelectronic applications and, hence, there is a great need for materials exhibiting low dielectric constants for application as insulating materials around the interconnecting wires in these devices [320].

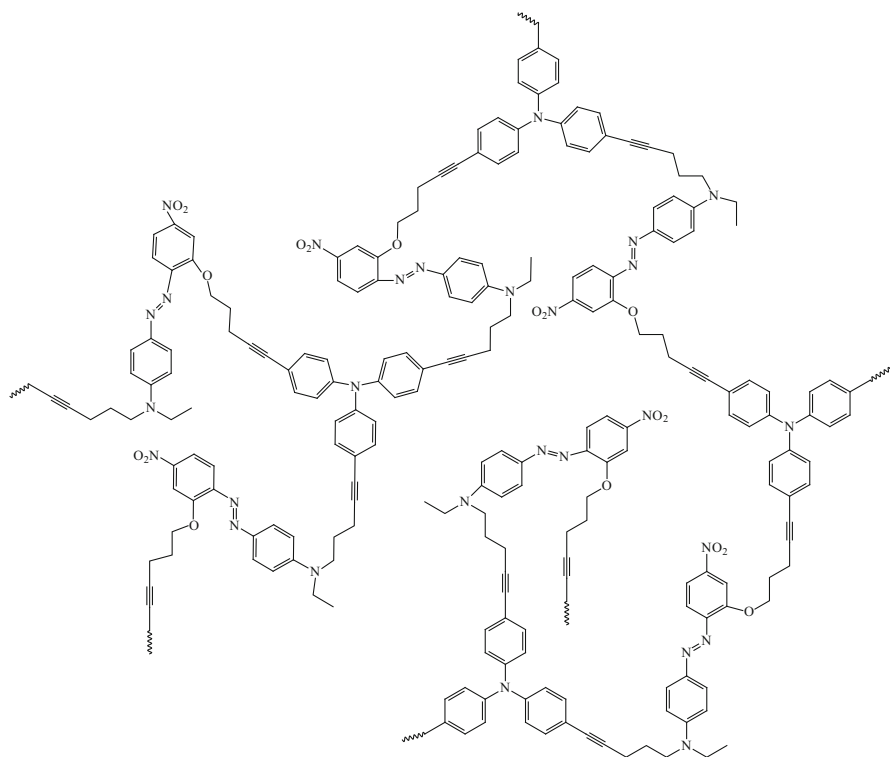
One of the developments in low-dielectric-constant polymers has been an increase in the free volume in the structure. Dubois et al. prepared hb carbosiloxane

thin films by sol-gel processing and reported dielectric constants ranging from 2.6 to 3.1. The Dow Chemical Company has already commercialized a polyphenylene-based product under the trade name SiLK™ with dielectric constant value of 2.65 [321]. Somboonsub et al. [322] prepared multilayer hb-PI/POSS nanocomposites synthesized by the incorporation of POSS into the side chains of polyimide. The lowest dielectric constant value attained was 2.54 in the hb-PI/POSS nanocomposite because of the large free volume and loose polyimide structures. The fluorinated hb poly(aryl ether)s (F-hb-PAEs) synthesized [143, 197] could be used for dielectric coatings, which require crosslinked films on a substrate after high-temperature baking. The dielectric constant values ($\epsilon = 2.67 \pm 0.2$) obtained for the analogous linear poly(aryl ether)s [323] support the fact that these F-hb-PAEs exhibit even lower dielectric constants due to the increased free volume arising from the highly branched architecture and to the $-\text{CF}_3$ pendant groups on these polymers. Hyperbranched polyphenylenes have been shown to exhibit low dielectric constants due to their branched and twisted nonpolar all-phenylene structure. Hyperbranched PPhs are promising candidates for application as insulating materials in microelectronics, where features like high thermal stability, good processability and solubility, and low moisture absorption are required. The dielectric constant value of the synthesized hb-PPh from an AB_2 monomer prepared by Voit and colleagues showed a value of 2.1 [232] at low frequencies, indicating that hb-PPh is a very promising insulating material, even without the presence of pores. The introduction of nanopores into a low dielectric matrix [324, 325] can offer further advantages because a very low dielectric value might be obtained in combination with greater mechanical strength and greater thermal conductivity.

3.3.1 Hyperbranched Poly(arylene ethynylene) as NLO Material

A hb poly(arylene ethynylene) was prepared by Li et al. [326] via a Sonogashira coupling reaction, in which the chromophore moieties were in the main chain rather than in the side chains. The structure of the hb poly(arylene ethynylene) is shown in Scheme 38. A linear analog was also prepared for comparison.

Both hb and linear poly(aryleneethynylene) exhibited good film-forming ability, and their poled thin films showed second harmonic generation coefficient values (d_{33}) of 144 and 53 pm/V, respectively, at the 1,064 nm fundamental wavelength. The values indicated that the incorporation of hb polymer together with the chromophoric groups in the main chain showed very high d_{33} , implying large optical nonlinearity and high stabilization of dipole moments compared to the linear analog. The onset temperature for decay of hb polymer was found to be 153°C, which was higher than for the linear polymer (onset temperature for decay was 119°C), indicating long term temporal stability of the hb polymer as shown in Fig. 6. These results clearly revealed that the hb polymer had greatly enhanced poling efficiency, making it a promising candidate for optoelectronic applications.



Scheme 38 Structure of hb poly(arylene ethynylene) used as NLO material [326]

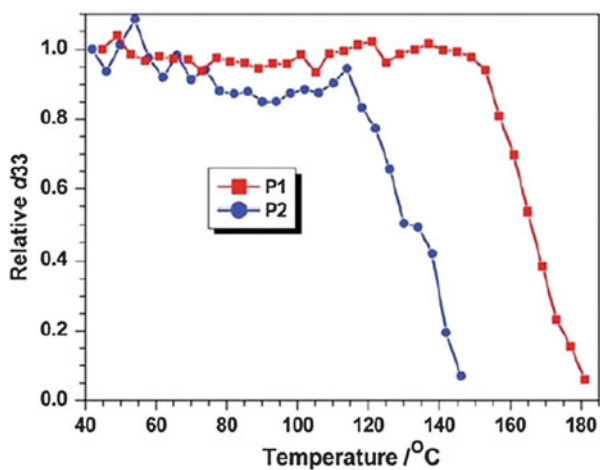
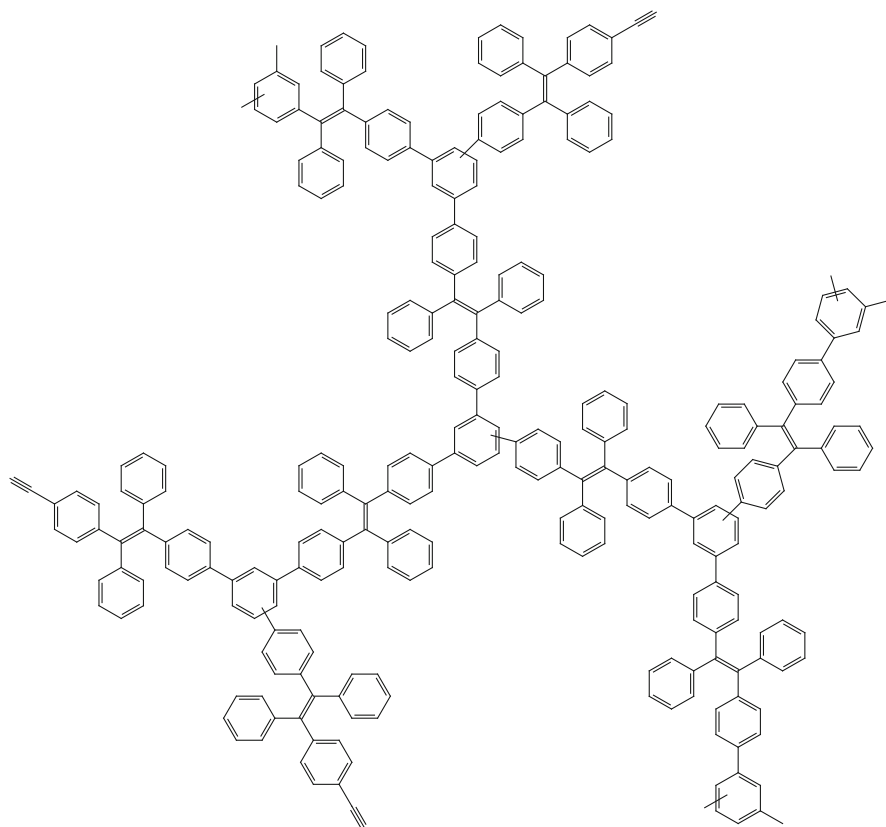


Fig. 6 Decay curves of second harmonic generation (SHG) coefficient of hb poly(arylene ethynylene) (*P1*) and linear poly(arylene ethynylene) (*P2*) as a function of temperature. Reproduced with permission from [326]



Scheme 39 Structure of hb poly(tetraphenylethene) used as fluorescent chemosensor [327]

3.3.2 Hyperbranched Poly(tetraphenylethene) as Fluorescent Chemosensor for Detection of Explosives

Hu et al. [327] synthesized hb conjugated polymers containing tetraphenylethene units. Tetraphenylethene (TPE) is a well-known building block for aggregation-induced emission (AIE) luminogens. By cyclotrimerization of a TPE-functionalized diyne in the presence of TaBr₅ as catalyst, using toluene as solvent at room temperature, hb poly(tetraphenylethene) (hb-TPE) was afforded. The structure of hb-TPE is shown in Scheme 39. The hb-TPE showed good solubility in common organic solvents such as toluene, CH₂Cl₂, CHCl₃, and THF, which the authors attributed to the twisted conformation of the TPE unit, which in turn led to large intermolecular distances and free volume for interaction with the solvent molecules. The hb-TPE also showed good film-forming ability by spin-coating or solution-casting techniques.

The onset decomposition temperatures (T_d) of hb-TPE were 462°C in nitrogen atmosphere and 417°C in air, indicating high thermal stability. The remaining triple

bonds on the periphery of hb-TPE provided suitable sites for photocrosslinking, generating photopatterns. The polymer showed a superamplification effect in the emission quenching of the polymer nanoaggregates by picric acid (used as a model explosive in their work), indicating hb-TPE as a promising fluorescent chemosensor for detection of explosives.

Concluding Remarks

Hyperbranched polymers are characterized by high solubility, low melt and solution viscosity, and excellent thermal properties. They can be tailored for various end-use applications and many of these branched architectures have already been commercialized by the chemical industry. New monomers and hb polymers have been more recently developed to result in materials for various applications, where the branching allows more easy processability and better solubility than the corresponding linear polymers. Typical approaches developed for linear polymers can be used to prepare hb polymers [e.g., poly(aryl ester)s, poly(aryl amide)s, poly(aryl ether)s, poly(imide)s, and poly(arylene)s] by employing monomers such as AB_2 and A_2B that allow branching and also by copolymerization with AB monomers to adjust the degree of branching. In particular, $A_2 + B_3$ -type polymerizations are widely used because of the more easily accessible monomers and the ability to prevent gelation by controlling factors such as the rate of monomer addition, monomer molar ratio, and percentage solid content. New synthetic strategies such as the $AB_2 + A_2$ approach for synthesis of high molecular weight hb poly(aryl ether)s have been identified. The high molecular weight products show significant advantages with regard to thermal stability and film-forming ability. Small amounts of hb polymer can be incorporated into linear polymers to improve the processability, melt flow properties, and various other material properties. For example, hb poly(phenylene sulfide)s exhibits dyeability in commercially available polypropylene, hence opening a new gateway towards the application of polypropylene as a textile fiber. Triazine-based hb polyamines show good flame retardancy in which the limiting oxygen index value can be tailored by incorporating different moieties in the structure. Sulfonated hb poly(aryl ether ketone)s have been incorporated into linear analogs to prepare blend membranes that could be used as proton exchange membranes. Fluorinated hb poly(arylene ether)s may find application as dielectric materials and as optical waveguide materials. Nanohybrid materials based on hb polyimides have also been explored recently for fluorescence applications. Thus, the future of hb polymers seems to be very promising for a wide range of applications.

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Changing Polymer Solvation by Electrochemical Means: Basics and Applications

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Abstract Developments in the area of electrochemical manipulation of polymers are reviewed, with an emphasis on soft condensed matter (with some weight on aqueous systems). Electrochemical control of polymer solubility is a current issue, with applications in smart colloids, gels, and self-assembled systems. Electrode modification is addressed briefly. Different stimuli-responsive systems are categorized by highlighting the peculiarity of electrochemical switching. The review then categorizes different basic mechanisms for electrochemical switching of polymers: electrochemically induced solvation (switching of redox-active sites, which are strongly bound to the polymer), electrochemically induced complexation (free redox-active entities interact with complexation sites along the polymer), and mixed concepts. Further, features of different metallocene-based polymers are compared and ion-specific effects for the interaction of metallates with polyelectrolytes are addressed.

Keywords Electrochemistry · Polymer · Solution properties · Stimuli responsiveness

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1 Introduction

Stimuli-responsive materials have attracted enormous interest in the fields of polymer, supramolecular, and colloidal science over the last few decades [1–5]. It can be anticipated that stimuli-sensitive systems in general and switchable polymers in particular will continue to be a thriving branch of chemistry. New applications can be envisioned, for instance, for controlled uptake and release, fine-tuning of mechanical properties, or actuating purposes.

1.1 *Classification of Stimuli-Responsive Systems*

Systems sensitive to different stimuli such as pH, salinity, solvent composition, and other chemical reactants have already been addressed. In these cases, the system is changed by adding chemicals (this behavior is termed a type I stimuli-responsivity). In contrast, external switching is possible by using magnetic/electric fields (including light) [6, 7] or temperature (type II). The latter is probably the most prominent stimulus in polymer science [8]. Significantly under-represented, electrochemical means for switching polymer properties is still a relatively undiscovered field, although redox-active polymers have been known for decades (Fig. 1). Recently, their study has gained new impetus, as highlighted by this review and other recent reviews with slightly different emphases [9–11]. The full possibilities of redox-active polymers can only vaguely be anticipated. Many unrevealed properties are still to be discovered, especially when considering the impact of rather simple-looking polymer complexes with fullerene, which gives a peculiar switching behavior [12]. Another possibility is that the marriage of artificial molecular machines [13, 14] with polymers might give unprecedented properties (Fig. 2). Still, the question remains: Why has electrochemical manipulation only recently found increased interest among the polymer community? As a possible answer, the last-century climax of redox-sensitive polymers (viologens, tetrathiafulvalenes, etc.) might already have been reached when controlled radical polymerization had its huge impact on polymer science at the end of the 1990s. Probably, controlled radical polymerization [15–17] led to a shift in research, with the focus moving more towards the generation of advanced macromolecular structures utilizing monomers that previously could not be polymerized by living techniques. Interestingly, controlled polymerization is indeed on its way to being re-united with electrochemistry, as highlighted in a related review [18]. Still, advanced polymeric

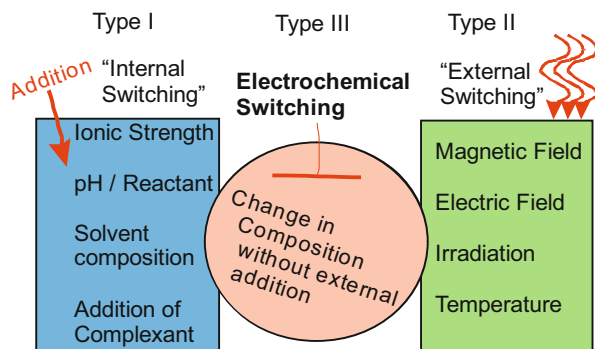


Fig. 1 Categories of stimuli-responsive systems

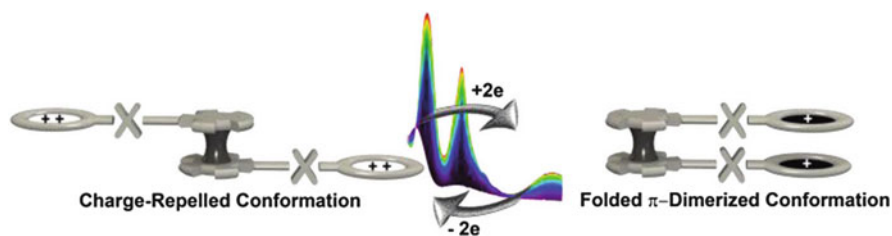


Fig. 2 Example of an electrochemically triggered conformation change of viologen-modified ferrocenes (acting as a ball bearing for the viologenic rotors) (reprinted with permission from [14]. Copyright 2012 American Chemical Society)

architectures (e.g., block copolymers, branched macromolecules, and microgels) based on electrochemically active monomers are rare.

Electrochemical switching is peculiar because it has an intermediate position between the internal and external switching described above (see Fig. 1, type III). In principle, no chemicals are added to the system, but chemical reactions are triggered at the electrodes. Types I and II do not necessarily require “real” chemical reactions, although chemical reactions can be employed (e.g., for azobenzene-containing polymers or light-sensitive counterions) [4, 19–21]. In contrast, chemical conversion is always required for an electrochemical stimulus (type III reaction). Thus, by using a simple electrical switch, one can remotely and easily manipulate the chemical composition and, thereby, the properties of a system. Hence, there is no need to open the system to change its composition. In addition, electrochemical switching is rather independent of extrinsic variables such as temperature or light. Thus, it can be used preferentially on occasions where changes in temperature or illumination are detrimental. As a possible disadvantage, the electrochemical switching of bulk samples, as is the case for most of the other principles, is rarely instantaneous (typically in the time scale of minutes). However, by proper engineering of the electrochemical cell, shorter switching times are

possible. This is also illustrated by the short reaction times used, e.g., in cyclic voltammetry (reactions take place partly on the sub-second time scale). Here, the sample is converted only locally in the vicinity of the electrode. In consequence, electrode film switchability by electrochemical means can be instantaneous, although bulk electrolysis takes longer, even under stirring. If rapid bulk conversion is required, chemical redox (type I) might again become the switching principle of choice. Hence in some cases, there is a good argument for the use of conventional chemical redox reactions. Still, the potential of electrochemically responsive polymers is far from being exhausted, even though redox-sensitive polymers have been known for decades. This review will bridge the past to the future, while the main emphasis is on recent developments in this field.

1.2 Classification of Redox-Sensitive Systems in Terms of Application

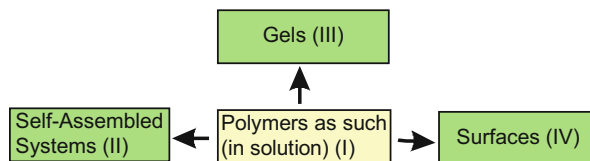
The topics are classified phenomenologically (Fig. 3): (I) electrochemically addressable polymers in solution, (II) electrochemically induced micellization and demicellization (including vesicles and capsules with switchable porosity), (III) electrochemically addressable hydrogels and microgels, and (IV) thin films for electrode modification (without emphasizing classical electrochemical polymerization). An overview of polymerizations under electrochemical control is given elsewhere [18].

The scope of some publications touches several classes and therefore the publications cannot be sorted unambiguously. Topic IV is an especially vivid branch of research and only a short overview can be given (see Sect. 2.4). Further information can be found in the literature [22–25]. This review does not intend to give an exhaustive list of all research in this field. This is especially true for electronic devices that are based on polymers, i.e., organic (light-emitting) diodes, organic (field effect) transistors, organic photovoltaic cells, storage devices, organic batteries, etc. Reviews and articles on these devices can be found in literature [26–31]. The scope of this manuscript is primarily focused on the electrochemical manipulation of solution properties by electrochemical means (with some emphasis on aqueous media).

1.3 Classification of Redox-Sensitive Systems in Terms of Chemistry

For each of the areas of interest (topics I–IV; see Sects 2.1, 2.2, 2.3, and 2.4), it is reasonable to distinguish between at least two basic principles of electrochemical switching of polymers: electrochemically induced solvation and electrochemically

Fig. 3 Areas of interest covered by this review



induced complexation. The systems are categorized in Sect. 2.1 according to this scheme. The first switching principle relies on the direct electrochemical switching of redox-sensitive units, which are bound to the polymeric backbone. Often, these redox reactions are coupled with generation or depletion of charges on the polymer. Then, the induced polyelectrolyte behavior enhances water compatibility and aggravates solubility in organic media. The second principle is realized by redox-sensitive units of low molecular weight, which can interact with the polymer depending on their respective oxidation state (co-assembly). In some rare cases, the interaction between polymer and electroactive species is not so important because the polymer can take the role of a viscosity modulator for a solution of redox-active species [32]. More relevant in our context are cases in which small species act as the redox-responsive entities, which interact with the polymer, e.g., electrostatically. In some cases, electrochemically induced solvation and complexation compete with each other (Fig. 4). Then, strict categorization is not possible and a mixed concept is introduced (e.g., when complexants are present during an electrochemically induced solvation, both the solubility of the polymer per se and the complexation constant change).

1.4 General Remarks

Where applicable, chemical redox reactions (categorized as type I stimuli-responsive systems) are briefly addressed [33, 34]. Literature reports show a number of systems utilizing thiol/disulfide coupling chemistry [35, 36], which is especially appealing for biomedical applications [37]. Electrochemical control of this reaction is barely feasible, which is evidenced by a scarcity of reports on the subject. Reasons might include undesired electrode–thiol interactions and the coupled full oxidation of sulfur (for electrochemical detection special measures are often required [38, 39]). Still, some equilibrium potentials of thiol/disulfide couples have been reported [40]. In other cases, the literature is sometimes rather vague regarding the applicability of electrochemical means for changing polymer properties. Sometimes, chemical redox reactions are used and further electrochemical characterization is limited to methods such as cyclic voltammetry (CV). Still, if electrochemical analytics were used in a publication, the investigated system is regarded as electrochemically addressable and is emphasized in this review. If no electrochemical characterization is mentioned, the topic of the publication is mentioned if the case is of considerable interest. This review is not meant to be

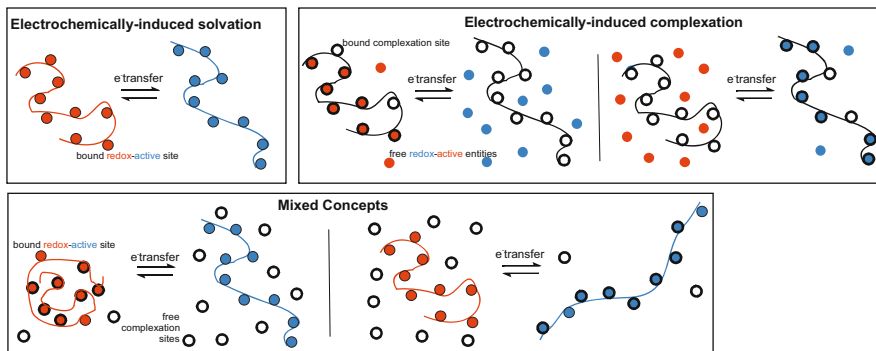


Fig. 4 General switching principles of redox-active polymers in solution, showing an increase in solvent contact and polymer expansion upon electrochemical stimulus (the *colored* polymer backbones indicate strongly bound redox-active sites and the *colored dots* indicate the redox-active entities in general; *blue* was arbitrarily chosen for the more soluble form; *black rings with thick wall* indicate the host entities for complexation: uncomplexed with *white interior*, complexed with *colored interior*)

exhaustive, especially as parts of the addressed topics are “busy” subjects in electrochemistry (e.g., electrode modification). Also, it is not easy to give a common picture: electrochemical processes are very dependent on the conditions, and changes in electrode material can alter electron transfer significantly. In several cases, the electrode material was not specified although CV had been conducted. Despite these complications, a proper overview of research on electrochemical manipulation of polymers has been attempted.

2 Main Part

2.1 Addressable Polymers in Solution

Electrochemical manipulation of polymers in solution can lead to conformational changes and finally to changes in their solubility. Naturally, this was found for electrochemically induced solvation, but also for electrochemically induced complexation. Changes in solubility can even lead to gelation, which will be covered further in Sect. 2.3. In any case, addressable polymers in solution are good examples for explaining the basic concepts behind the switching procedures.

2.1.1 Electrochemically Induced Solvation

This section deals with the changes in solvation of redox-active, polymer-bound units. It is worthwhile distinguishing between covalently bound moieties, which are

most often organic entities. But, “non-classical” coordination bonds can also provide stable connection of electroactive metal centers to the polymer backbone (almost irrespective of their oxidation state). Hence, this case is categorized as electrochemically induced solvation because the redox active groups are typically strongly bound, without much interference by decomplexation. For weaker complexation of metal centers to polymeric ligands, the switching principle can be regarded as a mixture of both extremes (electrochemically induced solvation and complexation).

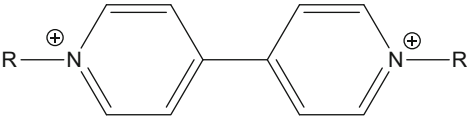
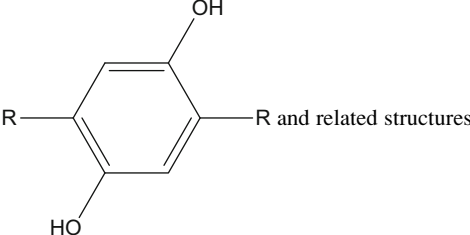
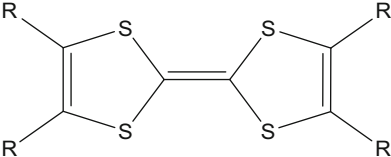
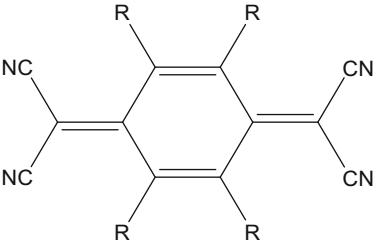
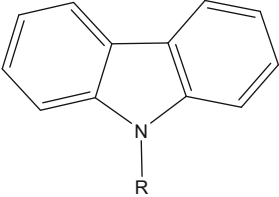
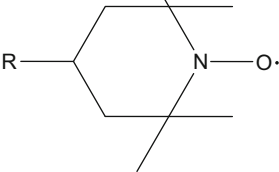
Covalently Bound Redox Centers

Different structural motifs are addressed in this section. Without being exhaustive, an overview is given in Table 1. Starting with viologens (fully quaternized 4,4'-bipyridines), they can be typically reduced from a dicationic species via a radical cation to a non-charged quinoidic moiety. They can then be arranged within the main chain [41–43], but side-chain viologens [44–46] or star-like viologens [47, 48] are also known. Viologen is poorly conducting, but by stopping the reduction at an intermediate state, mixed-valence assemblies (partially oxidized) can also conduct electrons in the dry state [49, 50]. Diffusion controlled, electrochemically reversible electron transfer kinetics have been found for viologen-modified poly(vinylphosphonic acid) [51]. The side-chain approach was also taken up for polysoaps [52]. For example, viologen derivatives can be attached via longer alkyl chains onto the backbone [53]. In contrast to the monomeric amphiphiles, the polymeric surfactants can cause a change in equilibrium potential, facilitating the initial reduction of the dicationic viologen species in response to relief of the high charge density.

It is reported that the redox reaction of the viologen unit is reversible (in a chemical sense) [53, 54], which might not be the case for side-chain viologens attached to a conjugated backbone [55, 56]. In the case of the viologenic polysoaps, adsorption processes were observed especially for the reduced species, indicating a solubility change upon reduction [54]. This can be utilized for redox-sensitive solubilization and release of hydrophobic substances [54]. Low molecular weight viologens allowed a reversible supramolecular polymerization into redox-active suprapolymers, which – upon stimulus – decomposed again into their monomers [57, 58]. Hence, this is referred to as the principle of inclusion complexation (see section “Inclusion Complexation”), which adds another level of manipulation of polymer interactions on top of the mere solubility changes. Hence, the radical state of a viologen could be utilized for the reversible dimerization of, e.g., dendrimers, facilitated by the presence of cucurbit[8]uril (Fig. 5) [59].

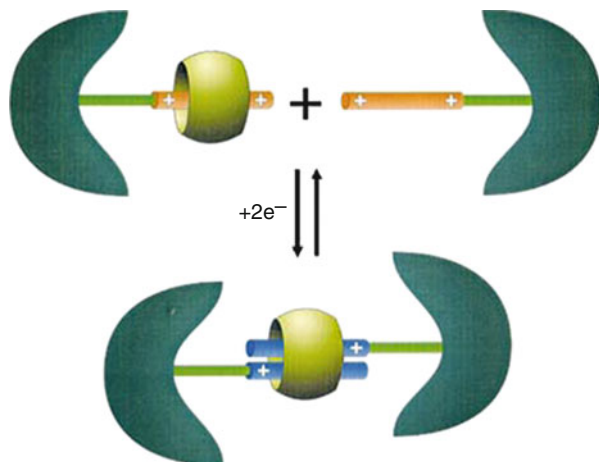
Polyhydroquinone [60], which is soluble in organic media [61], was prepared with a defined architecture [62]. In this case, hydroquinone is part of the main chain [63] although side-chain polyhydroquinones also exist [64, 65]. Anthraquinone-based polymers, which can be connected in various ways, are known [66–71]. These are rather peculiar examples of redox-sensitive polymers because

Table 1 Typical organic, redox-active units used for electroactive polymers

Name	Structure
Viologens	
Hydroquinones	
Tetrathiafulvalenes	
Tetracyano-quinodimethanes	
Carbazoles, triarylamines, etc.	
Amine oxides	
Polyaniline, polypyrrol, polythiophenes	Conjugated polymers

R indicates a proper location for binding to a polymer

Fig. 5 Dimerization of dendrimers triggered by reduction of viologen units (reprinted with permission from [59]. Copyright 2004 Wiley)



the electrochemical reaction does not necessarily change the polymer's net charge upon full oxidation/reduction (because the oxidized units release protons). However, the thin-film analogues of some polymers showed doping effects upon re-reduction and the necessary proton capture was assumed to be slower than salt insertion from the electrolyte [62]. Depending on the pH, this example is instructive in demonstrating that not necessarily every electrochemical reaction of a polymer needs to change the net charge of the macromolecule. At the same time, solubility changes are expected to be less affected by the change in the oxidation state, unless other effects play a role (e.g., hydrogen bonding). These quinone-related polymers have been described as possible energy storage materials [10, 71–75].

Rather exotically, nicotinamide has been introduced into polymers, but the solubility in aqueous solution was reduced considerably. Therefore, only the monomers were tested electrochemically, indicating the absence of chemical reversibility upon electrochemical switching [53, 76].

Tetrathiafulvalene (TTF) is known in supramolecular chemistry for its many charge-transfer complexes [77, 78]. TTF-containing polymers [79] or supramolecules have high impact as redox-sensitive molecules [80, 81] and their research has been a hot topic since the last century [82–89]. TTF can be attached to polymers via main-chain or side-chain connectivity [90–94]. A number of examples of the latter are known: TTF bound to fluorene polymer [95], polycarbazole [96], or even to polypyrrole/polythiophene derivatives [97–100]. In these examples, the interplay between the redox-active TTF group and the conjugated backbone is an issue [101, 102]. Main chain analogues have been studied [103] as well as TTF attached as an end group [104, 105]. Furthermore, polymeric derivatives of TTF such as dithiafulvenes are known [106–108].

Tetracyanoquinodimethane (TCNQ), which is famous for its supramolecular charge-transfer complexation, entered polymer science some time ago [109]. Here, main-chain polymers were introduced [110, 111], including TCNQ polymers decorated with dendrons [112].

Polymers with porphyrin units in the main chain and fullerene side groups are described as electroactive [113], as well as polymers with azo-groups in the main chain [114] and triarylaminines in the side chain [115–118]. By proper functionalization and architecture, even poly(thiophene) [119] and oligoaniline [120–122] and derivatives [123] become soluble in different solvents. Also, electroactive polymers derived from 2,2,6,6-tetramethyl piperidinyloxy (TEMPO) have drawn attention, especially with respect to energy storage applications [124–127].

Strongly Coordinating Metal Centers

As already implied by the last examples, redox-active groups were attached covalently to polymers, proteins and nanoparticles [128–131]. However, classical covalent linkages are not necessarily required in order to impart redox sensitivity to polymers [132]. Polymers with suitable ligands can bind redox-active metals by “inorganic” complexation [133]. This coordination concept is even used in a supramolecular sense [134, 135]. If this complexation is strong enough, then a real, classical covalent bond is mimicked by the coordination forces. Metallocene-based polymers comprise just one example in addition to ruthenium complexes, porphyrin-ligands and so on. This is in line with the fact that the equilibrium constant is far on the side of the complex, leaving the chemical structure almost unaffected by oxidation or reduction.

Metallocenes

Metallocene-containing polymers are often related to prominent ferrocene and cobaltocene [136–139]. Work in this area has been reviewed recently [140–142]. Therefore, the following serves as an overview.

The ferrocene moiety can be electrochemically oxidized and reduced reversibly, unless special polymers are considered that show an irreversible oxidation [143]. Hence, polyferrocenylsilane and its derivatives have attracted considerable interest [144]. Here, the ferrocene units are assembled along the main chain and are in close proximity, often leading to two reversible redox peaks because of the charge–charge repulsion along the backbone [145, 146]. This coupling decreases with an increasing number of spacer units between the ferrocenes [147]. The conformational changes upon oxidation have been used for studies on a redox-driven macromolecular motor [148, 149]. Not only are the electrochemical properties appealing, but also the controlled formation of micelles is a flourishing topic of research (crystallization-driven “living micellization”) [150–152]. Other ferrocene-containing polymers are available, such as poly(vinylferrocene). Here, the electroactive groups are pendant along the backbone (as side chains). Prominently [153, 154], the theory of noninteracting redox centers was developed with the help of studies on poly(vinylferrocene) in organic media (in contrast to the interacting electron transfers for some polyferrocenylsilanes) [155]. Accordingly, other polymers did not show any interaction between the redox sites, such that the

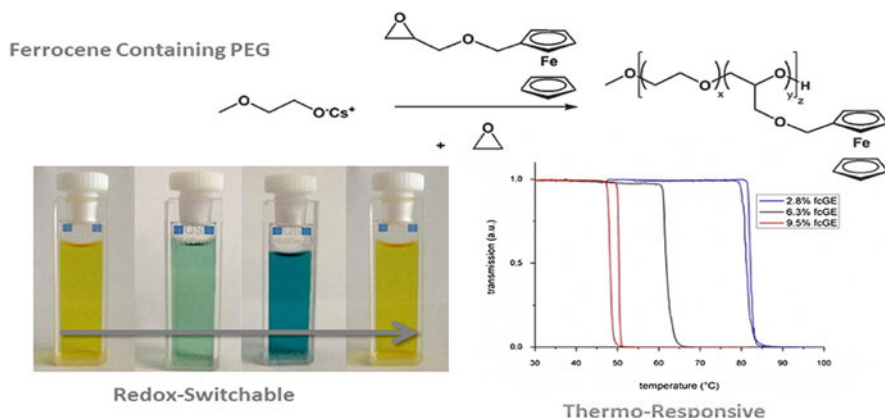


Fig. 6 Thermosensitive polymers with redox-switchable LCST properties; *fcGE* ferrocenyl glycidyl ether (reprinted with permission from [159]. Copyright 2013 American Chemical Society)

electrochemical behavior deviated from a one electron transfer reaction [156]. Under certain circumstances, the molecular weight of these polymers can be estimated electrochemically [154].

Regarding electroswitching, the most research on ferrocene-containing polymers was conducted on nonaqueous systems. The limited stability of the oxidized polymeric ferrocene units in aqueous surroundings, especially in the presence of oxygen, might play a role here [157, 158]. Thus, main chain ferrocene units (as for polyferrocenylsilanes) are somewhat disadvantageous because polymer degradation and chain scission might occur in its oxidized state in the presence of water. In contrast, side-chain ferrocene-containing polymers such as poly(vinylferrocene) are less affected because the polymer backbone stays intact even in the presence of some (minor amounts of) decomposed ferrocenium side groups [142]. Hence, there are a number of examples that suggest that ferrocenium is sufficiently stable in protic solvents (see below); e.g., polyethers with pendent ferrocene groups show an oxidation-dependent lower critical solution temperature (LCST) behavior in water (Fig. 6) [159].

For longer polymers, adsorption and film formation can take place in organic solvents, which are good solvents for the neutral ferrocene-based species but bad solvents for the oxidized species [153, 154, 160–165]. In this case, even diblock copolymers precipitate on the electrode, without indication of the formation of micellar structures upon electrochemical switching [166–168]. Co-precipitation with carbon nanotubes onto electrodes has been achieved [169]. As a matter of fact, common solvents for both redox states are seldom available, unless the hydrophobic ferrocene units are complexed by cyclodextrins (in this case, water is a good solvent) [170, 171]. As another example, dendritic ferrocene-based polymers are sufficiently solubilized, even after oxidation in organic media (probably, the insoluble units are shielded in the interior of the dendrimers) [172]. Star-like

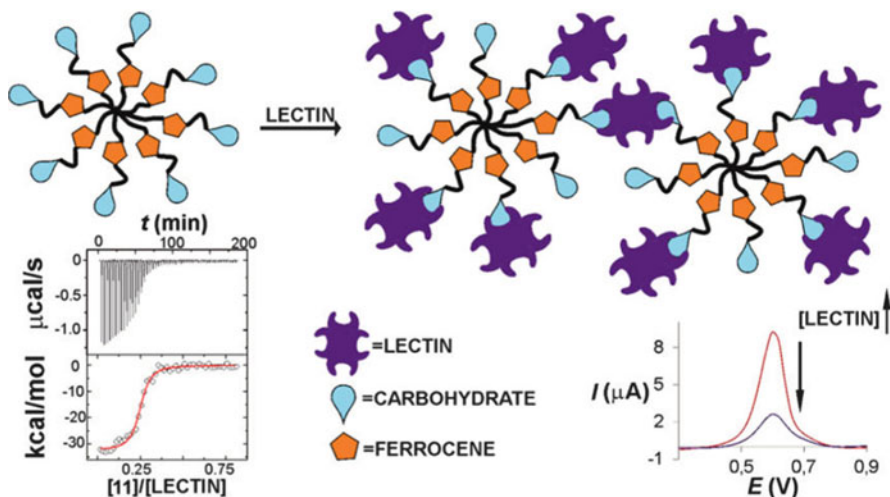


Fig. 7 Electrochemical switching of ferrocene units within a dendrimeric, sugar-based receptor molecule is impeded by conjugation with lectins (reprinted with permission from [174]. Copyright 2013 American Chemical Society)

polymers with block arms act similarly [173]. Apparently, the organic spacers assure solubility in organic media in both oxidation states, which allows the determination of the hydrodynamic radii by electrochemical means. Similarly, sugar-decoration provides solubility in aqueous media. These ferrocene-based dendrimers were used for detection of lectin binding (see Fig. 7) [174].

To mention a last example showing a common solvent, spherical brushes consisting of side-chain ferrocene-containing grafts [e.g., poly(ferrocenyl methacrylate) or poly(vinylferrocene)] displayed a pronounced increase in hydrodynamic radius upon chemical oxidation in nonpolar solvents, which was attributed to the electrostatic repulsion between the ferrocenium units (Fig. 8) [161, 175]. In addition, parts of the increase in hydrodynamic radius might be caused by the uptake of the bulky hexachloroantimonate counterions.

In certain cases, ferrocene-labeled polyelectrolytes show chemical irreversibility during electrochemical switching, unless redox mediators allow the efficient reduction of the hidden redox active site [176, 177]. This can be attributed to the strong interaction of the oxidized ferrocenium site with the anionic polyelectrolyte. At the same time, this polyelectrolyte shielding prevents ferrocenium reduction at the electrode interface. Consequently, this effect is not expected for neutral backbones such as polystyrene. Hence, chemical reversibility was detected for singly ferrocene-labeled polystyrene prepared by reversible addition fragmentation chain transfer (RAFT) polymerization [178]. Interestingly, a single cobaltocene label entails chemical irreversibility of the electrode reaction in DMF, when being attached to poly(styrene) or poly(methacrylates) prepared by atom transfer radical polymerization (ATRP; see Fig. 9) [179]. It could be reasoned that copper traces left after ATRP might cause such a behavior because there is indication of chemical reversibility for fully functionalized side-chain cobaltocene-containing polymers

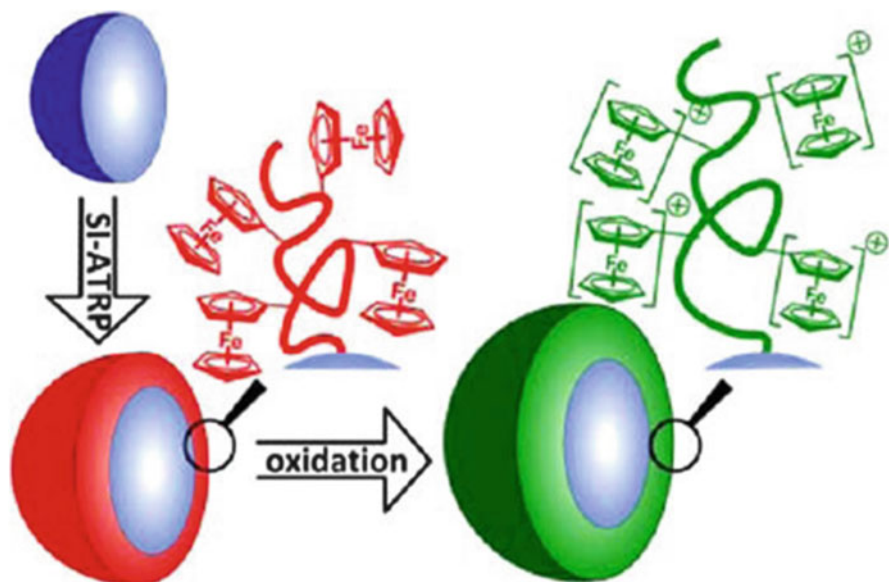


Fig. 8 Poly(vinylferrocene) brushes extend upon oxidation in hexachloroantimonate solutions of methylene chloride or tetrahydrofuran; *SI-ATRP* surface initiated atom transfer radical polymerization (reprinted with permission from [161]. Copyright 2012 American Chemical Society)

prepared by free radical polymerization, e.g., poly(acryloyloxyethyl cobaltoceniumcarboxylate hexafluorophosphate). These appear soluble in DMF both in the oxidized and reduced state [180]. Also, the oxidized form is water soluble, whereas the reduced form precipitates at the electrode. Even heterobimetallic block-like copolymers of ferrocene and cobaltocene have been prepared (Fig. 10) [181, 182], some of them exhibiting sensitivity towards decomposition of the ferrocene-containing block upon performing cyclic voltammetry in DMF [182]. The CV data on main-chain cobaltocene-based polymers, e.g., poly(cobaltocenophanes), again suggest solubility in water in one of the two redox states [183].

Advanced metallocene-based structures [162], including amphiphilic graft polymers, have been prepared by controlled radical polymerizations [184, 185]. In this respect, an interesting application is given for ferrocene-based block copolymers, which can undergo conjugation with amines in terms of an oxime function (Fig. 11) [186]. At the same time, this combination allows the determination of conjugation by electrochemical means because the half-wave potential shifts with increasing conjugation.

An acrylamide-based polymer was grafted from a poly(ferrocenylsilane) backbone, giving not only electroactive, but also thermosensitive bottle-brush polymers [187].

Arene complexes of hemimetallocenes are also known [165, 188, 189]. Ferrocene-based inclusion complexation have been described as a tool for

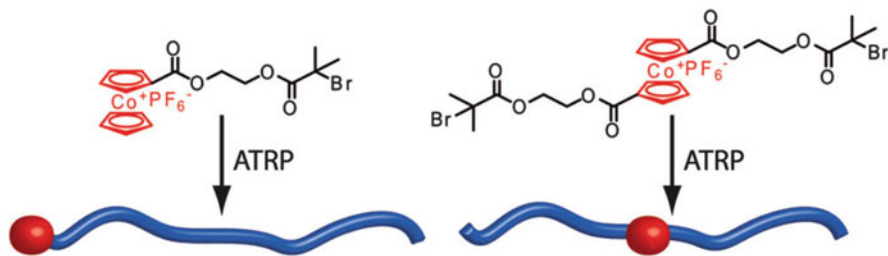


Fig. 9 Cobaltocenium-containing polymers obtained by atom transfer radical polymerization (ATRP) (reprinted with permission from [179]. Copyright 2012 American Chemical Society)

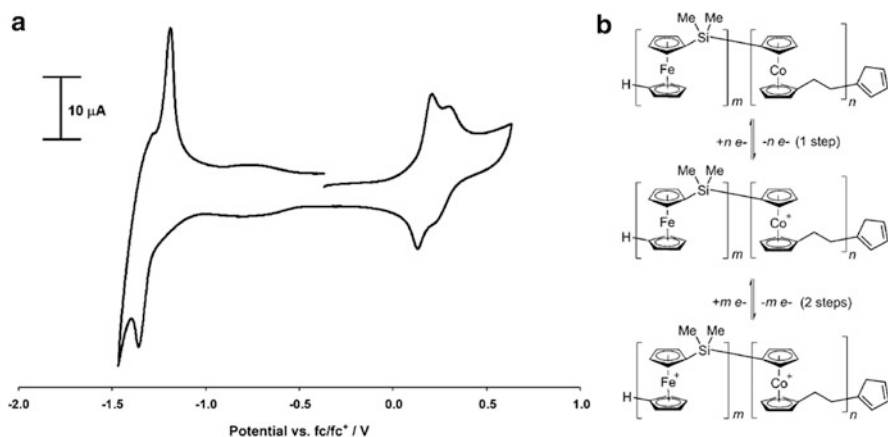


Fig. 10 Cyclic voltammogram of poly(ferrocenylsilane)-*b*-poly(cobaltoceniummethylene) (a) and the corresponding structures of the diblock copolymer (b) (reprinted with permission from [181]. Copyright 2011 Wiley)

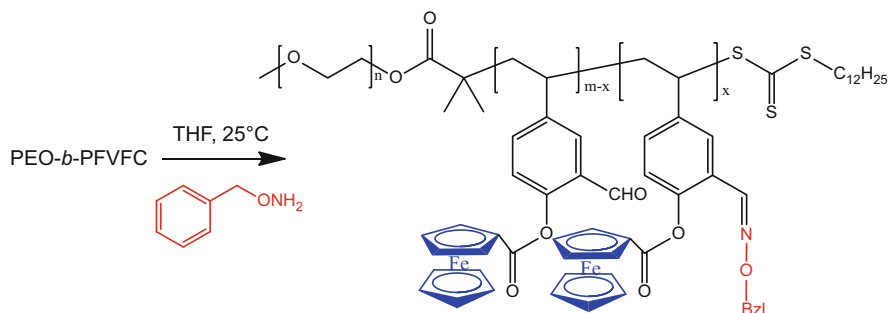


Fig. 11 Copolymer with ferrocene-based block (out of 2-formyl-4-vinylphenyl ferrocenecarboxylate, FVFC), which forms the core of the micelles but also allows conjugation with substrate, yielding conjugation sensors [186]

manipulation of the polymer interactions, which will be briefly described later (see section “Inclusion Complexation”).

Further Metal–Ligand Complexes

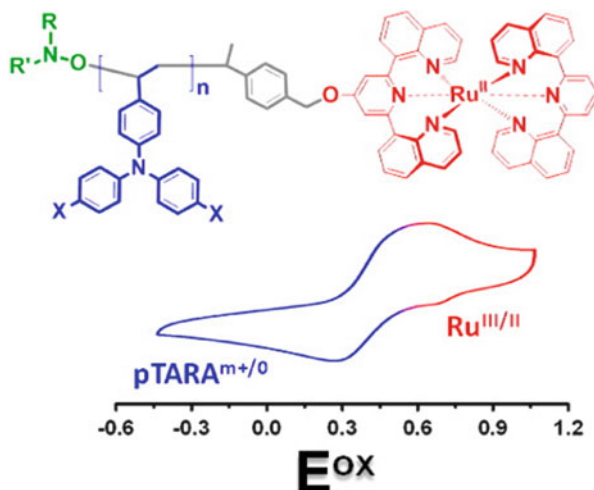
Stable metal complexes can be achieved by use of chelating ligands such as phenanthroline, pyridine, bipyridine or terpyridine moieties. These concepts are especially appealing in supramolecular chemistry, generating advanced polymer structures by noncovalent binding [190–193]. Because mainly transition metal complexes are used, the resulting macromolecular structures are very often redox-sensitive. Therefore, there are many examples of such electrochemically active, metal-based polymers in the literature, although only a short overview can be given here. One difference between these metal-based polymers and metallocene-based polymers is the ease of metal exchange: the ligand-containing polymer can be prepared without metal, and the redox-sensitivity is invoked after addition of the metal [e.g., Fe(II), Zn(II) and Ru(II)] and its rather strong complexation to the chelating units. In principle, different cations can be used for complexation. This freedom, which is similar to the electrostatic complexation between different multivalent counterions and polyelectrolytes (see section “Electrostatic Attraction”), is barely possible for ferrocene-based polymers. Moreover, electrolysis of sacrificial metal electrodes in the presence of the chelating polymer is sufficient to produce the desired electroactive polymers [194].

In particular, ruthenium complexes have been utilized for the redox stimulation of polymers. Interestingly, the internal changing of the electrochemical potential by the self-oscillating Belousov–Zhabotinsky reaction led to a response of such electroactive systems (e.g., peristaltic motions of ruthenium-containing gels and oscillating micellization events) [195]. However, these examples are not electrochemically controlled by electrode processes [196], although the Ru(II)/Ru(III) couple is very active electrochemically. Therefore, polymers with complexing ligands (and complexes) in the side chain have been prepared [197–199]. The backbone can even be conjugated [107, 200–202]. In certain cases, the conjugated backbone can be produced photochemically, allowing the introduction of other redox-sensitive groups (e.g., viologen), which finally endow the soluble photopolymerized polymer with electrochemically induced solubility features [203].

Even advanced structures with a hemimetallocene or dicarbonylhydrazine structure have been formed [204, 205]. End-group modification is also known (e.g., see Fig. 12) [116, 206, 207]. For cobalt complexes, dendrimers with polypyridyl end groups were prepared, whose solubility can be manipulated directly by electrochemical means [208].

The proper choice of stoichiometry allowed the formation of block-like arrangements or even star-shaped polymers with electroactive metal centers [209]. An interesting example is given for metallo-supramolecular polymers, which can even reversibly form gels [210–214]. Thus, supramolecular polymers can be made by use of bifunctional linkers. Here, the redox active units are located in the main chain

Fig. 12 Polymeric, redox-active triarylamines (*pTARA*) with a redox-sensitive ruthenium end group prepared by nitroxide-mediated polymerization (reprinted with permission from [116]. Copyright 2013 American Chemical Society)



(Fig. 13) [215, 216]. This concept can be used even for dendritic species [217, 218], while the ruthenium complex can be part of the crosslinking units [219].

Porphyrin-based complexes pass their redox sensitivity on to the corresponding polymers. Advanced conjugated main-chain structures with attached dendrons have been introduced [220]. Grafting of porphyrin-zinc main-chain polymers onto carbon nanotubes was reported [221]. An interesting example dealing with a nonelectrochemically switched polymer was recently given. Here, polymers with porphyrin-iron end groups could be switched between linear and cyclic polymers by a dimerization procedure (Fig. 14) [222, 223].

Some special examples are also present in the literature [224, 225]. Helical polymers were obtained by interaction of redox-active copper centers with a rather rigid and bent polyphenanthroline [225]. Polymer decoration with cyanoferrates has also been achieved [226, 227], which leads us directly to next section.

2.1.2 Electrochemically Induced Complexation

Electrostatic Attraction

Transfer of electrons can naturally lead to changes in the charge (valency) of the redox-active species. Therefore, it is an obvious consequence to study the interaction of switchable counterions with “macroions” [228] or other multiple-charged objects like polyelectrolytes [229]. The group of Anson was one of the first to investigate the complexation of electrochemically switchable counterions with strong polyelectrolytes [230–232]. In some cases, the cationic polysiloxanes became insoluble through oxidation of complexed ferrocyanide. The polyelectrolyte–counterion complex then deposited onto the electrode as a thin

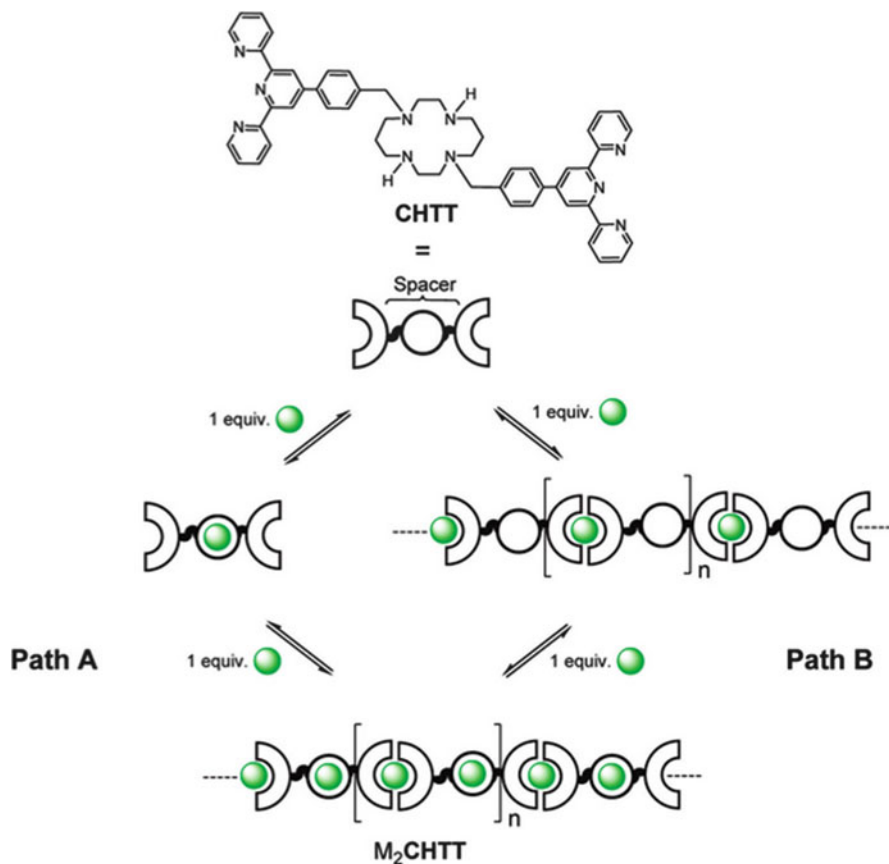


Fig. 13 Supramolecular, redox-active polymers formed by coordination bonding, yielding redox-active gels (utilizing multitopic cyclam bis-terpyridine ligands, *CHTT*) (reprinted with permission from [210]. Copyright 2009 American Chemical Society)

film, which could be redissolved after changing the potential for counterion reduction (Fig. 15).

Poly(diallyldimethylammonium chloride) (PDADMAC) and ferri-/ferrocyanide show a similar behavior [233]. In most cases, the ferri-/ferrocyanide (trivalent/tetravalent hexacyanoferrate[III]/[II]) couple exhibits an unexpected interaction pattern with strong cationic polyelectrolytes. Hereby, the trivalent ferricyanide favors complexation compared with the tetravalent ferrocyanide [234, 235]. Although the higher charged ferrocyanide is supposed to release a higher number of monovalent counterions, this entropic contribution is less dominant for the ferrocyanide. This conclusion was derived from entropy measurements [232], clearly emphasizing the enthalpic repulsion between the highly hydrated, weakly polarizable ferrocyanide and the somewhat hydrophobic polymeric backbone [236]. This leads to pronounced ion-specific effects. In contrast, some systems

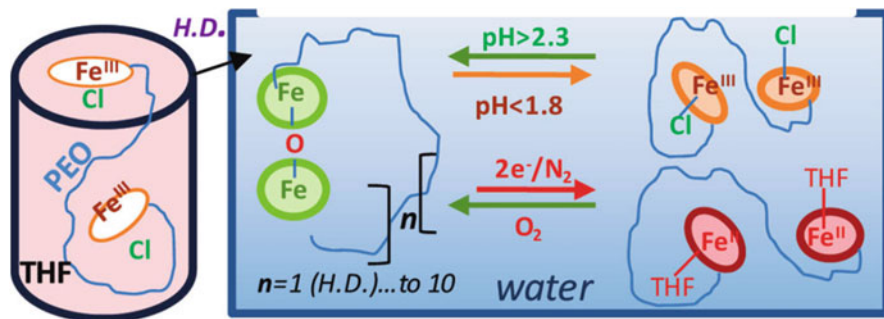


Fig. 14 Redox switching between cyclic polymers and linear polymers; *PEO* poly(ethylene oxide), *THF* tetrahydrofuran, *H.D.* high dilution (reprinted with permission from [223]. Copyright 2011 American Chemical Society)

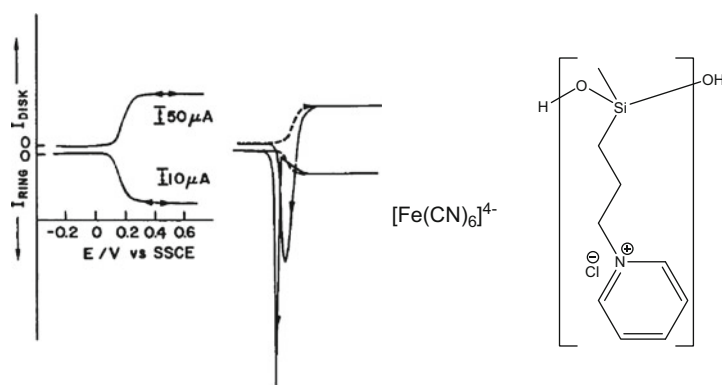


Fig. 15 Direct observation of reversible film formation on a rotating ring/disk electrode upon oxidation of hexacyanoferrate (IV) (initial disk potential scan from low to high potentials, from *left to right*), showing ferrocyanide oxidation in the absence (*left*) and presence (*right*) of cationic polyelectrolyte. Upon reversing the disk potential, a clear cathodic peak is discerned in the case of the polymer-containing system (*right*) due to dissolution of ferricyanide-containing film. Further film-escaping ferricyanides react at the ring electrode with constant potential, which results in a cathodic peak of the ring current (reprinted from *Journal of Electroanalytical Chemistry*, 258(2), Manshi Ohyanagi, Fred C. Anson, *Electrodeposition of polyelectrolyte-metal complexes*, 469–477, Copyright 1989, with permission from Elsevier [231])

containing nonquaternized, primary polyamines favor interaction with the tetravalent ferrocyanide [232, 237–239], as indicated by the swelling of thin films in the presence of ferricyanide. The increased hydrophilicity of these protonated polyamines might be explained by the lower number of carbon atoms per charge and by possible hydrogen bonding with the counterions.

Similar processes play a role for the interaction of multivalent counterions with anionic polyelectrolytes [240, 241]. Hereby, ferrous Fe^{2+} is oxidized in the presence of poly(acrylic acid). Importantly, the chelating abilities of citric acid allow a tuning of the ion–polymer interactions, allowing a higher mobility of the

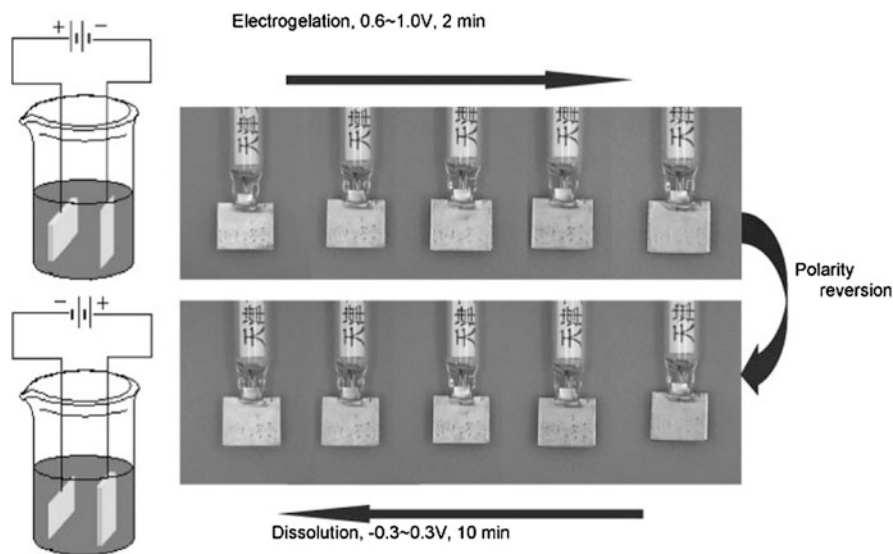


Fig. 16 Reversible electrochemical gelation of poly(acrylic acid) onto electrodes in the presence of ferrous ions (reprinted with permission from [241]. Copyright 2010 Wiley)

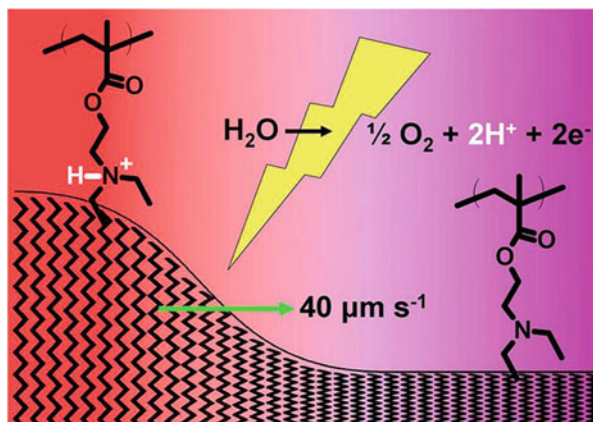
electroactive counterions. As a result, a hydrogel layer is formed on top of the electrode upon oxidation, but it is dissolved again upon reduction (Fig. 16). For another thallium-based system, the mobility of counterions was determined electrochemically and compared with self-diffusion NMR experiments [242]. Both methods provide consistent results.

As shown above, it has been known for some time that the solubility of polyelectrolytes in aqueous media can be influenced by the electrochemical switching of the counterions. However, this principle has only recently been applied to trigger electrochemically built-up micellar aggregates from unimolecular solutions by adjusting the solubility of one part of a binary block-like copolymer [235]. Hereby, the electrolysis of ferrocyanide to ferricyanide led to the formation of vesicles (polymersomes). In contrast to real film formation, the solubilizing part, poly(ethylene oxide) (PEO), prevented film deposition on the electrode. Instead, bilayer film formation in bulk solution was favored under vesicle build-up with an insoluble polyelectrolyte complex as the vesicle wall, sandwiched between a well-hydrated PEO brush.

Multivalent counterions have also been used as redox mediators for oxidation/reduction of redox-active sites that are covalently bound to the polyelectrolyte [177]. In this case, the oxidized redox-active sites are shielded by their interaction with the polyanion. This prevents reduction of the redox polymer, unless a mobile cationic mediator, $[\text{Ru}(\text{NH}_3)_6]^{2+}$, which interacts with the polyelectrolyte, is added. In this example, no real solubility changes were observed.

In a further study, the interaction between protonated poly(4-vinyl pyridine) and anionic $[\text{Mo}(\text{CN})_8]^{4-}$ /cationic osmium species was investigated [176]. The diffusion properties of both $[\text{Mo}(\text{CN})_8]^{4-}$ and the polymer could be separated

Fig. 17 Coupling of the conformation of a weak polyelectrolyte brush with the pH modulated by electrolysis of water (reprinted with permission from [246]. Copyright 2013 American Chemical Society)



electrochemically (rotating ring electrode) by labeling the polyelectrolyte with ferrocene units. Adsorption of the complex on the electrode was found, although no electrochemical effect on the film formation was reported.

Electrochemically Induced Protonation and Deprotonation

The “(de-)complexation” of polymers/polyelectrolytes with protons can be regarded as a tool for electrochemical manipulation. Under certain conditions, electrode reactions can lead to a net generation of hydronium or hydroxide ions under electrolysis of water [243]. These ions can interact with pH-responsive entities, leading to changes in solubility and conformation (e.g. Fig. 17) [244–247].

Inclusion Complexation

Inclusion complexation is often based on hydrophobic interactions, leading to an uptake of a hydrophobic guest into a hydrophobic cavity (e.g., for cyclodextrins or calixarenes). Ionic interactions can also induce a threading of a guest into the cavity of a host. By switching the charge and hydrophilicity of either guest or host, the host–guest interaction can be manipulated electrochemically. At the same time, the solubility might be altered comparing with the original state of the polymer. Referring to Fig. 4, such a behavior can be achieved by incorporating complexation sites on the polymer, while the low molecular mass redox-active compounds bind to the polymer upon exerting a redox stimulus. Electrochemically induced complexation does not necessarily need to take place between polymeric species and low molecular mass, redox-active species. Regarding inclusion complexation, the switchable attraction between complexing entities can be employed while the redox-active groups are attached to the polymer (mixed concept; refer to Fig. 4). In addition, binary polymer–polymer interactions can be triggered. Then, inclusion

complexation can play a crucial role in changing the supramolecular conformation and solubility upon exerting redox stimuli [57, 58, 248–251]. For example, an advanced way of changing the bulk solubility of proteins by complexation has been described recently [248]. A combination of supramolecular chemistry (inclusion complexation) and covalent attachment of redox active groups leads to a complexation between cyclodextrins and ferrocene units, which is not based on electrostatic attraction, but on hydrophobic interactions. Therefore, precise attachment of ferrocene at a certain spot of the protein allowed the oriented adsorption at cyclodextrin-modified electrodes. Upon electrochemical oxidation, the complex between cyclodextrin and the ferrocene moiety is weakened and the protein is released into solution. Accordingly, protein release was also established by reduction of tethered flavin [252, 253]. Similar principles hold also true for ferrocene-labeled dendrimers [249, 250]. Here, scanning electrochemical microscopy allowed the imaging of and writing into cyclodextrin-modified patterned glass surfaces [250].

This principle is also well known for gel formation, which will be discussed in Sect. 2.3 [254]. Here, either the polymer-bound ferrocene units interact with cyclodextrin (mixed concept) [170, 171, 255] or a cyclodextrin-based polymer allows the inclusion of ferrocene, which then also endows electrochemical properties (electrochemically induced complexation; Fig. 18) [256, 257].

Taking this one step further, competitive inclusion complexation of cyclodextrin with either polymer-bound, associating alkyl side chains or ferrocene is dependent on the different oxidation states of ferrocene. This leads to a switching between the gel state and molecularly dissolved polymer [254]. This very special case can be categorized as electrochemically induced complexation, although, interestingly, the polymer has no direct interaction with the redox active sites (Fig. 19).

By use of bifunctional monomers based on cyclodextrins and ferrocenes, a switchable supramolecular polymerization is feasible [258, 259]. However, inclusion complexation is not only limited to cyclodextrins or ferrocene. Anionic calixarenes can also interact with cationic, redox-active viologens [57]. The reduced, uncharged viologen is repelled from the calixarene site. In the case of bifunctional calixarenes and viologens (two viologen or calixarene sites per molecule), a supramolecular polymerization takes place in water, which can be reversed upon reduction of the viologen units (Fig. 20). Even orthogonal stimuli can be applied, meaning that the supramolecular polymer is only present at low pH and high equilibrium potential [58]. After electrolysis, monomeric dicalixarenes and viologen-based molecules are present at low potential. A similar approach was applied for the generation/decomposition of block copolymers from telechelic homopolymers [105].

Charge-Transfer Complexation

Charge-transfer complexation is another example in line with the inclusion complexation concept. Although the redox-active groups are attached to the polymer, their interaction with other units of beneficial electronic structure can be regarded

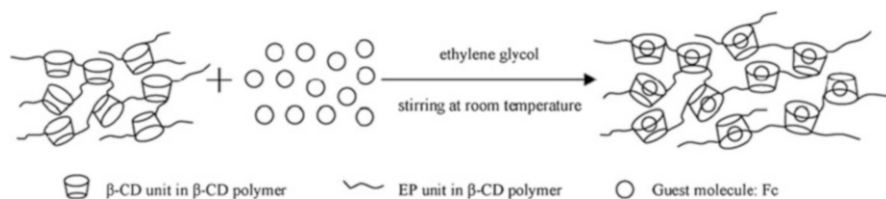


Fig. 18 Inclusion complexes of ferrocene into β -cyclodextrin (β -CD)-based polymers, leading to an electrochemically induced (de-)complexation (reprinted from Zhang et al. [256])

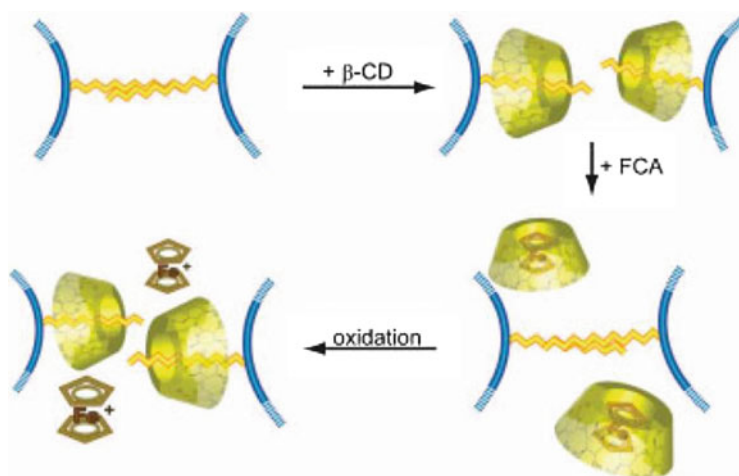


Fig. 19 Peculiar behavior of a ferrocene and β -cyclodextrin (β -CD)-containing system, allowing gel–sol transitions without any direct interaction of the ferrocene species with the polymer; *FCA* ferrocenecarboxylic acid (reprinted with permission from [254]. Copyright 2006 Wiley)

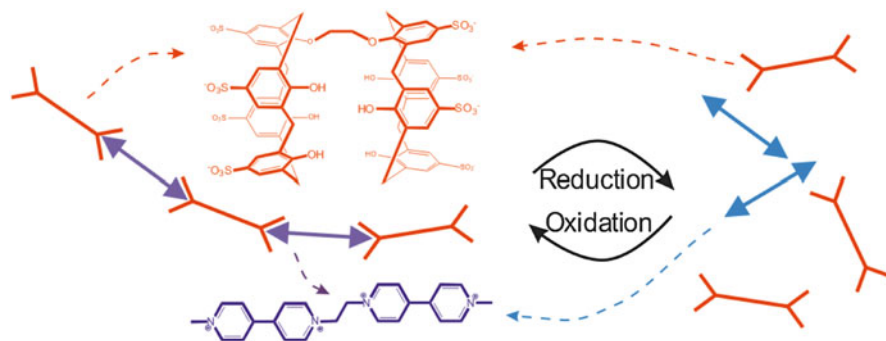


Fig. 20 Supramolecular redox-active (de-)polymerization (with kind permission from Springer Science + Business Media: Colloid and Polymer Science, Plamper [18], figure 1) [57]

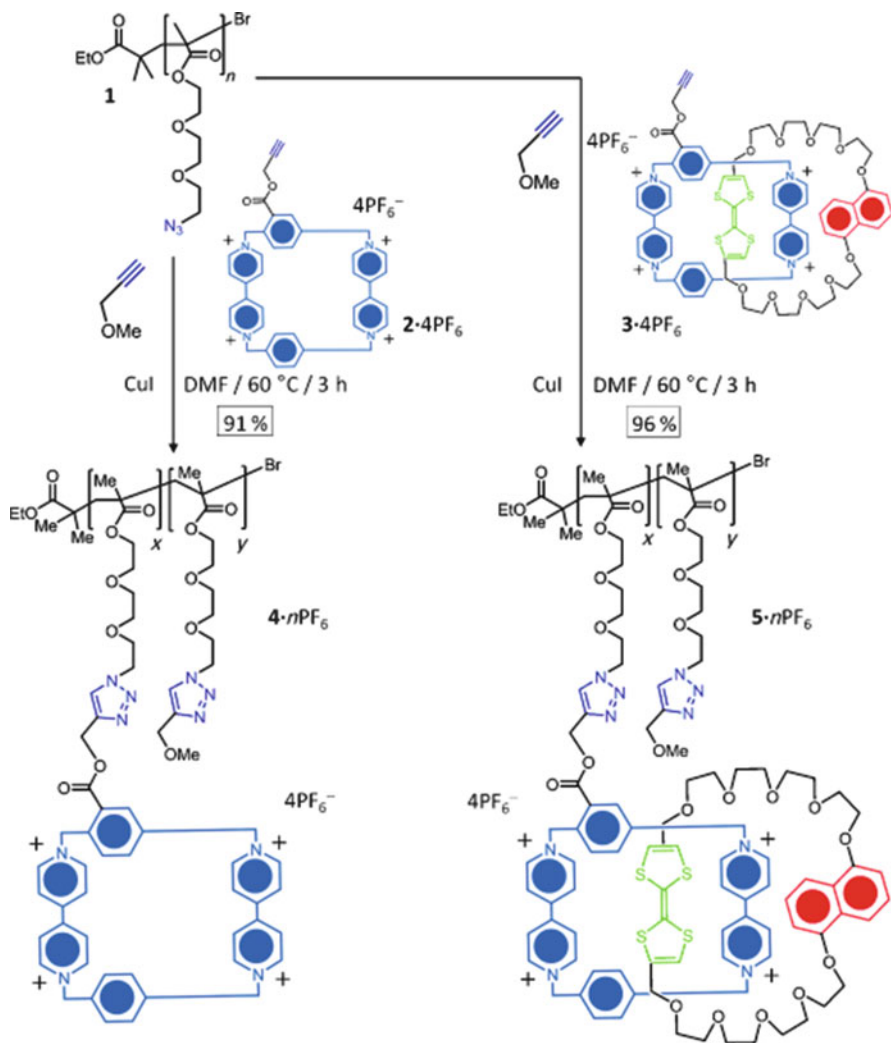


Fig. 21 Preparation of a switchable polycatenane, where – depending on the oxidation state – either the tetrathiafulvalene or the naphthyl moiety is hosted within the viologen-based ring (reprinted with permission from [251]. Copyright 2009 Wiley)

as a complexation. Tetrathiafulvalene (TTF) is an appealing unit because it has the ability to form charge-transfer complexes with electron acceptors such as tetracyanoquinodimethane (TCNQ) or even viologen derivatives [260, 261]. These complexes show a remarkable conductivity (supramolecular organic metals). In addition, TTF and viologen also give charge-transfer complexes [108]. As an intriguing example, electrochemical manipulation of supramolecular catenanic polymers can be obtained [251]. In DMF, a net rotation of the hooked, electroactive

TTF-based rings can be achieved, which are attached to a polymeric backbone by threading them onto side-group cyclobis (paraquat-*p*-phenylene)-based rings. Upon oxidation of TTF, the charge-transfer complex between the paraquat units (viologens) and TTF is replaced by like-charge repulsion, which leads to a rotation of the hooked rings (Fig. 21).

Similarly, intramolecular charge-transfer complexation was obtained by alternating copolymerization of tetracyanoquinodimethane (TCNQ) with ferrocene into one chain [91, 111]. Spectroscopic and electrochemical analyses of mixtures of the monomeric units showed clear intramolecular charge-transfer complexation. In a related study, poly(methylated ferrocenylsilanes) were shown to interact with tetracyanoethylene [262]. A similar concept is valid for viologen-based polymers [55].

Generally, it cannot be excluded that charge-transfer complexation gives an additional driving force for complexation in the examples listed above or below (e.g., [57] or [230]). For example, in addition to electrostatic effects, charge-transfer complexation was accounted for when discussing the special interactions of hexacyanoferrates with aromatic polyelectrolytes [230].

2.2 (Self-)assembled Structures in Suspension

The former section aimed to give an overview of the different chemistries of electrochemical switching of polymers. This section emphasizes the utilization of these principles for the switching of self-assembled structures such as micelles, capsules or vesicles. Astonishingly, although numerous reports on redox-sensitive polymers are known, hardly any efforts have been taken until recently to address the morphological changes of electroactive micellar aggregates. Only in the last ~5 years has there been an increased interest in the electrochemical micellization of polymers. Permeability changes of capsules or vesicles have been investigated sparsely [263–265], although they have been known for some time. In any case, most investigations were not conducted electrochemically. This means that further studies are needed on electrochemical switching of, e.g., micellar shapes, and numerous applications are expected. Rheological control [266, 267] and uptake and release applications are just two examples, which can be performed – in principle – by use of a simple electrical switch. As already mentioned in the “Introduction”, this switching has the advantage that no chemicals need to be added.

2.2.1 Chemical Redox for Self-assembled Systems

Some recent examples dealing with chemical redox reactions will be addressed.

An organo-soluble polyferrocenylsilane within a bis-lipophilic diblock copolymer turns partly organo-insoluble upon chemical redox switching in organic solvent [268]. Then, the unimeric polymer aggregates into spherical micelles (Fig. 22).

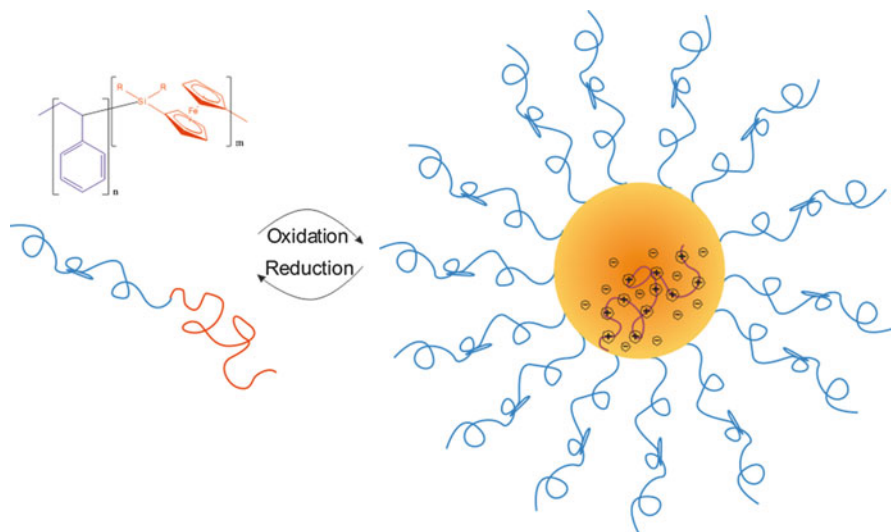


Fig. 22 Redox-induced micellization in organic media [268]

Similarly, amorphous polyferrocenylsilanes, e.g., poly(ferrocenylphenylmethylsilane), gave spherical micelles whereas semicrystalline poly(ferrocenyldimethylsilane) self-assembled into ribbon-like micelles upon chemical oxidation of the organometallic block [269]. A comb-like, amphiphilic copolymer of an amino-containing poly(ferrocenylsilane) backbone and alkyl side groups aggregates in solution. The use of chemical redox agents allowed control of guest release from these micellar-type aggregates [270]. By use of poly(vinylferrocene), an aqueous system related to that shown in Fig. 22 could be generated, which even exhibited thermosensitive properties [271].

Supramolecular polymers which iron-complexes along the anionic main chain were complexed with cationic block copolymers (Fig. 23) [272, 273]. However, the applied redox reaction hardly changed the micellar morphologies, although the payload could be released and entrapped upon a chemical redox stimulus. Similarly, nanoparticles were developed for the redox-triggered release of drugs (here by redox-induced scission of polymer-attached trimethyl-locked benzoquinone) [274].

Another interesting application was given for diselenide-based block copolymers, where both oxidants as well as reductants forced the systems to a demicellization [275]. This system was further developed to allow the disassembly of the micelles by application of oxidants, whereas micellization was favored in the presence of reductants [276]. In continuation, selenium-based peptides and dendrimers self-assembled into different morphologies, depending on the oxidation state [277, 278]. Also, small selenium-based amphiphiles form complexes with polyelectrolytes, but the amphiphilic character of the amphiphile and – in turn – the complex decreases after oxidation [279]. In general, the literature contains a

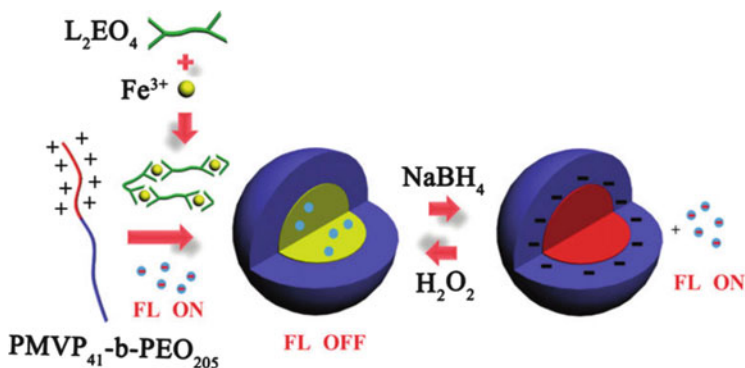


Fig. 23 Supramolecular, redox-active polyelectrolytes can be used for interpolyelectrolyte complexation with bis-hydrophilic block copolymers, yielding micelles with redox-sensitive release properties; *FL* fluorescence (reprinted with permission from [273]. Copyright 2012 American Chemical Society)

number of examples where decomposition of disulfide bridges changes the macromolecular architecture and also the hydrophilic/hydrophobic balance (e.g. [280]). With biological and medical relevance, chemical redox on thiols or disulfide groups can change the morphology and uptake properties of micelles, vesicles, and dendrimers [281–286]. For instance, glutathione allowed the release of disulfide shell-crosslinked micelles [287]. Similar principles hold true for core-crosslinked micelles/nanoparticles/stars [288, 289]. But, as already mentioned, this chemistry is seldom applicable for electrochemical switching. This is also true for the full oxidation of thioethers to sulfoxides and sulfones, which could be used for destruction of vesicles by increasing the overall hydrophilicity of related block copolymers [33, 290].

The use of tetraaniline-based block copolymers allowed a change in micellar morphology upon chemical redox (Fig. 24) [291].

These examples deal mainly with covalently linked redox-active groups, but complexation between small redox-active counterions and polyelectrolytes has also been tested [292]. Here, peroxodisulfate acted as a bridging counterion, whose bridging abilities were stronger than those of sulfate ions (which were obtained upon addition of mild reductants).

2.2.2 Electrochemical Manipulation of Self-assembled Systems

After these examples related to chemical redox, we will now discuss electrochemically induced changes in micellar morphologies:

One of the first electrochemical examples of manipulation of the solubilizing abilities of amphiphilic polymers was investigated for viologen-based polysoaps [54]. Interestingly, hydrophobic dye molecules could be reversibly incorporated and released in (probably) unimolecular micelles of the redox-active polysoap

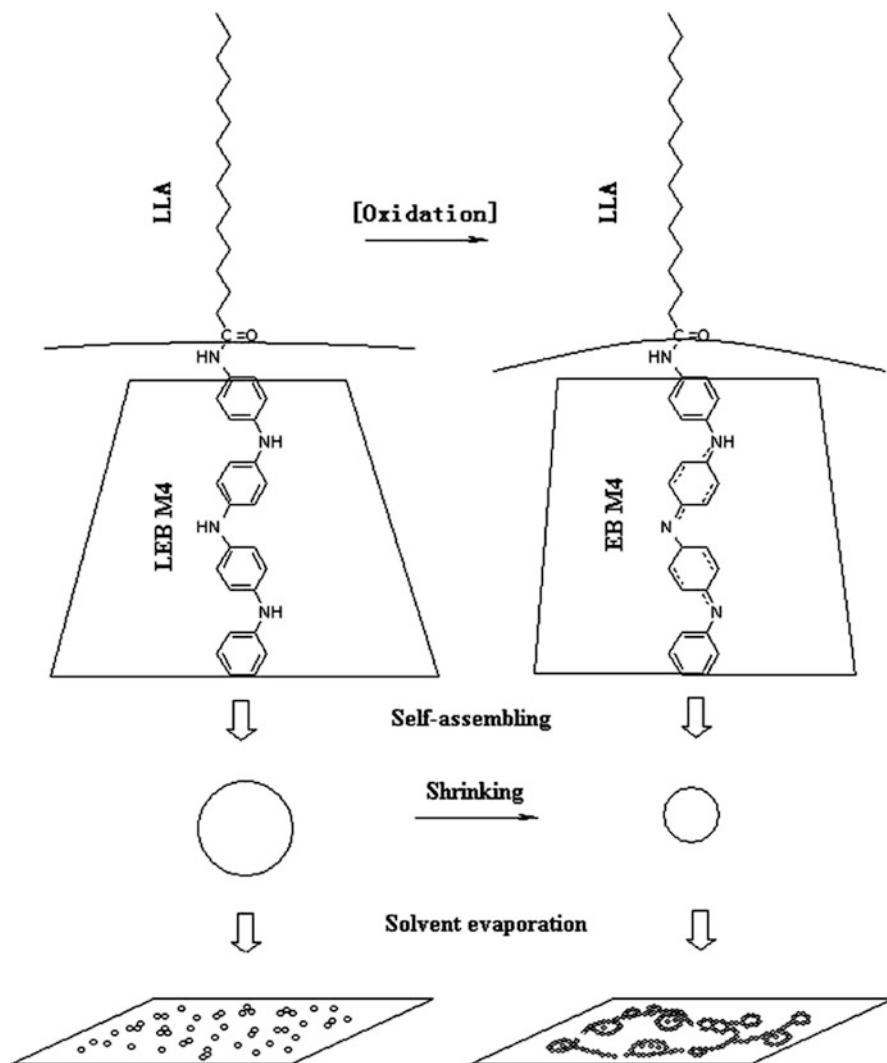


Fig. 24 Change in curvature upon oxidation of tetraaniline-*b*-poly(L-lactate) and the changes in the resulting self-assembled structures; *LLA* L-lactic acid (reprinted with permission from [291]. Copyright 2006 Wiley)

(here, only the chemical redox trigger was tested). However, morphological changes were not reported.

Redox-active vesicles were investigated for polysiloxane-based block copolymers with hydrophilic polyferrocenylsilanes as corona constituents. However, no change in morphology of the micellar aggregates upon oxidation was reported, although the number of cationic charges in the corona block increased considerably upon electrochemical switching [293].

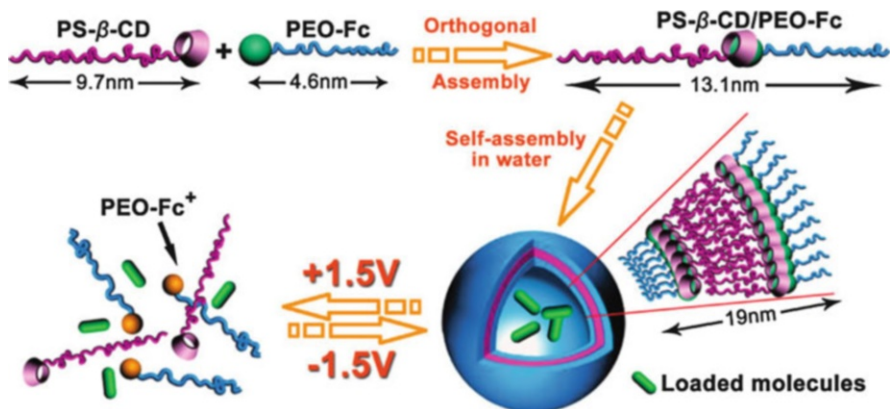


Fig. 25 Electrochemically induced decomposition of supramolecular vesicles; *PS-β-CD* cyclodextrin-terminated polystyrene, *PEO-Fc* ferrocene-terminated poly(ethylene oxide) (reprinted with permission from [295]. Copyright 2010 American Chemical Society)

An electrochemically induced change of spherical micelles to larger aggregates was observed for coumarin-containing block copolymers [294]. Electrochemical addressability was also found for ferrocene-based micelles of block copolymers, which can undergo conjugation with hydroxylamines in terms of an oxime function [186]. After conjugation micelles are formed, whose size can be manipulated by the oxidation state of the ferrocene units (here only reported by chemical redox).

A real electrochemical switching towards vesicles was obtained by a supramolecular, switchable linkage of ferrocene-terminated poly(ethylene oxide) (PEO) and cyclodextrin-terminated polystyrene (PS) in water (mixed concept) [295]. Upon oxidation, the ferrocene–cyclodextrin linkage is broken and the PEO dissolved unimolecularly, while reduction of the ferrocenium ions leads to reversible vesicle formation (Fig. 25). Interestingly, this system appears to have hardly any limitations due to the PS, which is reported to be below its glass transition temperature and should slow down the morphological changes. It can be assumed that the presence of DMF plasticizes the PS, which is supposed to be macroscopically insoluble. Similar switching mechanisms were applied for supramolecular block copolymer brushes [296, 297].

An oligomeric polyaniline-based diblock or triblock copolymer with PEO as water-soluble block allowed another electrochemical switching of vesicles [298–301]. Upon electrochemical oxidation, the vesicles disintegrated into puck-like micelles and the original vesicles could be re-formed upon reduction (Fig. 26) [298]. Similarly, aniline pentamers were incorporated into multiblock copolymers [302].

In contrast to low molecular mass surfactants [303–306], there are hardly any examples of polymeric systems going from unimers to micelles. Even more, it becomes obvious that electrochemical switching of micellar morphologies is generally rare. Side reactions such as polymer adsorption and film formation on the

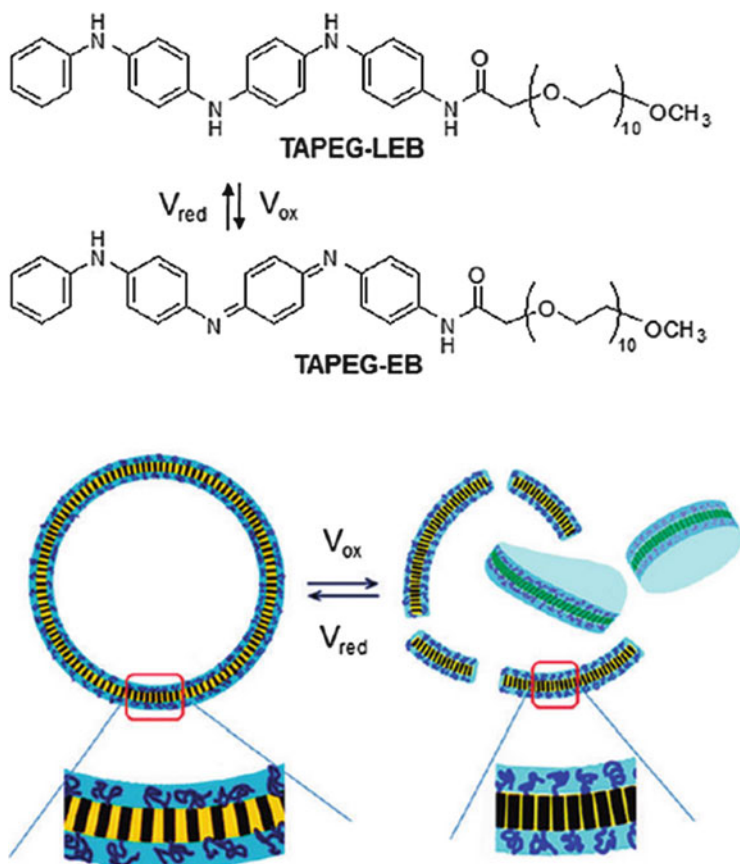


Fig. 26 Reversible electrochemical switching between vesicles and its puck-like fragments; *TAPEG-EB* tetraaniline-modified poly(ethylene glycol) with tetraaniline in the emeraldine base form, *TAPEG-LEB* with tetraaniline in the leucoemeraldine form (reprinted with permission from [298]. Copyright 2011 American Chemical Society)

electrode instead of bulk aggregation probably contribute to the scarcity of electrochemical approaches [166]. A rational solution to these problems might be the decoupling of the aggregation from the real electrochemical process by use of mobile, electroactive complexants. As highlighted above, the ability to complex with the polymer alters upon electrochemical switching. This allows a change of solubility of one part of the polymer and the subsequent induction of different micellar morphologies somewhat apart from the electrode interface. This principle might also explain the success of the supramolecular example given above (Fig. 25) [295], where soluble PEO with just one ferrocene unit can decouple from the micelle and react at the electrode, shifting the equilibrium towards demicellization. In accordance, long, soluble polymers probably prevent electrode adsorption for just one rather small terminal redox-active group (see also Fig. 28) [81, 104].

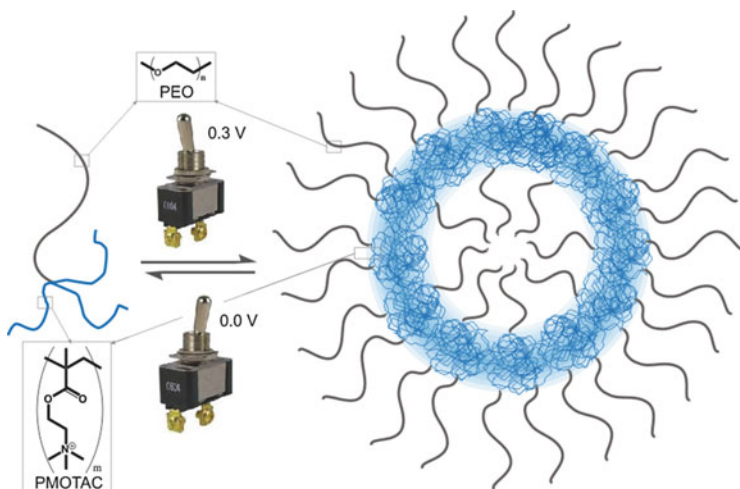


Fig. 27 Probably the first reversible electrochemical switching between the unimeric state and the self-assembled state, induced by complexation of a bis-hydrophilic polycation with hexacyanoferrates in aqueous media to form vesicles; *PEO* poly(ethylene oxide), *PMOTAC* poly(methacryloyloxyethyltrimethylammonium chloride) (reprinted with permission from [235]. Copyright 2009 American Chemical Society)

One of the first electrochemical examples of switching between unimers of a binary, bis-hydrophilic polymer and its micellar structures was given recently by the author [235]. Here, miktoarm stars of PEO and cationic poly(methacryloyloxyethyltrimethylammonium chloride) (PMOTAC) were complexed with anionic hexacyanoferrates, which induced vesicle formation at a certain ratio of ferro- and ferricyanide. Because the ratio of the two ions can be controlled electrochemically, vesicle formation was induced at high equilibrium potentials, whereas unimers were present at low equilibrium potential even after several electrolysis cycles (Fig. 27).

Another system that is reported to switch between unimers and micelles was given for TTF-terminated poly(*N*-isopropylacrylamide). It was also reported to be electrochemically active (Fig. 28); however, switching between micelles and unimers was achieved by chemical means [81].

Interestingly, complex formation between the terminal TTF group and a tetracationic cyclophane could be controlled by electrochemical means, as suggested by cyclovoltammetry results [104, 307]. Rather similarly, the supramolecular complexation of a viologen-based cyclophane with a dendron- and polyacrylamide-decorated TTF led to reverse vesicles, which were destroyed upon oxidation of the TTF [308]. Interestingly, the cyclophane needs to de-thread from a coiled/H-bonded oligomeric polyacrylamide backbone [309].

Preformed micelles could be either deposited and crosslinked electrochemically [310] or disassembled due to strong electrode–micelle interactions [311].

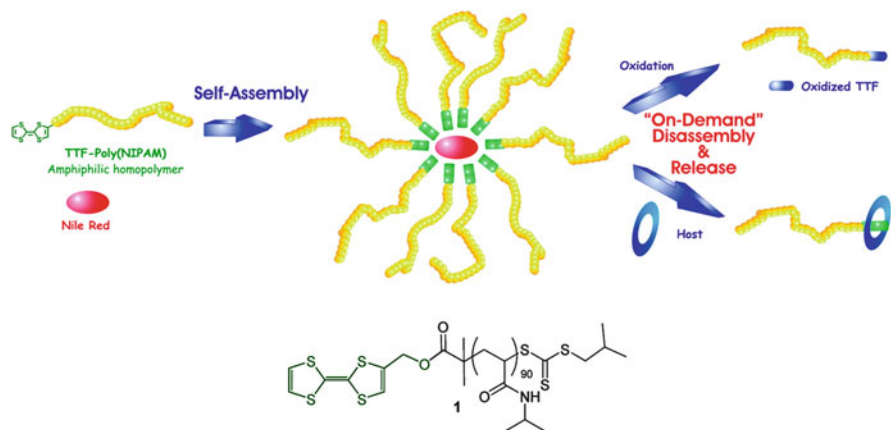


Fig. 28 A tetrathiafulvalene end group can be used to induce micellization, which can be reversed upon oxidation allowing a release of guest molecules; *TTF-Poly(NIPAM)* tetrathiafulvalene-terminated poly(*N*-isopropylacrylamide) (reprinted with permission from [81]. Copyright 2010 American Chemical Society)

2.2.3 Capsules and Other Colloids

This section addresses colloidal [312] and especially capsular systems, which are not generated by direct self-assembly as encountered for, e.g., vesicles [313]. Therefore, numerous preparation steps are often required, which leads to structures away from the thermodynamic equilibrium (e.g., layer-by-layer assemblies of interpolyelectrolyte capsules) [314]. Also for capsules, most examples deal with chemical redox-switching instead of electrochemical manipulation:

Disulfide bridges can be used to decompose layer-by-layer membranes and capsules [315]. Older investigations dealt with nylon capsules prepared by interfacial (“emulsion”) polymerization. By use of a grafting procedure, redox-active chains (e.g., viologen- or ferrocene-based polymers) could be attached to the capsules (Fig. 29) [316, 317].

At the same time, the permeability could be altered by use of chemical redox, which leads to either a swollen corona or a hydrophobic corona. Permeability changes in planar membranes could be also induced electrochemically in multibilayer films where poly(styrene sulfonate) was complexed with ferrocene- or viologen-based surfactants [318, 319].

One prominent recent example was given for layer-by-layer assemblies of polyferrocenylsilane-based polycations with linear polyanions on template particles (Fig. 30) [320]. Upon oxidation, the charge balance is disturbed (more cationic charges) and changes in the permeability were found.

Ferrocene-containing elastomeric core-shell particles were prepared and tested for their redox- and mechano-chromic response [321]. Patchy hollow capsules were prepared from poly(vinylferrocene)-*block*-poly(methyl methacrylate) using an emulsion and partial organic solvent evaporation technique [322]. Upon oxidation,

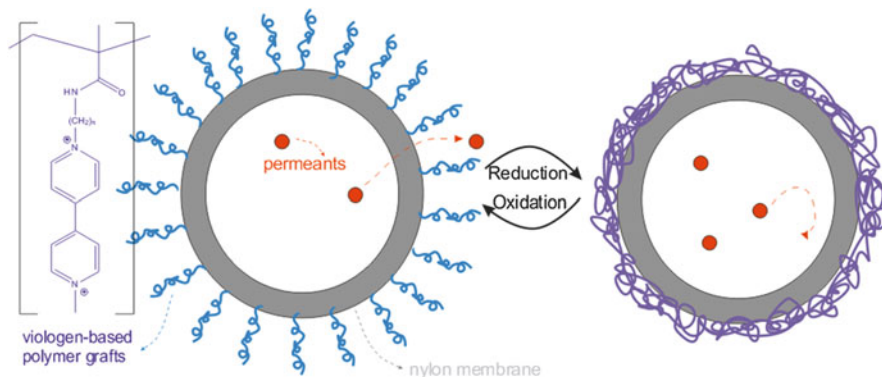


Fig. 29 Redox-induced change in capsule permeability [316]

the organometallic microphase-separated domains led again to an increase in capsule permeability (Fig. 31). This principle was also adapted for polyaniline-based capsules for the release of self-healing agents or for protection against corrosion [323, 324].

By templating and crosslinking, tubuli with an electroactive interior were generated [166, 325]. These tubuli are still electrochemically active in organic solvent, although the interior polyferrocenylsilane is shielded by a rather thin poly (dialkylsiloxane) shell (Fig. 32).

In connection to porous multilayers, the vesicles made of small pH-sensitive amphiphiles can release their cargo into their interpolyelectrolyte complex surroundings upon electrochemical change of pH [244, 326]. Another release application was reported for loaded polypyrrole nanoparticles. Depending on the applied potential, two guest molecules could be released selectively, which was explained by charge interactions between the polypyrrole in the different oxidation stages and the charge of the guest molecules [327].

2.3 Gels and Microgels

Polymeric gels are defined as crosslinked polymers that can be swollen by solvent. Gels in the nanometer to micrometer length scale are regarded as microgels and are sometimes called nanogels. Therefore, this section has a strong link to Sect. 2.1 and the principles mentioned there are valid for (micro-) gels as well. Because gels are typically macroscopic objects, their electrochemical responsiveness can even be exploited on larger length scales [328], implying their use in, e.g., actuator purposes [329, 330]. Solvation of the network in one state can be switched to a shrunken network in the other redox state. Because the electroactive gels are often in conjunction with electrodes, there is an overlap of this section with the next section

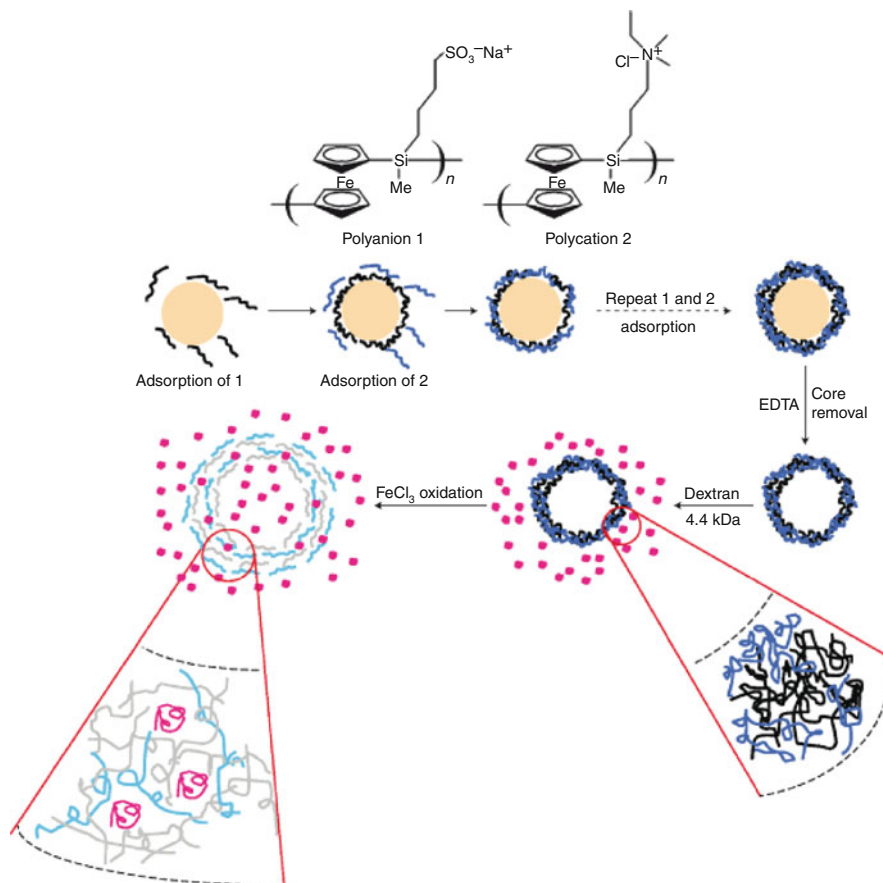


Fig. 30 Redox-active interpolyelectrolyte complexes can be assembled onto sacrificial templates, leading to hollow capsules after a layer-by-layer deposition. Upon oxidation, the capsules show a change in permeability, allowing an uptake of guest molecules (reprinted by permission from Macmillan Publishers Ltd: Nature Materials [320], copyright 2006)

(Sect. 2.4 on modified electrodes). Only topics of major interest are addressed because redox-active gels have been reviewed recently [11, 331].

The first part (Sect. 2.3.1 and Sect. 2.3.2) deals with macroscopic gels and still differentiates between electrochemical and chemical redox reactions. Generally, the velocity of a chemical redox reaction is limited by diffusion processes into the gel, which might become an issue for the electrochemical switching (concomitant diffusion of co-ions). Further, the electrochemical addressability of redox centers inside the gel needs to be clarified before good electrochemical switchability can be expected. Hence, the switching method of choice is dependent on the specific system under investigation. Therefore, systems in which the redox processes alter the properties of gels without electron transfer at an electrode interface (chemical redox) will be addressed briefly.

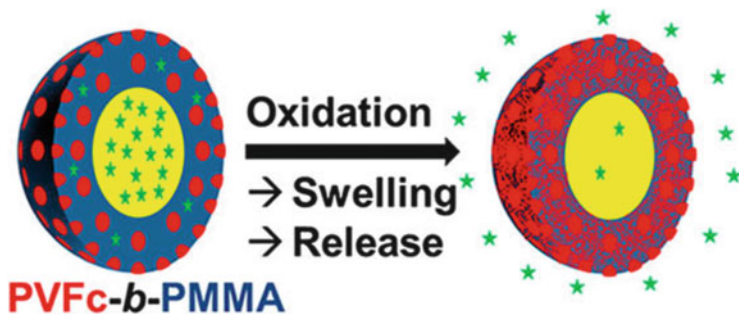


Fig. 31 Patchy capsule, which leads to changes in permeability upon oxidation of the ferrocene-based polymer; *PVFc-*b*-PMMA* poly(vinylferrocene)-*block*-poly(methyl methacrylate) (reprinted with permission from [322]. Copyright 2012 American Chemical Society)

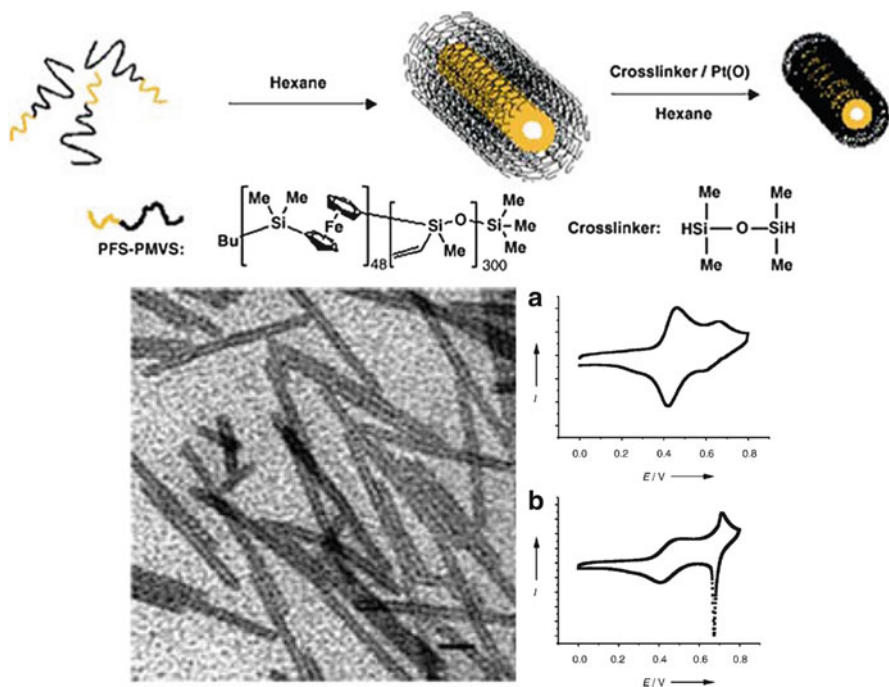


Fig. 32 Preparation pathway (*top*) for electroactive tubuli (TEM image *bottom left*), generated upon crosslinking of the shell. The polyferrocenylsilane is located along the inner wall, preventing electrode adsorption. Cyclic voltammograms are shown on the *bottom right*: *top CV* crosslinked tubuli; *bottom CV* diblock copolymer in common solvent, indicating adsorption processes (reprinted with permission from [166]. Copyright 2004 Wiley)

2.3.1 Manipulation of Gels by Chemical Redox

A number of gel systems with disulfide/thiol chemistry have been developed [332], which are reviewed in Sui et al. [11]. As mentioned, electrochemical addressability is barely feasible for such systems. However, disulfide-based gels and microgels could be obtained by mild aerobic oxidation by applying an enzymatic environment (horse-radish peroxidase) [333].

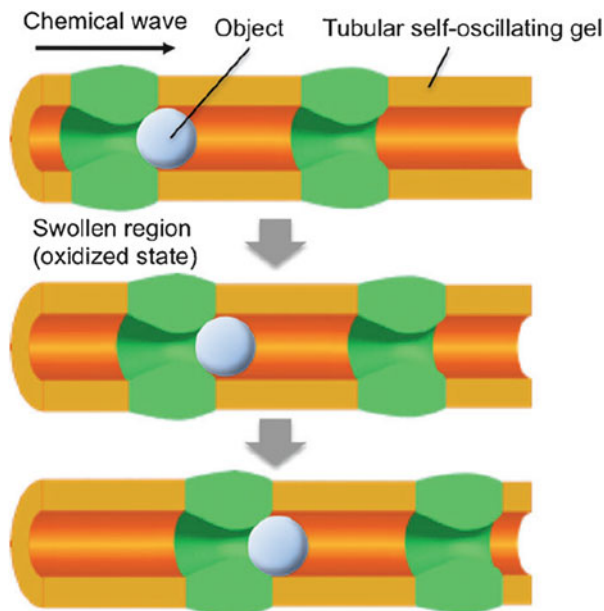
As another example, the response of electroactive systems to an internal change in the electrochemical potential by the self-oscillating Belousov–Zhabotinsky reaction leads to peristaltic motions of ruthenium-containing gels (Fig. 33) [196, 334–338]. However, these examples are not electrochemically controlled by electrode processes, although the gel swells and contracts autonomously without further addition of chemicals. This behavior is a result of the oscillating reaction that takes place inside the gel. This reaction imparts temporal and spacial variation in the chemical potential, which is translated to the redox-sensitive polymer. Typically, a polymer-bound ruthenium complex changes its charge upon continuation of this oscillating reaction, which leads to a cycling of the osmotic pressure: the gel reacts by uptake or release of solvent in the form of peristaltic motions. This behavior was used to construct self-walking gels [339].

Chemical oxidants also allowed switchable hydrogel formation by reversible competitive hosting of low molecular mass ferrocene units within cyclodextrins. Here, cyclodextrin complexes the otherwise-associating alkyl side chains, which reduces the viscosity of this well-formulated mixture. However, the alkyl groups start to associate under gel formation when reduced ferrocenecarboxylic acid is added. Then, ferrocene interacts preferentially with the cyclodextrin. This state can be reversed upon chemical oxidation due to the unfavorable inclusion complexation of ferrocenium units with cyclodextrin [254]. Based on similar mechanisms, a redox-dependent shape memory polymer has been generated [340] and also the adhesion of different gels can be switched [341].

Hydrophobically modified and branched poly(ethylene imine) was investigated by rheology [255]. The oxidation of the terminal ferrocene units leads to a decrease in viscosity due to the reduced hydrophobicity and the reduced associating tendency of these moieties. Similarly, the hydrophobicity of a ferrocene-based gel for release applications could be tuned by the reaction with oxidants [342].

An interesting example was given by the use of opals embedded into a ferrocene-derived redox-active polymer matrix [343]. By redox reaction, the distance between the embedded silica particles could be easily manipulated, leading to a shift in color, as shown by spectroscopic means.

Fig. 33 Peristaltic transport of objects within a redox-active gel tubuli, induced by the self-oscillating Belousov–Zhabotinsky reaction (reprinted with permission from [338]. Copyright 2012 Wiley)



2.3.2 Electrochemical Manipulation of Gels

Electrochemically Induced Solvation of Gels

Metal-Coordinating Gels

The last approach described in the previous section, which used ferrocene-based polymers [328], has been further developed (Fig. 34) [344] after assessing the reversibility of the electrochemical reaction [345].

A polymer gel based on an (inverse) opal was applied in order to obtain shorter switching times, which are also desirable for electrochemical color display purposes [346]. Hence, an all-color display was developed that, dependent on the applied potential, could reversibly switch between blue, green, red, and black. Here, crosslinked polyferrocenylsilane gels were partly swollen with glutaronitrile electrolyte. The degree of swelling was controlled electrochemically. Subsequently, the distance between the voids formed by the silica beads, which were etched by hydrofluoric acid treatment, could be altered. It should be mentioned that there are also other concepts for electrically switchable photonic crystals that are not directly connected to electrochemically induced solvation [347–349].

A rather old example for switching deals again with ferrocene-modified polymer networks. Thin films on an electrochemical quartz crystal microbalance were tested for changes in their viscoelastic properties in response to an overpotential [350, 351]. In this example, the electrochemical properties are dependent on

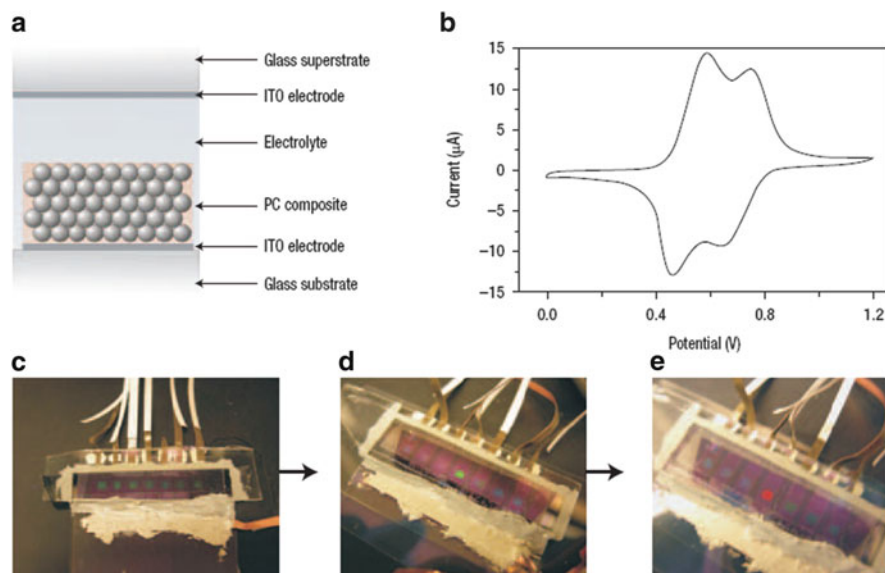


Fig. 34 Scheme of an electrochemical cell loaded with a photonic crystal, which is embedded in an electroactive gel matrix (a). the swelling of the composite photonic crystal can be controlled by electrochemical means (CV, b), leading to color displays (c–e; only one pixel is addressed, showing different colors at different electrode potentials) (reprinted by permission from Macmillan Publishers Ltd: Nature Photonics [344], copyright 2007)

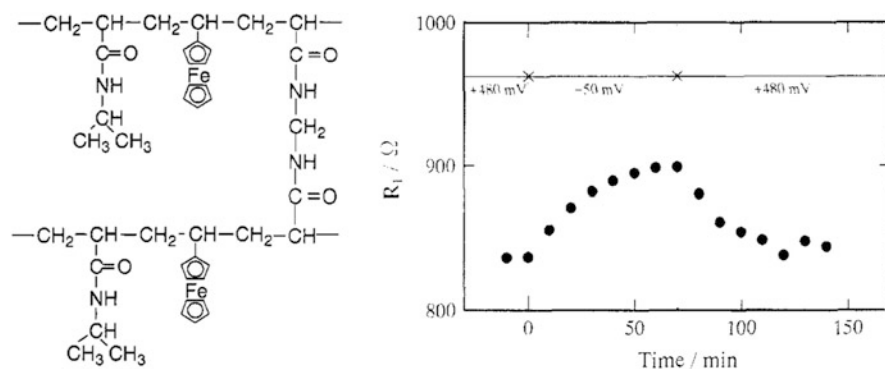


Fig. 35 Electromechanical impedance of ferrocene-modified poly(*N*-isopropyl acrylamide) gels in response to variations in electrode potential (reprinted with permission from [350]. Copyright 1994 American Chemical Society)

temperature and the temperature-responsive properties can be manipulated by electrochemistry (Fig. 35).

A supramolecular hydrogel was constructed on the basis of the complexation of ferrocene-terminated thermosensitive block copolymer with cyclodextrin-modified

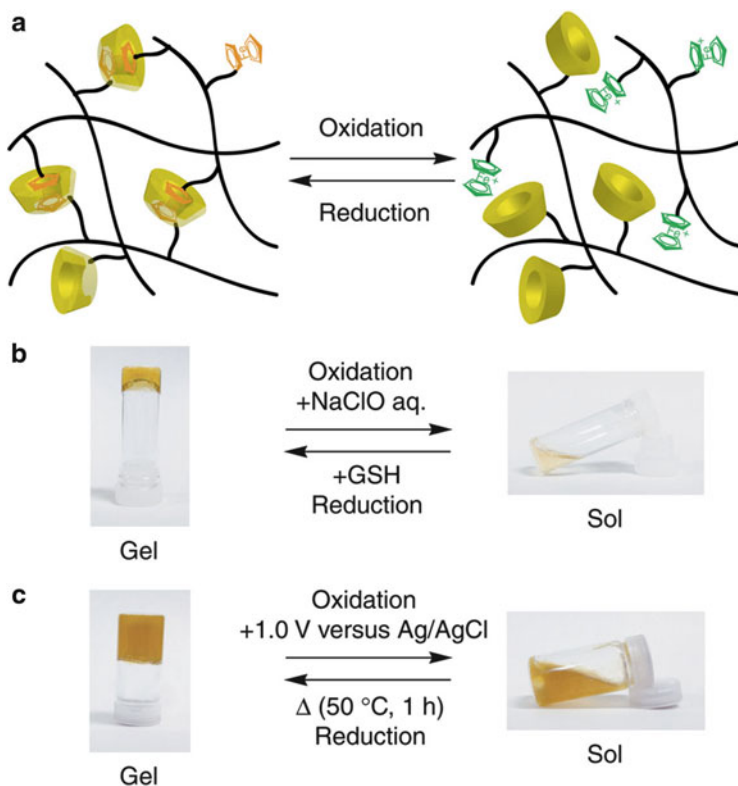


Fig. 36 Supramolecular gel based on inclusion complexation (a, left) can be switched to a sol state (a, right) either using chemical reagents (b) or electrochemical reactions (c) (reprinted by permission from Macmillan Publishers Ltd: Nat Commun [353], copyright 2011)

nanoparticles (mixed concept; refer also to section “Electrochemically Induced Complexation of Gels”) [352]. Thus, a sol–gel switching was possible. Similarly, mixtures of poly(acrylic acid) with either cyclodextrin or ferrocene end groups gave redox-sensitive self-healing materials (Fig. 36) [353, 354]. The strength of the gel could also be modulated by redox reactions [355].

Cationic, anionic, and nonionic polyferrocenylsilane-based hydrogels were recently introduced [356–358]. In the case of anionic, permanently charged hydrogels, the electrochemical oxidation to ferrocenium imparts a polyampholytic behavior together with a color change. In addition, oxidation leads to shrinkage of the gel and to a loss of elasticity; upon reduction, the former properties could be reached reversibly.

Other Examples

Biorelated electroactive gels were reported for gelatin, grafted with aniline pentamers [359, 360]. Using chitin-based polyelectrolytes, gelation was dependent on the pH and the valency of counterions, which could both be manipulated electrochemically. This behavior was applied for stimulated drug release [361]. Electroactive poly(hydroquinone) forms hydrogen bonding to chitosan under formation of electroactive hydrogels [362]. Interestingly, the formation of these redox-sensitive hydrogels is easily achieved by mixing hydroquinone with chitosan in the presence of air. However, little is known on the morphological changes during electrochemical redox.

Another application of quinone-based hydrogels and its nanotube composites ranges into actuating purposes [363]. Analogously, conducting composite hydrogels made of carbon nanotubes and poly(*N*-isopropyl acrylamide) provide a way to heat the samples by current flow through the hydrogels. At the same time, the gels shrink due to their thermoresponsiveness, as electrochemical reactions are less dominant in this case [364]. Other conducting/electroactive hydrogels were achieved using polylactide and tetraaniline-containing block copolymers [365], employing possible stereocomplexation [366].

Electrochemically Induced Complexation of Gels

Size changes and actuating abilities are reported for poly(acrylic acid) (PAA) gels attached to electrodes [367, 368]. Movable copper ions are reduced, leading to a decomplexation with PAA and to a concomitant swelling. Macroscopically, a bending and stretching motion was observed. The same switching principle holds also for photoelectrochemical switching (not real electrochemical switching), where TiO₂ particles induce the reduction of the copper/silver ions upon illumination [369, 370].

For an improvement in switching time, the switchable copper counterions were replaced by an electrochemically induced change in pH. Freely moving hydroquinone can be oxidized at the electrode under release of protons. A concomitant protonation of PAA lead to its shrinkage [371]. Taking protons as complexants, the dissolution of a gel based on hydrogen bonding between poly(methacrylic acid) and poly(ethylloxazoline) is influenced by pH changes induced by electrolysis of water [372]. At the same time, insulin release from the gel can be controlled by an electric current.

In another example, polyanionic species can give a hydrogel in the presence of complexing iron species. However, the mechanical properties of the hydrogel are very dependent on the oxidation state of the iron ions, leading to reversible electrochemical switching of the gel's mechanical properties (Fig. 37) [373].

For more applications of polyelectrolyte gels, please refer to a recent review [374]. In the rest of this section, some special aspects are addressed that can lead to application of the hydrogels as actuators.

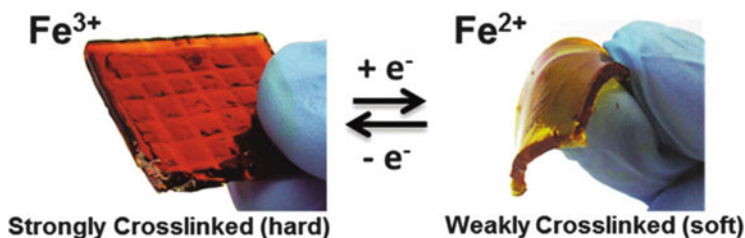


Fig. 37 Changes in the mechanical properties of a hydrogel film induced by electrochemical means (reprinted with permission from [373]. Copyright 2012 American Chemical Society)

As early as 1965, platinum nanoparticles were introduced into a PAA-based hydrogel, which becomes (at least partly) electronically conductive. The electrode protruded into the network. Upon electrolysis of water, either protons or hydroxide ions were formed under gas evolution, which led to a shrinkage or expansion of this hybrid hydrogel [243].

There has been some debate on the swelling/collapse of polyelectrolyte gels in electric fields in general [375–377]. One report was given for poly(acryl amide) gels in acetone–water mixtures [378]. The influence of the electric field on the polymeric charges and the forced collapse was accounted for; however, later it was realized that other effects also play a role [379]. In particular, the application of a potential leads to current flow and changes in local pH [245], as reported previously [243, 380]. However, pH changes cannot explain all the observed phenomena. This indicates that the underlying principles are still not fully understood [381], although these hydrogel actuators have been optimized (Fig. 38) [382, 383] and different models have been invented [384]. The electrical, active transport of a gel polyelectrolyte/(surfactant) complex (gel walker) was reported long before the discovery of the peristaltic movements of gels (refer also to Fig. 33) [385, 386].

An interesting example is given for metallo-supramolecular polymers, which gelate under certain conditions [387]. By electrode reactions, the gel and sol states can be switched reversibly (Fig. 39) [210]. Even silk fibroin solution can electrogelate when the local pH is altered electrochemically [388].

Please refer also to the examples of the mixed switching concept mentioned in the section “Metal-Coordinating Gels” (e.g., [352–355]).

2.3.3 Microgels

Redox-sensitive microgels are rare, especially when it comes to electrochemical switching. Thus, examples for “chemical” switching are given first. The crosslinking degree is often modulated by chemical redox [389]. As already mentioned, disulfide bridges can be used for reversible crosslinking [390–394]. This chemistry was even used for bond formation between different microgels [395]. Other cleavable crosslinkers that can be cut by oxidation (e.g.,

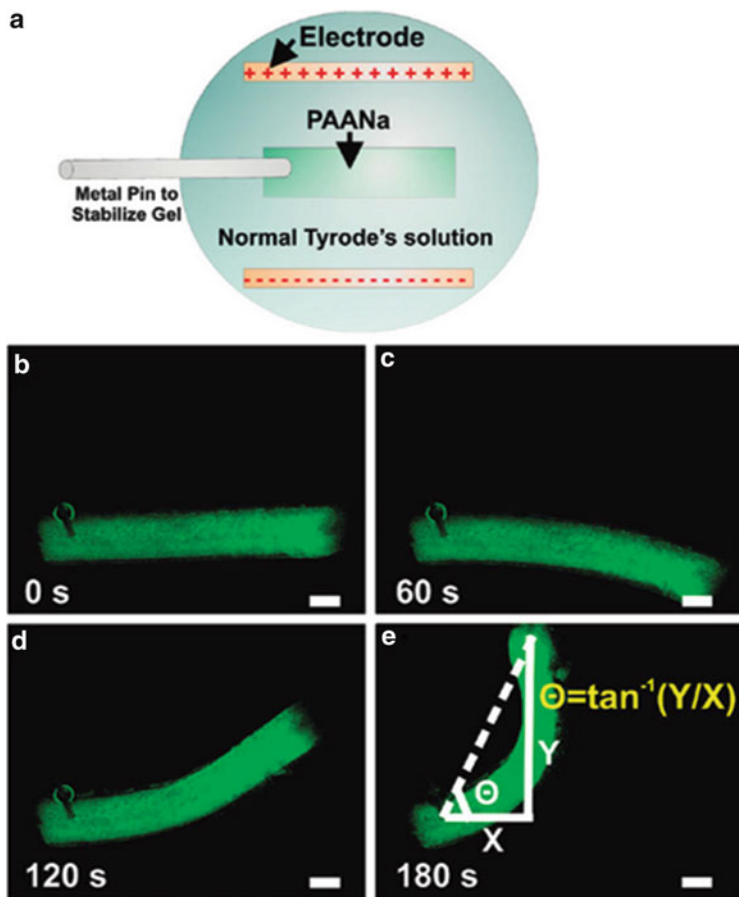


Fig. 38 (a–e) Bending of a porous poly(acrylic acid)-based hydrogel film (porosity was increased by removing sacrificial, embedded colloids) in an electric field (reprinted with permission from [382]. Copyright 2010 American Chemical Society)

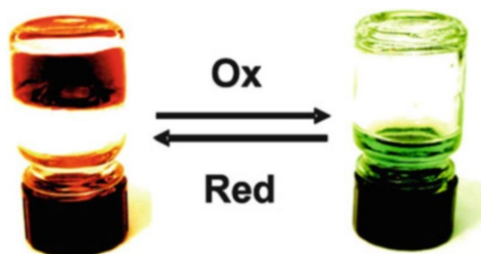


Fig. 39 Sol–gel transition for a metallo-supramolecular polymer using a bis-terpyridine linker (reprinted with permission from [210]. Copyright 2009 American Chemical Society)

N,N'-(1,2-dihydroxyethylene)bisacrylamide) are known. The periodate-mediated cleavage was used for the generation of hollow microgels and yolk/shell structures [34, 396]. After cleavage, the linear chains can penetrate the shell, leading to hollow particles.

As examples of microgels with a constant degree of crosslinking, poly(ferrocenylsilane)-based gel particles could be oxidized, which yielded an electrostatically driven attachment of silica nanoparticles [397, 398]. Larger micrometer-sized poly(ferrocenylsilane)-based microspheres were prepared using microfluidics [399].

Considering the Belousov–Zhabotinsky reaction, the same oscillating mechanism that was utilized for macroscopic gels was also applied to microgels [400, 401]. The viscosity of the system cycles periodically. The same authors synthesized microgel particles with sites of both complexed ruthenium salts and viologen units. Whereas the ruthenium complex can be photooxidized under viologen reduction, the viologen acts as an acceptor and redox mediator. It returns to the dicationic state after providing electrons at a platinum surface for hydrogen evolution [402].

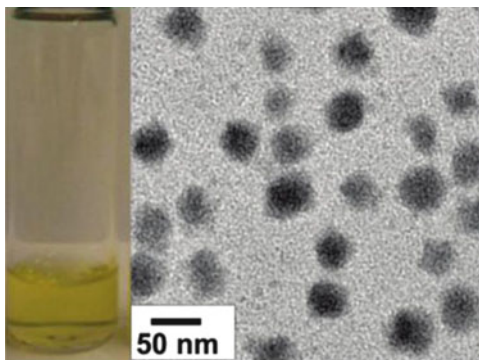
Reports on an electrochemical addressing of microgels are very rare. Poly(vinyl pyridine)-based microgels show a pronounced swelling at low pH, which can be adjusted by electrochemical means [403]. Electrochemical injection of charge into a redox-active microgel is dependent on different parameters (size of microgel, location of redox-active site, etc.). Still, electroactivity was proven for two types of microgels with redox-active moieties along the network [404, 405]. For example, the polyferrocenylsilane backbone was decorated with vinylimidazolium residues, promoting a poly(ionic liquid) behavior (Fig. 40). Hence, ion exchange led to remarkable changes in solubility [404]. However, only a little is known on the changes in morphology upon electrochemical switching. Electrochemical addressability of microgels containing ruthenium complexes has also been reported; leading to an electrogenerated chemiluminescence [405].

After addressing free-standing gels and gels in dispersion (microgels), there is a strong overlap with the next section, where thin films of, e.g., gels on electrodes, are discussed (typically in the size range of tens to hundreds of nanometer).

2.4 *Thin Films: Modified Electrodes and Membranes*

The topic of thin films, active coatings, sensor electrodes, and electrode modification for energy storage has been a very active branch of electrochemical research in the past [406–409]. Abundant examples are reported on this subject in the current literature [73], including immobilized redox-active proteins [410]. Therefore, this section cannot give a deep review on this topic, but will mainly emphasize the issues that are related to those addressed so far (Sect. 2.1). This section provides a possible starting point for entering this area of research more deeply. A discussion on thin films made of electrochemical polymerization of active monomers (e.g., aniline) will be only briefly covered here. Most products of these electrochemical

Fig. 40 Redox-active polyionic liquid microgel based on poly(ferrocenylsilane) in dispersion (*left*) and a TEM micrograph thereof (*right*) (reprinted with permission from [404]. Copyright 2012 American Chemical Society)



polymerizations are sparingly soluble [411] but electron-conducting in doped states (e.g., polyaniline, polypyrrole, and polythiophene) [412–418]. Then, such or other electrode systems provide switchable wetting and surface energy (“electrowetting”), which can be traced back in some cases to reorientation of amphiphiles on the surface [419]. There will be an encounter with this switchable surface energy in some of the cases discussed below, but this review cannot give an overview on these applied voltage-dependent surface and wetting effects (e.g., refer to [420, 421]).

Regarding preformed polymers, Sect. 2.1 mentions different examples where soluble polymers are prone to form thin films on electrodes upon electrochemical switching. These examples are not listed here again, but the reader is referred to Sect. 2.1. Here, the main interest is in permanent films or gel layers, where electrode processes change their interior structure. This might be accompanied by an exchange of solvent and solutes. Importantly, the electrochemistry of thin films can take place at rather short switching times by directly setting the local concentration of the redox partners with help of the electrode potential. In contrast, time-consuming bulk electrolysis is needed for an efficient manipulation of reasonable sample sizes for the other examples mentioned before.

2.4.1 Electrochemically Induced Complexation

After having assessed the permeation of small solutes through different polymer layers [422], the electrochemically induced complexation will be discussed again. Polymer- and polyelectrolyte-modified electrodes and supramolecular polymers on top of electrodes have been reviewed recently [423, 424].

Here, we will consider changes in the accessibility of electrodes to electroactive substances by switchable polymer films, which are not directly redox-sensitive. A gating of hexacyanoferrates could be modulated by the presence of cholesterol, which complexes the collapsed poly(vinyl pyridine) matrix (hydrophobic at the pH used) [425]. The preparation of this membrane leads to pores that are filled upon complexation with cholesterol. At the same time, the accessibility of the electrode

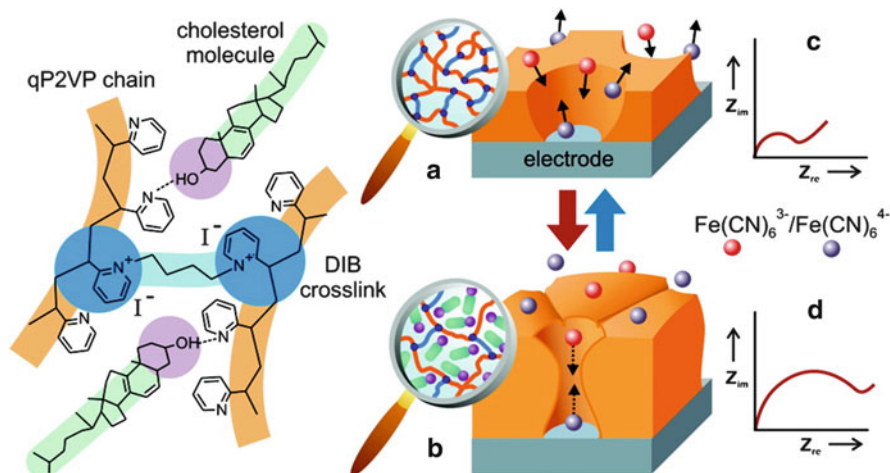


Fig. 41 Swelling of a crosslinked poly(2-vinylpyridine) film (*qP2VP*), which is partially quaternized with diiodobutane(*DIB*) crosslinks, on top of an electrode in the absence (*A*) and presence (*B*) of cholesterol. Graphs *C* and *D* show the related changes in impedance as a result of changes in the pore size (reprinted with permission from [425]. Copyright 2007 American Chemical Society)

towards the probe is reduced (Fig. 41). Hence, the addressability of redox probes in solution is connected with the porosity of thin insulating films [426].

Porous structures were modified with polymers and the ion transport of differently charged probes was investigated, leading to possible ion-exclusion for polyelectrolyte brushes [427].

Introduction of the thermosensitive component poly(*N*-isopropyl acrylamide) (PNIPAM) allowed a switching of electrode accessibility with hexacyanoferrates with temperature (film formation by interpolyelectrolyte complexation of oppositely charged PNIPAMs within a layer-by-layer approach; see also below) [428]. Similarly, electrode reactions could be modulated by the assemblies of PNIPAM with clay platelets [429]. The close packing of poly(vinyl pyridine) chains, which act as chelating agents for other metal ions, prevented the efficient electron transfer of hexacyanoferrates [430]. Such polymer films on top of electrodes can be prepared by electrochemically triggered polymerizations [18, 431–434].

The direct complexation of polycation films with metal salts and the self-exchange within thin poly(vinylpyridine)/metallate complexes was investigated using the rotating disk electrode technique [435, 436]. Both for iridates and ferrates, the electron conduction within the polymer–complex film is rapid, providing an experimental window to the electron transfer at the film–electrolyte interface. There was some debate on the electron transport mechanism (electron hopping versus ion mobility) and charging mechanism of these films, which require charge neutrality during electrochemical switching (either by expulsion/uptake of electroactive species or by penetration/release of small counterions from the electrolyte) [437–441]. An ejection of hexacyanoferrates upon switching was detected

using a scanning electrochemical microscope [442]. The actual mechanism seems to be dependent on the degree of loading with electroactive ions [440]. Interestingly, there are redox couples where the species with lower charge number interacts strongly with the cationic polyelectrolyte species (e.g., $[\text{IrCl}_6]^{2-}$, which is bound more efficiently than $[\text{IrCl}_6]^{3-}$; this unexpected course is also known for $[\text{Fe}(\text{CN})_6]^{3-}$ with respect to $[\text{Fe}(\text{CN})_6]^{4-}$; see the discussion in the section “Electrostatic Attraction” in Sect. 2.1.2). This selectivity leads to ion rectification [443], as seen for e.g. rotating disk electrode experiments [438, 444–447].

Other investigations on such complex films are known [448, 449]. The electroactivity in nonpolar solvent is then very dependent on the water content of the organic solvent, which allows the construction of a water sensor [233]. Polyelectrolyte brushes [450, 451] attracted oppositely charged counterions such as ruthenium complexes or hexacyanoferrate to electrode interfaces [452–456], while the surface energy could be altered electrochemically (Fig. 42) [234].

Poly(ionic liquid) brushes with terminated ferrocene units acted similarly, while the interfacial resistance was probed by hexacyanoferrate [457]. Chemical and electrochemical switching of local pH at an electrode-grafted poly(vinyl pyridine) brush again allowed modulation of hexacyanoferrate chemistry (Fig. 43) [458]. Octacyanomolybdate was used as catalyst for the oxidation of ascorbic acid [459]. Even heteropolyanions (Keggin ions) could be entrapped in polymer films electrochemically [460]. Further, thermoresponsive or pH-responsive cationic copolymer films modulated the hexacyanoferrate or ferrocenedicarboxylic acid electrochemistry by temperature or variation of pH and perchlorate concentration, respectively [461–463]. Besides these complexes with cationic polyelectrolyte films, electroactive cationic counterions (e.g., the europium couple) interacted with anionic networks [464]. Similarly, copper ions within a PAA matrix [367] allowed the construction of actuators [465]. Besides these binary systems (polyelectrolyte/electroactive counterions), multiresponsive electrode modification with an interpenetrating gel network of poly(acrylic) acid and poly(diethyl acrylamide) allowed the modulation of hexacyanoferrate electrochemistry [368].

Ternary systems with interpolyelectrolyte complexes (IPECs) assembled in multilayers (layer-by-layer assemblies) were intensively investigated in the presence of electroactive counterions. Obviously, the multivalent counterions provide a small, mobile filler for uncompensated charges, especially in the presence of higher amounts of monovalent charge [466]. Hence, not all charges of one chain find their counterpart in another chain, probably due to steric reasons. Thus, hexacyanoferrates were entrapped into layer-by-layer assemblies on top of electrodes, acting as a redox mediator for the addressability of ferrocene derivatives in organic solvent [467]. These polar films are probably rigid due to the contact with organic media, although diffusional processes within the film were not current-limiting.

To increase the mobility, most of the work is related to hydrated IPEC multilayers in contact with aqueous solutions [468]. For weak polyelectrolytes, electrolysis of water at rather extreme electrode potentials can induce dissolution of the layer-by-layer assemblies [469]. In the presence of electroactive counterions, an odd–even modulation of the charge-transfer resistance of the hexacyanoferrate

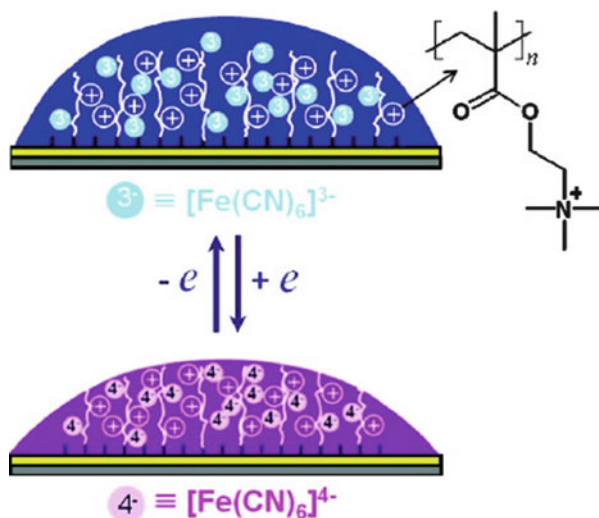


Fig. 42 The contact angle of water on an electrode can be modulated electrochemically by using a complex of hexacyanoferrate with a polycation brush, which is grafted from the electrode (reprinted with permission from [234]. Copyright 2008 American Chemical Society)

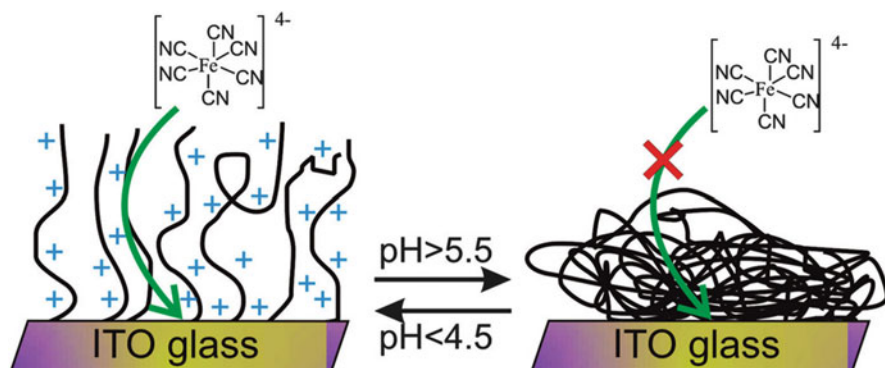


Fig. 43 Accessibility of an electrode can be modulated by pH (also by pH changes induced by water electrolysis) when using pH-responsive poly(vinyl pyridine) as grafts (reprinted with permission from [458]. Copyright 2010 American Chemical Society)

couple was detected with layer number using electrochemical impedance spectroscopy [470]. A repulsion/attraction between the surface charge and the hexacyanoferrate charge was discussed. Interestingly, ferricyanide was enriched within the multilayers, but diffused faster within the layers than the ferrocyanide (Fig. 44) [466, 471–473]. On some occasions, cationic species such as $[\text{Os}(\text{bipy})_3]^{3+}$ or $[\text{Ru}(\text{NH}_3)_6]^{2+}$ can be expelled from the film, indicating an excess of positive charges within the films [474, 475]. Using impedance spectroscopy, information on the capillary structure within the layers could be obtained [476].

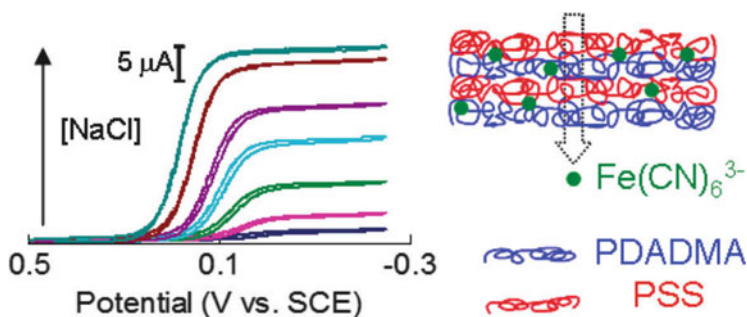


Fig. 44 NaCl increases the permeability of polyelectrolyte multilayers for the redox-active ferricyanide, as seen by rotating disk electrode measurements (reprinted with permission from [471]. Copyright 2011 American Chemical Society)

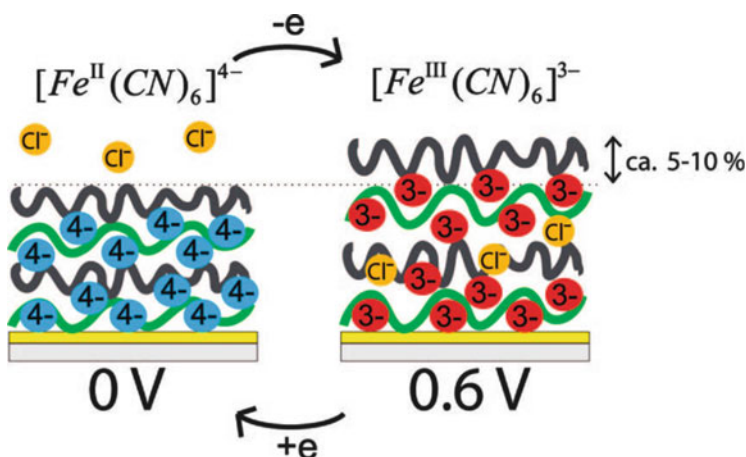


Fig. 45 Electrochemically induced swelling of interpolyelectrolyte multilayers doped with hexacyanoferrates for actuating purposes (reprinted with permission from [237]. Copyright 2008 American Chemical Society)

The enrichment of anionic counterions inside the film was also found for systems in which the switching between the ferri-/ferrocyanide couple allowed the formation of an actuating device (Fig. 45) [237–239, 477, 478].

Hexacyanoferrates are the precursors for nanoparticles of compounds such as Prussian blue [479]. Hence, Prussian blue can be prepared inside the multilayers [480]. In another approach, the particles were prepared and co-assembled with a polyelectrolyte. The color and surface charge of these particles can be switched and, therefore, disassembly of the nanoparticle–polyelectrolyte multilayers is also feasible [481–483].

This multilayer approach was further developed for the release from vesicles for cell engineering [244, 326]. Also related, permeability changes in planar membranes could be also induced electrochemically, where poly(styrene sulfonate) was

complexed with ferrocene- or viologen-based surfactants [318, 319]. Interestingly, these principles could even be used in applications where platinum grids acted as a conducting support for the membrane. Hence, electrochemical manipulation of membrane permeability was successfully tested for hydrophilic fluorescent dyes.

Multilayers of boronic acid-modified polyacrylamide with a polycation gave a platform for interaction of redox-sensitive Alizarin Red S molecules, which gave covalent attachment in the form of a boronic acid ester, in equilibrium with the free dye [484].

Other electroactive coatings can be obtained by a slightly different approach, leading to biosensors. Redox-active enzymes were embedded, e.g., in layer-by-layer assemblies together with polyelectrolytes [485, 486]. This time, the interaction of the enzyme with the polymer was hardly altered, but the presence of substrates generated an electrochemical signal. Similarly, enzymes were deposited on electrodes together with polyelectrolyte-based micelles to yield another generation of biosensors [487]. Biosensor-related research in general is a very active branch of science (see also the section “Coordinating Metal Centers” in Sect. 2.4.2) [488–490].

2.4.2 Electrochemically Induced Solvation

Covalently Bound Redox Moieties

Viologen is again a prominent motif for inducing electrosensitivity to films [49, 491]. One of the first reports on polyviologen dealt with its complex formation with tetracyanoquinodimethane (TCNQ), leading to electron-conducting films due to the formation of charge-transfer complexes. Charge-transfer complexation of poly(dithiafulvene)s and poly(viologen)s led to a reduced electroactivity perpendicular to the thin films [108]. The photochromic properties of viologen-based films were reported [42, 492–495]. Thus, electrochromic devices were realized on the basis of poly(viologen)-based multilayers [496] or conjugated structures (Fig. 46) [497–499].

The catalytic properties were also investigated for these interpolyelectrolyte complexes [500–502], which could be used for the catalytic reduction of oxygen [503]. Viologen can also act as a mediator for the electrochemical conversion of other species [500]. Besides this electrochromism and electrocatalysis, the ion transport was investigated using radiolabeled calcium ions within multilayers of poly(styrene sulfonate) and polyviologens (Fig. 47) [504, 505]. A conjugated version of these polyelectrolyte-based layer-by-layer assemblies was accomplished, which led also to caffeine sensing [506].

The mechanical properties of such interpolyelectrolyte multilayers could be switched electrochemically [507], allowing their dissolution in the case of TEMPO-modified layer-by-layer assemblies [508]. Surface modification of, e.g., polyethylene with viologenic units is reported [509, 510], while viologen-containing membranes were created in order to influence the permeability using redox reactions [511, 512]. Such a gating membrane could be even constructed on

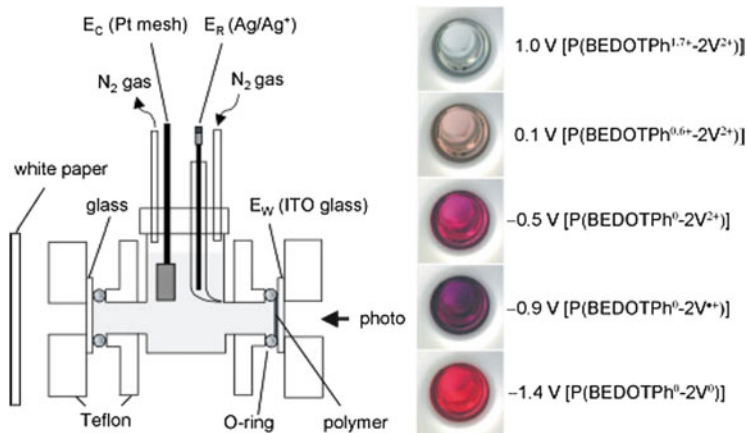


Fig. 46 Electrochromic device based on a transparent, polymer-coated electrode (with a thiophene- and viologen-based polymer) (reprinted with permission from [497]. Copyright 2005 Wiley)

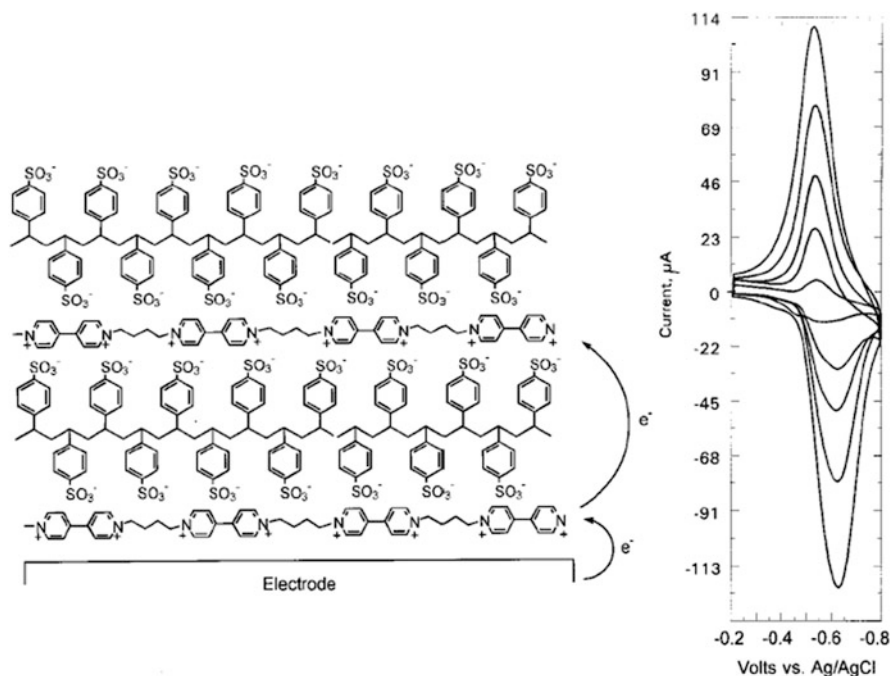


Fig. 47 Electroactive interpolyelectrolyte multilayers obtained from a poly(viologen) (a). Electron transport between the layers is facile, as indicated by the cyclic voltammograms (b), which were recorded for increasing layer numbers (reprinted with permission from [504]. Copyright 1998 Wiley)

the basis of viologen-containing stacked bilayers made of amphiphiles and polyelectrolytes [318, 513, 514]. The permeation reduction was caused by changes in fluidity imposed by the electrochemical reduction [318]. Polymers of viologen were embedded into nanopores and the electrochemical switching allowed a release of electrostatically bound guest entities [515]. A humidity sensor was realized by detecting swelling-dependent impedance changes in viologen films [516]. Films of quaternized 2,2'-bipyridine derivatives of viologen were also prepared electrochemically [517] and copolyviologen films produced by electrochemical polymerization [518, 519].

An oligoaniline-based polymer was used for electroactive suprahdrophobic surfaces [520]. Vitamin C could be detected on oligoaniline-modified polyurea [521] and a related phenothiazine-based brush could be used for data storage [522].

Tetracyanoquinodimethane-modified electrodes are described in literature. The protonation equilibria/counterion distributions upon reduction were addressed [523–529]. Their composite structures with silver are also reported [530].

Silicon surfaces could be grafted with TTF-containing conjugated polymers [531]. These electrodes benefited from attachment of the redox-active groups to a conducting polymer backbone.

Hydroquinone was introduced onto a grafted polymer, which was attached to a carbon black electrode [532]. Nitroxide-based polymers and their modified electrodes have been discussed as efficient components for battery applications (e.g., [10, 124, 533–537]). These polymers can even be used as active surfaces for electrochemical writing on the nanoscale using a current-sensing atomic force microscope [538].

The preparation of electrochromic, solvent-processable, thiophene-based polymers allowed electrode modification (e.g., upon spray casting) [539–541]. At the same time, the color of the film could be switched electrochemically. In addition, special derivatives of polyaniline [542] showed a multielectrochromic behavior (Fig. 48) [543, 544].

The “intramolecular” complexation of ruthenium complexes with a thiophene-based backbone could be modulated electrochemically [545]. On similar thiophene-based polymer electrodes, the ion flux and ion exchange into the polymer could be resolved by scanning ion conductance microscopy [546].

Composites of polypyrrole and polyols allowed the preparation of an electrode array that bent upon water sorption and generated a voltage output on piezoelectric contact [547]. However, this assembly was not directly based on electrochemical processes, but relied more on the dynamics of the composite generated by water vapor gradients, which was coupled to a piezoelectric output.

Besides rather conventional monomers [548–550], exotic monomers can also be electropolymerized [551]; e.g., electro-oxidation of magnesium porphine leads to polymer film formation [552]. Porphyrin derivatives can act as counterions in thiophene-derived electrode layers, which allowed oxygen evolution in sea water upon irradiation [553]. The preparation of films of pyrrole with anthraquinone side groups was successful [554]. Layer-by-layer assemblies could be fixed by electrochemical coupling of carbazoles [555]. The interaction of such organic electrode surfaces with biological molecules was investigated by force measurements using

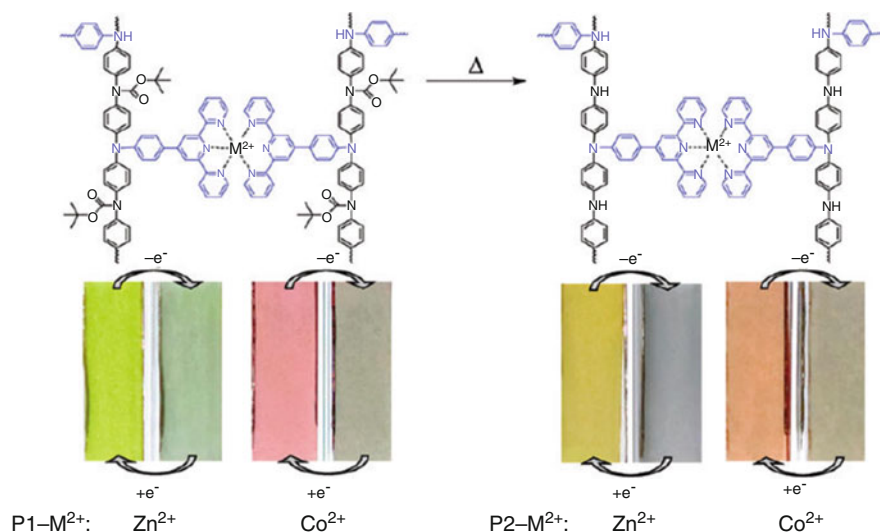


Fig. 48 Electrochromic films based on metal-complexed polyaniline derivatives (reprinted with permission from [544]. Copyright 2012 American Chemical Society)

atomic force microscopy (AFM) [556]. Sophisticated polymer structures could also be prepared by use of an electrochemical AFM setup. Here, thiophene derivatives (EDOT) could be polymerized into nanowires by electrochemical Dip-Pen Nanolithography [557]. A related investigation of hybrid electrode surfaces covered with polymer-grafted gold particles could be achieved using electrochemical AFM [558].

The electropolymerization of dopamine leads to conjugated polydopamine films, which can serve on electrodes as neural interfaces [559]. In some cases, the molecular design allowed the preparation of water sensors [549]. Also, β -cyclodextrin can be electropolymerized with the formation of thin films [560]. Electropolymerized pyrrole served for actuating purposes [561–563] and films of electropolymerized monomers could be modified with polymer grafts (Fig. 49) [564, 565].

A functional pyrrole and thiophene-containing monomer was equipped with an initiator for atom transfer radical polymerization (ATRP). The underlying conducting polymer layer allowed conformational changes in polyzwitterionic or polyampholytic brushes, leading to changes in hydrophilicity of the surface (Fig. 50) [566, 567].

Electrodes covered with conducting polymers could be site-selectively modified using a polymer-analogous click reaction [568, 569]. Gradient structures were also obtained on bipolar electrodes, which were covered with electrochemically reducing/oxidizing polyfluorenes [570]. Modified electrodes with deposited nanotube/graphene oxide [571, 572] conducting films and hydrogels were used, e.g., for drug release applications, which are reviewed elsewhere [37, 573–575].

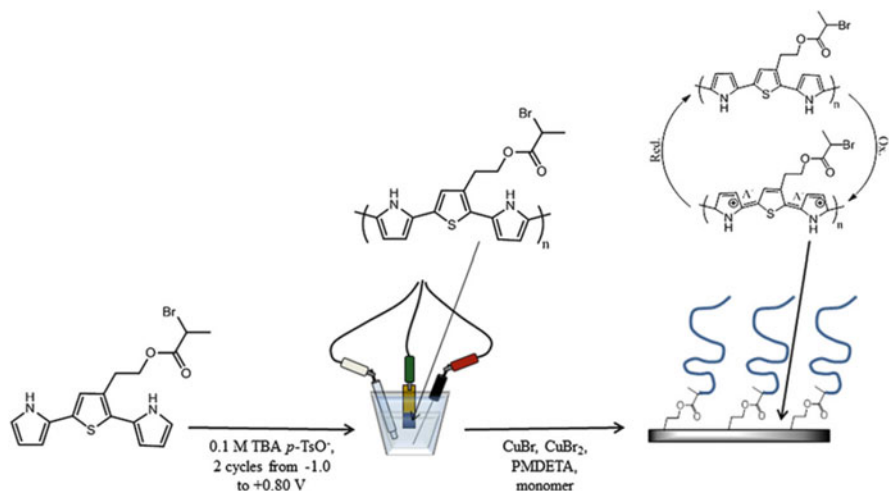


Fig. 49 Electropolymerization of an initiator for atom transfer radical polymerization; the resulting macroinitiator is employed for preparation of polymer-grafted electrodes with an electroactive polythiophene anchor (reprinted from Strover LT, Malmstrom J, Laita O, Reynisson J, Aydemir N, Nieuwoudt MK, Williams DE, Dunbar PR, Brimble MA, Trivas-Sejdic J (2013) A new precursor for conducting polymer-based brush interfaces with electroactivity in aqueous solution. *Polymer* 54:1305–1317. Copyright 2013, with permission from Elsevier [564])

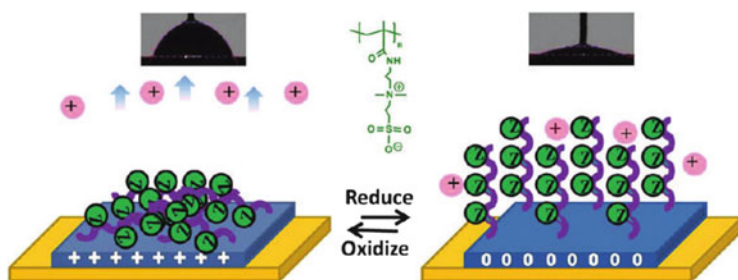


Fig. 50 Polyzwitterionic brushes change conformation in response to a change in the redox state of an underlying polypyrrole film; the water contact angle changes with applied potential (reprinted with permission from [566]. Copyright 2012 American Chemical Society)

Coordinating Metal Centers

Plasma-polymerized vinylferrocene was one of the first electrode coatings developed [576, 577]. Using a radiotracer method, the uptake/release of counterions into similar electrode coatings upon oxidation/reduction could be investigated [527]. Recently, two charge transport mechanisms were found in thin ferrocene-containing composite films (electron hopping and bounded diffusion) [578].

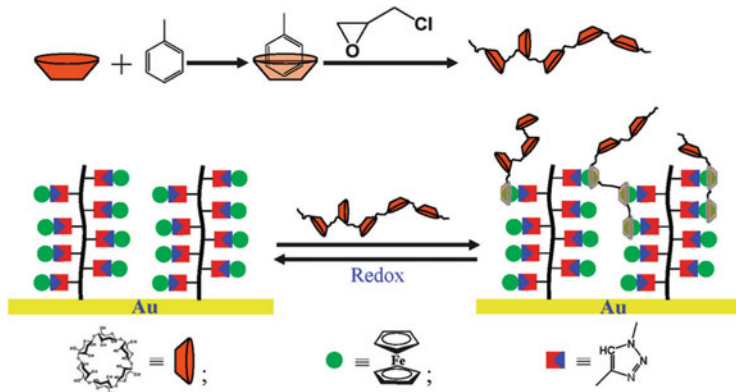


Fig. 51 Reversible attachment of a cyclodextrin polymer onto a ferrocene-modified electrode (reprinted with permission from [583]. Copyright 2010 American Chemical Society)

Ferrocene units were tethered to electrodes by telechelic poly(ethylene oxide) [579] and showed preferential adsorption of pyrene end groups to highly oriented pyrolytic graphite [580]. Ferrocene-based polymer brushes were grafted from conducting surfaces, which imparted special electrochemical behavior [581–584]; e.g., the interaction of these surfaces with cyclodextrin could be modulated by electrochemical means (Fig. 51) [583, 585].

Layer-by-layer assemblies of ferrocene-based polymers allowed the release of co-embedded guest molecules by electrochemical disassembly and the control of cell adhesion [586–591]. Covalently bound multilayers were used for sensing [592]. In accordance, complex multilayer sandwich-type electrode coatings were prepared by the Langmuir–Blodgett technique from ferrocene-containing and ruthenium-containing air–water interfaces [593, 594]. Besides a rectification behavior [595], the electrochemistry of the outer iron-containing layers was determined by the surface pressure during the deposition of ruthenium-containing inner layers. Charge could be stored in the outer layers, and discharge was possible by photoinduced electron transfer [596]. Other advanced electrode modifications with ferrocene are known, e.g., liquid-crystalline ferrocene-based thin electrode films [597]. Also, electron transport via ferrocene coatings on the interior of defined pores is very dependent on the spacer between the ferrocene unit and pore wall. Pores were established in block-copolymer thin films on gold electrodes [598]. The accessibility of pores could be modulated by the oxidation state of the ferrocene-containing polymers [599].

Thin hydrogel films made of ferrocene-modified poly(ethylene imine) with embedded enzymes can act as biosensors for glucose [600, 601]. Similarly, other glucose-dependent biosensors have been constructed [602–604]; e.g., a poly(vinylferrocene)–enzyme composite deposited on an electrode acted as a mediator for glucose oxidation [605–610]. Immunosensors were realized on glassy carbon electrodes by ferrocene-dendrimer-modified antibodies, which quench electrochemiluminescence [611].

The friction and the adhesion forces of ferrocene-containing polymer films was investigated as a function of the oxidation state using electrochemical atomic force microscopy [612].

Thin films of polymeric ruthenium complexes were constructed that could – depending on the ligand chemistry – show reversible or irreversible electrochromism [613, 614] or electrogenerated chemiluminescence [615].

Bound ruthenium complexes within multilayers allowed the examination of charge hopping, showing modulated electrochemistry that depended on the outermost layer [616]. Ruthenium complexes were also used as mediators for the electrochemical reaction of hexacyanoferrates. By use of the hydrodynamically modulated rotating disk electrode technique, the electrochemical redox of the metallates could be resolved from the redox processes occurring in the films [617–619]. Other complexes were also oxidized by a mediated electrode process [620, 621]. A glucose sensor was developed using an osmium bipyridine-containing polymer coating [622, 623]. Not only poly(vinyl pyridine)-based polymers were used; poly(allylamine) complexes containing osmium are known for allowing a redox-driven swelling in multilayers with enzymes or other polyanions [624–630]. Poly(vinyl imidazole) acted as an efficient anchor for the ruthenium complexes [631].

Metal complexes with, e.g., phenantroline derivatives, could be (electro-) polymerized to form electroactive films [203, 632–637]. Polymeric complexes of nickel allowed a switching of the mechanical properties of the film according to the redox state and the presence of barium ions [638]. Dissolution of sacrificial metal electrodes into electrolytes containing chelating polymer provided the desired electroactive films [639, 640]. Even mixed metal polymers (containing, e.g., Pd and Cu) have been constructed, which are very useful for catalytic purposes [194].

3 General Conclusion

In conclusion, it can be anticipated that new effects and interesting applications can be found for the huge number of uninvestigated patterns of electrochemical manipulation of polymers. In particular, the exploration of the numerous polyelectrolyte–counterion combinations that have not been addressed so far by electrochemical means, might open up new possibilities. Charge-transfer complexation might also gain importance. Catalysis by electrode films and control of micellar morphologies are just two further aspects that merit future attention. A challenge for researchers is the improvement of the time scale for bulk conversions, while electrochemical transformation of thin films allows rapid switching. As a possible future aim, feedback-controlled rheology manipulation as part of damping devices might be possible using electrochemical means, if the switching times approach the millisecond range. The addressability of all redox active sites needs to be verified, especially for larger gel samples or polymer self-assemblies. Otherwise, a part might be hidden in the interior of the structure. In these cases, the use of redox mediators might help to overcome the problem. In some cases, the use of chemical

Table 2 Typical organometallic, redox-active units used for electroactive polymers

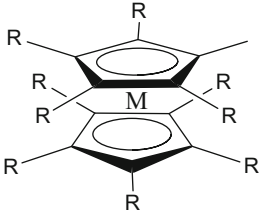
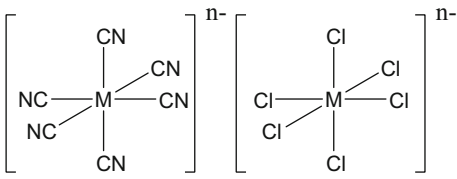
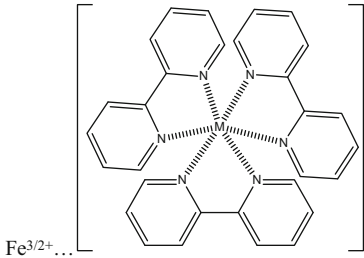
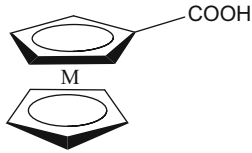
Name	Structure
Metalloenes	
Complexes with terpyridines, phenanthrolins, etc.	And related structures with nitrogen–metal interactions
<i>R</i> indicates a proper location for binding to a polymer	

Table 3 Typical small electro-active species in interaction with polymers and polyelectrolytes

Name	Structure
Metallates	
Metal cations	
Metallocenes for complexation	
Protons	H^+

redox might then be the method of choice, allowing redox manipulation without considering the distance and the effective electron transfer between electrode and electroactive sites. The chemical stability of, e.g., ferrocene-containing polymers after oxidation, is also an issue that needs to be addressed in order to establish long-lasting, reversible systems with numerous switching events. However, there is no common optimum approach to all problems in the field. In general, a number of different redox-active groups have been shown to be suitable entities (see Tables 1,

2, and 3) but the choice of switching principles needs to be clarified for each specific demand. In some cases, it can be beneficial to uncouple electron transfer and polymer by use of smaller electroactive, complexing entities (especially when the direct addressability is reduced). However, the overall concentration and the ratio between polymer and electroactive species needs to be adjusted to optimize the complexation equilibrium and, hence, the switching abilities. Furthermore, such binary systems are more difficult to recycle because the smaller ions are more volatile and the ratio of the two components might change in some application (e.g., during dialysis). If these points are detrimental, then direct attachment of redox-active sites might be preferred. As a final perspective, redox-sensitive hydrogen bonding might become important [641–645]. This review will hopefully stimulate further research in this interesting area.

Acknowledgment The author gratefully acknowledges the fruitful discussions with André Laschewsky, Ian Manners, and Mario Tagliuzucchi, the proof reading by David J. Skinner, and the financial support of the German Research Foundation (DFG) within SFB 985 (Functional Microgels and Microgel Systems).

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