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Measurement of the Inclusive Jet Cross Section with the ATLAS Detector at the Large Hadron Collider



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Caterina Doglioni

Measurement of the Inclusive Jet Cross Section with the ATLAS Detector at the Large Hadron Collider

Doctoral Thesis accepted by
the University of Oxford, UK

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ISSN 2190-5053

ISBN 978-3-642-30537-5

DOI 10.1007/978-3-642-30538-2

Springer Heidelberg New York Dordrecht London

ISSN 2190-5061 (electronic)

ISBN 978-3-642-30538-2 (eBook)

Library of Congress Control Number: 2012941428

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“Uncertain ways unsafest are”

— John Denham

Supervisor's Foreword

The aims of particle physics have been two-fold: to discover the fundamental constituents of matter and to explore the nature of the forces that bind them. In the course of this endeavour we have extended our quest such that we now seek to understand matter and forces not just as they are on Earth today but also as they were at the beginning of the Universe and how they evolved to produce today's physics. The Large Hadron Collider at CERN, Geneva is the latest and greatest both in terms of energy and in the size of the particle detectors—in a series of particle accelerators that have been used in this quest. We have come far in our search for understanding. We now know that matter is composed of neutrons, protons and electrons and that the protons and neutrons are composed of quarks, anti-quarks and gluons (collectively called partons). We have long understood the force of electromagnetism which binds the electrons to the atomic nuclei and we have developed its quantum theory—quantum electrodynamics. Now we also understand much about the strong force quantum chromodynamics—which binds the partons inside the protons and neutrons. We can see the partons by means of the jets of particles they give rise to as they leave the region of the particle collisions which we study at machines like the LHC. We have also discovered particles which are not part of everyday matter, particles which would have existed at the beginning of the universe, but which decay. We can re-create these in the collisions at particle accelerators. By studying these ephemeral particles we hope to trace the history of the universe. Our present theories lead us to believe that there is something new just around the corner which should be accessible at the energies made available at the LHC. When each new accelerator is commissioned one of the first interesting processes to look at is the production of jets with high transverse momentum in relation to the beam direction. This thesis represents a first study of such data from the ATLAS experiment at the LHC. However, the discovery potential of high transverse momentum jet data must be treated with caution. In the mid 90s such data at lower energies, from the Fermilab Tevatron collider—were the subject of much excitement since the current theoretical predictions could not describe them and thus a new phenomenon was implied. However, before jumping to conclusions one must examine the accuracy of

theoretical predictions. Since the Tevatron jet data were produced by the collisions of protons with anti-protons one must be sure of our understanding of the behaviour of partons within the proton and anti-proton. This means we must understand how the various types of partons share the momentum of the proton or antiproton. This field of study called parton distributions functions—has advanced greatly in recent years. We now know that a small change in the gluon momentum distribution can explain the Tevatron jet data without recourse to new phenomena. In fact the study can be turned around and the Tevatron jet data have been used to improve our knowledge on the gluon momentum distribution. The LHC jet data study in this thesis probes jets with transverse momentum up to one TeV (tera electron volt = 1,012 eV). New phenomena are not expected at such energies, however the data can be used to further refine our knowledge of the gluon momentum distribution and to improve our knowledge of the coupling strength of the strong force—the analogue of electron charge for the electromagnetic force. This thesis already contributes to our knowledge in both these respects. In future the LHC jet studies will extend to 5 TeV and new phenomena should be revealed. The value of the present thesis for the future is in its careful presentation of the techniques needed to make these jet measurements, in particular in understanding and calibrating the energy scale of the jets such that we have a realistic evaluation of experimental uncertainties and thus a reliable estimate of the significance of new effects.

Oxford, April 2012

A. M. Cooper-Sarkar

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Chapter 1

Introduction

The analysis of the first ATLAS data collected from proton–proton collisions at a center-of-mass energy of $\sqrt{s} = 7\text{ TeV}$ at the LHC is an opportunity to test the Standard Model of particle physics to scales never reached before. The energy scale of the LHC is beyond any other particle accelerator: The theory has not yet been verified at these energies and the experiments will require tuning and calibration before being fully understood. With the beginning of data taking, processes which have previously been measured will serve as standard candles in order to test the Standard Model predictions with the new detectors, and physics processes that occur with a high frequency are used to test the cutting-edge technologies employed for the experiment, validate and improve data reconstruction techniques that had previously been tested only in Monte Carlo simulation. It is also through tests of the Standard Model that we may discover new physics: significant deviations from the Standard Model predictions in unexplored regions of phase space could be a sign of new, as yet undiscovered processes.

In Quantum ChromoDynamics (QCD), confined quarks and gluons from the proton–proton scattering manifest themselves as groups of collimated particles in the final state. These particles are clustered into physically measurable objects called *hadronic jets*. Jets are widely produced at hadron colliders and are the key physics objects for an early “rediscovery of QCD”.

Understanding the performance of jets starting from the very first data is crucial in the LHC environment. This thesis presents the measurement of the inclusive jet cross section using data collected in 2010 by the ATLAS detector, with a particular focus on the jet reconstruction and calibration techniques and on the estimate of the systematic uncertainty on their energy scale.

Experimental uncertainties need to be reduced as much as possible for effective data/theory comparisons, and this is achieved through detailed studies of jet reconstruction and calibration techniques. It is precisely this context in which this thesis has been developed: the performance of jets is the focus of the first part of the work on this thesis, while the second part of the thesis is centered on physics analysis and comparisons of data to theory.

After a brief introduction on the theoretical framework of the Standard Model of Particle Physics and QCD in Chap. 2, the ATLAS detector is described in Chap. 3. An extensive description of jet reconstruction techniques employed in ATLAS and of the calibration techniques used for the first 35 pb^{-1} of data collected in 2010 is presented in Chap. 4, while the measurement of the performance of the full jet reconstruction chain using standardised benchmark quantities in the ATLAS software is the focus of Chap. 5. Studies on the performance of jet reconstruction and calibration directly feed into the evaluation of the uncertainty on the jet energy scale (JES). This experimental systematic uncertainty is dominant in the measurement of the inclusive jet cross section: the core of this thesis is devoted to establishing the techniques for the estimate of the jet energy scale uncertainty delivered for the full 2010 dataset, described in Chap. 6. The JES systematic uncertainty has been estimated using a combination of data-driven techniques and an analysis of systematic variations in Monte Carlo simulations, and it is as small as 2.5%. In-situ techniques where the transverse momentum of the jet is balanced against that of a well-calibrated object are employed for validating the estimate up to 1 TeV.

The measurement of the inclusive jet cross section is reviewed in Chap. 7 and compared to theory. The kinematic range of this measurement spans more than 1 TeV in the central bins, and the jet cross sections falls by more than seven orders of magnitude in this range. The data and theoretical prediction are in agreement within uncertainties for the whole kinematic range. As detailed in Chap. 8, fits to the parton distribution functions (PDF) have been performed comparing the inclusive jet cross section data to theory. Although the PDF analysis performed within this thesis is preliminary and its main purpose is to serve as a proof of principle for future studies, improvements in the knowledge of the gluon density are observed thanks to the inclusion of ATLAS data, and an estimate of the value of the strong coupling constant is obtained.

Chapter 2

Theory Framework: QCD

The theoretical framework of particle physics is called the Standard Model (SM). The SM is a $SU(3) \otimes SU(2) \otimes U(1)$ gauge theory, describing the strong (QCD), weak and electromagnetic interactions respectively. It describes the interactions of the fundamental components of matter, quarks, leptons and gauge bosons, according to three of the four forces in nature. Measurements of the Standard Model parameters have confirmed the theoretical predictions to increasing precision, but evidence that new physics phenomena are needed to extend the knowledge of the fundamental components of matter could be found at the LHC.

This chapter outlines the theoretical framework that underlies the measurement described in this thesis, and the tools used to simulate hadron interactions for the theoretical predictions used for comparison with the measurement. An overview of the Standard Model in terms of the electroweak interactions is given in Sect. 2.1. A brief description of the Quantum Chromodynamics concepts used in this thesis follows in Sect. 2.2. Section 2.3 introduces the concept of jets as tools to obtain predictable and measurable quantities and the motivations to measure the inclusive jet cross section. Finally Sect. 2.4 outlines the theoretical and computational tools used for the simulation of hadronic interactions.

2.1 Introduction to the Standard Model

The Standard Model is the theoretical framework that describes strong, weak and electromagnetic interactions between fundamental particles (see [1–4] for a concise introduction and further references). It is a non-abelian gauge theory: the transformations of the symmetry group do not commute in the case of the QCD and weak groups. Gauge invariance, defined as the invariance of the theory under local transformations, is a fundamental property of the theory. Gauge invariance defines the particle content of the Standard Model (as shown in Fig. 2.1), as the quanta of the gauge invariant fields are identified with the five gauge bosons. These are the photon,

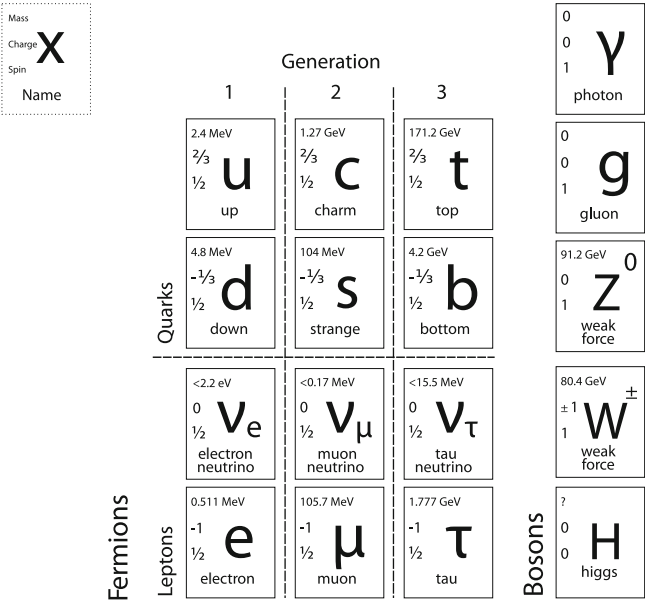


Fig. 2.1 The fundamental particles of the Standard model, sorted according to family, generation and mass. Figure from [7]

the gluon, the $W^{+/-}$ and Z and they act as mediators of the forces. A consequence of the non-abelian character of the theory is that the gauge bosons for the QCD and weak forces enjoy self-interactions. In addition to the gauge bosons, the Standard Model is composed of 12 fermions of spin 1/2, grouped in three lepton and three quark families. These are considered point-like, as there is no evidence of any internal structure of leptons or quarks to date. The mass of the Standard Model particles can be introduced using the Higgs mechanism [5, 6] through spontaneous symmetry breaking that leads to the appearance of a new boson. The Higgs boson has not yet been observed, and its discovery is sought by the general purpose experiments at the Large Hadron Collider.

2.2 Key Concepts in Quantum Chromodynamics

Quantum chromodynamics is the theory of strong interactions. Its fundamental actors are quarks and gluons, which are confined in the nucleon but act as free at sufficiently small scales (and high energies). The latter behaviour is called *asymptotic freedom*. The direct consequence of confinement is that free quarks and gluons are never observed experimentally, and their final state is a collimated shower of hadrons.

The development of QCD was posterior to that of QED: while the latter was highly successful in the mid-Sixties, no information about the components of the nucleons was available, and strong interactions were commonly described using general principles and the exchange of mesons [8] although the basis for theories that could eventually accommodate QCD had also been developed [9].

A framework called *Eightfold Way* [10] had been developed to organize subatomic baryons and mesons into octets. Its connection to an underlying pointlike structure of hadrons came after the so-called *heroic age* of deep inelastic scattering measurements interpreted using the parton model [11]. These experiments and subsequent interpretations showed that the probes scattered against pointlike, spin 1/2 constituents of the nucleons that are the quarks (some relevant papers are collected in Ref. [12]). The presence of spin 1 gluons was also inferred using kinematic considerations in terms of the total momentum shared by the quarks. The QCD equivalent of the electromagnetic charge for QCD is the *colour charge*: (anti)quarks can take three (anti)colours (red, green and blue and their counterparts) while the eight interacting gluons exist in a superposition of colour and anticolour states.

SU(3) QCD was established as a theoretical framework for strong interactions only following the discovery of asymptotic freedom as a consequence of the theory's renormalisability [13]. These concepts will be explored further in the following section. Only a brief overview of the concepts and formulas directly used in this thesis is given, while more material is available in the references quoted.

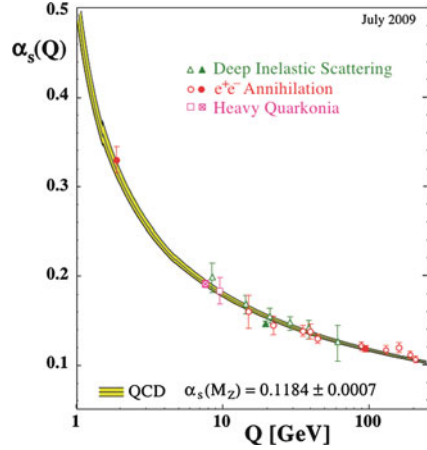
2.2.1 Asymptotic Freedom and Confinement

Gluons in QCD are massless, therefore the theory contains divergences that manifest themselves as infinite results in theoretical cross section calculations. A renormalisation procedure is necessary in order to allow the theory to give meaningful (non infinite) results that can be compared to the experimental measurements. This is achieved by effectively subtracting these infinities through counterterms embedded in so-called *bare* parameters that are not measurable. The renormalisation procedure introduces a correction to the 'renormalised parameter' depending on the renormalisation scale μ_R (that can be interpreted as the scale at which the subtraction is made) and on the physical scale at which the measurement is made, taken as the squared momentum transfer Q^2 in the following. Imposing the independence of the final result (all orders of perturbation theory) from the renormalisation scale allows one to derive an explicit form for the renormalised parameter.¹ As an example of a renormalised parameter, the strong coupling constant is shown in Eq. 2.1:

$$\alpha_s(Q^2) = \frac{\alpha_s(\mu_R^2)}{1 + \alpha_s(\mu_R^2) \beta_0 \ln(Q^2/\mu_R^2)}. \quad (2.1)$$

¹ The dependence on μ_R is still present when truncating the theoretical calculation at a given order: this introduces an uncertainty on the theory predictions.

Fig. 2.2 2009 world average of running coupling α_s (from [16])



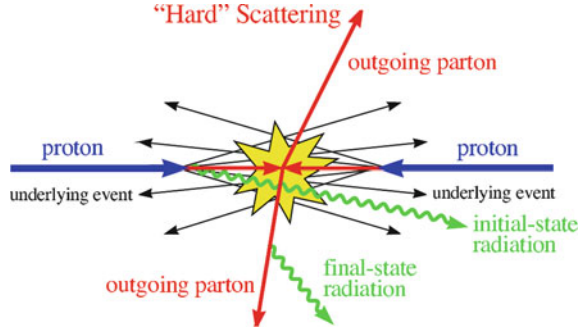
The coupling constant, initially scale-invariant, becomes a function of the scale of the process: the common terminology used is that the coupling constant *runs* with energy. The theoretical and experimental results for the running coupling constant α_s as of 2009 are shown in Fig. 2.2. Contrary to QED, where the coupling constant increases with the scale of the process (Q^2 in Fig. 2.2), gluon self interactions lead the β_0 function to be negative. The coupling constant therefore is sizeable at low Q^2 (leading to confined partons) and decreases as a function of Q^2 (leading to asymptotic freedom).

Asymptotic freedom and confinement are therefore deeply linked to the gauge structure of QCD that leads to gluon self interactions. This was demonstrated by Gross and Wilczek in 1973 [14, 15], and recognized with a Nobel prize in 2004.

Confinement and asymptotic freedom have relevant experimental consequences: quarks and gluons require interactions with high energy probes to be ejected from the nucleon, and they cannot be observed directly. What one detects instead of quarks and gluons are collimated showers of particles. These particles are the product of a series of steps, summarised as follows in the case of a hadronic collision event following Ref. [17] and pictured in Fig. 2.3:

1. Two hadrons (that can be seen as ‘bags of partons’ as in the parton model) collide with a large momentum transfer;
2. Two partons (called *incoming* partons) from the hadrons collide and produce the hard process, leaving behind other partons (*outgoing* hadron remnants) and the products of other secondary collisions (multiple interactions).
3. Since electromagnetic and color charges interact, the incoming and outgoing partons can radiate (e.g. a quark can radiate a gluon). These processes can be described using perturbative QCD as outlined in Sect. 2.2.2.
4. When partons are sufficiently ‘far’ from each other, the coupling becomes strong and confinement plays a part. Being a non-perturbative process, the behaviour of the color force in this situation cannot be described using first principles in

Fig. 2.3 Schematic representation of a parton–parton collision, leading to a $2 \rightarrow 2$ scattering event (from [18])



QCD. A simplified picture models the color force as that in a string with a linearly rising potential as charges become separated. The two extremities of the string are the opposite color charges. If the string is extended too much, it will break and create two additional color charges at the endpoints. If two opposite color charges are found close to each other, they will recombine and create a hadron that is observed in the final state. The creation of hadrons as a consequence of confinement is called hadronisation.

The products of the collision that are not directly identified with the hard products of the collision (hadron remnants, products of multiple parton interactions, radiation) are conventionally defined as *underlying event*. Further details on the simulation of these processes are given in Sect. 2.4.2.

2.2.2 Renormalisation, Scale Violations and Parton Distribution Functions

Asymptotic freedom allows QCD to be described using point-like constituents at sufficiently large energies. The first evidence of this behaviour was given by the SLAC-MIT experiments and interpreted by Feynman and Field using the so-called *parton model* [11]. On the other hand, the momentum scale introduced by renormalisation needed to be accommodated: the *improved parton model* was developed [19, 20]. Starting from these ideas, the perturbative evolution of the quark and gluon behaviour can be predicted separately from the soft, non-perturbative physics, allowing for theoretical calculation of QCD processes.

The differential cross section for lepton–hadron (lh) inelastic scattering (as in the SLAC experiments) can be parameterised starting from that of elastic scattering of fundamental particles. Equation 2.2 makes use of *structure functions* F that encapsulate the lack of knowledge on the nucleon behaviour, the kinematics of the scattering (in terms of the momentum transfer Q^2 and of the functions $f(y)$ and $g(y)$ that depend on the kinematics of the scattering). It also introduces the variable x that (at leading order) represents the fraction of hadron momentum taken by the struck quark:

$$\frac{d^2\sigma(lh)}{dx dQ^2} = \frac{1}{Q^4} (f(y)x F_1(x, Q^2) + g(y)F_2(x, Q^2)) \quad (2.2)$$

In comparison with the formula of the cross section for incoherent scattering, where each quark composing the hadron can be struck independently from the others, one finds that:

1. the structure function F_2 can be identified with the sum of the products of the quark charge squared e_i^2 and momentum distribution $xq_i(x)$ for all the quarks in the hadron. The $q_i(x)$ functions can be interpreted (at leading order of perturbation theory) as the probability densities of finding a quark with flavour i carrying a fraction x of the hadron momentum. The momentum distribution for a given quark or gluon is also called parton distribution function, or PDF.

$$F_2^{lh} = \sum_i^{N_q} e_i^2 x q_i(x) \quad (2.3)$$

The independence of the structure function from momentum transfer Q^2 at fixed values of x is known as Bjorken scaling.

2. the two structure functions are not independent if quarks have spin 1/2 (Callan-Gross equation).

The experimental verification of both approximate Bjorken scaling and the Callan-Gross relation in the measurement of the structure functions performed at SLAC shows that quarks in hadrons can be treated as quasi-free spin 1/2 particles. This forms the basis of the quark parton model. Other structure functions, defined as combinations of the quark PDFs, have been derived and measured in data (for a review, see Chap. 5 of Ref. [21]).

When integrating F_2 over all possible momentum fractions, only about half of the total hadron momentum is carried by quarks. Probability conservation requires that the area of the total momentum distributions in the proton is equal to unity (this requirement is also called *momentum sum rule*). This calls for the presence of gluons, later observed at the PETRA collider [22]. Gluons are responsible for quark interactions, since they are the carriers of strong force.

Conventionally, partons composing a hadron are divided between gluons, valence quarks and sea quarks. Valence quarks are responsible for the hadron's quantum numbers, while sea quarks are quark/antiquark pairs that can be generated thanks to quantum fluctuations.

Interactions among the partons lead to deviation from the naive parton model in terms of scaling violations, as shown in Fig. 2.4 where experimental points at the same value of x do depend on Q^2 . Intuitively, an increase in Q^2 can be seen as an increase in the resolving power of the probe: if the internal structure of the hadron can be probed at smaller distances, then the number of partons 'seen' increases given that gluons can produce quark/antiquark pairs and quarks or antiquarks can radiate gluons. The DGLAP formalism [24] models these interactions through *splitting functions*

H1 and ZEUS

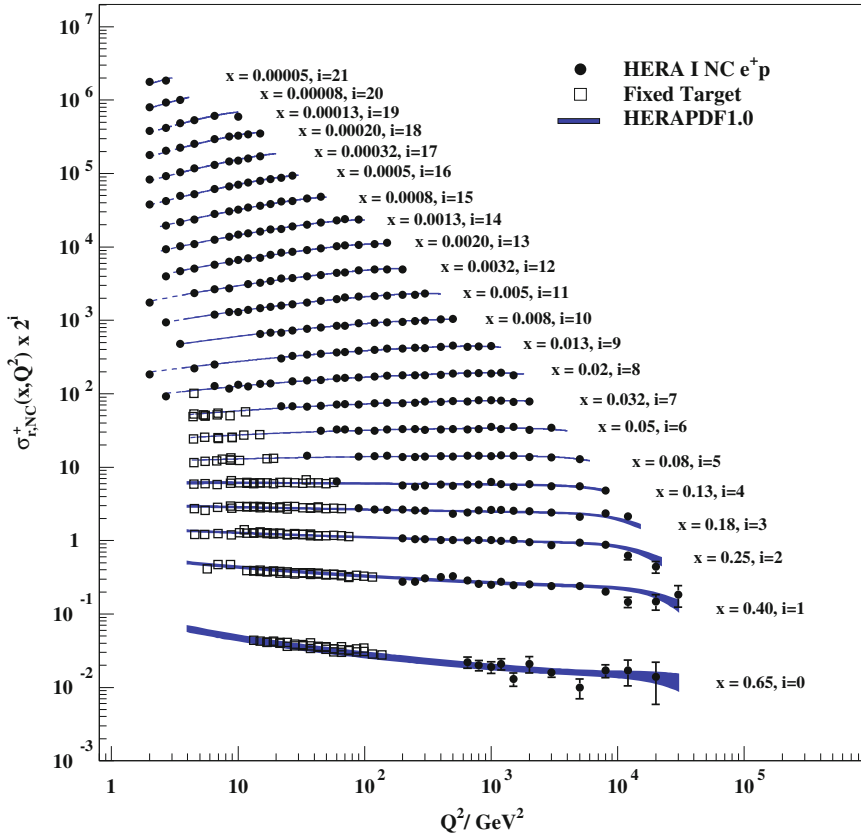


Fig. 2.4 Measurement of the structure function F_2 from the HERA experiments (Figure from [23])

and uses them to evolve perturbatively the renormalised parton densities that contain the Q^2 dependence. Given that quarks and gluons interact, the DGLAP equations that describe the change in quark, antiquark and gluon densities with Q^2 are coupled. The DGLAP formalism only gives information on the evolution of the PDF, not on their shape, which is derived using a combination of the experimental data on the structure functions and an initial analytical form, as discussed in the next section.

2.2.2.1 PDF Parameterisations

Structure functions such as F_2 , introduced in the previous section, can be measured experimentally. Parton distribution functions are not observable, but instead they are extracted using combinations of measurements for independent structure functions. *Sum rules* that constrain groups of PDF (e.g. on the consistency of the quantum

numbers of the hadron) can also be used to infer information on parton distribution functions. Details on the extraction of PDF parameters are given in Chap. 8.

One essential ingredient for the extraction of parton distribution functions is the parameterisation of the PDFs at a starting scale. Since such a parameterisation is not predictable from first principles in QCD, simple considerations on the behaviour of the functions and assumptions on their shapes need to be introduced. For nucleons, the valence quark PDFs should be allowed to peak around $1/3$ (in the assumption that the three valence quarks will share equal fractions of the hadron momentum), with an additional smearing due to the intrinsic parton momentum and the presence of sea quarks. One also expects that sea quarks will grow for lower values of x because of the divergent nature of QCD radiation and because gluons branch into $q\bar{q}$ pairs. Valence quarks will not have this behaviour because gluon interactions will not change the quark flavour. Furthermore, the parameterisations must respect the kinematic of the x variable: $0 < x < 1$. This leads to the following basic parameterisations for the PDFs:

$$xV(x) = Ax^B(1-x)^C \quad (2.4)$$

The behaviour at high x can also be predicted using what is called the *spectator model* [25], while the power-law behaviour for $x \rightarrow 0$ can be predicted using Regge trajectories [26].

The exact starting scale parameterisations used by the different groups that extract parton distribution functions vary, and those used for this thesis are detailed in Chap. 8.

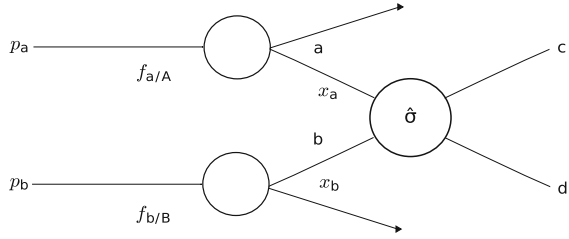
2.2.3 QCD Factorisation

One of the reasons of the success of QCD as a predictive theory is that the short-distance component of the scattering process described by perturbative QCD can be separated from the non-perturbative long-distance component: this result is known as the factorisation theorem [27]. Factorisation implies that perturbation theory can be used to calculate the hard scattering cross section, while universal functions such as the PDFs can be included a posteriori to obtain the full theoretical prediction. This is shown in Eq. 2.5 using the quantities in Fig. 2.5 for a hard hadronic process:

$$\begin{aligned} d\sigma_{hard}(p_A, p_B, Q^2) = & \sum_{ab} \int dx_a dx_b f_{a/A}(x_a, \mu_F^2) f_{b/B}(x_b, \mu_F^2) \\ & \times d\hat{\sigma}_{ab \rightarrow cd}(\alpha_s(\mu_R^2), Q^2/\mu_R^2). \end{aligned} \quad (2.5)$$

$f_{a/A}$ and $f_{b/B}$ are the parton momentum densities for the two interacting partons a, b with respect to hadrons A, B . Since factorisation is a byproduct of a procedure that absorbs singularities into physical quantities in the same fashion as renormalisation,

Fig. 2.5 Schematic illustration of hard hadronic process (Figure from [21])



a new scale μ_F^2 is introduced. When truncating calculations at a given order, the uncertainties due to the choice of scale need to be calculated in order to account for higher order terms.

Factorisation is related to the connection of inclusive hadronic observables to the underlying kinematics of the hard scattering of quarks and gluons, even when the final signature is influenced by parton distribution functions, fragmentation and hadronisation.

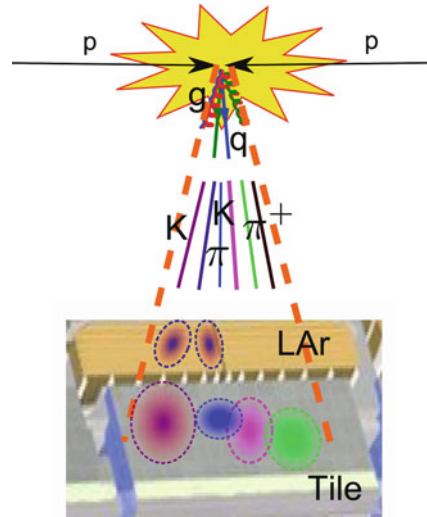
Factorisation and the comparison of experimental data from different processes indicate that the parton distribution functions are universal (i.e. they do not depend on the physics process). They can be derived from different physics processes and then used to derive full theoretical predictions independently from the calculation of the hard scattering cross section. The universality of parton distribution functions is confirmed by the comparison of the extraction of the structure functions from different processes as mentioned in Sect. 2.2.2.

2.3 Infrared Safety in Inclusive Processes: Jets

QCD cross sections diverge when calculating processes that involve the radiation of infinitely soft particles (infrared divergencies) and when radiation happens at small angle (collinear divergences). However, infrared divergences cancel by virtue of the Kinoshita–Lee–Nauenberg theorem [28, 29]. The practical consequence of this theorem is that observables that are inclusive enough to be insensitive to processes that distinguish between different numbers of partons are *infrared safe* and are not affected by infrared divergences. This is mirrored by the behaviour of experimental measurements: detectors have a finite spatial resolution and will not be able to resolve the products of separate partons.

If the objects that represent partons in the final state have a well defined behaviour both in theory and experiments, then the parton kinematics will be reflected in the kinematic of the final state object. This is where the definition of *jets* becomes indispensable for QCD measurements. A jet can be naïvely seen as a group of collimated particles generated by the hadronisation of a parton in the scattering process, as shown schematically in Fig. 2.6.

Fig. 2.6 Development of a jet: collimated particles from the hadronisation of quarks and gluons deposit energy in the ATLAS calorimeters, as described in Chap. 3



The concept of jets was introduced even before QCD was formalised (see for example Ref. [30]). A rigorous definition of jets for use as a connection between perturbative QCD and observable hadronic final state was introduced by Sterman and Weinberg [31]. Given that thanks to momentum conservation two partons with sufficiently high transverse momenta will be produced back to back, one can define a jet cross section by including all partons and their radiation if they are found in two cones of opening angle δ . These cones will only leave out a finite amount of energy ϵ . The definition of boundaries for the integration in terms of δ and ϵ allows for a finite cross section. A similar algorithm can also be used when dealing with the two collimated sprays of particles produced by the hadronisation of the partons. Different infrared and collinear safe algorithms have since been introduced, but what remains of this interpretation is the requirement of using the same jet finding algorithm in theory and experiment to be able to compare theoretical prediction to data. More details on the definition of jets and the algorithms used for jet finding are given in Chap. 4.

2.3.1 Inclusive Jet Production

The inclusive jet cross section is a standard tool to test perturbative QCD at hadron colliders, spanning over numerous orders of magnitude in terms of the jet transverse momentum. The experimental measurement does not present particular difficulties in terms of background subtraction given that jets are the predominant hard process produced in the hadron–hadron collisions.

Jets are dominantly produced through scattering of two partons (gluon–gluon, quark–gluon or quark–antiquark) leading to two partons in the final state.

As mentioned in Sect. 2.2, asymptotic freedom and factorisation allow the calculation of theoretical predictions for QCD processes using perturbation theory. The difficulty of theoretical calculations increases with the order of perturbation, corresponding to the number of partons involved. At leading order only two initial and final state partons are involved. For each increasing order of perturbation theory, one parton needs to be added to the $2 \rightarrow 2$ process, either as a ‘leg’ or as a ‘loop’, and the diagrams will interfere in the calculation. The theoretical prediction for the inclusive jet cross section is known at next to leading order (NLO) in perturbation theory.

2.3.2 Motivations to Measure the Inclusive Jet Cross Section

Jets are a necessary ingredient for many analyses at a hadron collider. Measuring the inclusive cross section requires a detailed understanding of jets and the related systematic uncertainties. Since the theory/data comparison for the inclusive jet cross section in measurements prior to the one detailed in this thesis showed excellent agreement, as in Refs. [32] and [33], an early data/theory comparison can be performed in a kinematic region where agreement has been previously found to ensure that jets in ATLAS are well understood.

Furthermore, a measurement of the jet cross section with the data collected by the ATLAS detector in the first year of operation of the Large Hadron Collider spans kinematic regions that were previously unexplored, and it can be used as a more stringent test of perturbative QCD over phase space. If the experimental and theoretical uncertainties are small enough and well understood, the measurement can also be used to constrain the parton distribution functions exploiting the extended kinematic range, especially the gluon distribution at values of x up to 0.2.

This topic will be developed further in Chap. 8.

2.4 Theoretical Tools

A theoretical prediction is fully comparable to data when corrections are applied to the calculation to simulate additional orders in perturbation theory and non perturbative effects, and after all detector-specific effects have been removed from the experimental measurement. The tools used to calculate the NLO theoretical prediction, for the extraction of jet calibration parameters and for the theory/data comparison of the measurement of the inclusive jet cross section detailed in this thesis are listed in the following paragraphs.

2.4.1 NLO Calculation Software and Convolution with PDFs

The next to leading order calculation for the hard process is performed using the NLOJET++ 4.1.2 [34] software. This result needs to be convoluted with the parton distribution functions at given renormalisation and factorisation scales: this is achieved with the APPLGrid software [35]. APPLGrid allows for a posteriori inclusion of PDF and process scales, so that the time-consuming calculation of the next to leading order coefficients only need to be evaluated once. This is particularly useful when systematic uncertainties derived from variations of the PDFs, scales and strong coupling constant need to be estimated.

2.4.2 Parton Shower Monte Carlo Generators

Monte Carlo event generators such as PYTHIA [36] and ALPGEN [37] are employed to simulate inclusive QCD jet events from proton–proton collisions when the information on the particles entering the detector after hadronisation is needed.

These generators simulate the entire QCD event, using various models to simulate the non-perturbative steps mentioned in Sect. 2.2.1. No full calculation beyond leading order is employed in the generators that are normally used, although the ALPGEN generator is able to provide matrix elements for up to 6 additional partons as ‘legs’ of the diagram. After the hard scattering ($2 \rightarrow 2$, or $2 \rightarrow n$) is simulated starting from the matrix element calculation that includes the convolution with a PDF of choice, subsequent radiation is simulated using a series of probabilities for partons to split. This approach is called *parton shower*, and it needs to be matched to the matrix element calculation to avoid double counting of radiation generated by both the hard scattering and by the parton shower. The splittings are iterated until a cut-off scale is reached (usually 1 GeV), and at that point hadronisation will start. These generators will have specific models (or employ external plug-ins) for hadronisation and multiple parton interactions, whose parameters can be tuned using specific data.

The Monte Carlo generators used in the inclusive jet analysis are listed below, together with their main characteristics and choices of PDF and tunes.

PYTHIA simulates non-diffractive proton-proton collisions using a $2 \rightarrow 2$ matrix element at leading-order of the strong coupling to model the hard subprocess, and use parton showers to model additional radiation in the leading-logarithmic approximation using p_T -ordering [38]. The hadronisation model used is an evolution of the string model described in Sect. 2.2.1 (Lund string model [39]). Multiple parton interactions are also simulated within PYTHIA [38]. The PDF used for the PYTHIA samples is the modified leading order set MRST LO* [40]. Modified leading order PDFs can be used in combination with a leading order generator to

obtain a result qualitatively similar to what one would obtain with a next to leading order calculation.²

ALPGEN is a leading order matrix-element generator for hard multi-parton processes in hadronic collisions [37]. It is interfaced to the HERWIG generator to produce angular-ordered parton showers in leading-logarithmic approximation [41]. Parton showers are matched to the matrix element with the MLM matching scheme [42]. The hadronization is simulated in HERWIG [41] using the cluster model [43] where gluons split in $q\bar{q}$ pairs that are subsequently regrouped into colorless clusters to produce hadrons. Multiple parton interactions are modelled using JIMMY [44]. The PDF used for the ALPGEN samples is CTEQ6L1 [45].

HERWIG++ [46] is a multipurpose event generator built on the experience of HERWIG. It simulates the full hadronic interaction in a similar fashion as PYTHIA, but using angular ordering in the generation of the parton shower and specific hadronisation and multiparton interactions models [47]. The MRST LO* PDF set is used in combination with the HERWIG++ generator.

The parameters used for tuning the underlying event models in the PYTHIA and HERWIG event generators have been derived from minimum bias measurement in ATLAS data [48, 49] and are denoted as ATLAS MC10 tune.

2.4.2.1 Nominal Monte Carlo Sample

The baseline Monte Carlo sample used in this analysis for the calibration and derivation of the jet energy scale and its uncertainty is generated with PYTHIA and will be referred to as *nominal sample* in the following. The full sample³ is composed of 12.6 million simulated PYTHIA QCD jet events. Due to the steeply falling jet cross section, the samples have been generated in 9 bins of transverse momentum of the outgoing partons in the $2 \rightarrow 2$ hard scattering process ($\hat{p}_T$), covering the range 8 GeV–3.5 TeV. Each sub-sample is weighted according to its cross section to form the final event sample. The sample used for the theory/data comparisons and for the derivation of the non perturbative corrections that need to be applied to the NLO calculation includes the nominal PYTHIA sample, complemented by a minimum bias sample of approximately 20 million events for jets with $\hat{p}_T$ below 8 GeV. Events with $\hat{p}_T$ below 17 GeV are taken from the minimum bias sample, while events above this threshold are taken from the nominal sample mentioned above.

The PYTHIA QCD jet sample has been validated with data collected by the ATLAS detector at $\sqrt{s} = 900$ GeV and $\sqrt{s} = 7$ TeV [50–57]. Jets measured in ATLAS are reasonably well described by the Monte Carlo simulation, before and after their calibration, as shown in Fig. 2.7.

² This statement is currently being tested in a number of measurements at LHC energies.

³ The calibration has been derived on a smaller sample of 3.6 million events.

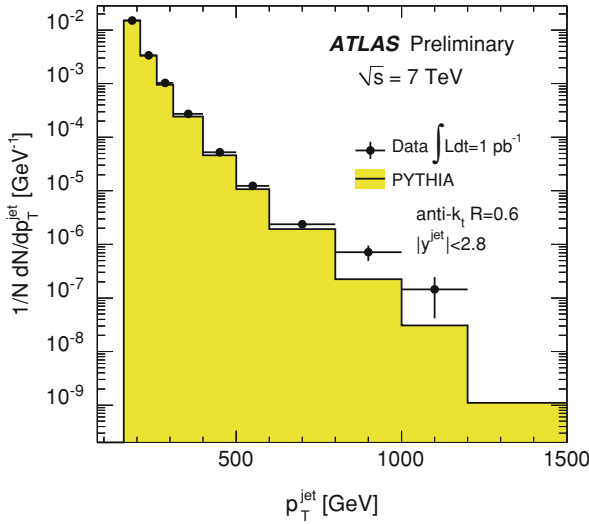


Fig. 2.7 Observed inclusive jet p_T distribution (black dots) for anti- k_T $R = 0.6$ jets (as defined in Chap. 4) with $p_T > 160$ GeV and pseudorapidity $|y| < 2.8$ compared to the Monte Carlo prediction from the nominal sample (yellow histogram). The distribution is normalized to unity and only statistical uncertainties are included

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Chapter 3

The ATLAS Experiment

The study of new phenomena in particle physics at the Terascale, the discovery of the Higgs boson and further measurements of Standard Model quantities are among the main goals of the experiments located on the Large Hadron Collider (LHC), at CERN in Geneva. At the LHC, proton beams with a centre of mass energy of 7 TeV collide up to every 50 ns at the four interaction points, where the experiments are installed. The ATLAS (A Toroidal LHC ApparatuS) experiment is a general purpose detector located at the Interaction Point 1 on the French–Swiss border, and has been recording collision data at 7 TeV starting from the Spring of 2010.

This Chapter outlines the layout of the ATLAS detector (Sect. 3.2) and its subsystems (Sects. 3.3 and 3.4). Given their relevance for the measurements described in this thesis, the ATLAS calorimeters are described in more detail in Sect. 3.5. Section 3.6 describes the simulation infrastructure of the ATLAS detector, with a particular focus on hadronic interactions within the calorimeters. Finally, the computing infrastructure, the data used for the measurement and the trigger system used to select relevant events are described in Sects. 3.7–3.9.

3.1 CERN and the Large Hadron Collider

CERN, the European Organization for Nuclear Research, is the largest international particle physics laboratory in the world. It is located near Geneva, at the border between Switzerland and France. The current flagship project at CERN is a particle accelerator called the Large Hadron Collider (LHC). The LHC accelerates and collides proton beams at a centre of mass energy of 7 TeV. It has taken almost 20 years of design, development, construction and testing, and it delivered its first collisions in November 2009, achieving the world-record energy of 7 TeV in March 2010. The products of the LHC collisions are detected by four large scale experiments situated at the collision points, as shown in Fig. 3.1.

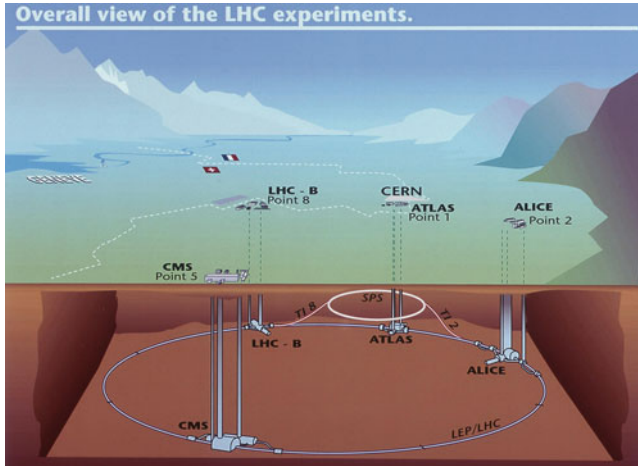


Fig. 3.1 The four main experiments located around the LHC ring. The SPS, the final link in the pre-acceleration chain, and its connection tunnels to the LHC are also shown. From [1]

3.1.1 Running Conditions of the LHC Accelerator

The ATLAS detector records proton–proton collision events delivered by the Large Hadron Collider. *Bunches* of protons circulate in separate vacuum tubes in opposite directions within the beam pipe, and are brought together to collide by quadrupole magnets in the four interaction points. One of the figures of merit of the accelerator is the luminosity, since it connects the number of events per unit of time dN/dt to the cross section σ of a given process: $dN/dt = \mathcal{L}\sigma$. The physics program at the Large Hadron Collider includes searches for rare physics processes (with a small cross section) such as the Higgs boson and supersymmetric particles: it is therefore important to maximise the delivered luminosity in order to be able to observe such events.

An increase in the luminosity can be achieved by squeezing the beams and reducing their transverse size, or by increasing the number of colliding protons per bunch or the number of circulating bunches. The first two effects lead to an increase of proton–proton interactions within the same bunch (*in-time pile-up*), while the latter two lead to multiple interactions from different bunches during the time taken for the detector to process a single event (*out-of-time pile-up*). Out-of-time pile-up can also be produced by the circulation of multiple subsequent bunches (*bunch train*). The very first data delivered by the LHC had a negligible number of multiple interactions until May 2010, when the accelerator optics was optimised to decrease the size of the beams at the interaction point: this led to an increase in the number of events with more than a single proton–proton interaction to about 10%. The number of protons per bunch was increased throughout the course of the summer, leading to a rise in the

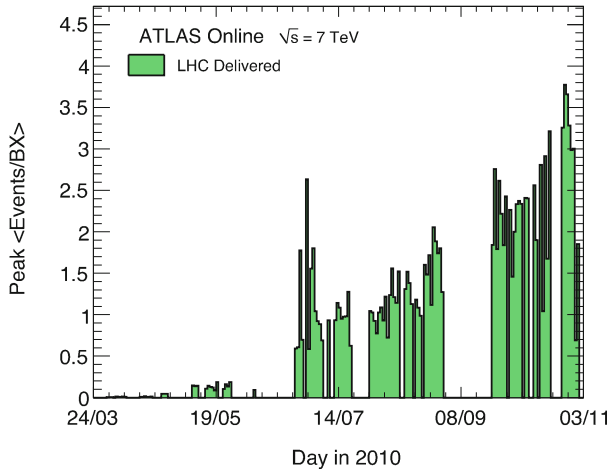


Fig. 3.2 The number of interactions per bunch crossing (BX) as measured online by the ATLAS luminosity detectors. In order to calculate the equivalent mean number of interactions, an inelastic cross-section of $\sigma_{\text{inel}} = 71.5 \text{ mb}$ is assumed. Figure from [2]

fraction of events with in-time pile-up that continued up to November 2010, when the average number of interactions was more than three [2]. This is shown in Fig. 3.2.

The instantaneous luminosity delivered by the LHC is estimated using ATLAS subdetectors built for this purpose, as described in detail in Refs. [3, 4]. The systematic error on the integrated luminosity for the full 2010 dataset is 3.4 %.

The LHC design luminosity is $\approx 10^{34} \text{ cm}^{-2}\text{s}^{-1}$, leading to 22 simultaneous proton–proton interactions and to more than 1,000 particles being produced within one event in the central detector region, and the maximum instantaneous luminosity obtained in 2010 is $\approx 2 \cdot 10^{32} \text{ cm}^{-2}\text{s}^{-1}$. These conditions impose strong requirements on the radiation hardness and granularity of the detectors: the design of the ATLAS detector that can withstand these is described in Sect. 3.2. The high luminosity combined with the proton–proton inelastic cross section of 71.5 mb [3] requires a fast system for the selection of interesting events: the ATLAS *trigger* system is described in Sect. 3.9.

3.2 Overview of the ATLAS Detector and Coordinate System

The ATLAS experiment is located at Point 1 of the LHC ring, and it is a collaboration consisting of more than 2,900 physicists from 172 different Institutes and Universities throughout the world. Its layout is shown in Fig. 3.3. The ATLAS detector is a general purpose experiment that has been built to perform well in the high-intensity and high energy hadronic environment of the Large Hadron Collider and have a wide physics

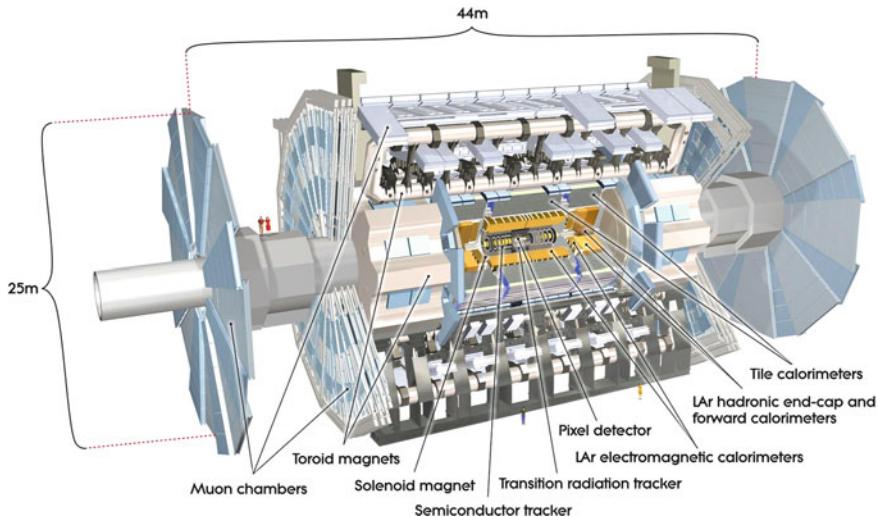


Fig. 3.3 A detailed computer-generated image of the ATLAS detector and its subsystems. Figure from [6]

program that includes precise Standard Model measurements, searches for the Higgs boson, for supersymmetric signatures and for physics beyond the Standard Model.

The ATLAS detector consists of a tracking system (inner detector, or ID in the following) in a 2 T solenoidal magnetic field up to a pseudorapidity $|\eta| < 2.5$, sampling electromagnetic and hadronic calorimeters up to $|\eta| < 4.9$, and muon chambers in a toroidal magnetic field provided by air-core toroids. A detailed description of the ATLAS experiment can be found in [5] and its subsystems are described in the sections below.

The ATLAS Coordinate System is a right-handed system with the x -axis pointing to the centre of the LHC ring, the z -axis following the beam direction and the y -axis pointing upwards. The azimuthal angle $\phi = 0$ corresponds to the positive x -axis and ϕ increases clock-wise looking into the positive z direction. The pseudorapidity η is an approximation for the rapidity in the high energy limit, and it is related to the polar angle θ as $\eta = -\ln \tan \frac{\theta}{2}$. The R coordinate is a measure of the radial distance with respect to the interaction point and it follows the direction of the y -axis. In the following, the central detector region (generally up to $\eta < 1.5$) is referred to as *barrel*, the more forward region (up to $\eta < 2.5$ unless otherwise noted) as *endcap* and the forwardmost pseudorapidities are simply called *forward* region.

3.3 The ATLAS Inner Detector System

The ATLAS Inner Detector system consists of high-resolution silicon detectors (pixels and strips) surrounding the interaction point to locate the interaction vertex,

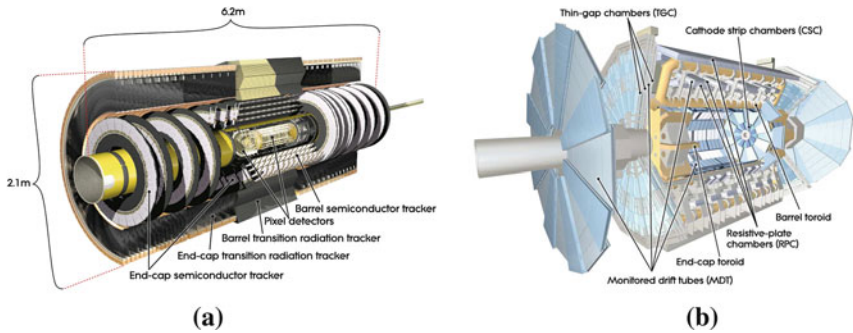


Fig. 3.4 Computer-generated images of the ATLAS inner detector system (a) and muon detectors (b). Figures from [6]

measuring displaced vertices from long-lived particles and measuring precisely the track momentum. The tracking detectors are arranged in concentric cylinders around the beam axis in the barrel region, while disks are used in the endcap region, and they are located within a 2T magnetic field generated by a superconducting solenoidal magnet. The coverage of the tracking detectors is up to $|\eta| < 2.5$. Figure 3.4a displays the layout of the inner detector system.

The core components of the ATLAS pixel detector are semiconducting pixels, assembled in silicon wafers called sensors. The SemiConductor Tracker (SCT) consists of modules formed by microstrip semiconductor strips arranged parallel to the beam pipe in the barrel region and radially in the endcaps, providing a measurement in the $R - \phi$ coordinate. Pairs of sensors are glued together at a 40 mrad stereo angle to allow for a measurement of the z coordinate. The ATLAS Transition Radiation Tracker (TRT) is comprised of straw tubes filled with a Xenon-based gas mixture to provide continuous $R - \phi$ information and particle identification.

The tracking algorithms use information from all the inner detector subsystems. The raw data are first converted into three dimensional space points in the pixel and SCT, and into drift circles¹ in the case of the TRT. The track finding and vertexing software [7] is then employed to find prompt tracks that originate from vertices near the interaction point. Track candidates are built from space points in the silicon layers, fitted and then extended to the TRT using the full ID information. A dedicated vertex finder identifies the primary vertex as that with the highest sum of p_T of the tracks pointing to it, normalized by the number of tracks.

¹ The radius of a drift circle corresponds to the distance of closest approach of the charged particle to the wire as estimated from the time needed for drift electrons to reach the wire.

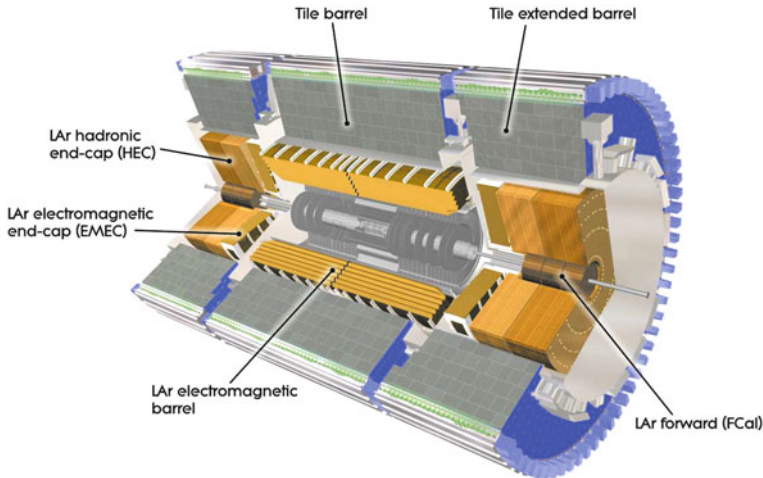


Fig. 3.5 A computer-generated image of the ATLAS calorimeter system. Figure from [6]

3.4 The ATLAS Muon System

Muons are deflected by the large air core toroids placed outside the calorimeter system, three in the barrel and two in the endcap. The toroids provide a magnetic field generally orthogonal to the muon direction, and their air core minimises the deflections due to multiple scattering. The ATLAS muon system triggers on and measures the muon momentum: chambers with higher precision (Monitored Drift Tubes and Cathode Strip Chambers) are employed for precise measurements of muons up to $|\eta| < 2.7$ and coarser chambers (Resistive Plate Chambers and Thin Gap Chambers) are used for selecting interesting events containing muon candidates.

3.5 The ATLAS Calorimeter System

The ATLAS calorimeter system (Fig. 3.5) aims to measure the energy and position of particles through the absorption of their energy deposits in the calorimeter material. Particle cascades (showers) are produced in this process. The ATLAS calorimetry system employs a sampling technique (sandwiching active and passive material) that separates the absorption from the energy measurement and allows for a more compact design with an almost complete containment of the showers. ATLAS includes an electromagnetic and a hadronic calorimeter, with different characteristics in order to account for the different properties of electromagnetic and hadronic showers.

ATLAS calorimeters are non compensating: the hadron response is lower than the response to electromagnetically interacting particles. While the energy of an electromagnetic shower is usually fully detected in the electromagnetic calorimeter,

not all the energy of a hadronic shower can be detected in the calorimeters: this is mainly due to invisible energy from the nuclear breakdowns and excitation, and partly to invisible particles escaping detection (μ , ν) [8]. Techniques to restore the correct energy scale for hadronic particles are described in Sect. 4.2. The starting point for the calibration of all energy deposits, hadronic or electromagnetic, is a measurement of the energy deposited in the single readout cell at the *electromagnetic scale* (also called EM-scale in the following). The ATLAS calorimeters and the procedure to set the electromagnetic scale are described in the following sections.

3.5.1 Electromagnetic Calorimeters

The ATLAS electromagnetic calorimeter (also called *LAr* calorimeter) employs liquid argon as the active material and lead as absorber. Charged particles traversing the calorimeter ionise the liquid argon, and the resulting electrons drift towards the copper electrodes in the read out cells thanks to the presence of an electric field. The two *LAr* calorimeter barrels span up to $|\eta| = 1.475$, while the coverage of the endcaps is $1.375 \leq |\eta| < 3.2$ in two coaxial wheels ($1.375 \leq |\eta| < 2.5$ and $2.5 \leq |\eta| < 3.2$). The *crack* region between $1.375 \leq |\eta| < 1.52$ is affected by additional material needed to instrument and cool the inner detector, and it is normally excluded from analyses that require a precise measurement of electrons.

The *LAr* calorimeter barrel has an accordion structure as shown in Fig. 3.6, designed to avoid azimuthal cracks, provide full ϕ symmetry for particles traversing it and allow for a fast readout. The liquid argon is located in the gaps between the 1,024 accordion absorbers, leading to a drift time of approximately 450 ns under the influence of the 2 kV electric field. Since the drift velocity enters the energy measurement,² the detector conditions that influence it such as high voltage and liquid argon temperature and density need to be continuously kept under control to reduce the fluctuations in the energy measurement. The width of the gap is 2.1 mm in the barrel and varies with pseudorapidity in the endcap, so the high voltage needs to vary accordingly to obtain a calorimeter response independent from pseudorapidity. The electromagnetic calorimeters are located in cryostats, since liquid argon needs to be kept at a temperature of about 88 K.

The *LAr* calorimeter barrel is divided into three layers, as shown in Fig. 3.6. The first layer, finely segmented in strips of $\Delta\eta \times \Delta\phi = 0.0031 \times 0.098$, is used to reconstruct the η position of the electromagnetic shower and provide information on particle identification. The second layer collects the largest fraction of energy deposited by the shower in its $\approx 16 X_0$, and the third layer only collects the shower tail. The electrodes in the second and third layer are grouped in towers of $\Delta\eta \times \Delta\phi = 0.0245 \times 0.025$ and 0.0245×0.05 respectively. The total number of radiation lengths

² The drift time T_{drift} is connected to the width of the gap w_{gap} and the drift velocity v_{drift} as $T_{drift} = w_{gap}/v_{drift}$. In turn, the time dependence of the ionisation current I that is input to the energy measurement can be described using a baseline current I_0 that again depends on the drift velocity: $I(t) = I_0(1 - t/T_{drift})$.

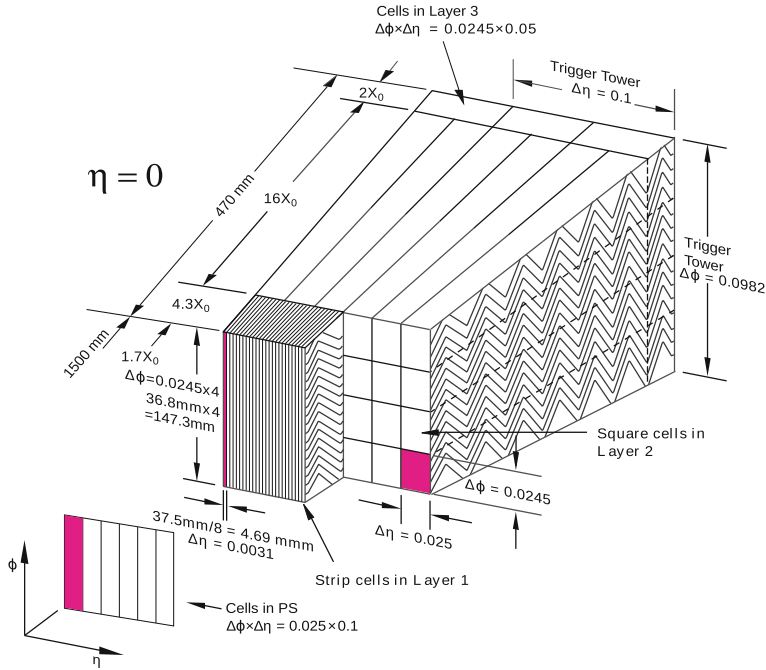


Fig. 3.6 Sketch of the structure of the LAr calorimeter barrel, showing the accordion structure and the granularity of the different layers. Figure from [6]

traversed by a particle in the electromagnetic calorimeter ranges from 22 to 33. The first wheel of the LAr endcaps is segmented in three layers with a granularity equal to that of the barrel, while the second wheel (for larger pseudorapidities) has a coarser granularity that varies as a function of pseudorapidity.

The distribution of material upstream of the calorimeters in terms of radiation lengths is shown in Fig. 3.7. In order to correct for the energy lost by incident particles traversing this material, a presampler detector is used for $|\eta| < 1.8$. The presampler is composed of an active layer of LAr with 1.1 (0.5) cm thickness in the barrel (endcap). A scintillator slab is also placed in the gap between barrel and endcap in the boundary between the barrel and endcap cryostat ($1.0 \leq |\eta| < 1.6$).

3.5.1.1 Liquid Argon (LAr) Calorimeter Calibration and Uncertainty on the EM Energy Scale

The analog signal produced by the ionisation of charged particles is amplified, shaped and sampled every 25 ns. If the event is retained by the trigger signal (see Sect. 3.9), a number of samples (5 or 7) are digitised and sent to the off-detector electronics for calibration. The full electronic calibration procedure to convert the raw signal to

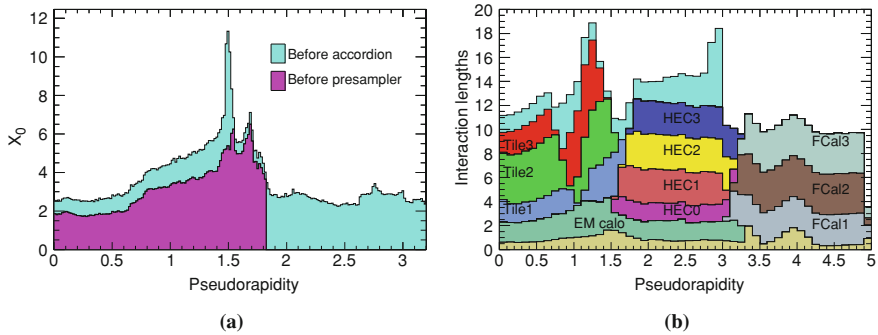


Fig. 3.7 (a) Shows the amount of material upstream the LAr calorimeter barrel (*teal*) and the presampler (*magenta*). The cumulative amount of material in units of interaction length in front and within the ATLAS calorimeters, and the total amount of material in front of the first active layer of the muon spectrometer (up to $|\eta| < 3.0$) is shown in (b). Figures from [6]

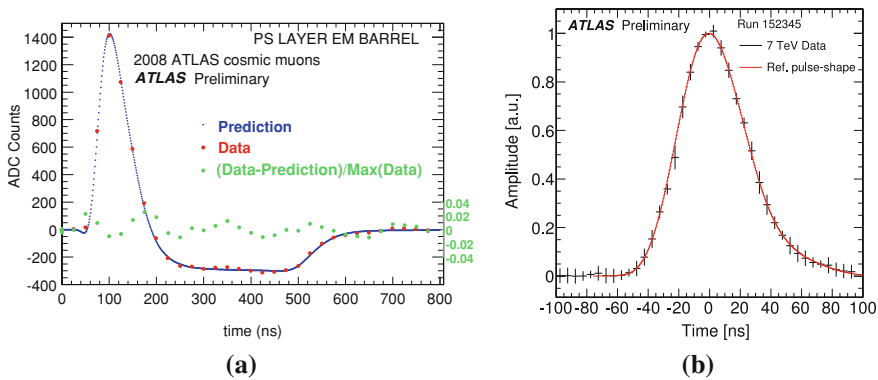


Fig. 3.8 a Sample pulse shape from cosmic ray data in the first layer of the LAr calorimeter (from [12]). b Sample pulse shape from the Tile calorimeter from a collision run, with the expected pulse shape used for reconstruction overlaid (from [13])

a pulse shape in ADC counts such as the one in Fig. 3.8 and to extract the visible energy deposited in each cell is described in detail in Refs. [9–11].

Electron and photon candidates are reconstructed gathering calorimeter cells in *clusters*, using a sliding window algorithm [14] and combining energies deposited in each layer. The cluster energy and position needs to be determined precisely starting from the visible energy deposited in the cells and taking into account the shower development in the sampling calorimeters, the energy deposited upstream the calorimeter (using information from the presampler), the leakage outside the calorimeters and the modulations of the energy in η and ϕ due to the accordion geometry. These factors and the calibration constants for the electronics (including corrections for known high voltage problems) have been derived and validated using electron and muon test beams and Monte Carlo simulation [10, 15–21].

The systematic uncertainty on the electron energy scale after this calibration procedure amounts to 3 %. The main sources of uncertainties are:

- The difference in the electromagnetic scale between the test-beam setup and the full ATLAS detector due to the uncertainty in the liquid argon temperature in the test-beam, derived from comparison of different test-beam measurements [10, 17].
- The difference in pulse reconstruction methods and calibration corrections between the test-beam and ATLAS setup [17, 22].
- The time stability of the electromagnetic scale from variations in the calibration of the electronics, and in the detector and environmental conditions monitored in test-beam and with collision data [22].

The final energy scale for the electromagnetic calorimeters needs to be determined with a higher precision with respect to that obtained with the calibration outlined above. For this purpose, correction factors are derived using $Z \rightarrow ee$ events, taking advantage of the well known mass of the Z boson to set the absolute energy scale and intercalibrate the various regions of the detector. If the measured energy for an electron E^{meas} contains a residual miscalibration factor α compared with the true electron energy E^{true} as $E^{meas} = E^{true}(1 + \alpha)$, the effect on the measured Z mass M_Z^{meas} can be parameterised as:

$$M_Z^{meas} = M_Z^{true} \left(1 + \frac{\alpha_i + \alpha_j}{2}\right),$$

where i and j label the electrons in the two pseudorapidity regions.

The α miscalibration coefficients can be derived for different calorimeter regions minimising an unbinned likelihood:

$$-\ln L_{tot} = \sum_{k=1}^{N \text{ events}} -\ln L_{ij} \left(\frac{M_k^Z}{1 + \frac{\alpha_i + \alpha_j}{2}} \right)$$

where L_{ij} is a probability density function that quantifies the compatibility of the event with the Z lineshape based on the Breit–Wigner distribution. It has been obtained from simulated events to take into account all theoretical and experimental effects that can influence the ideal Z mass distribution.

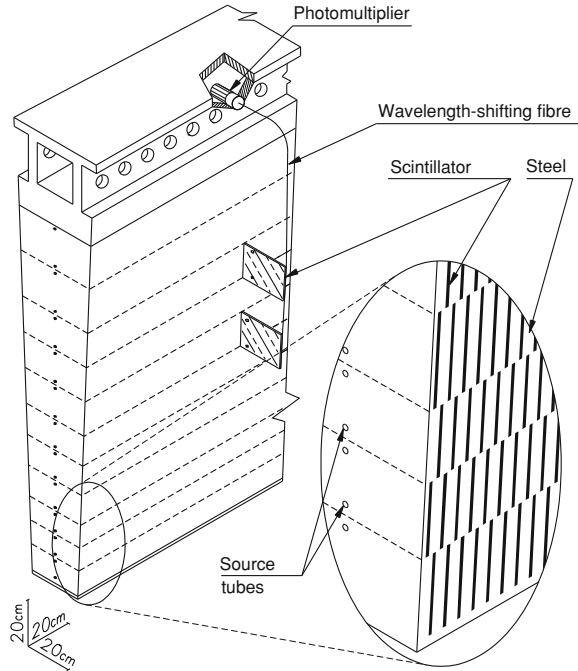
Preliminary scale factors on the electromagnetic scale derived from $Z \rightarrow ee$ events were applied to the full 2010 dataset used in this thesis. These were derived using roughly 10,000 events where both electrons were found in the central region ($|\eta| < 2.47$, excluding the crack region) and 3,100 events where one central and one forward electron ($2.47 \leq |\eta| < 4.9$) were found. The available statistics only allowed to obtain preliminary scale factors for five pseudorapidity regions, listed in Table 3.1. The scale factors are applied to all cells in the η region considered, by scaling the cell's measured energy by $(1 + \alpha)$.

The residual uncertainty on the LAr electromagnetic scale amounts to 1.5 % for the electromagnetic energy deposited in the LAr calorimeters and 5 % for the energy

Table 3.1 Fractional correction from $Z \rightarrow ee$ events applied to the electromagnetic scale of the LAr calorimeters

η range	Fractional correction factor (α) (%)
$0 \leq \eta < 1.37$	-0.96 ± 0.01
$1.52 \leq \eta < 2.47$	1.89 ± 0.02
$2.47 \leq \eta < 2.8$	-0.34 ± 0.01
$2.8 \leq \eta < 3.2$	-0.19 ± 0.01
$3.2 \leq \eta < 4.5$	5.0 ± 0.2

Fig. 3.9 Sketch of the structure of a module of the Tile calorimeter, showing the placement of the tiles and of the readout components. Figure from [6]



deposited in the presampler. This accounts for the systematic uncertainties in the $Z \rightarrow ee$ analysis (that are detailed in the full 2010 analysis [23]) and differences in the electron shower shape between data and simulation.

3.5.2 Hadronic Calorimeters

The hadronic calorimeters make use of plastic scintillator tiles and steel for the barrel and extended barrels (covering $0 < |\eta| < 0.8$ and $0.8 < |\eta| < 1.7$, respectively, and they are called *Tile* calorimeter in the following. The 3 mm tiles are placed perpendicular to the colliding beams, as shown in Fig. 3.9. Particles interact with the active medium producing scintillation light proportional to the deposited energy, which is in turn collected using wavelength-shifting fibers. Readout cells are built by grouping fibres together in projective towers in η , and the scintillation light is

collected by photomultiplier tubes at each end of the tiles. The Tile calorimeter consists of three layers in both barrel and extended barrel. The cell granularity varies according to pseudorapidity and distance from the interaction point: the granularity is $\Delta\eta \times \Delta\phi = 0.1 \times 0.1$ in the first two layers and 0.1×0.2 in the third layer.

3.5.2.1 Tile Calorimeter Calibration and Uncertainty on the EM Energy Scale

The measurement of scintillation light by the photomultipliers produces a pulse with a 50 ns width with amplitude proportional to the energy deposited in the cell, as shown in Fig. 3.8b. The pulse shape is sampled seven times and sent to the read out electronics in case the event is selected by the trigger system. An optimal filtering algorithm is used to extract the amplitude, timing and pedestal from the pulse shape [24]. The ADC counts that are the output of the photomultipliers are converted into an energy measurement using correction constants derived from test beam measurements [10, 16–18, 25] and propagated to the full ATLAS setup using a charge injection calibration system [24]. The absolute calorimeter response to energy deposited via electromagnetic processes has also been validated in the hadronic calorimeters using muons, both from test-beams [25] and produced by cosmic-rays in-situ [26].

The uncertainty on the electromagnetic scale is estimated considering the ratio of the electromagnetic scale response of minimum ionizing low-energy muons in test-beam data and in Monte Carlo and comparing it with the same ratio between data and simulation of cosmic ray muons in the full ATLAS setup, as outlined in Ref. [27]. No significant shift in the response between test-beam data and data taken with the full ATLAS detector has been observed. A 3 % uncertainty on the measurement of the response ratio (due to the analysis method, the discrepancies in the behaviour of data and Monte-Carlo as a function of the muon momentum in cosmic data and the different conditions between the test-beam and ATLAS setups) has been given as the estimated uncertainty on the hadronic calorimeter EM scale ([28], C. Santoni, Private communication).

3.5.2.2 Hadronic Endcap (HEC) and Forward (FCal) Calorimeters

The larger particle flux and the high energies reached in the forward region leads to the design of a calorimeter system that is able to fully contain hadronic showers and is radiation hard, at a limited cost. Liquid argon is employed as active material in the endcap and forward ATLAS calorimeters.

In the hadronic endcaps ($1.5 < |\eta| < 3.2$ placed at 2.03 m from the interaction point, called *HEC*), 8.5 mm active gaps are sandwiched between copper plates. Each hadronic endcap consists of two wheels, with different widths for the copper plates (25 and 50 mm for inner and outer wheel respectively). Each active gap is split in four drift spaces of about 1.8 mm by the presence of three electrodes, avoiding ion build-up and allowing a lower HV configuration with respect to a single electrode configuration. Readout cells are pseudo-projective in η and projective in ϕ .

The forward calorimeter (*FCal*) is a liquid argon and tungsten/copper detector placed 4.7 m away from the interaction point on each side, and extends the ATLAS calorimeter system up to $|\eta| < 4.9$. In order to reduce the neutron flux, the front face of the FCal is placed 1.2 m away from the EM calorimeter front face: to allow for shower containment, the absorber must be dense. In addition, the gaps must be narrow to avoid ion build-up and have a fast readout time. To fulfill these design requirements, tungsten and copper are chosen as passive material, in a matrix that contains concentric tubular electrodes parallel to the beam pipe. The electrodes contain the liquid argon in gaps that can be as small as 270 μm .

The pulse shape, signal reconstruction and calibration for the endcap and forward calorimeters are similar to those of the LAr electromagnetic calorimeters, described in Sect. 3.5.1.1.

3.6 Simulation of Particle Interactions in the ATLAS Detector

The GEANT4 software toolkit [29] within the ATLAS simulation framework [30] propagates the particles generated as explained in Sect. 2.4.2 through the ATLAS detector and simulates their interactions with the detector material. The energy deposited by particles in the active detector material is converted into detector signals with the same format as the ATLAS detector read-out. The detector signals are in turn reconstructed with the same reconstruction software as used for the data [30].

For the simulation of hadronic interactions in the detector, the GEANT4 set of processes called QGSP_BERT is chosen [31]. In this set of processes, the Quark Gluon String model [32] is used for the fragmentation of the nucleus, and the Bertini cascade model [33] for the description of the interactions of hadrons in the medium of the nucleus.

The GEANT4 simulation and in particular the hadronic interaction model have been validated with test-beam measurements for the barrel [25, 34–37] and the endcap [19, 20, 38] calorimeters. Further tests have been carried out in-situ using identified single particles from kaon and lambda decays produced in proton–proton collisions, as described in Ref. [39]. Excellent agreement between simulation and data has been found for pions and protons in the range of a few hundred MeV to 6 GeV, while the response of anti-protons is underestimated by about 10%.

Studies of the material of the inner detector upstream the calorimeters have been performed using secondary hadronic interactions [40, 41]. The ATLAS detector geometry used in the simulation of the nominal sample reflects the geometry of the detector³ as best known at the time of the Autumn 2010 data reprocessing that was used for this thesis.

³ The geometry tag is ATLAS-GEO-16-11-00.

3.7 Software, Computing and Data Analysis

An efficient distributed computing system is required to collect, process and store the data recorded by the ATLAS detector. Monte Carlo simulations that mirror data as mentioned in the previous section need to be produced at regular intervals, using information from the recent data taking to improve the description of the physics processes and of the detector geometry and conditions. The software employed in ATLAS is called ATHENA, and it is a C++ framework based on the GAUDI project [42].

3.8 Data Used in This Thesis

The data used for the inclusive jet cross section measurement presented in this thesis comprises the full 2010 ATLAS dataset (up to November 2010), corresponding to a total integrated luminosity of $37.3 \pm 1.2 \text{ pb}^{-1}$.

The data taken by the ATLAS detector is divided in *data periods*, *runs* and *luminosity blocks*. A luminosity block corresponds to the data accumulated in a small period of time (2–3 min of data taking), and a run is a collection of luminosity blocks corresponding to continuous data taking. The division of a run in smaller portions allows the exclusion of single problematic data taking periods with minimal impact on the overall data taking efficiency. Data periods are collections of runs that have similar overall conditions in terms of detector and trigger conditions, and are named from A to I for the data considered in this thesis.

3.9 Trigger System

The data rate delivered by the LHC (up to 1 GHz in nominal conditions) largely exceeds the current capabilities for recording events offline, both in terms of recording speed and storage space. It is therefore necessary to have a system (called *trigger*) that selects the interesting collision events based on the presence of high transverse momentum objects (muons, electrons, photons, jets and tau leptons). The trigger system needs to reject the background without biasing the selection of the rare signals.

The trigger system is subdivided in three levels: L1, L2 and the Event Filter. The first selection is made using coarse detector information from the calorimeter, muon and forward (MBTS) subsystems in the first level trigger. The logic for the L1 trigger is mostly hardwired in the readout electronics, given that the decision needs to be made in less than $2.5 \mu\text{s}$.

Since the rate for certain signatures (e.g. low p_T QCD jets) would saturate the limited bandwidth that needs to be shared by all triggers, some triggers are pre-scaled. This means that only a fraction of the events accepted are effectively passed onto the next level, using a random reset of the passed trigger and allowing the event to fail the requirements. A prescale of 1 means that all events selected by the trigger

are accepted, while larger prescales mean that only a fraction $1/\text{prescale}$ is accepted. The trigger menu is chosen taking into account the current LHC luminosity and the physics program for each data taking period.

The events that are accepted by the L1 trigger are passed on to the L2 trigger, which further unpacks the information passed from the L1 trigger and increases the level of detail available for the decision. Both the L2 and the Event Filter are part of the software trigger subsystem called High Level Trigger (HLT). The L2 trigger has an average latency of 40 ms and reduces the rate to 2.5 kHz. If the event is accepted, it is passed to the Event Filter which runs a physics reconstruction close to the offline software in about 4 s/event and selects events up to a rate of 200 Hz.

The trigger systems used in the measurement described in this thesis are the Minimum Bias Trigger Scintillators (MBTS) to select minimum bias collision events in the first data periods (with a low data rate) and the jet⁴ triggers in the calorimeters.

The Minimum Bias Trigger Scintillators are described in more detail in [43, 44]. They consist of plastic scintillator counters placed at 3.5 m away from each side of the interaction point, covering the pseudorapidity range of $2.09 \leq |\eta| < 3.84$. The MBTS provide the least biased trigger configuration to select collision events by selecting events where either one or both the counters to have detected the passage of particles. They are used both to select events in the very first data taking periods and to measure efficiencies for other triggers.

Jet triggers are used to select events the main experimental signature considered in the measurement in this thesis: jets of collimated particles at high transverse momentum. The functioning and performance of the jet triggers in the first 2010 data is described in Ref. [45]. The measurement of the raw calorimeter energy (calibrated to the electromagnetic scale) in a region of $\Delta\eta \times \Delta\phi = 0.2 \times 0.2$ in the calorimeter system (called *trigger tower*) is the baseline for the Level 1 decision. A sliding window algorithm is used to select local maxima in transverse energy, and if the measured E_T is above the trigger threshold the event is retained and the raw data within a *Region Of Interest* (ROI) is passed to the Level 2 trigger. The Level 2 jet trigger reconstructs a jet by drawing a cone of radius $R = \sqrt{\Delta\eta \times \Delta\phi} = 0.7$ and centered on the ROI, including the energy of all the trigger towers within the cone and moving iteratively (up to three times) the energy-weighted barycentre as to include the maximum local energy deposition.

The jet trigger is divided in two independent subsystems: the central jet trigger ($|\eta| < 3.2$) and the forward jet trigger ($3.2 \leq |\eta| < 4.9$).

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⁴ A full description of the jet definition in ATLAS is reported in Chap. 4.

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Chapter 4

Jet Reconstruction in ATLAS

Confined quarks and gluons from the proton-proton scattering manifest themselves as groups of collimated particles in the final state, as described in Sect. 2.3. The particles are clustered into physically measurable objects at hadron colliders: these objects are called *jets*.

The concept of a jet is not meaningful without a full specification of its definition. Defining a jet requires a procedure to associate either particles or energy deposits to a single jet (*jet algorithm*) and a *recombination scheme* that specifies how to combine the four-momenta of the jet constituents. The jet definition, the main jet algorithms and the inputs to jets in the ATLAS detector are described in Sect. 4.1.

Jets measured in the ATLAS detector need to be fully reconstructed in order to reconnect the energy measured in the calorimeter to the kinematics of the particles or partons that generated the jets. First, the calorimeter energy deposits need to be associated to a single jet with a jet finding algorithm. Subsequently, a calibration procedure is needed to translate the signals read out from the calorimeters into a measurement of the energy of the particles forming the jet. Section 4.2 motivates the jet calibration procedures and outlines the calibration scheme used in the 2010 ATLAS dataset. Techniques undergoing commissioning are briefly mentioned.

4.1 Jet Algorithms and Inputs to Jet Reconstruction

Jets are connected to the underlying hard parton process. Since partons are not physically observable objects, there is no unique jet definition. Nevertheless, in order to provide common grounds of interpretation for jet physics between theory and experiments, a common definition needs to be used to uniquely map a set of four-momenta (partons, particles or calorimeter objects) into a jet. A jet definition, as suggested in the 2007 Les Houches accord [1], comprises:

- A **jet algorithm** that defines how to group the four-momenta into jets;
- The full specification of the **jet algorithm parameters**;

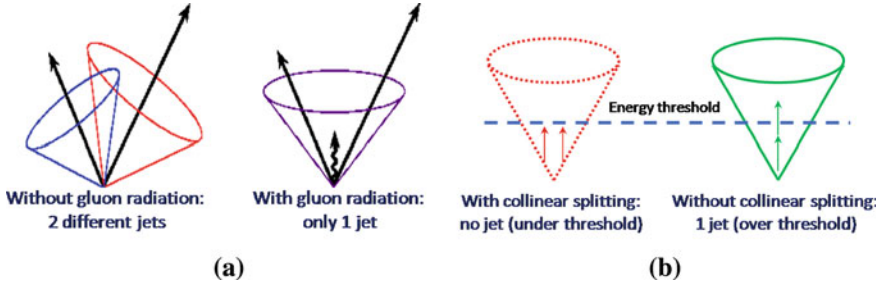


Fig. 4.1 Example behaviour of an infrared unsafe algorithm **a** and of a collinear unsafe algorithm **b**

- The **recombination scheme**: a set of a rules for obtaining the four-momentum of a jet from its constituents.

The specification of the truth level (Monte Carlo) particles that are inputs to jets used for the theory/experiment comparison is also needed, for example in the case where detector effects are corrected by an *unfolding* technique as in the inclusive jet measurement presented in this thesis (Chap. 7).

The following sections are dedicated to an overview of the properties required in a jet algorithm (Sect. 4.1.1) and to the details of the jet definition used in ATLAS (Sects. 4.1.2 and 4.1.3).

4.1.1 Theoretical Requirements for a Jet Algorithm

A jet algorithm is required to be well behaved when confronted with possible QCD divergences, such as infrared and collinear emissions, detailed in Chap. 2. Both infrared and collinear emissions manifest themselves as soft particles, and the jet algorithm needs to be resilient to these by reconstructing the same set of jets regardless of their presence or absence. Theoretically well behaved jets give finite perturbative results at all orders, and allow meaningful comparisons of data with the most recent theoretical predictions.

A theoretically well behaved jet algorithm needs to be *infrared* and *collinear* safe: The presence or absence of additional infinitely soft particles radiated by the primary partons should not modify the result of jet finding (e.g. the number of jets). Furthermore, jets should not be sensitive to particles radiated at very small angle with respect to the original parton. An example of the behaviour of an algorithm that does not behave well in presence of soft QCD radiation is shown in Fig. 4.1.

In addition to infrared and collinear safety, a jet algorithm should reproduce the same physics in the final state regardless of the input type: jets can be reconstructed from partons, particles and calorimeter objects.

In order to be successfully used in a high energy physics experiment, a jet algorithm needs to have a computationally fast and fully specified implementation. Many modern jet algorithms are implemented in the FastJet software [2, 3] either natively or as plug-ins. The jet reconstruction software in ATHENA employs the FastJet classes for jet finding.

4.1.2 Jet Definition in ATLAS

Jet algorithms can be divided in two classes: cone algorithms and successive recombination algorithms.

Cone algorithms define jets based on the dominant direction of energy flow. The *ATLAS Cone* algorithm, employed before data taking, starts from jet inputs with energy above a certain threshold (*seeds*) and sums the four-momenta of all particles in a surrounding cone in η, ϕ space with a radius R to obtain the initial proto-jet direction. A cone of radius R is then redrawn starting from the proto-jet direction and four-momenta summed to obtain a new jet direction. The procedure is iterated until the jet direction is stable (does not change significantly in successive iterations). A *split-merge* step is performed to assign overlapping constituents to the closest jet or merge two overlapping jets depending on the fraction of constituents shared by the two jets.

Successive recombination algorithms iteratively merge pairs of objects according to a definition of distance that typically involves the physical distance between the objects and their transverse momentum, and in certain cases effectively undoing the QCD parton shower. The jet algorithm employed in ATLAS data taking and for the measurement described in this thesis is the anti- k_t algorithm. This jet algorithm is based on the comparison for all possible pairs of objects between the distances $d_{i,j} = \min(p_{T,i}^{-2}, p_{T,j}^{-2}) \frac{\Delta R}{R}$ and $d_{i,Beam} = p_{T,i}^{-2}$, where p_T is the transverse momentum of the particle considered, ΔR is the spatial distance of the two objects in $\eta - \phi$ space and R is a parameter of the algorithm which can be considered as a weight to the spatial distance of the two objects—the larger R , the wider the jets. In the following, R will be referred to as the jet algorithm distance parameter. If the minimum between all $d_{i,j}$ and $d_{i,Beam}$ is a $d_{i,j}$, objects i and j will be recombined, otherwise object i is considered a jet and not considered in the next iteration. With this algorithm, soft radiation is effectively clustered around the harder core of the process. Anti- k_t jets have a regular, conical shape, experimentally desirable since it allows for a well defined jet area that can be used for e.g. pile-up subtraction [4].

The ATLAS Cone algorithm is not theoretically well behaved due to the presence of a seed with which to start the jet finding and to the split/merge step: soft particles originating from the hard parton might influence whether the parton passes the threshold to form a proto-jet, and influence whether the final jet is split into two separate jets. The anti- k_t algorithm described below does not suffer from any theoretical problems. This, and the better performance before and after calibration [5] (including a better trigger matching performance), are the reasons why the ATLAS

collaboration adopted the anti- k_t algorithm for data taking. Two different distance parameters of $R=0.4$ and $R=0.6$ are employed for the measurements in this thesis, as detailed in Chap. 7, as jets with different areas will be impacted differently by non perturbative effects such as hadronisation and underlying event.

The final four-momentum of the jet is obtained from summing the four-momenta of its constituents in the *four-vector recombination scheme*. This scheme conserves energy and momentum, and allows a meaningful definition for the jet mass.

4.1.3 Inputs to Jet Reconstruction

Jets in ATLAS are reconstructed starting from different physics objects [6, 7]. The most widely used inputs to jet finding are topological clusters, or *topoclusters* [8], groups of calorimeter cells clustered into three-dimensional energy deposits exploiting the longitudinal and transverse calorimeter segmentation. Calorimeter cells are included in topoclusters using a noise suppression scheme. Jets built from topological clusters will be referred to as *topocluster jets* in the following. Jets can also be built using groups of geometrically delimited groups of calorimeter cells (*towers*), that can also take advantage of the noise suppression scheme; these jets will be called *tower jets* in the following. Both topoclusters and towers are reconstructed as massless pseudo-particles, and are described in more detail below. Other inputs to jet reconstruction can be reconstructed tracks (*track jets*) or stable¹ particles generated by the Monte Carlo event generator (*Monte Carlo truth jets*). Truth jets used for the calibration and performance studies do not include muon and neutrinos, while truth jets used for unfolding detector effects in the inclusive jet cross section measurement do.

4.1.3.1 Topological clusters

Topological clusters are groups of calorimeter cells that are designed to follow the shower development taking advantage of the fine segmentation of the ATLAS calorimeters. The topocluster formation algorithm starts from a *seed* cell, whose signal-to-noise ratio (estimated as the energy deposited in the calorimeter cell over the RMS of the energy distribution measured in randomly triggered events) is above a threshold of 4. Cells neighboring the seed that have a signal-to-noise ratio of at least 2 are included iteratively, and finally all neighboring cells are added to the topocluster. The topoclustering algorithm also includes a splitting step: all cells in a cluster are searched for local maxima in terms of energy content, and the local maxima are then used as seeds for a new iteration of topological clustering, which will split the original cluster in more topoclusters. A topocluster is defined to have an energy equal to the energy sum of all the included cells, zero mass and a reconstructed direction as that of a unit vector originating from the centre of the ATLAS coordinate system pointing to the energy-weighted topocluster barycentre. The energy scale of topoclusters can also be calibrated starting from the baseline energy scale measured by the calorimeters, as explained in Sect. 4.2.2.

¹ A stable particle is defined to have a lifetime longer than 10 ps.

4.1.3.2 Calorimeter towers

Towers are defined as groups of calorimeter cells that are delimited by a projective fixed two-dimensional grid in pseudorapidity and azimuthal angle. The chosen grid element size is $\eta \times \phi = 0.1 \times 0.1$; this choice divides the calorimeter into approximately 6,400 geometrical towers of fixed size in detector (η, ϕ) space.² The energy of a tower is given by the sum of the energies of the single calorimeter cells as measured by the calorimeters. This definition allows a fixed delimitation of the energy that contributes to a jet, and therefore allows for a clear definition of the jet area to be employed when subtracting additional baseline energy that does not belong to the jet (e.g. due to multiple proton-proton interactions within the same bunch crossing) is performed.

Cells contributing to a tower can have a positive or a negative signal; the latter happens in the case of noisy cells or cells integrating energy from more than one proton-proton interaction. Summing all contributions indiscriminately allows for a natural average noise suppression, but it also leads to negative towers in the case of large negative cell energies. Since negative energy constituents have no clear meaning in four-vector algebra, unphysical results can be obtained when reconstructing jets. For this reason, jets formed by towers with no explicit noise suppression are only used for specific studies, and the default tower definition only includes cells that are contained in topoclusters, automatically discarding negative energy constituents. The noise-suppressed towers are called topological towers or *topotowers*.

Figure 4.2 shows drawings of the different types of jet inputs: topoclusters (with the different signal/noise contributions in shades of red), towers and topotowers. Topoclusters have been drawn as composed by the shaded cells passing the noise suppression threshold. Dotted cells are the ones that are effectively input to jet finding.

4.2 Jet Energy Scale Calibration in ATLAS

In non-compensating calorimeters such as the ones in the ATLAS experiment, the response to hadrons is lower than the response to particles interacting electromagnetically. This section describes the calibration schemes that have been developed in ATLAS to restore the correct hadronic energy scale starting from the electromagnetic scale. The conversion of the raw calorimeter signal into a EM-scale calibrated energy measurement has been described in Sects. 3.5.1 and 3.5.2. A correction for calorimeter non-compensation is needed to restore the final hadronic energy scale (*jet energy scale*), together with further corrections to take into account effects related to the detector, such as:

1. Energy losses in inactive regions of the detector (dead material),

² For non-projective calorimeter cells (e.g. in the FCal) or for cells larger than the tower grid element size, the energy contribution of the cell to the tower is weighted by the ratio of the tower bin area over the cell area in η, ϕ .

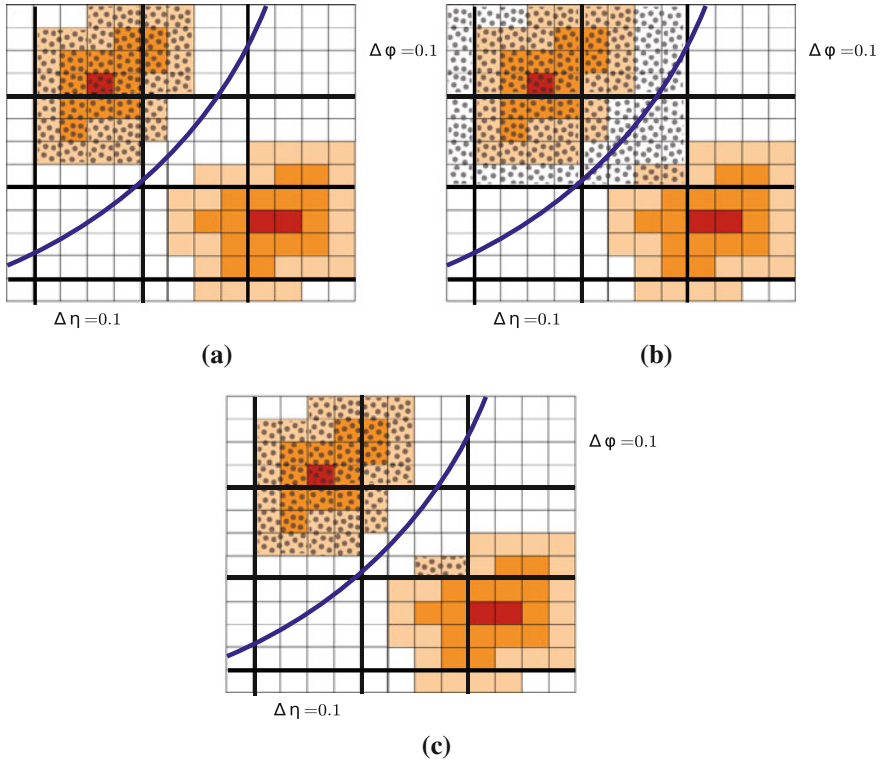


Fig. 4.2 Schematic representation of the three different types of jet inputs. Topoclusters are shown in Figure (a) with shaded areas corresponding to energy deposits above the noise-suppression thresholds, towers without noise suppression in Figure (b) and noise suppressed towers in Figure (c). The cells considered for jet finding are dotted, while the dark blue line shows a simplified edge of a jet

2. Energy deposits from particles not contained in the calorimeter (leakage),
3. Energy deposits of particles not included in the reconstructed jet because e.g. they have been deviated by the magnetic field (*out of cone*),
4. Signal losses in calorimeter clustering and jet reconstruction.

Presently, the default ATLAS calibration scheme applies calibration corrections as a function of the jet energy and pseudorapidity to jets reconstructed at the electromagnetic scale. The hadronic jet energy scale is on average restored using calibration constants derived from the comparison of the reconstructed jet kinematics to that of the corresponding truth level jet in Monte Carlo studies. The additional energy due to multiple proton-proton interactions within the same bunch crossings (pile-up) is corrected for before the hadronic energy scale is restored using data-derived factors, so that the derivation of the jet energy scale calibration constants is factorised and does not depend on the number of additional interactions measured. This calibration

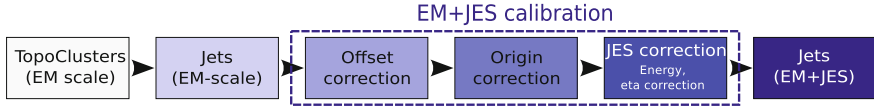


Fig. 4.3 Schematic representation of the jet reconstruction procedure for EM+JES jets

scheme (called EM+JES) has been validated with in-situ techniques. It also allows a direct evaluation of the systematic uncertainty, being therefore suitable for the very first physics analyses.

The baseline (nominal) Monte Carlo sample used to derive the jet energy scale and to estimate the sources of its systematic uncertainty is composed of inclusive QCD jet events generated with the PYTHIAevent generator tuned to the first ATLAS data [9] and passed through the full ATLAS detector simulation. The nominal sample has been described in more detail in Sect. 2.4.2. The reliability of the application of this Monte Carlo-based JES calibration to data requires that reconstructed jets in this Monte Carlo sample are in good agreement with jets in data. The PYTHIAMonte Carlo simulation has been shown to describe data reasonably well, as shown in Fig. 2.7.

4.2.1 EM+JES Calibration Scheme

The EM+JES calibration scheme is applied to both topoclusterand tower jets after jet finding has been performed at the electromagnetic scale, as a series of subsequent steps shown in Fig. 4.3 and detailed in the following paragraphs.

4.2.1.1 Pile-up correction

The energy of jets can include energy that does not come from the interaction of interest, but is instead produced by multiple proton-proton interactions within the same bunch crossing. A correction is derived from minimum bias data as a function of number of reconstructed primary vertices N_{PV} ³ and jet pseudorapidity η , and takes into account the average additional energy deposited in a fixed grid of 0.1×0.1 in the (η, ϕ) -plane (non-noise suppressed calorimeter towers). To derive the correction, the average additional energy is then multiplied by the number of towers in jets built from towers, or the average number of towers in the case of topocluster jets, as a function of jet pseudorapidity. This correction is applied at the electromagnetic scale as the first step of the calibration scheme. Further details on the pile-up offset correction can be found in [10].

³ Vertices are counted using a criterion of having at least 5 associated tracks.

4.2.1.2 Jetorigin Correction

Calorimeter jets are reconstructed using the geometrical centre of the ATLAS detector as reference to calculate the direction of jets and their constituents (see Sect. 4.1.3). The direction of each topocluster or tower included in a jet is corrected to point back to the primary vertex with the highest associated sum of track transverse momenta squared ($\sum p_{T,\text{track}}^2$) in the event. The kinematics of each jet constituent is recalculated using the vector from the primary vertex to the topocluster or tower centroid as its direction. The raw jet four-momentum is thereafter redefined as the vector sum of the constituent four-momenta, and its pseudorapidity is defined η_{origin} in contrast to the raw detector pseudorapidity measured in the calorimeters η_{det} . The origin correction improves the angular resolution, while the jet energy is unaffected.

4.2.1.3 Final JES Correction

In the final step of the EM+JES scheme, calibration constants derived from the comparison of the simulated calorimeter jet kinematics to the kinematics of the corresponding Monte Carlo truth jet are applied. Since pile-up effects have already been corrected for, the Monte Carlo sample used to derive the calibration constant does not include multiple proton-proton interactions within the same bunch crossing.

Only isolated jets are used for the calibration: an isolated jet is defined as a jet that has no other calorimeter (truth) jet with EM-scale (truth) $p_T > 7 \text{ GeV}$ within $\Delta R = 2.5R$, where R is the distance parameter of the jet algorithm. The choice of using a specific Monte Carlo sample with an isolation requirement leads to the calibration to be tailored to the specific jet flavour composition (e.g. quark jets vs gluon jets) and topology used to derive the calibration constants. Effects related to close-by jets and flavour composition need to be taken into account at the analysis level and are discussed in Sect. 6.6. Only calorimeter jets that are geometrically matched to truth jets within a cone of $\Delta R = \sqrt{(\Delta\phi)^2 + (\Delta\eta)^2} = 0.3$ around the jet axis are used for the calibration.

For each pair of matched calorimeter/truth jet, the energy response $\mathcal{R}$ is defined as the ratio of the jet energy measured in the calorimeters at a given scale E_{calo} and the energy of the matched truth jet E_{truth} :

$$\mathcal{R} = E_{\text{calo}}/E_{\text{truth}}. \quad (4.1)$$

To derive the JES correction, the first step is to calculate the jet energy response at the electromagnetic scale $\mathcal{R}_{\text{EM}}$ using the calorimeter energy as measured by the calorimeter $E_{\text{calo}}^{\text{EM}}$. For each $(E_{\text{truth}}, \eta_{\text{det}})$ -bin considered, two histograms for $\mathcal{R}_{\text{EM}}$ and $E_{\text{calo}}^{\text{EM}}$ are filled. For each bin of η_{det} , the mean of a Gaussian fit to the $\mathcal{R}_{\text{EM}}$ distribution defines the average EM-scale energy response $\langle \mathcal{R} \rangle$, as a function of the average $E_{\text{calo}}^{\text{EM}}$ corresponding to the E_{truth} bin considered. $\langle \mathcal{R}(E_{\text{calo}}^{\text{EM}}) \rangle$ is then fitted for each η_{det} bin k with an empirical function parameterised as:

$$\mathcal{F}_{\text{calib},k}(E_{\text{calo}}^{\text{EM}}) = \sum_{i=0}^{N_{\text{max}}} a_i \left(\ln E_{\text{calo}}^{\text{EM}} \right)^i, \quad (4.2)$$

where a_i are free parameters, and N_{max} is chosen between 1 and 6 depending on the goodness of the fit. In the case of jets calibrated starting from the electromagnetic scale, the fit is constrained to pass through three points lying on a curve that follows an approximate expression by Groom et al. [11, 12]. This helps convergence at very high energies where the Monte Carlo statistics is limited. Groom's function exploits the consideration that at high energies bremsstrahlung and $\pi_0 \rightarrow \gamma\gamma$ dominate the hadronic shower, and therefore the electromagnetic fraction (that does not need an additional correction due to non-compensation) increases with energy.

The final jet energy scale correction that relates the measured calorimeter jet energy scale to the hadronic scale for each separate η_{det} -bin is then defined as $1/\mathcal{F}_{\text{calib},k}(E_{\text{calo}}^{\text{EM}})$ in the following:

$$E_{\text{calo}}^{\text{EM+JES}} = \frac{E_{\text{calo}}^{\text{EM}}}{\mathcal{F}_{\text{calib},k}(E_{\text{calo}}^{\text{EM}})|_{\eta_{\text{det}}}}, \quad (4.3)$$

The average jet energy scale correction $\langle 1/\mathcal{F}_{\text{calib},k}(E_{\text{calo}}^{\text{EM}}) \rangle$ is shown as a function of calibrated jet transverse momentum $p_{\text{T}}^{\text{jet}}$ for three jet η -intervals in Fig. 4.4. The value of the correction factor ranges from about 2.1 at low jet transverse momentum to less than 1.2 for high energy jets in the most forward region. The correction is only shown over the accessible kinematic range, i.e. values for jets above the kinematic limit are not shown. This is also the case for the following figures in this thesis. The calorimeter jet response at the electromagnetic scale $\mathcal{R}_{\text{EM}}$ is shown for various energy- and η_{det} -bins in Fig. 4.5.

After the jet origin and energy corrections, a small η -dependent correction is applied to remove a bias in the reconstructed η of jets that occurs when the jet falls into a poorly instrumented region of the calorimeter. In these regions topoclusters are reconstructed with a lower electromagnetic scale energy with respect to better instrumented regions (see Fig. 4.5). When clustered with a jet algorithm, the energy of each topocluster is used as a weight in the calculation of the jet direction: the jet direction will therefore be biased towards the better instrumented calorimeter regions. The size of this bias is illustrated as a function of uncorrected detector pseudorapidity $|\eta_{\text{det}}|$ and EM+JES calibrated jet energy in Fig. 4.6.

The η -correction is derived as the average $\Delta\eta = \eta_{\text{truth}} - \eta_{\text{origin}}$ in $(E_{\text{truth}}, \eta_{\text{det}})$ -bins, and is parameterised as a function of the jet $E_{\text{calo}}^{\text{EM+JES}}$ and η_{det} . It is very small ($\Delta\eta < 0.01$) for most regions of the calorimeter but larger in the transition regions (up to $\Delta\eta = 0.05$ for low p_{T} jets in the HEC-FCal transition region around $\eta_{\text{det}} = 3.2$).

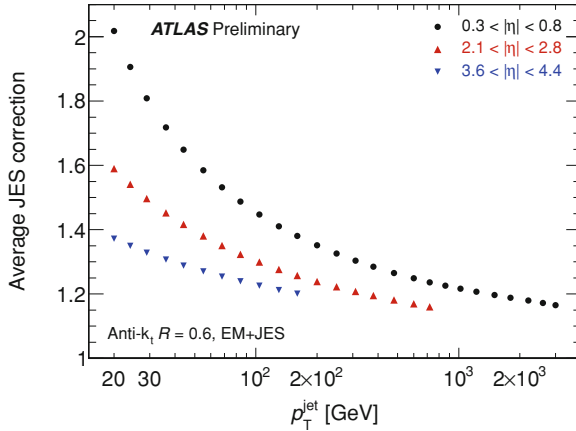


Fig. 4.4 Average jet energy scale correction as a function of calibrated jet transverse momentum in three detector pseudorapidity bins. The correction is only shown over the accessible kinematic range, i.e. values for jets above the kinematic limit are not shown. Figure from [13]

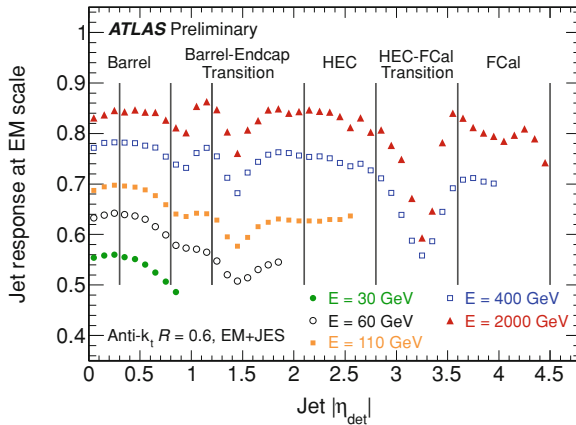


Fig. 4.5 Simulated jet energy response at the electromagnetic scale as a function of detector pseudorapidity η_{det} and for different EM+JES calibrated jet energies $E_{\text{calo}}^{\text{EM+JES}}$ (called E in the plot). The inverse of the response shown in each bin is equal to the average jet energy scale correction (and therefore equal to $\mathcal{F}_{\text{calib}}$). Figure from [13]

4.2.2 Other Calibration Schemes

In addition to the EM+JES scheme, other calibration schemes are undergoing commissioning in ATLAS. The different characteristics of electromagnetic and hadronic showers are exploited as cluster-by-cluster and/or jet-by-jet information. This reduces some of the sources of fluctuations in the jet energy response (as described in Sect. 5.1) that are still present when applying an overall scale factor to calibrate jets, and thereby

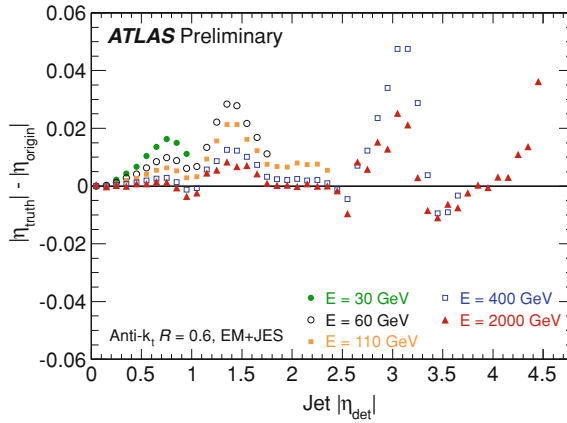


Fig. 4.6 Jet pseudorapidity bias as a function of detector pseudorapidity η_{det} and for different EM+JES calibrated jet energies E . Figure from [13]

improves the jet energy resolution. Hadronic cascades are more extended and less dense than the electromagnetic ones. Cell energy densities and specific jet properties can be measured thanks to the high granularity of the calorimeters and used to parameterise the correction factors that compensate for the different response of hadronic and electromagnetic showers.

Hadronic calibration methods in ATLAS can be classified in two categories:

1. *Global calibrations*, in which the jet finding is performed on either topocluster or towers at the electromagnetic scale and then the energy of the whole jet or of the cells belonging to a jet is corrected to the final jet energy scale;
2. *Local calibrations*, in which the jet finding is performed on topological clusters which have already undergone a reweighting based on the properties of the cluster.

The EM+JES scheme described above, the *Global Sequential Calibration* [14] and *Global Cell Weighting* [14, 15] schemes belong to the first category, while the *Local Cluster Weighting* scheme [16] belongs to the second. A brief description of these calibration schemes is given in the following.

Global Cell Weighting In the Global Cell Weighting scheme (GCW), jets are grouped from calorimeter objects calibrated at the electromagnetic scale, and subsequently the cells that form the calorimeter objects belonging to the jet are resummed with a correction weight applied. The weights are parameterised according to the energy density and position of the cell. In order to have a reference jet from which to obtain the calibration weights, jet finding is performed on simulated particles (at the *true* level before entering the detector) and the reconstructed energy is compared to the true energy: the weights are then obtained via χ^2 minimization on a simulated dijet sample with a specific jet finding algorithm.

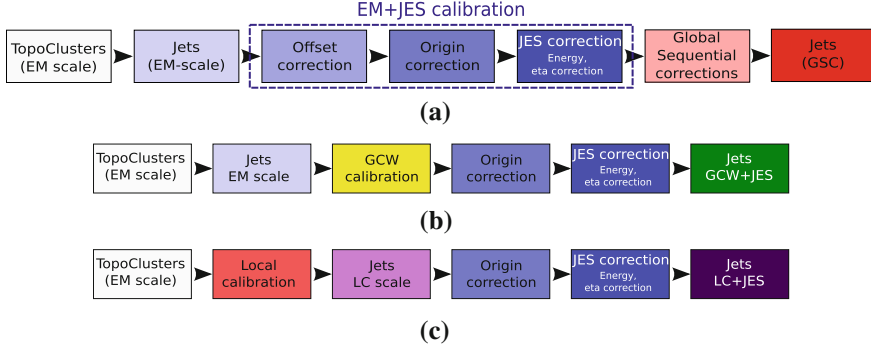


Fig. 4.7 Schematic representation of the jet reconstruction procedure for jets calibrated with the Global Sequential (a), Global Cell Weighting (b) and Local Cell Weighting (c) calibration schemes

Global Sequential Calibration The Global Sequential Calibration (GS) uses correction factors that depend on the longitudinal and transverse jet structure properties. It is applied as an additional correction on top of EM+JES calibrated jets and aims to improve the jet energy resolution without modifying the average jet response. The variables used to parameterise the correction are:

- the fraction of energy in a given layer $f_{layer} = E_{layer}^{EM} / E_{jet}^{EM}$ for one or more calorimeter layers depending on the jet pseudorapidity
- the jet width calculated as the average distance of a jet constituent to the jet direction, weighted with the energy of the constituent.

The correction is derived as a multiplicative factor to be applied to the full four-vector by inverting the jet response at the EM+JES scale as a function of each of the variables listed above. Different variables are used sequentially: jets corrected with the n -1th variable factor are used as input to calculate the jet response as a function of the n th variable and so forth. The dependence of the jet energy scale from the jet longitudinal and transverse properties is removed, therefore reducing the width of the jet energy distribution, but the average response is left unchanged.

Local Cluster Weighting In the Local Cluster Weighting calibration scheme (LC, or LCW) the energy of topological clusters is corrected first, and jet finding is then performed on the calibrated objects. The corrections are modular, and only topological clusters that are classified as hadronic from their characteristics are corrected with a weight that depends on the position and the energy density of the constituent cells. In this case the weights have been derived from simulation of single pions and verified in test beam measurements [17]. Jet level corrections are then applied after the jet finding in order to account for unclustered energy and dead material. Thanks to the cluster classification, the scaling factors applied to the electromagnetic energy deposits can be as close as possible to unity.

Methods that apply corrections directly to jets are physics dependent, since the cell weights have been obtained by comparing the reconstructed jet energy to the energy of particle jets from Monte-Carlo for a specific sample (the nominal dijet sample described in Sect. 2.4.2) and a specific jet finding procedure. The advantage of the Local Cell Weighting scheme is that the corrections applied to the calorimeter objects are modular and have been derived from simulation of single particle, and do not depend on the physics sample or on the jet finding algorithm. All inputs to the various jet calibration schemes in Monte Carlo simulations (e.g. longitudinal and transverse jet properties for the Global Sequential Calibration, cell energy densities for Global Cell Weighting) have been compared to measurements using the first ATLAS data, and shown to be in reasonable agreement [14]. In the case of the jet width, where data and Monte Carlo discrepancies are not fully understood, the relative Global Sequential Calibration correction is not applied.

These refined calibrations can be employed in precision analyses where jet resolution effects need to be reduced to a minimum. The Local Cluster Weighting scheme has been particularly relevant in the very first ATLAS data when calculating the sum of all energy deposited in the calorimeter (ΣE_T) or the missing transverse momentum, since single calibrated topoclusters have been used before jets were fully commissioned.

4.2.2.1 Additional Corrections for Alternative Calibration Schemes

The offset correction for pile-up is only used in combination with the EM+JES and Global Sequential calibration schemes; event by event and jet by jet correction techniques to account for the average increase of the jet energy due to pile-up have been studied in Monte Carlo and are undergoing commissioning with data.

The correction to the jet origin is commissioned for the Global Cell Weighting scheme in addition to the EM+JES and Global Sequential calibrations, and corrects the energy of each single cell belonging to the jet to point to the primary interaction vertex before the energy weighting step. Locally calibrated topoclusters included in a jet also have an origin correction applied to their direction.

Both the Local and Global Cell Weighting schemes need an additional JES correction similar to the last step of Sect. 4.2.1, to fully restore the jet energy scale. The final JES correction is applied to these calibrations with the following differences:

1. The starting scale for the derivation and application of the correction is the calibrated scale, not the electromagnetic scale;
2. The correction function is not forced to pass through the three points derived from a Groom distribution, since the non-compensation is partially recovered by the previous calibration step.

In the following, the Local Cluster Weighting and Global Cell Weighting after this correction has been applied will be denoted as LC+JES and GCW+JES, while the Global Sequential Calibration will be denoted as GS.

The full jet reconstruction procedure for jets calibrated with the Global Sequential Calibration, Global Cell Weighting and Local Cell Weighting schemes is pictured in Fig. 4.7.

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Chapter 5

Jet Reconstruction Performance

Since jets are key ingredients for measurements at hadron colliders, the evaluation of their performance is particularly relevant for physics analyses.

The two key quantities that are input to physics analyses are the jet energy and transverse momentum response and resolution. The jet energy response and resolution are respectively connected to the mean and the width of the distribution of the energies of a jet originating from an object whose true energy is within a given (narrow) range. Jet calibration techniques such as those described in Chap. 6 are designed to restore the jet energy scale and could help to reduce the fluctuation in the reconstructed jet energy. The performance of jet reconstruction can be tested using Monte Carlo simulation by comparing reconstructed jets to truth particle jets, but it is particularly important that the performance of Monte Carlo based jet energy calibrations applied to data is tested using the data itself, using in-situ techniques.

This chapter is concerned with the methods used to quantify the performance of jet reconstruction and calibration in terms of the jet energy and p_T response and resolution. It starts with a brief description of the jet energy resolution and its measurement in ATLAS in Sect. 5.1. Techniques that make use of data taken in 2010 to evaluate the jet energy scale in-situ are described in Sect. 5.2. The full evaluation of the jet energy scale uncertainty is described in the next chapter. All results that concern how the 2010 ATLAS jet energy measurements can be found in Ref. [1].

The various jet reconstruction steps also need to be validated before the full procedure is deployed in the software used for data taking. For the full 2010 data taking period, this has been done using Monte Carlo simulation. The software used for this purpose is the JETPERFORMANCE package within the ATLAS software framework [2, 3]. This software package aims to provide a common set of definitions and algorithms to measure quantities relevant to the performance of jet reconstruction through a series of standardised validation plots. Figures of merit and plots produced using this framework are reported in Sect. 5.3.

5.1 Jet Energy Resolution

The energy of a reconstructed jet can fluctuate with respect to the initial energy of the originating object for a number of reasons, related to the behaviour of partons and jets dictated by the underlying QCD theory or connected to instrumental effects. Among these are particles escaping the finite jet area or additional particles not from the hard scatter being collected within the jet, the statistical fluctuations inherent to the energy measurement in calorimeters, the inhomogeneous energy losses between active and passive material, calorimeter non uniformities and non linearities.

Quantifying the magnitude of these fluctuations in terms of the width of the jet response distribution¹ is essential in order to recover correspondence between the jet energy measured in the calorimeter and the energy of the underlying physics object. The knowledge of the jet resolution has an impact on the jet cross section measurement described in this thesis: knowing how well the Monte Carlo simulation describes jet energy resolution in the data is necessary in order to unfold all detector effects and compare the measurement to theory.

The two different methods to measure the jet energy resolution in data are employed by ATLAS are described in Sect. 5.1.1. The jet energy resolution can also be measured in Monte Carlo (Sect. 5.3.3), by taking the width of the jet response distribution (where the jet response is defined as in Sect. 4.2.1) and dividing it by its mean.² This quantity will be named *truth resolution* in the following.

Comparing the resolution evaluated with in-situ methods that are applied to data and Monte Carlo can give confidence that the truth resolution is a good representation of the jet resolution in data. In the following, the jet energy resolution will be replaced by the transverse momentum resolution since the jet p_T is the most commonly employed variable in physics analysis, and the fractional resolution ($\frac{\sigma_{p_T}}{p_T}$) will be used as benchmark quantity.

5.1.1 In-Situ Determination of the Jet Energy Resolution

ATLAS employs two different techniques to measure the jet energy resolution using calorimeter observables: the *dijet balance* method and the *bisector* method, described in Refs. [4, 5]. The two techniques rely on different assumptions and have different systematic uncertainties: two independent measurements of the jet energy resolution can be compared and they can provide separate cross-checks to the Monte Carlo simulation.

¹ Most effects mentioned here lead to a Gaussian distribution of the jet response, but some contribute to its tails. As a first iteration, most techniques used to estimate the jet energy resolution are mainly directed towards the measurement of the Gaussian width of the jet response distribution.

² It can be shown that the fractional jet p_T resolution in Monte Carlo can be approximated by the Gaussian width of the p_T response distribution $\mathcal{R}$ as in a given (p_T^{true}, η) bin and dividing it by the mean response using the assumption of narrow p_T^{true} bins.

Fluctuations in the jet energy can be due to both physics effects (e.g. radiation that is not captured in the jet or the underlying assumption in the methods that events have a pure dijet topology) and to calibration and detector effects. The techniques used in ATLAS aim to only account for the calorimeter-related jet energy fluctuations in the evaluation of the jet energy resolution, and verify in data the assumptions that involve the fluctuations of the jet energy due to physics effects as simulated in Monte Carlo.

5.1.1.1 Dijet Balance Method

The dijet balance method [6] relies on the energy conservation in the transverse plane in the case of dijet events. Events with such topology are selected applying a cut on the azimuthal angle between the two leading jets $\Delta\phi$ so that they are back to back ($\Delta\phi < 2.8$) and limiting the presence of significant third jet radiation by imposing $p_{T,3}^{EM} < 10 \text{ GeV}$, where $p_{T,3}^{EM}$ is the third jet EM-scale transverse momentum. The two jets are required to be in the same rapidity region so that their energy will be comparable and they will be traversing similar calorimeter technologies.³ The asymmetry $\mathcal{A}$ between the two jets is defined as:

$$A = \frac{p_T^1 - p_T^2}{p_T^1 + p_T^2}. \quad (5.1)$$

Taking the variance of the asymmetry and assuming that the transverse momenta of the two jets are balanced ($p_T^1 \approx p_T^2$) leads to a direct connection between the width of the asymmetry distribution and that of the jet transverse momentum:

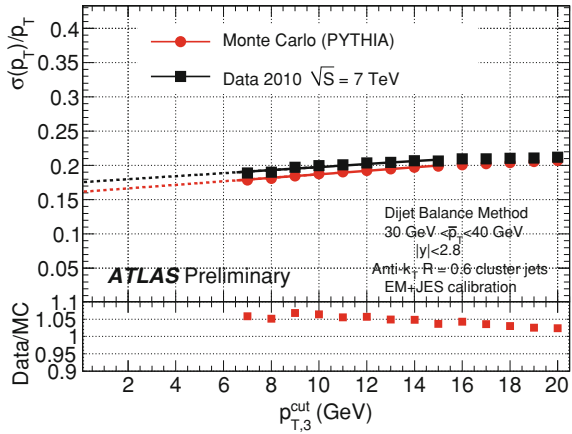
$$\frac{\sigma_{p_T}}{p_T} = \sqrt{2}\sigma_A. \quad (5.2)$$

Since the cut on the p_T of the third jet cut does not exclude the presence of additional jets with $p_{T,3}^{EM} < 10 \text{ GeV}$ that could imbalance the event, a soft radiation correction K_{soft} is derived by extrapolating the fractional resolution to a value of $p_{T,3}^{EM} < 0$. This is done employing a series of steps in the $p_{T,3}^{EM}$ cut and using a linear fit for the extrapolation, as shown in Fig. 5.1. The correction is taken as the ratio of the extrapolated fractional resolution with respect to the fractional resolution with the $p_{T,3}^{EM} < 10 \text{ GeV}$ cut:

$$K_{soft}(p_T) = \frac{\left(\frac{\sigma_{p_T}}{p_T}\right)_{p_{T,3}^{EM} \rightarrow 0 \text{ GeV}}}{\left(\frac{\sigma_{p_T}}{p_T}\right)_{p_{T,3}^{EM} < 10 \text{ GeV}}}$$

³ A similar procedure can also be used to estimate the resolution of jets in different rapidity regions, if the resolution for one of the two rapidity regions is known.

Fig. 5.1 Fractional resolution as a function of the value of the cut on the third jet p_T , together with the linear fit (solid line) and extrapolation (dashed line) to $p_{T,3}^{EM}=0$ in a sample p_T^{avg} bin. Figure from [4]



The correction is then fitted as a function of p_T using the empirical parameterisation $K_{\text{soft}}(p_T) = a + b/\log^2(p_T)$, which has the desired property of becoming unity for large values of p_T (where radiation is negligible with respect to the jet momenta). Additional parameterisations were employed, and the variation of the corrected resolution is taken as a systematic uncertainty of the method of up to 5%.

The imbalance between calorimeter jets due to physics effects is also removed in order to only include calorimeter effects in the evaluation of the fractional resolution. For this reason, the particle-level imbalance is derived using Monte Carlo truth jets using the method above and subtracted in quadrature from the in-situ resolution after the soft radiation correction.

The total systematic uncertainty for the dijet balance method amounts to 4–6% in the p_T^{jet} range of 20–500 GeV.

5.1.1.2 Bisector Method

The bisector method [7] uses the decomposition of the vector sum of the two leading jet four momenta $\mathbf{P}_T$ to estimate the jet energy resolution. As shown in Fig. 5.2, $\mathbf{P}_T$ is projected on the η axis (which bisects the angle $\phi_{1,2}$ between the two jets) and the orthogonal ψ axis. $\mathbf{P}_T$ represents the imbalance between the transverse momenta of the two jets. Its two projections on the η , ψ axes are called $p_{T,\eta}$ and $p_{T,\psi}$ and their variance is used to estimate the jet resolution.

The underlying assumption is that at particle level, the two components of the imbalance vector will have equal fluctuations, and the imbalances are due to fluctuations from calorimeter effects. Using this assumption, the fractional resolution can be expressed in terms of the variances of the two imbalance components induced by calorimeter effects ($\sigma_{\eta}^{2,\text{calo}}$ and $\sigma_{\psi}^{2,\text{calo}}$) and the angle between the two jets:

Fig. 5.2 Schematic representation of the decomposition of the vector sum of the two leading jet four momenta used to estimate the jet resolution using the bisector method. Figure from [4]

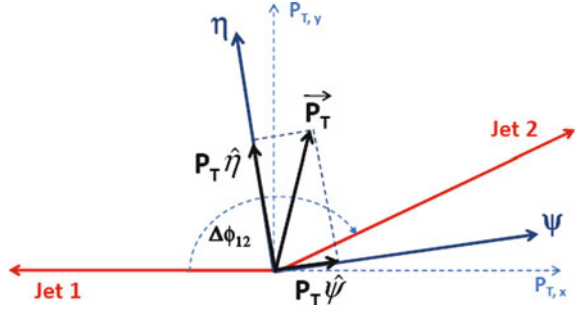
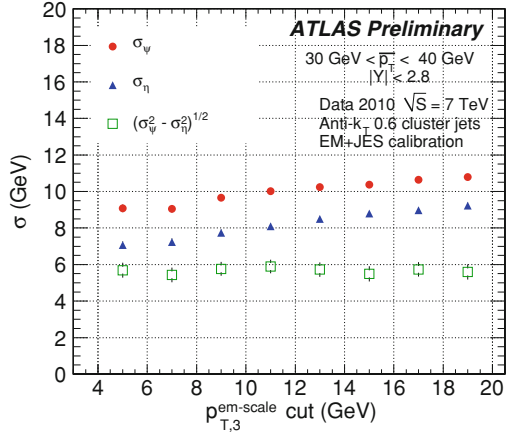


Fig. 5.3 Variances $\sigma_{\eta}^{2,calo}$, $\sigma_{\psi}^{2,calo}$ and their difference as a function of the third jet $p_{T,3}^{EM}$ cut in a sample p_T^{avg} bin. Figure from [4]



$$\frac{\sigma_{pT}}{pT} = \frac{\sqrt{\sigma_{\eta}^{2,calo} - \sigma_{\psi}^{2,calo}}}{pT \sqrt{2} \cos(\Delta\phi_{1,2})}$$

The variances $\sigma_{\eta}^{2,calo}$ and $\sigma_{\psi}^{2,calo}$ are extracted from the distribution of the projections of $\mathbf{P}_T$ in bins of the average p_T^{jet} (p_T^{avg}) of the two leading jets.

The event selection follows that of the dijet balance method, but removing the cut on the angle between the two jets. The assumption of isotropy of the soft radiation is tested by changing the value of the third jet cut and checking $\sigma_{\eta}^{2,calo}$, $\sigma_{\psi}^{2,calo}$ and their difference. As shown in Fig. 5.3, the increase of the soft radiation contribution cancels in the squared difference within 3–4% for the 30–40 GeV p_T^{avg} bin. The precision to which this assumption holds is taken as a systematic uncertainty of the method.

The total systematic uncertainty for the bisector method amounts to 3–4% in the p_T^{jet} range of 20–500 GeV.

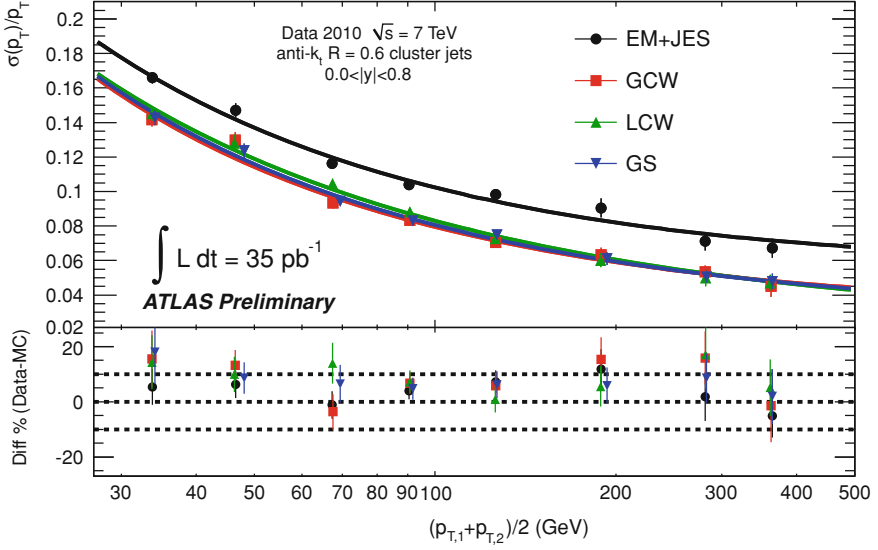


Fig. 5.4 Jet energy resolution estimated using the bisector technique, overlaid for data (*points*) and Monte Carlo (*line*) for the calibration schemes described in Sect. 4.2.2: the Local Cluster Weighting (LCW), Global Cell Weighting (GCW), the Global Sequential calibration (GS) and the EM + JES scheme. The *lower* ratio plot shows the relative difference between the Monte Carlo parameterisation and the data points. Figure from [5]

5.1.2 Results from In-Situ Methods and Comparison to Monte Carlo

The fractional jet transverse momentum resolution can be parameterised as:

$$\frac{\sigma_{p_T}}{p_T} = \frac{a}{p_T} \oplus \frac{b}{\sqrt{p_T}} \oplus c \quad (5.3)$$

where the first term includes the stochastic sources of fluctuations that are independent of the energy but whose relative impact decreases linearly with energy (e.g. electronics noise, remaining offset from pile-up), the second term includes all sources that are of statistical nature and follow Poisson statistics (e.g. signal sampling), and the constant term is related to detector effects that are a constant fraction of energy.

The fractional resolution derived from the Monte Carlo simulation with each of the two methods can be fitted using the parameterisation above, and compared to the fractional resolution in data. The result is shown for the bisector method in Fig. 5.4 for the calibration schemes described in Sect. 4.2.2: the Local Cluster Weighting (LCW), Global Cell Weighting (GCW), the Global Sequential calibration (GS) and the EM + JES scheme.

The results for both methods in data are compatible with the Monte Carlo simulations within the given systematic and statistical uncertainties of about 10%. The final systematic uncertainty on the jet resolution for each rapidity region is assigned from the weighted average of the systematic errors on the relative data/Monte Carlo difference, and it is flat as a function of p_T .

5.1.2.1 Choice of Jet Energy Resolution Parameterisation and its Uncertainties

The agreement for the in-situ results in data and Monte Carlo gives confidence that the truth Monte Carlo resolution can be trusted to represent the resolution in data. The parameterisation of the Monte Carlo resolution from [5] (also shown as the blue line of Fig. 5.14 in the case of anti- k_t jets with $R = 0.6$) is taken as a baseline for all ATLAS analyses and it will be referred in the following as the default ATLAS jet energy resolution. In this analysis no isolation cut is applied on the jet, only the leading jets are considered and the fitting range for the parameterisation is $30 < p_T^{\text{jet}} < 500 \text{ GeV}$ since this is the range where in-situ data is available.

In the kinematic range covered by the in-situ data (jets with $30 \leq p_T < 500 \text{ GeV}$ and $|\eta| < 2.8$), the comparison of the jet resolution measured with in-situ techniques in data and Monte Carlo shows agreement within the systematic uncertainties of less than 10%, as mentioned above. Outside the kinematic range of in-situ measurements, the Monte Carlo parameterization is kept but the uncertainty is conservatively increased as follows:

- Jets with $p_T = 10 \text{ GeV}$ are assigned an uncertainty that is three times that of jets with $p_T = 30 \text{ GeV}$. This covers the differences in the jet resolution when the Monte Carlo parameterization is derived taking into account resolution values starting from 15 GeV with respect to the default starting value of 30 GeV (as detailed in Sect. 5.3.3). The uncertainty varies smoothly from its value at 10 GeV to the value at 30 GeV, as a function of p_T .
- Jets with $p_T < 1,000 \text{ GeV}$ are assigned an uncertainty that is twice as much that of jets with $p_T = 500 \text{ GeV}$, with a linearly varying uncertainty between the two values.
- Jets with $p_T > 1,000 \text{ GeV}$ are assigned the uncertainty of jets with $p_T = 1,000 \text{ GeV}$.

5.2 In-Situ Validation of the Jet Energy Scale Calibration

Jet reconstruction, and in particular the jet energy scale calibration based on Monte Carlo, can be validated using in-situ techniques comparing the first 35–38 pb^{-1} of 2010 ATLAS data and the Monte Carlo simulation results. In-situ techniques described in this chapter use well measured benchmark objects that balance the jet to evaluate the relative and absolute jet energy scale. The transverse momentum

balance in two-jet QCD events is used to check the uniformity of the calibration in pseudorapidity with the η *intercalibration* method. The absolute energy scale at low jet p_T is validated exploiting the p_T balance of the jet with well-measured photons in the *direct balance* and *missing- E_T projection fraction* (MPF) methods [8], while the balance between the leading jet and the recoil system is used as a probe for high- p_T jets [9]. Jets made of tracks measured in the inner detector can also be compared to the corresponding jet measured from calorimeter energy deposits [10].

5.2.1 Dijet Balance

As shown in Fig. 4.5, the calorimeter response at the electromagnetic scale is not uniform in pseudorapidity due to different material upstream of the calorimeters and to the varying calorimeter technology. The EM + JES calibration equalizes the jet response in both energy and pseudorapidity as shown in Sect. 5.3, but the complex calorimeter geometry of the ATLAS detector and shifts in the baseline electromagnetic scale that are the starting point for the EM + JES calibration might not be reflected perfectly in the Monte Carlo simulation. For this reason, the relative intercalibration of jets in different pseudorapidity regions needs to be studied in data.

The relative response of endcap to central jets is evaluated using the p_T balance techniques described in [11, 12]. These techniques employ dijet events to balance the transverse momentum of a probe jet with the p_T^{jet} of a reference jet, exploiting the momentum balance due to transverse momentum conservation. In such events, the response of the forward jets is measured relative to that of the better measured central jets. The measurement is performed using the 2010 ATLAS dataset, as well as for several MC generator event samples.

In the standard intercalibration method, a fixed reference region is chosen in the central calorimeter region ($|\eta| < 0.8$). Jets falling within this pseudorapidity range are treated as the reference jets, while jets outside this region are considered as probe jets. Figure 5.5a shows a schematic representation of the jet topology studied in the intercalibration method.

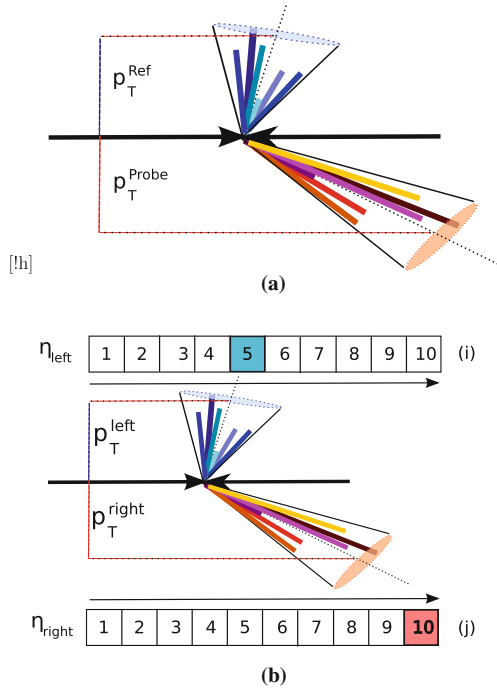
The asymmetry A is defined as twice the ratio between the difference and the sum of the transverse momenta of probe and reference jets:

$$A = 2 \frac{p_T^{\text{probe}} - p_T^{\text{ref}}}{p_T^{\text{probe}} + p_T^{\text{ref}}}. \quad (5.4)$$

The asymmetry distribution is then used to measure the average response of the probe jets relative to the reference jet, in bins of η of the probe jet and of the average transverse momentum of the two jets (p_T^{avg}):

$$\frac{p_T^{\text{probe}}}{p_T^{\text{ref}}} = \frac{2 + A}{2 - A} = 1/c \quad (5.5)$$

Fig. 5.5 **a** Schematic representation of the reference and probe jets in the dijet topology used for the calorimeter intercalibration, balanced in transverse momentum. **b** Schematic representation of the reference and probe jets in the dijet topology used for the Matrix intercalibration. The jet pseudorapidity regions increase towards the right and they are labeled with integer indices called i and j in the following



Only events where the two leading jets have a $p_T^{\text{avg}} > 20 \text{ GeV}$ are selected. Cuts on the third jet transverse momentum and on the angle between the two leading jets used in the analysis are applied in order to reject topologies with relevant third jet radiation.

The so-called *Matrix method* employs a similar technique to evaluate the relative jet response but overcomes the necessity of having a jet in the reference region, therefore increasing the available statistics. The definition of probe and reference jets is replaced by *left* and *right* jets depending on the relative pseudorapidity (where $\eta^{\text{left}} > \eta^{\text{right}}$), as shown in Fig. 5.5b.

Equations 5.5 and 5.4 still hold for the Matrix method when replacing the reference jet with the rightmost jet and the probe jet with the leftmost one. The average response ratio distribution $\mathcal{R}_{\text{left}, \text{right}} = \frac{p_T^{\text{jet}, \text{left}}}{p_T^{\text{jet}, \text{right}}}$ is evaluated for each bin of η^{left} (called bin i in the following), η^{right} (bin j) and for each p_T^{avg} bin (bin k). A system of linear equations for each of the p_T^{avg} bins is minimized to obtain the relative correction factors c_{ik} , c_{jk} in each of the η , p_T^{avg} bins, taking into account the statistical uncertainty on the relative response $\Delta\langle\mathcal{R}_{ijk}\rangle$:

$$S(c_{1k}, \dots, c_{Nk}) = \sum_{j=1}^N \sum_{i=1}^{j-1} \left(\frac{1}{\Delta\langle\mathcal{R}_{ijk}\rangle} (c_{ik}\langle\mathcal{R}_{ijk}\rangle - c_{jk}) \right)^2 + X(c_{ik}), \quad (5.6)$$

The function $X(c_{ik})$ is used to suppress deviations from unity of the average corrections as explained in [12]. Each correction factor is then rescaled so that the reference region $|\eta| < 0.8$ has a unitary response.

5.2.1.1 Dijet Balance in MC Samples

The relative jet response is also measured in the nominal PYTHIA sample and for three other Monte Carlo samples: QCD jet events simulated in PYTHIA with the Perugia2010 PYTHIA tune, events simulated using the combination of the ALPGEN generator interfaced to HERWIG and JIMMY (ALPGEN + HERWIG + JIMMY) and events simulated using the HERWIG++ generator. Details on the nominal sample and on the other generators can be found in Sect. 2.4.2.

The Perugia2010 PYTHIA tune is an independent set of parameters for the PYTHIA generator, derived using mainly hadron collider data with an increased final state radiation to better reproduce the jet shapes and hadronic event shapes using LEP and Tevatron data [13]. In this tune, parameters sensitive to the production of particles with strangeness and related to jet fragmentation have also been adjusted. The ALPGEN + HERWIG + JIMMY and HERWIG++ samples are used to test the effects of a different modelling of the hard subprocess and soft processes with respect to the nominal PYTHIA sample used to derive the calibration.

5.2.1.2 Results

The data/Monte Carlo comparison of the relative response shows that the relative calibration of jets in the ATLAS calorimeters is fairly well understood for the whole calorimeter region for $p_T^{\text{avg}} > 60 \text{ GeV}$: data and the various Monte Carlo simulations agree to better than 2% in the central and endcap region ($|\eta| < 2.8$) and to 5% in the forward region, as shown in the two example plots in Fig. 5.6. For lower average transverse momenta, the different Monte Carlo samples show a larger deviation with respect to data: the MC predictions for the relative jet response diverge for low- p_T forward jets, while the data lie between the predictions. This effect has been investigated and attributed to residual low- p_T effects, modeled differently by the different generators, and further studies are ongoing at the time of writing this thesis.

5.2.2 Photon-Jet Balance

The transverse momentum balance between a photon and the hadronic recoil is used to probe the jet energy scale in two methods with different systematic uncertainties and sensitivities [8].

The direct gamma-jet balance exploits the balance between photon and jet transverse momenta that is found at leading order of perturbation theory. The jet response

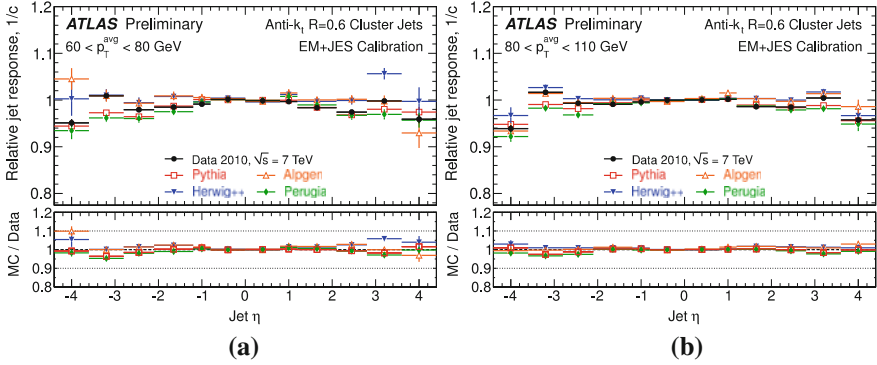


Fig. 5.6 Relative jet response, $1/c$, as a function of the jet pseudorapidity found using the matrix method for $45 < p_T^{avg} < 60$ GeV (a) and $80 < p_T^{avg} < 110$ GeV (b). Figure from [12]

is defined as the ratio of the photon and jet transverse momenta: $p_T^\gamma / p_T^{\text{jet}}$. Cuts on the azimuthal distance between the photon and the jet and on the subleading jet are applied to suppress soft radiation and multi-jet configurations. Good photons and matching jets are selected within a fiducial calorimeter region. Jet faking photons are rejected using an isolation cut.

The Missing-energy Projection Fraction (MPF) method probes the hadronic response using the leading-order transverse momentum conservation between the photon (p_T^γ) and its full hadronic recoil (p_T^{jet}): $\mathbf{p}_T^\gamma + \mathbf{p}_T^{\text{jet}} = 0$.

This method assumes that the imbalance between the jet and photon transverse momenta measured in the calorimeters is generated by calorimeter non compensation, noise suppression effects and inactive material in front of the calorimeters (dead material). The imbalance vector can be written in terms of the missing energy estimated from the calorimeter measurement $\mathbf{E}_T^{\text{miss}}$ and it can be written in terms of the jet and photon calorimeter responses $\mathcal{R}_{jet}$ and $\mathcal{R}_\gamma$ ⁴:

$$\mathcal{R}_\gamma \mathbf{p}_T^\gamma + \mathcal{R}_{jet} \mathbf{p}_T^{\text{jet}} = -\mathbf{E}_T^{\text{miss}}.$$

If the photon is well calibrated, its response will be unitary: exploiting momentum balance and projecting the missing energy vector along the photon direction, the above equation can be rewritten as:

$$R_{jet} = 1 + \frac{\mathbf{n}^\gamma \cdot \mathbf{E}_T^{\text{miss}}}{|\mathbf{p}_T^\gamma|} \quad (5.7)$$

In Eq. 5.7, the jet response is written in terms of calorimeter observables, and does not depend directly on the jet transverse momentum: it is therefore roughly

⁴ The photon response is defined as the ratio of the photon p_T measured in the calorimeter and the true photon p_T .

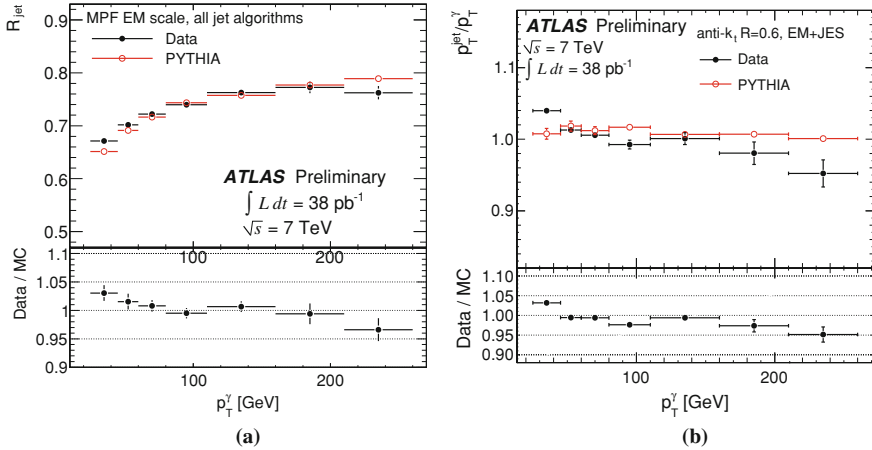


Fig. 5.7 Validation of the EM + JES scale calibration using the MPF method (*left*) and gamma-jet direct balance (*right*). Figure from [8]

independent of the jet algorithm used for jet reconstruction. The same kinematic cuts mentioned for the direct gamma-jet balance are also used in the MPF analysis.

The jet energy scale is validated using the jet response with respect to the photon in data and Monte Carlo before and after the EM + JES calibration. The MPF method does not depend directly on the jet transverse momentum. Therefore a simple shift of the energy scale, as in the case of the EM + JES calibration, will not affect the data/Monte Carlo ratio. Therefore the validation of the EM + JES calibration can be performed using jets at the electromagnetic scale.

Results for the EM + JES calibration are shown in Fig. 5.7a, b for the direct balance method and the MPF method respectively, and results for Global and Local Cell Weighting can be found in Reference [8].

The jet energy scale is validated with the photon-jet balance method for all calibrations considered to within 5% for $p_T^{\gamma} < 45 \text{ GeV}$ and to within 3% for $45 < p_T^{\gamma} < 250 \text{ GeV}$. The major systematics, related to the photon energy scale, the presence of jets faking photons, the soft radiation and the in-time pile-up have also been considered in Ref. [8] and found to be less than 1.6% for $p_T^{\gamma} > 45 \text{ GeV}$.

5.2.3 Balance Between a High- p_T Jet Recoiling Against Lower- p_T Jets

The calibration of very high transverse momentum jets is a particularly challenging topic for the first ATLAS data due to the limited statistics available for in-situ validations with γ -jet methods. A well understood energy scale is necessary for highly energetic jets, since they are among the signatures for early New Physics searches.

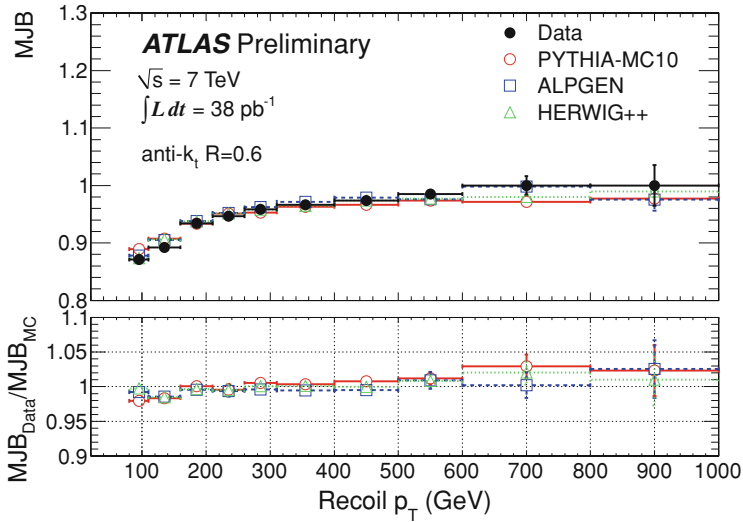
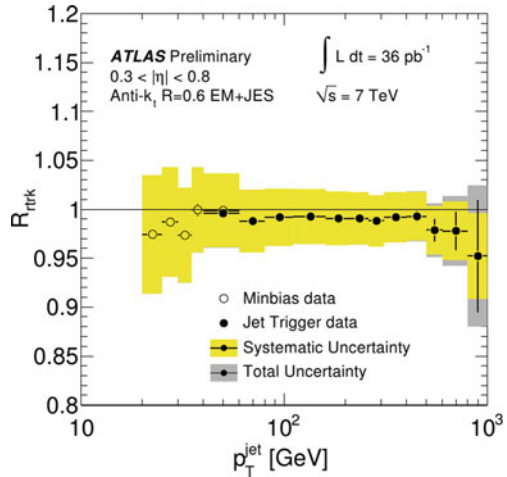


Fig. 5.8 Validation of the EM+JES scale calibration using the Multi-Jet Balance technique. Figure from [9]

When jets at low transverse momentum are reasonably well calibrated (e.g. using the photon-jet balance methods), they can be used to assess the calibration of the recoiling, high- p_T jet using transverse momentum balance, as detailed in [9]. The Multi-Jet Balance (MJB in the following) is defined as the ratio between the leading jet p_T^{Leading} and the vector sum of the transverse momenta of all recoiling jets p_T^{Recoil} . The data/Monte Carlo ratio of MJB is used to validate the jet energy scale in 2010 data up to the Terascale. A selection of events with a leading jet and a well defined recoil system that is not dominated by a single jet are selected using cuts on the azimuthal angle between the jet and the recoil system, the isolation of the leading jet and the transverse momentum of the subleading jet in the recoil system.

The Multi-Jet Balance method validates the energy scale to within 3 %, as shown in Fig. 5.8. The systematics of the method are estimated in [9]: they take into account the jet energy scale uncertainty, the dependence of the jet response on the jet flavour and on the presence of close-by jets in the recoil system (discussed in detail in Chap. 6), the analysis cuts, soft QCD effects and pile-up. These effects and are estimated to lead to a systematic uncertainty of about 4 %.

Fig. 5.9 Validation of the EM + JES scale calibration using the double ratio of the track-jet and calorimeter jet transverse momenta in data and Monte Carlo. Figure from [10]



5.2.4 Comparison of Calorimeter to Track Jet Transverse Momentum

Another method of validating the jet energy scale is the comparison of the transverse momentum of the calibrated jets measured with the calorimeter to associated jets measured in an independent subsystem, as in the case of the track-jet measurement presented in [10]. Track-jets are composed by all charged tracks measured in the ATLAS inner detector within an η, ϕ cone equal to the distance parameter of the jet considered. The transverse momentum of track-jets is compared to the p_T of calibrated calorimeter jets. The double ratio R_{trk} of the track-jet and calorimeter jet transverse momenta in data and Monte Carlo is used for the validation of the jet energy scale uncertainty. Only jets with a transverse above a p_T dependent cut are used in the measurement, to ensure close to full efficiency for the trigger used for measuring the jet. A cut on the jet pseudorapidity of less than 2.1 is needed to ensure that the majority of tracks belonging to the track-jet is found in the fiducial volume of the inner detector. Furthermore, in configurations where two jets are separated by a distance ΔR less than twice the jet distance parameter, only the hardest jets are used in order to minimize effects due to nearby jets on the measurement. The track selections are detailed in Sect. 4 of [10].

The double ratio R_{trk} for EM + JES calibrated jets in the central region is shown in Fig. 5.9: data and simulation agree to better than 2% for jets in the p_T range of 25–1,000 GeV. The systematic uncertainties as estimated in [10] are related to the generator model dependence, the description of the material and the alignment in the inner detector, the tracking efficiency, and the calorimeter jet resolution, and they amount to less than 4%.

5.3 Monte Carlo Based Performance Studies

Since the start of data taking, the JETPERFORMANCE software package in the ATHENA ATLAS framework has been employed for assessing the performance of the jet reconstruction. Plots produced with the JETPERFORMANCE package have been used to validate the correction constants for the various step of the EM + JES calibration scheme that is used as default in both the ATLAS datasets considered in this thesis.

The JETPERFORMANCE package aims to provide the standard infrastructure for physicists in the ATLAS experiment to evaluate the performance of the jet reconstruction. This software compares the reconstructed jets from a chosen sample to a collection of reference objects that can provide Monte Carlo truth benchmarks (such as particle jets built from Monte Carlo simulation), or in-situ energy balance (such as recoiling jets or photons in the same event). The performance of the jet reconstruction is then measured in kinematic and spatial bins in terms of benchmark quantities such as:

- jet response, defined in Eq. 4.1 when energy is the chosen kinematic variable;
- jet resolution, defined as the ratio of the width of the distribution of the chosen kinematic variable and its average;
- jet purity⁵, defined as the ratio between the number of calorimeter jets with a spatially matched Monte Carlo truth jet and the total number of calorimeter jets;
- jet efficiency (see footnote 5), defined as the ratio between the number of Monte Carlo truth jets with a spatially matched calorimeter jet and the total number of Monte Carlo truth jets.

A selection of plots produced with the JETPERFORMANCE package for the validation of the jet reconstruction for 2010 data is shown in the following. Only plots for anti- k_t with distance parameter 0.6 are shown in the following, with similar results obtained for a distance parameter of 0.4. The jet selection and matching criteria are the same as detailed in Sect. 4.2.1, unless the plot is labeled as *inclusive jets*: in that case, no jet isolation cut is applied.

5.3.1 Energy and p_T Response

Figure 5.10, the energy and p_T response are shown for jets after the EM + JES calibration in the nominal Monte Carlo sample. In the barrel region $0.3 < |\eta| < 0.8$ the jet response is restored to about 2 % at low p_T^{jet} and to better than 1 % for $p_T^{\text{jet}} > 30$ GeV. In the endcap and forward region, the closure to unity is better than 1 % for $p_T^{\text{jet}} > 20$ GeV, while the energy response is within 1 % for jets with transverse momentum above 30 GeV. The residual non-closure is taken as a source of systematic uncertainty, as described in Chap. 6.

⁵ only available in MC comparison

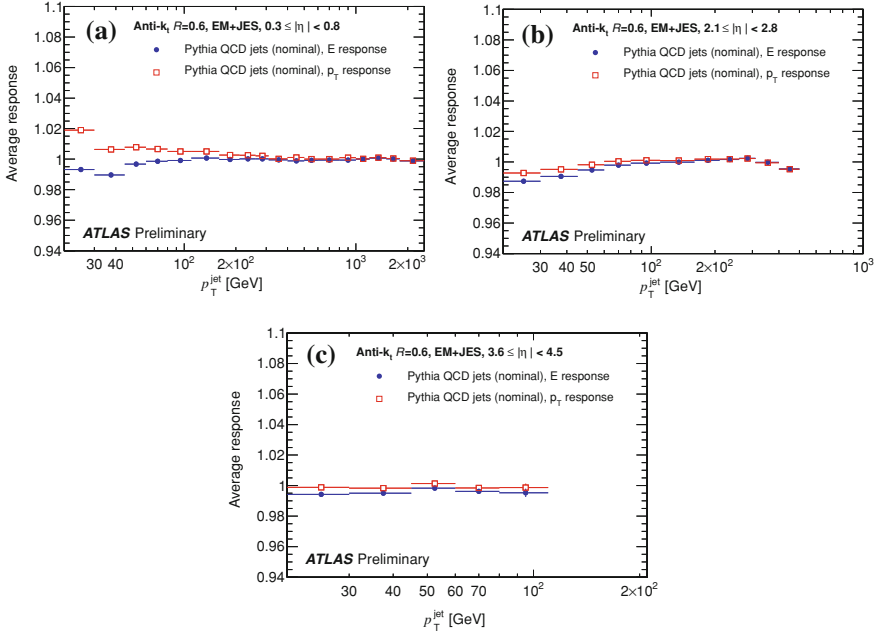


Fig. 5.10 Simulated jet p_T response (*full circles*) after the EM + JES calibration and jet energy response (*open squares*) as a function of p_T^{jet} for the nominal sample for jets in the central (a), endcap (b) and forward (c) calorimeter regions

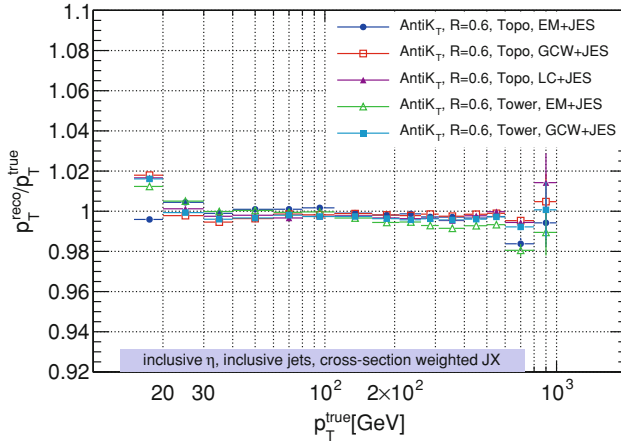


Fig. 5.11 Simulated jet p_T response for three of the different ATLAS calibration schemes outlined in Sect. 4.2.2 (the Local Cluster Weighting (LCW+JES), Global Cell Weighting (GCW+JES), and the EM + JES scheme) in the case of topocluster and toptowers jet inputs for the nominal Monte Carlo sample integrated over all jet pseudorapidities

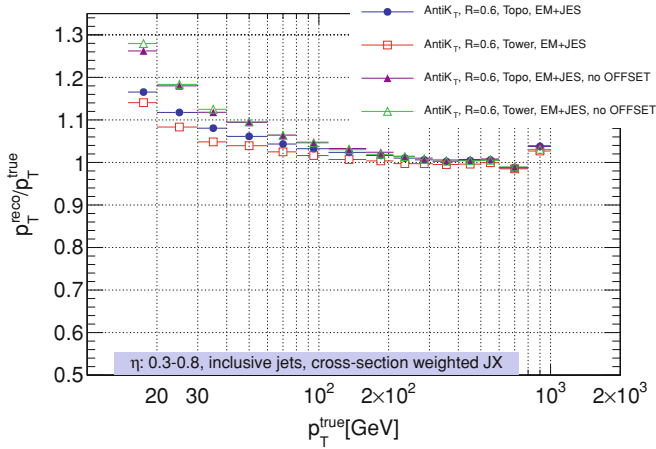


Fig. 5.12 Effect of the pile-up offset correction in the EM + JES calibration chain for anti- k_t , $R = 0.6$ jets in the central region

In Fig. 5.11 the p_T response for jets built from either topocluster or topotower inputs (the latter are called simply *Tower*) and calibrated with the various ATLAS calibration schemes is shown in the case of the nominal Monte Carlo sample, for jets measured in the whole detector pseudorapidity ($|\eta| < 4.5$). The average response for all calibrations is restored to the hadronic scale to better than 1 %.

5.3.2 Effect of Pile-Up on the Jet Response

The effect of the pile-up offset correction is shown in Fig. 5.12. Here the response for pile-up in a Monte Carlo sample with an average of five additional interactions per event is shown, with and without the offset correction. Since truth jets do not contain particles from any additional interaction, the comparison of the EM + JES-calibrated jets with and without the offset correction shows that the correction reduces the pile-up contribution to the jet energy by about 10 % for both topocluster and tower jets. The better performance of the offset correction in the case of tower jets with respect to topocluster jets is due to the averaging of the number of towers present in a topocluster jet for the jet-level correction, while the actual number of towers is used as it is available in the case of topotower jets. The effect of pile-up in Monte Carlo is larger than what is effectively observed in data using in-situ techniques because the average number of vertices in the Monte Carlo sample used is higher than in the data, as shown in Fig. 3.2.

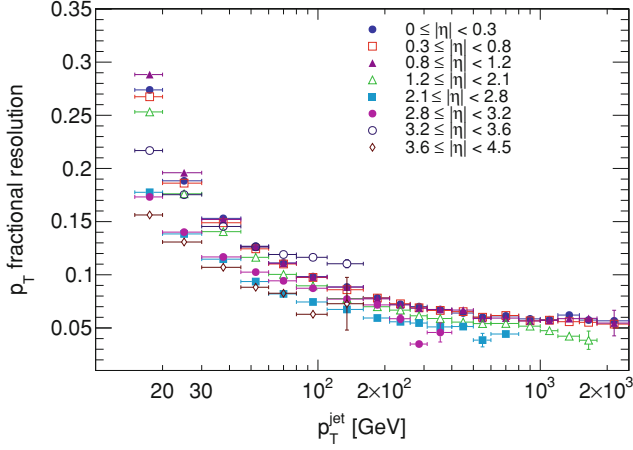


Fig. 5.13 Jet p_T resolution in Monte Carlo for EM + JES calibrated anti- k_t $R = 0.6$ topocluster jets in different pseudorapidity bins

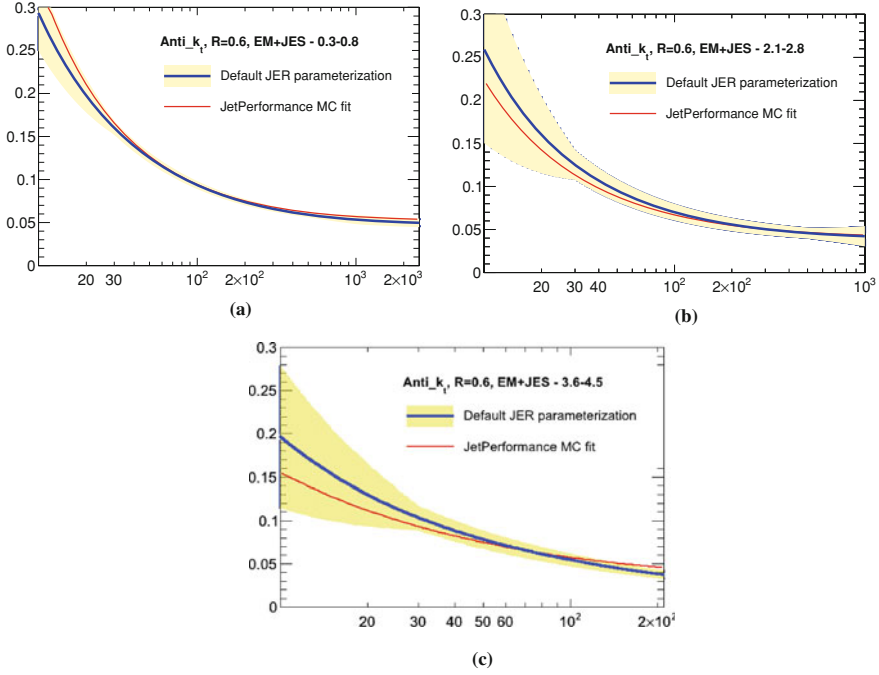


Fig. 5.14 Default parameterisation of the jet p_T resolution as a function of p_T^{jet} (blue, with yellow band as error) and parameterisation using Monte Carlo information below 30 GeV (red) for the nominal sample for jets in the central (a), endcap (b) and forward (c) calorimeter regions

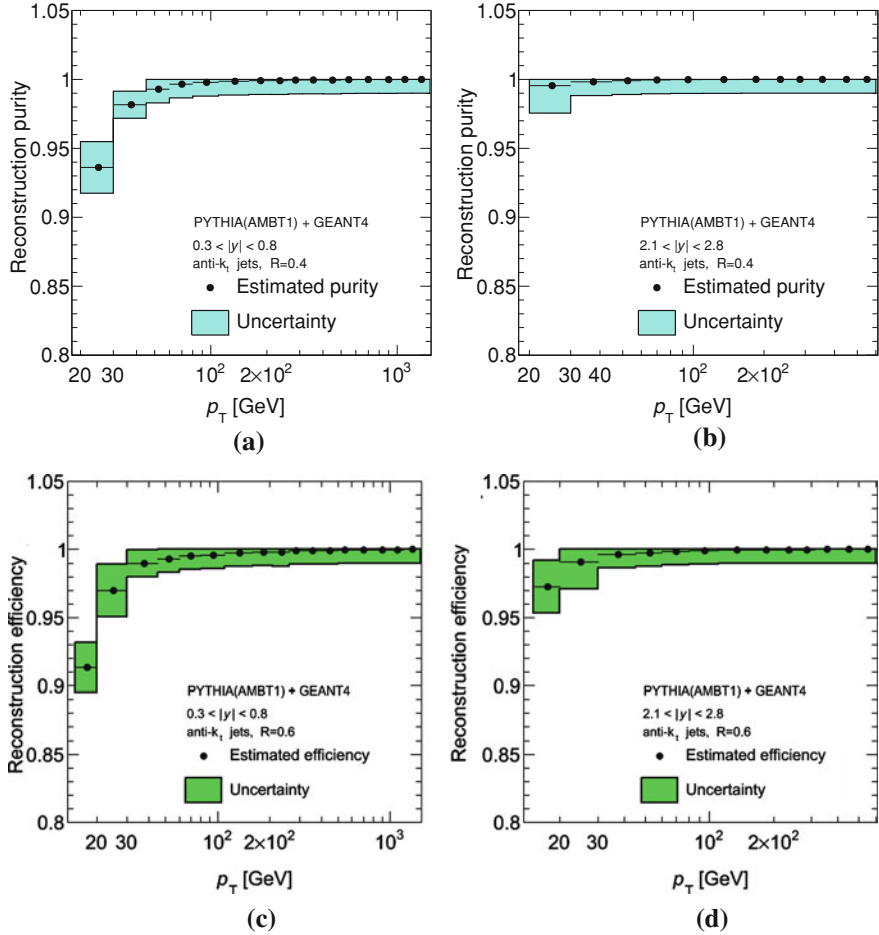


Fig. 5.15 Purity (a), (b) and efficiency (c), (d) for EM + JES-calibrated anti- k_t $R=0.6$ topocluster jets in the nominal Monte Carlo sample in the central and endcap rapidity regions. Plots made in collaboration with P. Francavilla

5.3.3 Jet Energy Resolution from Monte Carlo

The fractional p_T resolution for isolated jets in the nominal Pythia Monte Carlo sample for anti- k_t $R = 0.6$ jets is shown in Fig. 5.13. This plot reflects how the transverse momentum resolution decreases with higher jet pseudorapidities, since η determines the energy of a jet of a given p_T ($E \approx \frac{p_T}{\cosh|\eta|}$). Since the dominant terms in the fractional resolution at low p_T are divided by the energy or by its square root, the jet resolution improves at higher jet pseudorapidities.

These results can be fitted with the parameterisation of Eq. 5.3 and compared with those obtained in Monte Carlo using the standard jet resolution analysis and described

in Sect. 5.1.2.1. The main differences between the way the two parameterisations were derived are:

1. Isolated jets are used to estimate the resolution of Fig. 5.13, no isolation cut is applied in the standard analysis.
2. All isolated jets in the events are used for Fig. 5.13, while only the two leading jets are considered in the standard analysis.
3. The fit range used in the parameterisation is $15 < p_T^{\text{jet}} < 500 \text{ GeV}$ while $30 < p_T^{\text{jet}} < 500 \text{ GeV}$ is used for the standard analysis.

For these reasons, the parameterisation derived using the JETPERFORMANCE analysis will not coincide with the default parameterisation, as shown in Fig. 5.14. The disagreement is particularly evident at low jet p_T since no information below $p_T^{\text{jet}} = 30 \text{ GeV}$ is used in the default fit, and in the endcap and forward region where the number of available points is reduced because of the kinematic limit and the fit is more constrained by the low p_T points that are not used in the default fit. To cover this discrepancy and possible systematics due to different event topologies and limited fit range, the error for the default jet resolution parameterisation below $p_T^{\text{jet}} = 30 \text{ GeV}$ is increased to cover the Monte Carlo parameterisation as mentioned in Sect. 5.1.2.1.

5.3.4 Monte Carlo Purity and Efficiency

Figure 5.15 shows the reconstruction purity and efficiency of EM + JES calibrated topocluster jets in the nominal Monte Carlo sample in the central, endcap and forward detector regions. The isolation cut has been removed for these plots, and the $15 \text{ GeV } p_T$ cut on truth jets has been lowered to 7 GeV for the purity plot to avoid biasing the matching for reconstructed jets at low p_T . The jet reconstruction efficiency in the Monte Carlo simulation is above 95% for the whole kinematic range used in the measurement, while the purity of the reconstructed jet sample is above 94%. Both efficiency and purity grow to unity as the energy of the jets increases for higher pseudorapidities.

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Chapter 6

Jet Energy Scale Uncertainty

The uncertainty of the measurement of the jet kinematics after the calibration (jet energy scale uncertainty, or JES uncertainty) is the dominant experimental uncertainty for numerous physics results and for the inclusive jet cross section measurement discussed in this thesis.

A first estimate of the JES uncertainty in the ATLAS detector was provided previous to the full 2010 estimate detailed in this chapter. As described in Ref. [1], its evaluation was largely based on information available before the first LHC collisions and it exploited transverse momentum balance in di-jet events. The calibration and the JES uncertainty have been updated in two steps for the full 2010 dataset (see Refs. [2, 3]). The EM+JES calibration scheme described in Sect. 4.2.1 has been used to calibrate jets. Data-derived techniques have been employed to correct the calorimeter response and estimate its uncertainty. These include the calibration of the absolute electromagnetic energy scale of the calorimeters from the analysis of Z -boson decays in the electron channel ($Z \rightarrow ee$) [4] as described in Sect. 3.5.1, precise in-situ measurements of the single hadron response [5, 6] and measurements of the calorimeter cell noise suppression uncertainty from data. Employing in-situ techniques allows a significant reduction of the JES uncertainty in the central detector region with respect to the previous estimate. Confidence in a reduced JES uncertainty is gained from the increased knowledge of the detector performance obtained during the analysis of the first year of ATLAS data [5–12] and from the results from the JES validation using in-situ techniques detailed in Sect. 5.2.

This chapter is concerned with the full 2010 calibration and JES uncertainty analysis and will only show results for EM+JES calibrated jets built with a distance parameter of $R = 0.6$, unless otherwise noted. The outline of this chapter is as follows: Sect. 6.1 describes the details of the analysis employed for the estimate of the jet energy scale uncertainty, such as the jet selections applied to the Monte Carlo simulation and data samples. The sources of systematic uncertainties for the jet energy scale and their derivation using simulated and collision data are detailed in Sect. 6.2. The effect of pile-up on the jet energy scale and its related uncertainty is discussed in Sect. 6.3. The combination of the individual uncertainty contributions

Table 6.1 Detector regions and corrected pseudorapidity bins used for the estimate of the JES uncertainty

η region	ATLAS detector regions
$0 < \eta \leq 0.3$	Central (Barrel)
$0.3 < \eta \leq 0.8$	
$0.8 < \eta \leq 1.2$	Endcap (Barrel-Endcap Transition and HEC)
$1.2 < \eta \leq 2.1$	
$2.1 < \eta \leq 2.8$	
$2.8 < \eta \leq 3.2$	Transition (HEC-FCal Transition)
$3.2 < \eta \leq 3.6$	
$3.6 < \eta \leq 4.5$	Forward (FCal)

is described in Sect. 6.4. Results, and their validation using in-situ techniques, are in Sect. 6.5. Considerations on the applicability of the estimate of the JES uncertainty to different event topologies and flavour compositions are reported in Sect. 6.6.

6.1 Analysis Details

The JES systematic uncertainty is derived combining information from in-situ and single pion test-beam measurements, uncertainties on the material budget of the ATLAS detector, the description of the electronic noise, and the Monte Carlo modelling used in the event generation. Test samples with differing conditions with respect to the the nominal Pythia QCD Monte Carlo sample described in Sect. 4.2 are employed to provide an estimate of the systematic effects contributing to the JES uncertainty. The energy scale of jets for the nominal and the systematic Monte Carlo samples is calibrated using the EM+JES scheme (4.2.1).

The JES uncertainty is provided for jets with transverse momentum greater than 20 GeV and in the full pseudorapidity range of $|\eta| < 4.5$ in which jets are measured in ATLAS. The JES uncertainty is estimated as a function of calibrated jet transverse momentum (p_T^{jet}) and pseudorapidity η . The p_T binning follows the choices made for the inclusive jet cross section measurement of Chap. 7. The pseudorapidity bins used for the estimate of the JES uncertainty divide the ATLAS detector in the seven η regions specified in Table 6.1 and following the nomenclature used in Fig. 4.5.

The JES systematic uncertainty for all jets with pseudorapidity beyond $|\eta| = 0.8$ is determined using the JES uncertainty for the central barrel region ($0.3 < |\eta| < 0.8$) as a baseline,¹ and adding a contribution from the relative calibration of the jets with respect to the central barrel region. This choice is motivated by the better knowledge of the detector geometry in the central region, and by the use of test-

¹ This is the largest fully instrumented region where test-beam information is available, as detailed in Sect. 6.2.2.

beam measurements only extending to the Tile calorimeter barrel for the estimate of the calorimeter response uncertainties.

6.1.1 Selection of Jets for Systematic Studies in Monte Carlo Studies

For the JES uncertainty studies the jet energy response at the calibrated energy scale is obtained for the nominal and each of the alternative Monte Carlo samples using reconstructed calorimeter jets matched to MC truth particle jets within a cone of $\Delta R = 0.3$. Only MC truth jets with $p_T^{\text{truth}} > 15 \text{ GeV}$ and calorimeter jets with a $p_T^{\text{jet}} > 7 \text{ GeV}$ after calibration are considered.

The JES uncertainty components derived from simulation are obtained by studying the average calorimeter energy response of calibrated jets for the nominal and each of the alternative Monte Carlo samples. This average response, defined as $\langle \mathcal{R} \rangle = \langle E_{\text{calo}}^{\text{EM+JES}} / E_{\text{truth}} \rangle$ or $\langle \mathcal{R} \rangle = \langle p_T^{\text{jet}} / p_T^{\text{truth}} \rangle$, is obtained by matching isolated calorimeter jets to MC truth jets as described in Sect. 4.2.1, but excluding the isolation cut for truth jets.² The JES systematic uncertainty is derived for isolated jets in the full 2010 dataset, so that the topology dependence of the jet energy scale in the presence of close-by jets can be factorised and the uncertainty considered separately, as explained further in Sect. 6.6.

The calibrated response $\langle \mathcal{R} \rangle$ is studied in bins of truth jet transverse momentum p_T^{truth} . The p_T^{truth} corresponding to the bin centre is transformed on average to the calibrated p_T^{jet} value using a simple inversion procedure.³ The shifts between the Monte Carlo truth level p_T^{truth} bin centres and the reconstructed p_T^{jet} bin centres are negligible with respect to the chosen p_T bin widths. Hence the average jet response can be obtained to good approximation as a function of p_T^{jet} .

6.2 Sources of Jet Energy Scale Uncertainty

This section focuses on the description of the sources of systematic uncertainties and their effect on the response of EM+JES calibrated jets. The contributions to the JES systematics can be divided in the categories below:

1. the uncertainty due to the JES calibration method (as described in Sect. 6.2.1);
2. the uncertainty due to the calorimeter response (Sect. 6.2.2);

² The truth isolation cut has a negligible impact on the average jet response given that truth jets are matched to isolated reconstructed jets.

³ This means that one multiplies the value of the centre of the bin (in terms of truth jet p_T) by the average response so to transform the coordinate of the x axis into p_T^{jet} . This procedure is described in detail in Ref. [13].

3. the uncertainty due to the detector simulation (Sect. 6.2.3);
4. the uncertainty due to the physics model and parameters employed in the Monte Carlo event generator (Sect. 6.2.4);
5. the uncertainty due to the relative calibration for jets with $|\eta| > 0.8$ (Sect. 6.2.5).

6.2.1 Uncertainty Due to the JES Calibration

After the nominal inclusive jet Monte Carlo simulation sample is calibrated with the JES correction constants that were derived from the sample itself, the jet energy and p_T response still shows slight deviations from unity at low p_T (non-closure). Figure 5.10 in Sect. 5.3.1 shows the jet response for p_T and energy as a function of p_T^{jet} for the nominal Monte Carlo sample in the barrel and endcap regions for anti- k_t jets with $R = 0.6$.

Any deviation from unity (non-closure) in p_T^{jet} and energy response after the application of the JES to the nominal Monte Carlo sample implies that the kinematics of the calibrated calorimeter jet are not restored to that of the corresponding particle jets. This is mostly due to using the same correction factor for energy and transverse momentum. In the case of a non-zero jet mass that does not reflect the truth jet mass, restoring only the jet energy and pseudorapidity will lead to a bias in the p_T calibration. Further biases in the energy response at low p_T can be due to the jet thresholds used to derive and to apply the constants, respectively 7 GeV at a JES calibrated with previously obtained calibration constants⁴ and 10 GeV at the electromagnetic scale. The use of cross section weights for the separate samples, considered only in the JES uncertainty analysis, also influences the results of the fit to the response distribution and can lead to non closure at low p_T .

The systematic uncertainty due to the non-closure of the nominal JES calibration is taken as the largest deviation of the response from unity between energy and p_T .

Given that the same JES calibration constants are applied to all samples with systematic variations used for the derivation of the JES uncertainty, the correlation of the non-closure term with the other uncertainty components needs to be considered. The non-closure uncertainty is considered uncorrelated to the other JES uncertainty components, given that:

1. the JES calibration constants vary slowly within the p_T bins used for the estimate of the JES uncertainty;
2. the systematic variations produce only small effects on the jet p_T spectrum within a bin.

⁴ This is a ‘bootstrapping’ problem since jets that are used to derive new calibration constants still must satisfy the $p_T > 7$ GeV reconstruction threshold. A solution to this could be to decrease the reconstruction threshold and/or use two iterations for deriving the calibration so that all jets with $p_T > 7$ GeV used for physics analysis are correctly calibrated, but it wasn’t considered practical in the timescale needed to provide the calibration constants for the reprocessing.

For these reasons, the variation of the calibration constants due to systematic effects can be factorized from the variation of the jet energy. This variation will effectively cancel when taking the ratio of the jet response for any of the systematic samples and the jet response in the nominal sample. The non-closure term becomes then the only uncertainty source where the variation of the calibration constants is accounted for: it can therefore be considered uncorrelated to the other uncertainty contributions and added in quadrature to evaluate the total JES systematic uncertainty.

6.2.2 *Uncertainty on the Calorimeter Response*

The response and corresponding uncertainties for single particles interacting in the ATLAS calorimeters can be propagated to the energy deposits comprised by jets. This can then be used to derive the calorimeter jet energy scale uncertainty in the central calorimeter region, as detailed in Refs. [5, 6, 14].

Test-beam data and ATLAS data at 900 GeV and 7 TeV are employed to evaluate the uncertainties on the calorimeter response for single particles. The in-situ measurement of the single particle response reduces significantly the uncertainties due to the limited knowledge of the exact detector geometry, in particular those due to the presence of additional dead material, and the modeling of the hadronic interactions of particles in the detector.

6.2.2.1 **Calorimeter Response Uncertainty from Single Hadron Response Studies**

The ATLAS simulation infrastructure is able to link the true calorimeter energy deposits in each calorimeter cell to the generated particles. The jet calorimeter response uncertainty can be obtained from the uncertainty on the response of each particle in the jet in simulated events. In this way the jet response can be deconvoluted from the response of the individual particles forming jets and the JES uncertainty can be determined using the single particle response uncertainties.

The following single particle response measurements are used to estimate the single particle uncertainties:

- the single hadron energy measured in the ATLAS detector for a cone around an isolated track with respect to the track momentum (E/p) in the momentum range from $0.5 < p < 20$ GeV,
- the pion response measurements performed in the 2004 combined ATLAS test-beam, where a full slice of the ATLAS detector has been exposed to pion beams with momenta between 20 and 350 GeV [15].

6.2.2.2 Uncertainty Contributions for Single Particle Energy Deposits

The contributions to the uncertainties of the single particle energy deposits used in the pseudoexperiments are listed below. The names in bold in the following list will be used to characterise the single subcomponents of the calorimeter uncertainty in the following.

E/p E/p studies in the ATLAS detector evaluate the calorimeter response for single isolated hadrons. For particles below 20 GeV, the discrepancy between data and Monte Carlo simulation from the E/p analysis of 7 TeV data observed in Ref. [14] is taken as the systematic uncertainty for the energy deposit of each charged particle composing the jet, and the statistical error on the measurement is considered as an input for the pseudo experiments. The bottom panel of Fig. 6.1 shows the E/p data/Monte Carlo ratio in a sample η and p_T bin and the systematic uncertainties as the grey band.

E/p acceptance, cluster thresholds Additional uncertainties that could affect the combination of the responses for the single particles within the jet are also taken into account. These effects include energy deposits outside the chosen inclusion radius around the track (leading to a negligible contribution), calorimeter acceptance due to material upstream of the calorimeters and topological cluster threshold effects.

Combined Test Beam (CTB) Test-beam measurements of pions described in Ref. [15] are used to estimate the ratio between data and Monte Carlo simulation for charged pions between 20 and 350 GeV, with the addition of systematic uncertainties that take into account the difference in the setup of the test-beam and the full ATLAS detector where the single particle analysis is performed.

Neutral particles and antiprotons No test-beam measurements are available for neutral hadronic particles and antiprotons. Specific data and Monte Carlo comparisons and GEANT4 studies have been performed to derive an uncertainty on these particles [16] (K_S^0 have not been considered since they mostly decay into pions before reaching the calorimeters).

High momentum particles At high transverse momentum, the dominating contribution to the calorimeter response uncertainties is due to particles with $p > 400$ GeV. The uncertainty for these particles has been conservatively estimated as 10 % to take into account calorimeter non linearities and longitudinal leakage.

Electromagnetic energy scale (LAr) The uncertainty on the electromagnetic energy scale of the Liquid Argon calorimeter amounts to 1.5 % after the in-situ $Z \rightarrow ee$ calibration as described in Sect. 3.5.1. This uncertainty is applied to particles not included in the single particle analysis, e.g. photons from π^0 decays and electrons.

Electromagnetic energy scale (Tile) For the hadronic calorimeter, the electromagnetic scale uncertainty is estimated considering the ratio of the electromagnetic scale response of minimum ionizing low-energy muons in test-beam data and in Monte Carlo as described in Sect. 3.5.2. This uncertainty amounts

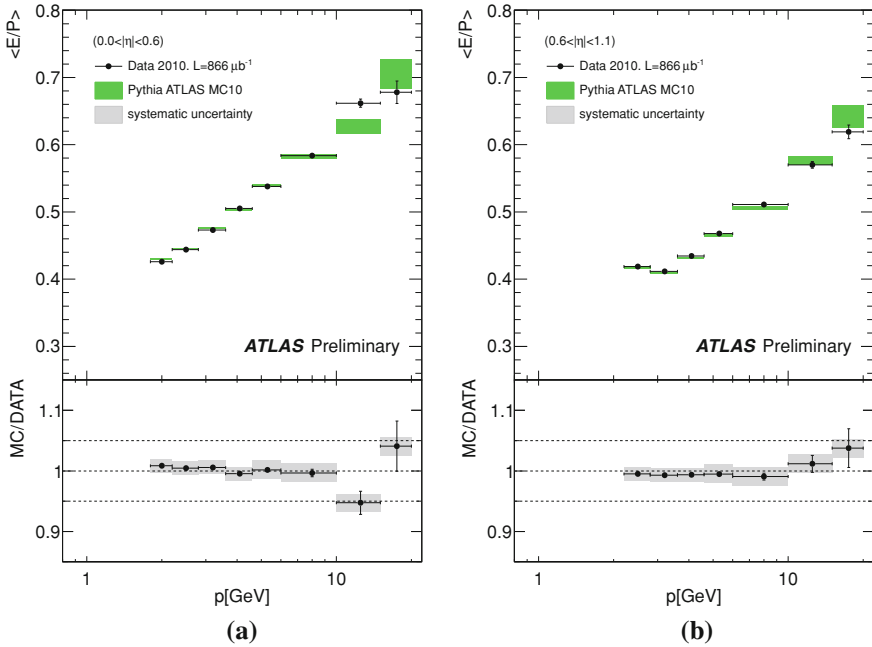


Fig. 6.1 Mean E/p as a function of the track momentum in two different $|\eta|$ bins (after a background subtraction procedure) for data collected in 2010 and Monte Carlo prediction, and ratio between the MC and data. Figure from [14]

to 3%, and it is applied to all particles interacting in the Tile calorimeter not included in the single particle analysis, e.g. hadrons with momentum above 20 GeV.

The systematic effects due to the presence of additional material in front of the calorimeters are only constrained for particles included in in-situ measurements (hadrons with momenta $p < 20$ GeV, electrons and photons). The remaining uncertainty due to additional material is estimated using dedicated Monte Carlo simulation samples detailed in Sect. 6.2.3 where the detector material is systematically varied within the current uncertainty on the detector geometry knowledge.

6.2.2.3 Evaluation of the Calorimeter Jet Energy Scale Uncertainty

The starting point for the evaluation of the calorimeter jet energy scale uncertainty through single particle studies is a series of pseudo experiments. In each pseudo experiment the energy deposits for each single particle included in a jet are varied within statistical and systematic uncertainties depending on the nature and momentum of the particle as mentioned above. Each pseudo experiment contributes to a spread of possible jet energies, where the energy deposits that constitute every jet

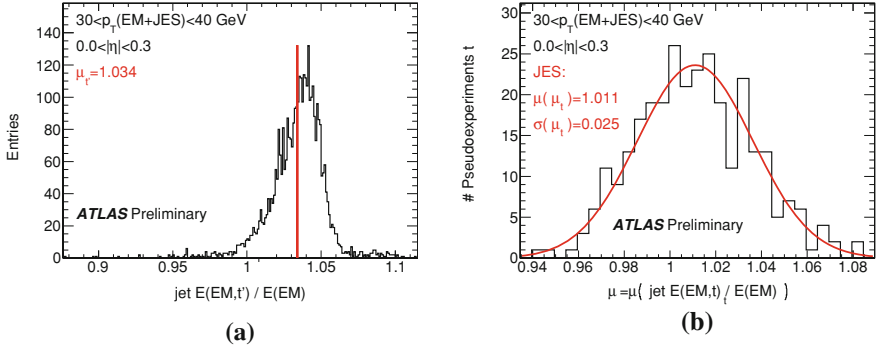


Fig. 6.2 **a** Example of a single pseudo experiment where the energies deposited from particles within a jet ($30 < p_T < 40$ GeV, anti- k_t $R = 0.6$) have been varied within their uncertainties, causing a shift in the jet energy scale (*dark line*). **b** Distribution of the expected shift and uncertainty on the jet energy scale from all pseudo-experiments as in (a). Figure from [6]

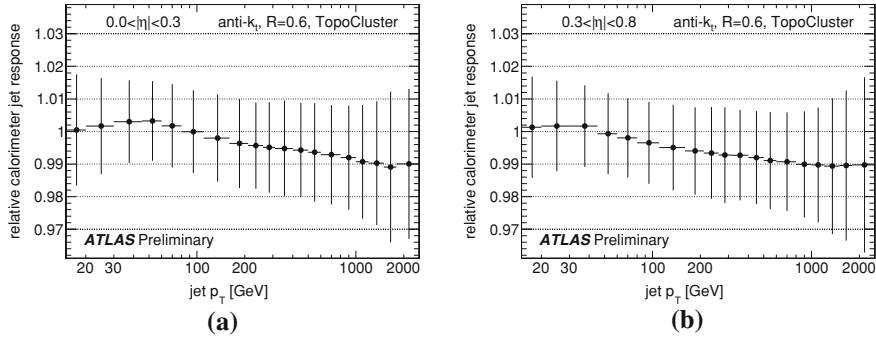


Fig. 6.3 Expected shift (as the mean of the jet response distribution over all pseudoexperiments, *black dots*) and total uncertainty (as the RMS of the jet response distribution over all pseudoexperiments, *error bars*) on the relative calorimeter jet response for anti- k_t , $R = 0.6$ jets in the range $0 \leq |\eta| < 0.3$ (*left*) and $0.3 \leq |\eta| < 0.8$ (*right*) as function of the jet transverse momentum [14]

have been varied within the uncertainties estimated as described in the previous section. The mean relative energy shift, defined as the ratio between the jet energy estimated from the variations on the energy deposits and that obtained from the central value of each energy deposit, is estimated for each pseudo experiment as shown in Fig. 6.2a. The overall uncertainty from single particle studies is derived from a Gaussian fit of the distribution of the mean energy shifts for all pseudoexperiments, for each p_T^{jet} and η bin, as shown in Fig. 6.2b.

Figure 6.3 shows the contribution to the JES uncertainty from the calorimeter response, derived from single particle studies. In the pseudorapidity range $0 \leq |\eta| < 0.8$ the shift of the relative jet energy scale (also called *bias* in the following) is up to $\approx 1\%$, and the uncertainty on the shift is from 1 to 3 %. The total envelope (the shift

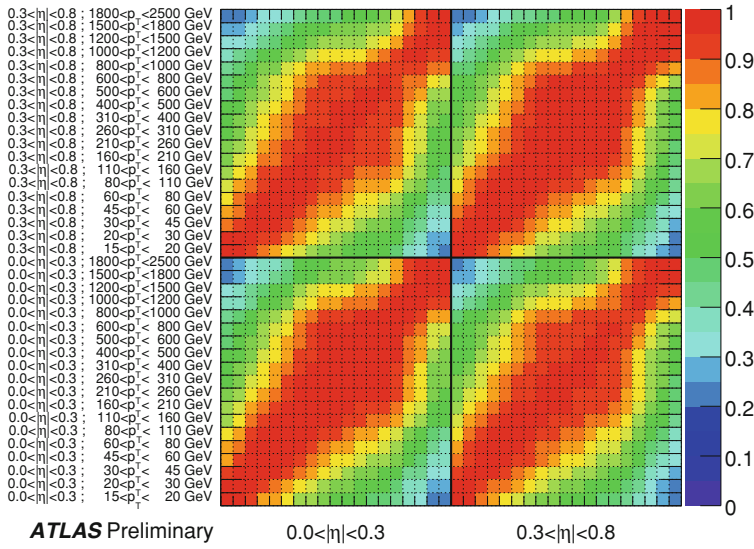


Fig. 6.4 Correlation coefficient of the calorimeter uncertainty source for anti- k_t $R = 0.6$ jets, where the color scale gives the size of the bin-to-bin correlation and the x axis labels reflect those of the y axis. Similar results are found for anti- k_t $R = 0.4$ jets. Figure from [14]

added linearly to the uncertainty) of about 1.5-4% depending on the jet transverse momentum is taken as the relative JES calorimeter uncertainty.

6.2.2.4 Correlations in the Calorimeter Uncertainty Sources

The pseudo experiment approach adopted to derive the calorimeter uncertainty also provides the tools to derive the correlation matrix, shown for anti- k_t $R = 0.6$ in Fig. 6.4. Neighboring bins where particles of the same momentum enter the jets have almost full correlation, but decorrelations are present between low and high p_T bins.

6.2.3 Uncertainties Due to the Detector Simulation

The effects related to the detector and calorimeter simulation and to the experimental conditions that could contribute to the jet energy scale uncertainty are listed below, and described in more detail in this Section.

1. The electronic noise in data could differ from the noise description used in the Monte Carlo simulation, and these differences propagated to the Monte Carlo based calibration constants. The effect on the jet energy scale is accounted for in the *topocluster noise thresholds* uncertainty.

2. The jet energy scale is affected by an increased amount of inactive material for particles not included in in-situ measurements. The energy deposited by particles in the material that is not included in the simulation is neither accounted for in the present calibration procedure and it is only partially included in the uncertainty on the calorimeter response. The full effect of additional dead material on the jet energy scale is estimated within the *additional detector material* uncertainty.

6.2.3.1 Calorimeter Cell Noise Thresholds

As described in Sect. 4.1.3, topoclusters constitute the input to the jet reconstruction. They are constructed based on the signal-to-noise ratio of calorimeter cells, where the *noise* refers to the RMS of the measured cell energy distribution in events with no energy depositions from collision events from dedicated runs (e.g. random triggers or empty bunches). In the case of data, the noise can change over time,⁵ while the noise RMS used in the simulation are fixed at the time of the production of the simulated data. This, and the underlying assumptions used for the noise modelling in the Monte Carlo simulation, can lead to differences in the cluster shapes and to the presence of fake clusters, which in turn affect the jet reconstruction and calibration.

Noise modeling enters the Monte Carlo simulation in the following ways, as schematically pictured in Fig. 6.5:

1. the simulated electronic noise (dark red area) is superimposed to the signal of particles passing through active material of the calorimeters (blue curve);
2. cell-level constants for the RMS of the energy distribution from random events are stored in the database and applied during the topocluster reconstruction (light red curve and magenta lines).

The timescale required for the final JES uncertainty estimate did not allow the production of dedicated Monte Carlo samples where the noise injected in the Monte Carlo simulation was modified for selected cells. Instead, the topocluster thresholds were varied according to noise in data, using noise constants taken from a cell noise table of a selected data run in the reconstruction of Monte Carlo jets. This means that the actual energy and noise simulated in the MC are left unchanged, but the values of the thresholds used to include a given calorimeter cell in a topocluster are based on the noise RMS for the cell as measured in data. Pictorially, this implies that the light red curve in Fig. 6.5 is substituted with the noise distribution in data, since the threshold constants are obtained from the parameterization of the data-derived noise distribution.

The noise recorded in data could be increased with respect to what is assumed by the constants in the database for the topocluster thresholds, as shown in Fig. 6.6a, and lead to the creation of fake topoclusters or the inclusion of noisy cells in the topoclusters. The opposite situation in Fig. 6.6b could happen if the cell has a narrower

⁵ Time-dependent noise changes for single cells in data are accounted for using periodic measurements of the cell noise.

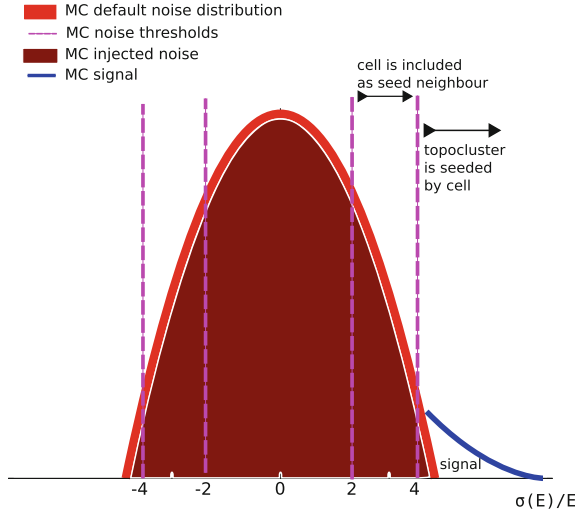


Fig. 6.5 Schematic representation of the cell noise distribution and topocluster threshold constants in Monte Carlo

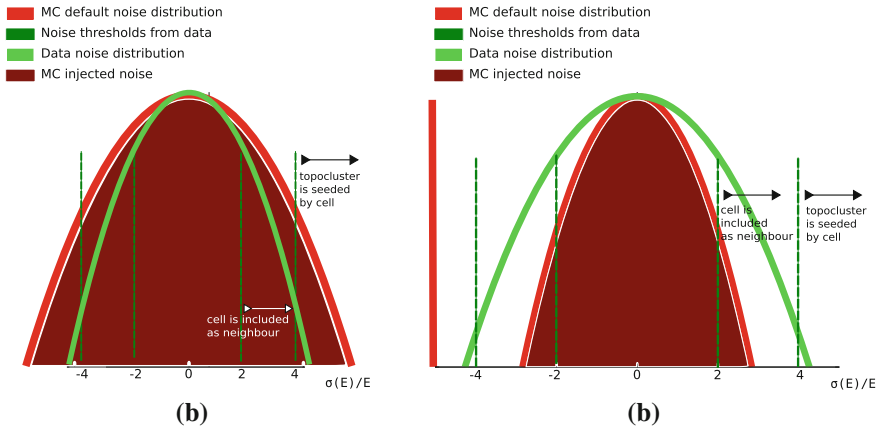


Fig. 6.6 Schematic representation of the cell noise distribution in Monte Carlo when the noise constants are taken from a cell noise table of a selected data run in the case of increased (a) and decreased (b) noise in the cell in data

noise distribution with respect to what predicted by the Monte Carlo. However, the cell noise constants extracted from data only contain an average snapshot of the cells that are affected by non-nominal noise conditions at any point in time.

To fully bracket both situations of Fig. 6.6 and take into account possible variations in the cell noise extracted from data with time, the case in which all topocluster thresholds are shifted upwards and downwards is used as a cross check. Different Monte Carlo samples have been reconstructed with signal-to-noise thresholds for all

topocluster seed and neighbor cells modified to be 10 and 7 % higher and lower than the respective nominal values of 4 and 2.

The less conservative value of 7 % is still found to fully bracket the shift in the jet response to using the noise RMS from data in the low p_T^{jet} region where the effect of varying the cell noise thresholds is larger. Raising and lowering the cell thresholds by 7 % leads to a roughly symmetric effect on the jet response. This allows the use of the calorimeter cell noise thresholds derived from data as a symmetric uncertainty that covers both the case when more and less noise is present in data with respect to the simulation, and complements the estimate of the calorimeter cell noise threshold uncertainty where data-derived thresholds are applied to Monte Carlo simulation.

The response of jets reconstructed with the data-derived noise thresholds is compared to the response of the jets reconstructed in exactly the same sample using the default MC noise thresholds. The maximal observed change between the two samples is used to estimate the uncertainty on the jet energy measurement due to the calorimeter cell noise modelling. As shown for two example p_T^{jet} bins in Fig. 6.7, the uncertainty is found to be below 2 % for the whole pseudorapidity range, and negligible for jets with transverse momenta above 45 GeV. The uncertainties assigned to jets with transverse momenta below 45 GeV are:

- 1 and 2 % for $20 \text{ GeV} \leq p_T^{\text{jet}} < 30 \text{ GeV}$ for anti- k_t with distance parameters $R = 0.4$ and 0.6 jets, respectively,
- 1% for $30 \text{ GeV} \leq p_T^{\text{jet}} < 45 \text{ GeV}$.

6.2.3.2 Additional Detector Material

The jet energy scale is affected by possible deviations in the material description: its calibration has been derived to correct for the energy lost under the assumption of the geometry simulated in the nominal Monte Carlo sample. Simulated detector geometries that include systematic variations to the material budget have been designed using test-beam measurements [17], in addition to studies in 900 GeV and 7 TeV data [18–21]. Specific Monte Carlo samples have been reconstructed using these distorted geometries.

In the case of uncertainties derived with in-situ techniques, such as those coming from the E/p measurements detailed in Sect. 6.2.2, most of the effects on the jet response due to additional dead material are already taken into account because the measurement is performed directly on the ATLAS detector. However, the quality criteria on the track selection for the E/p measurement effectively only allow particles that have not interacted in the inner detector to be included in the measurement. Therefore the effect of dead material in the inner detector needs to be taken into account for particles in the momentum range of the E/p measurement. This is achieved using a specific Monte Carlo sample where the material budget is systematically varied by adding 5 % of material to the existing inner detector services. The uncertainty derived from the comparison of the distorted material response

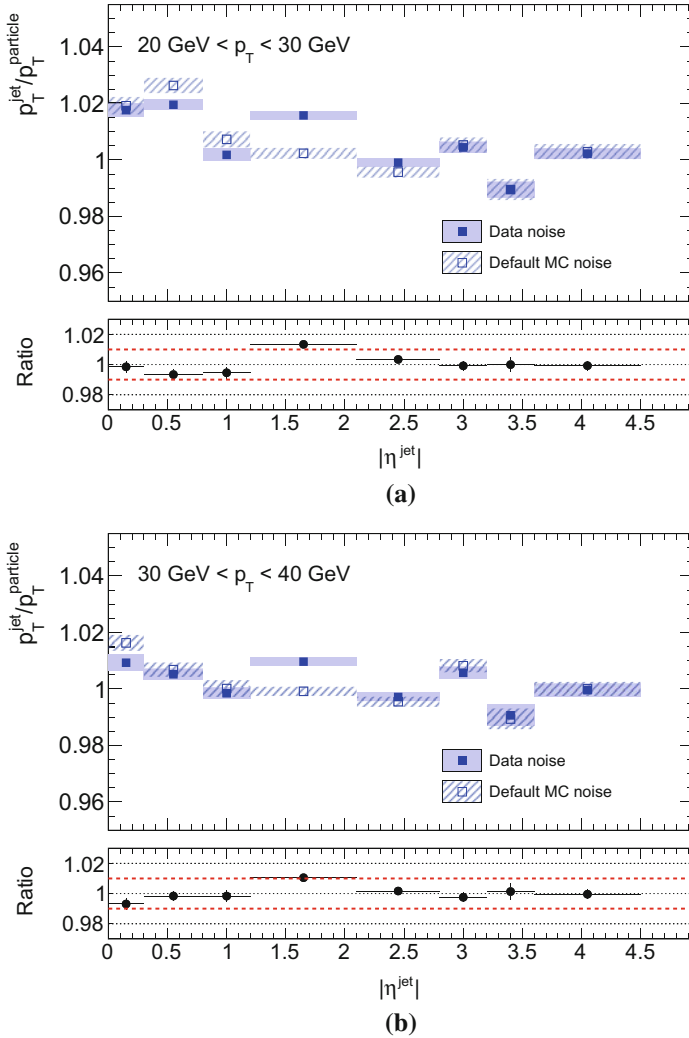


Fig. 6.7 Jet response as a function of pseudorapidity for simulated QCD anti- k_t $R = 0.6$ jets reconstructed with the default (*filled area and full squares*) and data-derived (*shaded area and empty squares*) noise threshold constants in two different p_T^{jet} bins

to the nominal response is then scaled by the fraction of particles within the E/p momentum range. This uncertainty is shown in Fig. 6.8.

Electrons, photons and hadrons with momenta $p > 20 \text{ GeV}$ are not included in the E/p measurements and therefore there is no in-situ estimate on the effect of any additional material in front of the calorimeters. This uncertainty is estimated using a dedicated Monte Carlo simulation sample where the overall detector material

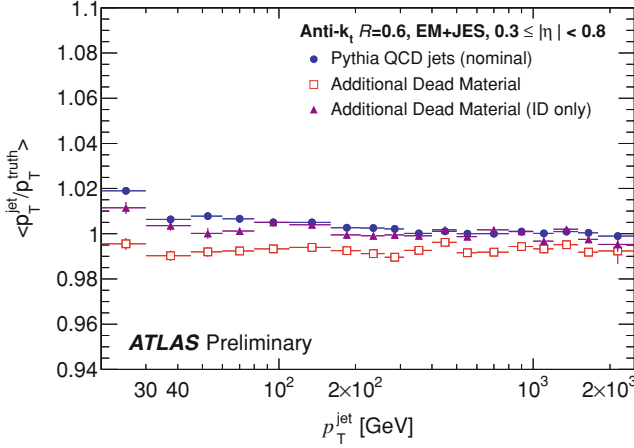


Fig. 6.8 Simulated p_T^{jet} response as a function of p_T^{jet} in the central region ($0.3 < |\eta| \leq 0.8$) in the case of additional dead material in the inner detector (*full triangles*) and in both the inner detector and the calorimeters (*open squares*). The response within the nominal Monte Carlo sample is shown for comparison (*full circles*)

is systematically varied within the current uncertainties of the detector geometry knowledge. The overall changes in the detector geometry include:

- the 5 % increase of inner detector material mentioned above;
- an extra 0.1 radiation length (X_0) placed in the cryostat in front of the barrel of the electromagnetic calorimeter ($|\eta| < 1.5$);
- an extra 0.05 X_0 placed between the presampler and the first layer of the electromagnetic calorimeter;
- an extra 0.1 X_0 placed in the cryostat after the barrel of the electromagnetic calorimeter;
- extra material is also present in the barrel-endcap transition region in the electromagnetic calorimeter ($1.37 < |\eta| < 1.52$), corresponding to 1.5 times the amount of nominal material.

The uncertainty contribution due to the overall additional detector material is estimated by comparing the calibrated EM+JES jet response in the Monte Carlo sample with the distorted geometry with the nominal jet response (see Fig. 6.8), and scaled by the average fraction of electrons, photons and high transverse momentum hadrons within a jet as a function of p_T .

In the endcap and forward calorimeter regions, a proper assessment of the detector material in front of the calorimeters is difficult, and no estimate of the dead material uncertainty has been attempted. The dead material uncertainty contribution in the endcap region is included in the JES uncertainty from the measurement of the relative di-jet p_T^{jet} imbalance between central and endcap jets in data and Monte Carlo simulation that is described in Sect. 6.2.5.

6.2.4 *Uncertainties Due to the Event Modelling in the Monte Carlo Generators*

The contributions to the JES uncertainty due to the modelling of the fragmentation, underlying event and to the parameters of the Monte Carlo event generator are obtained using the Perugia2010 PYTHIA tune and ALPGEN+HERWIG+JIMMY Monte Carlo samples introduced in Sect. 5.2.1. By comparing the nominal PYTHIA Monte Carlo sample to that simulated with the PYTHIA Perugia2010 tune, the effects of soft physics modelling (e.g. underlying event) are tested. The Perugia2010 tune provides in particular a better description of the internal jet structure recently measured in ATLAS [22]. The ALPGEN+HERWIG+JIMMY simulation sample differs with respect to the nominal PYTHIA sample in many respects, namely:

- the ALPGEN generator differs from PYTHIA in the leading order matrix element calculation of multiple partons (legs) in the final state: $2 \rightarrow 2$ to $2 \rightarrow 5$ legs are simulated for ALPGEN compared to $2 \rightarrow 2$ only for PYTHIA,
- the matrix element matching to the parton shower is done with the MLM algorithm in the ALPGEN sample,
- the CTEQ6L1 parton distribution function used for ALPGEN, compared to the MRST LO* set used for PYTHIA,
- the angular-ordered parton shower in HERWIG, compared with the p_T ordered shower in PYTHIA,
- the cluster model for fragmentation implemented in HERWIG, compared to the PYTHIA string model,
- the underlying event as implemented in JIMMY, compared to the PYTHIA model.

The ALPGEN Monte Carlo uses different models for all phases of the event generation and therefore gives a reasonable estimate of the systematic variations. However, the possible compensation of effects that shift the jet response in opposite directions cannot be excluded.

Figure 6.9 shows the calibrated jet kinematic response for the two Monte Carlo generators and tunes used to estimate the effect of Monte Carlo theoretical model on the jet energy scale uncertainty, together with the kinematic response for the nominal sample shown for comparison, for the evaluation of the JES uncertainty for the full 2010 dataset.

6.2.5 *Uncertainties Due to the Relative Calibration (Endcap/Forward Regions)*

The JES uncertainty, determined in the central detector region using the single particle response and systematic variations of the Monte Carlo simulations, is transferred to the forward regions by exploiting the transverse momentum balance of a central and a forward jet in events with dijet topologies as detailed in Sect. 5.2.1. This choice is

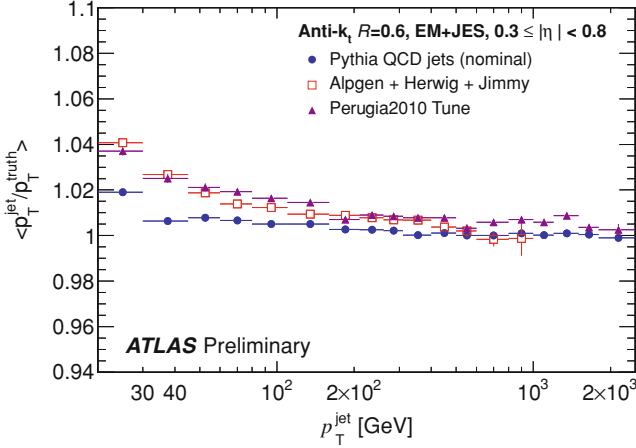


Fig. 6.9 Simulated p_T^{jet} response as a function of p_T^{jet} in the central region ($0.3 < |\eta| \leq 0.8$) for ALPGEN + HERWIG + JIMMY (*open squares*) and Pythia with the Perugia2010 tune (*full triangles*). The response of the nominal Monte Carlo sample is shown for comparison (*full circles*)

motivated by the better knowledge of the material budget for the barrel region with respect to the endcap region.

The jet energy scale uncertainty for jets with $|\eta| > 0.8$ is estimated as follows:

- the total JES uncertainty in the central region $0.3 < |\eta| < 0.8$ is kept as a baseline. This is the largest fully instrumented $|\eta|$ region considered where the combined test-beam results used to estimate the calorimeter uncertainty are available for the entire pseudorapidity range.
- the uncertainty from the relative intercalibration, taken as the RMS deviation of the MC predictions from the data, is added in quadrature to the baseline uncertainty.

The intercalibration uncertainty is measured in bins of the average p_T of the two leading jets, labelled p_T^{avg} . Due to momentum balance, this quantity is on average similar to the average transverse momenta of any of the two jets. The measurements are performed for transverse momenta in the range $20 \leq p_T^{\text{avg}} < 110 \text{ GeV}$. The uncertainty for jets with $p_T > 100 \text{ GeV}$ is taken as the uncertainty of the last available p_T -bin. This is justified by the decrease of the intercalibration uncertainty with p_T , but cannot completely exclude the presence of calorimeter non linearities for jet energies above those used for the intercalibration.

The uncertainties are evaluated separately for jets reconstructed with distance parameters $R = 0.4$ and 0.6 , and are in general found to be slightly larger for $R = 0.4$.

Figure 6.10 shows the relative jet response, and the associated intercalibration uncertainty calculated as detailed above, as a function of jet $|\eta|$ for two representative p_T^{avg} -bins.

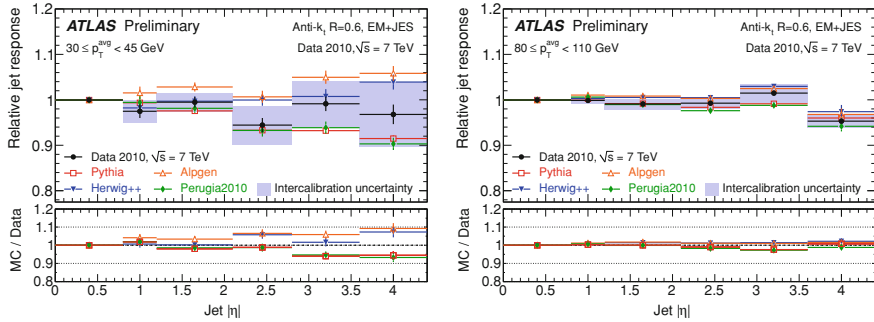


Fig. 6.10 Jet p_T response measured relative to a central reference jet in data and various MC generator samples for jet p_T in the ranges 30–45 GeV (*left*) and 80–110 GeV (*right*). The resulting uncertainty component is shown as a *shaded band* around the data points. Figure from [3]

6.3 Uncertainty Due to Multiple Interactions

Particles produced by multiple soft proton–proton interactions in the same bunch crossing additional to the event of interest (in-time pile-up) can produce additional energy deposits that are reconstructed within the jet. As briefly described in Sect. 4.2.1, and fully detailed in Ref. [23], an average offset correction is applied to account for the average increase of the jet energy due to pile-up. This correction is parameterised as a function of the number of measured primary vertices N_{PV} .

The estimate of the remaining uncertainty on the jet energy scale after applying the pile-up correction is based on the studies described in Sect. 5 of Ref. [23]. The contributions to the uncertainty are estimated from studies that account for:

- the variation of the average offset-corrected calorimeter jet energy for calorimeter jets matched to track-jets as a function of the number of primary vertices,
- the effects of the variation of the trigger selection on the measured tower energy distribution that is input to the offset correction,
- the mapping of the tower-based offset correction to jets built from topoclusters using the average number of towers,
- the non-closure of the tower-based offset correction as evaluated by the dependence of the corrected calorimeter jet energy for calorimeter jets matched to track-jets.

The uncertainty on the jet energy scale is conservatively estimated by adding all uncertainties above in quadrature. Since the track-jet method can be used only up to $|\eta| < 1.9$ due to the limited coverage of the tracking detector, the di-jet balance method detailed in Sect. 5.2.1 has been used to estimate the uncertainty for $|\eta| > 1.9$. In this case, the relative jet response in events with only one reconstructed vertex was compared with the response measured in events with several reconstructed vertices. The dijet balance method yields uncertainties similar to those detailed above also in the case of $|\eta| > 1.9$.

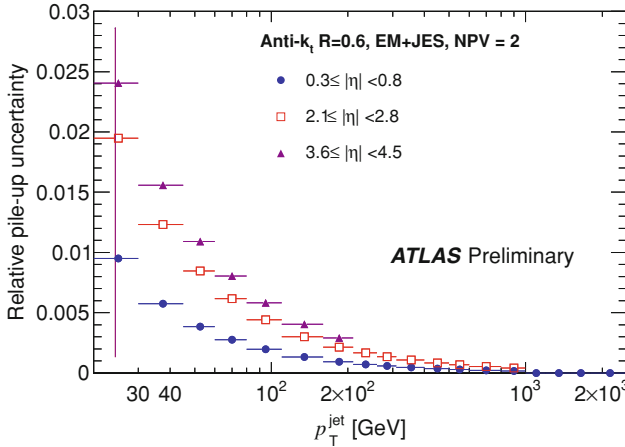


Fig. 6.11 Relative pile-up uncertainty for anti- k_t jets with $R = 0.6$ in the case of two measured primary vertices, $N_{PV} = 2$, for central ($0.3 < |\eta| \leq 0.8$, *full circles*), endcap ($2.1 < |\eta| \leq 2.8$, *open squares*) and forward ($3.6 < |\eta| \leq 4.5$, *full triangles*) jets as a function of jet p_T

Table 6.2 Pile-up uncertainty for anti- k_t $R = 0.6$ jets

		$N_{PV}=2$	$N_{PV}=3$	$N_{PV}=4$	$N_{PV}=5$	$N_{PV}=6$	$N_{PV}=7$
$0.3 \leq \eta < 0.8$	$p_T = 20 \text{ GeV}$	0.010	0.019	0.030	0.040	0.050	0.060
	$p_T = 200 \text{ GeV}$	0.001	0.002	0.003	0.004	0.005	0.006
$2.1 \leq \eta < 2.8$	$p_T = 20 \text{ GeV}$	0.019	0.04	0.062	0.085	0.11	0.13
	$p_T = 200 \text{ GeV}$	0.002	0.004	0.007	0.009	0.012	0.014
$3.6 \leq \eta < 4.5$	$p_T = 20 \text{ GeV}$	0.024	0.050	0.079	0.11	0.14	0.16
	$p_T = 200 \text{ GeV}$	0.003	0.006	0.010	0.013	0.016	0.020

The offset correction and its uncertainty are derived as a function of the number of reconstructed vertices. This allows the correction and its uncertainty to be valid also for data periods where the number of reconstructed primary vertices is higher than the period where the correction is derived.

Figure 6.11 shows the relative uncertainty due to pile-up in the case of two measured primary vertices for jets with anti- k_t $R = 0.6$. In this case, the uncertainty due to pile-up for central jets with $p_T = 20 \text{ GeV}$ and pseudorapidity $|\eta| \leq 0.8$ is about 1 %, while it amounts to about 2 % for jets with pseudorapidity $2.1 < |\eta| < 2.8$ and to less than 2.5 % for all jets with $|\eta| \leq 4.5$. In the case of more primary vertices, the pile-up uncertainty is summarised in Table 6.2 for jets of $p_T = 20$ and 200 GeV for the three example η regions ($0.3 \leq |\eta| < 0.8$, $2.1 \leq |\eta| < 2.8$ and $3.6 \leq |\eta| < 4.5$). Jets with anti- k_t $R = 0.4$ show a similar trend, albeit with slightly smaller uncertainties due to the reduced jet area.

The pile-up uncertainty needs to be added separately to the estimate of the total jet energy scale uncertainty detailed in Sect. 6.4.

The effect of additional proton–proton interactions from different bunch crossings that can be caused by trains of consecutive bunches (out-of-time pile-up) has been studied separately. The effect of out-of-time pile-up on jet reconstruction has been studied matching calorimeter jets and track jets (unaffected by the integration time of the calorimeters) and has been found to be negligible in the 2010 data-set considered for the measurement in this thesis.

6.4 Combination of JES Uncertainty Sources

The total jet energy scale uncertainty has been derived by considering all the individual contributions described in Sect. 6.2. In the central region ($|\eta| < 0.8$), the estimate proceeds as follows:

1. For each p_T^{jet} and η bin, the uncertainty due to the calibration procedure is calculated as described in Sect. 6.2.1 for both jet energy and p_T response. For each bin, the maximum deviation from unity between the energy and p_T response is taken as the final non-closure uncertainty.
2. The calorimeter response uncertainty is estimated as a function of jet η and p_T from the propagation of single particle uncertainties to the jets, as detailed in Sect. 6.2.2.1.
3. Sources of uncertainties estimated using Monte Carlo samples with a systematic variation are accounted as follows:
 - (a) the response in the test sample $\mathcal{R}_{\text{var}}$ and the response in the nominal sample $\mathcal{R}_{\text{nom}}$ is considered as a starting point for the estimate of the JES uncertainty. The deviation of this ratio from unity is defined as:

$$\Delta_{\text{JES}}(p_T^{\text{jet}}, \eta) = \left| 1 - \frac{\mathcal{R}_{\text{var}}(p_T^{\text{jet}}, \eta)}{\mathcal{R}_{\text{nom}}(p_T^{\text{jet}}, \eta)} \right|. \quad (6.1)$$

This deviation is calculated from both the energy and p_T response, leading to $\Delta_{\text{JES}}^{\text{E}}(p_T^{\text{jet}}, \eta)$ for the deviation in the energy response, and to $\Delta_{\text{JES}}^{\text{pT}}(p_T^{\text{jet}}, \eta)$ for the deviation in the transverse momentum response.

- (b) The largest Δ_{JES} in each bin derived from the jet energy (E) or transverse momentum (p_T) response is considered as the contribution to the final JES systematic uncertainty due to the specific systematic effect:

$$\Delta_{\text{JES}}(p_T^{\text{jet}}, |\eta|) = \max(\Delta_{\text{JES}}^{\text{E}}(p_T^{\text{jet}}, \eta), \Delta_{\text{JES}}^{\text{pT}}(p_T^{\text{jet}}, \eta)). \quad (6.2)$$

4. The estimate of the uncertainty contributions due to additional material in the inner detector and overall additional inactive material is estimated as described in point 3. above. These uncertainties are then scaled by the average fraction of

particles forming the jet that are within $p < 20 \text{ GeV}$ (for the inner detector distorted geometry) and by the average fraction of particles outside the E/p in-situ measurements (for the overall distorted geometry), as mentioned in Sect. 6.2.3.

For each p_T^{jet}, η bin, the contributions from the calibration non-closure, calorimeter response, Monte Carlo variations and additional inactive material listed above are added in quadrature.

For pseudorapidities beyond $|\eta| > 0.8$, the η intercalibration contribution is estimated for each pseudorapidity bin in the endcap region as detailed in Sect. 6.2.5. The intercalibration contribution is added in quadrature to the total JES uncertainty determined in the $0.3 \leq |\eta| < 0.8$ region to estimate the JES uncertainty for jets with $|\eta| > 0.8$, with the exception of the non-closure term that is retained for the specific η region. For low jet p_T , this choice leads to partially double count the contribution from the dead material uncertainty, but it is considered as a conservative estimate in a region where it is difficult to estimate the accuracy of the material description.

The contribution to the uncertainty due to additional proton–proton interactions described in Sect. 6.3 needs to be added separately, depending on the number of primary vertices in the event. In the following, only the uncertainty in the case of a single proton–proton interaction is shown in detail.

6.5 Results

Figures 6.12, 6.13 and 6.14 show the final fractional jet energy scale systematic uncertainty and its individual contributions as a function of jet p_T^{jet} for three selected η regions.

The fractional JES uncertainty in the central region amounts to 2 to 4 % for $p_T^{\text{jet}} < 60 \text{ GeV}$, and it is between 2 and 2.5 % for $60 \text{ GeV} \leq p_T^{\text{jet}} < 800 \text{ GeV}$. For jets with $p_T^{\text{jet}} > 800 \text{ GeV}$, the uncertainty ranges from 2.5 to 4 %, due to the larger uncertainties for particles with momentum beyond 400 GeV comprised in these jets. The uncertainty amounts to up to 7 and 3 %, respectively, for $p_T^{\text{jet}} < 60 \text{ GeV}$ and $p_T^{\text{jet}} > 60 \text{ GeV}$ in the endcap region, where the central uncertainty is taken as a baseline and the uncertainty due to the relative calibration is added. In the forward region, a 13 % uncertainty is present for $p_T^{\text{jet}} < 60 \text{ GeV}$: the increase in the uncertainty is dominated by the modelling of the soft physics in the forward region that is accounted for in the intercalibration contribution.

The dominant contribution to the uncertainty for jets with the highest transverse momenta measurable in ATLAS is the calorimeter uncertainty, and more specifically the uncertainty due to particles in jets with $p > 400 \text{ GeV}$. As stated in Sect. 6.2.2.1 and in [14], this uncertainty contribution is estimated conservatively.

Table 6.3 presents a summary of the maximum uncertainties in the different η regions for anti- k_t jets with distance parameter of $R = 0.6$ and with p_T^{jet} of 20 GeV, 200 GeV and 1.5 TeV as an example.

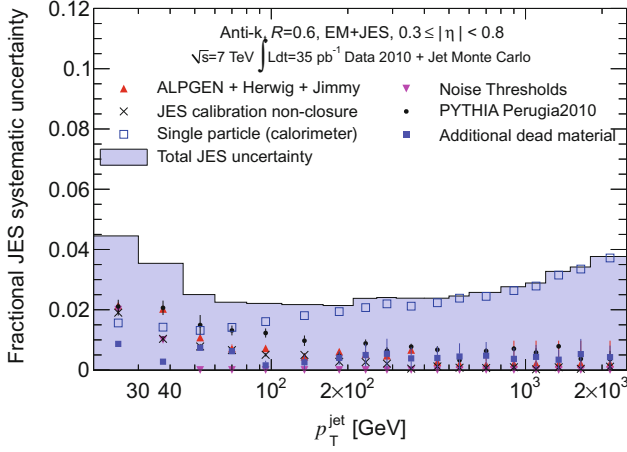


Fig. 6.12 Fractional jet energy scale systematic uncertainty as a function of p_T^{jet} for jets in the pseudorapidity region $0.3 \leq |\eta| < 0.8$ in the calorimeter barrel. The total uncertainty is shown as the *solid light blue* area. The individual sources are also shown, with uncertainties from the fitting procedure if applicable

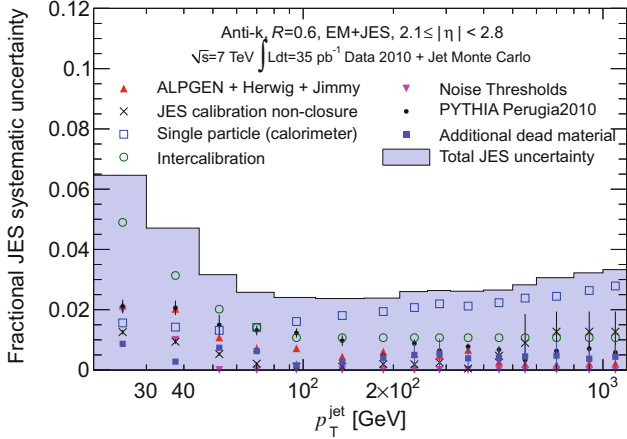


Fig. 6.13 Fractional jet energy scale systematic uncertainty as a function of p_T^{jet} for jets in the pseudorapidity region $2.1 \leq |\eta| < 2.8$. The JES uncertainty in this endcap region is extrapolated from the barrel uncertainty, with the uncertainty contribution from the η intercalibration between central and endcap jets in data and Monte Carlo added in quadrature. The total uncertainty is shown as the *solid light blue* area. The individual sources are also shown, with uncertainties from the fitting procedure if applicable

The same study has been repeated for anti- k_t jets with distance parameter $R = 0.4$, and the estimate of the JES uncertainty is comparable to anti- k_t jets with $R = 0.6$. The JES uncertainty for anti- k_t jets with $R = 0.4$ is between $\approx 4\%$ (8, 14%) at

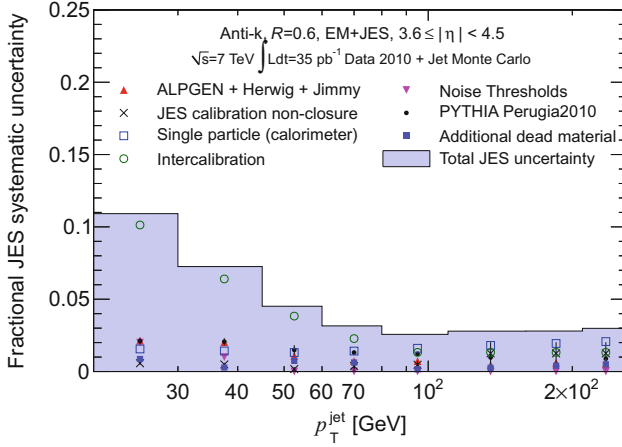


Fig. 6.14 Fractional jet energy scale systematic uncertainty as a function of p_T^{jet} for jets in the pseudorapidity region $3.6 < |\eta| < 4.5$. The JES uncertainty for the forward region is extrapolated from the barrel uncertainty, with the uncertainty contribution from the η intercalibration between central and forward jets in data and Monte Carlo added in quadrature. The total uncertainty is shown as the *solid light blue* area. The individual sources are also shown, with uncertainties from the fitting procedure if applicable

Table 6.3 Summary of the maximum EM+JES jet energy scale systematic uncertainties for different p_T^{jet} and η regions from Monte Carlo-based study for anti- k_t jets with $R = 0.6$

η region	Maximum fractional JES uncertainty		
	$p_T^{\text{jet}} = 20 \text{ GeV}$ (%)	$p_T^{\text{jet}} = 200 \text{ GeV}$ (%)	$p_T^{\text{jet}} = 1.5 \text{ TeV}$ (%)
$0 \leq \eta < 0.3$	4.6	2.3	3.1
$0.3 \leq \eta < 0.8$	4.5	2.2	3.3
$0.8 \leq \eta < 1.2$	4.4	2.3	3.3
$1.2 \leq \eta < 2.1$	5.4	2.4	3.4
$2.1 \leq \eta < 2.8$	6.5	2.5	
$2.8 \leq \eta < 3.2$	7.9	3.0	
$3.2 \leq \eta < 3.6$	8.1	3.0	
$3.6 \leq \eta < 4.5$	10.9	2.9	

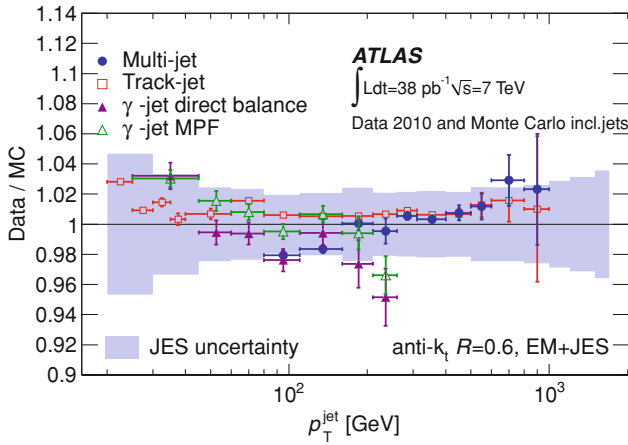
low jet p_T^{jet} and $\approx 2.5\text{--}3\%$ ($2.5\text{--}3.5$, 5%) for jets with $p_T > 60 \text{ GeV}$ in the central (endcap, forward) region, and it is summarised in Table 6.4.

6.5.1 Validation of the JES Uncertainty with In Situ Techniques

A JES uncertainty that relies on Monte Carlo simulation for a certain number of terms benefits from validation of the jet energy scale with in-situ techniques that can

Table 6.4 Summary of the maximum EM+JES jet energy scale systematic uncertainties for different p_T^{jet} and η regions from Monte Carlo based study for anti- k_t jets with $R = 0.4$

η region	Maximum fractional JES uncertainty		
	$p_T^{\text{jet}} = 20 \text{ GeV} (\%)$	$p_T^{\text{jet}} = 200 \text{ GeV} (\%)$	$p_T^{\text{jet}} = 1.5 \text{ TeV} (\%)$
$0 \leq \eta < 0.3$	4.1	2.3	3.1
$0.3 \leq \eta < 0.8$	4.3	2.4	3.3
$0.8 \leq \eta < 1.2$	4.4	2.5	3.4
$1.2 \leq \eta < 2.1$	5.3	2.6	3.5
$2.1 \leq \eta < 2.8$	7.4	2.7	
$2.8 \leq \eta < 3.2$	9.0	3.3	
$3.2 \leq \eta < 3.6$	9.3	3.5	
$3.6 \leq \eta < 4.5$	13.4	4.9	

**Fig. 6.15** Jet energy scale uncertainty as a function of p_T^{jet} in $0 \leq |\eta| < 1.2$. This plot shows the data to Monte Carlo simulation ratios for several in-situ techniques that test the jet energy scale exploiting photon jet balance (direct balance or using the missing transverse momentum projection technique), the balance of a leading jet with a recoil system of two or more jets at lower transverse momentum (multi-jets) or using the momentum measurement of tracks in jets. Figure from [3]

exploit the full 2010 dataset. These techniques have been detailed in Sect. 5.2. The comparison of data to Monte Carlo simulation for all in-situ techniques is shown in Fig. 6.15, together with the JES uncertainty for the $0 \leq |\eta| < 1.2$ region as estimated from the single hadrons response and systematic variations of the Monte Carlo simulations. For the track-jet results the η range used for the comparison in the figure is restricted to $0 \leq |\eta| < 0.3$. The results of the in-situ techniques provide independent support to the estimate of the JES uncertainty adopted by ATLAS.

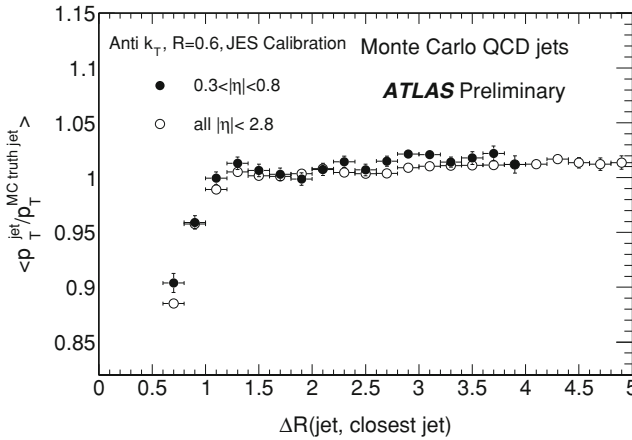


Fig. 6.16 Jet energy response after the JES calibration as a function of the distance to the closest jet in the event for jets in the central barrel (solid circles) region and all jets (open circles), from Monte Carlo simulation of the nominal sample

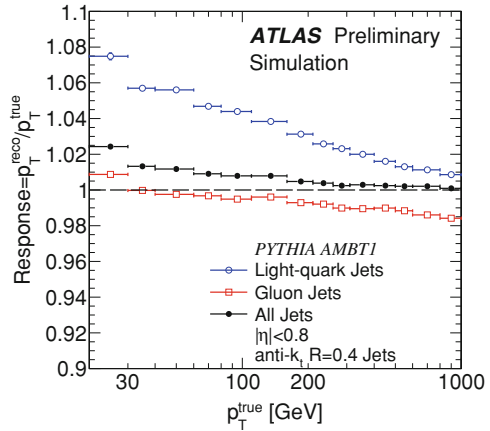
6.6 Flavour and Topology Considerations

The jet energy scale and its uncertainty have been derived using the nominal simulated sample of QCD jets. The calibration of the jet energy scale is constructed such that it restores the average jet response to unity for jets with the particular quark and gluon mixture and topologies predicted by the Monte Carlo simulation in di-jet QCD events. Therefore, differences in fragmentation between quark and gluon initiated jets and effect of close-by jets give rise to a particular topology and flavour dependence of the energy scale. Since the event topology and flavour composition (quark and gluon fractions) may be different in final states other than the QCD jets considered, the dependence of the jet energy response on jet flavour and topology has to be accounted for in physics analyses.

The effect of close-by jets on the jet energy response for a Monte Carlo sample with characteristics similar to the nominal sample of Sect. 2.4.2, but with an older ATLAS tune called MC09 [24], is shown in Fig. 6.16 for anti- k_T jets with distance parameter $R^{\text{jet algo}} = 0.6$. The response of non-isolated jets ($\Delta R(\text{jet}, \text{closest jet}) > 1.5$) is lower than the response of isolated jets, and strongly depends on the distance to the closest reconstructed jet. When $\Delta R(\text{jet}, \text{closest jet}) < 1.0$, the calibrated jet energy response is underestimated by 5–10 %. This same effect is observed in all η regions. Isolated jets with $\Delta R(\text{jet}, \text{closest jet}) < 1.5$ have, by construction, a uniform response consistent with one: only isolated jets with $\Delta R < 2.5 \cdot R^{\text{jet algo}}$ were used to derive the JES.

The effect on the jet energy scale uncertainty due to close-by jets needs to be estimated separately, since the jet response depends on the angular distance to the closest jet. The response of jets as a function of the distance to the closest recon-

Fig. 6.17 Jet energy response after the JES calibration as a function of p_T^{jet} for light-quark (*empty circles*) and gluon (*squares*) initiated jets shown together with the inclusive jet response (*full circles*), for the Monte Carlo simulation of the nominal sample. Figure from [26]



structured jet needs to be studied and corrected for separately if the measurement relies on the absolute jet energy scale. The additional uncertainty can be estimated from the Monte Carlo to data comparison of the p_T ratio between calorimeter jets and matched track jets in inclusive dijet events as a function of the isolation radius. The derivation of such uncertainty is described in Ref. [25]: it is found that simulated events reproduce the response of close-by-jets to within 1–3 % as a function of the distance to the nearest jet.

Figure 6.17 shows the dependence of the jet energy response on the jet flavour in the nominal QCD jet sample. Gluon initiated jets have a lower response than quark jets because gluon jets fragment into more and softer particles than quark jets. Softer particles have a lower energy response and are more likely to fail to reach the calorimeters or be included in topological clusters.

The p_T dependence of the jet response for quark and gluon jets reflects the flavour composition of the QCD dijet sample used to derive the JES. At low p_T , QCD di-jet production is dominated by gluon initiated jets. Hence, the response of gluon jets is consistent with unity. As the jet p_T increases, there is a higher fraction of quark initiated jets in the QCD sample, resulting in relatively lower response for gluon jets. The maximum difference between the response of quark and gluon jets is about 6 % at low p_T^{jet} and less than 3 % for $p_T^{\text{jet}} > 100 \text{ GeV}$.

As in the case of the topology dependence of the jet energy scale, specific flavour dependent corrections should be derived separately by different physics analysis. The JES systematic uncertainty for each analysis depends on the difference in the fraction of quark and gluon jets and on the flavour dependence of the jet energy response between data and simulation. This uncertainty has to be evaluated for each individual physics analysis, as detailed in Ref. [26].

6.7 JES Uncertainty Correlations

The treatment of correlated systematic uncertainties is of particular relevance for the comparison of experimental measurements with theory using statistical techniques. The JES uncertainty is composed of a number of uncorrelated sources, but no information has yet been given on the bin-to-bin correlations. This section focuses on the evaluation of the bin-to-bin correlations across p_T bins.

In the approach taken for the inclusive jet cross section measurement described in this thesis, the full JES uncertainty covariance matrix is reproduced by treating each source as a *nuisance parameter* fully correlated across p_T . Each element of the covariance matrix c_{ij} is given by:

$$c_{ij} = s_i s_j \delta_{ij} + \sum_{\lambda}^{sources} \sigma_i^{\lambda} \sigma_j^{\lambda},$$

where i, j are the indices of the p_T bins considered, s is the sum in quadrature of the uncertainties that are considered uncorrelated and σ^{λ} are the individual sources of systematic uncertainties.

In order to adopt this treatment, the decomposition of the uncertainty sources needs to be revisited so that each uncertainty component can be treated as fully correlated in p_T . This assumption is made in the case of the uncertainties due to theoretical modeling, additional dead material,⁶ noise thresholds, pile-up, non-closure of the calibration and relative calibration. On the other hand, the calorimeter component presents non trivial decorrelations between the p_T bins, as derived from the analysis and shown in Fig. 6.4. The decomposition of this uncertainty source into fully correlated components is described in the following section.

6.7.1 Calorimeter Uncertainty

The technique adopted to reproduce the covariance matrix of the calorimeter uncertainty component using fully correlated subcomponents requires a revision of the seven uncertainty subcomponents listed in Sect. 6.2.2.2, as described below.

6.7.1.1 Treatment of *bias* Components

As described in Sect. 6.2.2.3, the full envelope of the bias and the deviation from unity is taken as the calorimeter uncertainty: the same technique is used when considering each separate source. The `E/p` and `Combined Test Beam` uncertainty sources

⁶ Preliminary studies have been performed to estimate the bin-to-bin correlations for the dead material component using separate subsamples. These are not reported here, but they can be found in the presentation given in [27].

have a significant *bias term* that dominates at high transverse momenta and needs to be treated separately from the term derived from the statistical and systematic error on the average JES (called *error term* in the following). Two separate subcomponents for the bias and error terms are constructed for each of these two sources using the total magnitude of the uncertainty σ_{tot} and the size of the error term σ_{err} :

$$\sigma_{bias} = \sqrt{\sigma_{tot}^2 - \sigma_{err}^2}$$

so that they can be summed in quadrature and treated as fully correlated across p_T .

6.7.1.2 Further Decomposition of Calorimeter Subcomponents

While the uncertainties from the E/p acceptance, Neutral particles, cluster thresholds, E/p, High momentum particles sources can be treated as fully correlated, the Global energy scale and Combined Test Beam components have internal decorrelations. Their covariance matrix can be used to split them further in subcomponents using an iterative method that at each step subtracts a fully correlated part to the total uncertainty. By construction, the sum in quadrature of the subcomponent results in the total uncertainty for the original component. This is done as follows:

1. The first subcomponent for bin i is derived using the elements of the covariance matrix c_{lm} , with l, m bin indices. One bin (denoted with index k) is taken as reference.⁷ The values of the new uncertainty component is derived using the covariance with respect to the reference bin as $\sigma_i^{(1)} = c_{ik} / \sqrt{c_{kk}}$;
2. The modified covariance matrix with elements $c_{ij}^{(n)}$ where the $n - 1$ th subcomponent has been subtracted is used to generate the other subcomponents:

$$c_{ij}^{(n)} = c_{ij}^{(n-1)} - \sigma_i^{(n-1)} \sigma_j^{(n-1)}$$

$$\sigma_i^{(n)} = c_{ik'}^{(n)} / \sqrt{c_{k'k'}^{(n)}}$$

3. The two steps above are iterated until significant decorrelations are seen in the modified covariance matrix, and finally the last uncertainty component is built:

$$\sigma_i^{(n)} = \sqrt{c_{ii}} - \sum_{n=1}^{N-1} (\sigma_i^{(n)})^2$$

This procedure leads to splitting the global energy scale component in two subcomponents. For convenience, these will be called in the following LAr E-scale

⁷ The reference bin is chosen where the uncertainty is largest to keep the correlations as faithful as possible in the neighboring bins.

and Tile E-scale, even though they are a mixture of uncertainties due to both the LAr and the Tile energy scales. The Combined Test Beam component is split into three subcomponents: CTB low p_T , CTB medium p_T and CTB high p_T . Again, the names only indicate the p_T range where the subcomponent is sizeable with respect to the others.

The correlation matrix for these two sources can be built using the new subcomponents and compared with that obtained from pseudoexperiments: the correlations are preserved within a few percent, and they are well reproduced at high p_T where the two components are relevant in the total calorimeter uncertainty.

6.7.1.3 Final Calorimeter Subcomponents

As a result of this analysis, the calorimeter component is split into 12 subcomponents: 5 fully correlated 'original' components, 2 bias terms and 5 generated terms. The E/p acceptance and cluster thresholds components have a similar shape and they are merged in a single component. The same is done with the LAr E-scale, CTB low p_T and neutral particles components and with the CTB high p_T and high momentum particle components. A total of 7 uncertainty components are kept to be used as separate nuisance parameters for physics analyses: E/p, Cluster thresholds and E/p acceptance (called only Cluster thresholds in the following), LAr E-scale/neutrals and CTB low p_T (called only LAr E-scale in the following), Tile E-scale and CTB mid p_T (called only Tile E-scale in the following), CTB High p_T and high momentum particles (called only CTB High p_T in the following), E/p bias, CTB bias.

The correlation matrix obtained using these components is compared to those obtained in the full single particle analysis of Ref. [14], and found in agreement up to 2% for $p_T > 20$ GeV, and 4% for the first bin.

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Chapter 7

Inclusive Jet Cross Section

QCD jets are the most common hard objects observed at hadron colliders, with their cross section exceeding any other physics process by orders of magnitude.

The measurement of the inclusive jet cross section with the ATLAS detector has been one of the first measurements performed with the initial 7 TeV data delivered by the Large Hadron Collider. Its first iteration used 17 nb^{-1} of data collected between March 2010 and June 2010 including jets with transverse momentum from 60 GeV to around 600 GeV in a rapidity range of $y < 2.8$, and it has been published in EPJC [1]. The comparison of the measurement data with the theoretical prediction served the purpose of both verifying Quantum Chromodynamics in an unexplored kinematic region and confirming the status of the performance of jets as estimated over the course of the experiment commissioning and with the data from the LHC startup.

The inclusive jet cross section measurement has been updated using the full 2010 dataset ($37.3 \pm 1.2\text{ pb}^{-1}$): this measurement is the focus of this chapter and has been accepted for publication [2]. This analysis takes advantage of the reduced jet energy scale uncertainty and the estimate of its correlations described in Chap. 6: its systematic uncertainties are considerably reduced compared to the first iteration. The kinematic range for the measurement has been extended to include jets from transverse momentum as low as 20 GeV and up to 1.5 TeV, and measuring the jet cross section in the forward calorimeter region ($y < 4.4$). The comparison of the kinematic reach of the measurement for the full 2010 dataset compared to that of the previous measurement is shown in Fig. 7.1.

This extension makes the measurement truly unique with respect to previous analyses [3, 4] since it probes QCD at distances never reached before. The extension to the forward region provides insight in the small- x QCD dynamics, while high p_T jets allow constraints of the gluon density at high x . Low transverse momentum jets measured with two different jet distance parameters can be used to probe non perturbative effects such as hadronisation and underlying event.

This chapter describes the details of the inclusive jet cross section analysis. The overview of the analysis and the datasets used are described in Sect. 7.1. The selection of interesting events and of the jets included in the measurement can be found in

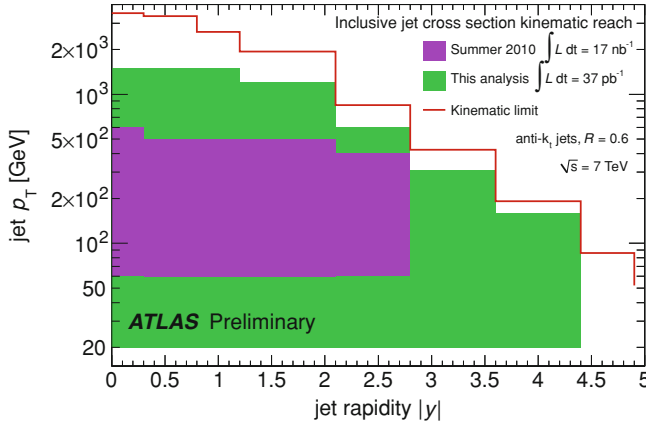


Fig. 7.1 Kinematic reach of the inclusive jet cross section measurement for the full 2010 dataset (*green*) described in this thesis, compared to that of the first iteration of the analysis reported in [1] (*purple*). Figure taken from [5]

Sect. 7.2. The procedure needed to unfold the experimental effects from the measurement in order to compare to theory is outlined in Sect. 7.3, and the systematic uncertainties and their correlations are evaluated in Sect. 7.4. Section 7.5 briefly describes the theoretical prediction of the inclusive jet cross section. The comparison of data and theory and a brief discussion of the results are given in Sect. 7.6.

7.1 Analysis Overview and Datasets Used

Jets are reconstructed with two different jet distance parameters ($R = 0.4$ and $R = 0.6$) and calibrated with the EM+JES scheme described in Chap. 4. The measurement uses jets with a transverse momentum of $20 < p_T^{\text{jet}} < 1,500 \text{ GeV}$ and rapidities of $|y| < 4.4$. The measurement is performed in bins of p_T^{jet} and $|y|$. The rapidity bins follow closely those used for the jet energy scale uncertainty, given that these bins reflect the geometry of the ATLAS calorimeters. The differences with respect to Table 6.1 are that:

- Pseudorapidity is replaced with rapidity;
- The transition region is extended to include the first forward bin, leading to a transition region bin of $2.8 < |y| < 3.6$ to increase the statistics in a kinematic region where the spectrum falls steeply;
- The forward most bin is limited to $|y| < 4.4$ instead of 4.5.

The jet cross section σ_{jet} is defined as the number of jets N_{jets} falling in a given p_T , y bin after trigger and quality selection, fully corrected for experimental inefficiencies ϵ (as detailed in the following sections), and finally divided by the

integrated luminosity $\mathcal{L}_{int}$ delivered by the Large Hadron Collider that takes into consideration any prescaling in the triggers used. The basic formula for the cross section measurement is shown in Equation 7.1.

$$\sigma_{jet} = \frac{N_{jets}}{\epsilon \cdot \mathcal{L}_{int}} \quad (7.1)$$

All experimental effects (for example the smearing due to resolution) are corrected for using a procedure (*unfolding*) that employs the Monte Carlo truth jets that are described in Sect. 4.1.3, but this time including muons and neutrinos from hadronic decays as specified in [6].

The unfolded measurement is compared to Next to Leading Order (NLO) pQCD predictions corrected for non-perturbative effects and to NLO calculation that includes the simulation of the parton shower.

The measurement uses the full 2010 dataset with two exceptions:

- Since the forward jet triggers were not commissioned until August 10, 2010, only the latter data periods were used in the forward region ($|y| > 2.8$) and for $p_T^{\text{jet}} > 30 \text{ GeV}$;
- To minimize the effect of additional energy in jets due to in-time pile-up, only the first data periods (up to the beginning of June 2011) are used for jets with $20 < p_T^{\text{jet}} < 60 \text{ GeV}$;

The total integrated luminosity used for this measurement is $(37.3 \pm 1.2) \text{ pb}^{-1}$.

7.2 Event and Jet Selection

The Data Quality framework[7, 8] ensures that quality criteria for the subdetectors and the physics objects used in ATLAS analyses are met. Unrecoverable problems that affect the relevant detector components for a significant period of time and that can be observed at the luminosity block (defined in Sect. 3.8) level lead to the exclusion of the full range of events within the luminosity block from the analysis. Less frequent problems (such as sporadically noisy channels in the calorimeters) are instead dealt with at the analysis level, as detailed in Sect. 7.2.2. The quality selection performed at the event level for this measurement is detailed in [9] and outlined below.

Events that are included in the analysis are required to belong to runs taken over periods of stable LHC beams. To ensure that the physics objects needed for the analysis are correctly measured, a further selection on the good functioning of the relevant detector components and reconstruction procedures is applied.

The subsystems that are required to measure the jets and the primary vertex used in the calibration procedure are the processors for the first level (L1) and second level (L2) triggers, the solenoid magnet, all components of the inner detector, and the LAr and Tile calorimeters. The reconstruction of physics objects needed in the

analysis is also monitored: tracks (for the reconstruction of the primary vertex of the collision), jets and missing energy (to monitor the overall energy reconstruction) are required to be well reconstructed. A given luminosity block is excluded from the analysis if the distributions of significant quantities for the object in question are in disagreement with the expected reference distribution coming from a known good data taking period.

In addition to these requirements, cosmic muons and beam background (non-collision events) need to be rejected. To ensure that the event is a collision candidate, events are required to have at least one primary vertex (formed by at least five tracks) whose position is consistent with the beamspot.

7.2.1 Triggers

Three different trigger systems (described in more detail in Sect. 3.9) have been used to select the events used in the inclusive jet cross section analysis.

For the first data period (up to the 10th of April 2011), only the Minimum Bias Trigger Scintillators were used. This trigger is fully efficient for low p_T jets in the whole calorimeter coverage, thanks to the particles radiated by the jets or by the proton remnants in the forward direction that cross either of the scintillator counters, as described in [10]. The MBTS trigger is also used to trigger low p_T jets in the transition and forward calorimeter region. The central and forward jet trigger systems were also used: the Level 1 trigger was commissioned first, and subsequently (starting from August 2010) the Level 2 trigger was also used to reject events.

The Level 1 jet trigger decision is based on whether the electromagnetic scale energy of any jet in the event is above a certain threshold. These thresholds are used because of the steep jet cross section: since the trigger system has a limited bandwidth as described in Sect. 3.9, the rate of events with low p_T jets needs to be prescaled to ensure the less frequent high p_T jets are recorded as well. 8 thresholds are available for the central jet trigger (5, 10, 15, 30, 55, 75, 95 and 115 GeV) and 4 thresholds for the forward jet trigger (10, 30, 55 and 75 GeV). Level 2 triggers need to be seeded by a Level 1 trigger, which means that the event needs to have successfully passed the corresponding Level 1 trigger selection. Level 2 jet triggers also accept events based on EM-scale thresholds, which are 15 GeV higher than their corresponding L1 threshold with the exception of the L1 central jet trigger with a 5 GeV threshold that seeds a L2 trigger with a threshold of 10 GeV. A higher threshold at L2 with respect to L1 is used because the L1 trigger is slower at becoming fully efficient in the jet selection with respect to the L2 trigger, and this choice allows both trigger levels to become fully efficient at the same jet p_T value.

The available bandwidth of about 200 Hz needs to be distributed among the various physics streams, so the jet triggers have been increasingly prescaled: only a fraction of the events that would be selected are saved, based on a probabilistic, unbiased selection. The prescale is accounted for when calculating the equivalent luminosity from a given trigger, by weighting the event by the inverse of the prescale factor.

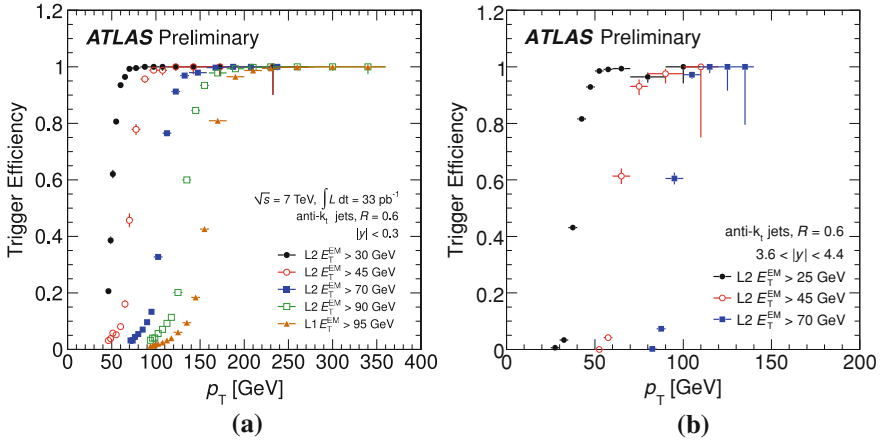


Fig. 7.2 Trigger efficiencies for the L1-L2 chains used in the inclusive jet measurement, for anti- k_T $R = 0.6$ jets in the $|y| < 0.3$ (a) and $3.6 < |y| < 4.4$ (b) regions. Figure from [1]

The trigger strategy for the inclusive jet measurement is to accept any jets in events where at least one jet passed a trigger. The definition of trigger efficiency follows this choice: it is calculated as the ratio between all jets in an event where at least one of the jets fired the trigger and all jets in all events considered, where the latter jets are counted using a trigger chain with a lower threshold. This definition requires no matching between jets triggered online and jets recorded offline, since there might be no connection between the jet that caused the event to be selected and the jet that enters the measurement. The efficiency of a L2 trigger needs to be combined with the efficiency of the seeding L1 trigger. A trigger is considered fully efficient at the calibrated p_T where its efficiency reaches the 99 % plateau. A sample of trigger efficiency curves are shown in Fig. 7.2 for the central region and for the forward region.¹

An association between trigger passed and calibrated jet momentum is made in order to minimize statistical uncertainties due to trigger efficiency: each p_T^{jet} bin only contains jets coming from events selected by a trigger that is fully efficient at that value of calibrated p_T . When multiple triggers satisfy this criterion, the trigger with the lowest p_T threshold is selected, in order to maximise the available statistics. For the endcap/forward transition region, the OR of a central and forward trigger is taken to obtain a fully efficient trigger combination. A full list of the bin-trigger association is shown in [11].

To account for the equivalent luminosity and avoid double counting in the case when a jet is in an event that has been triggered by both the central and the forward

¹ Due to a known problem that caused a trigger tower to be unusable in the FCal, the efficiency of some chains does not reach 100 % efficiency. Since the effect of the dead trigger tower on the trigger efficiency is below 1 % because jets in that region can still be recorded thanks to other jets triggering the event, no offline cuts are applied, and this effect is considered as a systematic uncertainty.

jet trigger, jets are divided in three categories: triggered by a central jet trigger only, triggered by a forward jet trigger only, and triggered by a central and a forward jet trigger. The final luminosity is calculated taking into account the integrated luminosity recorded for the trigger and the prescale of each trigger for the three categories, according to the prescription in [12].

Impact of events in the trigger *debug* stream

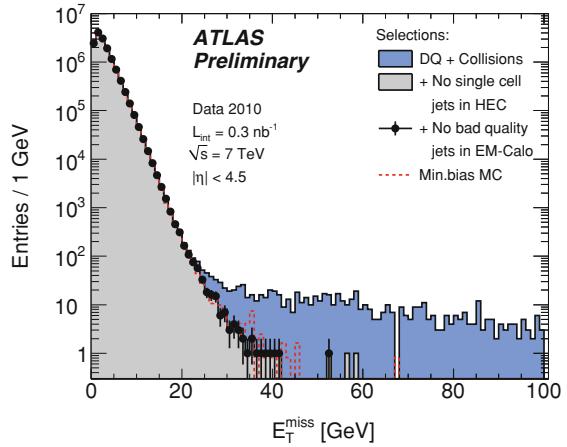
Any events for which the trigger is unable to make a decision because either the processing time was too long (trigger time-out) or they caused a failure in the online software are redirected to a special stream called *debug* stream. In the case of the inclusive jet measurement these events are particularly relevant since they could be high- p_T jets in poorly instrumented calorimeter regions (e.g. barrel/endcap crack): even if their number is negligible (less than 1 per mille) they could cause a bias in the final jet spectrum. These jets are not fully contained within the calorimeters and 'punch-through' to the muon system, causing the muon reconstruction (present in the L2 trigger) to reach the maximum allowed processing time. A separate study of punch-through events has been performed and it is detailed in [13], showing that these occurrences are present and reasonably well modeled in Monte Carlo. Nevertheless, in order not to bias the measured jet spectrum (debug stream events usually contain jets that would populate the high p_T tails of the spectrum), these jets have been included in the standard analysis and passed through the standard jet identification cuts mentioned below. A subset of these events were further checked using the ATLANTIS event display [14] and they were all found to contain real jets. 530 jets over 2.1 million jets.

7.2.2 Jet Identification

After jets have passed the trigger, fake jets are rejected from the sample used in the measurement using a series of jet-by-jet *cleaning* cuts. Fake jets include energy deposits coming from groups of noisy cells in the calorimeters and cosmic muons traversing the calorimeters in the same time window allowed for a collision event.

The basic cleaning cuts performed in analyses using jets are detailed in [9]. Specific studies have been performed for the inclusive jet measurement, also given that the reprocessing of the data used for the measurement allowed use of discriminating variables that were not available at the time of the first studies. Only the discriminating variables used in jet cleaning are mentioned in this section, while the specific cuts can be found in the full analysis documentation [11]. Cleaning cuts are targeting three main category of fake jets, and the discriminating variables to remove each category are listed in the following.

Fig. 7.3 Effect of the cleaning cuts on the E_T^{miss} distribution (built from topoclusters at the EM scale) after successive jet cleaning selections. The normalized Monte Carlo distribution is overlaid to the data in the dashed red line. Figure taken from [9]



Jets from noise bursts occurring in the HEC:

- The fraction of energy deposited in the HEC ($HECf$),
- The HEC quality variable, defined as the fraction of cells in the HEC that present a pulse shape with large discrepancies with respect to a 'healthy' reference shape,
- The sum of all negative cell energies (in absolute value): cells with negative total energy are more likely to be coming from noise fluctuations, since the reconstruction of the pulse shape will not have recognized its shape correctly.

Jets from coherent noise in the LAr calorimeter:

- The fraction of energy deposited in the LAr (EMf),
- The LAr quality variable, defined in the same fashion as the HEC quality variable.

Jets from non-collision background, e.g. beam background or cosmic rays:

- The fraction of energy deposited in the LAr (EMf),
- The sum of the p_T associated to charged tracks divided by the total jet p_T (chf),
- The difference in the timing of the jet (obtained as the average time of all cells weighted by the cell energy) with respect to the global event timing,
- The maximum fraction of energy deposited in any of the layers of the calorimeters.

Cleaning cuts were optimised using jets with a distance parameter of $R = 0.6$, and the choices were tested with $R = 0.4$ with no significant differences in the results. The optimisation of the cleaning cuts was performed on samples of real and fake jets, and by looking at the missing energy distributions before and after applying the cleaning cuts. Fake jets are not likely to have a counterpart that balances them in transverse momentum, and therefore produce tails in the missing energy distributions. An example of the effect of the cleaning cuts on the missing transverse energy distribution in the case of the cuts mentioned in [9] is shown in Fig. 7.3

The efficiency of the set of cleaning cuts is estimated using a *tag and probe* approach. Tag jets (considered to a good approximation to correspond to a sample of

real jets) are selected with a series of cuts that are tighter with respect to the standard cleaning selection. The analysis looks for well balanced probe jets corresponding to tag jets before and after applying the cleaning selection, and measures the probability of the cleaning cuts to reject a real jet. The systematic uncertainty is derived applying a looser or harder tag selection.

Inefficiencies of $>1\%$ (that can happen in the lowest p_T bins since cleaning cuts are harder for low p_T jets) are corrected for at the analysis level after unfolding.² An additional cross check of the cleaning efficiency was given by checking the fraction of jets rejected in Monte Carlo, where the problems that cause fake jets as are not present.

7.2.3 Stability Cross Checks and Effect of Pile-Up

The conditions of the LHC varied considerably over the course of the 2010 data taking: both the instantaneous luminosity and the number of bunches per fill were increased. The jet yield after the cleaning and trigger selection was checked over all the runs used for the measurement. This study showed that the jet yield is generally stable within uncertainties across the data taking period, with the exception of a few isolated runs. It was also noticed that in the lowest p_T^{jet} bin (20–30 GeV) the jet yield increased starting from the end of June 2011. Starting from this period, the number of protons for each bunch was increased to the nominal LHC value of $0.9 \cdot 10^{11}$ and the luminous region β^* decreased to 3.5 m: this lead to an average of 1.3 interactions per event compared to a value of <0.15 before these changes. In order to avoid an increased impact of pile-up in the measurement, it was decided to only use the first collision data (March/April 2010 to the 24th of June 2010) for the first three bin of the measurement, up to 60 GeV. This decision is also supported by the large amount of statistics for low p_T jets collected in this data period.

7.3 Data Corrections for Detector Effects (Unfolding)

A number of detector-related factors can distort the distribution of observables that are measured experimentally with respect to an ideal detector and infinite event statistics within the phase space of the measurement. Among these factors are the resolution of the detector, detector inefficiencies and the limited acceptance of the subdetectors used for the measurement. A meaningful comparison to theory, e.g. pQCD-corrected NLO calculations where no detector effects are present, is possible after a correction accounting for these effects has been applied. The procedure where these corrections are derived and applied is called *unfolding*. Unfolded distributions

² As explained in Sect. 7.3.1, the unfolding procedure is based on the Monte Carlo simulation, where the number of fake jets is negligible.

are detector-independent, and can be used for theoretical comparisons, comparisons to results of other experiments and PDF fits.

A review of unfolding methods can be found in [15] and [16] in the specific case of the ATLAS experiment as of Winter 2010. In the following a summary of the unfolding methods employed for the inclusive jet measurement is given. The issues that could arise from background subtraction are not mentioned since the measurement has effectively a negligible background that is removed with the jet cleaning procedures detailed in Sect. 7.2.2.

The problem of unfolding an experimental measurement can be seen as trying to retrieve the probability distribution function of a given observable y , given a series of measurements $y_1 \dots y_N$ in N bins. The goal of the unfolding procedure is to construct estimators for the number of entries in each bin, free from any detector effects (called μ_i in the following, $\hat{\mu}_i$ if referring to the estimator). The number of events smeared by detector effects is indicated with ν_i , and the connection between the smeared and unsmeared number of events is a response matrix that reflects the bin migrations:

$$\nu_i = \sum_{j=1}^M R_{ij} \mu_j.$$

The response matrix can then be inverted to revert to the number of events before any detector smearing. In practice, one only has the number of events measured in each bin n_i , that can be taken as estimators for ν . Therefore, an unbiased estimator for ν_i can be constructed using n_i .³ The estimator for the number of entries in a given bin $\hat{\mu}_i$ can be obtained as:

$$\hat{\mu} = R^{-1} \mathbf{n}.$$

Since only limited statistics is available in experimental measurements, this direct matrix inversion procedure is unfeasible. The reason is that R does not differentiate between real structures and statistical fluctuations, and it can lead to large fluctuations and large negative correlations in the values of $\hat{\mu}_j$ that are not physical.

A simple method to prevent these large variances is the so-called *bin by bin* unfolding, where the ratio of the number truth Monte Carlo objects N_{truth} to the reconstructed Monte Carlo objects N_{reco} is used to obtain $\hat{\mu}_i$ in each bin:

$$\hat{\mu} = \frac{N_{truth}^i}{N_{reco}^i} n_i.$$

The inclusive jet cross section measurement with 17 nb^{-1} and the first iteration of the analysis on the full 2010 dataset employ this unfolding method, using as unfolding correction coefficients the ratio between the number of truth (particle-level) jets derived from the Pythia nominal Monte Carlo sample described in Sect. 2.4.2 and the number of reconstructed jets.

³ This if the n_i are independent and follow Poisson statistics with mean ν_i .

The bin by bin unfolding method is the most widely used in ATLAS for its simplicity, but it biases the final measurement towards the Monte Carlo prediction. If the truth level spectrum is different from the ‘real’ truth spectrum (in nature), then the measurement will not necessarily reflect the true nature of QCD but will instead be biased towards the modelling of QCD that is embedded in the Monte Carlo generation.

Another unfolding technique that reduces the large variances induced by the use of estimators based on the inversion of the response matrix is the *regularized* unfolding. In this technique, the log-likelihood that represents the distance between the measured and true values of the observable (in terms of μ) is constrained to be within a certain value from its maximum:

$$\ln L(\mu) \geq \ln L(\mu_{max}) - \Delta \ln L(\mu),$$

which is equivalent to redefining the log-likelihood using a regularisation function f multiplied by a parameter λ :

$$\ln L'(\mu) = \ln L(\mu) + \lambda f(\mu). \quad (7.2)$$

The regularisation function f and the value of the parameter λ need to be determined, and the choices used in the inclusive jet cross section measurement presented in this thesis follows those of the Iterative Dynamically Stabilized (IDS) unfolding method [17, 18], described in the next section. The use of an unfolding method based on the inversion of the Monte Carlo transfer matrix is justified by the good modelling of the trigger efficiencies in the simulation and the good description of the energy and momentum flow around the jet core (jet shapes) for the full coverage of the calorimeters used in the measurement, as shown in [1, 19].

7.3.1 Iterative Dynamically Stabilized (IDS) Unfolding

The IDS method [17, 18] is an unfolding technique that takes advantage of a series of iterations to improve the description of the Monte Carlo simulation according to data. In each step the method uses the information on the size of the experimental errors in the data to stabilize the inversion of the transfer matrix. This technique allows the Monte Carlo normalisation to be improved so that the final result is not biased, and keeps information on new structures that might not be simulated in the Monte Carlo without at the same time being affected by fluctuations. Its result is consistent with that derived using the bin-by-bin unfolding mentioned above, and has been cross checked using the SVD unfolding technique [11].

7.3.1.1 Regularisation Function and Parameter

The regularisation function used in the IDS unfolding technique uses the significance of the absolute deviation between data and the Monte Carlo to prevent spurious fluctuations (e.g. due to statistics) in the unfolded spectrum when iterating. This quantity is defined as $\Delta x/\sigma$, where Δx is the deviation between data and Monte Carlo and σ is the statistical error on the data point. The regularisation function is smooth and monotonic, and its value goes from zero to unity when the data/Monte Carlo difference is larger than the statistical error. The parameter λ is included in the regularisation function, and chosen depending on the unfolding step: whenever the Monte Carlo shape needs to be taken as a reference, e.g. when computing the data/Monte Carlo normalisation factor, λ is set to zero, while it takes larger values when the Monte Carlo needs to be improved taking into account the shape of the data.

7.3.1.2 Unfolding Procedure for the Inclusive Jet Cross Section

The main ingredient for the unfolding procedure is the transfer matrix A_{ij} , which is derived from Monte Carlo and contains the number of jets that have been reconstructed in bin i with a matched truth jet that was generated in bin j . A reconstructed and true jet are considered matched if their centers lie within $\Delta R < 0.3$ of each other, and the matching is unique. The transfer matrix does not include unmatched jets, so an equivalent fraction of jets in data needs to be removed from the unfolding procedure: a multiplicative inefficiency equal to the fraction of unmatched jets is applied to data before the start of the unfolding procedure, and the equivalent number of jets is restored after the unfolding.

To improve the initial data/Monte Carlo agreement, the Monte Carlo spectrum is reweighted according to the distribution of the spectrum generated using a NLO PDF instead of the current LO*. This step improves the stability of the method, given that the regularisation function in the IDS unfolding accounts for the significance of the data/MC difference.

The matrix of unfolding probabilities is calculated from the transfer matrix in Monte Carlo as:

$$\tilde{P}_{ij} = \frac{A_{ij}}{\sum_{k=1}^{NBins} A_{ik}}.$$

The data/Monte Carlo normalisation coefficient is also calculated counting the number of events in data. The use of the regularisation function for this step avoids inclusion of any new structures that are not simulated in the Monte Carlo (which are anyway not present in the inclusive jet cross section data spectrum) to calculate the normalisation.

In the case of negligible background as in the inclusive jet cross section measurement, the number of unfolded events for each bin is calculated from the sum of three contributions:

1. The normalized number of jets in the bin from the true spectrum,
2. The fractions of events that migrate from other bins according to their unfolding probabilities,
3. The fraction of events that remain in that same bin (these events are not unfolded).

The size of the last two contributions is controlled by the regularisation function and by the λ parameter: the choice of function and the value of the parameter are determined using pseudo experiment (toy) studies. The optimisation is performed using a “closure test” of the unfolding procedure: the parameter λ is chosen to be the one that minimizes the bias in a test of the unfolding where the true Monte Carlo spectrum is reweighted to data and unfolded, and finally compared to the original true Monte Carlo spectrum.

The unfolding procedure for the inclusive jet measurement is iterated once. The agreement between the truth distribution in the transfer matrix and the intermediate unfolded spectrum is improved using a fraction of events dictated by the regularisation function and parameter, and the final number of unfolded events is derived using the new transfer matrix.

Treatment of statistical uncertainties

The statistical uncertainties are propagated through the unfolding using a series of pseudo experiments in which the content of the bins of the transfer matrix from the Monte Carlo simulation are fluctuated according to a Poisson distribution. Correlations due to the production of jets within the same event are also considered: for example, in a dijet event the transverse momenta of the two jets entering the measurement are fully correlated, and using only the Poissonian error would lead to an underestimate of the statistical uncertainty. Each element of the covariance matrix $cov_{i,j}$ for bins i and j of the jet spectrum is calculated from data taking into account correlations between jets in the same event:

$$cov(i, j) = \sum_{events} (Njets_i \cdot Njets_j)$$

The covariance matrix is calculated for both data and Monte Carlo, and it is used to fluctuate the number of jets in 100 different pseudo experiments. For each fluctuated data spectrum and transfer matrix, the unfolding procedure is repeated, and the final statistical errors are derived from the diagonal elements of the covariance matrix derived from all pseudo experiments.

7.4 Systematic Uncertainties

This section outlines the evaluation of the systematic uncertainties for the inclusive jet cross section measurement, source by source. Every single source of uncertainty is considered separately in order to be input either as a nuisance parameter for PDF fits or as a completely uncorrelated source, as described in Sect. 7.4.8.

7.4.1 Jet Energy Scale Uncertainty

The evaluation of the jet energy scale uncertainty and its separate components has been detailed in Chap. 6. Each of the thirteen uncertainty sources mentioned in Sect. 6.7 (excluding the pile-up which is estimated separately) can be applied as a separate shift to the energy scale of each jet in the Monte Carlo spectrum that is used in the data unfolding. Since the uncertainty for each of the sources is considered fully correlated in p_T^{jet} , all jets are shifted upwards and downwards in two separate steps. Given that the number of uncertainty components is large, a jet spectrum that is finely binned in jet transverse momentum is used for each rapidity region instead of shifting each jet in order to reduce the processing time. For each i -th jet energy scale uncertainty component, the fractional JES uncertainty $\delta_{JES,i}$ for the p_T bin centre ($p_T^{\text{bin centre}}$) is used to move all jets in a given bin to the bin corresponding to:

$$p_T^{\text{jet, up}} = p_T^{\text{bin centre}} + p_T^{\text{bin centre}} \cdot \delta_{JES,i}$$

in the case of the positive fluctuation, and to:

$$p_T^{\text{jet, down}} = p_T^{\text{bin centre}} - p_T^{\text{bin centre}} \cdot \delta_{JES,i}$$

in the case of the negative fluctuation. The procedure is repeated for all bin centers and the shifted spectrum is rebinned to the binning used in the measurement. The unfolding procedure described in Sect. 7.3.1 is used to propagate the uncertainty to the final measurement: the upwards/downwards shifted Monte Carlo spectrum are unfolded using the nominal transfer matrix, and compared to the nominal Monte Carlo spectrum after unfolding. The relative difference between the two spectra is taken as uncertainty. The relative upwards and downwards uncertainty at this stage are called $\delta_{JES,i}^{\text{xsec, up}}$ and $\delta_{JES,i}^{\text{xsec, down}}$. Since statistical fluctuations in the unfolding procedure might lead the spectrum to present a downwards fluctuation when shifted upwards (or vice versa), the final uncertainty is asymmetric and calculated in its upwards $\Delta_{JES,i}^{\text{up}}$ and downwards components $\Delta_{JES,i}^{\text{down}}$ as:

$$\Delta_{JES,i}^{\text{up}} = \max((\max(\delta_{JES,i}^{\text{xsec, up}}, \delta_{JES,i}^{\text{xsec, down}}), 0))$$

$$\Delta_{JES,i}^{down} = \min((\min(\delta_{JES,i}^{xsec,up}, \delta_{JES,i}^{xsec,down}), 0)$$

The uncertainty on the measurement due to pile-up is estimated using the average vertex distribution in data for each p_T^{jet} bin in the measurement, where vertices are counted using the standard track selection described in Sect. 4.2.1. First the fractional uncertainty due to pile-up shown in Table 6.2 is averaged for a given p_T , y bin over all values of N_{PV} . The uncertainty applied to the measurement is calculated multiplying this average pile-up uncertainty by the fraction of events with a given number of N_{PV} .

As shown previously in Fig. 6.11, this uncertainty is most relevant at low p_T^{jet} . Given that the jets in the transverse momentum bins with $p_T < 60 \text{ GeV}$ have been chosen to exclusively come from periods in which the machine was running at a reduced luminosity, the impact of pile-up on the measurement is reduced, and it amounts to generally less than 1 %.

7.4.2 Jet Energy Resolution Uncertainty

The data/Monte Carlo studies detailed in Sect. 5.1 showed that the jet resolution is well described in Monte Carlo with respect to data, to within an uncertainty of the order of 5–10 %. Fluctuations of the jet energy due to resolution effects are corrected for in the unfolding procedure, but a worse resolution with respect to what is modeled in the Monte Carlo simulation used for the unfolding can still lead to biases in the measurement, especially at low jet p_T . Low p_T jets whose energy fluctuates above the nominal value will fall to higher p_T bins, and this flux will not be compensated by jets in higher p_T bins because of the steeply falling jet cross section.

In order to evaluate the systematic uncertainty due to a potentially worse resolution with respect to the Monte Carlo simulation, the nominal energy resolution is worsened to within its uncertainty. The p_T^{jet} of each Monte Carlo jet is smeared by the factor σ_{smear} , calculated as:

$$\sigma_{smear}^2 - \sigma_{nominal}^2 = (1 + \Delta\sigma) \cdot \sigma_{nominal}$$

where $\sigma_{nominal}$ is the nominal fractional resolution as derived from Monte Carlo studies in Sect. 5.3.3, and $\Delta\sigma$ the fractional uncertainty on the nominal resolution.

The smeared spectrum is used to derive a smeared transfer matrix with which the data is unfolded. The relative difference of the data spectrum unfolded with the smeared transfer matrix and the data spectrum unfolded with the nominal transfer matrix is taken as a symmetric systematic uncertainty. The maximum uncertainty on the inclusive jet measurement due to resolution effects amounts to up to 10 % in the forward most, low p_T bins.

7.4.3 Jet Identification and Trigger

The jet identification efficiency after jet cleaning is obtained with the tag and probe method described in Sect. 7.2.2, and the systematic uncertainty on the method is estimated by varying the tag selection to be tighter or looser with respect to what is used for the efficiency estimate. The systematic uncertainty due to jet identification is generally less than 1 %. The systematic uncertainty on the trigger selection is estimated from the difference in the trigger efficiencies measured in data and Monte Carlo, which is well reproduced over the full kinematic range of the measurement to 1 % thanks to the strategy of employing jets from fully efficient triggers for the measurement. A flat systematic uncertainty of 1 % due to trigger is assigned to the final inclusive jet cross section.

7.4.4 Jet Angular Resolution Uncertainty

The uncertainty on the angular resolution is obtained by smearing the angle with respect to the beam axis θ for each jet by 10 % of the width of the distribution of the difference of the truth and reconstructed θ in Monte Carlo. The final uncertainty on the measurement is estimated comparing the nominal inclusive jet spectrum and the spectrum built from smeared jets. The uncertainty on the final measurement due to the angular resolution is always less than 1 %.

7.4.5 Reconstruction Efficiency

The unfolding procedure will restore inefficiencies for jet reconstruction (e.g. due to the noise-suppression thresholds of topological clusters) based on the Monte Carlo simulation. A systematic uncertainty needs to be assigned only in the case of data/Monte Carlo disagreement in the reconstruction efficiency. This has been evaluated using track jets as detailed in [13]: the efficiency of reconstructing calorimeter jets using track jets as reference objects has been measured in data and Monte Carlo, and the data/Monte Carlo have been found in agreement to 2 % for jets in the lowest p_T^{jet} bin and to less than 1 % elsewhere. A systematic uncertainty of 2 % for jets from 20 to 30 GeV and 1 % for jets with $p_T^{\text{jet}} > 30$ GeV has been assigned. The uncertainty estimated with this method has been found to be a conservative envelope for the inefficiencies observed when using truth jets as reference objects over the whole rapidity range, as shown in Sect. 5.3.4, and it is therefore extended to the whole rapidity coverage of the measurement.

7.4.6 Unfolding

The contributions to the systematic uncertainties related to the unfolding procedure come from the differences in shape between data and Monte Carlo and from varying the criteria for matching reconstructed and truth jets to derive the transfer matrix.

The systematic uncertainty due to shape differences is evaluated by performing a reweighting of the true and reconstructed Monte Carlo spectra to be closer to the data. The modified reconstructed Monte Carlo is then unfolded using the same procedure that is applied to data, using the nominal unfolding matrix. The relative difference of this unfolding result with respect to the reweighted true Monte Carlo is taken as systematic uncertainty. This check also effectively provides a closure test for the unfolding procedure, and the limited size of the systematic uncertainty ($<2.5\%$ for the whole range) shows that the method used is stable.

The transfer matrix is also recalculated using different values for the reconstructed/true jet matching ($\Delta R = 0.4$ and 0.2 instead of 0.3). The data is unfolded using the new transfer matrices and the relative difference with respect to the nominal unfolded data spectrum taken as a systematic uncertainty. This uncertainty is more relevant at low p_T due to the lower matching efficiency, but since a fraction of the jets in data corresponding to unmatched jets is also corrected using the multiplicative reweighting mentioned in Sect. 5.3.4, the final impact on the measurement is always less than 1.5% .

7.4.7 Luminosity Measurement

The uncertainty on the LHC luminosity measurement has been estimated using scans of the LHC beam position from October 2010 [20], and it amounts to 3.4% .

7.4.8 Overall Systematic Uncertainties and Correlations

The overall systematic uncertainty for three sample rapidity bins is shown as the shaded blue area in Fig. 7.4 for jets with distance parameter $R = 0.6$ (similar results are found for $R = 0.4$). The blue line in the figures corresponds to the total JES systematic uncertainty, and it is the dominating component in the overall uncertainty due to the steeply falling jet spectrum. The uncertainty due to the jet energy resolution (red line) is relevant especially at low p_T where the knowledge of the simulated resolution is poorer (as explained in Sect. 5.1), while the luminosity uncertainty dominates among the other uncertainty sources (orange line).

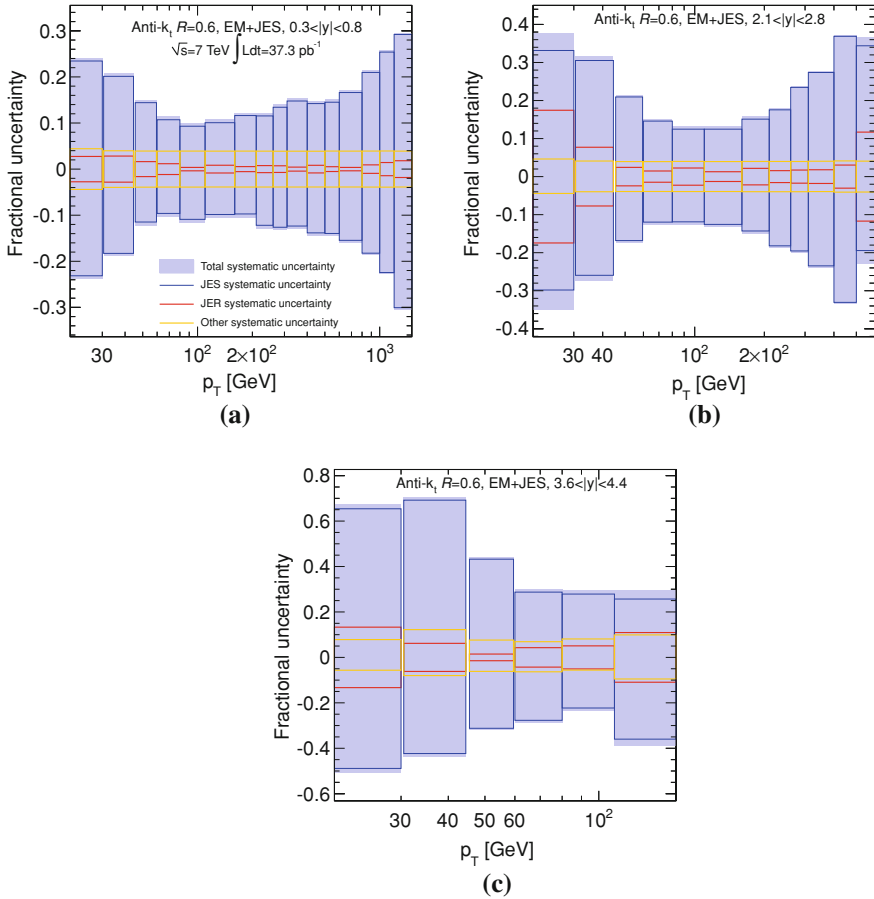


Fig. 7.4 Relative impact of the sources of systematic uncertainties on the inclusive jet cross section measurement for $R = 0.6$ in three sample rapidity bins (central in Figure (a), endcap in Figure (b) and forward in Figure (c))

7.4.8.1 Bin-to-Bin Correlations

The largest uncertainty in the measurement is due to the JES uncertainty. As previously shown in Figs. 6.12–6.14, the dominant JES uncertainty components are the JES calorimeter uncertainty, the JES intercalibration uncertainty in the forward region and the jet energy resolution uncertainties: knowledge on the bin-to-bin correlation of these sources is particularly important to allow the use of this data in fits that compare data to theory and/or use this comparison to extract theory parameters. The p_T and η bin-to-bin correlations of the systematic uncertainty sources are estimated as in the following, and their treatment is summarised in Table 7.1.

Table 7.1 Schema of the bin-to-bin uncertainty correlations between $|\mathbf{y}|$ -bins for the inclusive jet measurement

Uncertainty Source	$ \mathbf{y} $ -bins									
	0-0.3	0.3-0.8	0.8-1.2	1.2-2.1	2.1-2.8	2.8-3.6	3.6-4.4			
Noise thresholds	1	1	2	3	4	5	6			
PYTHIA Perugia2010	7	7	8	9	10	11	12			
ALPGEN+HERWIG+JIMMY	13	13	14	15	16	17	18			
JES calibration non closure	19	19	20	21	22	23	24			
Additional dead material	25	25	26	27	28	29	30			
Intercalibration	31	31	31	31	31	31	31			
Calorimeter: E/p	32	32	33	34	35	36	37			
Calorimeter: Cluster thresholds	38	38	39	40	41	42	43			
Calorimeter: LAr E-scale	44	44	45	46	47	48	49			
Calorimeter: Tile E-scale	50	50	51	52	53	54	55			
Calorimeter: CTB High p_T	56	56	57	58	59	60	61			
Calorimeter: E/p bias	62	62	63	64	65	66	67			
Calorimeter: CTB bias	68	68	69	70	71	72	73			
Unfolding: closure test	74	74	74	74	74	74	74			
Unfolding: truth matching	75	75	75	75	75	75	75			
Jet energy resolution	76	76	77	78	79	80	81			
Angular resolution	82	82	82	82	82	82	82			
Trigger modelling	uncorr	uncorr	uncorr	uncorr	uncorr	uncorr	uncorr			
Jet cleaning	uncorr	uncorr	uncorr	uncorr	uncorr	uncorr	uncorr			
Jet reconstruction efficiency	83	83	83	83	84	85	86			
Pile-up	uncorr	uncorr	uncorr	uncorr	uncorr	uncorr	uncorr			
Luminosity	87	87	87	87	87	87	87			

Each number (each number also corresponds to a different color) represents a separate nuisance parameter to be used in fits. Bins with the same nuisance parameter are treated as fully correlated, while bins with different nuisance parameters are considered uncorrelated. All uncertainty sources listed here are fully correlated versus p_T . The uncertainties marked as *uncorr* are treated as uncorrelated

JES: calorimeter uncertainties These sources are treated as fully correlated in p_T and in y up to $y < 0.8$. In the central region, the breakdown of the calorimeter uncertainty into separate sources (described in Sect. 6.7.1) has been constructed to reproduce the correlation matrix obtained when deriving the uncertainty itself when each source is treated as fully correlated in both p_T and rapidity.

JES: noise thresholds Given that the technique to form topoclusters is the same for all calorimeters and the calorimeter technologies are similar in the central region, this source is treated as fully correlated in p_T and in y in the central region ($y < 0.8$).

JES: theoretical uncertainty These sources are treated as fully correlated in p_T and y in the central region. This uncertainty source is most relevant at low p_T , and there it is assumed that the different soft physics modeling of the Monte Carlo generators used will produce coherent shifts in the jet energy scale of neighboring bins.

JES: dead material The central region ($|y| < 0.8$) is composed of similar calorimeter technologies, therefore this source is treated as fully correlated in p_T and y .

All JES uncertainties used as a baseline The calorimeter uncertainty and the previous three sources (theoretical uncertainty, noise thresholds, dead material-not included in the calorimeter uncertainty) do not have a direct correspondence for rapidities above $y = 0.8$ where they have been estimated. These sources will affect the bins above $y = 0.8$ because the central region is used as reference in the intercalibration procedure employed to obtain the endcap and forward uncertainty. The correlations in p_T need to be maintained within each of the rapidity regions, but there is no indication that the baseline uncertainty in different rapidity bins will be correlated, given that jets were not calibrated using the information in the intercalibration analysis and that different calorimeter technologies are employed to measure jets in the different rapidity bins.

JES: intercalibration since the largest contribution to the intercalibration uncertainty is that on the physics modelling of radiation in the forward region, assumed to vary coherently in p_T and η , this source is treated as fully correlated in p_T and y .

Calibration non closure This source is treated as fully correlated in p_T but uncorrelated between central and forward η bins (above $|y| < 0.8$). This uncertainty component is derived separately for each η bin (so it is not propagated from the central to the forward region). It is dominant at low p_T , where mass effects lead to non-closure in the calibration procedure that restores energy-for this reason neighboring bins of similar p_T are considered fully correlated. Another source of non closure are effects due to poor resolution in the calibration procedure in difficult calorimeter regions (e.g. the $2.8 \leq |y| < 3.6$ bin located in the transition between the HEC and the FCal) that lead to increased statistical fluctuations when deriving the calibration. If the mean energy at the electromagnetic scale is not well determined from a Gaussian fit, the constants will not be optimal leading to an imperfect closure. For this reason this source is considered uncorrelated between bins with different calorimeter technologies.

Unfolding uncertainty (closure test) This source is treated as fully correlated in p_T and y bins. It is obtained through a closure test that connects coherently neighboring p_T bins by varying the shape of the spectrum used for the unfolding. Each y bin is unfolded independently but there is a similar trend observed in all y regions when testing the closure of the method, and this justifies the rapidity correlation.

Jet matching uncertainty Since the matching uncertainty is derived applying the same change in matching radius for all y and p_T bins, it is considered fully correlated.

Jet energy resolution This source is treated as fully correlated in p_T . The consequence of the steepness of the jet spectrum is that the flux of jets fluctuating to higher p_T bins dominates over jets fluctuating to lower p_T bins. Therefore all bins in the spectrum will fluctuate coherently upwards if the resolution is worse than the one described in the Monte Carlo, as estimated with this uncertainty source. The resolution uncertainty is considered uncorrelated between central and forward y bins, due to the different calorimeter technologies and increasing energies of jets at increasing pseudorapidities. The resolution uncertainty is fully correlated between the first two y bins because the dijet balance technique used for the estimate of the resolution analyses them as a single y region.

Angular resolution The angular resolution is influenced by the jet calibration, namely by the origin and η correction. These have been derived in Monte Carlo from simulation of the different ATLAS calorimeter technologies, and it is therefore expected that the smearing of the jet position and its uncertainty will not be correlated between y regions. This uncertainty is considered as fully correlated in p_T because a shift in the jet position will have a coherent impact on the p_T spectrum regardless of the jet transverse momentum.

Trigger This uncertainty source should be treated as completely correlated in p_T and y bins where the same jet trigger or threshold is used. Given that this is a small uncertainty source, that two different trigger systems are used for central and forward jets and that there are a number of trigger combinations almost as high as the number of bins used, it is approximated as completely uncorrelated for the analysis in this thesis.

Jet identification This uncertainty is treated as completely uncorrelated in p_T and in y . The probability of a real jets flagged as fake by the different cuts depends on the jet p_T : for example at very high p_T calorimeter saturation could cause an abnormal calorimeter pulse shape that is then flagged as low quality. Furthermore, the systematic uncertainties on the cleaning include the statistical fluctuations given that the estimate of the jet identification efficiency and its uncertainties is performed on the same sample used for the cross section measurement. The efficiency of the cuts depends also on the calorimeter technology, and different values for the cuts are used in different rapidity regions, introducing y decorrelations.

Reconstruction efficiency: This source is treated as completely correlated in p_T and partially correlated in y . The decorrelation in y reflects the different techniques used to estimate the reconstruction efficiency for $|\eta| < 2.1$ (in-situ

using track jets) and $|\eta| > 2.1$ (using Monte Carlo truth jets). Correlation in p_T is assumed given that a systematic underestimate of the reconstruction efficiency in data would affect all bins coherently.

Pile-up Given that the correlations in the extra jet energy due to additional soft physics interactions are on average removed by the pile-up offset correction and that the remaining pile-up uncertainty depends on effects that don't show obvious correlations in y and p_T (e.g. discrepancy with track jet validation, approximation on the average number of towers per jet), the pile-up uncertainty is considered as completely uncorrelated. Furthermore, any correlations introduced by potential bias affecting e.g. the number of primary vertices used to derive and apply the correction are mitigated by the mixing of different primary vertices and triggers used in the various p_T and y bins of the measurement.

Luminosity This source is treated as fully correlated in p_T and y since a change in the estimated luminosity will shift coherently all bins.

7.5 Theoretical Calculations for Comparison to Data

After having been corrected for detector effects as explained in Sect. 7.3.1, the inclusive jet cross section measured in data is compared to the next to leading order theoretical prediction, which either is corrected for or includes⁴ non perturbative QCD effects.

The configuration used for the baseline theoretical prediction is the NLOJET++ 4.1.2 [22] software, which provides the next to leading order prediction for the inclusive jet cross section, together with the CT10 next to leading order PDF [23].

The renormalisation (μ_R) and factorisation (μ_F) scales (as introduced in Sect. 2.2) for the inclusive jet measurement are fixed to the transverse momentum of the jet.

Since partons are not observable physics objects, the next to leading order prediction needs to be corrected for non-perturbative effects the partons would be subject to (hadronisation, underlying event), in order to obtain a theoretical prediction that is comparable to data. The non-perturbative correction is obtained as a multiplicative factor in each bin of the theoretical prediction by turning off hadronisation (*had*) and underlying event and multiple parton interactions (denoted as *UE*) in a leading order + parton shower Monte Carlo generator. The correction is equal to the ratio of the Monte Carlo prediction for the number of jets with and without the non perturbative effects:

$$C_{UE/had} = \frac{N_{jets}^{UE/had}}{N_{jets}^{no UE/no had}},$$

where the product of C_{UE} and C_{had} is taken to form the final multiplicative correction.

⁴ The data has also been compared to POWHEG [21], a NLO ME generator that can include parton shower effects coherently employing other generators such as PYTHIA or HERWIG.

Different non perturbative effects have different impact on narrower or wider jets:

- The correction for low- p_T anti- k_t $R = 0.4$ jets is dominated by the effect of hadronisation particles falling outside the jet. It becomes unity at high p_T^{jet} , where the hadronized daughters of the boosted parton will be more collimated. For anti- k_t $R = 0.6$ jets the correction is less important, and still goes to unity at high transverse momentum.
- The correction for low- p_T anti- k_t $R = 0.6$ jets is dominated by the effect of the underlying event adding particles to the jet. Its importance decreases with transverse momentum since underlying event effects are to first order uncorrelated to the hard scatter.

The non perturbative corrections are derived in bins of y using the newest tune to the 2010 ATLAS data for the PYTHIA generator (called AUET2B and described in [24]), using the CTEQ6L1 PDF [25]. For low- p_T anti- k_t $R = 0.6$ jets the total correction can reach values of 1.6 at low p_T in the central rapidity regions, while for anti- k_t $R = 0.4$ jets the largest deviation of the correction from unity (0.9) is found at low- p_T in the forward region. Since the estimate of these corrections enters PDF fits when comparing data to theory in the χ^2 minimisation (as explained in the next chapter), the appropriate uncertainties should be considered when using theoretical prediction where the NLO cross section is mostly influenced by non-perturbative physics.

7.5.1 Theoretical Uncertainties and Uncertainties on Non Perturbative Corrections

In order to compare the theoretical prediction with the measurement, the theoretical uncertainties due to the choices made in the NLO calculation, the PDF convolution and in the derivation of the non perturbative correction need to be evaluated.

The uncertainties on the theoretical prediction are due to the systematic uncertainties on the parton distribution function used for the convolution, to the renormalisation and factorisation scales and to the value of the strong coupling constant α_s used for the QCD evolution. In order to reduce the time necessary to evaluate the effect of the systematic uncertainties, the APPLGRID [26] software is interfaced with NLOJET++ as described in Sect. 2.4.

The theoretical uncertainties are estimated as follows and added in quadrature to form the total theoretical uncertainty:

Renormalisation and factorisation scales: the sensitivity to the pQCD scales is evaluated by varying the two values independently by twice and half their nominal value⁵ and re-evaluating the theoretical prediction using each combination. The envelope of all variations is taken as systematic uncertainty, and it

⁵ The two scales are not both varied in opposite directions to avoid the unphysical situation in which large logarithms appear in the calculation.

amounts to less than 5 %, with the largest uncertainties at low p_T for anti- k_t $R = 0.6$.

Uncertainties on the chosen PDF: the inclusive jet cross section is calculated using the parameters corresponding to the positive and negative variations of the eigenvectors of the Hessian matrix of the CT10 PDF fit (as detailed in Sect. 8.2). The uncertainty is estimated as the deviation of the predictions with the systematically shifted parameters with respect to the nominal theoretical prediction, and normalised to a one-sigma confidence estimate.⁶ The total PDF uncertainty amounts to 3 % at low p_T^{jet} and increases with transverse momentum until it dominates the theoretical uncertainty at ≈ 200 GeV.

Strong coupling constant: following the CTEQ prescription [27], the cross section is re-evaluated using special PDF sets with α_s values that are varied within its best estimate. The theoretical prediction is then compared to that obtained with the central PDF set (with the nominal value of α_s). This uncertainty, together with the baseline PDF uncertainty, covers the full effect of the variation of the strong coupling constant. The uncertainty due to α_s is 2–3 % for low p_T^{jet} and increases to 4–5 %.

Non perturbative corrections: The uncertainty on the theoretical prediction is calculated by estimating the effect of non perturbative effects using different tunes and generators. Alternative non perturbative correction factors are estimated using a variety of tunes for both PYTHIA 6.5, its newest version PYTHIA 8.150) and for HERWIG++ 2.5.1. These generators have been tuned to ATLAS data and are described in [28]. The PYTHIA 6 tunes employed are the AMBT2B tune with the CTEQ6L1 PDF set, the AUET2 tune which uses the modified leading order PDF LO from the MRST collaboration [29] and the AMBT1 tune (with the MRST LO* PDF) that corresponds to that used for the nominal sample described in section. The UE7000-2 tune is employed for the HERWIG++ generator, while the tune used for PYTHIA 8 is called 4C. The maximum spread of the alternative correction factors around the nominal correction is taken as systematic uncertainty on the theoretical prediction. This uncertainty dominates the theoretical uncertainty wherever the correction is larger and driven by the differences in the soft physics models of the various tunes and generators: it amounts to up to 26 % for anti- k_t $R = 0.6$ and up to 10 % for $R = 0.4$.

7.6 Experimental Results and Comparison to Theory

Figure 7.5 shows the measured inclusive jet cross section and the theoretical prediction as a function of the jet p_T in the different rapidity bins. The cross section for jets with distance parameter $R = 0.4$ is shown in Fig. 7.5a, while the result for jets with $R = 0.6$ is shown in Fig. 7.5b. Both measurement and predictions have been scaled by a factor to enhance the legibility of the plot. The ratio of the data to the theoretical prediction is displayed in Figs. 7.6 and 7.7 for $R = 0.4$, and in Figs. 7.8 and 7.9 for

⁶ The values provided by the CTEQ collaboration correspond to a 90 % confidence level interval.

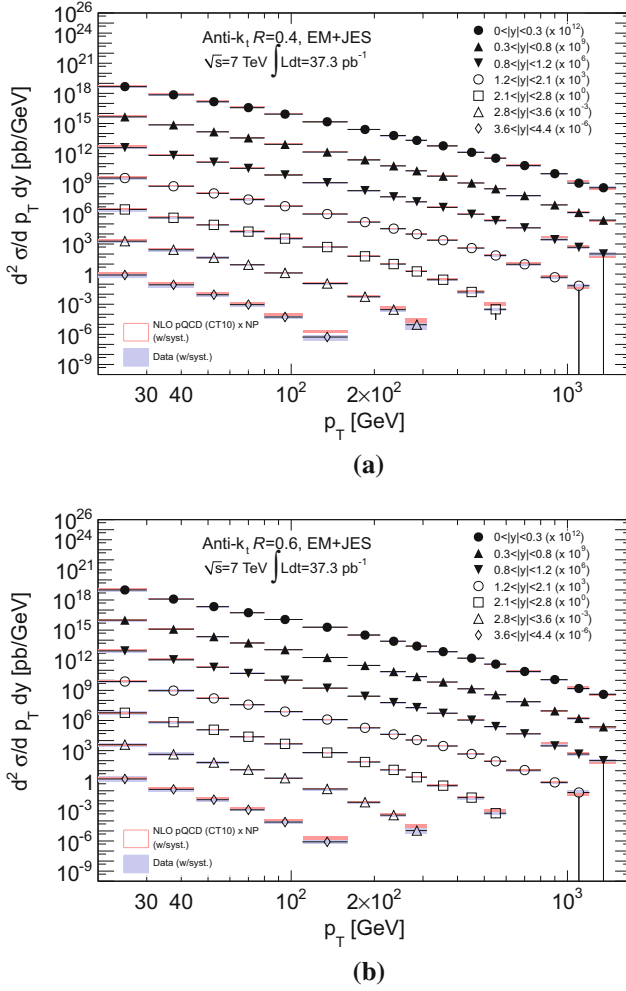


Fig. 7.5 Inclusive jet double-differential cross section as a function of jet p_T in different $|y|$ bins for anti- k_t $R=0.4$ jets (a) and anti- k_t $R=0.6$ jets (b). The statistical uncertainties are shown on the data points as error bar, while the total systematic uncertainty are shown as the shaded blue band around the data points. The luminosity uncertainty of 3.4 % is not shown. The total uncertainty on the theoretical prediction (due to non-perturbative corrections, PDFs, variations of the strong coupling constant and factorisation/renormalisation scales) is shown as the shaded pink band, centered on the theoretical prediction derived using the CT10 PDF and corrected for non-perturbative effects

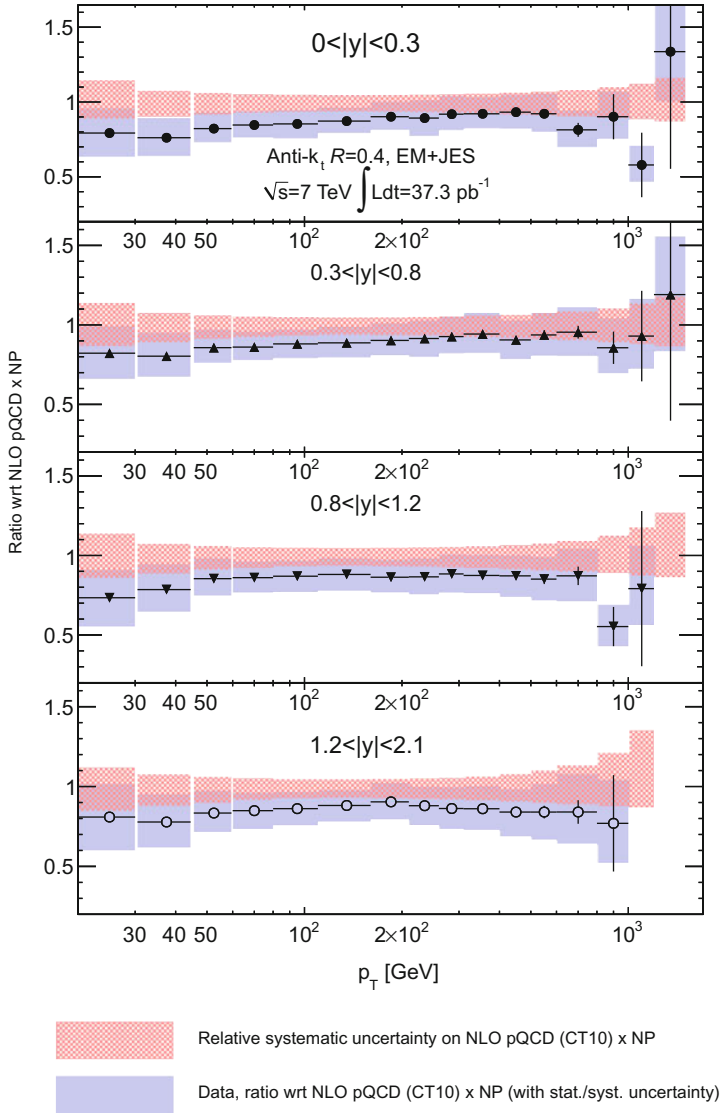


Fig. 7.6 Ratio of the inclusive jet double-differential cross section measured in data with respect to the NLO pQCD calculation using the CT10 PDF with non-perturbative corrections applied, as a function of jet p_T in $|y|$ bins ($|y| < 1.2$) for anti- k_t $R = 0.4$ jets. The statistical uncertainty on the data/theory ratio is shown as the error on the data point, while the systematic uncertainty on data is the shaded blue band. The total uncertainty on the theoretical prediction (due to non-perturbative corrections, PDFs, variations of the strong coupling constant and factorisation/renormalisation scales) is shown as the shaded pink band, centered on unity. The luminosity uncertainty of 3.4% is not shown

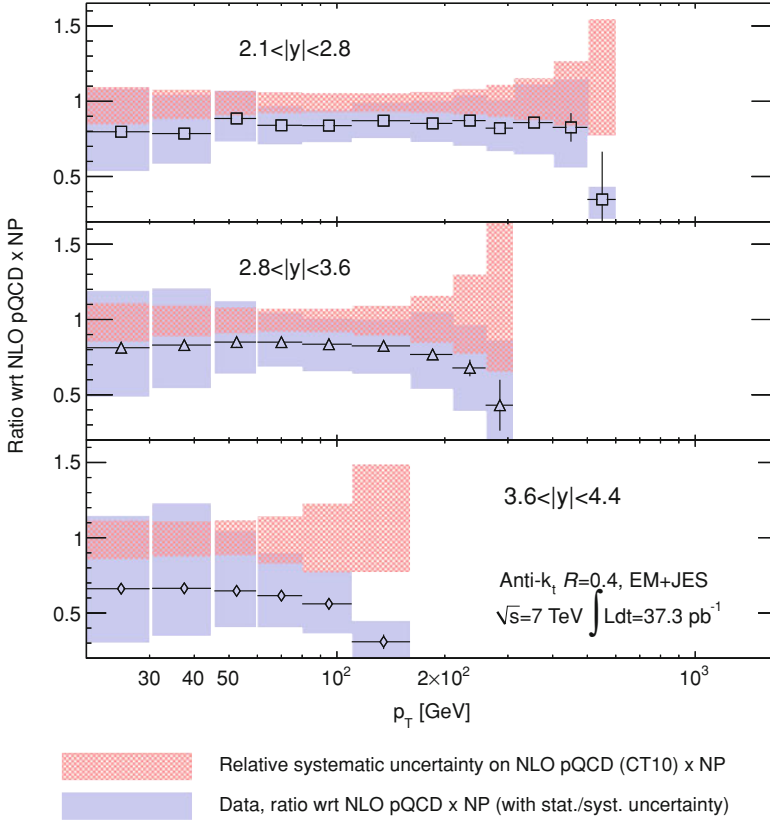


Fig. 7.7 Ratio of the inclusive jet double-differential cross section measured in data with respect to the NLO pQCD calculation using the CT10 PDF with non-perturbative corrections as a function of jet p_T in $|y|$ bins ($1.2 < |y| < 4.4$) for anti- k_t $R = 0.4$ jets. The statistical uncertainty on the data/theory ratio is shown as the error on the data point, while the systematic uncertainty on data is the shaded blue band. The total uncertainty on the theoretical prediction (due to non-perturbative corrections, PDFs, variations of the strong coupling constant and factorisation/renormalisation scales) is shown as the shaded pink band, centered on unity. The luminosity uncertainty of 3.4% is not shown

$R = 0.6$. The kinematic range of the measurement spans more than 1 TeV in the central bins, and the jet cross sections falls by more than seven orders of magnitude in this range. The data and theoretical prediction are in agreement within uncertainties for the whole kinematic range. Some tension can be seen in the two forward most bin, where a trend for data to be lower than the theoretical prediction is present in the highest p_T bins. However, the systematic uncertainties in both data and theory are too large to be able to discern any significant effect, and to use this specific information as input to theory. The cross section results after all corrections, the theoretical prediction and the breakdown of the theoretical and experimental uncertainties has been made available on the HEPData [30] database.

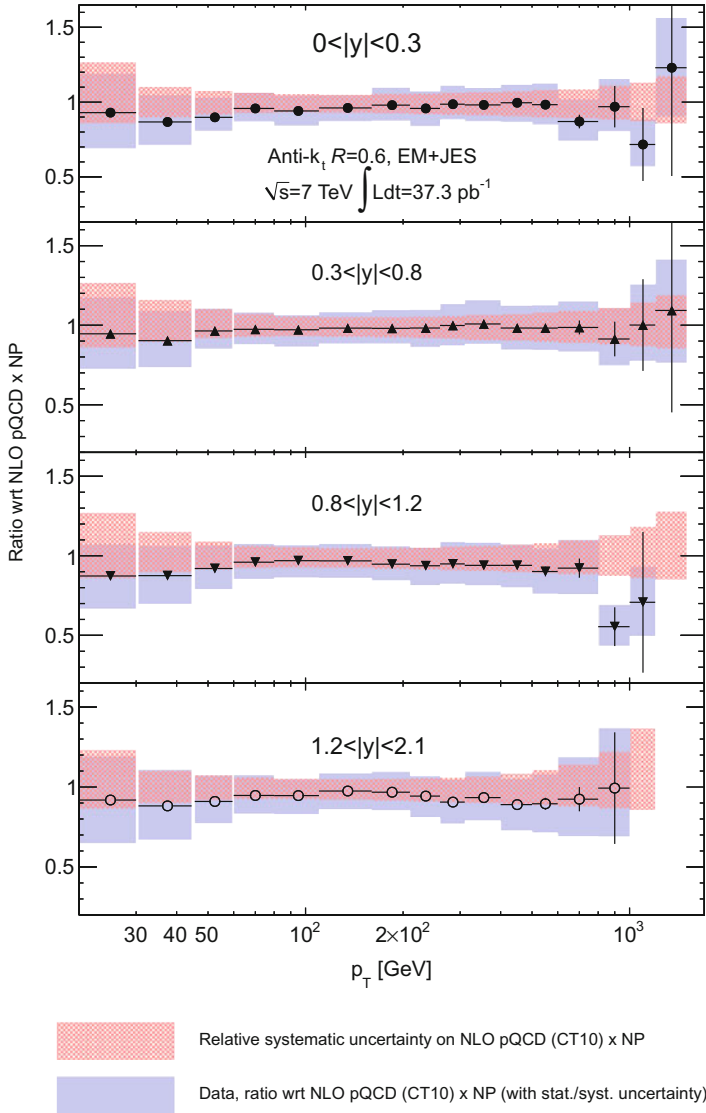


Fig. 7.8 Ratio of the inclusive jet double-differential cross section measured in data with respect to the NLO pQCD calculation using the CT10 PDF with non-perturbative corrections as a function of jet p_T in $|y|$ bins ($|y| < 1.2$) for anti- k_t $R = 0.6$ jets. The statistical uncertainty on the data/theory ratio is shown as the error on the data point, while the systematic uncertainty on data is the shaded blue band. The total uncertainty on the theoretical prediction (due to non-perturbative corrections, PDFs, variations of the strong coupling constant and factorisation/renormalisation scales) is shown as the shaded pink band, centered on unity. The luminosity uncertainty of 3.4 % is not shown

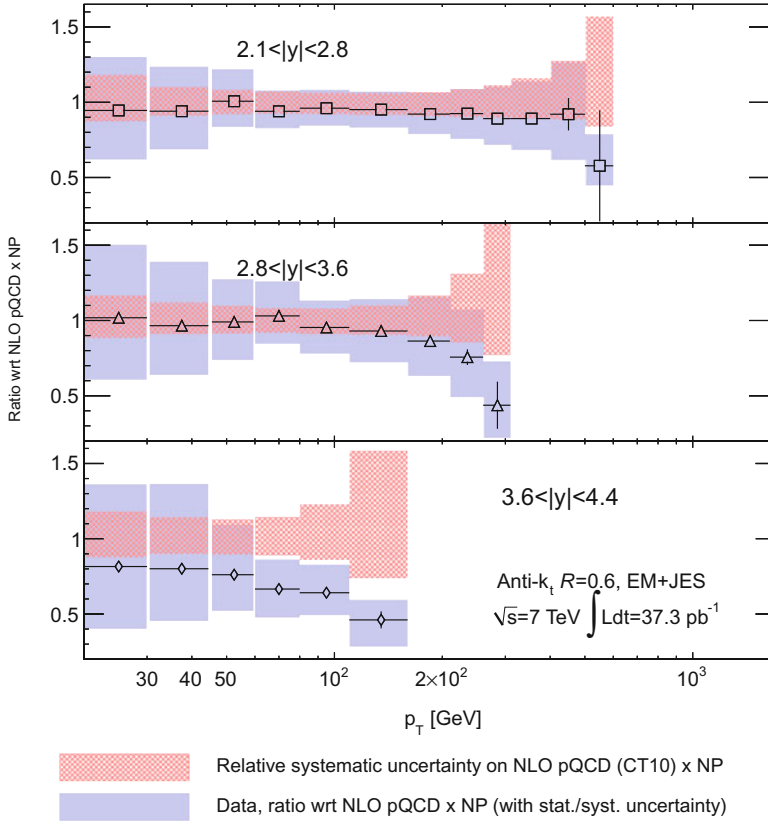


Fig. 7.9 Ratio of the inclusive jet double-differential cross section measured in data with respect to the NLO pQCD calculation using the CT10 PDF with non-perturbative corrections as a function of jet p_T in $|y|$ bins ($|y| < 1.2$) for anti- k_t $R = 0.6$ jets. The statistical uncertainty on the data/theory ratio is shown as the error on the data point, while the systematic uncertainty on data is the shaded blue band. The total uncertainty on the theoretical prediction (due to non-perturbative corrections, PDFs, variations of the strong coupling constant and factorisation/renormalisation scales) is shown as the shaded pink band, centered on unity. The luminosity uncertainty of 3.4% is not shown

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Chapter 8

Impact of ATLAS Jet Data on PDF Fits

The knowledge of parton distribution functions is a fundamental input to theoretical predictions in hadronic collisions. The uncertainty on the PDFs feeds into the theoretical uncertainty on the inclusive jet cross section, as shown in the previous chapter. It also impacts new physics measurements in terms of e.g. the QCD background model used and expected production cross sections.

It is therefore important to use all available data to constrain the PDFs and minimise their uncertainties. Measurements of electroweak and QCD physics at the LHC span a kinematic region in the Q^2 and x plane that was not yet covered by previous experiments whose data was used as input to PDF fits, as shown in Figs. 8.1a, b. The inclusive jet cross section measurement span an approximate x range of $7 \cdot 10^5 < x < 0.8$, and the highest value for the dijet mass with the current data is approximately 5 TeV.

The knowledge of the gluon PDF is of particular relevance since gluon-gluon scattering dominates at the Large Hadron Collider. The gluon PDF can be constrained in deep inelastic scattering measurements by using scaling violations as mentioned in Sect. 2.2.2, and by using jet data in DIS for values of x from ≈ 0.01 to 0.1. DIS data therefore do not provide much information at high x . Furthermore, the only sum rule that constrains the gluon distribution in deep inelastic scattering is the momentum sum rule mentioned in Sect. 2.2.2. Using only this sum rule to constrain the gluon PDF would require an integral over the experimentally unmeasurable x extremes of 0 and 1, together with a very good knowledge of all the other quark and antiquark distributions. For these reason QCD processes where gluons directly enter the non perturbative evolution at leading order (such as the inclusive jet cross section measurement) are an important input to improve the gluon PDF.

The aim of the study in this chapter is to evaluate the impact of ATLAS jet data on the PDF parameters and their uncertainties when included in a PDF fit that uses data from the HERA collider [2] as a baseline. These results need to be taken as a proof of principle that ATLAS data can have a non-negligible impact on PDF fits even though the dominant JES uncertainty has not yet reached the desired 1 % precision. They will also serve as a stepping stone for future work given that a more detailed

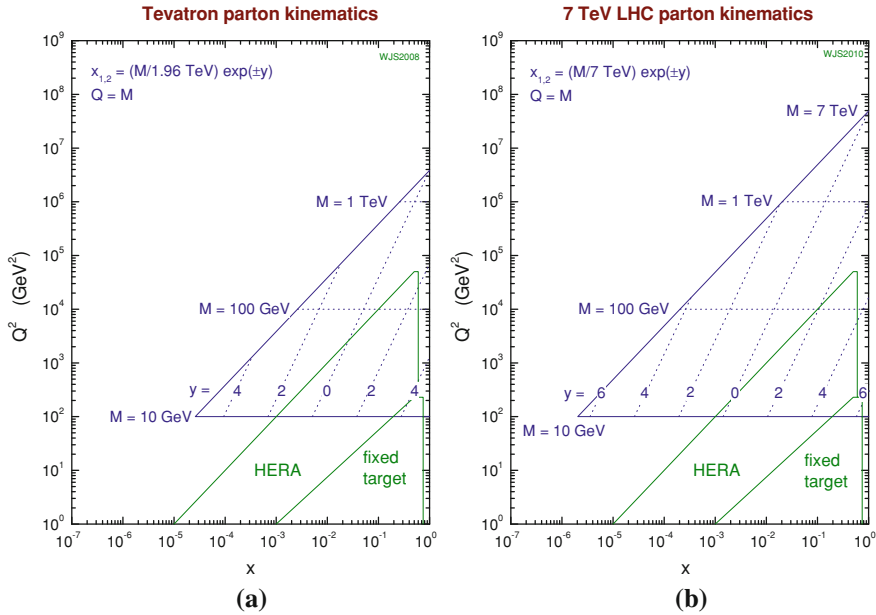


Fig. 8.1 Parton kinematics in the x , Q^2 plane for LHC and Tevatron colliders. The kinematic range of the HERA collider and fixed target experiments that are used as input to PDF fits is also included for comparison. In the figure, M indicates the mass of a given heavy particle produced at a rapidity y . Figures from [1]

knowledge on the fitting techniques, treatment of correlated uncertainties and on the details of the code has been reached through this work.

This chapter reviews in more detail the procedure to extract the parton density functions from experimental data (Sect. 8.1), describing the strategy for PDF fits. The treatment of experimental errors and the propagation of the uncertainties from the PDF parameters to observables is outlined in Sect. 8.2. The HERA PDF parametrisation and fitting method are described in more detail in Sect. 8.3. Finally, the effect of including ATLAS inclusive jet cross section data in PDF fits together with the HERA data is shown in Sect. 8.4.

8.1 Extraction of Parton Distribution Functions

Parton distribution functions cannot be predicted from first principles in QCD, but they can be extracted from the comparison of experimental measurements and theoretical predictions as detailed in the following.

As a preliminary step to the fitting procedure, an arbitrary input shape for the gluon and quark PDF is needed at a starting scale Q_0^2 (again arbitrarily chosen). The

choice of the basic input shape for the gluon and quark PDF has been described in Sect. 2.2.2, but usually more flexibility is given by adding an extra multiplicative polynomial term $P(x, f)$ to Eq. 2.4:

$$xf(x) = Ax^B(1-x)^C P(x, f) \quad (8.1)$$

Most experimental measurements do not have a high enough Q^2 to probe the top quark scale in the proton, and the top PDF is therefore neglected in PDF fits. Therefore, PDFs for 11 partons need to be extracted: 10 for the different flavours of quarks and antiquarks plus one for the gluon. However the charm and bottom PDFs can be calculated in perturbative QCD taking into account their mass and their production thresholds¹ so this leaves a total of 7 PDFs, each with its parameters, to be determined. The choice of parametrisation and which parameters are left free in the fit varies between the different PDF fits, and they are outlined in the case of the HERAPDF fit in the following section.

Once the initial parametrisation at the starting scale has been chosen, the DGLAP equations are used to evolve the PDFs to the Q^2 scale of the measurement. Specific software packages (e.g. APPLGRID in the case of the inclusive jet cross section) are used to convolve the PDFs with the QCD coefficient functions, as outlined in Sect. 2.2. If needed (as in the case of electroweak/DIS measurements), the PDFs are then combined into the appropriate structure functions to form the full theoretical prediction. Minimisation of the χ^2 built from the comparison of theoretical predictions to experimental data allows the extraction of the *best fit* PDF parameters. The explicit χ^2 definition and techniques to handle experimental uncertainties and provide uncertainties on the PDF parameters are given in Sect. 8.2.

8.1.1 PDF Fits

The large (10–20) number of parameters needed for a full determination of the chosen PDF combination require constraints from a number of different sources. Different processes can be combined in PDF fits, with each of the processes contributing to constraining a PDF or a combination of PDFs. A recent review of data included in PDF fits can be found in Ref. [3]. Sets of PDFs are used as input to theoretical predictions, and they are updated regularly whenever new data is available. PDF sets that have been used in the ATLAS inclusive jet cross section measurement are MSTW08 [4, 5], CTEQ [6] and CT10 [7], NNPDF2.1 [8] and HERAPDF1.5 [2]. Comparison of theoretical predictions for the inclusive jet cross section using other available PDF sets can be found in Ref. [9].

¹ This is generally done exploiting external programs.

8.2 Treatment of Uncertainties

Uncertainties on the fitted PDF parameters can be propagated to the PDFs themselves and thus to predictions for the measurable structure functions and cross sections. The main sources of uncertainty on PDF parameters are:

Experimental statistical errors This uncertainty can generally be considered uncorrelated among the various data points.²

Experimental systematic uncertainties Bin-to-bin correlations of the systematic uncertainties need to be treated in the fit, as detailed in Sect. 8.2.1.

Model and parametrisation uncertainties These uncertainties originate from model assumptions and the choice of parametrisation at the starting scale.

Theoretical uncertainties These uncertainties follow from the use of the DGLAP framework and include the dependence on renormalisation/factorisation scale for higher order corrections and uncertainties on the non-perturbative corrections that need to be applied for the comparison to data.

Systematic uncertainties often do not have a well-defined one-sigma interpretation, since they might involve assumptions and they can be affected by limited knowledge of the experimenters. The question then arises whether estimating the parameter error boundaries using a variation $\Delta\chi^2$ of unity around the minimum is sufficient to cover one-sigma systematic uncertainties on the PDF, since this assumes Gaussian errors with their correlations correctly treated. Furthermore, the inclusion of many different data sets in PDF fits can lead to large values of the fitted χ^2 due to marginal compatibility of different data sets. In order to ensure that the uncertainties on the PDF parameters are not underestimated, some PDF fits use a so-called χ^2 *tolerance*, and increase the $\Delta\chi^2$ used for the evaluation of errors to values that can be up to 40–50 (these figures are largely based on experience and vary depending on the PDF analysis).

Another issue that is present in the propagation of PDF errors to experimental quantities is that the errors on the PDF fit parameters are correlated since the error matrix for the PDF fit parameters is not diagonal (this follows from the correlations between the PDF parameters themselves). The Hessian matrix³ can be diagonalised and its eigenvectors are used for the propagation of the errors on the PDF parameters to the measurement. Each eigenvector will correspond to a combination of parameters, and the eigenvalue to the square of the error on this combination. For each eigenvalue, two sets of PDFs are provided, accounting for the upwards and downward shift of the chosen χ^2 tolerance on the corresponding eigenvector. The error

² This assumption does not necessarily hold in the inclusive jet cross section, where jets in different bins come from the same event. Even though correlations can be relevant in bins with low statistics (as in the case of high p_T /high rapidity bins) and have been estimated after the unfolding procedure, the treatment of correlated statistical uncertainties in PDF fits is left for future studies.

³ The Hessian matrix represents the error on a measurement X due to the different parameters i and j ($\sigma_X^2 = (\partial_i \partial_j \chi_{min}^2)(\partial_i \partial_j X)$), where ∂_k represents the partial derivative of a quantity with respect to the k -th PDF parameter. The underlying assumption that linear error propagation holds is made).

on a physical quantity X can then be calculated using the following prescription (in the case of asymmetric errors):

$$\Delta X^+ = \sqrt{\sum_{i=1}^{N_{\text{vect}}} [\max(X_i^+ - X_0, X_i^- - X_0, 0)]^2} \quad (8.2)$$

$$\Delta X^- = \sqrt{\sum_{i=1}^{N_{\text{vect}}} [\max(X_0 - X_i^+, X_0 - X_i^-, 0)]^2}, \quad (8.3)$$

where X is calculated using the PDF set corresponding to the upwards shifted (X^+), downwards shifted (X^-) and central X^0 PDF sets and i is an index that runs on the N_{vect} eigenvectors.

8.2.1 Treatment of Correlated Experimental Errors in PDF Fitting

When the dominant uncertainties in a measurement are the experimental systematic uncertainties, as in the case of the inclusive jet cross section measurement detailed in Chap. 7, it is particularly important to treat the correlated systematic errors correctly in fits that make use of the data/theory comparison to extract QCD parameters. In this study, the prescription of Refs. [6, 10, 11] is used and summarised below. The treatment of theoretical errors also requires care for a correct inclusion in the fits, but it is outside the scope of the study performed in this thesis, and theoretical errors are not accounted for in the following.

The simplest χ^2 that can be used (in the case of a single experiment providing the N data points D_i) neglects the correlations between systematic errors and is of the form:

$$\chi^2(\mathbf{p}) = \sum_{i=1}^N \frac{(D_i - T_i(\mathbf{p}))^2}{\sigma_{i, \text{stat}}^2 + \sigma_{i, \text{uncorr}}^2} \quad (8.4)$$

where T_i is the point to point theoretical prediction that depends on the minimisation parameters $\mathbf{p}$, $\sigma_{i, \text{stat}}$ and $\sigma_{i, \text{uncorr}}$ the statistical and experimental errors respectively, considered as uncorrelated between the different data points. In a more realistic case, each of the data points can have K sources of correlated systematic errors β_k . The effect of correlated systematic errors can be parametrised using a series of parameters r_k that are extracted from a Gaussian distribution with zero mean and unit width, and included in the fit as nuisance parameters:

$$\chi^2(\mathbf{p}, \mathbf{r}) = \sum_{i=1}^N \frac{1}{\sigma_{i,stat}^2 + \sigma_{i,uncorr}^2} [(D_i - T_i(\mathbf{p}) - \sum_{k=1}^K r_k \beta_{ik})^2] + \sum_{k=1}^K r_k^2 \quad (8.5)$$

The minimisation can then be performed with respect to both the fit parameters and the systematic parameters, therefore including the effect of the systematic fluctuations to the measurement in the fit result. When multiple experiments are included in PDF fits, the number of parameters to minimise becomes large and the simultaneous minimisation of all of them might not be desirable. The equivalent approach of using a χ^2 that includes the covariance matrix V_{ij} as in Eqs. 8.7

$$\chi^2(\mathbf{p}) = \sum_{i,j=1}^N (D_i - T_i(\mathbf{p})) V_{ij}^{-1} (D_i - T_i(\mathbf{p})) \quad (8.6)$$

$$V_{ij} = (\sigma_{i,stat}^2 + \sigma_{i,uncorr}^2) \delta_{ij} + \sum_{k=1}^K \beta_{ki} \beta_{kj} \quad (8.7)$$

presents an equivalent practical difficulty since it involves the inversion of a large matrix that could have a singular behaviour if the correlated systematic uncertainties dominate.

A solution that is mathematically equivalent to these two methods is to minimise the χ^2 in Eq. 8.5 analytically with respect to the systematic shifts $\mathbf{r}$, as shown in Ref. [11]. The actual fit minimisation then only needs to be performed with respect to the theory parameters $\mathbf{p}$, while the best values of the systematic shifts are given by the analytic minimisation. The form of the χ^2 used in the fit is:

$$\chi^2(\mathbf{p}) = \chi^2(\mathbf{p}, \mathbf{r}(\mathbf{p})) = \sum_{i=1}^N \frac{(D_i - T_i(\mathbf{p}))^2}{\sigma_{i,stat}^2 + \sigma_{i,uncorr}^2} - \sum_{k,l=1}^K B_k A_{kl}^{-1} B_l \quad (8.8)$$

$$B_k(\mathbf{p}) = \sum_{i=1}^N \frac{\beta_{ik}(T_i(\mathbf{p}) - D_i)}{\sigma_{i,stat}^2 + \sigma_{i,uncorr}^2} \quad (8.9)$$

$$A_{kl}(\mathbf{p}) = \delta_{kl} + \sum_{i=1}^N \frac{\beta_{ik} \beta_{il}}{\sigma_{i,stat}^2 + \sigma_{i,uncorr}^2} \quad (8.10)$$

where the indices k and l run on the number of systematic sources K . The advantage of this procedure is that in a PDF fit where the errors from different datasets coming from different experiments are generally uncorrelated the matrix A can be inverted in blocks for each separate experiment. Furthermore, the procedure gives an estimate of the best values of the systematic shifts using the A and B matrices as:

$$r_k(\mathbf{a}) = \sum_{l=1}^L (A_{kl}^{-1}) B_l \quad (8.11)$$

In this treatment, systematic (nuisance) parameters are effectively allowed to vary in the fit, and the theoretical prediction will move coherently according to the correlations of each source.

Another improvement to the χ^2 in terms of treatment of errors is the separate treatment of the statistical uncertainties that are proportional to $\sqrt{N}$ and of the systematic uncertainties that are generally multiplicative errors, as described in Refs. [12, 13].

8.3 Details on PDF Analysis with ATLAS and HERA Data

The baseline for the tests that include ATLAS data is the HERAPDF analysis, used to derive the set of PDF numbered with 1.5 [2]. The same DIS data as used in the HERAPDF fits is used as a starting point for the analysis in this thesis. The choice of the PDF parametrisation used for this analysis at the starting scale Q_0^2 is the same as the HERAPDF 1.5, with further flexibility in the parameters fitted, as reported in Sect. 8.3.2. There are 134 sources of systematic uncertainties for the 674 points included in the HERAPDF 1.5 analysis, but only the last three need to be treated as correlated. HERA PDF fits only use a consistent dataset (described in Sect. 8.3.1) with well understood systematic uncertainties, and the χ^2 tolerance can be set to unity. The specific software used for this study is the ZEUS PDF fitting package [14]. A further note on the model and parametrisation uncertainties included in the HERAPDF 1.5 set is found in Sect. 8.3.3, although these are not determined for the analysis in this thesis.

8.3.1 HERAPDF Dataset

The HERAPDF dataset includes a combination of HERA-I and HERA-II data taken at a proton beam energy of 820 and 920 GeV. The measurements included are the ZEUS and H1 combination of the neutral current and charged current for both e^+p and e^-p scattering (the measurement is a preliminary update of [13]). The kinematic range of the full set of data point spans $6 \cdot 10^{-7} < x < 0.65$ and $0.045 < Q^2 < 30,000 \text{ GeV}^2$, although only data points with $Q^2 > Q_{min} = 3.5 \text{ GeV}^2$ are used so that the PDF analysis is performed in a valid region for the perturbative DGLAP evolution. The charged current measurements provide information on the high x valence PDFs for both up and down flavours, while the neutral current constrains the low x sea. The difference between the NC e^+ and e^- cross-sections at high Q^2 gives information on the valence quark PDF for $x \gtrsim 10^{-2}$. Information on the gluon PDF are obtained via scaling violations of the structure functions.

8.3.2 Starting Scale Parametrisation and Parameter Fitted

The PDFs parametrised in the HERAPDF analysis are chosen based on the structure functions that appear in deep inelastic scattering cross sections. These are:

- The up and down valence quark PDFs (u_v, d_v),
- The total sea up-type and down-type antiquark PDFs ($\bar{U}, \bar{D}$, where the up-type includes antiup and anticharm and the down-type includes antidown, antistrange and antibottom),
- The gluon PDF,
- The strange quark PDF, derived from the down-type antiquark PDF.

The initial PDF parametrisation used in this analysis for the quark and antiquark PDF, at the starting scale $Q_0^2=1.9 \text{ GeV}^2$, are of the form:

$$xf(x) = Ax^B(1-x)^C(1+Dx+Ex^2+\epsilon\sqrt{x}), \quad (8.12)$$

where in the following the coefficients for the specific parton PDFs will be denoted with the parton subscript.

The D , E and ϵ coefficients are introduced one by one in the fit and only kept if the χ^2 is improved. In this analysis (and in the HERAPDF 1.5 one) the only non-zero coefficient is E_{uv} for the up valence quark PDF. The B coefficients for both sea up- and down-types are the same, in order to keep a single coefficient for the sea. In the ZEUS fitting code, the $\bar{U}$ and $\bar{D}$ are combined in a single sea PDF that is twice their sum. The normalisation for the down-type antiquarks is defined in terms of that for the whole sea (A_{sea}). The conversion between the normalisation for the down-type antiquarks and that for the sea is $A_{\bar{D}} = (A_{sea}/2) * (1 - f_c)/(2 - f_s - f_c)$, where the fraction of charm quark at the starting scale (below the charm threshold) is $f_c = 0$ and the fraction of strange quark is $f_s = 0.31$ (from Ref. [15]).

The DGLAP evolution of the gluon PDF is very fast: even with a flat distribution as input at Q_0^2 , it quickly becomes very steeply rising at low x for $Q^2 > Q_0^2$. Conversely, for $Q^2 < Q_0^2$ the gluon PDF turns over, becoming valence-like in shape or even becoming negative at low x and Q^2 . Since the choice of Q_0^2 and of the initial PDF parameterisation is arbitrary, some of the PDF analyses add a term to the gluon parametrisation so that it has the freedom to become negative at values below the starting scale.⁴ This follows the behaviour observed by the ZEUS and MRST PDF analyses when evolving down the analytic forms to low Q^2 . An extra term is added to Eq. 8.12 for the parametrisation of the gluon density:

⁴ This is not necessarily worrisome because the gluon density is not an observable quantity and it has to be convoluted with coefficient functions. On the other hand if the structure function related to the gluon PDF were predicted to have the same negative behaviour, it would indicate a breakdown of the DGLAP formalism at these scales. This is also hinted at by the values of the B coefficients for gluon and sea: at low Q^2 , $B_g > B_{sea}$, contradicting the common idea that sea quarks are produced mainly from gluon splitting.

Table 8.1 HERAPDF 1.5 PDF parameters, starting values and fitted values after introducing the ATLAS inclusive jet measurement in the fit (anti- k_t R=0.4 for the first column, anti- k_t R=0.6 for the second column)

PDF parameter	Starting value	Value $\pm$ uncertainty, anti- k_t R=0.4	Value $\pm$ uncertainty, anti- k_t R=0.6
B_{u_v}	0.718	0.711 ± 0.025	0.707 ± 0.024
C_{u_v}	4.441	4.498 ± 0.093	4.522 ± 0.089
E_{u_v}	7.7	8.8 ± 1.2	9.1 ± 1.2
B_{d_v}	0.766	0.790 ± 0.075	0.793 ± 0.076
C_{d_v}	4.79	4.55 ± 0.33	4.53 ± 0.31
B'_g	-0.287	-0.435 ± 0.086	-0.470 ± 0.080
A'_g	1.36	0.84 ± 0.16	0.76 ± 0.13
A_{sea}	0.575	0.612 ± 0.029	0.618 ± 0.028
$B_{\bar{D}}$	-0.1594	-0.1512 ± 0.0063	-0.1496 ± 0.0061
$C_{\bar{U}}$	3.71	4.02 ± 0.54	4.01 ± 0.54
$C_{\bar{D}}$	2.9	4.2 ± 1.1	4.5 ± 1.2
B_g	-0.23	-0.39 ± 0.11	-0.43 ± 0.10
C_g	7.50	5.40 ± 0.80	4.96 ± 0.70

$$xg(x) = A_g x_g^B (1-x)^{C_g} - |A'_g| x^{B'_g} (1-x)^{C'_g} \quad (8.13)$$

The coefficient C' is fixed to the value of 25, high enough to avoid contributions from this term at large values of x .

The normalisation coefficients A for the valence quark and gluon PDFs are constrained by the proton sum rules (the number of up and down valence quarks is fixed to 2 and 1 respectively) and the momentum sum rule.

The strange quark PDF $x s(x)$ is obtained using the estimated fraction of strange quarks in the sea f_s as:

$$x s(x) = f_s \bar{D}(x) \quad (8.14)$$

The normalisation of the sea-type up and down quarks is also constrained using the fraction of strange quarks as $A_{\bar{u}} = A_{\bar{d}}(1 - f_s)$, so that if $x \rightarrow 0$ anti-up and anti-down PDFs have the same behaviour. A total of 13 parameters are left free in the fits performed in this study: they are shown in Table 8.1 together with their starting value.

The differences with respect to the publicly available parametrisation of HERAPDF 1.5 are the negative gluon term (A'_g , B'_g are not fixed to zero) and separate B parameters for the up- and down-type valence quarks.

The chosen value of $\alpha_s(M_z)$ in this analysis and in the HERAPDF 1.5 fit is 0.1176, as determined independently from deep inelastic scattering data [16]. The value of $\alpha_s(M_z)$ is particularly important for the gluon PDF that always enters the DGLAP evolution with factors of α_s . The treatment of heavy quarks takes into account their mass using the Global Mass Variable Flavor Number Scheme (GM-VFNS [17, 18]), with the charm and bottom quark masses set to 1.4 GeV and 4.75 GeV respectively.

The starting scale Q_0^2 for the HERAPDF fit is 1.9 GeV^2 , chosen to be below the charm mass threshold. The DGLAP evolution is performed using the QCDNUM [19] program for the DIS data and APPLGrid for the ATLAS jet data, using Q^2 as renormalisation and factorisation scales, in the $\overline{MS}$ renormalisation scheme [20].

8.3.3 HERAPDF: Model and Parametrisation Uncertainties

HERAPDF sets also provide model and parametrisation uncertainties through varying some of the above assumptions, scales and quark masses, as described in [13]. The model uncertainties include the variation of:

- The threshold for the inclusion of DIS data Q_{min}^2 ;
- The charm and bottom masses m_c, m_b ;
- The fraction of strange quarks f_s at the starting scale.

The parametrisation uncertainties are estimated allowing the D parameter in Eq. 8.12 to be non-zero for the u_v and gluon PDFs, and taking the envelope of the maximum deviation of the PDFs at each value of x . Furthermore, the starting scale Q_0^2 is varied to evaluate the effect of the evolution of the parametrisation starting from a different scale.

8.4 Results: HERAPDF1.5 and ATLAS Data

The ATLAS jet data from the inclusive jet cross section was added to the HERAPDF 1.5 data, leading to a total of 764 data points included in the PDF analysis. The number of data points minus the number of parameters will be treated as the number of degrees of freedom N_{DoF} in the following. Separate analyses have been performed for the two jet distance parameters.

The correlations of systematic uncertainties in the jet data detailed in Sect. 7.4.8 have been treated using the method that analytically minimises the χ^2 in terms of the nuisance parameters r_k . The positive and negative uncertainties on each data point σ^+, σ^- for each source have been symmetrised using the following formula:

$$sign(\sigma^+) * (|\sigma^+| + |\sigma^-|)/2.$$

The unsigned average acquires the sign of the positive uncertainty, to take into account the cases when the positive error is a downwards one and negative error is an upwards one (to preserve anticorrelations).

For the analysis including anti- k_t jets with distance parameter $R = 0.4$, the total χ^2 is 782, leading to a reduced χ^2/N_{DoF} of 1.04. The breakdown of the χ^2 for the two datasets is 734 for the 674 HERA data points and 48 for the 90 ATLAS data points. The total χ^2 for anti- k_t $R = 0.6$ jets is 795 ($\chi^2/N_{DoF} = 1.06$), of which 736

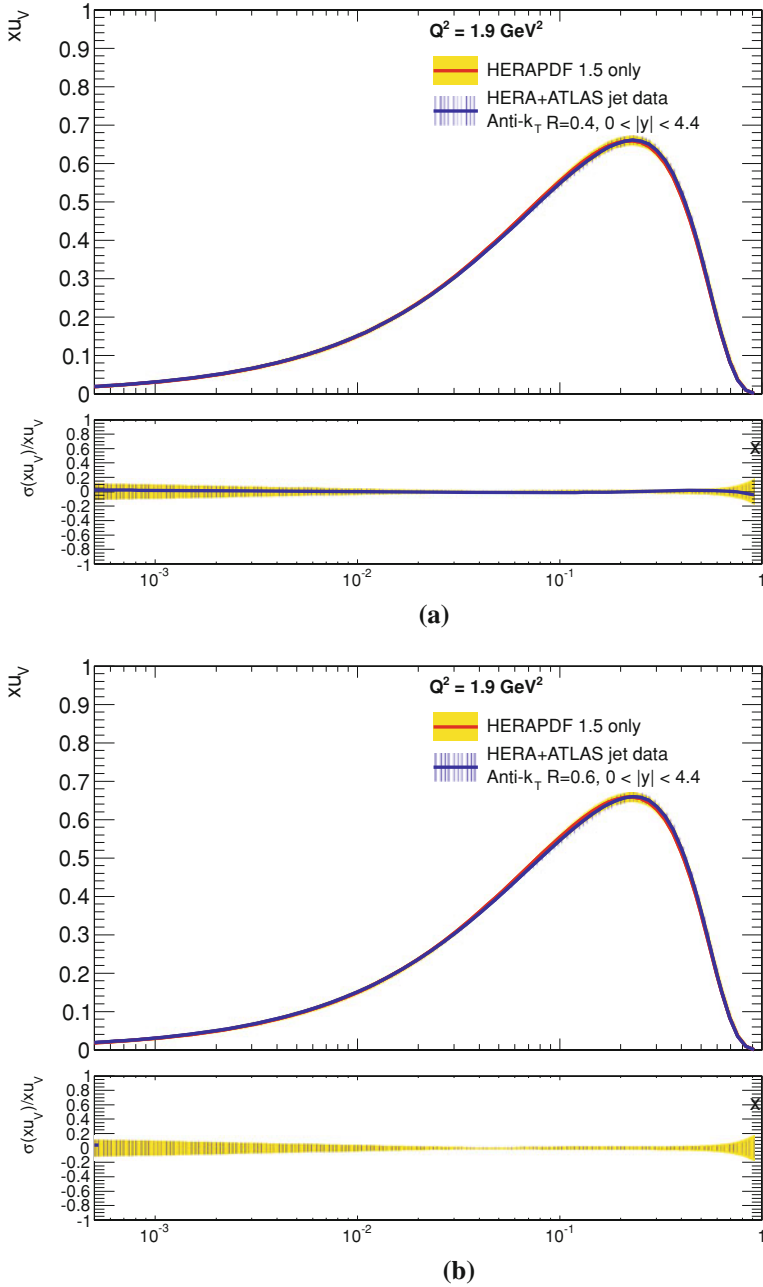
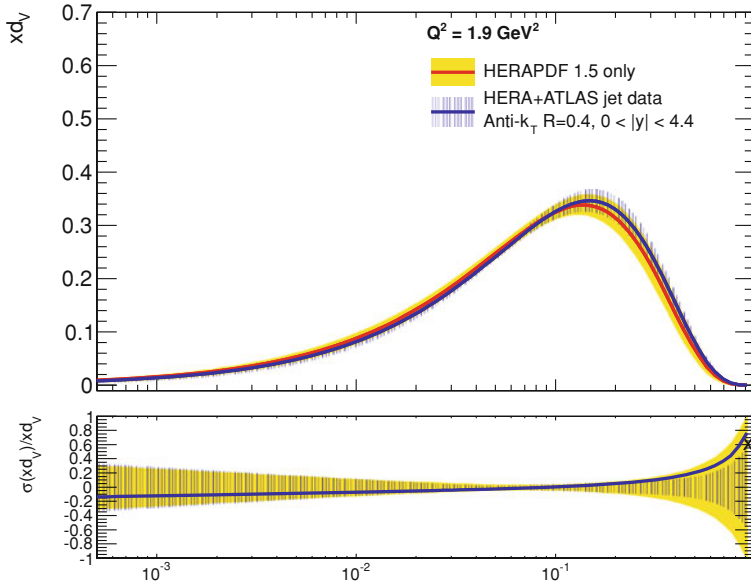
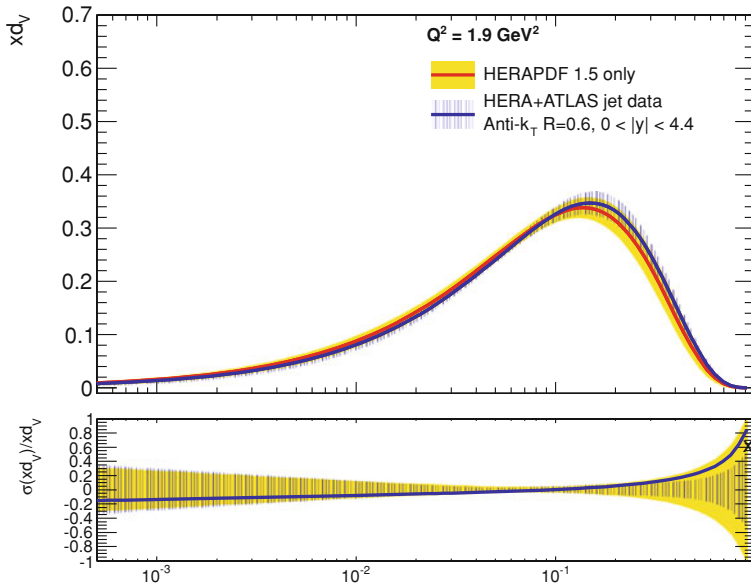


Fig. 8.2 Results of the PDF analysis including ATLAS jet data (with *dashed uncertainties*) for the up-type valence quark PDF at the starting scale of $Q_0^2 = 1.9 \text{ GeV}^2$. Anti- k_T jets with distance parameter $R = 0.4$ are shown in Fig. **a**, while anti- k_T $R = 0.6$ jets are shown in Fig. **b**



(a)



(b)

Fig. 8.3 Results of the PDF analysis including ATLAS jet data (with *dashed uncertainties*) for the down-type valence quark PDF at the starting scale of $Q_0^2 = 1.9 \text{ GeV}^2$. Anti- k_T jets with distance parameter $R = 0.4$ are shown in Fig. **a**, while anti- k_T $R = 0.6$ jets are shown in Fig. **b**

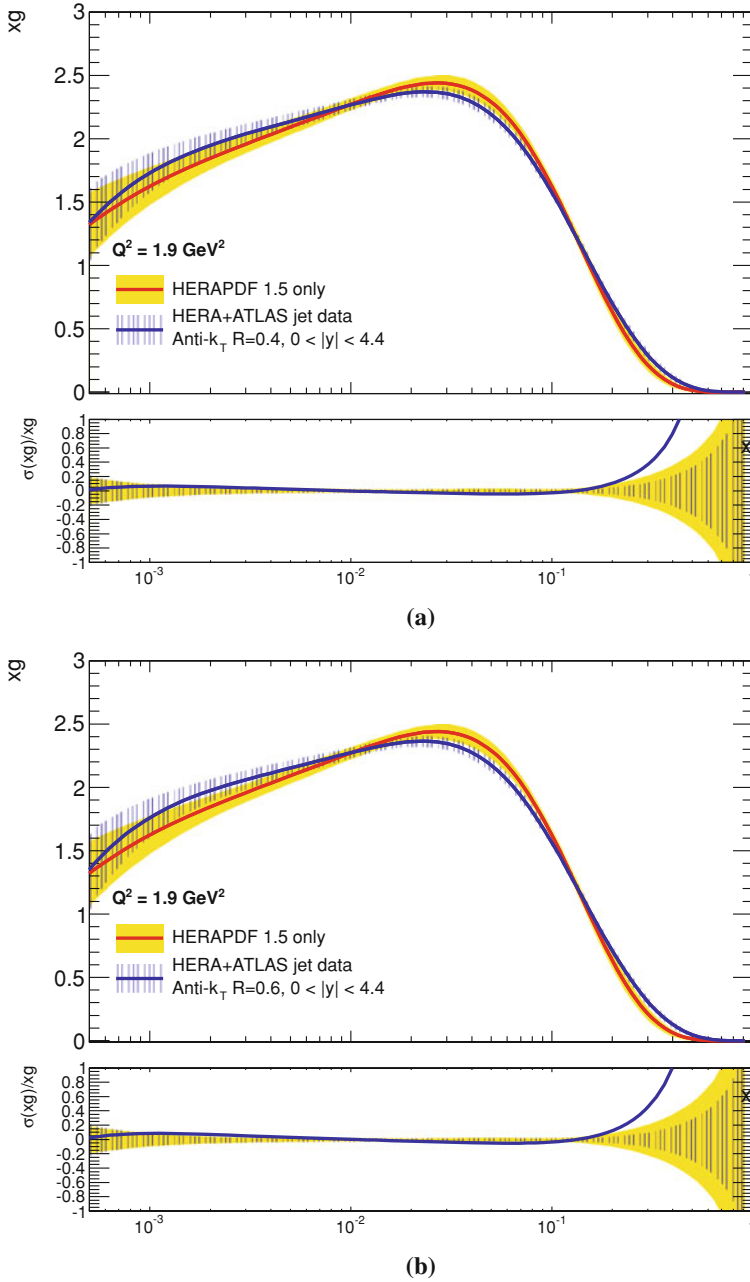


Fig. 8.4 Results of the PDF analysis including ATLAS jet data (with *dashed uncertainties*) for the gluon PDF at the starting scale of $Q_0^2 = 1.9 \text{ GeV}^2$. Anti- k_T jets with distance parameter $R = 0.4$ are shown in Fig. **a**, while anti- k_T $R = 0.6$ jets are shown in Fig. **b**

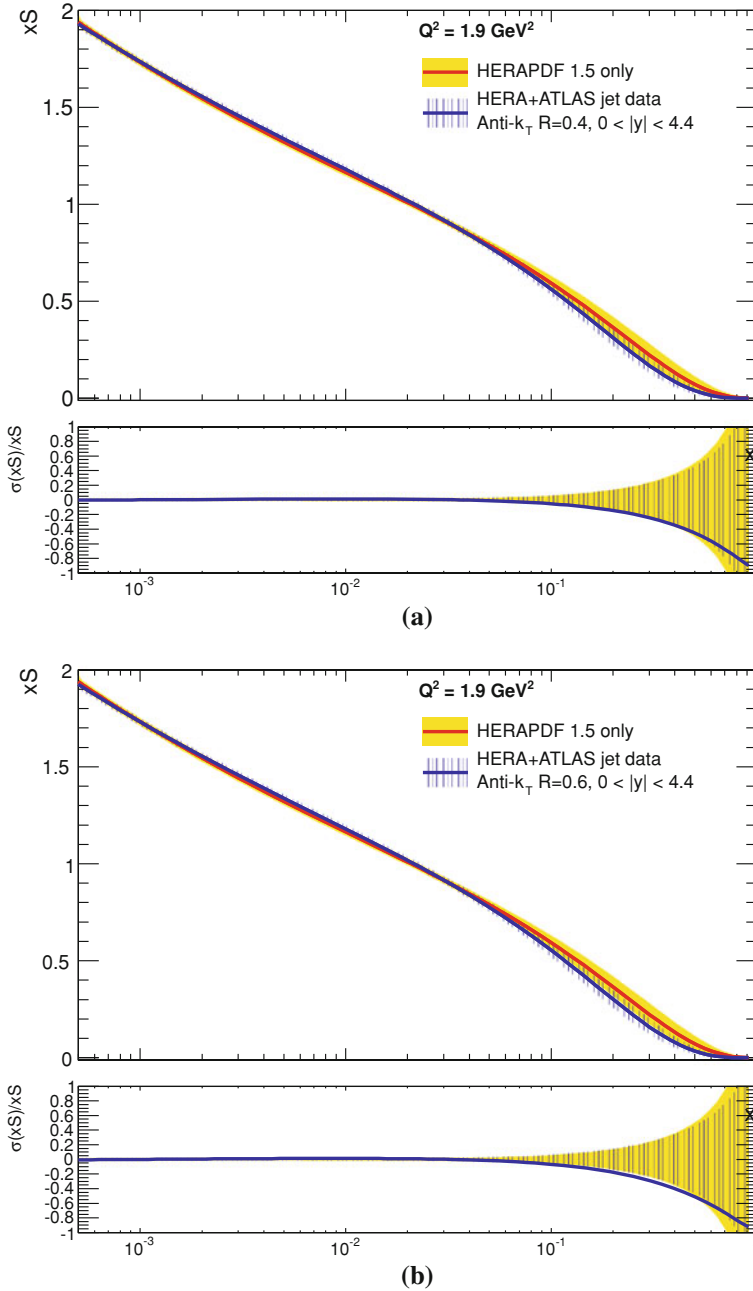


Fig. 8.5 Results of the PDF analysis including ATLAS jet data (with *dashed uncertainties*) for the sea PDF (all quark and antiquark except for the valence distributions) at the starting scale of $Q_0^2 = 1.9 \text{ GeV}^2$. Anti- k_t jets with distance parameter $R = 0.4$ are shown in Fig. **a**, while anti- k_t $R = 0.6$ jets are shown in Fig. **b**

from HERA data and 59 from ATLAS jet data. In the treatment of the systematic uncertainties outlined in Sect. 8.2.1, the nuisance parameters r_k are shifted by no more than 1.1 sigma.

8.4.1 Impact of ATLAS Jet Data on PDF Fits

The results of the PDF analysis including ATLAS jet data for a number of selected PDFs at the starting value of $Q_0^2=1.9$ are shown in Figs. 8.2, 8.3, 8.4, 8.5, while the best fit parameters for the chosen PDFs are shown in Table 8.1. The layout of the plots shows the PDFs extracted from a fit to HERA data only (lighter) with the PDFs extracted from a fit to HERA+ATLAS jet data superimposed (darker). The bottom panel shows the relative uncertainty in the shaded areas (in light shading for the HERA-only analysis and using lines for the HERA+ATLAS analysis and the ratio between the central PDF values (HERA/HERA+ATLAS) as the dark line.

The inclusion of the ATLAS jet data has the following effects on the parton distribution functions:

- The shape of the gluon distribution hardens from $x \approx 0.02$, and its relative uncertainty is reduced. The change is significant with respect to the uncertainties for $x > 0.1$. Further tests (by excluding in turn high- p_T jets and forward η bins from the fit) show that this effect is mostly due to the forward pseudorapidity bins.
- The $q/\bar{q}$ sea is influenced by the changes in the gluon distribution, and shows a slightly less steep shape starting from $x = 0.1$.
- Including the ATLAS jet data does not make a relevant difference for the up valence quark, since it is already well constrained by HERA data. Some improvement in the PDF uncertainty and a slightly harder shape can be noticed for the down-type valence quark at high x .

The DGLAP evolution leads the gluon to rise steeply at low x as shown in (Fig. 8.6).

8.4.2 Determination of $\alpha_s(M_Z^2)$ with ATLAS Jet Data

The strong coupling constant α_s enters the DGLAP equations, and its value at the Z mass can therefore be determined from a PDF fit if it is considered as a free parameter instead of fixing it to a given value as it had been done in the PDF analysis of Sect. 8.4.1. Furthermore, if α_s is left free the strong correlations with the gluon parameters can be accounted for in the fit.

When a fit is performed with the same starting parameters as those in Table 8.1 and $\alpha_s(M_Z^2)$ is left free starting from a value of 0.1176, its value after the minimisation when the ATLAS jet data with distance parameter $R = 0.4$ is included in the fit is

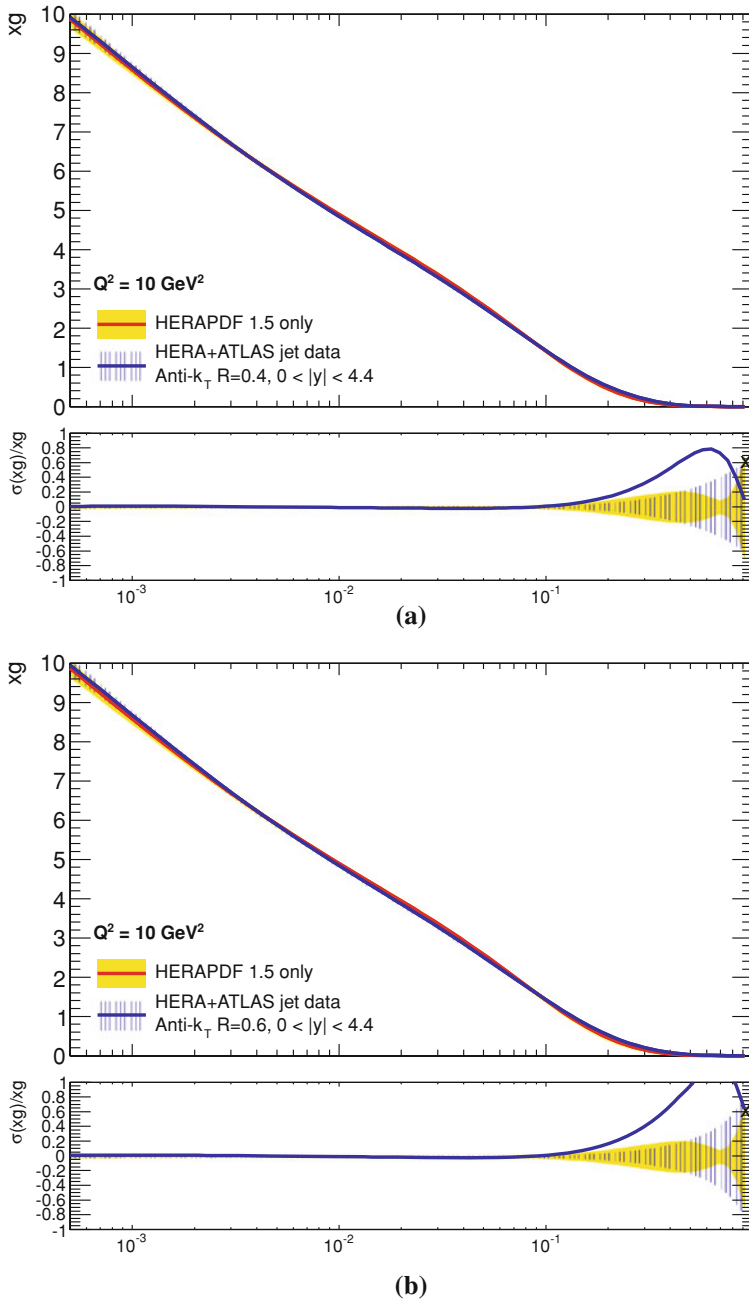


Fig. 8.6 Results of the PDF analysis including ATLAS jet data (with *dashed uncertainties*) for the gluon PDF, evolved to the scale of $Q^2 = 10 \text{ GeV}^2$. Anti- k_T jets with distance parameter $R = 0.4$ are shown in Fig. **a**, while anti- k_T $R = 0.6$ jets are shown in Fig. **b**

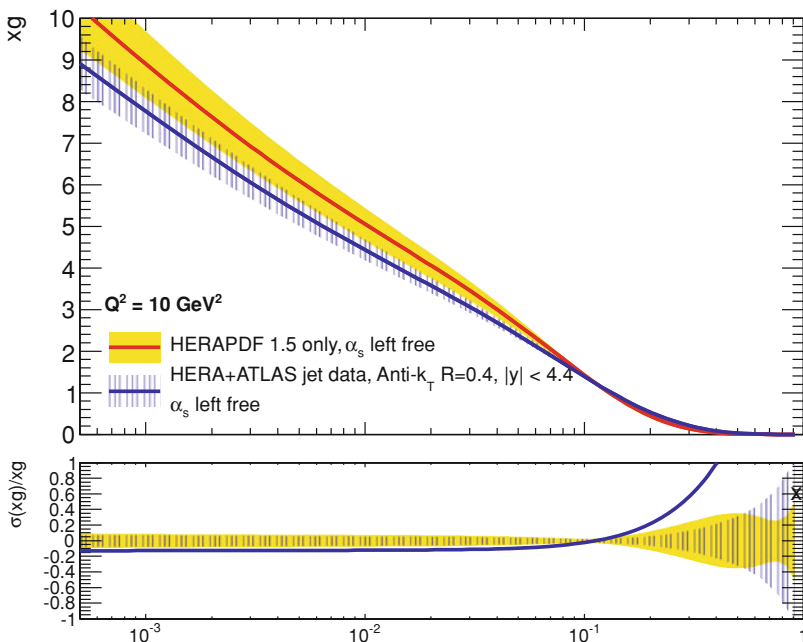


Fig. 8.7 Results of the PDF analysis including ATLAS jet data for jets with distance parameter $R = 0.4$ (with *dashed uncertainties*) for the gluon PDF with $\alpha_s(M_Z^2)$ left as a free parameter, evolved to the scale of $Q^2 = 10 \text{ GeV}^2$

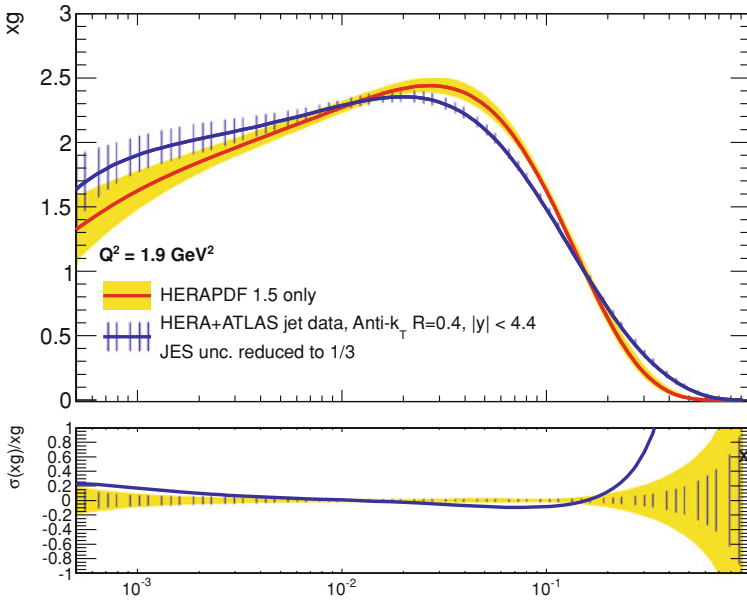
$$\alpha_s^{ATLAS \text{ jets}}(M_Z^2) = 0.1209 \pm 0.0019. \quad (8.15)$$

If only HERA data is fitted, the final value of $\alpha_s(M_Z^2)$ does not vary as much from its starting value, and its error is larger:

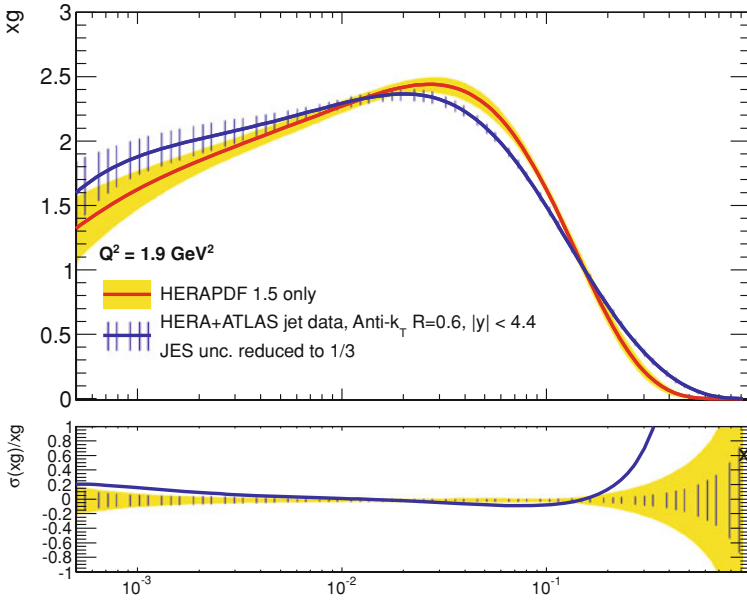
$$\alpha_s^{No \text{ jets}}(M_Z^2) = 0.1164 \pm 0.0031. \quad (8.16)$$

Even though there are no estimates of the model or parametrisation uncertainties, the values of $\alpha_s(M_Z^2)$ from both analyses above are compatible with the value $\alpha_s^{GFitter}(M_Z^2) = 0.1193 \pm 0.0028$ from the global Standard Model fit by the GFitter collaboration [21, 22] within the current uncertainties. The observation of a higher α_s value with respect to the default of 0.1176 when including jet data is also consistent with that noted in the HERA determination in Ref. [23] ($\alpha_s^{HERA \text{ jets}}(M_Z^2) = 0.1202 \pm 0.0013$, where only the experimental uncertainties have been mentioned).

The gluon distribution at $Q^2 = 10 \text{ GeV}^2$ that is output of the PDF fits with $\alpha_s(M_Z^2)$ left as a free parameter is shown in Fig. 8.7: a higher value of $\alpha_s(M_Z^2)$ leads to a softer gluon distribution at low x .



(a)



(b)

Fig. 8.8 Results of the PDF analysis including ATLAS jet data with JES uncertainty reduced to 1/3 of its value (with *dashed uncertainties*) for the gluon PDF at the starting scale of $Q_0^2 = 1.9 \text{ GeV}^2$. Anti- k_T jets with distance parameter $R = 0.4$ are shown in Fig. **a**, while anti- k_T $R = 0.6$ jets are shown in Fig. **b**

8.4.3 Impact of Reduced JES Uncertainty

A further test of the impact of ATLAS jet data on the gluon distribution can be performed assuming a rough reduction of the existing jet energy scale uncertainties. Given that the ATLAS design goal is to reduce the jet energy scale to values of approximately 1 %, every component of the jet energy scale is reduced to one third of its value, while the correlations are maintained. This is by no means a test with a precise physical meaning for future LHC data taking: the jet energy scale uncertainties will not be estimated using the calorimeter and Monte Carlo response as described in Chap. 6 but using in-situ techniques instead. In addition to this, the pile-up conditions are much harder in the 2011 LHC running than in the 2010 dataset, so the composition and correlations of the JES uncertainty is going to change substantially from the current estimate. Nevertheless, the results of a PDF analysis with reduced JES uncertainties can offer qualitative information on the amount of gluon density uncertainty that is driven by the uncertainty on the jet energy scale.

Only the gluon distribution is shown in Fig. 8.8, where one can see that the relative uncertainty is further reduced, and the shape change becomes significant from a slightly lower value of x . The χ^2/N_{DoF} in the case of inclusion of the ATLAS jet data increases to 76 and 92 for the 90 data points of anti- k_t $R = 0.4$ and $R = 0.6$ respectively, and the total χ^2 for the whole dataset is 820 and 838 respectively, showing some tension with the HERA data.

8.4.4 Conclusions on the PDF Analysis

The analysis performed for this thesis shows that the inclusion of the ATLAS inclusive jet cross section data in the HERAPDF analysis leads to an improvement in the knowledge of gluon distribution at high x . The value of the strong coupling constant at the Z mass peak is evaluated as a parameter of the PDF fit: the PDF analysis with the inclusion of ATLAS jet data fits it to a value of $\alpha_s^{ATLAS\ jets}(M_Z^2) = 0.1209 \pm 0.0019$, where the error quoted is only that on the fitted value. The uncertainty on the gluon PDF can be further reduced if the systematic uncertainty on the jet energy scale is reduced according to the ATLAS goals of 1 %. These results are a preliminary proof of principle that ATLAS jet data can contribute to constraining the parton distribution functions: and the theoretical/model uncertainty still need to be estimated. These results need to be integrated in an overall fit to HERA/Tevatron jets, and work is in progress to perform a combined fit together with the LHC W asymmetry data [24].

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Chapter 9

Conclusions and Outlook

The Large Hadron Collider has been delivering collision data at a center-of-mass energy of $\sqrt{s} = 7\text{ TeV}$ to the ATLAS detector since March 2010. Both physics measurements validating the Standard Model and searches for new physics at the energy frontier have taken place, using the full dataset collected up to November 2010.

In this context, it is necessary to fully understand the detector and the experimental conditions. Calibrations that had been only tested in Monte Carlo simulation have been employed and validated with data. Processes which have already been measured with high precision have served as standard candles in order to test the current theory predictions.

In order to compare data and theory, the experimental and theoretical uncertainties need to be reduced as much as possible with detailed studies of the jet reconstruction and calibration techniques and of the inputs to the theoretical predictions. It is precisely this context in which this thesis has been developed.

The experimental uncertainty on the inclusive jet cross section measurement is dominated by the systematic uncertainty on the jet energy scale: the first part of this thesis focuses on the understanding of the performance of jets using 35 pb^{-1} of ATLAS data collected in 2010. The JES systematic uncertainty has been evaluated for calorimeter jets reconstructed with the anti- k_t algorithm with distance parameters $R = 0.4$ and 0.6 , calibrated to the hadronic energy scale using the EM+JES calibration scheme, with calibrated transverse momenta $p_T^{\text{jet}} > 20\text{ GeV}$ and pseudo-rapidities $|\eta| < 4.5$. It has been estimated using a combination of in situ techniques and an analysis of systematic variations in Monte Carlo simulations, and it is found to be of similar size for both jet distance parameters studied. The smallest JES uncertainty of less than 2.5% is found in the central calorimeter region ($|\eta| < 0.8$) for jets with $60\text{ GeV} \leq p_T^{\text{jet}} < 800\text{ GeV}$. The JES uncertainty is the largest for low- p_T ($20\text{ GeV} \leq p_T^{\text{jet}} < 30\text{ GeV}$) jets in the most forward region $3.2 \leq |\eta| < 4.5$ where it amounts to 14% . The additional energy due to multiple proton–proton interactions is corrected for and the remaining uncertainty in this data taking period is less than

1.5 % per additional interaction for jets with $p_T^{\text{jet}} > 50\text{GeV}$ and decreases with p_T . The JES is validated up to $p_T^{\text{jet}} = 1\text{TeV}$ using several in situ methods.

The measurement of the inclusive jet cross section is reviewed and compared to theory for both jet distance parameters of 0.4 and 0.6. The kinematic range of this measurement spans more than 1 TeV in the central bins, and the jet cross sections falls by more than seven orders of magnitude in this range. The data and theoretical prediction are in agreement within uncertainties for the whole kinematic range.

The ATLAS inclusive jet cross section measurement has been used as input to the determination of the parton distribution functions using the ZEUS PDF fitting code in a proof of principle analysis to show that ATLAS data can have a non-negligible impact on PDF fits, even when the dominant JES uncertainty has not yet reached the desired 1 % precision. The inclusion of ATLAS jet data together with data from the HERA collider as a baseline leads to a harder gluon PDF with reduced uncertainties. It also allows to estimate the strong coupling constant at the Z mass peak as a parameter of the PDF fit, leading to a value of $\alpha_s^{ATLAS\ jets}(M_Z^2) = 0.1209 \pm 0.0019$, where the error quoted is only that on the fitted value. The conclusions from this preliminary study need completion in the estimate of the theoretical, model and parameterisation uncertainties, but they can serve as a starting point for the inclusion of ATLAS jet data in a full global fit that also includes HERA and Tevatron jet data, and where the recent Tevatron, ATLAS and CMS electroweak data on the W asymmetry is included as well to constrain the slope of the d/u PDFs at low x .

The measurement of the inclusive jet cross section in ATLAS can be further exploited in PDF fits, given that a large number of ingredients have been readied for the analysis of the full 2010 dataset. A detailed analysis of correlated uncertainties between the two jet distance parameters would allow cancellation of a number of components related to the jet energy scale uncertainties when the ratio between jet cross sections with different radii is taken. Given that this quantity can be calculated analytically to next to leading order [1, 2], it could be a powerful input for PDF fits. Furthermore, the jet cross section ratio could provide useful information on non perturbative effects in jets. Ratios could also be taken for jet cross sections at different LHC centre of mass energy, profiting from the 2.76 TeV run of the beginning of 2011 [3].

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About the Author

I have been a member of the ATLAS collaboration since 2009. I am currently working as an *assistente post-doctorale* at the University of Geneva. My research topics are exotic searches with jets and boosted objects, while contributing to the estimate of the jet energy scale and its uncertainty. I obtained my D. Phil. at the University of Oxford under the supervision of Prof. Amanda Cooper-Sarkar. My work was mainly concerned with the performance of hadronic jets in the first LHC data at the ATLAS experiment, culminating in the estimate of the jet energy scale and its correlations for the measurement of the inclusive jet cross-section and its inclusion in fits to parton distribution function. I obtained my Master's thesis on the CMS experiment at the University of Rome "La Sapienza", working on the commissioning of the electromagnetic calorimeter with Prof. Luciano Maria Barone.



Personal Contributions

Due to the large nature of particle physics experimental collaborations, the work in this thesis relies on the results of a large number of individual researchers and analysis subgroups. My leading contributions presented in this thesis are outlined below, subdivided by thesis chapter.

Chapter 5: Jet Reconstruction Performance I have performed jet energy resolution studies and validation of calibration constants in Monte Carlo before central processing of data for various software releases. These studies have been

undertaken using the JETPERFORMANCE software I have written and deployed over the course of the first year of the D. Phil. program.

Chapter 6: Jet Energy Scale Uncertainty I have been the main author of the Monte Carlo-based analysis for the estimate of the jet energy scale uncertainty, and combined the final results and delivered them to the collaboration using a centralised software tool.

Chapter 7: Inclusive Jet Cross-Section I have performed cross-checks of Monte Carlo and data spectra, validated the final data and theory spectra and uncertainty values, and I have participated actively in the discussion on the correlation of uncertainties to be treated as nuisance parameters.

Chapter 8: Impact of ATLAS Jet Data in PDF Fits I have adapted the ZEUS PDF fitting code to include ATLAS data, and evaluated the impact of ATLAS jet data on the PDF and the strong coupling constants, cross-checking with the PDF fitting code from the H1 experiment.

Relevant Papers and Public Notes

I have given a relevant contribution to the following ATLAS papers:

- Jet energy measurement with the ATLAS detector in proton–proton collisions at $\sqrt{s} = 7$ TeV, submitted to Eur. Phys. J. C
- Measurement of jet production in proton-proton collisions at 7 TeV centre-of-mass energy with the ATLAS Detector, published in Eur. Phys. J. C 71 (2011) 1512
- Measurement of inclusive jet and dijet production in pp collisions at $\sqrt{s} = 7$ TeV using the ATLAS detector, submitted to Physical Review D

I have been one of the editors of the following public ATLAS notes:

- Jet energy scale and its systematic uncertainty for jets produced in proton–proton collisions at $\sqrt{s} = 7$ TeV and measured with the ATLAS detector
- Update to the Jet energy scale and its systematic uncertainty for jets produced in proton–proton collisions at $\sqrt{s} = 7$ TeV and measured with the ATLAS detector
- Jet energy scale and its systematic uncertainty in proton–proton collisions at $\sqrt{s} = 7$ TeV in ATLAS 2010 data

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