

# Platelets in Thrombotic and Non-thrombotic Disorders

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Platelets have attracted increasing interest among clinicians and basic scientists over recent years, and are now known to play a part in many physiological and pathological conditions. In fact, platelets are involved in diseases responsible for the majority of disability and death worldwide, including myocardial infarction, stroke, peripheral vascular disease, cancer, and many infections. Platelets are also studied as a model in many areas of neurobiology, pharmacology, biochemistry and molecular biology.

This timely reference provides a comprehensive, detailed, up-to-date resource for clinicians and researchers, covering the structure and function of platelets and their role in pharmacology, pathogenesis and therapeutics. It is organized into sections covering platelet physiology, laboratory and methodological issues, platelet involvement in disease, including hemostatic and non-hemostatic conditions, platelet pharmacology, and treatment in the clinic.

With contributions from leading authorities including some of the founders of modern platelet studies, this is the definitive reference and guide to the diagnosis and therapeutics of diseases involving platelets. Chapters are devised to provide a critical review of the most clinically relevant aspects of the subject, with extensive references and easy-to-read take-home messages. It will be an essential resource for biomedical scientists and clinicians in hematology, vascular medicine, cardiology, thrombosis and related disciplines.

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### Pathophysiology, Pharmacology and Therapeutics

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# Contents

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<i>List of contributors</i>	ix
<i>Editors' preface</i>	xxi

## **PART I    PHYSIOLOGY**

---

<b>1    History of platelets</b>	3
J. Fraser Mustard, Raelene L. Kinlough-Rathbone and Marian A. Packham	
<b>2    Production of platelets</b>	25
Arnaud Drouin and Elisabeth Cramer	
<b>3    Morphology and ultrastructure of platelets</b>	41
James G. White	
<b>4    Platelet heterogeneity: physiology and pathological consequences</b>	70
Anthony Mathur and John F. Martin	
<b>5    Platelet membrane proteins as adhesion receptors</b>	80
Yoshiaki Tomiyama, Masamichi Shiraga and Sanford J. Shattil	
<b>6    Dynamics of the platelet cytoskeleton</b>	93
Kurt L. Barkalow, Hervé Falet and John Hartwig	
<b>7    Platelet organelles</b>	104
Francine Rendu and Brigitte Brohard-Bohn	
<b>8    Platelet receptors for thrombin</b>	113
Lawrence F. Brass, Marina Molino, Peter J. O'Brien and Mark Kahn	
<b>9    Platelet receptors: ADP</b>	127
Christian Gachet and Jean-Pierre Cazenave	
<b>10   Platelet receptors: prostanoids</b>	140
Roberta Vezza and Garret A. FitzGerald	

11	<b>Platelet receptors: collagen</b>	158	28	<b>Platelet–leukocyte interactions relevant to vascular damage and thrombosis</b>	412
	Pia R-M. Siljander and Richard W. Farndale			Chiara Cerletti, Virgilio Evangelista, Roberto Lorenzet and Giovanni de Gaetano	
12	<b>Platelet receptors: von Willebrand factor</b>	179	29	<b>Vascular control of platelet function</b>	432
	Jerry Ware and Zaverio M. Ruggeri			Scott Willoughby and Joseph Loscalzo	
13	<b>Platelet receptors: fibrinogen</b>	188	<b>PART II METHODOLOGY</b>		
	Edward F. Plow, Thomas A. Haas and Tatiana V. Byzova		<hr/>		
14	<b>Platelet signalling: GTP-binding proteins</b>	204	30	<b>In vitro assays for evaluating platelet function</b>	459
	Jan-Willem N. Akkerman			Perumal Thiagarajan and Kenneth K. Wu	
15	<b>Platelet phospholipases A<sub>2</sub></b>	221	31	<b>Monitoring antiplatelet therapy</b>	471
	Michael H. Gelb, Carine M. Mounier, Ying Hefner and Steve P. Watson			Dermot Cox and Desmond Fitzgerald	
16	<b>Roles of phospholipase C and phospholipase D in receptor-mediated platelet activation</b>	238	32	<b>Flow cytometric analysis of platelet function</b>	485
	Mauro Torti and Eduardo G. Lapetina			Alan D. Michelson, Marc R. Barnard, Lori A. Krueger, A.L. Frelinger III and Mark I. Furman	
17	<b>Platelet signalling: calcium</b>	260	33	<b>Animal models of platelet-dependent thrombosis</b>	499
	Juan A. Rosado and Stewart O. Sage			Paolo Golino and Francesco Loffredo	
18	<b>Platelet signalling: protein kinase C</b>	272	<b>PART III PATHOLOGY</b>		
	Charles S. Abrams and Marcelo G. Kazanietz		<hr/>		
19	<b>Platelet signalling: tyrosine kinases</b>	281	A	<b>Hemostasis</b>	
	Sylviane Levy-Toledano		34	<b>Hereditary thrombocytopenias</b>	515
20	<b>Platelet signalling: cAMP and cGMP</b>	290		Chris Van Geet, Kathleen Freson, Rita Devos and Jos Vermynen	
	James L. Daniel, Barrie Ashby and Fabio M. Pulcinelli		35	<b>Thrombocytopenias due to bone marrow disorders</b>	528
21	<b>Platelet adhesion</b>	304		Beng H. Chong and Colin Chesterman	
	Philip G. de Groot and Jan J. Sixma		36	<b>Immune-mediated thrombocytopenia</b>	542
22	<b>The platelet shape change</b>	319		Kathryn E. Webert and John G. Kelton	
	Adrian R.L. Gear and Renata K. Polanowska-Grabowska		37	<b>Thrombocytopenia in childhood</b>	556
23	<b>Aggregation</b>	338		Cécile Kaplan, M. Dreyfus, V. Proulle and G. Tchernia	
	Marian A. Packham, Margaret L. Rand and Raelene L. Kinlough-Rathbone		38	<b>Alloimmune thrombocytopenia</b>	569
24	<b>Amplification loops: release reaction</b>	357		E. Anders Kolb and James B. Bussel	
	Marc F. Hoylaerts		39	<b>Drug-induced and drug-dependent immune thrombocytopenias</b>	584
25	<b>Amplification loops: thromboxane generation</b>	369		Andreas Greinacher, Petra Eichler and Norbet Lubenow	
	Paola Patrignani and Maria G. Sciulli		40	<b>Thrombotic thrombocytopenic purpura and hemolytic uremic syndrome</b>	610
26	<b>Platelet procoagulant activities: the amplification loops between platelets and the plasmatic clotting system</b>	381		Miha Furlan	
	H. Coenraad Hemker				
27	<b>Platelets and chemotaxis</b>	393			
	Stefania Momi and Paolo Gresele				

41	<b>Thrombocytosis and thrombocythemia</b> Tiziano Barbui and Guido Finazzi	623	57	<b>Platelet interactions with other cells related to inflammatory diseases</b> Patrice E. Poubelle and Pierre Borgeat	869
42	<b>Platelet adhesive protein defect disorders</b> Kenneth J. Clemetson and Jeannine M. Clemetson	639	58	<b>Platelets and the preimplantation stage of embryo development</b> Christopher O'Neill	885
43	<b>Congenital disorders of platelet secretion</b> Marco Cattaneo	655	59	<b>Platelets in psychiatric and neurological disorders</b> B. E. Leonard	894
44	<b>Congenital platelet signal transduction defects</b> A. Koneti Rao	674	60	<b>Platelets in inflammatory bowel disease</b> Elizabeth Carty, David S. Rampton and Carole E. Collins	907
45	<b>Acquired platelet function defects</b> Joel S. Bennett	689	<b>PART IV PHARMACOLOGY</b>		
46	<b>Platelet storage and transfusion</b> Scott Murphy	707	61	<b>Aspirin</b> Carlo Patrono	919
<b>B Thrombosis</b>			62	<b>Pharmacology of ticlopidine and clopidogrel</b> Jean-Pierre Cazenave and Christian Gachet	929
47	<b>Pathophysiology of arterial thrombosis</b> Lina Badimon, Juan-Jose Badimon and Valentin Fuster	727	63	<b>Platelet membrane glycoprotein (GP) IIb-IIIa antagonists and acute arterial thrombosis</b> David R. Phillips and Lisa Nannizzi-Alaimo	940
48	<b>Platelets and atherosclerosis</b> Peter Verhamme and Paul Holvoet	738	64	<b>Other antiplatelet agents</b> Luigi Iuliano, Fausta Micheletta and Francesco Violi	955
49	<b>Platelet involvement in venous thrombosis and pulmonary embolism</b> Andrew D. Blann and Gregory Y. H. Lip	753	65	<b>Pharmacogenetics as a new antiplatelet strategy</b> Giovanni de Gaetano, Chiara Cerletti and Licia Iacoviello	964
50	<b>Gene regulation of platelet function</b> Thomas J. Kunicki	760	66	<b>Cardiovascular gene therapy: implications for platelet vessel wall interactions</b> Pierre Zoldhelyi, Harold Eichstaedt, Thomas Jax, Janice M. McNatt, Zhi Qiang Chen, Harnath S. Shelat, Harris Rose and James T. Willerson	978
<b>C Non-hemostatic disorders</b>			67	<b>Pharmacological modulation of the inflammatory actions of platelets</b> Carla Cicala and Giuseppe Cirino	991
51	<b>Platelets and bacterial infections</b> Mark C. Herzberg and Ke Gong	783	<b>PART V THERAPY</b>		
52	<b>Interactions of viruses and platelets and the inactivation of viruses in platelet concentrates prepared for transfusion</b> Laurence Corash	807	68	<b>Design of trials to evaluate antiplatelet agents</b> Shamir R. Mehta, John W. Eikelboom and Salim Yusuf	1003
53	<b>Platelets and parasites</b> Michel Joseph	815			
54	<b>Platelets and tumours</b> Maria Benedetta Donati and Virgilio Evangelista	824			
55	<b>Platelets and renal diseases</b> Paola Boccardo, Miriam Galbusera and Giuseppe Remuzzi	837			
56	<b>Platelets and allergic diseases</b> Simon Pitchford and Clive P. Page	852			

<b>69</b>	<b>Antiplatelet therapy in cardiology</b>	1013
	Herbert D. Aronow and Eric J. Topol	
<b>70</b>	<b>Antiplatelet therapies in neurology</b>	1040
	Graeme J. Hankey	
<b>71</b>	<b>Antiplatelet treatment in peripheral vascular disease</b>	1055
	Raymond Verhaeghe	
	<b>Afterword</b>	1063
	<i>Platelets: a personal story</i>	
	Gustav V. R. Born	
	<i>Index</i>	1073
	<i>Colour plates between pages 170 and 171</i>	



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## Preface

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Blood platelets have attracted an increasing interest among clinicians and basic scientists over the last three decades due to the progressive understanding of the role that these cells play in different physiological phenomena and pathological conditions. Indeed, blood platelets not only participate in normal hemostasis and are a central element in atherothrombosis but are also involved in inflammatory and allergic reactions, in some forms of gastroenterological, renal and dermatological disorders, in tumour metastasis and in viral, bacterial and parasitic diseases. It is impressive to realize that blood platelets play a primary role, or are involved, in the diseases responsible for the large majority of disability and death worldwide, and namely not only in myocardial infarction, stroke or peripheral vascular disease but also in cancer and its dissemination and several types of infection. Moreover, platelets, due to their easy sampling and to the fact that they possess a rather complex and complete signal transduction machinery typical of excitable cells, are often taken as a model for neurochemical, biochemical and molecular biology studies. It is also important to underline that, based on the expanding knowledge of platelet biology and pharmacology, recent developments in the field of antiplatelet agents have led to relevant therapeutic advancements with great excitement among cardiologists, neurologists, angiologists and internists.

The wide interest that platelets raise among clinicians and basic investigators is reflected in an explosive, exponential increase of publications involving platelets. It seemed thus useful to finally provide a comprehensive, detailed, up-to-date text for clinicians and basic scientists collecting all the available information on platelet structure, function, participation in disease, pharmacology and therapeutics. Provided the book will meet with the interest of the readership, it is planned that new editions updating the advances on this topic will be published every 3–4 years.

The book has been organized in five sections covering platelet physiology, laboratory methodological aspects, platelet involvement in disease, subdividing the latter into hemostatic and non-hemostatic conditions, platelet pharmacology and finally antiplatelet treatment in the clinic. Within these sections, 72 chapters cover all conventional and unconventional aspects of platelet function, involvement in disease or therapeutics. An emphasis was given to novel or upcoming aspects of platelet physiology, pharmacology and therapeutics, such as gene regulation of platelet function, pharmacogenetics, gene therapy, etc. A large group of leading experts and some of the 'fathers' of modern platelet studies have willingly accepted to contribute to the book, making up an outstanding crew of 157 scientists coming from disparate fields and thus giving a real interdisciplinary view of the subject. All chapters have been edited for homogeneity and to help in providing a balanced view of the various subjects. It is, of course, impossible to avoid some degree of overlap and repetition in such a book, but it was the intention of the Editors that, especially on some important or hot topics, the subject would be discussed and presented from different angles. An effort to provide schemes and tables with easy-to-read take-home messages has been made; extensive and updated bibliographic citations are given to provide the interested reader with access to greater detail than can be included in an already large book. Many investigators and clinicians, even if not primarily interested in platelets,

cross the platelet field in their daily work: this book should serve them as a comprehensive and authoritative guide to the diagnosis and therapeutics of diseases involving platelets.

Our thanks go first of all to the authors who have accepted to spend a considerable amount of their busy time in writing their chapters, undergoing pressure to adhere to a tight time schedule and requests to revise and update their work. The preparation of this book would not have been possible without the help of our editorial assistants and of several coworkers in the Institutions of the individual editors. An excellent collaboration with some members of Cambridge University Press, in particular Richard Barling, Mary Sanders and Lucille Murby, has helped in pushing forward what, in some moments, seemed to be a titanic task in a reasonably rapid, though to us exasperatedly slow, way.

Looking back, having forgotten the long tiring hours spent on the project, the preparation of this book has been interesting, enriching and amusing to us; we hope it will also be so for the readers.

*The Editors*

Paolo Gresele  
Clive P. Page  
Valentin Fuster  
Jos Vermynen

**Part I**

# **Physiology**

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# History of platelets

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## Introduction

In this chapter, we have concentrated on early observations that helped to open up new avenues of research, brought about greater understanding of hemorrhagic and arterial thromboembolic disorders, and in some instances led to new diagnostic methods and novel treatments for the complications of atherosclerosis. Over the years, technological developments have provided more and more tools for investigation. In the 1950s, electron microscopy began to reveal the fine structure of platelets, platelet aggregates, and thrombi. In the 1960s, aggregometers stimulated experiments with aggregating agents and their inhibitors; now, the pace has quickened with the introduction of flow cytometry, molecular biology techniques, monoclonal antibodies, genetically engineered animals and other new approaches.

Findings from research in other fields have frequently been applied to platelets, and knowledge about platelet functions has increased exponentially in the last 50 years. Time and time again, several groups almost simultaneously reported similar new results, but seldom has the rivalry been acrimonious. Some of the key players in the years between 1950 and 1980 have retired or are no longer with us, but this brief history mentions a few of the many contributions they made when the field was young.

Since other chapters will deal with recent findings, we have not attempted to provide a detailed history of the many new topics under investigation, such as the signaling pathways in platelets.

## Platelets, hemostasis and thrombosis

In the nineteenth century, only microscopy was available for studies of platelets, which were first detected by Donnè in 1842<sup>1</sup>. Although Wharton-Jones in 1852, and Zhan in 1875 described the formation of white thrombi in frogs, they thought that they were made up of leukocytes because thrombocytes in frogs are nucleated<sup>2,3</sup>. In 1882 Bizzozero<sup>4</sup> noted that, in guinea pigs and rabbits, platelets adhered to vascular lesions and to each other, forming a 'white thrombus', and Hayem<sup>5</sup> predicted that a low level of circulating platelets would lead to impaired hemostasis. This suggestion was soon shown to be true<sup>6,7</sup>. In 1885, Lubnitzky<sup>8</sup> pointed out that in flowing blood, platelets have the primary role in the formation of hemostatic plugs that arrest bleeding, and fibrin formation is only secondary. Although the similarities in the composition of hemostatic plugs and arterial thrombi had been recognized by early investigators, these findings were largely ignored until the middle of the twentieth century. They were eventually re-emphasized by the Zuckers<sup>9,10</sup> in the late 1940s, and by French, Macfarlane and Sanders<sup>11</sup> in 1964.

Tocantins<sup>12</sup> published a major review of knowledge about platelets in 1938, but platelets were largely disregarded for many years until their contribution to the intrinsic coagulation pathway became of interest. Research during the 1940s and 1950s was focused on blood coagulation because of the need to regulate dosages of dicoumarin drugs<sup>13</sup>; new factors were discovered and eventually the cascade scheme of coagulation was developed by Macfarlane<sup>14</sup>. In it, the role of platelets was recognized as supplying the phospholipid needed for the interaction of factors V and Xa in the intrinsic pathway of coagulation.

However, in the middle of the twentieth century, it was

thought that anticoagulant drugs would inhibit arterial thrombosis as well as venous thrombosis by reducing fibrin formation, because many believed that arterial thrombi were largely blood clots. This idea began to be questioned and interest in platelets increased in 1948 when Duguid<sup>15</sup> revived the concept first developed 100 years before by von Rokitsansky<sup>16</sup> that incorporation into the vessel wall of mural thrombi consisting of platelets and fibrin played a role in the development of atherosclerotic lesions. Fisher's observation in 1959 of platelet-rich embolic material passing through retinal vessels during transient monocular blindness<sup>17</sup> further emphasized the importance of platelet thromboemboli in the complications of atherosclerosis. It became clear that studies of the mechanisms involved in platelet adhesion, aggregation, and participation in blood coagulation were relevant to understanding the formation of arterial thrombi and their stability, organization and eventual resolution, as well as the formation of hemostatic plugs and their stability.

### Platelets and the endothelium

The conclusion that platelets maintain the integrity of capillary endothelium was derived from perfusion experiments of Danielli<sup>18</sup>, and later of Gimbrone and coworkers<sup>19</sup>, as well as from several other approaches. In the 1950s, the findings that platelet transfusions controlled the hemorrhagic phase of postirradiation syndromes<sup>20,21</sup> gave credence to Danielli's observation. In other studies by Cronkite and coworkers, autoradiograms of capillaries of X-irradiated rats that had been injected with platelets that were heavily labelled with radioactive sulfur indicated that platelets (or <sup>35</sup>S-mucopolysaccharides derived from platelets) were deposited along the capillary walls<sup>22</sup>. Later studies clearly showed that red blood cells and a variety of particles in the circulation crossed capillary walls in thrombocytopenic animals; this did not occur in animals with normal platelet counts<sup>23–25</sup>. The ultrastructural changes of the endothelium that occurred in thrombocytopenia were reversed by platelet transfusions<sup>25,26</sup>. The mechanisms by which platelets support endothelial integrity were explored by Johnson and colleagues<sup>23,27,28</sup>, but as Jaffe has pointed out<sup>29</sup>, the molecular basis of this important physiological function is still not totally clear.

### Platelets and vessel injury

Platelets do not adhere to undamaged endothelium, but when a vessel wall is injured, platelets adhere almost

instantaneously – well before fibrin forms<sup>9,10</sup>. In 1959, Bounameaux<sup>30</sup> observed platelet adhesion to subendothelial fibers that were soon shown to be collagen<sup>31</sup>. Zucker and Borrelli<sup>32</sup> reported platelet aggregation *in vitro* by connective tissue or collagen, and Hovig<sup>33</sup> demonstrated that the aggregating agent ADP was released from platelets stimulated with a saline extract of tendons. Inhibition of collagen-induced aggregation by aspirin was observed in 1967<sup>34–36</sup>, and in 1974 and 1975 shown to be due to inhibition by aspirin of the production of prostaglandin endoperoxides and thromboxane A<sub>2</sub> which platelets form when they adhere to collagen<sup>37,38</sup>. It became apparent that ADP and thromboxane A<sub>2</sub> from adherent platelets stimulated platelets flowing by to stick to the adherent platelets and to each other, forming a hemostatic plug or thrombus.

In comparing hemostatic plug formation in normal dogs and dogs with congenital deficiencies of coagulation factors, Hovig and colleagues in 1967 observed that the formation of fibrin around the initial mass of aggregated platelets was crucial in stabilizing the plug and preventing rebleeding<sup>39</sup>. This observation indicated the importance of localized thrombin formation around the aggregated platelets at the injury site. Earlier, Jørgensen and Borchgrevink<sup>40</sup> had observed that, in hemophilic subjects, although the time for formation of a hemostatic plug was not appreciably greater than in normal subjects, prolonged rebleeding occurred when the initial hemostatic plug was dislodged, indicating a change in the injured vessel wall (or the platelets adherent to it) that inhibited further platelet accumulation. In accord with this concept, in 1979, Groves and colleagues<sup>41</sup> used <sup>51</sup>Cr-labelled platelets to show that following removal of the endothelium from the rabbit aorta, an initial monolayer of platelets formed on the sub-endothelium, but the number of adherent platelets gradually decreased and the surface attracted fewer and fewer fresh platelets. The reasons for this development of non-thrombogenicity of an injured vessel wall are unknown, but may be relevant to attempts to prevent thrombosis on vascular grafts and in arteries injured by other procedures.

The pattern of blood flow in the arterial circulation determines the events subsequent to platelet adherence at an injury site<sup>42</sup>. In animal experiments in which a vessel wall was injured diffusely, it was shown that only a thin layer of adherent platelets formed when blood flow was laminar, but in regions of disturbed flow, large platelet-rich thrombi formed<sup>43–46</sup>.

In 1973, Baumgartner used balloon catheterization to remove the endothelium of rabbit aortas and developed an apparatus in which he could assess the adherence of platelets in flowing, human blood to the subendothelium<sup>47</sup>. In further studies, his group showed platelets adherent to

several components of the subendothelium, including collagen<sup>48</sup>, and found that platelets from patients with von Willebrand's disease<sup>49</sup> or Bernard–Soulier syndrome<sup>50</sup> adhered poorly.

It was not until the late 1970s that the role of plasma von Willebrand factor in platelet adherence to collagen and the subendothelium under conditions of high shear was recognized<sup>51–54</sup>. (At that time, von Willebrand factor had not been separated from factor VIII, and was often referred to as factor VIII or factor VIII-related antigen.) In accord with these observations about platelet adherence, in 1978 Fuster's group at the Mayo Clinic demonstrated that in pigs with von Willebrand disease platelet adherence to the vascular wall was impaired and the pigs were resistant to the initiation and progression of atherosclerosis<sup>55</sup>. In addition, the pigs had a very severe bleeding tendency.

### Fate of arterial thrombi and atherosclerosis

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von Rokitansky<sup>16</sup> and Virchow<sup>56</sup> were early investigators who reported that in some instances, the development of atherosclerosis involved vessel wall injury, thrombosis, and the incorporation of thrombi into the vessel wall. In 1887, Welch<sup>57</sup> gave a clear description of arterial thrombi, based on the experiments of a number of investigators, showing that they begin as platelet-rich thrombi and are then transformed into masses rich in fibrin. Much later, these observations were reinforced by Duguid<sup>15</sup>, Morgan<sup>58</sup>, More and Haust<sup>59</sup> and French<sup>60</sup>. In the 1960s, we observed that platelets were deposited on, and interacted with, the walls of arteries in regions of disturbed blood flow<sup>61–63</sup>; these are sites where atherosclerotic lesions develop and increased vessel permeability is demonstrable.

In 1973, Moore<sup>64</sup> induced 'thromboatherosclerosis' in rabbits by continuous damage of the endothelium of the aorta with an indwelling catheter, and in 1976, he and his group showed that prior administration of anti-platelet serum to induce thrombocytopenia prevented the development of these lesions<sup>65</sup>. The finding by Ross and his colleagues<sup>66</sup> in 1974 that stimulated platelets release a mitogen for smooth muscle cells (platelet-derived growth factor, PDGF) arose from a chance observation. They noticed that serum prepared from platelet-free plasma did not support the proliferation of smooth muscle cells in culture, but when they prepared serum by clotting platelet-rich plasma, the serum supported cell growth as effectively as serum prepared from whole blood. These results gave even more credence to the theory that platelets are involved in the development of atherosclerotic plaques because they promote the proliferation of smooth muscle cells.

The progression of atherosclerotic plaques also involves platelets since platelet-rich thrombi have been shown to be incorporated into the vessel wall at sites of injury<sup>15,59,67</sup>. Platelet-rich thrombi that form on ruptured atherosclerotic plaques may occlude the lumen of a vessel, or may embolize. When the thromboemboli impact in smaller, downstream vessels, organ damage occurs<sup>68–70</sup>.

These concepts about platelets and atherosclerosis have stood the test of time. The picture developed by Ross<sup>71</sup> of the development of an atherosclerotic plaque is now well known, and the signalling pathways PDGF activates are being explored<sup>72</sup>. The importance of platelet interaction with the components of ruptured atherosclerotic plaques in the thromboembolic complications of atherosclerosis is now generally accepted<sup>73</sup>.

### Clot retraction

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One of the earliest recorded observations, eventually shown to be a function of platelets, was clot retraction, described by Hewson in the late eighteenth century<sup>74</sup>. About 100 years later, in 1878, Hayem reported that platelets had a tendency to change their form, aggregate, and interact with fibrin strands<sup>75</sup>. Although he called platelets 'hématoblastes' because he thought they were precursors of erythrocytes, he counted them accurately and described their role in clotting and in clot retraction. In 1906, Le Sourd and Pagniez<sup>76</sup> established the unique ability of platelets to cause clot retraction. This early history was reviewed by Budtz-Olsen<sup>77</sup> in 1951, in connection with his studies of clot retraction, and in the same year, Øllgaard<sup>78</sup> described using clot retraction as a quantitative test of the function and agglutinability of platelets. Bettex-Galland and Lüscher<sup>79</sup> later showed that metabolic energy was required for clot retraction.

### Source of platelets

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For many years after the discovery of platelets there was considerable disagreement about their source. Wright's work in the early 1900s with stained sections of tissue and supravital preparations, provided the first evidence that platelets were derived from megakaryocytes<sup>80,81</sup>, but it was the work of Humphrey<sup>82</sup> in 1955, on the basis of studies with fluorescent antibodies, that provided irrefutable evidence that the megakaryocyte was the precursor cell of platelets. Finally, Kinoshita and colleagues<sup>83</sup> in 1961 developed a technique for placing windows in bone that allowed the marrow to grow in the thin space between the windows

where platelet production from megakaryocytes could be observed directly.

### Thrombopoietin

Although the existence of a substance responsible for controlling platelet production (thrombopoietin, TPO) had been postulated for many years, all attempts to isolate it had failed. In 1992, the proto-oncogene *c-mpl* was cloned, leading to the identification of TPO. In 1994, five groups were able to either purify TPO or clone the cDNA. Since then, there has been an impressive amount of research on this topic, reviewed by Kaushansky<sup>84</sup> in 1999.

### Platelet lifespan

The initial approaches used to determine platelet lifespan fall into three main categories: (i) Depletion studies, in which thrombocytopenia was induced and the rate of platelet regeneration determined<sup>85</sup>; (ii) Transfusion studies in which normal platelets were transfused into thrombocytopenic individuals and the platelet count monitored<sup>86,87</sup> (this gave considerable variability in assessments of lifespan depending on the nature and extent of the thrombocytopenia); and (iii) Labelling techniques in which various radioisotopes were introduced into platelets and the length of time that radioactivity remained in the circulation was measured. More recently, particularly in infants, a return of the ability of platelets treated with aspirin to produce malondialdehyde was used to measure platelet survival<sup>88</sup>. The most commonly used method now involves labelling with <sup>51</sup>Chromium<sup>89</sup> or <sup>111</sup>Indium<sup>90</sup>.

It is now generally accepted that the normal lifespan of platelets in humans is 9–11 days, but it is not clear whether this is a measure of the role of platelets in maintaining the integrity of the vascular tree. Thrombin-induced release of granule contents *in vivo* or *in vitro* does not shorten platelet survival<sup>91,92</sup>, but when platelet membrane glycoproteins have been cleaved by proteolytic enzymes the platelets do not survive in the circulation<sup>93</sup>. Platelet survival tends to be shortened in individuals with diseases associated with thrombosis and thromboembolism<sup>94,95</sup>.

The controversy over the question of how platelet survival curves should be analysed (linear or exponential) was settled in 1971 when Murphy and Francis introduced the gamma function method of calculation and the International Committee for Standardization adopted it<sup>96,97</sup>.

### Platelet transfusion

The first transfusion in man is said to have been carried out in 1667 by Jean Baptiste Dennis who transfused a young man with the blood of a lamb<sup>98</sup>. Italian physicians in the early part of the twentieth century are known to have employed blood transfusions for the treatment of thrombocytopenic purpura, but since anticoagulants were not available at that time, transfusion was performed with paraffin-coated syringes. Gradually, there were improvements in transfusion techniques to avoid activation of platelets. These included the introduction of silicones to provide non-wettable surfaces for glass containers, differential centrifugation techniques under temperature control for the separation of blood components, the introduction of plastic containers, and refinement of the composition of anticoagulant solutions<sup>99</sup>. The conditions of storage have been readjusted frequently to provide incremental improvements in the viability and function of platelet concentrates for transfusion<sup>100</sup>.

### Isolation of platelets

In the early 1970s, we developed methods to isolate platelets, wash them, and resuspend them in artificial media so that they retain most of the properties of platelets in plasma<sup>101,102</sup>. Important conditions were determined to be the choice of anticoagulant, use of a balanced salt solution (Tyrode's) containing a physiological concentration of calcium, a source of metabolic energy (glucose), inclusion of a system (e.g. apyrase) to remove ADP that leaks from the platelets, and the addition of a protective protein (albumin)<sup>103</sup>.

Platelets have also been separated from plasma on an albumin gradient<sup>104</sup> or by gel filtration<sup>105–107</sup>.

Since the artificial media in which platelets are resuspended can be readily modified, and the formation of fibrin does not interfere with studies involving thrombin, these isolation techniques have allowed considerable advances in the elucidation of the mechanisms underlying platelet functions.

### Platelet aggregation and platelet receptors

Up until 1963, platelet aggregation was observed by visual or microscopic examination of platelet-rich plasma when it was shaken with an aggregating agent. In 1962 Born<sup>108</sup> published his paper describing the development of the platelet aggregometer and shortly thereafter O'Brien<sup>109</sup>

Table 1.1. Highlights of platelet research

1842	Platelets detected microscopically	1974	Role of phosphoinositides in platelet functions recognized
1882	Platelet adherence to damaged blood vessels and aggregation	1974	Release of platelet-derived growth factor (PDGF) by stimulated platelets
1882	Thrombocytopenia shown to impair hemostasis	1974	Abnormal glycoprotein pattern noted in thrombasthenia platelets
1885	Platelets shown to have primary role in formation of hemostatic plugs	1975	Thromboxane A <sub>2</sub> found to be an aggregating agent whose formation is inhibited by aspirin
1906	Platelets shown to be derived from megakaryocytes	1975	Ticlopidine developed as an inhibitor of ADP-induced aggregation
1906	Platelets shown to cause clot retraction	1976	Prostacyclin (PGI <sub>2</sub> ) identified as a strong inhibitor of platelet reactions
1918	Glanzmann's thrombasthenia recognized	1970s	Plasma von Willebrand factor implicated in platelet adherence to collagen and the subendothelium under conditions of high shear
1938	Major review by Tocantins	1978	Fibrinogen shown to bind to platelets during aggregation
1948	Incorporation of thrombi into vessel wall shown to be involved in the development of atherosclerotic lesions	1979	Identification of a patient with a defect of platelet procoagulant activity (Scott syndrome)
1948	Bernard-Soulier syndrome described	1980	Investigations of receptors, G-proteins, signalling
1950s	Electron microscopy began to reveal the fine structure of platelets	1981	Fibrinogen receptor identified as glycoprotein IIb/IIIa ( $\alpha$ IIb $\beta$ 3)
1950s	Serotonin recognized as a platelet constituent taken up from plasma	1982	Fibrinogen $\gamma$ -chain identified as a binding site for platelets
1950s	Platelets shown to maintain integrity of capillary endothelium	1982	Fluorescent indicators used for measurement of cytosolic calcium
1959	Platelets shown to contain a contractile protein – thrombosthenin	1983	Monoclonal antibodies to GPIIb/IIIa developed
1959	Transient monocular blindness due to platelet emboli	1984	P-selectin identified as an $\alpha$ -granule constituent that can be detected by flow cytometry on the platelet surface after stimulation
1959	Platelets shown to adhere to collagen	1985	Peptides with RGD sequence shown to inhibit binding of fibrinogen and vWf to platelets
1961	ADP from red cells identified as an aggregating agent	1986	Defects in collagen receptors on platelets identified
1962	Aggregometer based on light transmission developed	1988	Meta-analysis of 25 clinical trials of non-steroidal anti-inflammatory drugs show 25% reduction in risk of a thromboembolic event
1963	Collagen and thrombin shown to release ADP from platelets	1991	Thrombin shown to activate platelets by cleavage of a protease-activated receptor
1963	Epinephrine shown to aggregate platelets	1992	Identification of a patient with platelets unresponsive to ADP
1964	Introduction of use of <sup>14</sup> C-serotonin to measure release of granule contents	1994	Thrombopoietin purified
1967	Aspirin shown to inhibit collagen-induced aggregation and release	1990s	Many inhibitors of fibrinogen binding to platelets entered clinical trials
1967	Stimulated platelets shown to give rise to microparticles	1990s	Three ADP receptors identified
1967	Prostaglandin E <sub>1</sub> shown to inhibit aggregation		
1970	Storage pool disease recognized		
1971	Agglutination of platelets by ristocetin developed as a means of detecting vWD and later, Bernard-Soulier syndrome		
1971	Aspirin shown to inhibit formation of prostaglandins in platelets		
1972	Platelet-activating factor (PAF) recognized		
1972	Methods developed for isolation and resuspension of platelets in artificial media		
1973	Arachidonic acid shown to aggregate platelets		

described a similar device. As a result, it became possible to assess the rapidity and extent of aggregation by recording changes in light transmission through a stirred suspension of platelets. Almost immediately, other investigators adopted this technique and during almost 40 years it has had the major role in tens of thousands of studies of aggregating agents, inhibitors of aggregation, and conditions that influence aggregation.

## Thrombin

Eberth and Schimmelbusch<sup>110</sup> in 1888 and Wright and Minot<sup>111</sup> in 1917 used the term 'viscous metamorphosis' to describe the changes in platelets when they stick to surfaces and to each other. Because thrombin induced these changes, it was originally thought that fibrin formation was involved in platelet-to-platelet adherence<sup>112</sup>. It was not until 1946 that this concept was questioned by Pinniger and Prunty<sup>113</sup> who showed that thrombin aggregated platelets in the blood or platelet-rich plasma of patients with afibrinogenemia. Following the demonstration by Gaarder and her colleagues<sup>114</sup> that ADP from red cells could cause platelet aggregation and Grette's observation that thrombin induces the release of ADP from platelets<sup>115</sup>, Haslam suggested that released ADP was responsible for thrombin-induced aggregation<sup>116</sup>. This conclusion was based on his observation that enzymatic removal of ADP was inhibitory. However, other investigators, including ourselves<sup>117</sup>, showed that thrombin could aggregate platelets even when released ADP was removed and thromboxane A<sub>2</sub> formation was blocked with aspirin or indomethacin. This observation led to the concept of a 'third pathway' responsible for thrombin-induced aggregation. At one time, formation of platelet-activating factor (PAF) by thrombin-stimulated platelets was considered as a candidate for this role<sup>118</sup>, but further studies have not supported this suggestion<sup>119,120</sup>.

It was not until 1991 that the way in which thrombin stimulates platelets was elucidated by Coughlin's group<sup>121</sup>. They obtained evidence for a unique protease-activated receptor, now designated PAR-1. The finding that platelets from some species did not respond to the newly exposed peptide, SFLLRN, whereas human platelets did so<sup>122,123</sup>, indicated that there might be other receptors for thrombin, and Coughlin's group has now identified PAR-3 on mouse platelets and PAR-4 on mouse and human platelets. The development of knowledge about these receptors has been reviewed recently by Coughlin<sup>124</sup>.

## Collagen

Interest in collagen as an aggregating agent arose from observations in the early 1960s that, when blood vessels were injured, platelets adhered to collagen<sup>30,31</sup> and in doing so, released ADP<sup>32,33</sup>. Aspirin inhibited collagen-induced aggregation<sup>34–36</sup>, an effect shown to be caused by inhibition of cyclo-oxygenase<sup>125</sup>. It became apparent that platelets adherent to collagen were stimulated to release ADP and form thromboxane A<sub>2</sub>, and that these aggregating agents acted synergistically to cause aggregation of the non-adherent platelets<sup>117</sup>.

The nature of the collagen receptor on platelets has been controversial with GPIa/IIa, GPVI and GPIV as candidates. Hemostasis is impaired in patients with a deficiency of GPIa/IIa<sup>126</sup> or GPVI<sup>127</sup>, but a defect in GPIV does not lead to hematologic problems<sup>128</sup>. The current concept is that both GPIa/IIa and GPVI are involved in platelet adherence to collagen<sup>129,130</sup>. (See Chapter 11.)

## ADP

The finding by Hellem<sup>131</sup> and by Øllgaard<sup>132</sup> that a factor from red blood cells caused platelet aggregation was quickly followed in 1961 by its identification as ADP by Gaarder and her coworkers<sup>114</sup> in Owren's Institute for Thrombosis Research in Oslo. At first ADP was considered to be the factor responsible for aggregation by all the agonists that caused its release from platelets<sup>116</sup>. Later, it became apparent that this concept was untenable, although the role of released ADP in potentiating aggregation by collagen, thrombin and other release-inducing agents is well accepted.

In some species (including man), ADP-induced aggregation was observed to occur in two phases in citrated platelet-rich plasma<sup>133–135</sup>, the second phase being associated with the release of serotonin and ADP<sup>133–137</sup>, and not readily reversible. (Subsequent studies showed that thromboxane A<sub>2</sub> formed under these conditions was responsible for secondary aggregation<sup>138</sup>.) However, there were several observations showing that ADP-induced aggregation *in vivo* was reversible<sup>69,133,139</sup>, leading to the suggestion by Mustard and Packham<sup>140</sup> in 1970 that 'this apparent ability of ADP to induce irreversible platelet aggregation *in vitro* may be an artifact of the experiments'. In 1975 we reported that the low calcium concentration produced by the use of citrate as the anticoagulant was responsible for the second phase of ADP-induced aggregation<sup>141</sup>; it does not occur in platelet-rich plasma from blood anticoagulated with heparin, hirudin or PPACK<sup>142,143</sup>. Nevertheless, because so

many studies of platelet aggregation are done in citrated platelet-rich plasma, the notion has persisted that ADP causes extensive release of granule contents and the formation of thromboxane  $A_2$ . Failure to understand this artefact has sometimes led to misleading conclusions.

In the early 1970s, two groups<sup>144,145</sup> reported that ADP inhibits the increase in cyclic AMP caused by exposure of platelets to prostaglandin  $E_1$ ; the ADP receptor responsible for this down-regulation of adenylyl cyclase has been identified recently<sup>146</sup>. It is now known that metabolites of the thienopyridine derivative, ticlopidine, and its analogue, clopidogrel, which are specific inhibitors of primary ADP-induced aggregation<sup>147–149</sup>, irreversibly block this ADP receptor<sup>150–152</sup>. The unstable, active metabolite of clopidogrel has recently been identified<sup>153</sup>. Clinical trials of these drugs have been carried out<sup>154–156</sup> (See Chapters 9 and 62).

Following the discovery of individuals whose platelets do not aggregate in response to ADP<sup>157</sup>, interest intensified in ADP receptors, three of which have been identified (Chapter 9).

### Arachidonic acid and thromboxane $A_2$ (TXA<sub>2</sub>)

Aggregation by arachidonic acid was reported in 1973 by Silver and colleagues<sup>158</sup> and shown to be due to its conversion to PGG<sub>2</sub>, PGH<sub>2</sub> and TXA<sub>2</sub><sup>38,125</sup>. Receptors for these products have been characterized (Section 1, Chapter 9) and some abnormalities have been identified.

### Serotonin

The flurry of interest in serotonin began in the 1950s when Rand and Reid<sup>159</sup> discovered that platelets contained it. Serotonin was shown to be released from platelets by Bigelow<sup>160</sup> and by Zucker and Borrelli<sup>161</sup> and actively taken up by them<sup>162,163</sup> into the dense granules<sup>164,165</sup>. Platelets carry all the serotonin in blood. Serotonin was demonstrated to be a weak aggregating agent by Mitchell and Sharp<sup>166</sup> in 1964, and to act synergistically with other agonists by Baumgartner and Born<sup>167</sup> in 1968. The extensive research on serotonin up to 1970 was summarized by Mustard and Packham<sup>140</sup>. At that time, platelets were beginning to be recognized as a model for serotonergic neurons<sup>168</sup>.

### Epinephrine (adrenaline)

In the early 1960s, O'Brien<sup>169</sup> and Mitchell and Sharp<sup>166</sup> discovered that epinephrine and norepinephrine caused

platelets to aggregate in two phases in citrated human platelet-rich plasma. Haslam<sup>170</sup> examined the role of ADP in epinephrine-induced aggregation and concluded that ADP was involved in both phases.

The ability of epinephrine to potentiate aggregation by other agonists was first noted by Ardlie and colleagues<sup>171</sup> in 1966 and further documented in 1967 by Mills and Roberts<sup>172</sup>. It is now apparent that epinephrine by itself is not an aggregating agent, but acts in a strong, synergistic fashion with other aggregating agents<sup>173,174</sup>.

Epinephrine acts by stimulation of alpha adrenergic receptors<sup>172,175</sup> and causes a decrease in cyclic AMP in platelets if its concentration has been raised by prostaglandin  $E_1$ <sup>176</sup>.

### Platelet activating factor (PAF)

In 1972 Benveniste, Henson and Cochrane<sup>177</sup> introduced the term 'platelet-activating factor' for the soluble factor, released from basophils upon IgE stimulation, that caused aggregation and release by rabbit platelets. Seven years later, Hanahan's group identified PAF as a phosphoacyl glycerol<sup>178</sup>. Although human platelets are aggregated by PAF, the concentration required is much higher than for aggregation of rabbit platelets<sup>119,179</sup>. PAF is synthesized by rabbit platelets when they are stimulated by thrombin, but it does not appear to play a part in thrombin-induced aggregation of human platelets<sup>119,120</sup>. The PAF receptor has been investigated by Izumi and Shimizu<sup>180</sup>.

### Ristocetin, von Willebrand factor, and glycoprotein I

Ristocetin was withdrawn from clinical use as an antibiotic because many patients who received it became thrombocytopenic. In 1960, Gangarosa and colleagues<sup>181</sup> noted aggregation of platelets *in vitro* upon the addition of ristocetin, but it was not until 1971 that Howard and Firkin<sup>182</sup> confirmed this observation and introduced ristocetin as 'a new tool in the investigation of platelet aggregation'. They showed that ristocetin could be valuable in subdividing types of von Willebrand disease. Platelets fixed with paraformaldehyde agglutinate in response to ristocetin in normal plasma (but not in plasma from patients with some types of von Willebrand's disease) and this reaction has become a standard clinical test for von Willebrand's disease<sup>183</sup>.

In 1973, two groups reported that platelets from patients with Bernard-Soulier syndrome (BSS)<sup>184</sup> did not aggregate

in response to ristocetin<sup>185,186</sup>. Weiss and coworkers<sup>50</sup> suggested that BSS platelets lacked a receptor for von Willebrand factor involved in platelet adherence, and in 1975, Nurden and Caen<sup>187</sup> reported that a major glycoprotein (GPI) was not visible among the membrane proteins of platelets from patients with BSS. The current state of knowledge about BSS has been reviewed recently by López and colleagues<sup>188</sup>.

### **Fibrinogen, von Willebrand factor, and GPIIb/IIIa**

Although it was known as early as 1964 that fibrinogen was required for ADP-induced aggregation of human platelets<sup>189,190</sup>, it was not until 1978 that we reported the rapid association and dissociation of radiolabelled fibrinogen during ADP-induced platelet aggregation and deaggregation<sup>191</sup>. The concept was developed that ADP induces a saturable receptor for fibrinogen on human platelets<sup>192–194</sup> which is missing on the platelets of patients with Glanzmann's thrombasthenia<sup>195</sup>. Nurden and Caen<sup>196</sup> had already noted an abnormal glycoprotein pattern in the membranes of platelets from patients with this disorder. In 1981, the fibrinogen receptor was identified as GPIIb and IIIa forming a calcium dependent complex on the surface of activated platelets<sup>197,198</sup>. Meanwhile, Pierschbacher and Ruoslahti<sup>199</sup> had identified Arg–Gly–Asp (RGD) as the amino acid sequence in the cell binding domain of fibronectin responsible for its attachment to fibroblasts. This RGD sequence also occurs in both fibrinogen<sup>200</sup> and von Willebrand factor<sup>201</sup> and peptides containing this sequence were shown by several groups to inhibit the binding of these proteins to activated platelets<sup>202–204</sup>. A different binding site for GPIIb/IIIa on fibrinogen had been found at the C-terminus of the gamma chain, which includes the dodecapeptide sequence 400–411<sup>205</sup>, and it now appears that it is more important for the binding of fibrinogen to human platelets than is the RGD sequence, as shown by Farrell and colleagues<sup>206,207</sup> using mutant fibrinogen molecules. The result of the finding about the inhibitory effect of RGD peptides has been the development of drugs containing this sequence as inhibitors of platelet aggregation in patients during percutaneous coronary intervention or as treatment of acute coronary syndromes<sup>208</sup>.

Another approach to inhibition of platelet aggregation began in 1983 from the use by Collier and his colleagues<sup>209</sup> of hybridoma technology to produce monoclonal antibodies to GPIIb/IIIa (10E5 and 7E3). In 1995, Collier<sup>210</sup> reviewed the development of a mouse/human chimeric monoclonal

antibody fragment (c7E3 Fab, abciximab, ReoPro™) for use in patients to reduce complications after angioplasty. Clinical trials of its efficacy have been carried out<sup>208</sup>.

### **Platelets in infectious diseases and immune reactions**

For many years there has been considerable interest in platelet interactions with tumor cells, antigen–antibody complexes, viruses, bacteria and bacterial products such as endotoxin. These subjects have been reviewed elsewhere<sup>94,211</sup>, and are discussed in Section III.C.

### **Platelet granules and the release reaction**

Although it is now known that platelets have three main types of storage granules – dense or amine storage granules containing adenine nucleotides, serotonin and divalent cations; alpha granules containing many proteins; and lysosomal granules containing acid hydrolases – in the early days these distinctions had not been made.

In the 1950s, serotonin was the first substance to be recognized as originating from platelets during blood coagulation<sup>160,212</sup>. Then Humphrey and Jaques<sup>213</sup> noted the release of histamine and serotonin from platelets by antigen-antibody reactions. Grette<sup>115</sup> observed thrombin-induced release of serotonin, adenine nucleotides and fibrinogen in 1962 and introduced the term 'release reaction'. Zucker and Borrelli<sup>32</sup> and Hovig<sup>33</sup> reported collagen-induced release of ADP, and in 1964, Spaet and Zucker<sup>214</sup> showed that collagen also released <sup>14</sup>C-serotonin previously taken up in vitro. This method of measuring the extent of the release of dense granule contents has been widely used ever since.

Haslam<sup>116,170</sup> was the first to draw attention to the role of the release reaction; he showed that when an extracellular ADP-removing system was present, aggregation by several agents was inhibited, thus obtaining evidence that released ADP was responsible for aggregation. Haslam also proposed that ADP was released directly and was not the product of hydrolysis of ATP. In 1964, Buckingham and Mayner<sup>215</sup> suggested that the substances released had different subcellular localizations from those retained, and in keeping with this suggestion, in 1967 Ireland<sup>216</sup> showed that thrombin induced the release of metabolically inactive nucleotides while the active ones were retained by the platelets. Mills and his colleagues<sup>137</sup> showed that ADP was released during the second phase of ADP-induced aggregation. Three groups reported that strong aggregating



agents (but not ADP or epinephrine) caused the release of lysosomal enzymes<sup>137,217,218</sup>. In 1969, release of platelet factor 4 and calcium were reported<sup>219,220</sup>, and a major review about the release reaction was written by Holmsen, Day and Stormorken<sup>221</sup>; they pointed out that the process consists of extrusion of material from the platelet granules to the external medium in a process similar to secretion. At that time it was known that the metabolically inert adenine nucleotides were located in the dense granules, but lysosomal enzymes were thought to be in  $\alpha$ -granules that had been differentiated from dense granules by electron microscopy. In 1977, Fukami and Salganicoff<sup>222</sup> reviewed the evidence for the various types of storage organelles in platelets, pointing out that in addition to dense granules and alpha granules, there may be at least two types of lysosomal granules.

A hereditary defect caused by a deficiency in the storage pool of adenine nucleotides (storage pool disease) was discovered by Holmsen and Weiss<sup>223</sup> in 1970. Subsequently, a number of congenital abnormalities of granule contents or their release have been identified.

Mustard and coworkers<sup>224</sup> reported release of a permeability factor in 1965, and in 1974 Ross and his colleagues<sup>66</sup> discovered that a platelet-derived growth factor (PDGF) for smooth muscle cells was released from blood platelets upon clotting of blood or platelet-rich plasma.

The finding in the mid-1980s that an alpha granule membrane protein (PADGEM, GMP 140, P-selectin, CD62P) appeared on the surface of platelets as their contents were released<sup>225-227</sup> has led to its detection by flow cytometry as a means of determining the extent of release of alpha granule contents<sup>228</sup>. P-selectin has been shown to mediate adhesion of platelets to neutrophils and monocytes<sup>229</sup>.

### Platelet procoagulant activity, PF3, and microparticles

Activated platelets contribute to coagulation by providing a catalytic phospholipid surface for the 'tenase' and 'prothrombinase' reactions. As early as 1936, Chargaff and coworkers<sup>230</sup> demonstrated that a phospholipid fraction from horse platelets accelerated clotting in cell-free chicken plasma, but this finding was ignored, possibly because of the cross-species complication. It was not until the early 1950s that van Creveld and Paulssen<sup>231,232</sup> and Alkjaersig, Abe and Seegers<sup>233</sup> studied the role of platelets in coagulation and called the procoagulant material platelet factor 3 (PF3, now known as platelet procoagulant activity or PCA). For some time there was debate about how this

activity became available on the surface of platelets since it appeared to be masked on intact platelets<sup>234</sup>. In 1965, Hardisty and Hutton<sup>235</sup> concluded that adhesion of platelets to an activating surface was necessary for an optimal effect, and that ADP-induced aggregation alone did not make PF3 available. In the same year, Spaet and Cintron<sup>236</sup> showed that connective tissue particles caused platelets to develop PF3 activity. Later, Joist and colleagues<sup>237</sup> used washed platelets in an artificial medium to demonstrate that PF3 becomes available on the surface of platelets that have undergone a release reaction.

In the 1980s, phosphatidyl serine (PS) was identified as responsible for the procoagulant activity of stimulated platelets<sup>238,239</sup>, and the evidence obtained from experiments with red cells was applied to platelets regarding the asymmetric nature of membrane phospholipid distribution. Between 1987 and 1996, three enzymes – an ATP-dependent translocase, a floppase, and a scramblase – were shown to be responsible for transbilayer movement of phospholipids and the exposure of PS on the surface of stimulated platelets<sup>240-243</sup>. The identification in 1979 by Weiss and coworkers of a patient with a defect in platelet procoagulant activity (Scott syndrome) has contributed significantly to our understanding of these mechanisms<sup>244,245</sup>.

A characteristic event that accompanies the availability of platelet procoagulant activity is the shedding of membrane-derived microvesicles or microparticles from the surface of activated platelets. Although these microparticles were reported by Wolf<sup>246</sup> in 1967, only a few investigators took an interest in them<sup>247-249</sup> until Sims and his group<sup>250</sup> in 1988 began investigating the effect on platelets of the membrane attack complex of the complement system (C5b-9) and found that membrane vesicles were released. These microparticles provided a catalytic surface for the prothrombinase complex and were highly enriched in alpha-granule-derived factor V (or Va); they also contained GPIIb, GPIIb/IIIa and P-selectin. Microparticles are also formed when platelets are stimulated with the calcium ionophore A23187, or with the combination of thrombin and collagen<sup>251</sup>, and they have been detected in the blood of patients exhibiting intravascular platelet destruction<sup>252</sup>. Flow cytometry has facilitated the study of microparticles<sup>228</sup>. It has been speculated that since microparticles possess many of the properties of intact platelets, they might be stored and transfused as agents promoting hemostasis<sup>253</sup>.

## Aspirin

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Although prolongation of the bleeding time by aspirin had been recognized as early as 1955 by Beaumont and co-workers<sup>254</sup>, and then by others<sup>255,256</sup>, including Quick in 1966<sup>257</sup>, it was not until 1967 that several groups of investigators reported that aspirin inhibited platelet aggregation and the release of granule constituents.

Our group's involvement in the discovery of the inhibitory effect of aspirin on platelet aggregation began as the result of serendipity. In 1963, we were measuring platelet survival times in elderly, atherosclerotic World War I veterans, many of them suffering from gout<sup>258</sup>. Upon examining the data, we realized that those who were taking the uricosuric agent sulfinpyrazone had longer platelet survival times than those who were not receiving this medication. We then showed that administration of sulfinpyrazone prolonged shortened platelet survival and reduced platelet adhesiveness to glass<sup>259</sup>. This finding led to in vitro tests of the effect of the pyrazole compounds, sulfinpyrazone and phenylbutazone, on platelet aggregation induced by several agonists, and the discovery that these non-steroidal anti-inflammatory drugs blocked aggregation induced by collagen, antigen-antibody complexes and gamma globulin-coated surfaces and inhibited release of adenine nucleotides, although the drugs did not affect the primary phase of ADP-induced aggregation<sup>260</sup>. In addition, with rabbits, intravenous infusion of sulfinpyrazone or phenylbutazone impaired hemostatic plug formation at the ends of transected mesenteric vessels<sup>260,261</sup>. Since aspirin was a well-known anti-inflammatory drug, we tested it in vitro and reported similar inhibitory effects in June of 1967 at the Oak Ridge Platelet Symposium<sup>34</sup>. (A full paper about these findings was published in 1968<sup>35</sup>.) At this time, Weiss and Aledort<sup>36</sup> were following up on Quick's<sup>257</sup> observation of prolonged bleeding times in subjects who had taken aspirin, and observed that aspirin ingestion impaired collagen-induced aggregation and inhibited release of ADP. Also in 1967, Zucker and Peterson<sup>262</sup> noticed that the second phase of ADP-induced aggregation did not occur in citrated platelet-rich plasma from individuals who had consumed aspirin, and O'Brien<sup>263</sup> found that epinephrine-induced aggregation in citrated platelet-rich plasma was also inhibited; this inhibitory effect persisted for 2–6 days after ingestion of a single subclinical dose. Although it was known from experiments with <sup>14</sup>C-labelled acetylsalicylic acid in 1969 that a protein in platelets was acetylated by aspirin<sup>264</sup>, it was not until 1975 that Roth and his colleagues<sup>265</sup> demonstrated irreversible acetylation of cyclooxygenase by aspirin, thus accounting for the persistence of the aspirin effect.

In the early 1990s, it was recognized that there are two isoforms of cyclooxygenase, a constitutive form, COX-1, and an inducible form, COX-2. Only COX-1 is present in platelets, whereas COX-2 is expressed in other cells after an inflammatory insult. Aspirin and other non-steroidal anti-inflammatory drugs inhibit both COX-1 and COX-2, but drugs have now been developed that selectively inhibit COX-2, for the treatment of arthritis and possibly other conditions<sup>266</sup>.

With the realization that platelet aggregation could be inhibited by sulfinpyrazone or aspirin, large scale clinical trials were launched in the early 1970s, before there was a thorough understanding of the enzymatic reactions in platelets that were inhibited by these drugs. The earliest trials varied in their designs, but they all involved male subjects who had already suffered from myocardial infarction, and the end points were short term reinfarction or death<sup>267</sup>. Later trials of secondary prevention enrolled patients who had a history of stroke, transient cerebral ischemia, myocardial infarction, or unstable angina. By the late 1980s, it was calculated that meta-analysis of 25 trials showed that aspirin caused a 25% reduction in the risk of developing a subsequent important vascular event<sup>268,269</sup>. Interestingly, these clinical trials were well under way before it was discovered that in the 1950s, Craven<sup>270,271</sup> had reported in the *Mississippi Valley Medical Journal* that aspirin prevented coronary and cerebral thrombosis. He had studied approximately 8000 men taking 5 to 10 grains of aspirin daily.

Aspirin is now routinely administered to patients at risk of the thromboembolic complications of coronary artery disease. The demonstration by Barnett and colleagues that aspirin reduced the incidence of transient ischemic attacks and ischemic stroke<sup>272</sup> has led to its continued use in this condition also<sup>273</sup>. However, there has been controversy over the most suitable dose of aspirin, with suggestions of as little as 40 mg every other day as an appropriate dose.

## Prostaglandins

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While the findings that sulfinpyrazone and aspirin inhibited platelet aggregation were unfolding, research on prostaglandins was accelerating although, initially, it did not involve platelets. Prostaglandins had been isolated from human seminal plasma and sheep seminal vesicles in the early 1930s and crystallized in 1957<sup>274</sup>. The prostaglandins are formed from polyunsaturated fatty acids. It was not until 1967 that there were reports of PGE<sub>1</sub> inhibiting platelet aggregation<sup>275–277</sup>; it was shown to increase cyclic AMP in platelets by stimulation of adenylyl cyclase<sup>278</sup>. Zucker<sup>279</sup>

had reported in 1965 that cyclic AMP inhibited platelet aggregation and the release reaction.

However, PGE<sub>1</sub> is not produced by platelets; it was PGE<sub>2</sub> and PGF<sub>2α</sub> that were first detected as being formed by platelets during aggregation, and neither of them caused platelet aggregation<sup>280</sup>. In 1969, Piper and Vane<sup>281</sup> reported that formation of an unstable 'rabbit aorta contracting substance' (RCS) from sensitized guinea pig lungs was inhibited by aspirin-like drugs; the activity of this short-lived substance was later shown to be due to prostaglandin endoperoxides<sup>282</sup>, designated PGG<sub>2</sub> and PGH<sub>2</sub><sup>125</sup>. Two publications showing that aspirin inhibited the formation of prostaglandins were published simultaneously in 1971<sup>283,284</sup>, although only one of them dealt with platelets<sup>283</sup>. In 1973, arachidonic acid was reported to aggregate platelets<sup>158</sup>, and platelets aggregated by arachidonic acid were shown to release RCS<sup>285</sup>. In 1974, three groups showed that the endoperoxides PGG<sub>2</sub> and PGH<sub>2</sub> were formed by platelets and released into the medium upon stimulation by collagen, epinephrine, arachidonic acid, or thrombin<sup>37,125,286,287</sup>. Also in 1974, Hamberg and his colleagues isolated and determined the structure of PGG<sub>2</sub> and PGH<sub>2</sub> that cause platelet aggregation and proposed that aspirin inhibited the cyclooxygenase responsible for their formation<sup>125</sup>. In 1975, Hamberg and his coworkers identified thromboxane A<sub>2</sub> as a short-lived, potent inducer of platelet aggregation that was derived from the prostaglandin endoperoxides<sup>38</sup>. It is now well established that the inhibitory effects of aspirin on platelet functions are due to its irreversible acetylation of cyclooxygenase, thus preventing the formation of the prostaglandin endoperoxides and thromboxane A<sub>2</sub><sup>288</sup>.

In 1976, Moncada and his colleagues in Vane's group<sup>289</sup> discovered PGX (later named PGI<sub>2</sub> or prostacyclin) as a prostaglandin metabolite formed from arachidonic acid in vascular tissue; prostacyclin is a strong inhibitor of platelet aggregation and thrombus formation<sup>290</sup>. Platelet adhesion is also inhibited by prostacyclin, but the concentration required is about ten times greater than the concentration that inhibits platelet aggregation. In 1977 two groups showed that the inhibitory effect of prostacyclin on aggregation was due to its ability to raise the concentration of cyclic AMP in platelets<sup>291,292</sup>.

### Cyclic AMP

Several drugs that inhibit phosphodiesterase and hence maintain levels of cyclic AMP in platelets were investigated before their mechanism of action was understood. Dipyridamole was already in use in man as a coronary

vasodilator before its weak inhibitory effects on platelet aggregation were recognized in 1965 by Emmons and his colleagues<sup>293</sup>. Ardlie and coworkers<sup>294</sup> documented inhibition of platelet aggregation by the methylxanthines, caffeine and theophylline in 1967. Papaverine, dipyridamole and other pyrimido-pyrimidines were shown to enhance the inhibitory effects of adenosine on platelet aggregation<sup>295,296</sup> and block the incorporation of adenosine into platelets. In a major study in 1971, Mills and Smith<sup>297</sup> showed that adenosine increased the concentration of cyclic AMP in platelets and that methylxanthines, papaverine, dipyridamole and other pyrimido-pyrimidines acted as phosphodiesterase inhibitors, preventing the conversion of cyclic AMP to AMP and thus greatly increasing the inhibitory effects of PGE<sub>1</sub> and adenosine on platelet aggregation. Normally, any adenosine formed in plasma is rapidly taken up by red blood cells, but Gresele and his colleagues<sup>298</sup> have suggested that since this uptake is prevented by dipyridamole, the drug may exert most of its inhibitory effect in whole blood by increasing the concentration of adenosine in plasma.

In 1972, Harker and Slichter<sup>299</sup> reported that shortened platelet survival was prolonged by administration of dipyridamole, an effect that was similar to that exerted by sulfinpyrazone which was already being tested in clinical trials for secondary prevention of myocardial infarction. The effect of dipyridamole on platelet survival, the recent new knowledge about its inhibition of platelet aggregation, and the fact that it was already in use in man, led to clinical trials of this drug. However, large clinical trials comparing dipyridamole plus aspirin with aspirin alone for the secondary prevention of coronary artery disease have not shown a significant additional benefit by the inclusion of dipyridamole<sup>269</sup>.

### Cyclic GMP and nitric oxide

There were reports in 1975 that cyclic GMP increased during platelet aggregation<sup>300</sup>, but in 1981, Mellion and colleagues<sup>301</sup> provided evidence for inhibition of aggregation by cyclic GMP when its concentration was increased by nitric oxide, nitroprusside or related vasodilators. It had already been shown that nitroprusside inhibited platelet aggregation<sup>302,303</sup>, and that it caused the formation of cyclic GMP in platelets<sup>304</sup>. In 1987 Palmer and coworkers<sup>305</sup> showed that nitric oxide accounted for the activity of EDRF (endothelium-derived relaxing factor) which had been discovered by Furchgott and Zawadzki<sup>306</sup> in 1980. Nitric oxide inhibits platelet adhesion as well as aggregation<sup>307</sup>. In 1993 Radomski and Moncada<sup>308</sup> suggested that the small

amount of nitric oxide synthesized by stimulated platelets could account for the early observations of an increase in cyclic GMP caused by platelet aggregating agents.

### Signal transduction

Although the results of activation of platelets – adhesion, aggregation, release of granule contents – had been thoroughly studied, it was not until the 1980s that the focus of attention shifted to receptors and the internal signalling events responsible for these platelet functions. The recent history on signal transduction is too voluminous to be reviewed in detail here and can be found in other chapters in this section.

### Calcium and phosphoinositides

It was known in the 1960s that external  $\text{Ca}^{2+}$  is required for platelet aggregation<sup>115,309</sup>, but most of the evidence about the role of increases in internal calcium was indirect<sup>310</sup> until 1982 when Rink and colleagues<sup>311</sup> began the use of fluorescent indicators such as Quin 2 developed by Tsien to measure increases in intracellular calcium during platelet activation. The  $\text{Ca}^{2+}$ -sensitive photoprotein, aequorin, was also used by some investigators<sup>312</sup>. The role of calcium as an intracellular messenger was reviewed by Salzman and Ware<sup>313</sup> in 1989 and Rink and Sage<sup>314</sup> in 1990 (see Chapter 17).

In 1953, Hokin and Hokin<sup>315</sup> had pointed out that phosphoinositide metabolism was involved in stimulus-response coupling in cells, and eight years later Firkin and Williams<sup>316</sup> provided evidence of turnover of platelet phosphoinositides *in vivo*. Using  $^{32}\text{PO}_4$ -labelling, Lloyd and his colleagues in our group showed rapid turnover of phosphatidylinositol 4,5-bisphosphate ( $\text{PIP}_2$ ) and phosphatidylinositol 4-phosphate (PIP) when platelets were activated with ADP<sup>317</sup> or with collagen or thrombin<sup>318</sup>. In 1975, Michell<sup>319</sup> proposed that hydrolysis of phosphoinositides is responsible for mobilizing internal calcium. This hydrolysis is due to the activation of phospholipase C which acts on  $\text{PIP}_2$  to form inositol 1,4,5-trisphosphate ( $\text{IP}_3$ ) and diacylglycerol (DAG)<sup>320,321</sup>.  $\text{IP}_3$  is responsible for mobilizing calcium from internal stores<sup>322</sup>. (Calcium influx from the external medium also occurs<sup>323</sup>.) DAG activates protein kinase C which phosphorylates pleckstrin and myosin light chain kinase<sup>324</sup>. Platelet signalling through the action of tyrosine kinases is reviewed in Chapter 19.

### G proteins

It was not until the late 1980s that it became evident that when aggregating agents interacted with their receptors on the platelet membrane, intracellular signalling began with GTP-binding regulatory proteins called G proteins. As this subject has developed, it has been frequently reviewed<sup>325–328</sup> and is discussed in Chapter 14.

### Contractile proteins and the cytoskeleton

Bettex-Galland and Lüscher<sup>329</sup> isolated a crude preparation of actin and myosin from human platelets in 1959, providing the first definite evidence that there are contractile proteins in vertebrate nonmuscle cells. They called this actomyosin-like protein ‘thrombosthenin’<sup>330</sup>. Interest in it was slow to develop, although its involvement in platelet shape change, spreading, release of granule contents, and clot retraction was assumed<sup>331,332</sup>, and has now been established. Detailed studies of the platelet cytoskeleton began in the early 1980s when Phillips and coworkers introduced a technique to obtain a Triton-insoluble filament network from stimulated and unstimulated platelets<sup>333,334</sup> (see Chapter 6).

### Conclusion

In the last 50 years there has been an explosive growth in the knowledge of how platelets function, their role in sustaining the integrity of the vascular system, and their response to vessel injury in relation to hemostasis and arterial thrombosis. A better understanding has developed of factors necessary for platelet adhesion to biological surfaces, and how constituents of the vessel wall, particularly collagen, can activate platelets, leading to release of granule contents and formation of thromboxane  $\text{A}_2$ . Investigations of reactions at the molecular level are providing fresh insights into the basic biological processes through which cells respond to stimuli. The new knowledge has led to substantial advances in our management of disorders of hemostasis and the prevention and treatment of thromboembolism. We still face the challenge that inhibition of platelet function to reduce the risk of arterial thromboembolic problems carries with it the risk of bleeding.

All the chapters in this volume will describe advances built on the work of earlier generations. As Caws<sup>335</sup> wrote in 1969, ‘The development of science is a stepwise process; nobody starts from scratch, and nobody gets very far ahead of the rest.’

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## Production of platelets

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### Introduction

Platelets are small anucleated cell fragments, which are essential components of primary haemostasis. They are derived from the cytoplasmic fragmentation of a giant precursor cell, the megakaryocyte (MK). MKs arise and mature in the bone marrow, along with the other blood cell precursors, e.g. granulocytes and erythroblasts. 150 to  $400 \times 10^9/l$  platelets usually circulate within normal human blood with an average lifespan of approximately 10 days. Therefore one would predict that about  $15 \times 10^9 - 40 \times 10^9$  platelets have to be produced each day to maintain normal levels. Appropriate platelet production is not only dependent upon a normal rate of thrombopoiesis but also upon the delivery of platelets of the correct size and functionality with normal subcellular organization. Therefore, the qualitative as well as the quantitative aspects of thrombopoiesis will be discussed in this chapter.

### Cellular aspect of platelet production

#### MK localization

Normal human MKs, are mainly located in the bone marrow (Fig. 2.1, see colour plate). They are often gathered into small groups usually up to three, which consist of different cells of various size, ploidy and maturation stages. They are usually located in close proximity to a vascular sinusoid<sup>1</sup>: This is important as MKs and endothelial cells can communicate by many potential pathways: MKs contain mitogenic factors for endothelial cells, e.g. vascular endothelial growth factor (VEGF)<sup>2</sup>, whereas endothelial cells express receptors and adhesion molecules which can potentially retain MK close to the bloodstream where future platelets could be delivered<sup>3</sup>. Their accumulation near the

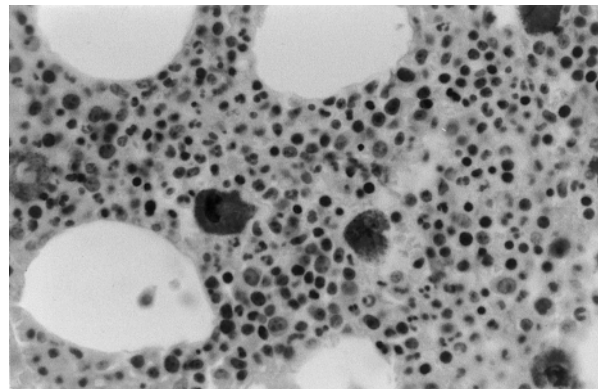


Fig. 2.1 (see also colour plate). Human bone marrow section stained for fibrinogen by the APAAP technique: MKs specifically stain red. They display a large size and polylobulated nucleus, and are quite seldom in the marrow space compared with the other hematopoietic cell lines. They often stand close to a vascular sinusoid.

sinusoids also potentially represents a physical barrier for other marrow cells migrating into the circulation. However, foreign cells are able to penetrate the MK through the open channels of the demarcation membranes and transmigrate across the whole MK volume without any significant damage to either cell. This natural phenomenon, termed 'emperipolesis', is occasionally observed within normal bone marrow but is distinct from phagocytosis<sup>4</sup>. However, in pathological conditions, e.g. myeloproliferative syndromes with myelofibrosis, the frequency of emperipolesis is not only increased but becomes pathogenic to both cell types with disastrous consequences to the immediate cellular environment<sup>5</sup>. In animal species such as mice and rats but not humans, maturing MKs are equally distributed in the bone marrow and the spleen, and to a lesser extent in the liver<sup>6,7</sup>. In addition, MKs are also observed within the pulmonary circulation of both human and animals<sup>8</sup>.

Remarkably, mature bone marrow MKs are extremely deformable and are not only able to adhere to, but transmigrate across, the sinusoidal endothelial barrier to enter the circulation<sup>9</sup>; the first capillary bed that they encounter is the pulmonary microcirculation in which they can be easily entrapped. Intact, fully mature, large MKs have definitely been recovered in the lung circulation, while large MK naked nuclei can be found downstream from the lungs, in the aorta<sup>10</sup>. These observations, which implicate the lung as a major site of platelet production are discussed in detail within the last section of this chapter.

### MK diploid precursor

MKs are derived, together with the other hematopoietic cell lines, from a pluripotent hematopoietic progenitor, which becomes committed to the megakaryocytic lineage that is present in the bone marrow. They can also be recovered from either the peripheral blood or cord blood where they are particularly abundant. During the proliferation stage, the diploid MK progenitors multiply by conventional mitosis. These progenitors are not yet morphologically recognizable and can only be identified through some of their properties obtained in culture<sup>11,12</sup>.

The diploid committed precursors have a proliferative capacity but display no distinctive morphological features, and have been characterized based on their functional capacities: BFU (burst forming unit) -MK, the earliest identifiable progenitor, is present in the bone marrow as a CD34+ blast cell which has a proliferative capacity, and gives rise to large colonies of MK (40–500 cells per colony) after 16–20 days of culture. CFU (colony forming unit) -MKs are a heterogeneous population of cells (colony forming cell), and express the CD34 antigen and HLA-DR, and eventually platelet specific glycoproteins (Gp) such as GpIIb/IIIa<sup>13</sup>. Electron microscopy is also a sensitive tool which can be utilized to cytochemically detect the presence of platelet peroxidase (PPO) in the perinuclear cisternae and endoplasmic reticulum; the enzyme is absent from the Golgi apparatus and specific to the human species<sup>14</sup>. In contrast, acetylcholinesterase is a useful marker of the MK lineage of rodents and cats<sup>6,7</sup>.

### MK maturation and differentiation

Cell maturation and differentiation is a continuous and progressive process. However, three sequential MK maturation stages have been identified according to observations by light microscopy<sup>15</sup>.

MK stage I or megakaryoblast is the first morphologically recognizable MK cell. Under the light microscope, it

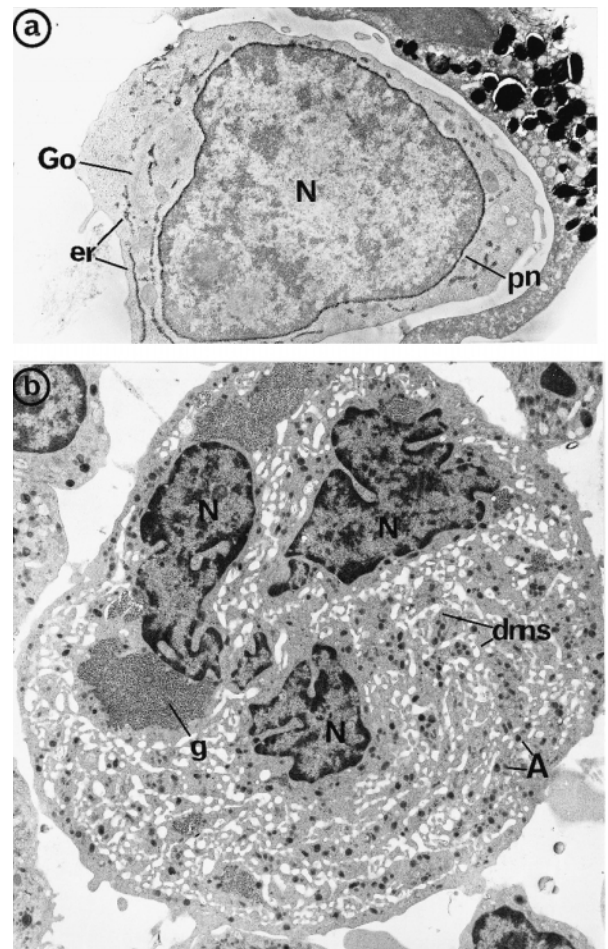


Fig. 2.2. Electron microscopic aspect of MKs at various maturation stages. (a) The diploid MK precursor lacks distinctive morphological features, but can be identified based on its content of peroxidase activity revealed by a cytochemical reaction which specifically opacifies the perinuclear cisternae (pn) and endoplasmic reticulum (er). Golgi complex (Go) (apart from an occasional cisternae) and the rare secretion granules are consistently negative. N = nucleus ( $M \times 4367$ ). (b) Mature bone marrow MKs are characterized by their large size and multilobed nucleus (N). They contain specific organelles, i.e. numerous  $\alpha$ -granules (A) and a well-developed demarcation membrane system (dms) regularly scattered throughout the cytoplasm. This system is formed by the invagination of the plasma membrane, develops extremely rapidly and is the precursor of the platelet membrane systems. g = glycogen ( $M \times 2594$ ).

resembles the pluripotent hematopoietic stem cell or hemoblast: high nucleus/cytoplasm ratio, immature thin chromatin, prominent nucleoli, and a small rim of basophilic cytoplasm. The distinctive feature is its large nuclear size, which reflects the fact that its ploidy has increased. At



the electron microscope level, the nuclear chromatin is mainly clear, transcriptionally active euchromatin. The cytoplasm is rich in ribosomes undergoing active protein synthesis and responsible for basophilia. A few mitochondria of large size are randomly scattered (Fig. 2.2(a)). At the next maturation stage, MK stage II, the nucleus displays a budding shape, having nearly completed its final ploidy maturation, and the cytoplasm extends and becomes deeply basophilic. MK stage III is the platelet forming stage: the cell reaches its maximal size, the nucleus is polylobulated – on squash bone marrow preparations, the number of nuclear lobes appears to be correlated to ploidy – and the cytoplasm becomes progressively azurophilic with a platelet-like red stippling progressively replacing the basophilia.

Ultrastructural examination facilitates the delineation of subcellular events accompanying MK growth and differentiation: the nucleus increases its DNA content and ploidy by a unique phenomenon called endomitosis. In parallel, two specific types of organelles: the demarcation membrane system and the secretion granules develop simultaneously<sup>16</sup>.

## Nucleus and endomitosis

### Nucleus

Upon cell maturation, the nucleus displays several sparse rounded lobes with abundant euchromatin, and conspicuous nucleoli. Its maturation is characterised by constant size increase and segmentation, and by chromatin clumping. Close to the final maturation stage of MK, the structure and texture of the nucleus begin to change. The nuclear lobes tend to elongate and gather together, the chromatin becomes coarser, and long clefts of cytoplasm extend between each lobe. Finally, the nucleus has usually terminated its growth when the main events of the synthesis of cytoplasmic organelles are taking place.

### Endomitosis

At the end of the proliferative phase, 2N MK precursors endomitose until a level ranging from 4N to 64N is reached; most cells which give rise to platelets are thought to be 8, 16 or 32N with a modal number of 16N in humans. However, the exact signal(s) which switch the cell from normal mitosis to endomitosis are still unknown. Polyploidization of MK is unique among normal mammalian cells in that multiples of 2N chromosomes are enclosed within a single nucleus and surrounded by a single nuclear membrane. To achieve this, MKs undergo a unique process called endomitosis during which DNA duplicates, followed by nucleus segmentation

appropriately, but without apparent nuclear or cytoplasmic division<sup>17,18</sup>. Morphological studies on the structure of MK endomitosis have shown that a multipolar mitotic spindle is formed, with the number of spindle poles in a single MK varying from 4 to 64 N. The nuclear membrane breaks down and disappears during polyploidization as in any classical diploid mitosis. Metaphase occurs and multiple mitotic spindles form with spindle poles symmetrically located at right angles from one another. During anaphase, many bundles of sister chromatids are pulled apart toward the spindle pole they face. However, each pair of centrioles remains closer to each other than in normal cell division and neither telophase, nor cytokinesis (cellular division) follow the unusual truncated anaphase. Eventually, spindles remain shorter than normal ones, connected to each other, a single pole connecting to several of them. In addition, the multiple pole mitotic spindle creates a spherical structure, but does not cross the cell centre and is often located aside within the sphere. Finally, the chromosomes segregate near each pole, forming the nuclear lobes.

## Cytoplasmic differentiation

### Demarcation membrane system

During the maturation process, a network of smooth membrane channels are formed, i.e. the demarcation membrane system (Fig. 2.2(b)). This is a large complex of intracytoplasmic channels formed by the invagination of the plasma membrane forming multiple channels throughout the entire cytoplasm<sup>16,19</sup>. Their lumen remain connected to the extracellular space, as demonstrated by the penetration of electron dense tracers, like horseradish peroxidase. This membrane system expands very rapidly and becomes widespread within the whole cytoplasmic volume, expanding more than 700% within 72 hours. This system is designed to furnish a large reserve of membrane, which is destined to form the future platelets. The protein composition of both plasma membrane and demarcation membranes remains remarkably similar. The major platelet receptors, which are usual components of the mature MK membrane, are equally expressed within the demarcation membrane system: GpIIb/IIIa which becomes activatable only in the mature cell, the GPIb/IX/V complex, and GPIV (CD36) appear sequentially during early stages of maturation along with GPVI<sup>20-23</sup>.

### Secretion granules

The secretion granules of three main types are formed in parallel: alpha-granules, dense granules and lysosomes.

### Alpha-granules

These initially arise in the trans-Golgi network (TGN), originating from the assembly of endogenously synthesized proteins. They contain a wide variety of components. They are mostly involved in hemostasis such as the adhesive proteins (fibrinogen, von Willebrand factor, thrombospondin, fibronectin . . .) and other specific factors like  $\beta$ -thromboglobulin ( $\beta$ -tg) and platelet factor 4 (PF4); other components are implicated in wound healing, mainly growth factors (PDGF, TGF- $\beta$ , EGF, VEGF). Alpha-granule components are not only synthesized by the MK itself but also originate from plasma proteins endocytosed during MK maturation<sup>24–27</sup>; endocytosis is either fluid-phase (albumin, immunoglobulins) or receptor-mediated, e.g. fibrinogen through its receptor GpIIb/IIIa<sup>28,29</sup>. Endocytosed proteins seem to appear later in the MK than endogenously synthesized proteins (von Willebrand Factor), and their distribution patterns within the maturing MK cytoplasm are distinct, i.e. centrifugal and maximal at the cell periphery for the former, but centripetal and predominant in the juxtannuclear area for the latter<sup>30</sup>. The alpha-granule membrane contains numerous receptors which appear during MK maturation, e.g. CD62p (P-selectin), GpIIb/IIIa, CD36, CD9, and PECAM1. Most of them appear to be expressed first at the plasma membrane, and become subsequently internalized. Tagging plasma membrane GpIIb/IIIa indicates that the internal pool of alpha granular GpIIb/IIIa directly originates from the internalized plasma membrane pool<sup>31</sup>.

### Dense granules

These are formed early during MK maturation, since specific components of their limiting membrane such as granulophysin, are detected in megakaryoblasts<sup>32</sup> but they acquire their dense content (Ca<sup>2+</sup>, active uptake of serotonin) at the terminal stage of maturation. They also share some common components with the  $\alpha$ -granular membrane, e.g. CD62p and GpIIb/IIIa<sup>33,34</sup>. An intermediary compartment, the multivesicular body has recently been identified as an apparent crossroad located downstream from TGN, where endogenously synthesized and endocytosed granule components are sorted to their correct respective intracellular locations<sup>32,35</sup>.

### Lysosomes

These are scarce and their content can be visualized by electron microscopic cytochemistry. They contain acid hydrolases, such as acid phosphatase,  $\beta$ -galactosidase and  $\beta$ -glucuronidase<sup>36</sup>.

### Mitochondria

Mitochondria are more sparse and smaller in size within the mature cell when compared to megakaryoblasts.

### The endoplasmic reticulum

This is scarce and scattered in the cytoplasm. It contains the specific platelet peroxidase activity (PPO), which is also present in the perinuclear cisternae and reflects the cell content in cyclo-oxygenase<sup>37</sup>.

### Platelet production

Platelet production by MK still appears to be a poorly understood event. No consistent study has been able to document the phenomenon in vivo particularly within humans. Nevertheless, the mechanism of platelet formation and shedding by MK has been approached by several means. In the early 1970s, Thiery et al. observed preparations of bone marrow MKs squashed on a glass slide by using a microcinema technique. They could demonstrate the ability of MK to deform and extend long cytoplasmic filaments called proplatelets<sup>38</sup>.

### Mechanism and site of platelet release by MKs

Several theories have been raised concerning the formation of platelets by MKs: budding of platelets from the cell surface; delineation within the MK cytoplasm of small preformed cytoplasmic territories ready to fragment, eventually released at the end of cell maturation (static model)<sup>39</sup>; or demarcation membranes as a reservoir for the emission of long filaments named proplatelets which cross the endothelial barrier in order to enter the circulation, break, and form small fragments ready to detach as platelets (flow model)<sup>40–45</sup>. Recent images obtained on cells having reached full cytoplasmic maturity in culture, in the presence of TPO, favour a third hypothesis of proplatelet formation (Fig. 2.3). Although an extension of the above flow model, formation of platelets is apparently preceded by the extension of proplatelets as well as dilatation of the demarcation membrane system. The following events leading to platelet formation are sequentially observed in vitro<sup>45</sup>: peripheral redistribution and alignment of demarcation membranes; dilatation of the demarcation membranes; extension of proplatelets displaying regular constriction zones; with transverse microtubules disposed along longitudinal bundles of microtubules at the constriction zones; breakage at the constriction area and liberation of a newly formed platelet from the tip of the proplatelet where the classical loop of microtubules is formed<sup>46</sup> (Fig. 2.3, see colour plate, 2.4, 2.5(a)).

An alternative theory proposes that proplatelet fragmentation and platelet shedding may occur in the circulation,

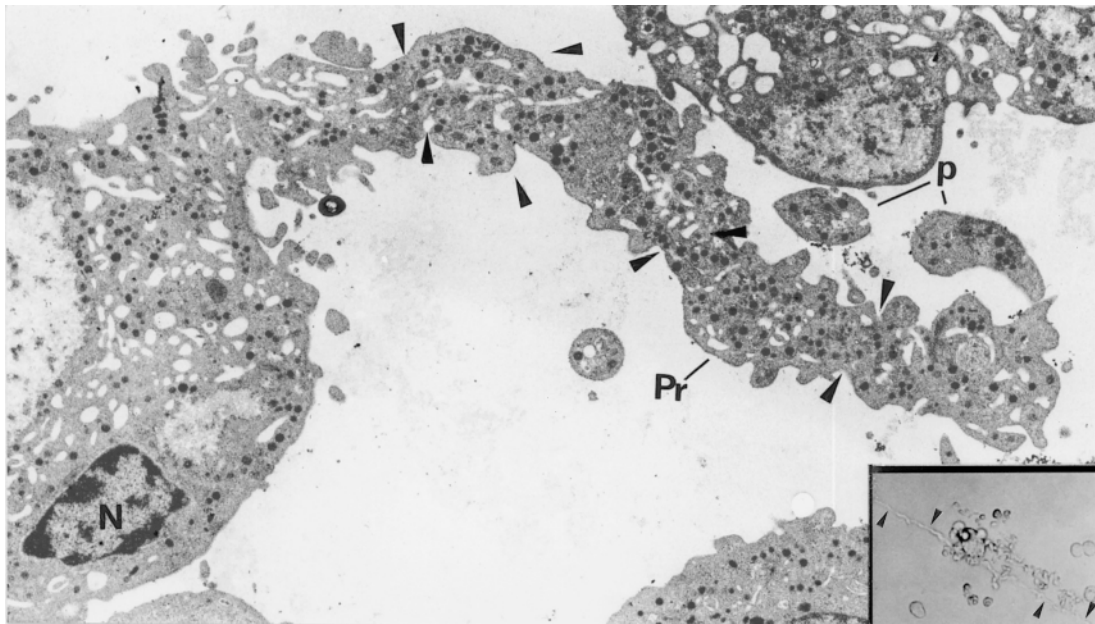


Fig. 2.3 (see also colour plate). After reaching full maturation, MK cytoplasm extends away from the cell core of the mother cell forming one or several long extensions called proplatelets (pr). Some platelets (p) have detached from its tip. N = nucleus ( $M \times 3354$ ). *Inset*: Phase contrast microscopic view of a mature MK extending proplatelets (arrowheads).

following a circadian rhythm, with an apparent peak of platelet liberation in the early morning hours<sup>47</sup>. New platelets display longitudinal microtubules along their long axis, similar to the microtubule organisation in proplatelets<sup>42,45</sup> (Fig. 2.5(b)). Then they curve, both their extremities fuse, generating a central vacuole which tends to decrease until it vanishes, leading to the formation of a mature platelet with a circumferential marginal bundle of microtubules.

#### Transendothelial migration of MK: MK chemotaxis

MKs express the chemokine receptor CXCR4. The action of its ligand, the chemokine stromal cell-derived factor-1 (SDF-1) was found to induce MK chemotaxis, i.e. migration towards a chemotactic gradient<sup>48</sup>. The experiment was conducted in a modified Boyden chamber, showing that whole intact mature MKs can orientate themselves with the formation of a unilateral cytoplasmic pseudopod, the nuclear lobes being gathered at the opposite pole, and can migrate through a transwell of bone marrow endothelial cells. MKs are also able to react to agonists in a similar fashion to platelets<sup>49</sup> (Fig. 2.6), therefore their presence in the circulation might be pathogenic in some situations such as lung diseases. Thrombin induces dramatic morphological changes as early as the megakaryoblastic stage, showing that the protease-activated receptors (PAR)

are expressed early on this cell line. Two other groups made comparable studies and also observed that CXCR4 expression increases with MK maturation, but mature MKs as well as platelets do not respond to SDF-1, suggesting that the signalling induced by SDF-1 is not operating: these results were interpreted as a potential mechanism for retaining immature MKs in the marrow<sup>50,51</sup>.

#### Knockout and transgenic animal models

Targeted disruption of genes, i.e. the knockout approach has recently been extensively developed and has proved to provide useful models for understanding the role of receptors and transcription factors in MK differentiation. Knockout mice for either the mpl-receptor<sup>52</sup> or its major ligand TPO<sup>53</sup> develop severe thrombocytopenia, but platelet levels of approximately 10–15% of normal are still maintained. The transcription factor p45-NFE2 has also been shown to be essential for MK cytoplasmic development since NFE2<sup>-/-</sup> mice fail to produce any platelets, although (dysmorphic) MKs are found within the bone marrow<sup>54</sup>. These cells remain with a cytoplasm of drastically reduced size and also fail to show any apparent signs of proplatelet formation<sup>55</sup>. In addition, the nucleus remains hypoploid. Thromboxane synthase and  $\beta$ 1-tubulin are also absent

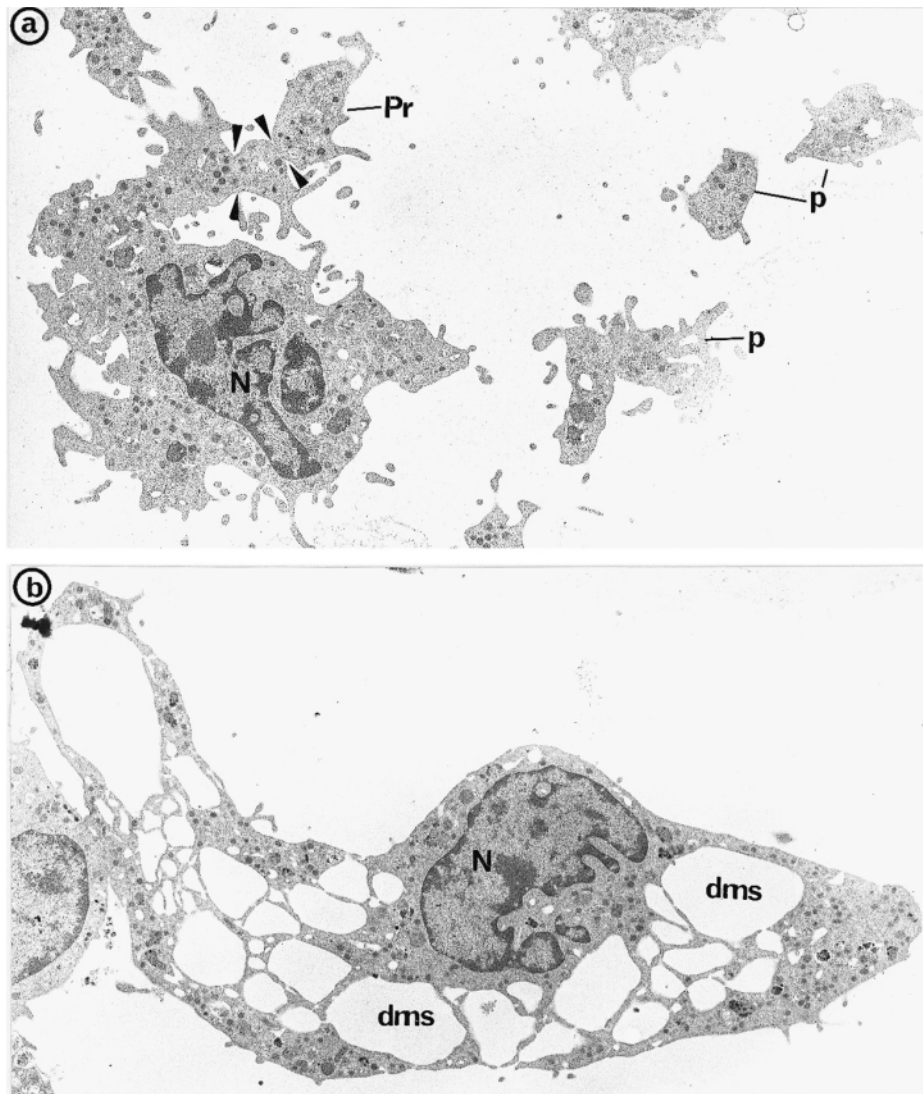


Fig. 2.4. At the platelet shedding stage. (a) The peripheral sheet of cytoplasm unfolds from the cell core, forming an elongated proplatelet process (pr). Constriction zones are regularly disposed along this cytoplasmic extension (arrowheads), delimitating future platelet territories. Platelets (p) detach from the tip of the proplatelet (M $\times$ 2660). (b) The MK demarcation membrane system (dms) widens and allows future proplatelets and platelet territories to be formed (arrowheads). N = nucleus (M $\times$ 2660)

from these MKs<sup>56,57</sup>. This is an important observation as  $\beta$ -tubulin is an important cytoskeletal protein within platelets and MK (endo)mitotic spindle structures. As a consequence of these defects, the thrombocytopenia induced in this model is more severe than for either c-mpl and TPO knockout mice. GATA1 knockout mice also exhibit severe thrombocytopenia with both a proliferation defect of MK progenitors and a marked cytoplasmic maturation defect leading to the production of giant platelets<sup>58</sup>. Mice lacking

the transcription factor Fli1 (Fli1 $^{-/-}$  mice) develop thrombocytopenia with abnormal platelet morphology resembling the defects observed in Paris–Trousseau thrombocytopenia<sup>59</sup>. Finally, GpIb knockout mice present phenotype very close to the analogous human disease, Bernard–Soulier syndrome. The mice exhibit severe thrombocytopenia and giant platelets demonstrating the direct role of the receptor for MK cytoplasmic development and delineating platelet territories<sup>60</sup>.

## Regulation of platelet production

At least three main successive events are involved in megakaryopoiesis. First, proliferation of progenitor cells (CD34+) evolving to more differentiated but less proliferative cells, expressing CD41, the thrombopoietin receptor (c-Mpl) and the alpha chemokine receptor CXCR4. Secondly, the megakaryoblastic stage where cells increase their DNA content without proliferating through the specific mechanism of endomitosis. Thirdly, the megakaryocytic stage, at which cells are involved in the maturation process that yields to proplatelet formation and platelet shedding (either *in situ* or after MK migration into the bone marrow capillary system and further fragmentation within the pulmonary blood circulation). Many cellular signals are required to regulate these stages, e.g. cytokine-induced proliferation and differentiation, cytokine-induced migration, cell-matrix interactions and cell-cell interactions (microenvironment). Megakaryopoiesis appears to be promoted by multiple interacting, often redundant mechanisms. We will now develop these mechanisms separately, especially the influence of growth factors, observations from both *in vitro* then *in vivo* experiments, preclinical studies and finally clinical trials and future prospects.

## Thrombopoietin (TPO) search and discovery

It was suspected for a long time that a lineage specific growth factor, thrombopoietin (TPO), promotes megakaryopoiesis in a similar fashion to erythropoietin (EPO) in the model of erythropoiesis. This factor was eventually discovered from the study of the MPLV (myeloproliferative leukemia virus). This retrovirus, when acting on murine hematopoietic progenitors, induces myeloproliferative disorders, and MPLV-infected hematopoietic cells can grow and differentiate usually without a growth factor requirement<sup>61</sup>. From molecular studies, transforming properties of MPLV were attributed to *v-mpl*, a viral envelope-coding oncogene<sup>62</sup>. *c-mpl*, the human homologue of *v-mpl*, was then cloned, and the primary sequence suggested that the receptor was a member of the cytokine receptor superfamily<sup>63,64</sup>. *c-mpl* RNA appears to be specifically expressed within fetal liver cells, CD34+ cells, MK precursors, MKs and platelets. In mice, the *c-mpl* transcript is found in all hematopoietic tissues but thymic cells. In humans, *c-mpl* mRNA is not expressed in non-MK hematopoietic cells. Low expression has also been reported in endothelial cells. *c-mpl* mRNA expression was also found in almost all megakaryocytic-derived cell lines, e.g. UT-7, Mo7E, TF-1, HEL, DAMI, and KU-812<sup>65</sup>. *c-mpl* major expression is detected on primitive stem cells

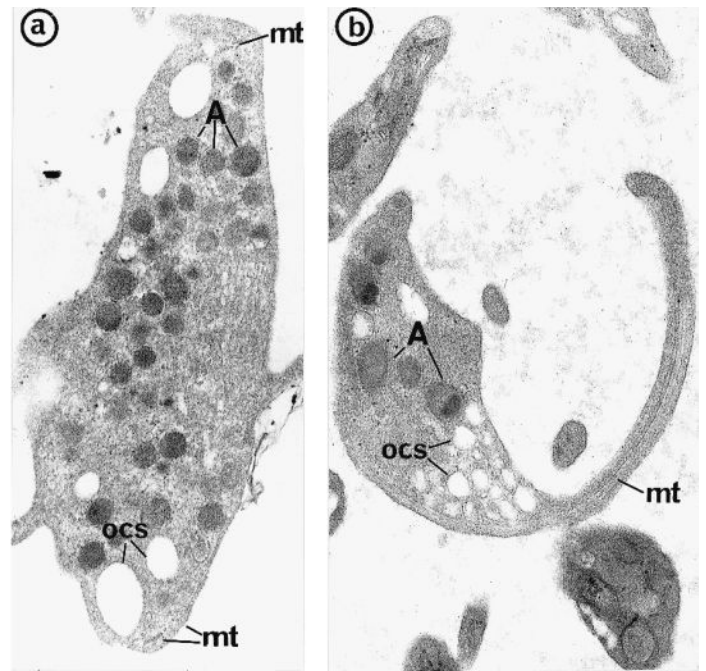


Fig. 2.5. (a) Ultrastructure of a platelet shed by a cultured MK *in vitro*. It resembles blood platelets, with an ellipsoid shape, peripheral microtubules (mt), scattered  $\alpha$ -granules (A) and open canalicular system (ocs) (M $\times$ 8220). (b) Ultrastructure of a platelet from the peripheral blood, with a spindle shape and a longitudinal bundle of microtubules (mt), which resembles the one found along proplatelets. This type of platelet may represent the newly born ones, encountered when platelet production is accelerated. A =  $\alpha$ -granule (M $\times$ 8220).

(CD34+/CD38-), CD34+, GpIIb/IIIa+ and on mature MKs and platelets<sup>66</sup>. Within hematopoietic progenitor cells in culture, transfected antisense oligodeoxynucleotides to *c-Mpl* mRNA totally inhibited the growth of MK colonies without inhibition of other lineage proliferation<sup>67</sup>. *c-mpl*-deficient mice also exhibited specific megakaryocytic lineage and platelet production defects<sup>52</sup>. These results therefore strongly implicated *c-Mpl* as a major MK-lineage specific growth factor receptor. Soon after the description of this specific receptor, a specific ligand and activator of *c-Mpl* was purified, sequenced and cloned using a number of different strategies by several research teams in 1994<sup>68,55,69-73</sup>. This ligand, named *c-Mpl* ligand or megakaryopoietin, is now referred to as thrombopoietin (TPO).

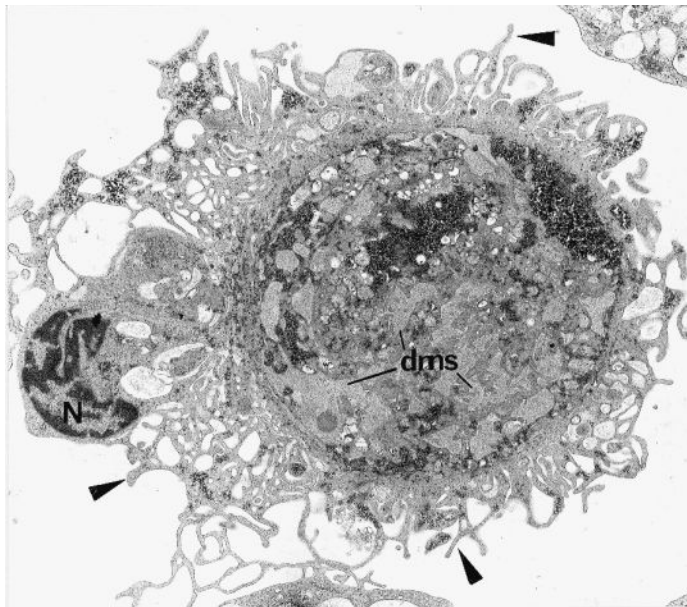


Fig. 2.6. Like platelets, MKs can become activated: thrombin induces shape change, pseudopod emission from the plasma membrane (arrowheads), organelle centralization and granule secretion into the dilated channels of the demarcation membrane system (dms). The nucleus (N) is pushed towards the cell periphery ( $M \times 2581$ ).

### TPO gene, cDNA and protein<sup>68,74,75</sup>

TPO cDNA (1.8 kb) reveals strong inter-species homologies (70 to 80%) and codes for a 353 amino acid protein. The TPO gene locus was reported on the long arm of the human chromosome 3 (3q26–27), a region previously shown to be involved in myeloproliferative disorders. TPO mRNA is expressed strongly in liver and kidney in humans. Expression could also be detected in smooth muscle cells and low amounts within bone marrow stromal and spleen cells; TPO mRNA can also be detected in primary cultures of endothelial cells.

TPO is a 75 to 80 kD protein, with two distinct domains: one N-terminal biologically active domain, 153 amino acids long, with 50% homology to EPO (EPO-like domain); and one carboxy-terminal domain, glycosylated, with no primary sequence homology to any other known cytokine. This latter domain has a putative role in protein secretion and in protein lifespan increase in the systemic circulation.

In cell culture media, *in vivo*, and in clinical trials, two recombinant forms of TPO have been used: one is the *Escherichia coli* expressed part of the EPO-like domain of TPO, the rHu-MGDF for recombinant human MK growth

and development factor. A polyethylene glycol (PEG) derivative form is sometimes used, the PEG-rHuMGDF. It has a longer serum half-life. Another form is a full-length recombinant TPO glycosylated and produced in mammalian cells, named rhTPO. Moreover, and importantly, c-Mpl ligand peptides without any primary sequence homology to the natural c-Mpl ligand compete specifically with TPO for the binding of c-Mpl and display similar megakaryopoietic properties<sup>76</sup>.

### TPO production and regulation

TPO synthesis occurs mainly in liver production. A lower TPO production takes place in the bone marrow stromal cells (which may constitute an important *in situ* source) and also in splenic cells.

The regulation of TPO level and its correlation to platelet production is the centre of debate. In mice, TPO mRNA expression in induced thrombocytopenic or thrombocythemic states was reported to be unchanged<sup>77</sup>. Moreover, in TPO  $-/+$  genetically modified mice (heterozygous), a 50% reduction in platelet count but with no TPO mRNA overexpression, was observed<sup>78</sup>. These data therefore suggest that mRNA expression of TPO is constitutive.

The systemic level of TPO is usually inversely correlated to platelet count<sup>72</sup>. For instance, in c-mpl  $-/-$  mice, a low platelet count in conjunction with low MK number but high TPO plasma level are observed<sup>78</sup>. Transfusion of normal platelets into these mice induces a rapid decrease in TPO level<sup>79</sup>.

Platelet mass as well as total MK total mass are responsible for TPO level regulation: internalization and subsequent degradation of TPO/c-Mpl complex in platelets (and also within MKs) explains the constant regulation of TPO concentration via a post-transcriptional mechanism<sup>80,81</sup>. A similar post-transcriptional regulation of macrophage colony-stimulating factor (M-CSF) level, by monocytes, was previously demonstrated<sup>82</sup>.

Patients with immune thrombocytopenic purpura (ITP) often present with thrombocytopenia, an elevated number of bone marrow MKs, and normal systemic TPO level<sup>83</sup>. Implication of the bone marrow microenvironment has also been emphasised: in ITP patients, a correlation was observed between TPO level in the bone marrow and TPO mRNA expression in bone marrow stromal cells, showing that regulation mainly occurs *in situ*<sup>84</sup>. In bone marrow, TPO is provided by stromal cells, and its mRNA expression modulated by platelet alpha-granular proteins. Dual effects of transforming growth factor-beta 1 (TGF-beta1), a cytokine present at a high concentration in platelets, were

reported, i.e. enhancement or inhibition of TPO mRNA expression in stromal cells<sup>85,86</sup>. However, there seems to be a consensus that TGF-beta exerts an inhibitory effect on MK growth (see further discussion on 'Negative regulation of megakaryopoiesis').

Autocrine and paracrine mechanisms could be involved to modulate TPO production by bone marrow stromal cells, and also by MK *in situ* release of  $\alpha$ -granules proteins. Many interacting mechanisms then participate to regulate TPO levels.

### **TPO biological activities in vitro (cell culture)**

Primary culture studies have established several megakaryopoietic effects of TPO. It appears to be multifunctional by inducing progenitor cell proliferation, MK colony-stimulation and MK maturation.

#### **TPO can promote MK colony formation**

In serum-free cultures TPO induces proliferation of hematopoietic progenitor cells (CD34+, GpIIb/IIIa + or -) from human or murine bone marrow. However, the proliferative effect is relatively weak and TPO only promotes small MK colony formation. To induce the formation of larger colonies from CD34+ cells, the additive effects of cytokines such as Steel factor or interleukin (IL)-3 are also required<sup>87</sup>. Moreover, TPO appears to be dispensable at this stage and a combination of Steel factor, IL-3 and IL-6 can induce similar MK colony formation and MK production from CD34+ cells<sup>87</sup>.

#### **TPO promotes MK differentiation and maturation**

Endomitosis, cytoplasmic maturation and proplatelet formation can be obtained from either blood or bone marrow CD34+ cells, in serum-free media supplemented with TPO alone<sup>88</sup>. These effects were reported to be independent of other cytokine production from MKs, stromal cells, or other cells<sup>74</sup>. Cytoplasmic maturation and proplatelet formation were observed at both optical and ultrastructural levels<sup>18,45</sup>. TPO was reported as the strongest cytokine to induce MK maturation. A combination of cytokines, e.g. IL-11 especially have been shown to also support *in vitro* maturation<sup>89</sup>.

#### **TPO effect on proplatelet and platelet formation is controversial**

*In vitro* produced platelets are morphologically and functionally normal<sup>44,45</sup>. However, TPO has no direct effect on MK cytoplasmic fragmentation and platelet production.

The application of TPO on mature MKs in culture only gives limited proplatelet formation. TPO appears to promote this last stage by inducing proper megakaryocytic cytoplasmic maturation, a critical step for efficient platelet production<sup>90-92</sup>. TPO could be retrieved from culture media when MKs reach end-of-maturation stages without interfering in proplatelet and platelet production.

#### **TPO has also in vitro effects on platelets**

Normal platelets express a small number of TPO receptors<sup>93</sup> and TPO ligation to its receptor can induce platelet homodimerization and cell signal transduction. This cell signal transduction involves downstream events including tyrosine phosphorylation of the intracellular Mpl-R domain, various Janus proteins (JAK2, Tyk2 and sh2) and signal transducers and activators of transcription (STAT3 and STAT5). TPO primes platelet activation: it changes platelet aggregation induced by shear stress or agonists, and also increases fibrinogen binding, platelet adhesion to collagen and CD62p expression<sup>94</sup>. However, and importantly, the TPO levels in these experiments are much higher than physiological levels.

#### **TPO shows pleiotropic biological effects and can act on various cell types**

After c-Mpl ligation, TPO induces c-Mpl intracytoplasmic chain phosphorylation and consecutive activation of the JAK/STAT cell signal transduction pathway<sup>95</sup>.

On hu-AML (acute myeloid leukemia) cells in culture, TPO can induce proliferative effects in a fraction of the cell population; some cells even undergo megakaryocytic differentiation associated with the expression of a set of erythroid/megakaryocyte-specific transcription factors such as NF-E2, GATA-1 and GATA-2; but the precise cascade of molecules involved in cell signal transduction remains to be elucidated<sup>92,96</sup>. These transcription factors are also involved in MK differentiation<sup>97-99,58</sup>.

TPO are also reported to have positive effects on other hematopoietic lineages by synergistically and/or additively cooperating with several hematopoietic growth factors, as EPO by enhancing erythroid lineage production<sup>100</sup> and on early hematopoietic precursors, in synergy with other cytokines<sup>88,101-102</sup>.

#### **In vivo TPO biological activities: genetically manipulated mice confirm the major megakaryocytopoietic effects of TPO**

Mpl-/- deficient mice express approximately 10% residual MKs and platelets with normal ultrastructural morphology

and granule content, with excessive but usually non-lethal bleeding. This demonstrates that TPO is the major growth factor which regulates platelet production<sup>77</sup>. The residual platelet production also demonstrates that other redundant mechanisms also control a limited part of megakaryopoiesis. No significant decrease in white and red blood cells could be measured, but reduced quantity of other lineage progenitor cells were reported, confirming that TPO is efficient at a very early stage of hematopoiesis<sup>103</sup>.

Overexpression of TPO gene transfected in murine hematopoietic stem cells leads to MK lineage hypertrophy, and thrombocytopenia<sup>104</sup>.

### Other thrombopoietic growth promoting cytokines<sup>105,106</sup>

Before the discovery of TPO, various studies reported the megakaryopoietic action of cytokines such as GM-CSF, IL-3, IL-11, SCF, EPO, and G-CSF. However, interest in these cytokines has waned since TPO emerged as the principal thrombopoietic regulator. Although IL-3 and GM-CSF have clear thrombopoietic properties, clinical studies showed that these effects were not useful *in vivo*. The same observation was made for G-CSF. However, the IL-6 cytokine family was potentially more interesting. This family shares a signal-transducing pathway through the gp130 receptor, and includes IL-6, IL-11, oncostatin M (OSM) and the leukemia inhibitory factor (LIF). IL-11 and IL-6 exhibit both pleiotropic and some common hematopoietic effects. Both however seem to be dispensable for megakaryopoiesis. Despite this, a synergy of IL-3, SCF and IL-11 with TPO on the MK colony-stimulating activity in culture, was reported: IL-11 alone or in synergy with IL-3 induces some patterns of megakaryocytic maturation (increased size, ploidy and acetylcholine-esterase production). *In vivo* animal experiments have also demonstrated that IL-11 can enhance both MK progenitor and platelet production. IL-11 was also used in clinical trials for the treatment of aplastic anemia.

### Thrombopoietic negative regulators<sup>107</sup>

#### TGF-beta

TGF-beta especially isoform 1, is a growth inhibitor of megakaryocytopoiesis *in vitro*. It acts on human and murine MK precursors.

#### PF4 and other cytokines of the CXC family

Platelet factor 4, an alpha-granular platelet protein, inhibits *in vitro* proliferation of normal MK progenitors as well as progenitors from thrombocytopenic patients<sup>108</sup>. PF4 has two different biologically active domains, C-terminal and

overlapping C-terminal/central domains. The inhibitory activity could be reproduced with mimetic synthetic peptides. Interestingly it was abrogated by heparin, but only for the peptides mimicking the C-terminal sequence. PF4 inhibition of MK proliferation could, for instance, be reversed by glycoasminoglycans and heparinase<sup>109-111</sup>. PF4 exhibits a high affinity for heparin-like proteins, which bind growth factors as a prerequisite for their biological effects. One possible mechanism of PF4 action could then be mediated through the delocalization of other heparin-binding factors, i.e. IL-1, IL-3, IL-6 and GM-CSF.

#### Thrombin

This can inhibit MK growth through receptor-mediated activation. Thrombin also inhibits proplatelet formation from MKs<sup>112</sup> which is expected, knowing the drastic changes induced on MKs morphology and demarcation membrane distributions (Fig. 2.6).

### Microenvironment and megakaryocytopoiesis regulation

Several lines of evidence have recently exemplified the implications of *in situ* mechanisms of megakaryopoiesis regulation:

Glycosaminoglycan components of the extracellular matrix interact with PF4 and other heparan sulfates bind the cytokines IL-1, IL-3, IL-6 and GM-CSF<sup>111,113</sup>.

Gp Ib/IX expression on mature MKs could be a growth arrest signal of the lineage<sup>114</sup>; Bernard-Soulier, a bleeding disorder with giant platelets and thrombocytopenia accompanying the genetic deficiency of the GpIb/IX complex, demonstrates the link between actin, MK fragmentation and platelet formation.

Microvascular endothelial cells are known to be major contributors to hematopoietic cell regulation, by providing both cytokines and adhesion molecules. It was reported that the CXC chemokine SDF-1 could also be involved in the induction of cell proliferation of hematopoietic progenitors. This suggests cytokine induction that promotes early stage progenitor proliferation and late-stage platelet release that is not TPO dependent. This induces MK chemoattraction, adhesion to endothelial cells and transendothelial migration of MKs<sup>48,115,116</sup>.

### Preclinical studies

A major role for TPO in regulating platelet production has been confirmed by preclinical studies:

Normal mice which daily receive rhTPO during 7 days, develop a fourfold increase in platelet level after 5 days with a return to baseline within 10 days. Simultaneously, a



20-fold increase in bone marrow MK progenitors and a tenfold increase in MK occur. These effects were much stronger than those obtained with any other cytokine combinations<sup>69,72–73</sup>. In myelosuppressed (either chemically or sublethally irradiated) animals<sup>117</sup>, daily TPO injections can also reverse thrombocytopenia with a dose-dependent effect. Agonist peptides of the TPO receptor also increase platelet level of 80%, when injected into mice<sup>75</sup>.

Perspectives of gene therapy from adenovirus delivering human TPO have also been tested in mice<sup>118–121</sup>: when normal mice are infected by TPO-adenovirus, the plasma level of TPO is high and platelet levels reach high levels. However, some mice developed antibodies, i.e. anti-murine TPO and subsequent thrombocytopenia, with depletion of bone marrow MKs and of bone marrow hematopoietic progenitors. This suggests that the transgene can induce immune dysfunction and auto-immune disorders. In mice with immune defects, nude mice, severe combined immune deficient (SCID) or non-obese diabetic (NOD)–SCID mice, thrombocytosis was accompanied with granulocytosis and increased hematopoietic bone marrow progenitors. SCID but not NOD–SCID mice developed subsequent osteomyelofibrosis and extramedullary hematopoiesis. NOD–SCID mice have functionally deficient monocytes and macrophages that are active cells in osteomyelofibrosis genesis. These data demonstrate that a chronic high level expression of TPO can be deleterious.

Non-human primates treated with recombinant TPO during several days exhibit increased platelets counts with a dose-dependent correlation<sup>122</sup>. Platelet counts are significantly increased after a 6 day delay, peak within 13 days and return to baseline progressively after treatment arrest. In the bone marrow, MK number, volume and ploidy are also increased. No significant changes in platelet morphology, lifespan and reactivity are observed.

### Human clinical trials

Initial trials demonstrated that PEG-rHuMGDF or rhTPO administered before chemotherapy to patients with cancer efficiently increased platelet levels, with normal morphological and functional features, and no evidence of spontaneous in vivo activation<sup>123–125</sup>. No effects on white blood cell count nor hematocrit were reported.

Trials using repetitive administrations of PEG-rHuMGDF were reported to induce severe and persistent thrombocytopenia after the appearance of neutralizing antibodies which cross-react with endogenous TPO<sup>126,129</sup>. Therefore, clinical developments of this recombinant form were terminated by the pharmaceutical company in September 1998<sup>128</sup>. In contrast, in a recent study con-

ducted in the United Kingdom<sup>130</sup>, healthy human volunteers received a unique PEG-rHuMGDF bolus vs. placebo, and no immune thrombocytopenia was observed. The platelet levels doubled, with a peak at 12 days of injection, and returned to basal level within 28 days. Functional normal and viable platelets were produced with increased platelet lifespan and turnover. A 1.6 fold MK increase was observed in the bone marrow. Moreover, since TPO increases platelet aggregative responsiveness to physiologic agonists in vitro, it was then anticipated that TPO treatment could induce vascular thrombotic secondary effects. But no deleterious thrombotic effects were reported in this study.

Therefore, rhTPO appears for the moment as the most specific, effective and safe growth factor for increasing platelet production. It is used for avoiding platelet transfusions and for accelerating thrombocytopenia recovery after chemotherapy of cancer patients. The presumed non-antigenic TPO mimetic peptides are under evaluation for their therapeutic interests<sup>131</sup>, and seem to be less immunogenic than PEG-rHuMGDF, but still require extensive clinical investigation<sup>132</sup>.

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# Morphology and ultrastructure of platelets

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## Introduction

Platelets are deceptively simple cells. Their small size, lack of a nucleus and clear cytoplasm made recognition difficult for early microscopists<sup>1–4</sup>. As a result, platelets were the last of the cellular elements of circulating blood to be identified<sup>5</sup>. Also, recognition was not helped by the tendency of platelets to remain nondescript. They hide as far from the centre of the flowing column of blood as possible<sup>6</sup>. Other cells are busy carrying oxygen and removing carbon dioxide, supplying nutrients, transporting waste, reacting to foreign invaders or leaving the circulation to participate in inflammatory and immunological responses, but not platelets. This cell desires anonymity and remains as quiet as possible as it rolls along the intact endothelium for its 10 to 12 day lifespan. If it can retire to the spleen without becoming involved in any physiologic activity, the platelet's life can be considered a complete success.

Thus, in this sense the platelet has no function in the circulation, except one: to be there when it is needed to keep blood flowing. It is the sentinel on guard at all times to react immediately at sites of vascular injury as soon as subendothelium is exposed. Within microseconds, platelets undergo dramatic changes in their morphology and biochemistry, fill the site of damage with aggregates to form a hemostatic plug, contract to prevent further loss of blood and restore integrity to the vascular system<sup>7–10</sup>. The platelet serves its function as sentinel of the circulation very well, but it does have a blind side. It often fails to distinguish its role in hemostasis from involvement in thrombosis. As a result, platelet participation in in vivo-occlusive phenomena leading to heart attacks, strokes or other ischemic events often overshadows its major contribution as the basic cellular unit of hemostasis<sup>11</sup>.

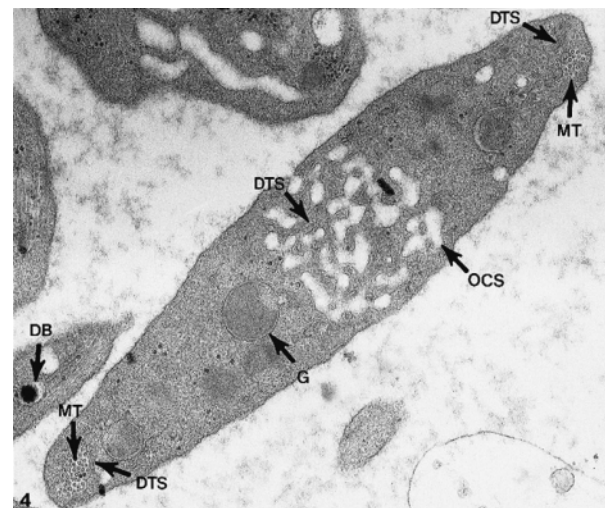
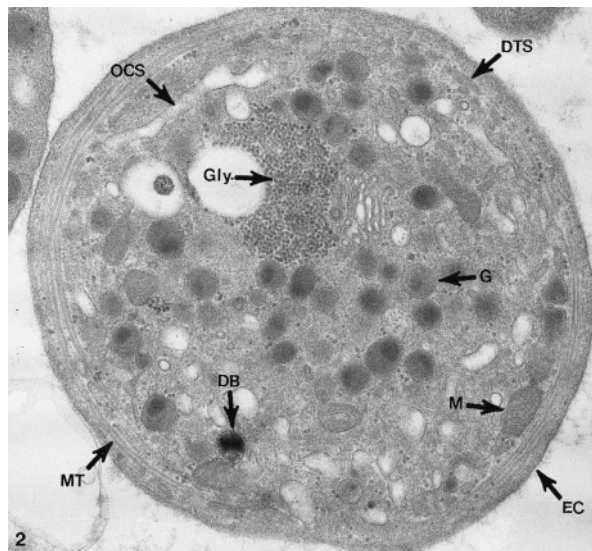
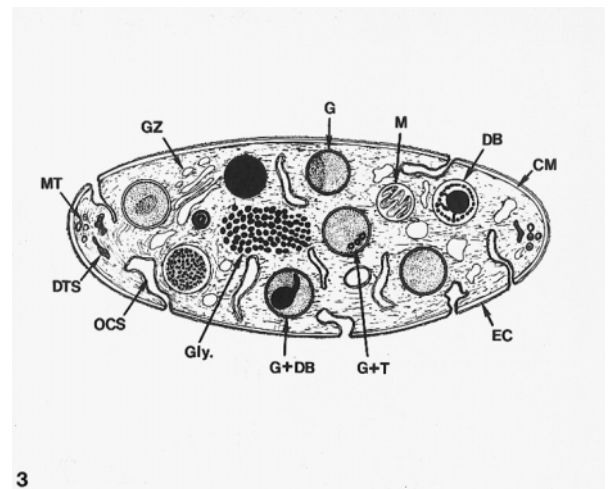
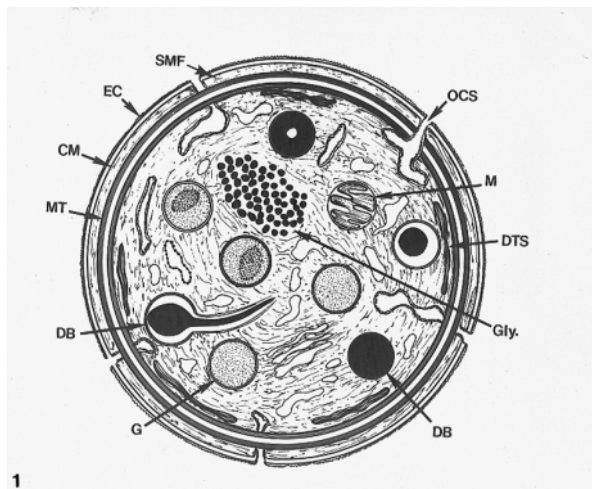
It is the task of the clinical and basic scientist to separate these two missions of the platelet, one desirable and the

other potentially catastrophic, so that hemostasis can be achieved and thrombosis prevented. To accomplish this, we must learn as much about the platelet as possible. Our particular niche in this effort has been to dissect the fundamental relationships between platelet structure and function, and relate them to physiology and pathology. This chapter will focus on our current knowledge of platelet morphology and ultrastructure.

## Structural organization

In order to simplify the complicated structural features of platelets and relate them to functional and biochemical activities, we divided the anatomy into three major regions<sup>12,13</sup> (Figs. 3.1–3.4). The peripheral zone consists of the external and internal membrane systems that provide the exposed surface of the platelet and walls of the tortuous channels making up the surface-connected open canalicular system (OCS). An exterior coat or glycocalyx, rich in glycoproteins, constitutes the outermost covering of the peripheral zone. Its chemical constituents provide the receptors for stimuli triggering platelet activation and the substrates for adhesion–aggregation reactions<sup>14,15</sup>. The middle layer of the peripheral zone is a typical unit membrane. It is rich in asymmetrically distributed phospholipids that provide an essential surface for interaction with coagulant proteins<sup>16</sup>. The area lying just inside the unit membrane represents the third component of the peripheral zone. It is closely linked to the unit membrane and translates signals received on the outside surface into chemical messages and physical alterations required for platelet activation<sup>17</sup>.

The internal membrane systems include the OCS, even though it is continuous with, and part of, the external membrane system. Channels of the dense tubular system



Figs. 3.1 and 3.2. Discoid platelets. The diagram in Fig. 3.1 summarizes ultrastructural features observed in thin sections of discoid platelets cut in the equatorial plane. Components of the peripheral zone include the exterior coat (EC), trilaminar unit membrane (CM), and submembrane area containing specialized filaments (SMF), which form the wall of the platelet and line channels of the surface-connected canalicular system (CS). The matrix of the platelet interior is the sol-gel zone containing actin microfilaments, the circumferential band of microtubules (MT), and glycogen (Gly). Formed elements embedded in the sol-gel zone include mitochondria (M), granules (G), and dense bodies (DB). Collectively they constitute the organelle zone. The membrane systems include the surface-connected canalicular system (CS) and the dense tubular system (DTS), which serve as the platelet sarcoplasmic reticulum. Fig. 3.2 is a platelet sectioned in the equatorial plane which reveals most of the structures indicated on the diagram (2 Mag  $\times$  28000).

Figs. 3.3 and 3.4. Discoid platelets. The diagram in Fig. 3.3 summarizes the structures observed in platelets in cross-section, and Fig. 3.4 demonstrates an example of a cross-sectioned platelet. The designations for the structural features are given in the legend of Fig. 3.1. Occasionally, a Golgi Zone (GZ) can be found in circulating platelets; and some  $\alpha$ -granules contain tubules (G.T.) resembling microtubules (4 Mag  $\times$  33000).

(DTS) and the membrane complexes (MC) formed by elements of the OCS and DTS are internal membrane systems<sup>18</sup>, but function with and are considered part of the peripheral zone.

The sol-gel zone is the matrix of the platelet cytoplasm. It contains several fibre systems in various states of polymerization that support the discoid shape of unaltered platelets and provide a contractile system involved in shape change, pseudopod extension, internal contraction, and secretion<sup>19</sup>. Elements of the contractile system appear to be major com-



ponents, since they constitute approximately 30–50% of the total platelet protein. Masses as well as discrete particles of glycogen are distributed in the sol-gel matrix.

The organelle zone consists of granules, electron-dense bodies, peroxisomes, lysosomes, glycosomes, and mitochondria randomly dispersed in the cytoplasm. It serves in metabolic processes and for the storage of enzymes, non-metabolic adenine nucleotides, serotonin, a variety of protein constituents, and calcium destined for secretion.

Division of platelet anatomy into three functional zones is arbitrary. However, it does provide a framework for relating structure to function, as well as pathology.

### Peripheral zone

It has long been appreciated that the platelet has a sphere of influence that extends beyond its physical limits<sup>20,21</sup>. The cell is affected by chemical signals and various phenomena occurring in flowing blood and in damaged areas of the microcirculation distant from its immediate environment. Chemical messengers originating from activated platelets influence other cells, including neighbouring platelets, leukocytes, and tissues of the vessel wall. Platelets are surrounded by dissolved constituents of blood, in particular the coagulant proteins, with which they interact. This relationship was recognized many years ago, and the term 'atmosphère plasmatique' was employed to describe it<sup>20</sup>. It is important to appreciate the dynamic implications of the peripheral zone and realize that the physical boundaries do not restrict or confine the platelet's biologic activities.

The major structural elements of the platelet peripheral zone are the cell surface and channels of the surface-connected OCS (Figs. 3.1–3.4). Since channels of the OCS represent invaginations of the cell wall, the peripheral zone is a continuous structure and the surface area exposed to surrounding plasma is far greater than might be expected from the usual measurements of the cell size or volume. In this sense, the platelet resembles a sponge more than any other blood cell<sup>21</sup>. The OCS shares the same characteristics of structural organization as more exposed portions of the cell membrane.

The peripheral zone is made up of the three structural domains: the exterior coat, the unit membrane, and the submembrane region as mentioned above. Most cell surfaces follow this same pattern of organization. However, differences in the chemical composition of each layer contribute to the unique properties of different cell types.

### Exterior coat

The glycocalyx of the platelet is thicker and more concentrated than similar layers covering the outer surfaces of

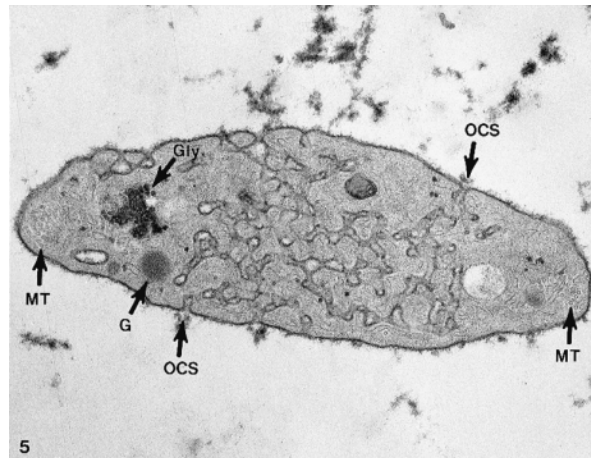


Fig. 3.5. Discoid platelet; the channel system. Cross-section of discoid platelet from sample of C-PRP stained with tannic acid during glutaraldehyde-osmium fixation. Circular profiles of microtubules (MT) supporting platelet discoid shape are evident at each pole of the cell. Tannic acid has acted as a mordant to deposit osmic acid in the exterior coat covering the exposed surface and channels of the open canalicular system (OCS). The OCS forms a system of interconnected channels stretching from one side of the cell to the other. Granules (G) and masses or single particles of glycogen (Gly) are dispersed in the cytoplasm (Mag  $\times$  33 000).

other blood cells (Fig. 3.5). Many different glycoproteins have been defined by immunocytochemistry and other methods on the exterior coat, including glycoproteins (GP), Ia, Ib, Ic, IIa, IIb, IIIa, IV, V, and VI<sup>22</sup>. Unlike other granule-containing cells, the platelet has a characteristic appearance, rather than the spherical form manifested by lymphocytes, monocytes, neutrophils and other organelle-filled leukocytes<sup>12,13</sup>. Its form is similar to that of the red blood cell, but platelets lack the biconcavity of the erythrocyte (Fig. 3.6). Thus, the platelet is the only flattened or slightly oval, disc-shaped cell in circulating blood. Yet, the smooth discoid appearance of the resting platelet is somewhat deceiving. Studies with electron dense tracers revealed that the exterior surface was frequently interrupted by pores at sites where channels of the open canalicular system (OCS) communicate with the outer membrane<sup>23</sup>. Freeze-fracture revealed pores of the OCS to particular advantage<sup>24</sup> (Fig. 3.7).

Despite the slight irregularity caused by communications of the OCS, techniques of light microscopy, particularly phase contrast and interference phase contrast, transmission electron microscopy of thin sections, whole mounts or replicas of freeze-fractured platelets and conventional scanning electron microscopy have all suggested

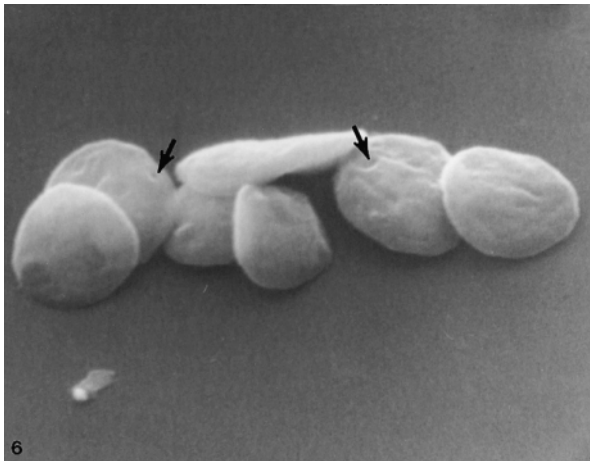


Fig. 3.6. Discoid platelets. The characteristic discoid form of the circulating blood platelet is evident in this scanning electron micrograph (SEM). Surfaces of the unaltered cells are relatively smooth, except for indentations (*arrows*) where channels of the surface-connected OCS communicate with the cell surface (Mag  $\times 12000$ ).

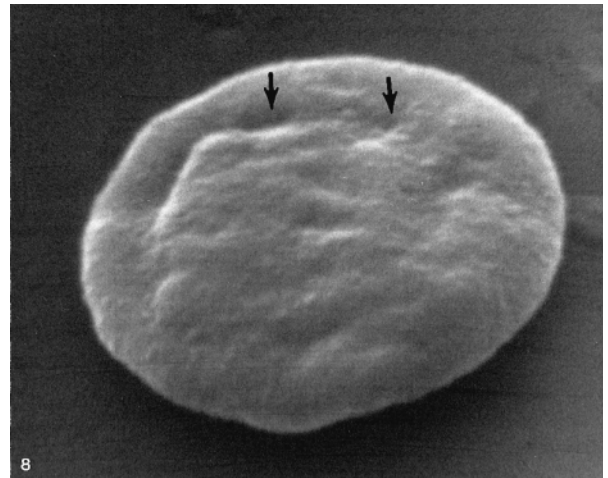


Fig. 3.8. Discoid platelet. Surface features of resting platelets are revealed to advantage in the SEM. The resting platelet has a discoid appearance and relatively smooth contours. Indentations ( $\uparrow$ ) represent communications between channels of the open canalicular system and the cell wall (Mag  $\times 30000$ ).

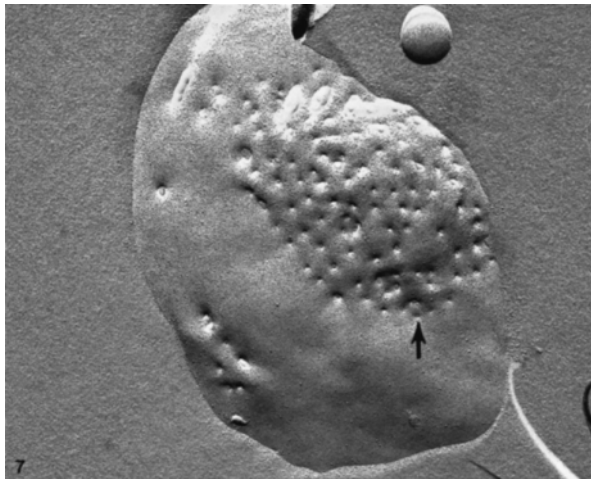


Fig. 3.7. Discoid platelet. Replicas of freeze-fractured platelets reveal communications between channels of the surface membrane to advantage. The fracture plane in this example has cleaved the lipid bilayer exposing the outside portion. Numerous pores ( $\uparrow$ ) are clustered in one area, and others are apparent along the outer edge (Mag  $\times 30000$ ).

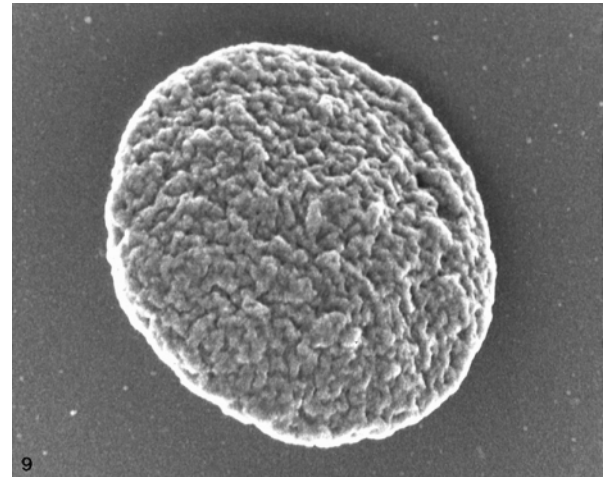


Fig. 3.9. Discoid platelet photographed in the low-voltage, high-resolution scanning electron microscope (LVHR-SEM). The outside of the cell resembles the surface of the brain. Gyri and sulci alternate in a convoluted fashion resulting in the wrinkled appearance (Mag  $\times 40000$ ).

that the platelet surface is smooth<sup>25</sup> (Fig. 3.8). Low voltage high resolution scanning electron microscopy (LVHR-SEM), however, has suggested a different appearance<sup>26</sup>. Discoid platelets visualized by this technology have a corrugated appearance resembling the surface of the brain (Fig. 3.9). The gyri and sulci produced by the convolutions might result from shrinkage during preparation for study.

However, red blood cells present in the same samples are smooth while leukocytes have a totally different appearance.

There are technical reasons why the appearance may have been missed by other methods. Light microscopy and its variants do not have the resolving power to identify the corrugations. Transmission electron microscopy sees

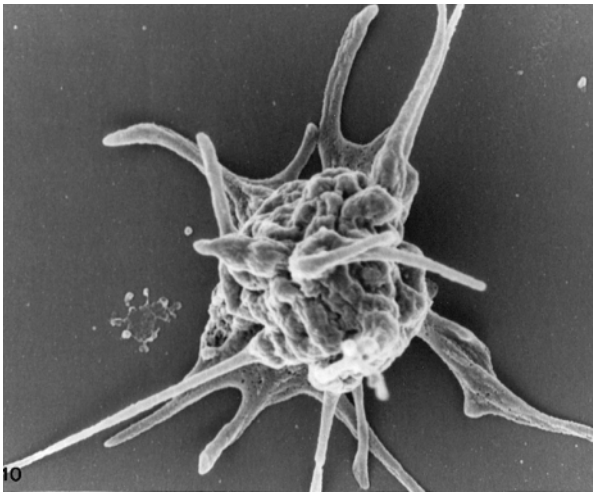


Fig. 3.10. Early dendritic platelet viewed by LVHR-SEM. Fine processes extend in all directions. Surfaces of the pseudopods are smooth compared to the central body from which they extend. Convolutions similar to those on the discoid cell in Fig. 3.8 are present on the platelet body (Mag  $\times 16000$ ).

through transparent membranes of whole mounted platelets and surface details are not apparent. Freeze-fracture splits the membrane lipid bilayer and replicas do not reveal the outside returning from deeper in the specimen. The image generated tends to blur convolutions on the cell surface. Back-scattered electrons under conditions of low voltage derive mainly from the specimen surface and result, not only in high resolution, but a clearer definition of surface details. Thus, the convoluted surfaces on discoid platelets observed by LVHR-SEM may more accurately reflect the true organization of the resting platelet membrane than the smooth surfaced appearance provided by other techniques.

#### Effects of surface activation

The advantage afforded by the convoluted surface of the resting platelet is readily apparent during interaction with surfaces. Extension of long, filiform processes is the first response of the platelet to the foreign surface (Fig. 3.10). They are not as delicate in appearance as those extending from other cell types, and are thicker and more spike-like on human platelets than on bovine cells. The difference is not due to the presence or absence of microtubules. All bovine platelet pseudopods contain at least one microtubule<sup>27</sup> while only one-third of the extensions emanating from human cells reveal a microtubule on cross-section.

The organization of the membrane covering the surface pseudopods on dendritic platelets viewed by LVHR-SEM is quite different than the irregular corrugations formed by

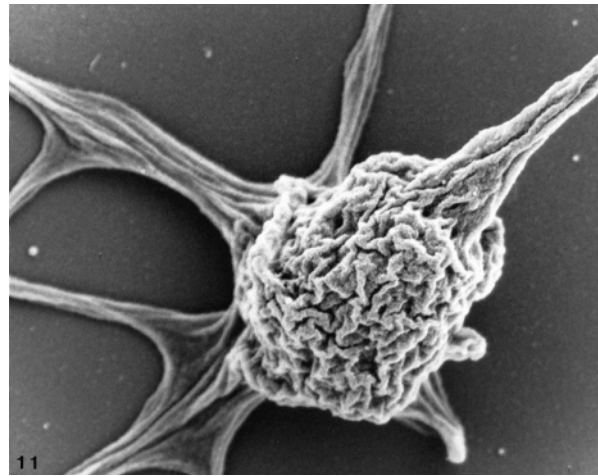


Fig. 3.11. Dendritic platelet. Pseudopods on this example are thicker than those on the cell in the last illustration. Convolutions on the central body extend into folds on the pseudopods (Mag  $\times 33000$ ).

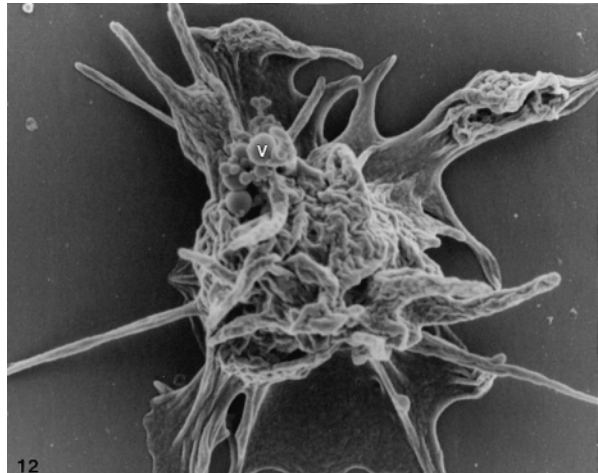


Fig. 3.12. Dendritic platelet. The complex process of surface interaction is revealed on this example. Some pseudopods are beginning to spread out on the formvar surface, while others remain long and smooth. Vesicular elements (↑) present on the convoluted central body may be microvesicles in the process of formation (Mag  $\times 18000$ ).

gyri and sulci on discoid cells. Convolutions on the central body of the dendritic cell straighten out into long folds (Figs. 3.11, 3.12). The change in organization of surface membrane on extensions of the activated cell while the body retains the convoluted, brain-like pattern adds additional support to the suggestion that this appearance of the platelet surface is not an artefact of fixation. On late dendritic and early spread platelets the folds disappear resulting in a smooth membrane surface. (Fig. 3.13). Clearly, the

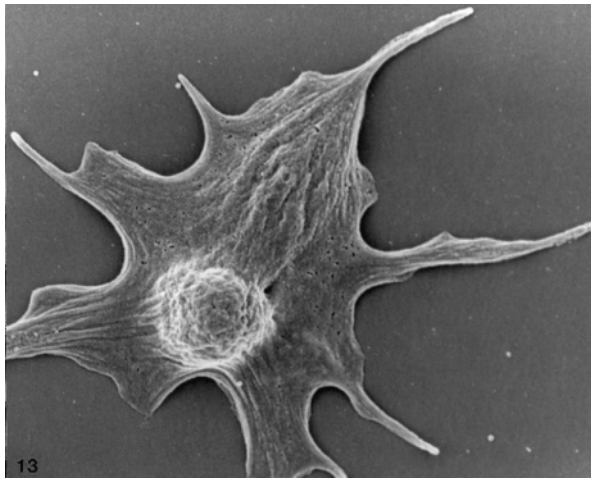


Fig. 3.13. Early spread platelet. The central body of the cell remains convoluted, but is gradually disappearing as the cytoplasm spreads and fills the spaces between pseudopods (Mag  $\times$  16000).

wrinkled surface of the resting cell provides a significant amount of membrane to cover the elongations as discoid cells are converted to dendritic forms.

A major objective of the platelet in hemostasis is to cover as much of the damaged area as possible to restore vascular integrity<sup>11</sup>. It accomplishes this mission by tethering, then sinking into the surface and expanding its cytoplasm to fill spaces between pseudopods. The process converts the flattened disc into a dendritic platelet, and then into a fully spread form. Convoluted membranes of the discoid cells play a significant role in the cytoplasmic expansion, as well as in pseudopod development. Gyri and sulci flatten into a smooth surface as the cytoplasm thins out and expands causing obliteration of the pseudopods. The result is a smooth-surfaced, completely spread cell resembling a pancake (Fig. 3.14).

The force generating mechanism underlying pseudopod formation and spreading is the assembly of cytoplasmic actin<sup>28</sup> (Fig. 3.15). Organization of the newly assembled filaments into parallel bundles produces battering rams to drive out spiky surface extensions. The convoluted surface would provide much less resistance to their extension than a skin tight, smooth membrane. Actin filaments assembling near the cell wall form a peripheral weave under the membrane forcing the expansion and filling in of spaces between pseudopods. Evidence favouring the proposed mechanism of platelet spreading has come from investigations employing cytochalasins B, D, and E<sup>29</sup>. Cytochalasins at concentrations that block development of new actin filaments prevent platelet pseudopod formation and spreading.

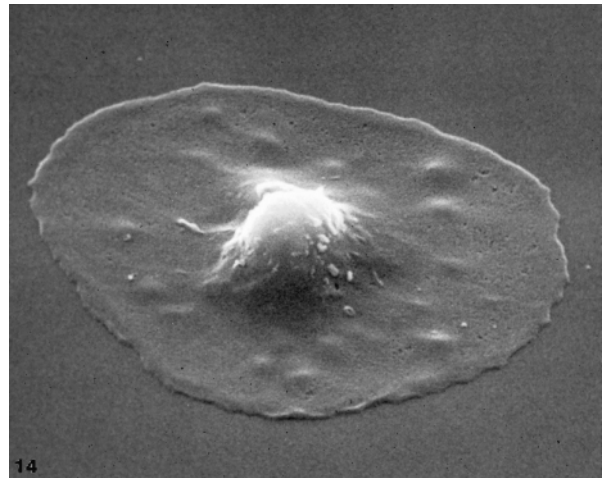


Fig. 3.14. Spread platelet viewed by conventional SEM (Mag  $\times$  12000).

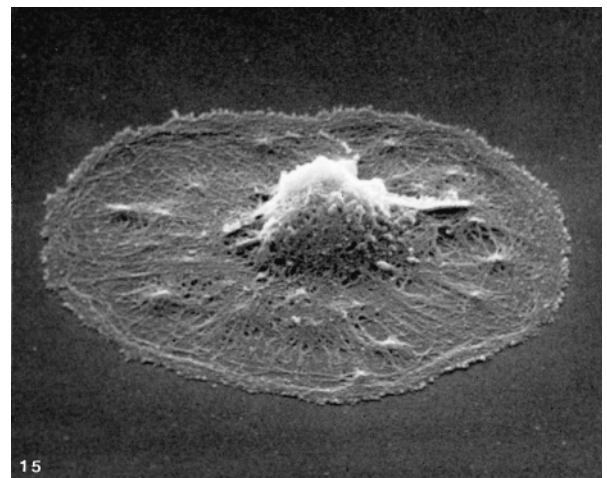


Fig. 3.15. Spread platelet extracted with Triton X-100 and examined in a conventional SEM. The cytoplasm is filled with detergent resistant actin filaments. Small raised areas in the cytoplasm of the extracted cell are adhesion plaques (Mag  $\times$  12000).

During the conversion of discoid platelets to fully spread forms, the exposed surface area increases by over 400%. The origin of the membrane necessary for this large expansion requires consideration. Early workers may not have realized that the lipid bilayer of the surface membrane is incompressible like that of the red blood cell<sup>30</sup>, and cannot expand in one direction without contracting in the other. The platelet has no capacity to synthesize lipids or protein. As a result, the expanded surface area cannot originate from new membrane synthesis. Studies in our laboratory

suggested that channels of the surface-connected, open canalicular system might serve as a major membrane reservoir<sup>31</sup>. Experiments demonstrating that OCS channels are evaginated onto the cell surface during spreading have been reported<sup>32</sup>, and their membranes clearly contribute to the increase in surface area.

However, the reservoir provided by the OCS may be too small to supply enough extra membrane for the fourfold increase in surface area observed during disc to spread platelet transformation. As a result, the corrugated appearance of the discoid platelet resembling the surface of the brain may be particularly important. It could, and apparently does, serve as an additional membrane reservoir for expansion of the platelet surface membrane. Discoid bovine platelets have the same crenelated appearance as human platelets, but lack channels of the open canalicular system<sup>33</sup>. Surface activation of bovine platelets results in extension of pseudopods and conversion into late dendritic forms, but they do not spread like human cells<sup>34</sup>. Yet, they do double their exposed surface area during disc to late dendritic transformation. The gyri and sulci on discoid bovine platelets appear to serve as the major, if not exclusive, source of additional membrane for this expansion.

Thus, the convoluted organization of resting platelets appears to serve a very useful purpose in the hemostatic reaction. It may also be involved in platelet pathology. Sims and his colleagues have shown that the complement proteins, C5b-9, cause release of membrane vesicles from the platelet surface enriched in the membrane receptor for coagulation factor Va and express prothrombinase activity<sup>35</sup>. Similar microvesicles form on platelets during long-term storage and may represent a significant storage lesion (Fig. 3.12). The microvesicles clearly evolve from platelets, but the membrane of origin has not been clear until recently<sup>36</sup>. Platelets do not appear to decrease in volume despite considerable microvesicle formation, and internal membranes are an unlikely source because the cells remain intact. The pinching off of small vesicles from convoluted surfaces of platelets and membranes enclosing secretory organelles would seem a likely explanation for membrane loss without shrinkage of cell volume.

**Platelet receptors:** In order to exercise their fundamental role in hemostasis, platelets must adhere to the sites of damage on injured vessel walls. Discoid platelets in circulating blood or in platelet-rich plasma and washed suspensions *in vitro* are not sticky. Contact with subendothelium or other foreign surfaces results in adhesion and initiation of the shape change events. A variety of receptors covering the platelet membrane surface facilitate conversion of the cell to a sticky state<sup>14-16,37-39</sup>. Attachment to extracellular matrix proteins is mediated primarily by a family of hetero-

dimeric cell surface proteins, each composed of  $\alpha$  and  $\beta$  subunits.  $\alpha_5\beta_1$  is a receptor for fibronectin and  $\alpha_6\beta_1$  is a receptor for laminin on human platelets. Other platelet integrin receptors appear to be less specific.  $\alpha_v\beta_3$  is a receptor for vitronectin, fibrinogen, fibronectin, thrombospondin and von Willebrand factor.

In addition, platelets carry non-integrin receptors on their surface, such as glycoprotein Ib-IX, the receptor for von Willebrand factor, and glycoprotein IV that binds thrombospondin and collagen. Glycoprotein Ia-IIa ( $\alpha_2\beta_1$ ) is also a receptor for collagen as in GPVI. Although existence of so many molecules serving similar functions may seem redundant, they all appear to play a role in hemostasis.

The major platelet integrin is  $\alpha_{IIb}\beta_3$  (glycoprotein IIb-IIIa). About 80 000 copies of  $\alpha_{IIb}\beta_3$  are detectable on resting platelets. The complex is not expressed until the cell is activated in suspension or by surfaces. As soon as activation occurs, platelets bind avidly to exposed subendothelium and to each other. The principal substrate for  $\alpha_{IIb}\beta_3$  is fibrinogen, but the expressed complex can also bind vitronectin and von Willebrand factor. In terms of overall involvement in platelet physiology and pathology,  $\alpha_{IIb}\beta_3$  appears to be the most important integrin receptor, while glycoprotein Ib-IX has that distinction amongst the non-integrins. At high shear rates GPIb-IX is the primary receptor involved in platelet adherence to subendothelium, while at low shear rates  $\alpha_{IIb}\beta_3$  serves that function. Thus, there is a physiologic basis for the redundancy of receptors and receptor complexes.

**Receptor mobility:** The morphology of platelet receptor interactions with surfaces has been difficult to visualize in the electron microscope because they occur at a molecular level beyond the resolution of available instruments. However, the use of immunofluorescent microscopy and immunocytochemical methods combined with electron microscopy have largely circumvented this problem. Fluorescence microscopy revealed that platelets in suspension exposed first to monoclonal antibodies against GPIIb-IIIa  $\alpha_{IIb}\beta_3$  and then fluorescein tagged antiimmunoglobulin revealed a sequence of clustering, patching, capping and endocytosis similar to events observed on lymphocytes exposed to immune reactions<sup>40-42</sup>. Studies with fluorescein conjugated concanavalin A revealed a similar sequence of patching/capping on platelets.

Polley et al.<sup>43</sup> were probably the first to demonstrate movement of receptors on platelets at the ultrastructural level. Immunocytochemical methods combined with a membrane fragmentation procedure were used to demonstrate that thrombin treatment caused clustering or patching of GPIIb-IIIa receptors on platelet surfaces. Eisenberg

and colleagues<sup>44</sup> used a replica technique to demonstrate that ADP induced ligand binding and fibrinogen receptor clustering on platelet surface membranes. Santoso and associates<sup>45</sup> demonstrated receptor patching on platelets exposed to monoclonal antibodies or incubated with concanavalin A at the ultrastructural level, confirming the observations made by immunofluorescence. Loftus and Albrecht<sup>46</sup> and, subsequently, Albrecht et al.<sup>47–49</sup> introduced the use of fibrinogen-coated, colloidal gold particles to study GPIIb–IIIa receptors on surface-activated platelets. Their findings suggested that the shape change and spreading caused by surface activation stimulated redistribution of GPIIb–IIIa receptors into caps on spread platelets.

Investigations by Hourdille et al.<sup>50</sup> have shown that thrombin causes spontaneous clearance of GPIb–IX from the platelet surface to channels of the open canalicular system. More recently, she and her colleagues<sup>51</sup> demonstrated that von Willebrand factor bound to glycoprotein Ib–IX is cleared from the platelet surface following activation by thrombin. The dynamic redistribution of major platelet surface receptors following surface activation and spreading has been confirmed and extended by Kieffer et al.<sup>52</sup>. Thus, evidence coming from many investigations indicates that major integrin and nonintegrin receptors on platelets are mobile and undergo relocation, clustering, patching, capping and clearance following exposure to potent stimuli in suspension or after contact with foreign surfaces.

Work in our laboratory has confirmed many of the findings reported by others and added additional information to the knowledge of mobile receptors on platelet surfaces<sup>53</sup>. Initial experiments were carried out on platelets allowed to spread on carbon-stabilized, formvar-coated grids for 20 minutes and then exposed to fibrinogen-coated gold particles (Fgn/Au) for 5 minutes at 37°C. Most cells retaining a discoid configuration failed to bind Fgn/Au, though some did (Fig. 3.16). The morphological difference between discoid cells expressing GPIIb–IIIa and those not binding the electron dense probe was not apparent. Early dendritic forms were covered by particles of Fgn/Au (Fig. 3.17). The distribution appeared random and discrete, though a suggestion of clustering may have been due to the convoluted organization of the platelet surface discussed above. On late dendritic cells manifesting early signs of pseudopod and cytoplasmic spreading, Fgn/Au particles moved away from the edges to the midlines of surface extensions and from them and the cell body toward the central region (Fig. 3.18).

The tendency of the Fgn/Au bound to  $\alpha_{IIb}\beta_3$  receptors to move away from peripheral margins toward cell centres

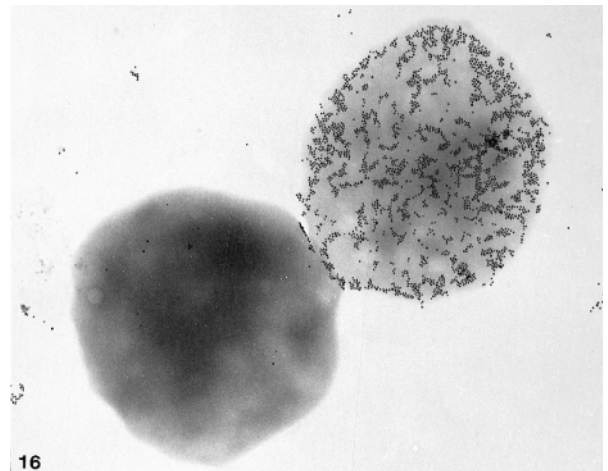


Fig. 3.16. Platelets incubated with  $10^{-6}$  M cytochalasin E in suspension before contact with a formvar grid for 20 min and subsequent exposure to fibrinogen-coated gold (Fgn/Au) particles for 5'. Both cells have retained their discoid shape. However, only one platelet has expressed glycoprotein IIb–IIIa receptors and bound a significant number of Fgn/Au particles. Only a few receptors ligand complexes are apparent on the other discoid platelet (Mag  $\times 16000$ ).

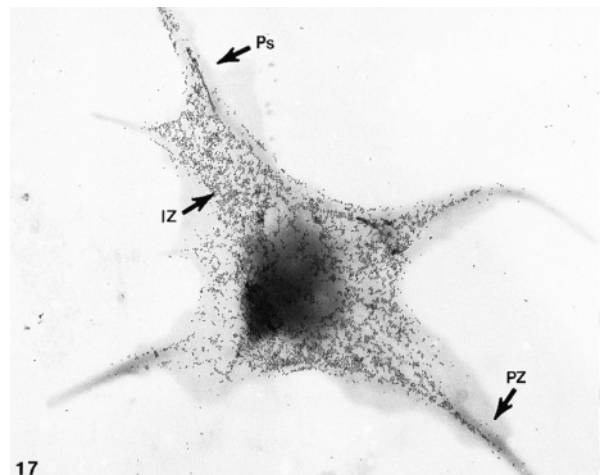


Fig. 3.17. Early dendritic platelet exposed to fibrinogen-gold (Fgn/Au) for 5 min after initial contact with a formvar-coated, carbon-stabilized grid for 20 min before fixation in glutaraldehyde. Fgn/Au spherules extend out onto pseudopods (Ps) and coat the intermediate zone (IZ) and central zone (CZ). Areas of the cell margin on pseudopods and along the peripheral zone (PZ) appear virtually free of gold particles (Mag  $\times 12000$ ).

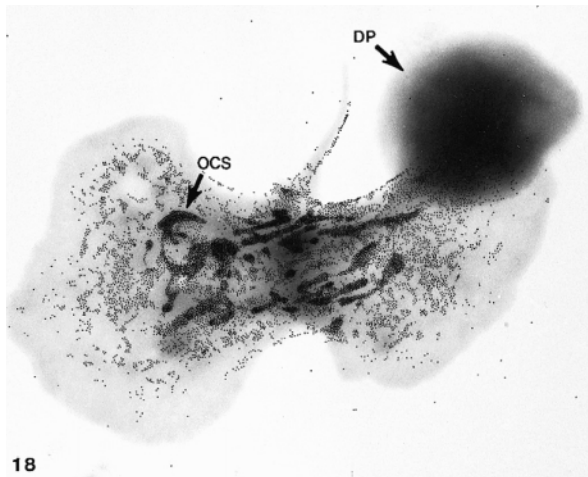


Fig. 3.18. Late dendritic platelet. Cell is from a sample prepared in the same manner as the cell in Fig. 3.17. Fgn/Au particles bound to GPIIb–IIIa receptors have moved from the peripheral border toward the platelet centre and into channels of the open canalicular system (OCS). A discoid, resting platelet has not expressed GPIIb–IIIa receptors and, as a result, has not bound Fgn/Au particles (Mag  $\times 16000$ ).

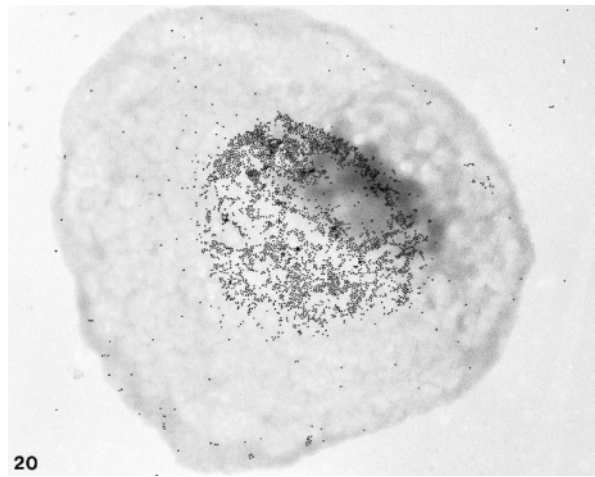


Fig. 3.20. Capping. Fully spread platelet exposed to Fgn/Au for 5 min, rinsed and then rested on a drop of Hank's balanced salt solution for 15 min at 37 °C. Fgn/Au bound to GPIIb–IIIa receptors have moved into a concentrated mass or cap on the central zone. The intermediate and peripheral zones are virtually devoid of Fgn/Au particles, suggesting that nearly all of the GPIIb–IIIa receptors have been translocated to the cell centre (Mag  $\times 18000$ ).

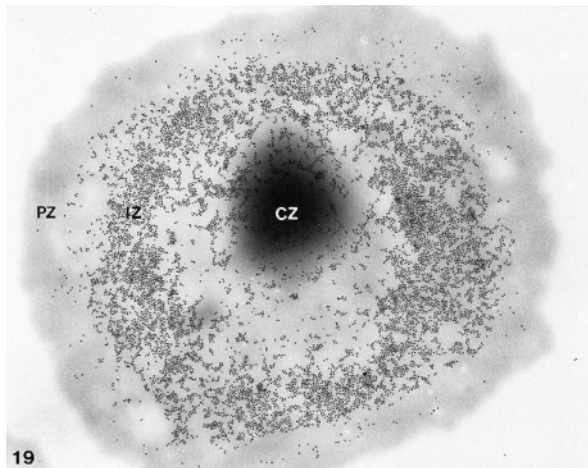


Fig. 3.19. Fully spread platelet exposed to Fgn/Au for 5 min. Electron-dense probes bound to GPIIb–IIIa receptors have moved from the peripheral zone (PZ) to form a concentrated ring in the immediate zone (IZ) around the central zone (CZ). The central area is nearly as devoid of receptor–ligand complexes as the peripheral zone (Mag  $\times 18000$ ).

was more prominent on early spread and fully spread platelets<sup>54</sup>. Fgn/Au receptor complexes became concentrated in a ring located in the intermediate zone (Fig. 3.19). The central area of spread platelets was nearly as devoid of Fgn/Au probes for  $\alpha_{\text{IIb}}\beta_3$  as the peripheral zone. Exposure

of spread platelets to Fgn/Au for longer intervals of 10 to 30 minutes did not result in concentration of receptor–ligand complexes in the central zone. However, simply rinsing excess gold from the grid with Hank's balanced salt solution (HBSS) and allowing the grid to rest on a drop of HBSS for 10 minutes at 37 °C caused further central movement of Fgn/Au bound to  $\alpha_{\text{IIb}}\beta_3$ , resulting in cap formation<sup>55</sup> (Fig. 3.20). Precisely why this manoeuvre causes capping to occur when longer incubation fails remains obscure. It is possible that the Fgn/Au suspension inhibits further spreading of platelets during the 5-minute incubation, and that washing and resting on HBSS removes the inhibitory influence.

The appearance of the platelet with a central cap of Fgn/Au– $\alpha_{\text{IIb}}\beta_3$  complexes is striking. Intermediate and peripheral zones of the cells are virtually devoid of Fgn/Au particles, suggesting that nearly all of the  $\alpha_{\text{IIb}}\beta_3$  receptors have been cleared to the cell centres. However, addition of another drop of Fgn/Au after capped cells are fixed results in edge to edge coverage of the peripheral and intermediate zones<sup>56</sup>. If capped cells are exposed to small latex spheres without prior fixation, these particles bind to the bare areas and move into a ring around the central cap of Fgn/Au– $\alpha_{\text{IIb}}\beta_3$  complexes, leaving the peripheral margin bare (Fig. 3.21). Rinsing the capped platelets ringed with latex particles, and subsequent exposure to a drop of Fgn/Au results in binding of the electron-dense probe for

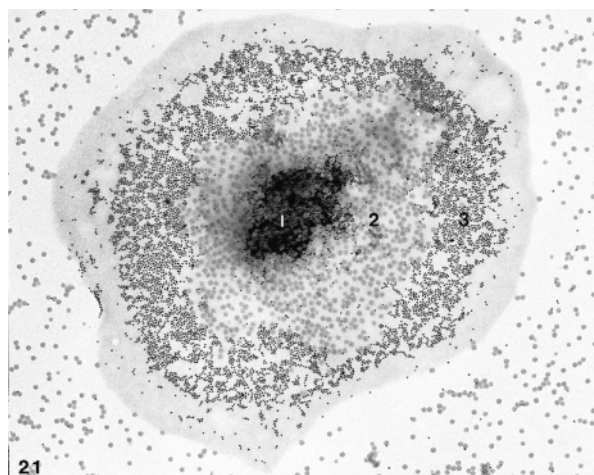


Fig. 3.21. Spread platelet exposed to Fgn/Au, rinsed and rested on HBSS, and then exposed in the same manner to small latex spherules, washed and arrested on HBSS and exposed again to Fgn/Au. The first wave of Fgn/Au–GPIIb–IIIa complexes are concentrated in a central cap (1). Latex spherules have concentrated into a ring around the cap (2) and the second wave of Fgn/Au has moved centrally into a ring around the latex (3). The peripheral margin is virtually clear of receptor–ligand complexes (Mag  $\times 18000$ ).

$\alpha_{\text{IIb}}\beta_3$  to the barren area. The receptor–ligand complexes undergo central movement into a ring around the latex spherules, again leaving a bare peripheral margin. Repetition of the sequence resulted in capped cells with two rings of latex separating two of Fgn/Au– $\alpha_{\text{IIb}}\beta_3$  complexes (Fig. 3.22). Capping does not exhaust the supply of  $\alpha_{\text{IIb}}\beta_3$  receptors on spread platelets. Yet, the origin of the  $\alpha_{\text{IIb}}\beta_3$  receptors binding the second and third waves of Fgn/Au remains unclear. Evidence that they are being recycled from the central cap has not been convincing.

The major destination of Fgn/Au– $\alpha_{\text{IIb}}\beta_3$  complexes on spread platelets is not limited to the intermediate or central zones. About 5–10% of the spread platelets contain channels of the surface connected OCS filled with Fgn/Au bound to  $\alpha_{\text{IIb}}\beta_3$  (Figs. 3.18, 3.23). Experiments to determine why more spread platelets did not contain OCS channels filled with Fgn/Au receptor complexes provided two answers, both of which appear to be correct<sup>57</sup>. First, most of the OCS channels in fully spread platelets had already been evaginated out onto the surface. Only a very large cell appeared less capable of completely evaginating the OCS, and was, therefore, much more likely to display Fgn/Au filled OCS channels. The second reason was that the spreading process produced sufficient tension, both horizontally and vertically, to seal off the residual OCS channels. Treatment of fully spread platelets after exposure to

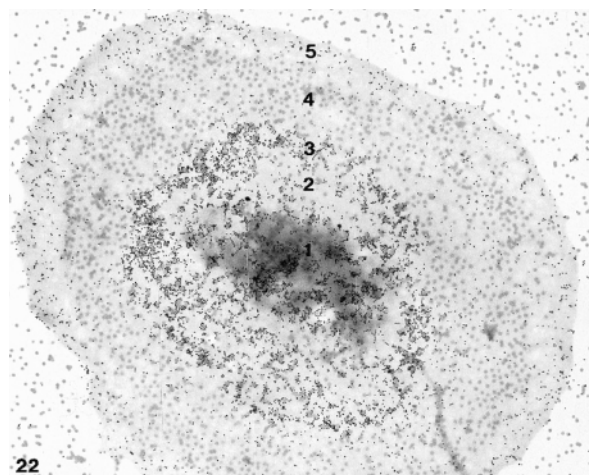


Fig. 3.22. The spread platelet in this example was exposed first to Fgn/Au (1) then to latex (2), then to Fgn/Au (3) followed by another incubation with latex (4), washed and fixed in 0.1% glutaraldehyde. Subsequently, the platelet was incubated in Fgn/Au (5) and examined in the electron microscope. The cap of Fgn/Au–GPIIb–IIIa complexes is surrounded by two rings of latex interspersed with two rings of Fgn/Au. Formation of the central cap of receptor–ligand complexes has not exhausted the supply of GPIIb–IIIa elsewhere on the exposed cell surface (Mag  $\times 10000$ ).

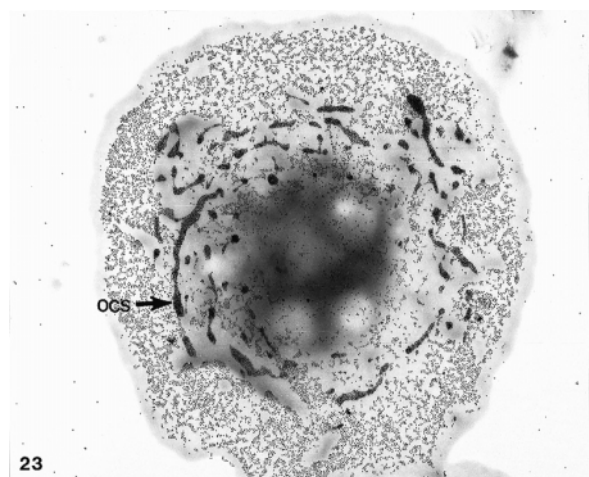


Fig. 3.23. Spread platelet exposed to Fgn/Au probes for 5 min. Receptor–ligand complexes have moved from the periphery toward the cell centre and into channels of the OCS ( $\blacktriangledown$ ). The central area is more electron-opaque because it is the residual body. Few Fgn/Au markers for GPIIb–IIIa are present centrally (Mag  $\times 15000$ ).



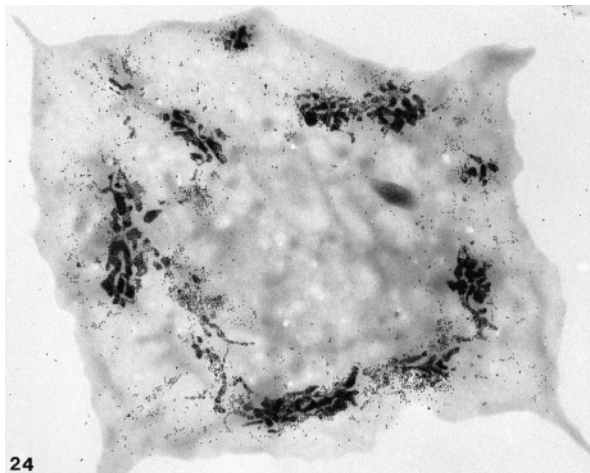


Fig. 3.24. Spread platelet exposed to Fgn/Au for 5 min and then to  $10^{-4}$  M cytochalasin B. The agent has caused breakdown of new actin filaments near the cell periphery. Central movement has been reversed and Fgn/Au-GPIIb-IIIa complexes have moved back toward the periphery. Relaxation of the cell periphery by cytochalasin E has exposed residual short channels of OCS. The receptor-ligand complexes are deposited in the short OCS channels (Mag  $\times 11\,000$ ).

Fgn/Au first with cytochalasin B resulted in softening of the peripheral margins due to new actin filament disassembly and appearance of Fgn/Au-receptor complexes in short segments of OCS near the cell margin (Fig. 3.24). Uptake of Fgn/Au bound to  $\alpha_{\text{IIb}}\beta_3$  into OCS channels was always greater in early dendritic platelets than in spread cells, just as it is in thrombin-treated platelets in suspension. Thus, the OCS appears to be the principal destination for receptor-ligand complexes on surface-activated platelets, just as it is on suspension-activated cells<sup>58,59</sup>.

Other studies have examined the distribution of GPIb-IX and  $\alpha_{\text{IIb}}\beta_3$  receptors on suspension and surface-activated platelets before and after exposure to 1 U/ml of thrombin for 10 minutes<sup>60</sup>. Frozen thin sections prepared from fixed suspension-activated platelets or grids containing fixed surface-activated platelets were stained with specific antibodies to GPIb-IX (anti-glycocalicin) and  $\alpha_{\text{IIb}}\beta_3$  (AP<sub>2</sub> or 7E3), and incubated with the corresponding gold-coupled secondary antibody. GPIb-IX and  $\alpha_{\text{IIb}}\beta_3$  were present in the open canalicular system of resting and, more prominently, in dilated OCS channels of cells activated by thrombin in suspension (Figs. 3.25, 3.26). On surface-activated platelets more intense labelling for GPIb-IX was observed along pseudopods of dendritic cells while  $\alpha_{\text{IIb}}\beta_3$  receptors were slightly increased over the peripheral zone (Figs. 3.27, 3.28). The observations support previous investigations

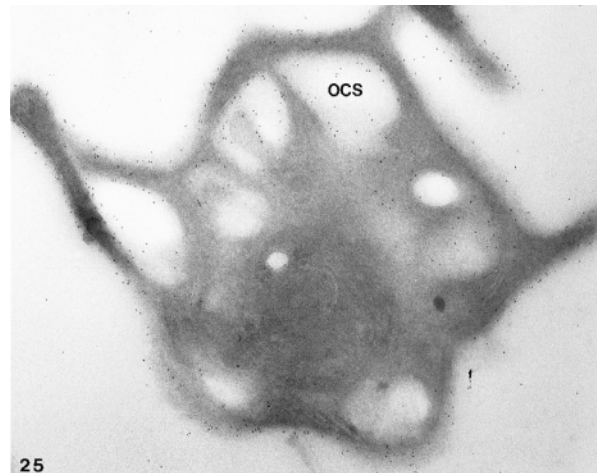


Fig. 3.25. Immunolocalization of GPIb in a frozen thin section obtained from platelets exposed to 1 U/ml of thrombin for 10 minutes in suspension. Section was incubated with a polyclonal antibody against glycocalicin followed by protein A-gold (5 nm). The cell has become irregular after exposure to thrombin and channels of the OCS ( $\uparrow$ ) are dilated. Exposed surface membrane and membrane lining channels of the OCS are evenly labeled with gold particles indicating sites of GPIb (Mag  $\times 54\,000$ ).

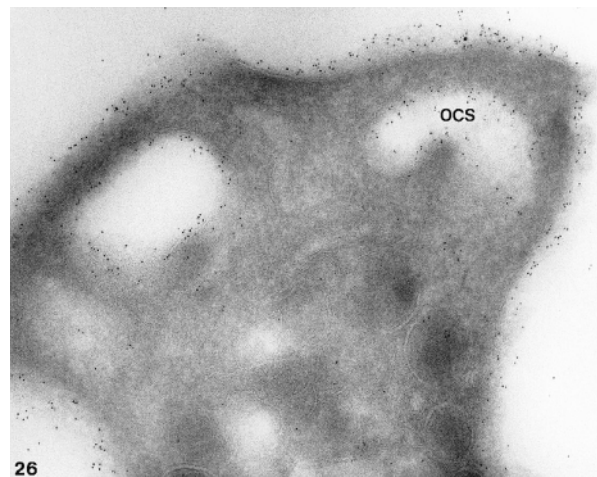


Fig. 3.26. Immunolocalization of GPIIb/IIIa in another frozen thin section from the sample shown in the previous illustration. In this section, a monoclonal antibody against GPIIb/IIIa (AP-2) was used followed by a goat antimouse IgG coupled to gold (5 nm). Gold particles indicating sites of GPIIb/IIIa are evenly distributed on the external membrane and membranes lining channels of the OCS (Mag  $\times 54\,000$ ).

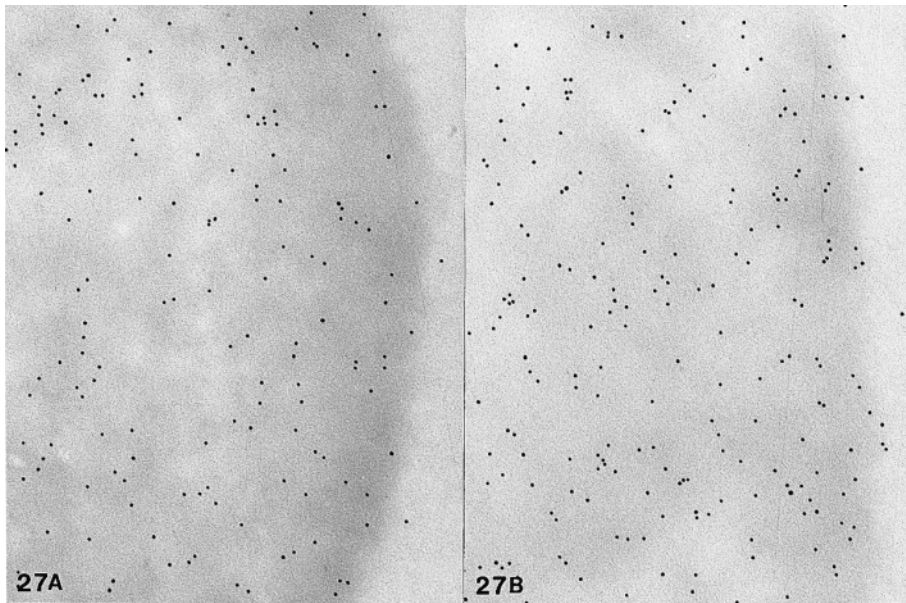


Fig. 3.27(*a*) and (*b*). Immunolocalization of GPIb on dorsal surface of fully spread platelets. The cells were allowed to interact with formvar coated grids for 20 minutes and for an additional 10 minutes to (*a*) buffer or (*b*) to thrombin, 1 U/ml. GPIb receptors are evenly dispersed over platelet surfaces from edge to edge before (*a*) and after (*b*) exposure to thrombin (Mag  $\times 50000$ ).

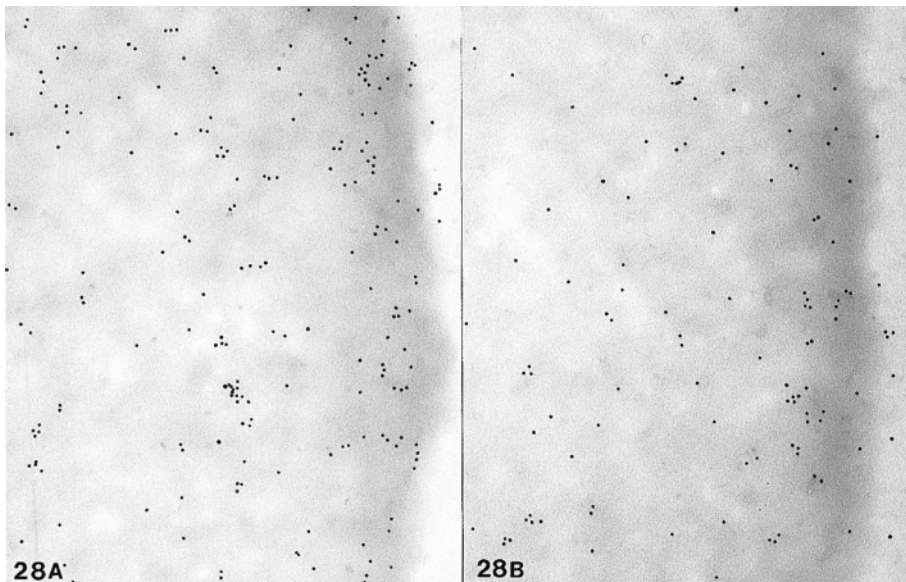


Fig. 3.28(*a*) and (*b*). Immunolocalization of GPIIb/IIIa on fully spread platelets (20 min) allowed to interact with (*a*) buffer or (*b*) thrombin, 1 U/ml, for an additional 10 min. The distribution of gold particles indicating sites of GPIIb/IIIa are essentially the same before and after exposure of spread platelets to thrombin (Mag  $\times 50000$ ).

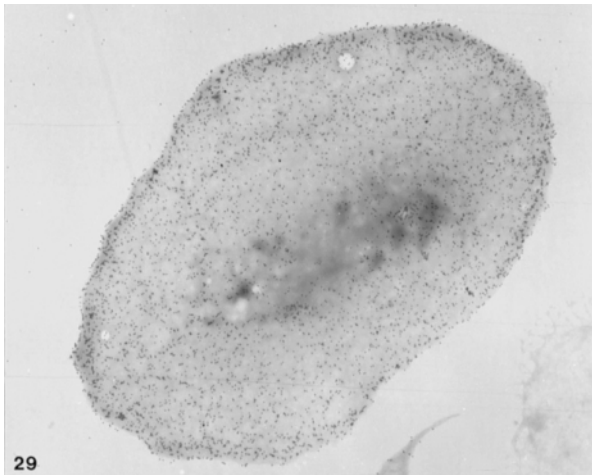


Fig. 3.29. Surface-activated, fully spread platelet fixed in 0.01% glutaraldehyde before exposure to Fgn/Au. Particles of Fgn/Au are evenly dispersed over the entire platelet from edge to edge. Thus, fibrinogen receptors marked by Fgn/Au do not spontaneously reorganize during the shape change stimulated by surface activation (Mag  $\times 12000$ ).

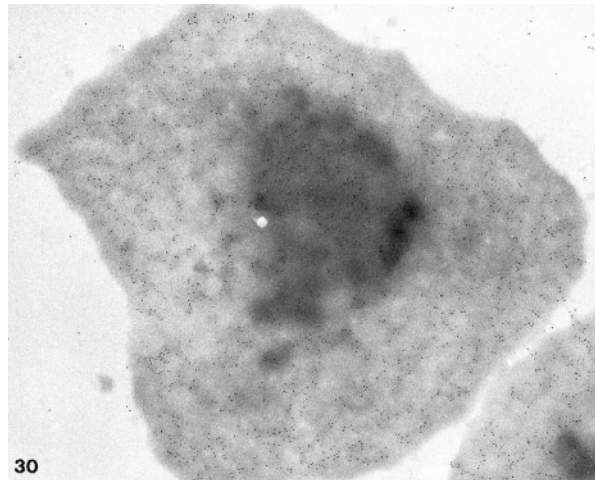


Fig. 3.30. Platelet from sample of washed cells exposed to 0–5 U/ml of thrombin in suspension for 10 min and spread on formvar grid for 20 min before fixation. The fixed cells were incubated with ristocetin-activated human plasma and then stained by anti-vWF and  $\text{PAG}_{10}$ . Multimers of vWF cover the cell surface from edge to edge (Mag  $\times 16500$ ).

demonstrating that shape change and spreading do not cause spontaneous clearance of  $\alpha_{\text{IIb}}\beta_3$  receptors, and also indicate that GPIb–IX receptors are resistant to spontaneous clearance from exposed membranes of surface- or suspension-activated platelets before or after exposure to thrombin.

The experiments described here, and in other work from our laboratory, strongly support the concept that major integrin and non-integrin receptors on platelet surfaces are mobile<sup>61</sup>. However, the receptors are not spontaneously cleared from the cell surface by exposure to thrombin in suspension, or treatment with this agent after the cells are already activated on surfaces<sup>62,63</sup> (Figs. 3.29, 3.30).  $\alpha_{\text{IIb}}\beta_3$  receptors may be cleared to channels of the OCS from exposed membranes of surface- and suspension-activated platelets, but are by no means exhausted by the process. Rather, new populations of the fibrinogen receptors are ready to react, even though substantial numbers appear to have formed caps with the ligand or concentrated in channels of the OCS. GPIb–IX also resists spontaneous clearance on surface and suspension-activated platelets<sup>64</sup> (Figs. 3.31, 3.32). It remains to be determined whether clearance of GPIb–IX with von Willebrand factor to channels of the OCS following exposure of platelets to thrombin exhausts the supply of the receptor on exposed surfaces, or that they are replaced by new populations capable of interacting with vWF.

It should be appreciated, however, that nearly all the

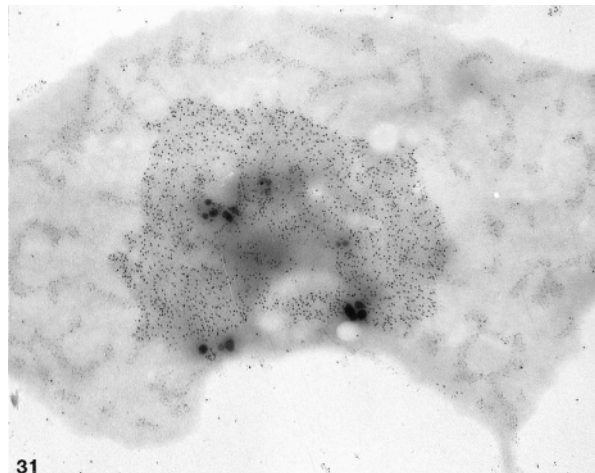


Fig. 3.31. Platelet from sample of washed cells treated with thrombin, 2 U/ml, in suspension for 10 min, spread on a grid for 20 min, incubated with bovine vWF for 15 min, followed by anti-vWF antibody for 20 min. The cells were fixed with 0.01% glutaraldehyde and stained with  $\text{PAG}_{10}$ . The grid was then washed, exposed again to bovine vWF, combined with anti-vWF antibody, and stained with  $\text{PAG}_5$ , before re-fixation. The cap of vWF multimers stained by  $\text{PAG}_{10}$  is concentrated in the cell centre. Multimers of vWF from the second exposure to bovine plasma are stained by  $\text{PAG}_5$  and extend from the central cap to the cell margin (Mag  $\times 23000$ ).

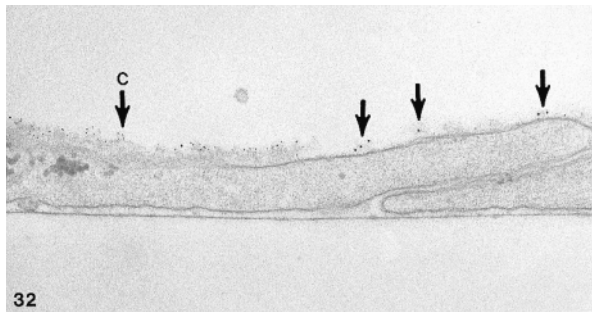


Fig. 3.32. Thin section of a platelet treated in the same manner as the cell in the previous illustration, except that it was spread on glass.  $\text{PAG}_5$  was used for the first exposure to vWF, and  $\text{PAG}_{10}$  for the second. A cap (C) consisting of vWF, vWF antibody and  $\text{PAG}_5$  covers the central area of the platelet surface. Multimers extending from the cap to the cell edge are stained by  $\text{PAG}_{10}$  ( $\downarrow$ ) (Mag  $\times 40\,000$ ).

experiments reported thus far have focused on the translocation of major non-integrin and integrin receptors bound to movable ligands across the cell surface to channels of the OCS. Yet, the ligands to which platelets adhere on damaged vascular surfaces are not mobile<sup>61</sup>. Therefore, mobile receptors must have some other function than to clear the surface of ligands, thereby eliminating their own availability for hemostatic reactions. Indeed there is. Experiments indicate that mobile receptors are critical to the process of platelet tethering and spreading on injured vessels, foreign surfaces, fibrin strands and each other. The mobile receptors, primarily GPIb-IX and  $\alpha_{\text{IIb}}\beta_3$ , bind to ligands exposed in the subendothelium and form stable complexes. The platelet cannot move these rigid receptor ligand complexes to channels of the open canalicular system. Instead, the platelet surface and membranes lining OCS channels move through the stable receptor-ligand complexes driven by assembling actin in order to achieve maximum spreading. While the major function of the receptors is to secure platelet adhesion, the reason why they are mobile is to facilitate extension of the surface membrane over as much surface area as possible. If the platelet had rigid, immobile receptors, adhesion of discs to a surface could not be followed by spreading.

#### Unit membrane

The platelet unit membrane provides a physico-chemical separation between intracellular and extracellular constituents and processes. Important components of the platelet unit membrane are the Na/K ATPase and the anion pump, which help maintain the appropriate transmembrane ionic gradients. Examination of trilaminar membranes of the peripheral zone in thin sections of

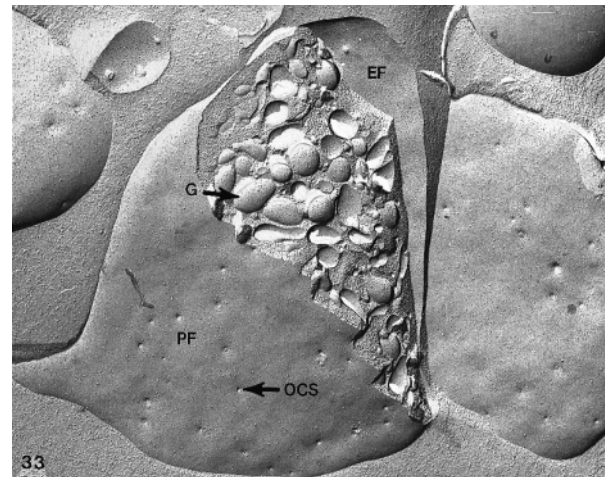


Fig. 3.33. Unit membrane. Platelets from a sample of citrated PRP fixed in glutaraldehyde, infiltrated with glycerol, frozen in liquid nitrogen, and fractured in a freeze-etch device. A carbon-platinum replica of freeze-fractured surface reveals many details of platelet unit membrane and membrane systems. Freeze-fracture splits the lipid bilayer exposing the outer surface of the inner leaflet (PF) or the inner side of the outer layer (EF). Each face is covered by randomly dispersed particles varying slightly in size. Communications between channels of OCS and cell surface appear as small indentations on PF and interiorly directed protuberances on EF. Granule (G) membranes in platelet cytoplasm are also coated with small particles (Mag  $\times 26\,000$ ).

platelets has failed to reveal any apparent differences from unit membranes of other blood cells. Replicas of freeze-fractured platelets, however, reveal significant variations in the number and distribution of intercalated particles<sup>65</sup> (Figs. 3.7, 3.33). The outside of the inner face (P face) and the interior of the outer lamellae (E face) of the split lipid bilayer of red cell membranes are coated with particles, whereas intercalated particles on similarly exposed surfaces of freeze-fractured platelets are few and randomly dispersed. Intramembranous particles are present in largest numbers on the P face of red cells, but are most numerous on the E face of platelet split lipid bilayers. It is likely that the intercalated particles represent portions of transmembrane proteins buried in lipid constituents.

Schick, Kurica, and Chacko<sup>66</sup> have used a nonpenetrating membrane probe, 2,4,5-trinitrobenzenesulfonate, in an attempt to localize phospholipid groups in the platelet surface membranes. Results of their study suggest that the bilayer is asymmetric with regard to its phospholipid composition. Phosphatidylserine does not appear to be part of the exposed surface, whereas a significant proportion of the phosphatidylethanolamine was labelled by the mem-

brane probe in activated cells, particularly in thrombin-treated platelets. Thus, even though studies of chemical composition have not indicated striking differences in platelet lipids compared to other blood cells, the organization of specific molecules in the bilayer appears to impart unique properties to the surface.

Despite the absence of distinguishing physical characteristics, the platelet unit membrane is considered to be of critical importance in the physiology of hemostasis. During platelet aggregation and transformation, the cells provide an essential substance for acceleration of blood coagulation<sup>67</sup>. This substance, often referred to as platelet factor 3, is believed to reside in the lipoprotein-rich unit membrane. The mechanism by which the membrane phospholipid becomes exposed to serve as a catalytic surface for plasma proteins is not known with certainty.

The ability of the platelet unit membrane to maintain ionic gradients may rival its importance as a surface for accelerating coagulation. Simons and her colleagues have used fluorescent probes to follow changes in the pH gradient across platelet surface membranes<sup>68</sup>. Their studies suggest that neutralization of the transmembrane pH gradient is the earliest event to occur after exposure of platelets to an activating agent.

#### Submembrane region

The area lying just under the unit membrane of the platelet surface has special characteristics that impel its inclusion with other structural components of the peripheral zone. It has been evident for some time that organelles inside the matrix of unaltered platelets never contact the cell wall. The nature of the special barrier under the cell surface has been unclear, but the submembrane area has been shown to contain a relatively regular system of filamentous elements<sup>69</sup> (Fig. 3.34). Submembrane filaments are obscured by the dense matrix of the sol-gel zone, but they can be seen peripheral to the circumferential band of microtubules in discoid cells. Treatment with chemical or osmotic shock renders them visible as a distinct system under the unit membrane. Submembrane filaments are physically similar to actin microfilaments<sup>70</sup> (Figs. 3.35, 3.36). However, it is possible that they are the physical equivalents of filamin,  $\alpha$ -actinin, or some other anchoring protein for the platelet contractile system. The close association of submembrane filaments with the cell surface is the distinguishing feature of this system and the reason that it is considered part of the peripheral zone. Functionally, the submembrane filaments may cooperate with circumferential microtubules to maintain platelet discoid shape, contribute to the extrusion and stabilization of pseudopods, and interact with other elements of the



Fig. 3.34. Submembrane area. Filaments are the major constituents of this region but are difficult to resolve in well-fixed platelets because of the density of the cytoplasm (see Fig. 3.2). However, the submembrane filaments are revealed as a nearly continuous layer (*arrows*) just inside the surface following exposure of the cells to osmotic or chemical shock (Mag  $\times$  58000).

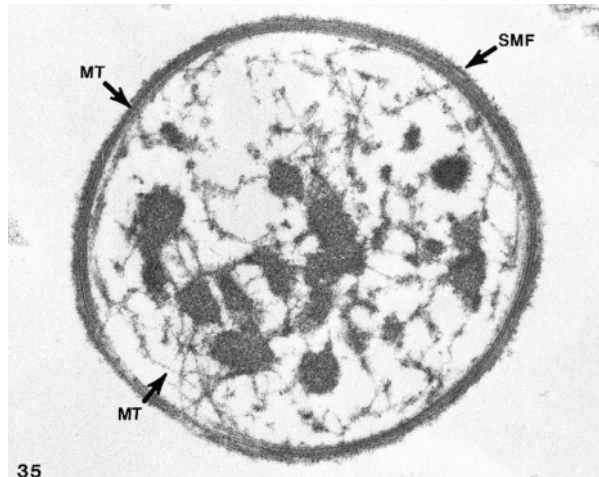


Fig. 3.35. Suspension cytoskeleton. Thin section of a platelet fixed after detergent extraction in the presence of lysine and phalloidin. The microtubule (MT) is well preserved. A rough amorphous coat is evident on its outer surface. Remnants of alpha granules are suspended in a matrix of actin microfilaments (MF) resistant to detergent extraction. Submembrane filaments (SMF) form a fine fuzz on the outer coil of the circumferential microtubule (Mag  $\times$  29000).

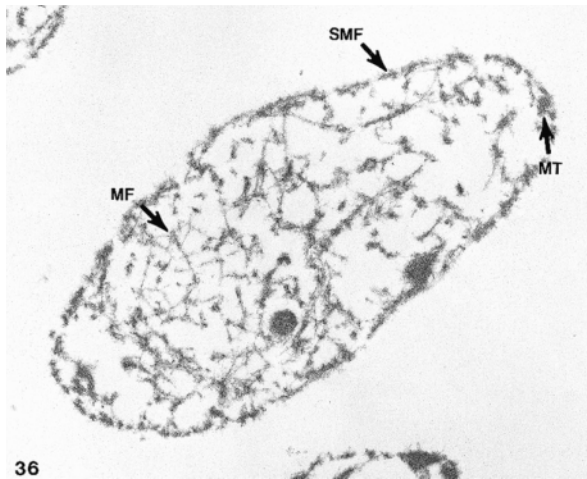


Fig. 3.36. Suspension cytoskeleton. Cross section of a discoid platelet cytoskeleton. The cell is from a sample of citrated-PRP treated with taxol ( $10^{-4}$  M) before extraction. Microtubule (MT) profiles are evident at the polar ends of the cell. A meshwork of the microfilaments (MF) replaces the cytoplasmic matrix. Although the surface membrane is gone, a fine amorphous layer of submembrane filaments (SMF) remains in its place (Mag  $\times$  29000).

platelet contractile mechanism to effectuate viscous metamorphosis and clot retraction<sup>19,28,70</sup>.

### Sol-gel zone

Early light and phase contrast microscopic studies revealed the presence of organelles inside blood platelets, but the transparency of the matrix prevented recognition of other structural components. The formed bodies were believed to be dispersed in a fluid suspension or salt solution, the clarity of which suggested the term hyaloplasm. Although it is now apparent that the internal environment of platelets is made up of many structural elements, the idea that these components are in solution or suspension remains prevalent. When thin sections of platelets were examined in the electron microscope the internal matrix appeared to consist of an irregular meshwork of fibrous material in which were embedded formed organelles. It was suggested that the matrix was an artefact created by precipitation of protein from solution during fixation. To some extent this concept was correct. However, the asymmetric shape of living platelets and separation of granules from one another visible in the light microscope should have suggested that the hyaloplasm must be extremely viscous. Since high internal viscosity results to a large extent from molecular interaction, it was predictable that

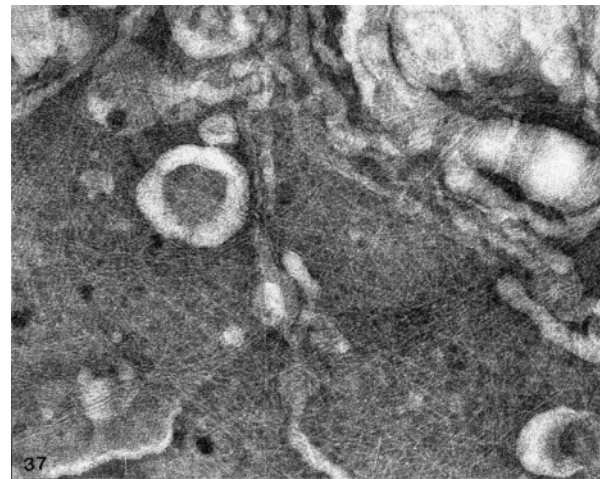


Fig. 3.37. Sol-gel zone. Cytoplasm of a negatively stained whole-mount of a spread platelet. The matrix of the cell is filled with an irregular meshwork of microfilaments. The 50 Å fibres are indistinguishable from the subfilaments of microtubules and submembrane filaments (Mag  $\times$  66000).

protein polymers should be present in platelet hyaloplasm.

The introduction of glutaraldehyde fixation at 37°C prior to treatment with osmic acid at low temperature revealed that the matrix of the platelet was a dense mat of fibrous elements<sup>12,71</sup> (Figs. 3.35–3.37). At first, these elements were thought to be artefacts of fixation, but studies by the negative stain whole-mount method revealed that fibers of various types were also present in unfixed platelets<sup>4</sup>. Subsequent investigations have shown that changes in the state of polymerization and movement of the fibrous components of the matrix are intimately related to support of platelet discoid shape and to internal contraction. Since it became clear that the matrix inside platelets resembles a gel, it seemed appropriate to focus on this characteristic by renaming the hyaloplasm and calling it the sol-gel zone<sup>13</sup>.

### Microtubules

At least three systems of fibres are present in the matrix of the platelet, including submembrane filaments, microtubules, and microfilaments. The most prominent of the three systems is the circumferential band of microtubules<sup>72–74</sup> (Figs. 3.1–3.4). Cross-sections of fixed platelets reveal microtubules as a group of 8–24 circular profiles, each approximately 25 nm in diameter, at the polar ends of the lentiform cell<sup>29</sup>. When platelets are sectioned in the equatorial plane, the bundle of tubules is apparent just under the cell wall along its greatest circumference. Circumferential tubules are always slightly separated from

each other, though small bridges between tubules can occasionally be identified<sup>17</sup>. Although the bundle lies close to the cell wall it never appears to contact it. The space between the tubules and the surface is often occupied by submembrane filaments cut in cross-section<sup>69</sup>. In the unaltered circulating platelet evidence suggests that tubulin, the protein constituent of microtubules, exists almost exclusively in the polymerized (microtubule) form<sup>75</sup>.

Study of circumferential band microtubules by the negative stain whole-mount method revealed their substructural characteristics<sup>76</sup>. Each tubule is in itself a fibrous system. When fully spread, the tubules consist of 12–15 subfilaments. The subfilaments lie in parallel association with a centre-to-centre spacing of approximately 70 Å. Each individual filament appears to consist of globular subunits stacked in an offset manner to yield a helical twist. At points where spread tubules are broken, individual subfilaments can be seen spreading out from the fracture. This finding suggests that subfilaments are more stable than the microtubule, and polymerization of subfilaments may precede their parallel association into the tubule form.

The location of the circumferential band of microtubules in the equatorial plane just under the cell wall in discoid platelets suggested its participation in cytoskeletal support (Figs. 3.2, 3.4). Further proof for this role came from several experimental approaches. Platelets were long known to lose their discoid form and become irregular and relatively spherical when exposed to low temperature for brief periods<sup>77</sup>. Discoid shape was restored if the chilled cells were warmed to 37°C. The loss of discoid form was associated with disappearance of the circumferential band of microtubules, and recovery with reformation of the bundle in its usual position under the cell surface<sup>78</sup>. Colchicine, vincristine, and vinblastine, agents that inhibit mitosis by disassembling or preventing formation of microtubules, were also found to dissolve platelet microtubules<sup>79</sup>. The disappearance of the circumferential band of microtubules in platelets treated with these agents resulted in loss of platelet discoid shape. These studies strongly supported the concept that circumferential microtubules were involved in maintaining platelet lenti-form appearance.

Other experiments, however, were not as easily explained. For example, when platelets were exposed to ethylenediamine tetracetic acid (EDTA) they lost their discoid shape and became 'spiny spheres'<sup>77</sup>. Yet, the band of microtubules remained intact and organelles were randomly dispersed within it<sup>60</sup>. Thus, shape change could take place in platelets despite persistence of the peripherally

located circumferential coil of microtubules. However, if the blood was collected in citrate anticoagulant first, then the platelets washed and resuspended in buffer containing EDTA, cell discoid shape and circumferential microtubule coils were retained, though the effects of EDTA on membranes were the same as in platelets anticoagulated with EDTA alone. Therefore, the effect of EDTA on platelet shape change was due to interaction with exposed membranes of the surface and OCS, and not on the microtubule coils<sup>81</sup>. The mechanism by which EDTA produces this effect on platelet shape remains unknown.

The bovine platelet also raises a question regarding the central role of circumferential MT in cytoskeletal support of discoid shape<sup>82</sup>. Bovine platelets must be exposed to colchicine or vinca alkaloids for at least 1 hour longer than human cells to disassemble the microtubule rings. Chilling requires up to 2 hours to dissolve bovine platelet microtubules, and their removal does not cause loss of discoid shape<sup>83</sup>. Even after disappearance of the rings in bovine platelets the cells remain discoid and just as resistant to aspiration into a micropipette as untreated, control platelets. The basis for these differences in human and bovine platelets appears due to variations in their intrinsic anatomy and functional expression<sup>84</sup>. A peripheral arrangement of assembled actin filaments plays a role in supporting the discoid shape of bovine platelets. As a result, both disassembly of microtubules by colchicine and prevention or reversal of actin filament assembly by cytochalasin B were required to cause bovine platelets to lose their discoid form<sup>82</sup>.

Perhaps the strongest arguments supporting a major role for actin filaments, rather than microtubules, supporting the discoid form of human platelets were raised by the work of Hartwig and his colleagues<sup>85,86</sup>. Their work indicated that the spectrin-reinforced surface membrane cytoskeleton and the actin-rich cytoplasmic cytoskeleton are responsible for maintaining discoid form, for shape change caused by surface or suspension activation, and for recovery when stimulation is incomplete. This concept has led to a new proposal to explain the mechanism involved in the shape changes induced in platelets by chilling and rewarming<sup>87</sup>. Exposure to low temperature caused severing of actin filaments associated with the surface membrane cytoskeleton. Cold also caused an elevation of cytoplasmic calcium, activation of gelsolin, uncapping and severing of established cytoplasmic actin filaments, formation of multiple nucleation sites, and rapid assembly of new actin filaments. The cooled platelets lost their discoid form, became swollen in appearance, and extended filopodia and lamellipodia. Rewarming to 24 to 37°C did not restore the irregular, cold platelets to their resting, lens-like

form. Rather, the new actin filament bundles formed in the cold underwent reorganization resulting in elimination of pseudopodia and formation of irreversible spheres.

This challenge to the concept placing circumferential microtubules as the major cytoskeletal system for maintaining platelet discoid shape was retested in our laboratory using the chilling-rewarming model together with microtubule stabilizing (Taxol) and destabilizing agents<sup>88</sup>. Washed platelet samples were rested at 37°C and chilled to 4°C; chilled and rewarmed to 37°C for 60 minutes; or chilled, rewarmed, and exposed to the same cycle in the presence or absence of vincristine or Taxol and fixed for study by disseminated interference phase contrast microscopy and electron microscopy. Rhodamine phalloidin and flow cytometry were used to measure changes in actin filament assembly. Chilling caused loss of disc shape, pseudopod extension, disassembly of microtubule coils, and assembly of new actin filaments. Rewarming resulted in restoration of disc shape, pseudopod retraction, disassembly of new actin filaments, and reassembly of circumferential microtubule coils. Vincristine converted discoid platelets to rounded cells that extended pseudopods when chilled and retracted them when rewarmed, leaving spheres that could undergo the same sequence of changes when chilled and rewarmed again. Taxol prevented cold-induced disassembly of microtubules and limited pseudopod formation. Rewarming caused retraction of pseudopods on Taxol-treated, discoid cells. Cytochalasin B, an agent that blocks new actin filament assembly, alone or in combination with Taxol, inhibited the cold-induced shape change but not dilation of the open canalicular system. Rewarming eliminated open canalicular system dilation and restored lentiform appearance. The results indicate that microtubule coils are the major structural elements responsible for disc shape and its restoration after submaximal stimulation or rewarming of chilled platelets.

Questions may remain after these investigations, but they have been largely resolved by study of a child with a new bleeding syndrome<sup>89</sup>. The patient has a prolonged bleeding time, a history of easy bruising since infancy and abnormal platelet aggregation despite normal levels of GPIb-IX and GPIIb-IIIa receptors. Dense body frequency in his platelets was normal, indicating absence of platelet storage pool deficiency. Light and electron microscopy revealed that his platelets were spherical in form. Thin sections of his spherocytes revealed an absence of MT and MT coils in 98% of the cells. The 2% that contained microtubule coils were discoid. Immunofluorescence microscopy with an antibody against tubulin, the protein precursor of microtubules, revealed amounts similar to normal platelets, but none was assembled into tubules or coils. Addition

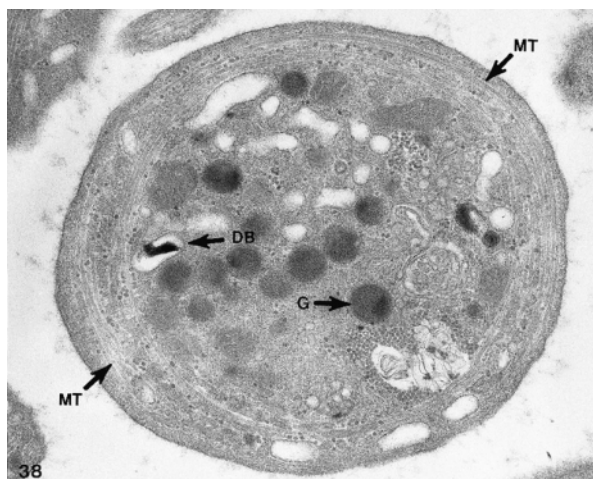


Fig. 3.38. Sol-gel zone. The platelet in this example has been sectioned in the equatorial plane and contains normal organelles including dense bodies (DB) and granules (G). A circumferential bundle of microtubules (MT) is apparent just under the cell surface. The bundle probably consists of a single tubule wrapped many times on itself like coils of a lariat. In this cell it forms several complete circles (Mag  $\times 28\,000$ ).

of Taxol, an agent that stabilizes microtubules or stimulates their assembly, caused formation of microtubules and MT coils in his platelets and their conversion to discs. Results of the studies in this patient demonstrate that the circumferential coil of microtubules is the major cytoskeletal support system responsible for maintaining the discoid shape of human platelets (Fig. 3.38).

### Microfilaments

Microfilaments constitute the second system of fibres in the platelet sol-gel zone. They appear more numerous than other polymers and are so concentrated in the matrix of unaltered platelets that they cannot be resolved in thin sections<sup>12,90</sup> (Figs. 3.35–3.37). However, they can be identified readily in whole mounts of spread platelets and in thin sections of platelet pseudopods. The state of microfilaments in unaltered platelets is not yet clear<sup>28,75</sup>. Subunit proteins of microfilaments exist primarily in a pregel, resembling the viscous state of hemoglobin molecules in intact erythrocytes. Polymerization into filaments may be a constant or alternating process, or may occur only as a result of stimulation during the hemostatic reaction of platelets. Whichever the case may be, it is apparent that the organization of the platelet internal matrix is governed by the balance of sol-gel transformation. Although many other components may be present in the sol-gel zone, microfilaments are the primary structural constituents involved in



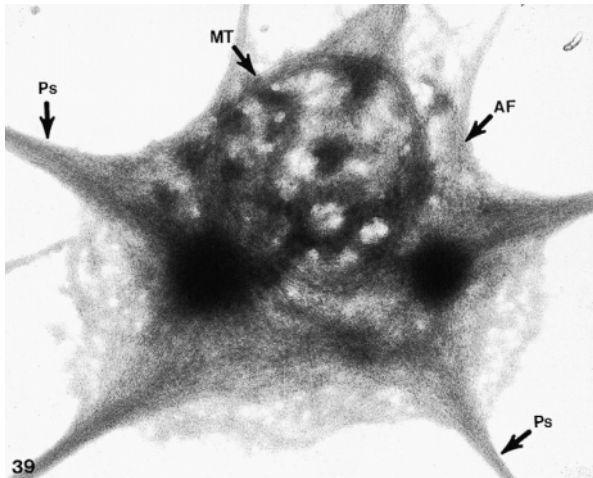


Fig. 3.39. Cytoskeleton of spread dendritic platelet. Surface stimulation has caused this cell to extend long, relatively rigid pseudopods (Ps). The spike-like extensions are filled with parallel bundles of actin microfilaments (AF). A loosely interconnected network of randomly arranged actin filaments fills spaces between the spike-like pseudopods. The circumferential microtubule (MT) is constricted and some of its free ends radiate into the cytoplasm and pseudopods (Mag  $\times 23\,000$ ).

activation induced shape change and pseudopod formation<sup>28,29,70,85,86</sup> (Figs. 3.39, 3.40).

### Organelle zone

The formed elements inside platelets have been of interest to investigators for many years. It was impossible to determine whether the cells contained one or several types of organelles in the light microscope, but early workers observed the disappearance of granules during hemostatic reaction and suggested that they contained secretory products important in platelet hemostatic function<sup>5,91,92</sup>. Electron microscopy revealed several types of organelles in the cytoplasm of platelets (Figs. 3.1–3.4). Mitochondria were easily differentiated from other structures by the plication of their internal membranes into cristae. Electron-dense bodies were distinctive because of their intensely opaque internal content, which was often separated from the enclosing membrane by a clear space<sup>93</sup>. Granules were the most numerous of the organelles in platelet cytoplasm and clearly different from mitochondria and electron-dense bodies.

Supporting the possibility that these organelles might be heterogeneous were differences in the size of platelet granules; the electron opacity of their internal content; the presence of structures similar to microtubules in some, but

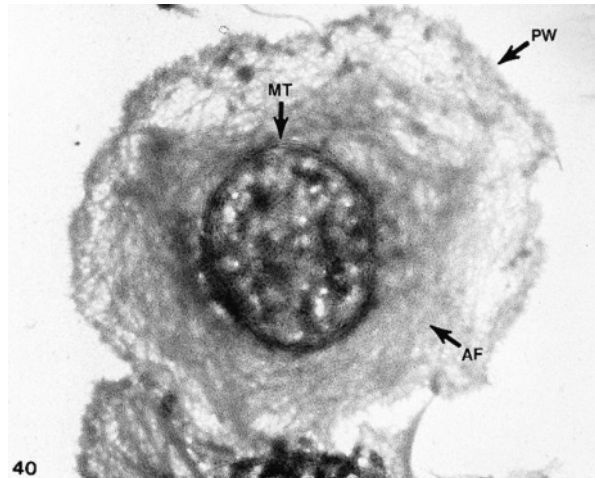


Fig. 3.40. Spread platelet cytoskeleton prepared from citrated-PRP. Coils of the circumferential microtubule (MT) are constricted in the cell centre. Actin microfilaments form a peripheral weave at the cell border and are organized in concentric layers around the MT coil (AF) (Mag  $\times 23\,000$ ).

not in others; and a zone of increased density resembling a nucleoid in many, but not in all. Investigations in several laboratories have strengthened this concept. Breton-Gorius and Guichard<sup>94</sup>, employing a cytochemical technique that detects the peroxidatic activity of catalase, have shown that some of the small granules in platelets stain for this enzyme and resemble peroxisomes found in many other tissues. Bentfield and Bainton<sup>95</sup> have demonstrated that some vesicular elements contain acid phosphatase and aryl sulfatase, whereas granules do not. Da Prada et al.<sup>96</sup> have used biochemical and ultrastructural techniques to distinguish two populations of granules that can be separated by ultracentrifugation in urografin gradients. One population contains only platelet factor 4 and fibrinogen, whereas the other possesses only acid hydrolases. Thus, the organelle zone of normal platelets is more complex than was thought in early years. In addition to mitochondria, electron-dense bodies, and glycogen, it contains granules, vesicles, peroxisomes, lysosomes, and glycosomes.

Investigations on two patients with the grey platelet syndrome (GPS) have shed additional light on the chemical constituents localized within the several different types of granules of the organelle zone<sup>97,98</sup>. The two patients with the disorder have giant platelets. Thin sections reveal normal numbers of mitochondria and dense bodies but a marked reduction in granules. Levels of serotonin and adenine nucleotides were found to be within normal limits, as would be expected since the dense body content

is normal. The catalase activity is normal and some of the granules stain for this enzyme, suggesting that peroxisomes are not abnormal in the GPS. Measurements of acid phosphatase, *N*-acetyl glucosaminidase and  $\alpha$ -glucuronidase are normal, indicating that the majority, if not all of the few granules present are lysosomes. Thus, our studies suggested that GPS platelets are virtually devoid of  $\alpha$ -granules and should be deficient in products confined to these organelles.

It seemed reasonable, therefore, to use GPS platelets to search for products normally present in  $\alpha$ -granules. These studies have shown that GPS platelets are markedly deficient in thrombin-sensitive protein,  $\alpha$ -thromboglobulin, fibrinogen, platelet factor 4, and the mitogenic factor. Thus, the use of the abnormal platelets has helped to confirm the existence of separate granule populations in platelets and permitted characterization of the specific chemical substances associated with  $\alpha$ -granules<sup>98</sup>.

### Dense bodies

The dense bodies of blood platelets have been a subject of particular interest. Electron microscopy, ultrastructural autoradiography, analytical electron microscopy, and biochemistry have demonstrated that the inherently electron-opaque dense bodies are the storage sites for the nonmetabolic pool of adenine nucleotides, serotonin, and calcium secreted by human platelets during the release reaction<sup>92,99–103</sup> (Figs. 3.41, 3.42). Dense bodies are virtually absent in platelets from patients with storage pool disease and the Hermansky–Pudlak syndrome<sup>104</sup>. Their absence is associated with defective aggregation *in vitro* and mild hemorrhagic symptoms *in vivo*. Richards and Da Prada<sup>105</sup> have introduced a cytochemical technique for localizing adenine nucleotides in organelles storing biogenic amines and have used this method to stain selectively the membranes surrounding platelet dense bodies. Platelets from animals and humans with storage pool disease and patients with Hermansky–Pudlak syndrome were completely negative for the uranaffin reaction. Thus, morphology and ultrastructural cytochemistry have played important roles in characterization of dense bodies, and in diagnosis of patients with platelet storage pool deficiency.

### Alpha ( $\alpha$ ) granules

Alpha granules are the most numerous of the several types of organelles in platelet cytoplasm (Figs. 3.2, 3.4, 3.38). Despite relatively similar shape, size and internal matrix appearance, variations are common. Giant platelet  $\alpha$  granules are frequent in platelets maintained at room temperature or 37°C for over 24 hours. They result from fusion of normal sized  $\alpha$  granules<sup>106</sup>. The giant granules are noted in

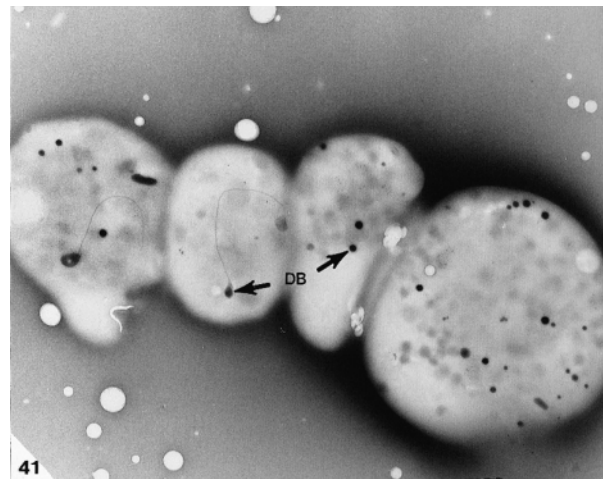


Fig. 3.41. Human platelets examined unfixed and unstained in the transmission electron microscope. Dense bodies (DB) inside the cytoplasm are inherently electron opaque, permitting visualization and enumeration by this technique (Mag  $\times$  10 000).

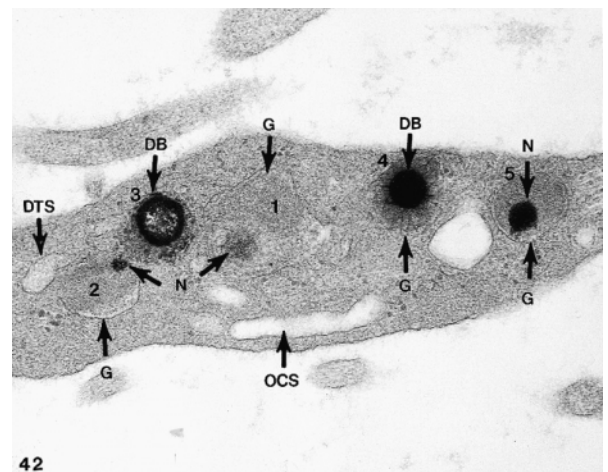


Fig. 3.42. Conversion of platelet granules to dense bodies. The precise origin of platelet dense bodies is still uncertain. In the past we have suggested that they originate from a population of  $\alpha$ -granules containing nucleoids. This illustration demonstrates several stages in the development of dense bodies from granules. One granule (1) has a slightly dense nucleoid while the granule (2) next to it has a smaller but more electron-dense spot in its matrix. The nucleoid in a third granule (3) is larger and very dense. Without granule matrix it would be considered to be a dense body. The fourth (4) and fifth (5) organelles are typical dense bodies, but retain granule matrix. Although we no longer believe dense bodies originate from alpha granules, the origin of the dense nucleoids in granules remains uncertain (Mag  $\times$  40 000).

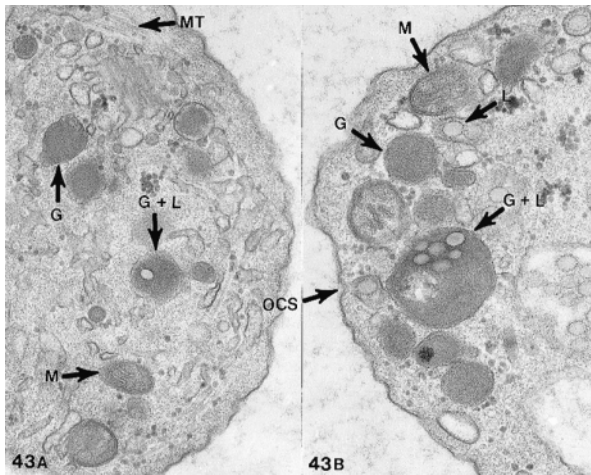


Fig. 3.43(a) and (b). Platelets from samples of C-PRP exposed to latex for 60 and 90 min, respectively, before fixation. The cells are still relatively discoid with circumferential microtubule (MT) and randomly dispersed organelles, including granules (G) and mitochondria (M). Some of the latex spherules (L) have been transferred from OCS channels to apparently intact granules (G and L) (Mag  $\times 35000$ ).

stored platelet samples and represent the earliest morphologically visible storage lesion in the cell<sup>107</sup>. Cross-sections reveal nucleoids as cores of alpha granule matrices that vary in electron opacity. Many nucleoids are as electron opaque as dense bodies and this observation gave rise to the speculation that dense bodies derived from alpha granules<sup>103</sup> (Fig. 3.42). This did not prove to be the case. Cross-sections also revealed microtubule-like structures at the peripheral margin of the matrix in some alpha granules<sup>108</sup>, but not in all. Immunohistochemical studies have shown that the tubules are von Willebrand factor<sup>109</sup>. Alpha granules are the storage site for a long list of proteins that facilitate activation, aggregation, hemostasis, clot retraction and repair of the injured blood vessel. Behnke<sup>110</sup> proposed that the various proteins were taken up by coated vesicles formed at the platelet surface membrane and transferred across platelet cytoplasm to alpha granules. As he suggested, platelets were constantly 'sipping the soup'. However, the number of coated vesicles forming at the surface or present in the cytoplasm of resting and activated platelets is so small that the mechanism as a source for alpha granule proteins seems remote. The surface-connected open canalicular system may be a more likely route for uptake and transfer of proteins to alpha granules. It has been shown that small latex spherules (Fig. 3.43), colloidal gold particles and thorium dioxide can be taken up and transferred by the OCS to apparently intact alpha granules without causing platelet activation.<sup>111</sup> Recent studies have

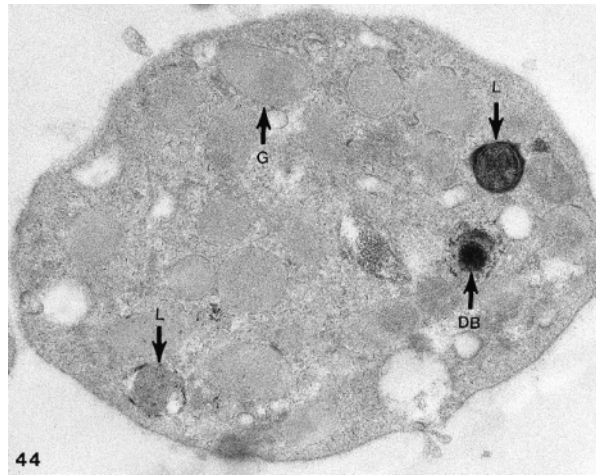


Fig. 3.44. Platelet from a sample of citrated PRP incubated in special medium to demonstrate acid phosphatase. Most granules (G) are devoid of reaction. One organelle is heavily stained by lead salt and another is lightly stained. Because they contain reaction product of acid phosphatase, both organelles are considered lysosomes (L). A dense body (DB) is also present in this cell (Mag  $\times 43000$ ).

shown that platelet alpha granule membranes mirror the platelet plasma membrane in their content of glycoproteins GPIIb-IIIa, CD36, CD9, PECAM-1, Rap 1b, GPIb, GPIX and GPV<sup>112</sup>. This finding may reflect a constant interaction between alpha granules and membranes of the open canalicular system in platelets leading to uptake of proteins.

### Lysosomes

Lysosomal organelles are relatively infrequent in human platelets. They have no distinguishing morphological features to separate them from alpha granules. Thus, they require cytochemical techniques to identify them (Fig. 3.44). Platelet lysosomes contain a full complement of hydrolytic enzymes similar to those found in the neutrophil lysosomes. One of them, acid phosphatase, is readily identified by ultrastructural cytochemistry. The only disorder in which platelet lysosomes are involved is the Chediak-Higashi syndrome (CHS)<sup>113,114</sup>. The lysosomes are often enlarged and irregular in form in platelets obtained from patients with CHS. It is uncertain what functions lysosomes serve in normal platelet physiology.

### Internal membrane system

#### Surface-connected open canalicular system (OCS)

The introduction of aldehyde fixation techniques in the early 1960s resulted in markedly improved preservation of platelet ultrastructure and stabilization of the associations

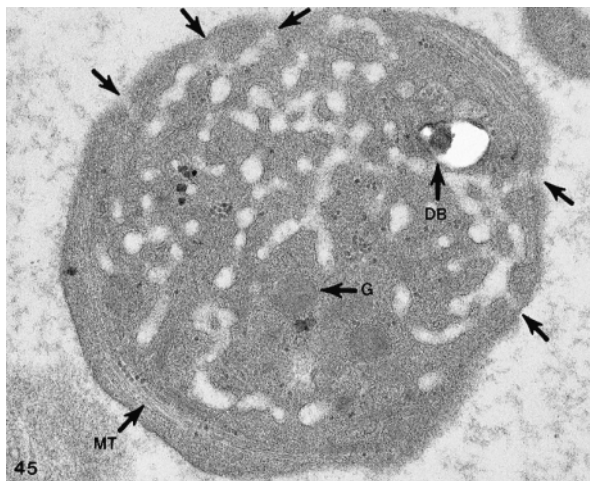


Fig. 3.45. Membrane systems. Discoid platelet sectioned near the horizontal plane. Portions of the circumferential band of microtubules (MT) are apparent near the cell periphery. Dense bodies (DB), granules (G) and other cytoplasmic constituents are spread randomly in the cell substances. Some of the larger black particles in the background are due to osmium precipitate. The tortuous nature of the OCS is apparent in this example. At least five openings (*arrows*) onto the cell surface can be identified. The alternating dilatations and constrictions in the channels produce a tortuous appearance. Channels branch shortly after entering the cell and communicate with each other. The result is a spider web-like maze of interconnecting channels that spreads throughout the cytoplasm and communicates directly with the cell surface at several sites (Mag  $\times 43\,000$ ).

between the surface membrane and what had been regarded as vesicles and vacuoles in early studies<sup>115</sup>. The OCS revealed by improved fixation consisted of tortuous invaginations of the cell wall tunneling throughout the cytoplasm in a serpentine fashion (Fig. 3.5, 3.45). Studies employing electron-dense tracers revealed that channels of the OCS were patent in activated and aggregated platelets as well as in unaltered cells<sup>12</sup>. Vesicles and vacuoles were virtually absent in doubly fixed platelets, suggesting that these elements were in reality components of the OCS. It was clear from these investigations that canaliculi of the OCS greatly increased the total surface area of the platelet exposed to plasma and provided a route for chemical or particulate substances to reach the deepest recesses of the cell. The observation that the OCS remained patent throughout the processes of platelet shape change, internal transformation, contraction, adhesion, and aggregation suggested that the channels might serve as conduits for substances extruded by platelets during the release reaction<sup>116,117</sup> (Figs. 3.46, 3.47). Experiments employing the cationic polyelectrolytes polylysine and polybrene dem-

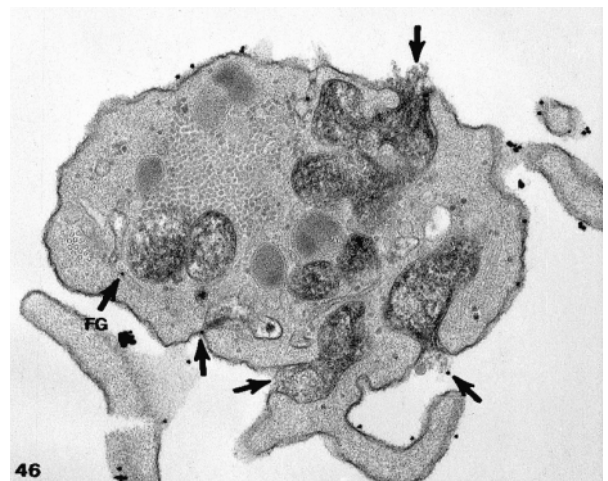


Fig. 3.46. Platelet fixed 15 seconds after exposure to 0.2 U/ml of thrombin in the presence of fibrinogen-coated gold particles. Shape change is limited, but many granules are tannic acid positive. Many have fused with channels of the open canalicular system ( $\uparrow$ ) and are in the process of discharge to the exterior. Fibrinogen-gold (FG) particles cover the exposed surface and have entered the OCS (Mag  $\times 36\,000$ ).

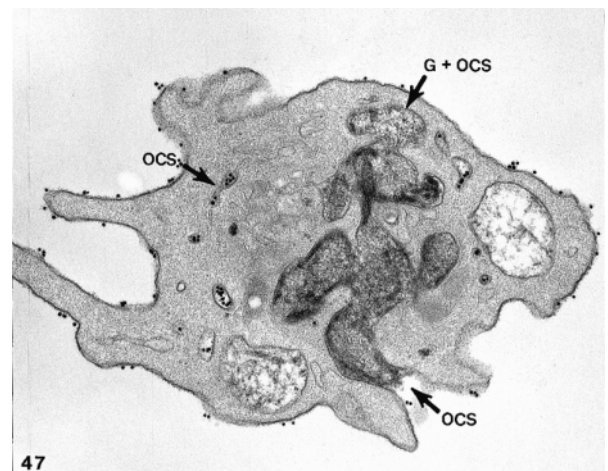


Fig. 3.47. Platelet exposed to fibrinogen gold and then to 0.2 U/ml of thrombin for 30 seconds before fixation and staining with tannic acid. Shape change and internal transformation are reasonably advanced and many tannic acid positive alpha granules are fused to OCS channels (G + OCS) delivering their contents to the exterior. Fibrinogen coated gold particles have penetrated many OCS channels (Mag  $\times 36\,000$ ).

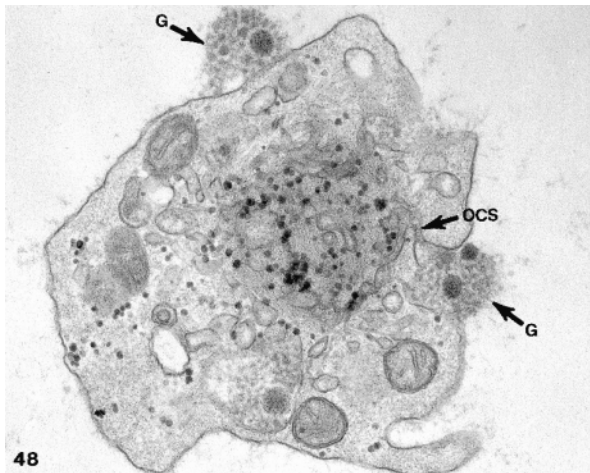


Fig. 3.48. Platelet from washed suspension combined with polylysine and then exposed to thrombin (0.5 U/ml) for 30 seconds before fixation. Polylysine has been taken up by alpha granules (G), some of which are connected to the OCS and in the process of discharging their contents to the exterior. The extruded granules remain intact outside the platelet, despite loss of their enclosing membranes (Mag  $\times 36000$ ).

onstrated that this supposition was correct<sup>118,119</sup> (Fig. 3.48). Recent studies have shown that channels of the OCS remain open during clot retraction and continue to serve as the egress route for products of dense bodies and alpha granules<sup>120</sup>.

#### Dense tubular system (DTS)

Channels of the DTS were distinguished from clear canaliculi of the OCS by an amorphous material similar in opacity to surrounding cytoplasm concentrated within them that stains for peroxidase (Fig. 3.49) and binds lead (Fig. 3.50)<sup>115</sup>. Like the OCS, channels of the DTS were randomly dispersed in the platelet cytoplasm. In addition, a channel or two of the OCS could be identified in close association with the circumferential band of microtubules in most thin sections of platelets (Figs. 3.2, 3.4). Investigations of this relationship suggested that the DTS might have an important role in the elaboration and stabilization of the circumferential microtubules supporting the discoid form of platelets. Additional studies demonstrated that the DTS originated from rough endoplasmic reticulum in the parent megakaryocyte and was, therefore, residual smooth endoplasmic reticulum<sup>18</sup>. There was no evidence of a physical communication between channels of the OCS and DTS, although such a communication had been suggested by some workers. Thus, platelets have two discrete membrane systems not found in other blood cells,

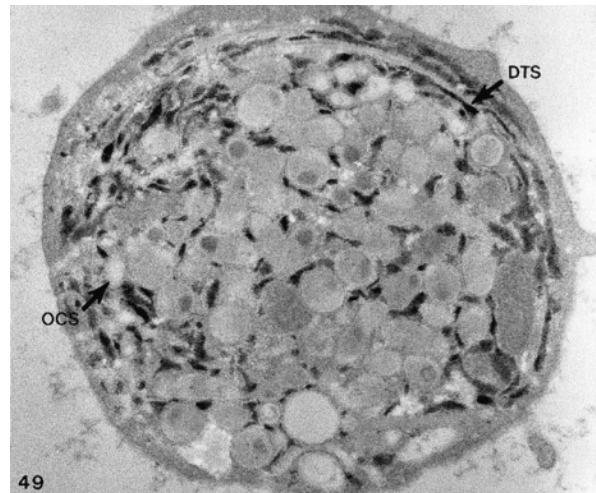


Fig. 3.49. Cytochemistry of membrane systems. This platelet is from a sample of PRP incubated for peroxidase activity. Enzyme reaction product is specifically localized to channels of the DTS and none is present in the surface-connected OCS (Mag  $\times 31000$ ).

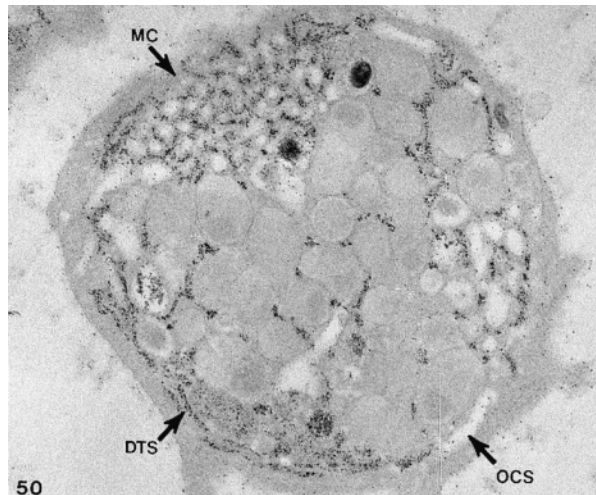


Fig. 3.50. Cytochemistry of membrane systems. The cell is from a sample of glutaraldehyde-fixed platelets incubated in a solution containing lead ions. Lead has selectively deposited in channels of the DTS. None is present in the surface-connected OCS. Note the proximity of lead-filled channels to open channels in the membrane complex (MC). The same procedure has been used to demonstrate calcium-binding sites in the sarcoplasmic reticulum of muscle (Mag  $\times 38000$ ).

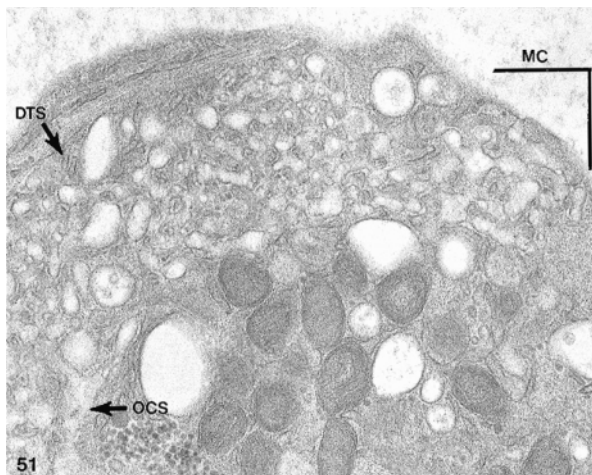


Fig. 3.51. Membrane systems. The sponge-like appearance of the peripheral cytoplasm in this cell is due to the interwoven associations between elements of the surface-connected OCS and the DTS. The resulting membrane complex (MC) strongly resembles the associations between transverse tubules and sarcotubules in muscle cells (Mag  $\times 46000$ ).

the OCS derived from the plasma membrane of the megakaryocyte and the DTS representing residual smooth endoplasmic reticulum of the parent cell.

### Membrane complexes

The OCS and DTS are not completely isolated membrane systems. Close inspection of thin sections of well-preserved platelets revealed that canaliculi of the OCS and DTS form intimate physical relationships in nearly every cell (Figs. 3.50–3.52). The association of the two channel systems was usually restricted to one or two areas of the cytoplasm, and in most examples these areas were eccentrically located. Elements of the OCS in such areas were gathered in clusters or groups. Even though the open channels were closely approximated, small canaliculi of the DTS could be identified interspersed between them. The relationship was particularly prominent in platelets stained for peroxidase activity in which dense reaction product delineated channels of the DTS and outlined their extremely close relationship to cluster of open canaliculi<sup>121</sup> (Fig. 3.52). Examination of the membrane complexes at higher magnification revealed that elements of the DTS were the only structures interspersed between open canaliculi, and that membranes of the two channel systems were practically in apposition. Replicas of freeze–fractured platelets revealed an identical arrangement of the two channel systems in complexes<sup>122</sup>. When studied by this method, the OCS is clearly seen to be a fenestrated membrane system and

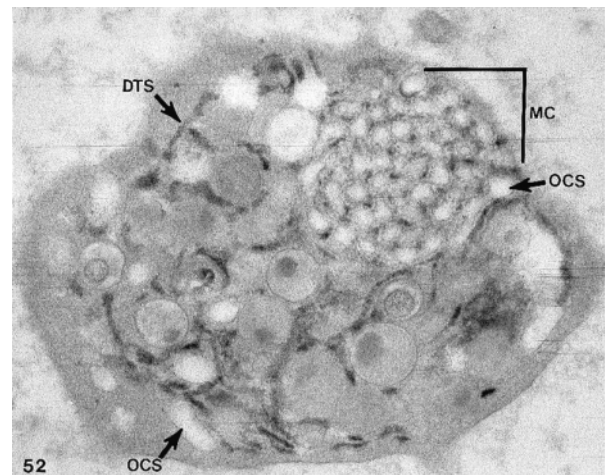


Fig. 3.52. Cytochemistry of membrane systems. The platelet is from a sample reacted for endogenous peroxidase activity. Reaction product is localized to channels of the DTS. Clear channels of the surface-connected OCS form very close associations with the DTS in membrane complexes (MC) (Mag  $\times 48000$ ).

channels of the DTS are located in each window or fenestra.

Electron-microscopic studies that defined the platelet as a form of muscle cell were crucial in identifying and characterizing the membrane systems involved in regulating activation of the contractile system. Contraction of platelet actomyosin, as in other muscle systems, is modulated by calcium flux. Calcium is maintained at low levels in the cell until the stimulus for contraction is received at the surface or sarcolemma. In skeletal muscle a system of channels, derived from the cell wall and referred to as the transverse tubular system, conducts the signal to the cell interior<sup>123</sup>. Transmission from the transverse tubular system is, in turn, mediated by a second group of channels called the sarcotubular system derived from endoplasmic reticulum in the embryonic muscle cell. The sarcotubules contain higher concentrations of calcium than the cytoplasm. Release of calcium from the sarcotubules to the cytoplasm triggers activation of the contractile elements, and relaxation develops when calcium is pumped back into the sarcotubules.

The similarity of the platelet membrane systems and membrane complexes to the transverse tubules and sarcotubules of muscle led to a series of investigations comparing them<sup>122</sup>. These studies have shown that the DTS is the calcium-sequestering site in platelets (Fig. 3.50), resembling the sarcotubules of muscle cells, and that the channels of the OCS are similar to the transverse tubules of muscle. Furthermore, the membrane complexes closely

resemble the diads and triads formed between the transverse tubules and sarcotubules in muscle cells (Fig. 3.51). The proximity of the dual channel system in platelets to the contractile elements, and the demonstration that the DTS selectively binds divalent cations, that platelets do not require exterior calcium for activation, and that vesicles from platelet membranes can sequester calcium just as well as sarcotubular vesicles from muscle, strongly support the concept that the OCS and DTS in platelets are the equivalent of the sarcoplasmic reticulum of muscle cells<sup>122</sup> (Fig. 3.52).

In 1963, Grette<sup>124</sup> showed that pig platelets contained a relaxing factor that could prevent clot retraction presumably by sequestering calcium. This factor was identified as a membrane vesicle by Statland et al.<sup>125</sup> in 1969 and has been characterized by a number of groups since then<sup>126</sup>. Additional evidence has localized the  $\text{Ca}^{2+}/\text{Mg}^{2+}$  ATPase to the DTS, although some activity may be in the channels of the surface-connected canicular system<sup>127</sup>. Thus, platelets appear to be able to pump calcium out of the cytoplasm into the DTS and perhaps also outside the platelet. The activity of this calcium pump is essential to maintaining a low cytoplasmic calcium concentration, which may in turn keep the microtubules polymerized and the cell in its resting discoid state<sup>128</sup>. The activity of the calcium pump is enhanced by the presence of intracellular cyclic adenosine 3'5'-monophosphate (cAMP), which is important as an inhibitor of platelet function<sup>129</sup>. Many agents that inhibit platelet function, including adenosine, prostaglandin  $\text{E}_1$ , prostaglandin  $\text{D}_2$  and prostaglandin  $\text{I}_2$ , act by stimulating the platelet adenylate cyclases to cause a rise in intracellular cAMP. The mechanism whereby cAMP enhances the calcium pump activity is unknown. However, cAMP may well work through a protein kinase and phosphorylation of a protein associated with the calcium pump<sup>130</sup>.

An interest in prostaglandin synthesis developed from investigations of platelets from patients with the Hermansky-Pudlak syndrome (HPS), the classic form of storage pool deficiency<sup>131</sup>. HPS platelets are deficient in dense bodies, serotonin, and adenine nucleotides and fail to undergo the second wave of aggregation when stimulated by agents that cause the release reaction. Normal platelets treated with aspirin also fail to develop second waves of aggregation when exposed to these agents because aspirin blocks prostaglandin synthesis. The similar defects in the response of aspirin-treated and HPS platelets to aggregating agents led us to carry out an unusual experiment. We combined equal volumes of HPS and aspirin-treated normal platelets and exposed samples to aggregating agents. The results demonstrated that the mixed population of aspirin-treated normal cells and HPS

platelets responded as well as untreated normal control platelets to all aggregating agents.<sup>132</sup> In subsequent investigations, we demonstrated that an aspirin-sensitive factor in HPS platelets stimulated by exposure to the aggregating agent overcame the influence of aspirin on the normal cells, resulting in the release of their storage pool and normalization of the second wave of aggregation<sup>133</sup>. This was the initial demonstration of intercellular communication between platelets and revealed for the first time that two abnormal populations of platelets could compensate for each other's defect.

Results of this study led to investigation of platelets by combined biochemical and ultrastructural techniques for the site of prostaglandin synthesis. Ultrastructural cytochemical studies by Janine Breton-Gorius<sup>134</sup> and by us<sup>18</sup> had demonstrated that platelets contain a specific peroxidase activity localized to channels of the DTS. It is our practice to test agents that inhibit cytochemical reactions on living cell systems. As a result, we examined the effect of 3-amino-1,2,4-triazole (AMT), an inhibitor of platelet peroxidase, on platelet aggregation<sup>135</sup>. The effects of the agent were similar to those of aspirin. Concentrations of AMT that blocked cytochemical demonstration of peroxidase inhibited the second wave of aggregation stimulated by release-inducing aggregating agents. Subsequent studies revealed that AMT blocked prostaglandin formation and suggested that the peroxidase was involved in the synthetic process. Other workers have shown that peroxidase activity is contained on the prostaglandin endoperoxide synthetase enzyme during purification of the enzyme and that the heme groups of cyclooxygenase are essential for its prostaglandin synthetase activity. These findings and studies on platelet subcellular fractions showing that prostaglandin synthetic activity separates with endoplasmic reticulum enzymes and not plasma membrane enzymes<sup>136</sup> led to the conclusion that the DTS is the site of platelet prostaglandin synthesis<sup>137</sup>.

In summary, morphology and ultrastructure have provided the palette on which to mix details of platelet biochemistry, physiology and pathology. Remaining details will soon be added to the final picture and, in essence, our work will be complete.

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# Platelet heterogeneity: physiology and pathological consequences

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## Introduction

Individual platelets vary in terms of volume, density and reactivity. Ever since the seminal paper by Karpatkin<sup>1</sup>, the biological significance of this platelet heterogeneity has been strongly contested.

Platelet volume, usually measured as mean platelet volume (MPV), has been the most frequently studied platelet physical variable. During steady-state hemato-poiesis the platelet volume distribution approaches log normality with platelets ranging in size from  $<2 \mu\text{m}^3$  to  $>15 \mu\text{m}^3$  in volume. This variation in size is considerably greater than that observed for other circulating blood elements in any mammal. This heterogeneity forms the basis of the controversy with respect to the physiological relevance of platelet volume and reactivity<sup>2</sup>.

Separation of platelets into size dependent subpopulations<sup>3</sup> has shown that the granular contents of these cells is directly proportional to their volume. Importantly, the ability of platelets to affect each other and their environment as measured by aggregation and total amount of granular contents released, is proportional to their size and confirmed by the finding that platelet volume correlates with absolute platelet function<sup>4,5</sup>. The physiological explanation for this lies in the observation that high-density platelets contain significantly higher concentrations of alpha granule proteins ( $\beta$  thromboglobulin, von Willebrand factor), dense granule markers (5-HT, calcium), mitochondrial marker enzymes (monamine oxidase, cytochrome oxidase, glutamate dehydrogenase and NADP-dependent isocitrate dehydrogenase), glycogen<sup>6,7</sup> and express more of the GPIIb/IIIa receptor<sup>8</sup>.

Furthermore although only limited work has been performed on functional properties of platelet density subpopulations, Karpatkin's original report<sup>1</sup> showed that high-density platelets aggregated more quickly and

released greater quantities of ATP, ADP and platelet factor 4 than low-density platelets in response to stimulation by ADP, thrombin and adrenaline (although the use of non-linear gradients in this work to determine platelet density-dependent subpopulations has been questioned by Martin & Trowbridge<sup>9</sup>).

However more recent work has shown that, although high-density platelets may produce more thromboxane  $\beta_2$  in response to 0.5 mmol arachidonate than low-density platelets, response to thrombin stimulation remains the same<sup>10</sup>. Nubile et al.<sup>11</sup> reported a greater extent of aggregation in response to ADP in low-density platelets compared to high-density platelets, while the response to collagen and adrenaline was slightly greater in high-density platelets. Other groups have also reported no differences in 5-HT transport between platelet populations and, importantly, that all platelet populations lose similar percentages of their dense bodies in respect to thrombin stimulation<sup>12</sup>.

This would suggest that any increase in function as measured by biochemical markers is solely due to the increase in platelet contents that occurs in proportion to their density and size and not due to an overproduction of these factors within high-density platelet populations.

## Causes of heterogeneity

Two separate hypotheses which are mutually exclusive have been proposed to explain the origin of platelet heterogeneity. The first maintains that changes in platelet properties are the result of the ageing of platelets in the circulation. The second holds that platelets are created with properties that remain unchanged as the platelet ages. Both these possibilities shall be considered individually below.

### Platelet ageing as a cause of heterogeneity

Considerable work, by several groups, has demonstrated that platelet density increases<sup>13–15</sup> decreases<sup>1,16–22</sup> or remains stable<sup>14,23,24</sup> as platelets age. Various models have been used to study the phenomenon of platelet ageing. Mezzano et al.<sup>13</sup> measured the survival of <sup>51</sup>Cr labelled unfractionated human platelets and radio labelled density cohorts. They concluded that newly formed platelets become denser with platelet ageing. They also found that platelet survival of fractions enhanced with low-density platelets were significantly longer than that of fractions enhanced with high-density platelets, data confirmed by Martin and Penington<sup>14</sup> using *Macaca fascicularis* monkeys. However, in another study using radio-labelled density dependent platelet cohorts in splenectomized dogs, they found that, in contrast to human studies, platelet density in dogs decreases with ageing. This confirmed work performed by Corash's group<sup>17</sup> using *Macaca mulata* monkeys in which high-density and low-density platelet cohorts were isolated, labelled with radiochromium (<sup>51</sup>Cr), re-injected into donor animals and the survival and density distribution of the labelled cohorts followed with serial density gradient analyses. Corash and Shafer<sup>18</sup> also used another experimental model of injecting radio-labelled amino acids into rabbits followed by serial fractionation of platelets into density dependent platelet cohorts to again confirm that young platelets tend to be high-density and that with age, density decreased. Evidence to support the suggestion that platelet density does not change with ageing comes from observations of patients in whom thrombopoiesis could be switched on by plasma infusion<sup>25</sup>. Relatively young platelets were obtained 4 days after infusion and older platelets 18–21 days after infusion. Although older platelets were associated with longer bleeding times, decreased platelet adhesiveness and deficient platelet factor 3 availability, no structural differences between young and old platelets were observed by electron microscopy.

Thus, although platelets are heterogeneous with respect to size and density, evidence exists that links density changes with both young and old platelets suggesting that density is independent of ageing. Several groups<sup>5,12</sup> have used discontinuous gradients to separate platelets by density, but when Martin's group used this technique<sup>9</sup> they found that platelet populations taken from each 'density' interface comprised platelets with a variety of densities. The authors therefore questioned the validity of discontinuous gradients to measure absolute platelet densities. These observations vary depending upon the type of model used and method used for separating and deter-

mining platelet density. The fact that there are many different interpretations of these observations is in keeping that platelet heterogeneity is not related to platelet age and hence the second hypothesis.

### Thrombopoiesis as the origin of platelet heterogeneity

Observations made during steady-state thrombopoiesis have shown that MPV and platelet counts are inversely related, suggesting that platelet production is regulated to maintain a constant circulating functional platelet mass<sup>26</sup>. This hypothesis has been tested by observing platelet count and MPV in Rhesus monkeys during continuous infusion of granulocyte – macrophage colony stimulating factor (GM-CSF). Infusion of GM-CSF leads to a significant increase in circulating platelet count and concomitant decline in MPV<sup>27</sup>. Other studies<sup>28–32</sup> have shown that stimulated thrombopoiesis, which occurs during periods of increased haemostatic demand can induce increases in firstly MPV and secondly number of platelets produced. Linking these observations to a mechanism that controls platelet size at the time of their production are the experiments of Corash et al.<sup>29</sup> using a murine model to examine the relationship between bone marrow megakaryocyte (MK) ploidy and platelet volume. In response to acute severe thrombocytopenia (reduction in platelet level of  $<0.05 \times 10^6/\mu\text{l}$ ), platelet volume was noted to increase 40 hours before a detectable shift in bone marrow MK ploidy<sup>29</sup>. Less severe thrombocytopenia (reduction of the platelet level to  $0.015$  to  $0.25 \times 10^6/\text{ml}$ ) resulted in a delay of the shift in ploidy distribution from 48 to 72 hours after the onset of thrombocytopenia. Moderate thrombocytopenia ( $0.30$ – $0.4 \times 10^6/\mu\text{l}$ ) did not result in a ploidy shift, but did produce a significant and prolonged increment in MPV. In response to moderate thrombocytopenia, MPV was significantly increased as early as 12 hours after administration of platelet antiserum and remained increased during the period of thrombocytosis following recovery<sup>29</sup>. These results lead Corash et al. to suggest that changes in MPV occur before any changes in MK ploidy but that these changes may arise secondarily to changes in the actual platelet release process. However, Martin and Penington<sup>14</sup> have shown that MK ploidy was found to increase in the presence of platelet destruction and that large platelets were released into the circulation. These platelets were found to have increased thromboxane A<sub>2</sub> production per unit volume of platelet cytoplasm suggesting a preceding change in MK cytoplasmic reactivity occurring within 24 hours following platelet destruction. These platelets

reduced bleeding time more than controls per unit volume cytoplasm<sup>33</sup>. Changes in mean bone marrow MK ploidy are slow and associated with an increase in MK cytoplasmic volume<sup>34</sup>. Such a change is associated with a sustained increase in platelet volume when platelets are continuously destroyed to reveal the size of the newly produced platelets<sup>35</sup>. However, an increase in ploidy appears to be associated consistently with an increase in platelet count<sup>23,34,36–38</sup>. Kristensen et al.<sup>39</sup> have shown that in man, under normal conditions, there is a significant negative correlation between MK ploidy and bleeding time (which is determined by platelet behaviour). However, platelet volume was not related to MK ploidy in these normal individuals during steady-state platelet production. If changes in MK ploidy are induced without a change in the rate of destruction, e.g. by injection of vincristine, thrombocytosis occurs without a change of platelet volume<sup>40</sup>. These data therefore argue that the origins of platelet heterogeneity lie in the highly regulated and complicated process of thrombopoiesis itself as opposed to changes occurring in the circulation as platelets age.

Thrombopoietin (TPO) has been described as the most potent hormonal regulator of platelet production in man<sup>41</sup>. Its discovery, however, has occurred several years after most of the work on platelet heterogeneity was published. It is now thought that TPO is constitutively produced by the liver and kidneys<sup>42</sup> and that the combined MK/platelet mass is kept at a constant level by self-regulatory mechanism involving the TPO receptor c-mpl found on platelets and MKs. It is probable that TPO controls platelet number by causing changes in MK ploidy, although there is still no evidence concerning the controlling mechanism of platelet volume.

### Theories of platelet production

Not only is the mechanism of platelet production disputed, but also the site.

#### MK fragmentation in the bone marrow

Wright in 1906 through observations of fixed sections of rat bone marrow, using a light microscope, first described loss of MK cytoplasm by detachment of buds of platelet-like fragments<sup>43</sup>. The presence of MKs with pseudopodia, in the bone marrow, has been confirmed by electron microscopy<sup>44–48</sup>. This subject has recently been revisited by Zucker-Franklin again to demonstrate in a static system filamentous extensions of MK cytoplasm<sup>49</sup>. Filamentous extensions of MK cytoplasm have also been observed in

culture<sup>50–53</sup>. These have been thought to correspond to the cytoplasmic protrusions seen by Wright<sup>43,54</sup>. Taken together these observations would suggest that platelets are actively produced in the bone marrow. However, MKs have never been observed producing platelets in the marrow and these conclusions may result from artefacts related to in vitro conditions or preparation methods. Given that, in general, the majority of hematopoiesis occurs within the bone marrow, the concept that thrombopoiesis occurs at this site still remains a logical possibility, but lacks definitive evidence.

#### Platelet production from the demarcation membrane system (DMS)

MKs are approximately 10–500 times as large as a human red blood cell. Approximately four-fifths of this volume is cytoplasm, which contains various organelles. Observations of the contents of MK cytoplasm by Yamada<sup>55</sup> led to the proposal of an extensively invaginated demarcation membrane system (DMS) that delineated future platelets in the MK cytoplasm. The DMS appears as a tubular arrangement<sup>56</sup> but does not surround cytoplasm and therefore, by itself, cannot delineate platelets. Thus the suggestion by Shaklai and Tavassoli of a mechanism of tubular fusion followed by membrane fission was put forward to explain how a tubular system can be transformed into the flat membranes that are necessary for the enclosure of the platelet cytoplasm. Rather than the DMS, 'platelet territories' were therefore proposed as the site of platelet production in the MK. Further morphological data suggested that DMS was a misnomer and that the tubular invaginations act as a membrane reserve for the attenuated processes. However, this suggestion has been subsequently thrown into confusion following freeze–fracture studies of Shaklai and Tavassoli that showed the invaginated system to consist of a complex of interacting channels involving fusion and branching so that there is communication between many of the invaginations. White<sup>57</sup> has suggested that this complex of intracytoplasmic tunnels would be torn apart in simple evagination. Thus several inconsistencies appear in the platelet territory explanation of platelet production. Despite these problems, the process of growth and demarcation of platelet territories has been proposed as a mechanism for platelet volume heterogeneity which would explain the platelet log volume distribution<sup>58</sup>. This model does not address the problem of platelet release from the MK nor does it identify the mechanism of biological control over growth and demarcation which is supposed to determine platelet size.

### Platelet production in the peripheral circulation

Electron microscopic evidence that MKs in their entirety migrate through the vessel wall<sup>59</sup> suggests that platelet production may occur at a site away from the bone marrow. It has also been demonstrated that megakaryocytic cytoplasm is capable of amoeboid movement<sup>60</sup>, leaving the naked nucleus behind in the marrow. These observations suggest that both MKs and megakaryocytic cytoplasmic processes are capable of transendothelial migration into the bone marrow sinusoids and hence into the peripheral circulation. Direct evidence is also produced by the work of Kinosita and Ohno<sup>61</sup> in which windows were made in the femur of rabbits and the marrow tissue, once it had regenerated, examined by phase contrast microscopy. In these experiments, whole MKs were observed in the bone marrow sinusoids, but cytoplasmic processes were never observed projecting into the sinusoidal lumen. It was also noted that platelets were produced by cytoplasmic disintegration in the extrasinusoidal and sinusoidal spaces although the possibility that this was due to MK spreading on the glass of the windows cannot be excluded.

Circulating MKs (of the order of 25 MKs per ml of blood) have been found in the adult human vena cava<sup>62</sup>. Differential concentrations of cytoplasm bearing MKs have been demonstrated between arterial and venous blood (increased concentrations on the venous side) suggesting that either some filtering of MKs occurs between central venous blood and arterial blood<sup>62</sup> or that the MKs in venous blood fragment to form platelets<sup>63</sup>. Similar findings have been reported in other mammals including rat, dog and rabbits<sup>64–66</sup> suggesting potentially similar mechanisms of platelet production. This is logical since all mammals have a  $\log_{10}$  normal distribution of platelet volume and ploidy<sup>67,68</sup>. Due to the difficulties of studying MKs as they circulate, it is difficult to obtain evidence that shows platelet production in circulating blood. However, the pulmonary capillaries act as filters for large cells, and it has been suggested that they trap circulating MKs and form platelets at this site. The evidence for this shall be considered below.

### Platelet production from circulating MKs in the lungs

An alternative theory regarding the site of platelet production was developed from observations made as long ago as 1893 by Aschoff<sup>69</sup> and subsequently by Wright in 1906<sup>43</sup>, of the presence of MKs in the pulmonary vessels. Since these MKs were found mainly to consist of naked cytoplasmic

material, the general opinion was that the MKs were migrants from the bone marrow (or spleen in some circumstances) which had lost their cytoplasm in the process of platelet formation before reaching the lungs, or shortly after being trapped in the pulmonary capillaries.

However, these observations were questioned following the observation of cytoplasmic processes extending from pulmonary MKs and pushing through pulmonary capillaries<sup>70</sup>. This data therefore seemed incompatible with the notion of pulmonary MKs representing degenerating cells that had been cast off from the bone marrow and it was postulated that MKs are formed or develop in the lungs themselves from myeloblastic cells.

Autopsy studies revealed the presence of pulmonary MKs in every one of 50 cases<sup>71</sup> as well as in other organs such as spleen, liver, kidney and heart. This led to the suggestion that these MKs originate in the bone marrow and their presence in other organs is therefore dependent upon the number of MKs entering the systemic circulation or the bone marrow and subsequently passing through the pulmonary bed to reach the other organs.

Experiments in dogs whereby blood to the left lung was shunted, bypassing systemic circulation, revealed that the lung receiving systemic blood (that had passed through the bone marrow) had almost 100 times higher concentration of MKs than the shunted lungs<sup>72</sup>. This therefore refuted the belief that MKs formed within lung tissue but still left the possibility of platelet production within the pulmonary capillary bed.

Other authors have also reported the presence of mature MKs in pulmonary arteries and the presence of naked nuclei in the pulmonary capillary<sup>48,73</sup>. They have also demonstrated a relationship between pulmonary MKs and microthrombosis, suggesting that conditions that involve generalized thrombus formation (e.g. disseminated intravascular coagulation) were also associated with increased concentrations of pulmonary MKs<sup>73</sup>.

Thus considerable evidence suggests that MKs are found in pulmonary capillaries but what becomes of them? One possibility is that they change shape and pass through the pulmonary capillaries into the systemic circulation. Observational evidence suggests that this is not the case due to the finding of naked nuclei in the pulmonary bed<sup>62</sup> and the decreased amount of MKs with cytoplasm found in the systemic arterial circulation compared to the venous circulation<sup>62,74</sup>. The loss of MK cytoplasm within the pulmonary bed has led to the development of mathematical models to calculate MK cytoplasmic volume distribution in an attempt to demonstrate that sufficient MK cytoplasmic material reaches the lungs to account for circulating platelet counts<sup>75,76</sup>. Furthermore, the model

proposes that platelet production through MK fragmentation involves two stochastic processes that would produce heterogeneity in the circulating platelet population. The log-normal platelet volume distribution would be explained by the fact that multiple fragmentation steps led to such a distribution in all physical fragmentation processes.

### Pathophysiology

So far, the origins and mechanisms leading to platelet heterogeneity have been considered. An important question is what role if any does platelet heterogeneity play in human disease?

Since platelets are involved in arterial thrombus formation and drugs that inhibit platelet behaviour are beneficial in preventing arterial occlusion it is of interest that alterations in platelet size and density have been described in arterial disease<sup>77-80</sup>. Examination of platelet physiology in these conditions has produced interesting data that has led to some understanding of the role of platelet heterogeneity in the human. Platelet density has been extensively studied in the human suffering from myocardial infarction. Since myocardial infarction nearly always occurs in the presence of atherosclerosis, this disease provides a convenient means of studying the alterations in platelet size and density previously described. Martin et al.<sup>79</sup> discovered that the density of platelets from patients with myocardial infarction was significantly higher than from control subjects. This study also demonstrated a concomitant increase in platelet volume that has been confirmed by other authors<sup>77,78</sup>. Since large platelets are more reactive than small platelets<sup>1,3,33,81</sup>, it is reasonable to suggest that if large platelets are present in the circulation before myocardial infarction, their presence may be causally linked to the occurrence of thrombosis in the coronary artery. In acute myocardial infarction, not only is the average MPV increased but also the whole of the platelet volume distribution is shifted to the right<sup>82</sup> (Fig. 4.1).

If the platelet volume distribution curve has its origin in thrombopoiesis, then this implies a change in the MK in myocardial infarction. Models describing the control of thrombopoiesis (outlined below) suggest that a low platelet count and large platelet volume (as found in acute myocardial infarction) may follow acute platelet destruction<sup>9</sup> or chronic slow platelet destruction<sup>84</sup>. In both these cases there is a change to larger, higher ploidy MKs, although the large platelets following acute destruction occur before changes in the MK ploidy<sup>29,34</sup>.

Bone marrow biopsy of patients following acute myocar-

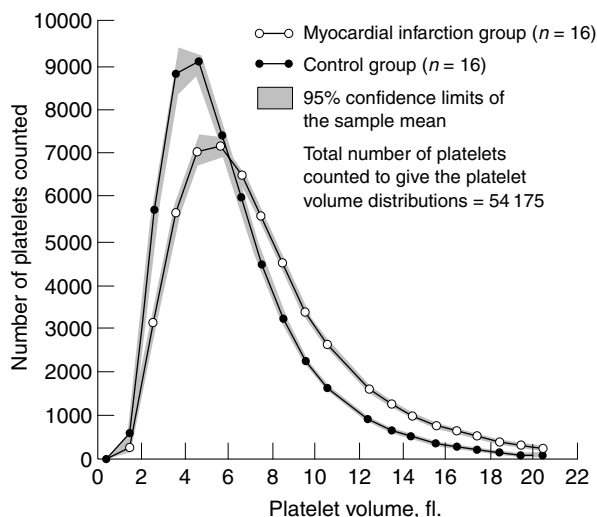


Fig. 4.1. Average platelet volume distribution of patients with acute myocardial infarction (open circles) and controls (closed circles). Note that the whole platelet volume distribution curve is shifted to higher values in myocardial infarction compared with controls. Since the log normal curve of platelet distribution has its origin in thrombopoiesis this shift probably implies a change in thrombopoiesis in myocardial infarction<sup>82</sup>. Although these platelets were taken from patients after myocardial infarction there is evidence in second myocardial infarction that these changes have preceded this second event<sup>83</sup>.

dial infarction have confirmed that MKs are bigger than those from controls<sup>80</sup>. Autopsy studies also demonstrated that MKs in patients who suffered a sudden cardiac death were larger than from patients who died due to trauma, and had normal coronary arteries<sup>80</sup>. Since large MKs are associated with any increase in the rate of platelet production, the large platelets in acute myocardial infarction probably occur secondary to the stimulus from an increase in platelet destruction.

The significance of an increase in platelet size in acute myocardial infarction has been studied in relation to the bleeding time. Milner and Martin<sup>85</sup> demonstrated that mean bleeding time in acute myocardial infarction is shortened compared to controls. It is also of interest that bleeding time was found to be inversely related to MK size and ploidy in normal subjects<sup>39</sup>. Thus the finding of a shortened bleeding time, large platelets and large MKs in acute myocardial infarction provides consistent independent evidence that these factors may be causally related to the acute event. Furthermore, in a study of 2000 men followed over 2 years, increases in platelet size 6 months after their first myocardial infarction was the strongest predictor of a second myocardial infarction or death<sup>83</sup>. Since these changes occurred before myocardial infarction, it is pos-



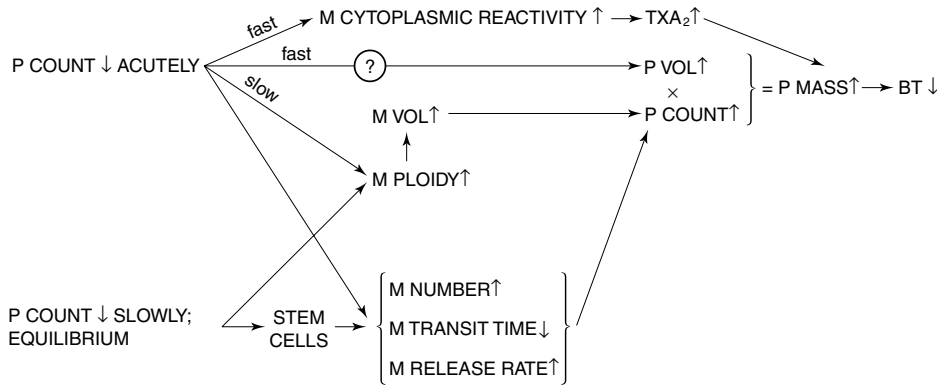


Fig. 4.2. Proposed system of relationships between change in platelet destruction rate, alteration in MK variables, change in platelet mass and bleeding time. P = platelet, M = megakaryocyte, TXA<sub>2</sub> = thromboxane A<sub>2</sub>, BT = bleeding time, vol = volume, ↑ = increased, ↓ = decreased, → = an association<sup>35</sup>.

sible that changes in platelet volume precede and may be causally related to the first myocardial infarction (in that subpopulation of the syndrome where platelet reactivity is a cause of coronary artery occlusion – reviewed in Van der Loo and Martin<sup>86</sup>).

Since platelet destruction is associated with increases in MK ploidy<sup>23,29,31,38,87</sup> and platelet hypertransfusion with decreases in ploidy<sup>36,38</sup>, it could be concluded that ploidy change is the mechanism by which circulating platelet counts may be adjusted, probably to adjust to hemostatic needs. Thus presupposing that a change in platelet destruction rate is the event that signals changing hemostatic need and that in response, change in bleeding time is the ultimate physiological manifestation of the changes in cellular hemostasis, a mechanism controlling platelet production is outlined above (Fig. 4.2).

As already mentioned, in most acute myocardial infarction, platelet counts are reduced, producing a stimulus for the mechanism outlined above. Recently, our work has also explored the relationship of MPV between acute myocardial infarction and unstable angina<sup>88</sup> following on from an earlier study that demonstrated an increase in MPV in patients with unstable angina compared with controls<sup>89</sup>. We found that, in unstable angina, MPV is increased relative to that in acute myocardial infarction, and that platelet count is decreased. Although both conditions demonstrated an increase in expression of surface markers of platelet activation compared to controls, these variables were increased more in myocardial infarction than in unstable angina. Whilst these observations may be explained by postulating that platelet changes in unstable angina are due to a more chronic process of platelet destruction compared to acute myocardial infarction, it

does not explain why the large platelets of unstable angina are not associated with an increase in activation or a decrease in bleeding time<sup>90</sup>. Little data exist that describe changes in bone marrow MKs in unstable angina, and without this part of the puzzle it is difficult to fully explain these findings.

Various models have been used in an attempt to study changes in platelet physiology in response to platelet destruction. Injection of antiplatelet antibodies into rats and mice has shown an increase in platelet volume several hours later<sup>29,91</sup>. A useful model of platelet destruction in man results from the study of changes in platelet parameters of patients undergoing cardio-pulmonary bypass at heart valve replacement. This demonstrates a rise in MPV with a fall in platelet count (Figure 4.3) induced by the operation<sup>92</sup>. Other operations<sup>93</sup> have also demonstrated this relationship as well as an increase in TPO levels in response to the decrease in platelet count, providing further evidence for the role of TPO in the control of platelet volume.

### Conclusions

The significance of platelet heterogeneity still remains controversial in spite of the wealth of evidence that has been collected. This lack of full understanding of normal physiology is a major drawback to understanding several disease processes such as myocardial infarction. Although observational data has been collected from humans, without the ability to manipulate the system, this cannot be taken further. What we understand so far is that platelets are heterogeneous with respect to size and this may

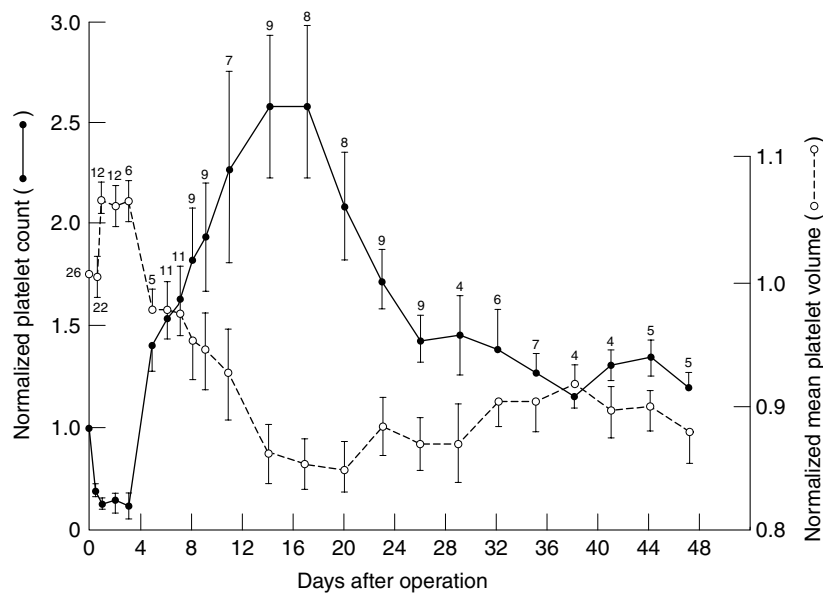


Fig. 4.3. The changes in platelet count and mean platelet volume following cardiopulmonary bypass surgery<sup>92</sup>.

well reflect changes in platelet function. These changes probably occur at the time of platelet production, although the exact site at which platelets are produced remains controversial. Large platelets are denser and are functionally more reactive than small platelets. Changes in platelet size and density are not necessarily preceded by changes in MK size and ploidy, although these do increase with an increase in the size of circulating platelets.

Patients with acute myocardial infarction and unstable angina are found to have platelets with increased MPV. Increased MPV is associated with increased expression of surface markers of platelet activation in both of these conditions. Increased MK size and ploidy have been found in acute myocardial infarction suggesting that changes in platelet function may causally be related to acute coronary syndromes. The relationship between changes in platelet size and count post surgery with TPO levels would suggest that changes in TPO levels may control platelet behaviour and that these changes occur in an attempt to maintain haemostatic equilibrium.

This suggests that if changes in platelet physiology are causal to acute coronary syndromes, these may occur as a result of the pathological transformation of a physiological protective mechanism. The trigger for this transformation has yet to be determined.

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## Platelet membrane proteins as adhesion receptors

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Platelets play a crucial role not only in the formation of a normal hemostatic plug but also in formation of a pathologic thrombus, particularly within arteries subjected to high shear stress. Platelets circulate passively as they traverse a vascular tree lined by an intact monolayer of endothelial cells. As an initial step in hemostasis and thrombogenesis, platelets adhere to altered vascular surfaces or exposed subendothelial matrices. Following adhesion, they become activated, change shape, secrete granule contents, and aggregate to each other to form a primary hemostatic plug and to provide a catalytic surface to enhance blood coagulation. Thus, thrombus formation depends primarily on platelet adhesive interactions with both extracellular matrix proteins [e.g. von Willebrand factor (vWF), collagen, fibronectin] and cellular surfaces (e.g. platelets, leukocytes). In order to mediate these adhesive events, platelets possess numerous receptors specialized for this task. In this chapter, we will focus on the structure and function of platelet plasma membrane glycoproteins that serve as important adhesion receptors. One theme that emerges is that many, if not all, adhesion receptors also function as signalling receptors. Other chapters in this book will focus on surface membrane proteins that function primarily as receptors for excitatory and inhibitory agonists and on granule proteins, such as P-selectin and CD40 ligand, that may mediate adhesive functions concomitant with granule secretion (Chapters 9–13).

### Platelet adhesion receptors

Surface labelling of intact platelets has shown that they possess numerous glycoproteins on their surface (Fig. 5.1)<sup>1</sup>. Initially, these glycoproteins were designated Ia, Ib,

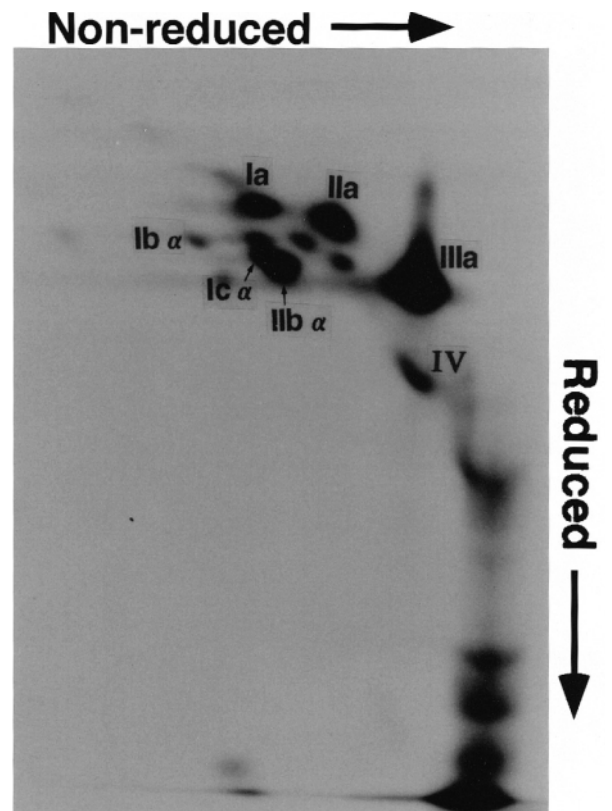


Fig. 5.1. Two-dimensional non-reduced–reduced SDS–polyacrylamide gel electrophoresis of surface-labelled intact platelets. <sup>125</sup>I-labelled platelets were electrophoresed in 5% polyacrylamide gels under non-reducing conditions in the first dimension. The gels were then electrophoresed in 7% polyacrylamide gels under reducing conditions in the second dimension. An autoradiograph of a dried gel is illustrated.

**Table 5.1.** Platelet membrane glycoproteins that function as adhesion receptors

	Ligands	Platelet function	Molecules/platelet
<i>Integrins</i>			
$\beta_1$ integrins			
GPIa-IIa ( $\alpha_2\beta_1$ )	Collagen	Adhesion	<1000
GPIc-IIa ( $\alpha_3\beta_1$ )	Fn	Adhesion	<1000
GPIc'-IIa ( $\alpha_6\beta_1$ )	Laminin	Adhesion	<1000
$\beta_3$ integrins			
GPIIb-IIIa ( $\alpha_{IIb}\beta_3$ )	Fg/vWF/Fn/Vn	Aggregation/firm adhesion	~80000
$\alpha_V\beta_3$	Fg/Vn/OP	?	50-100
<i>Leucine-rich motif (LRK) family</i>			
GPIb-IX	vWF/thrombin	Initial adhesion	~25000
GPV			~12000
GPIV (CD36)	TSP/collagen	Adhesion	12000-19000
GPVI	Collagen	Activation	~1000

*Notes:*

vWF: von Willebrand Factor, Fn: Fibronectin, Fg: Fibrinogen, Vn: Vitronectin, TSP: Thrombospondin, OP: Osteopontin.

Ic, IIa, IIb, IIIa, etc according to their apparent molecular sizes in SDS-polyacrylamide gels under non-reducing and reducing conditions. Among them, two major receptor complexes, GPIb-IX-V and GPIIb-IIIa, play essential roles in platelet adhesion and aggregation<sup>2,3</sup>. Platelet membrane glycoproteins have been cloned, sequenced and classified within known gene families (Table 5.1).

## Platelet integrins

Integrins are a family of non-covalently associated  $\alpha\beta$  heterodimers that mediate cellular attachment to the extracellular matrix and cell-cell cohesion<sup>4,5</sup>. To date, 18 different integrin  $\alpha$  subunits and 8 different integrin  $\beta$  subunits have been defined, giving rise to more than 24 different integrins. They are often subdivided into groups based on integrin  $\beta$  subunits, such as  $\beta_1$ ,  $\beta_2$ , and  $\beta_3$  integrins<sup>4,5</sup>. Platelets possess at least five integrins ( $\alpha_2\beta_1$ ,  $\alpha_5\beta_1$ ,  $\alpha_6\beta_1$ ,  $\alpha_{IIb}\beta_3$ ,  $\alpha_V\beta_3$ ), and  $\alpha_{IIb}\beta_3$  (GP IIb-IIIa) is the most abundant and functionally significant.

### Structure

$\alpha_{IIb}\beta_3$  is a prototypic and platelet/megakaryocyte-specific integrin<sup>6</sup>. The  $\alpha_{IIb}$  subunit has been found only in combination with  $\beta_3$ .  $\alpha_{IIb}\beta_3$  is expressed on the platelet surface at a density of approximately 80 000 copies per cell<sup>7</sup>. Synthesis of  $\alpha_{IIb}$  and  $\beta_3$  is regulated by separate genes, both of which have been localized to chromosome 17q12.32<sup>8,9</sup>.

However, the  $\beta_3$  gene appears  $\geq 365$  kb upstream of the  $\alpha_{IIb}$  gene<sup>10</sup>.  $\alpha_{IIb}$  consists of a heavy chain (871 amino acid residues) containing four repeats of a putative divalent cation binding motif disulfide-linked to a light chain (137 amino acid residues).  $\beta_3$  consists of a single polypeptide of 762 amino acids containing 56 cysteines which form extensive intramolecular disulfides (Fig. 5.2). The N-terminus and most of the remainder of each subunit are extracellular, and the membrane-spanning domain is connected to a short C-terminal cytoplasmic tail consisting of 20 amino acid residues in  $\alpha_{IIb}$  and 47 residues in  $\beta_3$ . Electron microscopy and other analyses of heterodimers shows an N-terminal globular head connected to two C-terminal stalks<sup>11-13</sup>. Although the atomic structure of  $\alpha_{IIb}\beta_3$  is not known, recent studies indicate that ligand binding is primarily a function of the globular heads<sup>11-13</sup>.

Multiple binding sites for Arg-Gly-Asp (RGD)-containing ligands have been identified on both  $\alpha$  and  $\beta$  subunits<sup>14,15</sup>. The N-terminal region of integrin  $\alpha$  subunits has seven repeats of homologous sequences of about 60 amino acid residues. In several  $\alpha$  subunits (e.g.  $\alpha_2$ ,  $\alpha_L$ ,  $\alpha_M$ ) but not  $\alpha_{IIb}$ , an inserted domain of about 200 amino acid residues (the I-domain) is present between the second and the third repeats, which is critically involved in ligand binding<sup>16,17</sup>, and its crystal structure has been determined<sup>18,19</sup>. This domain adopts a classic 'Rossmann' fold, with a central parallel  $\beta$ -sheet surrounded on both sides by  $\alpha$ -helices and it contains a unique divalent cation coordination sphere, which has been designated as the metal ion-dependent adhesion site (MIDAS) motif. The conserved features of

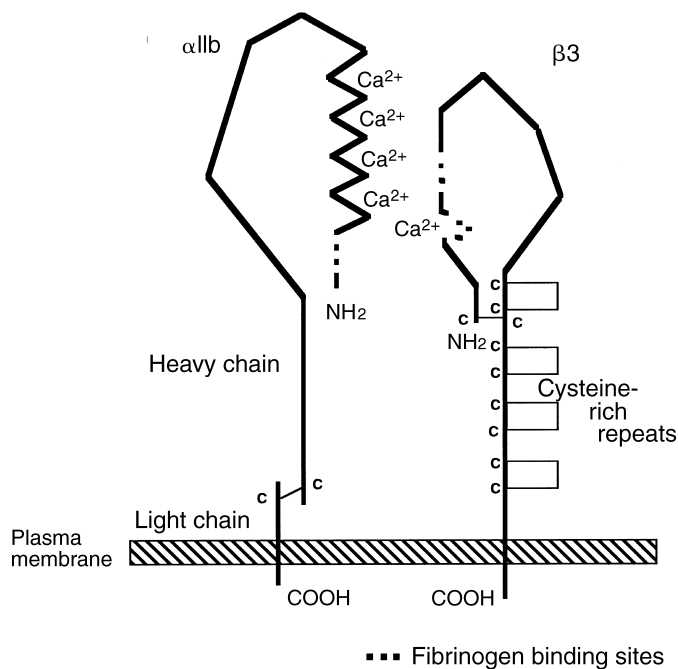


Fig. 5.2. Schematic representation of  $\alpha_{IIb}\beta_3$ . Dotted lines indicate putative ligand binding sites.  $\alpha_{IIb}$  and  $\beta_3$  are non-covalently associated at a stoichiometry of 1:1.  $\alpha_{IIb}$  comprises a disulfide-linked heavy and light chain, while  $\beta_3$  consists of a single polypeptide. Electron microscopic analyses of  $\alpha_{IIb}\beta_3$  shows an N-terminal globular head connected to two C-terminal stalks.

this motif are an Asp X Ser X Ser (DXSXS) sequence, where X represents any amino acid. In the case of integrins like  $\alpha_{IIb}\beta_3$  that do not contain the I domain in their  $\alpha$  subunit. It has been proposed that  $\beta$ -subunits have an I-like domain located near the N terminus that contains an invariant DXSXS sequence (residues 119–123 in  $\beta_3$ ). Using chemical cross-linkers, it has been shown that a ligand-mimetic RGD-containing peptide is cross-linked to  $\beta_3$  residues 109–171<sup>20</sup>. A naturally occurring missense mutation at the first residue of DXSXS sequence (Asp119 → Tyr) results in the inability of  $\alpha_{IIb}\beta_3$  to recognize ligands, and alanine substitutions at these residues further support the hypothesis that this region of  $\beta_3$  might engage ligands via a MIDAS-like motif<sup>21,22</sup>.

Recently Springer proposed that the seven N-terminal sequence repeats of integrin  $\alpha$  subunits are folded into a  $\beta$ -propeller domain, although there are as yet no high resolution structural data to support this model<sup>23</sup>. The proposed domains contain seven four-stranded  $\beta$ -sheets (W1–W7) arranged in a torus around a pseudosymmetry axis. Integrin ligands have been predicted to bind to the upper face of the  $\beta$ -propeller, especially within W2, W3 and

W4<sup>24,25</sup>. Using chemical cross-linkers, it has been shown that a dodecapeptide derived from the C-terminus of the fibrinogen  $\gamma$ -chain is proximal to  $\alpha_{IIb}$  residues 294–314, which contain the second putative calcium binding domain<sup>26</sup>. However, a recombinant  $\alpha_{IIb}$  fragment comprising residues 1–233, which has no calcium-binding domains, can form a heterodimer with a recombinant  $\beta_3$  fragment (residues 111–318) and bind to an RGD-containing peptide<sup>27</sup>. The residues 294–314 lie on the lower surface of the propeller far from the ligand-binding sites and may play a structural rather than ligand-binding role.

### Function

$\alpha_{IIb}\beta_3$  recognizes several RGD-containing adhesive proteins in a divalent cation-dependent manner. In particular, the interaction with fibrinogen and vWF is crucial for platelet aggregation<sup>28</sup>. The ligand binding function of  $\alpha_{IIb}\beta_3$  is dynamically regulated (Fig. 5.3)<sup>29,30</sup>. In resting platelets,  $\alpha_{IIb}\beta_3$  is in a low affinity state and cannot bind soluble macromolecular ligands like fibrinogen or vWF. After platelet activation by agonists, intracellular signals are initiated that induce a high affinity/avidity state of  $\alpha_{IIb}\beta_3$  with respect to these adhesive ligands. This process is frequently referred to as ‘inside-out’ signalling or ‘integrin activation’, and it encompasses at least two events: (i) modulation of receptor affinity through conformational changes and (ii) modulation of receptor avidity through facilitation of lateral diffusion and/or clustering of integrin heterodimers into oligomers. In the case of  $\alpha_{IIb}\beta_3$ , affinity modulation rather than avidity modulation seems to play the dominant role in initiating ligand-binding<sup>30</sup>. Based on mutational data, a model for the conformational switch in  $\alpha_{IIb}\beta_3$  during platelet activation has been proposed<sup>14</sup>. Fibrinogen binding to  $\alpha_{IIb}\beta_3$  itself alters the conformation of the integrin, which exposes neo-epitopes in the receptor known as ligand-induced binding sites (LIBS), and leads to ‘post-receptor occupancy’ events, including cytoskeletal reorganization<sup>31–33</sup>. Thus,  $\alpha_{IIb}\beta_3$  and other integrins function as important signalling molecules that can mediate the bidirectional transfer of information across the platelet plasma membrane.

Evidence directly implicating the cytoplasmic tails in affinity modulation comes from studies of naturally occurring and experimental integrin mutations, from analyses of  $\alpha_{IIb}\beta_3$  function in heterologous expression systems, and from identification of integrin tail-binding proteins<sup>34–39</sup>. Affinity modulation probably involves the propagation of a conformational change from the  $\alpha_{IIb}\beta_3$  cytoplasmic domains to the extracellular domains. Concerning the potential structure of the  $\alpha_{IIb}$  and  $\beta_3$  cytoplasmic domains,



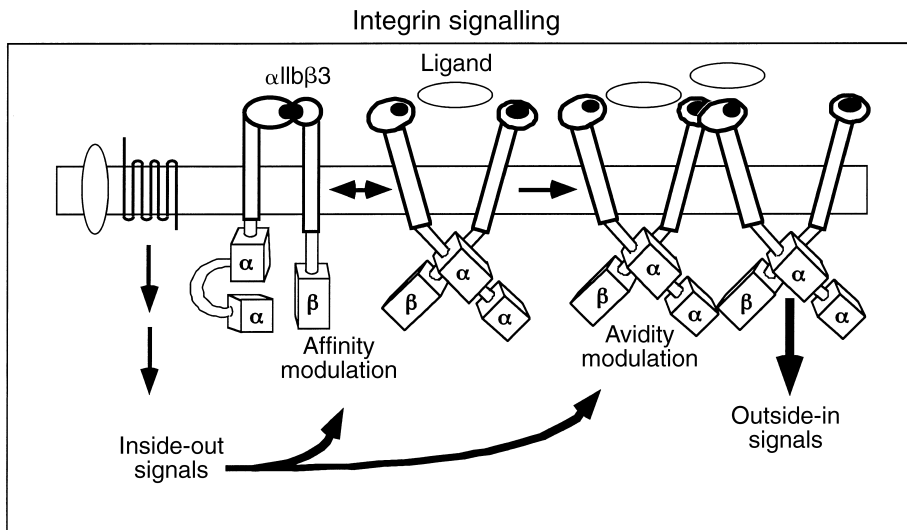


Fig. 5.3.  $\alpha_{IIb}\beta_3$  signalling. Conformation and function of  $\alpha_{IIb}\beta_3$  are dynamically regulated. After platelet activation intracellular signals are initiated that induce a high affinity/avidity state of  $\alpha_{IIb}\beta_3$  (inside-out signals). Fibrinogen binding to  $\alpha_{IIb}\beta_3$  leads to outside-in signals.

a model has been proposed in which the membrane-proximal portions of the tails interact when the integrin is in a low affinity state, possibly through a salt bridge to form a hinge. Certain membrane-proximal mutations or deletions are hypothesized to break this hinge, converting  $\alpha_{IIb}\beta_3$  to a high-affinity state<sup>34,35,40</sup>. This model predicts close but dynamic interactions between the  $\alpha_{IIb}$  and  $\beta_3$  tails, and synthetic peptides derived from these tails have been shown to interact *in vitro*<sup>41</sup>.

Recently, mice have been generated that express  $\alpha_{IIb}\beta_3$  in which the two tyrosine residues in the  $\beta_3$  cytoplasmic tail (Y747 and Y759) have been switched to phenylalanine<sup>42</sup>. These mice exhibit normal inside-out but impaired outside-in signalling, with defective aggregation and clot retraction. Outside-in signalling through  $\alpha_{IIb}\beta_3$  involves stimulation of numerous interacting pathways that feature activation of protein tyrosine kinases (e.g. Syk, Src family, FAK) and tyrosine phosphorylation of numerous substrates in addition to the  $\beta_3$  tail<sup>30</sup>. How these pathways regulate cytoskeletal reorganization and other postligand binding events is an active area of investigation.

### Deficiency

The importance of integrin  $\alpha_{IIb}\beta_3$  is evident by the pathogenic consequence of its heritable deficiency, Glanzmann thrombasthenia (GT)<sup>43</sup>. GT is an autosomal recessive bleeding disorder that is due to a quantitative and/or qualitative defect in  $\alpha_{IIb}\beta_3$ . Molecular analysis in GT as well as transfection studies have demonstrated that a molecular

abnormality in the genes for either  $\alpha_{IIb}$  or  $\beta_3$  can lead to the deficiency of  $\alpha_{IIb}\beta_3$  on platelet surface. Absent and/or abnormally spliced mRNA due to a nonsense mutation or frame shift are common in severe  $\alpha_{IIb}\beta_3$  deficiency (type I GT), whereas missense mutations in either subunit are common in moderate  $\alpha_{IIb}\beta_3$  deficiency. Although various missense mutations leading to the impaired expression of  $\alpha_{IIb}\beta_3$  are reported, it has been demonstrated that relatively well-conserved residues flanking the calcium-binding domains of  $\alpha_{IIb}$  are particularly critical for  $\alpha_{IIb}\beta_3$  expression<sup>44–47</sup>. The bleeding diathesis in GT is characterized by mucocutaneous hemorrhage with easy bruising, menorrhagia, epistaxis, and gingival bleeding. Platelet aggregation is absent in response to ADP, epinephrine, collagen, or thrombin, while ristocetin-induced platelet aggregation is observed (Fig. 5.4). GT due to a dysfunctional rather than an absent  $\alpha_{IIb}\beta_3$  complex is referred to as variant GT, and the characterization of these variants has helped to identify residues on the integrin necessary for ligand binding and for bidirectional signalling<sup>21,48–50</sup>.

Of particular interest are the Ser752→Pro substitution and the Arg724→Ter substitution in the cytoplasmic domain of  $\beta_3$ , each of which is responsible for disruption of the coupling between inside-out signalling and  $\alpha_{IIb}\beta_3$  activation<sup>38,39</sup>. These GT variants provide strong evidence that the cytoplasmic domain of  $\beta_3$  is involved in inside-out signalling. In addition, these GT variant platelets exhibit impaired outside-in signalling, resulting in reduced fibrin clot retraction and decreased cell spreading and cytoskeletal reorganization on fibrinogen<sup>39,51</sup>. Thus, while these

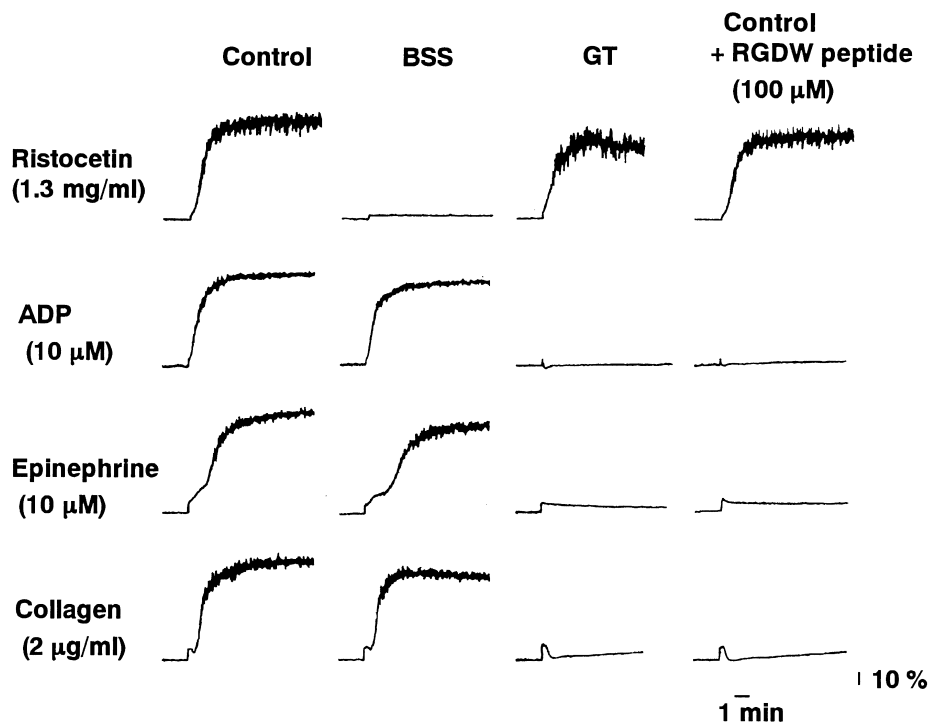


Fig. 5.4. Characteristics of impaired platelet aggregation observed in Bernard–Soulier syndrome (BSS) or Glanzmann thrombasthenia (GT). Ristocetin-induced agglutination depends on the interaction of von Willebrand factor (vWF) with GPIb–IX–V, while ADP-, epinephrine-, and collagen-induced platelet aggregation depend on the interaction of fibrinogen with  $\alpha_{IIb}\beta_3$ . Note that RGD-containing peptides induce the same defect in platelet aggregation observed in GT. These findings suggest that the interaction of fibrinogen with  $\alpha_{IIb}\beta_3$  is mediated, at least in part, via the RGD sequence.

mutations in  $\beta_3$  abrogate bidirectional transmembrane signalling via  $\alpha_{IIb}\beta_3$ , a  $\beta_3$  Ser752→Ala mutation showed only minimal inhibitory effects on outside-in signalling when expressed in a CHO cell model system, suggesting that the presence of Pro752, rather than the absence of Ser752, is responsible for the phenotype<sup>52,53</sup>.

Recently,  $\beta_3$ -deficient mice have been developed as an animal model for GT<sup>54</sup>. The  $\beta_3$ -null platelets show essentially the same defects as in human GT platelets. These mice show a greatly prolonged tail bleeding time and spontaneous hemorrhage. Moreover, they display additional defects that were not evident in studies of human GT, such as placental and bone resorption defects<sup>54,55</sup>. This animal model should prove to be a valuable tool for additional studies of GT pathogenesis and therapy.

### Leucine-rich motif (LRM) family

The leucine-rich motif (LRM) family of proteins was first recognized as the result of a 24-residue motif present as 8

tandem copies in a human serum protein of unknown function called leucine-rich  $\alpha_2$ -glycoprotein<sup>56</sup>. The LRM family, which is defined not by the similarity in the structure or function of its members, but by the presence of this structural motif, is a phylogenetically widespread family of proteins that is found in both eukaryotes and prokaryotes and as components of the nucleus, cytoplasm, cell membranes, extracellular matrix, and plasma. Four members of the LRM family, GPIb $\alpha$ , GPIb $\beta$ , GPIX and GPV, associate on the platelet surface to form the GPIb–IX–V complex<sup>57,58</sup>. This complex is the most prominent sialoglycoprotein in the platelet membrane and serves as a major receptor for vWF<sup>58–60</sup>.

### Structure

Each platelet contains approximately 25000 copies of the GPIb–IX complex<sup>61,62</sup>. The four distinct transmembrane polypeptide subunits, GPIb $\alpha$ , GPIb $\beta$ , GPIX, and GPV, appear to be expressed at a stoichiometry of 2: 2: 2: 1, respectively (Fig. 5.5). A separate gene encodes each com-

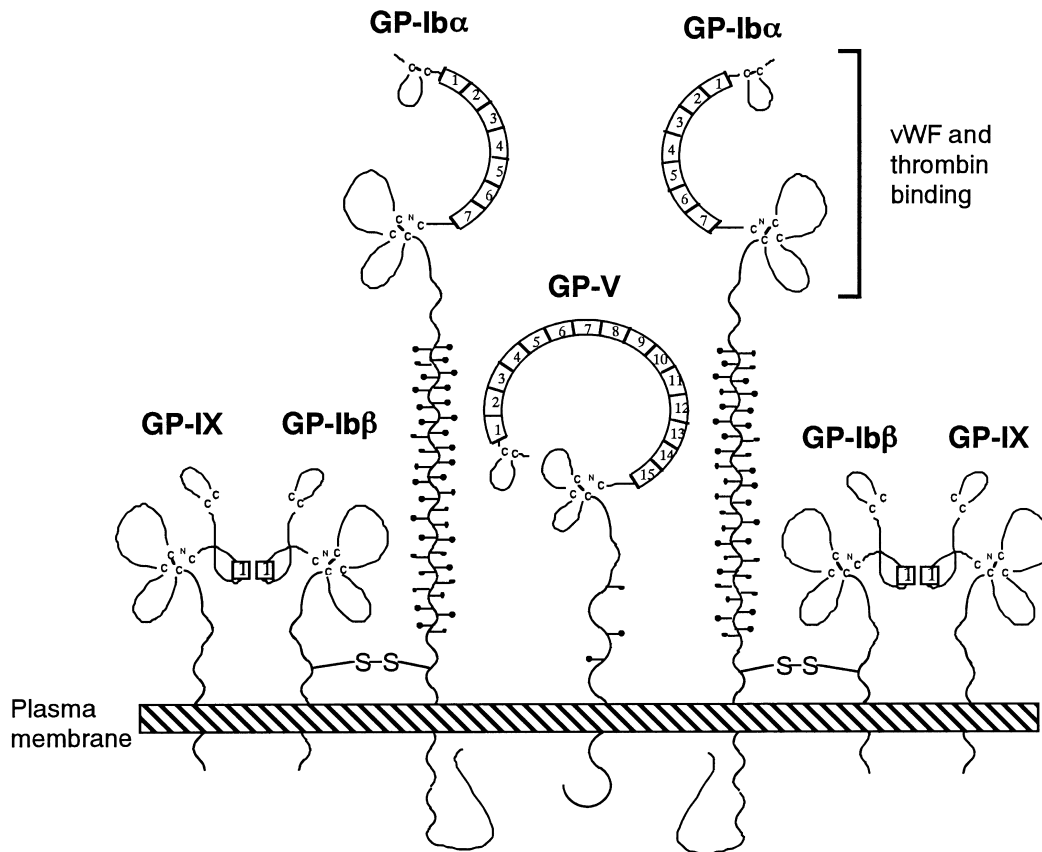


Fig. 5.5. Schematic representation of the GPIb-IX-V complex. Four members of the leucine-rich (LRM) motif family, GPIb $\alpha$ , GPIb $\beta$ , GPIIX and GPV associate to form the GPIb-IX-V complex at a stoichiometry of 2:2:2:1. Box indicates leucine-rich repeat sequences (LRR), and GPIb $\alpha$ , GPIb $\beta$ , GPIIX and GPV contain 7 tandem LRRs, one LRR, one LRR, and 15 LRRs, respectively. The N-terminal globular domain of GPIb $\alpha$  contains binding sites for von Willebrand factor (vWF) and thrombin. (Adapted from López et al., 1998 with permission.)

ponent of the GPIb-IX-V complex: the GPIb $\alpha$ , GPIb $\beta$ , GPIIX, and GPV genes are located on chromosomes 17q12, 22q11.2, 3q21, and 3q29, respectively<sup>63-66</sup>. GPIb consisting of GPIb $\alpha$  (135 kDa, 610 amino acids) disulfide linked to GPIb $\beta$  (25 kDa, 181 amino acids) is noncovalently associated with GPIIX (22 kDa, 160 amino acids). On electron microscopy, the purified GPIb-IX complex appears as a flexible rod with globular domains at either end and an overall length of 60 nm, which is 20-30 nm longer than  $\alpha_{\text{IIb}}\beta_3$ <sup>67</sup>. The smaller globular domain represents the N-terminal ligand-binding region of GPIb $\alpha$ , while the larger globular domain consists of the GPIb $\alpha$  transmembrane and cytoplasmic domains associated with GPIb $\beta$  and GPIIX. The leucine-rich repeat sequences (LRR) are approximately 24 amino acids in length, and GPIb $\alpha$ , GPIb $\beta$ , GPIIX and GPV contain 7 tandem LRRs, one LRR, one LRR, and 15 LRRs, respectively. The structure of GPIb $\alpha$  is divided into four distinct domains as follows: an N-terminal globular domain

that contains ligand-binding sites, a mucin-like segment (macroglycopeptide), a transmembrane segment, and a cytoplasmic domain that interacts with intracellular proteins, such as filamin  $\alpha$  and 14-3-3 $\zeta$ <sup>58-60</sup>.

### Function

The major physiological role of the GPIb-IX-V complex is to mediate initial adhesion of circulating platelets to vWF in the subendothelial matrix under conditions of high shear. The transient and reversible platelet adhesion mediated by GPIb-IX-V then proceeds to irreversible platelet attachment mediated by the interaction of vWF with  $\alpha_{\text{IIb}}\beta_3$ <sup>2,3</sup>. The GPIb-IX-V complex interacts with vWF through a binding site in GPIb $\alpha$  located in the N-terminal 45-kDa domain (residues 1-293)<sup>68,69</sup>. The interaction between GPIb-IX-V and vWF appears to require a change in conformation in vWF and/or the GPIb-IX-V complex,

induced either by the effects of shear on the ligand or receptor or by immobilization of vWF onto the subendothelial matrix. In the aggregometer, soluble vWF can support platelet–platelet interaction mediated through GPIb–IX–V using non-physiological modulators, such as ristocetin and the snake venom protein–botrocetin<sup>58</sup>. Although the vWF binding site has been localized to the 45-kDa domain of GPIb $\alpha$ , it has become evident that vWF binds to more than one site within this domain, and that binding is dependent upon the modulators by which the interaction is induced. One of the binding sites is the sulfated tyrosine sequence (residues 276, 278, 279) that is preferentially used for botrocetin-dependent vWF binding<sup>70–72</sup>. In addition, naturally occurring mutations have suggested that ligand-binding function requires the proper conformation of the 45 kDa domain<sup>73,74</sup>. Other potential roles for the GPIb–IX–V complex are to serve as a high affinity  $\alpha$ -thrombin binding site, and as a receptor that participates in signal transduction to regulate the activation state of  $\alpha_{IIb}\beta_3$ <sup>60,75</sup>.

### Deficiency

The importance of the GPIb–IX–V complex is exemplified by the inherited bleeding disorder, Bernard–Soulier syndrome (BSS)<sup>76</sup>. BSS is an autosomal recessive disorder due to a quantitative and/or qualitative defect in the GPIb–IX–V complex. BSS is also characterized by a decrease in the platelet count with the striking phenotype of abnormally large or ‘giant’ platelets. A characteristic laboratory abnormality is an isolated defect in ristocetin-induced platelet agglutination (Fig. 5.4). In BSS the expression of all four subunits is impaired, however the defect in the expression of each subunit may not always be in the same proportion<sup>77–79</sup>. To date, molecular defects in BSS have been described in the GPIb $\alpha$ , GPIb $\beta$  and GPIX genes. However, no molecular defects have as yet been reported in the GPV gene<sup>76</sup>. As in GT, characterization of molecular defects leading to qualitative dysfunction of GPIb–IX–V has been informative. Two mutations leading to missense mutations within the extracellular LRR domain of GPIb $\alpha$  (Leu57→Phe and Ala156→Val) are associated with the impaired binding of vWF. These mutations reveal an important role of the LRR of GPIb $\alpha$ , which may function by maintaining the proper conformation and exposure of ligand-binding site<sup>73,74</sup>. In contrast, platelet-type von Willebrand disease is an autosomal-dominant bleeding disorder caused by mutations affecting the GPIb–IX–V complex, resulting in a gain-of-function phenotype<sup>80,81</sup>. The resultant mutants bind vWF with high affinity and the paradoxical presence of a bleeding diathesis is due to clear-

ance of the hemostatically most active large vWF multimers. Two missense mutations (Gly233→Val and Met239→Val) within the Cys209–Cys248 loop of GPIb $\alpha$  are associated with a gain-of-function phenotype, suggesting that the loop may function as a regulatory region that prevents the exposure of the ligand-binding site<sup>82–84</sup>.

The molecular basis for the giant platelets observed in BSS remains elusive. GPIb $\alpha$ -null mice also exhibit a bleeding diathesis as well as thrombocytopenia and giant platelets<sup>85</sup>. In addition, this mouse model of the BSS could be rescued with transgenic expression of a human GPIb $\alpha$ , demonstrating a direct link between expression of the GPIb–IX–V complex and platelet morphogenesis<sup>85</sup>. In contrast, platelets from GPV-null mice are normal in size and express normal amounts of GPIb–IX that is functional in vWF binding<sup>86,87</sup>. Thus, GPV is not required for the expression or function of GPIb–IX, which is consistent with the fact that mutations in GPV have not been observed in BSS.

With the impaired expression of GPIb–IX–V in BSS platelets, response to  $\alpha$ -thrombin is also compromised<sup>88</sup>. Protease-activated G-protein-coupled receptors, PAR-1 and PAR-4, have a key role in the activation of human platelets by  $\alpha$ -thrombin. It has been revealed that the 45-kDa domain of GPIb $\alpha$  that contains the vWF binding sites also is the region that binds thrombin<sup>89</sup>. Although the mechanism by which the binding of  $\alpha$ -thrombin to GPIb contributes to platelet activation is obscure, a recent study suggests that binding of thrombin to GPIb $\alpha$  accelerates the hydrolysis of PAR-1 on the platelet membrane<sup>90</sup>. Thus, GPIb may function as a cofactor for PAR-1 activation by thrombin. In contrast to the normal vWF binding activity, platelets from GPV-null mice may be hyper-responsive to thrombin and GPV-null mice have a shorter than normal tail bleeding time<sup>86</sup>. These findings suggest a role for GPV in decreasing thrombin responsiveness of platelets, with experimental removal of GPV from the platelet surface contributing to platelet stimulation by thrombin.

## CD36 (Glycoprotein IV)

### Structure

CD36, also known as GPIV or GP IIIb, consists of 471 amino acid residues with N- and C-terminal hydrophobic portion domains that presumably represent transmembrane domains<sup>91–93</sup>. N- and C-terminal regions of CD36 are palmitoylated, further suggesting that both N- and C-terminal tails are cytoplasmic, and that the protein may partition to glycolipid-enriched membrane microdomains<sup>94</sup>. Because

of its topology, most of the CD36 molecule is thought to be oriented to the extracellular milieu, and this region contains 10 potential N-glycosylation sites that likely accounts for this molecule being resistant to proteolysis<sup>95</sup>. CD36 is expressed in a wide variety of cell types, including platelets, monocytes, microvascular endothelial cells, mammary gland epithelial cells, activated keratinocytes, some melanoma cells. CD36 shares structural similarities with some molecules such as SR-B1/CLA-1 (a HDL receptor), LIMP-II (a lysosomal protein) and SNMP-1 (a membrane protein expressed in olfactory neurons), and these molecules are classified as the CD36 family<sup>96–98</sup>.

### Functions

CD36 is a multifunctional glycoprotein. From the viewpoint of platelet function, it has been shown to interact with a large variety of ligands such as collagen and thrombospondin<sup>99,100</sup>. However, CD36-deficient platelets bind to thrombospondin normally<sup>101</sup>. Although platelet aggregation by type V collagen was impaired, there have been conflicting reports as to the role of CD36 on platelet adhesion to collagen under static and flow conditions<sup>102–105</sup>. In contrast to the other platelet membrane protein deficiencies discussed above, CD36 deficiency is not associated with a bleeding diathesis. Thus, the role of CD36 in platelet function remains unclear. Beyond its role as a platelet glycoprotein, CD36 serves as a receptor on erythrocytes for the human malaria parasite, *Plasmodium falciparum*<sup>91,106</sup>. In addition, recent studies have suggested that CD36 plays an important role in lipoprotein and lipid metabolism<sup>107–110</sup>. CD36 has also been suggested as a candidate for an insulin-resistant gene<sup>111</sup>, which is still a subject of controversy<sup>112,113</sup>.

### Deficiency

The first case of CD36 deficiency was found in a Japanese patient showing refractoriness to HLA-matched platelet transfusions due to an anti-Nak<sup>a</sup> isoantibody that recognizes CD36<sup>114–116</sup>. Platelet CD36 deficiency is divided into two subgroups according to expression of CD36 on monocytes: type I also lacks CD36 on monocytes and presumably on all other tissues, while type II expresses CD36 on monocytes<sup>117,118</sup>. Platelet CD36 deficiency is present in ~3% of the Japanese population and rare in Caucasians (~0.3%), and the majority of platelet CD36 deficiency is the type II phenotype<sup>114,116</sup>. Three genetic abnormalities have been identified, a missense mutation causing substitution of Pro<sup>90</sup> with Ser in CD36, a two-base deletion and a one-base insertion<sup>119–121</sup>. Careful clinical characterization of

CD36 deficient subjects, especially type I subjects, would provide a better understanding of the role of CD36 in vivo.

## Glycoprotein VI

It has been generally accepted that integrin  $\alpha_2\beta_1$  (GPIa-IIa) and GPVI are major collagen receptors on platelets, playing critical roles in adhesion and activation, respectively<sup>122,123</sup>. The first indication that  $\alpha_2\beta_1$  is a physiological collagen receptor came from the study of a patient whose platelets demonstrated decreased expression of GPIa and a failure to interact with collagen<sup>124</sup>. Similarly, the critical role for GPVI was first indicated by a patient with GPVI deficiency. Recently, it has become clear that GPVI plays an important role in platelet activation in response to collagen.

### Structure

Recent molecular cloning of GP VI cDNA demonstrated that this receptor is a type I transmembrane protein belonging to the immunoglobulin (Ig) superfamily<sup>125</sup>. GPVI contains two Ig-C2 like extracellular domains formed by disulfide bonds and a 51 amino acid cytoplasmic tail.

### Function

GP VI has been implicated in platelet–collagen adhesive interactions, together with integrin  $\alpha_2\beta_1$ <sup>126,127</sup>. GP VI exists in the platelet membrane in a non-covalent association with the FcR $\gamma$  subunit, and GP VI surface expression is strictly dependent on its association with FcR $\gamma$ <sup>128</sup>. Moreover, GP VI appears to function as the collagen-binding subunit, whereas FcR $\gamma$  contains tandem immune receptor tyrosine-based activation motifs (ITAM) and functions as the signalling subunit of the complex. Collagen binding to GPVI-FcR $\gamma$  induces platelet activation through signalling molecules such as Syk, SLP-76, LAT and phospholipase C $\gamma$ <sup>2</sup><sup>129,130</sup>. Indeed, Syk becomes tyrosine phosphorylated upon collagen-induced platelet activation and associates with GPVI/FcR $\gamma$  by binding to the ITAMs of FcR $\gamma$ . Murine platelets deficient in FcR $\gamma$  or Syk fail to become activated in response to collagen, although they do respond to other agonists<sup>131</sup>.

### Deficiency

The involvement of GPVI as a collagen receptor was first suggested in a Japanese patient with autoimmune thrombocytopenia whose platelets were unresponsive to

collagen and defective in a 62 kDa platelet membrane protein, which was later identified as GPVI<sup>132</sup>. Three cases of GPVI-deficient patients without thrombocytopenia have been reported, and all of them have shown a mild bleeding tendency with a slightly prolonged bleeding time<sup>122</sup>. However, the molecular basis for GPVI deficiency has not yet been identified. GPVI-deficient platelets do not aggregate in response to collagen or adhere on immobilized collagen, whereas they do aggregate in response to other agonists. Mice injected with an anti-GPVI monoclonal antibody show rapid disappearance of GPVI from the platelet surface, reduced platelet responsiveness to GPVI agonists, and protection from lethal thrombogenic infusions of a mixture of collagen and epinephrine<sup>133</sup>.

## Conclusions

Numerous platelet membrane glycoproteins function as adhesion and signalling receptors and play a crucial role in hemostatic plug formation and pathological thrombus formation. Gene targeting and other experimental techniques in mice as well as naturally occurring quantitative or qualitative abnormalities of these receptors in humans have provided valuable information as to their functions in vivo. In addition, receptor–ligand interactions are beginning to be characterized at the molecular level. Thus, as exemplified by  $\alpha_{IIb}\beta_3$ , some platelet adhesion receptors are good targets for the development of new antithrombotic drugs<sup>134,135</sup>. Although not discussed here, other less characterized surface membrane glycoproteins, for example CD9 (a tetraspannin) and CD47 (integrin-associated protein), may also play roles in platelet adhesion and signalling, and their further characterization is warranted.

The crystal structure of the extracellular segment of integrin  $\alpha_V\beta_3$  has recently been solved<sup>136</sup>.

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# Dynamics of the platelet cytoskeleton

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## Introduction

Platelets play a critical role in hemostasis and coagulation. At rest, they circulate in blood as small anucleated discs, measuring  $3 \times 0.5 \mu\text{m}$ . Following blood vessel injury and disruption of the endothelial layer, platelets avidly interact with exposed elements of the underlying connective tissue, a reaction that is further stimulated by soluble factor release. They rapidly change from discoid shapes into active forms, first by rounding, then by generating finger-like projections called filopodia and spreading over surfaces using thin sheet-like extensions called lamellipodia. A sturdy cytoskeleton composed of actin and tubulin polymers maintains the shape of the resting and activated platelet. Actin and tubulin are dynamic polymers that can be reversibly assembled. When assembled they can be crosslinked into higher-order structures such as bundles and networks, fragmented into smaller pieces, and slide relative to one another by motor proteins. A large cast of cytoskeletal-associated proteins controls these dynamic processes. Actin filament assembly, temporally and spatially, orchestrates the extension of filopodia and lamellipodia and shape transformation.

## The cytoskeleton of the resting platelet

Resting platelets are discs (Fig. 6.1(a)) whose surfaces are smooth and featureless except for small membrane invaginations that mark entrances into the open canalicular system (OCS). Cytoskeletal proteins that maintain the discoid shape represent a large fraction of the platelet proteome. Actin, present at a concentration of 0.55 mM (230 000 actin subunits/platelet<sup>1</sup>), represents 20% of the

total cellular protein. Actin subunits (called globular actin or G-actin) reversibly assemble into polymers (filaments of actin or F-actin). In the resting platelet, 40% of the total or  $\sim 90\,000$  subunits of actin are assembled into 2000–5000 filaments of 0.5 to 1  $\mu\text{m}$  lengths<sup>1,2</sup>. Actin filaments are polarized structures, first recognized by the way they interact with the myosin head domain, which bind periodically along the length of the filament to define pointed and barbed ends<sup>3</sup>. The barbed end of the filament is the preferred end in the assembly reaction, having the higher affinity for actin and grows at a rate ten times faster than the pointed end<sup>4</sup>. The barbed end of the filament is the only end that contributes to actin assembly in cells<sup>5</sup>. The G:F equilibrium of the resting cell is maintained by three mechanisms. First, virtually all (95–97%) of the actin filament barbed ends in the platelet are capped by high affinity binding proteins. Platelet proteins known to constitutively cap actin filament barbed ends are CapZ<sup>6,7</sup> and adducin, a protein that also caps the short actin filaments in the erythrocyte membrane skeleton<sup>8,9</sup>. Capping prohibits actin monomer addition to the barbed ends of filaments. Secondly, actin subunits not incorporated into filaments complex to proteins that prevent their addition to the pointed ends<sup>10</sup>. Proteins that bind actin monomers in this fashion are thymosin- $\beta 4$ <sup>11</sup> and profilin<sup>12,13</sup>. Both profilin and thymosin- $\beta 4$  have higher affinities for G-actin than does the pointed filament end. Lastly, Arp2/3 complexes or other pointed end binding proteins may also cap many of the pointed ends in the platelet. Despite these many control mechanisms, actin filaments rapidly turnover in cells, particularly in regions of cells engaged in movement. The dynamic status of filaments in the platelet has not been directly investigated, but treatment with cytochalasins perturbs the G:F ratio, suggesting a flux of actin monomer between the two pools. Cofilin may participate in this process by

<sup>1</sup>  $(0.55 \times 10^{-3}) \times (7 \times 10^{-15}) \times 6.023 \times 10^{23}$ .

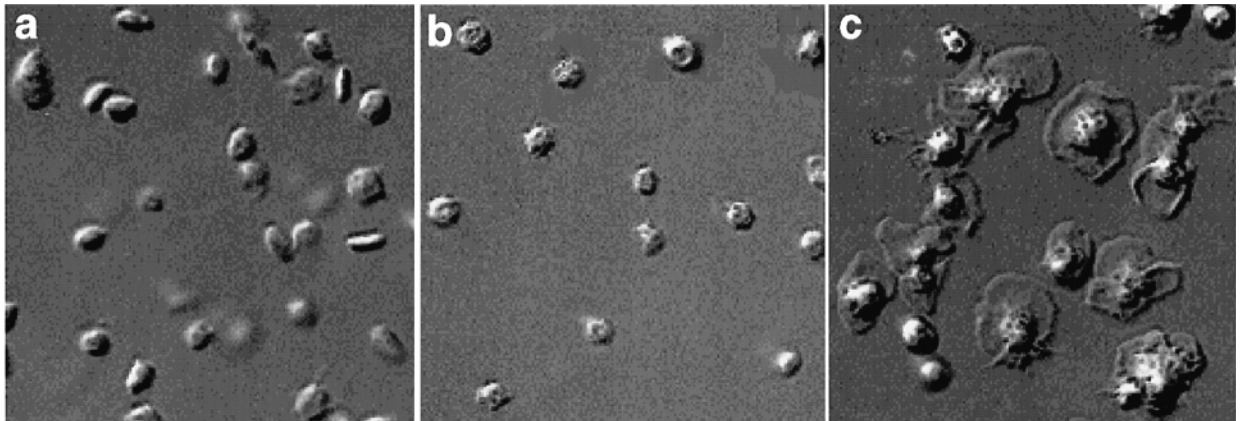


Fig. 6.1. Morphology of resting and activated platelets. Light micrographs, taken using differential interference contrast optics, showing (a) platelets at rest, (b) 10–15 s after activation of PAR-1 on platelets in suspension, and (c) platelets spread on glass for 10 min.

stimulating depolymerization from the pointed ends of filaments<sup>14</sup>.

Actin filaments in the resting platelet are organized into a rigid cytoplasmic scaffold by filamin A (ABP-280), which can cross-link filaments at high angles, and by  $\alpha$ -actinin, a protein that tends to align filaments in parallel.  $\alpha$ -actinin is an abundant actin filament bundling protein that is often associated with adhesion sites in various cell types and has been shown to bind to the cytoplasmic tail of  $\beta$ 1 integrins<sup>15</sup>, suggesting a role in linking actin filaments to the membrane, in particular, at adhesion sites. Filamin A is a large homodimer whose 280 kD subunits self-associate at their carboxytermini while the amino-terminal domains contain actin binding sites, each capable of binding to a different actin filament. Filamin A also participates in actin–membrane interactions. The carboxyterminal portion of each subunit harbours a binding site for the integral membrane protein GP Ib $\alpha$ <sup>16,17</sup>, a component of the von Willebrand factor receptor (vWFR) complex (GP Ib–IX–V)<sup>18,19</sup>. A stable link between the platelet plasma membrane and the cytoplasmic actin filaments is ensured by the complexing of >90% of the 25 000 copies of vWFR to filamin A<sup>20</sup>. The critical role of this interaction in maintaining the discoid shape has been established in studies of Bernard–Soulier Syndrome (BSS) platelets that lack the vWFR, and hence the vWFR–filamin–actin linkage. Platelets from BSS patients are abnormally large in size, fragile and circulate poorly, at least in part because their membrane skeleton (below) is weakly adhered to the underlying actin filaments.

A dense lattice of spectrin laminates the cytoplasmic side of the plasma membrane and is attached to the actin filament network of the resting platelet. Actin filament ends, derived from the filament network, selectively inter-

act with the spectrin molecules. Capture of these ends by spectrin, which in theory should bind equally well along the length of the actin filament, may be related to the finding that many of the barbed ends in the resting platelet are capped by adducin. Adducin forms a high affinity ternary complex with spectrin and actin<sup>21,22</sup>. The near equivalent stoichiometry of actin filament ends (2000–5000), spectrin tetramers (2000), and adducin tetramers in the resting cytoskeleton (5000) allows for the possibility that all actin filaments which interconnect with the spectrin strands, do so via an adducin-capped barbed end. This idea is also consistent with observations that most of the actin filaments attach to the membrane skeleton by their barbed ends<sup>1</sup>.

Traversing the pores of the spectrin network are the abundant filamin A linkages that connect vWFR to actin filaments. Actin filaments are linked at multiple points to the plasma membrane by filamin A as well as at their barbed ends by the spectrin–adducin interaction. This configuration imparts significant stability to the plasma membrane and to the discoid form of the platelet. Cleavage of these actin linkage proteins (filamin A and spectrin) by the protease calpain in aggregating platelets or during platelet storage, causes membrane blebbing and microvesicle release<sup>23,24</sup>.

Tubulin and its associated proteins also contribute to the maintenance of the discoid shape of the resting platelet. Drugs that destabilize microtubules have been reported to cause the discoid shape in resting human platelets to be lost<sup>25</sup>. Approximately 40% of tubulin in a platelet is assembled into a specialized microtubule coil that lies just below the plasma membrane. This coil appears to form from a single microtubule that circumscribes the platelet periphery 8 to 12 times<sup>26</sup>. Microtubules do play an essential role

in formation of platelets from megakaryocytes<sup>27</sup>, although the precise details of how the microtubule coil develops to its mature form in the platelet are unknown. The microtubule-associated motors kinesin and cytoplasmic dynein are present in platelets<sup>28</sup>. Dynein localizes to punctate structures associated perhaps with intracellular organelles, but is surprisingly not enriched in the microtubule coil. A protein antigenically related to MAP2 has been shown to associate with the coil in resting bovine platelets<sup>29</sup>.

### The cytoskeleton of the activated platelet

Platelet shape change following receptor mediated activation proceeds through two recognizable steps (Fig. 6.1). First, the disc converts into an irregular or round shape (Fig. 6.1(b)). This transition requires a rise in intracellular calcium and activation of gelsolin to sever actin. Gelsolin severing in the cortex of the platelet destabilizes the highly ordered and constrained structure formed between spectrin/adducin- and filamin A-actin as discussed above<sup>30</sup>. With further surface contact, the platelet extends filopodia and spreads lamellae (Fig. 6.1(c)). Actin assembly drives these morphological changes.

Actin assembly occurs when actin monomers, stored in the cytoplasm and in complex with thymosin- $\beta$ 4 or profilin release and add to the barbed ends of actin filaments. Assembly of monomers into filaments is initiated by the exposure of nucleation sites which can be actin filament barbed ends or *de novo* nuclei. As discussed, proteins such as CapZ and adducin maintain >95% of the total actin filament barbed ends capped in resting platelets. Therefore, to trigger the actin assembly reaction either new barbed end nucleation sites must be generated *de novo* in the resting cell, or pre-existing and capped filaments must be uncapped. In platelets, both of these processes contribute in actin assembly. The changes seen in the actin cytoskeleton between resting and activated platelets are illustrated in the electron micrographs (Fig. 6.2). Detergent extraction of the resting platelet primarily shows the membrane skeleton, composed of spectrin and associated proteins as discussed above. Activation of the platelet results first in the loss of the discoid shape. The most striking cytoskeletal change during this 'rounding' is the appearance of short filaments in the cell cortex that derive from the severing of the preexisting cortical actin filaments. Spreading of the platelet requires actin assembly, and large lamellae become filled with branched networks of actin filaments. The template for this orthogonal filament array derives from fragmentation of actin filaments in the cortex of the

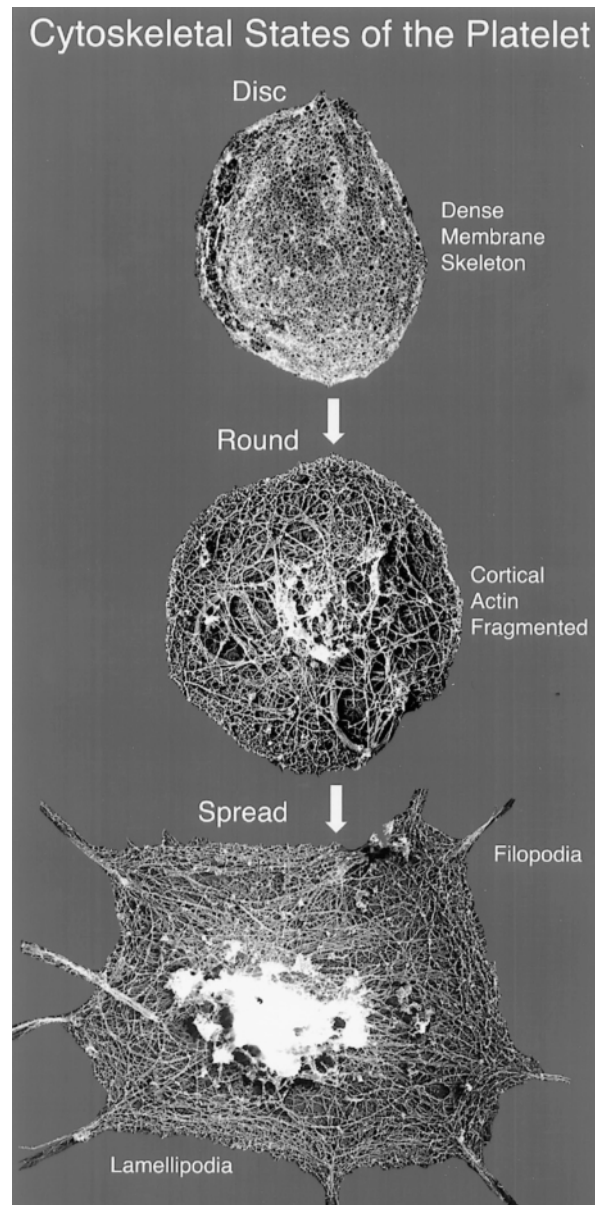


Fig. 6.2. Structure of the resting and active platelet cytoskeleton. Electron micrographs of platelet cytoskeletons at comparable stages to those shown in Fig. 6.1 (rest, round, spread). The cytoskeleton has been revealed by removing membrane with detergents. The fragmented actin filaments composing the rounded platelet cytoskeleton were captured by activating platelets in the presence of cytochalasin B, a reagent that blocks actin assembly.


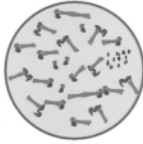

Platelet shape	Actin	Actin filament ends	Main signals
<b>Discoid</b> 	40 % of actin polymerized into filaments	CapZ and adducin cap actin filament barbed ends	[Ca <sup>2+</sup> ] < 100 nM ppl's sequestered
<b>Round</b> 	40 % of actin polymerized into filaments	Gelsolin severs actin filaments CapZ, adducin and gelsolin are on barbed ends	PLC-mediated hydrolysis of ppl's Cytosolic calcium rises to 0.5-3 μM
<b>Spread</b> 	80 % of actin polymerized into filaments	Gelsolin and adducin dissociate from barbed ends Arp2/3 is activated and nucleates actin assembly de novo CapZ associates with barbed ends	ppl's are synthesized: PI4P up by 80 μM PI4,5P2 up by 60 μM PI3,4P2 up >20 fold PI3,4,5P3 up transiently

Fig. 6.3 (see also colour plate). The role of calcium and ppl's in the regulation of the platelet actin cytoskeleton. Platelet shape change during activation is linked with changes in the actin cytoskeleton, proteins that regulate actin filament ends and the primary signals that effect changes in filament ends. The actin filaments in the discoid resting platelet are in a mustard-yellow colour, while the capping proteins are in pink and purple. Actin monomers that add to the barbed end of filaments in the spread platelet are illustrated as blue subunits.

platelet. Gelsolin-driven severing of actin and uncapping of these filaments occur in the cortex and ultrastructural studies demonstrate that this area supports new actin assembly<sup>31</sup>. Furthermore, filaments, after being severed, remain in the cortex since they are still linked to the plasma membrane by filamin A, and the polyphosphoinositides that mediate uncapping of gelsolin capped filaments reside in the plasma membrane. Figure 6.3 summarizes the changes in morphology, actin content, and critical regulatory proteins and signals that occur in the active platelet forms.

Changes in the microtubule cytoskeleton coincident with platelet activation have been described. The microtubule coil is either compressed into the center of the active platelet or rearranged to a number of individual microtubules that radiate out from the cell center into the cortex, particularly into filopodia. Whether this transition requires depolymerization and/or fragmentation of the coil is not known.

### Regulation of actin assembly

There are at least four potential actin filament barbed end capping proteins present in platelets that can serve as targets for signals that lead to actin filament uncapping (Figs. 6.3 and 6.4, see colour plates). Gelsolin is one of the best-studied molecules in terms of its effect on actin in

vitro<sup>32,33</sup>. Gelsolin, a major actin filament barbed end capping protein in platelets (5 μM), is also capable of severing actin filaments. Gelsolin is activated to bind to actin in μM calcium. In the resting platelet, the cytoplasmic calcium level is <100 nM, and gelsolin is not associated with actin<sup>34</sup>. Following ligation of the thrombin receptor (PAR-1), a rise in cytoplasmic calcium activates gelsolin to bind actin; >90% of platelet gelsolin is complexed with actin after 5 s<sup>34</sup>. Association with actin begins with its binding to the side of an actin filament, followed by interdigitation into the filament to sever it. After severing, gelsolin remains on the newly formed actin filament barbed end. Gelsolin-driven severing primarily takes place in the platelet cortex, and severing of these cortical actin filaments causes platelets to lose their discoid shape. Activation of gelsolin and actin-gelsolin complex formation occur before the actin assembly reaction begins. The initial complexing of gelsolin to actin is followed by a dramatic decrease in the amount of gelsolin bound to actin, and by 90 seconds after platelet activation, ~50% of the gelsolin complexed with actin in the first seconds of platelet activation is released. Gelsolin-actin dissociation is mediated by membrane phospholipids (polyphosphoinositides, ppl's<sup>35</sup>, and lysophosphatidic acid, LPA<sup>36</sup>), whose synthesis after platelet activation is stimulated by small GTPase activation. The signal transduction cascade leading to new production of ppl's is described below. Mouse platelets from transgenic

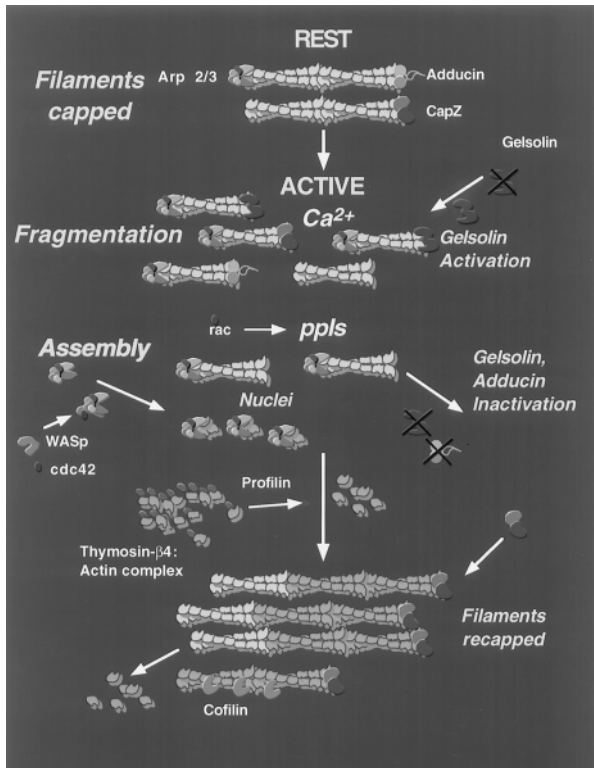


Fig. 6.4 (see also colour plate). Regulation of platelet actin assembly. The role of known platelet proteins in the actin assembly reaction is shown. In the resting platelet, adducin and CapZ cap actin filament barbed ends. Activation leads to calcium release and the activation of gelsolin. Gelsolin fragments the cortical actin filament network and this event is responsible for the loss of the discoid shape of the resting platelet, which now assumes a more rounded morphology. Polyphosphoinositides are robustly generated at the cytoplasmic surface of the platelet plasma membrane and lead to the initiation of actin assembly onto exposed barbed ends of actin filaments. PpIs both inactivate capping proteins (adducin and gelsolin) and maximally activate the Arp2/3 complex through the WASp family of proteins. Profilin and thymosin  $\beta$ 4, by binding to actin monomers, maintain the large actin pool that drives filament assembly. Actin filaments are subsequently buffered by CapZ, which recaps the filaments, and terminates the assembly reaction. Subsequent actin filament turnover if it occurs in platelets is stimulated by cofilin.

animals that lack gelsolin have a 50–75% reduction in their capacity to generate barbed end nucleation sites and assemble actin following platelet activation. These results demonstrate that gelsolin is directly responsible for much of the actin assembly events (exposure of actin filament barbed ends) during platelet activation.

Another important actin filament barbed end capping protein in platelets is CapZ<sup>6,7</sup>. Platelets have 5  $\mu$ M CapZ,

one third of which is associated with actin in resting platelets. With activation of platelets, more CapZ is deposited onto actin. The kinetics of CapZ association with actin closely follows the kinetics of actin assembly and binding reaches a maximum when actin assembly ceases. CapZ's association with actin, therefore, reaches a maximum after the gelsolin-actin interaction is reversed. This normal synergistic interaction of CapZ with gelsolin-generated ends is defective in gelsolin deficient platelets. Platelets from transgenic mice lacking gelsolin have more polymerized actin and consequently, the amount of CapZ associated with actin is elevated ( $\sim$ 50% of the total being associated with the actin cytoskeleton). The amount of CapZ bound to actin following activation, however, does not change, indicating that CapZ incorporation into the actin cytoskeleton depends on the gelsolin-driven actin filament fragmentation reaction. This information shows that CapZ acts primarily as an actin assembly buffer to maintain actin filament barbed ends capped and inaccessible at rest and to terminate assembly in activated platelets by capturing exposed barbed ends. Although these experiments show that CapZ's main function is to buffer actin filament barbed ends, a small amount can be released from actin by signals generated by receptor ligation. In vitro, CapZ is released from actin filament barbed ends by polyphosphoinositides<sup>37</sup> and following treatment of permeabilized platelets with micelles of the polyphosphoinositides  $PI_{3,4}P_2$  or  $PI_{4,5}P_2$  or following PAR-1 ligation, a small amount of CapZ ( $\sim$ 8%) releases from actin filament ends<sup>6</sup>. These data indicate that CapZ can be a target in signal transduction pathways.

Flightless-I, a gelsolin homologue, is also expressed in human platelets and associated with actin filaments comprising the cytoskeletons of resting and activated platelets. Flightless-I has an intriguing structure. In addition to having a domain that is homologous to the full amino acid sequence of gelsolin, it also contains an amino-terminal leucine rich repeat domain that binds other proteins<sup>38</sup>. Flightless-I is present at one-tenth of the concentration of CapZ or gelsolin. Therefore, although the specific details of its function have not been worked out, it may participate in cytoskeletal dynamics.

The last capping protein that has been studied in platelets is adducin. Adducin has been well described in erythrocytes where it caps the barbed end of small actin filaments composing the spectrin membrane skeleton. Platelets contain  $\sim$ 3  $\mu$ M adducin and 80–85% of it is associated with the resting cytoskeleton. Several signalling molecules modulate adducin-actin interactions including calcium-calmodulin, phosphorylation by protein kinase C (PKC) or Rho-associated protein kinase (ROCK)<sup>39,40</sup>, and

possibly polyphosphoinositides by regulating the interaction of adducin with actin via the MARCKS domain in adducin<sup>41,42</sup>. Calcium–calmodulin, phosphorylation by PKC, and possibly polyphosphoinositides, are negative regulators of the adducin actin interaction, whereas phosphorylation by ROCK is a positive regulator. Unpublished data (K.L. Barkalow) suggest that  $\alpha$ -adducin in platelets is largely associated with the actin cytoskeleton in resting platelets, but becomes soluble with platelet activation, coincident with phosphorylation by PKC. These data are consistent with a role for adducin in actin filament barbed end uncapping in early platelet activation.

### **De novo actin nucleation by WASp and the Arp2/3 complex**

Recent evidence indicates that WASp family proteins integrate signals leading to nucleation of actin by the Arp2/3 complex<sup>43,44</sup>. WASp and Arp2/3 are likely to contribute to the burst of actin assembly that follows platelet activation. WASp is the protein mutated in Wiskott-Aldrich Syndrome (WAS), a rare X-linked recessive disorder characterized by eczema, severe immunodeficiency and thrombocytopenia<sup>45</sup>. The disease affects most hematopoietic lineages, including lymphocytes, monocytes, neutrophils and platelets. Platelets are among the most severely affected cells. Platelets from WAS patients, which lack WASp, are abnormally small, and circulating counts can be 10% of normal or lower. Other WASp family members have been identified. A gene encoding a protein highly homologous to WASp was cloned from bovine brain and was termed neural WASp (N-WASP)<sup>46</sup>. Unlike WASp, which is only expressed in hematopoietic cells, N-WASP is widely expressed. Subsequently, a novel protein related to WASp, WAVE/Scar, was identified, first in *Dictyostelium discoideum*, and then in vertebrates<sup>47,48</sup>. WASp family members interact with numerous signalling molecules known to alter the actin cytoskeleton. WASp contains an N-terminal pleckstrin-homology (PH) domain, which partially overlaps with a WASp-homology (WH) domain, WH1, found in Ena/VASP family proteins that are involved in the maintenance of cytoskeletal integrity<sup>49</sup>. C-terminal to this domain is a GTPase-binding domain (GBD), which binds the small GTPase Cdc42, a number of proline-rich stretches, a second WH domain, WH2, which has homology to the yeast protein verprolin, a cofilin-homology (CH) sequence and an acidic C-terminal region<sup>50</sup>. Overexpression of WASp in cultured cells leads to formation of actin clusters<sup>49</sup>, and inducible recruitment of Cdc42 and WASp to a membrane receptor triggers actin polymerization that results in filopodia formation<sup>51</sup>.

In the past few years, it became clear that WASp family proteins interact with the Arp2/3 complex to induce nucleation of actin filaments<sup>43,44</sup>. Arp2/3, originally found in *Acanthamoeba castellanii*, consists of two actin-related proteins, Arp2 and Arp3, and five novel proteins<sup>52,53</sup>. Arp2/3 nucleates actin filaments, but its activity requires WASp family proteins. Binding of Cdc42 and membrane ppIs to the WASp homologue N-WASP exposes the N-WASP C-terminus to Arp2/3 and actin monomers to mediate their association, initiate actin polymerization, and form new actin filaments *in vitro*<sup>54</sup>. It has been shown that Arp2/3 initiates the actin-based motility of intracellular parasites such as *Shigella flexneri* and *Listeria monocytogenes*<sup>55–57</sup>. Arp2/3 localizes to the leading edge of crawling cells such as *Xenopus laevis* keratocytes<sup>58</sup>, suggesting that Arp2/3 is responsible for branching of actin filaments and *de novo* actin filament nucleation at the cell cortex that leads to cell movement.

The roles of WASp and Arp2/3 in platelet shape change and actin assembly are unclear. WASp is phosphorylated on tyrosine during platelet activation induced by collagen and a cross-linked collagen-related peptide specific for GPVI by a mechanism dependent on PI 3-kinase and actin assembly<sup>59,60</sup>. Arp2/3 localizes at the edge of the lamellipodia of platelets spreading on glass and redistributes to the actin cytoskeleton after platelet activation by the thrombin receptor PAR-1 and the collagen receptor GPVI (Falet, unpublished data). However, WASp-deficient platelets do not show any defect in actin assembly and shape change<sup>60,61</sup>, and Arp2/3 redistributes normally to the actin cytoskeleton in the absence of WASp. These observations suggest that platelets differ from the other lymphoid cells affected by WASp deficiency and may recruit Arp2/3 to nucleate actin filaments in the absence of WASp by mechanisms that are yet to be discovered. Noteworthy, human platelets express WAVE/Scar, but not N-WASP<sup>62</sup> (Falet, unpublished data).

### **Signalling leading to platelet shape change**

Platelet shape change and actin assembly are mediated by the production of two essential signalling molecules. First, cytosolic calcium concentrations are elevated by the release of intracellular stores, which requires the hydrolysis of plasma membrane polyphosphoinositides (ppIs) by phospholipase C (PLC), and by opening of calcium channels. The second key signal for platelet shape change is the production of ppIs downstream of the small GTPases<sup>63</sup>.



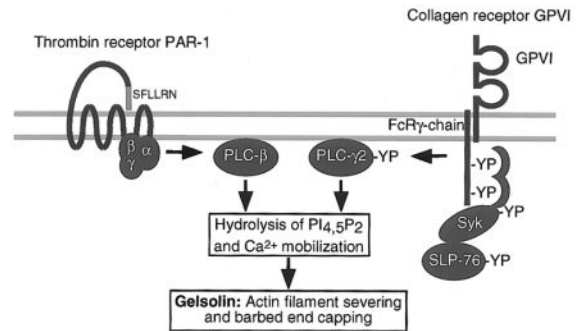
## Cytosolic calcium increase

A rise in intracellular calcium results from the activation of PLC. Polyphosphoinositides (ppIs), notably phosphatidylinositol-4,5-bisphosphate (PtdIns-4,5-P<sub>2</sub>), are cleaved by PLC into inositol-1,4,5-trisphosphate (Ins-1,4,5-P<sub>3</sub>) and diacylglycerol. The platelet calcium increase occurs through both Ins-1,4,5-P<sub>3</sub> mediated release of calcium from the intracellular stores and by the entry of calcium from the external medium. The diacylglycerol produced by this reaction goes on to activate protein kinase C (PKC)<sup>64,65</sup>. Thrombin and collagen, two of the most potent platelet activators, use distinct receptors, and their signalling pathways lead to the activation of PLC- $\beta$  and PLC- $\gamma$ 2, respectively (Fig. 6.5).

Thrombin is a serine protease that plays a central role in hemostasis and coagulation. Thrombin binds to the vWFR and activates at least two protease-activated receptors (PAR) on human platelets: PAR-1 and PAR-4, which both belong to the seven-transmembrane receptor family that couples trimeric G-proteins. PAR-1 was the first PAR to be cloned, and its signalling pathway is well characterized<sup>66,67</sup>. Cleavage of its N-terminus by thrombin leads to its activation and the cytoplasmic stimulation of PLC- $\beta$  through G-proteins of the Gq family<sup>65</sup>. Active PLC- $\beta$  hydrolyzes PtdIns-4,5-P<sub>2</sub> in the plasma membrane as described above. PAR-1 ligation alone is sufficient to initiate platelet activation. Peptides having the exposed N-terminal SFLLRN sequence of cleaved PAR-1 mimic most platelet responses initiated by thrombin. These peptides, called thrombin receptor activating peptides (TRAP), are widely used in the literature as selective PAR-1 activators.

The platelet collagen receptor GPVI belongs to the immunoglobulin superfamily and forms a complex with the Fc receptor  $\gamma$ -chain (FcR $\gamma$ -chain) that contains an immunoreceptor tyrosine-based activation motif (ITAM) and the two Src-family tyrosine kinases, Fyn and Lyn<sup>68–71</sup>. Platelet stimulation by collagen, or a cross-linked collagen-related peptide (CRP) selective for GPVI, significantly increases the tyrosine phosphorylation of multiple signalling proteins, including FcR $\gamma$ -chain, the tyrosine kinase Syk, the adaptor proteins SLP-76 and LAT, and PLC- $\gamma$ 2. A model has been proposed where FcR $\gamma$ -chain ITAM is phosphorylated by Fyn and Lyn after GPVI ligation and binds Syk through Syk tandem Src homology 2 (SH2) domains. SLP-76 is phosphorylated by Syk and recruits PLC- $\gamma$ 2 to the plasma membrane, where Syk phosphorylates and activates it. In support of this model, tyrosine phosphorylation of PLC- $\gamma$ 2 and platelet activation induced by collagen and CRP are abolished in FcR $\gamma$ -chain-, Syk- and SLP-76-deficient mice<sup>72–75</sup>. It has also been shown that phospho-

## 1. Ca<sup>2+</sup> mobilization and actin filament severing



## 2. Production of ppIs and actin assembly

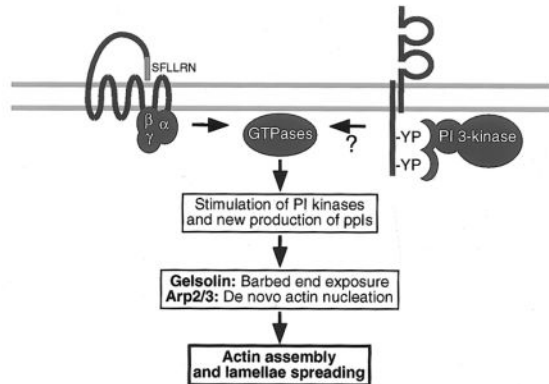


Fig. 6.5. Scheme for signal transduction from the thrombin receptor PAR-1 and the collagen receptor GPVI to platelet actin assembly and shape change. (a) Engagement of PAR-1 results in the GTP loading and the dissociation of Gq $\alpha$  from the trimeric G protein complex. Gq $\alpha$ -GTP activates PLC- $\beta$ , leading to the hydrolysis of membrane ppIs. This mediates Ca<sup>2+</sup> mobilization, resulting in the activation of gelsolin, which severs the actin filaments and caps their barbed ends. Engagement of GPVI activates the protein tyrosine kinase Syk, which phosphorylates the adaptor protein SLP-76. SLP-76 recruits PLC- $\gamma$ 2 to the plasma membrane, where Syk phosphorylates and activates it. This also results in Ca<sup>2+</sup> mobilization and activation of gelsolin. (b) Subsequently, platelet activation also results in the activation of small Rho GTPases, which bind and activate PI kinases, leading to a new production of membrane ppIs. PpIs inactivate gelsolin and mediate the uncapping of the actin filament barbed ends; they also stimulate WASp family proteins, leading to the *de novo* nucleation of actin filaments by Arp2/3. Both PAR-1 and GPVI induce the actin assembly required for the formation of lamellae. Engagement of GPVI requires PI 3-kinase activation.

inositide (PI) 3-kinase is involved in GPVI signalling. PI 3-kinase binds to the phosphorylated FcR $\gamma$ -chain ITAM after GPVI ligation by collagen or CRP<sup>76</sup>, and its inhibition by wortmannin or LY294002 affects the platelet responses downstream of GPVI<sup>73,77,78</sup>. Membrane ppIs phosphorylated in the D3 position of the inositol ring modulate PLC- $\gamma$ 2 activity by binding to its pleckstrin homology and/or SH2 domains<sup>79,80</sup>. Noteworthy, human platelets express another ITAM-containing receptor: the immunoglobulin G Fc receptor Fc $\gamma$ RIIA. It is believed that GPVI and Fc $\gamma$ RIIA share similar signalling pathways leading to PLC- $\gamma$ 2 activation and calcium mobilization<sup>79,81</sup>.

### Small GTPases and new production of membrane polyphosphoinositides

The key signal for platelet shape change is the new production of ppIs<sup>63</sup>. As described above, ppIs couple to multiple actin regulatory proteins. They inactivate capping proteins and are critical co-factors in the activation of WASp family proteins, which both lead to actin assembly. Synthesis of ppIs is driven by small GTPase activation of PI kinases.

Small GTPases of the Rho family have been shown to control actin assembly and architecture. The paradigm described first by Hall and collaborators, using microinjected or transfected fibroblasts, is that Cdc42 controls the formation of filopodia, Rac the extension of lamellipodia and Rho the formation of stress fibres and adhesion sites<sup>82</sup>. Rac binds to PI 5-kinase and stimulates its activity<sup>83</sup>. In permeabilized platelets, dominant negative Rac or kinase-dead PI 5-kinase block the signalling from PAR-1 to actin assembly<sup>63</sup>. Furthermore, a rapid and robust activation of Rac follows ligation of the thrombin receptor PAR-1 causing Rac to localize at the edge of the lamellipodia of platelets spreading on glass<sup>84</sup>. Activation of Rac correlates with the new production of ppIs observed after PAR-1 ligation. No redistribution to the actin cytoskeleton has been described in platelets activated in solution in non-aggregating conditions.

Small GTPases exist in two forms: an inactive GDP-bound form and an active GTP-bound form. Activation of Rho family GTPases requires guanine nucleotide exchange factors (GEF). However, the mechanisms of activation of these GTPases downstream of trimeric G-proteins are still unclear. In platelets, PAR-1 ligation leads to the dissociation of G<sub>12</sub> family of trimeric G-proteins. The G<sub>12</sub> $\alpha$  subunit, in other cells, has been shown to activate Rho GEFs. Other GEFs, e.g. Vav and Trio, may be important upstream mediators in the signalling to Rac and Cdc42. PI 3-kinase is also involved in the activation of Rac<sup>85–87</sup>. The lipid products of PI 3-kinase interact with Rac and stimulate GDP dissociation

from Rac<sup>88</sup>. However, PI 3-kinase is not required for Rac activation, actin assembly or platelet spreading mediated by PAR-1<sup>89</sup>. PI 3-kinase is involved in platelet spreading over fibrinogen-coated surfaces mediated by ADP<sup>90</sup> and in platelet actin assembly initiated by the fibrinogen receptor, the integrin  $\alpha$ IIb $\beta$ 3<sup>89</sup>. PI 3-kinase plays also a critical role upstream of gelsolin for the exposure of actin filament barbed ends and the actin assembly required for the formation of lamellae mediated by the collagen receptor GPVI<sup>73</sup>. Unpublished data suggest that PI 3-kinase is upstream of Rac in human platelets activated by the other platelet ITAM-containing receptor Fc $\gamma$ RIIA (Barkalow, unpublished data).

### Conclusion

The platelet cytoskeleton has two main functions: (i) maintain the discoid shape of the resting platelet; and (ii) mediate a rapid shape change in response to external stimuli at sites of vascular injury. At rest, actin-binding and cross-linking proteins such as filamin A and spectrin maintain the unique architecture of the actin cytoskeleton and connect it to the plasma membrane. Capping proteins, such as CapZ and adducin, prevent the assembly of actin onto the barbed ends of the actin filaments composing the resting cytoskeleton.  $\beta$ 4-thymosin and profilin maintain a large actin monomer pool that can be rapidly mobilized to assemble into filaments for the shape change reaction following platelet activation. Receptor ligation leads to the cytoplasmic signals, Ca<sup>2+</sup> and membrane ppIs, which stimulate the activities of the regulatory proteins, gelsolin, adducin and the WASp family proteins. The interactions of these molecules generate actin nucleation sites to initiate actin filament growth by stimulating the Arp2/3 complex to form new barbed ends or by dissociating capping proteins to expose barbed ends. These intimate interactions between cytoplasmic signals and the actin cytoskeleton make the rapid shape change of the platelet possible.

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# Platelet organelles

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## Introduction

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The blood platelet is unique in that it appears as a very simple cell with a clear cytoplasm, no nucleus, and a variety of organelles. These organelles contain various components which serve in metabolic and secretory processes. In response to a variety of stimuli, platelets liberate granule constituents in the outer medium. Yet, platelets cannot be considered a secretory cell, since they do not synthesize the secreted components. The purpose of this chapter is to describe the different platelet organelles, to analyse the role of each of them and to describe the function of the different granule constituents.

The different organelles, including few mitochondria, are dispersed randomly in the cytoplasm together with skeletal components (microtubules and actin filaments), a large amount of glycogen as a source of energy, and a complex membranous system. The latter consists of two prominent structures: the open canalicular system, which allows connections between the cytosol and the surrounding medium, and the dense tubular system (DTS), which stores important metabolic enzymes. Mitochondria and DTS are involved in metabolic processes, whereas specific granules (dense granules, alpha granules, and lysosomes) are involved in platelet secretion, the so-called 'platelet release reaction'. In other words, mitochondria and DTS work in concert to provide the metabolic energy and control the cytosolic calcium required for secretion of the different granule constituents.

## Dense granules (also termed dense bodies, dense core granules, and $\delta$ -granules)

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These are so-called because they are both heavy and electron dense.

## Structure, size, and number

Dense granules are the smallest granules present in the platelet cytoplasm, with an average diameter of 150 nm. Typically, dense granules are characterized by the presence of an intensely opaque dense core surrounded by a clear space and a single membrane. The dense body may completely fill the whole lumen of the granule, or depending on the thin section of the electron microscope grid, it appears round, spherical, elongated or even with a round head and a tail as a tadpole. There is an average of 5–6 dense granules per platelet<sup>1-3</sup>.

## Properties

Dense granules have three major characteristics: (i) they absorb the electron beam, and hence are intrinsically dense when viewed by electron microscopy of unstained whole mount platelet preparations. Inasmuch, being highly osmophilic, they appear black after osmium staining of thin sections; (ii) they contain small non-protein molecules; (iii) they are able to accumulate various basic compounds, such as reserpine, chlorpromazine, chloroquine and mepacrine<sup>4</sup>. Dense granules also incorporate serotonin through a very high concentration gradient, and the amine concentration within the dense granule can be a thousand times higher than in plasma. The latter property is related to the fact that the internal pH of the dense granule is acidic (pH=6.1), a pH maintained thanks to a proton-pumping ATPase<sup>5</sup>. Thus, dense granule properties are very similar to those of adrenal chromaffin granules, storing catecholamines.

## Content

Compounds stored in dense granule are small molecules (Table 7.1). Dense granules contain high concentrations of

**Table 7.1.** Platelet dense granule constituents

Membrane	Matrix
GTP binding protein: Ral1	Adenine nucleotides: ATP, ADP
Glycoproteins GPIb <sup>a,b</sup> , IIbIIIa <sup>a,b</sup>	Serotonin (5-HT)
Granulophysin (LIMP1/CD63) <sup>c</sup>	Calcium, magnesium
LAMP 2 <sup>c</sup>	Pyrophosphate
Src <sup>b</sup>	Guanine nucleotides: GTP, GDP
P-selectin (CD62) <sup>a,b</sup>	Histamine

*Notes:*

<sup>a</sup> Also present on alpha-granule membrane.

<sup>b</sup> Also present on plasma membrane.

<sup>c</sup> Also present on lysosomal membrane.

adenine nucleotides (65% of total platelet content) with over 0.6 and 0.4 M of ADP and ATP, respectively. This pool of adenine nucleotides is metabolically inert and can be distinguished from the metabolic pool in that it is not labelled by incubation of platelets with radiolabelled phosphate. They also contain 70% of the total platelet content of bivalent cations, with calcium as the predominant ion in human platelets at around 2.2 M (Table 7.1). This pool of calcium is distinct from the pool which is mobilized for platelet activation, the latter being localized in the dense tubular system. Dense granules are the storage granules for serotonin (5-hydroxytryptamine), at a concentration of 65 mM, and hence were originally called the 5-hydroxytryptamine organelles. Serotonin is the major osmiophil factor of dense granules. Within the dense core, adenine nucleotides and pyrophosphate form tight complexes with calcium and serotonin held together by intermolecular forces<sup>6</sup>. Consequently, the high amount of calcium, not in an ionised form but in a heavy complex, confers to denser granules their high stability. A high level of phosphorus also participates in the high dense granule stability<sup>7</sup>.

**Membrane**

As for classical exocytotic vesicles, the dense granule membrane consists of phospholipids with insertion of proteins<sup>8</sup>. Analysis of phospholipids revealed that dense granules are rich in lysolecithin and ganglioside GM3, a property shared with chromaffin granules<sup>9</sup>. A small GTP-binding protein, Ral, is associated with the dense granule membrane<sup>10</sup>, suggesting that the dense granule is equipped of transducing proteins required for the release reaction (Table 7.1). After the secretion process, the granule membrane is integrated in the platelet membrane and yields the expression of dense granule membrane pro-

teins on the activated platelet surface. This is the case for granulophysin<sup>11</sup>, and the tyrosine kinase src<sup>12,13</sup>. Noteworthy, a number of receptors which are present on either plasma membrane or other granule membrane, are also present on the dense-granule membrane. Granulophysin is identical to LIMP-1, a lysosomal integral membrane protein (see lysosome membrane section)<sup>11</sup>. The glycoproteins GPIb and GPIIbIIIa<sup>14</sup> and P-selectin<sup>15</sup> are also present on plasma and alpha-granule membranes.

**Origin**

Dense granules are formed in the megakaryocyte (MK) at an early stage of maturation<sup>16</sup>. In MK, they are empty sacks which are filled in with adenine nucleotides during maturation<sup>17</sup>, and with serotonin at an intermediate stage. In mature MK, dense granules are less numerous per unit of surface than in platelets<sup>18</sup>.

**Means of study**

The osmiophilic property of dense granules together with their intrinsic density allows their clear distinction from other granules by electron microscopy, either by whole mount technique<sup>3</sup>, or by the uranaffin reaction, specific for phosphonucleotides<sup>19</sup>. Dense granule capacity to incorporate serotonin has been widely used to study their release during platelet activation, after preincubation of platelets with radiolabelled serotonin which is incorporated almost exclusively in the dense granule. The high density and stability of dense granules has allowed their isolation by subcellular fractionations on various types of gradients at high yields and purity<sup>9,20,21</sup>, inasmuch as their purification could be followed by direct observation of mepacrine-labelled granules. Mepacrine has also allowed their quantitation by direct observation under a fluorescence microscope<sup>1,2</sup>, and is now widely used in flow cytometry to monitor the yellow-green fluorescence of platelet dense granule content<sup>22</sup>. It can also be used to monitor the dense granule release<sup>23</sup> and advantageously replace the radiolabelling of dense granule serotonin.

**Functions**

Dense granules contain high levels of ADP and calcium, their function during the release reaction is therefore to liberate in the outer medium 'proaggregating factors' that will recruit other platelets to aggregate. Serotonin is also a proaggregating agent although this does not hold true in every species. In human, serotonin is a weak platelet agonist, and it most likely acts as a local vasoconstrictor at

the site of injury which will in turn contribute to further aggregate platelets.

### Defects in dense granules

Isolated dense granule deficiency remains rare, although its incidence may be high in some populations, as is the case for the Hermansky–Pudlak syndrome (see below) frequent in northwestern Puerto Rico. Dense granule deficiency is referred to as  $\delta$ -storage pool deficiency (SPD) identifying the defective granule ( $\delta$  for dense granule). Criteria for this disease include reduced dense granule number, reduced platelet content of dense granule constituents (decreased ADP and decreased serotonin), and hence defective dense granule functions<sup>24,25</sup>. The dense granule structure abnormality is very heterogeneous<sup>26,27</sup>. The clinical manifestation is characterized by a moderate bleeding from bruising and epistaxis to bleeding after surgery. The laboratory screening reveals a prolonged bleeding time and a typical platelet aggregation defect, characterized by the absence of a second wave of aggregation or rapid deaggregation in response to ADP, and no aggregation in response to low doses of collagen.

$\delta$ -SPD is often associated with pigment abnormalities. Actually, the first  $\delta$ -SPD was described by Hermansky and Pudlak<sup>28</sup>, who reported two cases presenting with hemorrhagic disorder of medium severity with a prolonged bleeding time concomitant with tyrosine-positive oculocutaneous albinism and accumulation of an unusual ceroidlike pigment in macrophages. The Hermansky–Pudlak syndrome (HPS) may be complicated by different diseases, including granulomatous colitis and inflammatory and lung diseases. The latter may be directly related to the platelet dense granule defect: because the defective platelet does not store serotonin properly, the amine is at a higher level in the plasma. In a case of HPS associated with pulmonary hypertension, administration of ketanserin, an antagonist of serotonin, reduced the pulmonary hypertension<sup>29</sup>. The Chediak–Higashi syndrome (CHS)<sup>30</sup> also falls in this category of platelet  $\delta$ -SPD<sup>31</sup> and is associated with deficient pigmentation, the presence of large granules in leukocytes and immune deficiency. The two syndromes, HPS and CHS have been recently reviewed<sup>32</sup>. There is no specific treatment for  $\delta$ -SPD. The use of desmopressin (1-deamino-8-D-arginine vasopressin, DDAVP) may be helpful, however, to prevent excess bleeding and to shorten the bleeding time.

The genes for each of the two syndromes HPS and CHS have recently been identified with a number of mutations identified. The HPS gene encodes a 79 kD HPS protein and the most important pathologic frameshift mutations of the

gene abolish formation of functional HPS protein, suggesting that truncation of HPS proteins may have consequences at the subcellular level<sup>33</sup>. As with HPS, the CHS gene encodes a CHS protein, and frameshift and nonsense mutations abolish expression of CHS protein<sup>33</sup>. In the two syndromes, however, the above mutations were not found in every patient, and other loci in the genome and/or other factors may determine the clinical phenotype.

### Alpha granules

This population of granules is much more heterogeneous than the previous one, both in terms of opacity and structure and of constituents. They represent the major granule population in size and in number<sup>35</sup>.

#### Structure, size and number

Alpha granules are large organelles of 200–400 nm diameter with a single membrane. They are spherical or ovoid granules, with an electron density lower than that of dense granules and not even in the granule lumen<sup>36</sup>. Two major compartments can be identified: the dark nucleoid containing proteoglycans, and an electron-luscent matrix<sup>37</sup>. The latter can be subdivided into the nucleoid adjacent region, an intermediate zone often associated with labelling with plasmatic proteins, and a small peripheral zone characterized by the presence of tubular structures where the massive proteins, von Willebrand Factor (vWF), GPIa/multimerin, and factor V are colocalized<sup>38,39</sup>.

#### Properties

The alpha-granule population has two major characteristics: (i) the complex mechanism of packaging of the protein content by both synthesis and endocytosis; and (ii) the nature and functions of the proteins stored, which are large adhesive and healing proteins. The alpha granules are typical secretory vesicles which carry proteins to the cell surface to be released: some of the released soluble intragranular proteins adhere to the platelet surface and become peripheral proteins of the plasma membrane; some are incorporated in the membrane; and some diffuse into the extracellular fluid. Thus, alpha granules concentrate plasma proteins that play a critical role in hemostasis, wound healing and cell–matrix interactions, and store them until delivery is required at a site of vessel injury.



**Table 7.2.** Platelet alpha-granule constituents

Membrane	Matrix
<i>GTP binding proteins</i> rab4, GMP33, Rap1 <sup>b</sup>	<i>Proteoglycans</i> platelet specific: $\beta$ TG <sup>c</sup> , PF4 <sup>c</sup> serglycin, HRGP $\beta$ TG Ag molecules: PBP, CTAP-III, NAP-2
<i>Receptors and antigens</i> P-selectin <sup>a</sup> (CD62) GPIIb/IIIa <sup>a,b</sup> GPIb-IX <sup>b</sup> GPIV (CD36) <sup>b</sup> p24 (CD9) <sup>b</sup> PECAM (CD 31) <sup>b</sup> GLUT-3 Vitronectin receptor	<i>Adhesive glycoproteins</i> Fibronectin <sup>d</sup> , vitronectin, vWF <sup>c</sup> , thrombospondin <sup>c</sup> <i>Haemostasis factors and cofactors</i> Fibrinogen <sup>d</sup> , Factor V <sup>c</sup> , VIII, XI, XIII Kininogens, protein S, plasminogen <i>Cellular mitogens</i> PDGF, TGF $\beta$ , ECGF, EGF, VEGF/VPF, IGF, Interleukin $\beta$ <i>Protease inhibitors</i> $\alpha_2$ -macroglobulin, $\alpha_2$ -antitrypsin, PDCI $\alpha_2$ -antiplasmin, PAI1, TFPI PN-2/APP, C1 inhibitor <i>Miscellaneous</i> Immunoglobulins <sup>d</sup> : IgG, IgA, IgM Albumin <sup>d</sup> , GPIa/multimerin
Osteonectin	

*Notes:*<sup>a</sup> Also present on dense-granule membrane.<sup>b</sup> Also present on plasma membrane.<sup>c</sup> Synthesized in the megakaryocytes.<sup>d</sup> Endocytosed from plasma.

See text for abbreviations.

**Content**

Two specific platelet proteins, i.e.  $\beta$ -thromboglobulin ( $\beta$ TG) and platelet factor 4 (PF4), are localized in the alpha-granule nucleoid together with proteoglycans (Table 7.2). The latter include a chondroitin sulfate, serglycin, an histidine rich glycoprotein (HRGP), and a family of  $\beta$ TG-antigen (Ag) molecules consisting of the platelet basic protein (PBP), connective tissue activating protein-III (CTAP-III) and neutrophil-activating protein-2 (NAP-2), all precursors of  $\beta$ TG and PF4. Proteoglycans confer to the alpha-granules a relative stability. Besides these proteoglycans, alpha-granules contain many different types of large proteins, such as adhesive proteins, coagulation factors, and cellular mitogens. Among the mitogenic factors, the specific platelet derived growth factor (PDGF) is present together with transforming growth factor  $\beta$  (TGF $\beta$ ), epidermic growth factor (EGF), endothelial cell growth factor (ECGF), transforming growth factor- $\beta$  (TGF- $\beta$ ), insulin-like growth factor (IGF), vascular permeability factor (VPF) or vascular endothelial growth factor (VEGF), and interleu-

kin  $\beta$ . Protease inhibitors are also present in the alpha granules, among which are the platelet derived collagenase inhibitor (PDCI)<sup>40</sup>, the protease nexin-2/amyloid beta-protein precursor (PN-2/APP), and the plasminogen activator inhibitor 1 (PAI 1) tissue factor plasminogen inhibitor (TFPI). The coagulation factors and some other proteins, such as albumin, are identical or similar to the corresponding plasma proteins. Fibrinogen, thrombospondin and fibronectin are detected only in the intermediate zone of the granule lumen.

**Membrane**

The major alpha-granule membrane protein was simultaneously discovered in two different groups: the platelet activated derived granule external membrane (PADGEM)<sup>41</sup> or the granule membrane protein 140 (GMP140)<sup>42</sup>, is now termed P-selectin, and is the CD62 antigen. Other glycoproteins are found at the inner face of the alpha-granule membrane, including GPIIb/IIIa, GPIb-IX, GPIV (CD36), the tetraspanin CD9,<sup>43</sup> GMP-33,<sup>44</sup> and osteonectin<sup>45</sup>. The

glucose transporter GLUT-3<sup>46</sup>, the platelet endothelial cell adhesion molecule (PECAM) and the vitronectin receptor<sup>47</sup> are also present on the alpha-granule membrane. As for the dense granule, the alpha-granule membrane is equipped with GTP-binding proteins (Rap1, rab4, rab6 and rab8) required for the regulatory mechanism of secretion, although the mechanisms differ for alpha and dense granule populations<sup>48–50</sup>.

### Origin

Alpha granules are formed during MK maturation. In immature MKs, small immature alpha granules are found which enlarge during MK maturation. The gradual morphological transition occurs through different types of multivesicular bodies<sup>51</sup>. Some of the alpha-granule proteins are synthesized by the MK ( $\beta$ TG, PF4, vWF, factor V), and are expressed early during MK maturation. The proteins synthesized in the MK rough-endoplasmic reticulum are sorted in the *trans*Golgi network, where they are packaged in storage vesicles. The latter pinch off from the network and are visualized in the MK. Other proteins are endocytosed from the circulating plasma pool at both MK and platelet levels, and appear later in MK maturation than synthesized proteins. Endocytosis of proteins that are found at very low concentrations in the alpha-granule, such as immunoglobulins and albumin, proceeds passively by the so-called fluid phase endocytosis. Endocytosis of proteins that are present at a concentration within the alpha granule higher than in plasma, occurs through a receptor-mediated endocytotic process. This is the case for fibrinogen that is endocytosed through the GPIIb/IIIa receptor.

### Means of study

The two platelet specific proteins  $\beta$ TG and PF4 can be monitored by immunoassays. Hence, they are useful tools to monitor both the amount and the release of alpha granules, using commercially available immunoassays. The expression of P-selectin on the activated platelet membrane is now widely used as a marker of platelet activation and is easily detected by flow cytometry using an anti P-selectin antibody.

### Functions

The function of alpha-granules during platelet activation is to release 'repairing factors' in the outer medium in the context of wounding. Newly expressed GPIIb/IIIa, and its bound ligands, fibrinogen and vWF, contribute to magnify

aggregation and above all to the spreading of aggregated platelets on exposed subendothelium. In specific activation conditions, secreted platelet factor V binds to the activated platelet surface possibly on the exposed complex GPIa/multimerin, and hence serves as a receptor for factor Xa, thus favouring coagulation<sup>52</sup>. Thrombospondin and osteonectin, members of the secreted protein, acidic and rich in cystein (SPARC) family, form multimolecular complexes with other adhesive proteins (albumin and PDGF among others) on the extracellular matrix of the platelet surface and mediate interactions with basement membranes involved in tissue remodelling at sites of wound repair<sup>53,54</sup>. The P-selectin exposed on the activated platelet membrane binds its counter receptor, the P-selectin glycoprotein ligand 1 (PSGL1) present on leukocytes and endothelial cells and is thus responsible for platelet–leukocyte and platelet–endothelial cell interactions<sup>55,56</sup>. The  $\beta$ TG-Ag molecules are secreted in inactive forms of precursors of chemokines ready to control the inflammation process<sup>57</sup>. The two platelet proteins, PF4 and  $\beta$ TG, and HRGP have antiheparin activity, and would modulate FGF activity by competing with the latter on cell surface heparan sulfate proteoglycans, making FGF available<sup>58</sup>. Serglycin binds to PF4 and collagen and regulates the inflammatory process, inasmuch as it binds the lymphocyte adhesion molecule CD44<sup>59</sup>. The PN-2/ APP inhibits blood coagulation, a function of special importance in the brain, since the APP processing is altered in platelets of patients with Alzheimer disease<sup>60</sup>. Mitogenic factors have various roles in wound repair once liberated. For example, PDGF stimulates medial smooth muscle cell proliferation, whereas TGF $\beta$  inhibits cell proliferation; both PDGF and TGF $\beta$  stimulate collagen synthesis.

### Defects in alpha granules

The referenced alpha-granule deficiency is the Gray syndrome, so called because the lack of alpha granules confers to the platelet a grey colour after May–Grunwald–Giemsa staining of a platelet smear. It is a rare congenital disorder, first described by Raccuglia<sup>61</sup>, and characterized by a bleeding tendency associated with quantitative and qualitative platelet abnormalities. Intensity of the hemorrhagic syndrome is moderate and varies with the thrombopenia. The mean platelet volume is higher than normal, and platelet size is heterogeneous. Platelet functions are decreased in most reported cases<sup>62–64</sup>. The platelet life span is slightly shorter than normal despite a normal number of MK. The granule membrane is normal, hence platelets from patients with Gray syndrome exhibit many vacuoles corresponding to empty alpha granules. Typically, soluble

proteins synthesized in the MK are absent, whereas endocytosed proteins are only partially reduced. This is due to a defect of packaging at the MK level where synthesized proteins are not properly targeted to their granule<sup>65</sup>. One characteristic of this syndrome is the frequent observation of myelofibrosis, a consequence of the protein packaging defect: if growth factors and PF4 are normally synthesized but not packaged, they are not properly targeted and are discharged in the extracellular medium through MK demarcation membranes. The disease is thus a model to investigate the protein sorting and packaging in secretory cells.

Another related disease has been described, the factor V Quebec syndrome. This syndrome is characterized by multiple abnormalities concerning alpha-granule proteins, including a quantitative deficiency in mutimerin, and qualitative defects of factor V, thrombospondin, vWF, and fibrinogen<sup>66</sup>.

## Lysosomes

The third category of granules involved in the release reaction concerns lysosomes or the  $\lambda$ -granules. Lysosomes are lighter than alpha granules and contain a variety of digestive enzymes active at acid pH.

### Structure

The size of lysosomes is intermediate between dense granules and alpha granules, measuring 175–250 nm in diameter. They can be identified only by specific cytochemical stains as acid phosphatase or arylsulfatase reactive granules<sup>67</sup>. Without cytochemical stains, their electron density is very similar to that of alpha granules, although this density fills almost the entire granule. No debris has ever been identified inside platelet lysosomes, suggesting they are primary lysosomes.

### Properties

The lysosome characteristic is that they contain enzymes which hydrolyse the glucidic moiety of glycoproteins, glycolipids and glycosaminoglycans, i.e. all components of extracellular matrix.

### Content

These acidic granules contain almost exclusively acid hydrolases: glycosidases, acid proteases, cationic proteins with bactericidal activity (Table 7.3) which breakdown

**Table 7.3.** Platelet lysosomal constituents

Membrane	Matrix
LIMP1/CD63 <sup>a</sup>	<i>Acid Proteases</i> Cathepsins D, E
LAMP1, LAMP2	Carboxypeptidases (A, B) Prolinecarboxypeptidase Collagenase Acid phosphatase Arylsulfatase <i>Glycohydrolases</i> Heparinase $\beta$ - <i>N</i> -acetyl-glucosaminidase, $\beta$ -glucuronidase, $\beta$ -galactosidase, $\beta$ -glycerophosphatase, $\alpha$ -D-glucosidase, $\beta$ -D-glucosidase, $\alpha$ -L-fucosidase, $\beta$ -D-fucosidase, $\alpha$ -L-arabinosidase, $\alpha$ -D-mannosidase,

*Note:*

<sup>a</sup> Also present on dense granule membrane (see Table 7.1)

their substrates to the simplest units, i.e. amino acids, sugars and simple lipids from glycoproteins and glycolipids. The major ones, i.e. which are in sufficient amounts to be used as markers, are  $\beta$ -*N*-acetylglucosaminidase,  $\beta$ -*N*-acetylgalactosaminidase,  $\beta$ -glucuronidase, and  $\beta$ -galactosidase. Others are only present in small amounts.

### Membrane

The lysosome membrane contains typical lysosomal associated membrane proteins (LAMPs), the first described as LIMP/CD63 and two distinct LAMP-1 and LAMP-2<sup>68</sup>. All are heavily glycosylated at the luminal side of the lysosome, probably representing a protective coat against the lysosomal hydrolases. The LIMP/CD63 antigen belongs to the tetraspanin family, which consists of proteins with four transmembrane domains and the two N- and C- extremities in the cytoplasm. Both LIMP/CD 63 and LAMP- 2 are also found on dense granule membrane<sup>11,69</sup>.

### Origin

Lysosomes are found in the earliest recognizable megakaryocytes. Two lysosomal enzymes can be found in immature MK in small vesicles similar to Golgi-endoplasmic reticulum lysosomes of around 70 nm. These vesicles fuse to form larger ones during MK maturation and increase in number<sup>67</sup>.

### Means of study

All proteases can be monitored spectrophotometrically, with different substrates<sup>70</sup>. The expression of CD63 on the activated platelet surface is now monitored by flow cytometry, and as for alpha-granule P-selectin, used as a marker of platelet activation.

### Functions

The typical lysosomal role of desensitisation of internalised receptors, such as described for the thrombin receptor<sup>71</sup>, seems limited in this anucleated cell unable to resynthesise new receptors. On the other hand in activated platelets, platelet lysosomes play an important role during the release reaction, since they liberate 'clearing factors' in the outer medium. Secretion of acid hydrolases from lysosomes promotes clearing of platelet aggregates and digestion of material trapped in the platelet aggregate. Thus, lysosomes could facilitate the digestion and resolution of thrombi. Noteworthy, lysosome secretion is (i) slower than dense granule and alpha-granule secretion, and (ii) always incomplete even with high agonist concentrations. Yet, upon activation, platelets release their lysosomal content *in vivo*<sup>72</sup>. It is not unlikely, therefore, that in some pathological conditions, platelets represent an important source of hydrolytic enzymes which play a role in local degradation of the connective tissue matrix of the vascular wall leading to atherosclerosis and focal thickening of arteries.

### Defects in lysosomes

So far, no defects specifically concerning platelet lysosomes have been described. Moreover, considering dense granules as a subpopulation of lysosomes<sup>73</sup>, it is most likely that any defect of this category will concern dense granules rather than lysosomes.

### Conclusions

From this review, it appears that the best characterized platelet granules are the dense and alpha granules. The typical density and properties of dense granules have allowed their easy distinction from other granules. The complexity of the alpha granule has emphasized their major role in hemostasis. For several years, serotonin has been used as the only marker for the release reaction: platelets were incubated with radiolabelled serotonin which was rapidly and exclusively concentrated in the dense granules, and its liberation outside the activated platelet was easily measured. For a more complete screen-

ing of the three granule populations, PF4 and  $\beta$ TG, on the one hand, and acid phosphatases, on the other hand, have more rarely been used to measure content and release of alpha granules and lysosomes, respectively. Nowadays, flow cytometry has been substituted as a measure of secreted compounds in the external milieu and is used to analyse activated membranes which have incorporated granule membrane markers. Flow cytometry does not allow, however, the measure of the intact platelet granules, except for the mepacrine-labelled dense granules. Finally, although dense granules are classified in the category of lysosome-related organelles<sup>73</sup>, and the different granule membranes share common molecules, the three types of granules have different roles to play during hemostasis and secrete different amount of constituents depending on the conditions of platelet activation.

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# Platelet receptors for thrombin

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## Introduction

Thrombin is one of the most potent agonists that platelets will encounter *in vivo*, but unlike most of the others it is a protease. For years after thrombin was shown to be a platelet activator as well as an effector in the clotting cascade, the precise mechanism by which it activates platelets remained obscure. Binding studies demonstrated high affinity interactions with several sites on the platelet surface, including glycoprotein (GP) Ib $\alpha$ , but efforts to establish that any of these constituted a receptor in the signalling sense were not entirely successful. Substrates on the platelet surface for proteolytic cleavage by thrombin were also identified, including GP V, but cleavage of these sites did not appear to be required for platelet activation by thrombin. Before discussing the receptors that have been identified, it is worth considering what some of the criteria might be for establishing a protein as a true signalling receptor for thrombin. Such criteria would include (i) demonstrating its presence on the platelet surface, (ii) showing that it was a substrate for thrombin or closely associated with a substrate for thrombin, (iii) demonstrating an association of the candidate receptor with mediators or effectors for intracellular signalling cascades, (iv) showing that expression of the candidate receptor could render a cell that was otherwise unresponsive to thrombin capable of responding, and (v) showing that blocking, dismantling or otherwise removing the candidate receptor would reduce platelet responses to thrombin.

Clues to the identity of receptors that might meet these criteria were obtained in the late 1980s when several laboratories independently demonstrated that thrombin responses in human platelets were at least partially mediated by heterotrimeric G proteins. However, credit for the first identification of thrombin-responsive G protein

coupled receptors goes to the Coughlin<sup>1</sup> and Pouyssegur<sup>2</sup> laboratories for their descriptions of protease-activated receptor-1 or PAR1. To date, PAR family members are the only receptors that have been described that meet all of the above criteria for mediating thrombin responses in platelets. However, it is not yet clear (as of late 2000) whether PAR family members are necessarily the only thrombin receptors on platelets or whether other proteins on the platelet surface play a role as well, either by initiating signalling themselves or by facilitating the activation of PARs.

The intention of this chapter is to review current information about the molecular basis for platelet activation by thrombin, with a particular emphasis on PAR family members. The first section will briefly describe the effects of thrombin on platelets. The second will summarize current knowledge about the four known members of the PAR family, particularly those expressed on human and mouse platelets. The third section will address the biology of PAR family members in platelets, while the final section will return to the potential role of other platelet receptors for thrombin. The reader is referred to chapters elsewhere in this volume dealing with related aspects of platelet biology.

## Platelet responses to thrombin

Platelets are activated at sites of vascular injury by the combined effects of a number of molecules, including thrombin, collagen, von Willebrand factor, ADP, thromboxane A<sub>2</sub> and epinephrine. The relative contribution of each of these varies during the evolution of the hemostatic plug. Initially, newly exposed collagen fibrils and von Willebrand factor play the primary role, but as the first monolayer of adherent platelets forms, recruitment of non-adherent platelets

by locally generated thrombin, secreted ADP and released  $\text{TxA}_2$  takes on increasing importance. Thrombin is generated from prothrombin because of the exposure of tissue factor, the activation of factors VII and IX, and the conversion of factor X to Xa to form the prothrombinase complex. Thrombin is able to activate platelets at concentrations as low as 0.1 nM (approximately 0.01 units per ml). Hallmarks of the platelet response to thrombin include reorganization of the actin cytoskeleton, secretion of the contents of the platelet's storage granules, exposure of fibrinogen receptors on the integrin  $\alpha_{\text{IIb}}\beta_3$ , and platelet aggregation. Underlying these responses is the activation of signalling pathways whose known effectors include phospholipase C, phospholipase  $A_2$ , and PI 3-kinase. Phospholipase C hydrolyses membrane phosphatidylinositol-4,5-bisphosphate ( $\text{PIP}_2$ ) to form 1,4,5- $\text{IP}_3$  and diacylglycerol, which in turn raise the cytosolic free  $\text{Ca}^{2+}$  concentration and activate protein kinase C isoforms.  $\text{PLA}_2$  mobilizes arachidonic acid from platelet membranes, making it available as a substrate for COX-1 and conversion to  $\text{TxA}_2$ , itself a potent platelet agonist. Although other platelet agonists can also cause phosphoinositide hydrolysis, none appear to be as efficiently coupled to phospholipase C as thrombin. Within seconds of the addition of thrombin, the cytosolic  $\text{Ca}^{2+}$  concentration increases tenfold to approximately 1  $\mu\text{M}$ , triggering downstream  $\text{Ca}^{2+}$ -dependent events, including the activation of phospholipase  $A_2$ . Thrombin also activates the Ras superfamily members such as Rac, Rho and Rap1B, leading to rearrangement of the actin cytoskeleton and shape change. Finally, thrombin, like ADP and epinephrine, is able to inhibit adenylyl cyclase activity in platelets, relieving the tonic inhibitory state caused by elevated cAMP levels in circulating platelets. Since small changes in 'basal' cAMP levels can have a large effect on the magnitude of platelet responses to agonists, suppression of cAMP formation plays an important role in platelet activation by thrombin.

Most, if not all, of these responses to thrombin are thought to be mediated by G proteins. Mammalian G proteins are commonly denoted according to the identity of their  $\alpha$  subunit.  $G_\alpha$  binds GTP in the on state and GDP in the off state. Human platelets express members of the  $G_q$ ,  $G_{12}$ , and  $G_s$  family of G proteins, and at least four members of the  $G_i$  family,  $G_{i1}$ ,  $G_{i2}$ ,  $G_{i3}$  and  $G_z$ . Biochemical and gene deletion studies suggest that  $G_q$  is the primary link to phospholipase  $C\beta$  activation in platelets<sup>3</sup>, with an incompletely defined contribution from  $G_i$  family members. As in other cells,  $G_s$  and the three  $G_i$  family members, respectively, stimulate and inhibit adenylyl cyclase activity in platelets, and, in the case of the  $G_i$  family members probably do other things as well. The  $G_{12}$  family members in platelets

( $G_{12}$  and  $G_{13}$ ) are believed to regulate the actin cytoskeleton and, therefore, shape change<sup>4,5</sup>. Of these G proteins, there is indirect evidence that thrombin signalling involves  $G_q$ ,  $G_i$  and  $G_{12}$  family members, although recent evidence suggests that thrombin's ability to inhibit cAMP formation is largely mediated by secreted ADP<sup>6</sup>, despite the fact that PAR1 can couple to  $G_i$  family members in reconstitution systems<sup>7</sup>.

### Biology of the PAR family of receptors

Four PAR family members have been identified to date. Three (PAR1, PAR3 and PAR4) are thrombin receptors. The fourth, PAR2, is activated by serine proteases other than thrombin (Table 8.1). Each of these is composed of a single polypeptide with an extracellular N-terminus, an intracellular C-terminus and seven membrane-spanning domains – a basic structure that is common to nearly all of the known G protein coupled receptors (Fig. 8.1). PAR1 was initially identified using a cloning strategy with RNA derived from thrombin-responsive cells and of the four current family members it is still the one about which there is the most known<sup>1,2</sup>. It is also the one that established the activation paradigm that in general terms applies to the other three family members<sup>1</sup>. In each case, receptor activation occurs when the appropriate protease binds to the extended N-terminus of the receptor, cleaving it and exposing a new N-terminus that serves as a tethered ligand for the receptor. The binding site for the tethered ligand is presumed to be formed by the extracellular loops of the 'body' of the receptor. Because the ligand is not free to diffuse away from the receptor, it is thought to present a high effective local concentration at the receptor and, perhaps, maintain signalling longer than would otherwise occur. As is the case for other G protein coupled receptors, contact between PAR ligands and the receptor is thought to initiate signalling because of an induced conformational change in the receptor that is transmitted across the plane of the plasma membrane to promote exchange of GTP for GDP on associated G proteins. In the case of PAR family members, the activation paradigm that was initially established for PAR1 includes the ability to respond to peptides based upon the sequence of the tethered ligand. The one exception to the rule is PAR3 for which no activating peptide agonist has yet been reported.

In contrast to PAR1, PAR2 was cloned serendipitously and only subsequently found to be activated by trypsin<sup>8-10</sup>, tryptase<sup>15-17</sup>. PAR3 was identified as a second thrombin receptor after gene ablation studies showed that platelets from mice lacking PAR1 were still responsive to throm-



**Table 8.1.** PAR family members<sup>a</sup>

	PAR1	PAR2	PAR3	PAR4
Present on human platelets?	Yes	No	? <sup>50</sup> and see text	Yes
Present on mouse platelets?	No	No	Yes	Yes
Activating Proteases	Thrombin Trypsin Xa Granzyme A <sup>b</sup>	Tryptase Trypsin Xa TF/VIIa MT-SP1 <sup>d</sup>	Thrombin <sup>f</sup>	Thrombin Trypsin Cathepsin G <sup>f</sup>
Inactivating proteases	Plasmin, Elastase, Cathepsin G, Proteinase 3 <sup>e</sup>	–	–	–
Cleavage sequence and tethered ligand	LDPR/ <i>SFLLR</i>	SKGR/ <i>SLIGK</i>	LPIK/ <i>TFRGAP</i>	PAPR/ <i>GYPGQV</i>
Examples of peptide agonists <sup>c</sup>	SFLLRN TFLLRN GFIYF	SLIGKV SFLLRN	None known	GYPGKF AYPGKF <sup>60</sup>
Consequences of gene deletion in mice	Partial embryonic lethality; no hemostatic abnormalities in surviving knockout mice <sup>18,93</sup>	Mild impairment of leukocyte migration; no embryonic loss <sup>94</sup>	Loss of thrombin signalling in platelets at low thrombin concentrations; no embryonic loss <sup>21</sup>	Not yet reported

**Notes:**

<sup>a</sup> The references included in this table are not intended to be exhaustive. See text as well.

<sup>b</sup> Activating proteases for PAR1: Thrombin<sup>1,2</sup>, Trypsin<sup>1,22,38</sup>, Factor Xa<sup>95,96</sup>, Granzyme A<sup>97,98</sup>

<sup>c</sup> Structure/activity relationships have been explored in some detail for short peptides (up to 14 amino acids) that activate PAR1 and PAR2<sup>28,99–106</sup>, and more recently for PAR4<sup>21,51,60,107</sup>. To date, no peptide activators of PAR3 have been described<sup>108</sup>.

<sup>d</sup> Optimal activation of PAR2 by factor VIIa appears to require co-expression of tissue factor (TF) and the presence of factor X<sup>16</sup>. Under these conditions the concentration of factor VIIa that is required to elicit signalling through PAR2 drops to 8 pM (EC50). This basic phenomenon was observed in several mammalian cell lines, human umbilical vein endothelial cells and injected *Xenopus laevis* oocytes (see references below), although not in baby hamster kidney (BHK) cells<sup>109</sup>. It does not require the cytoplasmic domain of tissue factor<sup>16,110</sup>. Tryptase is able to cleave and activate PAR2, but with a potency less than trypsin. Trypsin<sup>8–14</sup>, Tryptase<sup>11–14</sup>, Factor Xa<sup>15,16</sup>, TF/VIIa<sup>16,17</sup>, MT-SP1<sup>111</sup>.

<sup>e</sup> Inactivating proteases for PAR1: Plasmin<sup>15,38</sup>, cathepsin G<sup>72,112</sup>, elastase<sup>112</sup>, and proteinase 3<sup>112</sup>.

<sup>f</sup> Activating proteases for PAR3: Thrombin<sup>18,19</sup>. Activating proteases for PAR4: Thrombin<sup>21</sup>, Trypsin<sup>21</sup>, Cathepsin G<sup>74</sup>.

bin<sup>18</sup>. It is a major regulator of thrombin responses in rodent platelets<sup>19</sup>, but little else is known about it. When overexpressed, human PAR3 can respond to thrombin by a mechanism that was initially thought to resemble PAR1. However, there are as yet no well-studied examples of signalling by PAR3 in human tissues and recent evidence suggests that on murine platelets PAR3 serves solely to facilitate cleavage of PAR4 by thrombin<sup>20</sup>. The fourth family member, PAR4, was identified by database searches using conserved domains of the other three family members<sup>21,22</sup>. PAR4 is expressed on human and mouse platelets and presumably accounts for the continued ability of platelets from PAR3 knockout mice to respond to thrombin<sup>21,22</sup>.

Thus, the four PAR family members have some features in common, but there are differences among them as well. As noted above, three of the receptors can be activated by thrombin. Two of these, PAR1 and PAR3, have similar dose–response curves when studied in expression systems. The third, PAR4, requires 10–100-fold higher concentrations of thrombin, apparently because it lacks the hirudin-like sequences that can interact with thrombin's anion-binding exosite and facilitate receptor cleavage<sup>20–23</sup>. This distinction is important for understanding the role of PAR4 in human and mouse platelets and will be discussed further later in this chapter.

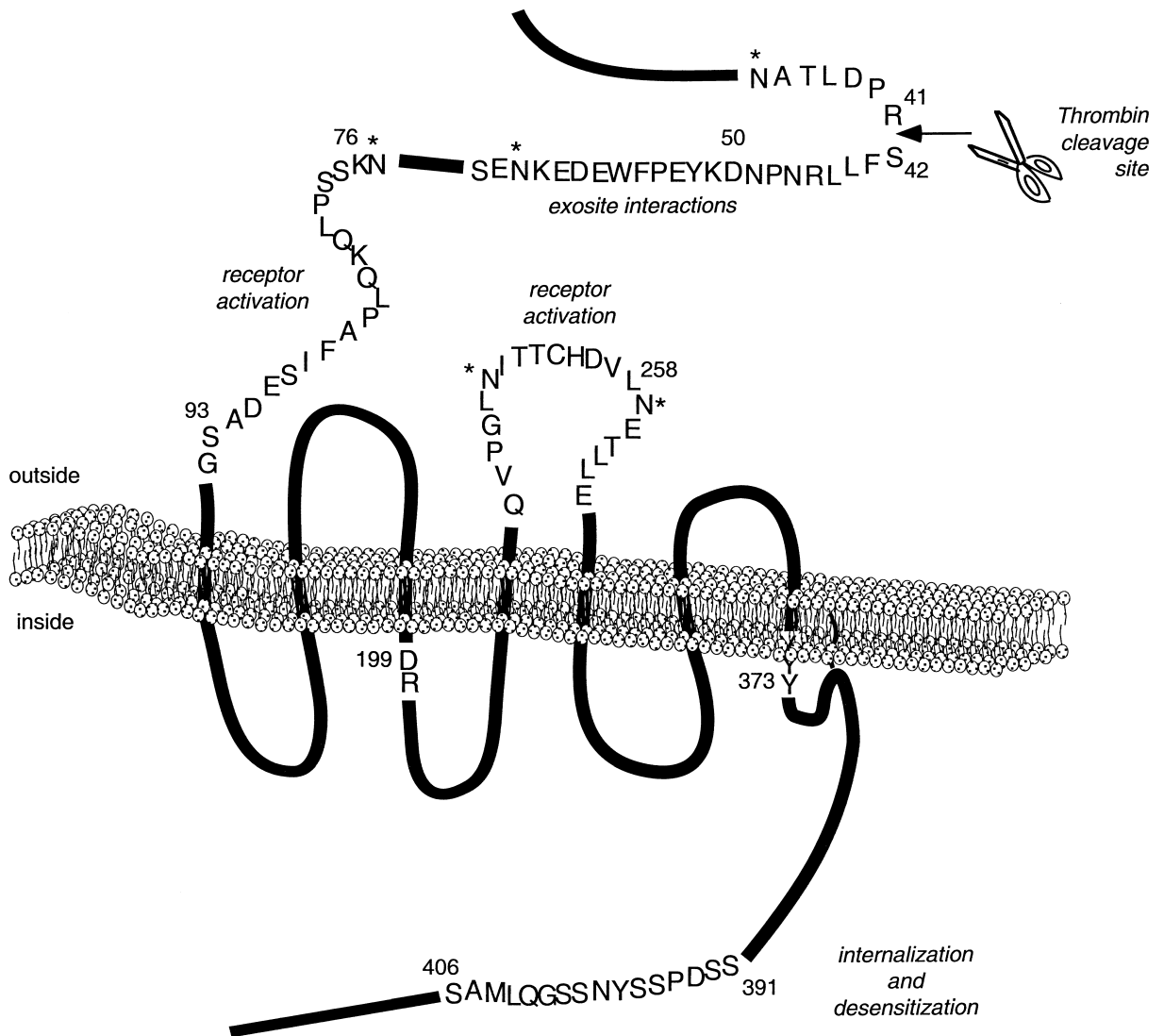


Fig. 8.1. Structure and features of PAR1. Cartoon of human PAR1 highlighting domains thought to be involved in receptor activation and interactions with thrombin. Additional details and references are included in the text. Potential sites for N-linked glycosylation are marked with an asterisk. L258P, D199R/R200D and Y371A/Y372A/Y373A are some of the known induced mutations that will inactivate PAR1. There are (as yet) no known naturally occurring PAR1 mutants that result in receptor inactivation.

### Genetics and tissue distribution

The genes encoding all four PAR family members have a similar structure with a single intron interrupting the sequence encoding the receptor N-terminus. Their genetic loci are conserved across species, suggesting a common ancestral origin<sup>24</sup>. In humans PAR1, PAR2, and PAR3 are tightly clustered at chromosome 5q13, while PAR4 is located separately at 19p12<sup>22,24</sup>. In mice PAR1, PAR2 and PAR3 are in a cluster that maps to 13d2. Murine PAR4 is located at 8b3<sup>24</sup>. If genes for additional PAR family

members exist, they have yet to be identified. Information about the tissue distribution of the four PAR family members is most complete for PAR1 and PAR2 since antibodies for these receptors are widely available. PAR1 and PAR2 are found in a variety of tissues and cell lines, and have been described in several species and during embryonic development (recently reviewed in<sup>25</sup>, see also reference<sup>26</sup>). The patterns of expression of PAR3 and PAR4 are less well characterized, and rely primarily upon analyses of mRNA expression. While the message for PAR3 appears to

be widely distributed in human tissues, murine PAR3 mRNA is highly expressed only in megakaryocytes, bone marrow, and spleen<sup>19</sup>. Human PAR4 mRNA is abundant in gastrointestinal tissues including the liver, small intestine, and pancreas, as well as in megakaryocytes, lung, placenta, thyroid, and prostate tissues<sup>21,22</sup>. Whether the receptor is actually expressed in those tissues remains to be demonstrated.

### Identification of the ligand binding domain

Characterization of the ligand binding domain for PAR tethered ligand domains is most complete for PAR1 and PAR2. These receptors are approximately 30% identical to each other with near-identity of sequence within the second extracellular loop (ECL2), a region implicated in ligand docking<sup>9,27,28</sup>. The first studies of the PAR1 ligand binding domain used antibodies to inhibit activation of the receptor by thrombin and the peptide agonists, SFLLRN. Two different polyclonal antibodies were used, one raised against the entire receptor N-terminus, the other raised against a 20-residue peptide spanning the thrombin cleavage site<sup>29,30</sup>. The latter antibody prevented PAR1 activation by thrombin, but not by SFLLRN. The former blocked PAR1 activation by both, suggesting that it might target the ligand binding site. Follow-up studies showed that the antiserum raised against the entire N-terminus contains antibodies to the membrane-proximal region (residues 83–94), implicating this domain in ligand binding<sup>30</sup> (Fig. 8.1).

In subsequent studies, the ligand binding domain was mapped using chimeras between human and *Xenopus* PAR1<sup>31,32</sup>. Those studies also highlighted a region of the N-terminus near the first transmembrane domain, as well as the second extracellular loop. Selected point mutations suggested that a residue in the membrane-proximal amino terminal exodomain (Phe 87) and a residue in ECL2 (Glu 260) are sufficient to confer selectivity for the PAR1 agonist peptides. The interaction of ECL2 with the SFLLRN ligand peptide was determined to occur between Glu260 and Arg46 in the tethered ligand domain, and to a lesser extent Phe87 in the membrane-proximal amino terminal exodomain. Nanievich and coworkers<sup>33</sup> also found that insertion of eight residues of *Xenopus* ECL2 into human PAR1 produces a constitutively active receptor. In a similar approach, Lerner et al.<sup>27</sup> created chimeric gain-of-function receptors to determine which receptor domains specify agonist selectivity. Again, the results suggest that ECL2 confers most of the agonist selectivity. An additional interaction between the amino-terminal exodomain and the third extracellular loop (ECL3) was also demonstrated.

Taken together, the chimera studies suggest that the extracellular domains of protease-activated receptors interact to form a ligand binding site that is critical for signalling.

### Inactivation and replacement of PAR family members

Once activated by a protease, PAR family members need to be turned off to prevent indefinite signalling. Since cleaved receptors are unable to respond to proteases a second time, cleaved receptors also need to be replaced in order to permit a second round of responses to the protease. How this is accomplished varies among the family members and can be different in different types of cells. Several common mechanisms for terminating signalling through other G protein coupled receptors also apply to PAR family members. These include receptor desensitization, which uncouples receptors from their G proteins; receptor endocytosis, which removes cleaved receptors from the cell surface; and receptor downregulation, which leads to a reduction in total receptor number<sup>34</sup>. In some cases, proteolysis of the tethered ligand domain may also play a role<sup>35</sup>.

Indirect evidence for the role of receptor phosphorylation in PAR1 signal termination was first obtained in studies of megakaryoblastic cell lines when it was shown that the recovery of signalling through receptors that had been activated by peptide agonists could be retarded by adding inhibitors of serine/threonine phosphatases<sup>36</sup>. Proof that phosphorylation of PAR1 actually occurs was obtained by Van Obberghen-Schilling and coworkers using an epitope-tagged PAR1 construct expressed in CCL39 cells<sup>37,38</sup>. Ishii et al.<sup>39</sup> later showed that coexpression of PAR1 with the kinase, GRK-3 ( $\beta$ ARK-2), in *Xenopus laevis* oocytes selectively inhibits PAR1 signalling. This was later demonstrated in vivo, when transgenic mice overexpressing GRK-3 were shown to have significantly attenuated thrombin signalling<sup>40</sup>. Truncation of the C-terminus of PAR1 at residue 397 or replacement of all of the serine and threonine residues with alanine renders PAR1 insensitive to GRK-3 regulation (see Fig. 8.1 and<sup>39</sup>). These alanine mutants and truncated receptors signal more robustly than their wild type counterparts, as might be predicted if phosphorylation were linked to receptor inactivation<sup>39,41,42</sup>. Finally, GRK family members may not be the only kinases capable of phosphorylating PAR1. Ido et al. have recently identified a 33 kDa kinase capable of phosphorylating the C-terminus of PAR1 fused to GST<sup>43</sup>. As yet, little is known about the role of this kinase in regulating PAR1 signalling in cells that normally express the receptor.

The carboxyl tail of PAR1 also participates in receptor

shutoff through its role in agonist-induced receptor internalization and trafficking<sup>44–46</sup> (Fig. 8.1). For many G protein coupled receptors, internalization can contribute to receptor resensitization. PAR family members are an exception to this rule since once cleaved, they cannot be activated a second time by a protease. Information about the internalization and trafficking of PAR1 and, to a lesser extent, PAR2 has been obtained by several groups of investigators<sup>34,44,47–49</sup>. In most types of cells, PAR1 and PAR2 are rapidly internalized after agonist stimulation. In megakaryoblastic cell lines, ~85% of PAR1 is sequestered into coated pits and then endosomes within one minute of activation<sup>47</sup>. In endothelial cells, fibroblasts, and epithelial cells, the internalization of PARs is not as complete, with rapid internalization of at most ~60% of cell surface receptors. Most of the internalized receptors are transferred to lysosomes, but the sorting is not completely efficient and in megakaryoblastic cell lines in particular as much as 40% of the cleaved receptors are recycled back to the cell surface<sup>47</sup>. The physiological relevance of this recycling is unknown. It does not appear to occur on platelets.

### PARs and platelets

Given all of this information about protease-activated receptors, how does it all fit together for platelets and can the known members of the PAR family account for everything that is known about platelet responses to thrombin? Are there additional receptors on the platelet surface that contribute either directly or indirectly to the initiation of platelet activation by thrombin? These are the questions that will be considered in the last two sections of this chapter. On the face of it, the answers to these questions seem straightforward. It was shown nearly 10 years ago that human platelets express PAR1 and that the addition of PAR1 agonist peptides such as SFLLRN would mimic thrombin's ability to cause platelet aggregation and secretion<sup>1</sup>. There were hints from studies with PAR1 blocking antibodies and with platelets from some non-primate species that a second thrombin receptor might also be present, but definitive evidence for this came much later with the knockout of PAR1 in mice<sup>18</sup> and the identification of PAR3<sup>19</sup> and PAR4<sup>21,22</sup>. Of the three known thrombin-responsive members of the PAR family, human platelets clearly express PAR1 and PAR4. Agonist peptides for either will activate human platelets<sup>1,21</sup>. PAR3 has been detected on human platelets and endothelial cells<sup>50</sup>, but so far there is no evidence that it contributes to thrombin responses in either. Not only is there no known agonist peptide that activates human PAR3, but simultaneous inhibition of PAR1

and PAR4 with blocking antibodies or a small molecule antagonist (in the case of PAR1) completely abolishes human platelet responses to thrombin<sup>51</sup>. This would not, of course, rule out the possibility that PAR3 facilitates activation of human PAR4 as it does in mice. However, human PAR3 appears to signal more readily in response to thrombin than does mouse PAR3, so the failure to detect a signal attributable to PAR3 in platelets and endothelial cells is harder to explain (discussed further in references<sup>52,53</sup>).

Thus, the picture has emerged that thrombin responses in human platelets are largely, if not entirely, mediated by PAR1 and PAR4 (Fig. 8.2). Cleavage of human PAR4 requires a higher concentration of thrombin than does cleavage of PAR1 and it is likely that PAR1 is the predominant signalling receptor at low thrombin concentrations. Recent evidence suggests that PAR4 not only kicks in at higher thrombin concentrations, but also produces a more sustained signal<sup>54,55</sup>. The potential physiological significance of this remains to be established in human platelets, although it may account for the results from a number of studies that suggested that PAR1 agonist peptides do not have quite the same range of effects on platelets as does thrombin (e.g. references<sup>56–59</sup>). It has recently been shown that human PAR4 is incapable of inhibiting cAMP formation by adenylyl cyclase, which suggests that it is not coupled to the  $G_i$  family members that are present in human platelets<sup>60</sup>. On the other hand, as already noted, it also appears that human PAR1, which is capable of inhibiting adenylyl cyclase in reconstitution systems, may not do so in intact human platelets. Therefore, the significance of this difference between PAR1 and PAR4 also needs to be examined further. Otherwise, current evidence suggests that PAR1 and PAR4 are both coupled to  $G_{12}$  to cause shape change, while  $G_q$  and possibly  $G_i$  activate phospholipase  $C\beta$ . The link from  $G_i$  to phospholipase C is suggested by old data which showed that in platelets permeabilized with saponin and in megakaryoblastic cell lines that express PAR1, thrombin-induced phosphoinositide hydrolysis is at least partially sensitive to pertussis toxin<sup>61,62</sup>. Pertussis toxin ADP-ribosylates and uncouples the  $\alpha$  subunits of all of the  $G_i$  family members in human platelets except for  $G_z$ . Since platelets (unlike most cells) are impermeable to pertussis toxin, entry can only be gained by using agents such as saponin which create gaps in the platelet plasma membrane by sequestering cholesterol – and at the same time perturbing the membrane to the point where the outcome of the experiment may be affected.

### Mice are different

Mouse platelets also express two PAR family members, but they are PAR3 and PAR4, rather than PAR1 and PAR4. The

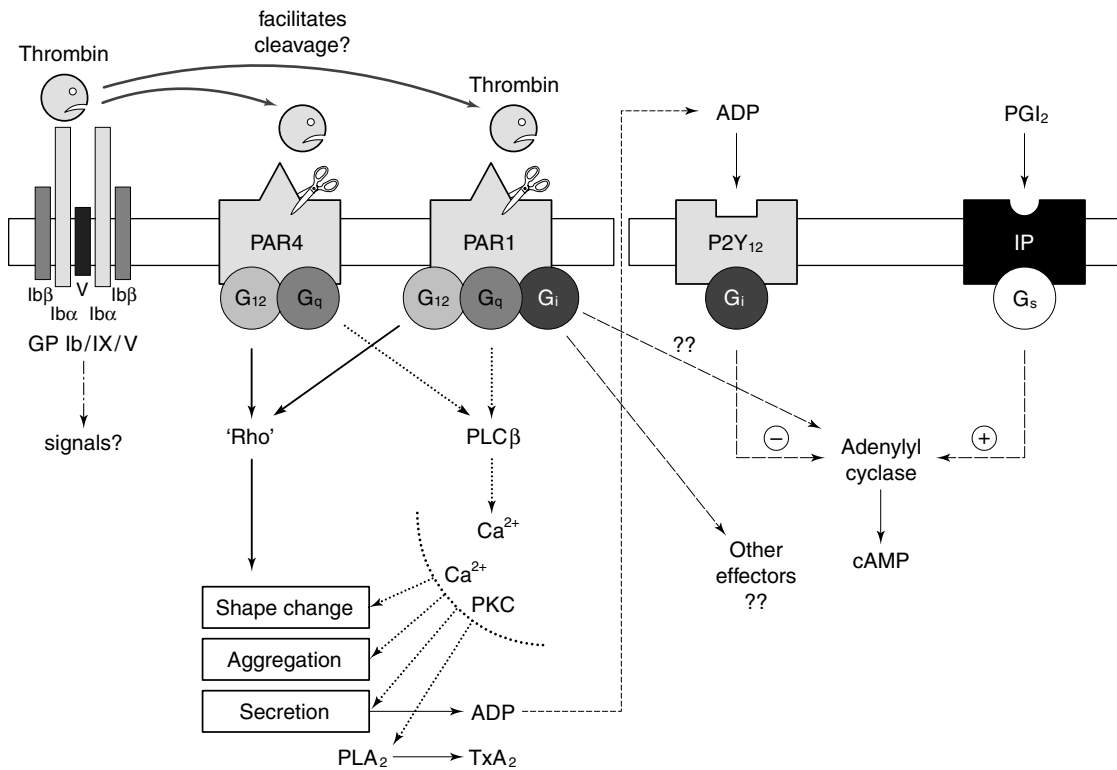


Fig. 8.2. Thrombin signalling in human platelets. Human platelets express PAR1 and PAR4, and, in addition, have a high affinity binding site for thrombin on the GP Ib/IX/V complex. They may also express PAR3, although the functional significance of any PAR3 on human platelets (unlike mouse platelets) is unclear. GPV is a substrate for thrombin. Thrombin can cleave and activate PAR1 and PAR4. The binding site on GP Ib $\alpha$  may facilitate this process. Once receptor activation has occurred, heterotrimeric G proteins serve as mediators to couple the receptors to intracellular effectors, leading to shape change, aggregation and secretion.

PAR1 knockout made it clear that the failure of mouse platelets to respond to PAR1 agonist peptides was due to the absence of PAR1 from mouse platelets. The subsequent identification of PAR3 and PAR4, and the knockout of PAR3 has led to the model that although murine platelets express both of these receptors, signalling is due solely to PAR4<sup>20</sup> (Fig. 8.3). The role of PAR3 on murine platelets appears to be to facilitate the cleavage of PAR4 at low thrombin concentrations. This is necessary because the N-terminus of PAR4 lacks a domain that can interact with the anion-binding exosite on thrombin. This exosite-interacting is present on PAR1, but not on PAR4 (Fig. 8.1). On the face of it, this seems an awkward arrangement, since it implies that on mouse platelets thrombin binds first to PAR3, cleaves it (without generating a signal), and then cleaves a physically proximate PAR4. Supporting this conclusion is the PAR3 knockout, which shifts the dose/response curve for platelet activation by thrombin to higher concentrations, and the inability of mouse PAR3 to signal efficiently in expression systems<sup>20,21</sup>. If the model is

correct, then platelets from mice lacking PAR4, but not lacking PAR3, should be unresponsive to thrombin. Evidence that this is the case has just been reported<sup>113</sup>.

### Expression levels and the location of thrombin receptors on platelets

In resting human platelets, PAR1, like many other platelet surface proteins, seems to be distributed in two compartments. The first is on the exposed platelet plasma membrane. The second is on the surface connecting membrane system, which evaginates and supplies additional membrane for the platelet surface when platelets are activated and change shape. Based on binding studies with a monoclonal antibody specific for human PAR1, there are approximately 2000 copies of PAR1 on the surface of resting platelets, plus an additional 40% more in the surface connecting system which is externalized when platelets are activated and change shape<sup>63-65</sup>. There is no information on PAR4. Notably, the number of copies of PAR1 detected

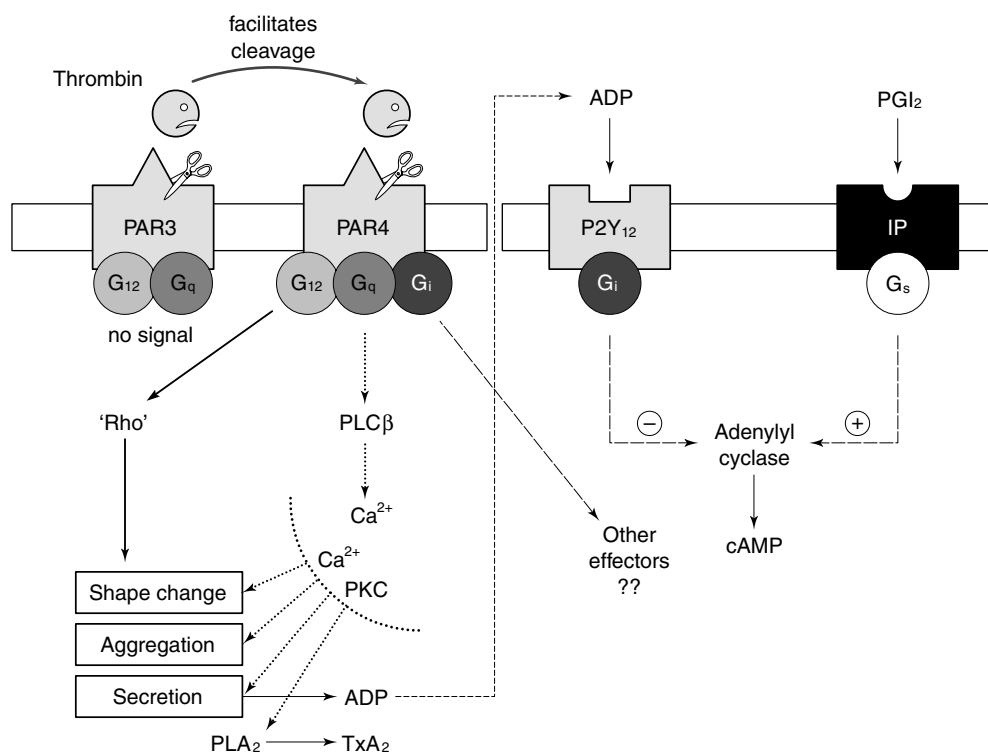


Fig. 8.3. Thrombin signalling in mouse platelets. Mouse platelets express PAR3 and PAR4, but not PAR1. Present evidence suggests that the PAR3 facilitates cleavage of PAR4 at low thrombin concentrations, but does not itself cause signalling.

in the antibody binding studies (a few thousand), fits reasonably well with the number of moderate affinity <sup>125</sup>I-thrombin binding sites identified by Harmon and Jamieson<sup>66,67</sup> prior to the cloning of PAR1. It is likely that PAR1 and PAR4 are clustered in membrane microdomains such as rafts, but to our knowledge, this issue has not been directly addressed. There is also no information yet available about the level of expression and distribution of PAR3 and PAR4 on mouse platelets. Indirect evidence from over-expression studies<sup>68</sup> and from studies on endothelial cells<sup>52</sup> suggests that PAR family members can be sufficiently close to each other to allow transactivation of PAR1 or PAR2 by cleaved PAR1. That supports the concept that PAR3 and PAR4 can reside close enough to each other for thrombin bound to murine PAR3 to be able to cleave PAR4 – as does the mounting evidence that other G protein coupled receptors can physically associate with one another. However, this remains to be directly established.

### Cleavage and recycling of thrombin receptors on human platelets

Here again, most of the available information is from studies on PAR1. Cleavage of PAR1 can be detected fairly easily as a loss of binding sites for monoclonal antibodies whose epitopes include the cleavage site and therefore, are lost when the receptor is cleaved by thrombin<sup>69</sup>. Cleavage can also be detected with assays for the released N-terminal fragment<sup>70,71</sup>. The results obtained through both approaches suggest that thrombin rapidly cleaves most, if not all, of the copies of PAR1 that are present on resting platelets, as well as those that become exposed as the platelets activate. Rapidly in this case means within seconds following the addition of even moderate thrombin concentrations. The cleaved fragment can be recovered quantitatively in the fluid phase, suggesting that none of it is retained on the platelet surface.

Once cleaved by thrombin, PAR1 on human platelets appears to be subject to three possible fates. Most of it remains on the surface in a cleaved state, but some is internalized and some is shed into membrane microvesicles<sup>65</sup>. This is different from other cells that express PAR1, such as

fibroblasts or endothelial cells. As was described earlier in this chapter, activated PAR1 on cells other than platelets is rapidly internalized into an endosomal compartment and then either recycled or (more commonly) delivered to lysosomes where it is degraded. Replacement occurs by the movement of intact receptors to the cell surface, either directly or after temporary storage in an intracellular reserve pool. So far, there is no information about the fate of activated PAR4 in platelets. Since platelets do not normally encounter thrombin more than once, it is not entirely surprising that they lack the necessary cellular machinery to fully remove and replace cleaved thrombin receptors.

### Interactions of platelet protease-activated receptors with proteases other than thrombin

An extensive literature exists on the interaction of PAR family members with proteases other than thrombin (reviewed in reference<sup>53</sup>). Much of it concerns PAR1. Summarizing, the results suggest that proteases that uniquely cleave PAR1 at the same site as thrombin will expose the tethered ligand domain and can cause receptor activation. Proteases that cleave elsewhere in the N-terminus potentially remove the tethered ligand domain, rendering the receptor unresponsive to thrombin, although not necessarily unresponsive to an agonist peptide. Trypsin, factor Xa and granzyme A have been shown to be able to cleave and activate PAR1, although not necessarily with the same rapid kinetics as thrombin (see Table 8.1). Their physiological relevance for platelets is not yet clear. Examples of proteases that can disable signalling through PAR1 include plasmin and two neutrophil proteases, cathepsin G and elastase (see Table 8.1). These proteases might be expected to accumulate at sites of vascular thrombosis or inflammation, placing them at sites where they could influence PAR1 cleavage by thrombin. Whether this occurs *in vivo* still remains to be established. The site of PAR1 cleavage by cathepsin G lies between residues Phe55 and Trp56<sup>72</sup>. Cathepsin G can also inactivate PAR3<sup>73</sup>. The paradoxical ability of cathepsin G to cause human platelet activation is apparently explained by activation of PAR4<sup>74</sup>.

### Other potential thrombin receptors on platelets

Long before the identification of PAR1, evidence was obtained by the Jamieson laboratory that the extracellular domain of GP Ib $\alpha$  contains a high affinity binding site for thrombin<sup>66,75</sup>. Binding studies with radioiodinated thrombin

suggested that this site had a  $K_D$  of approximately 0.1 nM and a  $B_{max}$  of 50–100 sites per platelet<sup>66,75</sup>. Although the apparent discrepancy between this number of sites and the much larger number of copies of GP Ib $\alpha$  (15 000–25 000 per platelet) has never been fully explained, the suggestion was made that GP Ib might be not only a thrombin binding site, but also a participant in thrombin-initiated platelet activation. This suggestion has persisted despite falling out of fashion for a period. Recent studies have revived the issue. GP Ib is a heterodimer composed of an  $\alpha$  and a  $\beta$  subunit that are disulfide linked. It forms a complex with two other proteins, GP IX and GPV in a 2:2:1 (Ib:IX:V) ratio that serves a well-established role as a binding site for von Willebrand factor and as an anchor for the platelet cytoskeleton (reviewed in reference<sup>76</sup> and elsewhere in this volume). There is a binding site for thrombin located at approximately residues 268–287 on GP Ib $\alpha$  which is thought to interact with domains other than the active site<sup>77</sup>. Interestingly, when taken as a whole, the molecular weight of the entire GP Ib/IX/V complex (all five proteins) approaches that predicted by target size analysis of the thrombin binding site on platelets<sup>67</sup>.

In addition to serving as a thrombin and vWF binding site, recent studies show that GP Ib/IX/V can initiate signalling in platelets and in heterologous systems (e.g. references<sup>78–81</sup>). Platelets from patients with the Bernard–Soulier syndrome lack GP Ib/IX/V and have a reduced response to thrombin – but they also have an aberrant morphology (reviewed in reference<sup>76</sup>). Deletion of the sialic acid rich ‘glycocalicin’ domain of GP Ib $\alpha$  removes the highest affinity thrombin binding site and blockade of the binding site with antibodies or lectins has been shown to decrease platelet responses to thrombin, particularly at low thrombin concentrations<sup>75,82–84</sup>. Dormann et al.<sup>85</sup> have recently provided evidence that the binding of thrombin to GP Ib is needed for platelets to fully express procoagulant activity and suggested that the lack of binding to GP Ib $\alpha$  may be one reason for the discrepancies between platelet responses to thrombin and SFLLRN, particularly with regard to the development of procoagulant activity (see for example references<sup>56–59</sup>). The existence of PAR4 is obviously another.

What is not clear from the evidence discussed so far is the mechanism by which thrombin binding to GP Ib might affect platelet responses to thrombin. There are at least two theoretical mechanisms. Thrombin, like von Willebrand factor, could initiate signalling through the cytoplasmic domains of GP Ib/IX/V. Alternatively, the binding of thrombin to GP Ib $\alpha$  could facilitate the cleavage of a PAR family member on human platelets, much as the binding of thrombin to PAR3 is thought to facilitate cleavage of PAR4

on mouse platelets at low thrombin concentrations (Figs. 8.2 and 8.3). There is not yet enough evidence to firmly establish either of these possibilities. However, a recent study by De Candia et al.<sup>84</sup> shows that blockade of the interaction between thrombin and GP Ib impairs the cleavage of PAR1 on human platelets. The effect was an approximately fivefold reduction in the  $k_{cat}/K_m$  for PAR1 hydrolysis detected as the loss of the cleavage-sensitive binding site for a PAR1-directed monoclonal antibody. Furthermore, a report by Ramakrishnan et al.<sup>86</sup> suggests that cleavage of GP V by thrombin (a phenomenon first reported many years ago<sup>87</sup>) may release a suppressive effect on thrombin-induced platelet activation. Thus, although it is very clear that PAR1 and PAR4 provide the primary response elements for thrombin on human platelets, it remains possible that interactions with one or more members of the GP Ib/IX/V complex may facilitate cleavage/activation of PAR1 or otherwise regulate platelet activation by thrombin.

### Conclusion: things are fitting together, but some pieces may still be missing

At this point it is fair to say that a lot has been learned about the molecular basis for thrombin responses in platelets, but some interesting issues remain to be resolved. There seems to be little question that the PAR family members expressed on human and mouse platelets are the primary receptors for thrombin. The precise contribution of the GP Ib/IX/V complex (discussed in the previous section) still remains to be settled and may not be resolved by studies on mouse platelets because of differences between mouse and human GP Ib. The mechanisms that limit the duration of signalling through thrombin receptors are arguably particularly important in preventing overly robust platelet activation at sites where it is not warranted. The role of receptor phosphorylation and uncoupling in that process still remains to be resolved, particularly since cleaved receptors tend to remain on the platelet surface and not be internalized. It has recently been shown that there are polymorphisms in the human PAR1 gene which may be associated with an increased risk of venous thrombosis<sup>88</sup>, but not myocardial infarcts<sup>89</sup>. A polymorphism in the second extracellular loop of PAR2 has been shown to affect PAR2 activation by trypsin<sup>90</sup>. This issue needs further study, as does the reported ability of the released N-terminus of PAR1 to activate platelets<sup>91,92</sup>. In addition, there still remain a number of unsettled issues about signalling from these receptors. Precisely which G proteins are coupled to PAR1 and PAR4, and why does it appear that neither receptor is

able to inhibit adenylyl cyclase via  $G_i$  in intact platelets? Are there signalling mechanisms involved in platelet responses to thrombin that do not immediately involve phospholipase C, adenylyl cyclase, PI 3-kinase and other known direct effectors of G protein  $\alpha$  and  $\beta\gamma$  subunits? Finally, are there additional thrombin-binding molecules on the surface of platelets that either signal directly in response to thrombin or modify the responses of known receptors to activation by thrombin? Although much is now known, a complete understanding of platelet activation by thrombin is still some time away.

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# Platelet receptors: ADP

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## Introduction

Adenine nucleotides interact with P2 receptors, which are widely distributed in many different cell types including endothelial, smooth muscle, epithelial and blood cells, mastocytes and neurons and regulate a broad range of physiological processes. These receptors are divided into two main groups: the G protein coupled or 'metabotropic' superfamily termed P2Y and the ligand gated ion channel or 'ionotropic' superfamily termed P2X<sup>1</sup>. ADP was identified 40 years ago as a factor derived from red blood cells which influenced platelet adhesiveness<sup>2,3</sup> and induced platelet aggregation<sup>4,5</sup> and it rapidly became recognized as one of the most important mediators of hemostasis and thrombosis<sup>6,7</sup>. Evidence that ADP plays a crucial role in formation of the hemostatic plug and the pathogenesis of arterial thrombosis has accumulated during the last decades: ADP is present at near molar concentrations in platelet dense granules and is released when platelets are stimulated by other agents such as thrombin or collagen, thus reinforcing their aggregation<sup>8,9</sup>; inhibitors of ADP-induced platelet aggregation are effective antithrombotic drugs<sup>10,11</sup>; ADP removing enzymes display antithrombotic properties in animal models<sup>12,13</sup>; patients with defects of ADP receptors or lacking ADP in their platelet granules suffer from a bleeding diathesis<sup>14</sup>. Hence ADP receptors are potential pharmacological targets for antithrombotic drugs. Molecular identification of the platelet P2 receptors over the last 5 years has now made it possible to separately assign the known effects of ADP and ATP to the three cloned P2 receptors found on platelets, namely P2Y<sub>1</sub>, P2Y<sub>12</sub> and P2X<sub>1</sub>.

## Effects of ADP on platelets

### Signal transduction of a weak platelet agonist

Addition of exogenous ADP to washed human platelets results in shape change, reversible aggregation at physiological concentrations of ionized calcium in the external medium and finally desensitization<sup>15,16</sup>. Two types of aggregation response can be distinguished in vitro: primary aggregation which is reversible and does not involve the release reaction and secondary aggregation which is irreversible and associated with granule release and formation of thromboxane A<sub>2</sub> (TXA<sub>2</sub>). The factors responsible for the ADP-induced secretory reaction of human platelets have been studied extensively<sup>17</sup> and it is now current knowledge that low concentrations of Ca<sup>2+</sup> (μM range) support release from platelets stimulated with ADP in a stirred suspension, thus inducing extensive secondary aggregation<sup>18</sup>. Hence the ADP-induced aggregation observed in citrated platelet-rich plasma or in artificial media containing no Ca<sup>2+</sup> is artefactual and does not correspond to the physiological response of platelets to ADP. The reason for the occurrence of this aggregation is presently unknown, although it might be related to the ADP receptor density (see p. 134). Transduction of the ADP signal involves a transient rise in free cytoplasmic calcium, due to mobilization of internal stores and secondary store-mediated influx and a concomitant inhibition of adenylyl cyclase<sup>19,20</sup>. ADP also induces an extremely rapid influx of calcium from the extracellular medium, which has been attributed to ligand gated calcium channels<sup>21</sup>. The demonstration that platelets from knockout mice lacking the Gαq subunit of the Gq protein do not aggregate in response to ADP<sup>22</sup> indicated that the phospholipase Cβ (PLCβ) pathway is necessary to raise intracellular calcium following ADP stimulation and that this is essential to platelet

aggregation. Along with the identification of the P2Y<sub>1</sub> receptor coupled to Gq, this finding closed a long debate on whether the ADP-related rise in intracellular calcium was due to inositol (1,4,5) trisphosphate (IP<sub>3</sub>) formation or not<sup>23</sup>. On the other hand, the inhibition of adenylyl cyclase is a key feature of ADP-induced platelet activation but displays no direct causal relationship to aggregation<sup>20</sup>. Moreover, this effect of ADP is observable only when adenylyl cyclase has been prestimulated by prostaglandins or other activators. The demonstration that ADP stimulates a G protein identified as Gi<sub>2</sub> in human platelet membranes<sup>24,25</sup>, provided the first evidence that at least one of the putative ADP receptors might belong to the G protein-coupled superfamily. Thus, ADP triggers at least two main biochemical pathways in platelets, a Gq/PLC mediated rise in intracellular calcium and a Gi<sub>2</sub> mediated inhibition of adenylyl cyclase, which suggests the involvement of two distinct G protein-coupled receptors.

#### ADP is a necessary cofactor for platelet activation

Although itself a weak aggregating agent, ADP is a necessary cofactor for normal activation of platelets by other agonists such as thrombin or collagen, which induce its secretion from the dense granules. In addition, low concentrations of ADP potentiate or amplify the effects of all and even weak agonists like serotonin<sup>15</sup>, adrenaline<sup>26</sup> or chemokines<sup>27,28</sup>. A selective role of ADP as an important cofactor of phosphoinositide 3-kinase (PI 3-K) activation has been proposed on the basis of results in platelets stimulated by PAR-1 thrombin receptor activating peptides<sup>29</sup> and might explain the well-known stabilization by ADP of platelet aggregates induced by thrombin<sup>30-32</sup>. Similarly, ADP has been implicated as an important cofactor of platelet activation through cross-linking of the FcγRIIIa receptor or by sera from patients with heparin-induced thrombocytopenia<sup>33</sup> where PI 3-K activation is pivotal. It has been shown that the Gi-coupled pathway of ADP is critically involved in synergism, with agonists triggering tyrosine kinase activities which lead to PLCγ2 activation<sup>34</sup>. The same phenomenon is observed when platelets are activated through the collagen receptor GPVI (Nieswandt et al., unpublished data). Thus, concomitant signalling through Gi and either Gq or tyrosine kinases, depending on the primary agonist, could be envisaged as a general mechanism by which ADP contributes to efficient platelet activation and aggregation<sup>35</sup>.

## Molecular identity of the platelet ADP receptors

### The P2Y<sub>1</sub> receptor is an ADP receptor

A platelet ADP receptor was first defined on the basis of pharmacological data as the receptor responsible for ADP-induced aggregation, intracellular calcium increases and adenylyl cyclase inhibition at which ATP was believed to be a competitive antagonist. This receptor was termed P2<sub>T</sub>, where T denotes thrombocytes, and was presumed to be lineage specific<sup>36</sup>. Initial attempts to clone such a receptor from megakaryoblastic cell line libraries were unsuccessful. However, several P2 purinoceptors were cloned in HEL cells, in particular the P2Y<sub>1</sub> receptor which was the first P2 purinoceptor to be cloned, originally from a chick brain cDNA library<sup>37-39</sup>. The human P2Y<sub>1</sub> receptor contains 373 amino acid residues, has the classical structure of a G-protein coupled receptor and is widely distributed in many tissues including heart, blood vessels, smooth muscle cells, connective and neural tissues, testis, prostate and ovary<sup>1</sup>. P2Y<sub>1</sub> mRNA was detected in megakaryoblastic cell lines (HEL, MEG-01, Dami, CHRF-288 and K562) and human platelets<sup>40</sup>. A milestone was passed when, after its stable expression in Jurkat cells, the human P2Y<sub>1</sub> receptor proved to be an ADP receptor at which triphosphate nucleotides were competitive antagonists. Partial agonistic responses to triphosphate nucleotides were, in fact, due to degradation of the commercial reagents into diphosphate nucleotides<sup>40</sup>. These studies were extended to platelets and brain capillary endothelial cells expressing the P2Y<sub>1</sub> receptor and it was shown that the agonistic effects of purified triphosphate nucleotides were due to enzymatic transformation into the diphosphate analogues by ectonucleotidases present at the surface of the cells<sup>41</sup>. Such results supported the hypothesis that the P2Y<sub>1</sub> receptor could be the elusive P2<sub>T</sub> receptor.

### Evidence for another platelet ADP receptor

The fact that the selective P2Y<sub>1</sub> antagonists, adenosine-2',5'-diphosphate (A2P5P), adenosine-3',5'-diphosphate (A3P5P) and adenosine-3',5'-phosphosulphonate (A3P5PS) inhibited ADP-induced platelet aggregation also supported a key role of the P2Y<sub>1</sub> receptor. But the fact that these antagonists had no effect on ADP-induced adenylyl cyclase inhibition led to the firm conviction that another receptor must mediate this effect of ADP on platelets. Several groups published simultaneously studies using these antagonists, all showing that the P2Y<sub>1</sub> receptor is indeed necessary although not sufficient to induce full platelet aggregation in response to ADP<sup>42-44</sup>. Conversely, work with inhibitors like the thieno-

pyridines ticlopidine and clopidogrel or the ATP analogue 2-propylthio- $\beta$ , $\gamma$ -difluoromethyleneATP (AR-C66096MX) further confirmed the existence of a separate receptor responsible for the inhibition of cAMP production by ADP<sup>44–49</sup>. Additional evidence came from the demonstration that the P2Y<sub>1</sub> receptor was normal, at the genetic and pharmacological levels, in a patient with congenital impairment of platelet responses to ADP<sup>50</sup>. Finally, definite proof of the existence of a second ADP receptor distinct from P2Y<sub>1</sub> and coupled to adenylyl cyclase inhibition was provided by the generation of P2Y<sub>1</sub> receptor knockout mice, in which platelet shape change and aggregation to usual concentrations of ADP were completely abolished, whereas the ability of ADP to inhibit cAMP formation was maintained<sup>51,52</sup>. It is worthy of note that a first hypothesis of two distinct ADP receptors mediating platelet shape change and aggregation, on the one hand, and inhibition of adenylyl cyclase, on the other, had already been proposed by MacFarlane in 1983 on the basis of pharmacological studies<sup>53</sup>.

### Identification of the P2Y<sub>12</sub> receptor

This second platelet ADP receptor, suggested to be of the P2Y type since ADP is known to activate the heterotrimeric G protein G<sub>i2</sub> in human platelet membranes<sup>24</sup>, was expected to exhibit a pharmacological profile identical to that of P2Y<sub>1</sub> but with subtle differences in the selectivity of certain ligands<sup>23</sup>. Depending on the authors, this elusive receptor was termed P2cyc<sup>46,51</sup>, P2T<sub>AC</sub><sup>45</sup> or P2Y<sub>ADP</sub><sup>48</sup>. It was finally cloned from human and rat platelet cDNA libraries using an expression cloning strategy in *Xenopus* oocytes designed to detect Gi-linked receptors through their coupling to cotransfected inward-rectifying K<sup>+</sup> channels<sup>54</sup>. Sequence analysis led to the identification of a new P2Y receptor designated P2Y<sub>12</sub>. This receptor displayed the expected pharmacological characteristics of the Gi-coupled platelet ADP receptor. In particular, Chinese Hamster Ovary (CHO) cells expressing the human P2Y<sub>12</sub> receptor displayed ADP-mediated inhibition of cAMP formation and this effect was reversed by selective antagonists such as 2MeSAMP or C1330–7<sup>54</sup> and blocked by pertussis toxin, while P2Y<sub>1</sub> antagonists were ineffective. An interesting point is that this receptor contains four extracellular cysteines and the thiol reagent *p*-chloromercuriphenylsulfonic acid (*p*CMBS), known to block the ADP-induced inhibition of cAMP production in platelets<sup>53</sup>, has been shown to inhibit ADP-induced P2Y<sub>12</sub> activation in *Xenopus* oocytes<sup>54</sup>. This effect of thiol reagents was one of the key arguments in favour of two separate ADP receptors on platelets and it is satisfying to obtain a molecular explanation. It has also been suggested that clopidogrel acts on the P2Y<sub>12</sub> receptor through a thiol

metabolite, which could covalently modify its cysteine residues<sup>55</sup>. Finally, P2Y<sub>12</sub> appears to be mutated in a patient known to have a congenital defect of platelet aggregation to ADP related to the Gi-linked pathway (see below). The tissue distribution of this novel G protein-coupled receptor seems to be restricted to platelets and subregions of the brain including the amygdala, caudate nucleus, corpus callosum, hippocampus, substantia nigra and thalamus<sup>54</sup>. The P2Y<sub>1</sub> and the P2Y<sub>12</sub> genes are both located on chromosome 3q24–25, together with the gene coding for the UDP-glucose receptor. In terms of homology, P2Y<sub>12</sub> is more closely related to the latter receptor (44% identical) than to P2Y<sub>1</sub> (19% identical). The relatively poor homology with other P2 receptors probably explains why homology cloning failed to identify the Gi-coupled ADP receptor (Fig. 9.1). The same sequence has been reported for an orphan receptor SP1999, of which ADP was found to be the cognate ligand<sup>56</sup>, having an identical tissue distribution.

Early and later concordant binding studies numbered about 400 to 1200 binding sites per platelet for 2MeSADP<sup>53,57–60</sup> of which approximately one-third corresponds to the P2Y<sub>1</sub> receptor and two-thirds correspond to the P2Y<sub>12</sub> receptor as deduced from measurements in the presence of P2Y<sub>12</sub> antagonists or inhibitors<sup>44,46</sup> and further confirmed using [<sup>33</sup>P]MRS 2179 as a selective P2Y<sub>1</sub> radioligand<sup>61</sup>.

### The P2X<sub>1</sub> receptor

In addition to the G protein-coupled P2Y<sub>1</sub> and P2Y<sub>12</sub> receptors, platelets also express the P2X<sub>1</sub> receptor, which has been shown to be responsible for the fast calcium entry induced by ADP<sup>62</sup>. P2X receptors are ATP-gated ion channels which mediate rapid (within 10 ms) and selective permeability to cations. The human P2X<sub>1</sub> receptor is composed of 399 amino acids with two transmembrane domains, intracellular amino and carboxyl termini and a large extracellular loop with ten conserved cysteine residues<sup>1</sup>. Homomeric or heteromeric association of at least three P2X subunits is required for the formation of a pore. These receptors are broadly expressed mainly on excitable cells like smooth muscle cells, neurons and glial cells. PCR amplification demonstrated the presence of P2X<sub>1</sub> transcripts in platelets and megakaryoblastic cell lines, while the selective P2X<sub>1</sub> agonist  $\alpha\beta$ MeATP was found to trigger a calcium influx into fura-2 loaded human platelets<sup>63</sup>. Other immunological and biochemical studies have since confirmed the existence of a functional P2X<sub>1</sub> purinoceptor on blood platelets<sup>64–66</sup>.

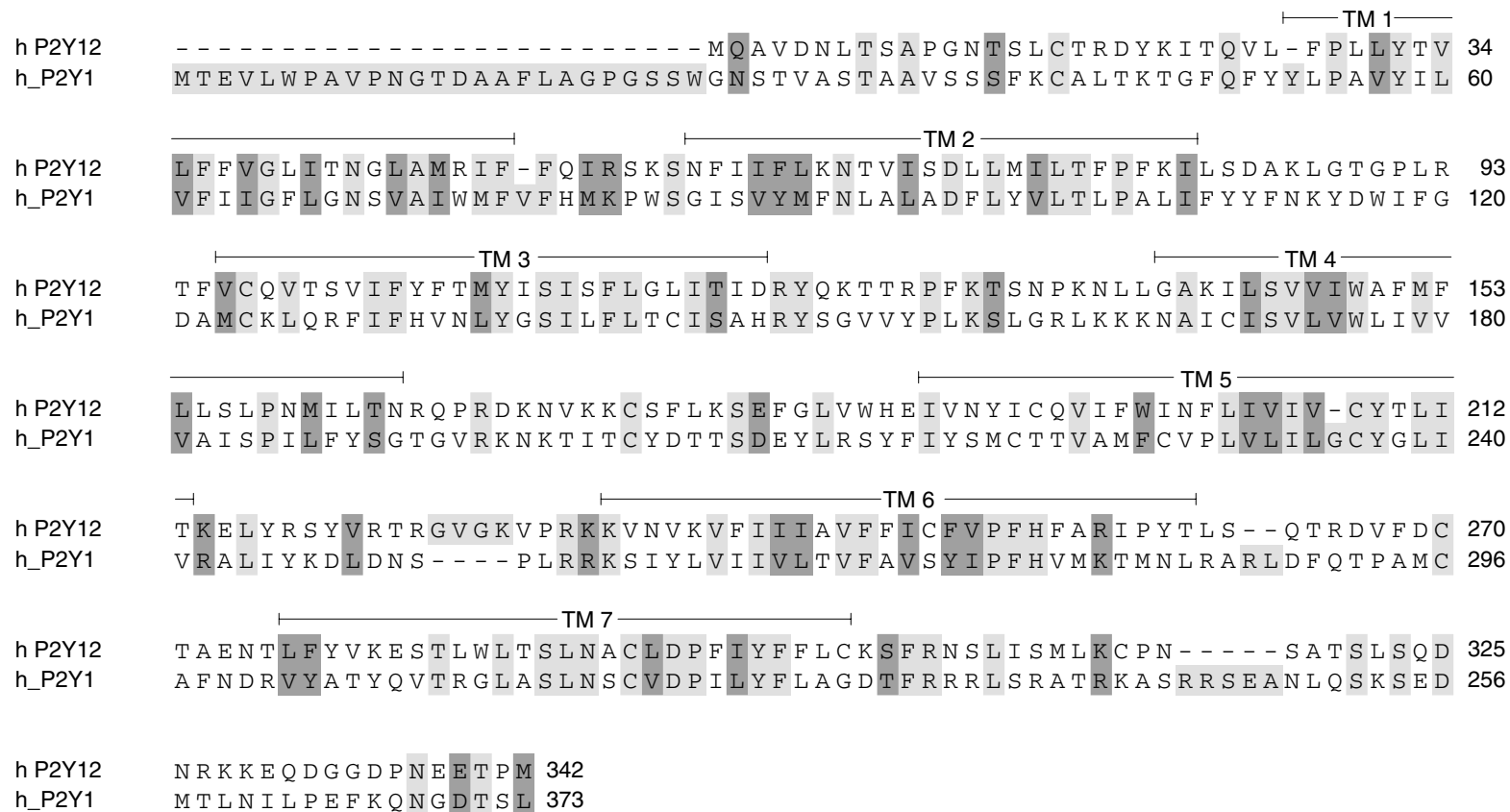


Fig. 9.1. Protein sequence alignment of the P2Y<sub>1</sub> and P2Y<sub>12</sub> receptors. The putative transmembrane domains are designated with bars above the sequence. Shading denotes aminoacid identity (grey) or similarity (black). The P2Y<sub>1</sub> and P2Y<sub>12</sub> receptors share 19% identity only.



## Pharmacology of the platelet ADP receptors

### Agonists

The order of potency for agonists is  $2\text{MeSADP} \gg \text{ADP} = \text{ADP}\alpha\text{S} > \text{ADP}\beta\text{S}$  at the  $\text{P2Y}_1$  receptor and  $2\text{MeSADP} \gg \text{ADP} = \text{ADP}\beta\text{S}$  at the  $\text{P2Y}_{12}$  receptor, at which  $\text{ADP}\alpha\text{S}$  is an antagonist. Structure–activity studies have been extensively reviewed<sup>16,23</sup>. ATP has been found to have low intrinsic activity at the  $\text{P2Y}_1$  receptor<sup>67</sup> and its action might in this case depend on the level of receptor expression. At low levels of expression, ATP would act as an antagonist and this is the case for platelets, while at higher receptor densities (transfected cells, selective tissues) it might behave as a partial agonist. The physiological significance of this behaviour is at present unclear. Concerning  $\text{P2X}_1$ , ATP is the 'standard' agonist and  $\alpha\beta\text{MeATP}$  is a selective agonist<sup>1</sup>. It is not completely clear whether ADP is a true agonist of  $\text{P2X}_1$  or whether the reported effects of ADP are due to contamination of the commercial sources with ATP<sup>68</sup>. An emerging idea is that the  $\text{P2X}_1$  receptor could be activated by some diadenosine polyphosphates ( $\text{Ap}_n\text{As}$ ), and adenosine polyphospho guanosines ( $\text{Ap}_n\text{Gs}$ ) which are released from the dense granules of stimulated platelets<sup>69</sup>. These molecules have been shown to be vasoconstrictors or vasodilators depending on the local environment, and a direct effect on platelets is not excluded.

### Antagonists

Selective antagonists which allow discrimination between the  $\text{P2Y}_1$  and  $\text{P2Y}_{12}$  receptors now exist (Table 9.1 and Fig. 9.2). A3P5PS, A2P5P and A3P5P inhibit platelet aggregation to ADP by competitively antagonizing the intracellular calcium rise induced by this agonist. These adenosine analogues are selective  $\text{P2Y}_1$  receptor antagonists<sup>70</sup> and have no effect on adenylyl cyclase inhibition<sup>42–44</sup>. More efficient  $\text{P2Y}_1$  antagonists such as  $N^6$ -methyl-2'-deoxyadenosine-3',5'-bisphosphate (MRS 2179) have been synthesized and shown to be antiplatelet agents<sup>61,71,72</sup>. However, MRS 2179 has been reported to be an antagonist of the  $\text{P2X}_1$  receptor in vitro<sup>73</sup>. New compounds like (N)-methanocarbonyl- $N^6$ -methyl-2-chloro-2'-deoxyadenosine-3',5'-bisphosphate (MRS 2279) seem to be more selective and are strong antagonists of ADP-induced platelet aggregation<sup>74,75</sup>. Competitive antagonists of  $\text{P2Y}_{12}$  also exist. Apart from 2MeSAMP and  $\text{ADP}\alpha\text{S}$ , these are ATP analogues of the AR-C series like 2-propylthio- $\beta,\gamma$ -difluoromethylene ATP (AR-C66096MX), 2-propylthio- $\beta,\gamma$ -dichloromethylene ATP (AR-C67085MX) and  $N^6$ -(2-methylthioethyl)-2-(3,3,3-trifluoropropylthio)- $\beta,\gamma$ -dichloromethylene ATP (AR-

**Table 9.1.** Antagonists and inhibitors of the platelet ADP receptors

Compounds	$\text{P2Y}_1$	$\text{P2Y}_{12}$	$\text{P2X}_1$	References
<b>Antagonists</b>				
A2P5P	+	–	nd	70
A3P5P	+	–	nd	70
A3P5PS	+	–	nd	70
MRS2179	+	–	+	71,73
MRS2279	+	–	–	74,75
AR-C66096MX	–	+	nd	11
AR-C67085MX	–	+	nd	11
AR-C69931MX	–	+	–	11
C1330-7	–	+	nd	54
<b>Inhibitors</b>				
Ticlopidine	–	+	nd	10
Clopidogrel	–	+	nd	10,55
CS-747/R-99224	–	+	nd	82,83

C69931MX)<sup>11</sup>. They strongly inhibit ADP-induced platelet activation in vitro without interfering with shape change or calcium mobilisation. Other compounds such as C1330–7 have been reported to directly antagonize  $\text{P2Y}_{12}$  but their structures have not yet been revealed<sup>54</sup>.

### Ticlopidine and clopidogrel

The thienopyridine compounds ticlopidine and clopidogrel were the first  $\text{P2Y}_{12}$  inhibitors to be used clinically as antithrombotic drugs<sup>10</sup>. In contrast to the AR-C analogues, they are inactive in vitro and have to be metabolized in the liver to acquire their antiaggregatory properties. These compounds selectively and irreversibly antagonize ADP-induced inhibition of  $\text{PGE}_1$ -activated adenylyl cyclase<sup>76,77</sup>, binding of  $\text{GTP}\gamma\text{S}$  to platelet membrane<sup>78</sup>, tyrosine phosphorylation of several proteins<sup>79</sup> and dephosphorylation of the vasodilator-stimulated phosphoprotein VASP<sup>80</sup>. Conversely, they do not modify other effects of ADP on platelets like shape change, calcium movements or phosphorylation of the proteins involved in these processes. Ticlopidine and clopidogrel induce a dose-dependent reduction in the number of 2MeSADP binding sites on platelets<sup>57–59,81</sup>. However, under conditions where the 2MeSADP binding sites are maximally reduced (by up to 70% reduction) and ADP-induced inhibition of adenylyl cyclase is completely blocked by thienopyridine treatment, ADP can still promote platelet shape change, rise in intra-

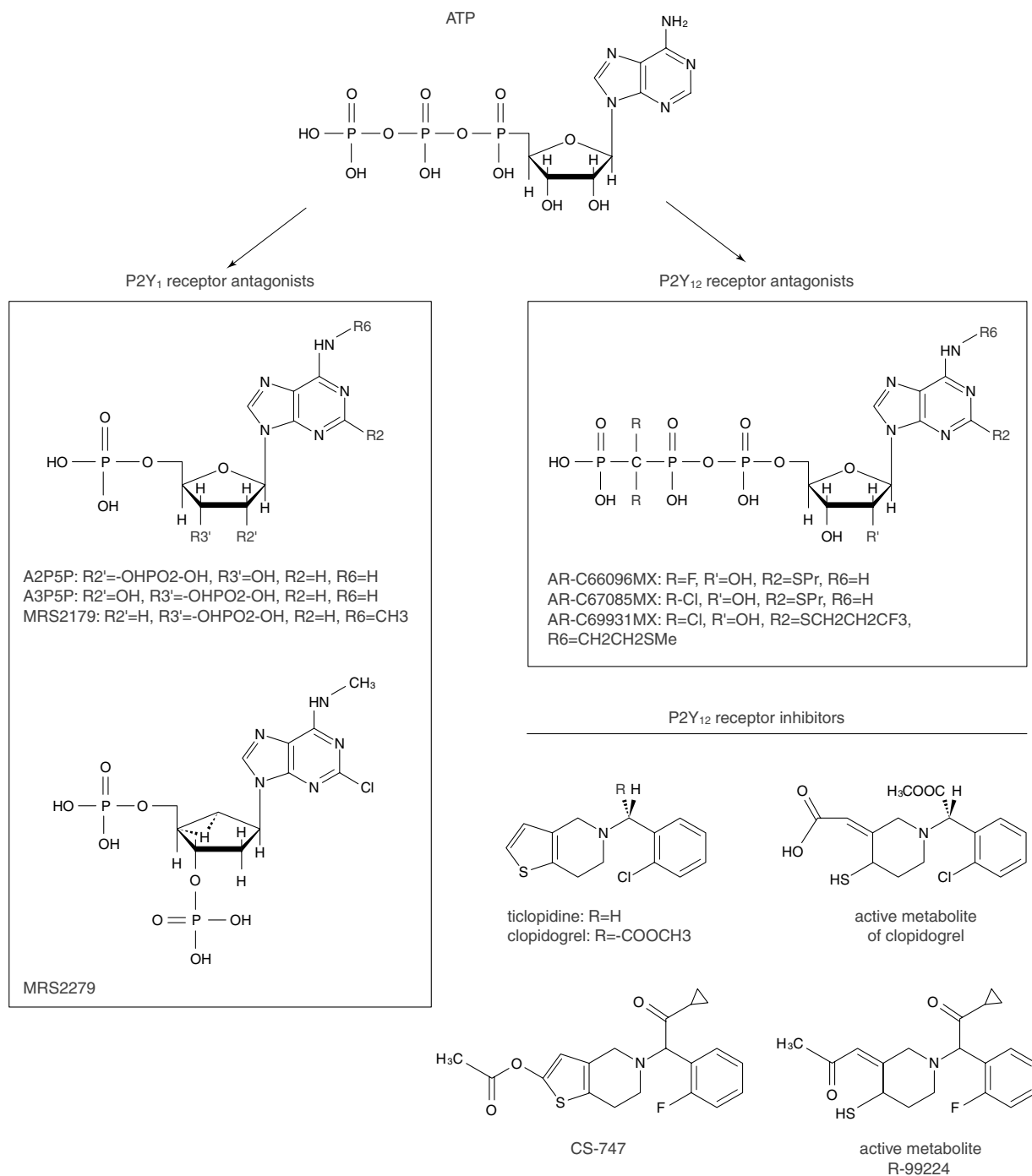


Fig. 9.2. Molecular structures of selective antagonists and inhibitors of the P2Y<sub>1</sub> and P2Y<sub>12</sub> receptors.

cellular calcium and transient residual aggregation through activation of the P2Y<sub>1</sub> receptor, which is insensitive to thienopyridines<sup>46,57,59</sup>. Hence these drugs are selective P2Y<sub>12</sub> inhibitors. It is worthy of note that, at the maximum ex vivo effect of clopidogrel, attainable only in animals, none of the AR-C compounds have any additional effect on ADP-induced platelet aggregation, thus demonstrating that the two unrelated molecules act at the same receptor and that clopidogrel is able to fully inhibit all the receptors (Léon et al., unpublished data). The short-lived active metabolite of clopidogrel has been isolated and found to be a thiol derivative of the parent molecule. As mentioned above, it is believed to bind covalently to the P2Y<sub>12</sub> receptor<sup>55</sup>. A new antiplatelet agent (CS747) apparently identical to clopidogrel was reported recently<sup>82</sup> and is a thienopyridine which is totally inactive in vitro and has to be metabolized to be effective in vivo or ex vivo. One of its metabolites (R-99224) has been shown to display in vitro activity<sup>82,83</sup>.

### Congenital defects of platelet ADP receptors

Initially, two unrelated patients were described whose platelets, when exposed to ADP, changed shape normally but underwent no or only very slight, rapidly reversible aggregation and did not exhibit the normal inhibition of PGE<sub>1</sub>-stimulated adenylyl cyclase<sup>84,85</sup>. Binding studies revealed a strong reduction in the number of binding sites as compared to control platelets<sup>59,85</sup>. There was also an abnormal pattern of protein phosphorylation upon activation by ADP or low concentrations of other agonists<sup>86</sup>. Thus, the clinical profile and platelet functions of these patients were the same as when thienopyridines are administered to humans or animals, suggesting them to have a P2Y<sub>12</sub> deficiency. This was confirmed in one patient who displays a heterozygous defect of the P2Y<sub>12</sub> receptor gene consisting of a dinucleotide deletion near the amino-terminal end of TM5, at amino acid 240, resulting in a 28-residue frame shift and a premature stop codon. Although they have one wild type and one mutant allele at least in the coding region, for reasons still not fully understood<sup>54</sup> the patient's platelets only produce RNA for the mutant receptor. Whether all the patients so far described share the same mutation will require further studies. The P2Y<sub>12</sub> receptor defect is inherited as an autosomal recessive trait and all the patients so far described were born from consanguineous parents. Finally, patients with secretion deficiencies but normal granule stores and TXA<sub>2</sub> production have been shown to have fewer ADP receptors than healthy individuals, and some of these patients are heterozygotes for the defect in P2Y<sub>12</sub><sup>60,87</sup>.

A P2Y<sub>1</sub> receptor-deficient patient has been reported in abstract form<sup>88</sup> but has not yet been confirmed. Finally, a dominant negative mutation in the P2X<sub>1</sub> receptor consisting of a deletion of one leucine residue within a stretch of four in the second transmembrane domain (AA 351–354) has been reported in a patient with a severe bleeding disorder<sup>89</sup>. However, further studies will be needed to confirm these data and assess the supposedly important role of P2X<sub>1</sub> in hemostasis.

### Respective roles of the P2Y<sub>1</sub>, P2Y<sub>12</sub> and P2X<sub>1</sub> receptors in platelet functions (Fig. 9.3)

Coactivation of both P2Y<sub>1</sub> and P2Y<sub>12</sub> is necessary for normal ADP-induced platelet aggregation, since inhibition of either receptor is sufficient to block it. Inhibition of the P2Y<sub>1</sub> responses by selective antagonists or by gene targeting, which results in the abolition of intracellular calcium mobilization, can be bypassed by stimulating the Gq pathway with serotonin, which alone is not able to promote aggregation<sup>43,44,51,52,90</sup>. Similarly, inhibition of the P2Y<sub>12</sub> responses by clopidogrel or antagonists or its blockade in patients described above can be bypassed by adrenaline which activates Gi<sup>46,50,90</sup>. In this latter case, lowering cAMP is not the key signal since incubation with SQ22536, a direct inhibitor of the cyclase, of platelets from clopidogrel treated animals, did not restore ADP-induced aggregation<sup>91</sup>. Similarly, SQ22536 does not induce aggregation of platelets stimulated by serotonin<sup>92</sup>. These results show that a signalling event downstream from Gi is required for full activation of the  $\alpha$ IIb $\beta$ 3 integrin and subsequent aggregation. Nevertheless, lowering cAMP levels results in reduction of calcium removal from the cytosol, as has been evidenced in a patient with a probable P2Y<sub>12</sub> defect<sup>50</sup> and in control platelets using AR-C69931MX<sup>93,94</sup>.

### The P2Y<sub>1</sub> receptor is necessary to trigger response and is responsible for shape change and transient platelet aggregation

Adrenaline does not restore aggregation in the presence of P2Y<sub>1</sub> selective antagonists, which demonstrates that the P2Y<sub>1</sub> receptor is absolutely necessary for ADP-induced platelet aggregation<sup>42</sup>. This has been confirmed in P2Y<sub>1</sub> knockout mice<sup>51,52</sup> and likewise in studies of the well-known refractoriness of platelets to ADP<sup>15</sup>. The refractory state was found to be entirely due to desensitization of the P2Y<sub>1</sub> receptor, with a resultant loss of shape change and aggregation<sup>95</sup>. Shape change is an important event in

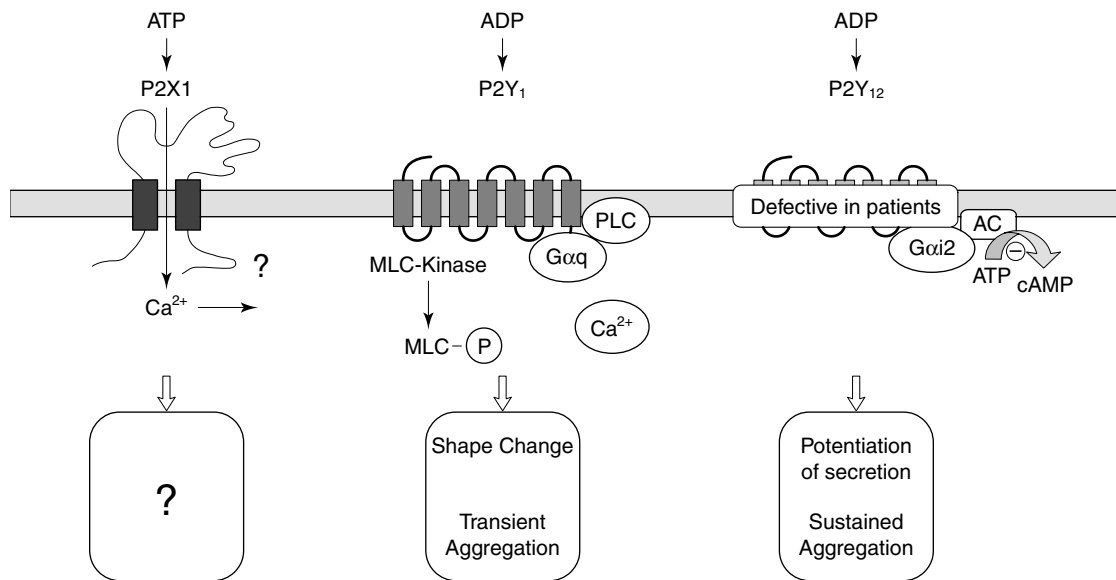


Fig. 9.3. Current view of the interplay between the platelet P2 receptors. Two G protein-coupled receptors are involved in ADP-induced platelet aggregation: the P2Y<sub>1</sub> receptor responsible for intracellular calcium mobilization, shape change and transient aggregation and the P2Y<sub>12</sub> receptor coupled to adenylyl cyclase inhibition, responsible for the amplification of platelet aggregation and the potentiation of platelet secretion. The latter is the molecular target of the ADP specific antiplatelet drugs thienopyridines and AR-C compounds and is defective in patients with a bleeding diathesis. Both receptors are required for normal platelet aggregation in response to ADP. Finally, a P2X<sub>1</sub> receptor is present in platelets. Responsible for rapid calcium influx, it could synergize with the P2Y<sub>1</sub> receptor. Its role in platelet activation is not yet well established.

platelet activation and is dependent on two separate biochemical pathways: a Gq-linked release of calcium from internal stores and a G12/G13-linked Rho-kinase activation<sup>96–98</sup>. Whether the P2Y<sub>1</sub> receptor is coupled to both pathways or only to the Gq pathway is not yet clear<sup>98–100</sup>, but whatever its coupling, this receptor initiates platelet aggregation in response to ADP and its role is not limited to shape change. In PRP, where the concentration of fibrinogen is high (2–4 mg/ml in humans) or in washed platelet suspensions supplemented with appropriate amounts of fibrinogen, the P2Y<sub>1</sub> receptor on its own can trigger a clear, although transient, aggregation response<sup>46</sup> (and unpublished data). These observations better fit the aggregation profile of P2Y<sub>12</sub> defective patients, where a small but distinct aggregation response is obtained in PRP<sup>84,85</sup>. Interestingly, overexpression of P2Y<sub>1</sub> in transgenic mice results in a larger amplitude of ADP-induced platelet aggregation, even in the presence of P2Y<sub>12</sub> blocking agents<sup>101</sup>. Strikingly, ADP induces a much stronger release reaction in these murine platelets, suggesting receptor density to be the key for strong stimulation of the PLC $\beta$  and/or PLA<sub>2</sub> pathways.

### The P2Y<sub>12</sub> receptor serves to amplify and complete the responses

The P2Y<sub>1</sub> receptor is nevertheless not sufficient for a full platelet response and the P2Y<sub>12</sub> receptor is responsible for completion and amplification of the aggregation induced by ADP and other agonists<sup>42,45–47,49</sup>. This receptor plays a specific role in full activation of the  $\alpha$ IIB $\beta$ 3 integrin by ADP, as has emerged from studies of patients with ADP receptor deficiencies<sup>14</sup>. P2Y<sub>12</sub> also mediates the stabilization of platelet aggregates through activation of a PI 3-K pathway downstream of Gi activation<sup>29</sup> and potentiation of platelet secretion<sup>60,87</sup>. In addition, the P2Y<sub>12</sub> receptor can mediate partial aggregation without shape change in platelets from P2Y<sub>1</sub> knockout mice when ADP is added at a high concentration (100  $\mu$ M)<sup>51,99</sup>, suggesting that  $\alpha$ IIB $\beta$ 3 activation can occur in the absence of P2Y<sub>1</sub> and any detectable calcium signal. The P2Y<sub>12</sub> receptor is also responsible for the ability of ADP to restore collagen-induced aggregation in G $\alpha$ q deficient mouse platelets<sup>99</sup>. In general terms, it would seem that the P2Y<sub>12</sub> receptor is involved in all cases where ADP acts as a costimulus in the presence of low concentrations of other agonists such as thromboxane A<sub>2</sub>, thrombin, chemokines or IgGs, while the P2Y<sub>1</sub> receptor has a specific

role in early platelet activation. These respective roles of P2Y<sub>1</sub> in the early steps of aggregation and P2Y<sub>12</sub> in the amplification and stabilization of aggregates have been confirmed in several recent studies<sup>93,102</sup>. The relative contributions of the two receptors to the morphological changes induced in platelets by ADP or agonists causing ADP release have been explored in P2Y<sub>12</sub>-deficient patients, in subjects receiving clopidogrel and in control platelets treated with selective antagonists<sup>103,104</sup>. It is clear from this work that P2Y<sub>12</sub> is involved in the constitution of stable macroaggregates through full activation of the  $\alpha$ IIb $\beta$ 3 integrin, while P2Y<sub>1</sub> is involved in the centralization of platelet granules induced by ADP and the formation of filopodia in platelets activated with low concentrations of agonists like TXA<sub>2</sub> or thrombin.

### A role for the P2X<sub>1</sub> receptor?

One characteristic of the P2X<sub>1</sub> receptor is to become very quickly desensitized rendering difficult studies of its function in platelet activation *in vitro*. P2X<sub>1</sub> receptor knockout mice have been generated and display male infertility due to reduced vas deferens contraction<sup>105</sup>, but so far no obvious defect of hemostasis. Studies of their platelet functions should help to define a role of the P2X<sub>1</sub> receptor in hemostasis and/or thrombosis. However, many investigators have, as yet, failed to find any such functional activity<sup>45,63,106</sup>. It has been suggested that the P2X<sub>1</sub> receptor could be implicated in shape change when care is taken to avoid desensitization by using a high concentration of apyrase at all steps of platelet handling<sup>107</sup>. P2X<sub>1</sub> has also been reported to synergize the ADP-induced calcium response of the P2Y<sub>1</sub> receptor, particularly under conditions where cAMP levels have been raised, which could be relevant to the *in vivo* situation when platelets are in contact with the vessel wall, where prostacyclin and NO are generated<sup>94</sup>.

### The platelet ADP receptors as targets for antithrombotic drugs

Since the P2Y<sub>1</sub> and P2Y<sub>12</sub> receptors have distinct roles in platelet activation and aggregation, they might also play separate roles in thrombosis. P2Y<sub>12</sub> has long been recognized as an attractive target for antithrombotic drugs. Thus, ticlopidine and clopidogrel are already commercially available, while some AR-C compounds are under evaluation in clinical trials, and both families of molecules have been shown to be efficient in animal models of thrombosis<sup>10,11</sup>. Ticlopidine was discovered in 1972 and already

marketed in 1978, even though its precise mechanism of action was not understood. However, its selectivity as an ADP inhibitor was very quickly recognized and helped to elucidate the role of ADP in arterial thrombosis<sup>7,108</sup>. Clopidogrel was developed in 1986 and has been on the market since 1997<sup>10</sup>. This compound proved to be effective in reducing the risk of ischemic stroke, myocardial infarction or vascular death in large-scale clinical trials<sup>10</sup>. The AR-C compounds have proved effective in various animal models of arterial thrombosis. These compounds have already been tested in man and AR-C69931MX is in phase II clinical trials for the treatment of coronary syndromes<sup>11</sup>. In general terms, the pharmacological properties of the thienopyridines and AR-C analogues point to a major contribution of the P2Y<sub>12</sub> receptor to thrombotic states and further studies will probably lead to the discovery of new antagonists of this key target. On the other hand, the finding that P2Y<sub>1</sub>-deficient mice display increased resistance to thromboembolism would suggest that this receptor could also be a target for new antiplatelet drugs<sup>51,52</sup>. When thromboembolism was induced by infusion of a mixture of collagen and adrenaline, P2Y<sub>1</sub> knockout mice displayed lower mortality and platelet consumption than control animals. In similar experiments, using tissue factor to promote thrombin dependent thromboembolism, P2Y<sub>1</sub>-deficient mice again exhibited higher thromboresistance and significantly reduced *in vivo* thrombin generation<sup>72</sup>. Moreover, administration to mice of the P2Y<sub>1</sub>-antagonist MRS 2179 resulted in prolongation of the bleeding time, inhibition of *ex vivo* platelet aggregation in response to ADP and resistance to thromboembolism induced by collagen and adrenaline or by tissue factor<sup>61,72</sup>. These results demonstrate that P2Y<sub>1</sub> represents a potential target for antithrombotic therapy.

### Conclusion

ADP was identified in the 1960s as a factor influencing platelet adhesion and inducing platelet aggregation and rapidly came to be recognized as one of the most important mediators of hemostasis and thrombosis. Over the last 5 years, the platelet ADP receptors have been identified and characterized and selective P2 receptor antagonists are now under investigation as potential antithrombotic agents. Whether such P2Y<sub>12</sub> and P2Y<sub>1</sub> antagonists will prove useful for the antiplatelet therapy of vascular diseases should emerge in the near future.

### Acknowledgements

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## Platelet receptors: prostanoids

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### Introduction

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Prostanoids, consisting of thromboxane (Tx) and prostaglandins (PGs), are oxygenated metabolites of the polyunsaturated, essential fatty acid, arachidonic acid. Prostaglandin G/H synthase, also called cyclooxygenase (COX), catalyses the rate-limiting step in the synthesis of prostanoids. It is a bisfunctional enzyme, causing biotransformation of arachidonic acid to the cyclic endoperoxides, PGG<sub>2</sub> to PGH<sub>2</sub>, via sequential cyclooxygenase and peroxidase activities. Prostanoids are produced in response to diverse physical and chemical stimuli and exert their actions by binding to G protein-coupled receptors (GPCRs) which are located on the surface of the same or nearby cells. Prostanoid receptors specific for TxA<sub>2</sub>, prostacyclin (PGI<sub>2</sub>), PGE<sub>2</sub>, PGD<sub>2</sub>, and PGF<sub>2α</sub> are termed TP, IP, EP, DP, and FP, respectively<sup>1</sup>. As discussed later, isoforms of some of these receptors have been identified. Each receptor binds its cognate ligand with a K<sub>d</sub> value of 1.3–40 nM. Most prostanoids cross-react with receptors other than their own, with affinities usually more than two orders of magnitude below this range. There are also some compounds that act potently on two different receptors. For example, iloprost activates not only the IP, but also the EP1, and PGE<sub>1</sub> displaces the binding of <sup>3</sup>H-iloprost more potently than other IP agonists, indicating that PGE<sub>1</sub> binds not only to EP isoforms, but also to the IP<sup>2</sup>. Prostanoids play an important role in platelet physiology. The major arachidonic acid metabolite in platelets is TxA<sub>2</sub>. TxA<sub>2</sub> is also synthesized by other cells, including macrophages, monocytes, and lung parenchyma<sup>3</sup>. In platelets, TxA<sub>2</sub> is produced in response to activation by conventional agonists, such as thrombin and ADP, but TxA<sub>2</sub> is also a platelet activator itself. PGI<sub>2</sub> and PGD<sub>2</sub>, in contrast, are antiaggregatory. PGI<sub>2</sub> is mainly synthesized by arterial endothelial and smooth muscle cells<sup>1</sup>. PGD<sub>2</sub> is the major arachidonic acid metabolite in the brain,

and is also synthesized by mast cells and spleen<sup>4</sup>. In the vasculature, PGD<sub>2</sub> can be synthesized by isomerization of PGH<sub>2</sub> catalysed by plasma albumin<sup>5</sup>. Thus, PGD<sub>2</sub> provides a negative feedback mechanism for regulation of platelet activation, at least in vitro. PGE<sub>2</sub> is synthesized mainly by endothelial cells of the microvasculature and by venous homogenates<sup>1,6</sup> and exerts a proaggregatory activity when combined with other stimuli, although it is not able to induce platelet aggregation by itself<sup>7,8</sup>.

The overall homology amongst prostanoid receptors is not high, ranging approximately from 20 to 30%, although homology among receptor homologues from different species is considerably higher (79–89% between human and mouse receptors)<sup>2</sup>. Prostanoid receptors possess features common to other rhodopsin-type receptors, such as two cysteines in the first and second extracellular loop, consensus sequences for *N*-glycosylation of asparagine (Asn–X–Ser/Thr) in the amino-terminal extracellular portion, and serine and threonine residues in the putative cytoplasmic domain. In addition to features common to other GPCRs, particular motifs specifically conserved among the prostanoid receptors are found in several regions, such as the seventh transmembrane domain, the second extracellular loop, and the third transmembrane domain<sup>9</sup>. Here we review recent studies on prostanoid receptors with particular relevance to platelet function.

### Thromboxane A<sub>2</sub> receptor (TP)

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#### Structure

TxA<sub>2</sub> receptors are abundant on platelets, vascular and airway smooth muscle cells and mediate platelet aggregation and vaso- and bronchial constriction<sup>1</sup>. Radioligand binding studies have been performed using several ago-

**Table 10.1.** Summary of  $^{125}\text{I}$ -BOP equilibrium binding data

Species	Human	Human	Human	Rat	Rat	Guinea pig
	platelets	SMC	monocytes	platelets	SMC	lung M
$K_d$ (nM)	2.2	2.6	1.49	0.2	0.3	0.087
$B_{\max}$ (sites/cell)	1700	33540		771	10872	
$B_{\max}$ (fmol/mg prot)	1300	69	696			82
% specific binding	90	48		90	60	>90

Notes:

SMC: smooth muscle cells; M: membranes; prot: protein

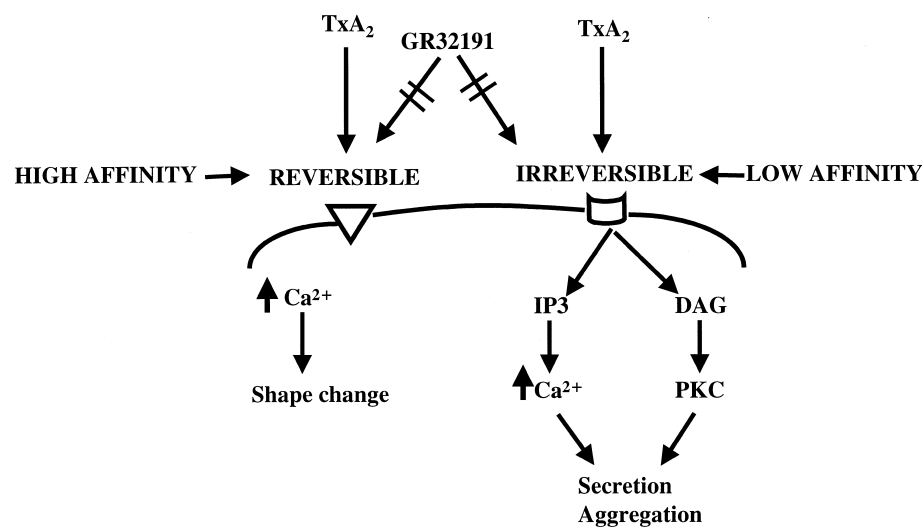


Fig. 10.1. Proposed model of the binding sites identified by GR32191 in human platelets and their effector systems. DAG: diacylglycerol; PKC: protein kinase C. Modified from Takahara et al., 1990<sup>17</sup>.

nists and antagonists, and results using the agonist  $^{125}\text{I}$ -BOP, a compound with high affinity for the receptor, are shown in Table 10.1<sup>10,13</sup>.

Northern blot analysis of various mouse tissues shows presence of TP message also in immune-related organs, such as thymus and spleen<sup>9</sup>. PGH<sub>2</sub> and TxA<sub>2</sub> share the same pharmacological properties and activate the same receptor in platelets<sup>14</sup>. The platelet TxA<sub>2</sub> receptor is often referred to as TxA<sub>2</sub>/PGH<sub>2</sub> receptor. For the sake of simplicity, we will refer to this receptor as the TP. In addition to PGH<sub>2</sub> and TxA<sub>2</sub>, some isoprostanes have also been shown to act as ligands of the TP in platelets and in the vasculature *in vivo*<sup>15</sup>.

Pharmacological and radioligand binding studies have identified the presence of two forms of the receptor for TxA<sub>2</sub> in platelets<sup>3,16</sup>. Platelet aggregation and secretion are

mediated by receptors with low affinity for the agonist I-BOP and which bind irreversibly the antagonist GR32191 (Fig. 10.1); platelet shape change is mediated by receptors with high affinity for I-BOP which bind GR32191 in a reversible fashion (Fig. 10.1)<sup>3,16,17</sup>.

The platelet TP was purified using ligand affinity chromatography<sup>18</sup>. Then, a megakaryocytic cell line (MEG01 cells) and placenta cDNA libraries were screened with a probe corresponding to the partial amino acid sequence. The deduced amino acid sequences of the clone obtained from placenta and of the partial clone obtained from MEG01 cells were identical<sup>19</sup>. Since placenta is a highly vascularized tissue, this finding suggested that the platelet receptor and the vascular receptor are identical. Thus, the platelet TP is also called 'placental isoform'. According to a more recent nomenclature, this TP isoform is called TP $\alpha$ .

TP $\alpha$  has also been cloned from other megakaryocytic cell lines, such as HEL<sup>20</sup> and K562 cells<sup>21</sup> and it has been confirmed that this isoform has a deduced sequence identical to that reported for the human placental TP, consisting of 343 amino acids (Fig. 10.2). Screening of a human umbilical endothelial cell library led to the cloning of a second TP isoform, TP $\beta$ <sup>22</sup>. Endothelial cells express both TP $\alpha$  and TP $\beta$ , as detected by RT-PCR<sup>23</sup>. TP $\alpha$  and TP $\beta$  are alternative splice variants of the same gene (Fig. 10.3). The alternative splicing occurs in the carboxy terminal region after the seventh transmembrane domain. Thus, TP $\alpha$  and TP $\beta$  differ only in the carboxy terminal tail, which is 15 amino acids long in TP $\alpha$  and 79 in TP $\beta$ .

Platelets express the message for both TP $\alpha$  and TP $\beta$ <sup>24</sup> although only TP $\alpha$  could be detected using isoform-specific antibodies<sup>25</sup>. The latter study also demonstrated that the platelet TP with low ligand binding affinity that mediates platelet aggregation and secretion is the cloned isoform TP $\alpha$ . On the other hand, the nature of the high affinity binding site responsible for platelet shape change remains to be fully elucidated.

The TP has seven transmembrane domains (Fig. 10.4) and, similarly to other rhodopsin-type receptors, has two asparagine residues in the amino terminus (Asn<sup>4</sup> and Asn<sup>16</sup>) that are glycosylated<sup>19</sup>. Glycosylation of the receptor explains the difference in molecular mass of the purified TP $\alpha$  (57kDa) from its calculated molecular weight of 37kDa<sup>26</sup>. In addition, there are two cysteine residues in the first (Cys<sup>105</sup>) and in the second (Cys<sup>183</sup>) extracellular loop that form a disulfide bond, important for the maintenance of the receptor structure and ligand binding. Indeed, substitution of these residues with serines eliminates TP $\alpha$  binding<sup>27</sup>. Cys<sup>102</sup> is important for ligand binding and signalling properties, while Cys<sup>223</sup> and Thr<sup>221</sup> seem to be important in eliciting normal signalling properties, although mutation of these sites does not affect ligand binding<sup>27</sup>. Other mutagenesis experiments have revealed that the first transmembrane domain and, in particular, a combination of Leu<sup>37</sup> with either Ala<sup>36</sup> or Gly<sup>40</sup>, is necessary for high affinity ligand binding<sup>28</sup>. Other amino acids important for optimal ligand binding are located in the seventh transmembrane domain and, curiously, substitution of Trp<sup>299</sup> with Leu allows preferential agonist binding and loss of antagonist binding<sup>29</sup>. Other mutations seem to affect the signalling or desensitization characteristics of the TPs, rather than ligand binding properties. In particular, substitution of the hydrophobic amino acid Phe<sup>138</sup> in the second intracellular loop with a more polar amino acid, such as tyrosine or aspartic acid, reduces the ability of TPs to induce activation of phospholipase C (PLC)<sup>30</sup>. Truncation of the last 22 amino acids of the carboxy termi-

nal tail of the mouse TP also decreases the ability to activate PLC by roughly 50%<sup>31</sup>.

The human TxA<sub>2</sub> receptor gene, mapped to chromosome band 19p13.3, is present as a single copy, spans over 15 kilobases and contains 3 exons divided by 2 introns<sup>9,32</sup> (Fig. 10.3). The first intron occurs in the 5' untranslated region, and the second at the end of the sixth transmembrane domain. The alternative splicing that generates TP $\alpha$  and TP $\beta$  occurs at the level of the third exon. Analysis of the promoter region of the TxA<sub>2</sub> receptor gene revealed the presence of protein kinase C (PKC) responsive elements. Activation of PKC and induction of AP-2 or an AP-2 like DNA binding factor may be responsible for transcriptional up-regulation of TPs during megakaryocytic differentiation<sup>33</sup>. This finding also suggests that circulating humoral factors, such as thrombin, released during myocardial infarction, activate PKC in megakaryocytes, thus stimulating the formation and release of platelets with an increased number of TxA<sub>2</sub> receptors<sup>33</sup>.

Polymorphism at the TP gene locus has been recently described in rabbits and might explain differences in vascular responses to TxA<sub>2</sub><sup>34</sup>. In humans, two single nucleotide polymorphisms in the TP gene have been described: one leads to an Arg to Leu mutation at position 60<sup>35</sup> and is associated with bleeding disorder (see later); the other is a synonymous substitution that has been associated with bronchial asthma in adult Japanese subjects<sup>36</sup>.

Mice differ from humans in having only one TP isoform, that consists of 341 amino acids and, thus, is similar in length to the human TP $\alpha$ <sup>37</sup>. Mice lacking the TxA<sub>2</sub> receptor have been generated<sup>38</sup>. Bleeding time is prolonged in TP deficient mice; their platelets fail to aggregate to TP agonists and have a delayed aggregation response to collagen. Contrary to the situation in humans, activation of the mouse TP does not play a significant role in ADP-induced aggregation, since ADP-induced aggregation is normal in TP<sup>-/-</sup> mice. In addition, vascular responses (shock syndrome associated with systemic platelet aggregation, pulmonary thrombosis, coronary spasm, and cardiovascular collapse and sudden death) to arachidonic acid and TP agonists are absent in TP deficient mice. This study confirms that most of the known functions of TxA<sub>2</sub> can be accounted for by the single known *Tp* gene locus in mice.

Transgenic mice overexpressing TP $\beta$  in the vasculature have been recently generated<sup>39</sup>. These mice do not show abnormalities in their vasculature, and blood pressure and heart rate are normal. Mating between TP $\beta$ -overexpressing females and wild type males led to intrauterine growth retardation<sup>39</sup> which is commonly associated with maternal diabetes or cigarette smoking in humans, both conditions associated with increased TxA<sub>2</sub> biosynthesis<sup>40,41</sup>.

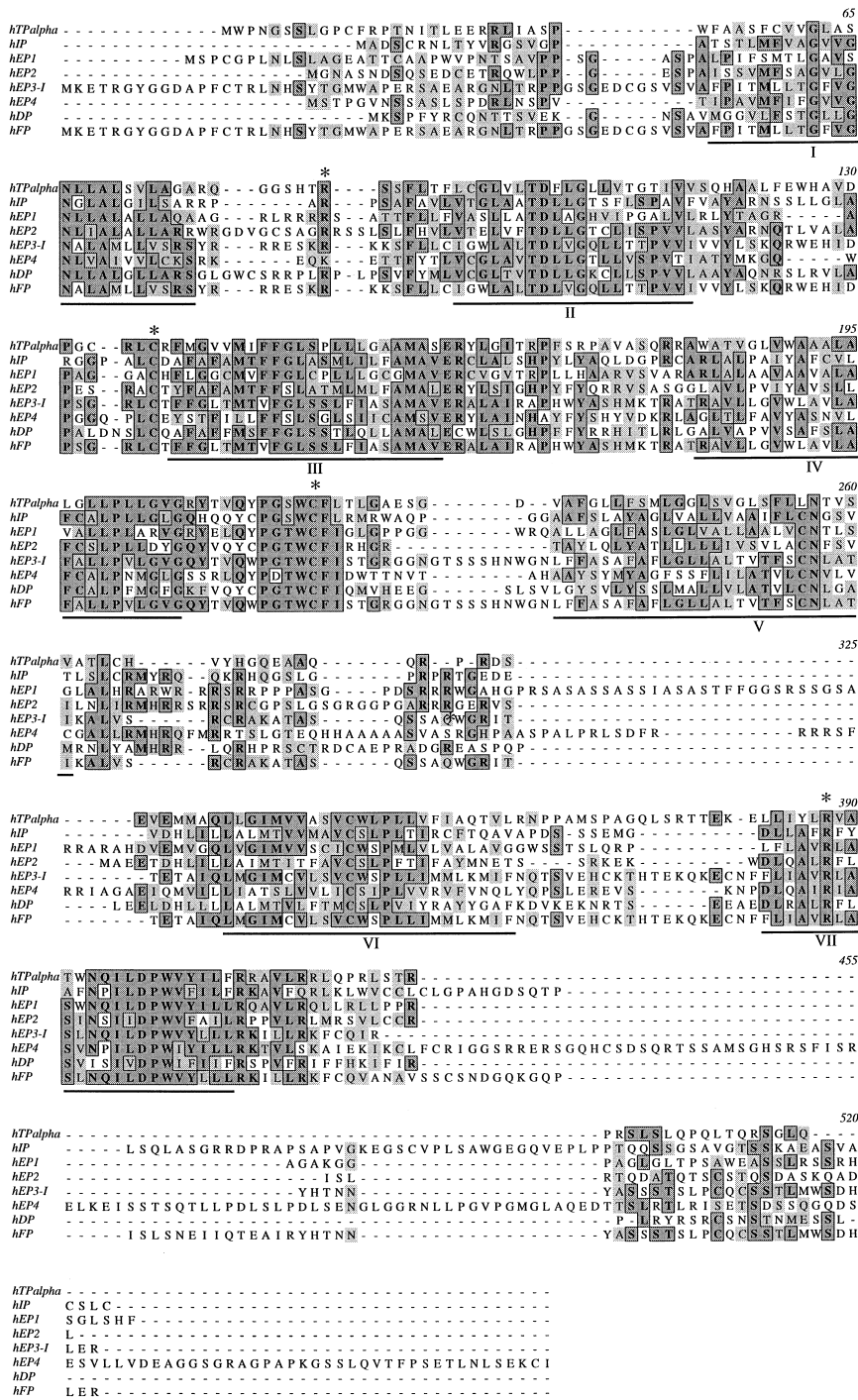


Fig. 10.2. Amino acid sequence alignment of the human prostanoid receptors. The amino acid sequences of the human TP $\alpha$ , IP, EP1, EP2, one of the splice variants of EP3 (EP3-I), EP4, DP, and FP are aligned to show optimal homology. The regions corresponding to the putative seven transmembrane domains are underlined. Dark grey shadows indicate amino acid identity, while light grey shadows indicate amino acid similarity. Asterisks indicate key residues, in particular: Arg in the first intracellular loop (Arg<sup>60</sup> in TP); Cys in the second and third extracellular loops (Cys<sup>105</sup> and Cys<sup>183</sup> in TP); Arg in the seventh transmembrane domain, conserved in all PG receptors, is proposed to be the binding site of the carboxyl group of prostanoid molecules, by analogy to the retinal binding site, Lys<sup>296</sup>. See text for details.

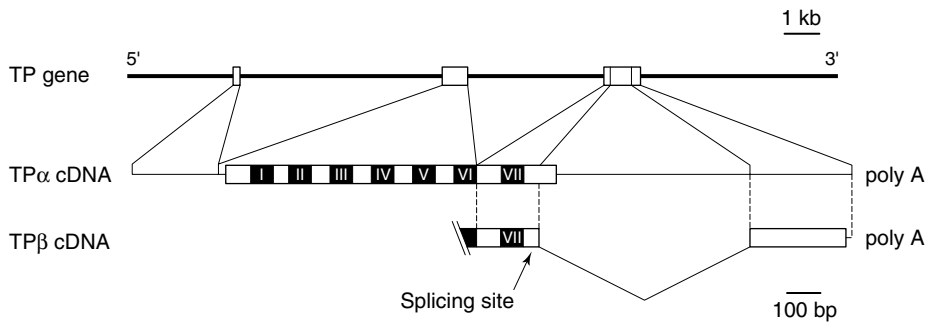


Fig. 10.3. Schematic representation of the human TP gene and TP $\alpha$  and TP $\beta$  cDNAs. Exons are represented by boxes. The non-coding regions are represented by horizontal lines, the regions encoding the non-membrane-spanning domains are denoted by open boxes, and the regions encoding the transmembrane domains are represented by closed boxes and are numbered. Polyadenylation sites (poly A) are also indicated. Modified from Ushikubi et al., 1995<sup>2</sup>.

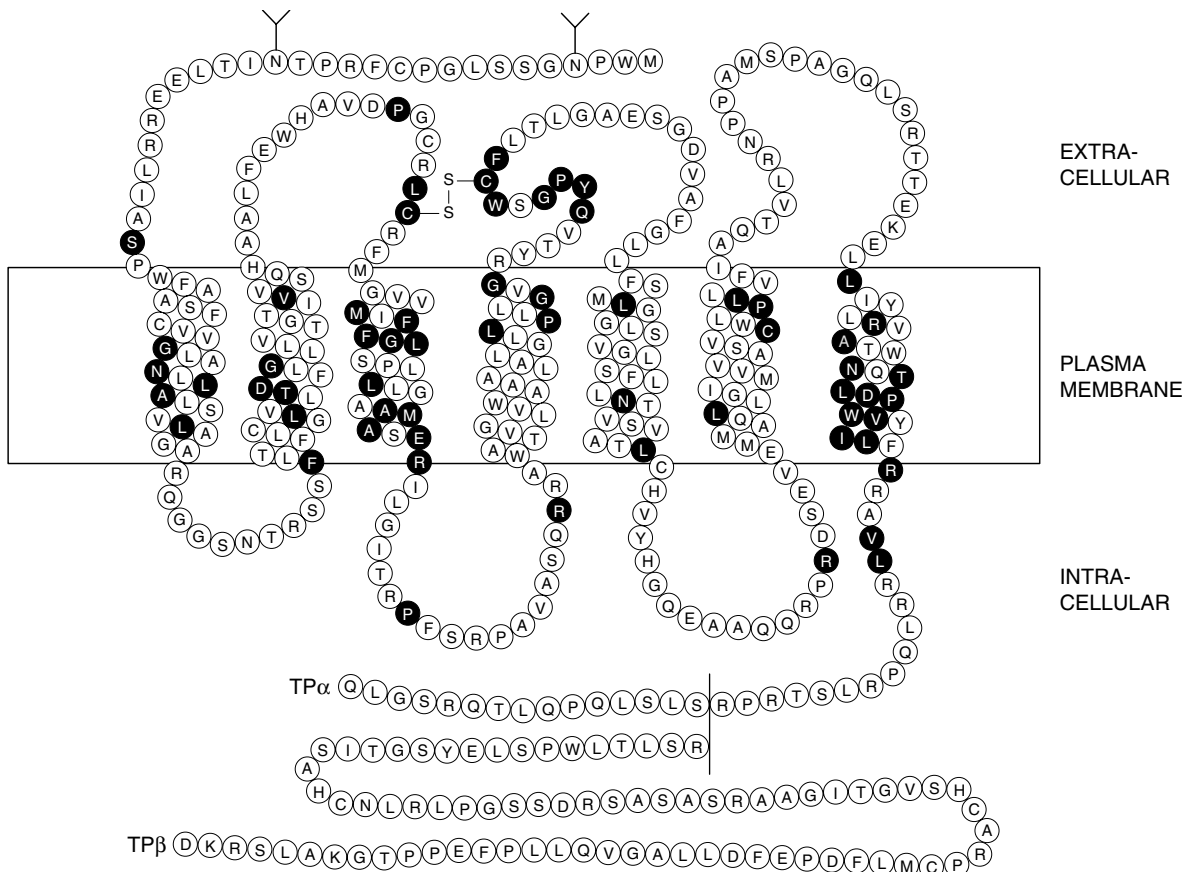


Fig. 10.4. Membrane topology model of the two human TP isoforms. Amino acid residues are indicated by single letter code. The two isoforms are different only at the level of the carboxy-terminal tail. Amino acid residues conserved by most of prostanoid receptors are shown by solid circles with white letters. N-glycosylation at Asn<sup>4</sup> and Asn<sup>16</sup> is indicated by the symbol Y, and putative disulfide bond between first and second extracellular loops is shown. Modified from Narumiya et al., 1999<sup>9</sup>.

**Table 10.2.** Signal transduction of human prostanoid receptors in overexpressing or not overexpressing systems

Type	Subtype	Isoform	G protein	Effector	Second messenger	
TP		TP $\alpha$	G <sub>q</sub> , G <sub>11</sub> , G <sub>14</sub> , G <sub>16</sub> , G <sub>12</sub> , G <sub>13</sub> , G <sub>h</sub> , G <sub>i</sub> ?, G <sub>s</sub> ?	PLC AC?	↑IP ↓cAMP? ↑cAMP?	
		TP $\beta$	G <sub>q</sub> , G <sub>i</sub> ?	PLC, AC?	↑IP ↓cAMP?	
IP			G <sub>s</sub> , G <sub>q</sub> ?	AC, PLC	↑cAMP, ↑IP	
EP	EP1		Unidentified		↑Ca <sup>2+</sup>	
	EP2		G <sub>s</sub>	AC	↑cAMP	
	EP3	EP3-I		G <sub>p</sub> , G <sub>q</sub>	AC, PLC	↓cAMP, ↑IP
		EP3-II		G <sub>p</sub> , G <sub>s</sub> ?, G <sub>q</sub>	AC, PLC	↑cAMP? ↓cAMP ↑IP, ↑Ca <sup>2+</sup>
		EP3-III		G <sub>i</sub> , G <sub>q</sub>	AC, PLC	↓cAMP ↑Ca <sup>2+</sup>
		EP3-IV		G <sub>q</sub> , G <sub>p</sub> , G <sub>s</sub> ?	AC	↓cAMP, ↑cAMP? ↑Ca <sup>2+</sup>
	EP4		G <sub>s</sub>	AC	↑cAMP	
DP			G <sub>s</sub>	AC	↑cAMP	

**Notes:**

See text for further details. IP: inositol phosphate; cAMP: cyclic AMP; AC: adenylate cyclase; ↓: decrease; ↑: increase; ? indicates either conflicting data reported by different groups, or an absence of data.

**Signal transduction**

Stimulation of platelets with TP agonists causes shape change, aggregation, and secretion of granule contents. These phenomena are accompanied by an increase in intracellular calcium, activation of PLC with consequent release of inositol phosphates and diacylglycerol, activation of PKC and phosphorylation of pleckstrin (also called P47), stimulation of myosin light chain kinase (MLCK) and phosphorylation of MLC, activation of phospholipase A<sub>2</sub> (PLA<sub>2</sub>), and exposure of GPIIb/IIIa binding sites<sup>3</sup>. It is worth noting that while shape change and the increase in intracellular calcium occur independently of other platelet agonists, ADP and epinephrine are important for the occurrence of platelet aggregation following stimulation with TxA<sub>2</sub> analogues<sup>42</sup>.

The effects of TxA<sub>2</sub> are mediated through the activation of pertussis toxin-insensitive G protein(s) (Table 10.2). Several studies have demonstrated coupling of platelet TxA<sub>2</sub> receptors with members of the G $\alpha_q$  family<sup>43–45</sup>: this

may result in PLC $\beta$  activation and phosphatidyl inositol metabolism<sup>46</sup>.

The platelet TxA<sub>2</sub> receptor also copurifies with an unidentified G protein of 85 kDa<sup>44,47</sup> that could represent the newly described G protein, G $\alpha_h$ . We have shown that TP $\alpha$  can activate PLC in transfected cells not only through G $\alpha_q$ , but also through G $\alpha_h$ <sup>48</sup>. Arg<sup>60</sup> in the first intracellular loop is important in mediating activation of PLC $\beta$  by both TP $\alpha$  and TP $\beta$ . It is worth noting that an arginine or another basic amino acid is present in the first intracellular loop of all of the prostanoid receptors at analogous positions<sup>9</sup>. Mutation of Arg<sup>60</sup> impairs also adenylate cyclase stimulation by TP $\alpha$ , but not adenylate cyclase inhibition by TP $\beta$ . These findings suggest that adenylate cyclase inhibition might be involved in some of the TxA<sub>2</sub>-induced platelet responses, such as shape change and activation of PLA<sub>2</sub>, which are normal in patients carrying an Arg<sup>60</sup> to Leu mutation<sup>35</sup>. On the contrary, platelets from patients with this mutation do not aggregate in response to TxA<sub>2</sub> analogues, due to a defective activation of PLC<sup>35,49</sup>.

In transfected cells, TP $\alpha$  has been shown to mediate an increase in inositol phosphate when coexpressed not only with G $\alpha_q$ , but also with other members of the G $\alpha_q$  family, such as G $\alpha_{11}$ , G $\alpha_{14}$ , G $\alpha_{16}$  and its murine counterpart, G $\alpha_{15}$ <sup>46</sup>. The coupling of TP $\alpha$  with G $\alpha_{16}$  is particularly interesting, since the expression of this G protein is restricted to hematopoietic cells.

Platelet TPs also couple with G $\alpha_{12}$  and G $\alpha_{13}$ <sup>45</sup>. Coupling of the TP with these G proteins may be responsible for platelet alkalization<sup>50</sup>, which is one of the early events in platelet activation<sup>51</sup>. Another study<sup>20</sup> showed that the affinity status of TP $\alpha$  transfected into COS-7 cells can be altered by coexpression of G $\alpha_q$  and G $\alpha_{13}$ , but not G $\alpha_{12}$ , although G $\alpha_{12}$  was able to potentiate the effects of G $\alpha_{13}$ . These authors hypothesize that the high and low affinity TPs responsible for platelet shape change and aggregation, respectively, and identified by radioligand studies<sup>3,16</sup>, are not separate entities, and that the affinity status can be altered by coupling with different G proteins.

The coupling of TxA<sub>2</sub> receptor with G $\alpha_q$ , with consequent inhibition of adenylate cyclase, is controversial. Several studies could not detect coupling of TP with G $\alpha_q$ <sup>20,43,45,52</sup> while Ushikubi et al.<sup>53</sup> demonstrated reconstitution of platelet TP with G $\alpha_{12}$  in phospholipid vesicles and inhibition of iloprost-induced cyclic AMP increase in intact platelets.

Coupling of the platelet TP with G $\alpha_s$  and activation of adenylate cyclase has not been demonstrated in platelets, although homologous desensitization of the TP subtype linked to PLC is accompanied by an increased sensitivity of adenylate cyclase to stimuli<sup>54</sup> (see later). In addition, stimulation of TP $\alpha$  with relatively high doses of the agonist activates adenylate cyclase in transfected CHO cells, while TP $\beta$  inhibits this enzyme<sup>24</sup>.

Activation of adenylate cyclase by TP $\alpha$ , but not TP $\beta$ , has been demonstrated also in TP-null endothelial cells transfected with either TP $\alpha$  or TP $\beta$ . Stimulation of these cells and of wild type endothelial cells with the TP agonist, I-BOP, results in inhibition of angiogenesis, possibly mediated by increased apoptosis<sup>23</sup>. In addition, adenylate cyclase inhibitors and activators enhance and reduce, respectively, the apoptotic response induced by TP $\beta$  stimulation, while they do not affect TP $\alpha$  mediated apoptosis. Thus, no association between cyclic AMP changes and apoptosis could be established and it is suggested that stimulation of each TP isoform may inhibit angiogenesis and induce apoptosis *via* different downstream pathways<sup>23</sup>.

## Regulation

Since TxA<sub>2</sub> plays an amplifying role in platelet activation, one would expect that the response to this agonist would be tightly regulated. One of the mechanisms that regulates TxA<sub>2</sub> activity is the desensitization of the TP<sup>3,55</sup>. Initial uncoupling between receptor and G protein (desensitization) occurs with a  $t_{1/2}$  of approximately 2 min, while prolonged exposure to the agonist results in progressive loss of binding sites from the cell surface (down-regulation). Both homologous and heterologous desensitization in platelets has been described<sup>54</sup>. Desensitization of the platelet TP subtype which activates PLC is accompanied by an increased sensitivity of adenylate cyclase<sup>54</sup>, but not guanylate cyclase<sup>56</sup>, to stimuli. Sensitization of adenylate cyclase in TP desensitized platelets might be important in disease conditions associated with increased TxA<sub>2</sub> production, in which the TP is desensitized<sup>57</sup>. In these patients, TP desensitization and enhanced sensitivity of adenylate cyclase might serve as compensatory mechanisms to limit the occurrence of thrombotic events. In addition, adenylate cyclase sensitization in TP desensitized platelets might have pharmacological implications. We have demonstrated that Tx synthase inhibitors, which redirect arachidonic acid metabolism toward the synthesis of antiaggregatory PGD<sub>2</sub> in platelets<sup>5</sup>, have a more potent antiaggregatory activity in TP desensitized platelets than in control platelets<sup>58</sup>. This can be of relevance for the treatment of thrombotic disorders in which an *in vivo* desensitization of the platelet TP occurs.

In recent years, the mechanisms leading to desensitization and down-regulation of TP $\alpha$  and TP $\beta$  have been studied in overexpression systems.

The carboxy terminal tail of TP $\alpha$  and, especially, of TP $\beta$ , contains several serines and threonines that could be potential targets for phosphorylation. Phosphorylation is likely to be intrinsic to the process of desensitization, since it can lead to uncoupling with G proteins. Conflicting data have been reported concerning the role of PKC in TP desensitization. TP $\alpha$  and TP $\beta$ , when overexpressed in HEK293 cells, can be phosphorylated by exposure to the TP agonist, U46619, but neither PKC nor cyclic AMP-dependent protein kinase (also called protein kinase A, or PKA) seem to be responsible for this phosphorylation<sup>59</sup>. Nonetheless, both PKC and PKA are able to phosphorylate TP $\alpha$  and TP $\beta$  *in vitro*<sup>60</sup>. A study that used transfected CHO cells showed that both TP $\alpha$  and TP $\beta$  undergo homologous desensitization and that TP $\beta$ , but not TP $\alpha$ , could be desensitized by treatment of the cells with the PKC activator, PMA; activation of PKA did not have major effects on desensitization of either isoforms<sup>61</sup>. Thus, this study suggests that PKC-mediated



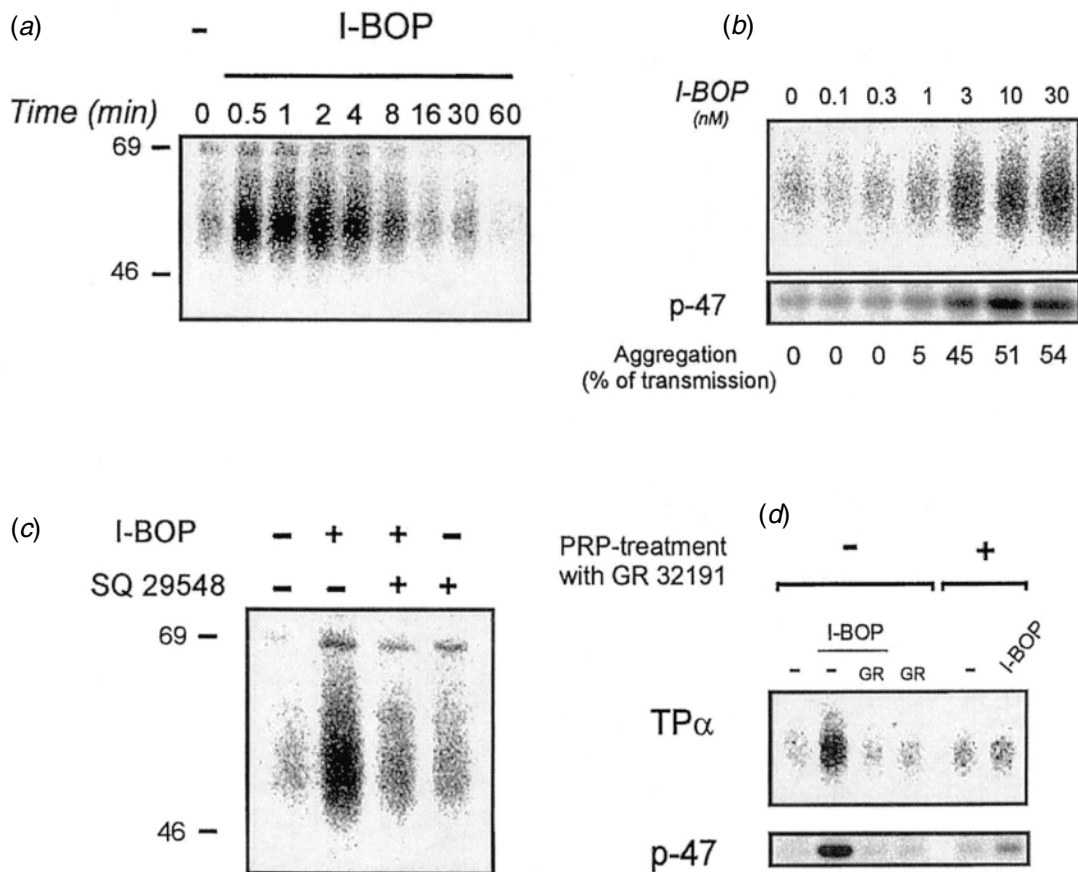


Fig. 10.5. I-BOP-induced TP $\alpha$  phosphorylation. [ $^{32}\text{P}$ ]-labelled platelets ( $0.4 \times 10^9/\text{ml}$ , 0.4 ml) were incubated under different conditions. Samples were immunoprecipitated using an anti TP $\alpha$  antibody and further subjected to SDS-PAGE. Radioactive signals were analysed using a Fuji imaging analyser. (a) Kinetic of TP $\alpha$  phosphorylation. Platelets were incubated with 10 nM I-BOP under nonstirring conditions for increasing periods of times. Results are representative of two experiments. (b) Dose-dependent phosphorylation of TP $\alpha$  was performed in the presence of I-BOP (0.1–30 nM). Corresponding aggregation is expressed as percentage of light transmission. Pleckstrin (or p-47) phosphorylation was identified after autoradiography of SDS-PAGE electrophoresis of 20  $\mu\text{l}$  of total platelet lysates. These results are representative of three similar experiments. (c) Platelets were incubated in the presence or absence of 10  $\mu\text{M}$  of SQ 29548 for 1 min at 37°C prior to the addition of 10 nM I-BOP for 2 min. Data are representative of 3–5 experiments. (d) Effect of blocking low affinity binding sites of TP on TP $\alpha$  phosphorylation using GR 32191. Platelet-rich plasma was treated in the presence or absence of 1  $\mu\text{M}$  of GR 32191 for 1 h at room temperature prior to platelet washing and labelling. Washed platelets were further incubated with 10 nM I-BOP. Platelets derived from platelet-rich plasma untreated with GR 32191 were further incubated in the presence or absence of 0.1  $\mu\text{M}$  GR 32191 prior to the addition of I-BOP. P-47 phosphorylation was performed as described for (b). These data are representative of two similar experiments.

Reproduced from Habib et al., 1999<sup>25</sup>.

phosphorylation plays a role in TP $\beta$ , but not TP $\alpha$ , desensitization. On the contrary, PKA activation following IP stimulation causes phosphorylation of TP $\alpha$ , but not TP $\beta$ , at Ser<sup>329</sup>; this phosphorylation is accompanied by inhibition of TP $\alpha$  signalling<sup>62</sup>. Mutagenic analysis of the mouse TP revealed that PKC-mediated phosphorylation of serines located in the C-terminal tail (Ser<sup>321</sup>, Ser<sup>322</sup>, and Ser<sup>328</sup>) is important in causing desensitization of this receptor, although the same

studies suggest that PKC-induced desensitization of TP is complex and might involve mechanisms distinct from direct phosphorylation of the receptor protein<sup>31,63</sup>. TP phosphorylation has also been studied in human platelets, where only TP $\alpha$  could be detected by isoform-specific antibodies<sup>25</sup> (Fig. 10.5). TP $\alpha$  is phosphorylated in platelets following stimulation with TP agonists, arachidonic acid, collagen, thrombin, and calcium ionophore. Both homologous and

heterologous phosphorylation can be blocked by PKC inhibitors<sup>25</sup>, again suggesting the importance of PKC-mediated phosphorylation in TP regulation, at least in some experimental conditions. It is worth noting that the platelet TP is phosphorylated mainly in a PKC-dependent manner<sup>25</sup>, while the same receptor isoform (TP $\alpha$ ), when overexpressed in HEK293 cells, is phosphorylated independently of this enzyme<sup>59</sup>. This raises the possibility that heterologous expression systems may not accurately mimic the regulation of receptors in their native milieu.

Cyclic GMP-dependent protein kinase (PKG) is able to phosphorylate TP $\alpha$  and TP $\beta$ , independent of receptor activation. This phosphorylation, which occurs in the C terminal tail, might be important in inhibiting TP-mediated signal transduction and might explain the inhibitory activity of nitric oxide, which activates PKG, on platelet activation<sup>64</sup>.

While phosphorylation plays a role in desensitization, down-regulation and loss of binding sites from the cell surface occurs through internalization of the receptor, following desensitization. It has been recently shown that TP $\alpha$  does not internalize, while TP $\beta$  does, with a plateau of ~40% after ~2–3 hours of stimulation ( $t_{1/2}$  ~45 min). Agonist-induced internalization of TP $\beta$  might be the consequence of G protein coupled receptor kinase (GRK)-mediated phosphorylation (although this remains to be established), that might occur in the sequence between amino acids 355 and 362. Phosphorylation would increase the affinity for arrestin binding, thereby targeting the receptor for clathrin-coated pit-mediated endocytosis<sup>65</sup>.

Another mechanism by which TPs can be regulated is at the level of gene expression. This regulation might occur in megakaryocytes, leading to production of platelets with an increased number of TPs, and also in platelets themselves. In fact, although anucleate, platelets are capable of regulated protein synthesis. It has been shown that platelets contain mRNA for Bcl-3, a member of the I $\kappa$ B- $\alpha$  family of regulatory proteins. Upon stimulation with thrombin, the message for Bcl-3 is translated and Bcl-3 protein accumulates over time. Bcl-3 synthesis in platelets is abolished by treatment with inhibitors of translation, but not with inhibitors of transcription, indicating that the Bcl-3 mRNA serves as a template for protein synthesis. Other proteins beside Bcl-3 can be synthesized upon platelet stimulation, and engagement of GPIIb/IIIa is important for initiating transcriptional events<sup>66</sup>.

Although the mechanism is not elucidated, the PKC activator, PMA (see above and<sup>60,67</sup>) and testosterone<sup>68</sup> induce TP expression in HEL cells, a megakaryocyte-like cell line. Testosterone also increases human platelet TP density and the aggregatory response to TP agonists *in*

*vivo*<sup>3,69</sup>. Testosterone has been suggested as a risk factor of thrombotic disease in young athletes who abuse anabolic steroids<sup>70</sup>.

TP antagonists have been developed and used in clinical trials for the prevention of restenosis following percutaneous transluminal coronary angioplasty (PTCA). The tested compounds did not show a significant advantage when compared to aspirin. However, the trial design included periprocedural aspirin, which suppresses the increment in PGI<sub>2</sub> biosynthesis induced by angioplasty<sup>71</sup>. Studies in mice suggest that this is of functional importance in limiting the response to injury<sup>72</sup> and preservation of PGI<sub>2</sub> biosynthesis is a potentially critical discriminant between TP antagonists and aspirin. Given the new knowledge on TPs, COX-1, and COX-2<sup>73</sup>, the efficacy of TP antagonists in retarding atherogenesis<sup>74</sup>, and the existence of more advanced imaging systems, it seems worthwhile to reconsider the utility of TP antagonists in cardiovascular disease.

## Prostacyclin receptor (IP)

### Structure

The IP is expressed by platelets, megakaryocytes, smooth muscle cells and tissues such as aorta, heart, lung, and kidney<sup>75–77</sup>. PGI<sub>2</sub> mediates inhibition of platelet aggregation and smooth muscle cell relaxation. Thus, PGI<sub>2</sub> antagonizes the actions of TxA<sub>2</sub><sup>9</sup> and an imbalance of these prostanoids is thought to be involved in a variety of cardiovascular disorders, such as cerebral thrombosis and ischemic heart disease<sup>78</sup>.

The human IP has been cloned from a lung cDNA library<sup>75,76</sup> and a megakaryocytic leukemia cell line cDNA library<sup>79</sup>. It encodes a protein of 386 amino acids (Fig. 10.2) with an estimated molecular weight of 41 kDa. The IP appears to be glycosylated<sup>80</sup> and isoprenylated<sup>81</sup>. *N*-linked glycosylation at Asn<sup>7</sup> and especially at Asn<sup>78</sup> is important for proper membrane localization, ligand binding properties, and signal transduction of the IP as demonstrated by mutagenesis experiments<sup>82</sup>.

In some studies, the cloned IP displays both a high and a low affinity binding of the ligand, [<sup>3</sup>H]-iloprost<sup>76,80</sup>, although the reason for this is presently unknown. Using <sup>3</sup>H-PGE<sub>1</sub> as a ligand for the IP, a low and a high affinity binding site have been detected also in human platelets<sup>83</sup>.

Similar to the gene for the TP and DP, the gene for the human IP contains three exons separated by two introns. The first intron occurs in the 5' untranslated region and the second at the end of the sixth transmembrane domain<sup>84</sup>.

The IP gene spans over 7 kilobases and is located on chromosome 19<sup>84</sup>.

IP expression in megakaryocytes and in megakaryocytic cell lines is increased upon treatment with phorbol ester (which is known to induce megakaryocytic maturation), thrombopoietin (which is a potent promoter of megakaryocytopoiesis), and cytokines involved in megakaryocyte maturation or proliferation, such as interleukin-3, interleukin-6, and granulocyte-macrophage colony-stimulation factor (GM-CSF)<sup>77</sup>. Also proinflammatory cytokines, such as interleukin-1 and tumour necrosis factor- $\alpha$  upregulate IP expression, suggesting regulation of the IP gene during megakaryocyte maturation and differentiation and in inflammatory states<sup>77</sup>.

The importance of PGI<sub>2</sub> as an antithrombotic agent has been demonstrated by the targeted disruption of the IP gene in mice<sup>85</sup>. These mice are viable, fertile, normotensive, and their heart rate, blood pressure and bleeding are normal under basal conditions, indicating that PGI<sub>2</sub> is not involved in the regulation of these parameters under normal circumstances. However, IP knock-out mice have an increased susceptibility to thrombosis and their response to the inflammatory stimulus, carragenan, is reduced to that observed in indomethacin-treated wild-type mice<sup>85</sup>.

### Signal transduction

Several studies have demonstrated coupling of the IP with adenylyl cyclase *via* G $\alpha_s$ , with a consequent increase of intracellular cyclic AMP levels<sup>1</sup> (Table 10.2). The increase in cyclic AMP is sufficient to inhibit platelet aggregation induced by all of the platelet agonists. Although an increase of cyclic AMP above the basal level inhibits platelet aggregation, a decrease in cyclic AMP does not favour aggregation. This has been demonstrated by using adenylyl cyclase inhibitors: these compounds are not able to induce platelet aggregation, nor to potentiate aggregation induced by subthreshold concentrations of different agonists<sup>86</sup>. The increase in cyclic AMP leads to activation of PKA and phosphorylation of different substrates<sup>86</sup>. It has been recently shown that activation of platelet PKA by PGI<sub>2</sub> leads to phosphorylation of a G protein associated with the TxA<sub>2</sub> receptor, G $\alpha_{13}$ . This raises the possibility that G $\alpha_{13}$  phosphorylation may be one of the mechanisms by which cyclic AMP inhibits TxA<sub>2</sub>-induced platelet activation<sup>87</sup>.

In addition to increasing cyclic AMP, the recombinant and the native IP also increase inositol phosphate production and intracellular calcium levels in certain cell types, but not in platelets<sup>80,81,88,89</sup>. This response appears to be mediated through activation of PLC via a member of the

Gq family, since it is cholera and pertussis toxin insensitive<sup>26</sup> (Table 10.2). The EC<sub>50</sub>s for cyclic AMP and inositol phosphate production in HEK293 cells stably transfected with the IP are 0.1 and 43 nM, respectively<sup>80</sup>, although this disparity in the EC<sub>50</sub> values is not evident in cells that express the receptor endogenously<sup>88,89</sup>.

### Regulation

The IP undergoes homologous desensitization followed by down-regulation. In human platelets, loss of binding sites is due to internalization of the receptor and is time and concentration dependent<sup>90</sup>. Platelets with internalized IPs are less responsive to the antiaggregatory effect of PGI<sub>2</sub><sup>90</sup>, although the internalized receptors are not degraded and can recycle to the platelet surface within 3 hours<sup>91</sup>. Desensitization of the IP might be due to phosphorylation, although contrasting data have been reported in fibroblasts endogenously expressing the IP, and in transfected cells. Exposure of IP-transfected cells to a PGI<sub>2</sub> analogue results in a rapid, PKC-dependent, receptor phosphorylation, which is maximal within 5 minutes<sup>80</sup>. Ser<sup>328</sup> in the carboxy-terminal tail appears to be the locus of PKC-mediated phosphorylation<sup>92</sup>, and phosphorylation correlates with receptor desensitization. An IP mutant in which Ser<sup>328</sup> is replaced by alanine does not undergo phosphorylation and is not desensitized by prior exposure of the cells to the PGI<sub>2</sub> analogue, iloprost<sup>92</sup>. It is interesting to note that the S<sup>328</sup>A mutant couples normally to adenylyl cyclase, but not to PLC<sup>92</sup>. While PKC-mediated phosphorylation is important for IP desensitization in an overexpression system, this enzyme does not play a role in IP desensitization in fibroblasts naturally expressing the IP<sup>93</sup>. In addition, the time course of receptor desensitization in fibroblasts is much slower (1–7 hours) than in overexpressing cells and correlates with receptor internalization. Removal of the agonist after treatment of fibroblasts with iloprost for 6 hours allows recycling of the receptor to the cell surface, while more prolonged stimulation of the IP (16 hours) causes receptor degradation and *de novo* synthesis becomes a prerequisite of resensitization<sup>93</sup>. IP internalization following desensitization has been studied also in overexpressing cells (Fig. 10.6, see colour plate): contrary to desensitization, internalization is independent of PKC in this cellular system, and proceeds in part via a dynamin-dependent clathrin-coated vesicular endocytotic pathway<sup>94</sup>.

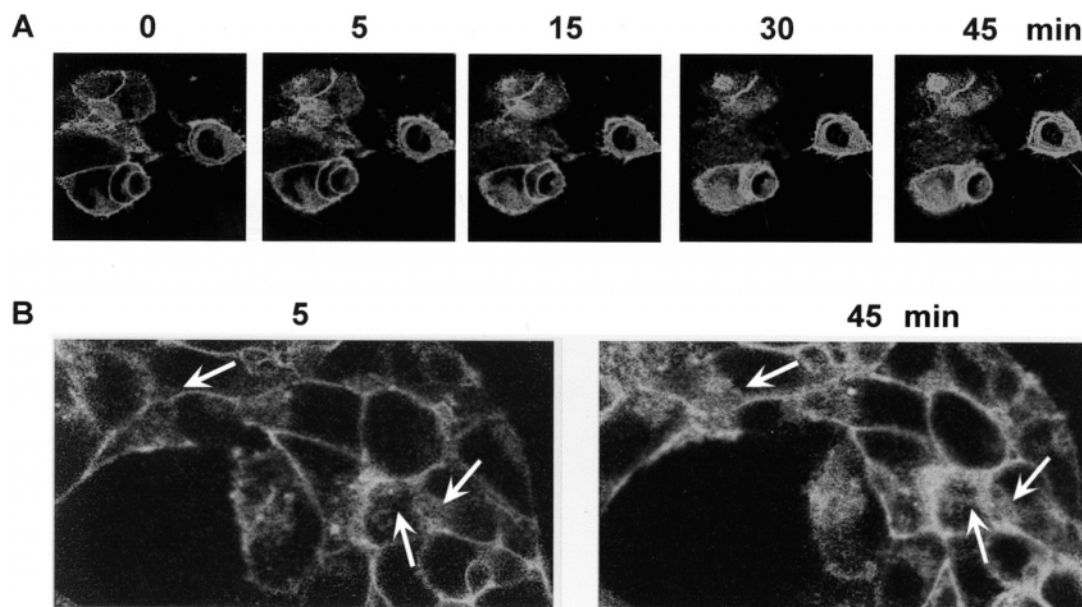


Fig. 10.6 (see also colour plate). Confocal imaging of hemagglutinine (HA) tagged human IP fused to green fluorescent protein (GFP) (HAhIP-GFP) cells in real time. (a) HAhIP-GFP cells were treated with 1  $\mu$ M iloprost and images acquired at the indicated times. (b) HAhIP-GFP (green) cells were preloaded with rhodamine-conjugated transferrin (red) and images acquired at 5 and 45 min after treatment with 1  $\mu$ M iloprost. Areas of co-localization (yellow) are indicated by the arrows. Data are from one experiment that was repeated with similar results. Reproduced from Smyth et al., 2000<sup>94</sup>.

## Prostaglandin E<sub>2</sub> receptors (EPs)

### Structure

Receptors for PGE<sub>2</sub> are differentially expressed. Among the EPs, EP3 and EP4 are the most widely expressed, and their mRNAs have been found in almost all tissues examined. In contrast, EP1 expression is restricted to several organs, such as kidney, lung, and stomach. The EP2 is the least abundant, although its expression can be up-regulated<sup>1,9</sup>.

The four EPs, EP1, EP2, EP3, and EP4, have been cloned from different species, including humans<sup>9</sup> (Fig. 10.2). The EP3 has been cloned from the erythroleukemia cell line, HEL<sup>95</sup>, supporting earlier pharmacological studies that indicated that the platelet EP belongs to the EP3 subtype<sup>96</sup>. Splice variants of the EP3 have been identified in several species, including humans<sup>97–99</sup> (Fig. 10.7). EP3-Ia and EP3-Ib differ only in their 3' untranslated region and thus have identical open reading frames<sup>97</sup>. RT-PCR analysis showed that human platelets and HEL cells express several subtypes of the EP3, such as EP3-Ib, EP3-II, EP3-III, and EP3-IV, but not EP3-VI. In addition, human platelets express the EP4, but not the EP2 (both these isoforms are coupled to stimulation of adenylate cyclase), in contrast to HEL cells, that express EP2 but not EP4<sup>100</sup>. The expression of the EP1

EP3-I	IRYHTNNYASSSTSLPCQCSSTLMWSDHLER
EP3-II	VANAVSSCSNDGQKGQPISLSNEIIQTEA
EP3-III	EEFWGN
EP3-IV	MRKRRLREQEEFWGN

Fig. 10.7. Sequence of the carboxy terminal tails of the four splice variants of the human EP3 subtype according to Kotani et al., 1995<sup>97</sup>.

was not assessed in this study. Mouse platelets express the EP3 and low levels of the EP2 and the EP4, while they do not express the EP1<sup>101</sup>.

The different isoforms of the human EP3 derive from alternative splicing of the same gene<sup>102</sup>. The structure of the EP3 gene is very complex. It spans over more than 80 kilobases and is composed of 10 exons separated by 9 introns. Splicing occurs at the level of the carboxy terminal tail, which derives from the last portion of exon 2 or combinations of exons 3–10<sup>102</sup>. The EP3 isoforms are identical for the first 359 amino acids, while the C tail varies in length<sup>97,98</sup> (Fig. 10.2 and Fig. 10.7).

Mutagenic analysis of the second extracellular loop of the rabbit EP3 showed that this loop plays an important role in receptor–ligand interactions, but not in the signaling properties of the receptor<sup>103</sup>. The same study shows that mutation of Cys<sup>204</sup> (which is analogous to Cys<sup>183</sup> in the

TP) does not cause any change in receptor binding or signalling properties: thus, a putative bridge involving Cys<sup>204</sup> does not seem to be important in the EP3, contrary to the situation for the TP<sup>27</sup>.

Mice lacking the EP3 receptor have been recently generated<sup>104</sup>. These animals have an impaired febrile response, i.e. do not develop fever in response to endogenous (PGE<sub>2</sub>) or exogenous (lipopolysaccharide or interleukin-1 $\beta$ ) pyrogens<sup>104</sup>. In addition, EP3<sup>-/-</sup> mice are resistant to thrombosis induced by systemic<sup>101</sup> or local<sup>8</sup> administration of arachidonic acid, although contrasting data have been reported concerning the bleeding time<sup>8,101</sup>. These results show that PGE<sub>2</sub> *via* the EP3 plays a key role in hemostasis and acute thromboembolism, at least in those models in which thrombus formation is mediated by prostanoids<sup>101</sup>. Thus, PGE<sub>2</sub> may be generated *in vivo* at concentrations that are in the proaggregatory range (see later). Low levels of PGE<sub>2</sub> appear to be necessary to augment the hemostatic effect of TxA<sub>2</sub>, which is known to be important in hemostasis and thromboembolism *in vivo*<sup>38</sup>.

### Signal transduction

PGE<sub>2</sub> has a biphasic effect on human platelets. Low concentrations of PGE<sub>2</sub> (5–500 nM), although not able to induce platelet aggregation in themselves, potentiate aggregation induced by subthreshold concentrations of different agonists (collagen, ADP, thrombin, thromboxane analogues); higher and supra-physiological concentrations of PGE<sub>2</sub> (50–100  $\mu$ M) inhibit platelet aggregation<sup>7,8,105</sup>.

The antiaggregatory effect of high doses of PGE<sub>2</sub> (>50  $\mu$ M) is due to increased cyclic AMP levels and is mainly the consequence of cross-activation of the IP<sup>8</sup>. A small contribution of the Gs-coupled EP2 and EP4 receptors in the antiaggregatory activity of PGE<sub>2</sub> has also been suggested<sup>101</sup>.

The molecular mechanisms explaining the proaggregatory effect of low doses of PGE<sub>2</sub> are less clear. For example, it has been shown that PGE<sub>2</sub> induces an increase in calcium movements in human<sup>106</sup> and mouse platelets<sup>101</sup>, although a calcium signal might be the consequence of cross activation of the TP<sup>8</sup>. We have demonstrated that proaggregatory doses of PGE<sub>2</sub> have a priming effect on PKC in human platelets<sup>7</sup>. Studies on human<sup>107</sup> and mouse platelets<sup>8</sup> have shown inhibition of adenylate cyclase by proaggregatory doses of PGE<sub>2</sub> (see later). Others have shown that, in human platelets, PGE<sub>2</sub> can either stimulate or inhibit adenylate cyclase; this may be due to the stimulation of EP4 and EP3, respectively. PGE<sub>2</sub> would bind to EP3 with higher affinity than to EP4 and, as a consequence, the overall effect would be an inhibition of adenylate cyclase<sup>108</sup>. Also the EP3 cloned from HEL and expressed in

COS-1 cells has been shown to inhibit adenylate cyclase<sup>95</sup>. However, the results of this study are confounded by the presence of endogenous EPs in COS-1 cells that activate adenylate cyclase. In HEL cells, PGE<sub>2</sub> also causes an increase of intracellular calcium and, in turn, activation of phospholipase D, although it is not clear which EP is responsible for this effect<sup>109</sup>.

Recent studies using platelets from mice deficient in EP1, EP2, EP3, or EP4 have clarified that the EP3 is the subtype responsible for the proaggregatory activity of low concentrations of PGE<sub>2</sub><sup>8,101</sup>. The proaggregatory effect of PGE<sub>2</sub> (100 nM) is observed in EP1<sup>-/-</sup>, EP2<sup>-/-</sup> and in EP4<sup>-/-</sup> platelets, but not in EP3<sup>-/-</sup> platelets. The study of Fabre et al. suggests that PGE<sub>2</sub> potentiates platelet aggregation in mice by decreasing cyclic AMP levels, previously increased by antiaggregatory mediators, such as adenosine<sup>8</sup>.

*In vitro* studies have shown that different EP3 isoforms differ in their ability to inhibit adenylate cyclase (Table 10.2). For example, the mouse EP3 $\alpha$  has a marked constitutive activity, i.e. is able to activate G $\alpha_i$  and, in turn, inhibit adenylate cyclase, even in the absence of agonists, while EP3 $\beta$  does not display constitutive activity<sup>110</sup>. The level of complexity is increased even further in the bovine EP3. Four alternative splice variants of the bovine EP3 have been cloned, and termed EP3A, EP3B, EP3C, and EP3D. EP3A and EP3D, as shown for EP3 isoforms from different species, inhibit adenylate cyclase through G $\alpha_i$ . Paradoxically, EP3D also activates adenylate cyclase through G $\alpha_s$  and activates PLC through a pertussis-insensitive G protein. Although EP3A also activates PLC, this most likely occurs *via* the  $\beta\gamma$  subunit of G $\alpha_i$ , since this effect is pertussis toxin sensitive. EP3B and EP3C only activate adenylate cyclase by coupling with G $\alpha_s$ <sup>111</sup>. The human EP3-I inhibits adenylate cyclase and stimulates phosphoinositide turnover; EP3-II is functionally identical to the bovine EP3D, since it couples with Gi, Gs, and Gq; EP3-III inhibits adenylate cyclase; and EP3-IV is able to both inhibit and stimulate adenylate cyclase<sup>97</sup>, although stimulation of adenylate cyclase by any of the EP3 isoforms has not been observed by another group<sup>112</sup>. EP3-II, EP3-III, and EP3-IV have also been shown to increase intracellular calcium in a pertussis-toxin insensitive manner<sup>112</sup> (Table 10.2). The human EP3-III and EP3-IV show constitutive activity toward inhibition of adenylate cyclase, while EP3-I and EP3-II inhibit adenylate cyclase only in an agonist-dependent manner<sup>113</sup>.

### Regulation

Desensitization and down-regulation of EP3 isoforms have been studied following overexpression of the mouse EP3 $\alpha$

and EP3 $\beta$  in CHO cells. Exposure of EP3 $\alpha$  overexpressing cells to PGE<sub>2</sub> causes desensitization and downregulation of the receptor. Long-term exposure of the cells to PGE<sub>2</sub> causes internalization of EP3 $\alpha$  and loss of the receptor from the cell surface: 50% of the binding sites are lost after 5 h, while up to 80% of the binding sites are lost within 24 h. In sharp contrast, EP3 $\beta$  does not undergo desensitization nor down-regulation. The difference between the ability of EP3 $\alpha$  and EP3 $\beta$  to undergo regulation might be due to the different amino acid composition and hydrophobicity of their carboxy terminal tails. The C tail of EP3 $\beta$  may be incorporated into the membrane, due to its high hydrophobicity, while that of EP3 $\alpha$  is likely to be cytoplasmic, more accessible to receptor kinases and, as a consequence, phosphorylation and desensitization. In addition, the higher number of serines and threonines and the presence of one tyrosine residue in the C tail of EP3 $\alpha$ , but not of EP3 $\beta$ , can contribute to the differential regulation of these two EP3 isoforms<sup>114</sup>. A differential pattern of desensitization has been observed also for the human EP3 isoforms. EP3-II down-regulation occurs within 3 hours and persists up to 12 hours, while isoforms EP3-III and EP3-IV undergo a more rapid down-regulation (within ½ hour) which reverts to control level by 3 hours<sup>112</sup>.

## Prostaglandin D<sub>2</sub> receptor (DP)

### Structure

The DP is, among the prostanoid receptors, the most restricted in its expression. It is present on human, but not mouse platelets<sup>101</sup>, neutrophils, non-chromaffin cells from adrenal medulla, smooth muscle cells from several tissues, and nervous tissue. The DP is usually expressed in association with other prostanoid receptors and PGD<sub>2</sub> itself behaves as an agonist toward the TP and the FP. Mouse<sup>115</sup> and human<sup>116</sup> DP have been recently cloned. Northern blot analysis has shown that DP expression is restricted to few tissues. In mice, the DP is expressed in ileum and very weakly in lung, stomach and uterus<sup>115</sup>, while in humans the DP is expressed in retina and in the small intestine<sup>116</sup>. The human DP consists of 359 amino acids (Fig. 10.2) with a calculated molecular mass of 40 kDa. There are three potential glycosylation sites in the N-terminus and in the first and third extracellular loops, potential PKC phosphorylation sites in the first and second cytoplasmic loops, and other potential phosphorylation sites in the C-terminal tail<sup>115,116</sup>. The DP gene contains an open reading frame consisting of two exons separated by an intron at a position homologous to that of the TP<sup>115,116</sup>.

A DP-deficient mouse has been recently described<sup>117</sup>. Although no platelet abnormalities have been reported, it is interesting to note here that DP<sup>-/-</sup> mice are less susceptible to allergic asthma. Ovalbumin challenge in wild-type mice results in increased acetylcholine sensitivity, lymphocyte accumulation and increased concentration of T<sub>H</sub>2 cytokines in the lungs. These responses were significantly lower in DP<sup>-/-</sup> mice, indicating that DP deficiency is manifested locally at the site of challenge<sup>117</sup>.

### Signal transduction

PGD<sub>2</sub> inhibits platelet aggregation in a species-specific manner, since human platelets, but not platelets from most laboratory animals, respond to PGD<sub>2</sub>. PGD<sub>2</sub> also causes vasodilation and is important in the central nervous system, where it has been shown to induce sleep, hyperalgesia, regulation of body temperature and odor responses<sup>1,9,118</sup>. PGD<sub>2</sub> is abundantly released by mast cells, and plays a role during immunologic reactions.

The DP activates adenylate cyclase through G<sub>s</sub> (Table 10.2), and the consequent increase of cyclic AMP is responsible for inhibition of platelet aggregation<sup>1</sup>. Although the cloned DP expressed in HEK293 cells can induce an increase of intracellular calcium upon stimulation, this response is not due to PLC activation, since no increase of inositol phosphates could be detected<sup>115,116</sup>. Rather, it appears that the calcium increase might be a consequence of the increased cyclic AMP, although the mechanism is presently unknown<sup>116</sup>.

### Regulation

DP regulation has not been studied in great detail. Although one could imagine that this receptor, like other GPCRs, undergoes desensitization and down-regulation, and that phosphorylation might play a role in receptor regulation, these events have not been studied in DP-expressing cells. There is one report that indirectly demonstrates regulation of the DP by phosphorylation and dephosphorylation. Binding of <sup>3</sup>H-PGD<sub>2</sub> to membranes of the mastocytoma cell line, P-815, is increased upon treatment with phosphatase inhibitors (NaF and molybdate) and decreased upon treatment with phosphatases. In addition, the binding activity could be partially restored by treatment with molybdate<sup>119</sup>, suggesting that reversible phosphorylation of the receptor might occur as a result of the action of unidentified protein kinase(s) and phosphatase(s) present in the membranes.

## Summary

Prostaglandins play important roles in platelet pathophysiology.  $\text{TxA}_2$  is a potent platelet activating agent, while  $\text{PGI}_2$  and  $\text{PGD}_2$  are antiaggregatory.  $\text{PGE}_2$  does not aggregate platelets by itself, but potentiates aggregation induced by other stimuli, while  $\text{PGF}_{2\alpha}$  does not have any effect on platelet function, at least at physiological concentrations. It seems likely that the diverse actions of the different prostanoids are important in platelet homeostasis. Receptors specific for each prostanoid have been cloned and splice variants for the TP and the EP have been found. The functions of these receptors have been highlighted by the generation of knock out and transgenic mice.

Understanding prostanoid receptor function and regulation might result in improvement of therapeutic strategies to regulate platelet activation.

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## Platelet receptors: collagen

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### Introduction

Platelet–collagen interaction is fundamental to both normal haemostasis and pathological thrombotic incidents. In recent years the complexity of platelet collagen receptors and their interplay has become better understood. However, further work is needed before the specific recognition sequences in collagens for each receptor are identified and the events leading from initial contact to primary adhesion and full-blown platelet activation become mapped. To achieve this will require knowledge of the relevant collagen receptors themselves, the signalling pathways they activate and the vascular collagens with which they interact. It is also becoming clear that accessory molecules on the platelet surface, together with adhesive proteins that bind both collagen and the platelet, can each modulate the interaction without being direct collagen receptors themselves. Finally, we envisage that the importance of employing experimental conditions which more accurately reflect the platelet–collagen interaction *in vivo* will become more widely recognised. Thus, the presentation of collagen in triple-helical conformation, as polymeric fibres rather than monomers, immobilized on a surface rather than in suspension, and finally under shear conditions which represent blood flow, will have a strong impact on the final understanding of collagen as an adhesive substrate and a platelet agonist. We aim here to pool current awareness of the various aspects of the platelet–collagen interaction introduced above. For recent reviews, see<sup>1–8,\*1,\*2</sup>.

Early work, using tissue extracts in turbidimetric aggre-gometry, established the importance of collagen as a platelet agonist<sup>9–11</sup>. This method provides limited insight into the different adhesive and activatory properties of collagen, as it measures solely the upregulation of the fibrinogen receptor,  $\alpha\text{IIb}\beta_3$ , to which secondary stimuli

contribute together with primary signals from collagen. However, such studies demonstrated that both triple-helical conformation and higher-order structure in collagens are necessary to support platelet aggregation<sup>12–15</sup>. Subsequent work, using platelet adhesion to immobilized cyanogen bromide fragments of collagens I and III, located adhesive and activatory sites at several different positions within the tropocollagen molecule, and established a set of recognition sites essential for primary platelet adhesion<sup>16–19</sup>. From this basis, candidate sequences were identified and triple-helical peptides synthesized<sup>20–22</sup> and used to locate the site of interaction in collagen with the first collagen receptors identified, such as  $\alpha_2\beta_1$ <sup>23–28</sup>. To date, little equivalent information is available for the other well-characterized platelet collagen receptors, CD36 and Glycoprotein (Gp) VI (see pp. 162–163).

The future elucidation of the detail of the platelet–collagen interaction will involve peptide-binding studies, purification and cloning of receptors, knockout technology and understanding how the several receptors coordinate platelet adhesion and signalling.

### Collagens of the vascular system

Nine of the different human collagens identified so far<sup>21–\*3</sup>, types I, III–VI, VIII and XII–XIV, have been located in the vasculature, where the fibrillar collagens I, III and V are the major species, and the network-forming collagen type IV occurs in the subendothelial basement membrane<sup>29,30</sup>. Collagens form 20–40% of total protein in aorta and other arteries<sup>31</sup>. Furthermore, a developing atherosclerotic plaque becomes enriched in both type I and type III collagens<sup>32</sup>. Vessel wall collagens provide an important primary stimulus for platelet adhesion and activation upon exposure to blood flow by injury or disease. Although collagens I and III are revealed mainly when injury reaches the media

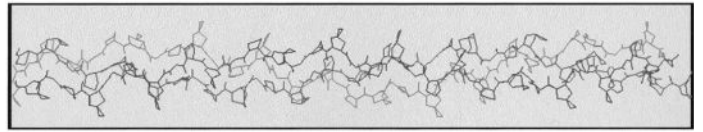
or adventitia, in some vessels they also occur in the sub-endothelium<sup>33,34</sup>. Not surprisingly, these collagens, including type IV, are reported to have the highest platelet reactivity (although XIII and XIV have yet to be evaluated), each able to induce adhesion and aggregation under both static and flow conditions<sup>1,35,36</sup>. In contrast, collagen V, which is important in the organisation of composite fibres with other collagens, has been reported to support only static platelet adhesion<sup>35</sup>.

Collagens are defined by their common primary structure, a sequence of repeating G–X–X' triplets, where G is glycine, X is often proline (P) and X', hydroxyproline (O). The sequence GPO represents about 10% of the fibrous collagens I and III. Collagen I consists of two  $\alpha_1(I)$ -chains and one  $\alpha_2(I)$ -chain, whereas collagen III is a disulfide-bonded homotrimer of  $\alpha_1(III)$ -chains. Each of the  $\alpha$ -chains forms a left-handed helix, of which a G–X–X' triplet represents one turn, and together they comprise a right-handed superhelix (polyproline helix II), forming the tropocollagen molecule. The small amino acid G occupies the axial position of the triple-helix, while X and X' face the external milieu<sup>37–40</sup>. Each chain in collagens I and III possesses a triple-helical domain of ~1000 amino acids (length 300 nm, diameter 1.5 nm) with short non-helical N- and C-terminal telopeptide extensions. In non-fibrillar collagens, the triple-helical parts may be flanked by other domains, such as an A-domain fold like that of von Willebrand factor (vWf)<sup>41</sup>.

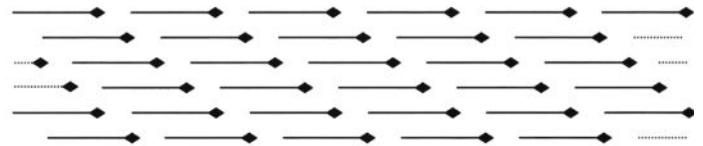
The preservation of collagen's native conformation depends strongly on its source and method of isolation<sup>42</sup>. As a structural component of tissue, existing generally in complex with other poorly soluble molecules, collagen has often been isolated using proteases. While the triple-helical domains resist digestion except by specific collagenases<sup>43,44</sup>, the telopeptides are susceptible to proteolysis, e.g. by pepsin. Thus, 'native' fibres have often been prepared using proteolytically modified collagen monomers. However, the initiation of collagen fibril formation is seriously impaired by the removal of telopeptides<sup>45–49</sup>, as they contain those lysine and hydroxylysine residues which become covalently cross-linked to stabilise the fibril<sup>48</sup>.

Native collagen fibres are formed by arrangement of tropocollagen molecules side-by-side and end-to-end in a quarter-staggered array<sup>50</sup> (Fig. 11.1, see colour plate). The polymeric organization of collagen fibrils can be seen as a typical striated ( $670 \pm 30 \text{ \AA}$ ) structure in transmission electron microscopy. In vivo collagen fibres can be hybrids of different collagen types, e.g. I with V or III, and connective tissue components such as proteoglycans associate with the fibres, affecting their fibril formation and binding properties<sup>51</sup>. It should be noted that many matrix compo-

### Triple-helical collagen monomer



### Monomers assemble to form fibril



### Native collagen fibre

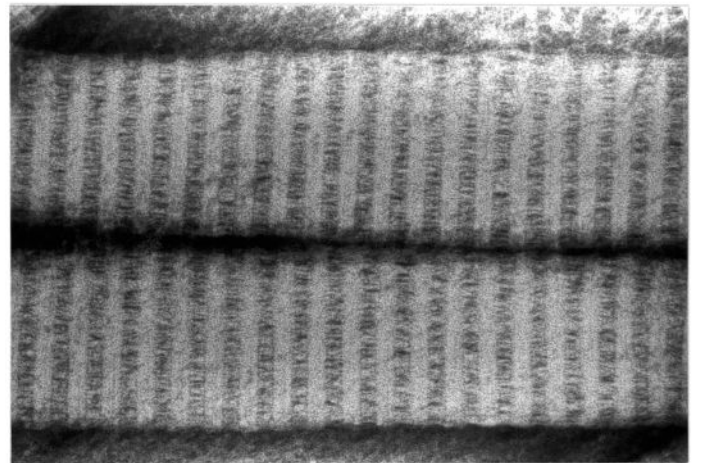


Fig. 11.1 (see also colour plate). Illustrates the hierarchical structure of collagen: the monomer is represented using the crystal coordinates of  $[\text{GPO}]_{12}$ , obtained from 2clg.pdb with its individual alpha chains shown in different colours, and the proline and hydroxyproline residues protruding into the external medium. The tropocollagen monomers assemble head-to-tail in a quarter-staggered array into fibrils, and their organization into fibres is clearly visualized by transmission electron microscopy. ♦ indicates the N-terminus of the tropocollagen molecule. (Transmission electron microscopy, courtesy of M. Hess, University of Helsinki.)

nents, e.g. laminin and plasma proteins (vWf, fibronectin, thrombospondin) can also bind both collagen and platelets. This complexity obscures the understanding of the primary platelet–collagen interaction.

Understanding of the molecular structure of collagen, from simple linear sequences to the correct spatial presentation of an array of receptor-specific ligands, of different collagen species and their capacity to associate with other

molecules, are each essential for the resolution of the platelet–collagen interaction. New data in this rapidly developing area drives the evolution of the simple two-site, two-step concept<sup>3,18,52,53</sup> towards a multisite, co-operative adhesive and signalling model (see pp. 165–168).

### Importance of platelet–collagen interaction in haemostasis and thrombosis

Evidence for a role for collagen in the haemostatic response has hitherto been indirect. A very few patients affected by bleeding disorders were found to be defective in the expression of the platelet collagen receptors, or to express autoantibodies against them<sup>54–62</sup>. Some of these anomalies have been transient<sup>63</sup>. In each case, however, platelets were either refractory to stimulation by collagen, or lacked the capacity to adhere to collagen. The absence of specific tools to antagonize collagen receptors *in vivo* has hindered direct proof of their importance. Defective collagen synthesis is also known to impair haemostasis<sup>64–66</sup>.

The platelet–collagen interaction has been shown to be a cornerstone for deposition of platelets in human atherosclerotic coronary artery explants<sup>32</sup>. It is increasingly accepted that collagen exposed during rupture or fissure of atherosclerotic plaque leads to platelet adhesion and activation. Exposure of subendothelial collagens in the vessel wall cannot be avoided during bypass grafting or angioplasty, and is considered to precipitate acute thrombotic episodes that accompany both procedures<sup>67</sup>. As antiplatelet therapy at the time of angioplasty prolongs vessel patency, it seems plausible that activation of platelets by agonists including collagen may stimulate the release or generation of mitogens and chemotactic substances, such as PDGF or thrombin, that may provoke restenosis<sup>68</sup>.

Activation of platelets contributes to thrombotic events either through direct thrombus formation or through the procoagulant response. Two linked, silent polymorphisms in the  $\alpha 2$  gene (C807T and G873A) correlate with the expression of the collagen receptor  $\alpha 2\beta 1$  on the platelet surface<sup>69</sup>, which varies by a factor of about 4<sup>70,71</sup>. The 807T/873A (high receptor expression) polymorphism has been associated with increased risk of stroke and myocardial infarction<sup>72–76</sup>, strongly supporting the proposed importance of collagen receptors in the sequelae of atherosclerosis<sup>77</sup>. Conversely, low  $\alpha 2\beta 1$  density, correlating with 807C, has been linked to bleeding tendency<sup>78</sup>. Other reports show no such correlation, which may depend on the exact study population and the clinical endpoint being investigated<sup>79–81,84–87</sup>. The A1648G (HPA-5) polymorphism

correlated with increased risk of cardiovascular events in otherwise low-risk groups<sup>82</sup>, whereas the SIT(a) C2531T dimorphism of the  $\alpha 2$  gene leads to impaired response to collagen<sup>83</sup>. A recent study suggested that GpVI-depletion in mice provided protection against lethal thromboembolism<sup>84</sup>. Opinion is moving in favour of platelet collagen receptors as important mediators of thrombosis, and therefore as targets for antithrombotic therapy<sup>4</sup>.

### Platelet receptors for collagen

At present, only two receptors are both sequenced and understood in some functional detail, namely integrin  $\alpha 2\beta 1$  and GpVI. In addition, the sequence of two other receptors, perhaps of lesser significance, is known: CD36, and the p65 collagen I receptor. Binding motifs within collagen are being elucidated at present for  $\alpha 2\beta 1$ , for GpVI and for a less well-characterized receptor, specific for collagen III (Table 11.1).

Several other platelet proteins, such as cC1qR, have been proposed as collagen receptors<sup>5,6,8</sup>. Many of these, including type III collagen-binding protein (TIIICBP) and p65, await independent verification. Collagen receptor function may involve regulatory membrane receptors or other accessory molecules, and examples of these are described below. It should be noted that the collagen-binding tyrosine kinase receptors, the discoidin domain receptors, DDR1 and DDR2<sup>85</sup>, have not been located on platelets.

### The integrin $\alpha 2\beta 1$ (Gp Ia/IIa, CD49b/CD29, VLA<sub>2</sub>)

In addition to other  $\beta 1$  integrins ( $\alpha 5\beta 1$  and  $\alpha 6\beta 1$ , the receptors for fibronectin and laminin, respectively) platelets express  $\alpha 2\beta 1$ <sup>86</sup>, which is also the major collagen receptor in many other cell types<sup>87</sup>. In contrast to the single  $\alpha 2$  isoform, several different  $\beta 1$  gene products exist, and the A isoform predominates in platelets, although the C-isoform has been located by RT-PCR<sup>88</sup>. The reported receptor densities for  $\alpha 2\beta 1$  vary between individuals from about 800 to 3500 copies per platelet<sup>70,71,89</sup>.  $\alpha 2\beta 1$ -deficient patients<sup>54,63</sup> have significant bleeding problems, and their platelets display impaired spreading upon adhesion to collagen<sup>55</sup>. So far, no  $\alpha 2$  knockout mice have been reported, but  $\beta 1$  knockouts exhibit early embryonic lethality<sup>9</sup>. As yet, other collagen-binding integrins,  $\alpha 1\beta 1$ ,  $\alpha 10\beta 1$  and  $\alpha 11\beta 1$ , have not been located on platelets. In other cells,  $\alpha 2\beta 1$  can also bind the physiological ligands, laminin, E-cadherin and echovirus-1<sup>90–93</sup>, but the selectivity of  $\alpha 2\beta 1$  for particular ligands is poorly understood. Integrins are  $\alpha/\beta$  heterodimers, and subunit association, through electrostatic interac-

**Table 11.1.** Properties of established collagen receptors

Receptor	kDa in gel (reduced)	kDa (polypeptide)	Glycosylation	Copy number	Accession number	Recognition sequence
$\alpha 2/\beta 1$	165/130	$\alpha 2$ 126	10 N, O?	800–3500	P17301	GFOGER and
		$\beta 1A$ 86	12 N, O?		P05556	homologues
		$\beta 1C$ 89	12 N, O?		P05556	$\alpha 1(I)$ chain
GpVI	62	35	1 N, O <sup>++</sup>	~1000	Q9UIF2	[GPO] <sub>n</sub>
CD36	88	53	10 N, O <sup>+</sup>	20 000	P16671	?
THICBP	68/72	?	no	?	–	KOGEOGPK $\alpha 1(III)$ chain
p65	65	54	2 N?, O?	?	None assigned	?

**Notes:**

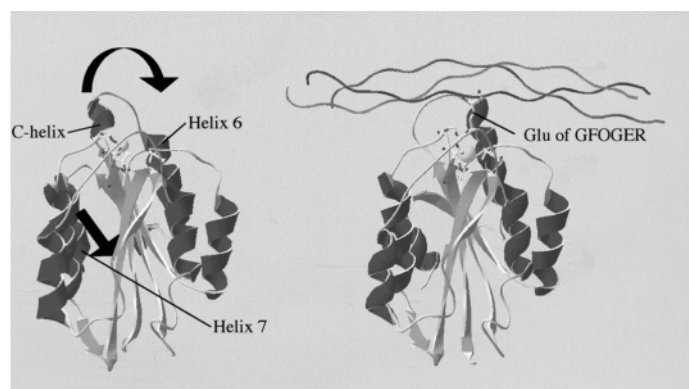
Accession numbers are for Swiss-Prot, except for GpVI which is from TSEIIBL.

See text for references.

tion between their transmembrane regions, is necessary for both cell-surface expression and for competence to bind ligands. The general structure of integrins has recently been reviewed<sup>87</sup>.

The  $\alpha 2$  subunit inserted (I-) domain, which is homologous with the A-domains of vWf, is the site of interaction of  $\alpha 2\beta 1$  with collagen. The integrin  $\alpha$  subunit has been modelled as a seven bladed  $\beta$ -propeller<sup>94,10</sup>, and the I-domain is located above blades 2 and 3. Collagen binding centres upon a divalent cation co-ordinated within the metal ion-independent adhesion site (MIDAS) in the I-domain. Mg<sup>2+</sup> is the physiological ion, though in vitro, several others, notably Mn<sup>2+</sup>, may augment the adhesive process<sup>87</sup>. Lately, Ni<sup>2+</sup> has also been reported to enhance collagen-induced platelet activation<sup>95</sup>. However, the role of divalent cations is not restricted to the MIDAS.  $\alpha 2\beta 1$  requires micromolar Ca<sup>2+</sup> to bind collagen<sup>96</sup>, possibly, like  $\alpha IIb\beta 3$ <sup>97,98</sup>, to stabilize the association between subunits. The  $\alpha 2$ -subunit contains three Ca<sup>2+</sup>-binding sites, each with characteristic DXXXDXXXD motif. Recently, these structures were suggested to resemble  $\beta$ -hairpin loops rather than EF-hands<sup>99</sup>. Expression of partial  $\alpha 2$  constructs suggests that the first Ca<sup>2+</sup>-binding loop (in blade 4 of the putative  $\beta$ -propeller) may enhance the binding competence of the integrin<sup>100</sup>. In contrast, millimolar Ca<sup>2+</sup> levels inhibit  $\alpha 2\beta 1$  function<sup>101</sup>. As the large Ca<sup>2+</sup> ion will not fit into the  $\alpha 2$  I-domain MIDAS<sup>102</sup>, it is plausible that an inhibitory Ca<sup>2+</sup>-binding site resides in the  $\beta$ -subunit of the integrin. Other  $\alpha 2\beta 1$ -associated Ca<sup>2+</sup>-binding molecules may also contribute to Ca<sup>2+</sup>-dependent effects. In summary, the divalent cation-dependence is derived from three different sites within the integrin. Finally, it is of interest that  $\alpha 2\beta 1$  has been reported to exhibit cation-independent binding of echovirus-1 and snake venom rhodocytin<sup>103,104</sup>.

The major collagen motif binding to the  $\alpha 2$  I-domain MIDAS is the sequence GFOGER<sup>27</sup>, residues 502 to 507 of the  $\alpha 1(I)$  chain. This sequence, as a triple-helical synthetic peptide, has been co-crystallized with recombinant I-domain, allowing the first structural analysis of an integrin–ligand complex<sup>102</sup>. Site-directed mutagenesis<sup>91,105,106</sup> and the use of inhibitory monoclonal antibodies<sup>93,107</sup> previously identified sequences close to the MIDAS crucial for collagen binding. The co-crystal structure confirms direct co-ordination of the MIDAS cation by the GFOGER glutamate residue (recently reviewed<sup>108</sup>), and also provides evidence for two conformations of the I-domain, possibly representing either inside-out (affinity regulation) or outside-in signalling (see Fig. 11.2, see colour plate). Only two strands of the triple-helix interact with the I-domain in the crystal structure<sup>102</sup> and thus, homotrimeric peptides containing the equivalent sequence from  $\alpha 2(I)$ , GPOGES, are incapable of binding  $\alpha 2\beta 1$  (authors unpublished data). A similar sequence, GLOGER, has also been located within collagen I as an  $\alpha 2\beta 1$ -recognition motif, along with a lower-affinity motif, GASGER<sup>28</sup>. However, GFOGER-containing peptides can inhibit platelet adhesion to immobilized collagen I, suggesting that other sequences are of lower affinity<sup>27</sup>. In collagen IV, GFOGER is the major site of interaction with  $\alpha 2\beta 1$ , although other higher affinity sequences are present for  $\alpha 1\beta 1$ <sup>27,109</sup>, another major collagen-binding integrin not found in platelets. Previous reports identified the sequence DGEA, close to GFOGER in the collagen I  $\alpha 1$  chain, as an  $\alpha 2\beta 1$ -recognition motif for platelets<sup>110</sup>. These data have not been confirmed, and triple-helical peptides containing DGEA do not support  $\alpha 2\beta 1$  or platelet binding<sup>26</sup>. Despite this, DGEA is reported to inhibit adhesion of other cell types<sup>111–113</sup>.



Crystal structure of free  $\alpha 2$  I-domain    Co-crystal of  $\alpha 2$  I-domain and triple-helical peptide

Fig. 11.2 (see also colour plate). Shows the differing conformation of the free integrin  $\alpha 2$  I-domain compared with that bound by collagen. As indicated by the black arrows, the resting I-domain moves upon ligation by the collagen-like peptide. The C-helix unwinds and is added to the top of helix 6. Helix 7 moves axially towards the base of the I-domain. The I-domain construct contains a truncated helix 7, the native form of which, in the active conformation, would protrude from the I-domain and alter its relationship with the rest of the  $\alpha 2$  subunit. The metal ion in the MIDAS is shown as a yellow sphere. Crystal coordinates, free I domain, 1a0x.pdb; peptide-I-domain complex, 1dzi.pdb. (Molecular graphics courtesy of Dr D. Tuckwell, University of Manchester.)

### Glycoprotein VI

GpVI (p62) has recently been cloned and expressed by several groups<sup>114–119</sup>. It is most closely related to the immunoglobulin superfamily natural killer receptor, 1NKR, and to Fc $\alpha$ -R. Thus far, GpVI has been located only on platelets and late megakaryocytes<sup>11</sup>. GpVI was identified as a collagen receptor in a few Japanese patients with a mild bleeding problem whose platelets proved refractory to collagen<sup>56,57,59,61</sup>. An autoantibody against a 62 kDa protein lacking in a patient's platelets, aggregates heterologous platelets<sup>120</sup>. GpVI has two Ig folds, each stabilized by a single disulfide bond. Heavy glycosylation of a mucin-like region adjacent to the transmembrane domain is proposed to account for the apparent 62–65 kDa mass of the receptor on SDS-PAGE<sup>114</sup>. In megakaryoblastic cells, three alternative splice variants of GpVI have been described, two of which bind CRP and associate with Fc-receptor  $\gamma$ -chain<sup>118</sup>. GpVI-2 lacks 18 amino acids from the mucin-rich stem, whereas GpVI-3 lacks a functional transmembrane domain. These variant forms were not found in platelets. The cytoplasmic domain of GpVI contains a proline-rich region reminiscent of SH3 domain-binding motifs, suggesting a possible mode of signal transduction.

GpVI expression level is currently unknown, although convulxin, a snake venom protein from *Crotalus durissus terrificus* and a known ligand of GpVI, has around 300 high-affinity binding sites in platelets<sup>121</sup>. A subsequent study suggested about 1200 high-affinity sites for convulxin, about 15% of which could be inhibited by a synthetic triple-helical peptide, known as collagen-related peptide or CRP<sup>122</sup>. Crosslinked CRP contains repeating GPO motifs and is a potent GpVI-specific agonist<sup>25,123</sup> which has proved valuable in elucidating signalling (see pp. 165–167). Another 'mini-collagen', a triple-helical peptide containing a proposed  $\alpha 2\beta 1$ -recognition sequence from collagen IV, also contained eight repeating GPO motifs<sup>24</sup>.

GpVI is currently considered to be the main collagen signalling receptor<sup>124</sup>, mediating collagen-induced platelet activation and aggregation<sup>125,12,13</sup>. Stationary adhesion experiments, demonstrating cation-independent attachment to fibrillar collagen, probably reflect adhesion to GpVI<sup>18,126</sup>. However, in contrast to stationary adhesion<sup>25</sup>, the use of CRP under conditions of blood flow showed that GpVI was incapable of mediating platelet attachment even at low shear rates<sup>127</sup>. A study using citrate-anticoagulated GpVI-deficient blood showed that biphasic platelet deposition was impaired at the level of aggregate formation, but not initial surface coverage<sup>128</sup>. Thus, it seems that receptors such as  $\alpha 2\beta 1$  or TIIICBP are responsible for the initial platelet adhesion, and other receptors, such as GpVI, subsequently induce activation (see pp. 165–167), summarized currently as the two-site, two-step model of platelet–collagen interaction.

### CD36 (GpIV, GpIIb)

CD36 is a highly expressed receptor for both collagen and thrombospondin in platelets<sup>129</sup>. Its specificity for collagen over thrombospondin depends on phosphorylation of amino acids within residues 87–99 of its ectodomain<sup>130</sup>. CD36 is a scavenger receptor in macrophages, binding fatty acids and oxidized low-density lipoproteins, so having a possible role in atherogenesis, and in endothelial cells it binds malaria-infected red cells. The single isoform of CD36 was recently proposed to have two transmembrane domains with short cytoplasmic tails<sup>131</sup> (although this is subject to debate). CD36 is thought to associate with src-family tyrosine kinases<sup>132</sup> and with  $\alpha$ IIB $\beta$ 3<sup>133</sup>. Crosslinking of CD36 using antibodies causes platelet aggregation<sup>134</sup>, dependent on Fc $\gamma$ RIIa. The role of CD36 as a platelet collagen receptor is controversial, since most studies show that CD36-deficient platelets (Nak<sup>a</sup> – phenotype, present in 3% of the Japanese population) support normal collagen-induced aggregation<sup>135,136</sup>, adhesion



under both static and flow conditions<sup>35,137</sup> and finally, have undisturbed signal transduction<sup>138</sup>. However, some studies revealed impaired platelet aggregation and adhesion to collagen type V<sup>35,136</sup>, and impaired response to collagen type I<sup>139,140</sup>. These results were corroborated using polyclonal and monoclonal antibodies against CD36, demonstrating a role for CD36 in the early (2–5 min) phases of platelet-collagen interaction in flowing blood in the absence of Mg<sup>2+</sup>, using native type I collagen fibrils as a substrate<sup>141–143</sup>.

## Other collagen receptors

### 65, 68/72 (TIIICBP) and 47 kDa receptors

Most of the findings concerning other collagen receptors in platelets<sup>5</sup> remain as single unconfirmed observations. However, analysis of two of these candidates has been carried further.

A collagen III-specific receptor was originally recognized using a synthetic linear octapeptide of sequence KPGEPPGPK<sup>144</sup>. This peptide associated with two different proteins, 68 and 72 kDa, in ligand blotting after SDS-PAGE. A related peptide, KOGEOGPK, inhibited both platelet interaction with type III collagen or subendothelium under static and flow conditions<sup>145</sup> and activation of  $\alpha$ IIB $\beta$ 3<sup>144</sup>. Recently, the same peptide was used as an affinity ligand for p72, (TIIICBP) which has not yet been sequenced<sup>14</sup>. The peptides described are linear, and when assembled in triple-helical conformation they did not support platelet adhesion under flow or static conditions<sup>146</sup>.

The collagen I-specific 65 kDa receptor was first isolated using affinity chromatography with the single stranded  $\alpha$ -chain from chick-skin type I collagen<sup>147</sup>. Oligonucleotide probes were designed from the CNBr-fragment sequence of the purified receptor. cDNA of p65 was cloned and sequenced from a human bone marrow library, and it lacks homology with any known receptor<sup>148</sup>. The 65 kDa receptor co-precipitated with the serine/threonine protein phosphatase 1, and was able to mediate phosphoinositide hydrolysis and calcium mobilisation<sup>5</sup>.

Another collagen type III-specific platelet receptor, p47, was isolated using affinity chromatography as for the p65 protein, confirming that different collagens possess specific platelet recognition motifs<sup>149</sup>. However, the relationship of these two receptors with the other established receptors, as well as their place in the sequential adhesion of platelets to collagen, is not yet known.

### p85

Like  $\alpha$ 2 $\beta$ 1 and GpVI, an 85 kDa protein was identified as a possible collagen receptor in platelets from a patient with a bleeding disorder and impaired responses to collagen. p85 could be discriminated from CD36 using mAbs, though not by electrophoresis<sup>150</sup>. No further data has been produced on p85.

### cC1q-receptor

A complement receptor, cC1q-R, expressed at 4000 copies per platelet, has been suggested to be a collagen receptor<sup>151</sup>. Its defined ligand is the collagen-like, triple-helical tail of C1q<sup>152</sup>. cC1q-R is now accepted as a form of calreticulin<sup>153</sup>, associating with the cell-surface through either a transmembrane domain or a KDEL sequence. Polymeric C1q has been reported to cause platelet aggregation, P-selectin expression and procoagulant activity<sup>154</sup>. Both C1q and anti-cC1q-R antibodies inhibited collagen-induced platelet aggregation, but not adhesion of platelets to collagen. cC1q-R remains to be confirmed as either a true collagen receptor, or as a modulator of other associated receptors.

## Affinity regulation of receptors and accessory molecules

The affinity of  $\alpha$ 2 $\beta$ 1 and specificity of CD36 have been shown to alter after platelet activation. Several associated proteins either mediate inside-out signalling or co-operate with  $\alpha$ 2 $\beta$ 1. For GpVI, in contrast, a requirement only for the Fc receptor  $\gamma$ -chain has been demonstrated. This section addresses affinity regulation and the role of possible accessory proteins both outside and within the platelet.

### Affinity regulation of $\alpha$ 2 $\beta$ 1

The conformational shift (see Fig. 11.2) in  $\alpha$ 2 $\beta$ 1 integrin I-domain revealed upon ligand binding<sup>102</sup> may correlate with alteration in  $\alpha$ 2 $\beta$ 1 affinity which occurs with activating mAbs and divalent cations such as Mn<sup>2+</sup><sup>87</sup>. On the other hand, inside-out signalling has long been known to cause conformational changes and to promote soluble fibrinogen binding by  $\alpha$ IIB $\beta$ 3 (see Chapters 4 and 22)<sup>155</sup>. Such changes expose new binding sites, ligand- and receptor-induced binding sites (LIBS and RIBS) on  $\alpha$ IIB $\beta$ 3. For CD36, the dephosphorylation of its extracellular domain is claimed to switch ligand-specificity from collagen to thrombospondin<sup>130</sup>.

Recently, it was suggested that the affinity of  $\alpha$ 2 $\beta$ 1 for collagen is also controlled by inside-out signalling<sup>71,156,715</sup>.

Platelets do not bind soluble monomeric collagen, which must first be polymerised into fibrils. Pre-activation of platelets with ADP, thrombin, CRP or certain mAbs facilitated binding of soluble collagen<sup>71,157</sup>, generating two states of affinity depending on the activating agonist, which was blocked by PGI<sub>2</sub><sup>157</sup> or inhibitors of intracellular signalling pathways<sup>156</sup>. Low-affinity ligand-binding was induced by ADP regardless of concentration, whereas thrombin or CRP could generate high-affinity interaction of soluble collagen with  $\alpha 2\beta 1$ . These results fit the observations of activation-dependent binding of platelets to collagen via  $\alpha 2\beta 1$  under flow<sup>158</sup>, in which an activatory mAb for  $\alpha 2\beta 1$  was shown to slow platelets down, while inhibitory mAbs increased the velocity of the platelets over a collagen surface. Changes in binding affinity may determine substrate selectivity of  $\alpha 2\beta 1$ <sup>86</sup>. Although currently the regulation of affinity modulation is uncharacterized, the  $\alpha 2$ -domain has been shown to exert negative regulation<sup>159</sup>, and the NPXY motif in the  $\beta 1$ -cytoplasmic domain is thought to be critical for inside-out signalling<sup>160</sup>. Finally, there is increasing evidence for associated intracellular molecules that may mediate these conformational changes, whereas the cysteine-rich protein disulfide isomerase-like domain in the  $\beta 1$ -subunit may regulate covalent changes in conformations from the outside (see p. 164, next section).

### Protein disulphide isomerase

On activation, platelets express protein disulphide isomerase (PDI) activity on their surface<sup>161</sup> and into the external medium<sup>162</sup>. PDI, a 62 kDa protein, is normally considered an ER enzyme and chaperone, responsible for correct disulfide bonding and folding of nascent proteins. In this context, it also serves as the  $\beta$ -subunit of 4-prolylhydroxylase, binding collagen monomers to allow their hydroxylation<sup>163</sup>. PDI is not known to bind mature collagen, however.

PDI expressed on the platelet surface, perhaps secured by its KDEL sequence, appears to regulate the interaction of platelet glycoproteins and their ligands, and in vitro evidence suggests that it may also interact with other accessory molecules, such as calreticulin/cC1q-R<sup>164</sup>. PDI activity has been implicated in the function of GpIb $\alpha$ <sup>165</sup>, in the association of thrombospondin with PDGF secreted from platelet  $\alpha$ -granules<sup>166</sup>, and in the regulation of the fibrinogen receptor,  $\alpha$ Ib $\beta$ 3, where it is believed to mediate reorganization of the disulfide bridges in the Cys-rich stem of the integrin  $\beta$ -subunit<sup>167,168</sup>. This region has marked sequence homology with the active site of PDI, suggesting that intrinsic PDI activity, and thiol re-arrangement, may be a general property of integrin  $\beta$ -subunits<sup>169,170</sup>.

Thiol re-arrangement<sup>171</sup> and cell-surface PDI<sup>172</sup> have been shown to be important in the  $\alpha 2\beta 1$ -mediated interaction of platelets with collagen. Use of receptor-specific triple-helical peptides allows  $\alpha 2\beta 1$  to be discriminated from GpVI in this context, showing the role of PDI to be integrin-specific (authors' unpublished data). No evidence exists thus far for a role for Ca<sup>2+</sup> in regulating PDI activity, as may be the case in the ER. These data suggest that conversion between the high and low affinity states of  $\alpha 2\beta 1$  could be catalysed by PDI activity.

### Integrin-associated protein (CD47)

As its name implies, IAP associates with both  $\alpha$ Ib $\beta$ 3 and  $\alpha 2\beta 1$  on the platelet surface<sup>173,174</sup>. This 52 kDa protein has a single Ig-like fold and five transmembrane domains. CD47 binds thrombospondin, and one model proposes that it co-operates with an integrin to deliver a signal to the cell interior, for example, by acting as an ion channel. A specific sequence (known as 4N1K) from thrombospondin binds CD47, inhibiting adenylate cyclase through G<sub>i</sub><sup>175</sup>. 4N1K has been reported to exhibit synergism with soluble collagen in activating platelets, an effect absent in CD47 knockout mice<sup>174</sup>.

### Fc receptors

Platelets express both the low-affinity IgG receptor, Fc $\gamma$ RIIA, and the Fc-receptor  $\gamma$ -chain. Whilst signalling caused by crosslinking Fc $\gamma$ RIIA has much in common with collagen-induced signalling<sup>176,177</sup>, there is little evidence for direct involvement of Fc $\gamma$ RIIA. However, Fc $\gamma$ RIIA has been found to associate with GpIb/IX/V<sup>178</sup>, and so could possibly mediate indirect signals from collagen through the vWf/collagen axis.

The structurally-distinct FcR  $\gamma$ -chain, in marked contrast, is essential for signal transduction from GpVI by collagen or CRP<sup>179</sup>. The association of GpVI with FcR  $\gamma$ -chain, occurring through an arginine–aspartate salt bridge within the plasma membrane<sup>114</sup> in resting and stimulated platelets<sup>180–182</sup>, is essential for its expression<sup>117,119</sup>. FcR  $\gamma$ -chain is thought to represent the key internal apparatus for transmitting signals onwards from GpVI to the platelet interior, although it also associates with GpIb/IX/V<sup>183</sup>. FcR  $\gamma$ -chain is a disulfide-bridged dimer, with short external domains. Its cytoplasmic tail (42 amino acids) contains an immunoreceptor tyrosine activation motif (ITAM), a site which on phosphorylation recruits signalling proteins.

### Calreticulin

Intracellular forms of calreticulin may interact with a consensus sequence, KXGFFKR, in the integrin  $\alpha$ -subunit cytoplasmic tail<sup>184</sup>. Calreticulin interacts with both  $\alpha$ Ib $\beta$ 3 in platelets and with  $\alpha$ 2 $\beta$ 1 in other cells, regulating their function and signalling<sup>185–187</sup>. The high-affinity form of  $\alpha$ 2 $\beta$ 1 was shown to interact with calreticulin in phorbol ester-treated Jurkat cells, where anticalreticulin antibodies reversed cell adhesion to collagen I<sup>188</sup>.

### PECAM-1 (CD31)

The platelet and endothelial cell adhesion molecule, PECAM-1, a member of the Ig superfamily, can bind and activate protein tyrosine phosphatases through its immunoreceptor tyrosine inhibitory motif (ITIM)<sup>189,197,198,199</sup>. Platelet PECAM-1 is phosphorylated on tyrosine residues by c-src family kinases, and co-immunoprecipitates with them after activation by several ligands including collagen<sup>190</sup>. Recently, PECAM-1 was reported to inhibit the response of platelets to collagen<sup>191,20,21</sup>. In other cells, PECAM-1 oligomerisation induces integrin-mediated spreading<sup>192</sup>.

### CD9

The tetraspanin, CD9, associates with  $\alpha$ 2 $\beta$ 1 and other  $\beta$ 1 integrins on vascular smooth muscle cells, where it is believed to modulate collagen matrix reorganisation<sup>193</sup>. CD9 is expressed in platelets, where it complexes with glycoproteins, including  $\alpha$ Ib $\beta$ 3 and CD36<sup>194–196</sup>. Anti-CD9 antibodies stimulate platelet tyrosine kinase activity<sup>197</sup>.

### Venoms, etc.

The evolution of specific inhibitory or activatory proteins in snake venoms or the saliva of leeches and blood-sucking insects underscores the importance of the platelet-collagen interaction in haemostasis. These proteins may target either the receptors on platelets or collagen itself. Typically, they belong to either of two categories, which characterise their mode of action: the C-type lectin family, or the metalloproteinase-disintegrins. However, as these venoms often contain mixtures of active proteins or because the proteins have dual effects, experimental results may be contradictory and should be interpreted with care. These natural modulators may help us to understand the relevance of individual receptors and, crucially, may indicate important antithrombotic targets. We will mention briefly a few examples of functional significance<sup>198–200</sup>.

As there is no readily available monoclonal antibody targeting GpVI, the multimeric protein, convulxin, from *Crotalus durissus terrificus*, has been used, like the CRP peptide, to specifically activate platelets. Many of the platelet activation events induced by very low convulxin concentrations, i.e. aggregation, Ca<sup>2+</sup> signalling and tyrosine phosphorylation<sup>201</sup>, resemble closely those induced by collagen fibres. Convulxin competes with CRP for one of its two binding sites on platelets<sup>122</sup> and although it has also been suspected to bind  $\alpha$ 2 $\beta$ 1<sup>121</sup>, no receptor other than GpVI has been proven.

Although jararhagin and jaracetin from *Bothrops jararaca* were originally found to activate the vWf A1-domain, promoting vWf binding to GpIb/IX/V, because they blocked  $\alpha$ 2 $\beta$ 1-collagen binding through both the disintegrin and metalloproteinase domains, they were soon implemented in studying the platelet-collagen interaction<sup>202</sup>. Proteolysis of the  $\beta$ 1-subunit of the integrin was shown to mediate this inhibitory effect, together with direct binding to the  $\alpha$ 2-subunit<sup>203,204</sup>. A positively charged cyclic RKK peptide, based on the metalloproteinase domain, bound strongly to acidic amino acid sidechains near the  $\alpha$ 2-I domain MIDAS and inhibited collagen binding<sup>205,206</sup>.

Other snake venom proteins claimed to be  $\alpha$ 2 $\beta$ 1-specific include alternagin (*Bothrops alternatus*)<sup>207</sup>, trimucyctin (*Trimeresurus mucrosquamatus*)<sup>208</sup> and rhodocytin/aggrexin (*Calloselasma rhodostoma*)<sup>209,210</sup>, and the two latter induce platelet aggregation. However, trimucyctin was recently suggested to work through GpVI<sup>211</sup>. Interestingly, the use of rhodocytin supported the concept that  $\alpha$ 2 $\beta$ 1 can signal in platelets<sup>212</sup>. However, the specificity of these venom proteins for  $\alpha$ 2 $\beta$ 1 has been questioned. Neither soluble recombinant  $\alpha$ 2 $\beta$ 1 nor the wild-type integrin bound to rhodocytin. Instead, within the same venom, a 27 kDa antagonist of collagen-induced aggregation was identified as rhodocetin, a specific ligand for  $\alpha$ 2 $\beta$ 1<sup>213,214</sup>. The ability of proteins (pallidipin, moubatin and Tick Adhesion Inhibitor) from different species to perturb platelet-collagen interaction has been discussed elsewhere<sup>1</sup>.

Finally, some venom proteins bind directly to collagen and thus hamper its interaction with either platelet  $\alpha$ 2 I-domain or vWf A-domain. Such proteins include catrocolastatin (*Crotalus atrox*)<sup>215</sup>, LAPP (leech antiplatelet protein) and calin (*Hirudo medicinalis*)<sup>216</sup>.

### Signalling through collagen receptors

Collagen, like thrombin, is a strong platelet agonist, causing several separate activation events (arachidonate

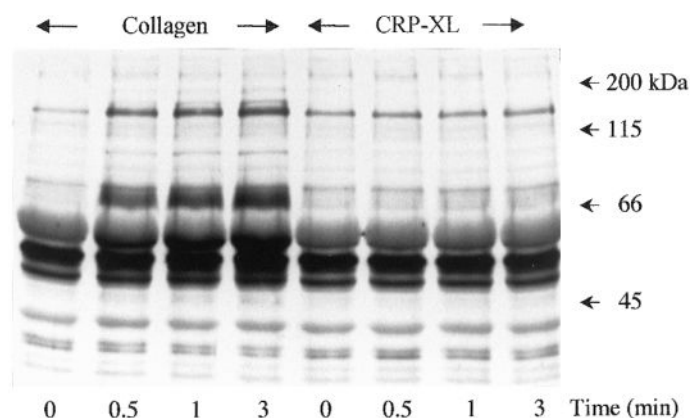


Fig. 11.3. Shows the response of GpVI-deficient platelets in suspension to collagen fibres and to CRP, over the indicated times. While CRP has no discernible effect on protein tyrosine phosphorylation (detected in Western blots), collagen caused a marked increase in protein phosphotyrosine, although it did not aggregate platelets. Thus, GpVI is both sufficient and necessary for full platelet aggregation, but collagen-induced signals are also mediated by other receptors. (Courtesy of T. Ichinohe and M. Okuma, Kyoto; collaborative data with the authors.)

release, morphological changes, secretion, aggregation and procoagulant activity). Pathways as diverse as G-protein linked signalling and tyrosine kinase activity converge upon intracellular calcium (Chapter 17) to elicit each of these defined signalling endpoints, which involve the interplay of a profusion of signalling molecules including cyclic nucleotides (Chapter 20), ADP (Chapter 9),  $H_2O_2$ <sup>217</sup> and eicosanoids such as  $PGI_2$  and  $TxA_2$  (Chapter 15).

Immobilized collagens, in the context of the blood vessel wall, provide unique means for activation when compared with soluble ligands. They offer to the platelet a surface to spread upon, and by virtue of the highly organized structure of the collagen fibre, they present a repetitive array of receptor-recognition motifs. Thus, several novel concepts arise: receptor clustering may cause signals to propagate simply from the increased local concentration of receptors and their associated signalling proteins at the inner surface of the platelet plasma membrane. This increased receptor avidity has long been associated with integrin function in other cells. Further, the structure of collagen may support spatial and temporal association of dissimilar receptor populations, for example  $\alpha 2\beta 1$  with GpVI, or either of these with CD36. The different protein species associated with the intracellular domains of these various receptors will support great diversity of signalling from collagen, but renders the dissection of particular signalling pathways highly complex.

The use of specific ligands, CRP and convulxin, demonstrates that GpVI ligation and/or clustering is sufficient for platelet activation. Early studies involving GpVI-deficient platelets indicate its essential role in platelet aggregation by collagen<sup>56,57</sup>. Some signals, however, as shown by protein tyrosine phosphorylation (see Fig. 11.3) including the specific activation of the intracellular kinase c-src<sup>218</sup> and the up-regulation of  $\alpha IIb\beta 3$  for fibrinogen binding<sup>124</sup>, can arise through other collagen receptors, whose identity is hotly debated. The collagen fibre offers binding motifs to such receptors, and it is increasingly accepted that these contribute, especially under physiological blood flow<sup>143</sup>, to the platelet deposition and activation process<sup>219,220</sup>. A key task remaining is to determine the degree of overlap of these two functions, and which other receptors participate.

### Second messenger pathways stimulated by collagen

The cytoplasmic domains of platelet collagen receptors identified to date lack catalytic activity. Platelet activation therefore depends upon associated signalling molecules, especially tyrosine kinases, leading to the activation of phospholipase  $C\gamma 2$ <sup>221,222</sup>. This pathway results in the activation of protein kinase C together with elevation of cytosolic calcium (see Chapter 17), which is central to the platelet activation process.

Platelet activation involves controlled modulation of cyclic nucleotide levels (Chapter 20). Early work showed that collagen lowered cyclic AMP levels in platelets<sup>223</sup>, a process blocked by pertussis toxin treatment<sup>224</sup>, implicating  $G_i$  function<sup>225</sup>. Inhibition of adenylate cyclase by type I collagen fibres was independent of ADP or  $TxA_2$  production<sup>223,226</sup>, and the GpVI-specific CRP was inactive<sup>21</sup>. The peptide KPGEPGPK, from collagen III, has been implicated in cyclic AMP metabolism, suggesting a role for the THICBP<sup>148</sup>, but the receptors responsible have not been formally identified. Collagen also stimulates guanylate cyclase activity, and cyclic GMP, like cyclic AMP, is an inhibitor of platelet activation (see Chapter 20). This paradoxical action may reside in the capacity of collagen to stimulate  $\alpha IIb\beta 3$ -independent NO synthesis<sup>227</sup>, which in turn stimulates soluble guanylate cyclase. It might thus represent a termination signal, restricting the extent of collagen-stimulated platelet activation and deposition at sites of vascular damage. Recently, the p65 type I collagen receptor was implicated in NO production<sup>228</sup>.

### GpVI signalling

Truncation of the cytosolic tail of GpVI in a rat leukemia cell line abrogated convulxin-stimulated signalling but not

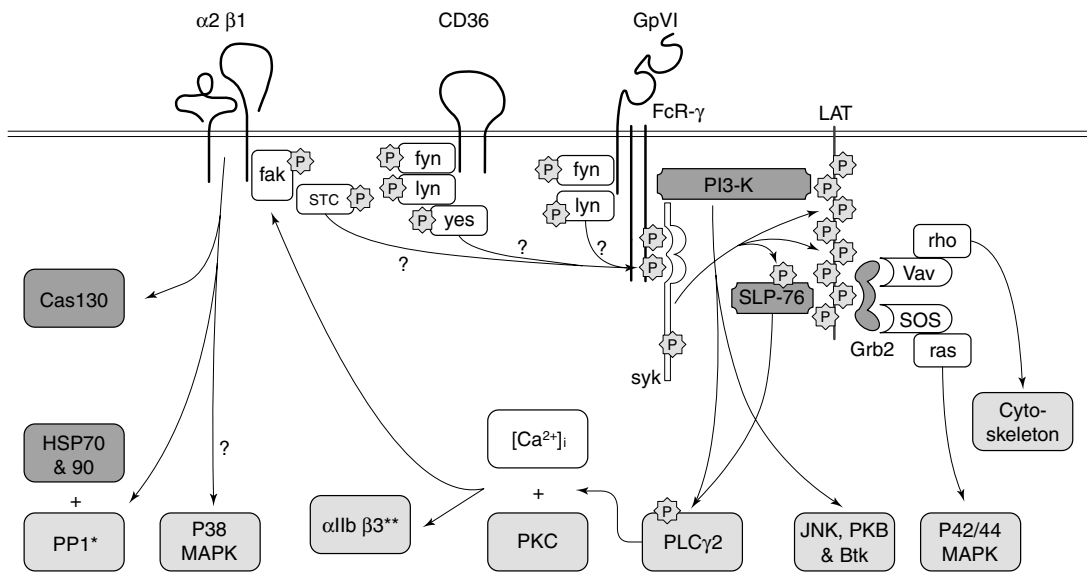


Fig. 11.4 (see also colour plate). Depicts proposed signalling pathways from the major collagen receptors,  $\alpha 2\beta 1$ , CD36 and GpVI. Phosphorylation events are shown using blue arrows, and other signalling pathways in black. Question marks indicate possible signalling pathways, lacking specific detail at present. Signalling molecules are defined in the text. Tyrosine kinases are coded *beige*, serine/threonine kinases *pink*, adapter proteins in *orange*. Tyrosine phosphorylation is indicated by stars on the relevant proteins. Other collagen receptors and possible signals arising from the GpIb/IX/V axis are omitted for clarity.

expression of GpVI, which depends on its association with the FcR  $\gamma$ -chain<sup>119</sup>. During the activation process, the ITAM motif of FcR  $\gamma$  becomes phosphorylated<sup>181,19</sup>, an event required for only one of the FcR  $\gamma$ -chains<sup>180</sup>. The GpVI/FcR  $\gamma$ -chain-associated src-family kinases *fyn* or *lyn*<sup>229</sup> have been proposed to mediate this event in both human<sup>230</sup> and mouse platelets<sup>231</sup>.

A crucial question, yet to be answered, is how the activation of these kinases occurs, to allow phosphorylation of FcR  $\gamma$ -chain. The polyproline motif in the cytosolic tail of GpVI may bind these kinases through their SH3 domains. Other less direct possibilities exist, as *fyn* and *lyn* also associate with CD36<sup>132</sup>, which might be engaged together with GpVI during the early phases of interaction with the collagen fibre<sup>142,219</sup>. However, since CD36-deficient platelets respond fully to collagen,  $\alpha 2\beta 1$  is a more plausible candidate for a coreceptor: a recent report suggested that rhodocytin promotes the dissociation from  $\alpha 2\beta 1$  and activation of c-src, and its subsequent binding and phosphorylation of p130cas<sup>104</sup>.

Knowledge of platelet activation downstream from GpVI has developed rapidly, modelled on signalling pathways in T-cells<sup>125</sup>. The hematopoietic cell-specific tyrosine kinase, p72syk (spleen tyrosine kinase), is activated in response to collagen<sup>218,232,233</sup>, and is essential for collagen- and CRP-induced signal transduction<sup>179</sup>. The phosphorylated ITAM of FcR  $\gamma$ -chain recruits p72syk, which is

believed to phosphorylate the scaffold protein, LAT (linker for activation of T-cells), a prominent substrate for tyrosine kinases in collagen- and especially CRP-stimulated platelets, and SLP-76 (SH2 domain-containing leukocyte protein of 76 kDa)<sup>234,22</sup>. Signalling complexes can assemble on SLP-76 and LAT<sup>235</sup>, so relaying signals to different apparatus including protein tyrosine phosphatases<sup>236</sup> within the platelet. Thus, PI-3-kinase (phosphatidylinositol 3-kinase) becomes recruited to the cell membrane by association with both LAT and FcR- $\gamma$  chain<sup>237</sup>, where it generates 3-phosphoinositides which may lead to the activation of PKB/Akt<sup>238,239</sup>. PI-3-kinase<sup>240</sup> and SLP-76 acting in concert<sup>241</sup> lead to PLC  $\gamma 2$  becoming phosphorylated and activated, causing phosphoinositide turnover,  $\text{Ca}^{2+}$  signalling and PKC (protein kinase C) activation. Btk (Bruton's tyrosine kinase) and its homologue *tec*, activated by collagen<sup>242</sup>, have also been implicated in mediating PLC  $\gamma 2$  activation<sup>243</sup>. Guanine nucleotide exchange factors such as Vav1 and Sos (son of sevenless), recruited to signalling complexes via the adapter protein, Grb2 (growth factor receptor-bound2), activate small GTP-binding proteins and so initiate cytoskeletal change<sup>244,245</sup> or activate MAP kinases (mitogen-activated protein kinases). The role of adaptor proteins has been reviewed recently<sup>246</sup>. Fig. 11.4, see colour plate, summarizes the present incomplete understanding of these events.

### $\alpha 2\beta 1$ signalling

Although  $\alpha 2\beta 1$  is an essential signalling receptor in other cells<sup>247</sup>, regulating, for example, smooth muscle cell phenotype<sup>248</sup>, signalling by  $\alpha 2\beta 1$  in platelets is debated. The two-site two-step model implies tight binding of  $\alpha 2\beta 1$  to collagen as a pre-requisite for the weaker interaction with GpVI. Thus, blockade of  $\alpha 2\beta 1$  may indirectly inhibit signals from GpVI (or other receptors). On the other hand,  $\alpha 2\beta 1$ -generated signals may be masked by those from GpVI. Ligands that bind or crosslink  $\alpha 2\beta 1$  cause neither aggregation of platelets in suspension nor discernible protein tyrosine phosphorylation<sup>123</sup>, although blockade of  $\alpha 2\beta 1$  by specific mAbs attenuated signalling from collagen<sup>249</sup>. Signals from  $\alpha 2\beta 1$  appear to be more subtle than those from GpVI. However, platelet adhesion through  $\alpha 2\beta 1$  to collagenous substrates induced phosphorylation of the focal adhesion kinase, p125fak, under either static or flow conditions<sup>250,251</sup>, but a cross-linked triple-helical peptide specific for  $\alpha 2\beta 1$  caused no discernible tyrosine phosphorylation of p125fak when applied to platelets in suspension<sup>252</sup>. It is plausible therefore that signals attributed to  $\alpha 2\beta 1$  derive from the cytoskeletal re-organisation supported by immobilised ligands. Thus, the adhesive process itself amplified responses to GpVI ligands, although the basis for this remains to be resolved.

In two further studies assessing  $\alpha 2\beta 1$  signalling in adherent platelets by  $\text{TxA}_2$  generation and  $\alpha \text{IIb}\beta 3$  activation,  $\text{Mg}^{2+}$ -dependent adhesion to monomeric collagen induced PAC-1 binding, but not  $\text{TxA}_2$  generation<sup>219,220</sup>. Interestingly,  $\text{TxA}_2$  generation stimulated by fibrillar collagen in the presence of  $\text{Mg}^{2+}$  was 3–4 times lower than in its absence, perhaps implying an inhibitory role for  $\alpha 2\beta 1$ .

Previously, phosphatase inhibitors have been shown to influence various aspects of collagen-induced platelet activation, from spreading to  $\alpha \text{IIb}\beta 3$  upregulation. Under flow conditions,  $\alpha 2\beta 1$  occupancy has been implicated in the activation of the serine/threonine phosphatase, PP1, which dissociates from its complex with heat shock protein (hsp) 90 and hsp70, an event correlating with dephosphorylation of several platelet proteins<sup>253</sup>. There is further evidence for phosphatase association with  $\beta 1$  from other cells: PP2A associated with  $\beta 1$  integrins in stem cells<sup>254</sup>, and okadaic acid inhibited adhesion to collagen through  $\alpha 2\beta 1$  in Jurkat cells<sup>186</sup>.

The collagen-induced procoagulant response<sup>255</sup> is regulated by a balance between phosphorylation and dephosphorylation<sup>256,257</sup>. GpVI was shown to be an essential receptor<sup>258</sup>, although incapable of eliciting procoagulant activity from platelets in suspension<sup>259</sup>. In contrast, the response was enhanced and partly dependent on  $\alpha 2\beta 1$  in platelets adherent to collagen. Furthermore, inhibition of

p38 MAP kinase reduced platelet blebbing, an event leading to procoagulant microvesiculation<sup>259</sup>. Previous work has shown a specific role for p38 MAP kinase in collagen-induced aggregation<sup>260</sup>, and in other cells, p38 is thought to be activated by  $\alpha 2\beta 1$  occupancy<sup>261</sup>.

The limited evidence for direct signalling via  $\alpha 2\beta 1$  may be explained if essential conformational changes in  $\alpha 2\beta 1$  occur in adherent but not suspended platelets.  $\alpha 2\beta 1$  signalling may also be dependent on accessory molecules.  $\alpha 2\beta 1$  associates with co-receptors in other cell types, and in platelets  $\alpha 2\beta 1$  has been suggested to co-exist with GpVI<sup>262</sup>. In platelets and other cells,  $\beta 1$  integrins, CD36, GpIb/IX/V, activated lyn and uPAR also occur in cholesterol-rich membrane microdomains (although caveolin, often found in such structures, is absent)<sup>263–265</sup>, which may prove to be sites for cooperative interaction between collagen receptors. Finally abnormal gangliosides from tumour cells or athero sclerotic plaques have been shown to enhance  $\alpha 2\beta 1$  function<sup>266,267</sup>.

### Models for platelet–collagen interaction

Recent evidence has allowed the concept of the platelet–collagen interaction to develop from a two-site two-step process<sup>52</sup> into a multisite multistep model<sup>8,145</sup>, implying that no single adhesion or activation receptor is responsible, but rather several receptors act in concert. This is reflected in the mild nature of bleeding disorders associated with single receptor deficiencies compared with those having several coinciding defects<sup>63,268</sup>. This also explains why collagen receptor polymorphisms may be difficult to associate with multifactorial diseases such as coronary thrombosis or stroke (see p. 160).

The detailed role of the various collagen receptors is beginning to emerge. Under high shear rate flow conditions, both the vWf–Ib/IX/V axis<sup>269–271</sup> and  $\alpha 2\beta 1$  seem to be essential<sup>78,143,272</sup>, although recent knock-out mouse data does not support this<sup>9</sup>. The affinity of  $\alpha 2\beta 1$  increases through adhesion-dependent platelet activation, and under those conditions,  $\alpha 2\beta 1$  may elicit typical signalling reactions. GpVI, the major signalling receptor, unable to engage under flow conditions itself<sup>146</sup>, may induce the high-affinity state of  $\alpha 2\beta 1$ <sup>156</sup>. CD36 is involved in very early platelet adhesion, but other receptors can compensate for its absence. Recently, TIICBP was also shown to interact under high shear rate conditions as an early contact receptor with signalling capacity<sup>145</sup>, and a similar pre- $\alpha 2\beta 1$ -binding receptor was proposed for collagen type I<sup>273</sup>.

The model in Fig. 11.5 suggests that as the platelet slows after vWf–Ib engagement, initial direct contact with colla-

gen is by receptors such as TIIICBP or  $\alpha 2\beta 1$ , which are responsible for arrest of the platelet on the sub-endothelial collagen surface. This allows GpVI to be cross-linked to an extent that will support full-blown platelet activation and may also activate src-family kinases important for signal transmission through the GpVI-FcR  $\gamma$ -chain pathway. The resulting signals, or possibly even those from contact receptors, also transform  $\alpha 2\beta 1$  into a high-affinity conformation. This final anchorage may then generate additional signal transduction, important for certain platelet responses such as procoagulant activity. The model does not take into account the various accessory co-receptors or molecules which may orchestrate and fine tune both platelet adhesion and activation.

### Closing remarks

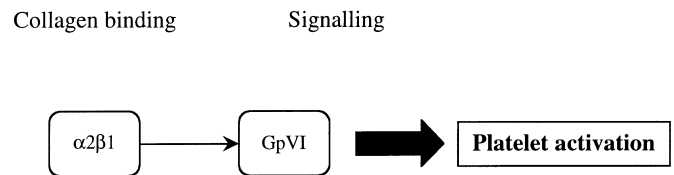
The complexity of platelet collagen receptor populations is matched by that of collagen, so to elucidate their interplay requires carefully defined experiment. Thus, flow studies using physiological cation concentrations and collagens in immobilized native form are required before a full understanding can emerge. Many discrepancies in the literature arise from the use of functionally dissimilar collagen preparations as platelet agonists.

The field is rapidly advancing. Since writing this chapter, novel collagen species have been described, and new studies on the comparative significance of receptors under flow have appeared. A better understanding is developing of collagen-induced signalling and the role of receptor polymorphisms in disease. Very recent references, marked in the text thus \*, can be found at the end of the reference list.

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#### Two-site, two-step model:



#### Multi-site, multi-step model:

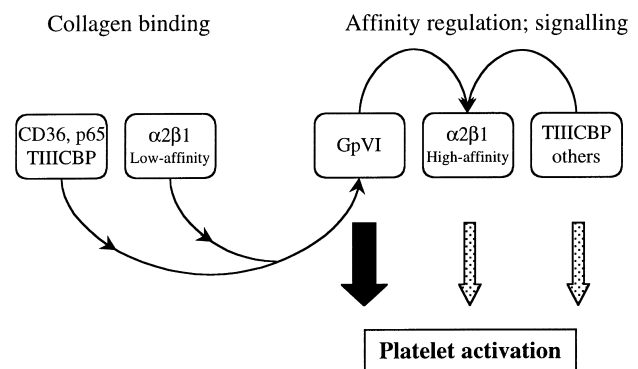


Fig. 11.5. Summarizes the two site, two-step multireceptor model together with the more intricate model of platelet activation that better fits current concepts of affinity regulation and signalling. The early role of GpIb/IX/V in adhesion and possibly signalling is omitted (see Chapters 12 and 21).

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# Platelet receptors: von Willebrand factor

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## Historical perspective and overview

For most bleeding disorders, the symptoms and clinical recognition of the disease occurred long before the biochemical characterization of the disease. A case in point is the description of von Willebrand disease by the Finnish physician Erik von Willebrand (ca. 1926) and the description of the Bernard–Soulier syndrome by French physicians, Jean Bernard and Jean-Pierre Soulier (ca. 1948). In both cases, decades would pass before the molecular basis of the disease would define roles for von Willebrand factor (vWF) and the platelet receptor, glycoprotein (GP) Ib–IX–V, in hemostasis and thrombosis. Two-dimensional gel electrophoresis would associate an absent platelet glycoprotein in patients with the Bernard–Soulier syndrome<sup>1</sup>; while the molecular identity of vWF would not be unequivocally established until the gene was characterized and shown to be a distinct protein circulating in a complex with blood coagulation factor VIII<sup>2–4</sup>.

The current models of platelet adhesion to the extracellular matrix involve a number of different ligands and platelet receptors coordinated in a synergistic response to correct a vascular lesion. Nevertheless, vWF and its platelet receptors represent a major component in this response and become the exclusive ligand and receptor interaction under blood flow conditions typically found in arterioles and small arteries. Thus, under certain conditions vWF-dependent thrombus formation is part of the synergistic response, yet under specific rheological conditions it becomes the sole ligand capable of initiating platelet deposition and thrombus growth.

The molecular mechanisms by which vWF participates in hemostasis can be viewed as an initial tethering of platelets to a reactive surface via the platelet GP Ib–IX–V recep-

tor (Fig. 12.1). Through this transient and reversible interaction with vWF, platelets can become activated increasing the binding competency of the platelet integrin receptor GP IIb–IIIa ( $\alpha_{IIb}\beta_3$ ). The combination of vWF interacting with both GP Ib–IX–V and  $\alpha_{IIb}\beta_3$  results in stable and irreversible platelet attachment to the surface. Subsequently, the process can be repeated with the newly formed layer of platelets behaving as a reactive surface recruiting additional platelets and leading to thrombus growth. In this regard, the initial platelet adhesion and subsequent aggregation can both be viewed from a similar mechanistic standpoint, that is, both require platelet attachment to a reactive surface.

Although GP Ib–IX–V represents the primary adhesive platelet receptor for vWF, the role of  $\alpha_{IIb}\beta_3$  in the sequence of events leading to thrombus formation should not be forgotten. A detailed description of  $\alpha_{IIb}\beta_3$  can be found in Chapter 12, as it is the sole platelet receptor for fibrinogen. This chapter will focus on the structure and biological properties of the GP Ib–IX–V complex but will reference  $\alpha_{IIb}\beta_3$  as it pertains to vWF-dependent thrombus formation (p. 183).

## Structure

Table 12.1 summarizes the alternative nomenclature used for the subunits and genes encoding the GP Ib–IX–V complex. Most commonly, the subunits are referred to as GP Ib, GP IX and GP V (Fig. 12.1). The GP Ib molecule is actually composed of two disulfide-linked subunits,  $\alpha$  and  $\beta$  (GP Ib $\alpha$  and GP Ib $\beta$ ), both the products of separate genes. GP Ib $\alpha$  is the structurally and functionally dominant subunit of the complex ( $M_r$ , 145000) and associates with

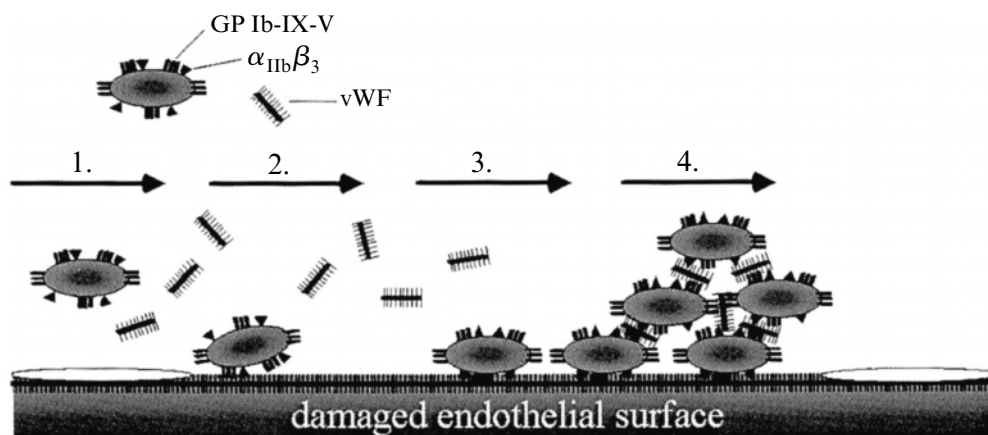


Fig. 12.1. Temporal events in vWF-dependent thrombus formation. In a regulated series of events, platelets will adhere to damaged endothelium and form a platelet thrombus. Depicted are a series of steps (1 to 4) representing a simplistic interpretation of the processes necessary to capture a platelet from flowing blood and build the platelet thrombus. (Step 1) A circulating platelet is traveling (left to right) and contains surface-expressed GP Ib-IX-V and GP IIb-IIIa ( $\alpha_{IIb}\beta_3$ ) receptors. (Step 2) Via a transient and reversible interaction between platelet GP Ib-IX-V and von Willebrand factor (vWF), platelets will tether and move along the thrombogenic surface until the platelet undergoes activation. (Step 3) Upon activation the ligand binding competency of the  $\alpha_{IIb}\beta_3$  receptor is increased leading to irreversible platelet adhesion. (Step 4) A subsequent repeat of steps 1–3 leads to the additional recruitment of platelets with the net effect of a growing thrombus on the thrombogenic surface. This figure illustrates the exclusive role of vWF and its receptors under flow conditions typically found in vessels with high shear rate, such as small arteries and arterioles. Under conditions when the blood flow rate is slower, vWF-dependent thrombus formation becomes part of a synergistic effort involving additional platelet receptors and ligands.

**Table 12.1.** Platelet von Willebrand factor receptors

GP receptor complex	Subunit composition	CD nomenclature	Genbank acc. number	HUGO <sup>a</sup> nomenclature	Chromosome location
GP Ib-IX-V	GP Ib $\alpha$	CD42b	M22403	GP1BA	17pter-p12
	GP Ib $\beta$	CD42c	Z23091	GP1BB	22q11.21-q11.23
	GP IX	CD42a	U07983	GP9	3q21
	GP V	CD42d	M80478	GP5	3q29
GP IIb-IIIa	GP IIb ( $\alpha$ IIb)	CD41b	J02764,M34480	ITGA2B	17q21.32
	GP IIIa ( $\beta$ 3)	CD61	J02703	ITGB3	17q21.32

*Note:*

<sup>1</sup> Human gene nomenclature <http://www.gene.ucl.ac.uk/nomenclature/>

GP Ib $\beta$  ( $M_r$ , 22000) and GP IX ( $M_r$ , 17000) in a 1:1:1 stoichiometry. GP V ( $M_r$ , 82000) associates with the GP Ib-IX complex in a 1:2 ratio (GP V: GP Ib-IX). The primary sequence of each of the four subunits has been quite revealing for identification of distinct domains, yet most characterizations of the complex have focused on GP Ib $\alpha$  since it contains binding sites for both vWF and  $\alpha$ -thrombin (Fig. 12.2).

An amino-terminal 45 kDa domain of GP Ib $\alpha$  can be generated through limited tryptic digestion and retains vWF and  $\alpha$ -thrombin binding properties<sup>5-7</sup>. A striking feature of the GP Ib $\alpha$  45-kDa domain is the presence of seven

leucine-rich repeats, which are found in a large number of seemingly unrelated proteins<sup>8</sup>. A single repeat is composed of 24 residues with leucines commonly found at positions 2, 5, 7, 12, 16, 21 and 24. Members of the leucine-rich repeat family have roles in signal transduction either as membrane receptors or as cytoplasmic signalling molecules<sup>9</sup>. The presence of a single leucine repeat in GP IX and GP Ib $\beta$  along with multiple repeats in GP V suggests the leucine-rich repeats may be critical for assembly and surface expression of the intact complex<sup>10</sup>, a concept supported by mutations within the repeats of GP Ib $\alpha$  and GP IX which result in the Bernard-Soulier phenotype<sup>11</sup>.

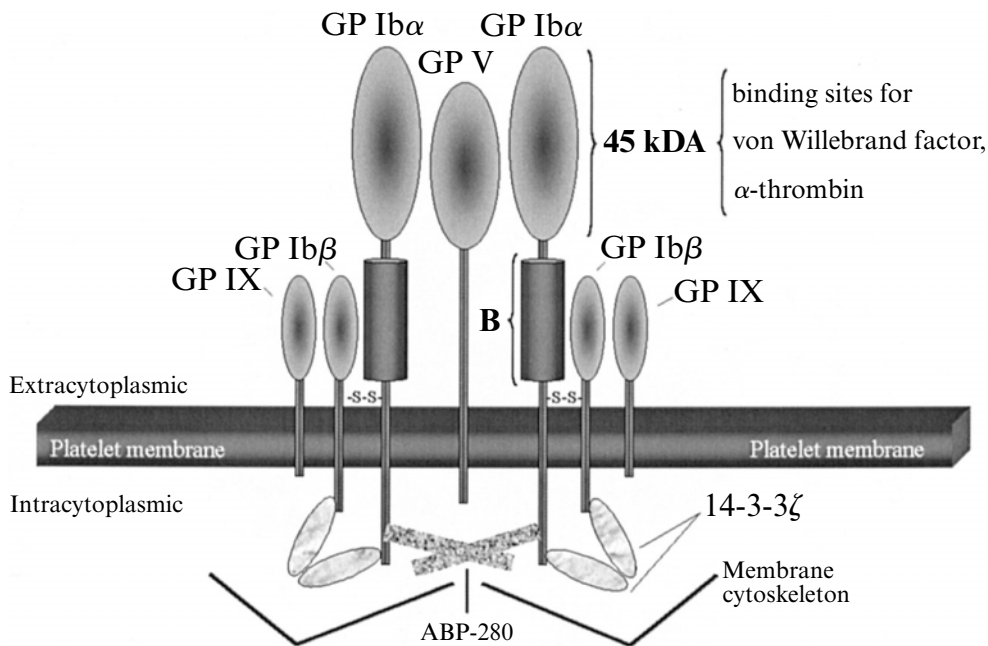


Fig. 12.2. The platelet GP Ib-IX-V complex. The GP Ib-IX-V complex exists on the platelet surface with a suggested stoichiometry of 2:2:2:1 (GP Ib $\alpha$ :GP Ib $\beta$ :GP IX:GPV). The  $\alpha$ -subunit of GP Ib (GP Ib $\alpha$ ) contains the ligand binding sites for vWF and  $\alpha$ -thrombin within an amino-terminal 45 kDa domain. In addition, GP Ib $\alpha$  contains a large macroglycopeptide domain (*b*) that supports surface exposure of the  $\alpha$ -subunit. Cytoplasmic interactions with the complex include ABP-280 (filamin) within the platelet membrane cytoskeleton and the 14-3-3 $\zeta$  isoform of phospholipase A2. The three-dimensional structure of the complex or isolated domains of the complex have not been determined but the illustrated subunit sizes do correspond with their molecular weight contribution to the complex. The depicted diagram should be considered a conceptual cartoon of the GP Ib-IX-V complex.

The 45 kDa domain of GP Ib $\alpha$  can be functionally recapitulated via domain-specific expression of a secreted soluble receptor fragment<sup>7,12</sup>. This work has led to the identification of a series of negatively charged amino acids within the linear sequence of GP Ib $\alpha$  that are critical for vWF binding. Three tyrosine residues whose modification via a post-translational sulfation event also contribute to the negative charge of the linear sequence and are critical for binding to vWF<sup>13,14</sup>.

The extracellular sequences of GP IX and GP Ib $\beta$  are similar to each other and are considerably smaller than GP Ib $\alpha$ . The lack of ligand binding sites within the extracellular sequences of either subunit seems to suggest their primary role in the complex is to support efficient intracellular assembly of the complex and translocation to the plasma membrane<sup>15</sup>. Each subunit of the GP Ib-IX-V complex has a single transmembrane domain. Recently, the cytoplasmic tails of GP Ib $\alpha$  and GP Ib $\beta$  have become areas of active research with the identification of a binding site for platelet phospholipase A2 ( $\zeta$ -isoform of the 14-3-3 protein)<sup>16,17</sup>; filamin (actin-binding protein 280) binds the

cytoplasmic tail of GP Ib $\alpha$ <sup>18</sup> and the biologic relevance of these interactions will be discussed below (p. 184).

A number of polymorphisms have been identified within the subunits of the GP Ib-IX-V complex. Most of the polymorphisms are associated with GP Ib $\alpha$  reflecting the more intense analysis and larger size of the GP Ib $\alpha$  gene. Most striking are the molecular weight variants visible by PAGE analysis which result from multiple replications of a 13-residue motif within the heavily glycosylated extracellular domain<sup>19,20</sup> (Fig. 12.2). A polymorphic amino acid, residue 145, within the 45 kDa domain of GP Ib $\alpha$  forms the basis for an alloantigen associated with platelet transfusion refractoriness<sup>21,22</sup>.

### Gene structure and expression

All four genes of the GP Ib-IX-V complex have a relatively simple organization with the majority of protein-encoding sequences present within a single exon. Detailed analysis for the promoters of GP Ib $\alpha$  and GP IX have been

performed and have identified essential GATA-1 and Ets cis-acting elements within the core promoter of each gene<sup>23,24</sup>. These results have been confirmed in GATA-1 deficient mice which have a sevenfold reduction in GP Ib $\alpha$  gene expression and have supported the hypothesis that GATA and Ets binding sites are major regulatory elements for megakaryocytic-specific gene expression<sup>25,26</sup>. Also relevant to the expression of GP Ib $\alpha$  is a polymorphic Kozak sequence which can alter the levels of expressed GP Ib-IX-V complex. Circulating platelets with genetically predetermined levels of GP Ib-IX-V may influence an individual's susceptibility for the development of cardiovascular disease although population studies will be necessary to convincingly establish a correlation<sup>27-29</sup>.

The expressed gene products of GP Ib $\alpha$ , GP Ib $\beta$  and GP IX assemble within the endoplasmic reticulum prior to being transported to the Golgi and the cell surface<sup>30</sup>. The role of GP Ib $\beta$  and GP IX in this process is to direct GP Ib $\alpha$  away from lysosomal degradation pathway and protect it from proteolysis<sup>30</sup>. This observation provides a mechanistic basis for how mutations within either the GP Ib $\beta$  or GP IX genes can abrogate the functional surface expression of the GP Ib $\alpha$  subunit. Of note, GPV is not a required component for assembly and expression of a GP Ib-IX complex<sup>15</sup>, but may regulate the ability of a GP Ib-IX or GP Ib-IX-V complex to bind vWF<sup>31,32</sup>. The absence of mouse GP V within the murine GP Ib-IX-V complex does not result in a murine Bernard-Soulier syndrome, nor have mutations within the human GP V gene been associated with the Bernard-Soulier phenotype<sup>33,34</sup>. Thus, the physiologic relevance of GPV expression is not obvious and remains to be established. However, as a known thrombin substrate, GP V may contribute in the platelet response to thrombin<sup>33,35</sup>.

A source of great confusion is the possibility of GP Ib-IX-V gene expression in cells of nonhematopoietic lineage. In particular, several reports from the same laboratory have identified an expressed GP Ib-IX complex on cultured endothelial cells<sup>36-39</sup>, but these studies have not proposed an in vivo consequence of expression at these nonhematopoietic sites. However, the in vitro expression of GP Ib-IX by cultured endothelial cells is not without controversy, as others have reported an inability to identify a GP Ib-IX complex on cultured endothelial cells<sup>40</sup>. Moreover, in vivo studies of murine endothelial cells have failed to identify an endothelial GP Ib-IX-V complex<sup>41</sup>. What is clear is vWF can bind to endothelial cells via the integrin receptor,  $\alpha_v\beta_3$  (40), or by electrostatic charges presented by glycosaminoglycans on the endothelial cell surface<sup>42</sup>.

## Congenital deficiencies

### The Bernard-Soulier syndrome

Although rare, this congenital disease may be severe with life-threatening bleeding episodes occurring from early childhood<sup>11</sup>. The bleeding is caused by an absent or dysfunctional GP Ib-IX-V complex and is clinically characterized by an inability of platelets to agglutinate in the presence of ristocetin and macrothrombocytopenia. The Bernard-Soulier syndrome is inherited as an autosomal recessive trait and is associated with a growing database of heterogeneous mutations within GP Ib $\alpha$ , GP Ib $\beta$  and GP IX [<http://www.bernard-soulier.org/>]. Overall, the published studies have supported a concept that the syndrome parallels congenital abnormalities in other multisubunit receptor complexes, to the extent that a single subunit prevents assembly and surface expression of the entire complex. A mouse model of the Bernard-Soulier syndrome recapitulates all of the known characteristics of the human syndrome<sup>43</sup>. There is a limited number of missense mutations which do not abrogate the surface expression of the complex but still result in a dysfunctional GP Ib-IX-V receptor. How these variant complexes generate macrothrombocytopenia is still unclear, but is assumed to be related to the expression of a structurally abnormal GP Ib-IX-V complex.

### Platelet-type von Willebrand disease

Nature has provided two bleeding disorders resulting in an altered affinity between vWF and platelet GP Ib-IX-V. The disease states, platelet type von Willebrand disease and type 2B von Willebrand disease, are abnormalities within the receptor or the ligand, respectively. Both result from missense mutations resulting in variant proteins capable of supporting an in vivo interaction between plasma vWF and platelets. In both cases, there is sufficient affinity to promote intravascular clumping, thrombocytopenia and a resulting bleeding disorder. These disorders have been model examples of mechanisms that regulate the interaction of vWF with GP Ib-IX-V. To date, two different mutations have been described that result in platelet-type von Willebrand disease and both reside within a limited region of GP Ib $\alpha$ <sup>44</sup>. It remains to be established which amino acids of vWF or GP Ib $\alpha$  are in direct contact with each other, but current hypotheses suggest that the phenotype in type 2B von Willebrand disease is due to amino acid substitutions which expose an otherwise cryptic binding site within the domain of vWF binding to GP Ib-IX-V<sup>45</sup>. A similar model for platelet-type von Willebrand disease would be that

alterations in the receptor are able to interact with a normally cryptic binding site within the ligand. Both platelet-type von Willebrand disease and type 2B von Willebrand disease are two excellent experimental models to understand the physiologic events that regulate the interaction of platelets with vWF.

## Biologic function

### Ligand binding – vWF

To mediate platelet adhesion and aggregation during thrombus formation platelets must form stable bonds with components of the extracellular matrix (adhesion) or with other platelets (aggregation). For both adhesion and aggregation to occur, the platelet must adhere to a reactive surface and resist the force of flowing blood that would move the platelet further through the bloodstream<sup>46</sup>. To achieve this biological function platelets have two receptors recognizing vWF, the GP Ib–IX–V complex and the integrin  $\alpha_{IIb}\beta_3$ <sup>47,48</sup>. In the case of vWF binding to platelet receptors a model has emerged in which the GP Ib–IX–V complex and  $\alpha_{IIb}\beta_3$  synergistically function in a temporal sequence of events<sup>49</sup>. A transient tethering of platelets to a thrombogenic surface requires the GP Ib–IX–V complex and results in reversible adhesion<sup>50</sup>. During this transient interaction the  $\alpha_{IIb}\beta_3$  receptor may become activated resulting in the stable arrest of the platelet from flowing blood<sup>46,51</sup>. The same process can be repeated to capture flowing platelets onto the surface created by the already adherent platelets. The net result is a thrombus formed by platelet aggregates. Fibrinogen contributes to the stabilization of the forming thrombus, even under conditions where vWF was responsible for the initiation of platelet recruitment<sup>46,52</sup>.

A number of experimental models have been developed to analyse the interaction of vWF with platelets. Historically, the use of the antibiotic ristocetin or the snake venom protein, botrocetin<sup>53</sup>, have been widely used with stirred platelets in the aggregometer. In the stirred aggregometer, soluble vWF supports platelet–platelet interactions mediated exclusively through GP Ib–IX–V and not dependent upon the  $\alpha_{IIb}\beta_3$  receptor. Alternatively, an agonist independent method of platelet aggregation can be demonstrated with soluble vWF and platelets in conditions generating high shear stress, but this event occurs only during high-shear and requires platelet activation and engagement of  $\alpha_{IIb}\beta_3$ <sup>54</sup>. In contrast, recently developed in vitro models of thrombus formation in flowing blood have documented surface-bound vWF as the exclusive ligand

when flow conditions mimic those commonly found in arterioles or small arteries. The vWF-dependent mechanisms that support platelet tethering and irreversible platelet attachment in the presence of flow, can also function in the absence of flow<sup>48</sup>. However, in vivo this latter process is part of a synergistic response involving a number of different collagen platelet receptors and  $\alpha_{IIb}\beta_3$  binding to fibrinogen. Thus, under these parameters the contribution of vWF is less obvious. Relative to future work, it is imperative that conclusions be referenced to the specific experimental assay, as the choice of modulator, chemical or mechanical, influences the relevance of the conclusion to in vivo platelet function.

### Ligand binding – thrombin

Thrombin binding to GP Ib is well documented although the relevance of this binding and its contribution to the thrombin activation of platelets is more controversial. The identification of GP Ib as a thrombin binding site on the platelet surface predates the characterization of the platelet protease-activated receptors (PAR-1 and PAR-4)<sup>55,56</sup>. Originally characterized as the high-affinity platelet binding receptor for thrombin<sup>57</sup>, later studies have shed doubt as to whether GP Ib should be considered the high-affinity thrombin binding site on platelets<sup>58</sup> and whether other factors, such as temperature, platelet–platelet interactions, internalization of the receptor or the inclusion of GPV into the complex can contribute to the formation of a high affinity binding site<sup>33,59</sup>. Regardless of these controversies, the 45 kDa amino-terminal domain of GP Ib $\alpha$  does contain a discrete linear amino acid sequence with homologies to other thrombin binding proteins, such as hirudin and the PARs, functioning as a distinct thrombin binding site<sup>14,60,61</sup>. A number of different reagents recognizing this linear sequence, such as monoclonal antibodies and the snake lectin echicetin, block thrombin binding to GP Ib and have provided the definitive proof of the receptor's thrombin binding properties<sup>6</sup>.

A fundamental difference in thrombin binding to GP Ib vs. binding to the platelet PARs, is that GP Ib is not cleaved by the bound thrombin, whereas thrombin binding to PARs is associated with proteolytic cleavage and platelet activation (see Chapter 8). The PARs are important for thrombin-induced platelet activation, but a role for GP Ib is suggested in the initial stages of hemostasis when sub-optimal levels of thrombin are present<sup>61</sup>. Thrombin binding to GP Ib may also generate a procoagulant environment on the platelet surface as suggested by studies documenting the ability of monoclonal antibodies which block thrombin binding to GP Ib, to also inhibit annexin V

binding to the platelet, one characteristic of a procoagulant membrane<sup>62</sup>. Thus, thrombin binding to GP Ib may generate an outer platelet membrane surface with procoagulant activity where prothrombin is cleaved by factor Xa to thrombin. Again, the molecular mechanisms are unresolved, but these observations are intriguing and may provide some hint for the physiologic relevance of thrombin binding to the GP Ib–IX–V complex.

### Cytoplasmic interactions

The cytoplasmic domains on the  $\alpha$ - and  $\beta$ -subunits of GP Ib associate with a number of intracytoplasmic molecules and presumably contribute to some undefined signalling pathways leading to integrin activation. One candidate element is a member of the 14–3–3 family of protein, specifically the  $\zeta$ -isoform, 14–3–3 $\zeta$ <sup>63</sup>. A binding site for 14–3–3 $\zeta$  is located in the carboxyl-terminus of GP Ib $\alpha$  and the binding is regulated by phosphorylation of GP Ib $\alpha$  at serine<sup>609</sup><sup>64</sup>. The expression of a GP Ib–IX lacking the 14–3–3 $\zeta$  binding site within GP Ib $\alpha$  reduces  $\alpha_{IIb}\beta_3$  activation, a result confirmed by a 14–3–3 $\zeta$  dominant-negative mutant's ability to inhibit vWF-induced integrin activation<sup>65,66</sup>. GP Ib $\beta$  also contributes to the binding of 14–3–3 $\zeta$  and this binding is regulated by protein kinase A<sup>17,67</sup>. Binding sites on both GP Ib $\alpha$  and GP Ib $\beta$  may reflect the fact that dimeric 14–3–3 $\zeta$  interacts with both subunits of GP Ib<sup>67</sup>. A model for the importance of 14–3–3 $\zeta$  binding to GP Ib is based on the release of 14–3–3 $\zeta$  from GP Ib which coincides with the ability of platelets to aggregate in the shear aggregometer. Moreover, blocking 14–3–3 $\zeta$  release from the tail of GP Ib is associated with the inhibition of aggregation<sup>67</sup>. Thus, signalling through the cytoplasmic tail of GP Ib seems plausible given the candidate interactions with known signalling molecules, but the downstream effectors that ultimately affect integrin activation have not been identified.

A second critical interaction site within the GP Ib $\alpha$  cytoplasmic tail is a region binding to filamin (also called actin binding protein or ABP-280). This interaction cross-links GP Ib–IX–V to actin filaments within the plasma membrane cytoskeleton. The interaction of GP Ib–IX–V with the membrane skeleton may have an important role in regulating vWF/GP Ib–IX–V interaction. Recent studies have demonstrated that pretreating platelets with cytochalasin D enhances shear-induced platelet aggregation and reduces the threshold required for platelet aggregation<sup>68,69</sup>. Moreover, Chinese hamster ovary cells with surface-expressed mutant complexes have also demonstrated the linkage between the GP Ib $\alpha$  and filamin is critical for the cytochalasin effect on GP Ib–IX–V mediated aggregation<sup>68</sup>.

### Platelets and inflammation of the endothelium

The platelet–subendothelial interaction via vWF is well established. However, recent studies have demonstrated a role for platelet GP Ib $\alpha$  in platelet–endothelium interaction in veins<sup>42</sup>. In normal conditions platelets do not interact with the endothelium. However, during inflammation platelets can adhere to the activated endothelial surface. Studies have suggested GP Ib $\alpha$  may be a counterreceptor for P-selectin and potentially mediate platelet rolling on the stimulated endothelium<sup>70</sup>. In vivo studies have documented rolling platelets on activated endothelium via a process that is dependent upon platelet GP Ib $\alpha$  and vWF<sup>42</sup>. The physiological relevance of this interaction is that it could cause platelet activation, which in turn generates a wide variety of platelet responses and potentially leads to venous thrombosis. Additional studies may help clarify the physiologic impact of platelet GP Ib $\alpha$  interactions with the intact endothelial surface.

### Megakaryocytopoiesis

Beyond its direct participation in platelet adhesive functions, the GP Ib–IX–V complex also participates in megakaryocytopoiesis and platelet morphogenesis. The congenital absence of GP Ib–IX–V produces a 'giant' platelet phenotype. A mouse model of the Bernard–Soulier syndrome has provided proof of principle with a direct link between the absent receptor and impaired megakaryocytopoiesis<sup>43</sup>. The results demonstrate that in the absence of a GP Ib–IX–V complex the mature megakaryocyte fails to develop a normal demarcation membrane system or display developing platelet fields in the cytoplasm (Fig. 12.3). A disordered membrane system in the absence of GP Ib–IX–V alters the normal platelet release from the megakaryocyte and results in a mild thrombocytopenia with 'giant' platelets, or macrothrombocytopenia. The molecular basis for the abnormal megakaryocytopoiesis may be linked to the cytoplasmic interactions between the GP Ib $\alpha$  subunit and components of the platelet membrane cytoskeleton, but may also be influenced by extracytoplasmic components of the complex as antibodies to GP Ib–IX can alter proplatelet formation in vitro<sup>71</sup>. Moreover, there is in vitro evidence that the expression of GP Ib–IX–V in heterologous cells can affect cell proliferation and by analogy might also regulate megakaryocyte growth<sup>72</sup>. Future studies will have to define the molecular basis of the aberrant megakaryocyte morphology and the subsequent impairment of normal platelet release from the mature megakaryocyte.

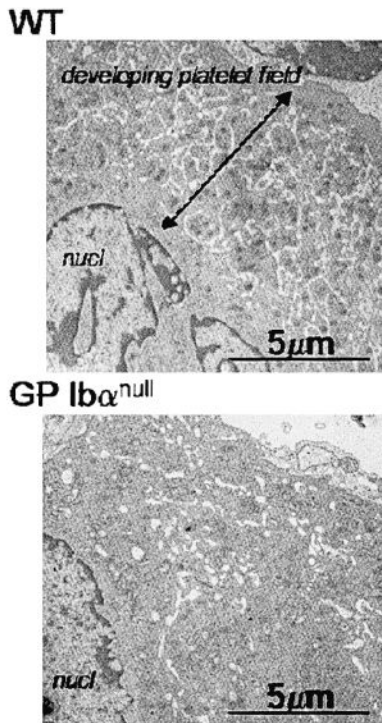


Fig. 12.3. Transmission electron microscopy of megakaryocytes devoid of platelet GP Ib $\alpha$ . Bone marrow from mouse femurs was isolated and prepped for transmission electron microscopy. Shown is a portion of mature megakaryocyte cytoplasm from a normal mouse (WT) and a GP Ib $\alpha$ -deficient mouse (GP Ib $\alpha^{\text{null}}$ ). Nuclear material is shown (*nucl*) along with a developing platelet field and demarcation membrane system in the normal megakaryocyte cytoplasm. GP Ib $\alpha$ -deficient animals have mature megakaryocytes devoid of a normal developing platelet field and display a vacuolated or disordered demarcation membrane system ultimately leading to the characteristic macrothrombocytopenia of the Bernard–Soulier syndrome<sup>43</sup>. (Copyright 2000 National Academy of Sciences, USA.)

## Conclusions

Several broad areas of work on the GP Ib–IX–V complex have the potential to provide some exciting results in the new millennium. First, will be a dissection of the molecular mechanisms whereby the complex participates in platelet activation. We have outlined studies highlighting the potential regulatory mechanisms that control vWF binding and, as such, generate both stimulatory and inhibitory intracellular platelet signals. Understanding these mechanisms may provide novel methods for manipulating platelet function in vivo. Secondly, will be further analysis on the role of the GP Ib–IX–V complex in megakaryocyto-

poiesis. The mechanisms that control platelet release from the mature megakaryocyte are still poorly defined. The observation that lack of a GP Ib–IX–V complex alters normal platelet release provides a starting point to use animal models to further define the mechanisms controlling this process. Thirdly, will be studies determining the three-dimensional structure of components of the GP Ib–IX–V complex. The crystal structure of the vWF domain interacting with GP Ib–IX–V has been solved, so the next logical step will be a determination of the receptor's structure or the determination of a receptor/ligand complex. Finally, the development of antithrombotics inhibiting the vWF/GP Ib–IX–V axis may have some surprising benefits over the currently used therapies. Conceptually, targeting a receptor functioning in the initial platelet response may provide a highly controlled inhibition of arterial thrombus formation with a reduced risk for spontaneous venous bleeding. When completed, these outlined studies will provide a highly detailed molecular map initiated by those astute observations of Erik von Willebrand, Jean Bernard and Jean-Pierre Soulier.

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## Platelet receptors: fibrinogen

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### Introduction and historic perspectives

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Scientists have always been intrigued by the highly visible reactions. Hence, platelet aggregation and fibrin deposition, the key events in one of the most visually dramatic of biological reactions, thrombus formation, have been the subject of intensive scrutiny for many decades. Evidence for an interplay between these key components of the thrombus had already begun to evolve in the early 1960s<sup>1-3</sup>. Platelets in plasma derived from patients with afibrinogenemia failed to aggregate or aggregated poorly when stimulated with ADP or epinephrine, but a robust aggregation response occurred upon addition of fibrinogen to the plasma. These observations also were documented in artificially defibrinated and reconstituted plasma<sup>4</sup>. In the 1970s, as investigators became adept at isolating functional platelets, the role of fibrinogen in platelet aggregation was corroborated; and the requirements for an agonist, a divalent cation and fibrinogen to support the aggregation response was documented<sup>5-7</sup>. By the late 1970s, formal studies, utilizing approaches developed to examine hormone-receptor interactions, to measure the direct interaction of fibrinogen with platelets, were under way<sup>6,8,9</sup>. Thus, in the early 1980s, results from multiple laboratories had documented that platelets expressed fibrinogen receptors, capable of binding this plasma protein in a specific and saturable manner<sup>10-15</sup>. Furthermore, an important functional response, platelet aggregation, could be ascribed to the binding of fibrinogen to the platelet surface.

The identity of the surface molecule mediating fibrinogen binding to platelets emerged soon thereafter although its history began much earlier. In the early 1900s the Swiss physician, Glanzmann, had described a group of patients with a bleeding syndrome whose platelets failed to aggregate<sup>16</sup>. Nurden and Caen<sup>17,18</sup> were among the first to use gel

electrophoresis to analyse the membrane proteins of platelets from patients defective in function and Phillips and Agin<sup>19</sup> demonstrated that two protein bands were missing from the membranes of platelets from patients with Glanzmann's thrombasthenia. Based upon their electrophoretic mobilities, these bands were II and III, and ultimately GPIIb and GPIIIa<sup>20</sup>. The failure of thrombasthenic platelets to bind fibrinogen<sup>8,21,22</sup>, the ability of monoclonal antibodies to the GPIIb-IIIa complex to block fibrinogen binding to platelets and induce a thrombasthenic-like state<sup>23</sup>, and the ability of isolated GPIIb-IIIa to bind fibrinogen<sup>24-26</sup> completed the circuit: platelet membrane glycoprotein GPIIb-IIIa served as a fibrinogen receptor on platelets and occupancy of this receptor was necessary for platelet aggregation.

Since the 1980s, the interaction of fibrinogen with platelets has remained the topic of extensive investigations. In 1980-2001, the number of citations dealing with fibrinogen and platelets totalled more than 3600 (PubMed search). The impetus for this extensive interest in this interaction comes from many directions. First, the capacity of platelets to aggregate is a central function of these cells. While we now appreciate that GPIIb-IIIa serves as a receptor for other adhesive proteins<sup>27</sup>, and these interactions are of clear importance in platelet adhesion and aggregation, fibrinogen recognition plays a pivotal role in hemostatic and thrombotic responses of platelets. Secondly, the interaction of fibrinogen with GPIIb-IIIa served as a prototype for the analyses of other ligand interactions with platelets and for adhesive ligand interactions with receptors on many other cell types. By the mid-1980s, it was apparent that GPIIb-IIIa was a member of a growing family of structurally, immunochemically and functionally related heterodimeric adhesion receptors<sup>28-30</sup>, which we now term the integrins<sup>31,32</sup>. In integrin nomenclature, GPIIb-IIIa is  $\alpha$ IIb $\beta$ 3, and this designation will be used

throughout. The ready availability of large quantities of platelets and, consequently, the capacity to isolate relatively large quantities of  $\alpha$ IIb $\beta$ 3 provided insights into integrin structure and function<sup>33,34</sup> that have been broadly applicable. Many of the paradigms regarding integrins, including their roles as signalling receptors, evolved from studies of  $\alpha$ IIb $\beta$ 3<sup>35–38</sup>. Thirdly, interference with fibrinogen binding to  $\alpha$ IIb $\beta$ 3 and its functional consequence, platelet aggregation, became the target of an intense and ultimately successful therapeutic strategy for development of antithrombotic agents to treat acute coronary syndromes and prevent the complications of cardiovascular interventions. Three such GPIIb–IIIa antagonists have been approved by the FDA in the United States, and well over 100 000 patients have been treated with these drugs<sup>39–41</sup>.

This chapter considers the fibrinogen receptors on platelets. Therefore, predicated on the historical synopsis above, the major emphasis will be on the interaction of fibrinogen with  $\alpha$ IIb $\beta$ 3. Relevant related chapters in this volume include the contributions by Tomiyama et al. on platelet membrane proteins (Chapter 5), Ware and Ruggeri on von Willebrand factor receptors (Chapter 12) and Phillips on GPIIb–IIIa antagonists (Chapter 63). However,  $\alpha$ IIb $\beta$ 3 is not the sole mediator of fibrinogen binding to the platelet surface. Two other integrins expressed by platelets,  $\alpha$ v $\beta$ 3 and  $\alpha$ 5 $\beta$ 1, can interact with fibrinogen. In addition, still other mechanisms exist for fibrin(ogen) to interact with the platelet surface. These  $\alpha$ IIb $\beta$ 3-independent interactions will also be considered briefly.

## Platelet fibrinogen receptors: $\alpha$ IIb $\beta$ 3

### Structure of $\alpha$ IIb $\beta$ 3

This brief consideration of the structure of  $\alpha$ IIb $\beta$ 3 emphasizes the properties that are relevant to its function as a fibrinogen receptor. Detailed reviews of the structure of  $\alpha$ IIb $\beta$ 3 can be found in the following citations<sup>42–46</sup> as well as in other chapters in the volume. The cellular distribution of  $\alpha$ IIb $\beta$ 3 is restricted primarily to platelets and megakaryocytes. Certain tumour cells also have been reported to express authentic  $\alpha$ IIb $\beta$ 3<sup>47,48</sup>. On the platelet surface, it is present at ~40 000–80 000 copies per cell<sup>49,50</sup>. This number approximates the number of fibrinogen binding sites on the surface of normal platelets<sup>8</sup>, and it is generally accepted that each  $\alpha$ IIb $\beta$ 3 can function as a fibrinogen receptor. In addition, an intracellular pool of  $\alpha$ IIb $\beta$ 3 exists within the membranes of platelet  $\alpha$ -granules and can become expressed at the platelet surface in association with the secretory reaction<sup>51,52</sup>. Thus, platelet stimulation

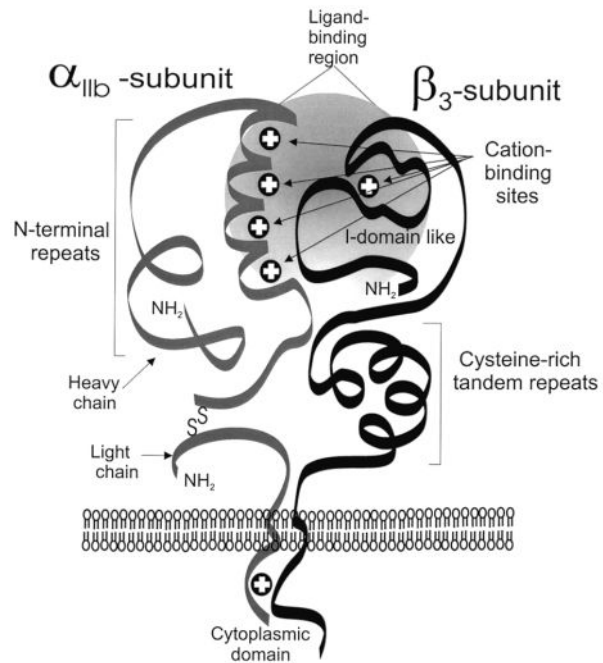


Fig. 13.1. Schematic model of integrin  $\alpha$ IIb $\beta$ 3 (adapted from ref. 40).

leading to secretion can increase the number of functional fibrinogen receptors by about 30–50%<sup>53</sup>. Rapid shuttling of  $\alpha$ IIb $\beta$ 3 between the cell surface and an intracellular pool has been observed, and may be involved in the import of fibrinogen into  $\alpha$ -granules<sup>54</sup>. Platelets from patients with Glanzmann's thrombasthenia lack functional  $\alpha$ IIb $\beta$ 3 and have reduced levels of fibrinogen within their  $\alpha$ -granules<sup>55</sup>. This observation, together with the inability of human megakaryocytes to synthesize fibrinogen, as well as more direct experimental evidence indicating that platelets and megakaryocytes can internalize extracellular proteins<sup>56–58</sup>, suggests that  $\alpha$ IIb $\beta$ 3 is involved in the transport of fibrinogen into platelet and/or megakaryocyte  $\alpha$ -granules. Thus,  $\alpha$ -granules contain both the ligand, fibrinogen, and the receptor,  $\alpha$ IIb $\beta$ 3; and there is evidence to suggest that these may, in part, be exported to the platelet surface as a preformed complex, capable of mediating platelet aggregation<sup>59</sup>.

A schematic model of  $\alpha$ IIb $\beta$ 3 is displayed in Fig. 13.1.  $\alpha$ IIb $\beta$ 3 is a typical member of the integrin family of adhesion receptors<sup>31,60</sup>. As such, it is a non-covalent heterodimer composed of an alpha subunit ( $\alpha$ IIb, GPIIb) and a beta subunit ( $\beta$ 3, GPIIIa). Calcium is necessary to maintain  $\alpha$ IIb $\beta$ 3 as a heterodimer<sup>61–65</sup>, explaining one of the roles of divalent cations in fibrinogen binding to this receptor. Each subunit is oriented with its N-terminus in the extracellular environment and its C-terminus within the platelet cytosol,

i.e. a Type I membrane protein orientation. Each subunit is composed of a short cytoplasmic 'tail', a single transmembrane domain, and a large and glycosylated extracellular region. Based upon their electrophoretic mobility on polyacrylamide gel electrophoresis in sodium dodecylsulfate, the estimated molecular weight of  $\alpha$ IIb is  $\sim$ 130 kDa and of  $\beta$ 3 is  $\sim$ 95 kDa<sup>20,66</sup>. Mature  $\alpha$ IIb is composed of 1108 amino acids<sup>67,68</sup>. Upon reduction, it separates into a heavy chain of 115 kDa and a light chain of 25 kDa. The heavy chain is entirely extracellular while the light chain contains the cytoplasmic tail and the membrane spanning region. The N-terminal aspect of the  $\alpha$ IIb heavy chain consists of seven homologous repeating segments of  $\sim$ 50 amino acids, which are predicted to fold into a  $\beta$ -propeller-like structure<sup>69</sup>, such as found in G-proteins. Also present in the mid-region of the  $\alpha$ IIb heavy chain are four putative cation binding motifs of 12 amino acids, which have the spacing of oxygenated amino acids to resemble EF-hand-like structures<sup>68</sup>,  $\text{Ca}^{2+}$  binding motifs such as is found in calmodulin. Cations are required for the binding of adhesive ligands to  $\alpha$ IIb $\beta$ 3<sup>8,13</sup> and are also necessary to maintain the non-covalent association between its subunits<sup>61,62,65</sup>. The cytoplasmic tail of  $\alpha$ IIb is very short (21 amino acids) and contains a high-affinity cation binding site at its C-terminus<sup>70</sup>. Recent structural analyses by NMR suggest that this cytoplasmic tail may be composed of a short  $\alpha$ -helix in its membrane proximal region followed by a bend which allows the C-terminus to interact with the helical region<sup>71</sup>. The mature  $\beta$ 3 subunit is 762 amino acids long, and about 90% of this sequence is extracellular. A dominant feature of this subunit is a long disulfide loop, which links its N-terminal aspects to its mid-section<sup>34,72</sup>. The mid-segment of  $\beta$ 3 ( $\sim$ 90–400) and other integrin beta subunits may fold into an I-domain-like structure composed of 5–6  $\alpha$ -helices and a similar number of  $\beta$ -strands<sup>43,73–76</sup>. I (also referred to as A) domains are found in several integrin alpha subunits (not in  $\alpha$ IIb) as well as several other proteins<sup>43,77,78</sup>. They contain a unique cation binding motif, a metal ion-dependent adhesion site (MIDAS) motif, in which non-contiguous amino acids coordinate the cation<sup>43,73,77,79</sup>. It is likely that the  $\beta$ 3 subunit also contains a MIDAS motif. Not only the mid-segment but also the remainder of the C-terminus of the extracellular domain is highly conserved among integrin beta subunits. This region is composed of four cysteine-rich tandem repeats of about 40 amino acids, which renders this region resistant to proteolysis<sup>74,80</sup>. A single transmembrane segment is followed by a cytoplasmic tail of fewer than 50 amino acids. Within this tail are tyrosine<sup>81,82</sup> and threonine<sup>83,84</sup> phosphorylation sites and a NPLY sequence<sup>85</sup>, which imparts a tight  $\beta$ -turn to the tail<sup>86</sup>. The extracellular regions of the  $\alpha$ IIb and  $\beta$ 3 subunits inter-

act to form the extracellular domain which mediates the binding of fibrinogen and other ligands to the receptor. In addition, the cytoplasmic tails of the  $\alpha$ IIb and  $\beta$ 3 subunits also appear to interact with each other to form a cytoplasmic domain<sup>70,87,88</sup>, which regulates  $\alpha$ IIb $\beta$ 3 activation, signalling and interaction of the receptor with the platelet cytoskeleton and with the signalling machinery of the cell.

Multiple approaches have been implemented to identify regions and sequences within  $\alpha$ IIb $\beta$ 3 that are involved in ligand recognition. These have included various crosslinking approaches using intact fibrinogen<sup>89,90</sup> as well as its recognition peptides<sup>91–93</sup>; identification of point mutations that inactivate the function of  $\alpha$ IIb $\beta$ 3 in thrombasthenic patients<sup>94–100</sup>; site-directed mutagenesis of single residues or small segments of the molecule<sup>80,101</sup>; and expression and functional characterization of recombinant fragments of the receptor<sup>102,103</sup> and its subunits<sup>104–106</sup>. All approaches have yielded valuable information regarding the structure–functional relationships for ligand recognition by  $\alpha$ IIb $\beta$ 3. However, an overall understanding of where and how fibrinogen binds to  $\alpha$ IIb $\beta$ 3 remains uncertain, and high resolution structures by NMR or crystallography appear to be necessary for ultimate clarification. To provide a brief synopsis of the existing information, both subunits appear to contain contact sites for ligand<sup>107,108</sup>, and their complex is necessary for high affinity binding of fibrinogen<sup>26,64</sup>. The ligand binding site appears to reside in the N-terminal half of the  $\alpha$ IIb $\beta$ 3 complex. Within the  $\alpha$ IIb subunit, the N-terminal region, residues 1–400, imparts the specificity to  $\alpha$ IIb $\beta$ 3 that distinguishes it from its sister receptor,  $\alpha$ v $\beta$ 3<sup>109</sup>, which shares the same  $\beta$ 3 subunit. This region contains the seven N-terminal repeats, which form the putative  $\beta$ -propeller, and the four EF-hand-like cation binding domains<sup>46</sup> (see Fig. 13.1). Various point mutations that inactivate ligand binding to integrins cluster on spatially contiguous segments of the blades of the  $\beta$ -propeller<sup>69,101,110,111</sup>. Expression of the four EF-hand-like motifs leads to a recombinant fragment with fibrinogen and cation binding properties<sup>104</sup>, but this segment does not establish the specificity of the receptor for fibrinogen<sup>112</sup>. The fibrinogen  $\gamma$ -chain peptide also cross-links within this region<sup>93</sup>, and peptides from within the region exhibit fibrinogen binding properties<sup>113,114</sup>. Within the  $\beta$ 3 subunit, the mid-segment extending from residues 95–400 is strongly implicated in the ligand binding function of the receptor (although certain antibodies reactive with the more N-terminal aspects of the receptor can also be function blocking<sup>115,116</sup>). This region corresponds to the putative I-domain in the  $\beta$ 3 subunit and contains the predicted MIDAS motif<sup>43</sup>. Several naturally occurring and site-directed mutations that inactivate  $\alpha$ IIb $\beta$ 3 reside within this

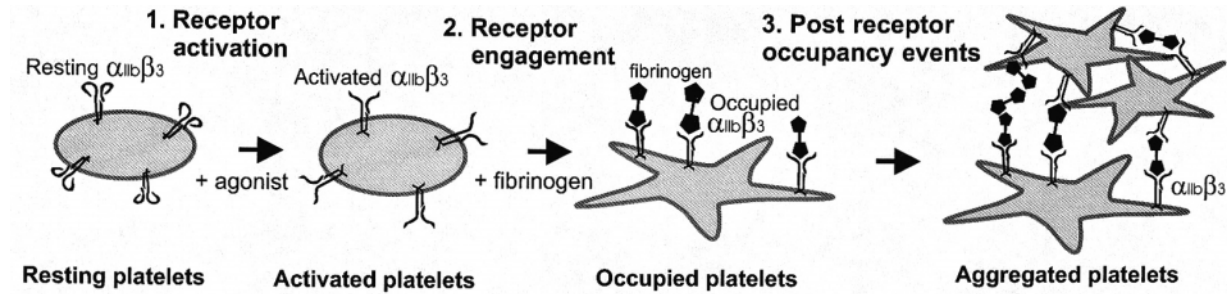


Fig. 13.2. Sequential events associated with fibrinogen binding to  $\alpha\text{IIb}\beta_3$  on platelets.

mid-segment. RGD peptides cross-link within the region of both  $\alpha\text{IIb}\beta_3$ <sup>117</sup> and  $\alpha\nu\beta_3$ <sup>118</sup>. This region also contains sequences which determine the ligand specificity of other integrins<sup>119</sup> and mediate recognition of monoclonal antibodies that alter the function, both activating and inhibitory, of their integrins<sup>120</sup>, including ones to  $\alpha\text{IIb}\beta_3$ <sup>121</sup>. Peptides containing key residues of the MIDAS domain can bind both cation and ligand in a mutually exclusive manner<sup>122</sup>, and mutation of the cation coordinating residues within the MIDAS inhibit ligand binding<sup>60</sup>. Fibrinogen and RGD peptides also can bind to recombinant fragments from this  $\beta_3$  mid-segment<sup>105,106</sup>. While most attention has been focused on these two regions of the  $\alpha\text{IIb}$  and  $\beta_3$  subunits, regions outside these segments can also influence receptor function. Mutations within other sites within the extracellular domain of  $\alpha\text{IIb}\beta_3$ <sup>123</sup> and within each of the cytoplasmic tails can alter the activation states of the receptor, rendering it constitutively active or incapable of activation<sup>124–128</sup>.

### Interaction of fibrinogen with $\alpha\text{IIb}\beta_3$ : a multistep reaction

From early studies of fibrinogen binding to platelets, it became apparent that the interaction could be dissected into a series of distinct steps<sup>13,129–131</sup>. These steps are identified as: (i) receptor activation or induction, the process by which  $\alpha\text{IIb}\beta_3$  is transformed into a competent fibrinogen receptor; (ii) fibrinogen engagement, the reversible interaction of fibrinogen with  $\alpha\text{IIb}\beta_3$ ; and (iii) the postreceptor occupancy events, including the transition of fibrinogen from a reversibly to an irreversibly bound state and the intracellular signalling events initiated by  $\alpha\text{IIb}\beta_3$  occupancy. These steps are discussed separately below.

#### Receptor activation

Circulating platelets express  $\alpha\text{IIb}\beta_3$  at high density on their surface and are exposed to the  $\mu\text{M}$  concentrations of

fibrinogen in blood, well in excess of the affinity of their interaction, yet the receptor does not become saturated or even minimally occupied by ligand. The reaction between fibrinogen and  $\alpha\text{IIb}\beta_3$  is tightly controlled, perhaps one of the most remarkable examples of such tight receptor regulation. This regulation is dependent upon the capacity of  $\alpha\text{IIb}\beta_3$  to transit from a resting state in which it recognizes fibrinogen with very low affinity to an activated state in which it functions as a competent receptor for the ligand. This transition must be very rapid to limit blood loss at sites of vascular injury. Thus, the first step in the sequence of events leading to engagement of  $\alpha\text{IIb}\beta_3$  by fibrinogen is receptor activation (see Fig. 13.2). This transition of  $\alpha\text{IIb}\beta_3$  from a resting to an activated state is induced by stimulation of platelets with any one of several physiological agonists. Platelet agonists that support this transition include ADP, epinephrine, thrombin, vWF, collagen, and certain arachidonic acid metabolites. Certain of these agonists (ADP, vWF and thromboxanes) are produced and released from the platelets themselves, thereby perpetuating or accelerating the activation process. Others (collagen and vWF) are the matrix proteins which mediate the initial adhesion of platelets to sites of vascular damage and, thereby, can trigger thrombus formation at these sites. While these agonists are quite distinct from one another in structure, they share the capacity to interact with receptors on the platelet surface that are capable of transducing intracellular signalling events within the cell. These ligands and their receptors are the subjects of Chapters 8–12 in this volume. Ultimately, these intracellular signalling events converge on the cytoplasmic domain of  $\alpha\text{IIb}\beta_3$  and elicit activation of the receptor. The process by which these intracellular signals are transmitted from within the cell to activate the ligand binding site within the extracellular domain is referred to as inside-out signalling<sup>37,132</sup>. The most proximal and specific event that activates at the cytoplasmic tails of  $\alpha\text{IIb}\beta_3$  remains to be clearly defined and could entail the association of an activator or the

dissociation of an inhibitor from the cytoplasmic tails of the receptor<sup>133</sup>. Yeast two-hybrid screening approaches using the cytoplasmic tail of either  $\alpha$ IIb or  $\beta$ 3 as a bait have identified several candidate regulatory molecules<sup>134–136</sup> but the physiological role of any of these remains uncertain at this time. Changes in the linkages of  $\alpha$ IIb $\beta$ 3 to the platelet cytoskeleton have been strongly implicated as a possible regulator of receptor activation<sup>86,137,138</sup>. Phosphorylation events are common mechanisms for receptor activation. Both threonine<sup>83,84</sup> and tyrosine<sup>81,82</sup> phosphorylations occur in the cytoplasmic tail of the  $\beta$ 3 subunit; however, these modifications appear to occur as a consequence of fibrinogen binding to  $\alpha$ IIb $\beta$ 3 and are viewed as being more involved in the transmission of outside-in signalling events rather than in receptor activation<sup>81</sup>.

Within the context of  $\alpha$ IIb $\beta$ 3 *per se*, there are two potential mechanisms for enhancing its ligand recognition capabilities. These processes are referred to avidity or affinity modulation. Avidity modulation depends upon the clustering of receptors within the membrane so as to increase their local concentration and, thereby, drive the recognition of multivalent ligands, such as fibrinogen. Accordingly, redistribution of  $\alpha$ IIb $\beta$ 3 on the platelet membrane would be the controlling event for activation of its fibrinogen binding capacity. Redistribution of  $\alpha$ IIb $\beta$ 3 has been demonstrated by electron microscopy on the surface of adherent and spreading platelets<sup>139–142</sup>; and clustering of  $\alpha$ IIb $\beta$ 3 by artificial means can result in fibrinogen binding to the receptor<sup>143</sup>. Moreover, avidity modulation appears to be an important mechanism for integrin activation, particularly for members of the  $\beta$ 2 subfamily<sup>144</sup>. Nevertheless, most data indicate that affinity modulation is the major mechanism for conversion of  $\alpha$ IIb $\beta$ 3 to a competent fibrinogen receptor. Affinity modulation depends upon an intrinsic change in the individual receptors to enhance their affinity for ligand. In the case of  $\alpha$ IIb $\beta$ 3, this is achieved by the transmission of a conformational change, initiated within the cytoplasmic domain of the receptor, across the platelet membrane to the ligand recognition site in the extracellular domain to render it competent to bind fibrinogen. Several experimental lines of evidence have been developed to demonstrate that  $\alpha$ IIb $\beta$ 3 can exist in different conformational states and can bind a soluble, monovalent ligand, indicative of affinity modulation<sup>145,146</sup>. Furthermore,  $\alpha$ IIb $\beta$ 3 can be isolated in a resting state<sup>147–150</sup>, and its ligand binding function can be activated under conditions where receptor density remains constant. Nevertheless, combinations of avidity and affinity modulations may both contribute to fibrinogen binding<sup>143</sup>.

### Fibrinogen engagement

The binding of fibrinogen to activated  $\alpha$ IIb $\beta$ 3 on platelets is divalent cation-dependent and is optimally supported by millimolar calcium or magnesium concentrations<sup>8,13</sup>. Initially, this interaction fulfills the characteristics of true equilibrium binding. It is fully reversible and can be described by simple on and off rate constants and a dissociation constant<sup>129,151</sup>. The  $K_d$  of the interaction is  $\sim$ 300 nmol/l<sup>8,13</sup>. The number of fibrinogen molecules bound per platelet mirrors the number of  $\alpha$ IIb $\beta$ 3 molecules on the cell surface. Thus, 40 000 to 80 000 fibrinogen molecules are bound per platelet, and the number can exceed 100 000 if secretion has occurred to expose the granule pool of  $\alpha$ IIb $\beta$ 3<sup>53</sup>. However, the number of fibrinogen molecules that must be bound per platelet to support platelet aggregation is considerably less. Optimal therapy with GPIIb–IIIa antagonists seeks to attain greater than 80% inhibition of receptor occupancy, which suggests that fibrinogen binding to 20% of the available receptors is sufficient to sustain limited thrombus formation<sup>41,152</sup>. By virtue of its dimeric structure and the spacing of its recognition sites at distant positions in the molecule (see Fig. 14.3, fibrinogen reversibly bound to  $\alpha$ IIb $\beta$ 3 can bridge between adjacent platelets and support aggregation<sup>153,154</sup>. Other adhesive proteins, such as fibronectin, even though dimeric or multimeric, do not express their  $\alpha$ IIb $\beta$ 3 recognition sites in an appropriate orientation to bridge between platelets<sup>155</sup> and, therefore, do not support aggregation. However, the evidence that single fibrinogen molecules actually bridge between platelets linking them together in a thrombus is less than compelling. For example, fibrinogen can be bound to  $\alpha$ IIb $\beta$ 3 at high density but still fails to support aggregation<sup>156,157</sup>. Such observations suggest that postreceptor occupancy events are necessary to elicit an aggregation response.

### Postreceptor occupancy events

The postreceptor occupancy events refer to a complex series of reactions that occur following the initial reversible binding of fibrinogen to  $\alpha$ IIb $\beta$ 3. As noted above, these events may control consequences of fibrinogen binding to the receptor as fundamental as platelet aggregation itself. The first of these postreceptor occupancy events to be identified was the transition of fibrinogen from a reversibly to an irreversibly bound state, i.e. the bound fibrinogen becomes essentially non-dissociable<sup>13,129,130,158</sup>. Reagents that interfere with the initial binding of fibrinogen to  $\alpha$ IIb $\beta$ 3, such as EDTA or metabolic inhibitors, fail to dissociate the ligand from the platelet<sup>159</sup>. Changes in the access of bound fibrinogen to proteases and to certain antibodies also occur as a consequence of the stabilization reaction<sup>160</sup>.

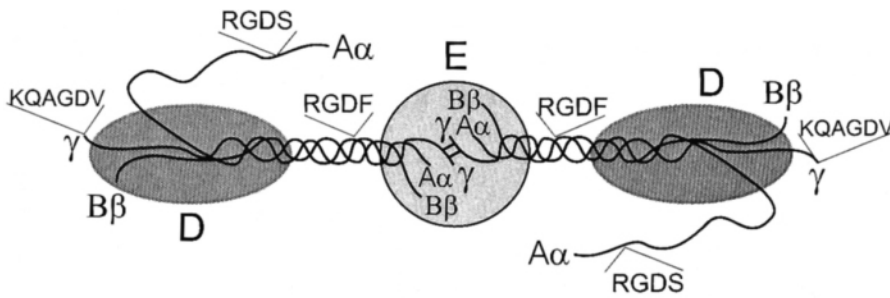


Fig. 13.3. Schematic model of fibrinogen depicting its D and E domain organization and its constituent chains. The sequences defining the recognition specificity of  $\alpha$ IIb $\beta$ 3 on platelets are: KQAGDV at the C-terminus (406–411) of the  $\gamma$ -chain, RGDS at A $\alpha$ 572–575 and RGDF at A $\alpha$ 95–98.

The functional consequence of this transition is the stabilization of platelet aggregates such that they become poorly dissociable<sup>13</sup>. Two prevailing mechanisms have been evoked to explain the basis for irreversible fibrinogen binding. One possibility is that irreversibly bound fibrinogen remains associated with the platelet surface. For example, fibrinogen may bind to  $\alpha$ IIb $\beta$ 3 initially via its  $\gamma$ -chain sequence, but then may develop additional contacts, such as via the RGD or still other sequences, with the receptor, or the ligand–receptor complex becomes associated with the cytoskeleton, which stabilizes the interaction. There is support for this latter possibility<sup>161</sup>. The second possibility is that the bound fibrinogen becomes internalized into a distinct compartment within the platelet. Internalization of ligands bound to  $\alpha$ IIb $\beta$ 3 has been demonstrated by multiple approaches<sup>54,162</sup> and provides a ready explanation for the observed changes in the accessibility of bound fibrinogen to antibody and protease probes. Combinations of both mechanisms also may be operational.

A second category of postreceptor occupancy events is the induction of the LIBS and RIBS epitopes, acronyms for ligand induced binding sites and receptor induced binding sites<sup>163</sup>. These are epitopes recognized by monoclonal antibodies that react preferentially with the occupied receptor (LIBS) or the bound ligand (RIBS). LIBS antibodies that recognize  $\alpha$ IIb $\beta$ 3 react poorly with the resting or activated receptor, but are expressed by  $\alpha$ IIb $\beta$ 3 when it is occupied by fibrinogen and other ligands<sup>164–168</sup>. There are multiple LIBS within  $\alpha$ IIb $\beta$ 3, and the epitopes reside in both the  $\alpha$ IIb and  $\beta$ 3 subunits<sup>169</sup>. Certain, but not all of the LIBS, are induced by the  $\gamma$ -chain and RGD ligand peptides. Still other LIBS antibodies react weakly but sufficiently with resting  $\alpha$ IIb $\beta$ 3 to induce activation of the receptor<sup>167</sup>. Other LIBS alter functional responses of occupied  $\alpha$ IIb $\beta$ 3, including its mediation of clot retraction<sup>165</sup>. Antibodies with LIBS recognition properties can occur naturally and can lead to

thrombocytopenia when GPIIb–IIIa antagonists are administered to some individuals<sup>170</sup>. RIBS are epitopes that are induced in the ligand upon its binding to the receptor<sup>163,171–173</sup>. Thus, there are specific monoclonal antibodies that react with fibrinogen when it is bound to  $\alpha$ IIb $\beta$ 3 but not when it is in free solution. Fibrinogen–RIBS can be induced by other modifications of the molecule, such as its deposition on surfaces or its proteolytic degradation<sup>171,173</sup>. Together, the induction of LIBS and RIBS indicate the dynamic nature of the postreceptor occupancy events with the induction of multiple and long-range conformational changes in both fibrinogen and  $\alpha$ IIb $\beta$ 3<sup>174</sup>.

Additional postreceptor occupancy events include the induction of an extensive array of outside-in signalling responses. Rapid phosphorylation and activation of specific tyrosine and serine/threonine kinases, activation of specific phosphatases, recruitment of adaptor proteins, cytoskeletal rearrangements, phospholipase activation and hydrolysis of phosphoinositides are among the responses that are elicited upon fibrinogen binding to  $\alpha$ IIb $\beta$ 3<sup>38,175,176</sup>. Some of these outside-in signals are evoked directly by receptor occupancy, but many depend upon aggregation of the platelets. The characterization of these outside-in signalling events in platelets has provided valuable insights into the nature and regulation of integrin signalling in general. Moreover, these down-stream signalling events appear to contribute to platelet function as well. One obvious justification of this statement is mechanism of clot retraction. This contraction stabilizes the thrombus and renders it more resistant to lysis. The force for clot retraction depends upon the rearrangement of the actin cytoskeleton, which presumably ‘pulls’ on the cytoplasmic domain of  $\alpha$ IIb $\beta$ 3 and, thereby, on the fibrin bound to the receptor. This contractile pull on fibrin between platelets results in retraction of the thrombus. However, clot retraction is mechanistically much more complicated. Since platelets from patients with Glanzmann’s thrombasthenia

do not support clot retraction<sup>177</sup>,  $\alpha$ IIB $\beta$ 3 is required for this response. Nevertheless, there is evidence that sites within fibrinogen, in addition to those known to mediate its binding to  $\alpha$ IIB $\beta$ 3, are required for clot retraction<sup>178</sup>. The possibility that other fibrin(ogen) receptors may participate in clot retraction is suggested from the capacity of other cell types which lack  $\alpha$ IIB $\beta$ 3 to mediate this response<sup>179,180</sup>. Additional evidence of the importance of these downstream events in platelet functions comes from studies of transgenic mice expressing  $\alpha$ IIB $\beta$ 3 in which the two tyrosine residues that are targets for phosphorylation in the  $\beta$ 3 cytoplasmic tail were mutated to phenylalanines. Although this phosphorylation is detected as a postreceptor occupancy event dependent upon platelet aggregation, the aggregation response of the transgenic mouse platelets shows abnormalities<sup>181</sup>.

### Recognition of fibrinogen by $\alpha$ IIB $\beta$ 3

Soon after the initial characterization of fibrinogen binding to  $\alpha$ IIB $\beta$ 3, efforts were initiated to identify the sites within the ligand that were recognized by the receptor. This endeavour represented a major challenge as fibrinogen is a molecule of 340 kDa and is composed of three pairs of polypeptide chains (see Fig. 13.3). Classical biochemical strategies, including proteolytic and chemical degradation and synthetic peptide approaches, were applied<sup>153,182–185</sup>. Ultimately, two synthetic peptides corresponding to two discrete amino acid sequences were obtained which defined the recognition specificity of  $\alpha$ IIB $\beta$ 3 for fibrinogen as well as for other adhesive ligands of the receptor<sup>186</sup>. The first of these peptide sets corresponds to the extreme C-terminus of the constituent  $\gamma$ -chain of fibrinogen<sup>153,183</sup>, and KQAGDV was the minimal active sequence<sup>187</sup>. This sequence, often referred to as the  $\gamma$ -chain peptide, is relatively specific for  $\alpha$ IIB $\beta$ 3 and reacts considerably less well with other platelet integrin fibrinogen receptors,  $\alpha$ v $\beta$ 3 and  $\alpha$ 5 $\beta$ 1. The second peptide, referred to as the RGD peptide, has Arg–Gly–Asp–X as its minimal sequence. RGD sequences reside at two sets of sites in the A $\alpha$ -chain of fibrinogen. RGD sequences also are present within and mediate the interaction of several of the other adhesive ligands for  $\alpha$ IIB $\beta$ 3<sup>188,189</sup> and define the recognition specificity of several other integrins for their ligands, including  $\alpha$ v $\beta$ 3 and  $\alpha$ 5 $\beta$ 1<sup>190,191</sup>. While both sequences were identified through a systematic dissection of fibrinogen, the adhesive properties of the RGD peptide had been previously identified within fibronectin<sup>192</sup> and had been shown to inhibit binding of this ligand<sup>188</sup> as well as fibrinogen and vonWillebrand factor to  $\alpha$ IIB $\beta$ 3<sup>184</sup>. Both peptide sets are effective inhibitors of fibrinogen binding to  $\alpha$ IIB $\beta$ 3 and

platelet aggregation, and both bind directly to  $\alpha$ IIB $\beta$ 3<sup>187,193</sup>. This latter characteristic distinguishes these recognition peptides from other peptides derived from fibrinogen, which also inhibit platelet aggregation but do so by binding to fibrinogen<sup>194,195</sup>. However, of the RGD and the  $\gamma$ -chain sequences, it is only the latter that is essential for fibrinogen binding to  $\alpha$ IIB $\beta$ 3. Mutation of the  $\gamma$ -chain sequence, but not the RGD sequences, blocks fibrinogen binding to  $\alpha$ IIB $\beta$ 3 in vitro<sup>196,197</sup> and in vivo<sup>198</sup>. Secondary roles of the RGD or other sequences in mediating or stabilizing fibrinogen- $\alpha$ IIB $\beta$ 3 interactions have been suggested, but unequivocal evidence for such a role has not been developed. Current evidence suggests that the inhibitory activity of RGD peptides may be mediated by an allosteric mechanism, i.e. they bind to  $\alpha$ IIB $\beta$ 3 and alter the conformation of the receptor such that recognition of the  $\gamma$ -chain sequence is blunted<sup>199</sup>. Among the evidence to support this interpretation are data indicating the RGD and  $\gamma$ -chain peptides can bind to distinct sites in  $\alpha$ IIB $\beta$ 3<sup>106</sup>. Nevertheless, RGD peptides have proven to be extremely valuable in defining the recognition specificity of  $\alpha$ IIB $\beta$ 3 and other integrins and in the development of GPIIb–IIIa antagonists. Several of the oral antagonists under development and the FDA approved parenteral drug, tirofiban, have used the RGD sequence as a starting point in their design<sup>200–202</sup>.

## Other platelet fibrinogen receptors

### Other integrins

Fibrinogen is known to be a ligand for two members of the integrin family in addition to  $\alpha$ IIB $\beta$ 3, which are expressed on platelets.  $\alpha$ 5 $\beta$ 1 is classically referred to as the fibronectin receptor<sup>203–205</sup>. About 1000 to 4000 copies of  $\alpha$ 5 $\beta$ 1 are expressed per platelet<sup>206</sup>. On resting platelets, under conditions where  $\alpha$ IIB $\beta$ 3 does not contribute to binding, a low level of fibrinogen binding can be detected, which is inhibited by antibodies to  $\alpha$ 5 $\beta$ 1<sup>207</sup>. This receptor recognizes the RGD sequence in the C-terminal region of the A $\alpha$  chain, and its affinity for fibrinogen appears to be similar to that of  $\alpha$ IIB $\beta$ 3<sup>207</sup>. A role of  $\alpha$ 5 $\beta$ 1 in adhesion of unactivated platelets to fibrin(ogen) can be envisioned but has not been demonstrated.

$\alpha$ v $\beta$ 3, classically referred to as the vitronectin receptor<sup>208</sup>, also binds fibrinogen employing a RGD recognition specificity<sup>28,209–211</sup>.  $\alpha$ v $\beta$ 3 may recognize the RGD sequence in the C-terminal aspects of the A $\alpha$  chain<sup>212,213</sup> or a sequence unrelated to RGD<sup>214,215</sup>. This receptor interacts poorly with the C-terminal  $\gamma$ -chain sequence<sup>213</sup>. Thus, its



recognition specificity for fibrinogen is related to but distinct from  $\alpha$ Ib $\beta$ 3. Also distinct is the divalent cation requirements for fibrinogen binding. Calcium suppresses fibrinogen binding to  $\alpha$ v $\beta$ 3 but not to  $\alpha$ Ib $\beta$ 3<sup>216,217</sup>.  $\alpha$ v $\beta$ 3 is present on platelets but only at a low copy number; estimates range from 200 to 500 copies of  $\alpha$ v $\beta$ 3 per platelet<sup>210,218</sup>. In patients with Glanzmann's thrombasthenia, the defect may reside in the  $\alpha$ Ib or the  $\beta$ 3 genes. In the latter case,  $\alpha$ v $\beta$ 3 is not expressed on platelets or other cells. The hemostatic abnormalities in these two classes of thrombasthenics are similar suggesting that  $\alpha$ v $\beta$ 3 plays a limited physiological role. This receptor may play a much more prominent role in pathogenesis. In tumour growth, metastasis and angiogenesis,  $\alpha$ v $\beta$ 3 is believed to play a central function<sup>219–221</sup>. Fibrin<sup>222</sup> and platelets<sup>223</sup> play crucial roles in tumorigenesis, and recognition of fibrin by  $\alpha$ v $\beta$ 3 may be involved in mediating their interactions with tumour cells. The importance of  $\alpha$ v $\beta$ 3 on platelets is more likely to depend upon its recognition of ligands that are not recognized by  $\alpha$ Ib $\beta$ 3. Osteopontin appears to represent such a ligand<sup>224</sup>.

### Still other fibrinogen receptors?

Thrombospondin I (TSP-I) is a major platelet  $\alpha$ -granule protein<sup>225,226</sup>. Upon secretion, a portion of the released TSP-I associates with the platelet surface<sup>226–228</sup>. Several candidate TSP-I receptors have been identified on platelets including  $\alpha$ Ib $\beta$ 3<sup>228</sup>,  $\alpha$ v $\beta$ 3<sup>211,229</sup>, CD36 (GPIV)<sup>230–232</sup> and surface glycoaminoglycans<sup>233</sup>. Relevant to this chapter is the fact that TSP-I binds fibrinogen with high ( $\text{nM}^{-1}$ ) affinity<sup>234,235</sup>. Thus, fibrinogen could bridge between TSP-I molecules bound to adjacent platelets and mediate their aggregation<sup>236</sup>.

While fibrinogen has provided a convenient ligand for analysis of binding functions, fibrin may be the more physiologically relevant ligand for the platelet. Fibrin and fibrinogen are not only structurally but also conformationally distinct. However, the insolubility and heterogeneity of fibrin has made detailed analyses of its interaction with platelets difficult. Nevertheless, some studies have been published and have suggested that fibrin interacts with  $\alpha$ Ib $\beta$ 3 with high affinity<sup>237–239</sup>. Fibrin may interact with additional sites in  $\alpha$ Ib $\beta$ 3 other than fibrinogen<sup>240</sup>. There also is evidence for  $\alpha$ Ib $\beta$ 3-independent interactions of fibrin with platelets<sup>241</sup>. For example, polymerizing fibrin can interact with thrombasthenic platelets<sup>242</sup>. Such observations suggest potentially unique interaction of fibrin(ogen) derivatives with platelets. The importance of these alternative mechanisms of interaction of fibrin(ogen) with platelets is conjectural at this time.

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## Platelet signalling: GTP-binding proteins

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### Introduction

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To respond to extracellular signals, platelets possess different types of surface receptors for stimulatory and inhibitory ligands. Surface receptors can be classified in three groups each possessing a specific property by which information is relayed through the plasma membrane. These are: (i) G-protein linked receptors, (ii) enzyme linked receptors and, (iii) ion channel-linked receptors. G-protein linked receptors are seven transmembrane receptors that relay the stimulus to high molecular weight, heterotrimeric GTP-binding regulatory proteins (G-proteins in short) consisting of three polypeptides, an  $\alpha$ -, a  $\beta$ - and a  $\gamma$ -subunit. Enzyme linked receptors have a single transmembrane domain and contain a cytosolic tail with an intrinsic enzyme activity or that associates directly with an enzyme. They start signalling cascades that involve a second class of GTP-binding proteins which are low molecular weight, monomeric GTPases (small GTPases in short). Ion channel linked receptors respond directly to ligand binding by opening a channel pore resulting in single channel currents.

Both G-proteins and small GTPases are members of the superfamily of GTPases. They function as molecular switches between a GTP-bound 'on-state' and a GDP-bound 'off-state'. Hence, they are important elements in the initiation and termination of signal transduction. In addition, they serve in amplification of signals helping to convert a weak extracellular stimulus into a strong intracellular signal. The fact that one G-protein might be connected to several receptors or couple to more than one downstream effector enables integration of different signals. Thus, GTP-binding proteins are key elements in the signalling cascades that initiate shape change, aggregation, secretion and contraction, or prevent this<sup>1-5</sup>.

### G-proteins and small GTPases

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#### G-proteins

The classification 'G-protein linked receptor' is based on the property that ligand binding to these receptors starts the activation of G-proteins. These receptors are typically heptahelical receptors, consisting of seven stretches of about 22 hydrophobic residues. These regions are thought to form transmembrane  $\alpha$ -helices with a loop between helices 5 and 6 facing the cytosol which together with the C-terminal end forms the binding site for the G-protein. G-proteins consist of an  $\alpha$ -, a  $\beta$ - and a  $\gamma$  subunit with mol. weights 39–52 kDa, 35–36 kDa and 5–8 kDa, respectively. The  $\beta\gamma$  subunit splits only in the presence of detergents and under physiological conditions forms a functional monomer<sup>1</sup>. The  $\alpha$  subunit contains a GTPase domain with the GTP-binding pocket as well as sites for binding of receptors, effectors and  $\beta\gamma$  complexes. The C-terminus and parts of the  $\alpha$ 5 helix are sites for interaction with the receptor and thus determine receptor specificity<sup>1</sup>. In the absence of ligands both GDP- $\alpha$  and  $\beta\gamma$  are bound to the receptor,  $\alpha$  binding being greatly facilitated by  $\beta\gamma$  (Fig. 14.1(a)). Ligand binding induces a conformational change in the receptor that moves the C-terminal  $\alpha$ -helix of the  $\alpha$ -subunit. This causes dissociation of bound GDP which is easily replaced by GTP as this is present in excess. The GTP- $\alpha$ -subunit is activated and dissociates from the receptor and from the  $\beta\gamma$ -complex. Each subunit can then activate their target effector. The intrinsic GTPase activity within the  $\alpha$ -subunit restores the GDP-bound state, enabling the  $\alpha$ -subunit to reassociate with the  $\beta\gamma$ -complex and the cycle is closed. GTP hydrolysis is under control of regulators of G-protein signalling. The system has an important feedback mechanism since the release of active G-protein triggers a decrease in ligand affinity of the receptor. Thus, initi-

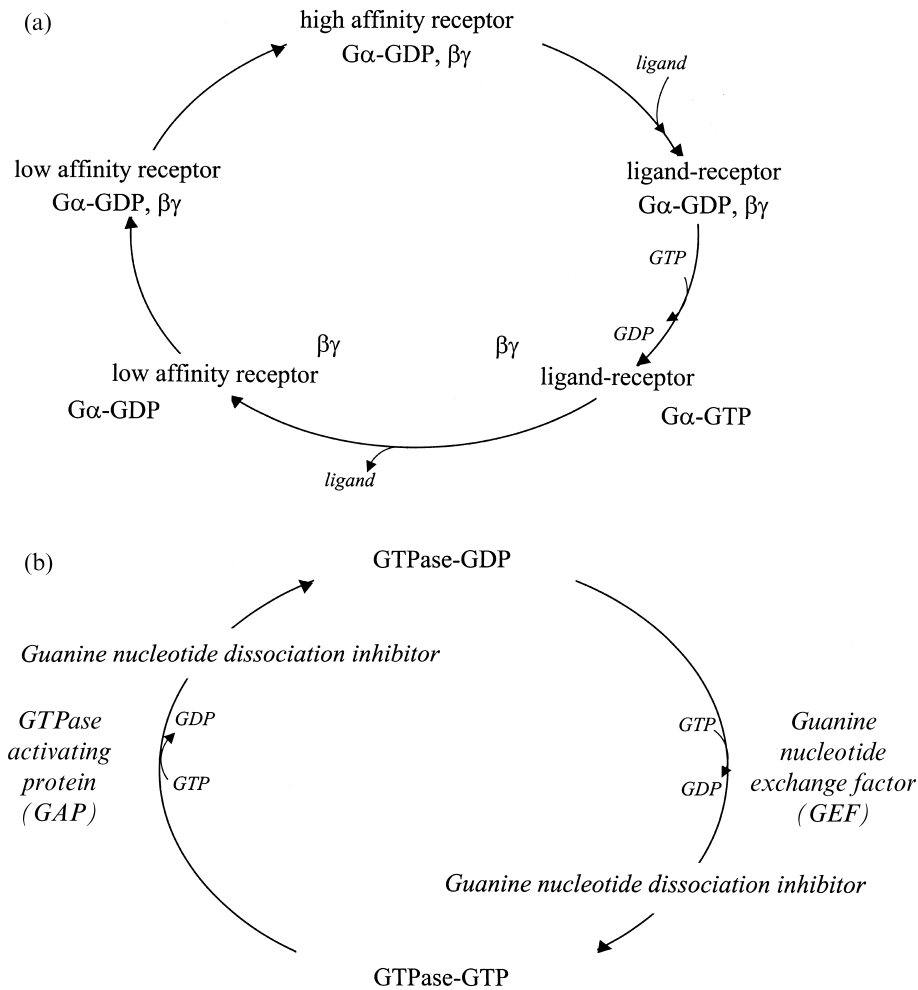


Fig. 14.1. Activation and deactivation of GTP-binding proteins. (a) G-proteins; (b) small GTPases.

ation of downstream signalling by active G-protein is accompanied by termination of receptor activation unless the ligand concentration is sufficiently high to start a new activation signal<sup>1,4</sup>.

GTP is bound in a cleft with the purine located near the cleft entrance and the phosphate buried within the protein<sup>6</sup>. This core, also called 'G-domain', is almost identical for all G-proteins. The activation of G $\alpha$ -subunits by GTP implies that the protein senses a relatively small change in the active site: the presence or absence of a  $\gamma$ -phosphate. This sensor resides upon the key residues Thr and Gly in a special region in the G-domain (G-2 region also called switch 1). GTP binding results in movement of the G-2 and adjacent G-3 (switch II) domains, which activates the G $\alpha$  subunit<sup>6</sup>. Popular tools to activate G-proteins are AlF<sub>4</sub><sup>-</sup>, which mimicks the GTP-induced movement of the G-2 and

G-3 regions, and GTP $\gamma$ S or GMP-P(NH)P, which are resistant to hydrolysis. On the other hand, GDP $\beta$ S interferes with GTP binding, inducing persistent inactivation.

More than 20 different  $\alpha$ -subunits have been identified. Diversity is lower for  $\beta$ -subunits (about 5) and  $\gamma$ -subunits (about 10) and although in theory this would lead to numerous combinations, only a limited number of  $\beta\gamma$ -complexes exist. Specificity in  $\beta$  for binding to a  $\gamma$ -subunit lies in the so called WD domains. Conversely, a stretch of 14 amino acids in the middle of  $\gamma$  determines specificity for  $\beta$ -binding.

G-proteins are divided according to amino acid similarity in four classes: the  $\alpha_s$ -,  $\alpha_i$ -,  $\alpha_q$ - and  $\alpha_{12}$ -classes. The  $\alpha$ -subunits can be phosphorylated on Ser, Thr or Tyr residues, the latter by pp60 Src, which changes the interaction with other regulatory proteins.

$G_s$  contains the  $\alpha_s$ -subunit and stimulates adenylyl cyclase leading to the formation of cAMP. Two products of alternative splicing are known, a short  $\alpha_s$  (45 kDa) and a long  $\alpha_s$  (52 kDa). A tool to investigate the role of  $G_s$  is cholera toxin, which catalyses NAD-dependent ADP-ribosylation of Arg 187/188 (Arg 201/202 in splice variants) of  $G_s\alpha$  subunit inducing persistent activation due to impaired GTP-hydrolysis.

$G_i$  contains the  $\alpha_i$ -subunit (40 kDa) and is the physiological counterpart of  $G_s$  as it inhibits adenylyl cyclase thereby abolishing cAMP formation. There are at least three isoforms ( $G_i\alpha_1, \alpha_2, \alpha_3$ ), with additional diversity in downstream signalling. Pertussis toxin catalyses NAD-dependent ADP-ribosylation of a Cys residue close to the C-terminus of  $G_i\alpha$  (and of  $G_o\alpha$  and  $G_{i2}\alpha$  which are absent in platelets), which blocks receptor binding to the  $\alpha$ -subunit and inhibits signalling through this G-protein. Also NEM is an inhibitor of  $G_i$  proteins. On the other hand,  $G_i$  is activated by mastoparan and mastoparan analogue Mas77. A special member of this class is  $G_z$  (41 kDa). Expression studies show interaction with Rap1GAP, the GTPase that inactivates the small GTPase Rap1<sup>8</sup>.

$G_q$  is in fact a class of different, pertussis toxin-insensitive G-proteins consisting of  $\alpha_q$  (42 kDa), which activates phospholipase  $C\beta_2$ , and  $\alpha_{15}$  (in mice platelets)/ $\alpha_{16}$  (in human platelets; 43.5 kDa<sup>9</sup>), which appears specific for haematopoietic cells.  $G_{16}\alpha$  antisense expressed in HEL cells leaves signalling sequences induced by thrombin and platelet activating factor intact, but changes growth factor signalling<sup>10</sup>.

$G_{12}$  is also a class of multiple G-proteins such as those consisting of  $\alpha_{12}$  (44 kDa) and  $\alpha_{13}$  (43 kDa<sup>11</sup>). These G-proteins signal to a special class of small GTPases that control actin polymerization.

Binding to membranes is an important prerequisite for G-protein function. The  $\alpha$ -subunits are cotranslationally modified by myristoylation at N-terminal glycine, which is an irreversible process that facilitates  $\beta\gamma$  binding. In contrast,  $\alpha_s$  and  $\alpha_q$  are not myristoylated. The  $\alpha_s$  is post-translationally modified by palmitoylation at Cys 3 which is a reversible process enabling an  $\alpha$  subunit to switch between a palmitoylated, active state and a depalmitoylated, inactive state. The  $\beta\gamma$  subunits are independent activators of multiple signalling pathways. The prenyl group at the C-terminus in  $\gamma$  mediates the binding of  $\beta\gamma$  to the membrane. The binding to GDP- $\alpha$  blocks the signalling properties of  $\beta\gamma$ . The  $\beta\gamma$  complexes bind to  $\alpha$ -subunits, receptors, adenylyl cyclases, phospholipase  $C_\beta$ , calmodulin, cytosolic phospholipase  $A_2$ , phosphatidylinositol 3-kinase (PtdIns 3-kinase), among others.

## Small GTPases

Small GTPases take part in the signalling cascades initiated by enzyme linked receptors. In addition, they serve in many other aspects of cell function, e.g. vesicle transport and cytoskeleton assembly. These monomeric, 20–30 kDa molecular weight proteins, have a similar GTP–GDP dependent on/off system to the trimeric G-proteins, but the activation cycle is under strict control of proteins that facilitate GTP binding or enhance its hydrolysis (figure 14.1(b)). GTP binding is catalysed by guanine nucleotide exchange factors or GEFs, most of them being specific for a single type of small GTPase. GTP-binding induces a conformational change in the so-called switch 1 and switch 2 regions, the former mediating the interaction with downstream effectors. Small GTPases contain an intrinsic GTPase activity but its velocity is low. Hence, GTP hydrolysis is catalysed by GTPase activating proteins or GAPs. Further control of the GTPase cycle comes from guanine nucleotide dissociation inhibitors or GDIs, which inhibit the release of GDP or GTP from the GTPase. In addition, adapter proteins that lack enzymatic activity assist in docking of exchange factors and GTPases. All small GTPases are posttranslationally modified by limited hydrolysis at the C-terminus, followed by isoprenylation and carboxymethylation. The prenyl moiety is farnesyl for GTPases ending with Ser, Met or Gln, or geranylgeranyl for those ending with Leu. This reaction is essential for membrane binding and proper function.

Those small GTPases that transduce signals from enzyme linked receptors are anchored to the activated receptors following ligand induced receptor dimerization, which triggers autophosphorylation of specific domains, mostly Tyr residues. These become the docking sites for adapter proteins with Src-homology (SH) domains by which they couple to the receptor dimer (SH2 domains) and to a specific GEF (SH3 domains). The result is GDP-GTP exchange of the small GTPase and activation. In a next step, downstream signalling is initiated by activating a target kinase or by docking to the exchange factor of a next effector.

The small GTPases are subdivided in the families of the Ras-like proteins, Rho-like proteins, Rab proteins, Arf proteins, Ran proteins and Rad proteins. A simplified functional classification is that Ras members function in the regulation of cell growth, Rho members in control of the actin cytoskeleton, the Rab- and Arf-members in vesicle fusion and transport and the Ran members in transport across the nuclear membrane. The function of Rad proteins is still elusive.

The Ras subfamily (21–30 kDa) consists of 17 proteins

including H-Ras, R-Ras, K-Ras, Rap, and Ral among others. Ras-like GTPases are post-translationally modified by prenylation by a farnesyl protein transferase, which couples a farnecylisoprenoid moiety to a Cys residue in a C-terminal CAAX motif. This enables coupling to the membrane which is essential for Ras function<sup>12</sup>. RasGEFs include Sos, which couples receptor tyrosine kinases to Ras and is an exchange factor. GAPs for Ras are p120GAP and NF1. A major effector of Ras-GTP is the Ser/Thr kinase Raf, which in turn activates the ERK1/2 kinases (also called p42/44 MAPkinases). A second effector of Ras is PtdIns 3-kinase, which generates PtdIns 3,4,5 P<sub>3</sub>. This is a first step in downstream signalling to protein kinase B<sup>13</sup>, which is an anti-apoptotic kinase, and to protein kinase C, a key factor in platelet aggregation and secretion. Different Ras members differ in their capacity to initiate the different downstream pathways. Many other Ras effectors have now been described including Rlf, which is a GEF for Ral, protein kinase C $\zeta$  and JNK, a member of the MAPkinase family.

Rap1 (21 kDa) and Ras share about 50% homology, but their effector domains are virtually identical<sup>14,15</sup>. Rap1 is present as Rap1A and Rap1B, differing only in 9 out of 184 aminoacids. Both are postrationally modified at the C-terminus by a geranylgeranyl moiety that mediates membrane attachment. Exchange factors for Rap1 include CalDAG-GEF, regulated by Ca<sup>2+</sup>/diacylglycerol and Epac, regulated by cAMP<sup>16</sup>. SPA-1 is a Rap-GAP. Interestingly, transient overexpression of SPA-1 in cell lines inhibits Rap1 activation as well as adhesion to a fibronectin-coated surface suggesting that Rap might function in signalling through integrins<sup>17</sup>.

Rho-like GTPases (20–30 kDa) are about 30% homologous to Ras<sup>18,19</sup>. Several subtypes have been identified, e.g. Rho A/B/C, Rac 1/2/3, Cdc42 and G25K<sup>19</sup>. The Rho members are involved in the formation of stress fibres and the assembly of focal adhesions. Rac members function in the formation of lamellipodia, membrane ruffles and focal contacts and Cdc42 is important for formation of filopodia and focal contacts.

GTP–GDP exchange is regulated by RhoGEFs that are characterized by a common Dbl-m homology (DH) domain. This encodes the catalytic activity and a pleckstrin homology (PH) domain, which mediates membrane attachment<sup>18</sup>. About 20 RhoGAPs have been identified. Rho-like GTPases bind to membranes via geranylgeranyl lipid modification, but in the inactive state Rho's are found in the cytosol bound to Rho GDIs. The exoenzyme C3 transferase, which is an ADP-ribosyltransferase from *Clostridium botulinum* inactivates Rho A, Rho B and Rho C. A more general inhibitor of Rho-members is *C. difficile*. Effector activation by Rho-like GTPases generally involves

disruption of an intramolecular autoinhibitory bond that exposes functional domains within the effector molecule. Examples are Rho-kinase, an effector of Rho, and Wiskott–Aldrich syndrome protein, an effector of Cdc42. Inhibition of Rho-kinase by the pharmacological inhibitor Y-27632 blocks formation of stress fibres, illustrating the role of Rho proteins in the regulation of actomyosin assembly and contraction.

An important effector of Rac-GTP is PtdIns 4–P5-kinase, which triggers the release of capping proteins and actin nucleation and polymerization. A second effector is WAVE, which also contributes to these processes<sup>18</sup>.

Major effectors of Cdc42 are Wiskott Aldrich syndrome protein and the SerThr kinase PAK. WASP is expressed in haematopoietic cells and has a more ubiquitously expressed homologue called N-WASP. N-WASP, together with PAK, controls actin nucleation and filament stabilization, respectively.

An interesting group of effectors of Rac/Cdc42 are Mlks which act as MAPkinase kinase kinase (MEKKs). These enzymes activate the MAPkinase family members JNK and p38MAPkinase<sup>18</sup>.

Rab GTPases function in the regulation of vesicular traffic. Upon GDP–GTP exchange, these proteins facilitate the binding of a transport vesicle to its proper acceptor organelle and initiate vesicle fusion. Arf proteins are activated by Golgi-bound enzymes and start the formation of transport vesicles.

## G-proteins in platelets and megakaryocytes

### G-proteins as integrators of signal transduction

Table 14.1 lists the major G-proteins in human platelets. The diversity in signalling properties mainly reflects the differences in the  $\alpha$  subunit. However, also  $\beta\gamma$  complexes can signal to downstream enzymes or ion channels but it is often uncertain from which trimeric complexes they originate. There are clear examples of G-proteins that are activated by different receptors, illustrating their role in integration of different extracellular signals. There appears to be less diversity in downstream effectors but as more information on isozyme distribution becomes available, a further complexity in downstream signalling will become apparent<sup>20–24</sup>.

### The stimulatory G-protein of adenyl cyclase G<sub>s</sub>

A major inhibitor of platelet functions is cAMP and its control by activating and inhibitory signalling cascades is a

**Table 14.1.** G-proteins in platelets: coupling with receptors

Protein	Surface receptor	Activator	Refs	Effector	Refs
G <sub>s</sub> α	IP-receptor	PGI <sub>2</sub>	134,135	adenylylcyclase (+)	23,136
	EP <sub>2</sub> -receptor	PGE <sub>2</sub>	136	MHC-1	27
	DP-receptor	PGD <sub>2</sub>	23		
	A <sub>2A</sub> adenosine receptor	adenosine	23		
	β <sub>2</sub> -adrenergic receptor	epinephrine	23		
G <sub>i</sub> α	PAR1/3/4	thrombin	35,137	adenylylcyclase (-)	137
	P2T <sub>AC</sub> (P2Y <sub>12</sub> )	ADP	32,37,40,41,53	integrin ass. protein	44
	α <sub>2A</sub> -adrenergic receptor	epinephrine	41,53	Na <sup>+</sup> /H <sup>+</sup> exchanger (-)	7,45
	paf-1-receptor	paf-acether	23	SH-PTP-1	46
	Edg 2/4/7 receptor	lysophosphatidic acid	34,62		
	Edg 1/3/5 receptor	sphingosine-1 phosphate	34,62		
G <sub>q</sub> α	PAR1/3/4	thrombin	11,50,137,138	phospholipase Cβ2 (+)	50
	P2Y <sub>1</sub>	ADP	40,41,50,53		
	TPα/β receptor	thromboxane A <sub>2</sub>	11,32,50,53,60,133		
	5HT <sub>2A</sub> receptor	serotonin	41,53		
	paf-1-receptor	paf-acether			
	V-1 vasopressin receptor	arginine-vasopressin	139		
G <sub>12</sub> α	PAR1/3/4	thrombin	11,59	Rho kinase (+)	54
	P2T <sub>AC</sub> (P2Y <sub>12</sub> )	ADP	32	pp60 src, pp72 syk	
	TPα/β receptor	thromboxane A <sub>2</sub>	11,59		
G <sub>13</sub> α	PAR1/3/4	thrombin	11	Rho kinase (+)	34,54,62
	TPα/β receptor	thromboxane A <sub>2</sub>	11,54,59,60,61,117,140	pp60 src, pp72 syk	
	Edg 2/4/7 receptor	lysophosphatidic acid			
G <sub>16</sub> α	TPα/β receptor (?)	thromboxane A <sub>2</sub>	9,63	phospholipase Cβ2 (+)	
G <sub>z</sub> α	α <sub>2A</sub> -adrenergic receptor	epinephrine	64,141	adenylyl cyclase (+)	64
G <sub>h</sub> α	TPα-receptor	thromboxane A <sub>2</sub>	67	phospholipase Cβ2 (+)	67
G βγ	derived from G <sub>p</sub> , G <sub>q</sub>	G <sub>i</sub> /G <sub>q</sub> coupled receptors	70,72	phospholipase Cβ2 (+) phospholipase Cβ3 (+) PtdIns 3-kinase γ (+)	70

**Notes:**

G<sub>11</sub>α is absent in platelets<sup>9,25,132,133</sup>.

Platelets contain TPβ mRNA but little protein<sup>55</sup>.

Abbreviations used: (+) and (-) indicate activation and inhibition, respectively.

nice illustration of the action of G-proteins (Fig. 14.2). The G-protein G<sub>s</sub> (for stimulatory) is activated by binding of PGI<sub>2</sub> (prostacyclin) and a number of other inhibitory prostaglandins to their respective receptors. Adenosine activates the A<sub>2A</sub> adenosine receptor, which also activates G<sub>s</sub>. Under normal conditions epinephrine activates platelets via the α<sub>2A</sub>-adrenergic receptor but when this receptor is blocked a second property becomes apparent which is the result of binding to β<sub>2</sub>-adrenergic receptors and activation of G<sub>s</sub>. The result of G<sub>s</sub> activation is activation of adenylyl cyclase, accumulation of cAMP and inhibition of numer-

ous signalling steps involved in platelet activation mainly via protein kinase A.

Analysis by RT-PCR of platelet mRNA reveals a short and a long form (called G<sub>s</sub>α-S and G<sub>s</sub>α-L, respectively). The two forms differ in 45 base pairs and are products of alternative splicing. In addition, each form is present without and with a C-A-G triplet. The triplet results in a consensus sequence (Ser-X-Lys) for a phosphorylation site for the Ser/Thr kinase protein kinase C, making these variants targets for control of cAMP formation by this kinase<sup>25</sup>. In accordance with its role in supporting surface receptors, G<sub>s</sub>α is pre-

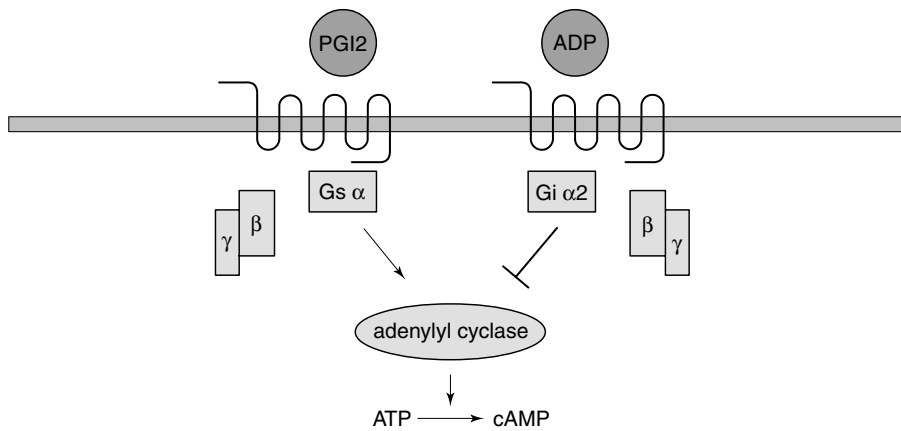


Fig. 14.2. Regulation of adenylyl cyclase by G-proteins. Prostacyclin (PGI<sub>2</sub>) and ADP activate the IP-receptor, and the P2T<sub>AC</sub>-receptor respectively. In turn, this activates G<sub>s</sub> and G<sub>i</sub>, the stimulatory and inhibitory G-protein for adenylyl cyclase. The result is production of cAMP or its inhibition.

dominant in plasma membranes, but also found in dense tubular membranes and in dense granules. Possibly it is part of a SNAP receptor complex which is known to contain G-protein subunits and assists in vesicle targeting and fusion<sup>26</sup>. In PGI<sub>2</sub>-treated platelets G<sub>s</sub>α physically associates with the Major Histocompatibility Complex, MHC-1<sup>27</sup>.

G<sub>s</sub>α mRNA and protein are already expressed in the megakaryoblastic cell line MEG-01, which represents an early stage in megakaryocytopoiesis<sup>28</sup>. Stimulation with cholera toxin or the PGI<sub>2</sub> analog iloprost raises cAMP in MEG-01 by 2–4 fold. Co-stimulation with thrombin upregulates the PGI<sub>2</sub>-induced cAMP formation, a property that is abolished by protein kinase C inhibition. This is quite different from cAMP control in platelets, where thrombin suppresses cAMP formation via an inhibitory G-protein as well as via protein kinase C.

The megakaryoblastic cell lines MEG-01, DAMI and CHRF 288–11 have properties in common with normal megakaryocytes at different stages of maturation. Total G<sub>s</sub>α mRNA and protein are down-regulated at increasing stages of maturation, which is accompanied by a decrease in the ratio G<sub>s</sub>α-S / G<sub>s</sub>α-L and up-regulation of cAMP formation<sup>28,29</sup>. This accords with the kinetic properties of G<sub>s</sub>α-L, which is 3–10 fold more active than the short form. Platelets show the lowest G<sub>s</sub>α-S / G<sub>s</sub>α-L ratio (0.8), which might explain their extreme sensitivity to PGI<sub>2</sub>.

Platelets from patients with certain psychiatric disorders show increased G<sub>s</sub> expression<sup>30</sup> or abnormal G<sub>s</sub> activation<sup>31</sup>.

### The inhibitory G-protein of adenylyl cyclase G<sub>i</sub>

The activation of adenylyl cyclase by G<sub>s</sub> is counterbalanced by the inhibitory G-protein G<sub>i</sub>. Thrombin, ADP, epineph-

rine and platelet activating factor stimulate platelets and at the same time lower cAMP by activating G<sub>i</sub><sup>32,33</sup>. Also lysophosphatidic acid and sphingosine-1 phosphate activate receptors coupled to G<sub>i</sub><sup>34</sup>. This mechanism guarantees that activating pathways function optimally and trigger full platelet responses. In the presence of inhibitory prostaglandins adenylyl cyclase-stimulating signals must be fully neutralized by inhibitory signals generated via G<sub>i</sub>. Inhibition by G<sub>i</sub> is not always sufficiently strong to prevent cAMP formation<sup>35</sup>. Thrombin activates platelets by proteolytic cleavage of three receptor subtypes: PAR1 (human platelets), PAR3 (mice platelets) and PAR4 (human and mice platelets<sup>36</sup>). The PAR1 activating peptide SFLLRN induces ADP-ribosylation of G<sub>i</sub>α, demonstrating coupling between PAR1 and G<sub>i</sub>. However, inhibition of PGI<sub>2</sub>-induced cAMP formation is incomplete and full suppression requires activation of all PAR subtypes.

Mice with a deleted gene for G<sub>i</sub>α<sub>2</sub> show strongly reduced ADP aggregation with a normal shape change<sup>37</sup>. Also the inside out activation of integrin α<sub>IIb</sub>β<sub>3</sub> following stimulation with ADP is severely impaired. G<sub>i</sub>α<sub>3</sub> partly compensates for the loss of G<sub>i</sub>α<sub>2</sub>.

ADP stimulates platelets by activating the P2Y<sub>1</sub>-receptor, which mediates platelet activating signals, the P2T<sub>AC</sub>, which couples to adenylyl cyclase, and the P2X-1, which opens a calcium channel<sup>38</sup>. The P2T<sub>AC</sub> has been recently renamed P2Y<sub>12</sub><sup>39</sup>. Studies with ligand mimetics and receptor antagonists show that both the P2Y<sub>1</sub>- and P2T<sub>AC</sub> receptors signal to optimal platelet aggregation (Fig. 14.3). Inhibition of the P2T<sub>AC</sub> inhibits ADP aggregation but has little effect on shape change, suggesting that this response is independent of G<sub>i</sub><sup>40</sup>. Activated α<sub>2A</sub>-adrenergic receptors can replace P2T<sub>AC</sub> activation in assisting a P2Y<sub>1</sub> ligand to

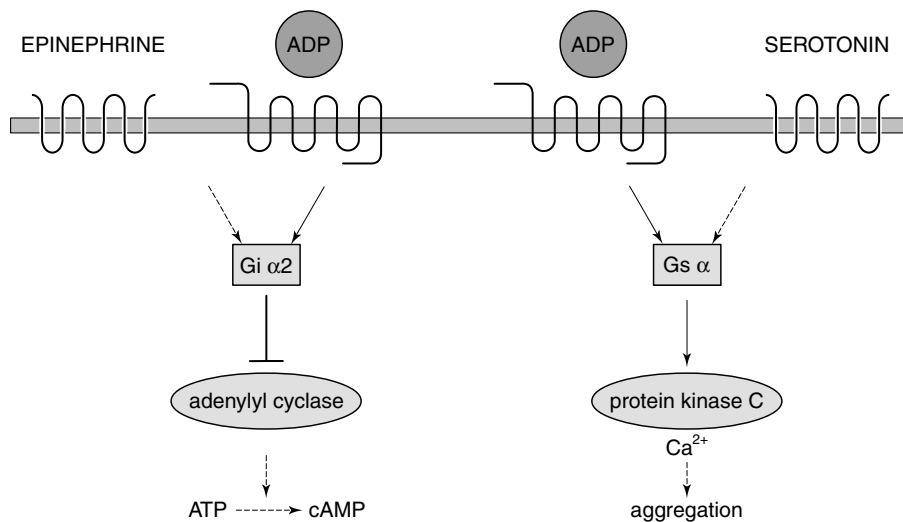


Fig. 14.3. Optimal aggregation depends on activation of both G<sub>q</sub> and G<sub>i</sub>. ADP induces aggregation by activating G<sub>q</sub> via the P2Y<sub>1</sub>-receptor. The result is a Ca<sup>2+</sup> increase and protein kinase C activation resulting in aggregation and secretion. ADP also activates G<sub>i</sub> (via the P2T<sub>AC</sub>-receptor) thereby lowering cAMP and enabling optimal responses to occur. G<sub>q</sub> activation by ligand – P2Y<sub>1</sub> complex can be replaced by ligand – 5HT<sub>2A</sub> receptor complex; G<sub>i</sub> activation by ligand – P2T<sub>AC</sub> complex can be replaced by ligand – α<sub>2A</sub> adrenergic receptor complex.

fully activate the platelets. Alternatively, activation of the serotonin receptor supplements signalling through the P2Y<sub>1</sub><sup>41</sup>. This illustrates that activated G<sub>i</sub> is the crucial element that lowers cAMP and enables optimal ADP-induced platelet aggregation to occur.

G<sub>i</sub> may also take part in platelet activation by epinephrine. Activation of the α<sub>2A</sub>-adrenergic receptor activates protein kinase C in a pertussis toxin sensitive manner<sup>42</sup>. In epinephrine-stimulated platelets pp60Src associates with G<sub>i</sub> α<sup>43</sup>.

Inactive G<sub>i</sub> has been found in a complex with Integrin Associated Protein (CD47), a thrombospondin receptor that forms a signalling complex with β<sub>3</sub> integrins. Aggregation induced via this receptor was abolished by pertussis toxin whereas GTP and AlF<sub>4</sub><sup>-</sup> released G<sub>i</sub> from the complex. This was accompanied by lowering of cAMP and reduced ligand binding to CD47<sup>44</sup>.

Another effector of G<sub>i</sub>α-GTP is the Na<sup>+</sup>/H<sup>+</sup> exchanger. This electron neutral pump removes protons from the cytosol in a stoichiometric exchange for extracellular Na<sup>+</sup> ions, thereby regulating the cytosolic pH (pH<sub>i</sub>) and cell volume. In thrombin stimulated platelets receptor-mediated changes in pH<sub>i</sub> are blocked following pretreatment with AlF<sub>4</sub><sup>-</sup> and this inhibition is relieved by NEM. The exchanger preserves responsiveness to changes in the cytosolic proton concentration. These properties suggest an inhibitory role for G<sub>i</sub> in receptor-mediated regulation of the Na<sup>+</sup>/H<sup>+</sup> exchanger<sup>7,45</sup>. Another effector of G<sub>i</sub> is SH-PTP1, a protein tyrosine phosphatase that contains two

SH2 domains. Thrombin and PMA trigger phosphorylation on Ser and Tyr, thereby stimulating phosphatase activity. This coupling depends on G<sub>i</sub> activation<sup>46</sup>.

Platelets contain the G<sub>i</sub> subtypes G<sub>i</sub> α<sub>2</sub> and G<sub>i</sub> α<sub>3</sub>, which are 85–95% homologous at the amino acid level<sup>3</sup>. G<sub>i</sub> α<sub>2</sub> and G<sub>i</sub> α<sub>3</sub> are present in the plasma membrane, dense tubular membranes and in dense granules. G<sub>i</sub> α<sub>2</sub> and G<sub>i</sub> α<sub>3</sub> are already expressed in MEG-01 and present in DAMI and CHRF 288–11. Treatment with the mastoparan analogue Mas 7 abolishes iloprost induced cAMP formation, indicating that G<sub>i</sub> can be activated and suppresses adenylyl cyclase in these immature cells.

Decreased expression of platelet G<sub>i</sub>α<sub>2</sub> has been reported in major depression<sup>47,48</sup> and hypertension<sup>49</sup>.

### G<sub>q</sub>, a key step in platelet activation

The crucial role of G<sub>q</sub> in platelet activation is illustrated by the absent aggregation and secretion in G<sub>q</sub>-deficient mouse platelets following stimulation by thrombin, ADP and thromboxane A<sub>2</sub><sup>50</sup>. The absent aggregation in G<sub>q</sub> deficient platelets illustrates that activation of both G<sub>i</sub> and G<sub>q</sub> is required for a normal aggregation response<sup>40,41,51–53</sup>. Interestingly, G<sub>q</sub> knock-out platelets show a normal shape change response following stimulation with thrombin or thromboxane A<sub>2</sub><sup>54</sup> and ADP<sup>33</sup>. A similar bypass of G-protein mediated signalling was found for G<sub>i</sub>, suggesting that shape change is under control of different activation routes<sup>54</sup>. ADP-induced aggregation requires the concomi-



tant stimulation of both  $G_q$  (via  $P2Y_1$ ) and  $G_i$  (via  $P2T_{AC}$ ). As described earlier, the response is preserved when the ligand for  $P2Y_1$  is replaced by serotonin, illustrating that the serotonin receptor (5-HT<sub>2A</sub> receptor) is also coupled to  $G_q$ . Transfection studies show that both splice variants of the thromboxane  $A_2$  receptor,  $TP\alpha$  and  $TP\beta$ , couple to  $G_q$ <sup>55</sup>.

$G_q \alpha$  is already expressed in MEG-01 and more mature megakaryoblastic cell lines. These cells respond to thrombin and platelet activating factor with a  $Ca^{2+}$  response, indicating that corresponding receptors and phospholipase  $C\beta_2$  are present and functional<sup>28</sup>.

Reduced expression of platelet  $G_q$  caused loss of responsiveness to thromboxane  $A_2$  and an increased tendency to bleed<sup>56</sup>. Impaired  $G_q$  activity resulting in reduced responsiveness to thromboxane  $A_2$  has been reported for neonatal platelets<sup>57</sup> and platelets from dogs<sup>58</sup>.

### $G_{12}$ and $G_{13}$

The G-protein  $G_{12}$  is activated in platelets stimulated by thrombin, ADP and thromboxane  $A_2$ , indicating that this G-protein is coupled to the PAR receptors, the  $P2Y_1$  receptor, and the  $TP\alpha/\beta$  receptor, respectively<sup>11,32,59</sup>. Concurrently,  $G_{12}\alpha$  is phosphorylated by protein kinase C, probably by the  $\beta, \delta$  or  $\epsilon$  subtype.

$G_{13}$  is a member of the  $G_{12}$  class.  $G_{13}\alpha$  is activated by thrombin, thromboxane  $A_2$  and lysophosphatidic acid but not by ADP<sup>32,60</sup>. Evidence for coupling of  $G_{13}\alpha$  to the TP receptor is based on copurification with the receptor, the fact that ligand binding to the receptor induces GTP $\gamma$ S incorporation in  $G_{13}\alpha$  and the observation that the affinity of  $G_{13}\alpha$  for receptor binding is changed upon ligand binding to the receptor<sup>60</sup>.  $G_{13}\alpha$  is the target of dual phosphorylation. There is a protein kinase A mediated phosphorylation induced by prostacyclin, which results in arrest of thromboxane  $A_2$ -induced signalling<sup>61</sup>. A second phosphorylation is induced by protein kinase C in platelets activated by thrombin or thromboxane  $A_2$ <sup>59</sup>.

Since stimulation with thromboxane  $A_2$  activates  $G_q$ ,  $G_{12}$  and  $G_{13}$  simultaneously, it is not easy to clarify the role of the individual G-proteins in platelet functions. Mouse platelets deficient in  $G_q$  are therefore of special interest. These cells lack thromboxane  $A_2$ -induced aggregation and secretion, but preserve a normal shape change response<sup>50</sup>.  $G_q$  knock-out platelets show a normal tyrosine phosphorylation of pp72 syk and pp60 c-src, suggesting that the tyrosine phosphorylation of these proteins is initiated by  $G_{12/13}$ . The normal shape change response is accompanied by phosphorylation of myosin-light chain, which is an important step in cytoskeleton assembly. Both shape change and myosin-light phosphorylation are abolished by inhibition

of Rho-kinase, an effector of the small GTPase Rho. This suggests that  $G_{12/13}$  is an upstream regulator of the Rho kinase – myosin light chain kinase pathway<sup>54</sup>, possibly by activating the RhoGEFs p115RhoGEF and PDZRhoGEF. Lysophosphatidic acid binds to the Edg2/4/7 receptor which is coupled to  $G_{13}$  inducing Rho/Rho-kinase dependent shape change<sup>34,62</sup>.

### $G_{15}$ / $G_{16}$

$G_{15}$  (in mice platelets) /  $G_{16}$  (in human platelets) is a member of the  $G_q$  class of G-proteins and mainly expressed in haematopoietic cells<sup>9</sup>. It is present in a short (43 kDa) and long (46 kDa) form<sup>63</sup>.  $G_{16}\alpha$  lacks a cysteine that is the target for pertussis toxin ADP ribosylation.  $G_{16}\alpha$  mRNA and protein are absent in MEG-01 cells but DAMI and CHRFB-288–11 show increasing levels of expression of this subunit<sup>28</sup>. The appearance of  $G_{16}\alpha$  mRNA and protein expression is accompanied by appearance of thromboxane  $A_2$  induced signalling to  $Ca^{2+}$  mobilization and cAMP suppression. This raises the possibility that this G-protein couples the TP-receptor to phospholipase  $C\beta_2$ .

### $G_z$

$G_z$  is a member of the  $G_i$  family but resistant to pertussis toxin. The protein is highly expressed in platelets.  $G_z\alpha$ -deficient mice platelets show impaired reduction of cAMP by epinephrine, indicating that this G-protein functions in the coupling between the  $\alpha_{2A}$ -adrenergic receptor and inhibition of adenylyl cyclase<sup>64</sup>.  $G_z\alpha$  (41 kDa) is phosphorylated on Ser16 by p21-activated protein kinase (PAK)-1, an effector of the small GTPase Rac1. This decreases the inhibition by  $\beta\gamma$  complexes and by RGSZ1, a  $G_z$ -selective GTPase-activating protein. A second phosphorylation site is Ser27, which is a target for protein kinase C<sup>65,66</sup>.  $G_z\alpha$  is absent in MEG-01, DAMI and CHRFB-288–11 cell lines, indicating that expression starts at a late stage of megakaryocyte maturation<sup>28</sup>.

### $G_h$

$G_h\alpha$  is a recently discovered G-protein subunit. Analysis by RT-PCR and immunoblotting reveals that  $G_h\alpha$  is expressed in megakaryoblastic cell lines and platelets. Transfection studies in COS-7 show that the  $TP\alpha$  -but not the  $TP\beta$  receptor- couples to  $G_h$ , thereby taking part in thromboxane  $A_2$  induced formation of inositolphosphate<sup>67</sup>.

**Table 14.2.** Small GTPases in platelets

Family	Member	Upstream activator/ regulator	Effector, localization	Refs
Ras-like	Ras	PAR1/3/4, TP $\alpha/\beta$ protein kinase C	Raf1, PtdIns 3-kinase, Rlf, $\alpha_{IIb}\beta_3$	77,79 78
	Rap1A	?		85
	Rap1B	Ca <sup>2+</sup> , protein kinase C, integrin $\alpha_{IIb}\beta_3$ , PtdIns 3-kinase	SERCA3, phospholipase C $\gamma$ 1, Ral-GEF, plasma membrane, $\alpha$ granules	83,85,86,87,95,112
	Rap2B		$\alpha_{IIb}\beta_3$	98,103
	RalA	PAR1/3/4, paf-rec., TP $\alpha/\beta$ , Rap1B	Cdc42GAP, dense granules, pl. membrane	105,109,110
	RalB		Cdc42-GEF(?)	77,105
Rho-like	RhoA	PAR1/3/4, Edg 2/4/7 G <sub>12</sub> $\alpha$ /G <sub>13</sub> $\alpha$	Rho-kinase, PtdIns 3-kinase	112,114,115,142 113
	Rac	PAR1/3/4	PtdIns 3-kinase, PtdIns 4-P 5- kinase	115,123,124
	Cdc42Hs	PAR1/3/4, P2Y <sub>1</sub>	WAS protein	126,127
Rab	Rab1		$\alpha$ granules, lysosomes, pl. membrane, mitochondria	104,128
	Rab3B	protein kinase C	cytosol	128,129
	Rab4		lysosomes, plasma membrane, mitochondria	128
	Rab6	protein kinase C	$\alpha$ granules, plasma membrane	128,129
	Rab8	protein kinase C	$\alpha$ granules, plasma membrane	128,129
	Rab27A Rab27B		dense granules $\alpha$ granules, plasma membrane	130,131 142

*Notes:*Rab 3A absent in platelets<sup>128</sup>.**Independent role of  $\beta\gamma$  subunits**

GDP-GTP replacement on  $\alpha$  subunits triggers the dissociation of  $\beta\gamma$  subunits, which act as independent signalling elements. Platelet G<sub>i</sub> $\alpha_2$  and G<sub>q</sub> appear major sources of free  $\beta\gamma$ . They contain predominantly  $\gamma_5$  but  $\beta\gamma$  complexes from other cells equally effectively activate platelet phospholipases C $\beta$ <sup>68</sup>. Targets are the phospholipases C $\beta_2$  and - $\beta_{3a}$  in the membrane, and the - $\beta_{3b}$  isoform in the cytosol<sup>68</sup>. The domain Glu574–Lys583 of phospholipase C $\beta_2$  appears to be the binding site for  $\beta\gamma$ <sup>69,70</sup>. Activation of phospholipase C $\beta_2$  and PtdIns 3-kinase- $\gamma$  by  $\beta_5\gamma_2$  has been reported<sup>71</sup>. In many cell types the  $\beta$  part is phosphorylated but in platelets this appears not to play a major role<sup>70,72</sup>. In rat platelets the  $\gamma_{11}$  isoform is present in relative excess<sup>73</sup>.

Lysophosphatidic acid and sphingosine-1-phosphate bind to the Edg2/4/7 and Edg1/3/5 receptors respectively and are potent platelet activators. Subsequent signal induction is still poorly understood but in addition to G<sub>q</sub><sup>74</sup> and G<sub>12</sub>/G<sub>13</sub><sup>34,62</sup> may involve G<sub>i</sub>-derived  $\beta\gamma$  subunits<sup>75,76</sup>.

**Small GTPases in platelets and megakaryocytes****Ras-like GTPases**

Among the Ras-like GTPases identified in platelets so far is Ras itself. Subtype characterization is incomplete (Table 14.2). Ras is present at a concentration of about 0.5  $\mu$ g/mg protein and activated in platelets stimulated with thrombin, SFLLRN peptide and thromboxane A<sub>2</sub><sup>77,78</sup>. Thus, activation pathways initiated by the PAR1 and TF $\alpha/\beta$  receptor couple to a Ras-GEF. In platelets stimulated with PMA, GDP-Ras is converted into GTP-Ras and it is therefore possible that protein kinase C functions as an activator of Ras<sup>78</sup>. The platelet inhibitor PGI<sub>2</sub> inhibits thrombin-induced Ras activation. Transfection of mutationally activated H-Ras in  $\alpha_{IIb}\beta_3$  expressing CHO cells shows that the GTPase can act as a negative regulator of the integrin. Integrin inhibition was accompanied by activation of ERK1/2, which is a downstream element in the Ras pathway<sup>79</sup>. Also the  $\beta_3$ -endonexin mediated activation of  $\alpha_{IIb}\beta_3$  was blocked by H-Ras<sup>80</sup>. In contrast, R-Ras is an activator of integrins and appears to inhibit the H-Ras/Raf pathway<sup>81</sup>. Platelet p21rasGAP is tyrosine phosphorylated

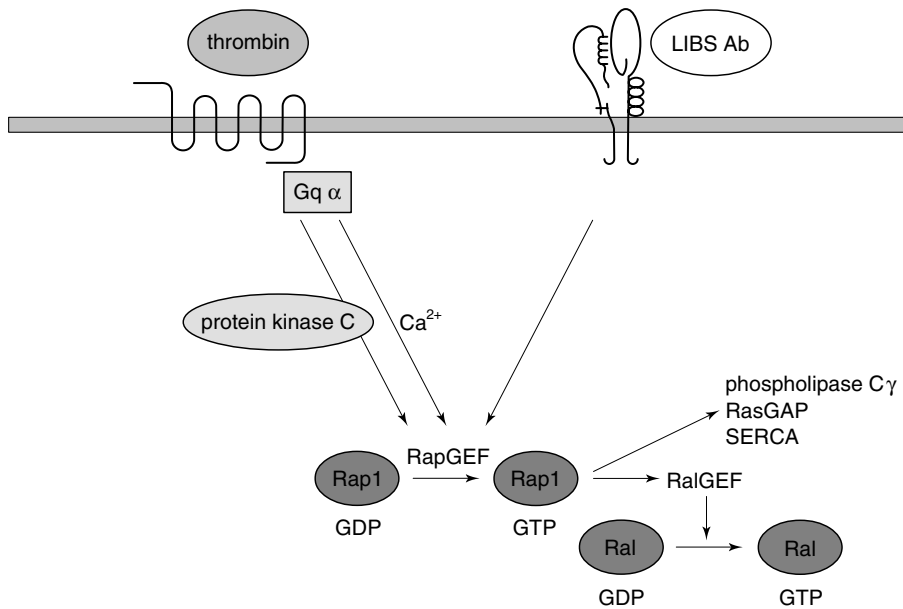


Fig. 14.4. Regulation of the GTPase Rap1. The inactive Rap1GDP is converted to active Rap1GTP by the RapGEF. Activation of Rap1 is biphasic: there is a first phase mediated by  $\text{Ca}^{2+}$  which is followed by a second phase mediated by protein kinase C. Also the monoclonal antibody LIBS-6, which activates integrin  $\alpha_{IIb}\beta_3$  from the extracellular site, activates Rap1. Downstream targets of Rap1GTP are Ral, phospholipase  $\text{C}\gamma$  (via RasGAP) and possibly the sarco/endoplasmic reticulum  $\text{Ca}^{2+}$  ATPase, SERCA 3b.

and associates with the protein tyrosine kinases, Fyn, Lyn and Yes<sup>82</sup>.

Rap1A is present in the cytosol, indicating that it lacks the posttranslational modification that enables membrane attachment<sup>83</sup>. In platelets Rap1A is tenfold lower than Rap1B, the latter accounting for 0.1% of protein content. In resting platelets, Rap1B is bound to membranes; upon platelet activation it translocates to the cytoskeleton<sup>84</sup>. Rap1B is activated in platelets stimulated by thrombin, PAR1 peptide, collagen, ADP, thromboxane  $\text{A}_2$ , platelet activating factor, low density lipoproteins and lysophosphatidic acid<sup>85,86</sup>. All activators raise cytosolic  $\text{Ca}^{2+}$ , which appears a crucial step in the initial phase of Rap1 activation (Fig. 14.4). This first activation phase is followed by a second phase which depends on protein kinase C and PtdIns 3-kinase<sup>87</sup>. Activation of integrin  $\alpha_{IIb}\beta_3$  with a LIBS-6 antibody also induces Rap1 activation, indicating that the integrin signals to a Rap1GEF. Induction of platelet aggregation leads to inhibition of Rap1 activation. This downregulation correlates with Rap1 translocation to the cytoskeleton, possibly mediated by Rap1GAP, which also translocates to the cytoskeleton<sup>88</sup>. The contribution of Rap1GAP to Rap1 regulation appears minor, however, since upon platelet activation its activity hardly changes<sup>89</sup>. Two forms of Rap1GAP have been purified from platelet cytosol.

Rap1B activation is inhibited by prostacyclin but this effect appears independent of the phosphorylation induced by cAMP dependent protein kinase A. Rap1 is phosphorylated on Ser179 at the C-terminal end, which induces Rap1 translocation from plasma membranes to the cytosol<sup>90</sup>. Also cGMP-dependent protein kinase G phosphorylates Rap1. Thrombin equally activates Rap1 and phosphorylated Rap1<sup>85</sup>. Earlier work indicated that Rap1 might be involved in  $\text{Ca}^{2+}$  regulation in platelets<sup>91,92</sup>. Rap1 co-immunoprecipitated with the sarco/endoplasmic reticulum  $\text{Ca}^{2+}$ -ATPase isoform 3b (SERCA3b). The association was lost after protein kinase A-mediated phosphorylation of Rap1 on Ser179. The farnesylcysteine analogues farnesylthioacetic acid and N-acetyl-s-geranylgeranyl-L-cysteine inhibit methylation of prenylated and geranylgeranylated proteins respectively and decrease thrombin-induced increases in  $\text{Ca}^{2+}$  concentration<sup>93,94</sup>. Immunocytochemical localization shows that Rap1B in platelets and megakaryocytes is associated with the plasma membrane and the open canalicular system, as well as with  $\alpha$ -granule membrane<sup>95</sup>.

Phospholipase  $\text{C}\gamma_1$  is constitutively associated with the GAP for the Ras protein, p120RasGAP present in the cytosol. Thrombin triggers association of the complex to the membrane bound Rap1 thereby localizing phospholipase  $\text{C}\gamma$  close to its substrates in the plasma membrane<sup>83</sup>.

Abnormalities in platelet Rap1 phosphorylation by protein kinase A have been reported in Grey Platelet Syndrome<sup>96</sup> and certain psychiatric disorders<sup>97</sup>.

Platelets contain only the Rap2B isoform<sup>98</sup>. In contrast to Rap2A, which is farnesylated, Rap2B is geranylgeranylated similar to Rap1 proteins<sup>99–101</sup>. Upon platelet activation about 35% of total Rap2B translocates to the cytoskeleton in a process that critically depends on aggregation and actin polymerization. This translocation correlates with the cytoskeletal translocation of integrin  $\alpha_{IIb}\beta_3$ , suggesting that Rap2B functions in the regulation of fibrinogen binding and aggregation<sup>83,84,102,103</sup>. MEG-01 cells express Rap1A, Rap1B and Rap2B<sup>104</sup>.

RalA and RalB have 55% sequence identity with Ras. Ral is abundantly present in human platelets<sup>105,106</sup> and post-translationally processed<sup>107–109</sup>. Ral is rapidly activated in platelets stimulated by thrombin, PAR1 peptide, platelet activating factor, thromboxane  $A_2$ <sup>77,86</sup>. ADP is a weak activator of Ral. The same activation is induced by  $Ca^{2+}$  ionophore, suggesting that the increase in cytosolic  $Ca^{2+}$  is a crucial step in Ral activation. In this respect, Ral activation and inhibition resembles that of Rap1B. This is the basis for the hypothesis that Rap1B is an upstream activator of the GEF for Ral<sup>77,86</sup> (Fig. 14.4). A Ral-GAP (34 kDa) has been found in the cytosol and particulate fraction<sup>110</sup>. The only putative target of GTP-Ral is RLIP76, which is a GAP for Cdc42, a member of the Rho-like GTPases. If this interaction occurs in platelets, it would link the Rap1–Ral system to mechanisms that regulate cytoskeletal rearrangements. Ral is present in plasma membranes and especially dense granules<sup>109</sup>, the latter suggesting that Ral functions in exocytosis<sup>111</sup>. Platelets contain RalA and RalB in approximately equal amounts<sup>105</sup>. Interestingly, RalA binds to phospholipase D, which has also been implicated in platelet secretion. This interaction is independent of the activity state of Ral, suggesting that Ral merely functions in targeting of PLD to the plasma membrane. MEG-01 cells express RalA<sup>104</sup>.

### Rho-like GTPases

Platelets contain Rho A, Rac1, Rac2 and Cdc42<sup>112</sup>. Platelet Rho is geranylgeranylated but nevertheless mainly cytosolic, possibly because a Rho-GDI prevents binding to the membrane. Thrombin stimulation induces translocation of Rho to the cytoskeleton.

Lysophosphatidic acid signals to the small GTPase Rho and regulation of actomyosin assembly<sup>113</sup>. This is the basis for platelet shape change induced by mildly oxidized low density lipoprotein, an important constituent of the atherosclerotic plaque<sup>62</sup>. *Clostridium botulinum* exoenzyme

C3 ADP-ribosyl transferase<sup>114</sup> ribosylates Asn41 thereby preventing the interaction of the GTPase with downstream targets. This inhibitor has greatly facilitated the characterization of downstream pathways controlled by Rho. Thus, Rho inhibition abolishes activation of p85/p110 heterodimeric PtdIns 3-kinase<sup>115</sup>. Inhibition of Rho failed to affect inside-out signalling to integrin  $\alpha_{IIb}\beta_3$  but decreased adhesion to fibrinogen and formation of vinculin-rich focal adhesions<sup>116</sup>. The Rho A inhibitor C3-transferase and the Rho-kinase inhibitor Y-27632 blocked lysophosphatidic acid induced myosin light chain phosphorylation, moesin phosphorylation and shape change<sup>117</sup>. A similar signalling pathway is initiated by sphingosine-1 phosphate, a second agonist of the lysophospholipid receptor family<sup>118,119</sup>. Rho signals via Rho-kinase inducing inhibition of myosin light chain phosphatase<sup>120</sup> (Fig. 14.5). Rho activity is regulated by a p190 RhoGAP which is associated with p120RasGAP<sup>121</sup>. Other GAPs of the Rho-like class are less specific for Rho and most of them have not yet been identified in platelets. Further control is carried out by Rho GDIs and at least one has been found in platelets<sup>122</sup>. MEG-01 cells express RhoA, Rac1, Rac2, Cdc42Hs<sup>104</sup>.

Rac1 and Rac2 are present in platelets and translocate to the cytoskeleton upon platelet activation<sup>122,123</sup>. Rac activation by stimulating PAR receptors activates PtdIns 3-kinase<sup>124</sup>. Rac also activates PtdIns 4–P 5-kinase, resulting in formation of PtdIns 4,5  $P_2$  and actin filament barbed-end uncapping<sup>123</sup>. Another effector of Rac in platelets is  $\gamma$ PAK, a member of the PAK65 class, which are Ser/Thr kinases involved in morphological changes. In other cells the Rac – PAK pathway signals to activation of the p38MAPkinase pathway, which in platelets signals to cytosolic phospholipase  $A_2$  and formation of thromboxane  $A_2$ . This link is yet to be established in platelets. A RacGEF in platelets is Vav. Vav is phosphorylated in platelets stimulated with strong agonists and a target of outside-in signalling through integrins during platelet adhesion<sup>125</sup>.

Cdc42 is geranylgeranylated and shows 50% identity with Rho and about 30% identity with the Ras-like proteins. Platelet Cdc42 is present in plasma membranes and in the cytosol<sup>106,112</sup>. Despite its posttranslationally modified C-terminus, it is present in the cytosol probably in a complex with a RhoGDI. Platelet stimulation with thrombin or ADP induces translocation to the cytoskeleton, which appears to occur during the second phase of a biphasic aggregation response<sup>123</sup>. An effector of Cdc42 is the Wiskott Aldrich syndrome protein, which is deficient in patients suffering from Wiskott Aldrich Syndrome<sup>126,127</sup>. These platelets have an abnormal morphology due to a defect in the actin skeleton. A Cdc42GAP is present in the cytosol and in membranes.

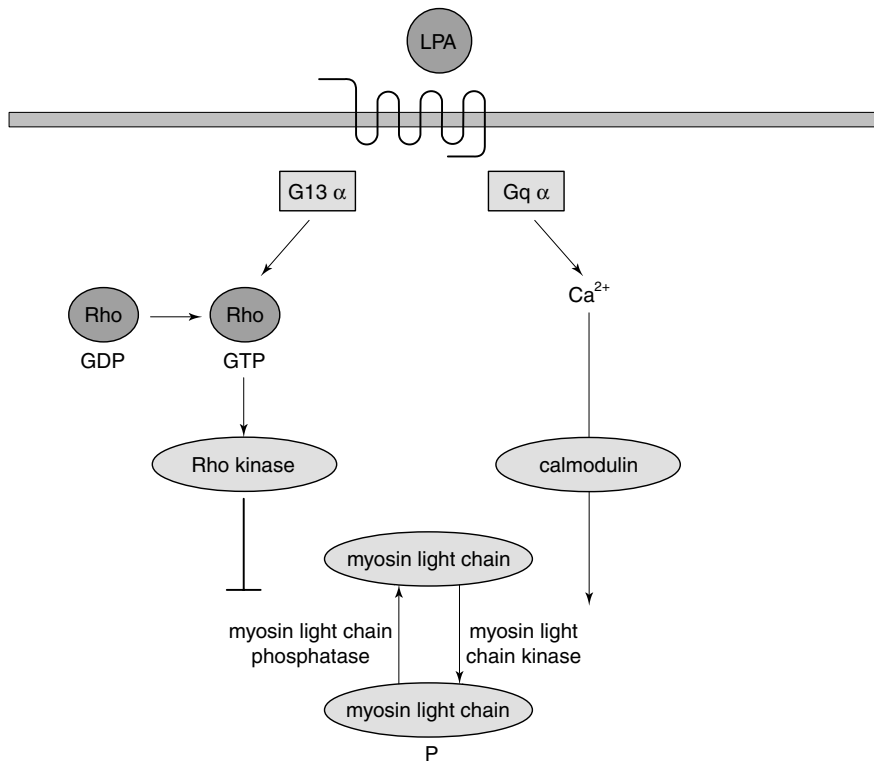


Fig. 14.5. Role of Rho in platelet shape change. Binding of lysophosphatidic acid (LPA) to its receptor activates G<sub>13</sub> and G<sub>q</sub>. G<sub>13</sub> signalling involves activation of RhoGDP to RhoGTP and subsequent activation of Rho-kinase, which is an inhibitor of myosin light chain phosphatase. G<sub>q</sub> signalling leads to a Ca<sup>2+</sup> increase and together with calmodulin to activation of myosin light chain kinase. The result of both routes is phosphorylation of myosin light chain, actin–myosin interaction and cytoskeleton reorganization during shape change (adapted from<sup>24</sup>).

### Rab GTPases

Rab proteins are involved in the docking of transport vesicles with their target compartments. They are doubly geranylgeranylated and about 30% homologous with Ras. So far seven members of this class have been found in platelets<sup>104,128</sup>. Rab3B is present in the cytosol whereas as Rab6 and Rab8 are found in plasma membranes and  $\alpha$ -granules<sup>128</sup>. Thrombin activation of platelets leads to protein kinase C dependent phosphorylation of Rab3B, -6 and -8 and translocation of Rab6 to the cytosol<sup>128,129</sup>. Mice homozygous for the mutation gunmetal (gm) have prolonged bleeding, thrombocytopenia, and reduced platelet  $\alpha$ - and  $\delta$ -granule contents<sup>130</sup>. This is caused by a G-A substitution in Rab geranylgeranyl transferase, which catalyzes the attachment of geranylgeranyl groups to Rab. This results in a severe reduction in enzyme activity, membrane association of Rab27, and platelet formation<sup>131</sup>. MEG-01 cells express Rab3B, Rab6 but not Rab4<sup>104</sup>.

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## Platelet phospholipases A<sub>2</sub>

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### Introduction

The major physiological role of platelets is in the cessation of bleeding following damage to the vasculature. Ordinarily, platelets circulate within intact blood vessels in a quiescent state, but undergo extremely rapid and powerful activation upon exposure to the subendothelial matrix leading to formation of a platelet aggregate or vascular plug. This rapid response is achieved by the stimulatory action of a range of diverse agonists including extracellular matrix proteins, e.g. collagen, and products of the coagulation cascade, e.g. thrombin. Activation is reinforced through release of agonists from platelet granules, notably ADP, and liberation of thromboxane A<sub>2</sub> (TxA<sub>2</sub>), the major product of the metabolism of arachidonic acid (AA) in the platelet. The positive feedback action of ADP and TxA<sub>2</sub> is of direct clinical relevance. The Antiplatelet Trialists' Collaboration<sup>1</sup> published a summary of 20 randomized trials in 1988, concluding that antiplatelet therapy significantly reduces (by ~ 25%) the risk of cardiovascular death, non-fatal myocardial infarction and nonfatal stroke in patients with unstable angina or a past history of heart attack, transient ischemic attack, or stroke<sup>2,3</sup>. A follow-up report by the same group, confirmed the efficacy of platelet inhibition in a broader spectrum of pathological conditions<sup>4</sup>. More recently, the CAPRIE study has shown that the ADP receptor antagonist, clopidogrel, and aspirin have a similar therapeutic benefit in individuals with a history of thrombotic disease<sup>5</sup>. Aspirin, however, remains the drug of choice in the majority of cases primarily for reasons of cost.

The availability of AA is the rate-limiting step in the formation of TxA<sub>2</sub> in platelets. As will be presented in detail below, it is now well established that AA is released from membrane phospholipids in activated platelets mainly by the enzyme phospholipase A<sub>2</sub> (PLA<sub>2</sub>) activity. PLA<sub>2</sub> hydrolyses the *sn*-2 ester of glycerophospholipids to release a free

fatty acid (AA and others) and a lysophospholipid. PLA<sub>2</sub> would also be a very good target for development of anti-thrombotics. This potential is unlikely to be exploited because of the proven efficacy of aspirin as an antiplatelet agent. Aspirin is an irreversible inhibitor of platelet cyclooxygenase-1 (COX-1), and, because platelets lack a nucleus, the enzyme cannot be replenished within the lifetime of the cell. As a consequence, only a low dosage of aspirin is required to achieve full blockade of TxA<sub>2</sub> formation in platelets, whereas other cells can generate new enzyme within a matter of hours. This therefore restricts the major effects of the COX-1 inhibitor to the platelet. It is for these reasons that aspirin is preferred to other non-steroidal antiinflammatory agents in the prophylaxis of thrombotic-based disorders. Treatment with aspirin increases the metabolism of AA via 12-lipoxygenase and 15-lipoxygenase enzymes in platelets, but this appears to have little physiological significance as the metabolites of these two enzymes do not have a major influence on platelet activation.

Recent years have seen rapid developments in our understanding of the regulation of PLA<sub>2</sub> in platelets, and of the pathways that govern the metabolism of the liberated AA. In this chapter, we will describe the major forms of PLA<sub>2</sub> in platelets and their regulation and give only brief details on the metabolism of AA. Comprehensive reviews on the action of TxA<sub>2</sub> and other eicosanoids on platelet function have been published<sup>6,7</sup>.

### Metabolism of AA in platelets

AA is an essential fatty acid that is stored in the *sn*-2 position of membrane phospholipids. It is the most prevalent fatty acid in platelets, and is found in particularly high levels in phosphatidylcholine, phosphatidylethanolamine

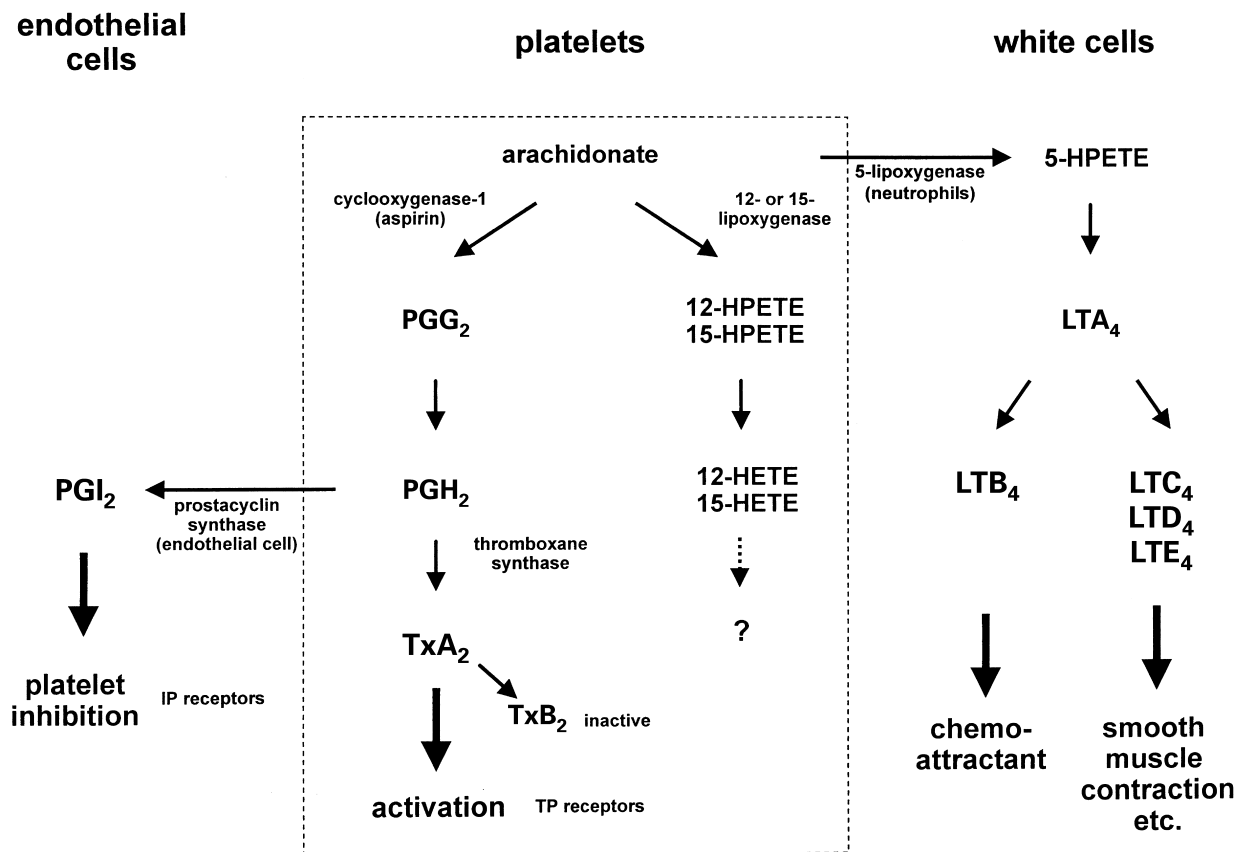


Fig. 15.1. Metabolism of AA in platelets and other cells. The metabolism of AA in platelets is shown in the dotted box. AA is converted by the action of cyclooxygenase-1 to the endoperoxides PGG<sub>2</sub> and PGH<sub>2</sub> and then to thromboxane A<sub>2</sub> (TxA<sub>2</sub>), which stimulates platelet aggregation via the thromboxane receptor, TP, which is coupled to Gq-dependent activation of phospholipase C. PGH<sub>2</sub> is also released from the platelet and converted to the inhibitory prostaglandin, prostacyclin (PGI<sub>2</sub>), in endothelial cells. PGI<sub>2</sub> inhibits platelet activation via the prostanoid receptor, IP, which is coupled to adenyl cyclase. AA is also metabolized via 12- and 15-lipoxygenases to 12- or 15-hydroperoxyeicosatetraenoic acid (HPETE) and then to 12- or 15-hydroxyeicosatetraenoic acid. The physiological significance of this is uncertain as the products have no known functional roles in the platelet. The release of AA from platelets is available for conversion to proinflammatory leukotrienes by white cells.

and phosphatidylinositol. Phosphatidylcholine and phosphatidylethanolamine contain over 70% of the total AA in the cell. The AA-containing lipids are localized to the inner leaflet of the plasma membrane and membranes of intracellular organelles, with approximately similar levels in the two compartments. There is evidence that phosphatidylcholine and phosphatidylethanolamine, or possibly just the latter, are the major sources of AA following receptor stimulation (for discussion see<sup>6,7</sup>). Although AA is the predominant fatty acid in the *sn*-2 position of phospholipids, PLA<sub>2</sub>s are able to hydrolyse phospholipids with other acyl chains. This is of particular relevance to dietary supplementation with fish oils enriched in  $\omega$ -3 fatty acids, including eicosapentaenoic acid. The liberated eicosapentaenoic

acid is converted to the inactive thromboxane A<sub>3</sub>, contributing to the antiplatelet effects of fish oil.

The AA that is liberated by the action of PLA<sub>2</sub> is available for metabolism via COX-1 or lipoxygenase pathways. COX-1 is the major AA metabolizing enzyme that is present in the platelet. In addition, platelets express 12-lipoxygenase and, in lower levels, 15-lipoxygenase, but lack the 5-lipoxygenase which is required for generation of leukotrienes (see Fig. 15.1). The major metabolite of AA in platelets is TxA<sub>2</sub>. This is generated by the double oxygenation of AA yielding PGG<sub>2</sub> and then PGH<sub>2</sub>, which, collectively, are known as the endoperoxides. PGH<sub>2</sub> is converted to TxA<sub>2</sub> by thromboxane synthase. TxA<sub>2</sub> is a powerful platelet agonist, inducing activation via the TP

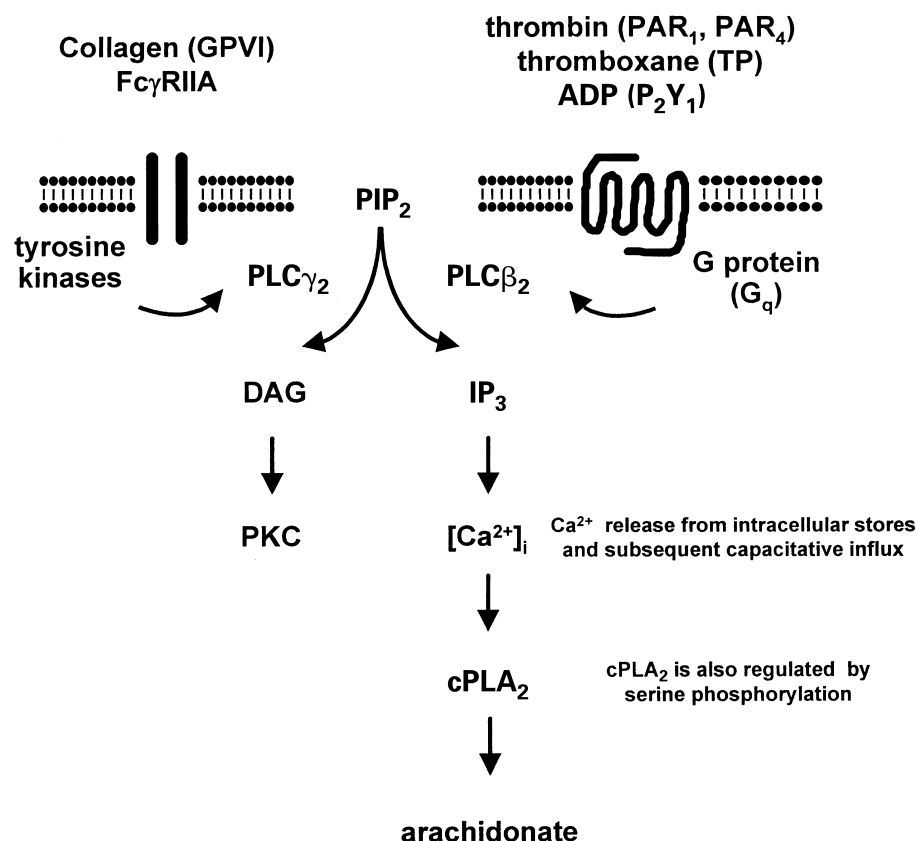


Fig. 15.2. Receptor liberation of AA in platelets. Many platelet agonists mediate activation through stimulation of phospholipase C (PLC) leading to formation of IP<sub>3</sub> and elevation of intracellular Ca<sup>2+</sup>. These agonists can be subdivided into those which signal via tyrosine kinase-linked and G protein-coupled activation of PLC $\gamma$ <sub>2</sub> and PLC $\beta$ <sub>2</sub>, respectively. The newly generated Ca<sup>2+</sup> activates cPLA<sub>2</sub>- $\alpha$ . In addition, cPLA<sub>2</sub>- $\alpha$  is regulated by phosphorylation on serines 505 and 727 via MAP kinases and MAP kinase-activated protein kinases (see text).

thromboxane receptor, which is coupled to activation of G<sub>q</sub> and phospholipase C (Fig. 15.2). Because of the powerful activating effect of TxA<sub>2</sub>, and beneficial effects resulting from conversion of released PGH<sub>2</sub> to prostacyclin in endothelial cells (see below), it was thought that inhibitors of thromboxane synthase would be good anti-platelet agents. These inhibitors would have the dual effect of prevention of TxA<sub>2</sub> generation and shunting of PGH<sub>2</sub> to prostacyclin in vascular endothelial cells. It has since been realized, however, that PGH<sub>2</sub> has reasonable activity at the TP receptor<sup>8</sup>, making such inhibitors less effective than aspirin.

PGH<sub>2</sub> is also converted in much lower levels to PGD<sub>2</sub>, PGE<sub>2</sub> and PGF<sub>2</sub> $\alpha$ . Platelets do not have specific receptors for PGE<sub>2</sub> and PGF<sub>2</sub> $\alpha$ , whereas the DP receptor for PGD<sub>2</sub> is present at a low level in some species. In general, the much lower levels of these three prostanoids means that they are

of limited physiological significance in platelet function. The newly formed endoperoxides PGG<sub>2</sub>/PGH<sub>2</sub> diffuse out of the platelet to the surrounding endothelial cell layer where they are converted to prostacyclin, one of the most powerful inhibitors of platelet activation. Prostacyclin activates IP prostanoid receptors on the platelet surface leading to elevation of cAMP. Physiologically, this helps to prevent progression of the platelet aggregate over the intact endothelium by acting as a physiological antagonist against most platelet agonists. Endothelial cells do not have thromboxane synthase and so cannot generate stimulatory TxA<sub>2</sub>.

The other product produced in reasonable levels in platelets following liberation of AA is 12-hydroxyperoxy-eicosatetraenoic acid (12-HPETE) (Fig. 15.1). Although HPETE has no effect on its own, it has been reported to convert the response of subthreshold concentrations of

arachidonic acid to full aggregation at physiological concentrations<sup>9</sup>. This is mediated through potentiation of metabolism of arachidonic acid to thromboxane A<sub>2</sub>. At higher, non physiological concentrations, HPETE inhibits platelet responses to arachidonic acid. In addition, HPETE is converted to 12-hydroxyeicosatetraenoic acid (12-HETE) which is released and metabolized to 12,20-HETE in neutrophils, where it may competitively inhibit metabolism of LTB<sub>4</sub><sup>10</sup>. Conversely, platelets convert neutrophil-derived leukotrienes into proinflammatory lipoxins<sup>11</sup>. There is also evidence that AA released from platelets is converted by 5-lipoxygenase to proinflammatory leukotrienes in white cells. The clinical significance of the interaction with neutrophils, however, is uncertain, but emphasizes the potential for cross-talk with other cells.

There is limited evidence to suggest that AA is a second messenger in its own right, although the physiological significance of this remains unclear. For example, AA has been shown to activate protein kinase C in the platelet cytosol independent of Ca<sup>2+</sup> and phospholipids<sup>12</sup>.

### Mammalian PLA<sub>2</sub>s

There is a diverse collection of PLA<sub>2</sub>s in mammals, some of which are secreted whilst others remain intracellular. Secreted PLA<sub>2</sub>s (sPLA<sub>2</sub>) are Ca<sup>2+</sup>-dependent, disulfide-rich, 14–18 kDa enzymes. The first mammalian sPLA<sub>2</sub> to be identified was the group IB enzyme, also known as pancreatic sPLA<sub>2</sub>. This sPLA<sub>2</sub> is found at high levels in pancreatic juice, where it has a well-known function in the digestion of dietary phospholipids<sup>13</sup>, but also at lower levels in lung, liver, spleen, kidney, and ovary where it has been proposed to play a role in cell proliferation, acute lung injury, cell migration, and endotoxic shock<sup>14–16</sup>. The second mammalian sPLA<sub>2</sub> to be identified was the group IIA enzyme which is expressed at high levels during inflammation<sup>17</sup>, and is the principal bactericidal agent against Gram-positive bacteria in human tears<sup>18</sup>.

In addition to the above roles, it is becoming clear that sPLA<sub>2</sub>s are involved in a diverse set of physiological functions<sup>14,19–21</sup>. In the last few years, six mouse and five human sPLA<sub>2</sub>s structurally related to groups IB and IIA sPLA<sub>2</sub>s (mouse groups IIC, IID, IIE, IIF, V, and X, and human groups IID, IIE, IIF, V, and X) have been identified<sup>22–27</sup>. All of these group I/II/V/X sPLA<sub>2</sub>s have similar primary structures, including identical catalytic site residues and partially overlapping sets of disulfides<sup>28</sup>. However, they are not closely related isoforms since the level of amino acid identity is typically 20–50% among these sPLA<sub>2</sub>s. More recently, a novel human group III sPLA<sub>2</sub> was identified<sup>29</sup>, which is

structurally distinct from the group I/II/V/X sPLA<sub>2</sub>s but related to the group III sPLA<sub>2</sub>s found in bee and lizard venom. Very recently, a new human sPLA<sub>2</sub>, group XII, was identified that is structurally distinct from all previously characterized mammalian and venom sPLA<sub>2</sub>s and shares homology with known sPLA<sub>2</sub>s only in short active site segments containing catalytic residues<sup>30</sup>. This diversity of sPLA<sub>2</sub> structures and the fact that the tissue distribution of the different sPLA<sub>2</sub>s are distinct argue for a range of physiological functions for these lipolytic enzymes.

Mammalian cells also contain intracellular PLA<sub>2</sub>s. Cytosolic PLA<sub>2</sub> (cPLA<sub>2</sub>-α) is an 87-kDa protein that binds to membranes in a Ca<sup>2+</sup>-dependent manner<sup>31</sup>. cPLA<sub>2</sub>-α contains a C2 domain at its N-terminus which constitutes the Ca<sup>2+</sup>-dependent membrane binding element<sup>32–34</sup>. Many of the sPLA<sub>2</sub>s described above have been tested for *sn*-2 fatty acyl chain selectivity and are found to display little, if any, specificity for polyunsaturated versus saturated or mono-unsaturated chains<sup>35</sup>. In contrast, cPLA<sub>2</sub>-α displays significant specificity for the *sn*-2 arachidonyl chain over the *sn*-2 oleate chain for example<sup>35</sup>. In response to a rise in intracellular calcium to the low micromolar range, cPLA<sub>2</sub>-α transfers from the cytosol to the perinuclear region (nuclear membrane and what appears to be nearby membrane elements such as a portion of the Golgi and endoplasmic reticulum)<sup>34,36,37</sup>. The binding of cPLA<sub>2</sub>-α to the intermediate filament protein vimentin may mediate this process<sup>38</sup>. Studies with cPLA<sub>2</sub>-α-deficient mice provide strong evidence that cPLA<sub>2</sub>-α plays a role in AA release for the biosynthesis of eicosanoids in inflammatory cells<sup>39–42</sup>. Two new human cPLA<sub>2</sub>-α paralogs, cPLA<sub>2</sub>-β and cPLA<sub>2</sub>-γ, have been recently cloned based on searching genomic databases<sup>43,44</sup>, and no physiological functions have been assigned to these enzymes.

Mammalian cells also contain an intracellular calcium-independent PLA<sub>2</sub> (iPLA<sub>2</sub>)<sup>45</sup>. Studies using an iPLA<sub>2</sub> inhibitor as well as antisense technology suggest that this enzyme is involved in phospholipid remodelling in which the *sn*-2 chain of newly synthesized phospholipids is eventually replaced with a polyunsaturated fatty acyl chain<sup>45</sup>. iPLA<sub>2</sub> may not carry out this function in all mammalian cells<sup>46</sup>. iPLA<sub>2</sub> may be responsible for AA release seen in some mammalian cells undergoing apoptosis<sup>47</sup>. Multiple iPLA<sub>2</sub> splice variants have been identified<sup>48</sup> as well as a human paralog, iPLA<sub>2</sub>-γ<sup>49</sup>, but the functions of these enzymes are not known.

### PLA<sub>2</sub>s in platelets

At the time of this writing, platelets are known to contain two PLA<sub>2</sub>s, group IIA sPLA<sub>2</sub> and cPLA<sub>2</sub>-α. Kramer and

coworkers discovered human group IIA sPLA<sub>2</sub> by purifying it from platelets<sup>50</sup>. Partial amino acid sequence obtained from the purified enzyme led to isolation of the human group IIA sPLA<sub>2</sub> genomic clone. They also showed that human group IIA sPLA<sub>2</sub> is secreted in active form following stimulation of platelets with thrombin. Whereas pancreatic-type (group IB) sPLA<sub>2</sub> is secreted as an inactive form with a propeptide and requires proteolytic activation, human group IIA does not contain a propeptide, only a signal peptide. Early studies also showed that rat and rabbit platelets contain group IIA sPLA<sub>2</sub><sup>51-53</sup>. Intravenous injection of ADP into rats leads to a rapid rise in plasma PLA<sub>2</sub> activity probably coming from activated platelets<sup>54</sup>. This enzyme is known to bind tightly to heparin, and after secretion from platelets may absorb onto heparan sulfate proteoglycan present on the surface of vasculature endothelial cells. Eventually, group IIA sPLA<sub>2</sub> in plasma is taken up by the liver and degraded.

cPLA<sub>2</sub>- $\alpha$  was also purified from human platelets, leading to sufficient material for partial amino acid sequencing and cloning<sup>55</sup>. Bovine and rabbit platelets are also reported to contain cPLA<sub>2</sub>- $\alpha$ <sup>56,57</sup>. As will be discussed in detail below, this enzyme is activated in agonist-stimulated platelets by phosphorylation and by a rise in intracellular Ca<sup>2+</sup>.

### Role of cPLA<sub>2</sub>- $\alpha$ in platelet AA release

Two lines of evidence suggest that cPLA<sub>2</sub>- $\alpha$  is responsible for much of the AA release in platelets following stimulation with the physiological agonists thrombin and collagen. The first comes from the use of a cPLA<sub>2</sub>- $\alpha$ -specific inhibitor AACOCF<sub>3</sub><sup>58,59</sup>. This compound is an AA analogue in which the COOH group is replaced with a trifluoromethyl ketone group COCF<sub>3</sub>. It is thought that AACOCF<sub>3</sub> forms a stable hemiketal adduct with the active site serine of cPLA<sub>2</sub>- $\alpha$  (Ser-228) that resembles the tetrahedral intermediate that forms from the attack of this serine onto the carbonyl carbon of the substrate ester undergoing hydrolysis. AACOCF<sub>3</sub> does not inhibit sPLA<sub>2</sub>s, although it does inhibit iPLA<sub>2</sub><sup>60</sup>. Two independent studies show that low micromolar concentrations of AACOCF<sub>3</sub> block virtually all of the AA released in human platelets stimulated with thrombin and calcium ionophore<sup>61,62</sup>. The control compounds AACOCH<sub>3</sub> and AACH(OH)CF<sub>3</sub>, which do not inhibit cPLA<sub>2</sub>- $\alpha$  in vitro, fail to block AA release in platelets. AACOCF<sub>3</sub> was further reported to block downstream oxygenation of AA in platelets<sup>62</sup>, suggesting it may also act as a competitive inhibitor of oxygenating enzyme COX-1.

The second line of evidence that cPLA<sub>2</sub>- $\alpha$  is involved in platelet AA release comes from studies of the regulation of

this enzyme (described in detail below). Studies in human platelets show that cPLA<sub>2</sub>- $\alpha$  becomes phosphorylated and activated in platelets stimulated with a variety of agonists<sup>63,64</sup>. This post-translational modification occurs with the same time course as AA release in platelets. cPLA<sub>2</sub>- $\alpha$  is also phosphorylated and activated in a variety of other mammalian cells<sup>31,65</sup>. Although cPLA<sub>2</sub>- $\alpha$ -deficient mice are available<sup>39,40</sup>, studies of AA release in cPLA<sub>2</sub>- $\alpha$ -deficient platelets have not been reported.

### Regulation of cPLA<sub>2</sub>- $\alpha$ in platelets

It has been proposed that AA liberation in platelets may be regulated by GTP-binding protein(s) and protein kinase C. Such studies were performed with platelet-membrane fractions or permeabilized platelets, and, platelet activation was performed with non physiological agonists such as PMA or fluoroaluminate<sup>66-69</sup>. By contrast, studies on the regulation of cPLA<sub>2</sub>- $\alpha$  by phosphorylation and by Ca<sup>2+</sup> have been reported in intact platelets activated by physiological agonists such as thrombin and collagen. Regulation of cPLA<sub>2</sub>- $\alpha$  by phosphorylation and Ca<sup>2+</sup> has also been studied in several other mammalian cells<sup>31</sup>. In this part, we will mainly focus our review on the regulation of cPLA<sub>2</sub>- $\alpha$  that occurs during platelet stimulation by thrombin and collagen.

### Regulation of platelet cPLA<sub>2</sub>- $\alpha$ by Ca<sup>2+</sup>

Because of the pivotal role of intracellular Ca<sup>2+</sup> in the regulation of cPLA<sub>2</sub>- $\alpha$  via its C2 domain, it follows that agonists that increase the levels of the cation also bring about the activation of cPLA<sub>2</sub>- $\alpha$ . For the majority of agonists, the increase in Ca<sup>2+</sup> is mediated through IP<sub>3</sub>-dependent release of Ca<sup>2+</sup> from intracellular stores and capacitative Ca<sup>2+</sup> entry. The increase in IP<sub>3</sub> is brought about by G protein-coupled surface receptors, which activate phospholipase C $\beta_2$  (PLC $\beta_2$ ) isoforms, and tyrosine kinase-linked receptors, which activate PLC $\gamma_2$  (Fig. 15.2). Examples of G protein-coupled receptors that activate PLC $\beta_2$  downstream of Gq include the thrombin receptors, PAR<sub>1</sub> and PAR<sub>4</sub>, the ADP receptor, P<sub>2</sub>Y<sub>1</sub>, and the thromboxane receptor, TP. Additionally, it has been proposed that the liberation of G protein  $\beta\gamma$  subunits by Gi-coupled receptors such as the ADP receptor, P<sub>2</sub>Y<sub>1</sub>, P<sub>2</sub>Y<sub>2</sub> and  $\alpha_2$ -adrenoceptor, potentiates activation of PLC $\beta_2$  by Gq, although direct evidence for this is lacking. The major tyrosine kinase-linked receptors in human platelets are the collagen receptor GPVI and the platelet low affinity immune receptor, Fc $\gamma$ RIIA. The depletion of intracellular Ca<sup>2+</sup> stores by IP<sub>3</sub> leads to influx of Ca<sup>2+</sup> by capacitative entry

and a correspondingly greater increase in AA release. There is also emerging evidence for additional pathways of  $\text{Ca}^{2+}$  entry that are independent of  $\text{IP}_3$ , such as direct regulation of cation channels by tyrosine kinases<sup>70</sup>. There have been no studies describing the site of translocation of  $\text{cPLA}_2\text{-}\alpha$  in the platelet, although it is noteworthy that studies in other cells have reported translocation to intracellular membranes<sup>34,36,37</sup>. It is of particular interest to investigate whether  $\text{cPLA}_2\text{-}\alpha$  translocates to dense tubular membranes, the site of location of COX-1 and thromboxane synthase in platelets<sup>71</sup>.

There is limited evidence that  $\text{cPLA}_2\text{-}\alpha$  is regulated by receptors in platelets which do not elevate intracellular  $\text{Ca}^{2+}$ . The cytokine thrombopoietin potentiates platelet activation by a wide range of agonists, but has no stimulatory action on its own. Phosphorylation of  $\text{cPLA}_2\text{-}\alpha$  has been proposed as the mechanism underlying the priming action of thrombopoietin<sup>72</sup>. This is not the only mechanism of priming, however, as thrombopoietin potentiates activation in the presence of cyclooxygenase inhibitors<sup>73</sup>. The physiological significance of the priming effect of the cytokine is unclear. There is limited evidence that the major platelet integrin,  $\alpha\text{IIb-}\beta_3$ , which is a receptor for fibrinogen and von Willebrand factor, can activate  $\text{cPLA}_2\text{-}\alpha$ .

### Regulation of $\text{cPLA}_2\text{-}\alpha$ by phosphorylation

Lin and coworkers at Genetic Institute in Boston were the first to provide strong evidence that  $\text{cPLA}_2\text{-}\alpha$  is phosphorylated in mammalian cells in response to agonists that mobilize AA from phospholipids<sup>74</sup>. After this group cloned  $\text{cPLA}_2\text{-}\alpha$ , they realized that the protein contains a consensus motif surrounding Ser-505 for phosphorylation by mitogen activated protein kinase family members (MAPKs). This group also provided strong evidence, but not proof, that  $\text{cPLA}_2\text{-}\alpha$  is phosphorylated on Ser-505 when expressed in CHO cells. When the S505A  $\text{cPLA}_2\text{-}\alpha$  mutant was expressed in CHO cells, AA release in response to  $\text{Ca}^{2+}$  ionophore and the protein kinase C activator PMA was greatly decreased compared to that produced by expression of wild-type  $\text{cPLA}_2\text{-}\alpha$ . They also showed that  $\text{cPLA}_2\text{-}\alpha$  phosphorylation led to a slight decrease in the electrophoretic mobility of the enzyme. This gel shift assay, which can be examined by immunoblotting methods using total protein extracted from mammalian cells, has been used by many investigators to track  $\text{cPLA}_2\text{-}\alpha$  phosphorylation in response to agonists (> 300 published studies).

However, we were never completely satisfied with the gel shift assay because it does not provide site-selective  $\text{cPLA}_2\text{-}\alpha$  phosphorylation data. We were able to isolate sufficient

quantities of  $\text{cPLA}_2\text{-}\alpha$  from a baculovirus/insect cell expression system to determine the sites of phosphorylation by protein chemical methods. We determined that  $\text{cPLA}_2\text{-}\alpha$  was phosphorylated not only on Ser-505 but also on serines-437, 454, and 727<sup>75</sup>. This was accomplished by using combined HPLC/electrospray mass spectrometry to fully sequence the four tryptic phosphopeptides. Radiometric methods with  $^{32}\text{P}$  were used to show that these four phosphorylations accounted for all of the  $\text{cPLA}_2\text{-}\alpha$  phosphorylation in these cells. We then studied  $\text{cPLA}_2\text{-}\alpha$  phosphorylation in human platelets following stimulation with the physiological agonists thrombin and collagen. Although sufficient amounts of  $\text{cPLA}_2\text{-}\alpha$  from mammalian cells for mass spectrometry studies cannot be readily obtained, we were able to use  $^{32}\text{P}$ -radiometric methods to show that the radiolabelled tryptic peptides obtained from immunoprecipitated platelet  $\text{cPLA}_2\text{-}\alpha$  comigrated with authentic phosphopeptides (prepared by solid-phase peptide synthesis) on both a reverse-phase HPLC column and on a two-dimensional cellulose plate (electrophoresis followed by thin layer chromatography). By this method, we showed that  $\text{cPLA}_2\text{-}\alpha$  is phosphorylated in thrombin and collagen stimulated platelets on Ser-505 and on Ser-727, and this accounted for all of the phosphorylation in these cells (Ser-437 and Ser-454 phosphorylations were not observed)<sup>76</sup> (Fig. 15.3). Since  $\text{cPLA}_2\text{-}\alpha$  phosphorylation is stoichiometric in platelets (full gel-shift) and since the cpm incorporated at Ser-505 and Ser-727 are virtually identical, we conclude that platelet  $\text{cPLA}_2\text{-}\alpha$  becomes stoichiometrically phosphorylated on both serines in response to thrombin. We also showed that  $\text{cPLA}_2\text{-}\alpha$  is phosphorylated exclusively on Ser-505 and on Ser-727 in HeLa cells stimulated with activators of the stress-activated protein kinases (SAPKs, members of the MAPK family)<sup>76</sup>. These are the only published studies reporting the rigorous mapping of  $\text{cPLA}_2\text{-}\alpha$  phosphorylation sites. Our work cast doubt on the suggestion, based only on immunoblot analysis, that  $\text{cPLA}_2\text{-}\alpha$  is phosphorylated on tyrosine in HeLa cells<sup>77</sup>.

We, along with Kramer and coworkers, showed that the p38 member of the SAPK family or a closely related kinase and not the p42/p44 MAPKs (ERK1 and 2) was responsible for  $\text{cPLA}_2\text{-}\alpha$  phosphorylation in platelets<sup>78-81</sup>. We found that the inhibitors of p38, SB202190 and SB203580, blocked about 50% of the phosphorylation on both Ser-505 and Ser-727 in thrombin stimulated platelets. Since the Ser-727 site does not contain the critical residues for recognition by MAPKs, the inhibition data suggests that the kinase responsible for Ser-727 phosphorylation is activated by p38. The fact that  $\text{cPLA}_2\text{-}\alpha$  phosphorylation is only 50% inhibited by SAPK inhibitors suggests that more than one isoform of p38 (inhibitor sensitive and insensitive



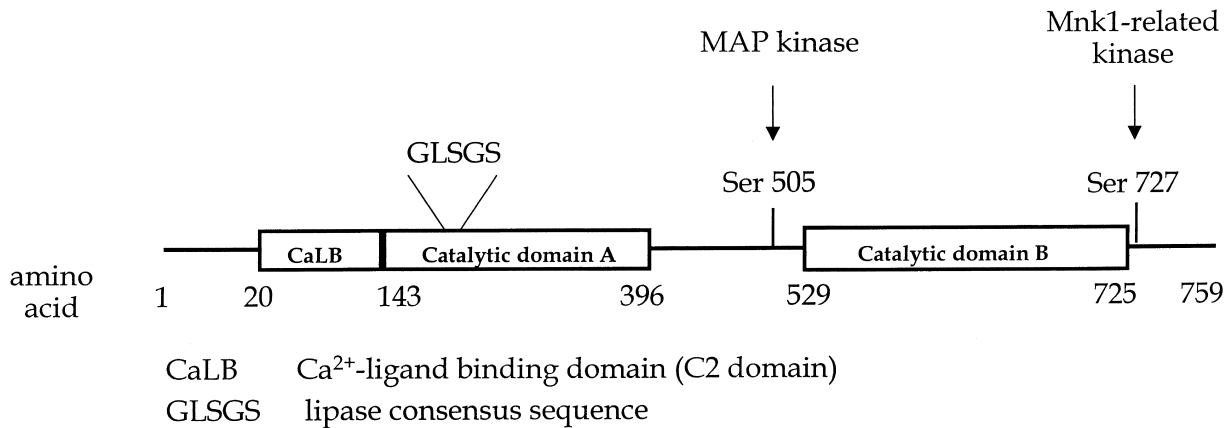


Fig. 15.3. Schematic representation of major structural domains of the cPLA<sub>2</sub>- $\alpha$ . The catalytic domain composed of two parts of the protein (catalytic domain A and catalytic domain B), the Ca<sup>2+</sup>-binding domain are indicated as well as two phosphorylation sites.

forms) may be phosphorylating cPLA<sub>2</sub>- $\alpha$  in platelets. Since these studies, three new p38 isoforms have been cloned<sup>182-85</sup>, bringing the current count to four gene products (SAPK2a/2b/3/4). Using recombinant SAPKs and specific antibodies, we went on to show that all four SAPKs phosphorylate cPLA<sub>2</sub>- $\alpha$  in vitro at Ser-505<sup>78</sup>. All four SAPKs are present in platelets and SAPK2a/2b and four undergo activation in thrombin-stimulated platelets<sup>78</sup>. However, SB202190 and SB203580 inhibit SAPK2a/2b but not SAPK3/4<sup>86</sup>. Thus, it seems that the partial inhibition of cPLA<sub>2</sub>- $\alpha$  phosphorylation by the SB202190/SB203580 inhibitors may be due to inhibitor sensitive and insensitive forms of SAPKs that phosphorylate cPLA<sub>2</sub>- $\alpha$  upon thrombin addition. Alternatively, the SB202190/SB203580-insensitive phosphorylation could be mediated by other members of the MAPK family such as ERK5 or Jnk. In collagen stimulated platelets, only the SB202190/SB203580-sensitive SAPKs, SAPK2a/2b, become activated, and we found that these inhibitors block all of the cPLA<sub>2</sub>- $\alpha$  phosphorylation (Ser-505 and Ser-727) induced by collagen<sup>78</sup>. We then went on to show that complete blockage of cPLA<sub>2</sub>- $\alpha$  phosphorylation leads to a shift in the AA release vs. collagen concentration dose-response curve in platelets. Threefold higher amounts of collagen are needed to elicit the same AA release response in the absence of cPLA<sub>2</sub>- $\alpha$  phosphorylation (i.e. with SB202190/SB203580 present) as in the presence of phosphorylation<sup>78</sup>. We also showed that stress stimuli that activate platelet SAPKs (oxidants and osmotic shock) lead to enhanced cPLA<sub>2</sub>- $\alpha$  phosphorylation and AA release in platelets<sup>87</sup>, consistent with a role of SAPK-catalyzed cPLA<sub>2</sub>- $\alpha$  phosphorylation in augmenting AA release in platelets.

Kramer et al. showed that phorbol ester activation of the

p42/p44 MAPK members in platelets leads to cPLA<sub>2</sub>- $\alpha$  phosphorylation, and we showed that such phosphorylation also occurs on Ser-505 and Ser-727<sup>65</sup>. It should be noted that this cPLA<sub>2</sub>- $\alpha$  phosphorylation pathway is an induced response to the non-physiological phorbol ester agonist; as noted above, cPLA<sub>2</sub>- $\alpha$  phosphorylation is catalysed by SAPKs in response to the physiological agonists thrombin and collagen.

Our studies with SAPK inhibitors SB202190/SB203580 led us to propose that the kinase responsible for phosphorylation of cPLA<sub>2</sub>- $\alpha$  on Ser-727 is activated by one or more SAPKs. The identification of MAPK-activated kinases is rapidly advancing, and we decided to test all of the kinases known at the time of our study to be activated by both p42/p44 MAPKs and by SAPKs (MNK1<sup>88,89</sup>, PRAK1<sup>90</sup>, MSK1<sup>91</sup>, MAPKAP-K2<sup>89</sup>, and MAPKAP-K3<sup>92</sup>) for their ability to phosphorylate cPLA<sub>2</sub>- $\alpha$  in vitro. MAPKAP-K1 (p90<sup>rsk</sup>) is not activated by SAPK2a, but lies downstream of ERK2<sup>86,93</sup> and thus was not considered further. Using mass spectrometry, we proved that MNK1 phosphorylates cPLA<sub>2</sub>- $\alpha$  in vitro uniquely at Ser-727 and that PRAK1 and MSK1 produce a radiophosphorylated tryptic peptide that co-migrates with authentic Ser-727 phosphorylated tryptic peptide on HPLC and on a two-dimensional cellulose plate<sup>65</sup>. MAPKAP-K2 and MAPKAP-K3, although enzymatically active, did not phosphorylate cPLA<sub>2</sub>- $\alpha$  in vitro. Using specific antibodies, we showed that MNK1 and PRAK1, but not MSK1, are present in platelets and become activated upon thrombin addition and with the same time course as activation of SAPKs and cPLA<sub>2</sub>- $\alpha$  phosphorylation<sup>65</sup>. At this point it seems clear that cPLA<sub>2</sub>- $\alpha$  phosphorylation on Ser-727 in activated platelets is carried out by MNK1, PRAK1, or a closely related isoform.

Having defined that pattern of cPLA<sub>2</sub>- $\alpha$  phosphorylation in platelets and the family of kinases responsible for the modifications, we further examined the functional consequence of Ser-505 and Ser-727 cPLA<sub>2</sub>- $\alpha$  phosphorylation<sup>65</sup>. We constructed a mammalian cell expression plasmid containing mouse cPLA<sub>2</sub>- $\alpha$  cDNA and prepared several clones of stably transfected CHO cells, HeLa cells, and HEK293 cells (human embryonic kidney endothelial cells). Clones were also established that expressed the phosphorylation site mutants (S505A, S727A, and S505A/S727A). We studied cPLA<sub>2</sub>- $\alpha$  phosphorylation in these cells by radiolabelling with <sup>32</sup>P-phosphate and examining the radioactive tryptic peptides derived from immunoprecipitated cPLA<sub>2</sub>- $\alpha$  by HPLC and 2-dimensional cellulose chromatography. We found that cPLA<sub>2</sub>- $\alpha$  was phosphorylated stoichiometrically only on Ser-505 and Ser-727 in all three cell types and that mutation of these serines to alanines abolished phosphorylation, thus confirming our phosphorylation site mapping studies.

We next quantified AA release in these clones in response to agonist stimulation<sup>65</sup>. Several clones expressing each type of cPLA<sub>2</sub>- $\alpha$  were selected for study based on comparable amounts of expressed cPLA<sub>2</sub>- $\alpha$  (based on immunoblot and RNA-blotting)<sup>65</sup>. Similar results were obtained with CHO, HeLa, and HEK293 cells<sup>65</sup>. Expression of wild-type cPLA<sub>2</sub>- $\alpha$  in CHO cells leads to an increase in AA release compared to the non-transfected parental cells. Stimulation with an intermediate concentration of Ca<sup>2+</sup> ionophore alone leads to more AA release than stimulation with the protein kinase C agonist PMA alone, and there is a synergistic effect when both agonists are added. These results are similar to those reported by Lin et al. for cPLA<sub>2</sub>-transfected CHO cells<sup>74</sup>. Mutation of either Ser-505 or Ser-727 of cPLA<sub>2</sub>- $\alpha$  significantly reduces AA release, and the double mutant fails to produce AA above the level made by non-transfected cells. When a high concentration of Ca<sup>2+</sup> ionophore is used, the effect of double mutation on AA release is rescued showing that the mutant can be fully functional in these cells. These results establish that phosphorylation of cPLA<sub>2</sub>- $\alpha$  on Ser-505 and on Ser-727 are important for efficient AA release and that high Ca<sup>2+</sup> concentrations can overcome the need for cPLA<sub>2</sub>- $\alpha$  phosphorylation. Finally, we prepared HEK293 cell double transfectants that overexpress wild-type cPLA<sub>2</sub>- $\alpha$  and a dominant negative form of MNK1<sup>94</sup>. Expression of dominant negative MNK1 led to a significant reduction in AA release, but when HEK293 cells were stimulated with high Ca<sup>2+</sup> ionophore concentration, no effect of dominant negative MNK1 was observed<sup>65</sup>. These results support our earlier studies that cPLA<sub>2</sub>- $\alpha$  is phosphorylated by MNK1 or a closely related kinase in platelets and that phosphorylation of cPLA<sub>2</sub>- $\alpha$  is not

required for AA release in the presence of high intracellular Ca<sup>2+</sup>. Our studies also underscore the need for rigorous analysis of cPLA<sub>2</sub>- $\alpha$  phosphorylation using site-selective protein chemical techniques rather than simply relying on gel shift analysis.

In the early studies by Kramer et al. it was shown that fully phosphorylated cPLA<sub>2</sub>- $\alpha$  partially purified from thrombin-stimulated human platelets is about two- to threefold more active than non-phosphorylated enzyme toward the hydrolysis of phospholipid vesicles *in vitro*<sup>95</sup>. Phosphorylation is unable to bring about activation of cPLA<sub>2</sub>- $\alpha$  on its own, but increases the response to elevation of Ca<sup>2+</sup>. This can be explained by the ability of Ca<sup>2+</sup> to stimulate movement of cPLA<sub>2</sub>- $\alpha$  to intracellular membranes, whereas phosphorylation increases the catalytic efficiency of the membrane-bound enzyme. In support of this, mutational studies have shown that phosphorylation of serine-505 does not play a role in translocation to intracellular membranes<sup>37</sup>. Thus, in combination, Ca<sup>2+</sup> and phosphorylation induces a larger increase in activity than either stimulus on its own.

### **Evidence that group IIA sPLA<sub>2</sub> may not be involved in AA release in platelets stimulated with physiological agonists**

Group IIA sPLA<sub>2</sub> has been detected in  $\alpha$ -granules of platelets from different species including rat, rabbit and human and is secreted within a few minutes upon activation by physiological agonists<sup>50,96,97</sup>. The question of the possible involvement of group IIA sPLA<sub>2</sub> in AA liberation during platelet activation has been investigated by two different approaches. First, addition of a specific inhibitor for group IIA sPLA<sub>2</sub> did not inhibit AA liberation from thrombin-stimulated platelets, while specific inhibition of cPLA<sub>2</sub>- $\alpha$  led to a strong decrease in such a liberation<sup>61</sup>. Secondly, it was shown that group IIA sPLA<sub>2</sub>-depleted platelets aggregated as much as control platelets and produced similar amounts of TxA<sub>2</sub> upon agonist stimulation<sup>97</sup>. These data lead to the conclusion that group IIA sPLA<sub>2</sub> does not play a significant role in AA liberation upon agonist stimulation. Natural strains of mice lack group IIA sPLA<sub>2</sub><sup>98</sup>, and it would be interesting to examine AA and TxA<sub>2</sub> release in platelets derived from these strains.

Since thrombosis and inflammation are two closely related processes, it is possible that once released from platelets, group IIA sPLA<sub>2</sub> could participate in eicosanoid and/or biologically active lysophospholipid formation in other inflammatory cells or from microvesicles and therefore lead to the propagation of the inflammatory

process<sup>99,100</sup>. The addition of group IIA sPLA<sub>2</sub> on A23187-treated granulocytes, IgE/antigen-primed mast cells, TNF $\alpha$ -stimulated HUVEC and BRL-3A cells, leads to more prostanoids generated than that elicited by each agonist alone<sup>101–104</sup>. Moreover, group IIA sPLA<sub>2</sub> does contribute to AA release for eicosanoid formation in epithelial and fibroblastic cells<sup>20</sup>. In these cells, group IIA sPLA<sub>2</sub> seems to contribute to AA release during the late phase of eicosanoid formation, typically tens of minutes to hours after cell activation, whereas cPLA<sub>2</sub>- $\alpha$  contributes to the early phase of AA release. In this context, it is important to note that platelets release AA and TxA<sub>2</sub> within a few minutes after stimulation by physiological agonists. Once secreted from platelets, group IIA sPLA<sub>2</sub> is expected to adsorb to the plasma membrane of nearby cells, rather than being diluted into the systemic circulation. This is due to its ability to bind heparan sulfate proteoglycan present at the cellular surface<sup>20,105,106</sup>. In fibroblastic cells, group IIA sPLA<sub>2</sub> anchored to cell surface heparan sulfate proteoglycan is internalized in a process that leads to AA release coupled to eicosanoid formation<sup>106</sup>. In contrast, with mast cells, such anchoring leads to group IIA sPLA<sub>2</sub> internalization for degradation<sup>107</sup>.

The ability of exogenous added group IIA sPLA<sub>2</sub> to release AA from platelets was also studied. Addition of micromolar concentrations of exogenous enzyme to resting platelets does not induce platelet aggregation, AA liberation, or plasma membrane phospholipid hydrolysis<sup>61,108,109</sup>. It therefore appears that the extracellular face of the platelet plasma membrane is a poor substrate for group IIA sPLA<sub>2</sub>. This is probably due to the fact that this membrane face is highly enriched in sphingomyelin and phosphatidylcholine<sup>110,111</sup>, and that group IIA sPLA<sub>2</sub> binds extremely weakly to vesicles of zwitterionic phospholipids<sup>112</sup>. Phosphatidylserine is known to be transferred to the extracellular face of the plasma membranes of platelets during cell activation. However, this change in membrane composition is not sufficient to allow group IIA sPLA<sub>2</sub> to act since little or no AA is released when activated platelets are treated with exogenous addition of group IIA PLA<sub>2</sub><sup>61,109</sup>. Addition of phosphatidylserine to phosphatidylcholine vesicles leads to enhanced binding of group IIA sPLA<sub>2</sub> in vitro, but interfacial binding of enzyme remains weak unless the concentration of acidic phospholipid lipid approaches 100 mole per cent (M.H. Gelb, unpublished observations).

One possible hydrolytic action of the group IIA sPLA<sub>2</sub> is on microvesicles shed from platelets during activation by thrombin and collagen<sup>108,113</sup>. Such microvesicles are enriched in phosphatidylserine and phosphatidylethanolamine in the outer leaflet. Micromolar concentrations of

group IIA sPLA<sub>2</sub> induced a modest phospholipid hydrolysis on such microvesicles after one-hour incubation, while a marked hydrolysis was observed in the presence of sphingomyelinase<sup>108</sup>. The physiological relevance of such a process remains to be established, but it is unlikely it would contribute significantly to AA liberated from platelets during thrombin or collagen stimulation. The shedding of microvesicles is a slow process, whereas AA release is complete within a few minutes<sup>114,115</sup>. In addition, weak agonists which are unable to induce microvesicle shedding are potent inducers of eicosanoid formation during platelet activation<sup>115,116</sup>. However, the possibility that physiologically relevant signaling lipids including lysophosphatidic acid and lysophosphatidylcholine are produced during group IIA sPLA<sub>2</sub>-catalysed microvesicle hydrolysis needs to be considered.

In conclusion, available evidence goes against a role for group IIA sPLA<sub>2</sub> in generating AA during physiological agonist stimulation of platelets. In light of the fact that several new sPLA<sub>2</sub>s have been discovered in recent years (discussed above), it will be important to explore the presence of these enzymes in platelets. It should also be mentioned that the action of an sPLA<sub>2</sub> other than the group IIA enzyme could contribute to AA release during platelet activation. It has been shown that the action of cPLA<sub>2</sub>- $\alpha$  is needed as a prerequisite for sPLA<sub>2</sub> action in mast cells<sup>117</sup> and in fibroblasts<sup>118</sup>. This complicates the interpretation of the results that AACOCF<sub>3</sub> blocks virtually all of the AA released in activated platelets, but the results with this inhibitor show that cPLA<sub>2</sub>- $\alpha$  plays the dominant role in liberating AA from platelet membrane phospholipids for the biosynthesis of eicosanoids.

### Possible physiological functions of platelet-derived group IIA sPLA<sub>2</sub>

While the synthesis and the subsequent secretion of group IIA sPLA<sub>2</sub> via the classical secretory pathway in many cell-types is regulated by proinflammatory stimuli<sup>20,119,120</sup> (II-1, II-6, TNE, LPS), in some cells group IIA sPLA<sub>2</sub> is rapidly released from storage granules following cell activation. For example, group IIA sPLA<sub>2</sub> is stored in  $\alpha$ -granules of resting platelets and released along with other granule proteins during activation. Such a secretion is correlated with the activation state of blood platelets and with the efficiency of the release reaction, but specific regulation of group IIA sPLA<sub>2</sub> secretion compared to other granule proteins has not been reported. It is likely that platelet-derived group IIA sPLA<sub>2</sub> mainly exerts its physiological functions once secreted since no report of an action of group IIA

**Table 15.1.** The possible biological functions for platelet-derived group IIA sPLA<sub>2</sub>

Reported properties for group IIA sPLA <sub>2</sub>	Expected biological functions for platelet-derived group IIA sPLA <sub>2</sub>
Binding to heparan sulfate proteoglycans	Extracellular or intracellular participation in AA production by cells other than platelets
Intracellular degradation of bacteria, extracellular hydrolysis of bacterial phospholipids	Antimicrobial effect
Binding to blood coagulation factor Xa	Anticoagulant effect
Platelet-derived microvesicle hydrolysis	Production of biologically active lipids (LPA, LPC), removal of microvesicles
LDL hydrolysis	Proatherogenic effect
Membrane hydrolysis of cells with a loss in the membrane asymmetry	Removal of apoptotic/injured/activated cells

sPLA<sub>2</sub> inside platelets has been described. Group IIA sPLA<sub>2</sub> binds tightly to heparin<sup>20,106</sup>. It is possible that it forms a complex with heparin inside of granules which prevents it from acting on granular membranes, but this idea remains to be investigated. It is still a question whether platelet-derived group IIA sPLA<sub>2</sub> may account for the high increase in the group IIA sPLA<sub>2</sub> level found in serum during inflammatory diseases. In the rat, *in vivo* platelet activation by administration of ADP was followed by a transient rise in plasma sPLA<sub>2</sub> activity<sup>54</sup>. However, it has been reported that other cell-types (such as inflammatory cells and liver cells) also secrete high amount of group IIA sPLA<sub>2</sub><sup>20,121</sup>. Group IIA sPLA<sub>2</sub> is expected to stay, at least partly, at the cellular surface after secretion (due to its proteoglycan binding properties) rather than being diluted into the systemic circulation<sup>105,106</sup>. High concentrations of group IIA sPLA<sub>2</sub> have been detected in local sites, such as synovial<sup>122</sup> and ascitic fluids<sup>123</sup> and in tears<sup>18</sup>, and localization of group IIA sPLA<sub>2</sub> appears to occur during local thrombolytic events<sup>20,108</sup>. The possible physiological functions of the group IIA sPLA<sub>2</sub> will be reviewed mainly with the working hypothesis that it is acting locally and extracellularly after secretion from platelets (Table 15.1). However, an intracellular action of the group IIA sPLA<sub>2</sub> after binding to the cell surface and subsequent internalization has been proposed in some cells and discussed above regarding the participation of platelet-derived group IIA sPLA<sub>2</sub> in eicosanoid formation by other cells<sup>106</sup>. Finally, it has to be considered that group IIA sPLA<sub>2</sub> may also be secreted by other cells present at the thrombus foci and therefore act with the platelet-derived enzyme.

### Anticoagulant effect of group IIA sPLA<sub>2</sub>

The group IIA sPLA<sub>2</sub> has been reported to exert an anticoagulant effect and may play a negative feedback role during the initiation of thrombosis once secreted from activated platelets<sup>124,125</sup>. Group IIA sPLA<sub>2</sub> specifically inhibits the prothrombinase complex composed of factor Xa (FXa), factor Va (FVa), phospholipids and calcium, and this inhibitory effect is still observed in the absence of phospholipids<sup>125,126</sup>. Group IIA sPLA<sub>2</sub> forms a 1:1 complex with FXa and prevents the formation of the FXa/FVa complex<sup>125</sup>. Group IIA sPLA<sub>2</sub> contains 13 lysine and 10 arginine residues scattered over its entire surface that form cationic clusters. Several of these basic clusters lie on the surface of the molecule that contacts the lipid membrane, the so-called 'interfacial binding surface'<sup>127</sup>. Mutations of these basic residues led to a significant reduction of the ability of group IIA sPLA<sub>2</sub> to inhibit prothrombinase activity and to bind to FXa, and, increased salt concentrations completely abolished the binding of group IIA sPLA<sub>2</sub> to FXa, supporting an important role of electrostatic interactions<sup>128</sup>. High concentrations of FVa reversed the inhibitory effect of group IIA sPLA<sub>2</sub> on the prothrombinase complex, suggesting that group IIA sPLA<sub>2</sub> may compete with FVa for binding to FXa. Therefore, group IIA sPLA<sub>2</sub> is expected to act at early stages of the coagulation process by delaying the formation of a fully active prothrombinase complex, until enough FVa is generated.

Wild-type enzyme, as well as the catalytically inactive H48Q group IIA sPLA<sub>2</sub>, efficiently delays the formation of thrombin measured in human platelet-rich plasma (PRP). Therefore, the anticoagulant effect of group IIA sPLA<sub>2</sub> occurs under experimental conditions close to the physiological situation and is independent of its catalytic activity,

even when natural phospholipids from plasma and platelet membrane are present, supporting an inhibitory mechanism based on the interaction of group IIA sPLA<sub>2</sub> with FXa<sup>128</sup>. As discussed above, the levels of group IIA sPLA<sub>2</sub> in serum strongly increase from 0.35 nM up to 600 nM under various inflammatory states<sup>121,129</sup>, and, high concentrations are expected to be found at local inflammatory/thrombolytic sites. Group IIA sPLA<sub>2</sub> inhibits the prothrombinase activity with an IC<sub>50</sub> value of 80 nM, and a K<sub>d</sub> value of 230 nM was determined for the interaction of group IIA sPLA<sub>2</sub> with FXa<sup>128</sup>. These values appear consistent with a potential localized and/or systemic anticoagulant role of group IIA sPLA<sub>2</sub> during various physiological or pathological states. Hydrolysis of platelet-derived microvesicles by group IIA sPLA<sub>2</sub> (described above) could lead to an anticoagulant effect since these microvesicles support the coagulation cascade in addition to the platelet membrane<sup>113</sup>. However, the catalytically inactive mutant showed the same efficiency as wild-type group IIA sPLA<sub>2</sub> to inhibit thrombin generation in PRP, indicating that such phospholipid hydrolysis probably does not play a major role in the anticoagulant effect of this enzyme. Finally, group IIA sPLA<sub>2</sub> has been shown to increase the generation of prostacyclin by vascular endothelial cells<sup>103</sup>. This eicosanoid is an efficient inhibitor of platelet activation. The occurrence of such an effect in vivo would amplify the anticoagulant effect of group IIA sPLA<sub>2</sub>.

### Degradation of apoptotic and injured cells by group IIA sPLA<sub>2</sub>

A membrane rearrangement that could lead to increased hydrolysis by the group IIA sPLA<sub>2</sub> is the appearance of phosphatidylserine during the apoptotic process in eukaryotic cells that is correlated with the loss of the membrane asymmetry and with membrane blebbing<sup>130</sup>. Cells undergoing apoptosis are sensitive to the action of the group IIA sPLA<sub>2</sub><sup>131,132</sup>. Therefore, one possible function of group IIA sPLA<sub>2</sub> released from either platelets or other cells is to eliminate apoptotic cells or injured cells<sup>133</sup>. This may constitute an important role in the removal of the hemostatic clot including activated platelets and microvesicles in order to avoid establishment of a prothrombotic situation.

### Role of group IIA sPLA<sub>2</sub> in atherogenesis: low density lipoprotein (LDL) hydrolysis

Atherosclerosis is a disease involving the immune system and chronic inflammation in the initiation of endothelial cell dysfunction and the development of advanced lesions<sup>134</sup>. In addition to endothelial cells, macrophages, T-

lymphocytes and smooth muscle cells collaborate to induce such a pathological situation. The 'CD40 receptor/CD40 ligand' system, a key mediator of cell communication in the immune system, is crucial in the establishment of atherosclerotic lesions<sup>134</sup>. The possible involvement of blood platelets in such a process has been pointed out since the CD40 ligand is expressed on their surface upon activation, and oxidatively modified LDL (low density lipoprotein) stimulates platelets<sup>135</sup>. The participation of the group IIA sPLA<sub>2</sub> in atherogenesis has been recently examined since it is expressed by cells implied in this process<sup>136,137</sup> and detected in human atherosclerotic plaques<sup>138</sup>. It has been shown that group IIA sPLA<sub>2</sub> is able to hydrolyse plasma lipoproteins and in particular mildly oxidized or aged LDL leading to modified LDL with higher affinity for proteoglycans and therefore higher atherogenic properties<sup>139,140</sup>. The group IIA sPLA<sub>2</sub> binds to heparan sulfate proteoglycans via their glycosaminoglycan moiety and to decorin, a small proteoglycan of the collagen network in atherosclerotic plaques, via the protein core<sup>141</sup>. Indeed, both LDL and group IIA sPLA<sub>2</sub> are likely to be colocalized at inflammatory loci via the binding to proteoglycans present on cell membranes and on the extracellular matrix of the arterial wall. Transgenic mice overexpressing group IIA sPLA<sub>2</sub> show increased atherogenesis and altered lipoproteins, supporting a role of this enzyme in atherosclerosis<sup>142</sup>. However, the lipoprotein-associated PLA<sub>2</sub> (Lp-PLA<sub>2</sub>) which is found predominantly on LDL is also important for the modification of the LDL<sup>143</sup>. The exact function of Lp-PLA<sub>2</sub> vs. group IIA sPLA<sub>2</sub> in the establishment of atherosclerosis has still to be further investigated.

### Antimicrobial effect of group IIA sPLA<sub>2</sub>

It has been established that group IIA sPLA<sub>2</sub> contributes to the antimicrobial action in response to invading microorganisms<sup>20,144,145</sup>. Phagocytosis and consecutive bacterial membrane degradation by polymorphonuclear leukocytes represent an essential first-line defence against invading bacteria. Group IIA sPLA<sub>2</sub> participates in intracellular bacterial digestion by associating with the surfaces of bacteria and neutrophils before phagocytosis and acting after cointernalization with ingested bacteria. Group IIA sPLA<sub>2</sub> is also able to efficiently bind and extracellularly hydrolyse the membrane of gram positive bacteria<sup>144</sup>. In order to achieve such phospholipid hydrolysis from gram negative bacteria, group IIA sPLA<sub>2</sub> requires the presence of the BPI (bactericidal/permeability-increasing protein) that is released by polymorphonuclear leukocytes<sup>146</sup>. Transgenic mice with the group IIA sPLA<sub>2</sub> gene were reported to be more resistant to *Staphylococcus aureus* and *Escherichia*

*coli* infection than control mice<sup>120,147</sup>. This antimicrobial action is likely to take place in the intestine where group IIA sPLA<sub>2</sub> is highly expressed in Paneth cells<sup>148</sup> and in tears<sup>18</sup>. During skin injury often associated with inflammation and thrombosis, it is possible that group IIA sPLA<sub>2</sub> secreted either from platelets or inflammatory cells also contribute to eliminate invasive bacteria.

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## Roles of phospholipase C and phospholipase D in receptor-mediated platelet activation

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### Introduction

For more than 30 years, the inositol phospholipids and the activities of various phospholipases have been intimately associated with fundamental aspects of the stimulation of platelets. Works of various scientific groups led to the initial discoveries in the 1970s and 1980s that related the metabolism of phospholipids to the early platelet physiological responses such as shape change, secretion, and aggregation. In many instances, these findings were used to develop signal transduction concepts in many other biological systems. The products resulting from activation of these phospholipases represent myriad second messengers with relevant biological roles. Phospholipases and their substrates and products are central to the action of many receptors, transducers, and protein kinases, as well as to the mobilization of ions. This chapter describes the roles of phospholipase C and phospholipase D in signal transduction, and it discusses how these activities interplay in a network of pathways leading to physiological responses.

### Overview of phospholipase C

Phosphoinositide-specific phospholipase C (PLC) plays an essential role in receptor-mediated platelet activation. The mammalian PLCs represent a family of  $\text{Ca}^{2+}$ -dependent enzymes showing a substrate preference for phosphatidylinositol 4,5-bisphosphate ( $\text{PIP}_2$ ) over phosphatidylinositol 4-phosphate (PIP) or phosphatidylinositol (PI)<sup>1</sup>. Hydrolysis of  $\text{PIP}_2$  by PLC leads to the generation of two important intracellular messengers: inositol 1,4,5-trisphosphate ( $\text{IP}_3$ ) and diacylglycerol (DAG).  $\text{IP}_3$  is a soluble

molecule that promotes the rapid release of  $\text{Ca}^{2+}$  from internal stores. Because of PLC-mediated  $\text{IP}_3$  formation, the cytosolic concentration of  $\text{Ca}^{2+}$  increases rapidly and transiently and causes the activation of  $\text{Ca}^{2+}$ -dependent downstream effectors such as protein kinases and proteases. For additional details on  $\text{IP}_3$ -mediated  $\text{Ca}^{2+}$  mobilization in platelets, refer to Chapter 17 in this book. DAG is a membrane-bound lipid second messenger that mediates the translocation and activation of the serine/threonine protein kinase C (PKC). The role of PKC in platelet activation by extracellular agonists is discussed in Chapter 18.

In addition to generating the intracellular second messengers  $\text{IP}_3$  and DAG,  $\text{PIP}_2$  hydrolysis by PLC may have other important consequences.  $\text{PIP}_2$  also represents the preferred substrate for a different lipid-metabolizing enzyme, phosphatidylinositol 3-kinase (PI-3K). This kinase is activated in agonist-stimulated platelets and catalyses the phosphorylation of  $\text{PIP}_2$  at the 3-position of the inositol ring to generate the second messenger, phosphatidylinositol 3,4,5-trisphosphate ( $\text{PIP}_3$ )<sup>2</sup>. Therefore,  $\text{PIP}_2$  hydrolysis by PLC reduces the substrate availability for PI-3K and, thus may affect signal transduction pathways initiated by this kinase. Moreover,  $\text{PIP}_2$  in the plasma membrane is known to interact with profilin and gelsolin, two proteins involved in the regulation of actin polymerization. Hydrolysis of  $\text{PIP}_2$  in agonist-stimulated platelets, for instance, liberates profilin, which, in turn, may take away monomeric actin from the sequestering protein thymosin  $\beta_4$  to facilitate its polymerization into F-actin filaments<sup>3</sup>. Finally, hydrolysis of  $\text{PIP}_2$  by PLC stimulates phosphoinositide turnover. Soluble  $\text{IP}_3$  is rapidly dephosphorylated to the inactive inositol 1,4-bisphosphate by an  $\text{IP}_3$  5-phosphatase and is then progressively converted to free inositol

by the sequential action of 4- and 1-phosphatases and used for the resynthesis of membrane inositol phospholipids.

An alternative route for  $IP_3$  metabolism involves the transient phosphorylation by a specific 3-kinase to generate inositol 1,3,4,5-tetrakisphosphate ( $IP_4$ ) before degradation by the above-mentioned phosphatases. Although  $IP_4$  does not induce  $Ca^{2+}$  mobilization from internal stores, evidence indicates it may have different signalling functions, such as regulation of  $Ca^{2+}$  influx<sup>4</sup>. Moreover, a protein that binds with high affinity to  $IP_4$  has been cloned and identified as a GTPase activating factor for the small GTP-binding proteins ras and rap1<sup>5</sup>. Although ras is almost undetectable in platelets, rap1b is highly expressed in these cells<sup>6</sup>. Therefore,  $IP_4$  may represent an intracellular messenger playing a role in signal transduction leading to platelet activation.

DAG, the other second messenger produced by the action of PLC, is rapidly inactivated by phosphorylation to phosphatidic acid (PA) through a DAG kinase<sup>7</sup>. PA is a metabolic precursor for phospholipid biosynthesis, but it may also be involved in signal transduction. Although early works reported the ability of exogenous PA to induce platelet aggregation<sup>8</sup>, its role as intracellular messenger is quite controversial. Nevertheless, deacylation of PA by a phospholipase  $A_2$  enzyme generates lysophosphatidic acid (LPA), which has been clearly recognized as an efficient platelet agonist<sup>9</sup>. Because of the multitude of signalling pathways activated or modulated by the action of PLC, it is clear this enzyme plays a central role in cell activation.

### Agonist-induced phospholipase C activation in platelets

Because so many effects are elicited by the action of PLC, the measurements of a wide variety of intracellular parameters can be used to monitor PLC activation in response to extracellular platelet agonists, allowing for identification of the stimuli that affect this enzyme. These parameters include direct measurement of  $IP_3$  formation, mobilization of  $Ca^{2+}$ , accumulation of PA, and phosphorylation of pleckstrin. Measurement of  $IP_3$ , however, provides the clearest evidence for PLC activation.

Traditionally,  $IP_3$  accumulation is evaluated upon separation by ion-exchange chromatography of soluble inositol phosphates from platelets metabolically labelled with  $^3H$ -inositol. The main disadvantages of this technique are the low incorporation of  $^3H$ -inositol into membrane phosphoinositides and the rather complex and time-consuming analyses of the samples. More recently, radio-binding assays for  $IP_3$  measurement have been developed,

and they are commercially available. These are simple and specific assays, but rather expensive.

Measuring the release of  $Ca^{2+}$  from internal stores is an alternative to direct  $IP_3$  measurement:  $Ca^{2+}$  mobilization can be detected by using fluorescent, membrane-permeable indicators such as FURA 2-AM or FLUO 3-AM. When platelet stimulation is performed in the absence of extracellular calcium, the cytosolic increase of this ion exclusively reflects the  $IP_3$ -mediated release from internal stores.

In addition, the production of DAG can be used to detect agonist-induced PLC activation. DAG is rapidly phosphorylated to PA; therefore, the lipids extracted from  $^{32}P$ -labelled platelets can be separated by thin-layer chromatography and visualized by autoradiography to measure the accumulation of PA. Similarly, separation of the total platelet proteins by electrophoresis on acrylamide gels allows the identification and quantification of the phosphorylation of pleckstrin, the 47-kDa protein substrate for DAG-activated PKC.

All these experimental approaches have been widely used to identify the platelet agonists able to promote activation of PLC. It emerged that almost all the agents able to induce platelet activation by binding to a membrane receptor were also able to stimulate the action of PLC. Among these are soluble platelet agonists that bind to seven transmembrane-domain receptors coupled to heterotrimeric GTP-binding proteins such as thrombin, PAF, vasopressin, serotonin, ADP, lysophosphatidic acid, and thromboxane  $A_2$ , as well as its stable analogue U46619<sup>10</sup>. The only exception is epinephrine; this molecule binds to the  $\alpha_{2A}$ -adrenergic receptor on the platelet membrane, and as yet seems to be the only platelet agonist not able to promote PLC activation directly<sup>11,12</sup>. The ability of ADP to stimulate PLC in platelets has been controversial for years. Early reports documented the inability of this agonist to stimulate  $PIP_2$  breakdown<sup>13,14</sup>, but more recent works measuring  $IP_3$  formation and  $Ca^{2+}$  mobilization from internal stores definitively recognize the activation of PLC in ADP-stimulated platelets<sup>15,16</sup>. Because all the agonists cited above bind to membrane receptors coupled to G-proteins, a role for these signal transducers in PLC activation was hypothesized quite early.

Separately from the role of signal transducers, a role for GTP-binding proteins in PLC activation in human platelets also emerged from the observations made by several investigators during the 1980s. These scientists noted that GTP and its nonhydrolysable analogue, GTP- $\gamma$ -S, were able to stimulate PLC activity in isolated platelet membranes and in permeabilized platelets<sup>17-19</sup>. For many years, the identity of the G-protein mediating PLC activation in platelets

remained unknown, and this entity was generically called Gp. Since then, incredible progress has been made, and we now have a more complete picture of the G-proteins responsible for PLC stimulation in platelets. Activation of PLC in platelets, however, is not limited to agonists acting through G-protein-coupled receptors. Some adhesive proteins, such as collagen and von Willebrand factor (vWF), are potent platelet agonists. Although collagen receptors (GP VI) and vWF receptors (GP Ib-IX-V complex) are not associated with GTP binding proteins, collagen and vWF are able to promote PLC activation<sup>20,21</sup>. Moreover, some plant lectins such as wheat germ agglutinin (WGA) and concanavalin A (ConA) stimulate platelets in association with PLC activation<sup>22,23</sup>. Even cross-linking of the low-affinity receptor for the Fc fragment of immunoglobulin G (FcγRIIA) on the platelet membrane triggers PLC activation<sup>24</sup>. Finally, hydrolysis of PIP<sub>2</sub> and formation of PA have been reported to occur as a consequence of platelet adhesion to immobilized fibrinogen<sup>25</sup>, and a role for the fibrinogen receptor integrin  $\alpha_{IIb}\beta_3$  on PLC activation has been documented based on the measurement of intracellular calcium mobilization and IP<sub>3</sub> formation<sup>26,27</sup>. Thus, the mechanism for PLC activation in platelets clearly involves multiple signalling pathways.

Because multiple signalling pathways were involved, purification, cloning, and characterization of PLC were clearly mandatory to clarify the mechanisms of agonist-induced activation of this enzyme. A number of studies using chromatographic resolution, detected the isolation of multiple forms of PLC from both platelet membrane and cytosol<sup>28-31</sup>. These works clearly indicate that PLC is not a single enzyme, but is present in platelets as different isoforms. Moreover, because most platelet PLC activity was found in the cytosol, it was clear that the mechanism to activate these enzymes required the translocation to the membrane, where the physiological substrates are located.

By the end of the 1980s, an incredible number of different PLC isoforms had been identified both in platelets and in nucleated cells, and the nomenclature of PLC isozymes was clearly in disarray. In 1989, after a systematic immunological analysis of most of the reported PLC isoforms, Sue Goo Rhee proposed a unified nomenclature, based on Greek letters, which is still used today<sup>32</sup>. According to this nomenclature, all the known PLC isoforms fall into three major classes referred to as PLC $\beta$ , PLC $\gamma$ , and PLC $\delta$ .

### The phospholipase C isozymes

It is now well known that each of the three major classes of PLC contains multiple subtypes sharing a high degree of

sequence homology. Four different PLC $\beta$  subtypes have been identified: PLC $\beta$ 1, PLC $\beta$ 2, PLC $\beta$ 3, and PLC $\beta$ 4. Their molecular masses range from 130 to 150 kDa, and different spliced variants have been described. The PLC $\gamma$  family includes two members, PLC $\gamma$ 1 and PLC $\gamma$ 2, and each has a molecular mass of about 145 kDa. The PLC $\delta$  family contains the subtypes PLC $\delta$ 1, PLC $\delta$ 2, PLC $\delta$ 3, and PLC $\delta$ 4, which are smaller than other isozymes (85–88 kDa). Therefore, at least ten different isoforms of PLC, encoded by separated genes, exist in living cells. Not all of them are expressed in human platelets, but members of each of the three classes have been described in platelets. Using specific antibodies for the four members of the PLC $\beta$  family, Nozawa's team reported the presence of PLC $\beta$ 2 and PLC $\beta$ 3 in a whole-platelet lysate<sup>31</sup>. PLC $\beta$ 1 was hardly detectable, indicating that it does not represent a major PLC isozyme in these cells, and PLC $\beta$ 4 was not revealed at all<sup>31</sup>. Immunological studies found both members of the PLC $\gamma$  family (PLC $\gamma$ 1 and PLC $\gamma$ 2) to be present in human platelets<sup>33,34</sup>. Evidence for the presence of PLC $\delta$  isoforms was also reported<sup>31</sup>, and subsequently identified as PLC $\delta$ 1 and PLC $\delta$ 3<sup>35,36</sup>. A quantitative immunological study of PLC isozymes separated upon fractionation of platelet extracts by high-performance liquid chromatography revealed that seven of the ten known PLC isoforms are present in platelets<sup>35</sup>. This study failed to detect PLC $\delta$ 3 in platelets, but this isozyme was subsequently reported to be present in platelets at a concentration at least three times higher than PLC $\delta$ 1<sup>36</sup>. Altogether, these data indicate that PLC $\gamma$ 2 and PLC $\beta$ 2 are the predominant PLC isoforms present in platelets.

The division of the PLC isoforms into three major classes was made based on structural homology, but was later discovered also to reflect different mechanisms of enzyme activation. It is now very well known that members of the PLC $\beta$  family are selectively activated by GTP-binding proteins. All the  $\alpha$ -subunits of the members of the Gq family of heterotrimeric GTP-binding proteins ( $\alpha$ q,  $\alpha$ 11,  $\alpha$ 14,  $\alpha$ 15, and  $\alpha$ 16) have been found to activate PLC $\beta$  isozymes, but not PLC $\gamma$  or PLC $\delta$  isozymes<sup>37,38</sup>. The main  $\alpha$ -subunits of the Gq family expressed in human platelets are  $\alpha$ q and  $\alpha$ 16; other members were not found<sup>39,40</sup>. The different  $\alpha$ -subunits of the Gq family display similar potency in activating PLC $\beta$  isozymes, and therefore, they are probably interchangeable. By contrast, the members of the PLC $\beta$  family differ in their sensitivities to stimulation by  $\alpha$ -subunits. For instance, the  $\alpha$ q and  $\alpha$ 16 subunits activate PLC $\beta$  isoforms in the order PLC $\beta$ 1 = PLC $\beta$ 3  $\gg$  PLC $\beta$ 2<sup>41,42</sup>. In light of this, we may assume that in human platelets, PLC $\beta$ 3, although less abundant than PLC $\beta$ 2, is probably the main target for activation through  $\alpha$ q or  $\alpha$ 16.

In addition to being regulated by the  $\alpha$ -subunits of the

Gq family of heterotrimeric G-proteins, PLC $\beta$  isozymes are stimulated by activated  $\beta\gamma$  dimers<sup>43,44</sup>. The activation of PLC $\beta$  isoforms by  $\beta\gamma$  subunits proceeds in the order PLC $\beta$ 3 > PLC $\beta$ 2 > PLC $\beta$ 1<sup>45–47</sup>. Therefore, PLC $\beta$ 2, which is the most abundant PLC $\beta$  isozyme in platelets, is probably activated preferentially through a  $\beta\gamma$ -dependent mechanism, while PLC $\beta$ 3 can be efficiently activated by the  $\alpha$ q and  $\alpha$ 16 subunits and the  $\beta\gamma$  subunits.

The members of the PLC $\gamma$  family are typically activated in response to peptide growth factors and cytokines acting through membrane receptors with intrinsic tyrosine kinase activity or associated with intracellular tyrosine kinases<sup>48</sup>. Indeed, activation of PLC $\gamma$  enzymes involves phosphorylation on tyrosine residues. In platelets, the only receptor for growth factors recognized as yet is the PDGF receptor, whose activation, however, seems to lead to platelet inhibition rather than stimulation<sup>49</sup>. Despite this, PLC $\gamma$  isozymes are highly expressed in platelets, and the amount of PLC $\gamma$ 2 is almost sixfold higher than that of PLC $\beta$ 2<sup>35</sup>. It is now very well documented that PLC $\gamma$  isozymes are activated in response to a variety of agonists whose receptors are not linked to G-proteins, such as collagen, von Willebrand factor, and immunocomplexes promoting cross-linking of Fc $\gamma$ RIIA. The mechanisms for PLC $\gamma$ 2 activation in platelets are discussed later in this chapter.

PLC $\delta$  isoforms are not activated either by classical G-proteins or by a tyrosine-kinase-dependent mechanism, and the modality by which these enzymes are coupled to membrane receptors is still poorly understood. Because the activity of PLC $\delta$  isozymes is more sensitive to Ca<sup>2+</sup> than that of other isoforms, this class may be regulated by the cytosolic concentration of this ion<sup>50</sup>. Alternatively, a recently described new class of GTP-binding protein, termed Gh has been reported to bind to and activate PLC $\delta$ 1<sup>51</sup>. Moreover, activation of purified PLC $\delta$ 1 is also promoted by RhoGAP, a protein that stimulates the GTPase activity of the small G-protein rhoA<sup>52</sup>. Interestingly both Gh and rhoA are expressed in human platelets<sup>53,54</sup>, but their involvement in the activation of PLC $\delta$ 1 in these cells remains unclear.

### Structure of phospholipase C isozymes

Analysis of the structure of the three families of PLC isozymes reveals interesting details and suggests possible strategies underlying their activation. All PLC isoforms have a modular organization and are composed of several distinct domains. At the N-terminus, all the PLC isozymes contain a pleckstrin homology (PH) domain, which is fol-

lowed by four consecutive EF-hand motifs. The catalytic domain is composed of two regions, designated X and Y. In PLC $\delta$  and PLC $\beta$ , these two regions are separated by a short sequence of 50–70 aminoacids, but in PLC $\gamma$  this linker region is much longer (about 400 amino acids) and is composed of two SH2-domains followed by an SH3-domain, which are all included within a second split PH domain. In all the PLC isozymes, the catalytic domain is followed by a C2 domain. Members of PLC $\beta$  present at the C-terminus a peculiar extension of about 400 amino acids. This extension is not present in other PLC isoforms. A scheme of the structure of PLC isozymes is given in Fig. 16.1. Only the three-dimensional structure for PLC $\delta$ 1 has been resolved<sup>55</sup>, and the information obtained about this isozyme is useful in predicting the organization of other isoforms.

#### The catalytic domain

The X and Y regions forming the catalytic domain of all the PLC isoforms are the most conserved sequences among mammalian isozymes. Structural data from PLC $\delta$ 1 reveal that these two regions are organized three-dimensionally to form two halves of a catalytic unit that accommodates the substrate in a solvent-accessible cleft near the active site<sup>55</sup>. Co-crystallization of PLC $\delta$ 1 with the product of the reaction, IP<sub>3</sub>, reveals that all the groups of the polar head of the phosphoinositide substrate interact with the active site<sup>55,56</sup>. No space has been found to accommodate a possible phosphate group bound to the 3-position of the inositol ring, providing structural explanation for the known inability of PLC to hydrolyse the lipid product of PI-3K, phosphatidylinositol 3,4,5-trisphosphate<sup>57</sup>. The 2-hydroxyl group of IP<sub>3</sub> is coordinated with a Ca<sup>2+</sup> ion present at the bottom of the active site, and this may represent the structural basis for the absolute calcium requirement of all mammalian PLC. A number of residues involved in the catalytic reaction have been identified by both structural and mutational analysis<sup>55,58</sup>. Corresponding residues are highly conserved in all the PLC isoforms, and it was reported that mutation of some of them in PLC $\gamma$ 1 abrogates enzyme activity<sup>59</sup>.

#### The PH domain

A PH domain is present at the N-terminus of all the known mammalian PLC. It consists of a module of about 120 residues initially described in pleckstrin, the main platelet substrate for PKC, and subsequently found in more than 100 different proteins<sup>60</sup>. Although different PH domains share a very low sequence of similarity, these domains fold into a highly conserved three-dimensional architecture, consisting of seven antiparallel  $\beta$ -strands organized in a

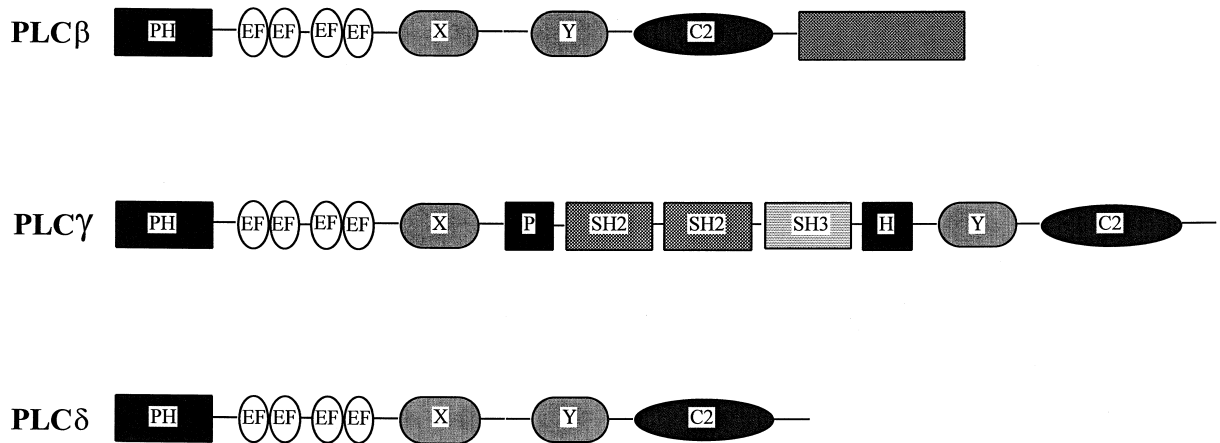


Fig. 16.1. Multidomain structure of the three classes of PLC isozymes. All PLC isozymes contain an N-terminal PH domain that is involved, through different mechanisms, in membrane targeting. This domain is followed by four EF-hand motifs, which are  $\text{Ca}^{2+}$ -binding domains. The catalytic domains are named X and Y, and they are followed by a C2 domain, which is thought to act as a  $\text{Ca}^{2+}$ -dependent membrane lipid binding module. Unique to the PLC $\beta$  family of isozymes is a C-terminal tail that is involved in the binding of G-protein  $\alpha$ -subunits. The PLC $\gamma$  isozymes display an extended X/Y linker region that has essential regulatory functions and is composed of a second split PH domain, two SH2 domains, and one SH3 domain.

barrel-like structure. Because the entire PH domain is able to interact with membranes, this domain is thought to be involved in membrane targeting of proteins. As mentioned above, the evidence that most PLC activity in platelets is present in the cytosol and the finding that all PLC enzymes contain a PH domain suggest that this module may participate in the membrane translocation of PLC to allow access to phosphoinositide substrates. The crucial role of the PH domain in activation of PLC in platelets is supported by the evidence that, in these cells, pleckstrin, another PH-containing protein, can inhibit agonist-induced  $\text{PIP}_2$  hydrolysis<sup>61</sup>. Although the role of PH domains in targeting proteins to the membrane is well documented, the mechanism by which this effect is achieved differs among the members of the PLC families of enzymes. In fact, the PH domains of PLC $\beta$ , PLC $\gamma$ , and PLC $\delta$  display different binding specificities. The PH domain of PLC $\delta$ 1, for instance, binds with high affinity to the  $\text{PIP}_2$  of the membrane<sup>62,63</sup>. This interaction involves the polar head of the phospholipid, and, as expected, the PH domain of PLC $\delta$ 1 can also bind to soluble  $\text{IP}_3$ . This suggests a possible mechanism for feedback regulation of PLC $\delta$ 1 consisting of the displacement of the enzyme from the plasma membrane by the product of the reaction. The structural analysis of PLC $\delta$ 1 PH domain allowed identification of the residues involved in  $\text{PIP}_2$  binding and revealed that these residues are not conserved in the PH domains of members of the PLC $\beta$  and PLC $\gamma$  families<sup>64</sup>. In fact, as expected, PH domains of these isozymes do not display affinity for  $\text{PIP}_2$ .

By contrast, the N-terminal PH domain of PLC $\gamma$  has been found to bind to  $\text{PIP}_3$ , the lipid product of PI-3K<sup>65</sup>. The affinity of this interaction seems to be sufficient to support translocation of the protein to the membrane. Moreover, the SH2 domain of PLC $\gamma$  also has been found to bind to  $\text{PIP}_3$  with high affinity<sup>66,67</sup>. The level of 3-phosphorylated inositol lipids in resting cells is almost undetectable, but rapidly increases upon stimulation as a consequence of PI-3K activation. This evidence indicates that activation of PLC $\gamma$  lies downstream of PI-3K activation. This is also supported by the finding that inhibitors of PI-3K, such as wortmannin, prevent activation of PLC in nucleated cells stimulated with growth factors<sup>66,67</sup> and in human platelets stimulated with collagen or by cross-linking of the  $\text{Fc}\gamma\text{RIIA}$ <sup>68,69</sup>. Moreover, in the latter case, wortmannin inhibition of PLC activation could be overcome by the addition of exogenous  $\text{PIP}_3$ <sup>69</sup>. The PH domain of PLC $\beta$  does not bind with suitable affinity to either  $\text{PIP}_2$  or  $\text{PIP}_3$ <sup>70</sup>. In contrast, it has been shown that the PH domains of PLC $\beta$ 1 and PLC $\beta$ 2 interact strongly with the neutral lipid phosphatidylcholine<sup>71</sup>. Other PH domains, such as that of the  $\beta$ -adrenergic receptor kinase ( $\beta$ ARK), bind G-protein  $\beta\gamma$ -subunits<sup>72</sup>. Such interactions could be relevant for those PLC $\beta$  isozymes that can be activated by  $\beta\gamma$ -dimers. Actually, the PH domain of PLC $\beta$  isozymes is able to promote the interaction of the proteins with  $\beta\gamma$ -dimers<sup>73</sup>, and it is sufficient to stimulate enzyme activation<sup>74</sup>. Despite this, binding of  $\beta\gamma$ -subunits to PLC $\beta$  isozymes also involves sequences different from those found in the PH domain and located in the



X/Y linker region<sup>75,76</sup>. Recent findings suggest that association of the PH domain of PLC $\beta$  isoforms with membrane phospholipids occurs independently of  $\beta\gamma$ -subunits, but facilitates lateral association of the enzyme with membrane-anchored  $\beta\gamma$ , leading to PLC activation<sup>71</sup>.

### The EF-hand motifs and the C2 domain

Four EF-hand motifs lying upstream of the catalytic region, and a C2 domain lying downstream, are common features of all PLC isoforms. Although their exact role in regulating PLC activity is not yet known, they appear to be involved in Ca<sup>2+</sup> binding. Each of the EF-hand motifs consists of a helix–loop–helix motif similar to that found in calmodulin. Residues potentially able to interact with Ca<sup>2+</sup> are present in these motifs, but direct evidence for binding of this ion has not been obtained<sup>77</sup>. Nevertheless, deletion of distinct portions of this region causes inactivation of both PLC $\delta$ 1 and PLC $\gamma$ 1<sup>78</sup>, which supports the hypothesis for an important role of the EF-hand motifs in regulating enzymatic activity.

The C2 domains are known to function as Ca<sup>2+</sup>-dependent membrane lipid binding modules<sup>79</sup>. The C2 domain of PLC $\delta$ 1 was actually found to be associated with three Ca<sup>2+</sup> ions<sup>77</sup>. Although no binding studies have been reported as yet, it is likely that this domain is involved in membrane targeting of PLC isoforms.

### The X/Y linker region

The region connecting the X and Y catalytic domains is essential for regulation of the activity of PLC $\gamma$  isoforms. It contains two tyrosine residues that are phosphorylated concomitantly with enzyme activation (a third phosphorylated tyrosine is present in the C-terminal tail of PLC $\gamma$ 1, but is absent in PLC $\gamma$ 2). This region includes two SH2 and one SH3 domains, which are encompassed by a second split PH domain with unknown functions. The SH2 domains mediate protein-protein interaction by binding to tyrosine-phosphorylated proteins, and the SH3 domain specifically recognizes proline-rich sequences. It is very well known that the SH2 domains of PLC $\gamma$ 1 are responsible for the enzyme association with phosphorylated receptor for growth factors, and that this event represents the first step in efficient phosphorylation, membrane translocation, and activation of the enzyme<sup>48</sup>. In human platelets, the SH2 domains of PLC $\gamma$ 2 have been found to mediate the interaction with several signalling proteins<sup>80</sup>. In addition to tyrosine-phosphorylated proteins, the SH2 domains of PLC $\gamma$  enzymes also specifically bind to PIP<sub>3</sub><sup>66,67</sup>. This interaction is thought to be essential for efficient translocation

of the enzyme to the membrane. Because PLC $\gamma$  isoforms are known to interact with PIP<sub>3</sub> also through the N-terminal PH domain, it appears clear that the lipid product of PI-3K plays a crucial role in regulating the activation of this family of enzymes<sup>66,67</sup>. The role of the SH3 domain of PLC $\gamma$  is still poorly understood, partly because a physiological partner has not been identified. However, some evidence indicates that this domain may be involved in the translocation of PLC $\gamma$  to the cytoskeleton<sup>81</sup>.

Although very short in sequence, the X/Y linker region may also have regulatory functions in PLC isoforms different from those of PLC $\gamma$ . For instance, it has been reported that proteolysis of PLC $\delta$ 1 in the linker region results in the activation of the enzyme<sup>82</sup>. In members of the PLC $\beta$  family, the X/Y linker region may be involved in the binding of the regulatory  $\beta\gamma$ -subunits<sup>76,83</sup>.

### The C-terminal region

As mentioned earlier, members of the PLC $\beta$  family of enzymes are characterized by a C-terminal tail of about 400 amino acids that is absent in other PLC isoforms. Some studies indicate that this C-terminal tail is required for enzyme stimulation by G $\alpha$  subunits<sup>84,85</sup>. Interestingly, deletion of the C-terminal tail of PLC $\beta$ 2 results in an enzyme that is insensitive to stimulation by  $\alpha$ q, but that can still be activated by  $\beta\gamma$  dimers<sup>86</sup>. This means that the site of action of G-proteins  $\alpha$ - and  $\beta\gamma$ -subunits is clearly distinct. Possible binding sites for  $\beta\gamma$  on PLC $\beta$  have been localized in the X/Y linker region and in the PH domain<sup>73–76</sup>, confirming the regulatory function of these sequences. The fact that  $\alpha$ - and  $\beta\gamma$ -subunits of G-proteins activate PLC $\beta$  isoforms by binding to distinct regions may allow concomitant stimulation by both these signal transducers. Upon binding to the C-terminal tail of PLC $\beta$ , the intrinsic GTPase activity of  $\alpha$ q increases by 100-fold. This indicates that the C-terminal tail of PLC $\beta$  acts as a GTPase activating protein (GAP) for  $\alpha$ q<sup>87</sup>.

### Activation of phospholipase C $\beta$ in platelets

To induce PLC activation, most of the soluble platelet agonists bind to seven transmembrane domain receptors coupled to heterotrimeric G-proteins. The only PLC isoforms regulated by G-proteins are the members of the PLC $\beta$ , and thus, these isoforms are candidates for activation by a large number of platelet agonists such as thrombin, TxA<sub>2</sub>, and ADP. Although all four members of PLC $\beta$  family are present in platelets, the main isoforms are PLC $\beta$ 2 and PLC $\beta$ 3<sup>35</sup>. PLC $\beta$ 1 is 40 times less abundant than

PLC $\beta$ 2, and PLC $\beta$ 4 is 600 times less abundant than PLC $\beta$ 2, and the amount of PLC $\beta$ 2 is twice that of PLC $\beta$ 3<sup>35</sup>. Recent evidence reports that PLC $\beta$ 2 is present in platelets as two forms derived by alternative splicing, the difference being in 13 aminoacids in the C-terminal tail<sup>88</sup>. Platelets from a patient with a mild inherited bleeding disorder, showing reduced generation of IP<sub>3</sub>, Ca<sup>2+</sup> mobilization, and pleckstrin phosphorylation in response to different agonists, contained approximately one-third the amount of PLC $\beta$ 2, suggesting a central role for this isozyme in platelet activation<sup>35</sup>. PLC $\beta$  isozymes are activated with different efficiency by the  $\alpha$ -subunits of the Gq family of heterotrimeric G-proteins  $\alpha$ q,  $\alpha$ 11,  $\alpha$ 14,  $\alpha$ 15, and  $\alpha$ 16<sup>37,38</sup>. Of these, only  $\alpha$ q and  $\alpha$ 16 are expressed in human platelets<sup>39,40</sup>. Some studies have demonstrated that PLC $\beta$ 2 is not significantly activated by  $\alpha$ q or  $\alpha$ 16<sup>41,42</sup>, therefore PLC $\beta$ 3 is the only PLC isozyme that can be significantly activated by these  $\alpha$ -subunits in platelets, and it also most likely represents the target of all the platelet agonists that signal through G $\alpha$ q or G $\alpha$ 16.

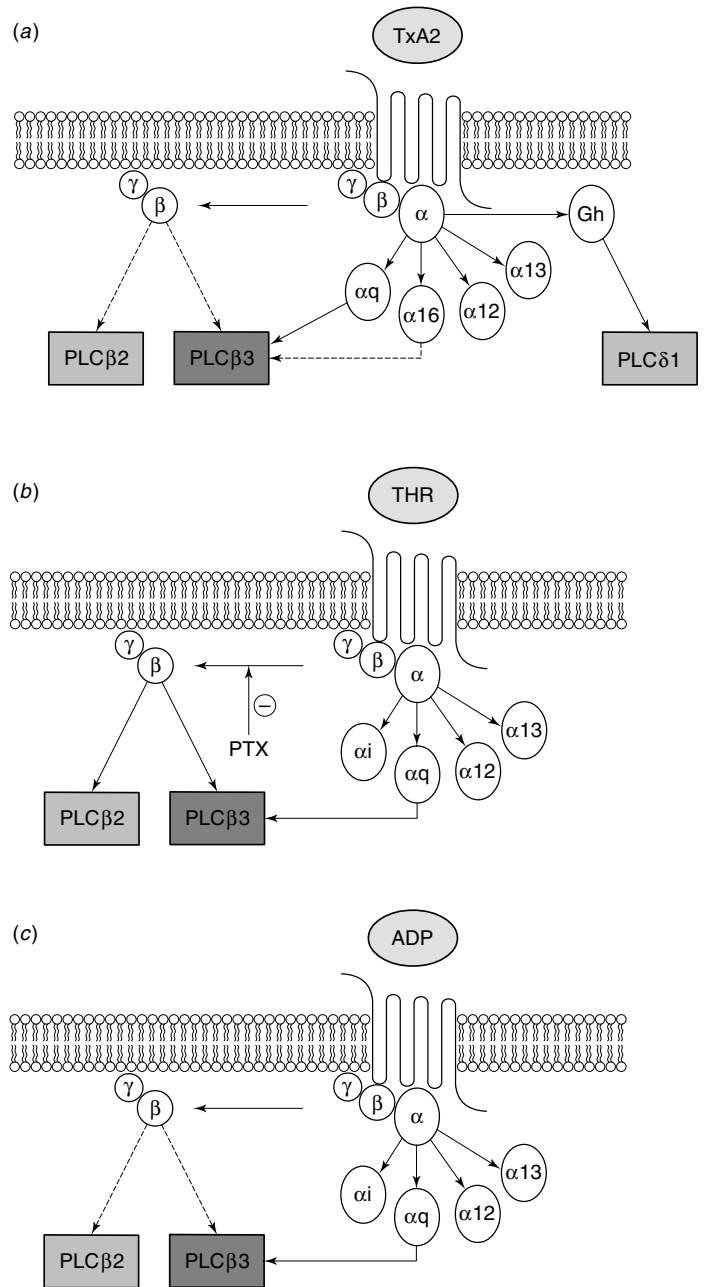
The best-characterized example of the activation of PLC $\beta$ 3 by these G-proteins is the agonist TxA<sub>2</sub> (Fig. 16.2(a)). Several studies based on copurification procedures, immunological evidence, and reconstitution experiments clearly demonstrated that the platelet TxA<sub>2</sub> receptor is coupled with  $\alpha$ q to activate PLC $\beta$ 3<sup>39,89,90</sup>. A possible association of the TxA<sub>2</sub> receptor with  $\alpha$ 16 has also been reported<sup>91</sup>, and this may contribute to PLC $\beta$ 3 activation in response to this agonist. The  $\alpha$ q subunits are not substrates for pertussis toxin. Because PLC activation in response to TxA<sub>2</sub> is not affected by this toxin<sup>92</sup>, the  $\alpha$ q/ $\alpha$ 16-mediated activation of PLC $\beta$ 3 probably accounts for the totality of PIP<sub>2</sub> hydrolysis promoted by TxA<sub>2</sub>. This is consistent with the finding that inositol phosphates formation and calcium mobilization in response to TxA<sub>2</sub> are totally abolished in  $\alpha$ q-deficient mice<sup>93</sup>. Moreover, these results implicitly question the physiological significance of a possible contribution of  $\alpha$ 16 in TxA<sub>2</sub>-mediated PLC activation in platelets. Other G protein  $\alpha$ -subunits such as  $\alpha$ 12 and  $\alpha$ 13 that were found to be associated with the TxA<sub>2</sub> receptor, do not seem to be involved in the signalling pathways leading to PLC activation<sup>94</sup>.

Both members of the PLC $\beta$  family (PLC $\beta$ 2 and PLC $\beta$ 3) that are expressed in platelets at significant levels are efficiently activated by G-protein  $\beta\gamma$ -subunits<sup>45–47</sup>. In this regard, it is interesting to note that the efficiency of PLC $\beta$ 3 stimulation by  $\beta\gamma$  is almost twice that of PLC $\beta$ 2<sup>47</sup>. This leads to two considerations. In platelets, PLC $\beta$ 2 is probably activated mainly by  $\beta\gamma$ -subunits (because it is almost insensitive to  $\alpha$ -subunits). Moreover, because the amount of PLC $\beta$ 2 in platelets is almost twice that of PLC $\beta$ 3, but the

responsiveness of PLC $\beta$ 3 to  $\beta\gamma$ -subunits is twice that of PLC $\beta$ 2, agonists that liberate suitable  $\beta\gamma$ -dimers are most likely to lead to the activation of both PLC $\beta$  isozymes. Activation of PLC $\beta$ 2 and PLC $\beta$ 3 by  $\beta\gamma$ -dimers is thought to be the predominant mechanism for PLC activation in thrombin-stimulated platelets (Fig. 16.2(b)). It has been known for years that thrombin-induced hydrolysis of PIP<sub>2</sub> is inhibited by pertussis toxin<sup>95</sup>. The only G-protein  $\alpha$ -subunit substrate for this toxin is  $\alpha$ i, which is actually associated with the thrombin receptor but does not, as such, activate any PLC isozyme. However, thrombin-dependent activation of G $\alpha$ i by GTP binding leads to the release of associated  $\beta\gamma$ -dimers that can be responsible for the pertussis toxin-sensitive activation of PLC $\beta$ 2/PLC $\beta$ 3. In this context, it has to be remembered that activation of PLC $\beta$  isozymes by  $\beta\gamma$ -dimers may not be limited to thrombin-stimulated platelets, but may potentially represent a general feature of all platelet agonists. In fact, in the absence of an exact map of association between specific  $\alpha$ -subunits and  $\beta\gamma$ -dimers, we cannot exclude that PLC-activating  $\beta\gamma$ -dimers also may be associated with pertussis toxin-insensitive G-protein  $\alpha$ -subunits, such as  $\alpha$ q. In this regard, it is noteworthy that, as stated before,  $\alpha$ -subunits of the Gq family and  $\beta\gamma$ -dimers activate PLC $\beta$ 3 (the only isozyme sensitive to both stimulators in platelets) by binding to distinct domains, and therefore may synergistically contribute to the stimulation of this isozyme. Pretreatment of platelets with pertussis toxin inhibits, but does not totally abolish, PLC activation by thrombin, which, to a lesser extent, is still able to stimulate PIP<sub>2</sub> hydrolysis. This suggests the existence of a second pertussis toxin-insensitive pathway for PLC activation induced by this agonist. In addition to  $\alpha$ i, also  $\alpha$ q,  $\alpha$ 12, and  $\alpha$ 13 have been found to associate with the thrombin receptor in platelets<sup>94–97</sup>. Of these proteins,  $\alpha$ q could mediate a pertussis toxin-insensitive activation of PLC $\beta$ 3. Significantly, IP<sub>3</sub> formation and Ca<sup>2+</sup> mobilization in response to thrombin are totally abolished in  $\alpha$ q-deficient mice<sup>93</sup>. This observation suggests an essential role for  $\alpha$ q in PLC activation by thrombin and reduces the physiological significance of the contribution of  $\beta\gamma$ -subunits to thrombin-dependent activation of PLC $\beta$  isozyme. However, these results clearly conflict with the concept that IP<sub>3</sub> formation by this agonist is produced, at least partially, by a mechanism sensitive to pertussis toxin that cannot be mediated by  $\alpha$ q.

PLC activation in response to ADP is mediated through the binding of the agonist to P2Y<sub>1</sub>, one of the three receptors present on the platelet surface<sup>15,16</sup>. Despite the failure of early studies using a photoactivable GTP analogue to detect G  $\alpha$ q among the G-proteins associated with the ADP receptors<sup>98</sup>, it is now generally accepted that PLC activa-

Fig. 16.2. G-protein-mediated activation of PLC $\beta$  isozymes in platelets. Although all four PLC $\beta$  isozymes are present in platelets, only PLC $\beta$ 2 and PLC $\beta$ 3 are expressed at significant levels<sup>35</sup>. PLC $\beta$ 3 is efficiently activated by G-protein  $\alpha$ -subunits, that, by contrast, are not efficient in activating PLC $\beta$ 2<sup>41,42</sup>. G-protein  $\beta\gamma$  dimers can activate both PLC $\beta$ 2 and PLC $\beta$ 3 with similar efficiency<sup>45-47</sup>. Solid lines indicate documented activating pathways, and dotted lines represent possible, although not yet confirmed mechanisms. (a) The platelet TxA<sub>2</sub> receptor is coupled to  $\alpha$ q,  $\alpha$ 16,  $\alpha$ 12, and  $\alpha$ 13. Among these  $\alpha$ -subunits,  $\alpha$ q and  $\alpha$ 16 can activate PLC $\beta$ 3<sup>41,42</sup>. Although the role of  $\alpha$ q in PLC activation of TxA<sub>2</sub>-stimulated platelets has been clearly documented<sup>39,89,90,93</sup>, a direct involvement of  $\alpha$ 16 remains hypothetical.  $\beta\gamma$  subunits released from activated  $\alpha$ -subunits upon stimulation of the TxA<sub>2</sub> receptor can contribute to PIP<sub>2</sub> hydrolysis through both PLC $\beta$ 2 and PLC $\beta$ 3. The TxA<sub>2</sub> receptor is also coupled to the high molecular weight G-protein Gh<sup>54</sup>, which has been reported to mediate activation of PLC $\delta$ 1<sup>51,54</sup>. (b) The thrombin receptor is coupled to  $\alpha$ i,  $\alpha$ q,  $\alpha$ 12, and  $\alpha$ 13.  $\alpha$ q has been demonstrated to be directly involved in thrombin-induced activation of PLC $\beta$ 3 by stimulating PLC $\beta$ 3. However, PIP<sub>2</sub> hydrolysis induced by thrombin is inhibited by pertussis toxin<sup>95</sup>, which catalyses the ADP-ribosylation of  $\alpha$ i. Because  $\alpha$ i does not activate any PLC isozyme, the pertussis toxin-sensitive activation of PLC is thought to be mediated by the action of  $\beta\gamma$  dimers released from activated  $\alpha$ i on PLC $\beta$ 2 and PLC $\beta$ 3. (c) Although many G-protein  $\alpha$ -subunits have been found to associate with the seven transmembrane-domain ADP receptors, the only documented pathway for PLC activation involves the stimulation of PLC $\beta$ 3 by  $\alpha$ q<sup>93</sup>. However, an additional role for  $\beta\gamma$  dimers, although not confirmed, cannot be ruled out.



tion by ADP is mediated by  $\alpha$ q. This is based on the evidence that PLC activation by this agonist is totally abolished in  $\alpha$ q-deficient platelets<sup>93</sup>. In this context, we may assume that PLC $\beta$ 3 is the main PLC isozyme activated by ADP (Fig. 16.2(c)).

The activation of PLC $\beta$ 3 may also be regulated by proteolytic cleavage of the enzyme. Stimulation of platelets with thrombin or collagen causes the degradation of PLC $\beta$ 3 into a proteolytic fragment of about 100 kDa<sup>99</sup>. This proteolysis

is also promoted by the Ca<sup>2+</sup> ionophore A23187, and is prevented by calpeptin, suggesting that it is mediated by the Ca<sup>2+</sup>-dependent protease, calpain. Based on immunological reactivity of the 100 kDa fragment, the site of cleavage on PLC $\beta$ 3 has been tentatively localized between the Y region and the C-terminal tail. The 100-kDa proteolytic fragment of PLC $\beta$ 3 is activated largely by  $\beta\gamma$  subunits, unlike the intact protein<sup>100</sup>. Because calpain is activated by an aggregation-dependent mechanism, these observations

suggest a novel strategy to amplify PLC $\beta$ 3 activation, and this event may be related to irreversible aggregation.

### Activation of phospholipase C $\gamma$ in platelets

Platelets express both members of the PLC $\gamma$  family: PLC $\gamma$ 1 and PLC $\gamma$ 2. PLC $\gamma$ 2 is the most abundant protein of all the PLC isozymes in platelets, and its expression is tenfold higher than that of PLC $\beta$ 2<sup>35</sup>. It is well accepted that PLC $\gamma$  isozymes are activated by a mechanism involving the phosphorylation on PLC $\gamma$ -tyrosine residues<sup>48</sup>. Therefore, initial studies undertaken to identify platelet agonists able to activate PLC $\gamma$  focused on investigating the tyrosine phosphorylation of the two isozymes. Interestingly, tyrosine phosphorylation of PLC $\gamma$ 1 has never been detected in agonist-stimulated platelets, although this isozyme has been found to associate with tyrosine phosphorylated proteins<sup>33,101–103</sup>. One of these proteins has been identified as the GTPase-activation protein for p21ras or p120rasGAP, suggesting a link between PLC $\gamma$ 1 and monomeric GTP-binding proteins<sup>33</sup>. Although the lack of tyrosine phosphorylation of PLC $\gamma$ 1 raised concerns about its possible mechanism of activation in platelets, recent evidence indicates that it can be activated by some intracellular molecules, even in the absence of tyrosine phosphorylation<sup>104</sup>. For instance, PLC $\gamma$ 1 can be stimulated by arachidonic acid, which is also generated in platelets by the action of PLA<sub>2</sub> and by phosphatidic acid, produced by phospholipase D and by phosphorylation of PLC-released DAG. Although the exact mechanism and physiological relevance of PLC $\gamma$ 1 activation by these messengers in the absence of tyrosine phosphorylation remains unclear, these observations may indicate that PLC $\gamma$ 1 can be activated in platelets in response to different extracellular agonists.

More successful has been the investigation of tyrosine phosphorylation of PLC $\gamma$ 2 in stimulated platelets. This isozyme has been found to be phosphorylated in intact platelets stimulated with thrombin, collagen, von Willebrand factor, WGA, and concanavalin A, and by cross-linking of Fc $\gamma$ RIIA<sup>102,105–108</sup>. The tyrosine phosphorylation of PLC $\gamma$ 2 in response to thrombin is very low compared with that observed in collagen- or von Willebrand factor-stimulated platelets, and the strongest phosphorylation of this isozyme is observed in concanavalin A-stimulated platelets. This evidence supports the idea that PLC $\gamma$ 2 may mediate PIP<sub>2</sub> hydrolysis in response to those agonists whose receptors are not coupled with GTP-binding proteins. Among these agonists, the most studied and best characterized example is collagen.

Collagen-induced tyrosine phosphorylation of PLC $\gamma$ 2 is

mediated by binding to GPVI. This receptor has been recently cloned and belongs to the immunoglobulin superfamily<sup>109,110</sup>. It is a single transmembrane-domain glycoprotein expressed on the platelet surface in association with the Fc receptor  $\gamma$ -chain (FcR- $\gamma$  chain), a signal-transducing unit containing an immunoreceptor tyrosine-based activation motif (ITAM) previously known as a component of several receptors for immune complexes<sup>109–112</sup>. The essential role of GPVI in collagen-induced PLC $\gamma$ 2 tyrosine phosphorylation is demonstrated by the fact that in GPVI-deficient platelets, phosphorylation of PLC $\gamma$ 2 by collagen is abolished<sup>112</sup>. Moreover, a cross-linked collagen-related triple-helical peptide (CRP) based on the repeated sequence Gly–Pro–Hyp, the snake venom protein convulxin and some monoclonal antibodies induce tyrosine phosphorylation of PLC $\gamma$ 2 by binding specifically to GPVI<sup>113–115</sup>. Tyrosine phosphorylation of PLC $\gamma$ 2 in response to these agonists is mediated by the tyrosine kinase Syk, as demonstrated both by studies with human platelets treated with a specific inhibitor of Syk, piceatannol, and by studies performed with platelets from Syk-deficient mice<sup>116,117</sup>. The signalling pathway leading to PLC $\gamma$ 2 tyrosine phosphorylation in collagen-stimulated platelets has been elucidated (Fig. 16.3). Collagen binding to the extracellular domain of GPVI results in the tyrosine phosphorylation of the associated FcR- $\gamma$  chain, presumably within the ITAM<sup>118</sup>. Members of the Src family of tyrosine kinases Lyn and Fyn are probably involved in this initial step<sup>119</sup>. Tyrosine phosphorylated FcR- $\gamma$  chain binds to the SH2 domain of the tyrosine kinase Syk leading to its activation and tyrosine phosphorylation<sup>118</sup>. Syk, in turn, mediates tyrosine phosphorylation of PLC $\gamma$ 2<sup>116,117</sup>. This mechanism seems to represent a general strategy for PLC $\gamma$ 2 phosphorylation in platelets. An ITAM is also present in the cytosolic domain of the Fc $\gamma$ RIIA, and upon cross-linking of the receptor, is able to mediate the recruitment of Syk leading to tyrosine phosphorylation of PLC $\gamma$ 2<sup>120</sup>. Moreover, a role for Fc $\gamma$ RIIA and the FcR- $\gamma$  chain has also been proposed to be essential for PLC $\gamma$ 2 phosphorylation in von Willebrand factor-stimulated platelets<sup>107,121</sup>.

The mechanism for tyrosine phosphorylation of PLC $\gamma$ 2, however, seems to be more complex than that depicted by the scheme illustrated above. For instance, coimmunoprecipitation experiments failed to detect a direct association between PLC $\gamma$ 2 and Syk, although a fusion protein containing the SH2 domains of PLC $\gamma$ 2 was found to precipitate Syk from a lysate of collagen-stimulated platelets<sup>80</sup>. This suggests that the interaction between Syk and PLC $\gamma$ 2 may be indirect, and that other factors may be required. In this context, a role for Bruton's tyrosine kinase (Btk) has been reported<sup>122</sup>. This kinase belongs to the Tec family of kinases

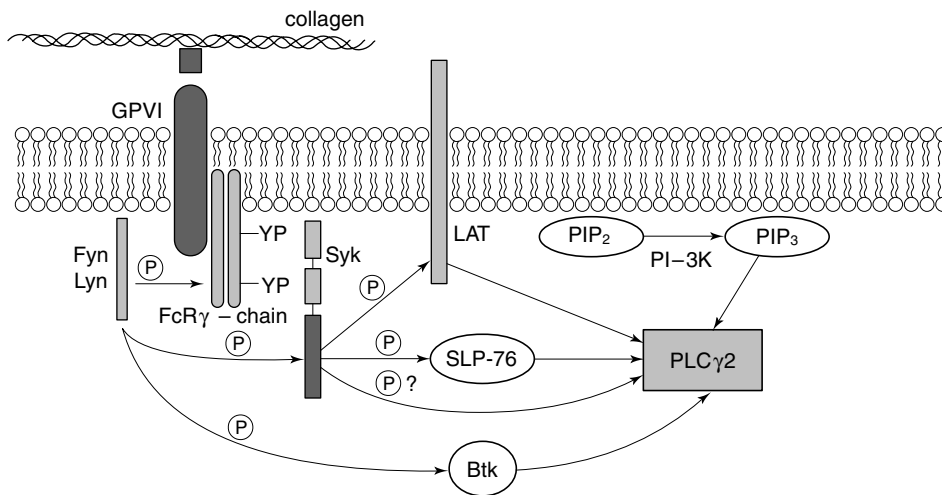


Fig. 16.3. PLC $\gamma$ 2 activation in collagen-stimulated platelets. Several studies performed with specific inhibitors or with selective knock-out mice have revealed that activation of PLC $\gamma$ 2, upon binding of collagen to the GPVI/Fc receptor  $\gamma$ -chain receptor on the platelet surface, requires the contribution of several signalling molecules, including the tyrosine kinases Fyn, Lyn, Syk, and Btk, the adaptor proteins LAT and SLP-76, and the lipid product of PI-3K, PIP<sub>3</sub>. Syk and Btk may be involved in the tyrosine phosphorylation of PLC $\gamma$ 2, while LAT, SLP-76, and PIP<sub>3</sub> may participate in the translocation of PLC $\gamma$ 2 to the membrane. For details, see the text.

and is tyrosine phosphorylated in platelets stimulated with collagen or CRP. Btk is not expressed in platelets from patients affected with the X-linked agammaglobulinemia (XLA). Collagen stimulation of Btk-deficient XLA platelets results in an impaired tyrosine phosphorylation of PLC $\gamma$ 2 and Ca<sup>2+</sup> mobilization<sup>122</sup>.

In addition, two adaptor proteins, SLP-76 and LAT (linker for activation of T cells), seem also to be essential for PLC $\gamma$ 2 phosphorylation and activation. SLP-76 (SH2 domain-containing leukocyte protein of 76 kDa) is a 76 kDa cytosolic protein that plays an essential role in PLC $\gamma$ 1 activation in T-cells<sup>48</sup>. In human platelets, SLP-76 is tyrosine phosphorylated in response to CRP by a mechanism depending on Syk<sup>123</sup>. Mutant mice lacking SLP-76 show fetal hemorrhage and prenatal mortality<sup>124</sup>. In SLP-76-deficient platelets, collagen-induced PLC $\gamma$ 2 tyrosine phosphorylation is totally abolished, and Ca<sup>2+</sup> mobilization is strongly attenuated. Moreover, *in vitro* binding studies using a GST-fusion construct containing the two SH2 domains of PLC $\gamma$ 2 have documented a direct interaction between PLC $\gamma$ 2 and SLP-76<sup>80</sup>. These pieces of research clearly demonstrate that SLP-76 is an important link between Syk activation and PLC $\gamma$ 2 regulation, although the exact role played by this adaptor protein is still unclear.

LAT is a 38 kDa transmembrane protein involved in PLC $\gamma$ 1 activation in T cells<sup>48</sup>. In human platelets, LAT is tyrosine phosphorylated in response to both CRP and thrombin, by a mechanism depending on Syk but inde-

pendent from Btk or SLP-76<sup>125</sup>. In platelets from mice lacking LAT, tyrosine phosphorylation of PLC $\gamma$ 2 and accumulation of phosphatidic acid were strongly reduced<sup>125</sup>.

Altogether, these results indicate that LAT and SLP-76 are both regulated by Syk and are required for PLC $\gamma$ 2 tyrosine phosphorylation and activation induced by collagen. The fact that LAT is a membrane protein may suggest that it could also participate in the mechanism for PLC $\gamma$ 2 translocation to the membrane, which is essential for its activation. It is known that tyrosine phosphorylation of PLC $\gamma$  isozymes is required but not sufficient for activation of the enzyme in the absence of an efficient translocation to the membrane. In this context, it is very well known that the lipid products of PI-3K play a crucial role in PLC $\gamma$ 2 translocation and activation. Studies from nucleated cells revealed that both the PH and the SH2 domains of PLC $\gamma$  bind to PIP<sub>3</sub> to promote membrane translocation of the enzyme<sup>65-67</sup>. A similar role for the lipid products of PI-3K has also been proposed in human platelets<sup>68,69</sup>. PI-3K inhibitors like wortmannin or Ly294002 strongly reduced PLC activation in response to CRP or upon cross-linking of the Fc $\gamma$ RIIA. These inhibitors did not prevent tyrosine phosphorylation of PLC $\gamma$ 2, but abolished its translocation to the particulate fraction. This effect was overcome by the addition of exogenous PIP<sub>3</sub> to permeabilized platelets<sup>69</sup>. Although platelet PLC $\gamma$ 2 was found to bind directly to PIP<sub>3</sub>, the exact role of this interaction in mediating enzyme translocation to the platelet membrane is not totally clear.

Recent evidence demonstrates that, when transfected into PC12 cells, the isolated PH or SH2 domains of PLC $\gamma$ 2 do not undergo membrane translocation upon elevation of PIP<sub>3</sub><sup>126</sup>. On the basis of this evidence, it has been proposed that interaction mediated by these domains may not be strong enough to ensure efficient translocation, but may help hold PLC $\gamma$ 2 at the membrane in association with other supporting interactions. In this regard, it is interesting to note that interaction of the SH2 domains of PLC $\gamma$ 2 with the membrane-localized adaptor protein LAT has been reported<sup>80</sup>. This supports the idea that the role of LAT in regulating PLC $\gamma$ 2 activation is related to membrane translocation.

In conclusion, activation of PLC $\gamma$ 2 in collagen-stimulated platelets clearly involves multiple regulators. It is possible that the basic scheme, which strongly resembles that described in B and T cells, represents a general strategy that might also operate in platelets stimulated with von Willebrand factor or by cross-linking of Fc $\gamma$ RIIA, where the involvement of PLC $\gamma$ 2 has been reported. However, although incredible progress has been made during the last 3 years in understanding how collagen activates PLC, the exact mechanism of this process is yet to be fully clarified, and some discrepancies remain to be explained. For instance, platelet activation by collagen is totally inhibited in  $\alpha$ q-deficient mice<sup>93</sup>, and this convincing evidence hardly fits with the model proposed above. This evidence would be consistent with the activation of PLC in collagen-stimulated platelets being totally dependent on TxA<sub>2</sub> production. Early works actually reported that inositol phosphate accumulation induced by collagen is strongly inhibited by aspirin<sup>127,128</sup>. Moreover, PLC activation by collagen is also totally inhibited by cytochalasin D, highlighting a role for the intracellular cytoskeleton<sup>128</sup>. However, the steps that lead GPVI-FcR- $\gamma$  to activate PLC $\gamma$ 2 do not include any role for the endogenous TxA<sub>2</sub> or cytoskeleton. Moreover, PLC activation by collagen has also been found to require the production of H<sub>2</sub>O<sub>2</sub><sup>129</sup>. Alternatively, collagen also binds to integrin  $\alpha_2\beta_1$  on the platelet surface, and the contribution of this receptor in cell activation is controversial<sup>116,130,131</sup>. Recently, it has been shown that tyrosine phosphorylation of PLC $\gamma$ 2 can also be promoted upon recruitment of integrin  $\alpha_2\beta_1$ <sup>116,132</sup>. The effects elicited through this collagen receptor, but not those mediated by GPVI, are markedly suppressed by aspirin or cytochalasin D<sup>132</sup>. These apparent discrepancies may be related to the doses of collagen used to stimulate platelets. The GPVI-dependent pathway for PLC $\gamma$ 2 activation has been elucidated in studies using high doses of collagen or CRP, and other findings come from studies in which much lower doses of agonist were used. It is therefore possible that the

mechanism of PLC activation by collagen may depend upon the concentration of the agonist.

### Activation of phospholipase C $\delta$ in platelets

Although PLC $\delta$ 1 and PLC $\delta$ 3 are present in human platelets, their concentrations are much lower than other PLC isozymes<sup>35,36</sup>. PLC $\delta$ 1 is as yet the only PLC isozyme whose structure has been defined; however, very little is known about the mechanism of activation of this class of enzymes. Although PLC $\delta$ 1 is present mainly in the cytosol of platelets, PLC $\delta$ 3 is predominantly located in the membrane fraction<sup>36</sup>, suggesting the two isozymes may be regulated differently. The possibility that PLC $\delta$  isozymes are regulated by Ca<sup>2+</sup> has been proposed<sup>50</sup>, and in this context, it is possible that PLC $\delta$ 1 and PLC $\delta$ 3 are also stimulated in platelets in response to those agonists able to increase the intracellular concentration of Ca<sup>2+</sup>. That is, all the platelet agonists that activate other PLC isozymes and stimulate IP<sub>3</sub>-dependent Ca<sup>2+</sup> release from internal stores could be responsible for a temporary delayed activation of PLC $\delta$  members. In addition, evidence indicates that PLC $\delta$ 1 in platelets can be selectively activated in response to TxA<sub>2</sub>. This is based on the observation that PLC $\delta$ 1 is stimulated by Gh, a recently identified high molecular weight (70 kDa) G-protein that also displays transglutaminase activity<sup>51</sup> and that is expressed in human platelets<sup>54</sup>. Gh has been found to associate with both isoforms of the TxA<sub>2</sub> receptor (TP $\alpha$  and TP $\beta$ )<sup>54</sup>. In cells cotransfected with TP $\alpha$ , the main TxA<sub>2</sub> receptor expressed on the platelet surface, and Gh, the TxA<sub>2</sub> analogue U46619 was able to stimulate inositol phosphate accumulation in a manner similar to that measured in cells cotransfected with TP $\alpha$  and  $\alpha$ q<sup>54</sup>. These results indicate that Gh could efficiently link the TxA<sub>2</sub> receptor to PLC $\delta$ 1. Whether this mechanism is physiological relevant in human platelets remains to be clarified.

### Phospholipase C and the platelet cytoskeleton

One of the most important events in platelet activation by extracellular agonists is the stimulation of actin polymerization and the reorganization of the intracellular cytoskeleton. It is now very well recognized that the platelet cytoskeleton does not have an exclusively contractile function, but also represents a network connecting and regulating several signalling molecules, including PLC. For instance, it has been shown that treatment of intact platelets with cytochalasin D, which prevents actin polymerization, blocks collagen-induced PLC activation<sup>128</sup>. Several

actin-binding proteins may be involved in the regulation of PLC, including profilin and gelsolin. Profilin isolated from platelets has been found to bind with high affinity to  $\text{PIP}_2$  and to compete efficiently with PLC for interaction with the substrate<sup>133</sup>. Moreover, profilin is thought to facilitate actin polymerization by subtracting actin monomers from the sequestering protein thymosin  $\beta_4$ <sup>3</sup>. This process is activated when free profilin is released upon  $\text{PIP}_2$  hydrolysis by PLC. Therefore, PLC and profilin may influence each other reciprocally.

Gelsolin is an F-actin severing protein. In platelets, a complex composed by gelsolin and actin in a 1:1 ratio has been found to copurify and coimmunoprecipitate with  $\text{PLC}\gamma_1$ <sup>134</sup>. Upon stimulation with thrombin, the actin-gelsolin complex dissociates from  $\text{PLC}\gamma_1$ <sup>135</sup>. This event occurs concomitantly with platelet aggregation and is paralleled by a threefold to fivefold increase in  $\text{PLC}\gamma_1$  activity<sup>135</sup>. Therefore, it may represent a mechanism for a delayed, aggregation-dependent activation of this enzyme.

Upon platelet activation, several lipid-metabolizing enzymes have been found to associate physically with the actin-based cytoskeleton. These include PI-3K, DAG kinase, and  $\text{PLC}\beta$ <sup>136</sup>. Among the PLC isozymes,  $\text{PLC}\beta_2$ ,  $\text{PLC}\beta_3$ ,  $\text{PLC}\gamma_1$ , and  $\text{PLC}\gamma_2$  relocate to the cytoskeleton in thrombin-stimulated platelets. Translocation of  $\text{PLC}\gamma_1$  is very rapid and transient and can be prevented by inhibitors of tyrosine kinases<sup>137</sup>. Translocation of  $\text{PLC}\beta_2$ ,  $\text{PLC}\beta_3$ , and  $\text{PLC}\gamma_2$  to the cytoskeleton is blocked by inhibitors of fibrinogen binding and requires platelet aggregation<sup>138</sup>. Although  $\text{PLC}\beta_2$  and  $\text{PLC}\beta_3$  progressively accumulate in the cytoskeletal fraction during platelet aggregation, the interaction of  $\text{PLC}\beta_3$  with the actin filaments is quicker but more transient. Because  $\text{PLC}\beta_3$  is a substrate for calpain, which is activated during platelet aggregation<sup>99</sup>, it is conceivable that the decreased cytoskeletal levels of this protein in the late phases of platelet activation reflect its hydrolysis. Although the exact consequence of PLC isozyme's interaction with the cytoskeleton is not known, it is possible that it represents a strategy to coordinate and facilitate activation of different isozymes in different phases of platelet activation. The investigation of the mechanisms regulating different PLC isozymes, and the clarification of the cellular events promoted by the activation of selective isoforms await the availability of specific inhibitors. The compound U73122 has been widely used to block the activity of both  $\text{PLC}\beta$  and  $\text{PLC}\gamma$  isoforms<sup>139,140</sup>. However, recent studies raised questions about the specificity of this compound, which was shown to also affect  $\text{Ca}^{2+}$  influx and to prevent platelet aggregation by a mechanism independent of PLC inhibition<sup>141,142</sup>.

## Overview of phospholipase D

The role of the stimulation of phospholipase D (PLD) in receptor-mediated platelet activation is not as clearly defined as that of PLC. PLD hydrolyses the phosphate diester bond of membrane glycerophospholipids to produce PA and the free alcohol corresponding to the polar group<sup>143</sup>. Although PLD can act on phosphatidylethanolamine and phosphatidylinositol, the classical substrate for this enzyme is phosphatidylcholine (PC), which is hydrolysed to PA and choline. No obvious role for choline as an intracellular messenger is known. This molecule is rapidly phosphorylated to phosphocholine to be reused for PC biosynthesis. In contrast, PA may act as a second messenger by itself and represent a precursor for the synthesis of the potent lipid messenger, lysophosphatidic acid. Moreover, PA produced by the action of PLD can be converted to DAG by a PA-phosphohydrolase and, therefore, can lead to activation of PKC. In this way, PLD may contribute to and extend the regulation of PKC activity in response to extracellular agonists. Several studies have shown that in agonist-stimulated cells, the accumulation of DAG is biphasic: a first, rapid and transient, production of this messenger is followed by a second, more delayed, but sustained phase. It has been proposed that the first wave of DAG production is caused by PLC-mediated hydrolysis of  $\text{PIP}_2$ , and the second phase derives from the action of PLD on PC<sup>144,145</sup>. The PA-phosphohydrolase and DAG kinase activities create a bidirectional connection between PA and DAG, establishing a strong link between PLD and PLC (Fig. 16.4). Moreover, the close relationship between the two classes of enzymes is strengthened by at least two additional findings. First,  $\text{PIP}_2$ , the substrate of PLC, is also an essential cofactor for PLD (see below); second, the expression of a putative PLC enzyme that specifically acts on PC rather than  $\text{PIP}_2$  (PC-PLC) to produce DAG and phosphocholine has been described in many cells, including platelets<sup>146,147</sup>. Because of these interplays with PLC, measurements of the direct products of the reaction may not always represent a reliable method to evaluate PLD activation in the living cell. Production of  $^3\text{H}$ -choline from  $^3\text{H}$ -choline-labelled cells may also be caused by the combined action of the putative PC-PLC and of phosphocholine hydrolyase. Similarly,  $^3\text{H}$ -phosphocholine is not only a metabolite that accumulates upon the combined action of PLD and choline kinase, but it is also directly produced by PC-PLC. In addition, a decrease in membrane PC, which may appear indicative for PLD activation, may be caused by PC-PLC activity. Alternately, even the measurement of  $^{32}\text{P}$ -PA production in  $^{32}\text{P}$ -labelled cells is not indicative of PLD activation because the turnover of the primary phosphate group in membrane phospholipids is very low, and accumulation of

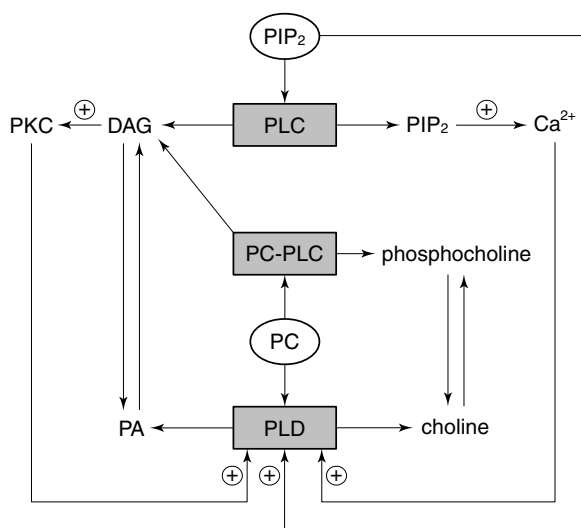


Fig. 16.4. Interplay between reactions catalysed by PLC and PLD. PC is hydrolysed by both PLD and PC-specific PLC. DAG and PA are interconverted by the action of PA-hydrolase and DAG kinase. Choline, produced by the action of PLD, is phosphorylated to phosphocholine, and phosphocholine generated by the PC-PLC is converted to choline.  $\text{PIP}_2$  is an essential cofactor for PLD activation. DAG and  $\text{IP}_3$ , the products of  $\text{PIP}_2$  hydrolysis by PLC, promote PKC activation and release of  $\text{Ca}^{2+}$  from intracellular stores. PKC and  $\text{Ca}^{2+}$  are known activators of PLD.

$^{32}\text{P}$ -PA almost exclusively reveals the DAG kinase reaction on the product of  $\text{PIP}_2$ -specific PLC. The most direct and reliable approach to detect PLD activation exploits the unique ability of this enzyme to catalyze a transphosphatidyl reaction, in which the phosphatidyl group of PC is transferred not to a molecule of water, as in the normal hydrolysis reaction, but to a primary alcohol, such as ethanol or butanol. When the membrane phospholipids are labelled with  $^3\text{H}$ -myristic acid or  $^3\text{H}$ -palmitic acid, and cell stimulation is performed in the presence of ethanol or butanol, the accumulation of stable labelled phosphatidylethanol or phosphatidylbutanol represents unequivocal evidence for activation of PLD. The transphosphatidyl reaction, in addition to the measurement of accumulation of PA and choline, has been widely used to detect PLD activity in the cells and to measure PLD activity *in vitro* to clarify the mechanisms regulating this enzyme.

### Regulation of phospholipase D

The PLD activity in intact cells can be regulated by a number of factors, including  $\text{PIP}_2$ ,  $\text{PKC}\alpha$ , and the low molecular weight GTP-binding proteins Arf, Rho, Rac, and Cdc42.

### Regulation by $\text{PIP}_2$

In addition to being a substrate for PLC and PI-3K,  $\text{PIP}_2$  is also involved in the regulation of PLD. This lipid cannot be considered a real activator of PLD, but rather represents an essential cofactor for optimal expression of the PLD enzymatic activity. Such a role for  $\text{PIP}_2$  emerged from the casual finding that PLD activity measured *in vitro* using phospholipid vesicles containing labeled PC as a substrate was markedly increased by the inclusion of  $\text{PIP}_2$ <sup>148</sup>. Activation of PLD partially purified from brain membrane was increased tenfold by  $\text{PIP}_2$ , but not by other acid phospholipids, including PIP and PI. Studies with permeabilized cells confirm the physiological relevance of the regulatory role of  $\text{PIP}_2$  on PLD activation *in vivo*<sup>149</sup>. In this experimental system, inhibition of PI 4-kinase reduced by 80% the amount of membrane  $\text{PIP}_2$  and fully suppressed activation of PLD in response to  $\text{GTP}\gamma\text{S}$  and PMA. Subsequent studies showed that, in addition to  $\text{PIP}_2$ ,  $\text{PIP}_3$ , which is the lipid product of PI-3K, also can support stimulation of PLD<sup>150</sup>. The role of  $\text{PIP}_2$  and  $\text{PIP}_3$  as cofactors for PLD establishes a functional link among the lipid-metabolizing enzymes PLD, PLC, and PI-3K, providing the basis for a possible coordinated regulation of this signalling molecule.

### Stimulation of phospholipase D by low molecular weight G-proteins

The involvement of G-proteins in regulating PLD was suggested based on the evidence that PLD was activated by GTP and by its non-hydrolysable analogue  $\text{GTP}\gamma\text{S}$ <sup>151,152</sup>. Stimulation of PLD by GTP was found to be mediated by a cytosolic factor that, upon purification, was recognized as a member of the Arf family of proteins<sup>148,153</sup>. Arf proteins are low-molecular-weight GTPases initially identified as cofactors required for efficient ADP-ribosylation of the  $\alpha$ -subunit of Gs by cholera toxin<sup>154</sup>. It has been subsequently demonstrated, and it is now very well accepted, that Arf proteins play an essential role in vesicular membrane trafficking in eukaryotic cells by promoting the formation of coated vesicles and facilitating the transport between the endoplasmic reticulum and the Golgi complex<sup>155</sup>. Interestingly, different studies have suggested a role for PLD in agonist-dependent cellular secretion, and abundant levels of Arf-sensitive PLD activity has been detected in the Golgi membranes<sup>156,157</sup>. Activation of PLD by Arf requires the N-terminal myristoylation of the small G-protein, a posttranslational modification leading to membrane anchorage<sup>148</sup>, and is mediated by a domain located at the N-terminal region of the protein, distinct from the



domain necessary for activation of cholera toxin<sup>158</sup>. Moreover, a direct interaction between Arf and PLD has been suggested<sup>159</sup>.

In addition to Arf, other activators of PLD include members of the Rho family of small G-proteins (RhoA, RhoB, RhoC, Rac1, Rac2, and Cdc42). This was initially suggested by evidence that GTP $\gamma$ S activation of PLD could be potentiated by smgGDS, a protein that facilitates the GDP/GTP exchange (and thus the activation) of Rho, and could be inhibited by RhoGDI, which prevents activation of Rho<sup>160,161</sup>. Significantly, the inhibitory effect of rhoGDI on PLD activity could be overcome by addition of recombinant Rho proteins<sup>161</sup>. Moreover, stimulation of PLD activity was also inhibited by treatment of the cell with the botulinum toxin C3, which promotes ADP-ribosylation and inactivation of Rho<sup>162</sup>. Finally, partially purified PLD activity could be activated *in vitro* by recombinant Rho proteins<sup>163</sup>. Interestingly Rho proteins activate PLD in a PIP<sub>2</sub>-dependent mechanism. The ability of Rho proteins to stimulate PLD requires the membrane localization of the Rho proteins, which, as in the case of Arf, is dependent upon the isoprenylation of the C-terminal region of the protein<sup>163</sup>.

### Activation of phospholipase D by PKC

In cell-free preparations, PLD activity can be stimulated in a dose-dependent manner by purified PKC<sup>164</sup>. The mechanism of PLD activation by PKC is not completely known. Although it is well accepted that the classical PKCs ( $\alpha$ ,  $\beta$ , and  $\gamma$ ) but not the newer or the atypical isoforms, are effective stimulators of PLD in the presence of PMA or Ca<sup>2+</sup><sup>165</sup>, the role of the catalytic function of these enzymes is controversial. In some experimental systems, ATP is required for PKC-dependent PLD activation, suggesting a mechanism involving protein phosphorylation<sup>166</sup>. However, in HL60 cells, stimulation of PLD by PKC requires ATP, but is not affected by inhibitors of the PKC activity<sup>167</sup>. Moreover, some studies have shown that PKC $\alpha$  with depleted kinase activity maintains the ability to stimulate PLD<sup>168</sup>. By limited proteolysis, the PLD-activating fragment of PKC $\alpha$  has been identified as the regulatory, but not the kinase domain. Although the exact mechanism for PLD activation by PKC is not clear, this regulatory mechanism establishes an additional link between PLD and PLC.

### Structure of phospholipase D isozymes

Although much less is known about the identity of PLD compared with PLC, it is now clear that, like PLC, PLD does not exist as a single protein, but represents a family of

different isozymes. The first evidence that multiple PLD isozymes existed in mammalian cells came from fractionation studies on HL60 cells and porcine brain membranes that identified at least two separate PLD molecules with different regulatory properties<sup>148,169</sup>. The first enzyme displayed a molecular mass of about 200 kDa and was activated by sodium oleate but was insensitive to Arf. The second enzyme had a molecular mass of about 95 kDa, and was sensitive to Arf but not to sodium oleate.

The cloning of a PLD molecule was reported in 1995<sup>170</sup>. This enzyme, named PLD1, is a 120 kDa protein present in cells as two variant forms derived from alternative splicing<sup>159</sup>. Because PLD1 requires PIP<sub>2</sub> as a cofactor for enzymatic activity and is insensitive to sodium oleate, it therefore probably corresponds to the previously described 95 kDa form from porcine brain. The PLD1 molecule does not possess domains such as C2, SH2, or SH3 that frequently are found in other signalling proteins. A PH-like domain is present in the N-terminal portion of the protein, and it has been initially supposed to be involved in PIP<sub>2</sub> enzyme regulation. However, a recent piece of work demonstrates that although PLD1 directly binds to PIP<sub>2</sub>, this interaction does not involve the PH domain<sup>171</sup>. PLD1 has a low basal activity, that is at least partially caused by the inhibitory effect of a sequence that is exclusive of this isoform and indicated as the 'loop region', located in the center of the protein<sup>172</sup>. Enzymatic activity of PLD1 can be strongly stimulated by PKC $\alpha$  and by members of the Arf and Rho family of small G-proteins. Interestingly, these modulators act synergistically in activating PLD1, suggesting that they bind to different regions of the protein<sup>172</sup>. Deletion of the N-terminal third of PLD1 attenuates regulation by PKC but not by other activators, and deletion of the C-terminal third reduces activation by RhoA. The site of interaction of PLD1 with Arf has not been identified.

A second PLD isozyme, called PLD2, was cloned in 1997<sup>173</sup>. PLD2 is approximately 50% identical to PLD1, but has a molecular mass slightly smaller. As PLD1, PLD2 requires PIP<sub>2</sub> as a cofactor for enzymatic activity. PLD2 has a different subcellular localization than PLD1 being predominantly localized at the plasma membrane in cells, whereas PLD1 is found exclusively in the perinuclear region in association with the Golgi complex and the endoplasmic reticulum<sup>174</sup>. Cloned PLD2 exhibits a very high basal activity, about 1500-fold greater than that of PLD1<sup>173</sup>. Studies with deletion mutants demonstrated that the N-terminal portion of PLD2 is necessary to confer this high basal activity to the enzyme<sup>174</sup>. Moreover, in contrast to PLD1, the basal activity of PLD2 cannot be further stimulated *in vitro* by PKC $\alpha$ , Arf, or Rho proteins<sup>173</sup>. Because such

a high PLD activity is not detected in lysate of cells naturally expressing PLD2, it has been proposed that this isoform is constitutively inhibited *in vivo*, and that its activation is achieved by removal of such inhibition. An 18 kDa protein that is not a small GTPase, has been identified as a possible PLD2 inhibitor. This protein is still to be further characterized<sup>173</sup>. More recently, it has been shown that, upon deletion of the N-terminal portion, PLD2 not only loses most of its typical high basal activity, but also becomes responsive to Arf, but not to Rho or PKC<sup>174</sup>. These results suggest that PLD2 may be regulated *in vivo* by proteolysis into an Arf-responsive isoform.

### Activation and regulation of phospholipase D in platelets

Compared with PLC, very little is known about PLD activation in platelets. No more than 20 papers have documented PLD activation in agonist-stimulated platelets by means of measuring formation of PA, choline, or products of the transphosphatidyl reaction. Moreover, no reports describe the expression of the cloned PLD isozymes in human platelets. Despite this, it is now clear that one or more PLD molecules exist in these cells and can be activated upon stimulation with different agonists. The first evidence for the presence of an agonist-activated PLD in platelets was reported in 1988. Using <sup>3</sup>H-arachidonic acid-labelled human platelets, it was shown that, in the presence of ethanol, thrombin induced the accumulation of <sup>3</sup>H phosphatidylethanol in a time- and dose-dependent manner<sup>175</sup>. Activation of PLD was also deduced from the observed accumulation of <sup>3</sup>H-choline and <sup>3</sup>H-phosphocholine in labelled platelets stimulated with thrombin or collagen<sup>146</sup>. By contrast, no release of these labelled metabolites was observed in response to ADP, PAF or epinephrine. However, because a PC-PLC is present in platelets, the real contribution of PLD in the generation of labelled choline and phosphocholine is difficult to assess. Stimulation of PLD in human platelets has also been described in response to the TxA<sub>2</sub> analogue U46619<sup>176</sup>. Finally, measurement of the transphosphatidyl reaction in platelet membrane or permeabilized cells demonstrates that PLD can be activated in these cells upon treatment with GTP, GTPγS, calcium ionophore A23187, and phorbol myristate acetate (PMA)<sup>176–178</sup>.

The biochemical mechanism for PLD activation in platelets clearly involves multiple signalling pathways. In thrombin and collagen-stimulated platelets, PLD activation is not affected by aspirin, indicating that it is a primary event that does not require the action of endoge-

nous TxA<sub>2</sub><sup>146,179</sup>. However, recent work showed that the ADP scavenger apyrase and the purinergic receptor antagonist ARL 66096 totally block PLD activation induced by low concentrations of thrombin and strongly reduce that promoted by high concentrations of this agonist<sup>180</sup>. In addition, ADP scavengers or antagonists strongly inhibit PLD activation stimulated by TxA<sub>2</sub>. Although ADP alone is not able to stimulate PLD activity, this agent can reinforce the effects of low doses of stimulatory agonists like thrombin<sup>180</sup>. This indicates that secreted ADP may provide an amplification mechanism for agonist-induced PLD activation. Several studies indicate that activation of PLD can be mediated by an increase in the intracellular concentration of Ca<sup>2+</sup>. This is based on studies using permeabilized platelets or intact cells treated with the Ca<sup>2+</sup> ionophore A23187<sup>176,178,181</sup>. Moreover, chelation of intracellular Ca<sup>2+</sup> by BAPTA prevents PLD activation<sup>181,182</sup>. In addition, production of phosphatidylethanol can be stimulated by PMA and can be blocked by inhibitors of PKC<sup>176,177</sup>, suggesting a role for PKC in the stimulation of platelet PLD.

Finally, studies on platelet membrane and on permeabilized platelets clearly show a stimulatory effect of GTP and GTPγS on PLD activity<sup>177,181,183</sup>, indicating the involvement of GTP-binding proteins. Therefore, G-proteins, PKC, and Ca<sup>2+</sup> may act as activators of PLD in platelets. However, their actions may not be direct, but indicate that PLD stimulation in platelets is secondary to activation of PLC.

Still controversial is the regulation of PLD by integrin α<sub>IIb</sub>β<sub>3</sub> and platelet aggregation. Some authors report that, in thrombin- and collagen-stimulated platelets, PLD activation occurs in parallel with aggregation and can be blocked by chelation of extracellular calcium or by the omission of stirring<sup>146,179</sup>. Moreover, high-density lipoproteins (HDL<sub>3</sub>), which are proposed to bind to the integrin receptor, have been shown to induce activation of PLD<sup>184</sup>. In the presence of butanol, accumulation of phosphatidylbutanol induced by HDL<sub>3</sub> was not observed in platelets from Glanzmann's thrombasthenia patients lacking integrin α<sub>IIb</sub>β<sub>3</sub><sup>184</sup>. By contrast, a different work reported that thrombin and PMA were still able to stimulate PLD in thrombasthenic platelets as well as in normal platelets in which fibrinogen binding to integrin α<sub>IIb</sub>β<sub>3</sub> was prevented by the antagonistic peptide RGDS<sup>176</sup>. Therefore, it appears that two mechanisms for PLD activation, one dependent, and one independent of integrin α<sub>IIb</sub>β<sub>3</sub>, exist in platelets.

Finally, a role for protein tyrosine-kinases in activation of platelet PLD has been proposed<sup>185</sup>. Pervanadate, an inhibitor of protein-tyrosine phosphatases, stimulates PLD activity, and some inhibitors of tyrosine kinases, such as genistein and tyrphostins, markedly reduce PLD activation

induced by thrombin in intact platelets and by GTP $\gamma$ S in permeabilized platelets<sup>185</sup>.

Although no doubt exists that PLD is activated in agonist-stimulated platelets, the role of this event in cell activation is still controversial. Several studies suggest that PLD may actually contribute to PA formation induced by platelet stimulation, but this contribution may not be significant. It has been calculated that upon stimulation with thrombin, only 13% of incremental PA arises from PLD activation, and most can be ascribed to the combined action of PLC on PIP<sub>2</sub> and DAG kinase<sup>178,182</sup>. These results seem to limit the physiological importance of PLD in platelets. PA generated by the action of PLD can be converted to DAG by a PA-phosphohydrolase. It was reported that accumulation of DAG in thrombin-stimulated platelets is actually biphasic<sup>186</sup>. The first phase of DAG production is rapid and transient, peaks at 10 seconds, and is concomitant with IP<sub>3</sub> production. Therefore, it is most likely that it derives exclusively from the action of PLC on PIP<sub>2</sub>. The second phase of DAG production is more sustained, but delayed, peaking at 2–3 minutes when the concentration of IP<sub>3</sub> has returned to the basal levels. Moreover, in thrombin-stimulated platelets a decrease of membrane PC was measured. However, it was found that the decrease of PC was mainly caused by the action of PLA<sub>2</sub> rather than PLD, and that the delayed accumulation of DAG probably derived from hydrolysis of PI by PLC rather than by hydrolysis of PC by PLD<sup>186</sup>. Again, this study limits the possible physiological significance of PLD in platelets. By contrast, other authors report evidence suggesting that PLD may be involved in the regulation of platelet secretion. This is based on studies with permeabilized platelets showing that secretion correlated with PLD, but not with PLC activation, and suggesting that PLD may mediate this platelet response by PKC-dependent and PKC-independent mechanisms<sup>182</sup>. A role for PLD in regulating platelet secretion and aggregation was also suggested based on the finding that addition of PA resulted in shape change and reversible aggregation<sup>179</sup>. Moreover, PA was shown to shorten the latency period of platelet aggregation induced by collagen<sup>179</sup>. Despite these observations, the real effect of PLD activation in platelet response to extracellular agonists remains largely unknown.

## Conclusions

This chapter describes the considerable progress made over the last three decades in the understanding of the roles of PLC and PLD in the biology of platelet responses.

These phospholipases are critical for the formation of numerous second messengers, including inositol trisphosphate, diacylglycerol, phosphatidic acid, lysophosphatidic acid, calcium release and activation of protein kinases. Our increased knowledge of these signalling molecules has introduced the potential for therapeutic intervention in conditions of altered platelet activity.

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## Platelet signalling: calcium

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### Introduction

An increase in the cytosolic calcium concentration  $[Ca^{2+}]_i$  is a major signal underlying platelet activation<sup>1-4</sup>. Physiological agonists increase the  $[Ca^{2+}]_i$  by stimulating both the release of  $Ca^{2+}$  from intracellular stores in the endoplasmic reticulum (ER) and the entry of  $Ca^{2+}$  across the plasma membrane (PM). After stimulation, the  $[Ca^{2+}]_i$  can be reduced by sequestration of  $Ca^{2+}$  into the ER and extrusion across the PM. The  $Ca^{2+}$ -ATPases responsible also maintain the  $[Ca^{2+}]_i$  around 30–50 nM in resting platelets by working against the leakage of  $Ca^{2+}$  into the cytosol from the ER and across the PM.

### The release of stored $Ca^{2+}$

Most platelet agonists are able to release stored  $Ca^{2+}$  from the ER (the dense tubular system of platelets). The occupation of surface receptors results in the activation of phospholipase C (PLC) and so the formation of the  $Ca^{2+}$  releasing messenger, inositol 1,4,5-trisphosphate ( $IP_3$ ), which acts on receptors on the ER. Many platelet agonists, including ADP, platelet activating factor (PAF), thrombin and thromboxane  $A_2$ , have been shown to activate PLC- $\beta_1$  via a heterotrimeric GTP-binding protein<sup>3</sup>. PAF and thrombin also appear to activate PLC- $\gamma_1$ <sup>3</sup>, and thrombin and collagen activate PLC- $\gamma_2$ <sup>5-7</sup>.

Platelets are reported to express three isoforms of the  $IP_3$  receptor ( $IP_3R$ ):  $IP_3R$  types I, II and III<sup>8,9</sup>.  $IP_3RI$  has been located in the intracellular membranes<sup>8,9</sup>, in contrast to  $IP_3RIII$  which has been found exclusively at the PM<sup>9</sup>. The  $IP_3RII$  has been found associated with both internal membranes and the PM<sup>8,9</sup>.

$IP_3R$  function is influenced by a number of variables other than the  $[IP_3]$ . These include the  $[Ca^{2+}]$  at both the

cytosolic and luminal faces of the receptor<sup>10</sup>, and cAMP and cGMP via phosphorylation of the  $IP_3R$  by protein kinases A and G<sup>11</sup>. Increasing  $[Ca^{2+}]$  at the luminal face of the receptor increases sensitivity to  $IP_3$ <sup>12</sup>, while at the cytosolic face increased, and then decreased, sensitivity are observed as  $[Ca^{2+}]$  increases<sup>13</sup>. Modulation of the sensitivity of the  $IP_3R$  to  $IP_3$  may underlie the complex spiking  $Ca^{2+}$  signals evoked in platelets by some agonists such as ADP<sup>14</sup>. The inhibitory effects of raising cAMP and cGMP levels on  $Ca^{2+}$  signalling contribute the actions of the endothelial products prostacyclin and nitric oxide in limiting platelet activity *in vivo*<sup>4</sup>.

### Calcium entry

The release of the finite intracellular  $Ca^{2+}$  store is insufficient for full platelet activation, which, in addition, requires  $Ca^{2+}$  entry from the external medium<sup>2</sup>. The participation of voltage-operated  $Ca^{2+}$  channels in  $Ca^{2+}$  influx in platelets is controversial. It is generally accepted that agonists evoke only small depolarizations (5–10 mV) of the platelet membrane potential<sup>15</sup> and eliminating depolarization by replacing external  $Na^+$  with impermeant ions does not affect agonist-evoked  $Ca^{2+}$  signalling<sup>16</sup>. However, it has been shown that treatment with L-type  $Ca^{2+}$  channel blockers, such as nisoldipine, inhibits agonist-evoked  $Ca^{2+}$  influx in platelets<sup>17</sup>. Furthermore, the effect of canatoxin, a toxin protein isolated from *Canavalia ensiformis* seeds that induces  $Ca^{2+}$  influx without mobilizing  $Ca^{2+}$  from the stores, can be prevented by treatment with voltage-operated  $Ca^{2+}$  channel blockers<sup>18</sup>. On the other hand, induction of large membrane depolarizations using high  $K^+$  concentrations does not affect resting  $[Ca^{2+}]_i$ <sup>16</sup>. Hence  $Ca^{2+}$  entry in platelets is mainly activated by receptor occupation by means other than membrane potential change. Receptor-

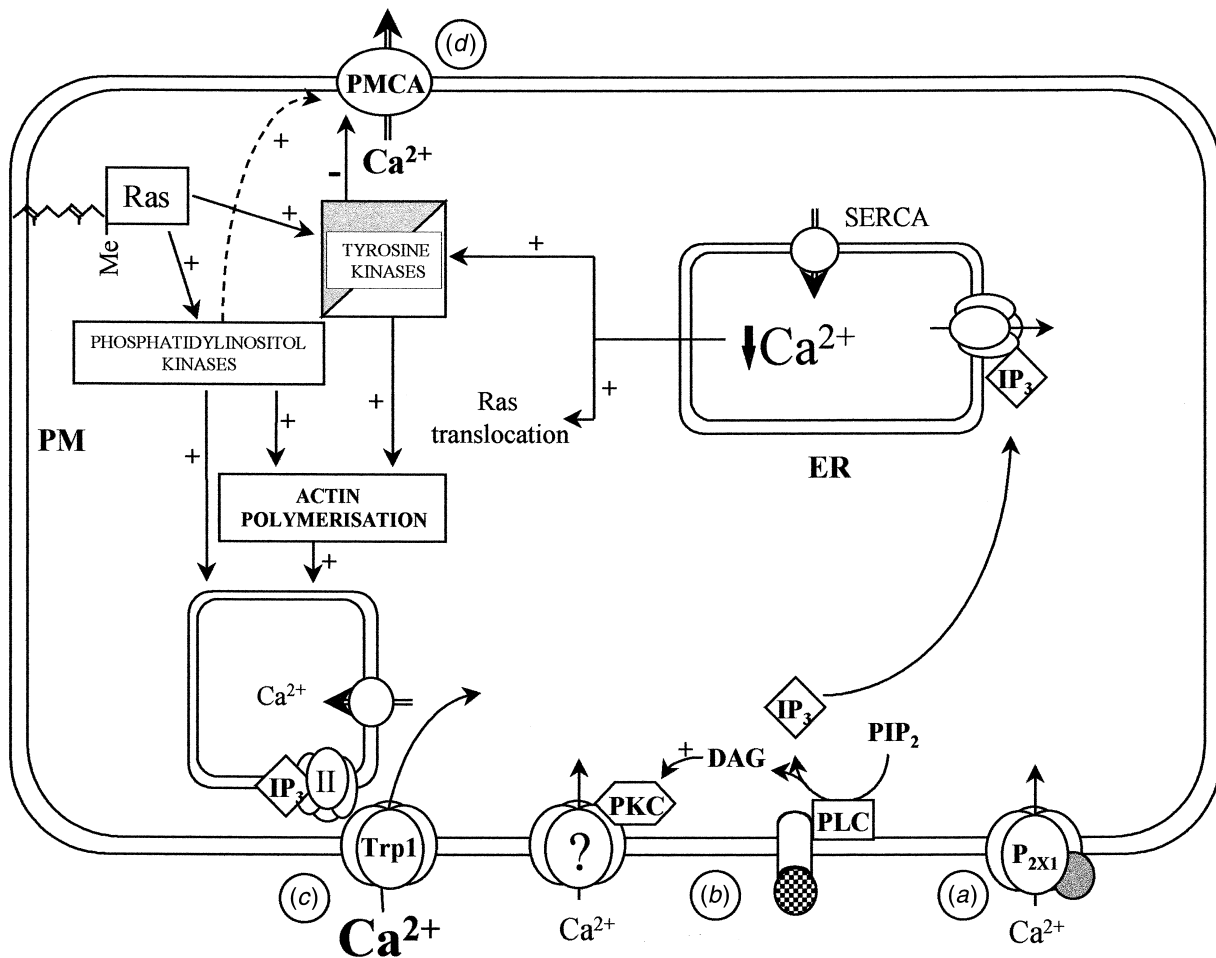


Fig. 17.1. Proposed mechanisms for calcium entry and extrusion in human platelets. (a) Adenine nucleotides evoke  $\text{Ca}^{2+}$  entry via the  $\text{P}_{2\text{X}1}$  purinoceptor. (b) Agonist-activated PKC-induced store-independent  $\text{Ca}^{2+}$  entry via an unidentified channel. (c) Store-mediated  $\text{Ca}^{2+}$  entry. Depletion of the intracellular  $\text{Ca}^{2+}$  stores induces activation of both unidentified tyrosine kinases and Ras proteins, which, in turn, induce the activation of phosphatidylinositol 3' and 4' kinases. Ras, phosphatidylinositol kinases and tyrosine kinases promote actin cytoskeleton rearrangement leading the trafficking of the endoplasmic reticulum (ER) towards the plasma membrane (PM). Reorganized actin filaments support the coupling between elements in the endoplasmic reticulum ( $\text{IP}_3$  receptors type II) and Trp1 channels in the plasma membrane through a protein-protein interaction. (d) Calcium extrusion via the plasma membrane  $\text{Ca}^{2+}$  ATPase (PMCA). Activation of Ras proteins stimulates tyrosine phosphorylation of PMCA, which, in turn, inhibits PMCA activity resulting in sustained elevations in  $[\text{Ca}^{2+}]_i$ , required for the activation of  $\text{Ca}^{2+}$ -dependent events and refilling of the intracellular  $\text{Ca}^{2+}$  stores. In contrast, activation of phosphatidylinositol kinases increases PMCA activity.

mediated  $\text{Ca}^{2+}$  entry may take a number of forms<sup>4</sup>, several of which appear to be present in platelets. Adenine nucleotides are able to initiate true receptor-operated  $\text{Ca}^{2+}$  entry, where the  $\text{Ca}^{2+}$  channel forms part of the  $\text{P}_{2\text{X}1}$  receptor protein<sup>19</sup> (Fig. 17.1(a)). Thrombin has been reported to activate a form of second messenger-operated  $\text{Ca}^{2+}$  entry, which involves diacylglycerol (DAG)<sup>20</sup> (Fig. 17.1(b)). However, present evidence indicates that the dominant mechanism employed by platelet agonists is store-medi-

ated  $\text{Ca}^{2+}$  entry (SMCE), which is activated following depletion of the intracellular  $\text{Ca}^{2+}$  stores (Fig. 17.1(c)).

#### $\text{Ca}^{2+}$ entry via the $\text{P}_{2\text{X}1}$ purinoceptor

Studies of the early kinetics of agonist-evoked rises in  $[\text{Ca}^{2+}]_i$  using stopped-flow fluorimetry with platelets loaded with the fluorescent indicator fura-2, revealed that ADP was the only platelet agonist that might act on a true

receptor-operated channel<sup>21,22</sup>. In the presence of external  $\text{Ca}^{2+}$ , ADP was found to evoke a rise in  $[\text{Ca}^{2+}]_i$  without measurable delay ( $<20$  ms at  $37^\circ\text{C}$ ), while release from intracellular stores took some 200 ms at optimal agonist concentrations<sup>22</sup>. Maximally effective concentrations of other agonists, such as thrombin, only evoked  $\text{Ca}^{2+}$  entry after a latency of around 200 ms<sup>22</sup>.

The rapid onset of ADP-evoked  $\text{Ca}^{2+}$  entry suggested that the ADP receptor might be directly coupled to a  $\text{Ca}^{2+}$  permeable channel. This was confirmed by the first patch clamp study of stimulated platelets. Cell attached patch clamp recordings revealed little or no activity in resting platelets. ADP was able to evoke single channel currents when added to the pipette filling solution but not when added to the bath<sup>23</sup>. These observations indicated the receptor-operated nature of the  $\text{Ca}^{2+}$  permeable channel and excluded any role for a diffusible messenger. Ionic substitution experiments indicated that ADP activated a non-selective cation channel, which was demonstrated to be permeable to the  $\text{Ca}^{2+}$  surrogate  $\text{Ba}^{2+}$ , and therefore presumably to  $\text{Ca}^{2+}$  itself<sup>23</sup>. Whole-cell patch clamp recordings of the ADP-evoked current indicated a latency as short as 7 ms<sup>24</sup>, in good agreement with estimates of the latency of the ADP-evoked rise in  $[\text{Ca}^{2+}]_i$ <sup>22</sup>.

It is now clear that  $\text{Ca}^{2+}$  entry can be rapidly activated in platelets by several adenine nucleotides including ATP<sup>19</sup> and diadenosine tetraphosphate<sup>25</sup>, both of these being agents secreted by activated platelets themselves<sup>26</sup>. Pharmacological studies indicate that the receptor concerned is the ionotropic  $\text{P}_{2\text{XI}}$  receptor, which can be selectively stimulated by  $\alpha\beta$ -methylene ATP<sup>19</sup>. The presence of mRNA for the  $\text{P}_{2\text{XI}}$  receptor (identical to that first cloned from human bladder<sup>27</sup>) has been demonstrated in platelets using the polymerase chain reaction<sup>28,29</sup> and the expression of the receptor itself has been confirmed by Western blotting<sup>29</sup>.

Selective stimulation of the  $\text{P}_{2\text{XI}}$  receptor evokes a relatively small rise in  $[\text{Ca}^{2+}]_p$  which is also transient because of rapid desensitization<sup>19</sup>. This has led to the physiological significance of the  $\text{P}_{2\text{XI}}$  receptor being questioned. However, it seems the early  $\text{Ca}^{2+}$  entry via the  $\text{P}_{2\text{XI}}$  receptor has modulatory effects on the subsequent  $\text{Ca}^{2+}$  signalling evoked via the metabotropic (PLC-activating)  $\text{P}_{2\text{YI}}$  receptor, which is responsible for ADP-evoked release of  $\text{Ca}^{2+}$  from intracellular stores<sup>30</sup>. The latency of this  $\text{IP}_3$ -mediated  $\text{Ca}^{2+}$  release from intracellular stores is increased when  $\text{Ca}^{2+}$  entry via the  $\text{P}_{2\text{XI}}$  receptor is prevented<sup>31</sup>. This can be explained by a rise in  $[\text{Ca}^{2+}]_i$  sensitizing the  $\text{IP}_3$  receptor such that release is activated at a lower  $[\text{IP}_3]$  (see p. 00). Furthermore, the magnitude of ADP-evoked  $\text{Ca}^{2+}$  signals is reduced if the contribution of the  $\text{P}_{2\text{XI}}$  receptor is elimi-

nated by prior desensitization with  $\alpha\beta$ -methylene ATP<sup>32</sup>. This effect is particularly pronounced if the levels of the inhibitory cyclic nucleotides, cAMP or cGMP, are elevated, as would be expected in vivo under the influence of the endothelial products, prostacyclin and nitric oxide. This role of the  $\text{P}_{2\text{XI}}$  receptor in enhancing ADP-evoked  $\text{Ca}^{2+}$  signalling is, like the effect on the kinetics of the response, likely to be due to sensitization of the  $\text{IP}_3$  receptor to its ligand and a reduction in the inhibitory effects of  $\text{IP}_3$  receptor phosphorylation by protein kinases A and G<sup>11</sup>.

Recently, it has been reported that ADP is not an agonist at the platelet  $\text{P}_{2\text{XI}}$  receptor, and that earlier observations can be ascribed to contaminating ATP<sup>33</sup>. This work conflicts, however, with reports that platelets express a splice variant of the  $\text{P}_{2\text{XI}}$  receptor at which ADP is a more potent agonist than other adenine nucleotides<sup>34</sup>. This issue requires further investigation. Even if ADP is not active at the  $\text{P}_{2\text{XI}}$  receptor, this does not diminish its likely physiological significance, since platelets would be expected to encounter several adenine nucleotides together in vivo, notably ADP, ATP and diadenosine tetraphosphate in secretions from activated platelets themselves<sup>26</sup>.

### Second messenger-evoked $\text{Ca}^{2+}$ entry

As in many cells, evidence for second messenger-operated channels (SMOCs) playing a role in platelet  $\text{Ca}^{2+}$  signalling is rather scarce. The suggestion that inositol phosphates may activate  $\text{Ca}^{2+}$  permeable channels in the platelet plasma membrane<sup>35</sup> has not gained wide support. However, these reports deserve re-examination in the light of evidence that  $\text{IP}_3$  and the  $\text{IP}_3\text{R}$  are involved in the activation of SMCE (p. 265).

Recently, thrombin has been reported to activate  $\text{Ca}^{2+}$  entry in platelets under conditions which block SMCE<sup>20</sup>. This store-independent (non-capacitative)  $\text{Ca}^{2+}$  entry was not affected by prior desensitization of the  $\text{P}_{2\text{XI}}$  receptor, indicating that it was not mediated by thrombin-evoked secretion of adenine nucleotides, but it was abolished by inhibitors of protein kinase C (PKC). Since activators of protein kinase C could also evoke  $\text{Ca}^{2+}$  entry under conditions when SMCE was prevented, it appears that thrombin activates store-independent  $\text{Ca}^{2+}$  entry via the stimulation of PLC, the formation of DAG and so the activation of PKC. It is possible that other platelet agonists that activate PLC also activate store-independent  $\text{Ca}^{2+}$  entry in the same manner as thrombin. However, the magnitude of the  $\text{Ca}^{2+}$  entry activated by thrombin or direct activation of PKC is not large, and since thrombin is the most potent activator of PKC in platelets<sup>36</sup>, the contribution of this pathway to the  $\text{Ca}^{2+}$  signals evoked by other agonists is likely to be small.

The presence of a  $\text{Ca}^{2+}$  entry pathway, independent of  $\text{Ca}^{2+}$  store depletion, does not necessarily imply the presence of an entirely independent mechanism. Products of human homologues of the *Drosophila* transient receptor potential gene (hTrp) are reported to form  $\text{Ca}^{2+}$ -permeable channels. One of these, hTrp1, appears to be involved in SMCE in platelets (p. 265). Other Trps have been reported to form channels activated in the presence of DAG lipase inhibitors and by DAG analogues<sup>37</sup>. It may be that hTrp1 represents a point of convergence in store-dependent, and store-independent, PKC-mediated  $\text{Ca}^{2+}$  entry pathways in platelets.

### Store-mediated $\text{Ca}^{2+}$ entry

#### Communication between ER and PM

Although adenine nucleotides have been shown to generate  $\text{Ca}^{2+}$  entry via ionotropic  $\text{P}_{2\text{X}1}$  purinoceptors, which form non-selective cation channels (pp. 00), and thrombin has been shown to activate  $\text{Ca}^{2+}$  entry via the formation of diacylglycerol and the activation of protein kinase C (p. 261), the major mechanism generating  $\text{Ca}^{2+}$  entry in platelets as in other non-excitabile cells appears to be that activated following depletion of the intracellular  $\text{Ca}^{2+}$  stores. The mechanism underlying the activation of this store-mediated  $\text{Ca}^{2+}$  entry (SMCE) is poorly understood.

A number of hypotheses have been put forward to explain how depletion of the intracellular  $\text{Ca}^{2+}$  stores might be communicated to the PM to activate  $\text{Ca}^{2+}$  entry. These can be divided into those that propose a role for a diffusible messenger and those that propose a direct interaction between proteins in the ER and PM (conformational coupling)<sup>3,4,38,39</sup>. Suggestions for diffusible messengers that might be involved in the activation of SMCE have included cyclic GMP<sup>40</sup>, a product of cytochrome P450<sup>41</sup>, a non-protein  $\text{Ca}^{2+}$  influx factor (CIF)<sup>42</sup>, a tyrosine phosphorylation-dependent step<sup>43–45</sup>, small GTP-binding proteins<sup>46–49</sup>, the insertion of channels by intracellular trafficking and vesicle fusion<sup>50</sup>, and a  $\text{Ca}^{2+}$ -calmodulin dependent step<sup>51,52</sup>. Conformational coupling models suggest an interaction between the  $\text{IP}_3$  receptor ( $\text{IP}_3\text{R}$ ) in the membrane of the ER and a  $\text{Ca}^{2+}$ -permeable channel in the PM<sup>38,53</sup>.

#### Evidence for conformational coupling activating SMCE in platelets

Recently, work in several non-excitabile cell types has started to favour conformational coupling as the mechanism responsible for the activation of SMCE<sup>54–56</sup>. These studies have led to the proposal of a secretion-like cou-

pling model, with  $\text{Ca}^{2+}$  store depletion leading to trafficking of portions of the ER towards the PM to enable the coupling of proteins in the two membranes. Work in platelets indicates an important role for remodelling of the actin cytoskeleton in these events<sup>56</sup>, and has provided perhaps the clearest evidence yet for  $\text{Ca}^{2+}$  store depletion leading to the coupling of an  $\text{IP}_3\text{R}$  in the ER to a  $\text{Ca}^{2+}$  channel in the PM<sup>57</sup>.

Platelet activation involves a morphological transformation of the cells from smooth discs to irregular shapes which have multiple projections. This shape change is mediated by reorganization of the actin cytoskeleton, which is composed of a cytoplasmic actin network and a membrane associated cytoskeleton<sup>58</sup>. If activation of SMCE in platelets occurs following trafficking of the ER towards the PM, to enable coupling between proteins in the two membranes, one might expect this to require reorganization of the actin cytoskeleton. Recent work supports this notion.

SMCE can be activated in platelets by treatment with thapsigargin, an inhibitor of the sarco/endoplasmic reticulum  $\text{Ca}^{2+}$ -ATPase (SERCA)<sup>59</sup>. With the  $\text{Ca}^{2+}$ -ATPase blocked, the resting leak of  $\text{Ca}^{2+}$  from the ER goes unopposed and results in depletion of the  $\text{Ca}^{2+}$  store<sup>60</sup>. Depletion of the  $\text{Ca}^{2+}$  store results in an increase in platelet F-actin content, an effect which is largely independent of any rise in the  $[\text{Ca}^{2+}]_i$  since it is seen when the  $\text{Ca}^{2+}$  stores are depleted in platelets heavily loaded with the  $\text{Ca}^{2+}$  chelator dimethyl BAPTA such that any detectable change in  $[\text{Ca}^{2+}]_i$  is prevented<sup>61</sup>. Inhibition of actin filament polymerization using the cell-permeant toxins cytochalasin D (which binds to the barbed ends of actin filaments) or latrunculin A (which binds to G actin monomers) has a biphasic effect with time on thapsigargin-evoked SMCE<sup>49,56</sup>. A brief (1 min) preincubation with these agents increased SMCE with only slight inhibition of thapsigargin-evoked actin polymerisation. Longer treatments with the inhibitors (40 min) resulted in complete abolition of thapsigargin-evoked actin polymerization and reduced SMCE by about 50%.

The initial increase in SMCE evoked by cytochalasin D and latrunculin A may be explained by the presence of a dense cortical actin layer in platelets, which is involved in the maintenance of the discoid shape. As the toxins diffuse into the cell, it is likely that they initially affect actin filaments near the plasma membrane. Disruption of cortical actin filaments might be expected to facilitate coupling between the ER and PM, in a manner similar to that whereby actin filament disassembly potentiates secretory exocytosis by enhancing secretory granule docking with the PM<sup>62</sup>. Confocal microscopy of platelets in which F-actin

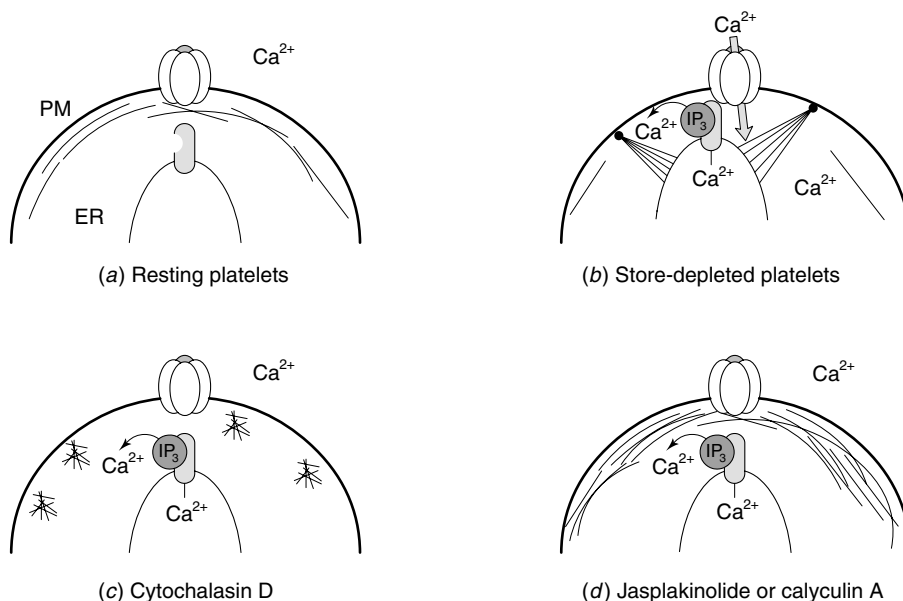


Fig. 17.2. Schematic representation of cytoskeletal organization and its effect on store-mediated  $\text{Ca}^{2+}$  entry. (a) Resting platelets where cortical actin filaments are the main component of the membrane skeleton. (b) Store-mediated  $\text{Ca}^{2+}$  entry in normal platelets mediated via physical coupling between  $\text{IP}_3$ R type II in the endoplasmic reticulum (ER) and Trp1 channels in the plasma membrane (PM). The coupling is supported by the actin cytoskeleton. (c) Cytochalasin D inhibits actin polymerisation and induces reorganisation of the actin filaments into dense foci, preventing the transport of portions of the ER towards the PM and the subsequent coupling. (d) Jasplakinolide induces actin polymerization and stabilization of actin filaments into a dense cortical layer displacing cortical ER and blocking store-mediated  $\text{Ca}^{2+}$  entry. Cytochalasin D and jasplakinolide do not modify  $\text{IP}_3$ -induced  $\text{Ca}^{2+}$  release.

has been stained with fluorescein isothiocyanate (FITC)-labelled phalloidin reveals that cytochalasin D results in reorganization of the cortical actin layer into dense foci<sup>63</sup>. This may well enhance ER-PM contact and hence the coupling of proteins therein<sup>63</sup>. These observations in platelets contrast with those reported in NIH 3T3 fibroblasts and in smooth muscle cell lines, where actin filament disruption was without effect on  $\text{Ca}^{2+}$  entry<sup>54,64</sup>. These differences may reflect the more even distribution of the actin cytoskeleton in fibroblasts and smooth muscle cells. In vascular endothelial cells and astrocytes, cortical actin is involved in maintaining a flat cell morphology<sup>65,66</sup> as it is in platelets<sup>67</sup>. In these cells, too, agents that affect the actin cytoskeleton influence SMCE<sup>68,69</sup>.

Other observations also indicate a role for actin filament reorganization in the activation of SMCE in platelets. Agents which stabilize the cortical actin layer inhibit SMCE. Treatment of platelets with the cell-permeant peptide, jasplakinolide, which induces actin polymerization and stabilizes F-actin, increased platelet actin filament content by 100%<sup>56</sup> and resulted in the formation of a dense cortical actin layer<sup>63</sup>. Jasplakinolide treatment resulted in substantial inhibition of SMCE. Similar results were obtained with calyculin A<sup>56</sup>, an inhibitor of protein

phosphatases 1 and 2, which results in actin polymerization by facilitating the phosphorylation and so activation of the actin-binding proteins, ezrin, radixin and moesin. Fig. 17.2 provides a summary of the cytoskeletal modifications and their effects in the activation of SMCE in human platelets. These results, and those of similar studies in smooth muscle cell lines<sup>54</sup>, provide clear evidence against the participation of a diffusible messenger in the activation of SMCE. Such a messenger would be expected to penetrate the actin filament network to activate  $\text{Ca}^{2+}$  entry, in the same way as  $\text{IP}_3$  formed after stimulation by physiological agonists was still able to reach the ER to release stored  $\text{Ca}^{2+}$  in jasplakinolide-treated cells<sup>54,56</sup>.

The actin cytoskeleton appears to play a role in the maintenance as well as in the activation of SMCE in platelets. If platelets are treated with cytochalasin D or latrunculin A after the intracellular  $\text{Ca}^{2+}$  stores have been depleted using thapsigargin, SMCE is completely inhibited. This inhibition is prevented by jasplakinolide<sup>56</sup>. These results suggest that the actin cytoskeleton may stabilize the coupling between the ER and PM.

Other agents that affect actin filament reorganization also affect SMCE in platelets. Members of the Ras superfamily of small GTP-binding proteins regulate the organ-

ization of the actin cytoskeleton in a number of cell types. For example, Rho mediates the assembly of actin filaments and focal adhesion plaques<sup>70,71</sup> and Rac modulates actin filament accumulation by the plasma membrane<sup>72–74</sup>. Farnesylcysteine analogues, which inhibit the prenylation and so membrane association and activation of Ras proteins, reduce both actin polymerization and SMCE in platelets<sup>49</sup>. However, since farnesylcysteine analogues are more effective than agents like cytochalasin at inhibiting SMCE when evoking a similar degree of inhibition of actin polymerization, it appears that small GTP-binding proteins have effects on SMCE which are both dependent on, and independent of, the actin cytoskeleton<sup>49</sup>.

Phosphoinositides also play a role in the reorganization of the actin filament network in a number of cell types including platelets<sup>58</sup>. For example, the phosphatidylinositol phosphates PtdIns-3-*P*, PtdIns-3,4-*P*<sub>2</sub> and PtdIns-3,4,5-*P*<sub>3</sub> can induce actin filament uncapping and so facilitate actin polymerization<sup>75</sup>, and PtdIns-4-*P* and PtdIns-4,5-*P*<sub>2</sub> regulate cytoskeletal rearrangement by associating with actin binding proteins<sup>76</sup>. Inhibiting phosphoinositide 3-kinase (PI3-kinase) and phosphoinositide 4-kinase (PI4-kinase) using LY294002 or wortmannin, so reducing phosphatidylinositol phosphate levels, results in the inhibition of both actin polymerization and SMCE in platelets<sup>77</sup>. PI3- and PI4-kinases have been shown to be regulated upstream by small GTP-binding proteins such as Rac and Rho<sup>71,78</sup>. Hence Ras proteins and phosphoinositide kinases may be sequentially involved in the activation of SMCE<sup>63</sup>.

Reports that inhibitors of tyrosine kinases can inhibit SMCE in platelets<sup>43,44,79</sup> may be explained by the roles of tyrosine kinases in the reorganization of the actin cytoskeleton<sup>61</sup>. The tyrosine kinase inhibitor methyl 2,5-dihydroxycinnamate can completely inhibit agonist or Ca<sup>2+</sup> store depletion-evoked actin polymerization in platelets and results in a substantial reduction in SMCE<sup>61</sup>. The effect of the tyrosine kinase inhibitor was not additive with the inhibitory effect of the cytoskeletal disrupter cytochalasin D, indicating that the action of the tyrosine kinase inhibitor might be mediated entirely via effects on the reorganization of the actin cytoskeleton.

Thus there is a body of evidence supporting a role for reorganization of the actin cytoskeleton in the activation of SMCE in platelets. These data are compatible with a secretion-like coupling model in which Ca<sup>2+</sup> store depletion results in the trafficking of a portion of the ER towards the PM. As yet, however, there is no ultrastructural evidence for the trafficking event itself.

### The proteins responsible for conformational coupling in platelets

Recent work has confirmed the requirement for IP<sub>3</sub> and functional IP<sub>3</sub>Rs in both the activation and maintenance of SMCE in platelets<sup>57</sup>. Blocking IP<sub>3</sub> recycling by treating platelets with Li<sup>+</sup> for 2 h completely eliminated thrombin-evoked release of Ca<sup>2+</sup> from intracellular stores, indicating that IP<sub>3</sub> production had ceased. The application of thapsigargin together with the Ca<sup>2+</sup> ionophore, ionomycin, was still able to elevate [Ca<sup>2+</sup>]<sub>i</sub> in the absence of extracellular Ca<sup>2+</sup>, confirming that the Ca<sup>2+</sup> stores were intact. Li<sup>+</sup> treatment completely blocked SMCE in thapsigargin-treated (Ca<sup>2+</sup> store depleted) platelets. If the Ca<sup>2+</sup> stores were depleted using thapsigargin prior to treatment with Li<sup>+</sup>, the subsequent addition of extracellular Ca<sup>2+</sup> did not result in SMCE, indicating that IP<sub>3</sub> is required for both the activation and maintenance of SMCE. The effects of Li<sup>+</sup> could be reversed by co-incubation with *myo*-inositol, indicating that Li<sup>+</sup> was acting by interfering with IP<sub>3</sub> production.

The role of IP<sub>3</sub>Rs in SMCE was assessed using xestospongin C, a cell permeant inhibitor of IP<sub>3</sub>R function isolated from a marine sponge. Xestospongin C completely blocked thrombin-evoked release of Ca<sup>2+</sup> from intracellular stores<sup>57</sup>. The same treatment did not affect the ability of thapsigargin to deplete the Ca<sup>2+</sup> stores, but abolished SMCE. If xestospongin C was added after the Ca<sup>2+</sup> stores had been depleted using thapsigargin, subsequent Ca<sup>2+</sup> entry on the addition of external Ca<sup>2+</sup> was also abolished. Thus functional IP<sub>3</sub>Rs are required for both the activation and maintenance of SMCE in platelets.

The conformational coupling hypothesis for the activation of SMCE has proposed from the outset that the coupling involves IP<sub>3</sub>Rs in the ER<sup>53</sup>. The nature of the protein or proteins involved in the plasma membrane has always been less certain. A major problem has been the clear identification of the channels which mediate SMCE. For a number of years now attention has focused on mammalian homologues of the *Drosophila* transient receptor potential (Trp) channel proteins. The presence of messenger RNA for human Trp1 (hTrp1) and its splice variant, hTrp1A, has been demonstrated in human platelets (D. Molin, E. den Dekker, G. Breikers, R. van Oerle, J.W. Akkerman & J.W.M. Heemskerk, personal communication), as has the expression of hTrp1 in these cells<sup>57</sup>. These observations led us to investigate the possible coupling of hTrp1 to IP<sub>3</sub>Rs in platelets in a series of co-immunoprecipitation experiments.

Platelets are reported to express three isoforms of the IP<sub>3</sub>R: IP<sub>3</sub>R types I, II and III<sup>8,9</sup>. Coupling between each of these IP<sub>3</sub>Rs and hTrp1 was tested for by looking for co-immunoprecipitation from lysates obtained from control

(resting) platelets and platelets in which the intracellular  $\text{Ca}^{2+}$  stores had been depleted by treatment with thapsigargin<sup>57</sup>. After immunoprecipitation with anti-hTrp1, SDS-PAGE and Western blotting revealed the presence of  $\text{IP}_3\text{RII}$  in immunoprecipitates from store-depleted but not control platelets.  $\text{IP}_3\text{RI}$  and  $\text{IP}_3\text{RII}$  were undetectable in samples from both control and depleted cells. Converse experiments were also conducted in which platelet lysates were immunoprecipitated with anti- $\text{IP}_3\text{RI}$ , anti- $\text{IP}_3\text{RII}$  or anti- $\text{IP}_3\text{RIII}$  antibodies and then Western blots were probed for the presence of hTrp1. Following immunoprecipitation with anti- $\text{IP}_3\text{RI}$  or anti- $\text{IP}_3\text{RIII}$ , hTrp1 was undetectable in samples from control and  $\text{Ca}^{2+}$  store-depleted platelets; however, hTrp1 was detected in immunoprecipitates obtained with anti-hTrp1 from store-depleted but not control cells<sup>57</sup>. These results indicate that only homotetramers of  $\text{IP}_3\text{RII}$  couple with hTrp1 upon  $\text{Ca}^{2+}$  store depletion in platelets, and that  $\text{IP}_3\text{RI}$  and  $\text{IP}_3\text{RIII}$  are not involved in the conformational coupling mechanism. Although it might be argued that the failure to detect coupling of hTrp1 with two of the  $\text{IP}_3\text{R}$  isoforms is due to low levels of expression and/or lower antibody sensitivity, the results are in good agreement with reports that only  $\text{IP}_3\text{RII}$  is located in both the plasma membrane and the intracellular membranes in platelets<sup>8,9</sup>, with  $\text{IP}_3\text{RI}$  being restricted to the intracellular membranes<sup>8,9</sup> and  $\text{IP}_3\text{RIII}$  being located only in the plasma membrane<sup>9</sup>.

Co-immunoprecipitation experiments not only provide strong support for conformational coupling underlying the activation of SMCE in platelets, but also indicate that hTrp1 is involved in forming the channels which mediate  $\text{Ca}^{2+}$  entry. Human Trp1 has been reported to form non-selective cation channels in other cells<sup>80</sup>. Whether hTrp1 alone conducts SMCE in platelets, or whether it forms heteromeric structures with hTrp1A or other proteins, remains to be determined. Coupling between various hTrp and  $\text{IP}_3\text{R}$  isoforms has been reported in other cell types<sup>81–83</sup>. In these studies in various transfected cell lines, the coupling appeared to be constitutive and independent of intracellular  $\text{Ca}^{2+}$  store depletion. Thus in these transfected cells, there appeared to be relatively stable interactions between  $\text{IP}_3\text{Rs}$  and hTrps, with channel activity presumably depending on changes in the concentration of  $\text{IP}_3$  and/or the  $\text{Ca}^{2+}$  content of the store. These results contrast with the observations in platelets, where there is clear evidence for coupling between endogenously expressed  $\text{IP}_3\text{RII}$  and hTrp1, and for this coupling occurring *de novo* when the intracellular  $\text{Ca}^{2+}$  stores are depleted<sup>57</sup>.

Recent work thus provides strong evidence for conformational coupling underlying the activation of SMCE in human platelets.  $\text{Ca}^{2+}$  store depletion leads to reorganiza-

tion of the actin cytoskeleton, an event which appears to involve small GTP-binding proteins and phosphoinositides. These cytoskeletal changes appear necessary to permit, and may mediate, the events required to allow the coupling of elements in the ER and PM to occur. In accordance with the secretion-like coupling model for SMCE, these events are envisaged as a trafficking of portions of the ER towards the PM. Coupling itself appears to involve just one isoform of  $\text{IP}_3\text{R}$ ,  $\text{IP}_3\text{RII}$ , and hTrp1. The tentative scheme we propose is illustrated in Fig. 17.1(c). Whether other proteins are also involved in the coupling process, and the precise relationship between the initial  $\text{Ca}^{2+}$  store depletion and the events outlined, remain to be determined.

### Regulatory effect of integrin $\alpha_{\text{IIb}}\beta_3$ and adrenaline on $\text{Ca}^{2+}$ influx

Integrin  $\alpha_{\text{IIb}}\beta_3$  (glycoprotein IIb/IIIa) is a member of the integrin family of receptors that mediate cellular adhesion of different cell types to several ligands, such as fibrinogen, fibronectin and von Willebrand factor<sup>84,85</sup>. Occupancy of specific sites of the integrin  $\alpha_{\text{IIb}}\beta_3$  by a ligand affects its structure, function and distribution<sup>85</sup>. It has been shown that peptides containing the Arg–Gly–Asp sequence, known as the RGD sequence, present in the A- $\alpha$  chains of human fibrinogen, bind to potential recognition sites on the integrin  $\alpha_{\text{IIb}}\beta_3$  for the binding of soluble fibrinogen on activated human platelets, thus inhibiting binding of fibrinogen with the receptor<sup>86,87</sup>. Platelet agonists activate integrin  $\alpha_{\text{IIb}}\beta_3$  (inside-out signalling) to allow the binding of soluble fibrinogen followed by outside-in signals involving phosphorylation events, cytoskeletal rearrangements and molecular translocation<sup>88</sup>. It has been reported that integrin  $\alpha_{\text{IIb}}\beta_3$  contains high affinity  $\text{Ca}^{2+}$  binding sites<sup>89</sup> and might be involved in the regulation of  $[\text{Ca}^{2+}]_i$  in platelets<sup>84,90–92</sup>; however, the mechanisms responsible have not been clearly addressed in these early reports, nor has whether occupation of the integrin influences  $\text{Ca}^{2+}$  store depletion or  $\text{Ca}^{2+}$  influx. Recent studies addressing this issue indicate that the binding of fibrinogen to integrin  $\alpha_{\text{IIb}}\beta_3$  inhibits the activation of SMCE in platelets by a mechanism which may involve modulation of the reorganization of the actin cytoskeleton: an action that may be important in intrinsic negative feedback to prevent the further activation of platelets subjected to low level stimuli *in vivo*<sup>93</sup>. In the absence of agonist stimulation, PMA4-induced fibrinogen binding to the integrin  $\alpha_{\text{IIb}}\beta_3$  does not induce  $\text{Ca}^{2+}$  influx or mobilization, suggesting that, in the absence of agonist-induced signalling,  $\alpha_{\text{IIb}}\beta_3$  occupied by fibrinogen generates only a limited outside-in signal<sup>94</sup>.



It has been suggested that the integrin  $\alpha_{\text{IIb}}\beta_3$  mediates the synergistic  $[\text{Ca}^{2+}]_i$  rise that follows addition of both thrombin and adrenaline in human platelets<sup>95</sup>. The role of adrenaline as a  $[\text{Ca}^{2+}]_i$  elevating agonist in platelets is controversial. Adrenaline has been shown by some authors to elevate  $[\text{Ca}^{2+}]_i$  in platelets through a mechanism dependent on the presence of extracellular  $\text{Ca}^{2+}$ <sup>95,96</sup>.  $\text{Ca}^{2+}$  entry stimulated by adrenaline has been shown to be associated with fibrinogen binding to the integrin  $\alpha_{\text{IIb}}\beta_3$ <sup>97</sup>; however, treatment of platelets with antibodies that prevent fibrinogen binding does not inhibit adrenaline-induced  $\text{Ca}^{2+}$  influx<sup>95</sup>. Others have reported adrenaline alone to be without effect on  $[\text{Ca}^{2+}]_i$  in platelets<sup>98</sup>. In addition, it has been reported that adrenaline potentiates  $\text{Ca}^{2+}$  elevation stimulated by platelet agonists, such as ADP<sup>99</sup>, thrombin<sup>95,98</sup> or collagen<sup>100</sup>, an event that might be mediated by the activation of the  $\alpha_{\text{IIb}}\beta_3$  integrin and fibrinogen binding<sup>95,96</sup> or by decreases in cAMP<sup>98</sup>.

### Removal of $\text{Ca}^{2+}$ from the cytosol

$\text{Ca}^{2+}$  may be removed from the cytosol by uptake into the ER, uptake into mitochondria and by extrusion across the plasma membrane. However, the application of mitochondrial inhibitors to platelets has little or no effect on resting  $[\text{Ca}^{2+}]_i$ <sup>101</sup> or on the return of  $[\text{Ca}^{2+}]_i$  towards resting levels after an elevation<sup>102</sup>. These observations indicate that the mitochondria do not play a significant role in  $\text{Ca}^{2+}$  homeostasis in platelets.

The uptake of  $\text{Ca}^{2+}$  into the ER is required after store discharge in order to allow further signalling if platelets are not irreversibly activated and to allow the periodic discharge of  $\text{Ca}^{2+}$  which underlies agonist-evoked  $\text{Ca}^{2+}$  spiking<sup>14,103</sup>. Platelets express several isoforms of the sarcoplasmic/endoplasmic reticulum  $\text{Ca}^{2+}$ -ATPase (SERCA), with SERCA 2b<sup>104</sup>, SERCA 3a<sup>105,106</sup>, SERCA 3b<sup>107</sup> and SERCA 3c<sup>108</sup> all being reported. SERCA 3b appears to be associated with the  $\text{IP}_3$ -releasible  $\text{Ca}^{2+}$  store in platelets<sup>109</sup>.

As well as being responsive to changes in  $[\text{Ca}^{2+}]_p$ , SERCA activity may be modulated by other factors which participate in the overall regulation of platelet  $\text{Ca}^{2+}$  signalling. For example, the inhibitory effects of elevated cAMP may, in part, be mediated by stimulated uptake of  $\text{Ca}^{2+}$  into intracellular stores<sup>110,111</sup>. The mechanism may involve the small GTP-binding protein, Rap 1b<sup>112</sup>. It is suggested that Rap 1b associates with SERCA 3b when Rap 1b is activated by a rise in  $[\text{Ca}^{2+}]_p$ , so inhibiting SERCA 3b activity and potentiating agonist-evoked  $\text{Ca}^{2+}$  signals. When Rap 1b is phosphorylated following a rise in cAMP, it dissociates from SERCA 3b, increasing its activity and so  $\text{Ca}^{2+}$  uptake into the intracel-

lular stores<sup>112</sup>. Nitric oxide may also exert its inhibitory effect on platelet function in part by promoting  $\text{Ca}^{2+}$  uptake into the intracellular stores. This action has been reported as independent of<sup>113</sup>, or only partially dependent on<sup>114</sup>, increases in cGMP.

Removal of  $\text{Ca}^{2+}$  from the cell across the plasma membrane can be effected by  $\text{Na}^+/\text{Ca}^{2+}$  exchange or a plasma membrane  $\text{Ca}^{2+}$ -ATPase (PMCA).  $\text{Na}^+/\text{Ca}^{2+}$  exchange appears to be present in human platelets and the exchanger appears to be of the  $\text{Na}^+-\text{Ca}^{2+}-\text{K}^+$  exchange type<sup>115,116</sup> found in retinal rods. However, the removal of extracellular  $\text{Na}^+$  has little if any effect on resting  $[\text{Ca}^{2+}]_i$ <sup>117</sup> or the restoration of  $[\text{Ca}^{2+}]_i$  after it has been elevated<sup>102,118</sup>. These observations indicate that  $\text{Na}^+/\text{Ca}^{2+}$  exchange plays no major role in normal platelet  $\text{Ca}^{2+}$  homeostasis and that the PMCA is the major extrusion mechanism across the plasma membrane<sup>102</sup>.

Platelets are reported to express two isoforms of PMCA: PMCA 1b and PMCA 4b<sup>108</sup>. As with SERCAs, PMCAs appear to be subject to regulation by a number of signalling systems other than  $[\text{Ca}^{2+}]_i$ . An increase in platelet cAMP levels promotes  $\text{Ca}^{2+}$  extrusion across the plasma membrane<sup>111</sup> by increasing PMCA activity through phosphorylation of the enzyme<sup>119</sup>. This action is likely to contribute to the inhibitory effect of elevated cAMP on platelet activity. Conversely, inhibition of adenylyl cyclase by platelet agonists such as ADP would be expected to reduce PMCA activity, an action which may explain the reduction in the ADP-evoked  $\text{Ca}^{2+}$  signal when this mechanism is selectively blocked<sup>32</sup>.

PMCA activity in platelets is inhibited by the phosphorylation of the enzyme on tyrosine residues<sup>119</sup>. The increase in protein tyrosine phosphorylation seen upon platelet activation may thus potentiate agonist-evoked  $\text{Ca}^{2+}$  signals and the activation process. Loss of this action may contribute to the reduction in agonist-evoked elevations in  $[\text{Ca}^{2+}]_i$  observed in platelets treated with tyrosine kinase inhibitors<sup>43,44</sup>. Tyrosine phosphorylation and so inhibition of platelet PMCA activity appear to lie downstream of the activation of small GTP-binding proteins of the Ras family. Inhibition of Ras activation using farnesylcysteine analogues (p. 264) inhibited the increase in tyrosine phosphorylation of the PMCA seen when the intracellular  $\text{Ca}^{2+}$  stores in platelets were depleted and accelerated the decline in  $[\text{Ca}^{2+}]_i$  towards basal levels<sup>102</sup> (Fig. 17.1(d)).

Platelet PMCA activity is also modulated by products of PI3-kinase and PI4-kinase. Inhibition of these kinases results in a reduction in the rate of decline in  $[\text{Ca}^{2+}]_i$  in platelets which have been treated with thapsigargin and ionomycin<sup>102</sup> (Fig. 17.1(d)). These observations are consistent with reports that acidic phospholipids, such as

PtdIns-4,5-P<sub>2</sub>, activate the PMCA and are responsible for PMCA activity in resting cells<sup>120,121</sup>.

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## Platelet signalling: protein kinase C

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### Protein kinase C, a family of serine-threonine kinases

Protein kinase C (PKC) isozymes are a family of kinases that play an essential role in the signal transduction mechanisms after activation of receptors. Although with a different pattern of isozyme expression, these phospholipid-dependent kinases are present in every single cell type, including platelets. PKC isozymes phosphorylate multiple cellular proteins in serine and threonine residues leading to a plethora of effects on cell proliferation and death, differentiation, morphology and adhesion<sup>1,2</sup>. In platelets, PKC isozymes control a variety of functions, including aggregation, release of granular contents, mobilization of intracellular calcium and regulation of cell shape. In addition, PKC isozymes play an important role in megakaryocyte differentiation.

PKC has been identified as the cellular receptor for the lipid second messenger diacylglycerol (DAG), and it is therefore a key enzyme in the signalling mechanisms after activation of receptors coupled to phospholipase C (PLC), a family of enzymes that leads to a transient elevation in membrane DAG levels. In addition, PKC isozymes are high affinity receptors for the phorbol ester tumour promoters, natural compounds which are the most common pharmacological activators of PKC both in vitro and in cellular systems. The higher potency of phorbol esters and their greater stability compared to the second messenger DAG make these compounds the preferred activators of PKC in experimental models. Because of the limitations of platelets as a system for genetic studies, pharmacological tools have been widely used in these cells. Both activation with phorbol esters (preferably with PMA or phorbol 12-myristate 13-acetate) and inhibition with specific PKC inhibitors have been the preferred approaches used to understand the involvement of PKC isozymes in platelet biology.

**Table 18.1.** PKC isoforms

Category	Isoform	Ca <sup>2+</sup> -dependent?	DAG (or PMA)-responsive?	Present in platelets?
Classical	$\alpha$	Yes	Yes	Yes
	$\beta$ ( $\beta$ I & $\beta$ II)	Yes	Yes	Yes
	$\gamma$	Yes	Yes	No
Novel	$\delta$	No	Yes	Yes
	$\varepsilon$	No	Yes	Yes
	$\eta$	No	Yes	Yes
	$\theta$	No	Yes	Yes
Atypical	$\lambda$	No	No	Yes, but low amounts
	$\zeta$	No	No	Yes, but low amounts
Other	$\mu$ (aka PKD)	No	Yes	?
	$\nu$	?	?	?

The PKC family comprises at least ten related kinases with differential expression in each cell type. PKC isozymes have been classified into three subclasses according to their structure and regulation: 'classical' (cPKCs), 'novel' (nPKCs) and 'atypical' (aPKCs). cPKCs comprise PKC $\alpha$ ,  $\beta$ I,  $\beta$ II, and  $\gamma$  (Table 18.1) These PKC isozymes are calcium dependent. They can be activated not only by calcium but also by phorbol esters and DAG. The nPKCs (PKC $\delta$ ,  $\varepsilon$ ,  $\eta$ , and  $\theta$ ) are calcium insensitive but can be activated by phorbol esters and DAG. The third group (aPKCs) comprises PKC $\zeta$  and  $\lambda$ . A unique characteristic of the aPKCs is that they do not bind phorbol esters or DAG even with low affinity, and therefore cannot be activated upon generation of DAG in the plasma membrane. A related enzyme, PKC $\mu$  or PKD, displays multiple unique features that makes it a distant relative of the PKC isozymes. Although some consider

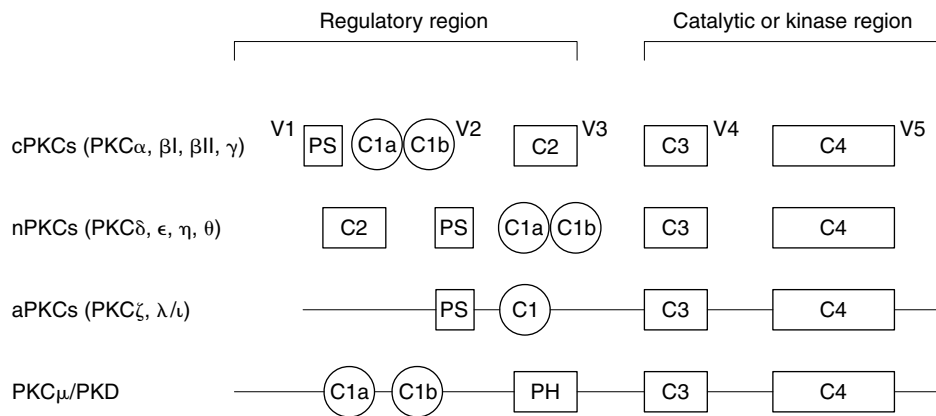


Fig. 18.1. Model showing the structure of PKC isoforms. Shown are the classical, novel, and atypical isoforms. Also shown is PKC $\mu$ /PKD.

PKC $\mu$ /PKD a member of the PKC family, this serine–threonine kinase has a unique pattern of substrate specificity that resembles that of calcium–calmodulin-dependent kinases. PKC $\mu$ /PKD is activated in cells by PKC phosphorylation and can therefore function downstream of PKCs. PKC $\nu$ , a kinase related to PKC $\mu$ /PKD, has been recently cloned. No information exists on how this kinase is regulated in cells. Each PKC isozyme is the product of a separate gene, with the exception of PKC $\beta$ I and  $\beta$ II, which are alternative spliced variants of the PKC $\beta$  gene<sup>1–3</sup>.

All PKC isozymes are structurally related and have two well-defined domains: the N-terminal regulatory region and the C-terminal catalytic region (Fig. 18.1). The regulatory region possesses the motifs involved in the binding of the phospholipid cofactors, phorbol esters/DAG and calcium, and participates in protein–protein interactions that regulate PKC activity and localization. The C-terminal region is the kinase domain and includes motifs involved in ATP and substrate binding. A hinge region connects the regulatory and the catalytic domains. This flexible region is highly sensitive to proteolytic cleavage by cellular proteases. PKC isozymes possess domains that are highly conserved (C1 to C4) and variable domains (V1 to V5). The C1 domain in cPKCs and nPKCs is responsible for phorbol ester/DAG binding in membranes. Upon DAG binding, a conformational change relieves the autoinhibition conferred by the pseudosubstrate region located at the N-terminal region of PKCs. This autoinhibitory domain binds to the substrate binding site in the catalytic domain and keeps the enzyme in an inactive state in the absence of cofactors and activators. The C2 domain in cPKCs is involved in calcium and phospholipid binding, but only participates in phospholipid interactions in the calcium insensitive nPKCs. The C3 domain (or ATP binding site)

and the C4 domain (or substrate binding site) present in the catalytic or kinase domain are essential for phosphotransferase activity<sup>1,2</sup>.

### Agonist-induced activation of PKC isozymes in platelets

One of the earliest responses of platelets to most agonists is the activation of PLC. Platelets contain  $\beta$ - and  $\gamma$ -forms of this enzyme. The  $\beta$ -forms are activated by G proteins, while the  $\gamma$ -forms (predominantly PLC $\gamma_2$ ) are regulated by tyrosine phosphorylation<sup>4,5</sup>. PLC $\beta$  is thought to be primarily responsible for the rapid burst of phosphoinositide hydrolysis that occurs during platelet activation by agonists such as thrombin and TxA<sub>2</sub> analogues. In general, PLC $\beta_1$  and PLC $\beta_3$  respond best to G $\alpha_q$ , particularly members of the G $\alpha_q$  family, while PLC $\beta_2$  responds best to G $\beta_\gamma$ . Based upon studies with pertussis toxin and genetically modified mice, PAR-1 in platelets is thought to be coupled to PLC $\beta$  by G $\alpha$  derived from G $\alpha_q$  or G $\alpha_{11}$ , as well as G $\beta\gamma$  derived from G $\alpha_i^{6–9}$ . TxA<sub>2</sub> receptors are coupled to PLC $\beta_{1/3}$  by G $\alpha$  derived from G $\alpha_q$  or G $\alpha_{11}$ . Once activated, phospholipase C hydrolyses PI-4,5-P<sub>2</sub> to DAG plus IP<sub>3</sub><sup>10–12</sup>. DAG activates PKC and contributes to protein phosphorylation as will be discussed below<sup>13,14</sup>. IP<sub>3</sub> binds to receptors in the dense tubular system and releases sequestered Ca<sup>2+</sup><sup>15–18</sup>. Alternatively, atypical PKC isoforms have been shown to be activated by a PI3K-dependent pathway<sup>19,20</sup>.

Platelets express multiple cPKCs, nPKCs and aPKCs. Although some discrepancies between different studies on PKC isozyme expression exist, it is clear that platelets express PKC $\alpha$ ,  $\beta$ ,  $\delta$ ,  $\epsilon$ ,  $\eta$ , and  $\theta$ . PKC $\gamma$  is not expressed in platelets. Although the presence of atypical PKCs has been

detected in platelets, other studies reported very low levels for PKC $\zeta$  and  $\lambda$  transcripts<sup>21–24</sup>. No information is available on the presence of PKC $\mu$  and PKC $\nu$  in platelets.

cPKCs and nPKCs respond to phorbol esters by translocating from cytosol to membranes. Translocation of PKC isozymes is the hallmark for PKC activation and necessary for substrate phosphorylation. In platelets, PKC isozymes regulate multiple agonist-stimulated responses after activation of seven transmembrane receptors. Indeed, translocation of PKC isozymes in platelets occurs upon stimulation of numerous membrane receptors. For example, thrombin induces translocation of PKC $\alpha$  and PKC $\beta$  in human platelets. Translocation of PKC isozymes correlates with the increase in DAG levels in the plasma membrane observed upon stimulation with thrombin<sup>25,26</sup>. A stimulatory antibody (Mab.F11), which binds to the Fc gamma RII receptor and induces granular secretion and platelet aggregation, very potently translocates PKC $\alpha$ ,  $\beta$ ,  $\delta$ ,  $\eta$ , and  $\theta$ . Interestingly, translocation of the DAG-unresponsive PKC $\zeta$  also occurs, suggesting that other mechanisms in addition to DAG generation contribute to the subcellular redistribution of this aPKC<sup>23</sup>.

As also reported in other cell types, PKC isozymes can get tyrosine phosphorylated in platelets. Studies in several cell types, including fibroblasts and keratinocytes, show that PKC $\delta$  is the main PKC isozyme that can be phosphorylated in tyrosine. Remarkably, platelet activation by thrombin induces tyrosine phosphorylation of PKC $\delta$  within 30 seconds. This leads to a 2–3 fold increase in kinase activity of this nPKC. The tyrosine phosphorylated form of PKC $\delta$  associates with a platelet particulate fraction (100,000  $\times$  g insoluble). This effect is not seen when platelets are activated by fibrinogen. Furthermore,  $\alpha_{IIb}\beta_3$  receptor blockade did not affect the induction of PKC $\delta$  phosphorylation by thrombin, suggesting that the effect is independent of the  $\alpha_{IIb}\beta_3$  pathway. The tyrosine kinase responsible for such phosphorylation upon thrombin receptor activation is not known. These results suggest that, in addition to the conventional PLC/DAG pathway, other regulatory mechanisms also take place in the control of PKC activity in platelets<sup>27</sup>.

### The role of PKC in platelet aggregation and secretion

The majority of the evidence that suggests a role for PKC in platelet activation is derived from studies that utilize pharmacologic activators, or inhibitors of this kinase. Although studies of this nature are subject to circumspection, certain themes do emerge from a large body of literature

which use a diverse array of reagents. Collectively, these studies imply that PKC does contribute to both platelet aggregation and secretion. Genetic manipulation has created murine lines with null mutations in three different PKC isoforms (PKC $\beta$ , PKC $\gamma$  and PKC $\epsilon$ ). As of yet there is no information whether these mutations induce a platelet phenotype<sup>28–30</sup>.

Although seemingly contradictory, PKC may be involved in a variety of inhibitory responses in platelets. This hypothesis emerges from studies utilizing platelets which were preincubated with phorbol esters prior to the addition of an agonist. Examples of enzymes directly, or indirectly, inhibited by PKC include: PLC, adenylyl cyclase, phospholipase A2, phosphatidylinositol 3-kinase, and myosin light chain kinase<sup>31–35</sup>. This can lead to alterations in calcium release, cAMP production, and release of arachidonic acid. Several of the proteins that are directly phosphorylated by PKC during platelet activation have been identified, including the  $\alpha$  subunits of the G proteins, G $_z$ <sup>36–38</sup>, G $_{12}$  and G $_{13}$ <sup>39</sup>, myosin light chain (P20), pleckstrin (p47), actin binding protein<sup>40</sup>, myosin light chain kinase and GP180, a transmembrane glycoprotein whose function is unknown<sup>41</sup>. Phosphorylation of Gz $\alpha$  appears to uncouple it from G $\beta\gamma$ <sup>42</sup>. Phosphorylation of myosin light chain occurs at a site distinct from myosin light chain kinase<sup>43</sup> and is believed to affect the interaction of myosin with actin. Fig. 18.2 shows a model of these events.

Several reports have also indicated that PKC isozymes directly regulate receptor function in platelets. It is well known that, upon activation of seven-transmembrane receptors, PKC can phosphorylate these receptors leading to desensitization. An important example in platelets is the thromboxane receptor. Although a single thromboxane receptor gene has been identified, two splice variants have been cloned (TP $\alpha$  and TP $\beta$ ). In human platelets, despite the presence of mRNA for both TP isoforms, isoform-specific antibodies against TP receptors detected only TP $\alpha$ . Upon activation of the TP $\alpha$  receptor with a TP agonist or arachidonic acid as a source of endogenous TxA $_2$ , a rapid and transient phosphorylation of the receptor occurs. Pretreatment of platelets with the PKC inhibitor GF 109203X drastically reduced the phosphorylation of the receptor upon activation. Similarly, GF 109203X inhibits phorbol ester-induced phosphorylation of the receptor. PKC seems to phosphorylate the TP $\alpha$  receptor not only after activation with a TP agonist (homologous desensitization) but also after thrombin treatment (heterologous desensitization). The relative contribution of individual PKC isozymes to receptor desensitization mechanisms have yet to be determined<sup>44,45</sup>.



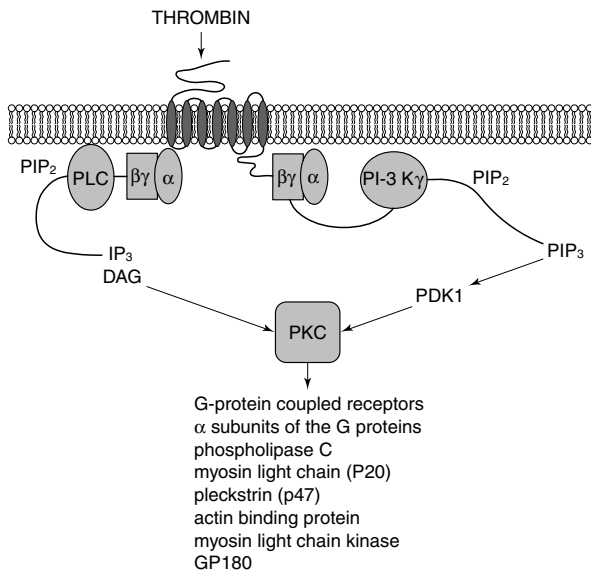


Fig. 18.2. Model showing the activation of different PKC isoforms. Stimulation of a pertussis toxin-insensitive G protein-coupled receptor, such as the thrombin receptor PAR1, stimulates G $\alpha$  subunit of heterotrimeric G-proteins. This can lead to the stimulation of PLC $\beta$ , and subsequently cPKCs and nPKCs. In contrast, stimulation of a pertussis toxin-sensitive G protein-coupled receptor releases free G $\beta\gamma$  heterodimers. This can also lead to the stimulation of PI3K $\gamma$ , and subsequently aPKC. This then enables PKC to phosphorylate platelet and megakaryocyte PKC substrates.

### Platelet aggregation

The majority of agonists that lead to platelet aggregation also produce an increase in the activity of cPKCs and aPKCs. This association, along with the observation that phorbol esters induce platelet aggregation, suggests a potential role for PKC in platelet aggregation. Consistent with this hypothesis, inhibition of PKC by multiple different pharmacologic inhibitors prevents platelet aggregation in response to most platelet agonists. In addition, time-course experiments demonstrate that activation of PKC closely parallels the ability of  $\alpha$ Ib $\beta$ 3 to bind fibrinogen and support aggregation<sup>46</sup>. It is also notable that A.K. Rao and colleagues have described a patient with a bleeding disorder, who also has a defect in phosphorylation of platelet pleckstrin by PKC<sup>47</sup>. In addition, there is evidence that certain agents can potentiate subthreshold dosages of agonists through a PKC-dependent pathway ('PKC-priming')<sup>48,49</sup>.

Which PKC isoform is required for platelet aggregation, and which signalling pathway is involved, is a subject of debate. Shattil and Brass have shown that a 'pseudo-

substrate' like peptide which selectively inhibits cPKCs blocked activation of  $\alpha$ Ib $\beta$ 3<sup>50</sup>. This implies that cPKC isoforms contribute to 'inside-out' integrin signalling pathways in platelets. PKC may also contribute to 'outside-in' signalling pathways leading to actin assembly that are initiated after fibrinogen binds to  $\alpha$ Ib $\beta$ 3<sup>51</sup>. This will be discussed in the section on cytoskeletal changes.

### Platelet secretion

Studies with PMA, a chemical stimulator of PKC, also suggest a role for this kinase in platelet secretion of both dense and alpha granules<sup>52,53</sup>. This appears to be independent of irreversible platelet aggregation. Further studies using stimulators of PKC such as PMA, mezerein, oleoylacylglycerol, and (-)-indolactam V demonstrate that PKC can cause a calcium-dependent release of arachidonate<sup>54</sup>. In addition, multiple PKC isoform selective and non-selective inhibitors have been shown to block platelet secretion induced by several platelet agonists including thrombin<sup>55,56</sup>.

The molecular mechanism by which PKC contributes to platelet secretion is just beginning to be elucidated. Agonist-mediated platelet secretion involves secretory pathways with homology to those found in other cells, including exocytosis in neurons<sup>57</sup>. These pathways involve the formation of SNARE complexes – a process facilitated by a platelet Sec1 protein called PSP. The ability of PSP to enhance SNARE complex formation is, in part, regulated by its phosphorylation by PKC. Although Rab proteins have been shown to play a role in vesicle trafficking in other cells, and several Rabs (isoforms 3b, 6, and 8) are phosphorylated by PKC, a direct connection between Rabs and platelet secretion has yet to be uncovered. There is some evidence that phosphorylation of MARCKS, an actin-associated protein, may also contribute to PKC-induced platelet secretion<sup>58</sup>.

### PKC, actin assembly, and pleckstrin

In platelets, PMA induces irreversible shape change and centripetal contractions<sup>52,53,59</sup>. Hartwig and coworkers have demonstrated that either thrombin-, or PMA-, induced stimulation of platelets leads to the assembly of F-actin, through a pathway that is independent of secretion<sup>51</sup>. This effect of PMA results in filopod extensions from the platelet surface. It appears to depend on PI3K since it coincides with the production of phospholipid products of this enzyme, and the actin effects are blocked by a chemical inhibitor of PI3K.

The most visible substrate for PKC in platelets is p47 or pleckstrin, the 'Platelet and leukocyte C-kinase substrate'<sup>60,61</sup>. Pleckstrin is a 40–47 kDa hematopoietic protein which contains the two prototypic PH domains at its amino- and carboxyl-termini. Its phosphorylation has long been used as a marker for platelet activation. Though its function *in vivo* remains unclear, expressed pleckstrin can affect PIP<sub>2</sub>-based signalling mediated by PLC, PI3K, and inositol phosphatases<sup>35,62,63</sup>. This may be mediated by the ability of pleckstrin to bind and sequester the substrate PIP<sub>2</sub>. Ser<sup>113</sup>, Thr<sup>114</sup>, and Ser<sup>117</sup>, the three residues phosphorylated by PKC, lie adjacent to, but not within, the amino-terminal PH domain, and phosphorylation at these sites has been shown to regulate the function of this PH domain<sup>64</sup>. A third functional motif has been described within pleckstrin<sup>65</sup>. This motif is termed the DEP domain, because of the first three proteins (Dishevelled, Egl-10 and pleckstrin) known to possess this sequence. The role of the DEP domain in pleckstrin, or other signalling proteins, is largely unknown.

### **Pleckstrin induces the formation of membrane ruffles**

Based on the concept that PH domains recruit molecules to membranes, experiments were done to determine whether pleckstrin could bind to membranes and if so, whether this membrane attachment was mediated by the PH domains<sup>66</sup>. By cell fractionation it was determined that pleckstrin was associated with platelet membranes, and indirect immunofluorescence of transfected cells was used to answer the second part of this question. Overexpression of wild-type pleckstrin was able to induce the formation of membrane ruffles. Pleckstrin was associated with the plasma membranes and concentrated in the ruffles. By contrast, deletion of pleckstrin's amino terminal, but not its carboxyl terminal, PH domain created a pleckstrin variant unable to bind to membranes and alter its surface architecture. This argued that pleckstrin's effects on membranes was mediated by its amino- but not its carboxyl-terminal PH domain.

In order to evaluate the effect of phosphorylation on the ability of pleckstrin to induce membrane ruffle formation, two pleckstrin variants in which the sites of phosphorylation were mutated to either glycines or to glutamates were studied. The first variant is unable to be phosphorylated (non-phosphorylatable), while the second variant's charged residues mimic the state of phosphorylation (pseudo-phosphorylated)<sup>64</sup>. The pseudo-phosphorylated variant was fully able to associate with membranes and to induce ruffle formation. By contrast, the non-phosphorylatable variant

was diffusely distributed and failed to lead to membrane ruffle formation, indicating that pleckstrin must be phosphorylated in order to attach to membranes and induce ruffle formation. Together, this implies that pleckstrin's ability to induce shape change is dependent on both its amino-terminal PH domains and is regulated by its phosphorylation sites.

### **Pleckstrin and actin organization**

Based on the observations that pleckstrin could induce the formation of membrane ruffles, pleckstrin was analysed for its ability to cause reorganization of the actin cytoskeleton<sup>67</sup>. Experiments using fluorescently tagged phalloidin (a fungal toxin which binds and stains F-actin) demonstrated that pleckstrin-expressing Cos-1 cells had undergone cytoskeletal reorganization. Non-transfected cells had thick, central actin cables and an absence of actin around the periphery. Pleckstrin-expressing cells, by contrast, had no central actin cables and prominent cortical actin. Actin was found in small bundles underlying each of the membrane projections. Additional studies demonstrated that this effect on actin assembly was regulated by PKC phosphorylation of pleckstrin<sup>67</sup>.

Small GTPases of the Rho family have been shown to play a critical role in cytoskeletal regulation<sup>68</sup>. The cortical actin polymerization initiated by G protein-coupled or growth factor receptors has been shown to require the action of Rac, a Rho family GTP-binding protein<sup>69,70</sup>. In order to determine if the actin reorganization induced by pleckstrin was similarly dependent on Rac, we coexpressed a myc-tagged, dominant negative variant of Rac (myc-V12N17 Rac), along with pleckstrin in Cos-1 cells and examined the pattern of phalloidin staining. We determined that coexpression of myc-V12N17 Rac was able to block many of the cytoskeletal effects of pleckstrin. Cells expressing the dominant negative Rac variant lacked cortical actin staining and dorsal projections. This argues that some of pleckstrin's effects on the actin cytoskeleton required the activity of Rac. By contrast, coexpression of a myc-tagged, dominant negative Cdc42 variant (myc-N17 Cdc42) had no effect on the pleckstrin-induced actin rearrangements. Cells expressing both pleckstrin and myc N17 Cdc42 remained with their cortical actin and small actin bundles intact and had no central actin cables. The data thus indicate that pleckstrin's effects on the actin cytoskeleton are at least partially dependent on the activation of Rac, but are independent of Cdc42.

Taken together, these studies have demonstrated a role for pleckstrin and its amino-terminal PH domain in reorganizing the actin cytoskeleton. Phosphorylation by PKC

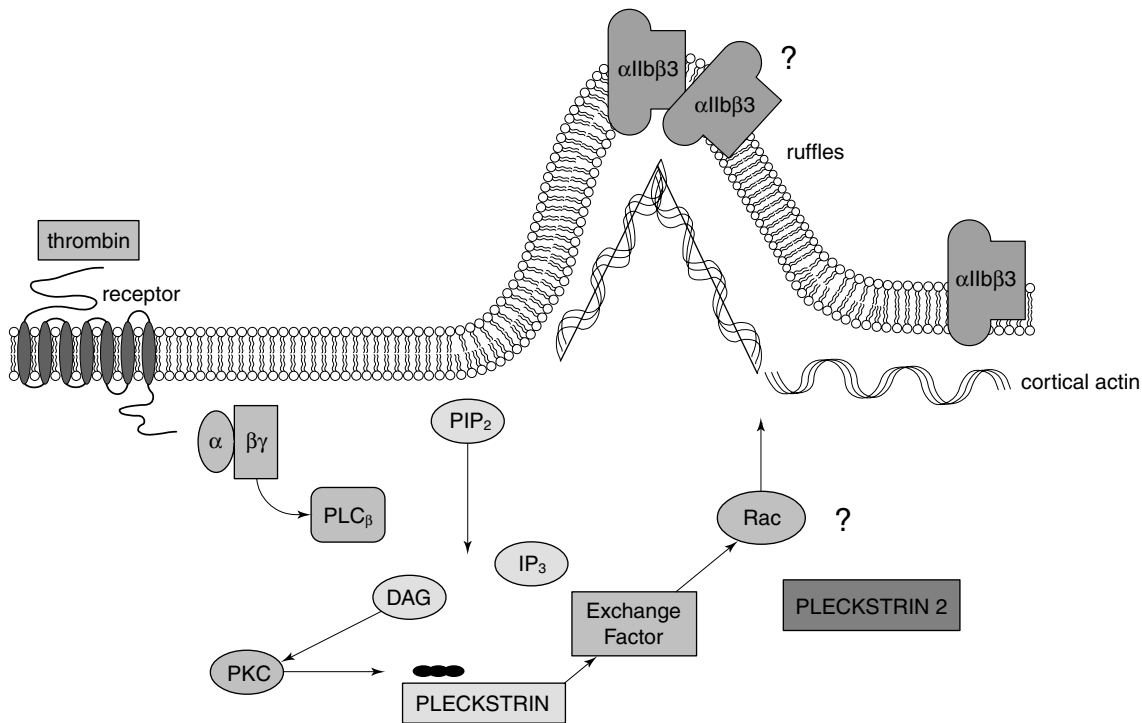


Fig. 18.3. Model showing the potential effect of pleckstrin on actin reorganization. Activation of PAR1, the predominant platelet thrombin receptor, leads to the stimulation of PLC $\beta$ , and subsequently PKC, which phosphorylates pleckstrin. Presumably by a pathway dependent on stimulation of an exchange factor, this leads to activation of the small GTP-binding protein Rac. Stimulation of Rac then leads to some of the pleckstrin-induced cytoskeletal changes, including cortical actin and ruffle formation, through an integrin-dependent pathway.

at Ser<sup>113</sup>, Thr<sup>114</sup>, and Ser<sup>117</sup> appears to be a regulatory mechanism for this function, perhaps by controlling the availability of sites for PIP<sub>2</sub>-binding to the amino-terminal PH domain. Pleckstrin's effects on the cytoskeleton depend at least partially on Rac, but appear to be independent of Cdc42.

### Pleckstrin and integrin-dependent cell spreading

Given the role of integrins in cytoskeletal organization and cell spreading, we investigated whether signalling from pleckstrin cooperated with signalling pathways involving the platelet integrin,  $\alpha$ IIb $\beta$ 3. Pleckstrin induced cell spreading in both transformed (Cos-1 and CHO) and non-transformed (REF52) cell lines, and this spreading was regulated by pleckstrin phosphorylation<sup>71</sup>. In REF52 cells, pleckstrin-induced spreading was matrix dependent, as evidenced by spreading of these cells on fibrinogen but not on fibronectin. Coexpression with  $\alpha$ IIb $\beta$ 3 did not enhance pleckstrin-mediated cell spreading in either REF52 or CHO cells. However, co-expression of the inactive variant  $\alpha$ IIb $\beta$ 3 Ser753Pro, or  $\beta$ 3 Ser753Pro alone, completely blocked

pleckstrin-induced spreading. This implies that  $\alpha$ IIb $\beta$ 3 Ser753Pro functions as a competitive inhibitor by blocking the effects of an endogenous receptor which is utilized in the signalling pathway involved in pleckstrin-induced cell spreading. Thus, expressed phosphorylated pleckstrin promotes cell spreading that is both matrix and integrin dependent. A model of the role of pleckstrin in these events is shown in Fig. 18.3.

### Protein kinase C and megakaryocyte differentiation

Work from several laboratories has demonstrated a role for PKC isozymes in hematopoietic lineage commitment decisions. It is well established that the phorbol ester PMA promotes megakaryocyte differentiation. Experiments using HEL cells show that PMA causes a complete inhibition of proliferation and a marked increase in the surface expression of GP IIIa, a marker of megakaryocytic differentiation. These effects can be blocked by the selective PKC inhibitor GF 109203X<sup>72</sup>. Thrombopoietin (TPO), a major regulator of

megakaryocytic lineage development transduces signals through activation of PKC. TPO induces the translocation of PKC from cytosol to membrane fractions. Western blot analysis revealed that PKC $\alpha$  and PKC $\beta$  translocate to the membranes upon TPO treatment. Although PKC $\alpha$  and PKC $\beta$  are involved in the mitogenic effect of TPO, PKC activation is not required for TPO-induced expression of megakaryocytic surface markers<sup>73</sup>. However guinea pig megakaryocyte spreading in culture is stimulated by PKC, and is blocked by the PKC inhibitors calphostin C and K5720<sup>74</sup>.

Stimulation of PKC leads to the activation of the ERK (MAPK) signalling pathway, a cascade that plays an important role in proliferation and differentiation in many cell types. In K562 cells, sustained activation of the ERK (MAPK) cascade is required for megakaryocytic differentiation. PD09059, an inhibitor of MEK (the kinase that activates ERKs) completely abrogates megakaryocytic differentiation induced by PMA<sup>75</sup>. With the use of specific inhibitors for individual PKC isozymes, it was recently demonstrated that nPKCs but not cPKCs or aPKCs may be involved in the process of megakaryocytic differentiation. Using several different approaches, Racke et al.<sup>76</sup> showed that nPKC $\epsilon$  may be a key transducer of signalling in differentiation. Moreover, constitutively active mutants of PKC $\epsilon$  but not of other PKC isozymes strongly influence the function of GATA-1, a transcription factor known to be important in megakaryopoiesis and in the activation of the  $\alpha$ IIb promoter<sup>76</sup>.

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## Platelet signalling: tyrosine kinases

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### Introduction

Protein phosphorylation plays a cardinal role in regulating many cellular processes in eukaryotes. In particular, protein phosphorylation is a major currency of signal transduction pathways. Processes that are reversibly controlled by protein phosphorylation require not only a protein kinase but also a protein phosphatase. Target proteins are phosphorylated at specific sites by one or more protein kinases and these phosphates are removed by specific protein phosphatases. In principle the extent of phosphorylation at a particular site can be regulated by changing the activity of the cognate protein kinase or protein phosphatase or both.

Protein tyrosine kinases (PTKs) catalyse the transfer of  $\gamma$ -phosphate of ATP to tyrosine residues of protein substrates and are critical components of signalling pathways that control a variety of biological responses including cell proliferation, migration, differentiation and survival. PTKs can be subdivided in two large families: receptor tyrosine kinases (RTKs) and non-receptor tyrosine kinases (NRTKs). Although platelets contain a small number of receptor tyrosine kinases on their surface, including the PDGF receptor<sup>1,2</sup>, the majority of tyrosine kinases identified in platelets thus far are non-receptor forms, including members of the Src-kinase family, Syk kinase family, focal adhesion kinase (FAK) family, the Janus kinase (JAK) family and the Tec kinase family. Because of the key roles PTKs play in cellular signalling processes, their catalytic activity is tightly controlled in normal cells by protein tyrosine phosphatases, by other protein tyrosine or serine/threonine kinases and by autoregulatory mechanisms. The recent crystallographic structures of several members of both the RTK and NRTK families, together with extensive biochemical studies, afford an understanding at the molecular level of the autoregulation mechanisms to which PTKs are subject.

### Src-family tyrosine kinases: members, structural features, and activation

The prototype member of the Src family protein tyrosine kinases was first identified as the transforming protein v-Src of the oncogenic retrovirus, Rous sarcoma virus<sup>3</sup>. c-Src is the cellular proto-oncogene of the v-Src oncogene. c-Src is expressed in a wide variety of tissues with a highest protein level detected in platelets, constituting 0.2–0.4 % of total platelet protein. Src kinase family includes at least eight members: Fyn, Lyn, Yes, Hck, Lck, Fgr, Blk and Yrk. Six of these have been identified in resting platelets: Fyn, Hck, Lyn, Yes and more recently Fgr and Lck<sup>4,5</sup>. Src, Fyn, Lck and Lyn are significantly tyrosine phosphorylated and all except Src are concentrated in detergent-insoluble fractions, suggesting a different subcellular localization of the Src-related kinases vs. Src.

Src protein kinases are 52–62 kDa proteins composed of distinct functional regions (Fig. 19.1). The N-terminal region, which includes a myristic acid moiety, is involved in targeting Src PTKs to cellular membranes. The highly conserved regulatory apparatus of the Src family members consists of two peptide binding modules, the Src-homology domains SH2 and SH3. The SH2 domain is approximately 100 amino acids long and binds to phosphorylated tyrosine residues in a sequence specific context. The specificity of individual SH2 domains lies in the 3–5 residues following the phosphotyrosine. Amino acids preceding phosphotyrosine may also be important for regulating binding affinity. The SH3 domain contains approximately 75 amino acids and binds to proline containing sequences. However, amino acids surrounding the prolines confer additional affinity and specificity for individual SH3 domains<sup>6</sup>. These modules, therefore mediate the formation of protein–protein interactions during signalling. Another critical structural feature of all Src-like kinases is the conserved C-terminal tyrosine residue which plays a

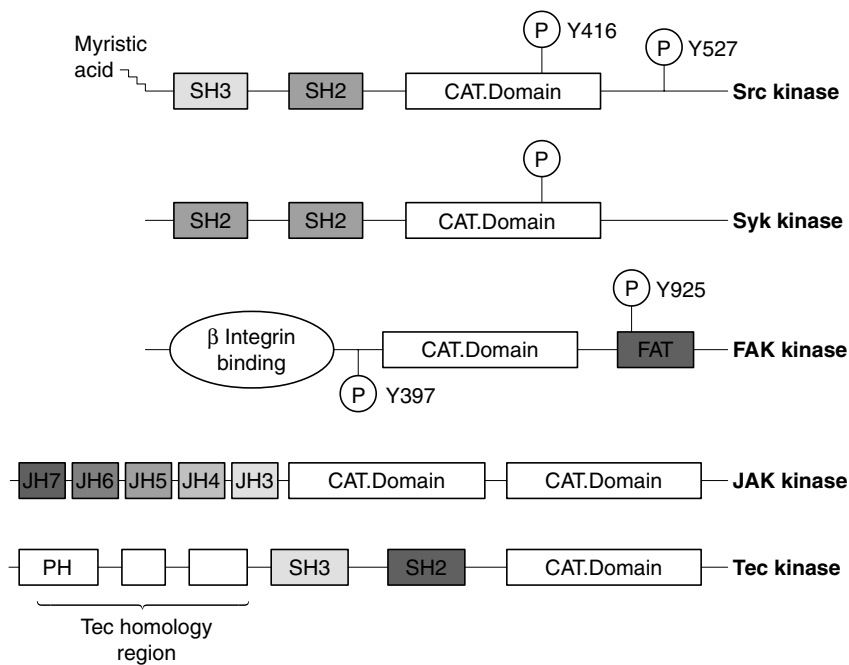


Fig. 19.1. Structural features of platelet non receptor tyrosine kinases. Linear diagrams of the different kinases illustrating their structural motifs as described in the text. CAT: catalytic domain, FAT: focal adhesion targeting domain, PH: pleckstrin domain.

critical role in regulating their catalytic activity. Phosphorylation of this C-terminal tyrosine (Tyr 527) is mediated by a distinct tyrosine kinase, Csk (c-Src kinase). The recent crystal structure of nearly full length Src has elucidated the role of the SH2 and SH3 domains in the auto-regulation of Src family kinases<sup>7</sup>. Interactions between the SH2 domain and a C-terminal phosphotyrosine residue result in repression of catalytic activity, with additional inhibitory interactions provided by the SH3 domain. Dephosphorylation of the Tyr 527, or the binding of antibodies to the C-terminus, results in the catalytic activation of the Src-related kinases. The mechanisms by which the inhibitory phosphotyrosine residue is dephosphorylated and Src activation achieved have been investigated and suggest that the tyrosine phosphatase SHP1 would interact with Src and may serve by dephosphorylation of the COOH-terminal regulatory tyrosine<sup>8,9</sup>. The SH2-tail interaction is important for repression of Src activity because regulation is lost upon dephosphorylation of the phosphotyrosine. This dephosphorylation event is thought to be critical for Src activation by dissociating the C-terminal tail from the N-terminal SH2 domain, thereby allowing the autophosphorylation at another tyrosine residue (Tyr 416) located within the catalytic domain and required for catalytic activity (Fig. 19.2). The catalytic domain alone is functional as a tyrosine kinase but the SH2 and SH3 domains

are required for full biological activity. Alternatively, Src can be activated by proteins containing proline-rich sequences that effectively compete with the SH2-kinase linker for binding to the SH3 domain, which again results in disruption of the inhibitory intra molecular constraints. Once released from the autoinhibited state, Src undergoes *trans*-autophosphorylation on a conserved tyrosine residue (Tyr 416) which stabilizes the active conformation<sup>10</sup> (Fig. 19.2).

Protein phosphorylation is regulated in large part by the subcellular localization of these kinases and their respective substrates. Stenberg et al.<sup>11</sup> reported that, unlike Src which is associated to plasma membranes, the other kinases colocalize with the coated vesicle protein, clathrin, confirming their association with this class of endocytotic vesicle.

Thrombin treatment of platelets causes an early integrin-independent activation of Src and Y527 dephosphorylation. However, the mechanisms by which Src is activated remain unclear. Very recently it has been described that Src tyrosine kinase is a novel direct effector of G proteins<sup>12</sup>. The authors demonstrate that  $G_{\alpha s}$  and  $G_{\alpha i}$  but neither  $G_{\alpha q}$ ,  $G_{\alpha 12}$  nor  $G_{\beta\gamma}$ , directly stimulate the kinase activity of down regulated c-Src by binding to the catalytic domain, changing the conformation of Src and leading to increased accessibility of the active site to sub-



strates. If Src activation is uncoupled from integrin activation upon thrombin stimulation, it becomes associated with integrin complexes after platelet aggregation mediated by  $\alpha$ IIb $\beta$ 3. Therefore, there is a redistribution of activated c-Src to integrin dependent cytoskeletal complexes that allows accessibility of its SH2 and SH3 domains to other cellular proteins. One such protein is FAK, a protein tyrosine kinase that is phosphorylated and activated following engagement of  $\alpha$ IIb $\beta$ 3. FAK coprecipitates with Src and this coprecipitation is dependent on an intact FAK autophosphorylation site Y397 that binds to Src SH2 domains with a high affinity. It has been postulated that Src association with FAK may facilitate Src-mediated phosphorylation of other residues on FAK, some of which serve as binding sites for additional SH2 containing proteins. For example, phosphorylation of Y397 is required for phosphorylation of FAK on Y925, a Grb2-binding site and on Y576 and Y577 required for maximal kinase activity of FAK and for FAK: p130<sup>cas</sup> complex formation. Indeed p130<sup>cas</sup> and paxillin are phosphorylated by the FAK-Src complex enabling the recruitment of even more proteins<sup>6</sup>. c-Src also associates with the SH2-containing inositol 5-phosphatase, SHIP1, and is involved in its tyrosine phosphorylation downstream of  $\alpha$ IIb $\beta$ 3 integrin in human platelets<sup>13</sup>. SHIP1 may contribute to the phosphatidylinositol 3,4 bisphosphate (PI3,4 P2) accumulation, by dephosphorylation of phosphatidylinositol 3,4,5 trisphosphate (PI 3,4,5 P3), playing a role in the strengthening of irreversible aggregation<sup>14</sup>. Once recruited and tyrosine phosphorylated, SHIP1 may also play a role as a docking protein allowing the formation of adequate signalling complexes at the proximity of integrin clusters.

The generation of mice bearing homologous disruption of Src genes develop severe osteopetrosis<sup>15</sup>. However, targeted disruption of the Src, Fyn, Yes and Lyn genes has revealed that the loss of a single Src family member does not perturb the hemostatic function of platelets. This would indicate that the presence of multiple Src kinases within the cell can compensate for the loss of an individual family member.

### Syk tyrosine kinase

Syk, a 72 kDa tyrosine kinase, is expressed by all hematopoietic cells. It contains two N-proximal SH2 domains, two interdomain spacer regions, a catalytic domain and a C-terminal tail (Fig. 19.1). It is homologous to Zap 70 whose expression is limited to T-lymphocytes and NK cells. Syk is essential for lymphocyte development and for signal transduction via immune receptors in non-lymphoid cells.

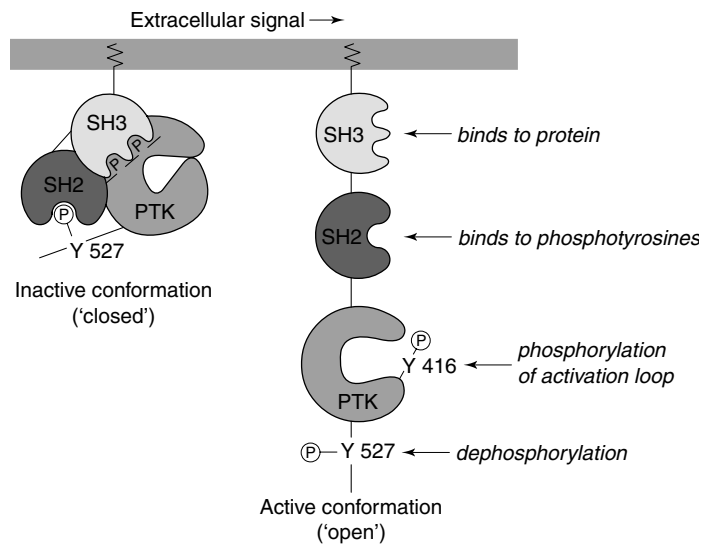


Fig. 19.2. Activation model of Src. Src kinases are found in either an active or inactive state depending on intramolecular associations. Interactions occur between the SH3 domain and polyproline helix II motif, and between the SH2 domain and the C-terminal phosphotyrosine residue. These associations which prevent activation of a Src kinase, are broken principally with the dephosphorylation of the C-terminal phosphotyrosine by a protein tyrosine phosphatase. This leads to an alteration of the three-dimensional structure of the molecule allowing the enzyme to assume an active conformation.

Although the extracellular domains of immune receptors are quite different, they share the ability to associate with invariant subunits which contain an immunoreceptor tyrosine-based activation motif (ITAM) in their cytoplasmic domains<sup>16</sup>. This motif is characterized by a consensus sequence that includes two tyrosines, typically 10–12 amino acids apart, which are rapidly phosphorylated following engagement of the immune receptor. A model for Syk activation has been proposed in lymphoid cells whereby the ligand-induced receptor clustering stimulates tyrosine phosphorylation of ITAMS by one or more Src family kinases resulting in Syk engagement through the tandem SH2 domains leading to Syk activation.

Syk has been identified in platelets and like Src family kinases it is rapidly activated following thrombin, ADP or collagen regardless of the activation and/or ligand binding status of the  $\alpha$ IIb $\beta$ 3 integrin. Syk activation correlates with its tyrosine phosphorylation; however, full activation of Syk requires integrin engagement<sup>17</sup>. The modes of Syk activation through immune response receptors and thrombin and integrin receptors are distinctly different. Syk has recently been implicated in collagen-induced platelet

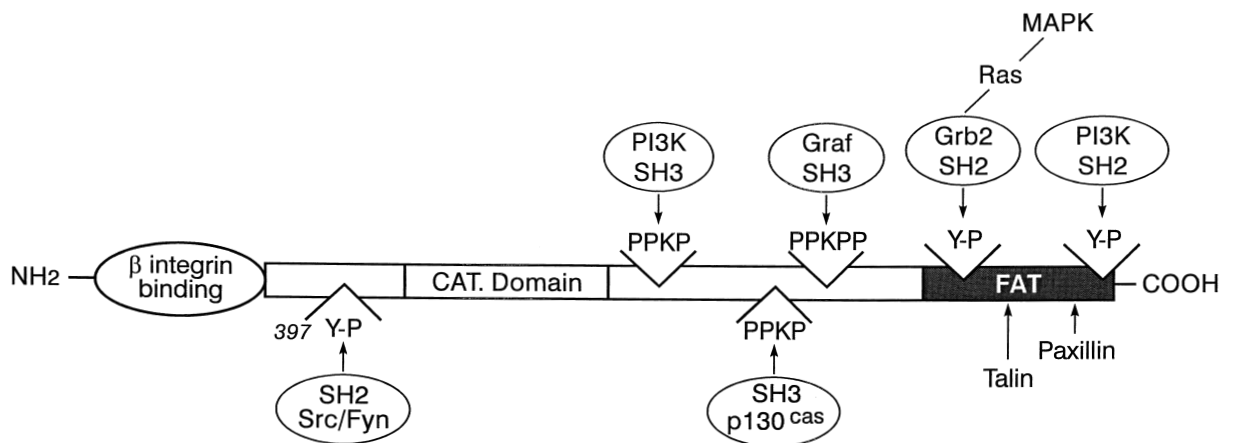


Fig. 19.3. Potential molecular interactions of FAK with cytoskeletal and signalling proteins. The phosphotyrosine residues serve as docking sites for SH2 containing signal transduction molecules such as Src/Fyn, PI3K, Grb2. The proline-rich sequences serve as docking sites for SH3 containing molecules such as p130cas, Graf and PI3K. Binding sites in the N and C terminal tails are required for FAK binding cytoskeletal proteins such as the unit of integrin, talin and paxillin.

signalling. Syk becomes tyrosine phosphorylated upon collagen-induced platelet activation and associates with GPVI through the ITAM containing  $Fc\gamma$  signalling subunit and Syk deficient murine platelets fail to respond to collagen<sup>18</sup>.

Since the thrombin receptor and integrins do not possess an immuno receptor tyrosine-based activation motif, the mechanism of thrombin-induced activation of Syk remains unclear. Concerning the integrins, Gao et al.<sup>19</sup> demonstrated that Syk activation could be triggered by the binding of soluble fibrinogen to  $\alpha$ IIB $\beta$  and that the cytoplasmic tails of both  $\alpha$ IIB and  $\beta$ 3 were needed for this activation since it was abolished by the truncation of  $\alpha$ IIB or  $\beta$ 3 tails. Moreover, Src or a related kinase appeared to be required for Syk activation by  $\alpha$ IIB $\beta$ 3 since a kinase inactive variant blocked  $\alpha$ IIB $\beta$ 3-induced Syk phosphorylation. Finally Sada et al.<sup>20</sup> present evidence that thrombin induces association of Syk with FAK in human platelets and this association is dependent upon the state of actin polymerization.

The identity of substrates and effectors of Syk is not well defined. Syk is reported to interact with and phosphorylate or activate several proteins including PLC $\gamma$ <sup>18</sup>, Vav (a guanine nucleotide exchange factor for small ras-like GTPases)<sup>21</sup>, PI3 kinase<sup>22</sup> and an actin-binding protein c-actin<sup>23,24</sup>. Experiments conducted in Syk knockout mice indicate a critical role for this kinase in hemostasis as Syk  $-/-$  mice suffer from severe hemorrhagic complications in utero and die within the perinatal period<sup>25</sup>. Experiments conducted in Syk deficient murine platelets, generated using targeting methodology, fail to respond to collagen. This would be due to the absence of phosphorylation

of PLC $\gamma$  leading to inhibition of PI4,5 P<sub>2</sub> hydrolysis, absence of inositol 1,4,5 trisphosphate (IP<sub>3</sub>) synthesis, Ca<sup>2+</sup> release and pleckstrin phosphorylation<sup>18</sup>. However, these same authors demonstrated the ability of Syk deficient platelets to aggregate in response to thrombin stimulation. It is conceivable that some other kinase can compensate for the lack of Syk in these platelets. Finally, no increase in tail bleeding time was observed when Syk  $-/-$  animals were compared with Syk  $+/-$  or Syk  $+/+$  controls<sup>18</sup>. However, Syk deficiency was associated with rebleeding after primary hemostasis in two of five animals, indicating an unstable hemostatic plug formation, which can contribute to death due to hemorrhage that happens to most Syk knockout mice shortly after birth<sup>25</sup>.

### Focal adhesion kinase

Focal adhesion kinase has emerged as a primary mediator of integrin signalling. FAK is a non receptor tyrosine kinase of 125 kDa that colocalizes with integrins at sites at which a cell makes close adhesive contact with the extracellular matrix substratum<sup>26,27</sup>. Integrin-mediated cell adhesion promotes rapid FAK tyrosine phosphorylation, which results in the activation of signalling functions. Unlike the Src family tyrosine kinases, p125 FAK does not contain SH2 or SH3 domains. It has a catalytic domain flanked by large N and C terminal domains (Fig. 19.1). FAK has been shown to bind a variety of adapter and signalling molecules (Fig. 19.3). Six FAK tyrosines (397, 407, 576, 577, 861 and 925) have been identified as sites of adhesion dependent phos-

phorylation. Tyr 397 is the only apparent site of autophosphorylation. Phosphorylation of Tyr 397 creates a high affinity binding site for the SH2 domains of Src-family tyrosine kinases including c-Src and Fyn, which could promote the activation by C-terminal tail displacement<sup>28</sup>. Phosphotyrosine 397 appears also to mediate interactions with SH2 domains of the p85 subunit of phosphatidylinositol 3-kinase (PI3K), which could subsequently stimulate its activity<sup>29</sup>. FAK can also bind to PI3K upon ligand engagement of integrin in thrombin-stimulated platelets. Guinebault et al.<sup>30</sup> have demonstrated that a GST fusion protein containing the p85 SH3 domain can bind to FAK as well as to a synthetic peptide derived from a proline-rich region of FAK, indicating a role of the SH3 domain of p85 in FAK association with PI3K. Phosphorylation of Tyr 576 and Tyr 577, which lie in the FAK kinase domain activation loop, appears to elevate FAK catalytic activity. Tyr 925 of FAK which is phosphorylated by Src *in vitro* has been identified as the binding site for the SH2 domain of Grb2<sup>31</sup>. Grb2 binding to phosphorylated FAK would be followed by recruitment of Sos and the activation of Ras/MAP kinase pathways. Recently, it has been reported that FAK can directly interact with PLC $\gamma$ 1. This interaction is mediated by the C-terminal SH2 domain of PLC $\gamma$ 1 binding to FAK phosphorylated Tyr 397<sup>32</sup>. FAK-null mice die in fetal life and, *ex vivo*, their fibroblasts form focal adhesions but migrate poorly<sup>33</sup>.

Several groups have reported the identification of a second related FAK PTK referred to as RAFTK (related adhesion focal tyrosine kinase) also known as Pyk2 or CAK- $\beta$ . RAFTK is related to FAK (48% identity, 65% similarity), which is known to play an important role in cell adhesion. RAFTK phosphorylation, unlike FAK phosphorylation occurs in the early phase of platelet activation, is not dependent on platelet aggregation and does not require integrin engagement<sup>34</sup>. Moreover, the activation of Pyk2 coincides with increased association with PI3K as determined by both anti-PI3K and anti Pyk2 immunoprecipitates. In addition, both increased PI3K activity and increased Pyk2 activity could be detected in immunoprecipitate following thrombin stimulation<sup>35</sup>. Finally, in immunoprecipitation studies, Pyk2 as well as FAK seems to associate with Shc through Grb2<sup>36</sup>.

### JAK family kinases

The JAK (JANus Kinase or Just Another Kinase) family of kinases are a recently described group of signalling enzymes involved in cytokine and growth factor mediated signal transduction<sup>37</sup>. Four members of this family have

been identified including JAK1, JAK2, JAK3 and TYK2 which share a high degree of structural similarity. The structure contains two C-terminal kinase related domains and five N-terminal other homology domains (JH3–JH7) (Fig. 19.1). Recently, it has been demonstrated that both JAK2 and TYK2 are present in human platelets. Signal transduction pathways activated by TPO, the ligand for c-Mpl, or thrombin, comprised the tyrosine phosphorylation of JAK2 and TYK2<sup>38–41</sup>. However, the time course of JAK2 phosphorylation in thrombin-stimulated platelets is slow, suggesting a potential role for this enzyme in the regulation of postaggregation responses.

### Tec family kinases

Bruton's tyrosine kinase (77 kDa) (Btk) and Tec kinase (65 kDa) regulate the phosphorylation of PLC $\gamma$ 2 in B and T lymphocytes, respectively<sup>42</sup>. Btk is essential for normal B cell receptor signalling since the lack of expression of functional Btk in humans leads to the B-cell deficiency X-linked agammaglobulinemia (XLA). The structure of these enzymes shows that, in addition to containing an SH2 and an SH3 domain, the Tec family of kinases has two unique features: a pleckstrin (PH) domain and a Tec homology domain, which mediates association of Tec with Lyn<sup>43</sup> (Fig. 19.1). Btk and Tec kinase are also expressed in platelets and undergo phosphorylation in response to collagen-related peptide (CRP) and collagen. A role for Btk in platelets was recently demonstrated by the observation of decreased *in vitro* collagen-induced aggregation of platelets from XLA patients. It has been described that CRP and collagen, which bind to GPVI, induce Btk phosphorylation which plays a crucial role by regulating tyrosine phosphorylation and activation of PLC $\gamma$ 2<sup>44</sup>.

However, since PLC $\gamma$ 2 tyrosine phosphorylation is only partially reduced in Btk-deficient platelets, there must be alternative pathways that regulate tyrosine phosphorylation of this enzyme. Other tyrosine kinases including Tec, a homologue of Btk, that is expressed in platelets<sup>45</sup> might partially substitute for Btk in regulating the tyrosine phosphorylation of PLC $\gamma$ 2 in XLA platelets<sup>44,46</sup>. Aggregation of Btk deficient platelets in response to low and high concentrations of thrombin was not significantly different from controls indicating that Btk is not essential for thrombin-mediated activation and that thrombin may selectively signal through Tec, the phosphorylation of which is highly dependent on platelet aggregation<sup>46</sup>.

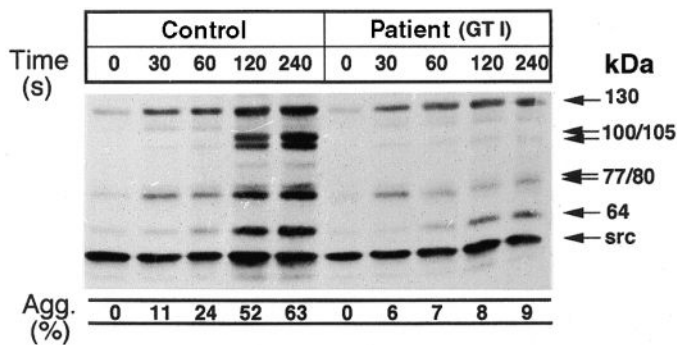


Fig. 19.4. Kinetics of thrombin-induced tyrosine phosphorylation of protein substrates in platelets from control and from type I Glanzmann thrombasthenia patient (GT I)<sup>51</sup>.

### Protein tyrosine phosphorylation events in human platelets

Platelet aggregation in response to a number of agonists is precipitated by an extremely rapid and complex set of signalling pathways. Initial signalling events activated by the G-protein coupled receptors, leads to stimulation of polyphosphoinositide turnover, arachidonic acid metabolism, calcium mobilization and influx and changes in protein phosphorylation on both serine/threonine and tyrosine residues. These events lead to activation of  $\alpha$ IIb $\beta$ 3, the major integrin receptor on platelets, by increasing its affinity/avidity for fibrinogen through a process known as inside-out signalling. In turn, ligand occupancy and clustering of  $\alpha$ IIb $\beta$ 3 trigger outside-in signalling that involves a rapid and dramatic increase in tyrosine phosphorylation of multiple proteins, influences cytoskeletal reorganization and culminates in platelet aggregation<sup>47</sup>. Towards the later stages of aggregation, tyrosine dephosphorylation occurs coincident with activation and/or redistribution of protein tyrosine phosphatases<sup>19</sup>.

#### Inside-out signalling events

In the case of thrombin, which binds to heptahelical receptors coupled to heterotrimeric G proteins, one consequence important to inside-out signalling is activation of the PLC $\beta$  by the  $\alpha$  subunit of Gq resulting in hydrolysis of PI<sub>4,5</sub> P<sub>2</sub> and production of second messengers, diacylglycerol and IP<sub>3</sub>. Mouse platelets that have been rendered null for Gq undergo shape change but fail to aggregate in response to thrombin, ADP or a thromboxane A<sub>2</sub> receptor agonist<sup>48</sup>. Occupancy of many G protein-coupled receptors also leads to rapid activation of non-receptor protein tyrosine kinases including Src, Syk and Pyk2. This leads to

tyrosine-phosphorylated proteins such as Vav (a guanine nucleotide exchange factor for the Rac GTPase)<sup>49</sup>, PLC $\gamma$ <sup>50</sup> and cortactin (a cortical actin-binding protein)<sup>51</sup>. Syk tyrosine phosphorylation takes place during the period when platelets are changing shape upon low concentrations of a thromboxane analogue, IBOP, and cortactin is associated with Syk<sup>24</sup>. This emphasizes the role of cortactin in cell morphological changes which involve cytoskeletal rearrangements. Phosphorylation of cortactin is also described in thrombin-stimulated thrombasthenic platelets, which do not aggregate, confirming the role of the actin-binding protein in the early steps of platelet activation independently of platelet aggregation<sup>51</sup> (Fig. 19.4).

Agonists binding to G protein coupled receptors also lead to activation of non-receptor tyrosine phosphatases including SHP1. Thrombin stimulation has been shown to induce very rapid increases in SHP1 tyrosine phosphorylation and association of SHP1 with Src<sup>9</sup>, suggesting that SHP1 may serve to activate Src by dephosphorylating the COOH-terminal regulatory tyrosine.

#### Outside-in signalling

A dramatic increase in tyrosine phosphorylation is observed in platelets when fibrinogen binds to  $\alpha$ IIb $\beta$ 3 and promotes integrin clustering. Signalling is propagated by interactions between integrin cytoplasmic tails, signalling molecules and structural cytoskeletal proteins including vinculin, talin and  $\alpha$  actinin. When platelets begin to form microscopic aggregates, there is detectable activation of c-Src. Furthermore studies in CHO cell transfectants indicate that fibrinogen binding can trigger activation of Syk due to combination of autophosphorylation and phosphorylation of Src<sup>19</sup>. Full aggregation is then associated with activation of the tyrosine kinase FAK which binds to the cytoplasmic tail of  $\beta$ 3 integrin and results in an increased number of tyrosine phosphorylated substrates. These substrates include the 60 kDa band, Src, the 64 kDa band, the 77/80 kDa cortactin, the 100/105 kDa and 125/130 kDa doublets (Fig. 19.4). The first experimental evidence correlating platelet aggregation with platelet tyrosine phosphorylation came from the study of platelets from patients with Glanzmann thrombasthenia. This inherited platelet disorder, characterized by defective platelet aggregation consecutive to the failure of patient's platelets to bind fibrinogen after agonist stimulation, is due to a quantitative or qualitative defect in  $\alpha$ IIb $\beta$ 3 integrin. The tyrosine phosphorylation patterns of platelets from three thrombasthenic types differed significantly from the controls and showed identical alterations<sup>51</sup>.

The most affected bands were the 100–105 kDa doublet

and the 125 kDa (FAK), which were completely absent, confirming the key role of  $\alpha$ IIb $\beta$ 3 in protein phosphorylation of these substrates (Fig. 19.4). According to Izaguirre et al.<sup>52</sup>,  $\alpha$ IIb $\beta$ 3 mediates tyrosine phosphorylation of a 105 kDa protein in activated platelets which would correspond to  $\alpha$  actinin. The protease calpain<sup>53</sup>, the phosphatases SHIP (an SH2 domain-containing inositol 5 phosphatase) and SHP1 (Src homology protein tyrosine phosphatase) and the non receptor protein tyrosine kinases Tec and FAK are among the proteins that become phosphorylated at this stage.

FAK provides a great number of potential molecular interactions with cytoskeletal and signalling proteins (Fig. 19.3). Autophosphorylation of FAK at Tyr 397 creates a binding site for Src through its SH2 domain. Ligand binding to integrin promotes the association of the Ras-activating complex Grb2–Sos with phosphotyrosine 925 on FAK and activation of MAP kinase. There is evidence that the association of FAK and PI3K is also regulated by tyrosine phosphorylation of FAK<sup>29,54</sup> leading to an increase in PI 3,4 P2 necessary to stabilize fibrinogen binding. The SH2 domain-containing SHIP is tyrosine phosphorylated and relocated to the cytoskeleton in an aggregation and integrin-dependent manner. This inositol phosphatase is known to dephosphorylate PI3,4,5 P3 and contributes to the accumulation of PI3,4 P2 shown to participate in the stabilization of platelet aggregates<sup>55</sup>. The localization of FAK to focal adhesions is, in turn, stabilized by its ability to associate with paxillin and talin<sup>56</sup>.

It has been shown, recently, that outside-in signalling mediated by the  $\alpha$ IIb $\beta$ 3 involves the phosphorylation of tyrosine residues on the cytoplasmic tail of  $\beta$ 3. Shc was the primary protein binding to phosphorylated  $\beta$ 3, and transient Shc phosphorylation was observed when thrombin-stimulated platelets were allowed to aggregate<sup>57</sup>. It is possible that events downstream of Shc binding to phosphorylated  $\beta$ 3 involve activation of the MAP kinase ERK 1/2 pathways.

Upon thrombin stimulation, the protein tyrosine phosphatase SHP1 was found to be tyrosine phosphorylated and translocated to the cytoskeleton after  $\alpha$ IIb $\beta$ 3 engagement<sup>58</sup>. SHP1 can therefore develop its tyrosine phosphatase activity, thereby modulating the signal propagation as described in erythropoietin (EPO)-mediated cell signalling. The association of SHP1 to the EPO receptor causes termination of proliferative signals, probably through receptor dephosphorylation or the dephosphorylation of downstream signalling molecules<sup>59</sup>. We do not know the precise role of SHP1 in platelet signalling. However, the importance of the protein tyrosine phosphatases in general is suggested by the powerful effects that result from

the use of the inhibitor pervanadate. Previous data obtained in our laboratory<sup>60</sup> show that pervanadate induces platelet aggregation accompanied by generation of a huge amount of tyrosine-phosphorylated substrates. This would suggest that tyrosine phosphatase activity is absolutely necessary for platelets to avoid being activated.

## Conclusions

Platelet tyrosine kinases play a critical role in coordinating, integrating and amplifying signals from multiple cell surface receptors. Many of them have been demonstrated to translocate to the cytoskeleton fraction of aggregated platelets.

The translocation and activation of FAK which binds to the integrin could represent one of the earliest signalling events induced by integrin occupancy on the cell surface due to FAK's numerous molecular interactions with signalling and cytoskeletal proteins. The formation of this protein network at focal adhesion sites is responsible for the irreversible platelet aggregation.

Despite considerable progress having been made in this area, many questions remain unsolved including the absence of knowledge of the effectors of the tyrosine kinases. A complete understanding of the substrates of these protein tyrosine kinases may allow us to better understand the role of tyrosine phosphorylation in regulating platelet function. Knockout mouse models as well as new pharmacological agents will help to define the molecular interactions and hierarchies between these enzymes.

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## Platelet signalling: cAMP and cGMP

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### History

The first report that cyclic AMP had an effect on platelets came from Marcus and Zucker<sup>1</sup>, who showed that dibutyl cyclic AMP, a cell permeant cyclic AMP analogue, inhibited platelet aggregation. Ardlie et al.<sup>2</sup> showed that methyl xanthines, which inhibit cyclic AMP phosphodiesterase and hence elevate cyclic AMP levels, also inhibit platelet aggregation and proposed that cyclic AMP is an important mediator of platelet function. In 1969, several groups of investigators reported that the level of platelet cyclic AMP regulated platelet function and showed that both platelet agonists and antagonists could affect the intraplatelet concentration of cyclic AMP<sup>3-6</sup>. Salzman suggested that cyclic AMP might be the ultimate mediator of platelet activation in that agents that inhibited aggregation increased cyclic AMP, while agents that activated platelets did so by lowering the basal level of cyclic AMP<sup>7</sup>. However, the idea that agonists activate platelets by lowering basal cyclic AMP was convincingly refuted by Haslam et al.<sup>8</sup>.

Elevated levels of cyclic GMP also inhibit platelet activation<sup>9,10</sup> and platelet activators elevate cyclic GMP<sup>11</sup>. However, the latter effect has been shown to be a consequence of aggregation and does not occur during other forms of platelet activation<sup>12</sup>. A schematic summary of the information in this review is shown in Fig. 20.1.

### Platelet receptors coupled to adenylyl cyclase activation

Prostaglandins that elevate cyclic AMP levels are potent inhibitors of platelet activation. Adenosine acts through the A<sub>2A</sub> receptor to activate adenylyl cyclase. The rank order of potency of prostaglandins as inhibitors of

human platelet activation is PGI<sub>2</sub> > 6-keto-PGE<sub>1</sub> > PGE<sub>1</sub> > PGD<sub>2</sub> > 6-ketoPGF<sub>2α</sub> > PGE<sub>2</sub> > PGF<sub>2α</sub>. The order reflects the importance of the prostacyclin (IP) receptor in mediating prostaglandin effects on platelet adenylyl cyclase. There are three distinct receptors for PGE<sub>2</sub>, and separate receptors for PGD<sub>2</sub> and PGF<sub>2α</sub>. Table 20.1 shows a summary of the platelet receptors coupled to adenylyl cyclase.

### IP receptor

The IP receptor mediates the action of PGI<sub>2</sub>. PGE<sub>1</sub> also binds to the IP receptor with relatively high affinity. The IP receptor is linked to stimulation of adenylyl cyclase through the G protein G<sub>s</sub>. Cloning and expression of the receptor confirmed that the receptor also couples to Ca<sup>2+</sup> mobilization. The IP receptor is desensitized by protein kinase C but not by protein kinase A<sup>13</sup>. Studies on isolated platelets show that the IP receptor is also susceptible to down-regulation<sup>14</sup>.

### EP<sub>2</sub> receptor

The EP<sub>2</sub> receptor was defined when relaxant and contractile effects of PGE<sub>2</sub> on guinea pig trachea smooth muscle were separated using the selective EP<sub>1</sub> antagonists, SC 19220 and AH 8609<sup>15</sup>. The selective EP<sub>2</sub> agonist butaprost has also proved useful in functional characterization of the receptor. The EP<sub>2</sub> receptor couples to an increase in cyclic AMP. However, recent identification of the EP<sub>4</sub> receptor, which also couples to an increase of cyclic AMP formation, suggests that some of the functions of PGE<sub>2</sub> may not be mediated by EP<sub>2</sub>. The recombinant EP<sub>2</sub> receptor is resist-



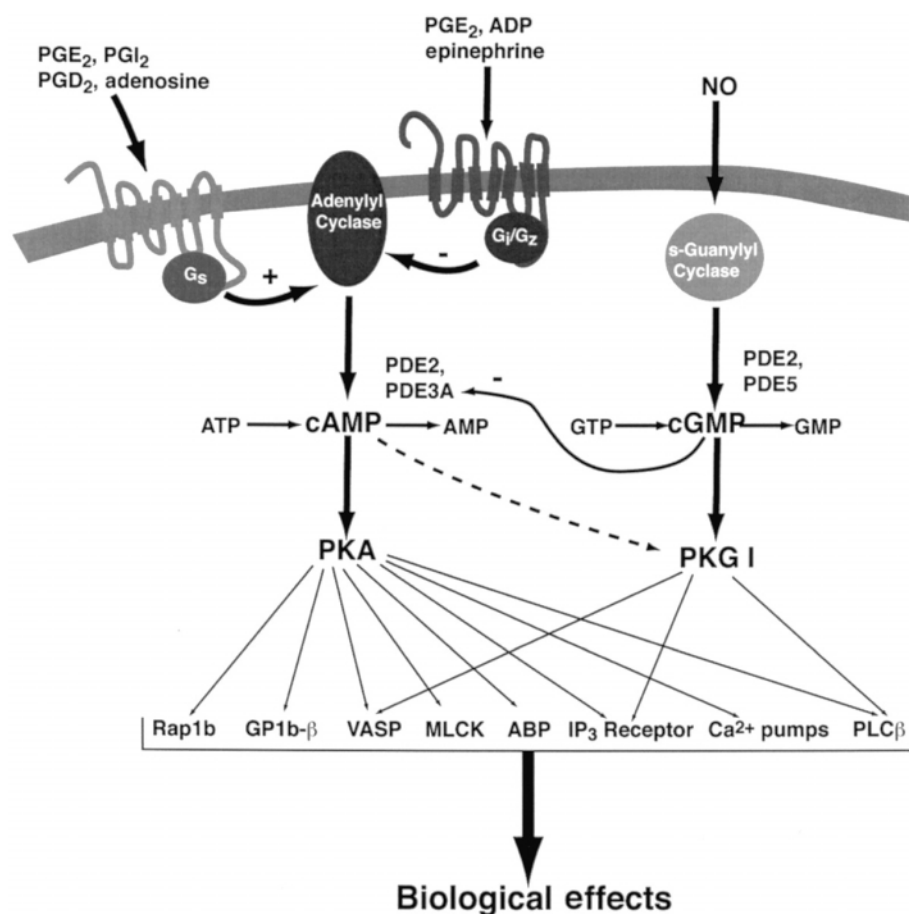


Fig. 20.1. A schematic summary of the receptors coupled to cAMP and cGMP and downstream effectors of cyclic nucleotides in platelets.

**Table 20.1.** Platelet receptors coupled to adenylyl cyclase regulation

Receptor name	Principal agonists	Selective agonists	Antagonists	adenylyl cyclase regulation
IP	PGI <sub>2</sub>	Iloprost		Positively
EP <sub>2</sub>	PGE <sub>1</sub> , PGE <sub>2</sub>	Butaprost	SC 19220, AH 8609	Positively
EP <sub>4</sub>	PGE <sub>1</sub> , PGE <sub>2</sub>		AH 23848B	Positively
DP	PGD <sub>2</sub>	9-deoxy-D <sup>9</sup> -PGD <sub>2</sub>	BWA868C	Positively
A <sub>2</sub> A	Adenosine	CGS 21680	ZM241385	Positively
EP <sub>3</sub>	PGE <sub>2</sub>	Sulprostone, enprostil		Negatively
P <sub>2</sub> Y <sub>12</sub>	ADP		AR-C66096, thienopyridine	Negatively
α <sub>2</sub> a-adrenergic	Epinephrine, norepinephrine	Clonidine	Yohimbine	Negatively

ant to agonist-induced short-term desensitization but undergoes down-regulation in response to long-term desensitization. Because PGE<sub>2</sub> can cause an increase in cyclic AMP and inhibit platelet function, platelets almost certainly possess either the EP<sub>2</sub> or the EP<sub>4</sub> receptor.

### EP<sub>4</sub> receptor

The EP<sub>4</sub> receptor couples to an increase in cyclic AMP, similar to the EP<sub>2</sub> receptor. There are no selective agonists for the EP<sub>4</sub> receptor; the EP<sub>2</sub> selective agonist butaprost is not active at the EP<sub>4</sub> receptor. Actions of PGE<sub>2</sub> at the EP<sub>4</sub> receptor can be assigned by comparison of butaprost and other EP receptor agonists. The receptor has a long carboxy terminal domain containing numerous serine and threonine residues. The EP<sub>4</sub> receptor is susceptible to agonist-induced short-term desensitization, which distinguishes it from the EP<sub>2</sub> subtype. Truncation of the carboxy terminal domain abolishes short-term desensitization<sup>16</sup> and internalization<sup>17</sup>. The EP<sub>4</sub> receptor also undergoes down-regulation following long-term exposure to agonist (>12 h), however, down-regulation is also seen with the EP<sub>2</sub> receptor.

### DP receptor

The DP receptor is the only class of receptor identified to date that mediates the actions of PGD<sub>2</sub><sup>15</sup>. Selective DP agonists include 9-deoxy-D<sup>9</sup>-PGD<sub>2</sub>. Several DP receptor antagonists are available including BWA868C. PGD<sub>2</sub> exerts its effect by causing an increase in intracellular cyclic AMP. The DP receptor cDNA codes for a protein of 359 amino acids and the recombinant receptor couples to stimulation of adenylyl cyclase. The evidence for DP receptors on platelets is based on the fact that PGD<sub>2</sub> inhibits platelet aggregation.

### Adenosine receptors

There are four distinct adenosine receptors named A<sub>1</sub>, A<sub>2A</sub>, A<sub>2B</sub> and A<sub>3</sub>. Platelets contain A<sub>2A</sub> receptors<sup>18</sup>. Adenosine acts via an A<sub>2A</sub> receptor to stimulate adenylyl cyclase in platelets and inhibits platelet activation. Mice lacking A<sub>2A</sub> receptors exhibit slight increases in platelet aggregation<sup>19</sup>. ADP released from platelets can be catabolized to adenosine by ectonucleotidases. This may provide an important mechanism for limiting inappropriate platelet aggregation in an intact blood vessel.

### Receptors coupled to inhibition of adenylyl cyclase

In all cases, receptors coupled to inhibition of adenylyl cyclase have no measurable effect on basal adenylyl cyclase activity. In order to demonstrate a Gi or Gz-dependent decrease in adenylyl cyclase, it must be activated with one of the agonists discussed above or by direct stimulation with forskolin.

### EP<sub>3</sub> receptor

PGE<sub>2</sub> acts through the EP<sub>3</sub> receptor to inhibit adenylyl cyclase<sup>15</sup>. Selective EP<sub>3</sub> agonists include sulprostone, and enprostil. The human EP<sub>3</sub> receptor occurs as at least eight isoforms that are the product of alternative splicing of a single gene<sup>20,21</sup>. The isoforms are identical over the first 369 amino acids but differ in carboxyl-terminal region, which varies in length from 6–65 amino acids following the seventh transmembrane helix. While all of the EP<sub>3</sub> isoforms couple to inhibition of adenylyl cyclase, they differ in properties including susceptibility to desensitization and degree of constitutive activity. Some of the isoforms also couple to Ca<sup>2+</sup> mobilization. The EP<sub>3</sub> receptor has been functionally demonstrated on platelets by the action of the selective agonist, sulprostone in inhibiting adenylyl cyclase<sup>22</sup>. Colocalization of the EP<sub>3</sub> receptor on platelets with the IP, EP<sub>2</sub> or EP<sub>4</sub>, and DP receptors, all of which couple to stimulation of adenylyl cyclase, has led to the description of a novel mechanism of desensitization involving distinct stimulatory and inhibitory receptors<sup>23</sup>. Since prostaglandins are local mediators their concentration might be expected to vary as the autocooid is released and diffuses away from its site of action so that the role of the inhibitory receptor may be to provide homeostatic control of cyclic AMP level, buffering against rapid variations in agonist concentration. Recent studies on EP<sub>3</sub> knockout mice indicate that loss of the EP<sub>3</sub> receptor in a model of venous inflammation protects against formation of intravascular clots<sup>24</sup>.

### ADP receptor

The recently cloned P<sub>2</sub>Y<sub>12</sub> receptor has been shown to be coupled to inhibition of adenylyl cyclase<sup>25,26</sup>. While it has been known for a relatively long time that ADP can inhibit activated adenylyl cyclase, the separation of the action of ADP effects on platelets into a Gi-mediated response via a P<sub>2</sub>T<sub>AC</sub> receptor (P<sub>2</sub>Y<sub>12</sub>) and a Gq-coupled P<sub>2</sub>Y<sub>1</sub> was made only recently<sup>27</sup>.

## Epinephrine receptor

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Platelets contain  $\alpha_2a$  adrenergic receptors that had long been thought to be coupled to the inhibition of adenylyl cyclase via  $G_i^{28}$ . Recently, it was shown in mice lacking  $G_z$ , that epinephrine induced-inhibition of cyclic AMP formation was lacking and aggregation was impaired<sup>29</sup>. The  $G_z$  deficient mice were also more resistant to fatal thromboembolism than normal mice.

## Thromboxane and thrombin receptors

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The question of whether thromboxane A<sub>2</sub> receptors in platelets are coupled to adenylyl cyclase inhibition has been controversial. Ushibucki et al.<sup>30</sup> reconstituted platelet thromboxane A<sub>2</sub> into lipid vesicles along with either G<sub>q</sub> or G<sub>i2</sub>. They found that a thromboxane analogue stimulated GTP $\gamma$ S binding by G<sub>q</sub> and G<sub>i2</sub>. Hirata et al.<sup>31</sup> showed by RT-PCR that platelets contain cDNA for both thromboxane A<sub>2</sub> receptor isozymes. TP $\alpha$  has been shown to couple to activation of adenylyl cyclase and TP $\beta$  has been shown to couple to inhibition of cyclase. However, Paul et al.<sup>32</sup> have indicated that the thromboxane mimetic, U46619, does not inhibit adenylyl cyclase directly. Effects of U46619 on cyclic AMP levels can be attributed to secreted auto-coids such as ADP or epinephrine. Faruqi et al.<sup>33</sup> have reported that PAR1 but not PAR4 can inhibit platelet adenylyl cyclase in cultured cells. Giesberts et al.<sup>34</sup> showed that the PAR1 specific agonist TRAP can inhibit prostacyclin elevated cyclic AMP. This result has been disputed by Kim et al.<sup>35</sup>, who failed to find TRAP-induced adenylyl cyclase inhibition when agents that prevented ADP dependent feedback were included. Thrombin as opposed to the peptides, however, may be able to inhibit adenylyl cyclase

## Adenylyl cyclase

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There are nine isoforms of adenylyl cyclase that have been cloned and characterized from mammalian sources. The exact isoform of adenylyl cyclase in platelets has not been proven directly. However, several indirect lines of evidence indicate that AC 7 is the major isoform of platelets<sup>36,37</sup>. AC 7 was first found in megakaryocytic HEL cells, which are considered to be a model for platelets. In addition, the level of platelet adenylyl cyclase activity has been proposed to be an indicator of alcoholism<sup>38</sup> and HEK293 cells transfected with AC 7 show greater sensitivity to ethanol than cells expressing other adenylyl cyclase isoforms<sup>39</sup>. AC 7, like most other isoforms, is activated by  $G_{\alpha s}$  and inhibited

by  $G_{\alpha i}$ . A brief exposure of cells to ethanol causes an increase in both basal and receptor mediated cyclic AMP production. This effect is probably not direct since ethanol does not activate adenylyl cyclase in membranes. Both ethanol and phorbol dibutyrate (PDBu) treatment enhanced PGE1-stimulated AC activity in HEL cells, platelets and HEK 293 cells transfected with AC 7<sup>40</sup>. Inhibitors of protein kinase C (PKC) blocked the stimulatory effects of both ethanol and PDBu. In cells transfected with AC 7, treatment with either ethanol or PDBu increased the phosphorylation of AC 7. AC 7 was found to be an *in vitro* substrate of protein kinase C and the use of an isoform specific inhibitor implicated PKC delta in the regulation of AC 7. This study is in contrast to earlier studies of Williams et al.<sup>41</sup>, who showed that activation of protein C inhibits the ability of PGE1 to activate platelet adenylyl cyclase. Bushfield et al.<sup>42</sup> also found that phorbol ester treatment of platelets inhibited PGD2 stimulated cyclase, but failed to detect an effect of protein kinase C activation on PGE1-activated adenylyl cyclase.

## Guanylyl cyclase

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Guanylyl cyclase is found as two major forms, a membrane bound form, which is mainly activated by atrial natriuretic factor (ANF) or intestinal peptide, and soluble guanylyl cyclase that is activated by nitric oxide<sup>43</sup>. The primary form of guanylyl cyclase found in platelets is the soluble form<sup>44</sup>. Soluble guanylyl cyclase is a heterodimer that requires both subunits for activity<sup>43</sup>. Soluble guanylyl cyclase contains a prosthetic heme that is the site for interaction with nitric oxide. Several pharmacologic agents (nitrovasodilators) such as sodium nitroprusside activate guanylyl cyclase by released nitric oxide.

## Protein kinases as downstream effectors of cyclic nucleotides

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### Cyclic AMP dependent kinase

The major, if not only, effect of elevated cyclic AMP levels in platelets is to activate cyclic AMP-dependent protein kinase, also known as protein kinase A (PKA). PKA is a tetramer formed of two catalytic subunits and two regulatory subunits. The regulatory subunits suppress the activity of the catalytic subunits. When the regulatory subunits bind cyclic AMP, they release the catalytic subunits allowing the expression of catalytic activity. Thus, the R-subunits of protein kinase may be detected by its ability to bind

cyclic AMP. Using a photoaffinity label, the presence of the regulatory subunits in the particulate fraction in the soluble fraction of platelets has been demonstrated<sup>45</sup>. Using a combination of several techniques, Salama and Haslam<sup>45</sup> have provided convincing evidence for the existence of membrane-bound PKA and soluble PKA in platelets.

### Cyclic GMP dependent kinase

Cyclic GMP can alter several effectors but it is probable that the major target in platelets is cyclic GMP-dependent kinase or PKG. PKG is a homodimer. Each subunit contains a catalytic domain and a regulatory domain. When the regulatory domain binds cyclic GMP a conformational change occurs that unmask the catalytic site. There are two major isozymes of PKG, type I and type II. Platelets contain PKG I<sup>46</sup>. Platelet PKG I but not endothelial or smooth muscle PKG I is essential to prevent intravascular adhesion and aggregation of platelets after ischemia. The defect in platelet PKG I is not compensated by the cyclic AMP/cyclic AMP kinase pathway supporting the essential role of PKG I in prevention of ischemia-induced platelet adhesion and aggregation<sup>47</sup>.

### Cyclic nucleotide phosphodiesterases

The presence of several different PDE isozymes was initially shown by classical enzyme purification techniques<sup>48</sup>. The members of these PDE families were later cloned yielding six distinct PDE isozymes labeled PDE1-PDE6. More recently, five additional nucleotide sequences encoding additional PDE families (PDE7-PDE11) have been identified<sup>49,50</sup>. Current nomenclature uses arabic numbers to designate 1 of the 11 families with a letter indicating individual genes within a family, and further numerals indicating splice variants<sup>51,52</sup>. A summary of PDE families and their distribution in tissues is reported in Table 20.2. Table 20.3 shows the inhibitors of each PDE isoform.

### The major PDE's found in platelets

Hidaka and Asano<sup>48</sup> were the first to resolve the PDE activity of platelets into three distinct peaks. The first enzyme eluted prefers cyclic GMP as substrate with a  $K_m$  of about 1 mM and is selectively inhibited by PDE5 inhibitors. The second peak of activity hydrolyses both cyclic AMP and cyclic GMP almost equally well<sup>53</sup>. The cyclic AMP activity of enzyme is stimulated by cyclic GMP and selectively inhibited

**Table 20.2.** Phosphodiesterase (PDE) families and tissue expression

Isoforms	Tissue expression
PDE1	Cardiac muscle, vascular smooth muscle, brain
PDE2	Platelets, cardiac muscle, endothelial cells
PDE3	Platelets, vascular smooth muscle
PDE4	Cardiac muscle, vascular smooth muscle, brain, inflammatory and immune cells
PDE5	Platelets, vascular smooth muscle
PDE6	Retinal rods and cones
PDE7	T-lymphocytes, B-lymphocytes, skeletal muscle, cardiac muscle
PDE8	Testis, eye, liver, kidney, skeletal muscle, embryo, ovary, brain.
PDE9	Small intestinal smooth muscle, liver, kidney, lung, brain, testis, skeletal muscle, hearth, thymus.
PDE10	Testis and brain
PDE11	Skeletal muscle, prostate, kidney, liver, pituitary salivary glands and testis

**Table 20.3.** Drugs inhibiting PDE isoforms

Isoforms	Drugs
PDE1	Vinpocetine
PDE2	EHNA
PDE3A	Cilostazol, Milrinone, Vesnarinone, and Lixazinone
PDE4	Rolipram, Etazolate, Zardaverine
PDE5	Sildenafil, E4021, DMPP0, Zaprinast, Dypiridamole
PDE6	Sildenafil, Zaprinast, Dypiridamole
PDE8	Dypiridamole
PDE9	Zaprinast
Non-selective	Methylxanthine, theophylline

by a PDE2 inhibitor. A third peak of phosphodiesterase activity hydrolyses cyclic AMP much more rapidly than cyclic GMP, has a high-affinity for both cyclic AMP and cyclic GMP and is selectively inhibited by PDE3 inhibitors. The effects of isozyme-selective inhibitors on total PDE in lysed platelets also indicate that these PDE isozymes account for at least 90% of platelet PDE activity. Thus, the best evidence indicates that, in platelets, cyclic AMP is hydrolysed by PDE3 and PDE2 and cyclic GMP is hydrolysed by PDE5 and PDE2.

### Phosphodiesterase 2 (PDE2) family

PDE2 isozymes were initially named cyclic GMP-stimulated PDE2 because cyclic GMP enhances PDE2 catalyzed cyclic AMP hydrolysis. These enzymes hydrolyse both cyclic AMP and cyclic GMP with similar  $V_{max}$  and cooperative kinetics. They are homodimers of 105 kDa containing two allosteric cyclic GMP binding sites per subunit. One PDE2 gene with three amino-terminal splice variants (PDE2A, PDE2A2 and PDE2A3) has been identified. The only known inhibitor is erythro-9-(2-hydroxy-3-nonyl)adenine (EHNA) which can also inhibit adenosine deaminase.

The human platelet PDE2 was purified by affinity chromatography on cyclic GMP-Sepharose. The resulting enzyme had 105 kDa subunits and hydrolysed cyclic AMP and cyclic GMP at similar rates and half-maximal activities were obtained with approximately 50  $\mu$ M cyclic AMP and 35  $\mu$ M cGMP<sup>54</sup>. Hydrolysis of both cyclic AMP and cyclic GMP was positively cooperative. In addition, 5–10  $\mu$ M cyclic GMP stimulated the activity of the purified enzyme up to tenfold. The particular PDE2 isozyme present in platelets has not been identified.

### Phosphodiesterase 3 (PDE3)

Members of the PDE3 family have been referred to as cyclic GMP-inhibited cyclic AMP phosphodiesterases, reflecting relatively high affinity for both cyclic AMP and cyclic GMP but a much lower efficacy of hydrolysis for cyclic GMP, which would mean that cyclic GMP may competitively inhibit cyclic AMP hydrolysis by PDE3<sup>55</sup>. There is evidence that this mechanism could occur in platelets in which agents that activate guanylyl cyclase potentiate the effects of activators of adenylyl cyclase<sup>56</sup>. Two genes that encode PDE3 isozymes have been identified. Both cDNAs encode 124 kDa proteins with N-terminal hydrophobic amino acid sequences. PDE3B is membrane-bound and PDE3A is usually a soluble enzyme that is found in platelets<sup>57</sup>.

Because of its presence in cardiovascular tissue (platelets, as well as cardiac and vascular smooth muscle) there has been much interest in developing specific inhibitors of PDE3A. Specific PDE3A inhibitors include cilostazol, milrinone, vesnarinone, and lixazinone.

It has been shown that PDE3A can be phosphorylated in intact platelets when cyclic AMP levels are raised. The cyclic AMP phosphodiesterase activity of phosphorylated PDE3A isolated from the platelets shows enhanced activity<sup>58</sup>. The regulation of PDE3A by in vitro phosphorylation through the catalytic subunit of PKA has also been shown to cause an increase in activity<sup>58</sup>.

### Phosphodiesterase 5 (PDE5)

PDE5s are homodimers with 100 kDa subunits. They are cyclic GMP-specific phosphodiesterases ( $K_m \approx 5 \mu$ M) displaying very little cyclic AMP hydrolysis activity. In addition to the catalytic domains, there are two high-affinity non-catalytic cyclic GMP-binding sites homologous to those found in the PDE2 family. One PDE5 gene has been found and two splice variants have been cloned. Three PDE5 selective drugs are available: E4021, DMPPPO, and sildenafil. The bovine platelet enzyme has been purified by a combination of chromatography, affinity chromatography and density-gradient centrifugation<sup>59</sup>. The platelet PDE5 has a molecular weight 190 000 and is composed of two subunits (MW 95 000). This enzyme can be phosphorylated by both cyclic AMP- and cyclic GMP-dependent protein kinases, suggesting a possible mechanism of regulation. Platelet PDE5 shows the same relative sensitivity to selective inhibitors as PDE5 from other cells. PDE5 inhibitors alone have little effect on platelet responses to aggregating agents. However, they do potentiate the effects of agents that activate guanylyl cyclase.

### Regulation of cyclic AMP levels by phosphodiesterases

With the discovery of type 3 phosphodiesterase and the fact that cyclic GMP is a competitive inhibitor of PDE3, it became important to know whether cyclic GMP-dependent processes had a direct effect on platelet function or whether all cyclic GMP's effects could be explained by elevation of cyclic AMP. Early studies indicated a synergistic interaction between sodium nitroprusside and prostacyclin in inhibiting platelet aggregation<sup>9</sup>. Experiments in which the effect of guanylyl cyclase activators on cyclic AMP levels was measured directly were first done using rabbit platelets. Incubation of the platelets with sodium nitroprusside caused dose-dependent inhibition of platelet function associated with large increases in cyclic GMP and up to 3.0-fold increases in cyclic AMP. However, addition of sodium nitroprusside with a concentration of PGE1 that had little effect alone caused much larger increases in cyclic AMP and greatly enhanced the inhibition of platelet aggregation. Inhibition of adenylyl cyclase with 2',5'-dideoxyadenosine (DDA) diminished the increases in cyclic AMP caused by nitroprusside in both the presence and absence of PGE1 but reduced the inhibition of platelet function caused by the nitrovasodilators only in the presence of PGE1. These results suggest that, although cyclic GMP may mediate the inhibition of rabbit platelet function

by high concentrations of nitrovasodilators added alone, the synergistic interaction of lower concentrations with PGE1 depends on an enhanced accumulation of cyclic AMP. Later, it was shown that in human platelets as well, nitrovasodilators synergize with activators of adenylyl cyclase to inhibit platelet function<sup>60</sup>. However, enhancement of cyclic levels by nitroprusside added alone is limited to 50% in human platelets as opposed to 200% in rabbit platelets.

While it was immediately recognized that PDE3 is an important regulator of cyclic AMP levels, the importance of PDE2 could be questioned since ENHA has no direct effect on cyclic AMP levels<sup>53</sup>. This may be due to the relatively high Km of PDE2 for cyclic AMP. EHNA can cause small increases in the presence of high concentrations of prostacyclin. EHNA potentiated nitroprusside-induced cyclic AMP formation and inhibition of platelet aggregation. Based on these data Haslam et al.<sup>53</sup> proposed 'a PDE inhibitor that acts on both PDE3 and PDE2 could be a particularly potent antithrombotic'.

## The effect of the adenylyl cyclase and guanylyl cyclase systems on platelet function

### Cyclic AMP

#### Level of cyclic AMP and inhibition of platelet activation

Inhibition of platelet activation begins when cyclic AMP starts to increase and is proportional to the level of cyclic AMP at the time of response stimulation; the degree of inhibition does not appear to depend on the mechanism by which cyclic AMP is increased<sup>61,62</sup>. This strongly indicates that it is cyclic AMP itself that mediates the inhibition of platelet activation. Small changes in cyclic AMP can have profound effects on platelet activation. For example, about a two- to three-fold rise in cyclic AMP above basal can inhibit ADP-induced aggregation and a 20% increase can inhibit by about 50%<sup>62</sup>. However, one should be cautioned that all the measured basal cyclic AMP may not be available to activate protein kinase A.

#### Protein phosphorylation induced by elevated cyclic AMP

Incubation of <sup>32</sup>PO<sub>4</sub>-labelled platelets with agonists that elevate cyclic AMP causes prominent labelling of two proteins with molecular weights of 24 kDa (P24) and 50 kDa (P50) within the time period required for development of inhibition of platelet responses<sup>63</sup>. P24 was found to be

enriched in particulate fractions containing storage granules, mitochondria, and microsomes while P50 was confined to the soluble fraction<sup>64</sup>. P24 was proposed to have a function in regulation of cytosolic Ca<sup>2+</sup> concentration but has been shown to be the  $\beta$ -subunit of glycoprotein 1b<sup>65</sup>. Platelet responses to agonists are still inhibited by elevating cyclic AMP in Bernard-Soulier platelets, which lack GP1b.

In the early studies of Haslam's group<sup>63</sup>, other proteins with molecular weights of 22, 36, 49, and 82 kDa are also phosphorylated. However, these phosphorylations occur too slowly to be of importance for inhibition of platelet response. The 22 kDa protein has been identified as rap1b, a member of the ras super family of small GTP-binding proteins<sup>66</sup>. However, rap1b evidently plays no role in inhibition of platelet function<sup>66</sup> because when it was completely converted into its phosphorylated form in iloprost (a stable form of prostacyclin) pretreated platelets and the iloprost was removed, the platelets that contained phosphorylated rap1b were found to respond when challenged with a wide variety of agonists.

The 49 kDa protein is likely to be the 46/50 kDa vasodilator-stimulated phosphoprotein (VASP). VASP is associated with microfilaments and concentrated at sites of focal adhesion plaques. VASP is phosphorylated by both PKG and PKA at three sites<sup>67</sup>. Both kinases phosphorylate the 'serine 1 site' rapidly and the threonine 1 site 'slowly' *in vitro*. However, PKG phosphorylates the 'serine 2 site' more rapidly than PKA. In intact platelets both serine sites are phosphorylated in response to either cyclic GMP or cyclic AMP elevation. In mice in which the VASP gene was deleted, cyclic AMP- and cGMP-mediated inhibition of platelet aggregation required significantly higher concentrations of either cyclic nucleotide<sup>68</sup>. Cyclic-nucleotide-mediated inhibition of increases in cytosolic Ca<sup>2+</sup> and granule secretion were not altered by the absence of VASP. These authors suggest that cyclic nucleotide-dependent phosphorylation of VASP may involve regulation of integrin function.

Myosin light chain kinase (MLCK) has been shown to be a substrate for cyclic AMP-dependent protein kinase<sup>69</sup>. Phosphorylation of MLCK interferes with the ability of calmodulin to bind to MLCK and thus causes an overall inhibition of MLCK.

Actin-binding protein (ABP; 250 kDa), thought to stabilize actin filaments, is also phosphorylated in platelets. ABP phosphorylation was induced by prostaglandin E1 (PGE1) in intact platelets and a platelet kinase preparation phosphorylates ABP in the presence of cAMP<sup>70</sup>. In the PGE1-treated platelets, ABP was proteolysed at a slower rate than in control platelets indicating the cAMP-

dependent phosphorylation of ABP may protect ABP from proteolysis by calpain.

### **The effect of elevated cyclic AMP on platelet signal transduction pathways**

When platelets are activated, there is an increased phosphorylation of a 20 kDa protein (myosin light chain) and 47 kDa protein (pleckstrin). Inhibition of platelet responses by substances that elevate cyclic AMP is accompanied by a substantial decrease in the phosphorylation state of both the P20 and P47 proteins. For myosin light chain, the decrease may be explained by inactivation of  $\text{Ca}^{2+}$ -calmodulin-dependent myosin light chain kinase by phosphorylation by the catalytic subunit of cyclic AMP-dependent protein kinase. However, the physiological significance has been questioned for smooth muscle relaxation<sup>71</sup>. Increase in cyclic AMP also causes decrease in the agonist-stimulated cytosolic concentration of  $\text{Ca}^{2+}$  which also may contribute to the inactivation of the myosin light chain kinase. For P47, the decrease is also most likely due to inhibition of its kinase, protein kinase C, through lowering of the concentration of its activators  $\text{Ca}^{2+}$  and diacylglycerol. Elevated cyclic AMP levels in activated platelets reverses the formation of the cytoskeleton<sup>72</sup>.

The level of cytosolic  $\text{Ca}^{2+}$  increases rapidly when platelets are stimulated. This increase is inhibited by prior elevation of the cyclic AMP level of the platelets<sup>73</sup>. Elevating cyclic AMP after platelet activation reverses the activation process which is accompanied by a decrease in the cytosolic  $\text{Ca}^{2+}$  level<sup>73</sup>. These observations suggest that the cytosolic  $\text{Ca}^{2+}$  level in platelets can be controlled by cyclic AMP.

Platelet activation by agonists causes hydrolysis of phosphatidylinositol and polyphosphoinositols with a corresponding formation of inositol phosphates and diacylglycerol, the latter being rapidly phosphorylated to phosphatidic acid. Elevation of cyclic AMP has been shown to inhibit agonist-induced accumulation of phosphatidic acid and diacylglycerol<sup>74</sup>. Rink et al.<sup>75</sup> showed that the slow activation of platelets by direct activation of protein kinase C by phorbol ester or exogenous DG is not inhibited by elevation of cyclic AMP.

Stimulation of cyclic AMP formation has no effect on the conversion of added arachidonic acid to prostaglandins and other metabolites, while the agonist-induced liberation of the fatty acid from endogenous phospholipids is inhibited<sup>76</sup>. These results suggest that, while neither cyclooxygenase nor thromboxane synthetase is inhibited by elevated cyclic AMP, phospholipase A2 is inhibited.

### **Cyclic AMP-stimulated uptake of $\text{Ca}^{2+}$ by platelet microsomal particles**

Microsomal preparations from platelets have been shown to accumulate  $\text{Ca}^{2+}$  in an ATP-dependent manner. It has been shown that this uptake is stimulated by addition of cyclic AMP and PKA. During cyclic AMP-stimulated uptake, a protein with a molecular weight of about 22 kDa is phosphorylated and when the membrane vesicles were prepared from platelets with elevated cyclic AMP, the microsomal vesicles contained phosphorylated P24 and had greater  $\text{Ca}^{2+}$  uptake than membranes from control platelets<sup>77</sup>. This group has suggested that the calcium pump in the membrane vesicles may be regulated by cyclic AMP-dependent phosphorylation of a P22–24 protein and that this pump may be important in regulating  $\text{Ca}^{2+}$  levels in the platelet cytoplasm and controlled by external agonists via cyclic AMP. While two proteins in the range have been identified, neither can regulate the  $\text{Ca}^{2+}$  pump since one is rap1b and the other is part of glycoprotein 1b. Adunyah and Dean<sup>78</sup> showed that antibodies raised against phospholamban (the regulator of the sarcoplasmic reticular  $\text{Ca}^{2+}$  pump in the heart) did not crossreact with the platelet 22 kDa protein. In addition, when the platelet protein was boiled in sodium dodecylsulfate, it did not dissociate into lower molecular weight subunits characteristic of cardiac phospholamban. Recently, Dean et al.<sup>79</sup> showed that elevated cyclic AMP resulted in phosphorylation of the platelet membrane  $\text{Ca}^{2+}$  pump on a tyrosine residue. This phosphorylation was found to inhibit the activity of this pump.

### **Cyclic AMP-dependent regulation of the IP<sub>3</sub> receptor**

The IP<sub>3</sub> receptor is a receptor operated  $\text{Ca}^{2+}$  channel that is opened by an increase in cytosolic inositol 1,4,5-trisphosphate (IP<sub>3</sub>). Contrary to earlier reports, O'Rourke et al.<sup>80</sup> found that PKA-dependent phosphorylation of the IP<sub>3</sub> receptor had no effect on its ability to release stored  $\text{Ca}^{2+}$ . Quinton et al.<sup>81</sup> found that IP<sub>3</sub> receptor was initially dephosphorylated, its rate of  $\text{Ca}^{2+}$  release was not inhibited by cyclic AMP-dependent phosphorylation. However, the rate of  $\text{Ca}^{2+}$  release from IP<sub>3</sub> receptor that had been phosphorylated by endogenous kinase showed a twofold greater rate of  $\text{Ca}^{2+}$  release and phosphorylation by PKA inhibited this rate by 50% back to the dephosphorylated rate. In studies on living megakaryocytes, Tertyshnikova and Fein<sup>82</sup> concluded that the 'principal mechanism of cyclic AMP-dependent inhibition of  $\text{Ca}^{2+}$  mobilization in

megakaryocytes appears to be by inhibition of IP<sub>3</sub>-induced Ca<sup>2+</sup> release'. Wojcikiewicz and Luo<sup>83</sup> found that all three types of IP<sub>3</sub> receptors could be phosphorylated by PKA. However, they found that in a variety of permeabilized cells types there was a slight increase in sensitivity to IP<sub>3</sub> if the IP<sub>3</sub> receptor was phosphorylated irrespective of the isoforms of IP<sub>3</sub> receptor present.

### **The effect of cyclic AMP on collagen-stimulated platelet activation**

Most of the conclusions about the effect of increases in cyclic AMP and cyclic GMP on collagen-stimulated platelet activation were drawn from experiments using agonists whose receptors couple through guanine nucleotide binding proteins (G proteins) to their effector enzyme, usually phospholipase C. The exact mechanism by which collagen activates platelets was unclear at this time and experiments were done with low concentrations of collagen which relied on the products of secretion such as ADP and products of arachidonic acid metabolism such as thromboxane A<sub>2</sub> to activate the majority of the platelets. Researchers studying adhesion of platelets to collagen routinely used an agent that elevated cyclic AMP in the incubation buffer. In many cases, elevation of cyclic AMP cause enhanced adhesion of platelets to collagen<sup>84</sup>. Surprisingly, Smith et al. found that several agents that elevated cyclic AMP failed to inhibit collagen-induced Ca<sup>2+</sup> mobilization but enhanced the level of Ca<sup>2+</sup> mobilization commensurate with the increase in adhesion. In addition, and in contrast to activation by thrombin, neither collagen-induced secretion nor phosphatidic acid formation was decreased by elevation of cyclic AMP. In addition, the collagen-induced tyrosine phosphorylation of platelet proteins was not inhibited by elevation of platelet cyclic AMP levels<sup>85</sup>. The significance of these results became clearer when it was proposed that collagen signal transduction occurs through a tyrosine kinase-dependent activation of phospholipase C $\gamma$ <sup>86,87</sup>. This mechanism for collagen-induced signalling is now well established and significantly different from the mechanism for G protein-coupled receptors. Figure 20.2 shows the effect of elevation of either cyclic AMP or cyclic GMP on collagen-induced Ca<sup>2+</sup> mobilization. While ADP-induced Ca<sup>2+</sup> mobilization is substantially inhibited by elevated cyclic nucleotides, collagen mediated Ca<sup>2+</sup> signalling is almost unaffected either in the absence or presence of the extracellular calcium chelator EGTA. In the case of carbacyclin-treated platelets, some enhancement of Ca<sup>2+</sup> mobilization is seen due to enhanced adhesion<sup>84</sup>.

Consistent with the effect of collagen, Riondino et al.<sup>88</sup> found that it is possible to bypass cyclic AMP's inhibitory effect on fibrinogen binding site exposure induced by the thromboxane A<sub>2</sub> synthetic analogue U46619, the snake venom toxin convulxin, or by the direct PKC activator OAG, by concomitantly activating a Gi-coupled receptor by means of epinephrine or by inducing cytosolic calcium influx by means of ionomycin.

### **Level of cyclic GMP and inhibition of platelet activation**

The effects of elevated cyclic GMP are difficult to distinguish from those of cyclic AMP. Again, a small increase in cyclic GMP appears to be sufficient for inhibition of platelet activation by agonists. A major problem is the fact that increases in cyclic GMP result in increases in cyclic AMP via inhibition of PDE3. In addition, there is evidence for cross-talk between the two cyclic nucleotide systems at the level of protein kinases<sup>53</sup>. For example, cyclic AMP can activate PKG I.

### **Protein phosphorylation induced by elevated cyclic GMP**

There are no major differences in the proteins phosphorylated in platelets when cyclic GMP is elevated from those seen with the elevation of cyclic AMP<sup>62</sup>. As mentioned above, it has been shown that VASP is a substrate for both PKA and PKG<sup>67</sup>. In addition, the IP<sub>3</sub> receptor appears to be phosphorylated by cyclic GMP-dependent mechanisms<sup>82</sup>.

### **Protein kinase G I deficient mice**

One of the best pieces of evidence implicating the cyclic GMP pathway is from a mouse model in which the mice are PKG I-deficient<sup>47</sup>. The authors studied platelet-endothelial cell and platelet-platelet interactions in an ischemia/reperfusion model. Platelet PKG I but not endothelial or smooth muscle PKG I was found to be essential to prevent intravascular adhesion and aggregation of platelets after ischemia. The authors conclude: 'the defect in platelet PKG I is not compensated by the cAMP/cAMP kinase pathway supporting the essential role of PKG I in prevention of ischemia-induced platelet adhesion and aggregation.'



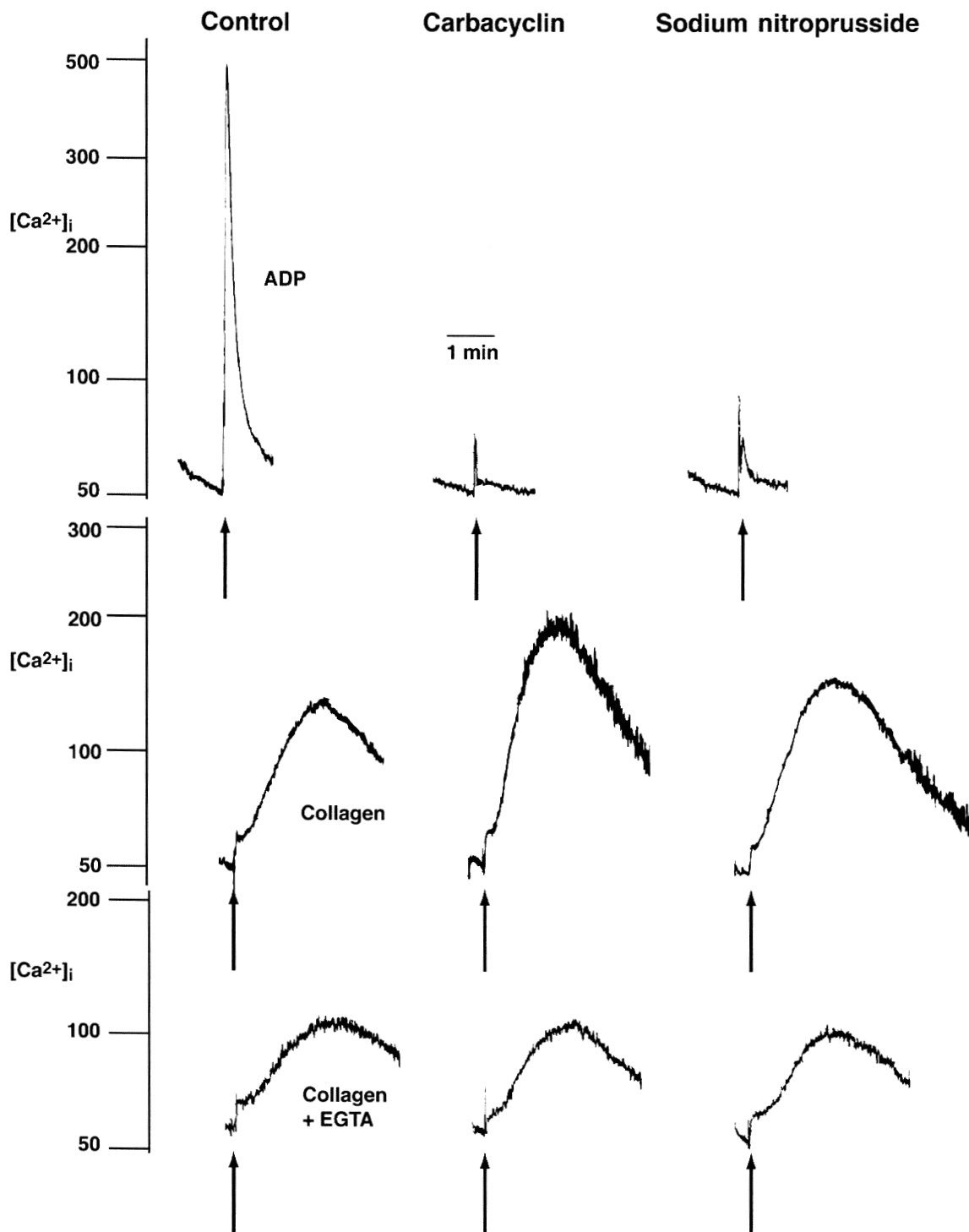


Fig. 20.2. The effect of elevated cyclic nucleotides on collagen-induced  $\text{Ca}^{2+}$  mobilization. Platelets were aspirinated and loaded with Fura-2. Collagen samples were treated with creatine phosphate (20 mM), creatine phosphokinase (50 U/ml), and 1 mM SC57101 (an aggregation inhibitor). ADP samples contained 1  $\mu\text{M}$  SC57101. Collagen samples were stimulated with 50  $\mu\text{g}/\text{ml}$  of Horm collagen. ADP samples were stimulated with 10  $\mu\text{M}$  ADP. The EGTA samples contained 0.5 mM EGTA. Cyclic AMP was elevated with 100 nM Carbacyclin an analogue of prostacyclin. Cyclic GMP levels were elevated with 100  $\mu\text{M}$  sodium nitroprusside.

## Conclusions

After one third of a century the exact mechanism by which cyclic nucleotides inhibit platelet function remains unknown. Of the several possible mechanisms, none can fully explain the difference between the effects of elevated cyclic nucleotides on G protein-coupled events and collagen-induced platelet activation. It seems likely that this difference points to either G proteins themselves or one of the various isoforms of phospholipase C as targets of cyclic nucleotide regulation. There has been one report that PKG II can regulate  $G_{\alpha q}$  through both phosphorylation of  $G_{\alpha q}$  and by binding to  $G_{\alpha q}$ <sup>89</sup>. Since G protein-coupled agonists activate phospholipase C of the  $\beta$  isoform, the effects of cyclic nucleotides could be explained if  $\beta$  but not  $\gamma 2$  isoforms of phospholipase C were inhibited by cyclic nucleotide-dependent phosphorylation. It has been shown that PKA directly phosphorylates serine residues of the PLC- $\beta 2$  in vivo and in vitro<sup>90</sup>. Cyclic AMP-dependent protein kinase specifically inhibits  $G\beta\gamma$ -activated PLC- $\beta 2$  activity. Recently, it has been shown that both phospholipase C- $\beta 2$  and phospholipase C- $\beta 3$  can be phosphorylated by both PKA and PKG<sup>91,92</sup> by expressing phospholipase C- $\beta 3$  in COS cells this group showed that phosphorylation of a specific serine inhibited  $G_{\alpha q}$ -stimulated PLC $\beta 3$  activity. Whether this mechanism accounts for cyclic nucleotide-dependent inhibition of platelet activation remains to be seen. However, inhibition of PLC $\beta$  would account for the difference seen between platelet activation by collagen and by other agonists.

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## Platelet adhesion

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Platelets contribute to the maintenance of the normal circulation of blood through the vasculature by taking care of the integrity of the vessel wall<sup>1</sup>. They continuously 'examine' the inner lining of the vessel wall for leakage without adhering to the intact endothelial cell lining. They respond immediately, however, when the endothelium is injured and they rapidly adhere to the exposed subendothelial structures<sup>2</sup>. After the adherence of a first layer of platelets a series of complicated reactions are initiated that finally result in the formation of a platelet plug stabilized by an insoluble fibrin network. This so-formed hemostatic plug prevents further loss of blood. Platelets, however, cannot distinguish between a dissected vessel wall, which results in bleeding wounds, and superficial lesions of the endothelium occurring in atherosclerosis. Adhesion of platelets to these superficial lesions may be the onset of the formation of a plug in an intact vessel, a mural thrombus that occludes a blood vessel and prevents the supply of blood to a vital organ, resulting in a serious pathological condition, such as heart attack and stroke.

Platelet adhesion is the first step in the hemostatic response and knowledge of the mechanisms of platelet adhesion is an important lead to the development of selective antithrombotic drugs. The adhesive proteins responsible for optimal platelet adhesion are different from adhesive proteins involved in the adhesion of other cells. Platelet adhesion has several unique features. Platelet adhesion occurs in flowing blood, which means that the adhesive process must occur rapidly and the interaction must be firm enough to resist the shear forces of the flowing blood. This has led to the evolution of adhesive receptors and ligands that are unique for platelet adhesion.

### General mechanism of adhesion

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Before the first interaction of a platelet with the injured vessel wall, von Willebrand factor present in plasma will bind to exposed collagens present in the vessel wall<sup>3</sup>. The initial contact of platelets can then take place via the interaction of the platelet receptor complex GPIb/V/IX with the immobilized von Willebrand factor (vWF)<sup>4</sup>. This interaction initiates the tethering of circulating platelets to the vessel wall<sup>5</sup>. Platelets roll over von Willebrand factor in the direction of flow driven by the shear forces (Fig 21.1). A continuous loss of GPIb-vWF interactions at one side of the platelet and the formation of new interactions at the other side of the platelet support the rolling process<sup>6</sup>. The rolling of the platelet will finally end in firm attachment through the participation of other platelet membrane receptors, some of which become available via activation of the rolling platelets. The adhesion of platelets to subendothelium involves, besides the GPIb/V/IX complex, the functioning of at least four other receptors: the collagen receptors  $\alpha_2\beta_1$ <sup>7</sup> and GPVI<sup>8,9</sup>, the fibronectin receptor  $\alpha_5\beta_1$ <sup>10</sup> and the fibrinogen receptor  $\alpha_{IIb}\beta_3$  (GPIIb/IIIa)<sup>11</sup>. Spreading of the platelet will follow the firm adhesion and is essential to withstand the shear forces exerted by the flowing blood. GPIIb/IIIa mediates the spreading of the platelets<sup>12</sup>. Before the firm adhesion and spreading is established, part of the platelets will detach and return to the circulation<sup>13</sup>. The spread platelet is a new surface for a next platelet to adhere to and is the basis of a platelet aggregate. Historically, platelet adhesion and platelet aggregate formation were considered as two separate stages of the formation of a thrombus. Nowadays, the similarities between adhesion and aggregation are more prominent<sup>14,15</sup>. For both adhesion and aggregation, circulating platelets must attach to an adhesive protein, while shear forces are generated on the platelet by the flowing blood. An adhered and subse-

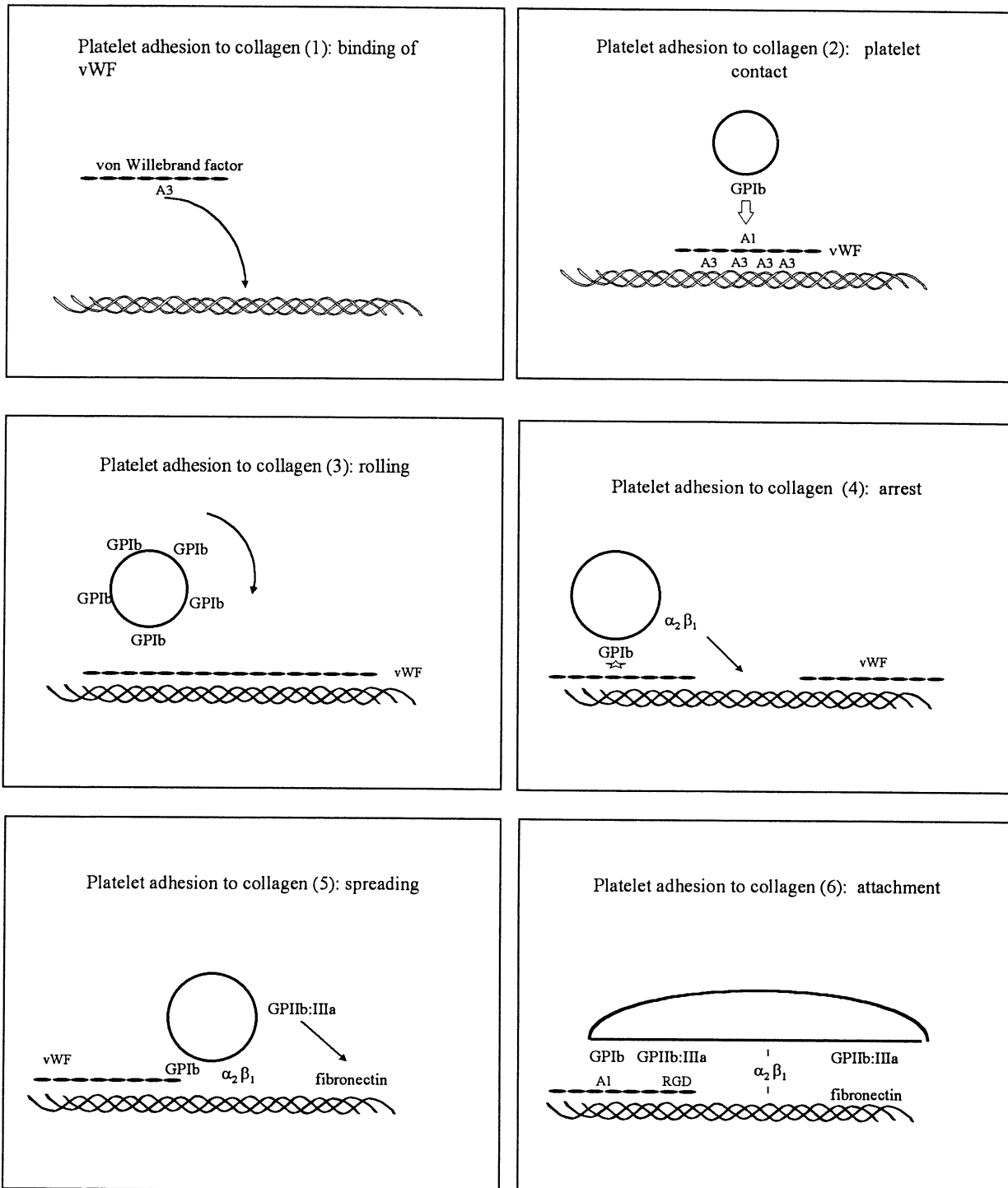


Fig. 21.1. Sequence of events in platelet adhesion.

quently spread platelet binds fibrinogen and von Willebrand factor from the circulation to GPIIb/IIIa and GPIb, respectively, creating an ideal surface for the next platelet to adhere. Although platelet adhesion is dependent on additional receptors such as  $\alpha_2\beta_1$  and aggregation is strongly supported by responses to thrombin and ADP, the fundamental mechanism for both processes, an interaction between a platelet receptor (GPIIb/IIIa and GPIb/V/IX) with its substrate (fibrinogen and vWF) in flowing blood is similar<sup>16,17,18</sup>. This is highlighted by the important observation that, both for adhesion and aggregation, the importance of von Willebrand factor increases with increasing shear rate.

### Influence of shear stress

Knowledge of the rheological factors that influence the adhesion of platelets was greatly enhanced by the development of perfusion chambers<sup>19</sup>. These chambers enable the study of platelet adhesion under conditions of flow. The relevant fluid dynamic factors to describe the influence of flow on platelet adhesion are shear rate ( $\dot{\gamma}$ ) and shear stress ( $\tau$ )<sup>20</sup>. The fluid shear stress is defined as the tangential force per unit area exerted in the direction of flow. In case of a liquid obeying Newton's Second Law, the shear stress is proportionally related to the shear rate:  $\tau = \eta \cdot \dot{\gamma}$  in which  $\eta$  is the viscosity of the blood. Shear rates and shear stresses can be calculated for various parts of the vasculature from the known vascular diameter and volume flow rates (Table 21.1). The shear rates are low in large vessels but increase up to 5000 s<sup>-1</sup> in capillaries. The shear rates in stenosed arteries are even much higher. One should keep in mind that the calculations of shear rate and shear stress are based on a simplified model. All the calculations are based on a steady flow and not on pulsatile flow. The calculations are also related to laminar flow, while branching and abnormal vascular curvature will strongly influence rheology. Also blood is not a Newtonian fluid, the viscosity of blood is not constant but decreases with increasing shear rates. The non-Newtonian flow properties of whole blood are due to the presence of red blood cells as they are the major part of the cellular volume of the blood. Nevertheless the use of shear rates and shear stress enables us to describe the role of blood flow in platelet adhesion.

One of the main factors determining platelet adhesion is the transport of platelets to the vessel wall surface. This process is driven by the shear rate of the blood in combination with the presence of red cells. Platelet adhesion increases with increasing shear rate up to about 2000 s<sup>-1</sup>. At higher shear rates, platelet adhesion remains more or

**Table 21.1.** Fluid dynamic parameters

Vessel	Diameter (cm)	Wall shear rate (s <sup>-1</sup> )	Wall shear stress (dyne/cm <sup>2</sup> )
Aorta ascendens	2.3–4.5	50–300	2–10
Arteria femoralis	0.5	350	11
Small arteries	0.03	1500	55
Capillaries	0.0006	2000–5000	<sup>a</sup>
Large veins	0.5–10	200	7
Vena cava inferior	2.0	50	2
Stenosed arteries	0.025	40000	3000

*Notes:*

The results presented are from references<sup>20, 133, 134, 135, 136</sup> and<sup>137</sup>.

<sup>a</sup> Calculations are not meaningful since flow regime is totally different and bulk viscosity is not applicable.

less unchanged till shear rates of 5000 s<sup>-1</sup>. The distribution of red cells in flowing blood is not homogeneous. Red cells are concentrated in the middle of the stream, while the smaller platelets are pushed aside towards the vessel surface due to collisions with the red cells. The consequence is that the local concentration of platelets near the vessel wall increases with increasing shear rate. The increased local platelet concentration is one of the main reasons for the increased adhesion found with increasing shear rate. Two rate-limiting mechanisms can be distinguished for platelet adhesion<sup>21</sup>. When the wall shear rate is low (<300 s<sup>-1</sup>), the adhesion is diffusion controlled. When the wall shear rate is high (>1300s<sup>-1</sup>), the adhesion is reaction controlled. In the area in-between both shear rates both processes contribute.

The transport of platelets by the red cells is dependent on the hematocrit, red cell rigidity and red cell size. The rotation of the red cells enhances the diffusion of the platelet to the vessel wall<sup>22</sup>. This effect is dependent on the rigidity of the red cells. Together with the plasma viscosity the red cell rigidity determines the viscosity of the blood<sup>23</sup>. An increase in plasma viscosity will result in an increase in platelet deposition<sup>24</sup>. The increased blood viscosity found in patients with, e.g. diabetes mellitus might explain in part the increased thrombotic tendency that has been found in these patients.

The influence of shear forces on platelet adhesion is depicted in Fig. 21.2. The influence of shear can be described by the factors influencing the concentration of platelets in the boundary layer near the vessel surface (diffusion controlled) and by the affinity of the receptors for their adhesive proteins in combination with the forces exerted on the platelet (reaction controlled). Platelet con-



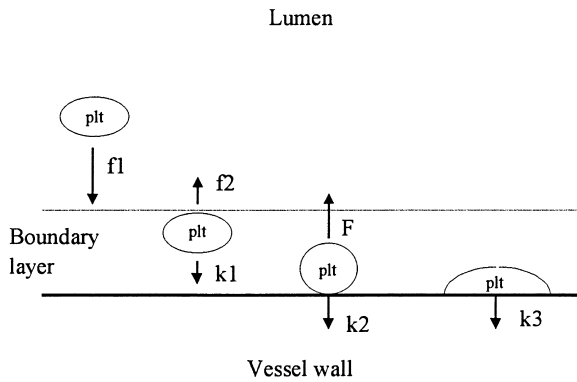


Fig. 21.2. Schematic representation of the hemodynamic and biochemical processes involved in platelet adhesion under flow. Platelet concentration in the boundary layer is increased due to convective diffusion of platelets from the bulk concentration ( $f_1$ ) and Brownian diffusion from the boundary layer ( $f_2$ ), resulting in an equilibrium concentration. Platelets will attach with a certain rate ( $k_1$ ) and will adhere with certain affinity ( $k_2$ ). After spreading of the cells the affinity increases ( $k_3$ ). Shear forces ( $F$ ) will detach (a fraction of the) platelets resulting in transport back into the bulk concentration.<sup>137</sup>

centration in the boundary layer is determined by convective diffusion from the bulk concentration and Brownian diffusion, resulting in an equilibrium concentration. Platelets will attach to proteins present in the vessel wall with a certain velocity ( $k_1$ ), adhere with a certain affinity ( $k_2$ ) and firmly attach with another affinity ( $k_3$ ). Shear forces will try to detach platelets ( $F$ ) resulting in a platelet transport from the wall.

### Platelet–vessel wall interaction at the molecular level, the adhesive proteins

Various components of the subendothelium, including von Willebrand factor, various types of collagen, fibronectin and fibrinogen, can act as substrate for platelet adhesion<sup>25</sup>. Most studies on platelet adhesion have been performed with isolated proteins and we can now describe and understand the interaction of platelets with single proteins. The individual contributions of these proteins to the interaction of platelets with a much more complex subendothelium remain to be investigated. On the one hand, there may be synergy of multiple substrate receptor interactions whereas on the other hand, mutual interactions between proteins in the subendothelium might specifically shield off certain adhesive domains. It is apparent, however, that vWF is essential and unique in tethering rapidly flowing platelets to an exposed thrombogenic surface.

### Von Willebrand factor

vWF is an adhesive protein that circulates in plasma but that is also present in the subendothelium and in  $\alpha$ -granules of platelets. It is synthesized by endothelial cells and megakaryocytes<sup>26,27</sup>. The average plasma concentration is 10  $\mu\text{g/ml}$ , the average concentration in the platelet is 280  $\text{ng}/10^9$  platelets<sup>28</sup>. The normal range of plasma vWF, however, is broad and is strongly influenced by the blood group with 25% lower levels in blood group O and high levels in persons with the AB loci<sup>29</sup>. Platelet vWF is independent of ABO blood type. vWF is a multimeric protein that interacts with ligands in the subendothelium and on platelets. It bridges the platelet and the surface that otherwise poorly interact under conditions of shear. The multimeric structure is essential for its biological activity. Low molecular weight forms as found in patients with type 2A von Willebrand disease lack adhesive activity<sup>30</sup>. The multimeric structure results from connection of two C-termini by disulfide bonds followed by disulfide bonds between N-termini, resulting in a long thread with a molecular weight of over 20 million<sup>31</sup>. vWF in the circulation does not bind spontaneously to platelets. When damage of the vascular surface occurs, vWF will bind immediately to the exposed collagens<sup>3</sup>. The interaction with collagen results in ‘activation’ of vWF, which enables the recognition of vWF by platelet GPIb/V/IX complex. The activation of vWF must be a conformational change, but the mechanism of activation has not been elucidated yet. Recently, the conformational change due to a gain of function mutation in vWF has been elucidated by crystallization of the A1 domain of a I546V mutant<sup>32</sup>. The I546V substitution alters the charge distribution within the proposed GPIb binding site at a significant distance from the mutation. The interaction between vWF and platelet glycoprotein GPIb can also be promoted in vitro by an obsolete antibiotic ristocetin and the snake venom protein botrocetin<sup>33,34</sup> or by mutations in vWF or GPIb<sup>35</sup>. These mutations in vWF and GPIb are also effective in vivo.

Mature vWF-monomer is built up of a number of domains<sup>36</sup> (Fig. 21.3). These domains are thought to be independent structural and functional units of the protein. The domains are arranged in the order D–D3–A1–A2–A3–D4–B1–B2–B3–C1–C2–CK. The A1- and the A3-domains in vWF have been identified as the main binding sites for GPIb and collagen, respectively. Within the C1-domain an RGD sequence is present that is responsible for the interaction of vWF with platelet GPIIb–IIIa<sup>37</sup>. Studying loss- or gain-of-function mutations has identified lysine 599 within the vWF A1-domain as essential for the interaction with GPIb<sup>38</sup>. The interaction of ristocetin and botrocetin with vWF could be dissociated within the A1-domain<sup>38</sup>.

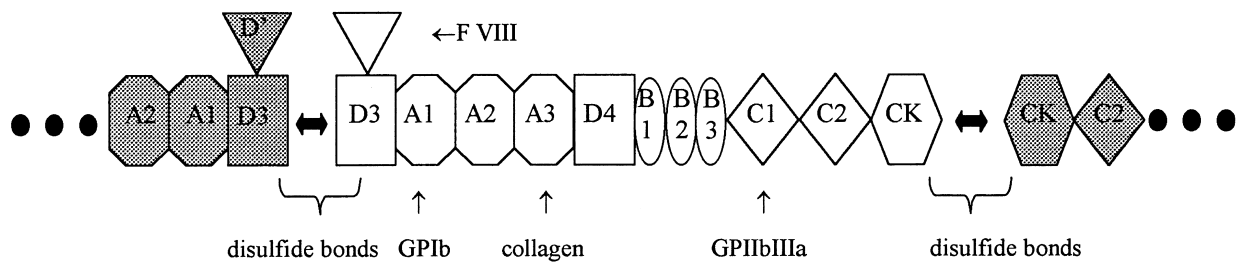


Fig. 21.3. The schematic structure of vWF is shown. The location of binding sites for several ligands are indicated. In the endoplasmic reticulum, von Willebrand monomer is dimerized through disulfide linkage through the cysteine knot (CK domains) at their carboxy termini. In the Golgi large multimers are formed through additional disulfide bonds in the D3-domains.

Ristocetin-induced binding to GPIb was reduced selectively by substitutions in the A1-domain at positions Lys-534, Arg-571, Lys-572, Glu-596, Glu-613, Arg-616, Glu-626, and Lys-642, whereas botrocetin-induced binding to GPIb was decreased selectively by mutations at Arg-636 and Lys-667.

Recently, the crystal structures of both the A1- and the A3-domain have been elucidated<sup>39,40,41</sup>. Both domains are similar in organization and related to A- or I-domains found in integrins. Both domains have a typical  $\alpha/\beta$  fold, consisting of a central hydrophobic parallel  $\beta$ -sheet flanked by amphipathic  $\alpha$ -helices on each side. The difference with the homologous A-domains of integrins is that the typical metal ion-dependent adhesion site (MIDAS) motif is incomplete and a metal ion is not present. Consequently, the binding site for GPIb and collagen is not localized in the MIDAS site, where the binding sites are located in integrins.

To localize the collagen-binding site, the crystal structure of a complex of A3 with a Fab fragment of an antibody that inhibits collagen binding was determined. The antibody recognized a non-linear epitope located distant from the top face of the domain, where collagen-binding domains were found in homologous integrin I-domains. The domain consists of sequences 962–966, 981–997 and 1022–1026. With this information as a lead, site-directed mutagenesis was performed that identified His 1023 within the A3-domain as essential for the interaction with collagen type I. Also, mutation of Arg-963 and Arg-1016 reduced collagen binding by 25%<sup>42</sup>. The residues are part of a domain consisting of two  $\beta$ -sheets and one  $\alpha$ -helix. His-1023 displays multiple conformations in available A3-crystal structures suggesting that binding of A3 to collagen involves changes in the conformation<sup>40,41</sup>.

### Collagens

Collagens are distinguishable from other extracellular matrix proteins by their triple helical conformation<sup>43</sup>. All

fibrillar collagens have a very similar tertiary protein structure. These collagens are composed of three peptide chains ( $\alpha$ -chains). The amino acid sequences of the  $\alpha$ -chains show two unique features. There is a glycine at every third residue, generating a repeating (Gly-X-Y)<sub>n</sub> pattern and a high proportion of the X and Y residues are proline or hydroxyproline. These features of collagen are essential for the generation and stability of the triple helix. The triple helix conformation consists always of three parallel polypeptide chains that are staggered by one amino acid. The glycine residues are buried in the backbone of the helix while the X and Y are exposed to the solvent<sup>44</sup>. The amino acids at the X and Y position determine the specificity of collagen for certain interactions. The triple helix conformation of collagen is essential for its interaction with cells; a single chain collagen polypeptide has lost its biological activity<sup>45,46</sup>. Apparently, the 3D-conformation of the amino acid sequence is critical. Also platelet adhesion and activation under conditions of flow only occur when the tertiary structure is intact.

At present, 19 different types of collagens have been identified of which collagen types I, III, IV, V, VI, VIII and sometimes XI occur in the vessel wall. These collagens can be broadly divided into reactive and less reactive types for platelets of which collagen types I, III and IV belong to the reactive ones<sup>47</sup>. These three major types of collagen support platelet adhesion also at relatively high shear rates and also cause platelet aggregate formation. These collagens have separate sites for adhesion and aggregation<sup>48</sup>. Platelet adhesion to collagen is strongly affected by the presence of Mg<sup>2+</sup> and Ca<sup>2+</sup><sup>49</sup>. Platelet adhesion to collagen is stimulated by the presence of Mg<sup>2+</sup> whereas Ca<sup>2+</sup> has an inhibitory effect. Mg<sup>2+</sup> mediates its effect via the collagen receptor  $\alpha_2\beta_1$ . Collagen type VI has been shown to be a binding site for vWF in the subendothelium and, as such, it is involved in platelet adhesion to superficial injuries in vessels<sup>50</sup>.

Platelet reactive adhesive domains in collagen are deter-

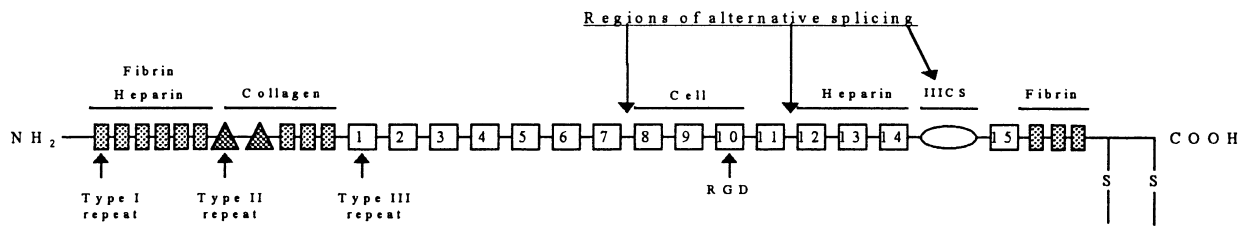


Fig. 21.4. Diagram of the fibronectin subunit.

mined by linear sequences present in one chain. The first sequence described as a recognition site for  $\alpha_2\beta_1$ , DGEA present within the  $\alpha 1$ -chain of collagen type I, was suggested to be independent of conformation<sup>51</sup>. However, others were unable to confirm the data and the role of DGEA as an  $\alpha_2\beta_1$  recognition site is questionable. Examination of synthetic peptides with the basic structure of collagens, glycine–proline–hydroxyproline (GPO)<sub>*n*</sub>, showed that they are very potent activators of platelet aggregation<sup>52</sup>. Polypeptides consisting of GPO-triplets spontaneously form a triple helical conformation and it has been shown that this sequence interacts with the collagen receptor GPVI on platelets. Although the GPO sequence contributes to the overall mechanism of platelet adhesion to collagen, GPVI is the primary collagen receptor on platelets for activation and not for adhesion<sup>53,54</sup>. The primary adhesive receptor on platelets is  $\alpha_2\beta_1$ <sup>55</sup>. Elegant experiments using overlapping peptides derived from cyanogen bromide fragments of collagen type I, have elucidated an  $\alpha_2\beta_1$  recognition site in collagen type I, GFOGER<sup>56</sup>. Triple helical peptides containing this sequence inhibit platelet adhesion not only to collagen type I but also to collagen type III. Recently, the crystal structure has been elucidated of a complex between the I-domain fragment of the  $\alpha_2$ -chain of the platelet receptor  $\alpha_2\beta_1$  and the synthetic collagen peptide containing the critical GFOGER-sequence that mimics the collagen-binding site<sup>57</sup>. Integrin I-domains contain a divalent cation binding site. In the I-domain of the  $\alpha_2$ -chain this metal ion is  $Mg^{2+}$ . Three loops on the upper surface of the I-domain provide five amino acid side chains that coordinate the  $Mg^{2+}$ . The sixth coordination site of the bound magnesium was provided by the glutamate present in the peptide, completing the coordination sphere of the metal. The interaction between collagen and the I-domain is supported almost exclusively by one of the three  $\alpha$ -chains of the triple helix.

An octapeptide sequence KOGEQPK has been described within the  $\alpha 1$ -chain of collagen type III (residues 497–504) that was able to support platelet adhesion under conditions of flow<sup>58</sup>. Recently, a new collagen-binding protein, THICBP, with a molecular weight of 68 kD, that

recognizes this sequence has been isolated<sup>59</sup>. The peptide inhibited platelet adhesion to collagen type III but not to collagen type I. The status of this sequence, however, remains controversial as others never found adhesion to triple helical peptides containing this sequence<sup>60</sup>.

### Fibronectin

Fibronectin is a ubiquitous glycoprotein composed of two structurally similar but non-identical subunits of approximately 220 kD. The two chains are different due to alternative splicing in the so-called III connecting segment (IIICS)<sup>61</sup>. Two forms of fibronectin exist, a soluble plasma form that is produced by hepatocytes and that consists of a disulfide-bonded dimer<sup>62</sup> and a tissue form of fibronectin that is insoluble and occurs in high molecular weight forms. The tissue fibronectin differs also from plasma fibronectin in an extra type III domain, again due to alternative splicing. Each subunit of fibronectin is composed of three types of homologous repeats, type I, type II and type III. The tenth type III repeat contains the RGD sequence that can interact with GPIIb/IIIa or  $\alpha_5\beta_1$  on the platelet membrane (Fig. 21.4).

Purified fibronectin supports platelet adhesion and spreading up to shear rates of  $1300 \text{ s}^{-1}$ <sup>10</sup>. The adhesion is mediated by both  $\alpha_5\beta_1$  and GPIIb–IIIa while the spreading is dependent on GPIIb–IIIa. Under conditions of flow, interaction between GPIIb and von Willebrand factor is necessary for optimal adhesion<sup>63</sup>. The presence of von Willebrand factor in platelets is sufficient. In a more complex substrate, such as a subendothelium, fibronectin has an additive role. Under these conditions it is not the RGD-site in domain III-10 that is involved, but an, as yet not very well characterized, site in domain III-9<sup>64</sup>. A candidate is the PHSRN sequence in the 9th repeat recognized by the integrin  $\alpha_5\beta_1$ <sup>65</sup>.

### Fibrinogen/fibrin

Fibrinogen is not a structural component of the vessel wall, because vessel wall cells do not synthesize it. Nevertheless it is present in vessel wall segments as the result of adsorption from plasma. It is one of the major substrates for

platelets. Platelet adhesion to fibrinogen has received much attention, because fibrinogen is the dominant plasma protein to adsorb to biomaterials. As a consequence, the adsorbed fibrinogen provides an ideal surface for platelets to adhere<sup>66</sup>. Also, the adhesion of platelets to a fibrin network is a key event in the maintenance of a hemostatic response. Studies with monoclonal antibodies show that GPIIb/IIIa is the major receptor involved in the adhesion to fibrin and fibrinogen at all shear rates<sup>67</sup>. Fibrinogen has three potential reactive domains that are able to interact with GPIIb/IIIa. There is a RGDS sequence between residues 572 and 575 and a RGDF sequence between residues 95 and 98 on the  $\alpha$ -chain, and AGDV sequence at position 407–411 of the  $\gamma$ -chain. Studies with recombinant fibrinogens have shown that the RGD sequences are not important for platelet adhesion whereas the sequence in the  $\gamma$ -chain is essential<sup>68,69</sup>.

Platelet adhesion to fibrin and fibrinogen at high shear rates ( $>500 \text{ s}^{-1}$ ) depends not only on the interaction via GPIIb/IIIa but also on a secondary interaction between vWF and GPIb<sup>70</sup>. This second interaction is necessary to enable the anchored platelets to withstand the shear forces. The participation of vWF in platelet-fibrin interaction is indirect. vWF must first bind to platelets before it can interact with fibrin. Without platelets no interaction of vWF with fibrin is seen. The underlying molecular mechanism is not clear but may be explained by two effects. First, the local concentration of vWF will be increased as more vWF filaments are held near the surface. This will enhance binding through a mass action effect. Secondly, there is an entropy term that must be considered in any binding reaction. A strong decrease in entropy loss after binding of vWF to platelets may facilitate the interaction of vWF with fibrin.

While non-stimulated platelets express GPIIb–IIIa on the platelet membrane, binding of soluble fibrinogen only takes place after stimulation of platelets with agonists, resulting in a transformation of GPIIb–IIIa into a functional state. However, fibrinogen adsorbed on a surface supports adhesion of non-stimulated platelets via GPIIb–IIIa<sup>71</sup>.

### Laminin

Laminin is a ubiquitous basement membrane protein<sup>72</sup>. The molecule, as visualized by rotary shadowing, is a cross-shaped molecule with a molecular weight of 850 kDa. It consists of three distinct chains of 400 kD, 215 kD and 205 kD, and it interacts with collagen type IV and heparan sulphate proteoglycans to form the structural part of the basement membrane. Platelet adhesion to laminin is mediated by the integrin  $\alpha_6\beta_1$ <sup>73</sup>. Adhesion to laminin is strongly dependent on the presence of the divalent cations  $\text{Mg}^{2+}$  and  $\text{Ca}^{2+}$ . Antibodies directed against the E8 domain, the

terminal half of the long arm, completely inhibit adhesion. The participation of laminin to the platelet reactivity of the more complex subendothelium is unknown.

### Platelet-vessel wall interaction at the molecular level: the platelet receptors

Proteins of the integrin family are central molecules in the adhesion process<sup>74</sup>. They mediate cell–cell communications and cell–extracellular matrix interactions. They are heterodimers composed of an  $\alpha$  and a  $\beta$ -subunit, both transmembrane glycoproteins. Up to 17 different  $\alpha$ -subunits and 8  $\beta$ -subunits have been identified, leading to a large number of dimers with different biological functions. Platelets contain four integrins involved in platelet–substrate interaction:  $\alpha_2\beta_1$ ,  $\alpha_5\beta_1$ ,  $\alpha_6\beta_1$  and  $\alpha_{\text{IIb}}\beta_3$  (= GPIIb–IIIa), the receptors for collagen, fibronectin, laminin and fibrinogen, respectively. Besides integrins also the GPIb–IX–V complex, a platelet specific complex consisting of members belonging to the leucine-rich protein family<sup>4</sup> and GPVI, a member of the immunoglobulin superfamily<sup>75</sup>, are essential for optimal platelet adhesion.

### Glycoprotein Ib/V/IX

The GPIb–V–IX complex is made up of four distinct gene products<sup>76,77</sup>. The disulfide bonded GPIb $\alpha$  and GPIb $\beta$  are non-covalently linked to GPIX and GPV. The four polypeptides GPIb $\alpha$ , GPIb $\beta$ , GPIX and GPV are present in a 2:2:2:1 stoichiometry. GPIb–V–IX is constitutively expressed on the platelet surface with about 25000 copies/platelet. It does not bind spontaneously to vWF, it requires either association of vWF with a surface or, alternatively, exposure of the platelets and vWF to high shear rate in vivo ( $>10000 \text{ s}^{-1}$ )<sup>78</sup>. GPIb has also been identified as a thrombin-binding site on platelets<sup>79</sup>. Activation of platelets with thrombin results in a 50% down-regulation of GPIb. The down regulation does not influence platelet adhesion; 10000 copies of the complex per platelet are enough for optimal adhesion<sup>80</sup>. A number of studies have localized the vWF-binding site to the N-terminal domain of GPIb $\alpha$ <sup>81</sup>. The most potent inhibitor is a 45kD N-terminal fragment (His1–Arg293). This suggests that the interaction of the complex with vWF requires conformational dependent epitopes that could not be mimicked by linear peptides. The binding site for vWF within this domain consists of more than one site. One binding site is the sulfated tyrosine sequence Tyr276–Glu282 containing sulfated tyrosines at Tyr-276, Tyr-278 and Tyr-279<sup>82</sup>; the other binding site is located in the leucine-rich repeats 2, 3, and 4 of GPIb $\alpha$ <sup>83</sup>.

Congenital abnormalities present in three chains of the complex, GPIb $\alpha$ , GPIb $\beta$  and GPIX are associated with the

Bernard–Soulier syndrome. Most of the mutations found lead to truncated or defective polypeptides and are not informative for the localization of the binding site. Two mutations have been described leading to in-frame substitutions in the first and sixth leucine-rich repeat resulting in a dysfunctional GPIb complex<sup>84,85</sup>. Whether these mutations disrupt an adhesive site or the conformation of the whole domain is unknown.

### GPIIb–IIIa

Glycoprotein IIb–IIIa ( $\alpha_{IIb}\beta_3$ ) is the most abundant platelet receptor<sup>86</sup>. It is a receptor for fibrinogen, fibronectin, vitronectin, von Willebrand factor and thrombospondin. It is not only involved in platelet aggregation but also in platelet adhesion. Both the  $\alpha$ -subunit and the  $\beta$ -subunit on GPIIb–IIIa contribute to binding of ligands<sup>87</sup>. It is not known whether the  $\alpha$ - and  $\beta$ -subunit ligand binding regions form one extended binding area or are separate binding sites recognizing different epitopes in the ligand. The amino-terminal 334 amino acids of  $\alpha_{IIb}$  have been implicated in the ligand binding<sup>88</sup>. Mutations in the sequence 184–193 (GAPGGYYFLG) significantly decrease binding of  $\alpha_{IIb}\beta_3$  to soluble fibrinogen without affecting the expression of  $\alpha_{IIb}\beta_3$ <sup>89</sup>. The sequence between the disulfide bond Cys-146–Cys-167 is also involved in ligand binding. An (Asp-163–Ala) mutation abolishes ligand-binding properties, indicating that this residue might be critical for ligand binding<sup>90</sup>. The  $Ca^{2+}$  binding sites in GPIIb are essential for the maintenance of the proper conformation of GPIIb–IIIa but seem not to be involved in ligand binding.

Thrombopoietin (TPO) is a major regulator of platelet formation *in vivo*<sup>91</sup>. *In vitro* studies suggest that TPO sensitizes platelets for activation by several agonists<sup>92</sup>. Experiments in our laboratory have shown that the changed affinity and/or avidity of the integrin GPIIb–IIIa results in an inhibition of platelet rolling and a rapid firm adhesion immediately after the first adhesion. The decreased time period for de-adhesion of platelets results in an increased platelet adhesion to fibrinogen and von Willebrand factor<sup>93</sup>. These observations show that the activation stage of platelet receptors determines the final amount of platelet adhesion.

### Collagen receptor $\alpha_2\beta_1$

In 1985, a patient with a hemorrhagic disorder and an excessively long bleeding time was described. The patient's platelets were only non-responsive to collagen, they responded normally to other platelet stimuli. Analysis of the platelet membrane glycoproteins showed that the platelets were deficient in glycoprotein Ia which is the  $\alpha_2$ -chain of the integrin  $\alpha_2\beta_1$ <sup>7</sup>. Platelet adhesion to collagen

and subendothelium was almost absent<sup>94</sup>. Additional experiments with antibodies and purified receptor confirmed that  $\alpha_2\beta_1$  is the major adhesion receptor on the platelet for collagen<sup>95</sup>. Platelet  $\alpha_2\beta_1$  levels among randomly selected individuals can vary up to tenfold; this correlates with differences in adhesiveness to type-I or type-III collagens<sup>96</sup>. The N-terminal region of the  $\alpha_2$ -chain contains seven tandem repeats with internal homology<sup>97</sup>. In addition, it contains an inserted segment of about 200 amino acids in between the second and third repeats. This so-called insertion domain or I-domain has homology with A-domains present in vWF and it contains the collagen-binding site<sup>98</sup>.

The I-domain of the  $\alpha_2$ -chain has been crystallized in the absence and presence of GPO-peptides<sup>58</sup>. Comparison of the liganded domain with the non-liganded I domain reveals a change in metal coordination linked to a reorganization of the upper surface that together create a complementary surface for binding collagen. Conformational changes propagate from the upper surface to the opposite pole of the domain, suggesting both a basis for affinity regulation and a pathway for signal transduction.

According to the crystal structure, the aspartic acid-219 of the I domain forms a salt bridge with Arg in the GFOGER sequence and the side chains of the phenylalanine of this sequence interact with glutamine-215, asparagine-154, tyrosine-157 and leucine-286.

### Collagen receptor GPVI

Recently, the platelet collagen receptor glycoprotein (GP) VI was cloned<sup>75</sup>. It codes for a protein of 339 amino acids including a putative 23-amino acid signal sequence and a 19-amino acid transmembrane domain between residues 247 and 265. GPVI belongs to the immunoglobulin superfamily, and its sequence is closely related to  $F_c\alpha R$  and to the natural killer receptors. Purified GPVI, as well as Fab fragments of polyclonal antibodies made against the receptor, inhibited collagen-induced platelet aggregation. An arginine residue is found in position 3 of the transmembrane portion, which should permit association with  $F_c\gamma$  and its immunoreceptor tyrosine-based activation motif via a salt bridge. With 51 amino acids, the cytoplasmic tail is relatively long and shows little homology to the C-terminal part of the other family members. No information is available yet about domains involved in binding to the GPO motif in collagen and no mutations have been described influencing platelet adhesion.

### Fibronectin receptor

The fibronectin receptor  $\alpha_5\beta_1$  binds simultaneously to a PHSRN sequence in the 9th type III repeat of fibronectin

and the RGD-sequence in the 10th type III repeat<sup>65</sup>. The X-ray crystal structure of a fibronectin fragment reveals that both binding sites are located 35 Å apart on the same side of the molecule<sup>99</sup>. The recombinant fragment 229–448 of the  $\alpha 5$ -subunit contains the minimal domain for fibronectin recognition<sup>100</sup>.

### Genetics of platelet adhesion

Platelet thrombus formation is a multistep process determined by the platelet receptors GPIb, GPIIb–IIIa,  $\alpha_2\beta_1$  and GPVI and their ligands von Willebrand factor, fibrinogen and collagen. The recent developments in genetic technology have resulted in the discovery of polymorphisms coding for qualitative or quantitative variation of these determinants in platelet thrombus formation. The genetic markers proved to be important determinants to study an etiological relationship between these markers and the risk of cardiovascular diseases in large prospective evaluations. A major functional polymorphism in platelet receptors is a 807T polymorphism of  $\alpha_2\beta_1$  coding for an increased density of  $\alpha 2\beta 1$  on the platelet membrane<sup>96</sup>. The presence of this 807 T polymorphism correlates with cardiovascular mortality. In separate studies it was shown that the presence of this 807T polymorphism resulted in an increased adhesion to collagen *in vitro*<sup>101,102</sup>. Other polymorphisms are a 5T polymorphism of GPIb coding for increased expression of GPIb<sup>103</sup> and a P1A2 polymorphism of GPIIbIIIa coding for a change in structure of GPIIb–IIIa<sup>104</sup>, both possibly related to increased cardiovascular accidents.

There are also polymorphisms found in matrix proteins. An interesting genetic variation is the blood group difference. Blood groups AA, AB and BB are predictive for cardiovascular disease probably due to the increased levels of vWF in blood group AB<sup>105,106</sup>. A G/T polymorphism in a transcription factor Sp1 binding site of collagen type I<sup>107</sup> and a G/A polymorphism in the promoter region of the fibrinogen  $\beta$ -chain, which is associated with elevated fibrinogen levels<sup>108,109</sup> are other interesting genetic markers for an increased risk of platelet thrombus formation.

### Platelet adhesion in disease

#### Thrombocytopenia

Optimal platelet adhesion depends on the platelet number. The normal range of circulating platelet counts  $\times 10^9$  is 150–400  $\times 10^9/l$ . A good inverse correlation was found between a bleeding time and a platelet count of between 10  $\times 10^9/l$  and 100  $\times 10^9/l$ . At platelet numbers above 100  $\times 10^9/l$  no abnormalities were found<sup>110</sup>. Platelet adhe-

sion studies *in vitro* showed a similar relation between adhesion and platelet number; 100  $\times 10^9$  platelets/l are sufficient for optimal adhesion. At higher shear rates fewer platelets were necessary for optimal adhesion. Whether the bleeding tendency at lower platelet count is primarily due to impaired adhesion or impaired aggregate formation is not known. Bleeding tendency as seen in May–Hegglin syndrome and Wiskott–Aldrich syndrome are primarily explained by the low platelet count.

#### von Willebrand's disease (vWD)

This is characterized by absence or abnormality of vWF and it is one of the most common inherited bleeding diseases<sup>111,112</sup>. The prevalence of vWD has been estimated at about 100 cases per million persons. vWD is classified in three major categories<sup>35</sup>. Type 1 refers to a partial, quantitative deficiency of vWF. vWD type 2 characterizes all qualitative abnormalities in vWF function, whereas type 3 refers to an essentially complete deficiency of vWF. Type 2 is further subdivided into four variants based on differences in structure-function relation. The bleeding symptoms are mild to moderate for most patients, although life-threatening bleeding may occur, especially in vWD type 3. *In vitro* experiments showed an adhesion defect at shear rates over 500  $s^{-1}$ <sup>113</sup>. Although platelets of vWD patients did not show defects in platelet aggregation in the aggregometer, there is a defect in thrombus formation when the experiments were performed in flowing blood<sup>114</sup>. The lack of thrombus formation in flowing vWD blood becomes more prominent at higher shear rates. When platelets adhere to more reactive surfaces, vWF present in the  $\alpha$ -granules of platelets also participates in adhesion<sup>115,116</sup>. As mentioned above, type 1 refers to a quantitative disorder with a normal multimeric distribution. vWF in plasma has apparently a normal function and the bleeding tendency should correlate with the residual amount of vWF in plasma. However, variations in the bleeding tendency have been seen, partly explained by the amount of vWF present in the platelets. Type 2A is characterized by the absence of large multimers of vWF. The large multimers are the effective form of vWF and the functional activity of vWF is much lower than could be explained by the amount of the antigen. The lower multimers lack the ability to bind to collagen while the interaction with GPIb is still present<sup>117</sup>. The lack of ability of type 2A vWF to bind to collagen could contribute to the bleeding disorder. As vWF is also involved in platelet aggregate formation, the ristocetin agglutination is impaired; an impaired aggregate formation could also be the cause of bleeding. Type 2B is defined by an increased affinity of the mutant vWF for platelet GPIb. This results in spontaneous binding of large multimers to platelets *in vivo*, followed by a rapid clearance of

the complex. The remaining multimers are of low molecular weight and less active. The combination of a thrombocytopenia, lower vWF levels and the prevalence of inactive low multimers explains the bleeding disorder<sup>118</sup>. The loss of platelet adhesion is reinforced because once vWF is bound to a platelet it is unable to bind to collagen any longer. The circulating vWF–platelet complexes are hemostatically inactive. The mutations found in type 2B are all found in and around the A1-domain<sup>119</sup>. Type 2M is characterized by vWF with a normal multimeric structure associated with a defective platelet function. This variant is caused by mutations that inactivate the binding site of vWF for GPIIb. All the mutations that have been characterized so far are located within the A1-domain<sup>120</sup>. Mutations that result in an inactive binding site for collagen should also be included in this variant, however, until now such mutations have never been found. Type 2N is characterized by mutations in the binding site for factor VIII. The bleeding tendency mimics hemophilia A and no abnormalities in platelet adhesion are found. Type 3 includes patients with vWF levels less than 1% of normal in plasma. There is a secondary deficiency of factor VIII and the patients have a combined defect in primary hemostasis and fibrin formation. The pathology of platelet adhesion is not different from type 1 because both variants are due to a quantitative defect.

Pseudo-vWD has a clinical picture comparable to type 2B. The mutation, however, is not present in vWF but in GPIIb<sup>121,122</sup>. The final result is the same, an increased binding of vWF to platelets, resulting in thrombocytopenia, low vWF levels and a prevalence of inactive low multimeric forms of vWF in the circulation.

Acquired vWD is a rare bleeding disorder, which mimics most of the clinical and laboratory features of vWD<sup>123</sup>. The occurrence of acquired vWD is in most cases explained by the presence of circulating autoantibodies to vWF. The presence of these antibodies can result in clearance of antibody–vWF complexes resulting in a deficiency of vWF or the antibodies can inhibit specific functions of vWF such as the interaction of vWF with collagen<sup>124</sup>.

### Other bleeding disorders

Absence or abnormal function of the GPIIb/IIIa complex characterizes Glanzmann's thrombasthenia<sup>125,126</sup>. This defect results in complete absence of platelet aggregation, but in only a limited decrease in platelet adhesion at higher shear rates<sup>11,127</sup>. The loss of platelet adhesion is probably caused by lack of platelet spreading, which is observed at all shear rates. The lack of spreading results in less firmly attached platelets that are more easily detached at shear rates over  $1600\text{s}^{-1}$ .

Bernard–Soulier syndrome is a form of macrothrombocytopenia characterized by absence or abnormality of GPIIb–V–IX complex<sup>128</sup>. In general the combination of a large platelet size and low platelet number results in a total platelet mass that is not reduced in comparison with the normal situation. Comparison of platelet adhesion in macrothrombocytopenia with that of normal platelets at similar platelet counts has shown no adhesion defect. The severe bleeding tendency seen in Bernard–Soulier syndrome is due to a combination of low platelet number and an absence in GPIIb–vWF interaction.

Storage pool deficiency is characterized by reduction of the dense granules in platelets, leading to a reduced secretion of ADP after activation. Platelet adhesion is not affected, a reduced aggregate formation explains the bleeding tendency in these patients<sup>11</sup>.

No information is available as to whether increased adhesion will lead to thrombotic complications. Increased levels of vWF have been shown to be an increased risk for cardiovascular events but a correlation with increased adhesion has never been shown. Patients with Diabetes mellitus and Hypercholesterolemia, diseases associated with atherosclerotic vessel disease, showed no increased platelet adhesion<sup>129</sup> (P. de Graaf et al., unpublished observations).

### Conclusion

Platelet adhesion is a complex process in which many adhesive ligands and their receptors participate. In spite of considerable progress during the last twenty years, initiated by the development of perfusion chambers that enabled the study of platelet adhesion under conditions of flow, the mechanisms that regulate platelet adhesion remain to be elucidated. Which pathways are responsible for the activation of, e.g. GPIIb–IIIa when a platelet is rolling over vWF? Is this process reversible? A mouse model, in which both von Willebrand factor and fibrinogen were deficient, still showed adhesion and platelet aggregation, pointing to other components in the vessel wall and/or plasma capable of supporting adhesion<sup>130</sup>. A mouse model lacking thrombospondin-2 showed an unexplained bleeding diathesis<sup>131</sup> suggesting a role for thrombospondin in the regulation of adhesion<sup>132</sup>. The observation that the severe bleeding tendency of patients with Glanzmann's disease can be treated efficiently with factor VIIa points to a more intensive interaction between platelet function and coagulation than was originally assumed. Also induction of fibrinolysis may quickly reduce the affinity of surfaces such as fibrin for platelets<sup>62</sup>. Another

not well explored area is the increased reactivity of atherosclerotic lesions<sup>133</sup> that may be the result of inflammatory modulation of the composition of the plaque. Further experiments with genetically modified animals in combination with appropriate experimental flow models will enhance our ability to understand, diagnose and treat bleeding disorders and arterial thrombosis.

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## The platelet shape change

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### Introduction

Blood platelets respond to many stimuli by changing shape from their resting, normal discoid form into more rounded structures possessing blebs and pseudopodia<sup>1-5</sup>. These morphological transitions are generally referred to as the platelet 'shape change' (SC). As described earlier in Chapters 2 and 3, platelets are subcellular fragments derived from megakaryocytes and circulate in the blood as small anucleated ovoid discs, with average dimensions of about  $3.0 \times 0.5 \mu\text{m}$  and volumes of 6–8 fl<sup>6,7</sup>. The surfaces of resting platelets are almost featureless except for indentations or pits that represent entrances into the open-canalicular system (OCS) illustrated in a freeze-fracture micrograph (Fig. 22.1). Platelets exhibit significant heterogeneity in both size, density and biochemical composition<sup>8-12</sup>, with a corresponding heterogeneity in aggregation and adhesion characteristics<sup>8,13-15</sup>. Shape-change behaviour is also heterogeneous, such that some platelets will remain discoid while neighbouring cells will have undergone significant morphological changes<sup>16</sup>.

The transformations in platelet morphology associated with the SC represent highly sensitive indices of platelet activation. They are generally considered to be the first measurable physiological responses after activation by specific platelet agonists such as ADP or thrombin, and to begin before significant aggregation occurs. Platelet SC is usually characterized morphologically by spheration and contraction of the cell, cytoskeletal rearrangements, folding or ruffling of the surface membrane, and formation of pseudopodia<sup>17,18</sup>. An example of the major changes from the resting discoid shape to more rounded forms possessing multiple pseudopodia is illustrated in Fig. 22.2. Changes in morphology also occur following platelet

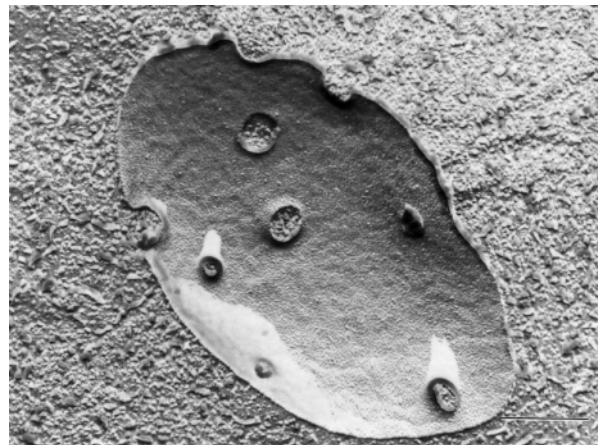


Fig. 22.1. A resting blood platelet. The nearly smooth surface of a blood platelet visualized by freeze-fracture electron microscopy<sup>187</sup> and rapid spray freezing to avoid chemical fixation<sup>38</sup>. The surface 'pits' represent entrances to the open-canalicular system or OCS<sup>7</sup>. The bar represents 0.5  $\mu\text{m}$ .

contact with the foreign particles or surfaces, centrifugation, by exposure to heat, cold, calcium chelation such as with EDTA or EGTA, and by depressed energy metabolism<sup>19-22</sup>.

As mentioned above, the initial phases of the SC precede aggregation and can even occur in the absence of any aggregation as seen in thrombasthenic platelets which lack the  $\alpha_{\text{IIb}}\beta_3$  integrin<sup>23</sup>. Transformation from a discoid shape to one possessing many pseudopodia dramatically increases the external platelet surface area, facilitating aggregation. The details and interrelationships of the intracellular signalling pathways involved in the platelet SC and reorganization of the cytoskeleton are not yet fully understood. An overview of

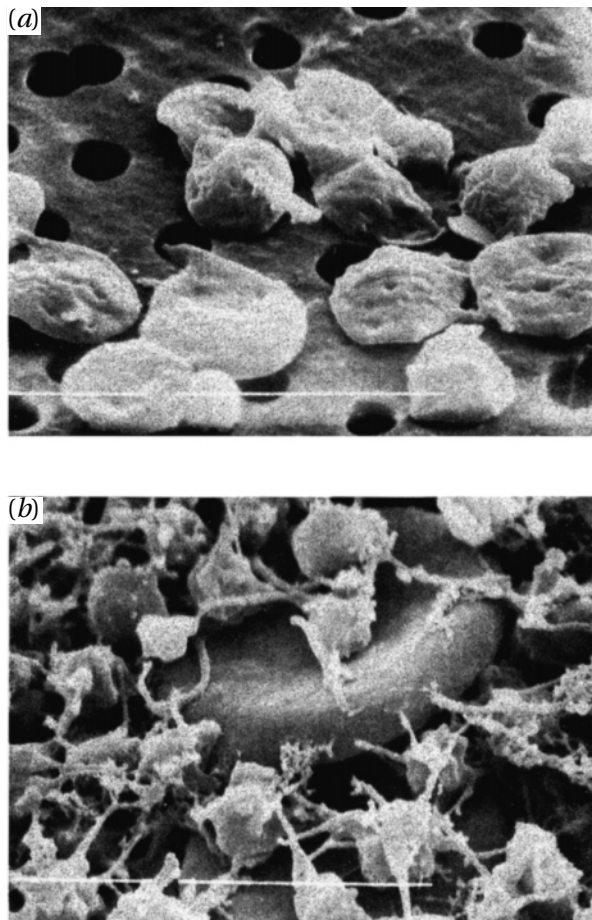


Fig. 22.2. The platelet shape change (SC). Scanning electron micrographs (SEM) reveal the dramatic transformation of the surface morphology following stimulation by the important agonist ADP. Upper figure, resting (control) platelets; Lower figure, after 10 s exposure to ADP (10  $\mu$ M) at 37 °C. The formation of long, actin-rich pseudopodia is characteristic of the SC and can proceed very rapidly as demonstrated in Fig. 22.4. Platelets were activated in dilute suspensions to minimize aggregation<sup>19</sup>. The middle bar on the bottom represents 5  $\mu$ m.

current research into signal-transduction events occurring during the SC, including calcium-dependent and independent mechanisms, will be presented on pp. 324–329. Overall, the rapid and dramatic changes in surface morphology characteristic of the SC have fascinated investigators interested in the fundamental mechanisms of platelet activation and for understanding links to normal and abnormal haemostasis.

### Definitions of the platelet ‘shape change’

An issue in SC studies, whether for basic research or clinical purposes, concerns the meaning of the term ‘shape change’. Therefore, it is important to be aware of the varied definitions and procedures that have been used. These are summarized below and will be discussed in more detail on pp. 324–326.

- (a) Alterations in the light-scattering properties of platelet suspensions, reflecting transitions from the discoid shape of resting platelets to more spherical forms possessing blebs and pseudopodia.
- (b) Alterations in platelet morphology visualized by a variety of microscopic procedures, including light microscopy, as well as by scanning, transmission and freeze–fracture electron microscopy.
- (c) Alterations in platelet volume or size, normally detected by resistive-particle counters, but also by flow cytometry.
- (d) Alterations in the surface exposure of platelet antigens usually detected by immunological means and flow cytometry.

### Events associated with the normal platelet shape change

#### The ‘optical shape change’

The original definition of the platelet SC stems from observations that, when platelet agonists are added to stirred, platelet-rich plasma (PRP), there is very often a brief, initial **decrease** in light transmission (increase in optical density) before the major **increase** associated with platelet aggregation<sup>1</sup>. The early decrease in transmission usually lasts about 10 to 20 s and amounts to about 10% of the total change caused by aggregation. The diagrammatic tracing in Fig. 22.3 represents a ‘classical’ form when an intermediate dose of ADP elicits the initial SC, followed by two waves of aggregation. The first wave has been termed ‘primary aggregation’ and the subsequent one ‘secondary aggregation’. Higher doses of ADP or thrombin will elicit only a single phase of aggregation after the initial SC. Low doses of agonist may induce the SC and only a brief reversible phase of aggregation.

Events sensed by light scattering or optical devices, whether as the SC reflected by the initial decrease in light transmission (p. 321) or the subsequent decreases indicating platelet aggregation, need to be correlated with other observational techniques. Thus, alterations in platelet morphology (p. 322), volume (p. 324), or new epitope expo-

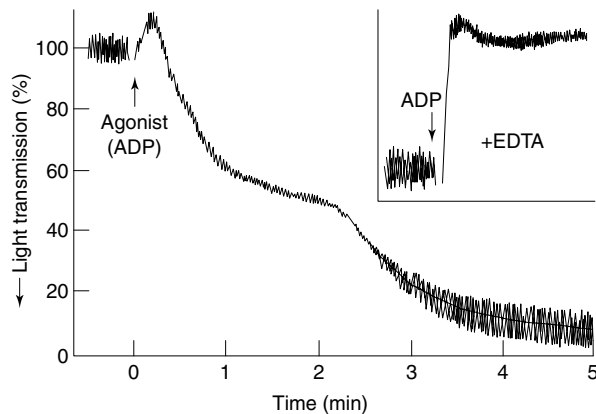


Fig. 22.3. The platelet SC assessed optically. The trace is a representation of a typical progression of changes in light transmission through platelet rich plasma following the addition of threshold levels of ADP (usually 2 to 5  $\mu\text{M}$ ). Three phases may occur; an initial 'shape change' (SC) lasting about 20 s, followed by two phases of aggregation. High doses of ADP or thrombin induce the SC and only one aggregation phase. Standard procedures usually involve setting the transmission through platelet poor plasma (PPP) at 0%, and for platelet rich plasma (PRP) at 100%. Samples are stirred at about 1000 rpm to provide optimal sensitivity for detecting the 'SC' and to ensure platelet aggregation by efficient particle collision<sup>28</sup>. The inset shows SC occurring in the absence of aggregation. PRP is treated with EDTA (typically 2–4 mM) to chelate calcium and diluted 1 to 4 to minimize any tendency to aggregation<sup>1,19,38</sup> and the decrease in transmission is typical of stimulation by ADP.

sure (p. 323), provide important complementary information on SC kinetics and characteristics. An indication of this need for multiparameter assessment of the SC comes from the fact that single-particle disappearance, representing platelet micro-aggregation, is essentially complete before the peak (Fig. 22.3) in the optical SC at about 10–20 s<sup>24</sup>, and does not correlate with the aggregation kinetics sensed by the optical traces<sup>25</sup>. Thus, the optical SC is compromised by concurrent aggregation. In a similar vein, the later aggregation phases (30 s – 5 min) need to be distinguished from the very rapid (<5 s) formation of micro-aggregates detected as the loss of single platelets. The capture angle of the photometers used to detect transmitted or scattered light can also impact on whether or not an initial decrease in transmission is seen<sup>26</sup>.

Light-scattering devices provide additional information about platelet shape. Thus, the width of the oscillations or 'noise' of the transmitted light prior to adding agonist is normally large when the platelets are discoid, and becomes much narrower when sphering occurs<sup>24,27</sup>. The schematic tracing in Fig. 22.3 provides an example of this

'noise' seen in platelet suspensions subjected to shear forces caused by stirring in the small tubes used in standard aggregometers. In addition, when stirring is stopped, light transmission decreases in proportion to the particle axial ratio which reflects platelet discoid shape<sup>26</sup>. These effects contribute to the characteristic 'swirling' of fresh platelet preparations. A review by Frojmovic<sup>28</sup> presents the varied optical parameters employed to describe both the SC and aggregation phases of platelet function. In addition, the use of polarized light and assessing light scattering changes at 90° to the incident light can yield valuable information about the SC<sup>5</sup>. An in-depth study by Hantgan involved 90° light scattering to define the kinetics of the optical SC induced by ADP, and showed that only about 3 s was needed to reach one-half maximal change<sup>29</sup>.

### Use of calcium chelating agents to study the SC

The inset to Fig. 22.3 shows the optical SC detected in the presence of EDTA at concentrations sufficient to block platelet aggregation<sup>19,30</sup>. Measurements can also be made in very dilute platelet suspensions to minimize any further chance of aggregation, with the result that an agonist still causes the decrease in light transmission without any major subsequent increases. Kinetics derived from such traces are similar to those obtained by Hantgan<sup>29</sup>, with  $t_{1/2}$  values of <5 s. Such strategies to 'isolate' the optical SC have been effective in many studies and most recently for dissection of specific, signal-transduction events linked to induction of the SC<sup>31,32</sup>. EDTA may, however, be deleterious since it can also elicit significant platelet swelling<sup>19</sup> and sphering<sup>22,33–35</sup>.

### Morphological shape changes

The ability of agonists such as ADP and thrombin to alter platelet shape as visualized by light and electron microscopy was reported in the 1960s at the same time that light scattering was used as an easy way to sense the SC. Platelet rounding was noted as well as the appearance of multiple pseudopodia<sup>3–5,27,36</sup>. The study by White<sup>33,36</sup> using transmission electron microscopy provided the first important observations on details of external morphology and internal events during the ADP-induced SC. Multiple long pseudopodia appeared by 10 s after adding ADP and central grouping of internal organelles was noted.

An analysis of even earlier morphological changes by scanning electron microscopy (SEM) revealed that 50% of platelets lost their discoid shape by 0.5 s after platelet exposure to ADP or thrombin and that about 60% of cells had formed multiple pseudopodia by 1.7 s<sup>16</sup>. Platelets were

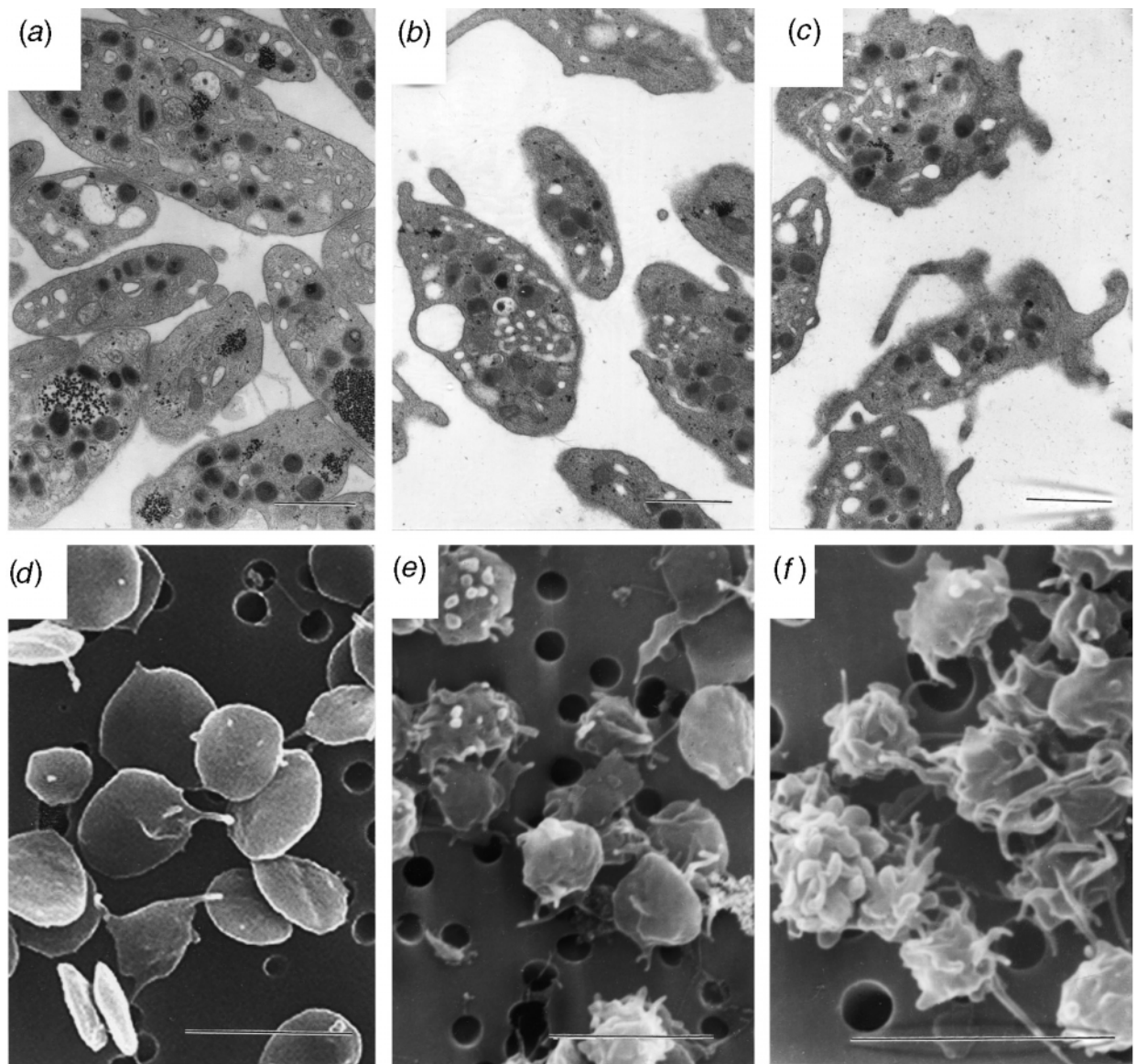


Fig. 22.4. The speed of the platelet SC. Platelets previously warmed for 15 min at 37 °C were exposed to ADP (10  $\mu$ M for panels (a)–(c)) or to thrombin (1 U/ml for panels (d)–(f)) in a quenched-flow system under arterial shear forces before quenching with glutaraldehyde and processing for transmission electron microscopy (TEM) or SEM (Gear, 1984). The TEM images shown are: control resting platelets (a), cells reacted with ADP for 0.5 s (b), and for 1.25 s (c); SEM images; control platelets (d), cells reacted with thrombin for 0.5 s (e) and for 1.7 s (f) (Magnifications are provided on the figures as bars; 1  $\mu$ m for (a)–(c), 5  $\mu$ m for (d)–(f)).

exposed to arterial shear forces and use of rapid quenched-flow methods enables study of second and to subsecond kinetics<sup>19,37</sup>. Results from platelets stimulated for 0.5 and 1.25 s by ADP under flow conditions and examined by transmission electron microscopy (TEM) are illustrated in Fig. 22.4(a)–(c) and by SEM for thrombin-activated platelets in Fig. 22.4(d)–(f). Similar kinetics are seen for

either agonist. Freeze–fracture electron microscopy of spray–frozen platelets activated for about 1 s by ADP provides an alternative fixation-free approach for studying the platelet SC under arterial shear forces<sup>38</sup>. The image presented in Fig. 22.5 can be compared to the control platelet seen in Fig. 22.1 subjected to the same arterial shear forces in the continuous-flow pumping system.



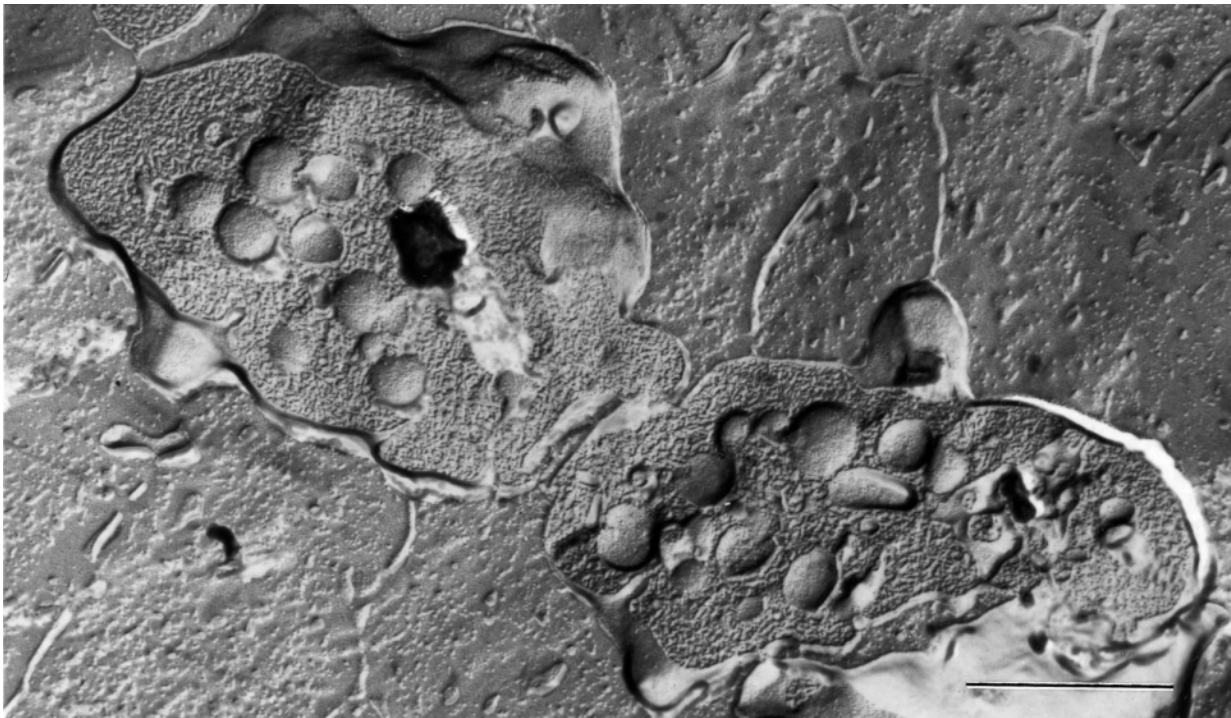


Fig. 22.5. ADP-activated platelets visualized by freeze–fracture electron microscopy. Cells were reacted to ADP for about 1 s and quenched by spray freezing. The image reveals the presence of ‘blebs’ compared to the smooth surface seen with control, spray–frozen cells (Fig. 22.1) (Magnification bar is 1  $\mu\text{m}$ ).

### Volume increases

Shape change can also be sensed by changes in platelet volume. The ability of platelet aggregating agents such as ADP to increase cell volume by about 20% was reported in the 1960s<sup>3,39,40</sup>. Born then questioned the validity of measurements based on resistive-particle counting<sup>1</sup>. He used centrifugation procedures in the presence of EDTA and a version of the hematocrit to suggest that the optical SC was not associated with an increase in platelet volume. However, EDTA itself can induce platelet swelling and sphering<sup>33,34,39,41</sup>. These effects, plus the stress of centrifugal forces in causing platelet swelling, serve to mask the ability of ADP to cause an increase in cell volume<sup>19</sup>. Other observations consistent with agonist-induced swelling are decrease in cell density<sup>19</sup> and an increase in  $\text{Na}^+$  content<sup>42–44</sup>. Direct morphometric estimation of ADP-activated platelets also supports the volume increases sensed by resistive-particle counters<sup>45</sup>.

This brief background serves to underpin present confidence in volume measurements as a useful alternative approach to sensing the platelet SC. A useful description of some general aspects by Jackson and Carter<sup>46</sup> and Jagroop

et al.<sup>47</sup> provide valuable correlations between agonist-induced volume increases and platelet shape directly observed by SEM. However, to study the kinetics of agonist-induced increases rather than long-term or static situations, continuous-flow systems are required<sup>37,48</sup>. ADP and thrombin can induce major increases by 0.5 to 1.0 s, and by 10 s, net increases may be 10 to 20% above resting platelet volumes of 6 to about 7.7 fl. The initial speeds of reaction (<0.5 s) approach those needed for efficient platelet function under arterial-flow conditions<sup>49</sup>. In conclusion, platelet-volume measurements now offer an important extra dimension for assessing the platelet SC. A schematic summary of the initial kinetics of the volume increase occurring during the SC, as well as major morphological events, is presented in Fig. 22.6.

### The SC defined by exposure of ‘new’ platelet surface structures and antigens

Activation of platelets by some agonists or by shear stresses can alter platelet morphology and expose new features or molecules on the platelet surface without triggering detection of the SC defined by the criteria presented above

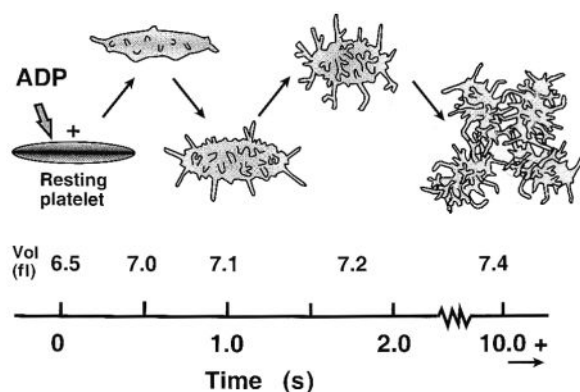


Fig. 22.6. Summary of initial SC events. The scheme illustrates the kinetics of SC visualized by SEM and TEM (Fig. 22.4), as well as reflected in the volume increases caused by agonists such as ADP. The absolute volumes and kinetics of the changes in volume are as published<sup>16,19,47</sup>.

(pp. 320–323). Such changes may represent a significant step in the initiation of platelet function, with important overall consequences for hemostasis. For example, the ‘weak’ agonist epinephrine characteristically fails to elicit an optical SC or increase in platelet volume<sup>19,37</sup>. Aggregation occurs only in the presence of very low levels of a primary agonist such as ADP<sup>50</sup>, with little evidence of a SC detected optically<sup>51</sup>. Recent studies have now shown that other weak agonists such as chemokines stimulate platelet function and cause the formation of ‘blebs’ on the platelet surface, with epinephrine behaving similarly<sup>52</sup>. Of particular interest was the finding that the platelet  $\alpha$ -granule protein CD62P (P-selectin) is exposed as detected by flow cytometry even though there was no evidence for dense granule secretion.

Flow cytometry has been used for many years to detect markers of platelet activation such as the activated conformations of the fibrinogen receptor, the  $\alpha_{IIb}\beta_3$  integrin<sup>53</sup>. A review article by the European group on clinical cell analysis<sup>54</sup> provides a valuable perspective on the expanding capabilities of flow cytometry with regard to platelet function. The SC represents an important initial phase of function and availability of well-defined antibodies will provide useful ways to assess platelet activation not just for ex vivo investigations, but also for in vivo monitoring of circulating platelet status in different clinical situations<sup>55</sup>. P-selectin is just one of a number of activation-dependent markers; others include procoagulant anionic phospholipids and the  $\alpha_{IIb}\beta_3$  integrin.

## Mechanisms and regulation of shape change – intracellular signalling

Less is known about the biochemical events associated with the SC compared to subsequent phases of platelet function<sup>56,57</sup>. An early study highlighted the rapid phosphorylation of myosin light chains in ADP-stimulated platelets, and how this paralleled the kinetics of the SC assessed optically as well as by scanning electron microscopy<sup>58</sup>. Although initial interest was mostly on calcium and myosin light chain phosphorylation in relation to the SC, more recent studies have revealed other participants such as small GTP-binding proteins including Rho, Rac, and Cdc42 and the p160 Rho-associated kinase (Rho-kinase). In addition, new information is helping elucidate the roles of many proteins and factors involved in regulating platelet shape and structure, the dynamics of the actin cytoskeleton, membrane skeleton and microtubules. The aim of this section is to provide a sense of what is now known about signalling events involved in the SC as well as questions for the future.

### Intracellular calcium

Major increases in cytosolic calcium levels  $[Ca^{2+}]_i$  usually occur during activation of platelet function<sup>59</sup>. When platelets are stimulated by many agonists such as ADP or thrombin, cytosolic calcium levels rapidly increase (<5 s), associated with both a large influx through the plasma membrane and increased mobilization of calcium from intracellular stores<sup>59–61</sup>. However, SC-induced by certain platelet agonists can be mediated through pathways that does not require elevation of  $[Ca^{2+}]_i$ <sup>62,63</sup>. Agonists that have been shown to induce this calcium-independent pathway of SC include the heptapeptide ligand of the thrombin receptor, YFLLRNP, low concentrations of thrombin<sup>57,64</sup>, the endoperoxide analogues U46619 and U44069<sup>31</sup>, and mildly oxidized, low-density lipoprotein (mox-LDL)<sup>65</sup>. Consistent with this is the observation that stimulation of  $G_q$ -deficient mouse platelets by various stimuli fails to activate phospholipase C and alter  $[Ca^{2+}]_i$  levels, but the platelets still undergo SC in the absence of aggregation and degranulation<sup>66</sup>. In addition, the initial rate and the onset of the SC are markedly delayed in platelets exposed to the intracellular calcium chelator BAPTA-AM<sup>67</sup>.

### Myosin light chain phosphorylation

A strong correlation exists between phosphorylation of the myosin light chain (MLC) and the initiation of SC<sup>58,68</sup>, and the time course of MLC phosphorylation parallels that of

association of myosin with the actin cytoskeleton<sup>67,69</sup>. Phosphorylated myosin interacts mainly with central actin filaments in platelets, and the myosin-actin complex has been suggested to be involved in the granule centralization process<sup>17,69</sup>. The 20 kDa MLC is phosphorylated during SC induced by ADP, serotonin, vasopressin, PAF, collagen, A23187, endoperoxide analogues and by chilling<sup>58,68,70–72</sup>. Epinephrine by itself does not stimulate MLC phosphorylation or the typical SC from discoid to spheroid platelets<sup>73</sup>, but in combination with ADP contributes strongly to SC and volume increases<sup>16,50</sup>.

MLC phosphorylation is very rapid ( $t^{1/2}$  about 1.5 s) and precedes the induction of the optical SC ( $t^{1/2}$  about 2.5 s). Dephosphorylation occurs soon after platelet activation by ADP or thrombin and does not parallel reversal of platelet shape back to a discoid form<sup>58,72,74,75</sup>. Therefore, myosin phosphorylation may not be required for the maintenance of the SC response. Net phosphorylation represents a balance between the activity of the  $Ca^{2+}$ /calmodulin dependent MLC kinase (MLCK) and MLC-phosphatase (MLCP) and there is now strong evidence that MLC phosphorylation can be induced independently of changes in cytosolic  $Ca^{2+}$  concentration<sup>76</sup>. This theme will be developed below.

### Rho kinases and the SC

Recent studies have revealed that Rho-kinases, which can be activated by the small guanosine triphosphate (GTP)-binding protein RhoA, directly phosphorylates the 130-kDa myosin-binding, regulatory subunit (MBS) of protein phosphatase 1 (PP1), inhibiting phosphatase activity. The overall effect is to increase MLC-phosphorylation<sup>77,78</sup>. This mechanism for stimulating the SC is independent of any increase in cytosolic  $[Ca^{2+}]_i$ <sup>31</sup>. Several platelet agonists induced the SC through both  $Ca^{2+}$ -sensitive and -insensitive pathways which independently contributed to the SC and MLC phosphorylation<sup>67</sup>. The  $Ca^{2+}$ -dependent myosin phosphorylation is very rapid, peaking near 2 s after stimulation with ADP, thrombin or the thromboxane  $A_2$  (TXA<sub>2</sub>) analogue, U46619<sup>67</sup>. These kinetics are very similar to earlier evidence for ADP and thrombin stimulation of MLC phosphorylation<sup>8,79</sup>. Experiments with the relatively selective inhibitors Y-27623 and HA 1077 of the p160<sup>ROCK</sup> or Rho-kinase and with BAPTA-AM, support the conclusion that Rho kinase is involved in stabilizing the phosphorylation of the MLC<sup>80</sup>.

In summary, these recent reports highlight the fact that an increase in intracellular calcium is not the only signal involved in stimulating the SC via MLCK. While an important parallel role for Rho-kinases is clearly involved, the rel-

ative significance of the two kinase pathways, and possibly others, has yet to be clarified for different platelet stimuli.

### Heterotrimeric G-proteins and the SC

Platelets from  $G_q$ -deficient mice appear to undergo SC when exposed to TXA<sub>2</sub>-receptor agonists, thrombin or collagen, even though they lack phospholipase C activation and formation of inositol phosphates, and are unable to aggregate fully or secrete their granule contents<sup>81</sup>. SC can therefore be mediated by  $G_{12}/G_{13}$  activation and as discussed above may involve the Rho/Rho-kinase pathway leading to MLC phosphorylation. In contrast, ADP does not induce SC in  $G_q$ -deficient mouse platelets<sup>66,82,83</sup>; and ADP-induced SC of human platelets is insensitive to the Rho-kinase inhibition<sup>32</sup>. Thus, ADP-induced platelet SC can be mediated by the  $G_q$ -coupled P2Y<sub>1</sub> receptor<sup>31,67,81</sup>. Similarly, activation of  $G_q$ -coupled 5HT<sub>2A</sub> receptors by serotonin is sufficient to induce SC<sup>84–86</sup>. Increased levels of cAMP and cGMP may inhibit platelet function via activation of cAMP and cGMP-dependent kinases. However, Klages et al.<sup>81</sup> reported that cAMP but not cGMP analogues inhibit SC and MLC phosphorylation in  $G_q$ -deficient mouse platelets activated by the  $G_{12}/G_{13}$ -coupled TXA<sub>2</sub> receptor. They propose that SC mediated by Rho/Rho-kinase is specifically inhibited by a cAMP-dependent pathway.

In conclusion, the SC can be activated via several G-protein coupled receptors responding to a variety of different platelet stimuli.  $G_q$ - and  $G_{12}/G_{13}$ -deficient mouse platelets provide valuable models for studying signalling mechanisms not just for the SC alone, but also for linkages to aggregation and secretion. Soon, other genetically modified animal models may be available to understand even better the complex pathways involved in the SC. Shown in Fig. 22.7 is a basic scheme by which activation of G-protein coupled receptors is believed to elicit the platelet SC. Figure 22.8 illustrates a series of signalling events resulting from G-protein stimulation, including both activating and inhibitory steps as well as some of the important enzymes and regulatory compounds involved in regulating actin and myosin function, leading to the SC.

### Protein tyrosine phosphorylation and the SC

Relatively little evidence exists for the SC being directly linked to tyrosine phosphorylation of specific proteins<sup>56</sup>. **Cortactin**, a 77–80 kDa protein which binds to actin filaments, is phosphorylated relatively early (30 s) in thrombasthenic platelets activated with low levels (0.05 U/ml) of thrombin, which induces the SC but no aggregation<sup>81</sup>. A

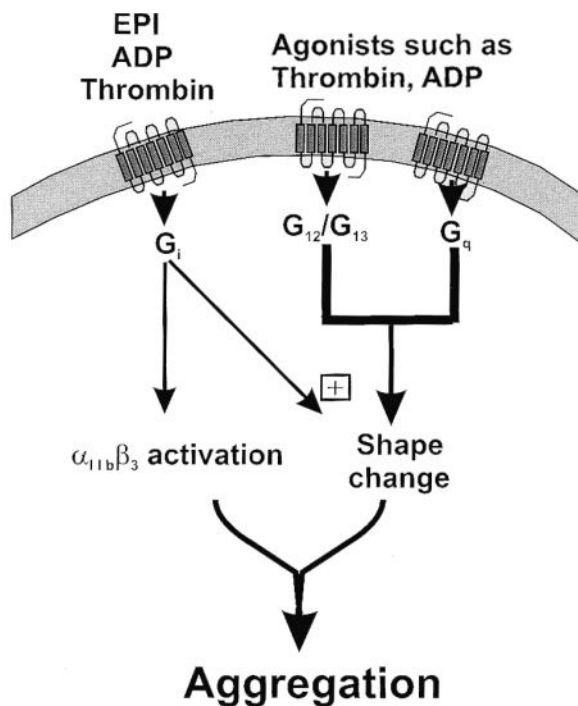


Fig. 22.7. The platelet SC and its linkage to overall platelet function. The main classes of G-protein coupled receptors are indicated<sup>86</sup>.

p130 band was also tyrosine phosphorylated early, and a 64 kDa band later (>60 s). The tyrosine kinase Syk is found associated with cortactin by immunoprecipitation experiments<sup>56</sup>. Tyrosine phosphorylation of p72<sup>Syk</sup> and p60<sup>src</sup> has also been observed in mouse platelets deficient in G<sub>q</sub>, which undergo SC in response to TXA<sub>2</sub>, but do not aggregate<sup>81</sup>. Exposure of human platelets to the peptide YFLLRNP, an antagonist of aggregation stimulated by the SFLLRNP thrombin-receptor activating peptide, induces SC without secretion from platelet granule contents, internal Ca<sup>2+</sup> mobilization, or pleckstrin phosphorylation<sup>64</sup>. The induction of the SC by the YFLLRNP peptide causes protein tyrosine phosphorylation of novel substrate bands at p62, p68, and p130, even in the presence of BAPTA-AM, suggesting an essential role of protein tyrosine kinases for activation of the platelet SC<sup>88</sup>. Mox-LDL induced SC is also accompanied by tyrosine phosphorylation of p62, p68 and p130 and by activation of tyrosine kinase p72<sup>Syk</sup><sup>65</sup>. In addition, inhibition of ADP-induced SC in aspirin-treated gel-filtered platelets by agents that elevate cAMP and cGMP parallels inhibition of protein tyrosine phosphorylation, suggesting that some of the proteins being tyrosine phosphorylated are important in regulating the ADP-induced SC<sup>1</sup>. A recent study, however,

indicates that the ADP- or thrombin-induced SC measured by routine light-transmission methods is not prevented by PP2, an inhibitor of src-family tyrosine kinases<sup>32,89</sup>. On the other hand, broad-spectrum tyrosine kinase inhibitors such as genistein and tyrphostin A23 appear to block SC induced by thrombin or mox-LDL<sup>88,90</sup>. These results support the possibility that unidentified protein tyrosine kinases, unrelated to src-family kinases, may be functionally involved.

### Protein kinase C

During SC induced by thrombin, PAF, ADP, vasopressin, concanavalin A, serotonin, thromboxane A<sub>2</sub> analogues, a rapid increase (<2 s) in the phosphorylation of a p47 kDa protein (pleckstrin), a major protein kinase C (PKC) substrate is observed<sup>78,91,92</sup>. Although the non-specific protein kinase inhibitor, staurosporin, strongly blocks pleckstrin phosphorylation and SC induced by ADP, thrombin or U46619, the relatively specific PKC inhibitors Ro 31-8220, GF 109203X and Ro 31-7549 have no effect on agonist-induced SC<sup>67,93,94</sup>. This observation and the demonstration that phorbol esters or diacylglycerol induce maximal phosphorylation of p47 without evoking the rapid spheration of platelets<sup>95</sup>, argue against a direct role for PKC in the initial SC.

### Role of actin-modulating proteins in the SC

The discoid form of unstimulated platelets is maintained by circumferential bundles of microtubules beneath the plasma membrane, a network of short actin filaments forming a membrane skeleton and an actin gel filament that links the submembrane skeleton and transmembrane proteins<sup>17,18,96</sup>. After activation, actin filaments undergo rapid disassembly and reorganization into new actin structures, including filopodia and lamellipodia, with dynamics closely related to the SC<sup>17,18,97,98</sup>. Thus, actin-modulating proteins must play a critical role in mediating the transmembrane signals that regulate the actin dynamics responsible for the SC. These proteins include myosin, gelsolin, VASP, moesin, adducin and cofilin, with a more complete accounting provided in a recent review of platelet morphology<sup>99</sup>. Early evidence suggested that the circumferential or marginal band of microtubules, which helps maintain resting discoid platelet shape, disappears during the SC<sup>36</sup>. However, the kinetics of this process are not known with regard to the very rapid (<2 s) major changes in platelet shape that have already occurred as assessed optically, or by quenched-flow approaches<sup>1,16,29</sup>.

The formation of filopodia and lamellipodia is thought

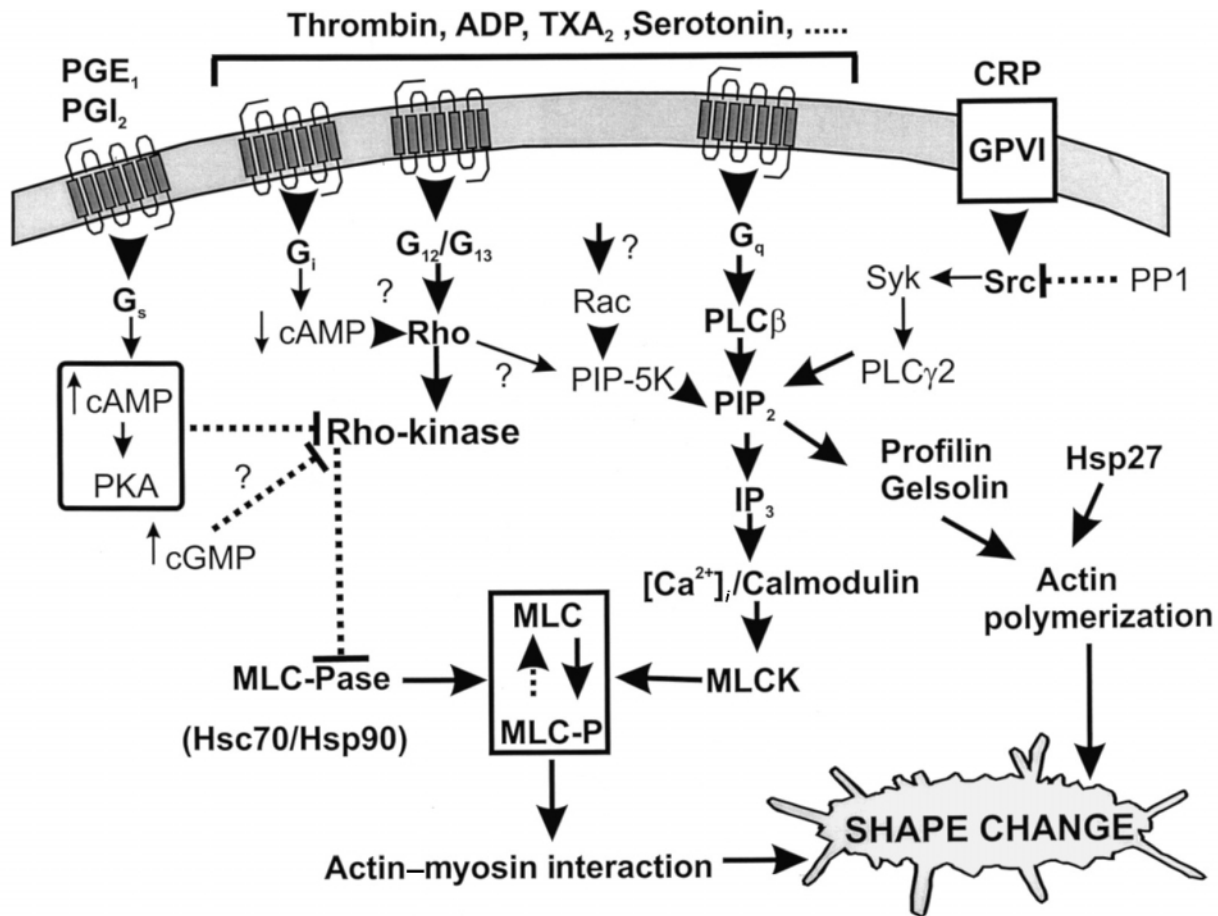


Fig. 22.8. Signalling events during the platelet SC. The main players involved in causing actin polymerization and myosin phosphorylation are shown, together with activation by plasma-membrane receptors, calcium, protein kinases and phosphatases, and heat-shock proteins. The heat-shock proteins, Hsp27 and Hsc70/Hsp90 are also indicated, since there is evidence that they may regulate actin polymerization and the activity of the myosin phosphatase<sup>109,110,116</sup>.

to be mediated primarily by **gelsolin**, an abundant actin-filament severing protein. Platelet SC is decreased in gelsolin-deficient mouse platelets and is abnormal in hereditary gelsolin Asp187Asn-related amyloidosis<sup>100,101</sup>. In contrast, the 48–50 kDa vasodilator-stimulated phosphoprotein (VASP) is phosphorylated in response to vasodilators and platelet inhibitors that increase cAMP and cGMP levels (prostaglandin E1 (PGE1), forskolin, NO, sodium nitroprusside (SNP)<sup>102–104</sup>) and VASP is believed to inhibit SC by stabilizing actin filaments and preventing their disassembly by gelsolin<sup>105,106</sup>. In VASP-deficient mouse platelets stimulated with collagen, the SC occurs more rapidly than in normal platelets<sup>107</sup>. Earlier studies were consistent with a slow (minutes) phosphorylation of VASP in intact platelets<sup>102</sup>. Recently, it has been shown that platelets from control or VASP-deficient mice exposed to PGE1 are inhibited similarly with regard to platelet func-

tion. This result suggests that the inhibitory effects of cAMP are mediated in part via a VASP-dependent mechanism<sup>108</sup>, and a clear understanding of the function of VASP and its phosphorylation status in the SC remains to be reached.

**Small heat-shock proteins** (sHsps) are also involved in regulation of the actin-cytoskeleton<sup>109,110</sup>. In particular, phosphorylation of Hsp27 may play a role in regulating actin polymerization<sup>111–113</sup> and stabilizing the actin cytoskeleton<sup>114</sup>. Recently, Hsp27 has been reported to be phosphorylated by a cGMP-dependent protein kinase (cGK) and thus may be involved in inhibition of platelet responses<sup>115</sup>. In addition, the **large heat-shock proteins**, hsc70 and hsp90, exist in a large signalling complex in resting platelets, which is highly phosphorylated and contains the PP1–M regulatory and catalytic subunits<sup>116</sup>. The roles of this complex in the SC remain to be established.

**Moesin** is a member of the ezrin/radixin/moesin (ERM) family of proteins that acts as a linker between the plasma membrane and actin cytoskeleton and is involved in the formation and stabilization of filopodia<sup>117</sup>. Thrombin stimulation induces a transient increase in moesin phosphorylation at Thr558<sup>118</sup> that correlates with its F-actin binding activity<sup>119</sup>. Phosphorylated moesin binds F-actin only in the presence of phosphoinositides such as PI(4,5)P<sub>2</sub>. Following thrombin activation, the cytosolic moesin redistributes to the membrane skeleton and co-localizes with actin filaments in newly-formed filopodia and lamellipodia<sup>120</sup>. Moesin is also required for actin assembly mediated by Rho family GTPases<sup>121</sup>. Recently, it has been shown that lysophosphatidic acid (LPA) induces MLC and moesin phosphorylation in a Ca<sup>2+</sup>-independent manner via Rho/Rho-kinase<sup>122</sup>. However, observations that the moesin-gene-knockout does not affect platelet aggregation<sup>123</sup> might question significance of a critical role for moesin in platelet function.

**Adducin**, a membrane-skeleton protein, stimulates the binding of spectrin to actin filaments<sup>124</sup>. There is also strong evidence that Rho-kinase and myosin phosphatase (PP1-M) can regulate the F-actin binding activity of adducin through its phosphorylation, promoting the assembly of the spectrin-F-actin network<sup>125,126</sup>. Adducin is also phosphorylated by PKA and PKC<sup>127,128</sup>.

**Cofilin** is an 18 kDa actin-binding protein that can bind to F-actin and depolymerize it<sup>129</sup>. In resting platelets cofilin is phosphorylated and localized to the cytoplasm, but after activation becomes dephosphorylated and translocates to the plasma membrane, complexed with F-actin<sup>130</sup>. The actin-binding and depolymerizing activity of cofilin can be regulated by phosphatidylinositol 4-phosphate (PIP) and 4,5-bisphosphate (PIP<sub>2</sub>)<sup>131</sup>. Dephosphorylation kinetics and correlation with the onset of SC have not yet been fully studied. An inhibitor of serine/threonine protein phosphatases, okadaic acid (OA), that causes a dramatic change in platelet morphology<sup>132,133</sup>, also blocks dephosphorylation of cofilin in T lymphocytes<sup>134,135</sup>. Since dephosphorylation of cofilin activates its actin-depolymerizing function, activation of serine/threonine protein phosphatases such as PP1 may be involved in the dynamic rearrangement of the actin cytoskeleton.

### Kinetics of platelet shape change with regard to signalling

As presented above, the major morphological changes are nearly complete within 2 s after platelet activation by ADP or thrombin<sup>16</sup>. The rapid increases in cytosolic free Ca<sup>2+</sup> maximal within 2 to 3 s accompany the morphological

changes in platelets<sup>60,61</sup>. ADP induces significant increases in MLC phosphorylation by 0.3 s, that reaches a maximum at 3 s and increases are approximately twice as much as with thrombin. The ADP-induced MLC phosphorylation is transient and reverses within 1 min<sup>136,137</sup>. However, during the first 5 s after stimulation MLC phosphorylation by ADP<sup>138</sup> or by thrombin<sup>92</sup> is not well correlated with Ca<sup>2+</sup>-dynamics. This suggests that other mechanisms are also involved, possibly Rho-kinase. The early kinetics (<5 s) of other serine/threonine protein phosphorylations potentially associated with the SC are not known, nor for phosphorytyrosine-containing proteins.

### Effect of platelet inhibitors

A number of compounds regulate platelet protein kinases/and phosphatases and cause platelets to lose their disc shape and develop pseudopodia. Nevertheless, treatment with these agents appears to desensitize platelets to further stimulation with physiological agonists and block their physiological responses. Increases in cyclic AMP which inhibit platelet activation can actually induce pseudopod-like structures, indicating PKA activation of PKA may cause cytoskeletal reorganization leading to a platelet SC<sup>139,140</sup>. Inhibitors of serine/threonine protein phosphatases types 1 (PP1) and 2A (PP2A), okadaic acid (OA) and calyculin A (CLA), also induce changes in platelet morphology<sup>132,141</sup> that are correlated with inhibition of platelet aggregation and secretion. The phosphorytyrosine phosphatase inhibitor vanadyl hydroperoxide induces morphological alterations in platelets that correlate with increased tyrosine phosphorylation and potentiation of cell adhesion. In ADP-stimulated platelets, the cGMP-elevating agent, sodium nitroprusside, inhibits SC by 50%<sup>142</sup>.

### Phosphoinositides and the SC

Polyphosphoinositides (PPI) play a key role in the reorganization of the actin filament network in different cells, including platelets by interacting with proteins that modulate actin assembly such as gelsolin and capping protein<sup>18,99,143,144</sup>, profilin<sup>144-147</sup>, cofilin<sup>128,130,148-150</sup> and the ERM protein, moesin<sup>119</sup>. Bursts of actin polymerization *in vivo* involve the transient appearance of free barbed-ends. It is thought that the two most abundant barbed-end capping proteins gelsolin and capping protein can be released from capped actin filament ends by interacting with the PIP<sub>2</sub> and PIP. In platelets, uncapping of gelsolin-capped filaments seems to generate free barbed ends and contributes to actin polymerization. Uncapping would occur at the membrane so that additional actin polymer-

ization would result in formation of filopodia and lamellipodia. A recent review<sup>151</sup> summarizes the role of polyphosphoinositides in forming signalling protein complexes and regulating actin assembly in human platelets, focusing on pleckstrin homology (PH) domains. Platelet stimulation induces rapid phosphoinositide hydrolysis that is associated with actin polymerization and PIP<sub>2</sub> binding to actin-capping proteins, leading to the generation of actin-free ends and initiation of actin polymerization. Important interactions occur between the phosphoinositide kinases and small GTP-binding proteins such as Rac, Cdc42, and Rho, that are implicated in controlling the organization of actin cytoskeleton<sup>152</sup>. Thus, activation of Cdc42 may lead to rapid filopodial protrusions<sup>152,153</sup>. Activation of Rac causes fibroblasts to extend lamellipodia<sup>154</sup> while Rho is thought to be involved in the formation of stress fibres and focal contacts<sup>155</sup>. In platelets, Rac stimulates the uncapping of actin filaments through a PPI-dependent mechanism<sup>156</sup>. Rho and Rac regulate phosphatidylinositol 4-phosphate 5 kinase (PIP-5K) that is necessary for PIP<sub>2</sub> production<sup>156–159</sup>. Platelet stimulation with thrombin leads to activation of PI3-K and production of PI(3,4)P<sub>2</sub> and PI(3,4,5)P<sub>3</sub>, that correlates with the kinetics of actin assembly and shape change<sup>95</sup>. The production of PI(3,4)P<sub>2</sub> correlates with the translocation of PI3-K to the platelet actin cytoskeleton and an increase in PI3-K enzyme activity<sup>160</sup>. Both activated-Rac and -Cdc42 associate with PI3-K. Interestingly, the inhibition of PI3-K activity by specific inhibitors such wortmannin does not alter the kinetics of actin assembly and shape change induced by TRAP or ADP suggesting that PI3-K is not required for actin assembly<sup>93,161</sup>.

### Shape change and disease: clinical relevance

There are relatively few examples of platelet-specific SC abnormalities associated with pathological situations, suggesting that an inability to undergo efficient SC may prove fatal in embryonic development. However, there are some conditions in humans and in animals such as dogs, where abnormal SC can occur. More common are clinical situations where an abnormal plasma environment influences platelet function. This section will consider known examples and also the usefulness of SC measurement in the important area of platelet storage in blood banks.

There is evidence that hypertension is associated with an enhanced SC measured optically<sup>162–164</sup>. Surface expression of CD62P (P-selectin) measured by flow cytometry is enhanced in acute and recent cerebrovascular ischemia, while the proportion of resting discoid platelets assessed by phase-contrast microscopy is unchanged compared to

control subjects<sup>55</sup>. Thus, the information obtained and conclusions reached can be influenced by the techniques used. SC in unstable angina is enhanced three–fourfold compared to controls when assessed by the amplitude of the optical tracing<sup>165</sup>, while exercise of postmyocardial infarction patients caused a significant activation of platelet morphology judged by light microscopy detection of the appearance of pseudopodia and loss of discoid shape<sup>166</sup>.

Several other conditions or diseases are linked to SC abnormalities. Platelets in the rare **May-Hegglin anomaly** are large (mean volume of about 12 fl) and spherical and the disease is characterized by thrombocytopenia and mild bleeding<sup>167</sup>. Platelet aggregation induced by ADP or collagen is apparently normal, while SC in 15 subjects was completely absent as reflected in the optical tracings. Electron microscope studies indicate limited pseudopodia formation<sup>168</sup>. Abnormal SC has been reported in several other giant-platelet syndromes<sup>169</sup>, including the Bernard–Soulier syndrome. Wilson's disease represents another situation where SC is usually absent<sup>170</sup>, associated with a mild thrombocytopenia and a bruising tendency.

Platelet SC may be modulated abnormally by plasma factors in certain diseases. Thus, in both **thrombotic thrombocytopenic purpura** (TTP) and **hemolytic uremic syndrome** (HUS), inflammation and disturbances in endothelial cell function may contribute to the SC problem by releasing proteins and platelet-active substances into the blood<sup>171</sup>. An early indication of plasma factors which could induce the platelet SC was reported in 1975<sup>172</sup>. Plasma from subjects with **acute or chronic heart disease** caused normal platelets to exhibit spread forms as visualized by electron microscopy. A number of factors might be responsible for these SC plasma effects, including oxidized-LDL<sup>121,173</sup>, platelet-active amines such as epinephrine, or serotonin (5-OH tryptamine)<sup>50,174</sup>, and most recently, chemokines which may link inflammatory conditions with cardiovascular disease and platelet activation<sup>52,175–178</sup>.

An interesting linkage exists between the disease **hereditary gelsolin-related amyloidosis** (A Gal amyloidosis) and defective platelet SC induced by ADP or collagen<sup>100</sup>. This disorder is caused by a G654A or G654T mutation in the gene coding for gelsolin, an actin filament severing protein, as described earlier. Only light scattering was used to study SC and more direct information by SEM would have been valuable since gelsolin is believed to play a major role in the formation of filopodia and lamellipodia.

Measurement of platelet volume provides a useful index of the SC as outlined earlier. The existence of large platelets has been described for a variety of diseases or conditions<sup>46</sup>. Thus, large platelets may be associated with the development of atherosclerosis<sup>179,180</sup>, although interpretations of

such relationships are compounded by the fact that platelet populations are heterogeneous in size, density and other parameters<sup>8,181–183</sup>. For example, large platelets may be more sensitive to an aggregating agent than smaller cells, but their maximal rates of aggregation are lower<sup>8,13,181</sup>. Large, dense platelets adhere to collagen much more rapidly than lighter, small cells<sup>184</sup>, causing the non-adherent platelet population to appear smaller. An interesting example of volume changes reflecting the platelet SC and relevant to clinical situations was recently reported. The drug Naftidrofuryl (NAF), which improves claudication distance associated with peripheral vascular disease (PVD), prevents the ability of the vasoconstrictor endothelin-1 and 5-OH tryptamine (serotonin) to cause the platelet SC sensed as an increase in mean platelet volume<sup>174</sup>.

Several areas of research suggest the utility of SC measurements in **non-disease situations**. Thus, optical analysis of the ability of ADP to induce a SC in EDTA-treated platelets to minimize aggregation, and by a hypotonic-shock test indicate good correlation with *in vivo* platelet survival<sup>185</sup>. This information has proved important for developing optimal *in vitro* storage conditions of platelet concentrates. The effects of diet and environmental factors on platelet function, usually studied as light-scattering aggregation, have been studied for many years, often without clear resolution. The antioxidant Vitamin E does not block platelet aggregation, even at high dietary supplementation. However, much lower doses of Vitamin E it strongly inhibit adhesion under flow to surfaces coated with fibrinogen or collagen<sup>186</sup>. Examination of adherent platelets by SEM revealed that the SC of platelets from Vitamin E-supplemented individuals was much reduced, with few blunt pseudopodia compared to the longer narrower pseudopodia of platelets from control individuals. The reasons for dietary Vitamin E blocking the SC caused by platelet adhesion are not clear<sup>186</sup>.

## Conclusions and questions for the future

### Conclusions

1. The shape change (SC) represents one of the earliest functional events (<5 s) after platelet stimulation. It is characterized by the loss of resting discoid shape with the formation of more rounded cells which initially exhibit short blebs and later, longer pseudopodia.
2. Detection and definition of the SC depend on the methods used for its study. Four main ones are most appropriate and combinations are recommended: (a) changes in the light-scattering properties of platelet suspensions; (b) changes in morphology assessed by light microscopy and by transmission, scanning and freeze-fracture electron microscope; (c) changes in platelet volume detected by resistive-particle counters; and, (d) by exposure of 'new' surface epitopes, by techniques such as flow cytometry.
3. Two major cell-signalling pathways mediate the SC, Ca<sup>2+</sup>-dependent and independent ones, and simultaneous inhibition of both blocks SC. The former involves G<sub>q</sub>-linked activation of the myosin light-chain kinase (MLCK), and the latter G<sub>12/13</sub> activation of Rho, leading to stimulation of Rho-kinase and inhibition of myosin phosphatase, also promoting phosphorylation of myosin light chains.
4. The important role of serine/threonine phosphorylation in regulating the SC is supported by the fact that okadaic acid, which inhibits protein phosphatase-1 and -2A (PP1 and PP2A) activities induces strong changes in platelet shape.
5. Evidence for roles of protein tyrosine phosphorylation is more limited. Src-kinase inhibitors do not prevent the ADP or thrombin-induced SC, while the collagen-related peptide (CRP) induced SC can be completely prevented. Tyrosine phosphorylation of cortactin, p72<sup>Syk</sup> and p60<sup>src</sup>, and protein bands at 62, 68 and 130 kDa has been noted for various agonists, but direct relevance to the SC has yet to be established.
6. A number of additional proteins and factors are involved in the SC. Moesin, a linker protein between the plasma membrane and the actin cytoskeleton, is transiently phosphorylated and redistributed to the membrane skeleton and into pseudopodia. Other proteins include cofilin which is dephosphorylated during the SC, moving from the cytoplasm to the actin cytoskeleton, and adducin a membrane-skeleton protein associated with F-actin, and which can be phosphorylated.
7. Phosphoinositides play important roles in the SC and organization of the actin cytoskeleton, by interaction with proteins such as gelsolin and profilin, and by tethering proteins containing pleckstrin homology or 'PH' domains, to form signalling complexes.
8. Platelet-specific disorders of the SC are rare, while abnormal SC is associated with a number of clinical situations. Thus, cardiovascular disease such as hypertension, angina, ischemia or congestive heart failure, may be linked to an enhanced SC. In addition, inflammatory conditions such as TTP and HUS are associated with platelet activation, including the SC.



## Future avenues for research

A number of issues about the platelet SC need to be addressed to help our understanding of the fundamental mechanisms involved in initiating and regulating the SC and linkage to overall aspects of platelet function with correlations with clinical situations. The topics outlined below provide a window on where profitable research is likely.

1. The important question of whether the SC is essential for subsequent platelet function, particularly aggregation, should be resolved; or, is the SC more related to the role of platelets in the clotting cascades?
2. There is a critical need to discover signal-transduction events that are specific to the SC. Only limited information is available about protein tyrosine phosphorylation and little is known about the earliest kinetics of signalling changes before aggregation begins at about 1 s after agonist activation. Such studies should be related to later events such as full, stable aggregation.
3. Information is also needed about initial SC and concurrent signalling events occurring during platelet adhesion to surfaces such as collagen or fibrinogen under static and flowing conditions. This should be contrasted with knowledge about changes seen during solution activation as has been the situation for almost all studies to date.
4. The role of signalling complexes in maintaining resting platelet shape requires study, as well as how scaffold proteins and their partners, including associations with the plasma membrane, membrane skeleton and cytoskeleton may be involved.

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## Aggregation

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### Introduction

Platelet aggregation is involved in the formation of hemostatic plugs and arterial thrombi. Under normal circumstances, platelets are non-adhesive and circulate singly, but following vessel wall injury they adhere to the injury site and to each other. During the hemostatic process, platelet aggregates, stabilized by fibrin, arrest bleeding from injured or severed vessels. In contrast to this useful function, platelet aggregates that form on injured vessels, on ruptured atherosclerotic plaques, or in regions of high shear contribute to the narrowing of blood vessels. If thrombi are unstable, they may embolize and block smaller vessels downstream from an injury site. Since platelet aggregation has a major role in the clinical complications of atherosclerosis (myocardial infarction, ischemic stroke, and peripheral vascular disease), there is intensive study of the processes involved in platelet aggregation and of inhibitors of platelet activation.

In vivo, activators of platelets include the agonists that are listed in Table 23.1. Receptors for some of the most important agonists are discussed in Chapters 8–11. Aggregating agents can act singly, and are frequently studied singly in vitro, but in vivo they undoubtedly act in concert with each other in a process described as synergism. Synergistic responses result in a combined effect that is greater than the additive effects of the single stimuli<sup>1,2</sup>. In vivo, the most important aggregating agents are collagen in the vessel wall, ADP from red blood cells or released from the platelets themselves, thromboxane A<sub>2</sub> formed by stimulated platelets, and thrombin, although other agonists such as serotonin may contribute to the aggregation process. Epinephrine alone does not cause platelet aggregation, but it can strongly enhance aggregation by other agonists<sup>3–5</sup>.

**Table 23.1.** In vivo aggregating agents and conditions

ADP
Collagen
Arachidonic acid and prostaglandin endoperoxides PGG <sub>2</sub> and PGH <sub>2</sub> , and thromboxane A <sub>2</sub>
Thrombin and the cleaved peptide of the protease-activated receptor, PAR1
Epinephrine and norepinephrine <sup>a</sup>
Platelet activating factor (PAF)
Serotonin
Arginine vasopressin (AVP)
Thrombospondin
Cathepsin G
Plasmin
Bacteria and endotoxin, viruses, and parasites
Tumour cells
Immune complexes and antiplatelet antibodies
High levels of fluid shear stress

*Note:*

<sup>a</sup> Epinephrine is not a true aggregating agent, but exerts strong synergistic effects with other agonists (see text).

When an aggregating agent interacts with its receptor(s) on the platelet surface, intracellular signalling reactions occur that result in a change in the conformation of glycoprotein (GP)IIb/IIIa ( $\alpha$ Ib $\beta$ 3) (inside-out signalling) so that it becomes a receptor for fibrinogen or von Willebrand factor. Each of these proteins can form bridges between the stimulated platelets, leading to the formation of a mass of aggregated platelets<sup>6</sup>. Binding of fibrinogen or von Willebrand factor causes further changes in the conformation of GPIIb/IIIa, and also additional internal changes (outside-in signalling)<sup>7,8</sup>.



The number of inhibitors of platelet function is enormous and constantly increasing. In 1991, George and Shattil<sup>9</sup> provided a large list of drugs, foods, spices and vitamins that may cause abnormalities of platelet function. The inhibitory effect of most of these substances has been found by *in vitro* testing, and there are few reports of bleeding problems, except with aspirin. Some of the drugs that are routinely recommended for inhibition of thrombosis in subjects at risk, or to inhibit platelet aggregation after bypass surgery, percutaneous transluminal coronary angioplasty (PTCA), endarterectomy, transplantation, etc. (for example, aspirin, ticlopidine or clopidogrel) are discussed in Part IV. The most recently developed inhibitors of arterial thrombosis are those that inhibit the interaction of fibrinogen with its receptor, GPIIb/IIIa, on activated platelets<sup>10,11</sup> (Chapter 63). However, any of these antithrombotic agents that interfere with platelet aggregation carry with them the risk of bleeding since platelet aggregation is involved in hemostasis as well as in the formation of arterial thrombi.

A number of naturally occurring, but rare, abnormalities of platelet aggregation have contributed significantly to our understanding of the processes by which platelets adhere to each other. Some of these are considered in Chapters 42–44 and in the chapters concerning platelet receptors (Chapters 8–13). They include Glanzmann's thrombasthenia in which abnormalities of GPIIb/IIIa have been identified<sup>12,13</sup>; von Willebrand disease<sup>14</sup>; the Bernard–Soulier syndrome in which glycoprotein Ib/IX/V is defective<sup>15</sup>; platelet storage pool defects<sup>16,17</sup>; abnormalities of the thromboxane A<sub>2</sub> receptor<sup>18</sup>; disorders of signal transduction and secretion<sup>19</sup>; and defects of the ADP receptor<sup>20–22</sup>.

### Platelet aggregation *in vitro*

A number of devices have been used to measure platelet aggregation *in vitro* (Chapters 30 and 31). Initially, aggregation was observed visually in anticoagulated platelet-rich plasma, or microscopically. The development of aggregometers in which changes in light transmission could be measured at 37°C in rapidly stirred platelet-rich plasma or in suspensions of washed platelets provided a far greater opportunity for measuring platelet responsiveness to a variety of stimuli and the effect of inhibitors of platelet aggregation<sup>23,24</sup>. More recently, platelet aggregation in diluted whole blood has been studied by impedance aggregometry<sup>25,26</sup>. Other techniques involving quenched-flow single-particle counting devices<sup>27</sup>; platelet function analysers (PFA-100, Dade)<sup>28,29</sup>; and RPFA (rapid platelet function

assay, Accumetrics)<sup>30</sup>, cone-and-plate devices<sup>31,32</sup>, and flow cytometry<sup>33</sup> have been used to assess the extent of platelet aggregation. Some of these methods have been compared by Bennett<sup>34</sup> and by Nicholson and colleagues<sup>35</sup>. Currently, there is intense interest in bedside devices such as the RPFA to monitor platelet reactivity during the administration of inhibitors of GPIIb/IIIa<sup>36,37</sup>.

### Turbidometric platelet aggregation

After interaction with an aggregating agent, platelets rapidly change shape from discs to spiny spheres with pseudopodia (see Chapter 22). In an aggregometer, this change results in a decrease in light transmission, and a decrease in the amplitude of the oscillations of light transmission that are characteristic of disc-shaped platelets. Shape change can occur without platelet aggregation, for example, if platelets are exposed to an aggregating agent without stirring, or if no fibrinogen or von Willebrand factor is available for binding. In a stirred system, there usually appears to be a lag phase before an increase in light transmission occurs, but small aggregates (2–6 platelets) have been found to be present during this time<sup>38–41</sup>. The formation of larger aggregates is indicated by an increase in light transmission and eventually by large oscillations as the aggregates interrupt the light beam (Fig. 23.1). If the platelets deaggregate, light transmission decreases. Upon stimulation with most aggregating agents (ADP is a major exception, see below), platelets form thromboxane A<sub>2</sub> and release the contents of their storage granules, P-selectin (CD62P, an alpha granule membrane protein) appears on the platelet surface, and the surface develops procoagulant activity (see Chapters 24–26). Strong stimulation, for example with a combination of collagen and thrombin, results in the formation of microparticles<sup>42</sup>. The presence of circulating, procoagulant microparticles has been demonstrated in several clinical conditions<sup>43</sup>. These events are the result of the intracellular signalling reactions that are set in motion when an agonist interacts with its receptor(s) on the platelet surface. Among the internal changes that occur are an increase in cytosolic calcium, activation of phospholipase A<sub>2</sub> leading to mobilization of arachidonic acid which is converted to thromboxane A<sub>2</sub>, and activation of phospholipase C, causing breakdown of inositol phospholipids to form 1,2-diacyl glycerol (DAG) and 1,4,5-inositol trisphosphate (IP<sub>3</sub>). DAG activates protein kinase C and IP<sub>3</sub> causes mobilization of calcium from internal stores. More detailed descriptions of internal signalling and cytoskeletal changes are given in other chapters in this section.

The extent to which platelets aggregate *in vitro* is influ-

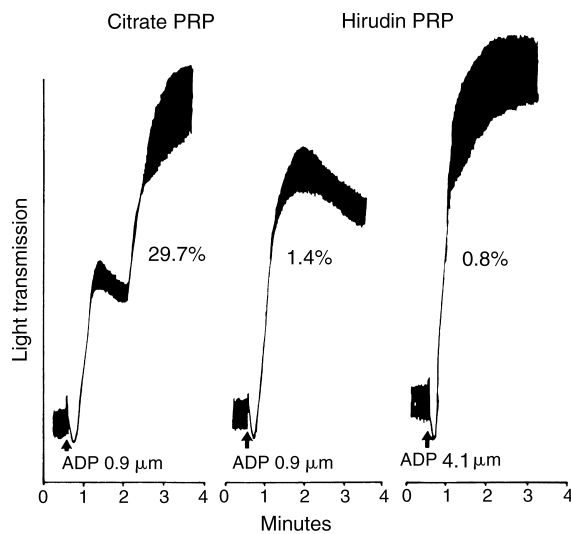


Fig. 23.1. Effect of the anticoagulant on aggregation and release of <sup>14</sup>C-serotonin from prelabelled platelets stimulated with ADP. Platelets in citrated plasma (low calcium concentration) undergo two phases of aggregation and release <sup>14</sup>C-serotonin from their amine storage granules in response to 0.9 μM ADP (left tracing). Platelets in plasma from blood anticoagulated with hirudin undergo only a single phase of aggregation and do not release amine storage granule contents (centre tracing), even when the concentration of ADP is higher (4.1 μM) (right tracing). The percentages of <sup>14</sup>C-serotonin released in three minutes after the addition of ADP are shown beside the aggregation tracings. Reprinted with permission<sup>44</sup>.

enced by the conditions under which the blood is collected. Care should be taken to avoid stressing the donor who should not have had antiplatelet drugs during the preceding week; the time between venipuncture and testing should be monitored since the responsiveness of platelets may increase as the time after venipuncture lengthens if CO<sub>2</sub> is lost and the pH rises; hemolysis must be avoided because red blood cells contain ADP; siliconized or plastic tubes should be used to prevent platelets sticking to the sides of the containers; storage temperature, plasma pH, platelet count, length of stir bar and speed of stirring should be standardized. These requirements have been discussed in detail elsewhere<sup>44–47</sup>. When aggregation is to be tested in suspensions of isolated platelets in artificial media, a physiological concentration of ionized calcium (1–2 mM), a source of metabolic energy such as glucose, a protective protein such as albumin, and apyrase to degrade any ADP that is lost from the platelets should all be present to retain platelet sensitivity to low concentrations of weak agonists. Added fibrinogen is also necessary in studies of aggregation by ADP or other weak agonists that

do not cause the release of appreciable amounts of the contents of the platelet storage granules.

### Impedance platelet aggregometry

In this method, anticoagulated whole blood is diluted 1:1 with saline and warmed to 37°C in a cuvette in which a two-electrode assembly is inserted<sup>34</sup>. While the sample is stirred, an aggregating agent is added and the increase in impedance (measured in ohms) is recorded as platelets aggregate and adhere to the electrodes. The recording is similar to that resulting from turbidometric aggregation in platelet-rich plasma, except that the lag phase is prolonged to 10 to 30 s and shape change and second phase aggregation are not evident<sup>26</sup>. Inhibitors of platelet aggregation appear to be less effective in this whole blood impedance method<sup>26</sup> and it is affected by the hematocrit<sup>48,49</sup> and the platelet count<sup>50</sup>. The advantages over turbidometric aggregometry are that less blood is required, the preparation of platelet-rich plasma is avoided, and aggregation of lipemic blood samples can be measured<sup>49</sup>. Impedance aggregometry, like turbidometric aggregometry, is insensitive to the formation of small platelet aggregates<sup>34</sup>.

### Effects of the anticoagulant

The choice of anticoagulant affects the aggregation response. When EDTA is used, the concentration of ionized calcium is too low to support platelet aggregation, although shape change occurs. However, in some individuals, platelets are agglutinated in EDTA-anticoagulated blood by autoantibodies, leading to falsely low platelet counts; this phenomenon was at one time described as pseudothrombocytopenia<sup>51–53</sup>. In contrast, normal platelets that are stored at 37°C for 5–7 minutes or longer in citrated plasma to which EDTA has been added, lose their ability to aggregate in response to ADP and other weak agonists when adequate concentrations of calcium are restored<sup>54</sup>. This loss of aggregability caused by strong calcium chelation is attributed to an irreversible change in the fibrinogen receptor, GPIIb/IIIa.

Anticoagulants that preserve the physiological concentration of ionized calcium are hirudin (now available as recombinant hirudin) and PPACK (D-phenylalanyl-L-prolyl-L-arginine chloromethyl ketone), both of which act by inhibiting the thrombin that forms after blood collection. However, although PPACK is a satisfactory anticoagulant for human blood, it agglutinates rabbit platelets<sup>55</sup>. Heparin has had a long history of use as an anticoagulant,

but as Zucker<sup>56</sup> pointed out in 1975 'platelet aggregates are commonly found in blood collected directly into heparin. Their presence can be inferred from the low platelet count that may be noted in platelet-rich plasma'. The platelet aggregates sediment with the red blood cells or stick to the walls of the tube<sup>46</sup>.

By far the most commonly used anticoagulant is sodium citrate, which acts by lowering the concentration of ionized calcium into the micromolar range (40  $\mu\text{M}$  when 10.9 mM citrate is used<sup>57</sup> and  $<5 \mu\text{M}$  when 12.9 mM citrate is used<sup>58</sup>), thus inhibiting thrombin formation so that aggregation can be tested in platelet-rich plasma. However, this low concentration of ionized calcium has two effects that are seldom understood. The first effect is that, in this low calcium medium, platelets brought into close contact with each other by rapid stirring are induced to form thromboxane  $\text{A}_2$ , release their granule contents, including ADP from the amine storage (dense) granules, and undergo a second phase of aggregation that is not readily reversible (Fig. 23.1)<sup>59</sup>. This phenomenon occurs not only in citrated platelet-rich plasma, but in suspensions of washed platelets in media to which no calcium salt has been added. In such a medium the concentration of ionized calcium is  $\approx 20 \mu\text{M}$  and ADP will induce a primary phase of aggregation, followed by the secondary phase (Fig. 23.2), as it does in citrated platelet-rich plasma. However, if calcium (0.5 mM or higher) is added to the medium, only the primary phase of ADP-induced aggregation occurs, thromboxane  $\text{A}_2$  does not form and little release of granule contents occurs (Fig. 23.2). Other agents that bring platelets into close contact with each other in a low calcium medium can be shown to have the same effect, such as polylysine<sup>60,61</sup> or the agglutinating agent ristocetin<sup>62</sup>. The secondary phase is abolished by aspirin or other non-steroidal anti-inflammatory drugs which prevent the formation of thromboxane  $\text{A}_2$ . Because of this inhibition by aspirin, some investigators have chosen to study aggregation in the presence of aspirin to prevent the secondary aggregation effect. However, the primary response of platelets to aggregating agents is diminished in a low calcium medium (compare the extent of primary aggregation in citrated platelet-rich plasma with that in hirudin platelet-rich plasma in Fig. 23.1)<sup>59</sup>. This weaker response is the second, largely unrecognized effect of testing platelet aggregation in a low calcium medium. An important consequence of this effect is the finding that citrate anticoagulation 'enhances the apparent inhibitory activity' of inhibitors that interfere with the interaction of fibrinogen with GPIIb/IIIa, as shown by Phillips and colleagues<sup>63</sup> with Integrilin (eptifibatide). These investigators reported that lower concentrations of Integrilin inhibited platelet aggregation in plasma anticoagulated with citrate

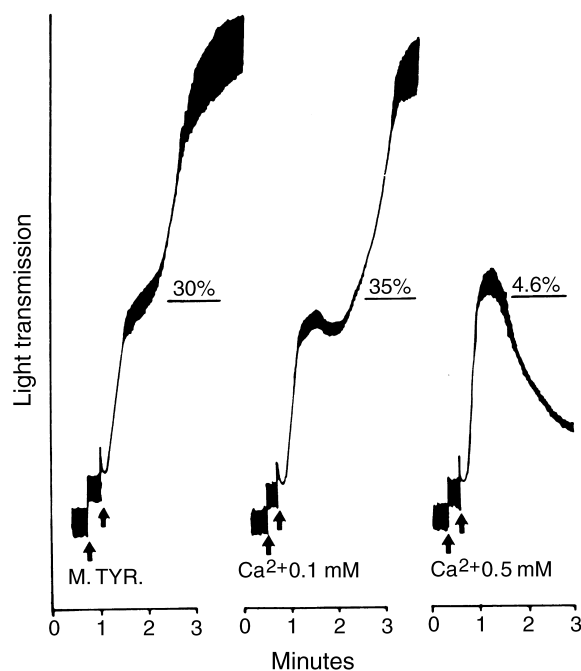


Fig. 23.2. Effect of calcium concentration in the suspending medium of washed human platelets prelabelled with  $^{14}\text{C}$ -serotonin and aggregated by ADP (0.8  $\mu\text{M}$ ). Platelets were suspended in a modified Tyrode-albumin solution (from which calcium was omitted) containing apyrase and fibrinogen. Without added calcium or with 0.1 mM calcium (left tracings), the platelets undergo two phases of aggregation and release  $^{14}\text{C}$ -serotonin (percentage released in two minutes is indicated beside the aggregation curves). In the presence of 0.5 mM calcium, only the primary phase of aggregation occurs and release of  $^{14}\text{C}$ -serotonin is greatly inhibited (right tracing). Reprinted with permission<sup>44</sup>.

than with PPACK, and suggested that since the inhibitory activity was overestimated in blood samples collected with citrate, 'it may be possible to achieve greater antithrombotic efficacy beyond that observed in clinical trials to date with Integrilin'. Similar observations have been reported by other investigators<sup>64,65</sup>.

Clinically, routine tests of platelet responsiveness to aggregating agents usually involve the use of ADP, collagen, epinephrine, arachidonic acid and ristocetin with platelets in citrated platelet-rich plasma. With platelets from individuals with Glanzmann's thrombasthenia, none of these aggregating agents induces aggregation, but the agglutinating agent ristocetin causes platelets to adhere to each other. Lack of aggregation in response to arachidonic acid and epinephrine, abnormally low responsiveness to collagen, and only a primary phase of aggregation in response to ADP, usually indicates that the subject has taken aspirin

or one of the large number of other substances that inhibit thromboxane  $A_2$  formation. (In 1974, Leist and Banwell<sup>66</sup> provided a list of about 250 drugs that contain aspirin.) Rarely would an individual with a defect in the formation of thromboxane  $A_2$ <sup>67</sup> or of the thromboxane  $A_2$  receptor(s)<sup>18</sup> be encountered. If ristocetin-induced agglutination is defective, either von Willebrand disease or Bernard-Soulier syndrome is indicated. A more definitive test for von Willebrand disease can be done with fixed or lyophilized platelets<sup>68</sup>.

### ADP-induced aggregation

In the early days (1964), ADP was administered intravenously to humans<sup>69</sup>, but other experiments of this type have been done only with experimental animals<sup>70,71</sup>. ADP injected into the blood stream causes a rapid fall in the platelet count and many platelet aggregates are observable in arterioles, venules and capillaries. After a short time, however, the platelet count returns to the pre-infusion level and few platelet aggregates are visible upon histological examination. Thus, *in vivo*, ADP-induced aggregation is readily reversible, undoubtedly because only the primary phase of aggregation occurs, and because the ADP phosphohydrolase in plasma<sup>72</sup> and the ecto-ADPase on other blood cells and on the endothelium<sup>73,74</sup> rapidly dephosphorylate the ADP.

The role of ADP in platelet aggregation was first recognized by Gaarder and her colleagues<sup>75</sup> in 1961 when they showed that ADP from red blood cells could cause aggregation. 'Spontaneous' aggregation occurs under conditions of high shear and is much greater in whole blood than in platelet-rich plasma; ADP from red blood cells is at least partly responsible since enzymatic removal of ADP inhibits this effect<sup>76-78</sup>. ADP from red blood cells may be important in the formation of the platelet aggregates in arterial thrombi, particularly at sites of high shear and in conditions in which hemolysis occurs<sup>73</sup>.

ADP is also released from stimulated platelets themselves and plays an important synergistic role in aggregation by all agonists that induce the release of platelet granule contents. It also has been shown to take part in stabilizing aggregates induced by other agonists such as thrombin<sup>79,80</sup>.

There appear to be three ADP receptors on platelets, namely  $P2X_1$ , an ion channel linked to  $Ca^{2+}$  influx, the purinergic  $P2Y_1$  receptor that is responsible for shape change through intracellular calcium mobilization, and a  $P2_{cyc}$  ( $P2T_{Ac}$ ) receptor that causes adenylyl cyclase inhibition and is involved in enhancing aggregation<sup>12,81</sup>. (See Chapter 9.)

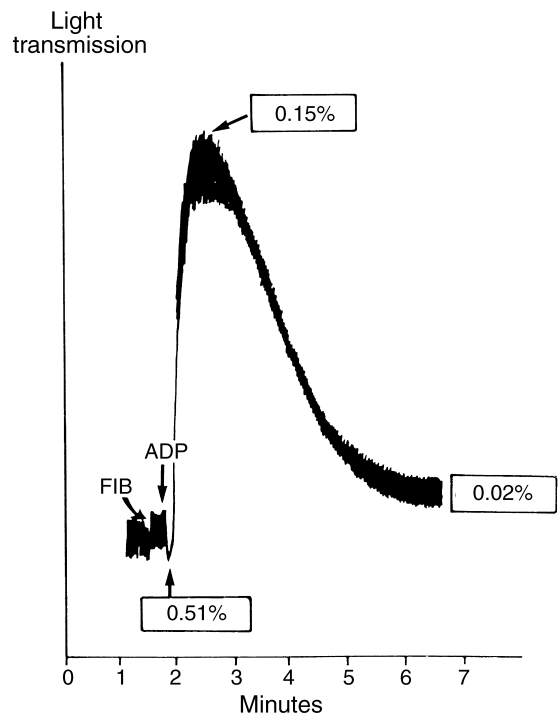


Fig. 23.3. Association of <sup>125</sup>I-fibrinogen with washed human platelets during shape change, aggregation and deaggregation induced by 10  $\mu$ M ADP. Percentages of radioactive fibrinogen associated with the platelets are shown in the boxes beside the aggregation curve.

*In vitro*, in a medium with a physiological concentration of ionized calcium, ADP causes only a primary phase of platelet aggregation that is readily reversible since it is not accompanied by thromboxane  $A_2$  formation or significant release of platelet granule contents. Little P-selectin appears on the platelet surface and procoagulant activity does not develop. The fibrinogen that binds to the platelets during aggregation dissociates during deaggregation (Fig. 23.3)<sup>82</sup>. Primary aggregation of this type is readily demonstrable in platelet-rich plasma from human blood anticoagulated with hirudin or PPACK, and in suspensions of washed platelets in media containing a physiological concentration of ionized calcium (Figs. 23.1, 23.2). Under these conditions, although high concentrations of ADP cause extensive aggregation, the changes associated with secondary aggregation do not occur (Fig. 23.1). However, as discussed above, when human platelets in a low calcium medium such as citrated platelet-rich plasma are aggregated by ADP at concentrations above 1–2  $\mu$ M, primary aggregation does not reverse, but is followed by secondary aggregation. At intermediate concentrations of ADP, this secondary response is evident as a second wave of the

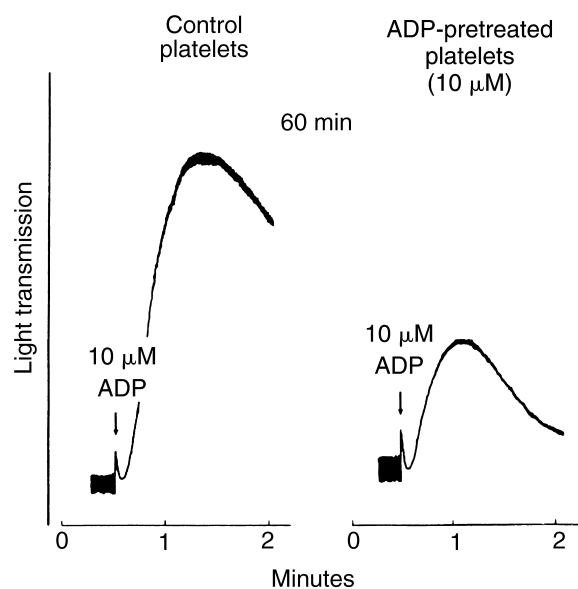


Fig. 23.4. Effect of pretreating platelets with 10  $\mu\text{M}$  ADP on their subsequent response to a second stimulation with ADP. Washed platelets in Tyrode-albumin solution were exposed for 60 minutes to the diluent solution (control, left tracing) or ADP (right tracing) before the addition of fibrinogen and 10  $\mu\text{M}$  ADP.

increase in light transmission in an aggregometer (Fig. 23.1), but with high ADP concentrations (10  $\mu\text{M}$  or higher) the first and second waves fuse. There is a species difference in the effect of a low concentration of ionized calcium on ADP-induced aggregation. Platelets from humans and most other primates, cats, piebald and rosette guinea pigs (but not white guinea pigs), and about one third of mongrel dogs undergo secondary ADP-induced aggregation, but platelets from rabbits, rats, mice, pigs, mink, cows, goat, sheep, horses and most dogs do not<sup>83-85</sup>.

In vitro, platelets that have undergone the primary phase of ADP-induced aggregation and have deaggregated are temporarily refractory to subsequent additions of ADP (Fig. 23.4)<sup>23,86-89</sup>. Platelets that have been isolated and resuspended in an artificial medium also become refractory to ADP. Because the development of this refractoriness can be prevented by the inclusion of apyrase (ATPase EC 3.6.1.5) in the suspending medium, it is evident that ADP lost from the platelets themselves is responsible for this diminished responsiveness<sup>90,91</sup>. Recently, the refractory state has been attributed to desensitization of the P2Y<sub>1</sub> receptor caused by its internalization<sup>89</sup>.

One to two days following their oral or intravenous administration, the thienopyridines ticlopidine and clopidogrel (actually their metabolites) specifically inhibit ADP-induced aggregation<sup>92,93</sup>. This inhibition has been

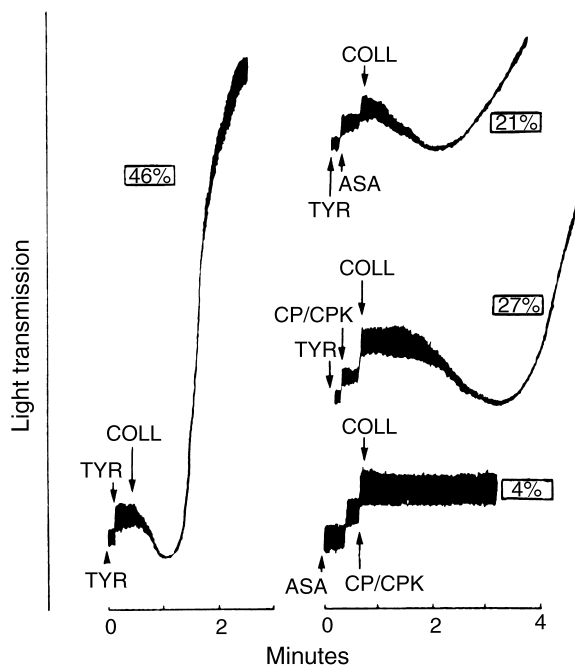


Fig. 23.5. Effect of creatine phosphate/creatine phosphokinase (CP/CPK, to convert released ADP to ATP) and aspirin (ASA, to prevent formation of thromboxane A<sub>2</sub>) on platelet aggregation and release of <sup>14</sup>C-serotonin from prelabelled platelets. In combination, these inhibitors block the responses of platelets to collagen (COLL). Tyrode solution (TYR) was added to maintain constant volume. The percentages of released <sup>14</sup>C-serotonin 3 minutes after the addition of collagen are shown in the boxes beside the aggregation tracings.

attributed to their blocking the inhibitory effect of ADP on adenylyl cyclase<sup>94,95</sup>. (See Chapter 62.)

### Aggregation by collagen

Several components of the platelet surface have been implicated as receptors for collagen<sup>96,97</sup>. (See Part 1, Chapter 11.) Although at least 19 types of collagen have been characterized, types I and III are most effective as platelet aggregating agents. The native triple-helical structure of collagen is required<sup>98</sup> and usually fibrils from equine tendon are used. GPIa/IIa (integrin  $\alpha 2\beta 1$ ) and GPVI are the receptors on platelets through which they adhere to collagen and are activated<sup>12</sup>.

When collagen is tested as a platelet aggregating agent in vitro, there may be a lag phase of up to a minute before there is an appreciable increase in light transmission in an aggregometer (Fig. 23.5). During this time some of the platelets adhere to the collagen fibrils and are induced to

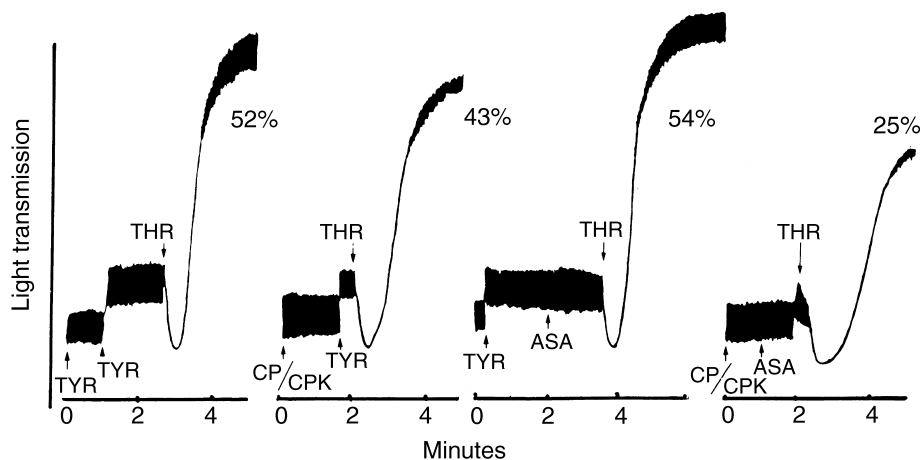


Fig. 23.6. Effect of creatine phosphate/creatine phosphokinase (CP/CPK, to convert released ADP to ATP) and aspirin (ASA, to prevent formation of thromboxane  $A_2$ ) on platelet aggregation and release of  $^{14}\text{C}$ -serotonin from prelabelled platelets. This combination of inhibitors reduces, but does not abolish, platelet responses to 0.05 U/ml thrombin (THR). Tyrode solution (TYR) was added to maintain constant volume. The percentages of released  $^{14}\text{C}$ -serotonin three minutes after the addition of thrombin are shown in the boxes beside the aggregation tracings.

form thromboxane  $A_2$  and to release ADP. These two aggregating agents act synergistically on the non-adherent platelets, causing aggregation. Aggregation can be lessened by inhibiting either agonist, for example, by the use of aspirin to prevent formation of thromboxane  $A_2$ , or by rapid removal of ADP with apyrase or creatine phosphate/creatine phosphokinase (CP/CPK); the combination of these two types of inhibitors may be completely inhibitory (Fig. 23.5)<sup>99,100</sup>.

### Aggregation induced by arachidonic acid and thromboxane $A_2$

When platelets interact with most aggregating agents, phospholipase  $A_2$  is activated and liberates arachidonic acid from membrane phospholipids. The cyclo-oxygenase and thromboxane synthase enzymes in the platelets convert arachidonic acid to thromboxane  $A_2$ <sup>101</sup> which causes aggregation and the release of platelet granule contents (Chapter 10). Non-steroidal anti-inflammatory drugs inhibit cyclo-oxygenase, but aspirin is unique in that it irreversibly acetylates the enzyme so that it is inhibited for the remainder of the life of the platelet. Thus the effect of the ingestion of aspirin lasts for several days and is indicated by absent or diminished responsiveness of the platelets to arachidonic acid. Since thromboxane  $A_2$  is very short-lived, tests of it as an aggregating agent are generally done with stable mimetics, such as U46619 (9,11 dideoxy-11 $\alpha$ -epoxymethano-prostaglandin  $F_{2\alpha}$ )<sup>102</sup>.

Some drugs with both thromboxane synthase inhibitory activity and thromboxane receptor-blocking effects have been developed to prevent the activation of platelets by thromboxane  $A_2$ <sup>103</sup>.

### Thrombin-induced aggregation

Thrombin is the strongest aggregating agent that platelets encounter in vivo. As described in Chapter 8, the protease-activated receptors, PAR1 and PAR4, are responsible for the induction of aggregation by thrombin<sup>104</sup>. Although thrombin causes the formation of thromboxane  $A_2$  and releases ADP from the platelet dense granules, and these aggregating agents increase the extent of thrombin-induced aggregation, it is not prevented when thromboxane  $A_2$  formation is blocked and ADP is rapidly removed (Fig. 23.6)<sup>99,100</sup>. However, ADP has been shown to stabilize thrombin-induced aggregates<sup>79,80</sup>.

Although thrombin-induced aggregation cannot be studied satisfactorily in platelet-rich plasma because of the formation of fibrin, the effects of thrombin are readily studied in suspensions of washed platelets in artificial media. After the thrombin receptor, PAR1, and its mechanism of action were discovered by Coughlin's group<sup>105</sup>, peptides of the tethered ligand such as SFLLRN (also called TRAP, i.e. thrombin receptor-activating peptide) have been used to study aggregation in platelet-rich plasma as well as in suspensions of washed platelets. In using such peptides as surrogates for thrombin, one must be aware that they do

not interact with other thrombin receptors such as PAR4 or the high affinity thrombin receptor on glycoprotein Ib. In addition, SFLLRN does not aggregate platelets from rabbits, rats, dogs, pigs and hamsters<sup>106,107</sup>.

Unexpectedly, Furman and colleagues<sup>108</sup> have shown an aggregating effect on human platelets of the peptide that is cleaved from the thrombin receptor when the tethered ligand is exposed.

When platelets have been aggregated by thrombin, they are not readily deaggregated, because fibrin formed from fibrinogen released from the alpha-granules binds them together; it is necessary to use a proteolytic enzyme such as chymotrypsin or plasmin to achieve deaggregation<sup>109,110</sup>. (In vivo, fibrinolytic agents are effective in disrupting arterial thrombi.) It is, however, possible to activate platelets with thrombin to induce them to release their granule contents without aggregation and recover them as discrete, degranulated platelets<sup>111</sup>. Degranulation with SFLLRN has been developed as an even gentler method<sup>112</sup>. Degranulated platelets have P-selectin and procoagulant phospholipid on their surface. Despite the reactions that they have undergone, in experiments with rabbits and baboons, degranulated platelets have been shown to survive normally upon reinjection<sup>109,113</sup>. P-selectin is lost from the surface of thrombin-activated baboon platelets in the circulation<sup>113</sup>, but the length of time that they retain their procoagulant activity in vivo is not established (in vitro it is present for up to 4 hours<sup>114</sup>). If the procoagulant surface persists for an appreciable time in vivo, platelets that recirculate following disruption of a thrombus by fibrinolysis and the forces of flowing blood may contribute to thrombin generation and further aggregation at other sites in the circulation.

## Epinephrine

Although epinephrine is not a true aggregating agent<sup>3-5</sup>, it does aggregate platelets in citrated platelet-rich plasma<sup>115</sup>. However, an initial change in platelet shape does not occur<sup>3,47,86,116</sup>. Epinephrine rarely aggregates platelets in plasma from blood anticoagulated with hirudin or PPACK (Fig. 23.7)<sup>3,57</sup>. Epinephrine-induced aggregation in citrated platelet-rich plasma is invariably inhibited if subjects have recently ingested aspirin or other drugs that prevent thromboxane A<sub>2</sub> formation, providing an excellent test for the presence of such inhibitors.

Epinephrine interacts with  $\alpha_2$ -adrenergic receptors on human platelets, synergistically and strongly enhancing the effects of other aggregating agents, even when they are tested at concentrations too low to have measurable aggre-

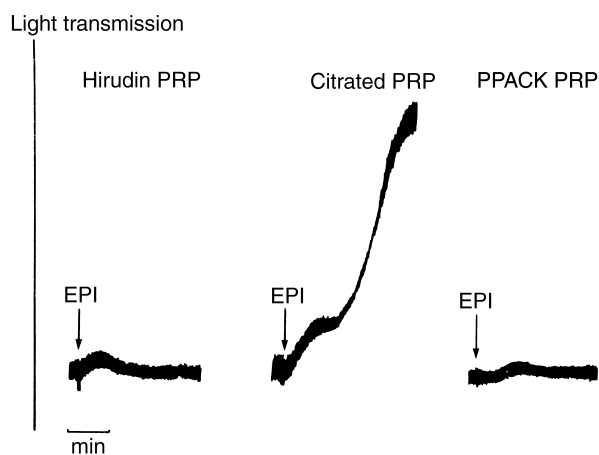


Fig. 23.7. Effect of 10  $\mu\text{M}$  epinephrine (EPI) in platelet-rich plasma (PRP) from blood anticoagulated with hirudin (50 U/ml), sodium citrate (1 part 3.8% to 9 parts of blood), or PPACK (FPRCH<sub>2</sub>Cl, 40  $\mu\text{M}$ ). Platelets maintain their disc shape, indicated by the oscillations of light transmission, and extensive aggregation occurs only in citrated PRP. (Reprinted with permission<sup>3</sup>.)

gating effects by themselves<sup>1,3</sup>. The epinephrine-induced aggregation in citrated platelet-rich plasma is probably the result of traces of ADP and/or thrombin<sup>4,117</sup>. It seems likely that the wide variations in the responsiveness of human platelets to epinephrine is related to the concentration of other agonists in the plasma, and/or the presence of inhibitors from ingested substances.

It has been suggested that stress, leading to elevated levels of circulating epinephrine, may be linked to coronary heart disease<sup>118,119</sup>.

## Aggregation induced by platelet activating factor (PAF)

The wave of interest in PAF (1-O-alkyl-2-acetyl-sn-glycerol-3-phosphorylcholine) as a platelet aggregating agent<sup>120</sup> has passed and its other functions have been recognized as of more importance<sup>121</sup>. PAF originates from IgE-sensitized basophils, stimulated macrophages and neutrophils, and platelets themselves<sup>122</sup>, although human platelets, in contrast to rabbit platelets, form very little upon stimulation with thrombin<sup>123</sup>. Human platelets are much less sensitive to PAF than are guinea pig or rabbit platelets with which much of the early work was done; platelets from rats, mice and most primates are insensitive to PAF<sup>120,124</sup>. At one time PAF was considered as possibly responsible for a postulated third pathway of thrombin-induced aggregation, but with

the identification and elucidation of the action of the thrombin receptor, PAR1, this suggestion regarding the role of PAF is no longer considered.

Nevertheless, PAF is a weak aggregating agent, similar to ADP in that in a medium with a physiological concentration of calcium it does not cause thromboxane A<sub>2</sub> formation or extensive release of granule contents. However, in citrated platelet-rich plasma or an artificial medium with no added calcium, PAF induces human platelets to form thromboxane A<sub>2</sub> and release ADP<sup>120</sup>. There may be circumstances *in vivo* in which PAF acts synergistically with other agonists to enhance aggregation.

### Serotonin-induced aggregation

Serotonin (5-hydroxytryptamine, 5-HT) is a weak agonist for human platelets and the slight aggregation response is followed by deaggregation<sup>115,125</sup>. In contrast, cat platelets in citrated platelet-rich plasma undergo second phase aggregation in response to serotonin at concentrations greater than 3 μM<sup>126</sup>. Serotonin exerts mild synergism with other agonists<sup>1,127</sup>. Platelet activation by serotonin involves 5-HT<sub>2</sub> receptor binding sites which differ from the sites responsible for serotonin uptake<sup>128</sup> as shown by experiments with ketanserin, a selective 5-HT<sub>2</sub> receptor antagonist.<sup>127,129</sup> Platelets carry all the serotonin in the blood in their amine storage granules and when it is released upon stimulation with agonists such as thrombin or collagen, it enhances their effects.

### Aggregation induced by arginine vasopressin (AVP)

Arginine vasopressin is a nine amino acid peptide hormone with effects on many systems in addition to its effect on platelets. Wun<sup>130</sup> has reviewed the evidence that the receptor on human platelets is of the V<sub>1a</sub> type.

In 1972, Haslam and Rosson<sup>131</sup> discovered that platelets are aggregated by vasopressin, although it is classified as a 'weak' agonist. A concentration of at least 2.5 nM is required for minimal aggregation, with a maximum response at 100 nM, and this concentration is several orders of magnitude greater than physiological levels. However, in heparinized platelet-rich plasma, 1 pM has been shown to cause the appearance of P-selectin on the platelet surface, indicating activation of the platelets<sup>132</sup>. In addition, vasopressin can act in synergy with other aggregating agents<sup>118,133</sup>. The aggregation response of human platelets to vasopressin has been shown to be heterogene-

ous<sup>134</sup>. Platelets from some normal individuals display only primary aggregation, followed by deaggregation, induced by concentrations of vasopressin as high as 100 nM, whereas platelets from other subjects undergo extensive secondary aggregation in response to as little as 10 nM<sup>134</sup>.

The beneficial effect of vasopressin infusions in gastrointestinal bleeding may be related to a combination of vasoconstriction with the release of von Willebrand factor, and platelet activation<sup>130</sup>.

The synthetic analogue of vasopressin, Desmopressin (1-desamino-8-D-arginine vasopressin, DDAVP) has not been shown to aggregate platelets, but it does increase platelet aggregation induced by collagen or ADP<sup>135</sup>. DDAVP primarily acts on V<sub>2</sub> receptors and has only minor V<sub>1</sub> agonist activity<sup>130</sup>. However, in concentrations reached after the usual therapeutic dose, DDAVP does induce the expression of P-selectin on the platelet surface<sup>136</sup>.

### Thrombospondin-induced aggregation

The interactions of thrombospondin with platelets have been reviewed by Mosher<sup>137</sup> and by Legrand and co-workers<sup>138</sup>. It is released from the alpha granules of stimulated platelets and binds to the platelet surface; it is also present in the subendothelial matrix. Thrombospondin is responsible for the endogenous lectin activity of stimulated platelets<sup>139</sup>. It has been suggested that thrombospondin binds to fibrinogen and to the platelet surface and thus strengthens fibrinogen binding<sup>140</sup>. *In vitro*, thrombospondin has been reported to slowly aggregate platelets in a reaction involving GPIV (CD36), but not GPIIb/IIIa<sup>141</sup>. It also promotes aggregation induced by other agonists. Mosher<sup>137</sup> has pointed out that aggregated thrombospondin could agglutinate platelets, and that there are many possible interactions of thrombospondin with molecules at the platelet surface. Recently, thrombospondin has been shown to activate platelets via integrin-associated protein (IAP/CD47), a five membrane-spanning receptor<sup>142</sup>. Chung and colleagues<sup>143</sup> have raised the possibility of a role for IAP and thrombospondin in the early activation of platelets upon adhesion to collagen.

### Aggregation induced by cathepsin G

The proteolytic enzyme cathepsin G from activated leukocytes can induce platelet aggregation, release of granule contents, thromboxane A<sub>2</sub> formation, increase in cytosolic calcium and activation of protein kinase C<sup>144-146</sup>. In 1994, Selak<sup>147</sup> obtained evidence that the receptor for cathepsin



G differed from the receptor for thrombin, and it has now been shown that the protease-activated receptor, PAR4, mediates the responses of platelets to cathepsin G<sup>148</sup>. Cathepsin G also has synergistic effects with other aggregating agents and these effects are partly due to released ADP<sup>149</sup>. Pretreatment of platelets with this enzyme cleaves the thrombin receptor PAR1 so that the tethered ligand is lost and the platelet responses to thrombin are greatly reduced. Their responsiveness to some other aggregating agents (collagen, SFLLRN, U46619) is diminished, but they remain normally responsive to ADP in the presence of fibrinogen<sup>150</sup>. Thus, although cathepsin G activates platelets, if they recirculate after interaction with it, their subsequent responses to aggregating agents will be lessened.

### Effects of plasmin

The proteolytic enzyme plasmin cleaves GPIb and GPIIb/IIIa on the platelet surface, in addition to its fibrinolytic action<sup>151–153</sup>. In vivo, platelets are exposed to endogenous plasmin, but they are also exposed to high concentrations when plasminogen activators are infused to disrupt thrombi in arteries<sup>154</sup>. When platelets that have been exposed to plasmin during fibrinolysis return to the circulation, their subsequent responses to aggregating agents are changed. Collier<sup>154</sup> has reviewed the platelet-activating and platelet-inhibiting effects of fibrinolytic agents in vivo.

In vitro, plasmin at concentrations  $\geq 1$  caseinolytic unit causes platelet aggregation, release of granule contents, an increase in cytosolic calcium, inositol phospholipid breakdown and activation of protein kinase C<sup>155,156</sup>. Lower concentrations of plasmin have been reported to inhibit platelet responses to thrombin and other agonists<sup>157–159</sup>. Experimentally, the effects of plasmin on platelets are affected by its concentration and the time and temperature of incubation of the platelets with plasmin<sup>160</sup>. Plasmin has been reported to activate platelets in the same way as thrombin, cleaving PAR1 at Arg 41 and thus revealing the tethered ligand, SFLLRN<sup>161</sup>. However, pretreatment of platelets with plasmin reduces their responsiveness to thrombin<sup>157,158,162</sup>. It was suggested and later shown<sup>163</sup> that plasmin cleaves PAR1 at a point distal to Arg 41 so that SFLLRN is lost (PAR4 could also be a target for plasmin on human platelets.)

Plasmin causes limited cleavage of GPIIb/IIIa, leading to a conformational change that converts it to the receptor for fibrinogen and von Willebrand factor<sup>153,164</sup>. Consequently, when plasmin-pretreated platelets are stirred in the presence of fibrinogen, they are aggregated/agglutinated.

Rabhi-Sabile and colleagues<sup>164</sup> have implicated von Willebrand factor rather than fibrinogen in this process. The observed potentiation of aggregation of plasmin-pretreated platelets by agonists other than thrombin<sup>162</sup> is probably due to increased binding of ligands that interact with partially cleaved GPIIb/IIIa.

### Other in vivo aggregating agents

Although high concentrations ( $>1 \mu\text{M}$ ) of PGE<sub>2</sub> inhibit human platelet aggregation, lower concentrations in the range produced by activated platelets potentiate aggregation by most agonists<sup>165</sup>. There is evidence that this potentiation involves the 'priming of protein kinase C to activation by other agonists'<sup>166</sup>. Interestingly, in heparinized platelet-rich plasma, PGE<sub>2</sub> directly aggregates pig platelets<sup>165</sup>.

Beta-amyloid peptides aggregate platelets<sup>167</sup>, and augment ADP-induced aggregation<sup>168</sup>. Stromal cell-derived factor 1 and macrophage-derived chemokine are weak agonists, but enhance platelet responses to other aggregating agents<sup>169</sup>. Thrombopoietin potentiates aggregation induced by other agonists<sup>170,171</sup>.

It has been suggested that zinc ions may be a requirement for ADP-induced aggregation by activating protein kinase C and enhancing fibrinogen receptor exposure<sup>172,173</sup>. Short-term zinc deficiency impairs platelet aggregation induced by ADP or arachidonate<sup>174</sup>.

Many bacteria induce platelet aggregation and the release of granule contents in vitro and in vivo, and the endotoxin formed by gram negative bacteria can cause disseminated intravascular coagulation (DIC) (see Chapter 51). The very weak effects of endotoxin on human platelets are in contrast to the strong effects of endotoxin on platelets from other species, particularly rabbits.

Several viruses have been shown to cause platelet aggregation and the release of granule contents (see Chapter 52).

Some parasites and tumour cells have also been found to aggregate platelets (see Chapters 53 and 54).

Immune complexes can cause platelet aggregation<sup>175,176</sup> and some platelet antibodies also have this effect. Heparin-induced thrombocytopenia (HIT) is caused by IgG antibodies that recognize complexes of heparin and platelet factor 4, resulting in platelet aggregation via platelet Fc gamma IIa receptors<sup>177,178</sup>. Procoagulant microparticles from the stimulated platelets generate thrombin in vivo, which may be largely responsible for thrombosis associated with HIT<sup>179</sup> (see Chapter 39).

### Shear-induced aggregation

Shear-induced platelet aggregation is thought to be responsible for the formation of arterial thrombi at sites where vessel walls have been narrowed by atherosclerotic plaques<sup>180,181</sup>. High wall shear rates also occur during bleeding from severed arterioles<sup>182</sup>.

In vitro, platelets aggregate without the addition of an agonist when they are subjected to high levels of fluid shear stress in devices such as a cone-and-plate viscometer<sup>31</sup>. This aggregation response requires the presence of von Willebrand factor multimers, extracellular calcium, ADP released from the platelets, and GPIb and GPIIb/IIIa on the platelet membrane<sup>183</sup>. Shear stress-induced aggregation does not depend on the formation of thromboxane A<sub>2</sub> and thus is not inhibited by aspirin.

Evidence has been obtained that during thrombus formation under high shear stress, von Willebrand factor binds to GPIb and to GPIIb/IIIa and the thrombi are stabilized by fibrinogen binding to GPIIb/IIIa on the activated platelets<sup>184–186</sup>. Shear-induced platelet aggregation is enhanced in platelet-rich plasma from patients with acute myocardial infarction, apparently as a result of an increase in the concentration of von Willebrand factor in the plasma<sup>187,188</sup> (see Part I, Chapter 13).

### Spontaneous aggregation and platelet hypersensitivity

In some conditions, spontaneous aggregation occurs upon stirring anticoagulated blood or platelet-rich plasma. Peerschke and Zucker<sup>189</sup> observed spontaneous aggregation upon rewarming and shaking chilled platelets in the presence of fibrinogen. Spontaneous aggregation is a feature of the Montreal Platelet Syndrome<sup>190</sup>. Triplett and colleagues<sup>45</sup> listed a number of conditions in which spontaneous aggregation had been observed, including transient cerebral ischemia, angina pectoris, myocardial infarction, acute peripheral vascular occlusion, and diabetes. Platelet hypersensitivity to aggregating agents has also been reported in these conditions and in hyperbetalipoproteinemia, paroxysmal nocturnal hemoglobinuria, migraine, gout, acute renal failure and hypertension<sup>191,192</sup>.

Trip and colleagues<sup>193</sup> have indicated that spontaneous platelet aggregation in vitro is a useful biologic marker for prediction of coronary events and mortality in survivors of myocardial infarction. However, sensitivity of platelets to ADP-induced aggregation does not appear to be predictive of the risk of first episodes of ischemic heart disease<sup>194</sup>.

### Agglutination induced by ristocetin or botrocetin

The antibiotic ristocetin induces agglutination rather than aggregation, involving von Willebrand factor forming bridges between the platelets. In tests of von Willebrand factor activity, ristocetin is used with paraformaldehyde-fixed platelets<sup>68</sup>. Botrocetin, derived from a snake venom, is used in a similar manner. Unlike aggregation, agglutination does not depend on the metabolic capability of the platelets. When ristocetin is added to unfixed platelets in stirred, citrated platelet-rich plasma, agglutination is followed by a second phase that is aggregation caused by thromboxane A<sub>2</sub> and released ADP<sup>62</sup>.

Bovine or porcine von Willebrand factor causes agglutination of human platelets without the addition of ristocetin<sup>195,196</sup>.

### Endogenous inhibitors of platelet aggregation

Foremost among inhibitors of aggregation that platelets encounter in the circulation are those that stimulate an increase in the concentration of cyclic AMP in platelets (see Part I, Chapter 20). Adenosine, arising from the dephosphorylation of ATP and ADP, is one of these<sup>197</sup>. With platelets from humans, sheep, dogs and rabbits, adenosine is a potent inhibitor, but it is less effective with platelets from horses, guinea pigs, cats, rats and mice.

Normally, adenosine formed in plasma is rapidly taken up by red blood cells, but this uptake is blocked by the vasodilator dipyridamole, and related pyrimido-pyrimidines. Although these drugs can also raise cyclic AMP levels by inhibition of phosphodiesterase, it is considered that they exert most of their inhibitory effects on platelets by increasing the concentration of adenosine in plasma<sup>198</sup>.

Prostacyclin (PGI<sub>2</sub>), produced from arachidonic acid by endothelial cells, also raises the concentration of cyclic AMP in platelets and is a potent inhibitor of platelet aggregation<sup>199</sup>. Prostaglandin D<sub>2</sub> is also an inhibitor formed in vivo in small amounts<sup>200</sup>.

The vasodilator nitric oxide (NO, originally called endothelium-derived relaxing factor) is a short-lived inhibitor that acts by increasing the concentration of cyclic GMP in platelets<sup>201</sup>. It is formed by the endothelium, platelets and leukocytes<sup>202,203</sup>.

ATP and AMP inhibit ADP-induced aggregation by interfering with the binding of ADP to its receptors<sup>204</sup>. ADP is broken down by phosphohydrolases in plasma<sup>72</sup> and by the ecto ADPase on other blood cells and on the endothelium<sup>73,74</sup>.

Antithrombin (antithrombin III) inhibits the action of thrombin, particularly in the presence of heparin<sup>205</sup>. Heparin cofactor II also inhibits thrombin, and tissue factor pathway inhibitor (TFPI) interferes with the generation of thrombin<sup>206</sup>. The protein C anticoagulant pathway also reduces thrombin formation; its action involves the combination of thrombin with thrombomodulin to activate protein C. Activated protein C forms a complex with plasma protein S that inactivates coagulation factors Va and VIIIa on membrane surfaces<sup>207</sup>.

### Non-physiological aggregating agents used only in vitro

A large number of reagents that aggregate platelets have been used in vitro in studies of the mechanisms involved in aggregation<sup>204,208</sup>, but a comprehensive list of these is beyond the scope of this review. Only a few of the most commonly used agonists will be mentioned here.

The calcium ionophores A23187 and ionomycin induce shape change, aggregation, and the release of granule contents<sup>209</sup>. They have been used extensively to investigate the effects of raising the concentration of calcium in platelets. A23187 is a strong stimulus for microparticle formation<sup>42</sup>.

The tumour-promoting agent, phorbol myristate acetate, is an aggregating agent<sup>210</sup> that exerts its effect by activating protein kinase C<sup>211</sup>. Diacylglycerols have a similar effect<sup>212</sup>.

Sodium fluoride and fluoroaluminate (AlF<sub>4</sub>) have been used to explore G-protein mediated platelet responses<sup>213–215</sup>.

Since thromboxane A<sub>2</sub> is a very short-lived compound, several more stable mimetics, such as U46619 are used to stimulate the thromboxane A<sub>2</sub> receptor<sup>102</sup>.

Thrombin receptor activating peptides (TRAP), such as SFLLRN or the 14 amino acid peptide that contains it, stimulate the thrombin receptor, PAR1, but do not bind to the other thrombin receptors on platelets<sup>104</sup>.

### Abnormalities of platelet aggregation

A number of reviews are available that describe hereditary and acquired conditions associated with decreased platelet aggregation and those associated with increased platelet aggregation<sup>12,19,45,216</sup>. Acquired abnormalities of platelet aggregation can be subdivided into those acquired because of disease, and those acquired because of drugs and other ingested substances<sup>9</sup>. The recent increased use of herbal medicines will undoubtedly add to the list of agents that influence platelet function in vivo.

Currently there is considerable interest in ethanol which is a weak inhibitor of aggregation induced by some agonists<sup>217</sup>. This interest has arisen because of the epidemiological evidence that moderate consumption of ethanol is associated with a reduction in the thromboembolic complications of coronary artery disease<sup>218</sup>.

Part III has a number of chapters concerning platelet abnormalities, both inherited and acquired.

### Conclusion

Platelet aggregation plays a significant role in hemostasis and thrombosis, particularly arterial thrombosis. A wide variety of agents cause platelet aggregation or contribute to its extent. A very large number of inhibitors have been identified and many new ones have been developed. The complexities of receptors and the signalling pathways in platelets are still unfolding. As a result of increased understanding of the mechanisms involved in aggregation, several therapeutic interventions are in use that have significantly reduced the morbidity and mortality from hemorrhagic and thrombotic disorders.

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# Amplification loops: release reaction<sup>1</sup>

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## Introduction

As a consequence of vessel wall damage, circulating platelets adhere to subendothelial ligands, targeting them to the site of injury. Adhering platelets undergo a multitude of signalling events, which result in platelet activation and spreading. Whereas platelet spreading on collagen and binding of adhesive proteins facilitate subsequent recruitment of additional platelets from the circulation, the activation of platelets also leads to the controlled release of their contents. Thus, the local secretion of further agonists of platelet activation, via a signalling controlled exocytosis reaction, contributes to the rapid recruitment of circulating platelets to the injury site; secreted proteins also facilitate fibrin formation.

In a forming platelet aggregate, as a result of inside-out signalling, the platelet receptor for fibrinogen, i.e. GPIIb/IIIa, is assembled<sup>1</sup>. The binding of fibrinogen to this receptor not only enables platelet cross-linking, but further contributes to the ongoing platelet activation via outside-in signalling<sup>2</sup>. This highly localized enhancement of ongoing platelet activation leads to marked degranulation in a confined area. Thus, platelet aggregate formation occurs as the result of both platelet adhesion and localized secretion, leading to the formation of a platelet plug, in which large numbers of platelets are completely degranulated<sup>3</sup>.

## Platelet granules and exocytosis

Morphologically, three types of platelet granules can be released: dense core granules ( $\delta$ -granules),  $\alpha$ -granules and platelet lysosomes ( $\lambda$ -granules).

## Platelet granule contents

Alpha-granules contain proteins relevant for platelet interactions (the GPIIb/IIIa fibrinogen receptor, fibrinogen, von Willebrand factor, thrombospondin-1, fibronectin, vitronectin, GAS6, see further), but also proteins relevant for coagulation and fibrinolysis (multimerin carrier protein for coagulation factor V, coagulation factor V, plasminogen,  $\alpha_2$ -antiplasmin, plasminogen activator inhibitor-1, protein S), markers of platelet activation (P-selectin,  $\beta$ -thromboglobulin, platelet factor 4), activators of chemotaxis and cell function (transforming growth factor- $\beta$ , platelet-derived growth factor) and plasma proteins (albumin, von Willebrand antigen II, immunoglobulins, plasma protease inhibitors, histidine-rich glycoprotein). Some proteins are synthesized by the megakaryocyte and platelet<sup>4</sup> (and references therein), others are taken up by a process of receptor mediated endocytosis. Dense granules on the contrary store serotonin, ATP, ADP, calcium and pyrophosphate; they can be distinguished morphologically from alpha-granules using electron microscopy, as detailed in Chapter 4. Finally, lysosomal granules contain enzymes such as cathepsin D, cathepsin E, carboxypeptidase A and B, proline carboxypeptidase,  $\beta$ -N-acetyl-D-hexaminidase,  $\beta$ -D-glucuronidase,  $\beta$ -D-galactosidase,  $\alpha$ -D-mannosidase,  $\alpha$ -L-arabinofuranosidase,  $\alpha$ -D-galactosidase,  $\alpha$ -L-fucosidase,  $\beta$ -D-fucosidase,  $\beta$ -D-glucosidase,  $\alpha$ -D-glucosidase, acid phosphatase and arylsulphatase<sup>5</sup>.

Lysosomes ( $\lambda$ -granules) differ from the other platelet granules by the type of stimulus required to induce secretion. Indeed, while the release of  $\alpha$ - or  $\delta$ -granules can be achieved by stimulation with almost all known agonists, including weak stimuli such as ADP, the secretion of  $\lambda$ -granules requires strong stimuli, such as thrombin or high doses of collagen<sup>6,7</sup>. Much less attention has been given to

<sup>1</sup> To the memory of my father

the molecular pathways regulating lysosomal exocytosis in platelets than to those controlling  $\alpha$ - and  $\delta$ -granule secretion. Nevertheless, it was recently shown that  $\lambda$ -granule release takes place *in vivo*, and that markers of lysosomal secretion can be detected in the blood oozing from a skin wound inflicted for the measurement of the bleeding time<sup>8</sup>.

### Characteristics of platelet dense granules

Although the lumen of platelet dense granules is less acidic than that of conventional lysosomes and appears to be devoid of lysosomal hydrolases, there is accumulating evidence that these organelles belong to the lysosomal lineage. The dense granule membrane appears to be enriched in the lysosomal proteins CD63/LAMP-3 and LAMP-2<sup>9</sup>. Moreover, genetic disorders that affect the biogenesis of melanosomes and lysosomes also result in platelet dense granule deficiency<sup>10</sup>. Further, a H<sup>+</sup> pumping ATPase, distinct from that at the plasma membrane, acts to maintain the low pH of the granule lumen<sup>11</sup>. Low molecular mass monomeric GTP binding proteins in platelets<sup>12</sup> are involved in the docking of granules to the plasma membrane, preceding exocytosis (see further: GTP-binding proteins in exocytosis). Dense granule membranes contain typical plasma membrane receptor complexes such as GPIb and GPIIb/IIIa, as revealed by immunohistochemical studies<sup>13</sup>. Dense granules also contain P-selectin, a leukocyte binding protein, initially localized in  $\alpha$ -granules<sup>14</sup>.

Dense granules, like  $\alpha$ -granules, arise from both endogenous synthesis in the megakaryocyte as well as from heterotypic fusion with endocytic vesicles budding from the plasma membrane<sup>13</sup>.

### Physiology of dense granule exocytosis

Intragranular concentrations were calculated to be 65 mM for serotonin, 436 mM for ATP, 653 mM for ADP, 2.2 M for calcium and 326 mM for pyrophosphate<sup>5</sup>. The granular adenine nucleotide pool, which is likely in the form of insoluble calcium complexes, is distinct from the cytoplasmic nucleotides, and these two pools are not readily exchangeable. Serotonin is not synthesized in megakaryocytes/platelets but is actively taken up from the plasma and accumulated in dense granules, where it is likely complexed with ATP and potentially with calcium. The serotonin released by exocytosis is relatively stable and functions as a weak platelet agonist on 5HT<sub>2</sub> receptors<sup>15</sup>. ADP released during exocytosis is capable of interacting with two different receptors on the platelet P2Y<sub>1</sub>, that activates phospholipase C via Gq $\alpha$ <sup>16</sup> and P2Y<sub>12</sub>, that inhibits adeny-

lylase via Gi $\alpha$ <sup>17</sup>. ADP has been shown to play a central role in secondary platelet aggregation following induction of platelet activation by various agonists such as thrombin, TXA<sub>2</sub> and collagen<sup>18,19</sup>. Released ADP can also interact with P2Y receptors present on endothelial cells to release nitric oxide and prostacyclin, which in turn cause vasodilation<sup>20</sup>. Dense granules also release ATP; platelets contain a membrane receptor for ATP, called P2X<sub>1</sub>, which is a ligand gated ion-channel, causing extremely fast inwardly rectifying Ca<sup>2+</sup>-currents upon stimulation with ATP. The role of this ion-channel in blood platelet activation however still is unclear<sup>21</sup>. We have recently described a mutation of the P2X<sub>1</sub> gene and a defective P2X<sub>1</sub> ion channel in a patient with a bleeding disorder, suggesting a role for platelet P2X<sub>1</sub> in haemostasis<sup>22</sup>. At physiological Ca<sup>2+</sup>-concentrations, stimulation of the P2X<sub>1</sub> ion channel triggers fast and reversible platelet shape change and causes protein kinase C activation<sup>23</sup>. Fig. 24.1 summarizes how dense granule release amplifies platelet aggregation. Lages and Weiss<sup>24</sup> have also emphasized that secreted dense granule adenine nucleotides promote calcium influx and the maintenance of elevated cytosolic calcium levels in stimulated human platelets, thereby sustaining secondary platelet aggregation.

### $\alpha$ -granule release

As outlined above, during exocytosis, platelet  $\alpha$ -granules release several proteins into the surrounding medium or onto the surface of the platelet, which participate in platelet aggregate formation and stabilization. Thus, the large von Willebrand factor multimers secreted by platelets play a role in the initial platelet aggregate formation in flowing blood; they interact with both the GPIb complex and the GPIIb/IIIa receptor on platelets streaming by and activate platelets via these receptors<sup>25</sup>; these interactions are reinforced by the binding of fibrinogen to GPIIb/IIIa. Released thrombospondin-1 stabilizes aggregates by interacting with GPIIb/IIIa bound fibrinogen<sup>26</sup>. The  $\alpha$ -granule membrane protein P-selectin appears on the surface of the activated platelet and mediates platelet-leukocyte interaction via binding to its ligand on leukocytes and endothelial cells, the P-selectin glycoprotein ligand-1 (PSGL-1); this is a mucin-like transmembrane protein constitutively expressed on leukocytes<sup>27</sup>. Decoration by activated platelets enhances monocyte deposition onto endothelial cells<sup>28,29</sup>. The platelet-monocyte interaction also decrypts monocyte tissue factor by providing the phospholipid environment required for effective coagulation<sup>30</sup>; secreted factor V facilitates local thrombin generation. The fibrin formed on the activated platelets is stabilized by the

### Amplification of aggregation by dense granule secretion products

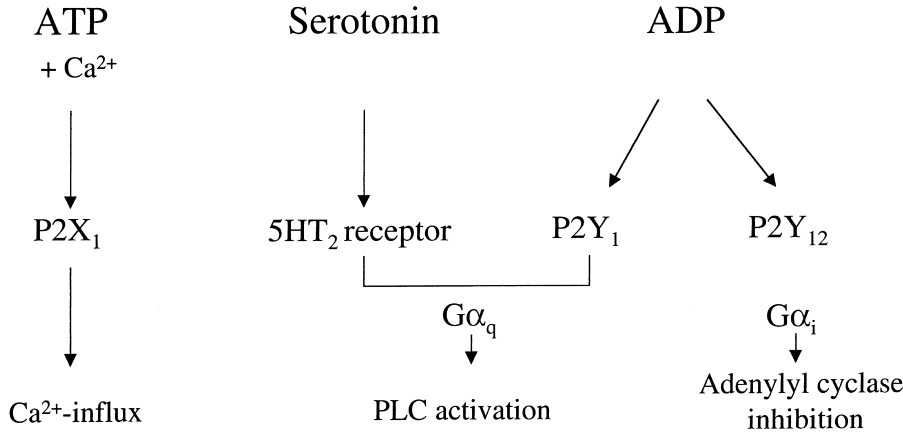


Fig. 24.1. Platelet receptor activation by dense granule release products. Dense granules release ADP, which stimulates two different G-protein coupled receptors, leading to Ca<sup>2+</sup>-mobilization and to a reduction of intracellular cAMP levels. Serotonin is a weak agonist of Ca<sup>2+</sup>-mobilization and released ATP will trigger fast influx of Ca<sup>2+</sup>, thus modulating ongoing platelet activation.

release of inhibitors of fibrinolysis by the platelet  $\alpha$ -granule, such as active plasminogen activator inhibitor-1 (PAI-1)<sup>31</sup>. Released growth factors play an important role in eliciting smooth muscle cell proliferation following vascular trauma; induction of thrombocytopenia in animal models induces a clear drop in medial smooth muscle cell migration and proliferation following vessel wall injury<sup>32</sup>.

Membranes of the alpha-granules contain proteins found on the plasma membrane (e.g. GPIIb/IIIa, CD36, CD9, Rap1b). As much as 10% of the glycoprotein Ib complex can be found associated with the alpha granule membrane<sup>33</sup>. Thus, the  $\alpha$ -granule seems to develop from the homotypic fusion of trans-Golgi vesicles in megakaryocytes as well as from heterotypic fusion of these vesicles with endocytic vesicles<sup>34</sup>.

Although dense granule release is more directly coupled to immediate amplification of platelet activation,  $\alpha$ -granule exocytosis also contributes to platelet stimulation. Besides activation through secreted vWF, we recently found that the protein S homologous protein GAS6 is rapidly released upon platelet stimulation and binds to GAS6 receptors on the platelet surface, resulting in further platelet activation and exocytosis<sup>35</sup>. Fig. 24.2 schematically shows how, following a primary platelet activation signal,  $\alpha$ - and dense granule release products promote further platelet activation and aggregation. Thus, platelet activation and release reactions have to be viewed as fast but

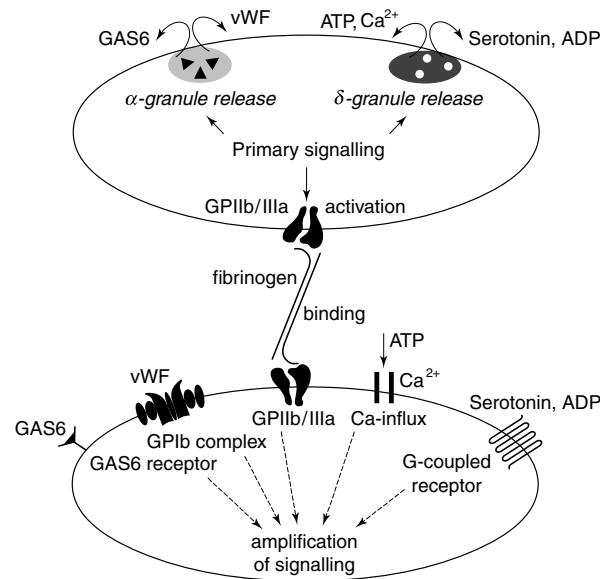


Fig. 24.2. Platelet secretion and activation are intertwined processes. Primary activation by various agonists induces intracellular signalling, leading to the release of  $\alpha$ - and  $\delta$ -granule contents (top). Released proteins from  $\alpha$ -granules and released low molecular weight substances from  $\delta$ -granules lead to further receptor mediated signalling, reinforcing ongoing activation, recruiting additional platelets and eventually leading to complete degranulation and platelet aggregation (bottom).

intertwined processes, leading to the typical progressive morphological changes in platelets and to aggregation.

### Formation of microvesicles

Strong platelet activation or potent shear stress not only induce granule secretion, but also the release of microvesicles<sup>36</sup>. Platelet-derived microvesicles have been observed in standardized bleeding time wounds<sup>37</sup> and have been associated with autoimmune thrombocytopenic purpura<sup>38</sup> and cardiopulmonary bypass<sup>39</sup>. Microvesicles can be analysed by flow cytometry and express at their membrane surface a number of platelet glycoproteins such as the GPIIb complex, the fibrinogen receptor GPIIb/IIIa, but also P-selectin. Platelet microvesicles are fragments of the platelet membrane, released following disruption of the underlying cytoskeleton. The accumulation of phosphatidylserine on the outer membrane provides a negatively charged phospholipid surface for the binding of the  $\gamma$ -carboxyglutamic acid containing coagulation factors, and of factor V and factor VIII through their C<sub>2</sub> domain<sup>40</sup>. Thus, detached microvesicles have the potential to provide a procoagulant surface, also distant from the site of primary platelet activation.

In addition, vesicles can originate from exocytosis of endocytic multivesicular bodies as so-called exosomes<sup>41</sup>. This phenomenon also exists in platelets<sup>42</sup>. Therefore, during platelet activation, two different vesicle populations are released: microvesicles derived from the plasma membrane and a separate population of exosomes. The exosomes, too small to be detected via flow cytometry, are selectively enriched in the tetraspan protein CD63. Annexin V primarily binds to microvesicles and only to a small extent to exosomes. It therefore seems that released platelet exosomes have an extracellular function other than a procoagulant activity, mainly attributed to microvesicles<sup>36</sup>.

### Protein kinase C and platelet secretion

#### Protein kinase C activation

Several agonists of platelet activation, such as thrombin, ADP, platelet-activating factor (PAF), and thromboxane A<sub>2</sub> bind to a specific receptor on the platelet surface, which triggers activation of phospholipase C. This enzyme in turn catalyzes the hydrolysis of phosphatidylinositol 4,5 biphosphate (PIP<sub>2</sub>) into 1,4,5-inositol-triphosphate (IP<sub>3</sub>) and diacylglycerol (DG). IP<sub>3</sub> then mobilizes Ca<sup>2+</sup> from inter-

nal stores and DG activates protein kinase C (PKC), which translocates away from the membrane and phosphorylates its substrates, the 40–47 kD protein pleckstrin and the 20 kD myosin light chain<sup>43</sup>. Several PKC isoenzymes have been identified, ranging from the conventional calcium-dependent cPKC ( $\alpha$ ,  $\beta$ I–IV and  $\gamma$ ), the calcium-independent isoenzymes nPKC ( $\delta$ ,  $\epsilon$ ,  $\eta$  and  $\theta$ ) and the atypical aPKC ( $\zeta$ ,  $\lambda$ ,  $\iota$  and  $\mu$ ;<sup>44</sup> and ref therein). In addition to the stimulation of PKC activity in platelets by thrombin, PAF or collagen, phorbol esters such as phorbol 12-myristate 13-acetate (PMA) are capable of directly activating PKC and of inducing protein phosphorylation and platelet secretion<sup>44</sup> (and ref therein). Thus, platelet activation both by thrombin and by PMA is accompanied by translocation of PKC isoenzymes  $\alpha$ ,  $\beta$  and  $\zeta$ , whereas the  $\delta$  isoenzyme is specifically translocated during thrombin activation<sup>45</sup>.

Studies focusing on the relationship between platelet secretion and PKC-dependent and tyrosine kinase-dependent phosphorylations of proteins, have claimed that protein kinase C-dependent pleckstrin phosphorylation is a prerequisite for platelet secretion. This process appears to be regulated by other phosphoproteins; in particular the serine/threonine phosphorylation of 27 and 68 kD proteins and the tyrosine phosphorylation of the p60c-src were found to be associated with a decrease in the secretory activity<sup>46</sup>.

#### PKC phosphorylates MARCKS

Activation of PKC in other secretory systems, such as the chromaffin system, potentiates secretion and this effect of PKC activation is due to disassembly or disruption of cortical actin networks<sup>47,48</sup>. This allows a large number of secretory vesicles to move to release sites on the plasma membrane<sup>5</sup> (see further: cytoskeletal fibres and secretion). Elzagallaai et al.<sup>49</sup> hypothesized that PKC activation in platelets also produces actin filament disassembly and thereby enhances the secretory response. These authors observed that myristoylated alanine-rich C kinase substrate (MARCKS), in addition to pleckstrin, is phosphorylated during platelet activation. MARCKS has the property of binding actin and of crosslinking actin filaments<sup>50,51</sup>. Phosphorylation of MARCKS by PKC inhibits its ability to crosslink actin filaments. Elzagallaai et al.<sup>49</sup> demonstrated MARCKS phosphorylation in platelets in response to PMA stimulation. They furthermore showed that a peptide (MPSD) with the amino acid sequence corresponding to the phosphorylation site of MARCKS blocks both MARCKS phosphorylation and serotonin release from permeabilized platelets in response to PMA stimulation. Because pleckstrin and myosin light chain phosphorylation were

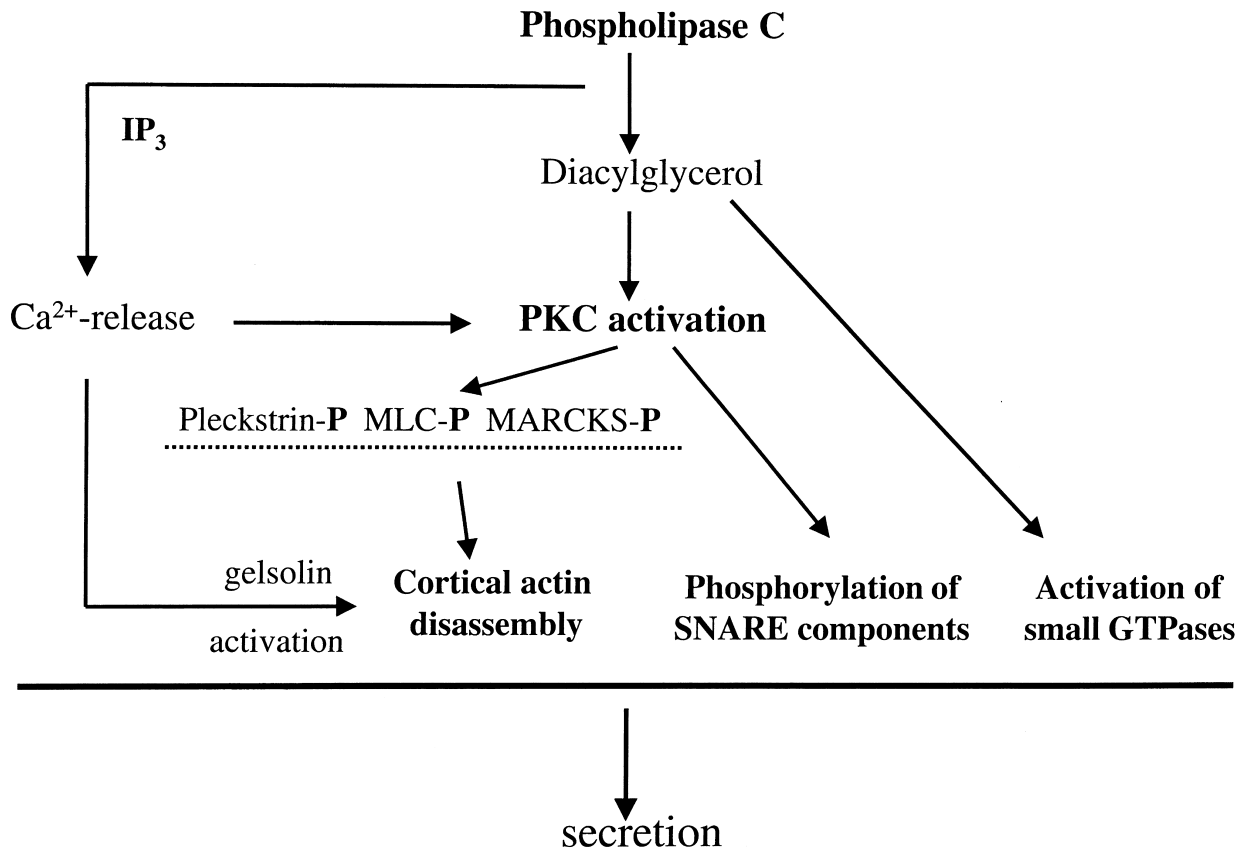


Fig. 24.3. Role of protein kinase C (PKC) in platelet exocytosis. Following receptor mediated activation of phospholipase C, diacylglycerol and  $\text{Ca}^{2+}$  activate PKC, that phosphorylates several proteins directly involved in actin disassembly. This process occurs in conjunction with PKC dependent phosphorylation of SNARE components and PKC-like activation of small GTPases, ultimately leading to secretion. MLC: myosin light chain; MARCKS: myristoylated alanine-rich C kinase substrate; PKC: protein kinase C; SNARE: SNAP receptor (see section on The SNARE family).

not affected by the peptide, the authors conclude that MARCKS may play a role in platelet secretion. Moreover, because pleckstrin phosphorylation has a different time course than that of MARCKS, they question the role of pleckstrin in secretion and suggest that it might only be involved upstream in the cascade of events leading to exocytosis. The role of MARCKS in platelet secretion is schematically represented in Fig. 24.3.

#### Additional roles of PKC in platelet secretion

As will be discussed on p. 362, PKC also is involved in the fusion of granules with the plasma membrane. Syntaxin 4 and a platelet sec I protein (PSP) are phosphorylated by PKC, thereby modifying the plasma membrane in a way to allow granule docking and fusion.

Furthermore, as presented on p. 364, protein kinase C-related activities may be implicated in the activation of

small GTPases involved in secretion, by promoting the exchange of GDP for GTP.

#### PKC independent secretion

Hashimoto et al.<sup>52</sup> have proposed a protein kinase C-independent mechanism of dense granule exocytosis in human platelets. In agreement with this, Sloan and Haslam<sup>53</sup> found that secretion stimulated by  $\text{Ca}^{2+}$  or by guanosine 5-[ $\gamma$ -thio]-triphosphate ( $\text{GTP}\gamma[\text{S}]$ ) and  $\text{Ca}^{2+}$  utilizes membrane-associated  $\text{Ca}^{2+}$ - and GTP-binding proteins and occurs independently of PKC activation.  $\text{GTP}\gamma[\text{S}]$  did not stimulate secretion from streptolysin O-permeabilized platelets in the absence of  $\text{Ca}^{2+}$ , but greatly potentiated secretion in the presence of low PMA or low  $\text{Ca}^{2+}$ . However,  $\text{GTP}\gamma[\text{S}]$  did stimulate myosin P-light chain phosphorylation in the absence of  $\text{Ca}^{2+}$ , an effect that was associated with morphological changes,

including granule centralization. Inhibition of PKC and of pleckstrin phosphorylation by Ro 31-8220 blocked secretion induced by PMA or by GTP $\gamma$ [S] and PMA in the absence of Ca<sup>2+</sup>, but did not prevent the GTP $\gamma$ [S]-induced phosphorylation of myosin P-light chains or secretion induced by Ca<sup>2+</sup> at pCa 5. These experiments indicate that myosin light chain phosphorylation can occur independently of PKC activity and suggest that GTP-binding proteins and Ca<sup>2+</sup> may induce exocytosis by activating processes downstream of PKC, as will be further discussed on p. 364 and is illustrated in Fig. 24.3.

### Cytoskeletal fibres and secretion

Platelets contain at least three systems of cytoskeletal fibres: the membrane skeleton, microtubules, and microfilaments (long actin filaments). Filamentous actin rapidly increases during platelet activation<sup>54,55</sup>. The membrane skeleton is associated with the network of cytoplasmic actin filaments, various actin-binding proteins, and surface membrane glycoproteins<sup>56,57</sup>. This skeleton is a continuous layer and is preserved as such following platelet lysis with Triton X-100<sup>58</sup>. Circumferential bundles of microtubules play an important role in maintaining the discoid shape of resting platelets, which is lost during microtubule depolymerization<sup>59</sup>.

Microtubules seem to be involved in platelet secretion because colchicine (a tubulin ligand<sup>60</sup>) and monoclonal antibodies to alpha and beta subunits of tubulin<sup>61</sup> inhibit secretion in permeabilized platelets. Microtubule-associated proteins may play a role in microtubule reorganization, because they regulate the stability and phosphorylation of the microtubules<sup>62</sup>. The contractile ring and stress fibers of platelets may also be involved in exocytosis and retraction<sup>63</sup>. Cytoskeletal reorganization is mediated through actin polymerization and depolymerization and is regulated by phosphatidylinositol-4,5-bisphosphate (PIP<sub>2</sub>), which binds to actin regulatory proteins such as scinderin and gelsolin<sup>64</sup>. Consistent with these observations, recombinant scinderin potentiates Ca<sup>2+</sup>-induced serotonin release in permeabilized platelets, whereas PIP<sub>2</sub>, or 2 peptides that interfere with scinderin-actin interactions, block exocytosis<sup>65</sup>.

### Granule and plasma membrane fusion

Recent insights into molecular mechanisms of secretion indicate that platelet granule secretion is homologous to exocytosis in neurons and other cells but involves a

platelet-selective machinery that is uniquely coupled to cell activation. It is generally believed that neuronal exocytosis proceeds through a sequence of vesicle *docking*, *priming*, *triggering* and *fusion*, leading to release of neurotransmitters into the synaptic cleft<sup>4</sup>. The docking or close apposition of vesicles to the plasma membrane at the active site of neurons has been demonstrated by electron microscopy. However, only a subset of these vesicles will be released rapidly, indicating that additional priming or activation of the docked vesicle is necessary, a process apparently involving Mg<sup>2+</sup> and ATP<sup>66</sup>. Increases in intracellular Ca<sup>2+</sup>-concentration then trigger the release, which is mediated via SNARE complex interactions (see below).

While neurotransmitters are released from small synaptic vesicles within 200  $\mu$ s, platelet granules release their contents over an interval of 2 to 5 s. Whereas in each case, exocytosis is triggered by an increase in intracellular Ca<sup>2+</sup>, significant differences exist between these cells in the magnitude of the Ca<sup>2+</sup>-signal and the pathway followed to generate it. In neurons, membrane depolarization down the axis elicits Ca<sup>2+</sup>-influx via Ca<sup>2+</sup>-channels. This leads to local Ca<sup>2+</sup>-concentrations as high as 200  $\mu$ mol/l, triggering the exocytosis of vesicles in close proximity. Platelet secretion on the contrary is triggered by extracellular agonists that bind to platelet receptors, coupled to G-proteins. Following activation, Ca<sup>2+</sup> is liberated from internal stores and reaches intracellular concentrations ranging from 2–10  $\mu$ mol/l, in conjunction with diacylglycerol formation leading to protein kinase C activation, as outlined above. The degree of exocytosis is determined by the magnitude of the activation signal and strong platelet agonists can induce full platelet degranulation. In contrast, vesicles in neurons are released in a quantal fashion<sup>4</sup>.

The fusion process itself can be described by the general SNARE hypothesis. This hypothesis states that vesicle fusion requires the involvement of three different components: t-SNAREs, v-SNAREs and a soluble SNAP/NSF component. This hypothesis and the molecular entities involved are explained below.

### The SNARE family

SNAREs (Soluble NSF Attachment protein Receptor) comprise distinct families of conserved membrane-associated proteins, which facilitate membrane fusion in eukaryotes<sup>67</sup>. They are found throughout the secretory pathway and participate in a number of membrane-trafficking events, including trafficking of cargo-containing carrier vesicles, compartmental organization and organelle fusion. The term SNARE refers to entities which participate in the binding of soluble factors, such as the ATPase NSF



(N-ethylmaleimide-Sensitive Fusion protein) and its Soluble NSF-Attachment Proteins (SNAPs) to membranes derived from bovine brain homogenates<sup>68,69</sup>. The so-called SNAP Receptors (hence the name SNAREs) turned out to be proteins previously identified and characterized, at least in part, by groups studying neuronal transmission or protein trafficking in yeast.

Several reviews have outlined the molecular entities involved in SNARE mediated vesicle docking<sup>70,71</sup>. These molecular entities belong to the three major SNARE families: the *syntaxin*, *SNAP-25* and *synaptobrevin/VAMP* families. Two distinct categories of SNAREs have been described. SNAREs present on the vesicle compartment are known as v-SNAREs, while those on the target compartment are known as t-SNAREs. In the original study by Söllner et al.<sup>72</sup>, the v-SNARE identified was a synaptic Vesicle-Assoiated Membrane Protein (abbreviated VAMP) or termed synaptobrevin. The v-SNARE family comprises the following components: *VAMP1*, *VAMP2*, *VAMP3*, *VAMP5*, *VAMP7/11-VAMP*, *VAMP8/Endobrevin*, *Synaptotagmin I, II, III, V, X*. The t-SNARE family consists of *Syntaxin 1A* and *1B*, *Syntaxin 2*, *Syntaxin 3*, *Syntaxin 4*, *SNAP 25 A* and *B*, *SNAP23/Syndet*.

### v- and t-SNARE regulation

The assembly of the key players in the SNARE hypothesis is schematically represented in Fig. 24.4. At the level of the presynaptic membrane in neurons, the physical association of the v-SNARE synaptobrevin (or VAMP) with both the t-SNAREs of the plasma membrane, SNAP-25 and syntaxin, leads to the formation of a stable ternary (or 'core') SNARE complex of 7S<sup>72</sup>, that docks the vesicle to its target membrane. This core complex then binds SNAPs followed by NSF to form a particle of 20S. The central element in the SNARE hypothesis states that the specificity of membrane targeting thus relies on specific pairing of 3 SNAREs. Within the core complex, the v- and t-SNAREs are aligned in parallel<sup>73-75</sup>. The individual SNAREs were found to undergo a significant increase in helicity upon association with their SNARE partners<sup>76-78</sup>, suggesting that formation of the complex is energetically favourable. This lends credence to the idea that SNARE assembly overcomes the electrostatic forces, which prevent membrane fusions from occurring. At the end of a fusion event, the highly conserved NSF, together with SNAPs, dissociates the stable v/t-SNARE complexes by using energy provided by the hydrolysis of ATP. Following this dissociation step, the vesicle and target membrane can fuse, since they have been brought into sufficiently close contact.

In addition to the key proteins in the SNARE hypothesis,

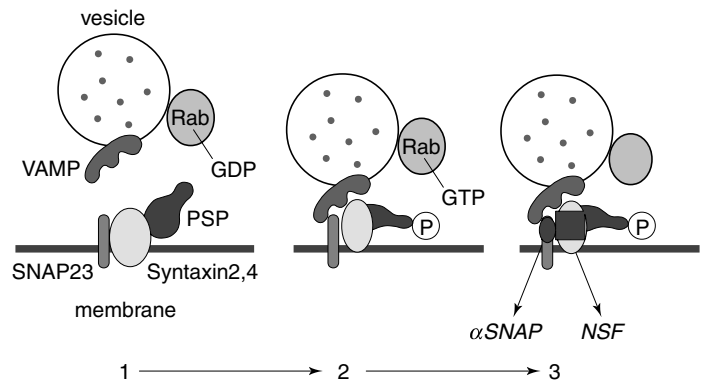


Fig. 24.4. The SNARE hypothesis. In resting platelets, membrane t-SNAREs SNAP23 and syntaxin 2 and 4 are complexed. The association with the platelet *secI* protein PSP prevents syntaxins from interacting with the counterpart v-SNARE VAMP, present on the membrane of the vesicle (step 1). During platelet activation, phosphorylation reactions of syntaxin and of PSP weaken the interactions between SNAP23 and syntaxins and relieve the inhibition of the interaction with the v-SNARE; Rab exchanges GDP for GTP and facilitates SNARE complex formation (step 2). Thus a 3 SNARE protein complex is formed which subsequently binds the soluble proteins  $\alpha$ SNAP and the ATPase NSF (step 3). Following ATP-hydrolysis by this enzyme, the SNARE complex disassembles, enabling the fusion of the vesicular and target membranes, which are now in physical proximity.

other factors exist that control exocytosis<sup>67</sup>. Thus, the Sec1/Munc 18 proteins bind to syntaxins and through this binding prevent formation of the core SNARE complex. Protein kinase C has been implicated in modulating synaptic transmission. This stems in part from studies which show that neurotransmitter release from presynaptic terminals and various other exocytic events are modulated by exposure to phorbol esters<sup>79,80</sup> which are known PKC agonists. Moreover, PKC has been shown to phosphorylate substrates involved in vesicle fusion (i.e. Sec1/Munc18, which thereby loses its inhibitory role on membrane fusion)<sup>81</sup>.

### SNAREs in platelets

Recent studies have revealed that the secretory machinery in platelets has important homologies to the machinery found in neurons and other cells. Platelets can form core SNARE complexes *in vitro* that support  $\alpha$ SNAP-dependent NSF-ATPase activity<sup>82</sup> and the  $\alpha$ SNAP-dependent NSF-ATPase activity has been shown to be critical for exocytosis of both  $\alpha$ - and dense granules<sup>83,84</sup>. Platelet membranes contain the t-SNAREs syntaxins 2 and 4, which play distinctive roles in granule exocytosis; syntaxin 2 is involved

in dense granule release whereas syntaxin 4 is necessary for platelet  $\alpha$ -granule secretion<sup>82,85–87</sup>. Platelets contain abundant amounts of the t-SNARE SNAP-23, and the v-SNARE VAMP, which interact and form SNARE complexes. SNAP-23 is required for dense granule exocytosis, but it is not yet clear which VAMP is functionally involved in secretion<sup>84,88</sup>.

In resting secretory cells neighbouring membrane SNARE proteins are interacting with one another, thus preventing v- and t-SNAREs from fusing. Thus, Flaumenhaft et al.<sup>86</sup> demonstrated that the t-SNAREs SNAP-23 and syntaxin 4 form a heterotrimeric complex in platelets. When human platelets were activated with a potent agonist such as thrombin, Chung et al.<sup>89</sup> found that syntaxin 4 was phosphorylated by PKC in human platelets. Cellular activation by thrombin or phorbol 12-myristate 13-acetate decreased the binding of syntaxin 4 to SNAP-23. A PKC inhibitor blocked syntaxin 4 phosphorylation and restored binding of syntaxin 4 to SNAP-23 to that seen in non-stimulated platelets. Thus, these experiments support a model in which phosphorylation of SNARE proteins weakens their interaction in the plasma membrane and prepares them for v-SNARE–t-SNARE interaction.

Chen et al.<sup>90</sup> have furthermore shown that also release from the platelet lysosome requires ATP and NSF. The SNAREs syntaxin 2 and 4, together with SNAP-23, appear to make up the heterodimeric t-SNAREs required for lysosome exocytosis.

A platelet *secl* protein (PSP) has been cloned, which is orthologous to Munc-18<sup>87</sup>. PSP forms a tight complex with syntaxin 2 and syntaxin 4 that can prevent the formation of the SNARE complex<sup>87,91</sup>. PSP is phosphorylated in platelets activated by thrombin through a protein kinase C-dependent mechanism; this phosphorylation weakens interaction with syntaxin 4 and relieves the inhibitory effect of PSP on SNARE complex formation. Thus, the PKC dependent phosphorylations of several proteins seem to control SNARE protein interactions.

In summary, it is clear that all three platelet exocytosis events, i.e. release from  $\alpha$ -granules, from  $\delta$ -granules and from lysosomes require the general membrane fusion protein N-ethylmaleimide Sensitive Factor (NSF)<sup>83,84</sup>. The role for the t-SNAREs syntaxin 2 and SNAP-23 has been established for dense granule and lysosome release<sup>90</sup> and syntaxin 4 appears to be required for lysosome and  $\alpha$ -granule release<sup>86,92</sup>.

## GTP-binding proteins in exocytosis

### Presence on secretory vesicles

As outlined above, exocytosis is a regulated process involving the docking of secretory granules at specific sites on the plasma membrane, with subsequent fusion and release of granule contents. In addition to the proteins involved in the SNARE hypothesis, our understanding of other proteins involved in vesicular trafficking has increased dramatically. In an extensive review paper, Watson<sup>93</sup> presents evidence from a genetic, biochemical, immunological and functional angle to support a role for ras-like monomeric GTP-binding proteins as well as heterotrimeric GTP-binding protein (G-protein) subunits in various steps of the vesicular trafficking pathway, including the transport of secretory vesicles to the plasma membrane.

Monomeric GTP-binding proteins may be divided into four subfamilies: *ras*, *rho/rac*, *rab* and *ARF* (ADP-ribosylation factor). Rab proteins have been found to regulate defined steps of intracellular membrane trafficking, including endocytosis and exocytosis<sup>94</sup>.

### The RabGTPase family

The RabGTPase family is composed of more than 30 members and belongs to the Ras-related small GTPase superfamily<sup>95,96</sup>. Like other GTPases, Rab proteins have both GTP-bound active and GDP-bound inactive forms regulated by the GDP/GTP cycles. GTP is exchanged for GDP by a specific guanine nucleotide exchange protein (GEF). The active GTP-bound forms exert their function by association with effector molecules<sup>95–97</sup>. RabGTPases are also controlled by a unique negative regulator named Rab GDP dissociation inhibitor (GDI)<sup>98,99</sup>. Rab-GDI is a cytosolic protein, which forms a complex with GDP-bound Rab proteins to inhibit the GDP/GTP exchange, and extracts Rab proteins from the membrane into the cytosol. Several RabGTPases including Rab3B, -4, -6, -8 and -27 have been demonstrated to be present in platelets<sup>100,101</sup>. Rab4 is present on lysosomes, Rab6, Rab 8 and Rab 27B on  $\alpha$ -granules, Rab27A on dense granules. The small GTP-ase Rap 1B has also been found in the  $\alpha$ -granules of platelets<sup>102</sup>.

GEFs stimulate the dissociation of the tightly bound GDP nucleotide from the small GTP-binding protein in response to upstream signals<sup>103</sup>. Thus, the GEF exchanging GDP for GTP Vav is activated via tyrosine phosphorylation by Syk, which stimulates its GEF activity for Rac1<sup>104</sup>. Recently, it was shown that the GEF protein RasGRP possesses a single C1 domain homologous to that of PKC and appears to be a high-affinity target for diacylglycerol and

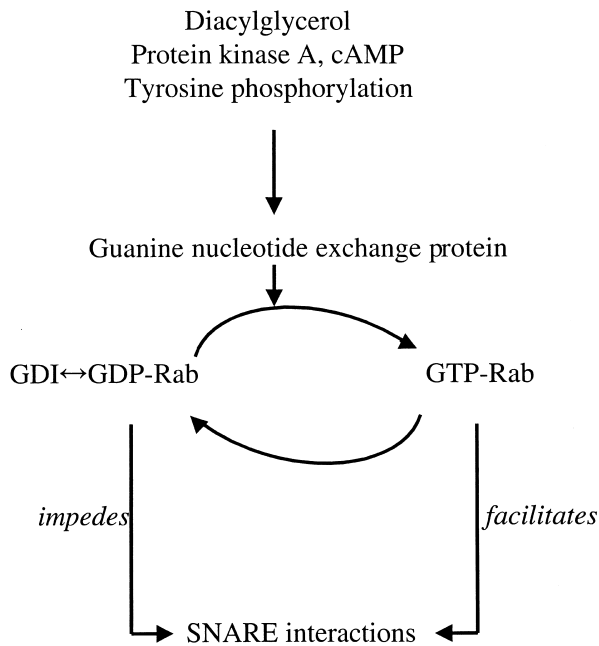


Fig. 24.5. Rab activation in SNARE interaction control. Diacylglycerol, cAMP, tyrosine phosphorylation and protein kinase A tentatively mediate activation of the guanine-nucleotide exchange protein, which causes Rab to exchange GDP for GTP. Whereas GDP-Rab impedes SNARE interactions, GTP-Rab interacts with its effector protein and promotes SNARE complex formation. Granule binding of GDP-Rab is controlled by the Rab GDP dissociation inhibitor (GDI).

phorbol esters<sup>105</sup>, thus providing evidence of a PKC related activation process. Furthermore, the GEF for Ras CDC25Mm/GRF1 contains sites of phosphorylation for protein kinase A<sup>106</sup>. A guanine nucleotide exchange factor for Rap1 was described that is directly activated by cAMP<sup>107</sup>. One would tentatively assume that Rab-GDP, associated to the granule, impedes exocytosis, impediment that is removed by the exchange of GDP for GTP (Fig. 24.5). How this comes about requires further study.

### Platelet granule dysfunctions

The importance of platelet granule secretion is illustrated by a number of clinical granule release dysfunctions that are associated with loss of platelet function and bleeding syndromes. These syndromes are discussed in more detail in Chapter 11.

### Conclusion

Platelet granule exocytosis is an essential part of platelet function. Exocytosis involves the release of different granule types, all of them having their own role in platelet activation, shape change induction, adhesion and secondary amplification of ongoing platelet activation. This process is controlled via a multitude of biochemical events, ranging from receptor stimulation, intracellular signal transduction and vesicle docking and fusion. The similarity in the machinery used by the platelet and by other secretory cells makes the platelet a unique tool to study the molecular basis of vesicle-to-membrane fusion, a process that has just been initiated.

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## Amplification loops: thromboxane generation

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### Inducible and constitutive pathways of prostanoid biosynthesis

Prostanoids [prostaglandin (PG)  $E_2$ ,  $PGF_{2\alpha}$ ,  $PGD_2$ , prostacyclin ( $PGI_2$ ) and thromboxane (TX)  $A_2$ ] are a family of biologically active compounds participating in cell–cell communication<sup>1</sup>, as a consequence of the activation of membrane associated receptors that belong to the G-protein-coupled rhodopsin-type family<sup>2</sup>. Their biosynthesis is triggered by different types of stimuli that may be classified primarily according to the time employed to induce detectable levels of prostanoids in the surrounding milieu of the cell. Thus, a rapid and delayed prostanoid biosynthesis can occur<sup>1</sup> (Fig. 25.1). The coordinated activity of three consecutive enzymatic steps is involved in both

rapid and delayed prostanoid biosynthesis: (i) the release of arachidonic acid (AA) from membrane phospholipids carried out by phospholipase (PL)s, primarily  $PLA_2$ s<sup>1,3</sup>, (ii) the transformation of AA to the unstable endoperoxide  $PGH_2$  by prostaglandin H synthases<sup>4</sup> and (iii) its metabolism to the different prostanoids by terminal synthases which have different structures and exhibit a cell- and tissue-specific distribution<sup>5-7</sup>. What differentiate these two pathways of prostanoid biosynthesis is the involvement of an enzymatic machinery constitutively expressed (for rapid biosynthesis) or induced in response to inflammatory and mitogenic stimuli (for delayed biosynthesis). Two isoforms of prostaglandin H synthase have been identified, COX-1 and COX-2, that catalyse the same reactions, i.e. the conversion of AA to  $PGG_2$  by their cyclooxygenase activity.

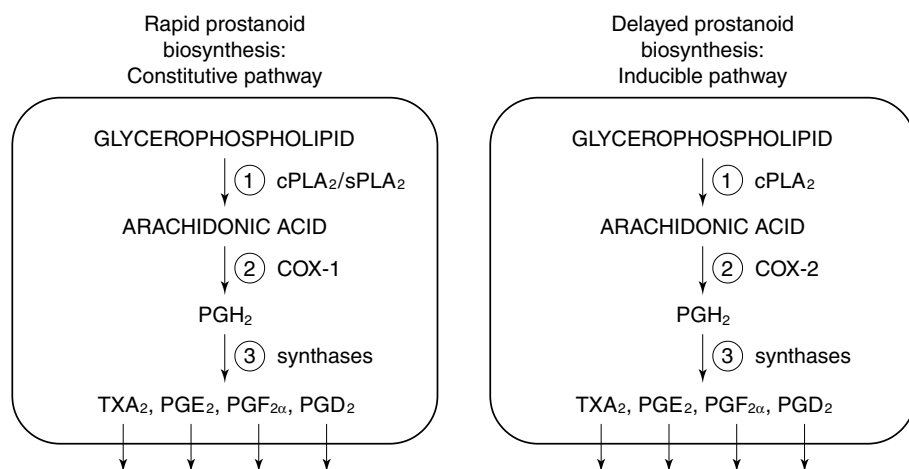


Fig. 25.1. Rapid and delayed pathways of prostanoid biosynthesis. The coordinated activity of three consecutive enzymatic steps are involved in both rapid and delayed prostanoid biosynthesis: (i) the release of arachidonic acid from membrane glycerophospholipids by the secretory and/or cytosolic phospholipase $A_2$ s ( $sPLA_2$  and  $cPLA_2$ , respectively); (ii) the conversion of arachidonic acid to  $PGH_2$  by the activity of the two isoforms of  $PGH_2$  synthase, COX-1 and COX-2; (iii) the transformation of  $PGH_2$  to different prostanoids ( $PGE_2$ ,  $PGF_{2\alpha}$ ,  $PGD_2$ ,  $PGI_2$  and  $TXA_2$ ) by specific synthases.

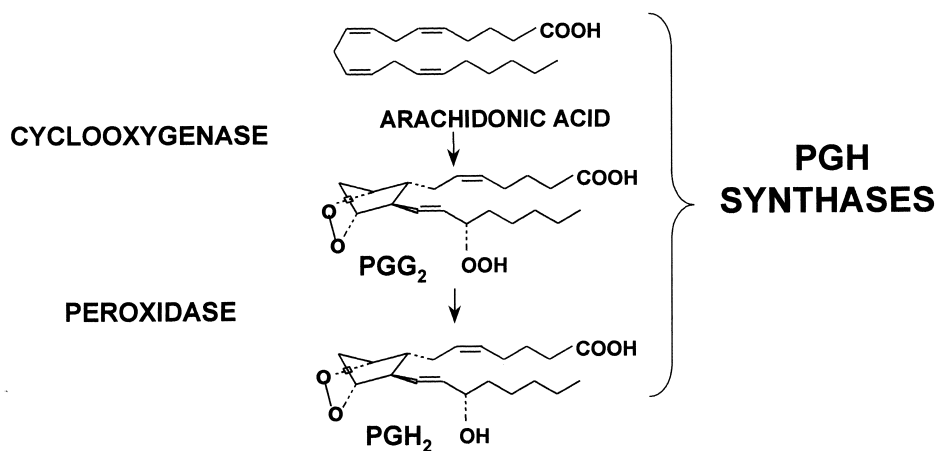


Fig. 25.2. The cyclooxygenase and peroxidase reactions catalyzed by the prostaglandin (PG) H synthases. Arachidonic acid is oxygenated to PGG<sub>2</sub> by the cyclooxygenase activity of PGH synthases (i.e. COX-1 and COX-2); PGG<sub>2</sub> is then reduced to PGH<sub>2</sub> by their peroxidase activity.

**Table 25.1.** Expression of COX-1 and COX-2

COX-1	COX-2
Chromosome 9	Chromosome 1
Constitutive, found in all tissues; highly expressed in stomach, kidney and platelets	Predominantly inducible in response to inflammatory and mitogenic stimuli in macrophages/monocytes, synoviocytes, fibroblasts, vascular cells
Can increase from 2- to 4-fold	Constitutive in kidney, brain, uterus, vascular cells
	Can increase from 10- to 20-fold

and then the reduction of PGG<sub>2</sub> to PGH<sub>2</sub> by their peroxidase activity<sup>4,8</sup> (Fig. 25.2). Apart from platelets that only contain COX-1<sup>9</sup>, most nucleated cells have the gene for COX-2 which can be expressed in response to inflammatory and mitogenic stimuli<sup>4,10</sup> (Table 25.1). COX-1 displays the characteristics of a 'housekeeping gene' and is constitutively expressed in virtually all tissues. It is mainly utilized in the immediate biosynthesis of prostanoids, which occurs within several minutes after stimulation with Ca<sup>2+</sup> mobilizers. Differently, the inducible COX-2 is an absolute requirement for delayed prostanoid biosynthesis, which lasts for several hours following proinflammatory stimuli.

It has been suggested that the two COX isozymes are differently coupled with specific terminal synthases. For instance, rat peritoneal macrophages produce TXA<sub>2</sub> and PGD<sub>2</sub> through COX-1 in the Ca<sup>2+</sup> ionophore A23187-induced immediate response and PGE<sub>2</sub> and PGI<sub>2</sub> through

COX-2 in the lipopolysaccharide (LPS)-induced delayed response<sup>11,12</sup>. TXA<sub>2</sub> generation by activated platelets depends entirely on COX-1<sup>9,13</sup>, whereas PGE<sub>2</sub> production by osteoblasts occurs predominantly through COX-2 irrespective of the co-presence of COX-1<sup>14</sup>. By co-expression of the various prostanoid-biosynthetic enzymes, it has been recently shown that prostanoid synthases are classified into three categories in terms of their localization and COX isozyme preference: (i) the perinuclear enzymes which prefer COX-2 [TXA<sub>2</sub> synthase (TXAS), PGI<sub>2</sub> synthase (PGIS) and membrane-bound PGE<sub>2</sub> synthase (mPGES)], (ii) the cytosolic enzyme which prefers COX-1 [cytosolic PGES (cPGES)] and (iii) the translocating enzyme which utilizes both COX isozymes depending on the stimulus [hematopoietic PGD<sub>2</sub> synthase (H-PGDS)]<sup>7</sup>. Alteration in AA supply through endogenous and exogenous routes significantly affects the coupling between COX isozymes and terminal prostanoid synthases. TXAS which resides in the endoplasmic reticulum and perinuclear membranes, preferentially utilizes COX-2 over COX-1 when limited amounts of AA are supplied and can efficiently metabolize COX-1-derived PGH<sub>2</sub> when AA supply is increased<sup>7</sup>. Thus, the coupling of TXAS to COX isozymes is substrate-dependent. In platelets, a coupling between COX-1 and TXAS is promoted for the high availability of free AA upon receptor stimulation.

The inducible pathway of prostanoid biosynthesis causes an intense and localized increase in prostanoid formation that may be rapidly shut up for the instable nature of COX-2 mRNA and protein<sup>15</sup>.

A condition where the constitutive pathway of prostanoid biosynthesis plays an important role in the local amplification of cell activation, is exemplified by the bio-



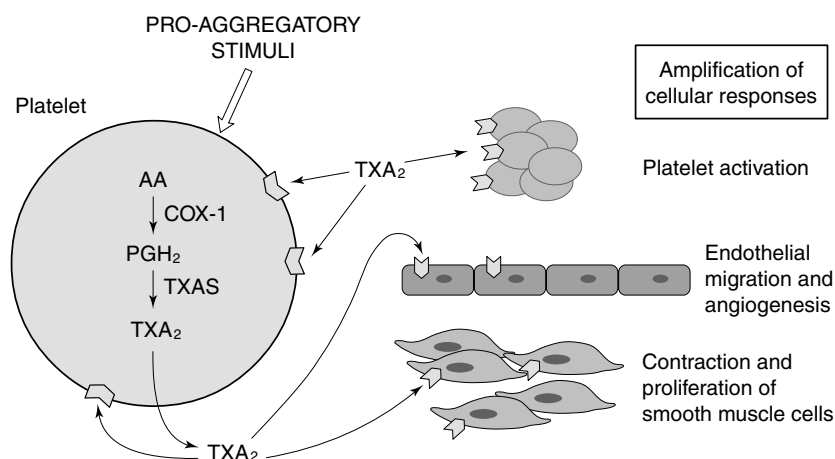


Fig. 25.3. Amplification of cellular responses by platelet-derived  $\text{TXA}_2$ . Platelet  $\text{TXA}_2$ , produced in response to pro-aggregatory stimuli, is responsible for the propagation of the initial activation signal to adjacent platelets, vascular smooth muscle cells and endothelial cells, through the interaction with TP receptors.

synthesis of  $\text{TXA}_2$  in platelets<sup>16,17</sup> (Fig. 25.3).  $\text{TXA}_2$ , produced in response to other stronger, primary agonists, such as thrombin and ADP, causes the propagation of the initial activation signal to adjacent platelets, by inducing further platelet activation and  $\text{TXA}_2$  formation.  $\text{TXA}_2$  is also a potent trigger of vascular smooth muscle cell contraction and proliferation and it has been recently shown to be a mediator of endothelial migration and angiogenesis<sup>18–20</sup> (Fig. 25.3).

### $\text{TXA}_2$ biosynthesis in platelets

$\text{TXA}_2$  is the major product of AA in platelets<sup>16,21</sup>. Its biosynthesis is a rather unique example of prostanoid release largely dependent on enhanced substrate availability<sup>22</sup>. Thus, the activation of PLs is a rate-limiting step in  $\text{TXA}_2$  production. Once released, AA is offered to COX-1 for its transformation to  $\text{PGH}_2$  that is, then, isomerized to  $\text{TXA}_2$  by the activity of TXAS<sup>4,8,23,24</sup>.

Similarly to all prostanoids,  $\text{TXA}_2$  is rapidly metabolized *in vivo* into biologically inactive compounds.  $\text{TXA}_2$  is chemically unstable and is rapidly transformed non-enzymatically to its hydrolysis product,  $\text{TXB}_2$ <sup>21</sup> (Fig. 25.4); this can then undergo enzymatic metabolism by  $\beta$ -oxidation, resulting in the formation of 2,3-dinor- $\text{TXB}_2$ , and by dehydration of the hemiacetal alcohol group at C-11, resulting in the formation of 11-dehydro- $\text{TXB}_2$ , the most abundant of a series of metabolites with a  $\Delta$ -lactone ring structure<sup>25</sup> (Fig. 25.4). These enzymatic metabolites circulate in plasma at low concentrations and are excreted in urine<sup>26–28</sup>. The measurement of these metabolites in

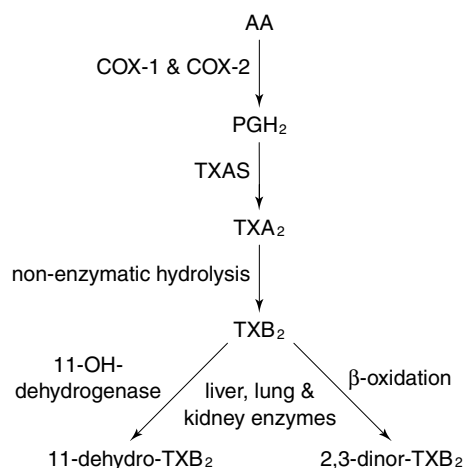


Fig. 25.4. Metabolism of  $\text{TXA}_2$ .  $\text{TXA}_2$ , formed by the activity of PGH synthases (COX-1 and COX-2) and  $\text{TXA}_2$  synthase (TXAS), is rapidly transformed non-enzymatically to its hydrolysis product  $\text{TXB}_2$  which can then undergo two major enzymatic pathways of metabolism:  $\beta$ -oxidation, resulting in the formation of 2,3-dinor- $\text{TXB}_2$ , and dehydration of the hemiacetal alcohol group at C-11, resulting in the formation of 11-dehydro- $\text{TXB}_2$ .

plasma and urine provides a reliable indication of the systemic biosynthesis of  $\text{TXA}_2$ . Studies with low-dose aspirin have demonstrated that platelets are the major source of both metabolites in urine<sup>29</sup>.

It is interesting to note that the maximal biosynthetic capacity of human platelets to produce  $\text{TXA}_2$  when challenged *in vitro* by endogenous thrombin exceeds, by several thousandfold, the actual rate of  $\text{TXA}_2$  biosynthesis

in vivo, as reflected by the measurement of urinary excretion of 2,3-dinor-TXB<sub>2</sub> and 11-dehydro-TXB<sub>2</sub><sup>26</sup>. This may explain, at least in part, the unusual requirement of virtually complete suppression of platelet COX-1 activity in order to detect an antithrombotic efficacy<sup>30</sup>. In fact, the relationship between the pharmacological inhibition of platelet COX-1-dependent biosynthetic capacity ex vivo, as assessed by the measurement of TXB<sub>2</sub> production during whole blood clotting, and that of the actual rate of TXA<sub>2</sub> biosynthesis in vivo, as assessed by the measurement of its major enzymatic urinary metabolites, is not linear. Thus, inhibition of TXA<sub>2</sub> biosynthesis in vivo occurs when platelet COX-1 activity, assessed ex vivo, is reduced >95%<sup>31</sup>.

### Enzymes involved in TXA<sub>2</sub> biosynthesis

#### Phospholipases (PLs)

The release of AA from platelet membrane glycerophospholipids can occur by two major pathways: PLA<sub>2</sub> and PLC/diacyl glycerol lipase. However, there is substantial evidence to indicate that the activation of PLA<sub>2</sub> is the most important pathway<sup>32,33</sup>. Platelets contain a low (14 kDa) and a high (85 kDa) molecular weight PLA<sub>2</sub>, localized in  $\alpha$  granules and cytosol, respectively. They are known as secretory PLA<sub>2</sub> (sPLA<sub>2</sub>)<sup>34</sup> and cytosolic PLA<sub>2</sub> (cPLA<sub>2</sub>)<sup>35</sup>, respectively. PLA<sub>2</sub>s hydrolyze the *sn*-2 fatty acyl ester bond of glycerophospholipids, producing non-esterified fatty acids, often represented by AA, and lysophospholipids. The increase in intracellular Ca<sup>2+</sup> (i.e.  $\mu$ molar range for cPLA<sub>2</sub> and mmolar range for sPLA<sub>2</sub>) by receptor-operated events is an essential requirement for PLA<sub>2</sub> activation<sup>3</sup>. This event induces the translocation of cPLA<sub>2</sub> from the cytosol to cellular membranes<sup>36,37</sup>. Moreover, cPLA<sub>2</sub> phosphorylation at Ser<sup>505</sup>, residing within a mitogen-activated protein kinase (MAPK) consensus sequence (Pro-Leu-Ser<sup>505</sup>-Pro), causes a severalfold increase of its catalytic activity<sup>37,38</sup>. In thrombin- and collagen-stimulated platelets, p38 kinase, a member of MAPK family, is involved in the early phosphorylation of cPLA<sub>2</sub><sup>39</sup>. On the other hand, cPLA<sub>2</sub> phosphorylation via a protein kinase C (PKC)/p42/p44<sup>MAPK</sup>-dependent pathway takes place in platelets treated with phorbol esters and Ca<sup>2+</sup> ionophore<sup>40</sup>.

sPLA<sub>2</sub> can be released from platelets in response to various stimuli and it has been reported to activate platelets through its binding to a glycoprophosphatidylinositol (GPI)-anchored platelet-membrane heparan sulfate proteoglycan. This may induce the stimulation of AA metabolism, protein tyrosine phosphorylation and Ca<sup>2+</sup>-mobilization<sup>41</sup>. However, the involvement of sPLA<sub>2</sub> in platelet AA release is not unequivocally accepted<sup>42</sup>.

#### COX-1

Similarly to COX-2, COX-1 is a homodimer of ~70 kDa subunits. Each subunit contains one molecule of heme and comprises three domains: an epidermal growth factor, a membrane-binding and a catalytic domain. The cyclooxygenase and the peroxidase active sites are located in the catalytic domain and are separated by the heme prosthetic group. Tyr-385 residue, at the top of the cyclooxygenase active site, is positioned perfectly to react with AA and to remove the 13-pro-S-hydrogen, i.e. the first step in the formation of PGG<sub>2</sub> from AA. Then, PGH<sub>2</sub> is produced via the peroxidase-dependent reduction of PGG<sub>2</sub> peroxy radical<sup>8</sup> (Fig. 25.2).

Because circulating platelets lack a nucleus and consequently cannot synthesize mRNA, the involvement of constitutively expressed COX-1 in TXA<sub>2</sub> biosynthesis has been assumed. This is supported by cDNA cloning and immunofluorescence purification of only COX-1 from human platelets<sup>43</sup>. In contrast, it has been recently reported that circulating platelets from healthy subjects express COX-2 protein and mRNA, as assessed by Western blot and reverse transcription coupled polymerase chain reaction (RT-PCR), respectively<sup>44</sup>. However, in highly purified human platelet suspensions (containing <0.5 leukocytes per 1000 platelets), analysed immediately after isolation to avoid any ex vivo expression of COX-2 in contaminating monocytes, we failed to detect COX-2 while COX-1 was readily detectable (Fig. 25.5). The involvement of COX-1 in platelet TXB<sub>2</sub> biosynthesis is further supported by the finding that its production is spared by concentrations of NS-398 (a selective COX-2 inhibitor) that completely inhibit monocyte COX-2 activity<sup>9</sup>. The results of over 50 clinical trials showing saturation of the antithrombotic efficacy of aspirin at low-doses (50–100 mg daily)<sup>30</sup> sustain convincingly the COX-1 dependence on platelet TXA<sub>2</sub> biosynthesis.

Aspirin is the only non-steroidal antiinflammatory drug (NSAID) known to react covalently (and time-dependently) with the cyclooxygenase channel of COX-1 and COX-2 causing a selective acetylation of a specific serine residue (Ser<sup>529</sup> for human COX-1 and Ser<sup>516</sup> for human COX-2)<sup>45,46</sup>. Aspirin acetylation of platelet COX-1 results in a persistent blockade of AA oxidation to PGH<sub>2</sub> that is cumulative on repeated low-dose administration<sup>13</sup>. An almost complete suppression (>95%) of platelet COX-1 activity is obtained after 5–7 days of treatment with low-dose aspirin. In contrast, in a nucleated cell, the irreversible inactivation of COX-1 and COX-2 by the drug can be rapidly reversed by *de novo* synthesis of the enzymes<sup>47</sup>.

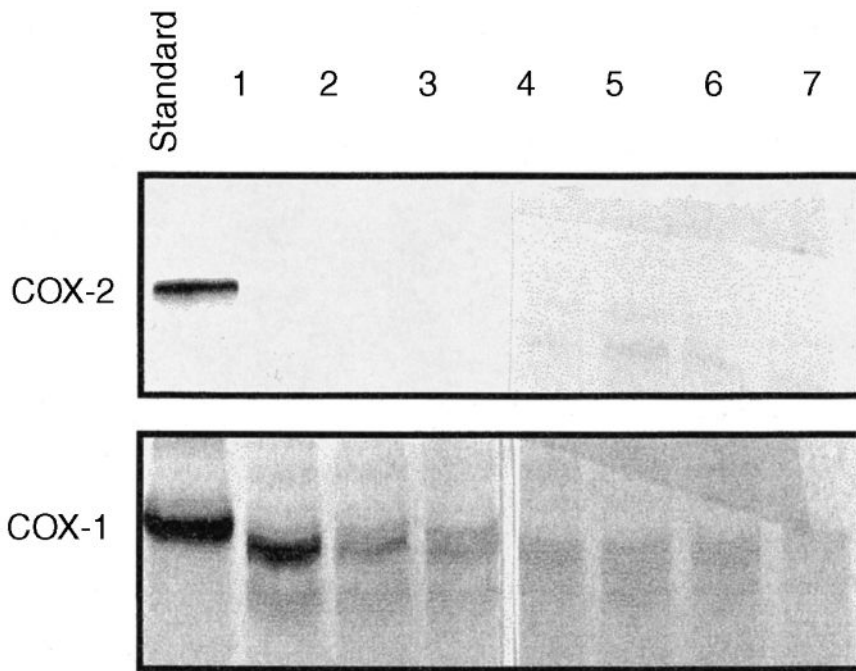


Fig. 25.5. Western blot analysis of COX-1 and COX-2 in human platelets. Platelets were isolated from citrated human whole blood drawn from seven healthy volunteers. COX-1 and COX-2 levels were analysed by SDS-polyacrylamide gel electrophoresis and immunoblotting techniques, using rabbit polyclonal antibodies directed against COX-1 or a carboxyl-terminal sequence present only in human COX-2. In the first lane, COX-1 and COX-2 standard proteins are shown; the numbers (1–7) indicate the 7 healthy volunteers.

## TXAS

TXAS catalyses the isomerization of  $\text{PGH}_2$  to  $\text{TXA}_2$  that is accompanied with the formation of 12-L-hydroxy-5,8,10-heptadecatrienoic acid (HHT) and malondialdehyde (MDA) at a ratio of 1:1:1. However, the biological functions of HHT and MDA are unclear. TXAS, a protein with a molecular weight of 58.8 kDa, is a member of the cytochrome P450 superfamily and is associated with endoplasmic reticulum and perinuclear membranes<sup>48</sup>. Human TXAS was assigned as CYP 5A1. TXAS is synthesized by numerous tissues, including kidney and brain. In blood derived cells, TXAS has been detected in macrophages and platelets<sup>49</sup>. Its mRNA and protein are present in normal megakaryocytes<sup>50</sup>. Cloning of TXAS has revealed that the promoter region has a TATA box and several potential binding sites for transcription factors and a glucocorticoid response element<sup>51</sup>. TXAS is expressed during differentiation of megakaryocytes through the regulation of  $\text{p45}^{\text{NF-E2}}$ , a transcription factor that plays a crucial role in the regulation of erythroid gene expression. However, megakaryocyte TXAS expression and  $\text{TXA}_2$  biosynthesis do not play a role in the platelet production process<sup>50</sup>. This is supported by the finding that patients with TXAS deficiency present

bleeding disorders in the absence of thrombocytopenia<sup>52</sup>. A variation in the promoter region of TXAS, i.e. a T to G substitution at nucleotide-386, has been reported<sup>53</sup>. In vitro studies have shown that the G(-386) allele presented enhanced promoter activity compared to the T(-386) allele. A higher G(-386) allele frequency of TXAS gene has been demonstrated in Japanese patients with acute myocardial infarction than those with angina pectoris<sup>53</sup>. Moreover, 11 polymorphisms in human TXAS gene, including 8 missense mutations, have been recently identified<sup>54</sup>. However, the functional consequence of these variations of TXAS gene remains to be studied.

Pharmacological inhibition of TXAS causes the increase in the formation of  $\text{PGE}_2$ ,  $\text{PGF}_{2\alpha}$  and  $\text{PGD}_2$  by activated platelets and  $\text{PGI}_2$  by the vascular endothelium and blood leukocytes<sup>55–57</sup>. However, TXAS blockade is also associated with accumulation of PG endoperoxides ( $\text{PGG}_2$  and  $\text{PGH}_2$ ) that can occupy and activate platelet  $\text{TXA}_2$  receptors (TPs). These complex biochemical changes transduce opposing signals, resulting in mild and inconsistent impairment of platelet aggregation<sup>55</sup>. Combined TXAS inhibitors and TP antagonists have theoretical advantages over existing antiplatelet agents by virtue of increasing vascular  $\text{PGI}_2$

**Table 25.2.** Alteration of platelet TXA<sub>2</sub> biosynthesis in humans

Enhanced TXA <sub>2</sub> biosynthesis		Reference number
Unstable angina		60–62
Acute cerebral ischemia and acute ischemic stroke		69, 70
Chronic phase after cerebral ischemia and intracerebral hemorrhage		71
Type IIa hypercholesterolemia		63
Diabetes mellitus, type I and II		64, 65
Homozygous homocystinuria		67
Smokers		68
Essential thrombocythemia		72
Polycythemia vera		73
Reduced TXA <sub>2</sub> biosynthesis	Mechanism	Reference number
Bleeding disorders	↓ AA release	74
	↓ COX-1 protein levels and COX-1 activity	75, 76
Uremia	↓ COX-1 activity	77
Liver cirrhosis	↓ Agonist-induced transmembrane signalling	78

biosynthesis and affecting the interaction of PGH<sub>2</sub> with the platelet TPs. Whether these theoretical advantages will translate into measurable improvements over existing antiplatelet agents remains to be tested in comparative clinical trials.

### Alteration of platelet thromboxane biosynthesis in humans

The biosynthesis of platelet TXA<sub>2</sub> can be evaluated by the measurement of TXB<sub>2</sub> production in response to various agonists (e.g. ADP, collagen, arachidonate) added in vitro to washed platelets or platelet rich plasma (PRP). This is a measure of 'capacity', which indicates what platelets can do when challenged by rather intense stimuli in a closed artificial system. Measurement of TXB<sub>2</sub> production during whole blood clotting is also a capacity index related to virtually maximal conversion of platelet AA through the COX-1 pathway in response to endogenously formed thrombin<sup>58</sup>. The relevance of these capacity indexes to the actual occurrence of platelet activation in vivo is still unknown. In contrast, the measurement of urinary excretion of major enzymatic metabolites of TXB<sub>2</sub> is thought to be a reliable index of platelet function in vivo. In fact: (i) 11-dehydro-TXB<sub>2</sub> and 2,3-dinor-TXB<sub>2</sub> are excreted at low rates under physiological circumstances<sup>26</sup> (i.e. low background 'noise' unaffected by sampling-related artefacts), (ii) their excretion increases linearly with increased rates of entry of

TXB<sub>2</sub> into the systemic circulation<sup>26,27</sup> and (iii) they are largely derived from platelet TXA<sub>2</sub> biosynthesis in vivo<sup>29</sup>. The contribution of platelets to enhanced urinary TXB<sub>2</sub> metabolite excretion, in different clinical conditions, can be verified by assessing the extent of its inhibition and the time of its recovery after the daily administration of low-dose aspirin for 5–7 consecutive days. An almost complete suppression of platelet COX-1 activity, as assessed by measuring serum TXB<sub>2</sub> production, by low-dose aspirin is associated with a 70–80% reduction of urinary 11-dehydro-TXB<sub>2</sub> and 2,3-dinor-TXB<sub>2</sub> excretion<sup>29</sup>; after discontinuing aspirin administration, urinary TXB<sub>2</sub> metabolite excretion returns to pre-drug levels within 10–14 days<sup>29</sup>, which is consistent with platelet turnover.

Episodic increases in TXB<sub>2</sub> metabolite excretion have been detected in patients with unstable angina<sup>59–62</sup>. Platelet activation in this setting is not merely related to the presence of atherosclerotic lesions in the arterial wall, but is an acute process most likely triggered by sudden rupture of the plaque<sup>59</sup>. In fact, the levels of TXB<sub>2</sub> metabolites were unchanged in patients with chronic stable angina<sup>60</sup> and peripheral vascular disease<sup>62</sup>. In contrast, a relatively reproducible and persistent increase in TXB<sub>2</sub> metabolite excretion has been demonstrated in association with cardiovascular risk factors (i.e. hypercholesterolemia, diabetes mellitus, hypertension, homocystinuria or smoking)<sup>63–68</sup>. The results of these studies suggest that the occurrence of arterial disease *per se* is not a trigger of plate-

let activation *in vivo*. Rather, the rate of  $\text{TXA}_2$  biosynthesis appears to reflect the influence of coexisting disorders. Thus, it has been proposed that  $\text{TXA}_2$ -mediated amplification of platelet activation in response to plaque fissuring may represent a link between different risk factors and the occurrence of thrombotic complications<sup>66</sup>. In Table 25.2, different clinical conditions characterized by enhanced  $\text{TXA}_2$  biosynthesis *in vivo* are listed.

Reduced biosynthesis of platelet  $\text{TXA}_2$  *in vitro* has been found in patients with bleeding disorders (Table 25.2). The mechanism of this defect may involve an impaired release of AA from phospholipids<sup>74</sup> or a COX-1 deficiency, i.e. undetectable protein levels or impaired catalytic activity<sup>75-77</sup>. Moreover, platelets from patients with liver cirrhosis produce reduced levels of  $\text{TXA}_2$  in response to thrombin and collagen as a consequence of an impairment of transmembrane signalling through a molecular mechanism not yet identified<sup>78</sup>. Mild to moderate bleeding disorders have been associated with defective  $\text{Ca}^{2+}$  ionophore A23187-induced platelet aggregation without impaired  $\text{TXA}_2$  production<sup>79</sup>. A defective or reduced platelet aggregation and secretion in response to ADP, collagen, AA and stable  $\text{TXA}_2$  ( $\text{STA}_2$ ) has been also detected. The molecular mechanisms of these defects are independent on PKC activation,  $\text{Ca}^{2+}$  mobilization and myosin light chain (MLC) phosphorylation.

### Endogenous modulation of platelet $\text{TXA}_2$ biosynthesis

Platelet  $\text{TXA}_2$  biosynthesis is, presumably, turned off through two main mechanisms: (i) the decrease in  $\text{TXA}_2$  synthesis for suicide inactivation of COX-1 and TXAS and (ii) the irreversible sticking of platelets associated with the release of their intracellular granules. COX-1 is a self-inactivating enzyme. Both the peroxidase and cyclooxygenase activities have a limited number of catalytic turnovers<sup>8</sup>. Based on the protective effect of various reducing cosubstrates, it has been proposed that active radical intermediates, formed during the peroxidase or cyclooxygenase catalysis, are involved in the irreversible loss of enzyme activity<sup>80</sup>. Similarly, TXAS undergoes suicide inactivation during catalysis, are involved presumably via two mechanisms: (i) a covalent modification of the enzyme due to a tight association with  $\text{PGH}_2$  or with an intermediate product not yet identified and (ii) a modification or dissociation of the heme prosthetic group<sup>81</sup>. Moreover, both COX-1 and TXAS activities may be modulated by the cellular levels of lipid hydroperoxides and/or nitric oxide<sup>8,82</sup>.

Counterregulatory mechanisms can be evoked by plate-

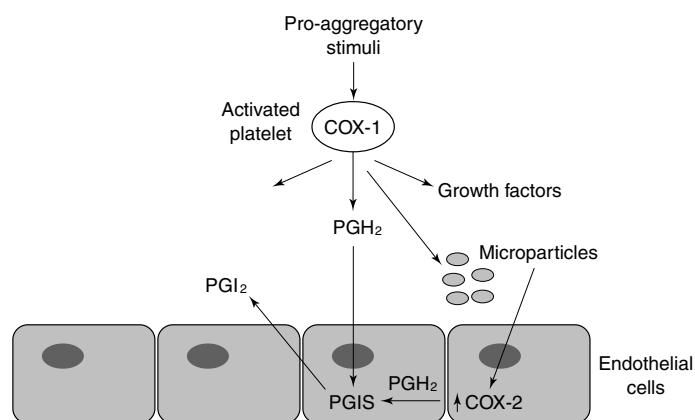


Fig. 25.6. Mechanisms involved in endothelial  $\text{PGI}_2$  biosynthesis by activated platelets.  $\text{PGI}_2$  synthase (PGIS) can utilize  $\text{PGH}_2$ , derived from platelet COX-1 and from endothelial COX-2, induced in response to platelet-derived growth factors and microparticles, to produce  $\text{PGI}_2$ .

let activation<sup>22</sup>. For instance, platelets can induce the synthesis of  $\text{PGI}_2$  in adjacent vascular endothelial cells by transcellular metabolism: (i) platelet-derived  $\text{PGH}_2$  can be utilized by endothelial PGIS<sup>83</sup>, (ii) platelet microparticles or fragments of plasma membrane may deliver their unmetabolized AA content to endothelial cells and induce COX-2 expression via a PKC/MAPK-dependent pathway<sup>84</sup> (Fig. 25.6). Alternatively, activated platelets can cause overexpression of endothelial COX-2 as a consequence of direct cell-cell interaction or growth factor secretion (Fig. 25.6).

$\text{PGI}_2$  has been proposed to be an atheroprotective mediator for its inhibitory effects on proliferation and contraction of vascular smooth muscle cells and platelet activation<sup>85</sup>. The involvement of  $\text{PGI}_2$  in the control of platelet function *in vivo* is suggested by the finding that deletion of the  $\text{PGI}_2$  receptor, the IP, results in an increased response to thrombotic stimuli in the mouse<sup>86</sup>.

### $\text{TXA}_2$ receptors (TPs)

$\text{TXA}_2$  acts on a receptor belonging to the heptahelical superfamily of G protein-coupled receptors<sup>2</sup>. TP purified from human platelets consists of a broad protein band of 57 kDa<sup>87</sup>. Although pharmacological studies, using different ligands, suggest heterogeneity of TPs<sup>88</sup>, a single gene has been cloned from a placental library<sup>89</sup>. Deletion of this gene renders mouse platelets unresponsive to thromboxane analogues and abolishes the pressor response to infusion of TP agonists<sup>90</sup>.

Two splice variants of TP have been identified,  $\alpha$  and

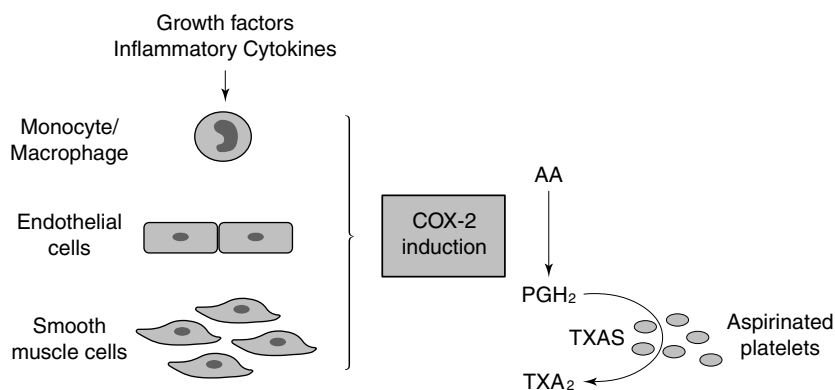


Fig. 25.7. Aspirin-treated platelets metabolize PGH<sub>2</sub> derived from vascular cells and macrophages into TXA<sub>2</sub>. COX-2 induction in activated endothelial cells, smooth muscle cells and macrophages may contribute to TXA<sub>2</sub> biosynthesis by providing PGH<sub>2</sub> to the TXAS of aspirinated platelets.

$\beta$ <sup>89,91</sup>. They differ only in the carboxyl-terminal tail. TP $\beta$  isoform has a longer carboxyl-terminal extension than TP $\alpha$  isoform. Although mRNA for both isoforms exists in platelets, only TP $\alpha$  protein has been detected<sup>92</sup>. In contrast, both isoforms are expressed in endothelial and vascular smooth muscle cells<sup>93</sup>. The two isoforms of the TP differ in their rates of agonist-induced desensitization and propensity to couple with G proteins<sup>94,95</sup>. Thus, TP $\beta$ , but not TP $\alpha$ , undergoes agonist-induced internalization in several different cell types, presumably, through phosphorylation in a region containing three serine residues of its C-tail. Activation of TP isoforms causes the increase of phosphoinositide-specific phospholipase C (PLC) activity via the coupling with pertussis-insensitive GTP binding protein, G<sub>q</sub><sup>96</sup>. In contrast, only TP $\alpha$  signals via G<sub>h</sub> to induce PLC-dependent IP<sub>3</sub> formation<sup>95</sup>.

Pharmacological studies using the ligand I-BOP have evidenced the presence of two binding sites (high and low affinity) on platelet membranes. The interaction with the low affinity binding site induces secretion and aggregation, whereas that with the high affinity binding site induces shape change<sup>97</sup>. Since only TP $\alpha$  has been detected in human platelets, these two binding sites seem to represent different states of the same TP isoform.

Impaired coupling of TP receptor to G protein, as a consequence of a single aminoacid substitution (Arg<sup>60</sup> to Leu) in the first cytoplasmatic loop of the receptor, has been associated with a dominantly inherited bleeding disorder<sup>98</sup>. In fact, ligand activation of mutant TP receptor, expressed in Chinese hamster ovary (CHO) cells, has been shown to cause a decreased formation of second messengers despite a normal binding affinity<sup>98</sup>.

A newly synthesized TP antagonist, S18886, which is devoid of partial agonist activity, has been shown to retard

atherogenesis in the apoE-knockout mouse<sup>99</sup>. Since aspirin is ineffective, the possible involvement of platelet TP blockade is, presumably, excluded. Thus, the antagonist may have interfered with the activation of TPs expressed in other cells relevant to atherosclerosis (i.e. vascular smooth muscle cells, macrophages). Moreover, the possible prevention of isoprostane-dependent TP activation by S18886 cannot be excluded. Isoprostanes are PG isomers formed by free-radical catalysed arachidonate peroxidation<sup>100</sup>. 8-iso-PGF<sub>2 $\alpha$</sub> , one of the most abundant F<sub>2</sub>-isoprostanes formed in vivo in humans, has been shown to induce platelet and vascular smooth muscle cell activation through the interaction with TPs<sup>101</sup>.

### Aspirin-insensitive TXA<sub>2</sub> biosynthesis

Studies performed in vitro have demonstrated that aspirin-treated platelets restore their capacity to synthesize TXA<sub>2</sub> if they are incubated with thrombin-stimulated endothelial cells<sup>47</sup>. Since the extent of TXA<sub>2</sub> production was proportional to the amount of endothelial COX-2 expression, it has been suggested that COX-2-dependent PGH<sub>2</sub> can be utilized by the TXAS of aspirinated platelets to produce TXA<sub>2</sub> (Fig. 25.7). Differently from platelets, which are persistently inactivated by aspirin, endothelial cells can rapidly (2–4 h) recover the aspirin-dependent irreversible inhibition of COX-2 activity after treatment with phorbol esters or interleukin (IL)-1 $\alpha$ , due to *de novo* synthesis of COX-2<sup>47</sup>. These in vitro findings suggest that after a single daily administration of the short-lived aspirin, extraplatelet TXA<sub>2</sub> biosynthesis can be restored through the induction of COX-2 in nucleated cells (i.e. vascular cells, monocytes/macrophages) in response to a local inflam-

matory milieu (Fig. 25.7). This phenomenon may be operative in vivo. In fact, in a subset of patients with unstable angina treated with low-dose aspirin to almost completely block platelet COX-1 activity, enhanced TXA<sub>2</sub> biosynthesis in vivo, as reflected by the urinary excretion of 11-dehydro-TXB<sub>2</sub> and 2,3-dinor-TXB<sub>2</sub>, has been demonstrated<sup>62</sup>. The involvement of extraplatelet sources, possibly expressing COX-2, has been suggested by the finding that indobufen, an antiplatelet drug that largely inhibits both platelet COX-1 and monocyte COX-2 at therapeutic plasma concentrations, causes a more profound reduction of TXA<sub>2</sub> biosynthesis than low-dose aspirin, a selective inhibitor of platelet COX-1, in this setting<sup>102</sup>. Thus, COX-2 induction in plaque monocyte/macrophages or activated vascular cells may contribute to aspirin-insensitive TXA<sub>2</sub> biosynthesis in patients with acute coronary syndromes by generating PGH<sub>2</sub> as a substrate for the TXAS of the same cell or by providing PGH<sub>2</sub> to the TXAS of aspirinated platelets (Fig. 25.7).

## Conclusions

COX-1-dependent TXA<sub>2</sub> biosynthesis produced by platelets in response to other primary agonists plays an important role in the local amplification of cell activation. This is demonstrated by the results of randomized clinical trials with aspirin showing that the antithrombotic effect is saturable at doses (50–100 mg/day) causing a selective inhibition of platelet COX-1 activity<sup>30</sup>.

Aspirin-insensitive thromboxane biosynthesis occurring episodically in patients with acute coronary syndromes may provide a mechanism for the formation of a potent agonist of platelet and vascular TP receptors, possibly contributing to a number of clinical aspirin failures. The availability of potent and long-lasting TP antagonists<sup>103</sup> and selective COX-2 inhibitors (coxibs)<sup>104</sup> offers the opportunity to test this hypothesis.

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# Platelet procoagulant activities: the amplification loops between platelets and the plasmatic clotting system

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## Introduction

Before the early 1960s interaction between platelets and plasmatic thrombin or 'thromboplastin' generation was the subject of intensive study<sup>1</sup>. Since the introduction of the aggregation and adhesion methods, most studies on platelets are done under conditions where clotting is prevented, whereas blood coagulation is usually studied in the absence of platelets. In this way only indirect information (e.g. recognition of thrombin-receptors) is obtained on the interaction between platelet and plasma. Direct information on the platelet-clotting system reinforcement loops has been reappearing only recently.

In the body, platelet activation and thrombin generation are two intimately linked processes. Thrombin is a potent platelet activator and adequate thrombin formation is impossible without activated platelets. Because thrombin generation and platelet activation are mutually interdependent, each process requiring the product of the other for its full activity, the two are interlocked in positive feedback. Unlike the better known negative feedback that has regulatory properties, a positive feedback loop amplifies product formation explosively. Hemostasis is an intricate complex of positive and negative feedback loops. Here, we will focus on the amplification mechanism constituted by the platelet-clotting system interaction. The thrombin induced positive feedback loop has been known for over half a century (see fig. 26.1 from ref.1) but only recently has it again become a focus of wider interest.

Two questions are essential to the understanding of this loop: (a) what is the nature of the procoagulant activity of activated platelets? and (b) how do the products of the clotting process, i.e. thrombin and fibrin, bring about procoagulant activity in platelets?

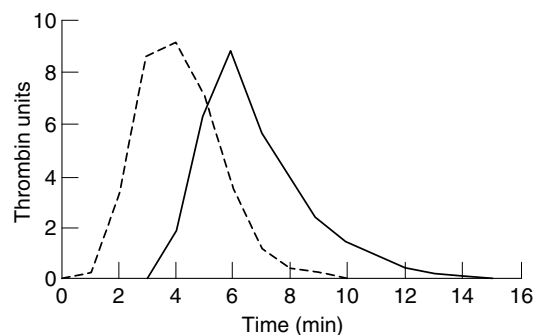


Fig. 26.1. Thrombin mediated feedback anno 1953. From ref. 1, p.97. The original legend reads: *The thrombin generation test was carried out on normal whole blood in glass tubes with and without the addition of thrombin to the blood. The presence of thrombin greatly shortens the delay phase before rapid thrombin formation occurs.*

Adhesion and aggregation are early events and make us focus on the start of plug formation. However, the role of platelets is not finished with the forming of an aggregate adhering to a lesion. Neither does clot formation mark the end of the activity of the clotting factor system. Over 97% of all thrombin appears after a clot has formed, in a mechanism that is critically dependent upon platelet function<sup>2</sup>. Such thrombin, among other things, determines the resistance of the clot to fibrinolysis<sup>3</sup> and the extent of the thrombotic reaction around a primary focus, brought about by the numerous actions of thrombin on the cells of the blood and the vessel wall. Clot retraction is another example of a late physiological reaction that is entirely dependent on platelets in a clot.

The importance of such late processes becomes apparent from clinical observation. Resistance to activated

protein C or heparin administration hardly influence the start of the haemostatic/thrombotic reaction triggered by tissue factor (TF). Neither are bleeding times or TF induced clotting times significantly influenced. The clinically observed pro- or antithrombotic state does, however, correlate well with the changes in the amount of thrombin formed after TF induced clotting.

### Essentials of thrombin formation

When clotting is activated in blood or plasma, a dynamic situation builds up in which prothrombin is converted into thrombin and thrombin disappears by its interaction with antithrombins. Clotting occurs at the very beginning of the process, as soon as 5–10 nM of thrombin are formed. The peak of thrombin concentration is seen a few minutes later and, depending upon the reaction mixture, amounts to 50–250 nM. Normally only ~10% of all prothrombin remains unconverted.

The prothrombin converting complex (prothrombinase) consists of factor Xa and factor Va reversibly bound to the surface of a membrane containing coagulant phospholipids (CPL). Negatively charged or aminophospholipids, i.e. phosphatidylserine (PS) and/or phosphatidylethanolamine (PE) are essential components of CPL<sup>4</sup>. The factor X activating enzyme is either a complex of factor VIIa and (membrane bound) TF or it is 'tenase', a complex analogous to prothrombinase with factors IXa and VIIIa in the role of factors Xa and Va, respectively<sup>5</sup>. In prothrombinase, factor Va increases the rate of thrombin formation a thousand fold<sup>6,7</sup>. Factor Va is formed from plasmatic factor V by the action of (meizo-)thrombin<sup>8</sup>. The first traces of (meizo-) thrombin formed therefore tremendously increase thrombin formation. (Meizothrombin is a prothrombin activation intermediate that has retained the membrane binding properties of prothrombin but already has the proteolytic activities of thrombin). Thrombin also is essential in the activation of protein C and therefore entails factor Va breakdown in a later stage. Factor V activation and subsequent inactivation in this manner create a limited time lapse to which thrombin generation is confined.

The binding of prothrombinase and its substrate prothrombin (factor II) to CPL makes the proteins meet in the required juxtaposition and increases the local concentration of substrate around the enzyme, which kinetically translates into an upto one thousand fold decrease of  $K_m$ . Not only the composition but also the size of the available surface influences the kinetic properties of the enzymes. A prothrombinase complex on a large CPL surface is more

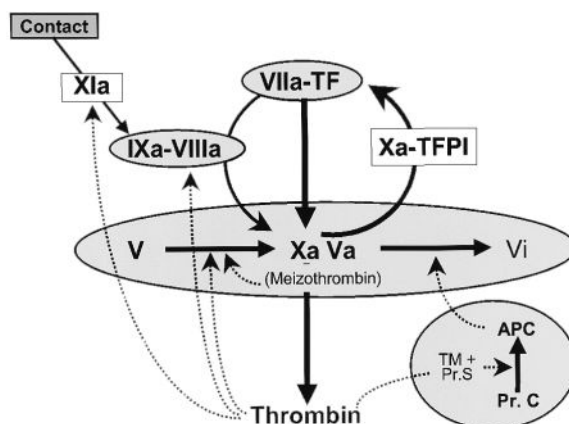


Fig. 26.2. A Coagulation Scheme for 2001. The main vertical axis is the cascade that ensures thrombin formation by sequential activation. The horizontal axis is the regulation dimension operating through activation of factor V (and VIII and XI) and subsequent inactivation of Va (and VIIIa) by APC.

TF: Tissue factor; TFPI: Tissue factor pathway inhibitor; TM: thrombomodulin; Pr.S: protein S; Pr.C: protein C; APC: activated protein C. suffix a: activated form, suffix i: inactivated form. The arrows indicate either chemical conversion sequential activation and the stippled ones, enzymatic activity. TFPI reacts stoichiometrically with Xa and the complex inactivates the TF-VIIa complex by binding to it.

efficient ( $K_m$  decreases more) than that on a small aminophospholipid containing vesicle because the surface around the complex acts as a 'funnel' that guides prothrombin from free solution to the enzyme through lateral diffusion<sup>9</sup>. For the interpretation of experiments on clotting factor-platelet interaction it is essential to realise that mere changes in lipid availability and composition, as they occur during platelet activation, may profoundly change the binding and kinetic characteristics of the platelet membrane. This may be one of the reasons that a number of so far elusive clotting factor receptors have been postulated (see below).

As stated above, factor Xa is formed from factor X either by the TF – factor VIIa complex (the so called 'extrinsic' pathway) or by 'tenase' formed from factors IXa and VIIIa. Factor IXa is formed in the body by TF-VIIa and by (thrombin-activated) factor XIa. In the lab it forms via contact activation, notably during the determination of the activated partial thromboplastin time (aPTT), where a contact activator and CPL are added together with  $Ca^{2+}$  to start the so called 'intrinsic' reaction pathway. The clotting time in this case is the lag-time required for traces of thrombin to activate factor VIII that, in plasma, is bound to von Willebrand factor (vWF) and therefore, unlike factor V, will

not adsorb upon the CPL surface before it is activated and hence cannot be activated by membrane-bound meizothrombin. It remains anybody's guess how far the situation changes when vWF, by binding to platelet receptors, brings factor VIII close to the platelet surface (see below).

TF occurs abundantly on perivascular connective tissue cells. These will express CPL only in so far as they are damaged. In a wound, contact establishes between TF and factor VII(a) from plasma. TF does not normally occur on circulating platelets but it is deposited on adherent platelets by leukocytes<sup>10</sup> (see below). It can thus be imagined that on damaged cells and platelets provided with TF the complete thrombin generation process can occur on the same surface. This is probably not the rule, however, otherwise the antithrombin–pentasaccharide complex, which acts on free factor Xa only, would not have an antithrombotic effect<sup>11</sup>.

### Means to study clotting factor – platelet interaction

The procoagulant properties of isolated platelets in suspension can be studied by measuring the conversion of added prothrombin and manipulating the concentration of the constituents of prothrombinase so that the one to be studied is rate limiting. The appearance of factor V(a) or CPL or other prothrombinase sustaining activity can thus be assessed. The property of Annexin V to bind to CPL only, can be conveniently used to inhibit CPL dependent processes or to spot CPL on cells in FACS-experiments<sup>12,13</sup>. On adherent platelets similar activity measurements in flow chambers can be combined with direct observation of morphological changes. The appearance of CPL can be observed directly by the binding of fluorescently labelled annexin V as well as the measurement of intracellular  $Ca^{2+}$  after loading the cells with a dye, the fluorescence of which is dependent upon the  $Ca^{2+}$  concentration.

In 1989 Béguin reintroduced measurement of thrombin generation (TG) in PRP<sup>2</sup>. Classical TG-experiments require inconvenient, frequent subsampling from recalcified PRP. Automated registration of the TG-curve with a fluorescent thrombin substrate, i.e. the thrombogram, circumvents this problem<sup>14</sup> (Fig. 26.3). In this type of experiment, the platelet-plus-plasma system is left intact as far as possible. It therefore allows direct investigation of their interaction under near to physiological conditions.

The appearance rate of thrombin is dependent upon the amount of prothrombin and on the activity of prothrombinase. The disappearance rate is proportional to the activity of the antithrombin present. This allows us to calculate

the rate of conversion of prothrombin into thrombin<sup>15</sup>. At normal prothrombin- and antithrombin concentration and in the absence of heparin (-likes) that boost antithrombin action, this calculation is not necessary because the course of thrombin concentration directly reflects prothrombin conversion. So, in experiments such as those of Fig. 26.3 the differences between the thrombograms reflect the differences in the availability of the rate limiting component of prothrombinase.

In the extrinsic system thrombin formation immediately starts. In the intrinsic system and in PRP, thrombin generation is under normal detection limits for some time (= lag-time). Due to the fact that clotting occurs very early after the start of massive thrombin generation, this lag-time for all practical purposes equals the clotting time.

If no platelets are present (PPP), thrombin generation requires added CPL as well as  $Ca^{2+}$ . The trigger can be either TF or a contact activator (e.g. kaolin, ellagic acid). If the PPP is devoid of platelet remnants and a trigger is omitted, it may take an hour before thrombin will form after mere recalcification. Contrary to PPP, recalcification makes PRP clot without further triggering, after a lag-time that is dependent upon the degree of damage done to the platelet in obtaining the PRP. If the PRP is tested within one hour after a flawless venipuncture without suction and avoiding exposure to temperatures below 15°C, the lag-time is  $9 \pm 2$  min. The subtle damage brought about by washing or gel filtering already may reduce the lag time by several minutes. The closest approach to physiological conditions remains the study of blood directly from a venipuncture through a flow chamber<sup>10</sup>. The drawback is that, for all practical purposes, the observations remain restricted to histological and histochemical observation.

### The role of platelets in thrombin formation

Because platelets stick to collagen and fibrin and expose their procoagulant properties after activation, they serve to localise thrombin generation in a wound or thrombus (Fig. 26.4). The enhancement of thrombin generation due to platelet collagen-interaction, acts via the receptors integrin  $\alpha 2\beta 1$  and GP-VI<sup>16,17</sup>; see further<sup>18</sup>.

Four types of procoagulant activity have been described for activated platelets: (a) release of clotting factors; (b) appearance of CPL; (c) activation of clotting factor receptors, and (d) a role in exposing TF from white blood cells.

(a) Activated platelets release factor V and von Willebrand factor (vWF) as well as fibrinogen<sup>19–22</sup>. Factor V is shed in unactivated form and activated by thrombin afterwards<sup>23</sup>. The physiological function of factor V release

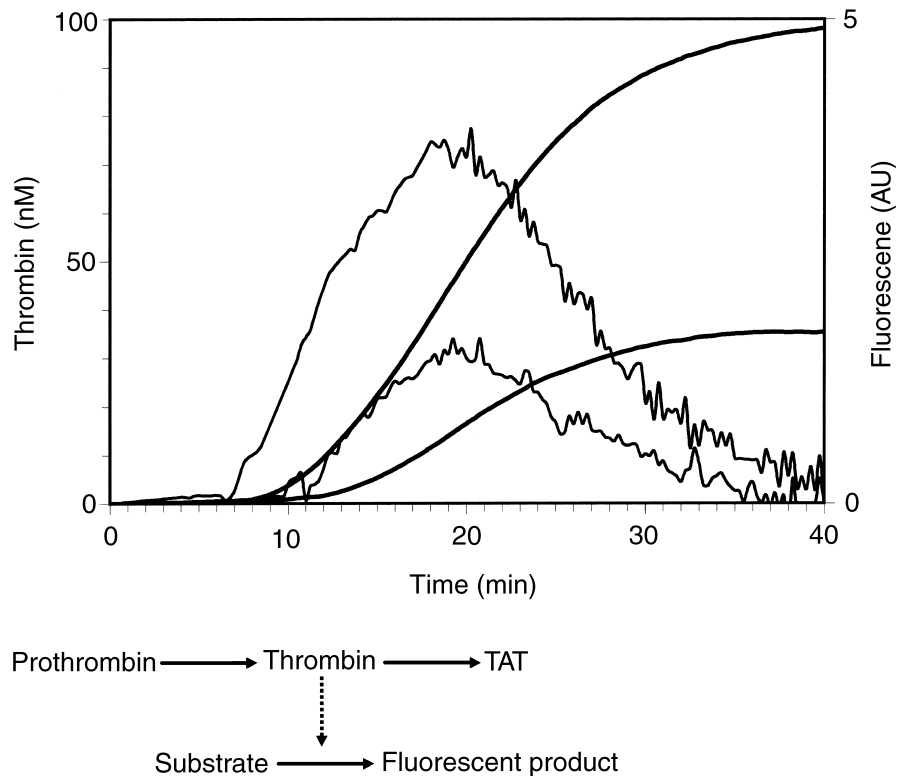


Fig. 26.3. The Thrombogram. Continuous measurement of thrombin generation, using a fluorescent signal and a substrate that is not split by  $\alpha_2$ Macroglobulin-bound thrombin. Thick lines: fluorescent signal. Thin lines: first derivative. Upper lines: normal PRP; lower lines: PRP with 20  $\mu\text{g}/\text{ml}$  abciximab added.

is difficult to understand in terms of thrombin generation in plasma because, as is already known from the earliest studies on factor V, 10% or less of the normal plasma concentration of this factor is required for nearly normal thrombin generation. One can surmise that release serves a purpose for thrombin generation within a platelet aggregate. Adhesion and aggregation are the first steps in haemostasis but thrombin generation follows almost immediately and no stable thrombus or haemostatic plug will arise without it. The amount of plasma factors entrapped in the interstices of the aggregate is necessarily limited. In plasma, factor X is in 6–8 fold excess over factor V. For rapid and adequate thrombin formation it may therefore be required that more factor V is supplied via the release reaction. Recent results indeed suggest strongly that released factor V is preferably bound to the surface of procoagulant platelets<sup>24</sup>.

Also factor IX, in plasma, is in excess over factor VIII (over 50-fold). There is no compelling evidence that factor VIII is released from platelets. This factor, however, is carried by vWF, the binding of which to GPIb and activated  $\alpha_{\text{IIb}}\beta_3$  on

the surface of platelets is well documented. Binding of vWF concentrates factor VIII near the platelet surface. As soon as some thrombin is generated on the platelet surface, this will activate this factor VIII. By making elegant use of the fact that human factor VIII binds to mouse vWF but human vWF hardly to mouse platelets, it could be shown that binding of factor VIII to the thrombus indeed has a prothrombotic effect<sup>25</sup>. This is corroborated by the observation that prothrombin consumption is decreased in patients with a congenital lack of GPIb and that this defect is corrected by addition of factor VIII<sup>26</sup>. This mechanism may explain the correlation between the level of factor VIII and thrombosis found in epidemiological studies<sup>25</sup>.

Normally, during the clotting process, all fibrin is formed before 3% of prothrombin is converted but almost the totality of prothrombin available is consumed due to ongoing thrombin formation in the clot. As discussed in the introduction, this 'excess' amount of thrombin is an important determinant of the extent of the thrombotic/haemostatic reaction. Under low flow conditions, the thrombin generated in the aggregate/clot will act on

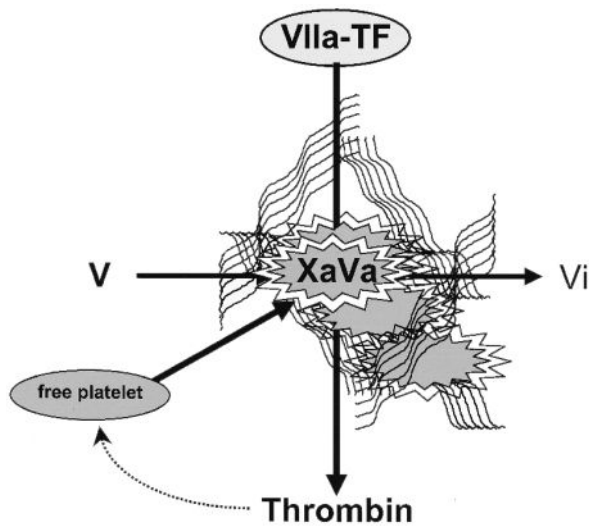


Fig. 26.4. The three-dimensions of thrombin generation. The first dimension (Y) is thrombin production through the cascade mechanism. The second one (X) ensures limitation in time through thrombin-governed positive and negative feedback. The third (Z) localizes thrombin generation on collagen or fibrin fibres through adhesion and aggregation and exposure of platelet CPL through these fibres and thrombin.

the surrounding blood and make it clot. At the fibrin–blood interface of that new clot more platelets will adhere (see below for the link: fibrin – vWF – GPIb – platelet) and become procoagulant, which starts the process again and makes the thrombus grow. The alternation of blood clot and platelet aggregates explains the striated aspect often observed in venous thrombi. Under high flow conditions thrombin that diffuses out of the aggregate/clot will be strongly diluted and washed away. Only a few fibrin fibres are to be found at the outside<sup>27</sup> and no erythrocytes will be entrapped. On this fibrin, to which active thrombin remains bound, new platelets may adhere and become procoagulant in their turn, by two mechanisms<sup>28</sup>: (a) activation by fibrin-bound thrombin, and (b) activation by fibrin-bound vWF, as discussed further.

(a) The amount of thrombin formed in a clot also determines the degree of activation of TAFI and therefore, indirectly, the resistance of the clot against fibrinolysis<sup>3,29,30</sup>. This may well explain the clinical observation that in deficiencies of factors FVIII, IX and XI loose clots form that are subject to easy lysis.

(b) Studies from the van Deenen group in the early 1970s had demonstrated that the phospholipid components of the cell membrane are not evenly distributed between the inner and the outer leaflet. PS and PE, essential for CPL-function, are to be found at the inside<sup>31–35</sup>. Therefore a cell

membrane is not procoagulant unless wounded, apoptotic or otherwise disturbed (e.g. by hemoglobin crystals in degranocytosis). Platelets are the only known cells in which the loss of the normal asymmetry ('flip-flop') is induced as a part of their physiological function. In this way platelet activation brings about their power to facilitate blood coagulation<sup>36</sup>. How far the process is similar to membrane scrambling in apoptosis remains to be investigated.

Platelet membranes scramble as a last stage in their activation after shape change, aggregation and release. This stage is only attained when they are activated by thrombin, by collagen and, as we will see later, by fibrin and vWF. Epinephrine, ADP, prostanoids etc. may modulate the scrambling response but will not, by themselves, bring it about.

The intra-membrane activity responsible for the break of asymmetry is attributed to three putative mechanisms (i) an inward-directed pump ('flippase') for PS and PE; (ii) an outward-directed pump ('floppase') and (iii) a lipid scramblase, which facilitates bidirectional migration across the bilayer of all phospholipid classes<sup>37</sup>. These activities await further description and definition in terms of protein entities. The precise intracellular events that activate the intra-membrane mechanism remain largely obscure. It is clear that a rise in intracellular  $Ca^{2+}$  is required: any  $Ca^{2+}$ -ionophore is a potent inducer of flip-flop<sup>18</sup>. In the next section we will describe which platelet receptors may be involved in triggering the process.

Platelet activation goes hand in hand with extensive rearrangement of the cytoskeleton. In this process patches of the membrane bulge outward and pinch off ('blebbing')<sup>38</sup>. This causes minute vesicles (microparticles) to appear, the CPL activity of which can be demonstrated (e.g. by annexin V binding) and the platelet origin of which is clear from their containing integral platelet membrane proteins such as  $\alpha_{IIb}\beta_3$  and P-selectin<sup>39,40</sup>. Calpain inhibitors, that inhibit cytoskeleton degradation<sup>41</sup> also inhibit blebbing and microparticle formation as well as thrombin generation in PRP (unpublished results). The microparticles can be demonstrated in the serum left after a thrombin generation experiment and their appearance is inhibited by antibodies against vWF, GPIb and against  $\alpha_{IIb}\beta_3$ ; in the presence of fibrin, antibodies against GPIb/IX also inhibit (Keularts, publication in preparation). Microparticles bind to fibrin<sup>42</sup>, so at least part of them remain normally in the emerging thrombus. It is not known whether they are shed in the bloodstream, but they have been found in the peripheral circulation in various pathological conditions<sup>43–49</sup>.

(c) A number of receptors have been described that bind

clotting factors to the platelet membrane. Confusingly, high affinity binding sites for clotting factors that arise from the exposure of CPL are sometimes called receptors. Here, we define receptors in the strict sense, as integral platelet membrane proteins that engage in a protein-protein interaction with clotting factors. Such receptors have been postulated for all known factors and rigorously demonstrated only for thrombin (see later).

#### *Prothrombin*

Zybacova and Plow have described that  $\alpha_{\text{IIb}}\beta_3$  can act as a receptor for prothrombin<sup>50</sup>. A binding site that is shared with factor X has also been described<sup>51</sup>.

#### *Factor V(a)*

Platelet receptor-dependent binding of factors Va and VIIIa has been described<sup>52</sup>.

#### *Factor X(a)*

EPR-1, a receptor found and characterized on fibroblasts<sup>53</sup>, is presumed to coordinate assembly of the prothrombinase complex. The site shared with prothrombin is described to have different properties<sup>51</sup>.

#### *Factor VIII(a)*

A factor VIIIa receptor has been described<sup>54</sup> and it has also been surmised that GPIIb, by virtue of its binding vWF, may serve to bring factor VIII in the vicinity of the platelet membrane<sup>26</sup>. It has been demonstrated that vWF binds to fibrin<sup>55</sup> and to the platelet surface in a thrombus and that this process leads to enrichment in factor VIII<sup>25</sup>. So vWF binding causes concentration of factor VIII near the platelet membrane.

#### *Factor IX(a)*

A factor IXa receptor on activated platelets has been postulated, that facilitates factor X activation<sup>56-58</sup>.

#### *Factor XI(a)*

Through its apple 3 domain factor XI binds to a few high affinity sites on activated platelets<sup>59</sup> but also via high molecular weight kininogen.<sup>60</sup> The former facilitates FXI activation by thrombin on activated platelets<sup>61,62</sup>. In contrast, in fresh plasma from severely factor XI deficient patients, we found an essentially identical relation between the concentration of added factor XI and thrombin generation in PRP, in PPP supplemented with normal platelets and in PPP supplemented with 1  $\mu\text{M}$  of synthetic

CPL (Keularts, Zivellin, Béguin, Seligsohn and Hemker. Thrombosis Haemostasis, in press 2001), i.e. we found no evidence for a facilitating role of platelets in thrombin generation when factor XI is rate limiting.

Except for EPR-1 no specific protein receptors have been identified. In our opinion the evidence produced for the existence of most or all of these receptors is open for alternative interpretation. The observations might, for example, be brought about by changes in the lipid composition of the outer face of the platelet membrane. During platelet activation the composition and the size of the available CPL and therefore the kinetic characteristics of clotting factor binding and conversion increase considerably. This can mimic the effect of specific receptors. It may be extremely difficult to distinguish between a protein receptor for a clotting factor and a receptor that is instrumental in producing CPL with high affinity for a clotting factor.

The kinetic effects of platelets and of phospholipids extracted from platelets are of the same magnitude. Rigorous experimental proof would require the demonstration of thrombin formation in the absence of CPL, either by isolating the putative receptor to purity or by inhibiting CPL-dependent clotting factor activation completely. Such evidence to our knowledge has not been brought forward. As long as solid proof to the contrary has not been presented, we prefer not to multiply hypotheses beyond necessity and continue to explain the procoagulant phenomena on the surface of activated platelets in terms of CPL.

(d) When blood is led directly from a vein through a flow chamber in which collagen is exposed (either arterial media or purified collagen on glass), platelets adhere to the collagen. Such platelets pick up TF from leukocytes that roll over the surface of the aggregate; this TF is biologically active. In the free platelet no TF can be demonstrated. On the surface of the free leukocytes there is no active TF either, so TF is 'decrypted' in the process. The specific leukocyte membrane marker CD15, absent in circulating platelets, is present in adherent platelets that have been exposed to leukocytes, which shows that the leukocyte-platelet contact involves the carryover of pieces of leukocyte membrane. This process, together with the flip-flop induced by fibrin and by fibrin-bound thrombin, makes it possible that a plug or thrombus grows even though the collagen and perivascular TF-carrying cells are shielded from contact with flowing blood by the emerging thrombus/plug<sup>10</sup>.

Which type of leukocyte is involved in these processes is still a matter of debate. Giesen et al. suggest that polymorphonuclear cells as well as monocytes contribute.



Østerud et al.<sup>63</sup>, in experiments in which TF synthesis is induced by lipopolysaccharides, conclude that only monocytes are able to synthesize TF but that platelets as well as granulocytes play a supporting role.

### The role of thrombin and fibrin in provoking platelet procoagulant activity

The early effect of thrombin on the procoagulant capacity of platelets is triggering release (and subsequent activation) of, among many other substances, clotting factor V. At a later stage the platelet surface acquires procoagulant activities. The release reaction (see Chapter 24) can be provoked by a number of agents. The exposure of CPL is brought about by thrombin, collagen and vWF-fibrin only.

After recalcification of PRP, the burst of thrombin generation is preceded by the appearance of subnanomolar amounts (<0.1 U/ml) of thrombin, probably formed on traces of circulating procoagulant phospholipid or an occasional damaged platelet, possibly started by minute amounts of circulating TF or minimal contact activation. Adding such amounts of thrombin at zero time dramatically shortens the lag-time as already observed by Biggs and Macfarlane<sup>1</sup> (see Fig. 26.1) and similar amounts of a specific thrombin inhibitor like hirudin prolong it<sup>2</sup>. The lag phase can also be shortened by addition of synthetic CPL, through membrane scrambling by a Ca<sup>2+</sup>-ionophore or by freeze-thawing. On the other hand, adding factor VIIIa does not abolish the lag phase unless CPL is available first. From these and similar observations we conclude that, in PRP, the availability of CPL is the rate-limiting component for thrombin generation and that thrombin or a thrombin product causes the platelets to produce it.

Which receptors are activated by thrombin may depend upon the amount of thrombin present. In PRP it may therefore be different during the lag-phase and thereafter. In washed platelets it has been shown<sup>64</sup> that blocking the PAR-1 receptor with a peptide (YFLLRNP), with bradykinin or with an antibody, blocks the appearance of CPL. Thrombin activating peptide (SFLLRN), although causing aggregation, does not provoke CPL but rather renders platelets insensitive to thrombin. Dörmann et al. also reported that CPL production in washed platelets is inhibited by antibodies against the thrombin binding site in GPIb and by glyocalicin. Unlike  $\alpha$ -thrombin,  $\gamma$ -thrombin, which lacks the normal anionic exosite of  $\alpha$ -thrombin, does not provoke CPL. These observations indicate a crucial involvement of thrombin-GPIb interaction and in all probability both PAR-1 and GPIb play a role.

Thrombin contact induces the activation of  $\alpha_{IIb}\beta_3$  complex in the platelet membrane. Adding an antibody (abciximab) or another antagonist (MK383) to PRP partially inhibits thrombin generation<sup>65-67,68</sup>. So  $\alpha_{IIb}\beta_3$  is part of a loop consisting of the sequence: thrombin  $\rightarrow$  GPIb and/or PAR  $\rightarrow$   $\alpha_{IIb}\beta_3$   $\rightarrow$  CPL  $\rightarrow$  prothrombinase  $\rightarrow$  thrombin. Inhibition via blocking  $\alpha_{IIb}\beta_3$  is not complete, so the loop described is not likely to be the only pathway. If no fibrin(-ogen) is present, antibodies against GPIb will not inhibit thrombin generation but antibodies against vWF do<sup>28,69,70</sup>. This shows that (a) in PRP GPIb is not the only thrombin receptor involved and (b) vWF- $\alpha_{IIb}\beta_3$  interaction must play a role.

The addition of a fibrin clot after recalcification of PRP considerably shortens the lag phase<sup>69</sup>. This is partly due to fibrin bound thrombin that is able to activate platelets and other clotting factors. Also several other serum proteins (e.g. factor Xa) and platelet remnants<sup>42</sup> may be incorporated in a natural clot. When, however, purified fibrinogen is clotted with a snake venom enzyme that as such does not activate platelets, the pure fibrin clot that is obtained will still provoke platelet procoagulant activity<sup>28</sup>, notably if  $\alpha_{IIb}\beta_3$  is also blocked. This direct activation by fibrin can be observed via thrombin generation experiments but also in EM pictures, if care is taken to prevent thrombin playing a role (Fig. 26.5). Platelet-fibrin interaction can be inhibited by antibodies against GPIb and/or vWF. Preventing fibrin polymerization or removing fibrin as soon as it forms blocks this pathway. Our current hypothesis is that vWF adsorbs onto fibrin and thus undergoes the conformational change that makes it a ligand for GPIb. This constitutes a second feedback activation loop: thrombin  $\rightarrow$  fibrin  $\rightarrow$  fibrin-vWF  $\rightarrow$  GPIb  $\rightarrow$  CPL  $\rightarrow$  prothrombinase  $\rightarrow$  thrombin.

Because of the loop character of these sequences we cannot, in thrombin generation experiments, discern between the above sequence and a nested loop in which, e.g. thrombin and GPIb together are required for CPL formation. Experiments with platelets adherent to fibrin are in favour of this possibility (Briedé, manuscript in preparation). On the other hand, the platelet activation on fibrin is seen in EM pictures in which the possibility of thrombin generation was practically excluded (Fig. 26.5) and the polymerization of fibrinogen brought about by an otherwise inert snake venom enzyme enhances thrombin generation through GPIb-dependent platelet activation (unpublished results).

The fibrin-vWF-GPIb pathway of platelet activation remains active when  $\alpha_{IIb}\beta_3$  is blocked with an antibody. The  $\alpha_{IIb}\beta_3$  pathway remains active when GPIb is blocked or in the absence of polymerized fibrin. The two receptors

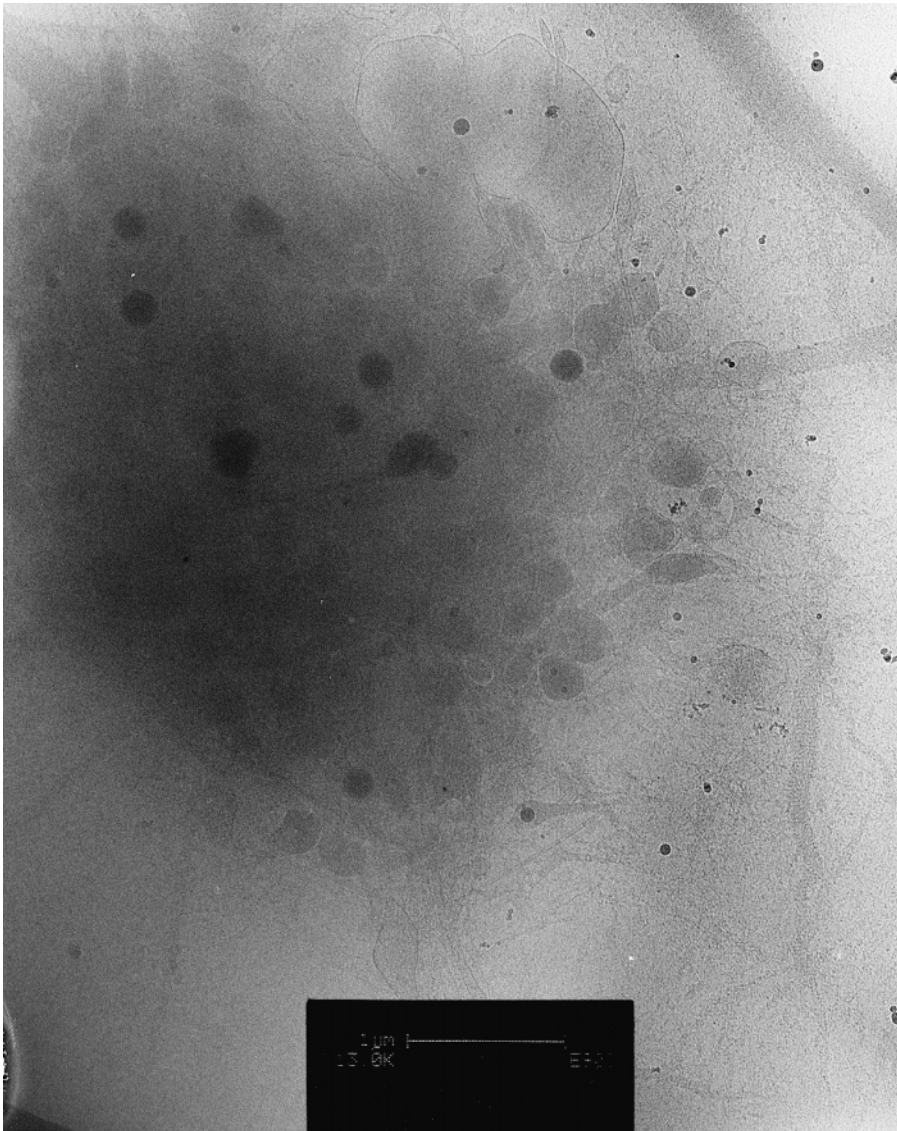


Fig. 26.5. Activated platelets on a fibrin mesh.

On a grid a fibrin mesh was made by clotting pure fibrinogen with a snake venom enzyme. Freshly drawn blood was collected on a mixture of hirudin (2  $\mu$ M) and 0.5 mM of PPACK (both thrombin inhibitors). The grid was held in the anticoagulated PRP for 1 minute, washed in a saline solution, snap frozen and mounted for observation directly.

therefore seem to function on parallel pathways and both pathways are inhibited by lack of vWF or by vWF antibodies. Also in platelets adherent on fibrinogen, vWF has been shown to be required for CPL exposure<sup>71</sup>.

The findings in PRP and with gel-filtered platelets are in accordance as to the involvement of the receptors GPIb and  $\alpha_{IIb}\beta_3$ <sup>64</sup>. Whereas the inhibition obtained by blocking these receptors in washed platelets is >90%, those in PRP do not exceed 50% which suggests that they are coupled in series in one condition and on parallel pathways in the

other<sup>70,71</sup>. Also, in PRP, vWF is a compulsory factor but not in washed platelets. How these apparently contradictory observations may be reconciled is not clear at the moment. It must be kept in mind that the experimental conditions are significantly different, e.g. in the experiments with washed platelets fibrin polymerization is inhibited, which might prevent activation of vWF. The necessity to add polymerization inhibitors suggests that, at some stage, traces of thrombin arise.

Anyhow, in patients who lack vWF, thrombin generation

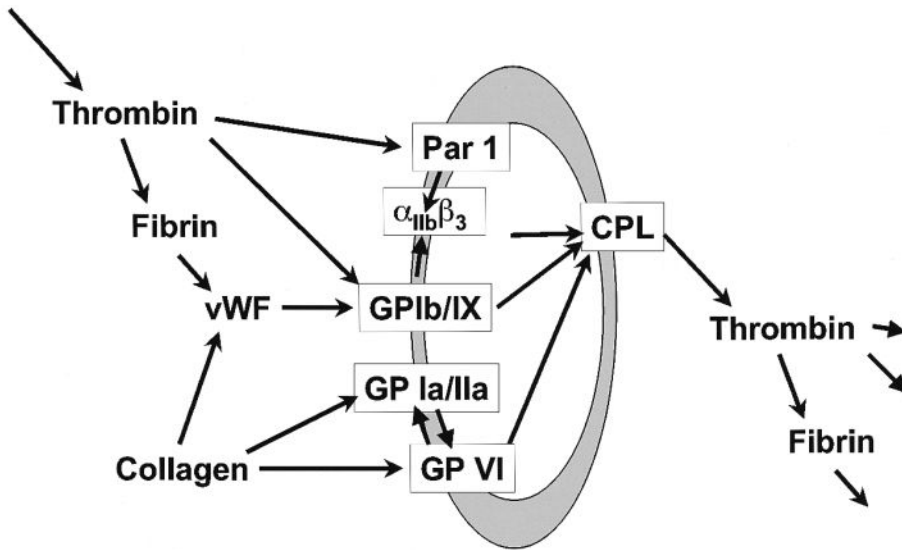


Fig. 26.6. The perpetuum model of thrombus formation. The arrows indicate activations, independent of the mechanism involved: binding, proteolysis or more complicated intraplatelet mechanisms.

in PRP is severely diminished, in a process that cannot be attributed to a lack of factor VIII<sup>72</sup>. Also, thrombin generation can be blocked over 75% with antibodies against vWF and such antibodies have an additional inhibitory power in the presence of antibodies against either of the two GPs involved (Keularts et al. publication in preparation). Under these conditions, and therefore presumably in vivo, vWF must play a role in both the  $\alpha_{IIb}\beta_3$  and the GPIb dependent pathway. vWF, in PRP, is a clotting factor, in the sense that its presence is required for the generation of thrombin. This is explicitly not due to the fact that vWF carries factor VIII because the defect is not seen if sufficient CPL is present, i.e. in contact activated PPP with added CPL or in PRP where the platelet membrane is scrambled with a  $Ca^{2+}$ -ionophore.

In agreement with this proposed mechanism, Glanzmann's thrombasthenia as well as a- and hypofibrinogenemia and vWF deficiency cause a decreased thrombin generation in PRP (Fig. 26.6). Thrombin generation in PPP is normal in Glanzmann's disease and slightly increased in afibrinogenemia. In vWF disease thrombin-generation in PPP (intrinsic system) is normal as long as the factor VIII level is not below ~10%. Half normal thrombin generation in PRP is seen at a vWF level of ~40% (FVIII 100%) and a FVIII level of ~5% (vWF 100%)<sup>73</sup>.

In conclusion, in PRP, the interaction between the plas-matic coagulation system and the platelet is close and mutually reinforcing. A minimal hypothesis requires two parallel, receptor dependent pathways for the appearance

of CPL, that both need vWF: (a) via the  $\alpha_{IIb}\beta_3$  heterodimer that originates upon the appearance of subnanomolar traces of thrombin; (b) via GPIb that interacts with vWF adsorbed onto formed fibrin. In the presence of collagen a third pathway, involving GP VI and  $\alpha_2\beta_1$ , plays a role.

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# Platelets and chemotaxis

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## Introduction

Platelets have been considered mostly for their role in hemostasis, thrombosis and vascular disease; however, many studies over the last few decades have provided an emerging body of evidence on their potential role in host defence reactions. This unconventional function of platelets is consistent with the theory that platelets may represent the phylogenetic vestige of the primitive hemocytes of lower organisms<sup>1</sup>. As hemocytes, which exert a central role both in defence against invading microorganisms and in repair of tissue injury, platelets maintain in many regards the function of inflammatory cells, able to activate complement, secrete proteases, alter vascular permeability and tone and express cytotoxic properties. For instance, platelets have been shown to participate in cytotoxicity against the parasites responsible for shistosomiasis, toxoplasmosis, and trypanosomiasis<sup>2-6</sup>.

Although platelets are anucleated cells, they have an anatomical structure and biochemical properties in many aspects similar to leukocytes and it is thus conceivable that platelets may participate in the defence against infections and in other inflammatory responses. For instance, several observations have confirmed the involvement of platelets in allergic disease and in particular in the tissue inflammatory changes associated with allergic asthma<sup>7</sup>. However, given the role of paramount importance that platelets play in hemostasis and thrombosis and considering the complicity of the mechanisms regulating these functions, which have absorbed much of the research efforts on these cells, little attention has been paid to the mechanisms regulating platelet inflammatory activities. This chapter will discuss functional activities of platelets related to chemotaxis, an important step in cell involvement in tissue inflammation.

## Chemotaxis in eukaryotic cells

Cell migration plays a central role in a wide variety of biological phenomena, both physiologic and pathologic, going from embryogenesis to tumour metastatization<sup>8</sup>.

In the inflammatory response, circulating leukocytes migrate by diapedesis across the wall of microvessels into the damaged area where they act as inflammatory cells displaying phagocytic and immune functions. The molecular components involved in cell migration are now largely identified and it is possible to make some generalizations across a wide spectrum of migrating cell types, including amoebae, leukocytes, fibroblasts, neurons and platelets, looking for similarities among physical mechanisms and biochemical characteristics<sup>8</sup>.

The process can be sequenced in rolling on the endothelial monolayer, tight adhesion and transmigration, steps involving the active participation of both the transmigrating cells and the endothelium.

Migration of circulating cells begins with the capture or tethering of the cells from the flowing blood and their rolling along the vessel wall where, after appropriate stimuli, they become firmly adhered to the endothelium. Firm adhesion is the preliminary step necessary for cell migration into tissues and it involves the interaction with a number of adhesion molecules located on the migrating cell on one side and on the endothelium on the other.

In general, the adhesion processes leading to inflammation are mediated by different groups of adhesion molecules: the selectins, the integrin family, and the intercellular adhesion molecules.

Selectins mediate the process in which neutrophils roll or slow down prior to their adherence to endothelial cells<sup>9</sup>. Three classes of selectins have been identified: L-selectin, which has been identified on leukocytes; E-selectin, on the surface of activated endothelial cells; and P-selectin, found

on endothelial cells and platelets. Selectins function by binding to carbohydrate ligands present on the cognate cell. L-selectin is involved not only in the tethering and rolling of leukocytes on endothelium but also plays an important role in the activation of leukocytes: recently L-selectin has been established as a receptor capable of signal transduction<sup>10</sup>.

P-selectin and E-selectin mediate PMN to endothelial cell adhesion. These selectins are expressed on endothelium only when appropriate inflammatory stimuli (e.g. complement products, oxygen-derived free radicals, cytokines) are generated. Moreover, binding of neutrophils to E-selectin can upregulate the adhesive activity of some integrins, particularly Mac-1<sup>9</sup>. P-selectin (GMP140, CD62P) is stored in Weibel–Palade bodies of endothelial cells and in  $\alpha$ -granules of platelets<sup>11</sup>. Upon platelet, or endothelium, activation P-selectin is mobilized to the cell surface where it can interact with its ligand on PMNs, the P-selectin glycoprotein ligand-1 (PSGL-1, CD162). Monocytes and platelets also possess PSGL-1 and can thus bind to P-selectin present on activated endothelium. PSGL-1 consists of a disulfide-bound homodimer and is capable of binding two P-selectin ligands simultaneously<sup>12</sup>.

The P-selectin to PSGL-1 interaction is short lived and reversible, unless additional adhesive events are soon evoked<sup>13</sup>. Following specific stimuli, P-selectin binding is followed by a fast redistribution of PSGL-1 to uropods on activated PMNs and may signal the transition from rolling to capture<sup>14</sup>.

The second part of the adhesion process is tight binding mediated by integrins. Integrins are receptor molecules composed of two non-covalently associated heterodimers,  $\alpha$  and  $\beta$  subunits, and are divided into different subfamilies according to  $\beta$  subunit expression<sup>15</sup>.

$\alpha$  and  $\beta$  subunits together form an extracellular ligand binding site while the cytoplasmic tails of integrins provide phosphorylation sites and linkages to cytoskeletal proteins involved in signal transduction<sup>16,17</sup>.

There are 8 different  $\beta$ -subunits ( $\beta 1$ – $\beta 8$ ) that associate with one of 16  $\alpha$ -subunits to form at least 23 known receptors in a variety of cells including lymphocytes, polymorphonuclear leukocytes and platelets<sup>18</sup>. Integrins mediate cell–cell binding and cell interactions with extracellular proteins such as laminin, fibronectin, vitronectin and fibrinogen.

The  $\beta 1$  very late antigen (VLA) integrins comprise a family of receptors that mediate cell adhesion to extracellular matrix (ECM) proteins such as collagen, fibronectin and laminin. They share a common  $\beta$  chain ( $\beta 1$ , CD29) that is non-covalently linked to one of at least six different  $\alpha$ -chains ( $\alpha 1$ – $\alpha 6$ , CD49a–f) determining the binding proper-

ties of the receptor<sup>19</sup>. The VLA proteins are specialized transmembrane receptors allowing the attachment of cells to collagen (VLA-2), fibronectin (VLA-4 and -5) and laminin (VLA-6). Platelets, like megakaryocytes, contain VLA-5, VLA-2 and VLA-6<sup>20</sup> while VLA-4 is expressed on all leukocytes<sup>21</sup>.

Recent observations indicate that extravasation of PMNs may be associated with up-regulation of  $\beta 1$  integrins on their cell surface<sup>22</sup>. Surface expression of the  $\alpha 2\beta 1$  (VLA-2) integrin is induced in human and rat PMN on extravasation in vivo suggesting that PMNs locomotion and recruitment to extravascular tissue are critically dependent on  $\alpha 2\beta 1$  integrin function<sup>23</sup>.

The binding of PMNs to endothelial cells is mediated mainly by macrophage antigen-1 (Mac-1, CD11b/Cd18,  $\alpha_M\beta_2$ ) and lymphocyte-associated function antigen-1 (LFA-1,  $\alpha_L\beta_2$ , CD11a/CD18). LFA-1 is the prevailing integrin involved in lymphocyte emigration<sup>24</sup>. In addition to mediating specific adherence, Mac-1 specifically participates in chemotaxis, in phagocytosis and in the production of reactive oxygen species. The ligand for Mac-1 is a cell surface protein found on endothelial cells, namely intercellular cell adhesion molecule-1 (ICAM-1)<sup>25</sup>.

Integrins appear to exist in either an active or inactive state and the contact with activated endothelium may induce the appearance of activation epitopes on integrins thus favouring adhesion<sup>17</sup>.

ICAM-1, ICAM-2 and VCAM-1 are the most important members of the intercellular adhesion molecules that are cell surface proteins involved in antigen recognition, complement binding or cellular firm adhesion<sup>26</sup>. They are characterized by a variable number of extracellular Ig-like domains with conserved cysteine sequences that form disulfide bonds to stabilize  $\beta$  sheets of the tertiary structure<sup>27</sup>. The Ig family of adhesive receptors bind a number of specific counterreceptors expressed on cells involved in the adhesive interactions. LFA-1 is the counterreceptor of ICAM-1 and ICAM-2 and is also expressed on activated platelets<sup>28</sup> while the physiological counterreceptor of VCAM-1 is VLA-4<sup>21</sup>. ICAM-1 and ICAM-2 bind also to Mac-1<sup>29,30</sup>.

Once adhered to the endothelium, cells must undergo anatomical changes that allow them to move across the vessel wall. To this end, they must acquire a spatial asymmetry that allows them to translocate as a result of intracellular generated forces<sup>8</sup>.

The locomotion of cells through tissue follows the sequential steps of morphological polarization, membrane extension, formation of cell-substratum attachment, contractile force, traction and release of attachment.

These events are mediated by cytoskeletal changes<sup>31</sup>. It is



the polymerization and breakdown of F-actin that leads to the formation and retraction of lamellipodia which function like arms and legs of the migrating cell.

In addition, the actin cytoskeleton is a key mediator of cell polarization and of the directed migration of cells towards a chemoattractant. The two most prominent actin filament-containing structures found in migrating cells are stress fibres and lamellipodia.

Stress fibres are bundles of actin filaments associated with myosin II filaments and other proteins forming contractile fibres. They form sites of adhesion at the plasma membrane, called focal contacts or focal adhesions, where transmembrane integrins are clustered and associated with extracellular matrix proteins outside the cell and with a large number of proteins inside the cell<sup>32</sup>. Formation of such focal adhesions and reorganization of the actin cytoskeleton have been shown to be associated with the phosphorylation of components such as focal adhesion kinase (FAK), the adaptor protein p130 Cas, Crk and paxillin that are directly associated with cell migration<sup>33,34</sup>.

Lamellipodia are broad, highly dynamic membrane protrusions that extend and retract through a combination of actin polymerization at the plasma membrane, depolymerization within the cytoplasm, and myosin-mediated rearward movements of the actin fibres<sup>35</sup>.

Many signalling events have been identified as being involved in cell migration. Rho-like small GTPases, with their main representatives (Rho, Rac and Cdc42), have been recognized as key modulators of the signalling pathways that mediate the cytoskeletal changes required for both cellular motility and cell–cell adhesion<sup>36</sup>. An important action of Rho, Rac and Cdc42 is their ability to regulate the phosphorylation status of myosin light chain, a major regulator of the actin–myosin interaction<sup>37</sup>.

Leukocyte-, but also fibroblast- and other cell type-, migration is largely controlled by soluble stimuli defined chemokines. Chemokines (chemotactic cytokines) are a small group of proteins with four conserved cysteines forming two essential disulfide bonds. CXC and CC chemokines are distinguished according to the position of the first two cysteines, which are either adjacent (CC or  $\beta$  chemokines) or separated by one amino acid (CXC or  $\alpha$  chemokines)<sup>38</sup>. Recently, lymphotactin has been described, a chemokine with only two conserved cysteines (C), as well as chemokines with three amino acids between the first two cysteines (CX<sub>3</sub>C motif)<sup>38</sup>. Most chemokines are produced under pathological conditions by tissue cells and infiltrating leukocytes. Stimulation of leukocyte suspensions with chemokines leads to a fast shape change that involves polymerization of actin filaments, formation

of lamellipodia and activation of the integrins that mediate the adhesion to endothelial cells. Moreover, the activation of leukocytes by cytokines induces some other responses such as the rise in intracellular free calcium concentration, the production of oxygen radicals and bioactive lipids, the release of the content of cytoplasmic storage granules, such as proteases from neutrophils and monocytes, histamine from basophils<sup>39</sup>, or cytotoxic proteins from eosinophils<sup>40</sup>. The effects of chemokines are mediated by seven transmembrane domain receptors coupled to GTP-binding proteins. Chemokines have two main sites of interaction with their receptors, one in the N-terminal region and the other within an exposed loop of the backbone that extends between the second and the third cysteine<sup>41</sup>. The N-terminal binding site is essential for the triggering of the receptor.

RANTES (regulated on activation, normal T-cell expressed and secreted) is the first chemokine identified as a potent eosinophil attractant and is released upon appropriate stimuli mainly by platelets but also by fibroblasts<sup>42</sup>. RANTES (ligand for CCR1, CCR3 and CCR9 receptors) has been identified in the bronchoalveolar lavage fluid (BAL) of asthmatics exposed to allergen challenge<sup>43</sup>. The observation that RANTES activates eosinophils and basophils, inducing chemotaxis and the release of histamine and leukotrienes, was the first proof that chemokines are involved in allergy<sup>44</sup>.

Monocyte chemotactic proteins (MCPs) have been described in the past few years; they include MCP-1, MCP-2, MCP-3, MCP-4 and MCP-5, all ligands for the CCR2 receptor. MCP-3 acts as chemoattractant for eosinophils and can activate basophils<sup>45</sup>.

Recently three peptides with eosinophilic chemotactic activity have been identified including eotaxin (CCL11), eotaxin-2 (CCL24) and eotaxin-3 (CCL26). Eotaxin is expressed in the lungs of animals with allergic asthma and in human tissues where eosinophils accumulate. The *in vitro* effects of eotaxin on eosinophils include chemotaxis, transendothelial migration, induction of the release of reactive oxygen species, induction of Ca<sup>2+</sup> mobilization and actin polymerization, and induction of CD11b upregulation. *In vivo*, eotaxin cooperates with IL-5 to induce eosinophil recruitment and mast cell growth<sup>46</sup>. The specific receptor for eotaxin is CCR3 and it is present on eosinophils (40 000–400 000 receptors per cell), basophils, T-lymphocytes with T<sub>H2</sub> helper properties<sup>47</sup> and platelets<sup>48</sup>. This receptor (CCR3) appears to mediate most of the actions of the CC chemokines, such as RANTES, MCP-2, MCP-3, MCP-4, and MIP-1 $\alpha$ , on eosinophils<sup>49</sup>.

Other chemokines involved in cell migration include macrophage inflammatory protein (MIP)-1 $\alpha$  (ligand for

CCR1, CCR5 and CCR6 receptors), MIP-1 $\beta$  (ligand for CCR5 and CCR8), macrophage-derived chemokine (MDC) (ligand for CCR4), thymus- and activation-regulated chemokine (TARC), stromal derived factor-1 $\alpha$  (SDF-1 $\alpha$ ) (ligand for CXCR4) and some interleukins (like IL-8).

Human MIP-1 $\alpha$  is a weak eosinophil attractant and has the ability to stimulate histamine release from basophils and mast cells while murine MIP-1 $\alpha$  is a potent eosinophil attractant. MIP-1 $\alpha$  is enhanced in bronchoalveolar lavage fluid of asthmatics<sup>50</sup>.

MDC is a chemoattractant for monocytes, eosinophils and T<sub>H2</sub> lymphocytes. In a murine model of lung inflammation, antibodies blocking MDC expression lead to a significant reduction of eosinophils in lung<sup>51</sup>. TARC is selectively expressed on the T<sub>H2</sub> subset of lymphocytes and is of particular relevance for the recruitment and activation of eosinophils<sup>52</sup>. MDC and TARC are chromosome 16q13 chemokines<sup>53</sup> and are both ligands for CCR4.

A chemokine with potential involvement in lymphocyte maturation and other homeostatic functions is SDF-1 $\alpha$  that attracts *in vivo* and *in vitro* resting lymphocytes with high affinity<sup>54</sup>. It is chemotactic for cells that express CXCR4 receptors, such as T lymphocytes, neutrophils and monocytes<sup>55</sup>; platelets too express the CXCR4 receptor<sup>48</sup>. Recently, a role for SDF-1 $\alpha$ , and CXCR4 in transendothelial/stem cell migration and engraftment has been postulated<sup>56</sup>.

Chemokines may be released by some cells involved in allergic reactions upon IgEs interaction with their receptor. The high affinity IgE receptor Fc $\epsilon$ RI (receptor for the Fc region of the  $\epsilon$ -chain of IgE) is responsible for immediate hypersensitivity reactions: when a multivalent allergen associates with two or more Fc $\epsilon$ RI receptor-bound IgE molecules on the cell surface it cross-links receptors inducing degranulation of the cells and rapid release of stored mediators, such as histamine, and synthesis and secretion of cytokines that attract and activate inflammatory cells<sup>57</sup>. Fc $\epsilon$ RI is expressed on mast cells, basophils, eosinophils, platelets, monocytes and Langerhans cells<sup>58-61</sup>. The low affinity IgE receptor, Fc $\epsilon$ RII (CD23), is implicated in long-lasting IgE sensitivity. Fc $\epsilon$ RII is expressed on the surface of various inflammatory cells, such as eosinophils, lymphocytes, macrophages and platelets<sup>62</sup>. IgEs themselves close a negative feedback loop by switching off their own synthesis, through the interaction with Fc $\epsilon$ RII<sup>57</sup>.

In addition to chemokines, a number of cytokines may also contribute to regulate chemotaxis. IL-1 is an important mediator of inflammation and fever<sup>63</sup>. IL-1 induces P-selectin and ICAM-1 expression on endothelial cells and shedding of L-selectin and expression of integrins on

PMNs. Although IL-1 is not itself chemotactic for PMNs, its ability to activate endothelial cells causes the expression of selectins and integrins facilitating leukocyte transendothelial migration *in vitro*<sup>18</sup>.

The actual consensus is that leukocyte transmigration requires mechanisms that open endothelial cell junctions to allow leukocyte passage, although another mechanism, transendothelial passage, has also been described in specific models<sup>64</sup>. The PMN to endothelial cell interaction, in the presence of a chemoattractant, induces a rise of intracellular calcium in endothelial cells<sup>65</sup> that results in the creation of gap junctions between adjacent cells through which neutrophils are able to pass<sup>66</sup>. Phosphorylation of myosin light chain kinase (MLCK) is a Ca<sup>2+</sup>/calmodulin-dependent event and can regulate agonist and flow-stimulated Ca<sup>2+</sup> influx in endothelial cells<sup>67</sup>. MLCK catalyzes myosin II filament formation and myosin-actin interactions and induces actin polymerization<sup>68</sup>. It was shown that actin filaments bind directly to the adherence junctions-associated protein,  $\alpha$ -catenin<sup>69</sup>, and that the tight junctions-associated protein ZO-1 binds directly to  $\alpha$ -spectrin, a cross-linking protein of the actin filament<sup>70</sup>. On the other hand, actin polymerization can directly open these junctions<sup>70</sup>. Leukocytes may regulate MLCK activity in endothelial cells thus promoting their own transmigration. Some authors had earlier postulated that platelets too could pass through opened interendothelial cell junctions<sup>71</sup>.

Recently, it was shown that transmigrating leukocytes cause a focal and reversible disruption of the vascular endothelial-specific cadherin (VE cadherin) complex<sup>72</sup>.

Inflammatory cells transmigrate then through the basement membrane towards the site of inflammation using degrading proteinases. Matrix metalloproteinases (MMPs) comprise a family of zinc binding enzymes that degrade proteins of the extracellular matrix<sup>73</sup>. They are secreted by various inflammatory cells as proenzymes that are then activated by partial proteolytic cleavage. Lymphocytes use matrix metalloproteinase 9 (MMP-9 or gelatinase B) and MMP-2 (gelatinase A) to migrate through reconstituted basement membrane<sup>74</sup>, neutrophils use MMP-9 and elastase<sup>75</sup> while eosinophils migrate by releasing and activating MMP-9 when activated by both PAF and IL-5<sup>76</sup>. Recently, it was shown that purified mature polyploid megakaryocytes produce and secrete MMP-9 and that MMP-9 is required for *in vitro* megakaryocyte migration through the components of the basement membrane in response to a chemoattractant stimulus, such as SDF-1 $\alpha$ <sup>77</sup>. The enzymatic activity of matrix metalloproteinases is also necessary for megakaryocyte fragmentation and platelet release<sup>77</sup>. MMP-2 and MMP-9 have been reported to be

**Table 27.1.** Chemokines and platelets

Receptor or platelet	Chemokine ligand	Presence of the ligand in platelets (granules)	Responding cells
CCR1	MIP-1 $\alpha$ , RANTES	Yes	Eosinophils, monocytes, activated T cells, dendritic cells, platelets
CCR3	Eotaxin, RANTES	Yes	Eosinophils, basophils, TH2 cells, platelets
CCR4	TARC, MDC	No	Activated T cells, dendritic cells, Th2 cells, platelets
CXCR4	SDF-1 $\alpha$	No	Dendritic cells, monocytes, resting T cells, platelets
Unknown	HRF	Yes	Basophils, mast cells
Unknown	$\beta$ TG	Yes	Lymphocytes, monocytes, neutrophils, fibroblasts
Unknown	PF4	Yes	Lymphocytes, monocytes, neutrophils, fibroblasts, platelets (?)

secreted by collagen-stimulated platelets and active MMP-2 has been shown to play a role in platelet aggregation acting as a pro-aggregatory stimulus<sup>78–80</sup>.

### Structural characteristics of platelets that imply the ability to migrate

Platelets possess an anatomic and biochemical machinery under many aspects comparable to leukocytes and a series of structural characteristics that may be relevant to inflammation. Moreover, platelets are known to inherit several characteristics from their bone marrow progenitor cells, megakaryocytes, and these are provided with vivacious cell locomotion<sup>81,82</sup> (see Chapter 2).

Concerning the first step in cellular transmigration, i.e. adhesion to the endothelial monolayer, platelets possess and/or express a number of adhesive proteins or adhesive protein counterreceptors. Platelets contain P-selectin, stored in  $\alpha$ -granules<sup>83</sup>, and express its ligand PSGL-1 on their surface; although platelets can express P-selectin on their surface upon activation, this does not appear to regulate platelet rolling *in vivo* on activated endothelium<sup>84</sup> while it is probably involved in the crosstalk between platelets and leukocytes<sup>85</sup>. On the other hand, platelets interact with both P- and E-selectin exposed on activated endothelium<sup>84,86</sup> through their constitutively expressed PSGL-1 receptor<sup>87</sup>. Platelets have been observed while rolling *in vivo* on an activated endothelial surface in a manner which appears to be very similar to that observed for leukocytes: both cell types roll on stimulated vessel wall and for both this process is dependent on the expression of endothelial P-selectin<sup>84</sup>.

The role of different platelet integrins in the adhesion process is a widely investigated and characterized process (see Chapters 5, 21 and 42).

Concerning the intracellular changes required to express

cell locomotion, platelets have a cytoskeletal framework that allows cell motion (see Chapter 6).

In resting platelets the discoid shape is maintained by a network of actin filaments, spectrin and integrins, that together form the membrane skeleton, a submembranous structure that coats internally the cytoplasmic surface of platelets<sup>88</sup>, and by actin gel filaments that link this structure to transmembrane proteins<sup>89,90</sup>. After stimulation, the activation of low molecular weight G proteins, such as Rac-1, induces the formation of focal complexes, very dynamic structures that, as the cells spread, are replaced by focal adhesions. Additional cytoskeletal changes lead to the formation of stress fibres, under the induction of RhoA<sup>91</sup>, a member of the Rho family of low molecular weight GTPases. Stress fibres associate with focal adhesions allowing a contractile response to be exerted on the extracellular integrin-associated ligands. The continuous formation of filopodia and lamellipodia that leads to focal complexes is due to actin dynamic polymerization<sup>92</sup>. One of the signalling molecules that is activated as a consequence of integrin-induced signals is calpain. Platelets contain in their cytosol  $\mu$ -calpain (one of the two major forms) that becomes activated when platelets aggregate in response to stimuli or when they spread on extracellular matrix proteins<sup>89</sup>. Calpain, by inducing Rac-1 and RhoA activation, provokes integrin-induced formation of focal adhesions and actin filament reorganization<sup>89</sup>.

Concerning the soluble stimuli that control migration, recent reports have demonstrated the surface expression of different chemokine receptors on platelets (Table 27.1). The receptors for chemokines are seven transmembrane domain structures linked to G-proteins and mediate calcium flux upon activation<sup>93,94</sup>. Recently it was shown, by using flow cytometry, immunoprecipitation, Western blotting and reversible transcriptase polymerase chain reaction, that human platelets express CCR1, CCR3, CCR4 and CXCR4 chemokine receptors<sup>48,95</sup>. The effect of various

cytokines and chemokines (IL-8, MCP-3, MCP-1, MIP-1 $\alpha$ , eotaxin, RANTES, Thymus activation-regulated chemokine [TARC], MDC and SDF-1 $\alpha$ ), acting on different receptors, on Ca<sup>2+</sup> levels in platelets was also tested. Most of the ligands tested gave clear calcium signals. A cellular response to MIP-1 $\alpha$  and RANTES implicates the presence of the CCR1 receptor. A response to eotaxin and RANTES implicates the presence of CCR3, while a response to TARC and MDC implicates the existence of the CCR4. Finally, a response to SDF-1 $\alpha$  implicates the expression of the CXCR4 receptor<sup>48</sup>. Although it is not yet clear what is the function of these chemokine receptors on platelets, reports have suggested that some chemokines may be able to activate platelets.

There is earlier evidence that PF4, an  $\alpha$ -granule protein of platelets which is also a CXC chemokine<sup>96</sup>, may bind to the platelet surface and may modulate platelet aggregation and secretion induced by low levels of platelet agonists<sup>97</sup> even though a specific receptor for PF4 has yet to be identified and no further data confirming this early report have appeared in the literature. Recently Abi-Younes and coworkers demonstrated that SDF-1 $\alpha$ , a chemokine highly expressed in human atherosclerotic plaques, induces platelet aggregation and calcium signalling<sup>98</sup>.

Most recently, MDC and TARC too, in addition to SDF-1 $\alpha$ , were shown to induce platelet activation, in a rapid (less than 5 s) and maximal way under arterial flow conditions, by facilitating the agonistic activity of low doses of primary agonists such as ADP or thrombin. TARC, MDC and SDF-1 $\alpha$  increase intracellular calcium concentration in the presence of ADP and their effects are insensitive to indomethacin, thus independent of cyclooxygenase<sup>99</sup>. The effects of MDC and TARC on platelets are mediated by their common receptor CCR4<sup>100</sup>.

Challenge with SDF-1 $\alpha$  or MDC also exposes P-selectin on the platelet surface<sup>99</sup>.

Platelets themselves contain some of the chemokines that are ligands for CCR1 and CCR3, such as RANTES or MIP-1 $\alpha$ <sup>101,102</sup>, thus one role of the chemokines may be that of feeding back to receptors on the same or other platelets to amplify the response to stimuli. On the other hand, platelets do not contain either CCR4 (MDC, TARC) or CXCR4 (SDF-1 $\alpha$ ) agonists. Therefore, the role of these receptors may be to involve platelets in situations where these agonists are provided by other cells<sup>48</sup> and it can be hypothesized that they may be involved in platelet migration.

Activated platelets have been shown to exhibit membrane-bound IL-1 bioactivity. Using immunocytological and flow cytometric techniques IL-1 $\alpha$  and IL- $\beta$  were found in the cytoplasm of both resting and thrombin activated-

platelets<sup>103</sup>; IL-1 is able to influence indirectly the transendothelial migration of leukocytes<sup>18</sup>. Moreover, flow cytometry studies have shown that the surface of platelets is able to express IL-1R and IL-8R (receptors for IL-1 and IL-8, respectively), the expression of which is significantly increased in inflammatory bowel disease<sup>104</sup>.

It has already been mentioned that IgEs may induce the release of chemokines from inflammatory cells in allergic conditions<sup>105,106</sup>. Platelets of atopic individuals release RANTES and other chemokines stored in their  $\alpha$ -granules in response to IgE<sup>107</sup>. Platelets can be activated by IgEs and are involved in IgE mediated effector mechanisms (see Chapter 54) and in IgE-mediated allergic disorders (see Chapter 56).

Concerning the passage of platelets through endothelium, it is interesting to observe that activated platelets can produce a rise of intracellular Ca<sup>2+</sup> in endothelial cells<sup>108</sup>, a condition favouring MLCK activation and the breakdown of intercellular junctions<sup>68,72</sup>, thus allowing passage between endothelial cells. On the other hand, in a model of skin inflammation induced by fMLP injection, transendothelial cell migration<sup>109</sup>, which does not require loosening of intercellular junctions, was also observed.

Finally, regarding the extracellular matrix degradation required for inflammatory cells to migrate through the basement membrane, platelets have been shown to contain and release MMPs (MMP-2 and MMP-9) *in vitro* and *in vivo*<sup>78,80</sup>.

MMP-2 is secreted by platelets upon stimulation with natural agonists, such as collagen or thrombin, and active MMP-2 has been shown to play a role in platelet activation. MMP-2 is secreted as zymogen and is activated on the cell surface by MT-MMPs (membrane type matrix metalloproteinase), anchored at the cell membrane by transmembrane and intracellular domains<sup>78</sup>. Pro MMP-2 activation occurs through the formation of the trimolecular complex MT1MMP/TIMP2/MMP2 and recent studies have shown the existence of MT1-MMP and TIMP-2 (tissue inhibitor of MMP-2) in platelets and their role in aggregation<sup>79</sup>. *In vivo* release of MMP2 by platelets activated at a site of vessel wall damage *in vivo* in humans was recently reported<sup>80</sup>.

### Observations on platelet chemotaxis *in vitro*

It was only in the early 1970s that studies on platelet migration were reported. Before the publication of these results, platelets were considered simply passive elements in flowing blood and platelet motion *in vitro*, as observed under light microscopy, appeared to be a manifestation of Brownian movements<sup>110</sup>. Platelet migration *in vitro* has

been studied for the first time by Lowenhaupt in 1972, by using a modification of the method used for studying macrophage migration in delayed hypersensitivity reactions<sup>111,112</sup>, and her observations were confirmed by other laboratories in the following years. She used a capillary tube, closed by flame at one end and filled with platelet rich plasma. Each tube was centrifuged to obtain a platelet pellet, the capillaries were then broken 1–1.5 mm above the platelet pellet level and they were secured in a Petri-dish (migrating chamber), 20 mm in diameter and 3 mm deep, carefully filled with buffer medium and covered with a siliconized and sterilized cover glass. The chambers were then placed in a CO<sub>2</sub> incubator at 22°C for 1 to 18 hours. After incubation the specimens were observed with a stereomicroscope and recorded photographically<sup>113</sup>. That platelet migration was not merely passive diffusion or Brownian motion but rather an active, energy requiring, process was shown by the demonstration that metabolic inhibitors, such as iodoacetamide acid, sodium fluoride and 2,4-dinitrophenol, inhibited platelet movement<sup>114</sup>. The study of cell migration *in vitro* was greatly facilitated by the introduction of the chamber of Boyden<sup>115</sup>, a method initially developed for the study of leukocyte chemotaxis, and still largely employed to this end, which was adapted to the study of platelet migration by Valone and coworkers<sup>116</sup>. The method consists of the use of a perspex chamber, constituted by two compartments separated by an 8 µm micropore filter, 100 µm thick. A platelet suspension is added to the upper compartment while the lower compartment contains buffer medium. During the incubation period platelets migrate inside the filter. After 3 hours of incubation the filters are removed, washed, fixed in 10% formalin, stained and mounted on a glass slide. Migration into each filter is quantitated by counting the number of platelets at a fixed level (in the studies of Valone, 40–70 µM) from the surface of the filter where the platelet suspension was laying. Using this method it was shown that platelets not only migrate in a random fashion but also migrate specifically and directionally toward chemotactic stimuli such as collagen and PGE<sub>1</sub><sup>116</sup>. Platelets are extremely susceptible to aggregation and thus the conditions for collection and handling were selected to minimize such a possibility.

Later, Lowenhaupt and coworkers developed a new method to study platelet migration by using gel-filtered platelets resuspended in autologous platelet free plasma radiolabelled with <sup>111</sup>In-oxine and were induced to migrate in a specially designed 7-compartment chamber<sup>117</sup>. This method allowed a more quantitative assay of platelet migration and thus a more precise comparison of the chemotactic properties of various substances, including

soluble substances. With this method she demonstrated that collagen induces chemotaxis without the need for direct contact with platelets, since migration was observed when a filter was interposed between platelets and the stimulus. She postulated the existence of ‘chemotaxins’ produced by the interaction between collagen and plasma able to pass through the filter and to stimulate the migration of platelets toward collagen<sup>118</sup>. They also showed that different sources and forms of collagen have different chemotactic effects on platelets: rat-tail tendon collagen was a better inducer of platelet migration than bovine tendon collagen. Moreover, they showed that the structural features of collagen required for inducing aggregation are distinct from those required for inducing chemotaxis; fibrillar formation, needed to induce aggregation, was not necessary to induce chemotaxis<sup>117</sup>.

Factors which were shown to influence blood platelet migration are: temperature, pH, anticoagulant, incubation time and buffer composition. Nathan<sup>118</sup> reported that platelet migration was maximal at 30°C while Duquesnoy<sup>119</sup> observed a higher migration at 25°C. Lowenhaupt observed little or no migration at 4°C while, in her working conditions, migration was optimal at temperatures ranging from 22 to 37°C<sup>114</sup>. On the basis of these data Lowenhaupt carried out her subsequent experiments at 22°C. Valone observed maximal platelet migration between 30 to 37°C and used 37°C as the standard temperature for examining all the other variables in subsequent experiments<sup>116</sup>.

In a pH-range curve of platelet migration, maximal migration was observed by Valone between pH 6 and 6.5 with then a plateau between 6.5 and 8.5<sup>116</sup>. Lowenhaupt noticed that no or little migration occurred at pH 6 while migration increased markedly from pH 6 to 7.4.

The effect of anticoagulants on platelet migration was studied by Duquesnoy and Lowenhaupt. Duquesnoy showed that the inhibitory effect of antiplatelet antibodies on migration was more evident when platelets were derived from citrated blood and based on this observation he chose to use sodium citrate for his experiments<sup>119</sup>. Lowenhaupt showed that migration was optimal in preparations containing sodium citrate or ACD while with other anticoagulants, such as EGTA, Na-EDTA, Mg-Na-EDTA and sodium oxalate, the migration rate was reduced and heparin consistently inhibited migration<sup>114</sup>.

The effect of incubation time was tested by Valone, Nathan and Duquesnoy<sup>116,118,119</sup>. Valone showed that when platelets are incubated from 1 to 5 hours, maximal migration is observed at the longest incubation time<sup>116</sup>. For Nathan platelets migrate rapidly for the first 12 hours and then reach a plateau<sup>118</sup>. Duquesnoy observed a quick

migration in platelets obtained from blood anticoagulated with EDTA, showing complete migration in the first 30 min while platelets obtained from citrated blood complete their migration in 12 hours<sup>119</sup>.

Finally, the influence of the composition of the buffer used for platelet suspension on platelets' ability to migrate was assessed by Valone<sup>116</sup>. He found that platelet migration was optimal in the absence of added magnesium and calcium; the latter, when added at concentrations greater than  $10^{-4}$ M, inhibited migration. The medium used by Valone contains thus only glucose and gelatin at concentrations (0.2 g/100 ml and 0.5 g/100 ml, respectively), inferred by dose-response curves, that favour maximal migration<sup>116</sup>.

The ability of platelets to migrate *in vitro* is dependent on the contractile proteins of the cytoskeleton. Indeed, the incubation of platelets with cytochalasin B, which reversibly disrupts cellular actin microfilaments and reversibly stops cell movements, inhibits migration while the incubation with colchicine, which interferes with the polymerization of microtubules, has no effect; therefore microtubules are not involved in the migration process<sup>120</sup>.

While all the above studies have characterized the migration of platelets from healthy donors and the response to physiologic stimuli (collagen, prostaglandins)<sup>117,121</sup>, no studies have explored platelet migration in disease and/or in response to pathological stimuli.

The studies carried out by Duquesnoy<sup>119</sup> were aimed at the detection of the platelet perturbing activity of antihuman leukocyte antigen (HLA) and antiplatelet antibodies<sup>119</sup> and used a test of inhibition of the migration of platelets from a capillary tube. This method, a modification of the migration test of Lowenhaupt<sup>113</sup>, was as sensitive as the platelet lysis method and more sensitive than complement fixation, aggregometry and platelet factor-3 release for the detection of antiplatelet antibodies<sup>119,122</sup>.

Based on observations suggesting the passage of platelets in the extravascular space in patients with allergic asthma (see below), we have studied the migration response of platelets from allergic asthmatics to *in vitro* stimulation with the specific sensitizing allergen by using the Boyden chamber system described by Valone<sup>116</sup>. Our data show that platelets from asthmatic subjects migrate in response to the specific allergen to which the patients are sensitized<sup>123</sup>, but not in response to other allergens, and that IgEs play a role in this phenomenon. Monoclonal anti-human IgE antibodies, but not their Fab fragments, induced the migration of platelets from allergic asthmatics but not from healthy controls. Antihuman IgG antibodies were ineffective. The proposed mechanism is the follow-

ing: in asthmatic subjects, IgEs produced following previous contacts with the allergen and bound to the platelet Fc IgE receptors, bind the allergen or the specific anti IgE MoAb. The binding process induces the cross-linking of contiguous receptors that activates the cell (personal unpublished observations) with a process already described for mast cells and basophils<sup>124</sup> (Fig. 27.1). Actually, the cross-linking of IgE receptors on platelets has been shown to induce other platelet activities, such as cytotoxicity against parasites<sup>125</sup>, oxygen radical formation<sup>126</sup>, and release of chemokines<sup>107,127</sup>.

## Observations on platelet chemotaxis *in vivo*

### Studies in animals that imply the ability of platelets to migrate

Although very few direct studies on *in vivo* platelet migration exist, several observations imply the ability of platelets to migrate into tissues.

Degranulated platelets have been found extravascularly in the bronchial tissue, in close contact with contracted smooth muscle cells, in animals challenged with an allergen or with platelet activating factor (PAF)<sup>128,129</sup>. Moreover, platelets have been recovered in the broncho-alveolar lavage fluid of rabbits during the late-response after an allergen challenge<sup>130</sup>. The presence of platelets in these specimens does not appear to be the consequence of blood extravasation as no other blood cells were concomitantly found. The presence of platelets outside vessels, accompanied by neutrophils, was described also in rat skin after the intradermal injection of PAF<sup>131</sup>.

Radiolabelled platelets localize in lungs after intravenous challenge with some platelet agonists, like ADP or collagen<sup>132</sup>, or after challenge with allergen or PAF<sup>132,133</sup>. However, while they rapidly reenter the circulation with most of the agonists used, suggesting embolization and subsequent dissolution of the platelet microthrombi, a long-lasting retention is observed after PAF challenge, which suggests platelet diapedesis into bronchial tissue<sup>134</sup>.

PAF- or allergen-challenge induce eosinophil infiltration in lungs of normal and allergic animals. Selective platelet depletion with an antiplatelet antiserum reduced PAF and antigen-induced eosinophil infiltration into the lungs suggesting that platelets are mediators in this phenomenon<sup>135</sup>.

Recently, it was shown that injection of lipopolysaccharides (LPS) or endotoxin in mice and rats induced a slow accumulation of platelets in the sinusoidal and perisinusoidal Disse spaces of the liver. Considering that blood platelets of mice contain a large amount of 5HT, the

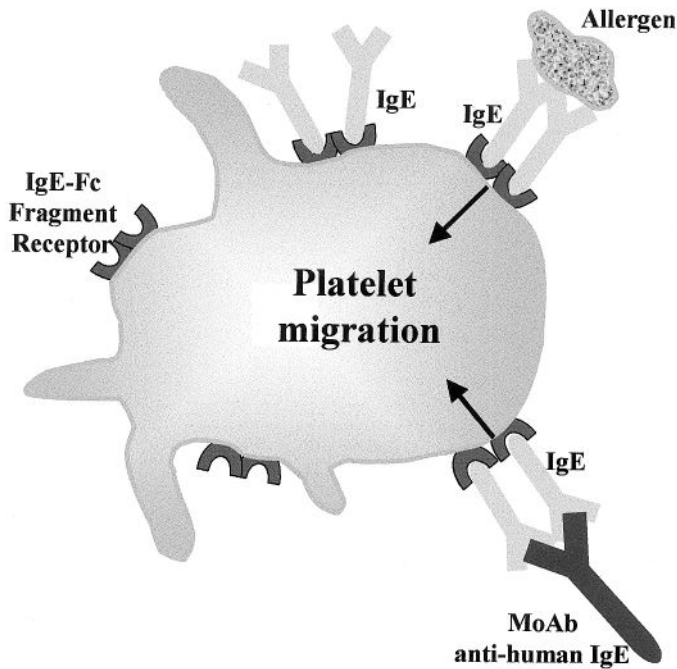


Fig. 27.1. Hypothetical mechanism of the allergen-induced chemotaxis of platelets from patients with allergic asthma. The cross-linking of adjacent, IgE-bound, IgEs receptors transduces a signal leading to chemotaxis. A complete monoclonal antibody antihuman IgE produces the same effect.

authors suggested that a sensitive measure of the diapedesis of platelets into tissues is the measurement of the local levels of 5HT<sup>136</sup>. Most of the platelets accumulated in these extravascular spaces still retained their intact structure. They also showed that the injection of chemokines TNF and IL-1 induce an accumulation of 5HT in the liver. The authors conclude that in response to IL-1, TNF or LPS platelets translocate into the liver in a way which is independent from aggregation and that some of them enter the hepatocytes<sup>136</sup>.

### Studies in animals showing platelet migration

The appearance of platelets outside blood vessels in acute inflammation is a classic observation<sup>137,138</sup> but little was known until recently about the mechanisms by which platelets extravasate. Recently, an interesting work of Feng et al.<sup>109</sup> has demonstrated active transendothelial migration of platelets by serial electron-microscopy of thin tissue sections from guinea pigs in which acute tissue inflammation was provoked by the skin injection of fMLP<sup>64</sup>.

In this study it was shown that platelets in the vascular lumen extend pseudopods towards the luminal endothelial cell plasma membrane to provide their attachment: evidence that platelets participate actively in diapedesis.

In addition, it was observed that single platelets adhering to activated endothelium were completely enclosed within endothelial cytoplasmic vacuoles, generally located towards the periphery of the endothelial cells close to interendothelial cell junctions (Fig. 27.2). Platelets within endothelial cell cytoplasmic vacuoles extended pseudo-pod-like processes, again showing an active role in the process (Fig. 27.3). Subsequently, platelet-containing vacuoles opened to the endothelial cell ablumen whereupon platelets were discharged into the underlying basal lamina (Fig. 27.4)<sup>105</sup>. Following transmigration across the basal lamina, platelets passed into the dermal connective tissue where they were found free together with neutrophils and other white cells. Despite the close contact with matrix components, migrating platelets did not display the ultra-structural features of a classic release reaction<sup>109</sup>.

The mechanism of transmigration observed with this model demonstrates that platelets cross undamaged the endothelium by a process similar to phagocytosis, a phenomenon not dissimilar to that observed for neutrophils with the same model<sup>64</sup>. However, the fact that platelets migrated in the extracellular space were not activated in this model must not necessarily apply to other conditions in which the inflammatory stimulus inducing diapedesis is different, like bacteria, allergens or other noxious agents.

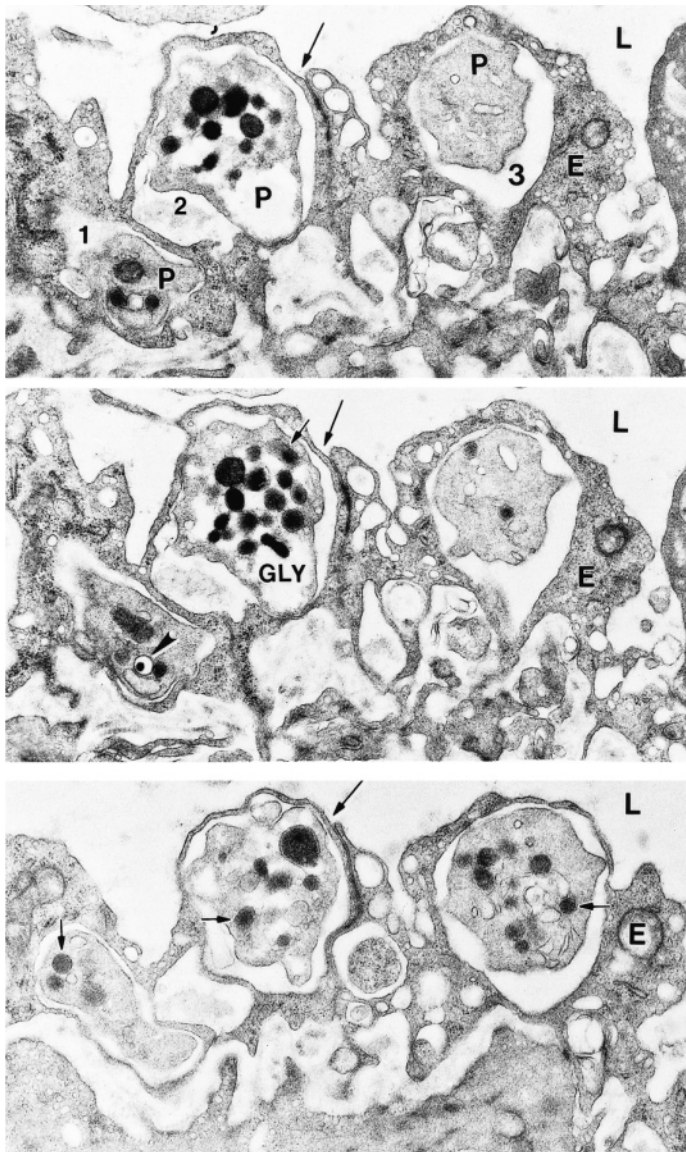


Fig. 27.2. Electron micrographs showing transcellular passage of three platelets across endothelial cells. 1, 2 and 3 are cytoplasmic endothelial vacuoles containing single platelets (P). Each platelet is entirely contained in the vacuole. The endothelium (E) shows no signs of injury. Each platelet presents intact  $\alpha$ - and dense-granules.  $\times 16500$ . (Reproduced from ref. 109 with the permission of Professor Ann M. Dvorak.)

Actually, transendothelial migration too is not necessarily the only mechanism operating *in vivo* and active migration through interendothelial cell junctions may also take place<sup>71,139</sup>.

### Studies in humans

Platelet aggregates have been observed in the lamina propria of the microvasculature of lungs of asthmatic subjects during late-onset airway obstruction following allergen provocation<sup>140</sup>. Platelets were also found in the bronchoalveolar lavage fluid in asthmatics after allergen bronchoprovocation; some platelets were degranulated and free granules in the lavage were also observed<sup>141</sup>. Furthermore, platelets have been actually observed undergoing diapedesis in the lungs of asthmatics. Bioptic specimens taken from bronchi of subjects with asthma showed platelets passing through gap junctions between endothelial cells of postcapillary venules<sup>139</sup>. Moreover, platelet diapedesis has been observed towards the epithelial bronchial surfaces of symptomatic atopic asthmatics. Bronchial biopsy from these subjects showed platelets and electron-dense fibrils resembling fibrin, together with membranous debris, at the luminal edge from where surface epithelium was lost. All these observations suggest that, like leukocytes, platelets migrate into inflamed tissue. Platelets were often found near macrophages in bronchoalveolar lavage also after local antigen challenge into a subsegmental airway<sup>130</sup>.

Another interesting observation concerns the early stages of the formation of atherosclerotic lesions during which monocytes, attached to inflamed endothelial cells and entering the subendothelial space by diapedesis, have been observed by immuno histochemical staining; interestingly, platelets adhering to the membrane of migrating monocytes were evident<sup>142</sup>.

It may not come as a surprise that histologic observations on platelet migration *in vivo* are so scanty in the literature as the small dimensions of these cells and, especially, the lack of a nucleus which stains clearly in histologic specimens, render their detection rather difficult. Careful electron microscopic observation and/or special stainings are required and it is likely that, when applied on a larger scale, this will lead to a much more frequent detection of platelets in inflamed tissues.



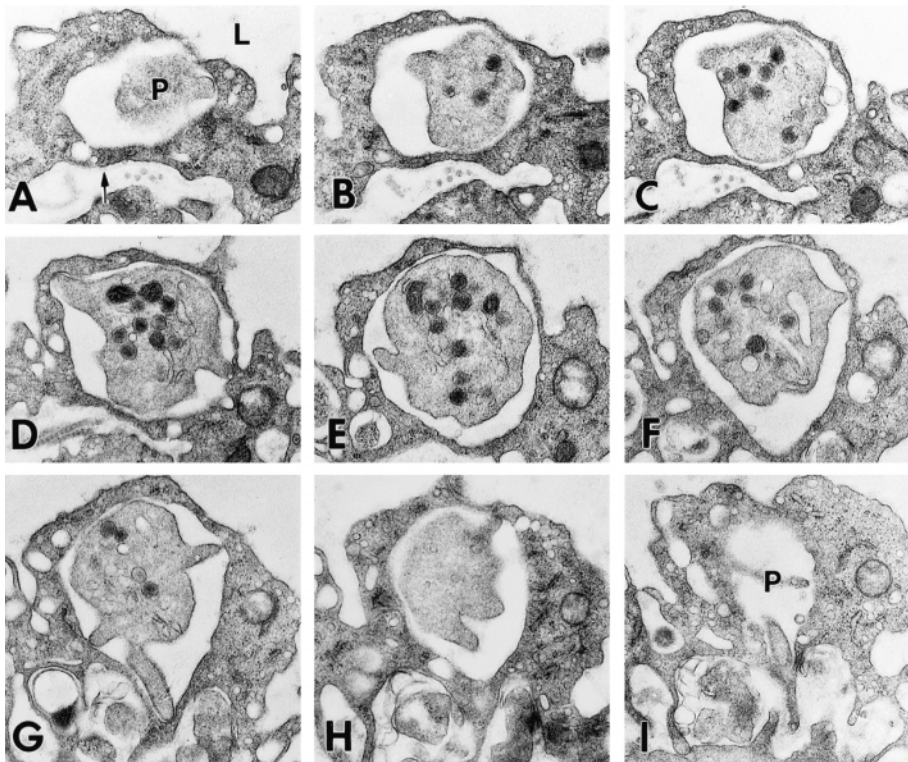


Fig. 27.3. Electron micrographs showing the active migration through the endothelium of one platelet contained in a endothelial cell vacuole. The platelet (P) in (a) is included in a cytoplasmic vacuole of the endothelial cell that is near the lumen (L). From (b) to (g) it is possible to see distinctly the granules inside the platelet. Extension of platelet pseudopods is evident. The centre of the cell recedes leaving a granule-free area (h) and a single process (P) visible in (i)  $\times 16500$  (reproduced from ref. 109 with the permission of Professor Ann M. Dvorak).

### Substances in platelets relevant to the chemotaxis of other cells

Besides their own ability to migrate, platelets may exert an important influence on the diapedesis of other cells. Upon activation platelets release several mediators, either stored in their granules or cytoplasm or synthesized after stimulation, that may participate in eliciting and maintaining the inflammatory reaction. Among these substances, a number of mediators of chemotaxis are present; moreover, platelets may directly, by cell contact, influence the migrating properties of other cells (Table 27.2).

Platelet  $\delta$  granules store, among other substances, serotonin which is vasoactive, vasopermeabilizing and stimulating for fibroblasts and it is known to act as an inflammatory mediator<sup>143</sup>. In addition, serotonin enhances the chemotactic responsiveness of human monocytes and the chemotaxis of polymorphonuclear leu-

kocytes<sup>144</sup>. Serotonin-stimulated human blood mononuclear cells secrete lymphocyte chemoattractant activity (IL-16) that may promote, via serotonin type 2 receptors, the recruitment of CD4+ T lymphocytes into an inflammatory focus<sup>145</sup>.

Platelet  $\alpha$  granules contain several proteins including PGDF, TGF- $\beta$ , PF4,  $\beta$ TG, RANTES, MIP-1 $\alpha$ , NAP-2 precursors and P-selectin, which may play a role in cell migration.

Platelets have also been shown to contain another chemokine of the CXC class: epithelial neutrophil-activating protein 78 (ENA-78)<sup>95</sup>, which induces migration in neutrophils expressing the CXCR2 (IL-8RB) receptor on their surface<sup>146</sup>.

PGDF and TGF $\beta$  are growth factors which display vasoactive and chemotactic properties on smooth muscle cells, macrophages, monocytes and fibroblasts<sup>147,148</sup>. Recently, PDGF and TNF $\beta$  released by aggregating platelets have been shown to act synergistically to induce the

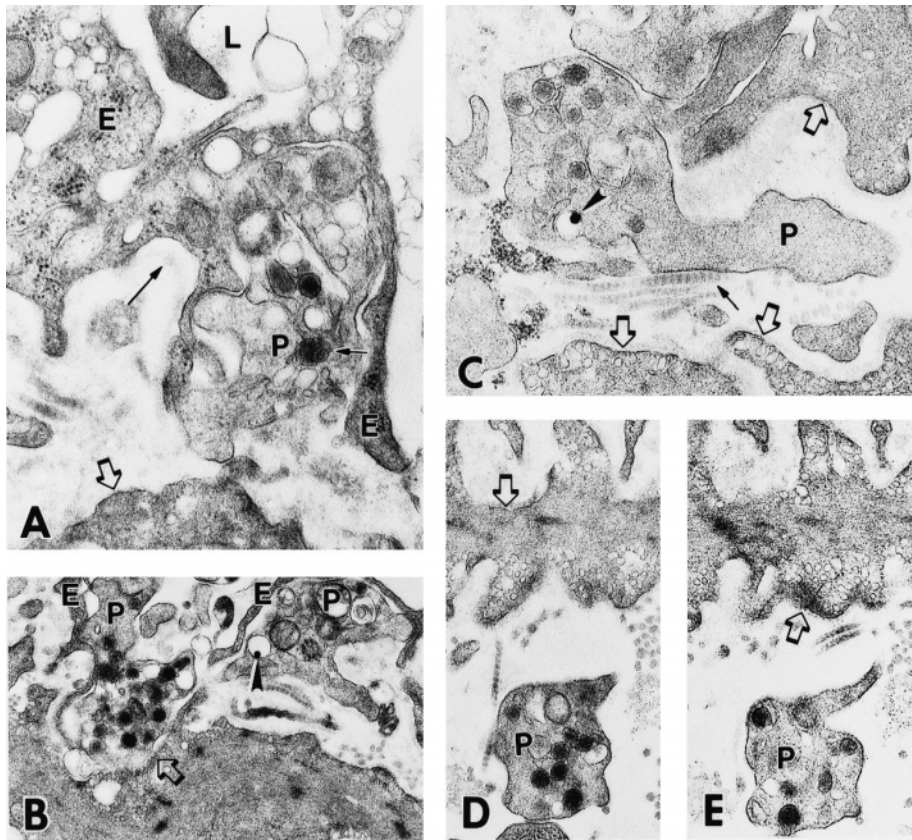


Fig. 27.4. Electron micrograph showing the later stages of platelets' transendothelial migration. (a) The platelet (P) has already crossed the overlying endothelial (E) cell and basal lamina (black arrow) and has come into contact with an underlying pericyte (open arrow).  $\alpha$ -granules (small arrow) are evident in the platelet. (b) One platelet (P) beneath the endothelium is partially surrounded by thin endothelial cell processes and has indented an underlying pericyte (open arrow). A second platelet resides beneath the endothelial cell process and contains a granule with a dense core, characteristic of serotonin-containing platelet granules. The dense granule is indicated. (c) This platelet (P) also contains a single dense core granule and multiple  $\alpha$ -granules. It lies beneath the endothelium close to pericyte processes (open arrows). Note that the elongated platelet process of this normally granulated platelet extends along a strand of interstitial collagen (closed arrow). (d), (e) Serial sections showing a platelet (P) that, after emerging through the endothelium, has migrated beneath the pericyte above it (open arrow). The platelet exhibits minor shape change and cytoplasmic extensions but granules are unaltered. (a)  $\times 31\,000$ ; (b)  $\times 14\,000$ ; (c)  $\times 22\,000$ ; (d)  $\times 18\,000$ ; (e)  $\times 18\,000$ . (Reproduced from ref. 109 with the permission of Professor Ann M. Dvorak.)

expression on smooth muscle cells of vascular endothelial growth factor (VEGF)<sup>149</sup>, an endothelial mitogen and chemoattractant that is also able to induce endothelial cell migration<sup>150</sup>.

PF4 is the major platelet  $\alpha$ -chemokine. Purified PF4 lacks chemotactic activity for polymorphonuclear cells but in the presence of TNF $\alpha$  it stimulates these cells to undergo such functions as exocytosis of secondary granule markers or tight adhesion to different surfaces<sup>151</sup>. PF4 not only affects PMN, but it also mediates the release of histamine by basophils<sup>152</sup> and plays a role in eosinophil adhesion<sup>153</sup>. Beta-thromboglobulin ( $\beta$ TG) released from platelet  $\alpha$ -

granules exerts a chemotactic activity for neutrophils, monocytes, lymphocytes and fibroblasts<sup>154,155</sup>.

RANTES and MIP-1 $\alpha$ , 8 kDa peptides belonging to the CC chemokines, are potent chemotactic factors for basophils and eosinophils. RANTES is chemotactic also for memory T lymphocytes and for monocytes<sup>42,157</sup>. MIP-1 $\alpha$  is less powerful in attracting basophils and eosinophils but is much more potent in inducing a transient intracellular Ca<sup>2+</sup> elevation<sup>158</sup>. MIP-1 $\alpha$  has also histamine-releasing activity on basophils and displays chemotactic properties for CD8 T lymphocytes<sup>159</sup>.

RANTES is released from  $\alpha$  granules of platelets after

**Table 27.2.** Substances in platelets that influence the migration of other cells

Substances released by platelets	Migratory responding cells
Serotonin	Monocyte, PMN leukocytes
PDGF	Endothelial cells
TNF $\beta$	Endothelial cells
PF4	PMN leukocytes, basophils, eosinophils
RANTES	Basophils, eosinophils, memory T cells lymphocytes, monocytes
MIP-1 $\alpha$	Basophils, eosinophils, CD8 + lymphocytes
$\beta$ TG Ag	PMN leukocytes
IL-8	PMN leukocytes
IL-1	Endothelial cells, smooth muscle cells
Histamine	PMN leukocytes, endothelial cells
Lysosomal enzymes	Fibroblasts, neutrophils
PAF	PMN leukocytes
PGE <sub>2</sub>	Monocytes
TXA <sub>2</sub>	Endothelial cells
PECAM-1	Neutrophils
CD40L	Endothelial cells

stimulation with thrombin<sup>156</sup>. MIP-1 $\alpha$  is observed within cisterns of the open canalicular system and on the plasma membrane before its release from activated platelets<sup>101</sup>.

RANTES has been found to be increased in the blood of patients with asthma during spontaneous attacks in a way that correlates with  $\beta$ TG rises suggesting a platelet source of the RANTES detected<sup>160</sup>.

Platelet P-selectin, stored in  $\alpha$ -granules and mobilized to the plasma membrane upon activation, mediates rolling and adhesion of leukocytes. In vitro neutrophils have been shown to roll on P-selectin of immobilized activated platelets<sup>161</sup>.

Platelet  $\lambda$ -granules, the lysosomes, contain enzymes such as cathepsin,  $\beta$ -hexosaminidase and heparinase, that are released in vitro upon strong stimulation<sup>162,163</sup>. Recently, platelet lysosome release has been demonstrated in vivo in humans at a localized site of vessel wall damage<sup>162</sup>. These acid hydrolases may participate in inflammation and cell diapedesis through their cytotoxic and tissue-degrading activity by remodelling the inflamed tissues.

Platelets contain in their cytoplasm other important mediators of inflammation such as histamine, IL-1 and CD40L, that may be released and can induce chemotaxis.

Histamine is released by platelets upon challenge with

histamine releasing factors (HRF), upon aggregatory stimuli and by immunological stimuli in atopic subjects. Platelet histamine represents one of the most important pools of histamine in blood, after that of basophils and monocytes<sup>164</sup>. Histamine increases the number of PMNs migrating across the endothelial cells<sup>165</sup> by altering the endothelial cytoskeleton and increasing eicosanoid release<sup>166</sup>.

IL-1, a central mediator in the cytokine cascade and a potent activator of vascular cytokine production, is contained in platelets and released upon activation<sup>167-169</sup> and it thus facilitates leukocyte and fibroblast transmigration.

CD40 ligand (CD40L, CD154), a transmembrane protein structurally related to TNF $\alpha$  originally identified in CD 4 + T cells, has now been identified also on the surface of activated platelets<sup>170</sup>. CD40L has been localized in the platelet cytoplasm by immunoprecipitation and Western blotting and it translocates to the cell surface within seconds of activation in vitro and in the process of thrombus formation in vivo<sup>171</sup>. CD40L induces endothelial cells to secrete chemokines and to express adhesion molecules like ICAM-1, VCAM-1 and E-selectin<sup>26</sup>, thus modulating the leukocyte to endothelium interaction.

Platelet endothelial cell adhesion molecule-1 (PECAM-1) is a member of the Ig gene superfamily that is expressed on the surface of platelets, endothelial cells and certain leukocyte subsets. PECAM-1 may be an important mediator of leukocyte/endothelial cell interactions<sup>172</sup> and plays a role in leukocyte migration through the endothelium and the perivascular basement membrane<sup>173</sup>.

In addition to the granule-stored substances, platelets release lipidic mediators upon activation, such as platelet activating factor (PAF) or arachidonate metabolites. PAF is an acetylated phosphoglyceride derived from the phospholipids of cell membranes and it is a potent proinflammatory mediator able to promote adhesive interactions between leukocytes and endothelial cells leading to trans-endothelial migration of leukocytes<sup>174,175</sup>.

Activated platelets release some arachidonate metabolites, including thromboxane A2 (TxA2) or prostaglandin E2 (PGE2), that may also influence the migration of other cells. TxA2, a potent platelet activator and a vasoconstrictor<sup>176</sup>, has a stimulatory activity on the proliferation of smooth muscle cells of the vessel wall<sup>177</sup> and the airways and it may stimulate endothelial cell migration<sup>178</sup>. Recently, it was shown that PGE2 inhibits the potential of monocytes to migrate in response to stimuli such as PAF and C5a and to adhere to endothelial cells. This inhibition is most probably due to a modulation of the chemokine receptor CCR5 and of the  $\beta$ 2 integrin Mac-1<sup>179</sup>.

## Conclusions

Chemotaxis is a central phenomenon in the participation of cells to tissue inflammation, allowing the recruitment of inflammatory cells to the site of tissue damage and the local release of cytotoxic and vasoactive mediators. Platelets appear to display the ability to migrate and to influence the migration of a number of other cells involved in inflammation, a property regulated by mechanisms which are different from those involved in platelet aggregation. These functional properties of platelets, together with other findings, characterize the platelet as an inflammatory cell, besides its more studied function of haemostatic element.

A more intensive investigation on the mechanisms regulating this 'unconventional' functional activity of platelets as well as studies on platelet chemotaxis in disease may allow a deeper insight in the mechanisms leading to inflammation and allergy and may open new horizons in the search for drugs limiting these pathologic processes.

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## Platelet–leukocyte interactions relevant to vascular damage and thrombosis

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### Platelet–leukocyte interaction: a tribute to Giulio Bizzozero

The interaction of blood platelets with leukocytes at the site of vascular injury was clearly described by Giulio Bizzozero, more than one century ago<sup>1</sup>:

The blood platelets which are carried by the blood stream are arrested as soon as they reach the lesion of the arterial wall; at first one observes two to six platelets; very rapidly their number grows into hundreds. Usually, among these, a few white blood corpuscles are also arrested.

Every time when a vascular wall is damaged . . . arrest of white blood corpuscles represents a secondary phenomenon and may, perhaps, be caused by increased adhesive properties of blood platelets whereby these cells react with white blood corpuscles which have been brought into contact with them by blood circulation (Fig. 28.1).

This was probably the first observation of the simultaneous involvement of platelets and leukocytes in hemostasis and thrombus formation.

Since then, the presence of leukocytes in hemostatic platelet plugs and arterial thrombi has been repeatedly observed by microscopy, but the general consensus was that these cells were only playing a passive role in thrombus formation being more likely involved in the subsequent repair process. In the past two decades, a number of studies have been performed looking for interactions between platelets and leukocytes to better understand their possible importance in the physiopathology of thrombosis.

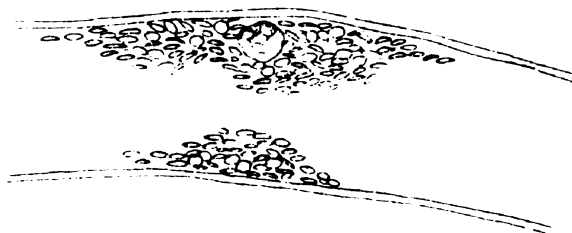


Fig. 28.1. 'Two small mural thrombi which have formed within a small artery of the omentum of a guinea pig. The larger one contains, among blood platelets, a white blood corpuscle.' This figure is reprinted from the original paper of Bizzozero<sup>1</sup>, at the occasion of the first centennial anniversary of his death in Turin (April 8, 1901).

### Mediators and mechanisms of platelet/leukocyte cross-talk

The broad range of interactions between platelets and leukocytes has been reviewed several times<sup>2–9</sup>. Results from in vitro studies indicate that the mutual modulation of platelet and leukocyte function is extremely complex. Both inhibitory and stimulatory effects have been described, suggesting the possibility of different in vivo physiopathological conditions.

#### Up-regulation of platelet function by leukocytes

Several leukocyte-derived products, such as hydrogen peroxide ( $H_2O_2$ ), superoxide anion ( $O_2^-$ ), oxygen radical species and the lipid mediator, platelet activating factor (PAF), are endowed with platelet stimulating activity<sup>10–12</sup>.

The addition of the leukocyte specific agonist *n*-formyl-methionyl-leucyl-phenylalanine (fMLP) to human whole blood increases the number of P-selectin-expressing platelets. This increase is inhibited by a PAF antagonist, by the superoxide anion scavenger, superoxide dismutase, and by two different 5-lipoxygenase inhibitors, suggesting the involvement of PAF, superoxide anion and 5-lipoxygenase products in leukocyte-induced platelet activation<sup>13</sup>.

Thromboxane (Tx) synthesized by monocytes potentiates ADP-stimulated platelet aggregation of autologous platelets through a fourfold increased binding of <sup>125</sup>I-fibrinogen to the platelet surface. Tx generation by monocytes is triggered by the interaction between fibrinogen and its monocyte membrane receptor<sup>14</sup>. Peripheral blood monocytes stimulated with arachidonic acid produce a platelet activating substance derived from cyclo- and lipoxygenase-dependent metabolism<sup>15</sup>; on the other hand, *in vitro* exposure of monocytes to lipopolysaccharide results in a dose-dependent increase in procoagulant activity and induction of platelet aggregation through thrombin generation<sup>16</sup>.

A role for proteinases in PMN-dependent platelet aggregation was indicated by the observation that preincubation of platelets with chymotrypsin-like cationic proteins from human granulocytes resulted in enhanced platelet serotonin release initiated by thrombin or immune complexes<sup>17</sup>. Maria Kopec's group in Poland<sup>18</sup> first provided evidence that a neutral, chymotrypsin-like, serine proteinase purified from human leukocytes, stimulated platelet function. Marked platelet activation was also reported when mixed human platelet-polymorphonuclear (PMN) leukocyte suspensions were challenged with fMLP (or other PMN agonists) in the presence of cytochalasin B, which allows azurophilic granule discharge. Cell-free supernatants of fMLP-activated PMN also induced platelet activation, suggesting a secreted factor was involved<sup>19,20</sup>.

Electron microscopic observation of aggregates from mixed cell suspensions showed both cell types closely intermingled: platelets were associated with each other as well as with PMN. Platelets and PMN appeared to interact by very close contact between their membranes (Fig. 28.2). In the aggregates from platelet samples stimulated by PMN-derived supernatant many platelets had lost their granules and were in an advanced stage of shape change, secretion and aggregation<sup>21</sup>. This platelet stimulating activity was identified as cathepsin G<sup>21,22</sup>, a neutral serine proteinase contained in the PMN azurophilic granules and released upon activation. Elastase, another serine proteinase stored in the same granules that does not directly stimulate platelets, potentiates platelet activation induced by low concentrations of cathepsin G<sup>23</sup>.

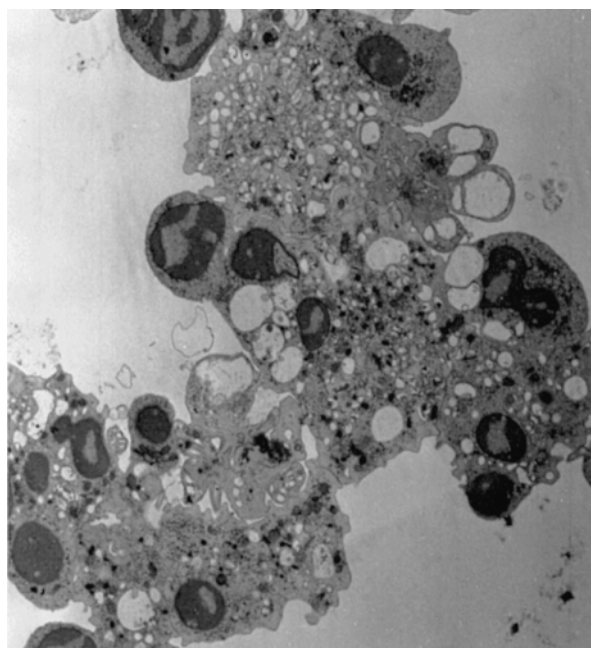


Fig. 28.2. Electron microscopic observation of aggregates from mixed platelet-PMN leukocyte suspensions stimulated with 1  $\mu$ M fMLP. Both cell types appear closely intermingled: platelets are associated with each other as well as with PMN; platelets and PMN appear to interact by very close contact between their membranes. Original magnification  $\times 9600$ . (For more details<sup>21</sup>).

#### Platelet aggregation and signal transduction mechanisms induced by cathepsin G

Cathepsin G purified from human PMN induces platelet activation at concentrations comparable to those secreted by PMN upon fMLP activation *in vitro*. Concentration-dependent P-selectin expression<sup>24</sup>, serotonin release and Tx formation occur in concomitance with platelet aggregation induced by purified cathepsin G or PMN-activated supernatant<sup>22,25</sup>.

Like several other platelet agonists, cathepsin G induces a concentration-dependent (20–500 nM) increase in intraplatelet calcium levels, mainly through an influx across the plasma membrane<sup>22,25</sup>. The calcium increase started by cathepsin G does not depend on cyclooxygenase activation or on exposure of the membrane glycoprotein GpIIb/IIIa. Both calcium-dependent and independent mechanisms of protein kinase C activation have been observed in platelets stimulated by cathepsin G<sup>26</sup>.

#### Cathepsin G, thrombin receptors and platelet membrane glycoproteins

Cathepsin G shows saturable reversible binding to human platelets<sup>27</sup>. Since the proteolytic activity is essential for

platelet activation by cathepsin G as well as by thrombin, it was suggested that cathepsin G would cause receptor activation by cleavage of the receptor itself. Following the discovery of Protease Activated Receptor 1 (PAR1), the first thrombin receptor cloned<sup>28</sup>, attempts were made to determine whether cathepsin G and thrombin work through the same or different receptors. Selak<sup>29</sup> suggested that cathepsin G and thrombin act on different receptors since a monoclonal antibody directed against PAR1 did not block platelets' response to cathepsin G, while inhibiting the response to thrombin. Moreover cathepsin G does not evoke a calcium response in some cell types (human fibroblasts, human umbilical endothelial cells) that respond to thrombin<sup>29,30</sup>, nor is cathepsin G able to induce a response in human PAR1-transfected cells<sup>30</sup>. The two enzymes, on the other hand, cross-desensitize<sup>27</sup>.

Subsequent work has shown that cathepsin G induces N-terminal PAR1 cleavage at at least two sites: Phe<sup>55</sup>-Trp<sup>56</sup>, deleting the tethered ligand domain, and after Arg<sup>41</sup>, thus activating the thrombin receptor, when the distal site is altered or otherwise protected<sup>30</sup>.

More recently, the platelet activating action of cathepsin G has been shown to be mediated by another protease-activated receptor, indicated as PAR4<sup>31</sup>. Cathepsin G triggered calcium mobilization in washed human platelets, PAR4-transfected fibroblasts and PAR4-expressing *Xenopus* oocytes. An antibody raised against the PAR4 thrombin cleavage site blocked platelet activation by cathepsin G but not by other agonists. Desensitisation with a PAR4 activating peptide had a similar effect. By contrast, inhibition of PAR1 function had no effect on platelet response to purified cathepsin G. PMN-dependent platelet activation was also blocked by the PAR4 antibody. These data show that a specific receptor mediates platelet responses to cathepsin G and increases the biological plausibility of the hypothesis that cathepsin G released from PMN induces platelet activation at sites of vascular injury or inflammation.

In addition to activating platelets, cathepsin G cleaves some platelet surface glycoproteins. As a consequence of platelet activation, cathepsin G increases the binding of antibodies directed at the GpIIbIIIa complex and at the fibrinogen binding site, and decreases the binding of an antibody recognizing the  $\alpha$  chain of GpIb, while the binding of an antibody directed at the GpIX is only slightly affected<sup>32-34</sup>. The Leu<sup>275</sup>-Tyr<sup>276</sup> peptide bond has been indicated as the primary cleavage site for this proteinase from N-terminal sequencing of the cathepsin G-derived GpIb $\alpha$  fragment<sup>34</sup>. The increased proteolysis of GpIb $\alpha$  when platelets were inhibited by prostacyclin suggests there may be some cellular redistribution of the GpIbIX receptor during

platelet activation by cathepsin G<sup>34</sup>. Actin polymerization and the cytoskeleton play a role in the redistribution of the remaining GpIbIX complex not cleaved by cathepsin G<sup>33</sup>.

While the functional significance of the cleavage of GpIb $\alpha$  in the process of platelet activation by cathepsin G remains to be established, it has been clearly shown that this enzyme removes the von Willebrand factor binding site on the GpIb, while leaving the thrombin binding site unaffected. As a consequence, cathepsin G-treated platelets do not agglutinate in response to von Willebrand factor in the presence of ristocetin. Cathepsin G, while activating platelets, might reduce their *in vivo* reactivity to the sub-endothelium, through the removal of the von Willebrand factor binding site<sup>32</sup>.

Also PMN-derived elastase, which does not cause platelet activation, cleaves GpIb and triggers the exposure of fibrinogen receptors through a proteolytic modification of the GpIIbIIIa<sup>35,36</sup>.

### Downregulation of platelet function by leukocytes

Early studies showed that the supernatant of apparently unstimulated PMN incubated at 37°C for 30 minutes contained a platelet inhibitory activity that was compatible with thromboxane-synthase inhibition and/or thromboxane receptor antagonism<sup>37,38</sup>. Thereafter, several *in vitro* studies have shown that unstimulated PMN could inhibit platelet activation through a NO-like activity<sup>39-43</sup>. This is supported by the elevated levels of platelet cyclic GMP observed in the presence of PMN<sup>40</sup>.

In addition to NO-mediated platelet inhibition, human PMN<sup>38,44</sup> and mononuclear leukocytes<sup>45</sup> may also control platelet reactivity via NO-independent mechanisms. Furthermore, unstimulated PMN inhibited activation and recruitment of thrombin- or collagen-stimulated platelets under conditions of close cell-cell contact<sup>46</sup>. The effects were not attributable to NO-like activity, but were modulated by lipoxygenase metabolites and enhanced by aspirin treatment<sup>46</sup>. Platelet ADP removal by ADPase activities expressed by PMN also contributes to down-regulation of platelet activation<sup>47</sup>.

Finally, elastase or cathepsin G pretreatment reduces subsequent platelet adhesion to collagen, aggregation and release of granule content induced by thrombin or collagen, through the cleavage of GPIb<sup>48,49</sup>.

### Activation of leukocytes by platelets and platelet products

Platelet adhesion to the subendothelial matrix of a damaged vessel wall induces platelet activation and the

release of substances able to cause the migration of circulating leukocytes toward the sites of injury. Platelet stimulation in whole blood induces oxidative burst in PMN, in conditions of intercellular contact<sup>50</sup>. Supernatants from stimulated platelets are chemotactic for eosinophils<sup>51</sup>, induce a transient elevation of PMN intracellular calcium concentration<sup>52</sup>, and increase degranulation<sup>53</sup> and superoxide anion generation of stimulated PMN<sup>54,55</sup>. Several platelet-released products endowed with PMN-stimulating activities have been described: Platelet Factor 4 (PF4) and platelet derived growth factor (PDGF), two proteins released by stimulated platelets, as well as arachidonic acid metabolites of the 12-lipoxygenase pathway induce PMN chemotaxis<sup>56–58</sup> and may favour PMN accumulation *in vivo*. PF4 induces PMN to undergo, through L-selectin and LFA-1, firm adhesion to endothelial cells and to release granule contents<sup>59</sup>.

Platelet-dense granules are a relevant source of secreted ATP, which may represent an important mechanism of leukocyte activation: this nucleotide triggers leukocyte intracellular calcium increase and stimulates phosphoinositide breakdown, superoxide anion generation, granule exocytosis<sup>60,61</sup>, and the increase of membrane expression of the  $\beta$ 2-integrin Mac-1<sup>62</sup>. The identification and tissue distribution of the specific class of purinergic receptors, as well as their cloning and pharmacological characterization have been recently reviewed<sup>63</sup>.

A neutrophil-activating peptide, called NAP-2, can be generated from platelet basic protein (PBP) and connective tissue-activating peptide III (CTAP-III), two inactive platelet products, through proteolytic processing operated by leukocyte proteases<sup>64,65</sup>. In addition, thrombin-activated human platelets release two NAP-2 variants, which are not generated outside the platelets by proteolytic processing, but are available already in the active form<sup>66</sup>. Similar results have been reported also with porcine platelets<sup>67</sup>.

The synthesis of thrombospondin by monocytes is increased by platelet addition, an effect specific both for the cell type and the protein synthesized<sup>68</sup>. Platelet PF4 induces morphological changes in human monocytes toward a macrophage-like cell type<sup>69</sup>, while platelets, or substances shed by activated platelets, enhance macrophage cholesteryl accumulation in conditions of close cell-cell association<sup>70</sup>. Altogether, these observations support the contribution of platelet-monocyte interaction to the progression of atherosclerotic lesion.

Finally, microparticles released from activated platelets enhance both leukocyte aggregation and accumulation of flowing leukocytes on a selectin substrate<sup>71</sup>.

### Downregulation of leukocytes by platelets

Unstimulated platelets may limit the effects of PMN activation by decreasing their capacity to synthesize PAF<sup>72</sup>, to generate oxygen radicals<sup>73</sup>, or to produce a cytotoxic effect<sup>74</sup>. Moreover, substances released upon platelet activation, such as TGF- $\beta$ , the so-called 'adherence inhibiting factor', and P-selectin, which is rapidly lost by activated platelet surface<sup>75</sup>, all inhibit PMN adhesion to endothelial cells<sup>76–78</sup>. Soluble P-selectin and PDGF may also reduce the generation of superoxide anion by activated PMN<sup>79,80</sup>. The platelet-derived precursors of NAP-2, CTAP-III and PBP, two CXC chemokines belonging to the subfamily of ELR<sup>+</sup>, though lacking PMN-activating properties themselves, are able to down-regulate degranulation and chemotaxis induced by NAP-2 in neutrophils<sup>81,82</sup>.

### Platelet-monocyte interactions relevant to blood coagulation

A link between platelet-monocyte interactions and blood coagulation has long been established. In particular, generation of a platelet factor X activating activity, and a modulating role in monocyte tissue factor (TF) expression have been proposed.

Semeraro et al.<sup>83</sup>, utilizing a system in which platelets were incubated with mononuclear cells in the presence of endotoxin (LPS), observed the development of a platelet coagulant activity of the factor X activator type. Platelets challenged with LPS in the absence of leukocytes were devoid of procoagulant activity, indicating a mediator role for mononuclear cells. Based on these findings a new cellular pathway of blood clotting initiation was proposed.

The first observation of the influence of platelets on mononuclear cell TF was provided by Niemetz and Marcus back in 1974<sup>84</sup>. TF is a 47 kD integral membrane glycoprotein associated with phospholipids, which, upon binding to factor VII and its active form VIIa, triggers blood coagulation leading to fibrin formation<sup>85</sup>. Under normal conditions monocytes do not express TF antigen or activity, but can be induced to do so by exposure to appropriate agonists, among which is LPS<sup>86</sup>.

Platelets may influence monocyte TF expression in two different ways: they can induce TF activity in monocytes or enhance TF activity from LPS-stimulated monocytes<sup>84,87,88</sup>. The observation that TF activity correlated with the number of platelets, that arachidonic acid amplified TF expression, and that platelets from donors who had ingested acetylsalicylic acid were more effective, suggested a role for a platelet-derived lipoxygenase pathway metabolite<sup>89</sup>. Indeed, platelet-derived 12-hydroxyeicosatetraenoic

acid (12-HETE), the end product of the 12-lipoxygenase-mediated pathway of arachidonic acid, enhanced TF expression in LPS-stimulated mononuclear cells<sup>89</sup>. In addition to 12-HETE, PF4, another platelet-derived mediator, was later reported to increase TF activity in stimulated monocytes<sup>90</sup>.

Close contact between platelets and monocytes is required in order to induce TF expression in monocytes (R. Lorenzet, unpublished data), suggesting a role for adhesion molecules, P-selectin being a candidate. An *in vivo* role for P-selectin in blood clotting activation had been proposed by experiments in a baboon model<sup>91</sup>. The accumulation of leukocytes on activated platelets and fibrin formation, observed within an artificial graft, were greatly reduced by pretreatment of the baboon with anti-P-selectin monoclonal antibodies. This finding indicated that P-selectin *in vivo* was responsible for platelet-leukocyte binding within the thrombus, and that these leukocytes promoted fibrin formation. Taken together, these observations suggested the possibility that binding of monocytes to activated platelets could affect monocyte procoagulant activity. Indeed, it was shown that purified P-selectin upregulated monocyte TF expression<sup>92</sup>. In addition to P-selectin in the fluid phase, also Chinese hamster ovary cells (CHO) transfected with the P-selectin cDNA, but not with E-selectin, induced TF expression in monocytes.

The inducing effect of P-selectin was later confirmed: in a whole blood model, monocyte TF expression was markedly increased by adding collagen, and was abolished by anti-P-selectin antibodies<sup>93</sup>. A role for P-selectin in mediating the stimulatory effect of platelets and granulocytes on LPS-induced TF activity in monocytes was also shown<sup>94</sup>.

12-HETE, similarly to what was observed with LPS-stimulated monocytes, greatly potentiated TF expression by P-selectin-exposed monocytes<sup>95</sup>.

Since activated platelets, which express P-selectin on their surface, colocalize with monocytes at sites of vascular injury and thrombosis, signalling for *de novo* monocyte TF synthesis would be important for the maintenance of the local activation of blood coagulation in order to prevent further blood loss.

Lately, it was shown that in mice expressing P-selectin without the cytoplasmic tail an increase in plasma P-selectin was detected; these elevated amounts of plasma P-selectin enhanced the generation of leukocyte-derived microparticles, some of which expressed TF<sup>96</sup>.

Finally, a new type of interaction between platelets and leukocytes has been proposed, namely the transfer of TF microparticles from monocytes and, possibly, granulocytes to platelets during thrombus formation<sup>97</sup>. In an *in vitro*

model, the transfer was mediated by TF itself and by the interaction of CD15, the P-selectin counter-receptor (also known as PSGL-1, see below), with platelets. If confirmed, this finding would be of great relevance, since platelets, acquiring TF activity, would be directly capable of triggering and propagating thrombosis.

### Transcellular metabolism of arachidonic acid in platelet-leukocyte interaction

The platelet-PMN interaction includes cell-cell cooperation in the arachidonic acid metabolism, which has been defined as transcellular metabolism<sup>3</sup>. Platelets are devoid of 5-lipoxygenase and are thus unable *per se* to synthesise leukotrienes, but platelet-PMN transcellular metabolism of arachidonic acid results in increased leukotriene production, as activated platelets provide free arachidonic acid to activated PMN<sup>98-101</sup>. Platelets may also contribute to LTC<sub>4</sub> and lipoxin production by metabolizing PMN-derived LTA<sub>4</sub><sup>100,102,103</sup>. PMN, on the other hand, may provide free arachidonic acid to activated platelets, thus increasing the synthesis of cyclooxygenase<sup>104</sup> and 12-lipoxygenase<sup>105</sup> products.

Palmantier and Borgeat<sup>106</sup> first suggested that direct cell-cell contact through specific adhesion molecules may facilitate arachidonic acid transcellular metabolism between platelets and PMN.

Following the observation that L-selectin-mediated PMN-endothelial cell interaction promotes transcellular leukotriene biosynthesis<sup>107</sup>, P-selectin-dependent platelet-PMN adhesion has been shown to facilitate the transcellular metabolism of arachidonic acid, resulting in increased production of both TxB<sub>2</sub> and LTC<sub>4</sub><sup>24</sup>.

### Adhesive mechanisms

#### The role of P-selectin and the $\beta$ 2-integrin CD11b/CD18 (Mac-1)

A selective binding of thrombin-stimulated platelets to human monocytes, PMN and related cell lines was shown more than a decade ago<sup>108</sup> and subsequently demonstrated to be primarily mediated by P-selectin<sup>109,110</sup>. P-selectin is a type-1 membrane glycoprotein with a 'C-type' lectin domain, stored in the  $\alpha$ -granules of platelets and in the Weibel Palade bodies of endothelial cells (for review see <sup>111,112</sup>). This glycoprotein is exposed on the external surface after cell activation and recognises sialylated fucosylated lactosamines as critical components of specific ligands on different classes of leukocytes. P-selectin glycoprotein ligand-1 (PSGL-1) is a glycoprotein, endowed with high

affinity and biologically relevant bonds with P-selectin (for review see<sup>111–114</sup>). In conditions of flow, P-selectin tethers flowing leukocytes and supports subsequent rolling interaction<sup>115</sup>, thanks to its ability to bind the PSGL-1 counter-receptor on PMN leukocytes with rapid association and dissociation rates<sup>116,117</sup>. High resolution, high speed imaging under flow allowed direct observation of membrane tethers formed during neutrophil attachment to platelets or P-selectin under physiological flow<sup>118</sup>. Based on the absence of rolling and the severe inflammatory defect reported in E- and P-selectin double-deficient mice<sup>119,120</sup>, P-selectin is considered to be an essential requirement for PMN rolling<sup>121</sup>. It is also a prerequisite for  $\beta$ 2-integrin-mediated arrest on immobilized platelets following PMN activation by an exogenous chemotactic peptide<sup>122,123</sup>.

In a pioneering study performed under physiological shear, Yeo et al.<sup>124</sup> had observed spontaneous conversion from rolling to firm adhesion of PMN on platelets in the absence of exogenous stimuli. Co-operation between P-selectin and the  $\beta$ 2-integrin Mac-1 was demonstrated in mixed platelet–PMN suspensions subjected to high speed rotatory motion, a condition emphasizing high affinity adhesive cell-cell interactions not easily disrupted at moderate or high shear forces<sup>125</sup>. In the absence of exogenous stimuli for PMN, activated platelets rapidly formed with them mixed cell conjugates. These were prevented not only, as expected, by anti-P-selectin antibodies, but also by anti-CD18 or anti-CD11b antibodies. Adhesion of pre-activated platelets to PMN resulted in binding to PMN of a monoclonal antibody recognizing an activation and ligand-induced neopeptide on the  $\alpha$ -chain of the  $\beta$ 2-integrins<sup>126</sup>.

More recent observations, obtained in whole blood exposed to hydrodynamic shear comparable to that of venous circulation, indicated that platelet adherence to PMN, via P-selectin binding to PSGL-1, induced Mac-1-dependent platelet–PMN and PMN–PMN aggregation<sup>127</sup>. This confirms the relevance of the molecular mechanisms mentioned above in platelet–PMN interaction in a physiological milieu.

Thus platelets either immobilized on a surface<sup>128</sup> or activated in suspension<sup>125</sup> express a complete machinery to catch flowing PMN: (a) P-selectin mediating the first contact, (b) one or more signalling molecules, relevant for CD11b/CD18 activation and (c) the counter-receptor(s) of the  $\beta$ 2-integrin (Fig. 28.3). The latter include the constitutively expressed ICAM-2<sup>129,130</sup>, fibrinogen<sup>130,131</sup> bound to GpIIbIIIa<sup>132</sup>, GpIb $\alpha$ <sup>133</sup> and probably other not yet characterized receptors<sup>125</sup>.

At variance with the adhesive molecules involved in the

rolling step that do not require an activation signal to recognize their ligand,  $\beta$ 2-integrins require a functional upregulation to become competent to bind their counter-receptor<sup>134</sup>. This implies that an intermediate  $\beta$ 2-integrin-activating signal is delivered from the platelet surface to PMN in the few seconds between rolling movement and firm attachment.

To explain this signalling, two possible mechanisms probably cooperating with each other have been proposed<sup>135</sup>: the first assumes that selectin-mediated rolling slows down leukocytes and therefore facilitates PMN and  $\beta$ 2-integrin activation by agonists coexpressed with P-selectin on the platelet surface, such as PAF and CXC chemokines<sup>130</sup>. The second possibility is that selectin binding to PMN itself induces a signal enabling  $\beta$ 2-integrin adhesiveness.

In the context of the latter hypothesis, Lawrence and Springer<sup>115</sup>, using lipid bilayers containing purified selectin and ICAM-1, reported that in flow conditions P-selectin itself does not activate integrins on rolling PMN leukocytes. A similar conclusion was reached by Lorant et al.<sup>136</sup>, showing that although soluble P-selectin does not directly induce  $\beta$ 2-integrin adhesiveness, it potentiates the  $\beta$ 2-integrin-dependent adhesive response to PAF. More recently, however, evidence was provided supporting a direct role for P-selectin in influencing  $\beta$ 2-integrin function: indeed purified P-selectin was able to increase the PMN phagocytosis of unopsonized zymosan, which is a recognized Mac-1-dependent function<sup>137</sup>, and to stimulate Mac-1-dependent homotypic aggregation of human PMN<sup>138</sup> and LFA-1-, Mac-1-dependent adhesion of mouse PMN to ICAM-1<sup>139</sup>.

### The role of PSGL-1 and of protein tyrosine phosphorylation as signalling molecules

As already mentioned, an important role in transmitting the P-selectin-induced signal is played by PSGL-1<sup>138,139</sup>; engagement of PSGL-1 by P-selectin or by specific monoclonal antibodies induces protein tyrosine phosphorylation<sup>138,140</sup> and activates mitogen-activated protein (MAP) kinases in human PMN leukocytes<sup>140</sup>. Consistently, tyrosine kinase inhibitors prevented Mac-1 adhesion<sup>125</sup>, as well as IL-8 production triggered by P-selectin or PSGL-1 engagement by monoclonal antibodies.

Among different families of protein tyrosine kinases present in leukocytes, those belonging to the family of SRC play a major role in  $\beta$ 2-integrin adhesive function triggered by P-selectin<sup>141</sup>. Specific inhibitors of SRC tyrosine kinases were found to inhibit PMN adhesion to activated platelets or to P-selectin expressing CHO (CHO-P) cells as well as protein tyrosine phosphorylation in PMN. Adhesion of

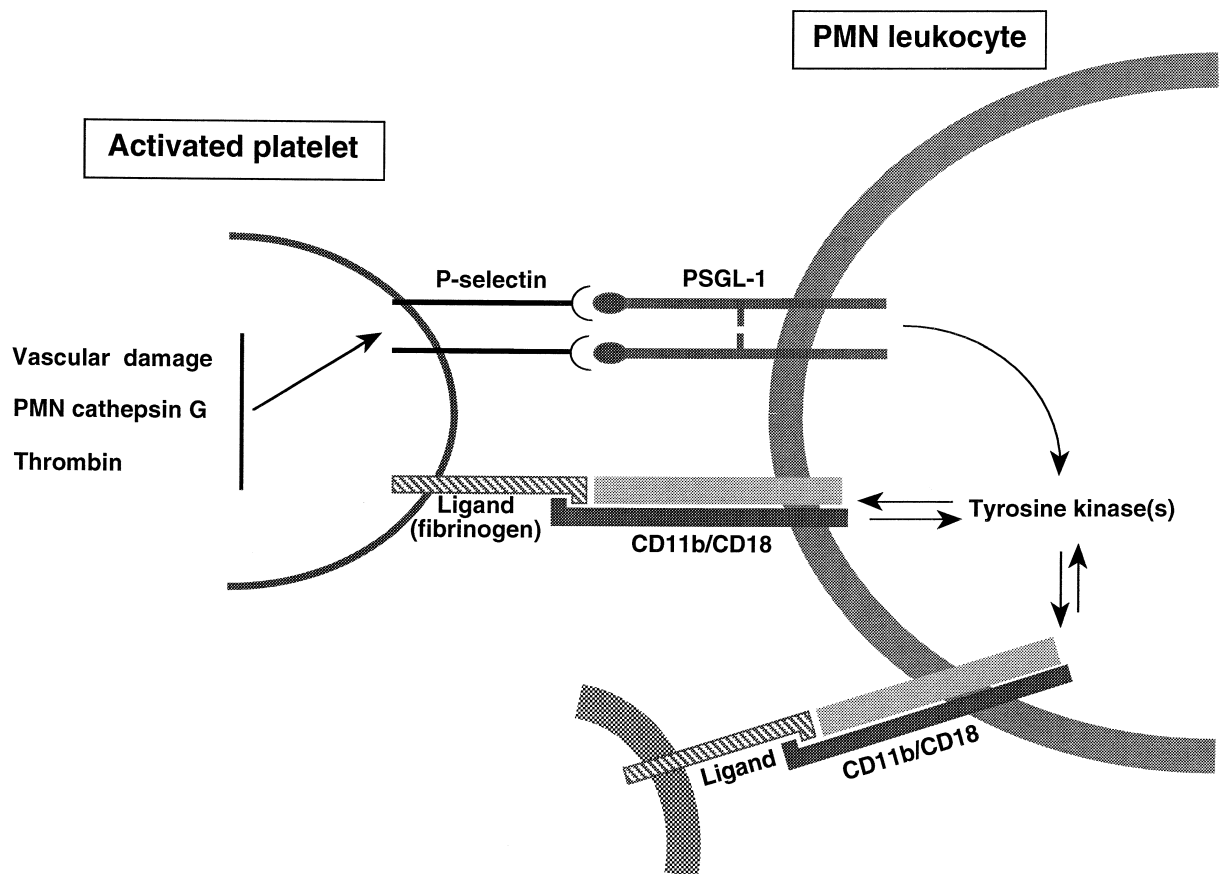


Fig. 28.3. Schematic sequence of the molecular events following platelet activation by different means. P-selectin expressed on activated platelet surface binds to PSGL-1 on PMN leukocytes, thus establishing a rapidly reversible tethering. PSGL-1 engagement starts a signalling mechanism, involving protein tyrosine phosphorylation. The latter is followed by activation of the  $\beta 2$ -integrin Mac-1, which becomes able to bind to platelet counter-receptors (including fibrinogen) or to other  $\beta 2$ -integrin ligands that allow multicellular interactions, as in Fig. 28.7. At this moment the interaction between platelets and PMN leukocytes becomes stable. (This figure is reprinted<sup>8</sup>, with permission.)

PMN to activated platelets or to CHO-P cells stimulated the activity of LYN and HCK, two SRC kinases, while monoclonal antibody blockade of P-selectin or  $\beta 2$ -integrins reduced the activation of both kinases. In PMN, either adherent to platelets or aggregated by P-selectin-IgG chimera or by anti-PSGL-1 antibodies, Mac-1 was rapidly redistributed to the Triton X-100-insoluble cytoskeletal fraction and large clusters of this  $\beta 2$ -integrin colocalized with patches of F-actin at the site of cell-cell contact<sup>141</sup> (Fig. 28.4, see colour plate). In PMN stimulated by P-selectin-IgG chimera, SRC kinase inhibition impaired Mac-1 clustering and F-actin accumulation and CD18 redistribution to the cytoskeleton. Conversely, disruption of actin filament network by cytochalasin D prevented PMN-platelet adhesion and P-

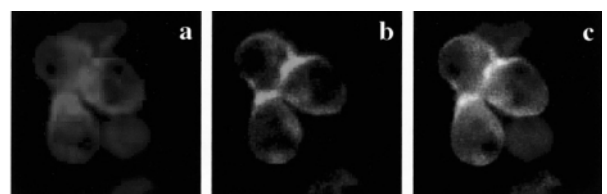


Fig. 28.4 (see also colour plate). Formation of Mac-1 clusters and colocalization with F-actin patches in PMN leukocytes at the site of cell-cell contact. Confocal laser scanning microscopy of PMN, preincubated with an anti-PSGL-1 antibody, stained with FITC-conjugated anti-CD11b antibody and rodamine-phalloidin: (a) and (b) show F-actin (red) and CD11b (green) staining, respectively. In the overlay (c), the yellow colour represents colocalization of the two stainings. (For more details<sup>141</sup>.)



selectin-induced PMN aggregation and impaired clustering of Mac-1. In agreement with the requirement for the  $\beta 2$ -integrin in the functional upregulation of LYN and HCK, integrin blockade by monoclonal antibodies resulted in a complete inhibition of Mac-1 clustering and F-actin accumulation in P-selectin-challenged PMN<sup>141</sup>. These results indicate that, after the initial  $\beta 2$ -integrin interaction with its ligand, SRC kinases are activated and allow the remodelling of cytoskeleton- $\beta 2$ -integrin linkages and clustering that finally strengthen cell-cell adhesion. This model highlights a new role for SRC kinases in a regulatory loop by which Mac-1 promotes its own adhesive function, but leaves open the question about the initial signal triggered by P-selectin that upregulates  $\beta 2$ -integrin<sup>141</sup>.

### **The contributory role of platelet-leukocyte interaction in vascular damage and thrombosis**

#### **Evidence from experimental animal models**

Evidence of platelet-leukocyte interaction and leukocyte incorporation into and/or onto thrombi has been found in different experimental models (for reviews see refs<sup>5,7,9</sup>). On the one hand, thrombocytopenia decreases leukocyte recruitment after vascular injury in a porcine model<sup>142</sup>, on the other platelet accumulation in experimental myocardial infarction is reduced by PMN depletion<sup>143</sup>. Activated PMN produce and release reactive oxygen species, inflammatory leukotrienes and proteolytic lysosomal enzymes, which can directly induce vascular damage and influence the activation state of both platelets and endothelial cells<sup>7</sup>. In animal models of myocardial infarction, PMN depletion, pharmacological suppression of PMN activation, as well as inhibition of PMN-endothelial cell adhesion reduced the extent of acute tissue injury and mortality following ischemia and reperfusion<sup>143-146</sup>.

Mixed masses of PMN and platelets have been observed in the lungs of experimental animals subjected to hemorrhagic shock<sup>147</sup> or anaphylatoxin instillation<sup>148</sup> or following intradermal or intravenous infusion of fMLP<sup>149,150</sup>, or in rat glomeruli in a model of immune complex nephritis<sup>151</sup>.

The classical observations of leukocyte accumulation at the site of platelet thrombus have already been mentioned<sup>1,91</sup>. Platelet-leukocyte interaction in circulating blood was also observed after injection of oxidised lipoproteins in vivo<sup>152</sup>. When native whole blood was perfused over a collagen-coated surface, the platelet thrombi were coated with a layer of leukocytes<sup>153</sup>. The pathogenesis of the adult respiratory distress syndrome also appears to involve activation of both platelets and PMN<sup>154</sup>. Platelets

and PMN leukocytes act synergistically in provoking post-reperfusion cardiac dysfunction in rats<sup>155</sup> or vasoconstriction after deep carotid arterial injury by angioplasty in pigs<sup>156</sup>.

The transcellular biosynthesis of sulphidopeptide leukotrienes is accompanied by morphological changes and coronary vasospasm in isolated rabbit heart preparations perfused with activated human PMN<sup>157</sup>. Pretreatment with leukotriene synthesis inhibitors prevented morphological alterations and protected against the increase in coronary perfusion pressure<sup>157,158</sup>. In experiments of permanent coronary artery ligation in vivo a leukotriene synthesis inhibitor reduced the mortality rate and protected the rabbits against the marked electrocardiogram derangement induced by ligation<sup>158</sup>. A monoclonal anti-CD18 antibody prevented transcellular biosynthesis of cysteinyl leukotrienes in vitro and in vivo and protected against LT-dependent increase in coronary resistance<sup>159</sup>.

Intravenous infusion of the inflammatory chemotactic agent fMLP in the rabbit induced a dramatic fall in circulating leukocytes and platelets; the latter were activated and aggregated together with leukocytes in the capillaries and venules of the lungs<sup>150</sup>. The concomitant synthesis of eicosanoids and ischemic electrocardiographic changes were prevented by treatment with thromboxane inhibitors, supporting platelet involvement in this model of primary leukocyte activation<sup>160,161</sup> (Fig. 28.5).

Altogether these data provide experimental support to the hypothesis that inflammation is an intermediate mechanism relevant in acute coronary syndromes that can be sustained by platelet-leukocyte interaction.

Experimental evidence also supports the contribution of platelet-leukocyte interactions to the intimal hyperplasia, caused by vascular injury. Transluminal wire injury to the mouse femoral artery induces abundant intimal hyperplasia formation within 2-4 weeks, but already one hour after injury the denuded surface was covered with platelets and leukocytes, predominantly neutrophils, and associated with the accumulation of the adhesion molecules P-selectin, VCAM-1 and ICAM-1<sup>162</sup>. In a balloon-injury model of rat carotid artery, inhibition of P-selectin-mediated leukocyte recruitment prevented the development of neointimal formation, adventitial inflammation, and vascular shrinking, and promoted pseudo-endothelialization by luminal smooth muscle cells<sup>163</sup>. P-selectin-deficient mice are protected from developing intimal hyperplasia after ligating the carotid artery<sup>164</sup>, and have defects in leukocyte rolling in the absence of inflammation and in the early recruitment of PMN in the presence of inflammation<sup>165</sup>. P-selectin-deficient mice also show significant protection from reperfusion injury in different vascular districts<sup>166-171</sup>.

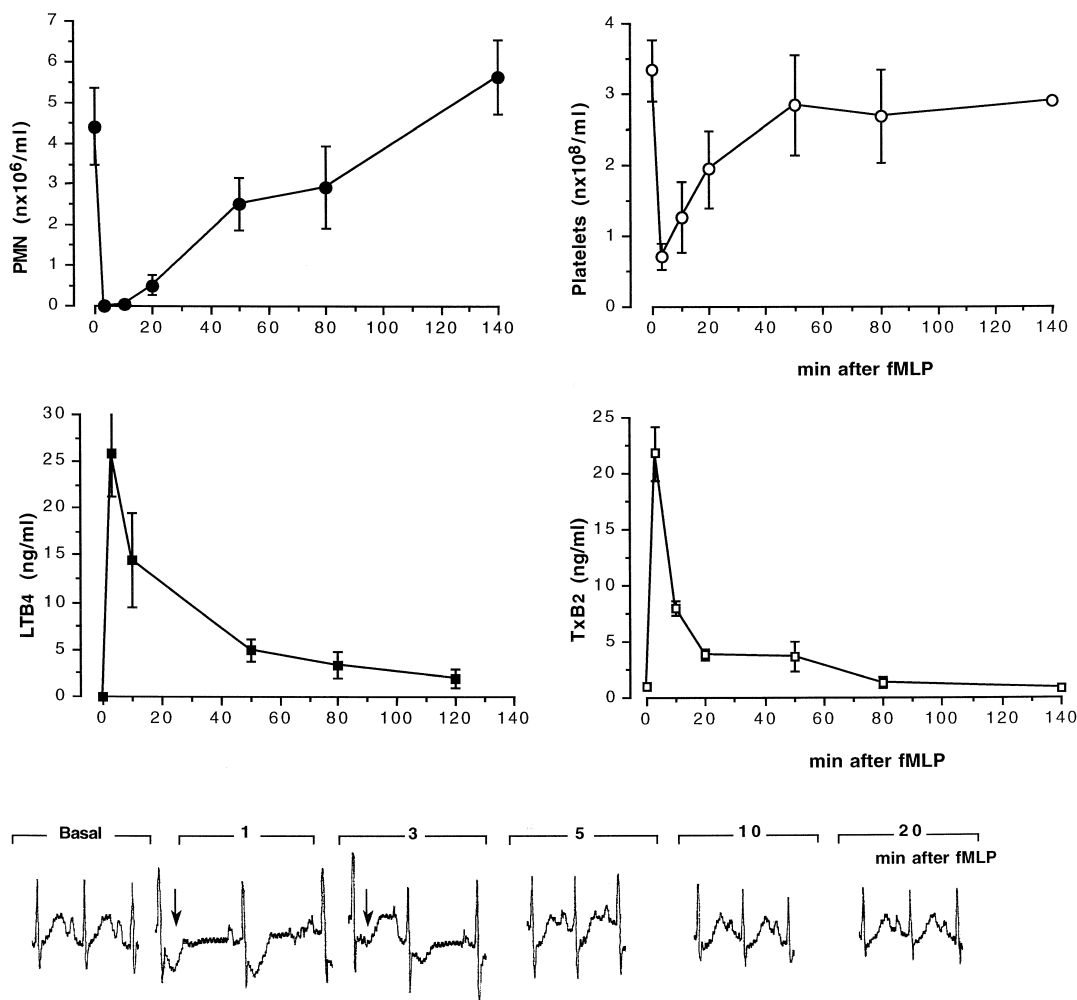


Fig. 28.5. Effect of intravenous infusion of fMLP in rabbits on PMN and platelet count, eicosanoid production (LTB<sub>4</sub> and TxB<sub>2</sub>) and an electrocardiographic tracing showing signs of acute reversible ischemia (indicated by the arrows). (For more details<sup>160,161</sup>.)

A recent study tested the hypothesis that the absence of either P-selectin or ICAM-1 inhibits macrophage recruitment into the vessel wall and protects against neointimal formation after arterial denudation in atherosclerosis-prone apolipoprotein E-deficient mice. The results indicate that the complete absence of P-selectin, but not ICAM-1, markedly reduces plaque area and suggest that P-selectin is critical for monocyte recruitment to sites of neointima formation after arterial injury<sup>172</sup>.

In addition, anti-Mac-1 antibodies inhibited intimal hyperplasia in a rabbit model<sup>173</sup>, and Mac-1-deficient mice were protected from developing neointimal thickening following injury to the carotid vasculature<sup>174</sup>. The role of P-selectin in the development of intimal hyperplasia was recently confirmed in P-selectin-deficient mice after endothelial injury; interestingly enough,  $\beta$ <sub>3</sub>-integrin-deficient mice were not protected<sup>175</sup>.

Most recently, infiltration of PMN into the media of blood vessels was observed in the rabbit within a few hours after injury, and a correlation was found between early PMN transmigration and subsequent smooth muscle cell proliferation<sup>176</sup>.

From these experimental studies it appears that P-selectin and Mac-1 are the major mediators responsible for PMN recruitment in an injured vessel covered by platelets.

#### Evidence from epidemiological and clinical studies

Epidemiological evidence suggests a positive correlation between the number of leukocytes, PMN in particular, and the risk of ischemic vascular disease (for review see <sup>177-179</sup>). Indeed high PMN count was associated with an increased risk of acute myocardial infarction<sup>177</sup> and its recurrence<sup>180</sup> or of transient cerebral ischemic attacks<sup>181</sup>. Further studies

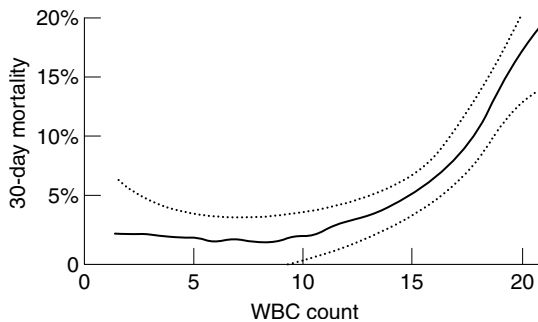


Fig. 28.6. Association of baseline white blood cell count ( $\times 10^3/\mu\text{l}$ ) with increased 30-day mortality in acute myocardial infarction and unstable angina patients. (This figure is reprinted<sup>184</sup>, with permission from Excerpta Medica Inc.)

reported increased *ex vivo* functional responsiveness as well as *in vivo* PMN activation and platelet–leukocyte interaction in different clinical manifestations of ischemic heart disease and suggested an active role for these cells in the progression of vascular occlusion<sup>182,183</sup>. Recently, association of white blood cell count with increased mortality in acute myocardial infarction and unstable angina has been reported<sup>184</sup> (Fig. 28.6).

Elevated levels of soluble P-selectin have been found in atherosclerotic, hyperlipidemic and hypertensive patients<sup>185–190</sup>. Recently, elevated baseline levels of soluble P-selectin were found to be associated with increasing risk of future myocardial infarction, stroke, coronary revascularization and cardiovascular death in a large scale prospective study of apparently healthy women. This association was independent of traditional risk factors<sup>191</sup>.

Although most platelet–leukocyte aggregates have probably a short intravascular survival time, so that only a small fraction of the formed aggregates are present in the systemic circulation at any time, platelet–leukocyte conjugates have been observed in peripheral blood from patients with unstable angina<sup>192</sup>. Cell–cell interaction might trigger PMN activation in this clinical condition<sup>182</sup>, as originally reported by Mazzone et al<sup>183</sup>. On the other hand, the formation of platelet–PMN conjugates following percutaneous coronary interventions was considered a predictive index of acute reocclusion<sup>192,193</sup>. Circulating monocyte–platelet aggregates have been identified in patients with stable coronary artery disease<sup>194</sup>.

Activated leukocytes, as judged by increased surface expression of Mac-1, have been reported in the systemic circulation of patients admitted to hospital with chest pain<sup>195</sup>, in patients after myocardial infarction<sup>196</sup> or after coronary angioplasty<sup>197</sup> and in the coronary sinus blood of patients with unstable (but not stable) angina<sup>183,198</sup>. On the other hand, monocytes with attached platelets from patients undergoing stenting for acute myocardial infar-

tion express more Mac-1 than monocytes without attached platelets<sup>199</sup>. In patients with unstable angina, increased Mac-1 expression was measured on leukocytes from coronary sinus blood compared to blood from either the coronary ostium or the coronary artery just distal to the culprit lesion, suggesting that leukocyte activation occurs within the microcirculation of the myocardium<sup>200</sup>.

Induction of cytokine expression in PMN leukocytes by binding of thrombin-stimulated platelets was observed in patients with unstable angina or myocardial infarction<sup>201</sup>.

### Pharmacology of the platelet–leukocyte interactions

As the intensity of the platelet response is directly related to the degree of PMN activation, substances inhibiting PMN stimulation may prevent the platelet–PMN interaction. This is the case with serine proteinase inhibitors such as  $\alpha 1$ -proteinase inhibitor, secretory leukocyte proteinase inhibitor, or its truncated form, and eglin C<sup>202–204</sup>. However, cathepsin G discharged from stimulated PMN on strictly adherent platelets may be protected from these antiproteinases<sup>21,205</sup>.

Heparin, one of the most widely used drugs for prevention and treatment of thrombosis, has three effects which may be relevant to pharmacological modulation of the platelet–PMN interaction. First, heparin may interfere with P-selectin-dependent platelet–PMN adhesion<sup>206</sup>; secondly, it prevents platelet activation induced by stimulated PMN by acting on cathepsin G, possibly through an ionic interaction between differently charged molecules<sup>203,207,208</sup>. Heparin, as an adhesive ligand for Mac-1<sup>209,210</sup>, may interfere with cell adhesion, thus avoiding the formation of a protected microenvironment, at the same time preventing the effect of cathepsin G on platelets. The effect of heparin, independent of its polyanionic and its anticoagulant activity has been recently confirmed by studying the adhesion of human PMN to vascular endothelium<sup>211</sup>. Other glycosaminoglycans, such as sulodexide, low-molecular-weight dermatansulfate and heparins with different degrees and types of sulfation have also been shown to inhibit platelet aggregation induced by cathepsin G and its catalytic activity<sup>212</sup>. Thirdly, heparin and other glycosaminoglycans inhibited lysosomal enzyme release and superoxide production by activated PMN<sup>208,212</sup>. In the already mentioned rabbit model of stent-induced arterial injury, heparin was shown to inhibit early neutrophil infiltration in the media, smooth muscle cell proliferation and neointimal growth at 7–14 days<sup>176</sup>.

These observations may help establish whether

mechanism(s) of action other than anticoagulation may contribute to the antithrombotic activity of heparin and related compounds. Like heparin, defibrotide, a polydeoxyribonucleotide with antithrombotic effects in experimental models, inhibits platelet activation by stimulated PMN, through inactivation of cathepsin G catalytic activity. Defibrotide is inactive on thrombin<sup>213</sup>.

Several compounds known to affect platelet response have also been tested for their ability to modify platelet–PMN interaction in vitro. As already observed on platelets challenged with thrombin, aspirin, even at high concentrations, did not prevent platelet activation induced by PMN or cathepsin G, although it completely suppressed TxB<sub>2</sub> generation<sup>19,20,25</sup>. Thus aspirin treatment of patients with ischemic events may not reduce platelet activation at sites of PMN accumulation such as myocardial infarction areas. The reported efficacy of dipyridamole in preventing PMN-dependent platelet activation, as well as PMN oxygen radical generation when triggered by LTB<sub>4</sub><sup>20,214</sup> is of interest, but remains to be confirmed.

Iloprost, a stable prostacyclin analogue, appears to be active at nanomolar concentrations on PMN–platelet interaction and at micromolar concentrations on PMN activation. Iloprost reportedly helped the healing of cutaneous ulcers and reduced amputation in patients with critical leg ischemia, where leukocyte–platelet activation may have pathogenic relevance<sup>215</sup>.

While  $\beta$ -adrenergic blocking agents appear to enhance leukocyte function, no data are available on a possible consequent increase of platelet activation. Similarly, it is not known whether the inhibitory effect of calcium antagonists and nitrates on PMN function has any consequence on platelet–PMN interaction<sup>216</sup>.

Preliminary data show that in patients undergoing coronary interventions, treatment with abciximab, an antiGpIIb/IIIa chimeric monoclonal antibody, not only prevents the increase in PMN and monocyte Mac-1 expression that occurs postangioplasty, but actually results in an absolute decrease in Mac-1 expression on both cell types<sup>197</sup>. A study in which human platelets, leukocytes, and fibrinogen were infused into isolated guinea pig hearts after 15 min of ischemia and 2 min of reperfusion, indicated that abciximab treatment not only prevented the transcoronary increase in neutrophil Mac-1 and the development of platelet–PMN aggregates, but also facilitated postischemic recovery<sup>217</sup>. A recent study in patients undergoing coronary angioplasty<sup>218</sup> demonstrates that, although abciximab treatment decreases the percentage of circulating platelet–leukocyte aggregates, it promotes P-selectin-mediated platelet–leukocyte interaction on a collagen-von Willebrand factor surface. On the other hand, plate-

let–monocyte interaction decreased after abciximab treatment in patients with acute myocardial infarction, mainly due to a reduction in platelet mass attached to monocytes, as it did not affect the percentage of monocytes with adherent platelets<sup>199</sup>.

One can speculate that drugs interfering with specific platelet–leukocyte interaction mechanism(s), would block both platelet and leukocyte contribution to thrombus formation and might prove to be clinically more effective than drugs only affecting either cell type<sup>9</sup>. Although platelet GpIIb/IIIa might be indirectly involved in platelet–leukocyte interaction mediated by fibrinogen, compounds directly inhibiting the function of P-selectin,  $\beta$ 2-integrin or their respective counter-receptors should be identified and developed for experimental and clinical testing.

Following the already mentioned observation<sup>91</sup> that platelet P-selectin-dependent leukocyte accumulation in an experimental thrombosis model in the baboon promotes fibrin deposition, it has been shown that P-selectin blockade by specific monoclonal antibodies<sup>219</sup> or with a soluble recombinant form of PSGL-1<sup>220</sup> accelerates the pharmacological lysis of arterial thrombi and prevents reocclusion in animal models. P-selectin blockade also reduces PMN adhesion to platelets at the site of a deep injury in the carotid artery of pigs<sup>221</sup> and reduces cyclic occlusion in a canine model of recurrent arterial thrombosis<sup>222</sup>. P-selectin antagonism with recombinant soluble PSGL-1 inhibits early platelet–leukocyte adhesion on pig injured arteries and reduces restenosis after angioplasty through a positive impact on vascular remodelling<sup>223</sup>.

Compounds interfering with the intracellular signalling mechanisms, including the control of tyrosine kinases, might also be of some pharmacological and therapeutic relevance. In this context, it is of interest to mention that genistein, piceatannol and other relatively specific inhibitors of leukocyte tyrosine kinases have been found in naturally derived nutrients and/or in wine<sup>224</sup>. And wine is presently considered as a dietary means to prevent ischemic heart disease<sup>225,226</sup>. *Trans*-resveratrol, a polyphenolic compound also contained in red wine, prevents platelet–PMN adhesion and Mac-1 expression by PMN in vitro<sup>227</sup>.

In conclusion, inflammation plays a major role in atherogenesis and thrombosis<sup>228</sup>. While the role of platelets in these phenomena was recognized by many investigators over the last century, the involvement of leukocytes, major players in inflammation, was only appreciated in the last two decades<sup>229</sup>.

Slowing, tethering and subsequent attachment of circulating leukocytes to the vascular endothelium is presently considered among the earliest inflammatory steps leading

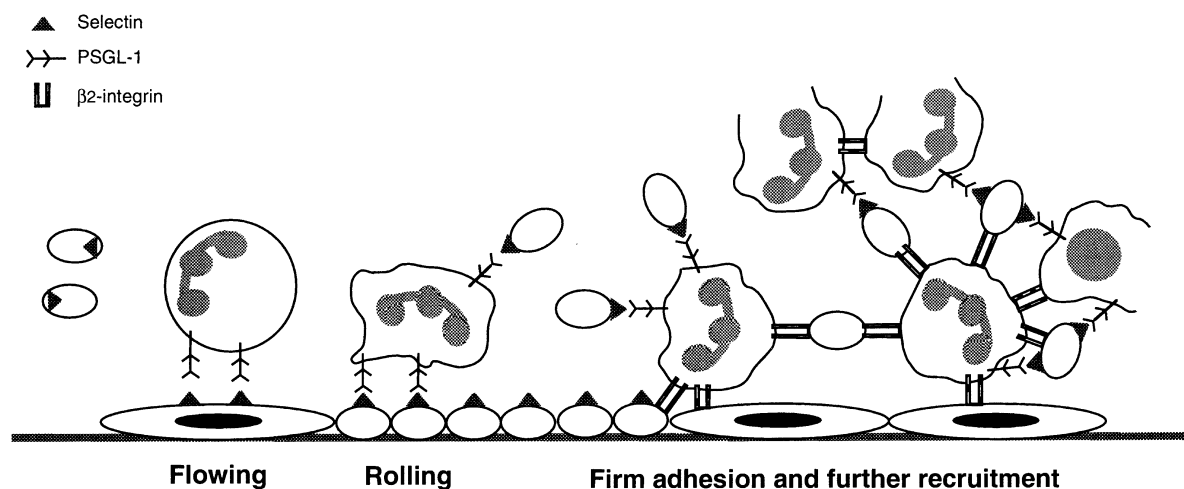


Fig. 28.7. Hypothetical sequence of the interactions between PMN leukocytes and activated platelets or injured endothelial cells. Binding of P-selectin (or E-selectin) to PSGL-1 promotes tethering and rolling of PMN leukocytes on vascular surface. PSGL-1-induced activation of PMN  $\beta$ 2-integrin(s) allows stable multicellular interactions. Cathepsin G released by activated PMN leukocytes may facilitate further platelet and PMN recruitment at the vascular injury site. (This figure is reprinted<sup>8</sup>, with permission.)

to vascular occlusion<sup>228</sup>. In this process the possible contribution of platelet–leukocyte interactions has been progressively characterized<sup>7,8</sup>, as well as the importance of the selectin and integrin families of cell adhesion molecules<sup>230–232</sup> (Fig. 28.7). Basic work in vitro and in experimental models suggests that platelet–leukocyte cross-talk plays an important role in the initiation and progression of athero-thrombosis.

Clinical and epidemiological data, although relatively limited, already provide confirmation of the cardiovascular risk associated with cell–cell interaction and related molecular adhesive mechanisms<sup>191</sup>.

Finally, it is likely that new pharmacological avenues will be scoured to develop new preventive and therapeutic approaches based on the cellular and molecular pathways described in this chapter.

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## Vascular control of platelet function

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### Introduction

The vascular endothelium is a dynamic cellular interface between the blood and subendothelial tissue and is critical for the maintenance of blood flow and hemostasis. The endothelium releases substances (Fig. 29.1) that play an important role in the modulation of vascular tone and permeability, as well as blood fluidity. These substances affect vascular tone by causing both vasodilation [prostaglandin I<sub>2</sub> (PGI<sub>2</sub>), nitric oxide (NO), and endothelium-derived hyperpolarizing factor (EDHF)] and vasoconstriction [thromboxane A<sub>2</sub> (TXA<sub>2</sub>) and endothelin (Et-1)], with the interaction between these two processes ultimately determining the tone of the vessel. In addition to modulating vascular tone, substances released from the endothelium affect platelet adhesion and aggregation. Nitric oxide inhibits platelets adhesion and aggregation, and PGI<sub>2</sub> inhibits platelet aggregation.

Abnormal endothelial responses (endothelial dysfunction) or frank damage to or denudation of the endothelium promotes a prothrombotic state by reducing antiplatelet factors and by inducing adhesion molecule expression. Furthermore, endothelial dysfunction may be involved in the pathogenesis of several cardiovascular disorders, including atherosclerosis, diabetes mellitus, essential hypertension, hypercholesterolemia, and hyperhomocysteinemia.

In this chapter we will review the control of normal endothelial function, with specific emphasis on antiplatelet effects of substances released from the endothelial cell. We will also review the consequences of endothelial dysfunction, giving specific examples in several disease states.

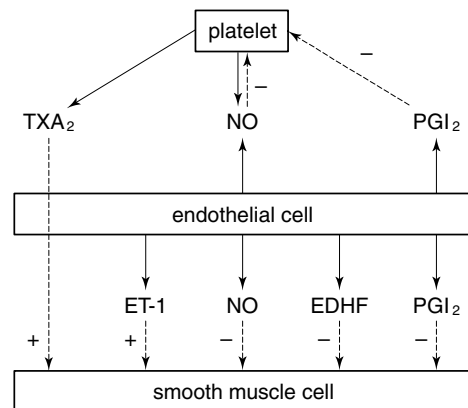


Fig. 29.1. Effect of substances released (solid line) from the endothelial cell. Nitric oxide, PGI<sub>2</sub>, and EDHF released from the endothelial cell act on the smooth muscle cell to produce vasodilation (–) of the vessel. In addition, NO and PGI<sub>2</sub> also inhibit platelet activation and aggregation (–). Endothelin-1 released by the endothelial cell and TXA<sub>2</sub> released by platelets produce vasoconstriction (+) of the vessel.

### Molecular determinants of endothelial function

#### Vasoactive substances

##### Prostaglandin I<sub>2</sub>

Prostaglandin I<sub>2</sub>, or prostacyclin, is a major product of arachidonic acid metabolism. When hydrolyzed from membrane phospholipids, arachidonic acid is metabolized by cyclooxygenase to the endoperoxide, prostaglandin H<sub>2</sub> (PGH<sub>2</sub>). Prostaglandin H<sub>2</sub> is a substrate for a series of enzymes that form PGI<sub>2</sub>, PGE<sub>2</sub>, and PGF<sub>2α</sub> as the major prostanoid products in vascular endothelial and smooth muscle cells; however, PGI<sub>2</sub> is produced principally by the endothelial cell<sup>1</sup>. The endothelial production of PGI<sub>2</sub> and

$\text{PGE}_2$  is increased by agonists, such as adenosine 5-diphosphate (ADP)/ adenosine 5-triphosphate (ATP), platelet activating factor (PAF), histamine, leukotrienes  $\text{C}_4$  and  $\text{D}_4$ , thrombin, and bradykinin<sup>2-8</sup>.

Prostaglandin  $\text{I}_2$  is a potent endothelium-derived vasodilator<sup>9,10</sup> the release of which can be enhanced by hemodynamic forces, such as shear stress and pulsatile pressure, as well as by biochemical mediators, including thrombin, bradykinin, angiotensin II, histamine, platelet-derived growth factor (PDGF), interleukin-1 (IL-1),  $\text{TXA}_2$ , and adenine nucleotides<sup>11-16</sup>. Prostaglandin  $\text{I}_2$  acts by binding to a G protein-coupled receptor on the vascular smooth muscle cell linked to adenylyl cyclase, causing an increase in the intracellular levels of cyclic 3',5'-adenosine monophosphate (cAMP)<sup>17</sup>. In turn, cyclic AMP activates protein kinase A, which influences calcium regulatory mechanisms, resulting in decreased intracellular calcium concentration, decreased calcium-dependent actomyosin ATPase activity, relaxation of vascular smooth muscle cells, and vasodilation<sup>18</sup>.

In addition to its effect on the vascular smooth muscle cell, endothelium-derived  $\text{PGI}_2$  also inhibits the activation and aggregation of platelets and promotes their disaggregation<sup>19-21</sup>. This action on platelets is mediated, as well, by increases in intracellular cyclic AMP levels<sup>1,22</sup>. However, unlike NO, which inhibits both adhesion and aggregation of activated platelets partly via a cyclic 3,5-guanosine monophosphate (cyclic GMP)-dependent mechanism,  $\text{PGI}_2$  only inhibits platelet activation and aggregation and has no effect on adhesion<sup>23</sup>.

The other prostaglandins produced by the metabolism of arachidonic acid may achieve high enough concentrations locally (adjacent to the endothelium) to contribute to vascular homeostasis. Prostaglandin  $\text{H}_2$  is a potent vasoconstrictor, indicating that vasodilation by arachidonic acid products, such as  $\text{PGI}_2$ , depends largely on the conversion of  $\text{PGH}_2$  to  $\text{PGI}_2$ <sup>24</sup>. In a variety of vascular diseases, the conversion of  $\text{PGH}_2$  to  $\text{PGI}_2$  may be impaired, leading to endothelium-dependent contraction by the precursor prostaglandin endoperoxides<sup>25</sup>. Thus, the conversion of  $\text{PGH}_2$  to  $\text{PGI}_2$  in endothelial cells may be a key event on which rests the balance between endothelium-dependent vasodilation and constriction, particularly that associated with vascular diseases<sup>24,26</sup>.

### Nitric oxide

The importance of endothelial NO was first observed in 1980 by Furchgott and Zawadzki, who demonstrated that exposure of an isolated rabbit aorta to acetylcholine induces release of a vasodilator substance<sup>27</sup>. This substance was subsequently termed endothelium-derived

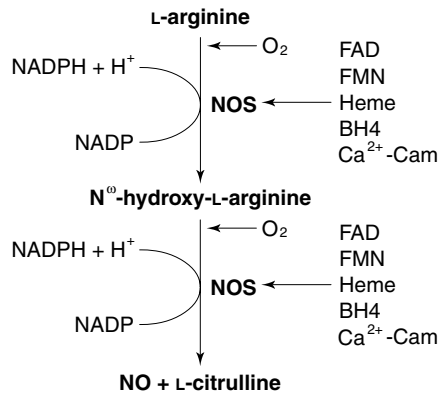


Fig. 29.2. The formation of NO from L-arginine by nitric oxide synthase (NOS). Nitric oxide synthase requires FAD, FMN, Heme,  $\text{BH}_4$ , and  $\text{Ca}^{2+}$ -CaM as essential cofactors. Abbreviations:  $\text{BH}_4$ , tetrahydrobiopterin;  $\text{Ca}^{2+}$ -CaM, calcium-calmodulin; FAD, flavin adenine dinucleotide; FMN, flavin mononucleotide; NADP, nicotinamide adenine dinucleotide phosphate.

relaxing factor (EDRF). Endothelium-derived relaxing factor has since been identified as NO or a closely related redox form of NO<sup>28-32</sup>. Nitric oxide is derived from L-arginine (Fig. 29.2) via NO synthase (NOS) by oxidation of its terminal guanidino nitrogen<sup>33,34</sup>. Molecular oxygen and NADPH are cosubstrates in this reaction<sup>35,36</sup>. There are several isoforms of NOS, one is a constitutive enzyme class that is present in the vascular endothelium (eNOS or NosIII), neuronal cells (nNOS or NosI), and other cell types, while the other is an inducible form of the enzyme (iNOS or Nos II), which has been found in many cell types, including macrophages, neutrophils, and vascular smooth muscle cells<sup>37</sup>. Nitric oxide synthases contain both flavin adenine dinucleotide and mononucleotide<sup>38</sup> and require the presence of several redox-active cofactors, including tetrahydrobiopterin, reduced glutathione, and a heme complex<sup>39-42</sup>, for optimal activity.

In the vascular endothelium, agonists such as acetylcholine and bradykinin stimulate inositol 1,4,5-trisphosphate ( $\text{IP}_3$ ) production by activating the phosphoinositide second messenger system<sup>43</sup>. Inositol 1,4,5-trisphosphate binds to receptors on the endoplasmic reticulum and causes calcium release from intracellular stores; this calcium binds to calmodulin, and, once formed, the calcium/calmodulin complex activates cNOS<sup>44,45</sup>. The rapid and transient production of NO by nNOS allows NO to function in neuronal tissue as a neurotransmitter. Similarly, in the vascular endothelium, eNOS is well suited for its role in maintaining basal vascular tone<sup>46</sup> owing to its capacity to generate low-level NO constitutively.

After production, NO readily diffuses across cell

membranes to interact with specific molecular targets<sup>33</sup>. Nitric oxide regulates protein activity by binding reversibly to available acceptor functionalities, including heme iron and thiols<sup>47</sup>. After entering the target cell, NO binds to the heme moiety of soluble guanylyl cyclase and activates the enzyme, which then catalyses the production of cyclic GMP from guanosine 5'-triphosphate (GTP)<sup>48,49</sup>. Cyclic GMP, in turn, serves as a second messenger, activating cyclic GMP-dependent protein kinase, which decreases cytosolic calcium concentration and modulates ion channel functions, leading to relaxation of vascular smooth muscle cells<sup>50</sup>. Nitric oxide can also directly activate calcium-dependent potassium channels in vascular smooth muscle cells, producing hyperpolarization and relaxation<sup>51</sup>. In addition, nitric oxide inhibits platelet aggregation by a guanylyl cyclase mechanism<sup>52,53</sup>.

Nitric oxide is continuously produced by the vascular endothelium, and this basal release of NO regulates vascular tone<sup>54,55</sup>. For example, in isolated arteries, the specific NOS inhibitor N<sup>G</sup>-monomethyl-L-arginine (L-NMMA) produces endothelium-dependent contraction<sup>56</sup>, while infusion of L-NMMA in the human forearm induces an increase in peripheral vascular resistance<sup>6,57</sup>. Furthermore, systemic doses of L-NMMA evoke long-lasting increases in blood pressure<sup>58</sup>. Normal NO release opposes the vasoconstrictor responses to clinically relevant stimuli, such as catecholamines<sup>59</sup> and serotonin<sup>60</sup>. Thus, NO synthase inhibition is associated with increased systemic blood pressure, decreased blood flow responses to exercise and local ischemia<sup>61,62</sup>, and shortened bleeding time<sup>63</sup>. Inhibition of NO production is also associated with increased adhesion of leukocytes<sup>64</sup> or platelets<sup>65</sup> to cultured endothelial cells. Nitric oxide production by endothelial cells is stimulated by several factors present in the vascular milieu, including acetylcholine, bradykinin, calcium ionophore, adenine nucleotides, thrombin, substance P, neurokinins, vasopressin, oxytocin, uridine triphosphate (UTP), histamine, and PFG<sub>2α</sub><sup>65-67</sup>, although the responsiveness to these agonists exhibits some heterogeneity among vascular cells derived from different species and different vascular beds<sup>66</sup>.

#### Endothelium-derived hyperpolarizing factor

Prostaglandin I<sub>2</sub> and NO are potent endothelial vasodilators; however, not all endothelium-dependent relaxation can be fully explained by their presence alone. For example, endothelium-dependent relaxation and hyperpolarization can be partially or totally resistant to inhibitors of cyclooxygenase and NOS<sup>68-72</sup>. Thus, there is at least one additional endothelial substance, as yet unidentified, that contributes to endothelial hyperpolarization; this has

been termed endothelium-derived hyperpolarizing factor (EDHF)<sup>69,73,74</sup>.

Although the precise nature of EDHF is not known, in some blood vessels epoxyeicosatrienoic acids, metabolites of P<sub>450</sub> monoxygenase pathways of arachidonic acid metabolism, have been proposed as possible candidates for EDHF<sup>75-77</sup>. The vasodilator effect of EDHF is mediated by the activation of potassium channels in the vascular smooth muscle cell, with the amplitude of hyperpolarization inversely related to the extracellular concentration of potassium ions<sup>78</sup>. In addition, endothelial relaxation can be blocked by non-selective potassium channel inhibitors<sup>71,79,80</sup>. Some of the actions of EDHF have also been attributed to calcium-dependent potassium channels and potassium ATP channels<sup>81</sup>; however, these responses differ among species<sup>82</sup>.

Endothelium-derived hyperpolarizing factor-mediated vasodilation is observed in both humans and animals<sup>83,84</sup>. The contribution of EDHF to endothelium-dependent relaxations increases as vessel size decreases, both in humans and in animals<sup>84,85</sup>.

Elevated NO induces an impairment of the EDHF pathway<sup>86,87</sup> through a cyclic GMP-dependent mechanism<sup>86</sup>. This effect of NO appears to involve a reduction in the mobilization of arachidonic acid by agonists<sup>87</sup>. Impairment of EDHF by NO occurs only under conditions of excessive production of NO, such as those obtained in pro-inflammatory states, suggesting a role for the high-flux production of NO by iNOS. In arteries isolated from rats treated chronically with NOS inhibitors, EDHF-mediated relaxation is of the same magnitude as that obtained when NOS is inhibited acutely *in vitro*<sup>88</sup>.

#### Endothelin

In addition to releasing vasodilatory substances, the endothelium also has the capacity to release/synthesize the vasoconstrictor endothelin (ET). Endothelin acts locally to oppose the vasodilation produced by PGI<sub>2</sub>, NO, and EDHF. It is the interactions among these opposing substances that ultimately determine the vasomotor response of the vessel.

Endothelins are divided into three distinct peptide groups, ET-1, ET-2, and ET-3, which are derived from a separate gene product, prepro-endothelin, via 'big' endothelin through a series of subsequent peptide cleavages. Endothelin-1 is an extremely potent vasoconstrictor synthesized by the endothelium that produces long-lasting contractions of vascular smooth muscle. However, vasoconstriction can be prevented by proteases and inhibitors of protein synthesis<sup>89</sup>. Endothelin is synthesized in a two-step process. A pre-propeptide is cleaved by protease to



form big ET-1 (39 amino acids), which is further cleaved by the endothelin converting enzyme (ECE) to form the 21-amino acid active peptide<sup>90</sup>. Big ET-1 can be identified intracellularly in endothelial cells, suggesting that ET-1 is synthesized constitutively and not stored. Endothelin-1 is found primarily in the endothelium, whereas ET-2 and ET-3 are present in nonvascular tissue<sup>91</sup>. A variety of stimuli can evoke ET-1 production by the endothelial cell, including IL-1, thrombin, TGF- $\beta$ , phorbol esters, calcium ionophore, arginine vasopressin, hypoxia, shear stress, and vasoactive substances released by platelets<sup>92-97</sup>, although the detection of stimulated levels requires 3 to 6 hours, consistent with alterations in gene expression. Importantly, ET-1 synthesis can also be inhibited by NO<sup>92,98-100</sup>.

The endothelin receptor comprises two subtypes: ETa receptors (which have a higher affinity for ET-1 than ET-3) found mainly on the vascular smooth muscle cell and cardiomyocyte<sup>98</sup>, and ETb receptors (which are non-selective for ET subtypes) located primarily on the endothelial cell and vascular smooth muscle cell<sup>99</sup>. Thus, ETa and ETb receptors mediate vasoconstriction in a variety of blood vessels and vascular beds, with a large degree of heterogeneity demonstrated among different species<sup>100</sup>.

Vasomotion is affected by ETa and ETb receptors located on endothelial and vascular smooth muscle cells<sup>101</sup>. Endothelin-1 acts on ETa and ETb receptors located on vascular smooth muscle cells to elicit long-lasting vasoconstriction. This effect is mediated by the opening of ion (sodium and calcium) channels, which produces an increase in intracellular calcium<sup>102</sup>. In addition, ET-1 can activate ETbi receptors on the endothelium that leads to release of factors, such as NO and PGI<sub>2</sub>, which, in turn, lead to an initial vascular smooth muscle relaxation mediated by increased cyclic AMP and cyclic GMP levels, respectively, with subsequent inhibition of L-type calcium channels<sup>103</sup>. Thus, under normal conditions, endothelial ET-1 synthesis contributes to the maintenance of basal vascular tone and blood pressure principally through activation of ETa receptors on vascular smooth muscle cells.

### Thromboxane A<sub>2</sub>

The endothelial cell metabolizes arachidonic acid via cyclooxygenase, which leads to the secretion of vasoconstrictor substances, such as PGH<sub>2</sub>. In addition, thromboxane A<sub>2</sub> is secreted from platelets by the metabolism of arachidonic acid via thromboxane synthase. Prostaglandin H<sub>2</sub> and TXA<sub>2</sub> subsequently act on endoperoxide/thromboxane receptors in vascular smooth muscle cells to induce vasoconstriction and on platelets to induce activation and aggregation<sup>104</sup>. Prostaglandin H<sub>2</sub> has been shown

to attenuate endothelium-dependent vasodilation induced by acetylcholine in isolated aortic rings from spontaneously hypertensive rats<sup>105</sup>. However, PGH<sub>2</sub> can also be converted to PGI<sub>2</sub> by the endothelial cell, which then opposes vasoconstriction.

## Antiplatelet substances

### Prostaglandin I<sub>2</sub>

Endothelial cells and platelets can both generate eicosanoids from arachidonic acid via cyclooxygenase. However, the products formed in each cell type have opposing effects. Endothelial cells contain prostacyclin synthetase, which can produce PGI<sub>2</sub> from endoperoxides (PGH<sub>2</sub>)<sup>106</sup>, while platelets contain thromboxane synthetase, which produces TXA<sub>2</sub><sup>18</sup>. Thromboxane A<sub>2</sub> formation in the platelet induces platelet aggregation, which is accompanied by the platelet release reaction whereby serotonin and other granule components are expelled from platelet stores. Thromboxane A<sub>2</sub> also induces a rise in the concentration of ionized calcium in the platelet cytosol and a decrease in platelet cyclic AMP formation by inhibiting adenylyl cyclase. In addition, TXA<sub>2</sub> acts on vascular smooth muscle cells to produce vasoconstriction. As platelets are unable to resynthesize cyclooxygenase, in the presence of the irreversible cyclooxygenase inhibitor aspirin, TXA<sub>2</sub> production is completely blocked. In contrast, endothelial cells are able to resynthesize cyclooxygenase; thus, aspirin only leads to a transient decrease in PGI<sub>2</sub> production.

Endoperoxides (PGG<sub>2</sub>, PGH<sub>2</sub>) released from the platelet by the conversion of arachidonic acid can also be used by the endothelium to produce PGI<sub>2</sub><sup>106</sup>. In addition to its potent vasodilatory effects, PGI<sub>2</sub> also inhibits platelet function. Prostaglandin I<sub>2</sub> binds to a specific receptor on the surface of the platelet and stimulates adenylyl cyclase<sup>22</sup>. The resulting increase in platelet cyclic AMP leads to calcium reuptake by the dense tubular system and, thereby, inhibits platelet activation, platelet granule secretion, platelet aggregation, and the development of platelet procoagulant activities<sup>107</sup>.

### Nitric oxide

Nitric oxide is a potent endothelial product that is principally involved in the regulation of vascular tone and in maintaining the antithrombotic properties of the endothelium. Nitric oxide achieves these ends by decreasing vascular smooth muscle tone and by inhibiting platelet responses, such as platelet adhesion, platelet granule secretion, platelet aggregation, and arachidonic acid liberation<sup>108-110</sup>, respectively.

Nitric oxide released by the endothelium diffuses readily across the platelet membrane and binds to the heme moiety of soluble guanylyl cyclase. Heme binding leads to guanylyl cyclase activation and an increase in cyclic GMP production, which, in turn, activates a cyclic GMP-dependent protein kinase that phosphorylates myosin light chain kinase and regulates actomyosin ATPase activity<sup>111,112</sup>. Activation of cyclic GMP-dependent protein kinase also leads to phosphorylation of calcium transporters, which decrease intracellular calcium concentration<sup>113</sup>. Nitric oxide also inhibits the normal activation-dependent increase in platelet surface glycoprotein expression, such as P-selectin and the active conformation of glycoprotein (GP) IIb–IIIa<sup>114</sup>. Endothelial NO production is stimulated by shear stress and by substances released during platelet activation (such as ADP and 5-HT), as well as by determinants of the coagulation cascade, such as thrombin<sup>115</sup>.

In addition to the effect of endothelial NO, platelets themselves possess both constitutive and, possibly, inducible NOS (cNOS and iNOS), whereby NO is produced during platelet aggregation<sup>116–118</sup>. Platelet aggregation can be enhanced by incubation with inhibitors of NOS and decreased by the NOS substrate L-arginine<sup>119</sup>, and this platelet-derived NO pool also limits platelet recruitment to the growing platelet thrombus<sup>116</sup>, potentially limiting the self-amplification of platelet thrombus formation *in vivo*. Thus, NO appears to be involved in the regulation of platelet function and platelet aggregate formation.

The anti-thrombotic properties of the endothelial surface may be a consequence of the combined actions of NO and PGI<sub>2</sub>. Prostaglandin I<sub>2</sub> is as potent local vasodilator and a potent inhibitor of platelet aggregation as is NO<sup>120</sup>. By contrast with NO, the physiological antiplatelet effects of PGI<sub>2</sub> are mediated by an increase in cyclic AMP<sup>19</sup>. Nitric oxide also causes vasodilation and inhibits platelet aggregation, but unlike PGI<sub>2</sub>, NO also inhibits platelet adhesion to the vascular endothelium<sup>121</sup>. Nitric oxide and PGI<sub>2</sub> have been shown to have synergistic antiaggregatory effects on platelets<sup>108,120</sup>, and may act in concert to oppose local vasospasm or thrombus formation at sites where platelets aggregate and the coagulation cascade is activated<sup>115</sup>. It has been proposed that the antiplatelet effects of endothelial NO may prevent thromboembolic events during administration of potent PGI<sub>2</sub> inhibitors, such as aspirin<sup>46</sup>.

#### *Phosphoinositide 3-kinase*

Phosphoinositide 3-kinase (PI3-kinase) is involved in many cellular functions, including the regulation of glucose transport, mitogenesis, and cytoskeletal rearrangements<sup>122</sup>. Phosphoinositide 3-kinase catalyses the phosphorylation of the inositol ring at the 3' position of a

variety of phosphoinositides<sup>123,124</sup>. In addition, some isoforms can catalyse the phosphorylation of protein serine residues<sup>125,126</sup>.

Platelets contain a p85/PI3-kinase<sup>127</sup>, which is a heterodimer composed of a p85 regulatory subunit (with two SH2 domains and one SH3 domain) and a catalytic p110 subunit. The p85/PI3-kinase can be activated by G-protein linked receptors, including the thrombin receptor in platelets<sup>128,129</sup>. Recent work in our laboratory has shown that S-nitrosoglutathione, an NO donor, inhibits PI3-kinase activation induced by thrombin receptor-activating peptide (TRAP) in human platelets<sup>122</sup>. In addition, the PI3-kinase inhibitor, wortmannin, when used in conjunction with S-nitrosoglutathione was shown to have additive inhibitory effects on TRAP-induced platelet aggregation. Although wortmannin has previously been shown to mimic the effects of NO on the platelet, such as inhibition of TRAP-induced platelet aggregation<sup>130</sup>, the study by Pigazzi and colleagues is the first to demonstrate an inhibitory effect of NO on PI3-kinase in platelets<sup>122</sup>.

Phosphoinositide 3-kinase has also been shown to induce NO synthesis when endothelial cells are stimulated by either insulin or vascular endothelial growth factor (VEGF)<sup>131</sup>. For example, endothelial cells pre-treated with wortmannin inhibit the production of NO by insulin<sup>132</sup>. The relative importance of the opposing effects of NO on PI3-kinase activation in platelets and in endothelial cells is yet to be determined.

#### *AKT*

The serine/threonine protein kinase AKT (protein kinase B) is a downstream target of PI3-kinase<sup>133,134</sup> and has previously been shown to be a general antiapoptotic effector induced by proangiogenic stimuli<sup>135</sup>. For example, VEGF stimulates AKT, which promotes endothelial cells survival<sup>136,137</sup>, and inhibitors of endothelial cell apoptosis, shear stress, and insulin, also activate AKT<sup>138,139</sup>. More recently, AKT has been shown to phosphorylate eNOS directly leading to calcium-independent activation of the enzyme<sup>140,141</sup>. In addition, inhibition of the AKT/PI3-kinase pathway by wortmannin prevents activation of eNOS<sup>141</sup>, while activated AKT (delivered via adenovirus-mediated gene transfer) increases basal NO release from endothelial cells<sup>140</sup>. Thus, AKT-dependent phosphorylation of eNOS represents an additional mechanism by which endothelial NO production is modulated. The role of AKT in platelet aggregation and effects of NO on this process are, as yet, unknown.

### *Cyclic GMP-independent mechanisms*

Nitric oxide also interacts with target cells by cyclic GMP-independent mechanisms. Nitric oxide can activate cytosolic adenosine 5'-diphosphate (ADP)-ribosyltransferase in human platelets, an enzyme that catalyses the transfer of ADP ribose to glyceraldehyde 3-phosphate dehydrogenase<sup>142</sup>. The addition of ADP ribose to GAPDH inactivates the enzyme and, thereby, slows glycolysis and decreases ATP production<sup>43</sup>. Nitric oxide-mediated injury in processes such as myocardial stunning or neurotoxicity may be secondary to NO stimulation of GAPDH ADP-ribosylation, with the resultant inhibition of glycolysis<sup>43</sup>. There are additional cyclic GMP-independent effects of NO on endothelial cells of possible importance to vascular function. Endothelium-dependent relaxation can occur without a rise in cyclic GMP<sup>51</sup>; this response is attributed to direct activation by NO of calcium-dependent potassium channels<sup>81</sup>, which produce relaxation by hyperpolarization of the vascular smooth muscle cell. Furthermore, sodium-potassium ATPase can be activated by NO independent of cyclic GMP<sup>143</sup>, which, again, leads to hyperpolarization. Nitric oxide-mediated inhibition of cytosolic calcium influx in platelets<sup>144</sup> activated by strong agonists is a consequence of inhibition of capacitative calcium influx resulting from increased sarcoplasmic/endoplasmic reticulum calcium-ATPase-dependent refilling of intracellular calcium stores<sup>145</sup>.

### **EctoADPase**

In addition to inhibiting platelet aggregation via endothelium-derived PGI<sub>2</sub> and NO, the endothelial cell reduces the extent of platelet aggregation by decreasing the local concentration of the platelet agonist ADP via surface ectonucleotidase activity. Adenosine 5'-diphosphate released from activated platelets induces platelet recruitment and aggregation by binding to platelet purinergic type-2 receptors (P2Y or P2X)<sup>146</sup>. Adenosine 5'-triphosphate diphosphohydrolase (ADPase, ATPDase, ecto-apyrase, or CD39), located on the luminal surface of the endothelial cell, metabolizes extracellular ATP and ADP to AMP. Within the endothelial cell, AMP is then rapidly metabolized by 5'-nucleotidase to adenosine, which inhibits platelet aggregation<sup>147</sup>. Ecto-ADPase is a glycoprotein that belongs to the E-type ATPase family<sup>148</sup> and hydrolyzes all physiologically occurring nucleoside 5'-triphosphates and 5'-diphosphates equally well<sup>147</sup>. The activity of ecto-ADPase is dependent on calcium and magnesium, and can be inhibited by divalent cation-chelating agents<sup>149,150</sup>.

Ecto-ADPase is insensitive to the effects of aspirin<sup>149</sup> and inhibits platelet recruitment and aggregation in the absence of PGI<sub>2</sub> or NO. For example, *in vitro* PGI<sub>2</sub> and NO

production from endothelial cells can be inhibited by aspirin and hemoglobin, respectively; however, under these conditions, inhibition of platelet responsiveness by endothelial cells persists<sup>149</sup>. Furthermore, CD39-deficient mice have an increased spontaneous thrombotic tendency compared to wild-type mice<sup>151</sup>. Thus, endothelial ecto-ADPase plays an important role in the regulation of hemostasis and platelet-mediated thrombosis independent of PGI<sub>2</sub> or NO.

## **Consequences of endothelial dysfunction**

### **Exposure to the subendothelium**

Under normal conditions, unstimulated platelets do not adhere to intact endothelial cells; however, when normal endothelial function is impaired (endothelial dysfunction) or the endothelium is absent and the subendothelial matrix exposed (as in deep vessel injury), platelets come into contact with substances that promote adhesion and aggregation. In this context, endothelial dysfunction may play an important role in the pathogenesis of certain disease states, including atherosclerosis, hypercholesterolemia, hypertension, diabetes mellitus, and hyperhomocysteinemia. Some of the effects of endothelial dysfunction and subendothelial matrix exposure specifically leading to increased platelet adhesion and aggregation are discussed next.

### **von Willebrand factor**

von Willebrand factor (vWF) is a polymeric glycoprotein that promotes platelet adhesion and thrombus formation at sites of endothelial dysfunction or vascular injury<sup>152,153</sup>, especially under conditions of high shear rates. von Willebrand factor is produced by endothelial cells<sup>154</sup>, platelets<sup>155</sup>, and megakaryocytes<sup>156</sup>. Endothelial cells synthesize vWF, the majority of which is secreted constitutively, either abluminally into the subendothelial matrix or lumenally into the circulation. Constitutively secreted vWF is synthesized at a steady-state rate that is not altered by stimulation<sup>157</sup> and contains both pro-vWF and mature subunits. In contrast, endothelial cells stimulated with the calcium ionophore A23187 or thrombin secrete vWF that consists of only large multimers of mature subunits, released from storage in the Weibel-Palade bodies<sup>158,159</sup>. These large multimers of vWF preferentially bind to the extracellular matrix and fibrin. Similarly, platelets and megakaryocytes also contain large multimers of vWF, which are stored in their  $\alpha$ -granules<sup>160,161</sup>.

Released vWF interacts with both the GP Ib–X–V and GP IIb–IIIa receptors on platelets. By binding to subendothelial collagen and glycosaminoglycans, vWF then tethers platelets to the vascular matrix. This interaction initiates platelet adhesion and activation of the GP IIb–IIIa complex<sup>162–165</sup>. The GP IIb–IIIa complex promotes irreversible platelet adhesion as well as platelet spreading at the site of injury, thus allowing additional platelets to be recruited to the growing thrombus.

The importance of vWF in thrombosis is evident in patients with various types of von Willebrand disease (vWD). These patients have decreased platelet adhesion to the subendothelium, which can subsequently be reversed by administration of exogenous vWF<sup>166,167</sup>. In addition, the involvement of vWF in endothelial dysfunction has been established. For example, Fuster and colleagues showed that pigs with vWD on a high fat and cholesterol diet are less likely to develop atherosclerosis of the abdominal aorta than normal pigs<sup>168</sup>. In addition, vWF-deficient mice have prolonged bleeding times and increased bleeding events when compared to wild-type mice<sup>169</sup>. Furthermore, in disease states associated with endothelial dysfunction, such as diabetes mellitus and hypertension, plasma vWF levels are markedly elevated<sup>170,171</sup>.

### Plasminogen activator inhibitor

The endothelium regulates fibrinolysis through the release of several factors, including tissue-type plasminogen activator (t-PA) and plasminogen activator inhibitor-1 (PAI-1). Tissue-type plasminogen activator is synthesized and secreted by endothelial cells<sup>172,173</sup> and converts inactive plasminogen to plasmin, which degrades fibrin<sup>174</sup>. Release of t-PA from endothelial cells is augmented by several physiological stimuli, including shear stress<sup>175</sup>, and receptor-dependent agonists, such as histamine and thrombin<sup>176–178</sup>. Endothelial cells also express t-PA receptors, which localize plasminogen activation to the endothelial surface. The action of t-PA is principally opposed by PAI-1, which is synthesized by endothelial cells<sup>179</sup> and other cells, such as platelets<sup>180</sup>. Synthesis of PAI-1 is affected by various factors, including inflammatory cytokines<sup>181,182</sup>, lipoproteins<sup>183</sup>, thrombin<sup>178</sup>, and angiotensin II<sup>184</sup>. Under normal conditions, the balance between t-PA and PAI-1 determines the response to thrombus formation in the vessel, which generally favours fibrinolysis. However, when the endothelium is absent (deep vessel injury) or its function is impaired (endothelial dysfunction), the relative preponderance of PAI-1 over t-PA reduces fibrinolysis and promotes thrombosis.

Endothelial dysfunction is associated with several cardiovascular disease states, including atherosclerosis and

hypercholesterolemia<sup>33,77</sup>, and endothelial control of fibrinolysis is correspondingly altered in these conditions. For example, increased PAI-1 activity was found in young survivors of myocardial infarction and in venous thrombotic states; a reciprocal decrease in t-PA activity was also observed in these conditions in some studies<sup>185</sup>. In addition, increased t-PA antigen levels with decreased t-PA activity and increased PAI-1 activity have been reported in patients with coronary artery disease<sup>186,187</sup> and diabetes mellitus<sup>188</sup>. Increased PAI-1 activity has also been shown to correlate with elevated triglyceride levels<sup>189,190</sup>. Overexpression of PAI-1 in rat carotid arteries increases mural thrombus accumulation<sup>191</sup>, while PAI-1-deficient mice have decreased thrombus formation in response to photochemically-induced thrombosis when compared to wild type mice<sup>192</sup>. Furthermore, substances that cause endothelial dysfunction, such as oxidized-LDL and lysophosphatidylcholine, stimulate PAI-1 and inhibit t-PA release from endothelial cells<sup>193</sup>.

### Thrombin

Antithrombin III present in plasma rapidly inactivates thrombin by forming the thrombin/antithrombin complex, which is subsequently cleared by the liver<sup>194</sup>. The inactivation of thrombin by antithrombin III alone proceeds slowly, but is dramatically increased by heparin, free heparan sulfate, or endothelial cell-bound heparan sulfate<sup>195,196</sup>. Antithrombin III also binds to heparan sulfate in the subendothelium, which catalyses thrombin inactivation<sup>197</sup>. Endothelial cells support the inactivation by antithrombin III of factors IXa, Xa, and XIIa. During aggregation, platelets release platelet factor 4, which binds to glycoaminoglycans and blocks the ability of heparan to accelerate the inactivation of thrombin by antithrombin III<sup>198</sup>.

Most normal endothelial surfaces express thrombomodulin, which serves as a binding site for thrombin to activate protein C. Protein C subsequently cleaves and inactivates factors Va and VIIIa<sup>199,200</sup>. Protein S is a cofactor for activated protein C and is required for the expression of protein C's anticoagulant effects<sup>201</sup>. Once thrombin binds to thrombomodulin, the complex is internalized by endocytosis, the attached thrombin is degraded, and the thrombomodulin recycled to the endothelial surface<sup>202</sup>. When the endothelium is minimally damaged, there is evidence to suggest that owing to the high-affinity interaction between thrombin and the endothelial surface, thrombomodulin effectively removes thrombin from plasma and concentrates it on the surface of the endothelial cells downstream of the site of vascular injury. However, with greater extents of injury or endothelial dysfunction, the

regulation of thrombin inactivation by antithrombin III and thrombomodulin is decreased, resulting in increased plasma thrombin concentrations. Thrombin is a potent stimulus of platelet aggregation. At low concentrations (less than 1 nM), thrombin stimulates platelets to secrete ADP, serotonin, and fibrinogen, which all enhance platelet aggregation and clot formation. At higher concentrations, thrombin is able to stimulate platelet aggregation by ADP-independent mechanisms<sup>203</sup>. In addition to platelet aggregation, thrombin activates procoagulant factors V and VIII, as well as factor XIII, which catalyses the formation of a covalently crosslinked stable fibrin clot<sup>204,205</sup>. Thrombin can also induce vasoconstriction of the vessel<sup>206</sup>. The mechanism of activation of thrombin and its pathophysiological importance in thrombosis is demonstrated in patients with inherited antithrombin III deficiencies, who have an increased incidence of thrombosis<sup>207,208</sup>. In addition, individuals with autosomal deficiency of protein S, which results in up to an 85% reduction in protein S activity, also have an increased incidence of thrombosis<sup>209</sup>, while inherited activated protein C resistance is a major risk factor for venous thrombosis<sup>210</sup>. Furthermore, elevated levels of homocysteine, which are associated with endothelial dysfunction, atherosclerosis, and increased thrombotic events, inhibit thrombomodulin surface expression and protein C activation by endothelial cells<sup>211</sup>.

### Tissue factor

The main physiological function of tissue factor (TF) is to initiate the coagulation process. Tissue factor binds factor VII in plasma in the presence of calcium and increases the activity of factor X by factor VII. Factor Xa then activates factor VII to VIIa, a process accelerated by endothelial cells and factors VIII and IX<sup>212,213</sup>. Factor Xa generates thrombin that produces local activation of platelets and factors V and VIII<sup>204</sup>.

Under normal homeostatic conditions, endothelial cells are devoid of TF. In contrast, the subendothelial matrix possesses TF. Endothelial damage (vessel injury) leads to exposure of plasma and platelets to TF. Expression of TF by vascular smooth muscle cells is rapidly increased by growth factors and thrombin *in vitro* and by vascular injury *in vivo*<sup>214</sup>. Furthermore, TF has been identified in atherosclerotic plaques<sup>215</sup>, suggesting a role for this proteolipid in the progression of thrombotic complications of cardiovascular disease. In addition to exposure of the subendothelial matrix, endothelial dysfunction can also produce TF expression. Endothelial cells exposed to thrombin or endotoxin markedly increase their TF expression, and the expression of TF initiates fibrin formation<sup>216,217</sup>. Nitric oxide has recently been shown to suppress TF expression

by endothelial cells<sup>218</sup>, demonstrating yet another anti-thrombotic action of this vascular effector.

### Increased platelet activation

#### Prostaglandin I<sub>2</sub>

Endothelium-derived PGI<sub>2</sub> is a potent vasodilator and inhibitor of platelet aggregation. Physiologically, its actions are counterbalanced by other eicosanoids, such as PGH<sub>2</sub> and TXA<sub>2</sub>, with the interaction among these substances determining the response of the vessel. However, when normal endothelial activity is impaired, there is a reduction in the release of bioactive endothelial relaxing factors, such as PGI<sub>2</sub> and NO, and a subsequent increase in the release of endothelial constricting factors (PGH<sub>2</sub> and TXA<sub>2</sub>). This shift in prostanoid mediators of vessel tone would be expected to be associated with a shift from an antithrombotic to a prothrombotic state.

Prostaglandin I<sub>2</sub> production is reduced in disease states associated with endothelial dysfunction. For example, in human coronary arteries taken from heart transplant patients, the contribution of PGI<sub>2</sub> towards basal release of relaxing and hyperpolarizing factors was 32% in normal arteries but only 12% in atherosclerotic arteries<sup>219</sup>.

Increased production of vasoconstrictor prostaglandins have been shown in diabetes mellitus and hypertension<sup>25,220,221</sup>. Endothelial-dependent vasodilation to acetylcholine in the forearm of patients with essential hypertension is improved by the infusion of the cyclooxygenase inhibitor, indomethacin<sup>222</sup>. In addition, acetylcholine-induced vasodilation of isolated rabbit aorta is improved by treatment with indomethacin<sup>220</sup>.

#### Nitric oxide

Under physiological conditions, endothelium-derived NO plays an important role in the regulation of vascular tone, platelet adhesion, platelet aggregation, myocardial contractility, smooth muscle proliferation, and leukocyte adhesion. However, in disease states associated with endothelial dysfunction, the role of NO is altered. There is evidence that diminished endothelial NO production, and, therefore, decreased bioavailable NO, contributes to atherogenesis by allowing unopposed platelet-endothelial interactions and through the loss of NO-mediated inhibition of vascular smooth muscle proliferation<sup>43</sup>. In contrast, excess NO production has been demonstrated in the pathogenesis of sepsis-induced hypotension and myocardial depression<sup>33</sup>. For the purpose of this review, only impairment in endothelial NO production leading to decreased bioavailable NO will be discussed.

The normal endothelium-dependent vasodilator response to intracoronary acetylcholine infusion (via NO release) is converted to a vasoconstrictor response in patients with early or advanced coronary atherosclerosis<sup>223</sup>. However, the vasodilator response to nitroglycerin, a non-endothelium-dependent nitrovasodilator, is unaltered<sup>223</sup>. In addition, endothelium-dependent coronary dilation is lost in hypercholesterolemia before the development of atherosclerosis<sup>224</sup>, while impaired flow-mediated dilation has been demonstrated in the brachial arteries of children with familial hypercholesterolemia<sup>225</sup>. Furthermore, the vasodilator responses to stimuli for NO release, such as serotonin<sup>60</sup>, substance P<sup>226</sup>, and shear stress<sup>227</sup>, are impaired in coronary artery disease<sup>228</sup>. Thus, these findings suggest impaired action of NO.

Impaired endothelium-derived NO is also associated with increased platelet adhesion and platelet aggregation. For example, platelets from diabetic subjects demonstrate increased adhesiveness and aggregation<sup>229</sup>. In addition, patients with coronary artery disease exhibit an increased extent of aggregation when compared to age-matched control subjects<sup>230</sup>. Furthermore, patients with acute coronary syndromes also have impaired platelet production of NO<sup>231</sup>, which may act in concert with decreased endothelium-derived NO to contribute to the development of coronary atherothrombosis.

#### Impaired nitric oxide bioavailability

The most important mechanism of impaired endothelium-derived NO bioactivity is increased oxidative stress. Increased production of reactive oxygen species and oxidative modification of lipoproteins account for the majority of oxidative stress in the vessel.

The superoxide anion is produced by a number of enzymatic sources in vascular cells under physiological conditions as a consequence of normal oxidative metabolism<sup>65</sup>. Increased superoxide anion production has been documented in a number of disease states, including hypercholesterolemia<sup>232</sup>, diabetes mellitus<sup>233</sup>, and hypertension<sup>234</sup>. Enhancing superoxide dismutase activity or inhibiting superoxide anion production is associated with improved endothelium-dependent vasodilator function in atherosclerosis<sup>232</sup>. In addition, patients with coronary atherosclerosis administered ascorbic acid, a potent scavenger of superoxide anion, demonstrate improved flow-mediated brachial dilation<sup>235</sup>.

Superoxide anion has also been shown to stimulate platelet aggregation. For example, pyrogallol (a generator of superoxide anion) induces platelet aggregation in vitro, which is completely abolished by superoxide scavengers<sup>236</sup>. In addition, coronary artery disease patients

receiving ascorbic acid have shown a reduction in both platelet adhesiveness and platelet aggregation when compared to placebo controls<sup>237</sup>.

Superoxide anion interferes with NO, thus limiting its biological activity<sup>238,239</sup>. Nitric oxide and superoxide anion may interact in a diffusion-limited process to form peroxynitrite (ONOO<sup>-</sup>). Peroxynitrite not only decreases endothelium-derived NO bioavailability, but also initiates lipid peroxidation and oxidization of critical thiols or tyrosine residues<sup>240</sup>. The superoxide anion also undergoes dismutation to hydrogen peroxide, which is subsequently reduced to the hydroxyl radical<sup>241</sup>. Hydrogen peroxide has been shown to mediate endothelial cell injury<sup>242</sup> and impair endothelial production of NO from cultured cells<sup>243</sup>.

#### Lipid peroxidation

The formation of oxidized low-density lipoproteins (ox-LDL) impairs the action of endothelium-derived NO and other endothelial functions by several mechanisms. Oxidized-LDL promotes the recruitment of inflammatory cells to the vessel wall, which may increase local production of reactive oxygen species<sup>244-246</sup>. Oxidized-LDL is also cytotoxic to the endothelial cell and may either directly inactivate NO<sup>247</sup> or decrease eNOS protein levels in endothelial cells<sup>248</sup>. Products of lipid peroxidation, including lysophosphatylcholine, may interfere with signal transduction and receptor-dependent stimulation of NOS activity<sup>249,250</sup>, as well as activation of guanylyl cyclase<sup>251</sup>.

Increased oxidative stress as a mechanism for endothelial dysfunction is supported by drug therapies directed against LDL oxidation, such as  $\alpha$ -tocopherol. For example,  $\alpha$ -tocopherol therapy has been shown to preserve endothelium-derived NO function via inhibition of superoxide anion production and cell-mediated LDL oxidation<sup>65</sup>.

#### Other mechanisms for impaired nitric oxide bioavailability

Several other mechanisms exist by which endothelium-derived NO bioavailability can be decreased. These include alterations in NOS expression or NO signalling, reduced availability of the NOS substrate L-arginine, and decreased availability of NOS cofactors, such as tetrahydrobiopterin<sup>252</sup>. However, a detailed discussion of these mechanisms is beyond the scope of this overview<sup>252a</sup>.

#### Ecto-ADPase

Ecto-ADPase is responsible for the enzymatic degradation of ATP and ADP to AMP, which is subsequently converted into the anti-aggregatory substance, adenosine. Ecto-

ADPase inhibits platelet recruitment and aggregation in the absence of PGI<sub>2</sub> and NO, and, thus, plays an important role in the regulation of hemostasis and thrombosis. As with PGI<sub>2</sub> and NO, the activity of ecto-ADPase is reduced by endothelial dysfunction.

When endothelial cells are activated by inflammatory cytokines, the activity of ecto-ADPase (CD39) decreases compared with unstimulated cells<sup>253</sup>. This decreased activity has been attributed to inactivation of the enzyme by oxidative stress, and can partially be reversed by antioxidants<sup>151</sup>. In addition, CD39-deficient mice demonstrate substantial fibrin deposition in various tissues. As the activity of ecto-ADPase in these animals is markedly decreased, this pathology suggests impairment in vascular thromboregulation. CD39-deficient mice also demonstrate increased bleeding times, which is contrary to this hypothesis of impairment in thromboregulation. This effect appears to be due to desensitization of the platelet purinergic receptor, since these mice lack both *in vivo* and *in vitro* platelet responsiveness to ADP<sup>151</sup>. This lack of platelet responsiveness can be reversed by administration of soluble nucleoside diphosphatase (apyrase).

### Pathological conditions associated with endothelial dysfunction

#### Atherosclerosis

Endothelium-mediated vasodilation is attenuated in atherosclerotic human coronary arteries<sup>254</sup>. Human epicardial arteries dilate *in vivo* in response to endothelium-dependent agents, such as acetylcholine<sup>223,255</sup>, substance P<sup>256</sup>, serotonin<sup>60</sup>, and histamine<sup>77,257,258</sup>, and dilation is impaired in atherosclerotic coronary arteries<sup>77,258</sup>. This impairment in endothelium-dependent vasodilation may be a consequence of decreased production or impaired bioavailability of NO<sup>254</sup>. Furthermore, diminished NO production at atherosclerotic sites may promote atherogenesis by allowing unopposed platelet activation and adhesion of platelets and leukocytes<sup>33,259</sup>.

Progressive impairment of endothelial function within the coronary circulation has been observed in patients. For example, impaired endothelium-dependent vasodilation is observed not only in advanced atherosclerotic lesions but also in arteries with minor irregularities<sup>223,260</sup>. This observation also suggests that endothelial dysfunction occurs early in the course of atherosclerosis. The loss of flow-mediated vasodilation in atherosclerotic segments may favor vasoconstriction at sites where vasodilation could ordinarily occur with an increase in flow<sup>223</sup>. Such an impaired response to increased flow may, in part, explain

the abnormal vasomotor responses, and, thus, the associated clinical symptoms, accompanying physiological stimuli, such as exercise, mental stress, or exposure to cold environments<sup>261</sup>.

Hypercholesterolemia is a well-known risk factor for atherosclerosis and coronary artery disease. Endothelial dysfunction secondary to hypercholesterolemia may be involved in the early pathogenesis of the disease process<sup>224</sup>. Endothelium-dependent vasodilation to serotonin and catecholamines is impaired by hypercholesterolemia in a porcine model<sup>262</sup>. In addition, hypercholesterolemia impairs endothelium-dependent vasodilator responses to aggregating platelets<sup>77</sup>. In humans, hypercholesterolemia impairs vasodilation in resistance vessels<sup>263</sup>, which can be acutely improved by infusion of L-arginine.

#### Hypertension

In animal models of hypertension, endothelium-dependent vasodilation is reduced<sup>264</sup>. However, this endothelial dysfunction varies depending upon the model of hypertension studied. For example, in aortas from spontaneously hypertensive rats the release of endothelium-derived contracting factors in response to serotonin, ADP, and arachidonic acid is increased and explains the inability of the endothelium to attenuate contractions induced by aggregating platelets<sup>259,264</sup>. There is also an increased endothelium-dependent release of prostaglandin H<sub>2</sub> in this model when aortas are exposed to acetylcholine<sup>265</sup>. This response is associated with an enhanced expression of cyclooxygenase-1. In addition, the diminished endothelium-dependent vasodilation to acetylcholine in the forearm of patients with essential hypertension is partially restored by indomethacin<sup>222</sup>. In contrast, in Dahl salt-sensitive hypertensive rats the impairment of endothelium-derived vasodilation is not due to the release of endothelium-derived contracting factors, but decreased responsiveness to NO, as direct vasorelaxant effects of nitrovasodilators are reduced<sup>266</sup>. Furthermore, patients with hypertension have reduced endothelium-derived NO release under basal conditions or during activation of the endothelium<sup>267</sup>; this effect was subsequently shown to be a consequence of impaired NO synthesis or release of NO from the endothelium rather than reduced availability of substrate for NO production<sup>268</sup>.

#### Diabetes mellitus

Endothelium-dependent vasodilation is also impaired in models of diabetes mellitus. Exposure of isolated vascular tissue to high glucose concentrations *in vitro* produces impairment in the endothelium-dependent vasodilation to acetylcholine<sup>220</sup>. In contrast, the vasodilatory response

to A23187 and sodium nitroprusside was unaltered. In addition, the endothelium-dependent vasodilator response is decreased in animals rendered diabetic by alloxan and streptozotocin treatment<sup>269,270</sup>. In diabetic rabbits exposed to high glucose concentrations, impaired endothelium-dependent vasodilation was accompanied by an increased production of vasoconstrictor prostaglandins<sup>220</sup>, which could be reversed by inhibitors of prostaglandin synthesis and specific antagonists of prostaglandin  $H_2$ /TXA<sub>2</sub>.

Impaired endothelium-dependent vasodilation has also been demonstrated in patients with type I diabetes in the absence of clinical complications<sup>271–273</sup>; however, not all studies have shown consistent dysfunction in these patients<sup>274,275</sup>. Similar variability exists in the endothelium-dependent vasodilator responses of patients with type II diabetes<sup>276</sup>.

### Hyperhomocysteinemia

In epidemiological studies, hyperhomocysteinemia has been associated with premature peripheral artery disease, cerebrovascular disease, and coronary artery disease, independent of the effects of factors such as hyperlipidemia, cigarette smoking, and hypertension<sup>277,278</sup>. Addition of homocysteine to isolated endothelial cells induces functional abnormalities in the release of endothelium-derived NO<sup>279</sup> and detachment of cells<sup>280</sup>. In addition, methionine-induced moderate hyperhomocysteinemia in non-human primates leads to abnormal vasomotor activity<sup>281</sup>.

In humans, homozygous homocystinuria is associated with markedly accelerated atherosclerosis and thrombosis, and with endothelial dysfunction in children as young as 4 years old<sup>282</sup>. Furthermore, subjects with no known vascular risk factors other than hyperhomocysteinemia demonstrate impaired arterial endothelium-dependent flow-mediated vasodilation<sup>283</sup>. In this group, hyperhomocysteinemia was not associated with renal failure or deficiencies in folate or vitamin B12. Treatment with folate has been shown to restore endothelial dysfunction during a methionine challenge in healthy volunteers without affecting the rise in plasma homocysteine levels<sup>284</sup>. Hyperhomocysteinemia may also be associated with early arterial injury in adults<sup>285</sup>.

Several possible mechanisms exist whereby hyperhomocysteinemia may account of impaired endothelium-dependent vasodilation. These include, increased oxidative stress<sup>286</sup> altered expression of eNOS<sup>287</sup>, and suppression of cellular glutathione peroxidase<sup>288</sup>.

Hyperhomocysteinemia also effects platelet activation. Homocystinuric patients have abnormally high TXA<sub>2</sub> biosynthesis<sup>289</sup>, which is associated with increased platelet activation. In addition, homocysteine rapidly auto-oxi-

dizes in plasma and as a consequence reactive oxygen species, such as superoxide anion, the hydroxyl radical, and hydrogen peroxide are formed<sup>290</sup>. The hydroxyl radical subsequently initiates lipid peroxidation. Di Minno and colleagues demonstrated that short-term administration of probucol, which prevents the oxidative modification of low-density lipoproteins, to homocystinuric patients results in a 40 to 60% decrease in TXA<sub>2</sub> biosynthesis, while having no effects on TXA<sub>2</sub> biosynthesis of normal volunteers<sup>291</sup>. The decrease in TXA<sub>2</sub> biosynthesis was not correlated with either plasma homocysteine levels or plasma cholesterol levels.

### Animal models of endothelial dysfunction

Several animal models exist for the investigation of endothelial dysfunction and its relationship to thrombosis and atherosclerosis. These models allow for the study of the mechanisms of platelet activation and interaction with the vessel wall. One of the major models utilized is the Folts coronary thrombosis model of cyclic flow variations (CFVs), which was first described in 1974<sup>292</sup>. This model is used to study platelet aggregation and thrombosis in stenosed arteries with endothelial or medial damage. The CFVs produced are due to the repetitive accumulation and dislodgement of platelet aggregates at the site of endothelial damage associated with arterial stenosis<sup>293–295</sup>. The Folts coronary thrombosis model is created by exposing the heart and dissecting free either the left anterior descending or left circumflex artery from the surrounding tissue. A Doppler flow probe is then placed in the proximal portion of the exposed artery. Endothelial damage is then produced in the artery, usually by pinching the artery several times with cushioned forceps, just distal to the flow probe. A device (plastic sleeve) is then placed around the artery at the site of endothelial damage and a fixed amount of constriction is produced. Although originally performed acutely in open chested dogs and rabbits the model has been adapted for use in chronic, awake, and unsedated animals<sup>293</sup>. Cyclic flow variations can be abolished by agents that inhibit platelet adhesion and aggregation, such as sodium nitroprusside<sup>296</sup>, nitroglycerin<sup>297</sup>, TXA<sub>2</sub> or serotonin receptor antagonists<sup>293</sup>, aspirin<sup>298</sup>, and GP IIb-IIIa receptor antagonists<sup>299,300</sup>. In addition to the Folts coronary thrombosis model, CFVs have been demonstrated in patients undergoing coronary angioplasty<sup>301</sup>.

Some other models of endothelial damage and thrombosis are the electrical injury model and the copper coil model. Electrical injury produces endothelial damage by establishing a positive electrical potential on the inner surface of an otherwise normal artery. If sufficient electri-



cal potential is supplied, thrombosis occurs<sup>302</sup>. The copper coil model involves the placing of a small metal coil into the left coronary artery of an anesthetized animal via a large-bore catheter inserted in either the carotid or femoral artery<sup>303</sup>. After placement, thrombus formation occurs rapidly and complete arterial occlusion usually occurs within 10 to 20 minutes. Thus, this model has been utilized to study thrombolytic agents<sup>304,305</sup>. However, one of the major problems associated with the above models, at least in the context of endothelial dysfunction, is that they all produce severe endothelial damage. Thus, they represent more accurately models of primary thrombus formation. Other models or variations of the existing ones that are capable of evaluating the role of endothelial dysfunction in thrombosis and atherosclerosis are clearly necessary.

This issue has, in part, been addressed by the development of genetic models with targeted gene disruptions that lead to endothelial dysfunction. Apolipoprotein E (apoE) is a 34 kDa glycoprotein that serves as a ligand for the receptor-mediated clearance of several classes of lipoproteins from plasma, including, very low density lipoproteins, high density lipoproteins, cyclomicrons, and lipoprotein remnants<sup>306</sup>. Thus, targeted disruption of the apoE gene leads to accumulation of plasma cholesterol: homozygous deficiency in apoE (apoE<sup>-/-</sup>) is associated with hypercholesterolemia and spontaneous atherosclerosis<sup>307-309</sup>. Endothelial dysfunction has also been described in apoE<sup>-/-</sup> mice. Yang and colleagues showed that 7.5-month-old apoE<sup>-/-</sup> mice develop increased arterial pressure and impaired endothelial function in addition to hypercholesterolemia and atherosclerosis<sup>310</sup>. In this study, endothelial dysfunction was evident by decreased cutaneous blood perfusion on the dorsal side of one hind paw after topical application of mustard oil (NO-mediated vasodilation). In contrast, the same study demonstrated that 6-week-old apoE<sup>-/-</sup> mice, which were hypercholesterolemic but without atherosclerosis, did not exhibit abnormal arterial pressures or endothelial dysfunction. This result implies that hypertension and endothelial dysfunction are primarily attributed to atherosclerosis, and that NO-mediated vasodilation is diminished with age, at least in this model.

Impaired endothelium-derived NO production / bio-availability is one of the main features of endothelial dysfunction, and has been shown to occur in atherosclerosis, hypertension, and diabetes mellitus. Disruption of the gene encoding eNOS in mice produces hypertension and lack of endothelium-dependent vasodilation by acetylcholine. In addition, mice with transgenic overexpression of eNOS are hypotensive<sup>311</sup>. These findings suggests that eNOS mediates basal release of endothelium-derived

NO<sup>312</sup>. However, atherosclerosis has not been reported in eNOS<sup>-/-</sup> animals.

Hypercholesterolemia is also associated with endothelial dysfunction and is a risk factor for atherosclerosis and thrombosis. Mice heterozygous for a deficiency in the cystathionine  $\beta$ -synthase (CBS<sup>+/-</sup>) gene have mild hyperhomocysteinemia<sup>313</sup>. In addition, we have recently shown that CBS<sup>+/-</sup> mice have attenuated aortic relaxation to the endothelium-dependent agonist, acetylcholine, as well as paradoxical vasoconstriction of the mesenteric microcirculation to methacholine, bradykinin, and A23187<sup>314</sup>. These results are consistent with endothelial dysfunction induced by mild hyperhomocysteinemia. Furthermore, the above study demonstrated that CBS<sup>+/-</sup> mice have increase superoxide anion production, suggesting that mild hyperhomocysteinemia contributes to endothelial dysfunction by increasing oxidant stress and depleting bio-available NO.

Glutathione peroxidase-1 (GPx1) catalyses the conversion of hydrogen peroxide and lipid peroxides to water and lipid metabolites, respectively<sup>315</sup>; thus it plays an important role in inhibiting mitochondrial reactive oxygen species production, thereby protecting the animal from oxidative stress. Mice lacking mitochondrial GPx (GPx<sup>-/-</sup>) have increased mitochondrial hydrogen peroxide production, reduced mitochondrial respiratory rates, decreased mitochondrial power output indices and increased levels of lipid peroxides<sup>316</sup>. In addition, these animals display a growth deficiency at 8 months of age. Furthermore, work in our laboratory has demonstrated that GPx<sup>+/-</sup> and GPx<sup>-/-</sup> mice have increased oxidative stress when compared to wild type mice<sup>317</sup>, and that the mesenteric microvasculature of GP<sup>-/-</sup> mice paradoxically constricts in response to the vasodilator  $\beta$ -methacholine<sup>318</sup>. This response is reversed by treatment with L-2-oxothiazolidine-4-carboxylate (OTC), which increases the intracellular thiol reducing potential. These results suggest that oxidant stress plays a role in this model of endothelial dysfunction.

## Modulation of platelet aggregation by platelet products

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### Antiplatelet

#### Nitric oxide

In addition to endothelium-derived NO, which effects vasodilation and inhibits platelet aggregation, NO can also be produced directly by platelets. Once activated, platelets stimulate further thrombus formation and recruitment of

additional platelets by releasing ADP and serotonin, producing TXA<sub>2</sub>, and promoting surface thrombin generation. However, this activation is somewhat opposed by the release of platelet-derived NO. Platelets contain both cNOS and iNOS<sup>231,319</sup>, and upon activation, NO is produced within the context of aggregation. This platelet-derived NO appears to be involved in the regulation of platelet function. For example, systemic infusion of the NOS inhibitor L-NMMA causes a reduction in bleeding time without a change in vessel tone<sup>63</sup>, and enhances platelet reactivity to various platelet agonists<sup>320</sup>. We have previously demonstrated that platelet-derived NO only modestly inhibits the primary aggregation response; however, NO released from activated platelets markedly inhibits platelet recruitment. Thus, this mechanism may act to limit the size of an arterial thrombus<sup>116</sup>.

In parallel with impaired endothelium-derived NO responsiveness in atherosclerosis, platelet-derived NO production is also decreased in this disease state. We have demonstrated that patients with acute myocardial infarction and unstable angina have decreased platelet-derived NO when compared to normal subjects<sup>231</sup>. In addition, low platelet NO production was independently associated with the presence of acute coronary syndromes. Furthermore, this finding was not attributed to concurrent medical therapy of heparin, nitroglycerin, or aspirin, or to other clinical or coronary risk factors.

### Pro-aggregatory

Platelets also secrete a number of substances which enhance platelet responsiveness to primary agonists. Adenosine 5'-diphosphate and serotonin are secreted from the dense granules, while TXA<sub>2</sub> is produced from arachidonic acid. Each of these substances independently produces platelet aggregation; however, when released from the platelet upon stimulation, they act in an auto-crine fashion to potentiate the aggregation response.

### Conclusion

Under normal conditions the vascular endothelium either synthesizes or converts substances that regulate vascular tone. In addition, the endothelium, through PGI<sub>2</sub>, NO, and ecto ADPase, inhibits platelet adhesion to the vessel, activation, and aggregation. By balancing these processes, the endothelium plays an important role in the maintenance of perfusion and hemostasis.

However, the response of the endothelium can be altered, either by its removal in deep vessel injury or by dys-

functional changes associated with disease states, such as atherosclerosis, hypertension, diabetes mellitus, and hyperhomocysteinemia. Endothelial dysfunction leads to a decrease in the synthesis of the vasodilator and antiplatelet agent PGI<sub>2</sub>, which subsequently is accompanied by an increased platelet production of the vasoconstrictors and platelet agonists PGH<sub>2</sub>/TXA<sub>2</sub>. In addition, the potent vasodilatory and antiplatelet effects of NO are reduced owing to decreased NO bioavailability by mechanisms such as, increased oxidative stress and lipid peroxidation. Thus, all of these factors contribute to the pro-thrombotic state associated with endothelial dysfunction and demonstrate the pivotal role of platelets in the pathogenesis of vascular disease.

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# **Methodology**

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## In vitro assays for evaluating platelet function

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### Role of platelets in hemostasis and thrombosis

Platelets play a primary role in the formation of the hemostatic plug at sites of vessel wall injury and in formation of thrombotic plug at the sites of atherosclerotic lesions<sup>1-3</sup>. Platelets circulate as anucleated cells at the periphery of the bloodstream. When continuity of the endothelial layer is disrupted and the underlying matrix is exposed, platelets rapidly adhere to the exposed matrix through an interaction between the glycoprotein (Gp) Ib-IX-V complex on their surface and von Willebrand factor (vWf) in the sub-endothelium<sup>2,3</sup>. The high fluid shear stress brought about by vascular constriction also promotes Gp Ib-IX-V-von Willebrand factor interaction<sup>3</sup>. This initial interaction, often called platelet adhesion, sets the stage for other adhesive reactions that allow the platelets to essentially seal the vessel-wall defect.

Following adhesion, platelets are activated by a number of agonists such as adenosine diphosphate (ADP) and collagen present at the sites of vascular injury. These agonists activate platelets by binding to specific receptors on the platelet surface<sup>4</sup>. Occupancy of these receptors leads to a series of downstream events that ultimately increases the intracytoplasmic concentration of calcium ions<sup>5</sup>. Receptors coupled to the G-proteins such as ADP, epinephrine, thromboxane A<sub>2</sub> (TXA<sub>2</sub>) and thrombin receptors activate phospholipase C $\beta$  (PLC $\beta$ ), whereas receptors activating via the non-receptor tyrosine kinase pathways such as collagen receptor GpVI preferentially activate phospholipase C $\gamma$  (PLC $\gamma$ )<sup>6</sup>. Activation of PLC $\beta$  or PLC $\gamma$  results in the production of the two second messengers: diacylglycerol (DAG) and inositol trisphosphate (IP<sub>3</sub>). DAG activates protein kinase C while the IP<sub>3</sub> liberates calcium from intracellular storage granules. In addition, some of the receptors, such as ADP and TXA<sub>2</sub> receptors, may also be linked via the G-proteins to ion channels mediating influx

of Ca<sup>2+</sup>. The increased intraplatelet free calcium concentration, in turn, leads to a number of structural and functional changes of the platelet. Morphologically, the platelet changes dramatically from a disc to a spiny sphere (a process called shape change). The granules in the platelet are centralized and their contents (ADP,  $\beta$ -thromboglobulin, platelet factor 4) are discharged into the lumen of the open canalicular system, from which they are then released to the exterior (the release reaction). The increase in intraplatelet calcium stimulates membrane phospholipase A<sub>2</sub> activity, which liberates arachidonic acid from membrane phospholipids. Arachidonic acid is converted to an intermediate product prostaglandin H<sub>2</sub> (PGH<sub>2</sub>) by the enzyme cyclooxygenase 1 (COX-1). PGH<sub>2</sub> is further metabolized to TXA<sub>2</sub> by thromboxane synthase. TXA<sub>2</sub> is a very potent activator of platelets. The long membrane projections brought about by a shape-change reaction allow the platelets to interact with one another to form aggregates.

The platelet-platelet interaction (platelet aggregation) is mediated by a membrane protein, GPIIb-IIIa complex<sup>7</sup>. GPIIb-IIIa is an integrin receptor present at high density on platelets (~50 000 copies/platelet). It exists as an inactive form in resting platelets. Platelet activation by almost all agonists induces conformational changes ('inside-out signalling') of GPIIb-IIIa which becomes competent to bind soluble plasma fibrinogen. The precise sequence of events leading to inside-out signalling has not been fully elucidated. The roles of receptor clustering, phosphorylation and association with cytoskeletal and other cytoplasmic molecules in inducing GPIIb-IIIa conformational change leading to ligand binding are not totally delineated. Nevertheless, the receptor-bound fibrinogen acts as a bridge between two GPIIb-IIIa on adjacent platelets. This is the final common pathway of platelet aggregation induced by platelet chemical agonists. However, vWF substitutes for fibrinogen as a bridge molecule between

GPIIb–IIIa for platelet aggregation induced by high shear stresses ( $>12$  dynes/cm<sup>2</sup>) although platelet aggregation under lower shear stresses ( $<12$  dynes/cm<sup>2</sup>) is mediated by fibrinogen binding to GPIIb–IIIa<sup>7–9</sup>.

Activated platelets recruit additional platelets to the growing hemostatic plug by several feedback amplification loops: they release platelet agonists (such as ADP and serotonin stored in the  $\alpha$  granules) and they synthesize *de novo* proaggregatory TXA<sub>2</sub>. Release of ADP and TXA<sub>2</sub> synthesis consolidate the initial hemostatic plug by promoting the participation of other platelets in the hemostatic plug formed at sites of vascular injury. Finally, platelets also play a dominant role in secondary hemostasis by providing a highly effective catalytic surface for activation of the coagulation cascade. When platelets are activated, negatively charged phospholipids move from the inner leaflet of the membrane bilayer to the outer leaflet. The transbilayer movement of anionic phospholipids is associated with blebbing and release of procoagulant vesicles rich in anionic phospholipids. Both activated platelets and the microvesicles provide binding sites for enzymes and cofactors of the coagulation system, which then efficiently generate thrombin, itself a potent platelet agonist.

Thrombosis results from inappropriate activation of normal hemostatic process in the setting of vessel wall injury. Arterial thrombosis occurs at high flow rates and at sites of atherosclerotic lesions. Clinical, experimental and epidemiological studies have clearly demonstrated a dominant role for platelets in arterial thrombosis. Platelets adhere to the exposed matrix of ruptured atheromatous plaque by a biochemical mechanism similar to that described above for adhesion of platelets to subendothelial matrix of severed normal vessels. Subsequent events such as platelet activation, platelet aggregation and platelet participation in coagulation are also similar to those described above. A notable pathophysiological process in arterial thrombosis is platelet aggregation induced by high shear stress which is mediated by binding of vWF to GPIIb–IIIa<sup>7–9</sup>. This contributes to the initiation and amplification of platelet aggregates not generally noted in normal hemostasis.

### Platelet function tests

There have been attempts over the past three decades to detect changes in platelet function and correlate the change with arterial thrombotic events in patients with arterial thrombotic disorders<sup>10</sup>. There have been studies on the association of platelet function and venous thromboembolism, which is described in detail in another

chapter in this book. The goal of the platelet function testing is to detect early thrombotic events and even more importantly to predict risk for thrombotic disorders such as myocardial infarction and ischemic stroke. There is circumstantial evidence for individual and interethnic variations of platelet function which forms the basic assumption that interindividual variability of platelet function can impact on arterial thrombus formation. This has been a controversial subject. However, recent genetic analysis of platelet glycoprotein genes suggests that polymorphisms of these genes contribute to variations in the receptor affinity and the magnitude of transmembrane signalling and these detectable interindividual differences may translate to different risk of arterial thrombosis. Environmental factors such as dietary cholesterol, cigarette smoking and exercise influence platelet function<sup>11</sup>. Furthermore, there could be genetic and environmental interactions in influencing platelet function in healthy individuals that collectively may have a larger impact on the risk of arterial thrombosis. Each of these factors contribute to a small variation of platelet function. Therefore, it is highly desirable to have tests that measure small changes in platelet function to assess the risk of thrombosis. Our understanding of mechanisms of platelet–vessel wall interactions has increased rapidly in the last decade. This has led to the introduction of new and potent antiplatelet drugs to treat thrombotic disorders<sup>12</sup>. Many of these drugs are associated with severe hemorrhagic complications. Thus, there is also a need to develop simple reliable platelet function tests that mimic *in vivo* platelet activity and can guide appropriate dosing of the new and powerful antiplatelet therapy. The platelet function tests, developed over the last four decades to test platelet function, are suited to detect platelet functional defects in bleeding disorders but are limited in capacity to assess platelet hyperactivity in thrombosis. A combined genetic and biochemical analysis of markers may alleviate the limitations. In this chapter we will review platelet function tests with emphasis on recently developed tests.

### Tests of global platelet functions

#### Bleeding time

Bleeding time is the most familiar test of global platelet function. It has been in practice for a century and has been modified several times<sup>13</sup>. In this test, a blood pressure cuff is inflated on the upper arm to a pressure of 40 mm of Hg and a disposable, automated device inflicts a standardized cut of 10 mm length and 1 mm in depth on the volar surface of the forearm. The wound is gently blotted every 30 seconds until bleeding stops. The normal bleeding time is

less than 10 minutes. The arrest of bleeding from this superficial wound is almost exclusively due to platelet adhesion and aggregation. As there is progressive prolongation of bleeding time when platelet counts are less than 100 000 per microlitre, the bleeding time test has limited value to detect functional platelet defects in thrombocytopenic patients<sup>14</sup>. A prolonged bleeding time in the presence of normal platelet count is very significant indicating a qualitative dysfunction of platelets.

Despite its simplicity, the clinical utility of the bleeding time test has been called into question<sup>15</sup>. It is difficult to perform the test with consistency. It is greatly influenced by the skill and experience of the operator. The depth of the wound, local skin thickness, skin temperature and vascular patterns affect the results. A critical review of bleeding time concluded that bleeding time is not a specific indicator of platelet function and a poor indicator of bleeding risk<sup>15</sup>. A shortened bleeding time was claimed to be associated with arterial thrombosis but the claim has not been validated by rigorous proof.

Several attempts have been made to measure global platelet functions in primary hemostasis by reliable, simple, reproducible tests in vitro under flow conditions. Two new tests have been introduced and evaluated in clinical laboratories for their utility in detecting platelet functional defect in primary hemostasis. They have the potential to replace the conventional bleeding time technique. These two tests, the PFA-100 in vitro platelet function analyser and the Xylum clot signature analyser are described below.

#### PFA-100 in vitro platelet function analyser

This is a bench-top automated instrument based on an original principle described by Kratzer et al. that assess primary hemostasis under shear stress<sup>16</sup>. It uses a disposable test cartridge which contains a cup and a nitrocellulose membrane at the bottom with a central aperture of 150  $\mu\text{m}$  in diameter. The nitrocellulose membrane is impregnated with type I equine fibrillar collagen plus ADP (Col/ADP membrane) or epinephrine (Col/Epi membrane). The cartridge is inserted into the instrument and kept at 37°C. A blood sample of 0.8 ml of citrated blood is placed in a cup and aspirated at 200–240 dynes/cm<sup>2</sup> through the aperture. The shear stress and the agonists in the membrane activate platelets leading to platelet aggregation. The end point expressed as closure time is the stopping of blood flow due to occlusion of aperture by platelet aggregates. The platelet aggregate formation depends on (i) vWF binding to collagen-coated nitrocellulose membranes, (ii) platelet adhesion to vWF via platelet glycoprotein Ib–IX–V complex, (iii) platelet activation and (iv)

platelet aggregation mediated by interaction of GPIIb–IIIa with vWF and fibrinogen. Normal closure times range from 77–133 seconds for Col/ADP membrane and 98–185 seconds for Col/Epi membrane. This instrument has been tested in bleeding disorders but has not been evaluated in thrombotic disorders. The closure time using the collagen/epinephrine (CEPI) cartridge is abnormal in patients with congenital platelet function defects, von Willebrand disease and aspirin ingestion while the closure time with collagen/ADP (CADP) cartridge is abnormal mainly in patients with von Willebrand disease or thrombocytopathies<sup>17–20</sup>. Aspirin prolongs the closure time 94% of the time with CEPI cartridge and only 27% of the time with CADP cartridge. A dose-dependent prolongation of Col/Epi closure time by aspirin is recently reported<sup>20</sup>. Glanzmann's thrombasthenia, Bernard–Soulier syndrome and most mild von Willebrand disease have a prolonged closure time with both cartridges, while storage pool defect and giant platelet thrombopathy have a prolonged closure time with CEPI cartridge only<sup>18</sup>. The advantages of this instrument include simplicity and reproducibility. It has been reported to have a coefficient of variation of less than 10%. It may have a use in determining the association of global platelet function with arterial thrombosis and in assessing the efficacy and complication of antiplatelet therapy.

#### Clot signature analyser

The Xylum clot signature analyzer (CSA) is an automated test of primary hemostasis which provides information about platelet adhesion, platelet aggregation and coagulation reactions in a more physiological milieu without adding platelet agonists<sup>21–23</sup>. Fresh blood is perfused, under a microprocessor control, in two separate channels (punch and collagen channel) under constant flow conditions simulating physiological blood flow. The luminal pressure is measured continuously. In the punch channel, vascular injury is simulated by piercing the blood line with a needle causing a sudden decrease in the pressure. The punch hole closes as platelets aggregate and form a hemostatic plug. The time from the punch to recovery of the pressure due to the formation of the hemostatic plug is reported as platelet hemostasis time. The blood flowing in the lumen eventually coagulates which stops the blood flow and causes the pressure to drop to 0. The time interval is reported as the clotting time. In the collagen channel, type I bovine collagen (1.9 cm long) is placed on the lumen aligned to the direction of the blood flow. As blood flows, platelets adhere to collagen leading to thrombus formation and lumen occlusion causing a continuing drop of blood pressure eventually to zero. The time interval from the start of blood flow to 50% drop in luminal pressure is reported

as collagen-induced thrombus formation. The CSA mimics physiological conditions better than any other instrument. It is currently undergoing clinical evaluation in a number of conditions. Its utility as predictor of arterial thrombosis remains to be determined.

### Platelet aggregation tests

A myriad of methods have been developed for testing platelet aggregation over the last four decades. These tests are classified into the following categories: platelet aggregation tests by aggregometer; shear induced platelet aggregation; and platelet aggregation under flow. These tests are considered separately.

#### Tests for platelet aggregation with aggregometers

Gus Born originally developed a device to measure platelet aggregation based on changes in light transmission of platelet rich plasma (PRP)<sup>24</sup>. This method has been used in detecting reduced platelet aggregation in hereditary and acquired bleeding disorders, bleeding due to drug complication, evaluating new drugs and determining hyperactive platelets in patients with thrombosis. Born's aggregometer was initially developed for assessing platelet function using PRP. Subsequently, aggregometers were developed for assessing platelet function using whole blood<sup>25</sup>.

For measuring PRP platelet aggregation, blood is collected by a clean venipuncture in 1/10 volume of citrate (0.109–0.129 M sodium citrate). The PRP is obtained by centrifugation at 150–200 g for 10–15 minutes. The PRP is stirred at 37°C by a magnetic stirrer in an aggregometer with or without addition of an agonist. Light passing through the PRP suspension is measured by a photometer, over a 5–10 minutes period. Kinetics of aggregation as determined photometrically manifests multiple phases. Following the addition of an agonist, there is a change in the shape of platelets from discoid to spherical. This is reflected as a slight decrease in light transmission. This is followed by an increase in light transmission as aggregates are formed. This initial phase of platelet aggregation (primary wave) is reversible unless it is followed by secretion of contents from  $\alpha$  and dense granules, which induce an irreversible aggregation (secondary wave). The magnitude of aggregation is detected by measuring the maximum change in light transmission. Some workers have proposed to measure the rate of aggregation (the slope of the curve, i.e. tangent to the curve as the magnitude of aggregation)<sup>26</sup>. These two measurements correlate well<sup>27</sup>. For optimal platelet aggregation in the PRP, platelets come in close contact with one another by stirring. Platelet aggregation requires divalent cations and fibrinogen.

Citrated plasmas contain ~40  $\mu$ M calcium and plasma fibrinogen, which are adequate for the aggregation test.

#### Spontaneous platelet aggregation

PRP obtained from some individuals form platelet aggregates by stirring alone without stimulation by an agonist. This phenomenon is called spontaneous platelet aggregation (SPA)<sup>28</sup>. SPA was reported to be more frequent in patients with myocardial infarction and ischemic stroke<sup>29</sup>. A prospective study has shown that individuals with SPA have a higher risk of developing myocardial infarction<sup>30</sup>. SPA may represent extremely hyperactive platelets but the exact mechanism by which this occurs has not been elucidated.

#### Agonist-induced platelet aggregation

ADP, at low concentrations, 0.2–2  $\mu$ M, causes a biphasic platelet aggregation. The second phase of aggregation induced by low doses of ADP requires TXA<sub>2</sub> synthesis. Aspirin and other cyclooxygenase inhibitors abolish the secondary wave. However, ADP at high concentrations causes a merger of these two phases of aggregation into a single aggregation curve. Epinephrine induces biphasic platelet aggregation at 0.5–7  $\mu$ M concentration. It does not cause platelet shape change or marked primary aggregation. Thrombin is a potent aggregating agent. However, PRP is unsuitable for thrombin-induced platelet aggregation because thrombin induces blood clotting which alters light transmission. Collagen fibrils do not induce primary aggregation, but platelet adhesion to collagen particles induces secretion of endogenous proaggregatory substances. Collagen-induced platelet aggregation is thus secretion-dependent and very sensitive to aspirin. Arachidonic acid causes platelet aggregation which depends entirely on its transformation to TXA<sub>2</sub>. Arachidonic acid-induced platelet aggregation is absent in the presence of cyclooxygenase inhibitors.

Agonist-induced platelet aggregation has been extensively evaluated in patients with myocardial infarction and ischemic stroke<sup>31,32</sup>. In order to provide a more quantitative evaluation of platelet aggregability in thrombosis, a 'threshold' concentration end point has been used<sup>33</sup>. In this assay system platelet aggregation in response to an agonist is titrated using increasing concentrations of the agonist and the minimal concentration of the agonist that induces maximal aggregation is defined as the threshold concentration. Patients with arterial thrombosis have a lower threshold value of ADP, arachidonic acid and collagen-induced platelet aggregation. Taken together, regardless of the end points of aggregation used (threshold concentration, maximal aggregation with a standard con-

centration and rate of aggregation) the reported results are consistent with increased platelet aggregability in patients with arterial thrombosis. However, a causal relationship between platelet hyperaggregability and thrombotic events has not been established. The mechanism by which platelet hyperaggregability occurs is also unknown. As mentioned above, there is circumstantial evidence to support the notion that platelet hyperaggregability exists preceding thrombotic events, which may be due to combined genetic and environmental factors.

#### Ristocetin-induced platelet agglutination

The interaction between vWF and Gp Ib-IX-V complex in vivo following shear stress induces activation of platelets<sup>2,3</sup>. In vitro, the binding of soluble vWF to the GP Ib-IX-V complex under static conditions is artificially induced by modulators such as ristocetin. Ristocetin, a vancomycin-like antibiotic from *Nocardia lurida*, binds to the proline-rich sequence of vWF, altering vWF conformation to facilitate its binding to Gp Ib-IX-V complex. This interaction which does not require divalent cations is called platelet agglutination rather than aggregation. Ristocetin-induced platelet agglutination is defective in von Willebrand disease and in Bernard-Soulier syndrome<sup>34</sup>. In type IIB and pseudo von Willebrand disease there is heightened response to ristocetin<sup>35</sup>. There is limited information regarding changes in ristocetin-induced platelet agglutination in arterial thrombosis.

Platelet aggregometry has several limitations that preclude its routine use in assessing risk of arterial thrombosis. It requires high technical competency and needs standardization in each laboratory at every step of the test, including blood collection, centrifugation speed for the preparation of PRP, adjusting the platelet count and selection of agonist concentration. Furthermore, blood samples need to be processed and tested immediately after blood collection. Furthermore, the test has considerable day-to-day and diurnal variations. However, under stringent conditions, it is a valuable test for determining genetic influence of platelet hyperactivity.

#### Whole blood platelet aggregation

The whole blood aggregometer eliminates the variabilities associated with preparation of PRP and can be performed in lipemic blood<sup>25,36</sup>. This test is based on measuring the electrical current passed through whole blood where two electrodes are immersed. When the current is passed, the electrodes are immediately coated with a single layer of platelets. The build-up of platelet aggregates impedes the current flow. If no agonist is added there is only a basal accumulation of platelets on the electrodes and the conductance

between the electrodes is constant. Following addition of an aggregating agent, platelet aggregates form on the layer of platelets adhered to the electrodes and impair the conduction. This agonist-induced increase in impedance is displayed as a function of time on the recorder.

Several studies have compared platelet aggregation in whole blood to that in PRP and have reported similar results. The value of whole blood aggregometer in assessing platelet function in arterial thrombosis has not been extensively evaluated<sup>37</sup>.

#### Rapid platelet function assay

A new platelet function testing system also using whole blood, the so-called 'rapid platelet function assay' (RPFA), has been developed commercially to measure the degree of platelet GPIIb-IIIa blockade<sup>38</sup>. It is an automated turbidometric bead agglutination assay in whole blood, based on the ability of activated platelets to bind fibrinogen. Fibrinogen coated microparticles are mixed with whole blood and the extent of microparticle agglutination is proportional to the quantity of unblocked GPIIb-IIIa on platelets. As activated platelets bind and agglutinate fibrinogen-coated beads, there is an increase in light transmittance. The RPFA device consists of a cartridge and a microprocessor with a digital readout. Results of this assay system correlate very well with the platelet aggregation test performed in PRP with an aggregometer.

#### Measurement of shear-induced platelet aggregation

Platelet aggregation in response to high shear stress plays a critical role in initiating platelet aggregation at sites of atherosclerotic narrowing of the arteries. High shear stress induces changes in Gp Ib-IX-V complex allowing it to bind vWF multimers and this association accentuates intracellular signalling and GPIIb-IIIa activation. ADP released from platelets and red blood cells is also necessary for shear-induced platelet aggregation<sup>7-9,39</sup>.

Shear-induced aggregation in vitro is tested in a rotational viscometer. The cone-and-plate viscometer is the most commonly used rotational viscometer. It generates a constant and uniform shear rate throughout the liquid in between the cone and plate. The shear rate is proportional to the rotations per minute and inversely proportional to the gap angle between the cone and plate. Shear-induced aggregation is quantified by measuring the disappearance of single platelets by electronic counting or more recently by flow cytometry. Several modifications have been made in the instrument to allow real-time optimal measurement of platelet aggregation or calcium flux. Shear-induced aggregation can be performed in whole blood or PRP anticoagulated with heparin or citrate.

Shear-induced aggregation is enhanced in myocardial infarction<sup>40</sup>. Shear-induced platelet aggregation is also enhanced in patients with acute stroke and increased aggregation persists 3 months after an acute episode<sup>41</sup>. These results suggest that platelets are more reactive to shear stress in certain subjects which increases their risk of cerebrovascular thrombosis. Prospective studies are needed to determine whether increased shear-induced aggregation is an independent risk factor of arterial thrombosis.

### Evaluation of platelet functions under flow

To assess the effect of rheological parameters on the sequence of events leading to formation of mural thrombus resembling physiological conditions, several approaches have been developed<sup>42</sup>. These approaches are based on a similar principle in which platelets were perfused through an appropriate surface under a defined shear rate in a flow chamber in which laminar flow, mimicking *in vivo* flow conditions is generated. The flow chamber is an annular or parallel plate. The interaction of platelets with the surface components is determined by morphological techniques. Platelets that adhere to the surface are called contact or spread platelets based on their morphological appearance. The subsequent platelet–platelet interaction with the eventual formation of detectable platelet aggregates is called mural platelet thrombus. Several test surfaces including the subendothelium of vessel fragments, cultured vascular cells, artificial surfaces coated with various adhesive molecules (e.g. collagen) have been studied in systems using native anticoagulated blood, citrated PRP or reconstituted blood. In recent years, investigators have combined parallel flow perfusion chambers with computerized epifluorescence microscopy<sup>43</sup>. These techniques, allowing three-dimensional reconstruction of mural thrombus formation in real time have provided valuable information on the role of individual components of platelet activation. Unfortunately, in assessing thrombus formation, these techniques are still within the realm of research laboratories and not suitable for clinical assessment.

### Measurements of soluble platelet activation markers

#### $\beta$ -thromboglobulin and platelet factor 4

Platelet activation by myriad physiological and pathological stimuli leads to a rapid cytoskeletal contraction, widening of the open canalicular system with eventual secretion of substances stored in  $\alpha$  granules, dense bodies and other intracellular granules to the extracellular milieu. These released molecules, such as ADP, play an important role in platelet aggregation and platelet interaction with leuko-

cytes. The released molecules may also serve as a marker of platelet activation *in vivo* because of sensitive assays available for accurately measuring their levels in blood and other body fluids and, more importantly, because of their platelet specificity.  $\beta$ -thromboglobulin ( $\beta$ TG) and platelet factor 4 (PF4) are two such molecules whose utility as a marker of platelet activation in human thrombotic disorders has been extensively investigated<sup>44</sup>.  $\beta$ TG and PF4 are synthesized in megakaryocytes and stored in  $\alpha$ -granules of mature platelets. Since they are platelet specific and are secreted into extracellular milieu only after platelet activation, their plasma levels are considered to reflect the extent of platelet activation *in vivo*. This concept was initially supported by experimental results from *in vivo* studies<sup>45</sup>. Subsequently, numerous studies show that their levels are elevated in patients with arterial thrombotic diseases such as acute myocardial infarction and thrombotic stroke<sup>46</sup>. However, comparison of plasma  $\beta$ TG or PF4 levels among these studies reveals a large variability in healthy control subjects as well as in patients<sup>47</sup>. It was recognized that a major source of variability is originated from traumatic venipuncture which causes platelet activation and release of  $\beta$ TG and PF4. This source of artificial  $\beta$ TG and PF4 values has been substantially reduced after the introduction of less traumatic venipuncture systems in conjunction with the use of combination anticoagulants consisting of potent antiplatelet and antithrombin reagents<sup>47</sup>. PF4 binds to glycosaminoglycan on endothelial cell surface and has a short half-life in circulating blood. It is less reliable than  $\beta$ TG as a marker of platelet activation.  $\beta$ TG is excreted by kidneys and measurement of urinary  $\beta$ TG levels is considered a reliable test, which is not subject to *in vitro* platelet activation during venipuncture and blood processing. Plasma  $\beta$ TG levels are elevated in patients with acute myocardial infarction and thrombotic stroke and it has been reported to be associated with subclinical carotid atherosclerosis<sup>48,49</sup>. The value of  $\beta$ TG levels in predicting coronary heart disease and cerebrovascular disease has not been established by prospective studies.

#### Urinary TXA<sub>2</sub> metabolites

Platelet activation is accompanied by synthesis of TXA<sub>2</sub>, which is released at sites of platelet activation. TXA<sub>2</sub> has a very short half-life and is rapidly converted to its stable inactive metabolite TXB<sub>2</sub>. TXB<sub>2</sub> is further metabolized to 2,3-dinor-thromboxane B<sub>2</sub> and 11-dehydrothromboxane B<sub>2</sub> and excreted in the urine<sup>50</sup>. The concentration of 11-dehydrothromboxane B<sub>2</sub> in plasma is too low to measure reliably. However, urine 11-dehydrothromboxane B<sub>2</sub> has been determined by radioimmunoassay or enzyme immunoassay. Measurement of 2,3 dinor thromboxane B<sub>2</sub> is also

useful, but requires gas chromatography combined with mass spectrometry, which limits its widespread use. 11-dehydrothromboxane B<sub>2</sub> in urine has been shown to be a useful marker of platelet activation and correlates well with  $\beta$ TG levels<sup>51</sup>. However, it should be noted that TXA<sub>2</sub> is also synthesized by activated monocytes and therefore may not be a monospecific marker of platelet activation<sup>52</sup>.

#### Soluble P-selectin

P-selectin is localized to  $\alpha$ -granule of platelets and Weibel-Palade body of endothelial cells<sup>53</sup>. A soluble form of P-selectin (sP-selectin) is detected in plasma of healthy subjects, which is thought to originate from an alternatively spliced form of P-selectin<sup>54</sup>. Platelet activation leads to secretion of P-selectin to platelet outer surface and the membrane P-selectin is cleaved by proteolytic enzyme to form sP-selectin<sup>54</sup>. Detection of membrane P-selectin by flow cytometry is accepted as a sensitive method for detecting platelet activation. This will be described in more detail below. Plasma sP-selectin in plasma is also considered as a marker of platelet activation<sup>55,56</sup>. However, it is not specific for platelets. It can also be shed from activated endothelial cells.

#### Glycocalicin

Glycocalicin is a carbohydrate-rich amino-terminal proteolytic fragment of GpIb. It is cleaved during platelet activation or during destruction by calpains and circulates in the plasma<sup>57</sup>. Glycocalicin levels in plasma are dependent on platelet count and platelet turnover. Plasma glycocalicin was measured with an enzyme-immunoassay. The level is reported to be elevated in conditions associated with platelet destruction. Low glycocalicin levels are seen in patients with aplastic anemia or amegakaryocytic thrombocytopenia<sup>58</sup>.

#### Flow cytometry-based platelet function tests

The flow cytometer is being increasingly used to detect activated platelets. It has several advantages<sup>59,60</sup>. It can be used to detect platelets because of the smaller size of platelets than red blood cells and white blood cells. It can be performed in very small volumes of blood. Furthermore, availability of a large number of fluorescent antibodies, ligands and probes to assess expression of neoantigens, ligand binding and the calcium fluxes has rendered flow cytometry to be very versatile for detecting different platelet markers. Another advantage is that platelets can be analysed in their native plasma milieu and do not require isolation. The flow cytometry can be used to evaluate platelet function in severe thrombocytopenic patients.

Furthermore, platelets can be fixed before or after antibody labelling. Flow cytometry has also the capacity for detecting circulating activated platelets and leukocyte-platelet aggregates, as well as to enumerate platelet-derived microvesicles<sup>60</sup>. As in the measurement of soluble markers, utmost care is necessary to draw blood with a clean venipuncture and samples should be processed immediately to avoid activation in vitro.

#### Detection of circulating activated platelets

Platelet activation results in the appearance of new markers on the platelet surface. These markers include changes in the conformation of GPIIb-IIIa detectable by monoclonal antibodies (e.g. PAC1 epitope), changes in GPIIb-IIIa induced by ligands (e.g. LIBS1 and LIBS6) or GPIIb-IIIa-induced conformational changes in fibrinogen (e.g. 9F9, F26)<sup>61-65</sup>. In addition, activated platelet surface acquires additional membrane markers from the intra-platelet granules. These markers include P-selectin, thrombospondin, multimerin (from alpha granules), CD 63 and LAMP-1 (from lysosome)<sup>66-70</sup>. Furthermore, the exposure of anionic phospholipid due to transbilayer movement can also be used as a marker for platelet activation<sup>71</sup>. The anionic phospholipid can be detected as annexin V positive platelets. Of these markers, GPIIb-IIIa detected by PAC1 antibodies and CD62 (P-selectin) have been widely used in clinical studies to detect activated platelets in the circulation. These studies have shown the presence of circulating activated platelets in coronary artery disease<sup>72</sup> and following coronary angioplasty<sup>73</sup>. The presence of activated platelets in the circulation may predict subsequent occurrence of ischemic events<sup>74</sup>.

#### Detection of platelet-derived microvesicles

Externalization of anionic phospholipid during platelet activation is accompanied by shedding of phosphatidylserine-rich microvesicles<sup>75</sup>. In the vesiculation process, small areas of the surface membrane are shed in a true budding process. By freeze fracture electron microscopy, these microparticles have been shown to possess bilayer structure. These vesicles are enriched in platelet procoagulant activity and contain platelet membrane GP Ib, IIb, IIIa and IV as well as membrane-bound factor Va<sup>76,77</sup>. These microvesicles possess procoagulant activity as intact platelets and may play a physiological role in providing a catalytic surface for optimal thrombin formation. Shear stress is also a potent stimulus for microvesiculation<sup>78,79</sup>. Platelet-derived microvesicles can be detected by flow cytometry by gating the GPIIb-IIIa positive particles and measuring the annexin V positivity<sup>70</sup>. Increased microvesicles have been detected in circulation in patients with

disseminated intravascular coagulation<sup>80</sup>, heparin-induced thrombocytopenia<sup>81</sup> and 'antiphospholipid antibody syndrome'<sup>82</sup>, transient ischemic attacks<sup>83</sup>, and thrombotic thrombocytopenic purpura<sup>84</sup>. These associations suggest that, while they may be necessary for normal hemostasis, elevated microvesicle concentrations could predispose to thrombosis.

#### Detection of circulating platelet aggregates

Circulating platelet aggregates can be measured by flow cytometry. The existence of circulating platelet aggregate (CPA) was first proposed by Wu and Hoak<sup>85</sup>. They developed a formalin fixing method for measuring CPA in patients with myocardial infarction and stroke<sup>86</sup>. This method was widely used in the 1970s by many laboratories worldwide. However, the method is semiquantitative. Recent measurements of CPA by flow cytometry have confirmed the presence of CPA in patients with arterial thrombosis<sup>87</sup>. Flow cytometry for CPA provides a quantitative measurement of the number of aggregates as well as the size of aggregates. On the flow cytometer, the aggregates appear as a smearing of the platelets in the upper right quadrants<sup>88</sup>. Activated platelets also interact with neutrophils and monocytes to form heterotypic aggregates. Using platelet-specific and leukocyte-specific monoclonal antibodies these aggregates can be detected in the peripheral blood. These aggregates are increased in myocardial infarction<sup>89</sup>, unstable angina<sup>90</sup>, coronary artery disease<sup>91</sup> and cardiopulmonary by pass<sup>92</sup>. It will be interesting to determine by prospective studies whether CPA, a reflection of SPA, is a risk factor of CHD and stroke.

#### Measurement of platelet procoagulant activity

As discussed earlier, during secondary hemostasis, activated platelets provide a highly efficient procoagulant surface<sup>93</sup>. Anionic phospholipids translocate from the inner leaflet of the platelet membrane to the outer leaflet and there support the binding and activation of vitamin K-dependent coagulation proteins: factors VII, IX, and X and prothrombin (see Ref. 5 for a general review of the coagulation pathways). In addition, factor V which is present in the  $\alpha$ -granules of the platelets, is activated and the resulting factor Va, provides a binding site for factor Xa<sup>94</sup>. The complex of factor Xa–Va assembled on the anionic phospholipid surface of platelets is called the prothrombinase complex. Zwaal et al. have measured this procoagulant activity, i.e. the capacity to catalyze prothrombin or factor X activation in a quantitative manner in kinetic assays<sup>95</sup>. However, this assay is technically demanding and the product of the reaction, thrombin, is a potent platelet acti-

vator<sup>96</sup>. As platelets become activated during the assay, the thrombin generation rate increases, and measurement of the initial rate of thrombin generation is often unreliable. Jesty et al. have used acetylated prothrombin in a modified assay<sup>97</sup>. This modified prothrombin is activated by prothrombinase, but is generated as an abnormal thrombin that does not activate platelets but still retains amidolytic activity towards small synthetic substrates. This modification allows quantitative measurement of platelet procoagulant activity without the feedback effect of thrombin. The clinical utility of this assay has not been studied.

#### Test of platelet gene polymorphism

There is increasing evidence that platelet function is influenced by polymorphisms of platelet membrane glycoproteins notably GPIIb–IIIa, GPIb and GPIaIIa<sup>98</sup>. The data so far reported represent the tip of the iceberg. The rapid progresses in genomic polymorphism determinations provide an unheralded opportunity to investigate the influence of genome-wide polymorphisms of functionally important genes on platelet function and platelet function tests. Since DNA polymorphism techniques are not subjected to in vitro variations encountered with almost all in vitro platelet function tests, genetic testing should provide valuable information regarding interindividual variation in platelet function. The genetic testing will be potentially useful in assessing risk of arterial thrombosis and response to antiplatelet drugs.

A commonly used method for detecting single nucleotide polymorphism (SNP) is amplification of the region of polymorphism by polymerase chain reaction and digest the amplified DNA with an appropriate restriction enzyme. SNP may create a new restriction site or obliterate an existing restriction site in the amplified region. In both cases, restriction enzyme digestion will yield a single DNA vs. two bands on gel electrophoresis. Under certain circumstances under which polymorphism does not create or obliterate a restriction site, the SNP is detected by single strand conformation polymorphism (SSCP). Platelet glycoproteins are highly polymorphic and several of the polymorphisms have been identified as platelet alloantigens<sup>99</sup>. One of the alloantigens, P1A1 and P1A2 (or HPA-1) is identified as a SNP of GPIIIa (Leu33Pro)<sup>100</sup>. This polymorphism was shown in a non-prospective study to be associated with myocardial infarction especially in younger individuals (<60 years old)<sup>101</sup>. However, prospective studies did not find an association of this polymorphism with coronary heart disease<sup>102,103</sup>. Polymorphisms in other alloantigens, i.e. HPA-2 (GP1b Met 145 Thr), HPA-3 (GPIIIa Ile 843 Ser) and HPA-5 (GPIa, Glu505Lys) were not found to be asso-



ciated with an increased risk of stroke<sup>104</sup>. Two linked allelic SNP of GPIa (nucleotide 807 C→T, 873 G→A) were found to influence the expression of GPIa integrin. Individuals with C807G873 allele have lower levels of GPIaIIa<sup>105</sup>. The T807 allele was found to be associated with non-fatal myocardial infarction in younger patients<sup>106</sup>. However, it is unclear that this polymorphism predicts the risk of development of myocardial infarction in healthy subjects. Variable numbers tandem repeat (VNTR) polymorphism of GPIIb $\alpha$  gene<sup>107</sup> has been shown to be associated with arterial thrombotic disease<sup>108</sup> but the predictive value remains unknown. Overall, single SNP or VNTR polymorphism of GPIIb–IIIa, GPIb–V–IX and GPIaIIa appears to have limited association, if any, with coronary heart disease or stroke. It has become increasingly recognized that the biological effect of a single polymorphism of a given gene is small. It may be necessary to study the association of multiple polymorphisms with arterial thrombosis. This can be done by determining all known polymorphisms of candidate platelet glycoprotein genes in cases and controls and analysing the allelic association with thrombotic events. Alternatively, all the polymorphism sites of a given gene are identified by oligonucleotide microarray techniques in well-defined cases and controls and the association of alleles with risk of coronary heart disease and stroke analysed. These approaches are now being developed and tested but their value in assessing risk of arterial thrombosis or drug response has not been reported.

## Conclusion

Availability of new and more specific methods for measuring platelet aggregability and activated platelets provides an opportunity to investigate the relationship of altered platelet function with human thrombotic and bleeding disorders. Now there are methods available for providing answers to a question that has intrigued investigators for decades: do hyperreactive platelets predispose individuals to arterial and venous thrombosis? Tests such as flow cytometry for detecting activated platelets and circulating platelet aggregates, enzyme immunoassays for measuring plasma  $\beta$ TG, and urinary 11-dehydrothromboxane B<sub>2</sub> and genetic tests for platelet gene polymorphism will provide reliable information when implemented in well-designed case-control and population-based case-cohort studies. These tests will also provide useful information regarding the influence of genetic and environmental factors on risk of thrombosis. Another potential utility of these tests is to monitor the effect (and side effects) of antiplatelet agents.

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# Monitoring antiplatelet therapy

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## Introduction

The introduction of glycoprotein (GP) IIb/IIIa antagonists as new and powerful antiplatelet agents has focused attention on the need for methods to monitor antiplatelet therapy. Administration of GPIIb/IIIa antagonists is complicated as they have a very steep dose–response relationship. Moreover, their therapeutic index is low, with the necessity for strong inhibition to see benefit but bleeding complications arising if inhibition is too severe. The traditional method of monitoring by platelet aggregometry has serious limitations and is being replaced by new, faster, more convenient and more sensitive assays.

## Platelet function assays

Platelet assays are divided into two groups: physiological assays, which measure the ability of an antiplatelet agent to inhibit a known function of platelets or a biochemical assay, which measures a change in a surrogate platelet marker.

### Physiological assays

A major physiological response of platelets to an agonist is to bind fibrinogen and aggregate. Alternatively, platelets can adhere to a matrix without prior activation. Physiological assays monitor platelet aggregation or adhesion. These assays require fresh platelets, specialist equipment and trained staff. While these assays are potentially useful as they provide information on any alteration in the physiological function of platelets, they may not detect more subtle changes indicative of altered platelet function.

### Biochemical assays

Surrogate markers of platelet function may also be useful. These include assays that measure changes in a receptor upon binding a drug, assays that measure enzyme function or assays that measure changes in surface proteins upon activation. These assays are sensitive and can detect changes in platelets in the absence of an alteration in platelet aggregation. However, changes in these platelet assays are not necessarily reflected in changes of platelet function.

Some of the biochemical assays utilize flow cytometry to estimate the quantity of antigen per platelet. One advantage of flow cytometry is that individual platelets can be examined. On the other hand, quantification of the antigen is difficult. However, antigen quantification can now be performed using special calibration kits.

### Agonists

The selection of platelet agonist needs to be matched to the drug under study. Agonists generate a response through two signalling systems. One is phospholipase A<sub>2</sub>-dependent and utilizes cyclooxygenase and thromboxane synthase to produce the agonist thromboxane A<sub>2</sub> (see Chapter 16). The other is phospholipase C-dependent and leads to the activation of protein kinase C (see Chapter 16). Agonists can act via one or other pathway, and some agonists act via phospholipase A<sub>2</sub> at low concentration and phospholipase C at high concentration. Hence the term weak agonist for those using phospholipase A<sub>2</sub> only and strong agonists for those using phospholipase C with or without phospholipase A<sub>2</sub> activity<sup>1</sup>.

### Thrombin

Thrombin is a strong platelet agonist (see Chapter 8) and can generate a response when no other agonist can. Thrombin studies in plasma require an anticoagulant as it causes coagulation or the use of washed or gel-filtered platelets. The thrombin response occurs through two different mechanisms. In gel-filtered platelets, aggregation occurs with concentrations of 0.01–0.05 U/ml thrombin and is inhibited by anti-GPIb antibodies<sup>2</sup>. At concentrations above 0.2 U/ml, anti-GPIb antibodies have no effect and the response is dependent on the protease activated receptors 1 and 4<sup>3</sup>. In platelet-rich plasma higher concentrations of thrombin are needed due to neutralization of thrombin by antithrombin III. Here 0.2–0.4 U/ml is the lowest concentration that will induce platelet aggregation.

### TRAP

As an alternative to thrombin, a thrombin receptor activating peptide (TRAP) can be used. This is the peptide exposed by thrombin on the amino-terminal of the PAR-1 receptor. TRAP is synthesized as the hexapeptide SFLLRN and is used in the range 5–20  $\mu$ M. This mimics the action of thrombin on the protease activated receptor-1 without the problems of coagulation<sup>3</sup>.

### ADP

ADP (see Chapter 9) is normally used at two concentrations. At 3–5  $\mu$ M ADP-induced aggregation is strongly inhibited by aspirin while at 20  $\mu$ M it is unaffected. However, platelets lose their responsiveness to ADP after a few hours<sup>4</sup>. Platelet sensitivity can be prolonged by storing PRP at room temperature in a tube with minimum air space.

### Collagen

There are two collagen receptors on platelets (see Chapter 11), the integrin  $\alpha_2\beta_1$  and GPVI.  $\alpha_2\beta_1$  signals via phospholipase A<sub>2</sub> and is inhibited by aspirin, while GPVI signals through phospholipase C and is unaffected by aspirin<sup>5</sup>. There are a number of different collagens with different affinities for the two receptors, thus, the effect of aspirin on collagen-induced aggregation depends on the source of collagen and the concentration used. If it is to be used, collagen from different suppliers should be tested for sensitivity.

### Arachidonic acid

The action of phospholipase A<sub>2</sub> is to cleave arachidonic acid from the cell membrane and make it available as a substrate for COX (see Chapters 15 and 25). Thus, arachidonic acid is a useful agonist for studying the integrity of the cyclooxygenase pathway. Arachidonic acid can be obtained in the acid form soluble in organic solvents or as sodium arachidonate, which is water soluble.

### U46619

U46619 is a thromboxane receptor agonist<sup>6</sup>. It is useful for selectively activating the thromboxane receptor independently of thromboxane synthesis. It can be used to detect inhibition by thromboxane receptor antagonists<sup>7</sup> and is not affected by aspirin.

### Adrenaline

There are large variations in response to adrenaline and some people do not respond at all or need very high doses<sup>8,9</sup>. There can also be a lag in the response to adrenaline of up to several minutes. Failure to obtain a response to adrenaline could be due to the presence of an antiplatelet agent or just insensitivity to adrenaline.

### Ristocetin

Ristocetin is an antibiotic that binds to vonWillebrand factor (vWf) allowing it to bind to GPIb (see Chapter 12). When paraformaldehyde-fixed platelets are used the response is agglutination rather than aggregation<sup>10,11</sup>, is independent of GPIIb/IIIa and can be used as a measure of GPIb function.

### Botrocetin

Botrocetin is a snake venom and another vWf modulator that can be used in agglutination studies<sup>11,12</sup> but its expense means that it is usually only used as a research tool.

### Antiplatelet agents

Antiplatelet agents have different modes of action and knowledge of these is necessary to monitor therapy.

### Inhibitors of platelet agonist receptors

While platelets can be activated by many agonists, ADP receptors appear to be especially important probably due to the role of secreted ADP in amplifying the aggregation response (see Chapter 9). ADP receptor antagonists such as ticlopidine and clopidogrel<sup>13</sup> inhibit ADP-induced aggregation but not thrombin-induced aggregation (see Chapter 64). Thromboxane receptor antagonists on the other hand can inhibit arachidonic acid-induced aggregation but not aggregation due to high concentrations of ADP or thrombin<sup>14</sup>.

### Platelet signalling inhibitors

Platelet agonist receptors use two principle signalling pathways. Pharmacologically the most relevant is the phospholipase A<sub>2</sub> pathway, which generates thromboxane A<sub>2</sub> via cyclooxygenase from arachidonic acid (see Chapter 15). This pathway is inhibited by aspirin (see Chapter 61). Agonists such as collagen and thrombin use the phospholipase C pathway to signal and are not affected by aspirin<sup>1</sup>. Samples from patients on aspirin will not aggregate to arachidonic acid but will aggregate to high concentrations of thrombin, collagen and ADP.

### GPIIb/IIIa receptor antagonists

Fibrinogen binding to its receptor, GPIIb/IIIa, is an essential step in platelet aggregation (see Chapter 13 and Chapter 63). Inhibitors of this receptor inhibit aggregation induced by all agonists<sup>15</sup>. However, they exhibit greater inhibition of a weak agonist like ADP compared with a strong agonist such as TRAP<sup>16</sup>.

### GPIb receptor antagonists

The interaction of GPIb with immobilized vWf under shear is one of the primary initiating steps in thrombus formation (see Chapter 12). There is on-going research to produce antagonists for this receptor but to date none have been developed clinically.

### Agents which elevate cAMP

cAMP acts to inhibit platelet aggregation by all agonists<sup>17</sup>. This can be achieved by the use of prostacyclin analogues or phosphodiesterase inhibitors (see Chapter 20). Another antiplatelet agent that is used is dipyridamole. Its proposed mechanism of action is inhibition of adenosine uptake as well as phosphodiesterase activity<sup>18</sup>. Increased plasma

levels of adenosine also results in increased levels of intracellular cAMP<sup>19</sup>.

### Platelet aggregation

The gold standard for monitoring antiplatelet therapy is aggregometry which is based on a turbidometric technique devised by Born in 1962<sup>20</sup>. Platelet aggregometry utilises the principle that the absorbance of a suspension is dependent on the number of particles rather than on their size. Thus, as aggregation occurs the number of particles decrease and absorbance decreases and is usually expressed as light transmission. Rather than use whole blood, platelet-rich plasma (PRP) is prepared by centrifugation of blood at a low speed (850 x g for 3 min or 100 x g for 10 min). This PRP is added to a cuvette with a stirrer and stirred (900–1200rpm) while agonist is added.

An issue with aggregation is what to measure. There is the option of measuring percent aggregation or the slope of the aggregation curve. There is also the question of whether to measure the extent of aggregation at a fixed time-point (e.g. 2 min) or maximum aggregation. These can be different as some agonists induce reversible aggregation (see Chapter 23). The advantage of using the slope rather than extent of aggregation is that it is independent of the time of measurement and of reversible aggregation.

Some agonists produce aggregation only after a lag time. This is noticeable with adrenaline and collagen. In the case of bacteria-induced aggregation the lag time can be more pronounced, 5 min with some strains of *Streptococcus sanguis*<sup>21</sup> and 15 min with others<sup>22</sup>.

### Thrombocytopenia

Aggregation may be influenced by the platelet count. Aggregometry requires platelet counts between 200 000 and 400 000 platelets/ $\mu$ l. Some researchers adjust the platelet count to ensure it is within these levels for the sake of uniformity<sup>23</sup>. There is evidence that platelet count may affect the degree of inhibition by GPIIb/IIIa antagonists<sup>23</sup>. Diluting a sample with a high platelet concentration is relatively simple although it requires a particle counter, which can be difficult to calibrate and maintain. It also assumes that all particles the size of platelets are in fact platelets which is not necessarily the case as particles such as cell fragments may have a similar size. Concentrating a dilute platelet sample is difficult without activating the sample.

Drug-induced thrombocytopenia can occur in response to antiplatelet agents (see section 3 chpt 6) and is a known complication with GPIIb/IIIa antagonists<sup>24</sup>. Patients on

GPIIb/IIIa antagonists are often on heparin and either of these agents can cause thrombocytopenia (see section 3 chpt 6). If the platelet count is low it can be difficult to perform aggregation, however, platelet aggregometers will still give a response with counts as low as 50000 platelets/ $\mu$ l although this response will be attenuated. An alternative is to use the single platelet count method or flow cytometry, which are not affected by platelet count.

### Anticoagulant

There are many anticoagulants available today but the standard anticoagulant for platelet aggregometry is 3.8% sodium citrate. There are mixed reports on using anti-thrombins such as heparin, low molecular weight heparin, hirudin and PPACK as anticoagulants for aggregometry. Some authors suggest that they are suitable for aggregometry<sup>25</sup> while others have shown that some agonists are affected by their use<sup>26</sup>. Although blood for aggregation is often collected in sodium citrate, some GPIIb/IIIa antagonists show different potencies in citrate and heparin anticoagulated blood<sup>27,28</sup>. This appears to be due to chelation of calcium from GPIIb/IIIa and the resulting conformational change increases the affinity for eptifibatide. If physiological calcium levels are required for aggregation requiring the use of an antithrombin as anticoagulant it is important to choose the appropriate agonist, ideally high dose ADP, collagen or TRAP. The alternative is to re-calcify citrated PRP immediately prior to aggregation. This works well as aggregation occurs more rapidly than coagulation<sup>26</sup>.

### Reliability

There is a large variation in aggregation responses. In one study the standard deviation ranged from 3.6% to 7.7%, day-to-day variation accounted for 42% to 63% and variation between operators accounted for 1% to 33% of the total variation. Repeat measurements of a given sample by a given operator on a single day contributed 22% to 36% of the total variation<sup>29</sup>. These variations can be due to sample preparation, dietary factors including high levels of lipids in the sample, smoking, drinking and ageing of the sample.

### Selectivity

A difficulty with platelet aggregation is the problem of identifying the drugs involved. Patients on GPIIb/IIIa antagonists are usually on aspirin. Some may have two different GPIIb/IIIa antagonists in their plasma: abciximab for use during intervention and non-peptide antagonist such as tirofiban for maintenance. Aggregation cannot distinguish between the different agents, only the total effect. However, use of a strong agonist like TRAP can select for

actions due to a GPIIb/IIIa antagonist rather than those due to aspirin.

## Platelet count

A platelet aggregometer measures the changes in light transmission when a suspension of platelets aggregate. However, its sensitivity is such that only aggregates of around eight platelets are detected. Using a particle counter to determine the platelet count before and after activation, it is possible to measure the loss of single platelets with a very high sensitivity. The inhibition of microaggregate formation has been used to study the effects of GPIIb/IIIa antagonists<sup>28,30,31</sup> and beraprost (prostacyclin analogue) was found to be between two and ten times more potent than in platelet aggregometry<sup>32</sup>. This assay can be used on thrombocytopenic samples unlike any of the functional assays such as light transmittance aggregometry. One advantage of the assay is that it requires no specialist equipment other than a particle counter, which is available in most hematology departments. The principle has also been used for the development of the ICHOR point-of-care assay<sup>33</sup>.

## Platelet function analyser (PFA-100™)

Recently a number of simpler systems have been devised to replace platelet aggregometry. The first to be introduced is the Platelet Function Analyzer PFA-100™, from Dade International, Miami, Florida, USA. This consists of a membrane that is coated with collagen–adrenaline or collagen–ADP. Whole blood is aspirated through a hole pierced in the membrane. The time to closure, which is due to the formation of a platelet plug, is a measure of platelet function<sup>34</sup>.

This device has the advantage of using small volumes of whole blood directly applied to a cartridge. However, only cartridges with the supplied agonists can be used. Clinically it has been used to monitor GPIIb/IIIa antagonists<sup>35</sup> and aspirin<sup>36,37</sup>.

## Ultegra™ rapid platelet function analyser

This assay is based on TRAP-induced platelet aggregation. It consists of a cartridge containing blue beads coated with fibrinogen, a magnetic stirrer and TRAP. Whole blood is added to the cartridge and the resulting activated platelets bind to the coated beads forming aggregates. Aggregation



is detected by monitoring the loss of the blue beads by the turbidometric method using filters to analyze the blue portion of the spectrum<sup>38</sup>. This device is made by Accumetrics Corporation, San Diego, CA, USA, and received FDA approval in 1999.

The advantage of this assay is that it only uses a small volume of whole blood and requires no sample preparation. It is also a bedside device, which makes testing convenient. However, it is only used for measuring inhibition by GPIIb/IIIa antagonists and only uses TRAP as the agonist. It has been successfully used to monitor abciximab<sup>39,40</sup> and orbofiban<sup>41</sup> levels in blood. The Ultegra<sup>TM</sup> gives results similar to platelet aggregation but the IC<sub>50</sub> value tends to be lower.

### Whole blood aggregometry

As its name implies this technique monitors platelet aggregation in whole blood. The extent of aggregation is determined by measuring changes in impedance across two wires as platelet aggregates form on them. These devices are manufactured by Chrono-Log Corporation, Havertown, PA, USA. This technique has the advantage of being rapid as there is no sample preparation required and is potentially more relevant physiologically as all the components of the blood are present. It has been reported to be more sensitive than aggregometry and it has been used successfully to monitor the effect of GPIIb/IIIa antagonists<sup>42</sup>, aspirin<sup>43</sup>, dipyridamole<sup>44</sup> and pentoxifylline<sup>45</sup>.

A modification of this technique is whole blood lumi-aggregometry. This allows for monitoring aggregation by the impedance method and ATP-secretion simultaneously<sup>46</sup>. Secretion is measured by a chemiluminescent assay using luciferase.

### Flow cytometry

GPIIb/IIIa is capable of undergoing a number of conformational changes. Upon activation of the platelet by an agonist, GPIIb/IIIa changes from a resting to an active conformation. This new conformation can bind soluble fibrinogen. Fibrinogen binding to platelets can also be used to measure inhibition of GPIIb/IIIa. If PRP is activated and fixed the bound fibrinogen can be measured by flow cytometry. This has been used to monitor the effects of the GPIIb/IIIa antagonist YM337<sup>47</sup> and abciximab and orbofiban inhibition were measured using fluorescently labelled fibrinogen<sup>48</sup>. Another option is to utilise the conformational changes that fibrinogen undergoes when it binds to

GPIIb/IIIa. These conformational changes expose neo-epitopes known as receptor-induced binding sites (RIBS)<sup>49,50</sup>. The antibody 9F9 recognises this RIBS epitope on fibrinogen and can be used to measure fibrinogen binding in whole blood<sup>51</sup>.

Activated GPIIb/IIIa undergoes a conformational change which can be recognized by the antibody PAC-1<sup>52</sup>. Fluorescently labelled PAC-1 has been used in flow cytometry to monitor inhibition of platelet activation by aspirin and clopidogrel<sup>53</sup> and inhibition of GPIIb/IIIa by the antagonists abciximab and orbofiban<sup>48</sup>. As well as conformational changes induced in the receptor by activation, the binding of antagonists to the receptor also induce conformational changes known as ligand-regulated binding sites (LRBS). One such LRBS occurs when ligands bind to GPIIb/IIIa and induce a conformational change in the receptor that results in the expression of neo-epitopes. These neo-epitopes are referred to as ligand-induced binding sites (LIBS)<sup>54</sup> and can be measured with anti-LIBS antibodies such as D3<sup>55</sup> and AP6<sup>56</sup> by flow cytometry. LIBS formation is not an all or none response and the degree of neo-epitope formation varies with different antagonists. However, LIBS formation, when it occurs at a reasonable level, can be used to estimate the number of occupied receptors on a platelet<sup>57</sup> and, used in combination with an antibody that recognizes all GPIIb/IIIa receptors, data on the free receptors and percent receptor occupancy can be obtained<sup>58,59</sup>.

Another class of LRBS are epitopes whose expression is lost on ligand binding, i.e. ligand attenuated binding site (LABS). An antibody to a LABS has been used to develop the GPIIb/IIIa Receptor Occupancy assay from BioCytex, Marseille, France. This assay uses three antibodies: an isotype control and two anti-GPIIb/IIIa antibodies. One of these antibodies mAb2 recognises a drug-dependent LABS. A number of small molecule GPIIb/IIIa antagonists inhibit the binding of mAb2, although it is not inhibited by abciximab. The third antibody in the kit (mAb1) competes with abciximab and is not inhibited by small molecule antagonists. Together, these three antibodies can determine percent receptor occupancy<sup>60</sup>.

One problem with flow cytometry is the inability to measure absolute binding of antibody rather than relative fluorescence. This problem has been overcome with the Receptor Occupancy Assay through its use of calibration beads. These are 2 µm beads with a known number of antibody molecules bound (there are four populations of beads). A labelled second antibody is used to stain the samples and beads. As a result it is possible to relate fluorescence to antibody molecules bound. This is known as quantitative fluorescence cytometry. As the antibodies are

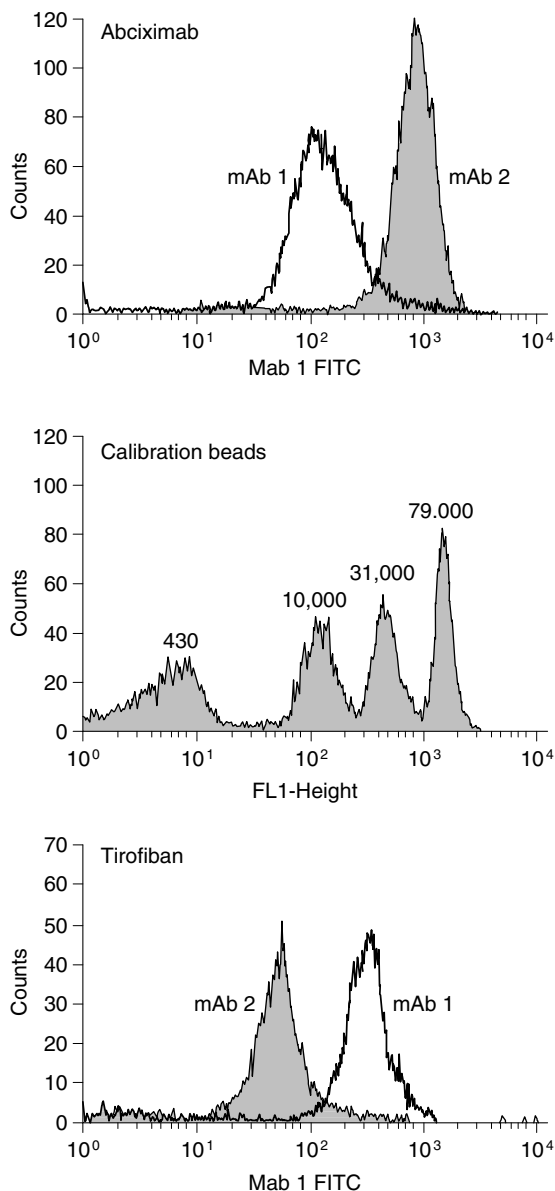


Fig. 31.1. Receptor occupancy assay. Binding of mAb 1 and mAb 2 to platelets from patients treated with abciximab or tirofiban. Also shown are the calibration beads showing four different populations of beads with different numbers of molecules bound.

differentially displaced by GPIIb/IIIa antagonists, mAb1 and mAb2 binding, after subtraction of the isotype binding, gives the number of free receptors and the number of occupied receptors. The ratio of the inhibited antibody to the uninhibited antibody (depending on the drug used) is the percentage inhibition (see Fig. 31.1).

The advantages of this assay are that it requires small amounts of whole blood (50µl) and does not require a

baseline sample to estimate percentage inhibition. In addition it gives the number of free receptors as well, which may be more relevant than percent inhibition. If blood is activated with an agonist such as TRAP prior to use in the assay it allows comparison of inhibition in resting and activated samples. The receptor occupancy assay has been used to measure GPIIb/IIIa receptor occupancy by xemilofiban<sup>61</sup>, orbofiban<sup>16</sup> and abciximab<sup>62</sup>.

## Thromboxane B<sub>2</sub>

Measurement of thromboxane B<sub>2</sub> is especially useful for monitoring inhibition by aspirin. Thromboxane B<sub>2</sub> production is a measure of cyclooxygenase activity and can easily be measured by RIA/ELISA<sup>63</sup>. Measuring serum thromboxane B<sub>2</sub> by ELISA has been used to study the effects of aspirin<sup>64</sup>, coated aspirin<sup>65</sup> and aspirin and nimesilide<sup>66</sup>.

As an alternative to measuring serum thromboxane levels, the urinary metabolites of thromboxane can also be measured, in particular 2,3-dinor-TXB<sub>2</sub> and 11-dehydro-TXB<sub>2</sub> can be measured by gas chromatograph/tandem mass spectrometry (GC/MS) or ELISA<sup>63,67</sup>. The advantage of this is that urine is used rather than blood, and that platelet activation is not required (with serum thromboxane assays there is maximum activation of platelets). The assay is also suitable for large studies but does require specialist equipment. GC/MS analysis of urinary TXB<sub>2</sub> metabolites has been used to study the effects of aspirin<sup>68</sup>, GPIIb/IIIa antagonists<sup>57</sup>, L-arginine<sup>69</sup>, nimesulide<sup>66</sup> and selective cyclooxygenase 2 inhibitors<sup>70</sup>. ELISA has been used to detect the actions of aspirin on urinary thromboxane B<sub>2</sub> levels<sup>71</sup>.

There have been reports on resistance to the effects of aspirin<sup>72</sup>; however, results from platelet aggregometry can be misleading. True aspirin resistance only occurs when the drug does not completely inhibit thromboxane formation. Failure to inhibit platelet aggregation can be due to the involvement of the phospholipase C pathway in response to the agonist rather than a failure of aspirin to inhibit cyclooxygenase. Thus, measurement of COX activity by measuring thromboxane B<sub>2</sub> production is the most reliable method for detecting aspirin resistance.

## cAMP and cGMP levels

Prostacyclin is a very potent inhibitor of platelet function and acts by elevating cAMP levels. Drugs which elevate cAMP such as the prostacyclin analogue beraprost and the phosphodiesterase inhibitor cilostazol can be monitored

by measuring cAMP levels in platelets using an ELISA<sup>73</sup>. Equally, drugs which elevate cGMP (NO-donors) also inhibit platelet function and their actions can be monitored by ELISA/RIA for cGMP<sup>74–76</sup>.

## Platelet adhesion

Aside from platelet aggregation, platelets also adhere to the extracellular matrix. Adhesion to a fibrinogen-coated surface occurs in an activation independent manner and involves GPIIb/IIIa. Inhibition of adhesion can be used to monitor the levels of GPIIb/IIIa antagonist. A number of adhesion systems have been described in the literature. They can be static<sup>77</sup> or under flow conditions<sup>78</sup>. Under static conditions adhesion is predominantly a GPIIb/IIIa mediated event<sup>77</sup> and gives an indication of the levels of drug present. However, the IC<sub>50</sub> values tend to be much higher than those obtained from platelet aggregometry. In some systems the shear stress can be regulated and increased to represent that found in arteries. This system depends largely on GPIb/IX/V rather than on GPIIb/IIIa<sup>79</sup>. The choice of substrate is also very important. However, there are no commercially available systems and those systems that are used require major investments in time and resources and are primarily research tools.

One system uses a chamber of known rheological properties containing a coverslip coated with ligand. Whole blood is pumped through this at different rates producing a range of different shear levels from those equivalent to venous conditions to those found in stenosed arteries. The coverslip is then removed, fixed, stained and the number of adherent platelets determined by microscopic examination<sup>80</sup>. Another shear system is the cone-and-plate viscometer<sup>81</sup>. The plate can be stained to allow the number of adherent particles and their sizes to be measured. Flow chambers can also be used in conjunction with real-time epifluorescence videomicroscopy<sup>82</sup>.

Platelet adhesion has been applied in a number of clinical studies including studies on aprosulate, a novel synthetic glycosaminoglycan<sup>83</sup>, the GPIIb/IIIa antagonist abciximab in combination with ticlopidine under shear<sup>84</sup>, the GPIIb/IIIa antagonist YM337 under static conditions<sup>47</sup> and the GPIIb/IIIa antagonist eptifibatide under static and shear conditions<sup>85</sup>.

## Template bleeding time

The template bleeding time is the only in vivo assay of platelet function. Bleeding time is measured using a device

that provides a standardized cut to the skin. The two principle methods are the Ivy and Simplate methods<sup>86</sup> and the bleeding time is the time taken for bleeding to stop (normally 8–12 min). It has been used to study the effects of the GPIIb/IIIa antagonist YM337<sup>47</sup>. At least 80% inhibition of platelet aggregation is necessary to cause a prolongation of bleeding time<sup>87</sup>. However, the effects of GPIIb/IIIa on bleeding time are not simply dependent on receptor occupancy but also on the nature of the antagonist. Some drugs have stronger effects on bleeding time than others relative to the levels of inhibition of platelet aggregation<sup>88</sup>. Other antiplatelet agents such as aspirin<sup>36</sup>, clopidogrel<sup>89</sup>, ticlopidine<sup>90</sup> and heparin<sup>91</sup> can also prolong the bleeding time.

The template bleeding time is not a sensitive assay, as high levels of inhibition are required to see an effect. However, as the principle adverse effect of anti-platelet agents is bleeding events, it is a good indicator of excessively high levels of inhibition. However, it is not popular with patients, as it is painful and can lead to scarring. The template bleeding time is also a poor predictor of bleeding events. In a clinical study with abciximab it was found that prolongation of the bleeding time was a poor predictor of bleeding events<sup>92</sup> and bleeding time was also shown to be a poor predictor of blood loss during surgery<sup>93</sup>. Thus, prolongation of bleeding time can indicate strong inhibition of platelet function but does not predict bleeding events.

## Drug plasma level assays

Another approach is to directly measure plasma drug levels using ELISA, HPLC or mass spectrometry. However, with GPIIb/IIIa antagonists that have a fast on-rate and a slow off-rate such as abciximab<sup>94</sup> most of the drug is platelet bound and very little is free in the plasma. A similar situation arises with aspirin, which is an irreversible inhibitor of platelet cyclooxygenase<sup>95</sup>, where the effects of the drug remain long after the drug is cleared.

## Platelets and coagulation

Activated platelets undergo the membrane flip-flop, which exposes phospholipids, primarily phosphatidylserine, on their surface which are essential for the coagulation cascade. As a result the prothrombinase (FXa: Fva) and tenase (FIXa: FXa) complexes form on the platelet surface<sup>96</sup>. GPIIb/IIIa antagonists inhibit this procoagulant activity<sup>97</sup> which has led to the development of the Hemostatus test, which selectively measures the activity of GPIIb/IIIa antagonists<sup>98</sup>.

Another coagulation-based assay is the Clot Signature Analyzer™ from Xylum Corporation, New York. This assay uses non-anticoagulated whole blood and measures the time taken for platelets to occlude channels which are either uncoated (platelet hemostasis time, PHT) or coated with collagen (collagen-induced thrombus formation, CITF)<sup>99</sup>. Clinical studies report that the PHT is not affected by aspirin unlike the conventional bleeding time. The CITF however is more sensitive than collagen-induced aggregation but is considered overall to be unreliable<sup>100</sup>.

The Sonoclot analyser™ from Sienco Inc., Morrison, Colorado, which measures plasma viscosity shows reasonable correlation with collagen-induced whole blood aggregation<sup>101</sup> although it was found to have a large coefficient of variance<sup>102</sup>.

Clot retraction is mediated by a GPIIb/IIIa–fibrin interaction and involves platelet pseudopod contraction and is inhibited by GPIIb/IIIa antagonists<sup>103</sup>. Thromboelastography measures clot strength and is primarily dependent on fibrinogen and platelets. Tissue factor thromboelastography has been used to monitor levels of GPIIb/IIIa antagonists<sup>104</sup>. The Hemodyne™ (Hemodyne, Inc, Midlothian, VA) analyser which measures platelet-induced clot retraction<sup>105</sup> and a modified thrombelastograph (Haemoscope™, Skokie, IL), which measures clot strength, were found to be capable of monitoring activity of the GPIIb/IIIa antagonist abciximab<sup>106</sup>.

### Platelet function and environmental and genetic factors

The response of platelets to agonist stimulation is highly influenced by environmental factors. These can either inhibit the response to agonist, thereby mimicking the actions of a drug or else they can sensitize the platelets and as a result mask the effects of a drug.

#### Smoking

Smoking is one of the most common environmental factors influencing platelet function. Many researchers specifically exclude smokers when collecting blood from volunteers for studies. Smoking has been shown to increase the aggregability of platelets<sup>107</sup> and to increase spontaneous platelet aggregation (aggregation without the addition of agonist)<sup>108</sup>. Smokers were found to have increased levels of P-selectin on the platelet surface indicating platelet activation and this was not inhibited by low dose aspirin<sup>109</sup>. However, people who consider themselves to be non-smokers may be exposed to high levels of environmental tobacco smoke. Exposure to environmental

tobacco smoke has been shown to increase the risk of cardiovascular disease<sup>110,111</sup>. Smoking increases thromboxane production as a consequence of platelet activation<sup>112</sup> and decreases prostacyclin production<sup>113</sup>. Aspirin was found to have a greater inhibitory effect on platelets from smokers than non-smokers, possibly reflecting altered thromboxane metabolism as *in vitro* thromboxane synthesis was decreased but urinary thromboxane secretion was increased<sup>114</sup>.

#### Diet

Folic acid deficiency leads to enhanced platelet aggregation and thromboxane production<sup>115</sup>. Vitamin B12 supplementation can decrease platelet responses to platelet agonists. The flip side of this is that low intake of vitamin B12 especially in conjunction with hyperhomocysteinemia, which can occur in vegetarians, may be a risk factor for thrombosis<sup>116</sup>. Oxidant stress has also been shown to increase platelet reactivity suggesting that antioxidants may reduce platelet responses<sup>117</sup>. Vitamins C<sup>118</sup> and E<sup>119</sup> have been shown to inhibit platelet activation probably by acting as antioxidants, while vitamin B6 down regulates GPIIb levels on platelets<sup>120</sup>. Iron deficiency results in suppression of platelet aggregation<sup>121,122</sup> as does alcohol<sup>123,124</sup>, garlic<sup>125</sup> and fish consumption<sup>126</sup>. Caffeine intake results in an increase in adenosine receptors with a resulting increase in cAMP levels<sup>127</sup>.

#### Exercise

Exercise is known to increase platelet reactivity. This is probably due to increased catecholamine levels and is not inhibited by aspirin<sup>128,129</sup>. LDL lipid peroxides have also been implicated<sup>130</sup>. However, this increase in aggregability seems to be balanced by an increase in prostacyclin production in patients with a functional endothelium<sup>131</sup>. Exercise also increases sensitivity to antiplatelet agents<sup>132</sup>.

#### Hormonal effects

GPIIb/IIIa on platelets from premenopausal women are more activatable than those of platelets from young men. An effect of menstrual cycle was also noted and changes in serum levels of estrogens and progestins were proposed as the mechanism of this effect<sup>133</sup>. Oral contraceptives are also known to increase the risk of thrombotic events, especially in women who smoke<sup>113</sup> or who are deficient in folic acid<sup>115</sup>, although this may be a consequence of enhanced coagulation. However, physiological concentrations of estrogen were found to inhibit platelet aggregation and further inhibition was not seen in aspirin-treated platelets<sup>134,135</sup>.

### Depression

There is a well-established link between depression and increase in platelet function<sup>136</sup> that is corrected by treatment with antidepressants<sup>137</sup>.

### Genetic

There is mixed evidence to suggest that polymorphisms of platelet receptors alter the response of platelets to agonists<sup>138</sup>. Some studies have shown an increased sensitivity of platelets with the PLA<sub>2</sub> polymorphism in GPIIIa to agonist stimulation compared with the PLA<sub>1</sub> variant<sup>139,140</sup> while others have shown no effect<sup>141</sup>. Platelets with the PLA<sub>2</sub> polymorphism have been shown to be less responsive to inhibition by the GPIIb/IIIa antagonists orbofiban<sup>142</sup> and to inhibition by aspirin<sup>143</sup>, although they are more responsive to inhibition by estrogen<sup>135</sup>. A polymorphism in the collagen receptor has been shown to increase responsiveness to collagen by increasing the density of  $\alpha_2\beta_1$  collagen receptors on the platelet surface and may be related to resistance to aspirin<sup>144</sup>. The Kozak polymorphism in GPIIb $\alpha$  is associated with the levels of GPIIb on the surface of platelets and may be implicated in enhanced platelet function<sup>145</sup> although it has been shown to have no effect on the incidence of myocardial infarction<sup>146</sup>.

### Conclusion

The importance of monitoring anti-thrombotic therapy has been seen with the oral GPIIb/IIIa antagonist trials. Failure to closely monitor drug levels can result in underdosing and therefore lack of efficacy, while overdosing means increased bleeding events for the patient. At present there are many assays available for monitoring anti-thrombotic therapy. The gold standard is still platelet aggregometry. It is flexible in that all anti-platelet agents can be measured and a range of agonists can be used. However, due to the specialist nature of the equipment, aggregometers are not widely available and it is time consuming due to sample preparation. There is also a lot of variation in the results. Newer rapid assays, some of which are bedside devices, have the advantage of using whole blood. This is more physiological and easier to perform as sample preparation is not necessary. The Ultegra™ is an effective system but at present only useful for monitoring anti-GPIIb/IIIa therapy. The PFA-100™ is also very effective and has a broader range of uses compared to the Ultegra™, although there are only two agonist combinations to use. Whole blood aggregometry offers the benefit of using whole blood but the flexibility of conventional aggregometry. One difficulty with the physiological assays

is the choice of agonist and anticoagulant. Knowledge of the mechanism of action of the drug to be monitored is essential in selecting the appropriate agonist. For GPIIb/IIIa antagonists the issue of anticoagulant is also important. The quantitative fluorescence cytometry assay is the only assay not to require an agonist. While many of the assays described have been used to monitor the effects of antiplatelet agents some are difficult or unreliable or are only suitable as research tools.

Below are the three most widely used classes of antiplatelet agent and the most appropriate assays for monitoring them:

#### GPIIb/IIIa antagonists

Most assays are effective for monitoring GPIIb/IIIa antagonists. Whole blood assays such as the Ultegra™ and PFA-100™ are particularly suitable. If platelet aggregation is used the appropriate agonist is TRAP to selectively detect GPIIb/IIIa antagonist blockade. Drugs should be checked for the effects of calcium on their activity. If necessary, PPACK can be used as anticoagulant with TRAP as agonist. Quantitative fluorescence cytometry is also very effective for GPIIb/IIIa antagonists as it can give an indication of the number of free receptors available. If samples are stimulated with TRAP first it can give the number of free receptors on a maximally activated platelet. Apart from platelet aggregation all these methods use whole blood which makes them very convenient. As many hospitals have at least one flow cytometer the quantitative flow cytometry method is the only method which does not require specialist equipment. Another useful method is the single platelet count method. Not only does this use whole blood, it is the only method that is reliable when used on thrombocytopenic samples. Also cell counters are available in most hospitals and it is more sensitive than the other assays.

#### Aspirin

Aspirin is the most widely used antiplatelet agent and is often used in conjunction with other anti-platelet agents. The most sensitive and specific method to monitor inhibition by aspirin is to measure serum thromboxane levels by ELISA. This method can be used in the presence of other antiplatelet agents. In the absence of other antiplatelet agents, platelet aggregation can also be effective, especially if arachidonic acid is used as the agonist.

#### Ticlopidine/clopidogrel

These drugs are best monitored using platelet aggregation with ADP as the agonist. If aspirin is used as well, high dose ADP should be used to select for inhibition by these drugs.

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## Flow cytometric analysis of platelet function

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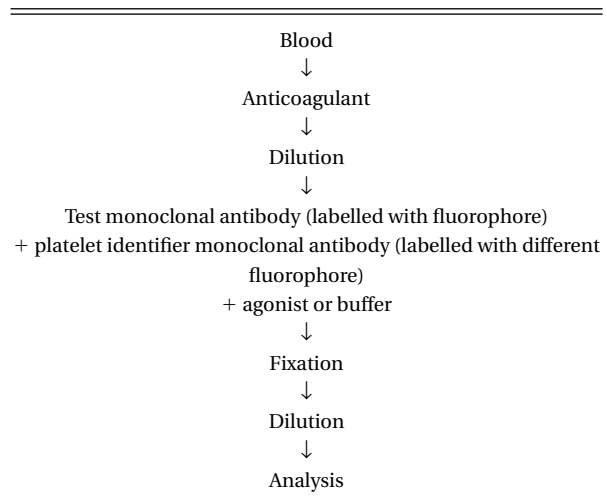
### Introduction

This chapter will review the use of flow cytometry for the detection of circulating activated platelets and the analysis of many other aspects of platelet function<sup>1,2</sup>. Flow cytometry rapidly measures the specific characteristics of a large number of individual cells. Before flow cytometric analysis, cells in suspension are fluorescently labelled, typically with a fluorescently conjugated monoclonal antibody. In the flow cytometer, the suspended cells pass through a flow chamber and, at a rate of 1000–10000 cells per minute, through the focused beam of a laser. After fluorescent activation of the fluorophore at the excitation wavelength, a detector processes the emitted fluorescence and light scattering properties of each cell. (Ref.<sup>3</sup> provides a very readable overview of the principles of flow cytometry.)

In the absence of an added exogenous platelet agonist, whole blood flow cytometry can determine the activation state of circulating platelets, as judged by the binding of an activation-dependent monoclonal antibody. In addition to this assessment of platelet function *in vivo*, inclusion of an exogenous agonist in the assay enables analysis of the reactivity of circulating platelets *in vitro*. In the latter application, whole blood flow cytometry is a physiological assay of platelet function in that an agonist results in a specific functional response by the platelets: a change in the surface expression of a physiological receptor (or other antigen or bound ligand), as determined by a change in the binding of a monoclonal antibody.

A typical schema of sample preparation for whole blood flow cytometry is shown in Table 32.1. The anticoagulant is usually buffered sodium citrate, although other anticoagulants can be used<sup>4</sup>. The purpose of the initial dilution is to minimize the formation of platelet aggregates (see below). Typically, two monoclonal antibodies are used, each labelled with a different fluorophore. A wide variety of fluo-

**Table 32.1.** A typical schema of sample preparation for analysis of platelets by whole blood flow cytometry



rophores are available for antibody conjugation, e.g. phycoerythrin, fluorescein isothiocyanate (FITC), peridinin chlorophyll protein (PerCP), phycoerythrin-Cy5, phycoerythrin-Texas Red, allophycocyanin (APC). The 'test' monoclonal antibody (recognizing the antigen to be measured) should be added in a saturating concentration. The 'platelet identifier' monoclonal antibody, e.g. glycoprotein (GP) Ib-, IIb-, or IIIa-specific should be added at a near saturating concentration. Physiological agonists that can be used in the assay include thrombin, thrombin receptor-activating peptide (TRAP), ADP, collagen, and thromboxane A<sub>2</sub> analogues. Samples are stabilized by fixation, typically with a final concentration of 1% paraformaldehyde. The test monoclonal antibody can be added after fixation, provided fixation does not interfere with antibody binding<sup>5</sup> (see below).

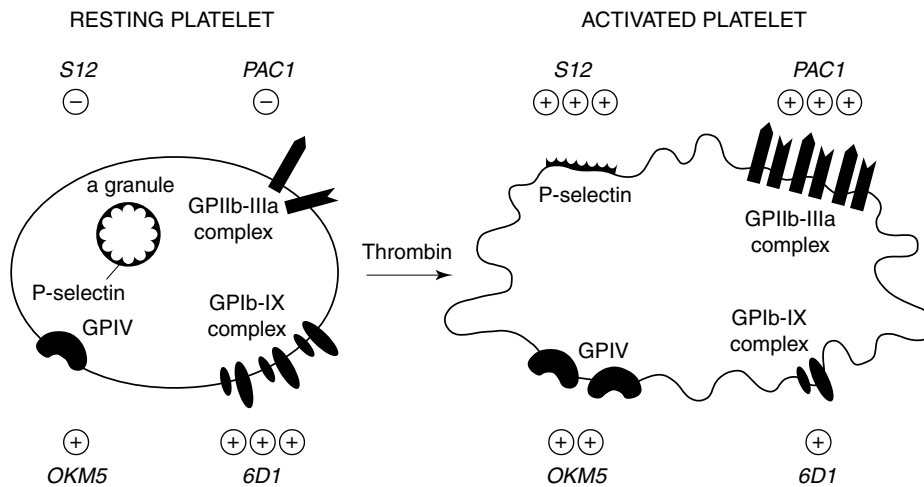


Fig. 32.1. Effect of platelet activation on monoclonal antibody binding. The cartoon depicts the binding of monoclonal antibodies (*in italics*) to resting platelets and the relative change in the binding of these antibodies after thrombin activation. *S12* is directed at the  $\alpha$  granule membrane protein P-selectin (CD62P). P-selectin is not detectable on the surface of resting platelets. After thrombin activation, P-selectin is translocated to the platelet plasma membrane. Thus, *S12* only binds to the surface of activated platelets. *PAC1* is directed at the fibrinogen binding site on the GPIIb–IIIa complex. This fibrinogen binding site is not exposed on resting platelets. Thrombin stimulation results in a conformational change in the GPIIb–IIIa complex that exposes the fibrinogen binding site. Thus, *PAC1* only binds to the surface of activated platelets. *OKM5* is directed at the thrombospondin binding site on GPIV. *OKM5* binds to resting platelets but binding is increased following thrombin stimulation. *6D1* is directed at the von Willebrand factor binding site on GPIb. In contrast to the other monoclonal antibodies, the binding of *6D1* is markedly reduced following thrombin stimulation. (Modified<sup>28</sup>).

Samples are then analyzed in a flow cytometer. After identification of platelets both by their characteristic light scatter and by, for example, phycoerythrin positivity, binding of the, for example, FITC-conjugated test monoclonal antibody is determined by analysing 5000–10000 individual platelets for phycoerythrin fluorescence. Background binding obtained from parallel samples with FITC-conjugated, isotypic species-specific immunoglobulin may be subtracted from each test sample.

### Monoclonal antibodies

Laboratory markers of platelet activation include activation-dependent changes in the glycoprotein GPIIb–IIIa complex, exposure of granule membrane proteins, binding of secreted platelet proteins, and development of a procoagulant surface (Fig. 32.1, Table 32.2).

The two most widely studied types of activation-dependent monoclonal antibodies are those directed against conformational changes in the GPIIb–IIIa complex and those directed against granule membrane proteins (Fig. 32.1, Table 32.2). The GPIIb–IIIa complex (CD41/CD61, integrin  $\alpha_{IIb}\beta_3$ ) is a receptor for fibrinogen, von Willebrand factor, fibronectin, and vitronectin that is

essential for platelet aggregation<sup>6</sup>. Whereas most monoclonal antibodies directed against the GPIIb–IIIa complex bind to resting platelets, monoclonal antibody *PAC1* is directed against the fibrinogen binding site exposed by a conformational change in the GPIIb–IIIa complex of activated platelets (Fig. 32.1)<sup>7</sup>. Thus, *PAC1* only binds to activated platelets, not to resting platelets. Other GPIIb–IIIa-specific activation-dependent monoclonal antibodies are directed against either ligand-induced conformational changes in the GPIIb–IIIa complex ('ligand-induced binding sites' or 'LIBS')<sup>8</sup> or receptor-induced conformational changes in the bound ligand (fibrinogen) ('receptor-induced binding sites' or 'RIBS')<sup>9</sup> (Table 32.2).

The most widely studied type of activation-dependent monoclonal antibodies directed against granule membrane proteins are those directed against P-selectin (CD62P). P-selectin mediates adhesion of activated platelets to monocytes and neutrophils<sup>10</sup>. P-selectin is a component of the  $\alpha$ -granule membrane of resting platelets that is only expressed on the platelet surface membrane after  $\alpha$ -granule secretion (Fig. 32.1)<sup>11</sup>. Therefore a P-selectin-specific monoclonal antibody only binds to degranulated platelets, not to resting platelets. The activation-dependent increase in platelet surface P-selectin is not reversible over time *in vitro*<sup>12</sup>. However, *in vivo* circulating degranulated

**Table 32.2.** Activation-dependent monoclonal antibodies, i.e. antibodies that bind to activated but not resting platelets

Activation-dependent surface change	Prototypic antibodies	References
<i>Changes in GPIIb-IIIa</i>		
Activation-induced conformational change in GPIIb-IIIa resulting in exposure of the fibrinogen binding site	PAC1	7
Ligand-induced conformational change in GPIIb-IIIa	PM 1.1.; LIBS1; LIBS6	8,44,98
Receptor-induced conformational change in bound ligand (fibrinogen)	2G5; 9F9; F26	9,38,138
<i>Exposure of granule membrane proteins</i>		
P-selectin ( $\alpha$ -granules)	S12; AC1.2	72,139
CD63 (lysosomes)	CLB-gran/12	140
LAMP-1 (lysosomes)	H5G11	141
CD154	TRAP1	46
<i>Binding of secreted platelet proteins</i>		
Thrombospondin	P8; TSP-1	142,143
Multimerin	JS-1	144,145
<i>Development of a procoagulant surface</i>		
Factor Va binding	V237	108
Factor VIII binding	1B3	109

Source: Modified from Michelson and Shattil<sup>146</sup>.

platelets rapidly lose their surface P-selectin, but continue to circulate and function<sup>13,14</sup>. Platelet surface P-selectin is therefore not an ideal marker for the detection of circulating degranulated platelets, unless (i) the blood sample is drawn immediately distal to the site of platelet activation, (ii) the blood sample is drawn within 5 minutes of the activating stimulus, or (iii) there is continuous activation of platelets. The length of time that other activation-dependent surface markers remain expressed on the platelet surface in vivo has not yet been determined.

## Methodological issues

### Advantages of flow cytometry

Other tests used to study platelet activation and function in clinical settings have limitations. Platelet aggregometry may show whether a particular clinical condition results in changes in platelet reactivity, but cannot determine whether the condition directly activates platelets<sup>15</sup>. In contrast, plasma assays of  $\beta$ -thromboglobulin<sup>16,17</sup>, platelet factor 4<sup>16,17</sup>, and soluble P-selectin<sup>18</sup>, as well as plasma and urinary assays of thromboxane A<sub>2</sub> metabolites<sup>19,20</sup>, may indirectly determine that a clinical condition activates

platelets, but cannot measure changes in platelet reactivity associated with the condition. None of these assays can measure the extent of activation of individual platelets nor can they detect distinct subpopulations of platelets. Platelet aggregation studies are semiquantitative and subject to standardization problems<sup>15,21</sup>. As a result of the plasma separation procedures required, assays of plasma  $\beta$ -thromboglobulin and platelet factor 4 concentrations are particularly vulnerable to artefactual in vitro platelet activation<sup>16,17</sup>. Furthermore, soluble P-selectin in plasma may be of endothelial cell origin<sup>18</sup>.

Whole blood flow cytometric assays of platelet activation have none of these limitations. Platelets are directly analysed in their physiological milieu of whole blood (including red cells and white cells, both of which affect platelet activation<sup>22,23</sup>). The minimal manipulation of the samples prevents artefactual in vitro activation and potential loss of platelet subpopulations<sup>24-27</sup>. Both the activation state of circulating platelets and the reactivity of circulating platelets can be determined. The flow cytometric method permits the detection of a spectrum of specific activation-dependent modifications in the platelet surface membrane. Furthermore, as new monoclonal antibodies directed against novel functional epitopes are developed, they can easily be incorporated into the assay. A subpopulation of as

few as 1% partially activated platelets can be detected by whole blood flow cytometry<sup>28</sup>. Only minuscule volumes (~5 µl) of blood are required<sup>24,25</sup> making whole blood flow cytometry particularly advantageous for neonatal studies<sup>29</sup>. The platelets of patients with profound thrombocytopenia can also be accurately analysed.

Platelet activation by thrombin, one of the most physiologically important platelet activators<sup>30–32</sup> can be directly measured in whole blood through the use of the synthetic tetrapeptide glycyl-L-prolyl-L-arginyl-L-proline (GPRP)<sup>25,27,28</sup>. In the absence of GPRP, addition of thrombin to whole blood results in a fibrin clot, thereby precluding the use of thrombin as an agonist in the whole blood assay. Furthermore, thrombin is a potent inducer of platelet-to-platelet aggregation, which precludes analysis by flow cytometry of activation-dependent changes in individual platelets. However, addition to whole blood of GPRP together with thrombin inhibits both fibrin polymerization and platelet-to-platelet aggregation, without affecting thrombin-induced platelet activation<sup>25,27,28</sup>. An alternative to the use of thrombin and GPRP in the whole blood flow cytometric assay is the use of a thrombin receptor agonist peptide (TRAP). TRAP is a peptide fragment of the protease-activated receptor 1 (PAR1) ‘tethered ligand’ receptor for thrombin<sup>33</sup>. Without the need for GPRP, TRAP directly activates platelets in whole blood without resulting in a fibrin clot<sup>34</sup>. However, TRAP may not reflect all aspects of thrombin-induced platelet activation, because PAR1 is not the only platelet receptor for thrombin<sup>35,36</sup>. Other platelet receptors for thrombin include PAR4 and GPIb<sup>35,36</sup>.

### Disadvantages of flow cytometry

There are disadvantages to flow cytometry. First, flow cytometers are expensive instruments to purchase and maintain. Secondly, for a clinical assay, sample preparation is quite complicated and requires a dedicated operator, although the future development of automated systems and more user-friendly software should simplify the assay. Thirdly, to avoid *ex vivo* platelet activation, blood samples should be processed within approximately 45 minutes of drawing<sup>24</sup>. (For some monoclonal antibodies, this problem can be circumvented by immediate fixation – see ‘Fixation’ below.) Fourthly, flow cytometry only measures the function of circulating platelets, whereas plasma assays of  $\beta$ -thromboglobulin and platelet factor 4, and plasma and urinary assays of thromboxane  $A_2$  metabolites, also reflect platelet activation at the blood vessel wall and recently cleared platelets. Thus, if the activated platelets are rapidly cleared, adherent to blood vessel walls or to extracorporeal circuits, or have lost surface P-selectin<sup>13</sup>, flow cytometry

**Table 32.3.** Methods of sample preparation for whole blood flow cytometry that minimize the formation of platelet aggregates

Prepare reagents in advance and avoid delays in procedure
To collect blood, use a light tourniquet and a needle not narrower than 21 gauge
Smooth, easy flow from blood draw
Discard the first 2 ml of blood
Polypropylene (or siliconized glass) tubes or syringes
Immediate mixture with anticoagulant
No washing, centrifugation, gel filtration, vortexing, or stirring steps
Reduce the platelet count by dilution of the samples
If thrombin is the agonist, inclusion of the peptide GPRP in the assay
Mix gently after addition of agonist, then incubate undisturbed
Fixation

may not detect evidence of platelet activation. For example, during cardiopulmonary bypass, flow cytometry demonstrates only modest evidence of circulating activated platelets<sup>37,38</sup>, whereas radioimmunoassays of plasma  $\beta$ -thromboglobulin and platelet factor 4 consistently provide evidence of marked activation of platelets<sup>39,40</sup>.

### Blood drawing

After discarding the first 2 ml of blood, collection of blood into a 3.2% sodium citrate Vacutainer (Becton Dickinson, Rutherford, NJ) does not result in significant platelet activation<sup>37</sup>. However, each laboratory should determine whether their method of collection, including the drawing of samples through angioplasty and other catheters, results in artefactual *in vitro* platelet activation, as determined by the binding of activation-dependent monoclonal antibodies.

### Minimizing platelet aggregates

Platelet aggregates can be measured by flow cytometry<sup>41</sup>. However, if the platelets are aggregated, the amount of antigen per platelet cannot be determined by flow cytometry. This is because flow cytometry measures the amount of fluorescence per individual particle, irrespective of whether the particle is a single platelet or an aggregate of an unknown number of platelets. Platelet aggregates can be minimized in the preparation of plate-

lets for whole blood flow cytometry by a combination of the methods shown in Table 32.3. RGD-containing peptides can also be used to minimize platelet aggregates<sup>42,43</sup>, but these peptides may interfere with the binding of detecting antibodies, e.g. PAC1 and result in exposure of LIBS<sup>8,44</sup>. Each sample should be monitored for evidence of platelet aggregation ('smearing' of the platelets into the upper right quadrant of the log side (orthogonal) light scatter vs. log forward light scatter histogram).

### Fixation

Fixation is very advantageous in a clinical setting where there may not be immediate access to a flow cytometer. Fixation prevents subsequent artefactual *in vitro* platelet activation. For most antibodies, the 'antibody labelling before fixation' method described above results in no significant differences in fluorescence intensity between samples analysed immediately and samples analysed within 24 hours of fixation<sup>24</sup>. A 'fixation before antibody labelling' method also results in no significant differences in fluorescence intensity between samples analysed immediately and samples analysed within 24 hours of antibody labelling<sup>37</sup>. However, fixation is an important variable to be controlled for, especially in a 'fixation before antibody labelling' method, because the binding of activation-dependent monoclonal antibodies to fixed platelets is often decreased compared to unfixed platelets<sup>5</sup>. Furthermore, the binding of some antibodies further decreases after fixation in a time-dependent manner. The optimal fixation method for each new monoclonal antibody must therefore be defined by each laboratory.

An argument in favour of immediate fixation is that activation-dependent changes are often time dependent, at least *in vitro*. For example, the platelet surface expression of the GPIb-IX-V complex decreases within 30 seconds of platelet activation, reaching a nadir at approximately 5 minutes, but over the next approximately 45 minutes the platelet surface expression of the GPIb-IX-V complex returns to normal<sup>12,34</sup>. The activation-dependent increase in the platelet surface expression of the GPIIb-IIIa complex and CD154 (CD40 ligand, CD40L) are also reversible with time<sup>45,46</sup>. In contrast, although circulating degranulated platelets rapidly lose their surface P-selectin *in vivo*<sup>13</sup>, the activation-dependent increase in platelet surface P-selectin is not reversible over time *in vitro*<sup>12,45</sup>.

### Choice of monoclonal antibodies

Because the exposure of different antigens may reflect different aspects of platelet activation, it may be preferable

to use a panel of monoclonal antibodies (see above). Monoclonal antibodies are preferable to polyclonal antibodies in whole blood flow cytometry, because they (i) can more reliably saturate all specific epitopes and (ii) result in less non-specific binding. Platelet-specific monoclonal antibodies are now available from a wide variety of commercial sources, and can often be purchased already conjugated to FITC, biotin, phycoerythrin, PerCP, APC, or a tandem conjugate, e.g. phycoerythrin-Cy5 or phycoerythrin-Texas Red. Alternatively, unlabelled antibodies can be FITC conjugated by the method of Rinderknecht<sup>47</sup> or (easier and more rapidly) by a kit method (commercially available from, for example, Boehringer Mannheim (Indianapolis, IN), Molecular Probes (Eugene, OR), and Sigma-Aldrich (St Louis, MO)). Antibodies can be biotinylated as described by Shattil et al.<sup>24</sup> or by following the biotin manufacturer's directions. The use of antibodies that are directly conjugated with FITC, phycoerythrin, PerCP, APC, or tandem conjugates eliminates the requirement for the addition of secondary antibodies, thereby avoiding time-consuming additional incubations and washing procedures which, in unfixed samples, may result in artefactual *in vitro* activation of platelets. Furthermore, the use of secondary antibodies is likely to result in increased background fluorescence and decreased sensitivity of the assay.

$F_{ab}$  fragments of monoclonal antibodies can be used to avoid  $F_c$ -mediated binding and the  $F_c$ -induced platelet activation that has been reported with some monoclonal antibodies<sup>48</sup>. However, the use of  $F_{ab}$  fragments is usually unnecessary, provided: (i) the absence of antibody-induced binding of other activation-dependent monoclonal antibodies is demonstrated in control samples, or the problem is avoided by fixation before test antibody binding; (ii) the  $F_c$ -mediated and non-specific binding obtained from parallel samples with isotypic species-specific immunoglobulin is subtracted from the binding of the test antibody, or (iii) immunoglobulins,  $F_c$  fragments, or peptides are used as blocking reagents.

The saturating concentration of each antibody for platelet binding must be specifically determined by each laboratory. This concentration is typically between 0.25 and 20  $\mu\text{g}/\text{ml}$ . In addition, when two monoclonal antibodies are used in the same assay (as is standard in whole blood flow cytometry), it is necessary to determine that they do not interfere with each other for platelet binding.

Platelets can be detected in whole blood by light scatter only. However, under certain experimental conditions, some of the particles falling within the light scatter gate for platelets may not bind any platelet-specific monoclonal antibody. It is therefore recommended that a minimum

two colour/two antibody technique be used for whole blood flow cytometry: one monoclonal antibody (for example, GPIb-, GPIIb-, or GPIIIa-specific, typically phycoerythrin-conjugated) to identify a particle as a platelet; another monoclonal antibody (typically FITC conjugated) to quantify the expression of the glycoprotein of interest.

### Expression of antibody binding

Antibody binding can be expressed as mean fluorescence intensity (MFI) or as the percent of platelets staining positive for a particular antibody (based on a positive analysis region placed just to the right of the negative control fluorescence histogram). Depending on the experimental circumstances and the physiologic nature of the antigen being measured, either MFI or percent positive platelets may have more relevance than the other. Unlike the MFI method, the 'per cent positive platelets' method is independent of variations in signal amplification, e.g. as a result of changes in PMT voltage or gain, because the isotypic control signal increases in proportion with the test sample. The 'per cent positive platelets' method may detect subpopulations of platelets arising from a local *in vivo* insult. However, it is important to realize that 'antibody-positive' platelets may have very little antigen expressed on their surface. For example, in a given clinical setting, the data may be reported as 20% circulating activated platelets, based on P-selectin positivity. However, if each P-selectin-positive platelet expresses only 10% of maximal platelet surface P-selectin, the overall average increase in platelet surface P-selectin is only 2%. If the goal is to determine the total amount of platelet surface antigen, MFI is therefore the preferred method of data presentation. For activation-dependent antibodies, inclusion of a control sample maximally activated by thrombin, TRAP, or phorbol myristate acetate assists in the quantification of the amount of surface antigen per platelet. The activation-dependent decrease in platelet surface GPIb-IX-V<sup>25,34,49,50</sup> should be quantified by MFI rather than by the 'percentage of positive platelets' method, because the decrease in platelet surface GPIb-IX-V on each platelet is usually insufficient to result in a 'negative' platelet. Methods have been described for the calculation of a binding index that takes into account both MFI and percent positive platelets<sup>51</sup>.

Although standard flow cytometry does not result in a measure of the absolute number of binding sites, Shattil et al.<sup>24</sup> and Johnston et al.<sup>52</sup> used monoclonal antibodies double-labelled with <sup>125</sup>I and biotin to demonstrate a direct linear relationship between the number of antibody binding sites per platelet as determined by <sup>125</sup>I-labelled

and (after incubation with phycoerythrin-streptavidin) fluorescent-labelled antibody. Once this relationship is known for a given monoclonal antibody, it is possible to use subsequent batches of the biotinylated or FITC-conjugated antibody for binding site quantitation, provided that the molar ratio of fluorescein to antibody is known.

Commercial kits, e.g. Quantum, Flow Cytometry Standards Corp., San Juan, PR, containing a set of calibrated fluorescent standards and software can be used to determine molecules of equivalent soluble fluorochrome (MESF). Use of these standards allows: (i) quantitation of the fluorescence intensity of samples in terms of MESF; (ii) determination of the fluorescence threshold of the instrument, (iii) determination of the linearity and stability of the instrument, and, most importantly, (iv) data comparison over time and between different instruments and laboratories<sup>53</sup>. Furthermore, flow cytometric methods are now available for the absolute quantitation of the number of antibodies bound per cell, e.g. Quantum Simply Cellular Microbeads Kit, Flow Cytometry Standards Corp. The lower limit of detection of antibody binding by flow cytometry is approximately 500 antibody molecules per platelet.

### Calibration of the flow cytometer

To ensure day-to-day sample reproducibility, the flow cytometer should be calibrated daily using commercially available fluorescent beads. Daily confirmation of satisfactory instrument electronics, fluidics, and alignment should also be performed. Because of spectral emission overlap, the proper electronic color compensation must be set for each combination of antibodies (fluorophores) per the instructions of the manufacturer of the flow cytometer and confirmed by each laboratory.

### Detection of the activation-dependent decrease in the platelet surface expression of the GPIb-IX-V complex

Whole blood flow cytometric assays frequently employ a GPIb-specific monoclonal antibody to identify platelets. Because GPIb is not present on any circulating blood cell except platelets<sup>54,55</sup>, the activation-induced decrease in the platelet surface expression of GPIb<sup>25,49,50</sup> generally does not result in fluorescence below the threshold used to distinguish platelets from other cells and debris<sup>24,28</sup>. Thus, no subpopulations of platelets are excluded. A method of avoiding the activation-induced decrease in binding of a GPIb-specific monoclonal antibody is to add a direct conjugate of the GPIb-specific antibody before addition of the agonist<sup>56</sup> (see below).



To specifically analyse the activation-induced decrease in the platelet surface expression of the GPIb-IX complex in whole blood, a GPIIb- or GPIIIa-specific monoclonal antibody can be employed as the platelet-identifying reagent<sup>37</sup>.

There is an important methodologic point that needs to be emphasized with regard to the flow cytometric detection of the activation-induced decrease in the platelet surface expression of the GPIb-IX complex<sup>56</sup>. If a FITC-conjugated GPIb-IX-specific test monoclonal antibody is added prior to the platelet agonist (as in the typical schema shown in Table 32.1), the activation-induced redistribution of GPIb-IX to the surface-connected canalicular system<sup>57</sup> will not result in a significant decrease in platelet fluorescence, because a flow cytometer can detect FITC fluorescence, irrespective of whether the conjugated antibody is on the surface or the interior of the platelet. Therefore, in flow cytometric assays, GPIb-IX-specific antibodies that are directly conjugated, e.g. with FITC must be added to the assay after the addition of the agonist.

## Clinical applications of whole blood flow cytometry

### Platelet hyper-reactivity and/or circulating activated platelets in acute coronary syndromes

Whole blood flow cytometric studies have demonstrated circulating activated platelets in patients with unstable angina and acute myocardial infarction<sup>58,59</sup>. Whole blood flow cytometric studies have also demonstrated circulated activated platelets and increased platelet reactivity in patients with stable coronary artery disease<sup>60</sup>. In addition, coronary angioplasty results in platelet activation, as evidenced by whole blood flow cytometric analysis of coronary sinus blood<sup>61,62</sup>.

Flow cytometric analysis of platelet activation-dependent markers can be used to determine optimal antiplatelet therapy in clinical settings, e.g. in acute coronary syndromes<sup>63,64</sup> and after coronary stenting<sup>65,66</sup>. Flow cytometric analysis of platelet activation markers before angioplasty may predict an increased risk of acute<sup>67</sup> and subacute<sup>68</sup> ischemic events after angioplasty.

### Circulating activated platelets in cerebrovascular ischemia

Increased circulating P-selectin-positive and CD63-positive platelets have been reported in acute cerebrovascular ischemia<sup>69</sup>. Furthermore, increased expression of surface

P-selectin on platelets is a risk factor for silent cerebral infarction in patients with atrial fibrillation<sup>70</sup>.

### Leukocyte-platelet aggregates

By a combination of platelet-specific and leukocyte-specific monoclonal antibodies, heterotypic aggregates, e.g. monocyte-platelet or neutrophil-platelet can be determined by flow cytometry<sup>60,71</sup>. Platelet degranulation with surface P-selectin exposure is necessary for the formation of these monocyte-platelet and neutrophil-platelet aggregates<sup>72,73</sup>. In the clinical settings of stable coronary artery disease, percutaneous coronary interventions, and venous insufficiency, circulating monocyte-platelet aggregates (but not neutrophil-platelet aggregates) are, in fact, a more sensitive marker of *in vivo* platelet activation than circulating P-selectin-positive platelets<sup>60,74-76</sup>.

Circulating leukocyte-platelet aggregates are increased in acute myocardial infarction<sup>77,78</sup>, unstable angina<sup>79</sup>, stable coronary artery disease<sup>60</sup>, and cardiopulmonary bypass<sup>80</sup>. Circulating leukocyte-platelet aggregates also increase after coronary angioplasty, with a greater magnitude in patients experiencing late clinical events<sup>81</sup>.

### Monitoring of GPIIb-IIIa antagonist therapy

GPIIb-IIIa antagonists are increasingly prescribed in clinical settings. Flow cytometric methods can be used to monitor this therapy by measuring receptor occupancy by these drugs. These methods can be categorized as either direct or indirect. One type of direct method is a competitive binding assay with either (i) biotinylated<sup>82</sup> or FITC-conjugated<sup>83</sup> GPIIb-IIIa antagonist (ii) blocking monoclonal antibodies<sup>84,85</sup> (iii) peptides with very high affinities for GPIIb-IIIa (disintegrins<sup>86</sup> or cyclic RGD peptides<sup>87</sup>); or, (iv) fibrinogen binding after platelet activation (detected by either a polyclonal anti-fibrinogen antibody<sup>88</sup> or by an anti-RIBS antibody [A.D. Michelson and M.R. Barnard, unpublished data]). Another type of direct method measures the binding of an antibody directed against the GPIIb-IIIa antagonist<sup>89</sup>. Indirect methods measure either (i) the GPIIb-IIIa antagonist-induced binding of an anti-LIBS antibody<sup>90</sup> or, (ii) platelet aggregation, as determined by light scatter<sup>82</sup>.

### Quality control of stored platelet concentrates in the blood bank

As determined by P-selectin, CD63, and the GPIIb-IIIa complex, flow cytometric studies of platelets stored in the blood bank prior to transfusion have provided direct

evidence of a time-dependent platelet activation<sup>91–93</sup>. These changes correlated with modifications in platelet morphology (decrease in swirling), leakage of lactate dehydrogenase, and release of b-thromboglobulin<sup>91</sup>. It has been suggested that platelet surface P-selectin may be useful as a quality control measurement, based on correlations between the platelet surface expression of P-selectin in stored blood bank platelets and (i) post-transfusion platelet counts<sup>94</sup>, (ii) platelet survival determined by In<sup>111</sup> <sup>92,95</sup>, and (iii) enhanced clearance of P-selectin-positive platelets from the recipient<sup>92</sup>.

However, *in vivo* in a primate model, Michelson et al.<sup>13</sup> demonstrated that circulating degranulated platelets rapidly lose surface P-selectin but continue to circulate and function. This study was confirmed in a knockout mouse model by Berger et al.<sup>14</sup> who demonstrated that P-selectin, despite its binding to leukocytes, does not mediate platelet clearance in any of the following settings: physiological ageing, platelet activation, and platelet storage at 4°C.

### Platelet hyporeactivity

Although there are currently few published studies in this area, whole blood flow cytometry may be useful in the clinical assessment of platelet hyporeactivity. For example, compared to adults, the platelets of very low birthweight preterm neonates are markedly hyporeactive to thrombin, ADP/epinephrine and thromboxane A<sub>2</sub>, as determined by flow cytometric detection of (i) the exposure of the fibrinogen binding site on the GPIIb–IIIa complex, (ii) fibrinogen binding, (iii) the increase in platelet surface P-selectin, and (iv) the decrease in platelet surface GPIb<sup>29</sup>. This platelet hyporeactivity may contribute to the propensity of very low birth weight neonates to intraventricular hemorrhage.

### Diagnosis of inherited deficiencies of platelet surface glycoproteins

Flow cytometry provides a rapid and simple means for the diagnosis of the homozygous and heterozygous states of platelet membrane glycoprotein deficiencies, such as Bernard–Soulier syndrome (deficiency of GPIb–IX–V) and Glanzmann's thrombasthenia (deficiency of GPIIb–IIIa)<sup>96,97</sup>. Furthermore, in Bernard–Soulier syndrome, whole blood flow cytometry allows analysis of platelets without attempting the technically difficult procedure of physically separating the giant platelets from similarly-sized red and white blood cells. Because light scatter (especially forward light scatter) correlates with platelet size, light scatter gates may need to be adjusted in giant platelet syndromes, including

Bernard–Soulier syndrome. This adjustment may result in overlap of the light scatter of giant platelets with red and white blood cells. It is therefore essential to include in the assay a platelet-specific monoclonal antibody as a platelet identifier. For Bernard–Soulier syndrome platelets, this identifier antibody obviously cannot be GPIb, GPIX, or GPV specific.

In addition, a panel of activation-dependent monoclonal antibodies can be used to evaluate patients with defects in platelet aggregation<sup>98</sup>, secretion<sup>99</sup>, or procoagulant activity<sup>100</sup>.

### Diagnosis of storage pool disease

Inherited dense granule storage pool deficiency, a relatively common cause of a mild hemorrhagic diathesis, cannot be reliably diagnosed by standard platelet aggregometry. However, using mepacrine-loaded platelets, storage pool deficiency can be accurately diagnosed by a simple, rapid, one-step flow cytometric assay in a clinical laboratory<sup>101,102</sup>.

### Reticulated platelets

Whole blood flow cytometric methods have been developed for the identification of young platelets, *i.e.* those containing mRNA by their staining with thiazole orange<sup>103–105</sup>. Because of the analogy to reticulocytes, these thiazole orange-positive platelets have been termed 'reticulated platelets'<sup>104,106</sup>. Thrombocytopenic patients whose bone marrow contained normal or increased numbers of megakaryocytes had significantly elevated proportions of circulating reticulated platelets<sup>103</sup>. In contrast, the proportion of reticulated platelets in thrombocytopenic patients with impaired platelet production (reduced bone marrow megakaryocytes) did not differ from normal controls and the absolute number of reticulated platelets was significantly lowered<sup>103</sup>. Measurement of reticulated platelets has been used as an aid in assessing bone marrow recovery after bone marrow transplantation<sup>107</sup>. Because thiazole orange also binds to ADP and ATP (contained in dense granules), important controls in the flow cytometric measurement of reticulated platelets are the demonstration that thiazole orange staining is (i) abolished by pretreatment of the sample with RNAase, and (ii) not abolished by pretreatment of the sample with thrombin.

### Platelet-derived microparticles

As determined by flow cytometry, *in vitro* activation of platelets by some agonists, *e.g.* C5b-9, collagen/thrombin,

and the calcium ionophore A23187 results in platelet-derived microparticles (defined by low forward angle light scatter and binding of a platelet-specific monoclonal antibody) that are procoagulant (determined by binding of monoclonal antibodies to activated factors V or VIII or by annexin V)<sup>108,109</sup>. These findings suggest that procoagulant platelet-derived microparticles may have an important role in the assembly of the 'tenase' and 'prothrombinase' components of the coagulation system *in vivo*.

Platelet-derived microparticles are present in blood bank fresh frozen plasma and cryoprecipitate<sup>110</sup>. Platelet-derived microparticles have been reported to be increased in the following clinical settings: immune thrombocytopenic purpura<sup>111,112</sup>, transient ischemic attacks<sup>113</sup>, acute coronary syndromes<sup>114</sup>, and cardiopulmonary bypass<sup>38,115</sup>. Increased platelet microparticles and procoagulant activity occur in symptomatic patients with prosthetic heart valves and provided a potential pathophysiological explanation of cerebrovascular events in this patient group<sup>116</sup>. Procoagulant platelet-derived microparticles are decreased in preterm neonates compared with adults, and this may contribute to the propensity of preterm neonates to intraventricular hemorrhage<sup>117</sup>. GPIIb-IIIa antagonists also decrease procoagulant platelet-derived microparticles, and heparin and enoxaparin augment this reduction<sup>118</sup>.

### Diagnosis of heparin-induced thrombocytopenia

Unlike normal sera and sera from patients with quinine- or quinidine-induced thrombocytopenia, sera from patients with heparin-induced thrombocytopenia generate procoagulant platelet-derived microparticles from normal platelets<sup>119</sup>. This observation has been used to develop a rapid, specific, and sensitive flow cytometric assay for the diagnosis of heparin-induced thrombocytopenia<sup>120</sup>.

### Other potential clinical applications of flow cytometry

Other conditions in which whole blood flow cytometric measurement of platelet hyperreactivity and/or circulating activated platelets may prove to have a clinical role include pre-eclampsia<sup>121,122</sup>, peripheral vascular disease<sup>123</sup>, diabetes mellitus<sup>124</sup>, and Alzheimer disease<sup>125</sup>.

Because of the minuscule volumes of blood required, whole blood flow cytometry can be used to analyze the shed blood that emerges from a standardized bleeding time wound<sup>25,37,38,126</sup>. The time-dependent increase in the platelet surface expression of P-selectin in this shed blood reflects *in vivo* activation of platelets<sup>25,37,38,126</sup>. Immediate fixation (prior to antibody incubation) is obligatory, in

order to observe these time-dependent changes. The assay can be used to demonstrate deficient platelet reactivity in response to an *in vivo* wound, for example during cardiopulmonary bypass<sup>37</sup>.

Flow cytometry can also be used to: immunophenotype platelet HPA-1a<sup>127</sup>; detect maternal and fetal anti-HPA-1a antibodies<sup>128</sup>; measure platelet-associated IgG in immune thrombocytopenias<sup>129</sup> and alloimmunization<sup>130</sup>; and crossmatch platelets, which may be useful for alloimmunized patients for whom HLA compatible platelets are not readily available<sup>131</sup>.

Flow cytometry can be used to measure platelet calcium flux with Indo-1<sup>132,133</sup> or Fluo-3/Fura Red ratios<sup>134</sup>, including in whole blood<sup>135,136</sup>. Platelet cytoskeletal rearrangement can be analyzed flow cytometrically by measuring F-actin content with NBD- or bodipy-phalloidin or FITC-phalloidin<sup>136,137</sup>.

Flow cytometry can be used to easily and precisely count very low numbers of platelets. A known number of fluorescently labelled microbeads is added to a given volume of diluted anticoagulated whole blood. After fluorescent labelling of the platelets, the platelet count can be calculated. This method is particularly advantageous for severely thrombocytopenic patients for whom standard Coulter counters are less accurate.

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# Animal models of platelet-dependent thrombosis

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## Introduction

Acute thrombotic occlusion of an atherosclerotic coronary or cerebral artery, leading to myocardial infarction or stroke, respectively, is still the leading cause of mortality in the Western world. Furthermore, acute thrombotic complications seriously compromise modern interventional approaches to the management of atherosclerotic vascular disease. Therefore, the development of effective anti-thrombotic therapies for acute arterial thrombotic occlusion still represents an important public health strategy.

Our understanding of the basic molecular processes involved in the formation and dissolution of thrombus has expanded rapidly in recent years, and this basic research has led to the generation of novel, potent, and clinically relevant antithrombotic agents, such as tissue plasminogen activator and its mutant forms, ticlopidine and clopidogrel, and Gp IIb/IIIa inhibitors. These agents are currently part of the clinical armamentarium available to the clinical cardiologist for the treatment of thrombotic disorders. With no doubts, therefore, the evaluation of these – as well as newer and perhaps even more effective – molecules requires relevant quantitative animal models of acute thrombosis; the ultimate application of new antithrombotic pharmacological agents being developed through the use of modern technology depends on the appropriate assessment *in vivo* to ensure their efficacy and safety<sup>1</sup>.

Thus far, however, it has not been possible to reproduce, in an experimental model, the most common clinical cause of arterial thrombosis – an ulcerated or fissured atherosclerotic plaque. Although during the past few years many animal models have been developed, the ideal model is far from being available, and this limitation should always be kept in mind. On the other hand, however, it should be also clear that these models represent an invaluable tool for the study of the pathophysiological

mechanisms involved in the process of thrombus formation, as well as for the preclinical screening of new anti-thrombotic compounds; without the aid of these animal models it would not have been possible to develop anti-thrombotic compounds, such as tissue plasminogen activator or abciximab, which represent a cornerstone of the modern antithrombotic therapy. The purpose of this chapter is to describe the most commonly used animal models of intravascular thrombus formation and thrombolysis, pointing out the salient features of each model, including their advantages and drawbacks.

## The Folts' recurrent coronary thrombosis model

In 1976 Folts and coworkers<sup>2</sup> described a model of repetitive thrombus formation in stenosed coronary arteries of open chest, anesthetized dogs. Subsequent to that description, other groups of investigators have used the Folts model, not only to test the antithrombotic effects of a variety of drugs, but also to investigate the mechanisms responsible for the interaction between platelets/coagulation factors and the vascular wall, ultimately leading to thrombus formation; the model is now extensively employed by investigators in the field of intravascular thrombosis in a variety of arteries, as well as in different species.

After opening of the chest at the fifth intercostal space under general anesthesia, the heart is suspended in a pericardial cradle. Either the left anterior descending (LAD) or the circumflex (Cx) coronary artery is isolated from the surrounding tissue. Next, endothelial and medial damage is produced by squeezing the artery between a pair of rubber-covered forceps and a plastic constrictor is placed around the injured arterial segment in order to obtain a

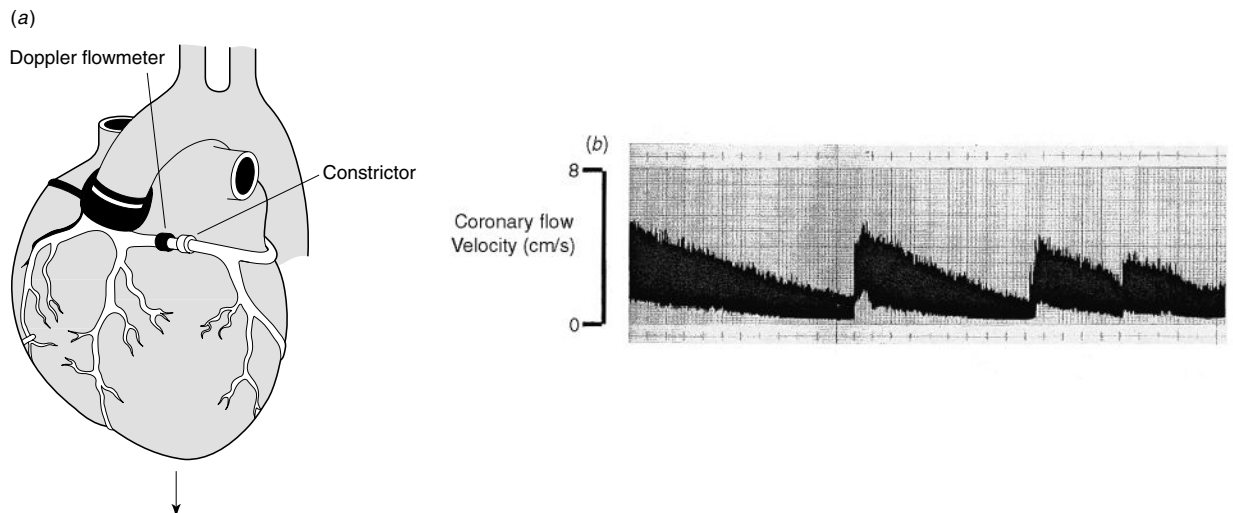


Fig. 33.1. Schematic representation of the experimental preparation of recurrent intravascular thrombosis. This model is thought to mimic the clinical situation of unstable angina. (a) Schematic representation of surgical preparation of the dog coronary artery. A short segment of coronary artery is exposed surgically under general anesthesia. Endothelial injury is produced and a plastic constrictor is then placed around the de-endothelialized segment to create a stenosis of about the 75% of the luminal diameter. Coronary blood flow velocity is measured continuously by a Doppler flow probe placed proximal to the constrictor. (b) In this model, cyclic flow variations (CFVs), characterized by gradual decrease in blood flow to almost zero values followed by restoration of flow to normal values usually develop within few minutes after placement of the constrictor.

stenosis of about 70% of the luminal diameter. An electromagnetic or ultrasonic Doppler flowmeter probe of appropriate size is placed around the proximal coronary artery for measuring volume flow or flow velocity (Fig 33.1). Within a few minutes after instrumentation, the large majority of the animals develop a typical pattern of flow characterized by gradual decreases in blood flow to almost zero values, followed by sudden restorations of flow to baseline values (Fig. 33.1). This pattern of flow has been defined in terms of cyclic flow reductions (CFRs).

In this model, recurrent intravascular thrombosis originates from the combination of two thrombogenic stimuli: a hemodynamically significant stenosis and focal, intimal and medial injury. With very few exceptions, CFRs will not develop unless both stimuli are provided. An interesting feature of this model is that it closely mimics the pathophysiological conditions encountered in patients with acute coronary syndromes, i.e. unstable angina and acute myocardial infarction, in whom a thrombogenic surface is exposed to flowing blood under conditions of high shear stress, like those found in close proximity of a complicated atherosclerotic plaque<sup>3,4</sup>.

After damaging and stenosing the coronary artery sufficiently, coronary blood flow starts declining immediately, reaching zero within a few minutes and remaining there until there is a deliberate, manual restoration of flow. This

is usually accomplished by either flicking the constrictor or sliding the constrictor up and down the artery to mechanically dislodge the thrombus (Fig. 33.1). Spontaneous flow restorations may also occur, but usually under non-severe conditions, i.e. minimal stenosis or damage, or after administration of a partially effective antithrombotic agent.

Several groups have examined histologically the coronary arteries harvested from dogs undergoing CFRs: extensive intimal and medial injury, including de-endothelialization with rupture of the internal elastic lamina and various degree of medial tears with adherent platelets and/or microthrombi, are consistently observed (Fig. 33.2). Arteries harvested when coronary flow is at its nadir invariably reveal a platelet-rich thrombus filling the stenotic segment (Fig. 33.2)<sup>2,5-11</sup>. These histologic observations, coupled with the pattern of gradual, progressive declines in coronary flow and abrupt increases thereof (whether spontaneous or induced), provide further evidence that CFRs are indeed caused by progressive accumulation of platelet thrombi. Folts et al.<sup>6</sup> have provided angiographic evidence that CFRs are thrombotic by demonstrating a temporal relationship between cessation of coronary flow and angiographic visualization of vessel obstruction. In that study, restoration of flow was followed in subsequent angiographic frames by the passage of a

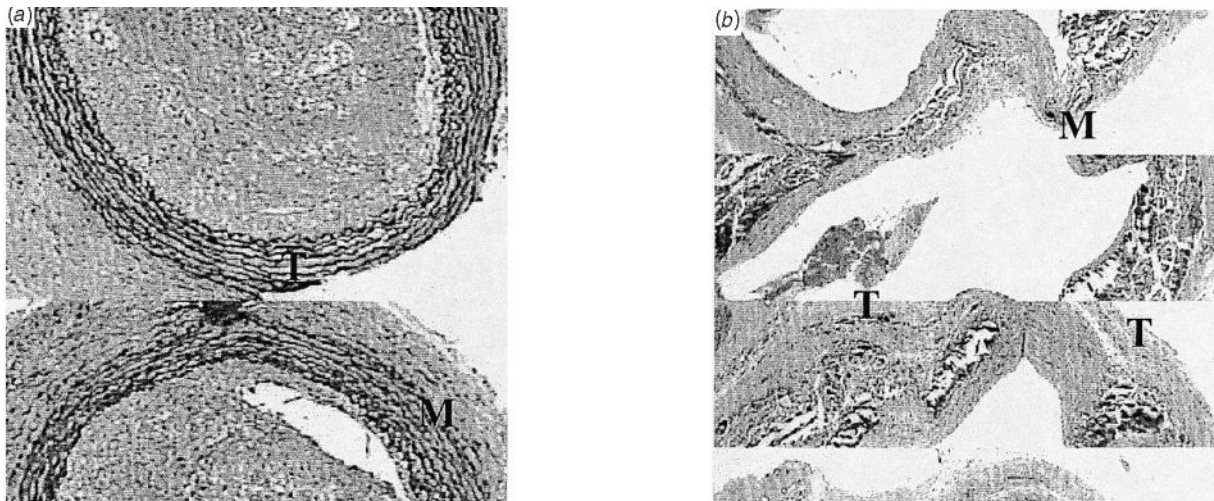


Fig. 33.2. (a) Histological examination of coronary arteries obtained from the Folts' model when coronary flow reached its nadir, shows that the lumen of the coronary artery is occluded by a platelet/fibrin rich thrombus (T). M indicates the medial layer. (b) Histological examination of coronary arteries obtained when coronary flow was normal shows that the artery lumen is clear, even if traces of the thrombus are still visible (T).

radiopaque material downstream from the stenosis. Additional and perhaps more convincing evidence for platelet-rich thrombi as the main cause of CFVs comes from a study by Golino et al. in which the authors have labelled endogenous platelets with  $^{111}\text{In}$ : it could be shown that platelet accumulation at the site of the stenosis was maximal when coronary flow reached its nadir, while little accumulation was evident when the vessel was widely clear<sup>11</sup>.

Although it has been demonstrated that the primary cause of CFVs is platelet aggregation at the site of stenosis, it is possible that local vasoconstriction, mediated by release of chemical mediators released by activated platelets, might contribute to the cyclic reductions in blood flow observed in this model, particularly when the endothelium is altered or absent. By means of ultrasonic crystals implanted immediately distal to the stenosis and 1–2 cm below, Golino et al. have demonstrated the occurrence of a marked coronary vasoconstriction at the site of the stenosis, i.e. where the endothelium had been previously damaged and where platelets and platelet-derived substances accumulate maximally in the arterial wall<sup>12</sup>. On the contrary, the vasoconstriction observed at the site more distal to the stenosis, i.e. where special attention was paid to maintain the endothelium intact, was much less severe and not different from that observed during a mechanical external occlusion of the coronary artery<sup>12</sup>. It is of note that the marked vasoconstriction observed at the site of the stenosis could be completely prevented by administration

of LY53857, a selective serotonin receptor antagonist, or SQ29548, a selective  $\text{TxA}_2$  receptor antagonist<sup>12</sup>, but not by nitroglycerin or diltiazem<sup>13</sup>, thus indicating a prominent role of serotonin and  $\text{TxA}_2$  in causing this platelet-related coronary vasoconstriction.

### Pathophysiological and pharmacological observations

Initial studies have demonstrated that  $\text{TxA}_2$  represents an important mediator of CFVs: interventions that interfere with the synthesis of this prostaglandin, such as aspirin or dazoxiben, a selective  $\text{TxA}_2$  synthase inhibitor, or with the receptor of  $\text{TxA}_2$ , such as SQ 29548, usually result in elimination of CFVs in about 70% of the treated animals<sup>2,6,8,9</sup>. Furthermore, plasma concentrations of  $\text{TxB}_2$  in the blood obtained distally from the stenosis are elevated during CFVs, while they return to control values after abolition of CFVs<sup>8</sup>.

The observation that interventions that interfere with the synthesis and/or the receptors of  $\text{TxA}_2$  resulted in elimination of CFVs in only 70% of the animals suggested that other chemical mediators are involved in initiating and/or sustaining CFVs. It was later found that serotonin concentrations (another chemical mediator released upon platelet activation) at the site of the stenosis increase significantly during CFVs<sup>14</sup> and that the administration of different serotonin receptor antagonists also results in elimination of CFVs in the majority of the animals<sup>14–16</sup>.

Administration of serotonin receptor antagonists abolished CFRs virtually in all animals that did not initially respond to  $\text{TxA}_2$  inhibitors<sup>16</sup>. Thus,  $\text{TxA}_2$  and serotonin play an important role in promoting and sustaining platelet aggregation and thrombus formation at sites of coronary artery stenosis and endothelial injury. Later studies have then demonstrated that  $\text{TxA}_2$  and serotonin are not the only mediators of CFRs, as other substances are involved in the formation of intravascular thrombi under conditions of arterial stenosis and endothelial injury, like those found in this experimental model, including ADP<sup>17</sup>, PAF<sup>18</sup>, and oxygen free radicals<sup>19</sup>.

It was initially believed that this model was exclusively platelet dependent; however, the importance of activation of the coagulation cascade in this model has been later emphasized, as thrombin inhibitors resulted in elimination of CFRs in the vast majority of the animals treated<sup>20</sup>. In addition, more recent studies have shown the importance of tissue factor-dependent activation of the coagulation cascade in initiating and/or sustaining CFRs in this model<sup>21–23</sup>. Finally, it should be mentioned that intravascular thrombi formation may be favoured not only by the presence of activating chemical mediators, but also by the relative lack of inhibiting substances, such as EDRF<sup>24</sup> or tissue factor pathway inhibitor<sup>25</sup>.

### Applicability to different arteries and to other species

In recent years several investigators, including ourselves, have shown that the same combination of severe vessel narrowing and de-endothelialization results in CFRs in arteries other than the coronary. CFRs have been elicited in femoral arteries in anesthetized dogs with similar degrees of vessel narrowing and deliberate denudation of the artery. Folts et al.<sup>6</sup> have demonstrated that CFRs can be produced in awake dogs with chronically implanted coronary constrictors and flow probes. CFRs were prevented in the interim between implantation and acute study by the administration of aspirin. Al-Wathiqui<sup>26</sup> and Gallagher<sup>27</sup> and coworkers have demonstrated that progressive carotid or coronary arterial narrowing with ameroid constrictors will result in CFRs days to weeks after surgical implantation. These dogs apparently did not undergo deliberate vessel denudation at the time of implantation. Perhaps focal inflammation developed in the intervening week (or weeks) between surgery and the development of CFRs in these animals. CFRs have also been elicited in the renal<sup>28</sup> and carotid<sup>29</sup> arteries of cynomolgus monkeys and in rabbit carotid arteries<sup>30</sup>; the latter species has been extensively utilized in our laboratory<sup>18,21,31–34</sup>.

Recently, Eidt et al.<sup>35</sup> showed that conscious dogs equipped with the same constrictors over segments of the LAD showing endothelial injury undergo repetitive CFRs in response to exercise, but not ventricular pacing. The frequency and severity of CFRs varied more in this model. Some CFRs were non-occlusive. CFRs of most dogs eventually deteriorated to persistent no- or low-flow states. Unlike the open chest preparation, flow restorations observed in this model occurred spontaneously, probably because the severity of the stenosis produced by Eidt et al.<sup>35</sup> was not as great as that produced by most practitioners of the Folts' model, as reflected by the ability of CBF to increase above control levels initially during exercise.

### Advantages and disadvantages

The Folts' model has several advantages that make it very attractive for investigators in the field of intravascular thrombosis. Perhaps the most important attractive feature of this model is that it closely mimics the clinical situation of acute coronary syndromes, characterized by plaque rupture and arterial stenosis. In this respect, the Folts' model of coronary thrombosis should be considered 'more physiologic' than other models like those described below. Another advantage of the Folts' model is its amenability to dose–response studies. Unlike other models in which the thrombotic processes are dynamic, occurring over several minutes to hours, CFRs in the Folts' model are repetitive and unchanging. In the many dogs that received either no intervention or vehicle after initiating CFRs, flow patterns usually remain unchanged for at least several hours. Thus, one can evaluate several doses of an investigational drug in a single dog, and this points out another feature of the model: its amenability to quantification of drug response.

The most important disadvantage of the Folts' model is that we are dealing with a healthy artery, as opposed to atherosclerotic arteries (which undergo rupture or ulceration at a site of a pre-existing atherosclerotic plaque) in the clinical scenario. This might, in theory, result in different mechanisms of thrombus formation, as well as in different responses to various drugs. For example, flow restorations in the Folts' model require vigorous shaking, while in unstable angina the thrombus is usually not persistent, and only occasionally can lead to a persistent and total thrombotic coronary occlusion. However, if these (few) limitations are taken into proper consideration, the Folts' model of thrombus formation is a well-established method to study the pathophysiology of platelet-coagulation factors/vessel wall interactions, as well as to determine the pharmacology of antithrombotic agents.

## Copper coil model of arterial thrombosis

In the mid-1960s, Blair et al.<sup>36</sup> reported on the ability of spiral wires constructed of aluminum/magnesium alloy inserted into the coronary circulation of dogs to produce slowly developing occlusive thrombi. In this early description of the technique, the spiral was inserted through the coronary vessel wall in open-chest dogs. Several years later, Kordenat et al.<sup>37</sup> described a modification of this model, the salient feature being insertion of the thrombogenic coils via the left carotid artery under fluoroscopic guidance in closed-chest dogs. Later studies by Cercek et al.<sup>38</sup> and Bergmann<sup>39</sup> and coworkers used this basic experimental method for producing arterial thrombi to evaluate thrombolysis and the utility of reperfusion.

As described by Kordenat et al.<sup>37</sup> and Bergmann et al.<sup>39</sup>, a thrombogenic coil is advanced via the left carotid artery into a coronary artery with the aid of a guiding catheter and a smaller inner catheter or guidewire in closed-chest dogs. Occlusive thrombosis, heralded by electrocardiographic signs of ischemia and confirmed by the development of a filling defect distal to the coil, occurs almost invariably in the animals in which the coil is implanted. Ventricular fibrillation occurs in approximately one of five dogs undergoing coronary thrombosis, but most of these dogs can be converted to sinus rhythm by DC countershock. A thrombotic occlusion consistently occurs within minutes to hours, depending on the composition (alloy) and size of the coil after insertion, following a flow pattern that suggests gradual thrombus growth, leading in turn to a progressively narrowing stenosis within the coil. Occasionally, spontaneous flow restorations similar to those seen in the Folts' and electrical stimulation models precede total occlusion, but this occurs infrequently.

Thrombi produced in the coil model are considered to be relatively fibrin-rich, on the basis of the model's pharmacologic profile. Clinically relevant doses of t-PA and streptokinase lyse these thrombi<sup>39–41</sup>, and heparin prolongs (but does not prevent) original thrombosis<sup>37,38</sup>. However, histologic examination of thrombi removed from copper coils 15 mm after occlusive thrombus formation reveals a platelet-rich thrombus that also contains red blood cells and fibrin<sup>42</sup>.

Certain methodologic factors can greatly influence the nature of thrombi in this model and thus may contribute significantly to the differences in the relative composition of the thrombus, and thus to different results between investigators. The speed with which the copper coil is inserted to its destination may have important consequences. Copper coils have been inserted into the LAD and femoral arteries<sup>42</sup>. Despite the longer distance, it takes less

time to insert coils into the femoral artery compared with the LAD. Moreover, we have observed more varied and shorter average times to occlusion in the coronary model. Sometimes a filling defect is observed immediately after coil placement, due presumably to snow-ploughing by the coil of clotted blood adherent to the guidewire during its passage to the coronary artery.

Another technical aspect worth noting is the geometry and composition of the coil. Kordenat and Kezdi<sup>37</sup> observed times to occlusion exceeding 2 hours, with shorter coils containing fewer spirals. Aluminium and magnesium alloys appear to be less thrombogenic than copper coils, as signs of infarction did not appear until 24–48 h after placement of coils or spirals constructed of these metals<sup>36,37</sup>.

## Pathophysiological and pharmacological observations

In contrast to the Folts' model, the copper coil model has been used almost exclusively for the evaluation of either thrombolytic agents or adjunctive drugs<sup>38–42</sup>. The original description of this model for the study of thrombolytic agents and their influence on myocardial injury was by Bergmann et al.<sup>41</sup>, who demonstrated that timely reperfusion with intracoronary streptokinase salvaged myocardium and metabolic function. Subsequent studies from that group with this model demonstrated for the first time the ability of t-PA to lyse thrombi *in vivo*<sup>39</sup>.

Bush et al.<sup>42</sup> and van der Werf et al.<sup>43</sup> have demonstrated a dose–response with t-PA and prourokinase, respectively, in this model. In addition, several adjunctive compounds have been evaluated for their ability to improve the thrombolytic effects of t-PA and/or to prevent or delay reocclusion after discontinuation of thrombolytic therapy. For example, heparin<sup>38</sup>, Abciximab<sup>44,45</sup>, as well as selective serotonin and thromboxane receptor antagonists<sup>40,46,47</sup>, all are associated with a potentiation of the thrombolytic properties of t-PA and a simultaneous delay of reocclusion following discontinuation of t-PA infusion.

## Applicability to other arteries and to other species

Bush et al. recently described a femoral arterial version of this model in closed-chest, anesthetized dogs<sup>42</sup>. It uses the same thrombogenic coils, but does not entail fluoroscopy. With Doppler flow probes placed directly on the femoral artery just proximal to the site of thrombosis, it allows the exact moment of thrombosis and thrombolysis to be detected, and the extent of blood flow restoration after lysis to be monitored continuously and accurately. An attractive

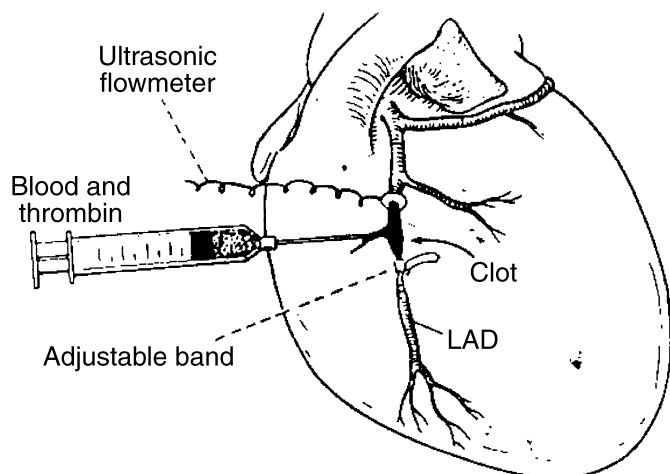


Fig. 33.3. Schematic representation of experimental preparation of a whole blood clot in canine left anterior descending artery (LAD) with a distal high-grade stenosis and a proximal ultrasonic flow probe (see text for details).

feature of this model is the ability to perform the procedure in smaller dogs, which may save expensive thrombolytic agents or investigational adjunctive drugs. Moreover, use of the femoral artery enables the model to be adapted to smaller animals, such as rabbits<sup>47</sup>.

#### Advantages and disadvantages

One important disadvantage of this model is represented by the need for fluoroscopy to correctly position the coil in the coronary artery; this equipment is quite expensive and not all investigators have it at their disposal. Moreover, of all the models discussed in this review, the present one may be the least attractive from the standpoint of the thrombogenic stimulus resembling the human pathophysiology of myocardial infarction. However, it may be no less relevant to the human situation as the other three in its degree of thrombogenicity and response to thrombolytic agents. A particularly attractive feature of the model is the uniform time to original thrombus formation, response to thrombolytics, and reocclusion<sup>38–48</sup>.

#### Thrombin-induced clot formation

A canine model of thrombin-induced clot formation was developed by Gold et al.<sup>49</sup> in which localized coronary thrombosis was produced in the LAD. This is a variation of the technique originally described by Collen et al.<sup>50</sup> who used radioactively labelled fibrinogen to monitor throm-

bolysis of rabbit jugular vein clots. The vessel was intentionally de-endothelialized by traumatization via external compression with blunt forceps. Snare occluders were then placed proximal and distal to the damaged site, and thrombin (10 U) was injected into the isolated LAD segment in a small volume via a previously isolated side branch. Autologous blood (0.3–0.4 ml) mixed with calcium chloride (0.05 M) also was injected into the isolated LAD segment, producing a stasis-type red clot superimposed on an injured blood vessel. The snares were released 2–5 min later and total occlusion was confirmed by selective coronary angiography (Fig. 33.3).

This model of coronary artery thrombosis relies on the conversion of fibrinogen to fibrin by thrombin. The fibrin-rich thrombus contains platelets, but at no greater concentration than in a similar volume of whole blood. Once the thrombus is formed, it is allowed to age for 1–2 hours, after which a thrombolytic agent can be administered to lyse the thrombus and restore blood flow.

#### Pathophysiological and pharmacological observations

In the initial study described by Gold et al.<sup>49</sup> in 1984, recombinant t-PA was characterized for its ability to lyse 2-hour-old thrombi. Tissue plasminogen activator was infused at doses of 4.3, 10, and 25/kg/min, i.v., and resulted in reperfusion times of 40, 31, and 13 min, respectively. Thus, in this model of canine coronary thrombosis, t-PA exhibited dose-dependent coronary thrombolysis; it has been also demonstrated that streptokinase also elicited dose-dependent thrombolysis in this model. Furthermore, it is possible to study the effect of different doses of t-PA on parameters of systemic fibrinolytic activation, such as fibrinogen, plasminogen, and  $\alpha_2$ -antiplasmin as well as to assess myocardial infarct size.

Subsequently, Gold et al.<sup>44,52</sup> modified the model to study not only reperfusion, but also acute reocclusion. Clinically, reocclusion is a persistent problem after effective coronary thrombolysis, which is reported to occur in 15–45% of patients. Thus, an animal model of coronary reperfusion and reocclusion would be important from the standpoint of evaluating adjunctive therapies to t-PA to hasten and/or increase the response rate to thrombolysis as well as prevent acute reocclusion.

The model of thrombin-induced clot formation in the canine coronary artery was modified such that a controlled high-grade stenosis was produced with an external constrictor. Blood flow was monitored with an electromagnetic flow probe. In this model of clot formation with superimposed stenosis, reperfusion in response to t-PA

occurs with subsequent reocclusion<sup>44</sup>. The monoclonal antibody against the human GPIIb/IIIa receptor developed by Collier et al.<sup>29</sup> and tested in combination with t-PA in the canine thrombosis model hastened t-PA-induced thrombolysis and prevented acute reocclusion<sup>44,45</sup>. These actions *in vivo* were accompanied by abolition of ADP-induced platelet aggregation and markedly prolonged bleeding time.

### Applicability to other arteries and to other species

Localized thrombosis can also be produced in rabbit peripheral blood vessels such as the femoral artery by injection of thrombin, calcium chloride, and fresh blood via a side branch<sup>53</sup>. The advantage of using a rabbit femoral artery instead of a dog coronary artery relates to the availability of test compounds under study. On a per kilogram basis, 5–10 times less compound will be required in the rabbit, as opposed to the dog.

Either femoral artery is isolated distal to the inguinal ligament and traumatized distally from the lateral circumflex artery by rubbing the artery with blunt forceps. An electromagnetic flow probe is placed distal to the lateral circumflex artery to monitor femoral artery blood flow. The superficial epigastric artery is cannulated for induction of the thrombus: localized thrombi distal to the lateral circumflex artery with snares approximately 1 cm apart are induced by the sequential injection of thrombin, CaCl<sub>2</sub> (1.25 mmol), and a volume of blood sufficient to distend the artery. After 30 mm the snares are released and femoral blood flow is monitored for 30 mm to confirm total obstruction of flow by the thrombus.

After establishing a fully occlusive thrombus, t-PA can be administered alone or in combination with various other agents. At doses of 5.0, 7.5, and 10 µg/kg/min *i.v.* for 90 mm, t-PA caused reperfusion in 30, 50, and 100% of animals, respectively<sup>45</sup>, although the times to restoration of flow did not differ between doses, occurring between 60 and 70 min.

### Advantages and disadvantages

These models are not appropriate for evaluating drugs for their ability to inhibit original thrombosis. The model does lend itself well to the evaluation of both thrombolytic agents and adjunctive therapies, e.g. for their ability to hasten and/or enhance lysis or prevent acute reocclusion after discontinuing administration of the thrombolytic drug.

### Electrically induced coronary thrombosis

An interesting technique for inducing coronary artery thrombosis was described by Salazar<sup>54</sup> in 1961; in this model anodal current was delivered to the intravascular lumen of a coronary artery in the dog via a stainless steel electrode. The electrode was positioned under fluoroscopic control, which somewhat complicated the procedure. Subsequently, Romson et al.<sup>55</sup> modified the procedure such that the electrode was placed directly into the coronary artery of an open-chest, anesthetized dog. This technique then allows one to produce a thrombus in the anesthetized animal or to close the chest after inserting the electrode and allow the animal to recover, after which thrombosis can be elicited in the conscious state. The advantage of this modification is that it allows induction of thrombus formation without the need for fluoroscopy.

The stimulation electrode may be constructed from a 25–26-gauge stainless steel hypodermic needle tip, which is attached to a 30-gauge teflon-insulated, silver-coated copper wire. Anodal current is delivered to the electrode via either a 9 V nickel-cadmium battery with the anode connected in series to a 250 000 ohm potentiometer or with a Grass stimulator connected to a Grass constant current unit and a stimulus isolation unit. The cathode in both cases is placed into a subcutaneous site completing the circuit. The anodal current can be adjusted to deliver 50–200 µA. Anodal stimulation results in focal endothelial disruption, which in turn induces platelet adhesion and aggregation at the damaged site. This process is then followed by further platelet aggregation and consolidation with the growing thrombus entrapping red blood cells.

A modification of the method of Romson et al. involves placement onto the coronary of an external, adjustable occluder<sup>56</sup> to produce a fixed stenosis on the coronary artery. A flow probe to record coronary blood flow is placed on the proximal portion of the artery followed by the stimulation electrode, with the clamp being placed most distally (Fig. 33.4). The degree of stenosis can then be controlled by adjusting the clamp. The resulting stenosis is produced in an effort to mimic the human pathophysiology of atherosclerotic coronary artery disease, whereby thrombolytic therapy restores CBF through a coronary artery with residual narrowing due to atherosclerotic plaque formation.

Another modification of the electrical stimulation model that merits discussion is described by Benedict et al.<sup>57</sup> They discontinued anodal current when mean distal coronary flow velocity (measured with a Doppler flowmeter) increased by approximately 50%, reflecting disruption of normal axial flow by the growing thrombus. Occlusive

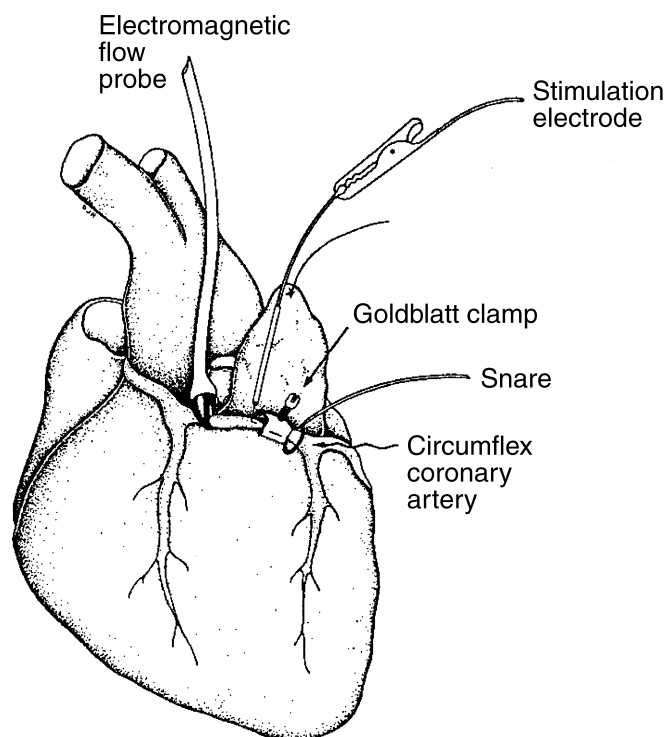


Fig. 33.4. Model of coronary artery thrombosis induced in the dog by electricity. Electrical injury to the intimal surface of the artery leads to occlusive thrombus formation. The thrombus is formed in the presence of a flow-limiting stenosis induced by a Goldblatt clamp (see text for details).

thrombosis occurred within 1 hour after stopping the current (2 hours after starting the current). In these studies coronary sinus plasma levels of serotonin, an index of intravascular platelet aggregation, were increased approximately 20-fold just before occlusive thrombus formation. The results of these studies agree with others in showing that either proximal flow velocity or electromagnetically measured CBF decline trivially over the majority of the time period in which the thrombus is growing. The largest declines in flow occur over a small and terminal fraction of the period between initial vessel perturbation and final occlusion. During that interval, coronary luminal area decreases rapidly and to a critical degree, as platelets accrue at the growing thrombus. The studies by Benedict et al.<sup>57</sup> show that this final phase of thrombosis can occur independently of electrical stimulation. This variation of the model may be attractive to those who wish occlusive thrombosis to occur without continued electrical stimulation.

### Pathophysiological and pharmacological observations

Several studies evaluating anticoagulants, antithrombotic, and/or thrombolytic drugs have been performed using this model. In the initial report by Romson et al.<sup>56</sup>, the cyclooxygenase inhibitor ibuprofen was evaluated. Comparison of myocardial infarct size, thrombus weight, arrhythmia development, and scanning electron microscopy of drug-treated and control animals indicated that ibuprofen protected against the deleterious effects of coronary artery thrombosis in the conscious dog. Subsequent studies in the same model and laboratory evaluated the antithrombotic potential of various TXA<sub>2</sub> synthetase inhibitors, such as U 63557a, CGS 13080, OKY 1581, and dazoxiben. When the TXA<sub>2</sub> synthetase inhibitors were administered before induction of the current, OKY 1581<sup>58</sup> and CGS 13080<sup>59</sup> reduced the incidence of coronary thrombosis, whereas U 63557A<sup>60</sup> and dazoxiben<sup>61</sup> were ineffective and partially effective, respectively. The differences in efficacy noted among the TXA<sub>2</sub> synthetase inhibitors were ascribed to differences in potency and duration of action.

Other investigators have used this model to study the prevention of original coronary thrombosis in the dog. FitzGerald et al.<sup>62</sup> studied the combination of the TXA<sub>2</sub> synthetase inhibitor, U 63557A, alone or in combination with L-636 499, an endoperoxide/thromboxane receptor antagonist. U 63557A alone did not prevent non-specific coronary thrombosis when administered before current application, whereas the combination of U 63557A and L-636 499 was highly effective. These data suggest that prostaglandin endoperoxides may modulate the effects of TXA<sub>2</sub> synthetase inhibitors and that this response may be blocked by concurrent administration of an endoperoxide/thromboxane receptor antagonist. The murine monoclonal antibody to the platelet GPIIb/IIIa (7E3, Abciximab) was studied in this model for its ability to prevent thrombus formation. At a dose of 0.8 mg/kg i.v., the 7E3 monoclonal antibody completely prevented original thrombus formation<sup>63</sup>.

In addition to evaluation of antithrombotic agents, the electrical injury model is useful for studying thrombolytic drugs. Typically, the thrombus is allowed to form without drug intervention and then aged for various periods of time. Schumaker et al.<sup>56</sup> demonstrated that intracoronary streptokinase was an effective thrombolytic drug in this model, the thrombolytic effectiveness being augmented by the concurrent administration of heparin and prostacyclin, or by a TXA<sub>2</sub> synthetase inhibitor<sup>56</sup>. In other studies, Shebuski et al.<sup>64</sup> demonstrated that the TXA<sub>2</sub> receptor antagonist, BM 13.177, hastened t-PA-induced thromboly-



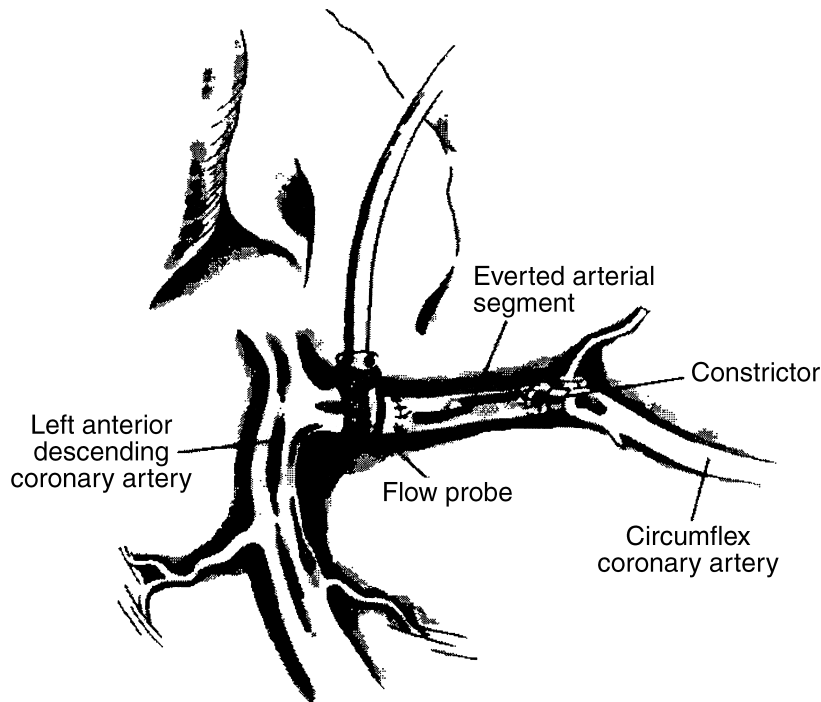


Fig. 33.5. Schematic representation of experimental preparation of a platelet-rich thrombus in canine everted circumflex coronary artery segment graft with a distal high grade stenosis and a proximal ultrasonic flow probe (see text for details).

sis and prevented acute thrombotic reocclusion. Van der Giessen et al.<sup>66</sup> subsequently demonstrated that BM 13.177 prevented original thrombus formation in 75% of pigs undergoing electrical stimulation; aspirin was ineffective in this porcine model<sup>65</sup>.

These and other studies underscore the potential for adjunctive therapy to accelerate thrombolysis and/or prevent reocclusion, both contributing to greater salvage of ischemic myocardium.

#### Advantages and disadvantages

One important advantage of the electrically induced coronary thrombus model is represented by its versatility: original thrombosis responds to antithrombotic agents; furthermore, after establishment of an occlusive thrombus and administration of thrombolytic agents, it develops spontaneous reocclusion, making it amenable to evaluation of potentially adjunctive agents. Finally, as is true for the coil and thrombin injection models, the electrically induced thrombus model may be used to produce and study myocardial infarction. One potential disadvantage is the lack of similarity with the pathophysiology of arterial thrombosis in patients.

#### Arterial eversion graft model

The model is schematically represented in Fig. 33.5. Mongrel dogs are anesthetized with intravenous sodium pentobarbital, intubated, and artificially ventilated. A left thoracotomy is performed, and the internal mammary artery is cannulated for continuous blood pressure monitoring. An ultrasonic blood flowmeter is placed around the circumflex coronary artery proximal to the site of the eversion graft. A stenosis is then produced with a 2 mm-wide plastic wire tie placed around the coronary artery distal to the eversion graft and constricted to reduce the blood flow to about 40% of baseline, which corresponds to a more than 90% reduction in luminal diameter. The circumflex coronary artery is then dissected out of the epicardium, and a 1 cm segment is excised between two microvascular clamps, stripped of excessive adventitial tissue, everted inside-out, and reinserted by end-to-end anastomosis using 8 to 12 interrupted sutures with 7-0 nylon. The microvascular clamps occluding the proximal and distal ends of the transected artery are then released. The surgical procedure is carried out without an extracorporeal circulation device. Coronary angiography is performed by manual injection of 1–2 ml Renografin 76 through a

modified Judkin's 7F left Amplatz catheter inserted from a carotid artery. After release of the vessel clamps, spontaneous persistent occlusion of the everted graft segment occurs in approximately 80% of the dogs within an average of 5 minutes, as demonstrated with the flow probe and confirmed by angiography.

Intravenous administration of thrombolytic drugs is usually carried out when the thrombus is demonstrated to be persistent, i.e. after a complete and stable occlusion is obtained for 30 minutes. After reperfusion has occurred, blood flow is continuously monitored for evidence of reocclusion, with the final confirmation by angiography using the same criteria as for establishing reperfusion.

### **Pathophysiological and pharmacological observations**

The efficacy of thrombolytic agents, including streptokinase, urokinase, and t-PA, were evaluated in this model and compared with their efficacy in the absence of a superimposed stenosis. In the absence of stenosis reperfusion times were similar to animals with a superimposed stenosis; spontaneous reocclusion did not occur within 2 hours after the end of the infusion in the absence of restenosis<sup>66</sup>. Adjunctive therapies, represented by aspirin, Argatroban, or Abciximab were all associated with a simultaneous decrease in reperfusion times and an increase in reocclusion times<sup>67</sup>.

### **Applicability to other arteries and to other species**

The arterial eversion graft model can be applied to rabbit femoral arteries. This model was originally developed by Hergrueter et al.<sup>68</sup> Briefly, New Zealand white rabbits are anesthetized with intramuscular injection of ketamine (35 mg/kg) and xylazine (5 mg/kg). A groin incision is made to expose the femoral artery between the inguinal ligament and the distal bifurcation. The epigastric artery is cannulated with Silastic tubing (0.012" i.d.) for intraarterial administration of study drug. A 5 mm segment of the right femoral artery is excised between two ligations, stripped of excessive adventitial tissue, everted inside-out, and inserted into the left femoral artery under a surgical microscope by end-to-end anastomosis using 12 uninterrupted sutures with 10-0 nylon. The microvascular clamp that clamps off the proximal and distal ends of the transected artery is released.

Blood flow in the left femoral artery containing the everted graft is continuously monitored with an ultrasonic flowmeter. Thirty minutes after occlusion, study drugs can be administered via the cannulated femoral vein (intrave-

nous route) or superficial epigastric artery (intra-arterial route) by either bolus injections or continuous infusion.

### **Advantages and disadvantages**

The main disadvantage of the arterial eversion graft model is that it requires significant surgical skills to be performed. Additionally, for the rabbit femoral model, a surgical microscope is required. Furthermore, as with the copper coil and the electrical injury models, the thrombogenic stimulus may be considered rather 'unphysiologic'.

### **Conclusions**

We have described four models of arterial thrombosis and/or thrombolysis that allow evaluation of antithrombotic drugs alone or in combination with thrombolytic agents. The experimental animal preparations described in this review were not designed to mimic the pathophysiology of human arterial thrombosis, but instead are models of intravascular coagulation induced by different stimuli. Each model possesses distinct characteristics with regard to a number of important variables, including relative contribution by platelets and fibrin and the speed with which occlusive thrombosis occurs after initiation of the thrombogenic stimulus (or stimuli). For instance, thrombi forming in the Folts' model of de-endothelialization combined with severe stenosis probably are the most platelet rich, whereas those produced in the Gold thrombin injection model are relatively platelet poor. The other models probably lie within these two extremes, as the thrombus contains both platelets and fibrin.

Considering the uncertainties about the relative roles of coagulation vs. platelets in patients undergoing myocardial infarction, unstable angina, or acute coronary reocclusion after thrombolysis, it would be inappropriate to speculate as to which of these models is the most clinically relevant. The prospect for advancing the clinical relevance of animal models of thrombosis is further complicated by the likely variability in the causes of thrombosis in patients: atherosclerotic human coronary arteries undergoing plaque fissure and/or hemorrhage probably differ substantially from the arterial surfaces provided in these models, despite attempts to produce some degree of de-endothelialization and/or vessel narrowing.

If put in the proper perspective, the data obtained in these (and perhaps other) models of intravascular thrombosis may allow the investigator to identify and compare novel therapies. Animal models provide an important link between test tube assays of platelet aggregation, coagula-

tion, or fibrinolysis and clinical evaluation in humans. In this process, important information is generated, not the least of which is an answer to the question, 'does it work?' In addition, dosage, duration of action, and some idea about safety can be gleaned from studies of these animal models.

The ultimate model is humans and the ultimate evaluation is a well-defined population of patients. It should be borne in mind that t-PA was evaluated in fewer than a dozen dogs in one arterial thrombosis model (coronary copper coil) before clinical testing in humans was begun. Thus, one probably does not need to evaluate a new anti-thrombotic or thrombolytic agent in all four models. We would hope that knowledge and availability of several models will allow informed decisions as to which model (or models) to evaluate novel antithrombotic or thrombolytic agents before initiating expensive clinical trials.

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**Part III**

**Pathology**  
**A Hemostasis**

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## Hereditary thrombocytopenias

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Although hereditary thrombocytopenia is overall a very rare disorder, many different forms have been well described. Inherited thrombocytopenia is frequently mistaken for an acquired platelet disorder. A careful medical and family history can generally allow differentiating congenital from acquired thrombocytopenia based on the duration of symptoms and the onset early in childhood. A detailed pedigree will often help to identify the inheritance pattern. The recognition of hereditary thrombocytopenia is important for avoiding potentially expensive and harmful treatments, as frequently administered in acquired chronic platelet disorders, and which will furthermore mostly be ineffective.

Some patients with hereditary thrombocytopenia have no clinical symptoms due to the moderate or mild thrombocytopenia and are most often detected by chance, due to the routine automated platelet counting and frequently associated automated mean platelet volume determination, when studying the peripheral blood. For this reason, probably more patients are being detected during recent years. It is only in the last few years that the underlying molecular abnormalities have become obvious. Due to the efforts of many research groups, the responsible gene, the defective gene product and the pathogenesis of many of these disorders have been unravelled. For many of these disorders, the clinical picture was well known and only recently the responsible gene and gene product were discovered. For others, the molecular mechanism was first unravelled in mice, and the human pathology was only discovered and defined by analogy with the animal model. Interestingly, discovering the molecular mechanisms of hereditary thrombocytopenia has led to a better understanding of the normal physiology of hematopoiesis.

Table 34.1 classifies the hereditary thrombocytopenias by their mode of inheritance and by the size of the platelets. The individual thrombocytopenia disorders will be

discussed accordingly. Moreover, the possible association with qualitative platelet defects or with other clinical abnormalities will be discussed each time.

### X-linked recessive thrombocytopenia

#### Microthrombocytopenia

The Wiskott–Aldrich syndrome (WAS)<sup>1</sup> is an X-linked recessive immunodeficiency disorder involving both B- and T- lymphocytes, and is characterized by eczema, increased susceptibility to infection and thrombocytopenic purpura with small, defective platelets. Patients are symptomatic at infancy with excessive bruising and often bloody diarrhea. The small dysmorphic platelets are destroyed by the spleen, thereby increasing the bleeding problem. Recurrent infections with encapsulated bacteria usually occur during the first year of life, as well as the atopic dermatitis. Thereafter, often autoimmune cytopenia and/or vasculitis occurs. The high mortality is mostly due to infection, bleeding or malignancies, in particular lymphoreticular tumours and leukemias. WAS-patients have been treated by bone marrow or cord blood transplantation from an HLA-identical sibling or an HLA-matched unrelated donor, leading to a complete correction of the platelet and immunological abnormalities<sup>2</sup>. Patients who underwent splenectomy to control bleeding, showed an increase in platelet count and had a rather good prognosis when prophylactically given antibiotics and intravenous immunoglobulins.

The mutant gene (*WASP*) responsible for this syndrome has been identified<sup>3</sup> and is mapped to Xp11.22–Xp11.23. *WASP* encodes a 502 amino acid protein (*WASP*) and is preferentially expressed in lymphocyte and megakaryocyte lineages. The gene product is very complex with well-defined

**Table 34.1.** Classification of hereditary thrombocytopenias

	X-linked recessive	Autosomal dominant	Autosomal recessive
Micro-thrombocytopenia	Wiskott–Aldrich syndrome <i>WASP</i> X-linked microthrombocytopenia <i>WASP</i>		
Macro-thrombocytopenia	X-linked macrothrombocytopenia <i>GATA1</i>	May–Hegglin anomaly <i>MYH9</i>  Fechtner syndrome <i>MYH9</i>  Sebastian platelet syndrome <i>MYH9</i>  Epstein syndrome <i>MYH9?</i>  Gray platelet syndrome ?  VWD 2B <i>VWF-gene</i>  Platelet-type VWD <i>GPIb<math>\alpha</math>-gene</i>  22q11 microdeletion <i>GPIIb<math>\beta</math>-deletion</i>  Montreal platelet syndrome <i>Calpain defect?</i>	Bernard–Soulier syndrome <i>GPIIb<math>\alpha</math>-gene</i>  <i>Or GPIIb<math>\beta</math>-gene</i> <i>Or GP IX-gene</i>
Thrombocytopenia with normal platelet size		Autosomal dominant form with normal platelet size <i>Locus on 10p</i>  Paris–Trousseau thrombocytopenia <i>del11q23</i>  Factor V Quebec ?  Familial platelet disorder with predisposition to acute myelogenous leukaemia (FPD/AML) <i>CBFA2</i>	Congenital amegakaryocytic thrombocytopenia <i>c-mpl gene</i>  TAR-syndrome ?

*Notes:*

Genetic defect is indicated in italics.

regions that give the protein several faces<sup>4</sup> such as a pleckstrin homology domain, a GTPase binding domain and several proline-rich domains, typical for transcription factors. However, WASP does not reside in the nucleus, as would be expected for a transcription factor, but instead stays just beneath the cell surface, by binding of the pleckstrin homology domain to the phospholipids of the plasma membrane, thereby probably transducing incoming

signals to the actin cytoskeleton. Furthermore, WASP binds with its GTPase binding domain to the active, GTP-bound Cdc42, a member of a superfamily of small GTP-hydrolysing proteins (GTP-ases). Cdc42 in its active form is involved in actin remodelling, such as formation of filopodia and the orientation of T-cells toward antigen-presenting cells. The precise function of WASP remains to be elucidated but is implicated through its different

domains in signal transduction and the organisation of the actin cytoskeleton. The small, dysmorphic platelets in WAS-patients are due to a disorganized cytoskeleton.

A large number of mutations in *WASP* have been identified in patients with WAS<sup>5</sup>, but also in patients with X-linked microthrombocytopenia (XLT). This disorder is not associated with eczema or increased susceptibility to infections and has a less severe clinical phenotype and prognosis. In these patients, the platelet count is moderately to severely reduced and bone marrow examination shows a normal presence of megakaryocytes. IgA is often increased with decreased isoagglutinins. The mutations in the *WASP* gene<sup>6,7</sup> in XLT are different from those found in classical WAS-patients. Why some mutations impair only the megakaryocytic lineage whereas others affect also the lymphoid cells is not yet clear. It seems however that, for predicting the clinical course, not only mutation analysis at the DNA level is important but also evaluation of the transcript at the mRNA level and at the protein level<sup>8,9</sup>: mutations in *WASP* leading to the absence of protein correlate with a more severe phenotype, whereas the translation of a normal-sized protein is more frequently found in patients with a milder clinical picture. Mutations affecting a splice site can be associated with either a severe or mild phenotype, depending on the proportion of normally spliced transcript generated and on the possible dominant negative effect of the abnormally spliced transcript on the normal protein.

### Macrothrombocytopenia

X-linked macrothrombocytopenia has only recently been described and is associated with dyserythropoiesis<sup>10</sup>. In the princeps family the two affected half-brothers (same mother) had marked anemia due to dyserythropoiesis and an important bleeding problem related to severe thrombocytopenia (one was platelet-transfusion dependent and the other underwent bone marrow transplantation). The authors found in this family a missense mutation (V205M) in the N-terminal zinc-finger of GATA1. GATA1 is the founding member of the GATA-binding family of transcription factors and has been shown to be an essential protein for normal erythropoiesis and megakaryocyte differentiation<sup>11,12</sup>. The human gene encoding GATA1 has been mapped to Xp11.23<sup>13</sup>. The V205M mutation leads to a decreased binding of GATA1 to its essential cofactor FOG1 (for Friend Of GATA1). We recently found two families with other mutations in the same N-terminal zinc finger of GATA1. The first family with ten documented affected males has a missense mutation, resulting in the replacement of Asp by Gly at codon 218 (D218G), and a less severe

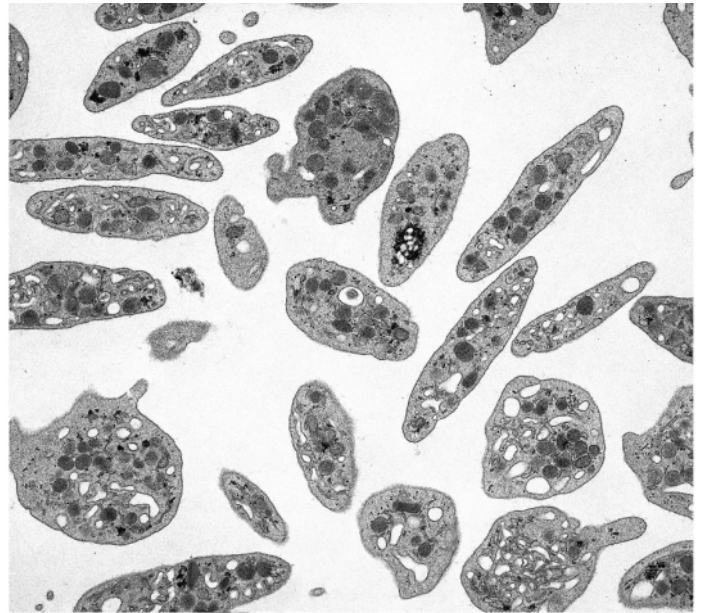


Fig. 34.1. Electron micrograph of normal human platelets. The platelets have a uniform appearance and show the typical discoid shape with normal organelles and platelet specific granules. (Original magnification:  $\times 14500$ .)

clinical picture than the previously published family; macrothrombocytopenia and dyserythropoiesis are moderate and with no marked anemia<sup>14</sup>. The second family has another missense mutation in the same codon with replacement of Asp by Tyr (D218Y). In this family the phenotype is very severe and even life threatening with only one affected member still alive; he has severe macrothrombocytopenia and pronounced dyserythropoietic anemia<sup>15</sup>. In each case, the interaction of the mutated GATA1 with FOG1 is disturbed: only mildly with the D218G mutant and markedly with the D218Y mutant, thereby correlating with the clinical phenotype. As compared to normal platelets (Fig. 34.1), the platelets in these GATA1-mutated patients have a characteristic ultrastructure (Fig. 34.2): in electron microscopy, these enlarged platelets are spherical rather than discoid, and contain clusters composed of smooth endoplasmic reticulum and abnormal membrane complexes both in the centre and in the periphery of their cytoplasm. Platelets of the hitherto described female carriers are normal in number and in ultrastructure. Light microscopically, the red blood cells are dysmorphic with anisocytes, poikilocytes, megalocytes and acanthocytes. The bone marrow of the affected patients with the D218G mutation shows normoblasts with punctate basophilia, karyorrhexis, budding and pycnosis and also increased dysplastic megakaryocytes with megakaryoblasts,

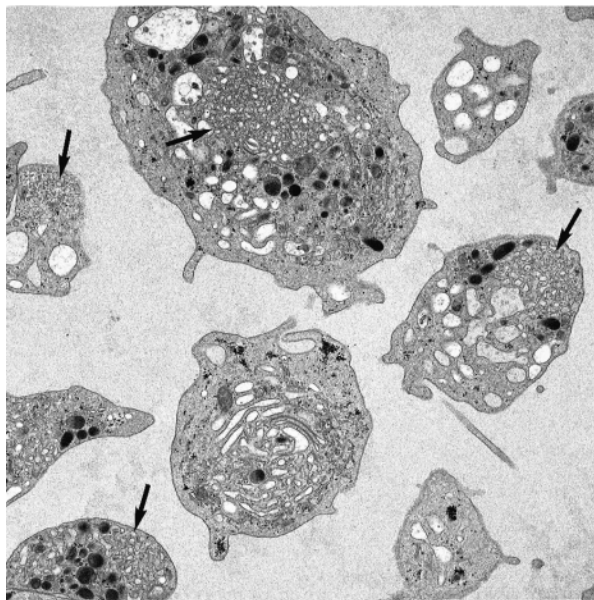


Fig. 34.2. Electron micrograph of platelets from a patient with inherited mutation in GATA-1. Enlarged platelets showing round inclusions (arrow) composed of smooth endoplasmic reticulum and abnormal membranous complexes localised in the centre or at the periphery of their cytoplasm. (Original magnification:  $\times 14500$ .)

micromegakaryocytes, vacuolization of the cytoplasm and asynchronous maturation of the nucleus and cytoplasm. The increased number of megakaryocytes in the bone marrow could potentially lead to the misdiagnosis of an acquired chronic ITP in these patients. The function of these platelets has been studied in the patients with the D218G mutation and shows a decreased but not absent ristocetin-induced agglutination due to a disturbed GPIb/V/IX complex. The promoters of many megakaryocyte-expressed genes have a GATA1 recognition site, as is the case for GPIb $\alpha$ , GPIb $\beta$ , GPIIb, GPIX, PF4, c-MPL and p45 NF-E2 and mutated GATA1 (D218G) platelets show a decreased GPIb $\beta$  and GPIX mRNA expression level.

The role of the GATA1–FOG1 transcription complex had already been demonstrated earlier in mice. Homozygous disruption of either GATA1 or FOG1 causes embryonic lethality due to a severe erythroid defect<sup>12,16</sup> and mice with a mutation in the upstream region of GATA1 (GATA1 knockout mice) show a milder erythroid defect but suffer from severe thrombocytopenia due to absent GATA1 expression in the megakaryocytic lineage<sup>17</sup>. The platelets in this latter mouse model<sup>18</sup> are enlarged and show a similar ultrastructure as in the above-mentioned patients. Therefore, it seems important that in patients with heredi-

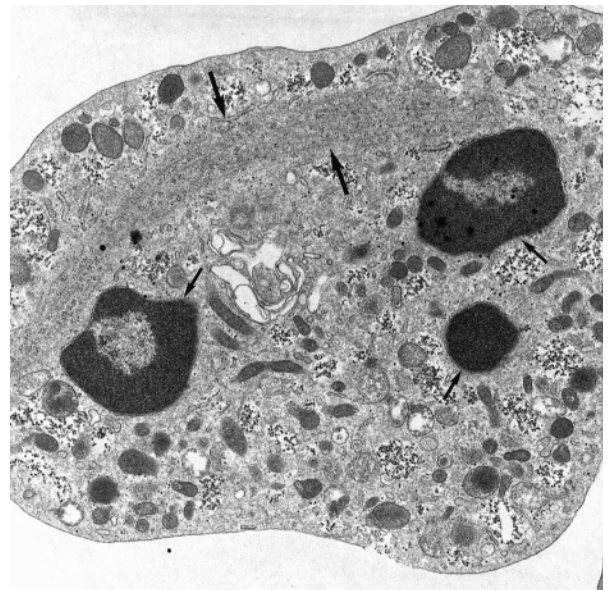


Fig. 34.3. Electron micrograph of a neutrophil from a patient with May–Hegglin anomaly. Spindle-shaped inclusions, containing a linear array of parallel filaments 7 to 10 nm diameter (arrow), orientated in the long axis of the inclusion. Part of lobulated nucleus (thin arrow). (Original magnification:  $\times 23000$ .)

tary macrothrombocytopenia or so-called familial chronic idiopathic thrombocytopenic purpura, mutations in *GATA1* (and *FOG1*, once identified in humans) are looked for.

## Autosomal dominant thrombocytopenia

### Macrothrombocytopenia

May–Hegglin anomaly is an autosomal dominant disorder with high penetrance, first reported by May (1903)<sup>19</sup> and Hegglin (1945)<sup>20</sup> in which thrombocytopenia, giant platelets and basophilic Döhle-like inclusions are found in peripheral leukocytes (Fig. 34.3). These inclusions contain cytoplasmic RNA and are similar to those seen, although transiently, in acute infections<sup>21</sup>.

In 1972, the Epstein syndrome was described as a variant of Alport syndrome in patients with renal disease, sensorineural deafness and macrothrombocytopenia and without leukocyte inclusion bodies<sup>22</sup>. Peterson presented a variant of the Epstein syndrome by describing a family with eight affected members in four generations with nephritis, deafness, congenital cataracts, macrothrombocytopenia, and also leukocyte inclusions. This new syndrome was called

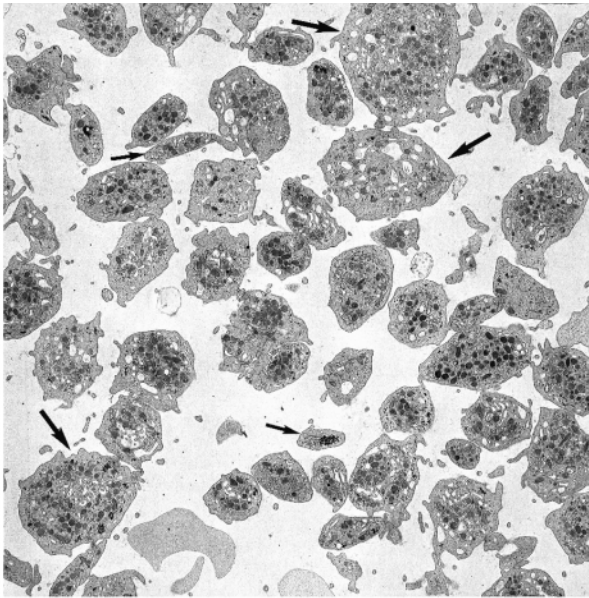


Fig. 34.4. Electron micrograph of platelets from a patient with Fechtner syndrome. Many round enlarged platelets are obvious (arrow). Some normal discoid platelets can be observed too (thin arrow). (Original magnification:  $\times 5750$ .)

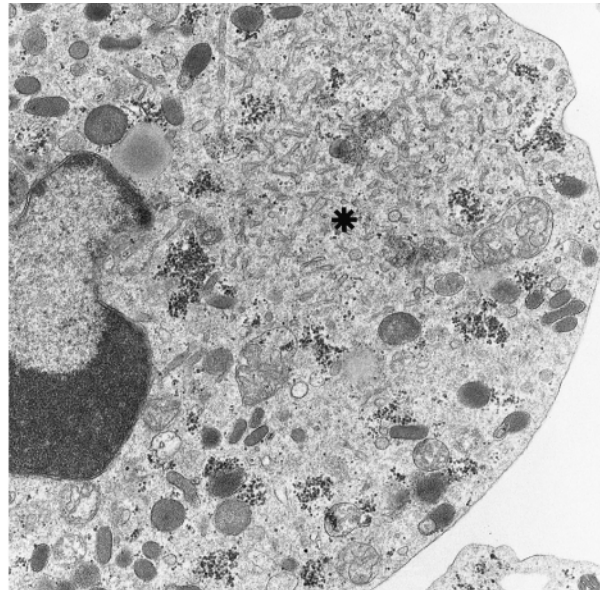


Fig. 34.5. Electron micrograph of neutrophil from a patient with Fechtner syndrome. Irregular not membrane-bound cytoplasmic inclusion is obvious (asterisk). Note granule-free zone containing numerous short cisternae of the smooth endoplasmic reticulum and some free dispersed ribosomes. (Original magnification:  $\times 28750$ .)

Fechtner syndrome, after the surname of this family<sup>23</sup> (Fig. 34.4). The leukocyte inclusions that are present in the neutrophils and eosinophils resemble light microscopically the Döhle bodies or the inclusions seen in May-Hegglin anomaly. However, ultrastructurally, these inclusions might be slightly different<sup>24,25</sup> (Fig 34.5). The renal disease varies from microscopic haematuria to end-stage renal failure. The Sebastian platelet syndrome has the same haematological characteristics (leukocyte inclusions and macrothrombocytopenia) as seen in Fechtner syndrome, but without the clinical expressions of the Alport syndrome<sup>26</sup>. Sebastian platelet syndrome and May-Hegglin anomaly therefore can only be differentiated by subtle ultrastructural leukocyte inclusion features: in both cases an oval cytoplasmic inclusion not bounded by membrane and lacking specific granules is present. In May-Hegglin anomaly (Fig. 34.3) the inclusion bodies contain clusters of ribosomes oriented along parallel filaments 7–10 nm in diameter whereas in Sebastian platelet syndrome (as in Fechtner syndrome, Fig. 34.5) they are composed of highly dispersed filaments and randomly distributed ribosomes<sup>27</sup>. There also was clinical evidence that Sebastian platelet syndrome is only one end of the clinical spectrum of Fechtner syndrome: a four generation family was diagnosed with characteristics of the Sebastian platelet

syndrome (macrothrombocytopenia and leukocyte inclusions) and with silent ocular lens opacities as the only Alport-like manifestation<sup>28</sup>. Further evidence that these disorders are linked came from the genetic field. The disease loci for May-Hegglin anomaly and Fechtner syndrome both mapped to overlapping regions on chromosome 22. Also recently it became obvious that Sebastian platelet syndrome, May-Hegglin anomaly and Fechtner syndrome are allelic and have mutations in the same gene *MYH9*, encoding the 224 kD nonmuscle myosin heavy chain IIA (MYHIIA) polypeptide<sup>27,29–31</sup>. This MYHIIA is present in platelets, monocytes, granulocytes, the kidney and the auditory system but also in a lot of other tissues. Why the patients with May-Hegglin anomaly, Fechtner syndrome or Sebastian platelet syndrome do not have symptoms of the other affected tissues remains to be elucidated. The exact disease pathogenesis needs to be unravelled further as well as whether the Epstein syndrome could belong to the same family of disorders on a molecular level.

Grey platelet syndrome is a very rare inherited disorder of the  $\alpha$ -granules of the platelets, first described by Raccuglia<sup>32</sup>, and characterized by large agranular platelets (Fig. 34.6) and thrombocytopenia<sup>33,34</sup>. The platelets have a grey appearance when studied on Wright-stained blood smears due to the lack or paucity of  $\alpha$ -granules, with,

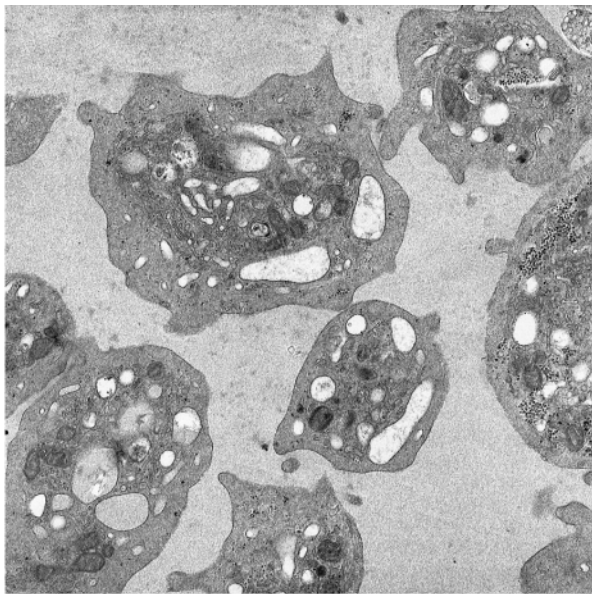


Fig. 34.6. Electron micrograph of platelets from a patient with grey platelet syndrome. Enlarged platelets showing almost complete lack of normal alpha granules. Note a marked vacuolization of their cytoplasm and dilated cisternae of the surface connected canalicular system (s.c.c.s.). (Original magnification:  $\times 23\,000$ .)

however, a normal content of dense granules. Most cases are sporadic, but the familial pedigree studies suggest an autosomal dominant inheritance. The range of thrombocytopenia is very broad (from  $20 \times 10^9/l$  to normal) and even patients with normal platelet count have a bleeding problem due to the qualitative defect of their platelets (see also chapter on platelet release defect disorder). In this syndrome there is a normal synthesis but a defective packaging of the soluble proteins normally present in these granules: von Willebrand factor (VWF), fibrinogen, thrombospondin, platelet-derived growth factor (PDGF), albumin, fibronectin, thrombospondin, platelet factor 4,  $\beta$ -thromboglobulin, plasminogen activator inhibitor I (PAI1) and factor V. The  $\alpha$ -granule membrane proteins, P-selectin, GP IIb/IIIa, CD36, and GPIb/V/IX, are expressed normally. The hemostasis in these patients can be improved by platelet transfusion, inhibitors of fibrinolysis or even DDAVP, thereby probably releasing VWF from other cellular stores. The molecular pathogenesis of this syndrome remains unknown.

Von Willebrand Disease (VWD) type 2B is an autosomal dominant bleeding disorder in which large but qualitatively abnormal von Willebrand factor (VWF) multimers are synthesized, that spontaneously bind to the GPIIb/IIIa

of the platelets. As a result of this, patients show a decreased presence of these large multimers in the plasma and a decreased ristocetin cofactor activity. Platelets, moreover, agglutinate at low concentrations of ristocetin that have no effect on normal platelets. Patients with type 2B VWD often demonstrate thrombocytopenia that is variable in time and more pronounced in conditions where the VWF is increased, such as pregnancy or following DDAVP infusion. Nurden et al.<sup>35</sup> demonstrated that the platelets of patients with type 2B VWD have ultrastructural abnormalities. The platelets are large and spherical with zones of membrane complexes and a dilated surface-connecting system containing amorphous material. There are huge amounts of VWF colocalized with GPIIb/IIIa on the surface and within the platelet surface connected canalicular system.

The molecular defects in type 2B VWD are missense mutations located in exon 28 of the gene encoding VWF, leading to amino acid alterations in the disulphide loop between Cys<sup>509</sup> and Cys<sup>695, 36</sup>. Therefore, in the differential diagnosis of autosomal dominant macrothrombocytopenia, this type of VWD has to be taken into account.

Platelet-type or pseudo- von Willebrand disease has the same clinical features as the above-mentioned type 2B VWD, but the increased affinity of VWF for the GPIIb/IIIa complex in this condition is due to a mutation in the GPIIb/IIIa gene instead of in the VWF gene. The platelet number is normal to decreased and the mean platelet volume is mostly increased<sup>37</sup>. The two described mutations in patients with this bleeding disorder, G233V<sup>38</sup> and M239V<sup>39</sup>, enrich the valine content of an already valine-rich region within the Cys<sup>209</sup>-Cys<sup>248</sup> disulfide loop of GPIIb/IIIa and thereby are gain-of-function mutations.

Patients with 22q11 microdeletion have mild thrombocytopenia and giant platelets. The clinical picture of this syndrome is very broad (Fig. 34.7): it ranges from the more severe DiGeorge sequence (thymic hypoplasia, conotruncal cardiac defects, and facial dysmorphism) to the milder velocardiofacial syndrome (VCFS) with velopharyngeal insufficiency, conotruncal heart disease and learning disabilities. Levy et al.<sup>40</sup> first reported two female patients with del 22q11 and thrombocytopenia, although the nature of this thrombocytopenia was not clear. Budarf et al.<sup>41</sup> described a boy with del 22q11 and Bernard-Soulier syndrome (BSS). He was a compound heterozygote with the deletion of one allele of the GPIIb/IIIa gene, that maps to the deleted 22q11.2 region, and a mutated GATA binding site in the promoter of the remaining allele<sup>42</sup>. Knowing that patients with del 22q11 are obligate carriers of a GPIIb/IIIa deletion, these patients can be considered as heterozygous BSS. The platelet count ranges from mildly reduced to normal, and the platelets have an increased size (Fig. 34.8).



Fig. 34.7. Clinical picture of a patient with 22q11 microdeletion. Note the typical prominent nose with squared nasal root and narrow alar base and auricular abnormalities.

The platelet function however, as tested by platelet aggregation studies and by platelet adhesion studies to collagen in a whole blood perfusion system, is normal<sup>43</sup>. Therefore these patients have only a mild bleeding tendency (if any) that correlates directly with the decrease in platelet count.

Montreal platelet syndrome<sup>34</sup> is an autosomal dominant disorder characterised by giant platelets in the peripheral blood, thrombocytopenia and spontaneous platelet aggregation, probably contributing to the pathophysiology of the thrombocytopenia. The platelet membrane glycoproteins are normal but there seems to be a defect in calpain (calcium-activated neutral proteinase)<sup>44</sup>. The molecular mechanism underlying this disorder is not unravelled yet.

### Thrombocytopenia with normal platelet size

Autosomal dominant thrombocytopenia with normal platelet size was first described in 11 members of a four-generation family<sup>45</sup>. Thereafter several other families have

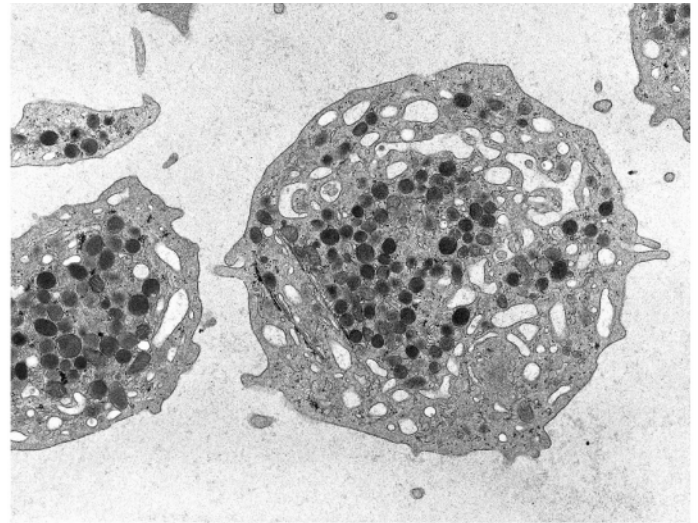


Fig. 34.8. Electron micrograph of a giant round platelet from a patient with 22q11 microdeletion. Numerous alpha granules, rather segregated in the centre, can be seen. (Original magnification:  $\times 14\,500$ .)

been reported with mild to moderate thrombocytopenia inherited in a dominant way. Iolascon et al.<sup>46</sup> described a large Italian family with moderate thrombocytopenia in 17 affected members, normal platelet size, normocellular bone marrow and normal platelet function. In the affected patients, the TPO-levels were elevated and the response to TPO was normal, making a defect in the TPO-production or in the TPO-receptor (c-mpl) very unlikely. Moreover, linkage studies to chromosome 3q26.3–q27 and 1p34, regions containing the TPO and c-mpl genes, respectively, could completely rule out this pathogenicity. Other candidate loci on 11q23–24 and 21q11 were excluded. Savoia et al.<sup>47</sup> performed a genomewide search in the same family and demonstrated by microsatellite analysis that the disease locus is linked to chromosome 10p11.1–12 with a candidate region of 6 cM. Recently 13 affected members of another family were studied<sup>48</sup> with a mean platelet count of  $42.7 \times 10^9/l$  (range  $18 \times 10^9/l$  to  $106 \times 10^9/l$ ); males and females were equally affected, compatible with an autosomal dominant mode of inheritance. These patients had no major bleeding diathesis, suggesting normal function of the remaining platelets. Megakaryocytes in the bone marrow are reduced, with delayed nuclear and cytoplasmic maturation. There are no obvious abnormalities in the myeloid or the erythroid cells. Affected members had modestly increased thrombopoietin levels. The medical history of some of these patients revealed no effect of immunosuppression or splenectomy on the platelet count. Genome-wide linkage studies based on 30 members of this

family have again identified a 17-cM region on chromosome 10p. Further studies are presently looking for the responsible gene in this region.

Paris-Trousseau thrombocytopenia is a dysmegakaryopoietic disorder due to a cytogenetic abnormality. Wardinsky et al.<sup>49</sup> reported 35 patients with deletion of 11q23 associated with mental retardation, trigonocephaly, depressed nasal bridge, hypertelorism, and cardiac and neurological malformations, of whom 47% suffered from thrombocytopenia. Favier<sup>50</sup> described a mother and son with del 11q23, mental retardation, dysmorphic features such as hypertelorism, long philtrum and low set ears, and chronic thrombocytopenia with mild bleeding complications. This condition is characterized by giant  $\alpha$ -granules in 15% of platelets in the peripheral blood, resulting from fusion of the  $\alpha$ -granules. These giant  $\alpha$ -granules do not release their content after stimulation, in contrast to platelets with normal morphology. Platelet lifespan is normal but, in the bone marrow, an increased number of micro-megakaryocytes is found with premature megakaryocytic apoptosis evidenced by massive cell lysis at the end of maturation<sup>51</sup>. Probably the Paris-Trousseau thrombocytopenia can be seen as a contiguous gene syndrome as the proto-oncogenes ETS1 and FLI1 map to 11q23.3 and 11q24, respectively, and are absent in terminal 11q23 deletion. They are both essential, along with GATA-1, for the normal expression of megakaryocyte-specific genes<sup>52</sup>.

Factor V Quebec was first described by Tracy<sup>53</sup> and is characterized by dominantly inherited mild thrombocytopenia and moderate bleeding problems. The platelet factor V activity is less than 6% of normal and platelet factor V antigen levels are half of normal. This decreased factor V is accompanied by a defect in multimerin<sup>54</sup>. Multimerin is a large, complex, multimeric protein and stabilizes factor V within the platelet  $\alpha$ -granule. These patients furthermore have a decreased content in their  $\alpha$ -granules not only of factor V but also of fibrinogen, VWF, thrombospondin and osteonectin, possibly by increased degradation of the protein content<sup>55</sup>. The normal content of platelet factor 4 and  $\beta$ -thromboglobulin suggests a normal assembly of the  $\alpha$ -granules. The platelets demonstrate a functional defect in their epinephrine-mediated aggregation<sup>56</sup>. The explanation why factor V Quebec leads to thrombocytopenia is currently unknown, neither is the genetic mechanism unravelled.

Familial platelet disorder with predisposition to acute myelogenous leukemia (FPD/AML) is an autosomal dominant disorder characterised by qualitative and quantitative platelet defects and in which the affected members have an increased risk of developing AML<sup>57</sup>. The thrombocytopenia in the first described family, with 22 family

members definitively affected, ranged from  $30 \times 10^9/l$  to  $150 \times 10^9/l$  without qualitative or quantitative abnormalities of red or white blood cells. Moreover, a thrombopathy was obvious with decreased platelet aggregation after stimulation with collagen and epinephrine, contributing to the defective haemostasis. Electron microscopy of the platelets revealed normal platelet size and presence of all organelles in adequate quantity and configuration. The platelet survival was normal in these patients. Six of them developed hematologic neoplasms. Ho<sup>58</sup> performed linkage analysis on this originally described family, and found a critical region of 15.2 cM on chromosome 21q22.1–22.2. Finally, by mutational analysis of the candidate genes in the region where FPD/AML maps, a heterozygous mutation of the hematopoietic transcription factor *CBFA2* (formerly *AML1*) was discovered leading to a decrement of megakaryocyte colony formation<sup>59</sup>. It was also suggested that the haploinsufficiency of *CBFA2* may predispose to acquisition of second mutations at other loci that are leukemogenic.

## Autosomal recessive thrombocytopenia

### Macrothrombocytopenia

Bernard-Soulier syndrome (BSS) is an autosomal recessive bleeding disorder with unusually large platelets and a moderate degree of thrombocytopenia, first described by Bernard and Soulier<sup>60</sup>. These platelets have an important functional defect: they aggregate normally after stimulation by ADP, collagen or arachidonic acid but they do not agglutinate with ristocetin<sup>61</sup> (see also chapter on Platelet adhesive protein defect disorders). This is due to a defective GPIb/V/IX complex, the major receptor for von Willebrand factor<sup>62</sup> with also binding properties for thrombin. As GPIb is the major sialylated glycoprotein on the platelet surface, and as asialylated platelets have a shortened survival<sup>63</sup>, absence of this receptor can give rise to thrombocytopenia. BSS has mostly been related to mutations in the gene for GP Ib- $\alpha$  (BSS type A) but also in the gene for GPIb- $\beta$  (BSS type B) and in the gene for GPIX (BSS type C). Ware et al.<sup>64</sup> disrupted the GPIb $\alpha$ -gene in mice; this resulted in the BSS phenotype. Moreover, he rescued the BSS phenotype by expressing the human GP Ib $\alpha$  subunit on the surface of the circulating platelets. No BSS patient with a mutation in the GPV gene has been found. This is consistent with the finding that GPV knockout mice have normal megakaryocytes with normal GPIb-IX expression on the cell surface<sup>65</sup>.



### Thrombocytopenia with normal platelet size

Congenital amegakaryocytic thrombocytopenia (CAMT) without associated physical anomalies is a rare disorder, characterised by isolated thrombocytopenia and megakaryocytopenia in infancy. It is considered a constitutional marrow failure syndrome, because of evolution with time towards bone marrow aplasia and leukemia<sup>66</sup>. The pathogenesis of this disorder remained unsolved until recently, with increasing insight into the mechanisms of megakaryocyte proliferation and differentiation. In 1994, several groups purified the protein thrombopoietin (TPO) or cloned the cDNA for TPO<sup>67</sup>. It became clear that TPO is the principal regulator of megakaryocytic cell proliferation and differentiation. The TPO-receptor gene (*c-mpl*) belongs to the cytokine receptor superfamily and encodes for five coexpressed mRNA isoforms. It is expressed in the megakaryocytic lineage from late progenitors to platelets<sup>68</sup>. TPO cannot be replaced by other cytokines in many functions: the formation of platelet specific granules, the development of demarcation membranes and platelet fields, the expression of platelet-specific membrane proteins (GP IIb/IIIa and GP Ib/V/IX), megakaryocyte adhesion through activation of GP IIb/IIIa, VLA-4, and VLA-5, endomitosis and its resultant polyploid state and finally the formation of platelets from single megakaryocytes in serum-free cultures<sup>69</sup>. Moreover, TPO and *c-mpl* also play a role in early hematopoiesis by supporting the survival of early hematopoietic progenitors and by inducing the proliferation of megakaryocytic progenitor cells by synergistic action with interleukin (IL)-3, stem-cell factor, IL-11, and EPO. The mechanism by which TPO exerts this action is probably by decreasing progenitor cell apoptosis<sup>70</sup>.

TPO plasma levels are elevated in patients with CAMT<sup>71,72</sup>, in line with the finding that TPO levels are high when the thrombocytopenia is due to megakaryocyte deficiency and low when it is due to peripheral destruction<sup>73</sup>. Furthermore, a CAMT patient had an *in vitro* defective response to TPO in megakaryocyte-colony formation, with decreased numbers of erythroid and myelocytic progenitors in clonal cultures and a lack of *c-mpl* mRNA in bone-marrow mononuclear cells<sup>71</sup>. Further evidence that *c-mpl* might be the candidate gene for CAMT without associated physical anomalies came from animal studies. In mice a deficiency of the *c-mpl* gene results in amegakaryocytic thrombocytopenia, decreased numbers of progenitors and increased concentrations of circulating TPO without any other clinical abnormalities<sup>74–77</sup>. Due to the similarity between human CAMT and murine *c-mpl* deficiency, this gene was studied in patients with CAMT and a compound heterozygote with two truncating mutations in *c-mpl* was

identified<sup>78</sup> as well as a compound heterozygote for two missense mutations<sup>72</sup>. Van den Ouderijn et al.<sup>79</sup> found mutations in the *c-MPL* gene in 4 out of 5 CAMT patients, confirming that CAMT is an autosomal recessive disorder that can be due to *c-mpl* mutations.

Congenital thrombocytopenia with absent radius is referred to by the acronym TAR-syndrome and is characterized by hypomegakaryocytic thrombocytopenia ( $<100 \times 10^9/l$ ) and bilateral absence of the radius with normal thumbs (Fig. 34.9). Initially, this condition was considered to be a form of Fanconi's anemia. However, the natural history of TAR-syndrome is more benign as compared to Fanconi's anemia. The majority of affected families suggested an autosomal recessive inheritance, whereas in some families the TAR-syndrome seemed to be inherited in an autosomal dominant way. Hall reviewed the phenotypic features of this syndrome<sup>80</sup>. 100% of the TAR-patients demonstrate a thrombocytopenia, which is symptomatic in 90% of the patients during the first months of life. Mostly, the platelet count in infancy ranges between  $15 \times 10^9/l$  and  $30 \times 10^9/l$  and thereafter there is a gradual improvement towards almost normal levels by adulthood. Bone marrow shows small, basophilic and vacuolated megakaryocytes. Leukemoid reactions are seen during the first year of life in two-thirds of the patients. True leukemia has never been documented in a patient with TAR-syndrome. The often observed anemia could either be a consequence of the blood loss, due to the thrombocytopenia, or due to a shortened RBC lifespan. The bilateral absence of the radius with the presence of normal thumbs is obligatory for the diagnosis; however, numerous additional skeletal abnormalities have been described, such as abnormal ulna, humerus, or shoulder; one-half of the TAR-patients also have abnormalities of the legs. During embryonic development, disturbances in the heart formation can occur with tetralogy of Fallot and atrial septal defects as most common problems. There is a marked inter- and even intrafamilial variability as to the extent of the skeletal, hematological and cardiac anomalies. The final vital prognosis for an affected child with TAR-syndrome is normal if the child survives the first few years of life.

The molecular defect leading to this syndrome is currently unknown. Elevated serum levels of thrombopoietin (TPO) have been found in patients with TAR-syndrome. The reactivity of TAR-platelets *in vitro* towards recombinant TPO was decreased, despite normal expression of the TPO-receptor on the surface of the platelets<sup>81</sup>. Strippoli et al.<sup>82</sup> performed mutational screening of the promoter of the TPO-receptor gene (*c-mpl*) and the coding region of the *c-mpl* gene in four unrelated TAR-patients and found no mutations thereby excluding *c-mpl* mutations as a



Fig. 34.9. X-ray photographs of the upper limb of a patient with TAR-syndrome. Note the absence of the radius at infancy (a) and at the age of 10 years (b) with normal hand development.



common cause of thrombocytopenia in TAR-syndrome. Further evaluation of the signal transduction following TPO-c-mpl interaction could possibly clarify the pathogenesis of this syndrome.

## Conclusions

Although rare, the hereditary thrombocytopenias allow to identify a number of proteins clearly involved in human thrombopoiesis and will help to clarify further this complex biological phenomenon. What is striking from the overview, is that different mutations in the same gene, or even within the same codon of the gene, can lead to markedly dissimilar phenotypes: Wiskott–Aldrich syndrome or X-linked microthrombocytopenia, the different severities in X-linked macrothrombocytopenia due to GATA-1 mutations, the May–Hegglin anomaly, Sebastian platelet syndrome or the Fechtner syndrome. On the other hand, mutations in two different genes, but with interactions between their gene products, can result in very similar phenotypes: von Willebrand disease type 2B and the platelet-type von Willebrand disease. Careful dissection of

the experiments of nature resulting in hereditary disorders continues to be a major source of medical insight.

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## Thrombocytopenias due to bone marrow disorders

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Thrombocytopenia is a common manifestation in many bone marrow disorders. As bone marrow is the site of platelet production, it is not surprising that bone marrow diseases would cause thrombocytopenia. It is pertinent to review briefly the regulation of platelet production, and how it could be adversely affected by bone marrow diseases.

### Regulation of platelet production

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Platelets are produced in the bone marrow by cytoplasmic budding of their precursor cell, the megakaryocyte. The megakaryocyte itself is derived from the hematopoietic stem cell by a series of cell proliferation and differentiation processes. These processes are controlled by a network of growth factors, the most important of which is thrombopoietin, TPO<sup>1-4</sup>. These processes also involve a specific program of gene expression mediated by transcription factors such as GATA-1, FOG-1, Fli-1 and NF-E2<sup>5-7</sup>. Mouse knockout studies revealed that disruption of the TPO gene, and genes of these transcription factors, resulted in marked impairment of megakaryocyte development, severe thrombocytopenia and/or serious bleeding<sup>8-12</sup>.

TPO exerts its effect by binding to its receptor, c-mpl on megakaryocytes and their precursor cells. TPO-c-mpl interaction induces activation of intracellular signal transduction pathways (Fig. 35.1) including Jak2 / STAT 3/5, protein kinase C (PKC) and MAPK kinase pathways<sup>13</sup>. The signalling pathway activation ultimately leads to activation of specific transcription factors, e.g. GATA-1. The activated factor then translocates to the nucleus and binds to the

regulatory region of a target gene involved in megakaryocyte proliferation and differentiation. Many of these target genes are still unknown. However, some are known such as the megakaryocyte-specific genes, GP Ib $\alpha$ , GP IX and GP II b. These are lineage-restricted proteins that are expressed at various stages of megakaryocyte maturation. We, and others, have found that GATA and Ets transcription factors play a critical role in the regulation of these genes<sup>13,14</sup>. However, these transcription factors do not act alone. For example, GATA-1 and FOG-1 interact with one another and with other still unknown factors to form a large protein complex which regulates transcription of megakaryocyte genes. We found that one member of the transcription factor family may replace another member in the regulatory complex. For instance, FOG-2 may replace FOG-1 in its interaction with GATA-1 in the complex that regulates the transcription of the NF-E2 gene<sup>15</sup>. Furthermore, specific factors are only expressed at certain stages of megakaryocyte differentiation (Holmes & BH Chong, unpublished data), suggesting that each factor may be active at a specific phase of megakaryocyte development.

In health, the platelet concentration in the peripheral blood is kept within a narrow range ( $140 \times 10^9/l$  –  $400 \times 10^9/l$ ). TPO is the key regulator of platelet production. The plasma TPO level is tightly controlled. It varies inversely with the circulating platelet concentration, or more precisely with the platelet/megakaryocyte mass. Hence, the plasma TPO level is increased in patients with thrombocytopenia and vice versa<sup>16,17</sup>.

The liver is an important source of TPO production in the body. Other sites of TPO production include the kidneys and the bone marrow stromal cells. The rate of TPO pro-

duction in the liver and kidneys is constant and not influenced by the circulating platelet concentration. Two mechanisms have been postulated for the regulation of blood TPO levels. The first is a passive mechanism in which the circulating TPO level is regulated by its binding to platelets and megakaryocytes and its subsequent degradation inside the cells. When circulating platelets increase, more TPO molecules are taken up by the cells and degraded. The plasma TPO level falls. The reverse occurs when the platelet level decreases. The increase in TPO level is greater in thrombocytopenic states in which the bone marrow megakaryocytes are markedly decreased, as in aplastic anemia<sup>16,17</sup>. In contrast, the circulating TPO is only slightly increased in idiopathic thrombocytopenic purpura (ITP) in which the megakaryocytes are increased or normal<sup>18</sup>. The TPO levels remain elevated in patients with aplastic anemia in remission when the platelet counts and bone marrow megakaryocyte number are normal<sup>17</sup>. The elevated TPO levels in these patients cannot be explained by the passive mechanism. Similarly, the passive mechanism cannot explain the normal plasma TPO levels in patients with reactive thrombocytosis and essential thrombocythemia<sup>19</sup>.

It seems most unlikely that the plasma TPO level, which is critically important for maintaining hemostasis and human survival, would be regulated by a passive mechanism alone. Data from Dr Krushansky's and my laboratory (Sungaran et al.) suggest that there is another more precise mechanism which involves TPO gene regulation<sup>20,21</sup>. This mechanism operates locally at the bone marrow, the site of platelet production. The data from both our laboratories show that TPO production by the stromal cells in the bone marrow is under gene regulation<sup>21</sup>. TPO mRNA expression in bone marrow stromal cells varies inversely with the platelet-megakaryocyte mass. It is increased in thrombocytopenic states such as ITP and aplastic anemia<sup>21</sup>. Subsequent studies from my laboratory showed that there is in fact a feedback regulatory loop via the secretion of platelet/megakaryocyte  $\alpha$ -granule proteins which modulate the stromal cell TPO gene expression and TPO secretion by these cells<sup>22</sup>. It must be pointed out, however, that the two mechanisms are not mutually exclusive. Both mechanisms may coexist, one as a broadly based regulatory mechanism and the other a more precise local control mechanism at the site of platelet production.

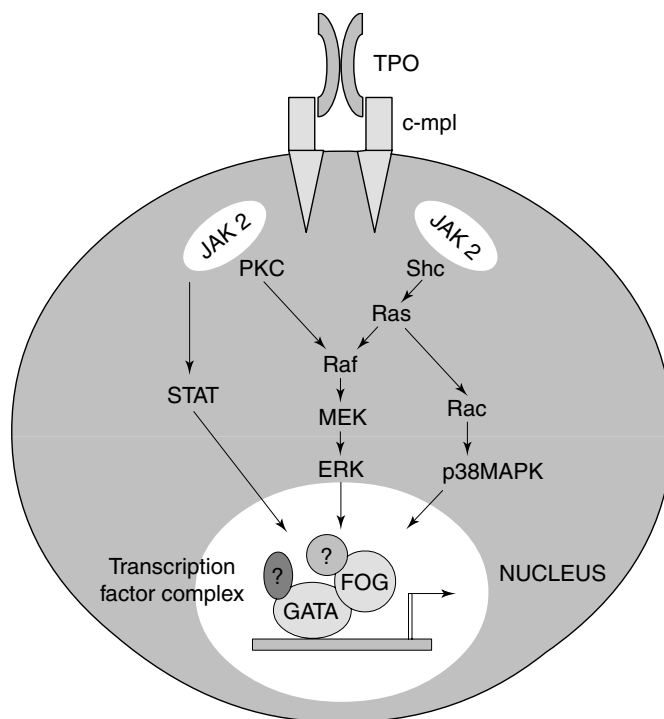


Fig. 35.1. TPO intracellular signalling and gene transcription regulation pathways. This is a diagram showing intracellular cell transduction pathways that become activated when TPO binds to its receptor on a megakaryocytic cell. TPO and c-mpl are in dimeric form. Activation of signal transduction pathway leads to activation and translocation of transcription factors (e.g. GATA-1 and FOG) which bind to the regulatory region of a target gene (e.g. GPIX) and initiate transcription.

### Bone marrow disorders that may affect platelet production

Bone marrow disorders could perturb platelet production by several mechanisms. (i) First is depletion of bone marrow hematopoietic stem cells/progenitor cells that give rise to platelets and other mature blood cells by chemotherapeutic drugs, toxic chemicals, ionizing radiation and infective agents. This leads to an aplastic marrow. (ii) Secondly is bone marrow being replaced by cancer or a foreign tissue, resulting not only in thrombocytopenia but also anemia and neutropenia. (iii) Thirdly is replacement of normal hematopoietic stem cells by a malignant/pre-malignant clone of hematopoietic cells, e.g. in acute leukemias and myelodysplastic syndrome. The abnormal clone has genetic lesions that confer on it a growth or survival advantage, but there is ineffective hematopoiesis resulting in cytopenias (including thrombocytopenia). (iv) Fourthly is a deficiency state, e.g. vitamin B12 or folate deficiency

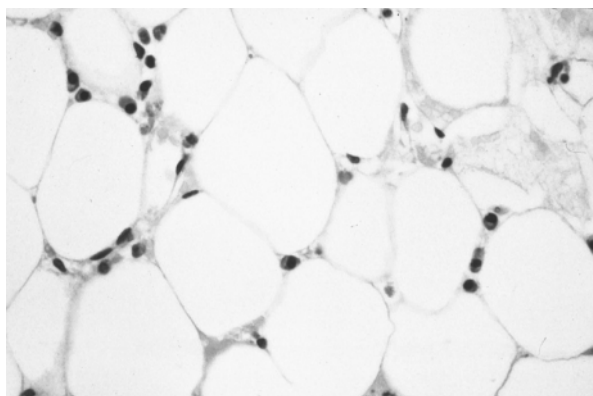


Fig. 35.2 (see also colour plate). A bone marrow trephine biopsy of a patient (male, 25 years of age) with aplastic anemia. It shows predominantly adipose spaces and stromal cells but very few hematopoietic cells.

causing defective cell differentiation and consequently ineffective hematopoiesis/megakaryopoiesis. (v) Fifthly is a defect in regulation of thrombopoiesis.

Thrombocytopenias due to bone marrow disorders will be discussed under the following five headings according to the underlying mechanisms which are responsible for the thrombocytopenia.

### Depletion of stem cells: marrow aplasia/megakaryocytopenia

#### Aplastic anemia

Aplastic anemia is a heterogenous syndrome characterized by peripheral pancytopenia and bone marrow aplasia. The marrow is devoid of precursors of myeloid, erythroid and megakaryocytic lineages and they are replaced by adipose cells (Fig. 35.2, see colour plate). CD 34+ stem/progenitor cells are markedly reduced in the bone marrow. Bone marrow cells capable of forming granulocyte/monocyte, erythroid and megakaryocyte colonies, CFU-GM, CFU-E and CFU-MK are also decreased<sup>23</sup>. The bone marrow stromal cells are normal. There is no deficiency of hematopoietic growth factors and their levels in plasma, e.g. TPO are compensatorily increased.

#### Immune mechanism (idiopathic aplastic anemia)

Aplastic anemia can be divided into two types, idiopathic and secondary. Idiopathic aplastic anemia is an uncommon condition which is now widely accepted to be an autoimmune disease<sup>23</sup>. In this condition, there is evidence of suppression of normal hematopoiesis by T lymphocytes mediated by TH-1 type cell activation response, as suggested by increased production of TNF- $\alpha$ ,  $\gamma$ -interferon and

IL-2. The immune attack causes apoptosis of CD34+ stem/progenitor cells and results in their depletion in the bone marrow<sup>23</sup>. Some secondary aplastic anemias associated with autoimmune disorders such as thymoma and systemic lupus erythematosus (SLE) may share the same T-cell mediated pathophysiology. There may be a genetic predisposition to the development of aplastic anemia. HLA-DR2 occurs twice as frequently in patients with aplastic anemia as in normal individuals<sup>24</sup>. A specific class II haplotype, i.e. DRB 1501 is strongly associated in Japanese patients with cyclosporin-responsive aplastic anemia<sup>25</sup>.

#### Drugs

The commonest cause of bone marrow aplasia is drug. The mechanism may be a direct toxic effect on the stem cells, e.g. alkylating agents, antimetabolites and other cytotoxic drugs or an idiosyncratic (hypersensitivity) reaction. Many drugs have been reported to cause marrow aplasia by an idiosyncratic reaction<sup>26</sup>. The cause-and-effect relationship is only suggestive for some of the reported drugs, but the relationship is more definitive in others such as chloramphenicol, quinacrine, non-steroidal anti-inflammatory drugs (indomethacin, diclofenac, etc.), sulfonamides, hydantoins, pyrazolones, phenothiazines, penicillamine, gold and antithyroid drugs<sup>26</sup>. Incidence of aplastic anemia due to these drugs is largely unknown except for chloramphenicol and quinacrine. The incidence of aplastic anemia caused by these two drugs is estimated to be approximately 1 in 30 000<sup>27,28</sup>. The offending drugs usually cause a generalized marrow aplasia and peripheral pancytopenia. On withdrawal of the offending drug, the marrow usually recovers, but the thrombocytopenia is often the last to do so. Isolated thrombocytopenia due to selective suppression of megakaryocyte may occur with some drugs such as chlorothiazide diuretics<sup>29</sup>. Thrombocytopenia was reported when these drugs were introduced in the 1950s. Thrombocytopenia developed over several weeks, both on initial exposure and on rechallenge. The estimated frequency of thrombocytopenia is one in 15 000 users. There were isolated reports of thrombocytopenia due to diethylstilbestrol caused by a decreased platelet production<sup>30</sup>.

#### Chemicals

Among the chemicals which have been recognized as being toxic to the marrow are benzene, insecticides (pentachlorophenol, lindane and DDT), trinitrotoluene and toluene<sup>31</sup>. These chemicals are used in industry, on the farm and at home. Benzene is used in industry as an organic solvent. It is present in unleaded petrol and tobacco smoke. Benzene and its metabolite *p*-benzoquinone suppress DNA synthesis in hematopoietic pro-



genitor cells and inhibit their proliferation<sup>32,33</sup>. These effects may lead to marrow aplasia and subsequent development of myelodysplasia and leukemia. The risk of development of these diseases is higher in individuals who are genetically predisposed. NAD(P)H: quinone oxidoreductase (NQO) plays a critical role in detoxifying benzene metabolites. Chinese workers who were exposed to benzene and had a non-functioning <sup>609</sup>C→T polymorphic allele of the NQO1 gene were reported to have an increased incidence of hematologic malignancies<sup>34</sup>.

### Alcohol

Thrombocytopenia associated with alcohol abuse is usually multifactorial. It may be due to hypersplenism, which is the result of liver cirrhosis, portal hypertension and congestive splenomegaly. Folate deficiency may also contribute to the thrombocytopenia. However, alcohol can cause direct marrow suppression, affecting megakaryocyte maturation resulting in acute thrombocytopenia<sup>35,36</sup>. Ingestion of alcohol for 5–10 days is required for sustained thrombocytopenia. After cessation of alcohol consumption, the thrombocytopenia resolves within 5–21 days. However, since not every alcoholic develops thrombocytopenia, additional factors may need to be present for thrombocytopenia to occur.

### Radiation

Acute exposure to radiation may lead to bone marrow suppression, the severity of which is dependent on the radiation dose. A dose of 1–2.5 Gy will result in leukopenia, 4.5 Gy death in 50% of the exposed individuals and >10 Gy universal death<sup>37</sup> unless the patients receive bone marrow transplantation and supportive care. Low doses such as those given during cancer therapy can also cause marrow aplasia. The degree of marrow hypoplasia depends, of course, on the dose, duration and site of radiation exposure and also on whether the patient has had prior chemotherapy. Marrow aplasia may recover but myelodysplasia and leukemia may subsequently occur<sup>38</sup>.

### Viral infection

There is a close association between viral infection, specifically hepatitis and Epstein–Barr virus (EBV) infection, and the subsequent development of aplastic anemia. Most cases of hepatitis-related aplastic anemia are caused by non-A, non-B and non-C hepatitis, although a few patients have been reported to be due to hepatitis A and B<sup>39</sup>. In many patients, aplastic anemia occurs 1–3 months or occasionally even a year after the onset of infection when the liver disease is resolving or has resolved. At present, it is unclear whether the marrow aplasia is the result of viral

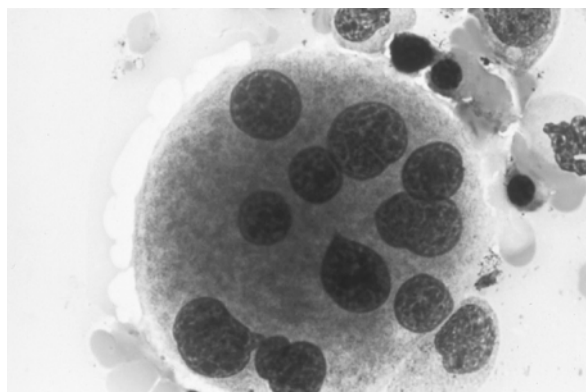


Fig. 35.3 (see also colour plate). This shows an abnormal megakaryocyte with dysplastic changes in a patient with HIV-1 infection and thrombocytopenia. It is a huge cell with nuclear fragmentation.

infection of the hematopoietic stem/progenitor cells or marrow injury by the host immune system. However, there is no association between aplastic anemia and hepatitis C, which can cause thrombocytopenia by hypersplenism and other mechanisms. Pancytopenia is frequently encountered in HIV (human immunodeficiency virus) infection, particularly in the late stages of the disease but the marrow is often hypercellular and the pancytopenia is due to ineffective hematopoiesis as a result of HIV infection of bone marrow stromal cells<sup>40,41</sup>. Occasionally, marrow hypoplasia is seen. This is attributed to marrow suppression by infection and drugs used to control viral replication.

Isolated thrombocytopenia is often noted in viral infection and this is believed to be due to at least two different mechanisms, namely infection of megakaryocytes (Fig. 35.3, see colour plate) and immune peripheral platelet destruction. Thrombocytopenia due to the former mechanism has been reported in measles, HIV (human immunodeficiency virus), varicella, mumps, Epstein–Barr virus, rubella, cytomegalovirus, parvovirus and dengue infection<sup>42</sup>. In these infections, bone marrow biopsy may show nuclear and cytoplasmic vacuolation in megakaryocytes under light microscopy and inclusion bodies on electron microscopy. Interestingly, in HIV infection, the virus enters the megakaryocyte progenitors at a stage when they express CD 4 and other chemokine coreceptors like CXCR4<sup>43</sup>. Infection of megakaryocytes leads to ineffective thrombopoiesis. The thrombocytopenia is, in part, also due to immune platelet destruction, particularly in HIV infection as the thrombocytopenia frequently responds to corticosteroid, high dose IgG infusion and splenectomy<sup>44,45</sup>.

### Miscellaneous causes

This group of secondary aplastic anemia is likely to have an immune etiology such as aplastic anemia seen in the occasional patients with systemic lupus erythematosus<sup>46</sup>. These patients usually have antibody or suppressive T lymphocytes that inhibit hematopoiesis. Although immune etiology is also likely to be present in rheumatoid arthritis patients with coexisting aplastic anemia, it is difficult to exclude the possibility that drugs used to treat the condition such as gold, methotrexate and D-penicillamine, may also contribute to the marrow aplasia. In eosinophilic fasciitis, the immune etiology of the associated aplastic anemia is even less certain as the pancytopenia is usually unresponsive to immunosuppressive therapy. However, occasional patients have partially or completely recovered with antithymocyte globulin (ATG) and/or cyclosporin<sup>47</sup>. Aplastic anemia is also known to be associated with pregnancy, in which case the disease usually improves with termination of pregnancy or after delivery<sup>48</sup>. It may recur with subsequent pregnancies. However, the condition may also progress even after delivery of the baby.

### Treatment of aplastic anemia

If there is an identifiable cause, it should be removed or treated, e.g. cessation of the offending drug, termination of pregnancy or treatment of the underlying infection (e.g. treatment of HIV-1 infection with zidovudine). Supportive treatment is also important and this includes platelet transfusion for bleeding due to thrombocytopenia, packed cell transfusion for anemia and antibiotic treatment for bacterial infection.

Specific treatment is either allogeneic bone marrow transplant (replenishment of hematopoietic stem cells), usually from a histocompatible sibling, or abrogating the immune attack by immunosuppressive therapy<sup>49</sup>. The response to allogeneic transplantation, particularly in children, is very favourable. Long-term survival rates (after 5 years) vary from 75–90%. Acute transplant mortality has decreased substantially and graft-vs.-host disease (GVHD) is now better controlled<sup>50</sup>. GVHD is still a limiting factor in the success of bone transplantation, especially in adult patients as the incidence and severity of GVHD increase with age. Patients without a compatible marrow donor and those who are unsuitable for transplantation, are treated with immunosuppressive therapy using cyclosporin or antithymocyte globulin (ATG)/antilymphocyte globulin (ALG). Patients respond better to cyclosporin plus ATG/ALG than either drug alone. A response rate of 70–80% can be expected in patients receiving the combined therapy with a survival rate at 5 years of 90% among the responders<sup>51</sup>.

### Acquired amegakaryocytic thrombocytopenic purpura (AATP)

This is a rare disorder characterized by severe thrombocytopenia and absence of megakaryocytes in the bone marrow. Bone marrow aspiration or trephine biopsy shows a marked decrease or absence of megakaryocytes<sup>52</sup> with normal erythropoiesis and granulopoiesis. An antiplatelet antibody is usually not detected. AATP is analogous to pure red cell aplasia in which there is a severe anemia and absence of erythroid precursors in the bone marrow. The pathophysiology of AATP is at present unclear. It may have different underlying mechanisms. Ex vivo culture of the patient's bone marrow cells shows no or very few CFU-MK (colony forming unit-megakaryocyte). Sera or T lymphocytes from patients with AATP have been shown to suppress megakaryocyte colony formation when added to in vitro culture of normal bone marrow<sup>52–55</sup>. These studies suggest a humoral or T suppressor lymphocyte-mediated inhibition of megakaryopoiesis, although an intrinsic CFU-MK defect may also be present.

The pathophysiology of AATP is similar to that observed in aplastic anemia. There have been cases of AATP who progressed rapidly to aplastic anemia<sup>56</sup> and others in whom the disease transformed to acute myeloid leukemia (AML) or myelodysplastic syndrome (MDS)<sup>57</sup>. Like aplastic anemia and pure red cell aplasia, AATP responds well to immunosuppressive therapy including ATG/ALG, cyclosporin and cyclophosphamide<sup>58,59</sup>. There is, as yet, no report of treatment of AATP with bone marrow transplantation.

### Paroxysmal nocturnal hemoglobinuria (PNH)

PNH is a disease related to aplastic anemia. The main clinical manifestations of this disease are intravascular hemolysis and increased tendency to thrombosis<sup>60</sup>. Thrombocytopenia may also occur in some patients with PNH. PNH and aplastic anemia are in the same spectrum of diseases as some patients with PNH may transform to aplastic anemia<sup>61</sup> and vice versa. PNH is caused by somatic mutations in the PIG-A (phosphatidylinositol glycan complementation Group A) gene leading to deficiency of glycosyl phosphatidylinositol (GPI)-anchored proteins on red cells and other blood cell surfaces<sup>62</sup>. As a result, red cells are very susceptible to lysis by terminal complement components, C5–C9 and this explains the intravascular hemolysis in PNH<sup>62</sup>. The mechanism causes platelet activation and consequently thrombosis in this condition.

The anemia and thrombosis in PNH are usually treated with blood transfusion and anticoagulant therapy. PNH patients with aplastic anemia or severe cytopenia may be treated like non-PNH patients who have aplastic anemia

with immunosuppressive therapy or bone marrow transplantation as discussed above.

### Fanconi anemia (FA)

Fanconi Anemia (FA) is an autosomal recessive congenital blood disorder, which frequently progresses to aplastic anemia in childhood but occasionally in adulthood<sup>63</sup>. The patients with FA also have a predisposition to development of AML, MDS and other cancers<sup>64</sup>. They frequently have multiple physical abnormalities such as hyperpigmentation, short stature, microphthalmia and microcephaly. Recently, two FA genes have been isolated and specific mutations in these genes have been identified<sup>65,66</sup>. The genetic defects are responsible for the spontaneous chromosomal breakage, which predispose them to the development of aplastic anemia, AML, MDS and other cancers. For laboratory diagnosis of FA, patient lymphocytes are cultured in the presence of DNA cross-linking agents such as mitomycin C and diepoxybutane (DEB)<sup>67,68</sup>. Cells from FA patients often have spontaneous chromosomal breakage which is increased substantially on exposure to these bifunctional alkylating agents.

The anemia and other cytopenias in FA patients are managed with supportive care including transfusion with packed cells and platelets. Androgen and growth factors such as erythropoietin, G-CSF and GM-CSF may also be helpful. For those who develop aplastic anemia, allogeneic bone marrow transplantation should be considered if a compatible donor is available<sup>69</sup>. Patients with FA often have endocrine dysfunction such as growth hormone deficiency and hypothyroidism which may need treatment with the appropriate hormone replacement.

## Depletion of stem cells – bone marrow replacement by neoplasm or foreign tissues

### Bone marrow infiltration by malignancy

The thrombocytopenia in hematologic malignancy (e.g. multiple myeloma and lymphoma) and metastatic non-hematologic cancer (e.g. prostate, breast, lung and renal cancer) (Fig. 35.4, see colour plate), often occurs together with anaemia and neutropenia (pancytopenia). The pancytopenia is due to suppression of normal hematopoiesis by marrow infiltration by cancer or foreign tissues, e.g. myelofibrosis. Inhibition of the normal hematopoietic clone of cells may be simply due to the overcrowding effect but secretion of an inhibitory factor by the malignant cells has been suggested. Chemotherapy and DIC may also contribute to the thrombocytopenia in this group of patients.

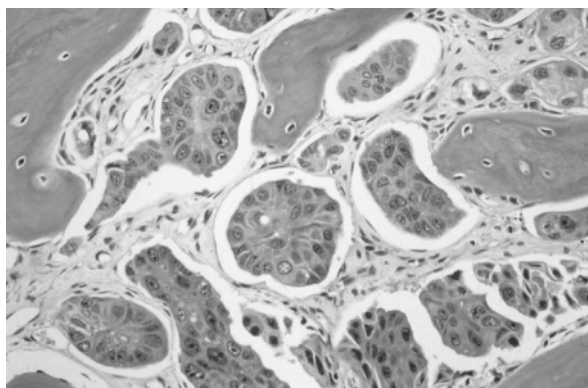


Fig. 35.4 (see also colour plate). A bone marrow trephine biopsy of a patient with metastatic breast cancer showing bone marrow infiltration by malignant cells. The cancer cells are arranged in a gland-like formation (acini).

## Ineffective thrombopoiesis: megaloblastic anemia

### Folate/vitamin B<sub>12</sub> deficiency

Megaloblastic anemias are a group of bone marrow disorders showing typical blood and bone marrow abnormalities due to impaired DNA synthesis<sup>70</sup>. The main causes of megaloblastic anemia are vitamin B<sub>12</sub> and folate deficiency. In these deficiency states, there is a decrease in deoxythymidine triphosphate (dTTP) due to the so-called 'methylfolate trap'<sup>71</sup>. This leads to a slowing of DNA replication and consequently impairment of cell development affecting all hemopoietic lineages. The hypercellular bone marrow shows cells with nuclear maturation lagging behind cytoplasmic. As a result the cells acquire more cytoplasm, and become larger than normal. These changes are most prominent in the erythroid precursors. The abnormally large erythroblasts are termed megaloblasts (Fig. 35.5, see colour plate)<sup>70</sup>. The megaloblastic changes also affect granulocyte precursors and megakaryocytes, which can be abnormally large with a bizarre nucleus containing numerous unconnected lobes<sup>72</sup>. In the peripheral blood, the red cells display marked variation in size but are often large and oval. The neutrophils are hypersegmented and the platelets are small<sup>73</sup>. The ineffective hematopoiesis leads to pancytopenia. In severe vitamin B12 deficiency, the platelets are not only reduced in number but can also be functionally abnormal<sup>74</sup>.

The commonest cause of folate deficiency is inadequate dietary intake of folate as the hepatic store of folate is small<sup>75</sup>. This occurs frequently in the elderly, the poor, the alcoholic and those with increased folate requirement

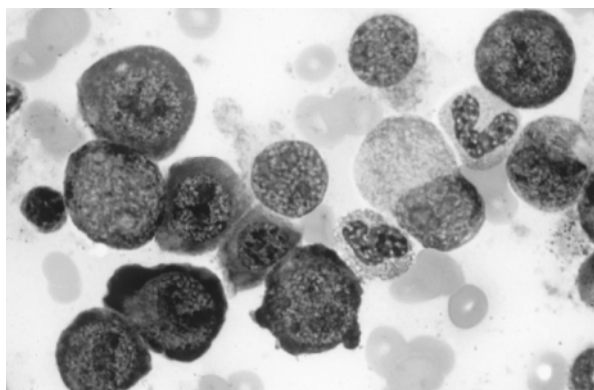


Fig. 35.5 (see also colour plate). A bone marrow smear of a patient (female, aged 76) with pernicious anemia. It shows florid megaloblastic changes with many megaloblasts and a giant band form.

such as pregnant women, patients on hemodialysis and those with chronic hemolytic anemia. Besides poor intake, folate malabsorption, e.g. in patients with tropical and non-tropical sprue, celiac disease, regional enteritis and other intestinal disorders, can also cause folate deficiency. Red cell folate concentration is more reliable than serum folate level for the laboratory diagnosis of folate deficiency as it is less variable and correlates better with the tissue folate status<sup>76</sup>. Folate deficiency is treated with 1–5 mg of folate orally per day. Therapeutic effect is usually achieved even in those with folate malabsorption.

The principal cause of vitamin B<sub>12</sub> is pernicious anemia (PA) which is an autoimmune disease<sup>77</sup>. In this disease, there is a gastric mucosa defect causing achlorhydria and decreased production of intrinsic factor (IF), which is essential for vitamin B<sub>12</sub> absorption in the ileum. Patients with PA also produce antibodies to IF and IF-B<sub>12</sub> complex which inhibit the absorption of vitamin B<sub>12</sub>. There is an association of PA with a number of autoimmune diseases such as thyroid diseases, type I diabetes mellitus, Addison disease, ulcerative colitis and vitiligo<sup>78</sup>. It occurs more commonly in Northern Europeans and in individuals with HLA types A2, A3, B7 and B12 and blood group A<sup>79,80</sup>. Vitamin B<sub>12</sub> malabsorption is also seen after total or partial gastrectomy, patients with intestinal diseases (see above), blind loop syndrome and fish tape worm infestation (*Diphyllobothrium latum*). A low serum cobalamin level in the appropriate clinical setting indicates the presence of vitamin B<sub>12</sub> deficiency. The diagnosis of PA requires in addition the detection of IF antibodies and /or a positive Schilling test. Treatment consists of parenteral administration of cyano- or hydroxocobalamin for life, e.g. in patients with PA or until the cobalamin malabsorption is corrected.

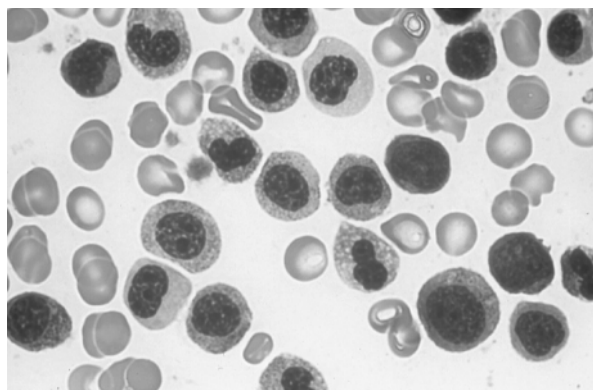


Fig. 35.6 (see also colour plate). A bone marrow smear of a patient (male, aged 64) who had myelodysplastic syndrome. It shows dysplastic changes in granulocyte precursors, namely hypogranular forms and Pelger–Huet anomaly.

## Ineffective thrombopoiesis due to an abnormal stem cell clone

### Myelodysplastic syndrome (MDS)

MDS is a common bone marrow disorder among the elderly. It is characterized by expansion of a premalignant stem cell clone, ineffective haematopoiesis and peripheral cytopenia. It consists of heterogeneous conditions with different genetic, biological, morphologic (Fig. 35.6, see colour plate) and clinical features and with varying propensity of progression to acute myeloid leukemia (AML)<sup>81</sup>. In USA, the incidence is 3.5 per 100 000 at age of 50 years, and it increases with age to 15 per 100 000 at age of 70 and 35 per 100 000 at age of 90<sup>82</sup>. The French–American–British (FAB) classification divides MDS into five different conditions as shown in Table 35.1<sup>83</sup>. Dissatisfaction with this purely morphologic classification has recently led to a new classification by the WHO<sup>84</sup>, attempting to take into consideration the genetic and biological differences among the subtypes.

The pathogenesis of MDS is still unclear. There is now strong evidence that AML and MDS are pathophysiologically linked. Several etiological factors contribute to the development of MDS/AML.

### Genetic predisposition

There is an increased incidence of MDS and AML in families with inherited constitutional genetic defects such as Fanconi anemia, neurofibromatosis type 1, Schwachman–Diamond syndrome and familial platelet disorder with leukemia (FPD/AML)<sup>85</sup>. The basis for the increased propensity to MDS/AML is defective DNA repair in Fanconi anemia

**Table 35.1.** FAB classification of myelodysplastic syndrome<sup>83</sup>

<b>Refractory anemia (RA)</b>	Cytopenia of one PB lineage; normo- or hypercellular marrow with dysplasia: <1% PB blasts and <5% BM blasts
<b>Refractory anemia with ringed sideroblasts (RARS)</b>	Cytopenia, dysplasia and the same % blast involvement in BM and PB as RA. Ringed sideroblasts account for >15% of marrow nucleated cells
<b>Refractory anemia with excess blasts (RAEB)</b>	Cytopenia of two or more PB lineages; dysplasia involving all three lineages; <5% PB blasts and 5–20% BM blasts
<b>Refractory anemia with excess blasts in transformation (RAEB-T)</b>	Hematologic features identical to RAEB >5% blasts in PB or 21–30% blasts in BM or the presence of Auer rods in the blasts
<b>Chronic myelomonocytic leukemia (CMML)</b>	Monocytosis in PB (>1 × 10 <sup>9</sup> /l); <5% blasts in PB and up to 20% BM blasts

*Notes:*

PB = peripheral blood; BM = bone marrow.

and deregulation of the RAS signal transduction pathway in neurofibromatosis<sup>63,86</sup>. An association of NQO1 gene polymorphism with MDS/AML has also been reported recently<sup>34</sup> (see above).

**Environmental carcinogens**

Recent epidemiologic studies have revealed an association between MDS and smoking/exposure to toxic agents, e.g. petroleum products, organic solvents, fertilizers, arsenic, thallium, etc.<sup>87</sup>.

**Cytogenetic abnormalities**

Gain or losses of specific chromosomal regions, e.g. 7q-, 5q-, 20q11–12, trisomy 8, 12p- and 3q-, are frequently detected in patients with MDS<sup>88</sup>.

**Genetic defects**

Besides the inherited genetic changes described above, acquired mutations in the RAS, p53 and FLT3 genes have been reported in MDS patients<sup>86,89,90</sup>. Progressive methylation and inactivation of cell cycle regulatory genes e.g. p15<sup>INK4b</sup> have been found in some patients with MDS<sup>91</sup>. Erythropoietin-induced activation of the STAT 5 signal transduction pathway has been found to be impaired in patients with MDS<sup>92</sup> and this is believed to be responsible for the refractory anemia seen in these patients.

These findings suggest that the disease develops over a period of time by a multistep genetic progression to MDS and then AML. At least in some patients, there is a strong inherited predisposition, e.g. defects in DNA repair genes, mutation in AML1 gene or NQO1 polymorphism with reduced ability to detoxify benzene metabolites<sup>34,63,85</sup>. Subsequently insult by environmental carcinogens<sup>87</sup> and genomic instability lead to stepwise gains/losses of specific chromosomal regions (described above) or chromosome translocations /inversion e.g. t(3; 21), t(8; 21), t(12;

21) and inv<sup>16</sup>, that ultimately result in further genetic changes<sup>88</sup> that may involve a tumour suppressor gene (e.g. p53), a gene in a signalling pathway (e.g. RAS) or a cell cycle regulatory gene, e.g. p15<sup>INK4b</sup><sup>89–92</sup>. From these genetic changes, a premalignant hematopoietic stem cell clone with growth or survival advantage, emerges and expands. MDS is established. With further genetic changes, it progresses to AML.

**Role of aberrant cytokine production and apoptosis in development of MDS**

Several studies have reported elevated levels of tumour necrosis factor- $\alpha$  (TNF- $\alpha$ ), transforming growth factor- $\beta$  (TGF- $\beta$ ) and interleukin-1  $\beta$  (IL-1 $\beta$ ). Increased IL-1 $\beta$  is believed to play a role in supporting the expansion of the premalignant clone. Excessive TNF- $\alpha$  may contribute to the accelerated apoptosis and ineffective hematopoiesis in MDS. There could be additional reasons for the excessive apoptosis such as perturbed adhesive interactions between hematopoietic and stromal cells<sup>88</sup>.

**Immune mechanisms in MDS**

It has been postulated that transformation of normal to aberrant stem cells induces an autoimmune T cell response. The MDS stem cell clone is more resistant than the normal clone to the immune attack and expands at the expense of the normal clone<sup>88</sup>. The data in support of an autoimmune process in MDS are:

- (i) the presence of skewed T cell receptor V- $\beta$  repertoire suggesting T cell clonal expansion in MDS<sup>88</sup>;
- (ii) MDS T cells inhibit CFU-E and CFU-GM in vitro<sup>93,94</sup>; and
- (iii) immunosuppressive therapy with ATG and cyclosporin improve cytopenia in MDS<sup>94,95</sup>.

In summary, MDS arises after a series of genetic changes that confer growth/survival advantage on an aberrant hematopoietic stem cell clone. An autoimmune T cell response which attacks the normal stem cell clone more severely, further allows expansion of the aberrant clone at the expense of the normal stem cell clone. Excessive apoptosis of the progeny of the aberrant stem cells, mediated by increased TNF- $\alpha$  and other mechanisms, leads to intramedullary death of the mature blood cells before their release into the blood stream and pancytopenia.

### Treatment

Younger patients with MDS have been treated with curative intent using allogeneic bone marrow transplantation<sup>96</sup>. The results have been variable and are poor for those with unfavourable cytogenetics and other features. Consolidation treatment with autologous bone marrow transplant after intensive chemotherapy may lead to long-term survival in a small proportion of high risk MDS patients<sup>88</sup>. Treatment with low dose 5-azacytidine has delayed progression to AML and death in also a small proportion of high risk patients<sup>97</sup>. The treatment in the majority of patients who are elderly is largely supportive care with blood transfusion for anemia, platelet transfusion for thrombocytopenia and bleeding and antibiotic therapy for infection. Recently, erythropoietin and granulocyte colony-stimulating factor have been shown to act synergistically to increase Hb levels and to lengthen the time interval between transfusions<sup>98</sup>. Immunosuppressive therapy with ATG or cyclosporin may improve the cytopenia in MDS, especially in patients with the subtype refractory anemia<sup>88,94,95</sup>.

### Acute leukemia (AL)

In AL, normal hematopoietic precursors in the bone marrow are replaced by a malignant clone of cells which fail to differentiate into mature blood cells resulting in pancytopenia (thrombocytopenia included). The block in differentiation occurs at a very early stage of myeloid maturation in AML or lymphocytic differentiation in acute lymphoblastic leukemia. A subtype of AML, acute promyelocytic leukemia (APML) deserves a special mention. In APML, the maturation arrest is at a later stage (the promyelocyte stage). The promyelocytes possess abundant cytoplasmic primary granules, which contain myeloperoxidase, proteases (e.g. cathepsin G) and other procoagulant materials. Death of the leukemic cells and release of the granules lead to disseminated intravascular coagulation (DIC)<sup>99</sup>. Before the advent of all-*trans* retinoic acid (ATRA) for its treatment, many patients with APML died of bleed-

ing because of DIC<sup>99</sup>. Unlike chemotherapeutic agents, which cause massive leukemic cell kill and sudden outpour of granular constituents into the circulation causing DIC, ATRA eradicates the malignant clone by inducing cell differentiation, avoiding the abrupt leukemic cell death and DIC<sup>100</sup>. With ATRA treatment, serious bleeding and other toxicity could be avoided or minimized. However, the remission in patients treated with ATRA alone is usually short lived. The optimal treatment of APML is a combination of ATRA and chemotherapy. Detailed discussion on leukemia and its treatment is beyond the scope of this chapter. Readers are referred to specific reviews on this topic<sup>99-102</sup>.

## Disorders of platelet regulation

### Thrombopoietin levels in thrombocytopenic states

Knockout studies showed that, in mice in which the TPO gene or its receptor, m-pl gene was deleted, the circulating platelet levels were extremely low, only 10–20% of the wild-type or control animal<sup>8,103</sup>. This suggests that TPO is responsible for the regulation of 80–90% of platelet production. A decrease in TPO or m-pl caused by a genetic defect, would result in thrombocytopenia. No congenital thrombocytopenic disorders with decreased blood TPO level have yet been identified. On the contrary, elevated TPO levels were found in congenital thrombocytopenic disorders including congenital amegakaryocytic thrombocytopenia, thrombocytopenia with absent radii, Fanconi's anemia and Schwachman–Diamond syndrome<sup>104-106</sup>. Raised plasma TPO levels are also observed in the acquired thrombocytopenic disorders in adults such as aplastic anemia, thrombocytopenia associated with chemotherapy, leukemia and other primary bone marrow disorders, and idiopathic thrombocytopenic purpura (ITP)<sup>16-18</sup>. These findings indicate that the altered TPO levels are the consequence, and not the cause of the thrombocytopenia.

### TPO deficiency: liver disease

The liver is the major source of TPO production in the body. Thrombocytopenia is a common manifestation of liver disease. A known cause of thrombocytopenia in liver disease is platelet sequestration in the enlarged spleen (hypersplenism). Is decreased hepatic TPO production another cause? The plasma TPO levels in patients with chronic hepatitis and many patients with cirrhosis are elevated, particularly if they are not thrombocytopenic<sup>107,108</sup>. The reason for the raised TPO levels is unclear. It may pos-

sibly be related to the chronic inflammatory process in the liver. However, the TPO level decreases with the severity of the disease and patients with end-stage liver cirrhosis have slightly decreased TPO levels<sup>109,110</sup>. Liver TPO mRNA levels are also decreased in these patients<sup>110</sup>. The blood TPO levels and platelet counts return to normal after liver transplant<sup>111</sup>, suggesting that decreased TPO production by the liver does play a role in the thrombocytopenia of liver disease.

### Renal disease

Although the kidney is also a prominent source of TPO, the circulating TPO levels remain normal in patients with end-stage renal failure and thrombocytopenia is not a common clinical finding in these patients.

### Autoimmune thrombocytopenia

A decreased TPO blood level as a result of anti-TPO antibody, may also be a cause of thrombocytopenia. Human subjects and animals receiving recombinant TPO have been reported to develop anti-TPO antibodies<sup>112–114</sup>, decrease in serum TPO levels and severe thrombocytopenia. These data suggest that, in some patients, chronic thrombocytopenia associated with low plasma TPO levels may be due to anti-TPO antibodies.

### Decreased sensitivity to TPO: defects in c-mpl or signalling pathways

#### Congenital amegakaryocytic thrombocytopenia (CAMT)

CAMT is a rare cause of congenital thrombocytopenia in childhood. It is characterized by isolated thrombocytopenia and absence of megakaryocytes in the bone marrow. The serum TPO levels in these patients are increased<sup>106</sup>. Bone marrow CD 34+ progenitor cells from patients with CAMT failed to differentiate into megakaryocytes. Ihara et al.<sup>115</sup> and van den Oudenrijn et al.<sup>106</sup> have found point mutations and/or a deletion in the coding regions of c-mpl in patients with CAMT<sup>115,106</sup>. These mutations lead to premature stop codon or loss of a splice site, which, if translated, will result in a non-functional receptor. The c-mpl mRNA levels in marrow mononuclear cells are usually very low or undetectable, suggesting defective translation or unstable transcripts in this disorder.

Patients with CAMT are compound heterozygote for two mutations or homozygote for one mutation in the c-mpl gene<sup>106,115</sup>. Their parents or siblings who carry one affected

allele had normal megakaryocytes, platelets and TPO levels, suggesting that only one normal c-mpl allele is required for normal megakaryocytopoiesis. As TPO also has an effect on the survival of hematopoietic stem cells and early progenitor cells of other lineages, patients with CAMT often progress to develop, in addition, neutropenia, anemia and ultimately bone marrow failure.

#### Thrombocytopenia with absent radii (TAR)

TAR is a rare congenital thrombocytopenic disorder characterized by absent megakaryocytes in the bone marrow and absence of radii in both arms. The blood TPO level is increased and c-mpl is normal<sup>104,105</sup>. It appears that there is a defect in the signal transduction pathway of c-mpl that is responsible for the abnormal megakaryocyte proliferation and differentiation in TAR syndrome<sup>104,105</sup>.

#### Cyclic thrombocytopenia

Cyclic thrombocytopenia is a rare disorder in which the platelet counts fluctuate from  $<20 \times 10^9/l$  to as high as  $1000 \times 10^9/l$ <sup>116</sup>. It is commoner in women than in men. In women, the platelet cycles usually occur in synchrony with menstruation<sup>117</sup>. Different mechanisms have been postulated for the platelet fluctuation. In some patients, there is a cyclical failure of megakaryocytopoiesis with a decrease in CFU-meg, bone marrow megakaryocytes and platelets, in that sequential order<sup>118</sup>. However, the reason for the cyclical failure of thrombopoiesis is still unknown. In some cases, an immune process may cause cyclical destruction of platelets<sup>119</sup>. It has been suggested that, in women in whom the cyclic thrombocytopenia occurs in phase with the menstrual cycle, the high estrogen level in the late luteal phase enhances macrophage Fc $\gamma$  receptor in the spleen and causes increased platelet clearance<sup>117</sup>.

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## Immune-mediated thrombocytopenia

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### Immune-mediated thrombocytopenia

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Immune-mediated thrombocytopenia is the term used to describe the group of thrombocytopenic disorders in which platelets are destroyed by immune mechanisms. The thrombocytopenia develops when the rate of platelet destruction is greater than the ability of the megakaryocytes in the bone marrow to compensate with increased platelet production. Different investigators have attempted to quantitate this rate of platelet destruction; however, the estimates are relatively imprecise. Many investigators feel that the marrow can compensate at least fivefold without a detectable fall in the platelet count. The platelet destruction in immune-mediated thrombocytopenia can be caused by autoantibodies, alloantibodies, and immune complexes. These are illustrated schematically in Fig. 36.1. Most episodes of immune-mediated platelet destruction are caused by the binding of IgG antibodies to platelet-specific membrane components.

### General approach to a patient with suspected immune-mediated thrombocytopenia

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When a patient presents with suspected immune-mediated thrombocytopenia, the physician must simultaneously confirm that the patient is thrombocytopenic, begin to determine the general mechanism responsible for the thrombocytopenia, and determine what, if any, treatment is required. Although these various aspects of thrombocytopenia are covered elsewhere in this book, it is appropriate to briefly address each question.

### Is the patient thrombocytopenic?

Today, most platelet counts are performed using automated particle counters. With cutbacks in budgets for health care, it has become far less common for every blood film of suspected thrombocytopenic patients to be examined, and even less common for manual (phase-contrast) platelet counts to be performed. Consequently, there is the risk of a patient who has an artefactually low platelet count, also known as pseudothrombocytopenia, to undergo further investigations and even be treated. Pseudothrombocytopenia occurs in 1 in every 500 to 1000 blood samples and occurs when the standard anticoagulant, ethylenediaminetetraacetic acid (EDTA), causes conformational changes in the platelet membrane which cause autoantibodies, typically IgM, to clump the platelets. Automated determination of the platelet count will be inaccurate, as the particle counter will not recognize the larger platelet aggregates as platelets. Pseudothrombocytopenia can have a number of different causes, but is usually due to EDTA-dependent platelet agglutinins. Other causes include cold-dependent platelet clumping, temperature and anticoagulant-independent platelet clumping, and platelet satellitism around leukocytes. All of these artefacts of the platelet count can be detected by examining the stained peripheral blood film. Although an actual platelet count can be difficult to determine, we have found that the most accurate count is obtained by performing a phase-contrast count on a finger prick sample. In summary, the first step when a patient is identified as having thrombocytopenia, particularly if it is asymptomatic thrombocytopenia, is to confirm that the patient is actually thrombocytopenic by examination of the stained blood film.

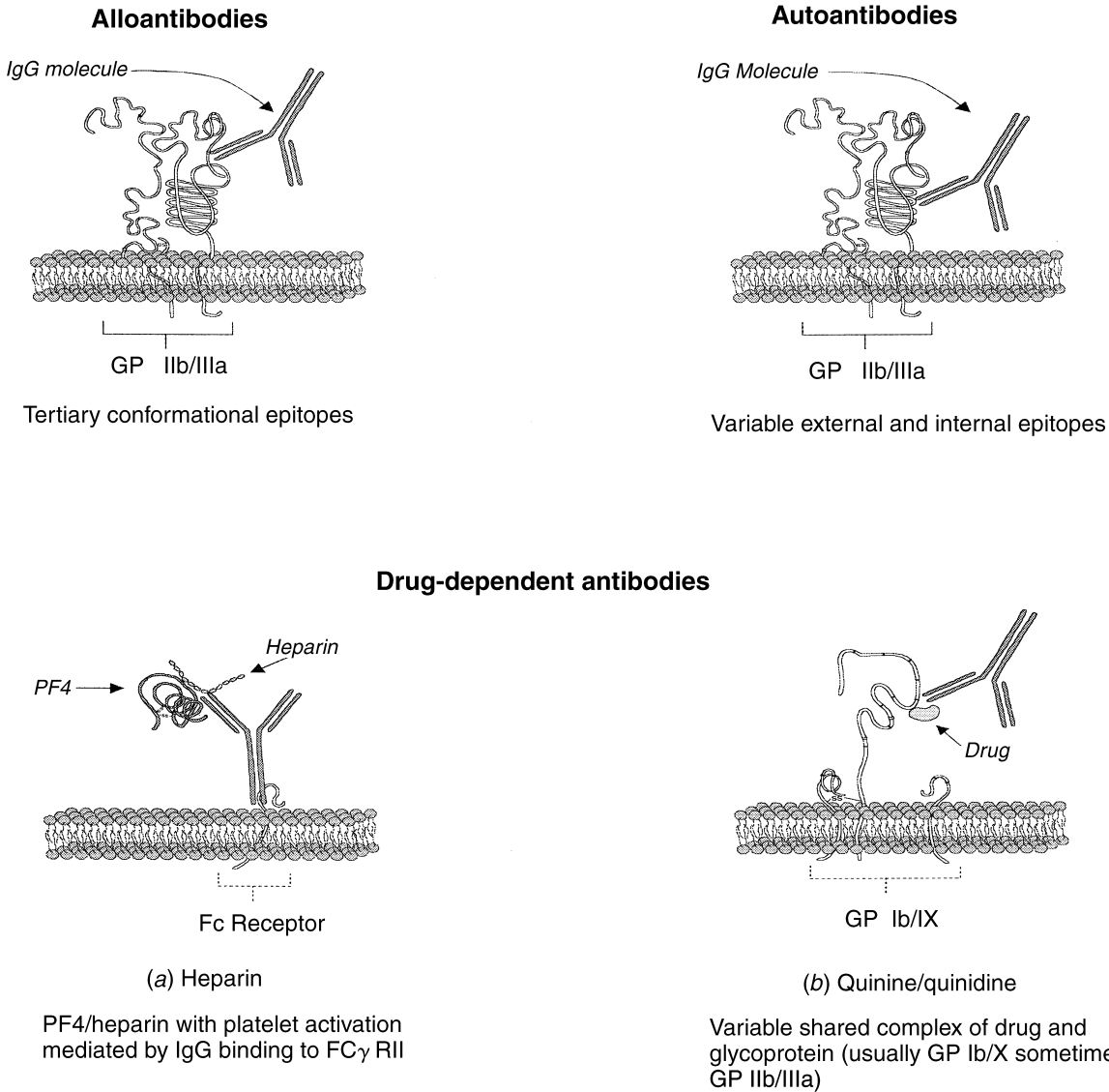


Fig. 36.1. A schematic representation of how different autoantibodies are able to bind to platelets. Most episodes of immune-mediated platelet destruction are caused by the binding of IgG antibodies to platelet-specific membrane components.

**Does this patient have immune thrombocytopenia?**

This is always the most difficult question of these three questions. Currently, most physicians believe that immune thrombocytopenia is a diagnosis of exclusion. However, recently developed tests to confirm the diagnosis of immune thrombocytopenia (described subsequently) have been shown to have a sufficiently high sensitivity and specificity to prove useful. Thrombocytopenia, like all cytopenias, can be divided into disorders of increased destruction, disorders of underproduction, and disorders of sequestra-

tion within an enlarged spleen. Thrombocytopenia caused by increased sequestration in the spleen should be suspected when one documents an enlarged spleen on physical examination, or by videographic confirmation, typically abdominal ultrasound. Hypersplenic thrombocytopenia is confirmed by the performance of autologous platelet survival studies, which document a dramatically reduced platelet recovery (frequently less than 20%), yet a normal or near normal platelet survival. Because these tests are not available to most practising hematologists, the diagnosis of thrombocytopenia caused by splenic sequestration is

usually made by confirming mild to moderate thrombocytopenia in association with splenomegaly. Finally, thrombocytopenia caused by reduced production of platelets usually is obvious because of a parallel reduction in the other circulating blood cells, especially the neutrophils, and to a lesser extent the hemoglobin. Examination of the bone marrow helps confirm this diagnosis. Rarely, isolated thrombocytopenia can occur as a consequence of platelet underproduction as seen in amegakaryocytic thrombocytopenia (Chapter 35).

Once the physician has ruled out underproduction and platelet sequestration as a cause of the thrombocytopenia, the mechanism, by default, is an increased rate of platelet destruction. In this category, immune mechanisms predominate. Other causes of increased platelet destruction which can include disseminated intravascular coagulation and generalized infections by viruses, bacteria, or parasites, among other causes, are almost always obvious and usually can be confirmed or excluded by a physical exam in conjunction with appropriate laboratory testing.

In summary, immune thrombocytopenia remains a diagnosis that is made by exclusion; however, there are typical signs and symptoms that can allow a physician to make this diagnosis with considerable confidence. First, unless the patient has a secondary cause for their immune thrombocytopenia, the patient is asymptomatic and has no physical signs with the exception of abnormalities caused by hemostatic impairment. Secondly, many patients with immune thrombocytopenia, especially those with idiopathic thrombocytopenic purpura, have moderate thrombocytopenia with platelet counts ranging from  $30$  to  $100 \times 10^9/l$ . Most frequently, these patients are entirely asymptomatic and their isolated thrombocytopenia is discovered during routine laboratory testing or after the patient has bled excessively from an otherwise relatively minor hemostatic insult such as surgery or a dental extraction. For many of these patients, a review of previous medical records will confirm that they have had thrombocytopenia for some time that was not detected.

### **Does the patient with immune thrombocytopenia require treatment?**

Recent advances in our understanding of the natural history of immune thrombocytopenia, especially idiopathic thrombocytopenic purpura (ITP), has significantly changed the approach that most physicians use to make management decisions. We now know that many patients with ITP with moderate to severe thrombocytopenia will live healthy, uncomplicated lives and not require any therapy. Many investigators have raised the question of

whether the treatment of mild to moderate thrombocytopenia (platelet counts greater than  $30 \times 10^9/l$ ) results in greater morbidity than untreated ITP. Our approach is to consider the patient's signs of thrombocytopenia and relate these to the platelet count. For example, patients with mild to moderate thrombocytopenia are almost always asymptomatic and will not have spontaneous bleeding unless they have taken a drug which interferes with platelet function such as aspirin or alcohol. These patients tend to remain asymptomatic. The most frequent question concerning intervention is whether they need treatment to raise the platelet count in anticipation of a surgical procedure such as a tooth extraction or minor surgery. Our general practice is to raise the platelet count if they have moderate thrombocytopenia (platelet count ranging from  $30$  to  $50 \times 10^9/l$ ), with no treatment for those patients whose platelet count is greater than  $50 \times 10^9/l$ . Patients with more severe thrombocytopenia, whose platelet count is less than  $20 \times 10^9/l$ , are more difficult to manage and often require therapy. It is our experience that at a platelet count ranging from  $20$  to  $30 \times 10^9/l$ , the decision-making should be individualized. Most patients with immune thrombocytopenia whose platelet count is above  $30 \times 10^9/l$  will not require therapy. Conversely, many, but not all, patients whose platelet count is less than  $20 \times 10^9/l$  will require therapy.

There is a hierarchy of symptoms that predict the need for urgent intervention. For example, some patients with platelet counts less than  $10 \times 10^9/l$  are asymptomatic. We tend to treat them, but one may argue that not treating these patients is equally as appropriate. The presence of petechiae and bruising indicates a fairly urgent need for treatment. Furthermore, generalized petechiae suggest that more immediate intervention is required. Blood blisters in the mucous membranes, especially along the bite margins of the buccal mucosa, are an indication of severe hemostatic impairment and the need for urgent intervention. Finally, patients with immune thrombocytopenia can have near fatal hemorrhages, most frequently into the brain or less commonly into the gastrointestinal tract. Almost always, these patients will have had significant bleeding, especially mucous membrane bleeding for some time, which can alert the physician about the need for urgent intervention. The rate of fatal hemorrhages in patients with platelet counts less than  $30 \times 10^9/l$  has been estimated to be between  $0.012$  and  $0.130$  cases per patient year<sup>1</sup>. For these patients, aggressive therapy including reticuloendothelial (RE) blockade, high-dose corticosteroid therapy and platelet transfusions is indicated.

**Table 36.1.** Immune thrombocytopenia

Type of antibody	Classification
Autoantibody	Primary
	Idiopathic thrombocytopenic purpura
	Acute
	Chronic
	Secondary
	Systemic lupus erythematosus
	Rheumatoid arthritis
Alloantibody	Alloimmune neonatal thrombocytopenia
	Post-transfusional purpura
	Refractoriness to platelet transfusions
Drug-dependent antibody	Quinine, quinidine
	Heparin-induced thrombocytopenia

### Autoimmune thrombocytopenia

In autoimmune thrombocytopenia, the immune destruction is caused by autoantibodies. Most of these autoantibodies bind to individual platelet glycoproteins, especially the glycoprotein IIb–IIIa complex (GP IIb–IIIa). These antibodies, which are almost always IgG, can be associated with a number of clinical disorders. Primary immune thrombocytopenia, which is also known as idiopathic thrombocytopenic purpura (ITP), has no underlying disease associations. Secondary immune thrombocytopenia includes a group of diseases (see Table 36.1) which by definition are associated with a systemic disease.

#### Primary autoimmune thrombocytopenia, idiopathic thrombocytopenic purpura

ITP is the most common cause of autoimmune thrombocytopenia. ITP occurs in both children and adults, and, simplistically, the childhood form can be considered to be the ‘inverse’ of the adult form. Eighty per cent of children with ITP will have an acute illness, defined as less than 6 months of disease with spontaneous remission of their illness. Only 20% of children with ITP will have chronic disease requiring ongoing therapy. In contrast, 80% of adults presenting with acute ITP will prove to have chronic ITP. Many will require more definitive treatment such as splenectomy. Although childhood ITP is described elsewhere in this book (Chapter 37), we will briefly summarize its key aspects. Childhood ITP is equally frequent in boys

and girls and typically presents in children less than 5 years of age. Often, the children will have had an antecedent infection or, less commonly, a vaccination. The ITP is often dramatic in its presentation as the thrombocytopenia can be quite severe, with platelet counts falling to less than  $10 \times 10^9/l$ . Most children are symptomatic and have evidence of hemostatic impairment with petechiae and purpura. Untreated, the illness usually resolves spontaneously. Although catastrophic hemorrhage such as an intracranial hemorrhage is very rare in these children (less than one percent), many physicians would treat patients who have severe thrombocytopenia and signs of hemostatic impairment with interventions such as high-dose intravenous immunoglobulins (IVIg) or high-dose corticosteroids to speed up their recovery. The extent of the investigation and the necessity for intervention is debated and is described in greater detail in Chapter 37. The likelihood of having chronic disease and requiring ongoing intervention or splenectomy increases with age and rises dramatically to adult levels following puberty. Although there is no sex predominance in the young child with ITP, girls are more frequently affected when ITP presents in adolescence or adulthood.

Adults with ITP may present in one of three ways. About one-third of patients who ultimately are diagnosed as having ITP are asymptomatic, and their thrombocytopenia is discovered at the time of routine blood testing. These patients typically have a platelet count greater than  $50 \times 10^9/l$  and they have mild idiopathic thrombocytopenic purpura. About one-third of patients will give a history of intermittent episodes of bruising that have occurred for months to years. Sometimes, the patient will note that the bruising was associated with alcohol consumption or the use of an antiplatelet agent such as aspirin. This group of patients typically has moderate thrombocytopenia and a platelet count ranging from 20 to  $50 \times 10^9/l$ . Finally, about one-third of ITP patients will present with fairly dramatic and abrupt evidence of hemostatic impairment with petechiae, purpura, and, less commonly, mucous membrane bleeding. These patients often have a platelet count that is less than  $20 \times 10^9/l$ . Treatment is required for these patients.

#### Physical and laboratory investigations in patients with ITP

The physical examination of ITP patients should parallel the approach described earlier in this chapter. The physician should simultaneously evaluate whether the patient has evidence of secondary immune thrombocytopenia and determine the degree of hemostatic impairment.

Patients should be carefully examined for evidence of petechiae. Petechiae are rare at platelet counts greater than  $20 \times 10^9/l$  unless there is a platelet function disorder. Petechiae are tiny (1 to 3 mm) collections of red blood cells that have leaked from capillaries. Petechiae are quite specific for thrombocytopenia and should be differentiated from angioma, which are more commonly found on the upper limbs and abdomen than on the feet and lower limbs. Ecchymoses or bruises are much less specific for thrombocytopenia but also provide useful information since they can help alert the physician about the chronicity of the ITP. The rest of the physical examination should focus on looking for secondary evidence of immune thrombocytopenia such as lymphadenopathy, splenomegaly and hepatomegaly.

The laboratory investigations include an accurate platelet count with examination of the peripheral blood film to exclude pseudothrombocytopenia. Other investigations include evaluation of thyroid function because of the strong association of thyroid hypo- and hyper-function with ITP. Antinuclear antibody serology, antiphospholipid antibodies, and anticardiolipin antibodies are performed if there is suspicion of an associated immune disorder. These tests should also be performed if the female patient has had a history of pregnancy losses suggesting the antiphospholipid antibody syndrome. The performance of other investigations, including viral serology (Epstein–Barr virus and HIV serology, etc.), would depend upon the presentation and associated clinical findings.

We would not perform a bone marrow in a patient with typical ITP. However, one should perform a bone marrow aspiration and examination if the patient has abnormalities seen on examination of the stained blood film or when there are multiple blood cell lineages affected. For example, we have identified elderly patients who appeared to have immune thrombocytopenia, but who proved to have a myelodysplastic syndrome. Often, the thrombocytopenia in these patients is accompanied by macrocytosis. Additionally, the diagnosis of ITP should be questioned if the patient has a poor response to standard therapy. The examination of the bone marrow should include special stains plus cytogenetic analysis, since chromosome abnormalities can be found in myelodysplastic syndromes. The finding of normal numbers or increased numbers of megakaryocytes in the bone marrow is consistent with the diagnosis of ITP. Although some investigators have described abnormalities of megakaryocytes including multinuclei, we have not found this to be helpful in the discrimination of ITP from other thrombocytopenic disorders.

Although not currently recommended for the diagnosis

and evaluation of ITP<sup>2</sup>, various other tests are currently being investigated to diagnose and characterize patients with ITP. These tests include the measurement of reticulated platelets<sup>3–6</sup>, serum thrombopoietin levels<sup>6–9</sup> and measurement of platelet glycoocalicin<sup>6,7,10</sup>.

### Platelet antibody testing

A variety of tests have been developed to detect platelet-associated antibodies<sup>11,12</sup>. These assays have been classified into three groups termed phase I, II, and III assays according to the time of introduction and to the general technique involved. Initially, in the 1950s, antiplatelet antibodies were detected by phase I assays. These assays involved the mixing of the patient's serum with control platelets from healthy volunteers. Various platelet-dependent endpoints are measured including platelet aggregation, platelet release, platelet lysis and platelet procoagulant formation. These assays have a low sensitivity and specificity for ITP and, therefore, are no longer used for its diagnosis.

Phase II assays detect platelet-associated IgG (PAIgG) by measuring both specific and non-specifically bound IgG (as well as complement and other immunoglobulins) on the platelet surface. Phase II assays are generally superior to phase I assays because they directly detect IgG or complement on the platelet, rather than infer its presence through an indirect endpoint such as platelet activation. The PAIgG is typically measured by one of three methods: two-stage methods, direct binding assays, and total PAIgG assays<sup>12,13</sup>. In the two-stage method, a known amount of anti-immunoglobulin is incubated with washed platelets. The residual anti-immunoglobulin is then measured and the amount of IgG on the platelets is calculated. Direct binding assays use labelled polyclonal or monoclonal anti-human antibodies to directly quantify the amount of IgG bound to platelets. These assays are performed by incubating the washed test platelets with labelled anti-Ig probe. The amount of labelled probe bound to the platelets is then measured. Finally, total PAIgG assays measure the total PAIgG concentration found on the surface and within the platelet. The patient's platelets are lysed which releases IgG from the membrane, cytosol, and alpha-granules. The immunoglobulin can be measured by a variety of techniques including radioimmunoprecipitation and nephelometry. In patients with ITP, the PAIgG is usually elevated, however, the diagnostic usefulness of this test is limited. These assays produce positive results for most thrombocytopenic patients. However, the finding of elevated PAIgG is not specific for or diagnostic of ITP, as levels are also ele-



vated in patients with other non-immune-mediated thrombocytopenic conditions<sup>14,15</sup>. In a study by Kelton et al. the sensitivity of the PAIgG test for clinically diagnosed ITP was found to be 91% and the specificity was 27%. The positive predictive value of an elevated PAIgG as a diagnostic test of ITP in a thrombocytopenic patient was only 49%, while the negative predictive value was 82%<sup>15</sup>.

Phase III assays measure the binding of antiplatelet autoantibodies to specific platelet glycoproteins. These assays detect and measure platelet glycoprotein-specific antibodies that recognize GP IIb/IIIa and GP Ib/IX. By measuring antibodies binding to specific glycoproteins, these assays overcome the problem of non-specific binding of IgG that complicates phase II assays. The phase III assays include immunoblotting, radioimmunoprecipitation, and antigen capture or glycoprotein immobilization assays<sup>11</sup>. In immunoblotting or Western blotting, the patient's serum is added to platelet proteins that have been separated by electrophoresis and immobilized on nitrocellulose. The binding of a patient antibody to specific protein bands is detected using a labelled anti-Ig probe. In radioimmunoprecipitation assays, the patient's serum is added to radiolabelled platelet membrane proteins. The protein-antibody complexes are precipitated by the addition of an anti-Ig bound to a solid phase. The precipitated platelet proteins are then separated by sodium dodecylsulfate polyacrylamide gel electrophoresis and detected by autoradiography. Antigen capture assays use monoclonal antibodies to capture specific platelet proteins (for example, GP IIb/IIIa). Labelled anti-Ig is used to detect the patient's IgG bound to captured platelet proteins. Large prospective studies have demonstrated phase III assays to have a high specificity for the diagnosis of ITP but to be relatively insensitive<sup>16,17</sup>. In a prospective study, Warner et al. demonstrated the specificity of these assays to be 92% and the sensitivity to be from 39 to 66%<sup>17</sup>.

### Treatment of idiopathic thrombocytopenic purpura

The most important decision to make is if the patient requires any treatment. Mild to moderate thrombocytopenia in an asymptomatic patient who will not be undergoing hemostatic challenges such as elective surgery, often does not require any treatment. Again, and as noted previously, we would typically not treat patients whose platelet count was above  $30 \times 10^9/l$  and often will treat a patient whose platelet count was less than  $20 \times 10^9/l$ . For asymptomatic patients with mild to moderate thrombocytopenia (platelet count greater than  $30 \times 10^9/l$ ), we organize appropriate serological tests and then monitor the platelets, initially on a weekly, then on an every-other-week basis for 3

to 6 months. The patient maintains a symptom diary and also records his or her own platelet count. Typically, patients with mild to moderate thrombocytopenia will have a consistent platelet count over the initial interval of monitoring. We gradually increase the interval of the platelet monitoring to once a month. We have monitored many of these patients and we have found that they tend to maintain a consistent platelet count that decreases only if the patient has had an immune stimulus, such as an infection. The majority of these patients will never be treated, but they are made aware that it may be necessary to raise the platelet count before a hemostatic challenge such as a tooth extraction. For patients with mild to moderate thrombocytopenia undergoing less extensive surgery, we would often treat them with a fibrinolytic inhibitor such as epsilon aminocaproic acid. Platelet transfusions are almost never required.

Patients with severe thrombocytopenia, and platelet counts less than  $10 \times 10^9/l$ , almost always require treatment, particularly if they have evidence of hemostatic impairment. The first line of treatment is corticosteroids or RE blockade. The corticosteroids are typically given as oral prednisone at a dose of one milligram per kilogram (rounded off to the nearest 5 to 10 milligrams); alternatively, RE blockade using Rho (D) immunoglobulin (anti-D) in an Rh positive individual ( $75 \mu g/kg$  intravenously), or high-dose intravenous immunoglobulins (IVIg) at a dose of 1 to 2 grams per kilogram. Both corticosteroids and RE blockade are equally effective and will raise the platelet count in about two-thirds of patients. Corticosteroids are more likely to produce side effects including Cushing's disease, hypertension, diabetes mellitus, and osteoporosis. RE blockade has fewer side effects, but a higher cost. When corticosteroids are used, they should be given for as short an interval as possible and a taper begun as soon as the platelet count has reached hemostatically safe levels, such as greater than  $100 \times 10^9/l$ . During the tapering phase, we will change the patient to alternate day corticosteroids, since this is more likely to have fewer adverse side effects with the same immunosuppressive effects. RE blockade using anti-D or high-dose IVIg causes the platelet count to rise within days, peak within one to two weeks, and then slowly fall over the next four to eight weeks. About 10 to 20% of adult patients who present with apparent acute ITP (no history of bruising prior to presentation) will have a complete recovery of their platelet count or will achieve a safe platelet count off all therapy. The remaining 80% of patients require more definitive therapy, and recognizing this fact, we proceed to splenectomy early in the treatment.

### Splenectomy

Splenectomy is the definitive therapy for ITP, and we perform this procedure within six months of the first presentation of ITP in adults if the patient requires ongoing therapy. Prior to splenectomy, the patient should receive pneumococcal, meningococcal, and probably *Hemophilus influenza* vaccines. The vaccine should precede the splenectomy by at least two weeks. Patients are prepared for surgery by raising their platelet count before the procedure. Again, platelet transfusions are rarely required, even in those patients with very severe thrombocytopenia who require surgery. Because of its reduced morbidity and significantly shortened hospital stay, laparoscopic splenectomy is preferred over open splenectomy<sup>18</sup>. Splenectomy will result in a long-term remission or cure in more than 70% of patients<sup>19</sup>. In general, patients who do not have a normal platelet count following splenectomy are easier to manage.

Patients who have a complete remission following splenectomy have less than 20% risk of relapse. Patients who do relapse, particularly after a prolonged interval of normal platelet count, should be investigated for the presence of an accessory spleen. Studies using sensitive imaging techniques have shown that as many as 40% of these patients will have residual splenic tissue<sup>20–22</sup>. It is important to recognize that the presence of postsplenectomy changes in the peripheral blood film do not rule out the possibility of an accessory spleen<sup>20,22</sup>. It has been estimated that about half of patients will have a second remission after removal of an accessory spleen, although our personal experience has been less positive<sup>20–22</sup>.

### Management of a patient with chronic refractory ITP

About one-third of patients with ITP who require therapy will fail splenectomy and have platelet counts less than  $20 \times 10^9/l$ . The optimal approach to these patients remains uncertain. Issues such as age and anticipated natural lifespan, mobility of the platelet count, and, finally, tolerance to medication all must be considered when discussing future therapy. For these patients, we believe that it is particularly important to have a full discussion of treatment options including the risks and benefits. For example, some patients with severe ITP and platelet counts less than  $10 \times 10^9/l$  will not have significant hemostatic impairment, and these patients can be monitored with consideration of short-term interventions such as high-dose IVIg or corticosteroids at the time of hemostatic challenge such as surgery.

A significant proportion of refractory patients require therapy, and we use a 'staircase' approach with the progressive addition of more medicines.

Some patients can be maintained at a safe platelet count using intermittent corticosteroids delivered every few days to every few weeks or high-dose IVIg given every few weeks to every few months. For this type of patient, we arrange outpatient blood testing and recommend that the patient maintain a diary of symptoms as well as record their own platelet count. Sometimes, patients can be managed with sufficiently low doses of corticosteroids given at sufficiently long intervals that long-term side effects are minimized. If larger doses of corticosteroids are used, steps should be taken to prevent osteoporosis.

The next 'step in the staircase' is the addition of the anabolic steroid danazol, which can be delivered at doses of 200 to 1200 milligrams per day. This drug causes dose-dependent elevation of liver enzymes and can cause hepatotoxicity. Therefore, its use requires careful monitoring and seldom can patients be maintained at high doses for long intervals. Vinca alkaloids, such as vincristine and vinblastine occasionally can cause a short-term rise in the platelet count, but treatment is usually limited by dose-dependent neurotoxicity. These medications are usually administered intravenously at weekly intervals. Some investigators have reported remissions induced by the administration of platelets that have been incubated with either vincristine or vinblastine<sup>23,24</sup>. However, equivalent responses have been obtained by simply infusing these medications<sup>25</sup>.

Refractory patients who do not respond to any of these manoeuvres have about a 50% likelihood of responding to any of the following agents, which we typically use in combination: cytotoxic agents, such as cyclophosphamide or azathioprine, and broad immunosuppressant agents such as cyclosporine. For both of these classes of agents, we administer them orally and at moderate doses over long intervals. Typically, an interval of 3 to 6 months is required to know whether a patient will respond to therapy. It is our opinion that some of the most common errors in managing patients with severe and refractory ITP is the ongoing use of potent agents which produce side effects (corticosteroids, vinca alkaloids, cytotoxic agents, etc.), when the patient has had no response. Sometimes, we see patients who have had greater morbidity from the treatment than from nontreated disease.

### Emergency treatment of ITP

Patients with ITP who have acute or potentially catastrophic bleeding require aggressive therapy including platelet transfusions, high dose IVIg, and high-dose corticosteroids, in addition to standard resuscitation that can include blood replacement if required.

### Experimental treatments of ITP

A large number of new therapies for ITP are currently being investigated. Emilia et al., in a small number of patients, have demonstrated that eradication of *Helicobacter pylori* infection led to a complete response in 33% of patients treated and a partial response in 17% of patients<sup>26</sup>. Other agents being investigated for the treatment of refractory ITP include rituximab, an anti-CD20 monoclonal antibody<sup>27,28</sup>, rhuIL-11<sup>29</sup>, MDX-33, a monoclonal antibody directed against the Fc $\gamma$ 1 receptor<sup>30</sup>, taxol<sup>31</sup> and immunoabsorption over a protein-A sepharose column<sup>32,33</sup>.

### ITP in pregnancy

Because ITP is a common illness that affects young women, physicians often manage pregnant patients with ITP. In this area, there has been a dramatic evolution in our understanding of the natural history, the outcome for both mother and infant, and consequently the requirement for intervention. We remind pregnant patients with ITP that their overall morbidity and the risk to their infant is very small. Nonetheless, they will require frequent physician visits during the pregnancy with careful monitoring of both mother and infant at the time of delivery. In the past, physicians tended to assume that ITP was the usual cause of thrombocytopenia in pregnancy. We now recognize that immune disorders of pregnancy are uncommon and contribute overall to less than five percent of all episodes of thrombocytopenia in pregnancy. Large prospective studies have shown that about 74% of thrombocytopenias in pregnancy are caused by incidental thrombocytopenia of pregnancy; 21% are caused by hypertensive disorders of pregnancy; and 4 percent are caused by immune disorders of pregnancy<sup>34</sup> (Fig. 36.2).

Incidental thrombocytopenia of pregnancy is defined as mild thrombocytopenia (platelet count of  $80$  to  $150 \times 10^9/l$ ) that occurs at the time of delivery in an otherwise well woman. This is not a disorder, nor does it have implications for mother or her infant. By investigating a large cohort of these women, we have found that these women can safely have epidural analgesia at the time of delivery, and their infants are well and not thrombocytopenic.

Approximately 2 in 1000 births are delivered to women with ITP, and overall ITP accounts for three percent of women with thrombocytopenia in pregnancy<sup>35</sup>. A series of prospective and retrospective studies have clarified the risk to the infant if the mother has ITP. About 10% of infants born to mothers with ITP will have a platelet count less than  $50 \times 10^9/l$ , less than 5% will have a platelet count less than  $20 \times 10^9/l$ , and less than 1% of the infants will have severe thrombocytopenia<sup>36</sup>. ITP remains a challenge for

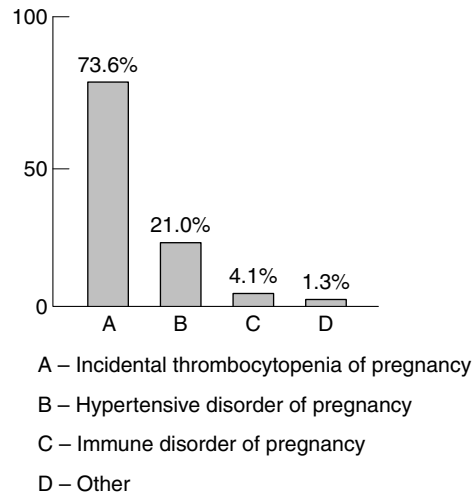


Fig. 36.2. A schematic representation of the overall frequency of the different causes of low platelets in pregnant patients. This figure was constructed from a large prospective study of some 15 000 mother–infant pairs<sup>34</sup>.

physicians because there remains a risk, although it is less than 1%, that patients with ITP in pregnancy will have catastrophic outcomes. In utero death due to fetal hemorrhage is described, as is the occurrence of severe thrombocytopenia in the infant after delivery. Many studies have attempted to identify which infant will have thrombocytopenia. There is general agreement that the maternal platelet count and measurement of IgG in maternal plasma cannot be used to predict which infant will be thrombocytopenic<sup>34,37–47</sup>. The best predictor appears to be whether the mother had a previously thrombocytopenic infant<sup>44,46,48,49</sup>. Because of this dilemma, and the fact that some infants born to mothers with ITP will have severe thrombocytopenia, investigators have studied a number of approaches for measuring the infant's platelet count in utero. These have included percutaneous umbilical blood sampling (PUBS) and fetal scalp sampling. We do not favour either approach. Depending upon the centre and their experience, PUBS carries a 2 to 5% procedure-related complication rate<sup>50</sup>. We believe it is difficult to justify any diagnostic manoeuvre with a morbidity or mortality rate that is higher than the illness itself.

Fetal scalp sampling can be performed when the membranes are ruptured and the cervix has begun to dilate. However, our experience and that of others is that this test often produces an erroneously low infant platelet count, which could lead to unnecessary intervention.

During the pregnancy, we monitor the woman's platelet counts. The frequency of platelet count measurement is related to the severity of the maternal thrombocytopenia.

Because the platelet count typically worsens later in the pregnancy, we increase the frequency of monitoring to once every one to two weeks in the later part of pregnancy. If intervention is required, we prefer RE blockade using high-dose IVIg over corticosteroids, since corticosteroids have been associated with hypertension in pregnancy. Our approach to the investigation and management of ITP in pregnancy is discussed at length elsewhere<sup>36</sup>. It is important to alert the neonatologists that a woman with ITP will soon deliver. A platelet count should be collected from the cord sample at the time of delivery with future monitoring related to this count. Most infants will have a further fall in their platelet count for several days following delivery, and the majority has the platelet nadir by day two. Treatment includes corticosteroids and high-dose IVIg. Platelet transfusions should be given if bleeding is present or if the thrombocytopenia is particularly severe.

### Secondary immune thrombocytopenias

Immune thrombocytopenia can occur in association with a variety of systemic disorders. These diseases are typically disorders of immune dysregulation and include infections, collagen vascular diseases such as systemic lupus erythematosus, lymphoproliferative disorders, and other autoimmune diseases (Table 36.2). Treatment of the thrombocytopenia includes treatment of the underlying disease and therapies as described for ITP. In some conditions, notably Evan's syndrome, the thrombocytopenia is less responsive to therapies.

#### Human immunodeficiency virus (HIV)-associated ITP

Thrombocytopenia is common, occurring in approximately 20% of patients with symptomatic disease and 9% of asymptomatic seropositive patients<sup>51</sup>. Thrombocytopenia may be the presenting manifestation of HIV infection and the risk of HIV should be assessed in any ITP patient with HIV risk factors. Patients have immune-mediated destruction of their platelets, similar to ITP. Other mechanisms also contribute to the thrombocytopenia including a defect in platelet production due to direct infection of megakaryocytes and sometimes the suppressive effects of medications. Patients with severe thrombocytopenia should be treated similarly to patients with ITP. Most patients respond to steroid therapy, however, only 10 to 20% will have a sustained response<sup>52</sup>. It has been suggested that the use of high-dose dexamethasone may actually be detrimental<sup>53</sup>. IVIg induces a transient improvement in the platelet count, but patients will

**Table 36.2.** Secondary associations of immune thrombocytopenia

Infections
Human immunodeficiency virus
Varicella
Epstein–Barr virus
Collagen vascular disease
Systemic lupus erythematosus
Rheumatoid arthritis
Progressive systemic sclerosis
Sjogren's syndrome
Lymphoproliferative disorders
Chronic lymphocytic leukemia
Hodgkin's disease
Non-Hodgkin's lymphoma
Other
Antiphospholipid antibody syndrome
Autoimmune thyroid dysfunction
Sarcoidosis
Post-bone marrow transplantation
Inflammatory bowel disease
Autoimmune hemolytic anemia (Evan's syndrome)
Bullous pemphigoid
Myasthenia gravis

require repeated treatments<sup>54</sup>. Splenectomy will induce improvement in most patients and there is no evidence that it increases the rate of progression to acquired immunodeficiency syndrome<sup>55</sup>. Treatment with retroviral medications also has a modestly beneficial effect on the thrombocytopenia<sup>55,56</sup>. Interferon-alpha may be a safe and effective treatment of zidovudine-resistant thrombocytopenia<sup>57</sup>.

#### Thrombocytopenia complicating systemic lupus erythematosus

Thrombocytopenia can occur in up to 25% of patients with systemic lupus erythematosus (SLE)<sup>58</sup>. The thrombocytopenia is usually caused by autoantibodies. Some patients will also have concomitant platelet dysfunction characterized by increased bleeding and bruising. The treatment is similar to that for ITP, although the response to splenectomy may be lower with studies showing only 14% of patients not requiring additional treatment after splenectomy<sup>59</sup>.

A subset of patients with SLE or SLE-like disorders have antibodies which interfere with phospholipid-dependent coagulation reactions, commonly detected by an unexplained elevation of the patient's prothrombin time (PT) or

international normalized ratio (INR). These antibodies are immunoglobulins with specificity for negatively charged phospholipids and are termed as lupus anticoagulant antibodies. They tend to be heterogenous in their epitope specificity with most binding protein complexes including  $\beta_2$ -glycoprotein I<sup>60</sup>. Another class of antibodies, the anti-cardiolipin antibodies, is detected by an enzyme-linked immunosorbent assay (ELISA) using cardiolipin as the antigen. Cardiolipin is a lipid present in high concentrations in the inner membrane of mitochondria. This is the same antigen that is detected in the VDRL test for syphilis, which explains the false positive VDRL test in these patients. The two classes of antibodies are distinct, but likely have overlapping specificities. In fact, most anti-cardiolipin antibodies actually recognize an epitope on  $\beta_2$ -glycoprotein I. The term 'antiphospholipid antibodies' applies to both sets of antibodies.

Antiphospholipid antibodies can be associated with both venous and arterial thrombosis. The antiphospholipid antibody syndrome is a syndrome that can include any combination of arterial and venous thrombosis, recurrent fetal losses and thrombocytopenia. In the literature, thrombocytopenia has been found to occur in approximately 20 to 60% of patients with antiphospholipid antibody syndrome<sup>61–63</sup>. The diagnosis should be considered in patients who develop such events as idiopathic deep vein thrombosis, arterial thrombosis, or thrombosis in highly unusual sites. Many of these patients will also have an unusual vascular rash termed livedo reticularis. Patients can have hematologic abnormalities including mild thrombocytopenia, platelet dysfunction and, less commonly, autoimmune hemolytic anemia and leukopenia. As the thrombocytopenia is usually mild, treatment is rarely necessary. Many patients require long-term anticoagulation therapy to prevent recurrent thrombotic events.

### **Thrombocytopenia secondary to lymphoproliferative disorders**

Immune thrombocytopenia commonly complicates chronic lymphocytic leukemia (CLL)<sup>64</sup>. This should be differentiated from thrombocytopenia of underproduction, which is seen in the spent stage of CLL. Immune thrombocytopenia is seen in 1 to 2% of patients with Hodgkin's disease and can predate or postdate the illness<sup>65</sup>. When it occurs after the illness, it does not necessarily indicate a relapse of disease. In some patients, the thrombocytopenia will resolve with treatment of the underlying malignancy. In others, the thrombocytopenia requires specific treatment. These patients should be treated similarly to patients with ITP.

### **Alloimmune thrombocytopenia**

Alloimmune thrombocytopenia is caused by alloantibodies against specific platelet glycoproteins. Alloimmune thrombocytopenic disorders include alloimmune neonatal thrombocytopenia, post-transfusional purpura and refractoriness to platelet transfusions. Alloimmune thrombocytopenia has also been documented after organ transplantation<sup>66</sup>.

### **Alloimmune neonatal thrombocytopenia**

Alloimmune neonatal thrombocytopenia is mediated by alloantibodies in maternal plasma directed against paternal alloantigens on fetal platelets<sup>67</sup>. The most common and most important alloantibody to cause this disorder in the Caucasian population is targeted against a platelet glycoprotein termed PL<sup>A1</sup> (also known as HPA-1a) located on platelet glycoprotein IIIa<sup>68</sup>. The risk of developing neonatal alloimmune thrombocytopenia appears to be associated with maternal HLA antigens, predominantly HLA DRB3\*0101 or DQB1\*02<sup>69</sup>. This disorder causes severe and often life-threatening fetal thrombocytopenia that can occur in utero. Intracranial hemorrhages occur in 10 to 15% of untreated infants with neonatal alloimmune thrombocytopenia with almost half of these hemorrhages occurring *in utero*<sup>68</sup>. To confirm the diagnosis of neonatal alloimmune thrombocytopenia, serological and genetic testing of both the father and the mother must be performed.

### **Post-transfusion purpura**

Post-transfusion purpura (PTP) is a rare transfusion reaction. The patient, usually a woman, develops severe thrombocytopenia 5 to 12 days after receiving a transfusion of a blood product containing platelets. The thrombocytopenia is often very severe (platelet count less than  $10 \times 10^9/l$ ). Post-transfusional purpura occurs when a patient produces an alloantibody to a specific platelet antigen that she lacks. The antigen usually implicated is PL<sup>A1</sup>. Similarly to neonatal alloimmune thrombocytopenia, the risk for developing PTP is increased in HLA-DR3-positive individuals<sup>70</sup>. The syndrome most commonly occurs in multiparous women because previous pregnancies lead to their sensitization. Rarely, patients (including men) who have previously been transfused are also at risk.

Platelets of both the donor and the patient are destroyed. The destruction of the donor platelets occurs because of the presence of platelet-specific alloantibodies. There is no clear explanation for why the recipient's platelets are also destroyed.

The diagnosis of PTP is made by the identification of a platelet-specific antibody in a patient with acute onset of thrombocytopenia five to twelve days after receiving a transfusion of a blood product. Although PTP is most commonly seen after transfusion of packed red blood cells, all blood products, including plasma, can cause the reaction. PTP is self-limited with recovery usually occurring within 1 to 3 weeks. However, because the condition can be lethal, treatment with plasmapheresis or IVIg should be considered. Platelet transfusions should be avoided except in cases of life-threatening hemorrhage.

### Refractoriness to platelet transfusions

Alloimmunization is also seen in patients receiving repeated platelet transfusions. In these patients, development of alloantibodies, usually against HLA class 1 antigens, results in the patient being refractory to further platelet transfusions. If alloimmunization is suspected, the patient may have a better increment in platelet count if he is transfused with platelets from a HLA-compatible donor.

### Drug-induced thrombocytopenia

Many drugs have been demonstrated to cause thrombocytopenia. The medications most commonly implicated include heparin, quinidine, sulfonamides and gold. However, virtually every medication has been associated with thrombocytopenia and the diagnosis should be considered in any patient who develops unexpected thrombocytopenia.

Patients with drug-induced thrombocytopenia typically have moderate to severe thrombocytopenia and can present with symptoms such as petechiae and mucosal bleeding. The thrombocytopenia is usually seen one to two weeks after beginning a medication, but it may occur unpredictably in patients who have been taking the medication for several years. The platelet destruction is usually IgG-mediated, although it can be mediated by IgM or complement. The thrombocytopenia usually resolves within days of stopping the causative drug. In cases of severe thrombocytopenia, the patient may be treated by reticuloendothelial blockade using either IVIg or intravenous Rho (D) immune globulin if the patient is Rh-positive. Treatment with corticosteroids is less effective. In cases of life-threatening hemorrhage, platelet transfusions may be required. Patients should be instructed to not take the drug causing the thrombocytopenia again as it will cause thrombocytopenia with subsequent exposure. If required, a structurally different drug should be substituted.

### Heparin-induced thrombocytopenia

Heparin-induced thrombocytopenia (HIT) is the most important drug-induced thrombocytopenia. Heparin causes thrombocytopenia in 1 to 3% of patients exposed<sup>71</sup>. It usually develops between five and eight days after the initiation of heparin therapy but if the patient has been exposed to heparin within the last 3 months, the thrombocytopenia can occur earlier<sup>72</sup>. Patients develop mild to moderate thrombocytopenia, with platelet counts commonly ranging from 40 to  $80 \times 10^9/l$ . In addition, patients with HIT frequently develop thrombotic complications, especially deep venous thrombosis and pulmonary embolism. Other clinical associations include arterial thrombosis, skin lesions, and uncommon thrombotic events such as adrenal gland thrombosis and hemorrhage.

HIT is caused by an IgG antibody which recognizes a complex of heparin and platelet factor-4 (PF-4)<sup>73,74</sup>. Heparin itself is not immunogenic; rather, the immunogenicity arises from the conformational change in the PF-4 caused by heparin. The PF-4/heparin/IgG immune complexes bind to platelet Fc receptors causing platelet activation and microparticle formation with resulting activation of coagulation<sup>75,76</sup>.

The frequency of HIT varies among clinical settings. The risk of thrombocytopenia also appears to be related to the type, dose and duration of heparin administration. For example, unfractionated heparin is more immunogenic than low molecular weight heparin preparations. As well, different patient populations have different risks of forming HIT-IgG. For example, the risk of HIT-IgG is higher in orthopedic patients than in medical patients<sup>77</sup>.

The diagnosis of HIT should be considered in all patients receiving heparin therapy who develop thrombocytopenia or the clinical manifestations discussed previously. Other causes of thrombocytopenia should be excluded. Serological tests are used to confirm the diagnosis of HIT. The ELISA-based test was described by Amiral and colleagues<sup>73</sup>. This assay measures the binding of platelet antibodies to a complex of heparin and PF-4. The gold standard tests are biological assays, such as the <sup>14</sup>C-serotonin release assay<sup>78</sup>. In these assays, <sup>14</sup>C-serotonin labelled platelets from normal donors are incubated with the test serum. The amount of <sup>14</sup>C-serotonin released is quantified as a measurement of platelet activation. These assays are more complex and time consuming than the ELISA-based assays. Recent studies have shown that many patients who make the antibodies that cause HIT will not go on to develop the thrombocytopenia or thrombotic complications.

Treatment of HIT involves discontinuation of heparin.

The patient should be treated with an agent that inhibits thrombin generation, such as hirudin or argatroban. Warfarin should not be used to treat acute HIT because it can trigger warfarin-induced limb gangrene. In addition to reducing the circulating concentrations of the vitamin K-dependent clotting factors, warfarin also reduces the levels of protein C and protein S, which act as natural anticoagulants.

### Gold-induced thrombocytopenia

Gold-induced thrombocytopenia occurs in as many as 3% of patients treated with this medication<sup>79</sup>. There appears to be a genetic predisposition to the syndrome with HLA DR3 occurring in up to eighty percent of affected patients<sup>80</sup>. The thrombocytopenia usually occurs within the twenty weeks of therapy and can range from mild to severe. The initial management of gold-induced thrombocytopenia is to stop the drug and provide supportive treatment if required. Despite discontinuation of the medication, the thrombocytopenia can persist for many months or even years<sup>81</sup>. This is likely due to persistence of an autoantibody, but may also be due to the prolonged release of gold from tissue stores. Rapid correction of the thrombocytopenia may be achieved with IVIg; however, a relapse of the thrombocytopenia may occur in two to four weeks once the effects of the IVIg have worn off. Patients also respond to corticosteroids. Some patients with persistent thrombocytopenia may respond to splenectomy or immunosuppressive medications. Gold-chelating agents such as dimercaprol or N-acetylcysteine may promote gold excretion<sup>82–84</sup>.

### Quinidine-induced thrombocytopenia

Quinidine or quinine may cause thrombocytopenia in approximately 0.1 to 0.2% of patients, however, it is often difficult to establish a history of drug ingestion because quinidine is present in many tonics and beverages. Patients often present with severe thrombocytopenia and bleeding. In quinine-induced thrombocytopenia, the antibody recognizes a quinine-platelet complex or a drug-induced neoantigen on the platelet surface<sup>85</sup>. The antibody-bound platelets subsequently are rapidly cleared by the Fc receptors of the reticuloendothelial cells. The platelet count usually returns to normal within several days of stopping the medication.

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## Thrombocytopenia in childhood

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Thrombocytopenia in children as in adults has a pleiomorphic expression, which may vary from a life-threatening hemorrhagic accident, to a biological finding in an apparently healthy child. In many patients clinical expression is absent or mild and thrombocytopenia will be fortuitously detected by blood cell counts during the course of a disease or prior to surgery. Neonatal thrombocytopenias also have special features requiring special diagnostic approach and management.

Platelet counting by blood cell automatic counters usually is reliable. However, in some situations, platelet counting can be erroneous; for example, circulating particles whose volume is within the platelet volume range can spuriously increase the platelet count and mask a thrombocytopenia; this can occur with extreme microcytosis or red cell fragmentation. On the contrary, *in vitro* platelet consumption, due to hemostasis activation during sampling or inefficient anticoagulation of the sample or to EDTA-induced platelet aggregation<sup>1</sup>, can lead to spurious thrombocytopenia. In any case, an initial diagnosis of thrombocytopenia must be verified by phase contrast microscopy after microsampling, and by examination of the platelets' size, morphology and density on blood smears. There is no close correlation between clinical presentation and platelet count. Most patients will have minimal bleeding symptoms even with platelet counts below  $50 \times 10^9/l$ . Others can exhibit bruising and bleeding in spite of moderate thrombocytopenia due to associated platelet functional abnormalities. The search for a precise etiology, which can be either constitutional or acquired, needs a rigorous approach, as therapeutic decisions have to rely on certainties (Table 37.1).

In this chapter drug-induced thrombocytopenia and thrombocytopenia associated with malignancies will not be considered.

### Diagnostic approaches to thrombocytopenia in infancy and childhood

Thrombocytopenia is defined by a platelet count less than  $150 \times 10^9/l$ . Due to the difficulties already described, blood smear examination, micro-sampling, phase microscopy, coagulation assessment and repeated platelet counts are mandatory to confirm the diagnosis, whatever the patient age. As in adults, thrombocytopenia results either from increased platelet destruction or decreased platelet production. This distinction can be difficult as in many disorders multiple factors can be involved in the etiology of thrombocytopenia.

Furthermore, the distinction between these two mechanisms may be difficult to achieve especially in neonates.

- (i) Blood sampling is often difficult, and the small volume available allows limited biological studies.
- (ii) Bone marrow aspiration and biopsy are technically difficult and megakaryocytes at birth can be located elsewhere than in the bone marrow. Being more and more aware of the importance of pain in very young infants, we are prone to avoid such investigations unless they appear absolutely necessary.
- (iii) Radioactive studies of platelet kinetics are not usually performed in infants.

Determination of thrombopoietin (Tpo) levels can be of help for differential diagnosis of thrombocytopenia. Tpo, also known as Mpl ligand, is considered to be the major regulator of thrombopoiesis, promoting proliferation, growth and development of megakaryocytes from CD34+ cells, polyploidization and proplatelet formation. Studies have been performed in the fetus and neonate to assess Tpo levels in normal and thrombocytopenic state. It has been shown that Tpo is present in higher concentrations in cord blood than in adults' serum samples and that the level does not correlate with either gestational age or platelet

**Table 37.1.** Etiology of thrombocytopenia in childhood

Acquired thrombocytopenias	Immunologic processes	Autoimmunity	{ AITP Neonatal thrombocytopenia due to maternal autoimmunity
		Alloimmunization	Neonatal alloimmune thrombocytopenia
	Non-immune processes	Infections	
		Increased platelet consumption	{ Disseminated intravascular coagulation Hemolytic uremic syndrome Thrombotic thrombocytopenic purpura Kasabach–Meritt syndrome Miscellaneous causes
Inherited thrombocytopenias		Small platelet size	{ Wiskott–Aldrich Syndrome X-linked thrombocytopenia
		Inherited giant platelet disorders	{ May–Hegglin disease, Alport syndrome, Fechtner syndrome Bernard–Soulier syndrome Grey platelet syndrome von Willebrand 2B syndrome
		Normal platelet volume	{ Thrombocytopenia with absent radii (TAR) syndrome Congenital amegakaryocytic thrombocytopenia Paris–Trousseau thrombocytopenia

count at birth<sup>2</sup>. A majority of neonates with hypogenerative thrombocytopenia have low levels of Tpo when compared to those reported in adults<sup>3,4</sup>. Appropriate references must be considered if Tpo assays are used for distinguishing thrombocytopenia associated with decreased platelet production from thrombocytopenia resulting from increased platelet destruction.

In these neonatal cases, it is therefore of prime importance to collect any available information, which may assist in diagnosis: maternal history of thrombocytopenia, recent bacterial infection or disseminated intravascular coagulation (DIC) must be searched for. Maternal platelet counts and neonatal coagulation tests should be available. A previous history of neonatal thrombocytopenia in the siblings or relatives is important and in some cases immunological tests for maternofetal alloimmunization should be carried out.

In childhood, acute autoimmune thrombocytopenic purpura (AITP) is the most frequent cause of thrombocytopenia and the diagnosis is usually made on clinical evidence after exclusion of other obvious causes:

- (i) the sudden occurrence of a severe thrombocytopenia, associated with an extensive purpura and/or mucosal hemorrhage in an otherwise healthy child;
- (ii) a recent viral infection/ vaccination in the child or a sibling.

The presence of an isolated, severe thrombocytopenia usually confirms the diagnosis. A bone marrow study is mandatory only in cases of atypical clinical or biological features, or for some authors before a steroid therapy is instituted in order to rule out a leukemia or a myelodysplastic syndrome.

The detection of specific autoantibodies using antigen-capture assays (Immunobead assay<sup>5</sup>, MAIPA test<sup>6,7</sup>) is not mandatory, but may be of help in case of equivocal diagnosis. The indirect MAIPA is less likely to be positive in AITP than the direct MAIPA<sup>8</sup>. However, these assays are not easily available for routine diagnosis.

As in neonates, Tpo assays can be considered in the differential diagnosis to determine the mechanism of the thrombocytopenia<sup>9</sup>. The results must be compared to the normal range defined according to age.

In the case of chronic thrombocytopenia, other investigations, such as isotopic studies, are required to further confirm the diagnosis before therapeutic decisions such as splenectomy are made.

Platelet aggregation studies, vWF cofactor activity assays, platelet counts and vWF assessment in the parents and siblings, and platelet glycoprotein studies will be performed in cases of suspected inherited thrombocytopenia.

## Acquired thrombocytopenia

### Immunologic processes

#### Autoimmunity

##### *Neonatal thrombocytopenia due to maternal autoimmune thrombocytopenic purpura*

Autoimmune thrombocytopenic purpura (AITP) in pregnant women can induce moderate or severe thrombocytopenia in the fetus or in the newborn whatever the mother's disease status. It may occur notwithstanding splenectomy, in babies of thrombocytopenic mothers or of mothers with normal platelet counts due to compensated thrombocytolysis.

It is unpredictable and occurs in 30–40% of cases. For most authors none of the mother's clinical or biological parameters can predict the risk of thrombocytopenia in the newborn<sup>10</sup>. However, more recently studies have shown that severe neonatal thrombocytopenias are more often found in offspring of women with previous splenectomy<sup>11</sup>. Furthermore, mothers with AITP and HLA DRB3\* phenotype seem to be protected against giving birth to a thrombocytopenic newborn; in contrast HLA DR02, DR5\* could provide a higher risk. This last report, if confirmed by a multicentric study, could constitute a predictive factor useful in the management of pregnancy and of the newborn<sup>12</sup>. It has been shown that, despite the absence of prenatal bleeding in most cases, thrombocytopenia could be found as early as 20 weeks of gestational age and increases as gestation proceeds. Fetal thrombocytopenia cannot be prevented: intravenous immunoglobulin (IVIgG) or steroids, even if effective in raising the mother's platelet counts, do not appear to be able to decrease fetal platelet destruction<sup>13</sup>.

The mode of delivery of mothers with AITP has evolved during the past years. Cesarean sections have been advocated to avoid potential intracranial hemorrhage in severely thrombocytopenic fetuses, whereas vaginal delivery has been suggested to bear a higher risk. In order to determine the mode of delivery and to decrease the rate of unnecessary cesarean sections, percutaneous umbilical blood sampling has been advocated. More recently the rationale for the assessment of fetal platelet counts has been subject to controversy.

- (i) Up to now there is no prospective study showing that cesarean section is more effective in preventing intracranial hemorrhage than vaginal delivery.
- (ii) In pregnant women with AITP, severe fetal thrombocytopenia is observed in only 10 to 15% of the cases.

**Table 37.2.** Neonatal thrombocytopenia due to maternal autoimmune thrombocytopenic purpura (AITP)

*The fetal/neonatal thrombocytopenia is unpredictable:*

30–40% of infants born to mothers with AITP will be thrombocytopenic  
10–15% of infants will be severely thrombocytopenic but only 0–3% of infants with intracranial hemorrhage

*No antenatal therapy to reverse fetal thrombocytopenia*

Delivery:

vaginal delivery in most cases  
cesarean section in case of obstetrical complications and suspicion of severe fetal thrombocytopenia

After birth:

close monitoring of the newborn platelet count  
nadir of the neonatal thrombocytopenia on day 3–5  
neonatal thrombocytopenia observed from 10 to 60 days  
therapy: IVIgG, exchange-transfusion in case of severe bleeding

(iii) Not all fetuses with severe antenatal thrombocytopenia will suffer intracranial bleeding.

(iv) Percutaneous umbilical blood sampling (PUBS) has a 0.5–1.5% complication rate.

Therefore PUBS is not recommended in this situation unless there has been severe bleeding in the offspring. In these cases, PUBS, if decided, must be performed in a referral centre and at the end of pregnancy. In our practice, in case of obstetrical complications and suspicion of severe fetal thrombocytopenia, we propose cesarean delivery as an alternative.

After birth, close monitoring of the newborn platelet count is recommended. Eventuality of an associated impairment of platelet function due to the interaction of autoantibodies with their epitope must not be ignored, and neonatal bleeding associated with moderate thrombocytopenia has been reported in such cases. Neonatal thrombocytopenia usually worsens during the first days of life with a nadir on day 3 to 5 and lasts from 10 to 60 days. Postnatal management includes IVIgG which has been shown to be effective most of the time, and low dose steroid therapy, which may be prescribed as an hemostatic agent. Exchange transfusion has only a moderate and transient effect but can be proposed in case of severe bleeding (Table 37.2).

##### *Acute AITP in childhood*

Acute AITP is a frequent disease occurring in most cases between 2 and 8 years of age. It is generally a benign, self-limited condition with, in 80–90% of cases, a spontaneous

recovery within a matter of days or weeks. The incidence has been reported to be 5.3 per 1 000 000 children under 15 years<sup>14</sup>. It often follows a seasonal viral illness, most often upper respiratory tract infection occurring within the 4 weeks before the child presents with thrombocytopenia. Vaccination, infectious mononucleosis, varicella, or various non-diagnosed erythemas can also be incriminated<sup>15</sup>. The male:female ratio is 1. Bruising and mucosal hemorrhage are often the first symptoms with an abrupt onset. The characteristic features are isolated thrombocytopenia and normal to increased numbers of megakaryocytes in the bone marrow<sup>16</sup>. The severity of thrombocytopenia reflects the balance between antibody-mediated platelet destruction and increased platelet production from megakaryocytes. The risk of severe bleeding is correlated with the clinical expression: nasal bleeds, menorrhagias in girls, hemorrhagic bullae inside the mouth on the internal face of the cheek, can alert to the potential severity of the disease.

The association with any coagulation abnormality can enhance the risk for bleeding and call for adapted management. Storing frozen serum samples can be of a great help for further immunological or viral investigations, which might not appear to be of interest at presentation. Bone marrow aspirate is recommended in all children with acute AITP if clinical presentation or laboratory features are atypical and in those children treated with IVIgG or short-term oral steroids who either fail to respond or require retreatment<sup>17</sup>. Approximately 10–20% of children with acute AITP will develop the chronic variety (Table 37.3).

#### *Chronic AITP*

Chronic AITP implies disease persisting for more than 6 months, which is an arbitrary threshold. The diagnosis of chronic AITP is mainly a process of exclusion, which is based on considering non-immune causes of long-term isolated thrombocytopenia such as various congenital thrombocytopenias and type 2B von Willebrand disease and also excluding immune-mediated thrombocytopenia associated with multisystem autoimmune disease or more generalized immune dysfunction<sup>18</sup>. A major difference will exist between children with repeated clinical manifestations and those who disclose only laboratory evidence of persistent antiplatelet autoimmunity, with no hemorrhagic symptoms<sup>18</sup>. Some features have been claimed to be predictive of the development of a chronic form of AITP, such as insidious presentation, female sex, age over 10 years, symptoms lasting for more than 2 weeks after diagnosis<sup>19</sup>. The incidence of life-threatening hemorrhage is lower than previously reported. Intracranial hemorrhage occurs in less than 0.1% of the cases and in 0.5% of the

**Table 37.3.** Autoimmune thrombocytopenic purpura (AITP) in childhood

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#### *Acute AITP*

frequent disease between 2 and 8 years of age  
generally benign with in 80–90% of cases a spontaneous recovery  
viral infections have been incriminated  
abrupt onset of bruising and mucosal hemorrhage  
isolated thrombocytopenia with normal to increased numbers of megakaryocytes  
bone marrow aspirate is recommended in all children if clinical presentation or laboratory features are atypical or in case of failure therapy with IVIgG or short-term oral steroids  
therapy is controversial: steroids, IVIgG

#### *Chronic AITP*

disease persisting for more than 6 months  
intracranial hemorrhage in less than 0.1% of the cases and in 0.5% of the cases with a platelet count persistently  $<20 \times 10^9/l$  12 months after the diagnosis  
therapy: IVIgG steroids; splenectomy has to be restricted to particular cases with refractoriness to all medical treatments, persistent bleeding tendency, risk for cerebral hemorrhage or unacceptable side effects of medical therapy

#### *Emergency therapy*

for patients with significant mucosal bleeding or extremely low platelet counts  
hospitalization and therapy with a combination of IV steroids, IVIgG and platelet transfusion

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cases with a platelet count persistently  $<20 \times 10^9/l$  12 months after the diagnosis<sup>20</sup>. There may be associated predisposing factors such as trauma or vascular malformations.

Most importantly, thrombocytopenia will resolve or switch from a severe to a mild form in the majority of children with chronic AITP, with an estimate of 30% at 2 years and 61% after 15 years<sup>21</sup> (Table 37.3).

#### *Therapy of AITP in childhood*

The therapeutic decision should mostly rely on clinical severity, but most surveys have shown a generalized tendency to treat platelet counts rather than bleeding symptoms<sup>14,22</sup> (Table 37.3).

#### *Acute AITP*

The aim of the treatment is only to shorten the risk period by increasing the platelet count before a spontaneous increase takes place. Initial treatment does not change the total duration of the illness and does not reduce the number of patients whose disease becomes chronic. Most

authors consider that in AITP platelet counts above  $30 \times 10^9/l$  without overt cutaneous or mucosal hemorrhage do not require treatment in the absence of trauma or need for surgery. The decision of initial therapy is based individually on a combination of platelet count and clinical factors. Controversy exists about most published guidelines<sup>18,23–25</sup>. Owing to the rarity of intracranial bleeding, it has been suggested by some authors that initial treatment should not be prescribed in most cases, provided the patients are under close control until the platelet count begins to rise. A subgroup of children should, in any case, be treated: those with platelet count  $<20 \times 10^9/l$ , if additional risk factors such as head trauma or recent use of aspirin are identified. If a treatment is decided, the minimum therapy necessary to increase the platelet count to a safe level should be prescribed<sup>26</sup>. For many years, most pediatricians have used prednisone at a dose of 1–2 mg/kg as initial treatment for 10–28 days<sup>27</sup>. In most cases this leads to a stable increase in platelet counts within a few days and to a more rapid disappearance of petechiae and bleeding symptoms. When the dose is tapered, the platelet count can drop and clinical expression may reappear in some patients: in that case both evolution towards chronic AITP and subsequent spontaneous resolution can be observed. In any case, steroids should never be given for a prolonged period and, should the evolution be prolonged, other therapeutic approaches must be proposed. Short-term oral prednisone (4 mg/kg/day  $\times$  4 days) is probably a good alternative<sup>28</sup>.

IVIgG has been shown to increase transiently the platelet count in patients with AITP<sup>29,30</sup>. The most frequently recommended dosage has been 0.8 g/kg/day<sup>26</sup>. The increase can occur in the 12–72 hours following the infusion. In most cases of acute AITP, a spontaneously favourable outcome is reached during the time of the therapeutic response. The major limitations are the price of the product, the time necessary for its administration in outpatients, the problems of viral safety, and some side effects such as meningitis or renal complications<sup>26,31,32</sup>. Platelet transfusions are not a treatment of immune AITP. However they may be considered, in conjunction with other treatments, for patients in whom a rapid rise in platelet count is deemed essential, that is before surgery, after significant trauma, or because of life-threatening mucosal or internal hemorrhage<sup>25,27</sup>. Intravenous rhesus antibodies have been shown to be less effective than steroid or IVIgG in acute AITP and should not be used as a first-line therapy<sup>26</sup>.

#### Chronic AITP

Prior to any treatment, the diagnosis has to be firmly established in order to avoid potentially harmful therapy for

other diseases, mostly constitutional thrombocytopenias<sup>33</sup>, SLE- or HIV-related thrombocytopenias. In most cases a sharp rise is obtained with high dose IVIgG but the response duration will not exceed 1–6 weeks before relapse occurs. However, IVIgG is of major interest in two settings: (i) when a transient increase in platelet count is necessary, e.g. for surgery; (ii) in some patients regular IVIgG infusions can maintain a 'safe' platelet count and a normal life for several months, with an injection on an outpatient basis every 3–6 weeks. Moreover, in some of these patients the interval can gradually be increased. This strategy can provide the necessary time to learn the degree of tolerance and the natural history of the disease before discussing splenectomy or waiting for a favourable evolution.

Splenectomy results in a much higher cure rate than any medical regimen. The overall response rate averages 70%, with 14% relapse. An additional 12% of these patients achieve a stable partial response. Therefore, about 72% of patients have a beneficial response. According to various reports, several features could help to predict the result, such as previous steroid responsiveness, short survival and high turnover of labelled platelets<sup>34</sup> or high postoperative rise in platelet count. However, there is no foolproof way to predict which individual patient will have a stable response to splenectomy. Some surgical failures or relapses can be related to a persistent accessory spleen.

Operative morbidity of splenectomy is uncommon. If steroid therapy or IVIgG has previously been shown to be transiently efficient, they should be prescribed before surgery in order to allow a safe procedure. Otherwise, platelet concentrates should be ready for use and infused immediately if surgical hemostasis is difficult to achieve. However, the hazard of severe infections postsplenectomy has severely restrained the indications for splenectomy in all conditions including AITP. It should be emphasized that, in a large series of AITP in children, the mortality risk of overwhelming postsplenectomy infection can be as important as that of CNS hemorrhage<sup>35</sup>. In any case, all patients, whatever their age, should receive appropriate vaccination before surgery and regular oral penicillin prophylaxis should be prescribed. This particular risk explains why splenectomy, which everyone agrees to be the most frequently effective treatment, has been restricted to particular cases with refractoriness to all medical treatments, persistent bleeding tendency, risk for cerebral hemorrhage, or unacceptable side effects of medical treatments. Anti-D immunoglobulin in non-splenectomized Rhesus-positive patients with chronic ITP can result in a transient rise in the platelet count<sup>17,36</sup>.

### Refractory chronic AITP

There is at present no definitive treatment for refractory chronic AITP. The plethora of suggested solutions simply reflects the fact that refractory idiopathic thrombocytopenic purpura often remains refractory<sup>17,37</sup>.

### Emergency therapy

Whatever the clinical course of AITP, acute or chronic, patients with significant mucosal bleeding or extremely low platelet counts ( $<5 \times 10^9$ – $10 \times 10^9/l$ ) must be considered as at risk for severe bleeding, and immediately hospitalized and treated by a combination of IV steroids, IVIgG and platelet transfusion.

### Alloimmunization

Immunization against platelet alloantigens or HPA (human platelet antigen) can occur either during pregnancy or after transfusion, leading, respectively, to neonatal alloimmune thrombocytopenia or post-transfusion purpura (PTP). However, PTP, which appears to be an anamnestic immune response, has not been described in children.

Neonatal alloimmune thrombocytopenia (NAIT) results from maternal allo-immunization against fetal platelet antigens inherited from the father, which she herself lacks. The incidence of feto-maternal alloimmunization (FMAIT) is estimated to be 1 per 800 to 1000 live births<sup>38,39</sup>. NAIT is considered to be the counterpart of Rh hemolytic disease of the newborn (HDN). In contrast to HDN, neonatal alloimmune thrombocytopenia may affect the first child. There may be severe bleeding in the central nervous system (CNS) and death or severe neurological sequelae.

Progress in platelet immunology and fetal medicine has led to the development of antenatal therapy and better management of this high-risk group, which are still evolving (Table 37.4).

The usual presentation is a neonate born to a healthy mother, who exhibits widespread purpura at or a few hours after birth. Otherwise, this infant is healthy, with no clinical signs of infection or malformation. Visceral hemorrhages are less common. The most serious complication is ICH leading to death in up to 10% of the reported cases, or to neurological sequelae in 20% of the cases<sup>40,41</sup>. Such hemorrhages may occur as early as 16 weeks of gestation and induce irreversible cerebral lesions. They may be present at birth or can occur as long as the newborn is thrombocytopenic. The risk of life-threatening hemorrhage necessitates prompt diagnosis and effective therapy.

The diagnosis of NAIT is made initially on clinical grounds and depends upon the exclusion of other causes of neonatal thrombocytopenia.

**Table 37.4.** Alloimmunization

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Neonatal alloimmune thrombocytopenia results from maternal alloimmunization against a fetal platelet antigen inherited from the father, which she herself lacks

*Incidence 1 per 800 to 1000 live births*

#### *Clinical presentation*

neonate born to a healthy mother who exhibits widespread purpura at or a few hours after birth  
no clinical sign of infection or malformation  
visceral hemorrhages are less common  
the most serious complication is intracranial hemorrhage leading to death in up to 10% of the reported cases or to 20% of neurological sequelae

#### *Diagnosis*

clinical grounds and exclusion of other causes of neonatal thrombocytopenia  
isolated thrombocytopenia  
platelet immunological testing: parental platelet alloantigen incompatibility with a corresponding maternal antibody

#### *Therapy*

transfusion of platelets compatible with the maternal alloantibody, the mother is the most reliable donor

#### *Management of subsequent pregnancies*

the optimal therapy is a matter of debate  
recommendations based on our practice

- \* high-risk women must be followed in a referral centre with fetal blood sampling performed in case of incompatible fetus
  - \* maternal therapy with IVIgG $\pm$  corticosteroids in case of fetal thrombocytopenia
  - \* cesarean section in case of therapy failure
- 
- 

The neonatal platelet count is low and anemia is only seen when secondary to bleeding. Platelet immunological investigations must be performed in a well-versed laboratory because of possible difficulties. The testing involves the detection of maternal circulating antibody and identification of the offending platelet antigen with the determination of the parents' platelet genotype. Detection of antibodies is usually done with antigen capture ELISA<sup>6</sup>. Molecular techniques are used for genotyping<sup>42</sup>.

The diagnosis is straightforward when a parental antigen incompatibility with a corresponding maternal antibody are present. It could be equivocal in the absence of such an antibody or when a new, rare or private antigen is implicated. Any difficulties in confirming the diagnosis should not delay therapy when there are sufficient grounds for a provisional diagnosis.

Until there is a prospective screening programme for

NAIT, most of the cases will be unexpected. Throughout the thrombocytopenic period, the infant is at risk of hemorrhage. The treatment of choice is the transfusion of platelets compatible with the maternal alloantibody. The most reliable donor is the mother. The maternal platelets must be washed, to remove the maternal plasma containing the antibody, and irradiated to eliminate the risk of graft-vs.-host-disease. In an emergency, exchange-transfusion, which removes a part of the circulating antibody, can be considered<sup>43</sup>. IVIgG can be considered, only when hemorrhages are not obvious, because the effect is delayed for 12–18 hours after injection<sup>44</sup>.

The current management of subsequent pregnancies after a previous affected child is aimed at preventing ICH during pregnancy and delivery. The optimal antenatal therapy to reverse fetal thrombocytopenia is still a matter of debate<sup>45</sup>. At present, alternatives include weekly maternal injection of high doses of immunoglobulins (IVIgG), with or without corticosteroids, or weekly intrauterine platelet transfusions with antigen-negative platelets. The results obtained by the different teams in Europe and in the USA are somehow different and do not rely on randomized studies<sup>46</sup>. Thus, definite recommendations cannot be provided. Based on our data we recommend the following approach, high-risk pregnant women must be followed in a referral centre. In case of heterozygosity of the father for the offending antigen, fetal platelet genotyping must be performed on amniotic cells<sup>47</sup>. In case of incompatible fetus, a fetal blood sampling should be performed in order to determine the fetal platelet count at around 20–22 weeks of gestation. If the fetus is thrombocytopenic (platelet count  $<150 \times 10^9/l$ ), maternal therapy with IVIgG (1 g/kg/week) should be considered. To assess the efficacy of therapy, a second fetal blood sampling should be performed at around 34 weeks of gestation. Corticosteroids could be added in case of therapy failure. For delivery we propose two options: cesarean section without control of the fetal platelet count or decided following the results of a third fetal blood sampling. In this last case vaginal delivery is allowed if the fetal platelet count is  $>50 \times 10^9/l$  after maternal therapy or after in utero platelet transfusion. We do not recommend weekly in utero platelet transfusion which seems to be too invasive, and may lead to destruction of the transfused platelets by maternal HLA-alloantibodies, or only in very severe familial cases, when the previous pregnancy was a therapeutic failure with in utero death or intracranial hemorrhage before 20 weeks of gestation.

In any case, pregnant women must be advised to avoid vigorous exercise, trauma, ingestion of drugs interfering with the platelet function (aspirin, antibiotics).

We also recommend the screening of the sisters of the affected woman in order to detect at risk individuals.

## Non-immune processes

### Infections

Bacterial and non-bacterial infection in the neonate  
Thrombocytopenia in bacterial infection of maternal origin is usually moderate, transient and delayed making uncertain its usefulness in the diagnostic investigation. It is often the result of several mechanisms which interact.

Non-bacterial infections in the neonatal period are mostly due to the TORCH agents (Toxoplasmosis, other, rubella, CMV, herpes). Most of them occur after primary infestation during the first months of pregnancy. The typical clinical presentation involves intra uterine growth retardation and jaundice with hepatosplenomegaly. These symptoms prompt the clinician to look for other signs of fetal infection: hemolytic anemia or lesions of bone, eye, heart or cerebrum.

When viral or fungal infections are acquired during the birth process, clinical signs are delayed and depend on the organ affected (myocarditis, encephalitis). Moderate thrombocytopenia is often present at an early stage.

HIV-related thrombocytopenia has been described in infants as early as 3 months old, and has also been reported in neonates.

Neonatal malaria, whether it is a maternofetal or a transfusion-related infection, can lead to thrombocytopenia, probably due in part to low grade DIC.

### *Viral and bacterial infections in childhood*

Viral infections are often associated with alterations of blood cells. Several mechanisms may produce thrombocytopenia<sup>15</sup>. Whereas data argue in favour of direct interactions between viruses and progenitor or peripheral blood cells, other findings support the direct effect of viral-induced autoantibodies on these cells. There are several reports concerning thrombocytopenia after the administration of live measles vaccine<sup>48,49</sup>. Thrombocytopenia has been observed in congenital and acquired rubella<sup>50</sup>. The thrombocytopenia occasionally associated with varicella typically develops 1 to 2 weeks after the rash<sup>50</sup>. Epstein-Barr virus, Cytomegalovirus and Human Parvovirus B19 infections<sup>51</sup> have been associated with thrombocytopenia. Thrombocytopenia is not a rare event in the natural history of HIV infection<sup>52,53</sup>. Thus in the presence of thrombocytopenia, especially the acute manifestations in childhood, it is important to look for viral infection.



Thrombocytopenia can be associated with bacterial infection, especially with septicemia.

### Increased platelet consumption

#### *Disseminated intravascular coagulation (DIC)*

It is frequently observed in distressed neonates or ill children. It usually leads to platelet consumption, typically associated with a decrease in coagulation factors, mostly factor V and fibrinogen, and to the presence of fibrin(ogen) degradation products. Repeated assessment of platelet counts and of coagulation status is mandatory. Usually thrombocytopenia occurs after the other biological signs and can persist a few days after coagulation factors have recovered normal values. The treatment of DIC-related thrombocytopenia relies on the treatment of the underlying cause of DIC itself and of associated symptoms such as hypovolemia, acidosis or shock. However, when the coincidence of coagulation factor consumption and severe thrombocytopenia leads to threatening hemorrhage, the most appropriate treatment has to be considered. Although rare during childhood, such pictures may be observed within the course of acute leukemias, specially at the initiation of the chemotherapy, due to the extensive lysis of hemoblastes, in cases of Kasabach–Merritt syndrome associated with giant hemangiomas, or in newborns who experienced acute, severe fetal distress. In such cases, thrombocytopenia is usually severe, and may account for the bleeding severity. A specific replacement therapy should therefore be undertaken in such cases, at the same time as the specific treatment directed against the DIC underlying pathology. This replacement therapy includes platelet concentrate transfusions or exchange transfusions with blood and platelet concentrates in neonates, transfusion of the missing coagulation factors, generally present in fresh frozen plasma together with coagulation inhibitors, and infusion of antiplasmin inhibitors such as aprotinin, aimed at replacing the alpha-2 antiplasmin consumed within the DIC process<sup>54</sup>. Prothrombin complex concentrates are contra-indicated in such cases as they may aggravate the DIC process. Furthermore, they are of no help in increasing the levels of FV, FVIII and fibrinogen that are consumed during the process.

Among the different causes of DIC, respiratory distress syndrome and perinatal asphyxia in neonates, leukemia or cancer, or bacterial infection, are pre-eminent.

#### *Hemolytic uremic syndrome and thrombotic thrombocytopenic purpura*

These two syndromes are characterized by common biological findings: microangiopathy with hemolytic anemia

related to red cell fragmentation associated to variable degrees of thrombocytopenia.

The hemolytic uremic syndrome (HUS) described in 1955 could be observed in children<sup>55</sup> in association with a number of different infectious agents in the classic epidemic form. Sporadic and hereditary forms have also been described. Clinical manifestations, laboratory findings and therapy are quite similar to the adult forms. In contrast, thrombotic thrombocytopenic purpura also known as Moschcowitz disease<sup>56</sup> is essentially seen in adults.

#### *Kasabach–Merritt syndrome*

This syndrome was reported as the association of hemangioma and thrombocytopenia.

A local platelet consumption occurring locally in the hemangioma may lead to severe thrombocytopenia. Thrombocytopenia is associated with hypofibrinogenemia and red cell fragmentation, which can be seen on blood smears. Overt hemolysis can also be present. The diagnosis may be obvious if the angioma is apparent, but in other cases extensive X-ray and tomography must confirm it. Angioma-related thrombocytopenia can lead to a threatening hemorrhagic syndrome where specific intervention such as vascular embolization is needed. Recently, interferon alpha therapy, which has been shown to inhibit angiogenesis in vitro, has been successfully used in some cases of Kasabach–Merritt Syndrome<sup>57</sup>.

#### *Miscellaneous causes*

- (i) In the course of an extensive thrombosis, such as renal venous or catheter thrombosis, thrombocytopenia can be one of the most relevant biological signs.
- (ii) Constitutional severe defects of coagulation inhibitors protein C and S have also to be considered: they may manifest as early as the first day of age by a life-threatening syndrome characterized by extensive necrotic hematomas purpura-fulminans-like, associated with retinal and cerebral thrombosis. Thrombocytopenia is usually severe, associated with schistocytosis and DIC. Due to the low physiological levels of these inhibitors at birth, and to their consumption in the DIC process, the diagnosis has to be confirmed by performing coagulation studies in the parents and siblings and by molecular analysis of the gene. However, due to the dramatic spontaneous aggravation in these cases, a replacement therapy must be initiated in emergency, without waiting for the results.
- (iii) Platelet aggregation in the lung leading to thrombocytopenia has been suggested during the course of perinatal aspiration syndrome. A small amount of inhaled amniotic fluid may be a precipitating factor for

formation of pulmonary microthrombi, leading to unresponsive persistent pulmonary hypertension.

### Miscellaneous causes of acquired thrombocytopenia

In sick children, thrombocytopenia is more often easily related to causes extensively reviewed by Beardsley<sup>58</sup>.

- (i) Heparin-related thrombocytopenia has been reported in young infants, but not in the newborn. However, the potential hazards of the thrombocytopenia induced by platelet aggregation must be kept in mind, whatever the age at which heparin is prescribed, and must prompt discontinuation of therapy.
- (ii) Thrombocytopenia has also been reported in infants who have experienced cold injury. It could be the combined result of a platelet splenic sequestration and of a decrease in megakaryocytopoiesis. Both mechanisms have been observed in hibernating animal models. The former has been reported in humans undergoing hypothermia for surgery and the latter occurs after a long exposure.
- (iii) Neonatal thrombocytopenia is frequently observed during the first postnatal days of very small-for-date babies or in babies from pre-eclamptic mothers, often associated with erythroblastosis and granulocytopenia. In the most severe cases, hepatic insufficiency and multiorgan failure accompany neonatal thrombocytopenia, which can be fatal. Chronic hypoxia is likely to explain neonatal thrombocytopenia in these cases for it has been shown, in animal models, that prolonged hypoxia in pregnant females could lead to fetal thrombocytopenia<sup>59</sup>. This process could explain most cases of neonatal thrombocytopenia observed in neonatal intensive care units.

In a great number of other cases, peripheral destruction and impairment of megakaryocytopoiesis are combined, and there is no way to discriminate their respective involvement.

Neonatal thrombocytopenia has been observed in infants with inherited metabolic disorders leading to acidosis and after several therapeutic procedures such as phototherapy, which has been shown to shorten platelet life span, or exchange transfusion, where the magnitude of the transient fall observed during 2–3 days after the procedure could depend on the storage conditions of the blood used. Neonatal thrombocytopenia related to antenatal maternal treatment with agents such as thiazide and tolbutamide has been reported, but should be diagnosed with caution.

### Inherited thrombocytopenias

Although its real incidence remains unknown, constitutional thrombocytopenia is not a rare event. The inherited origin of thrombocytopenia has to be systematically considered in children before the initiation of potentially harmful treatments, especially when thrombocytopenia is long lasting, moderate and silent and refractory to conventional AITP therapy. Achieving the diagnosis can need a careful collection of medical and familial data in search for consanguinity, thrombocytopenia or bleeding history in family member(s). A detailed pedigree could establish the inheritance pattern. Platelet abnormalities on blood smears can be observed as small, giant or agranular platelets. Other associated symptoms must be carefully searched for, such as immunodeficiency in Wiskott–Aldrich syndrome, deafness, nephritis, cataract or abnormalities in other hematopoietic cell lineages, e.g. presence of Döhle bodies in the neutrophils. Platelet functions must be assessed in order to detect associated thrombopathies.

Inherited thrombocytopenias can be classified according to the platelet size (Table 37.5).

#### Small platelet size

A small platelet size is the hallmark of Wiskott–Aldrich syndrome (WAS). It is a severe X-linked thrombocytopenia, associated with immunodeficiency and eczema. It has recently been related to mutations of the gene encoding WAS protein (WASP)<sup>60</sup>, which is present in neutrophils, mononuclear cells and platelets, and mapping at Xp11.22–11.23. Thrombocytopenia and lymphocyte defects are severe in most children after the age of 6. Bleeding remains the major cause of death in these patients and may be alleviated by splenectomy in some cases. Bone marrow transplantation is the actual therapeutic option in severe cases with repeated infection. A mechanism has recently been proposed for the thrombocytopenia and small platelet size, which is based on the increased  $Ca^{2+}$  level in platelets, resulting in platelet activation, phosphatidylserine exposure at the platelet surface resulting in increased recognition and phagocytosis by the spleen macrophages, and microparticle release, leading to small platelet size<sup>61</sup>.

Mutations of the WASP locus have also been reported in atypical WAS or in isolated X-linked thrombocytopenias (XLT) without immunodeficiency<sup>62,63</sup>. XLT may be misdiagnosed as chronic autoimmune thrombocytopenic purpura.

**Table 37.5.** Inherited thrombocytopenias

<i>Small platelet size</i>
Wiskott–Aldrich syndrome: X-linked thrombocytopenia associated with immunodeficiency and eczema
Isolated X-linked thrombocytopenia without immunodeficiency
<i>Inherited giant platelet disorders</i>
<b>Autosomal dominant inheritance</b>
May–Hegglin disease, Sebastian syndrome: basophilic inclusions in neutrophils (Döhle inclusions)
Alport syndrome: deafness, and nephritis
Epstein syndrome: Alport syndrome with severe thrombocytopenia
Fechtner syndrome: deafness, nephritis and congenital cataract with Döhle inclusions in neutrophils
<b>Recessive disorder</b>
Bernard–Soulier syndrome: defect in the platelet glycoprotein complex Ib–IX–V
Velocardiofacial (Digeorge) syndrome: heterozygous variant of Bernard–Soulier syndrome
Platelet-type von Willebrand syndrome: anomaly of the glycoprotein Ib $\alpha$
Grey platelet syndrome: lack of alpha granules
<i>Normal platelet volume</i>
TAR syndrome: thrombocytopenia with absent radii, defective signal transduction in the c-Mpl pathway, autosomal recessive inheritance
Congenital amegakaryocytic thrombocytopenia (CAMT): Mpl mutations
Paris–Trousseau thrombocytopenia: giant alpha granules, 11q23.3 deletion, autosomal dominant inheritance

### Inherited giant platelet disorders

A large platelet size is observed in inherited giant platelet disorders, recently reviewed<sup>64</sup>. Some are associated with basophilic inclusions in the neutrophils called Döhle bodies in May–Hegglin disease, and slightly different inclusions in Sebastian syndrome. Although thrombocytopenia may be severe, the low number of platelets seems to be balanced by their large size leading to a near-normal thrombocrit, and to a moderate bleeding tendency, occurring mostly after trauma and surgery. Their inheritance is autosomal dominant and thrombocytopenia has been suggested to result from an impaired megakaryocyte fragmentation. The May–Hegglin molecular anomaly has recently been located on MYH9, a region of chromosome 22q12–13 encoding non-muscle myosin heavy chain A. Mutations of this gene have been identified in 30 affected

members of five unrelated families, whereas it was not found in 40 normal individuals<sup>65</sup>.

(i) Giant thrombocytopenia associated with systemic manifestations, of autosomal dominant inheritance, characterizes Alport syndrome (deafness and nephritis), Epstein syndrome (Alport syndrome with severe thrombocytopenia), Fechtner syndrome (deafness, nephritis and congenital cataract, also associated with Döhle inclusions). Very little is known about the pathogenesis of these disorders, of which the molecular anomalies still have to be identified.

(ii) Giant thrombocytopenias associated with ultrastructural defects are better characterized:

Bernard–Soulier syndrome (BSS) is an autosomal recessive disorder due to a defect in the platelet glycoprotein (GP) Ib–IX–V complex, which is the receptor for von Willebrand factor (vWF) involved in platelet adhesion to the subendothelium. In most cases, a mutation of one of the genes coding for the GPIb  $\alpha$  or  $\beta$  chains or GPIX leads to an abnormal assembly of the GP complex resulting in an impaired platelet adhesion. The clinical expression varies from mild to severe. Bleeding time may be normal in mild cases. Platelet half-life is decreased. Megakaryocytes may be abnormal, displaying vacuoles and abnormal demarcation membranes in ultrastructural study. The diagnosis relies on the absence of ristocetin induced platelet agglutination and on a decreased or undetectable GPIb–IX complex evidenced by flow cytometric analysis of the platelet glycoproteins<sup>66</sup>.

(iii) Giant platelet with velocardiofacial (Digeorge) syndrome is an autosomal recessive disease. It is due to a 22q11 deletion including a GPIb $\beta$  gene deletion, and it is therefore considered a heterozygous variant of BSS.

(iv) Platelet-type von Willebrand syndrome, due to an anomaly of the GPIb $\alpha$ , is the platelet counterpart of the von Willebrand type 2B plasmatic disease, where the molecular anomaly is located on exon 28 of the vWF gene, encoding the vWF binding domain to GPIb $\alpha$ . Both anomalies induce an increased binding of vWF to its receptor, resulting in a decrease of circulating high molecular weight multimers of vWF and a bleeding tendency. The diagnosis relies on a highly fluctuating platelet count, ranging in the same individual from severe thrombocytopenia to normal platelet counts, an increased ristocetin-induced platelet agglutination, a decreased circulating vWF ristocetin cofactor activity, and the identification of the gene defect. Platelet ultrastructural study performed in three unrelated patients showed that vWF association to GPIb on the platelet surface and also inside the surface of the

connected canalicular system does not result in platelet activation, which could provide an explanation for the bleeding tendency observed in these diseases. Furthermore, since GPIb integrity has been shown to be required for the normal platelet release from megakaryocyte proplatelet processes, abnormal occupancy of GPIb by vWF might interfere with platelet production, and account for thrombocytopenia<sup>67</sup>.

Grey platelet syndrome is an autosomal recessive disease associating a moderate thrombocytopenia and a lack of alpha granules. Myelofibrosis is often observed, due to an abnormal release of platelet derived growth factor (PDGF) normally located inside the granules. The diagnosis relies on the platelet aspect on the blood smears and on a defective collagen induced platelet aggregation and secretion.

Other thrombocytopenias with giant platelets such as Mediterranean thrombocytopenia, resulting in moderate or no bleeding, are classified as 'benign'.

### Normal platelet volume

Thrombocytopenia with absent radii or TAR syndrome is a rare, autosomal recessive disease characterized by a severe amegakaryocytic thrombocytopenia, associated with skeletal abnormalities such as radial aplasia, and a polymalformative syndrome extensively described by Hedberg<sup>68</sup>. Normal expression of c-Mpl on platelets with defective signal transduction in the c-Mpl pathway has been observed<sup>69</sup>. The platelet count may increase during the first months of life. On the other hand, the two main features of this rare syndrome may be isolated. Neonatal thrombocytopenia is probably the result of an arrest in the development of committed megakaryocyte progenitor cells, whereas production of megakaryocyte growth factors is normal. In early infancy thrombocytopenia is usually symptomatic, with life-threatening bleeding episodes. It has been shown that some megakaryocytic progenitors could respond to PEG-rHuMGDF, but this response could be incomplete.

Congenital amegakaryocytic thrombocytopenia (CAMT) has recently been shown to be due to Mpl mutations<sup>70</sup>.

Paris-Trousseau is an autosomal dominant thrombocytopenia associated with a mild hemorrhagic presentation due to thrombopathy, and characterized by the presence of giant alpha-granules. There is an impaired megakaryocytopoiesis secondary to a 11q23.3 deletion<sup>71</sup>.

During the last few years, many molecular abnormalities have been described, allowing secure diagnosis of constitutional thrombocytopenia. Investigations required for the diagnosis of inherited thrombocytopenia should be systematically performed in cases of non-acute thrombo-

cytopenias. Thanks to the automated blood cell counters, the platelet count is nowadays systematically assessed together with leukocytes and erythrocytes, leading to the disclosure of silent, asymptomatic thrombocytopenias.

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# Alloimmune thrombocytopenia

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Fetal and neonatal alloimmune thrombocytopenia (AIT) is the most common cause of severe thrombocytopenia in fetuses and neonates<sup>1</sup>. Maternal IgG alloantibodies against paternally derived fetal platelet antigens cross the placenta early in pregnancy and commonly result in severe thrombocytopenia. While the reported incidence varies somewhat with the assigned threshold of thrombocytopenia (50, 100, or  $150 \times 10^9/l$ ), in most unselected populations, AIT affects 1 in 1000 to 1 in 2000 live births. Table 38.1 contains recent studies of AIT in unselected populations, systematically screened. In its severe form, AIT has the potential for significant morbidity (including intracranial hemorrhage) and mortality. In milder forms, there are either antibodies with no thrombocytopenia, or mild to moderate thrombocytopenia, which is identified only by a complete blood count obtained for another indication or in a screening study. While there have been extensive efforts made in the diagnosis and characterization of the disease, strategies for early detection and intervention remain controversial.

## Pathogenesis

There are three requisite components of the pathogenesis of AIT. First, there must be an incompatibility between maternal and fetal 'platelet-specific' antigens, which are inherited from the father. The second requirement, alloimmunization, is a maternal humoral immune response specific to these 'foreign' fetal platelet antigens. Finally, maternal anti-platelet IgG alloantibodies must cross the placenta, bind to fetal platelet antigens, and cause fetal platelet destruction with resultant thrombocytopenia. The term alloimmunization, therefore, implies that there is a parental antigen incompatibility, and that maternal antibodies are produced and are specific to the paternally inherited (and foreign to the mother) fetal platelet antigen. The predictability of this process, and its ability to cause fetal or neonatal thrombocytopenia, is well characterized serologically but perplexing in a number of areas, and warrants careful discussion.

**Table 38.1.** Incidence of neonatal thrombocytopenia

Reference	Number and population screened	Incidence of AIT	Comments
	<i>Pregnant women</i>		
14	24417	1 in 1285 pregnancies	
	<i>Neonates</i>		
1	15932	1 in 5000 newborns	Platelet count $< 50 \times 10^9/l^*$
40	5632	1 in 1000 newborns	
13	9142	1 in 1800 newborns	Platelet count $< 100 \times 10^9/l$
46	8388	1 in 1700 newborns	
42	4489	1 in 1200 newborns	
16	24101	1 in 2400 newborns	Platelet count $< 100 \times 10^9/l$
27	5632	1 in 1000 newborns	

*Notes:*

\* Unless otherwise specified, neonatal thrombocytopenia is defined as a cord blood platelet count less than  $150 \times 10^9/l$ .

**Table 38.2.** Human Platelet Antigen Nomenclature and Frequency

HPA Name	Other names	Glycoprotein	DNA allele (amino acid change)	Gene frequency (Caucasian)	Serologic frequency	
					White	Japanese
HPA-1a	Zw <sup>a</sup> , PL <sup>A1</sup>	GPIIIa	Leu <sub>33</sub> Arg <sub>143</sub> Pro <sub>407</sub> Arg <sub>489</sub> Arg <sub>636</sub>	0.85	97.9%	99.9%
HPA-1b	Zw <sup>b</sup> , PL <sup>A2</sup>	GPIIIa	Pro <sub>33</sub> Arg <sub>143</sub> Pro <sub>407</sub> Arg <sub>489</sub> Arg <sub>636</sub>	0.15	26.5%	3.7%
HPA-2a	Ko <sup>b</sup>	GPIb	Thr <sub>145</sub>	0.93	99.3%	NT*
HPA-2b	Ko <sup>a</sup> , Sib <sup>a</sup>	GPIb	Met <sub>145</sub>	0.07	14.6%	35.4%
HPA-3a	Bak <sup>a</sup> , Luk <sup>a</sup>	GPIb	Ile <sub>843</sub>	0.61	87.7%	78.9%
HPA-3b	Bak <sup>b</sup>	GPIIb	Ser <sub>843</sub>	0.39	64.1%	NT
HPA-4a	Pen <sup>a</sup> , Yuk <sup>b</sup>	GPIIIa	Leu <sub>33</sub> Arg <sub>143</sub> Pro <sub>407</sub> Arg <sub>489</sub> Arg <sub>636</sub>	0.85	99.9%	99.9%
HPA-4b	Pen <sup>b</sup> , Yuk <sup>a</sup>	GPIIIa	Leu <sub>33</sub> Gln <sub>143</sub> Pro <sub>407</sub> Arg <sub>489</sub> Arg <sub>636</sub>	<0.01	0.2%	1.7%
HPA-5a	Br <sup>b</sup> , Zav <sup>b</sup>	GPIa	Glu <sub>505</sub>	0.89	99.2%	NT
HPA-5b	Br <sup>a</sup> , Zav <sup>a</sup> , Hc <sup>a</sup>	GPIa	Lys <sub>505</sub>	0.11	20.6%	NT
HPA-6bW**	Ca <sup>a</sup> , Tu <sup>a</sup>	GPIIIa	Leu <sub>33</sub> Arg <sub>143</sub> Pro <sub>407</sub> Gln <sub>489</sub> Arg <sub>636</sub>	0.85	?	?
		GPIIIa	Leu <sub>33</sub> Arg <sub>143</sub> Glu <sub>407</sub> Arg <sub>489</sub> Arg <sub>636</sub>	<0.01	?	?
HPA-7bW	Mo <sup>a</sup>	GPIIIa	Leu <sub>33</sub> Arg <sub>143</sub> Ala <sub>407</sub> Arg <sub>489</sub> Arg <sub>636</sub>	0.85	<1	?
		GPIIIa	Leu <sub>33</sub> Arg <sub>143</sub> Pro <sub>407</sub> Arg <sub>489</sub> Arg <sub>636</sub>	<0.01	?	?
HPA-8bW	Sr <sup>a</sup>	GPIIIa	Leu <sub>33</sub> Arg <sub>143</sub> Pro <sub>407</sub> Arg <sub>489</sub> Cys <sub>636</sub>	0.85?	?	?
		GPIIIa	Leu <sub>33</sub> Arg <sub>143</sub> Pro <sub>407</sub> Arg <sub>489</sub> Arg <sub>636</sub>	<0.01	?	?
HPA-9bW	Max <sup>a</sup>	GPIIb	Met <sub>837</sub>			
HPA-10bW	La <sup>a</sup>	GPIIb	Val <sub>837</sub>			
			Gln <sub>62</sub>			
HPA-11bW	Gro <sup>a</sup>	GPIIIa	Arg <sub>62</sub>			
			His <sub>633</sub>			
HPA-12bW	Iy <sup>a</sup>	GPIb	Arg <sub>633</sub>			
			Glu <sub>15</sub>			
HPA-13bW	Sit <sup>a</sup>	GPIa	Gly <sub>15</sub>			
			Met <sub>799</sub>			
HPA-	Oe <sup>a</sup>	GPIIIa	Thr <sub>799</sub>			
HPA-	Va <sup>a</sup>	GPIIIa	dLys <sub>611</sub>			
HPA-	Pe <sup>a</sup>	GP1b <sub>α</sub>				

**Notes:**

\* NT = Not tested.

\*\* 'W' indicates antigens for which only one allele is identified.

**Human platelet-specific antigens**

Platelets share several antigen systems with other cell types, including the HLA class I antigens, and the ABO blood group antigens<sup>2,3</sup>. However, these antigen systems are rarely, if ever, implicated in AIT. AIT secondary to an HLA antigen mismatch remains unproven and controversial. More commonly, AIT is caused by antibodies to platelet-specific antigens, which represent epitopes of the platelet surface glycoproteins. Currently there are at least fifteen human platelet-specific antigens listed by the Platelet Serology Working Party of the International Society of Blood Transfusion (ISBT), each with a different serologic frequency and ethnic distribution<sup>2,4-6</sup>. In 1990 the ISBT Working Party on Platelet Serology formulated a new nomenclature for the human platelet antigen system, as an

attempt to standardize an otherwise confusing system of antigen names and descriptions. Platelet-specific antigens are designated HPA for Human Platelet Antigen. They are numbered in order of their original description, and the alleles labelled alphabetically in the order of their serologic frequency<sup>2,4</sup>. Recently, DNA sequencing has permitted the description of the specific amino acid changes associated with each allele. This DNA-based typing system provides an additional nomenclature for describing human platelet-specific antigens. Each HPA type is biallelic, and autosomal co-dominant, differing in only one amino acid. It is important to note that there is overlap of certain alleles, as discussed by Newman<sup>7</sup>, and that the naming of a certain allele implies an understanding of the molecular identity of that glycoprotein. Table 38.2 contains the HPA type, the glycoprotein on which it is located, the previous



**Table 38.3.** Clinically significant AIT

Reference	Patients (number) and entry criteria	Bleeding symptoms	Antigen incompatibility
9	88 Thrombocytopenic <sup>b</sup> infants with AIT	Petechiae (90%) Hematomas (66%) Melena (28%) Intracranial hemorrhage (14%) Hemoptysis (8%) Hematuria (7%) Retinal extravasations (7%) Hematemesis (2%) Death (1%) <sup>a</sup> Platelet count <30 × 10 <sup>9</sup> /l (95%)	HPA-1a
12	24 Infants with AIT	Petechiae (58%) Intracranial hemorrhage (21%) 1 patient died as a result of an ICH <sup>c</sup>	HPA-1a
13	46 Thrombocytopenic infants with AIT	Petechiae, purpura (83%) Visceral bleeding (15%) Intracranial hemorrhage (11%) Platelet count <50 × 10 <sup>9</sup> /l (87%)	HPA-1a, HPA-1b, and HPA-5b

**Notes:**<sup>a</sup> Died at 6 months of age from complications of the ICH.<sup>b</sup> Thrombocytopenia defined as a platelet count less than 100 × 10<sup>9</sup>/l.<sup>c</sup> ICH = Intracranial hemorrhage.

antigen designations, the associated amino acid changes for each allele, and the frequency of each antigen in selected populations.

AIT has been reported after alloimmunization to numerous human platelet antigens (see Table 38.3 and 38.4). In almost all large series, HPA1a incompatibility is the most common incompatibility resulting in AIT<sup>8-16</sup>. Newer studies suggest that HPA-5b incompatibility may be more common than HPA-1a incompatibility, but severe thrombocytopenia after HPA-5b alloimmunization is rare<sup>17-19</sup>. Of note, the initial description of a new human platelet antigen is frequently initiated by a patient with significant morbidity. Therefore, most non-HPA-1a incompatibilities have severe index cases, but incidences appear to be very low. Despite numerous reports of new, and potentially severe or frequent incompatibilities, it remains clear that HPA-1a incompatibility remains the most common cause of severe AIT in Caucasian populations.

**Alloimmunization**

Fetal platelet antigens may be expressed as early as 16 weeks gestation<sup>20</sup>, and alloantibody formation with fetal platelet destruction may occur as early as 16 to 20 weeks of gestation<sup>21,22</sup>. Unlike hemolytic disease of the newborn,

alloimmunization is reported in up to 50% of first pregnancies. However, antibodies have not been detected in primiparous women prior to 17 weeks gestation<sup>14</sup>. In a large series, Williamson et al.<sup>14</sup> reported that in 46 sensitized HPA-1a negative women, alloantibodies were detected before the 20<sup>th</sup> week of gestation in 59%, between 21 and 34 weeks in 17%, between 33 weeks and term in 6.5%, and on postnatal testing only in 6.5%.

Alloantibodies to fetal HPA-1a on GPIIIa is the most common cause of severe AIT in Caucasian populations<sup>9</sup>. Van Loghem et al.<sup>23</sup> initially reported that 97% of North American and European Caucasians react to anti-HPA-1a. The Hardy-Weinberg law predicts the allelic frequency to be 0.83 for HPA-1a and 0.17 for HPA-1b. Therefore, in Caucasians of European or North American descent, the genotypic distribution is calculated to be 68.9% homozygosity for HPA-1a, 2.9% homozygosity for HPA-1b, and 28.2% heterozygosity (HPA-1a/HPA-1b)<sup>24,25</sup>. The frequency of the HPA-1b genotype has been confirmed in several large population studies<sup>14,15,26,27</sup>. Both the predicted and actual frequency of mothers at risk for HPA-1a incompatibility, by virtue of being homozygous for HPA-1b, is 2-3%. The HPA-1b homozygous mother will be susceptible to alloimmunization in all cases if her partner is homozygous for HPA-1a (68.9%), or in half the offspring of a heterozygous partner (14.1%). Based on

**Table 38.4.** Less Common Antigen Incompatibilities in AIT

HPA type	Reference	Patients reported	Platelet Nadir ( $\times 10^9$ cells/l)	Hemorrhagic Sequelae	Maternal HLA Type
HPA-1b	38,115,116,117,118,119	6 total	10–13 duration up to 6 days	Petechiae (2 patients) Schizencephaly (1 patient) Prolonged thrombocytopenia 1 patient)	No consistent association
HPA-2b	42	3 <sup>a</sup>	Mild	None	
HPA-3a	120	1	13	Purpura Death from ICH	None reported
HPA-3b	42 65	4 <sup>a</sup> 14	Mild <20	None ICH (2 patients)	
HPA-4a	61,62	2 (siblings)	6–12	Petechiae (2 patients) Ecchymoses (2 patients) Scalp Hematoma (1 patient) In utero ICH (2 patients)	No consistent association
HPA-5b	121,17,9,10,13,18,40,46	39	<10 (4 patients) 10–30 (13 patients) >30 (22 patients)	No symptoms (59%) Purpura or hematoma (18%) Visceral hemorrhage (15%) ICH (8%) Death due to ICH (1 patient)	Associated with HLA-DR6
HPA-6b	42,122,123			Mild	Associated with maternal HLA DRB*1501; DQA1*0102; DQB1*0602 (Westerman,1997)

*Notes:*

<sup>a</sup> AIT suspected due to antigen incompatibility and thrombocytopenia, but there was no antibody detected.

these gene frequencies, alloimmune thrombocytopenia as a result of HPA-1a incompatibility may develop in 1 of 42 pregnancies<sup>25</sup>. The actual incidence, as stated above, is 1 in 1000 to 1 in 2000. Several prospective studies have shown that only 4–14% of mothers homozygous for HPA-1b will produce anti-HPA-1a antibodies<sup>8,14,27,28</sup>.

The discrepancy between the expected and actual incidence of AIT can be explained, at least in part, by the efficiency of antigen presentation by different MHC subtypes. In 1981 Reznikoff-Etievant et al.<sup>29</sup> reported that the immune response to the HPA-1b antigen is associated with the maternal HLA type B8DR3\*. Subsequently, several authors confirmed a strong association between the production of anti-HPA-1a antibodies and the HLADR3 locus<sup>28,30–35</sup>. de Waal et al.<sup>36</sup> later linked it to the supertypic HLA DRB3\*0101 allele.

<sup>1</sup> The original description was of increased incidence of HLA type B8 in women producing anti-HPA-1a antibody. HLA B8 is in linkage disequilibrium with HLA DR3.<sup>25</sup>

The HLA DRB3\*0101 locus has a binding affinity for the Leu33 substitution on HPA-1a, and not the Pro33 substitution on HPA-1b, demonstrating the importance of binding between fetal peptides expressed on the  $\beta$ -3 integrin and the maternal MHC locus<sup>37</sup>. This genetic maternal restriction on the immune response to foreign fetal antigens accounts, in large part, for the relatively low number of HPA-1a negative mothers who develop alloantibodies against their HPA-1a positive fetus.

Williamson et al.<sup>14</sup> studied HPA type, antibody production, and maternal HLA type in more than 24 000 consecutive pregnancies in Cambridge and East Anglia, England. Of the 2.5% of women homozygous for HPA-1b, anti-HPA-1a antibodies were detected in 12%. All but one of the antibody producers was HLA DRB3\*0101 positive, while the overall frequency of the allele was 31.9%. The presence of the HLA DRB3\*0101 phenotype did not predict alloimmunization (positive predictive value of 35%), but the absence of the HLA DRB3\*0101 precludes alloimmuniza-

tion with very few exceptions (negative predictive value 99.6%).

Although not as well studied, alloantibody formation in cases of HPA-5b incompatibility has been associated with maternal HLA DRw6 positivity<sup>17,18,38</sup>. Other HPA incompatibilities resulting in alloimmunization may have similar HLA associations<sup>39</sup>, but the low incidence of AIT due to these antigens makes study difficult.

### Allo-antibody mediated thrombocytopenia

Maternal alloimmunization to fetal platelet antigens is most likely necessary, and usually, but not always, sufficient for immune thrombocytopenia in the fetus or newborn. There are a number of reported cases of reasonably high titre maternal anti-platelet alloantibodies in infants with normal platelet counts<sup>14,18,26,27,40</sup>. Maternal alloimmunization without neonatal thrombocytopenia may be as high as 32%<sup>40</sup>. In addition to maternal HLA MHC class II modulation of alloimmunization, there appears to be another regulatory step in AIT. The process of antibody-mediated platelet destruction may be inhibited by unknown factors specific to certain maternal–fetal pairs. It is possible that this factor could be maternal, a so-called ‘blocking’ antibody.

Conversely, there are many reports of maternal–fetal HPA incompatibility with neonatal thrombocytopenia (presumed AIT), but no detectable maternal alloantibody<sup>9,13,16,40–42</sup>. Newer techniques of antibody detection have not entirely resolved this issue.

For the most part, careful and extensive study of AIT is limited to anti-HPA-1a antibodies. The role of alloantibodies to other fetal platelet antigens is less clear. There are reports of suspected AIT with anti-HLA antibodies<sup>9,43–45</sup>, anti-blood group antibodies<sup>9</sup>, and anti-platelet-specific glycoprotein antibodies<sup>42</sup>. Of these unconfirmed causes of AIT, anti-HLA antibodies are perhaps the most common. King et al.<sup>45</sup> found no significant relationship between the formation of anti-HLA antibodies and neonatal thrombocytopenia. It is possible that the presence of anti-HLA antibodies in infants with thrombocytopenia is coincidental, as anti-HLA antibodies will be absorbed by HLA antigens in the placenta, or on WBCs, thus minimizing their effect on fetal platelets. At best the role of anti-HLA alloantibodies is unclear, but, when present, they appear to have little effect on neonatal platelet counts<sup>44,45</sup>. It is impossible to exclude that they might have a thrombocytopenic effect in individual cases.

Clearly, the details of the genetic and immunologic controls governing the process of alloimmunization have yet to be completely described. Our ability to predict the

occurrence of alloimmunization in cases of feto-maternal platelet antigen incompatibility is limited, as is our ability to predict the presence and severity of thrombocytopenia after alloimmunization occurs.

### Clinical presentation

For newborns with unsuspected AIT, evaluation will commonly be initiated by the pediatrician or neonatologist after perinatal identification of petechiae or purpura, or by the incidental finding of thrombocytopenia on a screening complete blood count obtained for a different indication. When defined as either a cord blood platelet count of less than 100, or  $150 \times 10^9/l$ , neonatal thrombocytopenia is reported in 0.5–0.9% of newborns, with severe thrombocytopenia (platelet count less than  $50\,000 \times 10^9/l$ ) in 0.14–0.24%<sup>1,16,27,40,42,46,47</sup> (See Table 38.1). Immune-mediated thrombocytopenia may account for as much as 30% of neonatal thrombocytopenias, occurring in 0.3% of all newborns<sup>40</sup>. A small additional subset of patients will be identified because of a sibling with AIT.

The frequency of hemorrhagic symptoms in AIT is likely overestimated in clinical studies not based on population screening, considering that a substantial proportion of patients with AIT will not have severe thrombocytopenia and may go undetected. For those patients with clinically significant disease, or with neonatal platelet counts less than  $100 \times 10^9/l$ , the incidence of minor hemorrhagic diatheses (petechiae, ecchymoses, or hematomas) is as high as 80%<sup>9,12,16</sup>. The most frequent bleeding symptoms for AIT with clinically significant disease are listed in Tables 38.3 and 38.4.

It is more likely that AIT identified through routine screening will follow a benign course. In the largest study of its kind to date, 65% of infants with persistent in utero exposure to maternal anti-HPA-1a alloantibodies had mild or no thrombocytopenia<sup>14</sup>. While petechiae and ecchymoses are important markers of clinically significant disease, they occur in a minority of patients with AIT. A negative family history of perinatal hemorrhagic symptoms should therefore not prevent the consideration of AIT in subsequent pregnancies. Additionally, 20–40% of thrombocytopenic infants with proven AIT will have other perinatal problems (e.g. poor feeding, low birthweight, cardiac problems, or respiratory problems), which may confuse the etiology of the thrombocytopenia<sup>47</sup>.

Neonatal platelet counts can vary from normal to less than  $10 \times 10^9/l$ . When looking at all infants with AIT, as many as 35% may have platelet counts less than  $50 \times 10^9/l$ <sup>14</sup>. While this may represent a small proportion of AIT and an

even smaller proportion of live births, when present, and when identified clinically rather than by screening, thrombocytopenia in AIT is usually severe<sup>9,13,14</sup>. In fact, AIT is the most common cause of severe thrombocytopenia in newborns in all studies to date<sup>1,13,16</sup>.

### Intracranial hemorrhage

The mortality rate with AIT has been reported as high as 15%, but this number does not include clinically unrecognized cases. Almost all deaths are associated with intracranial hemorrhage (ICH)<sup>9,26</sup>. ICH occurs in 10–20% of affected neonates<sup>9,26,48</sup>. In a series of almost 50 000 unselected term neonates, the incidence of ICH was 1 in 1500 live births. Twenty-five per cent occurred in infants with AIT, making it the most common cause of severe ICH in term newborns<sup>49</sup>. Intraventricular hemorrhages are most common, but unifocal, multifocal and large parenchymal hemorrhages have been reported<sup>9,50–53</sup>. The vascular distribution of ICH in alloimmune thrombocytopenia may be expected from the fact that normal hemostasis is maintained, in part, through interaction between platelets and the vascular endothelium. It is unclear if glycoprotein-bound antiplatelet antibodies interfere with the ability of platelets to support the vascular endothelium, but it is likely that decreased platelet numbers significantly compromise the integrity of vessel walls. It is equally possible that minimal trauma can lead to devastating vascular hemorrhage in the developing thrombocytopenic fetus or neonate.

Up to 50% of all reported intracranial hemorrhages in AIT will occur antenatally<sup>9,26,54</sup>. Severe thrombocytopenia may occur at the time of the initial fetal sampling in as many as 50% of affected fetuses, and as early as 16 to 20 weeks gestation<sup>10,21,22,55</sup>. In utero hemorrhages may present at any point in gestation, or even in the newborn period with fetal distress or demise<sup>56,57</sup>, fetal or neonatal hydrocephalus<sup>9,57,58</sup>, encephalomalacia, intracranial cysts<sup>50,51,59</sup>, an abnormal neurological exam, or poor feeding<sup>12,49,54,59,60</sup>. ICH has been reported in association with almost all antigen incompatibilities<sup>61,62</sup>, but it is most commonly associated with HPA-1a incompatibility<sup>9,12,54</sup>.

In vitro binding of autoantibodies to GPIIb/IIIa may rarely result in an acquired disorder in platelet function<sup>63</sup>. In patients homozygous for HPA-1a, alloantibodies to HPA-1a will completely eliminate platelet aggregation to all GPIIb/IIIa agonists (except ristocetin), but the effect is much less in heterozygous HPA-1a expression (as in all fetuses with AIT). The pathophysiology mediating this effect is not entirely clear. Glycoprotein IIb/IIIa is the most abundant glycoprotein on the platelet surface, and it is the site of fibrinogen binding. However, antibodies to HPA-1a

do not appear to bind near enough to the fibrinogen-binding site to cause a steric hindrance<sup>64</sup>. The vascular distribution of some in utero hemorrhages has led to additional speculation about the possible role of endothelial GPIIb/IIIa in the pathogenesis of intracranial hemorrhages. However, the seemingly equivalent rate of ICH in HPA-3b (GPIIb) incompatibility suggests that this may not be a prominent factor since HPA-3b is not expressed on endothelial cells<sup>65</sup>. In summary, platelet counts less than  $20 \times 10^9/l$  in AIT are permissive but not sufficient for ICH. Anti HPA-1a antibodies do not cause substantial platelet dysfunction and the role of HPA-1a on endothelial cells is uncertain. Other factors that may contribute to the occurrence of ICH are unknown.

### Predictors of disease

A goal of many recent screening protocols has been to define prognostic factors that may identify those patients at risk for ICH<sup>14,15,66,67</sup>. As discussed above, the presence of maternal alloantibody does not predict thrombocytopenia. However, recent studies have suggested that high (greater than 1:32) third trimester maternal antibody titre<sup>14,15</sup>, and high titres of the IgG3 subclass<sup>67</sup> may predict severe thrombocytopenia. For HPA-5b incompatibilities, neither antibody titre nor subclass appears to predict disease<sup>19</sup>.

There is a more than 90% recurrence rate of AIT among siblings. Mildly affected or undiagnosed first-born children may have severely affected siblings. Initial fetal platelet counts of less than  $20 \times 10^9/l$  are reported in 50% of siblings of affected children. Forty-five per cent of second siblings may have platelet counts of less than  $20 \times 10^9/l$  by 24 weeks gestation. However the only significant predictor of severe disease in subsequent affected siblings is antenatal ICH in the previous sibling<sup>55</sup>.

### Diagnosis

The diagnosis of AIT in a thrombocytopenic infant or fetus requires several laboratory observations. There must be HPA incompatibility between mother and child. Although not always identifiable, even with the most sensitive techniques, a firm diagnosis of AIT requires the identification of maternal antiplatelet alloantibodies. These antibodies should bind to paternal, but not maternal platelets, and they should be specific to the antigen incompatibility in question, including specificity for the glycoprotein on which the incompatible epitope is located.

## Antigen testing

Only a very few facilities can do both DNA-based and serologic testing for the most frequently encountered HPA antigens. With the development of oligonucleotide probes and the refinement of PCR techniques, it is possible to obtain platelet antigen typing on amniocytes and fetal leukocytes<sup>68–70</sup>. Techniques are also now available for platelet antigen genotyping from dried blood spots on cards to aide in rapid perinatal diagnosis<sup>71</sup>.

Recently, several ELISA-based<sup>72,73</sup> and fluorescence-based<sup>74</sup> techniques have been developed for rapid antigen typing on large numbers of samples. ELISA-based kits are also available to screen for common HPA antigens, and to allow accurate testing of the common antigens at smaller centres<sup>73</sup>.

## Alloantibody testing

Early antibody testing was performed with the platelet suspension immunofluorescent test (PSIFT). The PSIFT technique detects antiplatelet antibodies bound to the surface of platelets, but is not specific for anti HPA alloantibodies<sup>75,76</sup>. In 1987, Kiefel et al.<sup>77</sup> reported accurate alloantibody detection with an enzyme immunoassay, the monoclonal antibody immobilization of platelet glycoprotein assay (MAIPA). This technique, and subsequent refinements, now allows for the identification and quantification of glycoprotein-specific alloantibodies<sup>77–79</sup>. MAIPA can be both utilized during pregnancy to monitor antibody levels, and possibly adapted for large-scale screening programs<sup>80</sup>. Other techniques show promise for large-scale screening programs<sup>5,81</sup>.

## Who to test

When evaluating the thrombocytopenic newborn, it is important to carefully consider the diagnosis of AIT. We believe that all infants with platelet counts less than  $50 \times 10^9/l$  should be tested for AIT and managed accordingly. Even in patients with mild thrombocytopenia, it is always important to identify those with AIT, because of the implication for subsequent offspring, who may be more severely affected. In general, any thrombocytopenic infant, even with a platelet count greater than  $50 \times 10^9/l$ , without evidence of asphyxia or sepsis, is deserving of evaluation. As many as 30% of infants with AIT will have other neonatal medical problems, which can confuse the diagnosis of AIT. Therefore the diagnosis of other newborn disease, regardless of whether or not they are independent causes of thrombocytopenia, should not prevent an evaluation for

AIT, especially with severe thrombocytopenia or ICH. Severe thrombocytopenia and ICH are strongly associated with AIT. In premature infants, where an ICH is likely due to an underdeveloped germinal matrix, AIT should be considered if the ICH is associated with thrombocytopenia, or if there is a parenchymal bleed. Finally, any familial or transient neonatal thrombocytopenia should also be evaluated<sup>47</sup>.

## Screening programs

Currently there is no consensus on the need for routine, prenatal, maternal platelet antigen phenotyping, or on obtaining routine neonatal platelet counts. AIT is a significant cause of prenatal and perinatal morbidity and mortality, the pathogenesis is relatively well understood, and early intervention can prevent adverse outcomes. Unfortunately, we lack adequate biological markers of severe disease to justify the risk associated with fetal blood sampling and, for the same reasons, it is difficult to justify treating blindly without fetal blood sampling to know that the fetus is or will be severely affected.

The financial and technical burden of screening for all maternal platelet antigens would certainly exceed the resources of any large-scale screening program. Testing would have to be based on the ethnic distribution of the most likely antigen incompatibilities, and the severity of the associated thrombocytopenia. For most populations this would require testing for the HPA-1a antigen. However, such a program would miss incompatibilities among the less common human platelet antigens, some of which can lead to severe disease.

If a screening programme were to identify a woman with the HPA-1b phenotype, what would be the next step to determine the need for treatment? Both anti-HPA-1a antibody and HLA-typing for DRB\*30101 have been suggested. While high anti-HPA-1a antibody titres may predict severe AIT, low or undetectable titres are not at all predictive of the severity of the thrombocytopenia. In most cases of AIT, alloimmunization is eventually detected but it may require multiple antibody assays throughout the pregnancy and postnatal period. Additionally, there are at least three reported cases (including those in unpublished communications) of alloimmunization to HPA-1a in mothers that do not have the HLA DRB\*30101 subtype.

Screening programs have the potential to prevent the devastating or fatal hemorrhagic complications of AIT. However, without better markers for severe thrombocytopenia in affected maternal–fetal pairs, we have to rely on fetal blood sampling (FBS) to confirm the diagnosis. Until there is better data on the incidence and significance of the

biological markers for alloimmunization and thrombocytopenia, it is difficult to justify the number of unnecessary fetal blood samplings that would result from a screening programme using the current technology. For example, a reasonable screening programme might suggest FBS for any maternal–fetal pair with HPA-1a antigen incompatibility and a detectable maternal antibody. If we assume that there is a human–platelet antigen incompatibility in 1 in 40 pregnancies, and that 2% of these will have detectable alloimmunization<sup>14,26–28</sup> then 1 in 2000 pregnancies will result in alloimmunization, and require FBS. Only 35% of infants exposed to antiplatelet alloantibodies will develop moderate to severe thrombocytopenia<sup>14</sup>, while only 10–20% of these neonates will suffer an intracranial hemorrhage<sup>9,12,48</sup>. If the fetal loss rate after FBS is 1–2%<sup>82–84</sup>, then a screening program will result in fetal loss from FBS in as many as 1 in 100 000 to 1 in 200 000 pregnancies, while potentially preventing ICH in 1 in 28 500 to 1 in 57 000 pregnancies. In other words, in the setting of routine screening of first pregnancies, the best technology available still requires that one in three infants will undergo unnecessary FBS. Additionally, for every infant that suffers a fatal complication of FBS (assuming only one FBS per patient), we will only have the opportunity to potentially prevent ICH in two to five infants.

There are two large, multi-centred studies nearing completion in Northern Europe to help better define these issues.

## Management

Ideally, the goal in the management of AIT is to prevent antenatal or perinatal intracranial hemorrhage, and the associated neurologic sequelae or mortality. For those patients diagnosed in the newborn period, prompt diagnosis and treatment is necessary to restore normal hemostasis. However, often the damage is already done and optimal neonatal management merely serves to limit the extent of damage by preventing further progression of the ICH<sup>54</sup>. A number of cases may be diagnosed antenatally because of a history of AIT in a first or second degree relative, or, infrequently, because of an in utero intracranial hemorrhage diagnosed by prenatal ultrasound. Antenatal management of affected fetuses identified because of previously affected siblings can significantly reduce the morbidity and mortality associated with AIT. While severe thrombocytopenia and ICH occur in a minority of patients with AIT, the sequelae are potentially devastating and warrant aggressive preventative efforts.

## Antenatal management

There are several important considerations in developing antenatal management strategies for fetuses suspected of having fetal AIT: the potential severity of the thrombocytopenia; the natural history of fetal AIT; the risk of ICH at a given fetal or neonatal platelet count; the mode of delivery; the morbidity and mortality associated with therapy and therapeutic procedures; and the efficacy of available therapies. The appropriate use of antenatal therapies is best defined in second-affected siblings. In the absence of routine maternal human platelet antigen typing, first-born children are rarely diagnosed before the newborn period.

## Severity of disease

Other than antenatal ICH in an older affected sibling, there are no reliable predictors of severe thrombocytopenia in subsequent pregnancies. However, if an older sibling had severe AIT, subsequent infants will have disease that is at least as severe<sup>55,59,61</sup>. To our knowledge there are no reports of untreated fetuses with disease less severe than older affected siblings. As many as 40% of initial fetal platelet counts between 20 and 30 weeks gestation will be lower than the platelet nadir of an older affected sibling<sup>55</sup>. Furthermore, there are no reported cases of ‘spontaneous’ increases in the fetal platelet count, and affected fetuses, who are not initially treated because of adequate fetal platelet counts, will probably have a predictable decrease in their platelet counts. If previous pregnancies resulted only in mild disease, the severity of disease in subsequent pregnancies is impossible to predict.

## ICH

‘Spontaneous’ fetal ICH is rare at platelet counts greater than  $20 \times 10^9/l$ . However, the risk of ICH at a given platelet count after vaginal births and after cesarean section has not been studied in randomized controlled trials in AIT. Several early reports of ICH in thrombocytopenic infants after vaginal delivery prompted authors to advocate for scheduled ‘elective’ cesarean section in severely thrombocytopenic infants<sup>85,86</sup>. However, it is still not clear if vaginal delivery is an independent risk factor for ICH in thrombocytopenic neonates<sup>87,88</sup>. While in theory it may seem that cesarean section is safer for thrombocytopenic neonates, this is unproven. Successful antenatal therapy may increase fetal platelet counts to greater than  $50 \times 10^9/l$ , the generally accepted, but unproven, threshold for ‘safe’ vaginal delivery.

## Therapeutic procedures

Monitoring the efficacy of therapeutic interventions in fetuses with AIT requires fetal blood sampling using the

technique of cordocentesis. There is a reported fetal loss rate of 0.2% to 7.2%, depending on the technique (free-hand technique, fixed-needle technique, or combined technique), operator experience, and underlying fetal disease<sup>67,89–92</sup>. In otherwise healthy but thrombocytopenic fetuses, the risk of fetal loss is likely closer to 1%<sup>82,84</sup>. While some authors report no association between fetal loss and fetal platelet count<sup>67</sup>, thrombocytopenic fetuses appear to be at higher risk for exsanguination after FBS<sup>84</sup> and therefore transfusion of maternal or other matched antigen negative platelets is the standard of care.

## Efficacy of selected therapies

### Intrauterine platelet transfusions

Shortly following the description of the cordocentesis procedure, Daffos et al. described the use of washed maternal platelets injected into the umbilical vein of an infant with AIT<sup>93</sup>. Subsequent reports showed the efficacy of prophylactic HPA-1a negative platelet transfusions in maintaining fetal platelet counts and avoiding hemorrhagic complications<sup>22,94–96</sup>. Unfortunately, transfused platelets have a short half-life and repeat transfusions are required every 5 to 7 days to maintain an adequate platelet count ( $>20 \times 10^9/\mu\text{l}$ ) in a fetus with severe AIT. Repeated weekly fetal blood samplings for the purpose of platelet transfusions, carry a greatly increased risk of fetal loss or distress.

### Intravenous immunoglobulin and corticosteroids

While corticosteroids have shown limited benefit in AIT<sup>83</sup>, they do have some efficacy both as primary treatment and as adjunctive treatment to the use of intravenous infusions of gammaglobulin (IVIG). However, the use of maternally administered intravenous immunoglobulin has had the greatest impact on the restoration of adequate fetal platelet counts and the prevention of ICH<sup>97–101</sup>. The mechanism of effect of IVIG is unclear, although IVIG is thought to most likely work by competitively inhibiting the binding of alloantibodies to the  $F_c\gamma$  receptor<sup>100,102</sup>. Sufficient doses of IVIG will bind to the  $F_c\gamma$  receptors in both the placenta, preventing endocytotic uptake of alloantibodies<sup>103</sup>, and fetal mononuclear-phagocytic system, preventing alloantibody mediated platelet destruction<sup>100,102</sup>. The former mechanism, in particular, has indirect support in that the levels of IgG in the maternal circulation are in the range that was shown to competitively inhibit the transport of 90% of anti-D<sup>101,103</sup>. Direct infusion of IVIG into the fetal circulation<sup>104,105</sup> and peritoneum<sup>22</sup> has been reported without great success. Given the efficiency of maternal

IVIG transfer across the placenta, and the theoretical benefit of  $F_c\gamma$  receptor blockade in the placenta, it is most likely unnecessary to directly infuse IVIG into the fetus. However data on this approach is limited.

Fifteen of the first eighteen maternal–fetal pairs treated with maternally administered IVIG (1gram/kg/week) demonstrated a substantial increase in fetal platelet counts. None of the fetuses or newborns suffered an ICH, even when an antenatal ICH was reported in an older sibling. Almost all of the infants had higher birth platelet counts than did their older siblings. When IVIG was used in combination with 3 to 5 mg/kg/day of dexamethasone, 4 of 5 patients developed oligohydramnios<sup>98,100</sup>. In 1992, Lynch et al.<sup>100</sup> reported that the use of IVIG alone seemed inferior to that of IVIG and steroids. Therefore, a treatment protocol with IVIG plus 1.5 mg/kg/day of dexamethasone was piloted. At this lower dose of dexamethasone, there was no reported oligohydramnios<sup>47,100</sup>. A larger randomized trial then analysed whether there was benefit of this lower dose of steroids in combination with IVIG, but the effect of combined IVIG and dexamethasone 1.5mg/kg was no better than IVIG alone<sup>47</sup>.

There are a number of reports of refractory fetal thrombocytopenia despite administration of maternal IVIG<sup>22,100,106–108</sup>. The addition of high dose prednisone (60 mg/day) to weekly IVIG may be effective in patients who do not respond to IVIG alone. This dose of prednisone in combination with IVIG did not cause oligohydramnios<sup>47</sup>.

Weekly antenatal IVIG appears to be an effective therapy in preventing in utero and perinatal ICH. However, the variable responses of patients to IVIG necessitates that fetal platelet counts be monitored during the pregnancy by cordocentesis. FBS should be used judiciously for the diagnosis and monitoring of AIT. Operators should be experienced (at least 50 procedures)<sup>47,92,109</sup>, and concentrated maternal platelets should be transfused into all fetuses with a platelet count less than  $50 \times 10^9/\text{l}$  prior to the removal of the needle. However in the great majority of centres, a platelet count requires 3–5 minutes to be obtained. Therefore, 3 to 10 millilitres of platelets are usually administered prior to knowledge of the fetal platelet count.

### Specific management strategies

For the purpose of defining the most safe and effective management strategies for fetuses with known AIT, it is helpful to consider the following: second affected fetuses from the same parents have comparable or more severe disease than their older sibling; thrombocytopenia worsens as the pregnancy progresses; fetal blood sampling

**Table 38.5.** Risk Stratification and initial therapy

	Unknown risk	Standard risk	High risk	Very high risk	Extremely high risk
Antigen incompatibility, but other factors unknown?	Yes	No	No	No	No
Known AIT, platelet count $>20 \times 10^9$ , and no history of sibling* with ICH?	No	Yes	No	No	No
Platelet count on FBS is $<20 \times 10^9$ , or a history of sibling with perinatal ICH?	No	No	Yes	No	No
Sibling with ICH between 28 and 36 weeks gestation?	No	No	No	Yes	No
Sibling with ICH occurring before 28 weeks gestation?	No	No	No	No	Yes
First fetal blood sampling	See text	20 weeks gestation**	20 weeks gestation	20 weeks gestation	20 weeks gestation
Treatment***	See text	IVIG 0.5g to 1g/kg/week	IVIG 1g/kg/wk, and consider prednisolone 1mg/kg/day	IVIG 1g/kg/wk, to start at 12 weeks gestation	IVIG 1g/kg/wk, to start at 12 weeks gestation, but consider IVIG 2g/kg/wk in 2 divided doses

*Notes:*

\* Refers to antigen positive sibling with suspected or confirmed AIT.

\*\* 20 weeks gestation represents the earliest recommended time for FBS in AIT. For standard risk patients, sampling at 20–24 weeks is probably sufficient. See text for more details regarding timing of FBS.

\*\*\* Treatment refers to therapy administered to the mother after the first fetal blood sampling, unless otherwise specified.

is the only way to document a response to therapy; maintenance of a fetal platelet count above  $20 \times 10^9/l$  is likely necessary to reduce the risk of ICH; and maintenance of a platelet count above  $50 \times 10^9/l$  is likely necessary for a safe vaginal delivery. To translate these considerations into specific management strategies, our current protocol employs the following grading system: extremely high risk, very high risk, high risk, standard risk and unknown risk (see Table 38.5).

**Extremely high risk**

Patients are at extremely high risk for an adverse outcome if they are the antigen positive sibling of a fetus who suffered an antenatal ICH before 28 weeks gestation. For this group, 2 g/kg/week (in 2 doses) of maternal IVIG starting at 12 weeks gestation may be more effective than 1 g/kg/week with prednisone.

**Very high risk**

Fetuses are at very high risk for an adverse outcome if they are antigen positive and have a sibling who suffered an antenatal ICH between 28 and 36 weeks gestation. IVIG at 1g/kg/week starting at 12 weeks gestation may be sufficient in preventing ICH in this group. Monitoring of the fetal platelet count is crucial and in our experience, most

patients will later require the addition of 1mg/kg/day of prednisone.

**High risk**

Fetuses with a sibling who suffered a perinatal ICH, *or* fetuses with a platelet count less than  $20 \times 10^9/l$ , are defined as being at high risk for an adverse outcome. Our ongoing study asked for an initial FBS at 20 weeks gestation and randomized treatment in this group between IVIG alone and IVIG combined with 1 mg/kg of prednisone. It appears that IVIG and prednisone is more effective for the fetus, but also more toxic for the mother.

**Standard risk**

Fetuses affected by AIT who have no history of a sibling ICH, and have a platelet count greater than  $20 \times 10^9/l$ , have a lesser risk of an adverse outcome. Treatment after FBS is randomized between 1 g/kg/week of maternal IVIG and prednisone 0.5 mg/kg/day.

**Unknown risk**

When there is maternal–fetal antigen incompatibility, with or without alloantibody formation, there is an unknown risk of an adverse outcome. The literature is fairly clear about the need for close observation of siblings of children



with severe AIT. However, there are no absolute indications for antenatal intervention in patients referred with a history of a first or second degree relative with AIT, or in HPA-1a negative primiparous women, even with an affected fetus, unless the fetal platelet count is known to be low. Given the low incidence of alloimmunization in HPA-1a negative mothers, the low incidence of severe thrombocytopenia after alloimmunization<sup>14</sup>, and, consequently, the low incidence of ICH, we do not recommend FBS for all such cases. The optimal management remains unknown for the primiparous woman. Paternal phenotype should be ascertained to determine the potential fetal genotypes. If the father is heterozygous for the HPA-1a antigen, fetal genotype should be determined by amniocentesis. FBS should be performed, as early as 20 to 24 weeks gestation, if the following conditions are met (in the absence of a history of AIT in a first degree relative): there is a HPA-1a antigen incompatibility between mother and fetus (by amniocentesis, or if the mother is HPA-1b positive and the father is homozygous for HPA-1a); and maternal alloantibody is detected, irrespective of titre. Maternal alloantibody titres should be tested every 1–3 months until positive, or until 1–2 months postpartum. Alloimmunization may occur at delivery, in which case antibody titres will not rise until the postpartum period, will be subsequent siblings at great risk for thrombocytopenia.

## Special considerations

### Failure of treatment

After initiation of 1 g/kg/week of maternal IVIG, repeat platelet counts that are lower than previous samplings, or that are below  $20 \times 10^9/l$ , represent refractory disease, and deserve intensification of therapy. In an ongoing clinical trial, our early data suggests that intensification of therapy to 2 g/kg/week of maternal IVIG with or without the addition of 1 mg/kg/day of maternal prednisone may be effective in such refractory cases (unpublished data).

### Platelet count less than $10 \times 10^9/l$

A platelet count of less than  $10 \times 10^9/l$ , especially if obtained on the first FBS, places the fetus at substantial risk for an adverse outcome. The combination of 1 mg/kg/day prednisone and 1g/kg/week IVIG seems to be more effective in these patients than IVIG alone (preliminary data).

### Frequency of fetal blood sampling

The natural history of AIT predicts that the platelet count in an untreated, affected infant will drop by 20 to 25×

$10^9/l/week$ . Consequently, for fetal platelet counts of  $100\text{--}150 \times 10^9/l$ , it is reasonable to perform the next FBS within 4 to 6 weeks, and in 3–4 weeks for fetal platelet counts less than  $100 \times 10^9/l$ . FBS cannot be consistently, safely performed prior to 20 to 24 weeks gestation. If the patient is at very high or extremely high risk for an adverse outcome, it is likely that the platelet count will be very low early in gestation, and therapy should be initiated at 12 weeks gestation, 8 weeks prior to the first FBS.

## Treatment in the newborn

Early attempts to treat AIT consisted primarily of maternal platelet transfusion<sup>110</sup>. Maternal platelet transfusion or transfusion of HPA-1a negative platelets are still used in severe cases. In the early 1980s there were reports of the successful use of IVIG to treat immune thrombocytopenia in the newborn<sup>111–113</sup>. Corticosteroids are a third option, but are most effective when used in combination with IVIG. Random donor platelets are effective only for brief periods due to rapid alloimmune destruction.

For most infants with presumed AIT, IVIG (1g/kg/dose) will be sufficient in restoring a normal platelet count. The addition of methylprednisolone (1 mg IV every 8 hours) to IVIG may be better than IVIG alone. Recovery of the platelet count can usually be seen within 24 to 72 hours<sup>9,55,114</sup>. For visceral bleeding, including ICH, patients should receive HPA-1a negative platelets (maternal or single donor) in combination with IVIG and methylprednisolone. If HPA-1a negative platelets are not immediately available, random donor platelets may be substituted with frequent assessments of platelet recovery. Most large centres have identified HPA-1a negative donors, and can likely have HPA-1a negative platelets available on short notice.

## Conclusions

Alloimmune thrombocytopenia is a disease of varied presentation and course that may have a devastating impact on severely affected infants and their families. While early treatment and intervention may prevent many of the potential adverse sequelae, the prescribed therapy itself is not without significant risk. The capacity exists to effectively treat a great majority of affected fetuses, but we, unfortunately, lack sensitive, reliable and non-invasive indicators of disease severity, and response to therapy.

As understanding of the pathogenesis of this disease broadens, more effective and specific intervention will become available. Future efforts in the study of AIT must focus on: mechanisms of immune modulation in affected

and unaffected mismatched maternal–fetal pairs; biologic markers that better define the disease and risk groups; and effective, non-toxic, risk-based therapeutic interventions.

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## Drug-induced and drug-dependent immune thrombocytopenias

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### Introduction

This chapter will focus on pathological conditions of enhanced platelet destruction mediated by drug-induced and drug-dependent immune mechanisms. Drug-induced thrombotic thrombocytopenic purpura (TTP) will be outlined in Chapter 40.

Drugs may induce various immune-mediated hemocytopenias, immune hemolytic anemia<sup>1</sup>, neutropenia<sup>2,3</sup> and thrombocytopenia (Table 39.1).

### Drug-induced autoimmune thrombocytopenia

Von dem Borne et al.<sup>4</sup> reported gold therapy-induced antibodies that bind to platelet membrane targets even when the drug is absent. This has been confirmed by several other groups<sup>5</sup>. The clinical presentation of these patients is indistinguishable from autoimmune thrombocytopenia. Even after cessation of gold therapy, the autoantibodies cause destruction of platelets, usually by phagocytosis in the reticulo-endothelial system (RES). Autoantibody titres may decrease slowly, within months, after cessation of gold therapy paralleled by an increase in platelet counts. Laboratory diagnosis of these antibodies does not differ from other platelet autoantibodies (see Chapter 36). The same is true for treatment. However, gold should be avoided in these patients<sup>6</sup>.

### Drug-dependent immune thrombocytopenias (ddtp)

#### Pathogenesis

In ddtp the antibodies only bind to platelets in the presence of the drug or of one of its metabolites. Several models

**Table 39.1.** Immune thrombocytopenias induced by drugs

- |  |
|--|
| 1. drug induced autoimmune thrombocytopenia        |
| 2. drug dependent immune thrombocytopenia          |
| 3. GPIIb/IIIa-antagonist induced thrombocytopenias |
| 4. heparin-induced thrombocytopenia (HIT)          |
| 5. drug-induced thrombotic thrombocytopenia (TTP)  |

of pathogenesis have been debated<sup>7</sup> (Figure 39.1). According to the hapten-theory<sup>8-10</sup> the antibodies recognize an epitope on the drug. If the drug binds to the platelet surface, the antibodies also bind to the surface bound drug which results in platelet destruction in the RES. This mechanism can be responsible for penicillin-induced thrombocytopenia,<sup>9</sup> but is very unlikely to be the mechanism of other ddtps. Haptens usually bind strongly to proteins and cells<sup>9</sup>; binding of most drugs to platelets, however, is weak. They can be washed off cells rather easily<sup>11,12</sup>. Furthermore, in the test tube, high concentrations of the drug in the supernatant do not block antibody binding<sup>6</sup>.

As early as 1963 Shulman and Reid<sup>13</sup> presented an immune-complex mechanism, according to which the drug binds to a protein. The multimolecular complex of protein, drug and antibodies should bind to platelets, causing platelet destruction<sup>14</sup>. Ddtp-antibodies, however, bind to platelets by their Fab-parts and not via their Fc-part<sup>15,16</sup>.

According to the trimolecular-complex theory the epitope in ddtp is formed after binding of a drug to a platelet glycoprotein. It still remains unresolved whether the antibodies recognize a change of protein conformation, or the complex of protein and drug. Ddtp-antibodies typically react with monomorphic epitopes on platelet glycoproteins but only in the presence of the drug or a

metabolite. Although several platelet glycoproteins (GPs) have been identified as the antibody target in ddtp (GPIb/IX<sup>17-19</sup>, GPV<sup>20</sup>, GP IIb/IIIa<sup>21-25</sup>) antibodies in an individual patient are highly specific for a single GP.

Kroll et al.<sup>26</sup> investigated sera of 5 patients with carbimazole-dependent platelet reactive antibodies. They identified a complex of PECAM-1 (platelet endothelial cell adhesion molecule-1, CD 31) and carbimazole to be the antibody binding site.

### Drugs causing ddtp

Many drugs in numerous case reports have been suspected to cause ddtp (for a reference list of all case reports until 1998 see: <http://www.acponline.org/journals/annals/01dec98/drugdata.htm>

<http://www.acponline.org/journals/annals/01dec98/drugindu.htm>

George et al.<sup>5,27</sup>, but only a few have been documented by appropriate laboratory studies<sup>28</sup>. In some cases a metabolite rather than the drug itself mediates ddtp antibody binding to platelets<sup>12,29-31</sup>. Table 39.2 summarizes all drugs suspected to induce ddtp.

During a time period of 5 years, our laboratory had 153 requests to test for ddtp antiplatelet antibodies. In 11 of them a clear positive result could be obtained: in six patients with carbamazepine, and in one patient each with ceftriaxone, trimethoprim, sulfamethoxazole, perazin, piperacilline/tazobactam. To put these data in perspective, during the same time period, heparin-induced thrombocytopenia antibodies had been confirmed in 1189 patients in our laboratory.

### Clinical presentation

Clinical symptoms of ddtp typically occur after an interval of at least 7–10 days after start of medication. As ddtp antibodies may persist for years, in case of re-exposure symptoms can manifest within hours. Platelet counts typically decrease to values below 20000/ $\mu$ l, petechiae and hemorrhagic blisters of the mucosa are frequent<sup>5,32</sup>. An exception are carbimazole-dependent antibodies<sup>26</sup>, which cause a moderate decrease of platelet counts only. The most likely reason is that PECAM-1, which is involved in antigen presentation, also is expressed on leukocytes and endothelial cells. Thus the drug-induced antibodies bind to non-platelet epitopes, too. Whether this mechanism is restricted to carbimazole or applies to other drugs as well is unresolved.

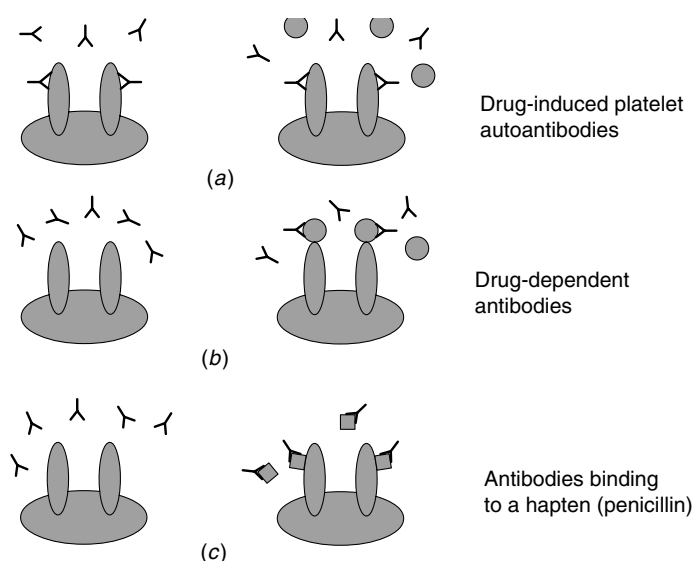


Fig. 39.1. Different hypotheses for drug dependent immune thrombocytopenias (ddtp) are described. (a) Drug-induced platelet autoantibodies bind to platelets in the absence (left side) as well as in the presence of the causative drug (right side). (b) In the absence of the causative drug, ddtp-antibodies are not able to bind to platelets (left side) but in the presence of the drug the antibodies form a labile trimolecular complex with the drug and platelet membrane structures (right side). (c) In the classical hapten reaction, ddtp-antibodies bind to haptens in plasma or to haptens on the cell membrane. In vitro antibody-binding to platelets is inhibited by excess drug.

### Treatment

The most important measure in ddtp is cessation and future avoidance of the responsible drug. In the experience of the authors, platelet counts usually rise after 4–5 drug half-life times to values above 50,000/ $\mu$ l. In the meantime, general measures to avoid high intracranial pressure are indicated. If hemorrhage is life-threatening, massive platelet transfusions and i.v. IgG should be considered.

### Diagnosis of ddtp

Most important for the diagnosis of ddtp is the clinical judgement and a carefully taken history. Drugs taken by the patient for months or even years are rarely the cause for the immune response. Drugs taken during the last 2 weeks are much more likely to be the trigger. In general drugs taken before, not after, onset of symptoms, are of major relevance.

**Table 39.2.** Number of case reports for specific drugs (in parentheses) according to George et al.<sup>5</sup>

Drug	Drug	Drug
Abciximab c7E3 Fab (7)	Cyclophosphamide (1)	Isoniazid (1)
Acetaminophen (10)	Cyclosporin (2)	Isotretinoin (2)
Acetazolamide (3)	Dactinomycin-actinomycin (3)	Itraconazole (1)
Allopurinol (3)	Danazol (7)	l-tryptophan (1)
Allylisopropyl acetylurea (1)	Deferoxamine (1)	Levodopa (1)
Alprenolol (1)	Desipramine (2)	Levamisole (4)
Aminoglutethimide (5)	Diatrizoate meglumine (4)	Lidocaine (1)
Aminosalicylic acid (5)	Diatrizoate meglumine- diatrizoate sodium (2)	Lincomycin (1)
Amiodarone (2)	Diazepam (3)	Lithium (2)
Amitriptyline (1)	Diazoxide (2)	Lorenzo's oil (2)
Amphotericin B (3)	Diclofenac (6)	Mainserin (1)
Ampicillin (4)	Didanosine (1)	Mebhydrolin (1)
Amrinone (3)	Diethylstilbestrol (2)	Meclofenamate (2)
Anagrelide (1)	Difluoromethylornithine (2) (Eflornithine)	Mefenamic acid (1)
Anorexigenic agents (1)	Diflunisal (1)	Mercurial diuretics (1)
Antilipemic agents (3)	Digoxin (6)	Mesalamine (1)
Antithyroid agents (1)	Diltiazam (4)	Methazolamide (1)
Apalcillin (1)	Doxepin (2)	Methicillin (1)
Arsphenamine (14)	Enalapril (1)	Methotrimeprazine (3)
Aspirin (3)	Erucic acid (6)	Methyl dopa (8)
Benoxaprofen (2)	Ethambutol (2)	Methylphenidate (1)
Benzodiazepines (1)	Ethchlorvynol (1)	Mexiletine (2)
Bismuth (1)	Ethylenedinitrilo tetraacetic acid (1)	Mezlocillin (2)
Bleomycin (8)	Etretinate (2)	Minoxidil (1)
Bromocriptine (1)	Famotidine (4)	Morphine (1)
Bumetanide (1)	Felbamate (1)	Moxalactam (1)
Butabarbital (1)	Fenoprofen (2)	Nalidixic acid (6)
Butoconazole (1)	Feprazone (1)	Naphazoline (2)
Captopril (5)	Flavone-8-acetic acid (1)	Naproxen (2)
Carbamazepine (16)	Fluconazole (3)	Nifedipine (1)
Carbimazole (1)	Flucytosine (1)	Nimesulide (1)
Carboplatin (1)	Fluphenazine (1)	Nitroglycerine (1)
Cefamandole (1)	Furosemide (1)	Nomifensine (1)
Cefotetan (2)	Fusidic acid (2)	Nonsteroidal antiinflammatory drugs (1)
Ceftazidime (1)	Ganciclovir (1)	Novobiocin (1)
Ceftriaxone (1)	Gentamicin (1)	Octreotide (1)
Cefuroxime (1)	Glibenclamide (3)	Ondansetron (6)
Cephalothin (3)	Gold (80)	Oxypropenolol (3)
Chlorothiazide (15)	Haloperidol (3)	Oxytetracycline (3)
Chlorpheniramine (1)	Hydralazine (1)	Oxyphenbutazone (3)
Chlorpromazine (8)	Hydrochlorothiazide (6)	Papaverine (1)
Chlorpromazine (8)	Hydroxychloroquine (1)	Penicillamine (3)
Cimetidine (23)	Hydroxyurea (1)	Penicillin (3)
Ciprofloxacin (1)	Ibuprofen (3)	Pentagastrin (1)
Clarithromycin (1)	Indomethacin (3)	Pentamidine (1)
Clidinium bromide- chlordiazepoxide (1)	Interferon- $\alpha$ (8)	Phenobarbital (1)
Clonazepam (1)	Iocetamic acid (2)	Phenybutazone (1)
Clozapine (2)	Iopanoic acid (3)	Phenytoin (25)
Cocaine (12)	Iron dextran (1)	Phthalazinol (1)
Cyanidanol (1)		Piperacillin (2)



Table 39.2. (cont.)

Drug	Drug	Drug
Piperazine (1)	Ranitidine (9)	Suramin (6)
Piroxicam (2)	Remoxipride (1)	Tamoxifen (1)
Plicamycin (1)	Rifampin (17)	Teicoplanin (1)
Podophyllum (1)	Simvastatin (1)	Tetanus oxoid (1)
Potassium iodide (1)	Sodium nitroprusside (2)	Thiotepa (1)
Prednisone (8)	Sodium salicylate (1)	Thiothixene (1)
Primidone (1)	Spiramycin (1)	Ticlopidine (2)
Procainamide (12)	Stibogluconate (2)	Tobramycin (1)
Prochlorperazine (1)	Stibophen (2)	Tolbutamide (1)
Propylthiouracil (1)	Sulfadiazine (1)	Tolmetin (2)
Protamine sulfate (1)	Sulfamethoxazole (2)	Trimethoprim
Pyrycinamide (1)	Sulfanilamide (2)	sulfamethoxazole (24)
Pyrimethamine (2)	Sulfasalazine (10)	Valproate (3)
Quiniband (1)	Sulfathiazole (1)	Vancomycin (7)
Quinidine (70)	Sulfisoxazole (2)	Zidovudine (1)
Quinine (24)	Sulindac (3)	

### Laboratory diagnosis

Ddtp-antibodies can be confirmed by a variety of assays<sup>33</sup>. Whole platelet<sup>34</sup> and GP-specific ELISAs<sup>35</sup> have been employed as well as flow cytometry<sup>36</sup>, or immunoblot<sup>37</sup>. Due to labile binding of the drug to platelet GPs and the potential dependency of the antigen on protein conformation, assays not altering the GP structure, e.g. flow cytometry are usually more sensitive than immunoblot. In all assays it is absolutely crucial to add to all reaction media, including all washing buffers, with the same concentration of the suspected drug or the metabolite. Appropriate controls are also mandatory (Table 39.3). In case a metabolite of a drug is suspected to be causative, a practical source for some metabolites is the use of urine (pH buffered) obtained from an individual who takes the suspected drug. The clinical importance of negative *in vitro* tests for drug-dependent antibodies remains uncertain. In some reports, patients with apparent quinidine-induced thrombocytopenia had no demonstrable drug-dependent antibodies using different assays. Therefore, in the absence of promptly available and clinically validated laboratory assays, judgement about ddtp must continue to be based on clinical criteria<sup>38</sup>.

### GP IIb/IIIa receptor antagonist-induced thrombocytopenias

#### Frequency

GP IIb/IIIa receptor antagonists are a heterogeneous group of anti-integrilins<sup>39</sup> (Table 39.4) gaining increasing importance in the treatment of acute cardiac syndromes. Thrombocytopenias have been observed in all studies with these drugs, but also occurred in the control populations (Table 39.5). Currently abciximab, tirofiban and oral GPIIb/IIIa antagonists seem to be associated with an increased risk for severe thrombocytopenias, whereas in eptifibatide-treated patients the risk for severe thrombocytopenias was not enhanced as compared to the control population (Table 39.5). In abciximab treated patients severe thrombocytopenias (<20 000/ $\mu$ l) occurred in 0.3–1.6 % of patients who received the drug for the first time. If the EPIC, EPILOG and CAPTURE studies are pooled the incidence of severe thrombocytopenias was higher in abciximab treated patients as compared to placebo treated patients (1.1% vs. 0.5%,  $P=0.017$ )<sup>49–51</sup>.

#### Clinical presentation and treatment

GP IIb/IIIa inhibitor induced thrombocytopenias typically occur within the first 24 hours after start of treatment. Platelet counts decrease to values below 50 000/ $\mu$ l and

**Table 39.3.** Control experiments for detection of drug-dependent platelet reactive antibodies<sup>32</sup>

Platelets	Drug or metabolite	Serum	Reaction	Interpretation
+	+	Patient	+	Drug-dependent antibody
+	-	Patient	-*	
+	+	Normal donor serum	-*	
+	-	Normal donor serum	-*	
+	+	HPA-antibody-positive serum	+*	
+	-	HPA antibody-positive serum	+*	
+	+	Patient	+	Auto-/alloantibody
+	-	Patient	+	
+	+	Normal donor serum	-	
+	-	Normal donor serum	-	
+	+	HPA antibody-positive serum	+	
+	-	HPA antibody-positive serum	+	
+	+	Patient	+	Non-specific reaction of the drug with the detection system
+	-	Patient	-	
+	+	Normal donor serum	+	
+	-	Normal donor serum	-	
+	+	HPA antibody-positive serum	+	
+	-	HPA antibody-positive serum	+	
+	+	Patient	-	Inhibition of conjugate activity
+	-	Patient	-	
+	+	Normal donor serum	-	
+	-	Normal donor serum	-	
+	+	HPA antibody-positive serum	-	
+	-	HPA antibody-positive serum	-	
+	+	Patient	-	Negative result
+	-	Patient	-	
+	+	Normal donor serum	-	
+	-	Normal donor serum	-	
+	+	HPA antibody-positive serum	+	
+	-	HPA antibody-positive serum	+	

**Notes:**

\* control experiments; HPA: human platelet antigen.

+/-: reactions particularly important for interpretation.

**Table 39.4.** Types of GP IIb/IIIa antagonists

Name	Class	Way of application
Abciximab	Chimeric fab fragment	i.v.
YM337	Humanized fab fragment	i.v.
Eptifibatide	Cyclic KGD-peptide	i.v.
Tirofiban	RGD peptido mimetic	i.v.
Lamifiban	RGD peptido mimetic	i.v.
Fradafiban	RGD peptido mimetic	i.v.
Xemilofiban	Peptidomimetic prodrug	oral
Lefradifiban	Peptidomimetic prodrug	oral
Sibrafiaban	Peptidomimetic prodrug	oral
DMP 728	Peptidomimetic prodrug	oral

often below 20 000/ $\mu\text{l}$ <sup>52</sup>. Patients are at an increased risk to develop bleeding complications. Therefore it is recommended that platelet counts be monitored during treatment with GP IIb/IIIa receptor antagonists. For abciximab, platelet counts are recommended by the manufacturers after 2, 4 and 24 hours.

In our own series of 34 of these patients, hematomas, petechiae, mucosal and urine tract hemorrhages were the most frequent bleeding symptoms. After cessation of the drug, platelet counts normalized rapidly, usually within 2–3 days. Treatment of thrombocytopenia is symptomatic, but the heparin dose should be reduced. In case of bleeding complications, platelet transfusions are the treatment of choice. Steroids or i.v. IgG are not sufficient<sup>53,54</sup>. For

**Table 39.5.** GP IIb/IIIa receptor antagonist induced thrombocytopenias

Study	Study medication	Number of patients	Thrombocytopenia		Reference
			<100000/ $\mu$ l or >25% (% of patients)	<50000/ $\mu$ l (% of patients)	
EPIC	Abciximab bolus + infusion	708	5.2	1.6	EPIC Investigators, 1994 <sup>40</sup>
	Abciximab bolus	695	3.6	0.3	
	Placebo	696	3.4	0.7	
EPILOG	Abciximab bolus + standard-dose heparin	918	2.6	0.9	EPILOG Investigators, 1997 <sup>41</sup>
	Abciximab bolus + low-dose heparin	935	2.5	0.4	
	Placebo + standard-dose heparin	939	1.5	0.4	
CAPTURE	Abciximab bolus + infusion	635	5.6	1.6	CAPTURE Investigators, 1997 <sup>42</sup>
	Placebo	630	1.3	0	
IMPACT	Eptifibatide	101	6	0	IMPACT Investigators, 1995 <sup>43</sup>
	Placebo	49	0	0	
IMPACT-AMI	Eptifibatide	125	8.8	0	IMPACT-AMI Investigators, 1997 <sup>44</sup>
	Placebo	55	14.5	0	
PURSUIT	Eptifibatide	4722	6.8	0.2	PURSUIT Investigators, 1998 <sup>45</sup>
	Placebo	4739	6.7	0.1	
RESTORE	Tirofiban	1071	1.1	0.2	RESTORE Investigators, 1997 <sup>46</sup>
	Placebo	1070	0.9	0.1	
PRISM	Tirofiban	1616	1.1	0.4	PRISM Investigators, 1998 <sup>47</sup>
	Heparin	1616	0.4	0.1	
PRISM PLUS	Tirofiban	345			PRISM-PLUS Investigators, 1998 <sup>48</sup>
	Heparin	797	0.8	0.3	
	Tirofiban + heparin	773	1.9	0.5	

platelet transfusion therapy, the different half-lives of the GP IIb/IIIa antagonists and their different pharmacology should be considered. The direct antagonists like abciximab have a high binding affinity to GP IIb/IIIa. Therefore the drug is rapidly cleared from the circulation and redistribution to transfused platelets occurs slowly<sup>55,56</sup>. Eptifibatide and tirofiban, however, have a much lower affinity for the receptor and are given in excess. The GP IIb/IIIa complexes of transfused platelets will be rapidly covered with the drug. Platelet transfusions should therefore be given after several half-lives of the drug have passed following cessation of the drug (4–6 hours). Before heparin dosage is reduced or platelet transfusions are given prophylactically, pseudothrombocytopenia must be excluded.

### Pseudothrombocytopenia

This artefact seems to be frequent in abciximab treated patients. Several cases of abciximab induced pseudothrombocytopenia have been described<sup>457–59</sup>. Sane et al.<sup>60</sup> determined incidences of pseudothrombocytopenia and

thrombocytopenia in the EPIC-, EPILOG-, EPISTENT- and CAPTURE studies. Pseudothrombocytopenia occurred in 2.1% of abciximab treated patients as compared to 0.6% in placebo treated patients. In our laboratory, in 5 of 34 patients (14.7%) with the clinical suspicion of GP IIb/IIIa antagonist-induced thrombocytopenia, pseudothrombocytopenia was the underlying reason. All these patients had been treated with abciximab.

In our view, pseudothrombocytopenia is the most dangerous side effect of GP IIb/IIIa antagonists. In case of misinterpretation as real thrombocytopenia not only the drug itself but also heparin are often withdrawn and sometimes even platelet transfusions are given. These measures increase the risk of developing a new arterial occlusion. As pseudothrombocytopenia may occur independently of the anticoagulant used, i.e. in EDTA-, citrate- and heparinized blood, a microscopical assessment of a blood smear should always be included in the diagnostic procedure to distinguish between the typical large platelet aggregates and genuine thrombocytopenia. The impressively huge platelet aggregates as seen on blood smears in patients

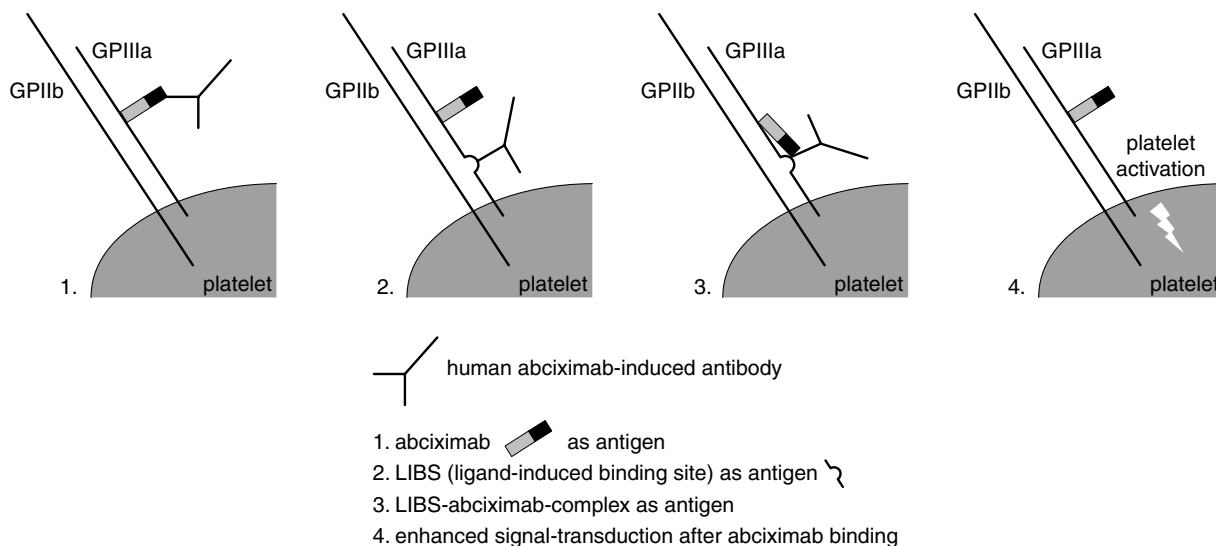


Fig. 39.2. Hypotheses for development of GP IIb/IIIa-induced thrombocytopenia.

with pseudothrombocytopenia, and the still unexplained fact that the large trials of oral GPIIb/IIIa antagonists had to be terminated because of an excess of thrombotic events may be causally related. The exact mechanism of pseudothrombocytopenia in GPII/IIIa treated patients is unknown; however, there is no evidence that other patients with pseudothrombocytopenia, who are not treated with GPIIb/IIIa antagonists, have any clinical sequelae associated with this *in vitro* phenomenon.

In addition, owing to the concomitant use of heparin and GP IIb/IIIa receptor antagonists, heparin-induced thrombocytopenia should also be considered, if the patient received heparin within the last 3 months before the acute episode.

### Pathogenesis

The pathogenesis of GP IIb/IIIa-induced thrombocytopenias is still unresolved. The hypothesis most often presented is that a neoepitope is generated on the platelet surface after binding of the drug. This neoepitope is recognized by preformed antibodies in the patient serum. After binding of these antibodies, platelets are phagocytosed by the RES. Hereby, (i) the drug itself may be recognized as the antigen (Fig. 39.2), (ii) the GP can undergo a conformational change after binding of the drug resulting in a new ligand induced binding site (LIBS)<sup>7,38,61</sup>, or (iii) a complex of the drug and the LIBS could be the antigen<sup>38,62</sup>.

An alternative theory is that binding of the drug may induce an outside-in signalling which causes platelet acti-

vation. The activated platelets would then be destroyed in the RES<sup>63,64</sup>.

It seems to be very unlikely that a specific immune response induced by the GP IIb/IIIa antagonists is the reason for the acute thrombocytopenias, as the time interval between start of treatment and onset of thrombocytopenia is too short.

Cines<sup>65</sup> speculated that only in patients with a certain polymorphism of the GP IIb/IIIa complex is a neoepitope expressed after binding of the drug to which preformed antibodies bind by crossreactivity. This model could provide an explanation why only a minority of GP IIb/IIIa receptor antagonist-treated patients develops thrombocytopenia. To test this hypothesis, we screened DNA of 34 affected patients for polymorphisms in the GP IIb/IIIa complex but could not find mutations associated with the occurrence of thrombocytopenia (unpublished).

Curtis et al.<sup>66</sup> used flow cytometry and demonstrated antibodies which bind to abciximab preincubated platelets in 94% of patients with acute thrombocytopenia during abciximab therapy. However, in sera of 10% of normal controls, these antibodies were also present. Whereas the antibodies in the control sera could be neutralized by preincubation with normal Fab fragments, antibodies in patient sera could not. The titres of these antibodies increased tenfold after re-exposure with abciximab.

Kecskes et al.<sup>67</sup> and Cines<sup>65</sup> also described preformed antibodies directed against a conformation-dependent epitope on the GP IIb/IIIa complex. Kroll et al.<sup>68</sup> found

abciximab dependent antibodies in 7/7 patients using flow cytometry and the MAIPA technique. However, they found these antibodies also in 17/100 sera of normal blood donors, not pre-exposed to abciximab. We find an even higher percentage of positive results in normal controls. The monoclonal antibody anti-CD41, clone P2 (recognizing GP IIb in the intact GPIIb/IIIa complex) blocks human antibody binding, whereas the moab anti CD-61, clone PM 6/13 (specific for GP IIIa) does not. The epitope involved therefore seems related to the binding site of moab anti-CD41, clone P2. The high percentage of positive results in normal controls, however, raises the question about the diagnostic and pathogenetic relevance of those antibodies.

Kozak et al.<sup>59</sup> demonstrated *in vitro* platelet aggregation in a patient with abciximab related pseudothrombocytopenia after addition of abciximab, eptifibatid or tirofiban, which they interpreted as crossreactivity of the antibodies.

In our laboratory, antibodies which bound to platelets after preincubation with abciximab did not bind after preincubation with tirofiban. Whether this excludes crossreactivity, or is a result of the different affinities of the two drugs, is unresolved.

### Human antichimeric antibodies (HACAs)

HACAs are induced by the chimeric, humanized Fab fragments of abciximab. Between 4.7 and 6.5% of all patients treated in the EPIC, EPILOG, and CAPTURE studies developed these antibodies, which are directed against the human part of the Fab-fragment. As to be expected from primary immune response, these antibodies occur 2–4 weeks after treatment with a maximal titre after 4–6 weeks<sup>69</sup>. The percentage of patients with HACAs increases after re-exposure to abciximab<sup>70</sup>. However, these antibodies seem to be of very minor, if any, clinical relevance and are not correlated to the risk of occurrence of thrombocytopenia.

### Heparin-induced thrombocytopenia

The first case reports of ‘paradox’ thromboembolic complications (TECs) during heparin medication were published in 1958<sup>71</sup>. Today, heparin-induced thrombocytopenia (HIT) is the most important and most frequent drug-induced, immune-mediated thrombocytopenia. This immune HIT has to be distinguished from non-immune interactions between heparin and platelets. In our laboratory confirmed HIT was 100 times more frequent than all other drug-induced thrombocytopenia during the last 5 years.

### Non-immune heparin-associated thrombocytopenia

Due to its negative charge, heparin binds to platelets in a saturable manner<sup>72–75</sup>. No unique platelet structures have been identified for this binding and different polysaccharides can displace each other from platelet binding directly dependent on their charge density and chain length<sup>73,76,77</sup>. Numerous *in vitro* experiments addressed the effects of heparin on platelets<sup>75</sup>. Although results are not always consistent, it is generally agreed that *in vitro* heparin has a pro-aggregatory effect on platelets<sup>78–80</sup>, which is correlated to its grade of sulfation and chain length<sup>81</sup>.

These direct heparin–platelet interactions are thought to cause the frequent phenomenon of early onset thrombocytopenia in patients receiving unfractionated heparin (UFH), typically in therapeutic dosage *i.v.* In a consensus paper<sup>82</sup> it had been suggested to use the term heparin-associated thrombocytopenia to distinguish this syndrome from immune mediated HIT.

Clinically non-immune heparin–platelet interactions cause a mild and transient decrease of platelet counts, rarely <100 000/ $\mu$ l<sup>83,84</sup>. In case of certain underlying diseases like anorexia nervosa, burns, arterial disease, or concomitant DIC, platelet counts can decrease to much lower levels<sup>81,85,86</sup>.

Diagnosis of non-immune heparin-associated thrombocytopenia is an exclusion diagnosis. Special treatment is usually not required. In patients with a dramatic reduction in platelet counts, low molecular weight heparins (LMWH) may be a therapeutic option for anticoagulation.

These heparin–platelet interactions are of relevance for the development of immune HIT<sup>87</sup>. Binding of platelet factor 4 (PF4) heparin complexes is mediated by the heparin binding site rather than a PF4 binding site<sup>77,88</sup>. Smaller heparin molecules bind less strongly to platelets, cause less platelet activation, less release of PF4 either from platelet alpha granules or from endothelial cell heparan sulfate, and form smaller (less immunogenic) complexes with PF4<sup>89,90</sup>.

### Immune heparin-induced thrombocytopenia

#### Pathogenesis of HIT

The pathogenesis of HIT is rather unique. Two compounds which are normally present in the human body, heparin and PF4, complex with each other and induce an immune response.

#### The proteins involved

Amiral et al.<sup>91,92</sup> were the first who identified PF4 as the most important protein involved in the immune response

of HIT and who characterized other involved chemokines as interleukin 8 (IL8) or neutrophil activating peptide-2 (NAP-2)<sup>93</sup>. Their finding has been confirmed by several other groups<sup>94–97</sup>. PF4 is a positively charged tetrameric glycoprotein formed by two self-assembling dimers, each consisting of two non-covalently bound monomers. These PF4 tetramers bind to glycosaminoglycans. The amino-acid sequence of PF4 and the encoding DNA sequences are resolved<sup>98,99</sup>. In patients with HIT no mutations of the PF4 gene could be identified<sup>100</sup>, thus the antigen is considered to be a cryptantigen or autoantigen exposed after binding of heparin to the tetramer<sup>101</sup>. Horsewood et al.<sup>102</sup> used reduced or alkylated PF4 to map the binding region of HIT antibodies. Of 29 sera, 5 contained antibodies which bound to these modified PF4 proteins and which recognized a 19 COOH-terminal amino acid peptide. By using chimeric PF4<sup>103</sup>, PF4 mutants,<sup>104–106</sup> and inhibition experiments<sup>104</sup>, the PF4 region between the third and the fourth cysteine residues has been identified as most important for formation of at least three recognition sites for HIT-antibodies. However, as peptides of these regions alone are not able to bind HIT-antibodies, the antigenic site seems to be dependent on the three-dimensional structure of the complex.

#### The polysaccharides involved

In platelets, eight PF4 tetramers are bound to a chondroitin sulfate proteoglycan<sup>107</sup>. PF4 is released from platelets during platelet activation and can then bind to heparan sulfate containing proteoglycans at the surface of endothelial cells<sup>108</sup>. Polysaccharides with higher sulfation than heparan, e.g. heparin, can displace PF4 from endothelial bound heparan sulfate<sup>109</sup>. The interaction between heparin or other polysaccharides and PF4 has been extensively studied<sup>110</sup>. In essence, the interaction is not dependent on the primary sugar sequence, the type of glycosidic linkage, or the antithrombin binding capacity of a polysaccharide<sup>98,111–113</sup>, but on the degree of sulfation and the molecular weight. If the degree of sulfation (number of sulfate groups per monosaccharide) is below 0.5, or the chain length is below 2.4 kDa (~7 monosaccharides) formation of the HIT antibody recognition site after complexing of the polysaccharide with PF4 is very unlikely<sup>111</sup>. Thus the pentasaccharide (1727 kDa)<sup>114</sup>, a mixture of low sulfated glycosaminoglycans (danaparoid)<sup>115</sup>, or certain synthetic polysaccharides<sup>116</sup> will potentially reduce the risk of immune-mediated HIT.

#### The concentrations required

Because complexes of PF4 and heparin bind to platelets via the heparin binding site, they have to compete with free

heparin<sup>88,117</sup>. If free heparin is in excess, the pathogenic complexes are displaced from the platelet surface. Therapeutic concentrations of heparin reach 0.2–0.4 U/ml, which corresponds to 100–200 nmol/l, whereas the normal PF4 concentration reached after application of heparin is only 8 nM<sup>118</sup> which corresponds to ~0.2 µg/ml. Under in vitro conditions, using 0.2–0.4 IU heparin/ml, the amount of PF4 required to reach the stoichiometric concentrations for the antigenic complex is about 6–20 µg/ml. Thus the HIT antigen is only formed under extreme conditions in which very high amounts of PF4 are released. The situation is very different if small amounts of heparin are given in clinical settings with enhanced platelet activation and higher than normal PF4 concentrations, e.g. during thrombosis prophylaxis after major hip-joint replacement surgery, or in patients with major arterial vessel disease. This seems to be exactly the situation where patients are at the highest risk to develop HIT (Fig. 39.3). Furthermore, this concentration dependency may give an explanation for the differences in the frequency of HIT in different patient populations<sup>75,101</sup>.

#### The antibodies involved

In symptomatic HIT patients, the antibodies involved are of the IgG class in 80% of cases. Antibodies of the IgG1 class were found to be predominant among HIT-IgG<sup>120–122</sup> but patients with HIT antibodies of the IgM or IgA class only have also been described<sup>123</sup>. There is increasing evidence that the percentage of patients developing the immune response of HIT, i.e. a positive HIT-antibody test but no clinical symptoms, is much higher than the percentage of patients who develop clinical HIT. Antibody concentration, antibody affinity, and most importantly the clinical conditions of the patient seem to be relevant for a 'clinical breakthrough' of HIT<sup>101</sup>. Empirically, we have the impression that anti-PF4/heparin antibodies, which activate platelets in an in vitro assay, are more likely to cause clinical HIT than those only detectable by ELISA.

There are pre-existing antibodies against chemokines (IL-8, NAP-2, PF4)<sup>124–126</sup>. Whether they have any pathogenic role in HIT is unresolved.

#### Platelet receptors and HIT

The most important platelet glycoprotein involved in HIT is the platelet FcγIIa receptor<sup>127</sup>. Binding of HIT antibodies to the PF4–heparin complexes results in large multimolecular immune complexes<sup>94,96</sup>. The Fc-parts of the IgG in the immune complexes crosslink the FcγRIIa. The resulting platelet activation can be completely blocked by a monoclonal antibody against the FcγRIIa<sup>79,128</sup>. The number of FcγRIIa copies on the platelet surface is increased in

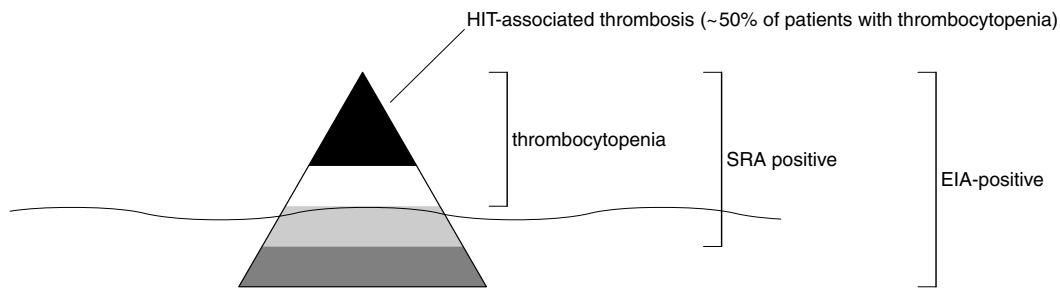
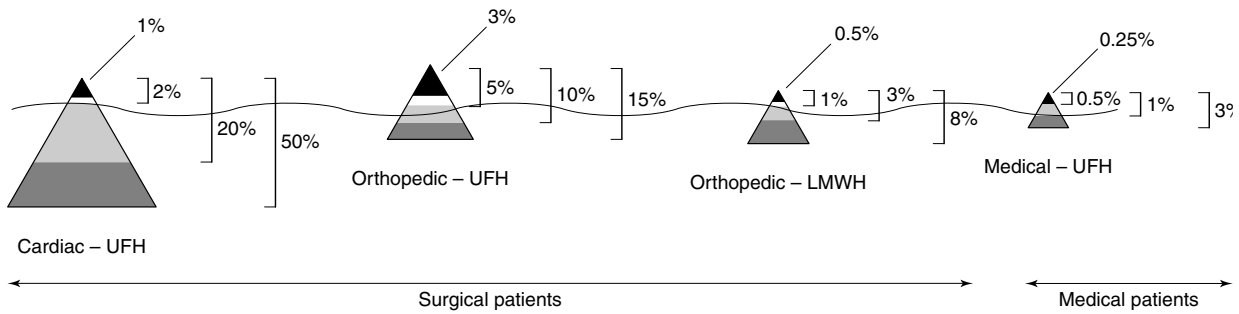


Fig. 39.3. Iceberg-model of heparin-induced thrombocytopenia<sup>119</sup>. SRA: serotonin release assay; EIA: enzyme linked immune assay.

HIT<sup>129</sup>, most likely as a marker of increased platelet activation. Soluble FcγRIIa, produced by alternative splicing<sup>130,131</sup>, reach about 10% of the total number of the platelet membrane bound receptors. They are not able to saturate the Fc-parts of the immune complexes. As a marker of increased platelet activation, soluble FcγRIIa is found in increased concentrations in HIT patients<sup>132</sup>.

The FcγRIIa has a functionally relevant polymorphism, FcγRIIa-Arg/His131, which results in different affinities for human IgG<sup>2133–135</sup>. In vitro, washed platelets with the FcγRIIa His131 variant are preferentially activated by HIT antibodies<sup>121,136</sup>. Several studies investigated the role of this polymorphism in HIT. Burgess et al.<sup>137</sup> (n = 19), Brandt et al.<sup>138</sup> (n = 96), Denomme et al.<sup>121</sup> (n = 84) found the His131 variant overrepresented whereas Arepally et al.<sup>120</sup> (n = 36) and Bachelot-Loza et al.<sup>136</sup> (n = 25) found no association between FcγRIIa genotype and HIT. Carlsson et al.<sup>139</sup> (n = 389) showed an increase in the frequency of Arg131. This increase occurred only in the subset of patients with HIT and thrombosis. They hypothesize that the PF4–heparin–HIT–IgG immune complexes and platelets covered with these immune complexes are cleared by the FcγRIIa of the RES more efficiently in individuals with the His131 genotype. Therefore, platelet activating immune

complexes circulate longer in patients with the Arg 131 phenotype, thereby enhancing thrombin generation and increasing the risk for HIT-related thrombosis.

Apart from the FcγRIIa, at least one of the platelet ADP receptors seems to be of major importance in HIT, as blocking of the receptor completely inhibits FcγRIIa-mediated platelet activation<sup>140</sup>.

#### Endothelial cells in HIT

HIT antibodies of the IgG, IgA, and IgM class bind to endothelial cells<sup>141</sup>, dependent on endothelial cell heparan sulfate and on addition of PF4<sup>96,141</sup>. Activation of endothelial cells by HIT antibodies, however, seems to require cofactors released by activated platelets and can be inhibited by addition of apyrase or a GPIIb/IIIa inhibitor<sup>142</sup>. Although endothelial cells should have an important role in HIT, the exact mechanism is still unresolved.

#### Thrombin in HIT

Thrombin plays a central role in HIT-related thromboembolic complications (TECs) (Fig. 39.4). Thrombin generation is enhanced in HIT<sup>143,144</sup> by concomitant activation of platelets<sup>145</sup>, generation of platelet microparticles<sup>146</sup>, and alteration of endothelial cells<sup>141</sup>. Activated endothelial cells

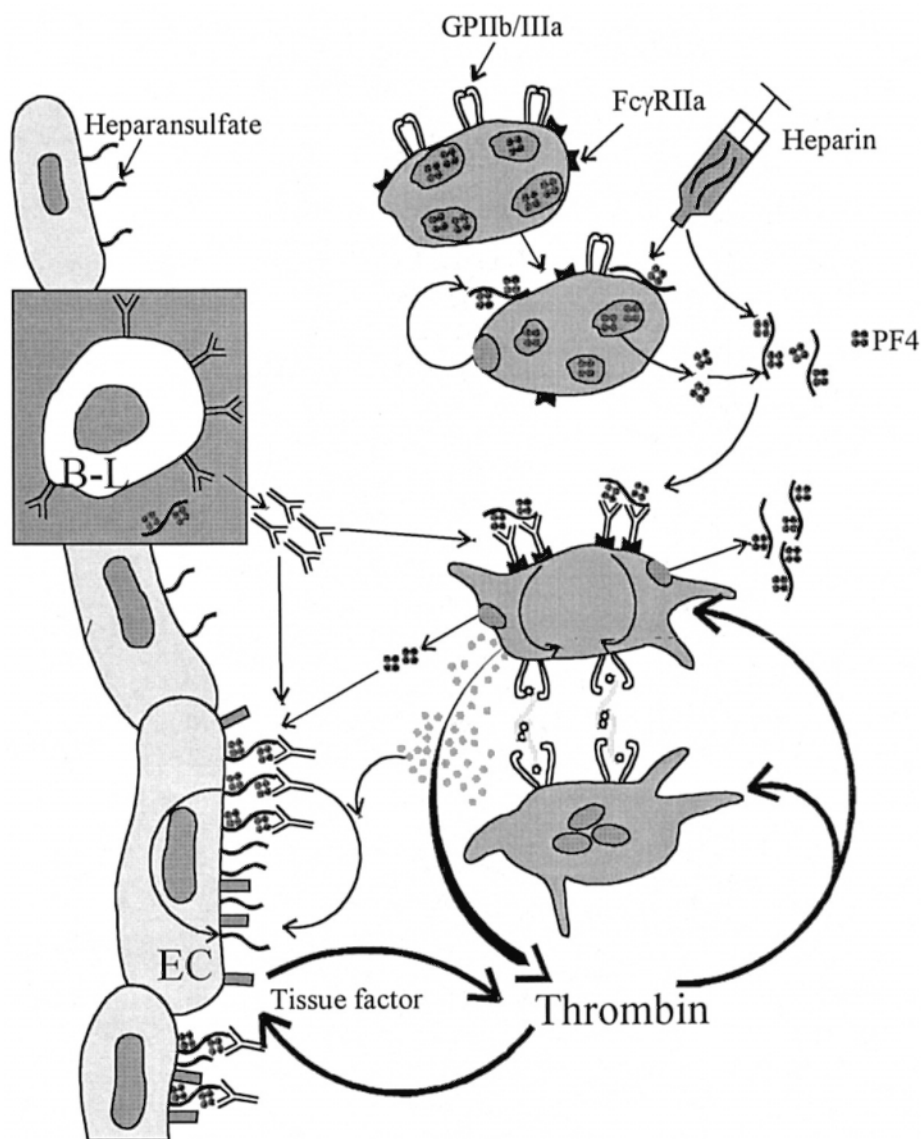


Fig. 39.4. Pathophysiology of heparin-induced thrombocytopenia<sup>82</sup>. Platelets activated by PF4/heparin–HIT–antibody complexes trigger a cascade of events that eventually lead to activation of the coagulation pathways, resulting in thrombin generation. The released PF4 also binds to endothelial cell heparan sulfate, forming antigen complexes to which HIT-antibodies can bind.

upregulate tissue factor expression. It is very likely that platelet microparticles and anti-PF4 heparin antibodies, known to be able to activate monocytes, also cause expression of tissue factor on monocytes<sup>147</sup>.

Genetic polymorphisms of clotting factors causing enhanced thrombin generation such as Factor V Leiden<sup>148</sup> or prothrombin G20210A<sup>149</sup> or MTHFR C677T<sup>149</sup> do not seem to be risk factors for the development of clinical HIT.

### Frequency of HIT

It is important to distinguish patients with HIT-antibodies only from patients with clinical HIT: studies screening symptomatic as well as asymptomatic patients<sup>150–159</sup> showed that most patients who form HIT antibodies do not develop clinical HIT. Clinical HIT however can occur in up to 3% of patients treated with unfractionated heparin<sup>150</sup>. Different patient populations can have greatly differing incidences of clinically inapparent HIT antibodies as well



as clinically manifest HIT. Fig. 39.3 shows the cardio-surgical patients treated with UFH to be at highest risk of developing HIT-antibodies but associated thromboembolic complications are more frequent in patients undergoing orthopedic hip replacement surgery. Despite the fact that LMWHs cause HIT less often compared to UFH<sup>150–160</sup>, this is only true within the same patient population: medical patients on UFH have a lower risk of developing HIT compared to orthopedic patients on LMWH.

## Diagnosis of HIT

### Clinical diagnosis

In HIT platelet counts usually drop by >50% or below 100 000 Gpt/l after day 5 of heparin treatment. In case of re-exposure to heparin this drop can occur earlier<sup>161,162</sup>. Thrombocytopenia of <20000 Gpt/l occurs in less than 10% of HIT-patients. Typical TECs associated with HIT are deep venous thrombosis, pulmonary embolism<sup>163</sup>, venous limb gangrene (caused by temporary acquired protein C deficiency during initiation of coumarin anticoagulation)<sup>143</sup>, cerebral vein thrombosis/adrenal hemorrhagic necrosis<sup>164–166</sup>, arterial thrombosis,<sup>119</sup> heparin-induced skin lesions<sup>167,168</sup> and acute systemic reactions<sup>169–171</sup>.

### Differential diagnosis

Differential diagnoses include non-immune heparin associated thrombocytopenia, autoimmune thrombocytopenia, drug-dependent thrombocytopenias (see above), consumptive thrombohemorrhagic disorders like disseminated intravascular coagulopathy, post-transfusion purpura<sup>172</sup>, and a variety of diseases accompanied by a substantial decrease of platelet counts<sup>173</sup>. In patients with adenocarcinoma, DIC can be triggered secondary to pro-coagulant material produced by neoplastic cells. This is typically enhanced when oral anticoagulation is started, most likely due to the induced protein C deficiency<sup>168,173</sup>. In severe pulmonary embolism, platelet activation by clot bound thrombin can result in a substantial decrease of platelet counts. Both syndromes can easily be misdiagnosed as HIT, because thrombocytopenia and the new thrombotic complication may occur after several days of heparin treatment. Although drug-dependent thrombocytopenia and post-transfusion purpura<sup>172</sup> occur with a time delay typical for HIT, they are characterized by very low platelet counts and are often associated with bleeding complications which would be atypical for HIT. Also, in clinical situations with acute platelet activation, the platelet count decrease occurs early and not with the time delay of 5–9 days as in HIT, i.e. in diabetic ketoacidosis, causing hyperaggregable platelets; in thrombolytic therapy poten-

tially due to thrombin bound to fibrin split products; in infective endocarditis, by infection-related platelet activation sometimes accompanied by septic emboli; and in paroxysmal nocturnal hemoglobinuria, by platelet hypoproduction and complement mediated platelet destruction. Differentiation of antiphospholipid syndrome from HIT is sometimes difficult<sup>174</sup>.

### Laboratory testing

In vitro demonstration of HIT-antibodies by functional (or activation) and immunological assays<sup>175</sup> can help securing the clinical suspicion of HIT.

Activation assays are usually performed with washed donor platelets, incubated with heparin and patient serum. Whereas the serotonin release assay (SRA) is regarded the gold standard, it involves the need for radio-labels<sup>176</sup>. The heparin-induced platelet activation assay – while still technically demanding – is better suited for routine testing<sup>177,178</sup>. Other endpoints as luminography<sup>179</sup>, platelet derived microparticle generation<sup>180</sup>, or annexin V expression<sup>181</sup> may also be used. In the hands of the authors, results between these assays do not differ largely as long as washed platelets are used. However, sensitivity is reduced, if performed with platelet-rich plasma<sup>182–185</sup>.

Antigen assays use surface-bound target antigen (PF4/heparin- or PF4/polyvinylsulfate-complexes, respectively) to detect the antibodies<sup>91,96,186</sup>. The fluid phase EIA for HIT antibodies<sup>187</sup> is a modification of the protein A antibody capture method<sup>188</sup>. The latter assay has several technical advantages such as lower background by removal of non-HIT IgG and less interaction of the microtitre plate surface with the antigen structure, but it only allows detection of antibodies of the IgG class. An assay using the microcolumn technique known from red blood cell serology for detection of HIT antibodies<sup>189</sup> is currently under evaluation.

Regardless which assay is used, it is important to incorporate clinical information in the interpretation of test results and to combine a sensitive functional assay and an antigen assay. Whereas functional and antigen assays have similar sensitivities for clinical HIT, antigen assays may have greater sensitivity for HIT antibody seroconversion. Thus the functional assays' positive predictive value for clinical HIT could be greater<sup>153</sup>. False negative results are estimated to be less than 5% if both test systems are applied.

### Therapy

Immediate cessation of heparin is necessary when HIT develops. However, thrombin generation continues<sup>190</sup>. Up to 50% of patients with HIT who do not have a new

HIT-associated thrombosis at the time HIT is clinically suspected on the basis of isolated thrombocytopenia will develop a new thrombotic complication during the next weeks<sup>163,191–193</sup>. Therefore, these patients should be treated with an alternative anticoagulant until recovery of platelet counts<sup>194,195</sup>.

Prospective studies with lepirudin revealed that patients were at the highest risk of experiencing new thromboembolic complications in the acute phase of HIT despite cessation of heparin<sup>192,193</sup>. Thus, in cases of strong clinical suspicion of HIT, patients should immediately be treated with an alternative anticoagulant. Awaiting laboratory confirmation of HIT before alternative anticoagulation is started would cause a significant delay in most cases, enhancing the risk for the patient substantially.

There is clinical experience as well as approval by the authorities in several countries for the treatment of HIT with the direct thrombin inhibitors hirudin and argatroban as well as the heparinoid danaparoid.

## Hirudin

### *Pharmacology*

Unlike heparin, hirudin inhibits not only free but also clot-bound thrombin<sup>196–199</sup>.

Terminal plasma elimination half-lives ( $t_{1/2\beta}$ ) of 0.8–1.7 hours after intravenous (i.v.) injection of bolus lepirudin doses of 0.01–0.5 mg/kg, and 1.1–2.0 hours for continuous i.v. infusions over 6 hours are observed<sup>200</sup>. With subcutaneous (s.c.) administration, bioavailability is nearly 100%. After s.c. injection of 0.75 mg/kg, a peak lepirudin concentration of approximately 0.7 µg/ml occurs in 3 to 4 hours<sup>201</sup> (dosing schedules Table 39.6).

Renal clearance accounts for approximately 90% of the systemic clearance. The  $t_{1/2\beta}$  of lepirudin is lengthened with deterioration of renal function<sup>204–208</sup>; in nephrectomized humans it is prolonged to up to 200 hours<sup>209</sup>.

For monitoring, the aPTT<sup>210,211</sup> shows considerable variability between patients<sup>212–215</sup> and at higher hirudin plasma levels (aPTT values >70 seconds), the concentration-aPTT curve flattens and the correlation becomes poor<sup>215–218</sup>. A new tool for monitoring hirudin treatment is the ecarin clotting time (ECT)<sup>219–222</sup> especially in patients requiring a high hirudin dose, for example during cardiopulmonary bypass<sup>223</sup>. There is no antidote available for hirudin.

### *Clinical studies with hirudin in HIT*

After a small pilot study<sup>224</sup> and several case reports showed the feasibility of lepirudin treatment in HIT patients<sup>225–231</sup>, two prospective, multicentre, historically controlled trials, HAT-1 ( $n=82$ )<sup>192</sup> and HAT-2 ( $n=112$ )<sup>193</sup> were conducted in

patients with laboratory confirmation of the clinical diagnosis of HIT.

Both studies had similar mortality rates (7.3% and 9.8%); however, limb amputations (3.7% and 8.9%) and new thromboembolic complications (9.8% and 17.9%) differed between the studies. All fatalities were judged to be due to the underlying disease, rather than use of lepirudin.

The combined endpoint (new TECs, limb amputation, death) at day 35 was 52.1% in a historical control ( $n=120$ ) and 25.4% in the HAT-1- ( $P=0.014$ ; adjusted risk ratio 0.508; 95% CI, 0.290–0.892) and 31.9% in the HAT-2 study ( $P=0.15$ ; adjusted risk ratio 0.709; 95% CI, 0.44–1.14).

In both studies there were more bleeding events in the lepirudin-treated group compared to controls (39.1% [HAT-1], 44.6% [HAT-2] vs. 27.2%), but not more bleedings requiring transfusion (9.9% [HAT-1], 12.9% [HAT-2] vs. 9.1%).

In a recent meta-analysis of both studies, patients with HIT and ongoing thrombosis treated with lepirudin showed a clear reduction of the combined endpoint compared to the historical control group ( $P=0.004$ )<sup>144</sup>. Low aPTT ratios (1.0 to 1.5) were clearly subtherapeutic, with minimal effects on both clinical events and bleeding. In contrast, medium aPTT ratios (1.5 to 2.5) were associated with a pronounced reduction in clinical events (RR=0.42) and a moderately increased risk of bleeding (RR=3.21). Higher aPTT ratios (>2.5) did not further reduce clinical events, but doubled the risk ratio of bleeding (RR=6.03). Thus, an aPTT ratio of 1.5 to 2.5 is the recommended target range<sup>144</sup>.

Whereas a change in the prothrombin time at the start of treatment with lepirudin is of minor clinical relevance, no evidence was found indicating that cessation of lepirudin causes a change of the INRs<sup>144</sup>.

### *Induction of antibodies*

As a non-human protein, recombinant hirudin has immunogenic properties that can cause antihirudin antibody formation<sup>232–235</sup>. Of 198 HIT patients treated for  $\geq 5$  days with lepirudin, 45% developed antihirudin antibodies of the immunoglobulin G (IgG) class<sup>232</sup>. In 2–3% of patients with antihirudin antibodies, the hirudin dose had to be decreased by more than 60% to maintain the aPTT within the target range<sup>232</sup>. This enhanced anticoagulant effect may be caused by decreased renal elimination of the hirudin–antihirudin complexes. In a few patients, development of antihirudin antibodies was paralleled by a decrease of the aPTT.

Table 39.6. Dosing schedules tested in hirudin studies (data were generated with lepirudin unless otherwise indicated)<sup>195</sup>

	HIT and thrombosis <sup>b(c)</sup>	HIT with thrombosis and concomitant thrombolysis(c)	HIT with isolated thrombocytopenia (c)	Thrombosis prophylaxis in patients with a history of HIT <sup>d(e,a)</sup>	Renal dialysis alternate days (d)	Renal dialysis or CVVH in intensive care unit patients (e)	Cardiopulmonary bypass surgery (e)	Unstable angina (a)	PTCA (b)	Acute MI (a)
Bolus <sup>c</sup>	0.4 mg/kg b.w. i.v.	0.2 mg/kg b.w. i.v.			0.08–0.1 mg/kg b.w. i.v. predialysis		0.25 mg/kg b.w. i.v.; 0.2 mg/kg b.w. in the priming fluid of the HLM	0.4 mg/kg b.w. i.v.	0.3 mg/kg i.v. or 0.5 mg/kg i.v.	0.2 mg/kg i.v. or 0.1 mg/kg i.v.
i.v. infusion <sup>c</sup>	0.15 mg/kg b.w./h	0.1 mg/kg b.w./h	0.1 mg/kg b.w./h	0.1 mg/kg b.w./h		0.005 mg/kg b.w./h	0.5 mg/min <sup>e</sup>	0.15 mg/kg b.w./h	0.12 mg/kg/h for 24 h or 0.24 mg/kg/h for 24 h; followed by 0.04 mg/kg/h for 24 h	0.5 mg/kg b.w. bid s.c. or 0.1 mg/kg b.w./h
Target aPTT ratio <sup>a</sup>	1.5–2.5	1.5–2.5	1.5–2.0	1.5–2.0	2.0–3.5	1.5–2.5	Monitored by ECT: >2.5 µg/ml before start of HLM; 3.5–4.5 µg/ml during CPB	aPTT 60–100 s		1.5–2.0

*Notes:*

Due to lack of systematic data, hirudin cannot be recommended during pregnancy and lactation or in children as long as other treatment options are available.

During concomitant treatment with aspirin, GPIIb/IIIa inhibitors, fibrinolysis, or in patients with platelet counts <100 000/µl, the bleeding risk is increased and dosage may have to be reduced.

In patients with renal impairment, dosage must be reduced to avoid overdosage.

Abbreviations: aPTT = activated partial thromboplastin time; HIT = heparin-induced thrombocytopenia; TEC = thromboembolic complication; i.v. = intravenous; s.c. = subcutaneous; b.w. = body weight; CVVH = chronic-veno-venous hemofiltration; ECT = ecarin clotting time; HLM = heart–lung machine; CPB = cardiopulmonary bypass; bid = two times daily.

<sup>a</sup> If Actin FS or Neothromtin reagents are used in Europe, different ranges may apply (e.g., 1.5–3.0).

<sup>b</sup> Approved indication in the EU and the USA reduce bolus by 50% in elderly patients (compensated renal insufficiency).

<sup>c</sup> Maximum body weight for calculation of dosage: 110 kg.

<sup>d</sup> Desirudin has been shown to be effective in a dosage of 15 mg s.c. bid following orthopedic hip replacement surgery (d).<sup>202,203</sup>

<sup>e</sup> Stop 15 min before end of CPB. Put 5 mg lepirudin into CPB-machine after disconnection to avoid clotting.

Levels of evidence for the recommendations:

- (a) prospective randomized double-blind trials.
- (b) prospective randomized open trial.
- (c) uncontrolled (due to ethical reasons), prospective multicentre trials.
- (d) crossover study, prospective dose escalation trial.
- (e) case observations.

## Argatroban

### *Pharmacology*

Argatroban is a small (anhydrous, MW 508.7) synthetic direct thrombin inhibitor that specifically and reversibly binds to the catalytic site region of thrombin<sup>236</sup>.

In healthy volunteers the pharmacodynamic steady-state was reached after 161–268 minutes in doses from 1.25 to 10 µg/kg/min without bolus and 150–268 minutes with a bolus of 250 µg/kg.

The main route of elimination is hepatic metabolism, the pharmacodynamic half-life is 36 minutes and severe renal impairment does not affect the elimination of argatroban (at a dose of 5.0 µg/kg/min for 4 hours). This is a major advantage in HIT patients with renal impairment in whom quickly adjustable anticoagulation is required.

Initial dosing in adults without hepatic impairment is 2 µg/kg/min as a continuous intravenous infusion. Steady state levels are typically reached after about 2.5 hours. Monitoring using the aPTT should be started at that time aiming at 1.5–3.0 times baseline values, not to exceed 100 seconds. Adjusted doses should be lower than 10 µg/kg/minute.

There are combined effects of oral anticoagulants and argatroban on the INR, causing artificially increased INR values. Therefore, INR values should be monitored daily. No loading dose of the oral anticoagulant should be given, instead therapy should be started with the expected daily dose. With doses of argatroban of up to 2 µg/kg/min, argatroban can be discontinued once the INR is >4. INR should then be checked every 4–6 hours. If a repeat INR is below the therapeutic range, the argatroban infusion should be resumed and the procedure repeated daily until the therapeutic INR level is reached on oral anticoagulation alone. With oral anticoagulation and argatroban doses >2 µg/kg/min, dosing should be temporarily reduced to 2 µg/kg/min and the INR checked 4–6 hours later.

### *Clinical studies with argatroban in HIT*

Argatroban has been assessed in a prospective trial in patients with HIT with a similar design as the lepirudin studies. A major difference to the lepirudin studies was that patients with a clinical suspicion of HIT only were also included. In brief argatroban was associated with a lower composite event rate (death, limb amputation, new thrombosis) compared to historic control subjects (patients with isolated HIT 25.6 vs. 38.8%,  $P=0.014$  and patients with HIT and thrombosis 43.8 vs. 56.5%,  $P<0.130$ )<sup>237</sup>. Significant between-group differences by time-to-event analysis of the composite end point favoured argatroban treatment in HIT ( $P=0.010$ ) and HIT and thrombosis ( $P=0.014$ ).

## Danaparoid

### *Pharmacology*

The heparinoid danaparoid-sodium<sup>238–240</sup> consists of 84% heparan sulfate, 12% dermatan sulfate and 4% chondroitin sulfate<sup>241</sup>.

Danaparoid mainly exhibits anti-Xa activity, with a half-life of approx. 24 hours. Its antithrombin activity has a half-life of about 2–4 hours. Danaparoid is mainly eliminated renally<sup>242</sup>. In patients with renal failure a dose reduction of about 30% is required to maintain aFXa levels within the therapeutic range<sup>115</sup>.

Danaparoid does not significantly prolong aPTT, activated clotting time or INR, thus anti-FXa-activity has to be used for monitoring. It is important to use a danaparoid-calibration curve<sup>243</sup>.

Bioavailability is nearly 100% after s.c. injection and plasma levels are usually predictable. Monitoring is recommended in substantial renal impairment, unusually high or low body weight, life- or limb-threatening thrombosis, unexpected bleeding and critically ill or unstable patients<sup>115</sup>.

### *Clinical studies with danaparoid in HIT*

Danaparoid has been assessed in a compassionate use programme and one prospective, randomized clinical trial. In the named patient programme, 667 HIT patients with 708 treatment episodes were included<sup>239</sup> (for doses see Table 39.7). The treating physicians judged treatment to be successful in 93% because of platelet count recovery or clinical improvement of patients. In 1.7% of patients, new thromboembolic complications occurred. Of 114 (17.1%) deaths, 14 (12.2%) were thought to be related to the danaparoid treatment.

In a prospective, randomized controlled study comparing danaparoid plus warfarin with dextran 70 plus warfarin, 42 HIT patients with acute thrombosis were stratified depending on the severity of their thrombosis<sup>240</sup>. The treatment consisted of a danaparoid 2400 IU bolus, followed by 400 IU/h for 2 hours, then 300 IU/h for 2 hours and then 200 IU/h for 5 days. In patients with 'mild' thrombosis improvement was seen in a slightly higher proportion of the danaparoid-treated patients (92% vs. 71%, non-significant). A significant difference in favor of the danaparoid-treated patients was seen in the group with 'severe' thrombosis: 85% vs. 30%,  $P=0.03$ .

### *Cross-reactivity with HIT antibodies*

Danaparoid cross-reacts with HIT-antibodies in vitro at a rate of 7–50%, depending on the sensitivity of the assay used<sup>115,187</sup>. Despite anecdotal reports of unfavourable out-

comes<sup>244</sup>, two studies found no difference in clinical outcomes comparing HIT patients treated with danaparoid with or without in vitro cross-reactivity<sup>187,245</sup>. It therefore seems reasonable to limit testing for in vitro cross-reactions to patients who develop new, progressive or recurrent thrombocytopenia or thrombosis during treatment with danaparoid<sup>115</sup>. Should a positive result occur in such a patient, anticoagulation should be switched to a different drug<sup>246</sup>.

Clinical experiences with treatment of HIT in special circumstances

#### *Dialysis*

The feasibility of hirudin for hemodialysis has been shown in small patient groups<sup>205–208,247,248</sup> (Table 39.7). In critically ill patients, a reduced dosage is often sufficient to enable hemodialysis or hemofiltration (continuous i.v. infusion of 0.005 mg/kg/h immediately before the filter, adjusted to ECT or to aPTT)<sup>249</sup>.

Argatroban has been used successfully in hemodialysis<sup>250</sup>, but systematic data are lacking at present.

Danaparoid has also been successfully used in intermittent as well as in continuous hemodialysis<sup>115,251,252</sup> (Table 39.7).

#### *Extracorporeal circulation*

In patients undergoing cardiac surgery with lepirudin<sup>223,253,254,255</sup>, close bedside monitoring using the ECT is essential<sup>217,218,223,255–258</sup>.

A pre-CPB lepirudin bolus of 0.25 mg/kg should be given and 0.2 mg/kg added to the priming solution. Plasma levels at the start of CPB should be  $>2.5$   $\mu\text{g/ml}$  (if lower, an additional 10 mg bolus should be given). During CPB, 0.5 mg/min should be infused continuously, and adjusted using ECT measurements every 15 min (target range 3.5–4.5  $\mu\text{g/ml}$ ). The infusion should be stopped 15 min before the anticipated end of CPB. Patients with impaired renal function usually require hemofiltration to reduce lepirudin plasma levels, but are still at high risk of developing bleeding complications<sup>223</sup>.

Experiences with danaparoid and CPB in 47 patients<sup>259</sup> were judged successful in 95.7% at the time of postoperative wound closure. Because of clotting as well as bleeding problems in this and in other studies using a fixed danaparoid dose regimen, Pötzsch and Madlener<sup>223</sup> developed an algorithm using dose adjustments according to aFXa-measurements intraoperatively: 3000 aFXaU should be added to the priming solution with a bolus of 100 aFXaU/kg given to the patient 15–20 min before start of CPB. aFXa target level is  $>1.5$  U/ml. During CPB a

danaparoid infusion of 200 U/h should be started with a target range of 1.2–1.8 U/ml. aFXa activity monitoring every 15 min is mandatory. Thirty minutes before anticipated end of CPB, the infusion should be stopped.

In patients with a history of HIT and no circulating HIT-antibodies, short-term re-exposure with heparin is probably a safer treatment alternative (see below)<sup>260,261</sup>.

#### *Children*

Schiffmann et al.<sup>225</sup> described a 12-year-old patient with HIT and multiple TECs. Using a bolus of 0.2 mg/kg lepirudin followed by a continuous infusion of 0.1–0.7 mg/kg/h, the aPTT was prolonged to 45–85 s. Planned surgical interventions were possible after discontinuing lepirudin for 4 hours.

Danaparoid has been used in children successfully<sup>262</sup>. For thrombosis prophylaxis in children below 55 kg, the manufacturer<sup>263</sup> recommends subcutaneous injections of 10 aFXaU/kg bid, in acute thrombosis a bolus of 30 aFXaU/kg followed by 1.2–4.0 aFXaU/kg/h with a target range of 0.4–0.6 aFXaU/ml or 0.5–0.8 aFXaU/ml, respectively.

#### *Pregnancy*

In rabbits, the hirudin plasma concentration in the fetuses was 1/60 of the respective maternal concentration<sup>264</sup>. Embryotoxic effects were seen at high, but not at low doses in rabbits (30 mg/kg/day and 1 and 10 mg/kg/day, respectively)<sup>200</sup>.

Subcutaneous r-hirudin (15 mg twice daily) was used successfully from week 25 in a pregnant woman with HIT and cross-reactivity to danaparoid<sup>265</sup>. Lindhoff-Last et al.<sup>266</sup> detected no hirudin in the breast milk of a woman treated with lepirudin 50 mg s.c. bid.

Danaparoid has been used successfully in therapeutic and prophylactic doses in pregnancy<sup>115</sup>. Studying aFXa activities in maternal and placental blood, no evidence was found for danaparoid crossing the placenta<sup>267</sup>.

#### *Adjunctive treatments*

Adjunctive treatments in HIT have not been assessed systematically. They may be considered as additional treatment options in individual patients<sup>195</sup>.

Regional or systemic thrombolysis should be considered in patients with limb-threatening thrombosis or pulmonary embolism with severe cardiovascular compromise; surgical thrombectomy for limb-threatening large-vessel arterial thromboembolism is not contraindicated, even in thrombocytopenic patients. Intravenous gammaglobulin can be used in selected patients to block Fc-receptor dependent platelet activating effects of HIT antibodies.

**Table 39.7.** Danaparoid dosing schedules<sup>115</sup>

Clinical indication	Danaparoid dosing schedule
Venous thromboembolism: prophylaxis	750 U s.c. bid or tid
Venous thromboembolism: treatment	2250 U i.v. bolus <sup>a</sup> , followed by 400 U/h for 4 h, 300 U/h for 4 h, then 150–200 U/h for $\geq 5$ days, aiming at a plasma anti-Xa level of 0.5–0.8 U/ml <i>Subcutaneous administration schedule:</i> 1500–2250 U s.c. bid (bioavailability is almost 100% when given by s.c. injections; thus, 2250 U s.c. bid is approximately equal to an i.v. infusion rate of 200 U/h (4500 U/24 h vs. 4800 U/24 h, respectively))
Arterial thromboembolism: treatment	See venous thromboembolism treatment schedule
Embolectomy or other peripheral vascular surgery	<i>Preoperative:</i> 2250 U i.v. bolus <sup>a</sup> ; <i>intraoperative flushes:</i> 750 U in 250 ml saline, using up to 50 ml; <i>postoperative:</i> 750 U s.c. tid (low-risk) or 150–200 U/h (high-risk) beginning at least 6 h after surgery
Hemodialysis (on alternate days)	3750 U i.v. before 1st and 2nd dialyses; 3000 U for 3rd dialysis; then 2250 U for subsequent dialyses, aiming at plasma anti-Xa level of $<0.3$ U/ml before each dialysis and 0.5–0.8 U/ml during dialysis
Hemofiltration	2250 U i.v. bolus, followed by 600 U/h for 4h, then 400 U/h for 4 h, then 200–400 U/h aiming at a plasma anti-Xa level of 0.5–1.0 U/ml
Cardiopulmonary bypass surgery (CPB)	125 U/kg i.v. bolus after thoracotomy 3 U/ml in priming fluid of CPB apparatus 7 U/kg/h i.v. infusion commencing after bypass hookup, and continued until 45 min prior to expectation of stopping bypass
Cardiac catheterization	<i>Preprocedure:</i> 2250 U i.v. bolus (3000 U if 75–90 kg and 3750 U if $>90$ kg)
Percutaneous transluminal coronary angioplasty (PTCA) or intra-aortic balloon pump	<i>Preprocedure:</i> bolus as per above row; <i>post-procedure:</i> 150–200 U/hr for 1–2 days after PTCA (or until removal of balloon pump)
Catheter patency	750 U in 50 ml saline, then 5–10 ml per port, or as required
Pediatric dosage considerations:	<i>Prophylaxis:</i> 10 U/kg s.c. bid <i>Treatment:</i> 30 U/kg b.w., then 1.2–2.0 U/kg b.w./h depending upon severity of thrombosis

**Notes:**

<sup>a</sup> Adjust i.v. danaparoid bolus for body weight:  $<60$  kg, 1500 U; 60–75 kg, 2250 U; 75–90 kg, 3000 U;  $>90$  kg, 3750 U.

Plasmapheresis is only recommended when acquired deficiency of one or more natural anticoagulant proteins is suspected. Antiplatelet agents such as aspirin might be beneficial in patients with HIT and an increased risk for arterial thromboembolism, enhancing bleeding risk as well. Platelet glycoprotein IIb/IIIa inhibitors should be considered as experimental in this clinical setting.

#### Re-exposure of patients with a history of HIT to heparin

Even though not all patients with a history of HIT show an anamnestic response when reexposed to heparin<sup>161</sup>, both UFH and LMWH should be avoided in patients with a history of HIT. Short-term re-exposure may be considered in special clinical settings (such as need for cardiopulmonary bypass) after a careful risk/benefit analysis.

Warkentin and Kelton<sup>161</sup>, in a study including 260

patients, analyzed the timing of onset of HIT in relation to a recent ( $\leq 100$  days) or remote ( $>100$  days) prior heparin use. Two patterns were observed: 77 patients developed immediate onset of HIT with a platelet count fall within 36 h after starting heparin. All 77 patients had received heparin within the past 100 days. In a similar study we also identified heparin re-exposure within 3 months as a risk factor for early onset of HIT<sup>162</sup>.

This temporal pattern may offer treatment options in patients with a history of HIT who are in need of surgery necessitating CPB. Provided no circulating HIT-antibodies are detectable, these patients can receive heparin during CPB if heparin exposure is strictly avoided pre- and post-operatively. With this strategy, complications using anticoagulants without an antidote during CPB, i.e. hirudin, argatroban or danaparoid, can be avoided<sup>223,261,268</sup>.

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# Thrombotic thrombocytopenic purpura and hemolytic uremic syndrome

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## Introduction

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Thrombotic thrombocytopenic purpura (TTP) is a disseminated form of thrombotic microangiopathy that was initially described by Moschowitz in 1924 as a new disease<sup>1</sup>. He reported an abrupt onset of petechiae, anemia and microscopic hematuria in a 16-year-old girl, who presented with fever and malaise and died 13 days later. He observed widespread hyaline microthrombi in the terminal arterioles and capillaries and interpreted them as agglutinated and hyalinized erythrocytes caused by 'a powerful poison which had both agglutinative and hemolytic properties'<sup>2</sup>. A congenital form of TTP was reported<sup>3</sup> by Schulman et al., who suggested that the pathogenesis was due to deficiency of a platelet-stimulating factor in the patient's plasma. A similar congenital deficiency of a plasma factor, important in platelet and red-cell survival, was later described by Schulman in another patient with chronic relapsing TTP<sup>4</sup>. Familial predisposition to TTP was implicated from observations of siblings suffering from recurrent TTP<sup>5,6</sup>. The congenital form of TTP has been occasionally described in the literature as Upshaw-Schulman syndrome.

TTP is characterized by the pentad of severe thrombocytopenia, microangiopathic hemolysis with erythrocyte fragmentation, neurologic deficit, renal dysfunction, and fever<sup>7,8</sup>. A TTP-like disorder, the hemolytic uremic syndrome (HUS), usually occurs in children, and is characterized by the triad of thrombocytopenia, microangiopathic hemolytic anemia and renal dysfunction<sup>9</sup>. The diagnosis of TTP is usually made in adult patients with neurologic dysfunction, whereas children with predominant glomerular damage are preferably diagnosed as having HUS. However, only about 40% of patients with acute TTP episodes have

manifested the complete classic pentad<sup>10</sup>. All patients with HUS had an impairment of the kidneys, but renal abnormalities were also observed in 44% of patients with TTP; on the other hand, neurologic dysfunction was reported in 78% of patients with TTP, but also in one-third of patients with HUS<sup>10</sup>. Therefore, distinguishing between TTP and HUS clinically is difficult and somewhat arbitrary. TTP and HUS are often regarded as variants of one syndrome denoted as TTP/HUS. Since siblings of patients with TTP have been diagnosed to have HUS<sup>11,12</sup> and since acute episodes of TTP and HUS have been reported to occur sequentially even in the same patient, it has been suggested that the two disorders may be different manifestations of the same pathophysiologic process<sup>13,14</sup>.

## Epidemiology of thrombotic thrombocytopenic purpura and hemolytic uremic syndrome

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TTP is believed to occur in 2–10 cases per million per year. In a study covering the 4523 TTP-associated deaths between 1968 and 1991 in the United States<sup>15</sup>, the incidence of TTP was estimated to be approximately 3.7 cases per million residents, and the trend in mortality suggested that the incidence is increasing. Deaths were rare below the age of 20 years, and the mortality rate for patients older than 20 years increased steadily with increasing age. Numerous epidemic outbreaks of HUS in children have been reported<sup>16</sup>, especially during the warmer months. The average annual incidence in the United States is about 1–2 per million, but it may be several times greater in some other countries, e.g. Scotland<sup>17</sup> or Argentina<sup>18</sup>.



## Pathogenesis of thrombotic thrombocytopenic purpura

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The hypotheses concerning the etiology of TTP are controversial and suggest different pathogenetic mechanisms being responsible for development of the disorder<sup>7,8</sup>. Microvascular endothelial injury is generally considered as the primary event. Most commonly, patients with TTP have no discernible underlying disease or pathologic condition. However, in some patients TTP has been associated with the presence in plasma of platelet aggregating agents, viral or bacterial infection, bone marrow transplantation, cancer and cancer chemotherapy, use of the antiplatelet agent ticlopidine, alcohol abuse, pregnancy, and autoimmune disorders. Oxidative injury, free radical formation, reduced production of prostacyclin (PGI<sub>2</sub>), impaired fibrinolysis and abnormal processing of von Willebrand factor (vWF) multimers have been proposed to be pathogenetically relevant for the development of TTP.

## Platelet aggregating agents in thrombotic thrombocytopenic purpura

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Several groups have attempted to identify in plasma samples from patients with TTP, a platelet aggregating factor responsible for formation of disseminated microvascular platelet thrombi. A 37 kD protein that caused aggregation of normal platelets was isolated from plasma of patients with acute TTP<sup>19</sup>.

A calcium-dependent cysteine protease, capable of inducing platelet aggregation, was established in the sera of 15 patients with TTP during the acute phase of the disease but not in remission<sup>20</sup>. Moore et al.<sup>21</sup> have shown that the calpain from human platelets degraded vWF subunits to 205 kD and 85 kD fragments, with complete loss of large vWF multimers; calpain-degraded vWF was found to bind to platelet glycoproteins IIb/IIIa on activated platelets and to cause their aggregation. Active calpain in plasma of patients with TTP was associated with platelet microparticles protecting it from plasma inhibitors<sup>22</sup> such as  $\alpha_2$ -macroglobulin and high molecular weight kininogen. While purified calpain is readily inhibited by plasma from normal subjects, there was no inhibition of microparticle-bound calpain from TTP patients by normal plasma<sup>22</sup>. It appears that microparticles released from thrombin-activated normal platelets, displaying procoagulant phospholipids for the process of blood coagulation, are different from those found in patients with TTP, since there was no calpain activity present in the serum of control subjects, in contrast to serum from TTP patients. This lack of

calpain containing microparticles in the serum of normal individuals cannot be explained by their consumption in the fibrin clot, because the same levels of calpain activity were found in plasma and in serum of patients with TTP<sup>22</sup>.

## Unusually large von Willebrand factor in thrombotic thrombocytopenic purpura

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Unusually large vWF multimers have been observed in plasma from patients with chronic relapsing forms of TTP<sup>23,24</sup> and HUS<sup>25</sup>. vWF is a multimeric plasma glycoprotein synthesized in endothelial cells and megakaryocytes. vWF mediates the initial platelet adhesion to the subendothelium of the damaged vessel wall at high shear rates. Only the highly polymeric forms of vWF are hemostatically active. While the circulating vWF in normal plasma shows only weak binding affinity for platelets, these interactions can be amplified by non-physiologic modulators, such as ristocetin and botrocetin. Electron microscopic studies<sup>26</sup> showed that about 90% of vWF in a resting solution is present in the form of linear polymers coiled upon itself in a 'ball of yarn' form. It is conceivable that the vWF undergoes a shear stress-induced conformational transition from a globular state to an extended chain conformation with exposure of intramolecular globular domains<sup>27</sup>. At sites of high fluid shear stress, encountered in arterioles and capillaries, the large vWF filaments apparently unfold and establish multiple interactions with platelets. Even though the affinity of the individual vWF subunits for platelet receptors is very low, multiple interactions of repeating binding sites on extended filaments of vWF with receptor glycoproteins Ib on the platelet surface may dramatically increase the stability of the bonds between platelet and vWF, and thus lead to platelet agglutination. Binding affinities of the large vWF multimers for platelets were shown to be up to ten times higher than those of the smaller molecular forms of vWF<sup>28</sup>.

From the storage organelles (Weibel-Palade bodies) of the activated endothelial cells, vWF is secreted in the form of extremely large vWF multimers<sup>29,30</sup>. The length of these unusually large polymeric filaments, if stretched, would surpass the diameter of an intact platelet. These very large vWF multimers, released from the endothelial cells, are even more effective than the largest vWF forms, circulating in normal plasma, in inducing platelet agglutination under conditions of high fluid shear<sup>31</sup>.

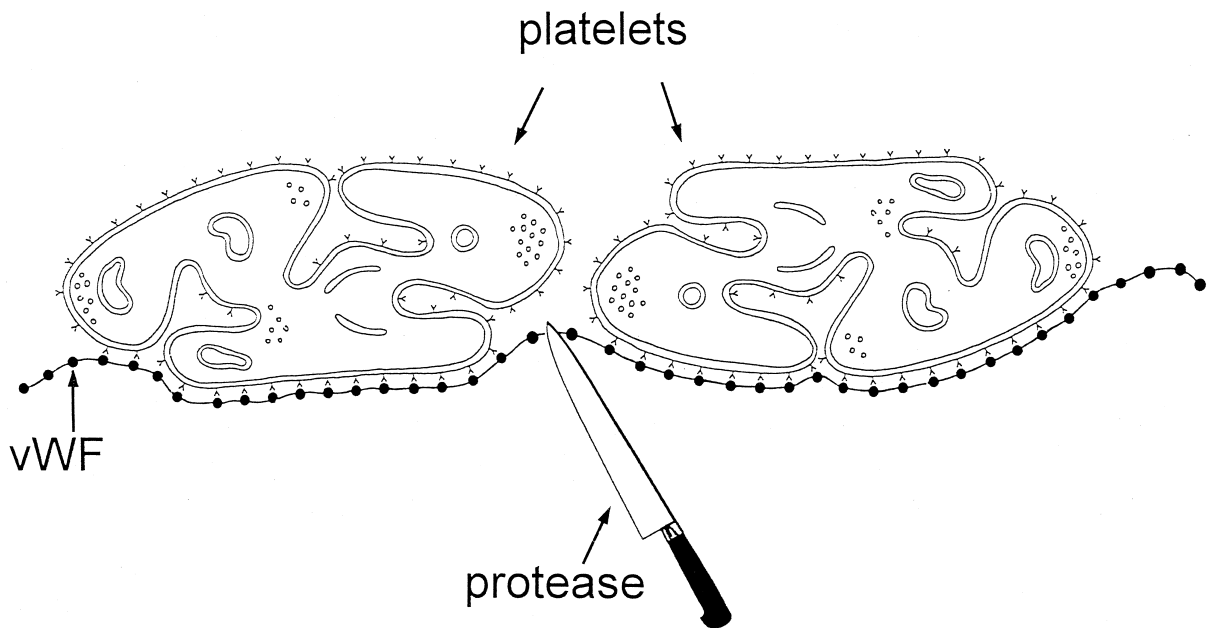


Fig. 40.1. Aggregation of platelets by unusually large multimers of vWF. In this model, the extended filament of an extremely large vWF multimer adheres to and joins a pair of circulating platelets. Multiple interactions of vWF with platelet glycoprotein Ib lead to an increased binding affinity that is required to resist high shear forces in the blood circulation. Cleavage of vWF multimers by a protease leads to smaller forms of vWF having an impaired ability to adhere firmly to the surface of two platelets.

### Deficiency of vWF-cleaving protease in thrombotic thrombocytopenic purpura

Multimeric vWF is slowly but continuously attacked by protease(s) in the circulation<sup>30,32–34</sup>. Proteolytic cleavage of the vWF subunit between residues tyrosine at position 842 and methionine at position 843 is responsible for the post-translational processing of vWF<sup>32</sup>.

Moake et al.<sup>23</sup> suggested that the presence of unusually large vWF multimers in plasma of patients with chronic relapsing forms of TTP may be due to an excessive release of vWF from endothelial cells and/or to an impaired degradation of the highly multimeric forms of vWF by a 'depolymerase'. A specific protease (Fig. 40.1), cleaving purified vWF *in vitro* to the same fragments as those produced *in vivo*, has been isolated from normal human plasma<sup>35,36</sup>. This protease is not inhibited by inhibitors of serine proteases, cysteine proteases, or matrix metalloproteases. It requires calcium ions (or barium ions) for full activity, but it is different from calpain. Normal human vWF appears to be resistant against proteolytic cleavage in a physiologic saline solution but becomes susceptible to degradation at low ionic strength or in the presence of 1.0–1.5 mol/l urea<sup>35</sup> or 1.1–1.2 mol/l guanidinium chloride<sup>36</sup>. Tsai et al.<sup>37</sup> also observed that the proteolytic degradation was enhanced

under conditions of high fluid shear stress. It is apparent that the hypotonic salt concentration, urea, guanidinium chloride or shear stress cause a conformational change in the vWF molecule resulting in exposure of the cleavage site 842 Tyr–843 Met.

Impaired degradation of vWF, that had been suspected in patients with TTP<sup>23</sup>, was first established in two brothers with chronic relapsing TT by Furlan et al.<sup>38</sup>, who confirmed the presence in plasma of unusually large vWF multimers. The latter were absent in plasma samples of their asymptomatic parents and sister. Both affected patients showed complete deficiency of vWF-cleaving protease activity, whereas their parents had about half normal protease activity, and the sister had normal activity<sup>38</sup>. No inhibition of the protease activity in normal plasma was found after incubation with an equal volume of patient plasma. The *in vivo* recovery of vWF-cleaving protease following plasma exchange was about 100% and its biologic half-life was 2–4 days<sup>39</sup>. This half-life is uniquely long for a proteolytic enzyme, since the majority of proteases are rapidly inactivated in plasma by circulating inhibitors; thus, the activated forms of most proteases have plasma half-lives measured in seconds to minutes. It appears that, in patients with recurring TTP and severe congenital deficiency of vWF-cleaving protease, plasma exchange or even

plasma infusion alone may be effective in suppressing the acute TTP episodes and in preventing TTP relapses<sup>39</sup>.

The lack of vWF-cleaving protease activity in another patient with recurrent severe episodes of TTP was shown to be due to an acquired inhibitor of the protease activity<sup>40</sup>. Treatment with plasma, corticosteroids and vincristine led to a transient disappearance of the autoantibody and appearance of the protease activity. Three months after remission from the initial TTP event, the protease inhibitor returned, vWF-cleaving protease activity disappeared, and the platelet count gradually decreased. Severe relapses of TTP occurred 7 and 11 months after the first acute TTP event. Treatment with vincristine, that had been recommended for plasma-resistant patients<sup>41–43</sup>, resulted in a transitory improvement of the platelet count even though the inhibitor did not vanish<sup>40</sup>, suggesting a direct effect of vincristine on platelets rather than an immunosuppressive mode of action. An increased titre of the autoantibody was noted following treatment with fresh frozen plasma (FFP)<sup>40</sup>. This observation suggests that plasma exchange and replacement with FFP alone may not be sufficient in patients with acquired TTP. Splenectomy, performed 1 year after the first TTP event, resulted in disappearance of the autoantibody and normalization of the protease activity and of the platelet count<sup>40</sup>. No clinical relapse occurred and no protease inhibitor was detected as of the last visit, more than 4 years following splenectomy. The therapeutic effect of splenectomy appears to be due to removal of the B cells responsible for production of autoantibodies inhibiting vWF-cleaving protease, and corroborates the empirically observed beneficial effect of splenectomy in patients with TTP<sup>44–46</sup>. It is conceivable that splenectomy should primarily be reserved for plasma-refractory patients showing an antibody against vWF-cleaving protease.

Association of TTP with constitutional as well as with acquired deficiency of vWF-cleaving protease was subsequently confirmed in a multicentre retrospective study on the prevalence of protease deficiency in patients with familial and acquired TTP/HUS<sup>47</sup>. Lacking or strongly decreased protease activity (<5% of normal plasma) was found in 26 of 30 examined patients during an acute TTP event (6 familial and 24 non-familial TTP cases) (Fig. 40.2) while the protease activity was higher than 50% of pooled normal plasma in 120 healthy subjects. An inhibitor of vWF-cleaving protease was established in 20 of 24 patients with non-familial TTP but in none with familial TTP. The protease inhibitor is an IgG autoantibody that usually disappears, at least temporarily, in remission. Normal protease activity was found in 21 of 23 patients with an acute episode of HUS (10 familial and 13 non-familial cases).

The difference in vWF-cleaving protease activity between TTP and HUS permits a differential diagnosis of these two similar disorders that are often difficult to distinguish clinically.

The acquired deficiency of vWF-cleaving protease in patients with TTP was independently confirmed by Tsai and Lian<sup>48</sup>, who found no activity in plasma samples of 37 patients during the acute episode. IgG antibodies with protease inhibitory activity were detected in two-thirds of samples collected during the acute event. No inhibitor was found in 16 plasma samples obtained during the remission of TTP, or in 74 plasma samples from normal subjects or patients with hemolysis, thrombocytopenia or thrombosis from other causes<sup>48</sup>. Complete absence or severe reduction of vWF-cleaving protease activity was described in seven patients with ticlopidine-associated TTP<sup>49</sup>. An inhibitor of the protease was found in 6 of these patients within 2 to 7 weeks after the initiation of ticlopidine therapy. The protease deficiency resolved after ticlopidine therapy had been discontinued and plasmapheresis instituted. vWF-cleaving protease was assayed in 2 of 11 patients with clopidogrel-associated TTP<sup>50</sup>; in both patients, vWF-cleaving protease was undetectable during the acute episodes of TTP, and IgG inhibitors of the protease were present.

In contrast to the above patients with autoimmune deficiency of vWF-cleaving protease, normal protease activities were found in patients with bone marrow transplantation (BMT)-associated TTP<sup>51</sup>. It appears that BMT-associated thrombotic microangiopathy should be classified as atypical HUS, in which the protease activity is usually in the normal range, rather than as TTP. It has been recently reported by Mammucci et al.<sup>52</sup> that the activity of vWF-cleaving protease may be depressed below the normal range (50–200%) in healthy newborns and in pregnant women during the third trimester, in patients with liver cirrhosis or inflammatory diseases. Therefore, only complete deficiency of vWF-cleaving protease should be regarded as a reliable marker for TTP.

## Assays of vWF-cleaving protease

### Immunoblotting of degraded vWF substrate following electrophoresis in SDS-agarose gel

Purified vWF, prepared from cryoprecipitate of normal human plasma by gel filtration on Sepharose CL-2B, is used as substrate for protease activity<sup>35</sup>. The protease in diluted test plasma is activated with barium ions. The reaction mixture is dialysed using a hydrophilic filter floating on the surface of the dialysis solution (1.5 mol/l urea,

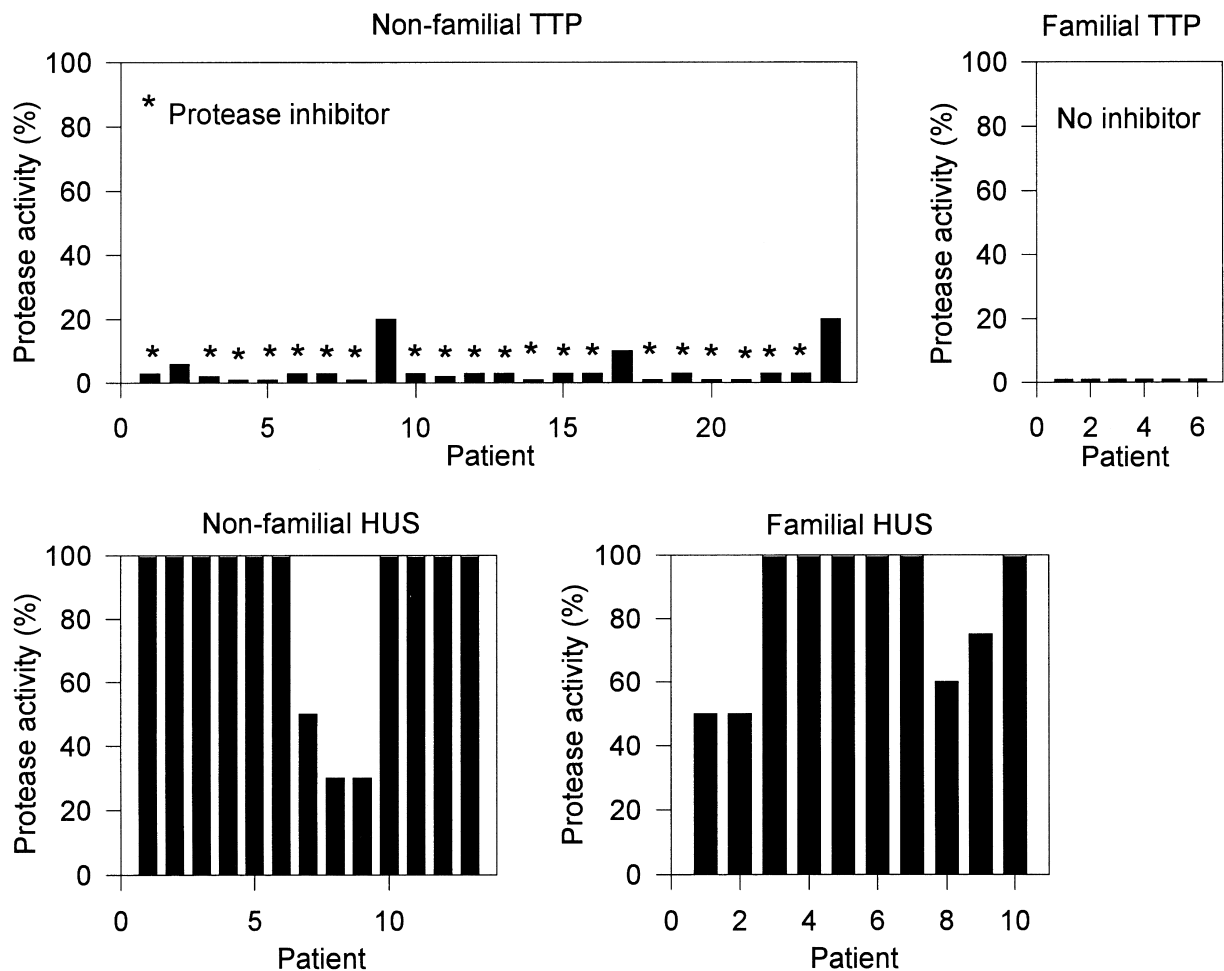


Fig. 40.2. Activity of vWF-cleaving protease in patients with TTP or HUS. In 20 of 24 patients with non-familial TTP, an inhibitor of vWF-cleaving protease was found, whereas no patient with familial TTP had an inhibitor. None of 13 patients with non-familial HUS or 10 patients with familial HUS had a severe deficiency (<5%) of vWF-cleaving protease which was found in 26 of 30 patients with TTP.

5 mmol/l Tris, pH 8.0) for 24 h at 37°C. The reaction is stopped by addition of EDTA, and the extent of vWF degradation is assessed by multimer analysis in SDS–1.4% agarose gel. After electrophoresis, the proteins are electroblotted to nitrocellulose and stained with peroxidase-conjugated rabbit antibodies against human vWF. The method is very sensitive in the range of low protease activity and can discriminate between 0% and 1% activity. On the other hand, its accuracy is only moderate in the sub-normal and normal range of protease activity. The inhibitor assay is performed by measuring the residual activity in the normal plasma after preincubation with the patient plasma<sup>40</sup>.

#### Analysis of protease-digested vWF substrate by SDS-polyacrylamide gel electrophoresis (PAGE)

Purified vWF is preincubated in 1.5 mol/l guanidine and used as substrate for the protease assay<sup>48</sup>. After incubation of vWF substrate with diluted patient plasma in the presence of calcium chloride for 1 h at 37°C, the reaction is terminated by addition of SDS and EDTA. The resulting fragments of vWF are electrophoresed in SDS–6% PAGE under non-reducing conditions. Following electrotransfer to nitrocellulose, vWF is visualized by probing the blots with <sup>125</sup>I-labelled rabbit IgG against human vWF and autoradiography. This assay is based on the generation, from the purified vWF, of dimers of carboxy terminal 176 kD fragments and of dimers of amino terminal 140 kD frag-

ments, migrating in the SDS-PAGE as 350 kD and 200 kD bands, respectively. The radioactivity of the 350 kD band, measured by optical densitometry of the autoradiograms, represents the protease activity in the test sample.

### Collagen binding assay for quantitating the proteolysis of vWF

Both assays of vWF-cleaving protease activity described above are very cumbersome and require expertise available only in laboratories familiar with immunoblotting. In a recently described method<sup>53</sup>, the electrophoretic analysis of vWF multimers or its fragments is replaced by an ELISA based on preferential binding of large vWF multimers to collagen. This method also avoids preparation of protease-free vWF: purified vWF is replaced as substrate by normal plasma in which the vWF-cleaving protease has been irreversibly inactivated by 3 h exposure to EDTA. EDTA in the substrate plasma preparation is then removed by exhaustive dialysis against 5 mmol/l Tris, pH 8.0. 1.5 mol/l urea. Incubation with diluted test plasma samples is performed in the presence of urea and barium ions for 2 h at 37°C, and the reaction is terminated by addition of sodium sulfate. The binding of degraded vWF to microtitre plates coated with collagen type III is measured using a peroxidase-conjugated anti-vWF antibody. The assay can be completed within 6 hours, requires no complex equipment and can be performed in a routine hospital laboratory.

### Assay of vWF-cleaving protease using monoclonal antibodies to vWF

Another approach to measuring vWF-cleaving protease has been described by Obert et al.<sup>54</sup>. Wild-type recombinant vWF, devoid of vWF-cleaving protease, is used as substrate. The protease in test plasma samples is activated with barium ions and incubated with vWF substrate for 18 h at 22°C on filter membranes floating on the top of 5 mmol/l Tris buffer containing 1.5 mol/l urea. The extent of vWF degradation is estimated by a two-site immunoradiometric assay, using the monoclonal antibody to a C-terminal epitope of vWF as the coating antibody, and a mixture of <sup>125</sup>I-labelled monoclonal antibodies to N-terminal epitopes as second antibody.

The latter three methods are more accurate in the normal and subnormal range but less sensitive in the very low range of protease activity than SDS-agarose electrophoresis of degraded vWF multimers. A simplified scheme for differential diagnosis of TTP, following the assay of vWF-cleaving protease, is presented in Fig. 40.3. Patients with signs and symptoms of TTP/HUS, who have no mea-

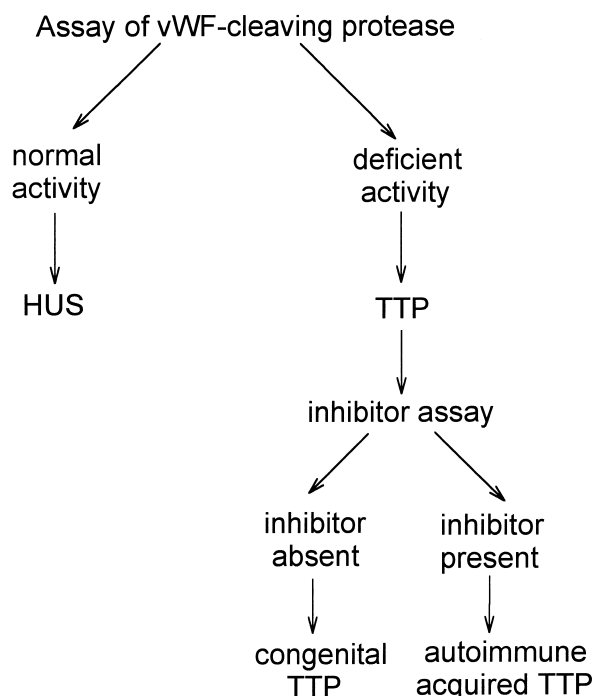


Fig. 40.3. Differential diagnosis in patients with TTP/HUS. Interpretation of results obtained by the assays of vWF-cleaving protease and of its inhibitor has to be performed with appropriate consideration of the clinical data.

asurable protease activity during the acute episode, may be diagnosed as having classic TTP, whereas normal activity is compatible with the diagnosis of HUS. Since the half-time of vWF-cleaving protease in patients without inhibitor is 2–4 days<sup>39</sup>, the blood sample has to be collected before, or at least 10 days after any plasma treatment. Patients with congenital protease deficiency are protease deficient during the acute TTP event as well as in the remission. Their platelet count is normalized within 2–3 days after a single plasma infusion, without any additional treatment, but tends to decrease 1–2 weeks later. On the other hand, patients with acquired TTP, due to an autoantibody, will hardly recover after a single plasma treatment. Immune suppression and other supportive measures lead to disappearance of the inhibitor and to reappearance of vWF-cleaving protease in the remission. Therefore, normal protease activity from a patient in remission does not exclude an acquired form of TTP during the preceding acute episode.

### Pathophysiologic mechanisms of hemolytic uremic syndrome

The question regarding the involvement of unusually large vWF multimers in the pathogenesis of HUS remains open. Infection by shiga toxin-producing *Escherichia coli* (most common serotype O157: H7) has been recognized as the predominant etiology of HUS in children. In these cases, endothelial damage induced by shiga-like verotoxins is widely assumed to be the primary cause of renal dysfunction. Verocytotoxin was shown to be cytopathic to renal microvascular endothelial cells in culture, supporting the hypothesis that the vasculopathy of HUS is caused directly by the toxic action of verotoxins on endothelial cells<sup>55,56</sup>. Uchida et al.<sup>57</sup> showed the deposition of shiga toxins in the distal tubular epithelium of the kidney. Distal tubular epithelial cells were found to express a functional receptor (Gb3/CD77) for shiga toxins and to be susceptible to its cytotoxicity<sup>58</sup>. Verotoxin 1 was internalized in cultured human glomerular epithelial cells, mesangial cells and cortical tubular epithelial cells<sup>59</sup>. These observations suggest that endothelial cells may not be the sole target for shiga toxin-mediated cell injury.

According to the tentative scheme of the pathogenesis of enterohemorrhagic *E. coli*-induced HUS, proposed by Taylor & Monnens<sup>60</sup>, the initial reaction is binding of the toxin to the monocytes followed by release of interleukins IL-1 $\beta$ , IL-6, IL-8, and tumour necrosis factor- $\alpha$  (TNF $\alpha$ ). Thus, inflammatory mediators, locally produced by toxin-stimulated monocytes, may contribute to the pathogenesis of HUS<sup>61</sup>. Moreover, incubation of verotoxin-loaded polymorphonuclear leukocytes (PMNs) with human glomerular microvascular endothelial cells resulted in toxin transfer to the endothelial cells, inhibition of protein synthesis and cell death<sup>62</sup>, suggesting that PMNs participate in the development of acute HUS.

Reduced serum levels of the third component (C3) of the complement system have been reported in familial and atypical HUS<sup>63,64</sup>. It appears that decreased serum concentrations of C3 in patients with hereditary or sporadic HUS are due to the deficiency or a functional abnormality of the complement factor H<sup>65-68</sup>, the most important plasma regulator of the alternative complement activation pathway. Thus, a complement-mediated mechanism of endothelial cell activation, leading to massive release of unusually large vWF multimers, seems to be a plausible hypothesis of familial and sporadic HUS etiology.

### vWF-cleaving protease deficiency alone is not sufficient to cause clinical thrombotic thrombocytopenic purpura

The age distribution of patients with constitutional protease deficiency, shown in Fig. 40.4 (M. Furlan, unpublished data), demonstrates a striking age-dependent clustering of the first acute TTP episode. One-half of the 20 patients with complete constitutional deficiency of vWF-cleaving protease had their first TTP event between the neonatal period and the age of 5 years; this acute episode was then often followed by chronic relapsing TTP unless the patients received prophylactic plasma therapy at regular intervals of about 3 weeks. The other half of the patients with severe constitutional protease deficiency remained apparently asymptomatic until the age of about 20–30 years. Two sisters with hereditary protease deficiency (denoted in Fig. 40.4 as patients 14 and 15) had their first acute TTP episode during their first pregnancies while their brother, aged 44 years, has never had any symptoms of TTP. Similarly, another pair of sisters (patients 13 and 18) were asymptomatic until their first pregnancy; they also have a protease-deficient brother, aged 37 years, who has never had an acute event of TTP.

These results indicate that most, if not all, patients with classic TTP have severe protease deficiency but not all individuals with constitutional protease deficiency develop acute TTP. The first acute episode of TTP in a patient with constitutional deficiency of vWF-cleaving protease tends to be followed by a chronic relapsing form of the disease. Patient 11 had no signs or symptoms of TTP before the age of 20 years, but experienced several relapses in the years following the first acute bout of TTP (M. Furlan, unpublished data). The last acute episode, at the age of 36 years, led to the institution of regular plasma infusion, starting with 2 units of FFP every third week, as recommended elsewhere<sup>3,69-72</sup>. The estimated initial concentration of vWF-cleaving protease in patient 11, after infusion of 2 units of FFP, was estimated to be about 15% of normal plasma. The platelet count in this patient increased steadily during the first 10 days after each plasma infusion, although the circulating protease concentration had been reduced to less than 5% within the same time interval (M. Furlan, unpublished data). We conclude tentatively from this observation that 5% protease activity may be sufficient to degrade the very large and adhesive vWF multimers to smaller vWF forms, which do not spontaneously agglutinate platelets. Since the kinetics of platelet consumption at very low protease concentrations appears to vary from patient to patient, we suggest that, in each patient with constitutional protease deficiency, the optimum infusion intervals

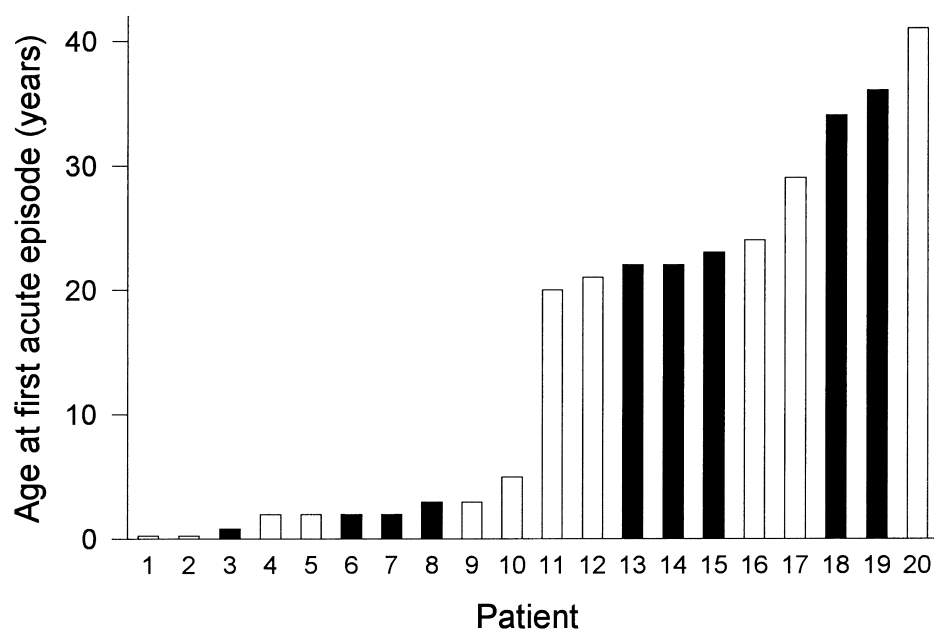


Fig. 40.4. Age distribution of the first acute TTP episode in 20 patients with complete congenital deficiency of vWF-cleaving protease. Empty bars, male patients; full bars, female patients.

and the infused plasma volumes be empirically established from the individual time course of the platelet count.

Data presented in Fig. 40.4 suggest that the prevalence of congenital vWF-cleaving protease deficiency may be higher than diagnosed, since some severe protease deficiencies remain undetected in asymptomatic subjects. It is not improbable that individuals with an acquired protease deficiency may also escape detection, because the autoantibodies against the protease may disappear before the development of an acute TTP. As reported elsewhere<sup>40</sup>, a patient with acquired TTP had his first relapse 3 months after the antibody-mediated disappearance of the vWF-cleaving protease, suggesting that a specific pathophysiologic condition was required to trigger the onset of the acute episode. It was also observed<sup>47</sup> that some patients with acquired TTP progressed to remission before the complete disappearance of the inhibitor and normalization of the vWF-cleaving protease. The above considerations regarding the occurrence of acute TTP in severely protease-deficient patients are reminiscent of venous thrombophilia in patients with genetic (e.g. factor V Leiden, deficiencies of antithrombin III, proteins C and S) or acquired (antiphospholipid antibodies, oral contraceptives, pregnancy, trauma, surgery, malignancy, previous thrombotic episodes) risk factors: a single risk factor alone is hardly sufficient to induce venous thrombosis in these

patients, but the likelihood of an acute thrombotic event increases if several risk factors accumulate and recurrence rate is high after a first thromboembolic event.

It remains unclear why some individuals with severe congenital protease deficiency experience acute episode(s) of TTP during childhood while others remain asymptomatic for decades, as shown in Fig. 40.4. The vWF-cleaving protease deficiency is a very strong risk factor for TTP, but the development of an acute thrombotic microangiopathy requires a trigger, such as pregnancy, alcohol abuse, viral or bacterial infection. It is generally assumed that this trigger leads to activation or death of the microvascular endothelial cells releasing the unusually large vWF multimers from the storage organelles.

#### **Antibodies against platelets and endothelial cells in patients with thrombotic thrombocytopenic purpura**

Several attempts have been undertaken to pinpoint the mechanism of endothelial cell damage and death. Lytic antiendothelial cell antibodies were detected in plasma of patients with TTP<sup>73</sup> and HUS<sup>74</sup>. Endothelial cell-reactive plasmas were found to induce aggregation of human platelets *in vitro*<sup>73</sup>, suggesting that TTP plasma may account for both endothelial cell damage and direct thrombotic vascu-

lar occlusion in TTP. More recently, much attention has been devoted to the presence in TTP of antibodies directed against the human CD36<sup>75,76</sup>, an integral membrane protein (also known as platelet glycoprotein IV), expressed by platelets as well as by some other cells including endothelial cells. It is interesting that CD36 expression on endothelial cells is restricted to capillary endothelial cells and is not observed on large vessel endothelial cells<sup>77</sup>. CD36 is the receptor of the platelet-agglutinating 37 kD protein<sup>78</sup>, a previously recognized platelet aggregating factor present in plasmas of patients with TTP<sup>19</sup>.

It is unknown whether antiendothelial cell and antiplatelet autoantibodies are directly involved in formation of microvascular platelet aggregates or whether they rather induce damage or apoptosis of endothelial cells and subsequent release of very large vWF multimers from intracellular stores. Antibodies against endothelial cells and platelets might arise as a secondary response of the immune system to inflammatory events in TTP patients, leading to expression of cellular neoantigens or exposure of cryptoantigens<sup>79</sup>. Antibodies against endothelial cells were also found in patients with disorders unrelated to TTP<sup>80</sup>. A recent study suggested that antibodies reactive against platelet or endothelial cell antigens are not prevalent in TTP, and that more than a third of reactive antibodies were human leukocyte antigen alloantibodies<sup>81</sup>. In addition, complement is not depleted in acute TTP<sup>82</sup>, a feature that would be expected if circulating antibodies, directly toxic to endothelial cells, were involved. Thus, the evidence that antibody-mediated injury of endothelial cells is a primary etiologic factor in TTP remains controversial.

### **Inflammatory cytokines and apoptosis of endothelial cells in thrombotic thrombocytopenic purpura and the hemolytic uremic syndrome**

Ultrastructural alterations in microvascular endothelial cells have been found in TTP by electron microscopy showing cytoplasmic vacuoles and swollen mitochondria. These changes, reflecting early apoptotic lesions, have been noted even in the absence of platelet microthrombi, suggesting that they are not simply a consequence of vessel occlusion. Plasmas of patients with either idiopathic TTP or HIV-associated microangiopathy were shown to induce apoptosis of primary human endothelial cells of dermal microvascular but not of umbilical vein origin<sup>83</sup>. Detachment of endothelial cells from renal microvasculature and their appearance in the circulation<sup>84</sup> are consistent with an

apoptotic process. Furthermore, plasmas from TTP and sporadic HUS patients, but not from D+ diarrhea positive HUS patients, induced apoptosis and expression of the apoptosis-associated protein Fas (CD95), a type I plasma membrane receptor involved in the regulation of programmed cell death, in microvascular endothelial cells of renal, cerebral and dermal origin but not in those of pulmonary and hepatic origin<sup>85</sup>. This difference parallels the *in vivo* pathology of TTP/sporadic HUS, with notable sparing of the pulmonary and hepatic microvasculature.

The primary apoptosis-inducing agents have not yet been identified. It has been tentatively proposed that the impaired endothelial cell survival might be associated with the loss of extracellular matrix proteins<sup>86</sup>. Cytokines might also play a pathogenetic role. Fas was shown to become upregulated on endothelial cells by exposure to TNF- $\alpha$ <sup>83</sup> and its upregulation was accompanied by induction of the cell cycle protein Cdc2 in the dermal microvascular endothelial cells, but not in large vessel endothelial cells<sup>87</sup>. However, induction of Fas by the reactive components of TTP and HUS plasmas could simply reflect an activated cell phenotype and be irrelevant to apoptosis<sup>87</sup>. TNF- $\alpha$  can initiate apoptosis of endothelial cells, but the TTP plasma-mediated apoptosis could not be blocked with anti-TNF- $\alpha$  antibodies<sup>83</sup>. The finding that TTP plasma induces endothelial cell apoptosis has recently been confirmed by other authors<sup>88</sup>; nevertheless, Fas did not seem to be involved. Karpman et al.<sup>89</sup> reported apoptosis of renal cortical cells in children with postenterohepatic (D+) HUS. They also found apoptosis in cultured renal tubular cells incubated with shiga-like toxin from *E. coli*. Interleukin-6 (IL-6) was elevated in the serum of 33 of 35 children with HUS (31 diarrhea-associated and 4 non-diarrhea-associated) and in 2 of 2 children with recurrent TTP<sup>90</sup>; the latter two patients have been found to have constitutional protease deficiency. The same study showed increased levels of TNF- $\alpha$  in the serum from 7 of 35 HUS patients and from both children with TTP. Circulating levels of pro-inflammatory IL-6 were also increased in another study of children with HUS due to *E. coli* infection; the concentrations of the anti-inflammatory IL-10 were also increased, but to a lesser extent than IL-6<sup>91</sup>, suggesting that an imbalance of pro- and anti-inflammatory cytokines may be involved in the pathophysiology of verotoxin-associated HUS. IL-6 was found to activate normal human platelets *in vitro*<sup>92</sup>. In addition to the above, sometimes discordant models of the apoptotic endothelial cell death in TTP/HUS, another study reported that calpain is involved in apoptosis-like events in washed human platelets<sup>93</sup>. Although platelets were found to contain the pro-apoptotic caspases 3 and 9, it was found that calpain, and not



caspsases, promoted the apoptosis-like events in platelets during their activation.

### Need for a new classification of thrombotic microangiopathies (TMA)

The progress in our understanding of the pathogenesis of TMAs in recent years calls for establishment of a new classification that would probably be helpful for therapeutic purposes. This classification should recognize the differences in the congenital and acquired (due to autoantibodies) deficiencies of vWF-cleaving protease. The classic TMA, thus far frequently denoted as idiopathic TTP, may be triggered by different precipitating factors such as pregnancy, infection or drugs (ticlopidine), and is usually mediated by an autoimmune process abolishing vWF-cleaving protease activity. Furthermore, the deficiency of the complement factor H is a special type of TMA including many cases of familial HUS. Diarrhea-associated HUS is a major well-established form that represent a distinctive class of TMA. In addition, bone marrow transplantation-associated, neoplasia-associated, and autoimmune disease-associated TMA appear to represent special types of TMA with similar clinical symptoms but different course and prognosis. A new classification should be helpful in devising the specific patient treatment which may be strikingly different in the different forms of TMA.

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# Thrombocytosis and thrombocythemia

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## Definition

A thrombocytosis is defined as a platelet count above the upper limit of the reference range<sup>1</sup>. By convention, the normal range of blood platelet count in humans is considered to be  $150$  to  $400 \times 10^9/l$ . It is likely that this wide variation includes healthy individuals who have modest degrees of reactive thrombocytosis, such as young women with iron deficiency secondary to excessive menstrual blood loss. Consequently, although this is not well documented, the upper limit of the normal range in truly healthy people may be less than  $400 \times 10^9/l$ . Platelet values in the upper normal range should be interpreted in the clinical context of the individual patient who should be followed for eventual clear diagnostic evaluation.

## Classification and differential diagnosis

Based on the mechanisms inducing the increased platelet production, two major types of thrombocytosis, i.e. platelet count  $400 \times 10^9/l$  can be found: reactive and clonal<sup>3</sup>. Reactive thrombocytosis (RT) is the commonest cause of an elevated platelet count and includes a heterogeneous group of disorders characterized by increased megakaryopoiesis produced by an intrinsically normal megakaryocyte compartment. This is thought to be due to an increased cytokine production secondary to several pathological events (Table 41.1).

On the other hand, essential thrombocythemia (ET) and the thrombocythemic states found in the myeloproliferative disorders (MPDs) are usually characterized by a clonal thrombocytosis<sup>4</sup>. In this situation, a single hematopoietic precursor or stem cell and its progeny gain a growth advantage over their normal counterparts, so that the proliferation of the latter is suppressed. This growth advantage is

**Table 41.1.** Classification of thrombocytosis

<i>Reactive thrombocytosis</i>
Infectious or inflammatory diseases
Malignancy
Iron deficiency anemia, hemolytic anemia, acute blood loss
Splenectomy
Rebound effect after chemotherapy or immune thrombocytopenia
<i>Clonal thrombocytosis</i>
Essential thrombocythemia
Polycythemia vera
Idiopathic myelofibrosis
Chronic granulocytic leukemia
Myelodysplastic syndromes
5q- syndrome
Idiopathic refractory sideroblastic anemia

derived, at least in part, from increased sensitivity of megakaryocytes and other hematopoietic precursors to the stimulatory effect of thrombopoietin (TPO), erythropoietin and several others growth factors<sup>5,6</sup>. The crucial role of TPO is supported by recent findings in families with hereditary thrombocythemia, where mutations in the TPO gene resulted in derepression of TPO mRNA translation, overproduction of TPO protein and thrombocytosis in the affected family members<sup>7-9</sup>.

TPO is the primary regulator of megakaryocyte development and platelet number<sup>10,11</sup>. Produced predominantly by the liver and the kidney, the rate of TPO production is thought to be relatively constant, with no changes in mRNA levels or isoforms during thrombocytopenia or thrombocytosis<sup>12</sup>. TPO circulating levels are controlled by specific binding to its receptor, c-mpl, expressed on the surface of megakaryocytes and platelets, leading to its

internalization and degradation<sup>13</sup>. In thrombocytopenia due to hypoproliferative states, as for example in aplastic anemia, blood TPO levels are inversely proportional to the platelet mass. In contrast, in thrombocytosis this relationship is not maintained. A number of studies have reported either normal or slightly elevated blood TPO levels in patients with both primary and secondary thrombocytosis<sup>14,15</sup> and no correlation between TPO levels and platelet count was demonstrated. Therefore, measurement of TPO cannot be used to discriminate between RT and ET or other MPDs. A possible explanation for elevated TPO levels in spite of elevated platelet count in MPDs has been suggested by the recent demonstration of reduced c-mpl expression on platelets from these patients<sup>16</sup>. Since c-mpl reduction was found restricted to clonal thrombocytosis, this finding has been proposed as a positive marker for these disorders<sup>17,18</sup>, even though this remains to be confirmed in further studies. In spite of this new information, to date, the differential diagnosis between RT and ET still rests on the exclusion criteria discussed below<sup>19</sup>.

### Reactive thrombocytosis

In RT, the level of platelet counts is variable and may be very high. In a study of 280 cases with extreme thrombocytosis, i.e. platelet counts greater than or equal to  $1000 \times 10^9/l$ , 231 (82%) had RT and only 38 (14%) had a myeloproliferative disorder<sup>20</sup>. Characteristically, in this study, the proportion of patients with clonal thrombocytosis increased with age and a peak was reached in the highest age groups. In over 16000 platelet counts performed on 7916 children, it was found that 36 (0.5%) produced at least one count of  $>800 \times 10^9/l$  and seven (0.1%) had platelet counts  $>1000 \times 10^9/l$ . Most of them had an acute infection, although two were also recovering from antineoplastic chemotherapy and one was concomitantly iron deficient<sup>21</sup>.

The great majority of patients with RT can be included in four groups, namely inflammatory disease, malignancy, splenectomy and iron deficiency anemia.

### Inflammatory disease and malignancy

Thrombocytosis has long been recognized to accompany acute and chronic infections and also non-infectious inflammatory states. Early reviews stressed that thrombocytosis was commonly present during the course of bacterial pneumonia, pyelonephritis, osteomyelitis, pyogenic arthritis, chronic wound infections, and other bacterial infections<sup>22</sup>. Subsequently<sup>23</sup>, 76% of patients with active pulmonary tuberculosis were reported to have elevated

platelet counts, with 11% having platelet counts greater than  $1000 \times 10^9/l$ . In contrast, thrombocytosis is rare during the course of viral infections. The platelet count often falls to some degree along with the leukocyte count.

A similar degree of thrombocytosis accompanies a wide variety of noninfectious, inflammatory illnesses such as rheumatoid arthritis<sup>24</sup>, vasculitis (including polyarteritis nodosa and polymyalgia rheumatica)<sup>22</sup>, inflammatory bowel disease, hepatic cirrhosis<sup>22</sup>, and nephritis<sup>22</sup>. In general, the degree of thrombocytosis parallels the activity of disease, and the elevated platelet count returns to normal levels with effective therapy.

An increase in platelet count is frequently seen in patients with advanced malignancy<sup>22,25-27</sup>. Ninety per cent of patients with thrombocytosis secondary to malignancy have platelet counts in the range of  $400 \times 10^9/l$  to  $1000 \times 10^9/l$ ; occasional patients have been reported<sup>25</sup> with counts as high as  $6000 \times 10^9/l$ . The pathophysiologic mechanisms of the thrombocytosis of malignancy and inflammation may be similar. In a study of patients with carcinoma of the lung and colon<sup>27</sup>, the platelet count correlated directly with the leukocyte count and the fibrinogen level and inversely with the hemoglobin, again suggesting that the platelet count may be regarded as an acute-phase reactant. Thrombocytosis has been reported with most types of tumours. The literature has stressed its frequency in carcinoma of the lung<sup>27</sup> and in mesothelioma<sup>26</sup>.

### Splenectomy

Approximately one-third of the total body platelet mass normally resides in a pool in the spleen. Therefore, the platelet count should theoretically rise by approximately 50% after splenectomy. The inflammation related to surgery might transiently increase the platelet count by approximately another 50%. However, if splenectomy is performed in a hematologically normal person, the platelet count often rises far in excess of that predicted by these two considerations, sometimes reaching in excess of  $1000 \times 10^9/l$ .

Therefore, the removal of the spleen may stimulate platelet production. Thrombocytosis generally subsides over weeks to months and rarely persists for more than 2 years following splenectomy. Thus, the stimulation must be transient or compensated for in some circulating factor that suppresses platelet production, but this is not well established. It is generally accepted that such transient, self-limited postsplenectomy thrombocytosis is not associated with thrombotic or hemorrhagic complications<sup>28</sup> and that therapy for it is not necessary. Following splenec-

tomy (even many years later), infectious or inflammatory thrombocytosis may be exaggerated considerably.

Postsplenectomy thrombocytosis can be expected to persist under two circumstances. A patient with MPD who has splenomegaly and a normal platelet count may, in fact, have a marked increase in the rate of platelet production which is masked by an increase in the size of the pool of platelets in the spleen. If the spleen is removed under these circumstances, there may be a massive increase in the platelet count, often to ten times the pre-splenectomy value. There are many reports of thrombotic complications in this situation<sup>29</sup>. The second circumstance occurs when the spleen is removed in patients with anemia secondary to hemolysis or ineffective erythropoiesis and the splenectomy does not completely correct the anemia<sup>30</sup>. Typical situations in which this might occur are hereditary, nonspherocytic hemolytic anemia and thalassemia major. In such patients, it is typical for the platelet count to persist indefinitely in the range of  $600 \times 10^9/l$  to  $1000 \times 10^9/l$ . In addition, such patients have an increased incidence of deep vein thrombosis<sup>30</sup>.

### Iron-deficiency anemia

Patients with anemia secondary to simple iron deficiency typically have an elevated platelet count, not uncommonly to levels in excess of  $1000 \times 10^9/l$ . In adults in the Western world, blood loss is the most common cause of iron deficiency, and bleeding itself can cause thrombocytosis. However, in experimental animals, iron deficiency produced by an iron-deficient diet also leads to thrombocytosis<sup>31</sup>. The mechanism is not known, and there is no explanation for the observation that some patients develop marked thrombocytosis while others with equally severe anemia have only modest elevations in platelet count. The platelet count falls rapidly as soon as iron replacement is begun, so that normal or even low levels may be reached within 7–10 days<sup>32</sup>. Thus the increased rate of platelet production appears to be secondary to iron deficiency *per se* and not to the erythroid hyperplasia in the marrow, which continues until the anemia is corrected. Although there have been case reports of thrombotic complications in this setting<sup>32,33</sup>, the response of the thrombocytosis to iron replacement is so rapid that no other therapy is required.

### Essential thrombocythemia

Within the category of clonal thrombocytosis, ET represents the most characteristic disease. Clonal hemopoiesis

in ET was originally shown by studying glucose-6-phosphate dehydrogenase (G6PD) isoenzymes in females who were coincidentally heterozygous at this C-linked locus<sup>4</sup>. More recent methods of DNA and RNA analysis have made it possible to assess clonality in the nucleated cells of up to 50% of women with these illness<sup>34</sup>. These analyses have confirmed the previous G6PD studies in most, but not in all patients. For example, 14 out of 46 patients (30%) studied by El-Kassar et al.<sup>35</sup> and 13 out of 46 patients (28%) studied by Harrison et al.<sup>36</sup> who had a diagnosis of ET according to the Polycythemia Vera Study Group (PVSG) criteria had polyclonal myelopoiesis. These results indicate that ET is a heterogeneous disease<sup>37</sup> and raise a number of issues, in particular whether etiology and clinical course in the two groups are different. It is possible that patients with monoclonal myelopoiesis represent those who have progressed from a polyclonal disease by acquiring a pathogenic alteration that provides cells with a growth or survival advantage<sup>34</sup>. This process may not necessarily reflect a truly 'transformed' state, since progression to acute leukemia is observed in only 6–7% of ET patients<sup>19,38</sup> and further hits may be required for transformation to occur. However, it should be recognized that there is no known experimental model to support such an hypothesis. From a clinical point of view, no difference between patients with monoclonal and polyclonal myelopoiesis was observed with respect to age or platelet count at diagnosis, incidence of hepatosplenomegaly or bleeding complications. However, monoclonal patients were more likely to have experienced thrombotic events<sup>36,39</sup>. This information is noteworthy and requires confirmation in larger and prospective studies since, as will be discussed in greater detail subsequently, the ability to categorize patients as potentially either 'low risk' or 'high risk' for thrombosis is the current basis for treatment recommendations.

### Diagnostic criteria

There is no single clinical or laboratory finding that permits a positive diagnosis of ET. Rather, many clinicians have concluded that the diagnosis must be reached by excluding other myeloproliferative or myelodysplastic disorders or the conditions which are associated with a reactive thrombocytosis. This principle was used in the development of the criteria of the PVSG<sup>40</sup> that have been recently updated<sup>19</sup> (Table 41.2). A flow diagram for diagnosis of ET according to these criteria is presented in Fig. 41.1. The individual components will be discussed, including some investigations aimed at providing positive diagnostic tests for ET.

**Table 41.2.** Updated diagnostic criteria for essential thrombocythemia<sup>19</sup>

I	Platelet count >600 000/ $\mu$ l
II	Hematocrit <40%, or normal RBC mass (Males <36 ml/kg, females <32 ml/kg)
III	Stainable iron in marrow or normal serum ferritin or normal RBC mean corpuscular volume <sup>a</sup>
IV	No Philadelphia chromosome or <i>bcr/abl</i> gene rearrangement
V	Collagen fibrosis of marrow <ul style="list-style-type: none"> <li>A. Absent or</li> <li>B. &lt;1/3 biopsy area without both marked splenomegaly and leukoerythroblastic reaction</li> </ul>
VI	No cytogenetic or morphologic evidence for a myelodysplastic syndrome
VII	No cause for reactive thrombocytosis

**Note:**

<sup>a</sup> If these measurements suggest iron deficiency, PV cannot be excluded unless a trial of iron therapy fails to increase the RBC mass into the polycythemic range

**Platelet count**

The current criteria for ET give a persistent platelet count of  $600 \times 10^9/l$ <sup>19</sup>. However, it has been suggested that the platelet count criterion should be reduced to  $400 \times 10^9/l$ <sup>41</sup> since, in long-term follow-up studies, the clinical course of patients with platelet counts between 400 and  $600 \times 10^9/l$  was found to be indistinguishable from that of patients with a clearly diagnosed essential thrombocythemia<sup>42,43</sup>. The major problem with this approach is that platelet counts above these levels are commonly encountered in hospital practice and many individuals at the extreme end

of the physiological range may be included. In other words, lowering the threshold of platelet count will improve the sensitivity but reduce the specificity of ET diagnosis. Therefore, the selection of a cut-off of  $600 \times 10^9/l$  is probably the most appropriate for the selection of ET patients to be put in therapeutic trials. In the current clinical practice, a lower platelet count can be taken for an initial screening but then a highly specific test, such as bone marrow histology (see below) should be suggested for establishing the correct diagnosis avoiding false-positive cases. In any case, these borderline patients deserve to be carefully followed up.

**Platelet morphologic and functional abnormalities**

ET platelets may be normal in size but more often their volume is highly variable with micro and giant platelets coexisting in the same patient<sup>44,45</sup>. The mean platelet volume (MPV) and size heterogeneity, reflected by the platelet distribution width (PDW), are easily measured by electronic cell counter, but their usefulness in the differential diagnosis with RT is questionable. The PDW is higher in ET than in RT but the overlap between groups makes it unreliable as the sole criterion<sup>1</sup>. The MPV is an unhelpful marker<sup>46</sup>.

ET platelets are characterized by several biochemical and functional abnormalities<sup>47</sup>. Many defects are related to reductions of specific glycoproteins with impairment of the associated receptor functions<sup>48,49</sup>. Glycoprotein changes most commonly described are the reduction of GPIb<sup>48</sup> and GP IIb-IIIa complex<sup>50</sup> and/or modification of their glycosylation<sup>51</sup>. Binding sites for von Willebrand factor and fibrinogen are present on GPIb and GPIIb-IIIa, respectively, and this explains some loss of the binding capacity for these molecules<sup>50</sup>. In ET patients with extreme

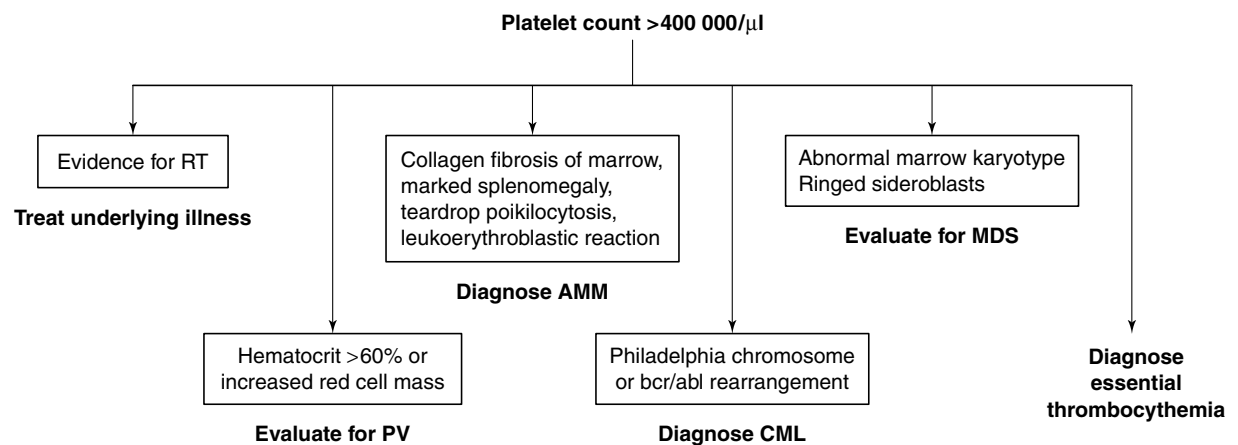


Fig. 41.1. Flow diagram for the diagnosis of essential thrombocythemia. See text for details. AMM, agnogenic myeloid metaplasia; CML, chronic myelogenous leukemia; MDS, myelodysplastic syndrome; PV, polycythemia vera; RT, reactive thrombocytosis.



thrombocytosis a plasma deficiency of von Willebrand large multimers has been described<sup>52,53</sup>. This deficiency, along with the decrease of platelet receptors for that factor, may explain the bleeding observed in thrombocythemic states (see also below).

Several alterations in arachidonic acid metabolism have been reported. These include reduced arachidonic acid content in platelet membranes<sup>54</sup> defective substrate mobilization in response to stimuli and abnormalities in its conversion through the PGH-synthase and 12-lipoxygenase pathways<sup>55–57</sup>. However, the relevance of these findings for diagnostic or prognostic purposes remains to be established.

Many functional studies on ET platelets have been performed by measuring platelet aggregation. The two most consistent findings refers to the loss of ET platelet aggregating response to epinephrine<sup>58</sup> and the phenomenon of spontaneous aggregation<sup>59</sup>. Intrinsic limitations of this technique have been reported, however<sup>45</sup>, and its use in ET can be also associated with potential artefacts arising from variable ex vivo activation of ET platelets and modification of platelet morphology and size.

Other qualitative platelet changes include reduced ADP levels, leading to a higher ATP/ADP ratio in ET than in RT<sup>46</sup>, and increased plasma levels of  $\beta$ -thromboglobulin<sup>60,61</sup> and platelet factor 4<sup>62</sup>, suggesting enhanced platelet activation in vivo in ET subjects. However, again, these tests are of limited value because of the lack of standardization, limited availability and cost. Overall, despite the identification of a broad array of specific structural, biochemical and metabolic platelet defects, no parameter of hemostasis has been shown to reliably distinguish between ET and RT or herald a thrombotic or bleeding tendency in ET patients.

### Exclusion of PV

If the patient's hematocrit is  $>51\%$  for males or  $48\%$  for females the total body red cell mass should be measured. If it is elevated, the diagnosis should be PV<sup>1,63</sup>. If the red cell mass is not elevated, the possibility of PV, masked by bleeding or iron deficiency, should be considered. For practical purposes, a normal or increased serum ferritin level along with a normal red blood cell mean corpuscular volume suffices to exclude both reactive thrombocytosis secondary to iron deficiency and the possibility that one may be dealing with PV masked by iron deficiency. When iron-deficiency erythropoiesis is present or cannot be excluded, the distinction between PV and ET cannot be made with certainty until re-valuation is performed after 1–2 months of iron replacement therapy.

### Exclusion of other MPDs or myelodysplasia

During the initial evaluation of a patient suspected of having ET, it is advisable to perform a bone marrow aspiration, biopsy, and karyotype analysis<sup>64–66</sup>. If frank fibrosis on the bone marrow biopsy is found, the diagnosis of myelofibrosis can be made, particularly if other ancillary signs are present, such as marked splenomegaly on physical examination, teardrop poikilocytosis and a leukoerythroblastic reaction in the peripheral blood smear. The bone marrow karyotype is critical to exclude the Philadelphia (Ph) chromosome and, therefore, CML. In some circumstances in which the clinical picture is atypical for ET, the *bcr/abl* rearrangement, diagnostic of CML should be sought. Recently, an intriguing report suggested the presence of BCR-ABL transcripts in the bone marrow of a high proportion (48%) of Ph-neg ET patients<sup>67</sup>. However, this finding was not confirmed<sup>68,69</sup> and therefore its clinical significance of this finding remains to be determined. Sometimes, myelodysplastic syndromes (MDS) may present with thrombocytosis<sup>70</sup>, particularly in the 5q- syndrome<sup>71</sup>. If present, this karyotypic abnormality would place the patient in the MDS category. However, it should be borne in mind that a proportion of patients with clinical and laboratory features overlapping between ET and other MPDs or MDS remains unclassified. Reliable prognostic information about such patients is not available and a careful follow-up to establish the clinical evolution is probably the most reasonable approach.

### Exclusion of reactive thrombocytosis

The causes of RT are listed in Table 41.1 and discussed above. Clinical evaluation and laboratory studies designed to detect iron deficiency and occult inflammatory or malignant disease are generally sufficient to exclude RT. In addition, a study<sup>72</sup> suggested that most patients with RT have elevated serum levels of interleukin-6 and C-reactive protein while patients with MPD and thrombocytosis have normal levels.

### Positive criteria for diagnosing ET

The approach to ET as a diagnosis of exclusion is intrinsically unsatisfactory, and positive criteria that would distinguish ET from RT and other MPDs have been proposed<sup>46,73</sup>. The role and limits of clonality studies and measurement of TPO and TPO receptor in this setting has been discussed above. Some authors have shown that endogenous erythroid colonies (EEC)<sup>74</sup> or culture examining CFU-Mk growth may be reliable markers of the disease<sup>75,76</sup>. However, these investigations are not widely available, are expensive and technically demanding and, therefore, may

be suitable for the research purposes in the occasional patient but not for general use.

It has been suggested that ET can be positively diagnosed by careful, quantitative examination of the bone marrow biopsy<sup>64–66</sup>. Typical clustering of enlarged megakaryocytes with multilobated nuclei has been advocated to represent the hallmark feature of the disease. Histologic background of hematopoiesis in ET is featured by a discrete pattern of minimal or no prominence of erythropoiesis, no change in granulopoiesis, almost no fibrosis and reduction of stainable iron. A detailed evaluation of bone marrow features may also help to distinguish ‘true’ ET from the initial stages of idiopathic myelofibrosis<sup>66</sup> or myelodysplasia<sup>65</sup>. ‘Early’ myelofibrosis is characterized by increasing cellularity with prominent neutrophil granulopoiesis, borderline to slight reticulin fibrosis and pronounced abnormalities of megakaryocyte differentiation, including hyperchromasia and marked nuclear cytoplasmic deviation. Notably, patients with these morphological features frequently develop an overt myelofibrosis and have a significantly worse life expectancy. However, an experienced observer and a well-standardized procedure are required to diagnose ET by examination of the bone marrow biopsy. This is one of the major limits of the diagnostic classifications of ET based on bone marrow histology.

### Clinical features and prognostic factors

A diagnosis of ET, based on a careful pathologic and cytogenetic review, is associated with a very low risk of either leukemic transformation or occurrence of other life-threatening complications. As a result, life expectancy is near normal<sup>77</sup>. However, the clinical course of these patients is characterized by frequent thrombotic and hemorrhagic events. Thus, once the diagnosis is adequately established, the next step is to evaluate for the presence or absence of risk factors for thrombohemorrhagic complications.

### Bleeding and thrombosis in ET

Reported rates for thrombosis and hemorrhage range from 8 to 84% and 13 to 63%, respectively<sup>78–83</sup>. This wide range reflects the referral bias in the reported series, which are almost all retrospective and based on relatively small numbers of patients. The vascular occlusive events either occur in the microvasculature, with symptoms such as transient cerebral ischemia, migraine and visual dysfunction<sup>84</sup> digital ischemia and erythromelalgia<sup>85</sup> or in larger vessels usually cerebral, peripheral and coronary arteries<sup>45</sup>. Major venous occlusions can also occur and thromboses in unusual sites such as hepatic (Budd–Chiari

syndrome)<sup>86,87</sup>, portal<sup>87,88</sup> and mesenteric veins<sup>89</sup> and of intracranial sinuses<sup>90</sup> are not infrequently encountered. Bleeding involves more frequently mucocutaneous sites rather than joints or soft tissues. Common manifestations are represented by easy bruising, epistaxis and gingival bleeding. Severe hemorrhages requiring transfusion and/or hospitalization are relatively infrequent and generally involve the gastrointestinal tract<sup>45</sup>. Due to this heterogeneous clinical presentation, several studies have focused on the search for factors possibly associated with an increased risk of thrombotic and hemorrhagic complications<sup>78–83</sup>.

### Risk factors for major bleeding

Hemorrhagic symptoms have been found more frequently in patients with platelet counts in excess of  $1000 \times 10^9/l$  and this may be related to an acquired deficiency of vonWillebrand’s factor<sup>52,53</sup>. The number of circulating platelets directly affects the concentration of plasma large vWF multimers, which may compromise hemostasis at high platelet counts. In a series of 44 ET patients under the age of 45, 6 (13%) experienced bleeding complications which for the most part were mild and mucosal in nature. In two cases, however, deep-seated hemorrhage occurred into muscle and one of these individuals was found to have an acquired von Willebrand’s disease<sup>91</sup>. In these patients, serious bleeding may be spontaneous or triggered by contemporaneous aspirin treatment<sup>92</sup>. Therefore, caution is recommended in giving platelet inhibiting agents to ET patients with platelet counts above  $1000 \times 10^9/l$ . Bleeding time measurement performed by the Ivy’s method have been widely used in ET patients in order to identify patients with impaired platelet function and increased hemorrhagic risk<sup>93</sup>. However, there is no established evidence that bleeding time has any predictive value for the evaluation of the hemorrhagic risk associated with surgical procedures<sup>94</sup>, antiplatelet therapy or in patients with congenital platelet defects<sup>95–96</sup>. Thus, bleeding time measurement seems of uncertain clinical usefulness in ET patients<sup>47</sup>.

### Risk factors for thrombosis

A clear correlation between ageing and thrombosis has been reported by many authors<sup>80,97–100</sup>. In a cohort study of 100 consecutive patients with ET diagnosed between 1978 and 1988, the rate of thrombosis was 1.7% per year in patients younger than 40, versus 6.3% per year in those from 40–60 years and 15.1% per year in subjects over 60 years old<sup>80</sup>. In another series of 56 young thrombocytopenic subjects the incidence of severe thromboses was only about 1% per year after a mean follow-up of 4.7 years<sup>97</sup>.

However, isolated reports indicate the possibility of life-threatening thromboses also in young ET patients<sup>91,101–104</sup>. Most ischemic complications in this group were represented by migraine, headache and erythromelalgia. The thrombotic nature of these neurological symptoms is uncertain, however. In a study of transient neurological and ocular ischemias in patients with ET, Michiels et al. reported that poorly localized symptoms such as dysarthria, transient unsteadiness, and scintillating scotomata were more common than focal symptoms such as transient monocular blindness or transient mono- or hemiparesis<sup>105</sup>. These clinical manifestations were uniformly sensitive to aspirin (see also below) and often preceded or followed by erythromelalgia or large vessel thrombosis.

A previous history of thrombotic events is an important risk factor for subsequent vascular occlusions, despite the fact that most symptomatic patients are treated with cytoreductive drugs. In one study, the prevalence of thrombosis was increased by the presence of a prior thrombotic event from 3.4% per year to 31.4% per year<sup>80</sup> and in another, from 16 to 57%<sup>83</sup>.

### Disease transformation

In rare cases of ET, transformation to polycythemia vera or myelofibrosis may occur as part of the natural history of disease. This is consistent with the well-known overlap between different Philadelphia-negative CMDs. In a long-term study of young women with ET, transformation into polycythemia vera and myelofibrosis was observed in 2.7%

**Table 41.3.** Risk stratification in essential thrombocythemia

#### Low-risk

Age <60 years, and  
No history of thrombosis, and  
Platelet count <1500 × 10<sup>9</sup>/l

#### High-risk

Age 60 years, or  
A previous history of thrombosis, or  
Platelet count 1500 × 10<sup>9</sup>/l

#### Note:

Correction of cardiovascular risk factors (smoking, obesity) is recommended in all patients.

and 4% of the patients, respectively<sup>106</sup>. Sporadic reports of the transformation of ET to acute leukemia have been recorded, although the incidence appears less than in the related MPDs. Retrospective studies with median duration follow-up of 3 to 7 years have reported incidence rates of leukemic conversion ranging from 0.6 to 5%<sup>79,82,83,107,108</sup>. Most patients who had transformation were previously managed with cytoreductive therapy (see below). However, leukemic transformation in ET has occasionally occurred in the absence of previous therapy, suggesting that the event may also be a natural, albeit very rare, sequela of the disease.

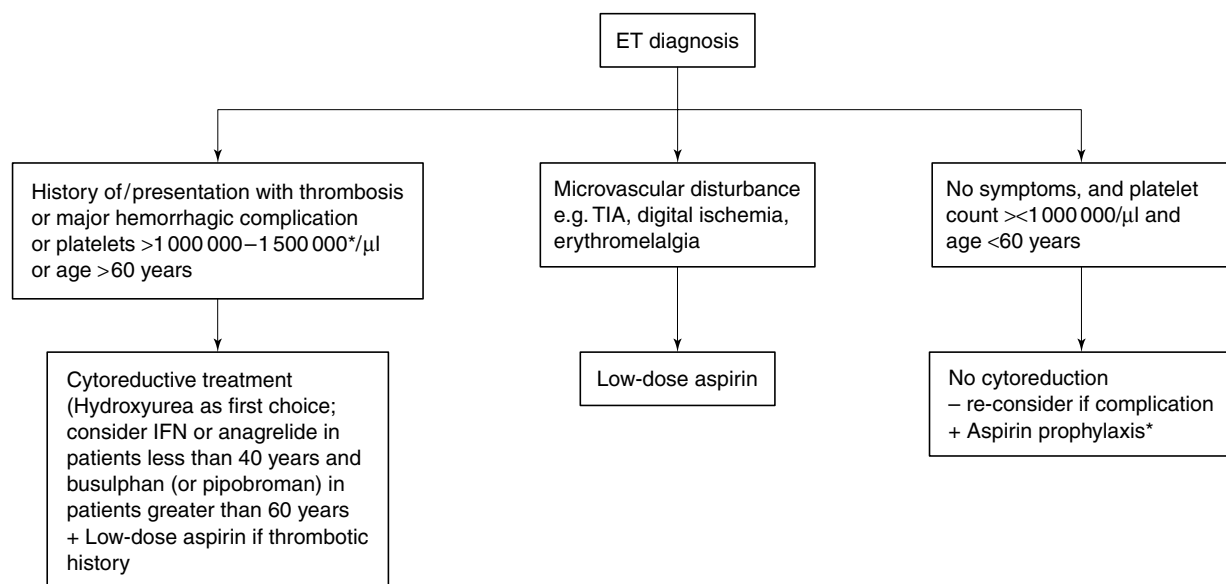


Fig. 41.2. Flowchart of recommended treatment for patients with essential thrombocythemia. Areas of uncertainty are marked with the asterisk (\*). IFN, interferon; TIA, transient ischemic attack.

## Treatment

The management of patients with ET is based on a careful evaluation for the presence or absence of risk factors for thrombohemorrhagic complication and should take into consideration the issue of potential leukemogenicity associated with myelosuppressive drugs (Table 41.3 and Fig. 41.2).

### Management of 'lower-risk' patients

The natural history of untreated, 'lower-risk' ET patients was evaluated in a prospective, controlled study<sup>109</sup>. Sixty-five ET patients with age below 60 years, no history of thrombosis or major bleeding and platelet count below  $1500 \times 10^9/l$  were compared to 65 age- and sex-matched normal controls. Patients were not treated with cytoreductive therapy, until the occurrence of major clinical events. After a median follow-up of 4.1 years, the incidence of thrombosis in patients and controls was 1.91 and 1.5% patient-year, respectively. The age and sex adjusted risk rate ratio was 1.43 (95% c.i. 0.37–5.4). No major bleeding was observed. This study indicates that the thrombotic risk of young, asymptomatic ET patients is not significantly increased compared to the normal population. These findings have been recently confirmed in another Italian cohort of 28 patients below 40 years of age followed for a median of 4 years<sup>100</sup>. However, it should be recognized that the number of patients included in these studies is relatively small and further data from large, currently ongoing clinical trials are warranted. Thrombotic deaths have been only occasionally reported in young patients with ET<sup>91</sup> and data showing that fatalities can be prevented by starting cytoreductive drugs early have not been produced. Therefore, one can conclude that, to date, withholding cytoreductive therapy might be justifiable in asymptomatic, young ET patients with a platelet count below  $1000 \times 10^9/l$ , based on their very low risk of developing fatal thrombotic or bleeding events and for the concern about the potential leukemogenicity of cytotoxic drugs (see below).

Having made this generalization concerning myelosuppressive therapy, it may be wise to propose a primary antithrombotic prophylaxis with aspirin for reducing the rate of vascular complications in these asymptomatic patients. Aspirin was found to be effective in ET patients with microvascular disturbances, such as erythromelalgia<sup>85</sup> or transient cerebral or ocular ischemia<sup>84</sup>. In the absence of contraindications and/or side effects, a dose of 100–300 mg/day is recommended in the acute management of these patients, and 75–100 mg/day are also recommended as secondary prophylaxis for major

arterial thrombosis<sup>45</sup>. However, in the absence of clinical trials in the specific setting of ET, this recommendation is largely based on the assumption that the use of platelet antiaggregating agents is associated with a risk reduction comparable to that achievable in patients not having ET. In prescribing antiaggregating agents one should consider the risk of bleeding, an issue particularly important in ET patients, who very often have platelet functional defects and a possible abnormal hypersensitivity to aspirin<sup>47,92</sup>.

### Management of 'high-risk' patients

Patients aged more than 60 years or with platelet count exceeding  $1500 \times 10^9/l$ , or with a previous history of thrombosis or major bleeding are candidates to receive a cytoreductive drug<sup>106,110</sup>.

### Hydroxyurea

Hydroxyurea (HU) has emerged as the treatment of choice in patients with ET because of its efficacy and only rare acute toxicity<sup>111</sup>. The drug is given at an initial dose of 15–20 mg/kg/day, with adjustments to maintain reduced platelet values, ideally to less than  $400 \times 10^9/l$  without excessive lowering of the neutrophil count. Treatment with HU has been shown to reduce the platelet count to below  $500 \times 10^9/l$  within 8 weeks in 80% of patients. Hematopoietic impairment, leading to neutropenia and macrocytic anaemia, is the major short-term toxic effect of HU. Neutropenia is dose related and generally quickly reversible if the drug is discontinued for a few days. Withdrawal is followed by a rebound of platelet counts, so that continuous treatment is necessary. Failure of HU to provide adequate control of the platelet count was reported in 11% to 17% of patients<sup>19,112</sup>. Additional side effects of the drug may include skin changes<sup>113,114</sup>, nail staining<sup>115</sup> and leg ulcerations<sup>114,116</sup>. In occasional patients, these dermatological symptoms may be severe enough to withdraw the drug.

Lowering the platelet count by HU is associated with significant improvement in acute ischemic or hemorrhagic symptoms. In a randomized clinical trial, the efficacy of HU in preventing thrombosis in ET patients aged >60 years, or with a history of previous thrombosis, or with a platelet count above  $1500 \times 10^9/l$  was clearly demonstrated<sup>117</sup>.

### Is hydroxyurea leukemogenic?

HU, being a non-alkylating agent, was generally thought to be non-mutagenic, but long-term follow-up studies of HU-treated patients with PV and ET revealed that some cases developed acute leukemia<sup>118–120</sup>. Even when used as a single agent in the treatment of PV and ET, the reported

rate of acute leukemia ranges between 3.5 and 10% and this fatal complication is encountered 4–10 years after the start of treatment<sup>121</sup>. In other clinical conditions, the use of HU was rarely associated with secondary malignancies. However, it should be mentioned that at least three patients with sickle cell disease treated with HU developed leukemia, after 6–8 years of treatment<sup>122</sup>.

The incidence of leukemic transformation is enhanced in patients with ET carrying cytogenetic abnormalities<sup>123</sup>. About 5% of ET patients have alterations, mostly involving chromosomes 1, 2, 5, 17, 20 and 21. The 17p deletion has been recently described in a high proportion of ET patients who developed acute myeloid leukemia and myelodysplasia following treatment with hydroxyurea<sup>120</sup>.

The importance of avoiding multiple cytotoxic drugs with different mechanisms of action (antimetabolites, alkylating agents) in ET patients has been emphasized<sup>19,38,124</sup>. In a follow-up study of the unique randomized clinical trial hitherto performed, 56 high-risk ET patients randomized to receive HU and 58 to receive no cytoreductive therapy have been observed for a median observation period of 73 months (range 3–94). Before randomization, 15 patients had been treated with busulphan. When analyzed by intention to treat, seven patients (13%) in the HU group developed secondary acute leukemia, myelodysplastic syndromes or solid tumours, but only one control (1.7%) ( $P=0.032$ ). The occurrence of secondary malignancies was also analysed by treatment: none of 20 patients never treated with chemotherapy developed neoplasia vs. 3 of 77 given HU only (3.9% n.s.) and 5 of 15 given busulfan plus HU (33%  $P<0.0001$ ). Thus, the sequential use of busulfan and HU significantly increased the risk of second malignancies<sup>38</sup>. These findings are consistent with those of other studies. Sterkers et al. reported 14% rate of leukemia when HU was combined with other cytotoxic agents, generally pipobroman<sup>120</sup>. Six cases of AML (21%) out of 28 ET patients treated with HU plus alkylating agents or 32P were observed by Murphy et al<sup>19</sup>.

The reason why sequential cytotoxic therapy is associated with an high rate of second malignancies is uncertain. One reason could be that an inadequate disease control with the first drug selects a subset of patients with a resistant disease and an increased tendency to develop leukemia. Murphy et al<sup>19</sup> suggested another possible explanation, that is more consistent with our data. The first myelosuppressive drug can act as a 'sensitizer' such that exposure to it followed by a 'second hit' is highly leukemogenic. Supporting this hypothesis, Sterkers et al<sup>120</sup> observed that any combination of cytotoxic agents was associated with an increased rate of second leukemia.

In conclusion, the definite proof that HU is leukemo-

genic is still lacking. However, there is increasing evidence that the drug may accelerate the progression to acute leukemia in predisposed patients, such as those with cytogenetic abnormalities<sup>120</sup> or given multiple cytotoxic drugs<sup>38</sup>.

### Busulphan

Busulphan is an alkylating agent interfering with the megakaryocyte proliferation<sup>125</sup>. It should be given in a dose of 2–4 mg daily, according to hematological response, under weekly control of platelet count. After normalization of the platelet count, adequate long-term control of thrombocytosis can be obtained with intermittent courses of the drug, allowing long intervals without the need for therapy. With this schedule, the secondary effects of the drug usually observed at higher doses, such as bone marrow aplasia, skin pigmentation, amenorrhea and pulmonary fibrosis, can be avoided<sup>125,126</sup>. Alkylating agents, such as chlorambucil, were associated with an excess incidence of malignancies in polycythemia vera<sup>127</sup>. Although busulphan was not found to induce leukemia or other cancers in PV and ET patients<sup>125,126,128</sup>, concerns about leukemogenicity suggest to limit its indication to elderly patients, where the convenience of its use outweighs the potential leukemogenic risk.

### Interferon

Recombinant interferon- $\alpha$  (IFN) is an active agent in myeloproliferative disorders with cytoreductive activity that is virtually devoid of mutagenic risk and is being used both in ET and PV<sup>129</sup>. The rationale for this drug includes its myelosuppressive activity and its ability to antagonize the action of platelet-derived growth-factor (PDGF), a product of megakaryopoiesis which initiates fibroblast proliferation. The precise mechanism of action, however, has not yet been fully elucidated. In ET patients, IFN has been evaluated in several cohort studies<sup>130</sup>. Overall results indicate that reduction of platelet count below  $600 \times 10^9/l$  can be obtained in about 90% of cases after about 3 months with an average dose of 3 million IU daily. Time and degree of the platelet reduction during the induction phase were dose dependent. During maintenance the IFN dose could be tapered, but if IFN is suspended platelet count rebounds in the majority of patients. IFN is not known to be teratogenic and it does not cross the placenta. Thus, it has been used successfully throughout pregnancy in some ET patients with no adverse fetal or maternal outcome.

Side effects are a major problem with this drug<sup>130–132</sup>. Fever and flu-like symptoms are experienced by most patients and usually require contemporaneous administration of paracetamol. Signs of chronic IFN toxicity, such as weakness, myalgia, weight and hair loss, severe depres-

sion and gastrointestinal and cardiovascular symptoms, necessitate drug cessation in a relevant proportion of patients. In 273 cases published in the literature<sup>130</sup>, IFN therapy was terminated in 25% (67 cases) against the primary treatment plan. The rate of withdrawal ranged between zero and 66% in the different studies. The wide range may be partly explained by the difference in the observation time lasting from 1 month to 4 years in the reported patients. The most common reasons for withdrawal were IFN-related side effects in 55% and patient refusal in 10%. Thus far, no leukemogenic effects have been reported. Therefore, despite high cost and toxicity, IFN remains a promising agent in cytoreductive treatment of ET, especially in younger patients.

A new interesting development is represented by the pegylated form of IFN<sup>133</sup>. This recent formulation may be one step forward because of its slower clearance, permitting once-weekly dosing. Clinical studies comparing IFN (also in its pegylated form) to HU or anagrelide are currently ongoing.

### **Anagrelide**

Anagrelide is a member of the imidazo(2,1-b)quinazolin-2-one series of compounds with an inhibitory activity on platelet aggregation in both humans and animals. In addition, it has in humans a species-specific platelet-lowering effect observed at dose levels lower than those required to inhibit platelet aggregation. Because of this, the drug has been tested in patients with clonal thrombocytosis and has been shown to have potent platelet reducing activity<sup>131,134</sup>. The mechanism whereby anagrelide reduces platelet count without affecting the white count or normally the red blood cells is not yet completely understood, but there are data showing that its major action is the inhibition of megakaryocytic maturation. No chromosomal damage has been reported in relation to its use. The efficacy of anagrelide in ET has been assessed in non-comparative clinical studies<sup>134</sup>. Response was defined as a platelet count  $<500$  or  $600 \times 10^9/l$  or a 50% drop in platelet count. A response rate of 60 to 93% was reported, irrespective of age, sex, spleen size, bleeding time, clinical symptoms or prior treatment. The average dose required to control platelet count was 2 to 2.5 mg per day and, in most cases, the median time for response was 3–4 weeks, although delayed responses of up to 24 months were described. Patients refractory to HU responded to anagrelide in 68% of cases. Continuous therapy is required because the platelet count rebounds in a few days after withdrawal of the drug.

The most serious complications of anagrelide are cardiac, including palpitations or forceful heart beats (27% of patients) tachycardia and other arrhythmias ( $<10\%$ )

and congestive heart failure (2%)<sup>131</sup>. In addition, the vasodilating effect of the drug is the underlying cause of headache, which is the more frequent side effect (occurring in more than one-third of patients), fluid retention or edema (24% of cases), dizziness (15%) and postural hypotension. Gastrointestinal complications (nausea, abdominal pain and diarrhea) and transient rash have been reported less frequently. Sudden death was observed in two patients given anagrelide: one death was related to a pulmonary infiltrate and the other to congestive heart failure. Overall, 16% of 424 evaluable thrombocytemic patients with MPDs, including 262 with ET, discontinued anagrelide treatment because of side effects. Leukemic transformation has not been observed for up to 55 months of treatment.

The long-term efficacy and safety of Anagrelide has been recently analysed in 35 young patients with ET (median age 38 yrs, range 17–48) followed in a single Institution for a median follow-up period of 10.8 years (range 7–15.5)<sup>135</sup>. The overall initial response rate was 94% and the reduction of platelet count was maintained in 66% of patients over the study period. Eight patients (24%) experience a more than 3 g/dl decrease in hemoglobin level and three (9%) discontinued treatment because of toxicity. Most importantly, 7 patients (20%) experienced a total of 10 thrombotic episodes, while on therapy, and a similar proportion experienced major bleeding complications. None of the patients developed acute leukemia. Thus, long-term therapy with anagrelide appears to be relatively well tolerated but thrombohemorrhagic complications continue to occur. The final place of this drug in the therapeutic strategy of ET patients remains to be established in controlled clinical trials, such as the PT1 trial currently ongoing in UK and Germany.

### **Special situations (pregnancy, surgery, children)**

Some special clinical situations in ET patients deserve consideration. Approximately 50% of pregnancies develop obstetric complications, including recurrent abortion, particularly in the first trimester, premature delivery, fetal growth retardation, and abruptio placenta<sup>136</sup>. The likely mechanism of these complications is placental infarction, so that some authors recommend the use of aspirin<sup>137</sup> or subcutaneous heparin<sup>138</sup>, particularly in individuals who have had previous fetal losses. By reviewing the relevant literature, it would appear that aspirin was associated with a more successful outcome of pregnancy than in those managed without the drug<sup>137</sup>. Thus, aspirin, 75 mg/day, throughout the pregnancy and for at least 6 weeks after delivery is recommended in all women enrolled in a prospective, follow-up study currently ongoing in UK (T.C.

Pearson, personal communication). However, in a single institutional experience from Mayo Clinic<sup>139</sup>, specific therapy during 34 pregnancies did not appear to modify the clinical outcome. In symptomatic patients, the use of  $\alpha$ -interferon has been proposed<sup>140</sup> even though only very few anecdotal reports support this recommendation. Thus, some uncertainty still remains in the management of pregnant patients with ET and we recommend considering therapy on an individual basis.

Considering major surgery, patients with uncontrolled PV and ET have an increased risk of thrombosis and hemorrhage in the postoperative period. Based on this rationale, a course of myelosuppressive therapy to lower the platelet count of patients with MPD should be considered prior to elective surgery. Myelosuppressive therapy is particularly indicated in patients with myeloproliferative disorders who require splenectomy, since such patients may have severe thrombo-haemorrhagic complications associated with massive postsplenectomy thrombocytosis.

ET may be observed in children<sup>141–144</sup>. These very young patients are generally characterized by a persistently elevated platelet count above  $900 \times 10^9/l$  and frequent headache, thrombosis and hemorrhagic episodes often leading to the discovery of the disease. There is some uncertainty to consider these thrombocytoses as 'true' myeloproliferative diseases and the term 'idiopathic thrombocytosis' has been suggested to emphasize this point. A careful long-term follow-up of all these cases is recommended to answer this question and establish the natural history of ET beginning in childhood.

### Thrombocytosis in polycythemia vera and other MPDs

Increased platelet count is a frequent finding in PV and is currently included among the criteria for diagnosis<sup>145</sup>. Whether thrombocytosis should be considered as a risk factor for thrombosis is uncertain, however<sup>146</sup>. In a nested case-control analysis performed in the setting of the PVSG-01 protocol<sup>147</sup>, each patient who suffered a thrombosis was matched with a thrombosis-free control of similar age, sex, treatment group and duration of study. Platelet counts at the nearest time before the thrombotic events of the index cases were not significantly different from those of the matched controls, suggesting that the level of the platelet count itself is not responsible for thrombosis.

Yet many clinicians believe that lowering of the platelet count in patients with PV leads to a reduction in thrombotic complications. This is supported by the finding that myelosuppressive therapy is associated with fewer throm-

botic complications than therapy with phlebotomy alone<sup>127</sup>. However, one must ask whether myelosuppressive therapy reduces the incidence of thrombosis because of reduction of platelet count or whether thrombosis results from some other aspect of PV which is yet ill defined. In this regard, a recent study showed that in ET and PV an in vivo activation of the polymorphonuclear leukocytes occurs and is associated with laboratory signs of endothelium and coagulation system activation<sup>148</sup>. Nevertheless, there is no information, to date, on the effect of myelosuppressive therapy on this pathophysiologic mechanism.

Another important issue relates to the potential profibrotic effect of uncontrolled thrombocytosis in ET and other MPDs, driven by platelet-derived growth factor (PDGF) and other cytokines produced by platelets and megakaryocytes. In a French trial comparing hydroxyurea and pipobroman for the treatment of PV, a significant increase in the risk of progression to myelofibrosis was seen in the HU-treated patients<sup>149</sup>. Three-quarters of the patients developing myelofibrosis had permanently raised platelet counts ( $> 400 \times 10^9/l$ ), particularly in the HU arm.

In idiopathic myelofibrosis, elevated platelet count is frequently observed after splenectomy, when major thrombotic and bleeding complications are more likely to occur<sup>111</sup>. In these cases, the risk of perioperative thrombosis may be reduced by judicious prophylactic use of drugs that lower the platelet count<sup>150</sup>.

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# Platelet adhesive protein defect disorders

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## Introduction

The investigation of platelet defects causing bleeding disorders has been an important step in determining the roles of the major glycoproteins in platelet function. In particular, Bernard–Soulier syndrome and Glanzmann's thrombasthenia have provided the basis for studying the function of the GPIb complex and  $\alpha_{\text{IIb}}\beta_3$ , respectively. More recently, some generally milder bleeding disorders have been related to the absence or deficiency of other platelet receptors. With the development of techniques to produce mice in which specific gene expression has been deleted, these defects can also be reproduced and can be corrected by gene therapy. Have the disorders in humans still lessons to teach us? Probably the main diseases have already been discovered but we cannot exclude that a detailed screening of patients with mild, platelet related, bleeding disorders might disclose defects in previously unknown minor platelet receptors or new mutations with different effects in known receptors. Many patients with mild bleeding problems related to platelets are seen in hematology departments and cannot yet be diagnosed at a molecular level. Achieving the ability to diagnose the defects in these patients is still a major goal in platelet studies and may be amenable to proteomics approaches. Until X-ray crystallographic structures are available for the GPIb complex and  $\alpha_{\text{IIb}}\beta_3$ , information provided by the molecular diagnosis of Bernard–Soulier syndrome and Glanzmann's thrombasthenia will continue to provide important data on structure–functional relationships.

## GPIb-V-IX complex

### Bernard–Soulier syndrome

Bernard–Soulier syndrome (BSS) is caused by genetic defects in the genes of GPIb $\alpha$ , GPIb $\beta$  or GPIX<sup>1–3</sup>. First reported in 1948<sup>4</sup> the molecular diagnosis of this disorder began in the 1970s<sup>5,6</sup> with the discovery of the major platelet glycoprotein GPIb, later shown to consist of two subunits<sup>7</sup>. The involvement of two other components, GPIX and GPV, in BSS and also in the GPIb complex, was demonstrated in the early 1980s<sup>8,9</sup>. Absence or defects in the fourth component of the complex, GPV<sup>10</sup>, do not lead to BSS<sup>11</sup> and expression of GPV is not essential for the expression of the other three subunits although expression of GPV depends on the expression of the other subunits. Recent studies with GPV-null mice indicate that a defect in GPV might rather lead to a thrombotic disorder than a bleeding disorder<sup>12,13</sup>. Patients with BSS have a prolonged bleeding time, large, often giant, platelets and thrombocytopenia<sup>14,15</sup>. In fact, because of the size of the platelets, the total platelet mass may be normal. Platelet counts as well as platelet size vary considerably between different cases. The characteristic platelet defect in BSS is lack of response to ristocetin/von Willebrand factor, which is not corrected by addition of normal plasma, while responses to other agonists, with the exception of low doses of thrombin, are normal. The inheritance of BSS is normally autosomal recessive and when homozygous is often due to consanguinity, only one case has been described as autosomal dominant<sup>16</sup>. Typical symptoms include nose bleeds, bleeding from the gums and from minor scratches as well as a noticeable tendency to bruise easily. Severe, life-threatening, bleeding is associated with surgery or with major trauma and is normally controlled by platelet or

blood transfusions. In the past BSS was often misdiagnosed as idiopathic thrombocytopenia purpura and treated by splenectomy or steroids. Most older patients have undergone a splenectomy. Women with BSS require special attention because of menorrhagia and childbirth. Associated problems may be prevented by oral contraceptives and appropriate transfusions, respectively. Nowadays, most patients, in taking adequate precautions, can live relatively normal lives. Members of patients' families who are heterozygous for BSS normally show few, if any, symptoms. Occasionally, they have been reported to have larger than normal platelets or to show some mild signs such as easy bruising<sup>17</sup> but not all heterozygotes have these and it is not clear if these symptoms are only apparent because of a combination with a further partial defect, e.g. in a coagulation factor (however, see the A156V mutation, below).

In addition to their large size and lack of binding to vWf, platelets from patients with BSS show some further characteristics; they have a reduced response to low doses of thrombin<sup>18</sup> and they have abnormal procoagulant responses<sup>19</sup>. On the one hand, they have an increased resting procoagulant state and on the other they do not show a normal increase in procoagulant activity after stimulation. These phenomena have been at least partly explained by a recent series of studies showing a critical role for GPIIb/IIIa in the platelet response to thrombin in general<sup>20,21</sup> and in the procoagulant response in particular<sup>22</sup>. The reason for the large platelets is still not satisfactorily explained. An obvious possibility that has been proposed several times is a reduced association between the membrane and the submembranous cytoskeleton which might also explain the increased membrane deformability found in BSS platelets<sup>23</sup>.

The basic types of BSS are less varied than in Glanzmann's thrombasthenia (GT) because most molecular defects lead to lack of expression of the complex rather than to expression of a dysfunctional complex. Identified mutations or deletions affecting GPIIb/IIIa are listed in Table 42.1 and those affecting GPIIb/IIIb and GPIIX in Table 42.2. Molecular defects causing BSS can be classed as:

(i) Glycoproteins totally absent – caused by frame shifts, deletions and folding defects in any of the three chains GPIIb/IIIa, GPIIb/IIIb, or IX followed by a failure to form a complex or of the complex to translocate to the membrane. Major categories within this type are caused by frame shifts or deletions in any of the three chains leading to premature termination and therefore lack of the transmembrane domain and production of soluble fragments. Typical examples in GPIIb/IIIa are: deletion of T in codon 76 leading to frame shift and premature truncation<sup>24</sup>; W343stop<sup>25</sup>;

S444stop<sup>26</sup>; W498stop<sup>27</sup>; one base deletion leading to frame shift and premature truncation<sup>28</sup>; one base deletion in codon 19 leading to frame shift and premature truncation at codon 21<sup>29</sup>; two base deletion at 972–975 of gene leading to frame shift after T294 and premature termination<sup>30</sup>; base insertion at 1418, and deletion at 1438–1444 both leading to frame shift and premature termination<sup>30</sup>; deletion of two bases of codon 492 producing a truncated molecule detectable in plasma<sup>31</sup>. In GPIIX these are W126stop<sup>28,32</sup> and in GPIIb/IIIb a base deletion in A80 resulting in a frame shift and premature termination<sup>33</sup> as well as a W21stop mutation<sup>34</sup>.

(ii) Variants of these may be mutations in or near the transmembrane region leading to frame shifts within this domain or in the cytoplasmic domain. These can lead to partial expression of functional or dysfunctional molecules. Folding problems can also lead to failure to translocate to the membrane. Such problems are often caused by mutations leading to replacement of cysteine residues or to the introduction of abnormal cysteine residues (Fig. 42.1). These types of mutations have been seen in all three subunits, for example in GPIIb/IIIa C209S<sup>35</sup>, in GPIIX C8R<sup>36</sup> C73Y<sup>37</sup>, C97Y<sup>38</sup> and in GPIIb/IIIb Y88C<sup>39</sup>. Folding problems are also typically caused by mutations within the leucine-rich repeat (LRR) domains (Fig. 42.1). Examples in GPIIb/IIIa are L129P<sup>40</sup>, in GPIIX L40P<sup>41</sup>, N45S<sup>17,42</sup>, F55S<sup>43</sup> and in GPIIb/IIIb N63T<sup>44</sup>. This last mutation in GPIIb/IIIb is particularly significant in confirming the presence of two LRR in this subunit (and most likely in GPIIX also) as predicted by protein modelling, and not one LRR with 'flanking domains' which would not fold stably. The N45S mutation is particularly common in populations of northern European origin, e.g.<sup>45</sup> and has also been found in many unpublished cases.

(iii) There are a few mutations in the LRR domains that appear to affect folding less radically and result in partial expression of a molecule, which does not function normally. The cases so far described of these variants were all mutations of GPIIb/IIIa: L57F<sup>16</sup>, A156V<sup>46</sup>, L179 deletion<sup>47</sup>. The A156V mutation<sup>48</sup> is particularly common in southern Italian populations and, like L57F, shows autosomal dominant inheritance characteristics. It should be noted that most of the mutations leading to single amino acid substitutions in the LRR domains causing BSS are in the  $\beta$ -sheet part of the repeat (see Fig. 42.1). An exception to this is F55S in GPIIX. Presumably the  $\alpha$ -helix is more forgiving of single amino acid substitutions. A few other cases of BSS are known where the mutations lie outside these domains or defects. These include mutations within the disulfide loops at each end of the LRR, again presumably causing problems by preventing the loops from folding correctly. In GPIIb/IIIa mutations in the C-terminal loops can lead to

**Table 42.1.** Mutations in GPIb $\alpha$  leading to Bernard–Soulier syndrome or pseudo-von Willebrand disease

Exon	Mutation	Phenotype	AA substitution	Genotype	Patient	Ref
<i>Bernard–Soulier syndrome</i>						
1	103delA	Del: out of frame	Premature termination	Homozygote	GA	29
1	165–168del	Del: out of frame	Premature termination	Compound heterozygote	Houston	31
1	217C>T	Missense	L57F	Heterozygote	NY Family	16
1	241T>C	Missense	C65R	Compound heterozygote	Iceland	113
1	275delT	Del: out of frame	Premature termination	Homozygote	Amsterdam	24
1	434T>C	Missense	L129P	Homozygote	TH, AJ	40
1	515C>T	Missense	A156V	Homozygote? Heterozygote	Bolzano	46,114
1	554–589del	Del: in frame	169–180del	Compound heterozygote	Naples	115
1	583–585del	Del: In frame	L179del	Homozygote	Nancy-1	116
1	673T>A	Missense	C209S	Homozygote	ML	35
1	930–931del	Del: out of frame	Premature termination	Homozygote	Fukuoka	30
1	1077G>T	Nonsense	W343X	Compound heterozygote	La Jolla	25
1	1379C>A	Nonsense	S444X	Homozygote	Kagoshima	117
1	1376insT	Ins: out of frame	Premature termination	Compound heterozygote	Fukuoka	30
1	1396–1402delA	Del: out of frame	Premature termination	Homozygote	Fukuoka	28,30
1	1523–1524del	Del: out of frame	Premature termination	Homozygote	Texas	118
1	1542G>A	Nonsense	W498X	Compound heterozygote	Iceland	27,113
<i>Pseudo- (or Platelet-type) von Willebrand's disease</i>						
1	746G>T	Missense	G233V	Heterozygote	Syracuse AR,TR,Mar	51
1	763A>T	Missense	M239V	Heterozygote	NagoyaPT	52,119

**Table 42.2.** Mutations in GPIIb $\beta$  and GPIX leading to Bernard–Soulier syndrome

Exon	Mutation	Phenotype	AA substitution	Genotype	Patient	Ref
<i>GPIIb<math>\beta</math></i>						
2	133>G GATA Site	No transcript		Compound heterozygote	DiGeorgePA	50
2	296C>G	Missense	P74R	Homozygote	HaT	49
2	338A>G	Missense	Y88C	Compound heterozygote	AK	39
2	397G>C	Missense	A108P	Compound heterozygote	AK	39
<i>GPIX</i>						
2	70T>C	Missense	C8R	Homozygote	Pakistan/MD	36
2	110A>G	Missense	D21G	Compound heterozygote	II-3,II-5,II-6	42
2	167T>C	Missense	L40P	Homozygote	Pavia-II	41
2	182A>G	Missense	N45S	Compound heterozygote Homozygote	II-3,II-5,II-6 WK, Finnish	42 17,45
2	212T>C	Missense	F55S	Homozygote	Pavia-I	43
2	266G>A	Missense	C73Y	Homozygote	HiroshimaIX	37
2	338G>A	Missense	C97Y	Homozygote	Nagoya-II	38
2	426G>A	Nonsense	W126X	Homozygote	Nagoya-I	120

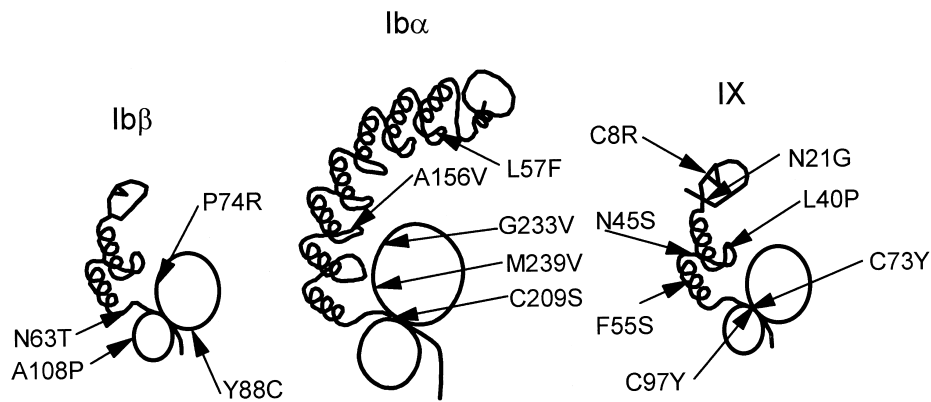


Fig. 42.1. Diagram of the outer, ligand-binding domains of GPIb $\alpha$ , GPIb $\beta$  and GPIIX, consisting of leucine-rich repeats and disulfide bond linked loops, and indicating the position of amino acid substitution mutations involved in Bernard–Soulier syndrome and platelet-type von Willebrand disease.

platelet-type von Willebrand disease (see below). In GPIIX mutations are found in N21G<sup>42</sup> and in GPIb $\beta$  in P74R<sup>49</sup>, Y88C and A108P<sup>39</sup>. These last two mutations were characterised by the lack of disulfide bond formation between GPIb $\alpha$  and GPIb $\beta$  in most of the GPIb molecules. No functionally related mutations have so far been described within the macroglycopeptide region of GPIb $\alpha$  or in the region between the LRR C-terminal disulphide loops and the membrane surface in GPIb $\beta$  or GPIIX.

(iv) All of the mutations listed above cause the disorder by inheritance in a recessive mode. The only example described as being dominant was a L57F mutation present in one allele of GPIb $\alpha$ <sup>16</sup>. More recently, the A156V mutation has been shown to have some autosomal dominant inheritance characteristics for a mild to moderate bleeding problem and larger than normal platelets as well as thrombocytopenia<sup>48</sup>. Since the other allele is normal in these patients, the phenotype could only be explained if a defective, expressed GPIb $\alpha$  interferes with the function of the normal molecule. No satisfactory mechanism to explain this has been suggested yet.

(v) Mutations within the promoter region may also affect expression levels leading to BSS. A typical example is a mutation in the GATA-1 sequence of the GPIb $\beta$  promoter in one allele which, combined with deletion of the other allele in DiGeorge syndrome, reduced expression of the complex to produce BSS<sup>50</sup>.

Although still a rare disease and less common than GT, BSS is probably at least 2–3 times more common (2–3 cases per 1 000 000 population) than once thought. One reason for this is that recurrent mutations are not sufficiently novel to be published in the literature and are therefore underreported. An approach to solving this problem will

be to provide a registry as has been done for GT (see below) to be able to collect information on all patients.

#### Platelet-type (or pseudo) von Willebrand's disease

Platelet-type von Willebrand's disease (vWd) is a dominant inherited bleeding disorder caused by mutations in GPIb $\alpha$  leading to an increased avidity for vWf in the resting platelet. This leads to platelet activation and aggregation, removal from the circulation by the spleen and periodic thrombocytopenia. Because the most active, larger multimers of vWf are preferentially removed, this causes defective hemostasis and hence bleeding risk. So far, two naturally occurring mutations, G233V<sup>51</sup> and M239V<sup>52,53</sup>, leading to this disorder have been found (Table 42.1), both lying within the complex double-loop region between the LRR domain and the macroglycopeptide domain (Fig. 42.1). In vitro mutagenesis and expression of recombinant molecules have indicated that other mutations within this domain could also lead to changes in resting avidity<sup>54</sup> so that it remains possible that some of these mutations will be detected in vivo in the future. Nevertheless, it must be stressed that this is an extremely rare disorder probably because of the life threatening bleeding which is associated with it, which may well have been an evolutionary handicap in the past. Platelet-type vWd is generally treated very conservatively. Some cases may have been diagnosed as idiopathic thrombocytopenia purpura or as Type IIB vWd and it is important to differentiate these.



### Size polymorphisms in GPIb $\alpha$ and their relation to bleeding disorders

At least three patients are known who have mild bleeding disorders related to size variation in GPIb $\alpha$ <sup>55–57</sup>. All three of these showed two bands for GPIb on polyacrylamide gels with an abnormally high upper band compared to the known size polymorphisms. However, only one of these patients has been investigated in any detail. Polymorphisms in the size of GPIb $\alpha$  are due to different repeats of a 13-amino acid sequence present in the macroglycopeptide domain<sup>58</sup>. The commonest of these are the single copy or the duplicate but triple and quadruple repeats are also known from European and East Asian populations<sup>59</sup>, respectively. More recently, a variant where this repeat is completely missing has also been described<sup>60</sup>. In the patient with the bleeding problem one of the alleles for GPIb $\alpha$  is normal whereas the other has six copies of the 13 amino acid repeat. The disorder is inherited in a dominant pattern and appears to be linked to the size polymorphism. It is not clear why this leads to a bleeding problem since the GPIb binding sites should stick out more from the membrane. Possible reasons might be the discordance in size between the normal and abnormal GPIb molecules affecting efficient binding to vWf. There have been several studies examining a possible role of size polymorphisms in GPIb $\alpha$  in cardiovascular disease<sup>61–64</sup> but no clear tendency has emerged unlike the situation with other platelet receptors.

### $\alpha_{\text{IIb}}\beta_3/\alpha_{\text{v}}\beta_3$

#### Glanzmann's thrombasthenia

Glanzmann's thrombasthenia (GT) is at the same time the commonest and among the most complex of disorders caused by defects in platelet adhesive receptors. It was the first such bleeding disorder to be described in 1918<sup>65</sup> and a molecular explanation had to wait until 1974–5 when the glycoproteins called GPIIb–IIIa were first implicated<sup>66,67</sup>. It is now clear that this disorder is caused by a defect or lack of expression in these two glycoproteins forming the integrin  $\alpha_{\text{IIb}}\beta_3$ . This disorder is characterized by a lack or reduction in platelet aggregation to all agonists. A further typical symptom is the lack of fibrinogen in the platelet alpha granules in the extreme form of this disease. As in BSS the problem can be caused by mutations or deletions, which prevent expression of one or the other of the subunits by premature termination before the transmembrane region but there are also a great many variants with different levels of expression caused by mutations which also affect

folding<sup>15,68</sup>. Both homozygous as well as compound heterozygous mutations have been reported. Unlike BSS, because of the larger number of introns/exons making up the  $\alpha_{\text{IIb}}$  and  $\beta_3$  genes, splicing errors also contribute to the known defects. Identified molecular defects in the gene for GPIIb are listed in Table 42.3 and those in GPIIIa in Table 42.4. Although there is still no X-ray crystallographic structure available for  $\alpha_{\text{IIb}}\beta_3$ , computer modelling by homology with structures available from other integrins starts to give some insight into a general structure for  $\alpha_{\text{IIb}}\beta_3$  and how it might function<sup>69</sup>. Thus, many of the mutations in  $\alpha_{\text{IIb}}$  that lead to absence of expression or to defective function while partial expression of the complex is maintained are in a seven blade  $\beta$ -propeller structure<sup>70</sup> which is predicted to be the ligand binding site of this subunit (Fig. 42.2). Some of these involve calcium binding domains which are in the fourth to seventh blades of the propeller. The mutations often change the charge of a residue. These mutations include G273D (numbering based on the mature protein), before the first calcium binding domain<sup>71</sup>, E324K<sup>72</sup> and R327H<sup>73</sup> between the second and third calcium-binding domains, G418D before the fourth calcium-binding domain<sup>74</sup> and a V425D426 deletion at the beginning of the fourth calcium-binding domain<sup>75</sup>. A cluster of mutations (P145A, P145L<sup>76</sup>, T176I<sup>77</sup> and L183P<sup>78</sup>) lie in the third blade of the propeller implicated to participate in ligand-binding and cause receptor dysfunction. A further engineered mutation, D224V, in a connecting strand within this structural domain also led to GT<sup>79</sup>.

While  $\alpha_{\text{IIb}}$  subunit expression is restricted to  $\alpha_{\text{IIb}}\beta_3$  and mutations and deletions of this subunit only affect this integrin, mutations and deletions of the  $\beta_3$  subunit affect both  $\alpha_{\text{IIb}}\beta_3$  and  $\alpha_{\text{v}}\beta_3$ . A large number of mutations and deletions lead to frame shifts and premature termination and hence to failure to express the integrin complex. One might expect that patients with GT caused by  $\beta_3$  defects could show additional problems. In a mouse model, where the  $\beta_3$  gene was ablated, an osteosclerotic phenotype was observed in ageing mice with marked bone thickening, presumably due to loss of  $\alpha_{\text{v}}\beta_3$  on osteoclasts<sup>80</sup>. No additional effects on platelet function or on angiogenesis were detected. Within the  $\beta_3$  subunit two major structural units have been identified. One of these is the cation-binding domain, classed as a MIDAS structure or I-domain-like structure<sup>81</sup> and the other is the region rich in disulfide bonds recently recognized as having a protein disulfide isomerase function<sup>82</sup>. Within the MIDAS domain eight point mutations leading to amino acid replacements causing GT have been identified (Fig. 42.3). Two of these, D119Y<sup>83</sup> and D119N<sup>84</sup> are in the DXSXS motif, which is highly conserved. Three mutations, R214W<sup>85</sup>, R214Q<sup>86</sup> and

**Table 42.3.** Mutations in GPIIb leading to Glanzmann's thrombasthenia

Exon	Mutation	Phenotype	RNA splicing	AA substitution	Genotype	Patient	Ref
1	IVS1-9del4.5kb	Del: out of frame	Alternative	Premature termination	Homozygote	KW	121
2	288delC	Del: out of frame		Premature termination	Homozygote	Spain-2	122
4	IVS3(-3)-418del	Del: In frame	Alternative	A(106)-Q(111)del	Homozygote	Arab	123
4	480C>G	Del: in frame	Alternative	S(129)-S(161)del	Compound heterozygote	CW	124
4	526C>G	Missense		P176A(P145A)	Compound heterozygote	JF	76
4	526C>T	Missense		P176A(P145A)	Homozygote	Mennonite	76
4	527C>T	Missense		P176L(P145L)	Compound heterozygote	Chinese-14	76
5	IVS5(+2)C>A	Ins: out of frame	Alternative	Premature termination	Compound heterozygote	Spain-1	125
5	575-580ins	Ins: in frame		R192T193(R161T162)	Homozygote	KO	126
5	620C>T	Missense		T207I(T176I)	Homozygote	Frankfurt I	127
6	641T>C	Missense		L214P(L183P)	Homozygote	LW	78
8	818G>A	Missense		G273D(G242D)	Homozygote	FLD	71
11	959T>C	Missense		F320S(F289S)	Compound heterozygote	Japanese-1	128
12	1063G>A	Missense		E355K(E324K)	Homozygote/ Compound heterozygote	FL Japanese-2/Swiss	129 72
12	1073G>A	Missense		R358H(R327H)	Homozygote	KJ/Mila-1	73
13	1346G>A	Missense		G449D(G418D)	Homozygote	LM	74
13	1366-1371del	Del: in frame		V(425)D(426)del	Compound heterozygote	LeM	75
14	1413C>G	Nonsense		Y471X(Y440X)	Compound heterozygote	DV/SV	130
15	IVS15(+1)G>A	Del: out of frame	Alternative	Premature termination	Homozygote	Gypsy	131
16	IVS15(-1)del	Unknown			Compound heterozygote	Chinese-14	76
17	1750C>T	Nonsense		R584X(R553X)		Chinese-10/CW/Family II Family L/MO/SK	124 132,133
18	IVS17(-1)G>A	Del: in frame	Alternative	D(585)-Q(626)del	Compound heterozygote	MS	134
18	1787T>C	Missense		I596T(I565T)	Compound heterozygote	CG/Swiss	72
20	IVS19(-2)A>G	Del: out of frame	Alternative	Premature termination	Homozygote	I-J3	135
21	2113T>C	Missense		C705R(C674R)	Compound heterozygote	Spain-1	125
23	2333A>C	Missense		Q778P(Q747P)	Compound heterozygote	Japanese-3/-4/MS/MT	128
25	2473-2478del/ins	Del/ins: in frame	Alternative	L(786)-(795)del > ins	Homozygote	Iran-Jewish	136
26	IVS25(-3)C>G	Del: in frame	Alternative	V868-V909del	Compound heterozygote	SK	133
26	2609C>A	Nonsense		S901X(S870X)	Homozygote	SS	137
28	2929C>T	Nonsense		R977X(R946X)	Compound heterozygote	JF	76
28	2941C>T	Nonsense	Alternative	Q(950X) > P(917-950)del	Compound heterozygote	NR	138
29	IVS29(+2)T>C	Del: in frame	Alternative	V(951)-K(989)del	Compound heterozygote	MC	124
29	3015insG	Ins: out of frame		Frameshift	Compound heterozygote	DV/SV	130
30	IVS29(+2)T>C	Del: in frame	Alternative	V(951)-K(989)del	Compound heterozygote	CG	124
30	3077G>A	Missense		R1026Q(R995Q)	Compound heterozygote	AP	139
30	3094TGins	Ins: out of frame		Frameshift	Compound heterozygote	MC	124

**Table 42.4.** Mutations in GPIIIa leading to Glanzmann's thrombasthenia

Exon	Mutation	Phenotype	RNA splicing	AA substitution	Genotype	Patient	Ref
1	IVS1-5Aluinv15kb + IVS1del11kb	Inv/del		No Transcript	Compound heterozygote	GT3	140,141
2	IVS2(+1)G>T	Del: out of frame	Alternative	Premature termination	Homozygote	Amsterdam I	142
3	262C>T	Missense		R88X(R62X)	Homozygote	Family I	143
4	428T>G	Missense		L143W(L117W)	Homozygote	MK	88
4	433G>A	Missense		D145N(D119N)	Homozygote	NR-1	84
4	433G>T	Missense		D145Y(D119Y)	Homozygote	Cam	83
4	563C>T	Missense		S188L(S162L)	Homozygote	BL	89
5	IVS5(+1)G>A	Del/ins: out of frame	Alternative	Premature termination	Compound heterozygote	GT3	140
5	718C>T	Missense		R240W(R214W)	Homozygote	CM/Strasbourg I	85
5	719G>A	Missense		R240Q(R214Q)	Homozygote	ET	144,145
5	725G>A	Missense		R242Q(R216Q)	Homozygote	SH	87
6	847delGC	Del: out of frame		Premature termination	Compound heterozygote	LD	90
6	863T>C	Missense		L288P(L262P)	Compound heterozygote	LD	90
6	917A>C	Missense		H306P(H280P)	Compound heterozygote	HJ/NT/TK	146
8	1053-1058del	Del/ins: in frame		351-353del M351ins	Homozygote	HS	147
9	IVS9Alu-2163 or 2166del11.2kb	Del: out of frame		Premature termination	Homozygote	IJ2	148
9	IVS9ins3-4kb	Ins: out of frame		No transcript	Homozygote	CB	149
9	1199G>A	Missense		C400Y(C374Y)	Homozygote	Chinese 20	91
9	1260G-A + 1143C>A	Del/ins: in frame	Alternative	K(350)-S(396)del/V(350)S(351)ins	Homozygote	RS	150
11	1702T>C	Missense		C568R(C542R)	Homozygote	I.M.(Swiss II)	92
11	1757G>T	Missense		C586F(C560F)	Compound heterozygote	HJ	146
11	1791delT	Del: out of frame		No transcript	Compound heterozygote	RM	93
11	1813G>A	Missense		G605S(G579S)	Compound heterozygote	TK	146
12	1924G>T	Nonsense		E642X(E616X)	Homozygote	Spain	151
13	2031-2041del	Del: out of frame		Premature termination	Homozygote	IJ1	123
14	2248C>T	Missense		R750X(R724X)	Compound heterozygote	RM	93
15	2332T>C	Missense		S778P(S752P)	Compound heterozygote	P/Paris I	94

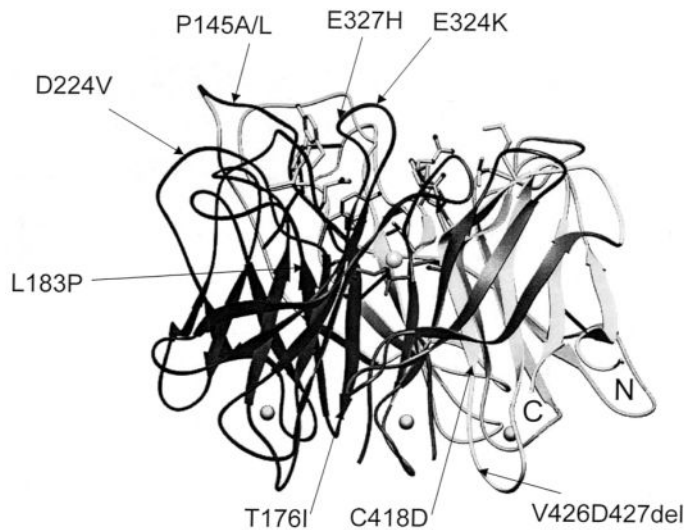


Fig. 42.2. Model of the outer domain of the  $\alpha_{IIb}$  subunit as a  $\beta$ -propeller, indicating the position of amino acid substitutions/deletions involved in Glanzmann's thrombasthenia. This domain is predicted to be the ligand binding region of the IIb subunit. There are seven blades, consisting of antiparallel  $\beta$ -folds connected by loops, which include four calcium binding domains towards the bottom of the structure.

R216Q<sup>87</sup> are near cation coordinating sites, and three mutations, D117W<sup>88</sup>, S162L<sup>89</sup> and L262P<sup>90</sup> are in the MIDAS structural unit. It is interesting that while the mutations D119Y and D119N affect function but have little effect on expression that at D117W prevents surface expression. The mutations near the cation coordinating sites, R214W, R214Q and R216Q, increase susceptibility of  $\alpha_{IIb}\beta_3$  complexes containing these mutated  $\beta_3$  subunits to dissociation by calcium chelating agents. The mutations at S162L and L262P cause both reduced surface expression (~30%) as well as increased sensitivity of  $\alpha_{IIb}\beta_3$  to dissociation by calcium. The S162L mutation results in a complex which does not bind fibrinogen but does support clot retraction, implying that the complex recognizes fibrin. The recently described L262P mutation caused reduced expression and easily dissociated complexes. Again, cells expressing the mutant complex were able to sustain clot retraction while failing to bind to immobilized fibrinogen. Mutations in cysteine residues within the  $\beta_3$  subunit are also critical for folding and complex formation. These include C374Y<sup>91</sup> and C457R<sup>15</sup> which lead to decreased amounts of expression and C542R<sup>92</sup> where no surface expression was detected.

A great deal of interest has been raised by mutations in the cytoplasmic domain of  $\beta_3$  which affect inside-out sig-

nalling and activation of the receptor for ligand binding. One of these in the codon for R724 to a nonsense triplet causes premature termination and deletion of the C-terminal 39 amino acids<sup>93</sup>. Another is an S752P mutation<sup>94</sup>. Although platelets from both patients express  $\alpha_{IIb}\beta_3$  they do not aggregate in response to platelet agonists. Nevertheless, they adhere to immobilized fibrinogen but do not spread on this surface. These mutations do not affect fibrinogen uptake suggesting that outside-in signalling via  $\alpha_{IIb}\beta_3$  is not necessary for this function.

GT is the commonest of the platelet receptor related disorders and one of the best documented. An Internet database has been established with data on patients and the possibility of contributing new data (<http://med.mssm.edu/glanzmanndb>). It is to be hoped that, in the future, this will be extended to cover other platelet-related defects.

### $\alpha_2\beta_1$ deficiency

In the 1980s two patients were described with mild bleeding problems related to a deficient expression of  $\alpha_2\beta_1$ <sup>95,96</sup>. These patients had a defective response to collagen but not to other agonists and both surface labelling studies as well as two-dimensional gel electrophoresis studies demonstrated low levels or absent  $\alpha_2\beta_1$ . In one case further studies showed decreased adhesion to vascular subendothelium as well as poor activation and spreading. Both patients were women and one passed through the menopause soon after these studies which was linked to a recovery of responses to collagen. The other was younger at that time, but has also recently passed the menopause and also showed a recovery of platelet function. It is also seems likely that both these patients started to show symptoms only after their menarche. Other studies found a wide distribution of levels of  $\alpha_2\beta_1$  expression among normal donors linked to silent polymorphisms in the gene for  $\alpha_2$ <sup>97</sup> so it remains unclear to what extent the two patients were abnormal. It is possible that they represent extreme levels within the 'normal' range perhaps coupled to a low 'normal' value of a coagulation factor, together leading to an enhanced bleeding tendency. The recovery after the menopause suggests a role of hormones in expression levels which is not implausible given the hormone dependency of some transduction factors. These studies suggest that loss of, or inhibition of,  $\alpha_2\beta_1$  might not cause major bleeding problems and that inhibition of this receptor might be a possible antithrombotic strategy. However,  $\alpha_2\beta_1$  is expressed on vascular cells such as endothelial and smooth muscle cells as well as platelets and it is not known



Fig. 42.3. Model of the outer domain of the  $\beta_3$  subunit as a MIDAS/I-domain, indicating the position of amino acid substitutions involved in Glanzmann's thrombasthenia. The structure is based upon the folding pattern found in several such domains including the A domain of von Willebrand factor and the I-domain of the  $\alpha_2$  integrin subunit.

if these were affected in the patients, presenting an additional complication.

In 1995 a patient suffering from a myeloproliferative disorder was shown to have a specific deficiency in platelet  $\alpha_2\beta_1$  and lacked aggregation and adhesion responses to collagen<sup>98</sup>. The patient had a prolonged bleeding time and marked thrombocytosis.

### GPVI deficiency

The detection of patients lacking or deficient in GPVI was an important step in identifying this glycoprotein as a critical collagen receptor. Although GPVI was recognized earlier on platelets its function was obscure. The first patient to be identified had platelets with a specific colla-

gen-response defect and her plasma contained antibodies that recognized GPVI in normal platelets<sup>99</sup>. It is still not clear why this patient developed an antibody to an absent receptor but results from mouse model studies start to cast some light on possible explanations. The antibodies from this patient were extremely useful in further characterization of GPVI but also helped to identify other Japanese patients with a defect in this receptor. At least three other patients were found, of whom two also completely lacked surface expression of GPVI while the third had 10% of normal amounts<sup>100,101</sup>. Although both the gene sequence<sup>102</sup> as well as the genomic structure of GPVI<sup>103</sup> are now established, the molecular reason for the absence of GPVI in these patients has not yet been established. Since expression of GPVI and its signalling subunit  $Fc\gamma$  are closely linked<sup>104</sup>, it cannot be excluded that the defect may lie in

the gene for Fc $\gamma$ . Some recent studies in mice with a rat monoclonal antibody to GPVI may also provide an explanation for the patient with the autoantibodies by showing that there is down-regulation of GPVI expression on normal mouse platelets in the presence of this antibody<sup>105</sup>. Patients with GPVI deficient platelets have so far only been detected in Japan. Although this may mean that the deficiency is restricted to, or more common, in the Japanese, it is also possible that the mild bleeding tendency, that has permitted its detection, is not present in other ethnic groups with more thrombogenic diets and lifestyles. A wider screening of other population groups may detect more GPVI deficient individuals.

### CD36 deficiency

CD36 is the general name for a molecule that was also named GPIIb or GPIV in platelets. Many early studies on this glycoprotein were also carried out on platelets. There was considerable interest when CD36 was found to be absent in a small population (4–7%) of healthy donors. At first this deficiency was thought to be confined to the Japanese but then other deficient individuals were found in different East Asian populations. More recent studies showed that CD36 is also absent in a minority (7–10%) of the sub-Saharan populations of Africa and in a very small part (~0.3%) of populations in other parts of the world. Is CD36 an adhesive receptor? This has been a controversial area and is even now not completely resolved. Two major adhesive proteins, collagen<sup>106</sup> and thrombospondin<sup>107</sup> have been suggested as ligands for CD36, but it is clear that other proteins also bind to this receptor, including *Plasmodium*-infected erythrocytes and that its main function may be as a scavenger for oxidised lipoproteins<sup>108</sup> or a transporter of long-chain fatty acids<sup>109</sup>. Other authors found little evidence for a role of CD36 as a collagen receptor<sup>110,111</sup>. The molecular basis of CD36 deficiency has been identified as a polymorphism in codon 90 which, if expressed, would lead to a Ser  $\rightarrow$  Pro shift<sup>112</sup>.

### Other putative candidates for adhesive receptor defects

Platelets have a number of other receptors which are thought to have either a direct role in adhesion such as  $\alpha_3\beta_1$  and  $\alpha_6\beta_1$ , or a supporting role, such as CD9 or CD47 but so far no bleeding disorders have been linked to a defect in any of these. This may be because they have relatively minor roles in platelet function or, alternatively, because

they have major functions on platelets or other cells during embryonal development. Targeted ablation of the genes for these receptors in a tissue-specific way will provide more information about the possible consequences of natural defects. In the long-term proteomic approaches to the analysis of platelets from patients with mild bleeding disorders, related to platelet defects, may be expected to identify a variety of new receptor-linked disorders, whether in the receptors themselves or in downstream signalling pathways.

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## Congenital disorders of platelet secretion

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### A brief introduction on platelet secretion (see Fig. 43.1)

The essential role played by platelets in hemostasis, thrombosis and vascular remodelling is, to a large extent, mediated by effector molecules that they secrete at sites of vascular injury. These molecules are contained in the platelet dense (or  $\delta$ -) and  $\alpha$ -granules. Dense granules are small organelles (200–300 nm in diameter) that are dense to electrons in osmium-stained platelets. They mostly contain small molecules, such as nucleotides, serotonin, catecholamines,  $\text{Ca}^{2+}$ ,  $\text{Mg}^{2+}$  and pyrophosphate. More recent studies have demonstrated the presence of P-selectin, granulophysin, glycoprotein (GP) Ib and GPIIb/IIIa bound to their membrane<sup>1–3</sup>. Alpha granules, at variance with  $\delta$ -granules, are moderately electron-dense and have a diameter of about 200–500 nm. The most numerous of the platelet storage organelles, they contain membrane-bound proteins (GPIb/IX/V, GPIIb/IIIa, P-selectin, GPIV, osteonectin, PECAM1, GMP33, CD9) and are the storage site for proteins which are synthesized in the megakaryocytes (von Willebrand factor, thrombospondin, fibronectin, coagulation factor V, platelet-derived growth factor and other growth factors), or acquired from plasma either by receptor-mediated endocytosis (e.g., fibrinogen) or by fluid-phase pynocytosis (e.g., albumin and immunoglobulin G)<sup>4,5</sup>.

While  $\delta$ -granules function primarily for the amplification of platelet activation through the secretion of platelet agonists such as serotonin and adenosine diphosphate (ADP),  $\alpha$ -granules enhance the adhesive process, promote cell–cell interactions and stimulate vascular repair. The combined action of molecules secreted by  $\delta$ - and  $\alpha$ -granules contribute to the stabilization of platelet aggregates<sup>6,7</sup>.

Other platelet organelles that release their contents in the extracellular fluid upon activation are the lysosomes,

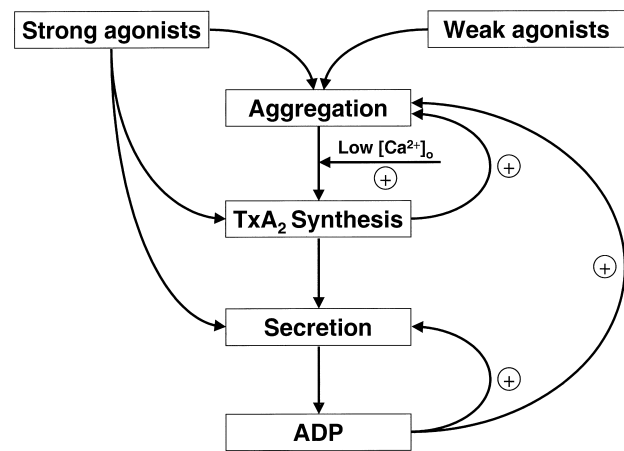


Fig. 43.1. Schematic representation of the amplification pathways of platelet aggregation and secretion. Being a weak agonist, ADP does not induce platelet secretion directly when it interacts with resting platelets. However, once platelet secretion has been triggered by a strong agonist, released ADP potentiates platelet secretion independently of the formation of large platelet aggregates and thromboxane  $\text{A}_2$  production<sup>16</sup>. This function of released ADP is mediated by its interaction with the platelet  $\text{P2Y}_{12}$  receptor<sup>17</sup> (see also Fig. 43.2). ADP, adenosine diphosphate;  $\text{TxA}_2$ , thromboxane  $\text{A}_2$ ;  $[\text{Ca}^{2+}]_o$ , concentration of external ionized calcium; (+) = potentiation.

which contain degradative enzymes, including acid phosphatases, aryl sulfatase,  $\beta$ -glucuronidase, cathepsin, heparitinase,  $\beta$ -hexosaminidase and  $\beta$ -galactosidase. Their membrane contains several glycoproteins, including CD63, LAMP-1 and LAMP-2. Recent data suggest that lysosome release requires the same membrane fusion machinery that is necessary for  $\delta$ - and  $\alpha$ -granule release<sup>8</sup>. Although lysosome secretion can be easily induced *in vitro* and can occur *in vivo* upon stimulation<sup>9</sup>, its physiological relevance is controversial<sup>10</sup>. They may have a role in the

amplification of platelet activation<sup>11</sup> and in vascular remodelling.

Very briefly, the molecular mechanisms of platelet secretion involve the interaction of agonists with their receptors, which are coupled to second messengers, including  $\text{Ca}^{2+}$ , diacylglycerol and protein kinase C that signal to the SNARE (soluble NSF attachment protein receptor) machinery through yet unknown linkages<sup>12,13</sup> (Chapter 24 in this book). The platelet granules fuse with the membranes of the open canalicular system (OCS), into which they release their contents.

Platelet agonists are usually subdivided in two categories: weak and strong agonists. Weak agonists, such as ADP and epinephrine, induce platelet aggregation, but do not cause platelet secretion directly. However, in a minority of individuals<sup>14</sup>, the close platelet-to-platelet contact brought about by platelet aggregation triggers the formation of trace amounts of thromboxane  $\text{A}_2$  ( $\text{TxA}_2$ ) which stimulates platelet secretion and, together with substances released from platelet granules, reinforces aggregation. This effect is greatly enhanced and can be observed in most individuals, when the concentration of ionized calcium in the extracellular medium is artefactually decreased to the micromolar level, such as in citrated PRP<sup>14,15</sup>. It must be noted, however, that recent studies have shown that, once platelet secretion has been triggered by a strong agonist, ADP or epinephrine potentiates platelet secretion directly, independently of platelet aggregation and  $\text{TxA}_2$  formation<sup>16,17</sup>. In contrast to weak agonists, strong agonists, such as thrombin and collagen, trigger platelet secretion directly, i.e. independently of platelet aggregation and  $\text{TxA}_2$  production. With medium-low concentrations of strong agonists, however, some aggregation- and  $\text{TxA}_2$ -dependent amplification of platelet secretion can be observed, especially at low concentrations of external  $\text{Ca}^{2+}$ <sup>18,19</sup>.

## Defects of platelet secretion

### Definition

Since platelet secretion and aggregation are intimately related and both depend on platelet activation, a clear distinction between disorders of platelet aggregation, platelet activation and platelet secretion is in many instances problematic. For example, when citrated PRP from patients with Glanzmann's thrombasthenia are stimulated in the aggregometer with ADP, they do not undergo aggregation and, as a consequence, do not undergo the aggregation-dependent secretion. However, Glanzmann's thrombasthenia is generally, and correctly, considered a typical defect of

platelet aggregation. This chapter will review those congenital disorders of platelet function in which a secretion defect appears to be the primary or the more relevant abnormality. As a consequence of the secretion defect and the lack of the potentiating effect of released  $\delta$ -granule constituents, these disorders usually have as a common characteristic an abnormal pattern of aggregation responses to many agonists: (i) absent secondary waves of aggregation, after normal primary waves stimulated by the weak agonists ADP, epinephrine and platelet activating factor (PAF)<sup>20</sup>, (ii) impaired aggregation induced by low concentrations of collagen or thrombin. It must be noted, however, that these abnormalities of platelet aggregation, albeit typical, are not to be considered the diagnostic hallmark of defects of platelet secretion, because platelet aggregation is not a sensitive diagnostic test for these abnormalities of platelet function (see later).

## Classification

In an attempt to make some order among the very heterogeneous disorders of platelet secretion, they are here subdivided in three groups (Table 43.1): (i) defects of the platelet granules, which could be considered 'pure' defects of platelet secretion; (ii) defects of the two main amplification pathways of platelet activation, the arachidonate- $\text{TxA}_2$  pathway and the ADP pathway; (iii) miscellaneous abnormalities of platelet secretion ('primary secretion defects'): this group encompasses heterogeneous disorders of platelet secretion that cannot be explained by abnormalities of platelet organelles or of the two amplification pathways. Parts of this chapter will inevitably overlap with parts of the following chapter on defects of platelet signal transduction.

## Defects of the platelet granules

Defects of the platelet granules comprise a heterogeneous group of disorders, including deficiencies of the  $\delta$ - and/or  $\alpha$ -granules, or their constituents ( $\delta$ -,  $\alpha$ , $\delta$ - and  $\alpha$ -Storage Pool Deficiency, Table 43.2) and other, less common defects of the  $\alpha$ -granules. It must be noted that pure defects of the  $\alpha$ -granules do not cause the abnormalities of platelet aggregation that are typical of defects of platelet secretion.

**Table 43.1.** Classification of congenital defects of platelet secretion*Abnormalities of the platelet granules ('Pure' platelet secretion disorders)*

- $\delta$ -storage pool deficiency ( $\delta$ -SPD)<sup>a</sup>
  - $\delta$ -SPD (not associated to other congenital disorders)
  - Hermanski-Pudlak syndrome
  - Chediak-Higashi syndrome
- $\alpha, \delta$ -storage pool deficiency ( $\alpha, \delta$ -SPD)
- $\alpha$ -storage pool deficiency, or Gray Platelet syndrome (GPS)
- Quebec platelet syndrome
- Jacobsen or Paris-Trousseau syndrome

*Platelet secretion disorders due to abnormalities of the amplification pathways*

- Abnormalities of the arachidonate/TxA<sub>2</sub> pathway
  - impaired liberation of arachidonate from membrane phospholipids
  - defects of cyclooxygenase (aspirin-like defects)
  - defects of thromboxane synthetase
  - defects of the platelet TxA<sub>2</sub> receptor

- Abnormalities of the ADP pathway
  - defects of the platelet P2Y<sub>12</sub> receptor<sup>b</sup>

*Miscellaneous abnormalities of platelet secretion ('Primary secretion defects')*

- Miscellaneous disorders of platelet secretion not due to defects of the platelet granules or of the amplification pathways

*Notes:*

<sup>a</sup>  $\delta$ -SPD has also been described in some cases of thrombocytopenia with absent radii (TAR) syndrome and Wiskott-Aldrich syndrome (WAS) (see Chapter 34).

<sup>b</sup> Patients who are homozygous for P2Y<sub>12</sub> defect, in addition to a secretion defect, have a severe impairment of platelet aggregation induced by ADP.

**Defects of the  $\delta$ -granules ( $\delta$ -storage pool deficiency)** **$\delta$ -storage pool deficiency**

The term  $\delta$ -storage pool deficiency, or  $\delta$ -storage pool disease ( $\delta$ -SPD) defines a congenital abnormality of platelets characterized by deficiency of dense granules in megakaryocytes and platelets. It is characterized by a bleeding diathesis of variable degree, mildly-to-moderately prolonged skin bleeding time, abnormal platelet secretion induced by several platelet agonists, impaired platelet

aggregation and decreased platelet content of dense granules. It may present as an isolated platelet function defect or it may associate with a variety of congenital disorders.

First described in 1969 in a family with impaired platelet aggregation and reduced release of ADP<sup>21</sup>, it was later shown to be caused by a deficiency in the nonmetabolic pool of adenine nucleotides contained in the platelet  $\delta$ -granules<sup>22,23</sup>. Several other patients have been described since then. Between 10% and 18% of patients with congenital abnormalities of platelet function have SPD<sup>24,25</sup>. The inheritance is autosomal recessive in some families and autosomal dominant in others.

**Clinical features**

Patients with  $\delta$ -SPD have mild to moderate bleeding diathesis, characterized mainly by mucocutaneous bleedings, such as epistaxis, menorrhagia and easy bruising. Patients with the most severe forms may also experience postsurgical hemorrhagic complications, especially after tooth extraction and tonsillectomy. Only one case with intracranial bleeding has been reported<sup>26</sup>. Hemarthrosis has never been reported in these patients. The bleeding time is usually prolonged, and the extent of its prolongation is inversely related to the amount of ADP or serotonin contained in the granules<sup>27,28</sup>.

Studies of a family which includes several members with autosomal dominant  $\delta$ -SPD showed an association between  $\delta$ -SPD and the development of acute myelogenous leukemia: on this ground, the hypothesis was raised that a gene coding for a protein important for the formation of dense granules is located adjacent to a gene which, when abnormal, may predispose to the development of leukemia<sup>29,30</sup>. An association of  $\delta$ -SPD with primary pulmonary hypertension was described in a patient, suggesting a role for the high plasma serotonin levels found in  $\delta$ -SPD in the pathogenesis of this disorder<sup>31</sup>. The association between pulmonary hypertension and  $\delta$ -SPD was also described in fawn-hooded rats<sup>32</sup>.

**Laboratory findings**

Studies of  $\delta$ -granules with uranaffin reaction (staining by uranyl ions of both the  $\delta$ -granule membrane and core)<sup>33</sup>, with the fluorescence probe mepacrine (which concentrates in the  $\delta$ -granules)<sup>34</sup>, or with electron microscopy<sup>35</sup>, revealed that platelets from patients with isolated  $\delta$ -SPD have slightly reduced number of uranaffin-positive and mepacrine-positive granules, but a shift in uranaffin-positive distribution towards those lacking a dense core ('empty granules'), suggesting a more qualitative than quantitative type of  $\delta$ -granule defect<sup>36</sup>. In accordance with these findings, platelets from patients with isolated

**Table 43.2.** Characteristics of the different forms of congenital storage pool deficiency

	Clinical features	Inheritance	$\delta$ -granules		$\alpha$ -granules	
			Content	Membrane	Content	Membrane
$\delta$ -storage pool deficiency	Bleeding diathesis	Aut/recessive Aut/dominant	Decreased	Normal	Normal	Normal
Hermansky–Pudlak syndrome	Bleeding diathesis Oculocutaneous albinism Lypofuscin accumulation [complications: pulmonary fibrosis and granulomatous colitis]	Aut/recessive	Decreased	Decreased	Normal	Normal
Chediak–Higashi syndrome	Bleeding diathesis Oculocutaneous albinism Recurrent infections (neutropenia) [accelerated phase: lymphoid infiltration of multiple organs]	Aut/recessive	Decreased	Decreased	Normal	Normal
$\alpha, \delta$ -storage pool deficiency	Bleeding diathesis	Aut/recessive	Decreased	Normal (?)	Decreased	Normal (?)
Grey platelet syndrome ( $\alpha$ -storage pool deficiency)	Bleeding diathesis Thrombocytopenia Myelofibrosis (splenomegaly)	Aut/recessive Aut/dominant	Normal	Normal	Decreased	Normal

platelet  $\delta$ -SPD had normal amounts of the  $\delta$ -granule membrane protein granulophysin<sup>37,38</sup>.

$\delta$ -SPD platelets have decreased levels of  $\delta$ -granule constituents: ATP and ADP<sup>22,23</sup>, serotonin, calcium and pyrophosphate<sup>39,40</sup>. ADP and ATP are contained in platelets in a metabolic pool, which represents about 1/3 of the total content, and the  $\delta$ -granules, which represent the storage pool. ADP is present in greater amounts in the storage pool, while the concentration of ATP is higher in the metabolic pool. The ratio of total ATP:ADP in normal platelets is <2.5:1, while that of the metabolic pool is about 10:1. Due to the deficiency of  $\delta$ -granules, the ratio of total ATP:ADP in  $\delta$ -SPD platelets typically rises to values >2.5–3:1<sup>41</sup>.

Platelets are the main storage site for serotonin of the human body. Normal platelets avidly uptake serotonin from the bloodstream and store it in the  $\delta$ -granules, where it is protected from the action of mitochondrial mono-amino oxidases. When radioactive serotonin is incubated with normal platelets *in vitro*, more than 90% of it is rapidly incorporated. In contrast, when it is incubated with  $\delta$ -SPD platelets, the initial rate of uptake (through the platelet plasma membrane) is normal, but the saturation level is decreased, due to the catabolism of serotonin, resulting in the loss of the radioactive label from the platelets<sup>42</sup>.

In citrated platelet-rich plasma, primary aggregation induced by ADP or epinephrine and the agglutination

response to ristocetin are normal in these patients, but the second wave of aggregation and the aggregation in response to collagen are generally absent or greatly reduced<sup>23,43</sup>. The production of arachidonate metabolites can be defective after stimulation with epinephrine or collagen, but normal with arachidonate<sup>44</sup>; however, the aggregation induced by sodium arachidonate or prostaglandin endoperoxides may be normal or decreased<sup>43–47</sup>, depending on the severity of ADP deficiency in platelet granules<sup>44</sup>. Normal responses to ADP or epinephrine have been observed in some patients<sup>48</sup>, indicating that there is a large variability in platelet aggregation in patients with  $\delta$ -SPD, which has been well documented in a large study of 106 patients with  $\delta$ -SPD (congenital in ref. 51 and acquired in ref. 55), which showed that about 25% of the patients had normal aggregation responses to ADP, epinephrine and collagen, while only 33% had aggregation tracings typical for a platelet secretion defect<sup>24</sup>. In agreement with these findings, it was later shown that, among 46 patients with prolonged bleeding times, normal vWF levels and normal platelet aggregation 17 (35%) had  $\delta$ -SPD<sup>49</sup>. Lumiaggregometry, which measures platelet aggregation and secretion simultaneously, may prove a more accurate technique than platelet aggregometry for diagnosing patients with  $\delta$ -SPD and, more generally, with platelet secretion defects.



High concentrations of thrombin induced normal extent of aggregation of  $\delta$ -SPD platelets, but the aggregates deaggregated more easily than normal<sup>7</sup>. This defect was corrected by the addition of exogenous ADP immediately after thrombin stimulation, suggesting that released ADP plays a role in the stabilization of platelet aggregates<sup>7</sup>. Other platelet function abnormalities described in  $\delta$ -SPD patients include abnormal secretion of acid hydrolases<sup>50</sup>, which was corrected by exogenous ADP<sup>51</sup>, and defective aggregation at high shear<sup>28,52</sup>.

The *in vitro* interaction of  $\delta$ -SPD platelets with the sub-endothelium was impaired in an experiment of perfusion of citrated blood through a chamber containing everted segments of rabbit aorta<sup>53</sup>. Subsequent experiments performed at different flow conditions (shear rates varying from 650 to 3300 s<sup>-1</sup>) with non-anticoagulated blood showed that thrombus formation was decreased in  $\delta$ -SPD patients in proportion to the magnitude of the granule defect<sup>54</sup>.

Weiss and Lages showed that the prothrombinase activity induced by collagen, thrombin, or collagen plus thrombin was impaired in  $\delta$ -SPD platelets and was corrected by the addition of ADP<sup>55</sup>. However, a previous study failed to demonstrate an abnormal procoagulant activity of  $\delta$ -SPD platelets under slightly different experimental conditions<sup>56</sup>.

### Diagnosis

The diagnosis of  $\delta$ -SPD is essentially based on the finding of defective platelet secretion induced by several agonists, decreased platelet content of total ADP and ATP, increase in the ATP/ADP ratio >2.5–3.0, and normal serum concentration of the stable TxA<sub>2</sub> metabolite, TxB<sub>2</sub>. Methods involving the identification of mepacrine-loaded platelets by flow cytometry<sup>57,58</sup> or studying platelet aggregation at high shear<sup>28</sup> may also prove to be useful for the diagnosis of this disorder.

### The Hermansky–Pudlak syndrome

#### Clinical features

Hermansky–Pudlak syndrome (HPS) is a rare autosomal recessive disease of subcellular organelles of many tissues, involving abnormalities of melanosomes, platelet  $\delta$ -granules and lysosomes. It is characterized by tyrosinase-positive oculocutaneous albinism, a bleeding diathesis due to  $\delta$ -SPD and ceroid-lypofuscin lysosomal storage disease<sup>59</sup>. The oculocutaneous albinism manifests as congenital nystagmus, iris transillumination, decreased visual activity and various degrees of skin and hair hypopigmentation. Ceroid lypofuscin is a lipid–protein complex accumulating

in lysosomal organelles, which is believed to be responsible for the development of progressive pulmonary fibrosis<sup>60,61</sup> and granulomatous colitis<sup>62</sup> in affected patients. The pulmonary fibrosis is particularly frequent in patients homozygous for the 16-bp duplication in HPS gene (see below)<sup>63</sup> and usually leads to death within the fourth or fifth decade. In albino patients, the absence of visible  $\delta$ -granules in the platelet cytoplasm under the electron microscope and/or the deficiency of platelet adenine nucleotides is pathognomonic for the disease. The bleeding diathesis of HPS patients, similarly to that of other types of  $\delta$ -SPD, manifests with easy bruising, epistaxis, gum bleeding, menorrhagia and postsurgical bleeding. Just as the degree of hypopigmentation is not uniform in all patients, the severity of the bleeding diathesis varies substantially. It has been suggested that the bleeding risk increases in patients with low plasma vWF levels<sup>64</sup>, although a more recent study did not confirm this association<sup>65</sup>. A recent report documented that major bleedings, some of which were life-threatening, occurred in 40% of the patients studied<sup>63</sup>.

#### Prevalence

HPS is rare in the general population, but occurs with relatively high frequency in certain isolated groups, such as in the northwestern region of Puerto Rico, where its prevalence is 1 in 1800<sup>66</sup>, and in an isolated village in the Swiss Alps<sup>67</sup>.

#### Laboratory findings

The number of  $\delta$ -granules in platelets from 7 HPS patients was markedly diminished when studied with electron microscopy, loading of the fluorescent probe mepacrine or the uranaffin reaction<sup>36</sup>, indicating that the basic defect of HPS, at variance with that of isolated platelet  $\delta$ -SPD, is a specific abnormality in organelle development which prevents the formation of an intact granule structure. In accordance with these findings, the platelet content of the  $\delta$ -granule membrane protein granulophysin was shown to be very low in HPS patients<sup>37</sup>.

The bleeding time is prolonged in most, but not all HPS patients. A variable degree of abnormalities of tests of platelet function can be observed in HPS patients as well as in patients with isolated  $\delta$ -SPD. The release of  $\alpha$ -granule proteins induced by thrombin was impaired in one patient, and was normalized by the simultaneous stimulation of platelets with ADP<sup>68</sup>. These findings are consistent with the recent demonstration that released ADP directly potentiates platelet secretion induced by U46619 or thrombin by interacting with the platelet P2Y<sub>12</sub> receptor<sup>16,17,69</sup>. In a group of 30 HPS patients, both plasma and

platelet vWF levels tended to be slightly reduced compared to normal controls<sup>65</sup>.

#### Molecular aspects

The molecular basis for HPS has only recently begun to be unravelled. HPS can arise from mutations in different genetic loci<sup>70–72</sup>. The Puerto Rican variant of HPS, termed HPS-1, is associated with a 16-bp duplication in exon 15 of the HPS gene, mapped to chromosome 10q23, which encodes for a cytosolic protein (HPS1p) of 79.3 kDa<sup>73</sup>. Another form of HPS, termed HPS-2, is characterized by mutations in the ADTB3A gene encoding the  $\beta$ 3A subunit of the heterotetrameric protein complex, adaptor complex-3 (AP-3)<sup>72</sup>. The reduction in AP-3 cellular content in cells of HPS-2 patients is associated with increased routing of lysosomal proteins through the plasma membrane<sup>72</sup>. In contrast, cells from HPS-1 patients, which are deficient in HPS1p, display normal distribution and trafficking of lysosomal proteins<sup>74</sup>. It is therefore likely that HPS-2 arises from impairment of protein transport to lysosomes and related organelles, such as the platelet  $\delta$ -granules, while HPS-1 arises from mechanisms that are independent of mistargeting of lysosomal membrane proteins<sup>72,74</sup>. Some HPS patients do not bear any detectable mutation in HPS1, ADTB3A or any other subunit gene<sup>72</sup>. This phenomenon, called locus heterogeneity, is also found in mouse animal models of HPS (see following section).

#### Animal models

Fifteen different mouse strains manifest a type of HPS (pigment dilution and platelet  $\delta$ -SPD), each due to a different gene<sup>75</sup>. To date, three different genes have been cloned. *Pale ear* is the murine analogue of patients with HPS-1 mutations<sup>76,77</sup>, and *pearl*<sup>78</sup> and *mocha*<sup>79</sup> have defects in AP-3. One protein subunit of AP-3, called  $\beta$ -3A, is mutated in the *pearl* mouse, while another protein, called  $\delta$ , is mutated in the *mocha* mouse. More recently, a new mouse HPS mutation was identified, termed cappuccino (*cno*), of a gene in chromosome 5 which is not a component of the AP-3 family, confirming that there are defects in multiple pathways in the pathogenesis of HPS<sup>80</sup>. The mouse models of HPS show striking clinical correlations to human HPS. All have an autosomal recessive inheritance, prolonged bleeding times and suffer a bleeding diathesis.

Additional animal models of  $\delta$ -SPD have been described in dogs<sup>81</sup>, pigs<sup>82,83</sup> and rats<sup>84,85</sup>.

### Chediak–Higashi syndrome

#### Clinical and laboratory features

Chediak–Higashi syndrome (CHS) is a rare autosomal recessive disorder characterized by variable degrees of oculocutaneous albinism, very large peroxidase-positive cytoplasmic granules in a variety of hemopoietic (neutrophils) and non-hematopoietic cells, easy bruisability due to  $\delta$ -SPD, and recurrent infections, associated with neutropenia, impaired chemotaxis and bactericidal activity, and abnormal NK function<sup>86</sup>. Many patients may undergo an accelerated phase, characterized by non-malignant lymphoid infiltration of multiple organs. The syndrome is lethal, leading to death usually in the first decade of life.

The bleeding diathesis and the abnormalities of platelet aggregation and secretion are similar to those of other forms of  $\delta$ -SPD<sup>87,88</sup>. The levels of the  $\delta$ -granule membrane protein granulophysin are very low in CHS platelets<sup>37</sup>, as expected in platelets lacking  $\delta$ -granules<sup>89</sup>. The pathognomonic feature of CHS is peroxidase-positive granules that can be seen in polymorphonuclear leukocytes, as well as in megakaryocytes, neurons and other cells.

#### Molecular aspects

The gene responsible for CHS has been cloned<sup>90</sup> and mapped to the chromosome segment 1q42–44<sup>91,92</sup>. The gene is very large and several mutations have been described<sup>86</sup>. Like HPS, CHS may prove to be a genetically heterogeneous disorder, with mutations at different loci resulting in a similar phenotype.

#### Animal models

Among the several reported animal models for CHS<sup>86</sup>, the *beige* mouse has been well characterized<sup>93</sup>. In addition to other features resembling human CHS, the *beige* mice have markedly reduced constituents of the  $\delta$ -granules and prolonged bleeding times<sup>94</sup>. Normal bone marrow transplantation in these mice corrected the prolonged bleeding times and the platelet serotonin levels, while transplantation of *beige* mouse bone marrow into normal mice caused the development of prolonged bleeding times and low levels of  $\delta$ -granule constituents<sup>95</sup>. The *beige* gene is located on the mouse chromosome 13, which is syntenic to human chromosome 1q42–43.

Additional animal models for the CHS have been described in cattle<sup>96</sup>, blue foxes<sup>97</sup> and cats<sup>98</sup>. Allogeneic bone marrow transplantation successfully corrected the neutrophil migration defect and the platelet  $\delta$ -SPD, but had no effect on lysosome distribution in liver and kidney cells of CHS cats<sup>98</sup>.

### Hereditary thrombocytopenias

Two types of hereditary thrombocytopenia may be associated with  $\delta$ -SPD: the Thrombocytopenia and absent radii syndrome (TAR)<sup>99</sup> and the Wiskott–Aldrich syndrome<sup>100,101</sup>. They are described in detail in Chapter 34 of this book.

### Defects of the $\alpha$ -granules

#### $\alpha$ -storage pool deficiency (Grey platelet syndrome)

The first patient with this disorder was described by Raccuglia in 1971<sup>102</sup>. The condition owes its name to the grey appearance of the patient's platelets in peripheral blood smears as a consequence of the rarity of platelet granules. Since its first description, about 40 new cases have been reported in the literature, many of whom belonging to a single family in Japan<sup>103</sup>. The inheritance pattern seems to be autosomal recessive, although in a single family from Japan, it seemed to be autosomal dominant<sup>103</sup>.

#### Clinical features

Affected patients have a lifelong history of mucocutaneous bleeding, which may vary from mild to moderate in severity, prolonged bleeding time, mild thrombocytopenia, abnormally large platelets and isolated reduction of the platelet  $\alpha$ -granule content. Occasional patients may have more severe bleeding symptoms, including intracranial hemorrhage and postsurgical bleeding<sup>104–106</sup>. Mild to moderate myelofibrosis, which seems to be non-progressive, has been described in some patients<sup>107</sup> and hypothetically ascribed to the action of cytokines that are present in abnormally high concentrations in the bone marrow, as a consequence of their release by the hypogranular platelets and megakaryocytes<sup>108</sup>. Splenomegaly may be present<sup>102,107,109</sup> and splenectomy may be followed by normalization of the platelet count, but not by an amelioration of the bleeding diathesis<sup>107</sup>. A case report describing a GPS patient with idiopathic pulmonary fibrosis suggested the intriguing hypothesis that the abnormal megakaryocytes of GPS may have a role in the pathogenesis of this condition<sup>106</sup>.

#### Laboratory findings

Gray platelets are severely and selectively deficient in soluble proteins contained in the  $\alpha$ -granule: platelet factor 4,  $\beta$ -thromboglobulin, von Willebrand factor, thrombospondin, fibrinogen, albumin, etc. The extent of the deficiency is more severe for proteins that are endocytosed from plasma, such as albumin and immunoglobulins, than for proteins that are synthesized by the megakaryocytes<sup>110–113</sup>. In contrast to soluble proteins, the  $\alpha$ -granule membrane proteins are normal in GPS<sup>112,114–116</sup>, consistent

with the demonstration of the presence of empty  $\alpha$ -granules in the GPS platelets<sup>117</sup> and the normal production of precursors of  $\alpha$ -granules in GPS megakaryocytes<sup>118</sup>. It is therefore conceivable that the basic defect in GPS is the defective targeting and packaging of endogenously synthesized proteins in platelet  $\alpha$ -granules. This hypothesis is also consistent with the finding of increased plasma levels of  $\beta$ -thromboglobulin in GPS patients<sup>119</sup>. The targeting defect seems to be specific to the megakaryocyte cell line, as GPS patients have normal Weibel–Palade bodies, the endothelial cell storage granules equivalent to the platelet  $\alpha$ -granules<sup>120</sup>.

Circulating platelets are reduced in number, are relatively large, vacuolated, and contain normal numbers of mitochondria,  $\delta$ -granules, peroxisomes and lysosomes but specifically lack  $\alpha$ -granules<sup>121</sup>. The degree of thrombocytopenia is usually mild, although cases with platelet counts as low as 20 000 per  $\mu$ l have been described. Platelet aggregation studies show variable results in GPS patients. Platelet aggregation induced by ADP and adrenaline in citrated plasma were usually normal, but impaired aggregation responses induced by ADP or low concentrations of thrombin or collagen have been described in some patients<sup>106,107,110,111,122,123</sup>. The secretion of <sup>14</sup>C-serotonin was impaired in some patients<sup>119</sup>, but not in others<sup>122</sup>.

Beverly et al. reported normal platelet prothrombinase activity in three patients with GPS<sup>56</sup>. In contrast, a more recent study showed that the collagen plus thrombin-induced prothrombinase activity of platelets from one GPS patient was greatly impaired and was not corrected completely by the addition of exogenous factor Va<sup>55</sup>. The results of the latter study are consistent with the demonstration that  $\alpha$ -granule factor V bound to the surface of platelets that had been stimulated simultaneously with thrombin and collagen, plays a unique role in generating prothrombinase activity<sup>124</sup>.

#### Animal models

Rats of the Wistar Furth (WF) strain have hereditary macrothrombocytopenia, resulting from erratic subdivision of megakaryocyte cytoplasm into platelets. WF platelets have decreased levels of thrombospondin, fibrinogen and platelet factor 4, and have smaller  $\alpha$ -granules than normal<sup>125</sup>. Therefore, they represent an animal model resembling GPS. The autosomal recessive pattern of inheritance of macrothrombocytes and platelet  $\alpha$ -granule protein deficiencies suggests that a component common to formation of platelet  $\alpha$ -granules and subdivision of megakaryocyte cytoplasm into platelets, is abnormal in WF rat megakaryocytes and platelets. WF rats have markedly prolonged bleeding times, impaired clot formation at wound sites

and abnormal platelet spreading and filopodia formation after adhesion onto Formvar-coated, carbon-stabilized grids<sup>126</sup>. Disruption of microtubules *in vivo* by vincristine in normal rats caused the formation of large membrane complexes in the cytoplasm of megakaryocytes, identical to those found in megakaryocytes of human hereditary macrothrombocytopenias (including GPS) and the Wistar Furth rat<sup>127</sup>. Therefore, intact microtubules seem to play a major role in the organization of the megakaryocyte demarcation membrane and the stability of  $\alpha$ -granules.

### Quebec platelet disorder

The Quebec platelet disorder is an autosomal dominant qualitative platelet abnormality, characterized by severe post-traumatic bleeding complications unresponsive to platelet transfusion, abnormal proteolysis of  $\alpha$ -granule proteins, severe deficiency of platelet factor V, deficiency of multimerin, reduced to normal platelet counts and markedly decreased platelet aggregation induced by epinephrine<sup>128,129</sup>. Multimerin, one of the largest proteins found in the human body, is present in platelet  $\alpha$ -granules and in endothelial cell Weibel–Palade bodies<sup>130–132</sup>. It binds factor V and its activated form, factor Va. Its deficiency in patients with the Quebec platelet disorder is probably responsible for the defect in platelet factor V, which is likely to be degraded by abnormally regulated platelet proteases. Other  $\alpha$ -granule proteins are degraded along with factor V in this disorder, including von Willebrand factor, fibrinogen, osteonectin, fibronectin, P-selectin and thrombospondin<sup>131,133,134</sup>. However, platelet factor 4,  $\beta$ -thromboglobulin, albumin, IgG, CD63 and external membrane glycoproteins are normal in these patients, indicating that there is restriction in the platelet proteins degraded<sup>131,133,134</sup>. In addition, electron microscopic and immunoelectron microscopy studies indicated preserved  $\alpha$ -granular ultrastructure and normal to reduced labelling for platelet  $\alpha$ -granule proteins, suggesting that the pathologic proteolysis of  $\alpha$ -granule proteins is not secondary to a defect in targeting proteins to  $\alpha$ -granules<sup>134</sup>.

### Jacobsen or Paris–Trousseau syndrome

This is rare syndrome that is associated with a mild hemorrhagic diathesis and is characterized by congenital thrombocytopenia, normal platelet lifespan, increased number of marrow megakaryocytes, many of which present with signs of abnormal maturation and intramedullary lysis. A fraction of the circulating platelets has giant  $\alpha$ -granules, which are unable to release their content upon platelet stimulation with thrombin. A deletion of the distal part of one chromosome 11 [del(11)q23.3 → qter] was found in the affected patients<sup>135,136</sup>.

## Defects of the $\alpha$ - and $\delta$ -granules

### $\alpha$ , $\delta$ -storage pool deficiency

#### Clinical and laboratory features

$\alpha$ , $\delta$ -storage pool deficiency is a heterogeneous congenital disorder of platelet secretion characterized by deficiencies of both  $\alpha$ - and  $\delta$ -granules<sup>36,39</sup>. It is important to note that blood samples should be collected in sodium citrate for measurement of platelet granule contents, because platelets from some individuals may undergo degranulation *in vitro* when blood is collected into EDTA, resembling  $\alpha$ , $\delta$ -SPD<sup>137</sup>. The phenotypic heterogeneity of this disorder is illustrated by the finding that the platelet content of GMP-140 (P-selectin) was normal in three members of a family with mild  $\alpha$ , $\delta$ -SPD, while it was approximately halved in a patient with severe  $\alpha$ , $\delta$ -SPD<sup>138</sup>. Approximately 80% of platelets from the patient with severe  $\alpha$ , $\delta$ -SPD expressed little or no GMP-140 after stimulation, whereas the remaining 20% expressed normal amounts of it. No such heterogeneity was found among platelets of the three patients with mild  $\alpha$ , $\delta$ -SPD. Compared to  $\delta$ -SPD platelets, which have a normal density,  $\alpha$ , $\delta$ -SPD platelets show a shift to the left of the density distribution, suggesting that  $\alpha$ -granules are a major determinant of platelet density<sup>139</sup>.

The clinical picture and the platelet aggregation abnormalities are similar to those of patients with GPS or  $\delta$ -SPD. As in GPS, the platelet prothrombinase activity in response to collagen plus thrombin was impaired in a patient with severe  $\alpha$ , $\delta$ -SPD and was not completely corrected by added factor Va<sup>55</sup>. Platelet thrombus formation on everted rabbit vessel segments under various flow conditions was severely impaired<sup>54</sup>, as well as the production of arachidonate metabolites after stimulation with arachidonate, epinephrine or collagen<sup>44</sup>.

#### Animal models

Hereditary macrothrombocytopenia and prolonged bleeding times are associated with the recessive mouse pigment dilution mutant gene *gunmetal* (*gm/gm*). Like human  $\alpha$ , $\delta$ -SPD, the mutant phenotype has reduced platelet levels of both  $\delta$ -granule and  $\alpha$ -granule components (serotonin, fibrinogen, platelet factor 4, von Willebrand factor)<sup>140</sup>. In addition, *gm/gm* platelets express two additional low molecular weight guanosine triphosphate (GTP)-binding proteins, which might be responsible for both the abnormal platelet production and the impaired organelle formation in *gm/gm* mice<sup>139</sup>. Further studies suggested that the *gunmetal* gene acts intrinsically in megakaryocytes and that an abnormality in this gene causes

significant qualitative and quantitative effects on platelet production<sup>141</sup>.

## Defects of the amplification pathways of platelet activation

### Defects of the arachidonate/thromboxane A<sub>2</sub> pathway

#### Impaired liberation of arachidonic acid from membrane phospholipids

Liberation of arachidonic acid from membrane phospholipids occurs under the action of phospholipase A<sub>2</sub> (PLA<sub>2</sub>), a Ca<sup>2+</sup>-dependent enzyme. Abnormalities of arachidonic acid liberation have been described in patients with other platelet defects, including defects of GPIIb/IIIa and procoagulant activity<sup>142</sup> and Hermansky–Pudlak syndrome<sup>68</sup>. Four patients were described with abnormal thrombin-induced liberation of <sup>3</sup>H-arachidonic acid from prelabelled platelets. TxB<sub>2</sub> production after stimulation with ADP or thrombin was impaired, while it was normal with arachidonic acid<sup>143</sup>. However, subsequent studies of one of these patients showed that his platelets contained normal amounts of PLA<sub>2</sub>, while agonist-induced Ca<sup>2+</sup> mobilization<sup>144</sup> and G protein activation were reduced<sup>145</sup>.

#### Defects of cyclooxygenase (aspirin-like defect)

Many patients with congenital abnormalities in cyclooxygenase, the enzyme catalysing the first step in prostaglandin synthesis from arachidonate, have been described<sup>146–155</sup>. The platelets from these patients have the same functional defect of normal platelets that had been treated *in vitro* or *in vivo* with aspirin, which irreversibly acetylates the platelet cyclooxygenase<sup>156,157</sup>: impaired aggregation and secretion induced by ADP, epinephrine, collagen or arachidonic acid, normal responses to TxA<sub>2</sub>/endoperoxides analogues, and absent platelet TxA<sub>2</sub> production. The actual concentration of cyclooxygenase antigen in platelet lysates, measured with an immunoassay, was found to be defective in some patients only<sup>146,158</sup>. It has therefore been proposed that the platelet cyclooxygenase defect can be subdivided into *type 1*, characterized by undetectable levels of the enzyme protein, and *type 2*, characterized by the presence of normal levels of a dysfunctional protein. However, before a diagnosis of *type 2* cyclooxygenase deficiency can be safely made, caution should be taken to rule out the possibility of surreptitious or inadvertent ingestion of acetylsalicylic acid, which is contained in several generic medications.

In one patient, the synthesis of both platelet TxA<sub>2</sub> and

vessel wall PGI<sub>2</sub> were measured and found to be severely impaired<sup>148</sup>. Since that patient had a mild bleeding diathesis, the finding suggested that the contemporary abolition of TxA<sub>2</sub> and PGI<sub>2</sub> synthesis results in a hemorrhagic diathesis, rather than in a thrombotic tendency, as was previously hypothesized<sup>159</sup>.

#### Defects of thromboxane synthetase

Two reports of patients with congenital defects of platelet thromboxane synthetase have been published<sup>160,161</sup>. Defreyn et al. described three family members of three successive generations with moderate bleeding tendency, markedly prolonged bleeding time, absent aggregation induced by arachidonic acid, and monophasic aggregation induced by ADP or epinephrine. The platelet production of TxB<sub>2</sub> was decreased, while that of PGF<sub>2α</sub>, PGE<sub>2</sub> and PGD<sub>2</sub> were increased. The plasma levels of the PGI<sub>2</sub> metabolite 6-keto PGF<sub>1α</sub> were raised. These findings are compatible with a partial platelet thromboxane synthetase defect and reorientation of cyclic endoperoxide metabolism to increased production of the inhibitory prostaglandins PGI<sub>2</sub> and PGD<sub>2</sub>, which would contribute, in conjunction with the reduced synthesis of TxA<sub>2</sub>, to the abnormality of primary hemostasis.

#### Defects of the platelet thromboxane A<sub>2</sub> receptor

##### Clinical and laboratory features

In 1981, three reports of impaired platelet responses to TxA<sub>2</sub> in patients with bleeding disorders were published<sup>162–164</sup>. The platelets from these patients could synthesize TxA<sub>2</sub> from exogenous arachidonate, but were unable to undergo normal TxA<sub>2</sub>-dependent aggregation and secretion in response to a variety of agonists. In one patient only, however, the stable TxA<sub>2</sub> mimetic U46619 was tested and found to be unable to elicit normal platelet responses<sup>164</sup>, providing convincing evidence that his platelets had a defect at the receptor level.

In 1993, a similar patient with a mild bleeding disorder was described, whose platelets did not undergo shape change, aggregation and secretion in response to the synthetic TxA<sub>2</sub> mimetic STA<sub>2</sub><sup>165</sup>. Binding studies of radiolabelled TxA<sub>2</sub> agonists and antagonists revealed that the patient platelets had a normal number of TxA<sub>2</sub> binding sites and normal equilibrium dissociation rate constants. Despite the normal number of TxA<sub>2</sub> receptors, the TxA<sub>2</sub>-induced IP<sub>3</sub> formation, Ca<sup>2+</sup> mobilization and GTPase activity were normal, suggesting that the abnormality of these platelets was impaired coupling between TxA<sub>2</sub> receptor, G protein and PLC. The platelet aggregation and secretion responses to several agonists were impaired. A similar

patient, who was also affected by polycythemia vera, had previously been described by Ushikubi et al.<sup>166</sup>.

#### Molecular aspects

These two last patients were subsequently found to have an Arg60 to Leu mutation in the first cytoplasmic loop of the  $\text{TxA}_2$  receptor<sup>167</sup>, affecting both isoforms of the receptor<sup>168,169</sup>. The mutant receptor expressed in Chinese hamster ovary cells showed decreased agonist-induced second messenger formation despite its normal ligand binding affinities. The mutation was found exclusively in the affected members of the two unrelated families and was inherited as an autosomal dominant trait. Although the heterozygous patients did not differ from the homozygous patients in terms of aggregation and secretion responses of platelets to  $\text{TxA}_2$ , subsequent studies showed that in heterozygous patients, the mutant  $\text{TxA}_2$  receptor suppresses the wild-type receptor-mediated platelet aggregation and secretion by a mechanism independent of inhibition of PLC activation<sup>170,171</sup>.

#### Animal models

Most dogs have blood platelets that do not aggregate or secrete in response to  $\text{TxA}_2$ , although they form normal amounts of it<sup>172-175</sup>.  $\text{TxA}_2$  unresponsive dog platelets have impaired  $\text{TxA}_2$  receptor-G protein coupling, and abnormal binding parameters of the  $\text{TxA}_2$  analogue BOP; all platelet abnormalities could be corrected by platelet treatment with epinephrine<sup>176</sup>.

In contrast to dog, in whom the platelet insensitivity to  $\text{TxA}_2$  is the rule, normal mice have  $\text{TxA}_2$  platelet receptors.  $\text{TxA}_2$  knockout mice have a mild bleeding disorder and altered vascular responses to  $\text{TxA}_2$  and arachidonate<sup>177</sup>.

#### Congenital defects of the ADP pathway (see Fig. 43.2)

Platelets possess at least three different purinergic receptors<sup>178-183</sup>: the  $\text{P2X}_1$  ionotropic receptor responsible for rapid influx of ionized calcium into the cytosol; the  $\text{P2Y}_1$  metabotropic receptor responsible for mobilization of ionized calcium from internal stores which initiates ADP-induced aggregation, and a third receptor coupled to adenylyl cyclase inhibition, essential for the full aggregation response to ADP, which has recently been identified<sup>184,185</sup> and named  $\text{P2Y}_{12}$ <sup>184</sup>. Although  $\text{P2X}_1$  may be important for normal hemostasis, since a dominant negative mutation in its gene has been reported in a patient with a severe bleeding disorder<sup>186</sup>, recent data have provided convincing evidence that it is activated by ATP, rather than ADP<sup>187</sup>. Therefore, platelets seem to have only two purinoceptors for ADP:  $\text{P2Y}_1$  and  $\text{P2Y}_{12}$ . Only patients with congenital

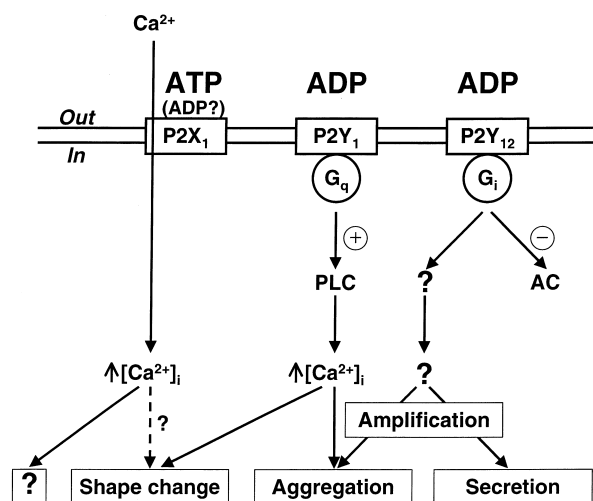


Fig. 43.2. Effects of platelet purinoceptors on platelet function. The role of  $\text{P2X}_1$  is unclear: although many studies failed to find any effect of  $\text{P2X}_1$  on platelet function, a recent report showed that it mediates the platelet shape change triggered by the ATP analogue  $\alpha, \beta$ -methylene ATP<sup>214</sup>. ADP, adenosine diphosphate; ATP, adenosine triphosphate;  $[\text{Ca}^{2+}]_i$ , concentration of cytoplasmic ionized calcium; AC, adenylyl cyclase; PLC, phospholipase C; cAMP, cyclic adenosine monophosphate.

abnormalities of  $\text{P2Y}_{12}$  have been described so far<sup>183</sup>. The platelet function abnormality of patients who are heterozygous for the defect of  $\text{P2Y}_{12}$  receptors is typical of disorders of platelet secretion<sup>69</sup>, since  $\text{P2Y}_{12}$  is the mediator of the described potentiating effect of ADP on platelet secretion<sup>16,17,183</sup>. Patients who are homozygous for  $\text{P2Y}_{12}$  defect, in addition to a secretion defect, have a severe impairment of platelet aggregation induced by ADP.

#### Congenital defects of the $\text{P2Y}_{12}$ receptor for ADP

In 1992, the first patient with a selective defect of platelet responses to ADP was described<sup>188</sup>. He is a man of Caucasian origin who had a lifelong history of excessive bleeding, prolonged bleeding time and an abnormality of platelet aggregation that was similar to that observed in patients with defects of platelet secretion, except that the aggregation response to ADP was severely impaired. This platelet aggregation profile is compatible with a selective impairment of responses to ADP, which also affects the full aggregation response to release-inducing agonists, as a consequence of the loss of the potentiating effect of released ADP on platelet aggregation. Other abnormalities of platelet function found in this patient were: (i) no inhibition by ADP of  $\text{PGE}_1$ -stimulated platelet adenylyl cyclase, but normal inhibition by epinephrine; (ii) normal shape change and normal-to-mildly reduced mobilization

of cytoplasmic ionized calcium induced by ADP; (iii) presence of about 30% of the normal number of platelet binding sites for [<sup>3</sup>H]ADP<sup>188</sup> or [<sup>33</sup>P]2MeSADP<sup>189</sup>. Three additional patients, one male<sup>190</sup> and two females<sup>69</sup> with very similar characteristics were subsequently described; in one of them it was shown that the ADP receptor pathway that is defective in this condition is linked to a defective tyrosine phosphorylation response<sup>191</sup>. The many similarities among the patients suggest that they are affected by the same type of disorder of platelet function<sup>192</sup>, associated with defective interaction between ADP and the recently cloned P2Y<sub>12</sub> receptor. The deletion of two nucleotides causing a shift in the reading frame of the coding region of one allele and repression of the expression of the wild-type allele were described in one of the patients<sup>190</sup> with P2Y<sub>12</sub> deficiency<sup>184</sup>. The defect is probably inherited as an autosomal recessive trait, because all patients so far described were born from consanguineous parents.

The heterozygous son of one of these patients bound intermediate levels of 2MeS-ADP<sup>69</sup>. His bleeding time was mildly prolonged (13 min) and his platelets underwent a normal first wave of aggregation after stimulation with ADP, but did not secrete normal amounts of ATP after stimulation with different agonists. The secretion defect of his platelets could not be ascribed to impaired production of thromboxane A<sub>2</sub> or low concentrations of platelet granule contents, which were normal and was therefore attributed to the partial defect in the potentiation of platelet secretion mediated by P2Y<sub>12</sub>. His phenotype is therefore similar to that of some previously described patients with secretion defects of unknown cause, who proved to be partially deficient in P2Y<sub>12</sub> receptors<sup>16</sup>.

### Miscellaneous abnormalities of platelet secretion (primary secretion defects)

#### Definition

The term primary secretion defect was probably used for the first time by Weiss, to indicate all those ill-defined abnormalities of platelet secretion not associated with platelet granules deficiencies<sup>193</sup>. The term was later used to indicate the platelet secretion defects not associated to platelet granule with deficiencies and abnormalities of the arachidonate pathway<sup>16,69</sup>, or, more generally, all the abnormalities of platelet function associated with defects of signal transduction<sup>194,195</sup>. With the progression of our knowledge in the platelet pathophysiology, this heterogeneous group, which lumps together the majority of patients with congenital disorders of platelet function<sup>194</sup>,

will become progressively smaller, losing those patients with better defined biochemical abnormalities responsible for their platelet secretion defect. An example is given by patients with heterozygous P2Y<sub>12</sub> deficiency who were included in this group of disorders until their biochemical abnormality was identified<sup>16,69,183</sup>.

Only those abnormalities of platelet secretion that exhibit normal primary waves of aggregation induced by ADP, epinephrine or PAF will be described here.

#### Clinical and laboratory features

In 1981, Wu et al. described a large family with a hereditary bleeding disorder associated with defective platelet secretion, despite normal platelet granules contents and normal TxA<sub>2</sub> production<sup>196</sup>. Although the platelets of these patients might have had an abnormality of TxA<sub>2</sub> receptors, because they did not respond to a PGH<sub>2</sub> analogue, their biochemical abnormality has not been further elucidated. Hardisty et al. later reported a patient with similar platelet abnormalities, including the lack of response to PGH<sub>2</sub> and TxA<sub>2</sub><sup>197</sup>. Of interest are some abnormalities of platelet secretion described in patients with psychiatric disorders, such as the attention deficit disorder<sup>198</sup> and conduct disorder<sup>199</sup>: these reports emphasize the role of platelets as a model for neurons in functional disorders. In 1989, Rao et al. described a 42-year-old white woman and her 23-year-old son who suffered from a mild hemorrhagic diathesis, whose platelets underwent abnormal secretion and aggregation induced by ADP, epinephrine, PAF, arachidonic acid and ionophore A23187<sup>200</sup>. In both patients, the platelet ADP and ATP contents and TxA<sub>2</sub> synthesis were normal, while the concentration of cytoplasmic Ca<sup>2+</sup> [Ca<sup>2+</sup>]<sub>i</sub> in resting platelets, peak [Ca<sup>2+</sup>]<sub>i</sub> concentrations stimulated by ADP, PAF, collagen, the prostaglandin endoperoxides analogue U46619 and thrombin were impaired. Subsequent studies indicated that, upon platelet activation, the formation of inositol 1,4,5-trisphosphate (In1,4,5-P<sub>3</sub>) and diacylglycerol, and the phosphorylation of pleckstrin were abnormal<sup>201</sup>. These data suggested that the patient platelets had a defect in phospholipase C (PLC) activation. This hypothesis was confirmed by the finding that platelets from one of these patients had a selective decrease in one of the seven PLC isoforms present in platelets, PLC-β2, suggesting that this isoenzyme may have an important role in platelet activation<sup>202</sup>.

Generally included in the group of Primary Secretion Defects are patients with abnormal secretion, normal platelet granules and TxB<sub>2</sub> formation, but decreased initial rate and extent of aggregation to one or more weak

**Table 43.3.** Therapeutic options for congenital defects of platelet secretion

<i>Desmopressin (DDAVP)</i> 0.3 µg per kilogram b.w., intravenously or subcutaneously 300 µg (adults) or 150 µg (children), intranasally
<i>Antifibrinolytic agents</i> <i>Tranexamic acid:</i> 15–25 mg per kilogram b.w every 8 hours, orally or intravenously <i>ε-aminocaproic acid:</i> 50–60 mg per kilogram b.w every 4 hours, orally or intravenously
<i>Transfusion of platelet concentrates</i> Required only for the control of severe bleeding episodes, which are very rare in patients with platelet secretion defects.

agonists<sup>203</sup>. These defects will be described in detail in the following chapter of this book.

### Therapy (see Table 43.3)

The mainstays of therapy of patients with congenital disorders of platelet secretion are platelet transfusions, the vasopressin analogue 1-deamino-8-D-arginine vasopressin (desmopressin, or DDVAP) and antifibrinolytic agents.

Bleeding complications in patients with disorders of platelet secretion are rarely severe enough to require the transfusion of platelet concentrates, which are effective but are expensive and carry the risks that are associated with the use of blood products.

Desmopressin, which causes the increase in plasma vWF and FVIII levels, has been shown to be effective in shortening the prolonged bleeding times of most patients with disorders of platelet secretion, including patients with Hermansky–Pudlak syndrome<sup>204</sup> and other platelet function abnormalities, including Bernard–Soulier syndrome and drug-induced platelet dysfunction<sup>52,205–210</sup>. Since the bleeding time response to DDAVP infusions varies very much among subjects and tends to be rather consistent after repeated infusions, a test dose of DDAVP should be administered in order to test the degree of responsiveness to the drug of each patient. Although the effect of desmopressin on the bleeding times of patients with platelet function disorders is well established, its efficacy in reducing the incidence and severity of bleeding complications in these patients is based on anecdotal reports only<sup>210–212</sup>. Despite the absence of controlled clinical trials documenting its efficacy, desmopressin can be recommended for the prophylaxis and treatment of bleeding episodes in patients

with platelet function disorders, in consideration of the absence of serious side effects associated with its infusion<sup>205,211</sup>. The mechanisms by which desmopressin shortens the prolonged bleeding times of patients with normal plasma vWF levels are not well understood: both mechanisms that are dependent<sup>28,52</sup> and independent<sup>213</sup> on the induced increase in plasma vWF levels have been hypothesized.

Other approaches used to reduce the bleeding episodes in patients with platelet secretion defects include the administration of antifibrinolytic agents, such as ε-aminocaproic acid and tranexamic acid<sup>205,211</sup>. Tranexamic acid reduces menstrual bleeding by 40 to 50 percent. It should be used only when organic lesions in the uterus have been excluded, at the oral doses of 10 to 15 mg per kilogram of body weight every 8 hours, from the onset until the arrest of menstrual bleeding. Antifibrinolytic agents may also be useful in the control of oral bleeding in these patients.

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## Congenital platelet signal transduction defects

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Platelets play a major role in hemostasis, and alterations in platelet mechanisms may lead to a bleeding diathesis. When the blood vessel is injured, platelets adhere to exposed subendothelium by a process (adhesion) which involves the interaction of a plasma protein, von Willebrand factor (vWF), and a specific protein on the platelet surface, glycoprotein Ib (GPIb) (Fig. 44.1). Adhesion is followed by recruitment of additional platelets which form clumps, a process called aggregation (cohesion). This involves binding of fibrinogen to specific platelet surface receptors, a complex composed of glycoproteins IIb–IIIa (GPIIb–IIIa). Activated platelets release contents of their granules (secretion or release reaction), such as adenosine diphosphate (ADP) and serotonin from the dense granules, which cause recruitment of additional platelets. In addition, platelets play a major role in coagulation mechanisms; several key enzymatic reactions occur on the platelet membrane lipoprotein surface. A number of physiological agonists interact with specific receptors on platelet surface to induce responses including a change in platelet shape from discoid to spherical (shape change), aggregation, secretion, and thromboxane  $A_2$  ( $TxA_2$ ) production. Other agonists, such as prostacyclin, inhibit these responses. Ligation of the platelet receptors initiates the production or release of several intracellular messenger molecules including  $Ca^{2+}$  ions, products of phosphoinositide (PI) hydrolysis by phospholipase C (diacylglycerol, DG, and inositol 1,4,5-triphosphate,  $InsP_3$ ),  $TxA_2$  and cyclic nucleotides (cAMP) (Fig. 44.1). These induce or modulate the various platelet responses of  $Ca^{2+}$  mobilization, protein phosphorylation, aggregation, secretion and liberation of arachidonic acid. The interaction between the agonist receptors and the key intracellular effector enzymes (e.g. phospholipases  $A_2$  and C, adenylyl cyclase) is mediated by a group of GTP-binding

proteins which are modulated by GTP. As in most secretory cells, platelet activation results in a rise in cytoplasmic ionized calcium concentration;  $InsP_3$  functions as a messenger to mobilize  $Ca^{2+}$  from intracellular stores. Diacylglycerol activates protein kinase C (PKC) and this results in the phosphorylation of a 47 kD protein pleckstrin. PKC activation is considered to play a major role in platelet secretion and in the activation of GP IIb–IIIa. Numerous other mechanisms, such as phosphorylation of proteins by non-receptor tyrosine kinases, also play a role in signal transduction. A detailed description of the platelet activation mechanisms is provided elsewhere in this book. Inherited defects in the above platelet mechanisms may lead to impaired platelet role in hemostasis and are described in this chapter.

### Congenital disorders of platelet function

In general, congenital disorders of platelet function are characterized by highly variable mucocutaneous bleeding manifestations and excessive hemorrhage following surgical procedures or trauma. A majority of patients, but not all, have a prolonged bleeding time. Platelet aggregation and secretion studies provide evidence for the defect, but are not always predictive of the severity of clinical manifestations. The platelet dysfunction in these patients arises by diverse mechanisms<sup>1,2</sup>. Table 44.1 provides a classification based on the platelet functions or responses that are abnormal (Fig. 44.1). In patients with defects in platelet–vessel wall interactions, adhesion of platelets to subendothelium is abnormal. The two disorders in this group are the von Willebrand disease (vWD), due to a deficiency or abnormality in plasma vWF<sup>3</sup>, and the Bernard–Soulier syndrome, where platelets are deficient in GPIb (and GPv and



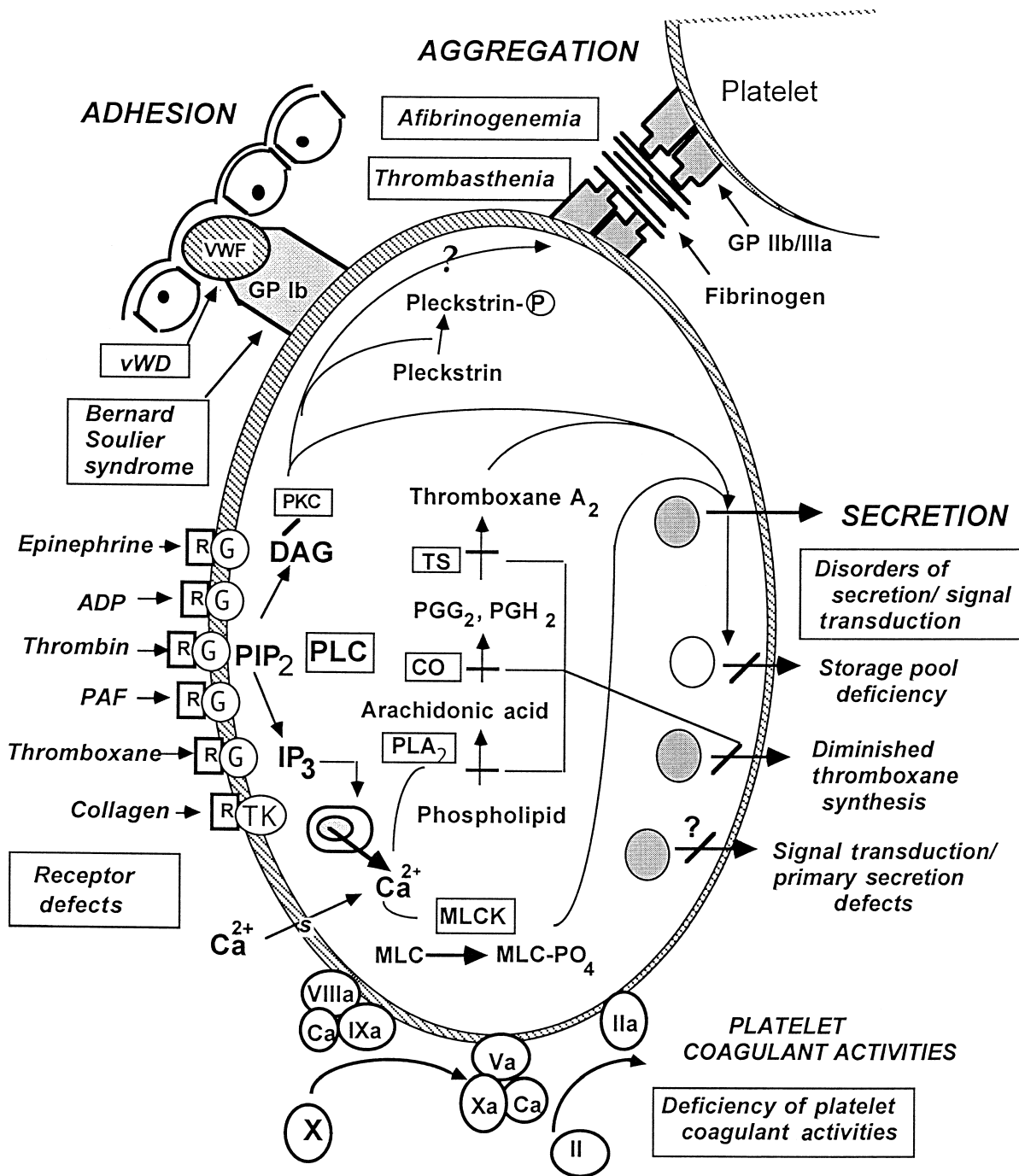


Fig. 44.1. A schematic representation of the normal platelet responses and the congenital disorders of platelet function. Abbreviations: CO, cyclooxygenase; DAG, diacylglycerol; IP<sub>3</sub>, inositoltrisphosphate; MLC, myosin light chain; MLCK, myosin light chain kinase; PIP<sub>2</sub>, phosphatidylinositol bisphosphate; PKC, protein kinase C; PLC, phospholipase C; PLA<sub>2</sub>, phospholipase A<sub>2</sub>; TS, thromboxane synthase; vWF, von Willebrand factor; vWD, von Willebrand disease. (Reprinted with permission<sup>1</sup>.)

**Table 44.1.** Classification of congenital disorders of platelet function

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1. Defects in platelet-vessel wall interaction (disorders of adhesion)
    - (a) von Willebrand disease (deficiency or defect in plasma vWF)
    - (b) Bernard–Soulier syndrome (deficiency or defect in GPIb)
  2. Defects in platelet-platelet interaction (disorders of aggregation)
    - (a) Congenital afibrinogenemia (deficiency of plasma fibrinogen)
    - (b) Glanzmann thrombasthenia (deficiency or defect in GPIIb–IIIa)
  3. Disorders of platelet secretion and signal transduction
    - (a) Abnormalities of granules
      - (i) Storage pool deficiency
      - (ii) Quebec platelet disorder
    - (b) Signal transduction defects (primary secretion defects)
      - (i) Defects in platelet–agonist interaction (receptor defects)  
Receptor defects: thromboxane A<sub>2</sub>, collagen, ADP, epinephrine
      - (ii) Defects in G-protein activation  
Gαq deficiency
      - (iii) Defects in phosphatidylinositol metabolism  
Phospholipase C-β2 deficiency
      - (iv) Defects in calcium mobilization
      - (v) Defects in protein phosphorylation (pleckstrin)
    - (c) Abnormalities in arachidonic acid pathways and thromboxane A<sub>2</sub> synthesis
      - (i) Impaired liberation of arachidonic acid
      - (ii) Cyclooxygenase deficiency
      - (iii) Thromboxane synthase deficiency
    - (d) Defects in cytoskeletal regulation  
Wiskott–Aldrich syndrome
  4. Disorders of platelet coagulant-protein interaction  
Defect in factor Va–Xa interaction on platelets (Scott syndrome)
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Source: Reprinted with permission from ref<sup>1</sup>.

IX) and the binding of vWF to platelets is abnormal<sup>4</sup>. Disorders characterized by abnormal platelet–platelet interactions (aggregation) arise because of an absence of plasma fibrinogen (congenital afibrinogenemia) or because of a quantitative or qualitative abnormality of the platelet membrane GPIIb–IIIa complex (Glanzmann thrombasthenia)<sup>5</sup>. Patients with defects in platelet secretion and signal transduction are a heterogeneous group lumped together for convenience of classification rather than on the basis of an understanding of the specific

underlying abnormality. The major common characteristic in these patients, as currently perceived, is an inability to release intracellular granule (dense) contents upon activation of platelet-rich plasma with agonists such as ADP, epinephrine and collagen. In aggregation studies the second wave of aggregation is blunted or absent. A small proportion of these patients have a deficiency of dense granule stores (storage pool deficiency). In some of the other patients, the impaired secretion results from aberrations in the signal transduction events that govern end responses such as secretion and aggregation. This review will focus on these patients who are encountered more often than thrombasthenia or the Bernard–Soulier syndrome. Lastly, are the patients who have an abnormality in interactions of platelets with proteins of the coagulation system; the best described is the Scott syndrome<sup>6</sup>. In addition to the above groups, there are patients who have abnormal platelet function associated with systemic disorders such as Down syndrome and the May–Hegglin anomaly where the specific aberrant platelet mechanisms are still unclear.

### Disorders of platelet secretion and signal transduction

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As an unifying theme, patients lumped in this remarkably heterogeneous group generally manifest impaired secretion of granule contents and absence of the second wave of aggregation upon stimulation of platelet-rich plasma with ADP and epinephrine; responses to collagen, thromboxane analogue (U46619), arachidonic acid, and platelet-activating factor (PAF) may also be impaired. Platelet function is abnormal in these patients either when the granule contents are diminished (storage pool deficiency, SPD) or when there is an aberration in the activation mechanisms governing aggregation and secretion, the easily discernible end-responses following platelet activation by a diverse group of agonists. (Table 44.1).

### Deficiency of granule stores: storage pool deficiency

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Patients with storage pool deficiency (SPD) have deficiencies in platelet content of dense granules ( $\delta$ -SPD), alpha-granules ( $\alpha$ -SPD) or both types of granules ( $\alpha\delta$ -SPD)<sup>7,8</sup>. The Quebec platelet disorder is an autosomal dominant disorder associated with abnormal proteolysis of  $\alpha$ -granule proteins, deficiency of platelet  $\alpha$ -granule multimerin (a factor V binding protein), and markedly

impaired aggregation with epinephrine as a striking feature<sup>8</sup>. These disorders are discussed elsewhere in detail.

### Defects in platelet signal transduction (primary secretion defects)

Signal transduction mechanisms encompass processes that are initiated by the interaction of agonists with specific platelet receptors and include responses such as G-protein activation and activation of effectors such as phospholipase C and phospholipase A<sub>2</sub>. If the key components in signal transduction are the surface receptors, the G-proteins, and the effectors, evidence now exists for specific platelet abnormalities at each of these levels. It is only a matter of time before deficiencies are documented in the various specific mechanisms involving other proteins, for example, the kinases and phosphatases, that are activated on platelet receptor ligation.

### Defects in platelet-agonist interaction: receptor defects

These patients are characterized by impaired platelet responses resulting from an abnormality at the level of platelet surface receptors for a specific agonist. Such receptor defects have been documented for TxA<sub>2</sub>, collagen, ADP and epinephrine. One patient has been described with diminished responses to PAF alone<sup>9</sup>. Because ADP and TxA<sub>2</sub> play a synergistic role in the platelet responses to several agonists, it is not surprising that patients with specific defects at the ADP or TxA<sub>2</sub> receptor have impaired responses to multiple agonists, particularly the weaker ones.

### Thromboxane A<sub>2</sub> receptor defect

In some patients with platelet dysfunction<sup>10-12</sup> the abnormal aggregation responses have been attributed to an impaired platelet responsiveness to TxA<sub>2</sub>, although it is synthesized in normal amounts. Because TxA<sub>2</sub> is highly unstable, these studies have used a system in which TxA<sub>2</sub> was generated by incubating normal platelets with arachidonic acid and aliquots of the stimulated platelet suspension or its supernate were transferred to the patient's platelets as a source of TxA<sub>2</sub>. Based on a diminished response to stimulation with such aliquots, the platelet dysfunction has been attributed to an impaired platelet responsiveness to TxA<sub>2</sub> or to a TxA<sub>2</sub> receptor defect<sup>10-12</sup>. However, in such a test system, the responses induced in the recipient platelets occur due to a synergism between TxA<sub>2</sub> and ADP and not due to the former alone, and they are

dependent on the ability of the test platelets to secrete ADP<sup>13</sup>. Therefore, the primary defect in these patients<sup>10,11</sup> is not established to be a specific impairment in the response to TxA<sub>2</sub>. In one of these patients<sup>12</sup>, TxA<sub>2</sub> analogue U46619 failed to suppress PGI<sub>2</sub> induced cAMP levels, suggesting that this patient may have a defect at the TxA<sub>2</sub> receptor level. In another patient<sup>10</sup>, subsequent studies<sup>14</sup> have revealed abnormalities in the phosphatidylinositol metabolism on platelet activation with thrombin and collagen.

The existence of specific mutations in the platelet TxA<sub>2</sub> receptor has been established by Hirata et al.<sup>15</sup> who described an Arg<sup>60</sup> to Leu mutation in the first cytoplasmic loop of the TxA<sub>2</sub> receptor in two unrelated patients. This Arg<sup>60</sup> corresponds to a highly conserved basic residue among G-protein coupled receptors<sup>15</sup>. These patients had a mild bleeding disorder with an autosomal dominant pattern of inheritance. Aggregation responses to several agonists were impaired with the exception of thrombin<sup>16</sup>. The binding of TxA<sub>2</sub> analogues to platelets was normal<sup>16,17</sup>. GTPase activity on activation with a TxA<sub>2</sub> analog, but not thrombin, was diminished<sup>17,18</sup> suggesting a defect in TxA<sub>2</sub> receptor-G-protein coupling. TxA<sub>2</sub>-induced activation of PLC (measured as Ca<sup>2+</sup> mobilization, inositol trisphosphate, and phosphatidic acid formation) was impaired while PLA<sub>2</sub> activation and TxA<sub>2</sub> production were normal. Less than half the number of TxA<sub>2</sub> receptors are sufficient for irreversible aggregation with TxA<sub>2</sub> agonist<sup>19</sup>. The finding that the aggregation responses were impaired in the heterozygous family members<sup>15</sup> suggest a dominant negative effect of the mutation. It needs to be noted that absent aggregation responses to TxA<sub>2</sub> have been observed in patients who do not have evidence for a defect of TxA<sub>2</sub> receptor level<sup>20</sup>. Lastly, thromboxane receptor knockout mice have a mild bleeding disorder and their platelets have impaired aggregation responses to TxA<sub>2</sub> analogues as well as collagen<sup>21</sup>.

### Collagen receptor defects

Several patients have been reported with mucocutaneous bleeding manifestations and selective impairment in platelet-collagen interaction. Platelets from the patient described by Nieuwenhuis et al.<sup>22,23</sup> had ~ 15 to 25% of normal amount of platelet GPIa and failed to aggregate with collagen, or adhere and spread normally to subendothelial surfaces. In another patient described by Kehrel et al.<sup>24</sup>, collagen-induced platelet aggregation was markedly reduced, and the platelets were deficient in GPIa and thrombospondin. In both of these patients, the bleeding times were prolonged and platelet aggregation responses to other agonists were preserved. Selective impairment in collagen responses and a mild bleeding disorder have also

been related to a deficiency of platelet GP VI<sup>25–27</sup>. GP VI deficient platelets have been reported to have impaired collagen activation of Syk but not c-Src<sup>28</sup>. In the current models of platelet–collagen interactions, collagen binds initially to either the GPIa–GPIIa ( $\alpha 2\beta 1$ ) or GPVI, leading to subsequent binding to the other receptor which serves to reinforce adhesion and generation of intracellular signals<sup>29</sup>.

GP IV (CD 36) has also been implicated in platelet–collagen interactions<sup>30</sup>. Platelets lacking GP IV have been reported to have reduced adhesion to collagen in flowing whole blood<sup>31</sup>. However, individuals lacking platelet GP IV in the Japanese population (approximately 3% of the population) and the US population (approximately 0.3%) have not had a bleeding disorder<sup>32</sup> and collagen-induced platelet aggregation<sup>32</sup>, Ca<sup>2+</sup> mobilization, and tyrosine phosphorylation<sup>33</sup> have been normal. In other studies<sup>34</sup> GP IV deficient platelets aggregated normally in response to collagens type I and III but not to type V collagen. Moreover, adhesion and subsequent aggregate formation of GP IV deficient platelets on types I, III and IV collagens were normal under static or flow conditions whereas adhesion to type V collagen was reduced under static conditions<sup>35</sup>. In several GP IV deficient subjects a Pro<sup>90</sup> to ser mutation has been reported in the GP IV gene<sup>36</sup> and GP IV mRNA has been detected in platelets from all subjects studied<sup>36,37</sup>. Kehrel et al.<sup>34</sup> have described platelet responses to collagen-related peptides; platelets deficient in GP VI but not GP IV failed to aggregate in response to these peptides.

### Defect in platelet ADP receptors

ADP interaction with platelets is mediated by multiple receptors (P2Y<sub>1</sub>, P2T<sub>AC</sub>/P2Y<sub>12</sub>, P2X<sub>1</sub>) which elicit distinct responses<sup>38–40</sup>. Cattaneo et al.<sup>41</sup> and Nurden et al.<sup>42</sup> have described two patients in whom platelet aggregation response to ADP was blunted (but not absent) and the ability of ADP to suppress PGE<sub>1</sub>-induced elevation in cAMP levels was impaired; ADP stimulated shape change was normal. ADP stimulated Ca<sup>2+</sup> mobilization and tyrosine phosphorylation in response to ADP and TxA<sub>2</sub> have been reported to be abnormal in the patients studied<sup>41,43</sup>. Aggregation in response to a TxA<sub>2</sub> analogue and lower concentrations of collagen were also impaired. The binding of radiolabelled ADP<sup>41</sup> or the ADP analogue 2-methylthio-ADP<sup>42</sup> to platelets was decreased in these patients. Recent studies<sup>44</sup> in one of these patients,<sup>42</sup> provide evidence of a mutation in the P2T<sub>AC</sub> (P2Y<sub>12</sub>) ADP receptor linked to inhibition of adenylyl cyclase. Electron microscopic studies in one patient with the ADP receptor defect<sup>45</sup> showed that the aggregates formed following stimulation with high dose ADP were composed of loosely bound platelets with few

contact points. A decreased platelet 2-methylthio-ADP binding has been reported<sup>46–48</sup> in additional patients with impaired aggregation and secretion in response to several agonists including ADP. In addition to the patients mentioned above, who have a defect in the P2T<sub>AC</sub> (P2Y<sub>12</sub>) ADP receptor, one patient has been briefly described<sup>49</sup> with a defect in the P2Y<sub>1</sub> platelet receptor which is coupled to ADP induced calcium mobilization. This patient also had impaired platelet aggregation in response to ADP and other agonists. P2Y<sub>1</sub>-deficient mice have been reported to have decreased platelet aggregation and resistance to thromboembolism<sup>50,51</sup>, with a prolonged bleeding time<sup>50</sup>. Lastly, Oury et al.<sup>52</sup> have recently reported a patient with a selective impairment of the ADP-induced aggregation associated with a dominant negative P2X<sub>1</sub> receptor due to deletion of a single leucine residue in the second transmembrane domain. P2X<sub>1</sub> receptor is an ATP-gated ion channel, and the association of a bleeding disorder with alterations in this receptor suggests its hitherto unrecognized physiological role in hemostasis.

### Selective impairment in platelet responsiveness to epinephrine

Aggregation responses to epinephrine, mediated by  $\alpha_2$ -adrenergic receptors, may be variable even in otherwise normal individuals<sup>53</sup> and it is impaired in some presumably normal individuals. In one study<sup>54</sup>, the second wave of aggregation was noted to be absent in 10–15% of normal subjects. Studies in twins suggest that platelet adrenergic receptors are under genetic control<sup>55</sup>. Scrutton et al.<sup>56</sup> reported depressed platelet aggregation response to epinephrine in five apparently normal volunteers and indicated that the defect was familial. However, in four of the index cases platelet responses to other agonists such as collagen, vasopressin, and ADP were also impaired. Rao et al.<sup>57</sup> have described a family whose several members had impaired aggregation and secretion responses only to epinephrine associated with decreased number of platelet  $\alpha_2$ -adrenergic receptors. Three of the family members had a history of easy bruising with minimally prolonged bleeding times. Although aggregation response was impaired, epinephrine inhibition of adenylyl cyclase was normal indicating that the receptor requirements for these two platelet responses are different. Although other families with an epinephrine defect have been reported,<sup>58</sup> the relationship of the selective epinephrine defect to bleeding manifestations still needs to be defined. It is tantalizing to speculate that, in some of these patients with bleeding symptoms, the isolated impaired aggregation response to epinephrine may be related to the Quebec platelet syndrome<sup>59</sup>. Mice deficient in Gz, a member of the Gi family of

G-proteins, have impaired platelet aggregation and ability to inhibit cAMP formation in response to epinephrine, and are resistant to fatal thromboembolism<sup>60</sup>.

### Defects in GTP-binding protein activation

GTP-binding proteins are a group of heterotrimeric (consisting of  $\alpha$ ,  $\beta$  and  $\gamma$  subunits) proteins that constitute the link between surface receptors and intracellular enzymes, although the specific  $G\alpha$  subunits mediating different agonist responses may be different<sup>61</sup>. Because of their modulating role as molecular switches, G-proteins constitute an important potential locus for aberrations leading to platelet dysfunction. Convincing evidence for the existence of such a defect has been provided by Gabbeta et al.<sup>62</sup> in a patient with a mild bleeding disorder, abnormal aggregation and secretion in response to a number of agonists, and diminished GTPase activity (a reflection of  $\alpha$ -subunit function) on activation. The binding of <sup>35</sup>S-GTP $\gamma$ S to platelet membranes was diminished, and there was a selective decrease in platelet membrane  $G\alpha_q$  with normal levels of  $G\alpha_i$ ,  $G\alpha_{12}$ ,  $G\alpha_{13}$  and  $G\alpha_z$ . This patient was found to have abnormalities in other downstream signalling events:  $Ca^{2+}$  mobilization<sup>63</sup>, and release of arachidonic acid from phospholipids on platelet activation<sup>64</sup> despite presence of normal levels of phospholipase A2<sup>62</sup>. Recent studies suggest that this patient has a hematopoietic-lineage restricted defect in  $G\alpha_q$  gene expression, characterized by decreased  $G\alpha_q$  mRNA and protein levels in platelets but not in neutrophils<sup>64a</sup>. These findings have been corroborated by essentially identical abnormal platelet responses in the  $G\alpha_q$  deficient 'knockout' mice<sup>65</sup>. Impaired G-protein activation has also been observed in patients with  $TxA_2$  receptor defect<sup>17,18</sup>.

### Defects in phospholipase C activation, calcium mobilization, pleckstrin phosphorylation

Several reports have documented patients with a relatively mild bleeding diathesis, and impaired aggregation and dense granule secretion, even though their platelets have normal granule stores and synthesize substantial amounts of  $TxA_2$ <sup>66-68</sup>. These heterogenous patients have abnormalities in aggregation and secretion particularly in response to weaker agonists (ADP, epinephrine, PAF); the responses to relatively stronger agonists such as arachidonate and high concentrations of collagen are often normal. Such patients are far more common than those with the storage pool deficiency or defects in  $TxA_2$  synthesis. Lages and Weiss<sup>66</sup> have described eight such patients who had decreased initial rates and extents of aggregation in

response to ADP, epinephrine, and U44069; they postulated defects in early platelet activation events to explain the abnormal responses. They subsequently demonstrated a defect in phosphatidylinositol hydrolysis and phosphatidic acid formation<sup>14</sup>, and in pleckstrin phosphorylation<sup>69</sup> in one patient.

Koike et al.<sup>67</sup> described platelet dysfunction in 12 patients with the behavioral disorder attention deficit disorder (ADD) and a mild bleeding disorder characterized predominantly by easy bruising. Platelet aggregation and <sup>14</sup>C-serotonin secretion responses during stimulation of platelet-rich plasma with ADP, epinephrine, and collagen, revealed only minor abnormalities. In contrast, the aggregation responses of gel-filtered platelets to a divalent cationophore A23187 were markedly impaired in ADD patients. Upon stimulation with A23187 and thrombin (at low concentrations), dense granule and acid hydrolase secretion was impaired whereas  $\alpha$ -granule secretion was normal. The platelet contents of all granule constituents were normal, thereby excluding a SPD, and platelet  $TxA_2$  production was intact. The ADD patients and those indicated above<sup>66-68</sup> attest to the existence of a group of patients who have platelet dysfunction despite the presence of normal granule stores and normal  $TxA_2$  synthesis; such patients have been referred to as having primary secretion defects<sup>70</sup>.

Platelet receptor ligation results in a rise in cytoplasmic ionized  $Ca^{2+}$  concentration which is an early response to platelet stimulation. Attention has, therefore, been focused on this process to explain the impaired platelet aggregation and secretion. In several patients, defects in calcium mobilization have been proposed based on impaired platelet responses to the calcium ionophore (A23187)<sup>10,70</sup>; however, this evidence is indirect, at best. Direct evidence has been provided that some of these patients indeed, have impaired  $Ca^{2+}$  mobilization upon platelet activation<sup>63,68,71</sup>. Detailed studies in two related patients<sup>71</sup> with impaired aggregation and secretion responses revealed that resting cytoplasmic  $Ca^{2+}$  concentration was normal, but the peak  $Ca^{2+}$  concentrations following activation with ADP, collagen, PAF or thrombin were diminished; both the release of  $Ca^{2+}$  from intracellular stores and the influx of extracellular  $Ca^{2+}$ <sup>63</sup> were decreased. Formation of  $InsP_3$ , the key intracellular mediator of  $Ca^{2+}$  release, as well as diacylglycerol formation and pleckstrin phosphorylation, were diminished upon platelet activation<sup>72</sup>, indicating a defect in PLC activation. Human platelets contain at least seven PLC isozymes in the quantitative order  $PLC-\gamma_2 > PLC-\beta_2 > PLC-\beta_3 > PLC-\beta_1 > PLC-\gamma_1 > PLC-\delta_1 > PLC-\beta_4$ <sup>73</sup>. Studies in one of these patients with impaired PLC activation revealed a selective decrease in  $PLC-\beta_2$  isozyme with

normal levels of other PLC isoforms<sup>73</sup>. Recent studies show that the decreased platelet PLC- $\beta$ 2 protein levels are associated with diminished platelet PLC- $\beta$ 2 mRNA levels suggesting a defect in gene regulation<sup>74</sup>. Moreover, PLC- $\beta$ 2 protein and mRNA levels in neutrophils were found to be normal suggesting that the defect is hematopoietic lineage restricted. PLC- $\beta$  isozymes are activated by G-protein mediated pathways while PLC- $\gamma$  isozymes are activated by tyrosine kinase-dependent mechanisms<sup>75,76</sup>; the relative importance of the various PLC isozymes in normal platelet responses remains unknown. The platelet dysfunction in this patient indicates that PLC- $\beta$ 2, the predominant G-protein linked PLC isozyme in platelets, plays a major role in platelet activation responses involved in hemostasis. In line with the above findings in platelets, agonist-induced calcium mobilization is diminished in neutrophils of knockout mice deficient in PLC- $\beta$ 2<sup>77</sup>.

Other studies have also provided evidence for abnormalities in signal transduction pathways in patients with diminished platelet aggregation and secretion responses. Defects in phosphatidylinositol metabolism and protein phosphorylation have been described in such patients<sup>14,17,69,78–80</sup>. Holmsen et al.<sup>78</sup> described a patient with abnormalities in platelet aggregation and dense granule secretion who had impaired phosphoinositide hydrolysis and release of free arachidonic acid from phospholipids on thrombin activation. This patient had significant reduction in membrane GPIIb and GPIIIa as well; however, no studies were performed on Ca<sup>2+</sup> mobilization or Ins(1,4,5)P<sub>3</sub> production. Another patient has been described with impaired platelet responses and diminished phosphoinositide metabolism in whom the altered stimulus-response coupling has been attributed to abnormal membrane phospholipid composition<sup>79</sup>. Fuse et al.<sup>17</sup> have reported a patient with a mild bleeding disorder whose platelets had impaired aggregation, secretion, InsP<sub>3</sub> formation, and Ca<sup>2+</sup> mobilization in response to a TxA<sub>2</sub> mimetic (STA<sub>2</sub>) associated with normal TxA<sub>2</sub> formation. Interestingly, GTPase activity upon activation with STA<sub>2</sub> was also impaired leading to the conclusion that the platelets had an abnormality in coupling between TxA<sub>2</sub> receptor and PLC. In the patient described by Mitsui et al.<sup>80</sup>, the abnormal platelet aggregation was associated with decreased TxA<sub>2</sub>-induced InsP<sub>3</sub> formation but with normal GTPase activity on TxA<sub>2</sub> activation and normal platelet TxA<sub>2</sub> receptors (including their cDNA sequence), suggesting that the abnormality in PLC activity was downstream of the surface receptor. In an analysis of five patients with absent aggregation in response to TxA<sub>2</sub>, (but with abnormal responses to ADP and collagen), Fuse et al.<sup>20</sup> found evidence for a defect in the TxA<sub>2</sub> receptor in three patients; in

the other two patients TxA<sub>2</sub>-induced GTPase activity, IP<sub>3</sub> formation and Ca<sup>2+</sup> mobilization were normal, suggesting a primary abnormality distal to the TxA<sub>2</sub> receptor and unrelated to PLC activation. Overall, these patients provide evidence for aberrations in the signal transduction pathways in patients with diminished platelet aggregation and secretion responses.

Yang et al.<sup>68</sup> have summarized detailed studies on signalling mechanisms in eight patients with abnormal aggregation and secretion in response to several different surface receptor-mediated agonists despite presence of normal dense granule contents. Both protein kinase C induced pleckstrin phosphorylation and cytoplasmic Ca<sup>2+</sup> mobilization play a major role in secretion on activation<sup>81</sup>. Receptor-mediated Ca<sup>2+</sup> mobilization and/or pleckstrin phosphorylation were abnormal in seven of the eight patients. It was postulated that combined platelet activation with a direct PKC activator DIC<sub>8</sub> (1,2, dioctonoyl-sn-glycerol) and ionophore A23187, which possibly bypass two major intracellular mediators (inositol trisphosphate, diacylglycerol), may induce normal dense granule secretion in these patients with diminished secretion on activation with receptor mediated agonists. Platelet activation with a combination of ADP with DIC<sub>8</sub> or A23187 improved secretion in four patients. However, combination of DIC<sub>8</sub> and A23187 induced normal secretion in platelet-rich plasma in all patients. These studies indicate that the ultimate process of exocytosis or secretion *per se* is intact in these patients and impaired secretion results from abnormalities in early signal transduction events.

Protein phosphorylation by tyrosine kinases (members of the Src-kinase family, focal adhesion kinase (FAK) family, pp72<sup>syk</sup>, and Janus (JAK) kinase family) play an important role in platelet signal transduction<sup>82</sup>. In thrombasthenia<sup>83,84</sup> and the Scott syndrome<sup>85</sup>, tyrosine phosphorylation of several proteins is impaired on platelet activation. In these disorders, this defect is a result of the primary abnormality in the GPIIb-IIIa complex and in phospholipid scrambling, respectively<sup>82,84,85</sup>. Interestingly, in patients with the thrombocytopenia with absent radii (TAR) syndrome thrombopoietin-induced tyrosine phosphorylation has been reported to be markedly abnormal<sup>86</sup>.

### Signal transduction defects and activation of GPIIb-IIIa

Platelet activation induced conformational change in the GPIIb-IIIa complex and fibrinogen-binding to platelets, is a signal transduction dependent process, and has been linked to pleckstrin phosphorylation<sup>87,88</sup>. Therefore, it is likely that abnormalities in signalling mechanisms would

impair activation of GPIIb–IIIa, a prerequisite for aggregation. Evidence for this is provided by the report<sup>89</sup> of a patient with markedly abnormal platelet aggregation and receptor activated pleckstrin phosphorylation who had decreased activation of the platelet GPIIb–IIIa complexes despite the presence of a normal number of these platelet receptors with intact ligand (fibrinogen) binding capacity. The implication is that defects in upstream signalling events that modulate activation of the GPIIb–IIIa complex may manifest with decreased platelet aggregation on exposure to receptor-mediated agonists. A similar abnormality in the activation of GPIIb–IIIa has also been observed in the patient with  $G\alpha_q$  deficiency<sup>62</sup> attesting to the role of the G-protein-mediated signalling mechanisms in modulating GPIIb–IIIa on activation (Fig. 44.2). Such a defect in GPIIb–IIIa activation, secondary to abnormalities in upstream signal transduction events may be a more common mechanism for blunted aggregation (particularly primary wave) than specific defects in the GPIIb–IIIa complex *per se*<sup>90</sup>, and may explain the diminished initial aggregation responses noted by Lages and Weiss<sup>66</sup> in several patients.

### Abnormalities in platelet arachidonic acid pathways and thromboxane $A_2$ production

Platelet activation results in the liberation of arachidonic acid from phospholipids and its subsequent conversion to  $TxA_2$ , which forms an important positive feedback enhancing the activation process.  $TxA_2$  synthesis is required for dense granule secretion during stimulation of platelet-rich plasma with ADP, epinephrine, and low concentrations of collagen and thrombin. In general, most patients with defects in the platelet arachidonic acid pathways have had mild to moderate bleeding manifestations.

### Defects in the liberation of arachidonic acid from phospholipids

Mobilization of free arachidonic acid from membrane-bound phospholipids  $PLA_2$ , a  $Ca^{2+}$  dependent enzyme, constitutes the initial and rate-limiting step in  $TxA_2$  synthesis. Four patients have been described<sup>64</sup> with abnormal aggregation and secretion associated with impaired liberation of arachidonic acid from membrane phospholipids during platelet stimulation. In these patients the dense granule contents were normal. Platelet  $TxA_2$  production, measured using a radioimmunoassay, was diminished during stimulation with ADP and thrombin but was normal with free arachidonic acid. In  $^3H$ -arachidonic acid labelled platelets, thrombin-induced mobilization of free

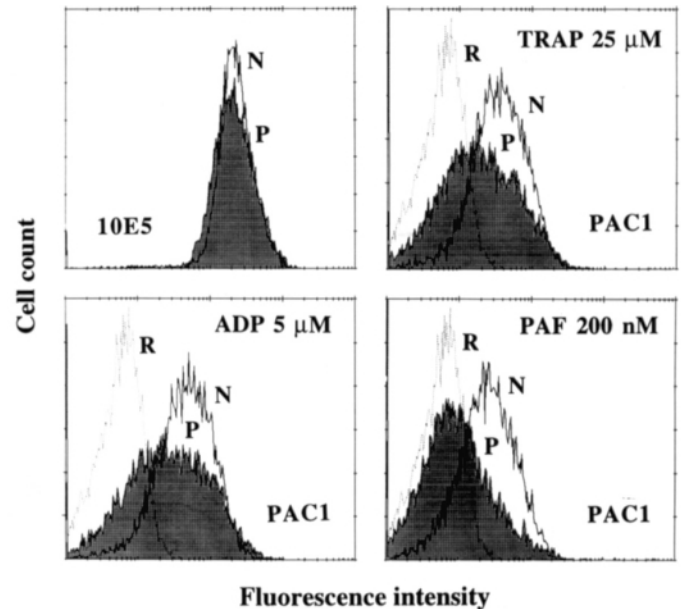


Fig. 44.2. Impaired receptor-mediated activation of GPIIb–IIIa complex on platelets in a patient with  $G\alpha_q$  deficiency. Shown is the flow cytometry analysis of GPIIb–IIIa complex on platelets from a normal donor (N) and patient (P) in the resting state (R) and after activation. Top left panel: Binding of monoclonal antibody 10E5 which recognizes both activated and resting forms of GPIIb–IIIa. This panel shows that the GPIIb–IIIa complexes on platelets from the patient and normal are comparable. Top right and lower panels: Binding of antibody PAC-1 which binds only to the activated form of GPIIb–IIIa after activation with thrombin receptor activating peptide (TRAP, SFLLRN), ADP and platelet activating factor. These studies show that despite the presence of a normal complement of GPIIb–IIIa receptors on the patient's platelets, their activation is diminished as revealed by decreased binding of PAC-1. Antibody binding to platelets was measured as fluorescence intensity shown on abscissa and platelet count is on the ordinate. (Reprinted with permission<sup>62</sup>.)

arachidonic acid from phospholipids was impaired in these patients. Subsequent studies in one of the patients showed that the platelet  $PLA_2$  levels (both membrane and cytosolic) were normal but agonist-induced  $Ca^{2+}$  mobilization<sup>63</sup> and G-protein activation<sup>62</sup> were decreased. The impaired arachidonate liberation in this patient is likely secondary to the defect in  $Ca^{2+}$  mobilization, preventing full expression of  $PLA_2$  activity. Other reports have also documented patients with an impaired release of arachidonic acid<sup>78,91,92</sup>. In one study<sup>78</sup>, the platelets were deficient in GPIIb–IIIa as well; while in another<sup>92</sup>, the patient platelets had SPD in addition to diminished platelet uptake of  $^{14}C$ -arachidonic acid and  $PLA_2$  activity.

### Deficiencies of cyclooxygenase and thromboxane synthase

In 1975, Malmsten et al.<sup>93</sup> reported platelet cyclooxygenase deficiency in a patient with a mild bleeding disorder and impaired aggregation responses to ADP, epinephrine, collagen and arachidonic acid but with normal response to PGG<sub>2</sub>. Subsequently, several other patients have been described with a similar defect in TxA<sub>2</sub> synthesis<sup>94–99</sup>. Because cyclooxygenase mediates the endothelial PGI<sub>2</sub> production, the patient described by Pareti et al.<sup>96</sup> had impaired PGI<sub>2</sub> production as well. Interestingly, this patient with defects in both platelet and vessel wall cyclooxygenase had predominantly bleeding symptoms and not thrombotic events. The patient reported by Rak and Boda<sup>97</sup> had progressive arteriosclerosis as evidenced by cerebrovascular and cardiac events. Using a radioimmunoassay, Roth and Machuga<sup>98</sup> found normal cyclooxygenase levels in five of six patients suspected to have a deficiency, suggesting that these patients may have a functionally abnormal molecule. More recently, three patients have been described<sup>99</sup> with impaired platelet responses and markedly decreased ability to convert arachidonic acid, but not of PGH<sub>2</sub>, to TxA<sub>2</sub>. Using specific antibodies, the authors demonstrated decreased platelet cyclooxygenase-1 levels in two patients and normal levels in the third; levels of thromboxane synthase were normal in all three. Thus, platelet cyclooxygenase deficiency is manifested either by undetectable enzyme protein levels (Type 1) or as an antigenically detectable but functionally abnormal molecule (Type 2)<sup>99</sup>.

Thromboxane synthase deficiency has been described in two patients<sup>100,101</sup>. Another patient has been described<sup>102</sup> with bleeding manifestations, whose platelets had impaired aggregation, dense granule secretion and TxA<sub>2</sub> production upon activation. Liberation of arachidonic acid from phospholipids was normal and TxA<sub>2</sub> synthesis was markedly diminished during stimulation of platelet-rich plasma with thrombin, but substantial TxA<sub>2</sub> production was noted on activation of patient's platelets suspended in a buffer containing no albumin. These findings suggest that the platelets had diminished levels of enzyme activity which could express itself adequately only in the absence of albumin, which binds free arachidonic acid avidly. Although the exact site of the enzyme defect was not elucidated, these studies reflect the modulating role of albumin on platelet arachidonate metabolism.

### Defects in cytoskeletal assembly

The Wiskott–Aldrich syndrome (WAS) is an X-linked inherited disorder affecting T-lymphocytes and platelets and

characterized by thrombocytopenia, eczema, and immunodeficiency. The platelets are smaller than normal with a shortened survival, and several platelet abnormalities have been reported including dense granule SPD<sup>103</sup>, deficiencies of GPIb and GPIa, impaired aggregation responses and abnormalities in platelet energy metabolism<sup>103–106</sup>. WAS and the related X-linked thrombocytopenia (XLT) arise from mutations of the X-chromosome gene (location Xp11.22) called WASP which encodes a novel intracellular proline-rich 53-kD protein of 502 amino acids<sup>103,107</sup>. This multifaceted WASP protein appears to constitute a link between the cytoskeleton and signal transduction pathways. WASP has been shown to bind to p47<sup>ncck</sup>, a SH3-containing adapter protein, and to active GTP-complexed form of Cdc42, a member of the small GTP-hydrolyzing proteins (GTPases) which is involved in actin remodelling<sup>103,108,109</sup>. WASP has an actin-binding region at the carboxyl end, and an amino terminal pleckstrin homology (PH) domain which plays a role in the binding of the protein to phospholipids (PIP<sub>2</sub>). Overall, WASP appears to be a key cytoplasmic regulator of cytoskeletal assembly in the affected WAS cells leading to the unifying concept of WAS as a cytoskeletal disease<sup>103,109</sup>. However, specific abnormalities in platelet cytoskeletal assembly state need to be documented in WAS. In terms of therapy, patients with WAS respond to splenectomy with an increase in platelet number and size and WAS has been successfully managed with bone marrow transplantation<sup>103,110–112</sup>. Serious infections remain a major issue in individuals who undergo splenectomy<sup>111,112</sup>.

### Miscellaneous disorders associated with platelet function defects

Platelet function abnormalities have been reported in inherited connective tissue disorders such as osteogenesis imperfecta, the Ehlers–Danlos syndrome, and the Marfan's syndrome<sup>113–116</sup> which are associated with bleeding manifestations more likely due to the underlying connective tissue defect rather than the platelet dysfunction. Abnormal platelet responses have been reported in patients with hexokinase deficiency<sup>117</sup>, glucose-6 phosphatase deficiency (glycogen storage disease, type I)<sup>118,119</sup>, Epstein's syndrome characterized by thrombocytopenia, hereditary nephritis and nerve deafness<sup>120,121</sup>, and Down's syndrome<sup>122</sup>. In glucose-6 phosphatase deficiency the platelet abnormalities were reversed following total parenteral nutrition in these patients for 10–12 days<sup>118,119</sup> indicating that the platelets may be intrinsically normal. The May–Hegglin anomaly is characterized by giant platelets,



thrombocytopenia and basophilic granulocyte inclusions. Some patients with this anomaly have platelet function and ultrastructural abnormalities<sup>123,124</sup>. Despite the large platelet size, the surface membrane glycoproteins appear to be normal<sup>125</sup>. In all of the disorders described here, the precise mechanisms leading to the impaired platelet responses are poorly understood.

### Relative frequency of various platelet abnormalities

Thrombasthenia, the Bernard–Soulier syndrome and afibrinogenemia are rare disorders. It is generally considered that vWD is the most common congenital platelet function disorder, although the severe forms are rare. Patients currently classified in the heterogeneous category of abnormalities in platelet secretion and signal transduction are probably the most frequently encountered inherited platelet defects. In our experience, the SPD is present in less than 10–15% of patients with congenital platelet defects. Abnormalities in TxA<sub>2</sub> production occur in about 10–20% of patients. A large proportion of the remaining patients with abnormal aggregation and secretion responses demonstrate adequate dense granule stores and produce substantial amounts of TxA<sub>2</sub>. They may have defects in the early signalling mechanisms. In this highly heterogeneous group, the underlying mechanisms still need to be established.

### Therapy of patients with congenital platelet function disorders

The mainstays of therapy of patients with vWD and afibrinogenemia during bleeding episodes and surgical procedures are methods aimed at elevating the deficient factor levels in plasma. Platelet transfusions and 1-desamino-8D-arginine vasopressin (DDAVP) are the main therapy of patients with inherited platelet defects. Because of the wide disparity in bleeding manifestations therapeutic approaches need to be individualized. Platelet transfusions are effective in controlling the bleeding manifestations but come with potential risks associated with blood products, including alloimmunization. Patients with thrombasthenia may develop antibodies<sup>126,127</sup> against GPIIb-IIIa that compromise the efficacy of subsequent platelet transfusions. A viable alternative to platelet transfusions is intravenous administration of DDAVP which shortens the bleeding time in a substantial number of patients with platelet function defects<sup>128–131</sup>. This response

appears to be dependent on the defects leading to the platelet dysfunction<sup>128,130,131</sup>. Most patients with thrombasthenia have not responded to DDAVP infusion with a shortening of the bleeding time<sup>128,130–132</sup> with exceptions<sup>133</sup>. However, it is unknown whether DDAVP improves hemostasis in these patients despite a lack of shortening of the bleeding time. Responses in patients with SPD have been variable with a shortening of the bleeding time in some patients<sup>131,134,135</sup> but not others<sup>128,130</sup>. A substantial number of patients with the primary secretion defects appear to respond to DDAVP with a shortening of the bleeding time<sup>130</sup>. In uncontrolled studies it has been feasible to manage selected patients with congenital platelet defects undergoing surgical procedures with DDAVP alone<sup>128,130</sup>. However, this approach needs to be individualized based on the nature of the surgery and the intensity of bleeding symptoms, and platelet transfusions need to be readily available for use in the event of excess hemorrhage. The mechanisms by which DDAVP enhances hemostasis in patients with platelet defects are unclear<sup>128,129</sup>. Its administration induces a rise in plasma vWF, FVIII and tissue plasminogen activator. The abnormal *in vitro* platelet aggregation or secretion responses in patients with platelet defects are not corrected by DDAVP<sup>130</sup>. Enhancement of aggregation responses by DDAVP or a direct stimulatory effect has been noted in some studies<sup>136</sup> but not others<sup>137,138</sup>.

The other approaches that have been utilized to improve hemostasis in patients with inherited platelet defects include a short 3–4 day course of prednisone (20–50 mg)<sup>139</sup> and the administration of antifibrinolytic agents epsilon-aminocaproic acid or tranexamic acid which have been successfully used in patients with coagulation disorders<sup>129,140,141</sup>. Although allogeneic bone marrow transplantation has been successfully performed with complete correction in patients with thrombasthenia<sup>142</sup> and the Wiskott–Aldrich syndrome<sup>103,110</sup>, such a drastic therapy is rarely required in patients with congenital platelet function disorders.

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## Acquired platelet function defects

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Platelet function can be impaired by medications and by non-hematologic and hematologic disorders (Table 45.1). Although the effect of these drugs and disorders on platelet function is usually mild, it can be substantial when it is associated with other hemostatic disorders.

### Medications that affect platelet function

The effect of medications is the most common cause of acquired platelet dysfunction (Table 45.2)<sup>1</sup>. While some medications simply prolong the bleeding time without causing bleeding, others prolong the bleeding time and either cause or exacerbate a bleeding diathesis. Moreover, it is important to recognize that many drugs affect *ex vivo* and *in vitro* platelet function but without obvious clinical consequences.

**Table 45.1.** Acquired qualitative platelet disorders

- |  |
|--|
| 1. <i>Drugs that affect platelet function</i>                                |
| 2. <i>Systemic disorders associated with abnormal platelet function</i>      |
| Uremia   |
| Antiplatelet antibodies  |
| Cardiopulmonary bypass   |
| Miscellaneous disorders  |
| Liver disease  |
| Disseminated intravascular coagulation                                       |
| Bartter syndrome   |
| 3. <i>Hematological disorders associated with abnormal platelet function</i> |
| Chronic myeloproliferative disorders   |
| Leukemias and myelodysplastic syndromes                                      |
| Dysproteinemias  |
| Acquired von Willebrand disease  |

**Table 45.2.** Drugs that inhibit platelet function

<i>Non-steroidal anti-inflammatory drugs</i>
Aspirin; reversible cyclooxygenase inhibitors such as indomethacin, ibuprofen, sulindac, and naproxen
<i>Antibiotics</i>
Penicillins, cephalosporins, nitrofurantoin, Miconazole
<i>Thienopyridines</i>
Ticlopidine, clopidogrel
<i>GPIIb/IIIa antagonists</i>
Abciximab, tirofiban, eptifibatide
<i>Drugs that affect platelet cAMP levels</i>
Prostacyclin, iloprost, dipyridamole, cilostazol,
<i>Anticoagulants and fibrinolytic agents</i>
Heparin, streptokinase, tissue plasminogen activator, urokinase, $\epsilon$ -aminocaproic acid
<i>Cardiovascular drugs</i>
Nitroglycerin, isosorbide dinitrate, propranolol, nitroprusside, nifedipine, verapamil, diltiazem, quinidine
<i>Volume expanders</i>
Dextran, hydroxyethyl starch
<i>Psychotropic drugs and anesthetics</i>
Psychotropic drugs: imiprimine, amitriptyline, nortryptaline, chlorpromazine, promethazine, flufenazine, trifluoperazine, haloperidol
Anesthetics: dibucaine, tetracaine, metycaine, cyclaine, butacaine, nepercaine, procaine, cocaine, plaquenil, halothane
<i>Chemotherapeutic agents</i>
Mithramycin, daunorubicin, BCNU
<i>Miscellaneous drugs</i>
Serotonin antagonists
Antihistamines
Radiographic contrast agent
Foods and food additives

## Aspirin and other non-steroidal anti-inflammatory drugs

### Aspirin

Aspirin inhibits platelet function by irreversibly inactivating the enzyme prostaglandin endoperoxide H synthase-1 (PGHS-1, cyclooxygenase-1, COX-1)<sup>2</sup>, thereby preventing the conversion of arachidonic acid to the thromboxane synthase substrate prostaglandin H<sub>2</sub>. The resulting inability to synthesize thromboxane A<sub>2</sub>, a platelet agonist and vasoconstrictor, impairs platelet responses to ADP, epinephrine, arachidonic acid, and to low doses of collagen and thrombin, although responses to higher doses of collagen or thrombin are unaffected<sup>3,4</sup>. A single 100-mg dose of aspirin almost completely inhibits platelet cyclooxygenase, as does a 30 mg dose taken daily for 7–10 days<sup>5</sup>. Aspirin irreversibly inhibits cyclooxygenase in endothelial cells<sup>6</sup>, but has no lasting effect on prostacyclin production by these cells because they can synthesize additional enzyme<sup>7,8</sup>.

Aspirin is one of the few drugs that prolongs the bleeding time in humans. In normal subjects, aspirin prolongs the bleeding time to no more than 1.2 to 2.0 times the baseline<sup>9,10</sup>. The bleeding time may remain prolonged for 1 to 4 days after aspirin administration has been discontinued and ex vivo platelet aggregation may be abnormal for up to a week, until the affected platelets are replaced by unaffected ones<sup>11</sup>.

In normal subjects, aspirin has a minimal effect on hemostasis, although chronic aspirin ingestion has been reported to increase bruising, epistaxis and gastrointestinal blood loss<sup>12</sup>; the latter likely due to a direct effect on the gastric mucosa<sup>13,14</sup>. When aspirin was taken as primary prophylaxis against myocardial infarction, there was a slight increase in hemorrhagic strokes<sup>12</sup>. Aspirin may also increase maternal and neonatal bleeding during parturition<sup>15</sup> and some studies suggest that preoperative aspirin administration increases blood loss following cardiothoracic and general surgery<sup>16–18</sup>. On the other hand, retrospective analysis indicates that aspirin administration does not affect the safety of epidural and spinal anesthesia<sup>19</sup>. Aspirin markedly prolongs the bleeding time and can precipitate hemorrhage in individuals with preexisting hemostatic defects such as von Willebrand disease, hemophilia, warfarin ingestion, uremia, and disorders of platelet function<sup>20–22</sup>. Ethanol has been reported to potentiate the effect of aspirin<sup>23,24</sup>, whereas DDAVP can shorten a prolonged bleeding time due to aspirin<sup>25,26</sup>.

## Other non-steroidal anti-inflammatory drugs (NSAIDs)

NSAIDs such as indomethacin, ibuprofen, naproxen, phenylbutazone, and sulfinpyrazone reversibly inhibit platelet cyclooxygenase by competing with arachidonic acid for binding to the active site of the enzyme<sup>2</sup>. Therapeutic doses of NSAIDs may cause a transient prolongation of the bleeding time; however, this is usually not clinically significant<sup>27–29</sup>. Ibuprofen has been given safely to patients with hemophilia A<sup>30,31</sup>. Analgesics such as acetaminophen, sodium or choline salicylate, and narcotics neither inhibit cyclooxygenase nor prolong the bleeding time<sup>30,32,33</sup>.

## Antibiotics

Large doses of penicillin can impair ex vivo platelet aggregation and secretion, inhibit ristocetin-induced platelet agglutination, and prolong the bleeding time<sup>34–36</sup> by impairing the interaction of agonists and von Willebrand factor (vWf) with the platelet membrane<sup>37,38</sup>. Thus, penicillin may bind to membrane components necessary for platelet adhesion and/or signal transduction. Consistent with this possibility, the relative antiplatelet activity of various penicillins correlates with their lipid solubility and with the inhibitory potency of the isolated side chains<sup>39,40</sup>.

The maximal effect of penicillin on platelet function is seen after 1 to 3 days of administration and may persist for several days after the antibiotic is stopped. Although clinically significant bleeding has been reported with carbenicillin, penicillin G, ticarcillin, and nafcillin, it is far less common than prolongation of the bleeding time<sup>34,41</sup>. Patients with coexisting hemostatic defects may be prone to bleeding. A similar pattern of platelet dysfunction has been reported with cephalosporins moxalactam and cefoxitin, but not with ceftizoxime, cefoperazone, and ceftriaxone<sup>34,42,43</sup>. Moxalactam, cefamandole, and cefoperazone also induce hypothermia, either by decreasing the amount of vitamin K synthesized by the intestinal microflora or by directly inhibiting the vitamin K-dependent carboxylase<sup>34,44</sup>. Nitrofurantoin, a structurally unrelated antibiotic, may cause a mild prolongation of the bleeding time and impair platelet aggregation when blood levels of the drug are higher than 20 μM<sup>45</sup>. Miconazole, an antifungal agent, has been shown to inhibit human and rabbit platelet cyclooxygenase in vitro and rabbit platelet cyclooxygenase after intravenous infusion<sup>46</sup>.

## Thienopyridines

The thienopyridines ticlopidine and clopidogrel inhibit platelet function by irreversibly antagonizing the G<sub>i</sub>-



coupled platelet ADP receptor P2Y<sub>12</sub><sup>47–49</sup>. Thus, ADP-induced platelet shape change and calcium transients are unimpaired, whereas the ability of ADP to inhibit PGI<sub>2</sub>-stimulated increases in cAMP is inhibited. Both drugs are prodrugs; hepatic metabolism is required to generate the active agents<sup>50</sup>. Thus, although inhibition of platelet aggregation and prolongation of the bleeding time may be seen within 24–48 hours of the first dose, the effects are not maximal for 4–6 days and may last for 4–10 days after the drugs have been discontinued.

Ticlopidine administration is associated with potentially serious hematological complications, including a 2% incidence of neutropenia<sup>50–52</sup>, and less commonly, aplastic anemia, thrombotic thrombocytopenic purpura, and thrombocytopenia<sup>53–57</sup>. Results from the CAPRIE trial suggest that these complications are far less common with clopidogrel<sup>58</sup>. However, 20 cases of TTP have been reported since clopidogrel was approved by the US FDA in 1998<sup>59,60</sup>.

### GPIIb/IIIa receptor antagonists

Three intravenous GPIIb/IIIa antagonists – abciximab, eptifibatid, and tirofiban – have been approved for use in the treatment of acute coronary artery disease and a number of orally-active GPIIb/IIIa antagonists are undergoing development. As would be expected, these drugs predispose to bleeding. In the EPIC trial, 14% of patients given abciximab (a chimeric human-murine anti-GPIIb/IIIa monoclonal antibody Fab fragment) plus heparin and aspirin during percutaneous coronary angioplasty experienced major bleeding compared to 7% of patients receiving only heparin and aspirin<sup>61</sup>. In the subsequent EPILOG trial, in which the dose of heparin was decreased, the incidence of major bleeding in patients receiving abciximab decreased to 2.0% compared to 3.1% in the control group<sup>62</sup>, implying that bleeding in patients given GPIIb/IIIa antagonists can be minimized by paying careful attention to other factors that impair hemostasis<sup>63</sup>. Platelet transfusions readily reverse GPIIb/IIIa antagonism by abciximab by decreasing the extent of GPIIb/IIIa blockade. The ability of platelet transfusion to reverse the effects of the other GPIIb/IIIa antagonists is less clear, but these drugs have short half-lives when renal and hepatic function are normal.

Thrombocytopenia has been observed in small numbers of patients given GPIIb/IIIa antagonists<sup>64–67</sup> and must be differentiated from pseudothrombocytopenia that accounts for approximately 30% of the thrombocytopenia observed in patients receiving these drugs<sup>68</sup>. Rarely, acute profound thrombocytopenia with platelet counts below 20000/μl occurs within 2–4 hours after initiating

therapy<sup>69,70</sup>. The pathogenesis of this thrombocytopenia is uncertain, but it readily reverses when the drugs are stopped and may be reversed more rapidly by platelet transfusion<sup>63</sup>.

### Drugs that affect platelet cyclic nucleotide levels

Increasing the platelet content of cAMP impairs platelet function. Although the pyrimidopyrimidine derivative dipyridamole increases platelet cAMP by inhibiting its degradation by cyclic nucleotide phosphodiesterase (PDE), its utility at clinically achievable concentrations is controversial<sup>71,72</sup>. On the other hand, treatment with a sustained release dipyridamole preparation plus aspirin may be beneficial in the secondary prevention of stroke and transient ischemic attack<sup>73</sup>. Cilostazol, a specific inhibitor of PDE3, the most abundant PDE in platelets<sup>74</sup>, has been approved for the treatment of peripheral vascular disease<sup>75</sup> and may be useful in the prevention of cardiac stent occlusion<sup>76</sup>. Intravenous infusions of prostaglandin E<sub>1</sub> (PGE<sub>1</sub>), prostacyclin, or stable analogues of prostacyclin decrease platelet responsiveness by stimulating platelet adenylyl cyclase<sup>77–79</sup>. Their clinical utility is limited by their short half-life and side effects due to peripheral vasodilatation<sup>77,80</sup>. Nitric oxide and organic nitrates such as nitroglycerin inhibit platelet function in vitro by activating guanylyl cyclase<sup>81</sup>. Their effect on platelet function in vivo is uncertain. High concentrations of caffeine and theophylline also inhibit platelet phosphodiesterases in vitro.

### Anticoagulants and fibrinolytic agents

Heparin can impair platelet function by inhibiting the generation of thrombin, a potent platelet agonist, and perhaps by inhibiting thrombin binding to GPIIb<sup>82</sup>. Conversely, in vitro studies suggest that heparin can enhance platelet aggregation induced by other platelet agonists<sup>83</sup>. Large doses of heparin can also impair vWf-dependent platelet function, possibly by binding to the heparin-binding domain of vWf<sup>84</sup>. Whether any of these observations contribute to the bleeding complications of heparin therapy is uncertain. Pharmacologic doses of streptokinase, urokinase, and tissue plasminogen activator (t-PA) can affect platelet function<sup>85</sup>. High concentrations of plasmin in vitro cause platelet aggregation<sup>86</sup> and increases in the urinary excretion of thromboxane A<sub>2</sub> metabolites have been observed in patients receiving streptokinase or t-PA, implying that platelet activation had occurred<sup>87,88</sup>. On the other hand, a number of studies suggest that plasmin can inhibit platelet function. For example, high levels of plasmin-generated fibrin(ogen) degradation products

impair platelet aggregation<sup>89</sup> and plasmin enzymatically degrades platelet GPIIb<sup>90,91</sup>, inhibits platelet arachidonic acid metabolism<sup>92</sup>, and promotes the disaggregation of platelet aggregates by lysing GPIIb/IIIa bound fibrinogen<sup>93</sup>. Whether these observations are clinically significant is uncertain<sup>94</sup>.

### Miscellaneous agents

A number of other medications have been reported to affect *in vitro* platelet function, but this appears to be of little clinical significance. Drugs in this category include the cardiovascular drugs nitroprusside<sup>95,96</sup>, nitroglycerine<sup>97</sup>, nitric oxide<sup>98–100</sup>, propranolol<sup>101,102</sup> and calcium channel blockers such as verapamil, nifedipine, and diltiazem<sup>103,104</sup>. The volume expander dextran can prolong the bleeding time of patients with von Willebrand disease, but this has not been observed in most normal subjects<sup>105–107</sup>. Hydroxyethyl starch, while generally safe, may prolong the bleeding time and predispose to hemorrhage, particularly if it is administered in doses exceeding 20 ml/kg of a 6% solution<sup>108–110</sup>. Antidepressants and phenothiazines can impair platelet aggregation *ex vivo*, but this is not associated with bleeding<sup>111,112</sup>. The general anesthetic halothane can cause a slight bleeding time prolongation, but this has no adverse effect on surgical hemostasis<sup>113</sup>. Cocaine has been reported to either inhibit platelet function<sup>114,115</sup> or to induce platelet activation<sup>116</sup>.

The chemotherapeutic drug mithramycin has been associated with mucocutaneous bleeding, increased bleeding times, and decreased platelet aggregation<sup>117</sup>. An *ex vivo* defect in platelet secretion and secondary aggregation has been observed in patients receiving autologous bone marrow and high dose chemotherapy with cisplatin, cyclophosphamide, and either BCNU or melphelan<sup>118</sup>. Daunorubicin and BCNU inhibit platelet aggregation and secretion *in vitro*, but do not induce clinically significant platelet dysfunction<sup>119–121</sup>. Cyclosporine A may enhance ADP-stimulated platelet aggregation *ex vivo* and *in vitro*<sup>122–124</sup>. Antihistamines<sup>125</sup>, the serotonin antagonist ketanserin<sup>126</sup>, and some radiographic contrast agents<sup>127,128</sup> impair platelet aggregation *ex vivo* by unknown mechanisms.

Diets rich in  $\omega$ -3 fatty acids cause a slight prolongation of the bleeding time<sup>129</sup> by reducing the platelet content of arachidonic acid and by competing with arachidonic acid for cyclooxygenase<sup>130,131</sup>. Easy bruising noted after eating Chinese food has been attributed to an effect of the black tree fungus<sup>132</sup>. An onion extract can inhibit platelet arachidonic acid metabolism<sup>133</sup>. The garlic component, ajoene, inhibits platelet aggregation<sup>134,135</sup>. Extracts of two com-

monly used spices, cumin and turmeric, inhibit platelet aggregation and eicosanoid biosynthesis<sup>136</sup>.

## Systemic disorders associated with abnormal platelet function

### Uremia

Bleeding can be a serious complication of acute and chronic renal failure<sup>137</sup>, although the frequency of severe, spontaneous hemorrhage decreased with the advent of dialysis. Moreover, experience with percutaneous renal biopsy in several thousand patients with renal disease indicates that their hemostatic defect is usually mild<sup>138,139</sup>.

The hemostatic defect in uremia has been attributed to abnormal platelet function<sup>140</sup>. Platelet adhesion is defective in uremia<sup>141–143</sup>. One major factor may be anemia. Lowering the hematocrit causes an *ex vivo* platelet adhesion defect that can be corrected by increasing the hematocrit to  $\geq 30\%$ <sup>141</sup>. In uremic patients, increasing the hematocrit to 27–32% by red blood cell transfusion or erythropoietin administration either partially or completely normalizes the bleeding time<sup>140,144–148</sup>. However, the effect of anemia on the bleeding time is not unique to uremia. In normal subjects, the bleeding time correlates with the hematocrit, even though both sets of values are in the normal range<sup>149</sup>. Furthermore, bleeding times can be prolonged in patients with severe anemia of any etiology<sup>149,150</sup>.

Because increasing the hematocrit does not shorten the bleeding time in all uremic patients, other factors likely impair platelet adhesion as well<sup>141</sup>. Abnormal ristocetin-induced platelet aggregation suggests that uremia induces a quantitative or qualitative abnormality in vWf or in its platelet receptor GPIIb-IX-V. However, the plasma concentration of vWf in patients with renal failure is either normal or elevated and qualitative vWf abnormalities have not been uniformly observed<sup>142,151,152</sup>. Nonetheless, uremic plasma can inhibit platelet adhesion to de-endothelialized human umbilical artery segments<sup>142</sup>. Uremic platelets also spread poorly on the subendothelium of rabbit blood vessels<sup>153</sup>, an abnormality attributed to impaired vWf binding to activated GPIIb/IIIa, suggesting that platelet activation may be defective in uremia.

Additional observations suggest that a platelet activation defect is present in uremia. For example, uremia, and uremic plasma, can reduce agonist-stimulated fibrinogen binding to GPIIb/IIIa, platelet aggregation, and platelet secretion<sup>154</sup>. Platelet procoagulant activity is consistently reduced in uremia<sup>155</sup>. Agonist-induced biochemical

responses, including a rise in cytoplasmic free calcium levels<sup>156</sup>, release of arachidonic acid from platelet phospholipids<sup>157</sup>, and conversion of arachidonic acid to prostaglandin endoperoxides and thromboxane A<sub>2</sub> can be reduced in platelets from uremic patients<sup>158–160</sup>. A decrease in the dense-granule content of ADP and serotonin has been observed in uremia<sup>161</sup>, as has an increase in the concentration of cAMP<sup>162</sup>.

Both dialysable and non-dialysable substances may be responsible for the platelet activation defect in uremia. These include small molecules such as guanidinosuccinic acid, phenolic acids, and a poorly characterized 'middle molecules'<sup>163</sup>. In uremic rats, prolonged bleeding times were normalized by an inhibitor of nitric oxide formation<sup>164</sup>, suggesting that nitric oxide may be partly responsible for the defective function of uremic platelets<sup>165</sup>. Impaired platelet aggregation may improve following dialysis<sup>166,167</sup>, but venous and arterial segments from uremic patients produce more prostacyclin than their normal counterparts and this is not corrected by dialysis<sup>168,169</sup>.

When bleeding complicates a surgical procedure in a patient with renal failure or occurs spontaneously and cannot be attributed to an etiology other than uremia, there are several therapeutic maneuvers that can be undertaken (Table 45.3). These manoeuvres are not uniformly effective and the choice of therapy should be based on considerations such as the severity of the bleeding, the predicted duration of therapeutic effect, and the risks of therapy.

### Dialysis

Intensive dialysis can correct the bleeding time and bleeding diathesis in many patients, but is only partially effective in others<sup>167,170</sup>. Peritoneal dialysis and hemodialysis are equally effective<sup>171,172</sup>. If a patient undergoing dialysis bleeds, it may be worthwhile to increase the intensity of the dialysis.

### Desmopressin (1-desamino-8-D-arginine vasopressin, DDAVP)

This vasopressin analogue has been reported to shorten the bleeding time in 50 to 75 per cent of patients with uremia. In many cases, surgery has been carried out safely after administration of this drug, although no controlled trial has been performed<sup>173</sup>. DDAVP is usually administered intravenously in a dose of 0.3 µg/kg over 15 to 30 min (maximum dose 20 µg), but it is also effective at this dose when given subcutaneously<sup>173</sup> or intranasally<sup>174</sup>. Improvement in the bleeding time is seen within 30 to 60 min of administration, lasts for approximately 4 h, and roughly correlates with the rise in the plasma levels of vWf and the appearance in the circulation of high molecular weight

**Table 45.3.** Treatment of platelet dysfunction in patients with uremia

Dialysis
Desmopressin
Red cell transfusion
Conjugated estrogens
Cryoprecipitate

vWf multimers<sup>175</sup>. In some patients, the drug has been given repeatedly at 12- to 24-h intervals, although tachyphylaxis can occur<sup>176</sup>.

Side effects of DDAVP administration are uncommon and generally mild. A 10 to 15% decrease in mean arterial pressure, a 20 to 30% increase in pulse rate, facial flushing, water retention, and hyponatremia leading to seizures can occur<sup>173,177</sup>. The latter has not been observed in patients whose kidneys cannot respond to the hormone. Rarely, stroke and myocardial infarction have been reported in uremic and non-uremic individuals with atherosclerosis after DDAVP administration<sup>178–180</sup>. If dialysis is not effective, DDAVP is the treatment of choice for uremic bleeding, particularly if only a short-term effect is required<sup>175</sup>.

### Red cell transfusion

Increasing the hematocrit to 27–32%, either by red blood cell transfusion or treatment with erythropoietin, is associated with correction of the bleeding time in uremic individuals<sup>140,145–148</sup>. The beneficial effects of red cells and DDAVP may be additive<sup>181</sup>. Correction of the bleeding time by increasing the red cell mass would be expected to be more durable than correction with DDAVP. A number of reports suggest that erythropoietin has an effect on platelets that is independent of an increase in hematocrit<sup>144</sup>, perhaps by increasing the number of young platelets in the circulation<sup>182</sup>.

### Conjugated estrogens

Conjugated estrogens, at dose of 0.6 mg/kg intravenously for 5 days, have been reported to shorten the bleeding time in most uremic individuals<sup>151,183–185</sup> and appear to be useful in uremic patients who bleed from gastrointestinal telangiectasia<sup>186</sup>. Shortening of the bleeding time may be seen within 72 hrs, but the maximal effect occurs within 5 to 7 days and can persist for up to 14 days.

### Cryoprecipitate

Infusion of cryoprecipitate has been reported to correct the bleeding time and to ameliorate bleeding<sup>187,188</sup>, but

others have reported inconsistent results<sup>189</sup>. Hemostasis may be promoted by either vWf or the platelet microparticles found within cryoprecipitate preparations<sup>190</sup>.

### Anti-platelet antibodies

Antibody binding to platelets occurs in several pathologic conditions, such as idiopathic thrombocytopenic purpura (ITP), system lupus erythematosus (SLE), and platelet alloimmunization, usually resulting in platelet destruction. In most instances, surviving platelets function normally, but in some cases of ITP, bleeding times may be shorter than expected for the degree of thrombocytopenia<sup>191</sup>. Conversely, some individuals with circulating antiplatelet antibodies have impaired platelet function.

The mechanism by which auto- or alloantibodies inhibit platelet function is usually not apparent, although antibody binding to specific platelet membrane proteins has been shown to be responsible in several cases. Most autoantibodies are directed against the GPIIb/IIIa complex, but antibodies directed against GPIb-IX-V, GPIa/IIa, and GPIV have been detected as well<sup>192</sup>. Thus, the platelets of some patients with antiplatelet antibodies may exhibit impaired platelet aggregation to ADP, epinephrine, or collagen<sup>193-196</sup>. Two IgG autoantibodies against GPIb have been reported that selectively inhibited ristocetin-induced platelet aggregation<sup>197,198</sup>. Impaired collagen-induced platelet aggregation has been associated with autoantibodies against a platelet collagen receptor, GPIa/IIa<sup>199,200</sup>. Antibodies have also been observed to activate platelets and induce aggregation and secretion via immune complex binding to the platelet Fc receptors, by depositing sublytic quantities of the complement C5b-9 complex on the platelet surface<sup>201</sup>, and by binding to a specific membrane antigen<sup>202</sup>. This may explain the acquired storage pool disease occasionally seen in ITP or SLE<sup>203,204</sup>.

Platelet dysfunction should be suspected in a patient with ITP or SLE who has mucocutaneous bleeding with a platelet count that is not ordinarily associated with bleeding. In these cases, the bleeding time may be longer than expected for the platelet count<sup>203,205</sup>. Patients (usually women) have also been reported with 'easy bruising' a normal platelet count, circulating antiplatelet antibodies and megathrombocytes<sup>206</sup>. These patients may have ITP with 'compensated thrombocytolysis'.

### Cardiopulmonary bypass

Thrombocytopenia and platelet function defects are consistent consequences of circulating blood through an extracorporeal bypass circuit<sup>207,208</sup>. Platelet counts typi-

cally decrease to 50% of presurgical levels and can persist for as long as several days<sup>207,209,210</sup>. The thrombocytopenia can be attributed to hemodilution from priming the pump with colloid or crystalloid solutions<sup>209-211</sup>, but it also can result from platelet adhesion to artificial surfaces in the circuit, as shown in scanning electron micrographs<sup>212-214</sup>. Other factors include disseminated intravascular coagulation, sequestration of damaged platelets in the liver, and heparin-induced thrombocytopenia<sup>215</sup>.

Qualitative platelet defects manifest as prolonged bleeding times, abnormal *ex vivo* platelet aggregation, decreased ristocetin-induced platelet agglutination,  $\alpha$  and dense granules deficiency, and generation of platelet microparticles<sup>207,209,210,214,216-218</sup>. Their severity correlates with the duration of extracorporeal bypass and they generally resolve within 2 to 24 hours<sup>219</sup>. Bypass-induced platelet function defects likely result from platelet activation and fragmentation<sup>218,220</sup> due to hypothermia, contact with fibrinogen-coated synthetic surfaces, contact with the blood-air interface, cardiotomy suction, and exposure to traces of thrombin, plasmin, ADP or complement<sup>214,221-224</sup>. Drugs such as heparin, protamine and aspirin, as well as fibrin degradation products, can also impair platelet function<sup>208,225,226</sup>.

The most important determinant of blood loss following cardiopulmonary surgery is the surgical procedure itself. If excessive non-surgical postoperative bleeding occurs, one should verify that the patient is no longer hypothermic and that heparin has been fully reversed. If neither of these is a factor, then administration of pharmacologic agents, along with judicious transfusions of platelets, cryoprecipitate, fresh frozen plasma and red blood cells, is appropriate. Several pharmacologic agents have been tried to assist in the management of postoperative bleeding. Although patients with excessive post-operative blood loss can respond to DDAVP, as evidenced by a shortening of the bleeding time, the results of trials using DDAVP have been contradictory, some studies showing a reduced blood loss and others showing no benefit<sup>227,228</sup>. Because platelet activation during bypass could be a major cause of postoperative platelet dysfunction, infusions of PGE<sub>1</sub>, prostacyclin, or stable prostacyclin analogues have been tried in animal and humans to increase platelet cyclic AMP and reduce platelet responsiveness. Randomized trials using prostacyclin and its analogue, iloprost, did not show a clear overall benefit<sup>77,80</sup>. The protease inhibitor aprotinin can reduce mediastinal blood loss and transfusion requirements<sup>229,230</sup>. Some studies suggest that aprotinin exerts a protective effect on platelets<sup>229,231</sup>, but others do not<sup>232,233</sup>. Aprotinin does inhibit hyperfibrinolysis and this may be its sole beneficial activity at low dosage<sup>232,234</sup>. Other anti-

fibrinolytic agents that may have a role in minimizing post-operative blood loss include  $\epsilon$ -aminocaproic acid and tranexamic acid<sup>235,236</sup>.

### Miscellaneous disorders

Chronic liver disease has been reported to cause a prolonged bleeding time and reduced platelet aggregation and procoagulant activity<sup>237,238</sup>. The prolonged bleeding time in such patients may respond to infusion of DDAVP<sup>239</sup>. A number of defects in platelet function have been reported in patients with cirrhosis including dense granule deficiency<sup>240</sup>, increases in the platelet content of cAMP and cGMP<sup>241</sup>, degradation of von Willebrand factor with loss of high molecular weight multimers<sup>242</sup>, and paradoxically, platelet hypersensitivity to botrocetin<sup>243</sup>. However, these defects are not specific for liver disease and the basis for the hemorrhagic diathesis associated with liver disease is multifactorial<sup>244,245</sup>. Patients with disseminated intravascular coagulation (DIC) can exhibit reduced platelet aggregation and acquired storage pool deficiency<sup>246,247</sup>. These result from platelet activation in vivo by thrombin or other agonists. In addition, elevated levels of fibrin(ogen) degradation products and low fibrinogen levels contribute to the platelet defect. However, it is difficult to assess the significance of platelet dysfunction in most patients with DIC due to the simultaneous presence of thrombocytopenia and other hemostatic defects. Platelet function abnormalities have been described in Bartter syndrome, possibly due to an inhibitory prostaglandin<sup>248</sup>. There are isolated reports of a slight prolongation of the bleeding time and/or ex vivo platelet function defects in a number of other conditions, including nonthrombocytopenic purpura with eosinophilia<sup>249,250</sup>, atopic asthma and hay fever<sup>251</sup>, acute respiratory failure<sup>252</sup>, and Wilms tumour elaborating hyaluronic acid<sup>253</sup>.

### Hematologic disorders associated with abnormal platelet function

#### Chronic myeloproliferative disorders

Several factors contribute to abnormal hemostasis in the chronic myeloproliferative disorders essential thrombocythemia, polycythemia rubra vera, myelofibrosis with myeloid metaplasia, and chronic myelogenous leukemia<sup>254</sup>. These include the increased whole-blood viscosity characteristic of polycythemia vera<sup>255,256</sup>, intrinsic defects in platelet function that generally do not prolong the bleeding time<sup>257</sup>, and thrombocytosis<sup>258–262</sup>.

**Table 45.4.** Biochemical abnormalities reported in platelets of patients with myeloproliferative disorders

Decreased arachidonic acid release from membrane phospholipids
Reduced cyclooxygenase and/or lipoxygenase activity
Reduced responsiveness to thromboxane A <sub>2</sub>
Dense- and/or $\alpha$ -granule deficiency
Decreased membrane proteins
$\alpha$ 2 $\beta$ 1 (GPIa/IIa)
$\alpha$ <sub>2</sub> -adrenergic receptors
GPIb-IX-V
PGD <sub>2</sub> receptors
Thrombopoietin receptors
Increased membrane proteins
Platelet Fc receptors
GPIV
Reduced platelet procoagulant activity
Acquired von Willebrand disease

Bleeding occurs in about one-third of patients with myeloproliferative disorders and contributes to mortality in 10 percent. Thrombosis also occurs in one-third of cases, contributing to mortality in 15 to 40 percent<sup>263</sup>. Bleeding usually involves the skin or mucous membranes, but may also occur after surgery or trauma. Thrombosis can involve arteries or veins and may occur in unusual locations such as the hepatic, portal, and mesenteric circulations<sup>263–265</sup>. It has been difficult to predict the risk of bleeding or thrombosis in an asymptomatic patient<sup>266</sup>, but an increased number of reticulated platelets in patients with thrombocytosis, thought to reflect an increase in platelet turnover, has been associated with an increased risk for thrombosis<sup>267</sup>. Vascular complications are also more likely to occur in patients older than 60 and in patients with other risk factors for vascular disease<sup>268</sup>.

A number of functional and biochemical abnormalities have been described in the platelets of patients with myeloproliferative disorders<sup>257</sup> (Table 45.4). These include decreased release of arachidonic acid from membrane phospholipids<sup>269,270</sup>, reduced conversion of arachidonic acid to prostaglandin endoperoxides or lipoxygenase products<sup>271</sup>, reduced responsiveness to thromboxane A<sub>2</sub><sup>272,273</sup>, deficiency of dense- or  $\alpha$ -granules<sup>274,275</sup>, unresponsiveness to collagen due to GPIa/IIa deficiency<sup>276</sup>, and decreased numbers of  $\alpha$ <sub>2</sub>-adrenergic receptors<sup>277,278</sup>. The latter results in a defect in epinephrine-induced aggregation, often including absence of the primary wave of aggregation, which is unusual in other conditions. In

addition, reduced platelet procoagulant activity has been reported in some patients<sup>279</sup>, as have specific platelet membrane abnormalities, including decreased amounts of GPIb-IX-V<sup>280</sup>, decreased numbers of PGD<sub>2</sub> receptors<sup>281</sup>, increased numbers of platelet Fc receptors<sup>282</sup>, an increased amount of GPIV (CD36)<sup>283-285</sup>, and decreased expression of thrombopoietin receptors<sup>286</sup>. An acquired form of von Willebrand disease has been reported in several individuals with chronic myelogenous leukemia and other myeloproliferative syndromes<sup>287</sup>. In these cases, there was a reduction in high molecular weight vWf multimers<sup>288</sup>; in some, the vWf abnormality was corrected transiently by infusion of DDAVP<sup>284,289</sup>. In others, the abnormalities were partially or completely corrected by cytoreductive therapy<sup>287,290</sup>.

### Leukemias and myelodysplastic syndromes

Although thrombocytopenia is the overwhelming cause of bleeding in patients with leukemia, abnormal platelet function has been described in acute myelogenous leukemia. Besides morphologic abnormalities, there may be platelet aggregation and secretion abnormalities, as well as decreased platelet procoagulant activity<sup>291-293</sup>. These defects are intrinsic to the platelet and probably relate to the fact that the megakaryocytes from which platelets are derived have originated from a leukemic stem cell. Identical platelet abnormalities may be seen in the myelodysplastic syndrome<sup>291,294</sup>. Reduced platelet aggregation has also been reported in children with acute lymphocytic leukemia<sup>292</sup>. The platelets of some patients with hairy-cell leukemia exhibit storage pool deficiency or a defect in platelet activation<sup>295-300</sup>. A single case of acquired von Willebrand disease in association with hairy-cell leukemia has been reported<sup>301</sup>.

### Dysproteinemias

Platelet dysfunction is observed in approximately one-third of patients with IgA myeloma or Waldenström macroglobulinemia, 15% of patients with IgG multiple myeloma, occasionally in patients with monoclonal gammopathy of undetermined significance<sup>302</sup> and can be associated with a prolonged bleeding time, even in the absence of clinical bleeding. The platelet defect is caused by the monoclonal protein, perhaps because it interacts with the platelet surface to non-specifically inhibit platelet adhesion or stimulus-response coupling. In some cases, however, specific interactions of a monoclonal protein with platelets have been described<sup>303,304</sup>. Several patients with myeloma, benign monoclonal gammopathy, or

**Table 45.5.** Conditions associated with acquired von Willebrand disease

Autoimmune disorders
Systemic lupus erythematosus
Scleroderma
Plasma Cell dyscrasias
Multiple myeloma
Waldenstrom's macroglobulinemia
MGUS
Lymphoproliferative disorders
Non-Hodgkin's lymphoma
Chronic lymphocytic leukemia
Hairy cell leukemia
Myeloproliferative disorders
Hypothyroidism
Gastrointestinal angiodysplasia
Drugs
Valproic acid
Ciprofloxacin
Wilm's tumour

chronic lymphocytic leukemia have been reported to have an acquired form of von Willebrand disease<sup>305-307</sup>.

When clinically significant platelet dysfunction occurs in a patient with a dysproteinemia, cytoreductive therapy should be considered to reduce the production and plasma level of the monoclonal immunoglobulin<sup>302</sup>. Plasmapheresis can also control bleeding by reducing the level of the abnormal protein<sup>308,309</sup>.

### Acquired von Willebrand disease

Acquired von Willebrand disease<sup>310</sup> is a relatively rare disorder that has been seen in patients with a variety of conditions including autoimmune disorders such as systemic lupus<sup>311</sup> and scleroderma<sup>312</sup>; plasma cell dyscrasias such as multiple myeloma<sup>313,314</sup>, Waldenstrom's macroglobulinemia<sup>315</sup> and MGUS<sup>316</sup>; lymphoproliferative disorders such as non-Hodgkin's lymphoma<sup>317,318</sup>, chronic lymphocytic leukemia<sup>319</sup>, and hairy cell leukemia<sup>320</sup>; myeloproliferative disorders<sup>287</sup>; hypothyroidism<sup>321</sup>; gastrointestinal angiodysplasia<sup>322</sup>; Wilm's tumour<sup>323</sup>; and ciprofloxacin<sup>324</sup> or valproic acid administration<sup>325</sup> (Table 45.5). In these disorders, antibodies against vWf are present<sup>313,314,326-328</sup>. When acquired von Willebrand disease occurs in patients with cancer or hypothyroidism, it may result from the non-specific direct absorption of vWf onto tumour cells<sup>301,323,329</sup>, or decreased vWf production<sup>330,331</sup>. The presence of an in vitro inhibitor of vWf may, or may not, be detectable depending on whether the antibody neutralizes vWf function or merely

leads to accelerated vWF clearance<sup>332</sup>. Patient management includes infusions of desmopressin<sup>314,319,328</sup>, vWf-containing factor VIII concentrates<sup>333</sup>, or high dose intravenous immunoglobulin<sup>334,335</sup>. The latter has been efficacious in patients when acquired von Willebrand disease is associated with a lymphoproliferative disorder or monoclonal paraprotein and most likely acts by delaying vWf clearance via reticuloendothelial cell blockade<sup>336–338</sup>. Treatment of the underlying associated disease is only sometimes helpful<sup>332</sup>.

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# Platelet storage and transfusion

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## Introduction

Platelet transfusion has become a routine component of modern medical care. Each year, approximately 2 000 000 such transfusions are administered in the United States<sup>1</sup>. This chapter will review the preparation, storage, clinical use and complications of platelet transfusion.

## Platelet preparation

Platelet concentrates (PC) for transfusion may be obtained from routine donations of whole blood or by apheresis (AP-PC), using citrate as the anticoagulant. There are two methods for preparing PC from whole blood, the platelet-rich-plasma method (PRP-PC) and the buffy coat method (BC-PC)(Fig. 46.1).

In evaluating the quality of PC, much attention is now being given to the number of contaminating leukocytes as well as to the appropriate platelet content. Problems related to contaminating leukocytes will be discussed in the section on complications of platelet transfusion. Many now recommend a totally leuko-reduced blood supply to reduce the frequency of these complications<sup>2</sup>. Platelets can be filtered during infusion at the bedside, but, for reasons to be discussed, it is probably preferable to perform leukoreduction at the time of preparation of the PC. In the United States, an AP-PC or a pool of PRP-PC is considered leukoreduced if it contains less than  $5 \times 10^6$  leukocytes while the standard in Europe is  $1 \times 10^6$ .

## Whole-blood-derived PC

### PRP-PC

At present, this is the only method used in North America for preparing whole-blood-derived PC. 450–500 ml (a unit) of whole blood is held for up to 8 hours at room temperature, and PRP is separated from red cells and buffy coat by low-speed centrifugation. The PRP is then centrifuged rapidly to produce a platelet pellet which is allowed to 'rest' for 1–2 hours before resuspension in approximately 50 ml autologous citrated plasma. Without this 'rest' period, platelets tend to clump irreversibly upon resuspension. The separated red cells are used for transfusion, while the supernatant plasma is used for transfusion or fractionation.

An average unit of PRP-PC should contain  $0.8 \times 10^{11}$ – $0.9 \times 10^{11}$ , platelets but the range around the average is high,  $0.4 \times 10^{11}$ – $1.8 \times 10^{11}$ <sup>3,4</sup>. One unit is adequate only for the transfusion of a small child less than 30 pounds in weight. To transfuse adults, 4 to 8 units are pooled to provide a therapeutic dose. Because of the wide range around the mean, one must pool five units to be certain that the pool will contain at least  $3 \times 10^{11}$  platelets<sup>4</sup>. Because each unit contains  $0.1 \times 10^9$ – $0.5 \times 10^9$  leukocytes, predominantly lymphocytes, such pools contain  $0.4 \times 10^9$ – $4.0 \times 10^9$  leukocytes, three orders of magnitude higher than a leukoreduced transfusion. There is a system which inserts a leukocyte-reduction filter between the primary blood bag and the bag that accepts the PRP<sup>5,6</sup>. Thus, the PRP is leukoreduced at the time of its preparation. This system was introduced in early 1998 for the preparation of all PRP-PC in Canada and is gaining acceptance in the United States.

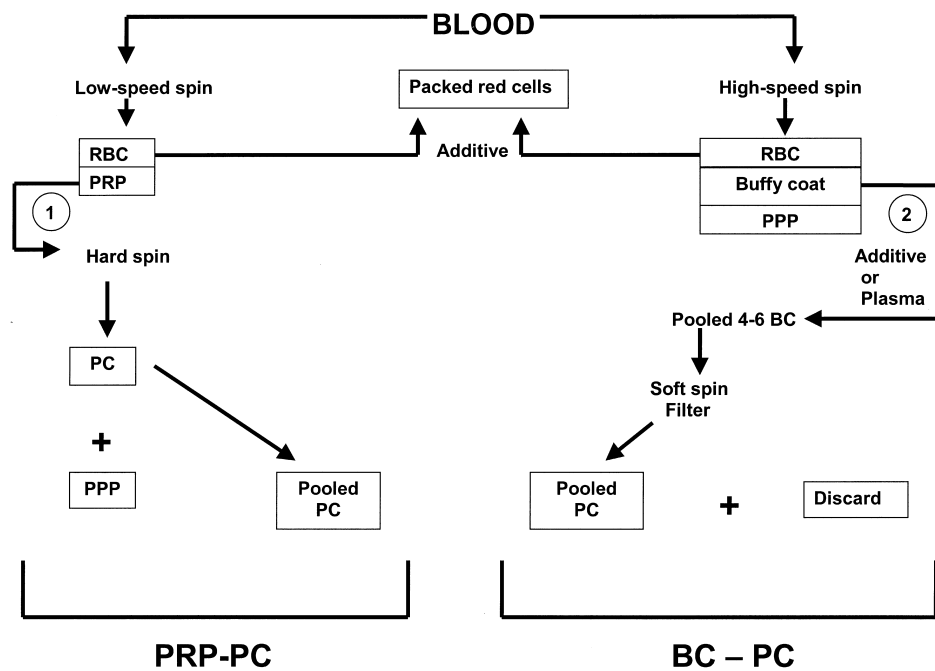


Fig. 46.1. Two ways to prepare a pool of platelet concentrates (PCs) from units of whole blood. Pathway 1 is the platelet-rich-plasma (PRP) method, the only method used in North America. Pathway 2 outlines the buffy coat (BC) method which is widely used in Europe. Both methods are described in the text. RBC, red blood cells; PPP, platelet-poor-plasma.

### BC-PC

The BC method is being used with increased frequency in Europe<sup>7</sup>. An initial hard centrifugation sediments all blood cells so that the plasma, buffy coat, and red cells can be collected in three separate containers. The platelets at the top of the bag fall to the BC, and, remarkably, the platelets at the bottom of the bag rise to the buffy coat. Therefore, platelet yields in the buffy coat are excellent. One can pool 4–6 BCs, add twice the volume of plasma or an additive solution, centrifuge the pool at low speed to remove red cells and leukocytes, and push the supernatant through a leukoreduction filter to produce a therapeutic, leukoreduced, dose of platelets for an adult<sup>8</sup>.

The PRP and BC methods each have their advantages and disadvantages<sup>7,9</sup>. Each produces platelets of high quality, and platelet yields from a unit of blood are equivalent. In the BC method, 20–25 ml of red cells are lost with the BC but an extra 70–80 ml of plasma can be collected.

### Apheresis PC

One can obtain a therapeutic dose of platelets for 1–3 adults by apheresis of donors over 1–2 hours using a variety of devices<sup>10–13</sup> with an extraordinarily high level of safety

for the donor<sup>14</sup>. The number of platelets obtained during the procedure varies with the platelet concentration in the blood of the donor, the volume of blood processed and the efficiency of the device. The efficiency of the newest devices is such that one should expect to obtain at least 60% of the platelets which pass through them, and most procedures are limited to 90–120 minutes. Therefore, it is the wide range of the platelet concentration in the blood of normal donors, 150 000–500 000 per  $\text{mm}^3$ , which accounts for the wide range in platelet yields which may be observed in practice<sup>15</sup>. There are also devices for obtaining an AP-PC, a unit of red cells, and a unit of plasma from the same donor at the same sitting<sup>16</sup>.

Current standards of the Food and Drug Administration (FDA) in the United States state only that 75% of AP-PC must contain more than  $3.0 \times 10^{11}$  platelets. This standard probably reflected the capabilities of the apheresis devices available at the time the standard was established rather than the needs of the wide variety of patients being treated. Now, in many centres, more than 95% of collections contain  $3.0 \times 10^{11}$  platelets and some contain more than  $10.0 \times 10^{11}$  platelets. In a subsequent section, we will discuss current controversies concerning the appropriate dose for platelet transfusion. While  $2.5 \times 10^{11}$ – $3.5 \times 10^{11}$  is

probably a satisfactory dose for the prophylactic transfusion of a child or small adult, it may well be insufficient for a large adult who is bleeding or has other clinical features which interfere with an optimal response to platelet transfusion. On the other hand, administration of very high yield products to small adults and children may be wasteful. Therefore, blood centres are in the process of thinking through the best way to handle the manufacturing process for apheresis platelets. Many divide high yield products (greater than  $6 \times 10^{11}$ – $7 \times 10^{11}$  platelets) to provide a therapeutic dose for two patients. Very high yield products ( $>10 \times 10^{11}$ – $11 \times 10^{11}$  platelets) can be divided to treat three patients. In addition, consideration is being given to the preparation of products containing two or more levels of platelet content, perhaps means of  $3.2 \times 10^{11}$  and  $6.4 \times 10^{11}$  (i.e. approximately 4 and 8 whole-blood-derived units respectively). The use of the products could be tailored to the needs of individual patients.

Platelets in PRP-PC, BC-PC, and AP-PC are probably equivalent therapeutically. However, there are two often-quoted advantages for AP-PC. First, the number of donors to whom the patient is exposed is substantially reduced thus reducing the likelihood of transmission of viral and other infectious bacterial diseases. Considering the current level of safety of the blood supply, it has been argued that choosing AP-PC for this purpose is not cost-effective<sup>17</sup>. Secondly, the separation technology of the various apheresis devices lends itself to the production of leukoreduced products during collection. Progressive improvements of those most recently available<sup>10,11</sup> has allowed the production of products with less than  $1 \times 10^6$  leukocytes close to 100% of the time. However, as noted above, there are methods of leukoreduction for PRP-PC and BC-PC at the time they are manufactured. Thus, there is ongoing controversy concerning the choice between AP-PC and whole-blood-derived PC<sup>18</sup>.

### Storage of platelet concentrates

In assessing methods of platelet storage, the greatest stress has been placed on showing that the stored platelets can circulate *in vivo* after transfusion. The ultimate test has been the measurement of increments in platelet concentration in the blood of thrombocytopenic recipients, but reliance has also been placed on autologous, radiolabelled reinfusion studies in normal volunteers<sup>19</sup>. Some *in vitro* tests to be discussed have shown good correlation with *in vivo* results<sup>20</sup>.

### Liquid storage at 20–24 °C

Both whole-blood-derived and AP-PC may be stored for 5 days using the same principles: (i) The temperature must be 20–24 °C<sup>21</sup>; (ii) The storage container must be constructed of a plastic material that allows adequate diffusion of oxygen through its walls to meet the cells' metabolic needs<sup>22,23</sup>; (iii) The PC must be agitated during storage<sup>22,23</sup>.

Using radiolabelling of stored platelets, survival after reinfusion *in vivo* is nearly normal if storage is carried out at 20–24 °C. However, at colder temperatures, the cells undergo irreversible disc-to-sphere transformation and survival is dramatically shortened<sup>21</sup>. If oxygen influx is inadequate at 20–24 °C, the cells will increase their production of lactic acid in an effort to maintain ATP levels. This leads to depletion of bicarbonate, the major buffer in plasma and fall in pH to less than 6.2<sup>22,23</sup>. These acid conditions lead to irreversible disc-to-sphere transformation and render the cells non-viable. A similar increase in lactic acid production and fall in pH occurs if the PC are not agitated<sup>23</sup>. However, agitation may be discontinued for up to 24 hours of the 5-day storage interval without harm to the platelets<sup>24</sup>.

As already mentioned, synthetic media are now used for the storage of BC-PC<sup>8,25,26</sup> and they may well be used soon for apheresis PC as well<sup>27</sup>. Definition of the optimal solution is still in progress, but it appears that it can be relatively simple relying on 20–40% residual plasma and the presence of acetate. During storage in plasma, platelets oxidize free fatty acids in the tricarboxylic acid (TCA) cycle generating CO<sub>2</sub> which can leave the platelet suspension through the walls of the plastic container (Fig. 46.2). In a storage medium, acetate can enter the TCA cycle replacing the requirement for fatty acid. The oxidation of acetate utilizes a proton from the medium, thus providing an alkalinizing effect eliminating the need for bicarbonate in the medium.

Some authors have been unable to find any practical difference between fresh and stored platelets<sup>28,29</sup>, but most find a reduction in *in vivo* recovery and survival of approximately 20–25% after 5 days of storage as judged by radiolabelling studies and by the increase in platelet concentration in the blood of thrombocytopenic patients<sup>30</sup>. Furthermore, some authors have reported an even greater defect in stored platelets relative to fresh platelets in sick patients with fever, sepsis, splenomegaly, and disseminated intravascular coagulation<sup>31,32</sup>.

Platelet viability is no less satisfactory after 7 days of storage than after 5 days<sup>33</sup>. However, when storage was extended to 7 days, bacterial overgrowth and clinical sepsis in recipients of stored platelets occurred with sufficient

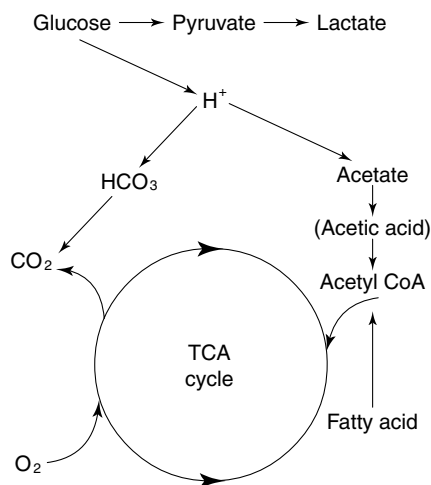


Fig. 46.2. Metabolic pathways and the control of pH during platelet storage at 20–24 °C. Almost all glucose used passes through pyruvate to form lactate and protons (H<sup>+</sup>). Little, if any, pyruvate is decarboxylated to acetyl CoA. Rather, fatty acid provides the acetyl CoA for oxidation in the tricarboxylic acid (TCA) cycle. During storage in plasma, protons are buffered by bicarbonate. CO<sub>2</sub> from this source and the TCA cycle leaves the PC through the walls of the container. If there is inadequate oxygen or agitation, glycolysis accelerates overwhelming bicarbonate stores leading to fall in pH. When incorporated into a synthetic medium, acetate can bind a proton and enter the TCA cycle. This provides an alkalinizing effect eliminating the need for bicarbonate in the medium.

frequency to warrant limiting liquid storage to five days<sup>34</sup>. If methods of bacterial decontamination<sup>35</sup> or bacterial detection are developed<sup>36</sup>, it may be possible to prolong storage beyond 5 days once again.

Assuming, as most do, that platelets deteriorate to some extent during storage even under optimal circumstances, what is the nature of the platelet storage lesion? Some have proposed that it results from platelet activation<sup>37</sup>; others suggest that it is a form of apoptosis<sup>38</sup>. Either would lead to the exposure of phosphatidyl serine on the platelet surface<sup>39</sup>. Whatever the cause or causes of the platelet storage lesion, easily measured *in vitro* characteristics which correlate best with capacity to circulate *in vivo* are retention of disc shape and good function in the hypotonic shock response<sup>40</sup>. Platelets with normal discoid morphology generally circulate normally after transfusion. Platelets which are damaged by cold, acid conditions, or bacterial contamination generally lose their discoid morphology and become spheres. Normal discoid morphology is reflected by the 'swirling' or 'shimmering' appearance of well-preserved PC during gross, visual inspection<sup>41,42</sup>.

Blood bank and clinical personnel are urged to check PC for this phenomenon prior to transfusion.

### Frozen storage

The most widely studied method for frozen storage employs controlled rate freezing (1 °C per minute), 5% dimethyl sulfoxide (DMSO) as a cryoprotective agent, rapid thawing, graded reduction of the DMSO concentration, and washing prior to infusion. *In vivo* viability is approximately 40–50% relative to fresh platelets<sup>43</sup> but enhanced *in vivo* function has been claimed<sup>44</sup>. Most find that this technology is both more complex and expensive and less effective than liquid storage at 20–24 °C<sup>45</sup>. However, these preparations can be effective clinically and may be used for autologous transfusion of selected patients. Platelets may be obtained before myelosuppressive therapy, frozen, and then administered during subsequent periods of thrombocytopenia<sup>46</sup>. Newer approaches using second-messenger effectors may allow the use of lower concentrations of DMSO which would, in turn, allow the direct infusion of platelets after thawing<sup>47</sup>.

### Experimental approaches and platelet substitutes

Current methods of platelet storage are cumbersome; the duration of permissible storage is short; and 20–24 °C favours bacterial overgrowth. It would be ideal to find ways to protect platelets against the damaging effects of cold temperatures or to have a dried preparation with long shelf-life that one could simply rehydrate and infuse. A great deal of research is going on in this area examining platelets treated with paraformaldehyde and lyophilization, lyophilized platelet membrane microvesicles, fibrinogen-coated beads, albumin microspheres, and others<sup>48</sup>. This is an important area, but all of these ideas await validation in appropriate clinical trials.

There are also non-transfusional drugs that may help to stop thrombocytopenic bleeding. Antifibrinolytic amino acids such as aminocaproic acid and tranexamic acid would not be thought of as 'platelet substitutes'. However, they have been described as effective in controlling mucosal and dental bleeding in thrombocytopenic patients without increasing the platelet concentration in the blood<sup>49</sup>.

### Clinical response to platelet transfusion

#### Patients with thrombocytopenia secondary to bone marrow failure

The majority of platelet transfusions are given to patients with thrombocytopenia due to decreased platelet production secondary to bone marrow disease or myelosuppressive treatment. Normally circulating platelets are in equilibrium with a pool of platelets in the spleen with one in the spleen for every two in the circulation<sup>50</sup>. Therefore, one-third of infused platelets will be pooled reversibly in a spleen of normal size. The fraction may be much larger if the spleen is enlarged. Assuming that the recipient's blood volume is 2.5 litres/m<sup>2</sup> body surface area (BSA), the infusion of one unit of whole-blood-derived PC, containing  $0.8 \times 10^{11}$  platelets, should result in an increase in platelet concentration in the blood of 21 000 per mm<sup>3</sup> in a recipient with 1m<sup>2</sup> BSA. The response to one unit will be inversely proportional to the patient's size expressed as the BSA. Thus, one can evaluate the response to a platelet transfusion by calculating the corrected count increment or CCI<sup>51</sup>:

$$\frac{\text{Measured increase in platelet concentration} \times \text{BSA}(\text{m}^2)}{\text{Number of units infused (or number of platelets} \times 10^{11})}$$

The measurement of CCI has been criticized<sup>52</sup>, but it is the most widely used method for assessing response. In practice, it can be measured 10 minutes to a few hours after transfusion<sup>53</sup>. Under optimal circumstances, the response should be 21 000 per mm<sup>3</sup> per m<sup>2</sup> per unit infused or 26 000 per mm<sup>3</sup> per m<sup>2</sup> per 10<sup>11</sup> platelets infused.

In practice, in patients with thrombocytopenia secondary to marrow failure, the average CCI is approximately one half of that prediction, 10 000 per mm<sup>3</sup> per m<sup>2</sup> per unit infused<sup>51</sup>. Many studies have attempted to identify the factors responsible for this less than optimal response in real life<sup>32,51,54-58</sup>. Alloimmunization has been incriminated along with a variety of non-immune factors such as platelet storage, bacterial sepsis, concomitant use of antibacterial antibiotics and Amphotericin B, graft-vs-host disease, splenomegaly, disseminated intravascular coagulation, and simply having had a recent allogeneic bone marrow transplantation. It is of interest that no one factor predominates in the majority of studies, suggesting that the crucial factors vary with the populations of patients being studied. Often, none of these factors are present but the response is mediocre. It is likely that other factors, as yet undefined, are at work as well.

After a transfusion, the time until the platelet concentration returns to baseline (time to next transfusion) also varies with the immune and nonimmune factors affecting

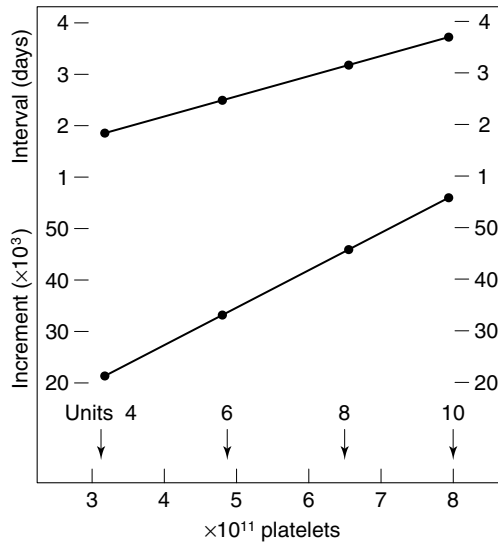


Fig. 46.3. Average response to platelet transfusion, 2 m<sup>2</sup> adult patient. The expected immediate increment in platelet concentration in the blood and the time for the platelet concentration to return to baseline (transfusion interval) are given relative to the platelet dose. Units, whole-blood-derived PC.

the initial CCI<sup>59</sup>. Furthermore, platelet survival is reduced in all patients with thrombocytopenia, regardless of the cause, with progressive reduction as the platelet concentration in the blood becomes lower<sup>60</sup>. Therefore, time to next transfusion varies directly with the height of the platelet concentration achieved by the transfusion and, therefore, the dose of platelets that was administered<sup>61-63</sup>. Everything else being equal, the larger the dose, the higher the platelet concentration achieved by transfusion, and the longer the time will be to the next transfusion (Fig. 46.3).

#### Platelet dose

If, as described above, the average patient has an increase in platelet concentration of 10 000 per mm<sup>3</sup> per m<sup>2</sup> per unit of platelets transfused, one can calculate the relationship between the dose administered and the average rise in the platelet concentration to be expected. Furthermore, using calculations and data<sup>60-63</sup>, one can estimate the average time to the next transfusion. For example, for the transfusion of a myelosuppressed patient with a 2 m<sup>2</sup> surface area these values are given in Fig. 46.3. If the patient was not bleeding, was being transfused prophylactically, and was hospitalized so that he could be transfused again 48 hours later, five units of whole-blood-derived platelets or  $4 \times 10^{11}$  apheresis platelets would probably be satisfactory since raising the platelet concentration by 20 000-30 000 per

$\text{mm}^3$  protects most thrombocytopenic patients against spontaneous, catastrophic bleeding, and there would be a 2-day transfusion interval. On the other hand, for the doses given in Fig. 46.3, the platelet concentrations achieved in a  $1 \text{ m}^2$  patient would be twice those in Fig. 46.3 and lower doses would probably be satisfactory.

However, ten units ( $8.0 \times 10^{11}$ ) or more would be a better choice for the  $2 \text{ m}^2$  patient if the goal was to achieve a platelet concentration over  $50\,000 \text{ per mm}^3$  because the patient was bleeding or was being prepared for an invasive procedure.<sup>64</sup> It is probably most critical to achieve this higher level of platelet concentration if the surgical field is highly vascular, as with inflammation or portal hypertension; if there are coexisting defects in plasma coagulation; if the procedure is 'blind' as in needle biopsy of the liver, when there is no opportunity to achieve hemostasis mechanically; or if the surgery is in an area where even a small hemorrhage could be disastrous, as in the central nervous system. A higher dose might also be chosen to facilitate transfusion in the outpatient setting where a longer transfusion interval would be preferable. However, patients vary dramatically in their responses. Therefore, the measurement of increments 1 and 24 hours after transfusion is a cost-effective way to modify the dose and frequency of transfusion based on the pathophysiology of the individual patient.

All of these considerations stress that no single dose meets the needs of all patients. On the other hand, one could argue for using the highest possible dose in all patients since increments are higher and fewer episodes of transfusion are required because of the longer interval between transfusions. However, theoretical considerations<sup>61</sup> and patient data<sup>65</sup> suggest that more platelets are utilized with such a strategy than with frequent, low-dose transfusions.

### Platelet transfusion trigger

A thrombocytopenic patient who is actively bleeding requires platelet transfusion. It is more difficult to decide about transfusion if the platelet count is simply very low and the patient has no hemorrhagic signs or only minor ones such as petechiae or small ecchymoses of the skin. Clinical experience suggests that, if the platelet count is low enough, long enough, 'spontaneous', major hemorrhage, particularly into the central nervous system, may occur. Unfortunately, in an individual patient, we do not know how low and how long.

Studies of patients with acute leukemia carried out 40 years ago, prior to the availability of platelet transfusion, described the relationship between platelet concentration

in the blood and clinical hemorrhage<sup>66</sup>. Minor and major hemorrhage began when the platelet concentration fell below  $50\,000$  and  $20\,000 \text{ per mm}^3$ , respectively. Major hemorrhage was observed in the range,  $5\,000$ – $20\,000 \text{ per mm}^3$ , but on only 3% of patient days. There was no cut-off between bleeding and non-bleeding patients in that range, but there was a rapid increase in the rate of major bleeding when the platelet concentration fell below  $5\,000 \text{ per mm}^3$  reaching a frequency of 33% of patient-days as the platelet concentration approached  $0 \text{ per mm}^3$ .

Subsequently, the same group described the effect of prophylactic platelet transfusion administered whenever the platelet concentration fell below  $20\,000 \text{ per mm}^3$ <sup>67</sup>. There was a striking reduction in major hemorrhage when the platelet concentration (measured pretransfusion) was below  $5\,000 \text{ per mm}^3$  but no substantial change in the range,  $5\,000$ – $20\,000 \text{ per mm}^3$ . Nonetheless, for many years, this experience was used to justify prophylactic platelet transfusion whenever the platelet concentration fell below  $20\,000 \text{ per mm}^3$ , although the data could have been used to support  $5\,000 \text{ per mm}^3$  as an appropriate trigger.

More recently, prospective but uncontrolled studies by one group supported the safety and efficacy of a more restrictive policy using  $5\,000 \text{ per mm}^3$  as the platelet transfusion trigger<sup>68,69</sup>. Subsequently, three prospective studies assigned patients to one of two groups receiving prophylactic platelet transfusion at either  $10\,000 \text{ per mm}^3$  or  $20\,000 \text{ per mm}^3$ <sup>70–72</sup>. Uniformly, there was no increase in bleeding risk at the lower transfusion trigger which is now being adopted by many transfusion services<sup>73</sup>.

However, it is likely that it is just as unjustified to choose a rigid, unvarying transfusion trigger as it is to choose a rigid, unvarying transfusion dose. Clinical factors may increase the risk of hemorrhage at any given platelet concentration. These include fever and sepsis, administration of drugs that interfere with platelet function, coexistent abnormalities of plasma coagulation factors, and high leukocyte concentrations in the blood. It is appropriate to raise the transfusion trigger in complicated, clinically ill patients of this type.

In addition, moderate to severe bleeding is observed in 11–23% of patients after bone marrow transplantation in spite of the aggressive use of prophylactic platelet transfusion and maintenance of morning platelet counts above  $20\,000 \text{ per mm}^3$ <sup>74,75</sup>. The types of bleeding are most commonly gastrointestinal and urinary and less commonly pulmonary and intracranial. Usually, there is an identifiable anatomic cause such as gastrointestinal ulceration, hemorrhagic cystitis, or diffuse alveolar hemorrhage. In effect, it is common in the myelosuppressed patient to be treating bleeding, not preventing it. Many

centres increase the transfusion trigger to 30 000 or even 50 000 per  $\text{mm}^3$  in such cases just as one would prior to a surgical procedure<sup>74</sup>.

### Thrombocytopenia due to platelet loss, sequestration, or destruction

As described in the previous section, most patients receiving platelet transfusion have a defect in platelet production. However, many patients with other types of thrombocytopenia and platelet dysfunction are transfused as well.

#### Massive transfusion of red cells

Dilutional thrombocytopenia will occur when massive blood loss is replaced with units of stored red cells which lack viable platelets. Following replacement of one blood volume, 35–40% of the original platelets usually remain. Even when one to two blood volumes have been replaced, abnormal bleeding usually does not develop, and routine transfusion is not indicated simply because the platelet concentration in the blood is low<sup>76</sup>. Platelets should be given to patients who demonstrate abnormal bleeding.

#### Cardiopulmonary bypass

Immediately following and for several days after cardiopulmonary bypass, the platelet concentration commonly falls to subnormal levels, occasionally as low as 50 000 per  $\text{mm}^3$ . There is an associated defect in platelet function. Prospective studies have shown no benefit from the prophylactic administration of platelet transfusions to such patients<sup>77</sup>. Just as in the case of massive transfusions, they should be reserved for the relatively rare patient who demonstrates clinically abnormal bleeding.

#### Splenomegaly

As mentioned previously, patients with massive splenomegaly have thrombocytopenia related predominantly to excessive sequestration in a splenic pool in continuous exchange with platelets in the circulation<sup>50</sup>. The platelet concentration rarely falls below 30 000 per  $\text{mm}^3$  due to this mechanism alone, so that platelet transfusion is rarely considered except in anticipation of invasive procedures. Depending on the degree of splenic enlargement, one may have to administer 10 to 15 units of whole-blood-derived PC per  $\text{m}^2$  body surface area to achieve a substantial increase in platelet concentration. In many patients with

very marked splenomegaly, it may not be possible to achieve platelet concentration elevations even with very large doses of PC.

#### Idiopathic thrombocytopenic purpura (ITP)

In ITP, platelet transfusion is generally not used because the bleeding tendency is less severe than in thrombocytopenia due to diminished production, and the response to medical therapy is generally satisfactory and rapid (see Chapter 4). Furthermore, the survival of transfused platelets is relatively brief, similar to that of the patient's own platelets. Nonetheless, when there is critical bleeding or need for urgent surgery, platelet transfusion will generally raise the platelet count substantially for 12 to as long as 48 hours<sup>78</sup>. The same general principles apply to other diseases in which there is accelerated destruction of platelets, such as disseminated intravascular coagulation.

#### Neonatal alloimmune thrombocytopenia

In this syndrome, the mother produces an alloantibody against antigens on fetal platelets that have crossed the placenta (Chapter 6). The antibody, in turn, crosses the placenta, causing in utero thrombocytopenia, beginning as early as 20 weeks gestation. Intracerebral hemorrhage may occur in utero. The thrombocytopenia may persist for weeks after delivery. The most common antibodies is to HPA-1a ( $\text{Pl}^{\text{A1}}$ ) but antibody to other platelet antigens may be involved as well.

It is common for this disease to present with the delivery of a severely thrombocytopenic newborn at the end of a first pregnancy to the surprise of the attending physicians. It is optimal to transfuse the newborn with platelets which lack the antigen to which the antibody has been formed. Since maternal platelets are compatible, platelets harvested from the mother's blood by apheresis can produce an increase in the platelet concentration of the blood of the infant (Fig. 46.4)<sup>79</sup>. Ideally, such platelets should be concentrated in a small volume of plasma to avoid infusing additional antibody.

Unfortunately, it is often difficult to arrange for apheresis of the mother. A randomly selected unit of platelets may raise the neonate's platelet concentration substantially<sup>80</sup>. If it does not, prompt serologic evaluation of the mother and father can identify the antigen to which the antibody has been formed. If the antibody is directed against HPA-1a ( $\text{Pl}^{\text{A1}}$ ), platelets lacking HPA-1a (i.e. homozygous HPA-1b) can be used to support the infant<sup>81</sup>. One must be certain that the mother has not also formed antibody to HLA antigens which might be present on the donor's platelets<sup>82</sup>.

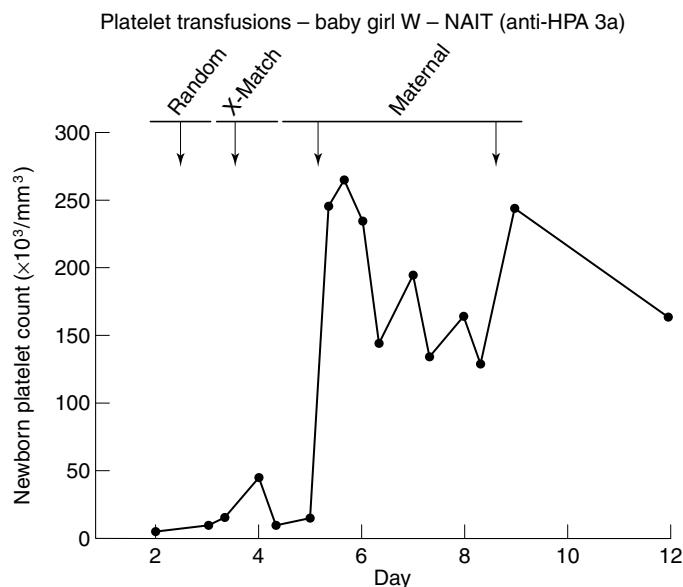


Fig. 46.4. Platelet transfusions in newborn with neonatal alloimmune thrombocytopenia secondary to anti HPA3a, BAK<sup>a</sup>. There was poor response to randomly selected and cross-matched platelets from unrelated donors. Platelets from the mother provided an excellent response on two occasions.

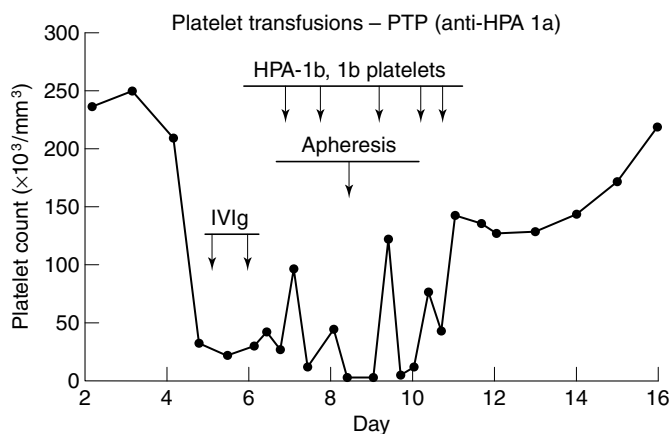


Fig. 46.5. Platelet transfusions in women with post-transfusion purpura associated with anti HPA1a, PI<sup>A1</sup>. The patient developed severe thrombocytopenia and subarachnoid hemorrhage 8 days after blood transfusion during an aortic aneurysm repair. Randomly selected platelets produced severe rigors, chills, and hypertension. On five occasions, she had no adverse reactions and good increments in platelet concentration after transfusion with platelets from PI<sup>A1</sup> negative (HPA-1b homozygote) donors. Data courtesy of Dr Alison Wakoff.

Should there be a subsequent pregnancy, the recurrence rate is high (>85%). Thus, there may be further requirements for platelet transfusion of the fetus in utero to prevent serious bleeding before delivery<sup>83,84</sup>. Some protocols call for weekly fetal blood sampling beginning at 20 weeks gestation with transfusions of platelets to the fetus each time. Variations of the normal apheresis procedures can be used to produce highly concentrated platelets from either the mother or a normal donor who lacks the antigen to which the antibody has formed<sup>84</sup>.

### Post-transfusion purpura

In this complex illness (Chapter 7), shortly after red cell transfusion, the patient develops an alloantibody to a platelet antigen (generally HPA-1a, PI<sup>A1</sup>) on the platelets present in the transfused red cells. Autologous platelets lacking that platelet antigen are destroyed as well by mechanisms which are poorly understood. Random platelet transfusions are thought to be ineffective and may cause severe febrile and anaphylactoid reactions. HPA-1a-negative platelets may give some benefit<sup>85</sup>. Figure 46.5 provides an example of a patient where that seemed to be the case.

### Hereditary thrombocytopenia

These syndromes are rare and generally not associated with severe bleeding (Chapter 2). Since the survival of allogeneic platelets is normal, platelet transfusion is quite effective and may be used for critical bleeding and surgery.

### Qualitative platelet disorders

In spite of normal platelet counts, patients with qualitative platelet disorders have a clinical bleeding tendency associated with abnormal *in vitro* tests of platelet function and a prolonged bleeding time *in vivo*. The basis may be hereditary or acquired (Chapters 10–13). Platelet transfusion is generally not indicated when the cause is extrinsic to the platelet, as in uremia, von Willebrand disease, and hyperglobulinemia, since the transfused platelets will function no better than the patient's own platelets. There are exceptions in certain types of von Willebrand's disease in which normal platelets can be used to deliver their content of von Willebrand factor to a bleeding site<sup>86</sup>. Most inherited intrinsic disorders are mild and do not require platelet transfusions even for surgery if the procedure is carried out under direct vision, so that hemostasis may be achieved mechanically. If the bleeding tendency is more severe, as in



thrombasthenia, platelet transfusions may be necessary for more severe bleeding and surgery. The acquired defects, as in the myeloproliferative and myelodysplastic syndromes, generally do not require platelet transfusion unless there is coexistent thrombocytopenia.

### Possible contraindications to platelet transfusion

Concern has been voiced that platelet transfusions should not be administered to patients with forms of thrombocytopenia associated with thrombosis such as thrombotic thrombocytopenic purpura (TTP) and heparin-induced thrombocytopenia (Chapters 8, 9). It is reasoned that platelets participate in thrombus formation and that transfusions may precipitate deterioration of the disease; there are anecdotal reports of such events<sup>87,88</sup>. However, particularly in TTP, platelet transfusion is often requested prior to invasive procedures such as the insertion of intravenous catheters for therapy with apheresis or in response to severe clinical bleeding. The author's experience has been that platelet transfusions are safe in this setting. However, it seems prudent not to administer prophylactic platelet transfusions simply because the platelet concentration is low in these diseases.

### Complications of platelet transfusion – prevention and management

There are many complications of platelet transfusion (Table 46.1). Paradoxically, the majority are not due to the platelets themselves but rather to contaminating leukocytes, red cells, plasma proteins, and microorganisms.

#### Complications due to contaminating leukocytes

##### Alloimmunization to Class I HLA antigens

Human leukocyte antigens (HLA) are expressed on integral membrane glycoproteins. Almost all cells have Class I antigens (A, B, and C subloci), whereas only a few types of circulating leukocytes (dendritic cells, monocytes, and subsets of B cells) have Class II antigens. Primary alloimmunization to Class I HLA antigens appears to require presentation of such antigens on cells that also express Class II antigens and other costimulatory molecules<sup>89</sup>. There is now abundant evidence that the incidence of HLA alloimmunization can be reduced by the consistent use of leukoreduced blood products<sup>90,91</sup>.

**Table 46.1.** Complications of platelet transfusion

<i>Due to contaminating leukocytes</i>
Alloimmunization to Class I HLA antigens
Refractoriness to platelet transfusion
Febrile nonhemolytic transfusion reactions (FNHTR)
Cytokine formation
FNHTR
Transmission of cytomegalovirus (CMV)
Graft-vs.-host disease (GVHD)
Reactions associated with bedside leukoreduction filters
<i>Due to contaminating red cells</i>
Rh alloimmunization
Parasites – malaria, babesiosis
<i>Due to plasma and its contents</i>
Contaminating microorganisms
Bacteria
Viruses – HCV, HIV, HBV
Parasites – Chagas Disease
Plasma proteins
Allergic reactions
IgA in patients with IgA deficiency
? FNHTR
ABO antibodies
Transfusion-related acute lung injury (TRALI)
<i>Due to platelets themselves</i>
Alloantibodies to platelet-specific antibodies
? Allergic reactions to platelet products

However, it remains to be seen how completely leukoreduction can eliminate alloimmunization. In one study<sup>92</sup>, leukoreduction had almost no effect on the development of alloimmunization in patients who had been previously exposed to foreign leukocytes either through pregnancy or transfusions that had not been leukoreduced. It may be that leukoreduction will prove to be less effective in preventing secondary as opposed to primary alloimmunization. However, another trial<sup>90</sup> showed efficacy of leukoreduction in such patients but failure in some patients who had not been previously exposed to foreign leukocytes.

Traditionally, platelet transfusions have been begun with PC from random donors and continued until HLA alloimmunization is suspected because there have been two or three consecutive platelet transfusions with CCI less than 3000 per mm<sup>3</sup> per m<sup>2</sup> per unit<sup>93</sup>. In the future, it may be wise to screen patients intermittently for HLA antibody so that HLA alloimmunization can be detected prior to refractoriness rather than vice versa. HLA alloimmunization can be confirmed in the laboratory by performing a lymphocy-

toxicity (LCT) assay for HLA antibody in the patient's serum. In this assay, leukocytes from 50–100 donors with an appropriate heterogeneity of HLA types are incubated with the patient's serum and complement. LCT is assessed microscopically. The presence of such antibody has been a good predictor of poor response to platelets from randomly selected donors<sup>94</sup> and improved response when platelets are HLA selected<sup>95</sup>. Many centres consider it inappropriate to issue platelets matched according to HLA type unless this or a similar test has demonstrated HLA antibody anticipating that matching will provide little benefit if antibody is not present<sup>96,97</sup>. The pattern and intensity of immunization varies greatly from patient to patient. An LCT assay can be characterized by the percentage of cells in the panel against which the patient's serum reacts, i.e. the percentage reactive antibody (PRA). Furthermore, the pattern of reactivity can be analysed to determine the antigens to which the patient has formed antibody. Patients may have PRAs at any level between 1 and 100%.

The incidence and severity of HLA alloimmunization should be gradually decreasing as the use of leukoreduced blood products becomes increasingly popular. The following discussion is based on data accumulated before widespread leukoreduction was in use. Approximately 10% of patients presenting for therapy of diseases requiring platelet transfusion will already have LCT antibodies from prior transfusions and pregnancies<sup>98</sup>. Another 30% become alloimmunized during therapy and 60% never do<sup>98</sup>. There is no known difference between those who do, and do not, become immunized. Among those who do, some will do so after only 2–4 transfusions whereas others require dozens of transfusions<sup>99</sup>. The majority of patients who become alloimmunized establish a level and specificity of immunization and tend to maintain that status as they continue to be transfused<sup>100–102</sup>. However, approximately 30% lose their antibodies over time in spite of continuing transfusion<sup>100</sup>. Thus, it is helpful to monitor antibody levels and specificity since such patients may regain responsiveness having been previously refractory.

The antigenic specificities of the HLA antibodies formed by these patients vary dramatically. Crossreactive groups (CREGs) of HLA antigens have been defined by serological testing. Crossreactivity among antigens in a CREG is based on the sharing of one or more public epitopes by those antigens<sup>103</sup>. It is common for some patients to develop antibodies to one or more public epitopes, i.e. one or more CREGs, while others develop antibodies to one or more private antigens within a CREG<sup>104</sup>. Furthermore, some patients demonstrate intraCREG antibodies, i.e. antibodies to antigens in the same CREGs as the patient's own antigens<sup>105</sup>. These facts have major implications for management.

**Table 46.2.** Classification of donor/recipient pairs on the basis of HLA Class I matching

A	All four antigens in donor identical to those of recipient.
B1U	Only three antigens detected in donor; all present in recipient.
B1X	Three donor antigens identical to recipient; fourth antigen cross-reactive <sup>a</sup> with recipient.
B2U	Only two antigens detected in donor; both present in recipient.
B2UX	Only three antigens detected in donor; 2 identical with recipient, third cross-reactive.
B2X	Two donor antigens identical to recipient; third and fourth antigens cross-reactive with recipient.
C	One antigen of donor not present in recipient and not cross-reactive with recipient.
D	Two antigens of donor not present in recipient and not cross-reactive with recipient.

*Note:*

<sup>a</sup> Antigen in a cross-reactive group (CREG) which contains one of the patient's antigens.

### Management of the refractory patient

Techniques have evolved so that there are now three approaches which are useful in the management of alloimmunized patients. In 1969, it was shown that refractory patients would respond to platelets from siblings who were identical for all four Class I HLA antigens<sup>106</sup>. Similarly, patients could be supported by platelets from unrelated donors who were HLA-identical or closely matched. Because some patients do not make antibody to antigens within their own CREGs, it became popular to choose donors according to CREG classification, particularly BX matches, i.e. donors whose antigens are identical to or within the same CREGs as those of the patient<sup>107</sup>. Table 46.2 shows the categorization of such matches.

Responses were better with such matching than with random donor selection, but many BX matches failed and many C and D matches succeeded. Some patients with relatively low PRAs have antibody to only one or two CREGs so that success with some C and D matches would be expected by chance. On the other hand, failure of some BX matches would be expected in patients with intraCREG antibody. One study<sup>108</sup> described BX matching pairs that frequently failed and another<sup>109</sup> found BX matches to be no better than randomly selected platelets.

In the late 1980s and early 1990s, practical methods for platelet crossmatching became available<sup>110</sup>. Many centres found that they could simply crossmatch patient's serum with unselected AP-PC in inventory to find, within hours, a

compatible product that would be successful *in vivo*<sup>54,110</sup>. However, it was recognized<sup>110</sup> that, in a highly immunized patient, one might crossmatch with dozens of donors and not find a compatible product. For many such patients, only the identification of an A or BU match (Table 46.2) will suffice.

Yet another approach has been recently proposed<sup>101</sup>. If the PRA in the LCT assay is less than 100%, one should be able to identify the HLA antigens to which the patient has not formed antibody. The patient can then be supported with 'antigen-negative' platelets, i.e. platelets which lack the antigens to which the patient has formed antibody. If the results of the LCT assay are known, one can often provide a product from inventory on an urgent basis using this approach.

There is no reason that one of these three approaches should be chosen to the exclusion of the other two<sup>102</sup>. If the PRA is less than 70%, successful support can usually be provided by crossmatching of random products or by 'antigen-negative' platelets. When the PRA is high (>80%), one can select for cross-matching the best available 'antigen-negative' HLA matches and/or selectively recruit A and BU matches from an HLA-typed donor file. Some patients with common HLA types, 1,2/7,8 for example, will have dozens of A and BU matches available. Unfortunately, some patients have rarer HLA types and will have no A or BU matches to recruit.

### Role of ABO and platelet-specific antibodies in refractoriness to platelet transfusions

ABO determinants are carried by both glycoproteins and glycolipids of platelets, as they are on red cells<sup>111</sup>. Group O patients commonly have a good response to platelets from group A or B donors, but, in a subset of patients, the response can be poor<sup>112</sup> or very poor<sup>113</sup>. On the other hand, transfusing group A or B patients with platelets from group O donors exposes the recipient's red cells to anti-A and anti-B in the donor's plasma. Accelerated destruction of the patient's red cells is rare<sup>114</sup>, but frank acute hemolysis has been observed<sup>114-116</sup>. Furthermore, it has been proposed that formation of immune complexes between A and B substance and corresponding antibodies may have deleterious effects<sup>117</sup>. Thus it seems wise to observe ABO compatibility when possible.

The platelet surface carries many platelet-specific antigens which are quite capable of eliciting a strong alloantibody response as in neonatal alloimmune thrombocytopenia and post transfusion purpura. It is surprising that there are only a few case reports of such alloantibodies accounting for refractoriness to platelet transfusion<sup>118-121</sup>. It appears that fewer than 10% of multi-

transfused patients develop platelet-specific antibody<sup>90</sup>; those who do also tend to form HLA antibodies.

### Febrile and allergic transfusion reactions

Acute reactions to platelet transfusions are generally classified as febrile (fever, chills) or allergic (urticaria, rash, dyspnea, and bronchospasm). Prior to the availability of methods for leukoreduction, approximately 20% of platelet transfusions were accompanied by febrile reactions<sup>122</sup>. Some of these reactions were undoubtedly due to antibodies in the patient directed against either leukocyte-specific or HLA antigens on leukocytes contaminating the PC. Leukocyte depletion by filtration during infusion reduced the frequency of these reactions, but many continued to occur<sup>122,123</sup>. It is now clear that contaminating leukocytes produce inflammatory cytokines such as interleukin-1, interleukin-6, interleukin-8, and tumour necrosis factor-alpha during storage at 20-24 °C and that these compounds are responsible for many febrile reactions since they are not removed by bedside filtration<sup>124,125</sup>. Thus febrile reactions occur more frequently when the PC are transfused at their end of the storage interval<sup>126,127</sup>. These reactions provide a strong argument for routine, prestorage removal of leukocytes.

Nonetheless, febrile reactions occur in approximately 2% of platelet transfusions even with prestorage leukoreduction<sup>128</sup>. Furthermore, it appears that contaminating leukocytes are not involved in the production of allergic reactions<sup>126,129</sup>. The origins of these reactions are not known. They may be related to plasma proteins or products produced during storage by the platelets themselves. In patients who develop these difficult problems, one can wash the platelets free of plasma prior to infusion<sup>130</sup>.

Finally, there may be complications of platelet transfusion related to the removal of leukocytes by filtration of PC at the bedside. Severe hypotension has been reported<sup>131</sup> predominantly when negatively charged leukocyte reduction filters are used in patients who are receiving angiotensin-converting enzyme inhibitors<sup>132</sup>. One proposed mechanism is that high molecular weight kininogen is converted to bradykinin, a potent vasodilator, by exposure to a negatively charged surface. Bradykinin is normally metabolized by ACE in a few seconds, but may circulate much longer in patients receiving ACE inhibitors. Although the mechanisms behind these hypotensive reactions are still hypothetical and more work needs to be done, this type of reaction provides another reason for prestorage rather than bedside leukoreduction. Prestorage leukoreduction at the blood centre offers the advantage that it is carried out under standardized conditions following good manufacturing practices (cGMP) with appropriate quality control

procedures in place. Such standardization is not possible with filtration at the bedside.

#### **Transmission of cytomegalovirus (CMV)**

In asymptomatic carriers, this virus resides in the nuclei of subsets of leukocytes with little virus free in plasma. It has been shown that the use of leukoreduced blood components is essentially equivalent to the use of components from donors who have negative tests for CMV antibody in terms of risk of CMV transmission<sup>133</sup>. Since this infection is particularly dangerous for severely immunocompromised patients such as those who have had a recent allogeneic bone marrow transplantation, some clinicians continue to use CMV-negative blood products along with leukoreduction in this select population.

#### **Graft-vs.-host disease (GVHD)**

Immunosuppressed patients may develop graft-vs.-host disease (GVHD) from T-lymphocytes present in any transfusion, including platelet transfusion. Thus, it is standard practice to treat PC with gamma irradiation to inhibit proliferation of these T-lymphocytes when the recipient had been heavily immunosuppressed<sup>134</sup>. Clinicians are progressively applying this practice to all patients who have received cytotoxic chemotherapy. Exposure to 5000 rad appears to have no deleterious effect on platelets. It is important to emphasize that current methods of leukoreduction do not remove enough T-cells to prevent GVHD.

#### **Complications due to contaminating red cells**

When transfusing PC to girls or young women who are Rh-negative, one needs to be concerned about the possibility of sensitization by Rh-positive red cells contaminating infused platelets. In practice, sensitization is rather uncommon in immunosuppressed patients<sup>135</sup>. However, where possible, one should administer platelets from Rh-negative donors. When this is not possible, one can administer Rh immunoglobulin (RhoGAM), about 20 microgram intramuscularly per unit of platelets, so that the infused red cells will be cleared prior to sensitization. A full dose of RhoGAM, 300 µg, is sufficient to suppress the immune response to 15 ml of Rh positive red cells.

There are enough red cells contaminating PC to transmit both malaria and babesiosis if the donor is parasitemic with these infections.

### **Complications due to plasma and its contents**

#### **Contaminating microorganisms**

Storage of PC at 20–24 °C allows proliferation to dangerous levels of bacteria that occasionally contaminate units of whole-blood-derived or AP-PC<sup>34</sup>. Contamination may occur because of asymptomatic bacteremia in the donor or at the time of venipuncture because of inadequate decontamination of the skin or because of venipuncture through areas of the skin where bacterial colonization is deeper than can be reached by such decontamination. Bacterial contamination which might not be clinically significant after 2–3 days of storage may become so after 5–7 days. As previously mentioned, PC storage is limited to 5 days for this reason.

The magnitude of this problem is commonly underestimated<sup>136</sup>. Estimates suggest that there may be contamination of 5–10 per 10000 whole-blood-derived PC and 150 clinical episodes associated with severe morbidity and death in the United States per year<sup>137</sup>. This equates to one episode of severe morbidity or mortality per 20000 platelet transfusions which is 50- to 250-fold higher than the risk of mortality from transmission by transfusion of human immunodeficiency virus (HIV) or hepatitis B or C infection.

There are several potential approaches to this important problem. Because apheresis involves only one donor and one venipuncture, there may be less risk than for pooled whole-blood-derived PC, although this has not been conclusively demonstrated. Under investigation are methods for screening platelet products for bacteria before transfusion<sup>36</sup> and methods of viral inactivation which also inactivate bacteria<sup>35</sup>. Parenthetically, the methods of viral inactivation may inactivate T-lymphocytes and prevent GVHD as well<sup>138</sup>.

The plasma diluent of PC can transmit viruses such as hepatitis B and C and HIV. Recently improved methods of donor screening and testing have markedly reduced but not totally eliminated this risk. Transmission of the parasite, *Trypanosoma cruzi*, which is responsible for Chagas disease has also occurred with platelet transfusion.

As with any plasma infusion, one may see urticaria, anaphylactic shock in a patient with IgA deficiency and circulating anti-IgA, and transfusion associated acute lung injury (TRALI) when a donor has leukocyte antibodies which can react with antigens on the leukocytes of the recipient<sup>139</sup>.

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## **B Thrombosis**

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# Pathophysiology of arterial thrombosis

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During the past few years we have witnessed a remarkable advance in our understanding of the pathophysiology of coronary atherosclerosis–thrombosis. Here we focus on thrombosis with specific emphasis on the coronary arteries<sup>1–4</sup>.

## Lesion classification and progression of atherosclerosis–thrombosis

According to the criteria of the American Heart Association Committee on Vascular lesions, plaque progression can be divided into the five phases and various lesion types shown in Fig 47.1<sup>5–7</sup>. The so-called ‘vulnerable’ type IV and type Va lesions (phase 2) and the so-called ‘complicated’ type VI lesion (phase 4) are the most relevant to acute coronary syndromes (ACS). The acute type VI lesion that results in an ACS, rather than being characterized by a small mural thrombus, consists of an occlusive thrombus.

Type IV and type Va lesions, although not necessarily stenotic at angiography, may be prone to disruption because of their softness due to a high lipid content and macrophage-dependent chemical properties. Type IV lesions consist of confluent cellular lesions with a great deal of extracellular lipid intermixed with fibrous tissue covered by a fibrous cap, whereas type Va lesions possess a predominant extracellular lipid core also covered by a thin fibrous cap. Phase 2 can evolve into acute phase 3 or 4, and either of them can evolve into a fibrotic phase 5.

## Vulnerable lipid-rich plaque and its disruption

Type IV and type Va plaques are commonly composed of an abundant crescentic mass of lipids, separated from the vessel lumen by a discrete component of extracellular

matrix (Figs. 47.1, 47.2). Fairly small coronary lesions by angiography may be associated with acute progression to severe stenosis or total occlusion and may eventually account for as many as two-thirds of the patients in whom unstable angina or other ACS develop<sup>8–10</sup>. This unpredictable and episodic progression is most probably caused by disruption of type IV and type V plaques with subsequent thrombus formation, which changes the plaque geometry and leads to acute, or intermittent plaque growth and acute occlusive coronary syndromes. Such plaque disruption seems to depend on both passive and active phenomena.

## Passive disruption of plaques

Related to physical forces, passive plaque disruption occurs most frequently where the fibrous cap is thinnest, most heavily infiltrated by foam cells, and therefore weakest. For eccentric plaques, this is often the shoulder or between the plaque and the adjacent vessel wall<sup>11</sup>. Pathoanatomical examination of intact and disrupted plaques and in vitro mechanical testing of isolated fibrous caps from aorta indicate that vulnerability to rupture depends on three factors: circumferential wall stress or cap ‘fatigue’; location, size and consistency of the atheromatous core; and blood-flow characteristics, particularly the impact of flow on the proximal aspect of the plaque (i.e. configuration and angulations of the plaque)<sup>5,8,11</sup>.

## Active disruption plaques

The process of plaque disruption is not purely mechanical. Atherectomy specimens from patients with ACS reveal areas very rich in macrophages<sup>12</sup>, and these cells are

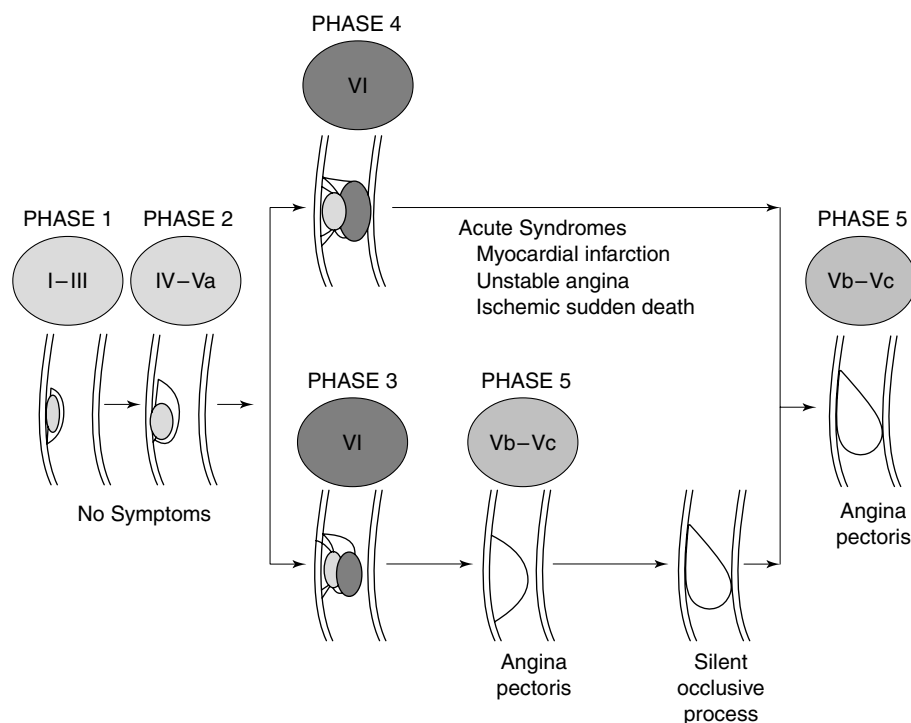


Fig. 47.1. Phases and lesion morphology of progression of coronary atherosclerosis according to gross pathological and clinical findings.

capable of degrading extracellular matrix by phagocytosis or secretion of proteolytic enzymes. Thus, enzymes such as plasminogen activators and matrix metalloproteinases (MMPs, collagenases, gelatinases, and stromelysins) may weaken the fibrous cap and predispose it to rupture<sup>13</sup>.

### Acute thrombosis

Disruption of a vulnerable or unstable plaque with a subsequent change in plaque geometry and thrombosis results in complicated lesions (Figs. 47.1 and 47.2). Such a rapid change in the geometry of atherosclerotic plaques may result in acute occlusion or subocclusion with clinical manifestations of unstable angina or other ACS. More frequently, however, the rapid changes seem to result in mural thrombus without evident clinical symptoms, which, by self-organization, may be a main contributor to the progression of atherosclerosis. More specifically, at the time of coronary plaque disruption, a number of local and systematic thrombogenic factors may influence the degree and the duration of thrombus deposition (Table 47.1). Such a thrombus may then either be partially lysed or become replaced in the process of organization by the vascular repair response.

### Substrate and platelet dependent thrombosis

The platelet is a fundamental element in thrombosis<sup>14</sup>. In the areas with vascular lesion exposure of collagen to circulating blood and thrombin generated through the activation of the coagulation cascade, in addition to systemic agonists, can cooperate to produce a potent and rapid platelet activation process. Another pathway for platelet activation is mediated through ADP that is released by hemolysis of red blood cells in the areas of vascular lesion. ADP stimulates calcium discharge and the release of the platelet granular content. ADP produces the stimulation of neighbouring platelets, recruitment and the triggering of the full reaction for platelet aggregation and thrombus formation.

Serotonin, vasoconstrictor factor derived from the platelet can also stimulate the adrenergic nervous system in the blood vessels. Serotonin receptors are present in the coronary arteries and their stimulation produces vasoconstriction<sup>15</sup>. Dense granules in the platelets are also the place of storage of ADP and calcium. In addition, adhesive proteins such as fibrinogen, fibronectin, vWF, thrombospondin, and vitronectin are stored in the alpha granules of the platelets. The alpha granules contain proteins that promote growth, such as PDGF, TGF $\beta$ , and platelet factor

## Plaque vulnerability, disruption and thrombosis

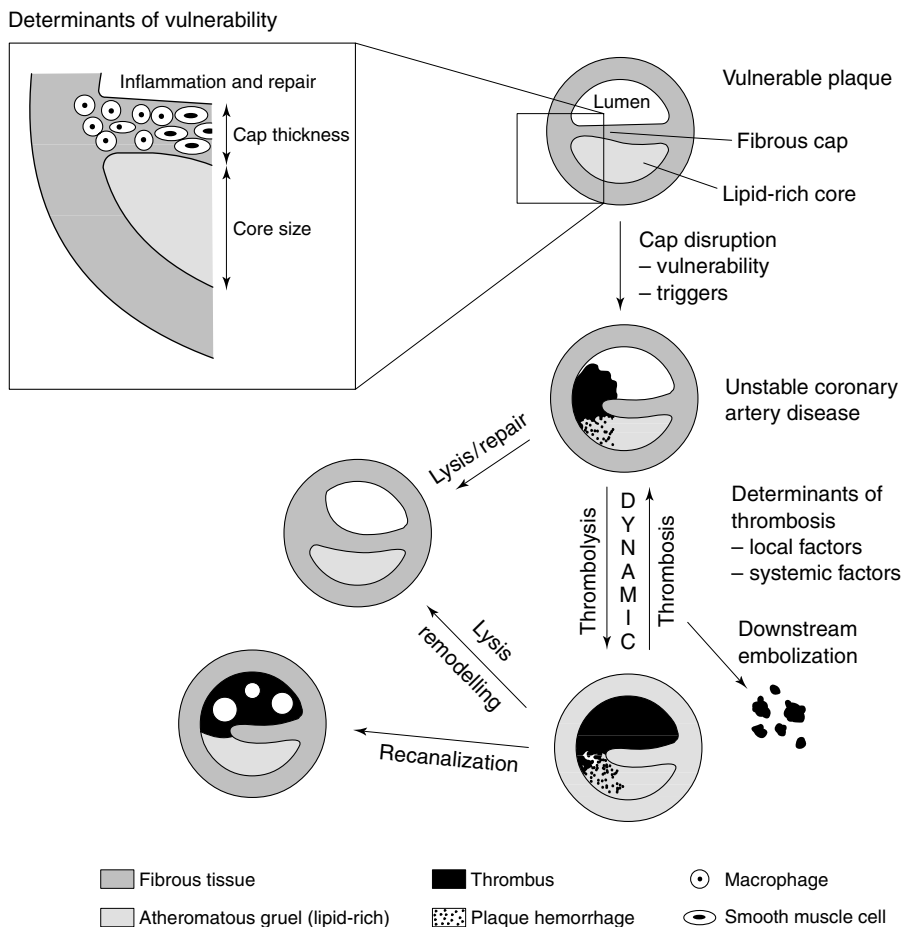


Fig. 47.2. Plaque vulnerability, disruption and thrombosis: anatomical changes leading to ACS and subsequent plaque remodelling.

4<sup>16-18</sup>. The alpha granules are also the place of storage of coagulation factors such as factor V, high molecular weight kininogen (HMWK), factor XI, protein S and PAI 1. In these granules albumin and immunoglobulins are also stored. The components of alpha granules are released by the platelets at a lower concentration of agonist than those needed to release the constituents of the dense granules<sup>19,20</sup>.

Any of the possible mechanisms of platelet activation induce the subsequent exposure of the platelet receptor glycoproteins (GP) IIb/IIIa. Adhesive molecules such as fibrinogen, vWF, and fibronectin can bind to GP IIb/IIIa and form bridges with other platelets triggering the complete process of platelet aggregation (Fig. 47.3).

In the interaction of the platelet with the vascular wall two surface glycoprotein receptors play a fundamental

role. The GP Ib/IX complex is needed for the normal adhesion of the platelets to subendothelial areas, mainly at high shear rate conditions<sup>21,22</sup> presumably through its interaction with vWF<sup>23</sup>. vWF also can bind GP IIb/IIIa<sup>24</sup>. Several studies have suggested a double role for these glycoproteins; thus, they have a role in adhesion and also in platelet to platelet interactions that lead to thrombus formation<sup>22-28</sup>. We may thus conclude that in conditions of high shear rate GP Ib and GP IIb/IIIa are involved in the process of platelet adhesion while GP IIb/IIIa are involved mainly in platelet to platelet interaction. Platelet activation induces structural changes in GP IIb/IIIa that lead to the formation of a heterodimeric complex that works as the receptor for fibrinogen. ADP induces further exposition of binding sites for fibrinogen on the platelet surface. This process generates cohesive binding between platelets and

**Table 47.1.** Thrombotic complications of plaque disruption: local and systemic thrombogenic risk factors

<i>Local factors</i>	
Degree of plaque disruption (i.e. erosion, ulcer)	
Degree of stenosis (i.e. change in geometry)	
Tissue substrate (i.e. lipid-rich plaque)	
Surface of residual thrombus (i.e. recurrence)	
Vasoconstriction (i.e. platelets, thrombin)	
<i>Systemic factors</i>	
Cholesterol, Lp(a)	
Catecholamines (i.e. smoking, stress, cocaine)	
Fibrinogen, impaired fibrinolysis (i.e. PAI-1), activated platelets and clottings (i.e. factor VII, thrombin generation, factor 112, or activity-FPA)	
Infections ( <i>Chlamydia pneumoniae</i> , cytomegalovirus, <i>Helicobacter pylori</i> )?	

**Table 47.2.** Platelet receptors

Ligand	Receptor	Other names
Collagen	GPIa-IIa	VLA-2, $\alpha_2\beta_1$
	GPIIb-IIIa	$\alpha_{IIb}\beta_3$
	GPIV	GPIIb
Fibrinogen	GPIIb-IIIa	$\alpha_{IIb}\beta_3$
Fibronectin	GPIc-IIa	VLA-5, $\alpha_5\beta_1$
	GPIIb-IIIa	$\alpha_{IIb}\beta_3$
Thrombospondin	Vn-R	$\alpha_v\beta_3$
	GPIV	GPIIb
Vitronectin	Vn-R	$\alpha_v\beta_3$
	GPIIb-IIIa	$\alpha_{IIb}\beta_3$
vWF	GPIb-IX	-
	GPIIb-IIIa	$\alpha_{IIb}\beta_3$
Laminin	GPIc-IIa region	VLA-6, $\alpha_6\beta_1$

*Note:*

Vn-R, Vitronectin receptor; vWF, von Willebrand factor.

rupt blood flow. The intact red blood cells that are reaching the area of thrombus growth respond to the released platelet secretion products increasing the production of prothrombotic substances including arachidonate and eicosanoids<sup>29,30</sup>. This phase of secondary consolidation of the thrombus, because of thrombin, completes the thrombotic process.

### Glycoproteins and the platelets membrane

Platelet function depends on adhesive interactions and many of the surface glycoproteins in the platelet membrane are receptors for adhesive proteins (Table 47.2). Some of these receptors have been identified, cloned, sequenced and classified among large families of genes that mediate diverse types of cellular interactions<sup>31</sup>. The most abundant is the family of the integrins that includes GP IIb/IIIa, GPIa/IIa, GP Ic/IIa and the receptors of fibronectin and vitronectin in decreasing order of magnitude. The family of genes that include the leucine-rich glycoproteins is represented by the complex of GPIb, a receptor for vWF in unstimulated platelets that is involved in the binding subendothelium, and GPV. Other families of genes include the selectins (GMP 140) and PECAM-1. In addition there is a family of genes that include GP IV<sup>31</sup>.

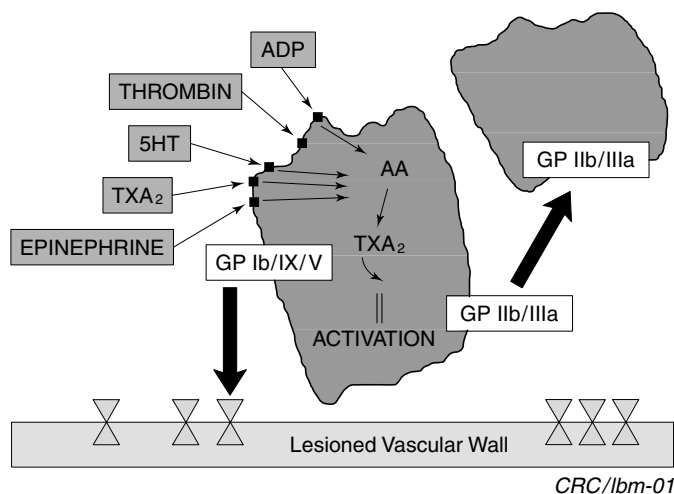


Fig. 47.3. Diagram of platelet activation, adhesion and aggregation.

therefore the growth of the thrombus. Fibrinogen molecules, with dimeric structure, form bridges between GP IIb/IIIa molecules in neighbouring platelets.

New platelet recruitment due to the platelet released products are probably the result of the action of ADP and thromboxane  $A_2$ . It is important to emphasize that recruitment produces a significant activation, potentiating aggregation and increasing the thrombotic process.

Finally the thrombotic mass will be consolidated because of the formation of thrombin that can induce the total occlusion of the vessel. The process of thrombus growth, that initially was part of a hemostatic response, will be transformed into an occlusive thrombus that will inter-



## Glycoprotein Ib (GPIb)

The GPIb complex is the glycoprotein responsible for the negative charge of the surface of the platelets, due to its high content in sialic acid and carbohydrates. It has two disulfide linked subunits, GP Ib $\alpha$  (143 kDa) and GP Ib $\beta$  (22 kDa), that are bound not covalently to GP IX in a heterodimeric one to one complex. There are approximately 25 000 molecules of GP Ib per platelet. GP Ib $\beta$  and GP IX are transmembrane glycoproteins and they form the globular domain of larger size. The elongated part of the receptor corresponds to the GP Ib $\alpha$  subunit. This glycoprotein complex links immobilized vWF exposed in the vascular subendothelium and initiates platelet adhesion. GP Ib does not bind to circulating plasma vWF because it requires a conformational change that is produced when it is bound to extracellular matrix to be recognized by the receptor. The vWF binding domain is located in aa 251–279 of the GP Ib $\alpha$  subunit<sup>32</sup>. The binding domain in vWF corresponds to a trypsin fragment that extends from aa 449 to 728 and it does not contain the sequence RGD<sup>33</sup>. The cytoplasmic domain of the receptor has as its main function the stabilization of the plasma membrane and maintenance of the platelet shape by linking the plasma membrane to intracellular actin filaments of the cytoskeleton.

## Glycoproteins IIb/IIIa (GP IIb/IIIa)

On the surface of unstimulated platelets there are around 50 000 to 80 000 molecules of GP IIb/IIIa (integrin  $\alpha$  IIb  $\beta_3$ ). The complex is a heterodimer consisting of two transmembrane glycoproteins GP IIb of 136 kD ( $\alpha$ -subunit) (GP IIb- $\beta$  and GP IIb- $\alpha$  linked by disulfide bridges) and one of GP IIIa of 92 kD ( $\beta$ -subunit). In the human species GP IIb and IIIa are synthesized as precursors by different genes, both of them located in the region q21–22 of the larger arm of chromosome 17<sup>34</sup>. The transcription of the gene is also controlled by independent factors. The receptor IIb/IIIa is a calcium-dependent heterodimer that is linked in a non-covalent fashion<sup>35</sup>. Calcium is fundamental for the maintenance of the complex and for the binding of adhesive glycoproteins<sup>36,37</sup>. In activated platelets GP IIb/IIIa is a receptor for fibrinogen, fibronectin, vWF, vitronectin and thrombospondin<sup>38</sup>. The sequences to which the receptor is linked correspond to small peptide sequences RGD present in the adhesive proteins<sup>39</sup>. Fibrinogen contains two RGD sequences in the alpha chain, one in the N-terminal region (aa 95 to 97) and the second in the C-terminal (aa 572–574)<sup>40</sup>. Fibrinogen has a third recognition domain that corresponds to the sequences of 12 aa localized in the

C-terminal of the gamma chain of the molecule. This dodecapeptide is specific to fibrinogen and it does not contain the sequence RGD<sup>41</sup>.

## Activation and exposure of the GP IIb/IIIa receptors

In the non activated platelets the GP IIb–IIIa receptors are unable to bind plasma fibrinogen<sup>42,43</sup>. The receptor activity is acquired as a consequence of platelet activation because of the conformational changes in the heterodimer. Indeed, except for megakaryocytes and platelets this receptor cannot be activated when it is expressed in cell lines, indicating that the expression of this receptor is regulated by specific cell elements either in the lipidic micro-environment or by other intracellular factors<sup>44,45</sup>. Platelet activation signalling is transmitted by mechanisms not yet fully understood through the plasma membrane and produces changes in the relative orientation of the extracellular domain of the GP IIb/IIIa receptor<sup>46</sup>. The binding of plasma fibrinogen to the activated receptor produces changes in the conformation, both in the receptor and in the ligand. The link of GP IIb–IIIa/fibrinogen complexes to the platelet cytoskeleton not only facilitates the anchoring needed for clot retraction but also regulates the reorganization of the cytoskeleton and the formation of a macromolecular complex of enzymes and proteins involved in signal transduction mechanisms<sup>46,47</sup>.

## Mechanisms of signal transduction in the platelet

The binding of the agonists to the membrane receptors exposed on the platelet surface activates secondary messengers that include inositol-1,4,5-triphosphate (IP3) and diacylglycerol (DG). IP3 produces calcium release from the dense tubular system, increasing free calcium concentration in the cytosol. DG activates a serine/threonine kinase, protein kinase (PK C), inducing its translocation to the membrane and the phosphorylation of proteins in serine and threonine residues. These changes induce granular secretion and exposure of the fibrinogen receptor. Simultaneously, the increase in cytosolic free calcium facilitates the release of arachidonate by phospholipase A2 (PLA2) from the platelet membrane phospholipids. This process can occur both in the plasma membrane and in the membrane of the dense tubular system. Arachidonate is metabolized to thromboxane A2 that is released from the cell, interacts with the receptors of the platelet surface and increases the activation of the platelet (Fig. 47.4).

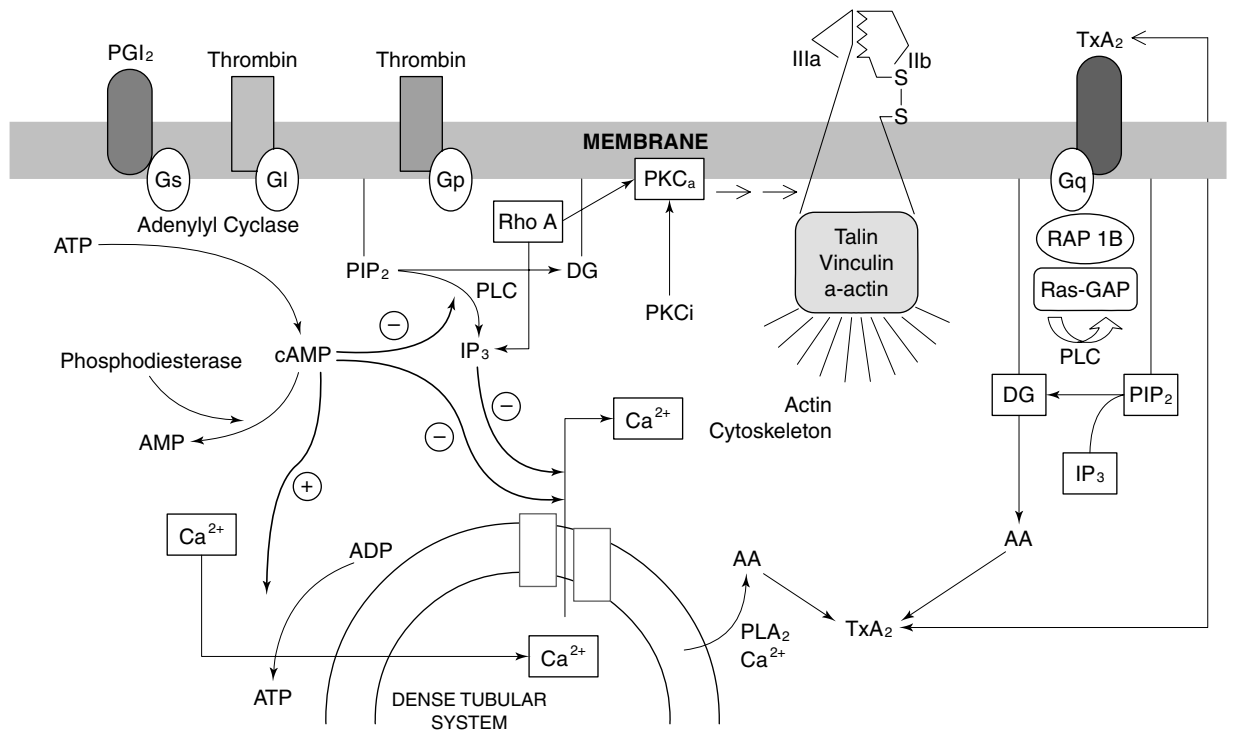


Fig. 47.4. Signal transduction mechanisms in platelet activation.

The activation of the platelet is inhibited when the levels of cyclic AMP (cAMP) are increased. This is the mechanism of action of some inhibitors of platelet function such as prostacyclin (PGI<sub>2</sub>). Similarly nitric oxide (NO) inhibits platelet function through the activation of guanylate cyclase in the cytosol that increases the intracellular levels of cyclic GMP (cGMP)<sup>48</sup>. The elevation of cAMP levels produces the activation of cAMP-dependent protein kinases. Substrates for protein kinases are GP Ib (subunit beta), the actin binding protein, myosin light chain and Rap 1b. It is not fully known how these substrates promote the inhibition of platelet activation. In general agonists for platelet activation inhibit cAMP formation by blocking adenylate cyclase. Other compounds accelerate the metabolism of cAMP by the activation of the phosphodiesterase<sup>49</sup>. When cAMP levels increase in the platelet, free calcium is sequestered in the dense tubular system and PLA<sub>2</sub> activity is inhibited<sup>38</sup>.

Interaction between agonists and the enzymes responsible for the generation of second messengers is also mediated by G proteins. G proteins are heterotrimers formed by the subunits alpha, beta and gamma. The activation of G proteins is produced by binding to GTP (sometimes also to GDP). Platelets have at least nine types of G proteins. G proteins regulate the hydrolysis of phosphatidylcholine and

the formation of cAMP and are probably involved in the activation of PLA<sub>2</sub> and adenylate cyclase<sup>50,51</sup>. Phospholipase C (PLC) is also activated by different G-proteins (Gp and Gq). Adenylate cyclase is stimulated by Gs and inhibited by Gi. We have very little information on PLA<sub>2</sub> regulation by G-proteins. RAP1b, a G-protein of low molecular weight, has been shown to form a complex with PLC and Ras-GAP. Other low molecular weight G-proteins could be important in the regulation of vesicular transport and granular secretion in the platelet<sup>52</sup>.

### Substrate and tissue factor (TF/thrombin)

There is striking heterogeneity in the composition of human atherosclerotic plaques, even in the same individual, and the disruption of plaques exposes different vessel-wall components to blood. Data on the thrombogenicity of disrupted atherosclerotic lesions are limited. In two different experiments, human aortic plaques were exposed to flowing blood at high shear rate and their thrombogenicity was assessed. The studied material included normal intima (free of disease), fatty streaks, sclerotic plaques, fibrolipid plaques, and atheromatous lipid-rich core. The lipid core, abundant in cholesterol ester, displayed by far

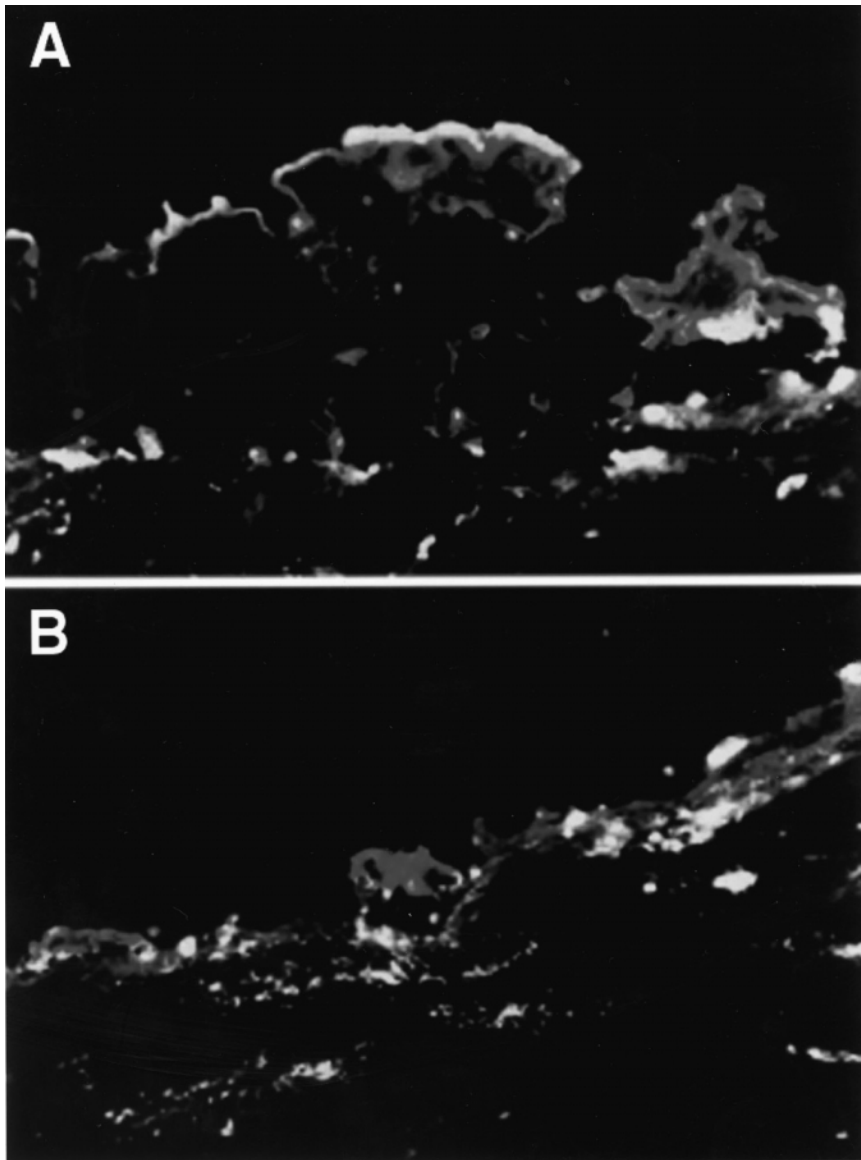


Fig. 47.5 (see also colour plate). Effects of TFPI on thrombogenicity of disrupted lipid-rich human atherosclerotic lesions: Control (*a*) and TFPI-treated (*b*). Fibrinogen deposition is shown as green, platelet deposition as red, and their colocalization as orange. Note the significant antithrombotic effect of rTFPI on deposition of platelet and fibrin(ogen) deposition.

the highest thrombogenicity and the most intense TF staining compared with other components<sup>53,54</sup>.

TF, a small-molecular-weight glycoprotein, initiates the extrinsic clotting cascade and is believed to be a major regulator of coagulation, hemostasis, and thrombosis. TF forms a high-affinity complex that activates factors IX and X, which in turn leads to thrombin generation<sup>55</sup>. Colocalization analysis of coronary atherectomy specimens (culprit lesions) from patients with unstable angina showed a strong relation between TF and macrophages<sup>56</sup>.

This relation suggests a cell-mediated thrombogenicity in patients with unstable angina and ACS. Furthermore, based on recent experimental observations, specific inhibition of vascular tissue factor by the use of r-tissue factor pathway inhibitor (rTFPI) was associated with a significant reduction of acute thrombus formation in lipid-rich plaques<sup>57</sup> (Fig. 47.5, see colour plate). Such observations document the role of TF activity in acute arterial thrombosis after atherosclerotic plaque disruption and open a new therapeutic strategy in the prevention of ACS.

### Hypercoagulable-dependent thrombosis

In regard to the thrombin generated by the primary activation of TF, the thrombin receptor has 425 aa with transmembrane domains and an NH<sub>2</sub>-terminal extracellular domain that is cleaved by thrombin to produce a tethered ligand that binds and initiates the activation of the receptor and the signal transduction mechanisms<sup>58</sup>. Thrombin is a key enzyme in the formation of the thrombus, cleaving fibropeptides A and B from fibrinogen to form insoluble fibrin, which stabilizes the growing thrombus. Both free thrombin and thrombin bound to fibrin are able to transform fibrinogen into fibrin favouring the propagation of the thrombus in the area of the lesion. Simultaneously, platelet activation produces the expression of the fibrinogen receptor in the platelet membrane as discussed before. The process is perpetuated with the arrival of new platelets to the area of the lesion. There is evolving evidence that circulation monocytes and white blood cells may be involved in TF expression and thrombogenicity<sup>59</sup>; indeed, the predictive value for coronary events of high titres of C-reactive protein may be a manifestation of such systemic phenomena<sup>60–63</sup>. Hypercholesterolemia, a high catecholamine drive such as in cigarette smoking, certain chemotactic determinants, and perhaps infections may trigger such hypercoagulable phenomena (Table 47.1). Of interest, in about a third of ACS, particularly in acute sudden coronary death, there is no disruption of a fairly small lipid-rich plaque but just a superficial erosion of a markedly stenotic and fibrotic plaque<sup>64,65</sup>. Thus, complicated thrombi in such cases may well be dependent on a hypercoagulable state triggered by systemic factors mentioned above.

We have reported that normalization of plasma cholesterol concentrations by statins reduces the increased blood thrombogenicity observed in the same patients under hyperlipidemic conditions<sup>66,67</sup>. In addition, the mechanism of action was related more to the lipid-lowering activity than to the specific hypolipidaemic agent used. Systemic infections (e.g. with *Chlamydia pneumoniae*, cytomegalovirus, and *Helicobacter pylori*) have been linked to atherosclerotic disease and its thrombotic complications<sup>68</sup>; increased antibody titres predict future adverse events in patients with postmyocardial infarction. Infectious agents may activate circulating monocytes and white blood cells and create a hypercoagulable state (through synthesis and activation of TF and platelet interaction, as well as by raising fibrinogen concentration); however, recent antibiotic trials of the use of macrolide inhibitors have been inconclusive.

### Thrombosis-related vasoconstriction

Although many episodes of unstable angina and acute myocardial infarction are caused by the disruption or erosion of a plaque with superimposed thrombosis, other mechanisms that alter myocardial oxygen supply and demand must be considered. Original studies by Maseri and colleagues<sup>69</sup> indicated that coronary vasoconstriction has an important role. In ACS, vasoconstriction may occur as a response to a mildly dysfunctional endothelium near the culprit lesion or, more likely, may be a response to deep arterial damage or plaque disruption of the culprit lesion itself. Thus, with regard to this second type of vasoconstriction, it seems that a predisposition exists for platelet-dependent and thrombin-dependent vasoconstriction at the site of plaque disruption and thrombosis that may be significant but transient<sup>70</sup>. Thus, platelet-dependent vasoconstriction, mediated by serotonin and thromboxane A<sub>2</sub><sup>71</sup> and thrombin-dependent vasoconstriction occur if the vascular wall has been damaged substantially with de-endothelialization, which suggests the direct interaction of these substances with the vascular smooth muscle cells.

### Atherosclerosis-thrombosis and clinical consequences

Stable angina (usually exertional) or stable silent ischemia (exertional or not) commonly result from increases in myocardial oxygen demand that outstrip the ability of stenosed coronary arteries to increase oxygen delivery.

With regard to the pathobiology of ACS<sup>72</sup>, in unstable angina, a fairly small fissuring of a lipid-rich plaque, and occasionally a superficial erosion of a fibrotic plaque, may lead to an acute change in plaque structure and a reduction in coronary blood flow, resulting in exacerbation of angina. Transient episodes of thrombotic-vessel occlusion at the site of plaque damage may occur, leading to angina at rest. This thrombus is usually labile and results in temporary vascular occlusion, perhaps lasting only 10–20 min. In addition, release of vasoactive substances by platelets (serotonin, thromboxane A<sub>2</sub>), secondary to endothelial vasodilator dysfunction may alter perfusion and myocardial oxygen supply probably accounting for two-thirds of ACS, including episodes of unstable angina. The remainder one-third may be caused by transient increases in myocardial-oxygen demand.

In non-Q-wave myocardial infarction, more severe plaque damage results in more persistent thrombotic occlusion, perhaps lasting up to 1 h. Only about a fourth of patients with non-Q-wave myocardial infarction have an

infarct-related vessel occluded for more than 1 h, but the distal myocardial territory is usually supplied by collaterals. Therefore, spontaneous thrombolysis, resolution of vasoconstriction, and presence of collateral circulation are important in preventing the formation of Q-wave myocardial ischemia. In Q-wave myocardial infarction, larger plaque fissures result in the formation of a fixed and persistent thrombus, which leads to an abrupt cessation of myocardial perfusion for more than 1 h, resulting in transmural necrosis of the involved myocardium. Some cases of sudden coronary death probably involve a rapidly progressive coronary lesion in which plaque disruption – commonly a superficial erosion – and resultant thrombosis lead to ischemic and fatal ventricular arrhythmias in the absence of collateral flow. Platelet microemboli may also contribute to the development of sudden ischemic death.

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## Platelets and atherosclerosis

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Cardiovascular diseases remain the principal cause of morbidity and mortality in the Westernized world. Atherosclerosis is the common pathophysiological process underlying ischemic heart disease, cerebrovascular accidents and peripheral vascular disease. Understanding of the pathogenesis of atherosclerosis has tremendously increased in the last decade. For years, atherosclerosis had been considered as a degenerative process of the arteries. Nowadays, atherosclerosis is seen as an inflammatory disease of the artery resulting from chronic endothelial injury. Platelets have proinflammatory and growth-regulatory properties that may contribute to the progression and destabilization of atherosclerotic plaques. Platelets activate endothelium, promote lipoprotein and lipid peroxidation, mediate leukocyte–endothelial interaction and stimulate smooth muscle cell proliferation. An acute clinical syndrome usually involves thrombosis triggered by the rupture of a vulnerable atherosclerotic plaque. Incorporation of mural platelet-rich thrombi at the site of an unstable plaque contributes to the progression of atherosclerotic lesions.

### Pathogenesis of atherosclerosis

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#### Response to injury

Healthy endothelium maintains vascular integrity. It provides a non-thrombogenic inner layer of the vessel wall, and tends to dilate the vessel by releasing endothelium-derived relaxing factor (nitric oxide, NO), endothelium-derived hyperpolarizing factor (EDHF) and prostacyclin (PGI<sub>2</sub>). NO and PGI<sub>2</sub> both inhibit the adhesion and aggregation of platelets. Furthermore, healthy endothelium has fibrinolytic properties and impedes leukocyte adhesion<sup>1</sup>.

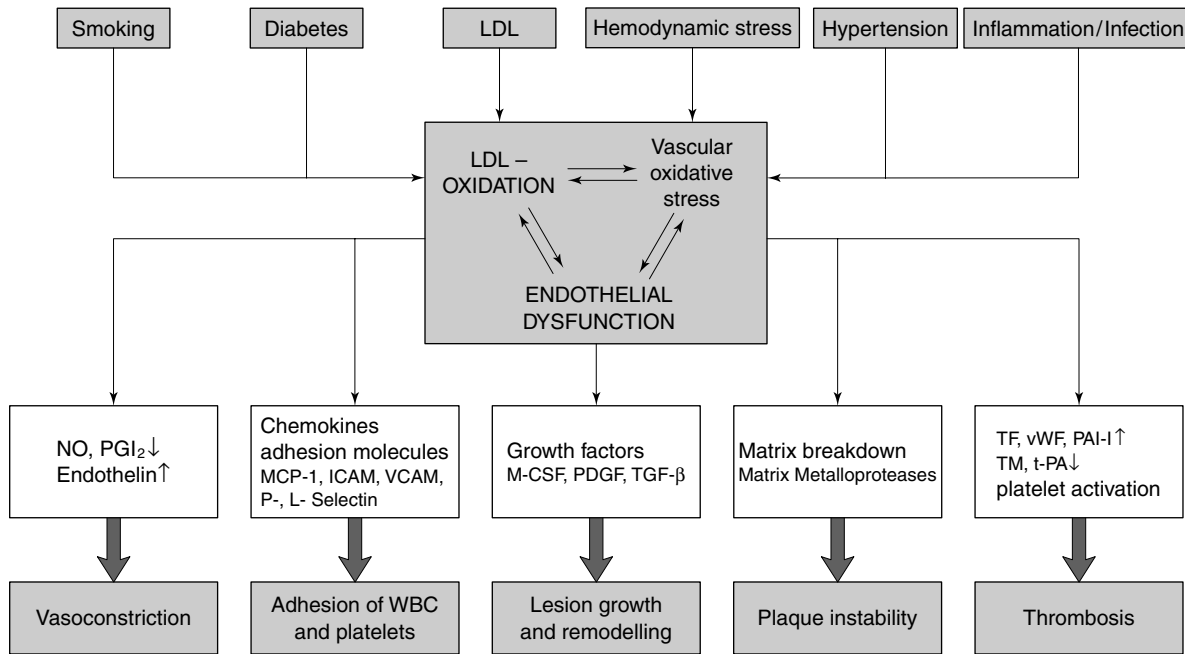
Chronic endothelial injury is a key feature in the patho-

genesis of atherosclerosis. Cardiovascular risk factors such as dyslipidemia, hypertension, smoking, diabetes, hyperhomocysteinemia and systemic infection or inflammation result in increased oxidative stress of the vascular wall, endothelial dysfunction and platelet hyperactivity (Fig. 48.1)<sup>2</sup>. High levels of high-density lipoproteins (HDL), moderate alcohol consumption, intake of ( $\omega$ -3 fatty acids and antioxidants are associated with lower cardiovascular risk and platelet hypoactivity.

Local variations in shear stress and flow help explain the focal distribution of atherosclerosis. It occurs preferentially at lesion prone sites of large and medium-sized elastic arteries (aorta, carotid and iliac bifurcation) and some of the large and medium-sized muscular arteries (coronary arteries, circle of Willis). Lesion prone sites are characterized by decreased shear stress because of oscillatory blood flow at branching vessels or curvatures. Normal arterial shear stress induces an atheroprotective gene expression profile with high expression of NO-synthase and cyclooxygenase. Endothelium exposed to decreased shear stress expresses a more atherogenic phenotype with increased expression of intercellular adhesion molecule-1 (ICAM-1), monocyte chemotactic protein (MCP-1), vascular cell adhesion molecule-1 (VCAM-1) and platelet-derived growth factor (PDGF)<sup>3</sup>.

One of the first hallmarks of endothelial activation is increased permeability, leading to enhanced retention of low-density lipoproteins (LDL) (Fig. 48.2). In the vessel wall LDL becomes deprived of antioxidants and undergoes minimal oxidative changes. Oxidized LDL (Ox-LDL) interferes with the NO signalling pathway through many possible mechanisms, including decreased transcription of NO-synthase and inactivation of NO<sup>4,5</sup>. Decreased PGI<sub>2</sub> and NO result in decreased vasodilating and antiadhesive properties of the endothelium. Endothelial injury results in decreased anticoagulant properties by increasing Von





ICAM: InterCellular Adhesion Molecule, LDL: Low Density Lipoprotein, MCP-1: Monocyte Chemotactic Protein-1, NO: Nitric Oxide, PDGF: Platelet-Derived Growth Factor, PGI<sub>2</sub>: Prostacyclin, PAI-1: Plasminogen Activator Inhibitor-1, TF: Tissue Factor, TGF-β: Transforming Growth Factor-β, TM: Thrombomodulin, t-PA: tissue-Plasminogen Activator, VCAM: Vascular Cell Adhesion Molecule, vWF: von Willebrand Factor. M-CSF: Monocyte Colony Stimulating Factor.

Fig. 48.1. Pathogenesis of atherothrombosis

Willebrand factor (vWF) expression and decreasing the expression of thrombomodulin. Reduced tissue-type plasminogen activator (t-PA) and increased plasminogen activator inhibitor (PAI-1) expression impair fibrinolysis. Minimally oxidized LDL contains oxidized lipids that activate the nuclear factor kappa B family (NFκB) of transcription factors. This redox-regulated transcription factor plays an important role in the chronic inflammation of the vessel wall<sup>6</sup>. It regulates a variety of genes encoding for cytokines (MCP-1, tumour necrosis factor-α) and adhesion molecules (ICAM-1, VCAM-1) important in macrophage adhesion and infiltration<sup>7</sup>. Other transcriptional factors influenced by the cellular redox state and important in the inflammatory reaction of the vessel wall are peroxisome proliferator-activated receptors (PPARs) and activator protein-1 (AP-1)<sup>8</sup>.

### Inflammatory reaction and recruitment of leukocytes

Endothelial cell activation enhances the adhesion of leukocytes to the vessel wall. MCP-1 and other chemokines such as monocyte chemotactic protein-4 (MCP-4), osteo-

pontin and interleukin-8 are chemotactic molecules for monocytes. Studies with MCP-1 and CCR2-receptor knockout mice revealed that MCP-1 and its main chemokine receptor CCR2 play an important role in the early recruitment of monocytes into the vessel wall<sup>9,10</sup>. Stromal cell-derived factor-1 (SDF-1) is highly expressed in human atherosclerotic plaques. It is not only a chemotactic factor for lymphocytes and macrophages but also induces platelet activation<sup>11</sup>.

Homing of leukocytes to the vascular endothelium involves rolling, activation and firm adhesion. Rolling of leukocytes is mediated by E- and P-selectins on endothelial cells, and L-selectins on leukocytes<sup>12,13</sup>. Firm adhesion involves (β<sub>2</sub>-integrin interaction and requires activation of the leukocytes by P-selectin or platelet activating factor (PAF)<sup>14</sup>. Platelet endothelial cell adhesion molecule-1 (PECAM) is important in the migration of monocytes into the vessel wall<sup>15</sup>. Monocyte-endothelial cell interaction induces the synthesis of colony stimulating factors, which stimulate further chemotaxis, proliferation, and monocyte differentiation<sup>16</sup>.

Resting platelets do not interact with healthy endothelium, but do adhere to activated endothelium at sites of disturbed shear stress and to apoptotic endothelial cells.

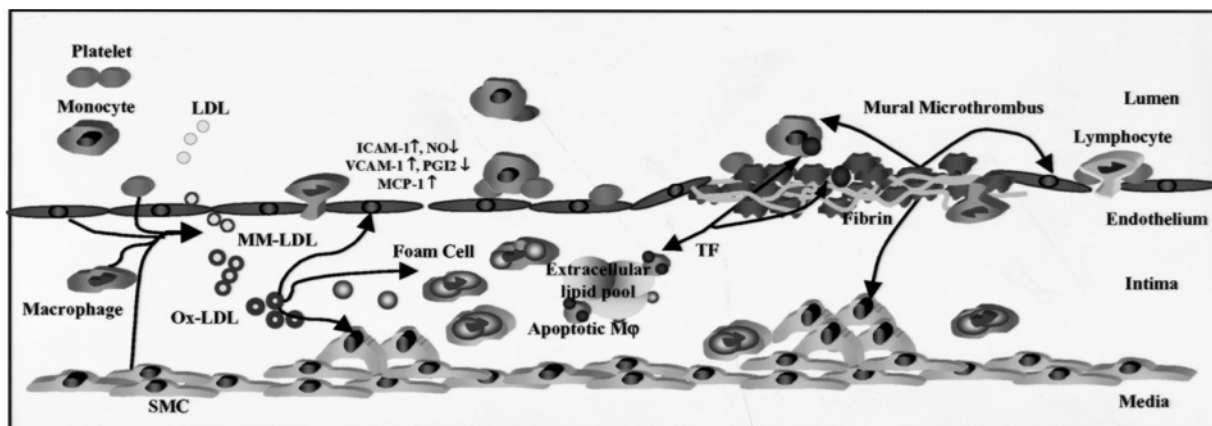


Fig. 48.2. Endothelial cells, platelets, smooth muscle cells and macrophages mediate oxidative modifications of LDL. MM-LDL activates endothelial cells and promotes smooth muscle cell migration and proliferation. Uptake of oxidized lipoproteins by macrophages and smooth muscle cells generates foam cells. Activated platelets adhere to, and activate, endothelium and facilitate monocyte adhesion. Endocytosis of platelet-derived vesicles contributes to foam cell formation. Endothelial erosion initiates platelet adhesion and aggregation and fibrin deposition on the subendothelial matrix. Tissue factor is closely associated with macrophages in the lipid core. Propagation of a thrombus may also depend on blood-borne tissue factor. Platelets release a number of growth factors propagating smooth muscle cell proliferation. Re-endothelialization and cap formation by smooth muscle cells incorporates the mural thrombus into the expanded lesion. (ICAM-1: intercellular adhesion molecule-1, M $\phi$ : macrophage, MCP-1: monocyte chemotactic protein-1, MM-LDL: Minimally modified LDL, NO: nitric oxide, ox-LDL: oxidized LDL, PGI<sub>2</sub>: prostacyclin, SMC: smooth muscle cell, TF: tissue factor, VCAM-1: vascular cell adhesion molecule-1.)

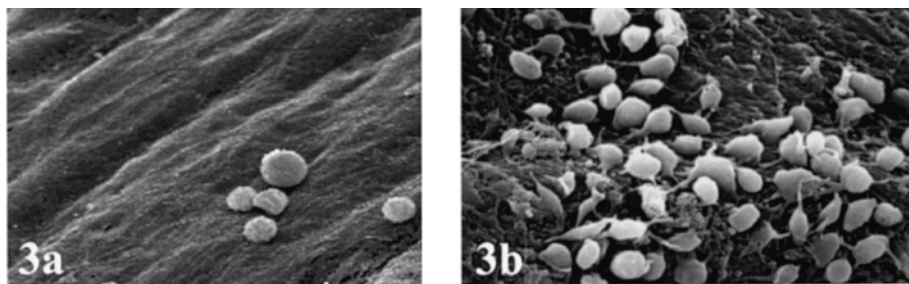


Fig. 48.3. (a) Scanning electron microscopy showing platelet adhesion to a dysfunctional endothelium from a hypercholesterolemic rabbit. (b) Scanning electron microscopy demonstrating platelet interaction with a damaged arterial wall. Platelets adhere, get sticky and release their granules. (Illustrations kindly provided by Marc Hoylaerts and Carine Michiels.)

Activated platelets adhere to both healthy and activated endothelium (Fig. 48.3)<sup>17</sup>. Platelet rolling on activated endothelium is mediated by endothelial P-selectin. Firm interaction of activated platelets with endothelium involves GPIIb–IIIa-dependent bridging and ICAM-1, GPIb $\alpha$  and  $\alpha_v\beta_3$  integrin interaction<sup>18,19</sup>. Activated platelets release proinflammatory cytokines, chemokines and lipid metabolites and are capable of inducing lipid peroxidation<sup>20,21</sup>. They express CD40 ligand, involved in inflammatory signalling, and P-selectin. CD40–CD40L signalling activates atheroma-associated cells and appears to play a key role in the progression of atherosclerotic

lesions<sup>22</sup>. Inhibition of CD40 signalling significantly reduces atherosclerosis in mice<sup>23</sup>. Via CD40 ligand, activated platelets trigger an inflammatory response in endothelial cells<sup>24</sup>. They induce expression of adhesion molecules (ICAM-1, VCAM-1), of chemokines (IL-6, MCP-1 and IL-8) and of tissue factor (TF)<sup>25,26</sup>.

Activated platelets also interact with leukocytes and trigger leukocyte activation, expression of NF $\kappa$ B regulated gene products, expression of adhesion receptors, e.g. Mac-1 and shedding of L-selectin<sup>27,28</sup>. P-selectin is the major surface receptor for leukocytes on activated platelets and interacts with P-selectin glycoprotein ligand that is abun-

**Table 48.1.** Platelet involvement in atherothrombosis

Early lesion	<p>Activated platelets adhere to endothelium and may:</p> <ul style="list-style-type: none"> <li>• induce lipid and lipoprotein peroxidation generating a broad range of biological active lipids<sup>21</sup>.</li> <li>• trigger an inflammatory response in endothelium by CD40–CD40 ligand interaction and NFκB activation<sup>24</sup>.</li> <li>• increase expression of adhesion molecules and secretion of chemokines<sup>26</sup>.</li> <li>• facilitate adhesion of monocytes by P-selectin mediated interaction with adherent platelets<sup>30</sup>.</li> </ul> <p>Circulating monocyte–platelet conjugates may facilitate monocyte homing to the arterial wall<sup>39</sup>. Interaction of platelets and macrophages enhances foam cell formation.</p>
Lesion progression	<p>Plaque rupture or endothelial erosion triggers platelet adhesion, activation and aggregation and the formation of mural thrombi that may:</p> <ul style="list-style-type: none"> <li>• incorporate in the plaque causing rapid expansion of the lesion<sup>63</sup>.</li> <li>• release growth factors from α-granules inducing SMC proliferation, migration and differentiation<sup>41</sup>.</li> <li>• enhance adhesion and infiltration of leukocytes<sup>74,167</sup>.</li> </ul>
Thrombosis and clinical events	<p>Occluding platelet-rich thrombi are formed upon rupture of a vulnerable plaque<sup>52</sup>. Embolization of platelet aggregates is a possible cause of ventricular fibrillation and sudden death and limb gangrene<sup>60</sup>. Arterial spasm can be triggered by vasoconstrictors released from activated platelets<sup>168,169</sup>. Activated platelets contribute to a procoagulant state<sup>25,33</sup>.</p>

dant on circulating monocytes and lymphocytes<sup>29,30</sup>. Increased soluble P-selectin among patients with atherosclerosis may reflect both platelet activation and endothelial dysfunction<sup>31</sup>. Furthermore, activated platelets induce TF expression in human circulating monocytes and thus contribute to a systemic procoagulant state<sup>32,33</sup>. Triggering of TF on circulating monocytes by activated platelets does not depend on *de novo* protein synthesis but on translocation from intracellular stores<sup>34,35</sup>.

Adherent platelets may trap circulating lymphocytes or monocytes and propagate the adherence of leukocytes to the vessel wall even at shear stress that does not allow direct leukocyte adhesion<sup>36–38</sup>. Furthermore, *in vitro* studies suggest that rosette formation of activated platelets with monocytes or lymphocytes may facilitate adhesion of these conjugates to the vessel wall<sup>39,40</sup>. (see also Table 48.1 and Fig. 48.2).

### Fatty streak

Recruitment of monocytes, lymphocytes and platelets to the vessel wall initiates an inflammatory response. Infiltration and proliferation of monocytes and T-lymphocytes in the subendothelial space is induced by a variety of growth-regulatory molecules released by endothelial cells, platelets, smooth muscle cells, and leukocytes<sup>41</sup>. Macrophages, endothelial cells, platelets and smooth

muscle cells may further oxidize LDL. Oxidation of LDL may be catalysed by myeloperoxidase or lipoxygenase, or initiated by metal ions. Further oxidation of LDL results in the generation of lipid mediators such as lysophosphatidylcholine (LPC), oxysterols, and PAF-like molecules that have chemotactic properties towards macrophages and further activate endothelial cells<sup>42</sup>. Oxidized lipoproteins initiate an immune response. Ox-LDL activates T-lymphocytes in humans<sup>43</sup> and titres of plasma autoantibodies to ox-LDL are higher in patients with carotid atherosclerosis<sup>44</sup>.

Oxidative alterations of the protein moiety of LDL result in a shift from LDL receptor binding to scavenger receptor binding. Contrary to the LDL receptor, scavenger receptors are not downregulated by intracellular cholesterol pools, resulting in an uncontrolled uptake of ox-LDL and foam cell formation<sup>45</sup>. Histological studies have shown platelets and foam cells in close proximity<sup>46</sup>. Interaction between platelets and macrophages enhances foam cell formation<sup>47</sup>. Activated platelets or microvesicles shed from activated platelets stimulate cholesterol esterification and cholesterol ester accumulation in macrophages. Part of the lipid content in foam cells may be derived from endocytosis of platelets or platelet-derived vesicles<sup>48</sup>. Accumulation of foam cells is the hallmark of the earliest recognizable lesion, the fatty streak (Fig. 48.4(a), see colour plate). It is very common in young children and adolescents and is still a reversible stage of atherosclerosis<sup>49</sup>. It consists mainly

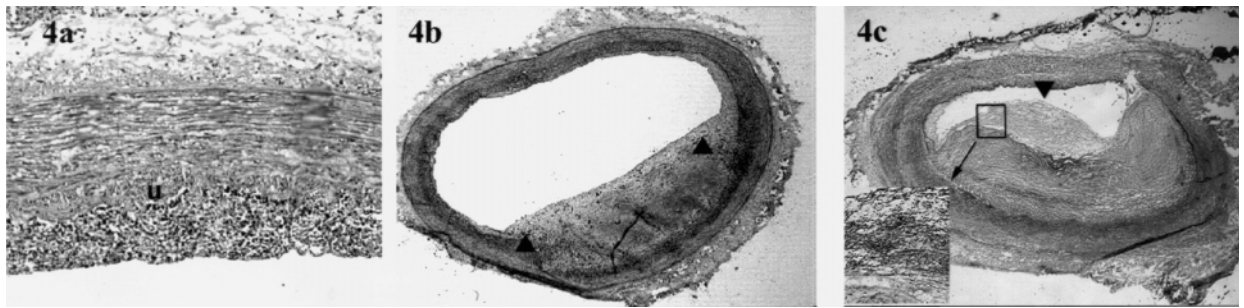


Fig. 48.4. (a) Oil Red O staining of a fatty streak (Stary type II lesion). The lesion is rich in foam cells. Smooth muscle cells (▶) migrate towards the intima. (b) Oil Red O staining of a fibroatheroma (Stary type IV–V). The eccentric lesion consists of a large lipid core with a rather thin fibrous cap. Plaque rupture occurs most frequently at the shoulder regions of the plaque (▲). (c) Oil Red O staining of a complicated lesion (Stary type VI). The layered aspect and the organized thrombus (▼) are hallmarks of healed disruption. Immunostaining (→) for fibrin shows fibrin at the basis of the most recently formed cap.

of inflammatory cells, predominantly macrophages, macrophage-derived foam cells and T-cells. Uptake of ox-LDL by macrophages is initially considered to be protective, minimizing the inflammatory and cytotoxic effects of oxidized lipids on endothelial and smooth muscle cells and promoting lipid efflux out of the vessel wall.

### Fibroatheroma

Ongoing endothelial injury and unregulated accumulation of oxidized lipids lead to necrosis and apoptosis of intimal macrophages and release of oxidized lipids that mitigate further inflammatory and cytotoxic effects. Confluence of extracellular lipid pools results in the formation of a lipid core. As a result of ongoing inflammation, and enhanced by oxidized lipids, macrophages, smooth muscle cells and platelets, together with the endothelium release a variety of growth-regulatory molecules. Smooth muscle cells migrate and proliferate to form a fibrous cap covering the lipid core and synthesize extracellular matrix (Fig. 48.4(b), see colour plate). Smooth muscle cells stimulated to express scavenger receptors become foam cells by uptake of ox-LDL. As the lesion grows, plaque vascularization is an additional source of inflammatory cells and can give rise to in-plaque hemorrhage. Calcification is a common feature of advanced atherosclerotic plaques. Calcifying vascular cells are stimulated by transforming growth factor- $\beta$  (TGF- $\beta$ ) and oxidized lipids<sup>50</sup>. Plaque progression does not necessarily decrease lumen size through adaptive remodelling of the vessel. Studies with intravascular ultrasound have revealed that large atherosclerotic lesions can be present in the coronary arteries before luminal narrowing is detectable with coronary angiography. Although mechanisms for

adaptive remodelling remain largely unravelled, it is generally accepted that it is shear stress and NO dependent<sup>51</sup>.

### The unstable lesion

Lesions that are prone to rupture are best characterized in the coronary arteries. A lipid-rich core underlying a thin fibrous cap is the histological hallmark of an unstable plaque. Shoulder regions are heavily infiltrated by inflammatory cells, mainly T-cells and macrophages, and are most prone to plaque rupture (Fig. 48.4(b), see colour plate)<sup>52</sup>. Matrix metalloproteases secreted and activated by macrophages and T-lymphocytes degrade the extracellular matrix<sup>53</sup>. Imbalance between matrix synthesis and breakdown causes weakening of the plaque. Plaque rupture exposes flowing blood to the thrombogenic core. Thrombogenicity of the lipid core is attributed to TF that is closely associated with apoptotic cells in the lipid core<sup>54</sup>. Oxidized LDL stimulates the expression of TF in both macrophages and endothelial cells<sup>55,56</sup>.

### Acute vascular events

Atherosclerosis is a systemic disease with a broad range of clinical manifestations. An acute coronary syndrome is triggered by an occluding platelet-rich thrombus that is formed upon a vulnerable plaque. Most frequently, an unstable plaque shows rupture into the lipid core, although coronary plaque erosion is also a common cause of coronary thrombosis and sudden death<sup>57</sup>. Remarkably, in about 75% of patients with acute coronary syndromes, the culprit lesion shows only mild to moderate stenosis on

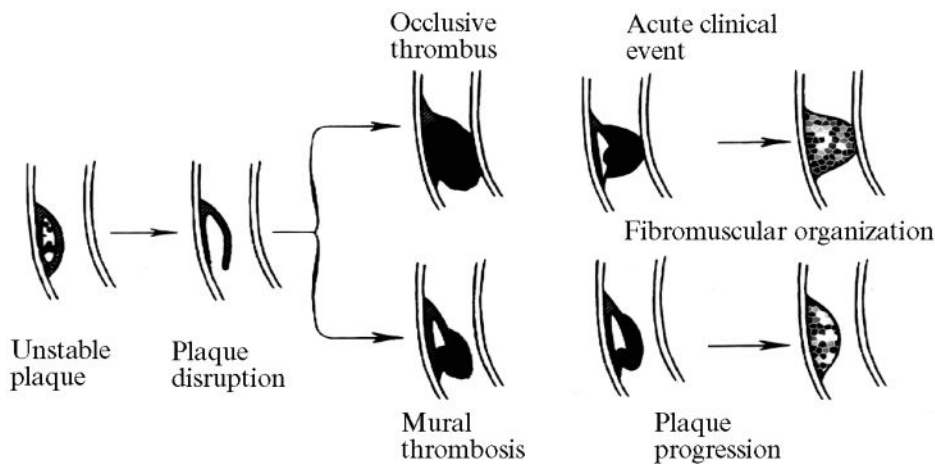


Fig. 48.5. Typical evolution of the unstable lesion. Plaque rupture triggers formation of a platelet-rich thrombus. Mural thrombi contribute to lesion progression and are far more common than occlusive thrombi that may lead to acute clinical events. (Adapted from V. Fuster<sup>61</sup>.)

angiography. Total occlusion of a coronary artery may result in myocardial infarction. Fluctuating and recurrent thrombus formation in the coronary arteries with intermittent thrombus dissemination is the underlying mechanism for unstable angina. Markers for platelet activation are increased among patients with unstable angina, confirming ongoing thrombosis<sup>58,59</sup>. Adherent platelets may release vasoconstrictors mitigating coronary spasm. Distal embolization of the platelet aggregates in the myocardium is a potential cause of ventricular fibrillation and sudden death<sup>60</sup>. Ischemic cerebrovascular accidents are caused by occlusion or thromboembolism of vulnerable plaques in the aorta, carotid arteries or cerebral arteries. Claudication and gangrene of the lower limbs are the clinical manifestations of peripheral artery disease or thromboembolic phenomena from the aorta.

## Mural thrombi

### Prevalence of mural thrombi

The role of plaque vulnerability and thrombus formation in acute clinical syndromes is well recognized. Plaque instability and mural thrombosis also play a crucial role in the progression of atherosclerotic lesions (Fig. 48.5)<sup>61</sup>. Platelet adhesion and thrombosis are most frequently initiated by plaque disruption, but platelet-rich thrombi may also be formed at sites of activated, apoptotic or eroded endothelium. Histopathological studies have demonstrated that plaque instability and mural thrombosis are very common in coronary atherosclerosis. Postmortem

studies revealed plaque disruption in 17% of patients who suddenly died because of a non-cardiac event<sup>62</sup>. Analysis of coronary arteries demonstrated healed disruption in 16% of atherosclerotic lesions with up to 20% stenosis, 19% of lesions with 24–50% stenosis, and 73% of lesions with more than 50%<sup>63</sup> stenosis. These studies stress the importance of plaque rupture and incorporation of mural thrombi with subsequent fibrotic organization in the progression of atherosclerotic plaques and help explain the episodes of rapid plaque growth and non-linear progression of atherosclerosis frequently observed among patients.

### Mural versus occluding thrombi

Mural thrombosis is far more frequent than occlusive thrombosis. The extent of thrombus formation may depend on local variations in thrombogenicity of the ruptured lesion, local production of platelet activators, degree of endothelial dysfunction with impaired fibrinolysis and reduced release of platelet antagonists (NO, PGI<sub>2</sub>) and local shear stress<sup>64</sup>. It may also depend on systemic variations in thrombotic tendency and fibrinolytic capacity that are of environmental origin or genetically determined. Many of the proteins involved in coagulation and fibrinolysis might contribute to a thrombotic tendency. High circulating PAI-1 is an independent risk factor for recurrent myocardial infarction<sup>65</sup>. High factor VII, fibrinogen and vWF increase the risk of myocardial infarction<sup>66–68</sup>. Platelet hyperactivity also contributes to a thrombotic tendency. Well-known risk factors for atherothrombosis are associated with platelet hyperresponsiveness and will be discussed later in this chapter.

Platelets of patients suffering from an acute coronary syndrome produce less NO<sup>69</sup>. Platelets contain both constitutive and inducible NO synthase and platelet-derived NO prevents further platelet recruitment<sup>70,71</sup>. Impaired platelet-derived NO production may contribute to the development of an occluding thrombus. Concurrent increase in platelet aggregability and risk of myocardial infarction early in the morning further suggests a role of platelet reactivity in the onset of myocardial infarction<sup>72</sup>.

### Incorporation of mural thrombi and plaque growth

Plaque disruption or superficial erosion initiates fibrin deposition and platelet adhesion to the sub-endothelial matrix with subsequent activation and aggregation (Fig. 48.3(b)). Platelet receptors involved in aggregate formation and matrix adhesion have been studied extensively<sup>73</sup>. vWF plays a critical role in mediating platelet adhesion to the arterial subendothelium. Initial platelet–vWF interactions are mediated primarily by GPIIb and are consolidated by GPIIb/IIIa. Activated platelets and fibrin deposits may allow circulating leukocytes to adhere even at high shear rates, propagating the influx of leukocytes into the evolving thrombus and the underlying lesion<sup>74</sup>. P-selectin expressed on surface-bound platelets mediates leukocyte rolling. Firm adhesion requires integrin–ligand interactions. Mac-1 is the main leukocyte ( $\beta_2$ -integrin that binds to the platelet surface. Intercellular adhesion molecule-2 on platelets and fibrin are the main ligands for Mac-1<sup>40,75</sup>. Platelet–leukocyte cross-talk is important in the propagation of the thrombus. Thrombus formation may not only be dependent on vascular TF but also on the deposition of blood-borne TF shed from monocytes in microvesicles after interaction with platelets<sup>35</sup>.

Platelet adhesion and mural thrombosis stimulate smooth muscle cell migration and proliferation. Platelets have growth regulatory properties for smooth muscle cells by the release from  $\alpha$ -granules of platelet derived growth factor (PDGF), epidermal growth factor (EGF), insulin-like growth factor (IGF) and transforming growth factor- $\beta$  (TGF- $\beta$ ). Serotonin released by activated platelets stimulates the expression of thrombin receptors on smooth muscle cells, thereby potentiating the mitogenic and vasoconstrictor actions of thrombin<sup>76</sup>. Thromboxane not only causes platelet aggregation and vasoconstriction but also induces chemotaxis of smooth muscle cells. Pigs with von Willebrand disease are resistant to atherosclerosis most likely due to reduced platelet–vessel wall interaction<sup>77</sup>.

After re-endothelialization and cap formation by smooth muscle cells, the clot gets incorporated into the

expanded lesion and undergoes further fibrotic organization (see also Fig. 48.4(c) and Table 48.1).

### Platelets and restenosis

Angioplasty of a narrowed or occluded coronary or peripheral artery is common strategy to treat patients with coronary and peripheral artery disease. Antiplatelet and antithrombotic drugs have decreased the rate of acute occlusion of the vessel after the intervention. However, restenosis after 3 to 6 months remains a clinical problem complicating about 30% of all coronary interventions.

Rupturing the atherosclerotic vessel wall by balloon dilation promotes the adhesion and aggregation of platelets and thrombus formation (Fig. 48.3(b)). Activated platelets release their granules with growth factors and cytokines that promote smooth muscle cell migration and proliferation. The thrombus provides a matrix for smooth muscle cell growth<sup>78,79</sup>. In animal models of balloon injury, neointima formation can be retarded by anti-platelet therapy. Thrombocytopenic rabbits have reduced neointima formation after balloon injury<sup>80</sup>. Cyclic RGD-containing peptides and GPIIb/IIIa antagonists reduce neointima formation in animal models<sup>81</sup>.

Antiplatelet strategies had however little effect on restenosis in humans. Constrictive remodelling of the injured vessel, rather than neointimal growth may be the major determinant of human restenosis. Stents inhibit constrictive remodelling of the vessel wall<sup>82</sup>. Antiplatelet therapy effectively prevents acute and subacute *in stent* thrombosis<sup>83</sup>.

### Platelet count, platelet activity and atherothrombosis

There are few arguments to consider absolute platelet count as a risk factor for atherothrombosis. In one prospective study of 487 apparently healthy men, subjects in the highest quartile of platelet count had a 2.5-fold increased risk of cardiovascular mortality within 10 years<sup>84</sup>. Platelet function may be more relevant than absolute number. In the *Caerphilly Collaborative Heart Disease* study, platelet aggregation was measured in 2000 men without recent history of aspirin intake. Coronary heart disease patients had significantly greater ADP-induced platelet aggregation compared with controls<sup>85,86</sup>. Increased platelet sensitivity to ADP in men without clinically overt ischemic heart disease was associated with increased risk of cardiovascular mortality within 13 years of follow-up<sup>84</sup>.

In the *Caerphilly Cohort* study, thrombin and ADP-induced platelet aggregation were not predictive of ischemic heart disease<sup>87</sup>. Among patients with myocardial infarction, spontaneous platelet aggregation was a useful predictor for survival and future coronary events within 5 years<sup>88</sup>. Platelet size is also shown to be a predictor of recurrent myocardial infarction and death. Men with a fatal or non-fatal myocardial infarction within two years after a first infarction had a greater mean platelet volume 6 months after the first event than men without recurrent coronary syndromes. An increased mean platelet volume is associated with increased platelet activity and may reflect an enhanced inflammatory state<sup>89</sup>.

The most convincing evidence that platelets are involved in atherothrombosis arises from trials with antiplatelet drugs<sup>90</sup>. Small amounts of aspirin, sufficient to irreversibly inhibit cyclooxygenase in platelets, reduce the risk of non-fatal MI, non-fatal stroke and vascular death among patients with previous cardiovascular disease. The Physicians Health Study demonstrated a conclusive and significant reduction of a first myocardial infarction in physicians without a history of cardiovascular disease randomized to aspirin after 5 years of follow-up<sup>91</sup>. The strong negative relation between alcohol intake and consumption of  $\omega$ -3 fatty acids and platelet aggregation may partially explain the lower incidence of ischemic heart disease in patients consuming a moderate amount of red wine or fish oil<sup>92-95</sup>.

### Platelet activity and risk factors of atherothrombosis

There is a need for a measure of platelet activity that is predictive of ischemic vascular disease and that more effectively guides antiplatelet therapy. Many assays have been proposed to determine the degree of platelet activation. Besides spontaneous platelet aggregation or aggregability using different agonists, platelet factor 4 (PF4), soluble P-selectin,  $\beta$ -thromboglobulin, platelet size and heterogeneity, and metabolites of thromboxane/prostacyclin synthesis are used to assess platelet activity<sup>96</sup>. Flow cytometry of platelets in whole blood enables investigation of functional aspects of circulating platelets by assessment of their membrane glycoproteins<sup>97</sup>. Other markers may indirectly reflect platelet activation. Isoprostanes are markers for lipid peroxidation *in vivo*. Oxidative stress results in the generation of F2-isoprostanes that are non-cyclooxygenase free radical catalysed oxidation products of arachidonic acid. They form *in situ* on esterified phospholipids of cell membranes and LDL particles and are

**Table 48.2.** Atherogenic and atheroprotective factors associated with platelet hyper-responsiveness and platelet hyporesponsiveness

Platelet hyperactivity	Platelet hypoactivity
LDL, ox-LDL <sup>127,133,170</sup>	HDL <sup>126</sup>
Smoking <sup>109</sup>	Alcohol intake <sup>172</sup>
Diabetes <sup>112,115</sup>	Intake of $\omega$ -3 fatty acids <sup>94</sup>
Hypertension <sup>104,105</sup>	Antioxidant therapy <sup>150</sup>
Hyperhomocysteinemia <sup>107</sup>	Antiplatelet agents (e.g. aspirin) <sup>90</sup>
Ageing <sup>171</sup>	

subsequently released through phospholipases<sup>98,99</sup>. F2-isoprostanes are strong aggregatory and vasoconstricting agents. Their levels increase with age, in smokers, diabetics and hypercholesterolemic patients, and may contribute to persistent platelet activation in those patients<sup>100-103</sup>.

Most of the conventional cardiovascular risk factors are associated with increased platelet responsiveness (Table 48.2). Systemic activation of circulating platelets and endothelial dysfunction may be closely interdependent and favourize thrombosis after plaque rupture.

Platelets are in an activated state in patients with hypertension, the degree of activation correlating with diastolic blood pressure<sup>104,105</sup>. Accelerated aggregation of platelets is demonstrated in patients with hyperhomocysteinemia<sup>106,107</sup>. Homocysteine enhances platelet adhesion to endothelial cells under flow conditions<sup>108</sup>. Smoking is associated with platelet hyperactivity<sup>109</sup>, which is corrected after smoking cessation, but also with impaired fibrinolysis<sup>110</sup> and increased TF expression<sup>111</sup>.

Coronary and peripheral artery disease is the major cause of morbidity and mortality in diabetics. Diabetes is associated with platelet hyperactivity and increased surface expression of P-selectin<sup>112-115</sup>. The occurrence of renal and vascular complications of diabetes mellitus correlates with the presence of advanced glycation end products (AGEs)<sup>116</sup>. AGEs not only enhance the aggregation of human platelets *ex vivo* and inhibit PGI<sub>2</sub> synthesis<sup>117,118</sup>, they also induce TF expression in monocytes<sup>119</sup> and cellular lipid peroxidation<sup>120</sup>. Increased plasma levels of isoprostanes<sup>121</sup>, thiobarbituric acid reactive substances (TBARS) and lipid hydroperoxides further suggest increased oxidative stress in diabetic patients. Increased isoprostanes correlate with increased platelet activation, while improved metabolic control in diabetic patients reduces both lipid peroxidation and platelet activation<sup>102</sup>. Long-term administration of antiplatelet drugs to patients with type 2 diabetes attenuates the progression of carotid atherosclerosis<sup>122</sup>.

LDL is a major risk factor for cardiovascular disease. The interaction between lipoproteins and platelets is complex and incompletely understood<sup>123</sup>. Hypercholesterolemic patients display persistent platelet activation *in vivo*, increased sensitivity of platelets to aggregating agents *in vitro*, and increased levels of soluble P-selectin<sup>124,125</sup>. The platelet aggregating properties of native LDL itself are controversial. Some studies found no aggregating effect of native LDL<sup>126,127</sup>, while others demonstrated platelet-stimulating effects of LDL<sup>128–130</sup>. *Ex vivo* LDL oxidation during LDL isolation may have troubled these findings since mild oxidation of LDL produces a wide range of biologically active lipids such as lysophosphatidylcholine, oxysterols, F<sub>2</sub>-isoprostanes, PAF-like lipids and lysophosphatidic acid (LPA). Whereas fully oxidized LDL is abundant in atherosclerotic plaques and has important cytotoxic effects, circulating levels of ox-LDL and oxidized lipids have been demonstrated in both patients with coronary artery disease and in animal models of atherosclerosis and may directly influence platelet behavior<sup>131,132</sup>. Mildly oxidized LDL is more capable of activating platelets than native LDL<sup>127,133–135</sup>. Mildly oxidized LDL induced platelet aggregation involves phospholipase A<sub>2</sub> (PLA<sub>2</sub>) and cyclooxygenase (COX) and is inhibited by aspirin<sup>133</sup>. Mildly oxidized LDL interacts with the lysophosphatidic acid (LPA) receptor and induces platelet shape change via the GTPase Rho and its effector molecule p160 Rho-kinase leading to phosphorylation of the myosin light chain<sup>136,137</sup>. Furthermore, ox-LDL decreases L-arginine uptake and NO synthase activity in platelets<sup>138</sup>. Endothelial dysfunction with reduced basal release of NO and PGI<sub>2</sub> may also contribute to increased platelet activation in hypercholesterolemic patients. Platelet activation can be reversed by diet, lipid lowering<sup>139</sup> and administration of NO donors or L-arginine<sup>140,141</sup>. L-Arginine supplementation decreases platelet reactivity by directly providing the substrate for NO-synthase in platelets and by restoring endothelium derived NO activity.

### Stabilization of atherosclerotic lesions

Since atherosclerosis is the result of various forms of chronic injury of the endothelium, lesions can at least partially be reversed or stabilized if the injurious agents are removed. Smoking cessation, improved metabolic control in diabetics and lipid lowering not only reduce arterial inflammation and improve endothelial function, but also influence platelet behaviour.

### Lipid lowering

Lipid lowering reduces cardiovascular mortality and morbidity<sup>142</sup>. Lipid efflux from plaques decreases the arterial inflammation and retards matrix breakdown, improving the mechanical strength of the plaque<sup>143</sup>. It reduces TF expression in rabbit atherosclerotic plaque<sup>144</sup>. It improves endothelial antiaggregatory and fibrinolytic properties and decreases platelet reactivity. The beneficial effect of statins may partially be due to reduction of thrombotic risk and inhibition of progression of plaques by platelet-dependent processes. Both *in vivo* and *in vitro* studies have reported that most of the statins are able to reduce platelet aggregation<sup>145–147</sup>. Atorvastatin significantly inhibits platelet deposition on a mildly damaged vessel wall at high shear rates in hypercholesterolemic pigs<sup>148</sup>.

### Antioxidant therapy

Antioxidant therapy aiming at reducing oxidative stress and LDL oxidation in the vessel wall seems promising. In numerous animal models of atherosclerosis, therapy with  $\alpha$ -tocopherol,  $\beta$ -carotene or other antioxidants retarded atherosclerosis. In humans, dietary intake of antioxidants is inversely correlated with cardiovascular risk<sup>149</sup>. Antioxidant supplementation reduces platelet activation<sup>150</sup>, increases the resistance of LDL towards oxidation and improves endothelial function. However, antioxidant supplementation failed to demonstrate clinical benefit in large randomized trials<sup>151–153</sup>. Antioxidant therapy is still controversial<sup>154</sup> and warrants further investigation<sup>153</sup>.

### HDL

Epidemiological evidence has established an inverse relation between plasma HDL cholesterol levels and risk of coronary heart disease. The reverse cholesterol transport is the major proposed mechanism for the protective effect of HDL in atherothrombosis. HDL may deplete atherosclerotic plaques of cholesterol by promoting efflux of lipids. Other HDL properties may also significantly contribute to the atheroprotective effects of HDL. HDL acts as an antioxidant and inhibits oxidative modification of LDL. Two HDL-associated enzymes, paraoxonase (PON) and platelet activating factor-acetyl hydrolase (PAF-AH) prevent the oxidation of LDL. PON-deficient mice are more susceptible to atherosclerosis<sup>155</sup>. Overexpression of PAF-AH reduces macrophage homing in apolipoprotein E deficient mice<sup>156</sup>. HDL has anti-inflammatory capacities and *in vitro* studies show that apolipoprotein A-I, the major HDL-associated apolipoprotein, inhibits the expression of adhesion mole-



cles on endothelial cells. Furthermore, HDL may play an active role in the modulation of platelet function<sup>157</sup>. HDL inhibits platelet aggregation in vitro<sup>158</sup> and apolipoprotein A-I is a prostacyclin stabilizing factor<sup>159</sup>. Apolipoprotein A-I Milano delayed platelet aggregation and thrombus formation after vascular injury in Sprague–Dawley rats<sup>160</sup>.

## Future developments

Genetic factors determine the susceptibility for atherothrombosis. The risk of myocardial infarction in subjects with a first-degree relative with CAD is seven times the risk of subjects without family history of cardiovascular disease<sup>161</sup>. Unravelling the common genetic polymorphisms involved in atherothrombosis will further improve the assessment of cardiovascular risk. Several platelet membrane proteins have two or more allelic forms. In a case-control study, the PLA2 allele of the PLA1/A2 polymorphism of the platelet glycoprotein IIIa (GPIIIa) gene was associated with the prevalence of acute vascular events before the age of 60<sup>162</sup>. However, these findings could not be confirmed in a larger cohort study<sup>163</sup>.

Targeting of genes via homologous recombination in embryonic stem cells or stem cell injection has allowed the generation of knockout and transgenic mice (for review see ref.<sup>164</sup>). Although these genetically modified mice have already revealed important pathophysiological mechanisms of atherothrombosis, conditional or tissue-specific gene expression will further improve insight in mechanisms of atherothrombosis.

As yet patients with vulnerable plaques cannot be identified with great sensitivity. Culprit lesions often cause only mild to moderate stenosis and are not yet detectable with any current diagnostic tool. Core size and composition and cap thickness rather than the absolute plaque size determines vulnerability<sup>165</sup>. Better identification of patients with lesions prone to rupture will allow better prevention. Nuclear magnetic resonance seems promising for assessment of lesion distribution and composition<sup>166</sup>.

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# Platelet involvement in venous thrombosis and pulmonary embolism

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## Introduction

Since their discovery in 1842<sup>1</sup>, platelets have commanded an increasingly prominent position in the pathophysiology of thrombosis, although this has been almost exclusively in arterial disease. However, Virchow was most likely the first to link changes in the blood (that we would today interpret as rheology, vascular function, and the soluble and cellular constituents of the blood) with venous thrombosis<sup>2</sup>.

There are three major lines of approach that we can take in order to test the hypothesis that platelets are intimately involved in the development of DVT and PE. The pharmacological approach considers clinical trials of antiplatelet drugs (such as aspirin) in well-defined groups of high-risk patients that demonstrate a reduction in the rate and/or risk of thrombosis. The laboratory approach can be used to demonstrate changes in platelet function *ex vivo* or in levels of platelet-specific markers (such as beta thromboglobulin) in the plasma of those at risk of, with, or having suffered, a thrombotic event. More recently, radionuclide and other imaging with labelled platelets, antibodies, probes or other agents provide additional evidence of the role of platelets in DVT and PE. Whilst there are considerable animal data on the subject of venous thromboembolism, this overview will be confined to human studies.

## Clinical trials

The most commonly used and most successful antiplatelet drug is aspirin, which is well established as secondary prevention in vascular (arterial) disease. A recent report that aspirin inhibits smooth muscle cell proliferation may also be relevant in arterial diseases, but seems to be less important<sup>3</sup>.

The rationale for testing the efficacy of aspirin in venous thrombosis followed directly from reports of its ability, for example, to inhibit platelet aggregatory function *in vitro*<sup>4</sup>. Perhaps the earliest clinical trial of aspirin in the prevention of postoperative DVT<sup>5</sup>, where the frequency of the single end point of DVT, as defined by uptake of <sup>125</sup>I-fibrinogen, did not differ between those 150 subjects on placebo compared to 153 subjects who took 600 mg aspirin once before their operation and daily for the next 4 days. Lack of efficacy may have been due to the confounding inclusion of 44 subjects with a malignancy and 15 with a past history of thromboembolism, and to the short duration of treatment. Morris and Mitchell<sup>6</sup> were also unable to find a protective effect of aspirin, whilst Harris et al.<sup>7</sup> found a positive effect in men alone.

Another small study, recruiting 120 patients due for hip replacement, found that aspirin (1000 or 250 mg daily) reduced the frequency of postsurgical DVT from 30% to 3.3%<sup>8</sup>. Similarly, Salzman et al.<sup>9</sup> who defined DVT and/or PE on clinical grounds alone, found that aspirin reduced the frequency of venous thrombosis. These early studies therefore, provided conflicting results, and most were underpowered to show a clear benefit or otherwise from aspirin.

## The antiplatelet Trialists Collaboration

A major landmark was the meta-analyses of the Antiplatelet Trialists Collaboration<sup>10</sup>, which was an overview of some 9000 randomized subjects undergoing general and orthopaedic surgery, and some high-risk medical patients. This showed a clear reduction in DVT (by 39%) and PE (by 64%) that was due to an effect of aspirin (Fig. 49.1). Among the subanalyses was the finding that a combination of aspirin and dipyridamole was more

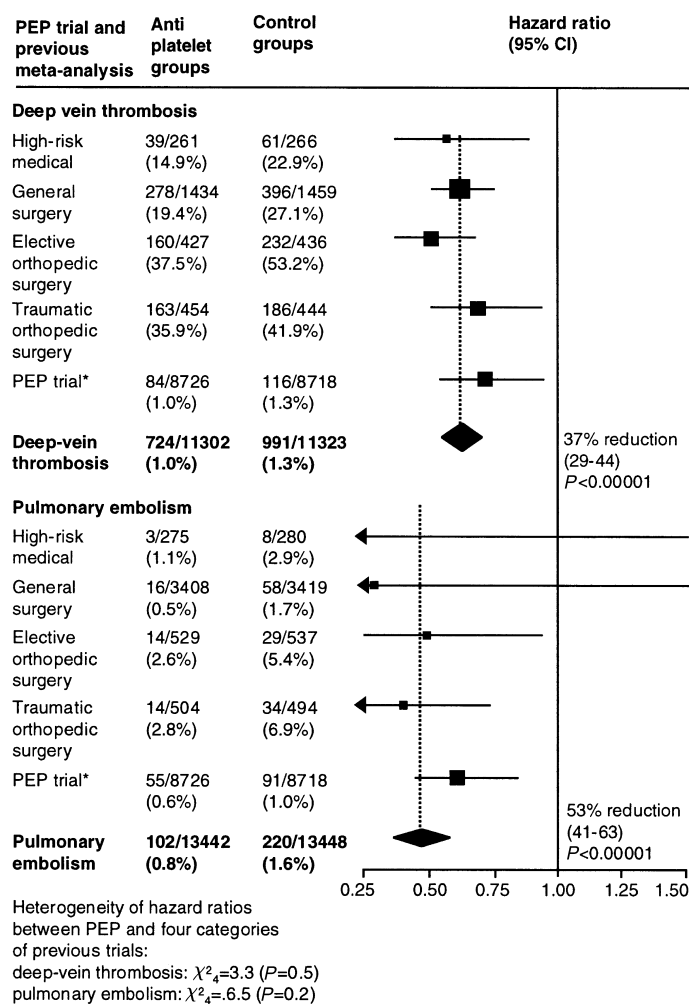


Fig. 49.1. Proportional effects of antiplatelet therapy on venous thromboembolism in previous meta-analysis and the PEP trial. (This is Fig. 5<sup>10</sup>, with permission from Dr A. Rodgers.)

effective in reducing the risk of a DVT than the use of aspirin alone (15 events from 109 subjects vs. 33 events from 109 subjects, respectively,  $2P=0.001$ ). Other subanalyses, in both DVT and PE, also demonstrated that the use of hydroxychloroquine was superior to placebo in reducing thrombosis. However, Ticlopidine failed to reduce the risk of either type of thrombosis.

Despite the apparent power of this analysis, some concerns about the conclusions and recommendations of this meta-analysis were raised, for example, that the absolute benefits of aspirin seem to be greater for those at higher risk – for example, those undergoing orthopedic surgery<sup>11</sup>. These issues were subsequently responded to<sup>12</sup>, where the increased risk of non-fatal ‘major’ bleeds ( $P=0.04$ ) and the combination of reoperation, hematoma, or infection due

to bleed ( $P=0.003$ ), apparently due to aspirin, were emphasized. However, several of these concerns have been recently answered in a further trial, the Pulmonary Embolism Prevention (PEP) Trial<sup>13</sup>.

### The Pulmonary Embolism Prevention (PEP) Trial

The Pulmonary Embolism Prevention (PEP) Trial<sup>13</sup> was a randomized, double blind international multicentre trial of the effects of aspirin, in 13356 patients at risk of thrombosis by virtue of, for example, hip fracture or elective arthroplasty, who were randomized to aspirin 160 mg daily, or placebo, for 35 days: endpoints were mortality and in-hospital morbidity up to Day 35. Principal results (Fig. 49.1) demonstrated a 37% overall reduction in DVT, and a 53% reduction in PE (both  $P < 0.00001$ ), with significant reductions in both end points in various subgroups, such as ‘high-risk’ medical, or following elective, general or traumatic orthopedic surgery. There were no significant differences in bleeding episodes between the groups. The impressive statistical power and thorough methodology of this study provides unequivocal proof of the benefit of aspirin under these conditions.

### Non-aspirin interventions

There are isolated reports of the effectiveness (or not) of other antiplatelet agents in the prevention of venous thrombosis. For example, aurin tricarboxylic acid inhibits ristocetin-induced human platelet aggregation in vitro and reduces venous thrombus formation and disseminated intravascular coagulation in in vivo animal models<sup>14</sup>. However, despite this promising data, this agent is rarely used in human disease.

Kakkar et al.<sup>15</sup> reported a synergistic effect of heparin and dihydroergotamine in reducing platelet activity, as judged by aggregation, morphology and changes in plasma levels of the platelet marker, beta thromboglobulin. These observations suggest that heparin may have some effects beyond the enhancement of the anticoagulant activity of antithrombin III. Although Alfaro et al. showed that a combination of heparin and dihydroergotamine reduced the frequency of DVT from 30% to 16.6%, this difference was not statistically significant, possibly due to the small patient numbers studied<sup>8</sup>. Salzman et al.<sup>9</sup> found that warfarin and dextran, like aspirin, also reduced the risk of venous thrombosis, but the reduced frequency of thrombosis in patients taking dipyridamole by one-third (i.e. 26% compared to a control rate of 39%) did not reach statistical significance.



## Laboratory markers

Although platelet hyperaggregability following DVT has been reported<sup>16</sup>, research in this area has focussed on three platelet-specific markers found in the plasma. Platelet factor 4 (PF4) was first described in the late 1960s, beta thromboglobulin a few years later<sup>17–19</sup>, whilst soluble P selectin became widely reported in the 1990s<sup>20,21</sup>.

### Platelet factor 4

PF4, a heparin binding protein first described by Niewiarowski and Thomas<sup>17</sup>, exists at a concentration of 11–12  $\mu\text{g}$  per  $10^9$  platelets. It is a heat stable, low molecular weight (7.8 kDa) protein of 70 amino acids. However, it circulates as a tetramer, which increases the molecular weight of the molecule to about 30 kDa. These complexed tetramers are also associated with two high molecular weight proteoglycan carriers.

The presence of PF4 binding sites on the platelet surface implies that PF4 also has the ability to regulate cell–cell interactions between platelets. With heparin binding sites within a lysine-rich region, it can interact with heparin-like glycosaminoglycans on endothelial cells, thus promoting a procoagulant effect<sup>22,23</sup>. Consequently, the presence of this anticoagulant in the blood stream up-regulates the effective presence of PF4 by up to a factor of 20-fold<sup>24</sup>. This could be a major cause of measurement error as many diseases that have acute thrombotic symptoms are frequently treated in this way. Crucially, aspirin causes a reduction in the PF4 concentration<sup>8,25,26</sup>, implying a relationship with the cyclooxygenase/prostacyclin pathways.

### Beta thromboglobulin

This molecule consists of four identical subunits, each of 81 amino acid residues having a molecular weight of 8.8 kDa, and as such contributes up to 10% of the  $\alpha$ -granules' contents, making it the most abundant platelet specific protein. It is present exclusively in megakaryocytes and in the  $\alpha$ -granules of platelets and is present in a range of 8–24  $\mu\text{g}$  per  $10^9$  platelets. The platelet concentration can reach 260 000 times that of the plasma concentration, making it easy to distinguish when activation has occurred. With a primary structure similar to PF4,  $\beta$ -thromboglobulin is released under the influence of known platelet activators such as ADP, collagen, immune complexes and thrombin, and has a plasma half-life of 100 minutes<sup>15–17,23,26</sup>. It is also excreted in the urine<sup>27</sup>, and some researchers<sup>28</sup> advocate measurement in the latter, as it is less prone to artefactual errors, due to collection and pro-

cessing of venous blood. Others advocate the use of simultaneous plasma PF4 and  $\beta$ -thromboglobulin measurements for a more balanced picture<sup>29</sup>. However, as  $\beta$ -thromboglobulin is cleared by the kidney, the patients' renal function needs to be taken into consideration. It has been suggested that high  $\beta$ -thromboglobulin levels could simply reflect renal impairment rather than the activation of platelets *per se*<sup>30,31</sup>. As is the case for PF4, aspirin reduces levels of this marker<sup>8,25,26</sup>.

### Soluble P selectin (CD62P)

The adhesion molecule P-selectin was first identified on the surface of activated platelets<sup>32</sup>, and subsequently, as part of the membrane of the Weibel–Palade bodies in endothelial cells<sup>33</sup>. P-selectin is now known to be a component of the alpha granule membrane and, when expressed externally due to activation, extends 40 nm out from the membrane surface. Consequently, P-selectin has the capacity to mediate interactions between the endothelium, platelets and leukocytes, possibly by phosphorylation of histidine residues on the cytoplasmic tail of the molecule. The finding of a soluble form (i.e. soluble P-selectin) in the plasma implies a physiological shedding and/or a pathological cleavage of the membrane form<sup>19,20</sup>.

Increased levels of this adhesion molecule in the plasma of patients with thrombotic disorders and atherosclerosis (i.e. soluble P-selectin) provides support for the hypothesis that such levels reflect platelet activation<sup>19–21</sup>, a concept gaining acceptance<sup>34–36</sup>. In contrast to its effects on PF4 and  $\beta$ -thromboglobulin, aspirin appears to have no effect on levels of soluble P-selectin<sup>35–37</sup>. However, this has recently been challenged in a small, preliminary, cross-sectional report that also found lower membrane P-selectin (defined by flow cytometry) in subjects with an acute myocardial infarction who were already taking aspirin<sup>38</sup>. Unlike  $\beta$ -thromboglobulin, soluble P-selectin is not found in normal urine<sup>28,37</sup>. Although, as with PF4 and  $\beta$ -thromboglobulin, the literature on soluble P-selectin is dominated by data on arterial thrombosis, isolated reports in venous thrombosis exist.

### Cross-sectional studies

Several simple case/control studies, often despite small numbers of patients, have reported raised levels of these markers in patients with concurrent venous disease. One of the first found raised levels of  $\beta$ -thromboglobulin in 24 patients presenting with a DVT compared to 16 healthy controls<sup>39</sup>. Cella et al.<sup>40</sup> and Hirose and Inada<sup>41</sup> confirmed this result in larger numbers, whilst Farrell et al.<sup>42</sup> and van

Hulsteijn et al.<sup>43</sup> found raised levels in pulmonary embolus. The latter also reported that heparin and warfarin failed to reduce  $\beta$ -thromboglobulin. Sakaguchi et al. reported higher  $\beta$ -thromboglobulin in patients with multiple or recurrent DVT compared to those with a single episode of DVT<sup>44</sup>. However, others have not found significant differences in levels of  $\beta$ -thromboglobulin<sup>45</sup>.

Therefore, with a single caveat<sup>45</sup> raised levels of  $\beta$ -thromboglobulin seem to be present in those with a current, acute thrombosis<sup>39–44</sup>. However, levels are not raised in those with a past DVT (>3 weeks)<sup>40</sup>. Van Hulsteijn found that increased  $\beta$ -thromboglobulin was still present in about 50% of patients 3 months after suffering a venous thrombosis: levels returned to normal in all patients after 1 year<sup>46</sup>.

Smith and colleagues reported moderately increased ( $P=0.018$ ) levels of soluble P-selectin in patients with a current, established DVT (either spontaneous or after total hip replacement), compared to controls<sup>47</sup>. Our own study<sup>48</sup> also found moderately ( $P=0.011$ ) increased levels of soluble P-selectin in the plasma of 89 patients, but a median of 18 months after they had suffered a DVT, and so were considered free of active disease, compared to 126 controls. This effect was independent of smoking (Fig. 49.2). However, the wide spread of this data underlines the lack of specificity of many plasma markers, and thus, some difficulty in interpretation.

### Do platelet markers predict DVT?

The most valuable data suggesting a causal association between platelet activation and venous thromboembolism would be that which predicts the development of a DVT or a PE. As surgery is an established risk factor for thrombosis, several groups have used serial platelet markers in an attempt to do just this. Although Lane et al.<sup>49</sup> and Paramo and Rocha<sup>50</sup> both noted rising levels of  $\beta$ -thromboglobulin following surgery, levels failed to predict those who suffered a DVT. However, Owen et al.<sup>51</sup> failed to find any change in  $\beta$ -thromboglobulin or PF4 after craniotomy, and could also not predict those 18 from 32 developing a DVT. Despite this, it seems that urinary  $\beta$ -thromboglobulin may be superior, as rising levels in the postoperative period did predict those developing a DVT<sup>52</sup>. Similarly, Douglas et al.<sup>53</sup> found that plasma levels were significantly increased on the first postoperative day in 14 patients who developed a DVT after gastrointestinal surgery compared to those 18 patients who did not.

One possible reason for the failure of these studies to predict the thrombosis development is that venepuncture and/or DVT screening may have been at an inopportune

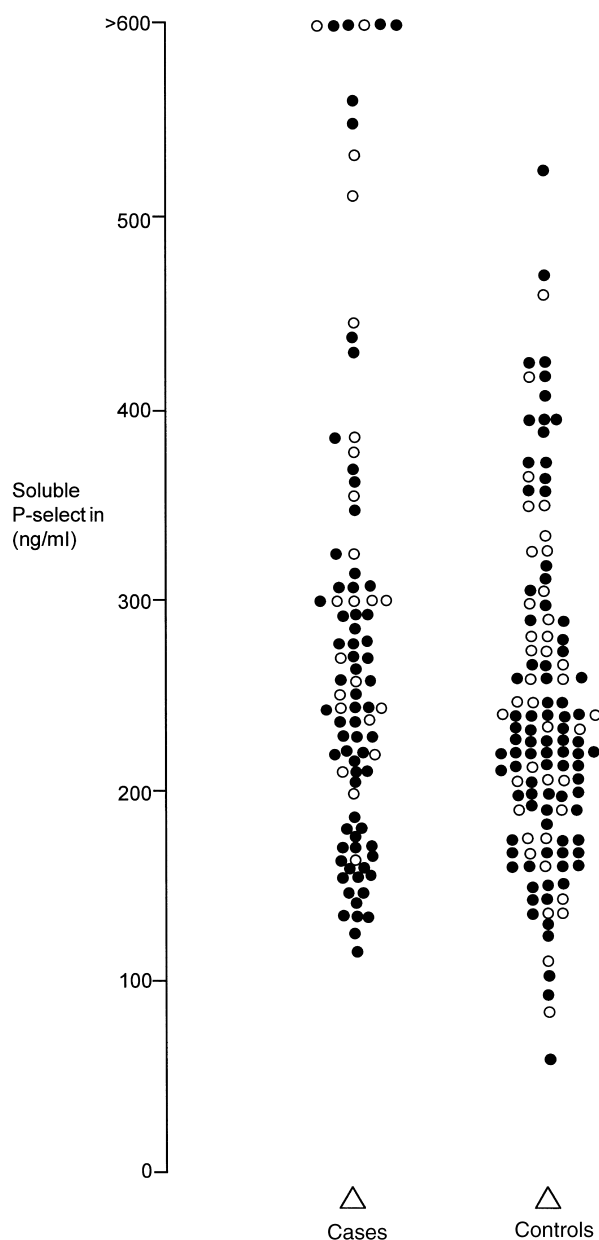


Fig. 49.2. Levels of soluble P selectin in subjects who have had a DVT compared with subjects who have not had a DVT. Open circles: smokers, closed circles: non-smokers<sup>52</sup>.

window. Another may be inadequate numbers, bringing low statistical power when compared with the huge number of patients involved in the larger clinical trials<sup>8,12</sup>. Both platelet factor 4 and  $\beta$ -thromboglobulin are also prone to the artefact of ex-vivo release from the platelet  $\alpha$ -granules once the blood has been drawn, possibly giving rise to spurious data, although this effect can be reduced

with a special platelet-friendly cocktail<sup>17,25–27,29</sup>. This latter problem may not be relevant for non- $\alpha$ -granule markers such as those derived from the membrane system of the platelet. However, there are, as yet, no studies reporting the value of markers such as soluble P-selectin or soluble gpV in predicting venous thrombosis. The two studies described<sup>47,48</sup> cannot answer the important question of whether or not raised levels predict venous thrombosis. However, the fact that soluble P-selectin was still raised 18 months after a DVT<sup>48</sup>, contrasts with the report of normal values of  $\beta$ -thromboglobulin 1 year after the DVT<sup>46</sup>. The clinical corollary of these differences is uncertain, and the changes may simply reflect measurement of different aspects of platelet physiology.

### Platelet imaging

Among the earliest detection methods for DVT are radio-labelled fibrinogen and systematic venography<sup>6–8,10,16,54</sup>. However, due to advances in nuclear medicine, other techniques have become available. For example, Sundrehagen et al.<sup>55</sup> radiolabelled platelets with technetium-99m and used it to detect lung embolism and DVT. Morimoto et al.<sup>56</sup> recently used <sup>111</sup>indium to label autologous platelets, and then detected arterial and venous thrombosis. However, long before these studies, Wu et al.<sup>16</sup> used <sup>51</sup>chromium labelling to show a correlation between platelet hyperactivity and shortened survival in DVT patients.

Other techniques, possibly more sensitive, have been used that take advantage of various specific platelet surface molecules, as opposed to the entire cell. For example, Muto et al.<sup>57</sup> used a technetium-labelled synthetic peptide of 26 amino acids (P280) with affinity to gpIIb/IIIa (the platelet fibrinogen receptor) in scintigraphy to localize DVTs, and compared it with contrast venography, finding excellent sensitivity, specificity and agreement<sup>58</sup>. The gpIIb/IIIa complex has been the target of several other approaches using different peptides<sup>59,60</sup>. These studies provide further evidence (should it be needed) of the presence and importance of the platelet in thrombosis.

### Conclusions and further perspectives

Without prophylaxis against venous thromboembolism, the risk of DVT after surgery to the hip usually exceeds 50%, and that of PE ranges from 4 to 25%. Moreover, the frequency of postoperative PE of perhaps 4% remains a common cause of death<sup>61</sup>. Furthermore, increasing evi-

dence that thrombotic episodes may also precede the diagnosis of cancer, thus representing a potential marker for occult malignancy<sup>62</sup>. These and other findings add weight to the hypothesis that 'high risk' patients should be placed on antiplatelet prophylaxis. In the absence of a reliable marker of platelet activation that is of use to epidemiologists, studies of the platelet in DVT and PE fall back on the pharmacological and laboratory approaches.

Clinical trials have recently demonstrated the effectiveness of aspirin in reducing the risk of DVT and PE. Increased levels of soluble P-selectin at the time of, and post-DVT, are one of the very few instances where the laboratory may have something concrete to offer. Interestingly, increased levels of soluble P-selectin predict the development of arterial thrombosis<sup>63,64</sup>. Whether or not levels of this new platelet marker predict the development of venous thrombosis (whether spontaneous, postpartum or postoperative) remains to be seen. Likewise, the efficacy of GpIIb/IIIa antagonists are now established therapeutics in acute coronary syndromes: time may tell if their effectiveness extends to venous thromboembolic disease.

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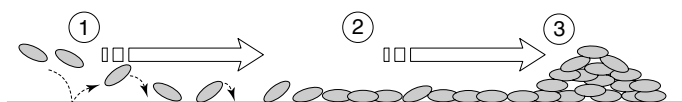
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## Gene regulation of platelet function

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### Stage 1. Tethering

GP Ib complex and von Willebrand factor

### Stage 2. Adhesion

Integrin  $\alpha_2\beta_1$  and GP VI

### Stage 3. Cohesion

Integrin  $\alpha_{IIb}\beta_3$  and fibrinogen or von Willebrand factor

Fig. 50.1. Platelet thrombus formation. The process of platelet thrombus formation can arbitrarily be divided into three stages: (i) Transient adhesion (or tethering) mediated by binding of the platelet glycoprotein (GP) Ib complex to Von Willebrand Factor (VWF) adsorbed to the matrix; (ii) adhesion with synchronous platelet activation, mediated in the case of collagens by the integrin  $\alpha_2\beta_1$  and platelet GPVI; and (iii) the formation of a platelet aggregate (or cohesion) mediated by the binding of either or both fibrinogen and VWF to the activated integrin  $\alpha_{IIb}\beta_3$ .

The process of hemostasis or thrombus formation mediated by blood platelets is complex and can involve several alternative receptor–ligand interactions. To facilitate an analysis of the mechanisms that control platelet function, we can arbitrarily divide the process of platelet hemostasis into three stages (Fig. 50.1).

The first stage is a transient tethering of platelets to the damaged subendothelium. This initial transient platelet adhesion requires the specific binding of the plasma protein Von Willebrand factor (VWF) to the membrane receptor glycoprotein Ib–IX–V complex (GP Ib complex). This association is critical to normal platelet function in preventing blood loss and is a requisite step in the adhesion of platelets to exposed thrombogenic materials in regions of atherosclerotic plaque rupture, which sets the stage for thrombus formation.

In the second stage, platelets form a more stable mono-

layer over the thrombogenic surface that can be mediated by a variety of receptors, depending on the nature of the extracellular matrix and the adhesive proteins that are present. In damaged areas of the blood vessel wall, where there is an abundance of collagens, this stage is frequently mediated by the interaction of collagen receptors, such as the integrin  $\alpha_2\beta_1$  and the platelet-specific receptor GPVI. Integrin  $\alpha_2\beta_1$  primarily supports platelet attachment to collagen, while GPVI and to a lesser extent  $\alpha_2\beta_1$  work in concert to transduce signals through the membrane. The engagement of these receptors culminates in the activation of platelets and signals the onset of the next stage. This is also the stage at which the activated platelet surface catalyses the formation of thrombin serving as a nidus for the formation of a fibrin clot.

Platelet cohesion follows in a third stage resulting in the generation of platelet-rich thrombi mediated by the binding of fibrinogen or vWF to the activated platelet integrin  $\alpha_{IIb}\beta_3$ .

To fully appreciate the impact of genetic dimorphisms on platelet function *vis-à-vis* each of these stages, it is important to compare and contrast the regulatory properties of the several receptor genes that are restricted to, or differentially regulated within, the megakaryocyte lineage.

### Gene regulation in the megakaryocyte lineage

Compared to the other hematopoietic lineages, gene expression in megakaryocytes is difficult to study, because these cells are less common, more fragile and quickly differentiate to a committed apoptotic fate. Consequently, the regulation of megakaryocyte-specific genes has been investigated predominantly in pluripotent human hematopoietic cell lines that have megakaryocyte features and express several platelet-specific genes, e.g. GPIb $\alpha$ , GPIb $\beta$ ,

GPIX,  $\alpha_{\text{IIb}}$ , PF-4, GPVI or GPV. The cell lines most commonly used for this purpose are erythro-megakaryocytic and include K562, HEL, Dami and CHRF-288-11.

The recent cloning of the megakaryocyte inducer thrombopoietin may now enable the development and expansion *ex vivo* of primary megakaryocytes in quantities sufficient to perform biochemical analyses and to characterize megakaryocyte developmental regulators. This will facilitate the characterization of megakaryocyte-specific transcriptional regulators, where they exist. In the interim, our current understanding is derived from the study of the aforementioned pluripotent, hematopoietic cell lines.

Megakaryocyte-specific gene promoters, including  $\alpha_{\text{IIb}}$ , PF-4, GPVI, GPV and GPIb $\alpha$ , have a number of common characteristics. The core promoters are generally short (500 base pairs), lack CCAAT or TATA consensus sequences, and have functional GATA, Sp-1 and Ets *cis*-acting sites. GATA and Ets factors are not exclusive to the megakaryocyte lineage but are also critical for gene expression in erythroid cells and are important for the regulation of genes like PECAM-1 and P-selectin, which are expressed in both megakaryocytes and endothelial cells.

## GATA

The GATA family of transcription factors has in common a high degree of sequence homology within zinc finger DNA binding domains and specific binding to the motif (5'-GATAA/G-3'). GATA-1 is primarily expressed in erythroid, megakaryocytic, mast, and eosinophilic cells<sup>1-4</sup>; GATA-2 is expressed in megakaryocytes, endothelial cells and other cell types<sup>3,5,6</sup>; and GATA-3 is expressed in T lymphocytes, erythrocytes, and brain (during development)<sup>7-8</sup>. GATA-1 is only expressed early in megakaryocyte maturation while GATA-2 expression persists, and maturation-dependent differences in the utilization of GATA-1 and GATA-2 could play an important role in protein expression<sup>9</sup>.

GATA-1 directs the differentiation of hematopoietic progenitors along either the erythroid or the megakaryocytic pathway. For example, in the primitive myeloid cell line 416B, overexpression of GATA-1 leads to megakaryocytic differentiation<sup>10</sup>. GATA-2 and GATA-3 transcripts in these cells remain undetectable, suggesting that GATA-2 and GATA-3 lie upstream of GATA-1. Enforced expression of GATA-2 or GATA-3 in the same cells will then also induce megakaryocytic differentiation, presumably via induction of GATA-1, a finding consistent with the conclusion that GATA-1 is a dominant regulator of megakaryocyte maturation.

Initial murine knock-out experiments did not show a

direct link between GATA-1 and megakaryocytic differentiation<sup>11,12</sup>. GATA-1 (-/-) mice exhibited severe anemia and total arrest of erythroid differentiation at the proerythroblastic stage<sup>13,14</sup>. These erythroid precursors later died by apoptosis. More recently, megakaryocyte-specific GATA-1 knock-out mice have provided a more informative phenotype. These mice have decreased peripheral blood platelet counts, deregulated proliferation of megakaryocytes and a block in their terminal cytoplasmic maturation<sup>15</sup>. These mice, however, express normal amounts of  $\alpha_{\text{IIb}}$  and MPL (the thrombopoietin receptor) and 50-fold higher levels of GATA-2, suggesting that GATA-2 compensates for the loss of GATA-1 and that GATA-1 negatively regulates GATA-2 during normal erythroid maturation<sup>16</sup>.

## Ets

The Ets factors are a family of transcription factors that regulate expression of many hematopoietic genes. The prototype, Ets-1, was given its name because it is expressed as part of an oncogenic protein by the E-26 virus<sup>17</sup>. Ets factors have in common an 82 amino acid motif (the Ets domain) that binds to DNA sequences containing a consensus 5'-GGAA/T-3'<sup>18</sup>.

One member of the Ets family, Friend's leukemia integration-1 (Fli-1; ErgB) is over expressed in erythroleukemia cells of mice infected with Friend's leukemia virus. Recent experiments suggest that Fli-1 might play a role in the regulation of megakaryocytic genes. The human erythro-megakaryocytic cell line K562, which normally lacks Fli-1, shows an increased expression of megakaryocytic features when transfected with a vector expressing Fli-1<sup>19</sup>. Moreover, the  $\alpha_{\text{IIb}}$  promoter can be transactivated by Fli-1 in HeLa cells transiently cotransfected with  $\alpha_{\text{IIb}}$  promoter constructs<sup>19</sup>.

The Ets factor PU.1 has been identified in mature megakaryocytes, and binding sites for this factor are present in megakaryocytic genes, such as  $\alpha_{\text{IIb}}$  and  $\beta$ -thromboglobulin. Overexpression of PU.1 in mice induces erythroleukemia through blockage of erythroid differentiation<sup>20</sup>, and the overexpression of PU.1 on these murine erythroleukemia cells reduces their NF-E2 expression and the DNA-binding activity of GATA-1<sup>21</sup>. PU.1 can also transactivate the promoter for the megakaryocyte-specific platelet basic protein.

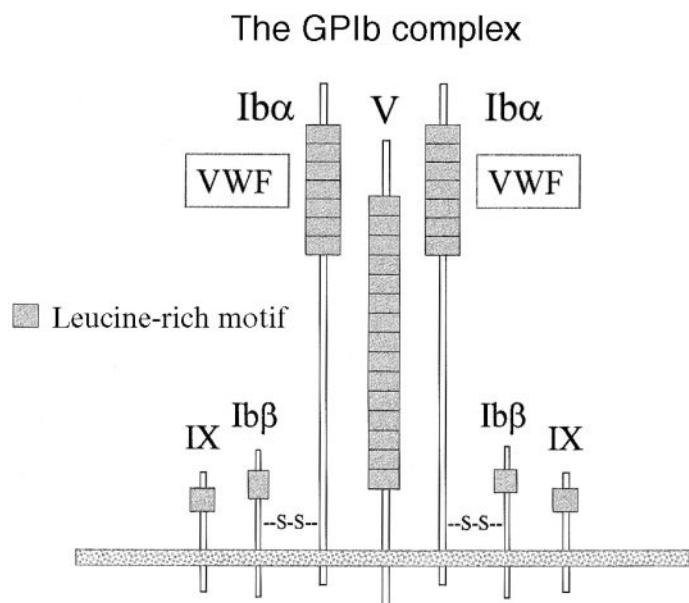


Fig. 50.2. The platelet GPIIb complex. Four distinct gene products (GPIIb $\alpha$ , GPIIb $\beta$ , GPIIX and GPV) assemble to form a functional heptamer known as the GPIIb complex. Each of these polypeptides contains a transmembrane region and cytoplasmic domain. The functional keystone of the complex is GPIIb, composed of the larger GPIIb $\alpha$  (containing the VWF binding site) that is disulfide bonded to the smaller GPIIb $\beta$ . Each complex is composed of: two GPIIb, two GPIIX and one GPV subunit. Each of the subunit genes has in common the presence of a variable number of leucine-rich motifs.

### Stage 1. Transient platelet tethering mediated by the GPIIb complex

The GPIIb complex (Fig. 50.2) is a heptamer composed of four distinct gene products: two molecules of GPIIb $\alpha$ , two of GPIIb $\beta$ , two of GPIIX and one of GPV. VWF is directly bound by the GPIIb $\alpha$  subunits, each of which is disulfide-linked to a GPIIb $\beta$  subunit. GPIIX is noncovalently associated, and GPV is loosely associated with the complex. The GPIIb $\alpha$ , GPIIX, and GPV genes are restricted in their expression to the platelet lineage and appear late in megakaryocytopoiesis.

The Bernard–Soulier syndrome is a human bleeding disorder associated with absent or significantly reduced GPIIb complex on the platelet surface. Numerous genetic causes of BSS have been characterized at a molecular level, including fourteen due to mutations in GPIIb $\alpha$ , five resulting from defects in GPIIX, and four ascribed to abnormalities in GPIIb $\beta$ <sup>22–27</sup>. There are no reports of BSS resulting from genetic differences in the GPV gene. From reconstitu-

tion studies, we now know that GPIIb $\alpha$ , GPIIb $\beta$  and GPIIX are all necessary for the expression of the complex on the cell surface. GPV is not absolutely required but does promote complex stability, and the absence of GPV in transgenic mice results in an increase in GPIIb complex activity. New insight in the function of GPV have been derived from murine knockout experiments, whereby it has been shown that GPV actually participates in the control of the binding activity of the GPIIb complex (see below).

A common feature of the GPIIb complex genes is a variable number of leucine-rich repeat motifs (LRM) in all four subunits. GPIIb $\alpha$  (135 kd; 610 amino acids) has seven, GPIIb $\beta$  (25 kd; 181 amino acids) and GPIIX (22 kd; 160 amino acids) each have a single LRM, and GPV (82 kd; 544 amino acids) has 15. It is believed that the LRM play an important role in protein–protein interactions. Based on sequence comparisons, it appears that GPIIX is the ancestral progenitor of the other members of this LRM family.

Comparative studies of transcriptional regulation of the four subunits has contributed to our understanding of subunit inter-relationships and the identification of those subunits which are rate limiting during complex assembly. Like the polypeptides, the genes encoding each subunit have several characteristics in common. The coding region of each gene, except GPIIb $\beta$ , is contained within a single exon. In the case of GPIIb $\beta$ , a small intron interrupts the signal sequence coding region<sup>28</sup>. In each gene, as small intron is located 5' to the translation start site. Because of the presence of only one or two introns, the genes are relatively small. For example, the largest, GPIIb $\alpha$ , spans only 4 kb, including the 5' and 3' regulatory regions<sup>29,30</sup>. Though they are structurally similar, however, the genes are dispersed throughout the human genome: GPIIb $\alpha$  is located on chromosome 17 (17p12)<sup>28</sup>; GPIIb $\beta$  is on chromosome 22 (22q11.2)<sup>28,31</sup>; and the genes for GPIIX and GPV are located on different regions of chromosome 3 (3q29 and 3q24, respectively<sup>32</sup>).

### GPIIb $\alpha$

GPIIb $\alpha$ , the largest protein of the complex, contains, at the amino-terminus of its extracellular domain, the binding site for VWF<sup>33</sup> and  $\alpha$ -thrombin<sup>34</sup> and has binding sites for 14.3.3<sup>35</sup> and the actin cytoskeleton<sup>36</sup> in its short cytoplasmic region.

Transcription of the GPIIb $\alpha$  gene, as well as the other members of the complex in megakaryocytes is controlled by factors of the GATA and Ets families<sup>15</sup>, and FOG-1 (Friend of GATA-1) is a necessary cofactor controlling the lineage specificity of GPIIb $\beta$  gene expression<sup>37</sup>. The importance of the GATA-1 site(s) is reflected by the fact that



mutations of the GATA-1 site in both the GPIb $\alpha$  and GPIX genes *in vitro* essentially eliminates transcription controlled by these promoters<sup>9,38</sup>, and a point mutation of the GPIb $\beta$  GATA-1 site has been shown to be a cause of one case of BSS<sup>39</sup>. Moreover, recent murine knockout experiments have shown that mice lacking GATA-1 express platelets with a BSS phenotype<sup>15</sup>.

At least three polymorphisms influence the function, expression and immunogenicity of the GPIb complex genes, particularly the GPIb $\alpha$  subunit. A variable number of tandem repeats (VNTR) polymorphism within the mucin-like macroglycopeptide region of GPIb $\alpha$  (Fig. 50.3, top panel) results in the duplication of a 13-amino acid sequence either once (VNTR D), twice (VNTR C), thrice (VNTR B) or four times (VNTR A), and results in a polypeptide length of 610, 623, 636 or 649 amino acids, respectively<sup>40,41</sup>. Because these repeats are rich in proline, serine and threonine, they can be potentially glycosylated, and each repeat could add up to 32 angstroms to the length of the GPIb $\alpha$  extracellular domain<sup>40</sup>. In a simple model, this could extend the GPIb $\alpha$  binding sites for VWF and thrombin further above the plane of the plasma membrane, increasing the avidity for these ligands and accounting for the observed increased risk for acute coronary artery disease associated with the longer variants, VNTR A and VNTR B<sup>42,43</sup>.

A second clinically relevant GPIb $\alpha$  polymorphism is the threonine to methionine substitution at amino acid 145, within the region of the ligand-binding LRM<sup>44,45</sup>. This dimorphism is the basis of the HPA-2 platelet alloantigen system (Fig. 50.4), and the allele frequencies for the Thr and Met alleles in a typical Caucasian population are 90% and 10%, respectively<sup>44,45</sup>.

A third polymorphic variation in the region surrounding the translation start site, at position -5 from the initiator ATG codon (where either T or C is present) (Fig. 50.3, bottom panel), was shown to influence the levels of the receptor expressed on the surfaces of transfected cells and platelets<sup>46,47</sup>. The -5C allele has a gene frequency of about 0.15 in several human ethnic populations and may be a determinant of platelet responsiveness because it influences GPIb $\alpha$  expression. The presence of the -5C allele increases the mean level of GPIb $\alpha$  on the platelet plasma membrane (roughly, a 50% increase in homozygous individuals; and a 33% increase in heterozygous individuals) presumably because it enhances the interaction of the cellular protein translation machinery with the adjacent Kozak sequence<sup>46</sup>.

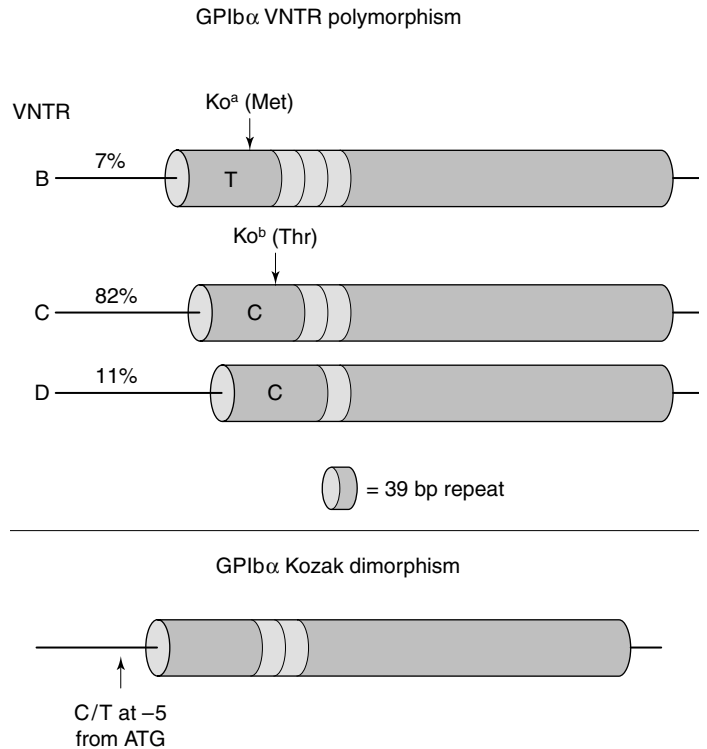


Fig. 50.3. Polymorphisms of the GPIb $\alpha$  subunit. Three polymorphisms are relevant to the expression and function of GPIb $\alpha$ . (Top panel) The variable number of tandem repeats (VNTR) polymorphism is characterized by the expression of four (VNTR A; not shown), three (VNTR B), two (VNTR C) or one (VNTR D) copy of a 39-base pair repeat sequence. In a typical Caucasian population, the gene frequencies of each allele are: A (<0.01); B (0.07); C (0.82); and D (0.11). Another dimorphism, the Met/Thr at residue 145 (nucleotide T/C substitution), gives rise to the Ko<sup>a</sup> and Ko<sup>b</sup> alloantigens and is linkage disequilibrium with the VNTR polymorphism. Thus, the VNTR A and B alleles express only Met-145, while the VNTR C and D alleles express only Thr-145. (Bottom panel) In GPIb $\alpha$ , a C/T substitution at a position five nucleotides upstream from the ATG start codon influences an adjacent Kozak sequence and thus the rate of translation of the mRNA transcript by the cell machinery. On average, the relationship of GPIb levels to genotype at this position is: T/T = 1.0; T/C = 1.3; C/C = 1.5.

### GPIb $\beta$

The functional role of GPIb $\beta$  in the complex has not been clearly defined, although *in vitro* evidence suggests that this protein can act as a complex-specific chaperone that protects GPIb $\alpha$  from lysosomal degradation while it is transported to the membrane<sup>48</sup>.

Moran et al.<sup>26</sup> described recently a patient with a novel, homozygous, single-nucleotide substitution (Glycine-

GP Ib $\alpha$		Glycoprotein Ib alleles		
Residue 145	VNTR	f	HPA-	a.k.a
Thr	C	0.82	2a	Ko <sup>b</sup>
Thr	D	0.11	2a	Ko <sup>b</sup>
<b>Met</b>	B	0.07	<b>2b</b>	<b>Ko<sup>a</sup>, Sib<sup>a</sup></b>
<b>Met</b>	C	<0.01	<b>2b</b>	<b>Ko<sup>a</sup>, Sib<sup>a</sup></b>
<b>Met</b>	A	<0.01	<b>2b</b>	<b>Ko<sup>a</sup>, Sib<sup>a</sup></b>
GP Ib $\beta$				
Residue 15		f	HPA-	a.k.a
Gly		>0.99	11aw	
<b>Glu</b>		<0.01	<b>11bw</b>	<b>ly<sup>a</sup> (+)</b>

Fig. 50.4. Glycoprotein Ib alleles. For GPIb $\alpha$ , five alleles can be defined based on the threonine/methionine dimorphism at residue 145 and the VNTR. For GPIb $\beta$ , two alleles are distinguishable by the glycine/glutamate substitution at residue 15. Abbreviations: a.k.a, also known as; f, gene frequency; HPA, human platelet antigen; and VNTR, variable number of tandem repeats. Gene frequencies for a typical Caucasian population are indicated.

159→alanine) in the coding region for GPIb $\beta$  at a tryptophan codon (TGG), which results in the premature termination of translation at amino acid 21. No sequence abnormalities were observed in either the GPIb $\alpha$  or the GPIX subunit of the complex. Although GPIb $\alpha$ , GPIX, and GPV were undetectable on the platelet surface, GPIb $\alpha$  was readily demonstrated in platelets. Moreover, soluble GPIb $\alpha$  was present in plasma. The defective GPIb $\beta$  is thus unable to support the expression of a functional complex at the platelet surface. Thus, GPIb $\beta$  affects the surface expression of the GPIb-IX complex by failing to support the insertion of GPIb $\alpha$  and GPIX into the platelet membrane<sup>26</sup>.

This critical role for GPIb $\beta$  as a chaperone was confirmed by Strassel and coworkers<sup>27</sup> who identified a different single amino acid substitution in GPIb $\beta$  (Asparagine-63→Threonine) that also results in the inhibition of expression of cotransfected wild-type GPIb $\alpha$  in CHO cells.

A rare Gly→Glu substitution at residue 15 gives rise to the HPA-11bw alloantigen (ly<sup>a</sup>)<sup>49</sup>.

### GPIX.

Like GPIb $\beta$ , GPIX is thought to act as a complex-specific chaperone that protects GPIb $\alpha$  from lysosomal degradation while it is transported to the membrane<sup>48</sup>.

Bastian et al.<sup>9</sup> identified an Ets site (ACTTCCT) located between -35 and -49 relative to the GPIX transcriptional

start site that, when disrupted, reduced promoter activity in transiently transfected HEL cells. The identity of the protein(s) that binds to this GPIX Ets site remains to be determined, however, *in vitro* binding studies had shown that Ets-1 and Ets-2 can bind to the  $\alpha_{Ib}$  promoter sites, and there is some indication that one or both of these factors regulate GPIX promoter activity.

The oncogenic protein Fli-1 can transactivate the GPIX promoter when an intact GPIX Ets site is present. Comparative studies showed that Fli-1 was also able to transactivate the GPIb $\alpha$  and, to a lesser extent, the  $\alpha_{Ib}$  promoter. Although Fli-1 protein has been identified in platelet lysates, it seems unlikely that Fli-1 is translated *de novo* in platelets, but more probable that the presence of Fli-1 in platelets represents residual protein synthesized in the megakaryocyte.

Negative regulation is emerging as a common theme in megakaryocyte-specific promoters. Transcriptional silencer domains have been identified upstream of the human PF-4 gene and within the rat PF-4 and human  $\alpha_{Ib}$  promoters. The GPIX promoter has a weak negative regulatory domain between -686 and -423 and a strong negative domain between -311 and -203. A comparison of negative regulatory domains in the megakaryocyte gene promoters led to the identification of a handful of potential regulatory motifs. Prandini *et al.*<sup>50</sup> identified transcriptional repression sites in the  $\alpha_{Ib}$  promoter: 5'-TGAGT-3' at -120 to -116 and 5'-CCCTTGCTC-3' -102 to -93, relative to the transcription start site (see below). An exact duplicate of the  $\alpha_{Ib}$  5'-TGAGT-3' hexamer is found in the weak regulatory domain of the GPIX promoter at -455 to -451. In addition, within the strong GPIX negative repressor domain, there are two sequences (-282 to -273 and -263 to -254) that are somewhat similar (3/9 mismatches) to the  $\alpha_{Ib}$  CCTTGCTC sequence.

### GPV

GPV is thought to play a contributory role in the high-affinity binding of thrombin to the platelet<sup>51</sup> but has never been considered essential for the surface expression of a functional complex<sup>52</sup>. The GPV gene is comprised of a short intron in the 5' untranslated region and a coding sequence contained entirely in the second exon. The 5'-flanking region contains consensus binding sites for GATA, Ets transcription factors and Sp1. The rat and mouse genes are identical in structure and have conserved many of the putative human regulatory sequences. GPV appears late in megakaryocyte differentiation and is absent from most megakaryocytic leukemic cell lines, unlike the other platelet-specific proteins described above. HEL or K562

cells are probably blocked at early stages of differentiation, because they express both erythroid and megakaryocytic markers, so that neither of these cell lines expresses GPV, even at the mRNA level. Dami cells, on the other hand, despite recent confirmation that they are subclones of HEL cells, do express GPV, accompanied by increased levels of GPIb-IX (relative to HEL cells). This implies that GPV functions in later stages of megakaryocyte maturation and would necessarily respond to late stage growth or transcription factors.

A repressor domain (−903 to −816) contains a sequence homologous to the silencer region of the  $\alpha_{IIb}$  promoter.  $\alpha_{IIb}$ -specific expression relies on the activity of this strong repressor, and mutation of this element leads to a ubiquitous activity of the promoter. On the other hand, deletion of this repressor region in the GPV gene does not reverse cell specificity<sup>53</sup>. The core promoter (−103/+1) contains two Ets binding sites, Ets-66 and Ets-42, and a GATA site, GATA-71. The GATA/Ets tandem of the core promoter resembles those of the other megakaryocyte-specific genes,  $\alpha_{IIb}$ , PF4, GPIb $\alpha$ ,  $\beta$ -TG, GPIX, and MPL. Mutation analysis of the GATA/Ets tandem showed that this tandem is essential for GPV transcription and that both sites are important for full transcriptional activity of the GPV promoter in the megakaryocytic lineage. The most proximal Ets site (Ets-42) is most critical for maintaining basal activity, and deletion of this site abolished transcriptional activity.

### Signal transduction through the GPIb complex

GPIb $\alpha$  is associated through its cytoplasmic domain with actin-binding protein (ABP; filamin)<sup>54,55</sup> and the protein 14–3–3<sup>56</sup>.

Upon engagement of VWF, the signalling events mediated by the GPIb complex include elevation of cytoplasmic Ca<sup>2+</sup> and activation of protein kinase C and tyrosine kinases<sup>57–60</sup>. An unidentified tyrosine kinase becomes associated with the GPIb complex as a result of VWF binding to GPIb $\alpha$ <sup>61</sup>. Two tyrosine kinase substrates (76 kd and 32 kd) become phosphorylated<sup>57</sup>, the larger perhaps identical to syk, the smaller unidentified<sup>58</sup>. In addition, pleckstrin and myosin light chain are also rapidly phosphorylated by Ser/Thr protein kinases<sup>57</sup>, both src and activated phosphatidylinositol 3-kinase become associated with the cytoskeleton<sup>60</sup>, phosphatidylinositol 4,5-bisphosphate is metabolized, phosphatidic acid is synthesized, phospholipase A<sub>2</sub> is activated, and arachidonic acid and thromboxane A<sub>2</sub> (TXA<sub>2</sub>) are synthesized<sup>57</sup>.

Although none of the cytoplasmic sequences of the GPIb complex contain motifs known to interact with signalling

proteins, these regions do interact with the cytoskeleton, and signal complexes may assemble at the junction of the GPIb complex with this scaffold. Signal transduction may be mediated, in part, by the binding of 14–3–3<sup>55</sup>. 14–3–3 $\zeta$  has been shown to bind to proteins with a conserved RSXSXP motif in which the second serine is phosphorylated<sup>62</sup>. It remains to be determined to what extent 14–3–3 $\zeta$  is involved in the mediation of signals through the GPIb complex.

Another candidate signalling pathway would require the FcR $\gamma$  chain<sup>63</sup> and involves Syk. Tandem Src homology 2 (SH2) domains of Syk bind to proteins containing phosphorylated ITAMs, which are not found on any of the four subunits of the GPIb complex. However, platelets do express two ITAM-containing proteins, Fc $\gamma$ RIIA, and the FcR $\gamma$  chain. It is possible that the GPIb complex can bind with either or both of these proteins, allowing the complex to couple to Syk. The contribution of FcR $\gamma$  chain to signal transduction in platelets is even more extensive, since it has recently been shown to be complexed constitutively to the platelet collagen receptor GPVI (see below). From murine knockout experiments, we now know that both the FcR $\gamma$  chain and Syk are essential for platelet activation by collagen. Importantly, in the absence of FcR $\gamma$  chain, collagen is unable to induce Syk activation, showing the FcR $\gamma$  chain is a critical upstream regulator of Syk.

These findings lead to a working model<sup>63</sup> in which the GPIb complex associates with FcR $\gamma$  chain, either constitutively or upon receptor activation, and the Src family kinases Fyn and Lyn phosphorylate FcR $\gamma$  chain resulting in the binding of Syk through its tandem SH2 domains, activation of Syk, and phosphorylation-dependent activation of PLC $\gamma$ 2. It remains to be proven that PLC $\gamma$ 2 becomes activated downstream of GPIb and, if so, whether tyrosine phosphorylation of PLC $\gamma$ 2 is the sole mechanism by which PLC $\gamma$ 2 may become activated downstream of this receptor.

### Clinical relevance of GPIb complex polymorphisms

As noted above, there is ample evidence that a high degree of interdependence among the members of the GPIb complex is needed for receptor expression and efficacy. However, the bulk of clinical correlations accumulated to date involve the GPIb $\alpha$  subunit.

Since the GPIb complex is pivotal to the initial tethering of platelets to the extracellular matrix, it is not surprising that structural differences in the keystone subunit, GPIb $\alpha$ , would influence thrombosis in vivo. As summarized in Fig. 50.5, there is substantial evidence for an association between the VNTR B allele and risk of arterial thrombosis and acute adverse outcomes in coronary artery disease. On

The GP I $\beta\alpha$ VNTR polymorphism: a risk factor?	
Yes	No
Coronary artery disease	
1. Murata M. 1997 2. Gonzalez-Conejero 1998	1. Ito T. 1999
Acute coronary syndrome	
1. O'Conner F. 1999 abstr	
CVD/stroke	
1. Gonzalez-Conejero 1998 2. Sonoda A. 2000	1. Carter A.M. 1998 2. Baker R. 1999 abstr
Myocardial infarction, unstable angina*	
	1. Hooper W.C. 1999 abstr 2. *Tompkins K. 1999 abstr
DVT, venous thrombosis*, primigravidae thrombosis**	
	1. Gonzalez-Conejero 1998 2. *Hooper W.C. 1999 abstr 3. **Hillmann A. 1999 abstr

Fig. 50.5. Correlation between inheritance of the GPI $\beta\alpha$  VNTR B polymorphism (methionine-145) and risk for adverse outcomes in various thrombotic disorders. Individual studies that confirm (YES) or refute (NO) a correlation between inheritance of the VNTR B allele (presence of methionine-145) and risk for thrombotic disease are indicated. The clinical syndromes include: acute coronary syndrome, acute myocardial infarction, cerebral vascular disease (CVD) / stroke, coronary artery disease, deep vein thrombosis (DVT), primigravidae thrombosis, unstable angina, and venous thrombosis. Data are tabulated as of November 2000.

the other hand, risk for venous thrombosis is consistently negative. Aside from two preliminary reports, the majority of studies have failed to find a correlation between the inheritance of the Kozak C genotype (high receptor density) and risk for coronary artery disease (Fig. 50.6). However, a very recent report does provide evidence that there may well be an association with the *severity* of negative outcomes following acute myocardial infarction in younger individuals ( $\leq 62$  years old)<sup>64</sup>.

## Stage 2. Stable adhesion to collagens mediated by integrin $\alpha_2\beta_1$ and GPVI

### Collagen receptors

Collagens will induce platelet shape change, secretion, and aggregation, accompanied by phosphoinositide hydroly-

The GP I $\beta\alpha$ VNTR dimorphism: a risk factor?	
Yes	No
Myocardial infarction, acute coronary syndrome*	
1. Afshar-Kharghan V. 1998 abstr	1. Croft S.A. 2000 2. Frank M.B. 1999 abstr 3. *Shields D.C. 1999 abstr
CVD/stroke	
1. Baker R. 1999 abstr	1. Frank M.B. 1999 abstr 2. Corral J. 2000
Coronary artery disease, unstable angina*	
	1. Corral J. 2000 2. *Tompkins K. 1999 abstr
DVT, primigravidae thrombosis**	
	1. Corral J. 1999b abstr 2. **Hillmann A. 1999 abstr

Fig. 50.6. Correlation between inheritance of the GPI $\beta\alpha$  Kozak C dimorphism and risk for adverse outcomes in various thrombotic disorders. Individual studies that confirm (YES) or refute (NO) a correlation between inheritance of the Kozak C allele and risk for thrombotic disease are indicated. The clinical syndromes include: acute coronary syndrome, acute myocardial infarction, cerebral vascular disease (CVD) / stroke, coronary artery disease, deep vein thrombosis (DVT), primigravidae thrombosis and unstable angina. Data are tabulated as of November 2000.

sis, TXA<sub>2</sub> synthesis, phosphorylation of specific protein substrates, and an increase in cytoplasmic Ca<sup>2+</sup>.

### The human $\alpha_2$ gene

The integrin  $\alpha_2$  subunit is a single chain transmembrane polypeptide that pairs exclusively with the  $\beta_1$  subunit (Fig. 50.7, left panel). In human, a single copy of the  $\alpha_2$  gene is present in the haploid genome, located on chromosome 5 (5p11–12)<sup>65</sup>. The cDNA sequence published by Takada and Hemler<sup>66</sup> was nearly complete, lacking the bulk of the final exon 30 and a polyadenylation site.

Platelet  $\alpha_2\beta_1$  levels among randomly selected individuals can vary up to 10-fold and correlate with differences in adhesiveness to type-I or type-III collagens. We identified linked, allelic polymorphisms within the coding sequence of the  $\alpha_2$  gene that correlate with platelet  $\alpha_2\beta_1$  density<sup>67,68</sup>. These observations led to further characterization of the integrin  $\alpha_2$  alleles, summarized schematically in Fig. 50.7 (centre panel). Four  $\alpha_2$  alleles can be defined: Allele 1 (807T/1648G/2531C) is associated with increased levels of  $\alpha_2\beta_1$ , while Allele 2 (807C/1648G/2531C) and Allele 3

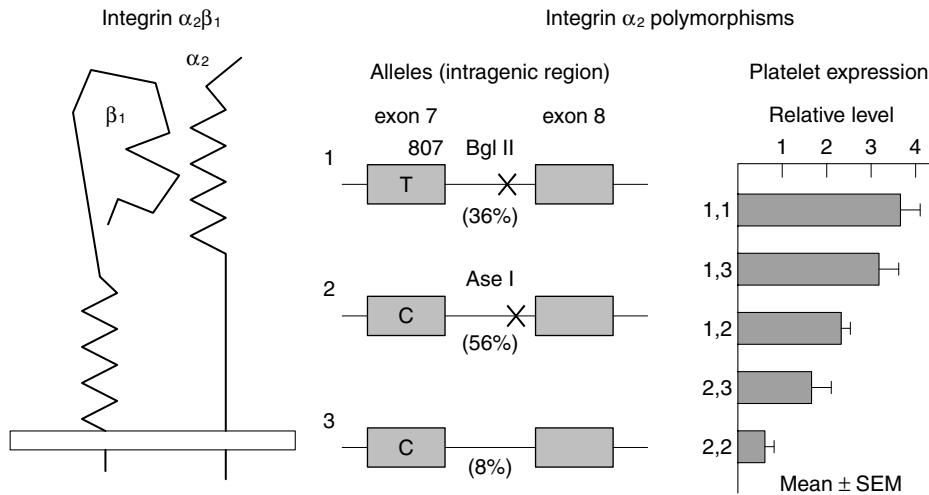


Fig. 50.7. The integrin  $\alpha_2\beta_1$ . (Left panel) This receptor is a heterodimer composed of non-covalently associated  $\alpha_2$  and  $\beta_1$  subunits, both of which contain transmembrane regions and cytoplasmic domains. (Centre panel) Three common  $\alpha_2$  alleles can be distinguished by the silent 807 C/T dimorphism within exon 7 and the presence of unique *Bgl II* or *Ase I* restriction sites within the intron separating exons 7 and 8. The gene frequencies of each of these alleles in a typical Caucasian population are: allele 1 = 0.36; allele 2 = 0.56; and allele 3 = 0.08. (Right panel). The relative level of platelet  $\alpha_2\beta_1$  is controlled by the inheritance of these three  $\alpha_2$  alleles. For example, individuals who are homozygous for  $\alpha_2$  allele 1 express, on average, fourfold the amount of this receptor that would be found on platelets of individuals who are homozygous for  $\alpha_2$  allele 2.

(807C/1648A/2531C) are each associated with decreased levels of this receptor. The gene frequencies of these three alleles in a typical Caucasian population are: Allele 1, 0.36; Allele 2, 0.56; and Allele 3, 0.08. Two intronic sequence differences (between exons 7 and 8) create unique *Bgl II* and *Ase I* restriction sites<sup>69</sup> that can be used to distinguish each allele by RFLP assay (Fig. 50.7, centre panel). The rate of platelet attachment to type I collagen in whole blood under conditions of high shear rate (1,500/s) is proportional to the density of  $\alpha_2\beta_1$  receptors on the platelet surface, as determined by differential inheritance of alleles 1 or (2+3) (Fig. 50.7, right panel). These initial findings suggested that  $\alpha_2$  alleles could influence risk of thrombosis or bleeding in relevant disease states.

The very rare allele 4 (gene frequency <0.01) uniquely expresses 2531T, which defines the Sit<sup>a</sup> alloantigen (Fig. 50.8). Within the coding sequence, two single point missense dimorphisms have been described: A<sub>1648</sub>G (Lys<sub>505</sub>Glu) and C<sub>2531</sub>T (Thr<sub>799</sub>Met) are responsible for the formation of the Br<sup>a</sup>/Br<sup>b</sup> (HPA-5) and Sit<sup>a</sup> alloantigens (HPA-12bw), respectively<sup>70,71</sup> (Fig. 50.8). Neither dimorphism is causally involved in inherited expression differences.

The sequence of one human  $\alpha_2$  gene 5' regulatory region has been reported by Zutter et al.<sup>72,73</sup>. Comparisons with our allele sequences establish that this regulatory region is that of Allele 2. The first 961 bp of the 5' flanking region of

Allele 2 isolated from the K562 cell line directs cell-type specific suppressor and enhancer activity in cells of epithelial origin<sup>72</sup>. This region contains critical elements that are required for efficient expression of this TATA-less promoter. The core promoter (-92/-30) contains two Sp1 elements that are necessary for full transcription activity in erythro-megakaryocytic cell line K562<sup>74</sup>. The distal 5' region contains a strong megakaryocytic enhancer located at -1655/-1426 that requires two tandem AP1 binding sites<sup>75</sup>. Interestingly, a GATA site and AP2 elements located in this enhancer region and a GATA site within the proximal 961 bp promoter do not mediate enhancer activity in hematopoietic cells. We have recently identified two natural dimorphisms within the human  $\alpha_2$  promoter, C<sub>-52</sub>T and C<sub>-92</sub>G, which also influence transcription rate<sup>69</sup> and are not linked to alleles 1 or 2. Together with the allelic differences, these promoter dimorphisms contribute to the variability in expression of human  $\alpha_2\beta_1$ .

### Clinical relevance of $\alpha_2$ polymorphisms

Platelet adhesion to collagen-coated surfaces in whole blood under flow conditions is mediated by both von Willebrand factor (VWF)-dependent recruitment of the platelet glycoprotein Ib-IX receptor complex and collagen interaction with the integrin  $\alpha_2\beta_1$ . In type 1 von Willebrand disease (VWD), platelet adhesive functions are impaired

Integrin subunit $\alpha_2$ alleles							
Nucleotide residue							
	807	2531	505	799	f	HPA-	a.k.a.
1	T	C	Glu	Thr	0.036	5a 12aw	Br <sup>a</sup>
2	C	C	Glu	Thr	0.056	5a 12aw	Br <sup>a</sup>
3	C	C	<b>Lys</b>	Thr	0.076	<b>5b 12aw</b>	<b>Br<sup>b</sup></b>
4	C	T	Glu	<b>Met</b>	<0.001	<b>5a 12bw</b>	<b>Br<sup>a</sup> Sit<sup>a</sup>(+)</b>

Fig. 50.8. Integrin  $\alpha_2$  Alleles. Four alleles are defined by combinations of a variety of linked dimorphisms. C/T base substitutions at nucleotide positions 807 and 2531 are shown. A glutamate/lysine substitution at residue 505 generates the Br<sup>a</sup> and Br<sup>b</sup> alloantigens, respectively, while a methionine for threonine substitution at residue 799 gives rise to the Sit<sup>a</sup> alloantigen. Abbreviations: a.k.a., also known as; f, gene frequency; and HPA, human platelet antigen. Gene frequencies for a typical Caucasian population are indicated.

due to the decrease in VWF levels in plasma and platelets. Di Paola et al.<sup>76</sup> have demonstrated that the low-density  $\alpha_2$  allele 2 increases the risk factor for bleeding in type I von Willebrand Disease. They measured the frequencies of  $\alpha_2$  alleles in symptomatic patients with five types of VWD (type 1,  $n=78$ ; type 2A,  $n=25$ , type 2B,  $n=14$ ; type 2M,  $n=10$ ; and type 3,  $n=20$ ). Compared to the normal group, no significant difference in allele frequencies was observed among individuals with types 2A, 2B, 2M, or 3 VWD. However, the frequency of allele 2 among type 1 VWD patients ( $=0.71$ ) was significantly higher than that of the normal population ( $P=0.007$ ). Also, in patients with VWD type 1 and borderline to normal ristocetin-cofactor (VWF: RCo) activity values, collagen receptor density correlates inversely with closure time in a high shear stress system, the platelet function analyzer (PFA-100; Dade-Behring). It was proposed that low platelet  $\alpha_2\beta_1$  density results in less efficient primary platelet adhesion and may result in increased tendency to bleed, as evidenced by the high frequency of this polymorphism in patients with type 1 VWD compared with normal individuals. In addition, this may account for the variability between patients with similar levels of VWF antigen, but strikingly different bleeding histories.

Santoso et al.<sup>77</sup> investigated the relationship of the  $\alpha_2$  alleles to the risk of coronary artery disease (CAD) and myocardial infarction (MI). An allele-specific polymerase chain reaction (PCR) was developed for genotyping  $\alpha_2$  alleles. DNA samples from 2237 male patients who underwent coronary angiography on account of coronary heart disease as verified illness or presumptive diagnosis were genotyped. They found a strong association between allele 1 and non-fatal MI among individuals younger than the

#### Increased platelet $\alpha_2\beta_1$ density ( $\alpha_2$ Allele 1): a risk factor?

		Yes	Odds ratio	No
Myocardial infarction				
	1. Moshfegh K. 1999a	3.3		1. Croft S.A. 1999
	2. Santoso S. 1999	2.6		
	3. Roest M. 2000	2.2		
CVD/stroke				
	1. Carlsson L.E. 1999a	3.0		1. Corral J. 1999a
	2. Sacchi E. 1999 abstr	3.0		
	3. Reiner A.P. 2000	2.0		
Diabetic retinopathy				
	1. Matsubara Y. 1999 abstr	3.4		
Coronary artery disease				
				1. Santoso S. 1999
				2. Corral J. 1999a
DVT/venous thrombosis				
				1. Corral J. 1999a
				2. Hessner M.J. 1999
				3. Carlsson L.E. 1999b abstr

Fig. 50.9. Correlation between inheritance of the integrin  $\alpha_2$  Allele 1 (increased  $\alpha_2\beta_1$  density) and risk for adverse outcomes in various thrombotic disorders. Individual studies that confirm (YES) or refute (NO) a correlation between inheritance of  $\alpha_2$  allele 1 (807T genotype) and risk for thrombotic disease are indicated. The clinical syndromes include: acute myocardial infarction, cerebral vascular disease (CVD) / stroke, coronary artery disease, deep vein thrombosis (DVT), diabetic retinopathy, and venous thrombosis. Data are tabulated as of November 2000.

mean age of 62 years ( $n=1057$ ; odds ratio, 1.57;  $P=0.004$ ) and an even stronger association among individuals within the youngest 10% of the study sample ( $<49$  years;  $n=223$ ; odds ratio, 2.61;  $P=0.009$ ). In contrast, no evidence of an association between  $\alpha_2$  alleles and CAD was found. It was concluded that inherited platelet  $\alpha_2\beta_1$  variations might have an important impact on acute thrombotic disease in younger individuals. This has been confirmed by a number of other investigators (Fig. 50.9)<sup>78,79</sup>. In addition, a significant correlation has been found between the expression of Allele 1 and risk for adverse outcomes in younger individuals with cerebral vascular disease or stroke<sup>80-82</sup> and diabetic retinopathy<sup>83</sup>.

In contrast, the density of platelet  $\alpha_2\beta_1$  has not been found to be a risk factor for venous thrombosis<sup>84-86</sup> (Fig. 50.9). This lack of influence on thrombosis on the venous side is a typical finding for all of the platelet glycoprotein dimorphisms that are currently under study.

### Hormonal regulation of $\alpha_2\beta_1$ expression

Gender differences figure prominently in risk for thromboembolic disease, leading to the suspicion that steroid hormones might influence the expression and or activity of key platelet glycoprotein receptors. It has been known for some time that hormone replacement therapy (HRT) in postmenopausal women can predispose to venous thrombosis, but it is only recently that a potential influence of HRT on platelets in the coronary circulation has been proposed<sup>87,88</sup>. Tarantino et al.<sup>87</sup> observed that, in women, platelet adhesion to type I collagen shows a biphasic periodicity in synchrony with the menstrual cycle and that human megakaryocytes express the estrogen receptor (ER). This finding implies that platelet collagen receptor function may be modulated by sex hormone levels and would be consistent with the known correlation between ER and  $\alpha_2\beta_1$  expression in certain cell lineages<sup>72,89</sup>. Khetawat et al.<sup>88</sup> have subsequently observed that human megakaryocytes contain mRNA specific for the ER  $\beta$  and the androgen receptor (AR), and that the transcripts of both can be located in the platelet cytoplasm. Megakaryocytes are able to respond in a regulated manner to testosterone. These reports provide compelling evidence that sex hormones may be able to mediate gender differences in platelet function and antigenicity. It remains to be determined to what extent the effects of these hormones occur through genomic or non-genomic pathways.

### Platelet GPVI

GPVI is a major platelet glycoprotein (60–65 kDa) (Fig. 50.10) that had been considered a putative receptor for collagen since the identification of a patient with a mild bleeding disorder whose platelets lacked GPVI and exhibited defective collagen-induced responses<sup>90–92</sup>. Collagen binding to GPVI induces platelet activation through a pathway that involves phosphorylation of the FcR $\gamma$  chain followed by the binding of Syk and the phosphorylation-dependent activation of PLC $\gamma$ <sup>293–96</sup>. The sequence of collagen that is recognized by GPVI has been identified as Gly–Pro–Hyp, and a synthetic collagen-related peptide (CRP) based on the triple helical form of this tripeptide sequence is a GPVI-specific platelet agonist<sup>97</sup>. The GPVI–Fc $\gamma$  complex will transduce outside-in signals by an immune receptor-like mechanism that involves p72<sup>SYK</sup> activation, results in PLC $\gamma$ 2 activation, and leads to release of granule contents and platelet aggregation<sup>98</sup>. The C-type lectin, convulxin (CVX), from the tropical rattlesnake, *Crotalus durissus terrificus*, is a multimeric protein that binds specifically to GPVI and induces platelet activation

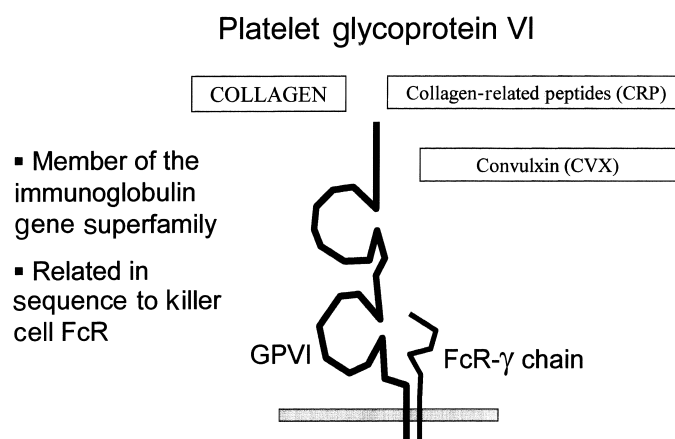


Fig. 50.10. Platelet glycoprotein VI. Platelet glycoprotein VI is a member of the immunoglobulin gene superfamily and is related in sequence to members of the killer cell FcR group. GPVI is non-covalently associated with, and requires for expression, the FcR  $\gamma$  chain. Both proteins contain transmembrane regions and cytoplasmic domains. GPVI binds specifically to collagens, specific sequences within type I collagen typified by the collagen-related peptide (CRP) and the snake venom protein convulxin (CVX).

through the clustering of GPVI<sup>99,100</sup>. CVX is a ready tool for the detection and quantitation of GPVI that also proved invaluable for the isolation and eventual sequencing of this receptor<sup>101</sup>.

GPVI cDNA has an open reading frame of 1017 base pairs coding for a protein of 339 amino acids including a putative 23-amino acid signal sequence and a 19-amino acid transmembrane domain between residues 247 and 265. By sequence homology, GPVI is a member of the immunoglobulin superfamily, and its sequence is closely related to Fc $\alpha$ R and the natural killer receptors. It contains two Ig-C2-like domains formed by disulfide bridges. The cytoplasmic tail, consisting of 51 amino acids, is atypical of, and shows little homology with, the C-terminal part of the other members of this protein family.

### Polymorphic expression of GPVI

In the initial characterizations of platelet GPVI function, some degree of heterogeneity was noted among apparently normal subjects. For example, Jandrot-Perrus and coworkers<sup>100</sup> had reported that, among normal healthy volunteers, purified convulxin induced platelet aggregation at levels between 15 and 35 pM, but they noted a significant heterogeneity in platelet sensitivity to convulxin. Moreover, variability in ligand binding to GPVI was also evident in the findings of Polgar et al.<sup>99</sup>.

To specifically investigate this potential heterogeneity, we developed a semiquantitative ligand blot assay in which biotin-conjugated convulxin binds selectively to GPVI among the total content of platelet proteins separated by SDS–polyacrylamide gel electrophoresis. We found a fivefold range of platelet GPVI content among twenty-three normal healthy subjects (K. Furihata and T.J. Kunicki, unpublished observations). In addition, we found that prothrombinase activity induced by CVX- or CRP, but not ionophore A23187, is directly proportional to the platelet content of GPVI ( $P < 0.001$ ). The fivefold variation in GPVI content is strikingly similar to the previously established range in expression of platelet integrin  $\alpha_2\beta_1$  (see above). Among the donors in this study, there was a direct correlation between platelet  $\alpha_2\beta_1$  density and GPVI content ( $P = 0.004$ ). In view of the well-documented association of GPVI with platelet procoagulant activity, this variation in GPVI content may represent yet another genetically controlled risk factor predisposing individuals to hemorrhagic or thromboembolic disorders.

At this time, however, a genetic basis for variation in GPVI content has not been defined. The possibilities that either the two collagen receptors are physically associated or that the expression of the two receptors is coordinately regulated need to be addressed. In either case, the variation in GPVI content might be driven by the already defined genetic regulation in expression of the integrin  $\alpha_2\beta_1$ .

### Stage 3. Platelet cohesion: thrombus formation mediated by integrin $\alpha_{IIb}\beta_3$

#### Platelet integrin $\alpha_{IIb}\beta_3$ (GPIIb–IIIa)

The megakaryocyte-specific integrin,  $\alpha_{IIb}$ , is the  $\alpha$ -subunit of the  $\alpha_{IIb}\beta_3$  complex (Fig. 50.11). In the  $\alpha_{IIb}$  gene promoter, there are two GATA-binding elements, one of which (at –454) is most critical for transcription<sup>102–104</sup>. A potential negative regulatory element is located within –200/–100 in both the rat and human genes<sup>50,105</sup>. A GA-rich region encompassing –14 appears to be a non-consensus Sp1-binding site that interacts with an Ets-consensus site at approximately –34<sup>106</sup>. This promoter provides another example of the cooperative effect of Ets-like proteins and Sp1 in transcriptional activation of TATA-less genes. The human  $\alpha_{IIb}$  and  $\beta_3$  genes are both physically located on chromosome 17 (17q21.32), but a detailed map of the  $\alpha_{IIb}$  region suggests that the  $\alpha_{IIb}$  and  $\beta_3$  genes may be  $\geq 1$  mb apart, a separation that is too great to consider them located within a single tissue-specific locus<sup>107</sup>.

FOG, the cofactor for GATA-1, plays a key role in normal erythroid and megakaryocytic differentiation<sup>37</sup>. FOG is a multitype zinc finger protein that binds to the amino-terminal finger of GATA-1 and modulates the transcription of GATA-1 target genes. FOG acts in concert with GATA-1 and possibly GATA-2 to stimulate  $\alpha_{IIb}$  expression<sup>108</sup>.

Loss of FOG in mice knockout experiments leads to the elimination of the megakaryocytic lineage at a very early stage, contrasting with the late blockage of megakaryocytic differentiation occurring with GATA-1<sup>109</sup>. This finding suggests that, although acting as an essential cofactor of GATA-1 in erythroid cells, FOG may act independently from GATA-1 in megakaryocytes.

The DNA *cis*-acting elements of gene promoters are also important for regulation of megakaryocyte gene expression. Transcription is regulated by the differential engagement of *cis* elements in patterns that are specific to each cell lineage. For example, most of the megakaryocytic gene promoters contain GATA and Ets binding sites, and the engagement of these is crucial for transcriptional control and lineage restriction of these genes<sup>9,103,110,111</sup>.

Cumulative evidence has led to a model in which  $\alpha_{IIb}$  gene transcription is switched off in non-megakaryocytic cells but kept active throughout megakaryocyte differentiation<sup>50</sup>. The  $\alpha_{IIb}$  gene enhancer that bears GATA and Ets elements is active in both erythroid and megakaryocytic cells<sup>50,104</sup>, and other positive *cis*-active elements that control  $\alpha_{IIb}$  are also present in other cell lineages. Thus, the mechanism whereby  $\alpha_{IIb}$  gene transcription is restricted to the megakaryocyte lineage must lie elsewhere. One explanation is that the positive elements are overridden by the action of a strong repressor that is lineage restricted. This repressor is even active in megakaryocytic cells, where it attenuates  $\alpha_{IIb}$  gene transcriptional activity to a medium level. In other cell lineages, the repressor is even more active, and  $\alpha_{IIb}$  gene transcription is essentially blocked. The expression of  $\alpha_{IIb}\beta_3$  by avian multilineage hematopoietic cells suggests that, although detected in early hematopoietic progenitors,  $\alpha_{IIb}$  expression is turned off in the course of progenitor commitment<sup>112</sup>. At the same time,  $\alpha_{IIb}$  expression increases during the differentiation of megakaryocytes<sup>113–115</sup>.

A comparison of the effect of a heterologous environment on the activity of the human and murine  $\alpha_{IIb}$  promoter has shed some light on the transcriptional control of this gene. When transfected in a murine context, the human  $\alpha_{IIb}$  promoter preserves its megakaryocytic specificity<sup>116</sup>. The murine  $\alpha_{IIb}$  promoter is active and megakaryocyte specific in murine cell lines. However, it loses its lineage restriction when transfected into the human K562 cell line, where it exhibits an even higher expression



level than in HEL cells. Conversely, the human  $\alpha_{IIb}$  promoter is nearly inactive in K562 cells. The murine promoter was inactive in KG1 cells and in HeLa cells, indicating that the deregulation observed with this promoter only affects the erythro-megakaryocytic system<sup>116</sup>.

The murine  $\alpha_{IIb}$  promoter contains an enhancer element homologous to the erythro-megakaryocytic human enhancer. Recall that the activity of the human  $\alpha_{IIb}$  promoter is dependent on two essential GATA and Ets elements. In the murine promoter, the erythro-megakaryocytic enhancer activity is dependent on the  $-456$  GATA site but not on the  $-505$  Ets element<sup>116</sup>. This result is different from the concerted engagement of both elements required for full activity of the human enhancer.

In addition to GATA-1, the murine  $-456$  GATA formed an additional complex (termed B) of higher molecular weight<sup>116</sup>. The B complex was observed in all nuclear extracts tested, including HeLa cells, suggesting B complex is ubiquitously expressed. B complex did not interact with anti-GATA-1 antibodies, indicating it is different from GATA-1. Human and the murine promoters differ significantly in that they probably use different GATA-1 partners. The enhancer Ets binding site shown to be crucial for the human enhancer activity is inactive in the murine enhancer. Perhaps in the case of the mouse  $\alpha_{IIb}$  promoter, GATA-1/B complex interactions may be an alternative to GATA-1/ETS cooperation for the human  $\alpha_{IIb}$  promoter in human cell lines<sup>116</sup>. Although apparently not required for human  $\alpha_{IIb}$  transcriptional regulation in human cell lines, B complex is present and active in these cells, suggesting that it could regulate other sets of human genes.

### The integrin $\alpha_{IIb}\beta_3$

The numerically predominant platelet integrin  $\alpha_{IIb}\beta_3$  (Fig. 50.11) mediates the common cohesive pathway that results from platelet activation *in vivo*, i.e. platelet aggregation supported by the binding of adhesive proteins, such as fibrinogen and von Willebrand factor (VWF).

Three allelic variants of the integrin subunit  $\alpha_{IIb}$  (Fig. 50.12) have been defined which differ at either residue 837 (Val or Met)<sup>117</sup> or residue 843 (Ser or Ile)<sup>118</sup>. A very complex scenario occurs with the integrin subunit  $\beta_3$ . Eight different alleles of the  $\beta_3$  can be distinguished (Fig. 50.13) which differ at seven positions within the coding sequence. These dimorphic residues and their serologic designations are: Leu<sub>33</sub>/Pro<sub>33</sub> (HPA-1a/HPA-1b)<sup>119</sup>; Arg<sub>62</sub>/Gln<sub>62</sub> (HPA-10wa/HPA-10wb)<sup>120</sup>; Arg<sub>143</sub>/Gln<sub>143</sub> (HPA-4a/HPA-4b)<sup>121</sup>; Pro<sub>407</sub>/Ala<sub>407</sub> (HPA-7a/HPA-7b)<sup>122</sup>; Arg<sub>489</sub>/Gln<sub>489</sub> (HPA-6a/HPA-6b)<sup>123</sup>; and Arg<sub>636</sub>/Cys<sub>636</sub> (HPA-8a/HPA-8b)<sup>124</sup>. The rare HPA-1b allele characterized by the

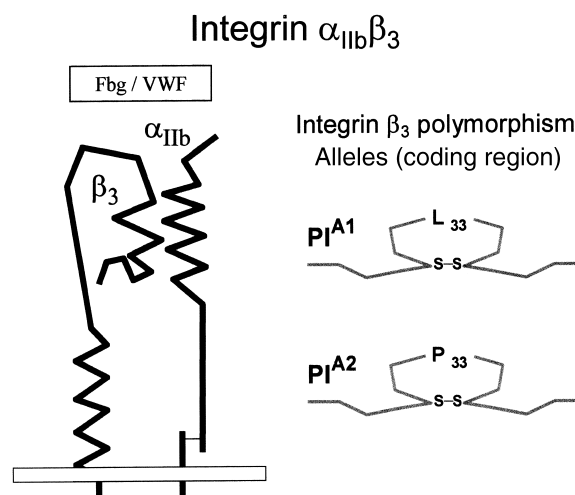


Fig. 50.11. Integrin  $\alpha_{IIb}\beta_3$ . (Left panel) This receptor is a heterodimer composed of noncovalently associated  $\alpha_{IIb}$  and  $\beta_3$  subunits. The  $\alpha_{IIb}$  subunit is a two chain molecule composed of a larger chain disulfide-bonded to a smaller chain, the latter bearing a transmembrane region and cytoplasmic domain. The  $\beta_3$  subunit is a single chain polypeptide with a transmembrane region and cytoplasmic domain. (Right panel) An important dimorphism of the  $\beta_3$  subunit results from a leucine/proline substitution at residue 33 within a small disulfide-looped region, giving rise to the PI<sup>A1</sup> and PI<sup>A2</sup> alloantigens, respectively.

Integrin subunit  $\alpha_{IIb}$  alleles

Amino acid				
837	843	f	HPA-	a.k.a
Val	Ile	0.61	3a 9a	Bak <sup>a</sup> , Lek <sup>a</sup>
Val	Ser	0.36	3b 9a	Bak <sup>b</sup>
Met	Ser	0.03	3b 9b	Bak <sup>b</sup> , Max <sup>a</sup>

Fig. 50.12. Integrin subunit  $\alpha_{IIb}$  alleles. Three alleles are generated by a valine/methionine substitution at residue 837 and an isoleucine/serine substitution at residue 843. These give rise to the Bak (Lek) and Max alloantigen systems, respectively. Abbreviations: a.k.a, also known as; f, gene frequency; and HPA, human platelet antigen. Gene frequencies for a typical Caucasian population are indicated.

presence of Arg<sub>40</sub> does not appear to be serologically distinguishable from the HPA-1b allele bearing the more common Leu<sub>40</sub><sup>125</sup>.

### Clinical relevance of $\alpha_{IIb}\beta_3$ polymorphisms

The first receptor to be scrutinized was  $\alpha_{IIb}\beta_3$ . In a pioneering study in 1996, Weiss et al.<sup>126</sup> reported that the gene frequency of the  $\beta_3$  PI<sup>A2</sup> (Pro<sub>33</sub>) allele was 3.6 times higher

Integrin subunit  $\beta_3$  alleles

Amino acid residue							f	PI <sup>A2</sup>	HPA-					
33	40	62	143	407	489	636			1a	10a	4a	7a	6a	8a
Leu	Leu	Arg	Arg	Pro	Arg	Arg	0.85	PI <sup>A2</sup>	1a	10a	4a	7a	6a	8a
<b>Pro</b>	Leu	Arg	Arg	Pro	Arg	Arg	0.15		1b	10a	4a	7a	6a	8a
<b>Pro</b>	<b>Arg</b>	Arg	Arg	Pro	Arg	Arg	0.005		1b	10a	4a	7a	6a	8a
Leu	Leu	<b>Gln</b>	Arg	Pro	Arg	Arg	<0.001		1a	10b	4a	7a	6a	8a
Leu	Leu	Arg	<b>Gln</b>	Pro	Arg	Arg	<0.01		1a	10a	4b	7a	6a	8a
Leu	Leu	Arg	Arg	<b>Ala</b>	Arg	Arg	<0.001		1a	10a	4a	7b	6a	8a
Leu	Leu	Arg	Arg	Pro	<b>Gln</b>	Arg	<0.001		1a	10a	4a	7a	6b	8a
Leu	Leu	Arg	Arg	Pro	Arg	<b>Cys</b>	<0.001		1a	10a	4a	7a	6a	8b

Fig. 50.13. Integrin Subunit  $\beta_3$  Alleles. Eight alleles are generated by dimorphic substitutions at residues 33, 40, 62, 143, 407, 489, and 636. These give rise to the HPA phenotypes listed at the right of the figure. Two alleles are PI<sup>A2</sup>-positive (contain proline-33) and are indicated within the boxed area. The remaining six alleles are PI<sup>A1</sup>-positive (contain leucine-33). Abbreviations: a.k.a, also known as; f, gene frequency; and HPA, human platelet antigen. Gene frequencies for a typical Caucasian population are indicated.

Expression of the integrin  $\beta_3$ PI<sup>A2</sup> allele: a risk factor?

Yes	No
Myocardial infarction (younger individuals)	
1. Weiss E.J. 1996 2. Carter A.M. 1996 3. Zotz R.B. 1998 4. Anderson J.L. 1999 5. Mikkelsson J. 1999 6. Tereshchenko S.N. 1999 7. Ardissino D. 1999 8. Mikkelsson J. 2000	1. Marian A.J. 1996 2. Osborn S.V. 1996 3. Herrmann S.M. 1997 4. Ridker P.M. 1997 6. Samani N.J. 1997 7. Durante-Mangoni E. 1998 8. Gardemann A. 1998 9. Mamotte C.D. 1998 10. Scaglione L. 1998 11. Moshfegh K. 1999b abstr 12. Kekomaki S. 1999 13. Bottiger C. 2000
Coronary artery disease	
1. Garcia-Ribes M. 1998 2. Gardemann A. 1998	1. Corral J. 1997 2. Mamotte C.D. 1998 3. Zotz R.B. 1998 4. Anderson J.L. 1999 5. Kekomaki S. 1999 6. Mikkelsson J. 1999 7. Bottiger C. 2000

Fig. 50.14. Correlation between inheritance of the integrin  $\beta_3$  PI<sup>A2</sup> allele (proline-33) and risk for adverse outcomes in acute myocardial infarction (in younger individuals) or coronary artery disease. In most studies, younger individuals are defined as those  $\leq 62$  years old. In most studies, coronary artery disease is present if there is 50% occlusion of at least one major coronary artery. Individual studies that confirm (YES) or refute (NO) a correlation between inheritance of  $\beta_3$  PI<sup>A2</sup> allele and risk for thrombotic disease are indicated. Data are tabulated as of November 2000.

Expression of the integrin  $\beta_3$ PI<sup>A2</sup> allele: a risk factor?

Yes	No
Restenosis after stent	
1. Walter D.H. 1997 2. Kastrati A. 2000	1. Laule M. 1999
Acute renal allograft rejection	
1. Salido E. 1999	
Restenosis after angioplasty	
	1. Mamotte C.D. 1998 2. Laule M. 1999
CVD/stroke	
1. Carter A.M. 1998	1. Corral J. 1997 2. Ridker P.M. 1997 3. Wagner K.R. 1998 4. Kekomaki S. 1999
Venous thrombosis	
	1. Ridker P.M. 1997

Fig. 50.15. Correlation between inheritance of the integrin  $\beta_3$  PI<sup>A2</sup> allele (proline-33) and risk for adverse outcomes in other thrombotic diseases. Individual studies that confirm (YES) or refute (NO) a correlation between inheritance of  $\beta_3$  PI<sup>A2</sup> allele and risk for thrombotic disease are indicated. The clinical syndromes include: acute renal allograft rejection, cerebral vascular disease (CVD) / stroke, restenosis after angioplasty, restenosis after stent, and venous thrombosis. Data are tabulated as of November 2000.

among younger case patients (<60 years of age) with myocardial infarction or unstable angina as compared to age-matched controls (odds ratio=6.2). This was the first instance of a platelet-specific risk factor in acute coronary thrombosis, and this study was rapidly followed by numerous reports that confirmed or denied this association of  $PI^{A2}$  as a risk factor for acute coronary disease (Fig. 50.14). While many studies have confirmed the association between the  $PI^{A2}$  allele and increased thrombotic risk in myocardial infarction or coronary artery disease, a substantial number have refuted this observation. Other clinical settings where risk might be involved are restenosis after stent<sup>127,128</sup> or acute renal allograft rejection (Fig. 50.15). On the other hand, as was the case with the genetic differences in integrin  $\alpha_2$ , no correlation between integrin  $\alpha_{IIb}\beta_3$  and risk for venous thrombosis has yet been observed (Fig. 50.15).

After the  $\beta_3$  Pro<sub>33</sub> allele had been implicated in risk for thrombosis, the search began for any indication that this allele might confer increased biological activity upon the integrin  $\alpha_{IIb}\beta_3$ . Although most of the identified differences have not been obvious, they *are* statistically significant and suggest that this allele confers a lower threshold for agonist-induced platelet responses. Most recently, Vijayan et al.<sup>130</sup> showed, in transfected CHO or 293 cells, that the  $PI^{A2}$  allele exhibited increased binding to immobilized fibrinogen, but not to soluble fibrinogen or immobilized fibronectin. In addition, Michelson et al.<sup>131</sup> found that  $PI^{A2}$ -positive platelets displayed a lower threshold for activation and an increased sensitivity to antiplatelet drugs (e.g. aspirin and abciximab).

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## **C Non-hemostatic disorders**

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# Platelets and bacterial infections

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## Introduction

Platelet–bacterial interactions contribute to the pathogenesis of hematological infections. Interactions may occur directly with platelets, resulting in bacterial invasion or induction of platelet aggregation. Bacterial invasion of platelets is a key feature of Rickettsial and Ehrlichial infections. Induction of platelet aggregation *in vivo*, resulting in formation of mural thrombi on heart valves in infective endocarditis, appears to be a response to certain infecting bacteria. During bacteremia or sepsis, platelet microaggregates form and thrombocytopenia is observed. The propensity for bacterial cells to trigger platelet aggregation and thrombosis *in vivo* suggests concomitant procoagulant activation. Procoagulant activity can also be up-regulated by bacterial products, such as lipopolysaccharides (LPS) and expression of thrombin-like enzymes, resulting in the incorporation of platelets into aggregates or thrombi. Conversely, certain bacteria express toxins and other proteases that will inhibit platelet function or cause platelet lysis and contribute to coagulopathy. More than 30 species of bacteria show platelet activating or inhibitory functions, many of which have been documented to occur during naturally occurring infection in mammals or in experimental animal or human models. This chapter will present examples of platelet dysfunction that results from clinical infections, followed by an examination of partnered bacterial ligands and platelet receptor or targets, and will conclude with a survey of key mechanistic models of platelet–bacterial interactions.

That bacteria in the blood interact with circulating platelets has been recognized since early in the last century (see review by Clawson)<sup>1</sup>. Platelet–bacterial interactions were considered by many investigators to be an important feature of reticuloendothelial defense, although the supporting data were often not widely appreciated. At the turn

of the last century, rabbits were shown to clear experimental bacteremias with *Vibrio cholera* by clumping with platelets and several groups reported similar observations during the next twenty years. In 1922, a report published by Bull and McKee showed that thrombocytopenic and control rabbits cleared Staphylococcal bacteremias similarly. Earlier reports notwithstanding, investigators shifted focus away from the platelet as interactive with bacteria in the blood. In 1930, interest resurfaced as Taniguchi and coworkers reported that experimental bacteremias with selected Gram-positive or Gram-negative species caused thrombocytopenia within 3 minutes, formation of platelet–bacterial aggregates, and disappearance of free bacteria by 10 minutes. The numbers of platelet-associated bacteria far exceeded those associated with leukocytes. Platelets reappeared in the circulation by 30 minutes. Direct observation of circulating platelet–bacteria aggregates *in vivo* waited until the 1960s, when Alfred Copley and colleagues developed a vital microscopic approach. While platelet–neutrophil interactions, described by Aaron Marcus and coworkers in the 1980s, could be modified by circulating bacteria and contribute to reticuloendothelial clearance, we are still coming to appreciate the potential for platelet–bacterial interactions to contribute to health and disease.

## Platelet diseases in humans or animals caused by bacteria

### Bacteria directly invading platelets – Ehrlichial and Rickettsial infections

Rickettsial and Ehrlichial infections are often associated with direct bacterial invasion of platelets. The Rickettsiae and Ehrlichiae are Gram-negative intracellular bacterial

pathogens. The human ehrlichioses and Rocky Mountain spotted fever are life-threatening yet treatable diseases. The vectors for these bacteria are hard-bodied ixodid ticks, and the reservoir hosts are probably wild rodents, deer, sheep and dogs. Prompt treatment with tetracycline or chloramphenicol markedly decreases the morbidity<sup>2</sup>, yet the differential diagnoses may prove difficult to ascertain when treatment decisions are needed. Each presents as a non-specific febrile illness that can be followed by immunosuppression leading to secondary infection. Most patients first encounter fever, headache, chills, and myalgias and then develop anemia, elevations in serum hepatic aminotransferases, and thrombocytopenia, with or without leukopenia<sup>2-5</sup>. When patients are seropositive to Ehrlichiae by an indirect fluorescent antibody test (IFAT)<sup>6,7</sup>, clusters of bacteria can frequently be found in the cytoplasm of peripheral blood neutrophils<sup>8</sup>. Sometimes, Ehrlichiae are not detected in peripheral blood smears, there are no antibodies to these diverse agents at the time of presentation, and isolation does not yield sensitive and timely results. For diagnosis, polymerase chain reaction (broad-range and species-specific PCR) assay promises the greatest sensitivity, specificity and timeliness<sup>9-11</sup>.

Both diseases are tick-borne and the effects on blood cells can be similar in humans and canines<sup>12</sup>. The Rickettsia include the spotted fever group (Rocky Mountain or Mediterranean spotted fevers) and the bacterium primarily infects endothelial cells<sup>13</sup>. The Ehrlichiae species that infect humans include *E. chaffeensis*, *E. sensu*, *E. canis*, *E. ewingii*, and *E. platys*<sup>10,12,14-16</sup>. The Ehrlichiae are remarkable for tropism to neutrophils<sup>4,14</sup>. About 80% of serum samples from confirmed human granulocytic ehrlichiosis patients contain antiplatelet antibodies, which may contribute to profound thrombocytopenia<sup>17</sup>. Experimental Rickettsia and Ehrlichia infections in dogs also show elevated platelet-associated immunoglobulin<sup>18</sup>. Rickettsia and ehrlichia may interact with platelets through cell surface receptor(s) common also to endothelial cells and neutrophils.

The emergence of antiplatelet antibodies and activation of complement<sup>17,18</sup> appears to follow morphological, functional changes and destruction of platelets<sup>19,20</sup>. In naturally occurring and experimental canine ehrlichiosis, for example, platelets are rounded, show reduced pseudopod formation, clumping, migration and leakage, and these features are followed by pancytopenia<sup>19</sup>. In vitro, platelet responses to agonists are also inhibited. In response to collagen/epinephrine, thrombin and adenosine diphosphate (ADP), aggregation is attenuated with an increase in the lag time to onset, and a decrease in the maximal extent and rate<sup>20,21</sup>. Similarly, platelet factor 3 (PF-3) release is

delayed<sup>22</sup>. The bleeding tendency and thrombocytopenia in acute phase ehrlichial/rickettsial infections, therefore, appear to be caused by direct effects of the bacteria on platelets, and emergence of specific autoantibodies.

### **Bacteria promoting platelet aggregation and coagulation: infective endocarditis**

Infective endocarditis describes a family of persistent microbial infections that typically target previously damaged or diseased heart valves. Most often, infective endocarditis occurs after bacteremia containing viridans streptococci or *Staphylococcus aureus* although the specific breaching event into the blood may be uncertain<sup>23-25</sup>. The viridans streptococci, commensal Gram-positive bacteria, are of particular interest in infective endocarditis. They are harmless on the mucous membranes, but behave as pathogens in the blood. Oral and dental infections appear to be the most common portals of entry for infecting bacteria. For *Staphylococcus aureus*-associated endocarditis, the risk is often associated with intravenous drug abuse. The infecting microbes colonize within a thrombus-like mass of platelets and fibrin.

The platelet-fibrin 'vegetations' sequester and protect the microbial colonies from both the innate and adaptive immune systems and from therapeutic intervention with antibiotics. The ability of the bacteria to interact with platelets and promote their aggregation in vitro and in vivo appears to be linked directly to the mass of the vegetation and the severity of the clinical signs<sup>25-27</sup>. The ability of strains to induce platelet aggregation generally depends on their ability to adhere to platelets<sup>28-30</sup> and to bind to platelet receptors<sup>31,32</sup>. Consistent with these observations, the frequency of infection in experimental rabbit endocarditis was associated with the ability of *Staphylococcus aureus* to bind to platelets<sup>33</sup> and/or fibrin-platelet-endothelium matrix<sup>34</sup>. Potentially important virulence factors in the pathogenesis of endocarditis include lipoteichoic acid (LTA) of group A streptococci and *Staphylococcus aureus*<sup>34-37</sup>, platelet aggregation-associated protein (PAAP) of *Streptococcus sanguis*<sup>36</sup>, streptococcal exopolysaccharides<sup>38</sup> and resistance to platelet microbicidal proteins<sup>39</sup>.

Usually infective endocarditis presents as non-specific symptoms including malaise, fever, sweats, myalgia, weight loss, and sustained elevations of C-reactive protein, erythrocyte sedimentation rate, and other inflammatory markers<sup>40-42</sup>. Cardiac murmur, tachycardia, vascular phenomena and a change in mental state are also commonly noted. Active disease is characterized by dynamic changes in coagulation and platelet aggregation, the increase in acute-phase reactants<sup>43</sup>, and a tendency towards compli-

cating thrombotic events<sup>44</sup>. Patients also show elevated antiphospholipid antibodies associated with endothelial cell activation, thrombin generation and impairment of fibrinolysis<sup>45</sup>.

Bacterial strains that activate coagulation would be expected to trigger formation of larger vegetations, causing disease with a more complicated clinical course. Even though they are considered to be commensals with little pathogenic potential, viridans streptococci activate procoagulant and thrombotic mechanisms. The viridans streptococci express thrombin- and Hageman factor-like enzymes<sup>46</sup>, promote expression of tissue factor by endothelial cells<sup>47</sup> or monocytes<sup>48,49</sup>, and induce platelet aggregation<sup>30,50–52</sup>. While Bernard–Soulier platelets, lacking platelet glycoprotein Ib/V/IX, aggregate in response to *S. sanguis*, and GPIa and GPIIb/IIIa are necessary<sup>32</sup>, the ability to engage a full coagulant response is required. For example, platelets from experimental pigs with von Willebrand disease and normal pigs aggregate in response to group C streptococci<sup>53</sup>. Yet the von Willebrand pigs fail to develop experimental endocarditis in response to the group C streptococci<sup>53</sup>. von Willebrand factor (VWF) binds platelet glycoprotein Ib/V/IX, which is not crucial to streptococcal-induced platelet aggregation. Since VWF protects cocirculating factor VIII against degradation, the data suggest that valvular thrombus formation in response to group C streptococcus infection requires activation of coagulation through factor VIII<sup>53</sup>. The procoagulant effect of the bacteria during infection is crucial to the development of the vegetation. Platelet aggregation at the heart valve is necessary, but not sufficient, since specific antibody blockade of the *S. sanguis* PAAP (see above) reduces, but does not eliminate the thrombotic vegetation in experimental rabbits<sup>54</sup>.

After infection, strains releasing proteases and other tissue hydrolases can cause necrosis of the vegetations and, over time, valvular destruction and insufficiency<sup>55</sup>. For example, proteolytic strains of *Enterococcus faecalis* cause partial dissolution of experimental vegetations in rabbits, resulting in high levels of bacteremia, small, soft, friable vegetations, a high frequency of kidney infarcts, and shorter survival times<sup>56</sup>. As a result, thromboembolic events and severe complications of infective endocarditis are common. The characteristics of the embolic events are also related to the nature of the pathogen.

Antibiotic therapy is used to control the infection. Infecting microbes are more inaccessible to systemic antibiotics when embedded within the dense platelet–fibrin matrix of the vegetations than when they are colonizing the periphery of the vegetation. Platelets however express the platelet microbial proteins (PMPs), which limit the colo-

nization of sensitive bacteria and may act synergistically with certain antibiotics<sup>39</sup>.

### Disseminated intravascular coagulation (DIC)

DIC is an acquired syndrome characterized by intravascular fibrin formation, and depletion of platelets and coagulation factors in the course of a variety of severe diseases, most commonly infectious septicemia<sup>57</sup>. Intravascular fibrin contributes to thrombotic occlusion of blood vessels, compromising the blood supply to organs, while ongoing coagulation, diminished availability of platelets and depletion of coagulation factors contribute to episodes of bleeding. In DIC, platelets may be activated by direct interactions with certain bacteria<sup>27,58</sup>. With the generalized activation of coagulation, bacteria also activate platelets indirectly. Bacteria or their products, such as lipopolysaccharides (LPS), activate tissue factor and factor VIIa, generating thrombin. Thrombin is a potent platelet agonist and activates procoagulant activity on the platelet surface<sup>59,60</sup>, and also promotes formation of systemic fibrin deposits. Thrombosis of mid- and small-sized vessels results in organ failure<sup>61–63</sup>. In DIC, suppression of anticoagulation and the delayed removal of fibrin may also contribute to the extent of coagulation.

In the presence of thrombocytopenia and depleted coagulation factors, DIC can present as a bleeding and a thrombotic disorder. In view of this seemingly contradictory presentation, DIC presents diagnostic and therapeutic challenges. DIC is diagnosed with supporting laboratory analysis of fibrinogen/fibrin degradation products (FDP; F1 + 2), D-dimer concentration, antithrombin III (AT III), thrombin–antithrombin complex (TAT), plasmin–plasmin inhibitor complex (PPIC), soluble fibrin monomer (sFM), partial thromboplastin time, prothrombin time and platelet counts<sup>64–68</sup>. In patients with DIC, activated platelets release thrombopoietin and the elevated concentration correlates with markers for thrombin generation, TAT complexes and F1 + 2<sup>69</sup>. Bacterial enzymes such as streptokinase and staphylokinase promote fibrinolysis as mimics of plasminogen activator<sup>70</sup>. Expression of laboratory markers of coagulation and platelet status can result from direct actions of bacteria or their products.

Bacterial enzymes can directly activate coagulation<sup>71</sup> and, depending on environmental conditions, also express enzymes that dissolve clots<sup>72</sup>. An extracellular cysteine proteinase of *Streptococcus pyogenes* can directly hydrolyse fibrinogen<sup>73</sup>. Two arginine-specific cysteine proteinases of *Porphyromas gingivalis* can activate and consume protein C, contributing potentially to a thrombotic tendency<sup>74</sup>. While bacterial products can modulate the formation and

lysis of clots, direct bacterial interactions with platelets can initiate a procoagulant effect and release of thrombopoietin and other markers of DIC.

Bacteria may even more directly contribute to the consumption of coagulation factors and promote the generation of proinflammatory kinins<sup>75</sup>. Protein-protein interactions on the surfaces of certain Gram-negative and, perhaps, Gram-positive bacteria result in the assembly of factor XI, XII, plasma prokallikrein and high molecular weight kininogen, resulting in activation of the contact-phase system and release of bradykinin from plasma<sup>76</sup>. Generation of bradykinin at the bacterial surface was accompanied by prolonged clotting time, altered formation of fibrin clots, and a hypocoagulatory state in vivo. During infections, certain bacteria appear to be able to directly consume coagulation factors, independent of the activation of tissue factor. Release of kinins would contribute to the inflammatory signs of sepsis and the bleeding and epitaxis common to DIC, and by blocking the intrinsic pathway of coagulation promote hematogenous spread of the bacteria.

Hence it is not surprising that Gram-positive and Gram-negative bacteria are associated with DIC with similar frequencies<sup>57</sup>, and clinical and laboratory presentations<sup>77</sup>. Diffuse coagulation is activated by the Gram-positive *Staphylococcus aureus*<sup>78,79</sup>, *Streptococcus sanguis*<sup>80-82</sup>, and *Streptococcus pneumoniae*<sup>83</sup>. The Gram-negative pathogens considered most often to be etiological are *Escherichia coli*<sup>84</sup> and *Neisseria meningitidis*<sup>65,83,85</sup>. In the United States, for example, *N. meningitidis* is the leading cause of bacterial meningitis and meningococcal sepsis in children and young adults<sup>85</sup>. Despite the availability of potent antibiotics, mortality in meningococcal disease remains high (about 10%), rising to 40% of patients presenting with severe shock and concurrent DIC<sup>65</sup>. In addition to eliciting procoagulant effects, most of these species will induce platelet aggregation in vitro in a strain-specific manner, suggesting that direct interactions with platelets can occur in vivo. In Gram-negative sepsis, endotoxin is suggested to elicit tissue factor-dependent hypercoagulation, mediated at least in part by proinflammatory upregulation of cytokines such as tumour necrosis factor- $\alpha$  and interleukin-6<sup>86</sup>.

Thrombocytopenia frequently occurs in DIC, generally in association with hypercoagulation. Thrombocytopenia is characterized by procoagulant microparticles typically formed by aggregated, activated platelets<sup>87,88</sup>, although reduced thrombopoiesis and immunological platelet damage may contribute<sup>62,63</sup>. The formation of circulating platelet microaggregates can occur in the presence of bacterial infections in the absence of sepsis and DIC. Patients

with gastrointestinal *Helicobacter pylori* infections and a murine model, for example, show platelet activation and P-selectin-dependent aggregation<sup>89</sup>. The accompanying microvascular dysfunction and inflammatory cell infiltrate suggest that greater hematogenous spread of the *Helicobacter* or its products could cause DIC.

During DIC, tissue factor activity, which may be up-regulated directly by LPS and other bacterial products, is predominantly antagonized by plasminogen activator inhibitor-1 (PAI-1)<sup>63,90,91</sup>. Other natural inhibitory mechanisms of coagulation include antithrombin, the protein C system, and tissue factor pathway inhibitor. Many bacteria can enzymatically degrade selected proteins that inhibit generation of fibrin and activation of fibrinolysis. Indirectly, certain bacteria would promote decompensated DIC and thrombosis, with rapid consumption of inhibitory factors including antithrombin III (AT III) and proteins C and S<sup>63,86</sup>. In the clinical setting, it remains unclear if bacteria or their products actually antagonize the reversal of coagulation and thrombosis.

Bacteria may initiate or activate the coagulation cascade, and promote thrombosis by direct or indirect interactions with platelets. Circulating platelet aggregates are clinically important procoagulant particles contributing to thrombotic occlusion of microvessels and infarction and failure of major organs. Yet, when it is to their advantage, bacteria can almost paradoxically dissolve clots and consume coagulation factors, or antagonize anticoagulation mechanisms. Bacteria can promote release of kinins, activation of thrombin<sup>92</sup> and up-regulation of proinflammatory cytokines, creating complex molecular links between inflammation and coagulation. Confoundingly, inflammation promotes coagulation and thrombosis by promoting expression of intravascular tissue factor and leukocyte adhesion molecules, and down-regulating the fibrinolytic and protein C anticoagulant pathways. Direct and indirect bacterial interactions with platelets, therefore, may either promote or inhibit or reverse thrombosis or coagulation in DIC. Diagnostic tests must be interpreted with clear understanding of the confounding contributions of the infecting bacteria. Likewise, therapy must include antibiotic and selective anti-inflammatory treatment, but the underlying hemostatic and thrombotic disorders must be deciphered and supported with replacement therapies.



## Mechanisms of bacterial–platelet interactions (in vitro evidence of biological plausibility)

### Bacterial ligands

#### Lipoteichoic acid (LTA)

The LTA of group A streptococcal and *Staphylococcus aureus* binds to platelets in a concentration- and time-dependent manner<sup>35–37</sup>. By immuno-ferritin labelling of LTA, binding sites on the platelet surface show a patchy distribution. In the presence of soluble LTA, collagen- and alpha1 chain-induced platelet aggregation was inhibited, but the release reaction was apparently unaffected<sup>35</sup>. The LTA binds to platelets in a two-site interaction, one that interferes with collagen-induced aggregation and a second that triggers the release reaction<sup>35</sup>. In collagen-stimulated human platelets, the LTA of *S. aureus* causes dose-dependent reduction in membrane fluidity, inhibition of phosphoinositide hydrolysis, thromboxane A2 formation, intracellular Ca<sup>+2</sup> mobilization, and phosphorylation of P47, a 47 kDa marker of protein kinase C activation<sup>36</sup>. These results suggest that the antiplatelet activity of LTA may involve conformational changes in the platelet membrane, leading to inhibition of phospholipase C. Downstream effects would result in inhibition of phosphoinositide metabolism and thromboxane A2 formation, intracellular Ca<sup>+2</sup> mobilization and protein kinase C. LTA-mediated antagonism of platelet function may, therefore, contribute to bleeding diathesis in Gram-positive septicemic patients.

#### The platelet aggregation-associated protein (PAAP)

*Streptococcus sanguis* PAAP triggers the accumulation of platelets into the valvular vegetation in experimental endocarditis<sup>54,93</sup>. PAAP is synthesized as a rhamnose-rich glycoprotein of 115 kDa and contains a collagen-like platelet-interactive domain, pro-gly-glu-gln-gly-pro-lys<sup>94–96</sup>. This platelet-interactive protein is probably homologous to the collagen-like product of the *scl* gene in group A streptococci, which promotes adhesion to epithelial cells and contributes to soft tissue pathology in a murine model<sup>97</sup>.

Expressed on the cell wall of platelet aggregation-inducing strains (Agg+) of *S. sanguis*, PAAP apparently interacts with a signal-transducing receptor complex on platelets, which includes CD31, CD36 and CD26 and a 65-kDa collagen-binding protein (K. Gong et al., 2000, unpublished data). In experimental endocarditis in rabbits<sup>26</sup>, PAAP first promotes platelet accumulation into a fibrin-embodied thrombus (vegetation), within which *S. sanguis* colonizes. When PAAP is unexpressed or neutralized with

specific antibodies, experimental endocarditis runs a milder course and vegetations are smaller. From in vitro experiments, the aggregation of platelets into the vegetative thrombus may be potentiated by an ectoATPase expressed on the surface of the *S. sanguis* and platelet alpha-adrenoreceptors that respond to endogenous catecholamines. PAAP expression may be environmentally regulated during infection in response to heat shock (fever) or collagen (exposed on damaged heart valves), enabling the bacteria to more effectively recruit platelets<sup>98</sup>. During infection of the heart valve, at least 13 environmentally regulated virulence genes have been identified that are unexpressed in vitro<sup>99</sup>. The data suggest strongly, therefore, that PAAP stimulates the development of the characteristic septic mural thrombus (vegetation) of infective endocarditis and the signs of valvular pathology.

#### Protein A

Expressed on the surface of *Staphylococcus aureus*, protein A is a 42 kDa protein with five homologous Ig-binding domains<sup>100</sup>. The designated domains bind either Fc $\gamma$  or Fab portions of the antibody molecule. The domains differentially recognize membrane anchored immunoglobulins defining subsets of T and B cells<sup>100</sup> and platelets<sup>101</sup>. Strains of *S. aureus* that express protein A and purified protein A bind whole platelets through gC1qR/p33, a multifunctional, ubiquitously distributed cell surface protein. Since protein A-positive *S. aureus* induce platelet aggregation<sup>102</sup>, binding to platelet surface gC1qR/p33 may bring other ligands on the cell wall into proximity of signal transducing receptors to trigger up-regulation of glycoprotein IIb/IIIa. While the evidence does not yet support a direct role of protein A in inducing platelet aggregation, binding to activated platelets suggests an additional mechanism to localize *S. aureus* at sites of vascular injury and thrombosis.

#### Phospholipase C (PLC)

Strains of *S. aureus* expressing PLC are more frequently associated with adult respiratory distress syndrome and DIC than toxic shock syndrome<sup>103</sup>. Purified PLC irreversibly aggregates platelets after a time lag, the length of which is inversely related to the specific activity of the enzyme<sup>104</sup>. A substrate for PLC, *p*-nitrophenolphosphorylcholine, inhibits PLC-induced aggregation when preincubated with platelets before addition of PLC. Conversely, PLC did not inhibit aggregation in response to ADP, epinephrine, collagen or ristocetin<sup>105</sup>. In ex vivo experiments, oral aspirin (500 mg) partially inhibited platelet aggregation induced by PLC<sup>106</sup>.

An extracellular lipolytic enzyme, PLC is highly con-

served in prokaryotes including *Staphylococci*, *Streptococci*, *Bacillus*, *Pseudomonas*, and *Clostridium*<sup>107–109</sup>. This enzyme cleaves glycosylphosphatidylinositol-anchored proteins from the plasma membranes of eukaryotic cells<sup>110</sup>. The N-terminal domain of PLC catalyses phospholipid hydrolysis, and its C-terminal region mediates interactions with membrane phospholipids in a calcium-dependent manner<sup>111,112</sup>. After incubation with PLC, human platelets lose 20–45% of total phospholipid, including 50–75% of the phosphatidylethanolamine, 20–50% of phosphatidylcholine, and 20–25% of phosphatidylserine<sup>113</sup>. The PLC and alpha-toxin of *S. aureus* may effect similar changes in platelets. The alpha-toxin intercalates as a pore-forming hexamer in liposomes<sup>114</sup> and platelet plasma membranes<sup>108</sup>, activating endogenous PLC activity and downstream Ca<sup>2+</sup>-signalling in pheochromocytoma (PC12) cells<sup>115</sup>. In platelets, activation of these signalling pathways may trigger secretion and aggregation.

### Lipopolysaccharide (LPS)

A frequently studied prototype of macromolecules found exclusively in Gram-negative bacteria LPS of *E. coli* inhibits aggregation of collagen-stimulated human platelets<sup>116</sup>. Like LTA of Gram-positive bacteria, LPS causes dose-dependent inhibition of phosphoinositide hydrolysis, intracellular Ca<sup>2+</sup> mobilization, membrane fluidity, and protein kinase C activation. Since LPS also increased formation of cGMP and cell-associated nitrate, the antiplatelet activity of LPS may be caused by conformational changes in the platelet membrane, leading to decreased activity of phospholipase C and formation of nitric oxide (NO)/cyclic GMP. By down-regulating platelets, LPS may contribute to bleeding diathesis in septicemic and endotoxemic patients. Lipid A is the toxic moiety of LPS, causing rapid time and concentration dependent phosphorylation of a human platelet protein of  $M_r$  47 000, a marker of protein kinase C activation, secretion of [<sup>14</sup>C]serotonin, and platelet aggregation<sup>117</sup>. These data suggest that lipid A may represent a significant mechanism underlying broadly the hematologic and circulatory disorders observed in endotoxic shock, since protein kinase C is expressed ubiquitously in blood cells, vascular cells and neurons.

### Platelet receptors

#### Platelet gC1qR

A promiscuous receptor of 33 kDa on human platelets and other cells, gC1qR/p33 binds *S. aureus* protein A<sup>101</sup> and the globular head domains on proteins including C1q, vitro-

nectin, and high molecular weight kininogen<sup>118</sup>. A similar platelet protein cC1qR is 60 kDa and binds collagen-like domains on many extracellular matrix proteins<sup>119</sup>. While it is unclear if *S. aureus* mediates signal through gC1qR<sup>101</sup>, C1q multimers promote phosphoinositide hydrolysis, upregulate glycoprotein IIb/IIIa complexes, increase binding of fibrinogen and induce platelet aggregation through cC1qR<sup>120</sup> and gC1qR<sup>121</sup>. C1q multimers also promote a procoagulant effect associated with platelets. Yet expression of gC1qR/p33 is poor on resting and activated platelets, but is up-regulated after platelets bind to immobilized fibrinogen, collagen or fibronectin<sup>121</sup>. gC1qR binds the D-domain of fibrinogen, impairing the polymerization to fibrin<sup>122</sup>. It is unclear how these several observations may mediate coagulation in sites of immune injury or inflammation, but gC1qR may function to localize *S. aureus* to activated platelets at sites of vascular injury and promote infection of thrombi.

#### GP IIb/IIIa

Blockade of glycoprotein IIb/IIIa protects against microvascular thrombosis in response to infusion of *Escherichia coli* in a baboon model<sup>123</sup>. To aggregate in response to virtually all bacteria studied, platelets must bind fibrinogen/fibrin. Fibrinogen/fibrin bridges platelets to one another to form aggregates and also bridge certain bacteria such as *S. aureus* to platelets. While it is well accepted that fibrinogen/fibrin bridges between platelets requires platelets to up-regulate glycoprotein IIb/IIIa, it is less clear that this integrin receptor serves to bridge bacteria to platelets.

For example, glycoprotein IIb/IIIa does not apparently bind *S. aureus* to platelets<sup>102,124</sup> favouring gC1qR<sup>101</sup>. Glycoprotein IIb/IIIa may be required for fibrinogen-mediated platelet–platelet aggregation triggered by *Lactobacillus* species<sup>125</sup> and *Streptococcus sanguis*<sup>32,93,97</sup>. *Lactobacillus rhamnosus* induced aggregation was inhibited by the glycoprotein antagonist peptide arginine–glycine–aspartic acid–serine (RGDS)<sup>125</sup>. *S. sanguis* does not induce aggregation of platelets from patients with Glanzmann's thrombasthenia, which lack glycoprotein IIb/IIIa<sup>32</sup> and aggregation of a type strain ATCC 7863 is inhibited by monoclonal antibody to GPIIb/IIIa, RGDS peptide, and a specific antagonist for the platelet fibrinogen receptor, GPIIb/IIIa<sup>126</sup>. Yet it is unclear if glycoprotein IIb/IIIa directly serves as a platelet receptor for *Staphylococcus aureus*, *Lactobacilli* or *S. sanguis*.

Glycoprotein IIb/IIIa may serve as a binding site for certain group A streptococci and *Borrelia burgdorferi*. Group A streptococci express an extracellular cysteine protease (streptococcal pyrogenic exotoxin B) that is critical for tissue invasion<sup>127</sup>. One variant contains an RGD

sequence that confers binding to glycoprotein IIb/IIIa. This subtle molecular variation may alter virulence in group A streptococcal infections and present a clinical picture reflecting altered host–pathogen interactions. Similarly infectious strains of *Borrelia burgdorferi* bind to activated platelets, in which glycoprotein IIb/IIIa is upregulated<sup>128</sup>. Binding is specific for activated glycoprotein IIb/IIIa since *B. burgdorferi* bound to platelets in the presence of antibodies against other receptors but failed to bind to platelets in the presence of RGD competitors or when platelets were deficient in this integrin.

### GPIb

*Streptococcus sanguis* strain 7863 induces aspirin-sensitive platelet aggregation suggested to require glycoprotein IIb/IIIa and also glycoprotein Ib<sup>126,129</sup>. When glycoprotein Ib was blocked with a monoclonal antibody, platelet aggregation was inhibited. Conversely, von Willebrand factor (vWF) binding to platelets via glycoprotein Ib was not affected, nor did *S. sanguis* prevent ristocetin-induced platelet agglutination or vWF binding. Normal subjects and patients with von Willebrand's disease showed similar platelet aggregation in response to strain 7863. Other strains of *S. sanguis* induce aggregation similarly in patients with Bernard–Soulier, which lack glycoprotein Ib, and normal platelets<sup>32</sup>. Collectively the evidence does not support a role of glycoprotein Ib in platelet aggregation in response to *S. sanguis*.

Glycoprotein Ib is a target for cleavage by the *o*-sialoglycoprotein endoprotease of *Pasteurella hemolytica*<sup>130</sup>. After hydrolysis the platelets appear to function like platelets from patients with Bernard–Soulier syndrome. The platelets respond normally to most physiological agonists, including thrombin, ADP, collagen and thromboxane A<sub>2</sub>, but fail to agglutinate in response to ristocetin/vWF.

### Fc gamma receptor/glycoprotein VI

Monoclonal antibody to platelet Fc receptor (FcγRII) significantly reduced *S. aureus*–platelet binding<sup>131</sup>. A similar monoclonal antibody against FcγRII blocks *S. sanguis* strain 7863-induced platelet aggregation<sup>126</sup>. Since platelet-aggregating strains of *S. sanguis* express PAAP with its collagen-like platelet-interactive motif<sup>95,96</sup>, interactions with FcγRII might be expected. As part of glycoprotein VI, a major receptor for collagen on platelets<sup>132</sup>, FcγRII is crucial to signal transduction and the aggregation response<sup>133,134</sup>.

### CD26, PECAM (CD31), and glycoprotein IV (CD36)

Human platelets express binding sites for *Streptococcus sanguis* that react with murine anti-idiotypic monoclonal

antibodies (Mab2s) (raised against murine Mab anti-*S. sanguis* adhesins)<sup>31</sup>. To identify the target antigens, the Mab2.2 was used to immunoprecipitate a platelet membrane complex consisting of proteins of 70, 90, 120, and 150 kDa (K Gong, GD MacFarlane, M Costalonga, P Liu, and MC Herzberg, 2001, unpublished data). These proteins and an additional protein antigen of 195 kDa were immunoprecipitated by Mab2.1. The 90 and 195 kDa proteins were identified as CD36 (GP IV) and CD31 (PECAM-1), respectively, by Western immunoblotting. The 120 kDa protein was immunoprecipitated by several anti-CD26 Mabs, which also reacted with platelets in flow cytometry. To isolate each protein, human platelet membranes were solubilized, and fractionated by Mab2 affinity chromatography followed by preparative SDS-PAGE. Isolated CD26 and CD31 inhibited platelet adhesion to *S. sanguis* by a maximum of 40 and 61%, respectively. Mixed together, these two proteins inhibited adhesion by about 81%. Adhesion of platelets was unaffected by incubation with isolated CD36 or  $\alpha$ -actinin (protein control). Similarly, platelet adhesion was partially inhibited by preincubation with anti-CD26 or CD31 Mabs; anti-CD36 did not affect adhesion. Preincubation of platelet-rich plasma (PRP) with anti-CD31 Mab partially inhibited *S. sanguis*-induced aggregation; collagen-induced aggregation was virtually unaffected. Anti-CD36 completely inhibited *S. sanguis*-induced aggregation and delayed collagen induced aggregation 17-fold (0.2 nmole Mab/450  $\mu$ l PRP). Anti-CD26 (11.7 nmole) and a tripeptide inhibitor of CD26-associated dipeptidyl peptidase IV activity also inhibited aggregation completely. CD26 and CD36, therefore, appear to mediate platelet aggregation in response to collagen, complementing GPVI and integrin-associated signal transduction pathways. Similarly, a platelet surface complex of CD26, 31 and 36 appears to interact with *S. sanguis* 133–79. CD26 and CD31 may mediate adhesion and, with CD36, the complex promotes *S. sanguis*-induced platelet aggregation.

### Anti-infective platelet responses

#### Release of platelet microbicidal proteins (PMPs)

Platelet microbicidal proteins (PMPs) are small, cationic peptides that possess potent microbicidal (both bactericidal and fungicidal) activities and antiadherence properties against common bloodstream pathogens. PMPs include thrombin-induced PMP-1 (tPMP-1), PMP-2, and alpha granule-derived PMP<sup>135–137</sup>. Recently recognized homologues, thrombocidins-1 and 2, are active antimicrobial peptides formed as post-translational, basic C-terminal

truncation products of certain CXC chemokines<sup>138</sup>. The PMPs are released from platelets by thrombin and other agonists and act in part by permeabilizing and altering staphylococcal transmembrane potential<sup>137,139–141</sup>. Bacterial resistance to PMPs (thrombocidins-1 and 2) appears to be an important virulence factor in infective endocarditis and DIC, in which viable bacteria become trapped within platelet aggregates or thrombi. Specific resistance to cationic PMP-1 is encoded in the staphylococcal multidrug resistance gene *qacA*<sup>45</sup>.

The action of the PMPs is readily shown in vitro; PMPs appear active in vivo. PMPs are released and rapidly kill *Streptococcus sanguis* M99 after inducing secretion and aggregation of rabbit platelets in vitro<sup>38</sup>. Furthermore, rabbits made thrombocytopenic with an antiplatelet antibody develop more highly colonized experimental vegetations in the endocarditis model in response to *S. sanguis* M99, than rabbits given a non-immune serum. Platelet release of PMPs in vivo appears, therefore, to serve an anti-infective function against sensitive strains of bacteria. Since the PMPs can also reduce *Staphylococcus aureus* adherence to platelets in vitro, other antimicrobial mechanisms may act against some sensitive strains<sup>142,143</sup>.

### A survey of bacteria and their interactions with platelets

At least 30 species of bacteria have been shown to interact directly or indirectly to modify or alter platelet function. Major mechanisms and pathological examples have been highlighted. To consider the constellation of platelet-interactive strategies intrinsic to a bacterial species, *Staphylococcus aureus* and *Porphyromonas gingivalis*, Gram-positive and negative bacteria, respectively, will be considered in greater detail. Interactions between oral streptococci and platelets, also the focus of many reports, have been discussed in recent reviews<sup>25,26,144</sup> and will not be detailed here.

#### *Staphylococcus aureus*

*Staphylococcus aureus* interactions with platelets are well studied in vitro and in experimental animals. Clinical manifestations of platelet interactions with *S. aureus* include disseminated intravascular coagulation (DIC) and infective endocarditis. In vitro protein A-positive *S. aureus* cells stimulate release of serotonin and aggregation of human platelets<sup>145</sup>. Platelet aggregation in response to *S. aureus* is fibrinogen dependent<sup>146</sup>. Interactions are mediated by the IgG Fc (gClqR) receptor on platelets<sup>101,147</sup>, which mediates Fc fragment-sensitive binding of *S. aureus*. It is unclear if

gClqR is involved in the signal transduction to elicit a platelet response. The platelet response to *S. aureus* is biphasic. While fibrinogen is required, the secondary wave of aggregation is independent of the RGD binding site of platelet glycoprotein IIb/IIIa<sup>102</sup>.

*S. aureus* expresses a surface clumping factor, ClfA, which binds fibrinogen<sup>148,149</sup>. Platelet aggregating strains of *S. aureus* use ClfA in vitro to present fibrinogen to an undefined platelet receptor that facilitates aggregation. The surface components on *S. aureus* that are necessary and sufficient to induce aggregation of human platelets are contained in peptidoglycan and protein A<sup>150</sup>. When mixed, protein A and peptidoglycan from *S. aureus* will induce platelet aggregation, but soluble lipoteichoic acid (LTA) is inhibitory. Lipoteichoic acid from *S. aureus* appears to inhibit activation of protein kinase C and mobilization of calcium from intracellular stores<sup>36,37</sup>.

When analysed quantitatively by flow cytometry, *S. aureus* binding to platelets was found to involve a multiplicity of ligand–receptor interactions<sup>131</sup>. Protein A also binds Von Willebrand factor<sup>151</sup>. Since platelet glycoprotein Ib binds Von Willebrand factor, protein A expressing *S. aureus* may also agglutinate platelets by multiple bridging via Von Willebrand factor. *S. aureus* also binds thrombospondin through a protein A-independent mechanism<sup>152</sup>. Secreted and rebound by activated platelets, thrombospondin, may also serve as a binding bridge for *S. aureus*. While several bridging proteins probably participate<sup>153</sup>, fibrinogen interactions enable *S. aureus* to bind better to activated than resting platelets<sup>124</sup> and appears to be a major virulence determinant in experimental endocarditis<sup>33</sup>. Contact-activated platelets mediate attachment of *S. epidermidis* to hydrophobic polyethylene polymer surfaces in the presence of adsorbed, antiadhesive plasma proteins<sup>154</sup>. As the thrombus accumulates more activated platelets and enlarges, the efficiency of staphylococcal binding increases<sup>155</sup>. Bacteria are found increasingly on and within the thrombus even in the presence of the antibiotic, rifampin. Bacterial viability appears inessential to drive the aggregation of platelets into the enlarging thrombus.

Important virulence factors such as protein A, fibronectin-binding proteins and  $\alpha$ -hemolysin are environmentally regulated by SarA<sup>156</sup>. SarA is regulated and may promote adhesion of *S. aureus* to fibrin-rich matrix proteins during experimental endocarditis<sup>34</sup>. Consequently, expression of key virulence factors may be coregulated and change in vivo during infection.

*S. aureus* surface proteins, therefore, interact with platelets to promote binding and thrombotic aggregation. Potentially antagonistic to these interactions, *S. aureus*

also expresses several enzymes and toxins with the potential to digest or damage platelets in the hemostatic process. *S. aureus* V8 protease cleaves a variety of the cytoplasmic platelet proteins<sup>157,158</sup>, platelet glycoprotein IIb/IIIa<sup>159</sup>, Von Willebrand factor<sup>160</sup>, and IgG<sup>161</sup>. Furthermore, staphylokinase, a clinically important enzyme that activates plasminogen, reverses collagen-induced aggregation by promoting fibrinolysis<sup>162,163</sup>. The fibrinolytic effect is antagonized by  $\alpha$ 2-antiplasmin, but staphylokinase does not induce platelet aggregation itself<sup>164</sup>. Staphylokinase has been engineered with an RGD sequence, a segment of fibrinopeptide A and sequence from hirudin<sup>165</sup>. This multifunctional antithrombotic, fibrinolytic agent showed promise in preliminary testing.

Platelets express antimicrobial proteins, which are effective against many strains of *S. aureus*<sup>166</sup>. The ability of platelets to bind *S. aureus* is directly associated with frequency and extent of aggregation, but independent of the resistance of *S. aureus* to platelet antimicrobial mechanisms, including the PMPs<sup>131</sup>. Clinical isolates of *S. aureus* and viridans streptococci from patients with infective endocarditis tend to be resistant to PMPs when compared to isolates from other bacteremic subjects<sup>167</sup>. The PMPs appear to kill or inhibit growth of *S. aureus* in vivo and resistance is associated with enhanced virulence in experimental endocarditis<sup>136,168</sup>.

While platelet aggregation in the presence of aspirin or quinacrine is not substantially inhibited in response to *S. aureus* in vitro<sup>169</sup>, antiplatelet agents may reduce the mass and extent of infection of platelet vegetations in experimental endocarditis in rabbits<sup>170,171</sup>. When rabbits were treated with aspirin and ticlopidine, a synergistic reduction in the mass of valvular vegetations and the severity of infection was noted in *S. aureus* experimental endocarditis<sup>172</sup>. Furthermore, aspirin pretreatment in experimental rabbits reduced septic embolic events<sup>173</sup>. *S. aureus* will also cause experimental endocarditis in dogs and the experimental vegetations have been shown to contain activated platelets that can be targeted with <sup>99m</sup>technetium-GPIIb/IIIa pharmacologic antagonists<sup>174</sup>. In experimental endocarditis, therefore, the development of the platelet vegetation is probably triggered by direct interactions with *S. aureus* and secondarily by tissue factor-mediated coagulation. Activation of platelets in response to generalized coagulation pathways would more likely be aspirin-sensitive than the aspirin-insensitive response to *S. aureus* shown in vitro.

Staphylococcal toxins may also contribute to coagulopathies. Toxin-rich fractions have been shown to induce platelet aggregation in vitro<sup>175-177</sup>, while  $\alpha$ -toxins reduce myocardial contractility and increase coronary vasocon-

striction in isolated rat hearts<sup>178</sup>. In contrast, toxic shock syndrome toxin-1 (TSST-1) inhibits aggregation of human platelets in response to epinephrine, ADP or platelet aggregating factor (PAF), but shows no effect in response to thrombin, collagen, or calcium ionophore<sup>179</sup>. Strain-specific expression of staphylococcal toxins may then cause diverse coagulopathies.

### *Porphyromonas gingivalis*

*P. gingivalis* is of interest because it expresses several important platelet-interactive strategies and may model other Gram-negative bacteria. Through interactions with *P. gingivalis*, platelets may behave as inflammatory and procoagulant cells, reflecting its pathogenicity in local infections such as periodontitis<sup>180</sup>. As speculated more recently, *P. gingivalis* may promote inflammation and microthrombosis in cardiovascular disease through several mechanisms including direct interactions with platelets<sup>180a</sup>. *P. gingivalis* and other procoagulant and platelet-interactive microorganisms, such as *Chlamydia pneumoniae*<sup>181</sup> and *Helicobacter pylori*<sup>89</sup>, are hypothesized to promote vascular inflammation and perhaps infection, reflecting contributions to total systemic pathogen burden<sup>182,183</sup>.

*P. gingivalis* expresses a PAAP-cross-reactive antigen, which contributes to its ability to induce platelet secretion and aggregation in vitro<sup>95,180</sup>. Platelet aggregation in vitro is also triggered by *P. gingivalis* protease activity, which cleaves platelet surface proteins but does not mimic thrombin<sup>184</sup>. In vivo, coagulation may be initiated and prolonged by *P. gingivalis*. Arginine-specific cysteine proteinases expressed by *P. gingivalis* activate and consume protein C in plasma, which may contribute to a thrombotic tendency<sup>74</sup>. These proteinases also activate factor X in plasma, triggering coagulation<sup>71</sup>. Furthermore, LPS from *P. gingivalis* and other black-pigmented Bacteroides promote rapid platelet secretion and microthrombosis in the lungs and other tissues of the mouse, even more effectively than from *Salmonella* and other enteric pathogens<sup>185</sup>. Hence, *P. gingivalis*, which is strongly associated with local contained soft and hard tissue infections originating in the gingiva, shows substantial potential to behave as a circulatory pathogen resulting in platelet dysfunction and thrombosis.

### Other bacterial species

Clinical decision-making about the potential for bacteria to cause platelet pathology will require a comprehensive overview, such that virtually all known platelet-interactive species are identified and characterized. Table 51.1 presents a comprehensive summary for reference. With scientific progress, this list will grow and need modification.

**Table 51.1.** Other bacterial species and their interactions with platelets

Species	Whole cells	Surface macromolecules	Enzymes/toxins	Indirect mechanisms	Animals/humans
<b>Streptococci, group A</b>	Induced secretion, aggregation, ASA-sensitive, fibrinogen-dependent <sup>186</sup>	Peptidoglycan causes complement-dependent thrombolysis (rabbit, not human platelets) <sup>187,188</sup> ; LTA binds specifically, inhibits collagen-induced aggregation, not secretion <sup>35</sup>			Infection of neonatal mice with cells or SPE + Freund's adjuvant causes activation/aggregation in vivo, models human lymphocutaneous lymph node syndrome <sup>189</sup> ; human bacteremia leads to thrombocytopenia/mortality <sup>190</sup>
<b>Group B</b>	Type III induced secretion, aggregation <sup>186</sup> , cation-cyclooxygenase- fibrinogen-dependent, trypsin-sensitive <sup>191</sup> ; trypsin-sensitive component inhibits aggregation in response to collagen, ADP, thrombin >> epinephrine, ristocetin (arachidonic acid, no effect) <sup>192</sup>	Antibodies against type III-specific sialic acid blocked GBS-induced secretion, aggregation <sup>193</sup>			Cells induce indomethecin-insensitive thrombocytopenia (rabbits) <sup>194</sup>
<b>Group C</b>	Induced aggregation, cation-cyclooxygenase- ADP-dependent, protease-sensitive <sup>195</sup>	<i>S. milleri</i> surface proteins bind platelet-fibrin clots <sup>196</sup>	<i>S. constellatus</i> expresses thrombin-like activity <sup>196</sup>		
<b><i>S. pneumoniae</i></b>	Induced aggregation, cAMP-dependent, shortened clotting time of whole blood, PRP, PPP <sup>197</sup>	Polysaccharide produces procoagulant effects on PRP, blood, PPP <sup>197</sup> ; type-specific antibodies form immune complex on cell wall inducing release of serotonin <sup>198</sup> ; peptidoglycan induces complement-dependent thrombolysis (rabbit, not human) <sup>187</sup>		Teichoic acid acts as phosphorylcholine PAF-like proinflammatory mediator <sup>199</sup>	Trypsin-resistant surface component induces complement-independent thrombocytopenia (rabbits) <sup>200</sup> ; meningitis induces thrombocytopenia associated with mortality <sup>201</sup>
<b>Enterococci</b>	Induced secretion, aggregation, ASA-insensitive <sup>169</sup>				
<b><i>Lactobacillus</i></b>	Induced aggregation, strain-specific, pronase-sensitive, RDGS-dependent <sup>125,202,203</sup> ; platelet interactivity restored by passage through animal <sup>202</sup>				
<b><i>Listeria</i></b>	Induces secretion, aggregation (rat), release listericidin (aggregation unaffected) <sup>204</sup> ; complexes with actin, profilin causing rearrangement <sup>205,206</sup>				

<b>Salmonella</b>	<i>S. minnesota</i> PMP-resistant, <i>S. typhii</i> PMP-sensitive <sup>207</sup>	<i>S. minnesota</i> lipid A induces PKC-dependent secretion, aggregation <sup>208–210</sup>		<i>S. typhimurium</i> infection induces thrombocytopenia, DIC in 1–2 days (rhesus monkey) <sup>211</sup> ; <i>S. minnesota</i> LPS induces DIC, biphasic thrombocytopenia, first wave complement-dependent (rabbits) <sup>212</sup>
<b>Shigella flexneri</b>			Shiga toxin binds specific glycosphingolipids (Luke blood group antigen) <sup>213</sup>	Shiga toxin associated with TTP, hemolytic uremic syndrome <sup>214</sup>
<b>Helicobacter pylori</b>				Cells extracts induce activation, aggregation in gastric microvessels (humans, mice; rats) <sup>89,215</sup> ; PAF-release contributes to aggregation (rats) <sup>216</sup>
<b>Pasturella</b>			Leukotoxin (RTX family repeats in toxin) activates aggregates at low doses, lyses (forms pores) at high doses (ruminants) <sup>217–219</sup> , modulates adhesion <sup>220</sup> ; O-sialoglycoprotein endoprotease cleaves GPIb, induces unresponsiveness to low doses of thrombin <sup>130</sup>	Infection induces platelet activation (cows) <sup>217</sup>
<b>Chlamydia spp.</b>				Upregulates TF-procoagulant activity on endothelial cells promoting platelet adhesion <sup>181</sup>
<b>Escherichia coli</b>	Hemolysin-positive strains activate PKC, increase $[Ca_i^+]$ , 12-HETE, serotonin release, induce aggregation; hemolysin-negative strains activate PKC only <sup>221</sup> ; <i>E. coli</i> cells induce aggregation in vitro, + TXA2 antagonist inhibits <sup>222</sup>	LPS activates NO/cGMP pathway, inhibits aggregation <sup>223</sup> ; inhibits PKC pathway, PLC <sup>116</sup> ; lipid A (LPS) activates PKC, serotonin release, induces aggregation <sup>117</sup> ; LPS-induced aggregation may require other blood cells <sup>224</sup> ; LPS-induced aggregation inhibited by triflavin <sup>123</sup>	LPS activates complement alternate pathway inducing lysis (rabbit) <sup>225</sup>	LPS induces DIC, modest thrombocytopenia (complement-independent) <sup>212</sup> ; LPS induces serotonin release, thrombocytopenia, pulmonary congestion (BALB/c mice) <sup>226</sup> ; LPS + TXA2 antagonist improves survival (shock), reduces thrombocytopenia, no pulmonary congestion (rats) <sup>222</sup> ; LPS thrombocytopenia, pulmonary/liver congestion RDGS-sensitive (baboon) <sup>123</sup> ; LPS + NO inhibitor increases pulmonary/renal congestion, intravascular congestion (pig) <sup>227</sup>

**Table 51.1.** (cont.)

Species	Whole cells	Surface macromolecules	Enzymes/toxins	Indirect mechanisms	Animals/humans
<i>Borrelia burgdorferi</i>	Aggregation-negative <sup>228</sup> ; bind platelets via $\alpha$ IIb $\beta$ 3 <sup>128,229</sup>				$\alpha$ IIb $\beta$ 3-dependent binding associated with virulence in humans <sup>230</sup>
<i>Neisseria meningitidis</i>					Sepsis causes microvascular thrombosis; LPS induces DIC <sup>231,232</sup> ; DIC associated with CD14, TF <sup>+</sup> platelet/granulocyte microparticles (generate thrombin in vitro) <sup>68</sup>
<i>Clostridium perfringens</i>			$\Delta$ -toxin attacks GM2-like gangliosides causing lysis <sup>233</sup> ; PLC induces aggregation <sup>104,113</sup> , ADP- and TXA2- independent, cAMP-dependent serotonin release <sup>234</sup> , Ca <sup>2+</sup> and PI hydrolysis-dependent <sup>235</sup> ; platelet-leukocyte aggregation mediated by GPIIb/IIIa upregulation <sup>236</sup>		Aggregation in mesentery (rat) <sup>237</sup>
<i>C. welchii</i>			PLC induces aggregation, phosphatidic acid production <sup>238</sup> , TXA2-independent aggregation, phosphatidic acid mobilizes Ca <sup>2+</sup> <sup>239</sup>		
<i>C. botulinum</i>			ADP-ribosyl-transferase C3 ADP-ribosylates 21–24 kDa GTP-binding proteins <sup>240</sup> identified as membrane and cytosol RhoA <sup>241</sup> , which regulates actin assembly, GPIIb/IIIa avidity during aggregation <sup>242</sup> , alters integrin ligation to actin <sup>243</sup> , inhibits myosin light chain phosphorylation and shape change (blocks rho/rho-kinase-dependent regulation of MLC phosphorylation <sup>244, 245</sup>		



<i>C. difficile</i>				Toxin A induces platelet-leukocyte aggregates in microvasculature, up-regulates P-selectin (rat) <sup>246</sup>
<i>Pseudomonas aeruginosa</i>	Induces aggregation <sup>27</sup> ; complement-independent secretion <sup>247</sup>		Lipase + phospholipase C catalyses 12-HETE release <sup>248,249</sup> ; PLC induces aggregation <sup>105</sup>	Disrupted cells induce aggregation, thrombocytopenia, pulmonary microthrombi in vivo (dogs) <sup>250</sup>
<i>Bacillus subtilis</i>	Rapidly killed by thrombocidin (PMP) <sup>138,251</sup>			PLC <sup>+</sup> strain induces thrombocytopenia, intravascular coagulation, pulmonary congestion <sup>252</sup>
<i>B. cereus</i>			Exoenzyme ADP-ribosylates 20–25 kDa GTP binding membrane protein (similar to exoenzymes from <i>C. botulinum</i> , <i>C. limnosum</i> ) <sup>253</sup>	
<i>B. thuringiensis</i>			PI-specific PLC induces release of glycoprotein fragment of GPIIb complex <sup>254</sup>	
<i>Flavobacterium ssp</i>		13-methyl-myristate (soluble) induces lysis, perturbation <sup>255</sup>		Sulfobacins A and B inhibit binding of VWF to receptor, ristocetin-induced aggregation <sup>256</sup>
<i>Fusobacterium necrophorum</i>	Virulent strains induce serotonin release, aggregation <sup>257</sup> ; aggregation associated with hemagglutination activity <sup>258</sup> , biovar A <sup>259</sup>	LPS biovar A induces aggregation (bovine) <sup>259</sup>		
<i>Serratia marcescens</i>	Inhibits aggregation of fresh, washed platelets <sup>260,261</sup>		Extracellular Zn <sup>2+</sup> -metalloprotease cleaves GPIIb, not GPV, attenuates responses to thrombin, VWF <sup>262–264</sup>	LPS induces DIC with biphasic thrombocytopenia, phase 1 complement-dependent (rabbits) <sup>212</sup>
<i>Prevotella intermedia</i>				LPS induces accumulation in lungs, rapid release of serotonin (mice) <sup>185</sup>
<i>Klebsiella pneumoniae</i>				LPS (low dose) induces complement-independent accumulation in lungs, complement-dependent anaphylactoid shock (mice) <sup>273</sup> ; cells induce DIC, shock death; course improved by hirudin (rats) <sup>265,266</sup>

**Table 51.1.** (cont.)

Species	Whole cells	Surface macromolecules	Enzymes/toxins	Indirect mechanisms	Animals/humans
<i>Bordetella pertussis</i>			Adenylate cyclase toxin (RTX family) cytolytic <sup>219</sup> ; increases cAMP inhibiting ADP-induced aggregation (rabbit) <sup>267</sup>		
<i>Yersinia pestis</i>		YopM (homology to thrombin-binding site of GPIb) inhibits thrombin-induced aggregation <sup>268</sup>			
<i>Y. pseudo-tuberculosis</i>	Induces aggregation <sup>269</sup>	Inv (103 kDa) interacts with GPIcIIa <sup>269</sup>			
<i>Leptospira</i>		LPS (strain variability) induces secretion, LPS (few strains) induce aggregation <sup>270</sup>		LPS induces PAF release from PMNs mediating aggregation <sup>271</sup>	Thrombocytopenia (DIC-independent) associated with activated endothelium promoting adhesion, aggregation <sup>272</sup>

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# Interactions of viruses and platelets and the inactivation of viruses in platelet concentrates prepared for transfusion

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## Introduction

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Viruses have long been causally linked, theoretically, with acquired idiopathic thrombocytopenia<sup>1</sup> and more recently have been associated directly with megakaryocytes and platelets during retroviral infections caused by the human immunodeficiency virus and human T-cell lymphotropic viruses<sup>2</sup>. While the pathophysiology of the association of these viruses with megakaryocytes and platelets remains incompletely understood, recent investigations with molecular methods have begun to elucidate some potential mechanisms of the complex interactions between viruses and platelets. This chapter will focus on the interactions of viruses and platelets that are associated with human platelet disorders. In addition, recent technology developed to inactivate viruses in platelet concentrates prepared for transfusion is of interest. This technology appears to be an important intervention to further improve the safety of transfusion, and also may well be a significant modality for prevention of platelet transfusion associated infections due to new viruses that may enter the donor population in the future<sup>3</sup>.

Substantial improvement in the safety of platelet transfusion has been achieved through addition of new tests, such as nucleic acid tests, yet residual risk persists in association with transfusion of platelet concentrates<sup>4</sup>. Transfusion of platelet concentrates has been implicated in the transmission of viruses, bacteria, and protozoa<sup>5</sup>. While it is commonly recognized that hepatitis B virus (HBV), hepatitis C virus (HCV), cytomegalovirus (CMV), and the retroviruses, such as human immunodeficiency virus (HIV) and the human lymphotropic viruses (HTLV) can be transmitted through cellular components, other viruses are emerging as potentially significant transfusion-

associated infectious agents<sup>3</sup>. More importantly, new infectious agents may periodically enter the donor population before they can be definitively identified and tested for to maintain consistent safety of the blood supply. The paradigm for this possibility is the HIV pandemic, which erupted in 1979. Another approach to improving the safety of platelet transfusion is the use of methods to inactivate potential contaminating viruses<sup>6</sup>. In order to develop effective viral inactivation methods, it is necessary to understand the interactions of viruses with platelets and to demonstrate that viruses can be inactivated in various platelet subcellular compartments. Since 1990 several methods to inactivate infectious pathogens in platelet concentrates have been developed and have entered the advanced clinical trial phase.

## Interactions of viruses, platelets and megakaryocytes

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Childhood acquired thrombocytopenia has long been associated with antecedent viral infections. Evidence based on viral isolates during the acute phase of these infections has been reported for infections due to varicella zoster virus, rubella virus, Epstein–Barr virus, influenza, and the human immunodeficiency virus type 1<sup>1</sup>. Several pathophysiological mechanisms have been postulated to explain the interactions of viruses with platelets in these frequently transient disorders. The most commonly asserted mechanism of action is the increased clearance of platelets by antibody directed against platelet glycoproteins and by circulating immune complexes potentially bound to platelet Fc receptors<sup>7,8</sup>. For example, the immunologic interactions induced by HIV-1 infection are

complex based on evidence demonstrating antibody directed against a platelet GPIIIa peptide (49–66); and purification of circulating immune complexes revealed the presence of an antiidiotypic IgM which was a blocking antibody directed against the anti-GPIIIa IgG<sup>8</sup>. Thus, infection with HIV-1 appeared to give rise to multifactoral autoimmune responses.

More recently, evidence has been presented to suggest that certain viruses may directly infect megakaryocytes and thus interfere with thrombopoiesis by unknown mechanisms. Zucker-Franklin et al. have shown that murine and human megakaryocytes cocultured with retrovirus infected cells resulted in the presence of virus, by ultrastructural analysis, in megakaryocytes and platelets<sup>9</sup>. These observations are consistent with earlier reports that after experimental infection with murine retrovirus, virus was found in megakaryocytes<sup>10,11</sup>. At least two laboratories have reported the presence of HIV nucleic acid sequences in megakaryocytes of infected patients<sup>12,13</sup>. Some workers have postulated that infection of megakaryocytes, perhaps mediated by CD4 molecules expressed by megakaryocytes, results in suppressed thrombopoiesis<sup>13</sup>. Subsequently, Zucker-Franklin et al. suggested that the prevalence of other human retroviruses in humans may be greater than expected when sensitive molecular methods are used<sup>2</sup>.

Additional evidence regarding the complex interactions of viruses with platelets has come from studies of HIV type 1 virus obtained from human infections. Lee and coworkers reported that platelets isolated from whole blood donations collected from HIV-1 positive asymptomatic donors contained high levels of HIV RNA after extensive washing and storage of platelets at both 4 °C and 22 °C<sup>14</sup>. While the washed platelets containing HIV-1 RNA did not demonstrate infectivity, platelets incubated in vitro with cell-free virus showed strong attachment and retained infectivity by in vitro assays. In combination with evidence that platelet concentrates from recognized HIV infected donors have transmitted HIV infections, and a recent report that platelets obtained from a donor prior to serologic conversion transmitted HIV infection, there is little doubt that HIV associated with platelets is infectious<sup>15</sup>. Moreover, the demonstration that the viral load in this implicated donor contained less than 40 copies of HIV per ml suggested that minipool nucleic acid-based detection strategies may not be sufficient to detect early stage infected blood donors<sup>15</sup>.

In addition to HIV, other experimental evidence suggests a more generalized association of pathogenic viruses with platelets and megakaryocytes. For example, early work with murine cytomegalovirus infections (CMV) indicated that this virus exerts a direct suppressive effect on thrombopoiesis of megakaryocytes<sup>16</sup>. Others have shown evi-

dence of direct infection by CMV of hematopoietic progenitor cells<sup>17</sup>.

Hantavirus infections are associated with acute severe thrombocytopenia and hemorrhagic clinical syndromes. Based on recent studies, hantaviruses have been postulated to regulate  $\beta$ 3-integrins which are critical adhesive and activation receptors on platelets<sup>18</sup>. These potential interactions may explain some of the pathophysiology due to hantavirus infection. The  $\beta$ 3-specific integrins appear to mediate entry of hantavirus into endothelial cells leading to development of the hemorrhagic fever with renal syndrome (HFRS) and the hantavirus pulmonary syndrome (HPS). Cells lacking the  $\beta$ 3-integrins are not permissive for hantavirus infection, and antibodies to the  $\beta$ 3-integrins block experimental hantavirus infection. The absence or abnormality of the platelet  $\beta$ 3 integrin complex GPIIb/IIIa is associated with a hemorrhagic syndrome, Glanzmann's thrombasthenia. The potential binding of hantaviruses to GPIIb/IIIa on platelets could interfere with platelet adhesion or potentiate platelet activation facilitating increased clearance of platelets or microvascular thrombotic events.

Bovine diarrhea virus (BVDV), a flavivirus frequently used as a model for hepatitis C virus (HCV), has been shown to be present in the platelets of experimentally infected calves<sup>19</sup>. This interaction of BVDV and bovine platelets may explain the hemorrhagic syndrome associated with this infection. More direct evidence of HCV interactions with platelets has come from molecular assays for detection of HCV nucleic sequences in platelets and megakaryocytes<sup>20</sup>. Thrombocytopenia is a frequent, although rarely severe, complication of HCV infection. Platelets from patients with HCV infection have been shown to contain HCV RNA sequences<sup>21,22</sup>. In further studies, Li et al. incubated serum from an HCV infected patient with a megakaryoblastic cell line derived from a patient with chronic myelogenous leukemia. The megakaryoblasts were positive for HCV RNA and minus strand HCV RNA, indicative of active infection<sup>20</sup>. Furthermore, HCV proteins, including core, envelope, and non-structural proteins NS3 and NS4 were identified in cell cultures by Western blotting, and virus particles were identified by electron microscopy in megakaryoblasts. These studies clearly indicate that HCV can infect and replicate in megakaryoblast cell lines and are consistent with demonstration of HCV nucleic acid sequences in the platelets of patients infected with HCV.

Parvovirus B-19 is well recognized to be associated with pure red cell aplasia and is not commonly associated with thrombocytopenia. Patients with combined anemia and thrombocytopenia have been reported, and one patient with both HCV and parvovirus B-19 documented infection

has been described with amegakaryocytosis which resolved after resolution of the parvovirus B-19 infection<sup>23</sup>. However, it remains unclear whether megakaryocytes or platelets were directly infected with the virus as documented for erythroblasts.

### Platelet transfusion associated viral infections

Currently, prevention of transfusion-associated viral disease depends upon predonation evaluation of potential donors followed by serologic testing for infectious pathogens including: human immunodeficiency virus (HIV-1 and -2), human T-cell lymphotropic viruses (HTLV-I), hepatitis B virus (HBV), and hepatitis C virus (HCV). Cytomegalovirus (CMV) screening is generally performed after blood collection, when CMV seronegative products are required. In addition to these agents, blood is tested for the syphilis pathogen (*Treponema pallidum*). Testing is not routinely done for parvovirus B19, hepatitis A virus (HAV), hepatitis G virus (HGV), hepatitis E virus (HEV), human herpes viruses (HHV-6 and HHV-8), Epstein-Barr virus, bacteria, or protozoa. Although continuing improvements in testing have greatly reduced the transmission of viral disease by labile blood components, viruses may still be transmitted because diagnostic tests may be insensitive during the 'window period' before sero-conversion<sup>15</sup>. Even direct tests for a virus, such as the hepatitis B surface antigen test, have a sensitivity threshold that allows contaminated components to escape detection. Recently, a case of HCV transfusion-associated transmission was documented despite single-sample nucleic acid testing with highly sensitive methods<sup>24</sup>. Similarly, a case of HIV transfusion-associated infection was reported during the window period with low virus copy numbers not detected by nucleic acid testing under conditions of minipool dilution<sup>15</sup>.

Prior to introduction of nucleic acid testing, estimates of the frequency of viral transmission due to transfusion of blood components, per donor, were 1 in 100 000 for HCV, 1 in 63 000 for HBV, 1 in 680 000 for HIV, and 1 in 641 000 for HTLV-I<sup>4,25</sup>. The aggregate risk of receiving a blood component contaminated with one of the viruses for which sensitive tests are in place has been estimated to be 1 in 34 000<sup>26</sup>. A recent US government report estimated that the average transfusion episode results in exposure to five donors<sup>27</sup>. Thus, for a transfusion episode, the risk of receiving a component contaminated with virus may be as high as 1 in 6800.

Bacterial contamination of platelet concentrates is a persistent problem due to room temperature (20°C–24°C)

storage for up to 5 days prior to use. Bacterial contamination may come directly from the donor or from an external source. Unlike viruses, a small number of contaminating bacteria can replicate to  $>10^7$  per ml after 5 days of storage. A wide variety of bacteria have been cultured from patients with transfusion-transmitted septicemia<sup>28,29</sup>. Although the number of reported cases of serious transfusion-transmitted sepsis is small, there are no routine laboratory tests to detect bacterial contamination of platelet units. Estimates of the frequency of bacterial contamination range up to 0.4% per platelet concentrate<sup>30</sup>. A 1991 survey by Morrow and coworkers identified bacterial contamination culminating in a septic response from 6 of 10 219 transfusions of pooled random donor platelets, a frequency of approximately 1 in 1700<sup>29</sup>. A prospective study of 3584 platelet transfusions in 161 bone marrow transplant patients demonstrated the risk of symptomatic bacteremia as 1 per 16 patients, 1 per 350 transfusions, and 1 per 2100 platelet units<sup>31</sup>. These frequencies are significant considering that over 8 million units of platelet concentrates are transfused annually in the United States alone<sup>32</sup>.

The logistics and costs of continued expansion of testing processes, for example nucleic acid testing, have been questioned<sup>25</sup>. Testing remains a reactive strategy to insure blood component safety, since new pathogens may enter the donor population before adequate tests can be implemented. Moreover, the sensitivity of all testing methods is limited inherently by the volume of blood that can be analysed. A complementary approach to improving the safety of blood component transfusion is inactivation of infectious pathogens in blood components by using a process that treats the entire blood component. For example, treatment of plasma fractions with the solvent detergent process has demonstrated the benefits of this approach<sup>33</sup>. A robust inactivation technology that is compatible with current blood component processing procedures offers the potential for improving transfusion safety beyond that achievable by testing. Moreover, a nucleic acid targeted technology capable of inactivating residual leukocytes may confer additional benefits due to inhibition of cytokine synthesis, lymphocyte proliferation, and antigen presentation. Donor leukocytes are associated with a variety of adverse immune events ranging in severity from febrile transfusion reactions to alloimmunization and graft versus host disease<sup>34,35</sup>. Although a number of measures have been implemented to reduce the likelihood of these adverse immune reactions, a robust nucleic acid targeted pathogen inactivation process offers the potential to inactivate all leukocytes, as well as infectious pathogens. Over the past decade a number of laboratories have reported investigations to apply pathogen inactivation technology

**Table 52.1.** Clinical trials of methods for inactivation of pathogens in platelet concentrates

Component	System	Design	Subjects	Primary endpoint	Phase
Single donor	S-59	Auto/5-day old <sup>c</sup>	23	Viability	Ia
Single donor	S-59	Auto/5-day old	10	S-59 Kinetics	Ib
Single donor	S-59	Auto/5 day old	16	Viability + CAD <sup>b</sup>	IIa
Single donor	S-59	Auto/5 day old	15	Viability + CAD + 2500 cGy	IIb
Single donor	S-59	Allogeneic <sup>d</sup>	42	Hemostasis	IIc
Buffy coat	S-59	Allogeneic <sup>d</sup>	103	Count increments	III
Single donor <sup>a</sup>	S-59	Allogeneic <sup>d</sup>	671	Bleeding	III

*Notes:*

<sup>a</sup> Phase I, II, and III trials of S-59 platelets have been completed and are discussed in more detail in the text.

<sup>b</sup> CAD, compound adsorption device for reduction of S-59 levels postillumination.

<sup>c</sup> Autologous donor platelets stored for 5 days before transfusion.

<sup>d</sup> Allogeneic donor platelets stored for up to 5 days before transfusion.

to platelet concentrates. These efforts have focused on several methods, one of which is now in advanced clinical trials (Table 52.1).

### Systems for inactivation of viruses in platelet concentrates

Considerable effort has been devoted to investigations to develop methods for pathogen inactivation in platelet concentrates (Table 52.2, Table 52.3). The potential processes can be divided into two basic groups: psoralens and photodynamic methods. The psoralen-mediated processes generally utilize nucleic acid targeted adduct formation, while the photodynamic processes rely on the production of active oxygen species as the primary mechanism for pathogen inactivation. The photodynamic methods generally do not provide sufficient pathogen inactivation and are associated with unacceptable levels of platelet injury<sup>36</sup>. Psoralen methods have been more extensively investigated and more progress has been made with psoralens than with the other systems.

Early investigations with psoralen mediated pathogen inactivation were conducted with 8-methoxy-psoralen (8-MOP) based on the history of prior human use to treat psoriasis and cutaneous T cell lymphoma<sup>37,38</sup>. These initial studies by Lin and coworkers established the principle of psoralen-mediated pathogen inactivation, but 8-MOP photochemical treatment was not a sufficiently rapid process for treatment of platelet concentrates in clinical use<sup>39,40</sup>.

Several laboratories have investigated the use of AMT, a synthetic psoralen with enhanced nucleic acid binding

**Table 52.2.** Psoralen methods used to inactivate infectious pathogens and leukocytes in platelet concentrates

Photoreactive agent	Target	Reference
8-MOP	fd, R17, FeLV, E. coli <i>S. aureus</i>	40
8-MOP	MCMV, FeRTV	55
8-MOP	HIV	56
8-MOP	DHBV	50
8-MOP	12 pathogenic bacteria	57
AMT	VSV	41
AMT	HIV	42,58
AMT	VSV, Sindbis	59
PSR-Br	Bacteriophage	60,61
S-59	Pathogenic bacteria	45
S-59	Leukocytes	46
S-59	HIV, DHBV, BVDV CMV, bacteria	45
S-59	HIV, bacteria	47

*Note:*

fd – bacteriophage, R17 – bacteriophage, MCMV – murine cytomegalovirus, FeRTV – feline rhinotracheitis virus, HIV – human immunodeficiency virus, HSV – herpes simplex virus, CMV – cytomegalovirus, VSV – vesicular stomatitis virus, FeLV – feline leukemia virus, Sindbis – Sindbis virus, 8-MOP – 8-methoxy-psoralen, AMT – aminomethyltrimethylpsoralen, PSR-Br – brominated psoralens.



**Table 52.3.** Photodynamic methods used to inactivate infectious pathogens in platelet concentrates

Photoreactive agent	Target	Reference
UVB	Poliovirus	62
Merocyanine 540	VSV	63
Merocyanine 540	HSV, MS2, F6	41
Methylene blue	Unspecified	64
Phthalocyanines	VSV	65
Riboflavin <sup>a</sup>	HIV, BVDV, PRV, PPV, HSV Vaccinia, Bacteria	66

*Note:*

VSV – vesicular stomatitis virus, MS2 – bacteriophage, F6 – bacteriophage, HSV – herpes simplex virus, HIV – human immunodeficiency virus, UVB – ultraviolet B light (280–320 nm), BVDV – bovine diarrhea virus, PRV – pseudorabies virus, PPV – porcine parvovirus, HSV – herpes simplex virus.

<sup>a</sup> Studies conducted in plasma: media mixture (90:10) without platelets.

efficiency<sup>41,42</sup>. While AMT has increased nucleic acid binding affinity compared to 8-MOP, it exhibits mutagenicity in the absence of light, and thus has an unfavourable toxicology profile. Several classes of new psoralens have been synthesized which offer potential advantages over AMT and 8-MOP. The halogenated psoralens do not appear to be sufficiently effective for viral inactivation and in preliminary studies demonstrate adverse effects on platelet viability<sup>43,44</sup>.

A new class of amino psoralens (Helinx Technology) has been synthesized and shown to be highly effective for inactivation of pathogenic viruses, bacteria and leukocytes in platelet concentrates during a 3-minute UVA illumination<sup>45,46</sup>. An integrated system (INTERCEPT Platelet System) using the lead compound, S-59, has been developed for large-scale production of platelet concentrates. This device consists of a series of interconnected plastic containers in a closed system for preparation of therapeutic doses of single donor and pooled platelets. The system contains an integral compound absorption device (CAD) to lower the post-treatment levels of residual S-59 and free S-59 photoproducts. In addition to inactivation of HIV and bacteria<sup>45,47</sup>, Lin and coworkers demonstrated that human platelet concentrates (300 ml) contaminated with high titres of HCV ( $10^{4.5}$ ) and HBV ( $10^{5.5}$ ) treated with the S-59 process did not transmit hepatitis after transfusion into naïve chimpanzees<sup>48</sup>. Importantly, both cell-free and cell-associated viruses were inactivated, including duck hepa-

titis B virus (DHBV) which is a stringent model for human hepatitis B virus<sup>49,50</sup>. Importantly, the complete inactivation of bacteria, which if viable can proliferate during platelet storage, is a rigorous demonstration that the entire platelet product has been treated. Other studies confirmed that these novel psoralens inactivated high levels of T cells, inhibited leukocyte cytokine synthesis during platelet storage, and inhibited nucleic acid amplification of small nucleic acid sequences<sup>46</sup>. More importantly, treatment of T cells with the S-59 process prevented transfusion-associated graft versus host disease in both immune competent and immune compromised models of murine stem cell transplantation<sup>46</sup>.

Helinx technology with the novel psoralen, S-59, has undergone extensive clinical study<sup>51</sup>. Phase I and II studies using Helinx-treated, 5 day-old platelets transfused in healthy subjects have shown adequate viability. In these studies, photochemically treated platelets were well tolerated during and after transfusion of full doses (300 ml,  $3.0 \times 10^{11}$  platelets). The therapeutic efficacy of Helinx-treated platelets was examined in a pilot study of profoundly thrombocytopenic patients. This clinical trial was designed to evaluate the hemostatic efficacy of S-59 treated platelet concentrates. In this study, transfusion of Helinx-treated platelet concentrates resulted in shortening of markedly prolonged cutaneous template bleeding times, and provided adequate platelet count increments and acceptable intervals to the next platelet transfusion<sup>52</sup>. The S-59 platelet concentrates were well tolerated.

Two randomized, controlled, blinded, clinical trials to determine the therapeutic efficacy of multiple transfusions of S-59 treated platelet concentrates have been completed. A European trial (*EuroSPRITE*) using pooled random donor platelets prepared by the buffy coat method enrolled 106 thrombocytopenic patients to receive up to 8 weeks of platelet transfusion support with either Helinx treated or standard platelet concentrates. One hundred and three patients were transfused during this study. The primary endpoint was the platelet count increment 1 hour after transfusion. Secondary endpoints included the platelet count increment 24 hours after transfusion, clinical hemostasis, refractoriness to transfusion, the intertransfusion interval and the frequency of acute transfusion reactions. This study demonstrated that platelet pools treated with Helinx technology and stored for up to 5 days provided count increments comparable to those of platelet pools not treated with pathogen inactivation<sup>53</sup>. Furthermore, Helinx treated platelets were comparable to untreated platelets with respect to transfusion intervals, refractoriness to platelet transfusion, the proportion of patients with major hemorrhage and safety.

A larger US study (*SPRINT*) enrolled 671 thrombocytopenic patients to receive up to 4 weeks of platelet transfusion support with either Helinx treated platelets or standard single donor platelets. The primary endpoint in the US trial is the proportion of patients with grade 2 bleeding during the period of platelet support<sup>54</sup>. The secondary endpoints include the proportion of patients with high grade bleeding, platelet count increments and the same spectrum of endpoints as in the European study. This study will be unblinded later this year.

## Conclusions

Viruses exhibit complex interactions with both megakaryocytes and platelets, and cause abnormalities of both platelet function and thrombopoiesis. These interactions occur by indirect immunologic mechanisms as a consequence of viral infection and via direct infection of megakaryocytes, and perhaps platelets. As a result of these interactions, these viruses give rise to hemorrhagic syndromes, and these viruses can be transmitted by transfusion of platelets. Considerable progress has been made in the development of technologies to inactivate infectious pathogens in platelet concentrates. These technologies have entered advanced clinical trials, and one platelet system has completed Phase III studies in Europe and the United States. These systems for inactivation of viruses in platelet products have the potential to markedly change the way in which platelet components are prepared and to further improve the safety of transfusion support of patients.

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## Platelets and parasites

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Probably due to their long common evolution with their hosts, parasites have developed an efficient strategy to escape the immune mechanisms their presence induces. Especially with helminths, these mechanisms have the advantage of limiting the infection at a level which favours the host, and therefore the parasite, survival. Three decades of research in the field of antischistosome immunity has revealed that a large panel of immune functions, involving nearly all immune cell types, several cytokines or cell mediators, and the participation of specific antibodies of various isotypes<sup>1</sup> are required for the rapid and effective killing of infective larvae. In this context, antibody-dependent cell cytotoxicity constitutes the mainstay of killing mechanisms against helminths, with a particular involvement of the IgE isotype. The cellular part of ADCC covers a large panel of inflammatory cells, from mononuclear phagocytes (macrophages<sup>2</sup> and monocytes<sup>3</sup>) to neutrophils<sup>4</sup>, eosinophils<sup>5</sup>, or blood platelets<sup>6</sup>. It is difficult to assign to each participant its actual importance in the defence strategy of the immune system. The *in vitro* and *in vivo* experiments have given a biased perspective of the involvement of each cell population, artificially amplifying their individual role. However, these investigations have allowed the demonstration of new functions for several inflammatory cell types, especially in the field of IgE responses, with a particular emphasis in allergic disorders.

This is particularly true for blood platelets, once thought to be exclusively involved in hemostasis and thrombosis, but now recognized as cells demonstrating a wide reactivity to soluble mediators and a complex metabolic behaviour beyond involvement in blood clotting or thrombus formation. Furthermore, the expression of IgE receptors on platelets gives a firm background to new concepts in the field of cell activation sustaining parasite immunity as well as inflammatory mechanisms. One striking example of the improvement in our knowledge of platelet behaviour is

probably the nature of the IgE receptor involved in their killing functions. For 15 years, we assigned to Fc $\epsilon$ RII/CD23, the low affinity receptor for IgE, the effector structure supporting their cytotoxic activity against schistosomes<sup>6</sup>. More recent experiments, linked to the availability of new immunological reagents, have evidenced that the structure accounting for that property was Fc $\epsilon$ RI, the high affinity receptor for IgE<sup>7</sup>. The interesting aspect of such a mistake is the crucial and fascinating information it gives to the interactions between both receptor types. This will be discussed further in the present chapter. The IgE-dependent stimulation of platelets is, however, far from being the exclusive way for platelets to fight against parasites. There are many reports in the literature displaying the participation of platelets in physiopathological manifestations of parasitic diseases. The aim of this chapter is to discuss the involvement of platelets in the immune mechanisms which deal with parasites.

### Thrombocytopenia

Among the features suggesting an involvement of platelets in parasitic diseases, the fall in platelet count is one of the best documented, particularly in protozoan infections. Thrombocytopenia is classically reported in malaria<sup>8</sup>. After anti-malarial treatment in a case of human infection by *Plasmodium vivax*, the level of platelets returned to normal<sup>9</sup>, and similar observations were made in mice infected with *Plasmodium berghei*, where chloroquine sulfate cured the malaria and restored the platelet count to preinfection levels after a severe thrombocytopenia during acute infection<sup>10</sup>. The role of platelets in infections by micro-organisms was already recognized in the 1970s when partially digested *P. vivax* material was seen in platelets<sup>11</sup>. Investigations in mice have also shed light on a

possible beneficial role of blood platelets in malaria as experimentally induced thrombocytopenia in chronic and acute *Plasmodium berghei* murine malaria led to a greater mortality of thrombocytopenic mice compared to the control group<sup>12</sup>. However, thrombocytopenia may also be the consequence of a platelet sequestration, which could contribute to pathogenesis, such as in murine malaria<sup>13,14</sup>.

### Platelet stimulation against parasites

Several triggering conditions are able to induce a platelet stimulation leading to the death of parasites. Besides IgE, other compounds have been shown to participate to platelet immune functions including PDGF, C-reactive protein, substance P, IFN $\gamma$ , TNF- $\alpha$  and  $\beta$ , thrombin and platelet-activating factor, IL-6 and C3b. Some antiparasitic drugs, such as diethylcarbamazine, may use platelets to kill their targets. In some situations, human platelets induce an in vitro inhibition of the growth of *Plasmodium falciparum* into erythrocytes without the apparent participation of specific antibody or components other than the parasite itself<sup>15</sup>.

### IgE

The primary demonstration of a platelet involvement in immunity to parasites was the IgE-mediated cytotoxicity<sup>6</sup>. Rats infected with *S. mansoni* presented an increasing thrombocytopenia during the first 6 weeks of infestation. The concentration of platelets was raised up to three times their number in uninfected animals, and simultaneously the IgE concentration was increased. In parallel with cytotoxic properties appearing progressively in platelets isolated from infected rats, with a maximum at the sixth week of infection, sera from these animals were able to induce cytotoxicity in platelets from normal rats. Similarly, in the human, platelets isolated from patients with schistosomiasis were able to kill young larvae of schistosomes in vitro, and their serum able to stimulate the same properties in platelets from healthy subjects. IgE was the active isotype in this process as shown by the adsorption of the various subtypes of immunoglobulins, leading to the inhibition of killing only after IgE depletion, or by the induction of cytotoxicity on normal platelets by IgE monoclonal antibody against *S. mansoni*<sup>16</sup>. Preincubation of normal human platelets with a large excess of unrelated myeloma IgE, but not with IgG, prevented the induction of effector functions by sera from infected individuals<sup>17</sup>. A close contact between platelets and parasites was not necessary since the killing could be obtained through a 0.2  $\mu$ m porosity

filter separating the effector cells from the targets. This implicated the generation of soluble cytotoxic mediators induced by the cross-linking of IgE by its specific ligand (parasite antigens for IgE from patients with schistosomiasis, allergens for IgE from allergic patients, or even anti-IgE antibody with IgE of various origins including myeloma IgE)<sup>18</sup>. Among the cytotoxic mediators, cationic proteins could be excluded, at least as essential factors, since platelets from patients with grey-platelet syndrome, which lack granules containing such proteins, killed schistosomes as effectively as did normal platelets<sup>19</sup>. Catalase and superoxide dismutase were good inhibitors of the platelet cytotoxic activity against schistosomes, emphasizing the hypothesis of a potential generation of oxygen-dependent free radicals<sup>20</sup>. IgE-stimulated platelets generated chemiluminescence in vitro, a process also suppressed by free radical scavengers. Aspirin and non-steroid anti-inflammatory drugs, inhibitors of cyclo-oxygenase, inhibited the IgE-mediated stimulation (cytotoxicity and chemiluminescence) of platelets. Platelets may also have a role in the extravasation and excretion of parasite eggs in schistosomiasis<sup>21,22</sup>. Suppression of platelet activity in *S. mansoni*-infected mice by a treatment with rabbit antimouse platelet serum or antiplatelet drugs induced a significant reduction of the parasite egg excretion.

Similar observations were made in filarial infections where normal rat platelets could be stimulated to kill *Dipetalonema viteae* microfilariae with IgE antibody in the serum of *D. viteae*-infected rats<sup>23</sup>. This antifilarial killing mechanism was neither stage nor species specific among filariae, in that microfilariae (L1) of *Brugia malayi* or of *Loa loa*, and infective larvae (L3) of *D. viteae* or of *B. malayi* were all killed by platelets incubated with anti-*D. viteae* IgE. Cross-reactivity among filarial antigens was the support of this widespread effect of *D. viteae* IgE. Platelets isolated from patients infected with *B. malayi* were also able to kill *B. malayi* microfilariae in vitro. As in the case of schistosome infections, platelets from normal donors acquired cytotoxic properties against the same targets in the presence of sera from filaria-infected patients, and the specific adsorption of IgE led to the abolition of cytotoxicity, strongly supporting the role of this isotype in the stimulating process<sup>24</sup>.

The induction of an IgE-dependent protective response in rat toxoplasmosis has also been reported<sup>25</sup>. During *Toxoplasma gondii* infection, developed in the nude rat model, a significant increase of serum IgE was demonstrated, correlating with an increase of IgE-positive platelets. The participation of surface IgE in this effect was emphasized by the fact that only platelets bearing surface IgE were able to express a significant cytotoxicity towards

tachyzoites in vitro, and a significant increase of the survival period when the cells were transferred to immunocompromized rats. It has also been reported that platelets can exert as strong a cytotoxic activity on *T. gondii* as cytotoxic T-lymphocytes, the release of thromboxane A<sub>2</sub> being a crucial factor in this cytotoxic process<sup>26</sup>.

### PDGF

IgE, however, is not the only potential inducer of platelet cytotoxicity. It was observed that tachyzoites of *Toxoplasma gondii* induced the activation of human platelets and that platelets mediated the inhibition of intracellular growth in a virulent strain of *T. gondii*<sup>27</sup>. The role of platelet-derived growth factor was demonstrated by the use of human recombinant PDGF and of antihuman PDGF antibody which partially reversed its effect. The PDGF action was only evidenced on parasite-infected fibroblasts, not on free tachyzoites.

### C-reactive protein

CRP, a major acute-phase component of inflammatory reactions, was shown to stimulate the secretion of cytotoxic mediators from normal platelets<sup>28</sup>. Normal rat platelets, incubated in rat serum recovered 24 h after the subcutaneous injection of turpentine, a known inducer of serum CRP, were able to kill schistosome larvae, whereas the serum either alone or in association with eosinophils or macrophages, had no activity in vitro on schistosomula. Human platelets treated with homologous serum obtained during an acute phase of inflammation or with purified C-reactive protein were able to kill the immature forms of the worm in vitro, whereas CRP-depleted serum was no more effective. Platelets treated with C-reactive protein were, furthermore, capable of conferring significant protection against schistosomiasis in transfer experiments. In radiolabelling experiments, it was demonstrated that 18% of the platelets in normal rats were CRP positive. These observations are in accordance with the fact that, in rats infected with *S. mansoni*, the concentration of C-reactive protein in the serum increases after the lung stage of infection and is at its highest at the time of terminal worm rejection. It is tempting to conclude that one feature of the worm rejection after week 4 of a primary infection in this species, which is non-permissive for schistosomes, is CRP and that platelets play a part in this process. Platelets from infected rats were larvicidal as early as day 14 after infection at a time when no antibody against schistosomes could be detected in the serum.

### Substance P

Sensory neuropeptides, such as substance P, appear as potent mediators of various immunological reactions, and inhibit or stimulate a wide range of functions of immune inflammatory cells<sup>29</sup>. Substance P (SP) and its carboxy-terminal fragment (AA 4–11) induce the cytotoxic activity of platelets towards schistosomula, by 90% and 40%, respectively, whereas the modified C-terminal SP, the acid-free SP, exhibits no effect on the platelets. The neuropeptide effect occurred at low doses ( $10^{-8}$  M), and was specific as shown by inhibition studies with a substance P antagonist, the D-SP. Binding data obtained after flow cytometry with FITC-SP led to the conclusion that SP binds specifically to about 20% of the homogenous population of platelets. Moreover, IgE could modulate the SP-dependent functions of platelets since the preincubation with myeloma human IgE leads to a dramatic decrease of the SP-dependent cytotoxic activity of platelets towards the larvae. These findings identify a potent mechanism for nervous system regulation of host defence responses.

### Lymphocyte mediators

If platelets appear as competent immune cells, their activity can be modulated by T lymphocyte factors. They can be activated by supernatants of antigen or concanavalin A-stimulated CD4<sup>+</sup> T lymphocytes. Two factors were identified in such supernatants: IFN $\gamma$  and TNF  $\alpha$  or  $\beta$ . For IFN $\gamma$ , its identity was shown by the neutralization of the induction of platelet cytotoxicity by a monoclonal anti-IFN $\gamma$  antibody and the direct induction of a cytotoxic effect on platelets by pure recombinant IFN $\gamma$  in a dose-dependent manner<sup>30</sup>. The presence of high affinity receptors for IFN $\gamma$  on the platelet membrane has been reported<sup>31</sup>. A high affinity receptor for IFN $\gamma$  has also been characterized on the surface of the human megakaryocytic cell line Dami<sup>32</sup>. In vivo experiments showed that the passive transfer of platelets preincubated with IFN $\gamma$  conferred to animals infected 24 h later a significant level of protection compared to the level obtained after the transfer of normal untreated platelets<sup>33</sup>. The preincubation of immune platelets recovered from infected rats with IFN $\gamma$  increased the level of protection normally obtained with immune cells alone. Similarly, an additive effect on platelet cytotoxicity was observed in vitro when IFN $\gamma$  and IgE were tested together on the cells. As shown by cytofluorometric analysis, this effect was due in part to the increase of the expression of IgE receptors on platelets<sup>34</sup>.

A second stimulating factor for platelets was identified in T-lymphocyte supernatants, after isoelectric focusing. This

activity was neutralized by anti-TNF monoclonal antibody<sup>35</sup>. Both recombinant TNF- $\alpha$  and TNF- $\beta$  mediated platelet cytotoxicity at very low concentrations and in a dose-dependent manner. An additive effect was observed in vitro between TNF- $\beta$  and IFN $\gamma$ . In vivo, TNF- $\alpha$ -stimulated platelets, transferred passively to normal rats, induced a significant level of protection against a challenge infection, not observed with platelets treated with IL-2, a lymphokine without stimulating properties for platelets<sup>36</sup>.

The inhibiting functions of T-lymphocytes could be shown with a platelet activity suppressive lymphokine (PASL), produced by mitogen-stimulated CD4<sup>-</sup>/CD8<sup>+</sup> lymphocytes, which inhibited the IgE-dependent platelet cytotoxicity<sup>24</sup>. Furthermore, antigen-specific T lymphocytes from *S. mansoni*- or *B. malayi*-infected patients generated a factor inhibiting the cytotoxicity of platelets from the same individuals against larvae of the corresponding parasites<sup>37,38</sup>. This suppressive lymphokine had a molecular weight of 15 000 to 20 000, a pI of 4.6, was heat and acid stable, sensitive to trypsin and proteinase K, but was insensitive to neuraminidase. It totally abolished the protection normally conferred against a challenge infection by the passive transfer of platelets from immune animals to normal rats by a preincubation of transferred platelets with PASL<sup>39</sup>. In an attempt to clone the PASL, a cDNA coding for ubiquitin was isolated. Like PASL, purified ubiquitin can inhibit the cytotoxic properties of platelets and the production of oxygen metabolites by these cells<sup>40</sup>. PASL and ubiquitin also share similar physicochemical characteristics (acid and heat sensitivity, stability) and show an absence of species specificity: human PASL was able to inhibit the effector functions of human, and also of rat and mouse platelets and vice versa. Purified bovine ubiquitin acts similarly on human, rat or mouse platelets. A binding structure for ubiquitin exists on blood platelets. The only discrepancy observed was that a rabbit polyclonal antibody directed against ubiquitin which neutralized the inhibiting activity of ubiquitin on platelets did not do so with lymphocyte supernatants. This could be due to determinants recognized on ubiquitin possibly absent or modified on PASL. Nonetheless, provisionally, one can accept that PASL probably belongs to the family of ubiquitins.

### Interleukin 6

A co-operation between monocytes and platelets for the killing of *S. mansoni* was also described<sup>41</sup>. Supernatants obtained after a 24 h adherence of normal human monocytes were able to induce, in a concentration dependent manner, the cytotoxicity of normal human platelets

against schistosomula in vitro. The physicochemical characteristics of the factor suggested a role for IL-6, detected in such supernatants, in the activity. The hypothesis was confirmed by the neutralization of the cytotoxic effect by a polyclonal serum against IL-6, whereas polyclonal sera against IL-1 $\beta$  or TNF- $\alpha$ , also present in the medium, were inactive. Recombinant human IL-6 induced the cytotoxic functions of platelets, demonstrating a direct effect of this cytokine on blood platelets.

### C3b

Investigations in mice infected with *Trypanosoma muscili* have shown an in vitro interaction between platelets and parasites, leading to the death of trypanosomes within a few minutes and their lysis<sup>42</sup>. The role of IgG antibody and C3b has been suggested in this mechanism<sup>43</sup>, as well as in the clearance of promastigotes from *Trypanosoma cruzi* from the blood stream by platelets<sup>44,45</sup>. The group of Gresele and colleagues has brought recent support to the participation of platelets in cytotoxic effects against *Trypanosoma equiperdum*, showing that this horse parasite, which can be used in experimental infections of mice, is killed in vitro by platelets, and that IgM (not C3) enhances platelet trypanocidal activity<sup>46</sup>. However the possibility that, in these infections, platelets could participate in pathology rather than induce protection must be kept in mind. This is true for Chagas disease<sup>47</sup> as well as for African trypanosomiasis<sup>48,49</sup>.

### Thrombin and platelet activating factor

We observed that, in vitro, thrombin and platelet-activating factor (PAF), two classical agonists of platelet aggregation, mediated either cytotoxicity at low concentrations or aggregation at higher concentrations, with no overlapping of the respective ranges<sup>50</sup>. These two effects could never be produced simultaneously. Killing functions and aggregating properties seem therefore to be two distinct mechanisms. It was also shown that triggering platelets with low concentrations of one aggregating agent, or with a cytotoxicity inducer (IgE), impaired very significantly the aggregation mediated by the other agent, ruling out receptor tachyphylaxis. These two activities appeared as distinct and antithetical. However, their induction might be the consequence of triggering the same receptors with different intensity, since PAF-induced, but not thrombin-induced, cytotoxicity could be inhibited with specific PAF-antagonists BN 52021 and BN 52024 also known to inhibit PAF-induced aggregation. The concept of a dual antinomic function of platelets is strengthened by



the possibility of inducing cytotoxic expression against schistosome larvae with purified platelet membranes stimulated with IgE/anti-IgE or IgE/allergen. Aggregation inhibited almost completely the IgE-dependent cytotoxic process, even with platelet membranes from disrupted aggregates, whereas membranes from disrupted unaggregated platelets could express significant cytotoxicity. Therefore, as these effects could neither be produced successively nor simultaneously, it implies a diversion in the metabolic processes leading to one or the other function. This is the reason why we have proposed the term of platelet 'stimulation' for the induction of cytotoxicity to avoid confusion with platelet 'activation' which commonly points to aggregation.

### Diethylcarbamazine

The mode of action of a 50-year-old antifilarial drug, diethylcarbamazine (DEC), has remained obscure despite widespread use and intensive investigations. The marked contrast between an extremely rapid action *in vivo* and the absence of any significant activity on microfilariae *in vitro* is unique among chemotherapeutic agents. We have reported that the effect of DEC could be mediated by blood platelets, with the additional triggering of a filarial excretory antigen (FEA)<sup>51</sup>. Platelets isolated from individuals treated orally with DEC were cytotoxic *in vitro* for microfilariae, and so were platelets from healthy donors treated *in vitro* with the drug. Fifteen days after oral administration, platelets were still active against microfilariae, whereas after 48 h free DEC was undetectable in sera, suggesting that the target of DEC was the platelet population and, more likely, their bone marrow precursors, as the effect of DEC outlasted the average platelet lifetime of 9–10 days. However, the observation that such platelets were inactive against schistosomula, unless microfilariae were introduced into the assay, suggested a specific signal coming from the target towards DEC-treated platelets. Support for this hypothesis came from experiments in which a filarial excretory antigen triggered the cytotoxicity against schistosomula incubated with DEC-treated platelets. Among the mediators of the killing process, oxygen free radicals have been proposed, since scavengers of activated oxygen species (sodium formate, mannitol, uric acid, sodium salicylate and sodium azide) inhibited the platelet killing activity. Other toxic intermediates were probably involved, such as lipoperoxides, as shown by the amplification of the killing by transition metals ( $\text{Fe}^{2+}$  down to  $10^{-11}$  M) and its abolition by iron chelators (*o*-phenanthroline).

All these stimuli probably triggered platelets by different pathways but achieved the same result: the generation of

molecules expressing cytotoxic properties for parasites. A common feature of these various signals was the induction of cytotoxic properties without aggregation, suggesting the stimulation of a platelet compartment different from that activated in the classical process of aggregation. This justifies the distinct denomination of stimulation and activation for these two opposite processes. We would now like to present the most surprising observation that these investigations have brought about during the last few years, in the field of the IgE-mediated stimulation of blood platelets.

### Two interactive receptors for IgE

#### $\text{Fc}\epsilon\text{RI}$ and CD23

It is obvious today that the investigations dedicated to immune mechanisms against the helminth parasite *S. mansoni* in mammals have led to the demonstration and to original functions of IgE receptors on inflammatory cells, giving them properties other than their exclusive participation to immediate hypersensitivity reactions linked to mast cells and basophils<sup>52</sup>. Over two decades, we have contributed research describing, on rat peritoneal macrophages, human monocytes and alveolar macrophages, eosinophils and blood platelets in rat and man, a low affinity receptor for IgE,  $\text{Fc}\epsilon\text{RII}$ , later on recognized as CD23. The binding of parasite-specific antibodies to the surface of these cells mediated cytotoxic properties for larvae of the pathogen *in vitro* and also *in vivo* as shown by the protection conferred to naïve recipients by the transfer of cells loaded with parasite-specific IgE. Very similar observations, particularly with blood platelets, were reported during that period against other metazoan<sup>23</sup> and protozoan parasites<sup>25</sup>.

It is important to underline here that, if effector functions of inflammatory cells mediated by IgE are expressed through the killing of schistosomula and the larvae of *S. mansoni*, the triggering process for the cell stimulation is not necessarily provided by antiparasitic IgE. Inflammatory cells from allergic patients, bearing allergen-specific IgE, generate antischistosome cytotoxic mediators if the specific allergen is introduced into the assay. This means that, if the activating signal is specific, i.e. IgE + specific allergen, its expression is not, i.e. death of parasites unrelated to sensitizing IgE. Furthermore, cells from normal individuals may be sensitized *in vitro* with IgE from allergic or parasitized patients and then behave as cells isolated from individuals with the corresponding pathology.

During that period, the availability of polyclonal and monoclonal antibodies limited only to the Fc $\epsilon$ RII/CD23 receptor led us to assign to that receptor the structure responsible for the binding, and therefore for the effector function of IgE on inflammatory cells. Such a conclusion was drawn from the observation that anti-CD23 antibodies inhibited both the binding of IgE on the concerned cells and the cytotoxicity they expressed *in vitro* against parasites. That concept now appears to be partially wrong, since in the last decade it has been demonstrated that when specific antibodies to the human high affinity receptor for IgE, Fc $\epsilon$ RI, were made available, such antibodies were also able to influence platelet function. Fc $\epsilon$ RI, and mRNA for its three different chains, were found on the same inflammatory cells: mononuclear phagocytes<sup>53,54</sup>, eosinophils<sup>55</sup>, blood platelets<sup>7,56</sup>, as well as on Langerhans and dendritic cells<sup>57,58</sup>. Furthermore, the results obtained with eosinophils and platelets conferred to the high affinity receptor for IgE the status of the actual effector structure, until then attributed to CD23. We had therefore to understand why we misinterpreted the inhibitory effects of anti-CD23 antibodies in the killing functions of platelets, if really Fc $\epsilon$ RI was doing the job.

Recently, blood platelets led us to bring the beginning of an explanation to this apparent contradiction on the respective role of CD23 and Fc $\epsilon$ RI in the IgE-mediated cytotoxicity. As indicated above, polyclonal and monoclonal antibodies to CD23 inhibited the binding of IgE to platelets and the cytotoxicity expressed *in vitro*. One detail was nevertheless surprising: if the cross-linking of adjacent receptors, loaded with IgE, by specific antigens was the starting signal for the cytotoxicity, why could none of the anti-CD23 used alone mimic the process, when their divalence should have induced the killing effect. In 1994 we could use a monoclonal antibody directed towards the binding domain of IgE on the alpha chain of the Fc $\epsilon$ RI (mAb 15-1, produced by Jean-Pierre Kinet, Boston). Besides the demonstration of the presence of this receptor on platelets, this antibody inhibited the binding of IgE to platelets, its Fab monovalent fragment inhibited their IgE-induced cytotoxicity, and, above all, the antibody, either in its IgG form or in its F(ab')<sub>2</sub> form, triggered the effector function of platelets on parasites. We had got finally an antibody able to mimic the stimulation process of an allergen-dependent cross-linking of adjacent IgE receptors, as observed for decades in the degranulation of mast cells and basophils. Last but not least, the anti-CD23 antibody inhibited the platelet cytotoxicity induced by divalent anti-Fc $\epsilon$ RI, as efficiently as it inhibited the cytotoxicity induced by IgE. The inhibition could be obtained if anti-CD23 was added to the platelet before or at the same time as anti-

Fc $\epsilon$ RI or IgE, but not after. The conclusion, for blood platelets, is that the stimulation of effector functions by IgE, generating cytotoxic mediators, is linked to the high affinity receptor for IgE, Fc $\epsilon$ RI, and that the low affinity receptor, CD23, exerts a regulatory role on the effector functions of the former. Investigations carried out these last few years have brought some light on the underlying mechanism.

### **The regulatory role of CD23 on Fc $\epsilon$ RI signals via inhibitory phosphatases**

In its intracytoplasmic N-terminus, human CD23 presents an immunoreceptor tyrosine-based inhibitory motif (ITIM), which could be involved in the inhibitory effect observed with anti-CD23. On the basis of the known amino acid sequence of human CD23, we synthesised a tridecapeptide containing the inhibitory motif (Tyr-Ser-Leu-Leu or Y-S-L-L). Its lysine-phosphorylated form (Y-S-L-pL), which is produced during the physiological activation of CD23, is able to capture, in a human platelet lysate, a phosphatase which plays a part in the inhibition of transduction signals of activated cells. This phosphatase, called SHIP (for SH2-Inositol-5-Phosphatase) blocks calcium influx in the activation process of cells. It seems that two other inhibiting phosphatases, which dephosphorylate other links of the transduction cascade, SHP-1 and SHP-2, are not captured by the phosphorylated ITIM of CD23. If these preliminary observations are confirmed, they bring a new light on the role of SHIP, the presence of which in platelets has been reported recently, but without a defined function until now in platelet stimulation or aggregation.

A second element opens interesting perspectives to the understanding of CD23-Fc $\epsilon$ RI interactions. We observed that soluble CD23 could inhibit the cytotoxic properties of platelets mediated by IgE or by anti-Fc $\epsilon$ RI. The inhibition was as strong as that obtained with anti-CD23 antibody, with very low concentrations of soluble CD23 (25 pg/ml). Soluble CD23 was unable to inhibit a non-IgE dependent pathway of platelet stimulation, also described in our laboratory, induced by thrombin. The effect of soluble CD23 seems therefore limited to IgE functions of platelets. The problem is to define the binding structure on platelet surface on which soluble CD23 exerts its inhibitory effect. Human CD23 bears, in its C-terminal part a DGR peptide (reverse of RGD) susceptible to bind lectins. We had the opportunity of getting mutant soluble CD23, devoid of DGR sequence. This RGD-free soluble CD23 lost its inhibiting activity on the cytotoxic functions of platelets induced by IgE or anti-Fc $\epsilon$ RI. This sequence is therefore potentially involved in the binding of CD23 to a platelet

structure leading to inhibitory action (CD11b? CD21? Other?). It is not demonstrated that both mechanisms, that induced by anti-CD23, and that induced by soluble CD23, are based on the same patterns. One hypothesis would be that anti-CD23, by preventing IgE binding to CD23 and therefore stabilizing CD23 against autocatalysis, would increase the release of soluble CD23 or even induce it, leading to the inhibition observed. If this mechanism has some correlate in other cells implicated in immediate hypersensitivity, especially mast cells and basophils, it might open interesting new approaches in the treatment or prevention of allergic disorders.

### A general feature of the platelet metabolism in IgE-dependent reactions

We would like to conclude this overview by mentioning the extensions that the anti-parasite immunity supported by platelets have gained in other fields of human and experimental pathology. The most valuable opening of our observations was that directed towards allergic diseases, with the concept of a potential direct involvement of these blood elements in either stage of the physiopathologic mechanisms of IgE-mediated allergy (especially allergic asthma to inhaled allergens or systemic anaphylactic reactions to hymenoptera venom) and drug-induced intolerance (such as aspirin-induced asthma). Another in this volume illustrates this aspect. The whole of these investigations results in the definite integration of blood platelets into the complex network of cell interactions in various immunological and inflammatory reactions or disorders. Such a benefit alone is worth the decades of research on that topic.

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## Platelets and tumours

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### Introduction

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Despite pioneer reports in the nineteenth century<sup>1,2</sup> the interactions between the components of the hemostatic system and cancer have so far been studied without a really integrated approach and no true experimental–clinical cross-talk has been established.

Tumour growth and dissemination is a multistep process which goes from oncogenic transformation to progression and local growth, vascularization, detachment of tumour cells from the primary and invasion of capillary or lymphatic vessels, transport, arrest in capillary beds, adhesion to vessel wall, extravasation and growth at distant sites<sup>3</sup>. All these steps involve different interactions with the components of the hemostatic system, which have been clarified mainly in *in vitro* approaches during the past 30 years<sup>4–7</sup>. Tumour cells have been demonstrated as the source of procoagulant, fibrinolytic, platelet aggregation activities, adhesion processes with leukocytes and endothelial cells, and interactions with tumour-associated macrophages. In particular, clinical and experimental evidence suggests that blood platelets are involved at several steps of the tumour dissemination cascade<sup>8,9</sup>. In this sequence of events, the adhesion of tumour cells to the vessel wall seems to be favoured by the gathering of activated *platelets* in the surroundings. More than 40 years ago, platelet–tumour cell emboli were observed in microcinematography experiments in rabbits and human pathological specimens, suggesting the possible involvement of platelets in tumour dissemination<sup>10</sup>. The discovery that thrombocytopenia, induced by antiplatelet serum or neuroaminidase treatment, reduced experimental metastasis in mice, further supported this hypothesis<sup>11,12</sup>. Since then, various antiplatelet drugs have been used for metastasis treatment and the interactions between cancer cells and platelets have been repeatedly investigated<sup>8,9,12,13</sup>.

However, neither the determinants of platelet involvement in metastasis nor the mechanisms underlying these interactions have been completely clarified.

It is the purpose of this chapter to revisit some of the platelet–tumour cell interactions established in the early studies with the perspective of more recent acquisitions, mainly in terms of platelet/leukocyte/endothelial cell receptors and cross-talk, a field which has rapidly grown since the 1980s.

While 40–50 years ago platelet–tumour cell interactions were probably the first example of cell–cell interactions described in some detail, we are now in a position to follow the process of tumour cell arrest and extravasation with models taken from the sequence of events involving leukocyte recruitment, arrest and tissue penetration at an inflammatory site<sup>14</sup>.

The study of platelet/tumour cell interactions may have a dual implication: on one hand, platelet behaviour may be modified by tumour growth, as part of the host response to the tumour, on the other, platelets may play themselves a pathogenetic role in tumour growth and dissemination.

### Platelet changes associated with malignancy

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The involvement of blood platelets during growth and dissemination of tumours may be mainly inferred from experimental studies; such studies have used different models and the results obtained may vary greatly, depending on the type of tumour and the route of administration of tumour cells<sup>4,15</sup>. Among the models of metastasis that have been developed, two have been more extensively studied in experimental animals.

(i) The intravenous (i.v.) injection of tumour cells in mice, which leads, within some weeks, to development of tumour nodules in the lung. This model is also referred

to as 'artificial' metastasis, since huge amounts of tumour cells are given to a previously healthy animal in the absence of all those metabolic and biochemical changes generally associated with the growth of a solid tumour in clinical conditions. On the other hand, this model more closely mimics metastasis formation which may occur following sudden spreading of tumour cells in the circulation of patients undergoing surgical manipulations for tumour removal.

- (ii) The subcutaneous (s.c.) or intramuscular (i.m.) injection of tumour cells, which leads to the growth of a primary tumour at the site of implantation and to the subsequent dissemination to distant organs, where metastatic nodules may grow. This model is termed 'spontaneous' metastasis, since its development mimics more closely, than that of the lung colony model, the clinical evolution in patients with solid tumours from which tumour cells gradually migrate to distant organs.

The involvement of the host's hemostatic system, as well as the response to pharmacological modulation (see later), may be completely different in these two models.

We shall give some examples for this concept, taken from the experience our group has gathered mainly in murine tumours, such as the Lewis Lung Carcinoma, widely used for preclinical chemotherapy studies both in Europe and in the USA.

When tumour cells are injected i.v. in mice ('artificial model'), a marked thrombocytopenia occurs as a part of an intravascular coagulation syndrome, characterized also by low fibrinogen levels and by high levels of fibrinogen degradation products. Thrombocytopenia can be detected 2–5 minutes after tumour cell injection and is rapidly reversible. The fall in platelet count is presumably due to platelet aggregation in response to thrombin, developing from the procoagulant activities of tumour cells. Tumour cells can induce *in vivo* thrombocytopenia independently from their direct platelet aggregatory properties; moreover, *in vivo* platelet consumption can be prevented not only by antiplatelet agents, but also, and even better, by the treatment of animals with the anticoagulant warfarin<sup>16–18</sup>. Tumour cell-induced thrombocytopenia does not occur in mice with a congenital deficiency of platelet function due to defective content of their dense granules, the so-called 'beige' mice<sup>8</sup>.

The above-mentioned changes are very short-lived and are reversible within 1 hour of tumour cell injection. The subsequent development of lung colonies is not accompanied by any hematological changes; normal platelet and red blood cell counts and fibrinogen levels are measured during the growth of 'artificial' metastases<sup>15</sup>.

In contrast, several changes occurring during 'spontaneous' metastases have been described in the Lewis Lung Carcinoma, the JW sarcoma and the mFS6 fibrosarcoma in mice<sup>16,19,20</sup>. The platelet changes occurring during development of Lewis Lung Carcinoma implanted *i.m.* have been extensively studied<sup>16</sup>. Mild intravascular coagulation, with microangiopathic hemolytic anaemia, thrombocytopenia and raised fibrinogen levels with increased fibrinogen turnover were observed during tumour growth. Thrombocytopenia was not accompanied by increase in platelet turnover nor by changes in the splenic pool; bone marrow examination and indirect pharmacological data further confirmed that it was rather due to impaired platelet production than to enhanced intravascular consumption. Thrombocytopenia was not abolished by chronic treatment with antiplatelet or anticoagulant agents; the lack of a clear pathogenetic link with metastasis formation was suggested also by the observation that tumour-bearing animals treated with warfarin showed a marked inhibition of metastases<sup>15,16</sup>. In conclusion, thrombocytopenia appeared to be related to the presence of the primary tumour as also indicated by normal platelet counts in mice whose primary tumour was surgically removed when metastatic growth had already started<sup>15</sup>. It is thus conceivable that thrombocytopenia under these conditions could be favoured by the release of some, as yet undefined, toxic factors from the primary tumour, which would exert a myelotoxic effect.

Since the 1980s new experimental systems have been used to study the biological processes related to cancer metastasis: in particular, 'metastatic variants', *i.e.* sublines obtained through *in vitro/in vivo* passages from the same parental line, characterized by marked differences in their metastatic potential in either spontaneous or artificial models of dissemination, have been developed<sup>3</sup>. We studied the platelet involvement in mFS6 fibrosarcoma, a benzopyrene-induced tumour, and in several metastatic sublines derived from its lung metastasis nodules; the potential for spontaneous metastasis of the sublines ranged from 0 to 100% incidence of lung nodules. Gradual thrombocytopenia developed (until about 30% of starting levels) in all the sublines studied, whatever the occurrence of metastasis, thus reinforcing the concept that the observed platelet drop in murine tumours is not related to metastatic growth. Moreover, in the same model, platelet drop could not be ascribed to intravascular platelet consumption, since it was observed both with sublines able to induce *in vitro* platelet aggregation and with those devoid of such property<sup>18,20,21</sup>.

Some interest has been devoted to the blood platelet involvement during growth of human tumours implanted

in athymic 'nude' mice. We studied the occurrence of hemostatic changes in mice implanted with several lines of human melanoma or colon carcinoma, but we did not find marked signs of platelet drop, in comparison with the above-mentioned changes in murine tumours<sup>22</sup>.

In clinical conditions there is no consistent picture of platelet involvement associated with solid tumours and/or chemotherapy; patients with metastatic cancers may exhibit increased platelet activation as also indicated by enhancement of platelet turnover and decrease in platelet survival time<sup>9</sup>. These platelet abnormalities may be due to greater degree of destruction of lysosomal membrane fragments and to involvement of platelets in the low-grade intravascular activation of clotting detectable in the majority of cancer patients<sup>4,7</sup>. Increased factor VIII antigen, ristocetin cofactor and enhanced ADP-induced platelet aggregation have all been shown *in vivo* and reduced sensitivity of platelets to prostacyclin has also been reported. Patients with acute malignant disease may have elevated levels of  $\beta$ -thromboglobulin and platelet factor 4<sup>23</sup>. Circulating activated platelets have also been demonstrated in cancer patients by detection of the platelet membrane antigens CD62 and CD 63<sup>24</sup>. More recently, a higher platelet count within the preoperative laboratory screening of patients with colorectal cancer has been reported in association with a poor prognosis<sup>25</sup>.

## Role of platelets in cancer growth and dissemination

### Platelet–tumour cell interactions: *in vitro* studies

As already mentioned, these represent the vast majority of data available on the subject, since platelet interaction studies have been conducted either on tumour cell lines established in culture, or freshly harvested and disaggregated from experimental tumours and surgical specimens of human tumours or on extracts prepared from malignant tissues.

### Tumour cell-induced platelet blood aggregation

Tumour cells can induce platelet aggregation *in vitro*, as first described by Gasic et al.<sup>26</sup> and then by several other authors<sup>8,9,27,28</sup>. This phenomenon may be due either to shedding of microvesicles containing lipoproteins and sialic acid from tumour cells, or to generation of thrombin via the procoagulant activity of tumour cells or to leakage of ADP from the cells. The ability of tumour cells to induce *in vitro* platelet aggregation has been correlated with their invasiveness

**Table 54.1.** Platelet-released products possibly involved in promotion of cancer growth and dissemination

Growth factors	Cytokines and chemokines	Large adhesive proteins and receptors	Protease inhibitors
PDGF	PF-4	Fibrinogen	PAI-1/PAI-2
TGF $\beta$	$\beta$ TG	von Willebrand factor	$\alpha_2$ -antiplasmin
EGF	PBP	Fibronectin	TAFI
ECGF	IL-1	Thrombospondin	
HGF	VPF	P-selectin	
VEGF	Cytokine RANTES		
Others	Others		

*in vivo*: a positive relation between the aggregating capacity and the metastatic potential has been proposed by several authors<sup>18,29,30</sup>, while others have questioned this relationship<sup>31,32</sup>. Grignani and Jamieson observed that tumour cell ability to release ADP was irrelevant for tumour cell dissemination<sup>32</sup>. In contrast, Lampugnani and Crawford<sup>33</sup> found that highly metastatic tumours preferentially induced platelet aggregation through thrombin generation. Moreover, the presence of membrane glycoproteins immunologically related to the platelet glycoprotein (GP)IIb–IIIa on tumour cells has been associated with the tumour cell ability to induce platelet aggregation<sup>34</sup>. Further data indicate that  $\alpha$ -thrombin activates the GPIIb–IIIa or  $\alpha 2b\beta 3$  receptors on tumour cells and that this process mediates tumour cell-induced platelet aggregation and increases lung colony formation in mice<sup>35,36</sup>.

### Platelet-released products

Activated platelets release the components of their alpha granules, such as growth factors, cytokines, chemoattractants, adhesive proteins and proteolytic enzymes<sup>9,37,38</sup>. Some of these factors are involved in the metastatic process (see Table 54.1).

Two mitogenic factors were originally discovered in platelets: platelet-derived growth factor (PDGF) and transforming growth factor beta (TGF $\beta$ ). Both factors promote cell migration, stimulate cell proliferation, and induce secretion of collagenase and of extracellular matrix proteins. Epidermal growth factor (EGF), endothelial cell growth factor (ECGF) and hepatocyte growth factor (HGF) were also found in platelets<sup>9,37,38</sup>. The latter factors seem to have more selected targets, such as the ability to promote angiogenesis.



Platelets release IL-1<sup>39</sup> and the cytokine RANTES<sup>40</sup> from their alpha granules. IL-1 and other cytokines released from platelets modulate the activation of the endothelium and take part in malignant progression<sup>41</sup>. A vascular permeability growth factor (VPF) was also discovered in platelets<sup>42</sup>.

Platelet factor 4 (PF4),  $\beta$ -thromboglobulin ( $\beta$ -TG) and platelet basic protein (PBP) are specific peptides, present in platelet  $\alpha$  granules whose biological functions have recently been re-evaluated. PF4 was known as the most potent heparin neutralizing agent and as a powerful chemoattractant for fibroblasts, neutrophils and monocytes<sup>43</sup>. On the other hand, recombinant PF4 devoid of anti-heparin activity showed angiostatic effects and inhibitory activity in experimental models of metastasis in mice<sup>44</sup>. PF4,  $\beta$ -TG and PBP are members of the family of cysteine-x-cysteine (c-x-c) chemokines or intercrines that are peptides with chemotactic properties<sup>45</sup>. Finally, platelets release large adhesive proteins, such as fibrinogen, von Willebrand factor, fibronectin and thrombospondin, whose functions will be discussed later in this chapter; platelets also release inhibitors of the fibrinolytic system, such as plasminogen activator inhibitor-1 (PAI-1) and alpha2-antiplasmin and other protease inhibitors possibly involved in tumour invasion and metastasis<sup>37,46,47</sup>.

### Platelet-mediated tumour cell adhesion and metastasis: revisited

Tumour cells show a selective organ preference to establish metastases<sup>48</sup>, but the mechanisms underlying this selection are largely unknown. The adhesion of tumour cells to the vessel wall is stimulated by membrane antigens present on activated platelets<sup>49,50</sup>. Bastida et al.<sup>51</sup> found that tumour cell adhesion induced by platelets was increased by high shear forces and inhibited by peptides active as competitors of cell adhesion to fibronectin.

Platelets and leukocytes can mediate the adhesion of tumour cells to the endothelium and to the extracellular matrix through the activation of specific adhesive receptors and the release of cytokines and chemokines<sup>52</sup>. Inflammatory cytokines would activate endothelial cells to a proinflammatory and a procoagulant state, thus facilitating tumour cell adhesion<sup>53,54</sup>. IL-1 seems to play a special role in this process, since it stimulates procoagulant activity, synthesis of PAI-1, release of prostacyclin and exposure of adhesive receptors on endothelial cells<sup>53</sup>. This cytokine, similarly to TNF, can exert a prometastatic effect in human and murine tumour models, as shown by Bani et al.<sup>55</sup>. Such an effect could be ascribed to IL-1-induced enhancement of adhesive interactions between tumour cells and the vascular wall<sup>56</sup>.

Activated platelets, as mentioned, release large adhesive proteins, such as fibrinogen, von Willebrand factor, thrombospondin, fibronectin and P-selectin<sup>38</sup>. Fibrinogen and von Willebrand factor have a physiological role for platelet aggregation, adhesion and activation. Their involvement in the process of tumour dissemination is secondary to the interaction of tumour cells, platelets and endothelium with specific receptors, which will be discussed later on. Very recently, the role of fibrinogen as a determinant of the metastatic potential of circulating tumour cells has been re-evaluated with the help of genetically deficient models<sup>57</sup>. Rather than merely representing an important component of the tumour stroma, fibrinogen was found to be crucial to sustain adhesion and survival of tumour cells within the lungs with mechanisms not completely understood. Fibrinogen is known to support cell-cell adhesion through integrin and non-integrin receptors. The hypothesis that soluble fibrinogen and platelets contribute significantly to the exit of tumour cells from the vasculature is consistent with findings that fibrinogen and platelet-mediated adhesion may be important in stable leukocyte adhesion and transendothelial migration<sup>58</sup>. Thrombospondin (TSP), a protein originally discovered in platelets, is endowed with the ability to stimulate adhesion, migration and proliferation of normal and tumour cells<sup>59</sup>. This large adhesive protein seems to play a role in tumour dissemination, as suggested by the observation that TSP enhances the adhesion of human melanoma cells<sup>60</sup> and increases the number of pulmonary metastases, when injected together with B16 melanoma cells in mice<sup>61</sup>. Synthetic peptides mimicking the COOH terminal of TSP inhibit platelet aggregation, compete for tumour cell adhesion to substrates and reduce artificial metastases in experimental tumour models<sup>62</sup>.

The requirement for platelets to adhere to tumour cells, in order to elicit a prometastatic potential was recognized several years ago<sup>63</sup> and the role of specific adhesive receptors as molecular bridges of this interaction has been defined.

### The role of $\alpha$ IIB $\beta$ 3

In their original report Karpatkin et al.<sup>63</sup> were able to reduce in vivo pulmonary metastasis of a colon carcinoma cell line and in vitro tumour cell-platelet binding by monoclonal antibody blockade of platelet  $\alpha$ IIB $\beta$ 3. In this study a polyclonal anti-von Willebrand antibody also reduced metastatization, suggesting that von Willebrand factor, binding  $\alpha$ IIB $\beta$ 3, bridges tumour cells and platelets. In agreement with this result, platelet interaction with melanoma cells was prevented by a monoclonal antibody against the platelet integrin<sup>64</sup>. Tumour cells may actually themselves express  $\alpha$ IIB $\beta$ 3-like complexes;  $\alpha$ IIB $\beta$ 3 receptors have been found

in different types of human and animal tumours<sup>34,65,66,67</sup>. The presence of  $\alpha\text{IIb}\beta 3$  appeared to be positively related with the platelet aggregating ability in a series of B16 melanoma cell lines with different metastatic activity<sup>28</sup>.  $\alpha_v\beta 3$  is also present in melanoma cells where it appeared to be a marker of malignancy, since it was associated with invasive and metastatic behaviour<sup>68</sup>. Most notably, when the expression of the  $\beta 3$  subunit was examined in benign and malignant lesions of human melanocytes, it was found to be restricted to malignant lesions in vertical growth phase and in metastatic melanomas, suggesting that the presence of this integrin may be important in the development of tumour invasiveness<sup>69</sup>. In vitro experiments showed that  $\alpha_v\beta 3$  in melanoma cells mediates melanoma cell attachment to activated platelets under flow in a platelet  $\alpha\text{IIb}\beta 3$ -dependent manner<sup>70,71</sup>. In addition to melanoma, in other malignancies, including glioma<sup>72</sup>, and ovarian and breast cancer<sup>73,74</sup>, expression of  $\alpha_v\beta 3$  in tumour cells correlates with tumour progression.

In circulating normal cells, such as platelets and leukocytes, integrins are in an inactive state. They acquire the ability to bind the ligand and to sustain a shear resistant cell adhesion to the vascular wall as a consequence of cell activation by extracellular stimuli. As for other integrins, the adhesive function of  $\alpha\text{IIb}\beta 3$  as well as  $\alpha_v\beta 3$  is functionally regulated by the cell<sup>75</sup>. This important aspect of integrin biology may also be relevant in the process of tumour cell adhesion and invasion. Using a monoclonal antibody specifically binding an activation epitope of  $\alpha\text{IIb}\beta 3$ , it was found that metastatic melanoma cells express the integrin in the high affinity state<sup>76</sup>.

More recently Felding-Habermann et al.<sup>77</sup> showed that not only activated  $\alpha_v\beta 3$  was expressed by breast cancer cells isolated from human metastasis, but also that only cells expressing the activated state of  $\alpha_v\beta 3$  were able to arrest on platelets, during blood flow in vitro, and promoted metastasis in a mouse model in vivo. These observations strengthen the hypothesis that alterations in the control of integrin (particularly  $\beta 3$ ) activation within the tumour cells, may influence their metastatic potential.

### The role of selectins

Studies on the mechanism of entry of circulating leukocytes into tissues during inflammation showed that this is a multistep process initiated by attachment and rolling of leukocytes on the vessel wall mediated by selectins. P-, E- and L-selectins are typical type I proteins composed of different domains. These include an amino terminal C-type lectin domain, a single epidermal growth factor (EGF)-like domain, from two to nine short consensus repeat (SCR) domains, a single membrane spanning region, and a cyto-

plasmic tail<sup>78</sup>. The selectins bind carbohydrate structures containing the sialyl-Lewis X antigen appropriately expressed by specific proteins on the cell surface<sup>79</sup>. Different candidate glycoprotein ligands for each of the selectins have been identified. The most extensively characterized selectin ligand is the recently cloned P-selectin glycoprotein ligand-1 (PSGL-1), a mucin-like protein with a unique structure formed by a disulfide linked homodimer of two identical 120 kD chains. The first studies showed that PSGL-1 is mainly expressed on leukocytes. Recently, analysis of murine tissues indicates that the expression of PSGL-1 is widespread. However, precisely which cells, apart from leukocytes, express PSGL-1 and what is the role of PSGL-1 is on these cells, is presently unknown in humans and is an important area of future investigations<sup>80</sup>. Among the carcinoma associated glycans, the expression of sialyl Lewis<sup>x</sup> and sialyl Lewis<sup>a</sup> correlates with tumour progression, metastatic spread, and poor prognosis<sup>81–89</sup>. On the other hand, treatment of tumour cells with benzyl- $\alpha$  GalNac to suppress the *o*-linked carbohydrate chain extension resulted in a diminished expression of sLeX and sLea on these tumour cells and reduced tumour cell adhesion to activated endothelial cells or platelets<sup>90</sup>.

Different tumour cell lines are able to bind selectins via not yet identified receptor(s) containing the sialyl-Lewis X antigen. Colon carcinoma cell lines bind to cytokine-activated endothelial cells through E-selectin<sup>91</sup>, and leukocytes and platelets through L- and P selectin binding mucins<sup>92,93</sup> distinct from PSGL-1<sup>94</sup>. Similarly, neuroblastoma and small cell lung cancer cells adhere to activated platelets through P-selectin<sup>95</sup>. Human small cell lung carcinoma cells and breast carcinoma cells are also negative for PSGL-1 and bind P-selectin through CD24, a mucin-type glycosylphosphatidylinositol-linked protein<sup>96</sup>. The role of platelet P-selectin in tumour metastasis is also strongly supported by the experiments of Kim and coworkers<sup>97</sup>. These authors showed that human colon carcinoma cells, implanted subcutaneously, grow at a lower rate and generate fewer lung metastases when injected intravenously in P-selectin deficient as compared with control mice. This effect correlated with reduced formation of tumour cell-platelet clumps in the lung vasculature of P-selectin knock-out mice<sup>97</sup>.

More recently, CD 24 was suggested to mediate the lung metastatization by adenocarcinoma cells via a P-selectin dependent mechanism<sup>98</sup>. Investigating the adhesive mechanism of three human colon carcinoma cell lines on adherent platelets in flow conditions McCarty et al.<sup>99</sup> showed that the sequential engagement of P-selectin and  $\alpha\text{IIb}\beta 3$  is a requisite for optimal adhesion of two of them; the cells of the third cell line did not interact with P-selectin

and were not recruited on platelets under flow despite the fact that they adhered on platelets under static conditions. Also in this case P-selectin ligand was distinct from PSGL-1 and CD24. Altogether, these studies indicate that during the process of metastatization tumour cells recapitulate the adhesion cascade, used by normal circulating cells, namely platelets or leukocytes, to accomplish normal functions such as hemostasis and immune responses. Figure 54.1 outlines schematically a proposed mechanism for these cellular interactions where two processes are considered: (i) the formation of platelet–tumour cell microemboli; (ii) the recruitment of tumour cells at sites of vascular damage (Fig. 54.1).

**Platelets and angiogenesis**

Although described some decades ago, the process of new vessel formation within the tumour and at the tumour host/interface, i.e. the so-called angiogenesis, has attracted increasing interest in recent years, due to the identification of some endogenous active factors (see angiostatin and endostatin) and to the preclinical and preliminary clinical tests on these agents for anticancer treatment. These agents would be active by depriving the tumour of the necessary blood supply through blockade of new vessel formation at the tumour site<sup>100</sup>.

Among endogenous mediators known to influence the angiogenetic process, vascular endothelial growth factor (VEGF) is a potent agonist of new vessel formation. Blood platelets have been found to release VEGF upon activation and to act as major transporters of VEGF in the human circulation<sup>101</sup>. The hypothesis has been put forward that a specific tumour cell/ endothelium/platelet interaction can contribute to tumour-induced angiogenesis, since platelets activated f.i. by thrombin (evolved from tumour procoagulants) release VEGF. VEGF, in turn, can induce endothelial cells to release von Willebrand Factor, which may contribute to further recruitment of platelets by stimulating their adhesive properties<sup>102</sup>.

Presumably, the increased platelet-binding capacity of the tumour vasculature and the subsequent activation of platelets are regulated by stimuli of the tumour cell and may differ for each tumour type.

Among mediators released from blood platelets during clotting, sphingosine 1-phosphate (S1P) is a potent, specific and selective endothelial cell chemoattractant that accounts for most of the strong endothelial cell chemotactic activity of blood serum. S1P would act by activating a receptor-dependent process<sup>103</sup>. The putative role of platelets in tumour angiogenesis is presently the topic of investigations at the clinical level in different series of patients with solid tumours.

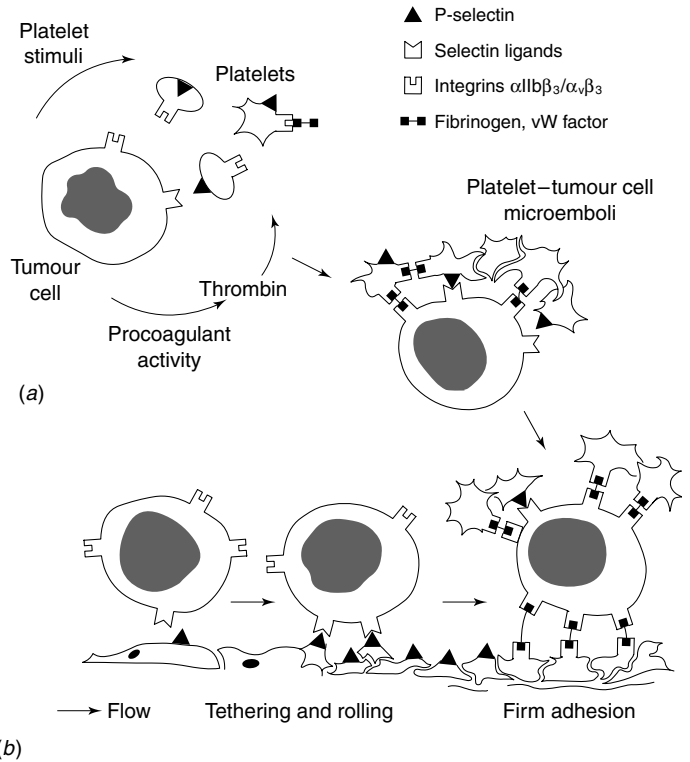


Fig. 54.1. Schematic representation of platelet–tumour cell microemboli formation and of platelet-mediated tumour cell recruitment at sites of vascular damage. (a) Platelets activated by tumour cell-derived stimuli adhere to the tumour cell via P-selectin and  $\beta_3$  integrins and forming microemboli assist tumour cell arrest and metastatization. (b) Platelets activated at a site of vascular damage mediate tumour cell recruitment through P-selectin-dependent tethering and rolling and  $\beta_3$  integrin-dependent firm adhesion.

**Platelets and host’s immune response to the tumour**

The contribution of blood platelets to cancer metastasis depends not only on their interactions with the biological barrier represented by the leukocyte/endothelial cell system in the phase of extravasation, but may also be ascribed, at least in some tumours, to protection by platelets from tumour cell killing by natural killer (NK) cells. It has been shown that such protection occurs both in vitro and in vivo. In three different tumour cell lines from a mouse model of experimental metastasis, tumour seeding in the target organs was reduced when the host was platelet depleted, but only if the tumour cells were NK sensitive<sup>104</sup>. Aggregation of platelets around tumour cells also inhibited in vitro the tumourlytic activity by NK cells; besides providing a physical barrier and a steric hindrance between tumour cells and NK cells, platelets may also interfere with recognition for killing of tumour cells by NK

cells. However, since platelet-caused NK inhibition was independent of the platelet donor haplotype and was equally observed with platelets from  $\beta 2$ -microglobulin-deficient mice, a MHC class 1-dependent inhibitory mechanism is unlikely<sup>104</sup>. Alternatively, platelets would not only shield tumour cells but also inhibit cytolytic function of NK by some other mechanism (release of tumour cell protective factors). The presence of a platelet 'cloak' around tumour cells would also protect the latter from a closer interaction with monocytes involved physiologically in elimination of metastatic tumour cells during the early phase of metastasis. In systems of mucin-producing human tumour cells growing in athymic mice, the inhibition of platelet/tumour cell aggregation by heparin or by P selectin-deficiency leads to reduced metastasis growth; this antimetastatic effect was also concomitant with an increased association of MAC-1 positive monocytes with tumour cells<sup>105</sup>.

#### Platelet–tumour cell interactions: in vivo studies

The platelet–tumour cell interactions discussed so far, mainly in *in vitro* systems, offer altogether biological plausibility for a role of platelets in tumour growth and dissemination, but only from *in vivo* studies can direct proof be obtained on the platelet involvement in tumours. For several years, experimental models where the host's hemostatic system had been pharmacologically modified, have been followed for tumour and metastasis growth<sup>18</sup>. This approach has been more recently integrated with the use of genetically modified animals, selectively lacking one or more factors of the hemostatic system<sup>6</sup>.

#### Pharmacological implications

We shall now discuss some of the pharmacological approaches used with the general 'caveat' that none of these has so far found a well-established clinical counterpart. At the moment there are few studies of anticoagulants/antiplatelet drugs which really fulfil modern criteria of controlled randomized trials to evaluate drug efficacy. The published studies have different designs (case reports, phase I, II and III studies, and largely retrospective epidemiological reviews) and most studies have tested anticoagulants in combination with cytotoxic drugs which makes evaluation of the results more difficult. From the data obtained so far, the following conclusions can be drawn for clinical studies:

- for small cell lung carcinoma, therapy with warfarin may lead to a longer period of disease-free survival and overall survival;
- therapy with RA-233, a dipyridamole analogue, leads to

an increased overall survival in patients with localized non-small cell lung carcinoma;

- the efficacy of low-dose acetylsalicylic acid in colorectal cancer is still the subject of controversy. Treatment with sulindac, another non-steroidal anti-inflammatory drug, leads to a statistically significant reduction in amount and size of colon polyps in adenomatosis coli. It is noteworthy that not only the efficacy but also the mechanism of action of these anti-inflammatory agents in colorectal cancer is still debated and the role of platelets uncertain.

In experimental models the pioneering studies of Gasic and Gasic<sup>11</sup>, and Gasic et al.<sup>12</sup> showed that induction of thrombocytopenia by antiplatelet serum or by treatment with neuraminidase inhibited metastases in experimental animal models. The effect of platelet antiserum was confirmed by Pearlstein et al.<sup>106</sup> and also by our group<sup>9</sup>. The intravenous injection of B16BL6 melanoma in mice, pretreated with antiplatelet serum, causes a 70% reduction in lung colony formation after 15 days. It is likely that the antimetastatic effect exerted by the antiplatelet serum may be the consequence of concomitant alterations of the endothelium, due to the removal of the sialic acid coating, which seems to be important for tumour dissemination, at least in conditions of high shear stress<sup>90</sup>.

Various antiplatelet drugs have been used in the attempt to inhibit metastases<sup>8,28,107</sup>. The antimetastatic effect of aspirin was observed by Gasic et al.<sup>13</sup> but not confirmed by other investigators<sup>108</sup>. Ticlopidine, another potent platelet aggregation inhibitor, was unable to reduce metastasis in a model of spontaneous dissemination<sup>63</sup>. The effects of prostacyclin<sup>109,110</sup>, prostacyclin analogues<sup>111</sup> and thromboxane synthetase inhibitors<sup>112,113</sup> were rather controversial. Calcium channel blockers have successfully been used as antimetastatic agents<sup>111</sup>. Dipyridamole and the pyrimidopyrimidine derivative RA233 were active in some experimental models.

Thus, the effect of antiplatelet agents on metastasis was often controversial<sup>28</sup>. This may be the consequence of heterogeneity of tumours and administration schedules or may reflect the fact that antiplatelet drugs have multiple effects on different cell targets (anti-inflammatory, antiadhesive, immunomodulatory, besides platelet inhibition). As a general comment, antiplatelet agents have been found to be much more active in preventing lung colony formation ('artificial metastasis') than the 'spontaneous' dissemination process. This is a type of behaviour shared by virtually all anticoagulant, antiplatelet and defibrinating agents used so far; it suggests that, in the artificial model, whatever is pharmacologically operated prior to tumour cell injection in order to reduce blood coagulability and/or

blood cell stickiness between them and to the vascular wall, the result will be a longer circulation time of tumour cells in the host and a higher rate of their destruction by the reticuloendothelial system: as a result, metastatic growth will be reduced.

In the last 10–15 years some platelet–tumour cell interactions have been more deeply studied in their multicellular scenario (see the section on cell adhesion).

The *in vitro* studies have also provided new candidates for *in vivo* testing against cancer metastasis; more selective agents, whose effects are directed towards specific cell functions, have recently been developed.

#### Monoclonal antibodies to $\alpha$ IIb $\beta$ 3

The monoclonal antibody (mAb) 7E3, a specific inhibitor of the platelet  $\alpha$ IIb $\beta$ 3 receptor, was rather active as an inhibitor of human platelet aggregation<sup>116</sup>. This antibody reduced lung colony formation after intravenous injection of tumour cells in mice<sup>63</sup>. Monoclonal antibodies to  $\alpha$ IIb $\beta$ 3 also inhibited the adhesion of human tumour cells to fibronectin<sup>117</sup> and tumour cell induced platelet aggregation<sup>34</sup>. In addition, Boukerche et al.<sup>64</sup> observed a reduction of the growth of human melanoma cells in nude mice after treatment with LYP18, another mAb to  $\alpha$ IIb $\beta$ 3.

#### RGD peptides

Renewed interest in selective inhibition of platelet–tumour cell interaction was given by synthetic peptides with RGD sequence<sup>118</sup>. The intravenous injection of B16 melanoma cells together with peptide gly–arg–gly–asp–ser (RGDS), significantly inhibited lung colony formation in mice<sup>119</sup>. This effect was attributed to accelerated disappearance of tumour cells from the lungs of treated mice. Modified peptides, lacking the RGD sequence, such as gly–arg–gly–glu–ser (RGES) were not active<sup>120</sup>. Several RGD-containing peptides have been used successfully in experimental models of tumour dissemination<sup>121–123</sup>. However, the short half-life and the reversibility of the effect of these agents on platelets have limited their use as antimetastatic agents. The effect of RGD-containing peptides on metastasis may be due to the blockade of platelet–tumour cell interactions or to the inhibition of tumour cell adhesion to fibronectin. To clarify this problem, we studied arg–gly–asp–trp (RGDW), a tetrapeptide with a potent inhibitory effect on platelet aggregation and a more limited effect on cell adhesion, in the B16BL6 lung colony assay. RGDW was about ten times more active in inhibiting ADP-induced platelet aggregation and <sup>125</sup>I-fibrinogen binding to platelets, as compared to arg–gly–asp–ser<sup>124</sup>. RGDW was also more potent than RGDS in inhibiting B16BL6 lung colony formation<sup>125</sup>. Arg–gly–gly–trp (RGGW), a tetrapeptide devoid of

antiplatelet activity, was also inactive on metastases, suggesting that inhibition of platelet functions was crucial, at least in our model.

#### Disintegrins

Disintegrins are a class of snake venom-derived peptides, characterized by the presence of the RGD sequence and a cysteine-rich region. Disintegrins are several orders of magnitude more potent than small RGD peptides as inhibitors of platelet aggregation and cell adhesion<sup>126,127</sup>. Trigramin, a peptide purified from the venom of *Trimeresurus gramineus*, showed a potent inhibitory effect on the adhesion of human melanoma cells to fibronectin and fibrinogen<sup>128</sup>. Batroxostatin also caused a potent inhibition of the adhesion of human and murine melanoma cells to fibronectin<sup>129</sup> and triflavin inhibited the adhesion of B16 melanoma cells to various substrates<sup>130</sup>. We found that albolabrin, a disintegrin derived from the venom of *Trimeresurus albolabris*, was about 2000-fold more active than RGDS at inhibiting mouse platelet aggregation ( $IC_{50}$ : 166 nM and 50  $\mu$ M, respectively) and was also more active than RGDS at protecting mice from pulmonary thromboembolism<sup>131</sup>. Similarly, albolabrin was more active than RGDS at inhibiting B16BL6 melanoma lung colony formation in mice<sup>132</sup>. Since albolabrin prevented the accumulation of <sup>51</sup>Cr-labelled platelets in the lungs of treated mice, it is possible that its antimetastatic effect may be due to the diversion of platelet–tumour cell emboli from the lungs of treated mice. Eristostatin, a disintegrin with a more selective inhibitory effect on platelet aggregation, was also found to have more potent inhibitory effects on lung colony formation than albolabrin ( $IC_{50}$ : 0.05  $\mu$ M and 1.0  $\mu$ M, respectively)<sup>133</sup>. Analysis of disintegrin structure revealed that disintegrins with the RGDW sequence, such as eristostatin, have a higher affinity for  $\alpha$ IIb $\beta$ 3 receptors than other RGD sequence, thus explaining their increased activity on platelet functions<sup>134</sup>. The design of even more selective inhibitors of cell functions, such as platelet aggregation or cell adhesion, may improve the effectiveness of these agents on metastases.

#### Conclusions

Platelet interactions with tumour cells have been described both *in vitro* and *in vivo*. Platelet activation can stimulate tumour cell adhesion to the vessel wall, with a mechanism involving selectins, integrins, IgG-like molecules and other adhesive receptors. Similar receptors present on neutrophils, monocytes and endothelial cells take part in this process, with a concerted action. Growth

factors, cytokines, chemokines and other products released after platelet aggregation and activation may further stimulate the adhesion of tumour cells to activated endothelium. More experimental work is needed to translate knowledge on this fascinating machinery into new pharmacological tools for cancer and metastasis control; new approaches aimed at inhibiting receptor-mediated platelet adhesion and activation may be promising for the prevention and cure of metastasis.

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## Platelets and renal diseases

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### Platelets in uremia

Morgagni in 1764 in his *Opera Omnia*<sup>1</sup> was the first to recognize the remarkable association between bleeding and renal dysfunction. Bleeding may be a serious complication of acute and chronic renal failure<sup>2,3</sup>, and since the first review in 1907 on the association between uremia and abnormal bleeding, the clinical manifestations of uremic bleeding have been well described<sup>4-7</sup>. Hemorrhagic complications varying from ecchymoses, epistaxis, bleeding from gums and venipuncture sites, and overt gastrointestinal bleeding have been observed in up to one-third of uremic patients<sup>5,8,9</sup>, however, low-grade gastrointestinal bleeding may be even more common<sup>10</sup>.

Bleeding became a clinical problem at the beginning of the dialysis era, when patients sometimes died from excessive bleeding from the gastrointestinal tract or abdominal organs<sup>8,11</sup>.

With the advent of modern dialysis techniques and the use of erythropoietin to correct anemia<sup>12</sup> the frequency of severe hemorrhage has decreased; however, this complication still limits surgery and invasive procedures in these patients.

The cause of uremic bleeding has been the subject of a major debate since the 1970s. The pathogenesis is considered multifactorial (Table 55.1); however, platelet-platelet and platelet-vessel wall interactions appear to be of crucial importance. Abnormalities of blood coagulation and fibrinolysis partially corrected by dialysis predispose the uremic patients to thrombosis rather than bleeding. Chronic renal failure also seems to be associated with an increased incidence of arterial and venous thromboembolic complications and this chapter will review the platelet and vascular defects in uremia.

**Table 55.1.** Factors involved in the pathogenesis of bleeding associated with chronic renal failure

#### *Platelet abnormalities*

- Subnormal dense granule content
- Reduction in intracellular ADP and serotonin
- Impaired release of the platelet  $\alpha$ -granule protein and  $\beta$ -thromboglobulin
- Enhanced intracellular c-AMP
- Abnormal mobilization of platelet  $\text{Ca}^{2+}$
- Abnormal platelet arachidonic acid metabolism
- Abnormal ex vivo platelet aggregation in response to different stimuli
- Defective cyclooxygenase activity
- Abnormality of the activation-dependent binding activity of GP IIb-IIIa
- Uremic toxins, especially parathyroid hormone

#### *Abnormal platelet-vessel wall interactions*

- Abnormal platelet adhesion
- Increased formation of vascular  $\text{PGI}_2$
- Altered von Willebrand factor

#### *Anemia*

- Altered blood rheology
- Erythropoietin deficiency

#### *Abnormal production of nitric oxide*

#### *Drug treatment*

- $\beta$ -Lactam antibiotics
- Third-generation cephalosporins
- Non-steroidal anti-inflammatory drugs

## Thrombocytopenia

Thrombocytopenia is found in 16–55% of uremic patients<sup>6,8,9,13–19</sup>, suggesting platelet overconsumption or inadequate production<sup>20</sup>. However, platelet number is rarely less than 80 000/ $\mu$ l<sup>5,6,8,9,14,21–23</sup>, a concentration generally considered adequate for normal hemostasis.

The interaction of blood with biocompatible (e.g. cuprophane) hemodialysis membranes leads to complement activation, transient neutropenia, and significant thrombocytopenia during dialysis<sup>24,25</sup>. This phenomenon does not occur when biocompatible membranes, which do not activate complement, are used<sup>24,25</sup>. Heparin therapy, used to inhibit clotting in the extracorporeal circuit, can activate platelets and can occasionally induce thrombocytopenia by an immunologic mechanism<sup>26,27</sup>.

A major decrease in platelet count is present in patients with renal failure associated with lupus vasculitis, hemolytic uremic syndrome/thrombotic thrombocytopenic purpura, disseminated intravascular coagulation, eclampsia, and renal allograft rejection.

The mean platelet volume may also be decreased in uremia. This decrease in the circulating platelet mass is inversely related to the bleeding time in uremic individuals<sup>28</sup>.

## Platelet abnormalities

Dense granule content is subnormal in uremic platelets<sup>29,30</sup>; the storage pool defect is denoted by the reduction in platelet ADP and serotonin and by the increase in the ratio of ATP to ADP. Cyclic AMP (cAMP) is elevated in patients with terminal renal insufficiency and hemodialysis partially corrects this abnormality<sup>31</sup>. Since ADP and serotonin are platelet agonists and cyclic AMP is an inhibitor of platelet function, this imbalance could contribute to an activation defect. The release of ATP in response to thrombin is also reduced in uremia<sup>29</sup> suggesting a defective secretory mechanism. Calcium content is increased in uremic platelets<sup>32</sup>, which also mobilize calcium abnormally in response to stimulation<sup>33</sup>. Elevation in platelet cAMP and abnormal calcium mobilisation drew attention to the possibility that parathyroid hormone (PTH) may play a role in uremic platelet dysfunction. This speculation was supported by the observation that PTH inhibits platelet aggregation *in vitro*<sup>34,35</sup>. However, the bleeding time does not correlate with serum concentrations of intact PTH or PTH fragments<sup>36</sup>, suggesting that elevated PTH in renal failure patients is not likely to play a major role in the uremic platelet defect. An impairment in the release of the

platelet  $\alpha$ -granule proteins and  $\beta$ -thromboglobulin was demonstrated in hemodialysis patients<sup>37</sup>.

Defective platelet aggregation in response to various stimuli such as ADP, epinephrine, collagen, and thrombin is documented in a great number of studies<sup>11,20,22,29,38–44</sup>, although the degree of impairment of platelet aggregation in uremia varies considerably, and in several reports platelet aggregation was found to be normal or increased<sup>45–48</sup>.

Impaired synthesis by uremic platelets of thromboxane A<sub>2</sub> (TxA<sub>2</sub>), a potent enhancer of both platelet release and aggregation, has been documented, but the nature of this abnormality remains controversial<sup>29,30,49–54</sup>. A decreased platelet aggregation and TxA<sub>2</sub> formation in response to ADP, collagen, and thrombin as well as to arachidonic acid, consistent with a defect in platelet prostaglandin synthesis at or beyond the cyclooxygenase level was observed by some investigators<sup>51,52</sup>. Others found normal TxA<sub>2</sub> formation by uremic platelets in response to arachidonic acid as well as to the TxA<sub>2</sub> analogue, U-46619, while TxA<sub>2</sub> synthesis in response to ADP, collagen, or the combination of collagen with ADP or epinephrine was impaired<sup>29,50,53</sup>. These findings would be consistent with a defect in the stimulated release of arachidonic acid from platelets. The observation that these defects are corrected by dialysis, indicates that they are probably due to a factor present in uremic plasma<sup>29,52,53</sup>.

## Platelet–vessel wall interaction

Normal platelet adhesion requires initial platelet contact followed by platelet spreading on the subendothelial matrix. Two adhesive proteins, fibrinogen and von Willebrand factor (vWF), and two platelet adhesion receptors, glycoprotein (GP) Ib and the  $\alpha_{IIb}\beta_3$  complex play a vital role in the formation of platelet thrombi at sites of injury. At high shear rates, such as those found in the capillary circulation, contact is dependent on the binding of vWF to the platelet GP Ib<sup>55,56</sup>. This interaction is also necessary for ristocetin-induced platelet aggregation *in vitro*. Binding of GP Ib to vWF is normal in uremic patients<sup>57</sup>, as is the surface expression of the receptor<sup>57,58</sup>. In patients with chronic renal failure, a decrease in the total content of platelet GP Ib has been documented<sup>58,59</sup>, accompanied by an increase in soluble glycolalicin (a soluble proteolytic fragment of GP Ib), and is probably due to proteolytic damage to membrane GP Ib. The normal surface expression of this receptor and the total decrease in content account for a redistribution from the intraplatelet pool to the surface pool<sup>57,58</sup>. The activation-dependent receptor function of the  $\alpha_{IIb}\beta_3$  complex is defective in uremia, as

shown by decreased binding of both vWF and fibrinogen to stimulated platelets<sup>57</sup>. The number of  $\alpha_{IIb}\beta_3$  receptors expressed on the platelet membrane is normal. Removal of substances present in uremic plasma markedly improved the  $\alpha_{IIb}\beta_3$  defect. Thus, a reversible abnormality of the activation dependent binding activity of  $\alpha_{IIb}\beta_3$  caused by a dialysable toxic substance or substances<sup>57,60</sup>, or due to receptor occupancy by fibrinogen fragments present in uremic plasma<sup>61</sup>, is probably a major component of the altered platelet function in uremia. The impaired  $\alpha_{IIb}\beta_3$  activation in uremia may explain aggregation defects as well as reduced vWF-dependent adhesion and thrombus formation<sup>62-64</sup>.

vWF levels in plasma, measured either by immunologic methods or functionally by ristocetin cofactor activity, are normal or elevated in renal failure<sup>65</sup>, and qualitative abnormalities of vWF have not been uniformly observed<sup>43,59,63,66</sup>.

The observation that cryoprecipitate<sup>67</sup> – a plasma derivative rich in vWF – and desmopressin<sup>68</sup> – a synthetic derivative of antidiuretic hormone that releases autologous vWF from storage sites – significantly shorten the bleeding time of uremic patients suggests that a functional defect in the vWF–platelet interaction may indeed play a role in the abnormal hemostasis of these patients.

Molecules such as PGI<sub>2</sub> and nitric oxide (NO) may affect platelet–vessel wall interaction thus amplifying the platelet defects observed in uremia.

An increased synthesis by vascular endothelium formation of prostacyclin (PGI<sub>2</sub>), a potent vasodilator and inhibitor of platelet function, was documented in uremic patients<sup>37,69</sup> and blood vessels from rats with experimentally induced uremia<sup>70,71</sup>. Furthermore, plasma from uremic patients stimulates PGI<sub>2</sub> generation by cultured endothelial cells more than normal plasma<sup>72</sup>.

NO is a potent modulator of vascular tone that limits platelet adhesion to endothelium<sup>73</sup> and platelet–platelet interaction increasing the formation of cell cyclic GMP<sup>74-76</sup>. Data are available showing that platelets from uremic patients on hemodialysis generate more NO than healthy subjects<sup>77,78</sup>. Uremics have higher levels of NO in exhaled air<sup>79</sup> and higher plasma levels of NO metabolites<sup>80-83</sup> than normal humans. In addition, plasma from chronic hemodialysed patients potently induces NO synthesis from human umbilical vein endothelial cells (HUVEC)<sup>78</sup> and cultured human microvascular endothelial cells<sup>84</sup>. The stimulatory activity found in uremic plasma is attributed to cytokines such as TNF $\alpha$  and IL-1 $\beta$  that are potent inducers of the inducible isoform of NO synthase and circulate in increased amounts in the plasma of patients with chronic renal failure who either are, or are not, on maintenance hemodialysis<sup>77,85-88</sup>.

## Dialysis

Several dialysable uremic toxins, e.g. urea, creatinine, phenol, phenolic acids, or guanidinosuccinic acid (GSA) may possibly be involved in the genesis of the uremic platelet dysfunction<sup>89-91</sup>. GSA, which accumulates in uremic plasma, inhibits the second wave of platelet aggregation to ADP when added to normal platelet-rich plasma<sup>90</sup>, and as recently demonstrated GSA is involved in the generation of NO<sup>78</sup>. The effect of GSA of stimulating NO release provides a biological explanation of the old data of early 1970 showing that, among uremic toxins, GSA was the only one that consistently inhibited platelet function to such a degree that it was defined the 'x' factor in uremic bleeding<sup>92,93</sup>.

Phenolic acid, at the concentrations found in uremic plasma, also impairs primary aggregation to ADP<sup>89</sup>.

All these observations suggest that reducing the blood levels of these compounds may correct the abnormal hemostasis of patients with renal failure. However, no correlation has been found between bleeding time or platelet adhesion and serum levels of the dialysable metabolites mainly accumulating in uremia<sup>91</sup>.

Dialysis improves platelet functional abnormalities and reduces, but does not eliminate the risk of hemorrhage<sup>49</sup>. Hemodialysis can even contribute to the bleeding. The continuous platelet activation induced by the interaction between blood and artificial surfaces, and the release of platelet-derived proteins, can induce platelet 'exhaustion' and consequently favour bleeding in uremic patients. Peritoneal dialysis has been suggested to be more effective than hemodialysis in removing uremic toxins that adversely affect platelet function, however this procedure may cause platelet hyper-reactivity, which in some cases may be related to hypoalbuminemia<sup>94</sup>.

## Role of anemia

Platelet adhesion and aggregation in flowing systems<sup>56,95</sup> are markedly potentiated by red blood cells. Erythrocytes enhance platelet function by releasing ADP<sup>96</sup>, by inactivating PGI<sub>2</sub><sup>97</sup>, and by increasing platelet–vessel wall contact by displacing platelets away from the axial flow and toward the vessel wall<sup>95</sup>. The independent role of anemia in the bleeding tendency of uremia has been extensively investigated. A significant negative correlation was found between bleeding time and packed cell volume (PCV)<sup>98</sup>. In fact, despite a shorter bleeding time, a significant negative correlation between hematocrit and bleeding time was also demonstrable in 15 non-uremic anemic patients.

These results were subsequently confirmed by other studies<sup>99,100</sup> that found that anemia was the main determinant of the prolonged bleeding time in uremic patients.

The cloning of the human erythropoietin gene and the production of the human recombinant erythropoietin (rhEPO) have provided clinicians with a powerful tool to correct the anemia associated with the renal failure. Clinical trials have provided evidence that rhEPO reverses the anemia of renal failure and eliminates any dependency upon transfusion<sup>101</sup>. Increasing doses of rhEPO, when given to hemodialysis patients with a history of bleeding, severe anemia (hematocrit less than 23%), and the long basal bleeding time (more than 19 minutes), induced a progressive increase in hematocrit accompanied by significant shortening of the bleeding time<sup>12</sup>. Although improvement in platelet adhesion to subendothelium was observed in some studies, no consistent changes in platelet number, platelet aggregability, markers of platelet activation in plasma, platelet TxA<sub>2</sub> formation, platelet adenine nucleotide content, global coagulation test results, anti-thrombin III, or cross-linked fibrin derivatives were reported<sup>12,102,103</sup>.

The efficacy of erythropoietin is indisputable with improvement in the quality of life, cardiac function, physical work capacity, cognitive function and sexual function with hematocrit of 36% to 39%<sup>104</sup>; however the benefits and risks of complete correction of anemia (hematocrit 38% to 42%) and the optimal target concentration have not yet been established. Recently, the safety of the long-term maintenance of a normal hematocrit has been questioned, as a consequence, in part, of the early termination of the Normal Hematocrit Cardiac Trial<sup>105</sup>, in which the patients randomly assigned to the normal hematocrit group presented with a higher mortality and a higher incidence of non-fatal myocardial infarction<sup>106</sup>.

### Effect of drugs

Uremic patients may be at an increased risk of bleeding complications caused by drug treatment. The risk of bleeding associated with the accumulation of  $\beta$ -lactam antibiotics in uremia has been highlighted<sup>107</sup>.  $\beta$ -lactam antibiotics apparently act by perturbing platelet membrane function and by interfering with ADP receptors<sup>108,109</sup>. The prolonged bleeding time and the abnormal platelet aggregation are related to the dose and duration of treatment and are promptly reversible after discontinuation. Third-generation cephalosporins may also inhibit platelet function and may lead to marked disturbances of blood coagulation<sup>110,111</sup>.

Risk of bleeding in uremic patients is also associated with aspirin given to prevent vascular access thrombosis<sup>112</sup> or platelet activation on dialysis membranes<sup>113</sup>. The beneficial effect of aspirin on vascular access thrombosis can be achieved with a moderate dose of 160 mg/d, which inhibits platelet TxA<sub>2</sub> generation without affecting vascular PGI<sub>2</sub> formation<sup>112</sup>. However, a moderate dose of aspirin may prolong the bleeding time to a greater extent in uremic than in control subjects<sup>114,115</sup>. This difference appears not to be related to increased susceptibility of cyclooxygenase in uremic platelets. Furthermore, a temporal dissociation was found in uremic patients between the prolongation of bleeding time and inhibition of serum TxA<sub>2</sub> generation after aspirin. Indeed aspirin seems to have two distinct inhibitory effects on platelet function in uremia: a transient effect which interferes with one of the determinants of bleeding time, and the lasting effect due to the irreversible blocking of platelet cyclooxygenase<sup>115</sup>. However, the prolongation of bleeding caused by aspirin may explain the frequency of gastrointestinal bleeding in uremic patients<sup>116,117</sup>. Thus the use of aspirin for uremic patients treated with rhEPO to prevent thrombotic complications associated with an increased hematocrit is highly questionable.

Nonsteroidal anti-inflammatory drugs such as indomethacin, ibuprofen, naproxen, phenylbutazone, and sulfinpyrazone also inhibit platelet cyclooxygenase<sup>118</sup> and disturb platelet function<sup>119</sup>. However, in contrast to aspirin, the inhibitory effect of these compounds on platelet cyclooxygenase is readily reversible as the blood concentration of the drugs falls upon cessation of administration<sup>119</sup>.

### Therapeutic strategies to correct uremic bleeding

Guidelines for the management of hemorrhagic complications of uremia are summarized in Table 55.2.

### Thrombotic complications

Paradoxically, hemodialysis exposes uremic patients to the thrombotic complications of vascular access. Percutaneous cannulation, arteriovenous shunt, and native vein or prosthetic arteriovenous fistula, and fistulas made of artificial polymers used for chronic hemodialysis are particularly prone to thrombotic occlusion, which accounts for a substantial percentage of hospital admission of dialysis patients<sup>120</sup>. It has been estimated that more than half of all grafts develop thrombosis within 2 years<sup>121</sup>. To prevent

**Table 55.2.** Guidelines for the management of hemorrhagic complications of uremia

For all patients with hemorrhagic complications or undergoing major surgery, the adequacy of dialysis should be appropriately checked. It is also advisable to change the dialysis schedule for 1 to 2 mo in patients who have experienced severe hemorrhages (such as major gastrointestinal bleeding, hemorrhagic pericarditis, subdural hematomas) or who have undergone recent cardiovascular surgery, so that heparin can be avoided.

Acute bleeding episodes may be treated with desmopressin at a dose of 0.3 µg/kg intravenously (added to 50 ml of saline over 30 min) or subcutaneously. Intranasal administration of this drug at a dose of 3 mg/kg is also effective and is well tolerated. The effect of desmopressin lasts only a few hours, a major limitation to its use in treating severe hemorrhage, and desmopressin appears to lose efficacy when repeatedly administered. Because the favourable effect of cryoprecipitate on bleeding time has not been uniformly observed, we do not recommend its use.

The ideal treatment of persistent chronic bleeding should have a long-lasting effect. Conjugated estrogen treatment given by intravenous infusion in a cumulative dose of 3 µg/kg as daily divided dose (i.e. 0.6 mg/kg for 5 consecutive days) is the most appropriate way of achieving long-lasting hemostatic competence.

Severely anemic patients should receive blood or red blood cell transfusions to improve hematocrit values. Red blood cell transfusion is hemostatically effective only when the hematocrit rises above 30%. As an alternative, bleeding in patients with renal failure and hematocrit less than 30% can be treated successfully with erythropoietin, according to the following indications.

Before therapy, iron stores should be assessed by determination of serum ferritin, serum iron, and total iron-binding capacity (TIBC). Patients with microcytic anemia and normal iron stores should be evaluated for aluminium toxicity and thalassemia. Uncontrolled hypertension is a contraindication to the initiation of rEPO therapy. Patients must be urged to adhere to pre-rEPO dietary restriction. The hemoglobin level or hematocrit should be measured each week during induction of therapy and every 2 wk thereafter. Serum iron, TIBC and serum ferritin should be measured monthly for 3 mo and every 2 to 3 mo thereafter.

vascular access thrombosis, antiplatelet agents such as low-dose aspirin<sup>112,113</sup>, sulfinpyrazone<sup>122,123</sup> or ticlopidine<sup>124–126</sup> have been used, with encouraging results, but concerns about the possibility of increases in bleeding complications have limited the routine use of these agents in dialysis centres.

Cardiovascular events related to thrombosis are a predominant cause of death and account also for an important morbidity in uremic patients both on conservative treatments, or on replacement of renal function by dialysis or transplantation. It is evident that the vast majority of patients with chronic uremia has several risks for developing cardiovascular disease, either pre-existing or caused or aggravated by chronic renal failure, such as hyperlipidemia, hypertension, glucose intolerance and hyperparathyroidism. In addition, hemodialysis itself may contribute to lipid abnormalities that are present in every type of chronic renal disease<sup>120,127</sup>. Hemostatic abnormalities consistent with a hypercoagulable state have been widely described in patients with end-stage renal failure on hemodialysis. Risk factors include enhanced platelet aggregability, increased plasma fibrinogen, Factor VIII: C and vWF, decreased protein C anticoagulant activity and protein S, impaired fibrinolytic system activity, raised plasma lipoprotein(a), increased plasmatic concentration of homocysteine, and the presence of lupus anticoagulant (for review see Joist et al.<sup>128</sup>).

The association between renal vein thrombosis (RVT) and the nephrotic syndrome (NS) has been long recognized<sup>129,130</sup>. The incidence of RVT appears to be substantially higher in NS caused by membranous glomerulonephritis than with membranoproliferative glomerulonephritis or other forms of renal disease<sup>129–133</sup>. A variety of hemostatic alterations, consistent with a hypercoagulable state, have been reported in NS<sup>130,134</sup>. Increased platelet aggregability to ADP and collagen was found in nephrotic children<sup>135</sup>. Platelet defects<sup>128</sup> vary from spontaneous platelet aggregation, enhanced response to arachidonic acid, increased production and release of arachidonic acid, and shortened platelet survival. Platelet hyperaggregability in NS has generally been attributed to hypoalbuminemia because albumin inhibits arachidonic acid-induced platelet aggregation and arachidonic acid conversion from platelet phospholipids to endoperoxide intermediates and TxA<sub>2</sub>. However, no apparent relationship between hyperaggregability and hypoalbuminemia has been found in some studies<sup>129,136–139</sup>. Hypocalcemia and hypercholesterolemia may be involved as well in platelet hyperaggregability in NS<sup>127,137,140–142</sup>. The complex interaction between the effects on platelet function in uremia, the underlying glomerulonephritis, hemodialysis, and medications may account for the lack of a statistically significant relationship between platelet hyperaggregability, hypoalbuminemia, and/or hypercholesterolemia in patients with NS. In these patients,

**Table 55.3.** Platelet secretory products involved in the pathogenesis of glomerular disease

<i>α-granule constituents</i>
Platelet-derived growth factor
Platelet factor 4
Transforming growth factor $\beta$
Basic fibroblast growth factor
Epidermal growth factor
$\beta$ -thromboglobulin
Hepatocyte growth factor
Fibronectin
Thrombospondin
<i>Lysosomal constituents</i>
Collagenase
Cathepsins
Elastase
Heparitinase

platelet abnormalities in association with the common modest thrombocytosis, with increased levels of coagulation factors, and with reduced levels of antithrombin III may play an important role in the development of RVT and other venous and arterial thromboembolic complications<sup>128</sup>.

### Platelets in glomerular diseases

Platelets are involved in the pathogenesis of glomerular diseases through a variety of mechanisms<sup>143,144</sup>. The release of platelet activating factor (PAF) and other platelet secretory products (Table 55.3) may alter glomerular permeability to proteins and enhance immune-mediated glomerular injury. In addition platelet-derived growth factors may account for the renal disease progression via their chemotactic activity for infiltrating leukocytes and their effect of promoting extracellular matrix synthesis by resident renal cells. Evidence of platelet activation in renal diseases has been demonstrated by the clinical observation of shortened platelet survival or intrarenal platelet sequestration in patients with membranoproliferative, membranous or lupus glomerulonephritis, focal segmental glomerulosclerosis and diabetic nephropathy<sup>145–147</sup>. In diffuse proliferative glomerulonephritis accelerated platelet destruction has been found to be prominent and correlations between platelet destruction and intraglomerular cellular proliferation were reported<sup>146–148</sup>. In patients with lupus nephritis thrombocytopenia and glomerular thrombosis, factors important in determining the subsequent development of glomerulosclerosis, are common findings<sup>148,149</sup>. Platelet and fibrin thrombi in lumens of small arteries and glomer-

ular capillaries are also common renal pathologic features in hemolytic uremic syndrome/thrombotic thrombocytopenic purpura, systemic sclerosis, acute and chronic transplant rejection, and nephropathy associated with essential hypertension<sup>150–153</sup>. Intraglomerular platelet aggregates were found also in patients with mesangiocapillary glomerulonephritis and IgA nephropathy<sup>154–158</sup>.

### Platelet secretory products as a cause of glomerular permeability alteration and glomerular injury amplification

There is evidence that during glomerulonephritis platelets are activated and their secretory products localise in glomerular structures, however little is known about the effects of these platelet constituents and nearly all of our understanding derives from animal experiments.

The initiation of immune vascular injury involves a complex array of inflammatory mediators, including PAF, which may play a central role. PAF is a potent phospholipid autacoid, initially isolated from stimulated basophils, that is synthesized by different cell types, including neutrophils, monocytes, endothelial cells and glomerular mesangial cells<sup>159,160</sup>. PAF is also secreted by platelets and, in turn, triggers platelet aggregation, activation and degranulation<sup>161</sup>. Biological effects of PAF that may determine glomerular injury include chemotaxis and activation of leukocytes, complement activation, contraction and stimulation of mesangial cells to produce eicosanoids and radical oxygen species. Evidence that PAF is involved in glomerular injury derives from studies in different experimental models of glomerulonephritis: increased glomerular production of PAF and improvement of the glomerular disease by a specific PAF receptor antagonist was observed in nephrotoxic nephritis (NTN)<sup>162–164</sup>, acute serum sickness<sup>165</sup>, anti-Thy-1 glomerulonephritis<sup>166</sup> and murine lupus nephritis<sup>167,168</sup>. Increased plasma and urinary levels of PAF correlated with proteinuria and renal histological abnormalities in murine lupus nephritis<sup>169</sup> and, in this model, reduced proteinuria and improved survival were observed after the chronic administration of a PAF receptor antagonist<sup>168</sup>.

Other platelet secretory products that are implicated in proteinuria and immune complex localization by virtue of their ability to alter glomerular permeability are endogenous polycationic macromolecules, platelet factor 4 (PF4) and  $\beta$ -thromboglobulin. They may bind to glomerular polyanions, neutralizing the electrostatic barrier to circulating macromolecules<sup>170,171</sup>. In experimental animal models such as rabbits with acute serum sickness and



after infusion of bovine serum albumin in pre-immunized animals, the loss of glomerular polyanions together with glomerular localization of platelet cationic proteins and immune complexes has been documented<sup>172,173</sup>. Loss of polyanions has also been reported in several human glomerular diseases<sup>171,174,175</sup>. The causes of the loss of glomerular polyanions in glomerulonephritis have not been fully elucidated. However platelet products could interfere with glomerular polyanions by different processes, including neutralization of fixed negative charges by polycations, enzymatic degradation of polyanions and reduction in glomerular cell synthesis or quality of basement membrane constituents such as type IV collagen, fibronectin and heparan sulfate. The latter molecules are sensitive to the action of elastase, collagenase and heparatinase, which are released by platelets upon stimulation<sup>176</sup>. Infusion of collagenase, heparatinase, elastase or cathepsin G into the renal vasculature resulted in increased glomerular permeability to tracer molecules or development of proteinuria.

### **Platelet-derived growth factors as mediators of renal disease progression in experimental and human glomerulopathies**

A variety of cytokines and growth factors that are secreted by platelets directly influence glomerular mesangial cell functions, including proliferation, migration, contraction and extracellular matrix protein synthesis<sup>177</sup>.

Platelet-derived growth factor (PDGF) is a 30-kilodalton glycoprotein that appears to mediate mesangial cell proliferation in glomerulonephritis<sup>177,178</sup>. In response to activation by numerous substances, including PAE, thrombin, collagen and immune complexes<sup>179</sup>, platelets release PDGF – stored in the  $\alpha$ -granules – into the extracellular environment. PDGF is a potent mitogen for mesangial cells<sup>180</sup> and may have an additional role as an intermediary in the effects of other growth factors. Epidermal growth factor and basic fibroblast growth factor induce the PDGF gene in mesangial cells and exert their mitogenic effect at least partly through the PDGF loop<sup>181</sup>. Binding of PDGF to its receptor on mesangial cells activates phospholipase C with generation of inositol triphosphate, enhancement of intracellular calcium and diacylglycerol and protein kinase C activation<sup>182</sup>. PDGF together with other platelet secretory products such as fibronectin<sup>183</sup> and thrombospondin<sup>184</sup> act as chemoattractants for mesangial cells and this process appears important in conditions of mesangial cells interposition as in membranoproliferative glomerulonephritis. PDGF also regulates matrix production directly or by addi-

tional growth factor pathways, such as stimulating the release from mesangial cells of transforming growth factor beta (TGF $\beta$ ) which, in turn, controls the synthesis of a variety of mesangial matrix proteins. Administration of an antibody to PDGF inhibited mesangial cell proliferation in the anti-Thy-1 model<sup>185</sup> suggesting that PDGF plays an important role in the progression of proliferative diseases. In rats with proliferative glomerulonephritis induced by anti-Thy-1 antibody<sup>186</sup>, renal ablation<sup>187</sup> and streptozotocin-induced diabetes<sup>188</sup> it has been demonstrated that increased mesangial expression of PDGF and/or PDGF- $\beta$  receptor as well as their respective mRNAs in glomeruli is a consequence of platelet activation.

Autocrine pathways involving peptide growth factors exist also in human glomerular disease. This evidence derives from findings that expression of PDGF and PDGF $\beta$  receptor is enhanced in vascular structures and glomeruli in diverse proliferative diseases such as IgA, crescentic glomerulonephritis, focal segmental glomerulosclerosis, chronic transplant rejection and lupus nephritis<sup>189–192</sup>.

TGF $\beta$  is released from platelets locally at the site of injury and has a variety of biological actions<sup>193–195</sup>, including induction of its own production by local cells. TGF $\beta$  is also a strong chemoattractant of inflammatory cells that generate TGF $\beta$  by themselves as part of the cytokine network. In its defensive role, TGF $\beta$  mediates the recruitment of leukocytes, stimulates extracellular matrix deposition promoting tissue repair and the resolution of inflammation. In this context TGF $\beta$  induces the production of fibronectin, collagens, proteoglycans and tenascin and, in the mean time, blocks matrix degradation by increasing the levels of protease inhibitors, such as plasminogen activator inhibitor-1, and by reducing the secretion of proteases<sup>196</sup>. On mesangial cell proliferation TGF $\beta$  displays a dual mode of action: it inhibits the proliferation of cells actively proliferating in low density, but is a potent mitogen for confluent cells<sup>197,198</sup>. Evidence that TGF $\beta$  has a role in inflammatory renal diseases rests on the fact that TGF $\beta$  is stored in high concentration in platelets<sup>199</sup> and is expressed in activated monocytes and macrophages infiltrating the glomerulus. In glomerulonephritis and glomerulosclerosis TGF $\beta$  is supposed to be the principal mediator of increased extracellular matrix synthesis<sup>195,200</sup>. A role for TGF $\beta$  in mediating tubulo-interstitial and glomerular damage in progressive nephropathies is supported by the following observations. Glomerular expression of TGF $\beta$  is increased together with matrix deposition in experimental and human diabetic nephropathy<sup>201</sup>. In the experimental adriamycin-induced chronic model of progressive renal disease, the development of glomerulosclerosis parallels the increase in mRNA TGF $\beta$  and TGF $\beta$  receptor expression and the

enhanced secretion of TGF $\beta$  and is associated with raised mRNA levels of fibronectin in both cortex and glomeruli<sup>202</sup>.

Thus, platelets appear to play a central role in the early events in glomerular disease, however perpetuation of the proliferation of resident cells in clinical disease may also involve other autocrine pathways.

### Effect of antiplatelet agents on glomerular diseases

Depleting circulating platelets has been very effective in prevention of immune complex nephritis<sup>162,176,203–205</sup> and in inhibiting mesangial cell proliferation in several models of proliferative glomerular nephritis<sup>206–208</sup> and diabetic nephropathy<sup>188</sup>. In experimental and clinical glomerulonephritis the use of drugs against specific pathways of platelet activation and secretion ameliorates the progression of renal failure. These successful therapeutic results provide further evidence for the role of platelets as mediators of the progression of glomerular disease.

In 1972, treatment with cyclophosphamide, the anticoagulant warfarin and the platelet-inhibiting drug dipyridamole improved the prognosis in patients with membranoproliferative glomerulonephritis<sup>209</sup>. However, a multicentre prospective randomized Canadian study found no beneficial effect of this triple therapy<sup>210</sup>.

The effects of drugs such as warfarin, dipyridamole, aspirin and their association derive from reports involving a small number of patients. A prospective trial of warfarin and dipyridamole was performed in 18 patients with membranoproliferative glomerulonephritis<sup>211</sup>. The unpaired analysis compared ten patients followed for an initial year with eight patients receiving treatment first. Renal function remained stable and proteinuria decreased in the treated group. Favourable effects of the treatment were observed only in 6 out of 13 patients who completed both the control and treatment year. Association with dipyridamole and aspirin was studied in 40 patients with type I membranoproliferative glomerulonephritis<sup>212</sup>. In treated patients glomerular filtration rate (GFR) was better maintained, platelet half-life was increased and progression to end-stage renal disease occurred less often and over a longer period with respect to the placebo group. The interpretation of these results is difficult because this finding could derive from reduction in platelet/vascular wall interaction or in platelet consumption or, alternatively, might have been related to inhibition of both the platelet and the renal production of TXA<sub>2</sub> and prostaglandins. However, even if inhibition of TXA<sub>2</sub> synthesis may have a beneficial effect, the simultaneous inhibition of vasodilatory prosta-

glandins should theoretically be deleterious to renal function.

Ticlopidine is a potent inhibitor of platelet function, with a broad spectrum of pharmacological actions on platelet and platelet/cell interactions<sup>213,214</sup>. This drug differs significantly from aspirin in its mechanism of antiplatelet action and in its toxicity profile. Ex vivo studies have shown that ticlopidine impairs fibrinogen binding to its platelet receptor  $\alpha_{IIb}\beta_3$  complex, and inhibits platelet aggregation in response to many agonists, particularly ADP<sup>213,214</sup>. In vivo ticlopidine prolongs bleeding time in man and laboratory animals, causes red cell deformability and reduces blood viscosity. Ticlopidine has been used clinically to examine long-term drug effects in patients with chronic glomerular disease including focal glomerulosclerosis, membranous nephropathy and membranoproliferative and diffuse proliferative nephritis<sup>215</sup>. In such patients, proteinuria was reduced, platelet count improved and circulatory levels of  $\beta$ -thromboglobulin and PF4 decreased.

Although the findings in experimental animals provide a rationale for the use of ticlopidine in progressive glomerular diseases, the lack of controlled clinical trials makes it impossible to draw any conclusion about its efficacy. Moreover, caution in the use of this drug should be exercised because of the possibility of the induction of hemolytic uremic syndrome/thrombotic thrombocytopenic purpura, as a rare collateral effect<sup>216–220</sup>.

The effect of certain foods, such as fish oil, on platelet function must be considered. Fish oil contains omega-3 fatty acids that act by reducing the platelet content of arachidonic acid and by competing with arachidonic acid for cyclooxygenase<sup>221,222</sup>. Since the first report of beneficial effects of fish oil therapy on IgA nephropathy<sup>223</sup> several controlled trials have been reported with rather inconsistent findings<sup>223–228</sup>. However, the largest prospective controlled study reported to date, from the Mayo Clinic, did demonstrate a positive effect of fish oil therapy<sup>227</sup>. By the end of treatment, 5% of treated patients versus 33% of the placebo group reached an increase of serum creatinine of at least 50%. There was no beneficial effect observed in urinary protein excretion. Because of its safety, fish oil therapy is an attractive approach for combination with other regimens.

A variety of therapies that inhibit platelet function have been shown to be effective in glomerular diseases despite the non-specific nature of their actions. Identification of specific platelet inhibitors or target interventions to specific platelet pathways, will be crucial for understanding the exact role of platelets and their products in glomerular diseases.

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## Platelets and allergic diseases

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### Introduction

Irrefutable clinical evidence exists demonstrating the involvement of platelets in allergic diseases, including asthma, allergic rhinitis and eczema. Experimental models of allergic disease suggest that platelets may play a fundamental role in the pathogenesis of the inflammatory response that follows exposure to allergens. Of importance are the observations that, despite being anucleate, platelets share many characteristics of inflammatory cells and, of particular interest in allergy, undergo chemotaxis, express adhesion molecules, release a wide variety of proinflammatory mediators, enzymes, cationic proteins, and themselves become activated by mediators released by other cell types involved in inflammation.

### Clinical evidence of platelet involvement in allergic diseases

Numerous studies have revealed an alteration in the character and function of platelets from patients with allergic diseases, and these alterations may be dissociated from the well-characterized involvement of platelets in thrombosis and hemostasis<sup>1-7</sup>, illustrating a dichotomy in platelet fu

platelet activation *in vivo*, whilst platelets from the same allergic patients are found to be refractory to a variety of stimuli *ex vivo*, a phenomenon possibly resulting from platelet 'exhaustion', where platelets are over stimulated *in vivo* and subsequently respond poorly to stimuli *in vitro*<sup>8,9</sup>. In support of this concept, platelet aggregation has been observed to be abnormal in asthmatic patients. The ability of proaggregatory mediators such as noradrenaline and adenosine diphosphate (ADP) to induce aggregation is seen to be impaired, with no second phase aggregation, an

occurrence that has also been correlated with increased serum immunoglobulin E (IgE) in asthmatic patients<sup>10,11</sup>. It is also accompanied by a defective release of 5-hydroxytryptamine (5-HT) and platelet factor-4 (PF4)<sup>10</sup>. *In vitro* data investigating platelet function from atopic patients suffering from allergy to food, eczema, asthma or allergic rhinitis showed 5-HT uptake into platelets was slower compared to non-atopics. The release of 5-HT from washed platelets after stimulation with aggregated IgG was also significantly lower in the atopic groups<sup>12</sup>, even though serum from asthmatic patients has been found to contain higher levels of 5-HT and its metabolites<sup>13</sup>, thus raising the possibility of platelet desensitization due to chronic *in vivo* activation. The antidepressant drug tianeptine, a drug capable of reducing the levels of free 5-HT in plasma, via the enhancement of 5-HT uptake by platelets, resulted in a reduction in clinical severity of asthmatic indices in asthmatic patients, accompanied by an improvement in pulmonary function<sup>14</sup>. Other abnormalities of platelet function observed *in vitro* include a decrease in arachidonic acid metabolism in washed platelets from atopic subjects, although an increase in lipoxygenase products has been observed<sup>15,16</sup>. Platelet-activating factor (PAF) levels have been observed to rise during periods of acute asthma attacks. However, platelets from these patients *in vitro*<sup>17</sup>. Other investigations have suggested that intracellular second messenger systems are altered in platelets from atopic patients. Phosphatidyl inositol (PI) turnover and the formation of inositol triphosphate (IP<sub>145</sub>) and intracellular Ca<sup>2+</sup> [Ca<sup>2+</sup>]<sub>i</sub> are found to be greater than basal levels, and are also heightened after *in vitro* exposure to PAF<sup>18</sup>, providing evidence of increased *in vivo* stimulation.

These traits are accompanied by a prolonged bleeding time, increased platelet mass, an altered sensitivity to PAF of platelets isolated from patients with asthma and hay

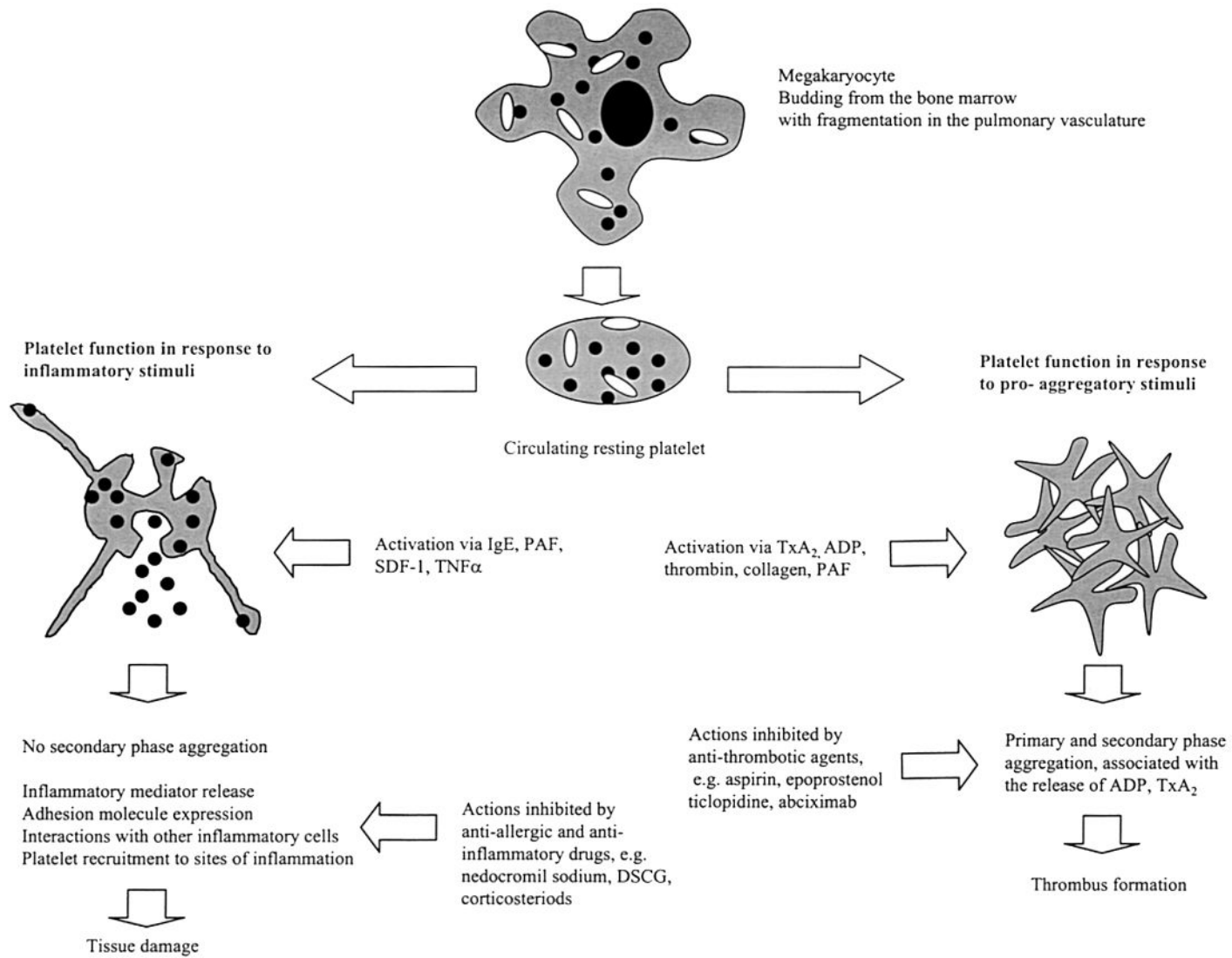


Fig. 56.1. Dichotomy of platelet function.

fever, and an increase in platelet volume<sup>16,19</sup>. Observations have also been made of decreased platelet survival time in stable atopic asthmatics, perhaps as a result of chronic platelet activation<sup>20</sup>. These phenomena can be corrected by treatment of patients with glucocorticoids<sup>21</sup> or with platelets treated with disodium chromoglycate in vitro before reinfusion<sup>22</sup>. Large numbers of pulmonary megakaryocytes (precursors to platelets) have been noted in the lungs obtained at autopsy from patients who have died from *status asthmaticus*<sup>23,24</sup>, an occurrence that is accompanied with bone marrow karyopoiesis and thrombopoiesis<sup>23</sup>. This is perhaps the result of localized platelet recruitment and activation within lungs<sup>25</sup>, since circulating venous platelet numbers have been shown to drop during an early phase response to allergen<sup>26,27</sup>. Circulating platelet aggregates have also been detected in patients with spontaneous asthma attacks<sup>1,3</sup>. Platelets have been observed to accumulate in the microvasculature of lungs from atopic asthmatics<sup>28</sup>, and platelets<sup>29,30</sup> and platelet products<sup>31</sup>, have been detected in the bronchoalveolar lavage (BAL) fluid, and attached to the luminal side of the epithelium in patients with late onset airways obstruction. Platelets have also been observed to undergo diapedesis in sections of lung from asthmatic patients<sup>32</sup>. The close association of platelets with eosinophils in BAL fluid<sup>29</sup> has led to the hypothesis that activated platelets may enhance leukocyte migration across endothelium (see below), since it is apparent that platelets carry glycohydrolases in their liposomes, capable of degrading glycoproteins, glycolipids and glycosaminoglycans on cell membranes<sup>33</sup>, and thus may facilitate transmigration. Altered platelet function has been shown to be associated with bronchial hyper-responsiveness occurring in nocturnal asthma, where a reduction in the aggregatory response to collagen and PAF was accompanied by lower levels of intraplatelet  $\beta$ -thromboglobulin ( $\beta$ -TG), a platelet specific protein, in a time-dependent phenomenon that occurred concomitantly with a corresponding maximal reduction in peak expiratory flow and a maximal increase in bronchial hyper-responsiveness to methacholine<sup>34</sup>.

Raised levels of mediators peculiar to platelets are observed in atopic individuals compared to normal individuals during allergen exposure. The release of platelet specific granular products such as  $\beta$ -TG, and platelet factor 4 (PF4) are increased during symptomatic periods of asthma<sup>35</sup>, and are observed in plasma and BAL fluid of atopic subjects<sup>31,36</sup>, and platelets become activated after allergen provocation, as measured by thromboxane ( $\text{TXA}_2$ ) production<sup>37</sup>. Other mediators common to platelets have been observed in atopic patients: PAF levels, as measured by the precursor and metabolite lyso-PAF, are significantly increased in patients suffering a late asthmatic response,

when compared to the immediate response<sup>38,39</sup>. Nedocromil sodium, a drug used in the treatment of asthma, has been shown to inhibit platelet activation induced by PAF in ex vivo studies<sup>40</sup>. This rise in PAF levels may be related to a deficiency in PAF acetylhydrolase, an enzyme that inactivates PAF, in children studied with moderate or severe asthma<sup>41</sup>. The increased release of 'regulated upon activation, normally T-cell expressed and secreted' (RANTES), CD62P (P-selectin) expression and an increase in intracellular free calcium concentration [ $\text{Ca}^{2+}$ ]<sub>i</sub> is observed in platelets from asthmatics after thromboxane stimulation<sup>42,43</sup>, and the release of these products has been correlated to a rise in  $\beta$ -TG levels in vivo<sup>43</sup>. The increased presence of these mediators has been shown to be suppressed by oral administration of theophylline<sup>42</sup>, which may contribute to the efficacy of theophylline in the treatment of asthmatics.

### The role of IgE on platelet function in allergies

Production of antigen-specific IgE in response to allergen provocation is a fundamental hallmark of atopic diseases<sup>44,45</sup>. The cross-linking of IgE by antigen is believed to provide the stimulus for mast cell degranulation in early phase reactions, an event that precipitates a cascade of inflammatory events in response to allergen<sup>24,46,47</sup>. Compelling evidence reveals that platelets are also activated via IgE, perhaps initiating an important pathway to platelet activation, independent of mediator release from other inflammatory cells. Patients allergic to *Dermaphagoides pteronyssinus* have been exposed to synthetic peptides derived from the allergen Der p1, and were shown to have specifically activated platelets. This was a process mediated by IgE, that did not stimulate platelets from healthy subjects or non-Der p1 allergic patients, illustrating the selectivity of how platelets respond to a specific allergen stimulus<sup>48</sup>. Similarly, platelets bearing IgE were found to be activated only via specific antigens in IgE dependent killing of *Schistosoma mansoni*<sup>49</sup>. The involvement of platelets in IgE-mediated responses may well represent inappropriate actions of platelets commonly displayed in IgE-mediated immunity against helminth and protozoan parasitic infections<sup>50,51</sup>, processes that can be inhibited by T-cell products<sup>49</sup>.

Between 20 and 30% of platelets from normal individuals bind IgE, this binding affinity rising up to 50% from patients with allergies<sup>10,52</sup>. Platelets from atopic individuals are characterized by a much greater IgE content compared to non-atopics, and this correlates to the levels of IgE in serum from atopic patients, the stimulation of whose platelets resulted

in the release of 65% of stored IgE by PAF stimulation but not by platelet mediators, thrombin and ADP<sup>53</sup>. IgE appears to be stored in platelet  $\alpha$ -granules<sup>53</sup>. This is through a specific directional uptake mechanism under the control of intracellular IgE receptors<sup>54,55</sup>. Platelets contain both the high ( $10^{-9}$ M) and low affinity ( $10^{-7}$ M) receptors for IgE (Fc $\epsilon$ RI and Fc $\epsilon$ RII/CD23, respectively) on the surface membrane<sup>50,52,56,57</sup>. However, it is apparent that only low proportions of platelets express both Fc $\epsilon$ RI and Fc $\epsilon$ RII simultaneously<sup>58</sup>. The activation of the high affinity receptor on human platelets with monoclonal IgE resulted in the activation of platelets, as demonstrated by platelet cytotoxicity to *Schistosoma mansoni* larvae<sup>58</sup> and the stimulation of platelets via Fc $\epsilon$ RI has been shown to induce the release of 5-HT and RANTES, demonstrating that platelets may play an important role in the progression of allergic inflammation via IgE-dependent mechanisms<sup>53,57</sup>. Platelets from allergic patients produce free-radical oxygen species in response to IgE stimulation via specific allergens or antibodies<sup>52</sup>. This process of platelet activation could be inhibited by antibodies specific for the low affinity receptor for IgE (Fc $\epsilon$ RII/CD23)<sup>58</sup>, and thus reveals a negative feedback response of platelets to IgE stimulation, governed by the differential up-regulation of IgE receptors. Platelets from asthmatic patients have also been observed to undergo chemotaxis in response to allergen exposure, perhaps via platelet bound, antigen specific IgE<sup>59</sup>. The process of platelet activation by IgE has been demonstrated to be inhibited by drugs used for the treatment of atopic asthma, such as nedocromil sodium and disodium cromoglycate<sup>60,61</sup>, resulting in a decrease in the generation of cytotoxic mediators and the inhibition of oxidative metabolism<sup>60</sup>, as well as increasing the survival time of platelets from asthmatic patients and increasing monoamine uptake, a process inhibited by IgE<sup>22,62</sup>, whilst the inhibition of eosinophil chemotaxis by cetirizine (an H<sub>1</sub> receptor antagonist used in the treatment of allergies) may be linked to the inhibition of IgE dependent platelet activation<sup>63</sup>. Recent evidence has highlighted the possible stimulation of the collagen receptor (GPVI), via mechanisms similar to IgE receptor activation<sup>56</sup>, since it is also a member of the Ig superfamily of proteins being associated with the Fc receptor  $\gamma$  chain which can be activated upon cross-linking of antibodies<sup>64,65</sup>. However, observed aggregation of platelets via IgE cross-linking may be the result of platelets self-adhering to each other via P-selectin, since stimulation up-regulates expression in asthmatic individuals<sup>42</sup>, and platelets also contain the counter ligand (see below), because it is known that aggregation, as observed in thrombotic events, occurs via different mechanisms to that which stimulates platelet activation in asthmatic patients<sup>11</sup>.

### Experimental evidence for the role of platelets in animal models of allergy

Experimental animal models have been utilized to better understand the role played by platelets in allergic inflammation. Research in vivo has emphasized the intimate nature in which platelets cooperate with other inflammatory cells, due to the perception that the pathogenesis of atopic asthma is driven by an acquired inflammatory response to allergen via IgE dependent processes, resulting in eosinophil infiltration to the lung and damaged epithelium. Asthma is characterized clinically by hyper-responsiveness of airway smooth muscle in vivo to various spasmogens and, chronically, an accelerated decline in lung function, accompanied by airway wall obstruction and tissue remodelling, which may be the consequence of a cycle of damage and inappropriate repair mechanisms. Evidence now suggests that platelets are recruited to the lung after exposure to allergen and may therefore participate in these various processes, as well as interacting with other effector cells driving the pathogenesis of asthma.

Platelets were first observed to undergo diapedesis into the extravascular tissue of guinea pig lungs following antigen sensitisation, an effect that could be mimicked by exposure to PAF<sup>66</sup>. In particular, platelets were found in close proximity to areas of bronchial smooth muscle and eosinophil infiltration. The actions of PAF were found to be selective since other platelet agonists such as ADP that are capable of inducing platelet activation within the pulmonary vasculature did not induce the diapedesis of eosinophils, suggesting a cohesive link between the two cell types, which is unrelated to stimuli that cause aggregation<sup>66</sup>. Platelets have also been reported in the BAL fluid of allergic rabbits undergoing late onset airways obstruction following antigen challenge<sup>29</sup>. Recent evidence has suggested that platelets may be selectively recruited to sites of inflammation, being targets for certain chemokines, after reports that the chemokine, stromal cell-derived factor-1 (SDF-1), and macrophage-derived chemokine (MDC) activate platelets<sup>67,68</sup> via their receptors CXCR4 and CCR4 respectively. Intravenous administration of PAF and other platelet agonists into guinea pigs also induced broncho-spasm, and was associated with an accumulation of platelets in the lung<sup>69-74</sup>. The depletion of platelets in similar models resulted in the abolition of the acute bronchoconstriction to inhaled allergen<sup>75</sup>, and has been shown to protect against anaphylaxis in allergic rabbits<sup>71</sup>. The inhibition of bronchoactive agents released by platelets has also been reported to abrogate the resulting broncho-spasm in such models<sup>76-78</sup>. Platelets therefore have the

capacity, either solely or by interacting with other cell types, to critically affect certain parameters of asthma (see Fig. 56.2).

### Platelet derived mediators involved in asthma

Platelets contain a plethora of inflammatory mediators released during allergic responses: preformed mediators, either stored in dense (ADP, ATP, 5-HT,  $\text{Ca}^{2+}$ ) and  $\alpha$  granules, containing mediators synthesized by megakaryocytes (e.g. PF4, PDGF) or sequestered in the circulation (e.g. IgE) or lysosomes, which contain matrix metalloproteinases capable of degrading structural tissue, and also the *de novo* production of arachidonic acid metabolites. 5-HT is a vasoconstrictor and increases vascular permeability during inflammatory events<sup>79</sup>. 5-HT has also been shown to induce fibroblast proliferation and thus may contribute to the phenomenon of airway remodelling<sup>80</sup>, since drug induced 5-HT uptake by platelets has been shown to reduce the clinical severity in asthmatic patients<sup>14</sup>. Adenosine, also released from dense granules, has been shown to act as a bronchoconstrictor<sup>81</sup>. Platelets are capable of synthesizing and releasing histamine<sup>82,83</sup>, which enhances platelet aggregation<sup>84</sup>, and is also released during aggregation *in vivo*<sup>83</sup>. Human platelets may also induce the release of histamine from mast cells and basophils through IgE dependent mechanisms, as well as through thrombin, PAF, and collagen activation, resulting in bronchoconstriction<sup>85,86</sup>. This process is via the release of platelet derived histamine releasing factor (PDHRF), which is also a powerful eosinophil chemoattractant, causing early and late phase airway obstruction and the induction of airways hyper-responsiveness in an allergic rabbit model<sup>87</sup>. Free radical production by platelets may contribute to tissue damage directly<sup>88</sup>. Platelets that release free radicals do not aggregate, and platelet aggregation itself inhibits free radical production<sup>88</sup>. Platelets contain cationic proteins, which enhance vascular permeability to leukocytes<sup>89</sup>. Cationic proteins are also capable of cleaving the fifth component of complement to produce C5a, a chemoattractant to leukocytes<sup>90</sup>. Cationic proteins have also been observed to contribute to tissue damage directly<sup>91</sup>. Of particular interest is the platelet-specific cationic protein PF4, a mediator shown to induce the upregulation of IgG and IgE receptors on eosinophils<sup>92</sup>, and stimulates basophils to release histamine<sup>93</sup>. PF4 also acts as a chemokine towards neutrophils, monocytes, fibroblasts, and eosinophils<sup>94</sup> and can activate eosinophils, thus indirectly contributing to the induction of tissue damage and ensuing hyper-responsiveness associated

with eosinophil activation<sup>91,95–100</sup>. PF4 has been shown to augment eosinophil adhesion to plates coated with plasma or recombinant soluble intracellular adhesion molecule-1 (rsICAM-1)<sup>101</sup>, perhaps via the up-regulation of adhesion molecule expression (leukocyte functional antigen: LFA-1 $\alpha$  and LFA-1 $\beta$ ) on eosinophils<sup>101</sup>, and thus, may be an important mechanism by which PF4 induces hyper-responsiveness to inhaled methacholine in rats, via eosinophil chemoattraction<sup>102</sup>. PF4 is able to reverse immunosuppression by lymphoma cells in mice<sup>103–105</sup>. This was independent of the ability of PF4 to bind to heparin<sup>106</sup>, an agent known for its antiproliferative actions<sup>107,108</sup>, raising the possibility that platelets are capable of stimulating T-helper 2 lymphocyte proliferation, a T-cell subset believed to orchestrate the inflammatory reaction to allergens.

Other mediators released from the  $\alpha$ -granules of platelets have also been shown to induce leukocyte chemotaxis. The stimulation of human platelets with thrombin or via IgE results in the release of RANTES<sup>53,109,110</sup>, a mediator that has been shown to be released in asthma<sup>43</sup>. RANTES was demonstrated to act as a chemoattractant towards monocytes and T-lymphocytes<sup>111</sup>, and is a potent chemokine for eosinophils<sup>109,112</sup>. RANTES opens  $\text{Ca}^{2+}$  activated  $\text{K}^+$  channels in eosinophils<sup>113</sup>, and may be a mechanism by which eosinophils become activated via chemokine activation, the outcome of which may include the augmentation of eosinophil oxygen free radical production<sup>114</sup>.

Other substances released by platelets in allergic reactions are those of arachidonic acid and phospholipid metabolism, produced *de novo* upon activation by a wide variety of inflammatory stimuli<sup>115</sup>, the importance of which is illustrated in aspirin sensitive asthma (see below).  $\text{TXA}_2$  is a potent vasoconstrictor and smooth muscle spasmogen<sup>116</sup>, and platelets interact with macrophages and eosinophils in a co-operative manner, enhancing  $\text{TXA}_2$  synthesis<sup>117</sup>. Other arachidonic acid metabolites include the prostaglandins.  $\text{PGF}_{2\alpha}$  induces platelet aggregation, and has vasoconstrictor properties, whilst  $\text{PGE}_2$  acts as a vasodilator and can induce pain<sup>116</sup>.  $\text{PGE}_2$  has recently been shown to act as an immunomodulator, with the ability to prime naïve T-cells into producing high levels of T-helper (Th) 2 cytokine expression, cytokines believed to be important in inducing eosinophil recruitment to allergic airways<sup>118</sup>. This action of  $\text{PGE}_2$  may be through the inhibition of IL-12 responses (a cytokine that is pivotal in driving the immune system to a Th1 response)<sup>119</sup>. Hydroxyeicosatetraenoic acid (12-HETE) is produced by a platelet specific enzyme (12-lipoxygenase) and has chemotactic activity for eosinophils<sup>120,121</sup>. 12-HETE is also taken up by neutrophils to produce 12, 20-diHETE, a

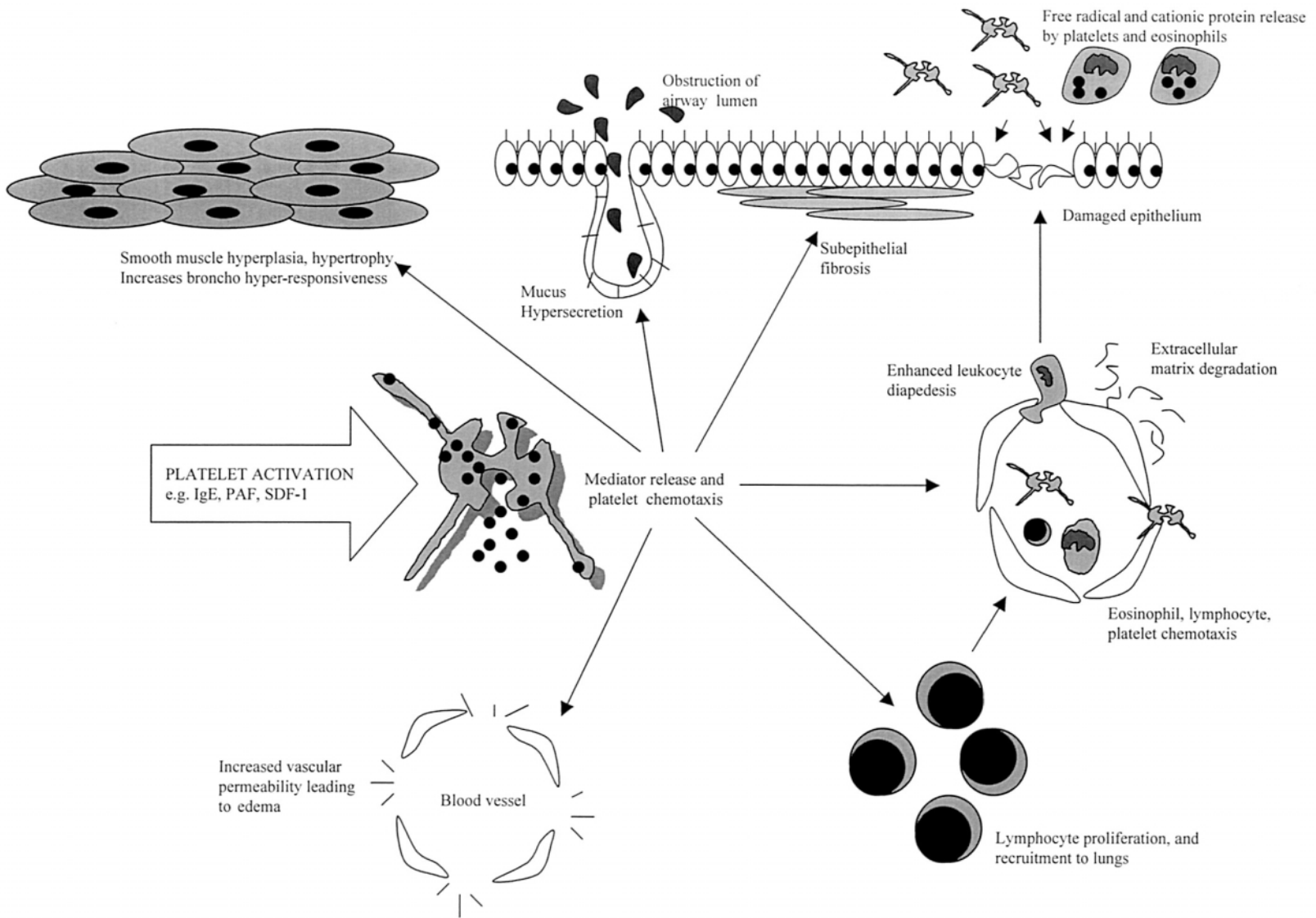


Fig. 56.2. Effects of platelets on cell activation in asthma.

chemoattractant that neutrophils are unable to produce in isolation and require activated platelets<sup>121–123</sup>. 12-HETE also stimulates leukocyte 5-lipoxygenase and thus increases leukotriene production<sup>124</sup>. The leukotrienes comprise of cysteinyl leukotrienes (LTC<sub>4</sub>, LTD<sub>4</sub>, and LTE<sub>4</sub>) and LTB<sub>4</sub>. Cysteinyl leukotrienes are potent inflammatory mediators, inducing bronchospasm, mucus hypersecretion, and increased vascular permeability<sup>125–127</sup>, and also increase hyper-responsiveness in asthmatics<sup>128</sup>. LTC<sub>4</sub> and LTD<sub>4</sub> induce eosinophil infiltration into the lungs of guinea-pigs and humans<sup>129,130</sup>, whilst LTB<sub>4</sub> acts as a non-specific chemoattractant<sup>131</sup>. Platelets and neutrophils have been shown to cooperate in a synergistic manner with regards to arachidonic acid metabolism. Arachidonic acid from platelets is sequestered by neutrophils with the resulting synthesis of 5-HETE and leukotriene B<sub>4</sub> (LTB<sub>4</sub>)<sup>132</sup>. PAF may also enhance LTB<sub>4</sub> production by neutrophils<sup>133</sup>. LTA<sub>4</sub> produced by leukocytes may be converted to LTC<sub>4</sub> by platelets via glutathione-S-transferase via intimate contact between the two cell types<sup>126</sup>. This phenomenon may occur by PMN cells activating platelets via cathepsin-G release, resulting in the expression of P-selectin on platelets<sup>134</sup>.

PAF, another phospholipid derived mediator often generated along with arachidonic acid metabolites, is now known to be produced *de novo* by a number of inflammatory cells including platelets via the activation of phospholipase A<sub>2</sub><sup>135</sup>. Many actions of PAF have since been uncovered which are of relevance to allergic inflammation. Guinea pig trachea from animals exposed to aerosolised PAF have been shown to depolarize to a greater extent to histamine than controls, and animals receiving PAF also had eosinophil infiltration into the submucosa<sup>136</sup>. PAF administration has also been shown to induce platelet accumulation into the lungs of baboons and guinea pigs<sup>66,137,138</sup>, culminating in the extravasation of platelets into close proximity to areas rich in airway smooth muscle<sup>66</sup>, and platelet depletion inhibited PAF induced hyper-responsiveness in rabbits<sup>139</sup>. This phenomenon has been associated with an increase in lung resistance and therefore the changes in lung mechanics may be attributable to platelet activation alone<sup>74</sup>, since animals depleted of platelets have a reduction in hyper-responsiveness and eosinophilia<sup>75,140</sup>. The release of substances such as platelet-derived hyper-responsiveness factor (PDHF), after stimulation by PAF may contribute to these effects<sup>141</sup>. PAF is also capable of inducing leukocyte recruitment, perhaps via the ability to induce surface expression of adhesion molecules on eosinophils<sup>101</sup>, and the transmigration of eosinophils through artificial membranes has uncovered an important synergistic relationship of PAF

and eosinophil stimulating cytokines such as interleukin-5 (IL-5)<sup>142</sup>. Several studies have highlighted the effects of PAF on inflammatory cell product release. The generation of superoxide anion products by formylmethionylleucyl-phenylalanine (fMLP) stimulated eosinophils is enhanced by preincubation with low concentrations of PAF<sup>143</sup>.

Early studies with PAF antagonists in human asthmatics were shown to have no effect on the early or late response to inhaled allergen, or subsequently on airway hyper-responsiveness<sup>144,145</sup>. However, recent evidence suggests other PAF antagonists have activity in asthmatics<sup>146,147</sup>, raising the possibility that PAF does play a role in asthma under some circumstances.

### Effects of platelets on airway remodelling in asthma

Airway wall thickening, brought about by smooth muscle hypertrophy/hyperplasia, along with subepithelial fibrosis is a common feature in the asthmatic lung, contributing to decline in lung function and increased hyper-responsiveness. Platelets release a number of mitogens that may contribute to bronchial smooth muscle growth and myofibroblast proliferation after continuous platelet activation, since it has been shown that platelets control smooth muscle proliferation in atherosclerosis and restenosis<sup>148</sup>. This is perhaps through the release of chemotactic factors for structural cells, since a platelet-derived factor was found to be chemotactic for rabbit arterial smooth muscle cells in culture<sup>149,150</sup>. Intimal proliferation of smooth muscle, occurring after endothelial injury, has been shown to be preceded by platelet adhesion to the subendothelium<sup>151</sup>. Platelet-derived growth factor (PDGF) has since been shown to affect human, rat, and rabbit tracheal smooth muscle mitogenesis, acting as a potent mitogen for airway smooth muscle cells in culture<sup>152,153</sup>, and acts as a potent chemoattractant for fibroblasts<sup>154</sup>, as well as monocytes and neutrophils<sup>155</sup>. Recently, PDGF overexpression was observed, along with airway smooth muscle thickening in mice repeatedly exposed to allergen<sup>156</sup>. Transforming growth factor- $\beta$  (TGF $\beta$ ), also released by platelets has also been shown to increase smooth muscle cell mitogenesis in culture<sup>157,158</sup>, and may contribute to subepithelial fibrosis<sup>159</sup> via its chemotactic properties for fibroblasts and neutrophils<sup>160</sup>. These findings support the hypothesis that platelets are crucial in the induction of chronic airway remodelling in asthmatics due to the granular storage of PDGF and other growth factors released during inflammation.



## Platelet involvement in leukocyte adherence to endothelium

Platelets, along with eosinophils and monocytes, have been observed attached to the vascular endothelium of asthmatics, an event that was accompanied by extensive inflammatory changes to the airways<sup>28</sup>. A more intimate association between platelets and other inflammatory cells has since been demonstrated by an increase in the number of platelet–leukocyte aggregates during the late asthmatic response<sup>161</sup>, an event that correlates with increased platelet activation (see Fig. 56.3). The adhesive events between platelets and leukocytes may be induced by the up-regulation of the adhesion molecule: platelet-selectin (P-selectin), a sialyl Lewis<sup>x</sup> tetrasaccharide found on myeloid cells, thus making it possible that leukocytes could adhere to platelets (and endothelium) which carry the P-selectin ligand<sup>162</sup>. P-selectin is stored in the  $\alpha$ -granules of platelets and is released to the surface upon activation<sup>163</sup>. Activated platelets have been demonstrated to bind monocytes, PMN cells, eosinophils, basophils and a subpopulation of CD4<sup>+</sup> and CD8<sup>+</sup> T cells<sup>164,165</sup>. This interaction is dependent on divalent cations, and is completely inhibited by monoclonal antibodies to P-selectin. It has subsequently been shown that circulating activated platelets are capable of reconstituting lymphocyte homing on endothelium in L-selectin deficient mice<sup>166</sup>. P-selectin is also critical in eosinophil recruitment, because it has been demonstrated as the common factor in several selectin combinations for eosinophil attachment to the endothelium<sup>167</sup>. P-selectin deficient mice had no obvious defects in hemostasis, suggesting that P-selectin is only expressed on platelets during inflammatory events<sup>168</sup>. However, in an allergic model of inflammation, mice deficient in P-selectin were shown to have a reduction in eosinophil and lymphocyte accumulation to the lungs, accompanied by a reduction in airways hyper-responsiveness and IL-4 and EPO levels<sup>169</sup>. Furthermore, activated platelets, through P-selectin/sialyl Lewis<sup>x</sup> interactions, were shown to be able to induce superoxide anion production in monocytes and neutrophils<sup>170</sup>. Activated platelets are also able to adhere to unstimulated PMN leukocytes, a process involving a P-selectin dependent recognition step and a functional signal that did not require PMN cell activation, thus platelets might directly induce a change in leukocyte activation status<sup>171</sup>, via a process dependent on platelet P-selectin recognizing the counter ligand PSGL-1 on PMN cells<sup>172</sup>. Platelet PMN leukocyte interaction in dynamic conditions has also been shown to be dependent on P-selectin interactions with PMN cells resulting in the up-regulation of  $\beta_2$  integrin CD11b/CD18 on leukocytes<sup>171</sup>. The expression of

CD11b/CD18 has subsequently been shown to be most marked in neutrophil populations complexed to platelets<sup>173</sup>. These leukocyte–platelet complexes are found to be the most adhesive cells (relative to their type) in the circulation<sup>174</sup>. P-selectin bound to antigen primed CD4<sup>+</sup> T-cells was able to differentially modulate the production of proinflammatory cytokines<sup>175</sup>, and thus may facilitate T-cell activation via an interaction with activated platelets. Activated platelets have since been shown to bind to circulating lymphocytes and mediate rolling in high endothelial venules through this interaction with P-selectin and peripheral node addressin, and P-selectin expression on platelets but not endothelial cells was responsible for leukocyte rolling along endothelium<sup>176</sup>. Platelets have also been observed to roll on stimulated endothelium *in vivo* in a manner similar to leukocyte rolling, being dependent on endothelial, but not on platelet P-selectin<sup>177</sup>. However, mice deficient in P-selectin exhibited virtually no leukocyte rolling in mesenteric venules<sup>168</sup>. Subsequent findings have shown that both human and murine platelets also express PSGL-1, the counter ligand for P-selectin<sup>178</sup>, the blocking of which with anti-PSGL-1 antibodies reduced platelet rolling in mesenteric venules<sup>178</sup>. Thus, leukocyte rolling may be enhanced through platelet rosetting around the leukocyte, with subsequent platelet tethering to the endothelial surface, resulting in leukocyte attachment via platelet rosettes. Direct observation of membrane tethering between neutrophils and platelets has been shown under physiological flow conditions<sup>179</sup>. The tethering between spread platelets and free flowing neutrophils was abolished by antibodies to P-selectin and PSGL-1<sup>179</sup>. Platelet rosetting around leukocytes with subsequent attachment and transmigration across endothelium may be a critical process that is further enhanced by the release of platelet lysosomal enzymes degrading glycolipids attached to cell membranes<sup>33</sup>, facilitating the movement of leukocytes across the tissue.

Within lysosomes, platelets contain a number of enzymes, termed matrix metalloproteinases<sup>180,181</sup> which are released when platelets become activated<sup>33</sup>, and increased levels of which have been observed in the BAL fluid of allergen-challenged subjects<sup>182</sup>, and ozone-challenged guinea pigs<sup>183</sup>. These are believed to disrupt the composition and integrity of cell membranes by degrading glycoproteins, glycolipids and glycosaminoglycans. The outcome of this is thought to induce the diapedesis and extravasation of leukocytes, as well as to release membrane-bound growth factors for wound repair<sup>184</sup>. Platelets contain a number of  $\beta$ -hexosaminidases<sup>33</sup>, which have been shown to have a mitogenic effect on airway smooth muscle proliferation<sup>185,186</sup>. The implications of

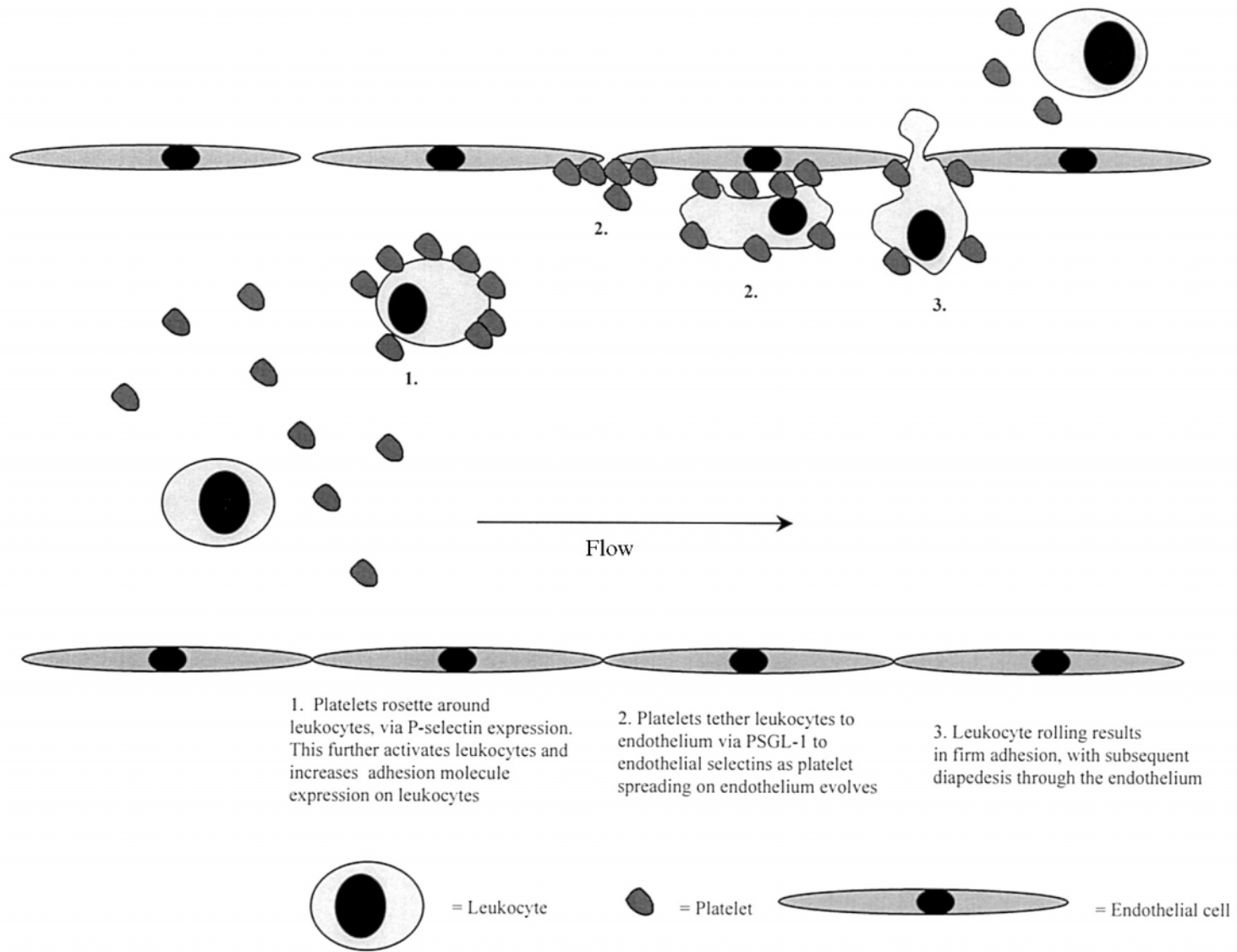


Fig. 56.3. Enhanced leukocyte recruitment via platelet tethering.

these actions are profound in the progression of asthma as they may facilitate inflammatory cell extravasation and stimulate tissue remodelling.

### The role of platelets in aspirin and NSAID sensitive asthma

Further evidence of platelet involvement in asthma is provided by the growing body of evidence demonstrating that platelets are critically involved in the condition of aspirin induced asthma. Aspirin-induced asthma affects about 10% of adult asthmatics, and is based upon an individual's intolerance to aspirin and other related non-steroidal anti-inflammatory drugs (NSAIDs), due to the pharmacological action of the drug rather than the NSAID inducing an antigen-antibody reaction *per se*. This is demonstrated by the serum of aspirin-sensitive atopic patients being unable to passively sensitise platelets from healthy controls to NSAIDs<sup>187</sup>, and the fact that no antibody for NSAIDs has ever been detected.

The phenomenon of aspirin-induced asthma is now thought, in part, to be related to an abnormal response of platelets from such individuals to NSAIDs. Heightened platelet responsiveness to aspirin is recorded in these individuals, this being reduced dramatically after the inhalation of nedocromil sodium<sup>188</sup>, a drug that is of clinical benefit in the treatment of aspirin-sensitive asthmatic patients. It has been suggested that the irreversible inhibition of cyclooxygenase (COX) leads to the shunting of arachidonic acid metabolism via the lipooxygenase pathways to increase the production of leukotrienes by platelets<sup>189</sup>, especially since leukotriene levels may be enhanced by overproduction of 12-HPETE<sup>124</sup>, and the consequences of removing the inhibitory effects of prostaglandins<sup>190</sup>. A decrease in PGE<sub>1</sub>, PGE<sub>2</sub>, PGF<sub>2α</sub>' and TXB<sub>2</sub> is noted in asthma-sensitive patients<sup>191</sup>, whilst higher levels of LTC<sub>4</sub> in nasal secretions and altered levels of LTE<sub>4</sub> have been observed<sup>192</sup>. Lipooxygenase inhibitors are able to prevent the abnormal response of platelets to NSAIDs *in vitro*<sup>193</sup>, and recent clinical studies suggest that such patients respond well clinically to treatment with leukotriene receptor antagonists<sup>194</sup>. However, it is not clear why only a proportion of asthmatic subjects exhibit such an abnormal sensitivity to NSAIDs, and some investigators have reported that aspirin can increase the levels of PGF<sub>2α</sub>' (a bronchoconstrictor) from platelet suspensions obtained from subjects with aspirin-induced asthma, possibly via the displacement of protein-bound PGF<sub>2α</sub>'<sup>195</sup>, although no changes in β-TG and PGE<sub>2</sub> levels had been observed in this study.

Aspirin-induced asthma has also been linked to the actions of platelet specific glutathione peroxidase activity in such individuals<sup>196</sup>. Glutathione is a tripeptide, playing a key role in detoxification, by reacting with reactive oxygen species, through glutathione peroxidase, an enzyme that has a covalently linked selenium atom at the active site<sup>197</sup>. Serum selenium levels are lower in aspirin-tolerant asthmatics compared to aspirin intolerant asthmatics, and is believed to alter the activity of glutathione peroxidase in this group<sup>196</sup>. Aspirin induces an increase in oxygen-free radical production in aspirin-intolerant asthmatic platelets, although no differences in glutathione peroxidase levels were found between patient groups<sup>198</sup>. The increased production of reactive oxygen species may contribute to the pathogenesis of asthma by causing epithelial damage, leading to an increase in bronchial hyper-responsiveness; since glutathione acts as an antioxidant, and has been recognized as being important in preventing oxidative damage in mice and rats<sup>199,200</sup>, and recent evidence has suggested that paracetamol, a drug known to deplete glutathione levels in the lung, increased the clinical severity of asthma, with the incidence of asthma attacks correlating with the frequency of paracetamol usage<sup>201</sup>.

### Conclusion

A clear dichotomy exists in platelet function, with an inflammatory activity clearly distinguishable from the processes observed during thrombosis and hemostasis. It is now very clear that platelets possess a formidable array of machinery that allows them to play an active role in primary defence mechanisms involving IgE-dependent cytotoxicity to parasites. Allergic insults appear to inappropriately activate these mechanisms by which platelets subsequently contribute to leukocyte infiltration and destruction of the airway architecture leading to a decline in lung function and heightened bronchial responsiveness observed in asthma. There are thus novel possibilities by which the pathogenesis of allergic inflammation can be better understood and perhaps influenced to a greater and better degree than current therapies allow.

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# Platelet interactions with other cells related to inflammatory diseases

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## Introduction

Many inflammatory disorders are clinically associated with high blood platelet counts<sup>1-5</sup>. Unlike in primary thrombocytosis where both arterial and venous complications are present<sup>6,7</sup>, these reactive or secondary thrombocytoses are mainly asymptomatic. However, when a secondary thrombocytosis is associated with other risk factors, thromboembolic events can occur in the venous system<sup>7</sup>. Interestingly, thrombocytosis observed in inflammatory diseases is associated with elevated serum levels of inflammatory mediators such as interleukin-6<sup>8,9</sup> or P-selectin<sup>10</sup> as opposed to increased blood thrombopoietin in primary thrombocytosis<sup>11</sup>; in addition, platelets from patients with primary thrombocytosis exhibit a decreased 12-lipoxygenase activity<sup>12</sup>. The clinical impact and the significance of such reactive thrombocytosis, most of the time neglected because it is asymptomatic, remain hypothetical. During chronic inflammatory disorders such as rheumatoid arthritis, thrombocytosis is a hallmark of disease activity<sup>13</sup>. Thrombocytosis has also been associated with a poor prognosis of cervical cancer; this was, however, considered as an indirect indicator and no correlation between platelets and tumour growth was noted<sup>14</sup>. On the other hand, thrombocytosis has been reported in progressive systemic sclerosis<sup>1,15</sup>, during which Raynaud's phenomenon is an interesting example of a symptom where platelets are implicated through their activation and interaction with other cells such as endothelial cells. Besides the structural narrowing of digital arteries seen in systemic sclerosis<sup>16</sup>, the pathogenesis of Raynaud's phenomenon is, at least in part, related to endothelial injury causing platelet activation (release of vasoconstrictive mediators such as thromboxane A<sub>2</sub>, serotonin, ADP) and platelet adhesion to the endothelium<sup>15</sup>. Interactions between platelets and endothelial cells have also been

implicated in the pathogenesis of hypertrophic osteoarthropathy where the digital clubbing may be due to the release of fibroblast growth factors by large platelets in distal sites<sup>17-19</sup>. Although platelets and their interactions with other cells are mainly reported to enhance the inflammatory process, one has to keep in mind that platelets are also involved in homeostasis of the vasculature and possibly in the down-regulation of tissue inflammation. Hence, secondary thrombocytosis may be contributing to this control. Indirect evidence of such a role has been reported in in vitro experiments with platelets from healthy subjects which exhibited decreased in vitro production of superoxide anion<sup>20</sup> or LTB<sub>4</sub><sup>21</sup> by ionophore A23187-stimulated neutrophils from uremic or allergic patients, respectively. In the same experiments, platelets from uremic or allergic patients did not show a similar inhibitory activity<sup>20,21</sup>. In vitro experiments also demonstrated that interactions between platelets and endothelial cells, neutrophils or erythrocytes resulted in an active transcellular metabolism of eicosanoids leading to the formation of new bioactive compounds<sup>22-24</sup>. On the other hand, circulating platelets bind to neutrophils and platelet-neutrophil complexes have been found in blood<sup>25</sup>. Unactivated platelets preferentially bind to resting monocytes as compared to neutrophils, at a ratio of three platelets per monocyte<sup>26</sup>. Such cellular complexes may have pathophysiological relevance since, for example, at rest, 25 to 34% of normal blood neutrophils bind platelets and the percentage reaches 70 to 82% after platelet activation<sup>25,27</sup>. Neutrophils and platelets of such cell complexes constitute a subpopulation of activated cells; neutrophils with rosetted platelets have been shown to express higher levels of CD11b (a marker of cell activation) and lower levels of CD62L (L-selectin), have greater capacity to ingest bacteria, and show higher production of superoxide anion than free neutrophils<sup>28</sup>. Cardiopulmonary bypasses, unstable

angina and acute myocardial infarction are clinical conditions where adhesion of platelets to leukocytes is reportedly increased in blood<sup>29–31</sup>. Moreover, low circulating platelet–neutrophil complexes observed in multiorgan failure is associated with a poor prognosis<sup>32</sup>. Depending on the pathophysiological conditions, platelets may regulate inflammation by inducing or by reducing proinflammatory events of the inflammatory reaction. Although *in vitro* experiments and animal models allow us to explore the regulatory role of platelets in inflammation and the mechanisms involved in such platelet functions, our knowledge of these potentially important homeostatic mechanisms remains fragmentary. The consequence of platelet interactions with other cells in the initiation of an inflammatory (and thrombogenic) process (see below) and the numerous possibilities of promising therapeutic approaches through the pharmacological control of these cell–cell interactions justify the past and present research efforts in this area. We will attempt here to summarize the present knowledge and concepts on the consequences of such interactions between platelets and other cells implicated in inflammation.

### Proinflammatory functions of platelets

To date, inflammation, thrombosis and hemostasis are recognized as complex situations with overlapping steps and connecting pathways. Interactions between platelets and inflammatory cells take place at various stages of the inflammatory process; they occur in the circulation and are also observed at extravascular inflammatory sites. The presence of such granulocytes in close contact with platelets at inflammatory sites has been reported as early as 1967<sup>33,34</sup> and has been confirmed later in various conditions such as in adult respiratory distress syndrome<sup>35</sup>, in immune complex disease in rabbits<sup>36</sup> and in atherosclerosis. Activated platelets undergo homologous aggregation and adhere to endothelial cells, leukocytes and erythrocytes. Platelets can interact with other cells directly by adhesion to cells or indirectly by releasing endogenous factors. The understanding of such interactions is complicated by the fragmentation of platelets following their activation and the generation of platelet microparticles possessing biological activities such as the acceleration of blood coagulation<sup>37</sup>, and the binding to, and activation of, neutrophils<sup>38</sup>. Blood platelet microparticles are increased in pathological conditions such as immune-mediated thrombocytopenia and cardiopulmonary bypass<sup>39–41</sup>. In adult respiratory distress syndrome platelets are activated *in vivo* and express GMP-140 (P-selectin) and thrombo-

spondin on their surface, while no platelet microparticles are present in blood<sup>42</sup>. Activated as well as non-activated platelets may bind to monocytes, neutrophils, eosinophils and basophils<sup>26,43,44</sup>. Thrombin-activated platelets also bind subpopulations of T-lymphocytes, such as natural killer cells (50% are rosetted with platelets), CD4<sup>+</sup> (20%) and CD8<sup>+</sup> (30%) lymphocytes<sup>44</sup>. Note that platelets can roll on the endothelium and are actively involved in lymphocyte homing in immune responses *in vivo*<sup>45–47</sup>. Thus, intact platelets and platelet microparticles bound to leukocytes may actively participate in the inflammatory process from blood to tissues. During the early events of an inflammatory response, activated platelets as well as platelet microparticles exhibit catalytic phospholipid surfaces, which facilitate the prothrombinase reaction<sup>48,49</sup>. The subsequent local formation of thrombin leads to activation not only of platelets but also of endothelial cells, leukocytes and mesenchymal cells<sup>50</sup>. Thrombin is chemotactic for neutrophils and directly stimulates them to release tissue kallikrein<sup>51</sup>; this procoagulant factor activates the expression of IL-8 and IL-6 by mononuclear cells<sup>52</sup>, and IL-8 and E-selectin by HUVECs<sup>53</sup>. Thus, experiments addressing the impact of thrombin in platelet interactions with other cells have to be cautiously interpreted. It is also important to stress that the presence of thrombin during inflammation *in vivo* has been reported to influence local and systemic reactions. For instance, thrombin or activated protein C infusion protects the experimental animals from the lethal effects of LPS<sup>54,55</sup>. Activation of protein C *in vivo* by thrombin during inflammation leads, in the presence of protein S<sup>56</sup>, to the formation of a natural anticoagulant which is further involved in host defense against infection<sup>55</sup>. These observations again point to the complexity of the inflammatory process implicating a highly sophisticated network of mediators and cells interacting to initially promote the development of inflammation, then to control the local response and finally to restore homeostasis.

### Interactions of platelets with cells involved in inflammation

Proinflammatory or proinflammatory activities of platelets are efficient when these anuclear blood cells are activated and/or interact with endothelial cells, leukocytes such as neutrophils or monocytes and erythrocytes. The physiologic goal of an inflammatory response is to remove the foreign agent(s) or substance(s) from injured tissue or organ, to promote repair and to restore local equilibrium. The sustained presence of injurious agents in tissues leads to a chronic inflammatory response with decreased func-

tions of the targeted tissue(s) or organ(s). The process of removal of injurious agents during inflammation implicates non-resident cells arising locally from blood. Specialized blood cells exit the microvascular compartment through complex events during which cells are activated and undergo cell–cell interactions. Platelets are among these cells and cross-talks between platelets and other cells, in particular endothelial cells, are a very early event in the inflammatory process.

### Platelet interactions with endothelial cells during inflammation

Platelet activation is a prerequisite to their interaction with endothelium. Platelet activation can be achieved in vivo as well as in vitro with various agonists which will induce different levels of platelet reactivity. For instance, thrombin or collagen are potent activators of platelets and cause the release of agents contained in various types of granules<sup>57</sup>. Other agonists such as adenosine diphosphate (ADP) or platelet activation following cardiopulmonary bypasses cause the release of  $\alpha$ -granule only<sup>58,59</sup>.  $\alpha$ -granules contain, among other substances, fibrinogen (further cleaved to fibrin by thrombin), platelet factor 4 (PF4),  $\beta$ -thromboglobulin, transforming growth factor- $\beta$  (TGF- $\beta$ ), platelet-derived growth factor (PDGF), and thrombospondin, all mediators of inflammation<sup>60</sup>. These  $\alpha$ -granules also contain important adhesion receptors, such as P-selectin (or GMP-40, PADGEM, CD-62) and the glycoprotein IIb/IIIa (GP IIb/IIIa is the receptor for fibrinogen) which, upon platelet activation, are expressed at the level of the plasma membrane, allowing cellular interactions (see below). Platelets certainly have not yet revealed all of their secrets and it must be kept in mind that they remain very unstable anucleate cytoplasmic fragments with vestigial *de novo* protein biosynthesis<sup>61</sup>. Platelets activated by ADP, collagen or thrombin express an IL-1 cell-associated material<sup>62</sup>, which causes the expression of ICAM-1 (intercellular adhesion molecule-1), IL-6 and GM-CSF by human endothelial cells<sup>63</sup>. Activated platelets are also able to induce the production of IL-8 by endothelial cells via their membrane-associated IL-1 activity<sup>64</sup>. Subsequent to an injury to the endothelium during inflammation, platelets adhere to components of the subendothelium such as collagen or osteopontin and are thereby activated<sup>65,66</sup>. Such activated platelets have been shown to increase the adherence of granulocytes to endothelial cells in vitro and subsequently to amplify granulocyte-mediated <sup>51</sup>Cr release from prelabelled endothelial cells in a mechanism implicating serotonin or its derivatives<sup>67</sup>. Moreover, platelets and neutrophils synergize in enhancing the adherence of neutrophils to endothelial cells in

models of myocardial reperfusion injury, an effect involving the expression of platelet P-selectin with subsequent neutrophil activation<sup>68,69</sup>. Platelets directly adhere to the endothelium stimulated by lipopolysaccharides (LPS), TNF- $\alpha$  or IL-1<sup>70</sup> through CD11a-CD54 interactions<sup>71</sup>. Platelet depletion efficiently protects mice against systemic and local reactions induced by injection of LPS, indicating that platelets are directly involved in the vascular lesions and tissue damage. In this model, platelets also actively participate in tissue damage through a concomitant activation of neutrophils<sup>71</sup>. Platelet–endothelial cell interactions in vivo were also characterized in rat mesenteric venules by using intravital microscopy; it was shown that the endotoxin-induced rolling of platelets on endothelium was reduced after GP Ib $\alpha$  immunoneutralization<sup>72</sup>. Platelets are also involved in the initiation of inflammatory responses through the local generation of high concentrations of PF-4. This chemokine, which neither induces chemotaxis of neutrophils nor their random migration<sup>73</sup>, has been shown to stimulate neutrophil adhesion to unactivated endothelial cells in vitro and secondary granule exocytosis<sup>74</sup>. Another important interaction of platelets on endothelial cells has been recently underlined by using human platelets activated by thrombin, collagen or ADP plus adrenaline and cultured endothelial cells. Preformed CD40 ligand (CD40L, CD154) in platelets is translocated to the cell surface following platelet activation; moreover, activated platelets directly attached to the endothelium in vivo express CD40L<sup>75</sup>, and human endothelial cells express CD40 and are activated upon CD40L–CD40 interactions<sup>76</sup>. Coincubation of activated platelets with endothelial cells leads to the endothelial production of IL-8 and MCP-1, as well as the up-regulation of adhesion molecules (E-selectin, VCAM-1, ICAM-1), and these effects are abrogated by an anti-CD40L antibody<sup>75</sup>.

Thus, platelet interactions with endothelial cells in inflammation may occur early in the initiation of the inflammatory process, and could lead to the local formation of proinflammatory cytokines and expression of adhesion molecules. These early events triggered by the adhesion of activated platelets to endothelial cells are likely to contribute to the development of inflammation in its initial phase.

### Platelet interactions with neutrophils during inflammation

The inflammatory peptide n-formyl-methionyl-leucyl-phenylalanine (fMLP) triggers the accumulation of neutrophils and platelets at the injection site in rabbits. Neutrophil depletion in this model is associated with

reduced accumulation of platelets in the inflammatory sites, indicating that neutrophils are required for local platelet accumulation<sup>77</sup>. Similar platelet–neutrophil interactions resulting in platelet extravasation have also been demonstrated in other *in vivo* inflammatory conditions such as in immune complex nephritis in rats<sup>78–80</sup>, myocardial infarction<sup>31,81,82</sup>, cardiopulmonary bypass<sup>29,59</sup>, and LPS-associated lesions<sup>71,83</sup>. Numerous *in vitro* studies have attempted to dissect and characterize platelet–neutrophil interactions in experimental conditions mimicking pathophysiological conditions. The stimulatory activity of platelets on neutrophils was suspected as early as 1972 by Nachman et al., who found that platelets promote the migration of leukocytes into thrombi<sup>84</sup>. This chemotactic activity of platelets towards leukocytes was confirmed by Weksler et al.<sup>85</sup> and the chemoattractant was initially identified as platelet factor 4<sup>86</sup>, a finding to be taken cautiously in view of recent reports on the properties of highly purified PF4<sup>73,74</sup>. Platelets have also been shown to increase neutrophil aggregation induced by fMLP<sup>87</sup>. Shear-induced microaggregates of neutrophils, which have been demonstrated in whole blood<sup>88</sup>, are due to cooperation between platelets and neutrophils<sup>89</sup>. Leukocytes have been shown to respond to conditioned media of activated platelets and migrate to infectious sites formed of invading bacteria and platelet aggregates<sup>90</sup>. Activated human platelets can also stimulate neutrophil phagocytosis<sup>91,92</sup>, and enhance the neutrophil production of superoxide anion in response to fMLP, phorbol esters or zymosan<sup>93,94</sup>. Platelet factors responsible, at least in part, for the stimulatory effects were found to be adenine nucleotides such as ATP/ADP<sup>93,95</sup>. However, it has recently been reported that platelets also release macromolecular activators of phagocytosis by neutrophils. Such factors may include among other possible agents transferrin molecules acting by increasing the binding capacity of neutrophil Fc $\gamma$ RII<sup>96,97</sup>. Depending on the agonist used to activate neutrophils, platelet products were shown to exhibit divergent effects. Hence, ATP, ADP, AMP and adenosine stimulated O<sub>2</sub><sup>-</sup> responses of human neutrophils activated by immune complexes; in contrast, when neutrophils were challenged with fMLP, ATP and ADP increased the responses, whereas AMP and adenosine inhibited the fMLP-induced superoxide anion production<sup>98</sup>. The increase of superoxide anion production induced by platelets during neutrophil–platelet cocubation with ionophore A23187 was also linked to the release of the purine nucleotide ATP by platelets<sup>99</sup>. Neutrophil cytotoxicity and the release of neutrophil lysosome contents are also increased by cocubation with platelets<sup>67,100,101</sup>. Among neutrophil factors affecting platelets, cathepsin G has been shown to be strongly involved in

platelet activation<sup>102,103</sup>. This serine protease stored in azurophil granules and released from fMLP-, C5a- or LTB<sub>4</sub>-stimulated neutrophils<sup>104–106</sup> can cause, in addition to matrix damage, recruitment and activation of platelets at inflammatory sites through a specific receptor on platelets<sup>107</sup>. NAP-2 (neutrophil-activating peptide-2), a chemotactic activator of neutrophils present in platelet  $\alpha$ -granules<sup>108</sup>, is formed from the cleavage of NAP-2 precursors, such as CTAP-III (connective tissue-activating peptide III) and  $\beta$ -thromboglobulin, by cathepsin G<sup>109</sup>. Moreover, neutrophil–platelet interactions create a local protected microenvironment favouring the maintenance of an active cathepsin G<sup>110</sup>. Thus, adhesive interactions between platelets and neutrophils generate microenvironments which are likely to favour high local concentrations of mediators protected from neutralizing plasma inhibitors. The inflammatory and thrombotic processes are tightly linked at the very onset of the inflammatory reaction, and factors locally released in association with cell–cell binding through adhesion receptors cooperatively act to transduce regulatory signals. To date, there is convergent evidence that such *in vivo* platelet–neutrophil interactions are not only relevant to pathophysiological conditions, but are also occurring at rest, participating in the homeostatic equilibrium of tissues and organs.

### Platelet interactions with monocytes during inflammation

Interactions between platelets and monocytes, although often similar to those between platelets and neutrophils, present several interesting differences potentially relevant to the regulation of the inflammatory process. Platelets can adhere to monocytes and monocytoïd cells such as U937 or THP-1 cells<sup>43,111,112</sup>. Incubation of monocytes with unactivated and thrombin-activated platelets resulted in the rosetting of 87% and 93% of monocytes, respectively<sup>26</sup>. The kinetics of platelet binding to monocytes and neutrophils were different, with a slow binding of unactivated or activated platelets to monocytes over 30–60 minutes compared to a rapid binding of platelets to neutrophils, a process dependent on divalent cations in monocytes, but not in neutrophils<sup>26</sup>. The binding of platelets to monocytes has been demonstrated to implicate thrombospondin and a membrane receptor named glycoprotein IV<sup>111,113</sup>. Activated platelets stimulate monocytes to phagocytose solid particles<sup>91</sup>, to produce superoxide anion<sup>114</sup>, to express cytokines such as IL-1 $\beta$ , IL-8 or MCP-1 (monocyte chemoattractant protein-1)<sup>31,115</sup>. RANTES ('regulated upon activation normal T cells expressed presumed secreted') is a CC chemokine stored and released by platelets<sup>115</sup>. The RANTES-mediated platelet expression of IL-8 and MCP-1

by monocytes during platelet–monocyte interactions requires P-selectin. Direct interactions between platelets and monocytes were shown to be required for the release of MCP-1 and the translocation of NF- $\kappa$ B in monocytes, insofar as supernatants from activated platelets were not able to induce such translocation<sup>115</sup>. Another issue related to platelet and monocyte interactions and the inflammatory process is the expression of tissue factor activity on monocytes<sup>116</sup>, a critical event promoting thrombosis during inflammation<sup>117,118</sup>. Blood clotting is initiated by the action of tissue factor, a transmembrane protein of 47 kD acting as a cofactor and produced by endothelial cells, vascular smooth muscle cells and monocytes. Tissue factor binds factors VII and VIIa leading to a molecular complex acting on factors IX and X. Agonists such as LPS, cytokines and immune complexes stimulate the expression of tissue factor by endothelial cells and monocytes; interactions between endothelial cells and lymphocytes<sup>119,120</sup>, and between platelets and monocytes<sup>116,121</sup> also induce the generation of tissue factor. Platelet–neutrophil interactions are involved in the enhancement of tissue factor activity in monocytes exposed to LPS<sup>122</sup>. Although in vitro experiments on the effects of platelets on tissue factor expression by monocytes sometimes provided paradoxical results, platelet interactions with monocytes in vivo have been shown to promote tissue factor formation<sup>115,116,123,124</sup>. The stimulatory activity of platelets on monocyte expression of tissue factor is mediated by P-selectin<sup>116</sup>. Moreover, the presence of a circulating pool of tissue factor has recently been shown<sup>125</sup>; in a process that promotes thrombogenesis, this tissue factor is transferred from monocytes to platelets through direct interactions involving CD15 (a leukocyte membrane-bound carbohydrate or sialyl Lewis<sup>x</sup>) and tissue factor itself with platelets<sup>126</sup>.

Thus, specific events implicating interactions of platelets with monocytes occur during the local thrombogenic process of inflammation.

#### **Platelet interactions with erythrocytes during inflammation**

Erythrocytes can also interact with platelets and enhance their ability to produce arachidonic acid and eicosanoids, to release ADP and serotonin and to increase platelet recruitment and aggregation<sup>127,128</sup>. The influence of erythrocytes on platelet reactivity was observed even when using platelet preparations where thromboxane formation was abrogated by aspirin, ADP removed by apyrase or creatine phosphokinase, and protease activity inhibited by a mixture of antiproteases. These data indicate the putative importance of such interactions during the evolution of an inflammatory reaction.

#### **Transcellular metabolism during platelet interactions with other cells**

Interactions of platelets with other inflammatory cells have also been suspected at the level of arachidonic acid metabolism since the pioneer studies of Marcus et al<sup>22</sup>. When coincubated, endothelial cells and platelets released greater quantities of prostacyclin (PGI<sub>2</sub>) than endothelial cells alone in similar in vitro conditions<sup>22</sup>. Thus, it was concluded that endothelial cells use platelet-derived endoperoxides to generate more PGI<sub>2</sub><sup>129</sup>, a phenomenon also observed in vivo at the site of a bleeding time wound<sup>130</sup>. It has also been shown that endothelial cells, through compartmentalized cyclooxygenases, can generate PGH<sub>2</sub> for intracellular production of PGI<sub>2</sub> and transcellular formation of TxB<sub>2</sub> by aspirin-treated platelets<sup>131</sup>. Platelet microparticles have been shown to activate platelets themselves and endothelial cells through transcellular delivery of arachidonic acid leading to TxB<sub>2</sub> and PGI<sub>2</sub> biosynthesis in platelets and endothelial cells, respectively<sup>132</sup>. Such transfer of arachidonic acid during cellular interactions has also been shown to occur from neutrophils to platelets leading to increased formation of TxB<sub>2</sub><sup>133,134</sup>. An increased formation of TxB<sub>2</sub> by platelets was also recorded during interactions between smooth muscle cells and platelets in vitro<sup>135</sup>. Further studies on metabolic interactions resulting in the generation of products not synthesized by single cell types have indicated the pathophysiological relevance of such a transcellular metabolism in different experimental conditions in vitro as well as in vivo. Thus, 12S-hydroperoxy-5,8,10,14(Z,Z,E,Z)-eicosatetraenoic acid (12-HPETE) generated by platelets has been reported to stimulate the production of LTB<sub>4</sub> by human blood neutrophils<sup>136</sup>, a phenomenon also observed in pathophysiological states in studies demonstrating the decreased LTB<sub>4</sub> synthesis by neutrophils in the presence of lipoxygenase-deficient platelets from patients with myeloproliferative disorders<sup>137</sup>. It was also demonstrated that neutrophils coincubated with platelets in the presence of ionophore A23187 can transform the platelet product 12S-hydroxy-5,8,10,14(Z,Z,E,Z)-eicosatetraenoic acid (12-HETE) into 5S,12S-dihydroxy-6,8,10,14(E,Z,E,Z)-eicosatetraenoic acid (5S,12S-diHETE)<sup>23,138</sup>. In addition, 12-HETE produced by platelets is metabolized to 12S,20-dihydroxy-5,8,10,14(Z,Z,E,Z)-eicosatetraenoic acid (12,20-diHETE) by unstimulated neutrophils<sup>139</sup>. Amplification of LTB<sub>4</sub> biosynthesis in neutrophils by thrombin-activated platelets was observed using the ionophore but also physiological agonists such as zymosan, fMLP, C5a, or platelet-activating factor (PAF) as stimuli for LTB<sub>4</sub> biosynthesis<sup>140,141</sup>. The mechanisms of the stimulatory effect of

platelets on neutrophils implicate the release of arachidonic acid (AA) from platelets<sup>23,141</sup>; however, the platelet-derived AA was shown to be mainly converted by human neutrophils into 5-HETE and  $\Delta 6$ -*trans*-LTB<sub>4</sub> isomers and only marginally transformed into LTB<sub>4</sub><sup>142</sup>. Coincubated platelets and monocytes were also shown to be involved in an active transcellular metabolism of eicosanoids different, however, from that reported during platelet–neutrophil interactions. Monocytes were found to provide unesterified AA, 5-HETE and LTA<sub>4</sub> to platelets. No stimulatory effect of platelet 12-HPETE on 5-lipoxygenase of human blood monocytes was reported, and monocytes did not utilize lipoxygenase products released by platelets<sup>143</sup>. LTA<sub>4</sub> from monocytes was converted by platelets to LTC<sub>4</sub><sup>143</sup>, a transcellular metabolism previously reported with neutrophil-derived LTA<sub>4</sub><sup>144</sup>. It is also important to point out that, during platelet–neutrophil interactions, the formation of LTC<sub>4</sub> (and TxB<sub>2</sub>) is dependent on the expression of P-selectin by platelets activated by the release of cathepsin G from fMLP-stimulated neutrophils<sup>145</sup>. Moreover, by using reverse transcription PCR techniques it was recently confirmed that platelets contain a LTC<sub>4</sub> synthase<sup>146</sup>. Note that platelet–neutrophil cooperation can lead to increased formation of PAF largely originating from neutrophils, an effect only found after stimulation of platelets<sup>147</sup>. To confirm the *in vivo* functional significance of platelet interactions with neutrophils during inflammation, Evangelista et al. studied rabbits receiving an intravenous infusion of fMLP<sup>148</sup>. This treatment induced an immediate neutropenia and thrombocytopenia with a concomitant increase of TxB<sub>2</sub>, LTB<sub>4</sub> and LTE<sub>4</sub> levels in blood. The chemotactic peptide fMLP is known to activate leukocytes, in particular neutrophils, but not platelets. In fMLP-treated animals, platelets were found aggregated on vessel walls and neutrophils were shown to be activated, especially in capillary lumen of lungs. When rabbits were pretreated with an antiplatelet antiserum, circulating LTB<sub>4</sub> and LTE<sub>4</sub> in fMLP-treated animals were lower than in control rabbits, unlike fMLP-treated rabbits rendered leukopenic where LTB<sub>4</sub> and LTE<sub>4</sub> levels were similar to controls. This interesting report brings invaluable insights into the importance of *in vivo* interactions of platelets with other cells in the generation of arachidonic acid-derived metabolites involved in acute inflammation.

The transcellular metabolism occurring in platelet–neutrophil interactions also gives rise to other lipoxygenase-derived compounds, the lipoxins. These eicosanoids are generated by the sequential action of two lipoxygenases (LO), either 5–LO and 15–LO or 5–LO and 12–LO on AA<sup>149,150</sup>. Thus, platelets were reported to convert neutrophil-derived (or exogenous) LTA<sub>4</sub> to lipoxins, including

the bioactive lipoxin A<sub>4</sub> (LXA<sub>4</sub>, 5S,6R,15S-trihydroxy-7E,9E,11Z,13E-eicosatetraenoic acid)<sup>151</sup>; lipoxin formation was also shown in ligand-activated platelet and neutrophil incubations *in vitro*<sup>152</sup>. Neutrophil–platelet interactions have been shown to occur in inflamed glomeruli during immune complex-mediated glomerulonephritis in rats and mice with subsequent transcellular formation of LXA<sub>4</sub>, as assessed by a specific ELISA<sup>80,153</sup>.

### Mechanisms of interactions between platelets and inflammatory cells

As discussed in the preceding paragraphs, interactions between platelets and other inflammatory cells involve complex mechanisms implicating direct cellular contact and multiple soluble mediators. Cells express on their surface adhesion molecules with binding activity to cognate ligands, also named counter-receptors, which are either expressed on other cells allowing intercellular adhesion, or are soluble. Activated platelets express on their surface several adhesion molecules, which can also participate in the subsequent activation of adherent cells through activation of signalling pathways, such as the P-selectin (or GMP140, PAGDEM, CD62)<sup>154</sup> undergoing phosphorylation under activation<sup>155,156</sup>, GP IIb/IIIa (or integrin  $\alpha$ Iib $\beta$ 3)<sup>157</sup>, ICAM-2 (intercellular adhesion molecule-2, an Ig-like protein acting as a ligand of  $\beta$ 2 integrin)<sup>158</sup>, and GPIb $\alpha$  (belonging to the GPIb–IX–V complex)<sup>159</sup>, which has recently been implicated in LPS-stimulated interactions of platelets with endothelium<sup>72</sup>. It is important to point out that in the initiation of the inflammatory process, P-selectin is also expressed by endothelial cells implicated in the capture of blood leukocytes. P-selectin has been shown to play a necessary role in platelet interactions with other cells. Platelet adhesion to leukocytes implicates a calcium-dependent mechanism completely abrogated by an anti-P-selectin antibody indicating the importance of P-selectin in such interactions<sup>44,160,161</sup>. The process of rolling and firm adhesion of leukocytes on activated platelets precluding inflammation also involves P-selectin<sup>162–164</sup>. The LPS-induced neutropenia *in vivo* has been shown to be inhibited by anti-P-selectin antibodies, suggesting that P-selectin is implicated in the early entrapment of leukocytes in tissues such as lungs, kidneys and liver<sup>165</sup>. Using mutant mice lacking P-selectin, Hartwell et al. recently demonstrated the contribution of this receptor in the development of a delayed-type hypersensitivity reaction and a surprising antiinflammatory effect of P-selectin in the immunologically induced glomerulonephritis<sup>47</sup>. Neutrophils and monocytes show increased production of superoxide



anion in the presence of activated platelets, a phenomenon inhibited by antibodies to P-selectin<sup>94,114</sup>. P-selectin is also involved in the platelet–leukocyte interaction-dependent formation of  $\text{TxB}_2$ ,  $\text{LTC}_4$ , and cytokines<sup>145,166</sup>. The counter-receptor for P-selectin has also been the focus of great attention<sup>167</sup>. This ligand, a mucin-like protein named PSGL-1 (P-selectin glycoprotein ligand-1), contains oligosaccharide sequences of the sialyl Lewis<sup>x</sup> antigen and fucosylated lactosamine<sup>168–170</sup> providing high selectivity but low affinity for P-selectin. In addition, PSGL-1 binding to P-selectin is dependent on tyrosine sulfation<sup>171</sup>. Blood myeloid cells including polymorpho and mononuclear phagocytes, lymphocytes and dendritic cells express PSGL-1<sup>167</sup>. Binding of P-selectin to PSGL-1 is required in various models of inflammation in animals, i.e. cytokine-induced meningitis<sup>172</sup> and immune complexes-induced lung injury<sup>173</sup>. PSGL-1 has also been shown to be implicated in inflammatory conditions by using PSGL-1 antibodies or soluble PSGL-1 blocking neutrophil infiltration towards inflammatory sites<sup>174,175</sup>. Importantly, PSGL-1 could also be implicated in malignancy since P-selectin was shown to be required in platelet adhesion to neuroblastoma, lung cancer and melanoma<sup>176,177</sup>. Thus, the broad implication of this ligand in pathophysiology supports the intense pharmacological research on P-selectin and its ligand.

The other major mechanism in platelet adhesion and interactions with other cells relies on the integrin system, i.e. the platelet integrin GPIIb/IIIa, and the leukocyte  $\beta_2$  (CD18) integrins combined with the three different  $\alpha$  subunits CD11a (LFA-1), CD11b (Mac-1) or CD11c (GP 150,95). Both platelet GPIIa/IIIb and leukocyte CD11b/CD18 bind fibrinogen through different sequences on fibrinogen chains. Antifibrinogen antibodies decrease the interaction of platelets with leukocytes<sup>178</sup>. Thus, elevated levels of fibrinogen during inflammation contribute to the down-regulation of leukocyte migration at inflammatory sites, insofar as chemoattractants such as PAF (more than  $\text{LTB}_4$ ) promote neutrophil accumulation and adhesion on activated platelets in flow conditions<sup>178</sup>. Endogenous PAF from platelets has also been implicated as a juxtacrine mediator of P-selectin-dependent neutrophil rolling spontaneously leading to a CD18-dependent adhesion<sup>179</sup>. The role of neutrophil-derived PAF has already been demonstrated in neutrophil–platelet interactions triggered by fMLP or  $\text{LTB}_4$ , a phenomenon blocked by a peptidomimetic of the fibrinogen binding sequence Arg–Gly–Asp–Phe<sup>180</sup>. Moreover, human neutrophils activated in vitro by adhesion to adsorbed fibrinogen undergo induction of IL-1, -8, -6 and TNF- $\alpha$  (as evaluated by RT-PCR, Northern blotting and ELISA techniques); these data were confirmed in vitro

using neutrophils from CD18-deficient mice and in vivo in a murine model of peritonitis<sup>181</sup>. Using anti-CD18 antibodies, it has been demonstrated that, during C5a-induced myocardial ischemia, the formation of  $\text{TxB}_2$  and sequestration of neutrophils were related to CD18-dependent mechanisms<sup>82</sup>, and that in dynamic conditions, such as under high-speed rotatory motion, platelet–neutrophil interactions required  $\beta_2$  integrins<sup>182</sup>. Platelet aggregation induced by various agonists can be blocked by preincubation with an antibody against the integrin GPIIb/IIIa<sup>183</sup>. This platelet integrin is also involved in platelet–neutrophil interactions since tirofiban, an inhibitor of GPIIb/IIIa given to patients with unstable angina, reduced the number of platelets in blood platelet–neutrophil aggregates<sup>184</sup>. Activated platelets can also bind to the endothelium through interactions of their GPIIb/IIIa with the endothelial cell ICAM-1,  $\alpha_v\beta_3$  and GPIb $\alpha$ <sup>185</sup>. Another integrin implicated in platelet interactions with other cells is the integrin  $\alpha_v\beta_3$  with a  $\beta_3$  subunit identical to GPIIIa and an  $\alpha_v$  subunit homologous to GPIIb. Although  $\alpha_v\beta_3$  is not highly expressed by platelets, it could be involved in platelet adhesion since  $\alpha_v\beta_3$  binds fibrinogen, and this binding is inhibited by RGD-containing peptides and an antibody raised against GPIIb/IIIa<sup>186</sup>. Elements other than fibrinogen such as thrombospondin, tenascin, osteopontin and collagens can serve as substrates for the attachment of inflammatory cells. From that point of view, monosodium urate crystals, the causal agent of gouty inflammation, can also act as extracellular substrates for cell attachment, insofar as they have been shown to directly activate platelets through GPIIb/IIIa<sup>187</sup> and neutrophils through CD11b/CD18<sup>188</sup>. This could suggest an amplification process for the local capture of inflammatory cells during gouty inflammation.

It is also important to stress that adhesion molecules are involved not only in cell adhesion but also in intracellular signalling. For example, P-selectin stimulates a very early adhesion of monocytes to activated platelets and endothelial cells while inducing the monocytic generation of inflammatory cytokines such as MCP-1 and TNF- $\alpha$  through enhanced nuclear translocation of NF- $\kappa$ B<sup>166</sup>. Fibrinogen binding to CD11b/CD18 in the monocytoid U937 cells activates the transcription factors NF $\kappa$ -B and AP-1<sup>189</sup>. Studies with human neutrophils incubated on fibrinogen-coated surfaces demonstrated that, under TNF- $\alpha$  stimulation, the adhesion-stimulated neutrophils degranulate through a mechanism implicating Src family kinases<sup>190</sup>. Moreover, platelets adhering to neutrophils stimulate protein–tyrosine phosphorylation in neutrophils through P-selectin/PSGL-1 inducing the activation of CD11b/CD18<sup>191</sup>.

### Inhibitory effects of inflammatory cells on phlogogenic reactivity of platelets

While interactions of platelets with other inflammatory cells result in the activation of the latter, it has also been shown that in contrast inflammatory cells down-regulate platelet reactivity. Thus, human neutrophils have been reported to inhibit platelet aggregation and  $\beta$ -thromboglobulin release in whole blood. The effects of neutrophils were shown to depend, at least in part, on ADPase activity, nitric oxide production and antiaggregatory AA-derived metabolites of neutrophils<sup>192</sup>. Neutrophils have been reported to modulate platelet functions through different mechanisms such as the release of hydrogen peroxide<sup>193</sup>, nitric oxide<sup>194</sup> or elastase<sup>195</sup>. As another example, unstimulated neutrophils have been shown to inhibit the release of serotonin from platelets activated by collagen or thrombin, an effect which was amplified by addition of antibodies to P-selectin or by preincubation with lipoxigenase inhibitors. The role of neutrophil ecto-nucleotidase or nitric oxide in these inhibitory effects was ruled out<sup>196</sup>. Endothelial cells can also modulate platelet reactivity through prostacyclin and the subsequent activation of platelet adenylate cyclase, through the generation of nitric oxide or through endothelial ectonucleotidase which rapidly metabolizes platelet-derived ADP<sup>197</sup>. Neutrophils also reduce the formation of TxB<sub>2</sub> by activated platelets in vitro<sup>134</sup>. It has also been reported that activation of neutrophils, but not monocytes, was associated with a decreased expression of PSGL-1 and to an inhibition of neutrophil–platelet adhesion as well as dissociation of pre-existing aggregates<sup>198</sup>. Lymphocytes also appear to have the capacity to inhibit platelet aggregation by a mechanism independent of prostaglandins, nitric oxide or adenosine<sup>199</sup>.

Such down-modulation of platelet functions by other inflammatory cells may play an important role in the limitation of the inflammatory process and the restoration of homeostasis.

### Antiphlogogenic functions of platelets

If platelets exhibit multiple proinflammatory activities, in particular at the onset of the inflammatory reaction, they have also been reported to down-regulate phlogogenic functions of adjacent cells involved in the process. Ginsburg et al. reported that platelet-conditioned media significantly inhibited the chemotaxis of neutrophils<sup>200</sup>. The production of superoxide anion by neutrophils, a basic function in the control of invading microorganisms, has

been shown to be down-modulated by platelets<sup>20,201</sup> or platelet-derived products such as PDGF (platelet-derived growth factor), or P-selectin<sup>20,202–204</sup>. Platelets have also been found to inhibit other functions of human neutrophils such as degranulation and chemotaxis<sup>205</sup>, synthesis of LTB<sub>4</sub> and PAF<sup>21,134,206</sup>, and apoptosis<sup>207</sup>. The inhibitory effect of platelets on neutrophil apoptosis depends on TGF- $\beta$ <sub>1</sub> acting through the p38 MAPK pathway<sup>208</sup>. Resting platelets have also been found to decrease the adhesion of neutrophils to endothelial cells, an effect independent of adenosine or P-selectin<sup>209</sup>. Angiogenesis is a critical process for tumour growth and tissue proliferation during the chronic inflammatory reaction; the platelet-derived product PF4 has been shown to inhibit angiogenesis and proliferation of hematopoietic progenitor cells<sup>210,211</sup>, an effect related to a decreased cdk2 (cyclin E-cyclin-dependent kinase 2) activity<sup>212</sup>.

During experimental glomerulonephritis, the presence of recruited neutrophils and platelets at inflammatory foci is associated with enhanced glomerular arachidonic acid metabolism and increased generation of LTB<sub>4</sub> and TxB<sub>2</sub><sup>79</sup>. However, interactions between platelets and neutrophils in this model lead to the local formation of lipoxin A<sub>4</sub> through transcellular metabolism, a compound with inhibitory effects on neutrophil chemotaxis and neutrophil interactions with endothelial, mesangial and epithelial cells<sup>80</sup>. Experimental glomerulonephritis in P-selectin-deficient mice is associated with increased glomerular neutrophils and more severe proteinuria<sup>153</sup>. The inhibitory roles of LXA<sub>4</sub> generated through platelet–neutrophil interactions was confirmed in these P-selectin deficient mice; the infusion of normal platelets restored the generation of LXA<sub>4</sub> as well as the neutrophil influx to the levels seen in wild-type mice with glomerulonephritis<sup>153,213</sup>.

### Conclusions

Interactions of platelets or platelet microparticles with other cells involved in the inflammatory process appear to be critical to the initiation and normal course of an acute inflammatory reaction. These complex interactions arise very early in the process through the expression of selectins and integrins by activated platelets allowing interactions with other cell types closely implicated in inflammation and with malignant cells. The data already accumulated on these interactions demonstrated numerous proinflammatory functions of platelets, mainly during the very early phase of the inflammatory process, as well as anti-inflammatory properties later in the course of this

inflammatory reaction. Many questions remain, however, unanswered about their impact in host defence and various disease states. Additional studies with either selective antibodies to adhesion molecules, soluble adhesion molecules or synthetic antagonists or with gene (adhesion molecules or their counter-receptors) knockout animals will be necessary to further characterize platelet interactions with other cells, their role in modulating inflammatory cell activity and their pathophysiological significance in acute or chronic inflammatory diseases as well as in infectious disorders. Such studies might provide the necessary proof of concept in support of the development of therapeutic approaches to inflammatory diseases based on the modulation of platelet activity, more specifically of their stimulatory interactions with other inflammatory cells.

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# Platelets and the preimplantation stage of embryo development

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## Introduction

The preimplantation phase of pregnancy occurs from the time of fertilization until the embryo implants into the endometrium of the uterus. Implantation occurs on days 5–6 of pregnancy in humans. Implantation triggers differentiation of the embryo's trophoblast layer, leading to a sequence of developmental changes that produce the placenta. Prior to implantation, the embryo exists in a free-living state, initially within the oviduct (fallopian tube) and then in the lumen of the uterus. It has generally been considered that the embryo is passive during this time, relying upon the reproductive tract for the provision of its nutrients and a benevolent environment. However, it is now becoming clear that the local environment of the reproductive tract is conditioned and modified by the early embryo and these modifications are important for normal embryo development. These modifications include the activation of blood platelets within the reproductive tract and are elicited by the production of soluble and diffusible factors by the early embryo. The best characterized of these is platelet-activating factor (PAF).

## Embryo-derived platelet-activating factor

The well-known ether phospholipid 1-*o*-alkyl-2-acetyl-*sn*-glyceryl-3-phosphocholine (PAF) is a potent pleiotropic mediator (Fig. 58.1). It is produced by the preimplantation embryo of many species soon after fertilization (mouse<sup>1,2</sup>; human<sup>3,4</sup>; rabbit<sup>5</sup>; and sheep<sup>6</sup>). It accumulates to significant concentrations within the embryo and is also released into the embryo's surrounding fluid. The production of embryo-derived PAF seems to be largely *de novo* occurring from simple substrates<sup>7,8</sup>. The rate-limiting enzyme for the synthesis of embryo-derived PAF is

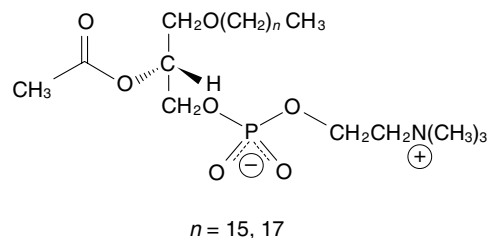


Fig. 58.1. The structure of platelet-activating factor.

lysoPAF: acetyltransferase<sup>8</sup> (EC 2.3.1.67). This calcium-dependent enzyme is present within the preimplantation embryo. Its activity is at a low level in the unfertilized oocyte but increases soon after fertilization. This is the time when PAF release from the zygote is first detected<sup>9</sup>. This temporal correlation between the activity of lysoPAF: acetyltransferase and PAF release suggests that activation of this rate-limiting enzyme is the regulatory event following fertilization that initiates PAF production and release by the early embryo. The activation of lysoPAF: acetyltransferase activity and PAF release occur prior to the onset of new transcription of mRNA from the zygotic genome<sup>10</sup>. Thus, the production of PAF is not dependent upon transcription but on the post-transcriptional activation of the biosynthetic pathway.

The release of PAF by the preimplantation embryo was first detected by the observation that a mild but consistent thrombocytopenia occurred in mice within a short time of fertilization and persisted throughout the preimplantation phase of pregnancy<sup>11</sup>. The thrombocytopenia was unequivocally a consequence of the presence of the preimplantation embryo<sup>11,12</sup>. It has been subsequently shown that spermatozoa<sup>13</sup>, the luteal phase uterus<sup>14,15</sup> and follicular fluid<sup>16</sup> also produce PAF, yet these sources of PAF are without detectable effect on blood platelets and do not induce thrombocytopenia.

### Early pregnancy-induced thrombocytopenia

The release of PAF from the embryo induces localized platelet activation within the vasculature of the reproductive tract<sup>17</sup>. It is assumed that these activated platelets are trapped by the reticuloendothelial system and removed from the circulation, resulting in thrombocytopenia<sup>11</sup>. In the mouse, this platelet activation is accompanied by the contraction of the spleen<sup>11</sup> and preferential loss of platelets from the spleen into circulation. This is a well-characterized response to increased platelet activation – with the spleen acting as a reservoir of blood platelets that can be called upon during acute periods of platelet consumption<sup>18,19</sup>. This homeostatic mechanism normally acts to stabilize the number of platelets in the circulation; only prolonged or profound loss of platelets results in detectable peripheral thrombocytopenia. The size of the spleen's platelet reservoir varies greatly between species<sup>18</sup>. The consistent detection of thrombocytopenia in mice is testament to the extent that PAF released by the embryo causes platelet activation. The mouse, however, may be a special case – thrombocytopenia may not be a general characteristic of early pregnancy in all mammalian species.

Thrombocytopenia was observed in the preimplantation phase of some human pregnancies<sup>20</sup>, but in others there was a trend to thrombocytosis<sup>21</sup>. The variable response may reflect the ability of the spleen to compensate for platelet loss from the circulation in the human, or it may be a consequence of increased thrombopoiesis compensating for platelet loss in early human pregnancy. An association was discovered between the thrombocrit prior to pregnancy and the change in platelet count during early pregnancy. Those women with a high thrombocrit prior to pregnancy showed a subsequent thrombocytopenia. By contrast, those with a low thrombocrit generally did not display thrombocytopenia. A possible interpretation of this result is that release of the splenic platelet pool or activation of thrombopoiesis occurred more readily and rapidly where the thrombocrit, prior to pregnancy, was low<sup>21</sup>. Early pregnancy was also associated with variable thrombocytopenia in cows following embryo transfer<sup>22</sup>. It is noteworthy that, in the intact female rabbit, thrombocytopenia in early pregnancy is not readily detected<sup>23</sup>. However, in splenectomized females, early pregnancy associated thrombocytopenia was detected<sup>23</sup>. This observation supports the role of the spleen in the homeostatic maintenance of the circulating platelet concentration following the localized platelet activation that occurs during early pregnancy. A further consideration is that the mouse is a polytocous species, therefore many embryos are

present in a small reproductive tract. This contrasts with the human, where generally only one embryo is present in a large reproductive tract (with a correspondingly large blood supply). Thus in large mono-ovulatory species, even extensive early pregnancy-associated platelet activation may be less likely to be detected as peripheral thrombocytopenia. It seems that monitoring platelet numbers during early pregnancy cannot be used in a reliable manner to detect or monitor pregnancy in most species<sup>21</sup>. Should reliable, sensitive and accurate measures of platelet turnover become widely available, these may prove useful for monitoring early pregnancy.

### Metabolism of PAF and platelet activation in the reproductive tract

PAF is present in many reproductive tissues (sperm<sup>13</sup>, ovary<sup>16</sup>, uterus<sup>14</sup>), yet PAF-induced platelet activation and transient thrombocytopenia is only associated with early pregnancy<sup>11,12</sup>. The current assay methods do not allow reliable measurement of the rate of PAF release but only the amount that accumulates in medium over time. The zygote releases 1.3–6.7 ng PAF/embryo (mouse) and 0–80 ng PAF/embryo (human)<sup>24,25</sup> over a 12 h period. It is not known if this is released at a consistent rate over this period, or whether its release is episodic.

There exists in most tissues and fluids studied to date a high capacity enzyme PAF: acetylhydrolase, that degrades PAF to its inactive metabolite, lysoPAF. It was anticipated that, within the reproductive tract, there would be sufficient PAF: acetylhydrolase activity to degrade all the embryo-derived PAF released prior to it being able to cause significant platelet activation. The fact that extensive platelet activation was observed to occur suggested that there was some interesting PAF biology occurring within the reproductive tract. Acute administration of synthetic PAF at a concentration at least an order of magnitude higher than is produced by the embryo was required to induce detectable thrombocytopenia in the mouse, and this thrombocytopenia was only transient despite the high doses<sup>12</sup>.

Since embryo-derived PAF was unequivocally demonstrated to be structurally homologous with synthetic-PAF<sup>2</sup>, studies were performed to determine whether the uterine environment resulted in reduced metabolism of PAF<sup>26</sup>. The activity of PAF: acetylhydrolase was measured in uterine tissue and in uterine lumen fluid throughout the reproductive cycle and in early pregnancy. A precipitous decline in enzyme activity in these preparations occurred after ovulation. This resulted in both uterine tissue and luminal

fluid having low enzyme activity during the preimplantation phase<sup>26</sup>. PAF: acetylhydrolase activity in the uterus increased substantially after implantation. These changes in the enzyme activity occurred without detectable alterations to plasma enzyme activity. Thus, during the period that the preimplantation embryo released PAF the catalytic activity directed against PAF in the uterus declined to a low level. The change in enzyme activity would be expected to result in a prolonged half-life of embryo-derived PAF. The decline in PAF: acetylhydrolase activity was steroid hormone dependent, with progesterone dominance over estradiol being required<sup>26</sup>. This is the pattern of hormone production during the preimplantation (early luteal) phase of pregnancy.

Investigations show that the plasma form of PAF: acetylhydrolase is responsible for the activity in the uterus (O. Chami & C. O'Neill, unpublished data). It seems that hormone-dependent alterations in blood flow and vasopermeability in the uterus allow dynamic and rapid alterations in the PAF: acetylhydrolase activity within the uterus independently of a change in enzyme activity within the blood plasma. The results suggest that a reduction in PAF metabolism within the uterus during the preimplantation phase may contribute to the ability of the small amounts of PAF released by the embryo to induce platelet activation.

Another mechanism favouring a prolonged half-life for embryo-derived PAF also exists in the uterus. Recent results show that PAF released by the embryo is released in a unique binding configuration with albumin that prevents or dramatically reduces its metabolism by PAF: acetylhydrolase<sup>27,28</sup>. A preliminary observation in support of this hypothesis was that PAF released from human embryos in medium containing high concentrations of human serum (<10% v/v) was stable<sup>29</sup>, yet the culture medium contained a high concentration of PAF: acetylhydrolase from the serum<sup>30</sup>. When an equivalent concentration of synthetic PAF was added to the same embryo culture medium, it was degraded within minutes by the PAF: acetylhydrolase present in the serum<sup>30</sup>.

The possibility that, upon its release from cells, PAF was bound to a special protein that protected it from metabolism was tested. However, PAF released from embryos was all bound to albumin<sup>27,28</sup>. Albumin in medium was required for PAF release by embryos and PAF co-migrated with albumin upon various forms of chromatography. An alternative hypothesis was tested: the nature of albumin's binding of embryo-derived PAF conferred protection from metabolism by PAF: acetylhydrolase. When embryo-conditioned medium was subjected to organic extraction and the resulting purified PAF was then added back to medium containing albumin, the PAF became readily sus-

ceptible to the action of PAF: acetylhydrolase<sup>27,28</sup>. In this regard its behaviour was equivalent to synthetic-PAF added to medium. Extracted embryo-derived PAF and synthetic-PAF added to medium bound preferentially to hydrophobic surfaces, being lost from the albumin. By contrast, unextracted embryo-derived PAF remained bound to albumin in the presence of hydrophobic surfaces. Both synthetic PAF and extracted embryo-derived PAF, when added to embryo culture medium, could be recognized by a PAF-specific antibody and could thus be measured by a direct immunoassay. This was not the case for PAF in embryo-conditioned medium from which PAF could only be assayed after its organic extraction. The results suggest that upon the release of PAF from embryos (but not following its addition to medium) PAF binds to albumin in a manner that protects it from metabolism by PAF: acetylhydrolase. The fact that this type of binding to albumin also prevented a PAF antibody binding to embryo-derived PAF suggests that it may involve a steric modification of the albumin molecule<sup>27,28</sup>.

Limited proteolytic analysis of albumin showed that PAF released from cells bound only to a site within domain II of the albumin molecule (total of 583 amino acids), between amino acids 240 and 386<sup>27,28</sup>. By contrast, when PAF was added to medium it bound to albumin at sites other than domain II. Albumin forms a heart-shaped molecule of three domains. Domain II (amino acid 208–398) is composed of two similar subdomains, *a* and *b*, each being composed of a cluster of four  $\alpha$ -helices<sup>31</sup>. The interface between subdomains II*a* and II*b* form a hydrophobic cleft that may serve as a binding site for lipids<sup>31</sup>.

The observation that PAF binding within domain II only occurred upon release of PAF from cells but not upon addition of PAF to albumin-containing medium, infers that an interaction between the cell and albumin is required before PAF can be loaded onto domain II of albumin. Covalent modification of albumin involving cysteine–cysteine disulfide bonds seemed to be required for PAF to be loaded onto domain II from cells. For instance, after exposure to cells there was an increased molar concentration of solvent exposed thiol groups; albumin was more susceptible to denaturation following exposure to dithiothreitol; and following such denaturation, the albumin-associated PAF became susceptible to degradation by PAF: acetylhydrolase<sup>27,28</sup>. Notwithstanding the high affinity nature of this binding, the PAF released was clearly still active as assessed by the platelet activation and thrombocytopenia induced in early pregnancy and the thrombocytopenia induced in mice upon injection of embryo-conditioned culture medium<sup>12</sup>.

While this special form of cell-dependent release of PAF

within domain II of albumin was first observed with preimplantation stage embryos, it was not restricted to this type of cell. The same biochemical behaviour was observed for PAF released by endothelial cells<sup>28</sup>. Thus PAF may be released from cells in at least two forms. It can be released and bound in a superficial manner with albumin. In this configuration it can be rapidly degraded by PAF: acetylhydrolase. It consequently has a short half-life and can act only as a local mediator. PAF released from inflammatory cells probably exists primarily in this configuration. For other cells in non-inflammatory states that release PAF, such as the embryo and endothelial cells, PAF can be released in a stable configuration in association with albumin. It can accumulate due to its prolonged half-life and can therefore enter the circulation. It may therefore have roles as a conventional circulating hormone, as well as a local mediator. These important implications arising from studies of embryo-derived PAF warrant further investigation.

### Physiological actions of embryo-derived PAF

A range of PAF antagonists can inhibit the thrombocytopenia induced by embryo-derived PAF<sup>32</sup>. When administered throughout the preimplantation phase of pregnancy, PAF antagonists also blocked the successful implantation of embryos in rats and mice<sup>32–35</sup>. The pharmacology of these antagonists was curious, however. The dose–response showed a characteristic quadratic effect, with inhibition of pregnancy at relatively low doses but loss of the inhibition at higher doses of antagonist<sup>36</sup>.

This characteristic quadratic dose–response occurred for a range of antagonists with varying structures, and was independent of its mode or route of administration<sup>36</sup>. The nature of the dose–response suggests that the antagonists act as partial agonists rather than as true antagonists. This mode of action has not been widely reported or observed in other PAF mediated processes, although WEB 2086 and WEB 2170<sup>37</sup> are reported to exert partial agonistic actions on the perfused heart. PAF antagonists can also act as inhibitors of PAF metabolism<sup>38</sup>, thus the complex pharmacological response observed at high drug concentrations in early pregnancy may result from the dual interactions of the drugs with the PAF-receptor and PAF: acetylhydrolase. It is therefore of interest to note that the genetic knockout of the  $\beta$ -subunit of intracellular PAF: acetylhydrolase 1b also results in implantation failure and early embryonic demise<sup>39</sup>. The observation that PAF antagonists act as true antagonists in many models but partial agonists in others suggests that they may be acting against

different receptor types. Another possibility may be that the binding of PAF within domain II of albumin alters the nature of its interaction with the PAF-receptor and hence alters the pharmacology of the PAF antagonists. Currently, there is insufficient experimental data to distinguish between these alternative hypotheses.

Using the antagonists in a dose range where pregnancy was inhibited, embryo transfer experiments established that the actions of embryo-derived PAF are required for the normal development of the embryo<sup>40</sup>. There was no support for a role of embryo-derived PAF in the preparation of the maternal reproductive tract to be receptive to the embryo. These embryo transfer experiments with PAF antagonist treated mice did not, however, establish whether this action of PAF was a direct autocrine effect or whether it was indirect – for instance, secondary to the activation of platelets. There is experimental data to support a role for both mechanisms.

### Roles for platelet activation during early pregnancy

A factor of maternal origin that is expressed with a similar temporal profile to PAF is a protein known as early pregnancy factor (EPF)<sup>41</sup>. For many years this factor was known by its actions in modifying T-lymphocyte function. Its secretion during early pregnancy was reasoned to provide an immunosuppressive action that allowed the embryonic 'allograft' to survive the maternal immune system. It was recently shown that PAF induces the expression of EPF<sup>42</sup>, while EPF has been shown to be a secreted form of chaperonin 10<sup>43</sup>. It is released from blood platelets following their activation<sup>43</sup>. Chaperonin 10 is normally considered an intracellular protein that has important functions in protein folding and conformation. It acts in concert with chaperonin 60<sup>44</sup> to allow importation of proteins into cellular organelles, particularly mitochondria, and is therefore essential for normal organellogenesis.

The discovery of chaperonin 10 as a PAF-induced secretory product of platelets, that is present in the blood of pregnant animals, was an unexpected observation. A full description of chaperonin 10's actions in early pregnancy is awaited. However, it may exert actions in modifying the conformation of some extracellular proteins or perhaps has a role in protein import into cells. The observation that immunization of mice against EPF resulted in failed embryo development and pregnancy loss<sup>45</sup> demonstrates the important role of the factor in normal pregnancy.

A confounding observation was that, while synthetic-PAF induced chaperonin 10 release from platelets in vitro,

embryo-derived PAF apparently did not<sup>46</sup>. This conflicting result raised questions about the role of embryo-derived PAF in chaperonin 10 release from platelets. The experimental design and conclusion, however, did not take into account the evidence that embryo-derived PAF is not active in defined medium *in vitro* because of it binding within domain II of albumin. It is also not capable of inducing blood platelet activation *in vitro*<sup>27,28</sup> yet upon injection into mice it does induce platelet activation and thrombocytopenia<sup>1</sup>. This difference between its actions *in vitro* and *in vivo* suggests that an auxiliary activity may also be required to allow embryo-derived PAF accessible to its receptor when it is bound to domain II of albumin. Since binding in this configuration involves modifications to cysteine–cysteine disulfide bonds<sup>27,28</sup>, it seems likely that a protein disulfide isomerase or thioredoxin-like activity may be required.

The expression of EPF activity requires the action of a circulating thioredoxin<sup>47</sup>. Indeed, it was shown that pregnancy is associated with the expression of a novel secreted form of thioredoxin<sup>48</sup>. The target of action of this thioredoxin is yet to be defined. We speculate that the secreted thioredoxin may change the conformation of albumin that contains embryo-derived PAF within domain II. Following this conformational change to albumin, PAF can access its receptor allowing it to activate maternal blood platelets and other target cells. The platelets release their contents, including chaperonin 10.

A full description of the actions and interactions of these four players – PAF, albumin, secreted thioredoxin and secreted chaperonin 10 – will provide a new model for intercellular communication. The observation that a similar form of secreted chaperonin 10 is also active in neoplastic tissue and in rapidly proliferating and regenerating tissues suggest a fundamental role for this novel peptide<sup>44</sup>. The platelet, as a rich source of secreted chaperonin 10, may have a hitherto unexpected role in intracellular communication and regulation.

### The actions of PAF on the oviduct

As well as acting on platelets, embryo-derived PAF may act directly on the oviduct. The gene coding for a G-protein linked receptor for PAF is expressed on the epithelial and subepithelial cells of the hamster oviduct. Oviductal cells (cow) in primary culture responded to PAF with a characteristic calcium transient that required extracellular calcium and was receptor dependent<sup>49</sup>. The responsiveness of the cells varied with the stage of the estrous cycle. Treatment with PAF could also induce proliferation in

these cells<sup>49</sup>. In the human, PAF induced an increase in the transepithelial potential difference across a polarized primary culture layer of oviductal epithelial cells and also increased the shortcircuit current activity. The results were interpreted to indicate an effect of PAF on the transepithelial Cl<sup>-</sup> current and hence regulate oviductal fluid production<sup>50</sup>.

It has been also shown that embryo-derived PAF provides a message that allows the reproductive tract to distinguish between fertilized and unfertilized oocytes, providing a means of differential transport<sup>51</sup> (fertilized oocytes are transported down the oviduct towards the uterus, while unfertilized oocytes remain trapped within the upper oviduct where they degenerate). It has been suggested that PAF's ability to regulate fluid fluxes across the epithelium may be a mechanism for its role in embryo migration<sup>50</sup>. Embryo-derived PAF also induces a reduction of blood flow to the oviduct due to a decrease in the median cross-sectional area of blood vessels (indicating vasoconstriction) and the collapse of subepithelial capillaries<sup>17</sup>. Embryo-derived PAF also induces a reduction in the incidence of fenestrated capillaries in the oviduct (that are common in pseudopregnant animals)<sup>17</sup>. This alteration in the pattern of fenestrations may act together with PAF's transepithelial fluid flow to regulate oviduct fluid dynamics. It has not yet been defined whether these actions of embryo-derived PAF on vascular dynamics are direct or indirect effects, for instance as a consequence of localized platelet activation. Fig. 58.2 shows the possible interrelationships of these actions.

### Action of PAF released by the uterus in early pregnancy

During early pregnancy, PAF is produced by the uterus as well as the embryo. The steroid hormones of the luteal phase cause a significant increase in the synthesis of PAF by the uterus<sup>14,15,52,53</sup>. Although endometrial PAF is released, and can be detected within the uterine lumen<sup>53</sup>, it does not appear to result in detectable platelet activation<sup>11</sup>. The PAF that is released is not bound to domain II of albumin and is readily degraded by PAF: acetylhydrolase<sup>53</sup>. Therefore, unlike embryo-derived PAF, it is unlikely to accumulate to sufficient concentrations to enter the blood vessels to induce platelet activation. Within the uterus, the main role of PAF seems to be to modify the production and release of prostaglandins<sup>54,55</sup>. In sheep, a good case has been made for PAF produced by the uterus to act as an autocrine pulse-generator of luteolytic PGF<sub>2 $\alpha$</sub> <sup>53</sup>. The pulsatile release of PGF<sub>2 $\alpha$</sub>  acts on the corpus luteum of the ovary

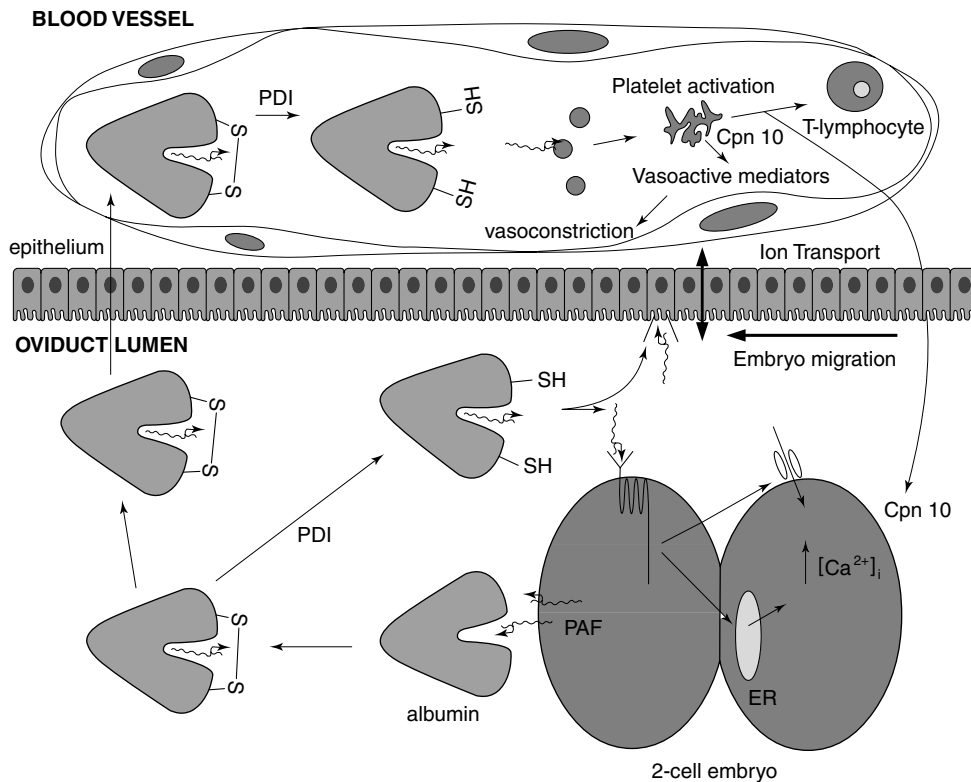


Fig. 58.2. A schematic representation of hypothetical interactions of embryo-derived PAF. ER = endoplasmic reticulum;  $[Ca^{2+}]_i$  = intracellular calcium ion concentration; PDI = protein disulfide isomerase; Cpn10 = chaperonin 10.

to prevent the secretion of progesterone. During pregnancy, embryonic factors (interferon tau in the sheep) act to block this pulse-generation by the embryo (Fig. 58.3). It is yet to be determined whether these actions occur via PAF's action on platelets or by direct action on the cells of the endometrium.

### Autocrine actions of embryo-derived PAF

As well as the actions of embryo-derived PAF on the reproductive tract, it also has an important role as an autocrine mediator of embryo development. PAF can act directly upon the embryo to enhance its rate of oxidative metabolism<sup>56</sup> and survival<sup>57,58</sup>. Embryos cultured in defined medium containing PAF grow to the blastocyst stage with greater cell numbers<sup>59–61</sup>. The transfer of embryos treated in this way resulted in increased implantation and birth rates<sup>57,62</sup>. The production of zygotes by the method of in vitro fertilization reduces the ability of embryos to release PAF<sup>60</sup>. This is correlated with reduced growth and viability of the IVF embryos. Supplementation of medium with PAF

improves the survival and viability of the resulting embryos without having specific growth factor effects<sup>61</sup>, while an antibody to PAF blocked embryo development<sup>63</sup>.

PAF acts on the zygote and two-cell stage embryo by specifically inducing intracellular calcium transients<sup>64,65</sup>. These transients can be induced by both embryo-derived and exogenous PAF<sup>65</sup>. The calcium transients occur as single global waves with a periodicity of approximately 60–90 minutes<sup>65</sup>. The transients result from an interdependent combination of the release of intracellular stores of calcium and the influx of external calcium. Blocking the calcium transients blocked normal embryo development, showing that this is the important mechanism of signal transduction for PAF in the preimplantation embryo. Extracellular albumin is required for PAF release and the actions of PAF can be prevented by pretreatment of embryos with high concentrations of PAF: acetylhydrolase. The results to date show embryo-derived PAF acts as an autocrine trophic mediator but do not provide support for its actions as an intracellular message or as a juxtacrine mediator in the early embryo<sup>65</sup>.



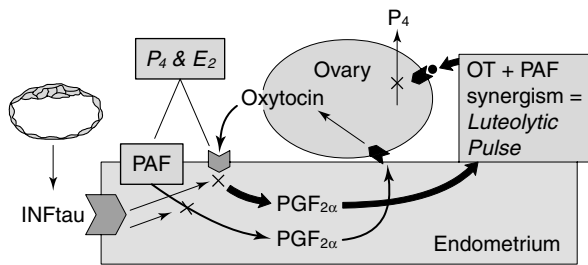


Fig. 58.3. A schematic representation of hypothetical interactions of endometrial PAF leading to luteolytic pulses of  $\text{PGF}_{2\alpha}$  in the sheep.  $P_4$  = progesterone;  $E_2$  = estradiol 17 $\alpha$ ; OT = oxytocin.

## Conclusions

Platelet-activating factor coordinates a range of important physiological processes during early pregnancy. Its release occurs in a novel manner, being bound to serum albumin in a 'caged' form. This form of binding is associated with conformational alteration of the albumin molecule involving cysteine–cysteine disulfide bonds. In this configuration PAF is resistant to metabolism by PAF: acetylhydrolase, shifting its mode of action from a labile local mediator to a stable mediator acting on various tissues. An important target is the embryo itself, with released PAF acting in an autocrine loop. It exerts paracrine effects, inducing extensive blood platelet activation and altered vascular dynamics within the reproductive tract. Embryo-derived PAF also signals to the oviduct to induce embryo transport down the fallopian tube to the uterus, perhaps via modification of oviductal fluid formation. This provides a means of differential migration for fertilized and unfertilized eggs. Platelet activation results in the release of a novel secreted form of chaperonin 10. This protein probably has a role in modifying protein conformation within the embryo's vicinity, including those associated with T-lymphocyte function. Although its precise function in early pregnancy is yet to be defined, its role in embryo development is established by the pregnancy failure that occurs following immunisation against it. The release and action of chaperonin 10 from platelets adds a further interesting candidate in the long list of potent cellular mediators released by platelets.

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## Platelets in psychiatric and neurological disorders

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### Introduction

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The difficulty in studying neurotransmitter function in psychiatric patients is legion, despite the initial optimism that surrounded the studies of amine metabolites and neurotransmitter receptors in the brains of suicide victims. It is now generally accepted that factors such as the contribution of amine metabolites from the spinal cord, and the lack of diagnostic certainty in the case of suicide victims, raises serious difficulties when attempting to define the relationship between changes in neurotransmitter function and the symptoms of a psychiatric or neurological disease.

It is against this background that pharmacologists have become interested in the blood platelet as a peripheral model of the nerve terminal. The platelet has many important features in common with the nerve terminal<sup>1</sup>. Thus (a) both the neuron and the platelet contain mitochondria and dense core vesicles in which transmitters are stored. (b) In both cases, the mitochondria provide energy for intracellular functions and also contain monoamine oxidase that catabolizes any free monoamines. (c) The vesicle acts as a storage site for serotonin in the platelet, and most transmitters in addition to serotonin in the neuron, and the release of the amines following activation of the platelet or neuronal membrane is facilitated by a calcium dependent excitation-excretion coupling mechanism. (d) Both platelets and neurons are of the same ectodermal origin and contain neuron specific enolases that, apart from platelets, are only found in nervous tissue. (e) Both platelets and neurons contain functional neurotransmitter and neuromodulator receptor sites on their outer membranes. (f) Both platelets and neurons contain energy-dependent amine transporter sites on their outer membranes. There are also major differences between the platelet and the neuron. Thus the neuron functions as part

of a complex nervous network and is not directly influenced by changes in the blood whereas the platelet has no direct connection with the nervous system, has a relatively short half-life (about 10 days) and is directly influenced by changes in the composition of the blood. In addition, whereas the synthesis and metabolism of the neurotransmitters takes place within the neuron, the platelet does not synthesize serotonin, the only major amine transmitter that is of importance for platelet function. In the platelet, the synthesis of serotonin occurs within the amine precursor, uptake and decarboxylation system (APUD cell) which contains all the relevant enzymes necessary for the synthesis for serotonin from its tryptophan precursor. The APUD cells are of the same embryonic origin as the neurons and platelets and may therefore be considered to form part of the paraneuronal system<sup>1</sup>. Thus, while the platelet may be considered to be a useful peripheral model of the serotonergic neuron, it would be incautious to consider it as a relevant model of all types of neuron in the brain. However, a major advantage in studying the platelet lies in its ease of access in patients.

The purpose of this chapter is to critically consider the value of studies of platelet function in some major psychiatric diseases in particular and to demonstrate how such studies may help to understand their pathological bases and response to treatment. It must be emphasized that a disproportionately large amount of data comes from studies of platelet function in depression.

### Physiological changes in platelet function of relevance to neurotransmission in the brain

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Platelet aggregation is a crucial step in normal hemostasis. Following stimulation by a variety of physiological stimuli such as adenosine diphosphate, adrenaline, serotonin,

thrombin and collagen, platelets undergo a shape change associated with pseudopodia formation and the initiation of the primary reversible phase of aggregation. After a strong physiological stimulus, secretion of the platelet contents takes place with the release of granule proteins and serotonin and the recruitment of additional platelets. This gives rise to the secondary irreversible phase of aggregation. Studies of the two phases of platelet aggregation under controlled conditions *in vitro* have been valuable in elucidating the complex mechanisms involved in aggregation. These mechanisms have been reviewed by Kroll and Schafer<sup>2</sup> and Leung and Nachman<sup>3</sup> and will not be considered further here.

Activation of platelet aggregation by biogenic amines occurs following the action of the agonists on alpha adrenoreceptors and serotonin type 2 receptors<sup>4</sup>. As a consequence of such changes, it has been possible to apply amine-induced platelet aggregation to assess adrenoceptor and serotonin receptor function. For example, nearly two decades ago, Healy et al.<sup>5</sup> showed that a reduction in serotonin-induced aggregation occurred in a group of untreated endogenously depressed patients, an effect which was absent following effective treatment with either amitriptyline or trazodone. Qualitatively, similar changes were reported in a group of depressed patients treated with the selective serotonin reuptake inhibitor (SSRI) antidepressants sertraline and fluoxetine, and the tetracyclic antidepressant mianserin<sup>6,7</sup>. As the normalization of the serotonin-induced aggregatory response did not occur in those patients who failed to respond to antidepressant treatment, it is concluded that the serotonin-induced aggregatory response is a state marker of depression.

Another approach has been to determine serotonin-induced platelet shape change in the presence and absence of the serotonin 2 receptor antagonist ketanserin<sup>8</sup>. While no differences in the platelet shape change occurred between the depressed patients and their controls, it was found that effective treatment with nortriptyline increased platelet aggregation, while those who failed to respond showed no such change. Thus it may be concluded that antidepressants, irrespective of their presumed mechanisms of action, enhance 5-HT<sub>2</sub> receptor function on the platelet membrane. Brusov and coworkers<sup>9</sup> approached the problem of platelet aggregation in a slightly different way. These investigators determined the EC<sub>50</sub> values of serotonin-induced aggregation in a small group of depressed women relative to their untreated controls, both before the start of drug treatment and up to 3 months after the commencement of treatment. They found that serotonin-induced platelet aggregation was reduced in the untreated patients but returned to control values in those

who responded to treatment lending further support to the view that in depression the platelet 5-HT<sub>2</sub> receptor is sub-functional, but returns to normal after effective treatment.

McAdams and Leonard<sup>10</sup> extended the studies of platelet aggregation by comparing a group of patients with major depression with a small group of patients with schizophrenia and with mania before and following effective therapy. They confirmed that effective treatment reverses the reduction in serotonin-induced platelet aggregation in depressed patients, but also showed that a similar reduction in serotonin responsiveness occurred in both schizophrenia and mania. In addition, it was also shown that the aggregatory response to collagen was significantly reduced in both schizophrenic and manic patients, but not in those who were depressed, and remained reduced even following clinical recovery. Thus, a decrease in collagen-induced platelet aggregation appears to be a trait marker of schizophrenia and mania. The action of collagen in inducing platelet aggregation would appear to involve changes in the polyunsaturated fatty acid composition of the membrane<sup>11</sup>, which may suggest that the platelet membrane, and possibly the neuronal membrane, are functionally more rigid to physiological stimuli than is normal.

It may be argued that these changes in the aggregatory response to different stimuli are a result of the direct actions of the drugs used to treat the patients and/or due to the effects of the elevated cortisol concentrations which commonly occur in these conditions. This seems unlikely as unpublished evidence by McAdams and Leonard has shown that neither cortisol nor any of the antidepressants, when incubated with platelets and the aggregating agents *in vitro* had any influence on the aggregatory response.

From these studies, it may be concluded that studies of the aggregating responses of platelets to serotonin and collagen are of some value in identifying changes which are causally related to the pathology of the affective disorders and schizophrenia. However, the question arises regarding the cause of platelet malfunction. This has been the subject of several investigations beginning with those of McAdams et al.<sup>12</sup>, who showed that there was a plasma factor which decreased the aggregatory response to serotonin in depressed patients and that its activity was significantly decreased in those patients following recovery. Other investigators had also implicated a plasma factor(s) as responsible for changes in the transport of <sup>3</sup>H-serotonin into platelets from depressed patients<sup>9</sup>. Nugent and colleagues<sup>13</sup> extended the studies on the plasma factor(s) by showing that it was stable to freezing, is present in both male and female depressed patients and is equally effective in inhibiting both the primary and secondary aggregatory response. In addition, the plasma factor was

found to inhibit the aggregatory response to ADP, noradrenaline, adrenaline, thrombin, collagen and ristocetin. As all these agonists produce their effects by activating specific membrane associated receptors, it would appear that the plasma factor(s) acts on secondary or tertiary messengers within the platelet. Evidence in support of this hypothesis was provided by studies showing that high concentrations of the aggregating agents failed to reverse the inhibitory effects of the plasma factor<sup>13</sup>. It was also shown that the plasma factor(s) inhibited the aggregatory response to sodium fluoride (which activates the guanine nucleotide binding protein system within the platelet<sup>14</sup>), the calcium ionophore A<sup>15</sup> (which stimulates aggregation by increasing the influx of calcium into the platelet<sup>16</sup>) and the phorbol ester PMA (which mediates aggregation by activating protein kinase C<sup>17</sup>).

The identity of the plasma factor(s) is presently unknown, but all the experimental evidence suggests that its action may be at, or beyond, protein kinase C and within the platelet. Furthermore, preliminary studies suggest that the factor is absent from patients with obsessive compulsive disorder, panic disorder, schizophrenia or manic depression<sup>18</sup>.

### Role of calcium in platelet aggregation

A number of studies have shown that the 5-HT 2A receptor is dysfunctional in mood disorders. For example, the density of these receptors is increased in postmortem brain from depressed patients and suicide victims (for review, see Kusumi and Koyama<sup>19</sup>). In addition, there is evidence that platelets from depressed patients have an increased density of 5-HT 2A receptors which normalize when the patients respond to treatment<sup>6,7,20</sup>. Measurement of serotonin-induced intraplatelet calcium mobilization has also indicated that the 5-HT 2A receptor function is enhanced in unmedicated patients with bipolar disorder or in those with major depression<sup>19,21</sup>. However, unlike the changes in 5-HT 2A density, it appears that the altered calcium response in the affective disorders is a trait-dependent phenomenon. This has been confirmed by Yamawaki et al.<sup>22</sup> and by Berk et al.<sup>23</sup>.

In a recent study of bipolar patients and those with major depression, Kusumi et al.<sup>24</sup> showed that those bipolar patients in the depressed phase of their illness who exhibited an enhanced platelet calcium response to serotonin also demonstrated a good clinical response to mood-stabilizing drugs.

The results of this series of clinical studies suggest that serotonin-induced calcium mobilization in the platelet is

defective in patients with affective disorders and that, if this is also reflected in the neuron, may help to explain the changes in central serotonin function in these patients. The changes induced by the activation of the 5-HT 2A receptors on the platelet membrane would not appear to be due to those in the basal calcium concentration or in the calcium availability. Thus Dubovsky et al.<sup>15,25</sup> found no difference in either the baseline platelet calcium concentration or in the platelet-activating factor and thrombin-induced calcium response in a group of patients with major depression.

### Changes in platelet <sup>3</sup>H-serotonin reuptake

#### Properties of the serotonin transporter on the platelet membrane

The serotonin transporter on both the platelet and the neuronal membrane show absolute dependence on extracellular sodium, a feature known to be characteristic of the Na<sup>+</sup>/K<sup>+</sup> cotransport properties where energy, from mitochondrial ATP, for the inward solute transfer is coupled to the energetically favourable influx of Na<sup>+</sup> down its concentration gradient. It is also evident that extracellular Cl<sup>-</sup> is absolutely necessary for the normal functioning of the serotonin transporter in the brain. As the platelet and the neuron are similar both in function and in response to physiological stimuli with respect to the serotonin transporter, studies of the transporter on the platelet membrane have some validity. It should be noted, however, that although the structure of the serotonin transporter on the platelet and neuronal membrane of the rat are identical, there appears to be at least three different variants of the transporter in human tissue<sup>26</sup>. Which of these variants is common to the neuron and platelet in human is uncertain, so caution must be expressed in making assumptions about the relevance of changes in the platelet serotonin transporter to those occurring in the brain until this is clarified.

The transport of serotonin into platelets has similar kinetic characteristics to those reported to occur in neurons<sup>27</sup> and therefore it should be possible to use the information obtained from studies of the <sup>3</sup>H-serotonin transport into platelets not only to investigate possible changes in serotonergic function in psychiatric and neurological diseases but also to assess how psychotropic drugs may modulate serotonin transport. The most extensive literature on serotonin reuptake into platelets relates to patients with major depression. The first report, by Tuomisto and Tukiainen<sup>28</sup>, showed that the serotonin

**Table 59.1.** Effect of antidepressant treatments on the  $V_{\max}$  of  $^3\text{H}$ -serotonin reuptake into platelets from depressed patients

Drug	Effect	Reference
Tricyclic antidepressants	Non-reversal of reduced $V_{\max}$	30
Mianserin	Reversed reduced $V_{\max}$	118
Imipramine	Reversed reduced $V_{\max}$	33
Amoxepine	Non-reversal of reduced $V_{\max}$	33
Nortriptyline	Reversed reduced $V_{\max}$	120
Amitriptyline and Trazodone	Reversed reduced $V_{\max}$	5
Lithium	Reversed reduced $V_{\max}$	118
Dothiepin, mianserin and ECT	Reversed reduced $V_{\max}$	74,75
Nomifensin	Reversed reduced $V_{\max}$	34
Sertraline	Reversed reduced $V_{\max}$	6
Peptide analogue with antidepressant effects (INN 00835)	Reversed reduced $V_{\max}$	119

reuptake was decreased in depressed patients. This was confirmed by many other investigators<sup>5,6,29-34</sup>. However, some studies have failed to report an abnormality in platelet serotonin reuptake in depressed patients<sup>35-38</sup> and therefore it has been claimed that a reduced serotonin transport into platelets from depressed patients could be a valuable biological marker of depression<sup>39</sup>. Table 59.1 summarizes the effects of antidepressant treatments on  $^3\text{H}$ -serotonin reuptake in depressed patients. The majority of these studies indicate that effective drug treatment results in the normalization of serotonin transport suggesting that this is a state marker of depression.

Despite the largely confirmatory evidence that the  $V_{\max}$  for  $^3\text{H}$ -serotonin reuptake is a state marker of depression, there is also some preliminary evidence that a similar change occurs in some patients suffering from migraine, hypertension, asthma and myeloproliferative disorders<sup>40</sup>. Patients with panic disorder have also been reported to have a reduced platelet serotonin reuptake, at least in some studies<sup>6,41</sup> but not in others<sup>17,42</sup>. There is also experimental evidence that chronic alcohol administration results in a decreased  $V_{\max}$  for serotonin reuptake into platelets from rats<sup>43</sup>, an effect that was reversed by the chronic administration of omega 6 fatty acids.

The cause of the decreased  $V_{\max}$  values for serotonin reuptake in untreated depressed patients is difficult to explain. There is evidence that the frequency of the serotonin transporter gene long allele is significantly increased in severely depressed patients<sup>44</sup>, which suggests that a functional polymorphism in the serotonin transporter may be associated with severe depression and suicide. In addition, there is evidence that the number of serotonin transport sites on platelet membranes from depressed patients is

decreased, and the platelet inositol triphosphate concentration increased<sup>45</sup>; the latter returned to control values following the successful treatment of the patients with a SSRI antidepressant.

One plausible possibility is that hypercortisolemia, a frequent occurrence in depression, is primarily responsible for the change in platelet serotonin dynamics<sup>46</sup>. However, more recently Owens and coworkers<sup>47</sup> examined the changes in serotonin dynamics in rats following the induction of a chronic hyperglucocorticoid state. The results of this study showed that a raised plasma glucocorticoid concentration did not directly affect either the reuptake of platelet serotonin or the affinity of  $^3\text{H}$ -citalopram for transporter on platelets or synaptosomes. It would therefore appear that changes in the concentration of the glucocorticoids is unlikely to play a role in causing the abnormal serotonin transport associated with depression and other disorders. The possibility remains that the factor(s) that inhibit the serotonin induced aggregatory response of platelets might also inhibit serotonin transport. There is preliminary evidence for such an effect<sup>12</sup> but whether this is the same or a different factor(s) is unknown.

Finally, it is possible that the availability of tryptophan for the APUD cells is abnormal in depression<sup>48</sup>, which would adversely impact on the vesicular pool of serotonin in the platelets. However, any change in the availability of the serotonin precursor would not appear to be reflected in the platelet serotonin concentration as there is little evidence of any change in the basal concentration in depressed patients<sup>49,50</sup>, even though different types of antidepressants, on chronic treatment, were found to significantly decrease platelet serotonin.

### **<sup>3</sup>H-imipramine and <sup>3</sup>H-paroxetine binding sites on the platelet serotonin transporter**

The description of high affinity binding sites for <sup>3</sup>H-imipramine in rat and human brain<sup>51,52</sup>, as well as on platelets<sup>53,54</sup> has helped to focus attention on the role of modulatory sites in the transport of serotonin. In spite of the methodological differences, many studies have reported a low density ( $B_{\max}$  values) for <sup>3</sup>H-imipramine binding on the platelet membrane from drug-free depressed patients<sup>53-57</sup>. Ellis and Salmond<sup>58</sup> performed a meta-analysis of 76 studies in which platelet <sup>3</sup>H-imipramine binding was measured in depressed patients and healthy controls. The result of this analysis showed a highly significant decrease in the  $B_{\max}$  in the depressed patients. More recent studies have also shown that the  $B_{\max}$  of the imipramine binding returns to control values after the symptoms of depression have remitted<sup>59-62</sup>. However, the influence of medication on imipramine binding sites is less clear, even though some investigators have shown that antidepressant treatment and concomitant recovery is associated with the increase in the  $B_{\max}$  values<sup>57</sup>. The reason for the lack of consensus may be related to the heterogeneous populations of depressed patients studied, differing methodology for determining platelet <sup>3</sup>H-imipramine binding, seasonal factors and the duration of the drug free status of the patients. Another factor that could influence the  $B_{\max}$  value relates to the plasma cortisol concentration. Unlike <sup>3</sup>H-serotonin reuptake or aggregation, a reduction in the  $B_{\max}$  of platelet imipramine binding correlates well with the cortisol concentration<sup>63</sup>, is low in patients with Cushing's disease<sup>64</sup> and in depressed patients who are dexamethasone non-suppressors of cortisol<sup>65</sup>. Thus studies of the <sup>3</sup>H-imipramine binding sites on the platelet serotonin transporter may act as a useful marker of depression, but it is uncertain whether this is a state or trait marker of the condition.

Paroxetine is a more specific ligand for labelling a single class of binding sites on the serotonin transporter than imipramine<sup>60</sup> and therefore it would be anticipated that studies in which this ligand, rather than imipramine, is used would give clearer evidence of any abnormality in the serotonin transporter. However, in the few studies in which <sup>3</sup>H-paroxetine has been used, no differences have been found between depressed patients and their controls<sup>66-68</sup>. More recently, Nelson and coworkers<sup>69</sup> found no difference between patients with major depression and comorbid obsessive compulsive disorders and their controls.

The assumption has been made that the changes in paroxetine, and possibly also imipramine, binding to the

serotonin transporter on the platelet membrane reflect similar changes to those occurring on the neuronal membrane. There is experimental evidence to suggest that this may not be the case. Thus Moret and Briley<sup>70</sup> demonstrated that, while central lesions of the serotonergic system markedly reduced the <sup>3</sup>H-paroxetine binding to neuronal membranes, the binding to platelet membranes remained unchanged. It would therefore appear that studies of the imipramine and paroxetine binding sites on the platelet membrane are of only very limited value as markers of the disease state.

### **The role of platelet adrenoceptors in depression**

It is well established that platelets are involved in the pathogenesis of vascular damage in atherosclerosis and arterial hypertension. The reactivity of platelets *in vivo* is influenced by the activity of the sympathoadrenal system, plasma concentrations of atherogenic lipids and changes in the fluid dynamics of the blood<sup>71</sup>. There is evidence that the human platelet membrane contains both alpha 2A adrenoceptors and dopamine receptors; the alpha 2A are the most prominent and probably responsible for the physiological and pathological changes in platelet function associated with the cardiovascular system. Increases in circulating catecholamines, (for example, caused by physical or mental exercise) initiates responses which include platelet aggregation, secretion of serotonin from the storage granules and activation of the arachidonate pathway<sup>71</sup>. There is evidence that genetic polymorphism of the alpha 2A adrenoceptor encoded by chromosome 10 is associated with hypertension and increased adrenaline mediated platelet aggregation in human<sup>72</sup>. Furthermore, in hypertensive patients, it was shown that subthreshold concentrations of adrenaline potentiated thrombin-induced platelet aggregation.

Epidemiological studies indicate that patients with major depression are predisposed to heart disease. Whether such a predisposition is linked to a polymorphism of the alpha 2 adrenoceptor, as in some types of hypertension, is unknown. However, there are numerous studies showing that the density ( $B_{\max}$ ) of alpha 2 receptors on the platelet membrane of depressed patients is increased. Some of the first studies were published by Garcia Seville et al.<sup>73</sup> and by Healy et al.<sup>73-75</sup> and showed that an increase in the density of alpha 2 receptors on the platelet membranes of drug-free depressed patients returned to control values in those patients who recovered following treatment. Similar increases in the alpha 2 receptor density were sub-



sequently reported by Takeda et al.<sup>76</sup>, Garcia-Sevilla et al.<sup>77</sup>, by Werstiuk et al.<sup>78</sup> and by Gurguis et al.<sup>79</sup> However, there are also studies in which the density of these adrenoceptors in depressed patients was either unchanged or even decreased<sup>80–85</sup>. Possible reasons for these differences may lay in the criteria used for the patient selection, differences in the methods used to prepare the platelet membranes and the differences in the specificities of the ligands used to determine the receptor density. For example, partial agonists, such as <sup>3</sup>H-clonidine, indicate an increased density of the alpha 2 receptors<sup>73</sup>, while no differences were found when alpha 2 antagonists such as <sup>3</sup>H-yohimbine or dihydroergocryptine were used<sup>80</sup>.

Determination of the density of a receptor on the platelet membrane does not tell the investigator anything about the functional activity of the receptors. It is therefore essential to determine the activity of the second messenger systems that are activated by the adrenoceptors in order to assess possible changes in functional activity. Newman and coworkers<sup>86</sup> determined both the basal adenylate cyclase activity and also the activities of the second messenger systems that were triggered by sodium fluoride, prostaglandin E1 and forskolin in a group of patients with major depression. These investigators reported no differences in either the basal or stimulated activity of adenylate cyclase in those patients treated with clomipramine together with lithium. Similar results were reported by Garcia-Seville et al.<sup>77</sup>, who incidentally also reported such changes in the brains of suicide victims<sup>87</sup>. While such studies suggest that the activity of adenylate cyclase is unchanged in depression, it is known that the alpha 2 receptor exists in different conformational states and therefore any functional change in depression may specifically reflect one of these states which differs from that occurring in the non-depressed state. For example, Gurguis and coworkers<sup>79</sup> showed that the alpha 2A receptor can exist in a low and a high conformational state that is regulated by the Gi protein. In a group of patients with major depression, these investigators showed that the effects of imipramine treatment on the clinical response depended on the conformational state of the receptor. Those patients with a high density of alpha 2 receptors in the higher state had a more positive response to the antidepressant treatment.

Another method for assessing the functional activity of alpha 2 adrenoceptors is to determine platelet aggregation in response to specific alpha 2 agonists. Garcia-Seville et al.<sup>77</sup> demonstrated that the aggregatory response to agonists such as UK-14304 was potentiated in depressed patients, indicating a receptor supersensitivity, but normalised after effective treatment with tricyclic antide-

pressants. It may be speculated that, although adenylate cyclase is unchanged in depression, it is possible that the activity of protein kinase C is defective, or that the alpha 2 receptor-mediated inositol triphosphate is activated<sup>88</sup>.

In addition to the alpha 2 adrenoceptor located on the platelet membrane, there is also evidence that beta adrenoceptors play a role in platelet adhesion and aggregation following prothrombin stimulation. Thus platelet beta 2 receptors mediate inhibition of aggregation and adhesion by stimulation of nitric oxide synthase activity, changes which follow the receptor mediated increase in adenylate cyclase activity<sup>89</sup>. It is not known how the density of platelet beta receptors changes in response to treatment, even though there is considerable evidence to show that the density of beta 2 receptors on lymphocyte membranes is increased in the untreated depressed patient and return to control values following effective treatment<sup>5,6,20</sup>. However, there is some preliminary evidence to indicate that the aggregation response to adrenaline of platelets from normal subjects is reduced when incubated with plasma from depressed patients<sup>18,90</sup>, suggesting that an inhibitory plasma factor(s) present in untreated depressed patients affect(s) the functioning of beta adrenoceptors on the platelet membrane.

Because of their lack of specificity for the alpha 2 receptor, attention has recently been focused on the imidazoline receptors, which are also located on platelet membranes and to which many of the alpha 2 receptor ligands are now known to bind. Piletz and coworkers<sup>91</sup> studied changes in the I1 imidazoline receptors and alpha 2 adrenoceptors on platelets from depressed patients. Like the alpha 2 receptors, the platelet I1 receptors were increased in untreated depressed patients, but returned to control values following effective treatment. However, whereas there was a correlation between the plasma adrenaline concentration and the platelet alpha 2 receptor density, there was no correlation between the I1 receptor density and the plasma catecholamine concentration. Differences were also found in the responsiveness of these different receptors and their changes in response to antidepressant treatments. Thus the I1 receptors were decreased in response to both serotonin and noradrenaline reuptake inhibitors, whereas the alpha 2 receptors were primarily responsive to noradrenaline reuptake inhibitors. The results of this study stress the importance in independently assessing both the alpha 2 and imidazoline receptors on platelet membranes before drawing conclusions regarding the possible significance of platelet alpha 2 receptor changes in psychiatric states.

### Changes in platelet function in headache

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A change in the blood serotonin concentration is believed to be a major factor in the initiation of headache particularly in patients with migraine. There is evidence that there is an increase in the basal nitric oxide synthase activity in the platelets from migraine sufferers, which is independent of an acute migraine attack. Sarchielli and coworkers<sup>92</sup> therefore investigated the variation in the nitric oxide synthase and cyclic guanosine monophosphate (cGMP) levels in a group of patients subject to a daily migraine headache by assessing the effect of collagen-induced platelet aggregation on these parameters. The results showed that there was a reduction in the collagen aggregatory response, which was coupled to an increase in both nitric oxide and cGMP synthesis, a significant increase in intracytosolic calcium and a reduction in the platelet serotonin, content. These results therefore suggest that migraine headache, which follows the depletion of platelet serotonin is a reflection of the increased platelet nitric oxide synthesis and increased intracytosolic calcium that follows an abnormal platelet response to collagen stimulation. However, other investigators have cast doubt on the relationship between the free blood serotonin concentration and the pain experienced in a migraine attack. Thus Panconesi et al.<sup>93</sup> reported no correlation between the mechanical overdilatation of the hand-forearm veins and the whole blood serotonin concentration. It is therefore possible that other platelet factors, such as nitric oxide, may be the primary cause of the pain associated with the venous distension that occurs in migraine. For example, adenosine, which acts as a potent vasodilator and participates in the control of cerebral and meningeal blood flow, is a major factor in migraine headache. For this reason, Guieu and colleagues<sup>94</sup> assessed the interaction between adenosine and its antagonists on the release of platelet serotonin. They showed that, during a migraine attack, there was a marked increase in the circulating concentration of adenosine which was associated with the pain. Furthermore, activation of the adenosine A2 receptor on the platelet membrane was found to inhibit serotonin reuptake into platelets, an effect which could result in the rapid elimination of platelet serotonin in migraine sufferers. These results raise the possibility that both adenosine and serotonin play crucial roles in migraine and that adenosine antagonists may be an effective complementary treatment of the condition.

Headache may also be associated with allergic factors and therefore the increase in blood histamine, which may rise in allergic and inflammatory conditions, could play a role. There is evidence, for example, that platelets can store

and take up histamine from the blood by an energy dependent process, the uptake being blocked by H1 histamine receptor antagonists<sup>95</sup>. Thrombin is known to release histamine from platelet stores and extracellular histamine causes platelet aggregation and serotonin release. This raises the possibility that headache associated with allergies and inflammatory processes may cause pain not only due to its vasoconstrictor action but also by increasing the release of platelet serotonin.

### Changes in platelet function in autism, aggression and impulsivity

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Although the literature linking an abnormality in platelet serotonin function with these disorders is limited, there are preliminary indications that there may be a link between changes in serotonin transport into platelets which may reflect the symptoms of these disorders. For example, Cook and Leventhal<sup>96</sup> have shown that the platelet serotonin uptake is increased in patients with autism, which may support the finding that SSRI antidepressants are effective in reducing the ritualistic behaviour and aggression in autistic patients. However, in a group of aggressive patients who were not autistic, Maguire et al.<sup>97</sup> reported no correlation between the psychopathology ratings, aggression and hostility scores and the <sup>3</sup>H-paroxetine binding sites on the platelet membrane. Similar results have been obtained in studies of aggressive behaviour in schizophrenic patients<sup>97</sup>. Other studies, however, showed that delinquent boys had a significantly reduced  $B_{max}$  for serotonin 2A receptors on platelet membranes that appear to correlate with the degree of antisocial behaviour<sup>98</sup>. The results of the latter two studies emphasize the importance in studying several parameters of platelet serotonin function and that <sup>3</sup>H-paroxetine binding studies are of little value in attempting to assess peripheral serotonin function in patients with psychiatric or neurological disorders.

### Changes in platelet function in bipolar disorder and schizophrenia

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While there has been considerable attention paid to changes in platelet function in patients with major depression, few studies to date have attempted to assess such changes in those with mania or schizophrenia. The comparison between mania and schizophrenia is particularly important not only because the acute symptoms of these disorders frequently overlap<sup>99</sup>, but also because such patients are commonly treated with neuroleptics, which

may indicate that these conditions share a common biochemical pathology.

Meagher and Leonard<sup>100</sup> studied the changes in platelet aggregation in a small group of patients with acute mania and schizophrenia and compared the aggregation responses to serotonin, noradrenaline and adrenaline with appropriate controls. In an attempt to determine whether the changes in platelet aggregation were correlated with the response to treatment, changes in the aggregatory response to serotonin were also studied during the first 15 days of treatment. The results of this investigation indicated that the serotonin-elicited response was significantly enhanced during the acute phase of the illness, but returned to control values following several weeks of treatment. No changes were found in the adrenaline or noradrenaline-induced platelet aggregatory responses nor was there a correlation between the symptoms of mania, as assessed by the Young Mania Scale, and the serotonin-induced aggregation response. However, unlike the results of the study by McAdams and Leonard<sup>12</sup>, no change was found in the serotonin-induced aggregation response in schizophrenic patients. One possible explanation for these differences may lie in the fact that, in the latter study, the patients were unmedicated whereas they were on neuroleptics in the study by Meagher and Leonard<sup>100</sup>.

Several studies have been made of changes in platelet serotonin transport in patients with mania. Patients with mania have a higher serotonin content and a higher serotonin reuptake rate than control subjects<sup>39,101–104</sup>. Thus it may be concluded that patients with mania may have an increased presynaptic serotonin concentration. In support of this view, Southam and coworkers<sup>105</sup> have shown that the mood-stabilizing agent lamotrigine dose dependently inhibits the serotonin reuptake into platelets and synaptosomes.

### Changes in platelet function in patients with anxiety disorders and fibromyalgia

Both clinical and experimental evidence suggests that an abnormality in noradrenergic and serotonergic function play a crucial role in anxiety disorders. Clinically, it has been shown that the SSRI antidepressants, such as clomipramine and fluvoxamine, reduce the incidence of panic attack. The infusion of lactate into susceptible patients consistently initiates a panic attack. Lingjaerde<sup>106</sup> reported that lactate facilitates the transport of serotonin into platelets which suggest that, if this also occurs in the brain, the intersynaptic concentration of serotonin will be lowered, which could lead to a reduction in the inhibitory effect of

serotonin on the firing of the locus coeruleus, the main noradrenergic centre in the brain. Such a mechanism may account for the observed changes in both the serotonergic and noradrenergic systems in patients with panic attack. In support of the imbalance between the noradrenergic and serotonergic systems in panic attack, a detailed study of a group of panic disorder patients before and during treatment with tricyclic antidepressants showed that platelet aggregation to noradrenaline was increased, while that of serotonin was decreased, before treatment and remained largely unchanged following effective treatment<sup>41</sup>. The rate of serotonin transport ( $V_{max}$ ) was also shown to be decreased, while the density of the serotonin 2A receptors on the platelet membranes was increased in the panic disorder patients irrespective of the response to drug treatment. In a follow-up study of some of the patients conducted some 5 to 6 years later, it was found that the platelet serotonin reuptake was still significantly reduced despite the apparent recovery of the patients<sup>107</sup>. Platelet responses to a number of different aggregatory agents was unchanged relative to the controls. There are qualitatively significant differences between patients with panic disorder and those with depression in that most of the abnormal parameters of platelet function return to control values in those with depression (i.e. act as state markers) whereas many remain abnormal in those with panic disorder (i.e. act as trait markers). However, it should be added that not all investigators have found these changes in patients with panic disorder. Thus some<sup>108</sup> have found no change in <sup>3</sup>H-serotonin reuptake into platelets, while others have reported an increase in the serotonin uptake<sup>109</sup>. Similarly, some investigators have shown an increase in the density of alpha-2 adrenoceptors on platelet membranes<sup>6</sup> while others<sup>110</sup> reported no change.

Of the other anxiety disorders in which platelet function has been investigated, there is evidence that the <sup>3</sup>H-imipramine binding sites on the serotonin transporter are decreased in patients with obsessive compulsive disorder, the decrease correlating with the severity of the clinical symptoms<sup>111</sup>. Effective treatment of these patients with a SSRI antidepressant largely reversed this abnormality.

Although fibromyalgia cannot be classified as either an anxiety or as an affective disorder, some of the symptoms of the condition do overlap with these disorders. It is therefore of interest to find that the density of the alpha 2 adrenoceptor ligand <sup>3</sup>H-rauwolscine on platelet membranes was significantly higher in fibromyalgia than in the control subjects and that the administration of the SSRI sertraline did not reverse these changes. If the results of these platelet studies can be extrapolated to the brain of the fibromyalgia patient, they do suggest that there is a

supersensitivity of the inhibitory presynaptic adrenoceptors that may contribute to the general malaise and dysphoria which characterize these patients.

Changes in platelet serotonin transport have also been investigated in women with premenstrual syndrome by Steege and colleagues<sup>112</sup>. It was reported that reduced <sup>3</sup>H-imipramine binding occurred in these patients; the decrease in the binding did not correlate with the Beck Depression Inventory Scores, however. Thus changes in serotonergic function may contribute to premenstrual dysphoric symptoms.

### Platelet changes in alcoholism

There is experimental evidence to show that the reuptake of <sup>3</sup>H-serotonin into platelets is significantly reduced when rats are fed an alcohol rich diet for a period of 21 days<sup>43</sup>. This adverse effect of alcohol on the platelet membrane is reversed by the concurrent administration of a polyunsaturated fatty acid-rich diet, which counteracted the depletion of these fatty acids in the platelet membranes. By contrast, several clinical studies have reported that the platelet <sup>3</sup>H-serotonin uptake in alcoholics was higher in alcoholics than in their controls<sup>113</sup>, a result which was supported by the later findings of Ernouf et al.<sup>114</sup> and Faraj et al.<sup>115</sup> More recently, Javours and coworkers<sup>116</sup> studied changes in <sup>3</sup>H-serotonin reuptake in a group of alcohol-dependent male subjects in which those patients in the early onset category (i.e. they began heavy drinking under the age of 25) were compared with those in the late onset category (i.e. who began heavy drinking after the age of 25). The results indicated that the <sup>3</sup>H-serotonin reuptake was higher in the early onset alcoholics than in the late category group, or in the respective controls. Thus, there is a disparity between the experimental and clinical findings with regard to the effects of chronic alcohol consumption on the platelet serotonin transporter. With regard to the other platelet parameters of serotonin function, no change has been reported in the serotonin 2A receptor-stimulated calcium response in platelets in alcoholic men<sup>117</sup>.

### Conclusions

Much of our understanding of the role of serotonin in psychiatric and neurological disorders is based on peripheral marker studies of serotonin function. As illustrated in this chapter, most of these studies have utilized the platelet as a model of the serotonergic neuron and have concentrated on determining changes in activity of the serotonin

transporter, changes in the density and responsiveness of the serotonin 2A receptor and the alpha 2 adrenoceptor on the platelet membrane, changes in the <sup>3</sup>H-imipramine binding sites on the serotonin transporter and, finally, changes in the intracellular calcium response of the platelet to serotonin.

This chapter has summarized the results mainly of clinical studies illustrating the importance of the platelet in obtaining an insight into the changes in serotonergic, and to some extent noradrenergic, function in major depression, schizophrenia, mania, the anxiety disorders and also preliminary evidence citing disorders of platelet function in patients with headache, alcoholism and autism. Evidence was also presented that some of these changes in platelet function could be due to plasma factor(s) that, in the case of patients with major depression, appear to be state markers of the condition.

Thus studies of platelet function have been invaluable in enabling an insight to be gained into the role of serotonin in a number of psychiatric and neurological disorders.

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# Platelets in inflammatory bowel disease

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## Introduction

The term inflammatory bowel disease (IBD) encompasses two chronic inflammatory disorders of the gastrointestinal tract, ulcerative colitis and Crohn's disease<sup>1</sup>. The aim of this chapter is to review the abnormalities of platelet number, morphology and function which occur in IBD, the possible role of platelets in its pathogenesis, and the relevance which pharmacological modification of platelet function may have to its treatment.

## Inflammatory bowel disease

Ulcerative colitis affects only the colon, while Crohn's may involve any part of the bowel from mouth to anus. The incidence of ulcerative colitis is about 10/100 000/year, and of Crohn's disease about half that figure: their combined prevalence approximates 200/100 000 of the population. Both diseases are commonest in the Western world, and their most frequent age of onset is 20–35 years.

## Clinical features

Ulcerative colitis and Crohn's disease of the colon most commonly present with bloody diarrhea, while Crohn's disease of the small intestine tends to cause not only diarrhea but also abdominal pain, weight loss, malaise and fever. Both diseases are characterized by a waxing and

waning natural history, and may be complicated, in patients with chronic extensive colitis, by the development of colorectal cancer. Ulcerative colitis and Crohn's disease may be associated with extraintestinal manifestations including iritis, erythema nodosum, pyoderma gangrenosum, joint disease, a spectrum of hepatobiliary disorders and systemic thromboembolic events.

## Thromboembolic disease

Since the 1930s, clinical series have reported that the incidence of arterial and venous thromboembolic disease is increased in patients with IBD, lying between 1 and 8% (Table 60.1). Autopsy studies indicate that underdiagnosis in life is common, and that the true incidence of thromboembolic diseases may be higher (7–41%).

Many of the patients described in the various series have more than one risk factor for thromboembolic events: these include recent abdominal surgery, fluid depletion and bed rest. However, several studies have indicated that thromboembolism in IBD is also common in fully ambulant patients whose disease is in clinical remission<sup>7,12–15</sup>.

## Treatment

Corticosteroids are the most effective medical treatment of active IBD. Aminosalicylates such as sulfasalazine and mesalazine are useful in the maintenance of remission in ulcerative colitis and are moderately useful in active IBD.

**Table 60.1.** Increased incidence of thromboembolic disease in inflammatory bowel disease

<i>Clinical studies</i>	Number of cases examined	Incidence of thromboembolic disease (%)
Bargen and Barker (1936) <sup>2</sup>	1500	1.2
Jankelson et al. (1942) <sup>3</sup>	145	3.6
Ricketts and Palmer (1946) <sup>4</sup>	206	1.9
Dennis and Karlson (1952) <sup>5</sup>	261	7.5
Edwards and Truelove (1964) <sup>6</sup>	647	6.4
Talbot et al. (1986) <sup>7</sup>	7199	1.3
Webberley et al (1993) <sup>8</sup>	104	7.8
<i>Postmortem Studies</i>		
Bargen and Barker (1936) <sup>2</sup>	43	31
Warren and Sommers (1949) <sup>9</sup>	180	7
Sloan et al. (1950) <sup>10</sup>	99	41
Graef et al. (1966) <sup>11</sup>	100	39

Patients with refractory disease benefit from immunomodulatory agents such as azathioprine, 6-mercaptopurine, cyclosporin and methotrexate. In Crohn's disease antibiotics, a liquid formula diet and anti-TNF alpha antibody (infliximab) may also be useful. Despite these medical measures, surgery to remove the inflamed bowel is required in up to 20% of patients with ulcerative colitis and in 70% of those with Crohn's disease.

### Etiopathogenesis

The Etiopathogenesis of both types of IBD is gradually being unravelled. There is a genetic predisposition to both ulcerative colitis and Crohn's disease, while environmental factors proposed in the pathogenesis include changes in gut microflora, smoking, various drugs and stress. In IBD there is an inappropriately excessive and prolonged mucosal inflammatory response. This response is amplified and perpetuated by recruitment of neutrophils, mononuclear cells and other leukocytes from the intestinal vasculature, with consequent release locally of a variety of proinflammatory cytokines (particularly TNF-alpha and interleukin-1 (IL-1)) and mediators (eicosanoids, platelet activating factor (PAF), reactive oxygen metabolites, proteases).

### Multifocal mesenteric microinfarction in Crohn's disease

Evidence, mainly from the Royal Free Medical School in London, suggests that mesenteric vascular injury may be

an early pathogenic event in Crohn's disease. This group have proposed a pathogenic sequence, perhaps induced by a persisting virus infection, which comprises focal granulomatous arteritis, fibrin deposition and arterial occlusion at the level of the muscularis mucosa, followed by tissue infarction and mucosal ulceration<sup>16-18</sup> (Fig. 60.1).

### Platelets in IBD

Evidence suggesting that platelet activity may have a pathogenic role in IBD includes the increased incidence of thromboembolic disease in affected patients (Table 60.1) and the proposal that microvascular intestinal mucosal thrombosis may be an early event in Crohn's disease<sup>16,17</sup>.

What are the abnormalities of platelet number, morphology and function reported in IBD?

#### Platelet number

Active IBD is associated with thrombocytosis, as first reported in 1968<sup>19</sup>. So high were the platelet counts in this initial study that three of the six ulcerative colitis patients described were given busulfan with reduction of platelet counts to normal in two and prolonged thrombocytopenia in the third. Further reports in larger groups of patients showed a close correlation with other markers of IBD activity, such as hypoalbuminemia, and raised ESR<sup>20</sup> and serum orosomucoid concentration<sup>21</sup>. These findings indicated that platelet counts provide a useful indicator of IBD activity.

Acute diarrhea due to IBD may be difficult to distinguish from infective diarrhea using clinical indicators. Platelet count may be a valuable discriminatory tool: 60% of patients with IBD but only 2% of those with infective diarrhea had a platelet count greater than  $450 \times 10^9/l$  in one study<sup>22</sup>.

#### Platelet size and survival

Studies of mean platelet volume assessed by various methods have shown platelets to be smaller in patients with ulcerative colitis and Crohn's disease compared with controls<sup>8,23,24</sup>, consistent with the increased platelet count. Decreased survival half time has been reported<sup>8,20</sup>: since the platelet count is raised in IBD, this observation indicates a greatly increased rate of platelet destruction.

#### Platelet aggregation

The first report of platelet aggregation, using Born's method, indicated an impaired response to adenosine

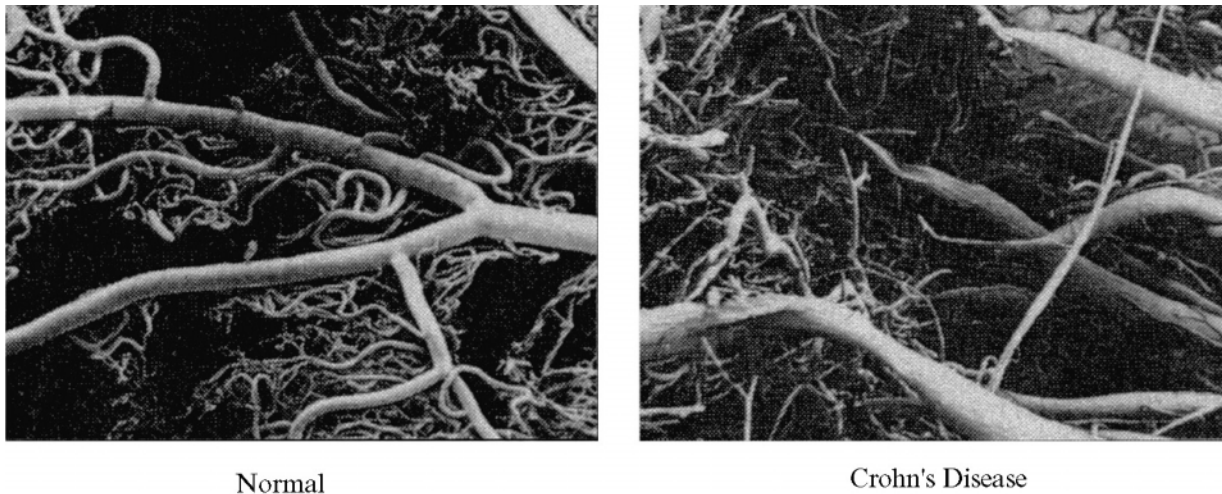


Fig. 60.1. Scanning electron microscopy of resin casts of normal intestine and vascular changes in Crohn's disease. (a) Subserosal vessels overlying submucous plexus in normal bowel. (b) Crohn's disease showing pronounced stenosis of all vessels subjacent to area of vascular proliferation. (Reproduced with kind permission of *The Lancet* and the author<sup>16</sup>.)

diphosphate (ADP), adrenaline and collagen during active IBD which returned to normal with effective treatment<sup>25</sup>. The authors concluded that platelets in active IBD might be 'refractory' due to prolonged low-grade stimulation by ADP released from hemolysed erythrocytes or partially activated platelets; they postulated that their results, paradoxically, provided evidence for increased platelet activation in active IBD.

Subsequent studies have shown that platelet aggregation is enhanced in active IBD, with increased responses occurring to arachidonic acid, ADP, collagen and ristocetin<sup>8,26–28</sup>. Conflicting laboratory results are likely to be explained by differences in methods used for preparing platelet-rich plasma, the most aggregable platelets having been removed from platelet-rich plasma during its preparation from whole blood in the first study<sup>25</sup>.

In quiescent IBD, no significant increase in response to ADP was seen in a group of 40 patients<sup>29</sup>. However, in six of these patients, and in none of the healthy controls, spontaneous platelet aggregation in vitro was noted. A later detailed study of platelet-rich plasma taken from 104 patients with active and quiescent disease also reported spontaneous aggregation in vitro in some of the samples<sup>8</sup>. Platelets from these patients, when resuspended in control plasma, continued to exhibit spontaneous aggregation; in contrast, plasma from IBD patients added to control platelets did not induce spontaneous aggregation. Increased aggregation in IBD appeared therefore, to be due to intrinsic platelet abnormalities rather than to the

presence of stable proaggregatory compounds in the circulation.

Increased numbers of circulating platelet aggregates in vivo have been detected in patients with active IBD compared to healthy controls and patients with active rheumatoid arthritis not taking NSAIDs<sup>28</sup>. Furthermore, in patients with Crohn's disease undergoing surgery, increased numbers of circulating platelet aggregates were found in mesenteric venous blood in comparison with paired arterial and control mesenteric venous samples<sup>30</sup>; these results suggested that platelet activity was stimulated in the mesenteric microcirculation in Crohn's disease.

Very recent work has shown increased numbers of platelet-leukocyte aggregates in the peripheral blood of patients with IBD, a finding compatible with the hypothesis that platelet activation can influence leukocyte function in this disease<sup>31</sup>.

Histological studies demonstrate platelet aggregation in the mesenteric microcirculation in IBD, rectal biopsies from patients with ulcerative colitis showing aggregates plugging mucosal capillaries<sup>32</sup>.

### Serum proteins and platelet surface markers

Increased plasma platelet factor 4 and beta thromboglobulin have been reported in IBD and, in contrast to results of platelet aggregation studies, did not appear to correlate with disease activity<sup>8,28,33</sup>. More recently, however, positive correlations have been found between these variables and

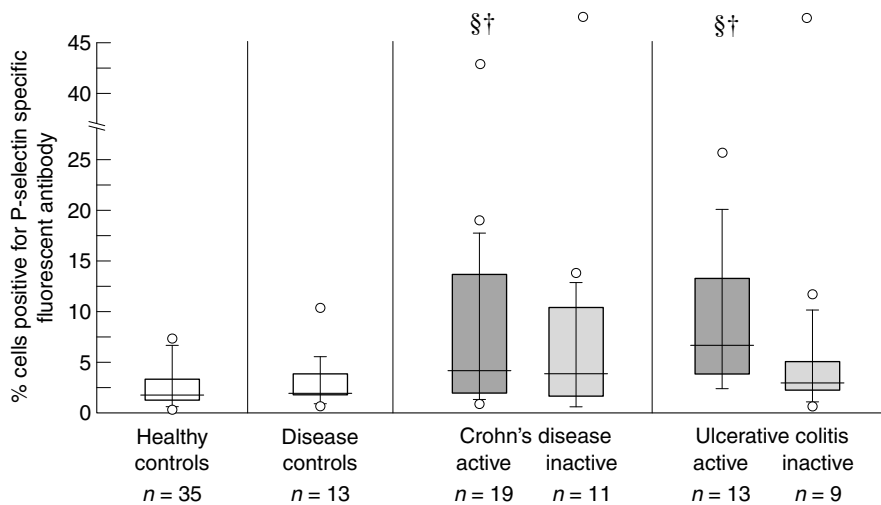


Fig. 60.2. Surface expression of platelet P-selectin in patients with IBD, healthy controls and disease controls (rheumatoid arthritis). For the box and whiskers plots, lines represent medians, boxes interquartile ranges, whiskers 10th–90th centiles and open circles outlying data points.  $P < 0.05$  vs. healthy controls †  $P < 0.01$  vs. healthy controls §  $P < 0.05$  vs. disease controls. (Reproduced with permission from *Gastroenterology*<sup>28</sup>.)

indices of activity of Crohn's disease and ulcerative colitis<sup>34</sup>. Differences in results are likely to reflect, primarily, the difficulty of assessing disease activity accurately in IBD with clinical and/or laboratory scoring systems.

Enhanced platelet activation, as indicated by expression of platelet surface P-selectin and GP53, is seen in peripheral blood samples in Crohn's disease and ulcerative colitis independent of disease activity<sup>28,35,36</sup> (Fig. 60.2).

P-selectin expression is greater in finger tip capillary blood than in venous samples in normal individuals. This gradient is exaggerated in patients with Crohn's disease, suggesting that their platelets may be more susceptible to activation in the microcirculation<sup>37</sup>.

### Possible explanations for abnormal platelet number, morphology and function in IBD

It is not easy to account for the abnormalities of platelet count, size and survival which occur in IBD.

Platelet count is the net result of the balance between production and destruction. IL-3 and IL-6, and thrombopoietin stimulate thrombopoiesis<sup>38</sup>; plasma IL-6 at least is raised in active Crohn's disease<sup>39</sup>.

The data suggesting that, despite being activated, platelets in IBD are small<sup>8,23,24</sup> presents a paradox, since in other disease settings reactivity of platelets correlates with their volume<sup>40–42</sup>. One possible explanation for a reduced platelet volume in the peripheral circulation in IBD could be sequestration or consumption of large activated platelets in the intestinal vasculature. Although such a phenome-

non could contribute to reduced platelet survival, attempts to image sequestration in the mesenteric circulation in IBD using radiolabelled platelets have proved inconclusive to date<sup>8</sup>.

Release of PAF<sup>43</sup> and thromboxane A<sub>2</sub> (TXA<sub>2</sub>)<sup>8</sup> from neutrophils into the mesenteric circulation at the site of mucosal disease could precipitate or amplify platelet activation. A similar effect could be induced by monocytes or neutrophils activated by endotoxin<sup>44</sup>, absorption of which is increased in IBD<sup>45</sup>.

Basement membrane collagen exposed by endothelial cell damage in the mesenteric circulation is a further possible trigger for increased platelet activation<sup>46</sup>. Increased circulating levels of von Willebrand factor are found in patients with both active and quiescent Crohn's disease demonstrating that endothelial cell damage occurs in this condition<sup>47</sup>. Changes in the endothelium, such as that induced by persistent virus infection, could promote platelet adherence and activation prior to any structural endothelial cell damage<sup>48</sup>. Lastly, exposure of endothelial cells to endotoxin acts as a potent stimulus for platelet aggregation<sup>49</sup>.

### Pathogenic implications of abnormal platelet function in IBD

Enhanced platelet aggregation and activation may contribute to the pathogenesis of IBD not only through increased release of inflammatory mediators such as PAF, TXA<sub>2</sub>, serotonin and reactive oxygen metabolites from

platelets themselves, but also through the recruitment, chemotaxis and modulation of the activity of neutrophils, monocytes and other inflammatory cells<sup>50</sup>.

Platelet phospholipid membrane provides the template for assembly of the coagulation factors (platelet factor 3) essential for the generation of thrombin and thereby fibrin. If mesenteric microinfarction followed by intravascular fibrin deposition is an important pathogenic mechanism in Crohn's disease<sup>16,17</sup>, then platelets, whether activated, aggregated or both, are involved. Their effects in this context are likely to include not only fibrin generation, but also induction of neutrophil accumulation and vasoconstriction as a result of local release of TXA<sub>2</sub> and other soluble mediators.

Platelet aggregates are a potent source of platelet-derived growth factor (PDGF). The mitogenic properties of this factor have been implicated in the pathogenesis of clubbing in cyanotic heart disease<sup>51</sup>. It has been proposed that platelet 'clumps' which, in health, are fragmented in the pulmonary circulation, bypass the pulmonary circulation in cyanotic heart disease and become impacted in the digital circulation. Release of PDGF may stimulate smooth and fibroblast proliferation and thereby account for finger clubbing. The same argument could be applicable in active Crohn's disease<sup>52</sup>.

Increased platelet numbers and activation may contribute not only to the pathogenesis of the intestinal lesion in IBD, as indicated above, but also to the increased risk of systemic thromboembolic disease in patients with IBD (Table 60.1).

### **Therapeutic implications of platelet dysfunction in inflammatory bowel disease**

The observations described above provide the rationale for a possible place for antiplatelet agents as a therapeutic strategy in IBD<sup>50</sup>.

#### **5-Aminosalicylates**

Drugs containing 5-aminosalicylic acid (5ASA) are used in the conventional treatment of IBD and have antiplatelet activity. Sulfasalazine inhibits platelet aggregation *in vitro* although only at high concentrations (IC<sub>50</sub> 2 mM)<sup>53</sup>. 5-aminosalicylic acid (1 μM or more) *in vitro* inhibits platelet activation, while in patients with IBD, taking standard doses of 5-aminosalicylic acid orally inhibits platelet activation *in vitro*<sup>36</sup> (Fig. 60.3). In patients with IBD, blood and mucosal levels of 5-ASA are 1–10 μM and 1–1000 μM respectively after standard oral dosing<sup>54,55</sup>; thus the inhibition of platelet

activation observed *in vitro* occurs at therapeutically relevant concentrations. However, aminosalicylates have many other pharmacological actions which could explain their efficacy in patients with IBD.

#### **Aspirin and NSAIDs**

Aspirin permanently inactivates platelet cyclooxygenase by acetylation and other non-steroidal anti-inflammatory drugs (NSAIDs) reversibly block cyclooxygenase; thus both exert an antiplatelet effect by inhibiting the formation of TXA<sub>2</sub>. Not only do these agents have harmful effects on gastrointestinal mucosa<sup>56,57</sup> but NSAIDs have also been associated with reactivation of quiescent IBD<sup>58–60</sup>. It has been suggested that the deleterious effects of NSAIDs in patients with IBD may be due to inhibition of protective prostaglandin production with diversion of arachidonic acid metabolism towards increased synthesis of harmful leukotrienes<sup>57,61</sup>; other proinflammatory mechanisms could also be involved<sup>57</sup>. The possibility that NSAIDs may have a therapeutic role in the prevention of colon cancer suggests that, in the future, these drugs might be used as cancer prevention agents in patients with IBD; careful assessment of their toxicity/benefit ratio would be needed.

#### **Thromboxane synthesis inhibitors**

Specific thromboxane synthase inhibitors reduce the formation of TXA<sub>2</sub> in platelets and other cells. They ameliorate colonic inflammation in an animal model of IBD, are anti-inflammatory in studies with inflamed colonic biopsies *in vitro*, and have shown some benefit in preliminary trials in patients with ulcerative colitis<sup>62–66</sup>. However, a randomized, double-blind, placebo-controlled trial has shown no benefit for the thromboxane synthase inhibitor and receptor blocker, ridogrel, 5 mg daily in patients with active CD<sup>67</sup>. Although it therefore seems unlikely that specifically targeting thromboxanes will be a therapeutically useful approach in IBD, final rejection of the hypothesis that thromboxanes play a pivotal role in its pathogenesis requires a clinical trial using an antithromboxane agent more potent than ridogrel.

#### **Heparin**

Heparin has anticoagulant as well as other biological actions, including reduction of leukocyte recruitment<sup>68</sup>. Heparin also inhibits platelet function by antagonizing activation by cathepsin G and inhibiting collagen-induced aggregation<sup>69,70</sup>. While uncontrolled studies have suggested benefits of heparin therapy in patients with active

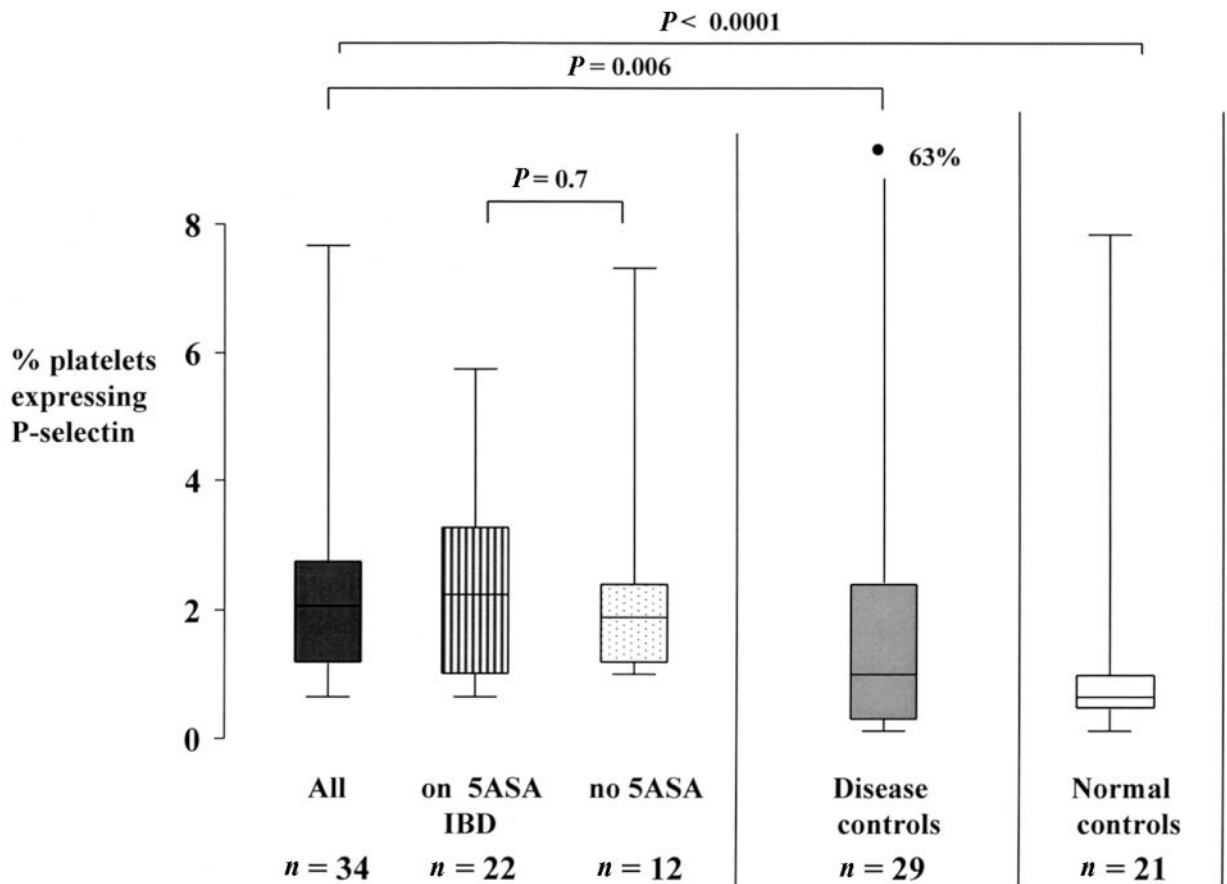


Fig. 60.3. Percentage of platelets expressing P-selectin immediately after venepuncture in patients with IBD, (on and not on 5ASA), disease controls and normal controls. Box and whisker plots are shown, where the box is the interquartile range, the horizontal line the median, and the whiskers show the highest and lowest values. Numbers of patients studied are shown below the *x*-axis. (Reproduced with permission from *Alimentary Pharmacology and Therapeutics*<sup>36</sup>.)

UC and CD<sup>71-73</sup>, a single controlled trial of heparin monotherapy in UC has had negative results<sup>74</sup>. To date, serious bleeding has been reported only rarely, but results of large-scale randomized controlled trials of heparin therapy in IBD are still awaited.

#### Antitumour necrosis factor-alpha (TNF $\alpha$ ) antibodies

Monoclonal antibodies to TNF $\alpha$  are of proven benefit in patients with otherwise refractory Crohn's disease<sup>75,76</sup> and offer a new therapeutic approach to the treatment of IBD. Studies on markers of coagulation and fibrinolysis in patients with steroid-resistant Crohn's disease given monoclonal TNF $\alpha$  antibodies have shown decreased thrombin generation and endothelial activation markers in association with disease remission.<sup>77</sup> These findings suggest that this therapy could act, in part, by blocking

intravascular fibrin deposition in the inflamed gut and thereby reducing any secondary ischemic damage and accelerating healing. However, the place of TNF $\alpha$  at the head of the proinflammatory cascade makes it unlikely that this is its only important mechanism of action in Crohn's disease.

#### Ticlopidine and clopidogrel

Ticlopidine and its analogue clopidogrel are antagonists of the platelet ADP receptor and are effective in patients with clinical manifestations of atherosclerosis in the coronary and cerebral circulations<sup>78-80</sup>. However, 20% of patients taking ticlopidine develop diarrhea: proposed mechanisms include lymphocytic colitis, small bowel dysmotility and altered prostaglandin production<sup>81,82</sup>. Diarrhea, as well as other serious side effects including thrombotic

thrombocytopenic purpura, neutropenia and hepatic dysfunction, make a therapeutic role for this antiplatelet agent in patients with IBD unlikely. Clopidogrel appears to be better tolerated than ticlopidine. However, case reports of thrombotic thrombocytopenic purpura<sup>83</sup> and haemolytic uremic syndrome<sup>84</sup> associated with its use probably preclude assessment of clopidogrel in IBD.

### GP IIb/IIIa antibodies

Monoclonal antibodies to the platelet fibrinogen receptor, GPIIb/IIIa, which reduce platelet–platelet and platelet–fibrinogen interactions, are used in patients undergoing coronary artery angioplasty<sup>85</sup>. However, these agents would be unsuitable for evaluation in IBD because of the risk of bleeding associated with their use.

### Conclusion

Platelets appear to contribute to the pathogenesis of IBD, and conventional therapy with aminosalicylates inhibits platelet function. However, more specific antiplatelet therapy has not yet been shown to be efficacious in this setting, and it is possible that any benefits of agents potently interfering with platelet function would be outweighed by an increased risk of intestinal mucosal bleeding.

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# **Pharmacology**

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Acetylsalicylic acid was first marketed as aspirin in 1899 and used extensively over the next decades as a prototypic non-steroidal anti-inflammatory drug (NSAID). Following the seminal discoveries made by John Vane and Bengt Samuelsson in the early 1970s on the biochemistry and pharmacology of arachidonic acid metabolism, the molecular mechanism of action of aspirin in inhibiting platelet function was elucidated by the elegant studies of Philip Majerus<sup>1</sup>. The development of whole blood thromboxane (TX)<sub>2</sub> production as a biochemical endpoint for human studies allowed the characterization of the dose- and time-dependence of the antiplatelet effect of aspirin in the early 1980s. This, in turn, provided the rationale for a new wave of randomized clinical trials employing daily doses 10- to 50-fold lower than used empirically in the past<sup>2</sup>. A large database consisting of over 100 clinical trials of aspirin prophylaxis in a wide range of vascular disorders now provides solid grounds for assessing the balance between benefits and risks in the whole spectrum of atherothrombosis<sup>3,4</sup>.

### Mechanism of action of aspirin

The best characterized mechanism of action of the drug is related to its capacity to inactivate permanently the cyclooxygenase (COX) activity of prostaglandin H-synthase (PGHS)-1 and -2 (also referred to as COX-1 and COX-2)<sup>5</sup>. These isozymes catalyse the first committed step in prostanoïd biosynthesis, i.e., the conversion of arachidonic acid to PGH<sub>2</sub>. PGH<sub>2</sub> is the immediate precursor of PGD<sub>2</sub>, PGE<sub>2</sub>, PGF<sub>2α</sub>, PGI<sub>2</sub> and TXA<sub>2</sub>. COX-1 and COX-2 are homodimers of a ~72 kDa monomeric unit. Within the enzymatic domain, there is a peroxidase catalytic site and a separate, but adjacent, site for COX activity at the apex of a long, hydrophobic channel. There are a number of impor-

tant differences between COX-1 and COX-2, some of which may contribute to variable inhibitor selectivity<sup>5</sup>.

The molecular mechanism of permanent inactivation of COX activity by aspirin is related to blockade of the COX channel as a consequence of acetylation of a strategically located serine residue (Ser529 in the human COX-1, Ser516 in the human COX-2), that prevents access of the substrate to the catalytic site of the enzyme<sup>6</sup>. Because aspirin has a short half-life (15–20 min) in the human circulation and is approximately 50- to 100-fold more potent in inhibiting platelet COX-1 than monocyte COX-2<sup>7</sup>, it is ideally suited to act on anucleate platelets, inducing a permanent defect in TXA<sub>2</sub>-dependent platelet function. Moreover, since aspirin probably also inactivates COX-1 in relatively mature megakaryocytes, and since only 10% of the platelet pool is replenished each day, once-a-day dosing of aspirin is able to maintain virtually complete inhibition of platelet TXA<sub>2</sub> production<sup>8</sup>. In contrast, inhibition of COX-2-dependent pathophysiological processes (e.g. hyperalgesia and inflammation) requires larger doses of aspirin (because of lower sensitivity of COX-2 to aspirin) and a much shorter dosing interval (because nucleated cells rapidly resynthesize the enzyme). This results in markedly different benefit/risk profiles of the drug, depending on the clinical indication and its variable dose requirements<sup>2,3</sup>.

### Pharmacokinetics

Aspirin is rapidly absorbed in the stomach and upper intestine. Peak plasma levels occur 30 to 40 min after aspirin ingestion, and inhibition of platelet function is evident by 1 h. In contrast, it can take up to 3 to 4 h to reach peak plasma levels after administration of enteric-coated aspirin. If only enteric-coated tablets are available, and a rapid effect is required, the tablets should be chewed. The

oral bioavailability of regular aspirin tablets is approximately 40 to 50% over a wide range of doses<sup>9</sup>. A considerably lower bioavailability has been reported for enteric-coated tablets and sustained-release, microencapsulated preparations. Because platelet COX-1 is acetylated in the presystemic circulation, the antiplatelet effect of aspirin is largely independent of systemic bioavailability<sup>9</sup>. Both a controlled-release formulation<sup>10</sup> and a transdermal patch<sup>11</sup> with negligible systemic bioavailability have been developed in an attempt to achieve selective inhibition of platelet TXA<sub>2</sub> production without suppressing systemic PGI<sub>2</sub> synthesis. However, the clinical relevance of preparations relatively selective for the presystemic circulation remains to be established (see below).

The plasma concentration of aspirin decays with a half-life of 15 to 20 min. Despite the rapid clearance of aspirin from the circulation, the platelet-inhibitory effect lasts for the lifespan of the platelet because aspirin irreversibly inactivates platelet COX-1<sup>1</sup>. Aspirin also acetylates the enzyme in megakaryocytes before new platelets are released into the circulation. The mean lifespan of human platelets is approximately 10 days. Therefore, about 10% of circulating platelets are replaced every 24 h, and 5 to 6 days following aspirin ingestion, approximately 50% of the platelets function normally<sup>1,8</sup>.

## Pharmacodynamics

### Effects on TXA<sub>2</sub> and PGI<sub>2</sub>

Human platelets and vascular endothelial cells process PGH<sub>2</sub> to produce TXA<sub>2</sub> and prostacyclin (PGI<sub>2</sub>), respectively<sup>1</sup>. TXA<sub>2</sub> induces platelet aggregation and vasoconstriction, while PGI<sub>2</sub> inhibits platelet aggregation and induces vasodilation. Aspirin is antithrombotic in a wide range of doses inhibiting TXA<sub>2</sub> and PGI<sub>2</sub><sup>2</sup>. While TXA<sub>2</sub> is largely a COX-1-derived product (mostly from platelets) and thus highly sensitive to aspirin inhibition, vascular PGI<sub>2</sub> can derive from both COX-1 (short-term changes in response to agonist stimulation, e.g. bradykinin; sensitive to transient aspirin inhibition) and COX-2 (long-term changes in response to laminar shear stress and inflammatory cytokines; largely insensitive to aspirin inhibition at conventional antiplatelet doses)<sup>5,12,13</sup>. This may account for the substantial residual COX-2-dependent PGI<sub>2</sub> biosynthesis in vivo at daily doses of aspirin in the range of 30 to 100 mg, despite transient suppression of COX-1-dependent PGI<sub>2</sub> release<sup>10,14</sup>. It is not established that more profound suppression of PGI<sub>2</sub> formation by higher doses of aspirin is sufficient to initiate or predispose

to thrombosis. However, studies with mice deficient in the gene encoding the PGI<sub>2</sub> receptor support the importance of this prostanoid in the prevention of arterial thrombosis<sup>15</sup>.

### Effects of aspirin not related to TXA<sub>2</sub>

Aspirin has been reported to have effects on hemostasis that are unrelated to its ability to inactivate platelet COX-1. These include dose-dependent inhibition of platelet function, enhancement of fibrinolysis, and suppression of plasma coagulation. In contrast to the saturable and well-characterized (nanomolar aspirin concentration, rapid time course, physiological conditions, single serine modification) inhibition of COX-1 by aspirin, the putative mechanisms underpinning the 'non-prostaglandin' effects of aspirin on hemostasis are dose dependent and less clearly defined<sup>3</sup>.

A subgroup analysis of the Physicians' Health Study, based on *post-hoc* measurements of baseline plasma C-reactive protein (CRP: the prototypic acute phase protein, whose serum levels can increase in response to tissue damage, infection or inflammation) performed in 543 apparently healthy men who subsequently developed MI, stroke or venous thrombosis, and in 543 study participants who did not report vascular complications, has found that the reduction in the risk of a first MI associated with the use of aspirin (325 mg on alternate days) appears to be directly related to the level of CRP, raising the possibility of anti-inflammatory as well as antiplatelet effects of the drug in cardiovascular prophylaxis<sup>16</sup>. As noted above, the anti-inflammatory effects of aspirin and other NSAIDs are largely related to their capacity to inhibit COX-2 activity induced in response to inflammatory cytokines, as these clinical effects can be fully reproduced by highly selective COX-2 inhibitors (coxibs) in patients with rheumatoid arthritis<sup>17</sup>. The dose- and time dependence of the effects of aspirin on nucleated inflammatory cells expressing COX-2 vs anucleated platelets expressing COX-1 are markedly different, thus making an anti-inflammatory effect of the drug at 325 mg every other day pharmacologically implausible, given its very short half-life in the human circulation<sup>3</sup>.

Finally, aspirin has been reported to modify the way in which neutrophils and platelets or erythrocytes and platelets interact, to protect endothelial cells from oxidative stress and to improve endothelial dysfunction in atherosclerotic patients. However, neither the molecular mechanism(s) nor the dose dependence of these effects have been clearly established.

### Aspirin 'Resistance'

Aspirin 'resistance' has been used to describe a number of different phenomena, including the inability of aspirin to: (i) protect individuals from thrombotic complications, (ii) cause a prolongation of the bleeding time, or (iii) produce an anticipated effect on one or more *in vitro* tests of platelet function<sup>3</sup>. A variable proportion (up to 1/4) of patients with cerebrovascular disease only achieve partial inhibition of platelet aggregation at initial testing, and some (up to 1/3) seem to develop 'resistance' to aspirin over time, even with increasing doses. The results of these long-term studies carried out by Helgason et al.<sup>18–20</sup> are at variance with those of a short-term study of Weksler et al. showing that 40 mg aspirin daily inhibited platelet aggregation and TXA<sub>2</sub> formation as effectively as higher doses of aspirin in patients who had recent cerebral ischemia<sup>21</sup>. Variable platelet responses to aspirin have also been described in patients with peripheral arterial disease and with ischemic heart disease. In the study of Buchanan and Brister, aspirin 'non-responders' were identified on the basis of bleeding time measurements<sup>22</sup>. Approximately 40% of patients undergoing elective coronary artery bypass grafting showed no prolongation of bleeding time in response to aspirin. This was associated with increased platelet adhesion and 12-HETE synthesis<sup>22</sup>. In contrast, repeated measurements of platelet aggregation carried out over 24 months of placebo-controlled treatment by Berglund and Wallentin demonstrated that 100 patients with unstable coronary artery disease randomized to receive 75 mg aspirin daily in the RISC study had consistently reduced platelet aggregation without attenuation during long-term treatment<sup>23</sup>.

Several relatively small studies in stroke patients have suggested that aspirin 'resistance' may contribute to treatment 'failure', i.e., recurrent ischemic events while on antiplatelet therapy, and that doses higher than 500 mg may be more effective than lower doses in limiting this phenomenon. The uncontrolled nature and small sample size of these studies makes it difficult to interpret the results. As discussed below, a much larger database failed to substantiate a dose-dependent effect of aspirin in stroke prevention, an effect that one would theoretically expect if aspirin 'resistance' could be overcome, at least in part, by increasing the daily dose of the drug. The apparent discrepancy between the theoretical predictions originating from studies of aspirin 'resistance' and the actual findings of approximately 100 randomized clinical trials of aspirin prophylaxis in high-risk patients<sup>4</sup> can be reconciled by acknowledging the limitations of platelet function studies. Thus, platelet aggregation as measured by conventional

methods *ex vivo* has less than ideal intra- and intersubject variability and displays limited sensitivity to the effect of aspirin, often considered a 'weak' antiplatelet agent based on such measurements. Moreover, the relevance of changes in this index of capacity to the actual occurrence of platelet activation and inhibition *in vivo* is largely unknown. Similarly, the bleeding time has serious problems of methodologic standardization and is of limited value in predicting hemostatic competence.

In a recent study, Weber et al. reported that circulating platelets from healthy subjects express COX-2 protein and mRNA, and suggested that this may represent a factor in aspirin 'resistance'<sup>24</sup>. This finding has been disputed by several groups. On the other hand, incomplete suppression of 11-dehydro-TXB<sub>2</sub> excretion – a non-invasive index of *in vivo* TXA<sub>2</sub> biosynthesis – has been observed episodically in some patients with unstable angina treated with *i.v.* low-dose aspirin, despite greater than 95% suppression of platelet COX-1 activity<sup>25</sup>. COX-2 induction in plaque monocytes/macrophages or activated endothelial cells may contribute to aspirin-insensitive TXA<sub>2</sub> biosynthesis in unstable angina by generating PGH<sub>2</sub> as a substrate for the TX-synthase of the same cell ('constitutive' biosynthesis) or by providing PGH<sub>2</sub> to the TX-synthase of aspirinated platelets ('transcellular' metabolism)<sup>26</sup>. The clinical importance of this phenomenon remains to be established.

The coadministration of aspirin and other NSAIDs can lead to pharmacodynamic interactions between the two, leading to attenuation of the antiplatelet effect of aspirin. Because aspirin's ability to acetylate a critical serine residue at the apex of the cyclooxygenase channel is dependent upon its initial binding to arginine-120, a common docking site for all NSAIDs, the stronger binding affinity of non-aspirin NSAIDs may preclude aspirin from permanently modifying platelet COX-1<sup>6</sup>. A highly selective COX-2 inhibitor, such as rofecoxib, is less likely to interfere with the antiplatelet effect of aspirin than conventional NSAIDs because of its limited interaction with platelet COX-1<sup>17</sup>. Finally, it is theoretically possible that polymorphisms and/or mutations in the COX-1 gene affecting Ser529 may represent the structural basis for aspirin 'resistance' in some patients, although this hypothesis remains to be tested.

Thus, in summary, both the mechanism(s) and clinical relevance of aspirin 'resistance' remain to be established. Until its true nature and prevalence are better defined, no test of platelet function is recommended to assess the antiplatelet effect of aspirin in the individual patient<sup>3</sup>.

### The antithrombotic effects of aspirin

Well-designed randomized trials have shown that aspirin is an effective antithrombotic agent when used in doses ranging between 50 and 100 mg/d and there is a suggestion that it is effective in doses as low as 30 mg/d<sup>2-4</sup>. Aspirin in a dose of 75 mg/d was shown to be effective in reducing the risk of acute myocardial infarction (MI) or death in patients with unstable angina<sup>27</sup> and chronic stable angina<sup>28</sup> as well as in reducing stroke or death in patients with transient cerebral ischemia<sup>29</sup> and the number of postoperative strokes after carotid endarterectomy<sup>30</sup>. In the European Stroke Prevention Study II<sup>31</sup>, aspirin, 25 mg bid, was effective in reducing the risks of stroke and stroke or death in patients with prior stroke or transient ischemic attack (TIA). Finally, in a study of 3131 patients after a TIA or minor ischemic stroke, aspirin in a dose of 30 mg/d was compared with a dose of 283 mg/d, and no statistically significant difference was found in the incidence of the combined outcome of vascular death, stroke, or myocardial infarction between the two aspirin regimens<sup>32</sup>. The lowest effective dose of aspirin for these various indications is shown in Table 61.1.

The clinical effectiveness of different doses of aspirin has been compared directly in a small number of randomized trials. In the United Kingdom-TIA (UK-TIA) study, no difference in efficacy was found between 300 and 1200 mg/d of aspirin<sup>33</sup>. The Dutch TIA study failed to show a difference between 30 and 283 mg/d of aspirin. The Aspirin and Carotid Endarterectomy trial<sup>34</sup> has recently reported that the risk of stroke, MI or death within 3 months of carotid endarterectomy is significantly lower for patients taking 81 mg or 325 mg aspirin daily than for those taking 650 mg or 1300 mg (6.2% vs. 8.4%;  $P=0.03$ ). Thus, there is no convincing evidence from randomized studies that have compared different doses of aspirin for a dose dependence of the antithrombotic effect<sup>3,4</sup>.

The antithrombotic effects of a range of doses of aspirin have also been compared with an untreated control group in a number of thrombotic vascular disorders. The doses have varied between 50 and 1500 mg/d. Aspirin has been shown to be effective in the following conditions<sup>2-4</sup>: unstable angina in which the incidence of acute MI or death was significantly reduced to a similar degree in four separate studies using daily doses of 75 mg, 325 mg, 650 mg, and 1300 mg; stable angina in which a dose of 75 mg daily reduced the incidence of acute MI or sudden death; aorto-coronary bypass surgery in which the incidence of early occlusion was similarly reduced with daily doses of 100 mg, 325 mg, 975 mg, and 1200 mg; thromboprophylaxis of patients with prosthetic heart valves who also received

**Table 61.1.** Vascular disorders for which aspirin has been shown to be effective and minimum effective dose

Disorder	Minimum effective daily dose (mg)
Men at high cardiovascular risk	75
Hypertension	75
Stable angina	75
Unstable angina <sup>a</sup>	75
Acute myocardial infarction	160
Transient ischemic attack and ischemic stroke <sup>a</sup>	50
Severe carotid artery stenosis <sup>a</sup>	75
Acute ischemic stroke <sup>a</sup>	160

*Note:*

<sup>a</sup> Higher doses have been tested in other trials and not found to confer any greater risk reduction.

*Source:* Reproduced with permission<sup>3</sup>.

warfarin in whom the incidence of systemic embolism was reduced with daily doses of 100 mg, 500 mg, and 1500 mg; thromboprophylaxis of patients with arterial venous shunts undergoing long-term hemodialysis in whom a dose of 160 mg/d was shown to be effective; acute MI in which a dose of 162.5 mg/d reduced early (35 day) mortality as well as non-fatal reinfarction and stroke; transient cerebral ischemia in which doses between 50 and 1200 mg/d were effective; and acute ischemic stroke in which doses of 160 to 300 mg/d were effective in reducing early mortality and stroke recurrence.

Although the proportional effects of aspirin therapy on major vascular events (non-fatal MI, non-fatal stroke or vascular death) in these high-risk settings have been characterized as relatively homogeneous ( $23 \pm 2\%$  odds reduction) based on a recent overview of all secondary prevention studies<sup>4</sup>, individual trial data show substantial heterogeneity ranging from no apparent benefits in patients with peripheral arterial disease to  $\geq 50\%$  risk reductions in patients with unstable coronary syndromes. These findings may reflect the variable importance of TXA<sub>2</sub> as a mechanism amplifying the hemostatic response to plaque destabilization in different clinical settings<sup>3</sup>.

Finally, the variable underlying risk of developing a major vascular event if untreated appears to represent the major determinant of the absolute benefit of aspirin prophylaxis in different patient groups, as depicted in Fig. 61.1<sup>3</sup>.



**Table 61.2.** 'Primary' prevention trials of aspirin vs. placebo

Trial	Subjects ( <i>n</i> )	Follow-up yr	Placebo event rate	
			% per yr	Aspirin RR
UK Doctors <sup>36</sup>	Healthy men (5139)	5.8	1.4	1.03
US Physicians <sup>35</sup>	Healthy men (22071)	5.0	0.7	0.82
TPT <sup>37</sup>	High-risk men (5085)	6.3	1.6	0.83
PPP <sup>38</sup>	High-risk men and women (4495)	3.6	0.8	0.71
HOT <sup>34</sup>	Hypertensive pts (18 790)	3.8	1.1	0.85
SAPAT <sup>36</sup>	Stable angina pts (2035)	4.2	3.7	0.71

*Note:*

RR = relative risk of non-fatal MI, non-fatal stroke or vascular death.

Source: Reproduced in updated form<sup>3</sup>.

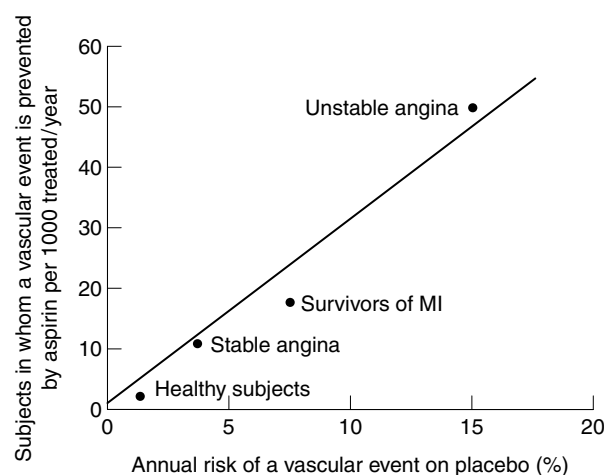


Fig. 61.1. The absolute risk of vascular complications is the major determinant of the absolute benefit of antiplatelet prophylaxis. Data are plotted from placebo-controlled aspirin trials in different clinical settings. For each category of patients, the abscissa denotes the absolute risk of experiencing a major vascular event as recorded in the placebo arm of the trial(s). The absolute benefit of antiplatelet treatment is reported on the ordinate as the number of subjects in whom an important vascular event (non-fatal MI, non-fatal stroke, or vascular death) is actually prevented by treating 1000 subjects with aspirin for 1 year. (Reproduced with permission<sup>3</sup>.)

### Aspirin and primary prevention

Aspirin has been evaluated in six 'primary' prevention trials in approximately 58 000 persons at variable cardiovascular risk (Table 61.2)<sup>35–40</sup>. In the US Physicians' Health Study (PHS), among 22 071 healthy physicians, an alternate-day regimen of 325 mg of aspirin conferred a statistically significant 44% reduction in risk of first MI<sup>35</sup>. Neither the

overall cardiovascular mortality, which was the primary end point of the study, nor the total number of strokes was reduced by long-term aspirin prophylaxis, but there was evidence of a possible increase in hemorrhagic strokes. The British Doctors' trial<sup>36</sup> found no statistically significant effects of aspirin, 500 mg daily, but it had a much smaller sample size than the US trial. An overview of both trials suggested a highly significant 32% reduction in risk of first MI, but the data for stroke and cardiovascular mortality were inconclusive<sup>40</sup>. In terms of absolute benefit, the protective effect of aspirin translated into a major vascular event being avoided in four healthy physicians per 1000 men treated for 5 years. It should be emphasized that this self-selected group of health-conscious male physicians had an absolute risk of developing a major vascular event, if untreated, of <1.0% per year, much lower than the expected rate in the general population.

In the Thrombosis Prevention Trial (TPT)<sup>37</sup>, 5499 men aged between 45 and 69 years were recruited from >100 general practices in the United Kingdom because they were considered to be at high risk of ischemic heart disease (in the top 20 to 25% of the risk score distribution). Low-dose aspirin therapy, 75 mg/d, in a controlled-release formulation discussed above, produced a statistically significant 20% relative reduction in the risk of the primary end point of all ischemic heart disease (i.e., coronary death, fatal or non-fatal MI), which was almost entirely due to a 32% reduction in nonfatal events<sup>37</sup>. As in the two previous 'primary' prevention trials, neither the total number of strokes nor the overall cardiovascular mortality was modified by aspirin prophylaxis in TPT. Although the men recruited in TPT were selected for being at high risk of ischemic heart disease, their actual risk of developing a major vascular complication, as assessed in the control group, was considerably lower than expected and only a little more than the rate found in the British Doctors' Trial,

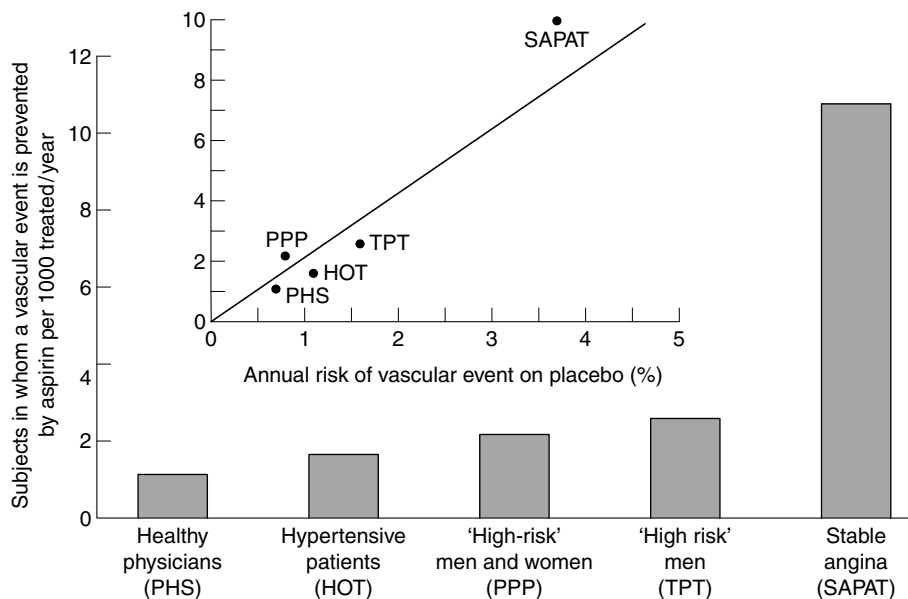


Fig. 61.2. Absolute benefit of aspirin in 'primary' prevention. Data were calculated from placebo-controlled aspirin trials in different settings characterized by variable cardiovascular risk, as noted on the abscissa. The absolute benefit of aspirin prophylaxis is reported on the ordinate as the number of subjects in whom an important vascular event (non-fatal MI, non-fatal stroke, or vascular death) is prevented by treating 1000 subjects with low-dose aspirin for 1 year.

i.e., approximately 1.5% per year. In this setting, an ischemic cardiac event would be avoided in two high-risk subjects by treating 1000 such men with aspirin for a year (Fig. 61.2). The recently reported Primary Prevention Project (PPP) has extended these findings to a group of 4495 men and women older than 50 years and with one or more cardiovascular risk factors recruited by 315 general practitioners in Italy<sup>38</sup>. After a mean follow-up of 3.6 years, the trial was prematurely stopped on ethical grounds and failed to demonstrate a statistically significant reduction in the primary endpoint (RR, 0.71; 95% CI, 0.48–1.04).

Quite similar results were obtained in the Hypertension Optimal Treatment study<sup>39</sup>, in which 18790 male and female patients with intensively treated hypertension were randomly allocated to aspirin, 75 mg daily, or placebo. Aspirin reduced major vascular events by 15% ( $P=0.03$ ) and all MI by 36% ( $P=0.002$ ), with no effects on stroke or cardiovascular mortality. Because of the low vascular risk of these well-treated hypertensive patients (only about 1.0% per year), a major cardiovascular event would be avoided in one to two patients by treating 1000 hypertensive men and women with low-dose aspirin therapy for a year (Fig. 61.2). If one compares these absolute benefits of aspirin prophylaxis with those achieved in the 'primary' prevention of MI in patients with stable chronic angina<sup>28</sup>, it becomes apparent that the level of cardiovascular risk in the control population (i.e., those on placebo) represents a

major determinant of the absolute benefit of antiplatelet therapy (Fig. 61.2).

These results do not support the widespread use of aspirin for 'primary' cardiovascular prophylaxis, because they clearly demonstrate that proper management of modifiable risk factors by current multifactorial strategies can reduce the actual risk of experiencing a major vascular event to a level where the additional benefit of aspirin does not clearly outweigh the risk of major bleeding complications (see below).

### Adverse effects of aspirin

Aspirin does not cause a generalized bleeding abnormality unless it is given to patients with an underlying hemostatic defect, such as hemophilia, uremia, or that induced by anticoagulant therapy. Aspirin-induced impairment of primary hemostasis cannot be separated from its anti-thrombotic effect and is similarly independent of the dose<sup>2,3</sup>.

The balance between preventing vascular occlusion and causing excess bleeding with aspirin depends critically on the absolute thrombotic vs. hemorrhagic risk of the patient. Thus, in individuals at very low risk for vascular occlusion (e.g., 1% per year), a very small absolute benefit is offset by exposure of a large number of healthy subjects

**Table 61.3.** Benefit/risk ratio of antiplatelet prophylaxis with aspirin in different settings

Clinical setting	Benefit <sup>a</sup> (Number of patients in whom a major vascular event is avoided per 1000/year)	Risk <sup>b</sup> (Number of patients in whom a major GI bleeding event is caused per 1000/year)
Men at low to high cardiovascular risk	1–2	1–2
Essential hypertension	1–2	1–2
Chronic stable angina	10	1–2
Prior myocardial infarction	20	1–2
Unstable angina	50	1–2

**Notes:**

<sup>a</sup> Benefits are calculated from randomized trial data reviewed in this chapter and depicted in Figs. 61.1 and 61.2.

<sup>b</sup> Risks of upper GI bleeding are estimated from a background rate of 1 event per 1000 per year in the general population of non-users and a relative risk of 2.0 to 3.0 associated with aspirin prophylaxis<sup>42</sup>. Such an estimate assumes comparability of other risk factors for upper GI bleeding, such as age and concomitant use of NSAIDs, and may actually underestimate the absolute risk in an elderly population exposed to 'primary' prevention. The absolute excess of major bleeding complications in the 'primary' prevention trials reviewed in Table 61.2 ranged between 0.3 and 2.2 per 1000 patient-years.

Source: Reproduced with permission<sup>3</sup>.

to undue bleeding complications. In contrast, in patients at high risk of cardiovascular or cerebrovascular complications (e.g., >5% per year), the substantial absolute benefit of aspirin prophylaxis clearly outweighs the risk (Table 61.3)<sup>3</sup>. For example, the absolute excess of major bleeds (i.e., those requiring transfusion) in acute MI is approximately 1/100th the absolute number of major vascular events avoided by aspirin therapy<sup>4</sup>.

Hypertension has often been considered a contraindication to aspirin because of the concern that possible benefits in the prevention of cardiovascular events may be counterbalanced by an increased risk of cerebral bleeding. The results of the aspirin component of the Hypertension Optimal Treatment study are reassuring in this regard, since hypertensive patients whose BP was well controlled were protected from MI by aspirin without an increase in cerebral bleeds or strokes<sup>39</sup>.

The overall risk of major extracranial and intracranial bleeds associated with antiplatelet drugs is difficult to assess in individual trials because their incidence is low,

i.e., <1% per year, making detection of even a 50 to 60% relative increase in risk unrealistic in most trials of a few thousand patients.

Aspirin-induced GI toxicity, as detected in randomized clinical trials, appears to be dose related in the range of 30 to 1300 mg daily<sup>41</sup>. This is based largely on indirect comparisons of different trials and on a limited number of randomized, direct comparisons of different aspirin doses, as reviewed above. Such a dose-response relationship is thought to reflect at least two COX-1-dependent components, i.e., dose-dependent inhibition of COX-1 in the GI mucosa and dose-independent (within the range of examined doses) inhibition of COX-1 in platelets<sup>3</sup>. Thus, it is not surprising that the antithrombotic effect of aspirin can be dissociated, at least in part, from its most common side effect. However, even when administered at low doses, aspirin can cause serious GI bleeding, as reported in studies using 30 to 50 mg daily<sup>31,32</sup>. Because of the underlying prevalence of gastric mucosal erosions related to concurrent use of other NSAIDs and/or *Helicobacter pylori* infection in the general population, it should be expected that any antiplatelet dose of aspirin will cause more bleeding from pre-existing lesions than a placebo. Consistent with this mechanistic interpretation, the relative risk of hospitalization due to upper GI bleeding and/or perforation associated with low-dose aspirin therapy (mostly, 100 to 300 mg daily) is comparable to that of other antiplatelet agents and anticoagulants, i.e., 2.3 (95% confidence interval [CI], 1.7 to 3.2), 2.0 (95% CI, 1.4 to 2.7), and 2.2 (95% CI, 1.4 to 3.4), respectively, in a large population-based observational study<sup>42</sup>. A case-control study with hospital and community controls has examined the risks of hospitalization for bleeding peptic ulcer associated with three different regimens of aspirin prophylaxis. Odds ratios were raised for all doses of aspirin taken: 75 mg, 2.3 (95%CI, 1.2 to 4.4); 150 mg, 3.2 (1.7 to 6.5); 300 mg, 3.9 (2.5 to 6.3). It has been calculated that approximately 900 of the 10000 episodes of ulcer bleeding occurring in people aged over 60 each year in England and Wales could be associated with, and ascribed to, prophylactic aspirin use<sup>43</sup>. A general change to lower doses (75 mg) of aspirin would not eliminate risks but, if these figures are soundly based, would reduce risk by about 40% compared with 300 mg and by 30% compared with 150 mg doses. Given that the mortality rate among patients who are hospitalized for NSAID-induced upper GI bleeding is about 5 to 10%<sup>44</sup>, such a strategy could save a significant number of lives.

The widely held belief that enteric-coated and buffered varieties of aspirin are less likely to occasion major upper GI bleeding than plain tablets was tested in data from a multicentre case-control study<sup>45</sup>. The relative risks of

upper GI bleeding for plain, enteric-coated, and buffered aspirin at average daily doses of  $\leq 325$  mg was 2.6, 2.7, and 3.1, respectively. At doses  $> 325$  mg, the relative risk was 5.8 for plain and 7.0 for buffered aspirin; there were insufficient data to evaluate enteric-coated aspirin at this dose level<sup>45</sup>. Thus, physicians who recommend aspirin in an enteric-coated or buffered form should not assume that these formulations are less likely to cause GI tract bleeding than plain aspirin.

Suppressing acid secretion is thought to reduce the risk of ulcers associated with regular use of NSAIDs. In patients who required continuous treatment with NSAIDs and who had ulcers or  $> 10$  erosions in either the stomach or duodenum, omeprazole healed and prevented ulcers more effectively than did ranitidine. In these patients, maintenance therapy with omeprazole was associated with a lower rate of relapse and was better tolerated than misoprostol. However, whether suppressing acid secretion might reduce GI toxicity associated with low-dose aspirin remains to be established.

Substantially less information is available concerning the risk of intracranial hemorrhage associated with aspirin use. In the Nurses' Health Study cohort of approximately 79 000 women aged 34 to 59 yr, infrequent use of aspirin (1 to 6 tablets per week) was associated with reduced risk of ischemic stroke, while high frequency of use (15 or more aspirin per week) was associated with increased risk of subarachnoid hemorrhage, particularly among older or hypertensive women<sup>46</sup>. In the overview of the Antithrombotic Trialists' Collaboration, the absolute excess of intracranial hemorrhage due to aspirin therapy is less than 1 per 1000 patients per year in high-risk trials, with somewhat higher risks in patients with cerebrovascular disease<sup>4</sup>.

Low-dose aspirin therapy has not been reported to affect renal function or blood pressure control, consistent with its lack of effect on renal prostaglandin synthesis. Moreover, aspirin 75 mg daily did not affect BP nor the need for antihypertensive therapy in intensively treated hypertensive patients<sup>39</sup>. The suggestion that the use of aspirin and other antiplatelet agents is associated with reduced benefit from enalapril in patients with left ventricular systolic dysfunction is not supported by the results of a large meta-analysis of MI trials<sup>47</sup>.

## Conclusions

Thus, in summary, inhibition of  $TXA_2$ -dependent platelet function by aspirin may lead to prevention of thrombosis as well as to excess bleeding. Assessing the net effect

requires an estimation of the absolute thrombotic vs. hemorrhagic risk of the individual patient. In individuals at very low risk for vascular occlusion, a very small absolute benefit may be offset by exposure of very large numbers of healthy subjects to undue bleeding complications. As the risk of experiencing a major vascular event increases, so does the absolute benefit of antiplatelet prophylaxis with aspirin, as shown in Fig. 61.1, for a number of clinical settings in which the efficacy of the drug has been tested in randomized clinical trials<sup>3</sup>. Based on the results of  $> 100$  such trials, the antithrombotic effect of aspirin does not appear to be dose related, over a wide range of daily doses (30 to 1300 mg), an observation consistent with saturability of platelet COX inhibition at very low doses. In contrast, GI toxicity of the drug does appear to be dose related, consistent with dose- and dosing interval-dependent inhibition of COX activity in the nucleated lining cells of the GI mucosa. Thus, aspirin once daily is recommended in all clinical conditions in which antiplatelet prophylaxis has a favourable benefit/risk profile. Because of GI toxicity and its potential impact on compliance, physicians are encouraged to use the lowest dose of aspirin shown effective in each clinical setting<sup>3</sup>.

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# Pharmacology of ticlopidine and clopidogrel

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## Introduction

The thienopyridines ticlopidine and clopidogrel have a closely related chemical structure and similar pharmacological activity<sup>1-3</sup>. In vivo, an active metabolite of these drugs is generated which selectively inhibits adenosine-5' diphosphate (ADP)-induced platelet aggregation and the ADP-mediated amplification of platelet responses to other agonists<sup>4</sup>. This selective effect is due to irreversible covalent modification by the active metabolite of the recently cloned purinergic P2 receptor of platelets, P2Y<sub>12</sub><sup>5</sup>. As a result, ticlopidine and clopidogrel were the first P2Y<sub>12</sub> inhibitors to be used clinically as antithrombotic drugs. These compounds have proved to be safe and highly efficacious in preventing the thrombotic complications of atherosclerotic vascular disease in the brain, heart and peripheral arteries. In addition, they are useful molecular probes of the P2Y<sub>12</sub> receptor and have permitted progress in our understanding of the role of ADP in platelet activation and vascular thrombosis<sup>6</sup>.

ADP was identified in the early 1960s as a factor released from erythrocytes which influenced platelet adhesiveness to glass<sup>7,8</sup> and induced platelet aggregation<sup>9,10</sup>. The crucial role of ADP as a mediator of platelet activation was rapidly recognized in relation to both the physiological process of hemostasis and the development and extension of arterial thrombosis<sup>11,12</sup>. ADP is present at very high concentrations in platelet dense granules and is released when platelets are exposed to thrombin, collagen or thromboxane A<sub>2</sub> (TXA<sub>2</sub>), thus reinforcing their aggregation<sup>13-15</sup>. Inhibitors of ADP-induced platelet aggregation have proved to be effective antithrombotic drugs in animal models and clinical trials<sup>3,16,17</sup>. ADP removing enzymes are also antithrombotic in experimental models<sup>18,19</sup>, while patients with defects of ADP receptors or lacking ADP in their platelet dense granules experience bleeding<sup>20</sup>. Hence considerable

evidence has accumulated to support the important role of platelets in atherosclerosis and its thrombotic complications (atherothrombosis) and has provided a rationale for the development of drugs which inhibit platelet functions.

The first thienopyridines were developed as antiplatelet agents in 1972. Ticlopidine was found later in 1975 to be an inhibitor of platelet aggregation in man<sup>21</sup> and in 1980 was introduced to prevent platelet aggregation and thrombosis during extracorporeal circulation<sup>22</sup>. Since then several clinical trials have shown its beneficial effects in the secondary prevention of major vascular events in patients with a history of cerebrovascular disease and of major cardiac events after coronary artery stent insertion, as likewise in peripheral vascular disease. The further development of new thienopyridines led to the discovery in 1986 of the successor of ticlopidine, clopidogrel<sup>23</sup>. Clopidogrel was approved for clinical use in 1997 after a large phase III clinical trial (CAPRIE) involving 19 185 patients with a history of symptomatic atherosclerotic disease. The CAPRIE trial demonstrated an overall superiority of clopidogrel over aspirin in the prevention of vascular ischemic events, stroke, myocardial infarction and vascular death<sup>24</sup>.

## Activation of platelets by ADP (Gachet, C. and Cazenave, J.P. Chapter 9)

Addition of exogenous ADP to washed human platelets results in shape change, reversible aggregation in the presence of physiological concentrations of external ionized calcium and finally desensitization<sup>6,25-27</sup>. This primary aggregation is not associated with TXA<sub>2</sub> formation or secretion of granule contents<sup>15,28</sup>. Although itself a weak aggregating agent, ADP is a necessary cofactor for normal activation of platelets by other agonists such as thrombin or collagen which induce secretion of ADP from the dense

granules<sup>13</sup>. This might explain the well-known stabilization by ADP of platelet aggregates induced by thrombin<sup>29,30</sup>. In addition, low concentrations of ADP potentiate or amplify the effects of all agonists including weak agonists like serotonin<sup>25</sup>, adrenaline<sup>31</sup> or chemokines<sup>32,33</sup>.

Activation of platelets by ADP leads to extremely rapid  $\text{Ca}^{2+}$  entry and mobilization of intracellular  $\text{Ca}^{2+}$  stores and simultaneously to inhibition of adenylyl cyclase<sup>6,26</sup>. The molecular identity of the platelet receptors through which extracellular ADP elicits these physiological responses is known. The  $\text{P2Y}_1$  metabotropic receptor, coupled to Gq protein/phospholipase C activation, is responsible for the mobilization of  $\text{Ca}^{2+}$  from internal stores and initiates aggregation<sup>34–36</sup>, while the  $\text{P2Y}_{12}$  metabotropic receptor is coupled to  $\text{Gi}_2$  protein/adenylyl cyclase inhibition and is essential for full aggregation in response to ADP<sup>5</sup>. Coactivation of  $\text{P2Y}_1$  and  $\text{P2Y}_{12}$  is necessary for normal ADP-induced platelet aggregation<sup>37–41</sup>, since inhibition of either receptor with selective antagonists<sup>6</sup> or by gene targeting is sufficient to block it<sup>42–44</sup>.

Selective antagonists allow one to discriminate between the  $\text{P2Y}_1$  and  $\text{P2Y}_{12}$  receptors<sup>6</sup>. Adenosine-2', 5' bisphosphate (A2P5P), adenosine-3', 5' bisphosphate (A3P5P) and the structurally modified more potent compound MRS 2179 are selective antagonists of the  $\text{P2Y}_1$  receptor<sup>45–48</sup>. The antiplatelet thienopyridine compounds ticlopidine, clopidogrel and its active metabolite<sup>3,4</sup>, and CS-747<sup>49</sup> and its active metabolite R-99224<sup>50</sup> are specific inhibitors of the  $\text{P2Y}_{12}$  receptor. The ATP analogues AR-C 66096 MX, AR-C67085 MX and AR-C 69931 MX are also selective  $\text{P2Y}_{12}$  antagonists<sup>17</sup>.

## Pharmacology of thienopyridines

Ticlopidine and clopidogrel are structurally related thienopyridines which have similar pharmacological effects following oral or intravenous administration to animals or humans<sup>2,3,51</sup>. These drugs selectively inhibit the ex vivo platelet aggregation induced by ADP, collagen or low concentrations of thrombin<sup>52,53</sup>. The molecular target of ticlopidine and clopidogrel is the ADP receptor  $\text{P2Y}_{12}$ , as has been demonstrated experimentally by biochemistry, molecular biology and pharmacology<sup>6</sup>.

## Metabolism and pharmacokinetics

Ticlopidine's chemical name is 5-(2-chlorobenzyl)-4,5,6,7-tetrahydrothieno-[3,2-c] pyridine hydrochloride and its structure is shown in Fig. 62.1. It is administered orally to humans as a coated tablet containing 125 or 250 mg ticlo-

pidine hydrochloride (Ticlid<sup>®</sup>). Ticlopidine is readily absorbed (90%) following a single oral dose of 250 mg and the peak plasma concentration is attained after 1 to 3 hours. Plasma levels of ticlopidine increase about threefold to reach a plateau when the drug is administered twice daily over a period of 2 to 3 weeks, due to drug accumulation. Most of the compound (>98%) is reversibly bound to plasma proteins, primarily albumin. Ticlopidine is a prodrug, which requires in vivo metabolism by the hepatic cytochrome P-450 enzymatic pathway to acquire pharmacological activity<sup>1</sup>. In rats, its metabolism is extensive and rapid, and, among the 13 metabolites identified, the 2-keto derivative found in bile is a more potent inhibitor, after further biotransformation, of ADP-induced platelet aggregation than ticlopidine itself<sup>54</sup>. The apparent elimination half-life of ticlopidine is 24 to 36 h after a single oral dose and up to 96 h after 14 days of repeated administration (250 mg twice daily). Thus the onset of the antiplatelet effect of ticlopidine is not immediate<sup>2,55</sup>.

Clopidogrel hydrogen sulfate, methyl (+)-(S)-a-(2-chlorophenyl)-6,7-dihydrothieno [3,2-c] pyridin-5 (4 H)-acetate hydrogen sulfate, is a thienopyridine derivative chemically related to ticlopidine (Fig. 62.1). The presence of a carboxymethyl side group in the benzylic position gives the clopidogrel molecule increased pharmacological activity and a better safety profile than ticlopidine. Clopidogrel is a chiral drug with S-configuration<sup>56</sup>. The S-enantiomer is inactive in vitro<sup>57</sup> but is rapidly absorbed and undergoes extensive metabolism and metabolic activation in animals and humans. The R-enantiomer (SR 25989) (Fig. 62.1) does not inhibit ADP-induced platelet aggregation ex vivo and is devoid of antithrombotic activity<sup>56</sup>. The pharmacokinetics of clopidogrel are different from those of ticlopidine and its antiplatelet effect is more rapid, particularly when a loading dose is administered. An inhibition of ADP-induced platelet aggregation is detectable 2 h after oral administration of 400 mg clopidogrel and remains stable for up to 48 h. Repeated daily administration of 75 mg clopidogrel in human volunteers or atherothrombotic patients results in a steady-state maximal inhibition (40 to 60%), comparable to that afforded by 500 mg ticlopidine daily<sup>23,55</sup>.

Clopidogrel hydrogen sulfate (Plavix<sup>®</sup>, Iscover<sup>®</sup>) is administered orally to humans as a coated tablet containing 75 mg clopidogrel base. After a single oral dose (up to 200 mg) or repeated doses (up to 100 mg), the unchanged molecule is not detected in peripheral blood. Clopidogrel is rapidly absorbed and undergoes extensive hepatic metabolism in experimental animals<sup>58,59</sup>. Its main systemic metabolite (85%), the carboxylic acid derivative SR 26334, is devoid of pharmacological activity and has a plasma elimination half-



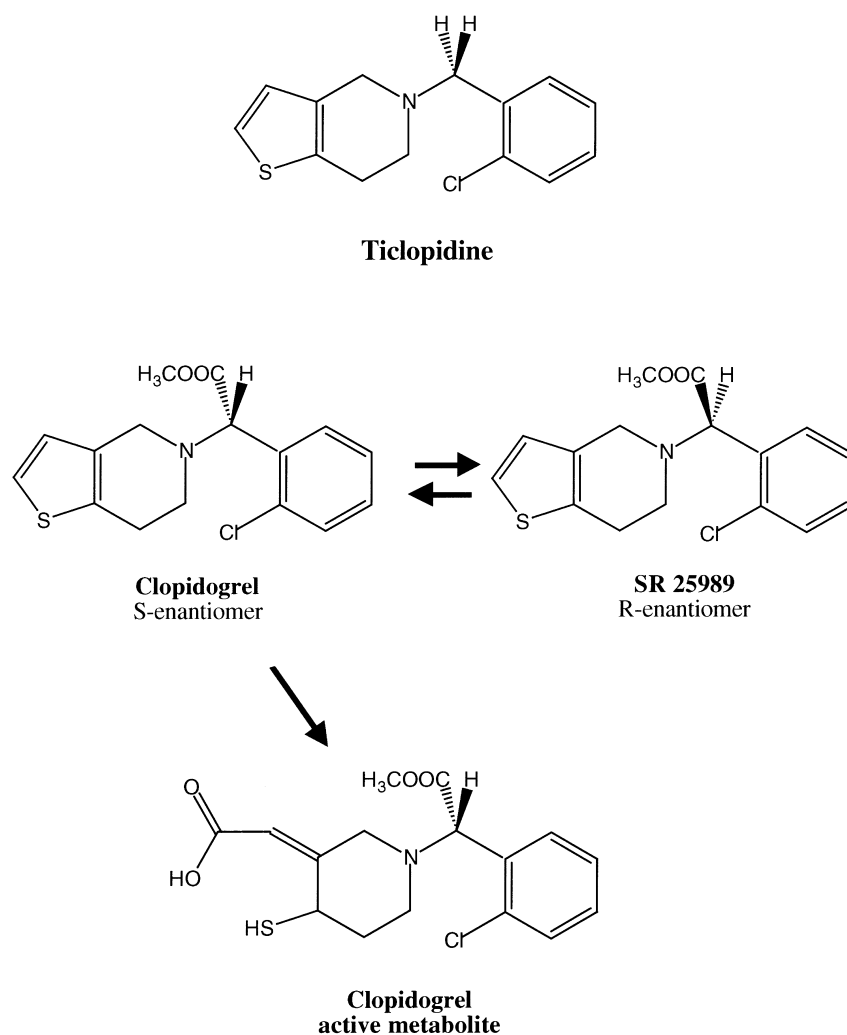


Fig. 62.1. Molecular structures of the thienopyridines ticlopidine and clopidogrel. The S-enantiomer of clopidogrel is metabolized in the liver to an active metabolite, while its R-enantiomer (SR 25989) is metabolized to an inactive compound. PCR 4099 (not shown) is a racemic mixture of the two enantiomers of clopidogrel.

life of about 8 h. Clopidogrel and its major circulating inactive metabolite are reversibly bound (98% and 94% respectively) to plasma proteins. The metabolic pathway leading to formation of the active metabolite of clopidogrel and its mechanism of action have recently been elucidated using hepatocytes in culture. The prodrug clopidogrel is converted in the liver into 2-oxo-clopidogrel through a cytochrome P450-1A mono-oxygenase-dependent pathway. Hydrolysis of this 2-oxo-clopidogrel intermediate generates the active metabolite (Fig. 62.1), which has been identified as 2-[1-[(1S)-1(2-chlorophenyl)-2-methoxy-2-oxoethyl]-4-sulfanyl-3-piperidinyl]-diene} acetic acid<sup>4</sup>. This highly labile active metabolite reacts irreversibly as a thiol reagent

with the thiol of an amino acid, putatively one of the extracellular cysteines, of the P2Y<sub>12</sub> purinoceptor when platelets pass through the liver. Such a local, irreversible effect accounts for the irreversible tagging of the platelet P2Y<sub>12</sub> receptor, the duration of the specific inhibition for the life span of the platelet and the lack of antiaggregatory activity of the drug in plasma<sup>4</sup>. Since the corresponding metabolite of the R-enantiomer of clopidogrel (SR 25989) is inactive, this interaction with the P2Y<sub>12</sub> receptor is clearly highly dependent on its stereoisomery<sup>56</sup>. The active metabolite of clopidogrel is also highly labile in vitro. In contrast to the parent molecule, it acts on platelets and specifically inhibits all the effects induced by ADP through its binding to the

P2Y<sub>12</sub> receptor. Finally, although ticlopidine and clopidogrel inhibit ADP-induced platelet aggregation by a similar mechanism, they must do so by generating two different active metabolites, as that of clopidogrel could not be derived from ticlopidine<sup>4</sup>.

### Mode of action on platelets

The pharmacological effects of ticlopidine and clopidogrel on platelet functions are identical and have been extensively studied in animals and humans<sup>3</sup>. It was reported from early work that administration of ticlopidine reduced platelet aggregation in response to many agonists<sup>23</sup>. This led to proposals that inhibition of the generation of prostanooids<sup>60</sup>, activation of adenylyl cyclase and inhibition of phosphodiesterases<sup>61</sup> and/or inhibition of the fibrinogen receptor GPIIb–IIIa were the mechanisms responsible for the inhibition of platelet aggregation by thienopyridines. A so-called ‘thrombasthenic state’ of ticlopidine treated platelets was also suggested<sup>62</sup>. However, although ticlopidine inhibits the binding of fibrinogen to GPIIb–IIIa, this is not the consequence of a direct modification of the integrin<sup>63</sup>. It is now clear that ticlopidine and clopidogrel selectively block ADP-induced platelet aggregation and the ADP-mediated amplification of platelet responses to other agonists such as collagen or low concentrations of thrombin through specific and covalent modification of the P2Y<sub>12</sub> receptor<sup>6,52,53,64,65</sup>. The thienopyridine compounds have been used as molecular tools to probe the ADP-induced platelet activation/aggregation pathway and the elucidation of their mechanism of action on platelets is closely linked to the discovery of the ADP receptors and their signal transduction pathways.

Oral administration of the thienopyridines ticlopidine, clopidogrel or PCR 4099 (the racemic form of clopidogrel) to rats, rabbits or humans demonstrated that these compounds irreversibly inhibited the effects of ADP on platelets and prolonged the bleeding time. One important finding was that ticlopidine and clopidogrel selectively prevented the reduction by ADP but not by adrenaline of cAMP levels raised by stimulation of platelet adenylyl cyclase with PGE<sub>1</sub><sup>64,65</sup>. This suggested that the ADP receptor, at the time a pharmacological concept termed P2<sub>T</sub><sup>66</sup>, could be coupled to a seven transmembrane domain G-protein. It was then shown that ADP stimulates the binding of GTPγS to G proteins and in particular to Gi<sub>2</sub>, coupled to adenylyl cyclase, in human platelet membranes and that this effect was blocked by clopidogrel<sup>67–69</sup>.

The thienopyridine compounds antagonize ADP-induced tyrosine phosphorylation of several platelet proteins<sup>70</sup> and dephosphorylation of the vasodilator-

stimulated phosphoprotein VASP<sup>39,71</sup>. In contrast, they do not modify other specific receptor-mediated effects of ADP on platelets like shape change, intracellular calcium movements or the phosphorylation of proteins involved in these processes<sup>6,35,72</sup>. Ticlopidine and clopidogrel induce a dose-dependent reduction in the number of 2-methylthio-ADP binding sites on rodent or human platelets<sup>73–76</sup>. Binding studies using the poorly hydrolysable analogue [<sup>33</sup>P]-2MeS-ADP have shown that human platelets have about 600 high-affinity binding sites for 2MeS-ADP ( $K_d \sim 5$  nM)<sup>76</sup>. Clopidogrel treatment reduces the number of sites by 70% on rat platelets (from 1200 to 450) and leaves binding sites resistant to clopidogrel<sup>74</sup>. In these cells, whereas ADP-induced inhibition of adenylyl cyclase is completely blocked, this agonist can still elicit platelet shape change, a rise in intracellular calcium and at high concentrations transient residual aggregation<sup>35</sup>. Moreover, in patients with a congenital impairment of ADP-induced platelet aggregation but normal shape change, the platelets display very low levels of [<sup>33</sup>P]-2MeS-ADP binding sites<sup>76–78</sup>.

These pharmacological and clinical data fit the current model whereby ADP activates platelets through two G-protein coupled receptors, the P2Y<sub>1</sub> receptor which is responsible for initiation of aggregation and transient platelet aggregation and is insensitive to thienopyridine compounds, and the P2Y<sub>12</sub> receptor which is responsible for completion and amplification of the platelet responses to ADP and other agonists. The latter receptor is the molecular target of clopidogrel and has been shown to be defective in the patients cited above<sup>5,6</sup>.

### Antithrombotic activity in experimental thrombosis

The antithrombotic activity of ticlopidine and clopidogrel has been demonstrated in several animal species using models of arterial thrombosis where platelet aggregation plays a major part. As specific inhibitors of ADP-induced aggregation, the thienopyridines have thus contributed to recognition of the important role of ADP in arterial thrombosis. In various arterial models, clopidogrel exhibited a dose-dependent antithrombotic activity and was about 50 times more potent than ticlopidine. These compounds both strongly inhibited thrombosis induced by different methods in rats<sup>12,79</sup>. Experimental thrombosis on a collagen-coated arterioarterial shunt in rats was reduced by clopidogrel under conditions where aspirin was inefficient but hirudin more potent, thus demonstrating that thrombin generation contributed significantly to platelet activation<sup>80</sup>. Clopidogrel also inhibited thrombus formation when the shunt was coated with tissue factor and thrombin generation was important<sup>81</sup>. In rats, rabbits, dogs, pigs

or non human primates, clopidogrel was able to reduce thrombosis and cyclic flow variation in stenosed and endothelium-injured arteries, to inhibit stent thrombosis induced by high shear stress and to prevent the thrombotic occlusion of vascular grafts or vessels with deep medial injury<sup>79,82–87</sup>. A number of these studies showed that clopidogrel was superior to aspirin, particularly as an adjuvant to prevent reocclusion after thrombolysis induced by streptokinase<sup>88</sup> or tissue-type plasminogen activator<sup>89</sup>. The antiaggregatory and antithrombotic properties of clopidogrel were, however, potentiated by aspirin in several experimental models of arterial injury (silk thread or stent in an arteriovenous shunt, electrical stimulation) and in models of myointimal proliferation leading to restenosis in normal and atherosclerotic rabbits<sup>90</sup>.

Thrombin is the most potent platelet activating agent and its effects are not inhibited by antiplatelet drugs. Clopidogrel nevertheless diminished stasis-induced venous thrombosis in rats and rabbits, demonstrating that under low thrombogenic experimental conditions ADP-induced platelet activation plays a role in thrombin formation<sup>91,92</sup>. In similar low thrombogenic conditions, clopidogrel was able to reduce thrombin generation in platelet-rich plasma from rats<sup>93</sup>, an effect comparable to the inhibition of tissue factor-induced coagulation of human blood by platelet GPIIb–IIIa inhibitors but not by aspirin<sup>94</sup>.

### Other pharmacological effects of thienopyridines

Antiplatelet effects of clopidogrel have been reported *in vitro* using high concentrations and long incubation times<sup>95</sup>, but this action of the drug is not specific as it is not due to inhibition of the P2Y<sub>12</sub> receptor<sup>57</sup>. However, although the antithrombotic activity of thienopyridines has been essentially attributed to inhibition of ADP-induced platelet aggregation, other pharmacological effects have been described which may contribute to their antithrombotic properties. These include a direct inhibitory effect on megakaryocytes<sup>96</sup>, a decrease in levels of circulating fibrinogen<sup>97</sup>, a reduction of erythrocyte aggregability<sup>98</sup>, stimulation of nitric oxide generation<sup>99</sup>, relaxation of the thoracic aorta in rats<sup>100</sup> and inhibition of platelet-dependent tissue factor expression on endothelial cells<sup>101</sup>.

Ticlopidine has been shown to inhibit the synthesis of fibronectin by human endothelial cells in culture<sup>102</sup>, to slow the progression of non proliferative diabetic retinopathy<sup>103,104</sup> and to inhibit pulmonary metastases in mice<sup>105,106</sup>. It was recently demonstrated that SR 25989, the R-enantiomer of clopidogrel which lacks antiaggregatory

and antithrombotic activity, inhibited endothelial cell proliferation and migration in a wound healing model, while ticlopidine was two times less active<sup>107</sup>. This effect of SR 25989 was attributed to an up-regulation of thrombospondin-1 expression<sup>108</sup>. The antiangiogenic properties of SR 25989 have been further demonstrated by its inhibition of spontaneous microvessel formation from rat aorta embedded in a fibrin gel and *in vivo* inhibition of pulmonary metastatic dissemination in mice<sup>109</sup>.

### Clinical pharmacology

Platelets contribute to the arterial thrombotic complications of atherosclerosis (atherothrombosis) through multiple mechanisms promoting platelet activation, thrombin generation, vasoconstriction, the procoagulant activity of endothelium and inflammation. These platelet effects increase when the arteries are stenosed and the blood flow is turbulent. It is now known that platelet activation involves different agonists and receptor pathways, alone or in combination, and hence it is important to select and use the appropriate antiplatelet drug to inhibit a specific pathway in this complex process of thrombosis.

Aspirin and other drugs acting on platelets have proved beneficial in the treatment and prevention of atherothrombosis<sup>55</sup>. In a meta-analysis of more than 50 secondary prevention trials in various groups of patients<sup>110</sup>, aspirin was found to reduce vascular death by about 15% and non fatal vascular events by about 30%. It could be concluded from these results that aspirin, which specifically inhibits COX-1 and the thromboxane A<sub>2</sub> pathway, has important but limited antithrombotic properties and that antiplatelet drugs targeting other activation pathways such as ADP, thrombin or GPIIb–IIIa should be compared to it in clinical trials. A number of such trials have been reviewed recently<sup>2,51,55,111–115</sup> and have shown ticlopidine and clopidogrel to be at least equally as efficacious as aspirin in specific clinical conditions. Thus, clinical trials have confirmed the pathophysiological importance of ADP-induced platelet aggregation in thrombosis complicating human vascular disease involving the brain, heart and peripheral arteries.

Long term treatment with ticlopidine in patients with a history of a neurologic ischemic event has consistently demonstrated a significant benefit over placebo or aspirin<sup>116,117</sup>. Ticlopidine was also efficacious in the secondary prevention of adverse events in unstable angina<sup>118</sup>, myocardial infarction<sup>119</sup>, percutaneous coronary intervention<sup>120</sup> and intermittent claudication<sup>119</sup>. The drug did not however gain wide acceptance on account of its association with side effects like hypercholesterolemia, neutropenia

and thrombocytopenia<sup>121</sup>, aplastic anemia<sup>122</sup> or thrombotic thrombocytopenic purpura<sup>123</sup> and it was usually prescribed as an alternative to aspirin in most situations. Since the antiplatelet and antithrombotic effects of ticlopidine were potentiated by aspirin in animals<sup>124</sup> and human volunteers<sup>125</sup>, ticlopidine was nevertheless used in combination with aspirin in high risk situations. A combination of ticlopidine and aspirin has been routinely employed to prevent thrombosis after coronary stent insertion and has proved to be superior to aspirin alone<sup>126</sup> or aspirin with warfarin anticoagulation<sup>127</sup>. As the risk of serious side effects still persists with use of ticlopidine, clinicians have tended to replace it by clopidogrel following publication of the results of a large phase III clinical trial (CAPRIE, clopidogrel vs. aspirin in patients at risk of ischemic events).

CAPRIE<sup>24</sup> enrolled 19 185 patients in three groups, all with an increased risk of recurrent ischemic events: individuals who had experienced a recent stroke or recent myocardial infarction or had symptomatic peripheral arterial disease. This was the first study to test the efficacy and safety of clopidogrel (75 mg/day) as compared to aspirin (325 mg/day) after a mean follow-up of 1.9 years. Clopidogrel was significantly more effective than aspirin. The annual event rates for aspirin and clopidogrel were 5.83% and 5.32%, respectively, giving a risk reduction of 8.7%. When the three groups of patients were analysed separately, the risk reduction was much greater (23.8%) in those with symptomatic peripheral arterial disease, suggesting that the benefit of clopidogrel may not be identical in different clinical situations. It might be that ADP is more important in platelet activation through contact with diseased peripheral arteries, whereas ADP and TXA<sub>2</sub> are equally involved in platelet activation when a plaque ruptures in a coronary artery.

The CAPRIE study provided important safety data for clopidogrel, which appeared to be equally as well tolerated as aspirin. There was notably no excess neutropenia in the clopidogrel group and although the frequencies of severe rash and diarrhea were higher in these patients, gastrointestinal discomfort and hemorrhage were more frequent in the aspirin group. Thrombotic thrombocytopenic purpura could occur within the first 2 weeks after initiation of clopidogrel, but to a lesser extent than with ticlopidine<sup>128</sup>. Thus, as clopidogrel is safer than ticlopidine and has a much more rapid onset of action, new strategies are being tested which include its association with other antiplatelet drugs to inhibit different pathways of platelet activation. Administration of a front-loaded dose of clopidogrel (300 mg) to atherosclerotic patients on aspirin achieved an antithrombotic effect within 2 hours during acute coronary syndromes and after coronary stenting<sup>129,130</sup>.

Although combined treatment with clopidogrel and aspirin has significantly reduced early ischemic events following coronary stenting, thrombosis of the stent still occurs in up to 1% of patients. A recent study<sup>131</sup> showed that treatment with clopidogrel and aspirin at a higher continuous dose (150 mg/day) and loading dose (600 mg) was superior to the combination of standard clopidogrel (75 mg/day) and aspirin at a lower loading dose (300 mg)<sup>129</sup>. This regimen inhibited ADP-induced platelet aggregation and secretion but had no effect on the aggregation and degranulation induced by activation of the platelet thrombin receptor, PAR-1. The latter observation is highly relevant to the thrombotic complications occurring during acute coronary syndromes, after stent replacement or following fibrinolytic treatment, where thrombin generation is important and aspirin and thienopyridines are not efficacious. Other recent studies have explored the possibility of blocking platelet functions by combining ticlopidine with further antiplatelet drugs, including GPIIb-IIIa blockers such as abciximab, in order to improve the clinical outcome in patients undergoing coronary angioplasty<sup>132</sup> or experiencing acute myocardial infarction during fibrinolysis<sup>133</sup>.

## Conclusions

The thienopyridines ticlopidine and clopidogrel are the first antithrombotic drugs specific for the ADP purinoceptor P2Y<sub>12</sub> and are used in humans to prevent the thrombotic complications of vascular atherosclerosis. Clinical trials have demonstrated the safety of clopidogrel and its superiority over aspirin in preventing ischemic events, while association of clopidogrel with aspirin and other antiplatelet drugs inhibiting different platelet activation receptors can further reduce the incidence of thrombosis. The recent cloning of the P2Y<sub>12</sub> receptor offers the possibility of designing new P2Y<sub>12</sub> antagonists with improved specificity and efficacy. Finally, as ADP is a key mediator of platelet activation, the development of inhibitors targeting its second receptor on platelets, the P2Y<sub>1</sub> receptor, may provide other important antithrombotic agents for use alone or in combination with P2Y<sub>12</sub> antagonists.

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# Platelet membrane glycoprotein (GP) IIb–IIIa antagonists and acute arterial thrombosis

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## Introduction

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GP IIb–IIIa ( $\alpha$ IIb $\beta$ 3 in integrin nomenclature<sup>1</sup>), the most abundant protein on the platelet surface, is the primary receptor mediating platelet aggregation, a process central to acute arterial thrombosis and to hemostasis. Indeed, its central role in aggregation positions GP IIb–IIIa at the heart of thrombosis and has directed aggressive strategies into developing a new class of drugs, termed GP IIb–IIIa antagonists, which block the binding of adhesive proteins to GP IIb–IIIa thus preventing platelet aggregation. GP IIb–IIIa antagonists have been shown to efficiently regulate platelet function, and to have a therapeutic benefit in reducing the acute thrombotic complications associated with coronary artery disease<sup>2–5</sup>. The pivotal role that GP IIb–IIIa serves in platelet aggregation arises due to the dynamic nature of this receptor which displays several functional activities that are important not only in understanding how this receptor is involved in platelet aggregation and thrombosis, but also, ultimately, for understanding how GP IIb–IIIa antagonists can be more effectively utilized to optimize their anti-thrombotic activities.

This chapter summarizes the role of GP IIb–IIIa in platelet function and the use of GP IIb–IIIa antagonists for the regulation of platelet functions involved in acute arterial thrombosis. The themes to be developed are threefold. First, GP IIb–IIIa is involved in a wide spectrum of reactions affecting platelet function and vascular biology. For example, while the central role of GP IIb–IIIa in platelet aggregation and thrombosis is well known, its central role in platelet function also involves it in clot formation, fibrinolysis, vascular remodelling and inflammation. Many of these functions occur because GP IIb–IIIa is not a static receptor, but dynamic, mediating signal transduction processes within the platelet that can affect, for example, the procoagulant activity of platelet, the secre-

tion of granule proteins and the release of inflammatory activities. Second, nuances involved in the activities of GP IIb–IIIa antagonists have been identified which has facilitated the therapeutic use of this class of drug. Third, because GP IIb–IIIa ligand binding and signalling affects several platelet functions, it can be anticipated that use of GP IIb–IIIa antagonists will have clinical benefits in addition to the prevention of occlusive thrombosis, for example in anticoagulation, the enhancement of fibrinolysis and the reduction of inflammation. Several reviews of GP IIb–IIIa antagonists have been published<sup>6</sup>: the emphasis here will be on concepts relevant to the affects of these antagonists on platelet physiology, concepts relevant to the clinical use of these antagonists and on recent advances.

## GP IIb–IIIa in platelet aggregation

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### 'Inside-out' GP IIb–IIIa signalling

GP IIb–IIIa is a member of the integrin family of adhesion receptors<sup>1</sup>. Integrins are widely distributed, found on almost all cells at least in some stage of development, and mediate a broad spectrum of cell–cell and cell–substratum interactions. Both subunits, GP IIb and GP IIIa, have large extracellular domains, single transmembrane domains, and relatively short cytoplasmic domains. The distribution of GP IIb–IIIa is restricted to platelets and cells of the megakaryocytic lineage. On unstimulated, discoid platelets as normally exist in circulation, GP IIb–IIIa is distributed between the plasma membrane (~80 000 copies per platelet), the alpha granule membrane (~40 000 copies per platelet) and the dense body membrane (trace amounts)<sup>7,8</sup>. Although GP IIb–IIIa on unstimulated platelets has low affinity for plasma proteins, platelet stimula-

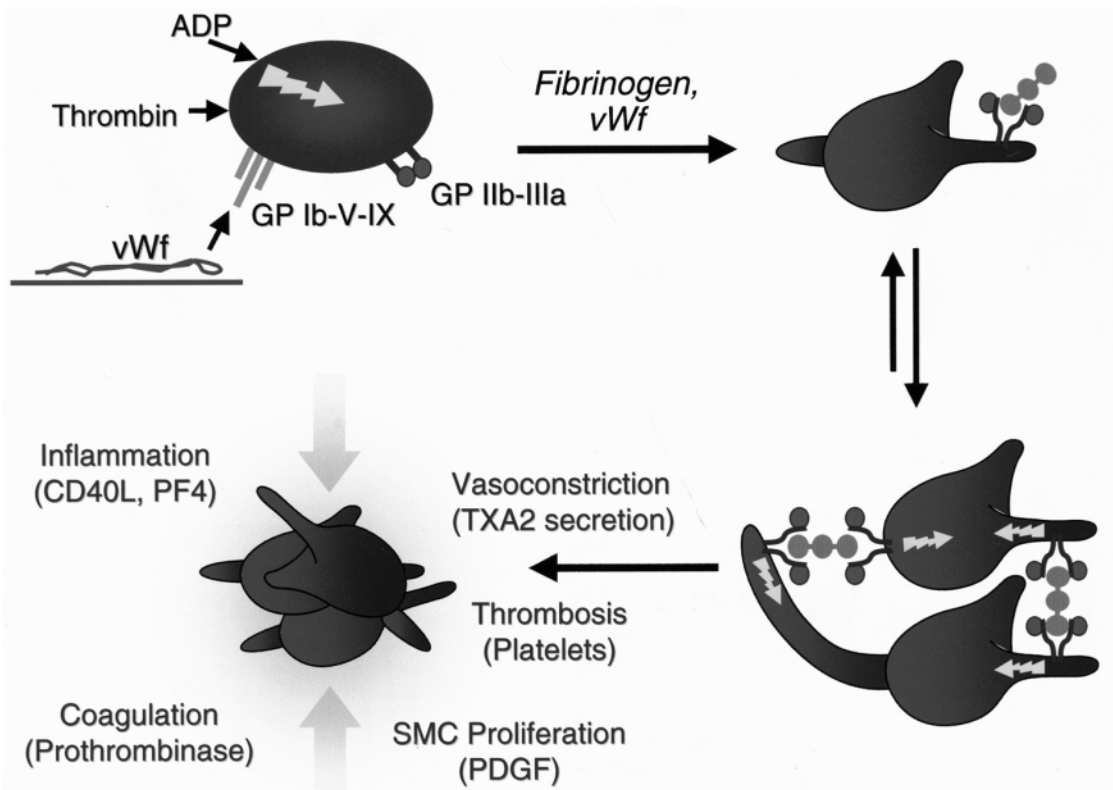


Fig. 63.1 (see also colour plate). Schematic illustrating the role of GP IIb-IIIa in platelet aggregation. On resting, discoid platelets the GP IIb-IIIa is present in an ‘inactive’ conformation and is unable to bind soluble fibrinogen. Upon activation by classical agonists such as collagen, thrombin or adenosine diphosphate (ADP) the platelet undergoes a shape change and the GP IIb-IIIa is activated such that it can now bind its soluble ligands, fibrinogen and von Willebrand factor (vWf). Platelet aggregation is mediated by the bivalent fibrinogen forming bridges between GP IIb-IIIa molecules on the surfaces of neighbouring, activated platelets.

tion, through a process known as ‘inside-out’ GP IIb-IIIa signalling, causes an upregulation of the affinity of GP IIb-IIIa for the soluble forms of several adhesive proteins, including fibrinogen, von Willebrand factor (vWf), vitronectin and fibronectin. Although the mechanism(s) responsible for inside-out GP IIb-IIIa signalling are not known, proteins that bind to the cytoplasmic domains of GP IIb and GP IIIa have been identified as have determinants of GP IIb and GP IIIa that maintain GP IIb-IIIa in the low affinity state<sup>9</sup>. Investigations into understanding how these factors regulate the affinity of GP IIb-IIIa for plasma proteins is being actively pursued. As shown in Fig. 63.1, see colour plate, platelet stimulation can be induced by a variety of stimuli, more commonly by thrombin generated in response to vascular injury, but ADP released from platelets or other cells in addition to vWf or collagen exposed in subendothelium, also play major roles in this process. Platelet stimulation, particularly when thrombin is the agonist, may also involve alpha granule secretion,

causing up to a 50% increase in the exposure of GP IIb-IIIa. GP IIb-IIIa also shuttles between the plasma membrane and the alpha granule membrane, which is independent of platelet stimulation<sup>10</sup>. This shuttling allows for the packaging of fibrinogen in alpha granules during megakaryocytopoiesis<sup>11</sup> and for the uptake of GP IIb-IIIa ligands in mature platelets<sup>12</sup>. Nurden and coworkers<sup>13</sup> have shown the uptake of abciximab, a GP IIb-IIIa antagonist, which most likely occurred by this mechanism.

### ‘Outside-in’ GP IIb-IIIa signalling

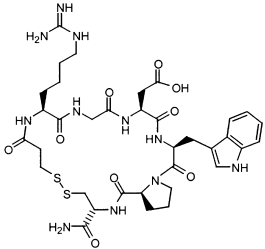
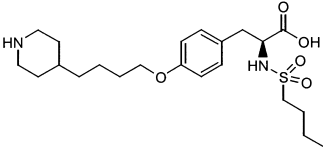
The binding of fibrinogen and von Willebrand factor to GP IIb-IIIa on stimulated platelets is the primary driving force for the formation of initial platelet-platelet contacts to initiate aggregation. Both of these proteins are polyvalent, abundant, with high affinity for GP IIb-IIIa on stimulated platelets making them ideally suited to cross-link the

membrane surfaces of interacting platelets. Recent data suggest that additional proteins are also involved in mediating platelet aggregation and thrombosis as these processes have been documented in a mouse strain lacking fibrinogen and vWf<sup>14</sup>. Platelet–platelet contacts during normal aggregation are initially reversible, but these contacts induce subsequent ‘outside-in’ GP IIb–IIIa signalling, which consolidates and stabilizes the platelet aggregate. Outside-in GP IIb–IIIa signalling can also be induced by the adhesion of unstimulated platelets to immobilized fibrinogen, a reaction that is mediated by fibrinogen binding to GP IIb–IIIa and facilitated by the structural change of fibrinogen that occurs because of its immobilization<sup>15,16</sup>. Outside-in GP IIb–IIIa signalling induces diverse functions that are critical to platelet physiology, since they are important not only to platelet aggregation, but also to responses of the vessel wall. Examples are numerous. Thromboxane A2 is generated which functions in an autocrine loop to stimulate platelets, but also has vasoconstrictive activity<sup>17</sup>. Metabolic events are induced, e.g. increased cytoplasmic Ca<sup>2+</sup>, calpain activation, phosphorylation of platelet proteins and phospholipid metabolism, including the expression of phosphatidylinositol metabolites, which function during the platelet stimulatory process<sup>9</sup>. Alpha-granule proteins are secreted or expressed, many of which have been shown to have effects on the vasculature, e.g. P-selectin, PDGF, TGF $\beta$  and PF4. Dense body constituents are secreted, e.g. serotonin, ADP, which also appear to function in autocrine loops to induce further platelet stimulation and recruitment. New actin filaments form and cytoskeletal structures rearrange, events required for stable platelet adhesion, spreading and aggregation. A membrane ‘PL scramblase’ becomes activated resulting in the increased exposure of phosphatidyl serine and the increased expression of tenase and prothrombinase, enzyme complexes that are active in clot formation<sup>18,19</sup>. Membrane vesicles rich in these procoagulant activities bud off into microvesicles which are released from the platelet surface<sup>20</sup>. Translation of Bcl-3 and other proteins within the stimulated platelets is induced<sup>21</sup>. These secondary, outside-in platelet responses are important to consider in the present context as they predict, as discuss below, that GP IIb–IIIa antagonists will provide additional effects beyond simple inhibition of platelet aggregation and vessel occlusion. Recent work from our laboratory has established that GP IIIa becomes tyrosine phosphorylated during outside-in GP IIb–IIIa signalling, providing one mechanism by which GP IIb–IIIa transmits outside-in signals<sup>22–24</sup>. Inhibition of this pathway has been shown to prevent the formation of stable platelet aggregates<sup>25</sup>.

### Platelet agonist receptors

Platelet stimulation including inside out GP IIb–IIIa signalling occurs in response to a wide spectrum of physiological and pathophysiological conditions, e.g. vascular trauma to initiate normal hemostasis; atherosclerotic plaque rupture or percutaneous intervention to initiate thrombosis. Given the fundamental role of hemostasis for survival, it is not surprising that the pathways leading to GP IIb–IIIa activation are redundant. Redundancy is found on at least three levels. First, multiple agonists acting on distinct receptors are capable of initiating and augmenting the signal transduction pathways to induce the activation of the receptor function of GP IIb–IIIa and include soluble agonists, e.g. thrombin, ADP, epinephrine, serotonin and thromboxane A2, several adhesive proteins in the extracellular matrix, e.g. collagen, vWf and fibrinogen, high shear and a host of additional factors. This multiplicity of agonists broadens the physiological conditions capable of initiating platelet aggregation to cause thrombosis and hemostasis. It also means that therapeutic targeting of only one agonist, for example thrombin with heparin, or ADP with CD39, will only partially inhibit platelet stimulation, and may not have any inhibitory effect when high concentrations of other agonists are present. The second level of redundancy is that many of the primary agonists, e.g. thrombin, collagen and ADP, act on multiple receptors. In one example, ADP acts on at least three receptors on platelets: P2X1, a ligand-gated ion channel that allows for rapid Ca<sup>2+</sup> influx; P2Y1, a Gq-coupled receptor that is linked to phospholipase C and mediates mobilization of Ca<sup>2+</sup> from intracellular stores and platelet shape change, and the recently cloned P2Y12, a Gi-coupled receptor that inhibits adenylylcyclase and induces platelet aggregation<sup>26</sup>. In another example, collagen is known to bind to at least two receptors, the integrin  $\alpha 2\beta 1$ , and another membrane glycoprotein, GP VI<sup>27,28</sup>. A third example is thrombin, which stimulates platelets both through the PAR family of G-protein coupled receptors and through GP Ib–IX–V. The PAR receptors are cleaved by thrombin to initiate signal transduction reactions within platelets and GP IIb–IIIa activation and PAR-1 is recognized as the primary platelet receptor for thrombin in human platelets<sup>29</sup>. The GPIb–IX–V complex also appears to be involved in thrombin signalling, most likely because it contains a thrombin cleavage site (in GPV) and a high affinity thrombin binding site (in GP Ib $\alpha$ )<sup>30,31</sup>. The presence of multiple agonist receptors means that therapeutic targeting of only one of them, for example the P2Y12 with the thienopyridines, will only partially inhibit platelet stimulation. The third level of redundancy is the signal transduction pathway from agonist

**Table 63.1.** Structures and uses for the three approved GP IIb–IIIa antagonists

Generic name	Eptifibatide	Tirofiban	Abciximab
Brand name	INTEGRILIN®	AGGRASTAT®	ReoPro®
Structure			Fab fragment of the chimeric human–murine monoclonal antibody 7E3
Affinity ( $K_D$ ) for GP IIb–IIIa	120 nmol/l	15 nmol/l	5 nmol/l
Approved for use	PCI and unstable angina/ non Q-wave MI	unstable angina/non Q-wave MI	PCI

receptor stimulation through GP IIb–IIIa activation. Several pathways have been described, including pathway utilizing PKC and others involving phospholipase A2 (PLA2), which act in parallel to buttress inside-out signaling of GP IIb–IIIa to activate its receptor function. The presence of these multiple pathways means that therapeutic targeting of only one of them, for example, by targeting of cyclooxygenase with aspirin to inhibit the metabolism of arachidonate released by PLA2, or by targeting P2Y12 with one of the thienopyridines to block ADP-induced platelet stimulation, or by targeting thrombin with heparin or Factor Xa with low molecular weight heparin, will only partially inhibit platelet aggregation.

### Clinical GP IIb–IIIa antagonists

Since GP IIb–IIIa is on the ‘Final Common Pathway’ leading to platelet aggregation, a term and concept first presented by Collier<sup>32</sup>, antagonism of GP IIb–IIIa inhibits platelet aggregation irrespective of the agonist that stimulates platelets, irrespective of the agonist receptor, and irrespective of the stimulus–response coupling pathway used to activate the receptor function of GP IIb–IIIa. Table 63.1 lists the three approved GP IIb–IIIa antagonists. Abciximab (ReoPro®) is a Fab fragment of humanized 7E3, a GP IIb–IIIa monoclonal antibody<sup>33</sup>. Tirofiban (AGGRASTAT®) is a synthetic small molecule GP IIb–IIIa antagonist<sup>34</sup>. Eptifibatide (INTEGRILIN®) is a synthetic heptapeptide modelled on the active site of barbourin, a disintegrin found in the venom of the southeastern pigmy rattlesnake<sup>35</sup>. All are used by an i.v. mode of administration and bind directly to GP

IIb–IIIa, both on stimulated or unstimulated platelets. While these agents do not affect agonist-induced platelet shape change, they function as antagonists of adhesive protein binding to GP IIb–IIIa, and therefore negate any up-regulation of the receptor function of GP IIb–IIIa during platelet stimulation and block platelet aggregation induced by any of the platelet agonists. All are infused for short intervals (12–72 hours), but achieve a clinical benefit that persists months to years following therapy. Abciximab has been studied in the EPIC, EPILOG and CAPTURE trials and is approved for percutaneous revascularization; tirofiban was studied in the PRISM, PRISM Plus, and RESTORE trials and is approved for unstable angina/non Q wave myocardial infarction; eptifibatide (INTEGRILIN®) was studied in the IMPACT II, PURSUIT and ESPRIT trials and is approved for both indications. The data supporting these approvals have been the subject of several reviews<sup>5,36,37</sup>.

While the three parenterally available GP IIb–IIIa antagonists have many properties in common, important differences have been observed, many of which occur because these drugs have different affinities for GP IIb–IIIa ( $K_D$  = 5 nmol/l for abciximab; 15 nmol/l for tirofiban; and 120 nmol/l for eptifibatide<sup>38</sup>). The effect of affinity becomes important both during the infusion and in the recovery phase after infusion has been terminated. The three drugs are each infused at rates that yield plasma concentrations to achieve 70–90% occupancy of GP IIb–IIIa at steady state (less than 100% receptor occupancy is targeted to allow for sufficient hemostasis to prevent bleeding). During infusion, GP IIb–IIIa antagonists are in equilibrium between the plasma phase and GP IIb–IIIa on platelets. Accordingly, the highest affinity drug, abciximab, has the lowest unbound

pool during infusion. The low unbound pool abciximab becomes important in individuals with high platelet count and may account for the variable inhibition of platelet aggregation observed with this drug<sup>39,40</sup>. The lower affinities of eptifibatide and tirofiban suggest that they have a faster off-rate from GP IIb–IIIa, and indeed, platelet function recovers faster when infusion of these drugs is discontinued compared to that which occurs with abciximab (2–4 hours for tirofiban and eptifibatide vs. 12–24 hours for abciximab). The unbound pools of all drugs are rapidly cleared. Even with abciximab, in the absence of the unbound pool, normal platelet aggregation can be observed, even with the persistent occupancy of the majority of the platelet surface GP IIb–IIIa 48 hours following the infusion of this drug<sup>41</sup>. Since it has not been shown that any GP IIb–IIIa antagonist provides any clinical benefit in the absence of an unbound pool, i.e. after infusion has been discontinued, it is not known whether the difference in rates of drug clearance have any effect on therapeutic efficacy or safety.

### **Effect of GP IIb–IIIa antagonists on platelet aggregation**

The relative effects of GP IIb–IIIa antagonists on platelet aggregation has been the subject of extensive experimentation and of correspondingly much debate. The question is usually to determine the relative activities of the various antagonists in blocking platelet aggregation. All antagonists, added in sufficient quantity, are capable of totally blocking platelet function. The question, therefore, can be restated to ask ‘how much is platelet aggregation inhibited when these drugs are administered to patients?’ Studies that attempt to address this question by adding GP IIb–IIIa antagonists *in vitro*<sup>42</sup> are difficult to interpret as it is almost impossible to arrive at the concentration of drug that is achieved, in patients, during the bolus and steady-state phases of drug therapy. Because all antagonists are in equilibrium during the infusion phase of drug administration, dilution of samples prior to analysis characteristically cannot be done as this shifts the equilibrium and alters apparent inhibitory activities.

Three factors affect the apparent aggregation inhibitory activity of GP IIb–IIIa antagonists: the anticoagulant used for blood collection; the agonist used for platelet stimulation; and, the device used to measure platelet aggregation.

### **Anticoagulant effect on platelet aggregation**

GP IIb–IIIa contains five divalent cation binding sites and the affinities of these sites for  $\text{Ca}^{2+}$  predict that all are occu-

ried by this divalent cation when platelets are suspended in plasma<sup>43</sup>. Divalent cation binding is reversible and chelation of extracellular divalent cations removes  $\text{Ca}^{2+}$  from GP IIb–IIIa, dramatically affecting its structure, its binding of adhesive proteins and its binding of antagonists. For example, suspension of platelets in solutions containing ionized calcium concentrations of 40 to 50  $\mu\text{mol/l}$ , as achieved in citrate-anticoagulated blood, causes partial removal of  $\text{Ca}^{2+}$  from GP IIb–IIIa. These effects of citrate not only cause a loss of fibrinogen-binding activity<sup>44</sup> but also increases the activity of GP IIb–IIIa antagonists in blocking platelet aggregation<sup>45</sup>. Because of these effects, platelet assays relying on GP IIb–IIIa function may not be valid when performed in buffers containing less than the 1.1 mmol/l  $\text{Ca}^{2+}$  normally found in plasma. One illustration of this effect was seen in the pharmacodynamic analysis of eptifibatide. The reduced  $\text{Ca}^{2+}$  caused by citrate anticoagulation not only increased the binding of eptifibatide, but also decreased the binding of fibrinogen. Since citrate anticoagulation was used for the *ex vivo* analysis of platelet aggregation by eptifibatide in the IMPACT II trial<sup>46</sup>, the apparent inhibitory activity of eptifibatide was markedly overestimated. This analysis led to a dose that gave 40–50% inhibition of platelet aggregation at steady state, vs. the 80% inhibition that had been targeted in the IMPACT II trial<sup>45</sup>. When PPACK-anticoagulated blood was used to analyse the inhibitory activity of eptifibatide as was done in the subsequent PURSUIT<sup>47</sup> and ESPRIT<sup>48</sup> trials, markedly improved therapeutic efficacy was observed. Recent studies have shown that the apparent inhibitory activities of abciximab, tirofiban and other GP IIb–IIIa antagonists are also overestimated, although less so, in blood anticoagulated with citrate<sup>49</sup>. Thus, when inhibitory activities are compared between trials, or even within the same trial, the data can only be compared in blood anticoagulated by a mechanism which maintains the  $\text{Ca}^{2+}$  ion concentration that exists in blood. PPACK is preferable to heparin for this purpose, as heparin can induce platelet stimulation in some samples. Maintenance of plasma levels of  $\text{Ca}^{2+}$  during analysis also provides the most accurate estimate of *in vivo* platelet aggregation inhibitory activity.

### **Agonist effect on platelet aggregation**

The ‘strength’ of the platelet agonist affects the amount of receptor competent GP IIb–IIIa recruited to the platelet surface and therefore the apparent inhibitory activity of any GP IIb–IIIa antagonist. Potent agonists like thrombin cause most of the alpha granule GP IIb–IIIa to be recruited with prebound fibrinogen to the platelet surface, while

weak agonists like ADP only marginally increase the platelet surface GP IIb–IIIa<sup>50,51</sup>. This alpha granule pool of GP IIb–IIIa is functionally important as thrombin-stimulation of platelets in which the plasma membrane GP IIb–IIIa has been rendered inactive<sup>52</sup> or have been blocked with abciximab in the absence of the unbound pool<sup>41</sup> can still induce sufficient functional GP IIb–IIIa to the platelet surface to support platelet aggregation<sup>53,54</sup>. Alpha-granule secretion also induces the expression of P-selectin, a protein with a proven role in platelet function, to the platelet surface<sup>55</sup>. Pharmacodynamic studies consistently show that increased amounts of all three GP IIb–IIIa antagonists are required to block platelet aggregation by thrombin, the more potent platelet agonist, than by ADP<sup>56</sup>. For example, a lower amount of eptifibatid is required to inhibit aggregation induced by ADP (IC<sub>50</sub> = 570 nM in PPACK anticoagulated PRP) than by thrombin (IC<sub>50</sub> = 1190 nM), illustrating that increased amounts of GP IIb–IIIa antagonism is required to block platelet aggregation by the more potent platelet agonist<sup>57</sup>. Yet other studies show that the amount of antagonist required to inhibit platelet aggregation is proportional to the concentration of agonist used for platelet stimulation<sup>49</sup>. Since both thrombin and ADP (as well as collagen) are known to induce thrombosis *in vivo*, all are relevant to therapy. It is not known, however, which is more relevant, or is it known whether the importance of any agonist differs with different thrombotic conditions. These considerations illustrate that GP IIb–IIIa antagonists can only be compared when they are also measured using the same concentrations of the same agonists.

The recovery of platelet function following cessation of antagonist infusion is also differentially affected by agonists. Clinical studies have shown that thrombin-induced, but not ADP-induced platelet aggregation, is rapidly restored following discontinuation of infusion of high affinity GP IIb–IIIa antagonists like abciximab, or the synthetic GP IIb–IIIa antagonist L-738,167<sup>55,58</sup>. The unbound pools of these antagonists, but not the platelet bound pools, are rapidly cleared. Since thrombin, but not ADP, induces maximal surface expression of the alpha granule pool of GP IIb–IIIa, thrombin-induced aggregation is more rapidly restored.

### Monitoring the effects of GP IIb–IIIa antagonists

Platelet aggregation has traditionally been measured by the rate of increased light transmittance through a stirred suspension of platelets (LTA). This method has been the most widely used for monitoring the aggregation inhibi-

tory activities of GP IIb–IIIa antagonists and has been correlated to antithrombotic activities in animal models and in clinical studies. Using LTA, the targeted receptor occupancies of 70–90% nearly completely inhibit platelet aggregation induced by ADP but have less effect on platelet aggregation induced by TRAP, a synthetic peptide that activates platelets through the thrombin receptor. Because of this consideration, TRAP is preferred over ADP as the platelet agonist when the upper end of the dose–response curve for GP IIb–IIIa antagonists is being examined.

Because LTA is cumbersome and may not measure platelet aggregation under conditions that have *in vivo* relevancy such as high shear, attempts have been made to develop additional devices for this purpose<sup>59</sup>. One is the Cone and Platelet Analyser (CPA) which relies on flow-dependent platelet deposition onto a collagen-coated orifice. Another is the rapid platelet function Analyser (RPFA), which characteristically measures the ability of TRAP-activated platelets to aggregate with fibrinogen-coated beads in citrate anticoagulated PRP. The differences in anticoagulant, agonist and shear undoubtedly contribute to the differences observed in the analyses obtained with these devices<sup>60</sup>. Although the CPA and RPFA devices have the advantage of simplicity of use and point of care application, these methods have not yet been validated with respect to antithrombotic activities and clinical outcomes. Accordingly, it is not yet clear how the differences observed with these three methodologies will be used to optimize the extent of platelet aggregation inhibition required to optimize antithrombotic activity and minimize bleeding using GP IIb–IIIa antagonists.

### Integrin cross-reactivity of GP IIb–IIIa antagonists

Tirofiban and eptifibatid were designed to specifically inhibit GP IIb–IIIa. In contrast, abciximab has been reported to also bind to and antagonize the receptor functions of two other integrins,  $\alpha_v\beta_3$  and Mac-1 ( $\alpha_M\beta_2$ , CD11b/CD18). It has been speculated that these integrin cross-reactivities of abciximab might provide a clinical advantage as  $\alpha_v\beta_3$  has been implicated in smooth muscle cell proliferation and vascular remodelling following percutaneous intervention and Mac-1 has been shown to be involved in the acute responses of leukocytes within the vasculature<sup>61</sup>. However, rigorous analysis of the binding properties of abciximab for these other integrins and close inspection of the clinical data suggests otherwise.  $\alpha_v\beta_3$  is upregulated on smooth muscle cells and may modulate smooth muscle cell and endothelial cell replication<sup>62</sup>.

Because experimental vascular injury-induced intimal hyperplasia models show that inhibition of  $\alpha v\beta 3$  reduces smooth muscle cell proliferation<sup>63,64</sup>, abciximab was analysed for its ability to reduce the incidence of restenosis. While EPIC, the initial Phase III trial of abciximab showed that there was a reduction in the need for revascularization procedures due to the administration of abciximab, subsequent clinical trials<sup>65</sup> and studies of nonhuman primates<sup>66</sup> specifically designed to examine the antirestenotic activity of abciximab failed to demonstrate that this drug affected late loss following percutaneous intervention. Recent studies demonstrated antagonism of yet another integrin,  $\alpha 5\beta 1$ , in addition to  $\alpha v\beta 3$  is required to block migration of smooth muscle cells on fibrin matrices<sup>67</sup>, suggesting a reason for these results. Yet another reason is that the short interval of abciximab administration, 12 hours, and the rapid clearance of the unbound pool after infusion is terminated, does not coincide with the up-regulation of  $\alpha v\beta 3$ . Because experimental models showed that gene targeting of Mac-1 inhibited restenosis in mice<sup>68</sup> and that Mac-1 is required for leukocyte adhesive reactions<sup>69</sup>, it has also been suggested that the Mac-1 binding property of abciximab may provide an anti-inflammatory benefit<sup>61</sup>. However, in order for abciximab to bind Mac-1, this integrin must first be activated<sup>70</sup>. Most leukocytes circulate with Mac-1 in an inactive state: no abciximab can be detected on circulating leukocytes during abciximab therapy<sup>71</sup>. Since abciximab binds to GP IIb–IIIa on unstimulated platelets with 40-fold higher affinity than to stimulated Mac-1, and since fibrinogen, present at approximately 1000 fold greater concentration than the unbound pool of abciximab, also binds to Mac-1 once it is stimulated, it is unlikely that effective antagonism of Mac-1 can be achieved under the clinical administration of this drug. Thus, while a clinical benefit may result by targeting of integrins such as  $\alpha v\beta 3$  and Mac-1 in acute vascular conditions, possibly by the development of specific antagonists that can be used at concentrations that saturate receptors for prolonged periods of time, the existing data do not support the notion that any of the three approved parenteral GP IIb–IIIa antagonists provide a clinical benefit by any mechanism other than those resulting from the antagonism of GP IIb–IIIa.

### GP IIb–IIIa antagonists and LIBS epitope expression

All GP IIb–IIIa ligands (adhesive proteins such as fibrinogen, GP IIb–IIIa antagonists such as tirofiban and eptifibatide, including selected antibodies such as abcixi-

mab) induce a conformational change in GP IIb–IIIa that can be detected by the expression of LIBS (ligand-induced binding site) antibodies. The repertoire of LIBS epitopes expressed by these ligands, however, differs, and LIBS antibodies have been identified that identify these changes in GP IIb–IIIa. For example, while eptifibatide and tirofiban but not lamifiban<sup>72,73</sup> induce the binding of the LIBS antibody termed D3, all these GP IIb–IIIa antagonists induce the binding of mAb15–758<sup>74</sup>. Abciximab binding to GP IIb–IIIa induces the expression of additional LIBS epitopes<sup>75</sup>. Presumably, additional changes in GP IIb–IIIa occur that have not yet been detected by any of the known LIBS antibodies.

LIBS epitope expression is increasingly recognized for its potential impact in limiting the use of GP IIb–IIIa antagonists particularly in long-term, oral administration. Reports have appeared indicating thrombocytopenia in a few individuals as a complication of exposure to GP IIb–IIIa antagonists<sup>76–78</sup>. Hypotheses for this phenomenon are that the LIBS epitopes expressed either cause platelet clearance directly or induce the production of antibodies to the LIBS epitopes which secondarily cause platelet clearance by an immune mechanism. Evidence for this comes from animal studies where thrombocytopenia was observed in both chimpanzee and Rhesus monkeys and this condition corresponded to the presence of drug-dependent antibodies against GP IIb–IIIa<sup>79</sup>. Accumulation of information on this point for different GP IIb–IIIa antagonists could identify LIBS epitopes critical for this response. Thrombocytopenia induced by the three parenteral GP IIb–IIIa antagonists is infrequent, and not routinely associated with severe bleeding complications<sup>80,81</sup>.

### GP IIb–IIIa in coagulation, inflammation and vascular cell proliferation

Recent data suggest that GP IIb–IIIa antagonists may have activities in addition to inhibition of platelet aggregation. The data summarized below, and in Fig. 63.2, see colour plate, suggest that these additional activities include anti-coagulant, fibrinolytic, and anti-inflammation.

#### Coagulation

It is well known that the presence of platelets in blood shortens the time required for clot formation as platelets provide a surface for the assembly of the tenase complex which activates Factor X to Factor Xa, and the prothrombinase complex which converts prothrombin to thrombin.



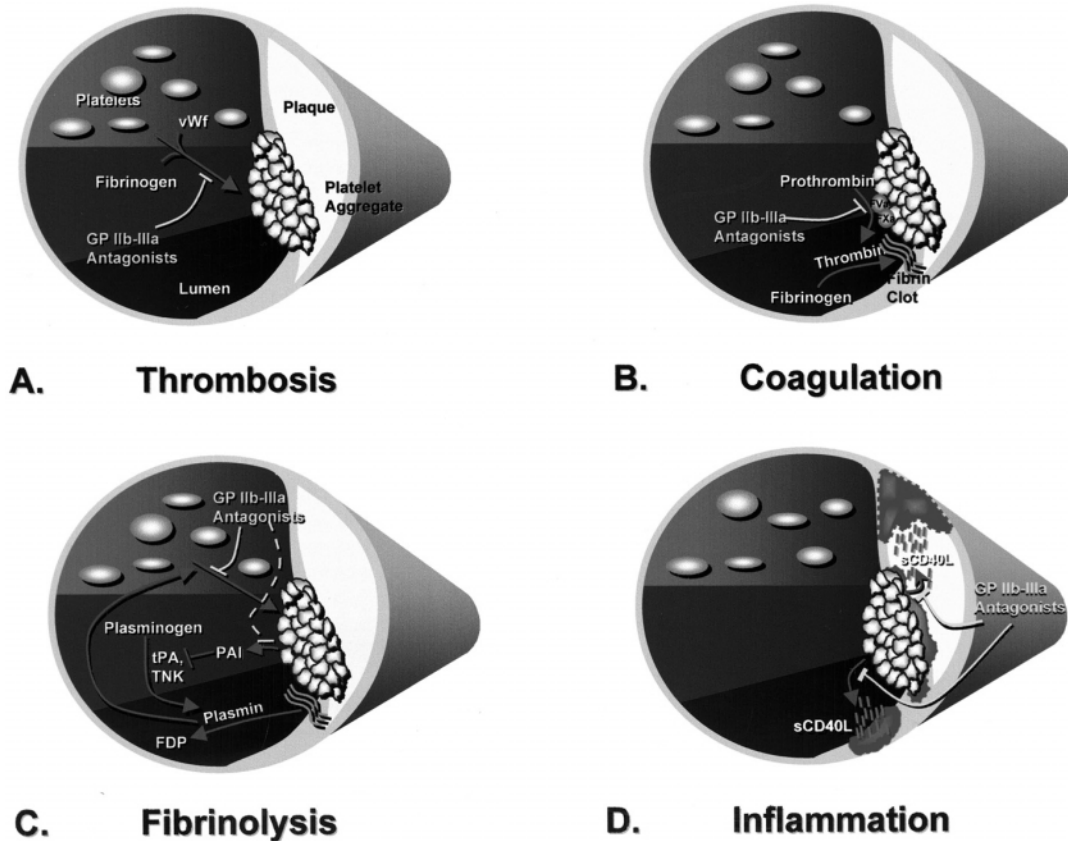


Fig. 63.2 (see also colour plate). Diagrams depict the multiple benefits of GP IIb-IIIa antagonists. (a) GP IIb-IIIa antagonists block platelet aggregation and recruitment to prevent thrombosis. (b) The prothrombinase complex (FVa, FXa) is predominantly formed on the surface of aggregated platelets. The anticoagulant activity of GP IIb-IIIa antagonists can be attributed to their antiaggregatory activity. (c) GP IIb-IIIa antagonists inhibit platelet aggregation induced by plasmin and thrombin which are generated during thrombolysis. These antagonists also inhibit the aggregation-induced release of PAI-1, an inhibitor of fibrinolysis. The apparent 'fibrinolytic' activities of GP IIb-IIIa antagonists can be attributed to these synergistic activities with fibrinolytic agents. (d) Platelet aggregation induces the expression and release of the potent proinflammatory protein CD40L. Inhibition of platelet adhesion to vascular cells and sCD40L release from platelets by GP IIb-IIIa antagonists may allow this class of drugs to be anti-inflammatory.

It has been shown that GP IIb-IIIa antagonists reduced tissue factor induced thrombin formation indicating that clot formation and GP IIb-IIIa may be linked<sup>82-85</sup>. These findings raise the interesting possibility that GP IIb-IIIa antagonists may decrease thrombin formation at sites of vascular injury. In support of this suggestion, *ex vivo* samples have prolonged clot formation time when obtained from patients receiving GP IIb-IIIa antagonists<sup>86</sup>. Although unstimulated platelets have poor clot promoting activity, activated, adherent platelets assemble prothrombinase and Factor Xase on their surface to greatly enhance the rate of thrombin generation<sup>87</sup>. As shown in Fig. 63.2(b), see colour plate, GP IIb-IIIa antagonists actually inhibit thrombin generation<sup>84</sup>. Since aggregation induces platelet

activation through outside-in GP IIb-IIIa signalling, this may account for the ability of the three GP IIb-IIIa antagonists to reduce thrombin formation<sup>88</sup>. An alternative hypothesis is derived from the experiments of Byzova and Plow<sup>89</sup>, who showed that prothrombin binds to GP IIb-IIIa on unstimulated platelets. These authors speculate that prothrombin binding occurs via the RGD sequence in this proenzyme and found that RGD-containing peptides blocked this interaction. Since GP IIb-IIIa binding enhanced the rate of prothrombin activation, a reaction that was blocked by GP IIb-IIIa antagonists, these data suggest that GP IIb-IIIa antagonists may also inhibit clot formation because they displace prothrombin binding to the platelet surface. Either explanation could account for

the long clotting times in the platelet rich plasma (PRP) from patients with Glanzmann's thrombasthenia<sup>90</sup>.

### Fibrinolysis

Recent studies suggest that GP IIb–IIIa antagonists may augment the ability of fibrinolytics to achieve patency in occluded arteries<sup>91,92</sup>. As shown in Fig. 63.2(c), there are two primary mechanistic reasons why GP IIb–IIIa antagonists have this activity. First, platelet stimuli are generated by thrombolysis. This can occur by plasmin, known to have a platelet stimulatory activity<sup>93–95</sup>, thrombin released from clots during lysis<sup>96</sup> or the adhesive proteins on the vessel wall re-expressed during thrombolysis. GP IIb–IIIa antagonists prevent platelet recruitment by these stimuli. Secondly, platelet alpha granules contain PAI-1 (plasminogen activator inhibitor) which is secreted during platelet thrombosis. GP IIb–IIIa antagonists block platelet recruitment and the secretion of this negative modulator of fibrinolysis. Ongoing clinical trials are designed to establish conditions and protocols for the efficacious combination of GP IIb–IIIa antagonists with thrombolytics<sup>97</sup>.

### Inflammation

Atherosclerotic cardiovascular disease is the consequence of vascular inflammation<sup>98</sup>. The fibrinogen binding activity of GP IIb–IIIa may contribute to this process in four ways. First, fibrinogen bound to GP IIb–IIIa can bind to Mac-1, a  $\beta 2$  integrin, and recruit neutrophils into a growing thrombus<sup>99</sup>. Second, bound fibrinogen, together with aggregation, induces outside-in GP IIb–IIIa signalling and alpha granule secretion resulting in the release of inflammatory mediators such as TGF $\beta$ , PF4, RANTES and other chemokines<sup>100,101</sup>. Third, outside-in GP IIb–IIIa signalling also enhances the production of inflammatory mediators from the coagulation cascade such as Factor Xa<sup>102</sup>. Perhaps most importantly, fibrinogen bound to GP IIb–IIIa also mediates the binding of platelets to endothelial cells and leukocytes so that the CD40 ligand (CD40L) expressed on the platelet surface can induce an inflammatory response through these cells<sup>103</sup>. CD40L (CD154, gp 39), is a membrane protein in the TNF family and is a potent proinflammatory factor originally identified in CD4<sup>+</sup> T lymphocytes. The platelet location was established in a pioneering study by Henn et al.<sup>103</sup>, who showed that while CD40L was not exposed on the surface of unstimulated, discoid platelets, it rapidly became exposed on platelets during thrombin-induced platelet activation. CD40L is a ligand for CD40, an inflammation receptor found on many vascular cells and, indeed, incubation of aggregated platelets that bear

exposed CD40L with vascular cells induced an inflammatory response as detected by the release of inflammatory cytokines and the expression of adhesion receptors, e.g. ICAM-1, VCAM-1, and tissue factor<sup>104</sup>. A critical role for CD40L in atherosclerosis has been established by studies showing that CD40L antibodies<sup>105</sup> or CD40L gene targeting<sup>106</sup> reduce atherosclerotic lesion development in mice prone to atherosclerosis. Aukrust et al.<sup>107</sup>, showed that a soluble form of CD40L was generated in patients with acute coronary thrombotic syndromes, e.g. unstable angina and with complications of PCI and that the soluble CD40L was inflammatory to peripheral blood mononuclear cells. Since aggregated platelets are associated with atherosclerosis throughout lesion progression and since the adhesion of platelets to the CD40L-responsive cells within the vasculature is mediated by GP IIb–IIIa, it follows that GP IIb–IIIa antagonism may inhibit the CD40L inflammatory activity. An untested hypothesis is that GP IIb–IIIa antagonists may reduce the pathological consequences of inflammation and atherosclerotic lesion progression in acute coronary syndromes.

### Orally available GP IIb–IIIa antagonists

Because of the clinical benefits demonstrated by the three parenterally available GP IIb–IIIa antagonists and the hope of extending the clinical benefits by GP IIb–IIIa antagonism beyond the in-hospital administration of therapy, extensive effort has been directed towards the development of orally available GP IIb–IIIa antagonists. Most of these antagonists are mono- or double-prodrugs that are rapidly converted to their active forms in circulation. Although these antagonists are of diverse chemical structures, the active forms of each have a common structural feature, positive and negative charges separated by a distance of 10–20 angstroms<sup>108</sup>, a distance is similar to that between the positive charge of arginine and the negative charge of aspartic acid on the Arg–Gly–Asp (RGD) sequence, and a sequence responsible for the GP IIb–IIIa binding activities of several adhesive proteins including vWf, vitronectin and fibronectin<sup>109</sup>.

As of writing, five placebo controlled phase III clinical trials have been performed using the orally available GP IIb–IIIa antagonists. None have shown a clinical benefit. The clinical conditions evaluated are diverse and include unstable coronary syndrome, percutaneous revascularization and peripheral vascular disease. Because of the lack of clinical success, the development of the drugs has either been terminated, or is on hold. Several authors have discussed possible reasons why the orally available GP

I Ib–IIIa antagonists have not yet shown clinical benefit. Adderley and Fitzgerald<sup>110</sup> found in *in vitro* studies that orbofiban and xemilofiban induced dose-dependent apoptosis and procaspase-3 activation in cardiomyocytes, suggesting that failure of these drugs in the clinic could have been due to their induction of cell death. However, since these studies were performed with the proforms of the antagonists, forms that have low concentrations in circulation, the apoptotic hypothesis needs to be supported by additional studies using the active forms of these drugs, at concentrations closer to that used in the clinic, which also induce an apoptotic response. Another possibility is that the pharmacokinetic profiles of the tested drugs, which permits wide variations in the extent of platelet coverage during the 8-to-12 hours between dosing<sup>111</sup>, allows for extended periods where insufficient GP IIb–IIIa antagonism is achieved<sup>112</sup>. This undoubtedly occurred. Presumably, orally available antagonists with improved pharmacokinetic profiles, possibly achieved by once a day formulations with little change in plasma levels during this time interval, may solve this problem. A third possibility is the lack of aspirin in the treatment arm (e.g. Symphony I using sibrafiban<sup>113</sup>) or non-compliance of aspirin use during other trials. Aspirin has been found to synergize with GP IIb–IIIa antagonists in blocking aggregation to collagen<sup>114</sup>. Since collagen is an integral component in atheromas and would be expected to be involved in platelet stimulation following plaque rupture<sup>115</sup>, less than optimal inhibition of platelet aggregation to this agonist, as would be provided by the noninclusion of aspirin, may have contributed to a less than optimal therapeutic outcome. A fourth possibility is that the suboptimal doses used chronically, i.e. 10–60% inhibition of platelet aggregation, may provide negative consequences such as the enhancement of inflammation or thrombosis. One example is CD40L, an inflammatory protein that is known to be involved in the progression of atherosclerosis. GP IIb–IIIa antagonists both positively and negatively regulate the release CD40L *in vitro*: optimal concentrations inhibit, e.g. doses that achieve >60% inhibition of platelet aggregation; surprisingly, suboptimal concentrations potentiate release, e.g. doses that achieve 20–50% inhibition of platelet aggregation (L. Nannizzi-Alaimo, S. Prasad and D. Phillips, unpublished observations). These findings predict that suboptimal doses of the orally available GP IIb–IIIa antagonists may have caused an enhancement of inflammation. Although experiments have been performed which suggest that selected orally available GP IIb–IIIa antagonists may potentiate platelet stimulation, indicating that they may also paradoxically potentiate thrombosis<sup>116</sup>, it remains to be determined whether this occurs during the

clinical use of these agents. These considerations predict that an orally available GP IIb–IIIa antagonist with an improved pharmacokinetic profile such as demonstrated by Roxifiban<sup>117</sup>, or other GP IIb–IIIa under development, may have a therapeutic benefit not achieved in previous clinical trials of this class of drugs.

## Conclusions

The combined study of Glanzmann's thrombasthenia, of GP IIb–IIIa, and of GP IIb–IIIa antagonists in arterial thrombotic disease have now clearly established the pivotal roles of GP IIb–IIIa in platelet aggregation and of platelet aggregation in arterial thrombosis. Use of GP IIb–IIIa antagonists is now a proven strategy for the reduction of arterial thrombosis to reduce morbidity and mortality in human disease. It is now established that aggregation is only part of GP IIb–IIIa function in that its signalling in platelets facilitates a wide range of reactions such as platelet shape change, secretion of alpha granule and dense body constituents, consolidation of aggregates and clot retraction. These functions of GP IIb–IIIa indicate that it is not only involved in thrombosis and hemostasis but also links platelets and platelet aggregates to coagulation, inflammation, thrombolysis, atherosclerosis and the proliferation of cells in the vessel wall in a dynamic way, more than would result from simple vessel occlusion. Thus, it is predicted that GP IIb–IIIa antagonists may provide additional benefits beyond simple prevention of vessel occlusion. Data are also accumulating that GP IIb–IIIa antagonists also provide added benefit in combination with other antithrombotics and with fibrinolytics. All initial trials were performed in combination with aspirin and heparin: data are emerging on combinations with clopidigrel (Plavix®) and low molecular weight heparins. Data are also available suggesting that GP IIb–IIIa antagonists act synergistically with fibrinolytics in the setting of acute myocardial infarction, suggesting that such combinations may be a preferred therapy. Future clinical trials are required to evaluate these possibilities.

Given the pivotal role of GP IIb–IIIa in platelet function, it has been disappointing that orally available GP IIb–IIIa antagonists have not yet provided a therapeutic benefit. However, newer orally available GP IIb–IIIa antagonists are now available with improved pharmacokinetic and pharmacodynamic profiles, and it may well be of great interest to understand how these agents perform in large-scale clinical trials. It is also possible that alternative strategies might be developed to regulate GP IIb–IIIa function. For example, future directions on GP IIb–IIIa research can be

expected to continue to focus on extracellular and intracellular domains, providing new insights into the molecular description of how GP IIb–IIIa interacts with its ligands and into how GP IIb–IIIa is involved in the various signal transduction pathways. Evidence, both from naturally occurring and experimentally induced mutations of GP IIb–IIIa, clearly indicate a role for the cytoplasmic domains of GP IIb and GP IIIa in the function of GP IIb–IIIa, both in linking the integrin to the platelet cytoskeleton and in associations with signalling proteins. These proximal events in GP IIb–IIIa signalling may offer new targets for pharmacological intervention of GP IIb–IIIa which may provide for inhibition of platelet aggregation and arterial thrombosis with minimal effects on hemostasis.

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## Other antiplatelet agents

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### Reversible COX-1 inhibitors

While aspirin induces irreversible acetylation of the cyclooxygenase moiety of the PGG/H synthase enzyme, other non-steroidal anti-inflammatory drugs (NSAIDs) bind reversibly at, or close to, the active site.

Several NSAIDs have been tested as inhibitors of platelet function. Their effects are reversible within several hours, necessitating multiple daily doses to produce important inhibition of thromboxane A<sub>2</sub><sup>1</sup>. The use of NSAID is also limited by the high rate of gastrointestinal side effects. During naproxen therapy, 400 mg twice a day, increasing the bleeding time, the rate of endoscopically determined gastroduodenal ulcers was 26%, significantly greater than with placebo<sup>2</sup>. The prevalence of gastroduodenal ulcers is from 15% to 30% among users of other NSAIDs<sup>3-5</sup>.

Sulfinpyrazone is one of the most studied platelet COX inhibitors. The inhibitory effects of sulfinpyrazone on platelets are more marked *ex vivo* than *in vitro*. This behaviour is shared with other antiplatelet drugs including ticlopidine and is dependent on active metabolites formed *in vivo*. The thioether metabolite of sulfinpyrazone is between 8 and 13 times more potent than the parent compound as an inhibitor of platelet aggregation induced by arachidonic acid<sup>6</sup>. Platelet inhibition is transient with sulfinpyrazone and, after several daily regimens of 200 mg four times a day, inhibition of platelet aggregation no longer is evident 24 hours after the final dose<sup>7</sup>. Initial results from clinical trials using sulfinpyrazone in the secondary prevention of myocardial infarction (MI) were encouraging<sup>8</sup>. In the Anturan Reinfarction Italian Study, patients with MI randomized to sulfinpyrazone (400 mg twice a day) were at lesser risk of suffering from reinfarction compared to the placebo group<sup>8</sup>. However, these results were disproved by a subsequent large trial in unstable angina<sup>9</sup> and the drug was never developed further for this indication.

Indobufene, 2-[*p*-(1-oxo-2-isoindolinyl)phenyl]butyric acid, is a competitive inhibitor of the cyclooxygenase moiety of the PGG/H synthase enzyme<sup>10,11</sup> and dose-dependently inhibits platelet aggregation *in vitro* and *in vivo*<sup>12-15</sup> and prolongs the bleeding time<sup>16</sup>. After a single oral dose of 200 mg indobufen, peak inhibition of TXB<sub>2</sub> production, using whole blood clotting, is at 2 h following administration<sup>11</sup>. After repeated administration, platelet inhibition lasts 12 hours after discontinuation of the drug<sup>17</sup>. Indobufen was shown to inhibit thrombosis in experimental animal models *in vivo*<sup>18</sup>.

Indobufen has been investigated in clinical trials for preventing thromboembolism and graft closure. Indobufen, 100 mg b.i.d., was proven to reduce cardiovascular events, in particular stroke, in patients with heart disease associated with an increased risk of cardiogenic embolism<sup>19</sup>. The effect of indobufen on graft patency was investigated in patients with coronary artery disease and peripheral artery disease. In patients with coronary artery bypass grafting, indobufen at a daily dosage of 400 mg was as effective as aspirin plus dipyridamole in reducing graft closure<sup>20</sup>. Similar effects were observed in patients undergoing femoro-popliteal bypass<sup>21</sup>. In a pilot study, indobufen has been shown effective in the secondary prevention of major vascular events in non-rheumatic atrial fibrillation<sup>22</sup>. Further study is in progress to test the clinical efficacy of indobufen in this setting. Gastrointestinal drug-related adverse effects range from 3.9% to 23% in clinical trials<sup>23</sup>.

Trifusal is a salicylic acid derivative that blocks COX-1 after transformation *in vivo* to the metabolite 2-hydroxy-4-trifluoromethyl-benzoate<sup>24</sup>. The use of trifusal in several clinical settings has given no convincing data for its use as an antiplatelet agent in human.

## Serotonin antagonists

Serotonin (5-HT) acts on different cells by binding to specific receptors. Several 5-HT receptors have been identified and cloned, and the family of 5-HT receptors is the largest family of neurotransmitter receptors. Serotonin, accumulated in platelet dense granules by an active transport system, is liberated during the secretion reaction and acts as a positive feedback signal in the platelet activation process. The 5-HT<sub>2</sub> receptor, a G-protein coupled receptor, mediates amplification of platelet activation reactions induced by serotonin, and the prototype 5-HT<sub>2</sub> antagonist is ketanserin<sup>25</sup>.

Ergoline derivatives LY53857, LY237733, sergolexole<sup>26</sup>, and AT-1015<sup>27</sup> are as potent as ketanserin in inhibiting platelet aggregation. SR46349 and DV-7028 are highly potent 5-HT<sub>2</sub> receptor antagonists and exhibit antithrombotic action in animal models *in vivo*<sup>28,29</sup>.

The effect of ketanserin (40 mg three times daily) on morbidity and mortality in patients with intermittent claudication was assessed by the PACK (Prevention of Atherosclerotic Complication with Ketanserin) study. Ketanserin was associated with an increased number of deaths in patients taking ketanserin and potassium-losing diuretics<sup>30</sup>. A secondary analysis, which excluded patients taking ketanserin and diuretics, showed a 23% reduction in major and minor cardiovascular events in patients taking ketanserin. Compared to placebo, ketanserin did not improve pain-free treadmill walking distance, but increased the ankle to arm systolic pressure ratio by decreasing the brachial systolic pressure<sup>31</sup>.

Serious side effects, such as prolongation of QT and risk of torsades pointées in patients receiving diuretics<sup>32,33</sup>, potentially limit the use of ketanserin and other 5-HT<sub>2</sub> receptor blockers.

## Thromboxane synthase inhibitors and TxA<sub>2</sub>/PGH<sub>2</sub> receptor (TP) antagonists

For a long time, inhibition of thromboxane has been an attractive target for developing new antiplatelet drugs. TxA<sub>2</sub> synthesized in platelets by TxA<sub>2</sub> synthase, which uses PGH<sub>2</sub> as substrate, is a potent platelet activator and vasoconstrictor (Fig. 64.1). In addition, TxA<sub>2</sub> exerts additional vascular effects relevant to thrombosis and atherosclerosis, including proliferative effects<sup>34</sup> and stimulation of expression of adhesion molecules<sup>35</sup>. TxA<sub>2</sub> effects are mediated by a G protein-coupled receptor (TP). Thus, pharmacological blockade of TxA<sub>2</sub> can be achieved at two levels, the TxA<sub>2</sub> synthase and the TP (Fig. 64.1). Development of

thromboxane synthase inhibitors was clinically relevant because these inhibitors could have overcome the unwanted effect of aspirin, i.e. the broad suppression of eicosanoid synthesis both in platelets and endothelial cells. Dazoxiben is the prototype drug of TxA<sub>2</sub> synthase inhibitors<sup>36</sup>, but the short half-life raised some concerns about the drug's capability to induce a constant suppression of TxA<sub>2</sub> synthesis *in vivo*; the drug was evaluated in clinical trials with negative results and the development of the drug was abandoned. Other TxA<sub>2</sub> synthase inhibitors have been reported, including the imidazolyl derivatives Y-20811<sup>37</sup>, CS-518<sup>38</sup> and OKY-046<sup>39</sup>, and pyrazolotriazinyl alkanolic acids<sup>40</sup>. The development of specific TxA<sub>2</sub> synthase inhibitors was subsequently limited by the observation that both TxA<sub>2</sub> and its precursor PGH<sub>2</sub>, which accumulates after TxA<sub>2</sub> synthase inhibition, act at the same receptor site. The blockade of TxA<sub>2</sub> synthase obstructs the arachidonic acid cascade with consequent accumulation of precursor substrates. Accumulated PGH<sub>2</sub> binds to TP as an agonist and potentially activates platelets (Fig. 65.1). In addition, the TP receptor is used by F<sub>2</sub>-isoprostanes, potent platelet activators whose production is not affected by TxA<sub>2</sub> synthase inhibitors<sup>41</sup>. Experimental support to that concept has been given by *in vitro* and *in vivo* studies<sup>42-44</sup>. TxA<sub>2</sub> synthase inhibitors do not completely inhibit platelet aggregation, and have little effect on bleeding time and experimental thrombosis<sup>42-44</sup>. Dual inhibition of TxA<sub>2</sub> synthase and TP has resulted in a strong inhibition of platelet aggregation and prolongation of bleeding time, much greater than either drug alone<sup>43</sup>. In this context, the additional contribution to the impairment of hemostasis is given by PGI<sub>2</sub> formed by reorientation of the metabolism of the cyclic endoperoxides. Accumulated PGH<sub>2</sub> in platelets, as a result of TxA<sub>2</sub> synthase block, translocate to endothelial cells where they are transformed to PGI<sub>2</sub>. Elegant demonstration of this concept was given by Gesele et al.<sup>43</sup>, who showed that the effects of dual inhibition were reversed by blocking PGH synthase with aspirin. Thus, the development of new drugs has focused on dual inhibitors, enzyme plus receptor, and TP blockers (Fig. 64.1). The prototype drug of dual inhibitors is Ridogrel<sup>45</sup>. A series of new dual inhibitors is under investigation, including cinnaminophillin, a lignan isolated from *Cinnamomum philippinense*<sup>46</sup>, and the non-carboxylic derivative BM-531<sup>47</sup>. The dual inhibitor picotamide, N,N'-bis[3-picolyl]-4-methoxyisophthalamide, inhibits platelet aggregation induced by different agonists *in vitro* and *ex vivo*<sup>48,49</sup>. The drug is an inhibitor of thromboxane synthase<sup>49</sup>, and competitive binding studies have shown that picotamide acts also as a TP antagonist<sup>50</sup>.

Picotamide was tested on the clinical progression of

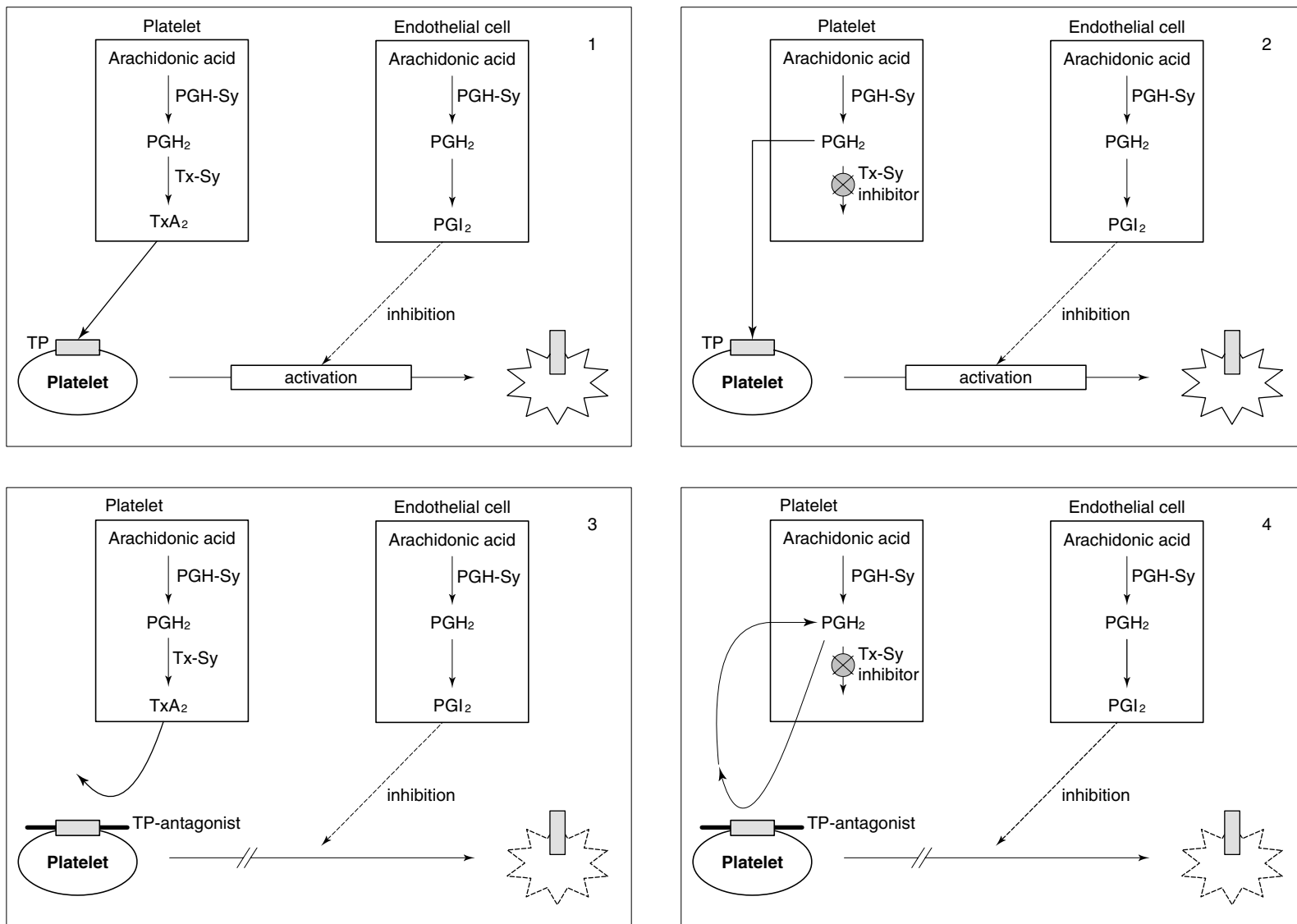


Fig. 64.1. Beyond aspirin-mediated inhibition of the arachidonic acid cascade: potential pharmacological interventions for blocking TxA<sub>2</sub>-mediated platelet activation. Synthesis of TxA<sub>2</sub> is dependent on availability of free arachidonic acid, which is liberated from membrane phospholipids by phospholipases (not shown in the scheme), and on the activity of PGH<sub>2</sub>-synthase (PG-Sy) and TxA<sub>2</sub>-synthase (Tx-Sy); TxA<sub>2</sub> binds to a specific G protein-coupled receptor (TP) and initiates a series of intracellular events that leads to platelet activation (scheme 1). Specific TxA<sub>2</sub>-synthase inhibitors block the production of TxA<sub>2</sub> with potential accumulation of its precursor PGH<sub>2</sub>, which in turn may activate platelets by mimicking TxA<sub>2</sub> at the TP level (scheme 2). The combination of Tx-Sy inhibitors and TP inhibitors results in a marked inhibition of arachidonate-induced platelet aggregation and prolongation of bleeding time much greater than either drug alone (scheme 4). Contribution to this effect is given by metabolic reorientation of cyclic endoperoxide towards antiaggregatory prostaglandins, such as PGI<sub>2</sub> (scheme 4)<sup>43</sup>.

peripheral vascular disease in patients with intermittent claudication<sup>51</sup>. After 18 months follow-up there was no difference in terms of major and minor events in patients assigned to placebo or picotamide (intention to treat analysis)<sup>51</sup>.

Several pharmacological TP inhibitors have been reported, including BM13.177, SQ-29,548, and GR32191<sup>52–54</sup>, and the novel non-carboxylic derivatives BM-144 and BM-500 that are under investigation<sup>55</sup>. Two clinical trials were performed to test the effectiveness of TP inhibitors in reducing restenosis after PTCA. Both GR32191 and sulotroban, evaluated in the CARPORT (coronary artery restenosis prevention on repeated thromboxane A<sub>2</sub> antagonism) study<sup>56</sup> and in the M-HERT-II (multi-Hospital Eastern Atlantic restenosis trial) study, respectively<sup>57</sup>, failed to prevent restenosis after PTCA. However, sulotroban was as effective as aspirin in preventing the occurrence of myocardial infarction<sup>57</sup>.

### Antithrombins and thrombin receptor antagonists

Thrombin is a serine protease that catalyses the cleavage of fibrinogen and of the platelet thrombin receptor. As a result thrombin activates both the coagulation pathway and platelets. As such, thrombin plays a central role in the mechanism of thrombosis. Antithrombins include the protease inhibitors r-hirudin and D-Phe-Pro-Arg-chloromethylketone that block the thrombin catalytic activity and are antithrombotic agents *in vivo*. These inhibitors are able to inactivate thrombus-bound thrombin and interrupt radiolabelled-platelet and radiolabelled-fibrinogen deposition in the vascular graft model<sup>58</sup>. In contrast, the heparin-ATIII complex is not able to affect thrombus formation in this model<sup>58</sup>. The clinical relevance of these findings has been supported by clinical trials with r-hirudin. In a randomized placebo-controlled study assessing subcutaneous hirudin vs. standard heparin or LMWH, hirudin reduced more effectively than heparin the rate of deep vein thrombosis and pulmonary embolism, without significant increase in bleeding<sup>59</sup>. Controlled clinical trials of bivalirudin<sup>60–62</sup> or hirudin<sup>63,64</sup> vs. standard heparin have also shown that antithrombins are effective in reducing reocclusion after thrombolysis, without producing significant bleeding. Randomized studies in patients undergoing PTCA have shown that hirudin or bivalirudin are comparable to heparin in protecting from angioplasty-related complications and are similar in frequency of bleeding<sup>65–68</sup>. In contrast, randomized placebo-controlled clinical trials in acute coronary syndromes have demon-

strated that hirudin and bivalirudin do not cause significant clinical improvement compared to heparin, but increase intracranial bleeding<sup>69–72</sup>.

This last evidence is in agreement with studies of experimental antithrombogenesis in baboons<sup>58</sup>. In this model the hirudin dose required to block platelet recruitment is at least one order of magnitude higher than the dose needed for inhibiting fibrin formation, and as a consequence a marked prolongation of clotting time, template bleeding, and surgical bleeding are observed<sup>73</sup>. Thus, some authors believe that antithrombin concentrations necessary to interrupt platelet activation in arterial thrombosis may never be achievable by systemic infusion without undesirable bleeding<sup>73</sup>.

Thrombin receptors are G protein-coupled receptors activated by proteolytic cleavage (PAR receptors)<sup>74</sup>. Two main PAR receptors are specific for thrombin, PAR-1 and PAR-3<sup>75</sup>. PARs-targeted monoclonal antibodies are attractive candidates for receptor blockade. Strategies for developing antibodies against thrombin receptor are likely to require agents that inhibit both PAR-1 and PAR-3. Anti-PAR-1 monoclonal antibodies failed to fully prevent thrombin-induced platelet activation<sup>76</sup>. In contrast, anti-PAR-1 polyclonal antibodies, which probably cross-react with PAR-3, have provided protection from thrombosis in non human primates *in vivo*<sup>77</sup>.

Cleavage of the receptor between Arg<sup>41</sup> and Ser<sup>42</sup> unmasks a new NH<sub>2</sub>-terminal sequence that functions as a tethered ligand for the receptor. Peptides based on the new exposed sequence, from 5 (SFLLR) to 14 (SFLRRNPND-KYEPF) amino acids, are potent thrombin receptor agonists<sup>78</sup>. Based on these sequences, receptor antagonist peptides have been constructed<sup>79,80</sup>. The undecapeptide C186–65 is a specific inhibitor of thrombin-mediated platelet activation<sup>79</sup>. Thus, thrombin receptor antagonists act differently from specific thrombin protease inhibitors and their effect is limited to platelet inhibition without affecting the clotting system. FR171113 is a selective non-peptide thrombin receptor antagonist, that is 50 times more potent than C186–65 in inhibiting thrombin-induced platelet aggregation (IC(50) 0.29 μM)<sup>80</sup>. FR171113 does not inhibit platelet aggregation induced by other agonists (ADP, collagen, arachidonic acid, U46619, PAF, adrenaline and calcium ionophore), and does not prolong the thrombin time, activated partial thromboplastin time, or prothrombin time<sup>80</sup>.

### Phosphodiesterase inhibitors

Cyclic AMP and GMP are intracellular second messengers that regulate physiological responses triggered by extracel-

lular stimuli. Intracellular levels of cAMP and cGMP are regulated by the rate of synthesis and degradation operated by specific cyclases and phosphodiesterases (PDE), respectively. Most cells express one or more PDE isoenzymes that regulate intracellular cAMP or cGMP. At least nine different gene families of PDEs have been identified in mammalian tissues<sup>81,82</sup>. Platelets express PDE2, PDE3, and PDE5 isoenzymes. Specific inhibitors of PDEs inhibit platelet function in vitro and in vivo<sup>83,84</sup>. The antiplatelet drug cilostazol<sup>85</sup> is a selective inhibitor of PDE3 with IC<sub>50</sub> of 0.19 μM<sup>86</sup>. Cilostazol also has vasodilating activity due to inhibition of PDE3 in vascular smooth muscle cells, which also express PDE1, PDE4, and PDE5.

Cilostazol has been used for a decade in several countries for the treatment of peripheral arterial disease, and in 1999 was approved in the United States for intermittent claudication. In a recent study, 81 patients with intermittent claudication were randomly treated with cilostazol (200 mg/day for 12 weeks) or placebo. Patients receiving cilostazol showed a statistically significant increase in walking distance compared to placebo<sup>87</sup>. The efficacy of cilostazol combined with aspirin was also assessed in coronary stenting in comparison with ticlopidine plus aspirin<sup>88</sup>. The two treatment regimens were randomly assigned to 300 patients starting 2 days before stent implantation. Primary end point at 30 days was a composite of angiographic stent thrombosis and major cardiac events (death, myocardial infarction, bypass surgery, repeat intervention). The combination of cilostazol plus aspirin was as effective as aspirin plus ticlopidine.

Novel potent PDEs inhibitors have been synthesized. The cyclooctylurea derivative OPC-33540 inhibits recombinant PDE3 with IC<sub>50</sub> of 0.32 nM<sup>89</sup>. The cyclohexenyl derivative NSP-513 is an orally active inhibitor of PDE3 with IC<sub>50</sub> of 39 nM<sup>90</sup>. Aggregation of dog platelets induced by collagen and ADP is inhibited by NSP-513 with IC<sub>50</sub> of 0.15 and 12 μM, respectively. NSP-513 administered to dogs inhibits ex vivo platelet aggregation and exhibits an antithrombotic activity in experimental femoral arterial thrombosis<sup>90</sup>.

Dipyridamole (2,6-bis-diethanolamino-4,8-dipiperidino-pyrimido-(5,4-d)-pyrimidine), is an antithrombotic agent in experimental models and in several animal models acts as platelet inhibitor in vivo<sup>91</sup>. Dipyridamole has three main pharmacological activities relevant to platelet inhibition. First, dipyridamole is a potent inhibitor of phosphodiesterases<sup>82,84</sup>, which control the intracellular levels of cAMP and cGMP pivotal regulators of a wide variety of signal transduction pathways<sup>83,84</sup>. Secondly, dipyridamole is an inhibitor of adenosine reuptake by erythrocytes and endothelial cells<sup>92,93</sup>. Adenosine, which derives from ADP released

during platelet aggregation, inhibits platelet function<sup>94</sup>. Thus, dipyridamole has been suggested to inhibit platelet function by locally increasing adenosine concentration during thrombus formation<sup>94,95</sup>. Third, dipyridamole is a potent chain breaking antioxidant<sup>96,97</sup>. Scavenging of free radicals, which inactivate the cyclooxygenase enzyme<sup>98</sup>, could account for the delay in the exhaustion of platelet-inhibiting PGI<sub>2</sub><sup>98-100</sup>. In analogy with other antioxidants, dipyridamole could also affect free-radical mediated amplification of platelet activation<sup>101</sup>.

Despite its pharmacological activities, the antiplatelet effect of dipyridamole is difficult to demonstrate in vitro. This is partly caused by a strong binding activity of dipyridamole to alpha-1-acid glycoprotein and consequent low free drug concentration in the platelet environment in vitro<sup>102</sup>. Thus, there is no clear-cut evidence of a specific platelet target for dipyridamole, and the mechanism of its antiplatelet activity is mainly speculative.

In the European Stroke Prevention Study 2 (ESPS-2), dipyridamole (200 mg twice a day) reduced the risk of stroke by 36.8% and the cumulative risk of stroke and death by 24.2% when compared with placebo<sup>103</sup>. Dipyridamole combined with 50 mg aspirin was also significantly more effective than either drug alone. This combination, approved by the FDA<sup>104</sup>, is currently recommended as one of the first-line treatments for stroke prevention after a first transient ischemic attack or stroke<sup>105</sup>.

Dipyridamole is FDA approved as an adjunct to warfarin for the prevention of postoperative thromboembolic complications of cardiac valve replacement with a mechanical prosthesis<sup>106</sup>.

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## Pharmacogenetics as a new antiplatelet strategy

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*“At that time two men will be working in a field:  
one will be taken away, the other will be left behind.  
Two women will be at a mill grinding meal:  
one will be taken away, the other will be left behind.”*

Mt. 24, 40–41

### Antiplatelet strategy: a brief historical review

The first three drugs tested in clinical trials for their potential antiplatelet effects were all already used in current practice for different reasons, unrelated to thrombosis and ischemic artery disease<sup>1</sup>.

Aspirin was known as an anti-inflammatory compound, sulfinpyrazone was used for its uricosuric properties and dipyridamole was endowed with vasodilatory (coronary-dilatory) effect. Their mechanism of action, as antiplatelet agents, was largely unknown, but the possibility of replacing oral anticoagulants with relatively safer substances, not requiring any laboratory control, convinced many clinicians to adapt an antiplatelet strategy, even before the results of well-planned and conducted clinical trials were made available.

The discovery of the crucial role of prostaglandins and thromboxane A<sub>2</sub> (TxA<sub>2</sub>) in platelet function and of their possibly unselective pharmacological control by aspirin, stimulated for the first time the search for a more rational antiplatelet strategy.

However, the development of selective TxA<sub>2</sub>-synthase inhibitors, TxA<sub>2</sub>-receptor antagonists and of compounds endowed with both properties was not followed by the expected clinical results. This was due to several reasons, including the complex re-orientation of platelet arachidonate metabolism following pharmacological suppression of TxA<sub>2</sub> synthesis or of its receptor function<sup>2–4</sup>.

In the meantime, ticlopidine, a new compound, whose mechanism of action was unrelated to arachidonate metabolism was made available: this drug inhibited plate-

let function, particularly ADP-induced platelet aggregation<sup>1</sup>. Some workers suggested a parallel between the effect of ticlopidine and the congenital platelet defect observed in a rare hemorrhagic disorder, Glanzmann's thrombasthenia<sup>5</sup>. The assumption was incomplete, but had the great merit to orient new antiplatelet strategy towards mimicking naturally occurring platelet disorders. The role of ADP as the princeps of platelet function agonists was discovered in 1960, but the molecular basis of its effects is just beginning to be understood. A model of three purinergic receptors, each contributing separately to ADP-induced mechanisms has recently been proposed<sup>6</sup>.

Following the results of clinical trials suggesting that ticlopidine, an inhibitor of ADP-induced platelet aggregation, might be at least as effective as aspirin, the search for other anti-ADP selective agents led to the development of clopidogrel<sup>7</sup>. The antiaggregating activity of this compound has been attributed to an active metabolite, which irreversibly affects the ADP receptor P<sub>2</sub>Y<sub>12</sub><sup>8</sup>. More recently selective, specific and competitive P<sub>2</sub>Y<sub>12</sub> antagonists have been identified. Experience gained with intravenous administration of these compounds has encouraged the development of orally active P<sub>2</sub>Y<sub>12</sub> receptor antagonists with the expectation that these compounds will have significant advantages over other existing or emerging oral antiplatelet agents<sup>9</sup>.

The concomitant progress in biochemical and molecular mechanisms regulating platelet function made it possible to develop RGD peptides, and to identify some snake venoms containing RGD proteins. RGD is a triplet of amino acids (Arg–Gly–Asp) which allow fibrinogen binding to the

platelet glycoprotein GPIIb–IIIa. The latter is lacking or dysfunctional in Glanzmann's thrombasthenia<sup>10</sup>.

Another obvious approach to control platelet function was to develop monoclonal antibodies against the GPIIb–IIIa (the first humanized antibody, currently used with success in some clinical conditions was abciximab or c7E3 or ReoPro)<sup>11</sup>. More recently, GPIIb–IIIa antagonists have been developed, based on the RGD sequence (or on a KGD sequence where Arg is substituted by Lys). Orally active inhibitors of the GPIIb–IIIa receptor have been developed as well. They are inherently active or are metabolized to the active form after ingestion<sup>12</sup>. The hypothesis that blockade of the GPIIb–IIIa function would be translated into a reduction in short-term ischemic complications and improvement in long-term clinical outcomes has only been partially met. The recent publication of the results of clinical trials with different oral GPIIb–IIIa inhibitory drugs has generated a serious disappointment on the true clinical benefit of this antiplatelet strategy<sup>13</sup>.

From a conceptual point of view an antiplatelet strategy similar to that mentioned above can be considered in relation to the glycoprotein GPIb and its major binding protein, namely von Willebrand factor<sup>14</sup>.

In conclusion, since the 1970s a great effort was made to improve the efficacy of the antiplatelet strategy by developing new drugs based on the discovery of new biochemical mechanisms underlying platelet function. Such an effort has been paralleled by the performance of dozens of clinical trials on old and new antiplatelet drugs. Both individual trials<sup>7,15–17</sup> and accurate metanalysis of hundreds of trials of different size<sup>18</sup> have provided fundamental indications for therapy and prevention of major vascular events such as myocardial infarction, stroke and vascular mortality.

### Populations rather than individuals as traditional targets of antiplatelet strategy

The indications obtained by traditional trials are well adapted to wide populations, but not as well to individual patients. The transferability of clinical data to the greatest number of patients is an important result of clinical trials such as ISIS-2<sup>15</sup>: one of the most useful advancements in the cardiovascular therapy during the past decades has been the demonstration of the efficacy to take an aspirin as soon as possible when myocardial infarction has only been suspected on the basis of a clinical judgement, without waiting for laboratory enzyme measurements or ECG confirmation. However, clinicians would like to have clearer indications, if not for any single patient, at least for

**Table 65.1.** Results of a current hypothetical trial with an antiplatelet drug

Patients	Control treatment	Antiplatelet drug
Treated	100	100
No event	90	93
With events	10	7
Benefit from the drug	0	3

*Note:*

The beneficial effect of the antiplatelet drug is 30%, but only three out of 100 treated patients will be 'saved' by the treatment. At the moment, there is little chance to identify *a priori* the 90 patients who will have no benefit from the drug, the ten patients who will suffer an event despite the treatment and the three patients who will gain the maximum benefit from the drug (based on ref.<sup>19</sup>).

less heterogeneous groups than those identified by epidemiologists.

If, in a simplified hypothetical trial, one treats for 1 year 100 heart attack patients with the best available therapy plus an antiplatelet drug, seven out of the ten patients who would have died without that drug will die anyway, three will be saved by the antiplatelet treatment and the other 90 will only be exposed to the risks of treatment without having any benefits. The problem is that we are presently unable to identify *a priori* the three patients who would gain their maximum benefits from an antiplatelet drug or even the patients who most likely will suffer a new vascular event or will die<sup>19</sup> (Table 65.1).

In the last few years, some possibilities have been proposed to select *a priori* groups of subjects who could benefit from aspirin or other antiplatelet therapy, with results which could be more targeted to them.

An observation derived from the Physicians' Health Study<sup>20</sup> has shown that individuals who had lower C reactive protein, a marker of inflammation and a prognostic parameter in severe unstable angina<sup>21</sup>, had little or no protection from aspirin against cardiovascular events, whereas aspirin was particularly active in those with higher levels of this protein<sup>22</sup>.

The significant association between C reactive protein and the clinical effectiveness of aspirin might be related to reduction by this drug of the inflammatory components that might play a pathogenetic role in acute coronary syndromes<sup>23</sup>. Although this interpretation can be challenged on the basis of the relatively low, apparently non-anti-inflammatory dose of aspirin used in the Physicians' Health Study, the possibility to include in future primary prevention studies only those subjects with high levels of C

reactive protein (or some other easily measurable parameter) is attracting attention. One can argue that C reactive protein does not directly distinguish 'responders' and 'non-responders' to aspirin, but identifies higher risk subjects for whom it will be easier to highlight the evidence of aspirin efficacy<sup>19</sup>.

This concept is in apparent contrast with the conclusions of a recent study<sup>24</sup> suggesting that the lower the blood pressure, the greater the effectiveness of aspirin. Moreover, subjects with higher blood pressure (systolic values above 145 mmHg) not only did not benefit from aspirin but were exposed to a serious risk of hemorrhage. Thus, whatever the relationship between aspirin effect and laboratory (C reactive protein) or clinical (hypertension) parameters, it is ethical to reduce the number of subjects and patients exposed to the side effects of aspirin (or other antiplatelet drug) without any clinical benefits.

The use of aspirin and other antiplatelet drugs prescribed today or presently in the development phase is thus limited by suboptimal efficacy that results largely from our inability to account for interindividual differences in disease etiology and drug response<sup>19,23</sup>.

### **The development of pharmacogenetics and individualized medicine**

These interindividual differences are determined, to a large extent, by inherited predispositions and susceptibilities. Knowledge of the genetic differences that explain these individual characteristics, and, based upon it, the development of specific diagnostics and therapeutics, will therefore be critical for the successful transition to a future progress in health care.

Today, a typical drug development programme usually proceeds on the broad assumption that subjects (or patients) are homogeneous groups with little interindividual variability. However, the efficacy of a drug may display differences between patients, some of whom may suffer adverse drug reactions that do not occur in others taking the same drug at the same dose.

It is the impact of these individual genetic differences on the risk/benefit ratio and on improved therapeutic use of drugs that the science of pharmacogenetics aims to unravel. This subject has been recently reviewed by several authors<sup>25-38</sup>.

While mutation is a rare variation in DNA sequence, which is found in less than 1% of the population, polymorphism indicates a difference in DNA sequence among individuals that occurs in 1% or more of the population. Sometimes up to 50% of a population is carrying a given

polymorphism. Each individual is characterized by a sequence of three billion base pairs. One out of 300 base pairs varies from person to person, which means that any individual can be distinguished from his neighbour by about 10 million base pairs. As only 1% of the base pairs appears to be functional, about 100 000 base pairs account for changes in protein structure and function. This common variation is called polymorphism and single nucleotide polymorphism (SNP) is the most common form, where the DNA varies by only a single base or nucleotide. Identification and mapping of SNP will provide new markers across the genome that will help identify regions of the genome itself associated with therapeutic response or adverse effects. Presently, pharmacogenetic studies are mainly based on correlating individual response with variations in 'candidate' genes involved in the drug's mode of action or metabolic pathways<sup>38</sup>.

Pharmacogenetics may be defined as the variability in drug response due to genetic factors. Response is used here in the broadest sense: absorption, distribution, metabolism, excretion pathways, target receptors, drug's mechanism of action, efficacy, side effects.

From an experimental point of view, having a certain result in a pharmacogenetic test would become part of the inclusion criteria for enrolling patients in clinical trials.

This new scientific approach would have the potential to enable physicians to identify susceptible patients and enhance the risk/benefit ratio for a given drug. One could envision a day when physicians will use pharmacogenetic rather than population data to make more informed decisions about therapy, especially in those multifactorial conditions such as cardiovascular disease.

It is important, however, to have realistic expectations about pharmacogenetics, at least in the next few years. The analysis of a broad set of genetic markers may only show that a genotypically defined subgroup of patients with a certain disease may have a higher probability of responding in a certain way to a certain drug. In this respect pharmacogenetic analysis has been compared to the assessment of blood pressure or cholesterol levels: when raised, these parameters are accepted risk factors for cardiovascular disease, but they do not necessarily imply that the patient will definitely suffer from a specific cardiovascular disease.

Moreover, cardiovascular disease, like other chronic diseases, is multifactorial and is caused by a combination of several genes and environment or non-genetic factors such as diet and physical exercise. These diseases are therefore difficult to dissect and the degree of correlation between genotypes and disease or drug response may be quite low. These days, genetic epidemiology is focusing

increasing effort on controlling for potential environmental confounders.

Adverse events associated with drugs remain a significant problem<sup>32</sup>. Despite the large numbers typically enrolled in clinical trials to evaluate efficacy and safety of new drugs, rare adverse reactions (less than 1 in 1000) tend to be identified only when the drug has been used in much broader patient populations, i.e. during the initial marketing phase.

Pharmacogenetics could overcome this difficulty by targeting drugs to patients likely to benefit and unlikely to experience adverse events.

If a new molecule is developed for the treatment of acute myocardial infarction and, in phase II trials definite measures of efficacy are demonstrated in only 30% of patients, a phase III placebo-controlled trial would need to be very large, because 70% of patients would be predicted not to respond to the drug<sup>34</sup>. As no one would know which 70% would be 'non-responders', these patients would, in effect, participate in the trial solely for the purpose of revealing adverse reactions. Using a SNP profile one could identify in the phase II trial, the 30% of patients likely to respond. A phase III trial could then recruit only those patients with that pharmacogenetic profile, and trials could be smaller, faster and less expensive. Inclusion of patients whose pharmacogenetic profiles indicate a low chance of efficacy would expose them to potential adverse reactions and would be ethically questionable.

A 'single nucleotide polymorphisms' (SNP) Consortium has been recently formed to advance the development of genetic-based diagnostics and therapeutics, through the creation of a high quality, high density SNP map of the human genome, which will be made available to all parties at no cost. The SNP Consortium is a public initiative funded by the Wellcome Trust and 11 major pharmaceutical companies and contracts SNP research to leading academic centres. The creation of this genome-wide map of SNP, together with the recent completion of the human genome sequence, is expected to greatly expedite genetic research in the next few years<sup>38</sup>.

Finally, race or ethnic specificity must be considered in relation to the population frequency of polymorphic genes of pharmacogenetic interest, as recently reviewed by Weber<sup>39</sup>. Association of these genes with person-to-person differences in drug effectiveness and toxicity may also depend on the racial or ethnic characteristics of a population. Information about ethnic specificity is an integral part of pharmacogenetics. For example, primaquine sensitivity, a sex-linked trait attributed to glucose-6-phosphate dehydrogenase deficiency, mainly affects males among African, Mediterranean and Oriental people. Other exam-

ples include the remarkable sensitivity of Japanese to alcohol (ethanol) compared with whites and the ethnic specificity of the cytochrome P-450 enzyme CYP2D6\* polymorphism, that results in poor, extensive and ultrarapid metabolizers of at least 30 drugs, including warfarin. CYP2C19\* polymorphism, which accounts for variable metabolism of proguanil, omeprazole and certain barbiturates, is present in 3–6% of whites, but in 8–23% of Asians. The polymorphic (*N*-acyl-transferase2, NAT2\*) acetylation of hydrazine and aromatic amine drugs, such as isoniazid, has been found in 40–70% of whites, in 50–60% of black Africans, but only in 10–20% of Asians<sup>39,32</sup>.

### Some examples of possible variability in antiplatelet and other antithrombotic drug response due to genetic factors

Data is still lacking on the genetic control of antiplatelet drugs' pharmacokinetics, but an instructive example of the importance of pharmacogenetics in drug metabolism and pharmacological response is given by a common and quite old antithrombotic compound such as warfarin.

#### Polymorphisms and the efficacy and safety of the oral anticoagulant warfarin

Warfarin is the oral anticoagulant drug most widely used in patients with venous and arterial thromboembolism. To keep the WHO international normalized ratio (INR) values between 2.0 and 3.0, doses of warfarin may widely fluctuate, from 1 to 20 mg or more daily. Standardized induction schemes with monitoring of INR over the first 4 days have only a 69% success rate. Such interindividual variations are partly due to the presence of polymorphism of the CYP2C9 gene<sup>40</sup>. CYP2C9 is a cytochrome P450 enzyme responsible for the metabolism of S-warfarin. S-warfarin, the more potent isoform of warfarin, is converted by CYP2C9 into inactive 6-hydroxy and 7-hydroxy metabolites.

CYP2C9\*2 and CYP2C9\*3 polymorphisms are both point mutations of the wild-type gene CYP2C9. In vitro data show that the CYP2C9\*3 variant is less than 5% and the CYP2C9\*2 about 12% as efficient as the wild-type enzyme. In vivo data show that the presence of the mutant polymorphism is responsible for impaired metabolism of warfarin, as carriers of either mutant allelic form behave as 'poor' warfarin metabolizers. Up to 20% of the general population could be carrier of at least one of these variations.

To verify the impact of the CYP2C9 gene polymorphism on the warfarin dose requirements, a study has been

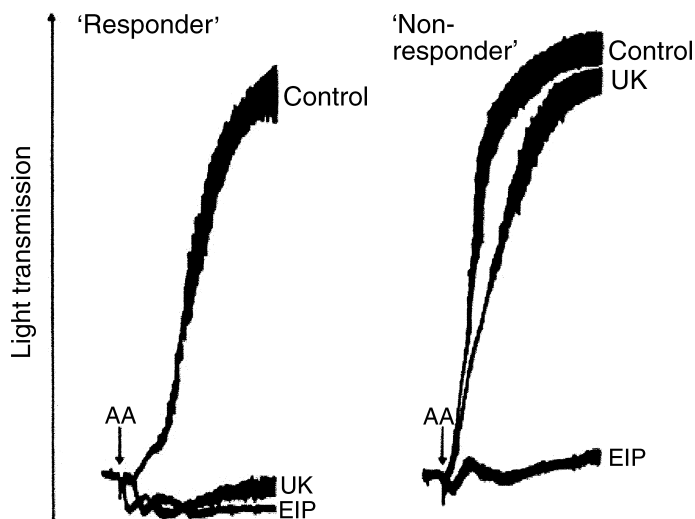


Fig. 65.1. Effect of dazoxiben (UK), a thromboxane A<sub>2</sub>-synthase inhibitor, and of 9,11-epoxyiminoprostanoic acid (EIP), a thromboxane A<sub>2</sub> receptor antagonist on platelet aggregation induced by arachidonic acid (AA, 0.4 mM) in platelet-rich plasma from a responder and a non-responder subject. In both specimens dazoxiben inhibited immunoreactive thromboxane B<sub>2</sub> generation by more than 95%. The comparable inhibitory effect of EIP on platelet aggregation suggested a normal thromboxane A<sub>2</sub> receptor function in both the responder and the non-responder subject. (From ref.<sup>46</sup>, with permission.)

conducted on patients requiring low daily doses of warfarin<sup>40</sup>. The frequency of the two allelic variants of CYP2C9 polymorphism in this population has been compared to that of a control group of patients with a wide range of dose requirements attending an anticoagulation clinic and to a control group of healthy volunteers. A strong association has been found between the need for a low dose of warfarin and the presence of at least one mutant allele of the CYP2D9 gene. Moreover, in these patients the induction of anticoagulation was more difficult than in controls, as indicated by longer hospitalization or repeated visits to the outpatient clinic. The lower metabolism of warfarin also makes carriers several times more susceptible to bleeding complications in spite of low dose administration. The concern for this complication is justified by the high mortality risk, especially in the aged population. Therefore, identification of these polymorphisms before starting the therapy might help prevent complications.

The recent discovery of different mutations in coagulation Factor IX has revealed a new type of genetic predisposition to bleeding complications during oral anticoagulant therapy. When receiving warfarin or other coumarins, patients with these variants show a very marked decrease

in Factor IX activity approaching that of severe hemophilia B. As a consequence these patients may suffer from bleeding at the beginning of therapy, even before the therapeutic range has been reached<sup>41</sup>.

The cost of large-scale genetic screening for different responsiveness to warfarin might be quite high (at least in the forthcoming years), but it should be verified and weighed against prolonged hospitalization, emergency admission for bleeding complications and mortality risk<sup>42</sup>.

### Responders and non responders to Tx-synthase inhibitors: an *antelitteram* example of pharmacogenetics

As already mentioned, the fascinating concept that selective Tx-synthase inhibition might suppress platelet function while leaving intact vascular prostacyclin generation was developed in the early 1980s<sup>43,44</sup>.

However, several studies showed that, when preincubated with a Tx-synthase inhibitor, only the platelets from some subjects (so-called responders) were inhibited, i.e. they did not aggregate after arachidonic acid stimulation, whereas platelets from other subjects (non responders) continued to aggregate despite a similar degree of suppression of TxA<sub>2</sub> formation<sup>2,45-50</sup> (Fig. 65.1). It was suggested that in some particular individuals the complex balance between aggregatory (PG endoperoxides, PGE<sub>2</sub>) and inhibitory (PGD<sub>2</sub>) prostaglandins and their interactions with specific receptors might result in prevention or stimulation of platelet aggregation associated with TxA<sub>2</sub> synthesis inhibition<sup>2</sup>.

The unequal functional response of platelets from different subjects to Tx-synthase inhibition was shown to depend on adenylate cyclase function<sup>49,50</sup>, that modulates the inhibitory activity of PGD<sub>2</sub><sup>51</sup>.

Some authors found it not easy to identify characteristics that clearly distinguished platelets from responders and non-responders to Tx-synthase inhibition. Platelets from responders and non responders appeared equally sensitive to the aggregating effect of U46619, an endoperoxide analogue and a TxA<sub>2</sub> mimic; the amount of PGE<sub>2</sub> generated in the presence of a Tx-synthase inhibitor was very similar in responder and non-responder platelets and they showed the same sensitivity to inhibition of platelet aggregation by PGD<sub>2</sub><sup>2,45,48</sup>.

Gresele et al.<sup>50</sup>, however, found that higher amounts of PGD<sub>2</sub>, prostacyclin and adenosine were required to suppress arachidonic acid-induced aggregation of platelets from non-responders, while they observed no difference between responders and non-responders concerning platelet sensitivity to forskolin or dibutyryl cyclic AMP. The

**Table 65.2.** Platelet aggregation induced by epinephrine and ADP in relation to platelet GPIIIa PI<sup>A</sup> polymorphism

Platelet agonist	Genotype			P <sup>a</sup>
	PI <sup>A1/A1</sup>	PI <sup>A1/A2</sup>	PI <sup>A2/A2</sup>	
Epinephrine ( $\mu$ M)	0.9 (0.9–1.0)	0.7 (0.7–0.9)	0.6 (0.4–1.0)	0.007
ADP ( $\mu$ M)	3.1 (3.0–3.2)	3.0 (2.9–3.2)	2.8 (2.4–3.3)	0.190

*Note:*

<sup>a</sup> P values are ANOVA, adjusted for age, sex, BMI, diabetes, triglyceride, total and HDL cholesterol, cardiovascular disease, menopausal status, and estrogen replacement therapy.

Source: From ref.<sup>56</sup>, with permission.

cyclic AMP rise induced by prostacyclin was lower in platelets from non-responders; exogenous PGE<sub>2</sub> exerted a pro-aggregatory effect on platelets from all non-responders, but not in platelets from most of the responders. These authors suggested the reason for the relative insensitivity of non-responder platelets to adenylate cyclase stimulators might be an intrinsic hereditary defect of the enzyme<sup>50</sup>.

Whether the observation of the existence of responders and non-responders to Tx-synthase inhibitors might be considered as an early unrecognized example of platelet pharmacogenetics is a stimulating possibility, which, however, lacks any direct proof.

### Polymorphisms of platelet glycoprotein IIIa and the efficacy of abciximab and aspirin

The glycoprotein IIb–IIIa complex acts as a receptor for fibrinogen and other adhesive molecules on the surface of platelets and is required for their aggregation<sup>10,11</sup>. Polymorphism PI<sup>A2</sup> is a variant of the gene encoding for glycoprotein IIIa and is present in about 25% of the white population<sup>52</sup>. This polymorphism has been associated with an overall moderate, but significant increased risk of coronary heart disease, especially at young age and in patients undergoing coronary bypass<sup>52–54</sup>. It has recently been shown that PI<sup>A2</sup> allele is associated with an increased reactivity of platelets to aggregation and with an altered integrin-mediated function of adhesion, spreading and clot retraction<sup>55</sup>. Within the large Framingham Offspring Study, GPIIIa genotype and platelet reactivity phenotype was determined in 1,422 subjects. Allele frequencies of PI<sup>A1</sup> and PI<sup>A2</sup> were 0.84 and 0.16, respectively. The presence of one or both PI<sup>A2</sup> alleles was associated with increased platelet aggregability by adrenaline and, to a lesser extent, by ADP (Table 65.2)<sup>56</sup>.

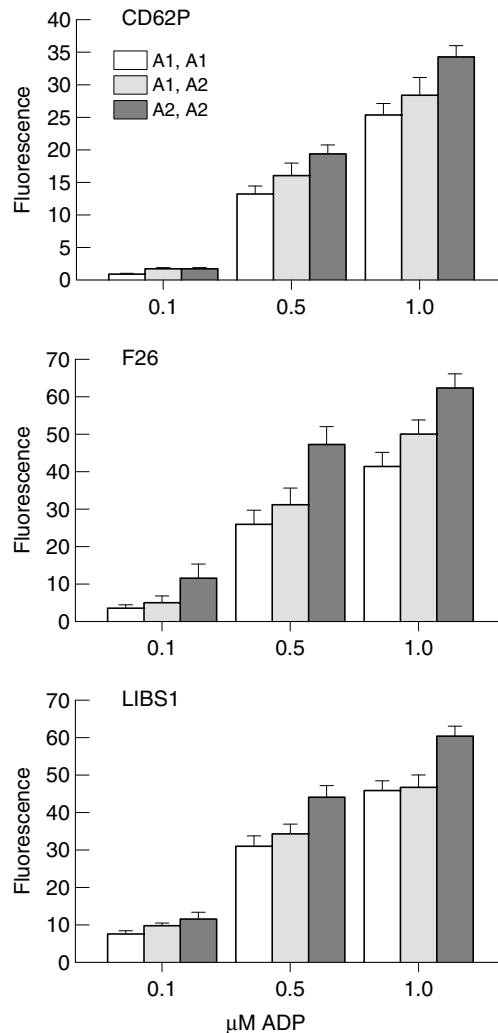


Fig. 65.2. Platelet activation state in whole blood from normal donors, carriers of PI<sup>A1</sup> and PI<sup>A2</sup> genotypes, stimulated with low-dose ADP. Flow cytometric analysis of surface expression of P-selectin (CD62P), GPIIb–IIIa-bound fibrinogen (F26) and activated GPIIb–IIIa (LIBS1). (From ref.<sup>57</sup>, with permission.)

Michelson et al.<sup>57</sup> studied 56 normal donors and compared platelet function in PI<sup>A1</sup> and PI<sup>A2</sup> genotype carriers: compared with homozygous PI<sup>A1</sup> platelets, PI<sup>A2</sup> positive platelets showed a significantly higher surface-expressed P-selectin, GPIIb–IIIa-bound fibrinogen and activated GPIIb–IIIa in response to low concentrations of ADP (Fig. 65.2). Surface expression of GPIIb–IIIa was similar in resting platelets of all three genotypes but was significantly greater on PI<sup>A2/A2</sup> platelets after ADP stimulation.

While the observations mentioned above offer a biological plausibility to the thrombogenic effect of the PI<sup>A2</sup> polymorphism<sup>54</sup>, other studies seem to exclude its relation

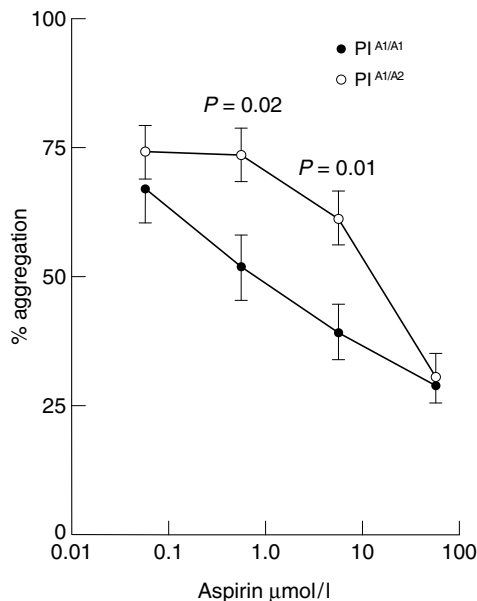


Fig. 65.3. Inhibition of aggregation of PI<sup>A1/A1</sup> and PI<sup>A1/A2</sup> platelets by aspirin. (From ref.<sup>60</sup>, with permission.)

to platelet hyper-reactivity: indeed hyporeactivity of platelets from carriers of PI<sup>A2</sup> polymorphism was reported when thrombin or ADP<sup>58</sup>, and arachidonic acid or a TxA<sub>2</sub> analogue<sup>59</sup> were used as agonists.

In a way unrelated to its possible effect on platelet reactivity to aggregating stimuli, the presence of PI<sup>A2</sup> polymorphism might influence the antiaggregatory effect of platelet inhibitory drugs<sup>57,60</sup>. In particular, abciximab (ReoPro), a monovalent chimeric Fab fragment of the 7E3 antibody that blocks ligand binding to GPIIb–IIIa and is reportedly beneficial in coronary ischemic syndromes<sup>11</sup>, caused small but significant differences among genotypes in inhibiting aggregation. The IC<sub>50</sub> (μg/ml) for abciximab was 1.90 ± 0.21 in platelets with the PI<sup>A1/A1</sup> genotype but 2.27 ± 0.19 in platelets with PI<sup>A1/A2</sup> genotype and 2.13 ± 0.14 in those with PI<sup>A2/A2</sup> genotype. Similarly, there were significant differences among these genotypes in the aspirin IC<sub>50</sub> (μM): (7.4 ± 2.5 vs. 13.1 ± 3.7 and 14.0 ± 2.1, respectively)<sup>57</sup>.

In an earlier study by the same group<sup>60</sup>, the inhibitory efficacy of aspirin had been tested in vitro against adrenaline-induced platelet aggregation. The IC<sub>50</sub> (μM) of aspirin was 22.8 ± 5.8 in PI<sup>A1/A1</sup> platelets but only 2.3 ± 1.2 (a tenfold difference) in PI<sup>A1/A2</sup> platelets (Fig. 65.3).

An increased sensitivity to the inhibitory effect of aspirin was also observed in platelets challenged with arachidonic acid from subjects with the PI<sup>A2</sup> allele<sup>59</sup>.

These in vitro data are to be interpreted in relation to in

vivo experiments. Undas et al.<sup>61</sup>, measuring the amount of thrombin generated in blood samples collected from bleeding time wounds, found that aspirin (75 mg/daily for 7 days) was inhibitory in 23 out of 25 PI<sup>A1/A1</sup> but only in 9 out of 15 PI<sup>A1/A2</sup> carriers ( $P=0.02$ ). Average thrombin generation decreased in A1 homozygous by 63% but in A2 carriers by only 28%. The same group<sup>62</sup> has lately reported that bleeding time was significantly shorter in carriers of the PI<sup>A2</sup> allele than in PI<sup>A1</sup> homozygous. Four hours after ingestion of 300 mg aspirin, the difference between the two groups was increased: in PI<sup>A1/A1</sup> the average bleeding time was prolonged from 372 s to 485 s (Δ 113 s), while in the PI<sup>A1/A2</sup>/PI<sup>A2/A2</sup> group it was only prolonged from 302 s to 349 s (Δ 47 s). In the latter group, bleeding time after aspirin was still shorter than bleeding time before aspirin in the former group (349 s vs. 372 s).

Altogether, these data support the hypothesis that differential platelet sensitivity to commonly used agents could influence the response of patients to these drugs. However, which aspect of in vitro and/or in vivo platelet function would better reflect the genetic control of the antithrombotic action of antiplatelet drugs remains to be established.

It has been suggested that receptor clustering, a phenomenon that increases GPIIb–IIIa signalling<sup>63</sup>, might be inhibited in carriers of a given polymorphism but not of another, so that platelets become more or less susceptible to inhibition by antiplatelet drugs. The significant differences in inhibition may affect either beneficial (anti-thrombotic) or adverse (hemorrhagic) effects. The GPIIb–IIIa is the most abundant receptor on human blood platelets (about 80 000 copies per platelet or 12 × 10<sup>16</sup> copies in our body), so that even a subtle functional alteration could have profound effects over the lifetime of a patient<sup>57</sup>.

### Pharmacogenetics: a new key of interpretation of the Thrombosis Prevention Trial

Analyzing the results of the Thrombosis Prevention Trial<sup>16</sup> in the light of a genetic approach to pharmacology, new interpretations for drug efficacy and new clinical trial designs have been proposed<sup>64</sup>. This trial was performed by general practitioners in the United Kingdom and aimed at finding differences in a primary prevention approach of high risk individuals for cardiovascular disease, by treating them at random with low dose warfarin and/or low dose aspirin against placebo. The prospective follow-up revealed that the group receiving warfarin had the same quantitative reduction in all ischemic events as did the group receiving aspirin. The beneficial effect was greater



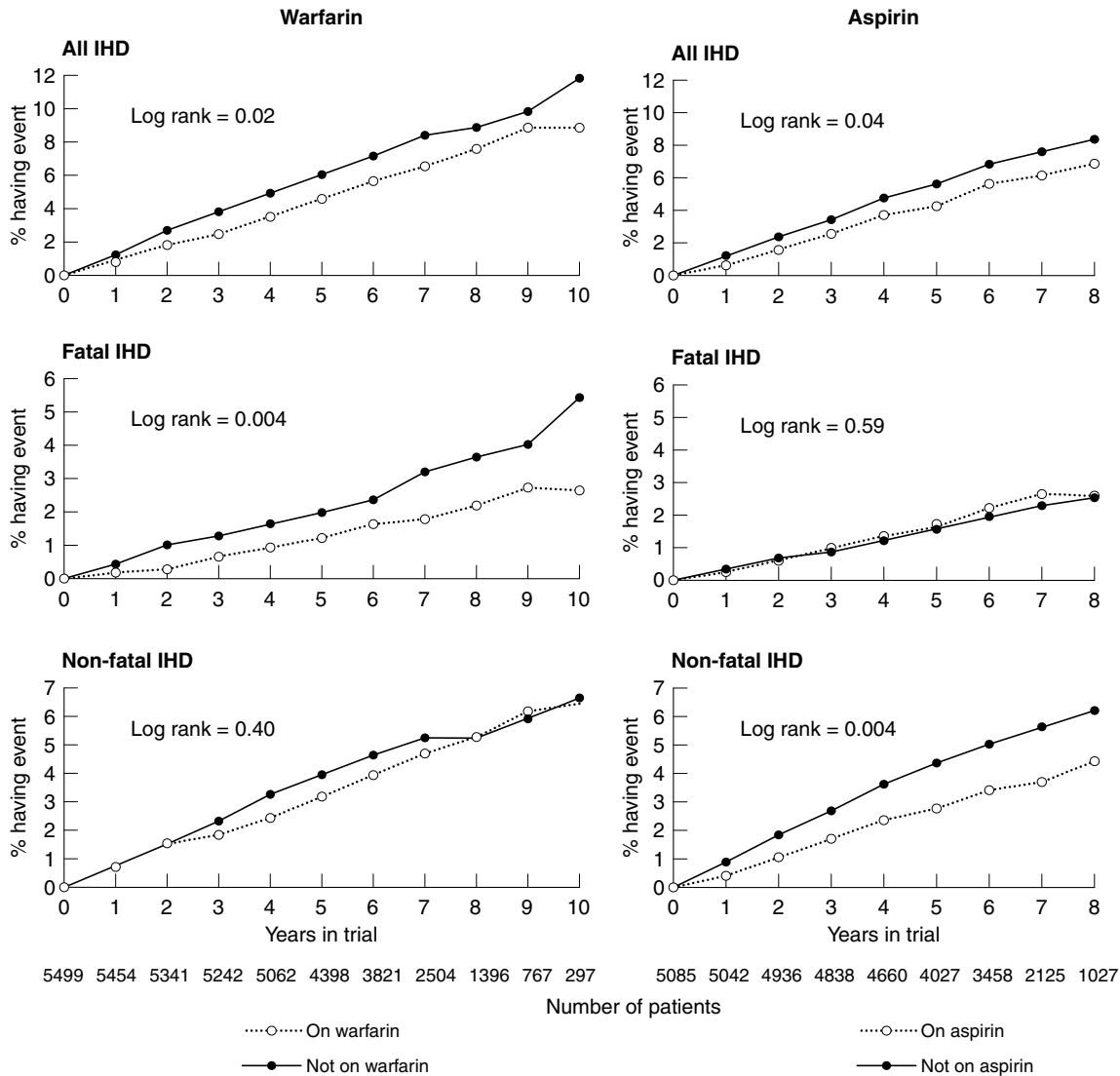


Fig. 65.4. Cumulative proportion (percent) of men with ischemic heart disease (IHD), main effects. *n*, number of patients still on trial for specified duration of follow-up. (From ref.<sup>16</sup>, with permission.)

for the group receiving the combined therapy (Fig. 65.4). Bleeding being still a problem of anticoagulant and antiplatelet therapy, subjects receiving the combination also showed an increased hemorrhagic risk as compared to placebo and individual therapies. Future trials might consider genetic categories related to platelet function and coagulation factor activity as a model to predict drug efficacy and risk of bleeding of patients, ultimately providing the choice of the best antithrombotic drug for each patient or subject. In this context, we have already mentioned the  $PI^A$  polymorphisms which may render platelets more or less sensitive to aspirin<sup>57-62</sup>. Polymorphisms in

coagulation factor VII and their effect in reducing factor VII levels and the risk of myocardial infarction have also been described<sup>65,66</sup>.

On the basis of these considerations, the population included in the Thrombosis Prevention Trial might be theoretically divided into four groups according to the polymorphisms  $PI^{A1/A2}$  of platelet GPIIIa and R356Q of factor VII gene (Table 65.3). The first group includes subjects carrying the alleles  $PI^{A2}$  and 353Q. The second one carries the allele 353R combined with  $PI^{A1}$ . The third group includes carriers of factor VII allele 353R but combined with  $PI^{A2}$ . Finally, the fourth group consists of factor VII allele 353Q carriers

**Table 65.3.** Simulation of results of the Thrombosis Prevention Trial<sup>16</sup> if subjects were categorized according to platelet glycoprotein (GP) IIIa P1<sup>A1/A2</sup><sup>57,60</sup> and R353Q factor VII (FVII)<sup>65</sup> polymorphisms

GPIIIa P1 <sup>A1/A2</sup>	Platelet	R353Q allele	FVII levels	Warfarin	Aspirin
A2	↑	Q	LOW	–	+
A1	↓	R	HIGH	+	–
A2	↑	R	HIGH	+	+
A1	↓	Q	LOW	–	–

*Note:*

↑ increased aggregability and higher sensitivity to aspirin; ↓ decreased aggregability and lower sensitivity to aspirin; + therapeutical benefit; – no therapeutical benefit.

*Source:* From ref.<sup>31</sup>, with permission.

combined with P1<sup>A1</sup> allele of GPIIIa. According to the associations genotype/phenotype described in the literature, these groups might have: increased platelet aggregability, high sensitivity to aspirin and low factor VII levels (first group); decreased platelet function, low sensitivity to aspirin and high factor VII levels (second group); increased platelet function, high sensitivity to aspirin and high factor VII levels (third group); and finally decreased platelet function, low sensitivity to aspirin and low factor VII levels (fourth group). We could expect that the first group would benefit from aspirin. It would be useful to reduce high platelet reactivity with a drug to which platelets are genetically sensitive; moreover further reduction by warfarin of already genetically low levels of factor VII could only expose patients to hemorrhagic side effects. In a similar way, the second group should benefit from warfarin, the third group from the combination of aspirin and warfarin, and finally the fourth group should not benefit either from aspirin or from warfarin. The latter could be an ideal group for testing new antithrombotic drugs.

We have also mentioned the CYP2C9 gene polymorphism which can distinguish ‘poor’ and ‘high’ metabolizers of warfarin<sup>40</sup>: the former patients should be identified and monitored with particular care as they would be preferential candidates to hemorrhagic side effects.

### Metabolism of thienopyridine prodrugs to exhibit antiaggregating activity and/or side effects

Ticlopidine and clopidogrel need to be administered in vivo to exhibit antiaggregating activity. Although some direct effects of thienopyridines in vitro have been

reported, most of them are observed at non-relevant doses and do not seem to account for the antiaggregatory activity of these drugs, responsible for their antithrombotic properties<sup>9</sup>. The achievement of an antiaggregating effect only after in vivo administration suggests that the thienopyridines do not act directly on platelets, and shows that active antiaggregating substance(s) must be produced through a metabolic process<sup>9</sup>. A study performed on clopidogrel showed the liver was the metabolic site from which the antiaggregant activity of this drug originates. The hepatic bioactivation of clopidogrel required a cytochrome P450–1A (CYP-1A)-dependent metabolism<sup>8</sup>.

A study of the metabolism of ticlopidine resulted in the identification of about 20 separate metabolites, eight representing approximately 30% of the initial compound, but none of them had in vitro activity. The other metabolites representing approximately 70% of the initial compound have not yet been identified, but no study has been able to demonstrate an antiaggregant activity in the plasma of treated subjects<sup>67,68</sup>. This suggests that the active metabolite(s) circulate at very low concentrations and/or may have a very short half-life/lives. Furthermore, the platelets of clopidogrel-treated subjects remain resistant to ADP even after washing, in vitro<sup>67,68</sup> or after the end of treatment, and the rate at which aggregation is restored correlates closely with platelet production<sup>69</sup>. These observations suggest the presence of an active metabolite of clopidogrel, produced by the liver, acting in an irreversible manner on platelets. This compound has recently been purified and its chemical structure determined<sup>8,9</sup>. It is a thiol reactive of clopidogrel which directly targets the ADP- receptor binding on platelets. This interaction is highly specific and irreversible, two features which correspond to the antiaggregating activity observed after clopidogrel treatment. Similarly to what has already been shown for CYP2C9-dependent metabolism of warfarin<sup>40</sup>, it is likely that the metabolism of ticlopidine and clopidogrel<sup>70</sup> is also genetically modulated, f.i. by polymorphisms of the P-450–1A cytochrome in the liver (Fig. 65.5).

Not only the efficacy of these drugs but also their safety could be better monitored by a pharmacogenetic approach. Although no cases of thrombotic thrombocytopenic purpura (TTP) were reported among the 20000 patients who received clopidogrel in clinical trials<sup>7</sup>, 11 cases of this serious hematologic adverse effect have been recently described that occurred in patients during or shortly after they received the drug<sup>71</sup>. Nine additional cases of clopidogrel-associated TTP were identified in the weeks following Bennett et al.’s paper<sup>72</sup>. The disorder began within 14 days after the initiation of clopidogrel therapy in all but one patient.

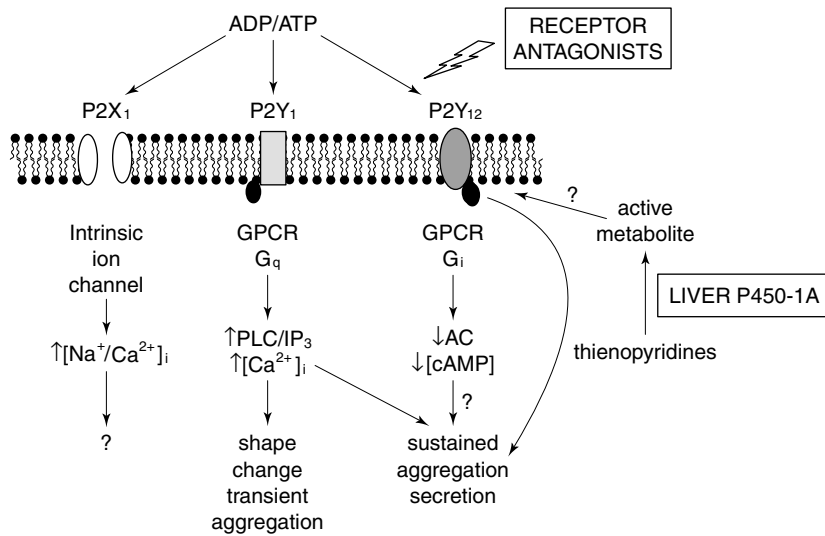


Fig. 65.5. The three-receptor model of ADP-induced platelet activation indicating the sites of action of receptor antagonists and of thienopyridines and their liver metabolite(s). (Modified from ref.<sup>70</sup>, with permission.)

In several countries the occurrence of side effects after a drug is marketed is monitored by voluntary reporting systems. However, it has been calculated that only 1% to 10% of all side effects is reported to a reporting body such as the Food and Drug Administration (FDA)-controlled system MedWatch<sup>73</sup>. The need for new, non-traditional approaches to drug safety has been underlined in the Editorial accompanying the report of TTP-clopidogrel association<sup>73</sup>, but no mention is made of the possibility that people could be genetically predisposed to develop a side effect while using ticlopidine or clopidogrel. An immune-mediated deficiency of von Willebrand factor-cleaving metalloproteinase activity has been reported in some patients with thienopyridine-related TTP<sup>71,74</sup>, thus a mutation or a polymorphism of this protease might contribute to the development of the clinical syndrome.

### A novel platelet ADP receptor and its polymorphisms

Hollopeter et al.<sup>75</sup> have recently described a novel ADP receptor expressed in platelets that mediates platelet aggregation via inhibition of adenylyl cyclase. They identified and cloned the P2Y<sub>12</sub> receptor, and showed that it is abundantly expressed on the surface of human platelets, and to a smaller extent in the brain. The predominant transcript of P2Y<sub>12</sub> was absent from all other tissues examined. The gene for P2Y<sub>12</sub> is located on chromosome 3, in a region that contains genes encoding at least three receptors, two of which mediate ADP-dependent platelet aggregation. Analysis of platelets from a patient previously

diagnosed with a mild bleeding disorder revealed the presence of a mutant allele at the locus corresponding with the P2Y<sub>12</sub> gene<sup>76</sup>. Although only one allele was affected by a frame-shift mutation, this patient did not produce any wild-type P2Y<sub>12</sub> protein, suggesting that expression of the normal allele is repressed. As already mentioned, ticlopidine and clopidogrel block the P2Y<sub>12</sub> receptor, but have therapeutic limitations. These include their slow onset of action, irreversible blockade of the receptor and undesirable side effects in some patients. Now that the receptor has been cloned, the development of more highly targeted drugs should be accelerated. Some groups are also developing a P2Y<sub>12</sub>-deficient mouse to further evaluate the role of this receptor in normal platelet function and in thrombotic models of vascular injury. The more we know about this receptor, the more opportunities there will be for rational drug design. Future work to identify further mutations and polymorphisms in the receptor gene will be another important step. If abnormalities in P2Y<sub>12</sub> are common, this could be a modulating factor in the population that can decrease risk for thrombotic disease<sup>77-79</sup>.

### A hypothetical case in 2010

General visions of gene-based medicine in the future are useful, and may allow to anticipate some future scenarios of the daily practice of medicine in a primary care setting. A hypothetical clinical encounter in 2010 has been recently

described<sup>80</sup> and will be reported here with some modifications.

John, a 23-year-old college graduate, is referred to his physician because a serum cholesterol level of 255 mg per decilitre was detected in the course of a medical examination required for employment. He is in good health but has smoked one pack of cigarettes per day for 6 years. Aided by an interactive computer program that takes John's family history, his physician notes that there is a strong paternal history of myocardial infarction and that John's father died at the age of 48 years.

To obtain more precise information about his risks of contracting coronary artery disease and other illnesses in the future, John agrees to consider a battery of genetic tests. After working through an interactive computer program that explains the benefits and risks of such tests, John agrees (and signs informed consent) to undergo 15 genetic tests that provide risk information for illnesses for which preventive strategies are available. He decides against an additional ten tests involving disorders for which no clinically validated preventive interventions are yet available.

A cheek-swab DNA specimen is sent off for testing, and the results are returned in one week. John's subsequent counselling session with the physician and a genetic nurse specialist focuses on the conditions for which his risk differs substantially (by a factor of more than two) from that of the general population.

John is pleased to learn that genetic testing does not always give bad news. His risks of contracting prostate cancer and Alzheimer's disease are reduced, because he carries low-risk variants of the several genes known (in 2010) to contribute to these illnesses. But, John is sobered by the evidence of his increased risks of contracting coronary artery disease, colon cancer and lung cancer. Confronted with the reality of his own genetic data, he decides to introduce some change in health-related behaviour, focused on reducing specific risks. By 2010, the field of pharmacogenetics has blossomed, and a prophylactic drug regimen based on the knowledge of John's personal genetic data can be precisely prescribed to reduce not only his cholesterol level but his global risk of coronary artery disease to normal levels. In particular, as he carries the platelet glycoprotein IIIa  $PI^{A2}$  variant gene, that makes platelets more sensitive to aspirin inhibition<sup>57,59</sup> a low-dose, slow release, bone marrow targeted aspirin is prescribed (to be taken twice a week). On the other hand, considering that John carries the B2B2 polymorphism of the cholesterol ester transfer protein (CETP), that is present in about 16% of the population and makes its carriers quite resistant to cholesterol lowering drugs<sup>81</sup> no pre-

vention with a statin is planned. John also carries the ApoE4 form of the ApoE polymorphism, that shows a high response to probucol, another cholesterol lowering drug<sup>82</sup>; thus he is given dietary advice and a probucol course. John is also informed to be a genetically 'slow' ethanol oxidizer as he is homozygous for the alcohol dehydrogenase type 3  $\gamma 2$  allele: in moderate wine drinkers (one or two drinks per day) this allele is associated not only with a reduced rate of ethanol oxidation, but also with high plasma levels of HDL and a substantially decreased risk of myocardial infarction (relative risk: 0.14, 95% confidence limits from 0.04 to 0.45, in respect to carriers of  $\gamma 1 \gamma 1$  alleles who consume less than one drink per week)<sup>83</sup>. Although individuals with a slow rate of metabolism of ethanol may be at higher risk for alcohol-associated diseases, John, who is already a usual moderate wine consumer, is encouraged to continue this dietary habit and to drink with meals<sup>84</sup>. His risk of colon cancer will be addressed by beginning a programme of annual colonoscopy at the age of 45, which in his situation is a very cost-effective way to avoid colon cancer. His substantial risk of contracting lung cancer provides the key motivation for him to join a support group of persons at genetically high risk for serious complications of smoking, and he successfully kicks the habit.

### Next step: proteomics

The simplified, though useful, idea of one gene to one protein to one function is no more acceptable, as cells and organisms are far more complex than is indicated by analysis of the genetic material alone. The concept of a human protein index was put forward in the 1980s<sup>85</sup>: proteins are the functional output of the cell and might therefore be expected to provide the most relevant information in specific biological contexts<sup>86</sup>. Proteomics thus complements the genomics, as the genetic code cannot always indicate which proteins are expressed, if they are glycosylated or phosphorylated, which is their three-dimensional structure, and finally, what is (are) their function(s). Moreover, the influence of environmental factors such as dietary habits or drug administration or of multifactorial processes such as ageing, cardiovascular disease or cancer cannot be fully evaluated by the simple analysis of the genome. Therefore, new perspectives and new biomedical opportunities are emerging by proteomics-based approaches<sup>86</sup>. Preliminary studies suggest an average number of three to more than six protein forms per each gene, in human beings<sup>87</sup>. This means that the human body may contain more than 200 000 modified proteins. Most drugs, including antiplatelet agents, exert their effects on

proteins. It is thus conceivable that in the postgenome era a concerted strategy with proteomics approaches will allow pharmacogenetics to achieve its potential in biomedical research and clinical practice.

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## Cardiovascular gene therapy: implications for platelet vessel wall interactions

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### Introduction

Evidence for the occurrence of coronary thrombosis early after percutaneous coronary interventions in humans was provided by serial angioscopic studies that demonstrated the development of varying degrees of thrombosis in over 90% of cases within 60 min after successful coronary balloon angioplasty<sup>1</sup>. Furthermore, studies on the effectiveness of acute PTCA during unstable angina have indicated not only an increased number of periprocedural complications but a relatively high incidence of restenosis<sup>2,3</sup>. Based on experimental and clinical evidence, it has been suggested that arterial thrombosis promotes the fibroproliferative response during later restenosis and progression of atherosclerosis<sup>4-6</sup>. The platelet IIb/IIIa and  $\alpha_v/\beta_3$  integrin receptor blocker, abciximab (ReoPro), reduced the need for recurrent clinical revascularization only in the EPIC trial<sup>7</sup>, which enrolled patients with unstable angina (due, in general, to coronary thrombosis). In contrast, the later EPILOG trial in patients without acute coronary thrombosis failed to show a reduction in the need for recurrent revascularization<sup>8</sup>, consistent with a role of early acute thrombosis in the pathogenesis of clinical restenosis. The incidence of periprocedural complications and 'clinically apparent' thrombosis after PTCA and stenting is sharply decreased by the administration of antiplatelet regimens, including the platelet IIb/IIIa receptor blockers and aspirin/ticlopidine or plavix. The efficacy of such interventions for the long-term prevention of 'sub-clinical' thrombosis and platelet deposition is uncertain, especially after these agents are withdrawn. Nevertheless, platelet thrombi are a rich source of vasoconstriction,

**Table 66.1.** Selected thrombus-related mediators of neointimal VSMC accumulation

Agent	Action
Serotonin	Vasoconstrictor <sup>a</sup> , VSMC mitogen
Thromboxane A <sub>2</sub>	Vasoconstrictor, VSMC mitogen
PDGF	Vasoconstrictor, VSMC mitogen, VSMC chemotaxis
Thrombin	Vasoconstrictor <sup>a</sup> , VSMC mitogen monocyte chemotaxis, activates MMP-1,2
Factor Xa	SMC mitogen
TGF- $\beta$	Stimulates ECM production

*Note:*

<sup>a</sup> In atherosclerotic arteries.

mitogens, and chemotactic agents (Table 66.1 and Fig. 66.1).

### Experimental antithrombotic gene therapy

Compared to the large number of gene transfer approaches aimed at inhibiting VSMC proliferation, experimental gene therapy approaches for the prevention of arterial thrombosis have been limited. In part, this may reflect the greater technical challenge posed by developing thrombosis models for gene therapy. Since there is usually a delay between gene transfer and gene expression, the therapeutic test (i.e. the thrombotic challenge) requires at least one additional experiment, usually several days after the gene vector is delivered to the artery. Thrombosis is



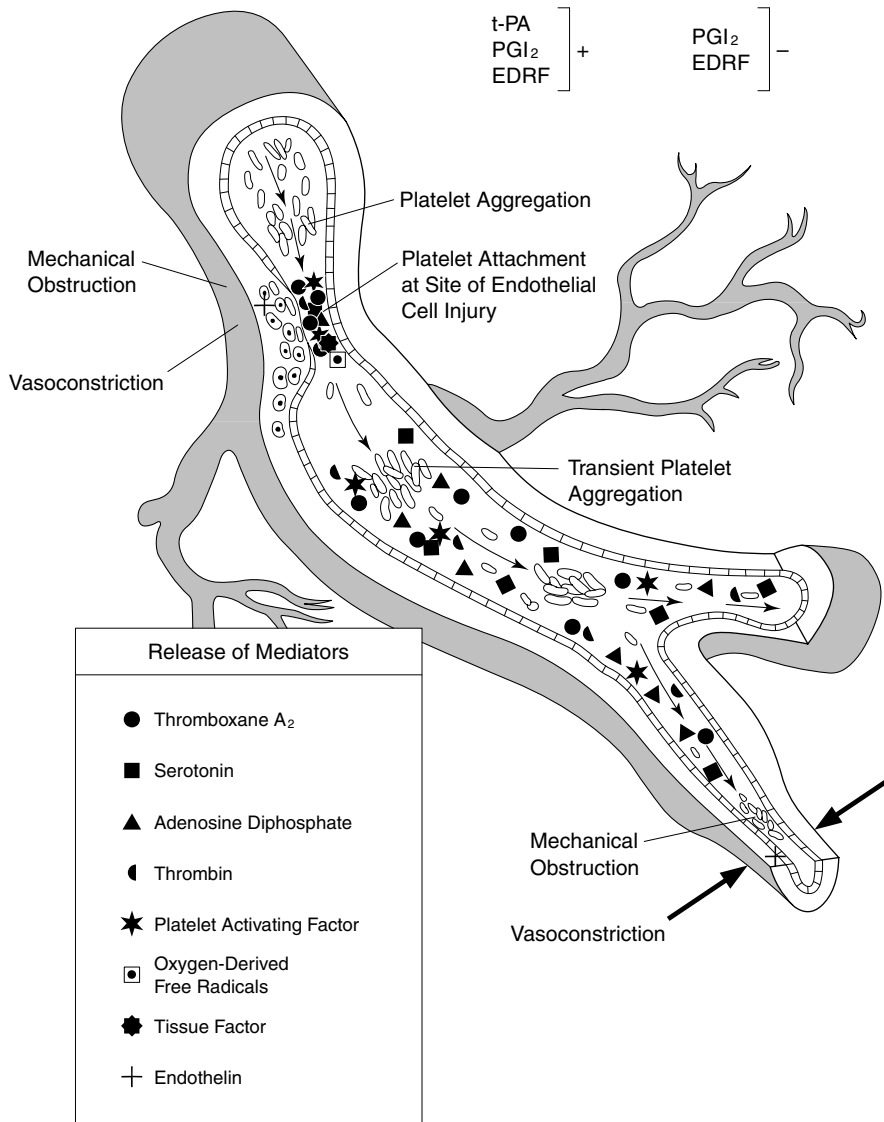


Fig. 66.1. Schematic diagram suggests probable mechanisms responsible for the conversion from chronic coronary heart disease to acute coronary artery disease syndromes. In this scheme, endothelial injury, generally at sites of atherosclerotic plaques and usually plaque ulceration or fissuring, is associated with platelet adhesion and aggregation and the release and activation of selected mediators, including thromboxane A<sub>2</sub>, serotonin, adenosine diphosphate, platelet-activating factor, thrombin, oxygen-derived free radicals, and endothelin. Local accumulation of thromboxane A<sub>2</sub>, serotonin, platelet-activating factor, thrombin, adenosine diphosphate, and tissue factor promotes platelet aggregation. Thromboxane A<sub>2</sub>, serotonin, thrombin, and platelet activating factor are vasoconstrictors at sites of endothelial injury. Therefore, the conversion from chronic stable to acute unstable coronary heart disease syndromes is usually associated with endothelial injury, platelet aggregation, accumulation of platelet and other cell-derived mediators, further platelet aggregation, and vasoconstriction, with consequent dynamic narrowing of the coronary artery lumen. In addition to atherosclerotic plaque fissuring or ulceration, other reasons for endothelial injury include flow shear stress, hypertension, immune complex deposition and complement activation, infection, and mechanical injury to the endothelium as it occurs with coronary artery angioplasty and after heart transplantation. EDRF, endothelium-derived relaxing factor, PGI<sub>2</sub>, prostaglandin I<sub>2</sub>; t-PA, tissue-type plasminogen activator. (From Willerson JT: *Treatment of heart disease*. New York: Gower Medical, 1992; modified from Willerson JT, Golino P, Eidt JF et al. Specific platelet mediators and unstable coronary artery lesions. Experimental evidence and potential clinical implications. *Circulation* 1989; 80:198.

often provoked by endothelial injury and increasing shear stress and thrombus formation is monitored *in vivo* by measurement of blood flow (or a surrogate such as flow velocity) or assessed after death by histomorphometry of the thrombosis-covered luminal area. Another issue, when the thrombotic test is delayed several days after the administration of the gene, is the clinical relevance of providing antithrombotic protection beginning 1 or more days after the insult. Thrombosis in patients with atherosclerotic disorders is a dynamic process, posing a lifelong risk. Chronically recurrent cycles of thrombosis, thrombolysis, thrombus organization, and rethrombosis encroach on the vascular lumen over the course of months and years and provide a myriad of stimuli for VSMC and ECM accumulation. In addition, whether involving heart, brain, or other structures, the final trigger of tissue death caused by the atherosclerotic process is formation of an occlusive thrombus. Thus, we believe that more durable and continuous antithrombotic protection may exert benefits beyond those provided by antithrombotic drug therapy during the first 24 h after the iatrogenic insult. Vectors that can express foreign genes within hours of their delivery have been described, but presently often have the trade-off of very short-lived expression<sup>9</sup>.

### Gene transfer techniques

Endothelial injury and dysfunction result in local deficiency of vasoprotective molecules, including prostacyclin (PGI<sub>2</sub>), NO, tissue-type plasminogen activator (tPA), thrombomodulin, and tissue factor pathway inhibitor (TFPI), with an attendant risk of thrombosis. Endothelial proteins synthesizing vasoprotective molecules, such as cyclooxygenase-1 (COX-1) and endothelial nitric oxide synthase (eNOS), are membrane-bound and synthesize autacoids with short half-lives. Thus, restoration and augmentation of these autacoids by local gene transfer of crucial enzymes to sites of endothelial injury appears to be a physiologically sound strategy. In contrast to pharmacological approaches, local gene transfer techniques restrict the presence of a potentially therapeutic protein to the vascular site at risk, while extending in time the effect of a single administration of the therapeutic precursor, the DNA encoding a potentially beneficial protein. Genes transferred to the arterial wall to restore or augment the endogenous antithrombotic defence include COX-1 (catalysing PGI<sub>2</sub> synthesis), thrombomodulin (enhancing protein C activation), tPA (generating plasmin), and most recently TFPI, which inhibits factor Xa and the tissue factor/factor VIIa complex.

### Gene transfer of thrombomodulin

Thrombomodulin, an endothelial transmembrane protein containing six tandemly repeated EGF domains, binds thrombin and its active precursors, meizothrombin and desmeizothrombin. After binding to thrombomodulin, thrombin loses its affinity for fibrinogen and, concurrently, becomes a potent activator of circulating protein C, which inactivates factors Va and VIIIa. Compared to thrombin alone, protein C activation is accelerated 20000-fold by the endothelial thrombomodulin–thrombin complex<sup>10</sup>. Luminal surface expression of thrombomodulin decreases after perturbation of endothelial cells, after removal of endothelial cells (during angioplasty), and in saphenous veins after harvesting the vein<sup>11</sup>. Systemic infusion of recombinant thrombomodulin and of recombinant activated protein C prevents thrombosis and accelerated thrombolysis in animal models of arterial injury<sup>12</sup>.

Shenag's group has described a rabbit arterial stasis/minimal injury model designed to study prevention of small vessel thrombosis at sites of anastomoses<sup>13</sup>. In its adaptation to gene therapy studies, division of the common femoral artery was followed by microsurgical reanastomosis of the segments and adenovirally mediated gene delivery to the reanastomosed vessel. Three days later, two perpendicular sutures loops were loosely placed through the lumen distal to the anastomosis and animals were allowed to survive for an additional 3 days. At death, the thrombus area was assessed by quantitative histomorphometry. In this model of 'microvascular thrombosis', the common femoral artery was targeted by adenoviral vector injection through the inferior epigastric artery. Trauma to the endothelium was deliberately avoided. Local endothelial gene transfer of thrombomodulin in this anastomosis model reduced arterial thrombosis formation compared to viral and nonviral controls<sup>14</sup>. By histological analysis, delivery of the viral suspension buffer alone and of a viral control vector was associated with thrombi occluding  $71 \pm 4\%$  and  $87 \pm 3\%$  of the lumen, respectively. In contrast, adenoviral delivery of the thrombomodulin gene (titre of  $3 \times 10^9$  pfu/ml) reduced the thrombus area to  $29 \pm 3\%$  and significantly decreased local infiltration of granulocytes and macrophages compared to nonviral and viral control. Thrombus was exclusively assessed postmortem<sup>14</sup>. No information was provided about dynamic changes in thrombus formation over time or under the influence of added prothrombotic stimuli, such as systemically administered epinephrine (see below).

## Gene restoration of tPA

Some of the first reports of gene transfer of plasminogen activators against arterial thrombosis were made by Dichek et al.<sup>15</sup>. Using recombinant retroviral vectors, these co-worker transduced cultured baboon endothelial cells *ex vivo* with tPA and a urokinase-type plasminogen activator variant (a-UPA). The transduced endothelial cells were instilled into exteriorized arteriovenous femoral shunts grafted with collagen. The authors were able to show a reduction in <sup>111</sup>In-labelled platelet deposition in areas covered by 'sparsely attached' endothelial cells over-expressing tPA. However, in a follow-up paper, the authors reported that the transduced endothelial cells were rapidly lost from the luminal surface. Plasmin, generated under influence of the transgene product itself (tPA, a-UPA), severely comprised the anchorage of the endothelial producer cells<sup>16</sup>.

Gerard et al. reported in a mouse model that intravenous injection of an adenovirus encoding wild-type and a plasminogen activator inhibitor-1 (PAI-1)-resistant variant of tPA human to mice with inactivated tPA gene achieved restoration of circulating tPA<sup>17</sup>. Typically, systemically injected adenovirus localizes to >95% in the liver from which the secreted transgene product enters the blood stream<sup>18</sup>. In the mice injected with the viral construct, plasma levels of tPA antigen and activity were increased approximately 1000-fold above normal levels. The lysis of 125I-fibrin-labelled pulmonary emboli closely correlated with plasma tPA activity and clot lysis was obtained after injection of adenoviral constructs encoding wild-type and inhibitor-resistant tPA. Adenovirus-mediated tPA gene transfer was found to augment clot lysis as early as 4 h after infection, but expression was lost within 7 days. The authors concluded that adenovirus-mediated transfer of a tPA gene can effectively increase plasma fibrinolytic activity and either restore (in t-PA-deficient mice) or augment (in PAI-1-overexpressing mice) the thrombolytic capacity in this animal model<sup>17</sup>.

While these mice experiments serve as a corollary to the physiological importance of circulating tPA, they do not enhance our understanding of the potential of local tPA gene therapy for the prevention of arterial thrombosis. This issue was directly addressed in recent study by Waugh et al. in the rabbit stasis-minimal injury model<sup>19</sup>. An adenoviral construct encoding human wild-type tPA was delivered to the minimally injured common femoral artery of New Zealand White rabbits. Three days later, thrombus formation was initiated by transection of the artery, immediate re-anastomosis and placement through the lumen of two perpendicular 8.0 silk loops distal to the anastomosis. After

death on day 6 (3 days after placement of the anastomosis), the cross-sectional area of thrombotic obstruction was found to be  $68 \pm 8$ ,  $80 \pm 6$ ,  $61 \pm 6$  and  $19 \pm 2\%$  for rabbits treated locally with, respectively, buffer, control virus, a systemic rtPA infusion, and Ad-tPA ( $5 \times 10^9$  pfu/ml). Systemic hemostatic variables and plasma fibrin split products were unchanged from baseline. In this study, local expression of tPA was more effective than a systemic tPA infusion and completely spared the systemic hemostatic system<sup>19</sup>.

## Gene transfer of cyclooxygenase-1 to sites of severe arterial injury

Like tPA and NO, prostacyclin (PGI<sub>2</sub>) is a vasoprotective molecule constitutively expressed by the healthy endothelium. PGI<sub>2</sub> is a potent platelet inhibitor and vasorelaxant molecule that acts on platelets on the luminal side and on VSMC underneath the endothelium. It inhibits platelet secretion and aggregation, relieves vascular contraction, suppresses VSMC proliferation, reduces lipid accumulation in mononuclear and VSMC, and is reported to block the interaction between monocytes and endothelium<sup>20,21</sup>. Its actions involve receptor-mediated activation of adenylyl cyclase, leading to increased intracellular cAMP levels. Attempts to prevent thrombosis by systemic infusion of prostacyclin or its stable analogues have been unsatisfactory because of unacceptable side effects.

COX-1 is considered to be the key rate-limiting step in endothelial PGI<sub>2</sub> synthesis<sup>22</sup>. Maintenance of physiological levels of PGI<sub>2</sub> requires continuous synthesis of this autacoid by COX-1 and other enzymes. Like its product, however, COX-1 has a relatively short half-life (about 7 min) due to autoinactivation, i.e. accelerated degradation during catalysis of PGI<sub>2</sub>. Thus, maintenance of physiological PGI<sub>2</sub> levels requires continuous synthesis of COX-1 and is exquisitely vulnerable to endothelial insults<sup>22</sup>.

In order to overcome the limitations of PGI<sub>2</sub> synthesis, we constructed an adenovirus encoding COX-1 (Ad-COX-1). Compared to a control vector and buffer only, gene transfer of COX-1 enhanced PGI<sub>2</sub> synthesis in cultured VSMC and in balloon injured porcine carotid arteries about fivefold. When porcine carotid arteries were treated for 30 min with Ad-COX-1 at a relatively high titre ( $6 \times 10^{10}$  pfu/ml) immediately after balloon injury (5 inflations at 7 atm), cyclic flow variations (CFVs), caused by recurrent embolizing thrombi, were completely prevented for 10 days after surgery (as observed on continuous blood flow velocity recordings) and no thrombi were seen at death, suggesting that local enhancement of PGI<sub>2</sub> synthesis is

highly effective against platelet-rich thrombosis at sites of severe arterial injury<sup>23</sup>.

### Gene restoration of tissue factor pathway inhibitor

Recently, we<sup>24</sup> and others<sup>25</sup> have begun to study the anti-thrombotic efficacy of gene transfer of tissue factor pathway inhibitor (TFPI). TFPI is an endogenous inhibitor of factor Xa and of tissue factor (TF), the cellular initiator of thrombin generation and blood coagulation in hemostasis and thrombosis<sup>26</sup>. TF-driven thrombin generation plays a pivotal role in arterial and venous thrombosis, leads to activation of enzymes involved in neointima formation<sup>27</sup>, and is a potent VSMC mitogen<sup>28</sup>. Factor Xa is a VSMC mitogen as well<sup>29</sup>. Up-regulation of TF contributes importantly to the thrombogenicity of the atherosclerotic plaque<sup>30</sup>. Although systemic administration of TFPI has been studied as a new approach to the prevention of thrombosis, and restenosis<sup>31–33</sup>, it is uncertain whether short-term administration of recombinant TFPI will achieve lasting vasoprotection, particularly at sites of increased tissue factor burden. In addition, while continuous administration of antithrombin agents, including desirudin, may promote long-term patency of injured vessel<sup>34</sup>, hemorrhagic risks are inevitable and the systemic doses of recombinant human TFPI capable of preventing arterial thrombosis and, potentially, restenosis are substantial (100 (g/kg/min in<sup>32</sup>), raising further questions on the practicality of a systemic dosing approach. The need for such a high dosage has substantially tempered the enthusiasm for the development of recombinant TFPI for the treatment of arterial thrombotic disorders.

In order to study the effects of TFPI gene transfer on thrombus formation at sites of severe arterial injury, porcine carotid arteries were balloon-injured and locally treated with an adenovirus encoding human wild-type TFPI (Ad-TFPI) or a control virus. Gene transfer of TFPI was confirmed by detection of human TFPI in the conditioned medium of porcine carotid arteries kept in culture after *in vivo* vector delivery. When carotid flow was measured by Doppler probes 5 days after surgery, cyclic flow variations (CFVs) developed in seven of eight control pigs after constriction of the injured carotid artery by 40%, and all control treated arteries occluded after 70% constriction. In contrast, CFVs were observed in only one of eight Ad-TFPI treated pigs after 40% constriction, and only three of eight occluded after constriction by 70% ( $P=0.0027$  and  $P=0.007$ , respectively). None of the five TFPI-transduced arteries open after 70% constriction developed CFVs

during an incremental epinephrine infusion. A detailed analysis of coagulation variables and BT on the day of the thrombosis challenge (day 0) illustrates the potential of local arterial gene therapy to exert vasoprotection in the complete absence of systemic effects. Indeed, neither coagulation variables nor BT were significantly different between the Ad-TFPI and the control group and between baseline (day 0) and day 5. Platelet aggregation was completely conserved after local treatment with Ad-TFPI. Whether overexpression of TFPI and COX-1 at sites of increased thrombogenicity will provide lasting protection against thrombus formation and reduce the degree of neointima formation needs to be determined in future experiments.

### Gene therapy to prevent fibroproliferative lesions

Local cell proliferation and migration, apoptosis, vascular remodelling, ECM deposition, and episodes of recurrent clinical and subclinical platelet deposition and thrombosis determine the magnitude of neointima formation and restenosis after percutaneous revascularization<sup>6</sup>. Vascular injury during PTCA/stenting stimulates proliferation and migration of vascular smooth muscle cells (VSMC) and the deposition of ECM by the 'activated' VSMC<sup>35,36</sup>. This role of VSMC in the restenotic process was first recognized in a rat carotid injury model. In this model, VSMC begin to proliferate within days after injury and soon migrate to the intima, helped by their production of hyaluronidase, urokinase, matrix metalloproteinases (MMP) and perhaps tPA<sup>37,38</sup>. There, the VSMC proliferate further and synthesize ECM and other paracrine and autocrine growth factors and cytokines, amplifying the cellular and non-cellular responses to injury. After 3–6 months, 90% of the neointimal cell population are VSMC. However, the bulk of VSMC constitutes only 20% of the neointimal mass, with most of the rest being ECM<sup>37,39,40</sup>.

The growth of VSMC and extracellular matrix deposition are driven by a variety of growth factors, including bFGF<sup>41</sup>, PDGF<sup>42–44</sup>, TGF- $\beta$ <sup>45</sup>, IGF-1<sup>46</sup> and angiotensin II<sup>47</sup>. Loss of endothelial inhibitors of VSMC proliferation and inhibitors of collagen and proteoglycan synthesis, like NO, shift the balance further towards proliferation and migration of VSMC and the accumulation of ECM<sup>48,49</sup>.

The main cellular target of antiproliferative gene therapy to date has been VSMC. The proteins encoded by the gene vector include cell cycle-regulatory proteins that inhibit entry or progression of the cell cycle ('cytostatic gene products'), or cause cell cycle exit by programmed cell death

and elimination of the VSMC ('cytotoxic or apoptotic gene products'). Other transferred genes encode endothelial proteins with diverse functions, some of which affect the cell cycle machinery. Others suppress VSMC proliferation by accelerating endothelial healing of the injured vascular site, making available to the injured site the broad range of endothelial VSMC growth inhibitors. Using gene transfer techniques, the cell cycle inhibitory proteins can be overexpressed at the site of injury (e.g. adenovirus-mediated overexpression of p21 or Rb). Alternatively, the expression of cell cycle-promoting proteins can be blocked by the delivery of specific, short DNA sequences. These specific, short DNA sequences function by either decoy or antisense mechanism (see below). Both are intended to inhibit the translation of specific mRNA sequences and, thus, the synthesis of cell cycle regulatory proteins. While this latter strategy is attractive in principle, there is some concern about the specificity of the target(s) sequence inhibited by decoy and especially antisense oligodeoxynucleotides ('ODN'). On the other hand, this approach may avoid potential side effects related to the overexpression of cell cycle proteins with, as yet, unknown functions and risks.

### Inhibiting cell cycle

In order to block the entry into the cell cycle and replication of the cell, a variety of gene transfer approaches are under investigation. Chang et al.<sup>50</sup> showed in a rat and porcine balloon injury model that adenovirus mediated transfer of a mutant gene encoding a hypophosphorylated retinoblastoma significantly reduced the intima-media ratio compared to non-treated or control-treated animals. This mutant is constitutively active and binds to and blocks E2F, a family of transcription factors that plays a major role in S-phase entry of the cell. An alternative approach uses the overexpression of the endogenous cell-cycle-dependent kinase inhibitors protein, p21<sup>51,52</sup>, p16<sup>52,53</sup>, and p27<sup>54</sup>. These proteins act upstream of Rb and function by maintaining Rb in a hypophosphorylated (inactive) state<sup>55</sup>.

Gax is a homeobox gene normally expressed by vascular smooth cells that is down-regulated following vascular injury<sup>56</sup>. Overexpression by gene transfer of this gene prevents neointimal hyperplasia, but does not affect re-endothelialization and vasomotion<sup>57</sup>. Inhibition of cellular *ras* has also been reported to reduce neointimal thickening in a rat carotid injury model<sup>58,59</sup>.

### Promoting apoptosis

The Herpes simplex virus thymidine kinase (HstK) is toxic when combined with ganciclovir administration. Transduced cells, expressing the thymidine kinase, phosphorylate inactive ganciclovir into the active compound, ganciclovir phosphate, which inhibits DNA polymerase (thereby blocking DNA synthesis) and results in apoptosis of the transduced cells. Using the adenovirally mediated HStk gene transfer strategy, originally developed as an approach to cancer treatment, neointimal proliferation was reduced by 50% in rat and porcine arterial injury models<sup>60,61</sup>. Importantly, adenovirus-mediated transfer of the HStk gene inhibited neointima formation in hyperlipidemic rabbit iliac injury model, suggesting that approach may be effective in truly atherosclerotic arteries<sup>62</sup>. Adenovirus-mediated gene transfer of cytosine deaminase and co-administration of 5-fluorocytosine showed similar results but may be associated with more toxicity<sup>63</sup>.

An innovative approach is inhibition of neointima formation by transfer of proapoptotic genes. Gene transfer of the Fas ligand (activating FAS-dependent apoptosis) was shown to markedly suppress growth of cultured rat VSMC and neointima formation in a rat carotid balloon injury model at unusually low adenoviral doses ( $8 \times 10^{10}$  pfu/ml)<sup>64</sup>. Coadministration of Ad-FasL with an adenoviral vector transferring an *E. coli* LacZ 'reporter' gene (encoding the enzyme, betagalactosidase, which is easily visualized by a histochemical reaction) results in markedly prolonged expression of the transferred beta-galactosidase, suggesting a role for the FasL-triggered death pathway in suppressing the immune response elicited by adenovirus-mediated gene transfer. Taking another approach, we recently reported that gene transfer of the transcription factor, E2F-1, induced S-phase entry in serum deprived human coronary VSMC, followed by rapid development of apoptosis and marked growth suppression of the VSMC. In vitro, we found that human umbilical venous endothelial cells also underwent apoptosis after transfer of E2F-1<sup>65</sup>. Losardo et al. reported that adenovirus-mediated gene transfer of E2F-1 protected cultured bovine endothelial cells from TNF-induced apoptosis and, at sites of balloon injury in rat carotid arteries, promoted endothelial regrowth and reduced neointima formation<sup>66</sup>. These apparently contradictory in vitro findings warrant clarification. Nonetheless, we have also observed marked suppression of neointima formation (in the absence of pseudoaneurysm formation) in balloon injured carotid arteries of hyperlipidemic rabbits at 28 days after the intervention<sup>67</sup>. Thus, gene transfer of E2F-1 may deserve further study as an approach to the prevention of neointima formation after vascular injury.

### Antisense oligodeoxynucleotides and decoy sequences

Expression of genes involved in cell cycle initiation and progression can be inhibited by introduction into the host nucleus of DNA sequences that contain short 'consensus' sequences, i.e. sequences that bind and inhibit several (or all) members of a family of transcription factors. An example for this strategy is the transfection into cells of double-stranded E2F decoy sequence that inhibits expression of transcription factors of the E2F family. Transfection of an E2F decoy sequence into balloon-injured rat carotid arteries markedly suppressed neointima formation<sup>68</sup>.

### Antisense oligonucleotides

Antisense oligonucleotides are short DNA sequences designed to bind and render silent specific mRNA sequences. The synthetic antisense oligonucleotides are complementary to the mRNA encoded by the gene and their mechanism of action is complex involving hybridization of mRNA and the synthetic oligonucleotide, activation of ribonucleases H and L and elimination of the mRNA<sup>69</sup>. Oligonucleotides against transcriptional or regulatory proteins have been designed, including those binding to the mRNA of c-myc, Cdk2, NFκB and others. Local administration of antisense oligonucleotides directed against cell cycle-promoting factors, including proliferating cell nuclear antigen (PCNA, an essential cofactor for the principle DNA polymerase, pol-δ), the cell cycle-dependent kinase 2 (Cdk2), and other cell cycle promoting factors were reported to inhibit the proliferation of VSMC *in vitro* and formation of intimal hyperplasia *in vivo*<sup>70-80</sup>. In a rabbit vein graft model, a combined administration of antisense ODN against PCNA and Cdk2 inhibited neointimal formation and atherosclerosis hyperplasia<sup>81</sup>. This latter strategy has been tested in the first human trial of gene therapy against vein graft deterioration (see below). Problems with the transfection of antisense ODN include their stability within the cell, their specificity and mechanism of action, and possible effects related to the liposomal transfection method itself<sup>69,82</sup>. A somewhat related approach (aiming at silencing the mRNA of target proteins) is delivery of ribozymes (especially of the hammerhead type) to the arterial wall. This approach has been studied in the vasculature only preliminarily<sup>83-85</sup>.

### Gene therapy inhibiting migration of VSMC

Another approach to the prevention of neointima formation involves the blockade of signals promoting VSMC migration. Like hyaluronidase, plasmin facilitates migration of VSMC through the ECM. Plasminogen activator-inhibitor-1 (PAI-1) is the endogenous inhibitor of the plasminogen activators, urokinase (UPA) and tPA. Carmeliet et al. demonstrated that systemic adenovirus mediated gene transfer of PAI-1 to mice with inactivated PAI-1 gene reduced neointima formation in injured carotid arteries from a cross-sectional luminal reduction ('restenosis') of 35% in the control vector treated animals to 5% in the Ad-PAI-1-treated animals<sup>86</sup>. On the other hand, the same vector (Ad-PAI-1) did not inhibit neointima formation after coronary balloon injury in normal pigs<sup>87</sup>.

An essential component in the pathogenesis of the neointima in injured arteries and vein grafts is migration of cells, especially VSMC, through the ECM towards the vascular lumen. This process is markedly facilitated by the enhanced synthesis matrix metalloproteinases (gelatinases, MMP), especially MMP-2 and MMP-9<sup>88-93</sup>. Several groups have reported that gene transfer of TIMP-1 and -2 to injured rat balloon arteries and saphenous veins kept in organ culture markedly inhibited neointima formation<sup>89,94,95</sup>.

### Promoting nitric oxide synthase (NOS) and vascular endothelial growth factor (VEGF)

Nitric oxide (NO) is a regulator of vasomotion, platelet aggregation, VSMC proliferation and migration, adhesion of monocytes, and promotes the synthesis of vascular endothelial growth factor (VEGF). At high concentrations (such as those produced by the inducible form of NOS, iNOS), NO impairs the function of many proteins by nitration of tyrosine residues and it induces p53 and apoptosis in VSMC and other cells<sup>96,97</sup>. NO (like PGI<sub>2</sub>) is reduced after vascular injury and can be restored by local gene transfer of eNOS. eNOS produces NO in a non-toxic, picomolar concentration, whereas iNOS produces NO in the nanomolar range. Gene transfer of eNOS has been conclusively shown to reduce neointimal formation in balloon-injured and stented rat carotid arteries and in balloon-injured porcine coronary arteries<sup>98-100</sup>. Endovascular and adventitial delivery of the NOS genes has been shown to restore vasorelaxation in carotid arteries of cholesterol-fed and Watanabe rabbits (the rabbit model of human familial hypercholesterolemia) and cerebral arteries in rats<sup>101-103</sup>. The potential of eNOS gene therapy (which was more

efficacious than ganciclovir) plus gene transfer of HStk in a head-to-head comparison of gene therapy for the prevention of neointima formation in balloon injured porcine coronary arteries<sup>87</sup>, is substantial and clinical trials are imminent. Gene transfer of *iNOS* was found to prevent restenosis after balloon injury, transplant arteriopathy, and may find other potential application<sup>104,105</sup>.

Gene transfer of VEGF and more recently, hepatic growth factor (hGF, scatter factor<sup>106</sup>) has been under intense investigation. VEGF not only promotes growth of new microvasculature, but also accelerates re-endothelialization of injured, denuded muscular and conductive arteries, thereby reducing restenosis<sup>107</sup> and, potentially, thrombosis (a hypothesis yet to be tested in appropriate animal experiments).

### Gene therapy and vein grafts

Veins are the most frequently used conduits to bypass stenotic arteries in the coronary or peripheral circulation.

With approximately 400 000 coronary bypass procedures (CABG) performed annually, CABG is the most commonly performed major operation in the USA. Although arterial conduits, such as the internal mammary artery, have a longer patency rate compared to grafted veins, saphenous vein grafts represent over 70% of grafts because of their plentiful availability, easy accessibility, and the simplicity of the harvesting procedure. Due to the higher pressures and pulsatility of the arterial circulation, and factors related to the surgical procedure (focal endothelial loss, ischemia of the graft), the grafted vein undergoes a characteristic sequence of adaptive changes after its insertion into the arterial circulation. In the presence of often persistent coronary risk factors, these 'adaptive' responses set the stage for the early and late graft failure. The suboptimal patency of vein grafts begins with a thrombotic occlusion rate of 8–18% within the first month. One year after surgery, 15–30% of vein grafts are stenosed and 50% of vein grafts are occluded at 10 years<sup>108–110</sup>. Repeated CABG procedures are associated with increased surgical risk and higher occlusion rates compared to the initial graft and now account for 10–20% of CABG surgery performed in the USA. Although relatively small trials have shown that aggressive lipid-lowering strategy, smoking cessation and control of hyperglycemia may have beneficial influences on late graft patency<sup>108,111,112</sup>, no pharmacological approach has reduced the incidence of vein graft failure to the single digit range.

### Implications for gene delivery

Some special considerations apply to transduction of vein grafts. Native veins are thin and weak. A single layer of endothelial cells is separated from the media by a rudimentary internal elastic membrane. The media consists of several layers of VSMC, which in general are circular oriented. However, longitudinally oriented VSMC are often found in the innermost layers. The adventitial tissue is composed of collagen, scattered VSMC, many elastic fibres and vasa vasorum. In contrast, the artery is constructed much more compactly. The thick media consists of VSMC and elastic fibres that enable the artery to resist high blood pressure and other forms of stress.

Three major mechanisms involved in the development of early and late vein graft failure are: thrombosis, fibrointimal hyperplasia, and graft atherosclerosis<sup>108</sup>.

Recurrent thrombosis plays a role in early (within 1 month) graft failure and the chronic progression of vein graft disease and is, in general, the immediate cause of vein graft-related myocardial infarction and ischemic death. Tissue factor has been shown, at least experimentally, to accumulate early in vein grafts<sup>113</sup>, and may play a role in all stages of vein graft deterioration. Endothelial cell loss and vessel injury also impair the availability of cell-based anti-thrombotic molecules, including thrombomodulin, PGI<sub>2</sub> and NO. Recurrent mural platelet thrombus formation contributes to narrowing and, often occlusion of the graft at all stages<sup>108</sup>.

Beyond the first month after surgery, intimal 'hyperplasia' becomes a prominent determinant of vein graft deterioration.

After the first year, the vein graft undergoes atherosclerotic changes very similar to those observed in native arteries, although the atherosclerotic process in vein grafts appears to be more diffuse and concentric. Atherosclerotic plaques developing in vein grafts seem to be weaker and thinner than in native arteries. Therefore, the risk of plaque rupture might be greater in vein grafts compared to arteries of comparable size and, in concert with the accumulation of TF in the vein grafts, may play a role in the higher thrombus burden observed in vein grafts compared to arterial conduits.

### Gene therapy to prevent vein graft deterioration

Treatment of vein grafts with gene vectors represents an opportunity to incubate the vessel for relatively long time periods (20–40 min) with the viral solution before

interpositioning the vein into the artery<sup>114</sup>. By limiting gene transfer to an ex vivo procedure, the risk of systemic virus distribution is probably very limited. However, the optimal vector for vein graft transduction is uncertain. Adenoviral vectors, currently the most efficient vectors for direct vascular gene transfer, circumvent the need for autologous cell preparation. However, at concentrations of  $5 \times 10^9$  pfu/ml, they elicit a marked inflammatory response, which may lead to cell death, tissue destruction, and accelerated neointima formation and in general limits foreign gene expression to 7–10 days. Thus, Channon et al. detected Ad-LacZ transduced endothelial cells and infrequently transduced medial VSMC in rabbit jugular vein grafts 3 days after intrasurgical gene delivery, but reported that transgene expression was markedly decreased by day 7<sup>115</sup>. Consequently, for the use of adenoviral vectors, the therapeutic ‘window of opportunity’ may involve a narrow dose range. In contrast ‘recombinant adeno-associated virus-based vectors’ (rAAV) are small (20 nm), appear to transduce all layers of the artery even in the absence of vascular injury<sup>116,117</sup>, elicit little, if any, inflammation, and can drive transgene expression for more than 18 months<sup>118,119</sup>. Like adenovirus-mediated gene transfer, rAAV-based gene transfer does not require dividing target cells. However, gene expression after rAAV is relatively slow in onset and no reports of rAAV-mediated LacZ expression within the first 6 days after vascular gene transfer are available.

Chen et al. were the first to report on adenovirus-mediated gene expression in a (porcine) vein graft in vivo<sup>114</sup>. Gene transfer involves in general ex vivo incubation of the rabbit jugular vein with the gene vector, division of the carotid artery, and insertion of the transduced vein in reversed fashion into the divided carotid artery with creation of end-to-end or end-to-side anastomoses to restore carotid flow. After surgery, rabbits are generally fed with 0.75–1% cholesterol-enriched food to allow the development of ‘atherosclerotic’ (lipid-infiltrated) neointimal lesion in the grafted jugular veins.

Mann et al. pioneered the use of antisense ODN targeting genes encoding cell-cycle proteins in the rabbit jugular vein graft model. Cdk2 and PCNA expression in grafted vein was found to be increased, respectively, ten and fivefold after surgery. Using liposomes complexed with proteins from the hemagglutinating virus of Japan (HVJ), a single ex vivo treatment of the grafts with the antisense ODN reduced expression of Cdk2 and PCNA by 90% compared to control treated animals and markedly suppressed formation of lipid-rich (‘atherosclerotic’) neointimal lesion formation<sup>81</sup>. Other approaches shown to be effective in inhibiting atherosclerosis/neointima formation in rabbit carotid grafts were ex vivo adenovirus-mediated

gene transfer of a specific inhibitor of G- $\beta$ , $\gamma$ <sup>120</sup> and a hypophosphorylated, constitutively active form of the retinoblastoma protein<sup>121</sup>.

## Conclusion

We predict that improved gene transfer vectors and simplified vector delivery systems should allow the clinician in the not too distant future to use gene therapy to prevent thrombosis and restenosis after (and potentially before) vascular injury.

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# Pharmacological modulation of the inflammatory actions of platelets

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## Cross-talk between inflammation and coagulation pathways

Blood clotting is a host defence mechanism that, together with inflammatory and repair processes, provides to preserve the integrity of the vascular system. There is much evidence for a cross-talk between inflammation and coagulation coming from both experimental and clinical evidence. In this respect, there is now a tendency to consider an inflammatory event as a prothrombotic status and to look for therapeutic agents that modulate both processes. The endothelium is the first site where the coagulation and inflammatory cascades interact. Under physiological conditions, vascular endothelium prevents coagulation and thrombosis by releasing inhibitors of platelet activation and potent vasodilators, such as prostacyclin (PGI<sub>2</sub>) and nitric oxide, counteracting the effect of procoagulant factors. Endothelial cells also synthesize a number of negatively charged molecules, such as glycoproteins, glycosaminoglycans, chondroitin sulfate and heparan sulfate, which contribute to a non-thrombogenic surface. On the endothelial surface, thrombomodulin (TM), a high affinity receptor for thrombin is also expressed. The complex thrombin–TM activates protein C (PC), a vitamin K dependent glycoprotein that circulates in plasma as zymogen. Once PC is activated, it forms a complex with a vitamin K-dependent cofactor, Protein S (PS) on endothelium. Activated Protein C (APC) plays a major role in regulating the coagulation and fibrinolysis by inactivating factor Va and VIIIa and by inactivating tissue-plasminogen activator inhibitor (t-PAI). Thus, APC inhibits coagulation and stimulates the fibrinolytic pathway<sup>1-4</sup>.

An endothelial protein C receptor (EPCR) has been identified and it seems to participate in the regulation of APC by binding to an exosite of APC and blocking the

ability of APC to inhibit the factor Va<sup>5,6</sup>. In the plasma, APC is slowly inhibited by complex formation with PC inhibitor or alpha 1 antitrypsin; on the other hand, thrombin by activating the protein C pathway, acts as a feedback inhibitor of its own production<sup>7</sup>. It is clear that the presence of TM on endothelial surface and the integrity of the PC pathway are critical for the correct balance between coagulation and fibrinolysis. However, when the endothelium is damaged, this balance is lost, precipitating a procoagulant state<sup>2,7,8</sup>.

In the complex events involved in inflammation, early produced cytokines strongly impair the balance between pro- and anti-coagulant factors. The major cytokines responsible for this impairment are TNF $\alpha$  and IL-1 $\beta$  which, by eliciting tissue factor (TF) production on endothelium and monocytes, provide an intravascular source of TF, causing activation of the extrinsic pathway of coagulation. At the same time, activation of Hageman factor by kallikrein leads to activation of the intrinsic coagulation pathway. Intrinsic and extrinsic pathways merge at a common point, e.g. the activation of factor X, and finally lead to fibrin formation. Fibrin clots, formed following activation of the coagulation system, has a temporary function; once damage has been repaired and blood loss arrested, the fibrin clot is removed and blood flow restored by activation of the fibrinolytic system. This latter phenomenon, known as fibrinolysis, also involves the release of substances from endothelial cells. In this case, the endothelium releases tissue plasminogen activator (t-PA), whose function is to convert plasminogen to plasmin; t-PA activity is modulated by tissue plasminogen activator inhibitor (t-PAI), also released by endothelial cells. The balance between t-PA and t-PAI is critical for the modulation of the fibrinolytic pathway<sup>1,9,10</sup>.

All the effects so far discussed are up-regulated by cytokines; however, cytokines are also responsible for the

down-regulation of TM and PC expression. Once TM is down-regulated, thrombin does not bind to it and the PC pathway is not activated thereby the balance is shifted towards a procoagulant state which may lead to critical thrombosis<sup>7</sup>.

Although it is not easy to dissect these two pathways that are so intimately related, the role of the coagulation system in several inflammatory disorders has been evaluated. There is much evidence that naturally occurring anticoagulants, such as APC, antithrombin III (AT III), TM and inhibitors of coagulation factors can improve symptoms of local and systemic inflammation. In particular, experimental sepsis has been considered as a paradigm to study the interplay between these two systems. PC activation plays a critical role in the host response to bacterial endotoxins and several studies have reported on the beneficial effect of low doses of APC on thrombosis or on disseminated intravascular coagulation (DIC) during endotoxemia. On the other hand, inhibition of PC by specific antibodies, before challenging animals with *E.coli* lipopolysaccharide, causes a more severe DIC<sup>11,12</sup>. Experimental evidence in animals has been confirmed by clinical studies demonstrating that APC supplementation has a beneficial role in human sepsis<sup>4</sup>. Furthermore, there are also data reporting a beneficial effect of ATIII supplementation in sepsis, based upon the observation that reduced ATIII levels in DIC are associated with increased mortality<sup>13</sup>.

Another interaction between the inflammation and coagulation pathways is represented by proteases involved in coagulation, such as factor Xa and thrombin, that mediate several biological effects through activation of specific receptors, termed protease activated receptors (PARs). In particular, thrombin has been shown to play a role in several inflammatory events, to stimulate cell proliferation and cytokine production. Similarly, factor Xa has been shown to have a proinflammatory effect through activation of its receptor EPR-1 (effector cell protease receptor-1)<sup>14,15</sup>.

In the light of this finding, which assigns a role to proteases beyond their 'procoagulant' effects, it is easy to understand why these molecules could represent a target for new anti-inflammatory drugs.

### Platelets in inflammation

Early evidence for the involvement of platelets in inflammation was already given in 1961 by Jancsó, who showed by microscopy that inflammation induced in rat paw by local injection of thrombin was accompanied by clumping of platelets together and to damaged endothe-

lium, polymerization of fibrinogen into fibrin strands and localization of microthrombi in capillaries and lymphatics<sup>16</sup>. In 1968, Wiseman and colleagues demonstrated that carrageenin-induced edema in the rat paw was characterized by fibrin deposition, inhibited by anticoagulant agents and increased by systemic administration of thrombin<sup>17</sup>. It is known that fibrin activates platelets through binding with specific receptors on the platelet surface. Since fibrin formation is strictly linked to platelet activation, inflammatory reactions that involve fibrin polymerization are also characterized by clumping of platelets in damaged organs.

Normally, platelets circulate freely in the blood, by mechanisms involving non-adhesive properties of endothelial cells<sup>18,19</sup>. However, when endothelium is damaged, such as during inflammation, the exposure of collagen fibres of the subendothelium, together with the loss of antihemostatic properties of endothelium at the site of injury, promotes platelet adhesion on to endothelium with subsequent activation. Platelet adhesion to endothelium is mediated by adhesion molecules expressed on both endothelial cells and platelets following stimulation by cytokines. P-selectin receptors expressed on the surface of both activated platelets and endothelial cells, as well as the presence of a receptor protein for P-selectin on monocytes and neutrophils promotes interactions among these cells. The protein P-selectin is normally present on the external membrane of platelet  $\alpha$ -granules and of Weibel-Palade bodies of endothelial cells, and translocates to the cell membrane soon after cell activation by thrombin or other platelet agonists<sup>20,21</sup>. Hence, P-selectin plays a pivotal role in regulating interactions among platelets, endothelial cells, monocytes and neutrophils. Indeed, appearance of P-selectin on platelets and endothelial cells precedes other adhesion molecule expression, and it is feasible that interactions among platelets, endothelial cells and neutrophils contributes to platelet translocation at the site of injury and to the release of proinflammatory mediators in the early phase of inflammation. Moreover, P-selectin can induce the expression of tissue factor on monocytes participating, in this way, to amplify activation of the coagulation cascade<sup>22</sup>. In this context, P-selectin plays an important role in the orchestration of the inflammatory response<sup>23</sup>.

Platelets have been shown to contain a number of cytokines that contribute to modifying endothelial function and participating in the spreading of an inflammatory lesion. Stimulated platelets express IL-1 $\beta$  on their surface, providing an early source of this cytokine at the site of injured endothelium. Through IL-1 $\beta$ , activated platelets induce the expression of intercellular adhesion molecule 1 (ICAM-1), IL-6, IL-8 and granulocyte monocyte-colony

stimulating factor (GM-CSF) in endothelial cells. These cytokines all contribute to leukocyte attraction and adhesion to endothelium<sup>24,25</sup>. While IL-1 remains associated to platelet membrane, other cytokines are stored in  $\alpha$ -granules and released upon platelet activation. Transforming growth factor beta (TGF- $\beta$ ) is an  $\alpha$ -granule product that promotes chemotaxis, inhibits endothelial cell proliferation, enhances synthesis of PAI-1 and increases the production of endothelin-1<sup>26-28</sup>. There is evidence that injection of TGF $\beta$  subcutaneously to experimental animals leads to granuloma formation suggesting a role for TGF $\beta$  in wound healing and tissue proliferation<sup>29</sup>. Alpha granules also contain PDGF that is the major mitogen associated with platelets and it plays a role in pathogenesis of atherosclerosis, by stimulating proliferation of arterial smooth muscle cells<sup>30,31</sup>. Moreover, PDGF plays an important role in the generation of granulation tissue during wound repairing, in inflammatory reactions and proliferative responses<sup>32,33</sup>. Another cytokine contained in  $\alpha$ -granules is the platelet factor 4 (PF4). PF-4 can neutralize the anticoagulant activity of heparin or compete for the binding to AT III<sup>34,35</sup> and stimulates histamine release from basophils<sup>36</sup>. Platelet  $\alpha$ -granules are also a source of the secreted form of phospholipase A<sub>2</sub> (PLA<sub>2</sub>; 14 kDa), an enzyme that has been found in several inflammatory exudates<sup>37</sup> and shown to be proinflammatory<sup>38</sup> or to exacerbate an ongoing inflammation<sup>39,40</sup>.

As well as being factors secreted by  $\alpha$ -granules, platelets also release the source of other substances, mostly amines released following degranulation of dense granules. In this respect, platelets, as inflammatory cells, have been compared to mast cells. Like mast cells, upon activation they degranulate and release a wide array of inflammatory mediators that can cause flogosis when injected locally in experimental animals<sup>41,42</sup>.

Platelets also synthesize lipid mediators *ex novo*, such as eicosanoids and platelet activating factor (PAF). Among eicosanoids, thromboxane A<sub>2</sub> (TXA<sub>2</sub>) is the major product of the metabolism of arachidonic acid in platelets, and is synthesized following platelet activation and causes platelet aggregation and degranulation. At the site of injury, TXA<sub>2</sub> formed by activated platelets contributes to stabilizing platelet aggregates. TXA<sub>2</sub> has been also shown to cause smooth muscle contraction and thus it provides local vasoconstriction<sup>43</sup>.

PAF is a lipid mediator produced by platelets and a wide array of inflammatory cells, and it is one of the most potent inflammatory mediators of lipid origin. Injection of PAF into experimental animals causes a variety of responses, some of them involve platelet activation, while others are independent of platelets. PAF has been shown to be a potent bronchoconstrictor agent; given intravenously to

experimental animals, PAF-induced bronchoconstriction is dependent upon platelet activation, indeed in animals depleted of platelets, or in animal species whose platelets are unresponsive to PAF, this reaction is prevented. However, PAF also causes airway hyper-responsiveness when given by aerosol<sup>44,45</sup> and it increases vascular permeability in the acute inflammatory reaction<sup>46,47</sup>, in sepsis<sup>48</sup> and in gastrointestinal inflammation<sup>49,50</sup>. PAF is also an eosinophil chemoattractant<sup>42</sup>.

Cooperation between platelets and polymorphonuclear leukocytes for production of proinflammatory mediators<sup>51-53</sup> and between platelets and monocytes for protection against parasites<sup>54,55</sup> has also been shown. However, platelets contribute to inflammation not only via the release of a wide range of mediators, but also via the ability to kill mycobacteria and bacterial endotoxins, providing protection against invading organisms<sup>56,57</sup>.

Direct evidence for the involvement of platelets in inflammation comes from studies performed using labelled platelets that have shown platelet accumulation in the inflamed area after local administration of an irritant<sup>58</sup>. An increased platelet response to aggregating agent has also been shown for platelets obtained from rats injected in the paw with carrageenin<sup>59</sup> or from humans affected by asthma<sup>60</sup>, psoriasis<sup>61</sup> or intestinal tuberculosis<sup>62</sup>.

There is much evidence for an involvement of platelets in sepsis. In particular, a decrease in platelet count has been shown several hours after endotoxin administration, and thrombocytopenia has been shown to be correlated to clumping of platelets in liver and spleen<sup>63,64</sup>; platelet responsiveness following endotoxin administration to rats is reduced<sup>65</sup>. Anticoagulant agents have been shown to ameliorate shock symptoms as well as to prevent thrombocytopenia, outlining the importance of platelets in the organ damage associated with bacterial endotoxemia<sup>11,66</sup>.

Furthermore, platelet depletion has been shown to protect animals from both allergic and non-allergic inflammation<sup>41</sup>. Moreover, it has been demonstrated that paw edema development in mice is inhibited after splenectomy<sup>67</sup>.

Platelets also represent a surface for clotting factor activation and they are required for optimal expression of clotting activity. Factor V released from  $\alpha$ -granules binds to platelet surface and, together with anionic phospholipids exposed, functions as a binding site for factor Xa. An analogous system involves the binding of factor IX and factor VIII that in presence of membrane phospholipids converts factor X to Xa on platelet surface. Thus, platelets are involved in inflammation either as a source of inflammatory mediators and as a surface for coagulation. Moreover, by interacting with other cells platelets contribute to amplifying proinflammatory signals<sup>2,8</sup>.

In the light of this knowledge, the mechanism by which several agents are beneficial in inflammation has been revised and it has been now recognized that inhibition of platelet activation or the neutralization of platelet derived mediators might be useful in preventing tissue damage during an inflammatory state.

### Platelet thrombin receptor(s)

Thrombin signalling to cells is mediated through a family of G-protein coupled receptors, designated as PARs (*protease activated receptors*). The first identified was the thrombin receptor PAR-1 that presents, together with other PARs, a peculiar mechanism of autoactivation. Following proteolytic cleavage by thrombin, between a residue of Arg-41 and Ser-42, a new N-terminus peptide is unmasked which acts as a tethered ligand. Synthetic peptides containing a minimum of five residues (SFLLR) of the tethered ligand can activate the receptor, even in the absence of proteolysis. The mechanism of PAR-1 activation is irreversible and the tethered ligand generated cannot diffuse away from the receptor. Up to now, four PARs have been identified: PAR-1, PAR-3 and PAR-4 can be activated by thrombin, while PAR-2 is activated by trypsin, trypsinase and factor Xa<sup>68</sup>.

Thrombin receptors on platelets and the requirement of receptor activation to trigger platelet responses vary among species. Evidence that PAR-1 blocking antibodies inhibited human platelet activation induced by low, but not by high, thrombin concentrations, and that PAR-1 deficient mice responded like wild type mice to thrombin, has led to the discovery of PAR-3 and PAR-4<sup>69,70</sup>. Human platelets have both PAR-1 and PAR-4<sup>71</sup>; in contrast, mouse platelets express PAR-3 and PAR-4, but not PAR-1<sup>72-74</sup>. In human PAR-1 and in mouse PAR-3 are high affinity thrombin receptors, while in both species PAR-4 is a low affinity thrombin receptor. Recent evidence suggests that either in humans or in mice activation of both receptors is necessary to trigger a full platelet response<sup>71</sup>. PAR-1 activation causes an early, transient response, while PAR-4 activation is responsible for a late, prolonged signal<sup>75</sup>. The existence of two different thrombin receptors on human platelets might explain why synthetic peptides activating PAR-1 or PAR-4 are not able to trigger the same platelet response caused by thrombin. Evidence that peptides activating PAR-1 inhibit thrombin-mediated rat platelet aggregation suggests the presence of a modified PAR-1 in rats rather than a total absence of this type of receptor<sup>76</sup>.

Platelet activation by thrombin has been extensively studied and it includes aggregation, degranulation and

stimulation of platelet procoagulant activity. Besides thrombin, other platelet agonists, such as collagen and calcium ionophore, A23187, stimulate platelet procoagulant activity, measured as an increase of platelet phosphatidylserine and phosphatidylethanolamine exposure<sup>77</sup>.

On human platelets, PAR-1 and PAR-4 contribute differently to mediate thrombin effects; PAR-1 activation by thrombin is mainly responsible for platelet activation, calcium flux and clotting system activation, while PAR-4 activation leads to a less potent platelet response<sup>78</sup>. In this respect, since activation of the coagulation cascade is an important event in the development of inflammation, it is feasible that PAR-1 more than PAR-4 plays a major role in inflammation. This hypothesis is consistent with experimental evidence demonstrating a role for PAR-1 in the inflammatory response triggered by thrombin<sup>15</sup>.

The knowledge on the proinflammatory effect of thrombin has been greatly improved only following identification and cloning of the thrombin receptor and its activation by small synthetic peptides mimicking the sequence of the tethered ligand. In 1996, it was possible to demonstrate that thrombin acts as a mediator of inflammation, through activation of PAR-1<sup>79</sup>. Further studies have shown the involvement of PAR-1 even in other proinflammatory responses to thrombin. Indeed, PAR-1 mediates thrombin-induced bronchoconstriction in guinea-pig but not in rats, whose platelets lack PAR-1<sup>80</sup>.

In 1990 Ferrer-Lopez et al. demonstrated that cathepsin G released by activated neutrophils stimulates human platelets and reduces platelet response to thrombin<sup>81</sup>. The mechanism at the basis of the cathepsin G effect seems to be due to its ability to cleave PAR-1, creating a 'disarmed' receptor unable to be activated by thrombin<sup>82</sup>. Recently, it has been shown that PAR-4 is involved in the cathepsin G effect; PAR-4 activated by cathepsin G released by neutrophils, mediates human platelet secretion and aggregation<sup>83</sup>. This finding suggests a role for PAR-4 in inflammation, by mediating neutrophil-platelet interaction at the site of injury.

An interaction between PAR-1 and aprotinin has been recently demonstrated<sup>84</sup>. It has been shown that aprotinin inhibits thrombin-induced platelet activation by preventing PAR-1 cleavage by thrombin. There is much literature on the protective role of aprotinin in diseases such as sepsis. Thus, in the light of these new findings, it is feasible that the mechanism involving PAR-1 inhibition is at the basis of the aprotinin protective effect.



## Drugs affecting inflammatory functions of platelets

Evidence that platelets are involved in inflammation has led to platelets being considered as targets for anti-inflammatory agents.

### Antiserotonergic and antihistaminergic drugs

Biogenic amines, histamine and serotonin, participate in the vascular events characteristic of the early phase of an immune or non-immune inflammatory reaction, both in humans and in experimental animals<sup>85–87</sup>.

Although mast cells have been implicated as the main source, there is much evidence that also platelets are source of biogenic amines at the site of injury. Platelet accumulation at the site of injury has been demonstrated either in carrageenin-induced edema, or in zymosan-induced pleurisy. Platelet derived serotonin has been implicated not only in acute but also in chronic inflammation, both in humans and in experimental animals<sup>85,86</sup>, as well as in pain associated with inflammation<sup>88,89</sup>.

Among different species, release of histamine by platelets has been demonstrated in pig, rabbit and humans<sup>90,91</sup>. Platelet histamine content has been shown to be increased in humans affected by peripheral vascular disorders<sup>92</sup>.

Antiserotonergic and antihistaminergic drugs are effective in the early phase of inflammation either in carrageenin-induced rat paw edema or in zymosan-induced rat pleurisy, at a time when indomethacin is not effective<sup>87,93</sup> and when platelet accumulation occurs at sites of inflammation. Thus, it is feasible that in the early stage of an inflammatory event, platelets are the main source of histamine and serotonin.

There are several lines of evidence that platelet functions are altered in asthmatics and in patients suffering from atopic diseases. Platelet activation occurs during asthma and platelet hyper-responsiveness is a common feature of asthmatic subjects<sup>60,94</sup>. Experimental evidence has shown that disodium cromoglycate is able to inhibit PAF-induced airway hyper-reactivity in asthmatic subjects and also to inhibit human platelet aggregation induced by ADP and collagen<sup>95</sup>. This effect has been suggested to be involved in its beneficial role in the treatment of asthma. Further studies have shown that ketotifen, whose mechanism is similar to that of sodium cromoglycate, inhibits both rabbit and human platelet activation<sup>96</sup>. Nedocromil sodium reduces platelet hyper-reactivity from ASA-induced asthmatic subjects<sup>94</sup>.

### Hirudin and Hirulog

The prototype of a direct thrombin inhibitor is hirudin, first isolated from saliva of the leech *Hirudo medicinalis*<sup>98</sup>. Hirudin inhibits thrombin by binding to both anionic and catalytic sites, that recognise fibrinogen and the platelet surface. Several hirudin-like peptides have been synthesized, among these hirulog has been extensively described as a thrombin inhibitor<sup>99,100</sup>. Hirudin and hirulog have been shown to be beneficial in several inflammatory disorders<sup>101</sup> and to inhibit inflammatory effects of thrombin on endothelial cells<sup>102</sup>. More recently, it has been shown that PEG-hirudin inhibits collagen-induced arthritis in mouse, demonstrating a role for thrombin also in an inflammatory reaction with an immunologic basis.<sup>103</sup>

In rat experimental endotoxin shock, hirulog inhibits thrombocytopenia induced by bacterial endotoxin, suggesting that inhibition of platelet activation might partially contribute to its beneficial effect.<sup>66</sup>

### Heparin

Heparin and other anticoagulants were firstly described to be beneficial as anti-inflammatory agents in 1968 by Wiseman and Chang<sup>17</sup>, who demonstrated that several anticoagulant agents can inhibit carrageenin induced rat paw edema, while thrombin had a potentiating effect. Subsequently, anticoagulant agents have been extensively used as anti-inflammatory agents, even though the mechanism at the basis of this effect is unclear. There is fairly recent evidence for inhibition of thrombin platelet stimulation by high and low molecular weight heparins and this mechanism might be involved in the anti-inflammatory effect exerted by these molecules<sup>104</sup>.

### NSAIDs

The most used anti-inflammatory drugs all over the world are non-steroid anti-inflammatory drugs (NSAIDs). Among them, aspirin is widely used for its analgesic, anti-inflammatory and antithrombotic properties. Compared to other drugs, aspirin has the ability to irreversibly inhibit platelet COX-1 through the acetylation of the enzyme.

Non-selective COX-1 and COX-2 inhibitors are the most widely used anti-inflammatory drugs. Although the research in the field has developed COX-2 selective inhibitors that have been shown to be devoid of gastrotoxicity, there is evidence that in some models of inflammation, COX-1 inhibitors appear to be more effective than selective COX-2 inhibitors. A study performed by testing the anti-inflammatory effect of different COX-1 and COX-2

inhibitors on rat experimental pleurisy showed that the most effective in reducing cell influx and exudation were aspirin and piroxicam, but not the more COX-2 selective inhibitors, NS398 and nimesulide<sup>105</sup>. Since platelets possess only COX-1, it is likely that platelet COX-1 inhibition is essential for some of the anti-inflammatory effects displayed by NSAIDs.

### Concluding remarks

Inflammation is undoubtedly linked to coagulation and recent work suggests that drugs targeting the coagulation pathway may provide novel therapeutic approaches for inflammatory conditions.

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**Part V**

# **Therapy**

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# Design of trials to evaluate antiplatelet agents

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## Introduction

Recognition of the central role of platelets in the pathophysiology of cardiovascular disease has led to intensified efforts to develop more effective and safer antiplatelet strategies. Randomized trials and meta-analyses of those trials are currently our most powerful tools for evaluating the effects of these newer antiplatelet strategies<sup>1-3</sup>. However, careful consideration of key issues in design, conduct and interpretation of randomized controlled trials is essential in order that moderate but clinically worthwhile treatment differences are reliably detected<sup>4-6</sup>.

This chapter will describe the key underlying principles of randomized trials, with particular emphasis on clinical trials of antiplatelet therapy.

## Fundamental principles of randomized controlled trials (Table 68.1)

### The question

The criteria for a good clinical trial are straightforward. Ask an important question and answer it reliably. Even the most meticulously designed randomized trial will be of little use and, perhaps wasteful, if the study question is not of major clinical or biological relevance. A good study question is one which has not yet been answered, is based on a strong biologic rationale, and is relevant to individual patient care or public health.

**Table 68.1.** Key aspects of sound randomized control trial design

Design feature	Potential benefits
Proper randomization	Ensures that both known and unknown potential confounders are balanced between the treatment groups
Unbiased ascertainment of outcomes	
Blinding of patient	Avoids loss of the placebo effect which may otherwise inflate the observed treatment effect
Blinding of caregiver	Avoids differences between treatment groups in administration of effective cointerventions, compliance, or reporting of symptoms
Unbiased analyses of results	
Minimizing loss to follow-up	Avoids bias due to differential loss to follow-up among the treatment groups
Intention to treat analysis	Minimizes biases due to imbalance between patients who receive and those who do not receive study treatment/intervention
Avoidance of data-derived subgroups	Avoids focusing on underpowered analyses which may be potentially biased and misleading
Complete reporting of results according to prespecified plan	Avoids selective emphasis on particular subgroups and investigator driven biases based on knowledge of trial results

### **Minimizing bias: the principles of sound clinical trial design**

If randomized trials are to provide unbiased and reliable estimates of treatment effect, they must avoid systematic biases and minimize random error. The potential for systematic bias can be minimized through (i) proper generation of the randomization sequence and concealment of treatment allocation; (ii) unbiased ascertainment of outcomes; (iii) unbiased analysis of results; and (iv) basing decisions on the totality of information (all related data from all relevant properly randomized trials).

#### **Proper randomization**

Randomization is the most important method of minimizing moderate biases in a clinical trial. The purpose of randomization is to allocate patients so as to balance treatment groups with respect to both known and unknown prognostic variables, which makes it more likely that the observed differences in outcome between the groups are due to the intervention or treatment. Secondly, randomization ensures the validity of statistical tests which enables one to ascribe, under the null hypothesis, a probability distribution for the differences in study outcomes, and therefore allows one to calculate the significance level (*P*-value) of the observed outcome of the trial in question. Thirdly, properly concealed randomization eliminates selection bias since this ensures that patients and clinicians remain unaware of treatment allocation prior to registration or randomization<sup>7</sup>. Many forms of randomization (such as randomizing patients according to day of the week) are inadequate because they allow both patients and clinicians to predict to which treatment the patient will be allocated. Inadequate concealment of treatment allocation can lead to systematic exaggeration of estimates of treatment effect<sup>8</sup>. Secure methods involving use of prepackaged blinded treatment or central telephone or computer-based randomization are now widely used in major cardiovascular trials.

#### **Unbiased ascertainment of outcomes**

Objective and unbiased ascertainment of study outcomes is critically important to the validity of a clinical trial. Ascertainment of outcomes may be biased if the patient or those responsible for treatment and evaluation know which treatment is being used. This problem may well be avoided if both patients and clinicians (or outcome evaluator) are blinded to the randomised treatment allocation, i.e. the trial is double-blind. Uncertainty remains regarding the importance of blinding with conflicting empirical evidence from recent studies addressing this issue<sup>9,10</sup>.

However, most would agree that blinding is important, particularly when there are subjective outcomes in a trial (i.e. refractory angina, clinical DVT and recurrence of unstable angina) or when there is a potential for differential use of cointerventions that may be influenced by knowledge of the randomized treatment allocation or imbalances in other therapies that might affect outcome. By contrast, in clinical trials with objective and irreversible outcomes (i.e. mortality, myocardial infarction), blinding may be less important. For example, several major thrombolytic trials (including ISIS-3, GISSI-2 or GUSTO-1) were unblinded but used mortality as their primary outcome. However, in these trials, knowledge of the randomised treatment allocation may still have resulted in differences in the use of cointervention (e.g. use of percutaneous coronary intervention or coronary artery bypass graft surgery), which, in turn, could theoretically affect mortality. However, comparisons of ancillary treatments in open vs. blind trials of the same question have not shown systematic differences. Meanwhile, blinding may be of particular importance when outcome events are subjective in nature since knowledge of the randomized treatment allocation in this setting could influence both outcome ascertainment and use of cointerventions.

In some clinical trials, it may not be feasible to blind patients (for example, in trials of surgery vs. medicine). In this setting, blinded assessment of outcomes by a central committee of adjudicators may reduce the likelihood of bias.

#### **Unbiased analysis of results**

There are four principles that guide the approach to the analysis of clinical trial in order to minimize bias and increase validity. First, the number of patients lost to follow-up must be minimized (ideally, there should be no patients lost to follow-up); secondly, an intention-to-treat analysis should ideally be utilized; thirdly, an overemphasis on data-derived subgroup analyses should be avoided; and finally, complete reporting of the results of the trial according to a prespecified statistical analysis plan should be employed.

Patients who are lost to follow-up may differ from those who are not lost to follow-up in terms of their response to treatment and prognosis. If the proportion of patients lost to follow-up was randomly distributed among different treatment allocation groups, no bias would be expected. However, loss to follow-up is unlikely to be a random event. Therefore, complete follow-up (or near complete follow-up) is critical to ensure the validity of the results of a study. A similar rationale underlies the principle of analysis by intention-to-treat<sup>6</sup>. Patients who do not receive the allo-

cated treatment (for whatever reason) may differ systematically from patients who receive the allocated treatment, and these selection processes may differ between treatment groups. Any potential biases resulting from this imbalance can only be mitigated by analysing results according to the groups into which the patients were randomized. Therefore, although the intention to treat approach may 'dilute' the result of a trial by including patients who did not actually receive active treatment, this approach ensures that the internal validity of any observed treatment difference is preserved.

Use of a prespecified analysis plan can prevent bias in the reporting of results of a clinical trial by avoiding undue emphasis of a data-derived subgroup<sup>32</sup>. Investigators often analyse data from clinical trials by subgroups within which the responses to treatment are expected to be fairly similar, but between which the responses are expected to differ. However this approach is seriously flawed. A key principle for interpretation of subgroup results is that quantitative interactions (differences in degree) are much more likely than qualitative interactions (differences in kind). Quantitative interactions are likely to be truly present whether or not they are apparent, whereas apparent qualitative interactions should generally be disbelieved as they have usually not been replicated consistently. Therefore, the overall trial result is usually a better guide to the direction of effect in subgroups than the apparent effect observed within a subgroup. Failure to specify prior hypotheses, to account for multiple comparisons, or to correct P values for multiple comparisons increases the chance of finding spurious subgroup effects. Conversely, inadequate sample size, classification of patients into the wrong subgroup, and low power of tests of interaction limit the power of a study to detect true subgroup effects. To avoid these potential pitfalls, the architecture of the entire set of subgroups within a trial should be carefully examined, similar subgroups should be analysed across independent trials, and results of subgroup analyses should be interpreted in the context of known biologic mechanisms and patient prognosis<sup>32</sup>.

#### **Decisions regarding therapies should be based on the totality of information (from all randomized trials)**

In order to avoid selective biases and to minimize random errors, inference about the effects of treatment should not be based on a selective subset of the available trial results, but on a systematic overview of the totality of the evidence from all the relevant unconfounded randomized trials<sup>2</sup>. This fundamental principle is often overlooked, especially by clinical trialists who want to believe that their trial results warrant emphasis over and above other trials in

area, and can result in bias<sup>2,14,11</sup>. The play of chance is more likely to influence the results of individual trials which can, in turn, determine which trials are emphasized and which trials are ignored. Therefore, proper assessment of a therapeutic issue must involve a compilation of all the available evidence, rather than a potentially biased subset of trials.

#### **What are the expected findings in a trial of a new antiplatelet agent in a patient with vascular disease and what are their implications for the design of new trials?**

In designing a clinical trial of an antiplatelet agent in patients with vascular disease, there are several key expectations that can guide the approach to the sound design of clinical trials.

#### **Moderate (not large) treatment effects are likely to be observed**

##### **Moderate treatment benefits**

A fundamental feature of a randomized trial addressing an important question in a common disease is that moderate treatment benefits (i.e. risk reductions of 15–30%) rather than large treatment effects (i.e. greater than 50%) are likely to be observed and that such moderate differences are clinically worthwhile<sup>1</sup>. Overwhelming treatment effects (e.g. defibrillation for ventricular fibrillation) may be apparent even in the absence of randomized trials, although, even in such cases, the magnitude of benefit may be more reliably and persuasively established by a randomized controlled trial. However, the impact of the vast majority of new treatments is 'reasonably uncertain', and the effects of these new treatments are likely to be small, moderate or neutral, rather than large. Nevertheless, small or moderate risk reductions may be worth detecting, particularly if the disease entity is common and if the outcome measures are clinically important such as mortality or major morbidity (such as myocardial infarction or stroke). For example, the use of aspirin in patients with acute myocardial infarction reduced the risk of vascular death by 23% in the ISIS-2 trial, a treatment effect which is 'only moderate'. This 23% reduction in mortality represents the avoidance of about 25 deaths per 1000 patients treated with aspirin for 1 month. Since aspirin is a simple, inexpensive and relatively non-toxic treatment, this moderate treatment effect translates in to tens of thousands of lives potentially saved per year, if aspirin is used widely. Tangible gains from small or moderate treatment effects of important outcomes in common

disorders have had, and will continue to have, profound effects on global health and the modern practice of medicine. These treatments may exceed the numbers of lives that could hypothetically be saved by a simple cure for all patients with a rare disease, such as acute myeloid leukemia<sup>1</sup>.

### Minimizing random error and ensuring study power

Having established that biases should be avoided and that moderate treatment effects are generally worth detecting, the next step is to ensure that these effects can be detected reliably. The reliable detection of moderate treatment effects in randomized trials requires maintenance of statistical power by ensuring that a sufficient number of primary outcome events are collected. In general, this requires a study of sufficient size to accrue between 1000 and 2000 primary outcome events. Achieving this number of outcome events should be the starting point in the design of any major phase-3 randomized trial (this does not apply to phase-2 trials) of antiplatelet therapy in cardiovascular disease, because it has important implications on not only sample size but also inclusion criteria (and hence baseline event rate) and choice of primary outcome (single vs. composite). It is important that Steering Committees monitor the overall event rates during the course of a trial (remaining blinded to treatment allocation) to ensure that the projected event rates are actually being attained. If not, then it may be reasonable to either increase the sample size, to alter the entry criteria to focus on higher risk patients or increase the duration of treatment to ensure that a minimum number of events accrue during treatment (Table 68.2).

Two other pitfalls which may lead to an underpowered trial are the overestimation of the expected treatment effect of a particular agent or overestimation of the control event rate. In some instances, it may not be possible for a clinical trial to enroll sufficient numbers of patients to maintain adequate power to detect a given outcome, either for practical or economic reasons. Two commonly adopted methods of dealing with this problem are to use composite outcomes or to amend the inclusion criteria to focus on higher risk patients. The basic tenet of both these manoeuvres is to ensure that a minimum number of outcome events occur to maintain study power (Table 68.3).

### Active vs. control randomized trials and trials comparing various active treatments (Table 68.4)

Treatment effects in clinical trials will vary, depending on whether the experimental agent is compared with control

**Table 68.2.** Key issues (based upon previous clinical trial results) which should affect the design of trial of antiplatelet agents in vascular disease

Key issue	Aspects of the clinical trial which will be affected
Expect moderate (not large) treatment effects	Ensure study power is maintained by recruiting large numbers of patients
Minimizing competing risks	Choice of endpoints, disease-specific vs. all-cause mortality
True unanticipated qualitative treatment effects are extremely rare	Use broad inclusion criteria ('uncertainty principle'); minimize exclusions
Treatment benefit will be greatest during treatment	Measure outcomes at or soon after end of treatment
Benefits will be clearer if treatment modifiable endpoints are chosen	Avoid including outcomes that are unlikely to be affected by treatment

**Table 68.3.** Potential strategies to maintain study power. In general, a phase III antiplatelet trial should record at least 1000 to 2000 primary outcome events in order to be adequately powered

Increase sample size
Alter entry criteria to focus on higher risk patients
Choose responsive and valid composite outcomes
Implement strategies to ensure optimal compliance of study medication

**Table 68.4.** Common pitfalls in the design of randomized trials

1 Overestimation of control event rates or treatment effects resulting in an underpowered trial
2 Failure to appreciate the difference in treatment effects between trials of active treatment vs. placebo and trials of active treatment vs active treatment
3 Entry criteria are too narrow, resulting in difficult recruitment and poor generalizability
4 Incomplete follow-up
5 Timing of primary outcome too long after end of active treatment

(or placebo) or whether it is compared to another active agent. While moderate treatment effects (e.g. up to 20–30% relative risk reduction) may be seen when an effective agent is compared to placebo, the difference between two effective agents is likely to be much smaller (i.e. about half, which translates into relative risk reductions of 10 to 15%). Therefore, trials comparing active drugs may need to be substantially larger than placebo-controlled trials and may require a three- to fourfold greater number of events. This issue is of particular relevance to trials of antiplatelet therapy, where at least in the case of atherosclerotic disease, new agents must be compared to aspirin, which is a well-established and effective therapy, rather than placebo. Sometimes, a new agent may be compared in addition to aspirin vs. aspirin alone (plus placebo). Larger treatment benefits might be expected in this type of trial compared with a trial of the new agent alone vs. aspirin, assuming there is a sound rationale for dual antiplatelet therapy. For example, the CURE trial compared clopidogrel plus aspirin to placebo plus aspirin<sup>15</sup>. In this trial, the combination of aspirin plus clopidogrel compared with aspirin alone might be expected to yield greater treatment effects than simply comparing clopidogrel to aspirin, as in the CAPRIE study<sup>16</sup>. In the CAPRIE study the comparison of clopidogrel to aspirin resulted in a very modest 9% reduction in vascular events. By contrast, in a meta-analysis of the trials of clopidogrel vs. placebo in addition to aspirin (in patients receiving an intracoronary stent), risk reductions of 26–48% were observed<sup>15</sup>. Similarly in the CURE trial there was a 20% risk reduction in CV death, MI and stroke in favour of clopidogrel compared with placebo in patients receiving aspirin<sup>37</sup>.

### Adherence to randomized treatments

Lack of adherence to study treatments is one of the most important threats to maintaining power in a randomized trial. Adherence may include both those patients who temporarily or permanently discontinue study medication ('drop-outs'); those patients who take the study medication, but incorrectly; and those patients who take open-label study drug ('drop-ins'). The effect of non-adherence is likely to be greater than loss of study power resulting from low event rates because the statistical power of a study is proportional to the square of the proportion of non-adherent patients, but only directly proportional to the event rate. For example, in a study originally designed to have 90% power under a given set of assumptions, a change in adherence to 70% would decrease power to approximately 50%. Thus the issue of non-adherence requires close monitoring during the course of a trial to ensure that statistical power is maintained.

### Use of composite outcomes

The selection of appropriate measures to assess the effect of therapy is critically important in a randomized trial. In general, outcome measures should be objective, valid, reliable, sensitive to change and clinically relevant. Mortality fulfils most of these criteria and is commonly used as a primary outcome in major cardiovascular and cancer trials. However, in many other disease states, use of mortality as a primary outcome would require an impractical number of patients, because of its low incidence. Therefore, composite outcomes that include mortality as one component are often employed to ensure accrual of sufficient primary outcome events. Death or myocardial infarction is a commonly used composite primary outcome in cardiovascular trials because myocardial infarction fulfils almost all of the criteria mentioned above, although it does, under some circumstances, require clinical judgement to make the diagnosis. Stroke may also be a suitable component of a primary composite outcome in trials of antiplatelet therapy in cardiovascular medicine because patients with coronary artery disease also have cerebrovascular disease, and the antiplatelet agent may prevent cerebrovascular atherothrombotic events or cardioembolic events resulting in stroke as well. For example, in both the CURE<sup>15,37</sup> and the BRAVO<sup>17</sup> trials, in which an oral antiplatelet was given for a few months in aspirin-treated patients (clopidogrel in CURE and lotrifiban in BRAVO), the primary outcomes were death, MI or stroke (cardiovascular death in CURE and all-cause death in BRAVO).

Refractory angina is a significantly more subjective endpoint than either death or myocardial infarction, but is increasingly being used in clinical trials of antiplatelet or antithrombotic therapies in an attempt to reduce sample size and study costs. However, a review of several trials in this area demonstrates that there are widely differing definitions of refractory angina among the various trials. Generally, a definition of refractory angina consists of three essential components: (i) signs or symptoms of recurrent ischemia (or manifestations such as pulmonary edema) for a specified duration of time; (ii) electrocardiographic changes and (iii) the performance of an intervention prompted by this episode (such as cardiac catheterization, a revascularization procedure, thrombolytic therapy, etc.). Most trials require two of three criteria, although some trials require only one out of three criteria and others require all three. The objectivity of this endpoint will depend on how the individual components of the outcome are defined (i.e. duration of chest pain, degree of ECG change required, etc.) and the number of criteria in the

definition. As is often the case, the primary endpoint, consisting of death, MI or refractory ischemia is almost invariably driven by the latter, most subjective component. Readers are therefore cautioned when interpreting the results of clinical trials which have refractory ischemia as a primary endpoint, especially when the trial therapies demonstrate no impact on death or myocardial infarction.

### **Unanticipated qualitative treatment effects are rare**

A qualitative interaction is one in which the direction of treatment within subgroups of patients within a trial is in different directions. As alluded to previously, true qualitative interactions are exceedingly rare, a fact that has important implications for the selection of patients in a clinical trial<sup>18</sup>. First, it implies that entering a wide group of patients with the same disease would be an asset because direct evidence for benefit can be sought in such a heterogeneous population. This makes the study results more relevant to clinical practice. Secondly, selection of wide categories of patients with the same disease is unlikely to reduce the observed treatment effect to such a significant extent that it outweighs the benefits of greater recruitment and broader clinical relevance. Thirdly, since even apparently similar patients are likely to differ quantitatively in their response to treatment, precise characterization of patients is usually not worthwhile. This, in turn, implies that patients entering a trial need only be broadly characterized using those few baseline variables that are known to provide powerful prognostic information, those that might modify the effects of a particular treatment or those that may be part of the endpoint in some surrogate endpoint trials.

This has led to what has been termed as the 'uncertainty principle', which states that the sole eligibility criterion is that both patient and doctor should be substantially uncertain about the appropriateness for this particular patient for each of the trial treatments<sup>19</sup>. This principle has been used successfully to simplify and therefore facilitate recruitment into many trials, such as the ISIS studies.

A common pitfall in the design of clinical trials of antiplatelet therapy is that a homogeneous patient population should be studied in order for the trial to be valid<sup>18</sup>. Thus it is often the case in trials of antiplatelet therapy that the criteria for recruitment is exceedingly narrow, thus severely limiting the generalizability of the study's findings and posing unnecessary hurdles to smooth and prompt patient recruitment. For example, there is good evidence that abciximab reduces major cardiovascular events in a wide

variety of patients undergoing PCI, an effect which is sustained long term. The initial trial which established the benefits of abciximab in the context of PCI was the EPIC trial, which was performed only in patients undergoing PTCA at high risk of abrupt closure, a fraction of the total number of patients undergoing PTCA<sup>20</sup>. It was only subsequently in the EPILOG trial where the inclusion criteria broadened to include almost any patient undergoing PTCA (both elective and urgent), that it became apparent that the treatment benefit was present in all patients undergoing PTCA, not only high-risk patients<sup>21</sup>. These broad inclusion criteria were also adopted in the EPISTENT trial, which was consistent in demonstrating a benefit of abciximab in both elective and urgent PTCA in patients undergoing stenting<sup>22</sup>.

CAPRIE is another example of a randomized trial of antiplatelet therapy with wide inclusion criteria<sup>16</sup>. This trial included patients with coronary artery disease, cerebrovascular disease and peripheral vascular disease because all these entities are due to a common pathophysiological mechanism. Similarly, the BRAVO trial of lotrafiban versus aspirin included patients with cardiovascular, cerebrovascular and peripheral vascular disease<sup>17</sup>. Meanwhile, results of the Antiplatelet Trialists' Collaboration meta-analysis clearly demonstrated consistent treatment effects across all these disease entities<sup>23</sup>. This is not surprising considering that occlusive vascular disease (atherothrombosis) is the common underlying pathophysiological mechanism for all these disease entities.

### **Treatment effect will be greatest during the treatment period**

One basic principle of clinical trials of antiplatelet therapy is that the greatest treatment benefit will be observed during active treatment. Therefore, the optimum time to assess both efficacy and safety is either at the end of treatment or shortly thereafter. Following treatment cessation, loss of benefit may occur in the setting of clinical rebound, but there is unlikely to be any added benefit. Consequently, the time-to-event curves are likely to be parallel, reflecting maintenance of the absolute treatment benefit and apparent diminution of the relative treatment benefit. Lack of statistically significant treatment effect during long-term follow-up may be mistakenly interpreted as a 'loss of benefit'. However, careful analysis of the data will often reveal that no loss of benefit occurs, but rather, no added benefit occurs once treatment is stopped. Table 68.5 illustrates this pattern in five large randomized trials of anti-thrombotic therapy.

**Table 68.5.** Efficacy results of five clinical trials during or just after the end of treatment and at 30 days. The lower treatment effect at 30 days does not represent a 'loss of benefit', but rather that no added benefit accrues once treatment is stopped

	Antiplatelet/Anticoagulant	Duration of treatment	Event rates and relative risk reduction (Death or myocardial infarction)	
			During or just after treatment (%)	30 days (%)
PRISM PLUS <sup>27</sup>	Tirofiban vs. placebo <sup>a,b</sup>	72 hours	0.6 vs. 2.0; RRR 70	6.8 vs. 11.7; RRR 42
PURSUIT <sup>30</sup>	Eptifibatide vs. placebo <sup>a</sup>	72 hours	7.6 vs. 9.1; RRR 16.4	14.2 vs. 15.7; RRR 9.6
FRISC <sup>35</sup>	Dalteparin vs. placebo	6 days acute phase	1.8 vs. 4.8; RRR 67	14.0 vs. 15.5; RRR 10
PRISM <sup>28</sup>	Tirofiban vs. UFH	48 hours	1.2 vs. 1.6; RRR 24	5.8 vs. 7.1; RRR 20
GUSTO IIb <sup>36</sup>	Desirudin vs. UFH	72 hours	2.3 vs. 3.1; RRR 17	8.9 vs. 9.8; RRR 11
OASIS-2 <sup>26</sup>	Lepirudin vs. UFH	72 hours	2.0 vs. 2.6; RRR 24	6.8 vs. 7.7; RRR 13

*Notes:*

<sup>a</sup> Both groups received unfractionated heparin. <sup>b</sup> Only non-discontinued arms.

The 'durability of effect' is also relevant and, for that reason, a 30-day endpoint is often chosen for treatment durations which are substantially shorter. However, it is less important if the results are statistically significant at this late time point. What matters more is whether the original difference (in absolute terms) is maintained during longer-term follow-up.

Confirming the maintenance of early benefit during longer-term follow-up is a complex issue. If, truly, there is no loss or gain of the original benefit during treatment, the risk reduction will appear to be maintained only some of the time but the absolute risk reduction will be constant. In other situations, the play of chance may exaggerate or reduce the observed treatment effect over time. For example, in the EPIC trial, the absolute treatment benefit of abciximab appeared to increase between 30 days and 6 months<sup>20</sup>, but the reverse was observed in the CAPTURE trial<sup>24</sup>. Meanwhile, in the EPILOG study a consistent treatment benefit was maintained<sup>34</sup>. The most likely explanation for these apparently disparate results is the play of chance; in all likelihood, the true treatment benefit was maintained in all three cases.

**The effect of cardiac interventions (when performed during study drug administration) in a trial of antiplatelet therapy will increase the event rate and the treatment effect**

There are two main effects of cardiac interventions on the results of randomized trials of antiplatelet therapy<sup>25</sup>. First,

interventions increase both the control and the treatment event rates. Secondly, interventions tend to exaggerate the treatment effect of the antiplatelet agent. These phenomena are demonstrated in Fig. 68.1 and Table 68.6. Therefore, in a clinical trial of a new antiplatelet agent in acute coronary syndrome, the performance of interventions as per usual clinical practice, will usually generate treatment-modifiable events. Suppressing interventions early on in these trials, may result in a reduced event rate. For example, in the OASIS-2 study<sup>26</sup>, investigators were requested to delay interventions until after the 72-hour treatment period. However, among the small number of patients who underwent early intervention, the treatment effect was large. Had more interventions been performed early in this trial, the event rate would likely have been higher and the treatment effect of hirudin increased.

Several unique issues relate to the use of invasive procedures in trials of antiplatelet therapy in cardiovascular medicine. For example, in the PRISM PLUS trial<sup>27</sup>, over 80% of patients received an intervention raising the possibility that the study drug (tirofiban) is only useful in the setting when an ACS patient can be taken to the catheterization lab early. By contrast, the difference observed in the PRISM study<sup>28</sup> was modest and no differences were observed in the GUSTO IV study<sup>29</sup> – both studied patients in centres without immediate access to PTCA. In the PCI CURE Study<sup>38</sup>, there was a 31% reduction in CV death or MI ( $P=0.002$ ) in the large number of patients undergoing PCI ( $N=2658$ ); however, there was also a clear benefit of clopidogrel in those patients not undergoing PCI (relative risk 0.83;  $P=0.004$ ).

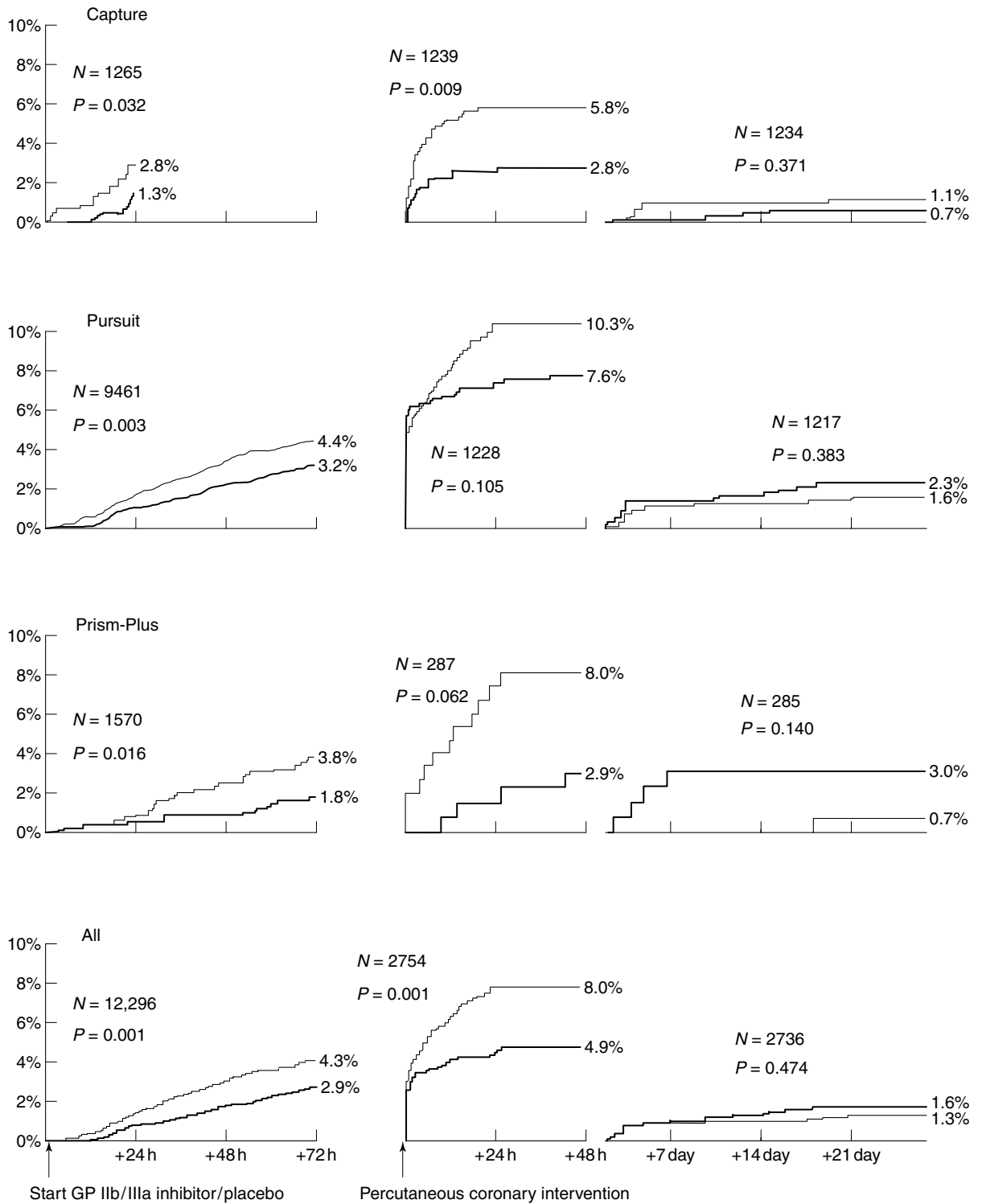


Fig. 68.1. Kaplan–Meier curves showing cumulative incidence of death or non-fatal myocardial (re)infarction in patients randomly assigned to GP IIb/IIIa inhibition (bold lines) or placebo. Adapted from ref.<sup>25</sup>.



**Table 68.6.** Impact of interventions on relative risk reduction in trials of antiplatelet agents

Trial	Relative risk reduction	
	Patients undergoing PCI(%)	Patients not undergoing PCI(%)
PURSUIT <sup>30</sup>	31	6
PARAGON B <sup>31</sup>	35	7
PRISM PLUS <sup>27</sup>	42	12
OASIS-2 <sup>26</sup>	75	7
CURE <sup>37,38</sup>	31	17

### In long-term trials, competing risks are important

Patients with cardiovascular disease are often an elderly cohort of patients who may have multiple overt or occult comorbidities. They are therefore prone to dying not only from cardiovascular disease, but also from other causes, such as malignancy. These other reasons for mortality are known as 'competing risks'. Because an antiplatelet agent would not be able to modify a non-cardiovascular death, these non-cardiovascular deaths would be expected to be balanced between treatment and control. This serves only to dilute out the treatment effect of the antiplatelet agent. It has been argued that distinguishing between cardiovascular and non-cardiovascular causes of death may be difficult and therefore this subjectivity diminishes the objectivity of mortality as an outcome. However, this is not true if, in a cardiovascular trial, one defines all deaths as cardiovascular, unless proven otherwise. Thus, the onus is upon the investigator to 'prove' that the death was not cardiovascular in nature. For example, a CT scan demonstrating a herniating brain tumour in a patient who died of coma might be ruled as non-cardiovascular, or a patient who develops acute leukemia, which is substantiated by pathological specimens or autopsy, might be ruled as non-cardiovascular. Deaths due to unknown causes, motor vehicle accidents, bleeding, etc. are all considered cardiovascular. When a cause of death is unknown in a trial of a patient who already has cardiovascular disease, 80–90% of the time, the death is due to cardiovascular causes. This minimizes any impact due to the subjectivity in classifying the cause of death. Others have argued that cardiovascular death fails to include those patients who may have a side effect from treatment, for example bleeding or severe bone marrow suppression. However, bleeding would be considered a cardiovascular death, as would any complication of a cardiovascular procedure, such as wound infection following

CABG surgery. For example, there was a consistent reduction in CV death with clopidogrel (318 clopidogrel vs. 345 with placebo), with similar numbers of non-CV death (41 clopidogrel vs. 45 placebo)<sup>37</sup>. As for the rare instances where the drug of interest may have a deleterious effect on another system, separating deaths between cardiovascular and non-cardiovascular actually allows the investigator to study and report on these findings, which may otherwise have been overlooked if all deaths were grouped together.

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# Antiplatelet therapy in cardiology

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## Introduction

Antiplatelet therapy has revolutionized the care of patients with cardiovascular disease. It is frequently utilized in patients with heart failure<sup>1,2</sup>, valvular heart disease<sup>3-8</sup> and rhythm disturbances<sup>9,10</sup>. However, its greatest impact has been in the prevention and treatment of coronary artery disease (CAD). CAD is presently the leading cause of death<sup>11</sup>, and fifth leading cause of disability<sup>12</sup> worldwide and is projected to be the number one cause of death and disability in the world by the year 2020<sup>13</sup>. Given its considerable contribution to the global burden of disease, and the substantial reductions antiplatelet therapy has made on its attendant morbidity and mortality, this chapter will focus on the role of antiplatelet therapy in CAD.

The platelet plays a pivotal role in the development and progression of stable CAD as well as in the pathogenesis of its unstable clinical presentations (i.e. unstable angina, non-ST elevation myocardial infarction (MI) and ST elevation MI)<sup>14,15</sup>. While the pathways of platelet activation are manifold<sup>16</sup>, relatively few have been successfully exploited for the prevention and treatment of CAD (Fig. 69.1). This chapter will review the current evidence base for three types of antiplatelet agents: aspirin, adenosine diphosphate (ADP) receptor antagonists and platelet glycoprotein (GP) IIb/IIIa inhibitors. As detailed elsewhere in this book, aspirin inhibits platelet aggregation by interfering with the formation of cyclic prostanoids (thromboxane A<sub>2</sub>, prostacyclin and other prostaglandins)<sup>17</sup>, ticlopidine and clopidogrel do so by irreversible antagonism of ADP P2Y receptors<sup>18</sup>, and GP IIb/IIIa inhibitors accomplish this via blockade of the integrin receptor  $\alpha_{IIb}\beta_3$ , the final common pathway of platelet aggregation<sup>19</sup>.

## Primary prevention

### Aspirin

Four randomized trials, the British Doctors' Trial (BDT)<sup>20</sup>, Physicians' Health Study (PHS)<sup>21</sup>, Thrombosis Prevention Trial (TPT)<sup>22</sup> and Hypertension Optimal Treatment study (HOT)<sup>23</sup>, have examined long-term aspirin therapy for the primary prevention of cardiovascular events (Table 69.1). Individually, three of these have demonstrated significant reductions in the incidence of MI and composite vascular events (coronary death or non-fatal MI in TPT; coronary death, non-fatal MI or non-fatal stroke in PHS and HOT)<sup>21-23</sup>, while in the fourth<sup>20</sup>, these reductions were non-significant.

In a meta-analysis of these four trials, aspirin's effect on vascular death was null (RR 1.01, 95% CI 0.88–1.16). However, aspirin therapy was associated with a significant reduction in vascular events (defined as cardiac death, non-fatal MI, or fatal or non-fatal stroke) (RR 0.87, 95% CI 0.81–0.95), a difference largely driven by reductions in non-fatal MI (RR 0.68, 95% CI 0.59–0.79). Nevertheless, its clinical benefits were offset by a significant increase in the risk of hemorrhagic stroke (RR 1.69, 95% CI 1.06–2.69). On balance, treating 10 000 patients with aspirin therapy for the primary prevention of cardiovascular events would prevent 67 MIs while causing an excess of 11 hemorrhagic strokes<sup>24</sup>.

### ADP receptor antagonists and GP IIb/IIIa inhibitors

To date, there have been no published studies of ADP or GP IIb/IIIa receptor antagonists for the primary prevention of cardiovascular disease.

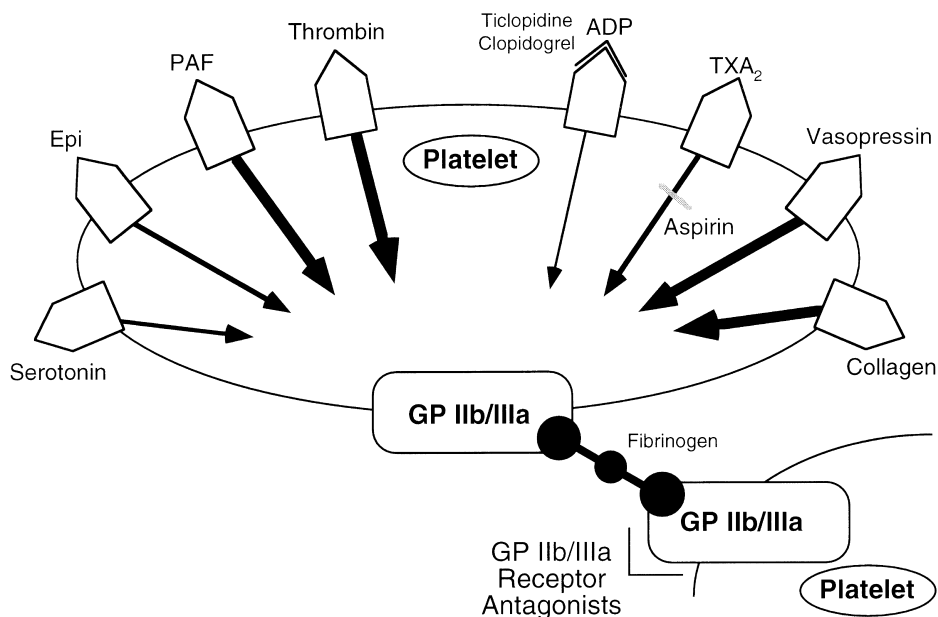


Fig. 69.1. Pathways of platelet activation and their pharmacologic inhibition. Epi = epinephrine; PAF = platelet activating factor; ADP = adenosine diphosphate; TXA<sub>2</sub> = thromboxane; GP IIb/IIIa = platelet glycoprotein IIb/IIIa receptor. From<sup>16</sup>. Pending author's permission.

## Secondary prevention

### Aspirin

Six large randomized trials of aspirin alone for secondary prevention after acute MI have been published<sup>25–30</sup>. Aspirin was associated with a non-significant trend toward reduced total mortality excepting one<sup>25</sup>, in which it was non-significantly increased. Significant benefits associated with aspirin therapy in individual trials include, decreased total mortality in patients enrolled within 6 months of an MI<sup>28</sup>, decreased coronary mortality among men<sup>30</sup>, and significantly reduced reinfarction or readmission for MI<sup>25,29</sup>. The results from these six trials were combined with those from 40 other smaller trials of aspirin monotherapy in a meta-analysis of more than 45 000 patients at high risk for suffering recurrent vascular events (defined as vascular death, non-fatal MI or non-fatal stroke). The pooled risk reduction afforded by aspirin monotherapy was highly significant (OR 0.75, 95% CI 0.70–0.79)<sup>31</sup>.

### ADP receptor antagonists

Two trials have assessed the efficacy of ADP receptor antagonism for the secondary prevention of cardiovascular disease, the Swedish Ticlopidine Multicentre Study

(STIMS) and the Clopidogrel vs. Aspirin in Patients at Risk for Ischemic Events (CAPRIE) trial.

### Ticlopidine

STIMS randomized 687 patients with a history of peripheral vascular disease (PVD), to ticlopidine or placebo and followed them for a mean of 5.9 years<sup>32</sup>. Ticlopidine was associated with a non-significant reduction in the primary composite endpoint of MI, stroke or TIA, (25.7% vs. 29.0%,  $P=0.24$ ), and a significant reduction in mortality (18.5% vs. 26.1%,  $P=0.015$ ), a difference primarily explained by reduced death from CAD.

### Clopidogrel

CAPRIE randomized 19 185 patients with a recent acute MI, recent stroke or PVD to daily clopidogrel or aspirin for a mean of 1.9 years. The average yearly rate of ischemic stroke, MI or vascular death, the study's primary composite endpoint, was significantly reduced among patients in the clopidogrel group (5.32% vs. 5.83%,  $P=0.043$ )<sup>33</sup>. A posthoc analysis revealed that clopidogrel was also associated with a significant reduction in MI (RR 0.81, 95% CI 0.69–0.95)<sup>34</sup>. The ongoing Clopidogrel for Reduction of Events During extended Observation (CREDO) trial, a study of patients with CAD undergoing percutaneous coronary interventions (PCI), is assessing whether clopidogrel

**Table 69.1.** Primary prevention trials of aspirin therapy

	British Doctors' Trial (BDT)	Physicians' Health Study (PHS)	Thrombosis Prevention Trial (TPT)	Hypertension Optimal Treatment Study (HOT)
Period of enrolment	11/78–11/84	NA	1989–1992	10/92–04/94
Study size ( <i>n</i> )	5139	22071	5085	18790
Population	Low-risk British male physicians	US male physicians	British men at high risk for ischemic heart disease	Men and women with diastolic hypertension (84% without coronary disease)
Treatment group/s	Aspirin 500 mg daily ( <i>n</i> =3429)	Aspirin 325 mg every other day ( <i>n</i> =11037)	Aspirin 75 mg controlled-release daily ( <i>n</i> =2545)	Aspirin 75 mg daily ( <i>n</i> =9399)
Control group	Avoidance ( <i>n</i> =1710)	Placebo ( <i>n</i> =11034)	Placebo ( <i>n</i> =2540)	Placebo (9391)
Endpoint analysis	6 years (mean)	5 years (mean)	7 years (median)	4 years (mean)
Primary endpoint	Vascular mortality	Cardiovascular mortality	Coronary death, fatal or non-fatal MI	Cardiovascular death, non-fatal MI or non-fatal stroke
Primary endpoint <sup>a</sup>	0.94 [NA], <i>P</i> =NS	0.96 [0.60–1.54], <i>P</i> =0.87	0.79 [0.65–0.96], <i>P</i> <0.05	0.85 [0.73–0.99], <i>P</i> =0.03
Death (all-cause) <sup>a</sup>	0.90 [NA], <i>P</i> =NS	0.96 [0.80–1.14], <i>P</i> =0.87	0.83 [0.70–0.99], <i>P</i> <0.05	0.93 [0.79–1.09], <i>P</i> =0.36
Non-fatal MI <sup>a</sup>	0.98 [NA], <i>P</i> =NS	0.59 [0.47–0.74], <i>P</i> <0.001	0.90 [0.71–1.15], <i>P</i> =NS	0.64 [0.49–0.85], <i>P</i> =0.002 <sup>c</sup>
Non-fatal stroke <sup>a</sup>	1.14 [NA], <i>P</i> =NS	1.20 [0.91–1.59], <i>P</i> =0.20	0.78 [NA], <i>P</i> =NS	0.98 [0.78–1.24], <i>P</i> =NS <sup>c</sup>
Bleeding <sup>ab</sup>	1.43 [NA], <i>P</i> =NS	1.32 [1.25–1.40], <i>P</i> <0.001	1.21 [NA], <i>P</i> <0.001	1.8 [NA], <i>P</i> <0.001

**Notes:**

MI = myocardial infarction; NA = not available; NS = non-significant.

<sup>a</sup> RR [95% CI] for aspirin therapy vs. control.

<sup>b</sup> Bleeding defined as non-cerebral in BDT, any in PHS, minor in TPT and major in HOT.

<sup>c</sup> Includes fatal and non-fatal events (separate data on non-fatal events not published).

and aspirin in combination are superior to aspirin alone for preventing late ischemic events.

**GP IIb/IIIa inhibitors**

Intravenous GP IIb/IIIa inhibitors reduce ischemic complications in patients undergoing PCI and in those with acute coronary syndromes (ACS) (see below). Given that increased levels of platelet activation persist for some time after an ACS<sup>35</sup>, a number of studies have examined whether prolonged treatment with oral GP IIb/IIIa inhibitors might offer additional protection after such an event (Table 69.2). Despite promising results in Phase II studies of sibrifiban<sup>36</sup> orbofiban<sup>37</sup>, and xemilofiban<sup>38</sup>, four Phase III clinical trials evaluating these three agents have failed to demonstrate clinical benefits (Table 69.2). Mortality was increased significantly in the second Sibrifiban vs. aspirin to Yield Maximum Protection from ischemic Heart events post-acute cOroNary sYndromes (2nd SYMPHONY)<sup>39</sup>, Orbofiban in Patients with Unstable coronary Syndromes (OPUS-TIMI 16)<sup>37</sup> and Evaluation of oral Xemilofiban in

Controlling Thrombotic Events (EXCITE)<sup>40</sup> trials, and non-significantly increased in the SYMPHONY<sup>41,42</sup> trial. Significant increases in the composite endpoint of death or non-fatal MI were also noted in the second SYMPHONY trial, while non-significant increases in this endpoint were observed in the remaining three. Bleeding was significantly increased in all four of these studies. When the results of these four trials were meta-analysed, the increase in mortality associated with use of an oral GP IIb/IIIa inhibitor was highly significant (OR 1.37, 95% CI 1.13–1.66, *P*=0.002)<sup>43</sup>.

Despite these discouraging results, clinical outcomes from one Phase II study appear promising. The Fibrinogen Receptor Occupancy Study Trial (FROST) randomized 513 patients with unstable angina to low, intermediate or high doses of the oral GP IIb/IIIa receptor blocker lefradafiban. The incidence of the composite endpoint, death, MI, PCI or coronary artery bypass grafting (CABG) was lower in the intermediate-dose than placebo arm at 30 days<sup>44</sup>, and this benefit persisted at 6 months (38.5% vs. 56.9%)<sup>45</sup>; the high-dose arm was terminated early due to unacceptably high

**Table 69.2.** Secondary prevention trials of oral platelet glycoprotein IIb/IIIa inhibitors

	SYMPHONY				2nd SYMPHONY				OPUS TIMI-16			EXCITE				
Period of enrolment	08/97–10/98				11/98–08/99				10/97–11/98			06/97–04/98				
Agent	Sibrafiban				Sibrafiban				Orbofiban			Xemilofiban				
Study size ( <i>n</i> )	9233				6671				10288			7232				
Population	Within 7 days of an ACS and clinically stable × 12 hours				Within 7 days of an ACS and clinically stable × 12 hours				Within 72 hours of an ACS			30–90 minutes before percutaneous coronary intervention				
Treatment group/s	(1) Low dose sibrafiban: 3.0–4.5 mg twice daily (weight- and Cr-adjusted) × 90 days, targeted to achieve 50% inhibition of platelet aggregation ( <i>n</i> = 3105). (2) High-dose sibrafiban: 3.0–6.0 mg twice daily × 90 days (adjusted as above) targeted to achieve 80% inhibition of platelet aggregation ( <i>n</i> = 3039).				(1) Low dose sibrafiban: 3.0–4.5 mg twice daily (weight- and Cr-adjusted) × 90 days, targeted to achieve 50% inhibition of platelet aggregation; aspirin 325 mg daily ( <i>n</i> = 2232). (2) High-dose sibrafiban: 3.0–6.0 mg twice daily × 90 days (adjusted as above) targeted to achieve 80% inhibition of platelet aggregation ( <i>n</i> = 2174)				(1) Low dose orbofiban: 50 mg twice daily, targeted to achieve 45–65% inhibition of platelet aggregation; aspirin 150–162 mg daily ( <i>n</i> = 3537). (2) High-dose orbofiban: 50 mg twice daily for 30 days, then 30 mg twice daily, targeted to achieve 55–80% inhibition of platelet aggregation; aspirin 150–162 mg daily ( <i>n</i> = 3330)			(1) Low-dose xemilofiban: 20 mg pre-procedure, then 10 mg three times daily × 6 months, targeted to achieve 40–70% inhibition of platelet aggregation; aspirin 80–325 mg daily ( <i>n</i> = 2414). (2) High-dose xemilofiban: 20 mg pre-procedure and then three times daily, targeted to achieve 60–90% inhibition of platelet aggregation; aspirin 80–325 mg daily ( <i>n</i> = 2400).				
Control group	Aspirin 80 mg twice daily ( <i>n</i> = 3089)				Aspirin 325 mg daily ( <i>n</i> = 2231)				Placebo; aspirin 150–162 mg daily ( <i>n</i> = 3421)			Placebo; aspirin 80–325 mg daily ( <i>n</i> = 2418)				
Endpoint analysis	90 days				90 days				30 days			6 months				
Primary endpoint	All-cause mortality, non-fatal MI or severe recurrent ischemia				All-cause mortality, non-fatal MI or severe recurrent ischemia				Death, MI recurrent ischemia or stroke			Death, non-fatal MI or urgent revascularization				
Outcome analysis	Aspirin	Low-dose	High-dose	<i>P</i> value	Aspirin	Low-dose	High-dose	<i>P</i> value	Placebo	Any dose	<i>P</i> value	Placebo	Low-dose	<i>P</i> value	High-dose	<i>P</i> value
Primary endpoint (%)	9.8	10.1	10.1	NS	9.3	9.2	10.5	NS	10.8	9.9	0.12	13.5	13.9	0.82	12.7	0.36
Death (%)	1.8	2.0	2.0	NS	1.3	1.7	2.4	<0.05	1.4	2.0 <sup>c</sup>	0.02	1.0	1.7	0.04	1.1	0.68
Non-fatal MI (%)	5.6	5.8	6.5	NS	5.3	5.3	6.9	<0.05	2.9	2.8	0.89	8.4	8.1	0.56	7.5	0.23
Death or non-fatal MI (%)	7.0	7.4	7.9	NS	6.1	6.8	8.6	<0.05	3.9	4.4	0.31	8.9	9.2	0.87	8.2	0.36
Major bleeding <sup>a</sup> (%)	3.9	5.2	5.7	<0.05	4.0	5.7	4.6	<0.05	1.4	2.4 / 2.6 <sup>b</sup>	<0.001	1.8	5.1	<0.001	7.1	<0.001

**Notes:**

MI = myocardial infarction; ACS = acute coronary syndrome; Cr = creatinine; NS = non-significant.

<sup>a</sup> Major bleeding is defined as intracranial hemorrhage or fall in hemoglobin  $\geq 5$  g/dl for SYMPHONY and 2nd SYMPHONY; and, intracranial hemorrhage, fall in hematocrit of 15%, need for blood transfusion or causing hemodynamic compromise in EXCITE and OPUS-TIMI 16.

<sup>b</sup> low-dose/high-dose arm.

bleeding rates. Further analysis revealed an inverse relationship between fibrinogen receptor occupancy and the clinical event rate<sup>46</sup>. Whether more individualized dosing of existing agents based on fibrinogen receptor occupancy will lead to improved clinical outcomes remains to be seen. Regardless, a number of promising new agents are under development or being assessed in ongoing or recently completed clinical trials, including fradafiban/lefradafiban<sup>47–49</sup>, roxifiban<sup>50,51</sup>, lotrafiban<sup>52,53</sup> and chromofiban.

## Non-ST elevation acute coronary syndromes

### Aspirin

The efficacy of aspirin for treating unstable angina and non-ST elevation MI (i.e. non-ST ACS) is well established. Four trials have demonstrated that aspirin reduces ischemic events in patients with non-ST elevation ACS (Table 69.3). In the Veterans Administration (VA) Cooperative Study<sup>54</sup>, a trial by Theroux et al.<sup>55</sup>, the Research Group on Instability in Coronary artery disease (RISC) study<sup>56,57</sup>, and the Canadian Multicenter Trial<sup>58</sup>, aspirin significantly reduced rates of the composite endpoint, death or non-fatal MI (in the latter trial, this association was only significant in the on-treatment analysis). In two of these, the VA Cooperative Study and Canadian Multicenter Trial, aspirin significantly reduced mortality rates at 1–2 years of follow-up, whereas in RISC and the study by Theroux et al., there were non-significant reductions in this endpoint among aspirin-treated patients. Finally, in the study by Theroux et al., the composite endpoint, death, non-fatal MI or refractory ischemia was significantly reduced with aspirin therapy. Importantly, bleeding occurred no more frequently in aspirin- than placebo-treated patients in any of these trials. In sum, these studies demonstrate that aspirin offers significant protection against death, MI and refractory ischemia without an attendant increase in hemorrhagic risk when used for non-ST elevation ACS.

### ADP receptor antagonists

#### Ticlopidine

Only one trial has examined the effect of ADP receptor antagonism for non-ST elevation ACS. Studio della Ticlopidine nell' Angina Instabile (STAI) randomized 652 patients with unstable angina to 6 months of conventional therapy (i.e. beta blockers, calcium channel blockers or

nitrates, but not aspirin) alone or in combination with ticlopidine 250 mg twice daily. The addition of ticlopidine significantly reduced the incidence of vascular death or MI (7.3% vs. 13.6%, OR 0.50, 95% CI 0.30–0.84;  $P=0.009$ )<sup>59,60</sup>.

#### Clopidogrel

While the efficacy of aspirin and ticlopidine for the treatment of non-ST elevation ACS has been clearly demonstrated, these agents have not been compared in a head-to-head fashion, nor have they been evaluated in combination in this setting. The ongoing Clopidogrel in Unstable angina to prevent Recurrent ischemic Events (CURE) trial is randomizing 12500 patients with unstable angina to aspirin plus placebo or aspirin plus clopidogrel to assess the incremental effect of ADP receptor antagonism on vascular death and non-fatal MI<sup>61</sup>.

### GP IIB/IIIa inhibitors

After preliminary clinical data suggested that the intravenous GP IIB/IIIa inhibitors tirofiban<sup>62</sup>, lamifiban<sup>63</sup>, eptifibatide<sup>64</sup> and abciximab<sup>65–67</sup> reduce the incidence of ischemic events in patients with non-ST elevation ACS, six phase III trials evaluating these agents were performed (Table 69.4).

#### Tirofiban

In the Platelet Receptor Inhibition in Ischemic Syndrome Management (PRISM) trial, tirofiban treatment was associated with a reduction in death, non-fatal MI or refractory ischemia at 48 hours, the study's primary endpoint<sup>68</sup>. This benefit attenuated thereafter, such that it was no longer statistically significant at 7 or 30 days. Nevertheless, when compared with heparin at 30 days, tirofiban reduced the incidence of death in the entire cohort. Furthermore, it reduced the composite of death, non-fatal MI or refractory ischemia among patients who underwent early PCI (21.6% vs. 27.3%,  $P<0.05$ ) and reduced the risk of death (1.6% vs. 6.2%,  $P=0.004$ ) and MI (2.7% vs. 6.8%,  $P=0.01$ ) at 30 days among patients with an elevated baseline troponin level<sup>69</sup>. These benefits did not occur at the expense of increased bleeding risk. The Platelet Receptor Inhibition in Ischemic Syndrome Management in Patients Limited by Unstable Signs and Symptoms (PRISM-PLUS) study, randomized patients to one of three regimens: standard-dose tirofiban (as in PRISM), low-dose tirofiban with heparin or heparin alone<sup>70</sup>. The tirofiban-only arm was stopped prematurely given its higher incidence of death at seven days. Nevertheless, when compared with heparin alone, the tirofiban-heparin combination clearly reduced the composite primary endpoint of death, non-fatal MI, refractory

**Table 69.3.** Trials of aspirin for the treatment of non-ST elevation acute coronary syndromes

	VA Cooperative	Canadian Multicenter	Theroux et al.	RISC
Period of enrolment	11/74–08/81	07/79–12/83	10/86–03/88	05/85–06/88
Study size ( <i>n</i> )	1266	555	479	796
Population	Men with unstable angina in first 48 hours	Unstable angina admitted to a coronary care unit	Acute phase unstable angina	Men with unstable angina or non-Q wave MI in a coronary care unit
Treatment group/s	Aspirin 324 mg daily × 12 weeks ( <i>n</i> = 625)	(1) Aspirin 325 mg 4 times daily ( <i>n</i> = 139) (2) Sulfinpyrazone 200 mg 4 times daily ( <i>n</i> = 140) (3) Aspirin 325 mg 4 times daily; sulfinpyrazone 200 mg 4 times daily ( <i>n</i> = 137)	(1) Aspirin 650 mg once, then 325 mg daily ( <i>n</i> = 121) (2) Intravenous heparin ( <i>n</i> = 118) (3) Aspirin 650 mg once, then 325 mg daily; heparin ( <i>n</i> = 122)	(1) Aspirin 75 mg daily × up to 1 year ( <i>n</i> = 399) (2) Intravenous heparin × 5 days ( <i>n</i> = 388) (3) Aspirin 75 mg daily; heparin ( <i>n</i> = 408)
Control group	Placebo ( <i>n</i> = 641)	Placebo ( <i>n</i> = 139)	Placebo ( <i>n</i> = 118)	Aspirin placebo ( <i>n</i> = 397); Heparin placebo ( <i>n</i> = 388)
Endpoint analysis	12 weeks	Mean 18 months	6 days	3 months
Primary endpoint	All-cause mortality	Cardiac death or non-fatal MI	Death, MI or refractory ischemia	Death or non-fatal MI
Outcome analysis	Placebo    Aspirin <i>P</i> value	No aspirin    Aspirin <i>P</i> value	Placebo    Aspirin <i>P</i> value	Aspirin    Aspirin <i>P</i> value placebo
Primary endpoint (%)	3.3    1.6    0.054 <sup>a</sup>	10.0    5.8    0.035	26.3    16.5    0.066	17.1    6.5    <0.001
Death (%)	3.3    1.6    0.054 <sup>a</sup>	10.0    5.8    0.035	1.7    0    NS	2.5    1.5    NS
Non-fatal MI (%)	6.9    3.4    0.005	NA    NA    NA	11.9    3.3    0.012	NA    NA    NA
Death or non-fatal MI (%)	10.1    5.0 <sup>c</sup> 0.001	14.7    10.5    0.072 <sup>b</sup>	13.6    3.3    0.004 <sup>c</sup>	17.1    6.5    <0.001

*Notes:*

MI = myocardial infarction; NA = not available; NS = non-significant.

<sup>a</sup> At 1 year, respective mortality in placebo and aspirin groups: 9.6% vs. 5.5%, *P* = 0.008.

<sup>b</sup> In an on-treatment analysis, death/non-fatal MI in aspirin vs. control: 17.6% vs. 9.6%, *P* = 0.008.

<sup>c</sup> Statistic not provided in study; calculated from data provided using Mantel–Haenszel chi-square test.



ischemia or rehospitalization at both 30 days and 6 months and these benefits were only offset by a small increase in bleeding risk. Based on these results, heparin is now routinely administered with tirofiban in non-ST elevation ACS.

### Lamifiban

The PARAGON-A trial randomized patients to either placebo with heparin, low-dose lamifiban with or without heparin or high-dose lamifiban with or without heparin<sup>71</sup>. At 30 days, the incidence of death or non-fatal MI, the study's primary endpoint, was not significantly different between groups. However, by six months, there was a statistically significant reduction in death or non-fatal MI among all patients treated with low-dose lamifiban when compared with placebo, irrespective of concomitant heparin administration. As expected, major or intermediate bleeding occurred more frequently in high- and low-dose groups than in placebo. In subsequent posthoc analyses of these data, those with intermediate serum lamifiban concentrations (i.e. 18–42 ng/ml) witnessed the greatest event reductions at 30 days and 6 months when compared with placebo and these reductions persisted at six months<sup>72</sup>. Accordingly, the PARAGON-B<sup>73</sup> trial evaluated the effect of treatment to intermediate serum lamifiban levels. This trial randomized patients to placebo or renal-dosed lamifiban. The primary efficacy endpoint, a composite of death, non-fatal MI or severe recurrent ischemia at 30 days was non-significantly reduced while bleeding complications were more frequent among lamifiban-treated patients. However, lamifiban significantly reduced the incidence of the primary endpoint at 30 days among patients who underwent early PCI (11.6% vs. 18.5%,  $P < 0.05$ ) and in those with a positive troponin level on admission (11.0% vs. 19.4%,  $P = 0.01$ )<sup>74</sup>, a finding similar to that seen in other trials of IIb/IIIa inhibitors for non-ST elevation ACS.

### Eptifibatide

In the Platelet Glycoprotein IIb/IIIa in Unstable Angina: Receptor Suppression Using Integrilin Therapy (PURSUIT) trial, patients were randomized to eptifibatide or placebo for 72 hours or until hospital discharge, whichever occurred sooner. The primary endpoint, death or non-fatal MI, was significantly reduced in the eptifibatide group at 30 days and this benefit persisted at 6 months. Not surprisingly, bleeding was significantly more frequent among those treated with eptifibatide. In a substudy that included only US patients, eptifibatide significantly reduced the composite endpoint, death or non-fatal MI, at 30 days and 6 months, reductions that were greater in magnitude than

those observed in non-US patients<sup>75</sup>. In contrast to patients who underwent early PCI in trials of tirofiban and lamifiban, the risk reduction attributable to eptifibatide was similar in patients who underwent early PCI and in those who were managed more conservatively<sup>76</sup>.

### Abciximab

Finally, the Global Use of Streptokinase or t-PA for Occluded Coronary Arteries–Acute Coronary Syndromes (GUSTO IV–ACS) trial randomized patients to abciximab for 24 or 48 hours, or to placebo. This trial revealed a trend toward increased ischemic events and significantly increased rates of bleeding among patients assigned to the 24- and 48-hour abciximab arms. Furthermore, no benefits were observed with abciximab in patients with positive troponin levels or with ST-depression on baseline ECG.

The trend towards harm associated with abciximab in GUSTO IV–ACS, especially during study drug administration, occurred despite the apparent benefits observed in patients with ACS who underwent PCI in earlier trials of abciximab<sup>65–67</sup> and in contrast to accumulating trial evidence supporting the use of tirofiban, eptifibatide and possibly lamifiban for ACS. Whether this lack of benefit was due to the selection of patients with a lower risk profile, the use of a suboptimal dosing strategy, the administration of abciximab in a non-PCI setting or to some other property of the agent itself will require further study. Recent data suggesting pro-inflammatory effects, such as the shedding of CD40 ligand receptors due to suboptimal GP IIb/IIIa receptor blockade, have also been cited as an explanation. Nevertheless, the ability of GP IIb/IIIa inhibitors to reduce ischemic events after a non-ST elevation ACS remains well established. In a meta-analysis of the PRISM, PRISM-PLUS, PARAGON A and B, PURSUIT and GUSTO IV–ACS trials, GP IIb/IIIa receptor blockade significantly reduced 30-day death or non-fatal MI (pooled OR 0.92, 95% CI 0.86–0.995,  $P = 0.037$ ; Breslow–Day test for heterogeneity:  $P = 0.34$ )<sup>77</sup>.

## ST elevation acute coronary syndromes

### Aspirin

Although one earlier randomized trial of 1682 patients had suggested that early aspirin therapy after an acute MI could reduce mortality<sup>29</sup>, aspirin's overwhelming and unquestionable benefit in this setting was demonstrated in the landmark International Study of Infarct Survival (ISIS) – 2<sup>78</sup>. In that trial, 17 187 patients within 24 hours of a suspected acute MI were randomized to aspirin 162.5 mg daily

**Table 69.4.** Trials of platelet glycoprotein inhibitors for the treatment of non-ST elevation acute coronary syndromes

	PRISM			PRISM-PLUS					PARAGON A					
Period of enrolment	03/94–10/96			11/94–09/96					08/95–05/96					
Agent	Tirofiban			Tirofiban					Lamifiban					
Study size ( <i>n</i> )	3232			1915					2282					
Population	Non-ST elevation ACS			Non-ST elevation ACS					Non-ST elevation ACS					
Treatment group/s	Tirofiban 0.6 µg/kg/min × 30 minutes, then 0.15 µg/kg/min × 47.5 hours ( <i>n</i> = 1616)			(1) Tirofiban 0.6 µg/kg/min × 30 minutes, then 0.15 µg/kg/min × ≥47.5 hours (12–24 hours after PCI) ( <i>n</i> = 345) (2) Tirofiban 0.4 µg/kg/min × 30 minutes, then 0.10 µg/kg/min × ≥47.5 hours (12–24 hours after PCI); heparin ( <i>n</i> = 773)					(1) Low-dose lamifiban (300 µg bolus, 1 µg/min × 3–5 days) with heparin ( <i>n</i> = 377) (2) Low-dose lamifiban (300 µg bolus, 1 µg/min × 3–5 days) without heparin ( <i>n</i> = 378) (3) High-dose lamifiban (750 µg bolus, 5 µg/min × 3–5 days) with heparin ( <i>n</i> = 373) (4) High-dose lamifiban (750 µg bolus, 5 µg/min × 3–5 days) without heparin ( <i>n</i> = 396)					
Control group	Placebo ( <i>n</i> = 1616)			Placebo + heparin, ( <i>n</i> = 797)					Placebo ( <i>n</i> = 758)					
Heparin dosing	Heparin 5000 U IV bolus, then 1000U/h infusion × 48 hours adjusted to aPTT 2x normal			Heparin 5000 U IV bolus, then 1000U/h infusion × 48 hours adjusted to aPTT 2x normal					One low-dose, one-high dose and placebo group received heparin 60 U/kg IV bolus and 12 U/kg/min infusion titrated to aPTT 60–85 s.					
Aspirin dosing	Aspirin 300–325 mg before randomization and then × 48 hours, otherwise at physician's discretion			Aspirin 325 mg on day of randomization and daily thereafter					All received aspirin					
Endpoint analysis	48 hours			7 days					30 days					
Primary endpoint	Death, non-fatal MI or refractory ischemia			Death, non-fatal MI, refractory ischemia or rehospitalization for unstable angina					Death or non-fatal MI					
Outcome analysis	Heparin	Tirofiban	<i>P</i> value	Placebo	Tirofiban	<i>P</i> value	Tirofiban + Heparin	<i>P</i> value	Plac	Low + Hep	Low - Hep	High + Hep	High - Hep	<i>P</i> value
Primary endpoint (%)	5.6	3.8	0.01	17.9	17.1	NA	12.9	0.004 <sup>d</sup>	11.7	10.3	10.8	12.3	11.6	see below <sup>f</sup>
Death (%)	0.2	0.4	0.54 <sup>b</sup>	1.9	4.6	0.012 <sup>c</sup>	1.9	0.99	2.9	2.9	3.2	3.8	3.5	NA
Non-fatal MI (%)	1.4	0.9	0.19	7.0	7.0	NA	3.9	0.006	10.6	9.3	9.5	11.3	10.6	NA
Death or non-fatal MI (%)	1.6	1.2	0.38	8.3	10.4	NS	4.9	0.006	11.7	10.3	10.8	12.3	11.6	NA <sup>g</sup>
Major bleeding (%) <sup>a</sup>	0.4	0.4	NS	3.0	NA	NA	4.0	0.34 <sup>e</sup>	0.8	0.5	0.8	2.4	1.3	NA <sup>h</sup>
Period of enrolment	11/95–01/97			02/98–06/99					NA					
Agent	Eptifibatide			Lamifiban					Abciximab					
Study size ( <i>n</i> )	10948			5225					7800					
Population	Non-ST elevation ACS			Non-ST elevation ACS					Non-ST elevation ACS. Excluded patients in whom PCI/CABG planned within next 30 days					
Treatment group/s	Eptifibatide 180 µg IV bolus followed by 2.0 µg/kg/min infusion × 72 hours ( <i>n</i> = 4722)			Lamifiban 500 µg bolus, then 1, 1.5 or 2.0 µg/min (adjusted for renal function to achieve serum concentration 20–40 ng/ml) for up to 72 hours ( <i>n</i> = 2628)					(1) Abciximab 0.25 mg/kg bolus, then 0.125 µg/kg/min infusion × 24 hours ( <i>n</i> = 2590). (2) Abciximab bolus and infusion × 48 hours ( <i>n</i> = 2612).					
Control group	Placebo bolus and infusion ( <i>n</i> = 4739)			Placebo ( <i>n</i> = 2597)					Placebo (2598)					
Heparin dosing	5000 U IV bolus followed by 1000 U/hour infusion with adjustments to keep aPTT 50–70 s recommended			All patients received heparin 5000 U IV bolus and 1000 U/hour infusion (weight-adjusted if ≤80 kg) to keep aPTT 50–70 s (substitution with LMWH permitted)					Heparin 70 U IV bolus, then 10 U/kg/h infusion titrated to aPTT 50–70 s × 48 hours					
Aspirin dosing	Aspirin 80–325 mg daily was encouraged			Aspirin 150–325 mg at randomization and daily thereafter					Aspirin 150–325 mg orally or 250–500 mg i.v. after randomization, then 75–325 mg orally each day for at least 1 month					
Endpoint analysis	30 days			30 days					30 days					
Primary endpoint	Death or non-fatal MI			Death, non-fatal MI or severe recurrent ischemia					Death or non-fatal MI					
Outcome analysis	Placebo	Eptifibatide	<i>P</i> value	Placebo	Lamifiban	<i>P</i> value	Placebo	24-h	<i>P</i> value	48-h	<i>P</i> value			
Primary endpoint (%)	15.7	14.2	0.04	12.8	11.8	0.33	8.0	8.2	<i>P</i> = NS	9.1	<i>p</i> = NS			
Death (%)	3.7	3.5	0.53	3.3	2.9	0.49	3.9	3.4	<i>P</i> = NS	4.2	<i>P</i> = NS			
Non-fatal MI (%)	13.5	12.6	0.14 <sup>i</sup>	9.8	8.8	0.27	4.1	4.7	NA	4.9	NA			
Death or non-fatal MI (%)	15.7	14.2	0.04 <sup>j</sup>	11.5	10.6	0.32 <sup>k</sup>	8.0	8.2	<i>P</i> = NS	9.1	<i>p</i> = NS			
Major bleeding (%) <sup>a</sup>	9.1	10.6	<0.001	0.9	1.3	NS <sup>l</sup>	0.3	0.6	<i>P</i> = NS	1.0	<0.001			

**Notes:**

MI = myocardial infarction; ACS = acute coronary syndrome; IV = intravenous; aPTT = activated partial thromboplastin time; NS = non-significant; NA = not available; s = seconds, LMWH = low molecular weight heparin; hep = heparin.

<sup>a</sup> Major bleeding defined as intracranial hemorrhage (ICH) or that leading to hemodynamic compromise in the PARAGON A and B trials, as a fall in hemoglobin (Hgb) × 5 g/dl, ICH, or cardiac tamponade in the PRISM study, as a fall in Hgb × 4 g/dl, need for 2 unit blood transfusion, need for corrective surgery, ICH or retroperitoneal bleed in PRISM-PLUS and according to TIMI criteria in PURSUIT.

<sup>b</sup> At 30 days, respective incidence of death in heparin and tirofiban groups: 3.6% vs. 2.3%, *P* = 0.02.

<sup>c</sup> Comparisons for tirofiban vs. heparin groups were made prior to discontinuation of tirofiban arm (heparin group data not shown).

<sup>d</sup> At 6 months, respective incidence of composite endpoint in heparin and tirofiban/heparin groups: 32.1 vs. 27.7%, *P* = 0.02.

<sup>e</sup> Any bleeding in tirofiban-heparin vs. placebo-heparin groups: 3.5% vs. 1.3%, *P* = 0.004.

<sup>f</sup> *p* values for low + hep, low - hep, high + hep and high - hep compared with hep alone at 30 days were 0.48, 0.66, 0.77 and 0.95, respectively; at 6 months, the low-dose groups had significant reductions in the composite endpoint (0.73 [95% CI 0.55–0.97]).

<sup>g</sup> At 6 months, low-dose (with or without heparin) lamifiban vs. placebo: 13.7% vs. 17.9% (OR 0.73 [95% CI 0.55–0.97]).

<sup>h</sup> Composite major or intermediate bleeding in high- and low-dose groups vs. placebo (10.7%, 6% and 5.5%, respectively, *P* = 0.002).

<sup>i</sup> At 6 months, respective incidence of death or non-fatal MI in placebo and eptifibatide groups: 19.0% vs. 17.8%.

<sup>j</sup> Respective incidence of death or non-fatal MI among placebo and eptifibatide groups in US cohort at 30 days: 15.4% vs. 11.9%, *P* = 0.003; 6 months: 18.9% vs. 15.2%, *p* = 0.004.

<sup>k</sup> At 30 days, among troponin positive patients, primary composite endpoint in placebo vs. lamifiban arms: 19.4% vs. 11.0%, *P* = 0.01; death or non-fatal MI: 19.0% vs. 11.0%, *P* = 0.02.

<sup>l</sup> Intermediate bleeding, 11.5% vs. 14.0%, *P* = 0.002.

for one month, streptokinase 150 million units intravenously over one hour, both or neither. Compared with placebo, aspirin significantly reduced the incidence of in-hospital non-fatal reinfarction (1.0% vs. 2.0%,  $P < 0.000001$ ) and the incidence of the study's primary endpoint, vascular death at five weeks (9.4% vs. 11.8%; OR = 0.77 [95% CI 0.70–0.85],  $P < 0.00001$ ). Streptokinase also significantly reduced the incidence of the primary endpoint, but the combination of aspirin and streptokinase was not only superior to that of its placebo (8.0% vs. 13.2%; OR = 0.58 [95% CI 0.50–0.66],  $P < 0.00001$ ), but yielded significantly greater benefits than either agent alone. The mortality benefit in both aspirin groups persisted at 10 years of follow-up<sup>79</sup>.

### ADP receptor antagonists

To date, there have been no studies evaluating ADP receptor antagonists as monotherapy in the setting of ST elevation MI. However, when stenting is used as reperfusion therapy in this setting (i.e. primary stenting), these agents are routinely co-administered with aspirin (see below). In patients who receive either fibrinolysis or no reperfusion therapy and who do not undergo percutaneous revascularization, the relative benefits and risks of ADP receptor antagonism have not been explored. Given the benefits attributable to these agents in lower risk patients with CAD<sup>33</sup>, such studies would be worthwhile.

### GP IIb/IIIa inhibitors

#### Primary PCI with or without adjunctive abciximab

After encouraging preliminary observational data from the EPIC trial<sup>66</sup>, the ReoPro and Primary PTCA Organization and Randomized Trial (RAPPORT) prospectively evaluated abciximab in the setting of primary PTCA (Table 69.5)<sup>80</sup>. At 6 months, the study's primary endpoint, death, MI or any target vessel revascularization (TVR) was not significantly different between abciximab and placebo groups. However, there was less death, MI or urgent TVR in the abciximab group. As expected, the incidence of major bleeding was greater among abciximab-treated patients.

In a small trial ( $n = 200$ ), Neumann et al. demonstrated that the use of abciximab in primary stenting improved coronary microvascular function and left ventricular wall motion. Furthermore, it significantly reduced the incidence of the secondary endpoint, death, non-fatal MI or TVR at 30 days<sup>81</sup>. In the Abciximab before Direct Angioplasty and Stenting in Myocardial Infarction Regarding Acute and Long-term Follow-up (ADMIRAL)

study, abciximab reduced the incidence of the study's primary endpoint, death, MI or urgent TVR at 30 days, a benefit that was preserved at 6 months (Table 69.5)<sup>82</sup>. Minor bleeding was more frequent among abciximab-treated patients as well.

In contrast, the Controlled Abciximab and Device Investigation to Lower Late Angioplasty Complications (CADILLAC) trial randomized patients to PTCA alone, PTCA + abciximab, stent alone or stent + abciximab and found that the study's primary endpoint, death, MI, disabling stroke or urgent TVR at six months, was significantly reduced by stenting but not by abciximab (Table 69.5)<sup>83</sup>. Bleeding rates were not different between any of the four treatment strategies. While the addition of abciximab appeared to decrease the incidence of major adverse cardiac events among patients treated with primary PTCA, it conferred no additional benefit in the setting of primary stenting. Of concern, the study endpoints were not consistent in direction among patients in the stent arms; while abciximab was associated with increased death and MI in these patients (a finding inconsistent with the weight of previous trial evidence), it substantially reduced ischemic TVR. In the end, these opposing benefits nullified one another and the overall incidence of major adverse cardiac events appeared equal in both stent groups. It is also noteworthy that the incidence of reinfarction was much lower than expected in the placebo arms; as a result, the overall number of cardiac events upon which the analysis was performed and from which the study conclusions were drawn was relatively small.

#### Primary stenting with adjunctive abciximab vs. full-dose thrombolysis

The Stent versus Thrombolysis for Occluded coronary arteries in Patients with Acute Myocardial Infarction (STOP-AMI) study randomized 140 patients to either primary stenting with adjunctive abciximab or thrombolysis using accelerated alteplase<sup>84</sup>. Primary stenting with abciximab was associated with greater myocardial salvage and a reduced incidence of the secondary endpoint, death, MI or stroke at 6 months (8.5% vs. 23.2%; RR 0.34, 95% CI 0.13–0.88,  $P = 0.02$ ).

#### Full-dose thrombolysis with or without adjunctive abciximab, eptifibatide or lamifiban

Three phase II studies of full-dose thrombolysis with or without adjunctive GP IIb/IIIa inhibition for ST elevation MI have been conducted. The Thrombolysis and Angioplasty in Myocardial Infarction (TAMI) 8 Pilot<sup>85</sup>, Integrilin to Minimize Platelet Aggregation and Coronary Thrombosis (IMPACT)-AMI<sup>86</sup> and Platelet Aggregation

**Table 69.5.** Trials of platelet glycoprotein IIb/IIIa blockade for primary stenting

	RAPPORT			ADMIRAL			CADILLAC				
Period of enrolment	11/95–02/97			NA			NA				
Agent	Abciximab			Abciximab			Abciximab				
Study size ( <i>n</i> )	483			300			2665				
Population	ST elevation MI <12 hours			ST elevation MI <12 hours			ST elevation MI <12 hours				
Treatment group/s	Abciximab 0.25 mg/kg IV bolus, then 10 µg/min infusion × 12 hours initiated before, during or following coronary angiography ( <i>n</i> = 241)			Abciximab 0.25 mg/kg IV bolus followed by 0.125 µg/kg/min infusion × 12 hours prior to the intervention ( <i>n</i> = 150)			(1) PTCA + abciximab 0.25 mg/kg IV bolus pre-intervention, then 0.125 µg/kg/min infusion × 12 hours ( <i>n</i> = 529) (2) Stent + placebo ( <i>n</i> = 512) (3) Stent + abciximab 0.25 mg/kg IV bolus pre-intervention, then 0.125 µg/kg/min infusion × 12 hours ( <i>n</i> = 525)				
Control group	Placebo ( <i>n</i> = 242)			Placebo ( <i>n</i> = 150)			PTCA + placebo ( <i>n</i> = 516)				
Heparin dosing	Weight-adjusted heparin (100 U/kg) IV bolus (targeted to ACT 300 s) continued for up to 48 hours (target aPTT 60–85 s)			NA			Heparin IV bolus/es (target ACT 200–300 s for PTCA and > 350 s for stent) discontinued immediately following procedure				
Endpoint analysis	6 months			30-day			6 months				
Primary endpoint	Death, MI or any TVR			Death, MI, TLR			Death, non-fatal MI, disabling stroke or urgent TVR				
Outcome analysis	Placebo	Abciximab	<i>P</i> value <sup>c</sup>	Placebo	Abciximab	<i>P</i> value	PTCA	PTCA + Abx	Stent	Stent + Abx	<i>P</i> value
Primary endpoint (%)	28.1	28.2	0.90 <sup>d</sup>	20	10.7	<0.03 <sup>a</sup>	19.3	15.2	10.8	10.9	0.0001
Death (%)	4.5	4.1	0.82	4.7	3.3	NA	4.3	2.3	2.8	3.8	0.24
Non-fatal MI (%)	7.4	6.6	0.70	4.7	2.0	NA	1.6	2.1	1.2	2.3	0.53
Death or non-fatal MI (%)	11.2	8.7	0.36	NA	NA	NA	NA	NA	NA	NA	NA
Major bleeding (%)	9.5	11.6	0.02	2.6	4.0	0.50 <sup>b</sup>	NA	NA	NA	NA	NA

*Notes:*

MI = myocardial infarction; IV = intravenous; ACT = activated clotting time; s = seconds; aPTT = activated partial thromboplastin time; TVR = target vessel revascularization; NA = not available; TLR = target lesion revascularization; PTCA = percutaneous transluminal coronary angioplasty.

<sup>a</sup> 30-day death, non-fatal MI or urgent TVR in placebo vs. abciximab groups: 14.7% vs. 7.3%, *P* < 0.05.

<sup>b</sup> The 30-day incidence of minor bleeding in the placebo and abciximab groups: 1.3% vs. 6.7%, *P* = 0.02.

<sup>c</sup> *P* values reflect an across-group comparison using the log-rank test.

<sup>d</sup> At 6 months, respective incidence of death, MI or urgent TVR in placebo vs. abciximab: 17.8% vs. 11.6%, *P* = 0.048.

Receptor Antagonist Dose Investigation and Reperfusion Gain in Myocardial Infarction (PARADIGM)<sup>87</sup> trials assessed abciximab, eptifibatid and lamifiban for this purpose, respectively. Two of these studies demonstrated that adjunctive GP IIb/IIIa inhibition improved coronary macrovascular flow (as measured by Thrombolysis In Myocardial Infarction (TIMI) flow grade<sup>88</sup>)<sup>85,86</sup>, two revealed enhanced coronary microvascular flow (as measured by time to ECG ST segment recovery/stabilization)<sup>86,87</sup>, but only one found that adverse clinical event rates were lower with the addition of these agents<sup>85</sup>. Not surprisingly, bleeding rates were greater among those patients treated with GP IIb/IIIa inhibitors in the TAMI-8 and PARADIGM trials.

#### **Reduced-dose thrombolysis with adjunctive abciximab vs. full-dose thrombolysis**

In the Phase II study, Thrombolysis In Myocardial Infarction (TIMI)-14, greater rates of 90-minute IRA patency (the study's primary endpoint) and more complete resolution of ECG ST segment elevation at 90 minutes were observed with reduced-dose alteplase and abciximab than with full-dose alteplase alone<sup>89</sup>. The Phase II Strategies for Patency Enhancement in the Emergency Department (SPEED) trial assessed whether the combination of reduced-dose reteplase with abciximab could safely provide superior reperfusion than full-dose reteplase alone. Reteplase was employed for thrombolysis as earlier data had demonstrated higher rates of reperfusion with this agent than alteplase<sup>90</sup>. Reduced-dose reteplase with abciximab yielded significantly greater 60–90 minute TIMI 3 grade flow than full-dose reteplase alone. Interestingly, TIMI 3 flow was achieved less often if low-dose rather than standard-dose heparin (40 vs. 60 U/kg intravenous bolus) was administered with the reduced-dose reteplase and abciximab regimen. Based on these findings, the GUSTO-trial, is randomizing 16600 patients to full-dose reteplase vs. reduced-dose reteplase + abciximab with standard-dose heparin.

In summary, the evidence to date suggests that GP IIb/IIIa inhibitors improve angiographic and clinical outcomes when combined with thrombolysis and likely with primary PCI. Furthermore, primary stenting with adjunctive abciximab may be superior to full dose thrombolysis. Whether primary PCI with adjunctive GP IIb/IIIa receptor blockade is superior to reduced-dose thrombolysis with adjunctive GP IIb/IIIa inhibition remains to be seen.

## **Percutaneous coronary intervention**

### **Aspirin with or without ADP receptor antagonists**

#### **Percutaneous transluminal coronary angioplasty**

Adjunctive aspirin has been administered with percutaneous transluminal coronary angioplasty (PTCA) since this procedure was first performed in 1977. However, the first trial to demonstrate aspirin's clinical benefit in this setting did not appear until 1988<sup>91</sup>. In this study, aspirin given in combination with dipyridamole was associated with a significant reduction in the incidence of acute closure as manifest by lower rates of periprocedural Q-wave MI when compared with placebo (1.6% vs. 6.9%,  $P=0.011$ ).

#### **Coronary stenting**

Aspirin has been an integral component of the adjunctive pharmacologic regimen employed for coronary stenting as well<sup>92,93</sup>. Early pharmacologic regimens employed aspirin in combination with aggressive anticoagulation but were associated with poorer clinical outcomes and more procedural complications. At the other extreme, aspirin monotherapy is inadequate at preventing adverse clinical outcomes after coronary stenting. Although one small randomized trial suggested that aspirin in combination with ticlopidine was equivalent to aspirin monotherapy in preventing death, MI, revascularization or stent thrombosis, this study was underpowered<sup>94</sup>. The much larger Stent Anticoagulation Restenosis Study subsequently established the superiority of the aspirin–ticlopidine combination over aspirin alone. In that study, aspirin with ticlopidine significantly reduced the primary endpoint, death, TVR, target vessel thrombosis or recurrent MI when compared with aspirin monotherapy<sup>95</sup>. In addition, each of the individual component endpoints barring death were significantly reduced with aspirin–ticlopidine as compared with aspirin alone.

### **ADP receptor antagonists**

#### **Percutaneous transluminal coronary angioplasty with adjunctive ticlopidine**

Few data are available on the use of ADP receptor antagonists in the setting of stand-alone PTCA. One small study by Bertrand et al. randomized 266 patients to ticlopidine 250 mg twice daily or placebo beginning 2 days prior to and continuing for 6 months following PTCA in order to assess the effect of ticlopidine on acute closure and angiographic restenosis<sup>96</sup>. While no significant differences were noted in the rate of restenosis between groups, those in the ticlopi-

dine group had significantly fewer episodes of acute closure (5.1% vs. 16.2%,  $P < 0.01$ ).

### Coronary stenting with adjunctive ticlopidine

The ADP receptor antagonists have played a much more integral role in the adjunctive pharmacotherapy for coronary stenting than stand-alone PTCA. Four randomized controlled trials comparing anticoagulation to antiplatelet therapy with ADP receptor antagonists have been published (Table 69.6). The Intracoronary Stenting and Antithrombotic Regimen (ISAR) trial<sup>97</sup> and Stent Anticoagulation Restenosis Study (STARS)<sup>95</sup> both demonstrated significant reductions in composite major adverse cardiac events, while in the Full ANTicoagulation vs. ASpirin and TIClopidine (FANTASTIC) trial<sup>98</sup> and Multicenter Aspirin and Ticlopidine Trial after Intracoronary Stenting (MATTIS)<sup>99</sup> non-significant reductions in these events were observed with antiplatelet therapy. Treatment with an ADP receptor antagonist reduced the incidence of MI, target lesion revascularization (TLR) and stent thrombosis by varying degrees in each of these trials, and significantly reduced the incidence of bleeding complications in all four. Finally, hospital length of stay (LOS) was significantly shortened by ADP receptor antagonism in FANTASTIC and MATTIS, the two trials in which this endpoint was measured.

### Pre- vs. postprocedure ADP receptor antagonism

The duration of pretreatment with ADP receptor antagonists before coronary stenting also appears to influence the degree of protection from ischemic events conferred by these agents. In a Cleveland Clinic registry study of 175 patients who underwent coronary stenting, Steinhubl et al. observed that the rate of procedure-related non-Q MI varied with the duration of ticlopidine pretreatment<sup>100</sup>. Among those who began taking ticlopidine on the day of procedure, 1 to 2 days preprocedure and greater than 3 days before the procedure, the respective rates of post-procedure non-Q MI were 29%, 14% and 5% (chi-square for trend = 9.6,  $P = 0.002$ ). A TARGET trial (see below) sub-study, examining the effect of clopidogrel pretreatment is under way.

### Coronary stenting with adjunctive ticlopidine vs. clopidogrel

Despite the significant reductions in thrombotic and hemorrhagic complications following coronary stenting afforded by combination antiplatelet therapy compared to oral anticoagulation, ticlopidine use is limited by significant hematological and gastrointestinal side effects<sup>18</sup>. As a result, clopidogrel, a newer ADP receptor

antagonist with a more rapid onset of action, greater inhibition of platelet aggregation and more tolerable side effect profile<sup>18</sup> has also been tested in this setting. Ten studies have compared the combination of ASA and ticlopidine with ASA and clopidogrel in patients undergoing coronary stenting. Considered individually, data from these three randomized trials<sup>101–103</sup> and seven registries<sup>104–110</sup> have demonstrated that the combination of clopidogrel and ASA is safer and at least as efficacious in preventing major adverse cardiac events following coronary stenting than combined ticlopidine and ASA. When these studies were meta-analysed, clopidogrel was associated with significant reductions in the incidence of major adverse cardiac events (OR 0.50, 95% CI 0.41–0.62,  $P = 0.001$ ), including mortality (OR 0.43, 95% CI 0.28–0.66,  $P = 0.001$ ) as compared with ticlopidine<sup>111</sup>. Consequently, most interventional cardiologists have now switched from ticlopidine to clopidogrel for patients undergoing coronary stenting.

### GP IIb/IIIa receptor blockade for percutaneous coronary intervention

Platelets play a central role in the development of ischemic complications following percutaneous interventions<sup>112,113</sup>, and therapeutic intravenous GP IIb/IIIa receptor blockade was first explored in this setting (Table 69.7).

### Abciximab

The first clinical trial demonstrating the benefit of GP IIb/IIIa receptor blockade in any patient population was the Evaluation of c7E3 for the Prevention of Ischemic Complications (EPIC). EPIC randomly assigned patients undergoing PTCA or DCA who were at high-risk for abrupt closure to an abciximab (C7E3) bolus and infusion, abciximab bolus with placebo infusion, or placebo bolus and infusion<sup>114</sup>. Compared with the placebo bolus and infusion, the abciximab bolus and infusion was associated with a significant reduction in major ischemic events by 30 days, a difference largely due to reductions in non-fatal MI and emergent PTCA. Nevertheless, major bleeding was twice as common with abciximab than placebo. The clinical benefits were durable at 6 months<sup>115</sup> and 3 years<sup>116</sup>. Notably, among those enrolled with unstable angina or evolving MI, the highest risk patient subgroup in that trial, abciximab treatment was associated with a substantial reduction in 3-year mortality (5.1% vs. 12.7%,  $P = 0.01$ ).

The Evaluation of PTCA to Improve Long-Term Outcome with Abciximab GP IIb/IIIa Blockade (EPILOG) trial was conducted to discern whether GP IIb/IIIa receptor blockade would benefit a broad range of patients undergoing PCI, and whether using less heparin could reduce

**Table 69.6.** Randomized trials of anticoagulation vs. ticlopidine for coronary stenting

	ISAR	FANTASTIC	STARS	MATTIS
Period of enrolment	10/94–09/95	05/95–05/96	02/96–11/96	02/96–01/97
Study size ( <i>n</i> )	517	485	1653	350
Population	Successful stenting for symptomatic stable or unstable coronary syndromes in intermediate risk patients	Attempted planned or unplanned stenting in intermediate risk patients	Successfully stented low risk patients (excluded acute MI)	High risk patients
Treatment group/s	Ticlopidine 250mg twice daily × 4 weeks beginning postprocedure ( <i>n</i> = 257)	Ticlopidine 500 mg oral load in cath lab, then 250 mg twice daily × 6 weeks ( <i>n</i> = 243)	Ticlopidine 250 mg postprocedure and then twice daily thereafter × 4 weeks ( <i>n</i> = 546)	Ticlopidine 500 mg oral load within 6 hours postprocedure, then 250 mg twice daily ( <i>n</i> = 177).
Control group	Phenprocoumaron (target INR 3.5–4.5) × 4 weeks ( <i>n</i> = 260)	Oral anticoagulation (target INR 2.5–3.0) × 6 weeks ( <i>n</i> = 230)	(1) Aspirin only ( <i>n</i> = 557) (2) Warfarin (target INR 2.0–2.5) × 4 weeks ( <i>n</i> = 550)	Oral anticoagulation (target INR 2.5–3.0) ( <i>n</i> = 173)
ASA dosing	Aspirin 500 mg preprocedure; 100 mg twice daily post-procedure	Aspirin 100–325 mg preprocedure and daily thereafter	Aspirin 325 mg preprocedure and daily thereafter	Aspirin 250 mg within 6 hours postprocedure, then daily
Heparin dosing	Heparin 15000 U IV bolus pre-procedure. Infusion (target aPTT 80–100 s) discontinued 12 hours postprocedure in ticlopidine group; continued 5–10 days postprocedure in phenprocoumaron group	Heparin 10000 U IV bolus preprocedure with supplemental boluses as needed; no postprocedure heparin in ticlopidine group if same-day sheath removal; infusion continued in anticoagulation arm (target aPTT 2.0–2.5 × normal) until therapeutic INR × 2 days	Heparin 10–15000 U IV bolus pre-procedure (target ACT 250–300 s); in warfarin arm, infusion continued (target aPTT 40–60 s) until therapeutic INR	Heparin infusion discontinued 6 hours before sheath removal in ticlopidine group and continued (target aPTT 2.0–2.5 × normal) until therapeutic INR × 2 days in anticoagulation arm
Stent	Palmaz–Schatz	Wiktor	Palmaz–Schatz	Per investigators' discretion
Endpoint analysis	30 days	6 weeks	30 days	30 days
Primary endpoint	Cardiac death, MI or TVR	Any bleeding	Death, TLR, target vessel thrombosis or non-fatal MI	Cardiovascular death, non-fatal MI or TLR
Outcome analysis	OAC    T <i>P</i> value	OAC    T <i>P</i> value	ASA    T <i>P</i> value <sup>d</sup> OAC <i>P</i> value <sup>e</sup>	OAC    T <i>P</i> value
Primary endpoint (%)	6.2    1.6    0.01	21.0    13.5    0.03	3.6    0.5    <0.001    2.7    0.01	11.0    5.6    0.07
Death (%)	0.8    0.4    1.0	1.7    0.8    0.37	0.2    0    –    0    –	1.2    1.7    0.67 <sup>c</sup>
Non-fatal MI (%)	3.5    0.8    0.06	6.5    4.9    0.46 <sup>c</sup>	2.7    0.5    0.014    2.0    0.11	6.9    3.4    0.13 <sup>c</sup>
TLR%	5.4    1.2    0.01 <sup>b</sup>	NA    NA    NA	3.4    0.5    0.001    2.5    0.02	8.1    3.4    0.06 <sup>c</sup>
Stent thrombosis (%)	5.0    0    <0.001	3.9    2.8    0.53	2.9    0.5    0.001    2.7    0.01	NA    NA    NA
Major bleeding (%) <sup>a</sup>	6.5    0    <0.001	21.0    13.5    0.03	1.8    5.5    0.002    6.2    0.99	6.9    1.7    0.02
Length of stay (days)	NA    NA    NA	6.4    4.3    0.0001	NA    NA    NA    NA    NA	7.7    4.6    <0.0001

*Notes:*

MI = myocardial infarction; TLR = target lesion revascularization; INR = international normalized ratio; IV = intravenous; aPTT = activated partial thromboplastin time; OAC = oral anticoagulation; T = ticlopidine; NA = not available; ASA = aspirin.

<sup>a</sup> Bleeding defined as requiring surgical correction, transfusion or causing organ dysfunction in ISAR, as requiring blood transfusion in STARS, bleeding of any kind in FANTASTIC, and as one or more of the following in MATTIS: surgical repair of the vascular access site, decrease in hemoglobin ≥ 4 g/dL and/or requiring ≥ 2U blood transfusion, intracranial or retroperitoneal hemorrhage.

<sup>b</sup> These data reflect TVR.

<sup>c</sup> *P* values not provided; calculated using Cochran Mantel–Haenszel chi square test.

<sup>d</sup> Aspirin + ticlopidine compared with ASA alone.

<sup>e</sup> Aspirin + ticlopidine compared with ASA + warfarin.

**Table 69.7.** Trials of platelet glycoprotein IIb/IIIa inhibitors for percutaneous coronary intervention

	EPIC				CAPTURE			IMPACT-II					RESTORE		
Period of enrolment	11/91–11/92				05/93–12/95			11/93–11/94					01/95–12/95		
Agent	Abciximab				Abciximab			Eptifibatide					Tirofiban		
Study size ( <i>n</i> )	2099				1265			4010					2141		
Population	High-risk for abrupt closure				Medically refractory unstable angina			Elective, urgent or emergent intervention					Within 72 hours of ACS		
Treatment group/s	(1) Abciximab 0.25 mg/kg IV bolus ( <i>n</i> = 695) (2) Abciximab 0.25 mg/kg IV bolus 10 minutes preprocedure, then 10 µg/min infusion × 12 hours ( <i>n</i> = 708)				Abciximab 0.25 mg/kg IV bolus, then 10 µg/min infusion × 18–24 hours preprocedure and × 1 h postprocedure ( <i>n</i> = 630).			(1) Eptifibatide 135 µg/kg IV bolus 10–60 minutes preprocedure, then 0.5 µg/kg/min infusion × 20–24 hours ( <i>n</i> = 1349) (2) Eptifibatide 135 µg/kg IV bolus, 0.75 µg/kg/min infusion for 20–24 hours ( <i>n</i> = 1333)					Tirofiban 10 µg/kg IV bolus over 3 minutes, then 0.15 µg/kg/min infusion × 36 hours ( <i>n</i> = 1071)		
Control group	Placebo ( <i>n</i> = 696)				Placebo ( <i>n</i> = 635)			Placebo ( <i>n</i> = 1328)					Placebo ( <i>n</i> = 1070)		
Aspirin/ADP receptor antagonist dosing	Aspirin 325 mg ≥ 2 hours preprocedure and daily thereafter				Aspirin 50–250 mg oral load preprocedure and ≥ 50 mg daily thereafter			Aspirin 325 mg preprocedure, indefinitely thereafter					Aspirin 325 mg ≤ 12 hours preprocedure		
Heparin dosing	Heparin 10–12000 U IV bolus (target ACT 300–350 s) and infusion (target aPTT 1.5–2.5 × normal) × ≥ 12 hours				Heparin 100U/kg IV bolus (target ACT > 300 s) adjusted to keep aPTT 2–2.5 × normal continued ≥ 1 hour postprocedure			Heparin 100U/kg IV bolus (target ACT 300–350 s) discontinued immediately following procedure					Heparin 150U/kg IV bolus (target ACT 300–400 s) preprocedure. Discontinued immediately postprocedure		
PCI	PTCA or DCA				PTCA			PTCA, DCA, RCA, excimer laser angioplasty					PTCA, DCA		
Endpoint analysis	30 days				30 days			30 days					30 days		
Primary endpoint	Death, nonfatal MI, refractory ischemia, emergent revascularization, stent placement, IABP insertion				Death, non-fatal MI or urgent revascularization			Death, MI, urgent/emergent revascularization, or stent for abrupt or threatened closure					Death, non-fatal MI, stent for abrupt/threatened closure, any revascularization		
Outcome analysis	Placebo	Abx bolus	Abx bolus/gtt	<i>P</i> value <sup>b</sup>	Placebo	Abx	<i>P</i> value	Placebo	Ept 135/5	<i>P</i> value	Ept 135/75	<i>P</i> value	Placebo	Tirofiban	<i>P</i> value
Primary endpoint (%)	12.8	11.4	8.3	0.009 <sup>c</sup>	15.9	11.3	0.012	11.4	9.2	0.063 <sup>f</sup>	9.9	0.22 <sup>f</sup>	12.2	10.3	0.16 <sup>g</sup>
Death (%)	1.7	1.3	1.7	0.96	1.3	1.0	>0.1	1.1	0.5	<i>P</i> = NS	0.8	<i>P</i> = NS	0.7	0.8	0.81
Non-fatal MI (%)	8.6	6.2	5.2	0.013	8.2	4.1	0.002	8.1	6.6	<i>P</i> = NS	6.9	<i>P</i> = NS	5.7	4.2	0.11
Death or non-fatal MI (%)	NA	NA	NA	NA	9.0	4.8	0.003	8.4	6.9	0.13	7.3	0.27	NA	NA	NA
Urgent revascularization (%)	4.5	3.6	0.8	<0.001 <sup>d</sup>	10.9	7.8	0.054	2.8	2.6	<i>P</i> = NS <sup>d</sup>	2.9	<i>P</i> = NS <sup>d</sup>	5.4	4.2	0.18 <sup>d</sup>
Major bleeding (%) <sup>d</sup>	6.6	10.9	14.0	0.001 <sup>e</sup>	19.0	3.8	0.043	4.8	5.1	<i>P</i> = NS	5.2	<i>P</i> = NS	3.7	5.3	0.096



	EPILOG	EPISTENT	ESPRIT	TARGET
Period of enrolment	02/95–12/95	07/96–09/97	NA	12/99–08/00
Agent	Abciximab	Abciximab	Eptifibatide	Tirofiban
Study size ( <i>n</i> )	2792	2399	2064	4812
Population	Elective or urgent intervention, excluding ACS or planned stent/DCA	Elective or urgent PCI, excluding acute MI	Elective coronary stenting	Stable CAD or unstable ACS
Treatment group/s	(1) Abciximab 0.25 mg/kg IV bolus 10–60 minutes preprocedure, then 0.125 µg/kg/min infusion × 12 hours; standard-dose heparin ( <i>n</i> = 918) (2) 0.25 mg/kg IV bolus 10–60 minutes preprocedure, then 0.125 µg/kg/min infusion × 12 hours; low-dose heparin ( <i>n</i> = 935)	(1) Stent + abciximab 0.25 mg/kg IV bolus up to 60 minutes preprocedure, then 0.125 µg/kg/min infusion × 12 hours; low-dose heparin ( <i>n</i> = 794) (2) PTCA + abciximab 0.25 mg/kg IV bolus up to 60 minutes preprocedure, then 0.125 µg/kg/min infusion × 12 hours; low-dose heparin ( <i>n</i> = 796)	Eptifibatide 180 µg/kg bolus, second eptifibatide 180 µg/kg bolus 10 min after the first, then 2.0 µg/kg / min infusion × 18–24 hours ( <i>n</i> = 1040)	Abciximab 0.25 mg/kg IV bolus, then 0.125 µg/kg/min × 12 hours ( <i>n</i> = 2414)
Control group	Placebo ( <i>n</i> = 939)	Stent + Placebo; standard-dose heparin ( <i>n</i> = 809)	Placebo ( <i>n</i> = 1024)	Tirofiban 10 µg/kg IV bolus, then 0.15 µg/kg/min × 18–24 hours ( <i>n</i> = 2398)
Aspiririn/ADP receptor antagonist dosing	Aspirin 325 mg 2 hours preprocedure and daily thereafter	Aspirin 325 mg 2 hours preprocedure and daily thereafter; ticlopidine 250 mg twice daily in stented patients	All received aspirin	All received aspirin; clopidogrel 300 mg oral load pre-PCI, then 75 mg daily × 29 days
Heparin dosing	(1) Standard-dose: Heparin 100 U/kg IV bolus (target ACT >300 s) discontinued immediately postprocedure (2) Low-dose: 70 U/kg heparin IV bolus (target ACT > 200 s) discontinued immediately postprocedure	(1) Standard-dose: Heparin 100 U/kg IV bolus (target ACT >300 s) discontinued immediately postprocedure (2) Low-dose: Heparin 70U/kg IV bolus (target ACT > 200 s) discontinued immediately postprocedure	Heparin 60 U/kg IV bolus (target ACT 200–300 s)	Heparin 70 U/kg IV bolus (target ACT 250 s) discontinued immediately postprocedure
PCI	PTCA, DCA, or excimer laser angioplasty	As randomized per protocol	Stent	Stent
Endpoint analysis	30 days	30 days	48 hours	30 days
Primary endpoint	Death, non-fatal MI or urgent revascularization	Death, non-fatal MI or urgent revascularization	Death, MI, urgent TVR or need for bail-out GP IIb/IIIa receptor blockade	Death, non-fatal MI or urgent TVR

**Table 69.7. (cont.)**

Outcome analysis	EPIC		CAPTURE		IMPACT-II		RESTORE				Eptifibatide			Tirofiban		
	Placebo	Abx-Low	P value	Abx-High	P value	Stent-Pbo	PTCA-Abx	P value	Stent-Abx	P value	Placebo	Eptifibatide	P value	Tirofiban	Abciximab	P value
Primary endpoint (%)	11.7	5.2	<0.001	5.4	<0.001	10.8	6.9	0.007	5.3	<0.001	10.5	6.6	0.0015	7.55	6.01	0.037
Death (%)	0.8	0.3	0.21	0.4	0.39	0.6	0.8	<i>P</i> =NS	0.3	<i>P</i> =NS	0.2	0.1	0.55	0.50	0.41	<i>P</i> =NS
Non-fatal MI (%)	8.7	3.7	<0.001	3.8	<0.001	9.6	5.3	<0.05	4.5	<0.05	9.0	5.4	0.0015	6.88	5.43	0.04
Death or non-fatal MI (%)	9.1	3.8	<0.001	4.2	<0.001	7.8	4.7	0.010	3.0	<0.001	9.2	5.5	0.0013	7.17	5.72	0.043
Urgent revascularization (%)	5.2	1.6	<0.001	2.3	<0.001	2.1	1.9	<i>P</i> =NS	1.3	<i>P</i> =NS	1.0	0.6	0.30	0.83	0.66	<i>P</i> =NS
Major bleeding (%) <sup>a</sup>	3.1	2.0	0.19	3.5	0.70 <sup>b</sup>	2.2	1.4	NA	1.5	NA	0.4	1.3	0.02 <sup>i</sup>	1.17	0.95	0.47

*Notes:*

ADP = adenosine diphosphate; PCI = percutaneous coronary intervention; MI = myocardial infarction; IV = intravenous; ACT = activated clotting time; sec = seconds; aPTT = activated partial thromboplastin time; PTCA = percutaneous transluminal coronary angioplasty; DCA = directional coronary atherectomy; IABP = intra-aortic balloon pump; abx = abciximab; NA = not available; RCA = rotational coronary atherectomy; ept = eptifibatide; NS = non-significant; ACS = acute coronary syndrome; TVR = target vessel revascularization; CAD = coronary artery disease.

<sup>a</sup>Major bleeding defined according to the Thrombolysis in Myocardial Infarction criteria as ICH or decrease in hemoglobin  $\geq 5$  g/dl in EPIC, CAPTURE, IMPACT-II, EPILOG, EPISTENT, ESPRIT and TARGET; as decrease in hemoglobin  $\geq 5$  g/dl, transfusion 2 U of blood, corrective surgery, ICH or retroperitoneal bleed in RESTORE;

<sup>b</sup>*P* value for trend.

<sup>c</sup>For comparison of abciximab bolus and infusion vs. placebo groups, *P*=0.008; for comparison of bolus only vs. placebo, *P*=0.43.

<sup>d</sup>Comparison reflects PCI only (i.e. not CABG).

<sup>e</sup>For comparison of abciximab bolus and infusion vs. placebo groups only.

<sup>f</sup>On-treatment analysis of 30-day primary endpoint: placebo vs. 135/0.5 (11.6% vs. 9.1%, *P*=0.035); placebo vs. 135/0.75 (11.6% vs. 10.0%, *P*=0.18).

<sup>g</sup>When only urgent/emergent revascularization included in 30-day composite primary endpoint, placebo vs. tirofiban (10.5% vs. 8.0%, *P*=0.052)

<sup>h</sup>Minor bleeding in placebo, low-dose and high-dose groups: 3.7% vs. 4.0% vs. 7.4% (*P*<0.001 and *P*=0.81 for high-dose and low-dose vs. placebo, respectively).

<sup>i</sup>*P* value not available; calculated using Mantel-Haenszel chi-square test.

hemorrhagic complications without attenuating the clinical benefit. EPILOG randomly assigned patients to a bolus and infusion of placebo with standard-dose heparin, abciximab with standard-dose heparin or abciximab with low-dose heparin. By 30 days, abciximab treatment had significantly reduced the incidence of ischemic events by more than half, regardless of the heparin dosing strategy. Although major bleeding did not vary by treatment assignment, significantly more minor bleeding was observed in the abciximab + standard-dose heparin than control group (7.4% vs. 3.7%,  $P < 0.001$ ). Between 30 days and six months, some attenuation in benefit occurred due to abciximab's lack of effect on non-urgent TVR (a finding in direct contrast with the EPIC trial). Nevertheless, the incidence of the composite, death, MI or repeat revascularization at 6 months was reduced from 25.8% in the placebo group, to 22.3% in the abciximab + standard-dose heparin group ( $P = 0.04$ ) and 22.8% in the abciximab + low-dose heparin group ( $P = 0.07$ ).

While the EPIC and EPILOG studies examined the effect of abciximab during and following a PCI, the C7E3 Fab AntiPlatelet Therapy in Unstable REfractory angina (CAPTURE) trial<sup>67</sup> assessed the effect of prolonged preprocedural abciximab in medically refractory unstable angina. The trial was terminated early due to the significant reduction in the 30-day ischemic endpoint afforded by abciximab. However, by 6 months, there was no longer a significant difference in the incidence of ischemic events between abciximab and placebo arms. Abciximab pretreatment reduced the incidence of MI before the index PCI, a finding that suggested abciximab might be of benefit for unstable coronary syndromes regardless of the ultimate treatment strategy. As noted above, this hypothesis was not borne out in the subsequent GUSTO IV-ACS trial.

Very few patients in the EPIC, EPILOG and CAPTURE trials underwent coronary stenting. Given the superiority of stenting over PTCA for preventing repeat revascularization<sup>92,93</sup>, the Evaluation of Platelet IIb/IIIa Inhibitor for STENTing (EPISTENT) trial randomized patients to stent + abciximab, PTCA + abciximab or stent + placebo<sup>65</sup>. The incidence of death, non-fatal MI or urgent revascularization was significantly reduced in the stent + abciximab group and in the PTCA + abciximab group when compared with the stent + placebo group. These results were durable at six months<sup>117</sup> and 1 year, and were largely the result of reductions in death and MI afforded by abciximab<sup>118</sup>. Perhaps, most notably, the death rate at 1 year was significantly lower in the stent + abciximab group than the stent + placebo group (2.4% vs. 1.0%,  $P = 0.037$ ), additional data suggesting that GP IIb/IIIa blockade might confer a survival advantage in the setting of PCI.

Despite the suggestion that GP IIb/IIIa inhibition with abciximab might reduce the incidence of clinical restenosis in the EPIC trial<sup>115</sup>, these findings were not borne out in the subsequent EPILOG<sup>119</sup> or EPISTENT<sup>117</sup> studies, excepting diabetics, who witnessed a significant reduction in TVR at 6 months in the latter trial (22.4% vs. 13.7%,  $P = 0.035$ )<sup>120</sup>. The Evaluation of ReoPro And Stenting to Eliminate Restenosis (ERASER) trial randomized approximately 200 patients to a bolus and infusion of abciximab or placebo at the time of coronary stenting and found no difference in the primary endpoint, restenotic tissue volume by intravascular ultrasound (there was a non-significant trend toward reduced volume of restenotic tissue among abciximab-treated diabetics, however)<sup>121</sup>. Similarly, the Intracoronary Stenting and Antithrombotic Regimen-2 (ISAR-2) trial randomized patients undergoing coronary stenting within 48 hours of an acute ST elevation MI to abciximab or placebo bolus and infusion and found no difference in the primary endpoint, angiographic restenosis at 6 months among 366 patients<sup>122</sup>. Thus, to date, the only data supporting the role of GP IIb/IIIa receptor blockade for inhibiting restenosis are in diabetics.

### Eptifibatide

After promising pilot data on eptifibatide in the setting of PCI<sup>123,124</sup>, patients undergoing elective, urgent or emergent intervention in the Integrilin to Minimize Platelet Aggregation and Coronary Thrombosis (IMPACT)-II study were randomized to an eptifibatide bolus with moderate-dose infusion, eptifibatide bolus with low-dose infusion or a placebo bolus and infusion<sup>125</sup>. At 30 days, there was a gradient of benefit, albeit non-significant, with increasing eptifibatide dose and no statistically significant difference in the rates of bleeding complications. In an on-treatment analysis, the composite endpoint, death, MI, urgent revascularization or placement of a stent for abrupt or threatened closure was significantly reduced in the low-dose eptifibatide group when compared with placebo.

The Enhanced Suppression of the Platelet Receptor glycoprotein IIb/IIIa using Integrilin Therapy (ESPRIT) trial sought to determine whether eptifibatide would confer benefit in patients undergoing elective coronary stenting. Utilizing a novel dosing strategy aimed at attaining greater platelet inhibition, this trial randomized patients to a double bolus and infusion of eptifibatide or placebo. At 48 hours, the study's primary endpoint, death, MI, urgent TVR or bailout GP IIb/IIIa inhibitor use, was significantly reduced. By 30 days, the composite secondary endpoint, death, MI or urgent TVR was significantly reduced by eptifibatide (6.8% vs. 10.5%,  $P = 0.003$ ), a difference largely driven by reductions in death and MI. Finally, a posthoc analysis of the angiographic data from 43 patients in this

trial revealed that eptifibatide also significantly improved coronary flow reserve when compared with placebo ( $1.78 \pm 0.95$  vs.  $1.28 \pm 0.40$ ,  $P=0.02$ )<sup>126</sup>. Six-month trial results are not yet available. While it appears that eptifibatide reduces major adverse cardiac events following PCI, its efficacy relative to other GP IIb/IIIa inhibitors in this setting has not yet been studied.

### Tirofiban

The Randomized Efficacy Study of Tirofiban for Outcomes and Restenosis (RESTORE) was designed to assess the effect of tirofiban in PCI. RESTORE enrolled patients undergoing PTCA or DCA within 72 hours of USA or an MI and randomized them to tirofiban or placebo bolus and infusion<sup>127</sup>. The incidence of death, MI, stent for threatened/abrupt closure or any revascularization at 30 days was non-significantly reduced in the tirofiban-treated group and bleeding complications were similar in the two treatment groups. When the composite endpoint, death, non-fatal MI or urgent/emergent revascularization was examined to allow for comparison with trials of other GP IIb/IIIa inhibitors in this setting, the event rate in the tirofiban group was reduced by 24%, although this result was of only marginal statistical significance. At 6 months, the incidence of the primary endpoint remained lower in the tirofiban group (24.1% vs. 27.1%,  $P=0.11$ )<sup>128</sup>.

### Head-to-head comparison of GP IIb/IIIa inhibition in PCI

The role of tirofiban in PCI was further examined in the equivalency trial, do Tirofiban and Abciximab for Revascularization Give Equivalent outcomes? (TARGET)<sup>129</sup>. This study randomized patients undergoing coronary stenting to either abciximab or tirofiban and found that abciximab was superior to tirofiban in preventing the composite endpoint, death, MI or urgent revascularization at 30 days. No trials of abciximab or tirofiban vs. eptifibatide for PCI are currently under way.

### Note added in proof

A number of noteworthy developments in the antiplatelet therapy arena occurred following submission of this chapter. Important trials in primary and secondary prevention, non-ST and ST elevation ACS and PCI have subsequently been published or presented and are reviewed below:

#### Primary prevention

The Primary Prevention Project (PPP) randomized 4495 people who had at least one cardiovascular disease risk

factor but no known coronary heart disease to either aspirin 100 mg daily or placebo.<sup>130</sup> It was stopped prematurely after a mean of 3.6 years after the TPT<sup>22</sup> and HOT<sup>23</sup> trials revealed that aspirin therapy was of unequivocal benefit in the primary prevention of cardiovascular disease. In the PPP, aspirin therapy significantly lowered the risk of cardiovascular death compared with placebo (0.8% vs. 1.4%, RR 0.56 [95% CI 0.31–0.99],  $P=0.049$ ). In addition, the composite cardiovascular death, non-fatal MI, angina, non-fatal stroke, transient ischemic attack, peripheral arterial disease or revascularization procedures was also significantly lowered among patients assigned to aspirin treatment (6.3% vs. 8.2%, RR 0.77 [95% CI 0.62–0.95],  $P=0.014$ ). Importantly, while bleeding complications were more frequent among aspirin-treated patients (1.1 vs. 0.3%,  $P=0.0008$ ), aspirin's observed benefit did not occur at the expense more hemorrhagic cerebrovascular events.

#### Secondary prevention

After enrolling 9200 patients, the Blockade of the IIb/IIIa Receptor to Avoid Vascular Occlusion (BRAVO) trial was prematurely terminated per the recommendation of the independent Data and Safety Monitoring Board. Compared with placebo, lotrafiban was associated with a significant increase in mortality (2.7% vs. 2.0%,  $P=0.022$ ), serious thrombocytopenia (2.2% vs. 0.5%) and major bleeding (4.2% vs. 1.3%,  $P<0.0001$ ). Given similar findings in studies of sibrifiban, orbofiban and zemilofiban, trials of chromofiban and roxifiban been terminated and there are currently no ongoing phase III trials of any oral glycoprotein IIb/IIIa inhibitor.

In the Clopidogrel in Unstable Angina to Prevent Recurrent Events (CURE) trial, clopidogrel treatment was added to background aspirin therapy for 3–12 months following a non-ST elevation ACS.<sup>131</sup> At 12 months, clopidogrel significantly reduced the primary composite endpoint, cardiovascular death, non-fatal MI or stroke (9.3% vs. 11.4%, RR 0.80 [0.72–0.90],  $P<0.001$ ). The occurrence of any composite endpoint component or refractory ischemia was also significantly reduced (16.5% vs. 18.8%, RR 0.86 [0.79–0.94],  $P<0.001$ ). Nevertheless, minor (5.1% vs. 2.4%, RR 2.12 [1.75–2.56],  $P<0.001$ ) and major (3.7% vs. 2.7%, RR 1.38 [1.13–1.67],  $P=0.001$ ) bleeding, and the need to transfuse two or more units of blood (2.8% vs. 2.2%, RR 1.30 [1.04–1.62],  $P=0.02$ ) occurred more frequently among patients randomized to clopidogrel therapy.

#### Non-ST elevation ACS

The TACTICS Thrombolysis In Myocardial Infarction (TIMI)-18 trial randomized 2220 patients with a non-ST elevation ACS to an early invasive or conservative

approach.<sup>132</sup> All patients were treated with aspirin, heparin and tirofiban (the latter for 48 hours or until revascularization and then for 12 hours following PCI). The early invasive strategy was associated with a significantly lower incidence of the primary composite endpoint, death, non-fatal MI or rehospitalization for an ACS within six months when compared with the conservative strategy (15.9% vs. 19.4%, OR 0.97 [0.62–0.97],  $P=0.025$ ). While the trial did not specifically assess the efficacy of tirofiban, it was remarkable in that an early invasive strategy with background tirofiban was associated with a 4.7% incidence of death or non-fatal MI at 30 days, a rate lower than in prior trials of patients with non-ST elevation ACS.

### ST elevation ACS

The Global Use of Strategies To Open occluded coronary arteries (GUSTO) V trial randomized 16,588 patients who presented within six hours of an ST elevation MI to either standard-dose reteplase or half-dose reteplase with full-dose abciximab.<sup>133</sup> Although the incidence of the trial's primary endpoint, all-cause mortality at 30-days, was lower among patients treated with combination therapy, this difference was not statistically significant (5.6% vs. 5.9%, RR 0.95 [95% CI 0.84–1.08],  $P=0.43$ ). Nevertheless, the rates of the composite endpoint death or non-fatal MI (7.4% vs. 8.8%, RR 0.83 [0.74–0.93],  $P=0.0011$ ) were significantly reduced by combination therapy. Although the incidence of intracranial bleeding was similar in each group, mild, moderate and severe bleeding complications were nearly twice as common, and the development of thrombocytopenia and need for blood transfusion were also significantly increased among patients treated with combination therapy.

The Assessment of the Safety and Efficacy of a New Thrombolytic (ASSENT)-3 study randomized 6,095 patients with an ST elevation MI to one of three treatment strategies: full-dose tenecteplase plus *enoxaparin*; half-dose tenecteplase plus weight-adjusted low-dose unfractionated heparin and *abciximab*; or, full-dose tenecteplase plus weight-adjusted *unfractionated heparin*.<sup>134</sup> As in GUSTO V, 30-day mortality did not vary by treatment group. However, after adjusting for multiple statistical comparisons, the composite primary efficacy endpoint 30-day death, in-hospital non-fatal MI or in-hospital refractory ischemia was significantly reduced in both the enoxaparin and abciximab groups compared to the unfractionated heparin group (11.4% enoxaparin vs. 15.4% unfractionated heparin, RR 0.74 [95% CI 0.63–0.87],  $P=0.0002$ ; 11.1% abciximab vs. 15.4% unfractionated heparin, RR 0.72 [0.61–0.84],  $P=0.0009$ ). After similar adjustments, the composite efficacy and safety endpoint (primary efficacy endpoint plus intracranial bleeding or

major in-hospital bleeding complications) was significantly reduced in the enoxaparin but only marginally reduced in the abciximab group compared with the unfractionated heparin group (13.7% enoxaparin vs. 17.0% unfractionated heparin, RR 0.81 [95% CI 0.70–0.93],  $P=0.0146$ ; 14.2% abciximab vs. 17.0% unfractionated heparin, RR 0.84 [95% CI 0.72–0.96],  $P=0.057$ ).

Thus, the addition of glycoprotein IIb/IIIa inhibitor therapy to thrombolysis not only improves patency in the infarct-related epicardial vessel and enhances tissue-level perfusion in the downstream myocardium, but appears to reduce combined morbidity and mortality as well. Nevertheless, these benefits occurred at the cost of more frequent thrombocytopenia, bleeding complications and need for blood transfusion.

### PCI

In the TARGET trial, while abciximab was superior to tirofiban in preventing the composite endpoint death, non-fatal MI or urgent target vessel revascularization at 30 days,<sup>135</sup> these treatments appeared to be equally efficacious at six months (14.3% abciximab vs. 14.8% tirofiban, RR 1.04 [95%CI 0.90–1.21],  $P=0.591$ ).

In the CURE trial PCI substudy, 1313 patients were randomized to clopidogrel and 1345 to placebo.<sup>136</sup> In addition to aspirin, patients were pretreated with study drug for a median of six days prior to their PCI and the majority given an open-label thienopyridine for four weeks afterward. Study drug was subsequently reinitiated for an additional eight months on average. Clopidogrel treatment significantly reduced the incidence of the primary composite endpoint, death, non-fatal MI or urgent target vessel revascularization at 30 days compared with placebo (4.5% vs. 6.4%, RR 0.70 [95% CI 0.50–0.97],  $P=0.03$ ). The incidence of MI prior to the PCI was also significantly reduced by clopidogrel treatment (3.6% vs. 5.1%, RR 0.68 [0.47–0.99],  $P=0.04$ ). While minor bleeding complications were more frequent among clopidogrel-treated patients (3.5% vs. 2.1%, RR 1.68 [1.06–2.68],  $P=0.03$ ), the incidence of major bleeding and the need for blood transfusion were no different between groups.

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## Antiplatelet therapies in neurology

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The major role of antiplatelet therapy in neurology is in the acute treatment and secondary prevention of ischemic stroke caused by arterial thromboembolism and, in some patients, atrial fibrillation. Because ischemic stroke is one of the most common neurological conditions<sup>1</sup>, antiplatelet agents are used widely by neurologists and their patients.

### Stroke

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#### Definition

Stroke is characterized by rapidly developing clinical symptoms and signs of focal, and at times global, loss of cerebral function lasting more than 24 hours or leading to death, with no apparent cause other than that of vascular origin<sup>2,3</sup>.

#### Incidence/prevalence

Stroke is a worldwide problem with an incidence of about 2 per 1000 per year<sup>1,4,5</sup>. The prevalence is about 9–12 per 1000<sup>1,6</sup>. Stroke can occur at any age, but half of all strokes occur in people over 70–75 years of age.

#### Etiology

About 80% of all strokes are ischemic in nature and are due to cerebral infarction, usually resulting from thrombotic or embolic occlusion of a cerebral artery; the remainder are caused by either intracerebral hemorrhage (15%) or subarachnoid hemorrhage (5%)<sup>7</sup>. The causes of ischemic stroke include embolism via, or from, the heart (20%), large artery atherothromboembolism (45–50%), small artery microatheroma/lipohyalinosis (25%), other arteriopathies such as dissection and arteritis (5%), and hematological disorders causing a prothrombotic state (<5%)<sup>3</sup>.

#### Prognosis

Stroke kills 4.5 million people each year throughout the world, making it the third most common cause of death in most developed countries<sup>8</sup>. About 10% of individuals with acute ischemic stroke die within 30 days of stroke onset, and 30% by 1 year<sup>7</sup>. Among those who survive the acute event, about half will experience some level of disability at 6 months after the stroke, making stroke one of the major causes of physical disability<sup>9,10</sup>. Despite best medical and surgical strategies of secondary stroke prevention, recurrent stroke occurs in about 10% of cases within the first year after stroke<sup>11</sup>.

#### Pathogenesis of ischemic stroke

Acute cerebral ischemia begins with the occlusion of a cerebral blood vessel, usually by *in situ* thrombus or embolism of thrombus (or other material) from a more proximal source<sup>12</sup>. Modern ideas about the pathogenesis of vessel thrombosis began with Rudolph Virchow's dissertation in 1845 in which he enunciated his famous triad that thrombosis was due to changes in the vessel wall, changes in the pattern of blood flow and changes in the constituents of the blood. Indeed, this concept had been already hinted at by John Hunter nearly 100 years earlier<sup>13</sup>. However, it has since been established that the most critical event in the formation of an arterial thrombus is injury to the vascular endothelium<sup>14,15</sup>.

#### Normal endothelium

Under resting physiological conditions, the endothelium prevents thrombus formation. It acts as a physical barrier separating hemostatic from reactive subendothelial components and its negative surface charge may help to repel platelets. It possesses anticoagulant properties attributable to constitutional expression of thrombomodulin and

heparan sulfate, endogenous synthesis of ectoenzymes which degrade platelet agonists such as ADP, and endogenous synthesis of high local concentrations of the vasodilators prostacyclin (PGI<sub>2</sub>) and nitric oxide (NO) which inhibit platelet aggregation.

### Atherosclerosis

The most common cause of injury to the vascular endothelium is atherosclerosis, but there are other causes such as arterial dissection and trauma. Atherosclerosis is a disseminated pathological process which affects large- and medium-sized arteries, particularly at branching points. The most commonly affected sites in the cerebral circulation are the aortic arch, the origin of the internal carotid artery (ICA) in Caucasians, the siphon of the intracranial ICA, the origin of the middle cerebral artery and the vertebral and basilar arteries.

Acute coronary syndromes, and presumably many acute ischemic stroke syndromes caused by arterial disease, are precipitated by thrombus which develops on an atherosclerotic plaque in which the overlying endothelium is eroded or, perhaps more commonly, the atherosclerotic plaque has ruptured<sup>16–18</sup>.

The initiation, progression and maturation of atherosclerotic plaque have been well documented<sup>15,19</sup>. Furthermore, it is now realized that inflammation in the vessel wall plays an essential part in the initiation and progression of atherosclerosis as well as in the erosion or fissure of plaques and, eventually, in the rupture of plaques<sup>15</sup>. However, it remains uncertain exactly what triggers a 'dormant' atherosclerotic plaque to become active and symptomatic.

### The vulnerable, unstable plaque

Ruptured plaques contain a large core of eccentrically located lipid-laden macrophages (foam cells) engorged with oxidized low density lipoprotein (LDL) cholesterol, and a thin friable overlying fibrous cap devoid of smooth muscle cells<sup>16,18,20</sup>. The oxidized LDL within the lipid core stimulates plaque inflammation, which undermines the structural integrity of the plaque and activates the endothelium to a proinflammatory and procoagulant state<sup>21–23</sup>. The oxidized LDL and other inflammatory stimuli initiate recruitment of inflammatory cells into the lesion, and serve as a second messenger to enhance the synthesis of other vascular inflammatory products such as adhesion molecules and cytokines. Cytokines and metalloproteinases weaken the fibrous cap. The plaque is believed to rupture because the large lipid core redistributes the shear stress on the thin fibrous cap and very high loads are imparted upon localized areas of the weakened cap<sup>24</sup>.

### Triggers of plaque rupture

Recent epidemiological studies suggest that plaque inflammation (and rupture) may be triggered by exposure (acute or chronic) to an exogenous infectious antigen. There is a consistent significant relationship between symptomatic coronary artery disease and moderately elevated markers of inflammation that are unrelated to chronic infection (e.g. fibrinogen, C-reactive protein, albumin, serum amyloid A, and leukocyte count), particularly C-reactive protein<sup>25,26</sup>. Some studies have identified a higher than expected incidence of chronic infections of the teeth, gums and lungs, and with *Chlamydia pneumoniae*, *Helicobacter pylori*, and cytomegalovirus<sup>15,27–29</sup>. Although there is emerging evidence that these associations with chronic infections may be coincidental rather than causal<sup>30–32</sup>, a similar, yet less robust, body of evidence is also mounting for the role of a systemic, low grade, inflammatory response being an integral part of the pathogenesis of acute ischemic stroke due to atherosclerosis<sup>33–40</sup>.

### Sequelae of plaque rupture: atherothrombosis

Following plaque rupture or endothelial erosion, blood is exposed to the endothelial basement membrane and extracellular matrix. Von Willebrand factor (vWF), which is a large, multimeric protein synthesized by the endothelium and secreted into the subendothelium, binds to extracellular collagen, primarily through its A3 domain. Platelets adhere to the subendothelial collagen (particularly types I and III), von Willebrand factor and fibronectin by means of platelet–membrane glycoprotein receptors, which are receptors for adhesive proteins. The largest glycoprotein (Gp) is designated I, the smallest IX. Letters a and b were added when better techniques allowed resolution of single protein bands on electrophoresis into two separate bands (e.g. glycoprotein I became Ia and Ib)<sup>41</sup>. The most abundant receptor is the integrin family which are heterodimeric molecules composed of  $\alpha$  and  $\beta$  subunits<sup>42</sup>.

Under conditions of low shear stress, platelets adhere to subendothelial collagen and fibronectin through the binding of platelet glycoprotein Ia–IIa receptors<sup>41,43</sup>. Under conditions of high shear stress, platelets adhere to subendothelial vWF by means of platelet glycoprotein Ib–V–IX (Ib/IX)<sup>43</sup>.

Platelets become activated when specific platelet receptors bind to various agonists such as collagen, thrombin, thromboxane A<sub>2</sub>, adenosine diphosphate (ADP), adrenaline and arachidonic acid. A series of intracellular reactions takes place. The final common pathway of platelet activation is the assembly of the glycoprotein IIb/IIIa receptor on the surface of activated platelets. Under resting conditions,

the surface of a platelet contains 50 000 to 80 000 copies of the Gp IIb–IIIa ( $\alpha_{IIb}\beta_3$ ) receptor. Upon platelet activation, the platelet GPIIb–IIIa receptor undergoes a conformational change, enabling it to bind both vWF and fibrinogen, resulting in irreversible platelet adhesion and aggregation, respectively. The dimeric nature of the fibrinogen molecule allows it to bind to Gp IIb–IIIa receptors on two separate platelets, resulting in interplatelet bridging, platelet aggregation, and growth of the primary platelet clot<sup>44,45</sup>.

### Platelet recruitment into the thrombus

Adherent, activated platelets recruit additional platelets into the growing thrombus by three mechanisms which are coordinated around the central role of thrombin<sup>43</sup>.

First, activated platelets release ADP from storage granules, and the ADP binds to the ADP receptor of adjacent platelets, which activates them.

Secondly, activated platelets generate and release arachidonic acid, which is metabolized by the enzyme cyclooxygenase to prostaglandin endoperoxide, which in turn is converted by thromboxane synthetase to thromboxane  $A_2$ , a potent vasoconstrictor and platelet agonist. The platelets also release other eicosanoids, such as prostaglandin  $F_{2\alpha}$  and serotonin, which induce further vasoconstriction and platelet aggregation<sup>46–49</sup>.

Third, the modified membrane of activated platelets promotes assembly of clotting factors on the platelet surface (V, X, VIII), thereby amplifying thrombin generation (see below)<sup>50</sup>. Thrombin is central to platelet aggregation.

### Coagulation

Coagulation is initiated by exposure of blood to tissue factors located in the necrotic core of ruptured atherosclerotic plaques, in the subendothelium of injured vessels, and on the surface of activated leukocytes attracted to the damaged vessel. The original cascade/waterfall hypothesis of blood coagulation is that there are two activating pathways: (i) the tissue factor or extrinsic pathway; and (ii) the contact or intrinsic pathway. A revised hypothesis of blood coagulation maintains that there is a single coagulation pathway, triggered by vessel injury and tissue factor (TF) (the factor VIIa/TF complex)<sup>51</sup>. Tissue factor binds factor VIIa and the resulting factor VIIa tissue factor complex activates both factors IX and X (i.e. the intrinsic and extrinsic pathways are intergrated *in vivo*). Factor IXa assembles on the surface of activated platelets as part of the intrinsic tenase complex which comprises factor IXa, factor VIIIa and calcium. Factor Xa, generated through the extrinsic (factor VIIa/tissue factor) and the intrinsic tenase complex, assembles on the surface of activated platelets as part of the prothrombin-activating (prothrombinase) complex,

which consists of factor Xa, factor Va and calcium. When assembled in this way, the prothrombinase complex generates a burst of thrombin activity<sup>43</sup>. Thrombin (IIa) activates platelets and factors V and VIII and also converts fibrinogen to fibrin; thrombin then binds to fibrin where it remains active.

As blood clot forms at the site of vessel injury, plasminogen, an inert circulating protein closely bound to the deposited fibrin, is slowly activated (by tissue plasminogen activator which has been activated by kallikrein) to form plasmin, which digests the fibrin clot to give fibrin degradation products. The net result is that platelets and later fibrin accumulate at, and are limited to, the site of vascular injury. The rest of the vasculature remains free of platelet and fibrin deposits because, in circulating blood, the tendency of the coagulation mechanism to be activated is counterbalanced by inhibitory factors in the blood such as antithrombin III (which inactivates factors IX, X, XI and XII). However, at the point of vessel injury, the activation of the coagulation mechanism is so powerful that the inhibitors are overwhelmed.

The three major inhibitory systems which modulate the coagulation pathway are the protein C anticoagulant pathway, tissue factor pathway inhibitor (TFPI), and antithrombin. Protein C is activated by the thrombin/thrombomodulin complex on the endothelial cell surface. When thrombin binds to thrombomodulin (an endothelial membrane protein) it undergoes a conformational change at its active site that converts it from a procoagulant enzyme to a potent activator of protein C. Activated protein C acts as an anticoagulant in the presence of protein S by proteolytic degradation and inactivation of factors Va and VIIIa<sup>50,52</sup>. TFPI binds and inactivates factors Xa and the TFPI/factor Xa complex and then inactivates factor VIIa within the factor VIIa/tissue factor complex. Antithrombin inactivates free thrombin and factor Xa, but these clotting enzymes are protected from inactivation by antithrombin when they are bound to fibrin and activated platelets, respectively<sup>43</sup>.

### Antiplatelet therapy to prevent atherothrombosis

The preceding section highlights the pivotal role of platelets in atherothrombosis. It also underpins the rationale for, and biological plausibility of, antiplatelet therapy as an effective therapeutic strategy to prevent atherothrombosis and its sequelae (e.g. ischemic stroke).

The most reliable evidence for the effectiveness and safety of antiplatelet therapy in the acute treatment and

secondary prevention of stroke are systematic reviews of all published and unpublished randomized controlled trials (RCTs)<sup>53,54</sup>.

### Antiplatelet therapy in acute ischemic stroke

There have been nine randomized trials of antiplatelet therapy in a total of 41 848 patients with acute ischemic stroke<sup>53,55–61</sup>. The antiplatelet regimens that have been compared with control are: aspirin 300 mg daily by mouth (or per rectum if unable to swallow)<sup>55,56</sup>, aspirin 160 mg daily by mouth or nasogastric tube<sup>57,58</sup>, ticlopidine<sup>59</sup>, aspirin plus dipyridamole, OKY 046 (thromboxane synthase inhibitor)<sup>60</sup>, and Abciximab<sup>61</sup>. Three of the trials, comprising a total of 162 patients, remain unpublished<sup>53</sup>. Two of the published studies, the International Stroke Trial (IST)<sup>56</sup> and the Chinese Acute Stroke Trial (CAST)<sup>57</sup>, contribute 98% of all the data and both examined aspirin in acute ischemic stroke.

#### Aspirin in acute ischemic stroke

The IST and CAST randomized 40 090 patients within 48 hours of symptom onset to receive either aspirin (300 mg daily in IST, 160 mg daily in CAST) or no aspirin for the first 2 to 4 weeks following an acute ischemic stroke<sup>56,57,62</sup>.

#### Early outcomes

A combined analysis of the patients randomized in CAST and IST found that early aspirin use within the first two to four weeks of acute ischemic stroke was associated with a highly significant reduction of 7 (SD 1) per 1000 in the risk of recurrent ischemic stroke in hospital (320 [1.6%] aspirin vs. 457 [2.3%] control,  $2P < 0.000001$ ) and a less clearly significant reduction of 4 (SD 2) per 1000 in death without further stroke (5.0% vs. 5.4%,  $2P = 0.05$ )<sup>62</sup>.

Against these early benefits, early aspirin use was associated with an increase of 2 (SD 1) per 1000 in hemorrhagic stroke or hemorrhagic transformation of the original infarct (1.0% vs. 0.8%,  $2P = 0.07$ ) and no apparent effect on further stroke of unknown cause (0.9% vs. 0.9%). Aspirin also increased the risk of major extracranial hemorrhage during the treatment period by four events per 1000 patients treated (0.97% vs. 0.57%, odds ratio [OR]: 1.7, 95% confidence interval [CI]: 1.3 to 2.1). However, for patients not also receiving anticoagulants, the excess was only two hemorrhages per 1000 patients treated (i.e. 0.2% excess). The total short-term net effect of early aspirin use was a reduction of 9 (SD 3) per 1000 in the overall risk of further stroke or death in hospital (8.2% vs. 9.1%,  $2P = 0.001$ )<sup>62</sup>.

The proportional and absolute benefits of aspirin in acute ischemic stroke were similar in a wide range of patients irrespective of age, gender, delay between symptom onset and randomization, presence or absence of atrial fibrillation, conscious level, systolic blood pressure, stroke subtype, CT brain scan findings, or concomitant heparin use<sup>62</sup>. Among the 9000 patients (22%) randomized without a prior CT brain scan, aspirin appeared to be of net benefit with no unusual excess of hemorrhagic stroke; moreover, even among the 800 (2%) who had inadvertently been randomized after a hemorrhagic stroke, there was no evidence of net hazard (further stroke or death, 63 aspirin vs. 67 control)<sup>62</sup>.

#### Later outcomes

Early aspirin therapy was associated with a 5% (95% CI 2% to 9%) reduction in the odds of death or dependency at the end of follow-up at 1 to 6 months after stroke, corresponding to an absolute reduction of 13 per 1000 patients treated<sup>53</sup>.

#### Other antiplatelet agents in acute ischemic stroke

Limited, current data indicate that other antiplatelet agents (e.g. ADP receptor antagonists (ticlopidine), platelet glycoprotein IIb/IIIa receptor antagonists (e.g. abciximab), thromboxane synthase inhibitors (e.g. OKY 046), and combination therapies (e.g. aspirin combined with dipyridamole)) are safe in acute ischemic stroke<sup>59–61</sup>, but further trials are needed to establish their safety and efficacy.

### Antiplatelet therapy for the secondary prevention of stroke and other serious vascular events

The most recent systematic review of the evidence from RCTs of all antiplatelet therapies in secondary prevention of stroke and other vascular events to have been published was undertaken by the Antiplatelet Trialists' Collaboration (APT) and published in 1994<sup>54</sup>. An updated review was undertaken by the Antithrombotic Trialists' (ATT) Collaboration in September 1997, but the results await publication<sup>63</sup>. In this section I will present the results of all relevant clinical trials published since 1994 and incorporate them into previous data published by the APT. Hopefully, this will provide an updated systematic review which will be consistent with the results of the anticipated forthcoming publication by the ATT in 2001 or 2002.

The APT (and ATT) analysed the individual patient data

from each trial according to the qualifying medical condition of the patient, the type of antiplatelet regimen and the type of outcome events recorded.

The qualifying medical condition of the patient was categorised as symptomatic vascular disease of the brain, heart or limbs (i.e. previous TIA or stroke, previous MI, previous PAD).

The primary outcome event was the composite of non-fatal stroke, non-fatal myocardial infarction (MI) and death from vascular causes. This was chosen because these events generally share the same underlying pathophysiology, pathogenesis and response to antiplatelet therapy. Moreover, they are all important to avoid from the patient's perspective and, by combining them as a composite outcome, the total number of outcome events and hence statistical power, is maximized.

Because the analysis showed that the effects of antiplatelet agents were qualitatively the same in each subgroup of patients with symptomatic vascular disease of the brain, heart and limbs (i.e. antiplatelet therapy was effective for all qualifying conditions), and differed only quantitatively (i.e. the magnitude of the favourable effect varied), this group of patients at high risk of future vascular events were analysed together in order to further maximize statistical power. This concept of 'lumping' different qualifying conditions and vascular outcomes together is valid, and more precise, if the underlying cause of the conditions and response to the therapy is similar, as it is in this case. I have therefore presented the results of the APT and subsequent published trials in all high-risk patients (e.g. angina, MI, stroke, TIA, peripheral vascular disease, recent vascular surgery), because the effects are qualitatively the same and the precision of the estimates (i.e. statistical power) is maximized. However, in recognition of the pathophysiological heterogeneity of stroke (i.e. perhaps only a small majority of all strokes are due to atherothromboembolism), I have also presented the results for TIA and stroke patients, which are compromised a little by smaller sample sizes, and hence the estimates have wider confidence intervals and less precision.

### All antiplatelet agents

#### Benefits

Antiplatelet therapy, given to around 70 000 patients at high risk of vascular disease (i.e. symptomatic vascular disease of the brain, heart and limbs) for a month or more, is associated with a reduction in odds of a subsequent serious vascular event (stroke, MI or vascular death) by about a quarter (odds reduction 27%; 95% CI 24% to 31%;  $2P < 0.00001$ )<sup>54</sup>.

The proportional effects are similar in the various categories of patients, including more than 11 700 with a prior (presumed ischemic) stroke or transient ischemic attack (TIA), amongst whom the odds reduction is about 21 + 3%. The absolute risk reduction is about 3.7% over about 3 years, which means that 37 vascular events (20 non-fatal strokes, nine non-fatal myocardial infarctions, and 11 vascular deaths) are prevented per 1000 patients treated with antiplatelet therapy for about 2–3 years, or 15 events prevented per year. The number of patients needed to treat (NNT) with antiplatelet therapy to prevent one serious vascular event each year is therefore about 83 (i.e. 1000/12). Antiplatelet therapy is also associated with a 16% (SD 6) reduction in the odds of all-cause mortality among patients with prior TIA or stroke.

#### Risks

The most important adverse effect of antiplatelet therapy is bleeding, particularly intracranial hemorrhage because it is frequently fatal or disabling. However, the risk of intracranial hemorrhage associated with antiplatelet therapy is small, and at most one or two per 1000 patients treated per year<sup>54</sup>. This excess risk is included in the overall estimate above of the effect of antiplatelet therapy on serious vascular events (i.e. stroke, MI or vascular death).

Antiplatelet therapy is also associated with a small but significant excess of non-fatal extracranial hemorrhage (mainly from the gastrointestinal tract) of one to two per 1000 patients treated per year but there is no clear excess of fatal extracranial hemorrhage.

Thus, the relatively large absolute reduction in serious vascular events (15 events per 1000 patients treated per year) clearly outweighs the smaller hazards (1–2 non-fatal extracranial hemorrhages per 1000 patients treated per year)<sup>54</sup>.

### Aspirin

#### Benefit

Aspirin, the most widely studied antiplatelet drug, reduces the odds of a serious vascular event by about one quarter among high risk patients (odds reduction 25% (SD 2); 95% CI 21% to 30%;  $2P < 0.00001$ )<sup>54</sup>.

In 11 placebo-controlled RCTs of aspirin in more than 10 000 patients with prior TIA or ischemic stroke, aspirin reduced the odds of a serious vascular event by 17% + 4.4 ( $2P = 0.00009$ ). This is a relative risk reduction (RRR) of 13% (95% CI 6% to 19%) and an absolute risk reduction (ARR) of 3% over about 3 years, or about 1% per year (Fig. 70.1)<sup>64</sup>. The number of patients with previous TIA or ischemic



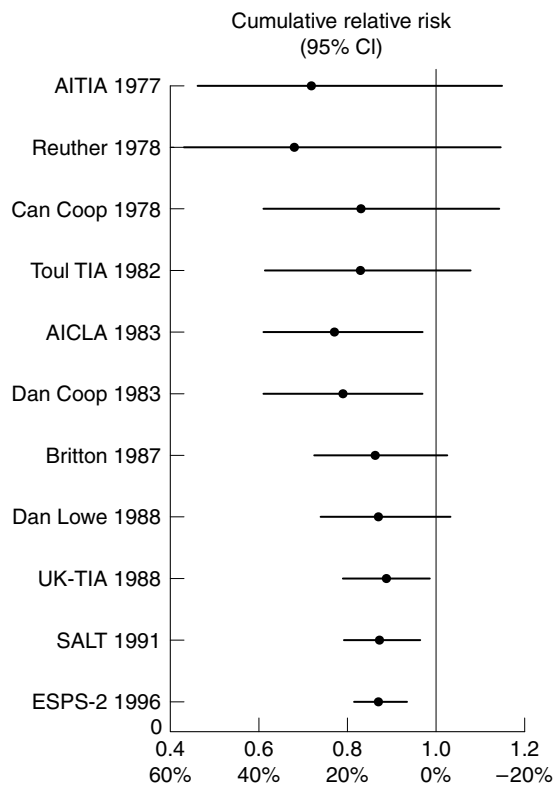


Fig. 70.1. Cumulative meta-analysis of 11 randomized controlled trials of aspirin vs. control in patients with previous TIA or ischemic stroke in chronological order (1977 to 1996), showing relative risks and corresponding relative risk reductions with 95% confidence intervals (CI). Each line represents the relative risk and 95% CI of that study combined with all previous studies<sup>64</sup>.

stroke needed to treat with aspirin to prevent one serious vascular event each year (NNT) is therefore about 100.

### Safety

Aspirin is associated with a dose-dependent increase in symptoms of upper gastrointestinal upset (nausea, heartburn and epigastric pain), upper GI bleeding, and intracranial hemorrhage, and a non-dose-dependent increase in constipation.

Observational studies reveal symptoms of upper gastrointestinal upset (nausea, heartburn, and epigastric pain) in about 15–32% of people taking placebo, about 30% of people taking aspirin 300 mg/day, and 24–44% of people taking 900–1300 mg aspirin per day<sup>65</sup>. Randomized trials involving direct comparisons of different doses of aspirin indicate that high dose (500–1500 mg daily) aspirin significantly increases the odds of upper gastrointestinal symptoms compared with medium dose (75–325 mg daily) aspirin (high dose 26.2%, medium dose 21.9%, OR 1.3, 95%

CI 1.1 to 1.5)<sup>66,67</sup>, and that medium dose (283 mg) aspirin is associated with a trend towards an increase in odds of upper gastrointestinal upset compared with low dose (30 mg) aspirin (medium dose 11.4%, low dose 10.5%, OR 1.1, 95% CI: 0.9 to 1.4)<sup>68</sup>.

Observational and case-control studies reveal an increased rate of upper gastrointestinal (GI) and other extracranial hemorrhage in people taking aspirin, and probably also a dose-response<sup>66–68</sup>. The risk of hematemesis attributable to aspirin has been estimated to be 0.2 to 1.0 per 1000 person-years of exposure<sup>69</sup>. The odds ratio of GI bleeding is 2.3, 3.2 and 3.9 for 75 mg, 150 mg and 300 mg, respectively, on at least 5 days a week<sup>70</sup>. Direct comparisons of different doses of aspirin in randomized trials also indicate that high dose (500–1500 mg daily) aspirin is associated with a trend towards an increase in odds of upper GI hemorrhage compared with medium dose (75–325 mg daily) aspirin (high dose 2.6%, medium dose 1.9%, OR 1.4, 95% CI 0.9 to 2.1)<sup>66,67</sup>, but there is no apparent increase in odds of upper GI hemorrhage between medium dose (283 mg) aspirin and low dose (30 mg) aspirin from the limited trial data available (medium dose 1.9%, low dose 1.8%, OR 1.2, 95% CI 0.7 to 2.0)<sup>68</sup>. The type of aspirin may also be important (as well as dose). At average daily doses of aspirin of 325 mg or less, the relative risks of upper GI hemorrhage for plain, enteric-coated, and buffered aspirin are about 2.6, 2.7, and 3.1, respectively<sup>71</sup>. At doses greater than 325 mg, the relative risk is about 5.8 for plain and 7.0 for buffered aspirin<sup>71</sup>. Indirect evidence suggests that enteric-coated aspirin is associated with less upper GI bleeding at doses above 325 mg/day<sup>71</sup>.

Intracranial hemorrhage is also increased in people taking aspirin by about one per 1000, and there appears to be a dose-response<sup>72</sup>.

### Costs

Plain aspirin costs about Aus\$ 20 per patient per year (Aus \$1 = US\$ 0.55 = Euro 0.6)<sup>73</sup>, or about Aus \$2000 to treat 100 TIA/stroke patients for one year to prevent one serious vascular event. The cost of 75 mg enteric-coated aspirin is more than 20 times that of 75 mg plain aspirin.

### Different doses of aspirin

Controversy has surrounded the most appropriate dose of aspirin for the long-term secondary prevention of serious vascular events, particularly stroke, with arguments for the use of daily doses as low as 30 mg to as high as 1500 mg<sup>74–77</sup>. The rationale for the lower doses has been evidence from laboratory studies showing that single oral doses of 5 to 200 mg of aspirin result in dose-dependent inhibition of platelet cyclooxygenase activity, with 100 mg almost completely

suppressing the biosynthesis of thromboxane A<sub>2</sub><sup>65</sup>. Because the effect is permanent, lasting for the life of the platelet (8–10 days), the inhibitory effect of repeated daily doses below 100 mg is cumulative. Thus the daily administration of 30 to 50 mg aspirin results in complete suppression of platelet thromboxane biosynthesis after 7 to 10 days<sup>78</sup>.

### Benefit

The most reliable evidence of the relative effect of different doses of aspirin comes from direct comparisons in randomized trials. Direct comparisons of the effect of daily doses of 75–325 mg and 500–1500 mg among 2425 high-risk patients, two-thirds of whom had a history of ischemic stroke or TIA, showed that daily doses of 75–325 mg and 500–1500 mg were similarly effective<sup>54,66</sup>. A recent randomized trial comparing the effects of lower doses of aspirin (81 mg or 325 mg daily) and higher doses (650 mg or 1300 mg daily) among 2849 patients undergoing carotid endarterectomy found that the combined rate of stroke, myocardial infarction or death was lower in the low-dose groups than in the high-dose groups at 30 days (5.4 vs. 7.0%,  $P=0.07$ ) and at 3 months follow-up (6.2% vs. 8.4%,  $P=0.03$ )<sup>67</sup>. In an efficacy analysis, which included patients taking 650 mg or more acetylsalicylic acid before randomization, and patients randomized within 1 day of carotid surgery, combined rates were 3.7% (low-dose) and 8.2% (high dose), respectively, at 30 days ( $P=0.002$ ) and 4.2% (low dose) and 10.0% (high dose) at 3 months ( $P=0.002$ )<sup>67</sup>. The authors concluded that the risk of stroke, MI and death within 30 days and 3 months of carotid endarterectomy is lower for patients taking 81mg or 325 mg acetylsalicylic acid daily than for those taking 650 mg or 1300 mg daily<sup>67</sup>.

A direct randomized comparison of the effects of daily doses of very low dose aspirin (30 mg) and medium dose aspirin (283 mg) among about 3000 patients with a recent TIA or minor ischemic stroke, found no significant difference in subsequent serious vascular events but the possibility of a small (yet clinically important) difference could not be excluded<sup>68</sup>.

Indirect comparisons of the effect of different doses, as compared with placebo, are less reliable, in the same way that it is unreliable to compare different teams, athletes, or horses by their respective performances against an opponent in common; it is more reliable to have them oppose each other directly. Nevertheless, indirect comparisons of trials comparing daily doses of <160 mg (mostly 75–150 mg), 160–325 mg, and 500–1500 mg against placebo reveals similar proportional reductions in serious vascular events among those allocated low, medium and high-dose aspirin<sup>54,79</sup>. The inclusion of data from two large trials among high-risk patients (one of them including almost

1400 patients with a prior ischemic stroke or TIA) assessing a daily dose of 75 mg vs. control<sup>80,81</sup>, showed that low-dose aspirin (75 mg daily) was associated with a clear reduction in vascular events (odds reduction 29%, 95% CI 17% to 39%), similar to the proportional effects of higher doses<sup>54</sup>.

The effects of doses lower than 75 mg daily have been less extensively studied in randomized trials. In the second European Stroke Prevention Study (ESPS-2), around 6000 people with a prior stroke or TIA were randomized, in a factorial design, to receive aspirin 50 mg daily, modified release dipyridamole 400 mg daily, both or neither<sup>82</sup>. Compared with no antiplatelet therapy, aspirin 50 mg daily produced a reduction of 16% (95% CI 1% to 29%;  $2P=0.02$ ) in the odds of stroke or death<sup>82</sup>. Since most non-fatal vascular outcomes in this group of patients were strokes, and most deaths were vascular, this outcome closely approximates vascular events.

The available evidence from randomized trials therefore suggests that aspirin at a dose of 75 mg daily is as effective as higher doses, but there is insufficient evidence to be certain that doses below 75 mg daily are as effective.

### Alternative antiplatelet regimens to aspirin

In the last APT overview, neither direct nor indirect comparisons between different antiplatelet regimens provided clear evidence that any regimen was more effective than aspirin in the prevention of vascular events<sup>54</sup>. Direct comparisons of alternative antiplatelet regimens revealed that the most promising single agent was the thienopyridine derivative, ticlopidine; the three trials in which ticlopidine was compared directly with aspirin showed that ticlopidine was associated with a non-significant reduction in the odds of a vascular event of 10% (95% CI –1% to 24%) when compared directly with aspirin<sup>54</sup>. However, in comparison with aspirin, ticlopidine was associated with a threefold excess of neutropenia ( $<1.2 \times 10^9$ /litre) (2.3% ticlopidine vs. 0.8% aspirin; OR 2.7; 95% CI 1.5 to 4.8), and a twofold increase in the odds of skin rash (11.8% ticlopidine vs. 5.5% aspirin; OR 2.2; 95% CI 1.7 to 2.9) and of diarrhea (20.4% vs. 9.9%; OR 2.3; 95% CI 1.9 to 2.8)<sup>54</sup>.

Two recent large trials have since provided further information about a new thienopyridine agent, clopidogrel<sup>83</sup>, and the combination of aspirin and dipyridamole in patients with previous TIA or ischemic stroke<sup>82</sup>.

The CAPRIE (Clopidogrel versus Aspirin in Patients at Risk of Ischemic Events) trial was designed to determine whether clopidogrel (a thienopyridine like ticlopidine) was as safe as aspirin and, like ticlopidine, also about 10% more effective than aspirin in preventing vascular events among patients with symptomatic atherothrombosis of the cere-

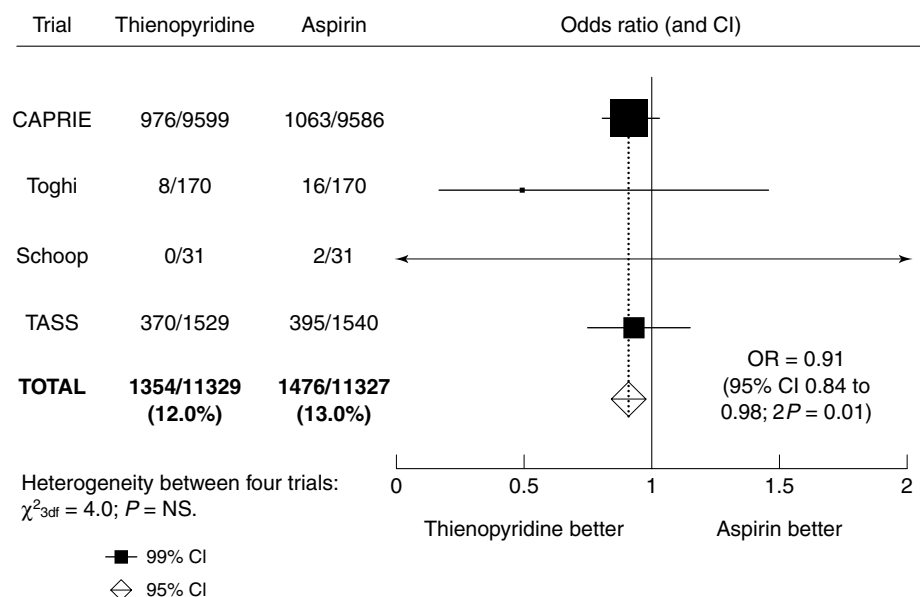


Fig. 70.2. Direct comparisons of the proportional effects of the thienopyridines vs. aspirin on vascular events (stroke, myocardial infarction, or vascular death) in patients at high risk of vascular disease. TASS: Ticlopidine Aspirin Stroke Study. CAPRIE: Clopidogrel vs. Aspirin in Patients at Risk of Ischemic Events<sup>84</sup>.

bral, coronary and peripheral arteries<sup>83</sup>. The study was powered to detect a realistic (i.e. 10%) treatment effect in the whole cohort and not in the three clinical subgroups. Ultimately, 19 185 patients with recent ischemic stroke (6431), MI (6302), and PAD (6452) were randomized to 'gold standard' treatment with aspirin 325 mg daily or to clopidogrel 75 mg daily, over three years (mean 1.9 years)<sup>83</sup>. In CAPRIE, the annual risk of subsequent ischemic stroke, MI or vascular death (the primary outcome event) was 5.8% among patients allocated aspirin and 5.3% among patients allocated clopidogrel<sup>83</sup>. This is a relative risk reduction of 8.7% (95% CI 0.3% to 16.5%;  $P = 0.043$ ), and an absolute risk reduction of 0.51% per year. In CAPRIE, both drugs (aspirin and clopidogrel) had a low incidence and similar profile of adverse events; the only significant differences being a higher proportion of severe gastrointestinal (GI) hemorrhage in the aspirin group (0.49% vs. 0.71%) and skin rash in the clopidogrel group (0.26% vs. 0.10%). There was no significant difference in the occurrence of severe thrombocytopenia and neutropenia.

### Thienopyridines (ticlopidine and clopidogrel)

A systematic review of the four randomized trials comparing the thienopyridines (either ticlopidine or clopidogrel) with aspirin among over 20 000 patients at high risk of vascular disease (including almost 10 000 presenting with a TIA or ischemic stroke) has since been undertaken<sup>84</sup>.

### Benefit

Compared with aspirin, allocation to a thienopyridine was associated with a modest, yet statistically significant, reduction in the odds of a serious vascular event (12.0% thienopyridine vs. 13.0% aspirin; OR 0.91; 95% CI 0.84 to 0.98;  $2P = 0.01$ ), corresponding to the prevention or delay of 11 (95% CI 2 to 19) vascular events per 1000 patients treated for about 2 years (Fig. 70.2)<sup>84</sup>.

The patients in the thienopyridine group also experienced a significant reduction in the odds of any stroke (5.7% thienopyridine vs. 6.4% aspirin; OR 0.88; 95% CI 0.79 to 0.98), corresponding to the prevention or delay of 7 (95% CI 1 to 13) strokes per 1000 patients treated for two years. There was also a non-significant trend towards a reduction in ischemic stroke (OR 0.90; 95% CI 0.81 to 1.01), myocardial infarction (OR 0.88; 95% CI 0.76 to 1.01), vascular or unknown cause of death (OR 0.93; 95% CI 0.82 to 1.06), and death from any cause (OR 0.95; 95% CI 0.85 to 1.05)<sup>84</sup>.

### Patients with stroke or TIA

Among the 9840 patients with TIA/ischemic stroke, the thienopyridines produced similar proportional benefits to those found overall, both in terms of vascular events (16.8% thienopyridine vs. 18.3% aspirin; OR 0.90; 95% CI 0.81 to 1.00) and in terms of any stroke (10.4% vs. 12.0%; OR 0.86; 95% CI 0.75 to 0.97). The absolute reduction of 14 (95% CI -1 to 29) vascular events per 1000 patients treated for about 2 years was similar to that observed among all

high-risk patients. However, the risk of stroke among patients with a previous TIA or ischemic stroke in the aspirin group (12.0%) was almost twice as high as that for all high-risk patients (6.4%). The absolute reduction of 16 strokes (95% CI: 3 to 28) per 1000 patients over about 2 years was therefore about twice as large as that for all high-risk patients combined<sup>84</sup>.

If the risk of stroke and other serious vascular events is about 6.0% per year with aspirin therapy, then clopidogrel could reduce it by 10%, to 5.4% per year, which is an ARR of 0.6% per year. The NNT is 166 (100/0.6) compared with aspirin.

### Safety

There was no clear difference between the thienopyridines and aspirin in the odds of experiencing an intracranial hemorrhage (0.3% thienopyridine vs. 0.4% aspirin; OR 0.82; 95% CI 0.53 to 1.27) or an extracranial hemorrhage (8.8% thienopyridine vs. 8.9% aspirin; OR 1.00; 95% CI 0.91 to 1.09)<sup>84</sup>.

The thienopyridines were, however, associated with a significant reduction in the odds of both gastrointestinal hemorrhage (1.8% thienopyridine vs. 2.5% aspirin; OR 0.71; 95% CI 0.59 to 0.86) and indigestion/nausea/vomiting (14.8% vs 17.1%; OR 0.84; 95% CI 0.78 to 0.90), and with an increased odds of diarrhea, and of skin rash (Fig. 70.3). There was substantial heterogeneity between the results for ticlopidine and clopidogrel both for diarrhea ( $\chi^2_{1df} = 13.4$ ;  $2P = 0.0003$ ) and for skin rash ( $\chi^2_{1df} = 17.9$ ;  $2P = 0.00002$ ) (Fig. 70.3). Hence, in comparison with aspirin, ticlopidine produced about a twofold increase in the odds of skin rash (11.8% ticlopidine vs. 5.5% aspirin; OR 2.2; 95% CI 1.7 to 2.9) and of diarrhea (20.4% vs. 9.9%; OR 2.3; 95% CI 1.9 to 2.8), whilst clopidogrel produced a smaller increase of about one-third in the odds of skin rash (6.0% clopidogrel vs. 4.6% aspirin; OR 1.3; 95% CI 1.2 to 1.5) and of diarrhea (4.5% vs. 3.4%; OR 1.3; 95% CI 1.2 to 1.6) (Fig. 70.3).

Ticlopidine was associated with an excess of neutropenia ( $<1.2 \times 10^9$ /litre) (2.3% ticlopidine vs. 0.8% aspirin; OR 2.7; 95% CI 1.5 to 4.8), but clopidogrel was not (0.1% clopidogrel vs. 0.2% aspirin; OR 0.63; 95% CI 0.29 to 1.36; test for heterogeneity between results for ticlopidine and clopidogrel:  $\chi^2_{1df} = 8.9$ ;  $2P = 0.003$ ) (Fig. 70.3).

There were no published trial data available for the frequency of thrombocytopenia associated with ticlopidine compared with aspirin, but observational data from 43 332 patients undergoing ticlopidine therapy in association with coronary artery stenting indicate that ticlopidine is associated with a significant excess incidence of thrombotic thrombocytopenic purpura (TTP) of 0.02% (95% CI

0.009% to 0.04%)<sup>85</sup>, compared with the estimated incidence of 0.00037% (standardized morbidity ratio 56 95% CI 26 to 107)<sup>86</sup>.

Clopidogrel was not associated with any excess of thrombocytopenia ( $<100 \times 10^9$  platelets per litre) compared with aspirin (0.26% vs. 0.26%; OR 1.00; 95% CI 0.57 to 1.74) among the 9553 patients who were screened before being allocated clopidogrel in CAPRIE, and of whom 99.8% were followed up carefully for an average of about 1.9 years<sup>83</sup>. However, recent reports of 20 cases of TTP associated with clopidogrel use has raised concerns about the safety of clopidogrel<sup>87,88</sup>, particularly because clopidogrel and ticlopidine are thienopyridine derivatives which share a similar chemical structure, mechanism of antiplatelet action (ADP receptor blockade) and therapeutic efficacy; and because a causal association between ticlopidine and TTP was not established until several years after ticlopidine was introduced into clinical practice. However, it remains uncertain whether the reported association between clopidogrel and TTP is coincidental or causal<sup>89</sup>. Follow-up studies are in progress.

The results for adverse effects among patients with TIA/ischemic stroke were similar to those for all patients combined.

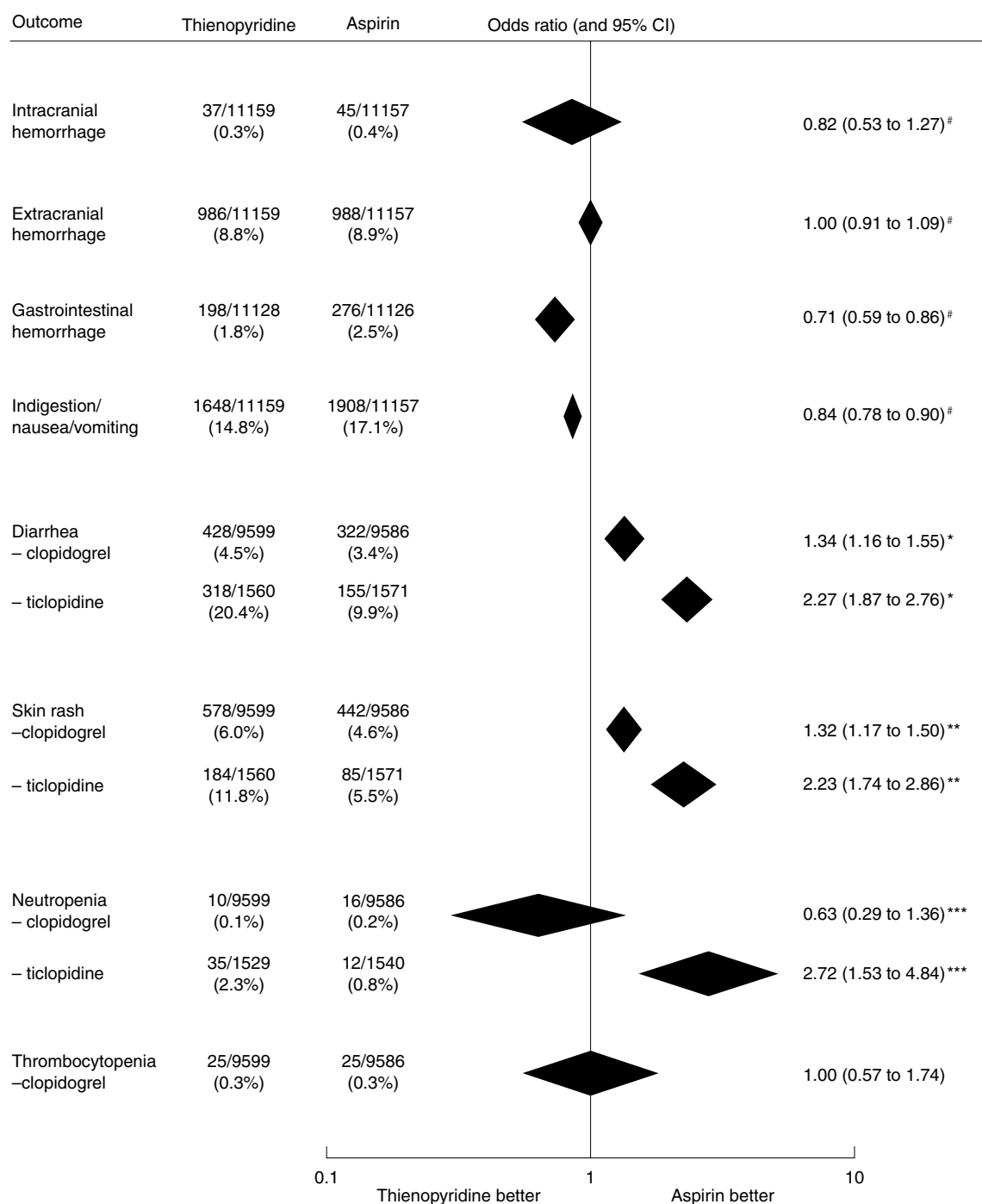
### Cost

The cost of clopidogrel is Aus\$ 1200 per patient per annum<sup>73</sup>, or about Aus\$ 200 000 to treat 166 TIA/stroke patients for 1 year to prevent one more serious vascular event than if they had been treated with aspirin.

## Aspirin and dipyridamole

### Benefit

In the APT overview, the addition of dipyridamole to aspirin among around 5000 high-risk patients was not associated with any significant reduction in vascular events, but the possibility of a small additional benefit was not excluded<sup>54</sup>. When data from a further 3000 patients in the second European Stroke Prevention Study (ESPS-2) study were included, the addition of dipyridamole to aspirin produced a non-significant reduction of 10% (95% CI 0% to 20%) in the odds of a vascular event (Fig. 70.4)<sup>82,90</sup>. Most of this reduction appeared to be attributable to a 23% reduction in non-fatal strokes, suggesting that the addition of dipyridamole to aspirin may be appropriate for patients at particularly high risk of stroke (Fig. 70.4)<sup>90</sup>. A detailed overview of all trials that have assessed the addition of dipyridamole to aspirin is currently being prepared by the Antithrombotic Trialists' Collaboration<sup>63</sup>.



No heterogeneity between trials  
 Heterogeneity between odds ratios for ticlopidine and clopidogrel:  $\chi^2_{df} = 17.9$ ;  $2P = 0.00002$   
<sup>\*</sup> Heterogeneity between odds ratios for ticlopidine and clopidogrel:  $\chi^2_{df} = 13.4$ ;  $2P = 0.0003$   
<sup>\*\*</sup> Heterogeneity between odds ratios for ticlopidine and clopidogrel:  $\chi^2_{df} = 8.9$ ;  $2P = 0.003$

Diamonds representing the odds ratio and 95% CI for the top (intracranial haemorrhage, etc) are for any thienopyridine (i.e. ticlopidine or clopidogrel).

Fig. 70.3. Direct comparisons of the proportional effects of the thienopyridines vs. aspirin on adverse events in patients at high risk of vascular disease<sup>84</sup>.

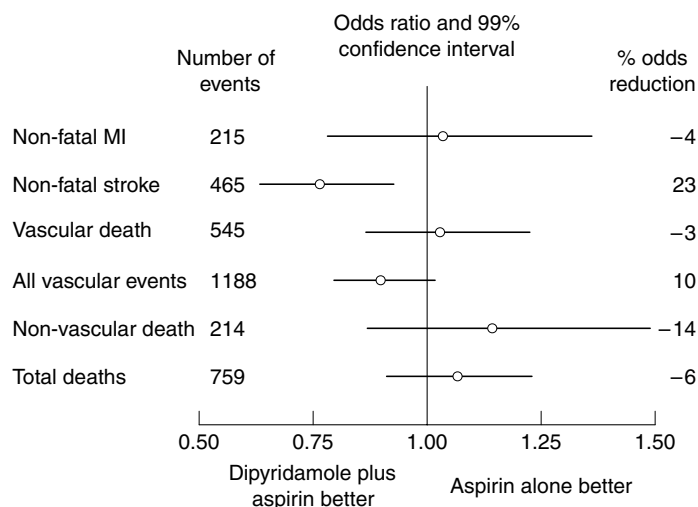


Fig. 70.4. Direct comparisons of the proportional effects of the combination of aspirin and dipyridamole vs. aspirin on vascular events and non-vascular deaths, derived from a total of 8616 patients study in the Antiplatelet Trialists' Collaboration and the second European Stroke Prevention Study<sup>89</sup>.

For patients with prior stroke or TIA, four trials involving a total of 2473 patients indicate that the combination of aspirin and dipyridamole is associated with about a 16% (5 to 26%,  $P=0.012$ ) reduction in the relative risk of serious vascular events<sup>91</sup>. If the risk of stroke and other serious vascular events is about 6.0% per year with aspirin therapy, the combination of aspirin and dipyridamole could reduce it by 16%, to 5.1% per year, which is an ARR of 0.9% per year. The NNT is 111 (100/0.9) compared with aspirin.

The magnitude of this treatment effect continues to be studied in the ongoing European and Australian Stroke Prevention in Reversible Ischaemia (ESPRIT) trial, in which more than 1000 patients (target 4500 patients) with a prior TIA or minor ischemic stroke are being randomized between oral anticoagulation, the combination of aspirin (in any dose between 30 and 325 mg daily) plus dipyridamole (400 mg daily), and aspirin alone<sup>92</sup>.

### Safety

Headache and gastrointestinal events (e.g. nausea, diarrhea) are the main reasons that patients receiving dipyridamole-containing antiplatelet regimens discontinue treatment prematurely. In the ESPS-2 study, 8.1% of the 1628 patients allocated dipyridamole and 7.1% of the 1631 patients given the combination of aspirin and dipyridamole prematurely ceased their study medication due to headache, compared with 1.9% of the 1631 allocated aspirin and 2.4% if the 1622 given placebo ( $P<0.001$ )<sup>82</sup>.

Similarly, GI events led to premature discontinuation of study drug in 6.2% of those allocated dipyridamole, and 7.1% of those given the combination of aspirin and dipyridamole compared with 3.7% of each of those allocated aspirin and placebo ( $P<0.001$ )<sup>82</sup>. The addition of dipyridamole to aspirin does not appear to exaggerate the excess risk of hemorrhage associated with aspirin.

### Cost

The cost of aspirin combined with dipyridamole is Aus\$ 350 per patient per year<sup>73</sup>; or about Aus\$ 38850 to treat 111 TIA/stroke patients with aspirin and dipyridamole for 1 year to prevent one more stroke or other serious vascular event than if they were treated with aspirin.

### Aspirin and platelet glycoprotein IIb/IIIa receptor antagonists

The combination of aspirin and a parenteral platelet glycoprotein IIb/IIIa blocker given immediately to patients with unstable angina or non-Q-wave MI, or undergoing percutaneous coronary interventions, is significantly more effective than aspirin alone in reducing the 30-day rate of death or non-fatal MI (OR 0.81, 95% CI 0.75 to 0.88)<sup>93</sup>. However, the combination of long-term aspirin and an oral platelet GP IIb/IIIa blocker has, to date, been shown to be no more effective than aspirin alone<sup>94</sup>. The possible reasons for this include an unanticipated thrombotic effect of long-term glycoprotein IIb/IIIa receptor blockade; excessive drug dose, platelet inhibition and therefore bleeding complication (particularly for patients with creatinine clearance of less than 90 ml/min); inadequate monitoring of platelet inhibition, inadequate duration of treatment and follow-up and the random play of chance (i.e. bad luck). The results from the Blockage of the GP IIb/IIIa Receptor to Avoid Vascular Occlusion (BRAVO) trial are awaited. The primary objective of BRAVO is to compare the incidence of the composite outcome comprising stroke, MI, death from any cause, recurrent ischemia requiring hospitalization, and urgent ischemic-driven revascularizations in patients with TIA, ischemic stroke, acute myocardial infarction, unstable angina, or double bed vascular disease (i.e. peripheral vascular disease combined with cardiovascular or cerebrovascular disease) who are randomized to receive either lotrafiban 30–50 mg b.i.d (depending on age and renal function) or placebo, which is added to their usual prescribed aspirin 75–325 mg daily, for about 2 years. More than 9000 patients will be enrolled from 700 centres in 30 countries throughout the world.

## Aspirin and clopidogrel

The combination of aspirin and clopidogrel is the short-term treatment of choice for coronary artery stenting<sup>95,96</sup>, and the safety and efficacy of long-term treatment with clopidogrel combined with aspirin is being studied in patients with unstable angina (CURE study) and in high-risk patients with recent TIA and ischemic stroke (MATCH study).

### Summary of antiplatelet therapy for secondary prevention of stroke and other serious vascular events in patients with TIA and ischemic stroke due to atherothromboembolism

Antiplatelet therapy (aspirin 160–300 mg) should be started as early as possible after an acute TIA or ischemic stroke that is thought to be due to atherothromboembolism.

After the acute phase, aspirin should be continued in a lower dose, 75 mg daily, together with control of vascular risk factors and consideration for revascularization of the symptomatic carotid artery. Adding dipyridamole to aspirin, or substituting clopidogrel for aspirin are marginally but significantly more effective interventions to prevent stroke but they are also substantially more expensive. Clopidogrel is clearly indicated for patients who are intolerant of, or allergic to, aspirin.

The decision to use aspirin, the combination of aspirin and dipyridamole, or clopidogrel in patients with atherothrombotic TIA or ischemic stroke should be determined by the patient's absolute risk of a recurrent stroke or other serious vascular event, the patient's capacity to tolerate the potential adverse effects of the medication, and the patient's (and country's) capacity to pay for the medication. The absolute benefits of antiplatelet regimens are likely to be greater in patients with higher absolute baseline risk of a vascular event because the proportional risk reductions of antiplatelet regimens appear to be similar, irrespective of the baseline absolute risk. For example, among patients with a low baseline absolute risk of subsequent serious vascular events of about 5% per year, antiplatelet therapies reduce this risk by about one-quarter to one-third, which is about 1–2% per year, respectively. In these patients the number needed to treat with antiplatelet therapy to prevent one serious vascular event per year is 50–100 (100/1–2). However, among patients with a higher baseline absolute risk of subsequent serious vascular events of about 40% per year, antiplatelet therapies may reduce this risk by about one-quarter to one-third, which is about 10–13% per year respectively. In these patients the number needed to treat to prevent one serious vascular event per year is only 8–10 (100/10–13).

The future of antiplatelet therapy in secondary stroke prevention is likely to be combinations of agents that inhibit platelet adhesion, activation and aggregation at various stages of the process. Ongoing trials are evaluating the relative safety and efficacy of combinations of aspirin with dipyridamole, clopidogrel and oral glycoprotein IIb/IIIa inhibitors.

### Antiplatelet therapy in TIA and ischemic stroke patients with atrial fibrillation

In the APT overview, patients with atrial fibrillation experienced similar reductions in vascular events to the other categories of high risk patients<sup>54</sup>. A recent systematic review of antithrombotic therapy for patients with atrial fibrillation showed that, compared with control, aspirin reduced the risk of stroke by 22% (95% CI 2% to 38%). However, adjusted-dose warfarin reduced stroke risk by 62% (95% CI 48% to 72%) compared with control and by 36% (95% CI 14% to 52%) compared with aspirin<sup>97</sup>. Patients with atrial fibrillation and a history of a prior stroke or TIA have a particularly high stroke risk (about 12% per year)<sup>98</sup>. Treatment with warfarin instead of aspirin among 1000 such patients was estimated to prevent 48 strokes per year, with an excess of two major extracranial hemorrhages<sup>97</sup>. Oral anticoagulation (with a target INR of about 2.5) is therefore likely to outweigh the bleeding hazards (and inconvenience of monitoring) for many such patients, providing a net benefit greater than that produced by aspirin. But, aspirin is a suitable alternative for those whose risk of bleeding on anticoagulants is likely to be substantial (for example, those with a history of recent gastrointestinal bleeding, dementia or a tendency to falls).

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## Antiplatelet treatment in peripheral vascular disease

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Progressive atherosclerosis complicated by thromboembolic events is by far the most common cause of peripheral arterial disease. Atherothrombosis, the fashionable term to describe the stenotic/thrombotic process, is a generalized disorder, which is almost invariably ubiquitous and therefore any patient who presents with symptoms or signs suggestive of arterial disease in one vascular bed is likely to have other territories involved as well. The common risk factors for atherosclerosis also apply to peripheral arterial disease, but the order of importance varies: smoking correlates most strongly with disease of the leg arteries and predicts its progression. The disease in the leg arteries remains clinically silent as long as no hemodynamically significant obstructive lesions are formed. Subclinical disease is detected with the aid of simple non-invasive tests in three to four times as many middle-aged adults as is symptomatic disease. Intermittent claudication, leg pain on exercise that is relieved by rest, develops when a drop in driving pressure occurs. Progression of the disease to the extent that the blood flow becomes insufficient to meet the metabolic and nutritional demands of resting tissues is clinically manifested by rest pain and skin lesions at the foot. A Working Group defined chronic critical leg ischemia as the presence of restpain requiring regular analgesia for more than 2 weeks and of an ankle systolic pressure of less than 50 mm Hg (and/or toe systolic pressure of less than 30 mm Hg) with or without skin ulceration or gangrene of toes or foot<sup>1</sup>.

The pathophysiology of atherothrombosis and particularly the contribution of platelets is detailed in Chapter 30. The importance attributed to platelets led to development and application of many tests which aim to detect 'hyperactive' platelets with the hope that they would predict future thrombotic events. Studies which assess platelet specific proteins or which test platelet adhesion or aggregation in vitro have produced conflicting data in patients with peripheral arterial disease; they may be too insensi-

tive to detect in vivo platelet activation. Measurement of urinary excretion of 11-dehydro-thromboxane B<sub>2</sub> appeared to provide a more sensitive index of platelet activation in a study on 64 claudication patients. The rate of excretion of the thromboxane metabolite was related to the presence of cardiovascular risk factors and predicted future thrombotic complications of peripheral arterial disease<sup>2,3</sup>. Decreased availability of biologically active NO in the blood vessel wall, in accordance with the clinical severity of the disease, is one possible mechanism of platelet activation in these patients<sup>4</sup>.

The fate of the patient with intermittent claudication is relatively benign as regards local arterial disease in the legs, but is increasingly malignant in terms of serious fatal and non-fatal cardiovascular events<sup>5</sup>. A fair minority of claudicants require surgical or endovascular intervention over 5 years after diagnosis because of increasing severity of their functional handicap or because of deterioration to critical ischemia. Only 2% will ever need a major amputation. By contrast, they have a high prevalence of coronary artery and cerebrovascular disease and therefore a significantly increased risk of stroke, myocardial infarction and cardiovascular death. Their 5-, 10-, and 15-year mortality rates from all causes are approximately 30, 50 and 70%, respectively, 2.5 times higher than expected. Thus the real danger is not losing a leg but rather suffering premature cardiovascular complications or death (Fig. 71.1).

Patients with critical limb ischemia carry a worse prognosis, not only in terms of mortality and cardiovascular morbidity, but also as regards the fate of their leg. Those who are either unsuitable for revascularization or in whom revascularization failed are probably the subgroup of patients with leg arterial disease with the worst outcome: placebo groups of pharmacotherapy trials that select such patients indicate a 20% death rate and a 40% major amputation rate at 6 months.

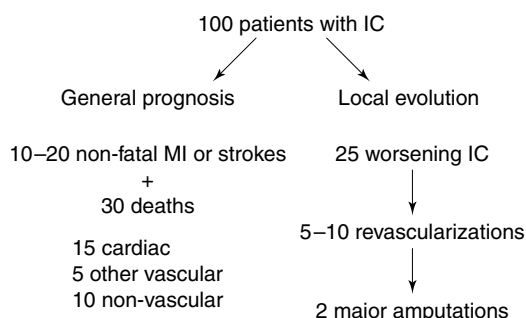


Fig. 71.1. Natural history of patients with intermittent claudication over 5 years after diagnosis. IC: intermittent claudication; MI: myocardial infarction.

Management of patients with arterial disease in the leg involves several aspects, of which risk factor control is of primary importance. This chapter focuses on the use of antiplatelet drugs which are being prescribed with the aim of improving the general prognosis and retarding the local progression of atherosclerosis in the legs. Although recent studies have reported similar findings with other classes of pharmacological agents such as statins and angiotensin-converting-enzyme inhibitors, these drugs are not discussed here.

### Do antiplatelet drugs prevent vascular events?

In general, patients with symptomatic peripheral arterial disease receive aspirin, ticlopidine or clopidogrel to decrease the incidence of thrombo-embolic vascular events. This aim is not well demonstrated in this category of patients. Particularly, the data on aspirin are poor: no single study with clinical endpoints yields significant results. The collaborative overview of randomized trials of antiplatelet therapy, the meta-analysis of the Antiplatelet Trialists' Collaboration, calculated that the vascular risk was reduced to a similar extent (odds reduction of  $27 \pm 2\%$ ) in a category of high-risk patients of mixed origin as in patients with prior myocardial infarction ( $25 \pm 4\%$ ), with acute myocardial infarction ( $29 \pm 4\%$ ) or with prior stroke/TIA ( $22 \pm 4\%$ ). This mixed category was subdivided, and peripheral vascular disease was one of the major subgroups. In this subgroup, the risk reduction in patients with intermittent claudication (almost 3300 patients in 22 trials) was not significant. However, there was no evidence of significant heterogeneity either between the results of the subgroups. In addition, in almost all of the subgroups results appeared to favour active treatment. The authors

therefore concluded that it 'may well be that antiplatelet therapy is likely to be protective for any high-risk patients with clinically evident occlusive vascular disease unless there is some special contra-indication'<sup>6</sup>. The popular name of this meta-analysis is 'the Aspirin Papers', but scrutiny of the analysed trials indicates that the number of included patients on ticlopidine by far exceeds that on aspirin. Another ticlopidine trial was published later: it enrolled 615 patients of whom 304 were assigned to ticlopidine treatment and 311 to placebo for 24 weeks. Four patients in the ticlopidine group and 12 in the placebo group experienced a vascular event (sudden death, myocardial infarction or stroke) during the follow-up period (relative risk 2.93 with 95% CI 0.96–8.99; risk reduction 68%;  $P=0.04$ ; intention-to-treat analysis). The risk reduction was consistent when subgroups (diabetic and non-diabetic patients) were analysed separately<sup>7</sup>. Clopidogrel (75 mg daily), the new thienopyridine, was compared to aspirin (325 mg daily) in the large CAPRIE-trial which studied patients with stroke, myocardial infarction or peripheral arterial disease. The subgroup of 6452 patients with symptomatic peripheral arterial disease followed for 1–3 years sustained significantly fewer endpoints (stroke, myocardial infarction and vascular death) when treated with clopidogrel than with aspirin: the yearly event rate was 3.71 and 4.86% in the two treatment groups, respectively (relative risk reduction of 24%,  $P=0.0028$ ). Virtually all of the benefit obtained with clopidogrel in this study, was observed in this subgroup of patients<sup>8</sup>. A recent meta-analysis evaluated the evidence of the effectiveness of individual antithrombotic drugs to reduce vascular events and/or mortality in patients with intermittent claudication and concluded that only ticlopidine and clopidogrel have proven efficacy in level 1 studies (randomized and double-blind or assessor-blind studies)<sup>9</sup>.

Although ticlopidine and clopidogrel appear to have better credentials than aspirin, most authorities still advise to use aspirin as a first choice and to change to ticlopidine, or preferentially to clopidogrel because of its better safety profile, in patients who do not tolerate aspirin or who experience a major cardiovascular event despite aspirin intake. The preference for aspirin cannot be based on direct evidence, but on analogy with coronary and cerebral disease where efficacy is well documented. Cost-benefit is an important aspect to justify this choice, and this applies as well for clopidogrel as for ticlopidine<sup>10,11</sup>.

Should antiplatelet drugs be started before claudication symptoms develop? In patients with subclinical disease (thus with a decreased ankle–arm pressure index) this would seem reasonable since they appear to have the same increased risk of cardiovascular events and death found in

**Table 71.1.** Progression of atherosclerosis in the leg

<i>Aspirin (-dipyridamole)</i>	
Schoop et al. <sup>12</sup> : femoral artery occlusion rate ( <i>n</i> = 300 – follow-up: 4.5 years)	
	%
Placebo	58
Aspirin (330 mg t.i.d.)	20 <sup>a</sup>
Aspirin–dipyridamole (330 mg–75 mg t.i.d.)	34 <sup>a</sup>
Hess et al. <sup>13</sup> : angiographic progression ( <i>n</i> = 199 – follow-up: 2 years)	
	Score
Placebo	+6.2
Aspirin (330 mg t.i.d.)	+4.4
Aspirin–dipyridamole (330 mg–75 mg t.i.d.)	+2.2 <sup>a</sup>
<i>Ticlopidine</i>	
Stiegler et al. <sup>14</sup> angiographic progression ( <i>n</i> = 43 – follow-up: 1 year)	
	Score
Placebo	+4.2
Ticlopidine (250 mg b.i.d.)	+0.1 <sup>a</sup>

*Note:*<sup>a</sup> significantly different from placebo.

claudicants. However, a formal study to sustain this view has not been published.

### Antiplatelet treatment and ischemic symptoms in the limb

The ultimate ‘local’ goal of therapy in chronic arterial occlusive disease is to relieve ischemic symptoms (intermittent claudication and rest pain) and to prevent progression of atherosclerosis that might lead to tissue and limb loss. Three trials in the 1980s studied the angiographic evolution as a surrogate endpoint: in one antiplatelet treatment reduced the rate of progression to total occlusion and in the other two treatment reduced an angiographic score which evaluates the extent and severity of the obstructive lesions<sup>12–14</sup> (Table 71.1). One of the two latter reports mentions newly developed occlusions in arterial segments as well: 30/1838 with active treatment (aspirin or aspirin/dipyridamole) vs. 34/972 with placebo (odds ratio 0.46, 95% CI 0.27–0.77)<sup>13</sup>. The clinical relevance of the reported changes is not easily appreciated.

A few studies focused on the need for intervention as a clinical endpoint. The US Physicians’ Health Study reports

**Table 71.2.** Need for further vascular surgery in ticlopidine trials

Authors <sup>ref</sup>	Number of patients	Placebo	Ticlopidine
Bergqvist et al. <sup>16</sup>	total	232	236
	surgery	23	16
Blanchard et al. <sup>7</sup>	total	311	304
	surgery	8	1

that aspirin (325 mg taken on alternate days) significantly reduces the need for peripheral arterial surgery in males without a history of myocardial infarction, stroke or transient cerebral ischemia. During an average 5 year follow-up period (over 100 000 person years) 56 trial participants underwent arterial surgery: 20 assigned to aspirin and 36 to placebo (relative risk with aspirin 0.54, 95% CI 0.30–0.95; *P* = 0.03). The benefit was more pronounced in the subset of individuals who reported claudication at entry into the study. By contrast, the incidence of self-reported new claudication was almost identical in the two groups (112 and 109, respectively). Thus, aspirin appeared to have more impact on the later than on the early stages of the disease, suggesting an antithrombotic rather than an anti-atherogenic effect<sup>15</sup>. The number of patients with further vascular surgery was also assessed in two studies with ticlopidine (Table 71.2)<sup>7,16</sup>; a statistically significant reduction in need for revascularization was observed when the two trials were analyzed together (odds ratio 0.62, 95% CI 0.41–0.93)<sup>9</sup>.

Walking distance provides information on the functional capacity in patients with impaired arterial circulation in the leg. It is a soft, but clinically relevant, endpoint for patients with intermittent claudication. Several studies with ticlopidine provide data on the evolution of walking distance. A meta-analysis on four placebo-controlled trials<sup>17,18</sup> and a fifth controlled study<sup>19</sup> all conclude to a significantly better evolution of walking capacity with ticlopidine. By contrast, ticlopidine did not improve walking distance significantly over placebo in the Argentinian multicenter study<sup>7</sup>.

Statistically significant improvement of walking distance was obtained with several other drugs which exhibit antiplatelet effects, although their use in leg arterial disease does not intend to compete with aspirin or the thienopyridines. The benefit with pentoxifylline has not been very consistent throughout the many conducted studies<sup>20</sup>. The drug improves red cell deformability, lowers fibrinogen levels and decreases platelet reactivity; its clinical effects may thus stem from other pharmacological properties than

from its weak antithrombotic action. Cilostazol acts by selectively inhibiting phosphodiesterase type 3, an enzyme that breaks down cAMP. The final result is a lower level of intracellular  $\text{Ca}^{2+}$  within platelets which, in turn, represses platelet activity. In addition to its antiplatelet effects, cilostazol acts as an arterial vasodilator: it inhibits the function of contractile proteins by an analogous mechanism. Synthesis of prostaglandins is not affected. The drug was first marketed in Japan for patients with intermittent claudication and ischemic leg ulcers. Recently, 6 multicentre placebo-controlled trials were conducted in the US. They involved more than 2000 patients with intermittent claudication and established the efficacy of cilostazol to improve walking distance in these patients<sup>21,22</sup>. Prostaglandins with antiplatelet and vasodilatory effects (mainly  $\text{E}_1$  and  $\text{I}_2$ ) and some of their analogues have been used primarily in studies on patients with critical limb ischemia; the reported results varied but there is some evidence that the prostacyclin analogue iloprost may improve amputation-free survival in these patients. A prodrug of prostaglandin  $\text{E}_1$  administered intravenously appeared to have a positive effect on walking distance of claudication patients in two placebo-controlled studies of short duration<sup>23,24</sup>. Beraprost is an orally active prostaglandin  $\text{I}_2$  analogue that was recently tested in a study on 549 patients with intermittent claudication. Their walking distance improved significantly over 6 months in comparison with placebo. In addition, the incidence of cardiovascular events was markedly reduced (mainly arterial thrombosis of the leg) but the trial was not powered to study this endpoint<sup>25</sup>. Effects of other less common antiplatelet agents are discussed in Chapter 65.

No published study has yet proved that aspirin will prevent the primary claudication symptoms in a healthy population. Because such a study would require very large numbers to be enrolled, an alternative approach is to select a population at higher risk, such as individuals with a (still) asymptomatic decrease in ankle pressure index or patients with diabetes. Such studies are under way.

### **Is antiplatelet treatment useful in arterial surgery?**

Thrombosis, restenosis at the anastomotic sites and late occlusion are the main problems after implantation of a graft or after a thrombendarterectomy. Antithrombotic drugs are primarily expected to decrease the risk of early thrombosis; in a clinical setting, they have never been shown to influence intimal hyperplasia, the mechanism underlying restenosis.

To what extent does antiplatelet therapy contribute to maintain patency of peripheral arterial reconstructions? Clinical trials in this field are not numerous, usually small, and fewer than half of them have a randomized and double-blind design. Many are single centre studies including a mixture of different surgical procedures and patients with varying degrees of lower limb ischemia<sup>26</sup>. The American College of Chest Physicians (ACCP) Consensus Conference (2001) recommends not to use antithrombotic drugs to maintain patency of vascular reconstructions involving high-flow low-resistance arteries greater than 6 mm in diameter. Thus aorto-iliac and aorto-femoral grafts do not require antithrombotic prophylaxis because thrombotic occlusion is unusual and patency rates in the range of 80 to 90% can be expected after 5 to 10 years<sup>27</sup>. An exception to this rule are long axillo-femoral bypasses that appear particularly vulnerable to thrombotic occlusion.

By contrast, reconstructions below the inguinal ligament are more prone to thrombosis because of the lower flow rates, the length of the bypasses and their frequent bending at flexion points of knee and groin. In addition, prosthetic grafts should be distinguished from vein grafts because they have an inherently higher thrombogenicity. As a consequence, the ACCP Consensus Conference proposes the use of aspirin (with or without dipyridamole) in patients having prosthetic infrainguinal bypass operations and to start antithrombotic therapy preoperatively. This recommendation is largely based on six trials published between 1982 and 1997<sup>28–33</sup>. They are summarized in Table 71.3. A recent meta-analysis included five randomized controlled trials comparing long-term aspirin (with or without other antiplatelet therapy) with placebo after infrainguinal bypass surgery<sup>26</sup>. In 423 patients treated with antiplatelet drugs, 120 (28.4%) of the bypasses occluded compared with 144 (36.6%) occlusions in 393 randomized placebo-treated patients, giving a relative risk of 0.78 (95% CI 0.64–0.95) or a proportional risk reduction of 22%; the absolute risk reduction was 8.2%. No distinction was made between prosthetic and venous bypasses. The importance of graft material is emphasized in another meta-analysis on 11 randomized controlled trials of platelet inhibitors after peripheral bypass procedures involving 2302 patients. In this meta-analysis there was a clear treatment benefit of platelet inhibitors but also a significant heterogeneity which was explained by the proportion of patients with a prosthetic graft in an individual trial. Aspirin did not appear to prevent occlusion of vein grafts<sup>34</sup>. The Antiplatelet Trialists' Collaboration meta-analysis<sup>35</sup> on 11 randomized trials (a large number are the same trials), also included over 2000 patients with peripheral vascular grafts and concluded that antiplatelet drugs reduce the inci-

**Table 71.3.** Antiplatelet therapy in infrainguinal grafts

Authors <sup>ref</sup>	Patients	Type of graft ( <i>n</i> )	Follow-up	Patency (per cent)	Comment
Green et al. <sup>28</sup>	49	Prost	1 year	Asp: 88 Asp-dip: 62.5 Placebo: 25	
Goldman, McCollum <sup>29</sup>	53	Prost	1 year	Asp-dip: 67 Placebo: 36	<i>P</i> <0.05
Kohler et al. <sup>30</sup>	100	Vein 51 Prost 51	2 years life table	Asp-dip: 67 Placebo: 57	Not significant treatment started postsurgery
Clyne et al. <sup>31</sup>	111	Vein 93 Prost 55	1 year life table	Asp-dip: 84 Placebo: 65	<i>P</i> =0.012 Benefit entirely in prosthetic grafts within first month
McCollum et al. <sup>32</sup>	549	Vein	3 years	Asp-dip: 61 Placebo: 60	More MI and stroke with placebo
Becquemini et al. <sup>33</sup>	243	Vein	2 years	Ticlopidine: 82 Placebo: 63	<i>P</i> =0.002

*Note:*

Prost: prosthetic; Asp: aspirin; Dip: dipyridamole.

dence of graft occlusion at the end of the (variable) follow-up period by one-third (from 24 to 16%). This conclusion is, to some extent, upheld in a new meta-analysis that included trials with several drugs and several types of revascularization procedures: mainly aspirin associated with dipyridamole and ticlopidine appeared to improve the outcome<sup>36</sup>. The multitude of meta-analyses to answer the same question – do antiplatelet drugs improve the patency rate of vascular grafts – illustrates the difficulty and uncertainty in the field due to the lack of large studies with homogenous groups.

Up to now, the French multicentre study<sup>33</sup> is the only controlled trial in which an antiplatelet drug reduces the rate of late occlusion in an homogenous population of saphenous vein bypass grafts below the knee. Therefore, there is currently no strict recommendation for the use of antiplatelet drugs to maintain patency of saphenous vein bypass grafts. Some surgeons even favour the use of oral anticoagulants in patients with this type of vascular graft. A small study suggested adding oral anticoagulants to aspirin to improve patency of infrainguinal vein grafts at high risk of thrombosis<sup>37</sup>. The recent Dutch Bypass Oral anticoagulants or aspirin study (BOA) investigated whether either of these treatments prevented more effectively than the other bypass complications after infrainguinal bypass surgery in 2690 patients, but found no significant difference in overall occlusion rate. The fre-

quency of occlusion was about 14% per 100 patient-years in each treatment group. However, analysis stratified for graft material showed a lower risk of autologous vein-graft occlusion in the oral anticoagulation group than in the aspirin group; conversely, aspirin appeared more effective in patients with a non-venous graft (Fig. 71.2)<sup>38</sup>. Despite careful control of oral anticoagulant therapy (there is a well-organized system of anticoagulation clinics in the Netherlands), bleeding complications were twice as common with oral anticoagulation as with antiplatelet therapy. There were 18 cases of intracranial bleeding, 8 of which were fatal, in the oral anticoagulation group, vs. 4 (3 fatal) in the aspirin group. This surplus was offset by a lower incidence of non-hemorrhagic stroke: 21 with oral anticoagulants vs. 45 with aspirin.

Surgical patients may benefit from antiplatelet therapy to reduce long-term cardiovascular mortality and morbidity as well as non-operated patients.

### Antiplatelet drugs in percutaneous endovascular revascularization

The 1998 recommendations of the ACCP Consensus Conference for patients undergoing percutaneous transluminal angioplasty (PTA) are summarized in Table 71.4<sup>10</sup>. A European expert group suggested replacing ticlopidine

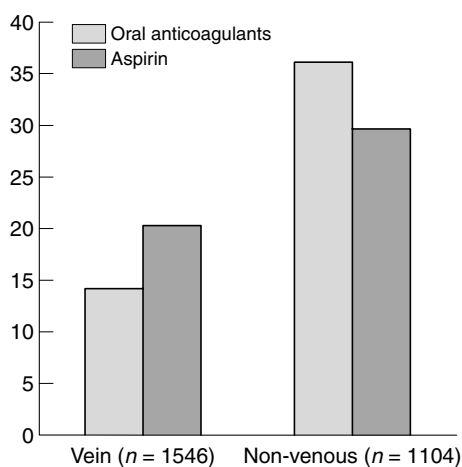


Fig. 71.2. Incidence of graft occlusion with aspirin and oral anticoagulants in the Dutch Bypass Oral anticoagulants or aspirin study<sup>36</sup>.

with clopidogrel which has a similar clinical efficacy but a better safety profile<sup>11</sup>. The proposal largely relies on extrapolation from data in coronary angioplasty. The 2001 ACCP document keeps silence on the issue<sup>27</sup>.

Aspirin (earlier frequently combined with dipyridamole) started the day before the procedure is the usual anti-thrombotic drug in most centres. Historically, this practice appears to be based on a few rather small and methodologically questionable studies of short duration from the pioneering period. Studies with longer follow-up after PTA rarely distinguished early reocclusion from late restenosis and/or occlusion.

Two placebo-controlled studies were published in the 1990s. The first randomized 199 patients to placebo, 100 mg or 330 mg aspirin (both combined with 75 mg dipyridamole) three times daily and found the difference between placebo and the highest dose of aspirin to be statistically significant<sup>39</sup>. The second study randomized 223 patients to 50 mg of aspirin combined with 400 mg of dipyridamole daily for 3 months or to placebo and found no difference between the two treatment groups<sup>40</sup>. This study included patients with iliac angioplasty (more than 40% of the patients) which has a lower risk of reocclusion.

Later clinical trials evaluated low-dose vs. high-dose aspirin as antithrombotic prophylaxis in conjunction with PTA, and found no difference in late outcome between the two regimen but serious gastrointestinal side effects were fewer with the lower dose of aspirin<sup>41,42</sup>. One study suggested that some patients may require a higher dose of aspirin or alternative antiplatelet agents such as ticlopidine or clopidogrel: all 8 occlusions that occurred in 100 patients treated with 100 mg aspirin for 18 months after an

**Table 71.4.** Antiplatelet therapy in angioplasty (and stent placement)

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Use aspirin before and after angioplasty of aorto-iliac arteries to reduce incidence of periprocedural thromboembolic events. Consider aspirin combined with ticlopidine or clopidogrel in patients undergoing angioplasty of femoral and distal arteries.

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*Note:*

Adapted from ref. <sup>10,11</sup>.

elective PTA occurred in patients with inadequate platelet inhibition on in vitro aggregation testing<sup>43</sup>. Taken together, the data on the effect of aspirin on late restenosis (and reocclusion) are rather disappointing: the conclusion that a low dose of aspirin is as effective as a high dose has little significance (except for the lower complication rate) as long as we do not know whether aspirin has any effect at all on late events. The problem is that new placebo-controlled trials are judged to be unacceptable in view of the effect of aspirin on the general prognosis even though, as discussed above, this effect is poorly documented in patients with peripheral arterial disease. On the other hand, the practice of prescribing aspirin in conjunction with peripheral angioplasty is not seriously challenged either.

The proposal to associate ticlopidine (or clopidogrel) to aspirin for procedures below the inguinal ligament is recent. It is entirely based on extrapolation of data after coronary angioplasty: here, the combined use of aspirin and ticlopidine appears to result in synergistic platelet inhibition compared to monotherapy with either agent and in a better early clinical outcome.

Up to now there are no data which specifically address the value of antithrombotic drugs after insertion of stents in peripheral arteries, despite the inherently thrombogenic nature of the material used. Stents in the aorto-iliac region improve the immediate hemodynamic results of iliac angioplasty (particularly in case of complicated lesions and chronic occlusions) and effectively manage recoil and procedure-related flow-limiting dissections<sup>44</sup>. Experienced centres have demonstrated a 1-year and 3-year patency rate after successful recanalization of 90 and 80%, respectively. These results are, in general, obtained with the same antithrombotic regimen as is used in simple angioplasty, although some centres continue heparin administration for 24 to 72 hours.

On the other hand, stenting of infrainguinal lesions as a primary approach is a debated issue: for many, it is at present indicated only for salvage of failed angioplasty due to dissection and marked recoil. Immediate and early



results appear satisfactory but intimal hyperplasia in the stented segment in the first 3 to 9 months after treatment is common and often leads to late failure. Would a modification of the antithrombotic strategy improve results for femoropopliteal stents? The combined use of aspirin and ticlopidine (or clopidogrel) is increasingly popular, certainly in European centres with an aggressive policy of primary stenting of femoropopliteal lesions. Again, their practice is based on extrapolation of coronary data. Extrapolation of data from one vascular bed to another assumes an analogous problem and the same cause and underlying mechanism. In the coronary circulation, early stent occlusion is the targeted dramatic event; in the femoropopliteal region, late reocclusion is of greater concern.

### Future prospects

The newest, yet unpublished, analysis of the Anti-thrombotic Trialists' Collaboration<sup>45</sup>, mainly confirms the previous one<sup>6</sup>, be it on a much larger patient population: antiplatelet therapy reduces the risk of any serious vascular event by roughly one-quarter in all categories of patients at high risk. Is any further progress to be expected from this category of drugs? It is doubtful whether a simple further increase in numbers of patients studied would alter the basic conclusion. The hope that the powerful glycoprotein IIb-IIIa blockers would open a new era of antithrombotic therapy vanished after the débâcle with the oral preparations. A return to the thromboxane receptor blockers is still in an early phase. On the other hand, too many issues in peripheral arterial disease are currently being solved by extrapolation from other vascular beds, particularly the coronary.

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## Afterword

### Platelets: a personal story

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Platelets were a major research interest of mine from the 1960s to the 1980s. During that period descriptive knowledge of platelets was superseded by understanding of their pathophysiological mechanisms and functions. The Editors' invitation was for a brief account of personal work, some of which turned out to be seminal, of course in its wider setting. So I hope this will be as enjoyable to read as it was to write. It has made me look again at our platelet publications of so many years ago that I had forgotten much of what we did and was pleasantly surprised by rediscovering it. The request was for a personal story. So the massive and essential contributions from large numbers of contemporary workers are set out in the historical chapter of this book. This account provides another opportunity of acknowledging the many excellent co-workers, listed below, many of whom carried on with research in various parts of the world and some of whom are contributors to this book.

In 1976 the Royal Society invited me to give a lecture to the British Association of Young Scientists. By then platelets had been my major concern for 15 years. So the title of my lecture was *Why don't we Bleed to Death? The vital defence mechanism of hemostasis*. The abstract, made as attractive as possible for young people, still stands as a reliable summary of what platelets are primarily about:

'As soon as primitive metazoan animals acquired a body cavity containing a fluid different in composition from the environmental fluid they had something to defend against change, a *milieu interieur* to keep constant. Any acute injury was liable to cause a change in the composition or amount of the body fluid. For survival it became necessary, therefore, to develop a defence mechanism, the purpose of which was the prevention of loss of body fluid. This defence mechanism is most simply referred to as "hemostasis" although the name should strictly speaking be reserved for animals with blood circulations.

The defence mechanism against the loss of vital body fluid has three components: (i) change in the physical state of the fluid itself which stops it flowing out through a wound; this is the process called clotting or coagulation; (ii) cellular contraction around the wound opening which makes it smaller and so impedes the loss of fluid; and (iii) the adhesion and aggregation of specialised cells in the wound, tending to close it and so to arrest the outflow of fluid.

Human blood contains a particular type of cell, the platelets, the only known physiological function of which is hemostasis. In healthy people this function is in evidence whenever our closed, high-pressure blood-vascular system is opened somewhere through injury, although the contribution of the platelets to the total hemostatic process depends on the type or types of vessels opened up as well as on other conditions.

Pathologically, platelets constitute a major element of arterial thrombi, whether forming rapidly on atherosclerotic vessel walls or more slowly during graft rejection episodes in the supplying vessels. The hemostatic function of platelets is literally 'vital', i.e. essential to survival; and their pathological role is, of course, potentially lethal. This clinical significance has led to a remarkable increase in platelet research from every conceivable aspect. This research seems likely to result, for the first time, in the discovery of drugs for preventing arterial thromboses such as 'acute coronaries' which are the scourge of this and other developed countries.' Note that this was written in 1976, just about the time the most successful antiplatelet drug – Aspirin – did indeed make its *début* on the heart attack scene.

### The beginnings

How does one come to platelets, such a seemingly insignificant non-cell? Since their discovery about 150 years ago the commonest route has been through clinical hematology; and until platelets became prominent players in coronary heart disease and stroke, their medical importance was mainly *in absentia*, because platelet deficiency causes bleeding. There are many causes of platelet deficiency, one being radiation. As an Army Medical Officer posted close to atom-bombed Hiroshima in defeated Japan, deadly hemorrhages from radiation sickness first made me aware of platelets and how much we need them.

But my own long-term relationship with platelets did not come out of disturbing clinical impressions but through my post-doctoral initiation into pharmacological research. In 1956 I was working in the Oxford Pharmacology

Department with Hugh Blaschko on the recently discovered association of adrenaline with ATP in adrenal granules, which contain about three molecules of the cationic amine for each molecule of ATP<sup>3-</sup>, suggesting ionic binding<sup>1</sup>. It occurred to me that other endogenous amines might be stored similarly elsewhere in the body. Somewhere I had heard or read of platelets containing large amount of the vasoconstrictor amine serotonin (5-hydroxytryptamine, 5-HT). So the initial intention was to utilize this, then comparatively unexplored, circulating pseudocell for pharmacological purposes. As the first person in Britain I used the firefly method, recently introduced by McElroy<sup>2</sup> in the United States, for measuring platelet ATP. With the platelet extract adjusted to ATP concentrations expected from other cell types, the galvanometer pointer flew off the scale – an unforgettable moment<sup>3</sup>. The discovery of excess platelet ATP was followed by evidence for its stoichiometric association with 5-HT, again suggesting ionic binding<sup>4-7</sup>.

### Platelets and aminergic mechanisms

Most of our subsequent work with platelets related to their physiological function in hemostasis and its pathological aberration, thrombosis. But when I began working with platelets they were of interest also through being thought of as biologically rather simpler and therefore easier to study than other types of cell. This has turned out to be a fortunate fallacy: except for the absence of DNA and of protein synthesis, platelets are as complex as the next cell; but because they are easily obtainable almost homogeneously, they have proved invaluable as models for less accessible and more complex cells such as neurones. It was the ability of platelets to be activated by 5-hydroxytryptamine (5-HT) that led to their use as models for tryptaminergic neurones, so different in function and environment, and for determining the modes of action of psychotherapeutic drugs, particularly by Alfred Pletscher and coworkers. One of our own investigations showed that the beneficial effects of lithium in manic-depressives goes with increases in their platelet 5-HT<sup>8</sup>. Another confirmed with rabbits David Boullin's clinical observation that long-term chlorpromazine treatment increases platelet aggregability to 5-HT, possibly through increasing the number of specific receptors<sup>9</sup>. Our evidence suggested that the uptake of 5-HT is an active process<sup>6,7</sup> and with Oleh Hornykiewicz (who later discovered the dopamine deficiency in Parkinson's disease) that the uptake of adrenaline and noradrenaline is not<sup>10</sup>. As 5-HT induces platelet aggregation, the mechanisms for uptake and aggregation were compared. Using numerous tryptamine analogues it was

shown that uptake is highly specific for 5-HT whereas aggregation is not<sup>11</sup>. Intriguingly, uptake was accelerated with platelets covalently enriched with sialic acids on their outer membranes, possibly explained by increased binding of 5-HT at the cell surface<sup>12</sup>. Effects of lithium and tricyclic and other drugs on amine mechanisms demonstrated with platelets were reproduced with nerve cells in the development of antidepressants by pharmaceutical companies.

### Platelets in hemostasis and thrombosis

By far the largest and longest part of my work on platelets had to do with their role in hemostasis and thrombosis; more specifically how platelets reduce blood loss from injuries to vessels larger than the capillaries, where they don't; and how platelets come to initiate – and not infrequently dominate – thrombosis in arteries. In both situations, the physiological and the pathological, the fundamental process is that of platelet aggregation, whereby changes in their normal biochemical and/or hemodynamic environment induce individual platelets to adhere to one another and to aggregate into the growing bodies known respectively as hemostatic plugs and arterial thrombi. The evidence that acute coronary thrombosis is almost always initiated by plaque rupture and hemorrhage has disposed of any uncertainty as to why the platelet aggregation process is the same there as in other hemorrhagic situations.

My interest in platelet aggregation was awakened through the coming together of two previously unconnected observations. One was the discovery of excess ATP in platelets and the demonstration of its rapid breakdown in clotting blood<sup>13</sup>. The other was the Norwegians' discovery of the platelet-aggregating effect of ADP<sup>14</sup>. By then aware that the primary function of platelets is their hemostatic aggregation, I suggested that the two processes are connected through the formation of ADP from ATP released from platelets and from other cells involved in vascular injury<sup>15</sup>. This has turned out to be an oversimplification as far as the platelets themselves are concerned, which release ADP mostly from a different pool than that in which ATP breaks down. Nevertheless, over the years the hypothesis that ADP contributes to platelet aggregation in hemostasis and thrombosis has been supported by experiments<sup>16–18</sup>, and by clinical trials of drugs which inhibit platelet activation by ADP, notably the CAPRIE Trial published in the *Lancet* as recently as 1998<sup>19</sup>. Subsequent discoveries of other endogenous aggregating agents, predominantly thromboxane A2 and thrombin, have added to the complexity of the process. But the evi-

dence stands that ADP and thromboxane A2 contribute about equally to hemostatic aggregation in vivo<sup>20</sup>.

### Platelets in vitro: optical aggregometry

For investigations of platelet physiology I invented and developed the optical aggregometer for quantifying and analysing platelet reactions in vitro. The idea came to me from so-called 'turbidimetric' measurements of ribonuclease activity in *Streptomyces* culture filtrates done for my D.Phil. (as the Ph.D. is termed in Oxford which must always be different!). I made the simple adaptations appropriate for measuring platelet aggregation in plasma. This new method brought exciting results quickly and reproducibly. Having had an important part of my scientific upbringing in Professor Joshua Burn's excellent Pharmacology Department in Oxford, where free and noisy communication between members and visitors was strongly encouraged, I had no hesitation in demonstrating the technique immediately to anyone who asked. The method was published in 1962<sup>15,21</sup> and the first detailed description of observations made with it in the following year<sup>22</sup>. We quantified the relation of aggregate formation to the optical changes<sup>23,24</sup> and showed that they could be accounted for on classical light-scattering theory<sup>25</sup>.

The optical aggregometer has ever since been used worldwide in fundamental, clinical and epidemiological investigations. Within a few years the original papers became *Citation Classics*; and by January 2001 the *Nature* paper had been cited 3796 times and the *Journal of Physiology* paper 1902 times (P.D. Richardson, personal communication). Many more papers – probably many thousands – have been based on optical aggregometry without reference to the original publications. Commenting on the first *Citation Classic* in 1977, I wrote: 'To be told that this paper is one of the most cited articles ever published is astonishing and interesting. I can think of three possible reasons. First, the paper helped to make platelets interesting research objects by suggesting functions for two, then recent, observations, *viz.* ATP breakdown during clotting and platelet aggregation by ADP. The paper suggests that these processes are connected through the formation of ADP from ATP in cells damaged by or involved in vascular injury . . . much evidence now supports this original proposition.

Secondly, the paper introduced the photometric method which later acquired the horrible name of 'aggregometry'. It soon became widely used, presumably because of its simplicity and reproducibility. It has been responsible for major discoveries in platelet function, notably the second phase of aggregation. This is the optical manifestation of

the platelet release reaction, much investigated since as an example of exocytosis and because the discovery of its inhibition by acetyl salicylic acid is the origin of the Aspirin trials in coronary thrombosis.

This brings up the third reason for the popularity of the 1962 paper. It showed that platelet aggregation by ADP can be inhibited and reversed by the closely related substances AMP or ATP. The last paragraph of the paper reads: 'If it can be shown that ADP takes part in the aggregation of platelets in blood vessels it is conceivable that AMP or some other substance could be used to inhibit or reverse platelet aggregation in thrombosis.' Cross and I soon found that AMP was much less inhibitory than adenosine which, in turn, is much less effective than several inhibitors unrelated to ADP which have been discovered since, such as some prostaglandins. Elucidation of these inhibitory mechanisms has made rapid progress, not only because platelets are advantageous models for other cell systems but also because it may result in important advances in drug treatments.' This has indeed turned out to be so.

I have been told there are thousands of optical aggregometers around the world and asked why I did not patent the device. The reason is that before the Thatcherite degradation of everything in life to money, it did not occur to me nor to most members of the medical research community to patent inventions or discoveries; indeed my Oxford Professor Howard Florey was against patenting anything of potential medical value. So the idea just never came up.

As an aside, it occurred to me that the aggregometer might be useful in other biological systems. The life cycle of the slime mould *Dictyostelium discoideum* includes a phase in which thousands of separate cells aggregate into elegant fruiting bodies. So this process was quantified with the aggregometer and the effects of temperature and ionic strengths were determined<sup>26,27</sup>. Interestingly, recalling the platelet effect of lithium referred to earlier, *Dictyostelium* is helping to elucidate the antidepressant action of lithium<sup>28,29</sup>.

Up to the molecular biology era, it is probably true to say that much if not most of the new information about platelets has depended on optical aggregometry. Aggregation was characterized with respect to velocity and temperature and pH dependence<sup>22</sup>. Two essential cofactors of aggregation were discovered, viz., calcium and fibrinogen<sup>30,31</sup>; and the suggestion that fibrinogen forms 'bridges' linking aggregating platelets<sup>32</sup> was later confirmed at the electromicroscopic and molecular levels. Nowadays this bridging function, and thereby platelet aggregation, can be prevented by various molecules which inhibit binding of fibrinogen to its receptor, the activated conformer of Glycoprotein IIb-IIIa, on the platelet surface. So far, meta-analyses of clinical trials indicate that these agents given

orally do not decrease and may even increase mortality, whereas given intravenously they improve the outcome of coronary stents<sup>33,34</sup>.

The rapid shape change of platelets (time constant about 1 second at 37 °C) which is the first visual evidence of their activation was quantified and shown to conform to Michaelis-Menten kinetics<sup>35</sup>. The results suggested that aggregation agonists such as ADP react with specific membrane receptors leading to structural changes; now of course the receptors are fully identified. The same paper contains the proof, by means of a novel thrombocrit technique for determining cell volumes directly, that the rapid shape change is not accompanied by an increase in mean platelet volume, as had been widely accepted on the basis of Coulter Counter measurements. This finding threw doubt on the validity of cell volume measurements by such widely used indirect methods.

Optical aggregometry made possible the discovery by Macmillan and Oliver in 1963 of the second phase of aggregation<sup>36</sup> – Michael Cross and I had failed to notice or to think about the anomalous deformations in our manually plotted aggregometer tracings (this was before the availability of continuous recording) which turned out to be the optical manifestation of the platelet release reaction. In this reaction, enough ADP is released<sup>37</sup> to account for the positive feedback mechanism of aggregate growth already proposed. It is this second phase of aggregation which, as discovered in 1967 by Mustard and coworkers<sup>38</sup> and by Harvey Weiss and Louis Aledort<sup>39</sup>, is inhibited by Aspirin. Therefore optical aggregometry is at the beginning of a very important medical story. Ever since I have enjoined my students to look for odd or unexpected findings and never to disregard what could be an experimental error but could also be something real and important. It was a salutary lesson, in principle – but only in principle – analogous to the unexpected and curious astronomical tracings obtained by S.J. Bell and A. Hewish which led to their discovery of the pulsars. The feedback hypothesis has received much experimental support. Feedback is promoted by adrenaline<sup>40</sup> and inhibited by drugs which prevent the release of ADP from platelets.

Optical aggregometry soon led also to the discovery of the first aggregation inhibitors, viz., ATP and adenosine, looked at first because of their close chemical relationship to pro-aggregatory ADP<sup>15,41</sup>. As it has turned out, inhibition was the most exciting and far-reaching discovery because it established the therapeutic possibility of preventing arterial thrombosis by means of antiplatelet drugs and initiated the era of their use for the prevention of myocardial infarction and stroke. Much effort was devoted to inhibitory mechanisms. We showed that ATP inhibited competitively whereas adenosine did not but was significantly more

effective<sup>42</sup>. More effective still were the 2-substituted adenosine derivatives, particularly 2-chloroadenosine, out of many related substances<sup>43</sup>. In experiments on ourselves, which could conceivably have been harmful and which would now be strictly forbidden, we showed that the relative effectiveness of adenosine analogues as aggregation inhibitors and as arterial vasodilators was the same<sup>44</sup>. Since then this has been explained by the similarity of the cyclic AMP mechanism in platelets and in vascular smooth muscle. We showed later that ATP as a competitive inhibitor of aggregation by ADP is able to reverse the rapid shape change of platelets, bringing them back to their normal disc-like appearance. To demonstrate this rigorously required correlating optical aggregometry with quantitative electron microscopy<sup>45</sup>. Noel Cusack and Susanna Hourani developed nucleosides, nucleotides and several synthetic analogues into important pharmacological tools for investigating platelet mechanisms<sup>46</sup>. By now, three types of receptors for extracellular nucleotides (P2 purinoceptors) are recognized as participants in platelet activation<sup>47</sup>.

The drug dipyridamole was shown to inhibit the uptake of adenosine by platelets and to potentiate its inhibitory effects on aggregation<sup>48</sup>. So, as an aside, when Dinah James, Professor of Pharmacology in Ibadan and an authority on African sleeping sickness, joined me for a year and told me that the causative trypanosome cannot synthesize nucleotides *de novo* but only from adenosine taken up from the surrounding tissue fluid, we looked at dipyridamole as an uptake inhibitor<sup>49</sup>. I do not know whether this idea has been taken further – probably not, or I would have heard of it.

### Platelets in vivo: hemostatic and thrombotic mechanism

From the time of Bizzozero (1882) it has been known that bleeding from small vessels induces platelets to aggregate before clotting begins. Our first question was whether ADP is involved in activating platelets in vivo as had been shown in vitro. Novel techniques were developed for reproducible bleeding time determinations from small arteries in the superior mesenteric territory of rats and rabbits. In both species, local infusions of active but not of inactive ADP-removing enzyme systems increased bleeding time significantly, supporting the conclusion that platelet aggregation in primary hemostasis involves ADP<sup>16,17</sup>. In rats these bleeding times were also prolonged by ADP receptor antagonists<sup>50</sup> (– this et al. includes a great-granddaughter of Charles Darwin!). With ultra-sensitive luminescence measurements blood at arterial puncture sites was shown to contain micromolar ATP for at least 2

seconds<sup>51,52</sup>. Its rapid dephosphorylation supported the proposition<sup>21</sup> that ADP is involved in initiating hemostatic platelet aggregation<sup>15</sup>.

Quantifying platelet adhesiveness and aggregation in uninjured vessels required a methodological innovation. This was to apply ADP by micro-iontophoresis to the outside of small blood vessels<sup>53</sup>. The technique permitted highly localized measurements of small vessel reactions to pharmacological agents, thereby minimizing other tissue and blood flow effects. ADP thus applied showed that platelet thrombi can be made to form many times on the same site in small blood vessels without damaging their endothelial lining, thereby disposing of claims to the contrary. Aggregates grew exponentially, the rate constant providing a measure of the effects of blood flow and of inhibitors<sup>54</sup>. The technique also permitted a first determination of platelet activation time (of the order of 100 ms, since revised downwards)<sup>55</sup>. Histamine, which has no direct effect on platelets, applied iontophoretically to venules contracts their endothelial cells<sup>56</sup> and reversibly accelerated intravascular platelet aggregation by iontophoretic ADP at the same site, evidence that effects within blood vessels of extravascular agents may be influenced by endothelial contractility<sup>57</sup>. Incidentally, the technique also permitted quantification of the reversible contractility of endothelial cells in vivo and determination of their 'relaxation time'. Platelet–endothelial interactions were shown to be minimal in normal vessels, with only a small proportion adhering for very short times (less than 1 second)<sup>58</sup>. On the other hand, reduction of endothelial negative surface charge greatly increased platelet adhesion<sup>59</sup>.

As an aside, we showed that the negative charge densities on endothelia in different blood vessel types greatly exceed those on the surfaces of other cells<sup>60</sup>. This suggested a physiological answer to the long-puzzling question, which had been considered theoretically by Sir James Lighthill, how the blood manages to flow through capillaries with diameters smaller than those of the circulating cells. Our experiments gave evidence that microvascular blood flow is made possible by electrostatic repulsion between the negative charges on endothelial and red cell surfaces<sup>61</sup>. (I often think of this work, which in a sense continues Harvey's and Malpighi's discoveries of how the blood circulates, as the most interesting I have done!)

Overall our findings do little to support the claims of functionally significant interchanges of prostaglandin precursors between platelets and vessel walls, nor of the so-called prostacyclin–thromboxane balance hypothesis<sup>62</sup> which claimed that these two mutually antagonistic agents play a major role in acute coronary thrombosis. The hypothesis, attractive by being simple, was accepted uncritically for many years. But every medical student sees

for himself in the postmortem room the gross damage caused by coronary plaque rupture, which makes it inconceivable that these evanescent agents could possibly have any significant effects on the overwhelming thrombotic sequelae<sup>63</sup>. The lesson is to stay in touch with real life or, in this case, real death.

As it has turned out, great importance attached to the demonstration that inhibitors of *in vitro* aggregation are also effective *in vivo*. The first paper on this<sup>64</sup> showed that the formation and embolization of platelet thrombi is inhibited by adenosine and 2-chloroadenosine. Regional administration of aggregation inhibitors prevented thrombus formation in artificial organs<sup>65</sup>.

During the years devoted mainly to platelets, work was also done on other blood cells. The red cells are a source of proaggregatory ADP, as first demonstrated by the Norwegians<sup>14</sup>. We produced evidence that intravascular platelet aggregation is inhibited by chlorpromazine and similar drugs through an action on the red cells rather than on the platelet themselves<sup>66</sup>, an effect depending on properties of the erythrocyte membrane<sup>67</sup>. Interactions between red cells and platelets were analyzed under various flow conditions<sup>68</sup>.

### Platelets in humans

Great advances in the understanding and the therapeutic control of platelets came in the 1970s and 1980s, which were the heyday of the prostaglandins. For platelet people the most important discoveries were proaggregatory thromboxane A<sub>2</sub> produced by platelets and antiaggregatory prostacyclin produced by vessel walls; and of course the Nobel Prize-winning discovery by John Vane of the action of Aspirin in inhibiting prostaglandin biosynthesis, plus the immediate demonstration of its applicability to platelets by our graduate students Bryan Smith and Jim Willis. These events are very well known, and the therapeutic advances they brought with them are very great: through its antiplatelet action Aspirin reduces the risk of heart attacks and strokes by up to 40%. Based on evidence that thromboxane A<sub>2</sub> and ADP contribute about equally to thrombotic platelet aggregation, large-scale clinical trials are now under way in which Aspirin as thromboxane A<sub>2</sub> inhibitor together with Clopidogrel as ADP antagonist are administered together, against Aspirin itself. The results should show whether inhibiting two different platelet activating mechanisms is clinically more effective than inhibiting only one. (Note added in proof: it is!)

Also during that period great interest began in the influence of diet on atherosclerosis and its thrombotic

complications. Again, much of this turned on prostaglandins and their precursors. Animal and human evidence, for example from Greenland Eskimos, had established that diets rich in polyunsaturated marine lipids, particularly eicosapentaenoic acid, increased blood loss from injuries and from clinical bleeding time measurements. (The late Hugh Sinclair of Magdalen College Oxford took the diet to such extremes that his bleeding time became virtually infinite!) These effects were generally attributed to a decrease of thromboxane A<sub>2</sub> production by platelets demonstrable in clotting blood. Joined by Margaretha Thorngren, who had already been experimenting on her fish-fed husband, we muddied this seemingly clear explanatory pool. Techniques were devised for measuring thromboxane A<sub>2</sub> in the blood coming from standardized bleeding time incisions, just where the aggregating platelets should be releasing it. Compared to blood clotting *in vitro* bleeding time blood contained very little thromboxane A<sub>2</sub>, suggesting that it is not a major determinant of that parameter<sup>69</sup>. Secondly, volunteers on a fish diet for 4 weeks had the expected increase in bleeding time and decrease in clotted blood thromboxane A<sub>2</sub> but no diminution in its output in bleeding time blood<sup>70</sup>. Our evidence also indicated that the bleeding time increases produced by fish diets and by Aspirin are brought about by different mechanisms<sup>71</sup>.

So we looked into another possible explanation, namely that diet diminishes the local vasoconstriction caused by injuries such as cutting. We found that in rats on a diet enriched in eicosapentaenoic acid the contractile effect on small mesenteric arteries of noradrenaline as the primary endogenous vasoconstrictor was decreased up to four-fold<sup>72</sup>. It all adds up to the conclusion that the increased hemorrhagic tendency of fish-eating populations has less to do with platelets than with vascular contractility – at the time an heretical proposition which may however have been followed up more than I am aware of.

### Envoi

By the mid-1980s, understanding of the physiological behaviour and pharmacological control of platelets was well advanced. New questions were increasingly answered by the techniques of molecular biology, with which I am not familiar. So it seemed natural to turn for my last research decade to atherosclerosis, particularly because, to the extent that it becomes preventable, the clinical importance of thrombosis and therewith of platelets will diminish. Many years before we had demonstrated experimentally that lipemia accelerates the growth of



platelet thrombi *in vivo*<sup>73</sup> establishing a link between thrombogenesis and atherogenesis. Work by many people has elucidated the interactive contributions of plasma lipids and platelets to arterial thrombotic events. The early finding has been refined by showing that the aggregability of platelets may be significantly increased by low density lipoprotein (LDL)<sup>74</sup>. And so this vascular journey continued in opposite directions – upstream towards atherogenesis by investigating factors which determine the flux of atherogenic plasma proteins from the blood into arterial walls; and downstream to the terminating event of plaque fissure, the immediate cause of coronary thrombosis in which platelets are heavily involved. With two outstanding colleagues, the pathologist Michael Davies and the physicist Peter Richardson, the first quantification was made of the roles of plaque configuration, stress distribution and macrophage density as determinants of plaque rupture<sup>75</sup>. Plaque fissure represents the link between atherosclerosis and thrombosis<sup>63</sup>. Therefore, why plaques rupture and how that may be prevented are questions of clear and present concern to the platelet community.

Looking back, I suppose that the greatest change to have happened to platelets is their enormously increased importance in clinical medicine – from somehow being involved in the rather uncommon conditions of idiopathic thrombocytopenia and radiation sickness to being recognized as a dominant factor in arterial thromboses causing myocardial infarction and stroke, which together cause almost half of all deaths in industrialized populations. Surprisingly, the exact mechanism whereby platelet deficiency is associated with petechial bleeding is still uncertain. In contrast, the mechanism of platelet aggregation at vessel injuries is now well understood. It is gratifying that the feedback hypothesis of platelet aggregation turned out to be explanatory of the remarkable effectiveness of antiplatelet drugs of the Aspirin type in the prevention of heart attacks and strokes. The continuing medical importance of platelets is attested by the development of antiplatelet agents with other modes of action, some already in use and others undergoing clinical trials<sup>33</sup>; and by the existence of the Anti-Platelet Trialist Organization in Oxford which has been monitoring progress worldwide.

Thought-provoking developments in the platelet story keep me interested and involved; for example, by helping to evaluate the comparative clinical merits of Aspirin and Clopidogrel<sup>76</sup>. And recently there was the unexpected and curious result of the Thrombosis Prevention Trial<sup>77</sup>, that non-fatal events were significantly reduced by Aspirin but not by Warfarin which however significantly reduced fatal events. If substantiated this finding cries out for a reason-

able, perhaps even testable, hypothesis. I suggested that the simplest assumption might be differences in fissure size, such that non-fatal events were associated with small fissures inducing non-occlusive embolizing platelet thrombi, rather like unstable angina, whereas fatal events would be caused by large fissures where platelet aggregation is rapidly superseded by occlusive coagulation, so accounting for the great effectiveness of Warfarin. Perhaps it is conceivable that further improvements in high-resolution ultrasonography or magnetic resonance imaging techniques might show up differences in fissure size, at least in carotid arteries<sup>78</sup>.

Platelet research has been continuously interesting itself and has also permitted following up promising side alleys. It would be a pity if career pressures on research workers were to prevent them from following up ideas not on their main road. I myself have still been lucky enough to do that – the three or four ‘asides’ referred to above were not the only ones. Of course there was a downside: to quote from my graduation anniversary, ‘years on minimal income at the beginning, and not much more at the end; but an amazing amount of fun’. And now, late in the day, there beckons the possibility of following up an exciting idea in a different field altogether, namely cancer. But that is another story. At all events, I am glad to have contributed in a small way to knowledge of a vital physiological process – hemostasis – and to an advance in Medicine, which Dr Samuel Johnson rightly called ‘the greatest benefit to mankind’.

In the platelet work the following were my principal colleagues and coworkers, whose contributions and friendship I wish to acknowledge with pleasure and gratitude:

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# Index

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- abciximab, 422, 588–9, 691, 943–4, 965
- acute coronary syndrome therapy
  - non-ST elevation, 1019
  - ST elevation, 1022–4
- genetic variability in response, 970
- induced thrombocytopenia, 587, 590–1
- integrin crossreactivity, 945–6
- LIBS epitope expression and, 946
- percutaneous coronary intervention and, 1025–31, 1032
- pseudothrombocytopenia and, 589
- see also* GPIIb–IIIa antagonists
- angiotensin-converting enzyme inhibitors, 717
- acetylsalicylic acid, 919
  - see also* aspirin
- acid hydrolases, 109, 110, 405
- acquired amegakaryocytic thrombocytopenic purpura (AATP), 532
- actin, 14, 93
- actin filaments, 46, 58–9
  - assembly, 93, 95, 396
    - nucleation by WASp and Arp2/3 complex, 98
    - platelet activation and, 95–8
    - protein kinase C and, 275
    - regulation, 96–8
  - discoid shape maintenance role, 57–8, 94
  - dynamics in resting platelet, 93–4
  - phospholipase C and, 248–9
  - platelet shape change and, 326–8
  - pleckstrin effects, 276–7
  - store-mediated calcium entry and, 263–5, 266
  - stress fibres, 395, 397
- actin-binding protein (ABP), 296–7
- acute autoimmune thrombocytopenic purpura (AITP) in
  - childhood, 557, 558–61
  - therapy, 559–60
- acute coronary syndromes (ACS), 727, 728, 734, 742–3, 1039
  - antiplatelet therapy
    - non-ST elevation ACS, 1017–19
    - secondary prevention, 1015
    - ST elevation ACS, 1019–24
  - see also specific conditions*

- acute leukemia (AL), 536
- acute lymphoblastic leukemia, 536
  - acute myeloid leukemia (AML), 532, 533, 536, 696
    - acute promyelocytic leukemia (APML), 536
    - familial platelet disorder with predisposition to AML (FPD/AML), 522
    - myelodysplastic syndrome and, 534, 535
- adducin, 93, 94, 95, 97–8
- platelet shape change and, 328
- adenosine, 290, 292, 856, 1064
- inhibition of platelet aggregation, 348
  - migraine and, 900
  - see also* ADP; ATP
- adenosine receptors, 290, 292
- adenylyl cyclase, 114, 146, 293
- activation, 290–2
    - adenosine receptors, 292
    - DP receptor, 292
    - EP2 receptor, 290–2
    - EP4 receptor, 292
    - IP receptor, 290
  - G protein interaction, 206
    - inhibitory G-protein (Gi), 209–10
    - stimulatory G-protein (Gs), 207–9
  - inhibition, 127, 128, 133, 206, 292–3
    - ADP, 292, 343, 973
    - EP3 receptor, 292
    - epinephrine receptor, 293
    - thrombin receptor, 293
    - thromboxane receptor, 293
- adhesion *see* cell adhesion; platelet adhesion
- adhesion receptors *see* platelet receptors
- ADP, 4, 8–9, 113–14, 127, 1064–5
- adenylyl cyclase and, 343
  - antiplatelet therapy monitoring, 472
  - calcium entry and, 261–2, 930
  - effects on platelets, 127–8, 209, 929–30
    - aggregation induction, 133–5, 342–3, 444, 462, 929, 1064–5
    - inhibition of effects, 929, 930, 932
    - tumour cell effects, 826
  - phospholipase C activation, 239, 244–5
  - phospholipase D activation, 252
  - release of, 358
  - uremia and, 838
- ADP receptor antagonists, 131, 135, 473, 930, 932
- acute coronary syndrome therapy
    - non-ST elevation, 1017
    - ST elevation, 1022
  - percutaneous coronary intervention and, 1024–5
  - primary prevention, 1013
  - secondary prevention, 1014–15
  - see also* clopidogrel; ticlopidine
- ADP receptors, 128–35, 664, 973
- adenylyl cyclase inhibition, 292, 973
  - agonists, 131
    - congenital defects, 133, 358, 664–5, 678
    - molecular identity, 128–9
    - pharmacology, 131–3
      - clopidogrel and, 131–3, 135
      - ticlopidine and, 131, 135
    - role in platelet function, 133–5, 209–10, 342, 930
    - see also* ADP receptor antagonists; P2X receptor; P2Y receptors
- adrenaline *see* epinephrine
- adrenoceptors, 898–9
- adult respiratory distress syndrome, 870
- advanced glycation end products (AGEs), 745
- afibrinogenemia, 683
- therapy, 683
- ageing, of platelets, 71
- agglutination
- botrocetin-induced, 348
  - ristocetin-induced, 348, 463
  - see also* platelet aggregation
- aggregation *see* platelet aggregation
- aggregometry, 462–3, 474, 1063–4
- antiplatelet therapy monitoring, 473–4
- aggression, 900
- aggrelin, 165
- AKT protein kinase, 436
- albolabrin, 831
- albumin, 887–8, 889
- alcohol abuse, 293, 902
- thrombocytopenia and, 531
- all-*trans* retinoic acid (ATRA), 536
- allergic diseases, 852–61
- IgE role in platelet function, 854–5
  - platelet involvement, 852–4
    - animal models, 855–6
    - see also* asthma
- allergic reactions to platelet transfusion, 717–18
- alloimmune thrombocytopenia, 551–2, 561–2, 569–80, 694
- antigen testing, 575–6
  - diagnosis, 574
  - human platelet-specific antigens, 570–1
  - management, 576–9
    - antenatal management, 576
    - failure of treatment, 579
    - newborn, 577, 579, 713–14
    - strategies, 577–9
    - therapeutic procedures, 576–7
  - pathogenesis, 569
  - presentation, 573–4
    - intracranial hemorrhage, 574
    - predictors of disease, 574
    - screening programs, 575–6
- alloimmunization, 571–3, 715–17
- human leukocyte antigens (HLA), 715–16
  - refractory patient management, 716–17
- alpha 2A adrenoceptors, 898–9, 901
- alpha-granules, 28, 60–1, 106–9, 655

- contents, 107, 357, 403, 655, 993  
 defects, 108–9, 661–2  
   alpha/delta-storage pool deficiency, 662–3  
   Jacobsen/Paris–Trousseau syndrome, 522, 566, 662  
   Quebec platelet disorder, 109, 522, 662, 676–7  
   *see also* grey platelet syndrome  
 functions, 108, 655  
 means of study, 108  
 membrane, 107–8  
 origin, 108  
 properties, 106  
 secretion, 357, 358–60  
 Alport syndrome, 518–19, 565  
 alternagin, 165  
 aminomethyltrimethylpsoralen (AMT), 810–11  
 5-aminosalicylates, 911  
 anagrelide  
   essential thrombocythemia treatment, 632  
   side effects, 632  
 anemia  
   Fanconi anemia, 533  
   iron deficiency, 478  
     thrombocytosis and, 625  
   megaloblastic anemia, 533–4  
   pernicious anemia, 534  
   role in uremia, 839–40  
   *see also* aplastic anemia  
 angina  
   as outcome in clinical trials, 1007–8  
   stable angina, 734, 922  
   *see also* unstable angina  
 angiogenesis, 829, 876  
 annexin V, 383  
 antibiotics, 690  
   uremia and, 840  
 anticoagulants, 474  
   group IIA phospholipase A<sub>2</sub> and, 230–1  
   platelet aggregation and, 340–2, 944  
 antidepressants, 895  
   serotonin reuptake and, 897  
 antiendothelial cell antibodies, 617–18  
 antioxidants, 478  
   atherosclerotic lesion stabilization, 746  
 antiphospholipid antibodies, 551  
 antiplatelet antibodies, 694  
   assays, 546–7, 575  
   thrombotic thrombocytopenic purpura and, 617–18  
 antiplatelet therapy, 1013  
   acute coronary syndromes  
     non-ST elevation, 1017–19  
     ST elevation, 1019–24  
   agents which elevate cAMP, 473  
   atherothrombosis prevention, 1040–1  
   clinical trials, 753–4, 923–4, 970–2  
   *see also* clinical trial design  
   glomerular diseases, 844  
   GPIIb receptor antagonists, 473  
   GPIIb–IIIa receptor antagonists, 473  
   history of, 964–5  
   inflammatory bowel disease, 911–13  
   influencing factors, 478–9  
     depression, 479  
     diet, 478  
     exercise, 478  
     genetic factors, 479  
     hormonal effects, 478  
     smoking, 478  
   inhibitors of platelet agonist receptors, 473  
   metastasis inhibition, 830–1  
   monitoring, 471–9, 945  
     agonists, 471–2  
     antiplatelet agents, 472–3  
     cAMP and cGMP levels, 476–7  
     drug plasma level assays, 477  
     flow cytometry, 475–6  
     platelet adhesion, 477  
     platelet aggregation, 473–4  
     platelet count, 474  
     platelet function analyser (PFA-100), 474  
     platelets and coagulation, 477–8  
     rapid platelet function analyser, 474–5  
     template bleeding time, 477  
     thromboxane B<sub>2</sub>, 476  
     whole blood aggregometry, 475  
   percutaneous coronary intervention, 1024–32  
   peripheral vascular disease, 1054–9  
     arterial surgery and, 1056–7  
     future prospects, 1059  
     limb ischemic symptoms and, 1055–6  
     percutaneous endovascular revascularization and, 1057–9  
     prevention of vascular events, 1054–5  
   platelet signalling inhibitors, 473  
   populations as targets, 965–6  
   primary prevention, 1013  
   risks, 1042  
   secondary prevention, 1014–17, 1041–9  
   stroke, 1041–9  
   *see also specific drugs*  
 Antiplatelet Trialists Collaboration, 753–4  
 antisense oligodeoxynucleotides, 984  
 antisense oligonucleotides, 984  
 antithrombins, 349, 438, 958, 1040  
 antithrombotic gene therapy *see* gene therapy  
 anxiety disorders, 901  
 aplastic anemia, 529, 530–2  
   causes  
     alcohol, 531  
     chemicals, 530–1  
     drugs, 530  
     immune mechanism, 530

- aplastic anemia (*cont.*)  
 causes (*cont.*)  
   radiation, 531  
   viral infection, 531  
 idiopathic, 530  
 treatment, 532
- apolipoprotein E (apoE), 443  
 gene disruption, 443
- apoptosis  
 degradation of apoptotic cells, 231  
 hemolytic uremic syndrome and, 618–19  
 myelodysplastic syndrome and, 535, 536  
 promotion of by gene transfer, 983  
 thrombotic thrombocytopenic purpura and, 618–19
- aprotinin, 694, 994
- arachidonic acid, 9, 140, 221, 874  
 antiplatelet therapy monitoring, 472  
 metabolism, 221–3, 369, 432  
   in platelet–leukocyte interaction, 416  
 phospholipase C activation, 246  
 platelet aggregation and, 344  
 release of, 221, 369, 371  
   impaired release from membrane phospholipids, 663, 681  
   role of phospholipase A<sub>2</sub>, 225, 228–9, 372
- Arf GTPase subfamily, 206, 207  
 phospholipase D regulation, 250–1
- argatroban  
 heparin-induced thrombocytopenia therapy, 598, 599  
 pharmacology, 598
- arginine vasopressin (AVP)  
 platelet aggregation and, 346  
*see also* desmopressin
- Arp2/3 complex, 98
- arterial eversion graft model, 507–8  
 advantages and disadvantages, 508  
 general applicability, 508  
 observations, 508
- aspirin, 12, 473, 919–26, 964, 965–6, 995–6  
 antiplatelet therapy, 221, 422, 1066  
   acute ischemic stroke, 1041, 1042–4, 1046–9  
   benefits, 745, 1044  
   clinical trials, 753–4, 970–2  
   costs, 1043  
   dosages, 1043–4  
   monitoring, 476, 479  
   non-ST elevation acute coronary syndromes, 1017  
   percutaneous coronary intervention, 1024  
   peripheral vascular disease, 1054, 1055, 1056–9  
   primary prevention, 923–4, 1013  
   safety, 926, 1043  
   secondary prevention, 1014, 1042–4, 1046–9  
   ST elevation acute coronary syndromes, 1019–22
- antithrombotic effects, 922
- aspirin-sensitive asthma, 861
- cyclooxygenase interaction, 12, 372, 435, 919, 920  
 aspirin resistance and, 921
- effects on platelet function, 690  
 bacterial infection and, 791  
 inhibition of aggregation, 8, 12, 65, 341, 344
- essential thrombocythemia therapy during pregnancy,  
 632
- genetic variability in response, 970–2
- glomerular disease treatment, 844
- inflammatory bowel disease and, 911
- mechanism of action, 919
- pharmacodynamics, 920–1  
 aspirin resistance, 921  
 effects not related to thromboxane A<sub>2</sub>, 920  
 effects on prostacyclin, 920  
 effects on thromboxane A<sub>2</sub>, 920
- pharmacokinetics, 919–20
- side effects, 924–6, 1043
- uremia and, 840  
*see also* antiplatelet therapy
- aspirin resistance, 921
- aspirin-like defect, 663
- asthma, 855  
 platelet involvement, 852–4, 855, 995  
   airway remodelling, 858  
   aspirin and NSAID sensitive asthma, 861  
   leukocyte adherence to endothelium, 859–61  
   platelet-derived mediators, 856–8
- atherosclerosis, 4, 5, 329–30, 738–47, 948, 978, 1039  
 clinical consequences, 734–5  
 endothelial dysfunction and, 438, 439–40, 441, 443  
 future developments, 747  
 group IIA phospholipase A<sub>2</sub> role, 231  
 pathogenesis, 738–44  
   acute vascular events, 742–3  
   fatty streak, 741–2  
   fibroatheroma, 742  
   incorporation of mural thrombi, 744  
   inflammation role, 738–41, 1039  
   leukocyte recruitment, 739–41  
   unstable lesions, 742, 743, 1039
- plaque characteristics, 727, 1039  
 calcification, 742  
 heterogeneity, 732  
 thrombogenicity, 732–3
- plaque rupture, 742, 1039–40, 1066  
 active disruption, 727–8  
 passive disruption, 727  
 sequelae of, 1039–40  
 triggers of, 1039
- progression, 727
- restenosis, 744, 1056
- stabilization of lesions, 746–7  
 antioxidant therapy, 746  
 high density lipoprotein (HDL), 746–7  
 lipid lowering, 746
- vein grafts, 985  
*see also* atherothrombosis



- atherothrombosis, 1039–40, 1053  
 platelet role, 744–5, 1039–40  
 preventive antiplatelet therapy, 1040–1  
 risk factors, 745–6
- ATP, 1064  
 release of, 358
- atrial fibrillation, 1049
- atrial natriuretic factor (ANF), 293
- attention deficit disorder (ADD), 679
- autism, 900
- autoimmune thrombocytopenia, 537, 545–51  
 childhood  
 acute autoimmune thrombocytopenic purpura, 557, 558–61  
 chronic autoimmune thrombocytopenic purpura, 558, 560–1  
 therapy, 559–61  
 drug-induced, 584  
*see also* idiopathic thrombocytopenic purpura
- bacterial infections, 783–96  
 disseminated intravascular coagulation (DIC), 785–6  
 Ehrlichial infections, 783–4  
 infective endocarditis, 784–5  
 mechanisms of bacterial–platelet interactions, 787–90  
 anti-infective platelet responses, 789–90  
 bacterial ligands, 787–8  
 platelet receptors, 788–9  
 Rickettsial infections, 783–4  
*see also specific bacteria and diseases*
- Bartter syndrome, 695
- batroxostatin, 831
- benzene toxicity, 530–1
- Bernard–Soulier syndrome (BSS), 5, 179, 520–2, 565, 639–42  
 deficiency, 9–10, 86, 182, 310–11, 313, 522, 639  
 diagnosis, 342, 492, 640  
 frequency, 683  
 genetic basis, 182, 520, 522, 639, 640–2, 762  
 platelet characteristics, 94, 329, 520–1, 522, 639–40  
 symptoms, 639
- $\beta$ -thromboglobulin ( $\beta$ TG), 107, 108, 404  
 as laboratory marker for thrombosis, 755, 756  
 inflammatory bowel disease and, 909–10  
 malignancy and, 827  
 measurement, 464, 755
- bias, in clinical trials *see* clinical trial design
- bipolar disorder, 900–1
- bivalirudin, 958
- bleeding time test, 460–1  
 anemia and, 839–40  
 antiplatelet therapy monitoring, 477  
 drug effects, 690–2  
 liver disease and, 695
- blood banks, quality control of stored platelet concentrates, 491–2
- bone marrow  
 disorders affecting platelet production, 529–37  
 acquired amegakaryocytic thrombocytopenic purpura (AATP), 532  
 aplastic anemia, 530–2  
 congenital amegakaryocytic thrombocytopenia (CAMT), 523, 537, 566  
 Fanconi anemia (FA), 533  
 infiltration by malignancy, 533  
 megaloblastic anemia, 533–4  
 myelodysplastic syndrome (MDS), 534–6  
 paroxysmal nocturnal hemoglobinuria (PNH), 532–3  
 platelet transfusion and, 711  
 regulation disorders, 536–7  
 TAR-syndrome (thrombocytopenia with absent radius), 523–4, 537, 566  
 thrombopoietin deficiency, 536–7  
 platelet production, 72, 528  
 transplantation, 532, 683
- Borrelia burgdorferi*, 788–9
- botrocetin  
 agglutination induction, 348  
 antiplatelet therapy monitoring, 472
- bovine diarrhea virus (BVDV), 808
- bradykinin, 717
- Brugia malayi*, 816
- Bruton's tyrosine kinase (Btk), 285
- busulphan, essential thrombocythemia treatment, 631
- C3b, 818
- C-reactive protein (CRP), 817, 920, 965–6
- caffeine, 478
- calcium, 14  
 ADP effects, 128–9, 930  
 cyclic AMP effects, 297  
 entry into platelets, 260–7, 358  
 actin cytoskeleton relationship, 263–5, 266  
 regulation, 266–7  
 second messenger-evoked entry, 262–3  
 store-mediated entry, 263–6  
 via the P2X1 purinoceptor, 261–2
- IP<sub>3</sub> and, 239, 260, 262
- mobilization defects, 679–80
- phospholipase A<sub>2</sub> regulation, 225
- platelet aggregation and, 896
- prostaglandin D<sub>2</sub> receptor effects, 152
- release of stored calcium, 260
- removal from cytosol, 267–8
- role in platelet shape change, 98, 99–100, 324
- uremia and, 838
- calcium pump, 65
- calpain, 245–6, 397  
 thrombotic thrombocytopenic purpura and, 611
- calreticulin, 165
- cancer *see* malignancy
- capillary endothelium, 4
- CapZ, 93, 95, 97
- cardiolipin, 551
- cardiopulmonary bypass, 694–5  
 platelet transfusion and, 713

- cathepsin G, 994  
 cleavage of platelet surface glycoproteins, 414, 994  
 platelet aggregation induction, 346–7, 413–14  
 platelet receptor activation, 413–14
- cC1q-receptor, 163
- CD9, 165
- CD11b/CD18, 416–17, 875
- CD23  
 FcεRI interactions, 820–1  
 role in parasite elimination, 819–20
- CD26, 789
- CD31 (PECAM-1), 165, 405, 739  
 bacterial interaction, 789
- CD36, 86–7, 162–3, 168  
 bacterial interaction, 789  
 deficiency, 87, 648, 678  
 functions, 87  
 structure, 86–7
- CD40, 231  
 atherosclerosis and, 740, 948
- CD40 ligand (CD40L), 405, 948, 949
- CD47 (integrin-associated protein), 164, 210
- cell adhesion  
 inflammation and, 393–5, 739–41  
 leukocyte–endothelium adhesive interaction, 739–41, 744  
 allergic diseases and, 859–61  
 platelet–leukocyte adhesive interactions, 416–19  
*see also* platelet adhesion
- cell cycle inhibition, 983
- cell migration, 393–6  
 platelet migratory ability, 397–8  
 animal studies, 400–2  
 vascular smooth muscle cells, 978, 980  
 inhibition of, 984  
*see also* chemotaxis
- chaperonin-10, 888–9
- Chediak–Higashi syndrome (CHS), 61, 106, 660  
 animal models, 660  
 molecular aspects, 660
- chemokines, 395–6, 397–8, 403–5  
 receptors, 395–6, 397–8
- chemotaxis, 393–405  
 platelet chemotactic effects on other cells, 403–5, 415  
 platelet chemotaxis *in vitro*, 398–400  
 platelet chemotaxis *in vivo*, 400–2  
 human studies, 402  
 platelet migratory ability, 397–8  
 animal studies, 400–2
- childhood thrombocytopenia, 545, 556–66  
 acquired thrombocytopenia, 558–64  
 acute autoimmune thrombocytopenic purpura, 557, 558–61  
 chronic autoimmune thrombocytopenic purpura, 558, 560–1  
 heparin-induced, 564, 599  
 increased platelet consumption, 563–4  
 infections, 562–3  
 therapy, 559–61  
*see also* alloimmune thrombocytopenia
- diagnosis, 556–7  
 inherited thrombocytopenias, 564–6  
 inherited giant platelet disorders, 565–6  
 normal platelet volume, 566  
 small platelet size, 564–5  
*Chlamydia pneumoniae*, 791
- chronic autoimmune thrombocytopenic purpura (AITP) in  
 childhood, 558  
 therapy, 560–1
- chronic lymphocytic leukemia (CLL), 551
- cilostazol, 691, 959, 1056
- clinical trial design, 1003–11  
 active vs. control randomized trials, 1006–7  
 adherence to randomized treatments, 1007  
 bias minimization, 1004–5  
 proper randomization, 1004  
 therapeutic decisions should be based on the totality of  
 information, 1005  
 unbiased analysis of results, 1004–5  
 unbiased ascertainment of outcomes, 1004  
 effect of cardiac interventions, 1009  
 importance of competing risks, 1011  
 minimizing random error and ensuring study power, 1006  
 moderate treatment benefits, 1005–6  
 the question, 1003  
 treatment benefit will be greatest during the treatment period,  
 1008–9  
 trials comparing various active treatments, 1006–7  
 unanticipated qualitative treatment effects are rare, 1008  
 use of composite outcomes, 1007–8
- clopidogrel, 131–3, 135, 343, 690–1, 929, 1066  
 antiplatelet effects of, 933  
 antiplatelet therapy monitoring, 479  
 cost, 1046  
 inflammatory bowel disease therapy, 912  
 non-ST elevation acute coronary syndrome therapy, 1017  
 percutaneous coronary intervention and, 1025  
 peripheral vascular disease therapy, 1054  
 pharmacogenetic approach, 972–3  
 pharmacology, 930–4  
 clinical pharmacology, 934  
 metabolism and pharmacokinetics, 930–2, 972  
 mode of action on platelets, 932, 972  
 secondary prevention, 1014–15  
 stroke, 1044–6, 1049  
 side effects, 972–3, 1046
- clot retraction, 5, 193–4, 381  
 measurement, 478
- clot signature analyser (CSA), 461–2, 478
- clotting factors *see specific factors*
- clotting process *see* coagulation; thrombus formation
- coagulant phospholipids (CPL), 382–3, 387
- coagulation, 3–4, 460, 991–2, 1040  
 antiplatelet therapy monitoring, 477–8

- GPIIb-IIIa antagonist effects, 947–8  
 hypercoagulable-dependent thrombosis, 734  
 inflammation relationships, 991–2  
 platelet procoagulant activity, 381, 383–7  
   measurement, 466  
 platelet–monocyte interactions and, 415–16  
*see also* platelet aggregation; thrombus formation  
 cofilin, 328  
 collagen, 8, 158–60, 165–6, 308–9  
   antiplatelet therapy monitoring, 472  
   phospholipase C activation, 240, 246–8  
   platelet interaction, 99–100, 113, 158, 766  
     adhesive interactions, 87–8, 158, 308–9  
     aggregation induction, 343–4, 462  
     cyclic AMP effects, 298  
     hemostasis and, 160  
     models, 168–9  
     thrombosis and, 160  
   von Willebrand factor interaction, 307–8  
 collagen receptors, 158, 160–3, 343, 766  
   accessory molecules, 163, 164–5  
     calreticulin, 165  
     CD9, 165  
     Fc receptors, 164  
     integrin-associated protein (CD47), 164  
     PECAM-1 (CD31), 165  
     protein disulphide isomerase, 164  
 CD36, 86–7, 162–3, 168  
 defects, 87, 648, 677–8  
 glycoprotein VI, 87–8, 99–100, 162, 166–9  
 integrin  $\alpha 2\beta 1$ , 160–2, 168–9  
   affinity regulation, 163–4  
   other receptors, 163  
   signalling, 165–8, 283–4, 298  
     second messenger pathways, 166  
 colon carcinoma, 828–9  
   therapy, 830  
 Cone and Platelet Analyser (CPA), 945  
 congenital amegakaryocytic thrombocytopenia (CAMT), 523,  
   537, 566  
 convulxin, 165, 769–70  
 copper coil model of arterial thrombosis, 503–4  
   advantages and disadvantages, 504  
   general applicability, 503–4  
   observations, 503  
 coronary arteries  
   occlusion, 743  
   stenosis, 742–3  
   unstable lesions, 742  
 cortactin, 325  
 corticosteroid treatment  
   alloimmune thrombocytopenia, 577, 579  
   idiopathic thrombocytopenic purpura, 547, 548  
   inflammatory bowel disease, 907–8  
 Crohn's disease, 907  
   clinical features, 907  
   etiopathogenesis, 908  
   multifocal mesenteric microinfarction, 908, 911  
   *see also* inflammatory bowel disease  
 cryoprecipitate, uremia and, 693–4  
 CXCR4 chemokine receptor, 29  
 cyclic AMP (cAMP), 13, 65, 114, 290, 433  
   antiplatelet therapy monitoring, 476–7  
   calcium uptake and, 297  
   collagen-stimulated platelet activation and, 298  
   elevation of, 473, 691  
   G protein interaction, 206, 207–10  
   inhibition of platelet activation, 296, 732  
   IP<sub>3</sub> receptor regulation, 297–8  
   prostacyclin receptor interaction, 149  
   prostaglandin D<sub>2</sub> receptor interaction, 152  
   protein phosphorylation and, 296–7  
   regulation by phosphodiesterases, 295–6, 958–9  
   signal transduction and, 297  
   uremia and, 838  
   *see also* adenylyl cyclase; phosphodiesterases  
 cyclic AMP-dependent protein kinase *see* protein kinase A  
 cyclic flow variants (CFVs), 442, 500–2  
 cyclic GMP (cGMP), 13–14, 290, 295–6, 434  
   antiplatelet therapy monitoring, 476–7  
   inhibition of platelet activation, 298  
   protein phosphorylation and, 298  
   regulation, 958–9  
   *see also* phosphodiesterases  
 cyclic GMP-dependent protein kinase (PKG), 148, 294  
 cyclic thrombocytopenia, 537  
 cyclooxygenases (COX), 12, 140, 222, 369–70, 981  
   aspirin interaction, 12, 372, 435, 919, 920  
   aspirin resistance and, 921  
   defects, 663, 681–2  
   gene transfer to sites of arterial injury, 981–2  
   inhibitors  
     anti-inflammatory effects, 995–6  
     reversible COX-1 inhibitors, 955  
     *see also specific drugs*  
   isoforms, 369–70  
   thromboxane A<sub>2</sub> synthesis and, 372, 374, 375, 376–7, 435  
 CYP2CP gene polymorphism, 967–8, 972  
 cystathione  $\beta$ -synthase (CBS) gene, 443  
 cysteinyl leukotrienes, 858  
 cytochalasins, 46  
 cytokines, 396, 991–3  
   hemolytic uremic syndrome and, 618  
   role in febrile reactions to platelet transfusion, 717  
   thrombotic thrombocytopenic purpura and, 618  
   *see also* chemokines; *specific cytokines*  
 cytomegalovirus (CMV)  
   megakaryocyte interactions, 808  
   transmission by platelet transfusion, 718, 809  
 cytoskeleton, 14, 93–8  
   activated platelet, 95–8  
   actin assembly regulation, 96–8

- cytoskeleton (*cont.*)  
 activated platelet (*cont.*)  
 actin nucleation by WASp and Arp2/3 complex, 98  
 assembly defects, 682  
 migratory ability and, 397  
 phospholipase C and, 248–9  
 resting platelet, 93–5  
 signalling leading to platelet shape change, 98–100  
 cytosolic calcium increase, 99–100  
 new production of membrane polyphosphoinositides, 100  
 small GTPases, 100  
*see also* actin filaments; microtubules
- danaparoid  
 heparin-induced thrombocytopenia therapy, 598–9, 600  
 children, 599  
 pregnancy, 599  
 pharmacology, 598
- deep vein thrombosis (DVT), 753, 757  
 aspirin therapy, 753–4  
 laboratory markers, 755–7  
 beta thromboglobulin, 755  
 cross-sectional studies, 755–6  
 platelet factor-4, 755  
 predictive value, 756–7  
 soluble P selectin, 755  
 platelet imaging, 757  
*see also* peripheral vascular disease; thrombosis
- demarcation membrane system, 27  
 platelet production from, 72
- dendritic platelets, 45–6, 47
- dense bodies/granules, 28, 59, 60, 104–6, 655  
 contents, 104–5, 357, 403, 655  
 defects, 106, 657–61, 676  
 Chediak–Higashi syndrome, 61, 106, 660  
 hereditary thrombocytopenias, 661  
 Hermansky–Pudlak syndrome, 60, 65, 106, 659–60  
*see also* storage pool disease  
 functions, 105–6, 358, 359–60, 655  
 means of study, 105  
 membrane, 105, 359  
 origin, 105  
 properties, 104, 358  
 secretion, 357, 358  
 protein kinase C-independent secretion, 361–2  
 uremia and, 838
- dense tubular system (DTS), 41–2, 63–5
- depression, 479, 895, 896  
 role of platelet adrenoceptors, 898–9  
 serotonin reuptake and, 896–7
- Dermatophagoides pteronyssinus* allergy, 854
- desmopressin (DDAVP), 346, 666, 683  
 uremia and, 693
- diabetes mellitus, 313, 440, 745  
 endothelial dysfunction and, 441–2
- diacylglycerol (DAG), 14, 238, 239, 731  
 cyclic AMP effect, 297  
 production of, 249, 253  
 receptor, 272
- dialysis, 599  
 uremia and, 693, 839  
 complications, 840–1
- diapedesis *see* cell migration; chemotaxis
- dietary influences on platelet function, 478, 1065–6  
*see also* fish oil supplementation
- diethylcarbamazine (DEC), 819
- DiGeorge syndrome, 520, 565
- Dipetalonema viteae*, 816
- dipyridamole, 422, 473, 691, 959, 964, 1064  
 cost, 1048  
 glomerular disease treatment, 844  
 side effects, 1048  
 stroke secondary prevention, 1046–8, 1049
- disintegrins, 831
- disodium cromoglycate, 995
- disseminated intravascular coagulation (DIC), 347, 536, 695,  
 785–6, 992  
 childhood, 563  
 thrombocytopenia and, 786
- Döhle bodies, 518–19, 565
- Down's syndrome, 682
- drug effects on platelet function, 689–92  
 antibiotics, 690  
 anticoagulants, 691–2  
 aspirin, 690  
 cyclic nucleotide levels, 691  
 fibrinolytic agents, 691–2  
 GPIIb–IIIa receptor antagonists, 691  
 non-steroidal anti-inflammatory drugs (NSAIDs), 690  
 thienopyridines, 690–1  
*see also* drug-induced thrombocytopenia; *specific drugs*
- drug-induced thrombocytopenia, 473–4, 530, 552–3, 584–600  
 clinical presentation, 585, 587–8  
 diagnosis, 585, 587  
 drugs responsible, 585, 586–7  
 gold, 553, 584  
 GPIIb–IIIa receptor antagonists, 587–91  
 heparin *see* heparin-induced thrombocytopenia (HIT)  
 quinidine, 553  
 pathogenesis, 584–5, 590–1  
 treatment, 585, 588–9
- dynein, 95
- dyserythropoiesis, 517
- dysproteinemias, 696
- E-selectin, 393, 394
- early pregnancy factor (EPF), 888
- ecto-ADPase, 437, 440–1  
 endothelial dysfunction and, 441
- EDTA, 340

- Ehlers–Danlos syndrome, 682
- Ehrlichial infections, 783–4
- icosapentaenoic acid, 222, 1065–6
- embryo-derived platelet-activating factor, 885–6
  - autocrine actions of, 890
  - physiological actions of, 888
- emperipolesis, 25
- endomitosis, 27
- endoplasmic reticulum, 28
- endothelial cell growth factor (ECGF), 107, 826
- endothelial dysfunction, 432, 437–43
  - animal models, 442–3
  - associated conditions, 441–2
    - atherosclerosis, 438, 439–40, 441, 443, 738–9
    - diabetes mellitus, 441–2
    - hypercholesterolemia, 438, 441, 443
    - hyperhomocysteinemia, 442, 443
    - hypertension, 441
  - exposure to subendothelium, 437
  - increased platelet activation, 439–41, 746, 869
    - ecto-ADPase, 440–1
    - nitric oxide, 439–40
    - prostacyclin, 439
  - plasminogen activator inhibitor and, 438
  - thrombin and, 438–9
  - tissue factor and, 439
  - von Willebrand factor and, 437–8
- endothelial protein C receptor (EPCR), 991
- endothelin, 434–5
- endothelin receptors, 435
- endothelium, 4, 432, 738, 991, 1038–9
  - antiendothelial cell antibodies, 617–18
  - endothelial cell apoptosis, 618
  - leukocyte adhesion, 739–41, 744, 992–3
    - allergic diseases and, 859–61
  - molecular determinants of function, 432–7
    - antiplatelet substances, 435–7
    - vasoactive substances, 432–5
  - platelet–endothelial interaction, 744, 869
    - during inflammation, 184, 739–40, 871, 992
    - see also* platelet adhesion
    - see also* endothelial dysfunction
  - endothelium-derived hyperpolarizing factor (EDHF), 434, 738
  - endothelium-derived relaxing factor (EDRF) *see* nitric oxide
- Enterococcus faecalis*, 785
- eosinophilic fasciitis, 532
- eotaxins, 395, 398
- epidermal growth factor (EGF), 107, 826
- epinephrine (adrenaline), 9, 210, 324
  - antiplatelet therapy monitoring, 472
  - calcium entry regulation, 267
  - platelet aggregation and, 345
    - impaired responsiveness, 678–9
- epinephrine receptor, 293
- Epstein syndrome, 518, 565, 682
- Epstein–Barr virus, aplastic anemia and, 531
- eptifibatid, 589, 691, 943–4
  - acute coronary syndrome therapy
    - non-ST elevation, 1019
    - ST elevation, 1022–4
  - percutaneous coronary intervention and, 1031–2
  - see also* GPIIb–IIIa antagonists
- eristostatin, 831
- erythrocytes *see* red blood cells
- erythropoietin (EPO), 287
  - anemia correction, 840
  - human recombinant erythropoietin (rhEPO), 840
- Escherichia coli*, 786, 788
- essential thrombocythemia (ET), 623, 625–33
  - bleeding in, 628
  - children, 633
  - diagnosis, 625–8
    - differential diagnosis, 627
    - platelet abnormalities, 626–7
    - platelet count, 626
  - disease transformation, 629
  - pregnancy and, 632–3
  - surgery and, 633
  - thrombosis and, 628–9
  - treatment, 630–3
    - anagrelide, 632
    - busulphan, 631
    - hydroxyurea (HU), 630–1
    - interferon, 631–2
    - lower-risk patients, 630
- estrogen, 478
  - uremia and, 693
- ethanol, 293
- ethnic specificity, 967
- Ets transcription factors, 761, 764, 771
- exercise, 478
- exocytosis, 357–8
  - cytoskeletal fibres and, 362
  - GTP-binding proteins in, 364–5
    - presence on secretory vesicles, 364
  - RabGTPase family, 364–5
  - plasma membrane fusion, 362
    - SNARE hypothesis, 362–4
  - protein kinase C role, 360–2
- exosomes, 360
- F2-isoprostanes, 745
- factor IX, 384, 993, 1040
- factor IXa, 382, 1040
  - receptor, 386
- factor V, 358, 383–4, 439, 993, 1040
- factor V Quebec syndrome, 109, 522, 662, 676–7
- factor Va (FVa), 230, 382, 383, 991, 1040
  - receptor, 386
- factor VII, 439

- factor VIIa, 439  
 factor VIII, 382–3, 384, 439, 993, 1040  
 factor VIIIa, 1040  
   receptor, 386  
 factor X, 384, 439, 991, 993, 1040  
 factor Xa (FXa), 230–1, 382, 439, 992, 993, 1040  
   receptor, 386  
 factor XIa, 386  
   receptor, 386  
 familial platelet disorder with predisposition to acute  
   myelogenous leukemia (FPD/AML), 522  
 Fanconi anemia (FA), 533  
 fatty streak, 741–2  
 Fc receptors, 164, 167  
   bacterial interaction, 789  
   FcεRI role in parasite elimination, 819–20  
     CD23 regulatory role, 820–1  
   heparin-induced thrombocytopenia and, 592–3  
 febrile reactions to platelet transfusion, 717–18  
 Fechtner syndrome, 519, 565  
 fetal blood sampling (FBS), 575–6, 578–9  
   frequency, 579  
 fibrin, 3–4, 195, 384–5, 1040  
   platelet adhesion, 310  
   platelet procoagulant activity stimulation, 387–9  
   soluble fibrin monomer (sFM), 785  
 fibrinogen, 10, 183, 195, 309–10, 731, 1063  
   afibrinogenemia, 683  
   integrin interaction, 82, 286  
     *see also* GPIIb–IIIa complex  
   malignancy and, 827  
   platelet adhesion and, 309–10  
   role in inflammation, 948  
   role in platelet aggregation, 188, 192, 286, 459–60, 941–2  
 fibrinogen receptors, 188–95  
   integrin  $\alpha 5\beta 1$ , 194  
   integrin  $\alpha v\beta 3$ , 194–5  
   mobility, 48, 53  
   *see also* GPIIb–IIIa complex  
 fibrinolysis, GPIIb–IIIa antagonist effects, 948  
 fibroatheroma, 742  
 fibromyalgia, 901–2  
 fibronectin, 309  
 fibronectin receptor, 311–12  
 fibroproliferative lesion prevention by gene therapy, 982–5  
   antisense oligodeoxynucleotides and decoy sequences, 984  
   antisense oligonucleotides, 984  
   apoptosis promotion, 983  
   cell cycle inhibition, 983  
   inhibition of vascular smooth muscle cell migration, 984  
   nitric oxide synthase promotion, 984–5  
   vascular endothelial growth factor promotion, 984–5  
 fibrosarcoma, 825  
 filamin A, 94  
   GPIb–IX–V interaction, 184  
 filarial infections, 816  
 filopodia formation, 326–7, 329  
 fish oil supplementation, 222, 844, 1065–6  
   glomerular disease therapy, 844  
 fixation, flow cytometry, 489  
 flightless-I, 97  
 flow cytometry, 465–6, 471, 485–93  
   antiplatelet therapy monitoring, 475–6, 491  
     receptor occupancy assay, 475–6  
   clinical applications, 491–3  
     circulating activated platelet detection, 465, 491  
     circulating platelet aggregate detection, 466  
     leukocyte–platelet aggregates, 491  
     platelet-derived microvesicles/microparticles, 465–6, 492–3  
     quality control of stored platelet concentrates, 491–2  
     reticulated platelets, 492  
     storage pool disease diagnosis, 492  
     surface glycoprotein deficiency diagnosis, 492  
 methodological issues, 487–91  
   advantages of flow cytometry, 487–8  
   blood drawing, 488  
   calibration of the flow cytometer, 490  
   choice of monoclonal antibodies, 489–90  
   detection of activation-dependent decrease in GPIb–IX–V  
     complex, 490–1  
   disadvantages of flow cytometry, 488  
   expression of antibody binding, 490  
   fixation, 489  
   minimizing platelet aggregates, 488–9  
   monoclonal antibodies, 486–7, 489–90  
 foam cells, 741–2  
 focal adhesion kinase (FAK), 283, 284–5, 286, 287  
 FOG cofactor, 517, 518, 528, 770  
 folic acid deficiency, 478, 533–4  
 Folts coronary thrombosis model, 442, 499–502  
   advantages and disadvantages, 502  
   general applicability, 502  
   observations, 501–2  
 n-formyl-methionyl-leucyl-phenylalanine (fMLP), 871–2, 873–4  
 Friend's leukemia integration-1 (Fli-1), 761, 764  
 frozen storage, 710  
 G proteins, 14, 99, 114, 204–6, 208, 732  
   activation defects, 679  
   as integrators of signal transduction, 207  
    $\beta\gamma$  subunit role, 212, 244  
    $G_{12}$ , 206, 211, 325  
    $G_{13}$ , 211, 325  
    $G_{15}/G_{16}$ , 211  
    $G_h$ , 211, 248  
    $G_i$  (inhibitory G-protein of adenylyl cyclase), 206, 209–10  
    $G_p$ , 240  
    $G_q$ , 206, 210–11, 286, 325, 930  
    $G_s$  (stimulatory G-protein of adenylyl cyclase), 206, 207–9  
    $G_z$ , 211

- phospholipase C activation, 239–40, 243–4, 286
- phospholipase D regulation, 250–1
- phosphorylation, 274
- platelet shape change and, 325
- receptor interactions
- prostacyclin receptor, 149
  - thromboxane A<sub>2</sub> receptor, 145–6
- GATA transcription factors, 761, 765, 771
- GATA-1, 517–18, 528, 761, 770–1
    - GPIb gene regulation, 762–3
  - GATA-2, 761
  - GATA-3, 761
- gC1qR platelet receptor, 788
- gelsolin, 95, 96–7, 249
- role in platelet shape change, 327
- gene therapy, 978–86
- antithrombotic gene therapy, 978–82
    - cyclooxygenase-1 gene transfer to sites of arterial injury, 981–2
    - gene restoration of tissue factor pathway inhibitor, 982
    - gene restoration of tissue plasminogen activator, 981
    - gene transfer techniques, 980
    - thrombomodulin gene transfer, 980
- fibroproliferative lesion prevention, 982–5
- antisense oligodeoxynucleotides and decoy sequences, 984
  - antisense oligonucleotides, 984
  - apoptosis promotion, 983
  - cell cycle inhibition, 983
  - inhibition of vascular smooth muscle cell migration, 984
  - nitric oxide synthase promotion, 984–5
  - vascular endothelial growth factor promotion, 984–5
- vein grafts and, 985–6
- implications for gene delivery, 985
  - prevention of vein graft deterioration, 985–6
- see also* pharmacogenetics
- genistein, 422
- Glanzmann thrombasthenia (GT), 643–6
- defect, 83–4, 188, 189, 286–7, 313
  - diagnosis, 341, 492
  - genetics, 195, 643–6
- glomerular diseases, 842–4, 876
- antiplatelet agent effects on, 844
  - role of platelet secretory products, 842–3
  - role of platelet-derived growth factors, 843–4
- glucose-6-phosphatase deficiency, 682
- glutathione, 861
- glutathione peroxidase, 443, 861
- glycocalicin, 465
- glycocalyx, 41, 43
- glycogen storage disease type-1, 682
- glycoproteins (GP), 43, 80–1, 107, 730–1
- cleavage by cathepsin G, 414
  - GPI, 9–10
  - GPIb, 731, 762–4
    - bacterial interactions, 789
    - gene regulation, 762–4
    - GPIb $\alpha$ , 762–3
    - GPIb $\beta$ , 763–4
    - mutations, 642, 763–4
    - polymorphisms, 643, 765–6
    - receptor antagonists, 473
    - signal transduction through, 765
    - uremia and, 838
- GPIb–IX–V receptor complex, 51–4, 81, 84, 179–85
- activation-dependent decrease in surface expression, 490–1
  - cytoplasmic interactions, 184
  - deficiency *see* Bernard–Soulier syndrome
  - function, 85–6, 121–2, 183–4, 304
  - gene structure and expression, 181–2
  - megakaryocytopoiesis and, 184
  - platelet adhesion and, 310–11, 459
  - platelet–endothelial interaction and, 184
  - polymorphisms, 181
  - structure, 84–5, 179–81
  - thrombin binding, 183–4
  - von Willebrand factor interaction, 85–6, 94, 179, 181, 183
- GPIIb–IIIa complex *see* GPIIb–IIIa antagonists; GPIIb–IIIa complex
- GPIV *see* CD36
- GPIX, 764
- gene regulation, 764
- GPV, 764
- gene regulation, 764–5
- GPVI, 87–8, 99–100, 162, 166, 168–9, 246
- bacterial interaction, 789
  - deficiency, 87–8, 647–8, 678
  - function, 87, 246, 248, 769
  - gene regulation, 769
  - platelet adhesion and, 311
  - polymorphic expression, 769–70
  - signalling, 166–8
  - structure, 87
- see also* integrins
- gold-induced thrombocytopenia, 553, 584
- see also* drug-induced thrombocytopenia
- GPIIb–IIIa antagonists, 473–8, 479, 940–50, 965
- acute coronary syndrome therapy
    - non-ST elevation, 1017–19
    - ST elevation, 1022–4
  - coagulation activity, 947–8
  - effects on platelet function, 691, 944–5
    - agonist effect, 945
    - anticoagulant effect, 944
  - fibrinolysis and, 948
  - genetic variability in response, 969–70
  - induced thrombocytopenia, 587–91, 691
    - clinical presentation, 587–8
    - frequency, 587
    - human antichimeric antibodies (HACAs), 591
    - pathogenesis, 590–1

- GPIIb–IIIa antagonists (*cont.*)  
 induced thrombocytopenia (*cont.*)  
   pseudothrombocytopenia, 589–90  
   treatment, 588–9  
 inflammation and, 948  
 integrin crossreactivity, 945–6  
 LIBS epitope expression and, 946  
 monitoring, 475–8, 479, 491, 945  
 orally available GPIIb–IIIa antagonists, 948–9  
 percutaneous coronary intervention and, 1025–32  
 primary prevention, 1013  
 secondary prevention, 1015–17  
   stroke, 1048  
*see also* abciximab; eptifibatide; tirofiban
- GPIIb–IIIa complex (integrin  $\alpha$ IIb $\beta$ 3), 10, 47, 81–4, 188–95, 731,  
 770–3, 940  
 activation, 731  
 activation defects, 680–1  
 allelic variants, 771  
 bacterial interactions, 788–9  
 calcium entry regulation, 266–7  
 conformational changes, 475, 486, 731  
 deficiency *see* Glanzmann thrombasthenia  
 fibrinogen engagement, 192  
 fibrinogen recognition, 194, 731  
 function, 82–3, 179, 183, 188, 191–4, 286–7  
 gene regulation, 770–1  
 metastasis and, 827–8  
 monoclonal antibodies to, 831, 913  
 phospholipase C activation, 240  
 phospholipase D activation, 252  
 platelet adhesion and, 311  
 platelet aggregation and, 459–60, 940–3  
   inside-out signalling, 940–1  
   outside-in signalling, 941–2  
   platelet agonist receptors, 942–3  
 polymorphisms, 771–3, 969–70  
 postreceptor occupancy events, 192–4  
 prothrombin binding, 386  
 receptor activation, 191–2  
 receptor mobility, 47–54  
 structure, 81–2, 189–91  
 thrombosis and, 729–30  
 tumour cells, 826, 827–8  
 uremia and, 838–9  
*see also* glycoproteins; GPIIb–IIIa antagonists
- graft-vs.-host disease (GVHD), 532  
 platelet transfusion and, 718
- granules, 10–11, 27–8, 59, 357  
 contents, 357  
 dysfunctions, 365  
 plasma membrane fusion, 362  
   SNARE hypothesis, 362–4  
 secretion, 357–65, 655–6  
   cytoskeletal fibres and, 362  
   GTP-binding proteins in, 364–5  
   protein kinase C role, 360–1  
   *see also* alpha-granules; dense bodies/granules; lysosomes
- granulocyte–macrophage colony stimulating factor (GM-CSF), 71
- granulophysin, 105
- grey platelet syndrome (GPS), 59–60, 108–9, 214, 519–20, 566,  
 661–2  
   animal models, 661–2  
   clinical features, 661  
   laboratory findings, 661
- GRK kinases, 117
- GTPases *see* small GTPases
- guanidinosuccinic acid (GSA), 839
- guanine nucleotide exchange factors (GEF), 100, 364–5
- guanylyl cyclase, 293
- hairy-cell leukemia, 696
- hantavirus, 808
- hantavirus pulmonary syndrome (HPS), 808
- headache, 900
- heart disease  
   aspirin therapy benefits, 924  
   platelet shape change and, 329  
   platelet–leukocyte interaction and, 421
- heat-shock proteins (Hsps), 327
- Helicobacter pylori*, 786, 791
- Helinx Technology, 811
- hemangioma, Kasabach–Meritt syndrome, 563
- hemodialysis, 599  
   uremia and, 693, 839  
   complications, 840–1
- hemolytic uremic syndrome (HUS), 329, 536, 610  
   apoptosis and, 618–19  
   epidemiology, 610  
   pathophysiologic mechanisms, 616
- hemorrhage  
   alloimmune thrombocytopenia, 573–4  
   intracranial hemorrhage, 574, 576, 578  
   antiplatelet therapy risks, 1042  
   aspirin adverse effects, 924–6  
   essential thrombocythemia and, 628  
   platelet transfusion trigger, 712–13  
   uremia and, 837  
   *see also* hemostasis
- hemorrhagic fever with renal syndrome (HFRS), 808
- hemostasis, 3, 381–2, 459–60, 760, 1061–5  
   platelet–collagen interaction importance, 160  
   tests of  
     bleeding time, 460–1, 477  
     clot signature analyser (CSA), 461–2, 478  
     PFA-100 in vitro platelet function analyser, 461  
     von Willebrand factor role, 179, 304
- hemostatic plug formation, 4
- Hemostatus test, 477
- heparin, 230, 340–1, 474, 958  
   anti-inflammatory effects, 995  
   effects on platelet function, 691



- inflammatory bowel disease therapy, 911–12  
platelet–leukocyte interaction modulation, 421–2
- heparin cofactor II, 349
- heparin-induced thrombocytopenia (HIT), 347, 474, 493, 552–3, 564, 591–600  
diagnosis, 595  
frequency, 594–5  
non-immune, 591  
pathogenesis, 591–4  
antibodies involved, 592  
endothelial cells in HIT, 593  
platelet receptors and, 592–3  
polysaccharides involved, 592  
proteins involved, 591–2  
thrombin in, 593–4  
platelet transfusion and, 715  
re-exposure to heparin, 600  
therapy, 595–600  
adjunctive treatments, 599–600  
argatroban, 598, 599  
danaparoid, 598–9  
hirudin, 596, 599  
*see also* drug-induced thrombocytopenia
- hepatic growth factor (hGF), 985
- hepatitis  
aplastic anemia and, 531  
hepatitis C virus (HCV), 808  
transmission by platelet transfusion, 718, 809
- hepatocyte growth factor (HGF), 826
- hereditary gelsolin-related amyloidosis, 329
- Hermansky–Pudlak syndrome (HPS), 60, 65, 106, 659–60  
animal models, 660  
clinical features, 659  
laboratory findings, 659–60  
molecular aspects, 660  
prevalence, 659
- hexokinase deficiency, 682
- high density lipoprotein (HDL), 746–7
- hirudin, 340, 958  
heparin-induced thrombocytopenia therapy, 596, 599  
children, 599  
pregnancy, 599  
inflammatory disorders and, 995  
pharmacology, 596
- hirulog, 995
- histamine, 405, 856, 995  
headache and, 900
- histamine releasing factors (HRF), 405
- Hodgkin's disease, 551
- homocystinuria, 442
- hormone replacement therapy (HRT), 769
- human antichimeric antibodies (HACAs), 591
- human immunodeficiency virus (HIV), 807–8  
idiopathic thrombocytopenic purpura and, 550  
platelet interactions, 807–8  
thrombocytopenia and, 531, 550  
transmission by platelet transfusion, 718, 809
- human leukocyte antigens (HLA), 570  
alloimmunization, 571–3, 715–16
- human platelet antigen (HPA), 570–1  
alloimmunization, 571–3  
antigen testing, 575  
screening programs, 575–6
- human T-cell lymphotropic viruses (HTLV-I), 809
- 12-hydroxyeicosatetraenoic acid (12-HETE), 856–8, 873–4
- 12-hydroxyperoxyeicosatetraenoic acid (12-HPETE), 223, 861, 873–4
- 5-hydroxytryptamine (5-HT) *see* serotonin
- hydroxyurea (HU)  
essential thrombocythemia treatment, 630  
leukemogenic potential, 630–1
- hypercholesterolemia, 313, 440, 746  
atherosclerosis and, 441  
endothelial dysfunction and, 438, 441, 443
- hyperhomocysteinemia, 478, 745  
endothelial dysfunction and, 442, 443
- hypersplenism, 536, 543–4
- hypertension, 329, 440, 745, 898  
aspirin and, 924, 925  
endothelial dysfunction and, 441
- hypertrophic osteoarthropathy, 869
- ICAM-1, 394
- ICAM-2, 394
- idiopathic aplastic anemia, 530
- idiopathic thrombocytopenic purpura (ITP), 32–3, 529, 544, 545–51, 694  
HIV-associated ITP, 550  
investigations, 545–6  
platelet antibody testing, 546–7  
pregnancy and, 549–50, 558  
treatment, 547–9  
childhood, 559–61  
chronic refractory ITP, 548, 561  
emergency treatment, 548, 561  
experimental treatments, 549  
platelet transfusion, 713  
splenectomy, 548  
*see also* autoimmune thrombocytopenia
- IgA myeloma, 696
- IgG multiple myeloma, 696
- Iloprost, 422
- imidazoline receptors, 899
- imipramine, 898, 902
- immune thrombocytopenic purpura *see* idiopathic thrombocytopenic purpura (ITP)
- immunoglobulin E (IgE), 396, 398  
interactive receptors for, 819–21  
platelet stimulation against parasites, 816–17  
role in allergic diseases, 854–5
- immunoreceptor tyrosine-based activation motif (ITAM), 87, 99–100, 246, 283

- impedance platelet aggregometry, 339, 340  
impulsivity, 900  
indobufen, 955  
infective endocarditis, 784–5  
inflammation, 228–9, 393–4, 402  
  coagulation relationships, 991–2  
  drugs affecting platelet inflammatory function, 995–6  
    antihistaminergic drugs, 995  
    antiserotonergic drugs, 995  
    heparin, 995  
    hirudin/hirulog, 995  
    NSAIDs, 995–6  
  erythrocyte interactions, 873  
  GPIIb–IIIa antagonist effects, 948  
  leukocyte–endothelial interaction, 739  
    asthma and, 859–61  
  platelet involvement, 870–6, 992–4  
    antiphlogogenic functions, 876  
    endothelial interactions, 184, 739–40, 871, 992  
    inhibitory effects of inflammatory cells, 876  
    mechanisms of inflammatory cell interactions, 874–5  
    monocyte interactions, 872–3  
    neutrophil interactions, 871–2, 874, 875, 876  
    transcellular metabolism, 873–4  
  thrombocytosis and, 624, 869  
  vascular disease and, 419, 422–3  
    atherosclerosis, 738–41, 1039  
  *see also* cell migration; chemotaxis  
inflammatory bowel disease (IBD), 907–13  
  clinical features, 907  
  etiopathogenesis, 908  
  platelets in, 908–11  
    explanations for abnormalities, 910  
    pathogenic implications, 910–11  
    platelet aggregation, 908–9  
    platelet number, 908  
    platelet size and survival, 908  
    serum proteins and platelet surface markers, 909–10  
  thromboembolic disease and, 907  
  treatment, 907–8  
    5-aminosalicylates, 911  
    antitumour necrosis factor- $\alpha$  (TNF $\alpha$ ) antibodies, 912  
    aspirin and NSAIDs, 911  
    GPIIb–IIIa antibodies, 913  
    heparin, 911–12  
    therapeutic implications of platelet dysfunction, 911–13  
    thromboxane synthesis inhibitors, 911  
    ticlopidine and clopidogrel, 912–13  
inflammatory disorders, 869–70  
  *see also* allergic diseases; asthma; inflammation;  
  inflammatory bowel disease  
inositol 1,3,4,5-tetrakisphosphate (IP<sub>4</sub>), 239  
inositol 1,4,5-trisphosphate (IP<sub>3</sub>), 14, 99, 238–9, 731  
  calcium and, 239, 260, 262  
  store-mediated calcium entry, 265–6, 433  
IP<sub>3</sub> receptor, 297  
  cyclic AMP-dependent regulation, 297–8  
  phospholipase C activation and, 239, 244, 260  
insulin-like growth factor (IGF), 107  
Integrilin, 341  
integrin-associated protein (CD47), 164, 210  
integrins, 47, 81–4, 194–5, 394, 730  
   $\beta$ 1 (VLA) integrins, 394  
  integrin  $\alpha$ 2 gene, 766–7  
    alleles, 766–7  
    polymorphisms, 766, 767–8  
  integrin  $\alpha$ 2 $\beta$ 1, 160–2, 168–9, 248  
    affinity regulation, 163–4  
    deficiency, 646–7  
    function, 82–3  
    hormonal regulation of expression, 769  
    platelet adhesion and, 311  
    polymorphism, 312  
    signalling, 168  
  integrin  $\alpha$ 5 $\beta$ 1, 194, 946  
  integrin  $\alpha$ IIb $\beta$ 3 *see* GPIIb–IIIa complex  
  integrin  $\alpha$ v $\beta$ 3, 194–5, 945–6  
  signalling, 168, 284  
  *see also* glycoproteins  
interferon (IFN)  
  essential thrombocythemia treatment, 631–2  
  side effects, 631–2  
interleukin 1 (IL-1), 396, 398, 405, 991, 992–3  
  malignancy and, 827  
  myelodysplastic syndrome and, 535  
interleukin 3 (IL-3), 34  
interleukin 6 (IL-6)  
  hemolytic uremic syndrome and, 618  
  platelet stimulation against parasites, 818  
  thrombopoietic activity, 34  
  thrombotic thrombocytopenic purpura and, 618  
interleukin 11 (IL-11), 34  
interleukin  $\beta$ , 107  
intermittent claudication, 959, 1053–6  
  *see also* peripheral vascular disease  
intimal hyperplasia, 419–20  
intracranial hemorrhage (ICH)  
  alloimmune thrombocytopenia, 574, 576, 578  
  aspirin and, 926  
intravenous immunoglobulin (IVIG), 577, 579  
iron deficiency, 478  
  thrombocytosis and, 625  
isoprostanes, 745  
Jacobsen (Paris–Trousseau) syndrome, 522, 566, 662  
JAK family tyrosine kinases, 285  
jaracetin, 165  
jararhagin, 165  
Kasabach–Meritt syndrome, 563  
ketanserin, 956

- ketotifen, 995  
 kidney disease *see* renal disease
- L-selectin, 393, 394  
*Lactobacillus*, 788  
 lamellipodia, 395  
   formation, 326–7, 329, 395  
 lamifiban, 1019, 1022–4  
 laminin, 310  
 large heat shock proteins (Hsps), 327  
 LAT (linker for activation of T cells), 247  
 lepirudin, heparin-induced thrombocytopenia therapy, 596, 599  
   children, 599  
 leucine-rich motif (LRM) family, 84–6  
   deficiency, 86  
   function, 85–6  
   structure, 84–5  
 leukemia, 696  
   chronic lymphocytic leukemia (CLL), 551  
   hairy-cell leukemia, 696  
   *see also* acute leukemia; chronic lymphocytic leukemia  
 leukocytes  
   atherosclerosis and, 739–41  
   endothelial interaction, 739–41, 744, 992–3  
     allergic diseases and, 859–61  
   migration, 393–6  
   platelet interactions, 412–23, 740–1  
     adhesive mechanisms, 416–19  
     down-regulation of platelet function, 414  
     leukocyte activation by platelets, 414–15  
     leukocyte down-regulation by platelets, 415  
     leukocyte–platelet aggregate detection, 491  
     pharmacology of, 421–3  
     platelet–monocyte interactions relevant to coagulation,  
       415–16  
     role in thrombosis, 419  
     role in vascular damage, 419–21  
     transcellular arachidonic acid metabolism in, 416  
     up-regulation of platelet function, 412–14  
   *see also* monocytes; polymorphonuclear leukocytes (PMNs)
- leukotrienes, 858, 873–4  
 ligand-attenuated binding site (LABS), 475  
 ligand-induced binding sites (LIBS), 82, 193, 475, 486  
   GPIIb–IIIa antagonists and, 946  
 ligand-regulated binding sites (LRBS), 475  
 lipid lowering therapy, 746  
 lipopolysaccharides (LPS), 783, 788  
 lipoteichoic acid (LTA), 784, 787  
 lipoxygenase, 222, 856–8  
 liquid storage, 709–10  
 lithium, 1062  
 liver disease, 536–7, 695  
*Loa loa*, 816  
 low density lipoprotein (LDL), 738, 746, 1039  
   group IIA phospholipase A<sub>2</sub> role in hydrolysis, 231  
   oxidized (ox-LDL), 440, 738, 739, 741–2, 746, 1039
- lung carcinoma, 825, 828  
   therapy, 830  
 lungs, platelet production site, 73–4  
 lymphocyte-associated function antigen-1 (LFA-1), 394  
 lysophosphatidic acid (LPA), 212, 214, 239, 328  
 lysosomal associated membrane proteins (LAMPs), 109  
 lysosomes, 28, 61, 109–10, 655  
   contents, 109, 347, 405, 655  
   defects, 110  
   functions, 110, 655–6  
   means of study, 110  
   membrane, 109  
   origin, 109  
   properties, 109  
   secretion, 357–8, 655  
   structure, 109
- macrophage antigen-1 (Mac-1), 394, 945–6  
   platelet–leukocyte adhesive mechanisms, 416–17, 419  
   vascular damage/disease and, 420, 421, 422  
 macrophage derived chemokine (MDC), 396, 398, 855  
 macrophage inflammatory proteins (MIPs), 395–6, 398, 404–5  
 macrothrombocytopenia, 313, 517–18  
   autosomal dominant, 518–21  
   autosomal recessive, 522  
   X-linked recessive, 517–18  
 malaria, 815–16  
 malignancy  
   bone marrow infiltration, 533  
   platelet changes associated with, 824–6  
   platelet–tumour cell interactions, 826–31  
     angiogenesis, 829  
     immune response to tumour, 829–30  
     pharmacological implications, 830–1  
     platelet-mediated tumour cell adhesion and metastasis,  
       827–9  
     platelet-released products, 826–7  
     tumour-cell induced platelet aggregation, 826  
   thrombocytosis and, 624  
   *see also* metastasis
- mania, 895, 900–1  
 MARCKS (myristoylated alanine-rich C kinase substrate),  
   360–1  
 Marfan's syndrome, 682  
 matrix metalloproteinases (MMPs), 396–7, 398, 984  
 May–Hegglin syndrome, 312, 518, 519, 564, 682  
   platelet shape change and, 329  
 mean platelet volume (MPV)  
   essential thrombocythemia and, 626  
   heterogeneity, 70, 71–2  
   inflammatory bowel disease and, 908, 910  
   myocardial infarction and, 74–5, 76  
   unstable angina and, 75, 76  
 Mediterranean thrombocytopenia, 566, 784  
 megakaryocyte (MK), 5–6, 25, 528  
   chemotaxis, 29

- megakaryocyte (MK) (*cont.*)  
colony formation, 33  
diploid precursor, 26  
endomitosis, 27  
fragmentation in bone marrow, 72  
gene regulation, 760–1  
hormonal regulation, 769  
knockout mice models, 29–30  
localization, 25–6  
maturation and differentiation, 26–8, 33  
  alpha granule origin, 108  
  demarcation membrane system, 27  
  dense granule formation, 105  
  endoplasmic reticulum, 28  
  lysosome origin, 109  
  protein kinase C and, 277–8  
  regulation, 31–5  
  secretion granules, 27–8  
  *see also* megakaryopoiesis; thrombopoietin (TPO)  
myocardial infarction and, 74  
nucleus, 27  
platelet production, 28  
  bone marrow, 72  
  demarcation membrane system, 72  
  mechanism and site of platelet release, 28–9  
  peripheral circulation, 73  
ploidy, 71–2  
  pathophysiology and, 74, 75, 76  
prostacyclin receptor expression, 149  
virus interactions, 807–9
- megakaryocytopenia  
acquired amegakaryocytic thrombocytopenic purpura (AATP), 532  
congenital amegakaryocytic thrombocytopenia (CAMT), 523, 537, 566  
TAR-syndrome (thrombocytopenia with absent radius), 523–4, 537, 566
- megakaryopoiesis, 31–5  
clinical trials, 35  
GPIb–IX–V role, 184  
growth promoting cytokines, 34  
microenvironment and, 34  
negative regulators, 34  
preclinical studies, 34–5  
thrombopoietin biological activities, 33–4  
thrombopoietin production and regulation, 32–3  
*see also* megakaryocyte (MK); thrombopoietin (TPO)
- megaloblastic anemia, 533–4  
meizothrombin, 382  
melanoma, 828  
membrane complexes (MC), 42, 64–5  
membrane ruffles, pleckstrin and, 276  
membrane scrambling, 385  
meningitis, 786  
menstrual cycle, 478  
  cyclic thrombocytopenia and, 537
- metal ion-dependent adhesion site (MIDAS), 81–2, 161, 190, 191, 308, 643–6  
metastasis, 824–6, 827–9  
  immune response to, 829–30  
  inhibition of, 830–1  
  integrin  $\alpha$ IIb $\beta$ 3 role, 827–8  
  selectin role, 828–9  
  *see also* malignancy
- 8-methoxypsoralen (8-MOP), 810–11  
microfilaments *see* actin filaments  
microparticles, 11, 385, 492–3  
microthrombocytopenia, X-linked recessive, 515–17  
microtubules, 56–8, 94–5  
  platelet activation and, 96  
  platelet secretion and, 362  
microvesicles, 47, 229  
  detection of, 465–6  
  formation of, 360  
migraine, 900  
mitochondria, 104  
mitogen activated protein kinases (MAPKs), 226–7, 417  
MNK1, 227, 228  
moesin, 328  
monoclonal antibodies  
  flow cytometry, 486–7, 489–90  
  to  $\alpha$ IIb $\beta$ 3 (GPIIb–IIIa), 831, 913  
  to TNF $\alpha$ , 912  
monoclonal antibody immobilization of platelet glycoprotein assay (MAIPA), 557, 575  
monocyte chemotactic proteins (MCPs), 395, 872–3  
  atherosclerosis and, 738, 739  
monocytes, 869  
  platelet interactions during inflammation, 872–3  
  role in coagulation, 415–16  
  vascular disease and, 421  
  *see also* leukocytes
- Montreal platelet syndrome, 348, 521  
MPLV (myeloproliferative leukemia virus), 31  
multimerin, 522, 662  
myelodysplastic syndrome (MDS), 532, 533, 534–6, 696  
  cytogenetic abnormalities, 535  
  cytokine role, 535  
  differential diagnosis, 627  
  environmental carcinogens, 535  
  genetic defects, 535  
  genetic predisposition, 534–5  
  immune mechanisms, 535–6  
  treatment, 536  
myelofibrosis, 566, 628, 629, 633  
myeloproliferative disorders, 695–6  
  thrombocytosis and, 633, 695  
  *see also specific disorders*
- myocardial infarction, 421, 734–5, 743  
  as outcome in clinical trials, 1007  
  aspirin therapy benefits, 920, 922, 923, 965, 1013  
  integrin  $\alpha$ 2 allele relationship, 768

- platelet characteristics and, 745  
 platelet heterogeneity, 74–5, 76  
 polymorphonuclear leukocytes and, 419, 420, 421  
 primary prevention, 923, 1013  
 secondary prevention, 1014  
*see also* acute coronary syndromes
- myosin, 14  
 myosin light chain kinase (MLCK), 274, 296, 297, 325, 396  
 myosin light chain phosphatase (MLCP), 325  
 myosin light chain phosphorylation, 274  
 platelet shape change and, 324–5, 328
- N-ethylmaleimide-sensitive fusion protein (NSF), 362–4  
 Na<sup>+</sup>/Ca<sup>2+</sup> exchange, 267  
 Na<sup>+</sup>/H<sup>+</sup> exchanger, 210  
 Naftidrofuryl (NAF), 330  
 naproxen, 955  
 natural killer (NK) cells, 829–30  
 nedocromil sodium, 995  
*Neisseria meningitidis*, 786  
 neonatal alloimmune thrombocytopenia (NAIT), 551, 561–2, 569  
 platelet transfusion, 562, 577, 579, 713–14  
*see also* alloimmune thrombocytopenia
- nephrotic syndrome (NS), 841–2  
 neurons, 894  
 serotonin reuptake, 896–7  
 neurotransmitter release, 362  
 neutrophil-activating peptide (NAP-2), 415  
 neutrophil-platelet interactions, 869–70, 871–2, 874, 875, 876  
 nitric oxide (NO), 13–14, 293, 432, 738  
 atherosclerosis and, 439–40, 441, 443, 744  
 endothelial dysfunction and, 439–40, 443, 746  
 impaired NO bioavailability, 440  
 lipid peroxidation, 440  
 inhibition of platelet activation, 348, 435–7, 732  
 cGMP-independent mechanisms, 437  
 inhibition of platelet aggregation, 13–14, 443–4  
 platelet-derived, 443–4  
 uremia and, 839  
 vasodilatory activity, 433–4
- nitric oxide synthase (NOS), 433–4, 436  
 gene disruption, 443  
 gene transfer, 984–5  
 migraine and, 900
- non-steroidal anti-inflammatory drugs (NSAIDs), 690, 840, 955, 995–6  
 inflammatory bowel disease and, 911  
 NSAID-sensitive asthma, 861  
*see also* aspirin
- NSP-513, 959  
 nuclear factor kappa B (NFκB) transcription factors, 739  
 nucleus, 27
- obsessive compulsive disorder, 901  
 omeprazole, 926
- OPC-33540, 959  
 open canalicular system (OCS), 41–2, 43–4, 47, 50–4, 61–3, 64–5  
 oral contraceptives, 478  
 orbofiban, 949  
 organelles, 59–61, 104–10  
 mitochondria, 104  
*see also* alpha-granules; dense bodies/granules; lysosomes
- osteogenesis imperfecta, 682  
 osteonectin, 108  
 oviduct, platelet activating factor actions on, 889  
 oxidative stress, 440, 478, 745
- P2X receptor, 129, 261–2, 664  
 agonists, 131  
 antagonists, 131  
 defects, 133, 358, 678  
 role in platelet function, 135, 342  
*see also* ADP receptors
- P2Y receptors, 127, 128–9, 130, 664, 973  
 agonists, 131  
 antagonists, 131, 930, 932, 964  
 as antithrombotic drug targets, 135  
 congenital defects, 133, 664–5, 678  
 identification of, 129, 973  
 role in platelet function, 133–5, 209–10, 342, 930  
*see also* ADP receptors
- p47 collagen receptor, 163  
 p65 collagen receptor, 163  
 p85 collagen receptor, 163
- P-selectin, 11, 107, 108, 346, 358, 416–17  
 as laboratory marker  
 for activated platelets, 465, 486–7, 491, 755  
 for thrombosis, 755, 756, 757  
 atherosclerosis and, 740–1  
 blockade, 422  
 chemotaxis and, 393–4, 397, 405  
 inflammation and, 874–5, 992  
 inflammatory bowel disease and, 910  
 malignancy and, 828–9  
 platelet-leukocyte interaction and, 416, 740–1  
 adhesive mechanisms, 416–17  
 asthmatics, 859  
 vascular damage and, 419–20  
 vascular disease and, 421
- P-selectin glycoprotein ligand 1 (PSGL1), 108, 358, 394, 397, 828, 875  
 platelet-leukocyte adhesive mechanisms, 417–18, 859
- panic attack, 901  
 parasites, 815–21  
 platelet stimulation against, 816–19  
 C3b, 818  
 C-reactive protein, 817  
 diethylcarbamazine, 819  
 IgE, 816–17  
 interleukin-6, 818  
 lymphocyte mediators, 817–18

- parasites (*cont.*)  
   platelet stimulation against (*cont.*)  
     platelet activating factor (PAF), 818–19  
     platelet derived growth factor, 817  
     substance P, 817  
     thrombin, 818–19  
   thrombocytopenia and, 815–16  
 parathyroid hormone (PTH), 838  
 Paris-Trousseau thrombocytopenia, 522, 566, 662  
 paroxetine, 898  
 paroxysmal nocturnal hemoglobinuria (PNH), 532–3  
 parvovirus B-19, 808–9  
*Pasteurella haemolytica*, 789  
 penicillin, 690  
 pentoxifylline, 1055–6  
 peripheral vascular disease (PVD), 330, 1053–9  
   antiplatelet therapy, 1054–9  
     arterial surgery and, 1056–7  
     future prospect, 1059  
     limb ischemic symptoms and, 1055–6  
     percutaneous endovascular revascularization and, 1057–9  
     prevention of vascular events, 1054  
 pernicious anemia, 534  
 peroxydinitrite, 440  
 petechiae, 546, 573  
 PFA-100 in vitro platelet function analyser, 461  
   antiplatelet therapy monitoring, 474  
 pharmacogenetics, 966–7  
   ADP receptor, 973  
   proteomics, 974–5  
   thienopyridines, 972–3  
   Thrombosis Prevention Trial reinterpretation, 970–2  
   variability in drug response, 967–73  
     abciximab, 969–70  
     aspirin, 970  
     thromboxane synthase inhibitors, 968–9  
     warfarin, 967–8  
   *see also* gene therapy  
 phosphatidic acid (PA), 239, 249, 253  
   cyclic AMP effect, 297  
   phospholipase C activation, 246  
 phosphatidylcholine, 221–2, 229, 249  
 phosphatidylethanolamine, 221–2, 229, 382  
 phosphatidylinositol, 222  
   allergic diseases and, 852  
   defects in metabolism, 680  
 phosphatidylinositol 3,4,5-trisphosphate (PIP<sub>3</sub>), 238  
 phosphatidylinositol 3-kinase (PI-3K), 238, 247, 285, 329  
 phosphatidylinositol 4,5-bisphosphate (PIP<sub>2</sub>), 14, 99, 238, 329  
   phospholipase D regulation, 250  
 phosphatidylinositol 4-phosphate (PIP), 14  
 phosphatidylserine, 229, 231, 360, 382  
 phosphodiesterases (PDE), 294–5, 958–9  
   cyclic AMP regulation, 295–6, 958–9  
   inhibitors, 958–9  
   PDE2 family, 295  
   PDE3, 295  
   PDE5, 295  
 phosphoinositide-3-kinase (PI3-kinase), 436  
 phosphoinositides, 14, 114, 265  
   defects in metabolism, 680  
   platelet shape change and, 98, 99, 100, 328–9  
 phospholipase A<sub>2</sub> (PLA<sub>2</sub>), 114, 221–32, 369, 372, 993  
   cytosolic cPLA<sub>2</sub>, 224, 372  
     arachidonic acid release and, 372  
     regulation by calcium, 225  
     regulation by phosphorylation, 226–8  
   group IIA sPLA<sub>2</sub>, 224, 228–32  
     anticoagulant effect, 230–1  
     antimicrobial effect, 231–2  
     arachidonic acid release and, 228–9, 372  
     degradation of apoptotic and injured cells, 231  
     role in atherogenesis, 231  
   intracellular calcium-independent iPLA<sub>2</sub>, 224  
   pancreatic sPLA<sub>2</sub>, 224  
   thromboxane A<sub>2</sub> synthesis and, 372  
 phospholipase C (PLC), 98, 99, 114, 238–49  
   activation in platelets, 239–40, 243–8, 273, 286  
     defects, 679–80  
   agonist-induced activation in platelets, 239–40  
   bacterial, 787–8  
   cytoskeleton and, 248–9  
   isozymes, 240–1  
   structure, 241–3  
     C2 domain, 243  
     C-terminal region, 243  
     catalytic domain, 241  
     EF-hand motifs, 243  
     pleckstrin homology (PH) domain, 241–3  
     X/Y linker region, 243  
 phospholipase D (PLD), 249–53  
   isozyme structures, 251–2  
   regulation, 250–1, 252–3  
     by low molecular weight G-proteins, 250–1  
     by PIP<sub>2</sub>, 250  
     by protein kinase C, 251  
   in platelets, 252–3  
 phosphorylation, 281  
   cyclic AMP and, 296–7  
   cyclic GMP and, 298  
   G proteins, 274  
   myosin light chain, 274  
     platelet shape change and, 324–5, 328  
   phospholipase A<sub>2</sub> regulation, 226–8  
   phospholipase C regulation, 246–8  
   pleckstrin, 276–7  
   protein kinase C, 274  
   thromboxane receptor, 274  
   tyrosine phosphorylation events in human platelets, 286–7  
     inside–out signalling, 286

- outside-in signalling, 286–7
    - platelet shape change and, 325–6
  - see also specific kinases*
  - piceatannol, 422
  - picotamide, 956–8
  - plasma membrane Ca<sup>2+</sup>-ATPase (PMCA), 267–8
  - plasmin, 346, 691–2
    - platelet aggregation and, 347
  - plasmin–plasmin inhibitor complex (PPIC), 785
  - plasminogen activator (PA), 438
    - tissue plasminogen activator (t-PA), 503, 691, 739, 991
      - gene restoration of, 981
  - plasminogen activator inhibitor 1 (PAI 1), 107, 359, 739, 948
    - endothelial dysfunction and, 438
    - inhibition of vascular smooth muscle cell migration, 984
  - Plasmodium berghei*, 815–16
  - Plasmodium falciparum*, 816
  - Plasmodium vivax*, 815
  - platelet activating factor (PAF), 8, 9, 400, 405, 993
    - actions on oviduct, 889
    - allergic diseases and, 852–4, 855, 858
    - embryo-derived, 885–6
      - autocrine actions of, 890
      - physiological actions of, 888
    - glomerular diseases and, 842
    - inflammatory bowel disease and, 910
    - platelet aggregation and, 345–6
    - platelet stimulation against parasites, 818–19
    - reproductive tract, 886–8
    - role in early pregnancy, 888–90
  - platelet activating factor–acetyl hydrolase (PAF–AH), 746
  - platelet activation, 45–54, 95, 113–14, 127–8, 166, 459–60
    - ADP and, 929–30
    - allergic diseases and, 852–4
    - atherothrombosis and, 1039–40
    - cytoskeleton and, 95–8
    - dense granule role, 359–60
    - detection of circulating activated platelets, 465
    - G protein role, 210–11
    - inflammation and, 871
    - inflammatory bowel disease and, 910–11
    - inhibition of, 732
      - cyclic AMP, 296, 732
      - cyclic GMP, 298
      - nitric oxide, 435–7, 732
    - polymorphonuclear leukocytes (PMNs), 414
    - prostaglandins, 290, 348, 375, 435
  - leukocyte-induced, 412–14
  - markers of, 324, 486–7, 745
    - measurements, 464–5, 745
  - platelet hyper-reactivity, 491
  - platelet hyporeactivity, 492
  - role in early pregnancy, 888–9
  - thrombin and, 47–8, 51–3, 99, 113–14, 994
  - thrombosis and, 728–30, 744–5
  - tyrosine phosphorylation events, 286–7
    - see also* platelet aggregation; platelet receptors; platelet shape change
  - platelet activity suppressive lymphokine (PASL), 818
  - platelet adhesion, 183, 304–14
    - adhesive proteins, 307–10
      - collagens, 308–9
      - fibrinogen/fibrin, 309–10
      - fibronectin, 309
      - laminin, 310
      - von Willebrand factor, 307–8, 310, 459, 744, 838
  - antiplatelet therapy monitoring, 477
  - defects, 674–6
    - see also* Bernard–Soulier syndrome; von Willebrand's disease
  - general mechanism, 304–6
  - genetics of, 312
  - inflammatory cells *see* inflammation
  - platelet–leukocyte adhesive mechanisms, 416–19, 859
    - see also* leukocytes
  - receptors and, 310–12
    - fibronectin receptor, 311–12
    - GPIb–V–IX complex, 310–11
    - GPIIb–IIIa, 311
    - GPVI, 311
    - integrin  $\alpha 2\beta 1$ , 311
  - shear stress influence, 306–7
  - thrombocytopenia and, 312
  - thrombosis and, 729
  - uremia and, 838–9
    - see also* collagen; thrombosis; thrombus formation
- platelet aggregation, 6–10, 127, 183, 338–49, 459–60, 1063
  - aggregating agents, 338
    - ADP, 133–5, 342–3, 444, 462, 929–30, 1064–5
    - arachidonic acid, 344
    - arginine vasopressin, 346
    - bacterial *see* bacterial infections
    - calcium, 896
    - cathepsin G, 346–7, 413–14
    - collagen, 343–4, 462
    - epinephrine, 345, 678–9
    - platelet activating factor (PAF), 345–6
    - serotonin (5-HT), 346, 444, 895, 1062
    - thrombin, 344–5, 439, 472
    - thrombospondin, 346
    - thromboxane A<sub>2</sub>, 344, 413, 444
  - allergic diseases and, 852
  - dense granule role, 105–6
  - detection of circulating platelet aggregates, 466
  - disorders, 349, 676, 678–9
  - fibrinogen role, 188, 192, 941–2
  - GPIIb–IIIa complex role, 459–60, 940–3
    - antagonist effects, 944–5
    - inside-out signalling, 940–1
    - outside-in signalling, 941–2
    - platelet agonist receptors, 942–3

- platelet aggregation (*cont.*)
- in vitro, 339–42, 349
    - anticoagulant effects, 340–2
    - impedance platelet aggregometry, 340
    - turbidometric platelet aggregation, 339–0
  - inflammatory bowel disease and, 908–9, 910–11
  - inhibition of, 10, 339, 929, 1065
    - adenosine, 348
    - aspirin, 8, 12, 65
    - cyclic GMP, 13–14
    - endogenous inhibitors, 348–9
    - nitric oxide, 13–14, 443–4
    - prostaglandins, 12–13, 348
    - ticlopidine/clopidogrel, 929
  - leukocyte interaction and, 413
  - plasmin effects, 347
  - platelet hypersensitivity, 348, 841–2
  - protein kinase C role, 274, 275
  - relevance to neurotransmission, 894–6
  - shear-induced, 348
  - smoking effects, 478
  - spontaneous aggregation, 348
  - storage pool disease and, 658–9
  - tests of *see* platelet function tests
  - thrombosis and, 729–30
  - tumour cell-induced, 826
  - tyrosine phosphorylation events, 286–7
    - inside–out signalling, 286
    - outside–in signalling, 286–7
  - uremia and, 838, 841–2
    - see also* agglutination; platelet activation; thrombus formation
- platelet aggregation-associated protein (PAAP), 784, 785, 787
- platelet basic protein (PBP), 827
- platelet concentrates (PC), 707–9
- apheresis PC (AP-PC), 707
  - buffy coat method PC (BC-PC), 708
  - platelet-rich-plasma PC (PRP-PC), 707
  - storage, 709–10
    - contaminating microorganisms, 718, 809–10
    - experimental approaches, 710
    - frozen storage, 710
    - liquid storage, 709–10
    - pathogen inactivation, 809–12
- platelet count, 474, 542
- atherothrombosis and, 744–5
  - essential thrombocythemia diagnosis, 626
  - fetal, 579
  - inflammatory bowel disease and, 908, 910
    - see also* thrombocytopenia
- platelet density
- heterogeneity, 70
  - myocardial infarction and, 74
  - platelet ageing and, 71
- platelet derived collagenase inhibitor (PDCI), 107
- platelet derived growth factor (PDGF), 108, 403, 415, 566, 738, 826, 993
- inflammatory bowel disease and, 911
  - platelet stimulation against parasites, 817
  - role in asthma, 858
  - role in glomerular diseases, 843
- platelet derived histamine releasing factor (PDHRF), 856
- platelet derived hyper-responsiveness factor (PDHF), 858
- platelet endothelial cell adhesion molecule-1 (PECAM-1), 165, 405, 739
  - bacterial interaction, 789
- platelet factor 3 (PF3), 55
  - see also* platelet procoagulant activity (PCA)
- platelet factor 4 (PF4), 107, 108, 398, 404, 993
  - as laboratory marker for thrombosis, 755
  - asthma and, 856
  - heparin-induced thrombocytopenia and, 591–2
  - inflammatory bowel disease and, 909–10
  - leukocyte stimulation, 415
  - malignancy and, 827
  - measurement, 464, 755
  - megakaryopoiesis inhibition, 34
- platelet function tests, 460–7, 471
  - antiplatelet therapy monitoring, 471–9
  - global platelet functions, 460–2
    - bleeding time, 460–1, 477
    - clot signature analyser, 461–2, 478
    - PFA-100 in vitro platelet function analyser, 461, 474
  - platelet activation marker measurement, 464–5, 486–7
    - $\beta$ -thromboglobulin and platelet factor-4, 464
    - glycocalicin, 465
    - soluble P-selectin, 465, 486–7
    - urinary thromboxane metabolites, 464–5, 476
- platelet aggregation tests, 462–4, 471, 473–4
  - agonist-induced aggregation, 462–3
  - anticoagulant, 474
  - platelet functions under flow, 464
  - rapid platelet function assay (RPFA), 463, 474–5, 945
  - reliability, 474
  - ristocetin-induced agglutination, 463
  - selectivity, 474
  - shear-induced aggregation, 463–4
  - spontaneous aggregation, 462
  - thrombocytopenia, 473–4
    - whole blood aggregation, 463, 475
- platelet gene polymorphism test, 466–7
- platelet procoagulant activity, 466
  - see also* flow cytometry
- platelet microbicidal proteins (PMPs), 789–90, 791
- platelet procoagulant activity (PCA), 11, 381, 383–7
  - fibrin role, 387–9
  - measurement, 466
  - thrombin role, 387–9
    - see also* coagulation; platelet factor 3 (PF3)
- platelet production, 72–4
  - bone marrow disorders and *see* bone marrow
  - cellular aspects, 25–30
  - heterogeneity and, 71–2, 74



- regulation, 31–5, 71, 75, 528–9
  - disorders, 536–7
- site of, 72–4
  - bone marrow, 72
  - demarcation membrane system (DMS), 72
  - lungs, 73–4
  - peripheral circulation, 73
- see also* megakaryocyte (MK)
- platelet receptors, 8, 28, 47–54
  - adenosine receptors, 292
  - adenylyl cyclase activation and, 290–2
  - adenylyl cyclase inhibition and, 292–3
  - adhesion receptors, 80–8
  - ADP receptors, 128–35, 292
  - bacterial interactions, 788–9
  - collagen receptors, 160–9
  - epinephrine receptor, 293
  - fibrinogen, 188–95
  - mobility, 47–54
  - multiple agonist receptors, 942–3
  - prostacyclin receptor (IP), 148–50, 290
  - prostaglandin D<sub>2</sub> receptor (DP), 152, 292
  - prostaglandin E<sub>2</sub> receptors (EPs), 150–2, 290–2
  - protease-activated receptors, 113–21
  - thrombin receptors, 113, 121–2, 293, 413–14
  - thromboxane A<sub>2</sub> receptor (TP), 140–8, 293
  - von Willebrand factor, 179–85
  - see also specific receptors*
- platelet sec I protein (PSP), 361, 364
- platelet secretion, 357–65, 655–6
  - defects, 656–66, 676
    - alpha granule defects, 661–3
    - classification, 656–7
    - definition, 656, 665
    - dense granule defects, 657–61, 662–3
    - primary secretion defects, 665–6, 677–81
    - therapy, 666
  - see also* alpha-granules; dense bodies/granules; lysosomes
- platelet shape change, 319–31, 1064
  - definitions, 320
  - disease and, 329–30
  - morphological shape changes, 321–4
    - new surface structures, 323–4
    - volume increases, 323
  - optical shape change, 320–1
    - study using calcium chelating agents, 321
  - relevance to neurotransmission, 895
  - signalling and, 14, 98–100, 324–9
    - actin-modulating proteins and, 326–8
    - calcium role, 98, 99–100, 324
    - G protein role, 325
    - kinetics, 328
    - myosin light chain phosphorylation, 324–5
    - phosphoinositides and, 98, 99, 100, 328–9
    - platelet inhibitor effects, 328
    - protein kinase C role, 326
      - small GTPase role, 100, 325
      - tyrosine phosphorylation and, 325–6
    - speed of, 321–2
- platelet storage *see* platelet concentrates
- platelet suspension immunofluorescent test (PSIFT), 575
- platelet transfusion, 6, 683
  - cardiopulmonary bypass and, 713
  - clinical response to, 711–13
    - platelet dose and, 711–12
    - platelet transfusion trigger, 712–13
    - thrombocytopenia secondary to bone marrow failure, 711
  - complications, 715–18
    - alloimmunization to class I HLA antigens, 715–17
    - contaminating microorganisms, 718, 809–10
    - contaminating red cells, 718
    - cytomegalovirus transmission, 718
    - febrile and allergic reactions, 717–18
    - graft-vs.-host disease, 718
    - refractory patients, 716–17
  - contraindications, 715
  - hereditary thrombocytopenia, 714
  - idiopathic thrombocytopenic purpura, 713
  - massive red cell transfusion and, 713
  - neonatal alloimmune thrombocytopenia, 562, 577, 579, 713–14
  - platelet preparation, 707–9
    - see also* platelet concentrates
  - post-transfusion purpura (PTP), 551–2, 561, 714
  - qualitative platelet disorders, 714–15
  - refractoriness to, 552
  - safety, 718, 807, 809–10
    - virus inactivation, 810–12
  - splenomegaly and, 713
- platelets, 1061–2
  - activation *see* platelet activation
  - adhesion *see* platelet adhesion
  - aggregation *see* platelet aggregation
  - chemotaxis
    - animal studies, 400–2
    - chemotactic effects on other cells, 403–5, 415
    - human studies, 402
    - in vitro, 398–400
    - in vivo, 400–2
    - migratory ability, 397–8
  - collagen interactions *see* collagen
  - dendritic platelets, 45–6, 47
  - destruction of, 75
  - endothelium and, 4, 184, 739–40, 744
    - see also* platelet adhesion
  - heterogeneity, 70–6
    - pathophysiology, 74–5
    - platelet ageing as cause, 71
    - thrombopoiesis as origin, 71–2
  - history of research, 7
  - human platelet antigens (HPA), 570–1
    - alloimmunization, 571–3
  - imaging, 757

- platelets (*cont.*)
- internal membrane system, 61–5
    - dense tubular system (DTS), 41–2, 63–5
    - membrane complexes (MC), 42, 64–5
    - surface-connected open canalicular system (OCS), 41–4, 47, 50–4, 61–5
  - isolation of, 6
  - leukocyte interactions *see* leukocytes
  - lifespan of, 6
  - organelle zone, 43, 59–61
    - see also* organelles
  - peripheral zone, 41–2, 43–56
    - exterior coat, 41, 43–54
    - submembrane region, 55–6
    - unit membrane system, 41–2, 54–5
  - production of *see* platelet production
  - release reaction, 10–11
  - reticulated, 492
  - sol–gel zone, 42–3, 56–9
    - microfilaments, 58–9
    - microtubules, 56–8
  - source of, 5–6
  - spreading, 45–6, 95–6, 277
  - transfusion of *see* platelet transfusion
  - tumour cell interactions *see* malignancy
  - vessel injury and, 4–5
  - viral interactions *see* viral infection
- pleckstrin, 276
- actin organization and, 276–7
  - integrin-dependent cell spreading and, 277
  - membrane ruffle formation, 276
  - phosphorylation defects, 679–80
  - protein kinase C and, 276–8
- polycythemia vera (PV), 629
- differential diagnosis, 627
  - thrombocytosis in, 633
- polymorphism, 966
- CYP2CP gene, 967–8, 972
  - glycoproteins, 312, 466–7, 479
    - GPIb, 643, 765–6
    - GPIb–IX–V complex, 181
    - GPIIb–IIIa complex, 771–3, 969–70
  - integrins, 312, 766, 767–8
  - single nucleotide polymorphism (SNP), 466–7, 966, 967
  - test of, 466–7
  - variable numbers tandem repeat (VNTR) polymorphism, 467
  - warfarin safety and, 967–8
- polymorphonuclear leukocytes (PMNs), 394, 404, 993
- arachidonic acid metabolism, 416
  - inhibition of platelet activation, 414
  - myocardial infarction and, 419, 420, 421
  - platelet aggregation induction, 413
  - platelet–PMN adhesive mechanisms, 417–19, 859
  - stimulation of by platelets, 415
  - vascular damage and, 420
  - see also* leukocytes
- polyphosphoinositides *see* phosphoinositides
- Porphyromonas gingivalis*, 785, 791
- post-transfusion purpura (PTP), 551–2, 561, 714
- PPACK, 340
- PRAK1, 227
- pregnancy, 885–91
- aplastic anemia and, 532
  - essential thrombocythemia and, 632–3
  - heparin-induced thrombocytopenia therapy, 599
  - idiopathic thrombocytopenic purpura and, 549–50, 558
  - platelet activation role, 888–9
- preimplantation phase, 885
- thrombocytopenia and, 885–6
  - see also* embryo-derived platelet-activating factor
- primary secretion defects, 665–6, 677–81
- calcium mobilization defects, 679–80
  - GPIIb–IIIa activation defects, 680–1
  - GTP-binding protein activation defects, 679
  - phospholipase C activation defects, 679–80
  - pleckstrin phosphorylation defects, 679–80
  - receptor defects, 677
    - ADP receptors, 664–5, 678
    - collagen receptor, 677–8
    - epinephrine response impairment, 678–9
    - thromboxane A<sub>2</sub> receptor, 663–4, 677
- procoagulant activity *see* platelet procoagulant activity (PCA)
- profilin, 93, 238, 249
- prostacyclin (PGI<sub>2</sub>), 13, 148, 149, 223, 435, 738, 981
- allergic diseases and, 856
  - aspirin pharmacodynamics, 920
  - endothelial dysfunction and, 439
  - inhibition of platelet activation, 348, 375, 435, 476, 738
  - intravenous infusion, 691
  - synthesis, 140, 435, 873, 981
  - uremia and, 839
  - vasodilatory activity, 432–3, 439
- prostacyclin receptor (IP), 148–50
- adenylyl cyclase stimulation, 290
  - regulation, 149
  - signal transduction, 149
  - structure, 148–9
- prostaglandin D<sub>2</sub> receptor (DP), 152, 292
- regulation, 152
  - signal transduction, 152
  - structure, 152
- prostaglandin E<sub>2</sub> receptors (EPs), 150–2
- EP2, 290–2
  - EP3, 292
  - EP4, 292
  - regulation, 151–2
  - signal transduction, 151
  - structure, 150–1
- prostaglandin H synthases, 369, 956
- prostaglandins (PG), 12–13, 140
- inhibition of platelet activation, 290
  - peripheral vascular disease therapy, 1056

- PGD<sub>2</sub>, 140, 152, 223
- PGE<sub>1</sub>, 12–13, 140  
allergic diseases and, 856  
intravenous infusion, 691
- PGE<sub>2</sub>, 13, 140, 151–2, 223, 405  
platelet aggregation and, 347
- PGF<sub>2</sub>, 13, 223
- PGG<sub>2</sub>, 13, 140, 222–3
- PGH<sub>2</sub>, 13, 140, 222–3, 432, 956  
vasoconstriction, 433, 435  
receptors *see specific receptors*  
synthesis, 65, 140, 370, 373–4
- prostanoids, 140, 369  
biosynthesis, 369–71  
*see also* prostacyclin; prostaglandins; thromboxane A<sub>2</sub>
- protease nexin-2/amyloid beta-protein precursor (PN-2/APP), 107, 108
- protease-activated receptors (PAR), 8, 99, 113–21, 414, 958, 992, 994  
adenyl cyclase inhibition, 293  
genetics, 116  
inactivation, 117–18, 958  
interactions with proteases other than thrombin, 121  
ligand binding domain, 117  
mice, 118–19  
platelets and, 118–21, 183, 344  
receptor cleavage and recycling, 120–1, 183  
receptor location, 119–20  
replacement of, 117–18, 121  
tissue distribution, 116–17
- protein A, 787
- protein C, 349, 382, 438, 870, 991–2, 1040  
activated protein C (APC), 991, 992, 1040
- protein disulphide isomerase (DPI), 164
- protein kinase A (PKA), 146–7, 293–4, 296  
prostacyclin receptor interaction, 149
- protein kinase AKT, 436
- protein kinase C (PKC), 99, 146–8, 238, 272–8  
actin organization and, 275–7  
activation of, 273–4, 360  
adenyl cyclase and, 293  
functions, 274–5  
platelet aggregation, 274, 275  
platelet secretion, 274, 275, 360–1  
isozymes, 272–3  
megakaryocyte differentiation and, 277–8  
phospholipase D activation, 251  
platelet shape change and, 326
- protein kinase G (PKG), 296  
deficient mice, 298
- protein phosphorylation *see* phosphorylation
- protein S, 438  
deficiency, 439
- protein tyrosine kinases *see* tyrosine kinases
- proteinuria, 842–3
- proteoglycans, 107
- proteomics, 974–5
- prothrombin, 382, 383  
receptor, 386
- prothrombinase, 382, 383, 1040
- pseudopod formation, 45–7, 321
- pseudothrombocytopenia, 542, 589–91
- PU.1 transcription factor, 761
- pulmonary embolism (PE), 753, 757  
aspirin therapy, 753–4  
*see also* thrombosis
- Pulmonary Embolism Prevention (PEP) Trial, 754
- pulmonary vessels, platelet production, 73–4
- purpura  
acquired amegakaryocytic thrombocytopenic (AATP), 532  
childhood  
acute autoimmune thrombocytopenic purpura, 557, 558–61  
chronic autoimmune thrombocytopenic purpura, 558, 560–1  
therapy, 559–61  
idiopathic thrombocytopenic (ITP), 32–3, 529, 544, 545–51, 694  
immune thrombocytopenic (ITP), 32–3  
post-transfusion (PTP), 551–2, 561, 714  
*see also* thrombotic thrombocytopenic purpura
- Pyk2 tyrosine kinase, 285
- Quebec platelet disorder, 109, 522, 662, 676–7
- quinidine-induced thrombocytopenia, 553  
*see also* drug-induced thrombocytopenia
- Rab GDP dissociation inhibitor (GDI), 364
- Rab GTPase subfamily, 206, 207, 215  
exocytosis and, 364–5
- Rac GTP-binding protein, 329
- radiation exposure, 531
- randomization, 1004
- randomized controlled trials *see* clinical trial design
- ranitidine, 926
- RANTES, 395, 398, 404–5, 827, 872  
asthma and, 856
- rapid platelet function assay (RPEFA), 463  
antiplatelet therapy monitoring, 474–5, 945
- Ras GTPase subfamily, 206–7, 212–14
- Raynaud's phenomenon, 869
- reactive thrombocytosis (RT), 623, 624  
differential diagnosis, 627
- receptor induced binding sites (RIBS), 193, 486
- receptor occupancy assay, 475–6
- red blood cells  
platelet interactions during inflammation, 873  
transfusion  
thrombocytopenia and, 713  
uremia, 693
- related adhesion focal tyrosine kinase (RAFTK), 285
- renal disease  
hemorrhagic fever with renal syndrome (HFRS), 808  
renal failure, 837  
thrombopoietin deficiency, 537  
*see also* glomerular diseases; uremia

- renal vein thrombosis (RVT), 841–2  
restenosis, 744, 1056  
    vascular smooth muscle cell role, 982  
reticulated platelets, 492  
reticuloendothelial (RE) blockade, 547  
RGD-containing peptides, 82, 194, 831, 964–5  
Rho GTPase subfamily, 100, 206, 207, 211, 214  
    cell migration and, 395  
    phospholipase D regulation, 251  
    platelet shape change and, 325, 329  
rhodocytin, 165  
Rickettsial infections, 783–4  
ristocetin, 9–10  
    agglutination induction, 348, 463  
    antiplatelet therapy monitoring, 472  
Rocky Mountain spotted fever, 784
- sarcoplasmic/endoplasmic reticulum  $\text{Ca}^{2+}$ -ATPase (SERCA), 267  
schistosomes, 815, 816, 817  
    immune mechanisms against, 819–20, 854, 855  
    *S. mansoni*, 816, 817, 818, 854, 855  
schizophrenia, 895, 900–1  
Scott syndrome, 680  
screening, alloimmune thrombocytopenia, 575–6  
Sebastian platelet syndrome, 519, 565  
secretion *see* platelet secretion  
selectins, 393–4  
    E-selectin, 393, 394  
    L-selectin, 393, 394  
    malignancy and, 828–9  
    *see also* P-selectin  
selective serotonin reuptake inhibitor (SSRI), 895, 901  
sepsis, 992, 993  
    *see also* bacterial infections  
serotonin (5-HT), 9, 105–6, 403, 852, 856, 894, 1062  
    5-HT receptors, 896, 956  
    depression and, 895, 896–7  
    inflammation and, 995  
    platelet aggregation and, 346, 444, 895, 1062  
    release of, 358  
    reuptake changes, 896–7  
        alcoholism, 902  
        anxiety disorders, 901  
        autism, 900  
        headache, 900  
        mania, 901  
        schizophrenia, 901  
    transporter properties, 896–7, 902  
        3H-imipramine and 3H-paroxetine binding sites, 898, 902  
    uremia and, 838  
serotonin antagonists, 956  
shape change *see* platelet shape change  
shear stress, 306  
    atherosclerosis and, 738  
    influence on platelet adhesion, 306–7  
    platelet aggregation induction, 348  
    measurement, 463–4  
signal transduction, 14, 98–100, 207, 471, 674, 731–2  
    collagen receptors, 165–8, 283–4, 298  
    congenital defects, 674, 677–83  
        calcium mobilization defects, 679–80  
        GPIIb–IIIa activation defects, 680–1  
        GTP-binding protein activation defects, 679  
        phospholipase C activation defects, 679–80  
        pleckstrin phosphorylation defects, 679–80  
    receptor defects, 663–5, 677–9  
cyclic AMP effects, 297  
GPIIb–IIIa in platelet aggregation, 940–2  
    inside-out signalling, 940–1  
    outside-in signalling, 941–2  
GPVI, 166–8  
    inhibitors of, 473  
    integrins, 168, 284  
    prostacyclin receptor, 149  
    prostaglandin receptors, 151, 152  
    shape change and *see* platelet shape change  
    thromboxane  $\text{A}_2$  receptor, 145–6, 376  
    through the GPIb complex, 765  
    tyrosine phosphorylation events in human platelets, 286–7  
        inside-out signalling, 286  
        outside-in signalling, 286–7  
single nucleotide polymorphism (SNP), 466–7, 966, 967  
SLP-76 adaptor protein, 247  
small GTPases, 100, 206–7  
    Arf GTPase subfamily, 206, 207  
        phospholipase D regulation, 250–1  
    Rab GTPase subfamily, 206, 207, 215  
        exocytosis and, 364–5  
    Ras GTPase subfamily, 206–7, 212–14  
    Rho GTPase subfamily, 100, 206, 207, 211, 214  
        cell migration and, 395  
        phospholipase D regulation, 251  
        platelet shape change and, 325, 329  
small heat-shock proteins (sHsps), 327  
smoking, 478, 745  
SNAP family, 363–4  
SNARE hypothesis, 362–4  
    SNARE family, 362–3  
    SNAREs in platelets, 363–4  
    v- and t-SNARE regulation, 363  
sodium citrate, 341, 474  
Sono clot analyser, 478  
spectrin, 94  
sphingosine-1-phosphate (S1P), 212, 214, 829  
splenectomy  
    idiopathic thrombocytopenic purpura treatment, 548  
        childhood, 560  
    thrombocytosis and, 624–5  
splenomegaly, 536, 543–4  
platelet transfusion and, 713

- spontaneous platelet aggregation (SPA), 462
- spotted fevers, 784
- spread platelets, 45–6, 95–6, 277
- Src-family tyrosine kinases, 99, 281–3
  - collagen receptor interactions, 165, 166, 167, 169
  - platelet–leukocyte adhesive mechanisms, 417–19
- stable angina, 734, 922
- Staphylococcus aureus*, 788, 790–1
  - bacterial ligands, 787–8
  - disseminated intravascular coagulation and, 786
  - infective endocarditis, 784
- staphylokinase, 791
- statins, 746
- stem cell depletion, 530–3
  - see also* bone marrow
- stenosis
  - coronary arteries, 742–3
  - restenosis, 744, 1056
  - vascular smooth muscle cell role, 982
- storage of platelets *see* platelet concentrates
- storage pool disease (SPD), 11, 60, 106, 313, 657–9, 676–7
  - alpha/delta-storage pool deficiency, 662–3
  - clinical features, 657
  - diagnosis, 492, 659
  - frequency, 683
  - laboratory findings, 657–9
  - see also* grey platelet syndrome
- streptococci, 787, 788–9
  - infective endocarditis, 784–5
  - S. pneumoniae*, 786
  - S. pyogenes*, 785
  - S. sanguis*, 784–90
- streptokinase, 691, 1022
- stress fibres, 395, 397
- stress-activated protein kinase (SAPK) family, 226–7
- stroke
  - antiplatelet therapy, 923, 1041
    - aspirin, 922–3, 1013, 1041, 1042–4, 1046–9
    - dipyridamole, 1046–8, 1049
    - GPIIb–IIIa antagonists, 1048
    - primary prevention, 1013
    - secondary prevention, 1041–9
    - thienopyridines, 1044–6, 1049
  - as outcome in clinical trials, 1007
  - definition, 1038
  - etiology, 1038
  - incidence/prevalence, 1038
  - pathogenesis of ischemic stroke, 1038–40
    - atherosclerosis, 1039
    - coagulation, 1040
    - normal endothelium, 1038–9
    - platelet recruitment into the thrombus, 1040
    - sequelae of plaque rupture, 1039–40
    - triggers of plaque rupture, 1039
    - unstable plaque, 1039
      - prognosis, 1038
  - stromal cell-derived factor-1 (SDF-1), 29, 396, 398, 739, 855
  - submembrane filaments, 55–6
  - substance P (SP), 817
  - sulfasalazine, 911
  - sulfinpyrazone, 955, 964
  - sulotroban, 958
  - superoxide anion, 440, 443
  - surface-connected open canalicular system (OCS), 41–4, 47, 50–4, 61–5
  - Syk tyrosine kinase, 87, 99, 246–7, 283–4, 286, 765
  - synaptobrevin, 363
  - syntaxin family, 361, 363–4
  - systemic lupus erythematosus, 532, 550–1, 694
- TAR-syndrome (thrombocytopenia with absent radius), 523–4, 537, 566, 680
- Tec family tyrosine kinases, 285
- testosterone, 148
- theophylline, 854
- thienopyridines, 131–3, 343, 690–1, 929
  - pharmacogenetic approach, 972–3
  - pharmacology, 930–4
    - antithrombotic activity, 932–3
    - clinical pharmacology, 933–4
    - metabolism and pharmacokinetics, 930–2, 972
    - mode of action on platelets, 932, 972
  - side effects, 972–3
  - stroke secondary prevention, 1044–6
  - see also* clopidogrel; ticlopidine
- thioredoxin, 889
- thrombasthenia, 680, 683, 715
  - see also* Glanzmann thrombasthenia
- thrombin, 8, 113, 1040
  - antiplatelet therapy monitoring, 472
  - antithrombins, 349, 438, 958
  - binding to GPIIb, 183–4
  - endothelial dysfunction and, 438–9
  - generation of, 381, 382–3
    - platelet role in, 383–7
  - heparin-induced thrombocytopenia and, 593–4
  - importance in thrombosis, 439, 733, 734
    - thrombin-induced clot formation model, 504–5
  - inflammation and, 870, 992
  - megakaryopoiesis inhibition, 34
  - phospholipase C activation, 244
  - platelet activation, 47–8, 51–3, 99, 113–14, 994
    - tyrosine phosphorylation events, 285, 286, 287
  - platelet aggregation and, 344–5, 439, 472
  - platelet procoagulant activity stimulation, 387–9
  - protein kinase C activation, 274
  - tyrosine kinase activation, 282–3, 284, 286
- thrombin receptor activating peptides (TRAP), 99, 344–5
  - adenyllyl cyclase inhibition, 293
  - antiplatelet therapy monitoring, 472, 479, 945

- thrombin receptors, 113, 121–2, 413–14, 958, 994  
 adenyl cyclase inhibition, 293  
 antagonists, 958  
*see also* protease-activated receptors (PAR)
- thrombin–antithrombin complex (TAT), 785
- thrombocythemia, 623  
*see also* essential thrombocythemia (ET)
- thrombocytopenia, 696  
 acquired amegakaryocytic thrombocytopenic purpura (AATP), 532  
 alcohol abuse and, 531  
 autosomal dominant thrombocytopenia, 518–21  
 macrothrombocytopenia, 518–21  
 thrombocytopenia with normal platelet size, 521–2  
 autosomal recessive thrombocytopenia, 522–4  
 macrothrombocytopenia, 522  
 thrombocytopenia with normal platelet size, 523–4  
 bone marrow disorders and *see* bone marrow  
 cardiopulmonary bypass and, 694, 713  
 childhood *see* childhood thrombocytopenia  
 congenital amegakaryocytic thrombocytopenia (CAMT), 523, 537, 566  
 cyclic thrombocytopenia, 537  
 disseminated intravascular coagulation and, 786  
 drug-induced *see* drug-induced thrombocytopenia; heparin-induced thrombocytopenia  
 immune-mediated thrombocytopenia, 542–53  
 alloimmune thrombocytopenia, 551–2, 561–2, 569–80, 694  
 autoimmune thrombocytopenia, 537, 545–51  
 general approach, 542–4  
 idiopathic thrombocytopenic purpura (ITP), 32–3, 529, 544, 545–50, 694  
 secondary immune thrombocytopenias, 550–1  
 treatment, 544, 547–9  
*see also* drug-induced thrombocytopenia  
 massive red cell transfusion and, 713  
 Mediterranean thrombocytopenia, 566  
 parasite infection and, 815–16  
 Paris-Trousseau thrombocytopenia, 522, 566  
 platelet adhesion and, 312  
 platelet aggregometry and, 473–4  
 pregnancy-induced, 885–6  
 TAR-syndrome (thrombocytopenia with absent radius), 523–4, 537, 566, 680  
 tumour cell-induced, 825  
 uremia and, 838  
 viral infection and, 531, 550  
 X-linked recessive thrombocytopenia, 515–18  
 macrothrombocytopenia, 517–18  
 microthrombocytopenia, 515–17
- thrombocytosis  
 classification, 623–4  
 definition, 623  
 inflammatory disease and, 624, 869  
 inflammatory bowel disease, 908  
 iron-deficiency anemia and, 625  
 malignancy and, 624  
 myeloproliferative disorders and, 633, 695  
 reactive thrombocytosis (RT), 623, 624  
 differential diagnosis, 627  
 splenectomy and, 624–5  
 thromboelastography, 478  
 thrombomodulin (TM), 991, 992, 1040  
 gene transfer, 980  
 thrombopoiesis *see* platelet production  
 thrombopoietin (TPO), 6, 32, 72, 311, 523, 528  
 biological activities, 33–4  
 clinical trials, 35  
 decreased sensitivity to, 537  
 deficiency, 536–7  
 autoimmune thrombocytopenia, 537  
 liver disease, 536–7  
 renal disease, 537  
 discovery of, 31  
 gene, 32  
 platelet volume and, 75  
 preclinical studies, 34–5  
 production and regulation, 32–3, 528–9, 623–4  
 protein kinase C and, 277–8  
 thrombocytopenia and, 523–4, 537, 556–7, 623–4  
 thrombocytosis/thrombocythemia and, 623–4  
 thrombosis, 3–4, 80, 460, 727–35, 978, 1063, 1064–5  
 acute thrombosis, 728  
 antithrombotic activity  
 aspirin, 922  
 ticlopidine and clopidogrel, 932–3  
 antithrombotic gene therapy, 978–82  
 cyclooxygenase-1 gene transfer to sites of arterial injury, 981–2  
 gene restoration of tissue factor pathway inhibitor, 982  
 gene restoration of tissue plasminogen activator, 981  
 gene transfer techniques, 980  
 thrombomodulin gene transfer, 980  
 arterial thrombosis, 4, 5, 727–35  
 essential thrombocythemia and, 628–9  
 hypercoagulable-dependent thrombosis, 734  
 lesion classification, 727  
 models, 442–3, 499–509  
 arterial eversion graft model, 507–8  
 copper coil model of arterial thrombosis, 503–4  
 electrically induced coronary thrombosis, 505–7  
 Folts coronary thrombosis model, 442, 499–502  
 thrombin-induced clot formation, 504–5  
 myeloproliferative disorders and, 695  
 plaque disruption, 727–8, 742, 1066  
 active, 727–8  
 passive, 727  
 platelet heterogeneity and, 74  
 platelet involvement, 3–4, 728–30, 744–5, 753–7  
 clinical trials, 753–4

- laboratory markers, 755–7
- platelet imaging, 757
- platelet–collagen interaction importance, 160
- platelet–leukocyte interaction and, 419–21
  - animal models, 419–20
  - epidemiological and clinical studies, 420–1
- progression, 727
- risk factors, 745–6
- thrombin importance, 439, 733, 734
- tissue factor and, 732–3
- uremia complications, 840–2
- vasoconstriction and, 734
- vein grafts and, 985
- von Willebrand factor importance, 438, 460
- see also* atherothrombosis; deep vein thrombosis (DVT); pulmonary embolism (PE); thrombus formation
- thrombospondin (TSP), 108, 415
  - malignancy and, 827
  - platelet aggregation induction, 346
  - TSP1, 195, 358
- thrombosthenin, 14
- thrombotic microangiopathies (TMA), 619
  - see also* thrombotic thrombocytopenic purpura (TTP)
- thrombotic thrombocytopenic purpura (TTP), 329, 610
  - antiendothelial cell antibodies and, 617–18
  - antiplatelet antibodies and, 617–18
  - apoptosis and, 618–19
  - childhood, 563
  - epidemiology, 610
  - pathogenesis, 611–13, 616–17
  - platelet aggregating agents in, 611
  - platelet transfusion and, 715
  - von Willebrand factor and, 611
    - vWF-cleaving protease deficiency, 612–13, 616–17
- thromboxane A<sub>2</sub> (TxA<sub>2</sub>), 9, 140, 405, 993, 1065–6
  - allergic diseases and, 856
  - cyclic flow variants and, 501–2
  - G protein interactions, 211
  - measurement of urinary metabolites, 464–5
  - phospholipase C activation, 244, 248
  - platelet activation, 113–14, 221
  - platelet aggregation and, 344, 413, 444
  - synthesis, 140, 222–3, 370–5, 435
    - alteration of in humans, 374–5
    - aspirin pharmacodynamics, 920
    - aspirin-insensitive biosynthesis, 376–7
    - cyclooxygenase role, 372, 374, 375, 376–7, 435
    - endogenous modulation, 375
    - impairment, 373, 663, 681–2, 683, 838
    - phospholipase role, 372
    - uremia and, 838
    - see also* thromboxane A<sub>2</sub> synthase (TXAS)
  - vasoconstriction induction, 435
- thromboxane A<sub>2</sub> receptor (TP), 140–8, 375–6
  - adenylyl cyclase inhibition, 293
  - antagonists, 473, 506–7, 956–8, 964
  - defects, 663–4, 677, 680
  - isoforms, 375–6
  - phosphorylation, 274
  - regulation, 146–8
  - signal transduction, 145–6, 376
  - structure, 140–4
- thromboxane A<sub>2</sub> synthase (TXAS), 222–3, 373–4
  - deficiency, 373, 663, 681–2
  - endogenous modulation, 375
  - inhibitors, 373–4, 506, 956–8, 964
    - genetic variability in response, 968–9
    - inflammatory bowel disease therapy, 911
- thromboxane B<sub>2</sub> (TXB<sub>2</sub>), 371–2, 374, 873
  - antiplatelet therapy monitoring, 476
- thrombus formation, 80, 183, 188, 459
  - integrin  $\alpha$ IIb $\beta$ 3 role, 770–3
  - mural thrombi, 743–4
    - incorporation into atherosclerotic plaques, 744
    - prevalence, 743
    - vs. occluding thrombi, 743–4
  - platelet recruitment, 1040
  - platelet role, 728–30
  - thrombin-induced clot formation model, 504–5
  - see also* coagulation; platelet aggregation; thrombosis
- thymosin- $\beta$ 4, 93
- tianeptine, 852
- ticlopidine, 131, 135, 343, 690–1, 929, 964
  - antiplatelet therapy monitoring, 479
  - cost, 1046
  - glomerular disease treatment, 844
  - inflammatory bowel disease therapy, 912–13
  - non-ST elevation acute coronary syndrome therapy, 1017
  - percutaneous coronary intervention and, 1024–5
  - peripheral vascular disease therapy, 1054, 1055, 1058–9
  - pharmacogenetic approach, 972–3
  - pharmacology, 930–4
    - clinical pharmacology, 933–4
    - metabolism and pharmacokinetics, 930, 972
    - mode of action on platelets, 932, 972
  - secondary prevention, 1014
  - stroke, 1044–6
  - side effects, 933–4, 972–3, 1046
- tirofiban, 589, 691, 943–4
  - non-ST elevation acute coronary syndrome therapy, 1017–19
  - percutaneous coronary intervention and, 1032
  - see also* GPIIb–IIIa antagonists
- tissue factor (TF), 382, 383, 386–7, 415–16, 439, 982, 991
  - activated platelets and, 741
  - endothelial dysfunction and, 439
  - thrombogenesis and, 732–3, 1040
- tissue factor pathway inhibitor (TFPI), 349, 733, 1040
  - gene restoration of, 982
- tissue factor plasminogen inhibitor (TFPI), 107

- tissue plasminogen activator (t-PA), 503, 691, 739, 991  
 gene restoration of, 981  
*Toxoplasma gondii*, 816–17  
 transforming growth factor beta (TGF $\beta$ ), 107, 108, 403, 826, 993  
 megakaryopoiesis inhibition, 34  
 role in asthma, 858  
 role in glomerular diseases, 843–4  
 transfusion *see* platelet transfusion; red blood cells  
 transient ischemic attack (TIA), 922, 1045–9  
*see also* stroke  
 transient receptor potential (Trp) channel proteins, 263, 265–6  
 trials *see* clinical trial design  
 trifusal, 955  
 trigramin, 831  
 trimucylin, 165  
*Trypanosoma cruzi*, 818  
*Trypanosoma equiperdum*, 818  
*Trypanosoma muscili*, 818  
 tubulin, 94  
*see also* microtubules  
 tumour necrosis factor (TNF), 403–4, 991  
 anti-TNF $\alpha$  antibodies, 912  
 hemolytic uremic syndrome and, 618  
 myelodysplastic syndrome and, 535  
 thrombotic thrombocytopenic purpura and, 618  
 tumours *see* malignancy  
 tymus- and activation-regulated chemokine (TARC), 396, 398  
 tyrosine kinases, 83, 99–100, 281–7, 680  
 ADP and, 128  
 calcium and, 225, 265  
 collagen receptor interactions, 165–7, 169, 283–4  
 focal adhesion kinase (FAK), 283, 284–5, 286, 287  
 GTPase interactions, 207, 213  
 inhibitors, 422  
 JAK family kinases, 285  
 phospholipase activation, 241, 246–7, 252–3  
 platelet–leukocyte adhesive mechanisms, 417–19  
 Src-family tyrosine kinases, 99, 165–7, 169, 281–3  
 Syk tyrosine kinase, 87, 99, 246–7, 283–4, 286, 765  
 Tec family kinases, 285  
 tyrosine phosphorylation events in human platelets, 286–7  
 inside–out signalling, 286  
 outside–in signalling, 286–7  
 platelet shape change and, 325–6
- U46619, 472  
 ubiquitin, 818  
 ulcerative colitis, 907  
 clinical features, 907  
 etiopathogenesis, 908  
*see also* inflammatory bowel disease  
 unstable angina, 374, 421, 728, 734, 743  
 aspirin benefits, 922  
 mean platelet volume and, 75, 76  
 platelet shape change and, 329
- Upshaw–Schulman syndrome, 610  
 uremia, 692–4, 837–42  
 anemia role, 839–40  
 drug effects, 840  
 platelet abnormalities, 838  
 platelet–vessel wall interaction and, 838–9  
 thrombocytopenia and, 838  
 thrombotic complications, 840–2  
 treatment, 693–4, 840, 841  
*see also* dialysis; hemolytic uremic syndrome (HUS)  
 urokinase, 691  
 uterus, platelet activating factor and, 886–7, 889–90
- variable numbers tandem repeat (VNTR) polymorphism, 467, 763  
 vascular cell adhesion molecule-1 (VCAM-1), 738  
 vascular damage, 419–20  
 Crohn's disease and, 908  
*see also* atherosclerosis; vessel wall injury  
 vascular endothelial growth factor (VEGF), 107, 403–4, 829, 984  
 gene transfer, 985  
 vascular endothelium *see* endothelium  
 vascular permeability factor (VPF), 107  
 vascular smooth muscle cells (VSMC)  
 accumulation of, 978, 980  
 antiproliferative gene therapy, 982–3  
 apoptosis promotion, 983  
 inhibition of VSMC migration, 984  
 role in restenosis, 982  
 vasoactive substances *see* endothelium  
 vasoconstriction, 734  
 vasodilator-stimulated phosphoprotein (VASP), 296, 298, 932  
 platelet shape change and, 327  
 VCAM-1, 394  
 vein grafts  
 failure of, 985  
 gene therapy and, 985–6  
 implications for gene delivery, 985  
 prevention of vein graft deterioration, 985  
 velocardiofacial syndrome (VCFS), 520, 565  
 venoms, 165  
 vesicle-associated membrane protein (VAMP), 363  
 vessel wall injury, 4–5  
 gene transfer of cyclooxygenase-1 to sites of arterial injury, 981–2  
 response to, 738–9  
*see also* atherosclerosis; inflammation; vascular damage  
 viral infection, 807–12  
 aplastic anemia and, 531  
 childhood thrombocytopenia and, 562  
 virus inactivation in platelet concentrates, 810–12  
 virus–megakaryocyte interactions, 807–9  
 virus–platelet interactions, 807–9  
*see also specific viruses*  
 viridans streptococci, infective endocarditis, 784–5



- vitamin B6, 478
- vitamin B12, 478
  - deficiency, 533–4
- vitamin C, 478
- vitamin E, 330, 478
- volume increases, 323
  - see also* mean platelet volume (MPV)
- von Willebrand factor (vWF), 5, 10, 179, 307–8
  - diagnostic tests, 342
  - endothelial dysfunction and, 437–8
  - phospholipase C activation, 240
  - platelet adhesion and, 307–8, 310, 459, 744, 838
    - uremia effects, 839
  - platelet surface activation, 48, 53, 113, 183
    - GPIb–IX–V complex interaction, 85–6, 94, 179, 181, 183, 941–2
    - integrin interaction, 82, 179
  - receptor (GPIb–IX–V complex) *see* glycoproteins
  - release of, 358
  - role in hemostasis, 179, 304–5
    - collagen interaction, 307–8
  - role in thrombosis, 438, 460
  - synthesis, 437
  - thrombotic thrombocytopenic purpura and, 611
- von Willebrand factor (vWF)-cleaving protease
  - assays, 613–15
  - deficiency in thrombotic thrombocytopenic purpura, 612–13, 616–17
- von Willebrand's disease, 5, 9, 179, 520
  - acquired, 696–7
  - deficiency, 9, 182–3
  - platelet adhesion and, 312–13, 767–8
  - platelet-type, 182–3, 520, 565–6, 642
  - therapy, 683, 714
  - type 2B, 182–3, 520
- Waldenström macroglobulinemia, 696
- warfarin, 1066
  - genetic variability in response, 967–8, 970–2
  - glomerular disease treatment, 844
- Wilson's disease, 329
- Wiskott-Aldrich syndrome (WAS), 98, 214, 312, 515–17, 564, 682
  - WAS protein (WASP), 98, 207, 214, 515–17, 564, 682
- Wistar Furth (WF) rats, 661–2
- X-linked agammaglobulinemia (XLA), 247, 285
- X-linked thrombocytopenia, 515–18, 564, 682
  - macrothrombocytopenia, 517–18
  - microthrombocytopenia, 515–17
- xemilofiban, 949
- zinc deficiency, 347

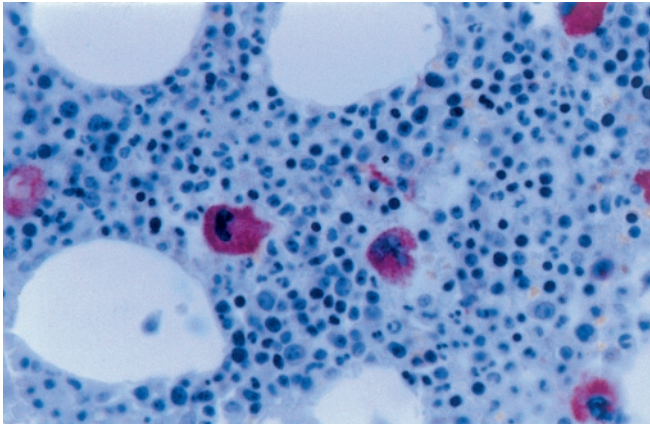


Fig. 2.1. Human bone marrow section stained for fibrinogen by the APAAP technique: MKs specifically stain red. They display a large size and polylobulated nucleus, and are quite seldom in the marrow space compared with the other hematopoietic cell lines. They often stand close to a vascular sinusoid.

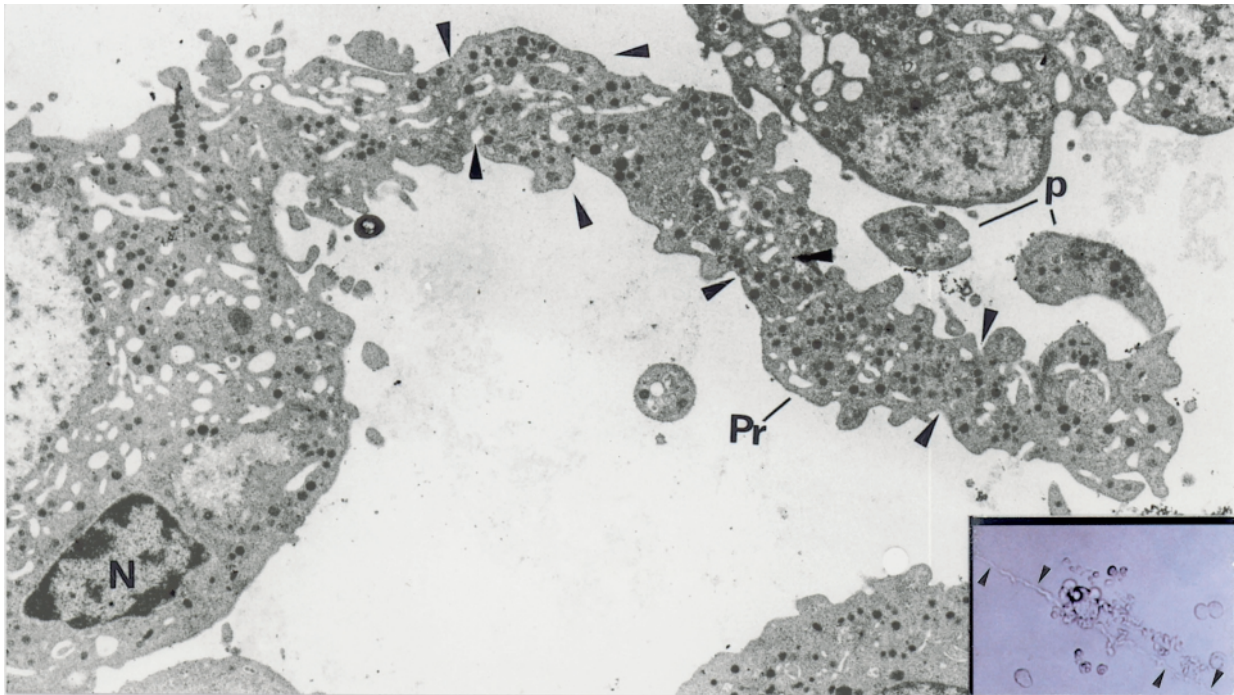


Fig. 2.3. After reaching full maturation, MK cytoplasm extends away from the cell core of the mother cell forming one or several long extensions called proplatelets (pr). Some platelets (p) have detached from its tip. N = nucleus (M $\times$ 3354).

*Inset:* Phase contrast microscopic view of a mature MK extending proplatelets (arrowheads).

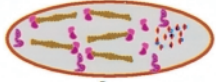
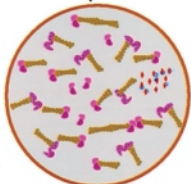
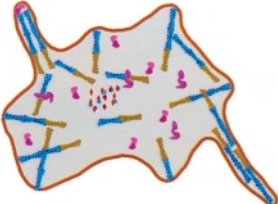
Platelet shape	Actin	Actin filament ends	Main signals
<b>Discoid</b> 	40 % of actin polymerized into filaments	CapZ and adducin cap actin filament barbed ends	[Ca <sup>2+</sup> ] < 100 nM ppl's sequestered
<b>Round</b> 	40 % of actin polymerized into filaments	Gelsolin severs actin filaments CapZ, adducin and gelsolin are on barbed ends	PLC-mediated hydrolysis of ppl's Cytosolic calcium rises to 0.5-3 μM
<b>Spread</b> 	80 % of actin polymerized into filaments	Gelsolin and adducin dissociate from barbed ends Arp2/3 is activated and nucleates actin assembly de novo CapZ associates with barbed ends	ppl's are synthesized: PI <sub>4</sub> P up by 80 μM PI <sub>4,5</sub> P <sub>2</sub> up by 60 μM PI <sub>3,4</sub> P <sub>2</sub> up >20 fold PI <sub>3,4,5</sub> P <sub>3</sub> up transiently

Fig. 6.3. The role of calcium and ppl's in the regulation of the platelet actin cytoskeleton. This diagram links platelet shape change during activation with changes in the actin cytoskeleton, proteins that regulate actin filament ends and the primary signals that effect changes in filament ends. The actin filaments in the discoid resting platelet are shown in a mustard-yellow colour, while the capping proteins are shown in pink and purple. Actin monomers that add to the barbed end of filaments in the spread platelet are illustrated as blue subunits.

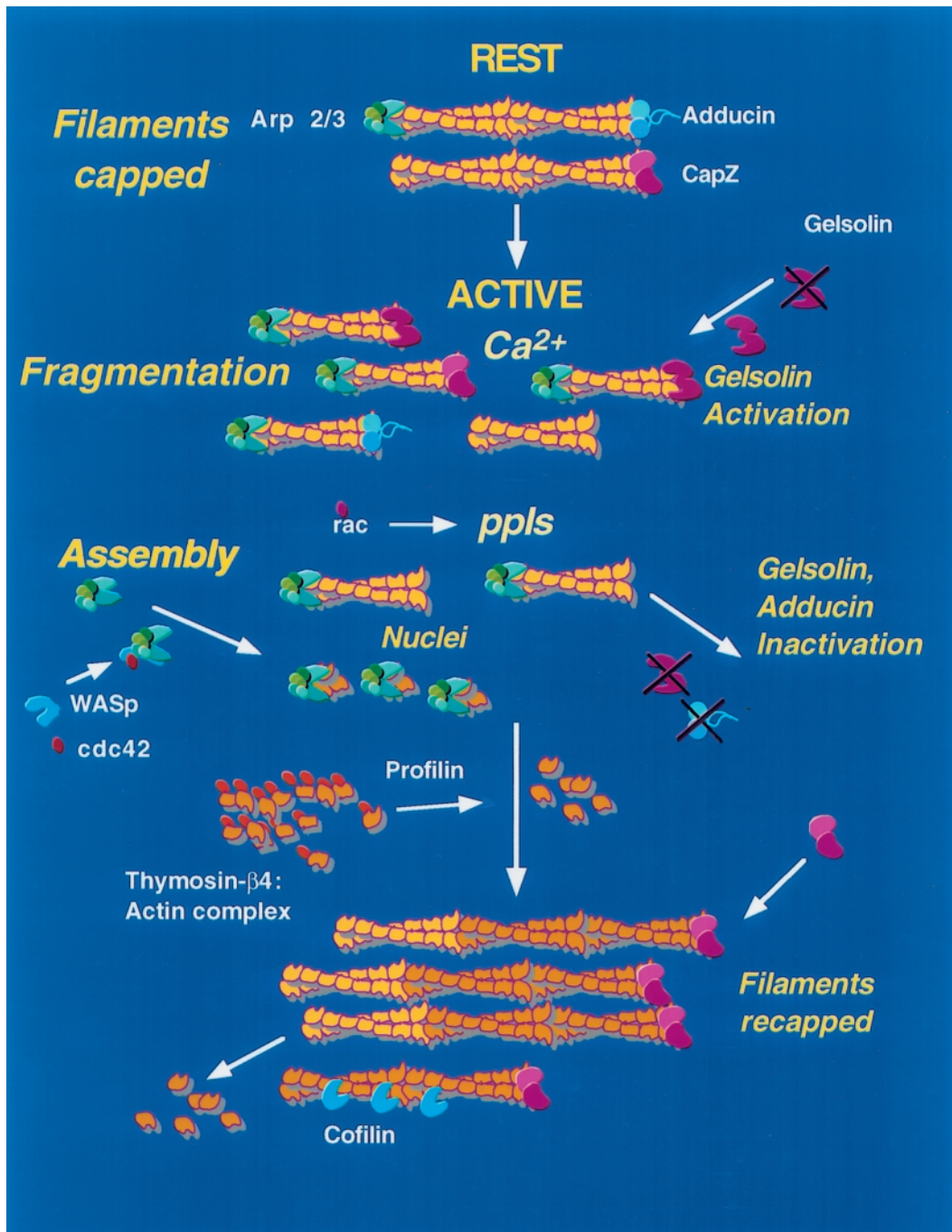


Fig. 6.4. Regulation of platelet actin assembly. The role of known platelet proteins in the actin assembly reaction is shown. In the resting platelet, adducin and CapZ cap actin filament barbed ends. Activation leads to calcium release and the activation of gelsolin. Gelsolin fragments the cortical actin filament network and this event is responsible for the loss of the discoid shape of the resting platelet, which now assumes a more rounded morphology. Polyphosphoinositides are robustly generated at the cytoplasmic surface of the platelet plasma membrane and lead to the initiation of actin assembly onto exposed barbed ends of actin filaments. PPIs serve to both inactivate capping proteins (adducin and gelsolin) and to maximally activate the Arp2/3 complex through the WASp family of proteins. Profilin and thymosin  $\beta$ 4, by binding to actin monomers, maintain the large actin pool that drives filament assembly. Actin filaments are subsequently buffered by CapZ, which recaps the filaments, and terminates the assembly reaction. Subsequent actin filament turnover if it occurs in platelets is stimulated by cofilin.

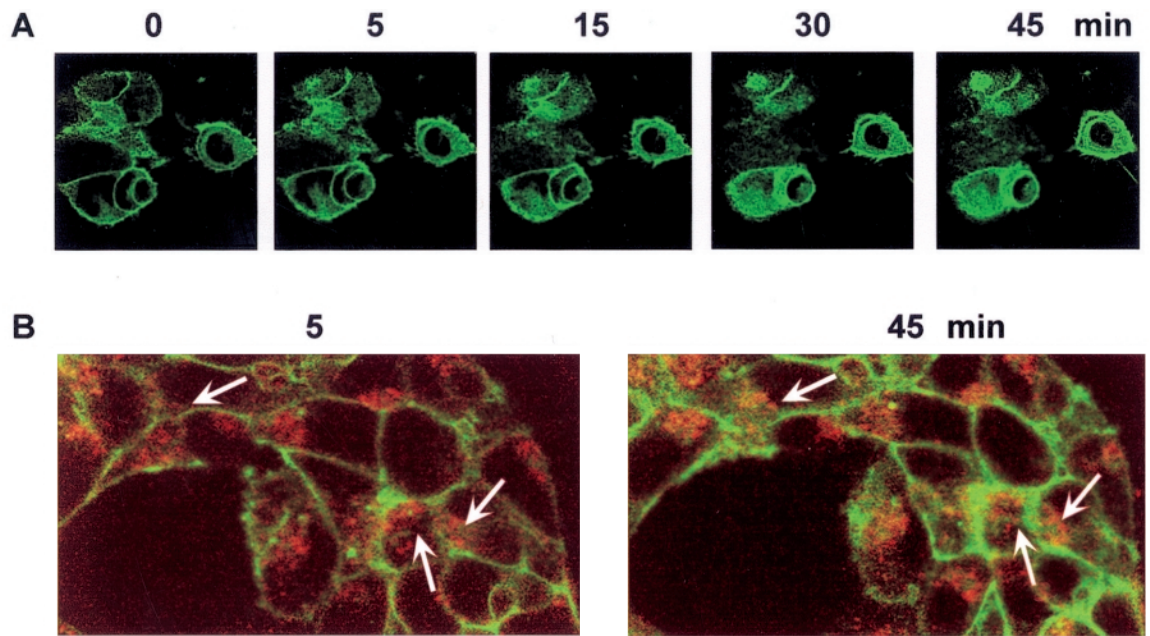
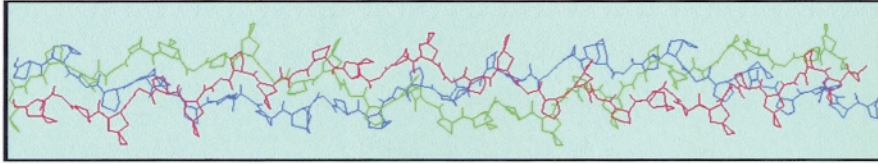
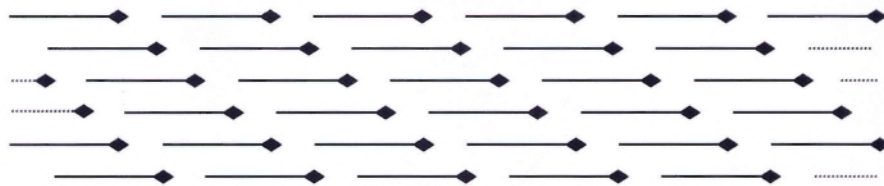


Fig. 10.6. Confocal imaging of hemagglutinine (HA) tagged human IP fused to green fluorescent protein (GFP) (HAhIP-GFP) cells in real time. (a) HAhIP-GFP cells were treated with 1  $\mu$ M iloprost and images acquired at the indicated times. (b) HAhIP-GFP (green) cells were preloaded with rhodamine-conjugated transferrin (red) and images acquired at 5 and 45 min after treatment with 1  $\mu$ M iloprost. Areas of co-localization (yellow) are indicated by the arrows. Data are from one experiment that was repeated with similar results. Reproduced from Smyth et al., 2000<sup>94</sup>.

## Triple-helical collagen monomer



## Monomers assemble to form fibril



## Native collagen fibre

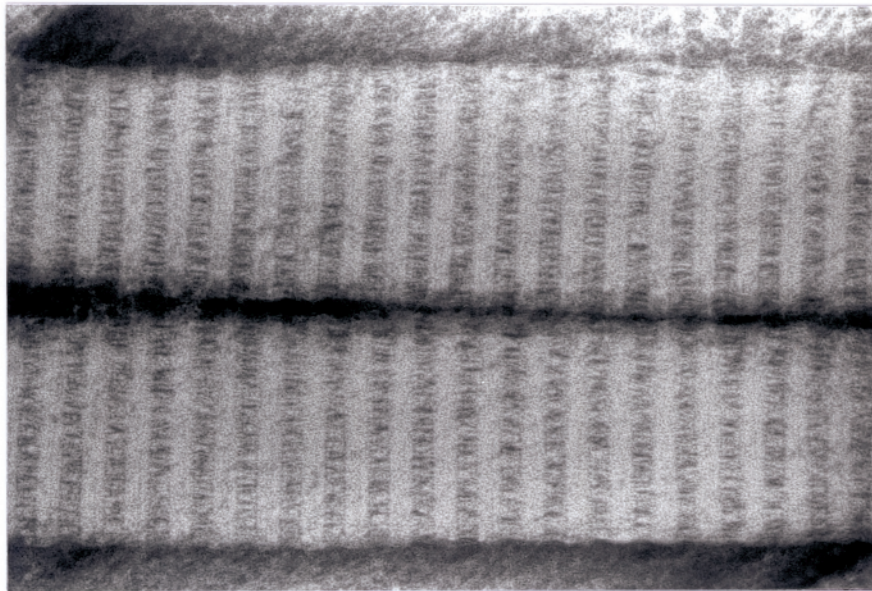


Fig. 11.1. illustrates the hierarchical structure of collagen: the monomer is represented using the crystal coordinates of  $[GPO]_{12}$ , obtained from 2clg.pdb, with its individual alpha chains shown in different colours, and the proline and hydroxyproline residues protruding into the external medium. The tropocollagen monomers assemble head-to-tail in a quarter-staggered array into fibrils, and their organization into fibres is clearly visualized by transmission electron microscopy. ♦ indicates the N-terminus of the tropocollagen molecule. (Transmission electron microscopy, courtesy of M. Hess, University of Helsinki.)

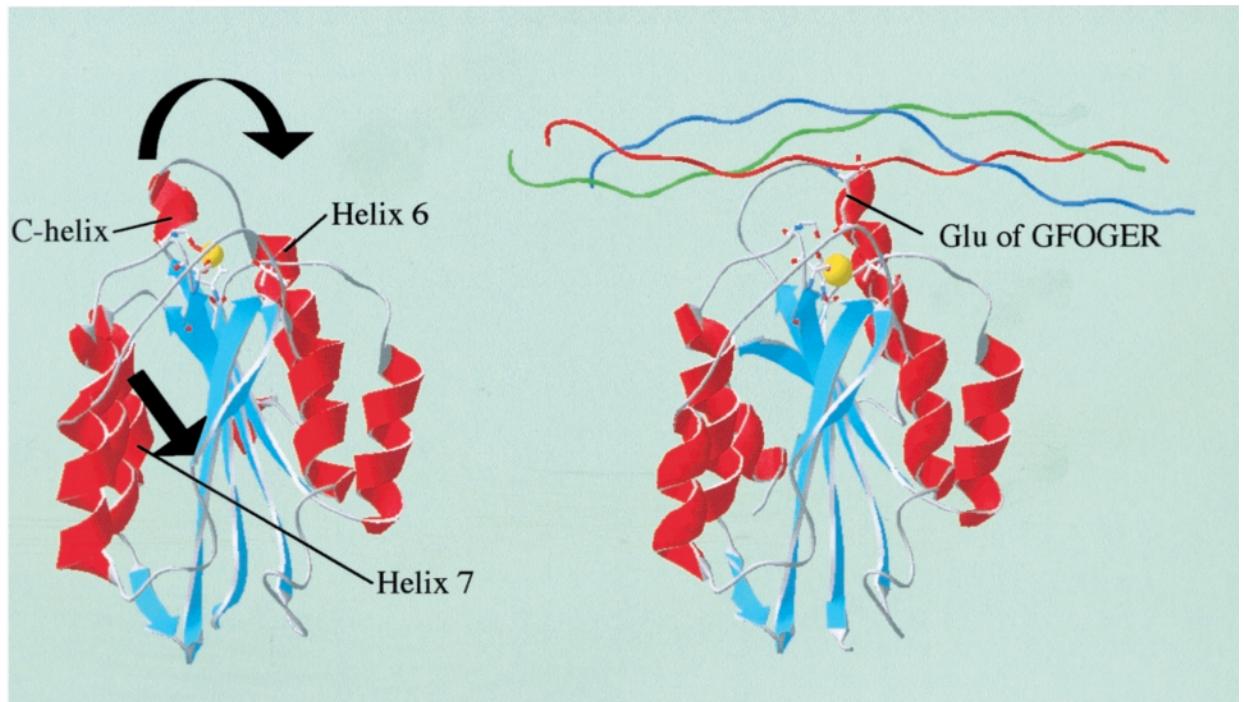


Fig. 11.2. Shows the differing conformation of the free integrin  $\alpha 2$  I-domain compared with that bound by collagen. As indicated by the black arrows, the resting I-domain moves upon ligation by the collagen-like peptide. The C-helix unwinds and is added to the top of helix 6. Helix 7 moves axially towards the base of the I-domain. Note that the I-domain construct contains a truncated helix 7, the native form of which, in the active conformation, would protrude from the I-domain and alter its relationship with the rest of the  $\alpha 2$  subunit. The metal ion in the MIDAS is shown as a yellow sphere. Crystal coordinates, free I domain, 1aox.pdb; peptide-I-domain complex, 1dzi.pdb. (Molecular graphics courtesy of Dr D. Tuckwell, University of Manchester.)

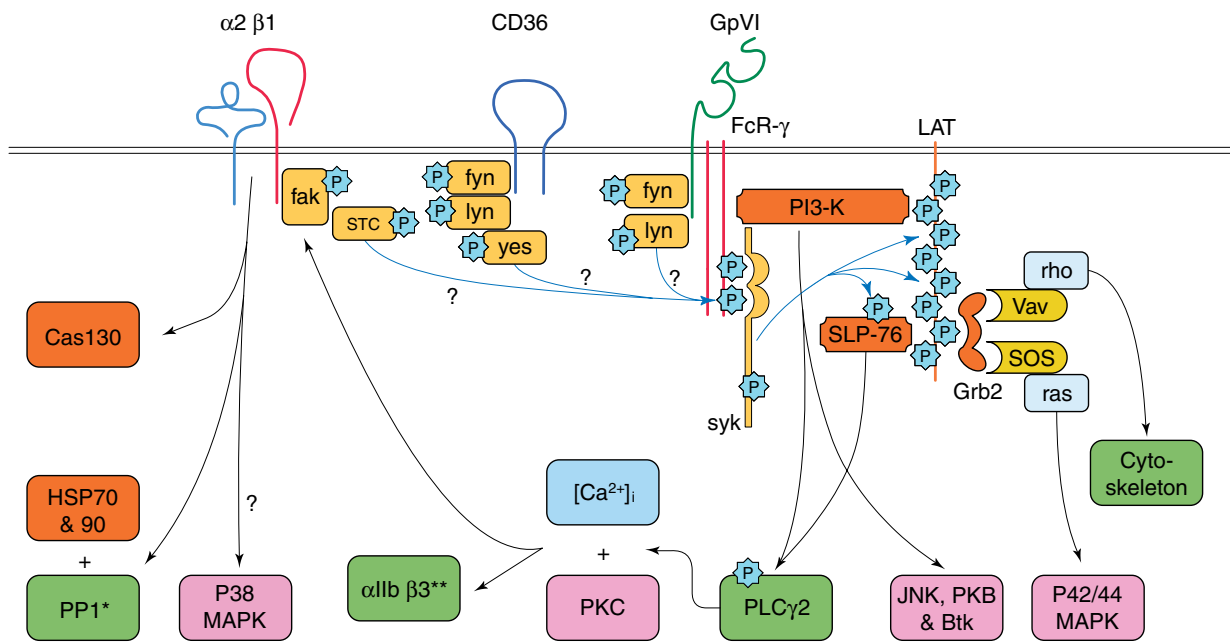


Fig. 11.4. Depicts proposed signalling pathways from the major collagen receptors,  $\alpha 2 \beta 1$ , CD36 and GpVI. Phosphorylation events are shown using blue arrows, and other signalling pathways in black. Question marks indicate possible signalling pathways, lacking specific detail at present. Signalling molecules are defined in the text. Tyrosine kinases are coded *beige*, serine/threonine kinases *pink*, adapter proteins in *orange*. Tyrosine phosphorylation is indicated by stars on the relevant proteins. Other collagen receptors and possible signals arising from the GpIb/IX/V axis are omitted for clarity.



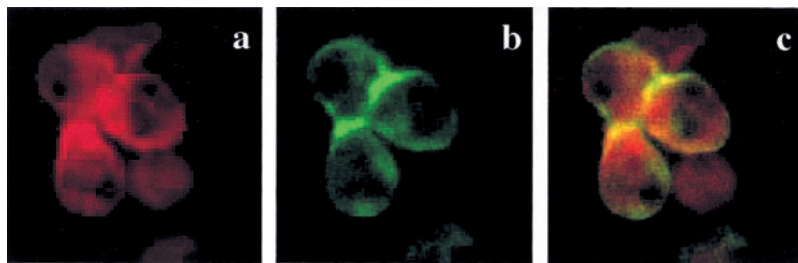


Fig. 28.4. Formation of Mac-1 clusters and colocalization with F-actin patches in PMN leukocytes at the site of cell-cell contact. Confocal laser scanning microscopy of PMN, preincubated with an anti-PSGL-1 antibody, stained with FITC-conjugated anti-CD11b antibody and rhodamine-phalloidin: (a) and (b) show F-actin (red) and CD11b (green) staining, respectively. In the overlay (c), the yellow colour represents colocalization of the two stainings. (For more details<sup>141</sup>.)

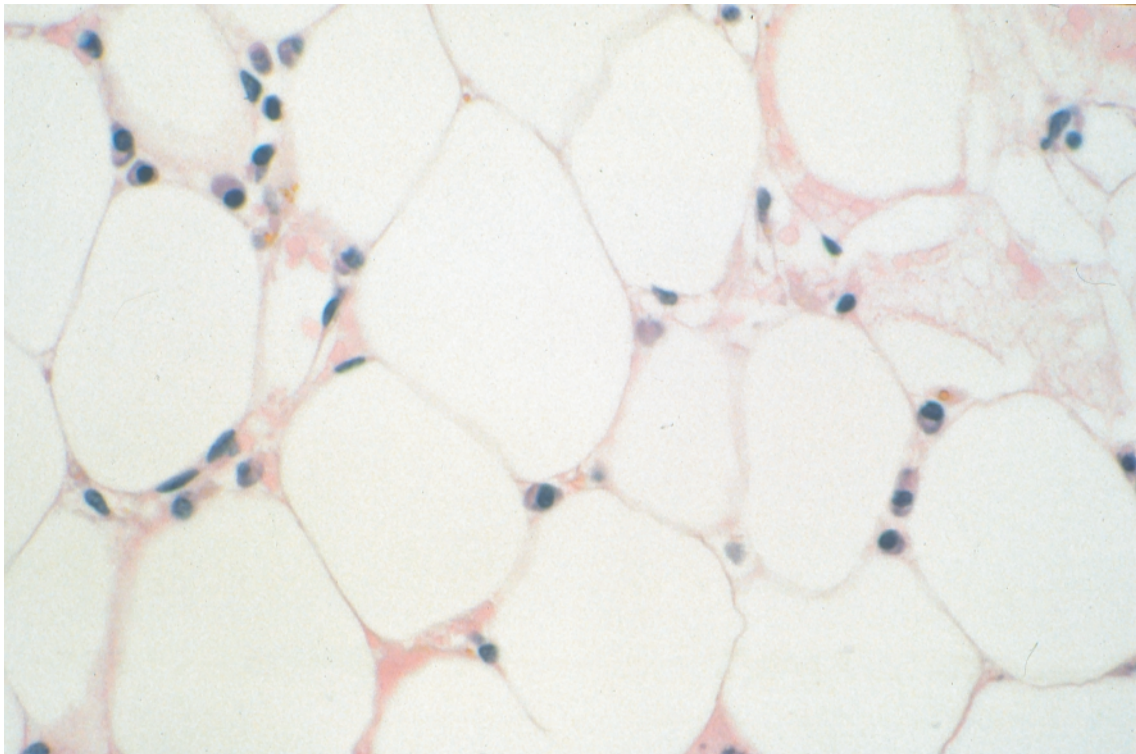


Fig. 35.2. A bone marrow trephine biopsy of a patient (male, 25 years of age) with aplastic anemia. It shows predominantly adipose spaces and stromal cells but very few hematopoietic cells.

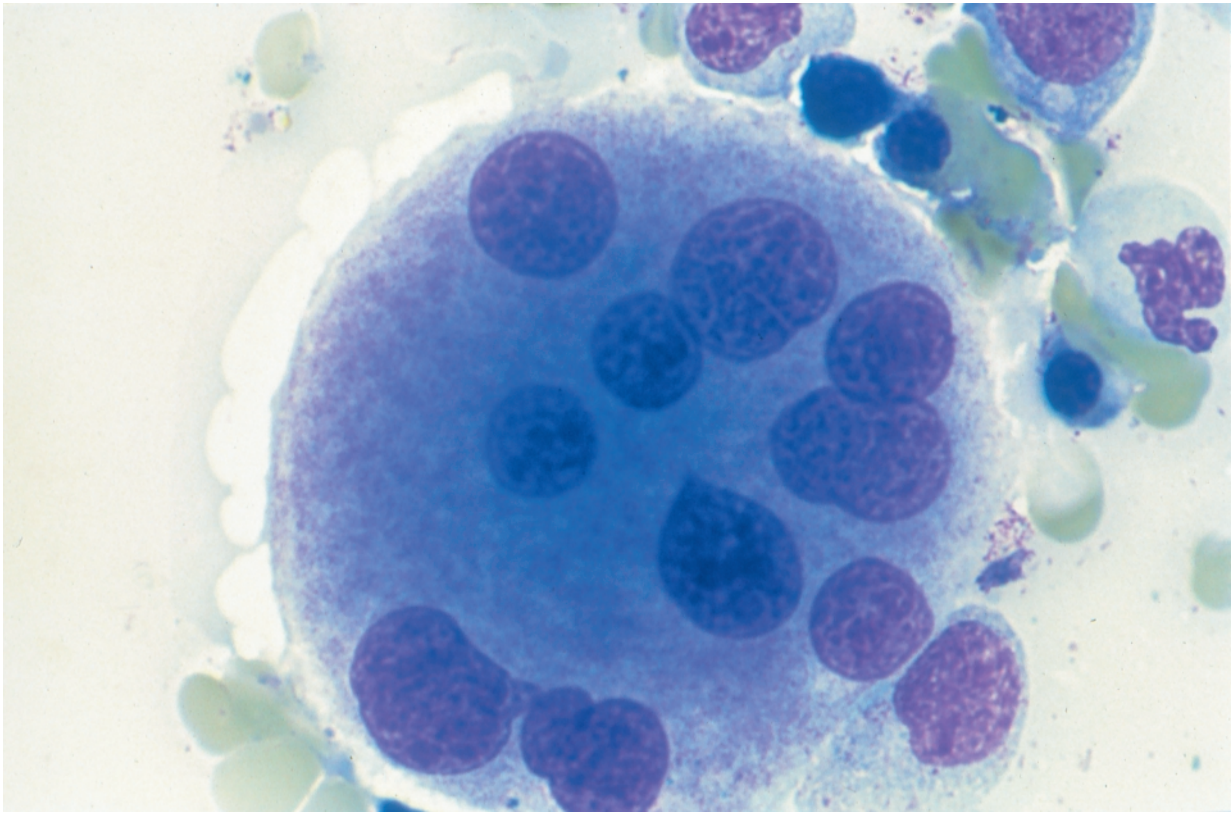


Fig. 35.3. This shows an abnormal megakaryocyte with dysplastic changes in a patient with HIV-1 infection and thrombocytopenia. It is a huge cell with nuclear fragmentation.

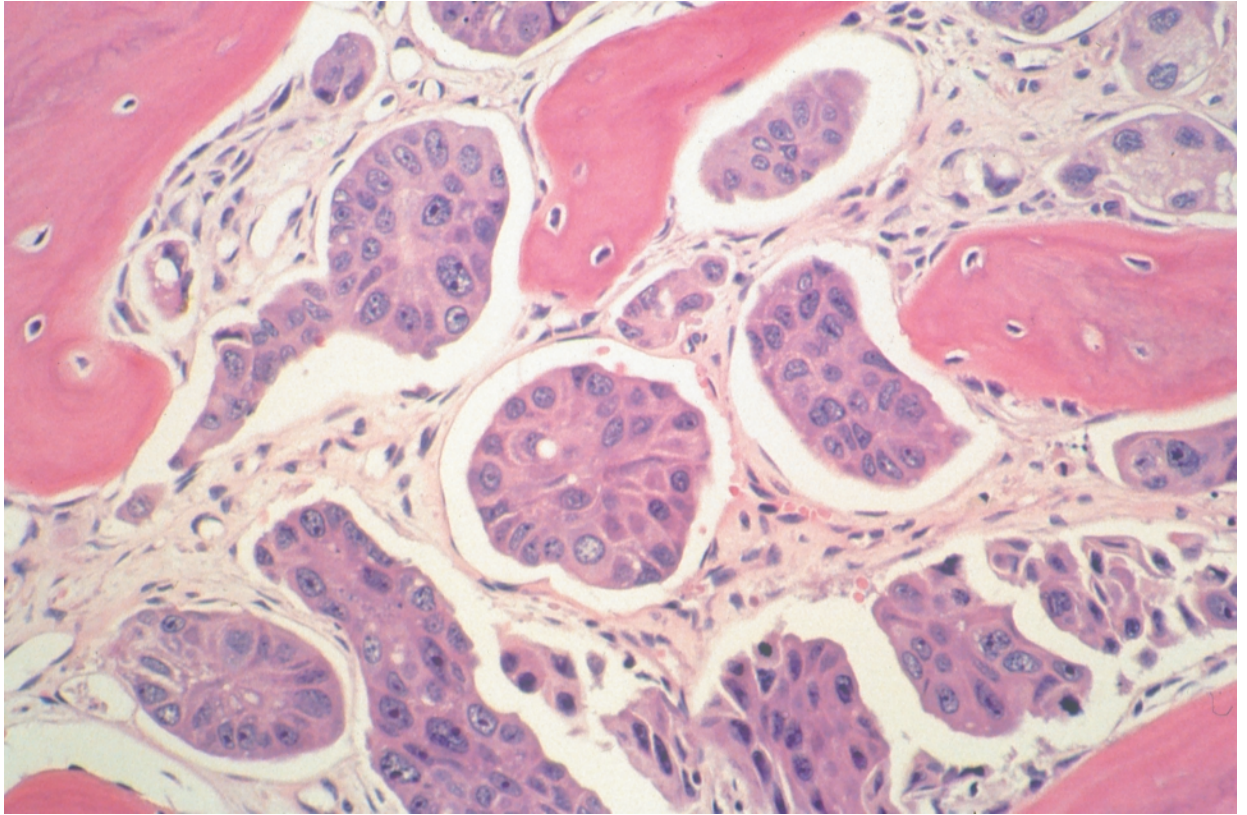


Fig. 35.4. A bone marrow trephine biopsy of a patient with metastatic breast cancer showing bone marrow infiltration by malignant cells. The cancer cells are arranged in a gland-like formation (acini).

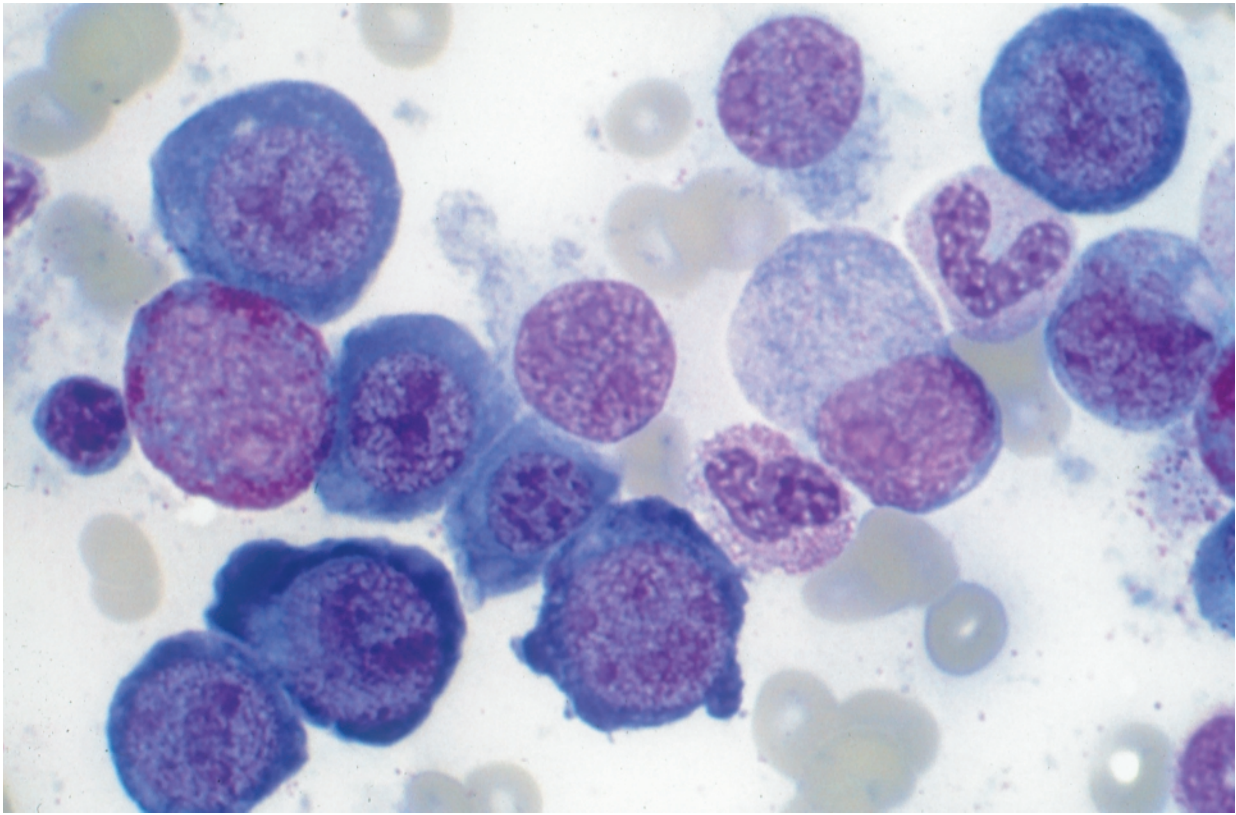


Fig. 35.5. A bone marrow smear of a patient (female, aged 76) with pernicious anemia. It shows florid megaloblastic changes with many megaloblasts and a giant band form.

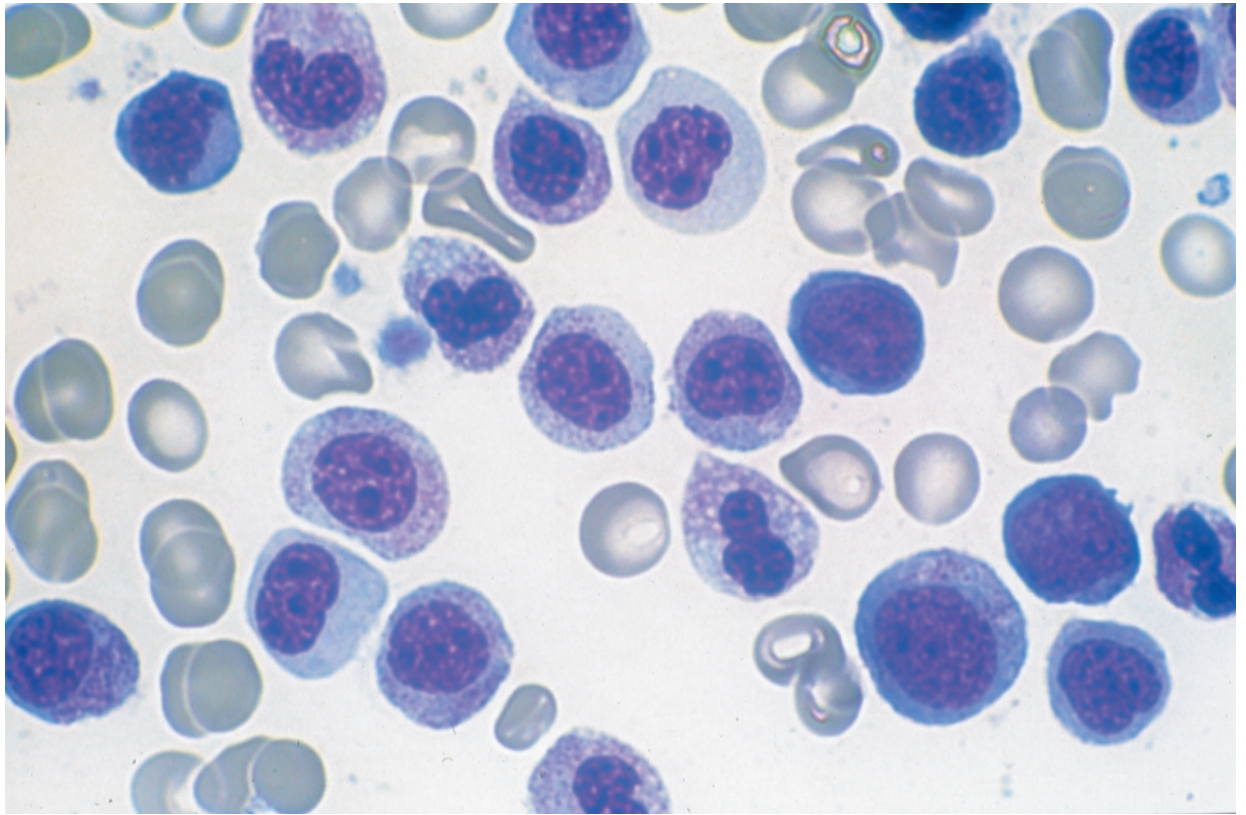


Fig. 35.6. A bone marrow smear of a patient (male, aged 64) who had myelodysplastic syndrome. It shows dysplastic changes in granulocyte precursors, namely hypogranular forms and Pelger-Huet anomaly.

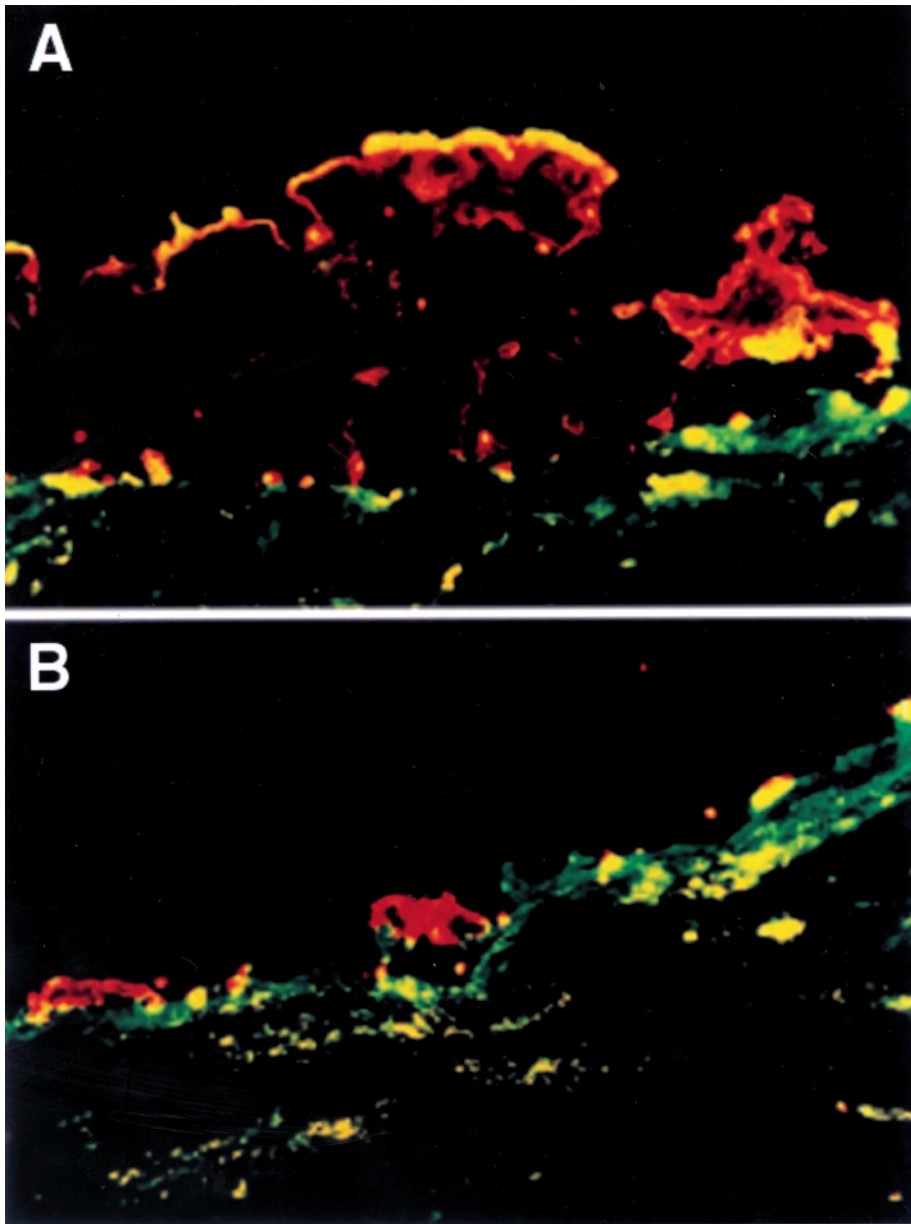


Fig. 47.5. Effects of TFPI on thrombogenicity of disrupted lipid-rich human atherosclerotic lesions: Control (*a*) and TFPI-treated (*b*). Fibrinogen deposition is shown as green, platelet deposition as red, and their colocalization as orange. Note the significant antithrombotic effect of rTFPI on deposition of platelet and fibrin(ogen) deposition.

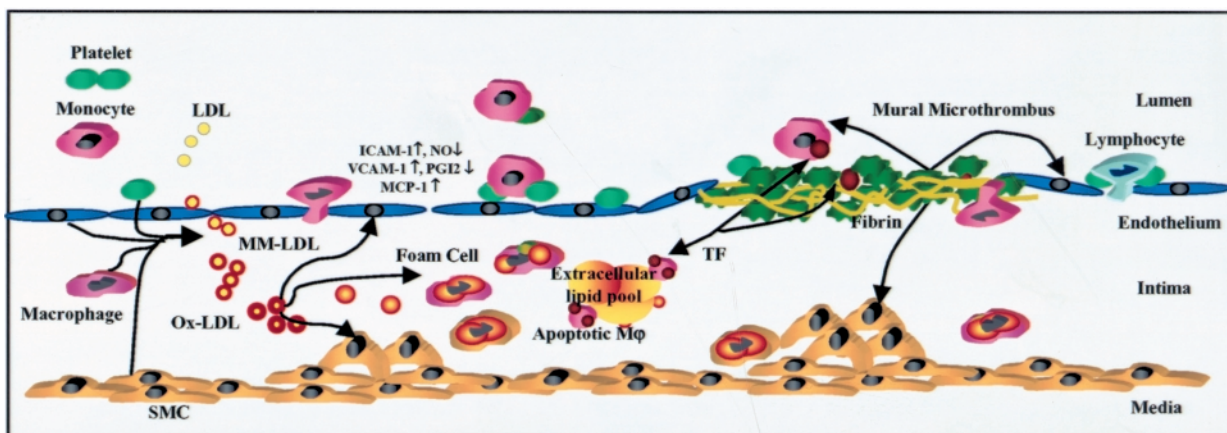


Fig. 48.2. Endothelial cells, platelets, smooth muscle cells and macrophages mediate oxidative modifications of LDL. MM-LDL activates endothelial cells and promotes smooth muscle cell migration and proliferation. Uptake of oxidized lipoproteins by macrophages and smooth muscle cells generates foam cells. Activated platelets adhere to, and activate, endothelium and facilitate monocyte adhesion. Endocytosis of platelet-derived vesicles contributes to foam cell formation. Endothelial erosion initiates platelet adhesion and aggregation and fibrin deposition on the subendothelial matrix. Tissue factor is closely associated with macrophages in the lipid core. Propagation of a thrombus may also depend on blood-borne tissue factor. Platelets release a number of growth factors propagating smooth muscle cell proliferation. Re-endothelialization and cap formation by smooth muscle cells incorporates the mural thrombus into the expanded lesion. (ICAM-1: intercellular adhesion molecule-1, Mφ: macrophage, MCP-1: monocyte chemotactic protein-1, MM-LDL: Minimally modified LDL, NO: nitric oxide, ox-LDL: oxidized LDL, PGI<sub>2</sub>: prostacyclin, SMC: smooth muscle cell, TF: tissue factor, VCAM-1: vascular cell adhesion molecule-1.)

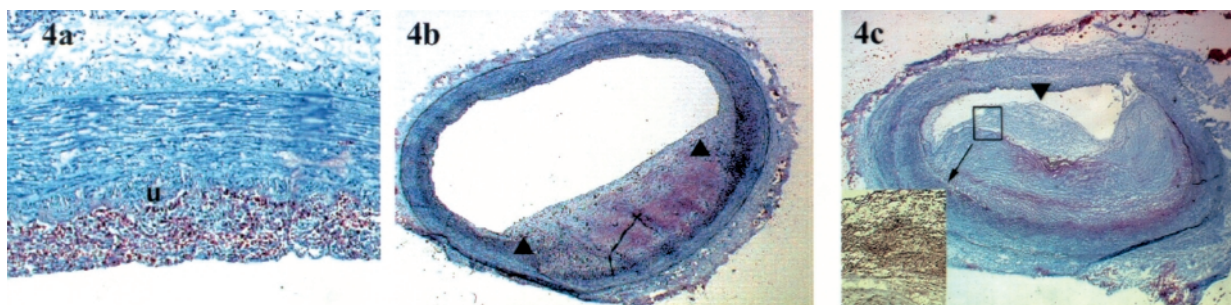


Fig. 48.4. (a) Oil Red O staining of a fatty streak (Stary type II lesion). The lesion is rich in foam cells. Smooth muscle cells (▶) migrate towards the intima. (b) Oil Red O staining of a fibroatheroma (Stary type IV–V). The eccentric lesion consists of a large lipid core with a rather thin fibrous cap. Plaque rupture occurs most frequently at the shoulder regions of the plaque (▲). (c) Oil Red O staining of a complicated lesion (Stary type VI). The layered aspect and the organized thrombus (▼) are hallmarks of healed disruption. Immunostaining (→) for fibrin shows fibrin at the basis of the most recently formed cap.

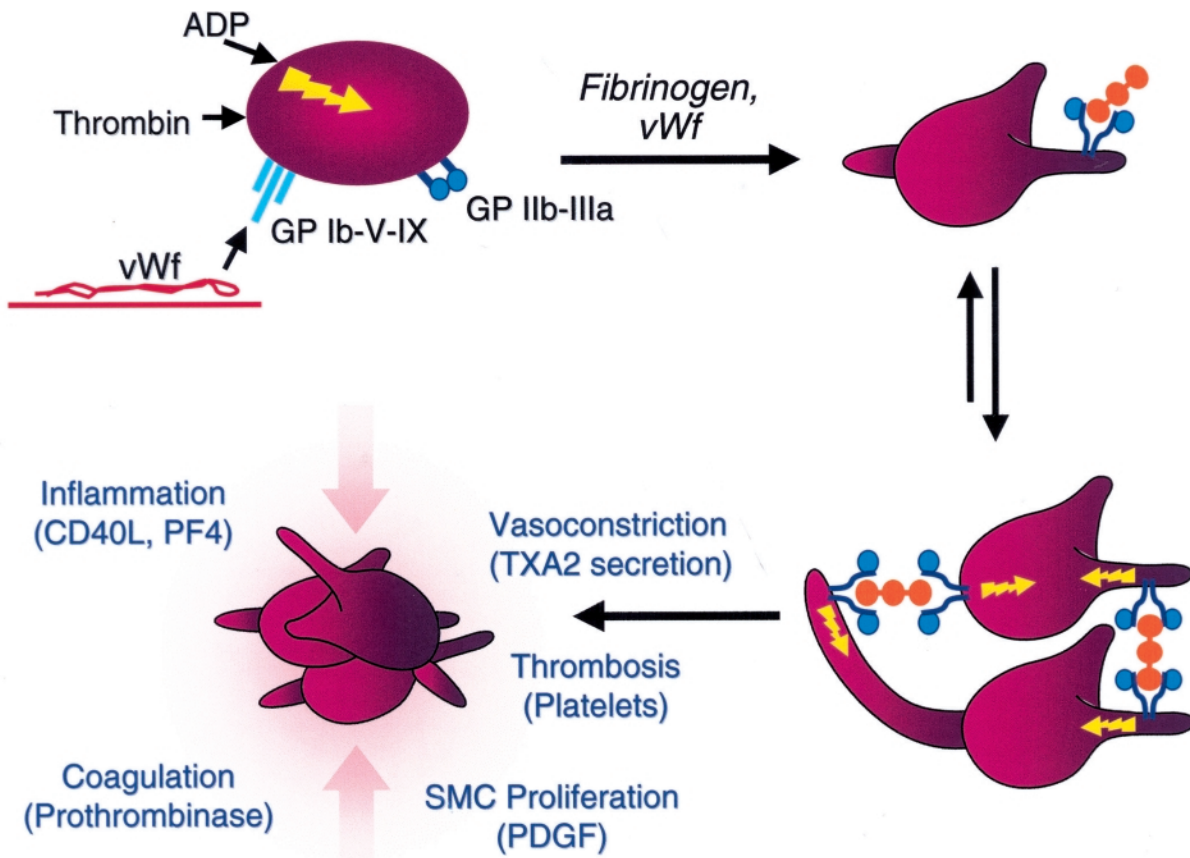
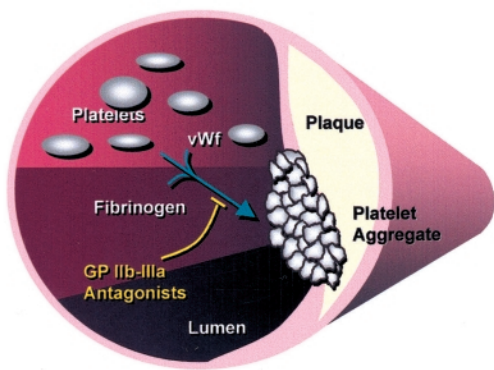
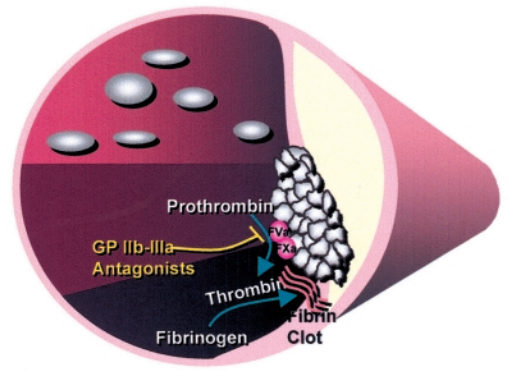


Fig. 63.1. Schematic illustrating the role of GP IIb-IIIa in platelet aggregation. On resting, discoid platelets the GP IIb-IIIa is present in an 'inactive' conformation and is unable to bind soluble fibrinogen. Upon activation by classical agonists such as collagen, thrombin or adenosine diphosphate (ADP) the platelet undergoes a shape change and the GP IIb-IIIa is activated such that it can now bind its soluble ligands, fibrinogen and von Willebrand factor (vWf). Platelet aggregation is mediated by the bivalent fibrinogen forming bridges between GP IIb-IIIa molecules on the surfaces of neighbouring, activated platelets.

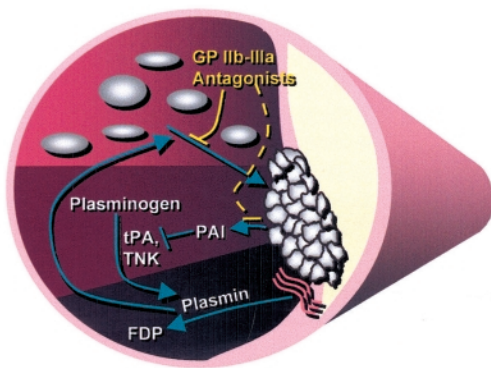




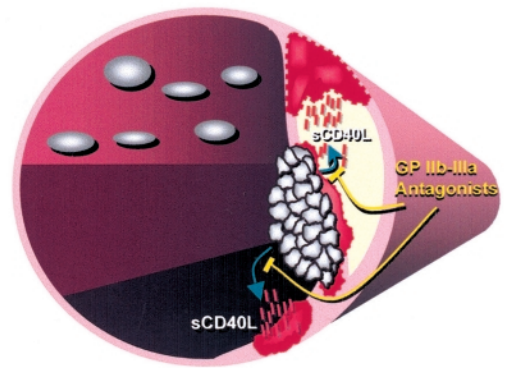
A. Thrombosis



B. Coagulation



C. Fibrinolysis



D. Inflammation

Fig. 63.2. Diagrams depict the multiple benefits of GP IIb–IIIa antagonists. (a) GP IIb–IIIa antagonists block platelet aggregation and recruitment to prevent thrombosis. (b) The prothrombinase complex (FVa, FXa) is predominantly formed on the surface of aggregated platelets. The anticoagulant activity of GP IIb–IIIa antagonists can be attributed to their antiaggregatory activity. (c) GP IIb–IIIa antagonists inhibit platelet aggregation induced by plasmin and thrombin which are generated during thrombolysis. These antagonists also inhibit the aggregation-induced release of PAI-1, an inhibitor of fibrinolysis. The apparent ‘fibrinolytic’ activities of GP IIb–IIIa antagonists can be attributed to these synergistic activities with fibrinolytic agents. (d) Platelet aggregation induces the expression and release of the potent proinflammatory protein CD40L. Inhibition of platelet adhesion to vascular cells and sCD40L release from platelets by GP IIb–IIIa antagonists may allow this class of drugs to be anti-inflammatory.