Microorganisms for Sustainability 3 Series Editor: Naveen Kumar Arora Tapan Kumar Adhya Banwari Lal Balaram Mohapatra Dhiraj Paul Subhasis Das *Editors* 

# Advances in Soil Microbiology: Recent Trends and Future Prospects

Volume 1: Soil-Microbe Interaction



# **Microorganisms for Sustainability**

### Volume 3

#### Series editor

Naveen Kumar Arora, Environmental Microbiology, School for Environmental Science, Babasaheb Bhimrao Ambedkar University, Lucknow, Uttar Pradesh, India

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Tapan Kumar Adhya • Banwari Lal • Balaram Mohapatra • Dhiraj Paul • Subhasis Das Editors

# Advances in Soil Microbiology: Recent Trends and Future Prospects

Volume 1: Soil-Microbe Interaction



*Editors* Tapan Kumar Adhya KIIT School of Biotechnology Bhubaneswar, Odisha, India

Balaram Mohapatra Environmental Microbiology Laboratory Indian Institute of Technology, Kharagpur West Bengal, India

Subhasis Das Environmental & Industrial Biotechnology The Energy & Resources Institute (TERI) New Delhi, Delhi, India Banwari Lal Environmental & Industrial Biotechnology The Energy & Resources Institute (TERI) New Delhi, India

Dhiraj Paul Microbial Ecology Laboratory National Centre for Cell Science, Pune Maharashtra, India

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# **About the Editors**

**Dr. Tapan Kumar Adhya** is currently the Director, South Asia Nitrogen Centre, New Delhi, and is also working as Professor in the School of Biotechnology, KIIT University, Bhubaneswar, Odisha, India. He has more than 150 publications and 30 book chapters.

**Dr. Banwari Lal** is currently the Environmental and Industrial Biotechnology Division, The Energy and Resources Institute, New Delhi. He has several publications and patents in the field of hydrocarbon bioremediation technology.

Mr. Balaram Mohapatra is currently doing PhD at IIT Kharagpur.

**Dr. Dhiraj Paul** is presently working as young scientist in National Centre for Cell Science, Pune, India.

**Mr. Subhasis Das** is presently working in Environmental and Industrial Biotechnology Division TERI – The Energy and Resources Institute, New Delhi, India.

## **About the Series Editor**

Dr. Naveen Kumar Arora, Phd Microbiology, Professor in Department of Environmental Science, Babasaheb Bhimrao Ambedkar University (a central university), Lucknow, Uttar Pradesh, India, is a renowned researcher in the field of Environmental Microbiology and Biotechnology. His specific area of research is rhizosphere biology and PGPRs. He has more than 50 research papers published in premium international journals and several articles published in magazines and dailies. He is editor of 10 books, published by Springer. He is member of several national and international societies, Secretary General of Society for Environmental Sustainability, a member of editorial board of four journals, and reviewer of several international journals. He is also the Editor-in-Chief of the journal Environmental Sustainability published by Springer Nature. He has delivered lectures in conferences and seminars around the globe. He has a long-standing interest in teaching at the PG level and is involved in taking courses in bacteriology, microbial physiology, environmental microbiology, agriculture microbiology, and industrial microbiology. He has been advisor to 118 postgraduate and 8 doctoral students. Recently, he was awarded for excellence in research by the Honorable Governor of Uttar Pradesh. Although an academician and researcher by profession, he has a huge obsession for the wildlife and its conservation and has authored a book, Splendid Wilds. He is President of Society for Conservation of Wildlife and has a dedicated website www.naveenarora.co.in for the cause of wildlife and environment conservation.



1

# Soil Microbiology Research in the Coming Decades: Translational Research Opportunities

#### Tapan Kumar Adhya and K. Annapurna

#### Abstract

Soil microbiology deals with the diverse group of living organisms that resides in the soil. The microflora and fauna function to maintain the soil process and other ecosystem services to keep the soil healthy and functional, and thereby support plant growth. Agriculture and land-use changes including rapid urbanization irrevocably alter the soil microflora – both structurally and functionally. While plant supports microbes in their root region by transferring part of the photosynthate, microbes dwelling in the rhizosphere form the second genome for the plant and provide physiological and ecological fitness to the plant. For meeting the demand for the burgeoning population of the earth, microorganisms provide a green alternative to grow plants, especially crop plants, in a sustainable manner.

#### Keywords

Soil microbiology · Microbial diversity · Plant microbiome · Rhizosphere · Sustainable agriculture

The *Glossary of Soil Science Terms* (SSSA 2008) defined soil microbiology as the branch of soil science focussing on the diverse group of soil inhabiting microbes with their functions and interaction activities, which influences other living organisms in various ways. Soil, the living epidermis of the planet with its diverse resources, will continue to determine the human security. Intimate interaction of plant, animal and microbial life, inhabiting the soil matrix, drives redox reactions

T. K. Adhya (🖂)

KIIT School of Biotechnology, Bhubaneswar, Odisha, India e-mail: adhyas@yahoo.com

K. Annapurna Division of Microbiology, ICAR-Indian Agricultural Research Institute, New Delhi, India

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that regulate biogeochemical cycles of many elements and creates a pool of organic C that very much exceeds the C in the global atmosphere and biosphere. Microbial communities that mediate these redox reactions are now supposed to represent much of the Earth's total biodiversity. However, the structure, function and economic potentials of this soil biosphere component are only beginning to be explored.

Soils, especially along the great rivers' floodplains being the starting point of human civilization, have been exploited since human community turned from gatherer-hunter to organized population depending upon agriculture as the vocation. India being one such country with civilization records dating back to the Indus valley, such soils have been exploited to a great extent. Although, even after 5000 years, these soils are still considered the grain bowl of the Indian subcontinent, over-exploitation has resulted into qualitative decline in the soil quality and health and resulted in a perceived decline in food production. There is an emerging understanding of the importance of microbial communities for soil health with obvious possibilities of reversing the perceived decline in soil quality through microbiological interventions.

The microbial communities or microbiomes of various environments have been explored to understand their ecological role. The plant microbiome is a major determinant of plant health and productivity and has received considerable attention recently (Bulgarelli et al. 2013). Soil-inhabiting plant roots are in direct connection with microbially the most diverse biome on the planet, with estimates of very high bacterial diversity per gram of soil ( $\sim 10^{14} - 10^{16}$ ). Due to the importance of the soil as the plant habitat, majority of research focuses on the rhizosphere microbiology, even though microorganisms are also able to readily colonize other plant parts. All these microenvironments provide specific biotic and abiotic setting for microorganisms having a correspondingly specific function for the host. Manipulation of the plant microbiome has the potential to reduce plant diseases especially the soilborne ones, increase agricultural production, reduce chemical inputs like fertilizers and pesticides and reduce emissions of greenhouse gases, resulting in more sustainable agricultural practices. Many of the plant-associated microbes are also crucial players in the global biogeochemical cycles. These microbial communities are influenced by geographical location, soil resource, host genotype and cultivation practices. Dynamics of the colonization pattern for the root-associated microbiome across the three niches, viz. endorhizosphere, ectorhizosphere (root surface) and microbiome, in the close vicinity of the roots provide evidence for rapid enrolment of root-associated microbiomes from soil and support a multistep model wherein each root component plays a selective role in the microbiome congregation (Edwards et al. 2015).

Sustainable crop production requires both technical and organizational advances. While pathogens, pests and weeds cause large quantum of pre- and postharvest losses, beneficial symbionts provide the opportunity to improve yield stability, quantity and quality. Research and development for this area of agricultural research is, therefore, both warranted and urgent. Further, major long-term investments in foundational and translational agricultural research are necessary. Soil microorganisms are key players in agroecosystem functioning since they drive primary and secondary production and nutrient cycles (Nannipieri et al. 2002;

Bardgett et al. 2005). N is the most important nutrient limiting crop growth and also the major element supplied by fertilization (Smil 1997). It has been estimated that in several of the intensively cropped systems all over the world, N input exceeds N output by crop uptake by 10–240 kg N ha<sup>-1</sup> per year (Sutton et al. 2011). It has been calculated that average N fertilization efficiency of crop production rarely exceeds 30% implying high economic, environmental and societal costs (Tilman et al. 2002; Abrol and Adhya 2017). Excess N input changes rates of N transformation, increasing the pathways for N loss; about 50–60% of the N surplus is lost as molecular N (N<sub>2</sub>) emission by nitrification-denitrification, followed by ammonia (NH<sub>3</sub>) volatilization, nitrate (NO<sup>3-</sup>) leaching and run-off and nitrous oxide (N<sub>2</sub>O) and mononitrogen oxide (NOx) emissions (De Vries et al. 2011; Velthof et al. 2011). Apart from leakage to the environment leading to eutrophication, N fertilizer surplus increases the decomposition rate of crop residues and soil organic matter (SOM), with a net decline in soil carbon (C) content and soil fertility affecting not only two major nutrient cycles but also ecosystem services for ecological sustainability.

Soil microbiomes are complicated, highly diverse ecosystems containing large populations of interacting microorganisms. High-quality reads clustered, using >97% sequence identity of root-associated microbial communities of rice plants sampled at 42 days, grouped into 101,112 microbial OTUs with high abundance of methanogenic archaea (Edwards et al. 2015). Similarly, analysis of the microbiome of disease-suppressive soils categorized over 33,000 bacterial and archaeal OTUs in the sugar beet rhizosphere (Mendes et al. 2011). Recently, development of several powerful metagenomic and bioinformatic analysis techniques has enabled the microbiologists to rapidly sequence and identify DNA extracted from soil samples (Biswas and Sarkar 2017; Lagos et al. 2015; Knief 2014). This has enabled examining the genetics of whole microbial communities in order to probe the physiological characteristics and potential of plant-associated microorganisms (Sessitsch et al. 2012). Amplicon sequence analyses of marker genes, typically 16S rRNA in case of bacteria, enable us to characterize the relative abundance of different species in diverse plant compartments including phyllosphere and rhizosphere (Lundberg et al. 2012). Metatranscriptomic approaches, on the other hand, may be used to examine the metabolic activities and regulatory mechanisms that function in discrete environments (Chaparro et al. 2014; Newman et al. 2016).

Beneficial microbes have long been used in agriculture. For decades farmers have been adding nitrogen-fixing bacteria for growing legume crops and mycorrhizal fungi that help plants acquire nutrients for decades. These groups of microorganisms, which have been broadly termed as biofertilizer, keep the soil environment rich in diverse micro- and macronutrients through nitrogen fixation, phosphate and potassium solubilization or mineralization and their acquisition, production and release of plant growth-regulating substances, production of antibiotics and biodegradation of organic matter in the soil. When biofertilizers are applied as seed or soil inoculants, they multiply and participate in nutrient cycling and benefit crop productivity (Singh et al. 2011). Microbial inoculants have overriding significance in integrated nutrient management systems for sustained agricultural productivity and healthy environment (Adesemoye et al. 2009). Efficient strains of *Azotobacter*, *Azospirillum*, *Phosphobacter* and *Rhizobacter* can provide significant amount of available nitrogen through nitrogen cycling and even enhance fertilizer use efficiency by reducing fertilizer application. The biofertilizers produce several plant hormones including indole acetic acid (IAA), gibberellins (GA) and cytokinins (CK). Biofertilizers are also known to improve photosynthesis to confer plant tolerance to stress and increased resistance to pathogens, thereby resulting in crop productivity improvement and important tool for climate-resilient agriculture (Sahoo et al. 2013; Kashyap et al. 2017).

As mentioned in the beginning, plants by virtue of their growing in soil had access to the most diverse microbiome in the world. When the seed germinates on the soil, it sends its roots into the soil to procure water and mineral nutrients. In this process, the roots engineer soil physical structure and, by releasing root exudates in and around root zone, recruit soil microorganisms as their closest neighbour. At the same time, sloughed off dead and decaying root tissues, processed by the heterotrophic microorganisms, lead to the production of soil organic matter. Thus, there is compelling evidence that plants engineer the rhizosphere microbiome (Chaparro et al. 2014). This is further strengthened by the fact that even the most ancient plant lineages demonstrate a strong ability to alter the relative abundance of microbial groups in the soils surrounding the rhizosphere (Valverde et al. 2016). Due to such intricate relationship whereby plant species support unique microbiomes, possibility arises whether we can manipulate such relationship that has now being named as 'rhizosphere engineering' leading to increased productivity (Akhami et al. 2017). Presently, our ability to manage and manipulate the rhizosphere microbiome is limited to alter the microbiome through inoculation and addition of organic matter to increase the diversity. On several occasions, such introduced microorganism or 'inoculants', as they are popularly termed, fail due to extraneous reasons like predation or getting outcompeted by the microorganisms already selected over a long time period. Possibly, new gene editing and synthetic biology tools offer alternate path to engineer microbes with targeted functions (Wallenstein 2017; Hutchison et al. 2016) or engineering plant traits (Nogales et al. 2015).

Evidence is accumulating that the immense diversity of microorganisms and animals that lie belowground contributes significantly in shaping the aboveground biodiversity and the functioning of terrestrial ecosystems (Bardgett and van der Putten 2014). Globally, understanding of how this belowground biodiversity is distributed and how it regulates the structure and functioning of terrestrial ecosystems is rapidly growing (Carey 2016, albeit at a much limited scale for the tropical ecosystem. Evidence also points to soil biodiversity playing a key role in determining the ecological and evolutionary responses of terrestrial ecosystems to current and future environmental change. Thus, three major areas that would require focussed attention of the scientists during the next decades are:

1. Intensive study on the impact of huge domestication of soils on the total microbial diversity and use of the reverse engineering to restore soil health and fertility. It is now becoming clear that crop management and other anthropogenic interventions in agriculture affects both the structural and functional diversity of the soils.

- 2. Thorough research on plant microbiome as soil microorganisms found in the root zone impact plant growth and development. However, as the potential to harness these benefits is hampered by sheer abundance and diversity of the players influencing desirable plant traits, focused attention on the study of rhizosphere biology, impacting factors and the complex interaction on the well-being of plant and maintenance of soil health is essential.
- 3. Organic C, the key driver of soil sustainability, stored in soil is the balance between plant inputs and microbially mediated metabolic losses of CO<sub>2</sub>. Modern agriculture is considered a major disruption to the natural C balance in soil, effectively channelizing the microbial mediated processes to release a vast store of labile C that has accumulated over millennia.
- 4. Current understanding on the overarching impacts of climate change on life processes in the earth including the projected adverse impacts on field agriculture and crop plants is also considered to be influenced by soil microorganisms. Land-use change through cultivation and clearing has caused a major fraction of total anthropogenic greenhouse gas emissions since the nineteenth century. Fortunately, mitigation of such climate processes also appears to be mediated by soil microbes and needs to be intensely investigated and implemented.

The new age ecological understanding that a plant is not an isolated individual at its genomic level but a larger genomic pool inclusive of its associated microbial genome, 'the microbiome', has given rise to the 'holobiont' concept (Guerrero et al. 2013). Similar to ecological systems of higher organisms, the holobiont shows interdependent and complex dynamics (Vandenkoornhuyse et al. 2015). While plants develop from seeds, the microbiome has a multitude of sources majority being recruited from the soil (Pieterse et al. 2016). The assemblage of these communities depends on the interaction between the emerging seedling and its surrounding environment. These microbial communities are controlled by the plant through diverse strategies, such as the specific profile of root exudates and its immune system led by jasmonic acid or otherwise. Despite this control, the microbiome is still able to adapt and thrive. The molecular knowledge behind these interactions and microbial '-omic' technologies are going forward to the point of enabling holobiont engineering. The collective genome of the rhizosphere microbiome is much larger than that of the plant and is referred to as the plant's second genome or pan-genome. It is the holobiont which responds to the various biotic and abiotic stresses rather than the plant alone, as perceived earlier. Hence, the overall fitness of the plant is regulated by the plant itself and its 'microbiome'. This includes the genomic contribution made by the diverse microbial communities that inhabit the surface and internal tissues of the plant parts. New molecular methods have revealed microbiomes to be key components of plant health (Pineda et al. 2017).

Sufficient evidence has now accrued to show that the microbiome mediates several critical plant functional traits, has great significance on plant phenome plasticity and can become a new trajectory for plant neo-domestication. This bears importance in the context of plant breeding strategies as the microbiome offers genetic variability to plants (Edwards et al. 2015). Thus, research needs to focus to co-propagate the co-evolved, i.e. the plant genome and its microbiome.

- 1. One approach could be the transfer of microbiome from one plant species to other. The overlapping core microbiome between plants gives hope for cross-compatibility of microbiome transfer with phylogenetically unrelated plant species.
- 2. Development of synthetic communities/microbiome consisting of key players.
- 3. Transfer of micro-RNA from rhizospheres of donor soils to recipient soils.

Although the plant microbiome is recognized as an important resource pool of microbial diversity, numerous important plant species and their natural relatives have not yet been studied for their associated microbial communities. With an approximate number of  $5 \times 10^6$  plant species, a considerable amount of work lay ahead of plant microbiome research to explore newer aspects of phylogenetic diversity of plant-associated microorganisms in the future. This might be particularly interesting with plants from extreme environments including aspects of land-use change. Land plants continuously contact beneficial, commensal, and pathogenic microbes in soil via their roots. There is limited knowledge as to how the totality of root-associated microbes (i.e. the microbiome) is shaped by various factors or its pattern of acquisition in the root. The study of plant-microbe associations by new techniques has significantly improved our understanding of the structure and specificity of the plant microbiome. Yet, microbiome function and the importance of the plant's microbiome in the context of human and plant health remain largely unexplored (Mendes et al. 2013).

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# Molecular Genomic Techniques for Identification of Soil Microbial Community Structure and Dynamics

Dhiraj Paul, Satish Kumar, Mrinal Mishra, Sushant Parab, Sunil Banskar, and Yogesh S. Shouche

#### Abstract

Soil microorganisms play a crucial role in maintaining major biogeochemical/ nutrient cycle, soil quality, and productivity. Hence, the understanding of soil microbial community structure, distribution, and their metabolic function is essential for getting a deeper insight into soil ecosystem and its health. A number of molecular methods for extracting metagenome, total RNA, protein, and metabolites from the diverse environmental samples, sequencing technology, etc. are present which help to know about microbial structure, composition, and their metabolic function in the specific environmental ecosystem. Genetic fingerprinting like ARDRA, RFLP, DGGE, and T-RFLP and omics approaches like metagenomics, metatranscriptomics, and metabolomics are essential techniques for identifying and depicting the total microbial community structure and their interactions with environmental and biotic factors. So for these molecular techniques, it is possible to identify and functionally characterize soil microbes that are not culturable in a laboratory environment. This chapter describes old and modern novel state of the art molecular techniques which proved insights into the phylogenetic and functional activities of microbial assemblages in a terrestrial ecosystem.

D. Paul (🖂) · M. Mishra · S. Parab · S. Banskar · Y. S. Shouche (🖂)

Microbial Culture Collection, National Centre for Cell Science, Savitribai Phule University of Pune Campus, Ganeshkhind, Pune, Maharashtra, India

e-mail: pauldhiraj09@gmail.com; yogesh@nccs.res.in

ICAR-National Institute of Abiotic Stress Management, Baramati Pune, Maharashtra, India

S. Kumar

Microbial Culture Collection, National Centre for Cell Science, Savitribai Phule University of Pune Campus, Ganeshkhind, Pune, Maharashtra, India

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#### Keywords

Microbial ecology  $\cdot$  Stable isotope probing  $\cdot$  Autoradiography  $\cdot$  Fluorescence *in situ* hybridisation  $\cdot$  DGGE  $\cdot$  Next generation sequencing

#### 2.1 Introduction

In soil/natural ecosystems, microorganisms including bacteria and fungi exist in a very large number and play a very crucial role in maintaining major biogeochemical cycles (Molin and Molin 1997; Wall and Virginia 1999), plant nutrition (George et al. 1995; Timonen et al. 1996), plant health (Srivastava et al. 1996; Filion et al. 1999; Smith and Goodman 1999; Wright and Upadhyaya 1998; Dodd et al. 2000), soil fertility (Yao et al. 2000; O'Donnell et al. 2007), soil structure (Wright and Upadhyaya 1998), and degrading organic pollutants and remediation of toxic metals (Barakat 2011). Therefore, microorganisms are key players in important ecological processes, such as carbon, nitrogen, phosphorous, and sulfur biogeochemical cycle, and directly influenced all lives on Earth (Garbeva et al. 2004). It is noted that 1 gm of soil/sediment may contain 10<sup>9</sup> bacterial cell (Whitman et al. 1998). In terrestrial environments, soil sustains as many as  $4-5 \times 10^{30}$  microbial cells and in aquatic environments approximately  $1.2 \times 10^{29}$  cell (Whitman et al. 1998; Singh et al. 2009). It constitutes 60% of the total biomass of the Earth, and it represents two to three orders greater biomass than the total plant and animal cells (Singh et al. 2009). Therefore, a large number of microorganisms and their genetic diversity are unexplored, and that is directly involved in maintaining major nutrient cycles, global climate change, and the greenhouse effect. So understanding this unexplored genetic diversity is a high-priority issue in microbial ecology.

The soil microbial ecology analysis does not only mean the identification of total microbial biomass and community diversity, but it also explores microbial growth, function, distribution, and interactions among species. Therefore, soil microbial ecologist tries to answer fundamental questions, i.e., (1) What is microbial community structure and composition? (2) What are the metabolic functional genes expressed so that microorganisms can run major biogeochemical cycle in the ecosystem? (3) How do the functional activities of the microorganisms relate to major ecosystem functions including biogeochemical cycling, energy flow, etc.? Besides, anthropogenic activities including city development, agriculture, pesticide use, and other pollution directly affect the soil microbial diversity. How these changes affect surface and subsurface ecosystems is unknown. For a decade many new molecular approaches like NGS, metaproteomics, metabolomics, etc. have evolved that incredibly help soil microbial ecologist for better assessments of microbial diversity and their function in the ecosystem. In-depth understanding of microbial distribution, their function, and interaction helped in the development of new techniques for bioremediation, energy generation processes, pharmaceuticals, food, chemical, mining, etc. Therefore, for addressing how microbial community structure and dynamics affect the ecosystem function, reliable and accurate techniques of soil microbial ecology are needed. In the following sections, we are describing the traditional molecular techniques, current methods, and their advantages and disadvantages which are used for studying soil microbial structure and function.

#### 2.2 Culture Based Techniques: Advantages and Limitation

A diverse group of microorganisms are present in the environment including soil, and for their isolation, identification, characterization, and culture-based microbial diversity analysis purpose, different standard culture-based techniques (Hugenholtz 2002) are available that include use of different types of growth media, namely, Luria-Bertani, nutrient agar, tryptic soy agar, etc., for copiotrophic bacterial growth and R2A, RAVAN, minimal media, and synthetic marine water/groundwater media for oligotrophic bacteria. Despite a number of ways like mimicking the environmental niches (from where the samples are collected) by changing parameters like temperature, pH, nutrient composition, and trace nutrient composition, more than 99% organisms are still uncultivable which are seen as viable under a microscope. More than 20 phyla are present as a candidate division like TM7, OP10, OP11, WS2, WS3, etc. which are taxonomically well defined based on their metagenomics information, but they are still uncultivable (Schloss and Handelsman 2004). Therefore, their ecological and industrial application is not possible due to uncultivable nature. Bacterial phyla Acidobacteria which constitute more than 20% soil bacterial population but very few genera of these phyla are culturable, mostly uncultivable. Therefore, for understanding who are present in the ecosystem and what is their role in maintaining major biogeochemical cycle in the particular ecosystem, application of culture-independent molecular techniques is highly desirable.

#### 2.3 Classical Molecular Methods of Microbial Community Analyses

#### 2.3.1 Clone Library Method

Before next-generation/high-throughput sequence-based microbial diversity analysis, a most widely used technique was clone library-based analysis, where PCR product amplified from diverse environment DNA samples is subjected to clone and sequenced the individual clones containing gene fragments. Then for taxonomic assignment, sequences are compared with different databases like Greengene, Ribosomal Database Project (RDP), SILVA, etc. Based on good-quality sequence size, cloned sequences are assigned at a lower taxonomic level like genus and species, but one of the limitations of this technique is being time-consuming and labor intensive. One of the studies showed that environmental samples like soil/sediment may require over 40,000 clones to document 50% of the richness (Dunbar et al. 2002). Generally, using clone library-based approach, one can handle nearly about thousand clones at a time; therefore it gives a tiny picture of the microbial community structure of the particular environment. Many studies are there where this technique is used for identifying the total community structure. For example, recently Pascual and co-worker (2016) used clone library-based techniques for analysis of bacterial communities associated with the rhizosphere of wild plant species found in natural settings where bacterial phyla *Proteobacteria*, *Actinobacteria*, *Acidobacteria*, and *Gemmatimonadetes* dominated. Microbial diversity analysis of hydrocarbon-contaminated sediment samples of northwest of Bemidji, Minnesota, USA, using clone library-based analysis revealed presence of iron-reducing *Betaproteobacteria* followed by *Deltaproteobacteria*, *Smithella*, and the hydrogenotrophic *Methanoregula* (Beaver et al. 2016).

#### 2.3.2 Genetic Fingerprinting Techniques

Difference in genomic or nucleotide sequences can be utilized to generate the identity of any organisms, and the techniques, which facilitate this kind of identification, are known as genetic fingerprinting techniques. Hence, using genetic fingerprinting techniques like ARDRA, DGGE, and T-RFLP, microbial community profiling is done where PCR product amplified from direct environmental DNA samples is used (Table 2.1).

#### 2.3.2.1 Amplified Ribosomal DNA Restriction Analysis (ARDRA)

ARDRA is a method similar to restriction fragment length polymorphism (RFLP) or an extended form of it and originally developed by Vaneechoutte et al. (1993). It was firstly used for characterization of *Mycobacterium* species. Then it was used to characterize other bacterial species also (Vaneechoutte et al. 1995). Previously ARDRA was used for selection of clone libraries and strain typing to determine phylogenetic groups inside a microbial community and to study microbial diversity. This procedure involves amplification of the conserved region of 16S rRNA gene using universal or genus-/species-specific primers through polymerase chain reaction followed by enzymatic digestion of the PCR products. The restricted fragments are segregated on agarose or polyacrylamide gel, and the emerging profile of bands is used for grouping of the community as per genotype or for strain typing (Tiedje et al. 1999). Generally, for 16S rRNA gene product digestion (1.5 kb), tetra cutter restriction enzymes (e.g., MspI, HaeII) are used. Due to random prevalence of the restriction sites, the chance of occurring specific restriction sites of tetra cutter enzyme is 256 bp. Therefore, care should be taken during restriction enzymes selection. The restriction enzymes that possess the same recognition sequence, i.e., isoschizomers, should not be used; otherwise, it will create difficulty in analysis. Although 16S rRNA gene is a promising marker for the differentiation up to species level, ARDRA is very much useful among the groups which have more interspecies similarity to each other (Heyndrickx et al. 1996). ARDRA method is widely used for the discrimination of isolates undergoing different changes and from different environments (Błaszczyk et al. 2011). ARDRA is also helpful in finding out

	раноста поста		midnes			
	ARDRA	DGGE	RAPD	T- RFLP	SSCP	RISA
Use of	Yes	No	No	Yes	No	No
restriction						
enzyme						
PCR	Yes	Yes	Yes	Yes	Yes	Amplification of
amplification						spacer region
of 16S rRNA						1
gene						
GC clamp	Not needed	Needed	Not needed	Not needed	Not needed	Not needed
Advantage	Resolving	Less time-consuming	Very quick and easy to	Rapid method	Simple and	Now automated
	intraspecies	Very good for	assay	Multiple samples are	straightforward	ARISA is used
	similarity	comparing the different	Random primer used for	analyzed	technique	for microbial
	Microbial	samples of microbial	amplification; therefore	simultaneously	compared to	community
	composition	profile	no prior knowledge		DGGE	analysis
	changes in		about sequences are			
	diff.		needed			
	environment					
Limitation	Laborious,	Resolution quality is not	Low reproducibility	If two or more	Need sequencing	Time-
	time-	good, not able to	It required purified DNA	sequences share same	data for designing	consuming and
	consuming	classify at lower	for amplification	terminal restriction site,	specific primers	cumbersome
		taxonomic level of the	1	it shows same peak on	For	
		organisms		electropherogram	reproducibility,	
				Many times false	need highly	
				terminal restriction	standardized	
				fragments generated	electrophoretic	
				which result in false	condition	
				peaks being generated		
		-				

structural changes which are undergoing in microbial communities; however, it can't measure microbial diversity or identify specific phylogenetic cluster within a community fingerprinting profile (Liu et al. 1997). Major limitations of this technique are being time-consuming and laborious, and the restriction profile obtained from complex microbial communities is hard to analyze sometimes. Gulitz et al. (2013) compared four water kefirs and found that they consisted of different proportions of genera *Lactobacillus*, *Leuconostoc*, *Acetobacter*, and *Gluconobacter*. Shehata (2012) used this method for characterization of *Lactobacillus* sp. from fermented millet drink and fresh and raw cow milk.

#### 2.3.2.2 Denaturing Gradient Gel Electrophoresis (DGGE)

The technique of DGGE was originally invented by Fischer and Lerman (1980), and for small ribosomal subunit (i.e., 16S rRNA), it was described by Muyzer et al. (1993). For performing DGGE a gradient of chemical denaturant is formed which is in progressively increasing concentration. PCR products have to pass through this gradient in a polyacrylamide gel. On reaching threshold concentration, the PCR products begin to melt and weaker melting domains melt very fast; therefore migration slows intensely. Amplicon which has different sequence composition will migrate differently and stop at various positions in the gradient result in the formation of different band patterns. In DGGE, forward primer is tagged with a GC-rich nucleotide sequence (30–50 bp) as it renders complete separation of ds PCR product into single strand during electrophoresis. For taxonomic identification, bands from gel are excised, reamplified, and sequenced. DGGE has been extensively applied for elucidation of the microbial community structure and finds out changes in microbial community and dynamics of contaminated soil, water, and many other microcosm-based studies (Macnaughton et al. 1999; Ralebitso et al. 2000; Watanabe et al. 2001; Cummings et al. 2003). The key advantage of DGGE is that it allows the observance of the spatial and time-based changes in microbial community structure and gives a clear picture of the prevailing microbial species present in a particular sample (Malik et al. 2008). Major drawbacks of this technique are as follows: (1) several DNA bands/fragments may have the same melting points; (2) the lengths of the DGGE bands are many times smaller in size, that leads to difficulty in proper taxonomic identification; and (3) due to sequence heterogeneity between multiple rRNA operons of one bacterium, it can lead to several bands in DGGE, resulting in overestimation of the diversity. DGGE is a powerful tool which can discriminate among the microbial populations from different ecosystems. DGGE and pyrosequencing can also be used for elucidation of microbial diversity or composition from different environments such as native plants or nursery-raised plants or bulk sediment from mangrove. The results show that DGGE is a vigorous and practical method and effective in discriminating among earlier defined groups (Cleary et al. 2012). Ivone and Conceição (2013) used DGGE and culture-dependent method to identify the bacterial community composition especially from tap water. The results revealed that the members of Alpha-, Beta-, and Gammaproteobacteria were the major lineages.

#### 2.3.2.3 Random Amplified Polymorphic DNA (RAPD)

RAPD is a PCR-based method, where single short oligonucleotide primers (6 bp), i.e., arbitrarily selected, are used for PCR amplification. As primers are short sized, it can anneal arbitrarily at many sites on the genomic/total DNA due to low annealing temperature (~35 °C) (Franklin et al. 1999). Results are obtained from random amplification of various length products from a single reaction. Depending on the microbial community structure/complexity, different band pattern is generated during agarose/polyacrylamide gel electrophoresis. Unlike conventional PCR, RAPD does not require any specific knowledge about targeting organisms. By using a single primer of random nucleotide sequence in a PCR-based method, this process can detect single nucleotide polymorphism. Due to its easy use, it is widely used for genetic fingerprinting of microbial community composition and closely related microbial species and strains. The Fasciola hepatica and F. gigantic both liver flukes are parasitic trematodes which belong to phylum Platyhelminthes and coexist in part of Africa and Asia. Life cycles of both are similar but possess different transmission characteristic. McGarry and co-workers (2013) have successfully identified these two species using RAPD-based PCR over a period of 12 years from different countries. RAPD was also used as a typing method for Campylobacter species from ducks and duck-related environmental samples from Penang, Malaysia (Adzitey et al. 2012).

#### 2.3.2.4 Terminal Restriction Fragment Length Polymorphism (T-RFLP)

This method (T-RFLP) is used for the exploration of complex microbial community based on the occurrence of recognition sequence of restriction enzymes on the 16S rRNA gene. It is one of the fingerprinting methods aimed to understand the unknown microbial community. The method was invented by Liu et al. (1997). This method includes fluorescent labeling of end of PCR products and restriction digestion of the PCR products of different variants of a single gene. For amplification one or both primers should have their 5' end labeled with a fluorochrome molecule. Fluorescent molecules, namely, TAMARA, HEX, or 6-FAM, can be used for 5' end labeling. The mixture of amplicon is subjected to restriction digestion by using one or more restriction enzymes (generally tetra cutter). After the restriction digestion, fragments are separated in a DNA sequencer either by capillary or by polyacrylamide electrophoresis, and the fluorescence detector in the DNA sequencer determines the sizes of the different terminal fragments (Osborn et al. 2000). Due to the use of dye, only fluorescently labeled terminal fragments are detected and determined, while all other fragments are not considered. Therefore, T- RFLP method is different from ARDRA or RFLP where all the fragments are visualized. This procedure also includes purification of PCR product before performing restriction digestion or if a capillary electrophoresis is being used, then before running the sample desalting is also done. The obtained results will be found in graph form, called electropherogram, where Y axis denotes the fluorescence intensity of each fragment and X axis denotes the fragment size. Thus, the bands which appear on an electrophoresis gel are visualized as a peak on the electropherogram. In a T-RFLP, each genetic variant in the original

sample is supposed to represent as a single peak, whereas peak height and area represent its relative abundance in a particular community.

#### 2.3.2.5 Single-Strand Conformation Polymorphism (SSCP)

It is a simple and sensitive method to detect polymorphism in DNA. It utilizes the variation in single nucleotide sequences of identical length that can arise under certain conditions such as mutation or single nucleotide polymorphism. This allows separation of different fragments due to their different conformation by using gel electrophoresis and ultimately helps in distinguishing different sequences. The technique was first described by Masato Orita et al. (1989). In SSCP, the environmental DNA is first amplified using PCR and then denatured. After denaturation, single-stranded DNA is separated on polyacrylamide gel (Schwieger and Tebbe 1998). Even a minute difference (often a single base pair) can result into different secondary structures, migrating differently in the gel leading to separation of different sequences in the form of different bands. The technique works on the principle that under non-denaturing conditions, DNA can form different secondary structures based on specific sequences. Molecules having a minute difference like single base substitution may generate different conformers and migrate differently in non-denaturing polyacrylamide gel (Sheffield et al. 1993). Goszczynski (2007; Goszczynski and Jooste 2015) used SSCP method to check the heterogeneity of grape wine virus A and found that it is a rapid and relatively low-cost preliminary analysis of molecular heterogeneity of viruses. Generally, bacteria are involved in spoilage of processed food products, but some fungi are also responsible for this. Dorn-in et al. (2013) tested the presence of fungi in heat-processed meat product using PCR-SSCP. The result shows the presence of Aureobasidium pullulans, C. tropicalis, C. zeylanoides, and Pichia membranifaciens and/or species such as Guignardia mangiferae, Lewia infectoria, and Lasiodiplodia theobromae. Pure cultures of Pseudomonas fluorescens, Sinorhizobium meliloti, and Bacillus subtilis have also been successfully differentiated using SSCP (Schwieger and Tebbe 1998).

#### 2.3.2.6 Ribosomal Intergenic Spacer Analysis (RISA)

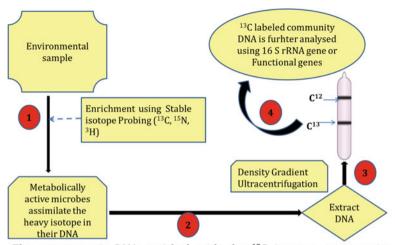
In this method PCR amplification of a region of 16S rRNA gene known as an ISR, i.e., intergenic spacer region, is generally done. Spacer region is found between large 23S and small 16S subunit of rRNA operon. A significant heterogeneity in ISR region in terms of nucleotide and length is noted. RISA fragments can be generated with the help of oligonucleotide primers which are complimentary to 23S and 16S rRNA genes. The resulting PCR products will be a mixture of fragments representing many dominant community members. These fragments are representing most of the dominant bacteria in an environmental sample. RISA is used originally to explore microbial diversity in soils. It is also used for monitoring microbial community composition in anaerobic treatment plants or bioreactors (Ciesielski et al. 2013). Besides, an automated form of RISA, i.e., ARISA, was used for bacterial community composition analysis of freshwater system (Fisher and Triplett 1999). Although RISA is one of the virtuous methods for the analysis of microbial community composition, the limitation of this method is the same as

conventional PCR like primer mismatch, annealing timing, DNA concentration and quality, etc. ARISA techniques were used to detect the presence of different types of *Clostridium* species in raw tank milk and curd used for cheese production in dairies situated in different parts of Northern Padan Plain (Feligini et al. 2015).

#### 2.4 Modern Molecular Methods of Microbial Community Analysis

#### 2.4.1 Stable-Isotope Probing (SIP)

Stable-isotope probing (SIP) technique has become the state of the art in microbial ecology for identifying and detecting microorganisms that are actively involved in specific metabolic processes and elemental fluxes taking place in environmental samples in order to effectively link the taxonomic identity with function (Vogt et al. 2016). This is the most widely employed technique to identify and characterize active community members or specific functional groups of microbial communities that are capable of utilizing specific isotopic-labeled substrates. SIP basically tracks the incorporation of heavy stable isotopes by incubating an environmental sample to substrates containing <sup>13</sup>C, nitrogen (<sup>15</sup>N), <sup>3</sup>H, and <sup>18</sup>O that are assimilated into microbial biomass of environmental samples. The isotopically labeled carbon/ hydrogen/nitrogen from the substrate gets incorporated into the biomass (particularly DNA, RNA, and proteins) of the active microorganisms in the sample and serves as biomarkers of active community members. After stable isotopes have been assimilated in the environmental sample and metabolically active cells, the label goes into their biomass including DNA, RNA, lipid, and proteins. These labeled biomolecules serve as biomarkers, which are recovered and analyzed using various techniques like fingerprinting, microarrays, clone libraries, metagenomics, and nextgeneration sequencing (Uhlik et al. 2013). Depending on the type of the isotopic label incorporated and biomarker recovered as a target in a particular study, the SIP can be categorized as DNA-SIP, RNA-SIP, and protein-SIP. However, DNA-SIP, using <sup>13</sup>C-based isotopic labels, has been the most extensively used strategy to decipher the microbial populations with a defined function in different sorts of environmental samples (Radajewski et al. 2002; Neufeld et al. 2008; Antony et al. 2010; DeRito et al. 2005; Zhang et al. 2016). The advantage of the DNA-SIP-based studies is that the recovered labeled DNA after the SIP experiment can be subjected to several downstream analyses. A great wealth of significant genetic information is provided by the metagenomes of organisms which include characterization of metabolism-related functional genes and also the ribosomal genes using various fingerprinting-based approaches (e.g., denaturing gradient gel electrophoresis (DGGE), clone libraries, metagenomic libraries, and high-throughput sequencing). A typical DNA-SIP experiment begins with incubation of an environmental sample with labeled substrate in microcosm, maintaining the environmental conditions to the mimicking extent. Incubations for DNA-SIP can be performed either in vitro using laboratory microcosms constructed from field-collected samples (Wald et al.



The metagenomic DNA enriched with the <sup>13</sup>C isotope representing functionally active microbes is separated from the normal community DNA (<sup>12</sup>C-DNA) by CsCl gradient centrifugation and subsequently analysed using any of the DNA based technique.

Fig. 2.1 An outline of a typical DNA-SIP experiment

2015; Paes et al. 2015) or in situ using incubation directly in soil or sediment (Liou et al. 2008; Key et al. 2013). Substrate concentration and exposure time always remain to decide critical factors and hence must be for sufficient time so that detectable amount of label gets incorporated in the sample. However, care must be taken to avoid excessive labeling, as the label may rapidly spread, via trophic interactions and cross-feeding (Neufeld et al. 2007). The control samples exposed to the unlabeled substrate should always be included in order to confirm that the DNA recognized as being isotopically labeled is truly the result of labeling with stable isotope and not due to the difference in GC content. The outline flowchart of a representative DNA-SIP experiment is presented in Fig. 2.1; however, the details of all the requirements, steps involved in DNA-SIP protocol, and critical technical considerations can be found in Neufeld et al. (2007) and Dunford and Neufeld (2010).

Several studies suggest the superiority of RNA as biomarker in SIP (RNA-based SIP or RNA-SIP) due to the remarkable attributes of RNA like higher synthesis rate, direct reflection of cellular activity, replication-independent turnover in the cell, and more responsiveness to environmental conditions (Manefield et al. 2002; Whiteley et al. 2007). RNA-SIP is technically more demanding as RNA-SIP requires a more rigorous procedure for gradient evaluation than DNA-SIP. RNA-SIP cannot be performed in cesium chloride (CsCl) gradient media, as CsCl itself precipitates at the buoyant density required for rRNA, and hence, the use of cesium trifluoroacetate (CsTFA) can be a better choice for gradient formation (Rickwood 1992; Manefield et al. 2002). RNA-SIP has been successfully used to decipher the active community

members in various types of environmental samples like bioreactor sludge (Manefield et al. 2002), paddy soil (Lueders et al. 2004), rice rhizosphere (Lu and Conrad 2005), and grassland soil (Rangel-Castro et al. 2005).

Nucleotide-based SIP approaches like DNA-SIP and RNA-SIP strictly require an incorporation of around 20% <sup>13</sup>C label (Radajewski et al. 2000), while protein-SIPbased approach is 200-fold more superior in sensitivity and can detect incorporation levels of <sup>13</sup>C below 1% (Taubert et al. 2011). In a typical protein-SIP-based study, the environmental samples are incubated with stable isotopes (<sup>13</sup>C, <sup>15</sup>N, <sup>36</sup>S) which are subsequently incorporated into the amino acids, peptides, and proteins. The amount of atoms replaced by their heavy isotopes changes the natural isotope composition of the labeled peptides. The rate of incorporation of stable isotopes is used for assessing the metabolic activity of the corresponding species (Jehmlich et al. 2008, 2012). The incorporation of a heavy isotope in the proteins is detected by high-resolution mass spectrometry (HRMS) and nano-secondary ionization mass spectrometry (nano-SIMS). The subsequent analysis takes into account the relative isotopic abundance (RIA), and calculation of the RIA is either done by analysis of the distribution of different isotope patterns of the peptides or based on features of the peptide mass such as the relation of the parent mass to the first two digits (Seifert et al. 2012).

#### 2.4.2 FISH (Fluorescence In Situ Hybridization)

FISH is an excellent technique for reliable and rapid identification of microorganisms from environmental samples, and prokaryotic cells can rapidly be identified without cultivation using FISH. FISH involves hybridization of oligodeoxynucleotide complementary to ribosomal RNA sequences (rRNA-targeted nucleic acid probes) that have phylogenetic group-specific sequence signatures. In laboratory protocol of FISH, whole cells from environmental samples to be studied are often fixed by ethanol or paraformaldehyde treatment, and their 16S or 23S rRNA is hybridized with fluorescently labeled taxon-specific oligonucleotide probes. The labeled cells are viewed by scanning confocal laser microscopy (SCLM) (Hill et al. 2000). The abundance of ribosomes (104–105) per cell and consequent abundance of rRNA gene in bacterial cell, apparently observed lack of lateral gene transfers, and a good length of about 1500 and 3000 nucleotides for 16S and 23S, respectively, serve as a basis for hybridization of group-specific fluorescent probes complimentary to rRNA gene. Further, the parameters, such as probe length, GC content, and targeted region of the gene, are the crucial factors for deciding the sequence of the correct species and genus-specific 16S/23S rRNA probes. A workflow of the typical FISH experiment on environmental samples is presented in Fig. 2.2. As in FISH experiment, whole cells are hybridized with group-specific probes, the artifacts and bias introduced due to the DNA extraction, PCR artifacts, and cloning are avoided (Felske et al. 1998). FISH has been successfully applied to study the microbial community composition of different environmental samples (Müller et al. 2016; Kindaichi et al. 2016), and several studies are reported in soil

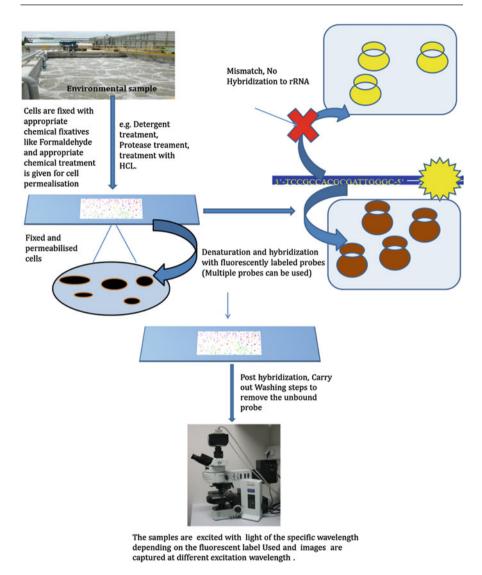


Fig. 2.2 A flowchart of the fundamental steps involved in a typical FISH protocol

samples. For instance, Zarda et al. (1997) used Cy3-labeled rRNA-targeted oligonucleotide probe "EUB338" to study the community structure of pristine forest soil of "Hau" (an aquic eutrochrept bulk soil) and revealed predominance of microbial members of  $\alpha$ - and  $\delta$ -subdivision of *Proteobacteria* and the *Planctomycetes* in targeted soil samples.

#### 2.4.3 Microautoradiography

Microautoradiography involves the incubation of the environmental sample with a radiotracer for labeling of the microbial cell using radioisotopic compounds like soft beta emitters (<sup>3</sup>H, <sup>14</sup>C, <sup>33</sup>P) or strong beta emitter like <sup>32</sup>P (Rogers et al. 2007). After incubation, the samples are fixed in paraformaldehyde or ethanol and washed to remove surplus radiotracer. The radiolabeled substrate is subsequently taken up by individual cells and releases beta decay particles that are used to develop the pattern using a radiation-sensitive emulsion silver halide emulsion. Excited silver ions will precipitate as metallic silver and will appear black grains after the development of the film. These beta decay particles reduce the silver ions in silver halide crystals to silver atoms generating silver grain clumps adjacent to radioactive cells. These silver grain clumps, so developed, can be easily seen clearly using transmission light microscopy/bright-field microscopy/phase-contrast microscopy/LSM (laser scanning microscopy). Microautoradiography is often combined with fluorescence in situ hybridization (MAR-FISH) which uses oligonucleotide probes for identification of the microorganisms in order to link the key metabolic features to the identity of the microorganism (Ouverney and Fuhrman 1999). The key determinant of a successful microautoradiography experiment is the exposure times which may range from a few hours to days and weeks depending on many factors which include the decay rate of the radioisotope used, substrate concentration labeled, isotope uptake rate, etc. Further, the cross-feeding of the labeled tracer may sometimes give erroneous interpretation. For instance, anticipated incorporation of labels specifically targeted for glucose-consuming bacteria, in certain conditions, can be incorporated and can cross-feed to other nontarget bacterial groups who cross-feed on labeled products produced by certain glucose-consuming bacteria and may give false-positive MAR signal. The microautoradiography, either alone or in conjunction with FISH, has been used to decipher the many aspects of soil microbial community structure and functions (Varró et al. 1986; Rogers et al. 2007; O'Donnell et al. 2007; Karbin et al. 2015).

#### 2.4.4 DNA Microarrays

Deoxyribonucleic acid microarrays (also called DNA chips) were originally devised for the studies of differential gene expression in health-related issues, but their applications have also been extrapolated for the environmental studies like differential gene expression in response to environmental pollutants (Letowski et al. 2003). The forms of nucleic acid microarray chip, so-called GeoChips and PhyloChips, have been used to probe the microbial communities in various environmental samples (Asuming-Brempong 2012). In a DNA microarray experiment, expression of thousands of genes can be compared in two contrasting situations at the same time on the same chip. The basic principle of DNA microarrays involves hybridizations of two complementary, single-stranded regions of two DNA molecules, i.e., target and probe DNA molecules (usually either one is labeled with fluorescent dyes like Cy3 and Cy5), retrieved from two contrasting conditions. The hybridization events can be detected, owing to the labeling of the bound complementary target, by highresolution scanning or imaging. A DNA microarray experiment is typically like the tradition nitrocellulose membrane hybridization experiment, but the probe and target relation in DNA microarray are reversed. In tradition hybridization experiments, the free probe (which is known) is usually labeled and the fixed target DNA (unknown) is not, while in a DNA microarray experiment, fixed DNA target (known sequences) is unlabeled and target DNA (unknown) is the labeled one. In microarray experiments, the testing substance is attached on the chip; hence some researcher prefers to call it a probe (by analogy to conventional hybridization experiments), as free DNA that we are querying is labeled (Zhou and Thompson 2002). In a typically DNA microarray experiment, the various experimental parameters like optimal probe concentration, its length, type of probe to use (i.e., oligonucleotide or amplicon), detection, and specificity limits to be expected have to be optimized, and further the interpretation and normalization of data to be reported are deciding factors and have to be considered while executing the experiment. The sensitivity of microarrays is always a critical factor. The oligonucleotide microarray displays higher sensitivity as reported by Small and co-workers, in their study on unpurified soil extracts. They were able to detect the G. chapellei SSU rRNA gene using  $\sim 0.5 \ \mu g$  of total RNA extracted from soils (Small et al. 2001).

#### 2.4.5 Isotope Arrays

The isotope array is a very important technique in microbial ecology which is ideally suited to screen the microbial populations consuming particular substrates, even if no previous knowledge is available about such microbes. The technique basically involves incubating an environmental sample with a labeled substrate like <sup>14</sup>Clabeled substrate, followed by the extraction of RNA from the samples, which is then labeled with a fluorophore and used to hybridize with an oligonucleotide array that targets 16S rRNA gene of the bacteria of interest (Adamczyk et al. 2003). Posthybridization, the array is scanned for fluorescence and incorporation of the radioactive isotope in order to determine which community members have incorporated the <sup>14</sup>C isotope into their RNA. The same probe which gives positive fluorescence signal and displays the incorporation of radiotracer can be retested by applying them with FISH-MAR with the same environmental samples (Hesselsoe et al. 2009). In their pioneer study based on combination of microarrays with the uptake of radioactive substrates, Adamczyk et al. (2003) were able to establish <sup>14</sup>CO<sub>2</sub> fixation activities of the ammonia-oxidizing bacterial population within a complex activated sludge community, with identification and function of microorganisms in activated sludge. This method first time showed great isotope arrays as a technique that could simultaneously detect the composition and activity of specific populations of bacteria within wastewater treatment communities.

#### 2.4.6 Quantitative PCR

Quantitative PCR, also called real-time polymerase chain reaction, is essentially based on analysis of specific DNA from an environmental sample which provides the estimate of number of genomes of a particular microbe per unit volume of the sample (Bustin et al. 2005; Smith and Osborn 2009). It also allows the quantification of the number of target genes in a community sample. Unlike conventional PCR, in the qPCR, a specific targeted DNA sequence is amplified and quantified simultaneously in real time, with progress of amplification reaction. The value so obtained corresponds to the number of genome in water sample, but does not give directly the number of cells. Several bacteria contain more than one copy of marker gene as rRNA genes and hence complicate the analysis. qPCR uses either intercalating fluorescent dyes such as SYBR Green or fluorescent probes (TaqMan probes, molecular beacons, scorpion probes, etc.) in order to measure the accumulation of PCR amplicons in real time as the amplification progresses. Several primers for the amplification of 16S rRNA, 5.8S rRNA, ITS gene, and functional genes (amoA, pmoA, norS, and dsrA) specific to ammonia oxidizers, methane oxidizers, and sulfate have been designed and employed in PCR-based quantification of soil bacterial and fungal microbial communities (Fierer et al. 2005; Foti et al. 2007). In a qPCR-based study, Kolb et al. (2003) reported the abundance of total methanotrophic population and specific groups of methanotrophs in a flooded rice field soil by qPCR assay of the pmoA genes.

#### 2.4.7 Microbial Lipid Analysis

Phospholipid fatty acid (PLFA) analysis has been successfully used as a cultureindependent method of assessing the structure of microbial communities in different environments (White et al. 2003; Keinänen et al. 2004; Goupil et al. 2015; Yao et al. 2016). The membranes of microorganisms have phospholipids which contain fatty acids; these phospholipid fatty acids are potentially useful signature molecules and are used to obtain microbial community fingerprints. Further, phospholipids are known to be present exclusively in cell membranes which are rapidly degraded following cell death and hence serve as important indicators of active microbial biomass. PLFA (phospholipid fatty acids) can be easily extracted from microbial cells in soil, and their fatty acid methyl esters (FAMEs) are accepted taxonomic discriminators for species identification which serve the basis for elucidating presence and abundance of a particular microbial group in community PLFA profiling. PLFA profile of a particular soil type can be used to link it with soil associated with particular cropping practices (Zelles et al. 1995) and can also be used to track pollution (Frostegard et al. 1993) and changes in soil quality (Reichardt et al. 1997; Bossio et al. 1998; Petersen et al. 1998). Bossio et al. (1998) studied two contrasted soil regimes, i.e., organically managed soils and soils receiving synthetic fertilizers and pesticides, and observed significantly different PLFA profiles in two

regimes with greater diversity and abundance of aerobic bacteria, cyanobacteria, and methane-oxidizing bacteria in organically managed soil.

#### 2.4.8 Cutting-Edge High-Throughput Sequencing for Community Structure Analysis

The high-throughput sequencing is definitely cutting the edge for community structure which is allowing us to get deeper insights into microbial community structure and their function in maintaining the major biogeochemical cycles of different ecosystems including soil, water, deep biosphere, etc. The sequencing technology has come a long way since the days of electrophoresis in the 1970s. With the advent of Maxam-Gilbert method also known as chemical sequencing method and Sanger chain termination method in 1977, scientists gained the ability to sequence DNA in a reliable manner. This method prevailed from the 1980s until the mid-2000. Because of its comparative ease and reliability, it is the method used in first-generation technology.

While these "first-generation" sequencing platforms are considered high throughput for their time, the short read massively parallel sequencing technique is a different approach that revolutionized sequence capabilities and launched "next generation" into genomic science. NGS is a term used to describe a number of various modern sequencing methods like Roche/454 GS, Solexa/Illumina, SOLiD, and Ion Torrent. It is because of NGS that researchers can now analyze thousands to tens of samples in a single year. NGS applies to genome sequencing/resequencing, transcriptomics (RNA-sequencing), ChIP sequencing, and epigenome characterization. The first NGS technology to be commercialized in 2005 is the pyrosequencing technique of 454 Life Sciences (now Roche) (Margulies et al. 2005). After that, Solexa/Illumina (2007), Sequencing by Oligonucleotide Ligation and Detection (SOLiD) by Applied Biosystems (2007), and Ion Torrent Personal Genome Machine (PGM) (2010) are commercialized (Bentley 2006; Metzker 2010). Besides, Qiagenintelligent bio-systems sequencing, polony sequencing, and a single-molecule detection system (Helicos BioSciences) were also developed. Here, we discuss the five platforms, i.e., 454, Illumina, SOLiD, Ion Torrent, and PacBio, which are routinely used for high-throughput sequencing over the past decade.

#### 2.4.8.1 Roche/454 GS

The method was developed by 454 Life Sciences (2004) and then overtaken by Roche Diagnostics. This sequencing method is based on the "sequencing by synthesis" principle. Here a single-stranded DNA is replicated to dsDNA by a polymerase enzyme. The enzyme sequentially added bases at the end of the DNA fragment. This process takes place inside the sequencing machine which contains many picoliter-volume wells. Each well contained single bead and sequencing enzymes. The sequencing process begins with the fragmentation of dsDNA into smaller fragments of DNA around 400–600 base pairs with the help of some restriction enzymes (Metzker 2010). Adapters (short sequence of DNA) are attached to the DNA

fragments, and tiny resin beads are added to the mixture. The adaptor sequences complementary bind with template DNA which helps DNA fragments to bind directly to the beads. The DNA fragments are polymerized numerous times by polymerase chain reaction on each bead. Beads without sequence are filtered to remove, and the remaining DNA-containing beads are placed into wells on a sequencing plate for sequencing. Nucleotides are added to the wells in turns of one type of base at a time, i.e., A's followed by C's, G's, and T's. After single base incorporation into the nascent DNA, the chemical signals, i.e., light generated by luciferase enzyme, are converted into light that is recorded by CCD camera. The intensity of light varies proportionally with the consecutive number of nucleotides being analyzed (Mardis 2008). To decide the sequence of the original piece of DNA, this pattern of light intensity is plotted on the graph.

#### 2.4.8.2 Solexa/Illumina

This technology is worked based on sequencing-by-synthesis method using reversible dye termination nucleotides. Along with DNA polymerase, all four fluorescent label nucleotides are added consecutively to the flow cell channels to sequence millions of clusters on the flow surface. The DNA is randomly fragmented (200–600 base pairs), and adapters are ligated to the end of the fragments. Unlabeled nucleotides and DNA polymerase are added to join the DNA strands which create "bridges" between dsDNA. Using heating, dsDNA is denatured into single-stranded DNA. The denaturation step leaves several millions of dense clusters of DNA that are produced in each flow channel. After that, the sequencing cycles are begun by adding primer, DNA polymerase, and four labeled reversible terminators (Mardis 2008). Using laser excitation, the emitted fluorescence from each cluster are captured and bases are identified. In Illumina sequencing, DNA sequence is analyzed base by base, making it a highly accurate method (Kozich et al. 2013).

#### 2.4.8.3 Life Technologies SOLiD

Sequencing by Oligonucleotide Ligation and Detection (SOLiD) technology is developed by Life Technologies (2006). SOLiD is done by ligation and dual base encoding. The high accuracy of the SOLiD system allows analysis of samples across a wide range of applications. Two types of sample preparation method are present in SOLiD, i.e., fragment library (single DNA fragment) and mate-paired library (two DNA fragments). In both libraries, DNA is sheared into specific size and adapters are ligated to both the ends. There are millions of unique molecules in the library which represent the entire target sequence. In emulsion PCR, all the molecules associated with beads are clonally amplified. On the SOLiD system, the template-attached beads are combined with a universal sequencing primer, ligase, and a large pool of di-base probes. The di-base probes consist of a set of four fluorescently labeled nucleotides. The complementary probe hybridizes to the template and is ligated. After fluorescence is measured, the dye is cleaved from the 5'-phosphate group, and 5' end is available for further reactions. This process is repeated several times (approximately seven cycles) to yield a 35 bp long read. The previously synthesized

strand is removed. A new primer is hybridized, and base addition and the ligation cycles are repeated.

#### 2.4.8.4 Benchtop Sequencers

The next-generation sequencing (NGS) portfolio was expanded by Life Technologies; this system also uses the technology of sequencing by synthesis. But this technology differs from the previous one; instead of fluorescence it measures the  $H^+$  ion release during base incorporation. Chemical signals are directly transferred into digital information in Ion Torrent PGM machine. The first step in Ion Torrent PGM workflow is library construction. A library of DNA fragments is generated that is flanked by Ion Torrent adapters. The DNA fragments generated during library preparation are amplified onto Ion Sphere particles (beads). Amplification is accomplished by emulsion PCR, and Ion Sphere particles coated with the template are deposited in the chip wells. The template-loaded chip is placed on the Ion Torrent PGM sequencer. The data in Ion Torrent PGM runs through signal processing and base calling algorithms associated with individual reads. Individual bases are introduced one at a time and are incorporated by DNA polymerase. For each base incorporation, a proton is released that results in pH change. Every microwell of the PGM contains approximately one million copies of DNA. The pH change in every individual well is detected by ion sensor, which transforms the chemical changes into digital information. The chip records two bases if the voltage is doubled by the detection of two identical nucleotides. The generated output files of Ion Torrent system can be viewed and downloaded in sff, fastq, or sam/bam data formats.

#### 2.4.8.5 Single-Molecule Real-Time Sequencing/Pacific Biosciences

Owing to single-cell sequencing technology, now it is possible to sequence a single stretch of DNA molecule extracted from a single or unique cell. Till date genome sequencing has mainly become possible from a large number of template DNA extracted from a culture of homogeneous bacterial population rather than a single cell. The technology does not need any prior PCR amplification of DNA fragments. The single-molecule real-time (SMRT<sup>TM</sup>) DNA sequencing technology was industrialized by Pacific Biosciences. This technology enables a new paradigm in the genomic analysis by delivering longer reads and built-in flexibility. SMRT sequencing is built upon two key innovations, i.e., zero-mode waveguides (ZMWs), where light is illuminated at the bottom part of the well in which a template and DNA polymerase, phospholipid nucleotides complex is immobilized. SMRT sequencing relies on the principle of the single-molecule real-time sequencing performed in SMRT cells having millions of ZMWs. A ZMW is a cylindrical hole, hundreds of nanometers in diameter, performing a thin metal supported by a transparent substrate. The ZMW provides the world's smallest light detection volume. The DNA template is extended by the DNA polymerase with the fluorescently labeled dNTPs, and fluorescent tag which previously incorporated nucleotide is then cleaved off. The CCD camera captures this signal in a real-time PCR. This process runs in simultaneously/parallel up to thousands of ZMWs, which make up

Technologies	Pros	Cons
Roche/454	Low error rate	Medium/high start-up costs
GS		Must run at a large scale
	Medium read length (~400-	Relatively high costs per base
	600bp to 1 Kb)	It has difficulty in distinguishing the number of
	Cheaper and faster	bases in a run of identical bases (such as AAAA)
Solexa/	Low error rate	Must run at very large scale
Illumina	Lowest cost per base	Short read length (50–150 bp)
	Tons of data	Runs take multiple days
		High start-up costs
		De novo assembly difficult
SOLiD	Relatively accurate because each base is interrogated	Potential for error propagation across reads due to two-base encoding and sequential ligation
	twice	High instrument cost
	High throughput and low	Short reads
	cost per base	Relatively long run time
	Independent lanes can be run on 5500XL	
Ion Torrent	Low start-up costs	Read lengths only ~100–200 bp so far
PGM	Scalable (10–1000 Mb of data per run)	
	Low error rate	
	Fast runs (<3 hrs)	
	Medium/low cost per base	1
SMRT/	Can use single molecule as template	Medium/high cost per base
PacBio		High start-up costs
	Potential for very long reads (several 10Kb+)	

Table 2.2 Pros and cons of all the next-generation sequencing platform

the SMRT cell. All the advantages and disadvantages of these next-generation techniques are presented in Table 2.2.

#### 2.5 Conclusion and Future Scope

The constant improvement of molecular techniques, sequencing technology, and bioinformatics revolutionizes the field of soil microbial ecology, i.e., the identification of total microbial community structure, understanding the link between diversity and community structure and function. Almost a decade of the study in metagenomic techniques showed its ability to identify novel and rare unculturable organisms and their function in maintaining the major biogeochemical cycle and soil quality. Due to the higher throughput of data produced by "omics" studies, these approaches are gaining momentum, and more and more reported scientific studies are centered on these high-throughput approaches. However, the conventional

techniques of microbial community analysis still remain important in the view that many findings of the high-throughput studies need to be validated and substantiated using conventional techniques like qPCR, FISH, and autoradiography. However, the integrated view of community dynamics can be generated by system biology approach which requires integration of data from various conventional and "omics" approaches, i.e., metagenomics, metatranscriptomics, metaproteomics, and meta-metabolomics. Since all different omics approaches provide biological information available at different levels, hence all the approaches have their own advantages and limitations discussed in-depth in further chapters. Therefore, complementing all the "omics" approaches with on another provides a better insight into functional and the physiological state of the microbial communities of a system. Therefore, use of multi-omics approaches for answering microbial ecology-related question and improvement of bioinformatics pipeline for the large data analysis and interpretation will be highly desirable in the future.

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# 'Omics' Tools in Soil Microbiology: The State of the Art

Rimi Biswas and Angana Sarkar

#### Abstract

The soil being the most heterogeneous substance hosts the dynamic environments for diverse microorganisms. Traditional techniques are limited to explore only few portion of massive unknown soil microbial world due to their well-known biasness in detecting microbial genetics and functional diversity. With this respect, omics targets the powerful genomics, metagenomics, transcriptomics, proteomics and metabolomic tools to explore the vast microbial community, new biomolecules and novel pathways. It helps to better understand the toxicity mechanisms, predicts the risks associated with environmental toxicity and aids in bioprospecting of value-added products. These new approaches will be useful to establish the linkage between structure and function of soil microbial community and help to get better insight of the ecological processes in the environment with special emphasis on plant-microbe ecosystems. The present chapter will give an overview of the application of the advanced molecular tools as well as their potentials and limitations in studying the soil microbial ecology.

#### Keywords

Omics · Soil · Bacteria · Microbiology · Ecology

R. Biswas · A. Sarkar (⊠) Department of Biotechnology and Medical Engineering, National Institute of Technology Rourkela, Rourkela, Odisha, India e-mail: sarkara@nitrkl.ac.in

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## 3.1 Introduction: Basic Concepts of 'Omics': Beyond Sequencing

## 3.1.1 Overview

Soil is the crucial part of our ecosystem having enormous potential of supporting microbial growth, nutrient enhancement and contaminant degradation if sustained naturally in its pristine condition. Soil microbial communities play a crucial role in plant-microbe interaction by cycling the essential nutrients through mineralization and by decomposition of organic matter. These communities influence the soil's biogeochemical cycle by altering the nutrient availability through solubilization, chelation and oxidation/reduction processes. The soil being the most heterogeneous has a dynamic environment for the growth of different organisms including prokaryotes as well as archaea, viruses, algae and fungi. Hence, it hosts a microbial population of immense diversity which leads to a high degree of competition, predation and parasitism among the microorganisms. On the other hand, the physical and chemical components of the natural habitat may sometimes play as the limiting factor as the soil particle size is generally inversely proportional to the number and diversity of prokaryotes in the soil (Sessitsch et al. 2001). The temperature, pH, organic matter and the moisture content of the soil also play an important role in shaping the microbial communities of each species (Hassink et al. 1993). Appropriate understanding of the soil ecosystem demands clarity of the three most fundamental questions: (i) who are they? (ii) what are they doing? and (iii) how are they interacting with the soil ecosystem? The genome-enabled modern molecular tools aim to answer these central questions with the help of its five fingers which include genomics, metagenomics, metaproteomics, metatranscriptomics and metabolomics. A combined effort of these tools is bringing in the new epoch of unrevealed microbial world. Rapid advancement in the field of 'Omics' technologies has led to an increased level of understanding the soil microbial community and its associated counterparts. Metagenomics has an unprecedented upper hand in comparison with the traditional culture techniques as it can detect a wider and a specific range of microorganisms including the unculturable ones. Therefore, molecular methods together with next-generation sequencing techniques can potentially provide a more reliable, effective and environmental friendly technology in detecting microbial cultures and their related activities in the soil. Different combinations of 'Omics' tools along with various bioinformatic approaches increase the study of integrated activity patterns and the growth of the soil microorganisms among themselves as well as the metabolism of plants associated with them (Mocalli and Benedetti 2010).

The term 'Omics' refers to the quantification or characterization of a set of molecules which are generally biological in nature to detect the function, structure, physiology and the molecular mechanisms of a set of organisms (Yun-Feng 2013). Metagenomics aims at the study of such organisms whose genetic materials can directly be assessed from the samples recovered from the environment, whereas proteomics and transcriptomics are the study of the structure, function and

components of different proteins and RNA molecules. Nowadays most of the soils are contaminated due to the deposition of heavy metals in variable concentrations and indefinite compositions. The role of soil microbes and their mechanism of action to restore the contaminated sites has since been the foremost factor for the bioremediation of polluted soils. Microarray-based metagenomics and sequencing is the most saturated approach among all the metagenomic studies which provides a clear and transparent information regarding other 'Omics' technologies (Yun Feng 2013). Microbes serve as an important source of naturally occurring enzymes and secondary metabolites such as antibiotics. Therefore, to overcome the difficulty in cultivation for the majority of the microbes, intensive efforts are being made by increasing the time of incubation and properly simulating the naturally occurring environment along with the encapsulation of microbes which allows them to form physically separate groups and continue their molecular exchanges with the environment.

#### 3.1.2 Application of 'Omics' in Soil System

Omics-based data comprehensively delivers a snapshot of the expressions of relevant genes and proteins as well as of the metabolite pattern which overall can provide a deeper insight into activities of the organisms with respect to its interaction with the soil microenvironment. Applications of Omics technologies in the soil system resulted in the emergence of a new arena. Omics technologies comprising of genomics, metagenomics, transcriptomics, proteomics and metabolomics provide ample in-depth information for a detailed understanding of the soil microbial community, their functional genetic regulatory factors, mode of toxicity and mechanisms of their interactions (Garbeva et al. 2004) (Fig. 3.1). Application of Omics is still in its early stage of development and hence needs some continuous improvement for better understanding of soil biota and their biogeochemical cycling (Fig. 3.1 and Table 3.1).

The diversity and activity of microorganisms in the Earth's biosphere is revealed in microbial ecology. In the last two decades, the application of advance genomic tools has revolutionized microbial ecological studies drastically and expanded our assessment on the previously unexplored microbial world. In the last few years, researchers have focused on the projects related to environmental effect monitoring using different culture-dependent and culture-independent molecular approaches (Bastida et al. 2009). Furthermore, metagenomics techniques have allowed rapid exploration of bacterial community structure and their functions in diverse soil ecosystem (Riesenfeld et al. 2004). The application of modern molecular tools not only refers to studies involving just single macromolecules, but actually involves the study of complete cellular pathways of entire organisms (Altschul et al. 1990). Fortunately, worldwide genome sequencing projects are producing incredible amount of sequencing data for analysing soil microbial community and their activities, but still the data generated is not sufficient enough to fill the knowledge gap for analysing enormous soil microbial diversity.

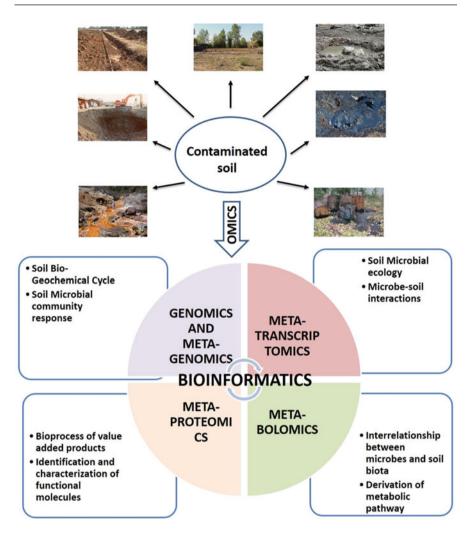


Fig. 3.1 Application of Omics tools in soil ecology: a conceptual framework

Nowadays bioremediation using microbes has become one of the most effective, cost-competitive and eco-friendly way of reducing contaminants, anthropogens and toxins from the polluted sites. A better assessment of the soil functionality along with its physiological characterization can be done using metagenomics, transcriptomics and proteomics in combination with various bioinformatic approaches. Rapid advances in the field of second-generation or next-generation sequencing have led to the sole dependency of metagenomics on these techniques. Based on pyrosequencing and sequencing by synthesis technology, a genome sequencer called Roche 454 was the first commercially available sequencer in this field. Presently junior system and FS FLX system are the versions available for

Tools	Analysis	Isolation source	References
Genomics	Colonization of wheat roots by a <i>Pantoea agglomerans</i> strain	Moroccan vertisol	Amellal et al. (1998)
	Soybean rhizobia: Genetic characterization	Alto Parana, Itapua, Paraguay	Chen et al. (2000)
	Genetic characterization of atrazine-degrading <i>Pseudaminobacter</i>	French and Canadian agricultural soils	Topp et al. (2000)
	Detection of archaea among other uncultivated lineages	Garden soil	Quaiser et al. (2002)
	Evidence of acidobacteria using environmental genomics	Calcareous grassland soil	Quaiser et al. (2003)
	Genomic studies of archaea using large insert DNA libraries from soil	Sandy and forest soil	Treusch et al. (2004)
	Study of uncultivated archaea using genomic studies	Porous soil	Schleper et al. (2005)
	Phylogenetic and biogeographic diversity of Cyanidiales	Yellowstone National Park, Japan and New Zealand	Toplin et al. (2008)
	Draft genome sequence of a bacteria tolerant to heavy metals: <i>Caulobacter</i>	Subsurface sediments from Oak Ridge, TN	Utturkar et al. (2013)
	Application of single cell genomic analysis for the enrichment of root endophytic bacteria from <i>Populus</i> <i>deltoides</i>	Fertile agricultural soil	Utturkar et al. (2016)
	Breeding to root system using genomic approach to enhance rice production	Enriched fertile soil	Uga (2017)
	Mining of lignocellulosic- degrading enzymes from semiarid soil	Semiarid soil	Junior et al. (2017)
Metagenomics	Study of soil microbial functions and diversity	Temperate forest soil	Torvisk and Ovreas (2002)
	Indigo and indirubin production in <i>Escherichia coli</i> by characterization of a clone of forest soil metagenome	Jindong Valley, Korea	Lim et al. (2005)
	Study of the genetic diversity of archaea, bacteria, viruses and fungi in soil	Individual soil sample	Fierer et al. (2007)
	Expression of antifungal activity in <i>Escherichia coli</i> using metagenome gene cluster in forest soil	Forest soil	Chung et al. (2008)

**Table 3.1** Study of diverse soil organisms/microbial community using different advanced molecular tools

Tools	Analysis	Isolation source	References
	Isolation of metagenomic library from Antarctic topsoil followed by	Antarctic topsoil	Cieśliński et al. (2009)
	<i>Escherichia coli</i> carrying soil metagenomic genes produces intermediates of porphyrin	Rice paddy soil	Kim et al. (2009)
	Identification of lipolytic gene families using metagenomic libraries from soil samples	Forest and grassland soil, Germany	Nacke et al. (2011)
	Construction of metabolic pathways associated with C, N and S cycling	Mangrove forests in Brazil	Andreote et al. (2012)
	Soil metagenome studies	Nunavut, Canada	Yergeau et al (2012)
	Comparison of gene content by assembly of DNA sequences into larger fragments	Minnesota farm soil	Myrold et al. (2013)
	Identifying soil diversity using complex metagenomes	Farm soil	Howe et al. (2014)
	Effect of earthworm and biochar on microbial metagenomics	Fertile soil	Winding et a (2016)
	Analytic comparison of metagenomes	Agricultural soil, Italy	Gigliucci et al. (2017)
	Assessing microbial response to change in redox potential in ASS using metagenomics	Acid sulphate soil	Su et al. (2017)
Transcriptomics	Identification of genes responsible for plant-microbe interactions using transcriptomic profiling	Two varieties of sugar beet, Boston, USA	Mark et al. (2005)
	First metatranscriptome from soil	South Western France, <i>Pinus pinaster</i> plantation	Bailly et al. (2007)
	First shotgun metatranscriptome from soil	An Rotball, Germany, lawn	Urich et al. (2008)
	Functional and structural analysis of a soil microbial community using transcriptomics approach	Tropical forest soil	Urich and Schleper (2011)
	Transcriptomic analysis of Bacillus amyloliquefaciens FZB42 in exposure to maize root exudates	Axenic hydroponic cultures	Fan et al. (2012)

Tools	Analysis	Isolation source	References
	Analytical characterization of RNAs present in soybean libraries using metatranscriptomic approach	Field plantations and greenhouses	Molina et al. (2012)
	Functional transcripts constituted of transcripts that degrade plant constituents	Central France, Breuil- Chenue forests	Damon et al. (2012)
	Complex interaction of soil microbes with plants using transcriptome analysis	Semi-porous agricultural soil	Schenk et al. (2012)
	Interaction of <i>Pseudomonas</i> <i>aeruginosa</i> to a phosphate- deficient <i>Lolium perenne</i> rhizosphere: A transcriptional analysis	Manchester, UK	Zyśko et al. (2012)
	Transcriptome analysis of <i>Bacillus subtilis</i> OKB105 in exposure to rice seedlings	Axenic hydroponic cultures	Xie et al. (2015)
	Soil eukaryotic communities: A metatranscriptomic analysis	Grass soil sample	Yadav et al. (2016)
	Interpreting the relationship between bacterial species of <i>Methanothrix</i> and <i>Geobacter</i> using metatranscriptomic approach	Methanogenic rice paddy soils	Holmes et al. (2017)
	Identification of prokaryotic drivers of RNT in paddy soils using metatranscriptomic approach	Waterlogged paddy soils	Masuda et al. (2017)
	Analysing transcriptional responses of microbial communities on exposure to salt stress	Paddy soils	Peng et al. (2017)
Proteomics	Identification of eight enzymes involved in carbon cycling	Waldstein, Germany spruce forest	Schulze et al. (2005)
	Functional assessment and metaproteomic analysis of protein extracts from contaminated soil	Chlorinated soil	Benndorf et al. (2007)
	Application of proteomics to analyse the change in microbial communities in a hydrocarbon-polluted soil	Semiarid, diesel- contaminated soil	Bastida et al. (2010)
	Identification of 145 to 925 proteins using shotgun proteomics for amendment soils	Washington and California, laboratory study	Chourey et al (2010)

Tools	Analysis	Isolation source	References
	Effect of carbon amendment and methods of extraction on microbial proteins in soil	Quitman and Benfield soil	Taylor and Williams (2010)
	Identification of 16 proteins from toluene-amended soils, 8 from glucose-amended soils	Mississippi, agricultural soil	Williams et al. (2010)
	Comparative study on <i>Rehmannia glutinosa</i> - monocultured rhizosphere soil	Jiaozuo, Henan province, Central China	Wu et al. (2011)
	Effect of soil solid phases on the proteomic analysis of <i>Cupriavidus metallidurans</i>	Quartz sand, kaolinite, montmorillonite and artificial soil	Giagnoni et al. (2012)
	Analysis of ratoon sugarcane rhizospheric soil using metaproteomic approach	Ratoon sugarcane was regenerated from the germinating bud of the previous plant	Lin et al. (2013)
	Analysis of soils in semiarid environment using metaproteomic approach	Semiarid soil	Bastida et al. (2014)
	Metaproteomic analysis of Park Grass soil	Park Grass soil	Gerry et al. (2016)
	Interaction between bacterial functions within the rhizosphere of plants using metaproteomics	Serpentine soil	Mattarozzi et al. (2017)
	Application of functional metaproteomics in discovering bacterial biocatalysts	Fertile soil	Sukul et al. (2017)
	Quantification of biomass using metaproteomic approach	Alkaline soda soils	Kleiner et al. (2017)
Metabolomics	Detailed analysis of tobacco mosaic virus infection in <i>Nicotiana tabacum</i> leaves using metabolomic approach	Fertile soil	Choi et al. (2006)
	Analysis of methyl jasmonate-treated <i>Brassica</i> <i>rapa</i> leaves by two-dimensional NMR spectroscopy and metabolomics	Fertile agricultural soil	Liang et al. (2006)
	Analysis of the metabolomic response of <i>Brassica rapa</i> to preharvest bacterial contamination	Garden soil	Jahangir et al. (2008a)

Tools	Analysis	Isolation source	References
	Assessment of metabolite accumulation in <i>Brassica</i> <i>rapa</i>	Agricultural soil	Jahangir et al (2008b)
	Functional annotation of indigenous microbial genomes using carbon isotopomer-based metabolomics	Tropical and temperate forest soil	Fan et al. (2009)
	Metabolic response of tomato leaves on interaction with different pathogens	Garden soil	Gresa et al. (2010)
	Evaluation of the metabolic profile of tomato plants on long-term exposure to cadmium	Porous agricultural soil	Hédiji et al. (2010)
	Profiling of metabolites to record the responses of <i>Arabidopsis thaliana</i> to cadmium exposure	Artificial medium with variable Cd concentrations	Sun et al. (2010)
	Profiling of Arabidopsis seedlings in response to exogenous sinalbin and sulphur deficiency using metabolomic approach	Temperate soil	Zhang et al. (2011)
	Assessment of environmental pollution using metabolomic analysis of soil communities	Agricultural soil	Jones et al. (2013)
	Rhizospheric metabolomic analysis	Agricultural soil	Dam and Bouwmeester (2016)
	Analysis of fatty acid methyl esters in soil microbial communities using metabolomic approach	Temperate soil	Willers et al. (2016)
	Osmoprotection as strategy for drought tolerance in cowpea using metabolomics	Drought and well- watered soil	Goufo et al. (2017)
	Characterization of acid tolerance responses in <i>S. meliloti</i> : A metabolomic approach	Agricultural soil	Draghi et al. (2017)

Roche 454 genome sequencer which has a read capacity of 100 k and 1 M. In 2007, the most viable sequencers called Illumina sequencers were commercialized in the market as Solexa sequencers which use the principle of sequence by synthesis in combination with its amplification in a flow cell (Fedurco et al. 2006). On the other hand, whole genome sequencing of most of the organisms is not completely feasible due to technical difficulties and cost factors. Therefore, sequencing of the microbial importance mRNA has shredded immense light and especially metatranscriptomics. Random sequencing of the mRNA samples is performed along with the required computational tools which help in the proper assessment of the microbial communities. Gene discovery and the assessment of the in situ activity of the microbes in the soil further strengthen the potential of transcriptomics (Warnecke and Hess 2009).

The entire characterization of the physiology and the activity of the microbial community cannot be determined by just metagenomics and metatranscriptomics. Detection of the soil samples needs to be done also at the protein level which is known as metaproteomics. Proteins are responsible for all the structural and functional relationships in an organism, and hence metaproteomics serves as an important tool in the profiling of the microbial proteins in the soil microorganisms. In soil microbial communities, there are various ways of determining the relation between a gene and its function such as genotyping, post-translational modifications, comparative and quantitative genomics, protein-protein interactions and protein cataloguing. To decontaminate the environmental samples contaminated with metallic and organic pollutants, proteomics-based assay is the primary approach.

Metabolites are small chemical molecules found in living organisms which directly assess its physiological nature by signal communication or energy consumption (Gieger et al. 2008). The only disadvantage may be the differentiating physical and chemical properties of the metabolites such as its molecular weight and size, solubility, extraction and detection. Hence, it is important to integrate the information from other Omics technologies with metabolomics for a more accurate and precise assessment of the soil microbiota.

A highly diversified heterogeneous microenvironment is created by the soil microbial community. Omics breakthroughs have revolutionized the detection and assessment of such microbial biota to a great extent. Currently, the use of Omics tools for soil microbial community is in its infant phase, but with a proper bioinformatic approach and specific functional characterization, it can mould the way to an exciting era of environmental microbiology.

## 3.2 Molecular Tools for Soil Community Analysis: Past, Present and Future Tools

## 3.2.1 Traditional Tools

Traditional approaches mostly relied upon cultivated clonal cultures, followed by sequencing of cloned specific genes (e.g. 16S rRNA gene) to produce a profile of diversity in the natural sample. Culture-based techniques when implemented for assessing the microbial diversity and the community dynamics of the soil samples sometimes act in an extremely biased manner by selecting a specific group of organisms. Such works revealed that vast majority of microbial diversity had been missed by culture-dependent methods as unculturable microorganisms compromise the majority of the planet's biodiversity. Unculturable microorganisms represent two out of three domains of life. In many environments, more than 99% of the microorganisms cannot be cultured by standard techniques (Great Plate Count Anomaly) which are recently called as the 'microbial dark matter'. Advancements in the culture-independent techniques have led to the evolution of a new era in microbial classification and analysis. Functional and structural information regarding microbial communities can be generated by direct isolation of nucleic acids, proteins, lipids and other relevant biomolecules from soil samples (Singh et al. 2009).

#### 3.2.1.1 Microbial Analysis Using Partial Community Approaches

The basic principle of partial community approach is the PCR amplification of signature genes from the directly extracted DNA or RNA molecules from the soil sample which is followed by molecular fingerprinting (Hugenholtz 2002). Investigation and differentiation of different bacterial species can be done by amplifying 16S rRNA gene, 18S rRNA gene, recombinase A, gyrase beta subunit, heat-shock proteins, RNase beta subunit and other conserved genes (Ghebremedhin et al. 2008). The diversity and sensitivity of different soil types can also be determined using partial community approach in combination with different techniques such as genetic fingerprinting, clone library method and DNA microarrays (Fig. 3.2).

## 3.2.2 Cutting Edge Technologies: Microbial Analysis Using Whole Community Approaches

Compared to the molecular approaches which are PCR based, whole community microbial analysis gives a more transparent, comprehensive and clearer picture of the characterization, isolation, identification and genetic diversity of different taxonomic groups of phylogenetic microbial community. Sufficient resolution of 16S

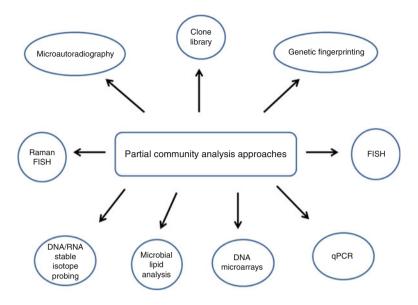


Fig. 3.2 Different molecular techniques used in partial community analysis approaches

rRNA gene is not obtained through partial community analysis of the microbial communities due to its conserved nature (Konstantinidis et al. 2006). Only a few or a single gene is targeted in partial community analysis, whereas in whole community analysis, a parallel massive sequencing technique is applied which assesses the potential function of the entire microbial community. There are various techniques to assess a microbial diversity using whole community analysis approach. These techniques may be used at a random or in different combinations to obtain a high throughput of the targeted microbial communities. Generally, the genetic information stored in the total extracted DNA from different environmental samples is analysed using the whole community approach (Fig. 3.3).

#### 3.2.2.1 Postgenomic Approaches

Under in situ conditions, enough information about gene expression is not provided by the DNA-based molecular techniques (Wilmes and Bond 2006). Hence, new insights into the functional diversity of the microorganisms can be determined using metagenomic databases which also include sequences from the organisms that are uncultured. Therefore, a correlation between the functional and genetic similarities between different microbial communities can be determined using postgenomic approaches such as metaproteomics, proteogenomics and metatranscriptomics (Fig. 3.4).

#### Metagenomics

Metagenomics, community genomics or environmental genomics does not require the traditional knowledge about microbial communities but is the direct collection of

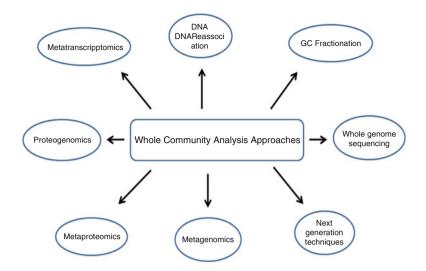


Fig. 3.3 Different molecular techniques used in whole community analysis approaches

microbial genomes from the environmental samples (Riesenfeld et al. 2004). Similar to the whole genome sequencing of a bacterium, metagenomics sequences the complete genome of the microbial communities isolated from the environment. Interaction of microorganisms with abiotic and biotic factors, uncultured organisms and their biochemical roles can hence be determined using metagenomics. Different functional molecules like antibiotics (terragine) and microbial enzymes (amylases, lipases, cellulases) can therefore be derived using functional metagenomic libraries (Rondon et al. 2000). Construction of a metagenome library is initiated by the isolation of DNA from the environmental sample, followed by using a vector for random cloning of the DNA fragments which is called as the shotgun cloning. Finally, screening of the positive clones is done after transforming the clones into a bacterial host. A major limitation in this technique is the frequent low-level expression of the active genes. Therefore, improved detection assays and highthroughput screening are necessary for a proper characterization of the microbial sample and its counterparts.

Recently, metagenome sequencing has been used to characterize microbial community structural as well as functional genes upon exposure to aerobic conditions in acid sulphate soils. Significant changes were observed both in parent material and topsoil upon incubating in aerobic conditions. Sulphur cycling genes abundantly increased in parent material, whereas there has been a significant decrease in archaea (Su et al. 2017). The relationship between taxonomic diversity and community composition still remains to be determined at the genetic level. Temperature, soil pH and other relevant environmental factors widely influence the structural properties of the microbial populations in the soil. Whole genome metagenomics can be used to assess the functional gene capacities of the bacterial communities.

## Traditional Technquies

**Advanced Techniques** 

Generates low throughput products
 Does not assess human health risk
 Ilarrowed down to culture dependent
 techniques as it does not involve expression
 profiles of genes and transcripts
 Does not evaluate interactions between
 different microbial
 communities
 Characterizes only one sample at one time.
 Does not require the extraction of
 biomolecules from the soil.
 Simpler process and less informative
 Use of simpler bioinformatic software in
 traditional technologies
 Determines the abundant microbial
 populations in the soil.

•Less sensitive and sophisticated •Time and labour intensive •Depends on mode of action of the

chemicals

•More sensitive and sophisticated •Takes less time and labour, more efficient •Does not require exposure to chemicals •Generates high throughput products •Asses human health risk and toxicity data •Gives a more comprehensive review of the data by involving expression profiles of genes and transcripts

•Evaluates linkage or interactions between gene products or organisms.

- •Can characterize multiple samples at a given time
  - Extraction of proteins and nucleic acids from the soil affect the analysis of metaprotemics, metagenomics and metatranscriptomics.
- Complex process but more informative
   Assembling of data generated from omic
  technologies requires complex
  bioinformatic software.
- •Determines the functional diversity and biological significance of the inherent molecular changes



#### Metatranscriptomics

Environmental transcriptomics or metatranscriptomics is the study of the changes in gene expression profile of microbes and their regulation in natural environments at a specific place and time by sequencing the mRNA transcripts which are randomly extracted from microbial communities (Moran 2009). A major setback to this technique is the absence of poly-A tails in prokaryotic mRNA transcripts which leads to the difficulty of obtaining the cDNA. Recent advances in metatranscriptomics have led to the development of a 'double RNA' method in which the abundantly rich RNA molecules, i.e. both mRNA and rRNA, are used to analyse the total phylogenetic pool of taxonomically and functionally relevant microbial community.

Therefore, recent advances in molecular tools have resulted in an impeccable enhancement in the field of microbial characterization and its inherent identification. A huge diversity has been noticed in the structural and functional characteristics of microorganisms. A major challenge in this field is the quantitative assessment of the vast number of microbial populations present in our ecosystem. Both the partial and whole community analysis approaches have their own advantages and limitations. Application of a combination of the required approaches may provide a better interrogation of the taxonomic diversities associated between different groups in the microbial world. Hence, an interdisciplinary approach should be followed between different Omics tools in order to provide an in-depth picture pertaining to environmental microbiology.

The possibility that Geobacter and Methanothrix species interact in methanogenic terrestrial environments through direct interspecies electron transfer was studied in rice paddy soils (Holmes et al. 2017). In relation to the global methane production, *Methanothrix* serves as the prominent microbial contributor, while very little is known about its ecology and physiology. In terrestrial ecosystems, the contribution made by Methanothrix to methane production may further extend beyond the conversion of methane from acetate. This serves the importance of DIET as a vital source of electrons for *Methanothrix* in rice paddy fields. Identification of reductive nitrogen transformation gene transcripts was done in waterlogged paddy soil through the help of metatranscriptomics (Masuda et al. 2017). Severe anoxic zones in waterlogged paddy soil aid the microbes to actively induce RNT or reductive nitrogen transformation. Temporal anaerobic conditions are the major characteristics of paddy soil by waterlogging and the occurrence of active anaerobic biogeochemical processes. The RNT-associated microbial diversity was investigated via RNA shotgun sequencing analysis without any prior PCR preparation. Seawater intrusion causes severe soil salinization along the coastal areas causing a worldwide threat to the cultivation of rice. Although there have been thorough studies on the detrimental impact on the rice yield and growth, little is known about the severity of the salinity affecting the function and structures of microbial paddy soil communities. Hence, controlled laboratory experiments were performed to examine their response to full-strength and half-strength seawater salinity using rice straw as a carbon source. Compared to control, the high and moderate salt stress suppressed the net consumption of methane and acetate production by 70% and 50%, respectively.

Among the family-level groups, significant changes were seen in the abundance of relative transcript corresponding to community-wide mRNA expression (Peng et al. 2017).

Eukaryotic organisms in the soil can express specific functions that can be studied by assessing the pool of specific eukaryotic polyadenylated mRNA directly isolated from environmental samples. Extraction of a desired quality of RNA from soil samples can be done using alternative extraction protocols. High-throughput sequencing can be used to convert the total amount of soil mRNA or RNA into cDNA. cDNA libraries can be constituted by cloning polyadenylated mRNA fulllength cDNAs into plasmid expression vectors (Yadav et al. 2016). Hence, functional categories of gene can be screened using cDNA libraries. A combination of Illumina RNA sequencing of total RNA pyrosequenced SSU rRNA genes was also used to identify the microbial enzymes and populations associated with the degradation of the major components including exoskeletons of inhabiting peat arthropods and Sphagnum-derived litter comprising of xylan, chitin, pectin and cellulose (Ivanova et al. 2016). A fivefold or threefold increase in bacterial cell numbers was seen on addition of biopolymer to peat. An increased abundance of transcript genes was seen in xylan and pectin particularly enhancing central carbon and energy metabolism. On response to the availability of a particular polymer, the activity of the bacterial populations increased several folds. Thus, metatranscriptomics helps in analysing the diversity of microorganisms in the soil along with community composition that has largely remained concealed.

#### Metaproteomics

Study of proteins at a particular time on a large scale as expressed by the microbial community is done using environmental proteomics or metaproteomics (Wilmes and Bond 2006). Both quantitative and qualitative study of the diverse microbial species can be done using metaproteomics. Proteins give a more comprehensive view or a clearer picture compared to other biomolecules such as nucleic acids or lipids (Keller and Hettich 2009). Proteofingerprints are generated due to stress conditions which reflect the functional status of the microbial communities. For the extraction of abundant proteins, firstly the cells are separated from the microbial matrix by ultracentrifugation and then the cells undergo lysis. Community proteofingerprints are then generated by applying one-dimensional or two-dimensional electrophoresis. Finally, different analytical tools are applied to identify the protein spots. A quick identification of proteins is done by mass spectrometry in combination with liquid chromatography. Metaproteomics is very useful to assay the exact protein expression within soil community (Benndorf et al. 2007).

Recently, metaproteomics has been applied to analyse different environmental samples such as soil, sediments, freshwater and marine system. The various active metabolic processes have been studied through the help of metaproteomics which acts as a powerful tool in interpreting the relationships between the rigid processes (Siggins et al. 2012). The vast number of proteins expressed by different organisms in an ecosystem at a specific time is studied using this method. A strong functional link is been generated between the functional and the microbial phylogenetic

community data by this (Bastida et al. 2014). On the other side, high diversity of microbial organisms, heterogeneity of the soil and improper metagenomic information negatively influence the above process (Wang et al. 2016). Complex carbohydrates, phenolic and lipid compounds and inorganic compounds such as clay and silt minerals along with humic acids possess a serious difficulty on the extraction of proteins from the soil (Keiblinger et al. 2016). Protein identification, depending on quality, capacity and design of the database of the chosen protein for analysis, acts as a major obstacle in the analysis of metaproteomics data. This can be overcome by using different methods of protein extraction and building a homogenous database to increase the characterization of proteins. Nowadays metaproteomics have the potential to reveal any function in an ecologically stable environment, hence acting as a stable process for environmental analysis.

liquid chromatography, high-resolution mass spectrometry-based А metaproteomic approach was used to interpret different metabolic functions of bacteria associated with plants acting as metal hyperaccumulator growing in serpentine soil which is naturally contaminated with chromium, cobalt and nickel along with rhizospheres of high metal-tolerating plants (Mattarozzi et al. 2017). Based on the genera recognized by 16S DNA profiling, an inherent bacterial protein database was built in particular. From LC-MS data, this was then further used for protein identification. Application of different extraction protocols to each soil sample generates variable information which on combination permits the identification of a vast number of proteins, corresponding to assigned functions in gene ontology categories. Proteins involved in the transportation of nutrients and metals and response to stimulus reveal a continuum of bacteria responsive to different microenvironment conditions. A key role in the transition to a post-petroleum bio-based economy lies with the bacterial biocatalysts. Actively screening for desired activities and analysing genetic information limit the capability of biocatalysts (Sukul et al. 2017). Hence, a combination of metagenomics and metaproteomics facilitates the direct and unmediated discovery of different biocatalysts in samples collected from the environment. Functional metaproteomics serves as an efficient method to analyse the biocatalytic activity from the proteome in an environmental sample. Also, quantifying biomass or cell numbers for individual populations helps in the proper detection of composition of a microbial community. Therefore, the application of abundance of proteins as a measure of biomass quantification for different populations serves as a method for assessing the composition of microbial populations. Methods for quantification of cell numbers are already available in the form of 16S rRNA gene amplicon sequencing, in situ hybridization and fluorescence. This method was used to analyse organisms from two different environments such as from saliva from different individuals and from microbial mats from two different alkaline Soda Lakes (Kleiner et al. 2017). Hence, metaproteomics serves as an important tool for analysis for interpreting the relationships between different organisms in an ecologically stable environment.

#### Proteogenomics

Proteogenomics is basically a combination of metaproteomics and metagenomic approaches (Banfield et al. 2005). The basic principle of this technique is the extraction of proteins and DNA from the same sample which allows for a greater understanding of the phylogenetic relationships between different microbial communities. Identification of inherent bacterial communities in the phylosphere was done using proteogenomics (Delmotte et al. 2009).

## 3.3 Recent Advances: Integration of Genomics, Transcriptomics, Proteomics and Metabolomics for Studying Soil Microbial Community

## 3.3.1 Genomics in Soil Microbiology

The heterogeneous nature and the complex environment of the soil make it one of the most challenging environments to work with. An enormous amount of microorganisms is present in the soil, in variable combinations, most of them being uncultured till date due to its complex metabolic and genetic diversity. Since the early 1960s, there has been an incredible transformation in the approaches for characterization of the soil microbial community. Different bioprocessing techniques such as the measurement of flux of nitrogen and carbon and study of structure function relationships replaced the traditional methods of cell number counting of different organisms like bacteria, fungi and algae. Genomics, which focuses on the sequencing of the organisms' genome and analysing its characteristics, provided a new insight to the molecular approaches applied in the modern generation (Insam 2001).

It has been believed that the more functionally significant and numerically dominant species are the ones which have been isolated from the environment in pure cultures. This is generally a huge misconception because the ability to grow on artificial nutrient-rich media and to rapidly form colonies particularly in moderate temperatures and in aerobic conditions is the reason behind their isolation (Hugenholtz 2002). At first, some of the uncultivated lineages such as archaea have been detected using phylogenetic molecular surveys. High molecular weight, concentrated, clonable and purified DNAs have been extracted using a two-phase electrophoresis technique. The result suggested that the extracted archaea differ from its previously cultured counterparts. This paved the way for a more holistic and functional genomic approach (Quaiser et al. 2002).

Isolation of DNA from the soil along with the screening and production of libraries of clone forms the basis of soil metagenomics. Detection, assessment and cultivation of large pools of the diverse genetic reservoir among soil microbial community have already left a noticeable impact on the discovery of novel genetic biomolecules. Still, more than half of the organisms in the entire ecosystem remains uncultured. The 16s rRNA genes revolutionized the complete system by characterizing the physiology and biochemistry besides the genetic makeup of the

soil microorganisms. The first comprehensive metagenome of soil was done by Tringe et al. in 2005. Comparison of genes was done between the soil obtained from Minnesota and other environments (Myrold et al. 2013). Nowadays shotgun sequencing methods are being used which directly sequences the DNA extracted and does not require the formation of libraries. When combined with Illumina sequencing systems, it produces a saturated genome size of round about 4.0 Gbp and many more such sequences comparatively. Both assembly contigs and individual reads contribute to the screening of functional potential of the metabolic activity of the soil microbiota. It further helps in differentiating between microorganisms in both complex and heterogeneous environments. Different soil metagenome sequencing is given in Table 3.1.

Till date, about 48 soil metagenomes are registered online where not all of them are shotgun metagenomes and most of them are still not published. In 2012, from the submerged sediments of four mangrove forests in Brazil, metagenomes were generated which constructed carbon, nitrogen and sulphur metabolic pathways (Andreote et al. 2012). Recently, to infer the interaction of networks between microbial communities, random matrix theory has been developed which interprets the change in the network in correlation with the elevated levels of carbon dioxide (Zhou et al. 2011). Also, the presence of different microbial phyla is determined in relation with their strategies of life history. Therefore, the use of trait-based models of biogeochemical cycles in soil to incorporate metagenomic data may open a wider road for metagenome interpretation (Allison 2012).

The ultimate aim of metagenomic studies is linking of the composition of the microbial community to its function. Proper assessment of the heterogeneous soil environment is directly related to the microbial activities associated with it. Hence, integration of other Omics tools along with the DNA-RNA-protein continuum approach paves the way for a more enumerated and advanced technique of soil microbial characterization and assessment.

#### 3.3.2 Transcriptomics in Soil Microbiology

The central dogma theory states the transcription of DNA into RNA and the translation of RNA into proteins. The microbial activity of the microorganisms in soil is generally a result of their gene expression, i.e. transcription of DNA into RNA, which is also responsible for the change in their physiological behaviour in accordance to different environmental variation. The relative activity of the microbes can be measured by the ratio of their rRNA/DNA as the amount of rRNA in prokaryotic organisms is relatively constant. The growth rate of cellular microorganisms is also directly proportional to their cellular rRNA (Blazewicz et al. 2013). It is also seen that the composition of microbes in a heterogeneous environment, like soil, differs on the basis of their genetic material, i.e. DNA or RNA. Several studies suggest that such differences have also been observed between different transcripts and genes when metatranscriptomes and metagenomes have been obtained from soil or other marine environments (Gilbert et al. 2008) (Table 3.1).

Currently, there is not much advancement or publication of studies related to soil metatranscriptomics. The isolation of poly-A tail of eukaryotic mRNA acts as an advantage for sequencing of the genetic material. RNA-seq or shotgun metatranscriptomics have been used in a few studies (Croucher and Thomson 2010). For a significant analysis of mRNA sequences, hybridization of both enrichment and non-enrichment process has been adopted (Urich et al. 2008; Stewart et al. 2011). In-depth exploration needs to be done to provide insights regarding the function of cellular microbes in other microbial systems which are far more complex in nature. The first metatranscriptome for soil was developed in South Western France using soil from *Pinus pinaster* plantation on coastal sand dune (Bailly et al. 2007) (Table 3.1).

The functioning of the terrestrial ecosystem is directly related to the soil microbial activity. Hence, at the time of sampling, the transcription profile of a discrete population of microbial biota presents us with a snapshot of the metatranscriptomic studies. Difficulty in generating high-quality cDNA from the extracted RNA may act as a limitation for the utilization of metatranscriptomics. Therefore, the potential of metatranscriptomes lies in the adoptability of the entire microbial community to different environmental conditions, and it further inoculates the source for different genes of biotechnological interest.

#### 3.3.3 Proteomics in Soil Microbiology

Proteins mediate most of the important functions in a microbial community. Hence, metaproteomics has become the utmost valuable and direct Omics tool for measuring the microbial activity in an environment mediated by both biotic and abiotic factors. For the extraction of proteins from the soil, a more evolved and a steady methodology have been developed besides the use of metagenomic and metatranscriptomics (Bastida et al. 2009). The strong interaction of proteins with different minerals present in the soil and with other biomolecules can result as a major limitation of the protein extraction methods. The specifications of soil may be frequently required as a standard method for the extraction of proteins from the soil which has still not yet been developed.

Based on gel isolation methods, till date only a few proteins have been extracted from soil to determine the soil microbial activity (Table 3.1). The combination of a more advanced protein separation method called as the two-dimensional liquid chromatography along with tandem mass spectrometry forms the basis of a more sophisticated form of metaproteomics called as the shotgun metaproteomics which generates proteins from hundreds to thousands in numbers (Chourey et al. 2010). Compared to metagenomics and metatranscriptomics, very few proteins have been identified till date which are generally abundant in nature or are associated with functional activities such as housekeeping apart from the ones which are associated with biogeochemical processes and have a specific enzyme activity.

Four proteins were identified in the laboratory study of compost soils in Leipzig, Germany, using NaOH and phenol extraction methods followed by their analysis using SDS and LC chromatography (Benndorf et al. 2007). Similarly, eight enzymes associated with carbon cycling were analysed using the same method where the extraction of the proteins was done by dissolving the minerals of the soil in 10% HF (Schulze et al. 2005). A laboratory study was done in Washington and California using soils with or without addition of known bacterial species. In this method, the extraction of proteins was done by SDS and TCA followed by analysis using two-dimensional LC-MS. About 145–925 proteins were identified using shotgun proteomics for amended soils (Chourey et al. 2010). Eight proteins from glucose-amended soils and 16 proteins from toluene-amended soils were first identified in Mississippi using indirect phenol extraction method and SDS-PAGE followed by MALDI/TOF (Williams et al. 2010).

High yield and proper resolution of proteins is needed for the appropriate analysis of the soil samples using metaproteomics which is an ambitious task. For a highthroughput resolution, the extraction and preparation of protein samples is a crucial step. Application of metaproteomics to soil faces several challenges due to its heterogeneous nature, complexity, spatial distribution of protein, dynamic nature of the microbial community, abundancy of known and unknown proteins in the soil, adherence of extracellular enzyme to minerals in the soil or the entrapment of colloids which are generally humic in nature (Nannipieri 2006). Recent advances in soil metaproteomics include extraction of proteins by indirect method in which the extraction of microbial cells is done from the sol matrix and prior to the protein analysis; the cells undergo enrichment (Taylor and Williams 2010). Minimal changes in the composition of the proteome during the preparation of soil sample and completeness of the extracted protein are the reasons behind the success of direct extraction of proteins compared to the indirect methods (Benndorf et al. 2007). Therefore, more efficient and reliable techniques for protein extraction, evaluation and metaproteome analysis need to be developed which can accurately determine the cellular activity of the microbial community in spite of the complex and the heterogeneous nature of both the proteins and the soil.

#### 3.3.4 Metabolomics in Soil Microbiology

Metabolomics characterizes soil microbial activity and its interaction with its immediate environment and abiotic pressures and also interprets the response of a specific organism to other biological factors. Population-based or individual studies are done to determine the adaptability of different groups of phylogenetically diverse microbial communities. Metabolites are low molecular weight biomolecules and generally organic in nature and occur naturally in a biofluid, a cell or a tissue. The functional status of an organism can be reported by the measurements done by metabolomics. A metabolome or a set of metabolites produced on interaction with an environmental stimulus is the basis for the comprehensive study of metabolomics (Miller 2007). An indication of an extremely sensitive external stress can be determined by the change in metabolome which is due to the changes in environmental stimuli (Ankley et al. 2006).

Nowadays, assessment of ecological risks can be done using metabolomics. A toxicant, its mode of action and the potential threats associated with it can be studied by the implementation of metabolomics. Exposure to different inorganic and organic pollutants leads to the development of different metabolic profiles among cellular organisms (Table 3.1). Thus, PCA or the principal component analysis is used to detect such differences among organisms (Jones et al. 2014). Assessment of soil contamination using metabolomics is still in its infant stage (Viant 2009). Microbial toxicity increases by the uptake of heavy metal ions. This changes the biochemical as well as the physiological nature of metabolites depending on the chemical nature of the adsorbed pollutant. Metabolomics provides an unbiased assessment of the soil microbial activity in a given specific condition (Rochfort 2005). In the biogeochemical cycle of nutrients, metabolic breakdown and transport of metabolites occurs in and out of the cell which enhances the assessment of the activity of different microbial communities.

About 240 projects on metagenomics have been completed till July 2011 as stated by DOE Joint Genome Institute. To study the dynamics of intrinsic bioremediation in different environments, a system-wide approach is doing rounds utilizing the basis of metabolomics. Metagenomics and metatranscriptomics are both complemented by metabolomics. Biochemical pathways have already undergone a wide change in their dimensions owing to the vast applications of metabolomics. The complication of modelling and reconstruction of microbial metabolism involve expertise evaluations and a lot of repetitive steps. Therefore, proper knowledge about microbial mechanisms and variant nature of metabolites is necessary for an accurate, reliable and precise determination of soil microbial activity.

At molecular level, metabolomics offers additional advantage for studying interactions between environment and organisms along with their assessment of health and functionality. An organism's inherent functional status can be generated from the data of metabolomics measurements. It can be further related to higher folds of biological complexity, i.e. practically to organism's phenotype. Discovering relations between least expected metabolic responses and relationships can itself lead to the generation of a hypothesis. Metabolomics has numerous applications in environmental ecology and molecular sciences, ranging from understanding the response of organisms to abiotic stressors including both anthropogenic factors such as pollution control and natural factors such as to study biotic-biotic interactions, temperature, herbivory and infections.

Particularly in the field of environmental sciences, metabolomics have several major applications including disease monitoring and diagnosis, assessment of risk on exposure to toxicants, metabolic responses to different levels of environmental stress and in the development of biomarkers. This approach has also been used to study the challenges faced during measurement of metabolic variability and metabolites along with proper interpretation of complex multivariate metagenomics data in aquatic organisms (Viant 2009). Simultaneous measurement of multiple complex metabolites can be done during metabolomics studies using mass spectrometry and NMR spectrometry which are inherently conclusive analytical techniques of measurement. This is followed by a precise statistical analysis using repeated univariate

or multivariate tests. Several changes were identified in different metabolic pathways of acid-challenged *S. meliloti*. Correspondingly other bacteria undergoing a range of abiotic stresses could be related to the same. Biosynthesis of exopolysaccharides, modifications in the pentose phosphate pathway and intermediates in the myo-inositol degradation are some of the observable modification changes. In rhizobia, as a part of metabolic adaptation, these modifications are associated with improved competitiveness of nodulation and enhanced acid-tolerant phenotypes (Draghi et al. 2017).

Osmoprotection and osmotic adjustment was studied in model species of cowpea using metaproteomics (Goufo et al. 2017). Different cultivars were grown in drought conditions, watered soil and watering post-drought conditions via fruit formation. Drought tolerance in plants is usually done through the production of organic solutes which can either act as radical scavengers for protecting the metabolic functions or maintain an optimum turgor by acting as compatible osmolytes. Out of the 88 metabolites investigated, the strongest response to drought conditions was shown by quercetin, galactinol and proline as already highlighted by analysis done by multivariate and their relation with yield generating beneficial and positive effects. To cope with drought in the aerial parts of the plant, these served as a very conservative strategy as they accumulated in a similar pattern in the leaves but differently in the roots. Changes in protective mechanisms reflected the changes in energy investment with the accumulation of metabolites. Also by analysing the difference in the metabolic profile of plants, salt-tolerant mechanisms can be studied which can be a fruitful tool for sustaining enhanced crop production worldwide. Generally, salinity acts a major environmental stress limiting the growth of plants and reducing their yield. Therefore, these findings provide an important foundation for the utilization, development and protection of the natural plant resources.

## 3.4 Challenges in 'Omics' Approaches in Soil Microbiology Analysis

Application of 'Omics' in soil microbiology is limited by several distinguished factors. Heterogeneity of soil samples creates the most difficult problem in getting representative soil sample for extraction and purification of nucleic acid or other relevant molecules for structural and functional microbial analysis. Due to the presence of adequate nutrients and other physicochemical environments in the specific 'hotspots' or 'microniches', microbes prefer this microenvironment (Nannipieri 2006). This spatial heterogeneity makes the preference of study on special types of microorganisms and their interactions with microhabitats (Fierer et al. 2005). To overcome the special heterogeneity of soil, researchers have developed the microsampling and average across microhabitats strategies (Wellington et al. 2003). Temporal variability (i.e. seasonal and diurnal variation in temperature, humidity and other factors) further increased the complexity of metagenome or metaproteome or metatranscriptome study. Another major problem in the extraction

processes of nucleic acids and biomolecules is the inefficient cell lysis which not only affects the yield but also the completeness of soil microbial communities (Delmont et al. 2011).

In addition, the organic compounds like humic acid present in soil often inhibit the extraction as well as PCR process. Omics analysis is also to some extent limited to the PCR biasness. The small read lengths generated by the present sequencing systems actually produce a series of noncontiguous genetic fragments creating a problem during assembling them. Handling large amounts of data or reads generated by the advanced sequencing techniques really needs expertise in bioinformatics and high-end computer system (Vinaixa et al. 2016). Fruitful application of Omics data in soil microbiology needs thorough expertise in bioinformatics as well as soil microbiology to interpret their actual interactions. Also, appropriate modelling and statistical tools are required for correct interpretation of the vast amount of data generated through Omics methods. Application of different network analysis in recent times has shown a promisable path in this genre (Zhou et al. 2011). A major challenge for bioinformaticians is the successful grappling of the generated complex datasets. The basis of the microbial processes in soil can be understood by studying the interactions between the microbes or the microbes and their abiotic environment. The synchronicity and the timescales for the responses of different metagenomes need to be revised so as to gain a proper understanding about microbial metabolisms. Hence, a meta-omic approach has the capability to capture the functional and taxonomical diversity and assess the interactions between metabolic processes of different taxa of soil microbes.

### 3.5 Future Prospects of 'Omics' in Soil Microbiology

With the development and application of molecular genomic tools, the field of microbial ecology is undergoing unprecedented changes. Postgenomic molecular approaches enable us to interrogate the structural and functional diversity of soil microbial communities and reveal that we have only scratched the surface of the genetic and metabolic diversity present in the most abundant organisms of the Earth, the prokaryotes. Several important questions such as 'How many microbial species are there on the Earth?', 'What is the extent of metabolic diversity in natural microbial communities?' and 'How microbial communities are governed by biological, chemical and physical factors?' remain to be understood. Understanding the functional roles of uncultured organisms still remains a daunting task, as most of the genes identified have no homologous representatives in databases. Although considerable progress has been made in the characterization of microbial communities by the application of metagenomic, metatranscriptomic and proteogenomic approaches, many technical challenges remain. An interdisciplinary system approach embracing several 'Omics' technologies to reveal the interactions between genes, proteins and environmental factors will be needed to provide new insights into soil microbiology. Development of multi- 'Omics' approaches will be a high-priority area of research in the coming years. The field of soil microbial ecology

has been revolutionized by the combination of all the above Omics technologies. These new approaches allow linkage between microbial diversity and their specific ecological interactions in the environment which strengthens our in-depth understanding of the subject. This chapter presents an overview of the recent advancement in Omics technologies and its challenges and future prospects in understanding the soil microbial ecology.

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Upendra Kumar, P. Panneerselvam, Vadakattu V. S. R. Gupta, M. Manjunath, Priyanka Priyadarshinee, Archana Sahoo, Soumya Ranjita Dash, Megha Kaviraj, and K. Annapurna

#### Abstract

Sulfur (S) is one of the most important elements, of which the organosulfur compounds and/or metal sulfides are considered essential for life. Microbial sulfur oxidation and reduction are the most active and ancient metabolic processes in S cycle that operate in diverse ecosystems. This process is carried out by sulfur-oxidizing (SOB) and sulfur-reducing bacteria (SRB) in all ecosystems and considered as key phenomenon in sulfur biogeochemical cycling. Usually, on the basis of nutrition, SOB and SRB are categorized as lithoautotrophs. SOB oxidize the reduced sulfur compounds such as hydrogen sulfide (H<sub>2</sub>S), elemental sulfur (S<sup>0</sup>), sulfite (SO<sub>3</sub><sup>-2</sup>), this ulfate (S<sub>2</sub>O<sub>3</sub><sup>2-</sup>), and various polythionates (S<sub>n</sub>O<sub>6</sub><sup>2-</sup> or  $-S_nO_{6^-}$  into sulfate (SO<sub>4</sub><sup>-2</sup>). On the contrary, SO<sub>4</sub><sup>-2</sup> can serve as an electron acceptor of SRB under anaerobic condition, and they reduce the  $SO_4^{-2}$  and other oxidized sulfur compounds (S<sub>2</sub>O<sub>3</sub><sup>2-</sup>, SO<sub>3</sub><sup>-2</sup>, S<sup>0</sup>) into H<sub>2</sub>S. In natural system, SRB reduce the  $SO_4^{-2}$  in two different reduction processes, *viz*, dissimilatory and assimilatory reactions. In dissimilatory reaction, SRB utilize three kinds of enzymes (ATP sulfurylase, APS reductase, and sulfite reductase) to reduce the S substrate, whereas the sulfate is assimilated or incorporated into organic compounds under assimilatory process through S substrate reduction. In recent years, molecular methods have emerged as essential tools for a better

e-mail: ukumarmb@gmail.com; ukumarmb@yahoo.co.in; upendra.kumar1@icar.gov.in V. V. S. R. Gupta

Commonwealth Scientific Industrial Research Organization (CSIRO), Adelaide, Australia

M. Manjunath

ICAR-Central Research Institute for Dryland Agriculture (CRIDA), Hyderabad, Telengana, India

K. Annapurna Division of Microbiology, ICAR-Indian Agricultural Research Institute, New Delhi, India

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U. Kumar  $(\boxtimes) \cdot P$ . Panneerselvam  $\cdot P$ . Priyadarshinee  $\cdot A$ . Sahoo  $\cdot S$ . R. Dash  $\cdot M$ . Kaviraj ICAR-National Rice Research Institute, Cuttack, Odisha, India

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understanding of the microbial role in S transformation under various habitats. Keeping the importance of microbial-mediated S oxidation and reduction in biogeochemical cycle of S, the present chapter describes the role of key functional microbial genes in S transformation such as genes involved in S oxidation (*sox*, *aps*, *asf*, and *sor*) and reduction (*dsr*) and also discusses in detail about the abundance, diversity, and impact of these in diverse ecosystems.

#### Keywords

Sulfur · Oxidation · Reduction · Functional genes · Microbial diversity

## 4.1 Introduction

Sulfur (S) is the tenth most copious element in the universe and the sixth most prominent element in microbial biomass (Klotz et al. 2011). It is present throughout the earth's crust as gypsum and pyrite. Sulfur comes from weathered rock, atmosphere (SO<sub>2</sub> and methane sulfonic acid), fertilizers and pesticides, water resources (sulfate, hydrogen sulfide, and elemental sulfur), etc., and these processes are influenced by climate, local vegetation, and topography. The sulfur content of soil varies from 0.002 to 10.0% (Freney et al. 1982), and the highest amount of S is present in tidal flats, saline, acid sulfate, and organic soils. Organic S accounts to >90% of total sulfur present in surface soils, whereas <25% of total S present in agricultural soils are in the form of the inorganic S (Roberts and Bettany 1985; Bettany et al. 1973). The main forms of inorganic sulfur include sulfide, elemental sulfur, sulfite, thiosulfite, tetrathionate, and sulfate (Williams 1972).

Majority of global biogeochemical cycles including that of carbon, nitrogen, phosphorus, iron, and sulfur are driven by microorganisms (Tang et al. 2007). Approximately one-half of the global S cycle represents oxidized form of inorganic S compounds. The bacteria and archaea responsible to form oxidized form of S from reduced S compounds, belonged to either photolithotrophs or chemolithotrophs (Trüper and Fischer 1982; Brune 1989; Takakuwa et al. 1992; Nelson and Fisher 1995; de Zwart et al. 1996; Kelly et al. 1997; Friedrich et al. 2001). Under photolithotrophic growth, green and purple sulfur-oxidizing bacteria (SOB) utilize S compounds as electron donors for reductive carbon dioxide fixation (Brune 1989; Brune et al. 1995), and light energy is used as electrons transfer from S compounds via highly reducing electron carriers such as NAD (P) and ferredoxin. This process includes a wide range of enzymes, involved in catalyzing sulfur redox reactions (Trüper and Fischer 1982; Fischer 1989; Brune 1989; Dahl and Truper 1994; Brune et al. 1995). In sulfide oxidation, oxidation of elemental S is catalyzed by sulfide dehydrogenase initially and then catalyzed by flavocytochrome c, other c-type cytochromes, or sulfide/quinone oxidoreductase (Brune 1989; Brune et al. 1995). Another enzyme, siroheme sulfite reductase, oxidized H<sub>2</sub>S directly to sulfite, and this enzyme is found in Chromatium vinosum D (Schedel et al. 1979). Besides photolithotrophs, reduced sulfur compounds such as hydrogen sulfide (H<sub>2</sub>S),

elemental sulfur (S<sup>0</sup>), sulfite (SO<sub>3</sub><sup>-2</sup>), thiosulfate (S<sub>2</sub>O<sub>3</sub><sup>2-</sup>), and various polythionates (S<sub>n</sub>O<sub>6</sub><sup>2-</sup> or -S<sub>n</sub>O<sub>6</sub>-) are utilized by various chemolithotrophs, and they oxidized these forms of S into sulfate (SO<sub>4</sub><sup>-2</sup>). SO<sub>4</sub><sup>-2</sup> can serve as an electron acceptor in anaerobic respiration, and S-reducing bacteria (SRB) may reduce the SO<sub>4</sub><sup>-2</sup> and other oxidized sulfur compounds (S<sup>0</sup>, SO<sub>3</sub><sup>-2</sup>, and S<sub>2</sub>O<sub>3</sub><sup>2-</sup>) into H<sub>2</sub>S.

Sulfur is essential for the growth and development of living organisms. Plants require it for growth and grain production. Plants generally utilize S in the form of  $SO_4^{-2}$ . Due to its existence as several redox states, S is involved in very important biochemical reactions as redox center and carbon carrier (Klotz et al. 2011). Therefore, it is very important to know the nature and amount of S that is present in soil and its transformation process. The main purpose of this chapter is to briefly introduce to researchers how the S cycle is mediated through microbes under different ecosystems and also discuss the kind of functional genes required in S transformation and their abundance, diversity, and impact in diverse ecosystems.

# 4.2 Biogeochemical of Sulfur Cycle

Sulfur (S) is considered as one of the most important atoms in biological system, and minute amount of this element is mandatory for proper functioning of biological system. Generally, S forms disulfide bridges in biological system, which imparts crucial function to provide specific shapes and properties to other biologically important molecules under that system. The major constituent of S present in the atmosphere is sulfur dioxide (SO<sub>2</sub>), coming from burning of fossil fuels and sulfur coal. In the atmosphere, one of the primary components of acid rain is sulfuric acid  $(H_2SO_4)$  which is formed when SO<sub>2</sub> is reacted with water vapor and causing many adverse effects in about all regions of the world. Carbonyl sulfide (COS) is another form of S, present in small quantity in the atmosphere. These two forms of S (SO<sub>2</sub> and COS) are highly reactive with oxygen and converted into sulfates (SO<sub>4</sub><sup>-2</sup>) which is quickly deposited on land and other surfaces. Plant requires S in the form of  $SO_4^{-2}$ , and these forms of S mostly come from soil organic S after mineralization by soil microorganisms (Niknahad-Gharmakher et al. 2012). Greater availability of soil S may be immobilized by soil microbes to build their biomass. In soil, the S level in the form of extractable soil S-SO<sub>4</sub> is marginally changed after C addition over time, confirming slow rate of soil S mineralization. Majority (90-95%) of soil S is stored in organic form such as C-S (sulfate bonded with carbon) and/or C-O/N-S (sulfate and sulfamates in the form of ester) (Tabatabai 1984). Plant generally takes sulfates from the ester sulfate fractions (McLaren et al. 1985). In agrosystems, plant residues are the main source of labile carbon (Gentile et al. 2011). The effect of plant residues on S turnover in soils has been studied and found that the net S mineralization was the function of C/S ratio of the crop residue (Jensen et al. 2005). This gives an idea that the S deficiency in cropped soil is functionally correlated with soil microbial biomass and C dynamics (Wu et al. 1995). One of the key factors governing S transformations in soil is availability of C (Knights et al. 2001); probable limiting effect of low S levels on C mineralization has been investigated by few workers

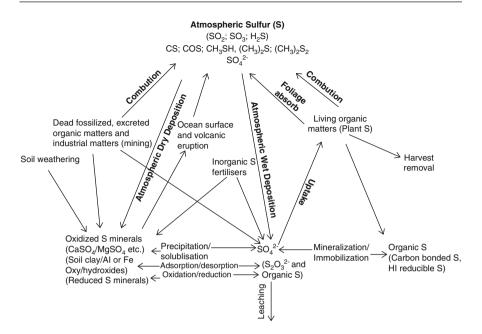


Fig. 4.1 Representative model of biogeochemical cycle of sulfur (Adapted from Germida et al. 1992)

(Chapman 1990). Moreover, Fig. 4.1 presents a representative S cycle which showed the transformation of different forms of S, its compounds, and their metabolic reaction under model system.

# 4.3 Microbiology of Sulfur Cycling

Biogeochemical cycle of S involves several oxidation and reduction reactions (Tang et al. 2007). The following major pathways involved in S cycle are (1) organic S mineralized into inorganic S form; (2) oxidation of S<sup>0</sup>, SO<sub>3</sub><sup>-2</sup>, and S<sub>2</sub>O<sub>3</sub><sup>2-</sup> into SO<sub>4</sub><sup>-2</sup>; (3) reduction of SO<sub>4</sub><sup>-2</sup> into H<sub>2</sub>S; and (4) immobilization of S compounds by microbes and subsequent assimilation of S as organic form (http://www4.ncsu. edu). Under S cycling, microorganisms can take part in both oxidation and reduction processes depending on the prevailing environmental conditions in a particular ecosystem.

#### 4.3.1 Sulfur Oxidation by Microbes

Sulfur (S) oxidation is one of the most predominant reactions in environment (Friedrich et al. 2005). It is a very significant process in soil to avoid sulfur deficiencies in crops and also the environmental contamination (Lawrence et al. 1988). The archaea (*Sulfolobus*, *Desulfurococcus*, *Acidianus*, *Metallospora*),

chemolithotrophic bacteria (*Bacillus*, *Acidithiobacillus*), phototrophic bacteria (*Chlorobium*, *Allochromatium*, *Rhodobacter*, *Rhodovulum*), and non-sulfur bacteria (*Rhodopseudomonas*, *Rhodocyclus*) are mainly involved in S oxidation. The majority of them use carbon dioxide as their primary carbon source and sulfur as an electron donor (Brune 1989; Friedrich et al. 2005). The sulfur substrates utilized by the microorganisms include sulfide, thiosulfate, and hydrogen sulfide (Friedrich et al. 2001, 2005). The process of S oxidation occurs through three biochemical pathways: sulfur oxidase pathway, the reverse siroheme sulfite reductase pathway (phototrophic S-oxidizing bacteria), and the archaeal sulfur oxygenase reductase pathway.

#### 4.3.1.1 Phototrophic Sulfur Bacteria

Phototrophic S-oxidizing bacteria use light as energy source and hydrogen sulfide (H<sub>2</sub>S) as substrate. They usually oxidized H<sub>2</sub>S into elemental sulfur (S<sup>0</sup>) and subsequently reduce the carbon dioxide and assimilated as organic compounds. There are several enzymes involved for catalyzing sulfur redox reactions in phototrophic sulfur bacteria (Trüper and Fischer 1982; Fischer 1989; Brune 1989; Dahl and Truper 1994; Brune et al. 1995), which are discussed below with examples.

#### **Green Sulfur Bacteria**

Green sulfur bacteria (GSB) are metabolically strict anaerobes and obligately phototrophic and use CO<sub>2</sub> as only carbon source and fixed *via* the reductive tricarbonic acid cycle. Sulfide (H<sub>2</sub>S) is used as electron (e<sup>-1</sup>) donor by all species of GSB except *Chlorobium ferrooxidans* (iron-oxidizing GSB) and subsequently oxidized to sulfate (SO<sub>4</sub><sup>-2</sup>) with intermediary assimilation of extracellular S. Many are able to grow with elemental S, and even some species also use thiosulfate (S<sub>2</sub>O<sub>3</sub><sup>2-</sup>) (Frigaard and Bryant 2008). Tetrathionate may be used as electron donor in some of the GSB like *Chlorobaculum parvum* and *Chlorobium thiosulfatophilum* (Imhoff 2003; Khanna and Nicholas 1982; Larsen 1952). So far, sulfite (SO<sub>3</sub><sup>-2</sup>) utilization has not yet been discovered in the case of any GSB. Some of the most important GSB are *Chlorobium, Ancalochloris, Pelodictyon, Chloroherpeton*, etc.

#### **Purple Sulfur Bacteria**

Purple S-oxidizing bacteria (PSOB) generally use sulfide ( $H_2S$ ) for their growth and development. They store the sulfur in the form of spherical particles within and outside of the cells and upon oxidation releases sulfates from the cells. They oxidize the sulfide, sulfur, thiosulfate, and sulfite (Imhoff and Hiraishi 2005) to sulfate by different mechanisms. PSOB have two different kinds of pathways for thiosulfate oxidation. In one pathway, two thiosulfate anions were oxidized by enzyme thiosulfate dehydrogenase and produce tetrathionate, whereas another pathway sulfate, was produced after complete oxidation of thiosulfate (Dahl and Friedrich 2008). *Chromatium, Allochromatium, Thiocystis, Thiococcus, Thiospirillum*, etc. are the known PSOB in natural environment.

## Purple Non-sulfur Bacteria

The occurrence of purple non-sulfur bacteria (PNSB) is wider and heterogeneous, belonging to photoautotrophs which use hydrogen (H<sub>2</sub>) or sulfide (H<sub>2</sub>S) as electron donor. Some groups of PNSB do not oxidize H<sub>2</sub>S completely to sulfate (SO<sub>4</sub><sup>-2</sup>); instead they form sulfur (S) as an end product. However, SO<sub>4</sub><sup>-2</sup> is the final end product in the H<sub>2</sub>S mediated by many PNSB such as *Rhodovulum*, *Rhodopseudomonas palustris*, *Blastochloris sulfoviridis*, etc. (Brune et al. 1995; Imhoff and Hiraishi 2005). While thiosulfate is oxidized into tetrathionate by *Rhodopila globiformis* (Then and Trüper 1981), *Rhodovulum* species oxidize thiosulfate completely into SO<sub>4</sub><sup>-2</sup> (Brune et al. 1995; Appia-Ayme et al. 2001; Imhoff and Hiraishi 2005). Most of the PNSB may grow as chemoorganotrophs under microoxic to oxic conditions without presence of light (Smith and Lascelles 1966; Trüper and Pfennig 1966).

# 4.3.1.2 Chemolithotrophic Sulfur-Oxidizing Bacteria

Chemolithotrophic sulfur-oxidizing bacteria (CSOB) use reduced inorganic sulfur compounds such as sulfite, thiosulfate, hydrogen sulfide, etc. as their energy source. There are two major groups: (1) the obligate chemolithotrophic bacteria, which usually receive energy from the oxidation of S and use main carbon source as  $CO_2$ , and (2) the facultative autotrophic bacteria, or mixotrophic bacteria, which can grow autotrophically, mixotrophically, or even heterotrophically. The chemolithotrophic bacteria such as Thiobacillus ferrooxidans and T. thiooxidans are commonly present bacteria generally responsible for S<sup>0</sup> oxidation in soils and also considered as the most important precursor for S-biogeochemical cycle. Thiobacillus thiooxidans is a chemolithotrophic acidophilic bacterium that uses S<sup>0</sup> as an energy source and is important in the microbial catalysis of  $H_2S$ . However, the significant number of *Thiobacillus* is not reported in most of the agricultural soils (Chapman 1990; Lawrence et al. 1988; Tourna et al. 2014; Zhao et al. 2017a). Beggiatoa leptomitiformis is also a CSOB which uses succinate and thiosulfate or tetrathionate and grows as mixotrophs and oxidized substrate to generate ATP by oxidative phosphorylation. Some of the common CSOB are *Thiobacillus*, *Thiothrix*, Beggiatoa, etc.

# 4.3.1.3 Autotrophic Denitrifying Sulfur-Oxidizing Bacteria

Autotrophic denitrifying sulfur-oxidizing bacteria (ADSOB) generally use various reduced sulfur compounds and produce nitrogen gas by the reduction of nitrate or nitrites. Some of the common ADSOB are *Thiobacillus denitrificans*, *T. versutus*, *Thiosphaera pantotropha*, *Pseudomonas denitrificans*, etc.

## 4.3.1.4 Heterotrophic Sulfur-Oxidizing Microbes

Heterotrophic sulfur-oxidizing bacteria (HSOB) could oxidize sodium sulfide, tetrathionate, thiosulfate, metabisulfite, and sulfite, but they are unable to gain energy from S oxidation (Tuttle 1980). Starkey (1934) confirmed that HSOB isolated from soil could oxidize  $S_2O_3^{2-}$  both in organic and mineral media, with  $S_4O_6^{2-}$  being formed as an intermediate. HSOB could also oxidize  $S_4O_6^{2-}$  to tri-

and pentathionate, and these oxidations being associated with an initial rise and then a fall in the pH of the culture medium suggest that the growth of some heterotrophic marine bacteria is stimulated when  $S_2 O_3^{2-}$  is oxidized. A range of hydrogen bacteria autotrophicum, autotrophicus. Aquaspirillum (Xanthobacter Pseudomonas *pseudoflava*, and *P. pulleronii*) was shown by Friedrich and Mitrenga (1981) to be capable of oxidizing  $S_2O_3^{2-}$ . Hydrogen sulfide (H<sub>2</sub>S)-oxidizing actinomycetes isolated from soil could oxidize S as facultative chemoautotrophs. However, these organisms also act as heterotrophs and are able to scavenge carbon from the atmosphere (Skiba and Wainwright 1984). To date the list of fungi capable of S oxidation contains mainly soil fungi such as Asteriomyces crucicatus. Thermophilic fungus Sporotrichum thermophile can oxidize SO to  $S_2O_3^{2-}$  at 37 to 45 °C. Even ectotrophic mycorrhizae can play a vital role in sulfur oxidation in soils. Aspergillus niger and Mucor fiaous oxidized elemental sulfur in vitro to form relatively large amounts of sulfate. Some of the examples of HSOB are *Pseudomonas aeruginosa*, Sphaerotilus natans, Xanthobacter autotrophicus, Aquaspirillum autotrophicum, Pseudomonas pseudoflava, P. pulleronii, Actinomycetes, Alternaria tenuis, and Aureobasidium pullulans. A soil amoeba has been shown to be capable of oxidizing  $H_2S$ .

# 4.4 Sulfur Reduction by Microbes

Microbial sulfur (or sulfate) reduction is governed by two possible pathways, i.e., either assimilatory or dissimilatory process. In the assimilatory reduction pathway, reduced sulfur is generally used for biosynthesis of amino acids and proteins, whereas in dissimilatory reduction, sulfate (or sulfur) is reduced to inorganic sulfide by obligatory anaerobic sulfate reducers. The process of sulfur reduction occurs through dissimilatory sulfur reductase system which is present both in bacterial and archaeal sulfate-reducing species (Wagner et al. 1998). The organisms which are involved in this process draw majority of their metabolic energy from the reduction and use of sulfur compounds as electron acceptors. In this process, carbon substrates such as lactate or ethanol are oxidized, and hydrogen sulfide gas is produced (Jørgensen 1982). The enzyme pathway responsible for the reduction of sulfur is known as the dissimilatory sulfur reductase system. The sulfur-reducing organisms (SRB) are generally found in anaerobic conditions and play vital role in the formation of acid sulfate soils and pyrite. Sulfide can be produced by anaerobic microorganisms while breaking proteins to amino acids. Some of the examples of SRB and archaea are Desulfurella, Desulfuromonas, Geobacter, Pelobacter, etc. and Thermoproteales, Thermococcales, Sulfolobales, Pyrodictales, Sulfolobales, etc., respectively (Schauder and Kröger 1993).

# 4.5 Microbial Functional Genes Responsible for Sulfur Oxidation

In the recent years, sulfur oxidation pathways have been reported in many S-oxidizing bacteria (SOB), and the biochemistry behind these pathways is quite complicated (Ghosh and Dam 2009). In general, SOB follow two types of S oxidation pathways; one is Sox pathway (*sox* gene) which involves a multienzyme complex catalyzing the complete oxidation of reduced sulfur compounds to sulfate, and another is APS (adenosine-5-phosphosulfate) pathway (*aps* gene) which implements elemental sulfur and sulfite as intermediates (Ghosh and Dam 2009). Other important genes in S oxidation pathway are *asf* and *sor*. *Asf* gene is responsible for aryldesulfonation reaction of sulfonate mostly present in agricultural soils, whereas *sor* gene encodes sulfur oxygenase reductase, which oxidized the elemental sulfur and produced sulfite, thiosulfate, and sulfide. Comprehensive information of function of various key genes associated with biogeochemical cycle of sulfur is presented in Fig. 4.2.

### 4.5.1 sox Gene

The Sox (sulfur oxidase pathway) is currently considered the most widely distributed and the best characterized of the bacterial and archaeal S oxidation pathways. The Sox enzyme pathway is responsible for the oxidation of reduced S or S compounds and has been isolated in polythionate-oxidizing bacteria (Bamford et al. 2002). Common sulfur oxidase enzymology in the bacteria was initially illustrated by Trüper and Fischer (1982) in a comparison of chemoautotrophic and phototrophic bacteria. It was noted that a number of enzymes were common to the green, purple, and colorless sulfur bacteria, including the common use of cytochrome C and flavocytochrome C in electron transport (Trüper and Fischer 1982; Friedrich et al. 2001). The Sox enzyme system was originally classified as a number of separate pathways. Each of the pathways was designated principally by function, most

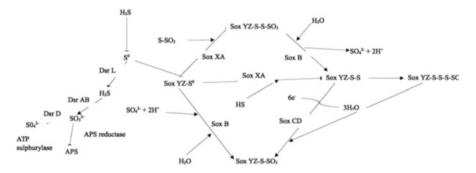


Fig. 4.2 Function of various key genes associated with biogeochemical cycle of sulfur (Adapted from Grabarczyk et al. 2015)

commonly thiosulfate oxidation, due to both the stability of the thiosulfate molecule and the common utilization of thiosulfate by the majority of the bacteria (Petri et al. 2001).

Sox complex has many components such as *soxB*, *soxXA*, *soxYZ*, and *soxCD*. The key constituent among all is *soxB*. The oxidation of thiosulfate  $(S_2O_3^{2-})$  to form sulfate  $(SO_4^2)$  is stringently dependent on the presence of three periplasmic Sox proteins which has been encoded by soxBXA and soxYZ genes. However, Sox proteins are not necessarily required during oxidation of sulfide  $(H_2S)$  process (Hensen et al. 2006). Purple sulfur bacteria comprise 15 different kinds of sox genes which have been organized into three transcriptional units such as soxRS, soxVW, and sox XYZABCDEFGH. Out of these, in vivo and in vitro thiosulfate oxidation are essentially mediated by periplasmic proteins SoxXA, SoxYZ, SoxB, and Sox (CD)<sub>2</sub>. In green S bacteria (Chlorobaculum parvum DSM 263), soxJsoxXYZA-soxK-soxBW genomic arrangement is generally found (Frigaard and Bryant 2008) which forms sulfur (S) during thiosulfate oxidation (Steinmetz and Fischer 1982). Polysulfides may act as intermediates during thiosulfate oxidation in the periplasm of green sulfur bacteria (Frigaard and Bryant 2008; Friedrich et al. 2001). Green S bacteria, Allochromatium vinosum, lack the enzyme sulfur dehydrogenase; therefore the sulfare sulfur atom which is linked to *soxY* cannot be oxidized. However, other genes soxB and soxXA are transcribed divergently in A. vinosum (Frigaard and Bryant 2008). Among all sox genes, soxCD gene is not detected in magnetotactic Magnetococcus sp. MC1, Thiobacillus denitrificans, thiosulfateoxidizing green sulfur bacteria, and A. vinosum (Frigaard and Bryant 2008).

## 4.5.2 aps Gene

Adenosine-5-phosphosulfate (APS) pathway involves two enzymes such as APS reductase and ATP sulfurylase (Kappler and Dahl 2001). APS reductase is encoded by *aps* gene which forms APS after catalyzing sulfite and adenosyl monophosphate (AMP) during indirect sulfite oxidation. ATP sulfurylase (ATP, sulfate adenyltransferase) and adenylsulfate/phosphate adenyltransferase (APAT) catalyze to transfer AMP moiety of APS to either pyrophosphate or phosphate, respectively. APS reductase also acts as key enzyme in dissimilatory sulfate reduction pathway in sulfur-reducing prokaryotes (Meyer and Kuever 2007). However, this enzyme is involved in the transformation of sulfite to APS in sulfur-oxidizing prokaryotes (Meyer and Kuever 2007). The *aps* gene was first identified in the archaea *Acidianus ambivalens* in which the major enzyme, sulfur oxygenase reductase, catalyzes the oxidation of sulfur (Urich et al. 2005). Recently, this enzyme system has also been detected in multiple members of the bacteria including *Acidithiobacillus* species and *Aquifex aeolicus*.

# 4.5.3 asfA Gene

Assimilation and mobilization sulfonates in agricultural soils are one of the key soil processes in S cycle, and this is mediated by microbial oxidoreductase *asfA* gene. The *asfA* gene was first discovered in *Pseudomonas putida* S-313, which has the ability to desulfurize toluene sulfonate to p-cresol under aryldesulfonation process (Vermeij et al. 1999; Kertesz and Mirleau 2004). Orthologue sequences of *asfA* gene are detected in vast group of cyanobacteria and bacteria including *Cupriavidus* (*Ralstonia*) *metallidurans* which are able to utilize arylsulfonates as sulfur source. A 100-fold increase in the expression of *asfA* gene was detected in *C. metallidurans* or *P. putida* S-313 culture media containing toluene sulfonate as sulfur source, but the expression was largely repressed when sulfate was added. Kertesz and Mirleau (2004) analyzed the *asfA* containing bacterial diversity in barley rhizosphere and indicated the huge diversity of bacteria that were capable to utilize toluene-sulfonate as sulfur source.

# 4.5.4 sor Gene

The sulfur oxygenase reductase (Sor) enzyme is encoded by *sor* gene which oxidizes the elemental sulfur into sulfite and thiosulfate. The Sor enzyme is generally considered as "archaeal-like" enzyme and present in acidophilic leaching bacteria such as *Acidithiobacillus caldus*, *A. thiooxidans*, *A. ferrivorans*, and *Sulfobacillus thermosulfidooxidans* (Janosch et al. 2015). Sor is a thermophilic enzyme, and its oxygenase activity was detected at 75 °C in *Sb. thermosulfidooxidans* DSM 9293T. Besides *sor* genes, oxygenase activity in *Sb. thermosulfidooxidans* DSM 9293T also has another kind of genes which encodes complete heterodisulfide reductase (*hdr* gene), tetrathionate hydrolase (*tth* genes), sulfide/quinone reductase (*sqr gene*), and thiosulfate quinone reductase (*tqo*) gene. Interestingly, no *sox* genes were involved in the oxygenase activity.

# 4.6 Microbial Genes Involved in Sulfur Reduction

## 4.6.1 dsr Gene

Sulfate-reducing bacteria (SRB) contain *dsr* gene which encodes the dissimilatory sulfite reductase and is able to catalyze the conversion of sulfite to sulfide with reduction of six electrons. Different models have been proposed to explain the exact roles of the *dsr*-encoded proteins in *Allochromatium vinosum* (Dahl et al. 2005). Altogether, 15 open reading frames, designated *dsrABEFHCMKLJOPNRS*, were identified in *A. vinosum* (Hipp et al. 1997; Lübbe et al. 2006). Various studies have been carried out to study the diversity of SRB using a 1.9-kb *dsrAB* gene fragment amplified with DSR1F and DSR4R primers. These primers were used for molecular

characterization of SRB from various habitats including deep sea hydrothermal vents, salt marshes, sediments, etc. (Agrawal and Lal 2009).

# 4.7 Microbial Association in Sulfur Cycle Under Diverse Ecosystems

The representative microbial groups responsible for sulfur oxidation and reduction in different ecosystems are elucidated in Table 4.1.

#### 4.7.1 Agroecosystems

The impact of sulfur (S) deficiency in agriculture soils has been recognized for more than a century and is becoming increasingly common in many areas of the world as a result of intensive agriculture, high biomass exportation, and reduced S emissions to the atmosphere (Lucheta and Lambais 2012). Among agricultural crops, rice is the dominant and staple food crop of Asia having 90% of the world's total rice grain production. As rice plants can occupy a large volume of the planted soil, oxidized zones can occur which allow the growth and metabolism of aerobic microorganisms, even in flooded conditions (Freney et al. 1982). As a result, sulfur can exist in these soils in all of its oxidation states from +6 of sulfate to -2 of sulfide, and reduced forms of the element are subject to normal oxidation processes, although sulfur oxidation in paddy soils has not been studied extensively. The two microbes, Thiobacillus thioparus (Freney et al. 1982) and T. thiooxidans (Mouraret and Baldensperger 1977) have been isolated, and other species are likely to be present (Freney et al. 1982). It has already been mentioned that *Beggiatoa* species (Joshi and Hollis 1976) play a dominant role in rice soils, and it is also likely that heterotrophs and purple and green sulfur bacteria are important in the oxidation of reduced S in the rice rhizosphere. It has been reported that the oxidation of sulfide is beneficial for the rice growth and H<sub>2</sub>S served as a causal agent in 12 out of the 27 physiological disorders of rice. On the other hand, soluble sulfides are toxic to nematodes and, hence, can be beneficial to rice (Freney et al. 1982).

As sulfur deficiencies are coming up in rice growing, making necessary sulfur fertilization with compounds such as elemental sulfur and sulfur-coated urea, there is a clear need for a better understanding of the sulfur oxidation in rice paddy soils. Reductions of sulfate, under paddy soil, play key roles in the nutrient mineralization process under early flooded rice fields (Yao et al. 1999). Researchers indicated that sulfur concentration is slightly lower in rice field flooded with freshwater than the marine ecosystem. Another study suggested that soil incorporated with rice straw significantly increased sulfate content. The sulfate (SO<sub>4</sub><sup>2-</sup>) reduction was observed higher in the rice straw-amended slurries due to presence of high *dsrAB* gene copy numbers. Most of the bacteria responsible for SO<sub>4</sub><sup>2-</sup> reduction in this condition belonged to the genera *Clostridia, Desulfobacterium, Desulfovibrio, Desulfomonile,* and *Syntrophobacter* (He et al. 2010). Recent study by Kumar et al. (2017) revealed

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Habitat	Microbes	Response (oxidation/ reduction)	References
Agriculture ecosystem	Beggiatoa sp. (paddy soil)	Oxidation	Burke et al. (1974) and Joshi and Hollis (1976)
	<i>Thiobacillus denitrificans</i> (cotton and groundnut field)	Oxidation	Yousuf et al. (2014)
	T. thioparus	Oxidation	Wainwright (1984)
	T. neapolitanus	Oxidation	Wainwright (1984)
	T. novellus	Oxidation	Wainwright (1984)
	Rhodovulum sulfidophilum	Oxidation	Yousuf et al. (2014)
	Betaproteobacteria	Oxidation	Yousuf et al. (2014)
	Marichromatium purpuratum	Oxidation	Yousuf et al. (2014)
Aquatic ecosystem	Beggiatoa sp.	Oxidation	Wainwright (1984)
Barren terrestrial land			
ecosystem	Rhodothalassium salexigens	Oxidation	Yousuf et al. (2014)
	Thiomicrospira crunogena	Oxidation	Yousuf et al. (2014)
	Paracoccus pantotrophus	Oxidation	Bardischewsky et al. (2005)
Blacks Drain and Cudgen Lake	Aquifex aeolicus	Oxidation	Pelletier et al. (2008)
	Paracoccus versutus	Oxidation	Wodara et al. (1997)
	Archaeoglobus profundus	Reduction	Mander et al. (2004)
	Thermodesulforhabdus norvegica	Reduction	Larsen et al. (2001)
	Desulfotomaculum thermocisternum	Reduction	Larsen et al. (2001)
Coastal saline land, hypersaline habitats	Rhodovulum sulfidophilum	Oxidation	Tourova et al. (2011)
	Thiomicrospira crunogena	Oxidation	Tourova et al. (2011)
	Spirochaeta sp.	Oxidation	Tourova et al. (2011)
	Rhodovillum adriaticum	Oxidation	Tourova et al. (2011)
Coastal acid sulfate soil under sugarcane cultivation	Acidithiobacillus ferrooxidans	Oxidation	Wakai et al. (2004)
Costal ecosystem	Thiomicrospira sp., Arcobacter sulfidicus, and Sulfurimonas denitrificans	Oxidation	Kuenen and Tuovinen (1981)
Freshwater ecosystem	Betaproteobacteria	Oxidation	Wu et al. (2006)

 Table 4.1
 Association of different sulfur-oxidizing and sulfur-reducing microbes in various ecosystems

(continued)

Habitat	Microbes	Response (oxidation/ reduction)	References
Hot spring ecosystem	Proteobacteria	Reduction	Badhai et al. (2014)
	Thermodesulfovibrio sp.	Reduction	Badhai et al. (2014)
	Thiobacillus ferrooxidans	Oxidation	Wainwright (1984)
	Thiobacillus organoparus	Oxidation	Wainwright (1984)
	Mycorrhizae	Oxidation	Grayston and Wainwright (1988)
Hypersaline habitats	Thiohalorhabdus denitrificans	Oxidation	Sorokin et al. (2008)
Lihir Island	Acidianus sulfidivorans sp. nov.	Oxidation	Plumb et al. (2007)
Mangrove swamps	Desulfovibrio desulfuricans	Reduction	Sahoo and Dhal (2009)
Marine ecosystem	Asteriomyces crucicatus	Oxidation	Wainwright (1984)
	Asteriomyces crucicatus	Oxidation	Wainwright (1984)
	Oscillochloris trichoides	Oxidation	Dahl and Friedrich (2008)
Marine sediments	Gammaproteobacteria	Oxidation	Yousuf et al. (2014)
	Archaeoglobus fulgidus	Reduction	Mander et al. (2004)
	Thioploca sp.	Oxidation	Jørgensen and Nelson (2004)
	Pseudoxanthomonas mexicana	Oxidation	Krishnani et al. (2010)
Spruce forest	T. thiooxidans	Oxidation	Wainwright (1984)
ecosystem	T. thioparus	Oxidation	Wainwright (1984)
Sub-tropical rainforest and back swamps	Ferrobacillus ferrooxidans	Oxidation	Brunner et al. (2008)
Swamp ecosystem	Aspergillus niger	Oxidation	Grayston et al. (1986)
	Mucor fiaous	Oxidation	Grayston et al. (1986)

#### Table 4.1 (continued)

that the temporal variation of sulfur-oxidizing bacteria (SOB) was observed under continuous application of chlorpyrifos over seven seasons in paddy soil.

Canola plant (*Brassica napus*) requires high sulfur (S) during its vegetative growth; otherwise, it shows S-deficiency symptoms. Therefore, elemental sulfur (S<sup>o</sup>) fertilizer (with or without inoculated sulfur-oxidizing microorganisms) is frequently used to alleviate this problem (Anandham 1991). *Burkholderia* sp. strain ATSB13T, a thiosulfate-oxidizing facultative chemolithoautotrophic, was isolated from tobacco rhizosphere and has ability to serve as a potential inoculant along with elemental sulfur fertilizers (Anandham et al. 2009).

## 4.7.2 Acid Sulfate Soil

Acid sulfate soils (ASS) are widespread around the globe and are formed by natural accumulation of bacterially formed pyrite in estuarine environments such as mangrove swamps (White and Engelen 1997) worldwide. ASS is the name given to all soils and sedimentary materials that, through pedogenesis, produce sulfuric acid in quantities that affect soil properties. Southeast Asia occupied about half of the area of ASS found in the world (Langenhoff 1986). In India, these soils are mostly located in swampy coastal plains in the Kuttanad tract (kari lands) of Kerala (Mathew et al. 2001). Alteration of soil water regimes has occurred following the increased urban and rural development of coastal regions. The subsequent oxidation of metal sulfide materials in these soils generates sulfuric acid and highly acidic soil conditions (Dent 1986). ASS sites release leachate of low pH metal which is one of the factors responsible for severe contamination and degradation of ecosystem. As such, the oxidation of ASS results in a host of environmental and economic problems that include loss of aquatic habitats and populations, decreased soil productivity, the emission of greenhouse and other gasses into the atmosphere, and the degradation of civil infrastructure. ASS oxidation also reduces the productivity of agricultural land and decreases the ecological health of aquatic ecosystems through the release of acidic leachate. It is proposed that bacterial and archaeal communities play an important role in the oxidation of ASS and the subsequent generation of acid similar to those observed in acid mine drainage environments.

ASS oxidation means oxidation of pyrite which produces a wide range of oxidation products including sulfuric acid. There is a number of oxidation pathways described for the complete oxidation of pyrite. The complete oxidation of pyrite is proposed to proceed via the formation of intermediates including elemental sulfur. A two-step oxidation then produces ferrous iron (Fe  $^{2+}$ ) and sulfate followed by further oxidation to produce ferric iron (Fe<sup>3+</sup>). This oxidation process has been referred to as ripening of ASS (Dent 1986). Ferric iron (Fe<sup>3+</sup>) has the capacity to oxidize pyrite directly in an oxygen-independent reaction. This interaction can further accelerate the oxidation process. Sometimes, ASS oxidation occurs naturally, as a result of drought and increased pressure on groundwater supplies (lower water table elevation) due to evapotranspiration. The oxidation process is often balanced by natural re-flooding events, which reduce the severity and impacts of oxidation products. Biologically mediated pyrite oxidation is attributed exclusively to the activity of bacteria, and acidophilic chemolithotrophic bacteria such as Acidithiobacillus ferrooxidans and Ferrobacillus ferrooxidans are responsible to catalyze pyrite oxidation at pH below 4 (Rawlings 2001). Fe- oxidizing chemolithotrophs gain energy from the oxidation of acidic ferrous Fe, although S is also used as an alternative electron donor. Sulfate reducers are generally found in the reducing conditions of anoxic environments. The sulfate-reducing bacteria play a vital role in pyrite formation under ASS. Dissimilatory sulfate reducers derive a large proportion of their metabolic energy from the reduction of sulfur and utilization of sulfur compounds as electron acceptors. Sulfate is reduced to sulfite in an eight-electron transfer reaction. In this process, a fixed carbon substrate such as ethanol or lactate is

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oxidized, and hydrogen sulfide gas (H<sub>2</sub>S) is produced. The enzyme pathway responsible for the reduction of sulfur is known as the dissimilatory sulfur reductase system. Sequence analysis revealed the unique bacterial community assemblage present in the acid sulfate soil environment. A number of novel bacterial genera and species belonging to phyla *Proteobacteria*, *Actinobacteria*, *Bacteroidetes*, *Thermomicrobia*, *Verrucomicrobia*, *Firmicutes*, *Acidobacteria*, *Spirochaetes*, *Planctomycetes*, *Chloroflexi*, *Chlamydiae*, *Nitrospira*, *Dictyoglomi*, *Cyanobacteria* and the candidate phyla OP11 and OP10 were identified in the soil profile of a typical coastal acid sulfate soil under sugarcane cultivation. Analysis of the archaeal community composition through cloning-sequencing revealed the primary functions of these organisms in ASS environments were the production of methane and oxidation and reduction reactions of the sulfur cycle (Brunner et al. 2008).

#### 4.7.3 Acid Mine Drainage and Coal Mine Spoils

Colliery spoils of all types contain some sulfur (S). Surface strip mine spoil having a pH <2 was found to contain 3-5% sulfur, which, in decreasing order of importance, was made up of (1) inorganic sulfidic S, (2) water-soluble S, (3) dilute acidextractable S, (4) reduced S, (5) elemental S, and (6) anion-exchangeable S. Organic S contributed a minor fraction of the overall S content, although organic S may be present in some coal spoils (Harrison 1978). It is not surprising in view of the reduced inorganic S present that S oxidation occurs in colliery spoils. Brock (1978), using the  ${}^{14}CO_2$  technique, showed that chemoautotrophic bacteria were found on the surface of pyritic materials associated with coal, but not below 10 cm depth. They also isolated large populations of heterotrophic bacteria and fungi, notably Aureobasidium pullulans, which is interesting considering that this fungus can oxidize sulfur in vitro (Williams and Cloete 2008). Populations of Fe- and S-oxidizing bacteria were also isolated from spoil in southeastern Montana, viz., waste coal (acidic pyrite-rich) and oxidized alkaline materials. S oxidation is generally hampered and decreased during summer and dry months. Not all forms of pyrite encountered in these spoils are subjected for bacterial oxidation. However, large crystals, for example, appeared to present too little surface area for rapid bacterial action. Application of  $SO_2$  to calcareous spoils might improve their quality because Thiobacillus oxidize  $SO_2$  to  $H_2SO_4$ , thereby lowering the excess alkalinity of the spoils and converting Na-saturated clay to Ca-saturated clay. Lack of sulfur oxidation due to dry spoils is unlikely to be a problem in areas with adequate rainfall. Problems relating to acid drainage from mines are often stressed in the literature (Kleinmann and Crerar 1979). In this respect, the activity of T. ferrooxidans has been emphasized. It is worth recalling that T. ferrooxidans can oxidize  $S^0$  and  $S_2O_3^{2-}$ with the formation of polythionates. For every mole of S oxidized, 180 mol of ferrous ion is oxidized but does not occur simultaneously. Harrison (1978) studied the microbial succession in an artificial coal spoil and showed that heterotrophic bacteria are an important component of the ecology of these habitats. Choline-SO<sub>4</sub>  $^-$ 

utilizing bacteria accounted for 1% of the population. Harrison (1978) suggested that organic sulfur present in coal may first be attacked by heterotrophs and the sulfur released may undergo further oxidation by *Thiobacillus*, particularly *T. ferrooxidans*.

## 4.7.4 Coastal Sand Dune

Coastal sand dunes are edaphic deserts and usually show nutrient deficiency for plant growth. The plant grown under this condition requires S from  $SO_4^{2-}$  deposited in sea spray (Skiba and Wainwright 1984). Coastal dunes tend to be S deficient due to the leaching out of  $SO_4^{2-}$  rapidly. In these environments, elemental sulfur might be profitably used for increasing the amount of available sulfur in these environments. It was observed that S<sup>0</sup> was oxidized in sand and soil samples taken at various points along the dune succession, in which intermediates are formed in the form of SO<sub>3</sub> and S<sub>4</sub>O<sub>2</sub><sup>-</sup>. The S oxidation rate is generally enhanced by increasing content of C and N, decreasing in soil pH and vegetation cover. These sands tended to resist the acidification produced as S<sup>0</sup> was oxidized because of their high CaCO<sub>3</sub> contents; they might therefore be useful as sinks for waste gaseous S. The most occurring microbes of these ecosystems are *Salicornia* sp., *Puccinellia distans*, *Microcoleus chthonoplastes*, *Lyngbya aestuarii*, and *Leptolyngbya* sp. (Skiba and Wainwright 1984).

# 4.7.5 Hot Acid Soil

Although hot acid soils occur infrequently, they do provide an interesting habitat for the growth of heterotrophic microorganisms (Brock 1978). Solfatara is found in areas like Yellowstone Park. These are defined as areas where elemental S is precipitating out as a result of the oxidation of H<sub>2</sub>S which are raised with steam from within the earth to the surface. They occupy hillsides, plateaus, small ravines, and shallow holes, and here springs are absent, but sulfur-rich soils at various temperatures are found, ranging in temperature from the mid-20 to the mid-30 °C range on the surface to about 75–90 °C at 20 cm depth. High concentrations of SO<sub>2</sub> are present (up to 152 mg g<sup>-1</sup>), as are high levels of SO<sub>4</sub><sup>2–</sup> (4 mg g<sup>-1</sup>), and pH values are as low as 0.7. *Thiobacillus* and *Sulfolobus* are present in these soils at the lower and higher temperatures (70 °C), respectively, and only overlap at 55 °C (Brock 1978).

# 4.7.6 Hot Spring

Hot springs are sites that release warm groundwater. The main possible reasons of high temperature in hot spring water are geothermal energy, exothermic reactions, and fission in radioactive elements (Mahala et al. 2013). Hot spring water usually

have various kinds of minerals such as sulfates, carbonates, alkali, alkaline metals, and trace elements (Reddy et al. 2013); therefore, this is considered to have medicinal properties. Besides this, it also contains gasses like  $H_2S$ ,  $CO_2$ , and low amount of  $O_2$  (Mahala et al. 2013), and these gasses may be responsible for the sulfurous odor in hot spring water. Indian hot springs generally have moderate temperature (42–58 °C), moderate salinity, and near-neutral pH, whereas hot springs in other countries like the Philippines, China, and Malaysia have high temperature (50–110 °C), low to high salinities, and acidic or alkaline pH. Due to differences in these parameters (temperature, pH, and salinity), significantly dissimilar microbial phyla had been observed across tropical hot springs (Wang et al. 2013).

Moreover, in the hot spring environments, the important decomposers of organic matter under anoxic conditions are sulfate-reducing proteobacteria. Colorless sulfur bacteria can be isolated from sulfidic springs ranging from cold to mesophilic and geothermal hot sulfur springs. Thiobacillus, Thiomonas, Beggiatoa, and Thiothrix cells have been observed in the sulfidic springs of Frasassi cave system. Beggiatoa populations normally flourish in microaerophilic environment than Thiothrix (Macalady et al. 2006). *Themothrix azorensis* an obligately chemolithoautotrophic, thermophile growing in temperature range of 63–86 °C, was isolated from a hot spring (Odintsova et al. 1996). Thiomicrospira psychrophila, Thiobacillus, and Halothiobacillus sp. strain RA13 were reported from Gypsum Hill and Colour Peak sulfur springs; Thiomicrospira was dominant in sediment microbial communities as indicated by DNA-based analysis (Perreault et al. 2007). It was observed that few novel microbial species such as *Thiomonas bhubaneswarensis*, Chelatococcus sambhunathii, Comamonas thiooxydans, and Gulbenkiania indica were isolated from the four tropical hot springs of Odisha (India), namely, Taptapani, Tarabalo, Atri, and Athmallik (Jyoti et al. 2010; Narayan et al. 2016). Some of the thermotolerant plant growth-promoting fungi were also isolated from hot springs of Odisha and registered in National Fungal Culture Collection of India (NFCCI), Pune, by Kumar and Dangar (2014). Genus Sulfolobus was discovered from hot springs and is a thermophilic, acidophilic, facultative autotroph. Thermothrix thioparus, a neutrophilic thermophile, capable of depositing sulfur extracellularly and oxidizing sulfur compounds anaerobically using nitrate, was recovered from a New Mexico hot spring, whereas a sulfur oxidizer bacterium, Sulfurihydrogenibium yellowstonense, extremely thermophilic, facultatively heterotrophic, was isolated from Yellowstone National Park. Occurrence of Sulfurovum*like* spp. with *Thiothrix* and *Thiofaba* spp. was reported from sulfur springs in the USA. Sulfide concentration in the environment also affects diversity of colorless sulfur bacteria. Based on molecular diversity analysis, *Chloroflexus* and *Aquificales* were found dominant in the low-sulfide spring and high-sulfide spring, respectively, at the same temperature (Skirnisdottir et al. 2000).

# 4.7.7 Marine Water and Sediments

In marine habitats, the initial step of S cycle is the oxidation of hydrogen sulfide  $(H_2S)$ . However, microbial role of sulfur oxidation under these habitats especially marine sediments is largely unknown, with exception of certain mat-forming and filamentous bacteria (Jørgensen 1982). In marine system, the sulfur-oxidizing prokaryotes generally are able to oxidize  $H_2S$  present in sulfidic intertidal sediments which are produced by sulfate-reducing microbes after utilizing oxidized S compounds as substrate (Jørgensen 1982). Other researchers indicated that in freshwater ecosystem (flooded rice field), the sulfur concentration is slightly lower than the marine ecosystem.

## 4.7.8 Peatland Soil

Peatland ecosystem is formed due to long-term incremental increase of global warming, less precipitation, and atmospheric deposition of reactive nitrogen and sulfur compounds, accompanied by unforeseeable changes in the carbon balance (Dise 2009). It is estimated that peatlands can emit methane which constitutes 10–20% of the total global methane emission (Wuebbles and Hayhoe 2002) and increase global atmospheric sulfur pollution and acid precipitation (Gauci et al. 2004). In peatland soil, anoxic recycling of reduced sulfur compounds accompanied by high sulfate reduction rates resulted in the formation of "thiosulfate shunt" (Blodau et al. 2007). Some of the important factors responsible for this process in peatland ecosystem are vegetation type, drought, and alternating periods of precipitation (Wind and Conrad 1997; Paul et al. 2006; Reiche et al. 2009; Deppe et al. 2010). One representative model (fen system) for peatland system is located at forested Lehstenbach catchment (Bavaria, Germany) which gives the significance of dissimilatory sulfate reduction by microbes in this system (Klemm and Lange 1999; Alewell et al. 2000).

Relatively lower abundance of *Desulfosporosinus* species (only 0.006% of the total bacterial and archaeal 16S rRNA genes) were encountered under peatland system; however substantial capacity of sulfate reduction was catalyzed by them only. On the other hand, a large portion of sulfate reduction under in situ still remains unsolved (Pester et al. 2010). Mostly in peatland, microbial-mediated dissimilatory (bi) sulfite reductase (*dsrAB gene*) is operated that utilizes sulfite or sulfate anaerobically; that is why these genes act as suitable markers to assess molecular diversity studies in peatland (Dhillon et al. 2003; Kjeldsen et al. 2007). *Desulfomonile* and *Syntrophobacter* were occasionally detected by *dsrABFGA* analysis and generally present in lower soil layer than in the deeper soil layers (Steger et al. 2011). In peatlands, usually the position of the water table marks the transition between the oxic and anoxic zones. Novel *dsrAB*-carrying microorganisms are widespread in wetlands, and *dsrB* DGGE bands and a *dsrAB* clone library revealed that these were broadly distributed among different bogs and fens and related to *Syntrophobacter wolinii* (Pester et al. 2010). However, the relatively high abundance of unique

microflora are yet to be discovered under model peatland ecosystem which would be desirable future research to better understand the nutrient cycle including S cycle under this system (Stepanauskas and Sieracki 2007; Wagner 2009; Xie et al. 2005).

## 4.8 Conclusion and Future Prospects

It has been established that most of the sulfur compounds utilized by plants for their growth is derived from soil organosulfur pool and the mobilization and assimilation of sulfur by plants are mediated by the soil microbial community. The main drivers of sulfur biogeochemical process in different ecosystems are bacteria and archaea. So far, very limited studies have been conducted to prove beneficial effect of inoculation with sulfur-oxidizing bacteria (SOB), and also no commercial product is available elsewhere on SOB-based bioformulations. Recently, researchers attempted to use granular form of elemental S (ES) (Zhao et al. 2017a) and ES-Zn (Mattiello et al. 2017) fertilizers with the help of S-oxidizing microorganisms, and they further indicated that this form of S is slower to oxidize than powdered elemental S mixed through soil (Zhao et al. 2017a). They also suggested that ES oxidation was not affected by short-term changes in bacterial abundance and community composition by temporary increases in soil acidity or ionic strength (Zhao et al. 2017b). Some researchers also revealed for the first time that besides common SOB, two other groups of bacteria (*Comamonadaceae* and *Rhodococcus*) may also play a specialized role in sulfonate cycling in the soil (Schmalenberger et al. 2009). In addition, mycorrhizal fungi and protozoa in association with bacteria are also important in providing sulfur to plants. Till date, researchers have made considerable advances for understanding how soil organosulfur is converted to plant-available sulfur as well as their regulating mechanism of this process. However, further in-depth investigations are required to understand S transformation process under different habitats through integrated molecular ecology approach as sulfur cycling becomes an important component in anthropogenic ecosystem environment.

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5

# Microbial Cycling of Arsenic in the Aquifers of Bengal Delta Plains (BDP)

Devanita Ghosh and Punyasloke Bhadury

#### Abstract

The element arsenic is abundant on earth's crust. Solubilization due to physicochemical or biologically mediated processes can lead to elevated levels of arsenic in the aquatic environments. In the Bengal Delta Plains (BDP), arsenic mobilization, in particular in aquifers and agricultural lands, has resulted in serious health manifestations among human populations residing in India and Bangladesh. Moreover, the organic matter composition has been shown to be the key component controlling arsenic fluxes in groundwater of BDP region. Microbes have the capability to alter As fluxes and thus can form the basis of cost-effective bioremediation technologies for As-free drinking water. In this chapter emphasis has been laid on the distribution of As and its fluxes across different ecosystems. The fluxes that are controlled by microbial metabolic pathways, which in turn depend on bioavailability and properties of organic matter in the environment, have been highlighted in this chapter.

### Keywords

Arsenic · Cycling · Bengal Delta Plains · Fluxes · Metabolic pathways

D. Ghosh

P. Bhadury (🖂)

Laboratory of Biogeochemistry, Centre for Earth Sciences, Indian Institute of Science, Bangalore, India

Integrative Taxonomy and Microbial Ecology Research Group, Department of Biological Sciences, Indian Institute of Science Education and Research Kolkata, Mohanpur, West Bengal, India e-mail: pbhadury@iiserkol.ac.in

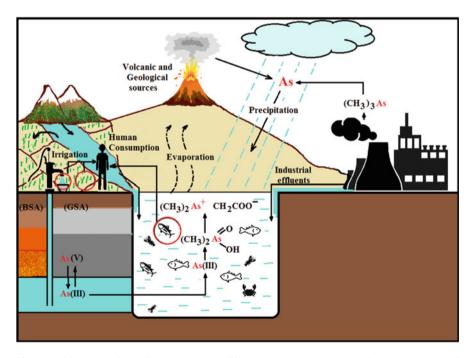
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## 5.1 Introduction

The element arsenic (As) is one of the most well-known toxic compounds on earth, having atomic number 33, and mainly found in various mineral forms in conjunction with sulfur and other metals. The element was first documented in 1250 AD by the German alchemist Albertus Magnus (Emsley 2001). However, As in crystalline form is very rare, comprising around 0.00005% of earth's crust (Gulledge and O'Connor 1973), and its average abundance in sedimentary and igneous rocks is relatively less (~2 mg/kg) (Kabata-Pendias and Pendias 1984). However, fine-grained argillaceous sediments and phosphorites may have higher As abundance in comparison to igneous rock (Mandal and Suzuki 2002). Physicochemical conditions can affect solubilization and/or microbial mobilization and subsequent bioavailability of As in any environment (Smedley and Kinniburgh 2002). Some As-derived compounds get easily dissolved under varying physicochemical and microbiological environments (Fig. 5.1). Such dissolution may lead to elevated levels of As in natural water bodies.

Human populations depending on such water for drinking, agriculture, and other potable usage become prone to several critical health manifestations including various forms of cancer. The most alarming case is observed in India and



**Fig. 5.1** Global arsenic cycling representing different sources such as the volcanoes and thermal springs, industrial effluents, and geogenic origin. Arsenic from these sources is ultimately transported into the human body through various trophic levels in the food chain

Bangladesh where dissolution of As into groundwater has exposed more than 70 million people to various health risks directly linked to As. Such exposure of naturally occurring arsenic to human population can pass through food chain like vegetables (Roychowdhury et al. 2002; Das et al. 2004), rice (Warren et al. 2003), fish (Das et al. 2004; Shah et al. 2009), and milk (Roychowdhury et al. 2002). The contamination of crops such as paddy in the large fertile plains of Bengal with As is known to have enormous socioeconomic consequences (Debnath and Bhadury 2016).

The Bengal Delta Plains (BDP) are formed on the riverine deposits of three main rivers, namely, Ganges, Brahmaputra, and Meghna, which also contain large arsenopyrite deposit. The organic matter composition of the region has been shown to be the key component controlling arsenic fluxes in groundwater (Lawson et al. 2013; Ghosh et al. 2015a). However, anoxic condition also affects the dissolution of As minerals into water bodies thereby increasing its bioavailability. Due to the considerable threat of severe health manifestations due to As exposure, the World Health Organization (WHO) had listed As as a carcinogen and rereviewed the provisional guideline for drinking water, where concentration of As below 10 µg/l is considered to be safe (WHO 2011). Many technologies including adsorption, ion exchange, and reverse osmosis have been tested to remove As from water (Kartinen and Martin 1995). However, most of these techniques are expensive and non-eco-friendly. Use of microbial bioremediation has been one of the major scientific interests globally. Understanding of microbial metabolic system and their role in As cycling is very crucial for this purpose. This chapter focuses on the distribution of As and its fluxes across different ecosystems that are controlled by microbial metabolic pathways, which in turn depends on bioavailability and properties of organic matter in the environment that sustain these microbes.

# 5.2 Physicochemical Properties of Arsenic

Arsenic is present on Earth in the form of three prime allotropes, namely, black, gray, and yellow. The gray is the most abundant, while yellow is more volatile. Arsenic is monoisotopic, with only one isotope <sup>75</sup>As; however many As isotopes ranging from atomic number 60 to 92 have been synthesized (Shore et al. 2010). Due to its monoisotopic nature, As cannot be used by geochemists as a proxy for paleoenvironment-related studies or source-sink analyses. Compounds of As resemble that of phosphorus (P) compounds as both As and P belong to the same column of the periodic table. Arsenic mainly occurs in four states of oxidation: arsenate [As (V)], arsenite [As(III) +3], elemental [As(0)], and arsenide [As(III) -3]. In natural waters, like aquifers, ponds, geothermal spring, and rivers, As is predominantly found as arsenite [As(III)] or arsenate [As(V)] (Cullen and Reimer 1989). Among these, arsenite is more toxic to living forms than arsenate (Liu et al. 2001). Arsenite binds with thiol or vicinal sulfhydryl group receptor proteins and can affect cell signaling (Goyer and Clarkson 2001). It can also induce generation of reactive oxygen species (ROS) leading to cell damage and apoptosis (Liu et al. 2001).

Other than in sediments and mineral bearing lithospheric As, it is also present in atmosphere as small particle, produced from volcanic eruptions, industrial effluents, wind mobilization, and marine aerosol.

# 5.3 Forms of Arsenic in Sediments

Arsenic can be present in sediment in various inorganic and organic forms. The pentavalent inorganic forms are more abundant in lithosphere, including parts of various mineral forms (Kossoff and Hudson-Edwards 2012). Organic-rich arsenic forms are found during microbial leaching and uptake of inorganic As into their cell (Drewniak et al. 2010) which can be further transported in the food chain to higher trophic levels (Rahman et al. 2012).

The inorganic As is mostly present as minerals. Arsenic has more than 300 mineral forms, where oxides of arsenic and iron-bearing oxides of arsenic are mostly found. These minerals include arsenates, arsenites, arsenides, sulfides, sulfosalts, native elements, and metal alloys. Among these, sulfide-bound minerals (e.g., arsenopyrite, pyrite, realgar) and As(V) minerals (e.g., scorodite, beudantite, yukonite) are the most predominantly found sedimentary minerals (Kossoff and Hudson-Edwards 2012; Shrivastava et al. 2015) (Table 5.1). Other than these, arsenic is also found in toxic gaseous forms as arsine (AsH<sub>3</sub>) (Kossoff and Hudson-Edwards 2012), released in highly reducing acidic environments like marshy lands (Kossoff and Hudson-Edwards 2012). Arsine is usually released with methylated organic forms of As.

Organic arsenic is mostly produced by living organisms, when they take up inorganic arsenic forms. Among these, aquatic organisms, e.g., microorganisms, plankton, and small and large fishes, as well as mammals play a crucial role. The inorganic As forms are methylated into less toxic organic forms monomethylarsine (MMA), dimethylarsine (DMA), and trimethylarsine (TMA) (Cullen and Reimer 1989). However, arsenobetaine (AsB) is reported to be the most commonly found natural organoarsenical which is absent in living organisms (Hopenhayn 2006). Other than these, organoarsine forms are reported from phospholipid extracts of many fishes (Lunde 1968) and non-phospholipids in humans (Amayo et al. 2011).

#### 5.3.1 Distribution of Arsenic in Bengal Delta Plain (BDP)

The collision of Indian plate with that of Eurasian formed the Himalayas during Miocene (Umitsu 1993). The Bengal Fan was formed by deposition of sediments originating from rapid physical and chemical weathering of Himalayan rocks. This alluvial deposition further protruded as a clastic wedge into Bengal Basin (Alam 1989; Uddin and Lundbeg 1999). Lowering of sea level further along with erosion (Lindsay et al. 1991) and rapid deposition by the rivers such as Ganges, Brahmaputra, and Meghna resulted in the formation of BDP alluvial deposits (Acharyya et al. 2000). Although the first report of As contamination in groundwater

Mineral class	Mineral name	Formula	
Arsenite minerals	Arsenolite	As <sub>2</sub> O <sub>3</sub>	
	Claudetite	As <sub>2</sub> O <sub>3</sub>	
	Orpiment	As <sub>2</sub> S <sub>3</sub>	
	Realgar	As <sub>4</sub> S <sub>4</sub>	
Arsenate oxide	Arsenic pentoxide	As <sub>2</sub> O <sub>5</sub>	
Fe-arsenate	Arsenopyrite	FeAsS	
	Arseniosiderite	Ca <sub>2</sub> Fe <sub>3</sub> O <sub>2</sub> (AsO <sub>4</sub> ) <sub>3</sub> ·3H <sub>2</sub> O	
	Parasymplesite	Fe <sub>3</sub> (AsO <sub>4</sub> ) <sub>2</sub> ·8H <sub>2</sub> O	
	Pharmacosiderite	K[Fe <sub>4</sub> (OH) <sub>4</sub> (AsO <sub>4</sub> ) <sub>3</sub> ]·6.5H2O	
	Scorodite	FeAsO <sub>4</sub> ·2H <sub>2</sub> O	
	Symplesite	Fe <sub>3</sub> (AsO4) <sub>2</sub> ·8H <sub>2</sub>	
	Yukonite	Ca <sub>7</sub> Fe <sub>12</sub> (AsO <sub>4</sub> )10(OH) <sub>20</sub> ·15H <sub>2</sub> C	
Fe sulfo-arsenates	Beudantite	PbFe <sub>3</sub> (AsO <sub>4</sub> )(SO <sub>4</sub> )(OH) <sub>6</sub>	
	Tooeleite	Fe <sub>6</sub> (AsO <sub>4</sub> ) <sub>4</sub> (SO <sub>4</sub> )(OH) <sub>4</sub> ·4H2O	
	Zýkaite	$Fe_4(AsO_4)_3(SO_4)(OH) \cdot 15H_2O$	
Ca-Mg arsenates	Hörnesite	$Mg_3(AsO_4)_2 \cdot 8H_2O$	
	Pharmacolite	Ca(HAsO <sub>4</sub> )·2H <sub>2</sub> O	
	Adelite	CaMgAsO <sub>4</sub> OH	
Other metal arsenates	Annabergite	Ni <sub>3</sub> (AsO <sub>4</sub> ) <sub>2</sub> ·8H <sub>2</sub> O	
	Erythrite	$Co_3(AsO_4)_2 \cdot 8H_2O$	
	Köttigite	$Zn_3(AsO_4)_2 \cdot 8H_2O$	
	Mimetite	Pb <sub>5</sub> (AsO <sub>4</sub> ) <sub>3</sub> Cl	

Table 5.1 Major mineral classes and forms of arsenic

came from northern states of India in 1976, health-related concerns attracting scientific attention started only when reported from lower Gangetic plains of West Bengal, India (Garai et al. 1984). This was followed by the reports from Bangladesh having high As levels in groundwater. After those early reports on upper and lower Gangetic plains, levels of As had also been reported to be of concern from middle Gangetic plain (Chakraborti et al. 2003). The lower Gangetic deltaic plain, along with the deltaic plain of the rivers Brahmaputra and Meghna, forms highly fertile agricultural land known as Bengal Delta Plain (BDP). The high As in the entire course of river Ganga and in the BDP region comes from geogenic sources (Acharyya and Shah 2007). Initially it was postulated that the oxidation of As-rich pyrite causes release of As liberation in South Asia (Acharyya et al. 2000; Acharyya and Shah 2007); however subsequent reports show that chemical or microbiological reductive dissolution of As-bearing pyrite minerals causes such release (Bhattacharya et al. 1997; McArthur et al. 2001; Gadd 2004). The organic-rich peat beds and hydrocarbon resources buried in the sediments of BDP act as major organic source to sustain indigenous microbial communities (Bhattacharya et al. 1997; McArthur et al. 2001; Ghosh et al. 2014, 2015a; Whaley-Martin et al. 2016).

The mineralogy of the BDP deposits also plays a crucial role in As mobilization from sediments to aquifer water. The Fe(III) (e.g., pyrite, goethite, and ferrihydrite)

or Mn(IV) (e.g., birmesite) (oxy)hydroxide-bound As present in these environment remains in sedimentary deposits under oxidized conditions (Smedley and Kinniburgh 2002). The framboidal pyrite deposits in the Gangetic plains and lack of sulfate in aquifer water are both signatures of diagenetic changes (Chowdhury et al. 2000).

Numerous studies had been undertaken to correlate sediment color and As flux in groundwater from aquifers of BDP (von Brömssen et al. 2007; Ghosh et al. 2015a). It has been clearly demonstrated that the aquifers with shallow gray sands, deposited during Pleistocene, are contaminated with As with levels above 10  $\mu$ g/l. On the other hand the deep aquifers with brown-colored sediments deposited during late Pleistocene may be As safe (Biswas et al. 2012). These aquifers had also later been demonstrated to show contrasting features in terms of their organic matter deposits and microbiology. Thus the color perception had helped local drillers to avoid drilling boreholes in aquifers with possible As risk (Hossain et al. 2014). Although it is highly debatable, this can be a temporary measure to reduce high-risk health manifestations in local population.

# 5.4 Microbial Arsenic Cycling

Although As is highly toxic to all life forms, it can potentially act as an electron donor or acceptor in some microorganisms. Thus, microorganisms play a very important role in biogeochemical cycling and controlling the fluxes of As in diverse environments.

## 5.4.1 Microbial Arsenite Oxidation

## 5.4.1.1 Aerobic Arsenite Oxidation

The first aerobic bacterial As(III) oxidation was reported in 1918; however, this was overlooked till 1949 after which 15 new heterotrophic As(III) oxidizing bacteria were discovered (Green 1918; Turner 1949). The aerobic As(III) oxidizers can be divided into two main types: chemolithoautotrophic As(III) oxidizers (CAOs) and heterotrophic As(III) oxidizers (HAOs) (Oremland and Stolz 2003; Silver and Phung 2005; Stolz et al. 2010). During chemoautotrophic oxidation of As(III) to As(V), electron is sequestered into reduced oxygen to fix CO<sub>2</sub> whereas during heterotrophic As(III) oxidation, the As(V) is produced as less toxic form and ATP generates as a by-product (Fig. 5.2) (van den Hoven and Santini 2004). The key enzymes involved in both the types of As(III) oxidation is arsenite oxidase (Fig. 5.3). This enzyme was first isolated in the early 1990s (Anderson et al. 1992). The enzyme consists of two main subunits; larger one encoded by the gene aioA is a molybdopterin center with 3Fe/4S binding domain, and the smaller one encoded by the gene *aioB* is a Rieske protein (Lebrun et al. 2006; Lett et al. 2012). Other than these two, the operon encode three more proteins aioR (transcriptional regulator), aioS (sensor histidine kinase), and aioX (oxy-anion binding protein) (Lett et al. 2012). Herminiimonas

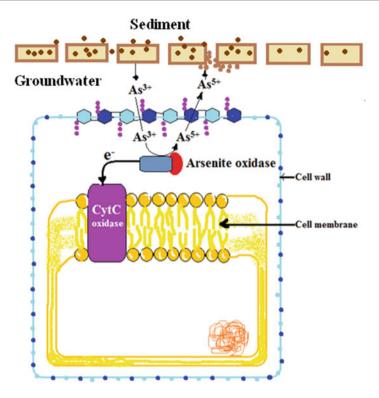
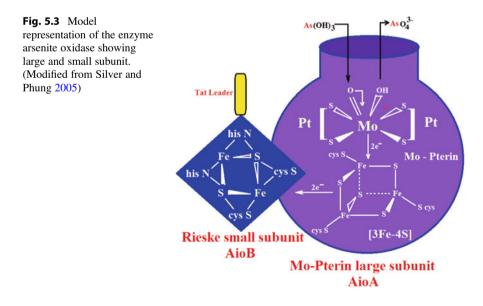


Fig. 5.2 Schematic representation of a bacterial cell oxidizing mobilized As(III) in groundwater to As(V) which get adsorbed into the sediment



*arsenicoxidans*, an As(III)-oxidizing bacterium has been widely studied including the AioA protein which has a size of 96 kDa (Koechler et al. 2010). The *aioA* gene expressing this subunit has two consensus motifs which forms the basis of universal primers and widely used to study molecular phylogeny and distribution of As(III)-oxidizing bacterial groups across different environments, globally (e.g., Costello and Lidstrom 1999; Ghosh et al. 2014).

#### 5.4.1.2 Anaerobic Arsenite Oxidation

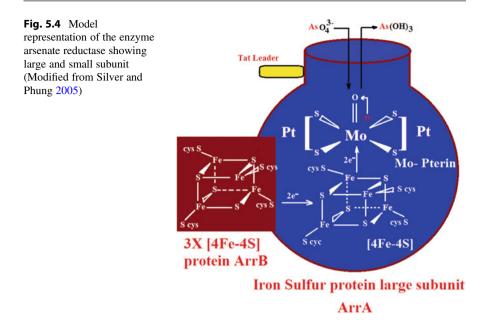
In 2002, the first report of anaerobic As(III) oxidation was encountered in the bacterium *Alkalilimnicola ehrlichii* strain MLHE-1 isolated from Mono Lake, USA (Oremland and Stolz 2003). The coupling of nitrate reduction with As(III) oxidation is carried out by this bacterium in alkaline conditions of the lake through enzymes which are not encoded by the gene *aio*A, but genes closely related to arsenate reductase (Oremland and Stolz 2003).

## 5.4.2 Microbial Arsenate Reduction

The phosphate transporter proteins (Pit or Pst) on bacterial cell membrane act as an easy system for As(V) to enter the cell. Inside the cell, As(V) is reduced to As(III) in presence of glutathione or ferridoxine and excreted out via membrane efflux proteins ArsB or Acr3 (Rosen 1999). Moreover, As(V) can also act as the terminal electron acceptor in some bacterial groups (e.g., *Firmicutes, Gammaproteobacteria, Deltaproteobacteria*, and *Epsilonproteobacteria*) known as dissimilatory As(V)-reducing prokaryotes (DARPs; Oremland and Stolz 2003). This enzyme respiratory As(V) reductase (ARR; Fig. 5.4) has two subunits—the larger ArrA and the smaller ArrB (Saltikov and Newman 2003). The ArrA like AioA has a molybdenum-binding center and a 4Fe/4S–binding cluster. The smaller subunit ArrB can have three to four 4Fe/4S clusters.

#### 5.4.3 Microbial Arsenic Methylation

Anaerobic methylation of As was first reported from the bacterium *Methanobacterium bryantii* strain MoH. Subsequently it was also reported from *Proteus* sp., *Escherichia coli*, *Flavobacterium* sp., *Corynebacterium* sp., and *Pseudomonas* sp. (Shariatpanahi et al. 1981). Along with *Flavobacterium* sp. and *Pseudomonas* sp., five other bacterial genera (*Achromobacter* sp., *Aeromonas* sp., *Alcaligenes* sp., *Enterobacter* sp., and *Nocardia* sp.) were also reported to convert As(V) to methylaronate and then to monomethyl arsenate (MMA) and dimethylarsenate (DMA). *Aeromonas* sp. and *Nocardia* sp. can further produce trimethyl arsenate (TMA) (Shariatpanahi et al. 1981). Such anaerobic methylation occurs in the presence of methylcobalamin (Ridley et al. 1977), catalyzed by the enzyme S-adenosylmethionine (SAM) methyltransferases and encoded by the *arsM* gene (Qin et al. 2006). This gene can be plasmid borne and thus limits its utility as a



molecular tool to study bacterial functional diversity. Methylation also leads to the formation of organoarsenicals {monomethylarsonic acid [MAs(V)], dimethylarsinic acid [DMAs(V) or cacodylate], and trimethylarsine oxide [TMAsO(V)]} which are much less toxic compared to inorganic forms (Challenger 1951) but have a crucial role in biogeochemical cycling of As in litho- and hydrospheres (Rensing and Rosen 2009).

#### 5.4.4 Microbial Arsenic Demethylation

The chemical methylation and use of As in pesticide during the 1980s and 1990s had highly elevated the fluxes and resulted in the persistence of methylated As forms in the environment (Yoshinaga et al. 2011). However, prokaryotic demethylation of such large deposits has led to remediation of such pesticide-contaminated soil (Gao and Buran 1997; Maki et al. 2006), sludge (Sierra-Alvarez et al. 2006), sediment, seawater (Acharyya et al. 2000), lake water (Maki et al. 2006), and freshwater (Maki et al. 2009). Many bacterial strains such as *Mycobacterium neoaurum* (Lehr et al. 2003), *Pseudomonas putida* like strain KT2440 (Maki et al. 2006), *Burkholderia* sp. MR1, and *Streptomyces* sp. MR1 (Yoshinaga et al. 2011) had been reported to demethylate MMA into As(V).

## 5.5 Microbial Role in Mobilization of Arsenic in BDP Aquifers

It had been widely postulated since the late 1990s that in BDP, microbes are the key players for reductive dissolution of As-bearing Fe minerals under reducing conditions prevailing in these aquifers (Bhattacharya et al. 1997; Smedley and Kinniburgh 2002). Such desorption of As can take place by biotic and abiotic processes. A comparative study done by Lovely and Anderson (2000) had shown that some bacterial isolates (GS-15, Escherichia coli, and Clostridium pasteurianum) can reduce Fe(III) much faster and extensively in the presence of various organic compounds in comparison to reducing agents. Sedimentary organic matter plays a key role in sustaining microbial communities involved in the reductive dissolution of As-bound Fe (hvdr)oxides under anoxic conditions of the BDP aquifers (Islam et al. 2004; Drewniak et al. 2010). It had been found that the predominance of Fe(III)-reducing bacteria Geobacter sp. in the lower Gangetic plains causes coupling As(V) reduction (Islam et al. 2004). The copulation of the two processes, oxidation of organic matter and reduction of As(V)-bearing Fe(III) oxides, was established based on further studies (e.g. Héry et al. 2010). Putative aerobic or denitrifying arsenate-reducing populations of Pseudomonas, Elizabethkingia, and Pantoea were reported along with the presence of ironoxidizing Sideroxydans (Sultana et al. 2011).

In these oligotrophic aquifer waters, the production of different ligands and polysaccharide by indigenous microbial population for sequestration of organic matter additionally increases mineral dissolution. Bacterial siderophores are one of those known ligands for organic matter acquisition along with various heavy metals (Gadd 2004). Many studies had hypothesized As mobilization as a by-product of Fe (III) acquisition through siderophores from Fe (oxy)hydroxides (Mailloux et al. 2009). Siderophores from Aspergillus niger, Mycobacterium spp., Pseudomonas azotoformans, Rhodococcus erythropolis, Albidiferax ferrireducens, and Rhizobium leguminosarum had been reported to play key role in mineral dissolution and As mobilization (Lukasz et al. 2014). The presence of such bacterial groups has been later reported from many sites in BDP including aquifers (Sultana et al. 2011; Sarkar et al. 2013; Ghosh et al. 2014). Microbial metabolic processes can also lead to As dissolution as a by-product. The bacterium Burkholderia fungorum had been shown to produce acidic metabolite for weathering of minerals such as apatite thereby causing As release (Mailloux et al. 2009). Such mesophilic bacteria had been widely reported as dominant *Betaproteobacteria* in aquifer waters and sediments of BDP (Sultana et al. 2011; Sarkar et al. 2013; Ghosh et al. 2014).

# 5.5.1 Microbial Role in Immobilization of Arsenic in BDP Aquifers

The BDP aquifer waters are rich in Fe(II) oxy-hydroxides and remain in high concentration in anoxic systems (Chakraborty and Bhadury 2015). The tapped out water shows immediate oxidation and subsequent precipitation of Fe(III) oxides. Thus microbial oxidation plays a crucial role in controlling the As and Fe fluxes in

BDP aquifers. Microbial oxidation of As(III) to As(V) in BDP aquifers in different aquifer system had shown contrasting pictures in terms of predominance and diversity of arsenite (Ghosh et al. 2014). Phylogenetically diverse gene aioA (encoding larger subunit of arsenite oxidase; Fig. 5.4) has been detected in many bacterial genera isolated from BDP water and sediment samples including Alcaligenes faecalis, Achromobacter sp., Pseudomonas sp., Thiobacillus ferrooxidans, and Thiobacillus acidophilus (Inskeep et al. 2007). A high predominance of *Betaproteobacteria* due to mesophilic conditions has been mostly reported from molecular phylogenetic studies (Ghosh et al. 2014), with the predominance of such as Hydrogenophaga, Acidovorax, Albidiferax, Bosea, genera and Polymorphum (Ghosh et al. 2014). The phylogeny of functional gene aioA follows that of 16S rRNA inferring to their ancient origin (Ghosh et al. 2014). However conserved regions of the gene widely used to design primers have been reported to have inconsistency leading to a divergence from 16S rRNA taxonomic position of genera such as Thermus sp. and Halorubrum sp. (Sultana et al. 2012). This can be due to mutations in the primer binding region and thus can lead to phylogenetic diversity-based studies more biased (Sultana et al. 2012).

# 5.6 Geochemical Factors Affecting Arsenic Cycling in BDP

#### 5.6.1 Inorganic Factors Affecting Arsenic Cycling in BDP

Although it is established that the aquifer waters of BDP are usually Ca-HCO<sub>3</sub> or Ca-Mg-HCO<sub>3</sub> types, Ca-Na-HCO<sub>3</sub>-type and Na-Cl-type aquifers were also found locally. Interestingly, bicarbonates have overall dominant ion chemistry (Bhattacharya et al. 1997; Zheng et al. 2005; Biswas et al. 2012). The aquifers of BDP are largely classified into two different types based on their hydrogeochemical properties: the shallow Ca<sup>2+</sup>-Mg<sup>2+</sup>-HCO<sub>3</sub>- type aquifers, with elevated levels of dissolved NH<sub>4</sub>, Fe, and Mn and high P-extractable As in sediments, and, in contrast, the deep  $Na^+$ -HCO<sup>3-</sup>type aguifers with low dissolved  $NH_4^+$ , Fe, and Mn and little phosphorus (P)-extractable As in sediments (Zheng et al. 2005). Such contrast had led scientists to conclude that P-extractable As present in sediments is the key source controlling mobilization of As into groundwater (Zheng et al. 2005). However, later it was observed that the local drillers practice a different way in order to target As-safe aquifers. The sand color of the aquifer came up as an indicator of As status. The gray sand aquifers (GSA) were found to be As contaminated (As  $>10 \mu g/l$ ), and, in contrast, the brown sand aquifers (BSA) were As safe (As  $<10 \mu g/l$ ; von Brömssen et al. 2007).

During temporal period of sea level regression, the GSAs were oxidized and thus had lower redox condition in comparison to BSAs (Umitsu 1993). This contrast leads BSAs not to reach a threshold of Fe (oxy)hydroxide reduction keeping them As safe (von Brömssen et al. 2007). However, there is some delineation of redox conditions in BSA aquifers due to Mn (oxy)hydroxides but not low enough for As mobilization (Biswas et al. 2012). On the other hand, the BSAs are rich in NH<sub>4</sub><sup>+</sup>,

 $PO_4^{3-}$ , Fe, and As along with lower Eh indicating reductive dissolution of Fe (oxy) hydroxide (Biswas et al. 2012). This low reducing condition, coupled with microbial utilization of organic matter leading to dissolution of As-bearing Fe (oxy) hydroxides, increases flux of As in groundwater of GSAs. Thus, the organic matter composition plays a critical role in these aquifers for controlling microbial metabolic activities.

#### 5.6.2 Organic Factors Affecting Arsenic Cycling in BDP

It had been widely hypothesized that in situ organic matter of BDP aquifers play key role in sustaining and shaping indigenous bacterial communities (Ravenscroft et al. 2001; McArthur et al. 2001; Rowland et al. 2009; Lawson et al. 2013; Whaley-Martin et al. 2016). The characteristics and bioavailability of organic compounds control indigenous microbial metabolism and subsequently rate of all geochemical processes. Despite this, there are very few studies available where the organic matter had been characterized and associated functions linked with.

## 5.6.2.1 Sedimentary Organic Factors Affecting Arsenic Cycling in BDP

The fluvial sediments of BDP are known to have low organic C content (TOC < 1%; BGS/DPHE 2001; Ravenscroft et al. 2001; Zheng et al. 2005; Ghosh et al. 2015b). Most of the sedimentary OM were deposited during Pleistocene and Holocene depositions and can be mostly in recalcitrant form (Umitsu 1993; Ravenscroft et al. 2001; Dowling et al. 2002). These external OM can be pond derived, terrestrial, and anthropogenic which can percolate up to a depth of 100 m (Lawson et al. 2013). One of the most highlighted sources, which was previously not linked to As contamination in BDP aquifers, can be petroleum-derived natural hydrocarbons that seep into the shallow aquifers from deeper sediments of BDP (Rowland et al. 2009). The BDP region (both West Bengal and Bangladesh) is known to have reserves of unexplored gases and crude oils (Alam 1989; Ganguly 1997; Milici et al. 2002). The degradation of petroleum had, however, been reported in anoxic aquifers of other regions by indigenous anaerobic bacteria (Townsend et al. 2003) such as denitrifying bacteria (Ehrenreich et al. 2000). Such biodegradation of mature hydrocarbons had been reported from various shallow anoxic Fe(III)-reducing aquifers (Baedecker et al. 1993; Chapelle et al. 2002). These mature hydrocarbons were also reported from sediments of shallow aquifers of BDP (Rowland et al. 2009; Héry et al. 2010). Thus, the possibility of petroleum-derived mature hydrocarbons as electron donor for microbial-mediated Fe(III) reduction coupled with As mobilization in BDP aquifers needs further investigation.

Therefore, sedimentary organic carbon sources can be traced by bulk parameters of atomic C/N ratios, by stable C isotope ratios ( $\delta^{13}$ C and  $\delta^{15}$ N), and by characterization of lipid biomarkers. However, isotopic signatures are not homogenous and are affected by digenetic and metabolic activities and assimilation of inorganic C from OM remineralization. Thus use of lipid biomarkers, which have longer shelf life, can be helpful in detecting the sources of OM in BDP aquifers.

#### 5.6.2.2 Dissolved Organic Factors Affecting Arsenic Cycling in BDP

The sedimentary organic matter (OM) present in the soil zone seeps into the groundwater during aquifer recharging and thus contributes to the overall dissolved organic carbon (DOC) pool in aquifers. Intensive pumping out of water which reduces the water table generated a vacuum pull and increased dissolution of surface-derived OM in Cambodian and BDP aquifers (Lawson et al. 2013). The DOC-derived electron donors participate in redox reactions and transport of nutrients into the cell (Judd et al. 2006). Thus it affects subsurface biogeochemical processes and thereby sustains and shapes diverse microbial communities (Judd et al. 2006). Earlier studies on BDP aquifers suggest that DOC is mainly transported as a recharge from baseline of ponds, wetlands/marshlands, and/or subsurface clay plugs and lignite deposits in aquifer sediments (Lawson et al. 2013). The natural dissolved organic matter (DOM) in BDP aquifers acts as (i) a source of labile substrates for microbial humic acid and Fe(III) reduction, an As dissolution, and (ii) an electron acceptor (reduced humic acid) for microbial-mediated Fe (II) oxidation (Islam et al. 2004; Mladenov et al. 2010). Thus the DOM acts as an electron shuttle between different groups of microorganism and plays a very important role in controlling As fluxes in groundwater aquifers of BDP (Mladenov et al. 2010). It had been demonstrated that the dissolved concentration of As increases up to six times when incubated with goethite suspensions of sediments with pre-sorbed As and DOM of 25 mg/l compared to samples that do not have any DOM (Bauer and Blodau 2006). Thus, DOM has the potential to oxidize and reduce As in a short time (Bauer and Blodau 2006). Utilization of DOC of BDP groundwater by different bacterial groups had been studied (Sultana et al. 2012; Ghosh et al. 2014). However, there is paucity in terms of characterization of DOC and its bioavailability to microbial populations in groundwater aquifers.

## 5.7 Conclusion

Overall this chapter discusses how As contamination posed major human health risks globally. The high levels of geogenic As, as found in the sediments of BDP and got dissolved into aquifer waters, which is used for irrigation and as potable water sources, caused many health manifestations. Microbial cycling of As in those aquifers in the presence of typical organic matters had been proposed to be the main cause. Our knowledge of the combined effects of organic matter availability and microbial metabolism shaping the community structure in groundwater aquifers is not very clear to date from the context of As cycling. For example, the bioavailability and recalcitrance of particular classes of organic carbons and hydrocarbons can have contrasting effects on prokaryotic systems, and consequently, respective geogenic heavy metal-bearing minerals can exhibit various rates of dissolution and responses in a combination of different prokaryotic systems. Ultimately, the presence of such contaminants can affect the higher trophic levels of the food chain. Thus, in future studies, laboratory-based experiments involving bacterial strains isolated from different environments and grown in the presence of a combination of various organic matter sources can be in demand to fill the knowledge gap. Interdisciplinary studies involving modern techniques such as transcriptomics, metabolomics, and organic geochemical analysis using biomarkers and stable isotopes can significantly increase our understanding on the effect of organic carbon compounds on microbial As mobilization and immobilization. This ultimately may lead toward development of bioremediation technologies for As safe drinking water and water for agricultural purposes.

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6

# Bacterial Communities of Uranium-Contaminated Tailing Ponds and Their Interactions with Different Heavy Metals

Paltu Kumar Dhal

#### Abstract

Discharge of uranium (U) tailings and contaminant effluents from uranium ore extraction sites creates huge burdens of anthropogenic radioactivity and greatly alters the ecosystem. Remediating the environment from these contaminations thus becomes a huge responsibility of the industry. Microbe-based bioremediation has emerged as a potential alternative to hazardous mine waste management as well as removal of toxic contaminants efficiently from the environment. In order to formulate the bioremediation strategies effectively, it is essential to understand the inhabitant microbial community structure of mine sites and their metabolic role as related to those sites. In addition, deciphering microbial communities also helps us to understand the responsible biogeochemical cycling and food web dynamics of such sites. Advancement in different techniques that includes high-throughput DNA sequencing and different "omic" tools can provide details of microbial communities and their metabolic activity in contaminated environments. The present chapter will describe both culturable and unculturable microbial diversity, dynamics within the uranium tailing pond, and radionuclide-contaminated environment and their interaction with other heavy metals including uranium.

## Keywords

Uranium tailings · Radionuclide · Microbial communities · Bioremediation

P. K. Dhal (🖂)

Department of Life Science and Biotechnology, Jadavpur University, Kolkata, West Bengal, India e-mail: pkdhal@lifesc.jdvu.ac.in

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### 6.1 Introduction

Mining and purifying of uranium (U) for nuclear power and other activities have led to the environmental contamination in many nuclear energy-generating states (Dhal and Sar 2014). According to a recent report by Organization for Economic Co-operation and Development (OECD 2008), the global U production is likely to be increased to 40% by 2020, from 86,720 tonnes  $y^{-1}$  to 122,620 tonnes  $y^{-1}$ . Along with U, the presence of other radioactive elements (<sup>206</sup>Pb, <sup>230</sup>Th, <sup>226</sup>Ra, etc.) and various other toxic heavy metals in U mine wastes poses a great environmental threat. Remediation of those contaminants can be only achieved by microbe-based bioremediation (Tabak et al. 2005; Wu et al. 2006). Extensive research has confirmed that microorganism-based cleanup strategy is one of the most appropriate and feasible alternatives to save our environment from any eventual disaster resulting from radionuclide contamination (Tabak et al. 2005). It is well established that microbe-based successful bioremediation strategies greatly depend on the understanding of the geochemical character of the contaminated sites along with the microbial communities involved in key physiological processes. As per the microbial communities are concerned, it showed demand on detail investigations to characterized its structure, metabolic potential, function, and interaction with inhabitant environments (Rittmann et al. 2006). Microorganisms in the terrestrial subsurface are responsible to mitigation of contaminants (Lloyd and Renshaw 2005; Gadd 2010; Dhal et al. 2011; Green et al. 2012). Owing to the complexity of these contaminated environments, increased attention has been focused to explore the indigenous microflora and their role in altering the mobility and toxicity of these metallic contaminants (Merroun and Selenska-Pobell 2008; Prakash et al. 2010; Pereira et al. 2012). In particular, considerable interests have been shown on understanding the composition of microbial community and their impact on the fate of U transport and availability, with the aim to exploit such microbial processes in bioremediation of large radionuclide- and metal-contaminated sites (Hwang et al. 2009; Lloyd and Gadd 2011). In recent years, extensive work has been undertaken to explore microbial communities with highly contaminated radioactive wastes and waste repositories including U mines and mine-affected environments using culture-independent as well as culture-dependent approaches. In order to decipher the importance of biogeochemistry of particular sites, it is essential to understand the phylogenetic diversity of the microbial ecology of those sites. This will help to get a clear idea of microbial community structure, community resilience, and their potential role in designing the systematic bioremediation strategies. The present chapter attempts to review indigenous bacterial diversity in U mine wastes and other radionuclidecontaminated sites. Attempts were also made to summarize the inhabitant microbial community within U and other heavy metal mine tailings around the world.

## 6.2 Critical Reviews and Analysis

Recently, considerable efforts have been made to decipher microbial diversity at various U mine and heavy metal-contaminated habitats using both culture-dependent and culture-independent approaches. Broad aim of most of these works was to

elucidate microbial community composition and their interaction with U and other heavy metals to gain better insight in U biogeochemistry and the microbial role in bioremediation (Fredrickson et al. 2004; Fields et al. 2005; Brodie et al. 2006; Nedelkova et al. 2007; Michalsen et al. 2007; Akob et al. 2007; Barns et al. 2007; Hwang et al. 2009; Rastogi et al. 2010a, b; Islam et al. 2011; Mondani et al. 2011; Dhal et al. 2011; Dhal and Sar 2014; Kenarova et al. 2014; Leigh et al. 2014; Islam and Sar 2016). In general, it was observed that metal- and radionuclide-contaminated sites harbor large varieties of microorganisms organized in site-specific complex communities (Martinez et al. 2006; Merroun and Selenska-Pobell 2008; Rastogi et al. 2010b; Hemme et al. 2010; Dhal et al. 2011, Islam et al. 2011; Choudhary et al. 2012; Dhal and Sar 2014). In culture-dependent approaches, different bacterial strains isolated from these contaminated sites have also been characterized to decipher their interaction with metal/radionuclide (Selenska-Pobell et al. 1999; Panak et al. 2002; Suzuki and Banfield 2004; Nedelkova et al. 2007; Choudhary and Sar 2009, 2015; Choudhary et al. 2012; Islam and Sar 2016). It was observed that microorganisms present in U-contaminated sites can adapt themselves well to the local severe conditions and play important role(s) in affecting mobility of U and other heavy metals in the environment (Lovley et al. 1991; Merroun et al. 2005; Martinez et al. 2007; Choudhary and Sar 2011c; Choudhary et al. 2012; Choudhary and Sar 2015; Islam and Sar 2016).

## 6.2.1 Microbial Diversity in Uranium Mine Wastes and Other Radionuclide-Contaminated Sites

Microbial diversity studies in U and other radionuclide- and heavy metalcontaminated sites have been conducted for the last three decades or so. The most important driver of such studies is the fact that inhabitant microbes in highly contaminated sites play critical role in influencing the mobility and toxicity of such contaminants (Gadd 1992; Tabak et al. 2005; Merroun and Selenska-Pobell 2008). These studies were broadly focused into two distinct environments: (a) sites contaminated with U ore and mine wastes and (b) sites contaminated with radioactive waste generated from different nuclear activities.

Microbial diversity studies at U ore-/mine waste-contaminated sites have been conducted mainly in the USA, European countries, and Australia. Among the first report from the abandoned U mine at Rum Jungle, Australia, Goodman et al. (1981) indicated the presence of large and diverse microbial flora with *T. ferrooxidans* as the major bacteria consistently present with the sulfidic wastes. Microbial diversity in U mine waste heap near Ronneburg, Thuringia, Germany, was analyzed subsequently for the lithotrophic and chemoorganotrophic leach bacteria using the most probable number technique by Schippers et al. (1995). Selenska-Pobell et al. (2001) analyzed bacterial composition within two U waste piles from East Germany by rep-APD (repetitive primer-amplified polymorphic DNA), RISA (ribosomal intergenic spacer amplification), and 16S ARDREA (amplified ribosomal DNA restriction enzyme analysis) and showed predominance of *Acidithiobacillus* 

*ferrooxidans* and several *Pseudomonas* species. These authors hypothesized that different concentrations of heavy metals within U wastes are responsible for microbial diversity of the genus *Acidithiobacillus* in the contaminated environment.

16S rRNA gene-based microbial diversity study of samples from different depths of U mine waste pile at Haberland, Germany, or U depository site at Gunnison, Colorado, USA, revealed dominance of  $\alpha$ -*Proteobacteria*,  $\gamma$ -*Proteobacteria*, and *Acidobacteria* (Selenska-Pobell et al. 2002; Selenska-Pobell 2002; Satchanska et al. 2004; Geissler and Selenska-Pobell 2005). Abundance of *Acinetobacter* spp. was observed in U waste from Steinsee Deponie B1, Germany (Radeva and Selenska-Pobell 2005). Reardon et al. (2004) showed predominance of *Proteobacteria* members *Oxalobacter*, *Duganella*, *Pseudomonas*, *Methylobacterium radiotolerans*, and *Alcaligenes* in surrogate minerals incubated in an acidic U-contaminated aquifer. Satchanska and Selenska-Pobell (2005) retrieved green non-sulfur bacteria and AD1 and OP11 divisions. Suzuki et al. (2005) observed the association of U(VI)-reducing *Geobacteraceae* and *Desulfovibrionaceae* members within sediment particles obtained from open pit of inactive U mine.

Rastogi et al. (2010b) characterized bacterial community of U-impacted soil samples using both high-density 16S rRNA microarray named PhyloChip and clone libraries and showed predominance of Proteobacteria, Acidobacteria, and Bacteroidetes. Several studies on 16S rRNA gene-based study in U-contaminated samples from Germany have found dominance of phylum Acidobacteria of total community (Selenska-Pobell 2002). The presence and abundance of phylum Acidobacteria sequences in U-contaminated subsurface sediments were noticed by Barns et al. (2007) too. The later investigators described the comprehensive and greatly expanded phylogeny of this phylum and noticed that sequences from U-contaminated sites were members of subgroups 1 to 3, 5, 6, 10, and 13. They have found that 1, 3, 4, and 6 are the most abundant subgroups in uncontaminated soils/sediments, while contaminated sediments contained a higher representation of new subgroups (13, 15, 18, etc.). Mondani et al. (2011) investigated the impact of U contamination on inhabitant bacterial community in soil content high in U. They have indicated that, in contrast to populations from nearby control samples, bacterial communities are composed of Acidobacteria, Proteobacteria, and other seven phyla-inhabiting uraniferous soils from the region of Bessines (Limousin, France) and exhibited specific fingerprints that were remarkably stable over time. Both the iron-reducing Geobacter and Geothrix and iron-oxidizing species such as Gallionella and Sideroxydans were detected in these regions.

Antunes et al. (2011) conducted a field study in a deactivated U mining area located in Cunha Baixa (Centre of Portugal) and evaluated the soil enzyme activities and potential nitrification across several contaminated sites. They also identified few parameters which can act as good indicators on soil microbial communities and soil functions. In India, microbial diversity within underground U ore deposits and host rocks from Jaduguda and Bagjata mines were first reported by Islam and Sar (2011a). It was indicated that U ore samples from Jaduguda were represented by lineages to uncultured and unclassified members of either *Pseudomonadales* of  $\gamma$ -*Proteobacteria* (35%) or *Chitinophagaceae* of *Bacteroidetes* (40%) or Gp4 of

*Acidobacteria* (50%), while, in contrast, major bacterial groups from Bagjata samples were mostly affiliated to cultivable genera of *Marinobacter* (32%), *Alcanivorax* (31%), *Agrobacterium* (85%), and *Acinetobacter* (70%). Latter authors (Islam et al. 2011) investigated microbial diversity of Banduhurang open cast U mine and indicated that there is a different in community structure in microbial diversity based on the site's contamination.

As reported, U ore samples showed diversity of bacteria of  $\beta$ -Proteobacteria,  $\alpha$ -Proteobacteria, Firmicutes, Bacteroidetes, and Actinobacteria and uncultured Acidobacteria, Chloroflexi, and Cyanobacteria. Soil samples collected from mine periphery were dominated with uncultured Acidobacteria along with  $\gamma$ -Proteobacteria,  $\beta$ -Proteobacteria,  $\alpha$ -Proteobacteria,  $\delta$ -Proteobacteria, unclassified bacteria, uncultured Bacteroidetes, and others. Dhal and Sar (2014) investigated the microbial community in uranium-non-contaminated and uranium-contaminated samples in Jaduguda, Bagjata, and Turamdih uranium mines. They reported the dominance of Acidobacteria, Bacteroidetes, Firmicutes, and Proteobacteria followed by Nitrospira, Deferribacteres, and Chloroflexi in non-contaminated samples. In contrast, dominant bacterial group present in highly contaminated samples are Proteobacteria followed by Acidobacteria and Bacteroidetes and members of Firmicutes, Chloroflexi, Planctomycete, Cyanobacteria, Actinobacteria, and Candidate division.

Microbial community of uranium ore-bearing subsurface soil of Domiasiat in Meghalaya India was also investigated. Among the most dominant bacterial group  $\alpha$ -*Proteobacteria* followed by *Acidobacteria* were observed in these samples (Kumar et al. 2013). In another report Chourey et al. (2013) investigated the active members of soil and groundwater microbial communities in the process of biostimulation at uranium- and nitrate-contaminated ORIFRC Area 2, USA, site using metaproteomic approaches. *Dechloromonas, Ralstonia, Rhodoferax, Polaromonas, Delftia, Chromobacterium* of  $\beta$ -*Proteobacteria*, and *Firmicutes* dominated as major groups at these sites.

Surface water-influenced soils with varying concentration of uranium and metal from former uranium mining district of Ronneburg, Germany, were targeted to evaluate the role of the uranium and other metals on microbial community in the long term, using 16S rRNA PhyloChip (Sitte et al. 2015). Their reports indicate that most abundant bacterial groups present in those sites are *Proteobacteria*, *Firmicutes*, Actinobacteria, Bacteroidetes, and Acidobacteria, and they vary greatly with the redox potential and secondary metal contaminants present in these sites. Radeva et al. (2013) investigated microbial community at abandoned U mining and milling sites of Bulgaria. They revealed Proteobacteria, Acidobacteria, and Bacteroidetes as the most abundant bacterial groups in these sites. Investigators (Maleke et al. 2014) also tried to evaluate if there is any change in the indigenous bacterial community during the soluble uranium bioremediation using biostimulation system. Later in a work on the ORIFRC site, the flexibility of groundwater functional microbial communities was reported during injection of emulsified vegetable oil (EVO) in uranium-bearing contaminant plume (Zhang et al. 2015). Authors also tried to identify the key genes and bacterial groups involved in EVO biodegradation in this environment. Brzoska and Bollmann (2016) investigated the dynamics of artificially prepared microbial consortia because of the acidic pH and elevated uranium. Microbial consortium was composed artificially with *Caulobacter* sp. OR37, *Asinibacterium* sp. OR53, *Ralstonia* sp. OR214, and *Rhodanobacter* sp. OR444. All those bacteria were isolated from a uranium-contaminated and acidic subsurface sediment of IFRC in Oak Ridge (TN, USA).

Microbial diversity within radioactive contaminated sites has been elucidated mainly under the bioremediation research program of US Department of Energy (US-DOE). Under this program, extensive work has been carried out to decipher subsurface microbial communities within extremely contaminated (by nitric acid, U, Tc, Ni, Hg, Cr, Al, etc.) Oak Ridge Field Research Center (ORFRC) and high-level nuclear waste-contaminated sediments from Hanford Site, Washington. In order to formulate an effective strategy for bioremediation of radionuclide contamination, tremendous research has been conducted to understand the composition of microbial communities and its metabolic potential in highly contaminated environments using mainly cultivation-independent methods (Akob et al. 2007, 2011; Lovley 2003; Marsili et al. 2007; Beyenal et al. 2004; Cardenas et al. 2008). Distribution, diversity, and physiology of microbial communities within U-contaminated US-DOE sites have been reported by Petrie et al. (2003). Predominance of iron (III)-reducing bacterial community, particularly the Geobacteraceae and Anaeromyxobacter in pristine and contaminated sediments, respectively, has been observed. Fredrickson et al. (2004) reported that radionuclide-contaminated vadose sediment was represented by lower number of viable heterotrophic bacteria mostly affiliated to Arthrobacter, high G+C Grampositive bacteria, Rhodococcus and Nocardia, and highly radiation-resistant Deinococcus radiodurans. Fields et al. (2005) observed high bacterial diversity with Arthrobacter and Novosphingobium as frequently detected bacterial groups in Field Research Center (FRC) located within the Y-12 Security Complex near Oak Ridge, TN. Contaminated sites were dominated by Azoarcus and Pseudomonas. Akob et al. (2007) showed high abundance of Proteobacteria (represented by the genera Sphingomonas, Acidovorax, Acinetobacter, Alcaligenes, and Ralstonia) in total and metabolically active fractions of the microbial community in U-contaminated ORFRC sediment.

Functional gene array method was adopted to analyze microbial communities of U-contaminated groundwater and to detect responsible genes for metal resistance, organic contaminant degradation, carbon and nitrogen cycle, and sulfate reduction (Waldron et al. (2009)). Nostrand et al. (2011) investigated microbial communities from three monitoring wells of highly U-contaminated aquifer at Oak Ridge, TN, with a high-density comprehensive functional gene array named GeoChip. They revealed that functional populations of Fe (III)-, nitrate-, and sulfate-reducing bacteria dominated in the active U(VI) reduction phase. Investigation by Green et al. (2012) revealed the long-term effect of U and nitrate on microbial community of terrestrial subsurface at the ORIFRC site in Oak Ridge, TN, and reported the presence of *Rhodanobacter* as the dominant bacterial member. Cho et al. (2012) have tried to understand the link between bacterial diversity and geochemistry in U-contaminated groundwater from ORFRC site by assessing microbial communities

by 16S rDNA gene-based clone library analysis. High concentrations of contaminants present in well FW113-47 stimulated the growth of organisms capable of reducing U (*Shewanella* and *Pseudomonas*), nitrate (*Pseudomonas*, *Rhodanobacter*, and *Xanthomonas*), and iron (*Stenotrophomonas*). Mosher et al. (2012) investigated bacterial community structure during bioremediation using lactate amended in a continuous-flow reactors containing Cr (VI)-contaminated groundwater of Hanford area. Microbial community composition of initial groundwater sample dominated with the sequences were classified as members of phyla *Clostridia* > unclassified  $\beta$ -*Proteobacteria* > unclassified  $\gamma$ -*Proteobacteria*.

Details of microbial diversity were studied at the Chernobyl Nuclear Power Plant after the meltdown and subsequent explosion of one of the reactors at that site (Ragon et al. 2011). Later Chapon et al. (2012) reported the presence of bacterial communities of phylum *Firmicutes*; *Actinobacteria*;  $\alpha$ -,  $\beta$ -, and  $\gamma$ -*Proteobacteria*; and *Bacteroidetes* in radioactive contaminated soils using both of culture-dependent and culture-independent approaches. Results showed that both contaminated and relatively less contaminated soils showed a wide diversity of bacteria, thus indicating the exposure to radionuclides for long term not leads to the loss of the diversity of bacterial community. Another group from the USA (Konopka et al. 2013) reported the microbial activity of the aquifer in 300 area of the Hanford Site. Their reports indicated the presence of bacterial group dominated with members from the *Proteobacteria* (particularly the alpha, beta, and gamma clades) followed by *Bacteroidetes* and *Actinobacteria*.

Diversity of Archaebacteria was investigated in several U-contaminated sites. Among the earlier studies, Fuchs et al. (1995) isolated thermoacidophilic metalmobilizing Archaea, Metallosphaera prunae, from U mine in Thuringia, Germany. Methanobacteria of Euryarchaeota was predominant at those sites (Suzuki et al. 2005). Methanobacterium subterraneum, a methane-producing Archaea, was isolated from groundwater of Simpevarp nuclear power plant, Southeast Sweden (Kotelnikova et al. 1998). Archaeal diversity of different metal-contaminated site has also been investigated (Takai et al. 2001; Stein et al. 2002). Reduced capability of hyperthermophilic crenarchaeon Pyrobaculum islandicum on transformation of U (VI) to U (IV) was investigated (Kashefi and Lovely 2000). Geissler (2007) investigated the effect of uranyl or sodium nitrate on archaeal diversity in U mining waste of Johanngeorgenstadt, Germany, under aerobic and anaerobic conditions. The result indicated the abundance of mesophilic Crenarchaeota (64%) and the crenarchaeal (36%) group 1.1b in the untreated samples, while uranyl-treated samples were dominated with uncultured *Crenarchaeota* of the mesophilic group 1.1b. Porat et al. (2010) have investigated archaeal communities from mercury- and U-contaminated freshwater stream sediments located in the vicinity of Oak Ridge, TN, USA. These investigators indicated that Crenarchaeota comprised 76% of total community while the remaining 24% were from Euryarchaeota. Diversity of archaeal communities inhabiting the abandoned mining and milling complex "Buhovo" and the "Sliven" mine environments impacted was also investigated (Radeva et al. 2014), and data revealed that the only group that dominated this site is 1.1b/Nitrososphaera.

#### 6.2.2 Microbial Diversity in Uranium Mine Tailings Sites

Miller et al. (1987) from US Geological Survey and Colorado School of Mines studied the ecological aspects of microorganisms inhabiting U mill tailings using conventional culture-based techniques. These investigators had observed the presence of Arthrobacter and Bacillus as major bacterial genera including sulfatereducing bacteria and some fungi within the tailing environment. In another report Silver (1987) reported the presence of the Fe-oxidizing bacteria in the Nordic U tailing deposit, Canada. This investigator has reported the presence of iron-oxidizing bacteria within the top 2 m and near the water table-capillary fringe of the vegetated Nordic U deposit. Several studies have been carried out considering the role of microorganism on influencing the behavior of radionuclides and metals in tailings and other mine wastes (Ivanova et al. 2000; Chang et al. 2001; Selenska-Pobell et al. 2001; Elias et al. 2003; Landa 2005; Radeva and Selenska-Pobell 2005; Wolfaardt et al. 2008). Abundance of Acinetobacter spp. was observed in U waste from Steinsee Deponie B1, Germany (Radeva and Selenska-Pobell 2005). Elias et al. (2003) investigated the different responsible microbiological and geochemical factors for in situ U redox reactions in Shiprock, New Mexico, USA, U mill tailings and observed higher abundance of sulfate- and nitrate-reducing microorganisms. They have also observed preferred reduction of nitrate which slowed down the reduction rate of sulfate, Fe (III), and U. In another study, Ivanova et al. (2000) analyzed 16S rRNA and *amoA* genes and observed predominance of ammoniaoxidizing bacteria (Nitrosomonas sp.) in the groundwater near the same site. Predominance of Nitrosomonas was also noticed by Radeva and Selenska-Pobell (2005) in groundwater of Shiprock mill tailings as well. Both these studies indicated predominance of nitrogen-metabolizing bacteria in the Shiprock tailings site, and based on these findings, Elias et al. (2003) suggested that nitrogenous compounds should be removed from such contaminated sites prior to the stimulation of U-reducing microbial community. Analysis of dissimilatory sulfite reductase (DSR) genes and phospholipid fatty acid (PLFA) profile of sulfate-reducing bacteria (SRB) from U Mill Tailings Remedial Action (UMTRA) disposal cell in Shiprock revealed predominance of Desulfotomaculum and Desulfotomaculum and Nitrospira division (Chang et al. 2001). The authors further suggested these organisms's possible involvement in the natural attenuation of U. Radeva and Selenska-Pobell (2005), however, demonstrated lower abundance of SRB in similar type of sample from Shiprock tailings site. The latter investigators compared bacterial communities of three different tailings sites and observed that Nitrospina were dominated in U mill tailings of Schlema/Alberoda, Germany, while Pseudomonas and Frateuria spp. is abundant in U mill tailings of Shiprock. In other U mill tailings at Gittersee/ Coschütz, the dominant bacterial groups are Proteobacteria and Cytophaga-Flavobacterium-Bacteroides. Wolfaardt et al. (2008) characterized the distribution and diversity of microbial communities in mine tailings site of Rabbit Lake U mine, Canada, and observed a difference in community composition (measured by metabolic profile) with depth. These investigators have also observed the ability of inhabitant bacteria to develop biofilm and sustain their activity at broad range of pH on tailings water and suggested that resilience and adaptive nature of these microbial communities could have significant potential in long-term geochemical evolution of the tailings management facilities (TMF). Bondici et al. (2013) investigated the microbial diversity of tailings core samples of uranium mine tailings from Key Lake, Saskatchewan, Canada. This tailing pond is characterized as low permeability, high pH tailing pond. Report indicates those dominant microbial groups present in those samples are Gemmatimonadetes. Chloroflexi. Deferribacteres, Deinococcus-Thermus, Verrucomicrobia. Aquificae, and Thermotogae. In another report from the same group, Khan et al. 2013 investigated the microbial diversity from the same sites. Unlike the previous investigation, the samples collected in this study were mainly from uranium mine-water tailings interface, and as indicated *Firmicutes* was the most abundant bacterium present in these sites. In India, microbial diversity of Jaduguda U mine tailing samples was investigated by Dhal and Sar (2014). They revealed that this site showed predominance of phyla Proteobacteria and Acidobacteria followed by Actinobacteria, Chloroflexi, Cyanobacteria, Firmicutes, and Planctomycete and genera Incertae sedis OP10. The presence of Crenarchaeota in tailing samples was also reported. Yan and Luo (2015) reported the microbial diversity of U mill tailings from Southeastern China where Serratia sp. of Proteobacteria was the dominant strain in those sites. Recently Yan et al. (2016) reported the structural and functional diversity of microbial community in different depths from uranium-contaminated and uranium-non-contaminated areas of Southern China using metagenomic approaches where Proteobacteria and Actinobacteria were dominant in uncontaminated soils and Robiginitalea, Microlunatus, and Alicyclobacillus were abundant in radioactive contaminated soil. Sànchez-Castro et al. (2017) isolated two uraniumresistant strains (Arthrobacter sp. and Microbacterium oxydans) from uranium mill tailing repository sites of Bessines-sur-Gartempe (Limousin, France) and evaluated their potential role for U bioremediation

### 6.2.3 Microbial Interaction with Metals

Microbial interaction with metals and radionuclides has inspired environmental microbiologist for a long time. Compared to other living organisms, microbes have coexisted with metals since the early history which possibly resulted in the recruitment of wide range of divalent or transition metals in all aspects of microbial growth, metabolism, and differentiation (Gadd 2010). The bacterial system interact with metals through various mechanisms: (i) biosorption/intracellular accumulation, (ii) complexation by metal-binding molecules, (iii) enzymatic precipitation and biomineralization, and (iv) oxidation and reduction reactions (Gadd 2004). Emergence of such interactive mechanisms not only facilitates environmental fate of metals by their redox transformation or altered mobility/solubility and toxicity but also ensures the adaptation of microorganisms in a changing environment. All such interaction mechanisms that eventually alter the toxicity and mobility of metals and

other radionuclides open a new area of research to their applications in bioremediation of metal and radionuclide contaminants (Barkay and Schaefer 2001).

Biosorption and bioaccumulation of metals by bacteria are the most frequently used mechanisms responsible for soluble metal concentration in the environment. Biosorption is a metabolism-independent metal and radionuclide's accumulation capability by microbial cells. On the contrary, bioaccumulation is metabolismdependent intracellular metal accumulation potential of live cells that occurs in two stages, first the rapid metal binding on the cell surface followed by intracellular accumulation at a slower rate by active cell membrane transporter (Choudhary and Sar 2011a). Metal accumulation by biosorption or bioaccumulation is the first step of metal nucleation, precipitation, and biomineral formation. One of the early groups that worked on microbial metal accumulation was at Oak Ridge National Laboratory, USA. The group led by G W Strandberg has demonstrated that accumulation of metals in microbial cells occurs by both metabolic and non-metabolic processes. leading to either extracellular or intracellular precipitation (Strandberg et al. 1981). The work on biosorption continued to expand in the early 1980s with the development of freely suspended and immobilized biomass types as biosorbents for the treatment of contaminated wastewaters (Tsezos and Volesky 1981). Tsezos et al. (1997) demonstrated metal biosorption by *Arthrobacter* spp., *Alcaligenes eutrophus*, and *P. mendocina*. The role of bacterial surface structures on cell wall carbohydrate polymers or proteinaceous surface layer in metal binding was observed by T J Beveridge (Douglas and Beveridge 1998). Binding capability of U by various inhabitant-isolated bacteria from U-contaminated sites were investigated (Andres et al. 2001; Panak et al. 2002; Francis et al. 2004; Nakajima and Tsuruta 2004; Merroun and Selenska-Pobell 2008; Kazy et al. 2009; Choudhary et al. 2012). Results of all these studies demonstrated a species-specific U biosorption property which is affected by the chemical nature and pH of the solution, cell's physiology, as well as the presence of soluble polymer. Phosphoryl residues of phospholipids and lipopolysaccharide (LPS) in the outer membrane of E. coli K-12 and Pseudomonas aeruginosa have been observed to be the most probable metal-binding sites (Langley and Beveridge 1999; Choudhary et al. 2012). A study on the U adsorption on the cell surface of Bacillus subtilis under acidic conditions demonstrated that carboxylic and phosphate groups act as binding ligands and are responsible for coordination of the radionuclide (Fowle et al. 2000; Kelly et al. 2002). Gorman-Lewis et al. (2005) have suggested that in acidic condition adsorption onto bacterial cell surfaces because of electrostatic forces as well as covalent binding with their cell surface. Merroun and Selenska-Pobell (2008) revealed role of carboxyl and phosphate groups for binding the U by Bacillus sphaericus JG-A12 isolated from a U-contaminated environment. Various spectroscopic and microscopic techniques such as time-resolved laserinduced fluorescence spectroscopy (TRLFS), energy-dispersive X-ray (EDX) analysis, transmission electron microscopy (TEM), scanning electron microscopy (SEM), X-ray diffraction (XRD), Fourier transform infrared (FTIR) spectroscopy, and X-ray photoelectron spectroscopy (XPS) analysis have been used in these studies (Chojnacka 2010; Merroun and Selenska-Pobell 2008; Choudhary et al. 2012). TEM observations have revealed that U absorbed by Gram-positive bacteria *Mycobacterium smegmatis* is deposited in cytoplasm or cell wall (Andres et al. 1994), whereas, in Gram-negative *P. fluorescens*, deposited U formed platy crystal structures within periplasmic and cell envelope region (Krueger et al. 1993). Formation of needle-shaped U deposits in cell envelope regions has been observed in several studies (Choudhary et al. 2012). In recent years, extended X-ray absorption fine structure (EXAFS) measurements have been applied to decipher the chemical mechanism of U sequestration by a number of bacteria (Merroun and Selenska-Pobell 2008; Templeton and Knowles 2009).

Microorganisms can mobilize/immobilize metals by reduction and oxidation processes (Lovley et al. 1991). Reduction is one of the most important chemical transformations catalyzed by microorganisms, which affect the solubility of metallic elements. Following reduction, solubility of many of these metal ion increases as they are transformed to lower oxidation state. In contrast to this, the solubility of other metals and radionuclides decreases as they are reduced to lower oxidation state, resulting in their immobilization (Lovley and Coates 1997). These interactions facilitate alteration in mobility and toxicity of metals by redox-mediated biotransformation, intracellular or extracellular complexation or sequestration, induction of metal precipitation, and biomineralization or change in metal speciation caused by microbially induced redox alteration (Lovley 1993; Bosecker 1997; Selenska-Pobell et al. 1999; McLean and Beveridge 2001; Lack et al. 2002; Merroun et al. 2002; Suzuki and Banfield 2004; Beller 2005; Merroun et al. 2005; Pollmann et al. 2005; Jroundi et al. 2007; Nedelkova et al. 2007; Merroun and Selenska-Pobell 2008; Sivaswamy et al. 2011; Choudhary and Sar 2011b; Yi and Lian 2012). The group led by Selenska-Pobell investigated the interaction with metals including U by bacterial isolates from U-rich site. Identification of acidophilic Fe- and S-oxidizing bacteria A. ferrooxidans, A. thiooxidans, A. acidophilus, and Leptospirillum ferrooxidans from U deposits and mineral heaps has been reported (Cerdá et al. 1993; Panak et al. 1998). Panak et al. (1998) investigated interaction of A. ferrooxidans and Desulfovibrio desulfuricans with U. It was observed that U mine isolate A. ferrooxidans ATCC 33020 can accumulate intracellular U. Merroun and Selenska-Pobell (2001) and Hafez et al. (2002) reported U sorption by a number of Bacillus and Acidithiobacillus strains isolated from radionuclide- and U-contaminated waste. A number of studies have demonstrated the U cell wall interactions of Gram-positive bacteria (i.e., Bacillus) and Gram-negative bacteria (Shewanella putrefaciens, Sphingomonas sp. S15-S1) (Fowle et al. 2000; Kelly et al. 2002; Gorman-Lewis et al. 2005; Merroun and Selenska-Pobell 2008; Yi and Lian 2012), and biosorption ability of the bacteria varied with their Gram characteristics.

With respect to characterization of U and other heavy metal accumulation by bacteria isolated from U mine/U-contaminated sites, several studies were conducted to isolate bacterial strains as their pure culture (Selenska-Pobell et al. 1999; Suzuki and Banfield 2004; Martinez et al. 2006; Beazley et al. 2007; Nedelkova et al. 2007; Tsuruta 2007; Choudhary and Sar 2009; Islam and Sar 2011; Yi and Lian 2012). Bacterial cells are found to have U bioaccumulation potential mainly by metabolic-independent process. It was reported that U transported into microbial cells because of the increased membrane permeability (Suzuki and Banfield 1999, 2004).

*P. aeruginosa, B. subtilis*, and *Chryseomonas* MGF48 have shown to immobilize U by cellular uptake (Strandberg et al. 1981; Fowle et al. 2000; Malekzadeh et al. 2002; Choudhary and Sar 2011b). Merroun et al. (2003, 2005) have reported accumulation of U immobilized by chelating with intracellular polyphosphates. Immobilization of U can also occur by precipitation with metabolites released by cells (Sivaswamy et al. 2011). *Citrobacter* sp., *A. ferrooxidans, B. sphaericus*, and *Acinetobacter johnsonii* have been shown to remove U from water by releasing phosphate/polyphosphate to the water (Yong and Macaskie 1998; Boswell et al. 1999; Merroun et al. 2002; Knopp et al. 2003). Using this mechanism, removal of U (VI) was observed through formation of U phosphate minerals in aerobic, anaerobic environments (Beazley et al. 2007, 2009; Martinez et al. 2007). Nilgiriwala et al. (2008) cloned *pho*K gene from *Sphingomonas* sp. for enhance production of phosphatase gene and demonstrated the potential of U bioprecipitation.

Bioreduction of soluble U (VI) to insoluble U (IV) was proposed to mitigate the spread of U under anoxic condition (Lovley et al. 1991; Gorby and Lovley 1992). Dr. Lovley and his team has done extensive work on Fe (III)-reducing bacteria for enzymatic U reduction. As reviewed by Merroun and Selenska-Pobell (2008), more than 25 phylogenetically diverse prokaryotes showed tremendous potential in the reduction of this radionuclide and include sulfate-reducing bacteria, Fe(III)-reducing bacteria, hyperthermophilic archaea, thermophilic bacteria, fermentative bacteria from *Clostridium* spp., acid-tolerant bacteria, radioresistant bacteria (*Deinococcus radiodurans* R1), as well as myxobacteria (*Anaeromyxobacter* spp.) (Lovley et al. 1991; Lovley and Phillips 1992; Kieft et al. 1999; Kashefi and Lovely 2000; Coates et al. 2001; Shelobolina et al. 2004; Suzuki et al. 2005; Suzuki and Suko 2006; Wu et al. 2006; Francis et al. 2008). Investigators (Fletcher et al. 2010) also demonstrated the reduction of U (VI) by Gram-positive *Desulfitobacterium* spp. These investigations have shown that U (VI) reduction can be enzymatic events and humic acid-mediated electron transfer as well.

### 6.3 Conclusion

In contrast to other developed and nuclear power countries, knowledge on microbial diversity and their role in U mine sites from India is quite fragmentary, although India is targeting higher power generation through U-based reaction that essentially required enhanced U ore process. In order to develop sustainable mine waste remediation strategies, understanding of the geomicrobiology of such sites is imperative. Along with that, knowledge on microbial potential and their role in dealing with such toxic elements (U and other metals) is not complete, particularly, in consideration with the vast metabolic and genetic diversity of microbial world and their nature of non-cultivability. Therefore, there is a strong demand for further investigation of indigenous microflora of U mine tailings and other mine sites as well as on isolating pure culture bacteria from highly contaminated habitats, deciphering their physiology and metabolic capabilities, and understanding their potential in bioremediation.

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# Microbial Cycling of Greenhouse Gases and Their Impact on Climate Change

# Bharati Kollah, Ashok Kumar Patra, and Santosh Ranjan Mohanty

#### Abstract

Greenhouse gas (GHG) emission from agriculture contributes significantly to the global climate change. The major greenhouse gases emitted from agriculture are methane  $(CH_4)$  and nitrous oxide  $(N_2O)$ . These two greenhouse gases have higher global warming potential than carbon dioxide  $(CO_2)$ . The manuscript embodies biogeochemical cycling of CH<sub>4</sub> and N<sub>2</sub>O. Microbial pathways of methanogenesis, CH<sub>4</sub> oxidation, nitrification, and denitrification are outlined. Information on the agricultural strategies to mitigate GHG emission from soils are discussed. The review highlights significance of low-affinity methanotrophs that can be activated by repeated enrichment of high CH<sub>4</sub> concentration, as global climate regulators. Iron redox cycling is also linked with soil CH<sub>4</sub> uptake as repeated Fe<sup>3+</sup> reduction and Fe<sup>2+</sup> oxidation decline crystalline Fe fraction that enhances CH<sub>4</sub> consumption by stimulating pmoA gene of methanotrophs. Studies suggested alternate flooding and drying as a potential approach to mediate atmospheric CH<sub>4</sub> uptake in flooded soil. N<sub>2</sub>O emission from soil is the outcome of both nitrification and denitrification. However, in upland soil N<sub>2</sub>O emission occurs through nitrification and through denitrification from flooded soil ecosystem. Nitrogen-fixing Rhizobium sp. also produces N<sub>2</sub>O, and these bacteria can be manipulated to mitigate  $N_2O$  emission by activating  $N_2O$  reductase (*nosZ* gene). It is concluded that apart from regular agricultural resource management strategies, there is need of genetically manipulated soil microorganisms to effectively mitigate climate change.

#### Keywords

Greenhouse gas  $\cdot$  CH\_4  $\cdot$  N\_2O  $\cdot$  Microbes  $\cdot$  Climate change

B. Kollah · A. K. Patra · S. R. Mohanty  $(\boxtimes)$ 

ICAR-Indian Institute of Soil Science (IISS), Bhopal, India e-mail: mohantywisc@gmail.com

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#### 7.1 Introduction

Climate change has adversely affected agricultural production and is likely to continue to do so during the coming decades. The impact of climate change is anticipated to be increasingly negative on most crops and livestock especially in the tropics. Apart from direct phonological and physiological impacts, there will be further decline in crop and livestock production due to additional stresses like weeds, diseases, and insect pests. Increase in the atmospheric concentrations of greenhouse gases (GHGs) is considered to be the main cause of climate change. The three major GHGs are CO<sub>2</sub>, CH<sub>4</sub>, and N<sub>2</sub>O. However, CH<sub>4</sub> and N<sub>2</sub>O are more important greenhouse gases than  $CO_2$  because of their global warming potential. The ability of CH<sub>4</sub> and N<sub>2</sub>O molecules to absorb infrared radiation makes these gases 20-30 and 200–300, respectively, more effective than  $CO_2$  as a greenhouse gas, resulting in significant contribution to the radiative forcing of the atmosphere and associated global climate change. Atmospheric  $CO_2$  level increased at the rate of 1.5 ppm yr<sup>-1</sup> during 1980–1990, but in the last decade, CO<sub>2</sub> concentration increased at the rate of 2.0 ppm  $yr^{-1}$  (Raupach et al. 2007). On the contrary, other potential greenhouse gas  $CH_4$  increased at the rate of 11.5 ppb yr<sup>-1</sup> during 2014–2015 and at the rate of  $5.7 \pm 1.2$  ppb yr<sup>-1</sup> during 2007–2013. The most potent greenhouse gas, N<sub>2</sub>O, has increased by 18% than the preindustrial period. Its concentration is linearly increasing at the rate of 0.26%  $yr^{-1}$  since the last few decades (Forster et al. 2007).

Being involved in carbon, nitrogen, phosphorous, and other elemental cycles, microorganisms are also responsible for both the production and consumption of greenhouse gases. Soil microbes thus exhibit both positive and negative feedback to atmospheric GHGs. These microbial activities are also influenced by the climatic factors. The reason for this microbial response to climate change is due to the complex interaction of microbes with higher organisms and environment. This complex interaction is not clearly known making it difficult to accurately predict the response of microbes to climate change. It has been noted that human activities have increased the production of greenhouse gases originating from microbial community. The chapter aims to provide information on (1) the microbial processes regulating cycling of the  $CH_4$  and  $N_2O$  in soil and (2) microbial strategies to mitigate GHG emission from agricultural soils.

# 7.2 Biogeochemical Cycling of CH<sub>4</sub>

Wetlands and rice soils are the large source of  $CH_4$ . It is the final product of anaerobic methanogenic respiration. The production of  $CH_4$  by anaerobic methanogens includes reduction of methanol,  $CO_2$  and cleavage of acetate, as well as biosynthesis of methylated compounds (Angel et al. 2012). Methanogenic archaea are two types: acetoclastic and hydrogenotrophic. These two groups of methanogens play a vital role for all biogenically produced  $CH_4$  in anoxic habitats. Acetate is used as C source by the acetoclastic methanogenic archaea. These groups are represented as Methanosarcinaceae and Methanosaetaceae. Species belonging to

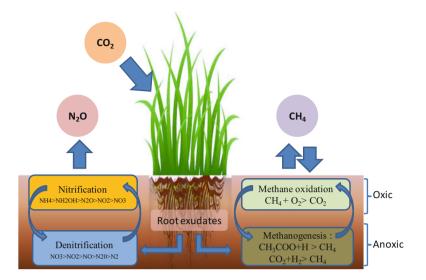


Fig. 7.1 Biogeochemical cycling of methane  $(CH_4)$  and nitrous oxide  $(N_2O)$  in oxic and anoxic layers of wetland soil and sediments

Methanosaetaceae are abundant in paddy soil when acetate concentration is low, while Methanosarcinaceae are dominant at higher acetate concentration (Eller et al. 2005). Hydrogenotrophic methanogens use H<sub>2</sub> and CO<sub>2</sub> for methanogenesis. The hydrogenotrophic methanogens are Methanocellales, Methanomicrobiales, Methanosarcinales, and Methanobacteriales. In wetlands CH<sub>4</sub> formation from H<sub>2</sub>/CO<sub>2</sub> is much larger (up to 67%) than from acetate (33%) (Conrad 1999).

Wetlands are the largest source of  $CH_4$ , which contributes one-third of the global  $CH_4$  budget. Methane is produced under anaerobic conditions by the methanogens (Fig. 7.1), but the net amount of  $CH_4$  reaching the atmosphere is influenced by many abiotic factors including soil temperature, pH, nutrient content, and moisture. Plants regulate the flux of  $CH_4$  from wetlands by different processes. Plants can stimulate  $CH_4$  emissions by providing carbon substrates to the methanogens. These C compounds originate from plants as root exudates. Plants also help in the transport of  $CH_4$  from soil to atmosphere by acting as a conduit. Plants create oxidized condition in the rhizosphere that can influence  $CH_4$  oxidation. The relative significance of these processes varies among plant species. Many studies have revealed that  $CH_4$  fluxes can be increased by the presence of vascular plants, while others have found that there can be a decline in  $CH_4$  production.

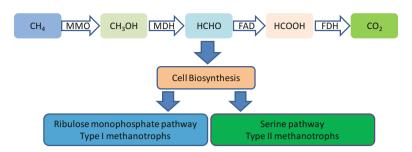
Rice paddies are the major source of CH<sub>4</sub>. In general rice straw is incorporated into the soil during field preparation for cultivating rice. Amendment of rice straw to flooded paddy soil improves soil structure and soil organic carbon in the long term (Zhang et al. 2013). However, such practice potentially increases CH<sub>4</sub> emission from soil into the atmosphere (Yuan et al. 2014b). The decomposed organic matter acts as an electron source to reduce O<sub>2</sub>, NO<sub>3</sub>, Fe<sup>3+</sup>, Mn<sup>4+</sup>, SO<sub>4</sub><sup>2-</sup>, and CO<sub>2</sub> sequentially in

the anaerobic soils. The presence of electron acceptors other than CO<sub>2</sub>, i.e., O<sub>2</sub>, NO<sub>3</sub>,  $Fe^{3+}$ ,  $Mn^{4+}$ , and  $SO_4^{2-}$  inhibits methanogenesis (Rissanen et al. 2016). Methanogens respond differently to the incorporated organic residues. The abundance of the methanogenic communities increases during anoxic decomposition of rice straw (Yuan et al. 2014a). It has been reported that rice straw incorporation into soil selectively enhances population of Methanosarcinaceae and Methanobacteriales belonging and decreases methanogens to rice cluster I (RC-I) and Methanomicrobiales (Hernández et al. 2017). Degrading rice straw is colonized by Methanosarcina, Methanobacterium, and RC-I methanogens (Bao et al. 2014). Several pioneering studies have focused on the effects of rice straw application on CH<sub>4</sub> emission in rice paddy soil (Dong et al. 2013; Han et al. 2016). The pattern of  $CH_4$  production is, however, mainly dependent on the soil but not on the types of straw added.

#### 7.2.1 Methane Oxidation

Upland soils are generally well-drained and aerated (oxic) in nature. These soils have major role in the global CH<sub>4</sub> budget as they act as sink for atmospheric CH<sub>4</sub>. It is estimated that globally CH<sub>4</sub> consumption is about 30 Tg yr<sup>-1</sup> (Rice et al. 2016). Although CH<sub>4</sub> consumption occurs in a wide variety of upland soils, the pristine forest soils have been identified as the most promising sinks for atmospheric CH<sub>4</sub> (Lohila et al. 2016; Ťupek et al. 2014). Conversion of pristine forest land to agricultural land can lower the CH<sub>4</sub> uptake capacity (Knox et al. 2015; Tate 2015). Various agricultural factors regulate CH<sub>4</sub> oxidation. Some of these factors are soil compaction, pH and fertilizer application (Ball 2013), and abandonment of agricultural land, or even converting it to forest can potentially increase the atmospheric CH<sub>4</sub> uptake to some extent.

Methanotroph diversity and activity has been studied in different upland soils (Knief et al. 2003; Vanitchung et al. 2014). The diversity of CH<sub>4</sub>-oxidizing bacteria is typically assessed by exploring *pmoA* gene. This gene encodes  $\beta$ -subunit of methane monooxygenase (pMMO) enzyme (Mau et al. 2013). Most of the uncultivated methanotrophs are characterized by pmoA gene sequences. Similarly, methanotrophs can be identified by analyzing their phospholipid fatty acids (Steger et al. 2015). Methanotrophs are aerobic, gram-negative bacteria and use CH<sub>4</sub> as their sole source of energy. The methanotrophs also degrade various environmental contaminants and are used in various environmental remediation projects. Methanotrophs are also used as source of single-cell protein. Based on the physiological and biochemical characteristics of these microbial groups, the cultured members of the methanotrophs are divided into three groups: type I, type II, and type X. Type I are the members of the class  $\gamma$ -proteobacteria (*Methylomonas*, Methylomicrobium, Methylothermus, Methylohalobium, Methylococcus, Methylocaldum, and Methylobacter). Type II belongs to the class  $\alpha$ -proteobacteria (Methylosinus, Methylocella, Methylocapsa, and Methylocystis). Type X



**Fig. 7.2** Schematic illustration of  $CH_4$  oxidation pathway carried out by methanotrophs in soil. The enzyme complexes are methane monooxygenase (MMO), methanol dehydrogenase (MDH), formaldehyde dehydrogenase (FAD), and formic acid dehydrogenase (FDH)

methanotrophs belong to the class  $\gamma$ -proteobacteria (*Methylococcus*) and feature characteristic of both type I and II.

Based on the *pmoA* gene, it was revealed that the USC $\alpha$  pmoA clade is commonly found in upland soils (Knief et al. 2006). These belong to *a*-proteobacteria and are related to *Methylocapsa* (Ricke et al. 2004). The USC $\gamma$  pmoA clade methanotrophs are prevalent in upland soils of neutral or somewhat alkaline pH. Methanotrophs from clade JR3 were initially identified from a grassland (Horz et al. 2005). These methanotrophs dominate in desert soils and are capable of oxidizing atmospheric CH<sub>4</sub> (Angel and Conrad 2009). *Methylocystis* are known to use CH<sub>4</sub> at a relatively low concentrations (Baani and Liesack 2008).

The first step of  $CH_4$  oxidation is catalyzed by the enzyme methane monooxygenase (MMO) (Fig. 7.2) (Lee et al. 2013). This enzyme occurs as a membrane-bound particulate methane monooxygenase (pMMO) and (2) a cytoplasmic soluble methane monooxygenase (sMMO) (Ho et al. 2013). Most of the methanotrophs (except Methylocella) possess pMMO. This enzyme is constituted of three membrane-based polypeptides encoded by *pmoC*, *pmoA*, and *pmoB* (Kang and Lee 2016). Certain Type II methanotrophs (Methylosinus, Methylocystis), Type I methanotrophs (Methylomonas, Methylomicrobium), and Type X (Methylococcus *capsulatus*) possess sMMO in addition to pMMO (Cantera et al. 2016). The enzyme from the Methylosinus, Methylocystis, and Methylococcus has been thoroughly studied. The nucleotide sequence of the sMMO gene is constituted of mmoX, mmoY, mmoB, mmoZ, mmoC, and mmoD (Strand et al. 2013). The DNA sequences of this cluster are highly conserved. The *pmoA* gene encodes a 26-kDa subunit that harbors the active site for pMMO. The *mmoX* gene encodes for  $\alpha$ -subunit of the sMMO hydroxylase. These genes are used as genetic markers to identify enzymes of various methanotrophs. Methanol dehydrogenase (MDH) is the second enzyme involved in methane oxidation. It is present in all methylotrophs including methane and methanol users. This enzyme is encoded by mxaF gene and is an appropriate marker for identifying methanotrophs possessing MDH activity (Haque et al. 2016).

## 7.2.2 Agricultural Management to Improve Atmospheric Methane Oxidation

Soil is the major biological sink for the atmospheric CH<sub>4</sub>. The uncultivated methanotrophs are mostly responsible for atmospheric CH<sub>4</sub> (~1.8 ppm) consumption which is referred as "high-affinity" methane oxidation (HAMO). The HAMO is carried out by the conventional methanotrophs commonly found in paddy soil (Cai et al. 2016). In a study (Cai et al. 2016), it was observed that HAMO activity was quickly induced after low-affinity CH<sub>4</sub> oxidation. Low-affinity CH<sub>4</sub> oxidation was carried out at high CH<sub>4</sub> concentration (10,000 ppm). The high-affinity methanotrophic activity was lost over 2 weeks. However, the HAMO activity was regained by flush feeding the soil with 10,000 ppm of CH<sub>4</sub>. The induction of HAMO activity occurred only after the rapid growth of methanotrophs. Metatranscriptome analysis revealed a strong transcriptional activity of the key enzymes of the conventional methanotrophs are responsible for atmospheric CH<sub>4</sub> oxidation if the soil undergoes alternate draining.

# 7.2.3 Fe Redox Cycling can Modulate CH<sub>4</sub> Consumption in Soil

Our understanding on the relation between iron (Fe) reduction-oxidation (IRO) and  $CH_4$  oxidation (consumption) is important to mitigate atmospheric  $CH_4$ . In a study (Mohanty et al. 2016), two soil types (alluvial and vertisol) were simulated to undergo microbial Fe reduction and aerobic oxidation repeatedly by natural wettingdrying cycle. Potential iron reduction rate k ( $\mu$ M Fe<sup>2+</sup> produced g<sup>-1</sup> soil d<sup>-1</sup>) increased from 1.26 to 2.16 in vertisol and 1.95 to 3.05 in alluvial soil. Potential iron oxidation in both soils increased with repeated flooding and drying. The iron reduction-oxidation significantly (p < 0.05) stimulated CH<sub>4</sub> oxidation rate. The high-affinity CH<sub>4</sub> oxidation rate (µg CH<sub>4</sub> consumed per g soil per day) increased from 0.03 to 0.19. Low-affinity CH<sub>4</sub> oxidation rate increased from 0.05 to 0.47 in vertisol. X-ray diffraction (XRD) revealed that diffraction intensity of Fe minerals (magnetite and goethite) decreased over iron reduction-oxidation cycle. Real-time PCR quantification of methanotrophs (pmoA gene) confirmed that iron reduction-oxidation cycle stimulated (p < 0.05) methanotroph abundance. The study thus highlights that iron reduction-oxidation cycles can significantly enhance CH<sub>4</sub> oxidation in tropical soils. Previously, in a nitrate dependent Fe reduction-oxidation cycling study, it was found that highly reactive, amorphous Fe<sup>3+</sup> oxide phases were formed (Fortin and Langley 2005). Surface area of Fe minerals is the gross indicator of the relative abundance of  $Fe^{3+}$  oxide surface available for microbial attachment (Tobler et al. 2007). This apparent dependence on surface area provides a functional explanation for the major differences in the microbial activity on various types of Fe<sup>3+</sup> oxides (Roden and Zachara 1996). A high surface area of Fe minerals may change the soil environment to more aerobic and nutrient rich supporting the microbial activity (Li et al. 2013). It is hypothesized that low crystalline Fe minerals act as microenvironments for bacterial activity. Probably, these altered properties of Fe minerals results after iron reduction-oxidation cycling favored methanotrophs and stimulated  $CH_4$  oxidation.

#### 7.2.4 Ammonia-Oxidizing Bacteria

The lithoautotrophic ammonia-oxidizing bacteria are important in terms of their role in greenhouse gas emission. These bacteria use ammonia as sole energy source and are able to fix CO<sub>2</sub> through the Calvin-Benson cycle (Bock and Koops 2000). The ammonia-oxidizing bacteria are relevant for CH<sub>4</sub> consumption. Two main genera of ammonia oxidizers are *Nitrosomonas* and *Nitrosospira*. Both the genera belong to the  $\beta$ -proteobacteria. *Nitrosococcus* cluster belong phylogenetically within the  $\gamma$ -proteobacteria (Koops et al. 2000). The first step of nitrification is the oxidation of ammonia to hydroxylamine. This process is catalyzed by the ammonium monooxygenase. This enzyme is evolutionarily related to the methane monooxygenase doesn't show high substrate specificity and oxidizes several compounds such as carbon monoxide and hydrocarbons (Hooper et al. 1998). This enzyme is also capable of oxidizing CH<sub>4</sub>; however, it occurs at much lower pace than the methane monooxygenase (Bedard and Knowles 1989; Bodelier and Frenzel 1999).

# 7.3 Nitrous Oxide (N<sub>2</sub>O) Cycling in Soil

Among the three different GHGs, N<sub>2</sub>O is the most potent one. In terrestrial ecosystem it is produced from both natural and anthropogenic sources. Many other sources are there which produce significant amount of N<sub>2</sub>O, but they are not clearly understood and also difficult to measure. Therefore, there is a general agreement that the atmospheric sources and sinks of N<sub>2</sub>O are difficult to balance. Nitrous oxide is a long-lived trace gas, with its average mixing ratio of 330 ppbv (Arevalo-Martinez et al. 2013). The concentration of atmospheric N<sub>2</sub>O has increased by 19% since preindustrial period but has increased by 0.77 ppb yr<sup>-1</sup> during 2000–2009. It is a potential GHG with a 100-year global warming potential of 298 times higher than CO<sub>2</sub>. It contributes 6.24% to the overall global climate change (Huang et al. 2013). The dominant sources of N<sub>2</sub>O are the microbial processes in soils, sediments, and water bodies. N<sub>2</sub>O emission from agricultural use of N fertilizer and manure management accounts for 4.3–5.8 Tg N<sub>2</sub>O–N yr<sup>-1</sup>. Its emission from natural soils ranges from 6 to 7 Tg N<sub>2</sub>O–N yr<sup>-1</sup>. Thus N<sub>2</sub>O represents 56–70% of all global N<sub>2</sub>O sources (Reay et al. 2012).

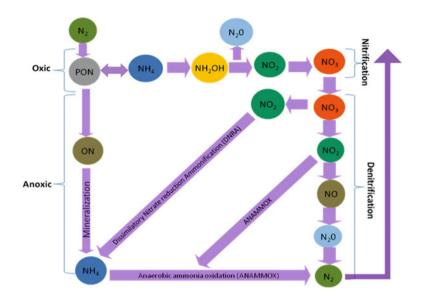


Fig. 7.3 Schematic illustration of N transformation (nitrification and denitrification) pathway and nitrous oxide production processes in soil

## 7.3.1 Nitrous Oxide Production from Nitrification and Denitrification

Estimation of N<sub>2</sub>O budget at national and regional scales from local studies is a challenging task. This is mainly due to the variability of N<sub>2</sub>O production caused by a multitude of interacting factors. Soil N<sub>2</sub>O emission varies spatiotemporally and is also characterized by hot spots and timings. N<sub>2</sub>O fluxes from soil are not only restricted to the specific sites of N fertilization but also owed to the volatilization, leaching, atmospheric deposition, and erosion processes. In natural ecosystem N fertilization creates new hot spots for N<sub>2</sub>O emissions. However, it is challenging to integrate N<sub>2</sub>O flux originating from nitrification and/or denitrification which often occurs in close vicinity. A substantial part of the NO<sub>3</sub><sup>-</sup> formed by nitrification and denitrification and denitrification (Fig. 7.3). N<sub>2</sub>O from nitrification and denitrification and denitrification and denitrification the global N<sub>2</sub>O budget (Butterbach-Bahl et al. 2013). The microbial N cycle with various metabolic steps is illustrated in Table 7.1.

The mechanism of  $N_2O$  production by nitrification is not clearly known. Three main hypotheses have been proposed:

1. During nitrification a constant proportion of NH<sub>4</sub><sup>+</sup> is converted to N<sub>2</sub>O. This results into formation of various intermediate products. N<sub>2</sub>O is produced from an intermediate product HNO produced during the oxidation of NH<sub>2</sub>OH to NO<sub>2</sub><sup>-</sup>.

		N molect	les form	N molecules formed during microbial metabolism	nicrobia	l metab	olism					
N cycling processes	Oxic/anoxic		$\rm NH_4$	R-NH <sub>2</sub> NH <sub>4</sub> NH <sub>2</sub> OH N <sub>2</sub> O NO NO <sub>2</sub>	$N_2O$	NO	$NO_2$	$NO_3$	NO <sub>3</sub> NO <sub>2</sub> NO N <sub>2</sub> O	NO		$\mathbf{N}_2$
Heterotrophic nitrification	Oxic	x	x	х	x	Х	х	x				
Autotrophic NH <sub>4</sub> oxidation	Oxic		x	x	x	x	x	x				
Autotrophic NO <sub>2</sub> oxidation	Oxic						x	×				
Coupled nitrification-denitrification	Anoxic							×	x	x	x	×
Nitrifier denitrification	Anoxic						x			x	x	X
Anoxic denitrification	Anoxic							×	x	x	x	×
Denitrification with NO	Anoxic	x								x	x	X
Denitrification with N <sub>2</sub> O	Anoxic	x								x	x	×
Dissimilatory NO <sub>3</sub> reduction ammonification (DNRA)	Anoxic		×				x	x			x	x
Biological N fixation	Anoxic	x									x	X
Anaerobic ammonia oxidation (ANAMMOX)	Anoxic		x				x					x

**Table 7.1** Various nitrogen-transforming microbial processes under oxic and anoxic ecosystem. N<sub>2</sub>O is produced by both aerobic nitrifiers and anaerobic denitrifiers

HNO is further oxidized to an unknown compound, which is subsequently oxidized to  $NO_2^{-}$ .

- N<sub>2</sub>O is produced when NO<sub>2</sub><sup>-</sup> is reduced by accepting electron. Mostly, it occurs during NH<sub>4</sub><sup>+</sup> oxidation when O<sub>2</sub> pressure is low. Partial pressure of O<sub>2</sub> in soil varies with soil moisture.
- 3. N<sub>2</sub>O is also produced during the partial oxidation of NH<sub>4</sub><sup>+</sup> into NO<sub>2</sub><sup>-</sup>. When NO<sub>2</sub> is diffused into anaerobic regions of soil, it is denitrified to N<sub>2</sub>O.

Both ammonia oxidizers and methanotrophs produce  $N_2O$  during the oxidation of  $NH_2OH$  to  $NO_2^-$ . Certain ammonia oxidizers reduce  $NO_2^-$  to  $N_2O$  and then to  $N_2$  under anoxic condition. This process is termed as nitrifier denitrification. Under strict anaerobiosis  $N_2O$  is also produced by denitrifying organisms.

 $N_2O$  emission from soil is influenced by agricultural practices, climatic conditions, and soil properties. Soil factors include soil moisture and temperature, aeration, ammonium, and nitrate concentration, and pH. Soil moisture content is one of the predominant factors regulating  $N_2O$  emission from soils. However, it has been observed that alteration in the soil water content due to wetting events such as irrigation and rainfall can stimulate nitrification and denitrification and promote  $N_2O$  production.  $N_2O$  emission is highly correlated with water-filled pore space (WFPS). In an intensively managed calcareous fluvo-aquic soil, the highest  $N_2O$  emission occurred under 70% WFPS originating from both nitrification (35–53%) and denitrification (44–58%) (Huang et al. 2014). The favorable conditions for  $N_2O$  production from nitrification dominates  $N_2O$  production in wet soils with >80–90% WFPS (Huang et al. 2014).

 $N_2O$  and other N compounds released from various N cycling microbial processes are given in Table 7.1. Two groups of nitrifiers including ammonia-oxidizing archaea (AOA) and ammonia-oxidizing bacteria (AOB) are responsible for the first step of nitrification (NH<sub>3</sub> to NO<sub>2</sub>), and both groups constitute *amo*A gene encoding the alpha subunit of ammonia monooxygenase (AMO). However, the bacterial and archaeal *amo*A genes vary by their DNA sequences. The conversion of NO<sub>2</sub> to NO<sub>3</sub> is carried out by nitrite oxidoreductase encoded by *nxrB* gene. Until recently, AOB were believed to be the only nitrifier who carry out nitrification. However, AOA is being reported to have *amo*A genes. Studies reveal that AOA outnumber AOB in terrestrial environments.

Conversely, nitrification is a strictly aerobic process since the  $NH_4^+$ -oxidizing enzymes of different nitrifiers require  $O_2$  (Weber et al. 2015). The effect of  $O_2$  on nitrification and  $N_2O$  production has been studied well in pure microbial cultures.  $N_2O$  emission from nitrification takes place when the  $O_2$  partial pressure is within the range of 0.1–0.5 kPa. Chemoautotrophic bacteria and archaea carry out nitrification, whereas denitrification is by heterotrophic bacteria (Table 7.1). Recently it has been found that many fungal strains also contribute to the N transformation. In an experiment, the role of fungi in  $NO_3$  and  $N_2O$  production across the Southwestern United States was assessed. Soils were collected from desert and well-managed grassland sites. Differential role of bacteria and fungi on nitrification and  $N_2O$  production was estimated by using selective inhibitors. Results highlighted that bacteria are responsible for nitrification and N<sub>2</sub>O production in well-managed soils, while fungi are responsible for N<sub>2</sub>O production in desert and semiarid soils.

Approximately two-thirds of total global N<sub>2</sub>O emission comes from agricultural field soil. The intrinsic soil properties that control emission of N<sub>2</sub>O are soil physical texture, pH, organic matter content, and available nitrogen. N<sub>2</sub>O production in soil is generally attributed to microbiological processes, and all factors that modulate the activity of N<sub>2</sub>O-producing microorganisms also regulate N<sub>2</sub>O production. Recently, iron has been recognized as a regulator of  $N_2O$  emission from soil. Iron is known to involve in enzymatic and nonenzymatic reactions that mediate genesis of  $N_2O$ . The interrelation between iron and N<sub>2</sub>O has not been clearly understood, and assumption of such relation has been neglected probably because iron is not routinely evaluated in soil samples in general agronomical research. Iron does not have a direct and immediate effect on the growth of crops or soil function. Also in soil iron does not significantly affect the immediate microbiological activity generally associated with N cycle and  $N_2O$  production. From series of experiments, it has been found that Fe content of soil is related to N<sub>2</sub>O production. In soil at 50 and 100% moisture and  $NH_4$ -N fertilization, the significance of iron is high toward N<sub>2</sub>O production (Huang et al. 2009). When ammonia is oxidized to hydroxylamine, the product reacts with Fe<sup>3+</sup> to produce N<sub>2</sub>O. Increased soil moisture stimulates mobility of solutes and enhances reaction between hydroxylamine and Fe<sup>3+</sup> results into high N<sub>2</sub>O production.

#### 7.3.2 Mitigation of N<sub>2</sub>O Emission from Soil

Nitrogen is the source of  $N_2O$  emission from agricultural soils. Minimization of N conversion to  $N_2O$  is the primary way to mitigate  $N_2O$  production from agricultural soil. There are few strategies to minimize  $N_2O$  emission. A study suggests using N-fixing bacteria to minimize  $N_2O$  emission (Itakura et al. 2013). Soybean is an important leguminous crop and hosts symbiotic nitrogen-fixing *Rhizobium* sp. In soybean fields,  $N_2O$  is also emitted but to a lesser extent. Emission of  $N_2O$  takes place during the degradation of the root nodules. Organic-N present in the nodules is mineralized to NH<sub>4</sub> which undergoes nitrification and denitrification and produce  $N_2O$ . This is carried out by expression of the *nosZ* gene. In a pure culture and vermiculite pot experiments, it was revealed that rhizobia strains with modified  $N_2O$  reductase gene (*nosZ*+ and *nosZ*++) lower  $N_2O$  emission. Therefore, these strains have been suggested as microbial inoculants for  $N_2O$  mitigation (Itakura et al. 2013).

Soil biochar amendment has been described as a promising tool to mitigate N<sub>2</sub>O emission from agricultural soil. Many studies link the N<sub>2</sub>O emission mitigation and the abundance and activity of N<sub>2</sub>O-reducing microorganisms in biochar-amended soils. Biochar amendment shapes the N<sub>2</sub>O reductase gene (*nosZ*) carrying soil microbial community. In a study, the diversity of bacterial 16S rRNA gene and *nosZ* genes was explored under the influence of biochar. Soil with biochar significantly altered the 16S rRNA gene-based community composition. Biochar

amendment developed distinct bacterial community capable of  $N_2O$  reduction containing *nosZ* gene. The sequences of the enriched bacterial population were closely related to *nosZ* genes of *Pseudomonas stutzeri* and *Pedobacter saltans*. Further studies are needed to establish the molecular basis of nosZ gene expression in soil amended with biochar.

# 7.4 Conclusion

Current agricultural practice is likely to emit higher levels of GHGs to the atmosphere leading to increased global warming. Climate change eventually will have negative impact on the agricultural productivity. Soil microbes are the source and sink for atmospheric GHG. To regulate GHG emission from agriculture, it is important to understand the microbial processes governing the flux and feedback of GHGs.  $CH_4$  and  $N_2O$  are the two most important GHGs emitted from agricultural soil. Several microbial processes are involved in GHG cycling like methanogenesis, methane oxidation, nitrification, and denitrification.  $CH_4$  can be mitigated by promoting methanotrophs through soil biogeochemical process. One such intervention is alternate flooding and drying and Fe cycling.  $N_2O$  emission can be mitigated by exploring the microbial groups like N fixers with  $N_2O$  reductase gene. It is concluded that apart from agricultural management strategies, GHG emission from agriculture can be mitigated through biogeochemical processes and by using microbial groups with GHG-metabolizing genes.

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8

# Microbe-Mediated Bioremediation: An Eco-friendly Sustainable Approach for Environmental Clean-Up

# Seema Sangwan and Ajinath Dukare

#### Abstract

Bioremediation provides a technique for cleaning up pollution by enhancing the natural biodegradation processes. Due to escalation in the costs of physical and chemical treatments. Microbe-mediated eco-friendly bioremediation technologies are getting more attractive. Each approach of bioremediation process has certain specific advantages and disadvantages, which need to be considered for each location. Microbial cellular enzyme-mediated remediation for successful degradation and clean-up of the wide range of organic contaminants in the polluted ecosystem is also novel and efficient approach. Numerous environmental factors limit and affect the efficiency of microbial degradation of xenobiotic pollutants in contaminated sites. The biological response to environmental pollutants varies within a microbial guild, and the presence of co-contaminants can elicit variable responses to the process of bioremediation. Regardless of which aspect of bioremediation is followed, this technology offers an efficient and cost-effective way to treat contaminated soil and groundwater.

## Keywords

 $Bioremediation \cdot Microbes \cdot Pollution \cdot Environment \cdot Soil$ 

S. Sangwan (🖂)

Division of Microbiology, ICAR-IARI, New Delhi, India e-mail: seemasangwan@iari.res.in

A. Dukare Division of Horticultural Crop Processing, ICAR-CIPHET, Abohar, Punjab, India

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### 8.1 Introduction

The rapid growth in agriculture and industry has resulted in the production, release and accumulation of large amounts of toxic xenobiotic compounds in the earth's environment (soil, air and water) that has become a cause for global concern (Gianfreda and Rao 2008). Primarily, xenobiotics are those toxic chemical compounds that are alien to the biological system and have tendency to gather and biomagnify in the earth's biosphere. These xenobiotic chemicals some of whom might be recalcitrant are directly released into the biosphere through wastewater discharge and release of solid residue from the various industries like chemical and pharmaceutical, plastics, paper and pulp mills, textile mills, agricultural operations (fungicides, herbicides and insecticides) (Lalithakumari 2011), etc. Indirect sources of xenobiotic residues include pharmaceutical compounds (Heberer 2002), pesticide residues (Karanth 2000) and anti-inflammatory drugs (Oaks et al. 2004).

Chemically, principal organic xenobiotics include alkanes, polycyclic aromatic hydrocarbons (PAHs), antibiotics, synthetic azo dyes, paints, insecticides and pesticides, fuels, various types of solvents, surfactants, pollutants (dioxins and polychlorinated biphenyls) and polyaromatic, chlorinated and nitro-aromatic compounds (Sinha et al. 2009). Concentration of these xenobiotic pollutants in natural environments has increased dramatically. For example, concentrations of PAHs in soil are varying from 1  $\mu$ g to 300 g kg<sup>-1</sup> soil, depending on the sources of contamination like fossil fuel combustion, gasification and liquefaction of coal, wood treatment processes and incineration of wastes (Bamforth and Singleton 2005). Besides, group of heavy metals including cadmium, chromium, copper, lead, mercury, nickel and zinc also act as primary inorganic chemical contaminants in soil and other natural ecosystem. Due to highly thermodynamic stability, these compounds are relatively largely persistent in the environment and lead to bioaccumulation or biomagnification in food chain. Further, these toxic compounds also have harmful effects on human health due to their carcinogenic, mutagenic and teratogenic effects. Xenobiotic compounds like biphenyl compounds, phenols and phthalates work as endocrine disruptors (Nagao 1998; Borgeest et al. 2002), while organochlorine pesticides like lindane ( $\gamma$ -HCH) affect the nervous system, liver and kidneys. Thus, due to overall harmful damage posed by the xenobiotic compound, various strategies have been developed for decontamination, sequestration and removal of these toxic contaminants from the biospheres (Saleem et al. 2008).

Presently, various chemical, physical and biological methods have been employed for control or removal of the toxic xenobiotic pollutants in soils. Commonly used non-biological pollutant decontamination methods (e.g. land-filling, recycling, pyrolysis and incineration) also had adverse effects on the environment due to formation of toxic intermediates in the process (Debarati et al. 2005). Furthermore, these methods are more expensive and sometimes difficult to execute, especially in non-point pollution, for instance, pesticides (Jain et al. 2005). Due to all these reasons, biological methods based on microbial technology have received much attention for remediation and degradation of toxic xenobiotic compounds (Gianfreda and Rao 2008). In the bioremediation process, specific biological system is deployed for either removal or transformation of toxic contaminants into nonhazardous products. Microbe-based bioremediation approaches have several advantages over physicochemical remediation methods such as cost-effective, environmentfriendly convenient, complete degradation of organic pollutants and no collateral destruction of the site material or its indigenous flora and fauna (Timmis and Pieper 1999). Exploitation of the ability of microorganisms to remove toxic pollutants from contaminated sites is the most promising alternative treatment strategy (Finley et al. 2010).

# 8.2 Bioremediation: Principles Involved

Bioremediation is broadly described as the use of living biological organism including microorganisms or their enzymes or plants (phytoremediation) to remove, detoxify or reduce the concentration of toxic xenobiotic pollutant in environment.

Bioremediation offers the possibility of degrading, altering, removing, immobilizing or detoxifying toxic chemicals present in the earth's biospheres through the action of various microorganisms such as bacteria (Chowdhury et al. 2008; Jha et al. 2011), fungus (Kenneth 1996; Cho et al. 2009; Kumar et al. 2009) and even higher plants. Microbial activity in the bioremediation process results in the cleaning of the environment, via complete degradation, mineralization, sequestration or removal of the toxic pollutants. Degradation means that the microorganisms decompose the large and chemically complex group of pollutants into small and simple harmless compounds with release of natural by-products such as carbon dioxide ( $CO_2$ ), water ( $H_2O$ ) or other nontoxic intermediate molecule (Fig. 8.1).

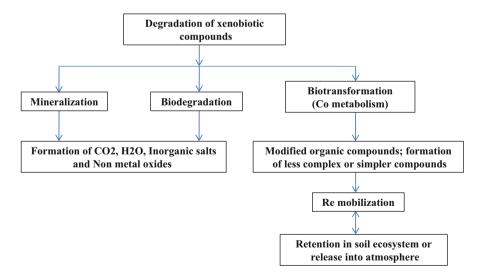


Fig. 8.1 Possible ways of biological transformations of xenobiotic compounds in the environment (Modified from Izabela (2002)

Sequestration is the mechanism by which toxic environmental contaminants are either trapped or altered in a way that makes it nontoxic or unavailable to living organisms. In the removal process, unsafe pollutant is not necessarily degraded, but the microbes physically remove it from the soil or water so that it can be collected and safely disposed. Generally, when compounds are persistent in the environment, bioremediation process proceeds through multiple steps of microbial enzyme systems or different microbial community and populations present at the contaminated sites. Agricultural soil, ground or surface waters, wastewater, aquatic bodies, sediments and air which are contaminated with release of toxic pollutants or chemicals are the sites where bioremediation process is employed (Ali Elredaisy 2010; Aghamiri et al. 2011).

Microbe-mediated degradation of xenobiotics is one of the important eco-friendly approaches to remove persistent harmful compounds accumulated in the environment. The ability of microorganisms to degrade, metabolize and transform xenobiotic compounds has been recognized as an efficient way of removing poisonous and detrimental wastes (Sridevi et al. 2011; Agarry and Solomon 2008). Microorganisms are ideally suited for the task of pollutant destruction and removal due to possession of enzyme system which allows them to use environmentally toxic pollutants as food and energy. Most of the advancements in bioremediation science has been attributed to the individual and interdisciplinary contribution provided by scientific areas of microbiology, molecular biology, biochemistry, analytical chemistry and environmental engineering (Sheehan 1997). Bioremediation process involves detoxification and mineralization, where the waste is converted into inorganic compounds such as carbon dioxide, water and methane (Reshma et al. 2011). Remediation of toxic pollutant from contaminated natural site through application of biological approaches has several advantages and disadvantages (Table 8.1).

# 8.3 Role of Microbes in Bioremediation

The ability of microbes to alter nearly all forms of organic material, their wider diversity and capabilities in catalytic mechanisms (Paul et al. 2005) and their ability to function even in the anaerobic and other extreme conditions (Mishra et al. 2001) makes them an attractive candidate for the bioremediation process. In addition, microbes play an important role in biogeochemical cycles and in sustainability of the biosphere ecosystems. The microbial transformation of xenobiotic pollutants can take place either in oxygenic or anoxygenic conditions. However, in the majority of cases, molecular oxygen participates in the first transformation reactions of both aliphatic and aromatic xenobiotic compounds (Cao et al. 2009; Sinha et al. 2009). Among the various group of microbes, bacteria have been found most efficient and dominant in the natural process of bioremediations. Under both aerobic and anaerobic conditions, bacteria have developed strategies for obtaining energy from virtually every compound by using electron acceptors such as ferric ions, nitrate, sulphate, etc. The diversity of microorganisms participating in the aerobic transformations of xenobiotic pollutants is vast (Table 8.2). Numerous bacterial

S. No.	Advantages	Disadvantages
1.	Offers possibility of complete breakdown/degradation/mineralization of organic pollutants into other nontoxic substances in natural ecosystem	May lead to the incomplete/partial degradation of organic contaminants resulting in the production of toxic intermediate compounds having more mobility than original contaminants
2.	Involves minimal requirement of external energy when compared to other remediation technologies/approaches	Being a naturally occurring microbial process, microbial activities in the contaminated sites are sensitive to the concentration of toxic compounds and various environmental factors (moisture, aeration, nutrient supply, temperature, pH, etc.)
3.	Depending upon conditions, can be implemented both as an in situ and ex situ method	Requires field monitoring to track the progress of biodegradation of the organic contaminants
4.	Relative simplicity of the technology, compared with many other on-site treatment technologies	Control of volatile organic compounds (VOCs) may become difficult if an ex situ bioremediation process is followed
5.	Cost of treatment per unit volume of soil or groundwater is very less as compared to other remediation methods	Process is lengthier and usually requires longer treatment time than other remediation technologies
6.	Complete degradation of xenobiotic pollutants is possible because the process does not involve pollutant transfer to other environmental medium/conditions	Not all xenobiotic compounds are susceptible to biodegradation; hence, the range of biodegradable contaminants that can be effectively treated is limited
7.	Minimal site disruption and low environmental impact and thus easily perceived positively by the public domain	Sometimes, residual levels of harmful intermediates can get too high (not meeting regulatory requirements), persistent and/or toxic in contaminated sites
8.	Requires low-technology equipment, i.e. readily available equipment	Due to lack of performance criteria regulations, performance evaluations are difficult because there is not a defined level of a "clean" site

 Table 8.1
 Advantages and disadvantages of bioremediation (Sharma and Reddy 2004; Vivaldi 2001)

genera, e.g. Acinetobacter, Alcaligenes, Bacillus, Escherichia, Gordonia, Micrococcus, Moraxella, Pseudomonas, Pandoraea, Rhodococcus, Sphingobium and Streptomyces, either individually or in combination are involved in the oxygenic breakdown, while bacterial genera involved in anaerobic degradation of xenobiotics include Azoarcus, Clostridium, Desulfotomaculum, Desulfovibrio, Geobacter, Methanospirillum, Methanococcus, Methanosaeta, Pelotomaculum, Syntrophobacter, Syntrophus and Thauera (Van Hamme et al. 2003; Kulkarni and Chaudhari 2007; Jindrova et al. 2002; Weelink et al. 2010).

Xenobiotic compound group	Name of xenobiotic pollutants	Degrading microorganisms	References	
Polycyclic aromatic hydrocarbon compounds	Naphthalene	Pseudomonas putida	Habe and Omori (2003)	
	Pyrene	Mycobacterium PYR-1	Kanaly and Harayama (2000)	
	2,3,4- Chloroaniline	Pseudomonas sp.	Spain and Nishino (1987)	
Pesticide compounds	Endosulphate compounds	Arthrobacter sp.	Weir et al. (2006)	
	Endosulfan compounds	Mycobacterium sp.	Sutherland et al. (2002)	
	DDT	Dehalospirilum multivorans	Chaudhry and Chapalamadugu (1991)	
	2,4-D	Alcaligenes eutrophus	Don and Pemberton (1981)	
Halogenated organic compounds	Vinyl chloride	Dehalococcoides sp.	He et al. (2003)	
	PCE	Dehalococcoides ethenogenes195	Magnuson et al. (2000)	
	Atrazine	Pseudomonas sp.	Bruhn et al. (1988)	
Other xenobiotic	РСВ	Rhodococcus	Kimbara (2005)	
compounds	Dioxins	RHA1		
	Benzene	Dehalococcoides sp.	Bunge et al. (2003)	
		Dechloromonas sp.	Coates et al. (2001)	
	Azo dyes	Pseudomonas sp.	Stolz (2001)	
		Sphingomonas sp.	Reife and Freeman (2000)	
		Xanthomonas sp.		
	Petroleum products	Achromobacter sp.	Austin et al. (1977)	
		Micrococcus sp.		
		Bacillus sp.		
		Flavobacterium sp.		

**Table 8.2** Representative examples of microorganisms involved in the bioremediation of xenobiotic compounds

# 8.4 Overview of Methods Employed for Remediation

US Environmental Protection Agency (US-EPA 2001, 2002), on the basis of removal and transportation of wastes for treatment, has described two methods of bioremediation, i.e. in situ and ex situ (Fig. 8.2). In situ bioremediation techniques involve the enhancement of indigenous microbial activity or inoculation of cultivated microbes into the contaminated environment, while ex situ treatment

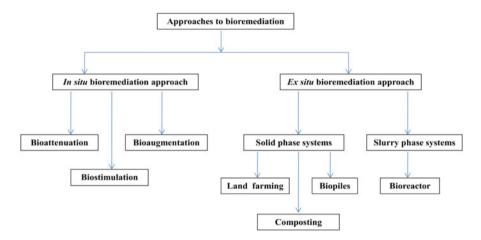


Fig. 8.2 An overview of methods deployed in microbial mediated bioremediation processes

techniques require removing contaminated soils or groundwater and treating them in a bioreactor or via surface treatment. While both in situ and ex situ remediation methods depend essentially on microbial metabolism, the in situ bioremediation methods are preferred to those of ex situ for ecological restoration of contaminated soil and groundwater environments (Jorgensen 2007). The appropriateness of a particular bioremediation technology is influenced by several factors, such as conditions of site, native population of microorganism and the type, quantity and toxicity of xenobiotic pollutant present.

#### 8.4.1 In Situ Bioremediation Approach

This bioremediation approach does not require excavation or removal of contaminated soils or water in order to accomplish the process of remediation. In situ biodegradation generally involves supplying oxygen, nutrients and source of electron acceptors by circulating aqueous solutions through polluted soils to stimulate naturally occurring microorganisms' activity to degrade toxic organic pollutants. Microbial inoculum and cell-free enzymes have been used for in situ bioremediation. This approach has been mostly used for degradation of contaminants in saturated soils and groundwater (Vidali 2001; Evans and Furlong 2003). It is a superior method of cleaning contaminated environments since it is cheaper, uses native harmless microbial organisms to degrade the pollutants, is a safer method of degrading harmful compounds and is also possible to treat a large volume of contaminated soil or water with less release of toxic contaminants. Three different types of in situ microbial remediation methods are (i) bioattenuation, which is the natural degradation process; (ii) biostimulation, where premeditated stimulation of degradation of xenobiotic compounds is achieved by addition of water, nutrient, electron donors or acceptors; and (iii) bioaugmentation, where the microbial

inoculum with demonstrated capabilities of degrading or transforming the chemical pollutants is added to the polluted environment (Madsen 1991).

In situ bioremediation can also be grouped as intrinsic bioremediation and engineered bioremediation. Intrinsic bioremediation approach deals with stimulation of indigenous or naturally occurring microbial activity by feeding them with stimulants (nutrients and oxygen), whereas engineered bioremediation involves the introduction of certain microorganisms to the contaminated site. Engineered bioremediation accelerates the degradation process by enhancing the physicochemical conditions to encourage the growth of microorganisms. Nutrients (nitrogen and phosphorus), oxygen and electron acceptors promote microbial growth and speed up remediation process (Evans and Furlong 2003). In situ bioremediation approach has been mostly used for the degradation of chlorinated hydrocarbons (Chiu et al. 2004; Ruppe et al. 2004), nitriles (Wang et al. 2004), nitrobenzenes (Coates et al. 2001; Rooney-Varga et al. 1999), anilines (Li et al. 2007), plasticizers (Cartwright et al. 2000) and other related compounds in soil and groundwater.

#### 8.4.2 Ex Situ Bioremediation

Ex situ microbial bioremediation techniques require excavation of contaminated soil or pumping of polluted groundwater to facilitate process of degradation. This technique has more disadvantages than advantages. With the requirement of excavation of the contaminated samples for remediation treatment, the cost involved in ex situ bioremediation method can be high. In addition, the rate and consistency of the ex situ biodegradation process outcome can be different compared to in situ remediation methods. Depending on the state of the pollutant to be removed, ex situ bioremediation methods are classified as solid phase and slurry phase systems. The solid phase system involves treatments of various solids such as organic wastes, agricultural wastes, domestic wastes, industrial wastes, sewage sludge and municipal solid wastes. Solid phase treatment processes include land farming, composting and soil biopile techniques.

Land farming is a simple remediation method in which polluted soil is excavated and spread over a prepared bed and periodically tilled to stimulate indigenous biodegrading microorganisms for complete degradation or transformation of contaminants. It may be useful if only the upper 0.5 m of the soil profile is polluted. This bioremediation approach involves low monitoring and maintenance costs and, thus, has received much attention as a clean disposal option (US-EPA 2003). Composting is another surface treatment that has been successfully used to degrade, remove or transform toxic compounds. In composting approach, contaminated soil is combined with nonhazardous organic amendments such as manure or agricultural wastes to enhance the development of a rich microbial population and thus speed up the compost-mediated biodegradation process (Cunningham and Philip 2000). Biopiles are combination of land farming and composting bioremediation approaches. It is refined version of land farming aimed to minimize physical losses of the contaminants occurring due to leaching and volatilization. This method is mostly used for surface decontamination of petroleum hydrocarbon polluted sites. Biopiles provide a favourable environment for indigenous aerobic and anaerobic microflora involved in biodegradation (US-EPA 2003). Slurry phase is a relatively more rapid bioremediation process compared to the other treatment processes. This process is carried out in bioreactor/fermentor where contaminated soil is combined with water and indigenous microorganisms along with the addition of suitable nutrients and oxygen to control the optimum environment for the process of bioremediation. When the bioremediation treatment is completed, the liquid portion from solid is removed and disposed of properly (Cunningham and Philip 2000). Due to more manageable and predictive nature, rate and extent of biodegradation are greater in a bioreactor system than in situ or in solid phase systems.

### 8.5 Biochemical Pathways Involved in Bioremediation

Bacteria have the ability to readily incorporate the simple organic substances into their cells and metabolize them if favourable nutritional and environmental conditions are present. Some complex organic compounds which have longer molecular structures are degraded slowly, whereas some other compounds, also termed as recalcitrant or refractory compounds, are so complex that they cannot be degraded easily. There is a need for special techniques or integration of physico-chemical and biological techniques for effective remediation of these contaminants. Depending on the oxidation state of the pollutant, compounds can be either electron donors or electron acceptors in biodegradation process. The key players in bioremediation are the microbes as they generate the enzymes that catalyse the degradation reactions. Microbes use organic substances as a source of carbon and energy for their multiplication and maintenance and carry out various transformation reactions. The biodegradation pathways have been classified into three main categories on the basis of mechanisms used by microbes to gain energy, i.e. (1) aerobic, (2) anaerobic and (3) fermentation.

# 8.5.1 Aerobic Biodegradation

During aerobic degradation, organic compounds act as electron donor, and oxygen acts as electron acceptor. These oxygenation reactions also activate the substrate, and oxygen plays a crucial role in the aerobic degradation of aromatic compounds. The reaction has been described by Shimao (2001).

Xenobiotic compound  $+ O_2 \rightarrow CO_2 + H_2O + biomass + residue(s)$ 

Many aromatic and xenobiotic compounds like petroleum hydrocarbons, benzene, toluene, phenol, chlorinated aliphatics, chloroanilines, pentachlorophenol, dichlorobenzenes, naphthalene, fluorine, pyrene, etc. are rapidly and potentially degraded by microbes using aerobic degradation process. By producing enzymes, many bacterial consortia degrade toxic compounds to nontoxic forms by using them as substrates. The process of mineralization, i.e. the conversion of biodegradable compounds into simplest material, e.g.  $CO_2$  and nitrogen compounds, is continued till all the biodegradable material is consumed by microbes and converted into  $CO_2$ (Kyrikou and Briassoulis 2007) or biomass. Alkanes having straight structure with long carbon chains are easily degraded by aerobic pathways. In the aerobic degradation of alkanes, the terminal methyl group is oxidized into carboxylic acid, and then complete mineralization occurs through  $\beta$ -oxidation (Vander et al. 1992; Zhang and Bennett 2005). Molecular oxygen causes the oxygenation of aromatic compounds during aerobic degradation, and intermediates which are produced after this reaction directly enter into central metabolic pathways, e.g. Krebs cycle and  $\beta$ -oxidation (Wilson and Bouwer 1997; Sims and Overcash 1983). Subsequent fission of benzene ring occurs when microbes use oxygen to hydroxylate it during aerobic respiration. Enzymes, i.e. mono- and dioxygenase, which are involved in these processes incorporate one or two atoms of oxygen, respectively, into the ring. Dioxygenases are mainly involved in cleavages of the aromatic double bond located between two hydroxylated carbon atoms (ortho-pathway), adjacent to a hydroxylated carbon atom (meta-pathway) and indole ring (Hayaishi and Nozaki 1969). Aerobic biodegradation of benzene produces two intermediates, e.g. catechol, protocatechuate and gentisic acid, which are broken by simpler mechanisms as of simple acids and aldehydes and in turn are used as energy for cell synthesis and maintenance (Alexander 1977). Eaton (2001) and Hara et al. (2007) have reported the important role played by Rhodococcus RHA1 and Arthrobacter keyseri 12B bacteria in the degradation of 3,4-dihydroxybenzoate.

#### 8.5.2 Anaerobic Biodegradation

In the absence of oxygen, anaerobic biodegradation takes place in which methane gas is produced instead of  $CO_2$  (Swift 1998; Grima et al. 2002; Kyrikou and Briassoulis 2007). The anaerobic biodegradation reaction has been explained by Jayasekara et al. (2005) as follows:

Xenobiotic compound  $\rightarrow CO_2 + CH_4 + H_2O + biomass + residue(s)$ 

Some of the pollutants are highly recalcitrant due to increase in halogenations so that they are not mineralized by aerobic degradation process. The electrophilicity of the molecule is increased due to the substitution of halogen, nitro and sulfo groups on the aromatic ring with which they resist the attack by oxygenases during aerobic degradation. Some of the examples are polychlorinated biphenyls (PCBs), chlorinated dioxins and some pesticides like DDT. Here reductive attacks by anaerobic bacteria have a high value and are of crucial importance to overcome the high persistence of halogenated xenobiotics from the biosphere. Reductive halogenations is performed by anaerobic bacteria either through gratuitous reaction or a new type of anaerobic respiration in which the degree of chlorination is reduced making the product more accessible for mineralization by aerobic bacteria (Van Agteren et al. 1998; Fritsche and Hofrichter 2008). During anaerobic biodegradation of PCBs (polychlorinated biphenyls), reductive dehalogenation is the first step where organic substrates act as electron donors. Electrons are accepted by PCBs which allow the anaerobic bacteria to transfer electrons to these compounds. Various xenobiotics which are present in different anaerobic habitats like water-laden soils, reticuloruminal contents, inter alia sediments, gastrointestinal contents, sludge digesters, feed-lot wastes, groundwater and landfill sites are degraded by anaerobic bacteria. Various anaerobic bacteria, e.g. Bordetella, Acidovorax, Sphingomonas, Pseudomonas, Veillonella alcalescens, Variovorax, Geobacter metallireducens, Desulfuromonas michiganensis, Desulfovibrio spp. and Desulfitobacterium halogenans, D. oleovorans, D. acetonicum, etc., have the capability to carry out anaerobic biodegradation. Anaerobic bacteria act on substituted and complex aromatic compounds which serve as electron acceptors accompanying with modifications of ring substituents (Gibson and Harwood 2002). The sulphatereducing bacteria (SRB) which are obligate anaerobes represent a large group of anaerobic organisms which utilize sulphate as terminal electron acceptor during respiration and release hydrogen sulphide gas (Boetius et al. 2000; Sahrani et al. 2008), thus playing a crucial role in degradation of crude oil (Barton and Hamilton 2007).

### 8.5.3 Fermentation

There is one more mechanism through which microbes generate energy and carry out the biodegradation process. Some fermenting bacteria utilize the complex organic compounds as electron donors as well as electron acceptors and produce organic acids, alcohols,  $H_2$  and  $CO_2$ , thus contributing to cleanliness of the environment.

# 8.6 Bioremediation Using Microbial Enzymes: A Cutting Edge Approach

Bioremediation is mainly dependent on the enzymes of degrading enzymes to carry out this process. In the new era of technology, use of enzymes as green, sustainable alternative for remediation of soil and groundwater is an emerging approach. Bioremediation using enzymes is a hybrid of biological and chemical treatment technology. Isolation and purification of these enzymes have become feasible due to the recent advancement in biology. These harvested enzymes are then injected into the contaminated water or soil. Enzyme remediation has been successfully evaluated overseas for clean-up of sites contaminated with wide range of organic contaminants, e.g. petroleum, PCBs and nitro phenols. Eliminating the long-term environmental liability, this enzymatic bioremediation does not generate any waste or need any disposal. There are a large number of enzymes produced by bacteria and fungi which have been reported to play an important role in the biodegradation of toxic organic pollutants. A cost-effective and nature-friendly biotechnology, bioremediation powered by microbial enzymes, is an advanced bioprocess technology.

Enzymes involved in bioremediation have been classified into various groups:

(i) Oxidoreductases: Oxidoreductases produced by many bacteria and fungi (Gianfreda et al. 1999) are the class of microbial enzymes which carry out oxidation-reduction reactions and detoxify various toxic organic compounds. Contaminants are converted into harmless compounds through these oxidative coupling reactions. Various microbial oxidoreductase enzymes have been exploited which cause the decoloration and degradation of azo dyes (Vidali 2001; Husain 2006) and detoxify various toxic xenobiotics such as phenolic or anilinic compounds through polymerization, copolymerization with other substrates or binding to humic substances (Park et al. 2006). Various phenolic compounds which are produced from decomposition of lignin in a soil environment are biodegraded by humification in which oxidoreductases play an important role. Many bacteria through their enzymes reduce the radioactive metals from an oxidized soluble form to a reduced insoluble form, thus minimizing their ill-effect (Leung 2004). Chlorinated phenolic compounds produced upon the partial degradation of lignin during pulp bleaching process are among the most ample recalcitrant wastes generated in the effluents of paper and pulp industry. Many fungal species produce extracellular oxidoreductases, e.g. laccase, manganese peroxidase and lignin.

Group of microbial oxidoreductases includes oxygenases which has the potential of metabolizing organic compounds by increasing their reactivity or water solubility or bringing about cleavage of the aromatic ring. With a broad range of substrates, these oxygenases are active against a wide range of compounds, including the chlorinated aliphatics and various halogenated organic compounds which comprise the largest groups of environmental pollutants including herbicides, insecticides, fungicides, hydraulic and heat transfer fluids, plasticizers and intermediates for chemical synthesis (Fetzner and Lingens 1994). These oxygenases are further divided into monooxygenases and dioxygenases.

Monooxygenases, which cause oxidation by incorporating one atom of oxygen molecule into their substrate, actively participate in dehalogenation, ammonification, desulfurization, denitrification and hydroxylation of various aromatic and aliphatic compounds (Arora et al. 2010). Among monooxygenases, methane monooxygenase is one of the best studied and well characterized which carry out biodegradation of hydrocarbon such as substituted methanes, alkanes, cycloalkanes, alkenes, haloalkenes, ethers, aromatic and heterocyclic hydrocarbons, etc. (Fox et al. 1990; Grosse et al. 1999). Dioxygenases catalyse enantiospecifically the oxygenation of wide range of aromatic compounds and therefore serve as part of nature's strategy for degrading them in the environment.

- (ii) Laccases: A family of multicopper oxidases, laccases are produced by fungi, insects and bacteria. With concomitant reduction of molecular oxygen to water, these laccases catalyse the oxidation of a wide range of reduced phenolic and aromatic substrates (Gianfreda et al. 1999; Mai et al. 2000). Produced intra- and extracellularly, laccases are capable of catalysing the oxidation of ortho- and para-diphenols, aminophenols, polyphenols, polyamines, lignins and aryl diamines as well as some inorganic ions (Rodríguez Couto and Toca Herrera 2006). They also cause depolymerization of lignin, thus representing themselves as an ubiquitous group of enzymes which promise a great potential for biotechnological and bioremediation applications.
- (iii) **Peroxidases:** Peroxidase is another group of ubiquitous enzymes which are produced by fungi and prokaryotes. Peroxidase removes chlorinated phenolic compounds from the contaminated environments (Rubilar et al. 2008). Peroxidases also catalyse the oxidation of lignin and other phenolic compounds at the expense of hydrogen peroxide  $(H_2O_2)$ . Being haem or non-haem proteins, they play a crucial role in auxin metabolism, lignin and suberin formation, cross-linking of cell wall components, defence against pathogens or cell elongation in plants (Hiner et al. 2002; Koua et al. 2009). Depending upon the source and activity, peroxidases are divided into lignin peroxidase (LiP), manganese-dependent peroxidase (MnP) and versatile peroxidase (VP). All these have been widely studied due to their high potentiality to degrade toxic substances in nature.
- (iv) Hydrolytic enzymes: Due to the extensive use of industrial chemicals and petroleum hydrocarbons, they have become a serious threat as hazardous contaminants in numerous aquatic and terrestrial ecosystems. Hydrolytic enzymes which disrupt major chemical bonds in toxic compounds offer an effective mechanism for the biodegradation of oil spill and organophosphate and carbamate insecticides. They play an important role in biodegradation of organochlorine insecticides such as DDT and heptachlor which are stable in well-aerated soil but readily degrade in anaerobic environments (Vasileva-Tonkova and Galabova 2003). The hemicellulase, cellulase and glycosidase have high potential usage due to their application in biomass degradation (Schmidt 2006).
- (v) Lipases: Lipases are closely associated with the biodegradation of organic pollutants present in soil. They act on a variety of lipids and degrade them which are produced by microorganisms, animals and plants. Extracted from bacteria, actinomycetes and animal cell, lipases have been reported in the drastic reduction of total hydrocarbons from contaminated soil. Microbial lipases are more versatile because of their potent role in the bioremediation of oil spills (Margesin et al. 1999; Riffaldi et al. 2006), industrial wastes, triglycerides (Sharma et al. 2011) and hydrocarbon contaminants (Margesin et al. 1999; Riffaldi et al. 2006). By catalysing various reactions such as hydrolysis, interesterification, esterification, alcoholysis and aminolysis (Prasad and Manjunath 2011), they have been found to be most useful

parameters for testing hydrocarbon degradation in the soil. Although they have diagnostic usage in bioremediation, its production cost has restricted its industrial use (Sharma et al. 2011; Joseph et al. 2006).

- (vi) *Cellulases:* Most promising with potential of converting waste cellulosic material into foods to meet burgeoning population, microbial cellulases have been the subject of intense research (Bennet et al. 2002). These microbial cellulases can be cell bound, cell envelope associated and some extracellular cellulases. In a mixture of several enzymes, hydrolytic activity of cellulase enzyme is comprised of (a) endoglucanase which creates free chain ends by attacking cellulose fiber, (b) exoglucanase or cellobiohydrolase which degrades the cellulose molecule further by removing cellobiose units from the free chain ends and (c)  $\beta$ -glucosidase which hydrolyses cellobiose to glucose units. Cellulose degrades cellulose into reducing sugars which are further fermented by yeasts or bacteria into alcohol (Sun and Cheng 2002). Cellulases have also been employed for the release of ink in paper and pulp industry during recycling.
- (vii) *Proteases:* Much proteinaceous substance gets their entry in the atmosphere due to shedding and moulting of appendages, as by-products of some industries like poultry, fishery, leather and also after death of animals. These proteinaceous substances are hydrolysed by the proteases which have been divided into two main groups, i.e. endopeptidase and exopeptidase depending upon their locus of activity on the substrate. Proteases have a wide range of applications in food, pharmaceutical, leather and detergent industry, thus playing direct and indirect role in bioremediation (Singh 2003; Beena and Geevarghese 2010).

# 8.7 Conclusion

The implementation of microbial bioremediation technology to decontaminate polluted sites is still a developing and evolving scientific approach. The mechanisms driving microbial metabolic activity and the degradation pathways of specific toxic xenobiotic pollutants need to be further elucidated before successful and better controlled site-specific remediation treatments are developed. With recent advances in molecular biotechnology, genetically modified organisms could be developed having higher capability and improved biodegradative performance. Apart from this, application of immobilized cells and enzymes represents new approaches that may help in the treatment of toxic xenobiotic contaminated site. A multidisciplinary scientific approach involving scientists and engineers is required to provide new strategies for refinement of available bioremediation methods. With the cooperation of soil microbiologists, chemists and engineers, it should be possible to decrease toxic pollutant concentrations at contaminated sites safely, efficiently and economically.

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Remediation of Oily Sludge- and Oil-Contaminated Soil from Petroleum Industry: Recent Developments and Future Prospects

Subhasis Das, Nanthakumar Kuppanan, Veeranna A. Channashettar, and Banwari Lal

#### Abstract

Oily sludge is a significant solid waste generated from petroleum industry across the globe. These wastes are stored in designated pits near to the oil production facilities for longer period of time creating further contamination of bottom soil layers in the pits. These solid wastes are mainly recalcitrant residues characterized as a stable oil/water emulsion of water, soils, petroleum hydrocarbons, and metals. These wastes are considered as hazardous waste by many countries in the world which need an effective remediation technology for their treatment and disposal. This chapter focuses on the origin of oil exploration process and source of oily sludge, their characteristics and toxicity, recovery, and disposal of oily sludge. The use of bioremediation technology for disposal of oily sludge with case studies based on Kuwait is described.

#### **Keywords**

Remediation · PHC · Petroleum industry · Kuwait

# 9.1 Introduction

The long-term exploration, production, transportation, storage, refinery processes, and use of different petroleum derivatives create widespread contamination of soil generated by oily sludge in and around the facilities of petroleum industry. It contains higher concentrations of petroleum hydrocarbons and recalcitrant residues and classified as high priority pollutants. Many countries recognized the oily sludge

e-mail: subhasis.das@teri.res.in

S. Das  $(\boxtimes) \cdot N$ . Kuppanan  $\cdot V$ . A. Channashettar  $\cdot B$ . Lal

Environmental and Industrial Biotechnology Division, The Energy and Resources Institute, New Delhi, India

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as a hazardous waste and devised their own policies for disposal or treatment of these hazardous compounds. A successful remediation technology could be a global challenge due to its hazardous nature and sharp increase in quantity. During the last few decades, oily sludge was treated by several physical, chemical, and biological methods including thermal incineration, solidification, solvent extraction, ultrasonic treatment, photocatalysis, pyrolysis, and bioremediation. This chapter attempts to describe the sources of oily sludge and associated contaminated soil, characteristics of the sludge, best available remediation technologies, advantages and limitation of the technology, and global prospects and recent developments.

# 9.2 Origin, Characteristic, and Toxicity of Petroleum Wastes

# 9.2.1 Origin of Oil and Exploration Process

Biodegraded oils dominate the world petroleum reserves (Roadifer 1987) that are scattered across the globe predominantly in Middle East countries, foreland basins in America, Atlantic margin basins of Africa, South America, and Canada, and the Gulf of Mexico (Head et al. 2003). At temperatures up to about 80 °C, petroleum in subsurface reservoirs could be degraded biologically by microorganisms over the geological time scale. As a result, denser heavy oils are produced by breakdown of the hydrocarbons in subsurface reservoirs. Evidence of active microbial communities in petroleum reservoirs since the 1930s (Bastin 1926; Krejci-Graf 1932; Head et al. 2003) indicates that microorganisms inhabiting deep subsurface sediments receive nutrients and oxidants for their growth and proliferation from plate tectonic process. The petroleum industries produce and transport billion tons of heavy crude oil, natural gas, and their derivatives annually for the production of large quantities of refined product including liquid petroleum gases, kerosene, diesel, petrol, aviation fuel, gasoline, and lubricants. Few products are further used in other petroleum industry as feedstock for downstream products (Olajire 2014).

#### 9.2.2 Sources of Oily Sludge and Contaminated Soil

Upstream operations including extraction, transportation, and storage of the heavy crude oil generate large amount of oily sludge. These oily wastes could be categorized either into waste oil or sludge based on water/oil in oil matrix (Hu et al. 2013). Complex mixture of hydrocarbons, water, metals, and suspended fine solids is the typical physical form of petroleum sludge (Elektorowicz and Habibi 2005). In upstream operations, slop oil at oil wells, crude oil storage tank bottom sediments, drilling mud residues, aboveground pipeline leakages, oil waste dumping in effluent and sludge pit, and oil spills are major sources of oily sludge (Olajire 2014). While in the downstream operation, slop oil emulsion solid, heat exchange bundle cleaning sludge, residues from oil/water separator of different types,

sediments deposited at the bottom of transporting vehicles and storage tanks, sludge from flocculation-flotation unit, dissolved air flotation system, induced air flotation unit of refining system, and excess activated sludge from biological wastewater treatment plant are the major sources of oily sludge (Hu et al. 2013). The US-EPA reported that in the USA, each refinery generates 30,000 tons of oily sludge annually, and in China, the estimated production of oily sludge is three million tons annually (Hu et al. 2013). In India average annual volume of oily sludge generated by oil refineries is approximately 28,000 tons (Bhattacharyya and Shekdar 2003), whereas, in 2011–2012, oily sludge generated from Indian refineries are 47,000 tons (Kumar and Raj Mohan 2013). These oil-sediment-water mixture and neighboring contaminated soils need to be treated.

### 9.2.3 Oily Sludge/Soil Characteristics and Toxicity

The pH range of oily sludge is 6.5–7.5 depending upon its chemical compositions and sources. The other physical properties such as density, viscosity, and heat value could also change with its chemical composition. The PHC and other organic compounds found in crude oil and oily sludge are classified as SARA components composed of saturates of aliphatic and aromatic fractions, resins, and asphaltene. Aliphatic and aromatic fractions are predominant in any of the oily sludge contributing 75–85% followed by resins (more than 8–22%) and asphaltenes (8–10%). Major aliphatic and aromatic compounds are alkene, cycloalkene, benzene, toluene, xylene, naphthalene, phenol, and several polycyclic aromatic hydrocarbons (PAHs) including anthracene, benzofluorene, chrysene, phenanthrene, and pyrenes. The resins are mainly composed of nitrogen (3%), sulfur (0.3–10%), and oxygen (4.8%) contents. Some polar naphthenic acids, mercaptans, thiophenes, and pyridines are the major resin compounds. Apart from the above constituents, oily sludge also contains a variety of heavy metals such as zinc (Zn), lead (Pb), copper (Cu), nickel (Ni), and chromium (Cr).

The toxic substances present in the sludge pose serious threats to the environment. These substances directly enter into the soil and also contaminate the groundwater. Nutrient deficiency, improper seed germination, restricted growth, or demises of plant are the major drawbacks due to the presence of toxic substances. Reduced water retention is also a problem due to highly viscous nature of these substances which could clog the soil pores and covers the soil surfaces. Sometimes secondary metabolites after degradation of oily sludge could also be toxic and recalcitrant.

# 9.3 Overview of Sludge Remediation

Oily sludge could be remediated by three-tiered oily sludge waste management strategy (Hu et al. 2013) where technologies are used to reduce the quantity of oily sludge generation from operation in petroleum industry, recovery, and reuse of

valuable fuels extracted from oily sludge. If both the technology failed then considered option is to dispose of not treatable fraction to the landfill sites (Pinheiro and Holanda 2009; da Silva et al. 2012; Hu et al. 2013).

# 9.3.1 Oil Recovery Technologies

Recycling and reuse of oily sludge is considered as a most desirable environmental and feasible option which has received increasing interest and enables petroleum industries to reuse the oil for recovery and reformulation of energy and nutrient recovery. Furthermore, recycling can reduce waste volume by 70% of hazardous waste and destruction of the pathogens and toxic organic compounds. As per API (API Environmental Guidance Document 1989), the hydrocarbon recovery should be maximizing after possible optimization. In the USA, more than 80% petroleum wastes are recycled in the refinery where the wastes are generated. Remaining 20% are managing by disposal methods as per local guidelines (Hu et al. 2013).

A variety of methods have been developed and reported since the last few decades including (a) solvent extraction, (b) centrifugation, (c) surfactant enhanced oil recovery, (d) freeze/thaw treatment, (e) sludge pyrolysis, (f) microwave irradiation, (g) electrokinetic methods, and (h) ultrasonic irradiation. These are the major technologies used worldwide for oil recovery from oily sludge. Apart from oil recovery, widely available disposal technologies are incineration, stabilization/solid-ification, oxidation, and bioremediation. Oil-contaminated soil can be treated through land farming using bio-augmentation, bio-stimulation approach, and bio-pile/composting (Fig. 9.1).

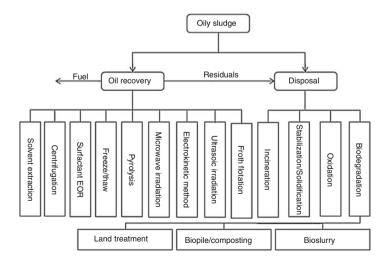


Fig. 9.1 Outline of oily sludge- and oil-contaminated soil treatment technologies

### 9.3.2 Oily Sludge-/Oil-Contaminated Soil Disposal Technologies

Apart from oil recovery, several technologies are used for disposal of oily sludge including thermal incineration, thermal desorption, stabilization/solidification, oxidation, and biodegradation. The materials recovered after recovery of oil could be directly disposed of to the landfills or apply other technologies if require any further treatment.

#### 9.3.2.1 Incineration Technology

This technology offers a complete combustion of oily sludge in the presence of excess air and auxiliary fuels in a rotary kiln or fluidized bed incinerator. The temperature which ranges from 980 to 1200 °C is used in rotary kiln for 30 min to treat the sludge. The combustion temperature ranges from 730 to 760 °C in the case of fluidized bed incinerator (Scala and Chirone 2004; Hu et al. 2013). Multiple factors are responsible for effective performance of incineration technology including combustion conditions, residence time, temperature, feedstock quality, presence of auxiliary fuels, and waste feed rates (Hu et al. 2013). The advantage of this technology is generation of energy in incinerator which could be used for running steam turbine and significant reduction of the volume of oily sludge after treatment. The major disadvantage is the requirement of pretreatment which is very costly to remove moisture for improvement of combustion efficiency. Apart from that, ash residue scrubber water and scrubber sludge generated during incineration process are very hazardous and need further treatment.

#### 9.3.2.2 Stabilization/Solidification Technology

This technology is considered quick and inexpensive compared to other technologies. Stabilization/solidification enables immobilization of contaminants and transforms to less soluble and less toxic stable form which has no threat to the environment. Inorganic wastes are easily disposed of compared to organic waste by this technology (Faschan et al. 1991; Vipulanandan 1995; Islam 2015). The use of this disposal method for organic waste by rice husk ash as a replacement of cement has been reported (Zain et al. 2010). Li et al. (2015) investigated an innovative approach where oily sludge was treated by modified thermal distillation method where residual asphalt-like emulsion was used as a stabilization/solidification material.

#### 9.3.2.3 Oxidation Treatment Technology

Oxidation technologies are used for degradation of various organic compounds through chemical and other enhanced oxidation process (Islam 2015). The oxidation treatment can be carried out by several oxidants such as Fenton's reagent, hypochlorite, ozone, hydrogen peroxide, permanganate, and persulfate. These compounds generate hydroxyl radicles which react with organic and inorganic compounds and enhance the oxidation process (Ferrarese et al. 2008; Hu et al. 2013). Several groups have proven that combination with other technologies such as sonolysis and ultrasonic irradiation could enhance the remediation process (Zhang et al. 2012; Adewuyi

2001; Dewulf and Langenhove 2001; Peter 2001; Lim et al. 2007). Other enhanced oxidation techniques are available for remediation of oil contamination such as supercritical water oxidation (SCWO), wet air oxidation (WAO), and photocatalytic oxidation (PO) (Hu et al. 2013).

#### 9.3.2.4 Biodegradation and Bioremediation

Biodegradation is commonly a disintegration process of any compound carried out by bacteria, fungi, higher plants, or any other biological means. Bioremediation is a waste management technology that involves the use of microorganisms to remove or neutralize pollutants from a contaminated site. This technology is globally accepted for restoration of oil-polluted environments. Other contaminants like pesticides, heavy metals, etc. could also be mineralized through bioremediation. Several bioremediation technologies are available such as phytoremediation, bioventing, composting. bioleaching. land farming. bioreactor. bio-augmentation. bio-stimulation, and rhizo-filtration. Widely used bioremediation approaches are land farming, bio-pile/composting, and bio-slurry treatment (Hu et al. 2013). Oil industries are continuously generating sludge during operations, and soils beneath the sludge are also contaminated. Many of the constituents from sludge and soil are carcinogenic and immune-toxic. Microorganisms used for bioremediation are naturally occurring, indigenous, or cultivated in the laboratory after selection of the best performer. In situ and ex situ approaches of bioremediation with application of these microorganisms are very common for reclamation of contaminated sites with reduction of the threat of groundwater contamination and enhance the rate of biodegradation (Mishra et al. 2001). However, oily sludge or contaminated soil contains mixture of alkanes, aromatic, NSO (nitrogen-, sulfur-, and oxygen-containing) compounds, and asphaltene fractions. Single bacterial species has only limited capacity to degrade all the fractions of hydrocarbons present in sludge and contaminated soil (Bartha 1986; Bossert and Bartha 1984; Dibble and Bartha 1979; Loser et al. 1998). Indigenous microorganisms in the contaminated soil can degrade a wide range of target constituents of the oily sludge and contaminated soil, but their population and the efficiency are affected when any toxic contaminant is present at higher concentration. Several research had been carried out across the globe and identified single microorganisms and consortia which could degrade oily sludge and oil component fractions (Lal and Khanna 1996a, b; Mishra et al. 2001; Bhattacharya et al. 2003; Sarma et al. 2004, 2010) and tested them at laboratory scale to field scale under tropical to temperate climatic regions (Mandal et al. 2012a, b, c). Few engineered bacteria have also been used for bioremediation of oily sludge. These bacteria showed higher degradative potential in laboratory scale. However, ecological and environmental concerns and regulatory constrains are the major obstacle for testing under field conditions (Das and Chandran 2011). These microbial resources and technologies are used by different companies to treat oil spills and oily sludge across the globe. Nowadays some multinational companies are doing these bioremediation jobs especially in the Middle East countries where huge amount of oily sludge has been generated since more than 50 years during the operation of oil exploration and processing. Now, all local environmental protection authorities are very strict in implementing environmental regulations as per international environmental standards for environmental pollution monitoring. Hence, there is a need for reclamation of oily sludge-contaminated land through bioremediation without any further contamination of environment. Most of the companies are now using their own customized technology based on bioremediation, and they are mainly followed land farming, bio-pile/composting, bio-slurry treatment, and bio-pyramid technologies.

# 9.4 Recent Developments and Future Prospects

Oil has been used as energy source since many years. The oil and hydrocarbon sector at a global scale has been undergoing radical changes leading to increased industrial activity in the area of hydrocarbon processing. As a result, several oil spills have occurred around the world (Table 9.1). In 2001, the global environmental market, including hazardous waste management and disposal, approaches to brownfield redevelopment, and site remediation, was reported to be of the order of \$1 trillion (Masons Water Yearbook 2000–2001). After literature survey, Singh et al. (2009) reported the current status of the international market for remediation sector is estimated to be in the range of USD 30-35 billion. Application of bio-based remediation technologies is rapidly booming according to pre-projection range of USD 1.5 billion per annum (Singh et al. 2009). The soil remediation sector already had a stable market in several developed countries like the USA, Canada, Western European countries, Japan, and Australia. The global remediation market has dynamically exhibited major changes in the Middle East countries since last few years after Gulf War events of 1991 and 2003. The market was growing and refining by identification of new contaminated sites after repeated attack during the Gulf War. Furthermore, public environmental authorities in Middle East countries are more focused on environmental regulations and management to maintain international environmental standards. Several countries prepared their own strategies and action plans to handle these environmental hazards. Kuwait, Lebanon, and many other Middle East countries formed an oil spill working group with the help of environmental activists and environmental research organizations to follow this issue and have undergone an extensive assessment of contamination for affected areas which would contribute to a future strong market in remediation sector.

# 9.4.1 Kuwait Environmental Remediation Program: A Remediation Case Study

The state of Kuwait is located in the northwest corner of the Arabian Gulf and covers an area of 17,818 km<sup>2</sup>, where 114 km<sup>2</sup> of its desert environment were damaged by Iraqi troops through 789 detonated oil wells. Crude oil gushed from the damaged oil

Spill/vessel	Location	Dates	Approximate quantity of oil spill (tonnes)
Fox Creek pipeline leak	Alberta, Canada	6th October 2016	213
Colonial Pipeline leak	Alabama, USA	12th September 2016	1092
North Battleford pipeline spill	North Battleford, Canada	21st July 2016	210
ConocoPhillips Canada pipeline spill	Alberta, Canada	9th June 2016	323
Shell Gulf of Mexico oil spill, Brutus offshore platform	Gulf of Mexico, USA	12th May 2016	316
Refugio oil spill	California, USA	19th May 2015	330
MV Marathassa	British Columbia, Canada	13th April 2015	2.3
Yellowstone River oil spill	Montana, USA	17th January 2015	160
Black Sea oil spill	Black Sea, Russia	24th December 2014	Unknown
Trans-Israel Pipeline	Eilat, Israel	6th December 2014	4300
Mid-Valley Pipeline	Louisiana, USA	13th October 2014	546
Lake Michigan oil spill	Indiana, USA	24th March 2014	5
MV Miss Susan/MV Summer Wind	Texas, USA	22nd March 2014	546
North Dakota pipeline spill	North Dakota, USA	21st March 2014	110
North Dakota train collision	North Dakota, USA	30th December 2013	1300
North Dakota pipeline spill	North Dakota, USA	25th September 2013	2810
Lac-Mégantic derailment	Quebec, Canada	6th July, 2013	4830
Mayflower	Mayflower, USA	30th March 2013	950

**Table 9.1** Recent major oil spills worldwide (2010–2016)

(continued)

Spill/vessel	Location	Dates	Approximate quantity of oil spill (tonnes)
Arthur Kill storage tank spill (Hurricane Sandy)	New Jersey, USA	29th October 2012	1130
Guarapiche River	Maturin, Venezuela	4th February 2012	41,000
Nigeria	Bonga Field, Nigeria	21st December 2011	5500
Little Buffalo oil spill	Alberta, Canada	29th April 2011	3800
Kalamazoo River oil spill	Michigan, USA	26th July 2010	3250
Xingang Port oil spill	Yellow Sea, China	16th July 2010	90,000
ExxonMobil	Niger Delta, Nigeria	1st May 2010	95,500
Deepwater Horizon	Gulf of Mexico, USA	20th April 2010	6,27,000

Table 9.1	(continued)
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wells from ten oil fields forming lakes and contaminated further over 40 km<sup>2</sup> of the land. As a consequence, desert ecosystem was damaged and altered the soil properties. It caused massive death of native plants and animals and penetration of oils to the subsurface layer threatening groundwater contamination (Al-Gharabally and Al-Barood 2015). Apart from Iraqi invasion, several pits including sludge, effluent, and gatch pits are contaminated through recovered oil from any spills, produced water, and construction purposes, respectively, during oil exploration since more than 50 years. In 1991, the United Nation through its security council formed the United Nation Compensation Commission (UNCC) to process claims and pay compensations for loss and damage suffered as a direct result of unlawful Iraqi invasion and occupation of Kuwait during 1990–1991. Very recently, Kuwait National Focal Point and Kuwait Oil Company collaborated a joint project to remediate approximately 26 million cubic meter of heavily contaminated soil through funds provided by UNCC. This project initiated on 2007 as a three-phase project and will continue till 2035–2040 to complete remediation and rehabilitation process. From 2007 to 2009, risk assessment study has been carried out. From 2009 onward remediation and rehabilitation works were initiated on a trial basis at highrisk priority sites. Three bioremediation companies are executing the phase one remediation and rehabilitation across the globe. The Energy and Resources Institute (TERI) from India is one among them whose capability was evaluated by agencies. Subsequently, phase two and phase three of the remediation project will start very

Name	Country
Advanced Future Group International (joint venture With Ivey International INC.)	Canada and Kuwait
Aecom Middle East LTD	Dubai
Biogenesis Enterprises INC	USA
Blackwell Masterton International LTD.	United Kingdom
Clean Harbors Environmental Services, INC.	USA
Coffey International Development (MIDDLE EAST) PTY LTD.	Saudi Arabia
DCI Environmental, INC.	USA
Dekonta, A.S.	Czech Republic
Deme Environmental Contractors NV (DEC NV)	Belgium
Ecophile Company LTD	Korea
Environmental Earth Sciences International	Australia
Environmental Solutions For Petroleum Services – FREE ZONE S.A.E	Egypt
Fomento De ConstruccionesyContratas, S.A (FCC SA)	Spain
Golder Associates S.R.L	Italy
GS Engineering & Construction CORP.	South Korea
Halla Engineering & Construction Corporation	Abu Dhabi
Halliburton Overseas Limited	Kuwait
Hera AG Ambiental S.L.	Spain
Lamor Corporation AB	Finland
LE Floch Depollution	France
Nizhny Novgorod Institute of Applied Technologies, LLC	NizhnyNovgorod The Russian Federation
ONGC TERI Biotech Limited	India
The Energy and Resources Institute	India
SAR A.S.	Norway
Sensatec GMBH	Germany

 Table 9.2
 Major soil remediation companies worldwide

Ref. from Kuwait Oil Company Web Database

soon for removing the contaminations from medium- to low-risk sites and will continue probably till 2040. More companies from across the world have responded and prequalified the bid to join this bioremediation consortium for removal of huge amount of contaminants (Table 9.2).

# 9.5 Conclusion

Petroleum contamination is a growing environmental threat, and field-based remediation strategies are being increasingly used globally to tackle oil spill contamination on a large scale. In the past few years, emerging technologies like bioremediation and phytoremediation have become more popular. Bioremediation has begun to compete with other established physical- and chemical-based technologies. The use of bioremediation on a large commercial scale for cleaning up of contamination has increased dramatically since the last two decades and expected to rise further in the next few decades due to more oil spill events associated with continuous exploration and processing of oils across the globe and also continuous turmoil in Middle East countries. Only few companies are available to offer soil remediation through bioremediation technology approaches. Currently, strict environmental regulations are being implemented by all countries to combat global warming and reduced the emission of greenhouse gases. The physical- and chemical-based remediation technologies nowadays are less acceptable to the society. As a consequence, bioremediation approach will become more popular and acceptable to global agencies for removal of these contaminants.

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## Microbial Soil Enzymes: Implications in the Maintenance of Rhizosphere Ecosystem and Soil Health

# 10

### Swati Joshi, Balaram Mohapatra, and J. P. N. Mishra

#### Abstract

Soil enzymes play a crucial role in agriculture as they are important for several vital biochemical reactions necessary for the life processes of soil microbes along with the maintenance of soil structure, decomposition and formation of organic matter and nutrient mineralization. Thus, soil enzymes are instrumental in the maintenance of soil ecosystem. Several factors that affect soil-plant-microorganism and their interaction in turn determine the productivity and activity of soil enzymes. A better understanding of the role of soil enzymes in maintaining soil health, along with the development of rapid and easier protocols for their measurement, will provide us with the opportunity to design novel integrated soil assessment methods leading to more efficient and eco-friendly soil management programmes. Furthermore, harnessing beneficial soil enzymes through currently available technologies will enable in situ applications of desired soil enzymes for restoration of polluted soils. Present article focuses on occurrence of soil enzymes, methods for determining their activity, current developments in mining these enzymes using metagenomic approach and factors affecting the activity of soil enzymes along with the importance of various soil enzymes.

S. Joshi (🖂)

B. Mohapatra

#### J. P. N. Mishra School of Life Sciences, Central University of Gujarat, Gandhinagar, Gujarat, India

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School of Life Sciences, Central University of Gujarat, Gandhinagar, Gujarat, India e-mail: swati.joshi.aj@gmail.com

Environmental Microbiology Laboratory, Indian Institute of Technology, Kharagpur, West Bengal, India

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#### Keywords

Microbial soil enzymes  $\cdot$  Soil ecosystem  $\cdot$  Rhizosphere  $\cdot$  Soil health  $\cdot$  Metagenomics

#### 10.1 Introduction

Soil is a crucial part of the terrestrial ecosystem and provides fundamental support to all terrestrial life forms. Therefore, proper soil protection programmes are indispensable to avoid problems of soil erosion, infertility, contamination of groundwater and poor water holding capacity and most importantly to avoid the loss of biodiversity. Soil quality is directly related to biological properties of the soil, which are very sensitive to any environmental disturbances. Soil microbiota is equally sensitive and changes quickly in response to environmental perturbations. Profile of soil microbiota and enzymes is interrelated and an important indicator of soil health and quality (Pajares et al. 2011). Soil enzymes which are mainly produced by soilinhabiting microbes play a crucial role in nutrient cycling and reflect soil microbial activity and fertility (Bentez et al. 2000). A fine balance of biological (which includes enzymatic activity), chemical and physical components is essential to maintain soil health. Soil enzymes play a key role in the overall process of decomposition of organic matter in the soil (Sinsabaugh et al. 1991). Thus, it is clear that a balanced soil enzyme system is imperative to maintain soil processes.

Rhizosphere region of the soil is biologically very active and rich in soil enzymes as compared to rest of the soil. Functions of the plant roots modify biogeochemical parameters of the soil and bring changes in nutrient levels, pollutants, concentrations of various chelating compound, pH and redox potential, partial pressures of oxygen and carbon dioxide  $[pO_2 \text{ and } pCO_2]$ , etc. (Gianfreda 2015). Production of soil enzymes in the rhizosphere region and their activity depends on several factors. A higher rhizosphere enzyme activity is correlated to greater functional diversity of resident microbial population. Absence or inhibition of soil enzyme activities reduces processes that can result in poor nutrition of the plants. Suppression of certain enzyme activity (e.g. pesticide-degrading enzymes) can result in pile-up of harmful chemicals in the soil; some of these chemicals may further inhibit soil enzyme and deteriorate soil quality. Although there are several publications on soil enzymes piling up day by day, several key questions are yet not answered. In this chapter, we try to shed light on key aspects related to soil enzymology, in situ and ex situ estimation of soil enzymes, factors affecting their activity and molecular approach to mine soil enzyme-encoding genes and significance of soil enzymes.

#### 10.2 Sources of Soil Enzymes

Sources of soil enzyme mainly include microbes, plant roots and soil animals. Since long soil enzymes have been categorized in two main groups, extracellular soil enzymes and intracellular soil enzymes (Burns et al. 2013), enzymes that are present

and function inside the living cell are grouped under the latter category, while those produced by living cells but secreted outside comprise the latter. In long terms, soil enzymes get stabilized by accumulation and complexation with humus (organic matter) in the soil. These stabilized soil enzymes, which are no longer associated with viable cells, contribute to about 40–60% activity of soil enzymes (http:// soilquality.org/home.html). An exception to this is dehydrogenases, which can only be produced by living cells, thus contributed to the pool of soil enzymes by viable cells only (Yuan and Yue 2012). Various biochemical, chemical and physiochemical reactions play their role in carrying out nutrient cycles in soil. Extracellular enzymes help decay of organic matter of the soil and aid in mineralization of soil organic carbon (C), phosphorus (P) and nitrogen (N) (Bandick and Dick 1999; Finzi et al. 2006). Forest soils play a significant role in the global carbon cycle (Jobággy and Jackson 2000).

Soil microbe mediates biochemical processes along with plant roots and soil animals. Biochemical processes are carried out by a host of enzymes (β-glucosidase,  $\alpha$ -glucosidase,  $\beta$ -xylosidase, amylase, chitinase, dehydrogenase, urease, protease, phenol oxidase, L-leucine aminopeptidase, N-acetyl-glucosaminidase, phosphatase, arylsulphatases) that are found in soil (Miwa et al. 1937; Zahir et al. 2001; Ji et al. 2014; Herold et al. 2014). Bulk of the enzyme activity is contributed by microbes that can be rationalized by their large biomass, comparatively higher metabolic activity and larger quantities of secretion of extracellular enzymes into the soil solution (Spier and Ross 1978). Production of several polymer degrading enzymes is commonly ascribed to fungi (Hättenschwiler et al. 2005; Baldrian and Valášková 2008). Saprotrophic species of *Basidiomycota* are known to be the exclusive producers of ligninolytic enzymes such as Mn-peroxidase and lignin peroxidase (Hofrichter 2002; Baldrian and Valášková 2008). Arylsulphatases are widespread in soils (Dodgson et al. 1982; Gupta et al. 1993; Ganeshamurthy et al. 1995). Primarily they are secreted by bacteria into the external environment in response to sulphur limitation and hydrolyze sulphate esters in soil (McGill and Colle 1981; Kertesz and Mirleau 2004). So far, very limited information is available regarding arylsulphatases synthesizing specific microbial genera that play significant role in the soil organic sulphur cycle (Kertesz and Mirleau 2004). Chitinase, which hydrolyze chitin (poly  $\beta$ -1-4-(2-ncetamido-2-deoxy)-D-glucoside) is an agriculturally important class of soil enzymes. These are produced by both microbes and plants and have been reported to control various soil-borne diseases by hydrolysing the cell wall of phytopathogenic fungi such as Sclerotium rolfsii and Rhizoctonia solani (Ordentlich et al. 1988; Shapira et al. 1989). Supplementation of chitinase to frequently applied chemical fungicides will not only make them effective but also minimize the use of otherwise harmful chemical insecticides and fungicide, contributing to sustainable agriculture (Gunaratna and Balasubramanian 1994; Wang et al. 2002). Phosphatases are abundant in rhizospheric region as compared to the bulk soil and exhibit a very good relationship with mycorrhizal association (Kumar et al. 2011). Wu et al. (2012) studied protease and  $\beta$ -glucosidase in the rhizosphere region of Citrus unshiu and established a correlation of these enzymes with root mycorrhiza, spatial distribution of glomalin-related soil proteins (GRSP) and carbohydrates. Similarly, in a fire chronosequence in Alaska, Gartner et al.

(2012) found a correlation between five enzymes involved in the transformation of C, P and N substrates and in the presence of mycorrhiza. Thus, mycorrhiza has also been an important source of soil enzymes along with other microbial sources.

#### 10.3 Methods to Determine Soil Enzyme Activities

Enzyme activities in soil affect various aspects of soil biology and are very useful for gauging soil fertility, functional diversity of soil microbiota and overall turnover of organic compounds in soil systems at different geographical locations (Kandeler et al. 1999). Estimation of the soil enzymes remained a challenge for several years due to want of appropriate quantitative and qualitative techniques. However, recent advances in the field of soil enzymology have enabled us to measure the soil enzyme activity both in situ and ex situ assay. Assay methods that provide reliable results on soil enzyme concentration and rate of the reaction have also been developed (Baldrian 2009). Ndiaye et al. (2000) observed that any change in soil management approach and land use technique results in corresponding changes in the soil enzyme activities and suggested that alterations in soil quality can be anticipated by recording changes in soil enzyme profile, before they are detected by any other soil analyses methods. As discussed by Rao et al. (2014), currently available methods to assay soil enzyme activity suffer with several limitations:

- (a) These methods do not provide adequate information on real enzyme activities but measure the potential enzyme activities.
- (b) They take into consideration and provide information on stabilized enzymes which might not be active at conditions prevailing under in situ soil environment.
- (c) They do not furnish any information related to production and origin of the soil enzyme
- (d) They do not provide information on changes occurring in enzyme activity that occur in continuously changing in situ environment conditions.
- (e) As soil enzymes are part of complex and dynamic processes, estimation of single enzyme activity provides no clue about their role in such dynamics.
- (f) In soil, enzymes are exposed to several environmental, physicochemical, anthropogenic activities, and laboratory assays do not allow correct interpretation of the effect of such disturbances on soil enzyme activity.

In case of in situ assay of soil enzymes, a different set of technological challenges exists including hindrances by electron-dense humic substances and soil minerals and reduced rate of diffusion which reduces overall on-site interaction of enzymes and substrate (Steinweg et al. 2012). Methods including electron microscopic observation (Ladd et al. 1996), zymography (Spohn et al. 2013) and near-infrared spectroscopy (Dick et al. 2013) have been employed to estimate the enzyme activity directly on site. Majority of researchers opt for assays which are carried out under laboratory conditions. In such assays it is imperative to give careful details of soil

sampling, handling, storage and enzyme assay so that the method can be reproduced and compared with other studies. Also, extraction of the enzymes is carried out before performing the biochemical assay. A considerable amount of the enzymes is bound to soil components or microbial biomass and is not extractable and thus remains out of the estimation (Claus and Filip 1990; Valásková and Baldrian 2006).

As compared to organic matter-rich forest soils, enzyme extraction from high clay-containing soils is found to be poor (Vepsäläinen 2001; Šnajdr et al. 2008b). Further processing of the extracts is required to get rid of inhibitory compounds such as heavy metals and humic acid (Baldrian and Gabriel 2002; Zavarzina et al. 2004). Vancov and Keen (2009) developed a rapid and high-throughput method of enzyme extraction from soil. They reported that their 1-day extraction protocol included physical disruption of the soil samples with bead beating and was reproducible. In a study carried out by DeForest (2009), it was clearly demonstrated that soil storage conditions and processing method significantly affect the estimation of enzymatic activity in acidic soil of forest. In this study, six extracellular enzymes were measured [employing 4-4-methylumbelliferone (MUF)-linked substrates and L-dihydroxyphenylalanine (L-DOPA)] from soil samples stored for varying time duration at different temperatures. Results of this study revealed that in contrast to storage temperature, enzyme activity values were affected by extended time in buffer. It has been observed that freezing of the soil sample affect soil enzyme activity more than air-drying of the sample (Wallenius et al. 2010; Peoples and Koide 2012). Fluorimetric and spectrophotometric assays [which employ p-nitrophenol (pNP)- and MUF(4-4-methylumbelliferone)-based substrates] are very popular and routinely used for measuring activity of soil hydrolases such as glucosaminidase, glucosidase, galactosidase, etc. (Moscatelli et al. 2012; Trap et al. 2012; Dick et al. 2013). For high-throughput results, these assays are also being carried out by using microplate methods (Trap et al. 2012).

#### 10.4 Factors Affecting Soil Enzymes

Soil enzyme activities are very sensitive to any external disturbances including both anthropogenic and climatic perturbations (Vepsäläinen 2001). Several physicochemical and biological factors affect either enzyme quantities or their activity levels. For example, enzyme activity in soils changes with seasonal variables in moisture, temperature and addition of fresh litter. Like other enzymes, soil enzymes also exhibit varying optimum pH and temperature at which they are most active. For instance, activity of arylsulphatase, phosphatase and amidase involved in sulphur, phosphorus and nitrogen cycling, respectively, is strongly correlated to alteration in pH of the soil (Tabatabai 1994; Kertesz and Mirleau 2004; Chaudhari and Bhatt 2014). Temperature affects several aspects of soil enzymes such as soil enzyme activities, stability and enzyme kinetics, substrate affinity and production levels of enzyme as it also influences the activity and population of soil microbes (Wallenstein et al. 2009; Baldrian et al. 2013). Heat and extreme cold temperature can alter enzyme structure and substrate binding site and therefore, can decrease the enzyme activity above and below the temperature optimum. In a study carried out by McClaugherty and Linkins (1990), it was observed that there was 33–80% decline in the chitinase, peroxidase and laccase activities in winter samples where temperature remains at 0 °C, as compared to those in autumn samples where temperature reaches 15 °C. This is evidence that the seasonal patterns of temperature of ecosystems can affect activity of soil enzymes. The activity of many enzymes often correlates with soil moisture content, as well. Drought may suppress enzyme activity (Sardans and Penuelas 2005; Gömöryová et al. 2006; Baldrian et al. 2010). Upon reduction of 21 % of soil moisture, a corresponding reduction in urease, protease,  $\beta$ -glucosidase and acid phosphatase activity was recorded by Sardans and Penuelas (2005).

Levels of organic matter, nitrogen content and various macronutrients appear to regulate the production of enzymes in soil. It has been reported that with increase in organic matter content, activity of several hydrolytic enzymes including β-glucosidase. phosphatase and N-acetylglucosaminidase cellobiohvdrolase. increases (Nsabimana et al. 2004; Sinsabaugh et al. 2008). Decrease in the levels of N-acetylglucosamine liberating enzyme chitinase has been associated with increasing nitrogen content in the soil environment (Olander and Vitousek 2000; Andersson et al. 2004). According to Prietzel (2001), addition of (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> reduces activity of arylsulphatase. Addition of nitrogen can significantly affect the kinetics of soil enzyme as observed in case of  $\beta$ -glucosidase, β-xylosidase, the cellobiohydrolase and β-N-acetylglucosaminidase involved in soil organic matter degradation in forest soils (Stone et al. 2012). In general, the presence of available phosphorous (P) in soil is related to decreases in phosphatase activity (Venkatesan and Senthurpandian 2006). It has also been confirmed by many researchers across the globe that the presence of pollutants such as heavy metals and organic xenobiotic changes the enzyme profile of the soil (Burns and Dick 2002; Effron et al. 2004).

Although soil enzymes of microbial origin are produced by a diverse array of microbes, production of certain enzymes are limited to certain taxa. Fungi are the most common producer of lignocellulose-hydrolysing enzymes (Moller et al. 1999; Caldwell 2005; Baldrian and Valášková 2008). Chitinase activity is also associated to fungal biomass (Miller et al. 1998; Sinsabaugh et al. 2008). Through microcosm studies it has been demonstrated that introduction of saprophytic fungi in soil increases the activity of different oxidative and hydrolytic enzymes (Šnajdr et al. 2008a, 2011). In forests, soil enzyme activities vary with the change in the dominant tree species of the forest as it changes the litter input (Weand et al. 2010). At the harvesting time, reduction in microbial population, litter input and alteration in soil microenvironment causes decline in enzyme activity (Hassett and Zak 2005)

Spatial heterogeneity is one of the key attributes of the soil environment (Paul 2007). Changes in enzyme activity have been observed with changes in depth. In forest soil, vertical gradient of enzyme activity is more prominent than any other ecosystem. Fresh carbon input in the form of leaf litter and root exudates makes the surface soil horizons (~ 10 cm thick) carbon rich. Organic compounds thus entering the soil accelerate the growth of microorganisms, which in turn produces extracellular enzymes. However, despite smaller carbon inputs, a significant amount of carbon is also stored in subsoil horizons because of its larger thickness (Wang et al. 2010).

In case of grasslands where extensive root system of trees is absent and agricultural soils where soil homogenization is a routine practice, considerable level of spatial variability with respect to activity of extracellular enzymes and soil chemistry has been observed (Štursová and Baldrian 2011).

Soil type and texture also influence the enzymatic activities. According to Burns (1982), soil texture plays a significant role in stabilizing soil enzymes; importantly the interactions with soil organic matter and clay minerals affect the stability of the enzymes. Studies on soils from different regions have shown that activities of soil enzyme are sensitive to changes in occurring to the soil because of tillage, cropping system and land use (Staben et al. 1997; Gewin et al. 1999; Ndiaye et al. 2000; Acosta-Martinez and Tabatabai 2001; Ekenler and Tabatabai 2002; Ji et al. 2014).

#### 10.5 Mining of Soil Enzymes Encoding Genes Through Metagenomics and Metatranscriptomics

Among soil-inhabiting microbes, a large number of them remain unculturable, but they do contribute to enzyme repertoire of the soil (Lorenz and Eck 2005). The soil metagenome, the collective microbial genome, could be cloned and sequenced directly from soils to search for novel microbial resources. Metagenome analysis has become a remarkable tool to tap yet uncultured microbial diversity present in soil. Recent advances in molecular methods have enabled us to target the abundance of genes encoding enzymes using metagenome or metatranscriptome analysis. These techniques have very high theoretical potential and been employed for assigning gene sequences to specific groups of soil microorganisms along with specifically targeting exocellulase (Baldrian et al. 2012), laccase (Luis et al. 2005; Hassett et al. 2009; Lauber et al. 2009) or a range of various oxidases and glycosyl hydrolases (GH) present in forest soils (Kellner and Vandenbol 2010). The limitations of singlegene surveys (which are applicable for only highly similar gene sequences) might be overcome by sequencing whole transcriptomes that would help the analysis of the entire spectrum of expressed genes. In a recent study by Damon et al. (2012), several families of GHs and other hydrolytic enzymes were detected upon analysis of eukaryotic gene expression in forest soils. Cellulolytic and cell wall-degrading enzymes are of special interest owing to their application in biotechnology sector for bioenergy production. Liu et al. (2011) isolated a low-temperature active, thermostable, halotolerant cellulase from red soil metagenome. Similarly, Verma et al. (2013) fished out a novel thermo-alkali-stable xylanase from compost soil metagenome. Faoro et al. (2012) reported isolation of lipolytic enzyme from forest soil (Paraná state, Brazil). From the mountain soil of north-western Himalayas, Sharma and co-workers (2010) reported the recovery of a cold-adapted amylolytic enzyme. Various other enzymes including oxidases, reductase, racemase, lactonase, esterase, glucosidase, etc. have been isolated from soil metagenome as reviewed by Lee and Lee (2013). Along with metagenomics and metatranscriptomics, a more challenging environmental proteomics approach has demonstrated its potential for

analysis of protein pool in soil environment (Schneider et al. 2012). In the near future, it may be one of the most powerful approaches in soil enzyme research.

#### 10.6 Importance of Soil Enzymes

Soil enzymes are of immense significance in maintaining ecophysiological life of soil. Enzyme activity ratios have been employed to analyse ecoenzymatic stoichiometry of freshwater sediments and terrestrial soils (Sinsabaugh et al. 2009), along with the studies on the effects of climate and soil properties of different ecosystems (Sinsabaugh et al. 2008; McDaniel et al. 2013). The ratio of activities of extracellular enzymes, which are related to energy and nutrient acquisition, i.e. ratio of  $\beta$ -glucosidase activity/phosphatase activity (an indicator of potential C/P utilization activity), can be utilized to follow the shifts and pattern of energy supply and demand (Sinsabaugh et al. 2008; McDaniel et al. 2013).

By assessing activities of hydrolases, valuable information can be obtained on the status of key reactions involved in the rate-limiting steps of organic matter decomposition along with those of nutrient transformation. Thus, information on the soil degradation potential can be obtained by knowing soil enzyme activities (Trasar-Cepeda et al. 2000). Bolton et al. (1985) proposed that concomitant estimation of different enzyme activities can be used as an effective indicator of soil microbial activity.

There is an exponentially growing interest in finding and developing green technologies for partial or total recovery of sites with polluted soil. Co-occurrence of different types of polluting compounds (both inorganic and organic) makes the remediation of such sites very problematic. Enzymes can be applied to a large array of different compounds, as enzymes with both narrow (chemo-, region- and stereoselectivity) and broad specificity are known, and therefore can be used in a case-specific manner for transformation of innocuous compounds. Enzymes either released by the plants or by soil microorganisms in rhizosphere and the bulk part of the soil are capable of degrading pollutant reaching to the soil. The representative enzymatic classes in the restoration of polluted environments are hydrolases, dehalogenases, oxidoreductases and transferases. Primarily oxidoreductases and hydrolases effectively degrade and transform phenols, polycyclic aromatic hydrocarbons (PAHs), polychlorinated biphenyls (PCBs) and estrogenic chemicals (Gianfreda and Rao 2004; Gianfreda and Ruggiero 2006). Exploiting the fact that soil enzymes are capable of biodegradation and remediation of xenobiotic compounds, a number of transgenic plants expressing/secreting relevant enzymes have been generated and have been used for restoration of highly polluted soils in various locations (Abhilash et al. 2009). A surge in the release of superoxide dismutase (SOD), ascorbate peroxidase (APX) and catalase (CAT) activities was recorded by the plants when subjected to heavy metal (Hg) pollution of the soil (Li et al. 2013). This observation indicates towards the adaptation of the plant to Hg stress by means of enhanced release of enzymes to deal with the metal stress. Several oxidative enzymes, laccases, catechol dioxygenase, tyrosinase, manganese peroxidase, chloroperoxidase, etc., have been employed in remediation of contaminated soil environments (Duran and Esposito 2000).

#### 10.7 Conclusions

Soil enzymes are of paramount importance for achieving and maintaining physicochemical and biological balance for soil health. Despite several studies, a universal and accurate methodology is still needed to quantify soil enzymes. While substantial progress has been made towards unravelling soil enzymes, applications of enzymes in soil management programmes are still in its infancy. An integrated approach of discovery, quantification and application has to be developed, so that the potential of soil enzymes can be fully utilized for both environment restoration and human welfare.

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## **Biosurfactants in Soil Bioremediation**

Vivek Rangarajan and Mahesh Narayanan

#### Abstract

Biosurfactants are powerful surface active agents synthesized essentially by microbes. They have interesting properties such as biodegradability, less toxicity and stability at extremes of pH, temperature and salinity. Their diverse structures along with superior properties qualify them as potential candidates for application in food, cosmetic, pharmaceutical, agricultural and environmental industries. The current chapter discusses the salient features of two important biosurfactants, lipopeptides and rhamnolipids, and their use in the lab-scale remediation of soil contaminated with heavy metals and hydrocarbons.

#### Keywords

 $Biosurfactants \cdot Lipopeptides \cdot Rhamnolipids \cdot Remediation \ of \ oil \ wastes \cdot Heavy \ metal \ bioremediation$ 

#### 11.1 Introduction

The rise in world's population accompanied by the increase in pollution levels due to the discharge of wastes from industries and households has posed severe threat to the ecosystem, and this will greatly affect the mere survivability of the generations to come. The scientific communities around the world have taken a lot of effort towards mitigation of environmental pollution through renewable technologies. Although the classical,

M. Narayanan

V. Rangarajan (🖂)

Department of Chemical Engineering, BITS Pilani, Sancoale, Goa, India e-mail: vivekrangarajan@gmail.com

Department of Chemistry and Biosciences, Srinivasa Ramanujan Centre, SASTRA University, Kumbakonam, Tamil Nadu, India

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physical and chemical routes of remediating the soil and waterbodies to reduce or remove the toxic pollutants have been very much effective, particularly, towards meeting the discharge standards set by various environmental regulatory boards, the cost involved in such chemical/physical treatments is high and often involves use of non-renewable and non-biodegradable chemical agents (Shah et al. 2016).

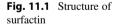
Of various pollutants contaminating soils, synthetic surfactants from petroleum industries hold a significant share. In general, they find applications as detergents, wetting agents, emulsifiers, foaming agents and dispersants in almost all fields spanning from agricultural to pharmaceutical industries (Rahman and Randhawa 2015; Somasundaran et al. 2004). Their increased consumption for various applications has resulted in increased discharge of them into waterbodies, thereby causing significant threat to the animals and humans. The use of synthetic surfactants as additives for bioremediation of contaminated soil with toxic pollutants such as heavy metals and hydrocarbons including polynuclear aromatic hydrocarbons (PAHs) (Ahn et al. 2009; Carroll and Campana 2008; da Silva et al. 2015; Dhenain et al. 2006; Ren et al. 2014; Souza et al. 2016) further adds up to the already existing demand of them towards the mainstream applications. Therefore, the use of eco-friendly and biodegradable green surfactants synthesized through microbial route is envisaged to reduce the footprint of the erstwhile used oil-based surfactants for such environmental applications, thereby making the entire process of remediation sustainable.

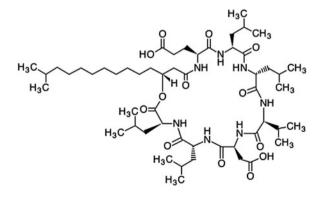
Biologically synthesized surfactants, also termed biosurfactants, are essentially synthesized by microorganisms. Based on their molecular weights, they are classified as low-molecular-weight and high-molecular-weight biosurfactants. Lowmolecular-weight biosurfactants include glycolipids, lipopeptides and phospholipids, while high-molecular-weight biosurfactants include polymeric and particulate surfactants (Mukherjee 2007; Mukherjee et al. 2006). They are less toxic, highly biodegradable and stable at extremes of environmental conditions. Because of their superior properties such as high surface activity, emulsifying ability, antiadhesive activity and antimicrobial and anticancer properties, they find applications in cosmetic industries, food processing, agricultural industries, health care and bioremediation (Banat et al. 2000; Gudina et al. 2013; Mukherjee et al. 2006; Mulligan 2005; Nitschke and Costa 2007; Rodrigues et al. 2006; Sen 2008). The powerful surface tension and interfacial tension reducing capabilities of green surfactants such as rhamnolipids and lipopeptides have already been exploited for application in enhanced oil recovery (EOR). Since biosurfactants are used for both low-end applications such as in EOR and bioremediation fields and for high-end applications such as in cosmetic and pharmaceutical industries, their production processes have to be strategized suitably in order to match the desired levels of purities. For example, biosurfactants used for environmental industries can be produced from low-cost substrates followed by simple purification steps to make the production process economically viable, whereas biosurfactants for pharmaceutical applications should be of high purity and therefore no compromise with the quality of raw materials and production process is affordable (Rangarajan and Clarke 2015). In the current chapter, the bioremediation potential of rhamnolipids and lipopeptides, two important classes of biosurfactants synthesized predominantly by *Pseudomonas* spp. and *Bacillus* spp., respectively, is discussed. Firstly, the properties of these biosurfactants that qualify them as potential bioremediation candidate are discussed, followed by a brief discussion about lab-scale studies that demonstrate the successful use of biosurfactants in bioremediation of crude oil and heavy metals in soil.

#### 11.2 Biosurfactants: Important Physicochemical Properties

#### 11.2.1 Lipopeptides

Lipopeptides are amphiphilic molecules having a cyclic peptide as the hydrophilic group linked to a hydrocarbon chain, which acts as a hydrophobic moiety. Their diverse structures have resulted in numerous isoforms, which mainly belong to three important families, namely, surfactins, iturins and fengycins (Peypoux et al. 1999; Rangarajan and Clarke 2015). Most of the *Bacillus* spp. are capable of producing all the three types of lipopeptides but, at different concentrations, based on the medium and production conditions. Bacillus subtilis ATCC 21332 is the widely investigated strain for the production of surfactin, which is currently being marketed as a cosmetic agent by Kaneka Corporation, Japan, which can serve either as a bioactive compound if used in low quantity or as the principal emulsifying agent if used in excess in personal care products. As far as the structures of these compounds are concerned, surfactin has a cyclic lactone ring structure (Fig. 11.1) with  $C_{12}$ - $C_{16}$  $\beta$ -hydroxy fatty acid attached to a heptapeptide with a variable amino acid at positions 2, 4 and 7 (Bonmatin et al. 2003). Fengycin consists of a  $\beta$ -hydroxy fatty acid chain linked to a decapeptide. Fengycin A and fengycin B are the two variants with Val and Ala, respectively, at position 6 (Vanittanakom et al. 1986), and Iturin has a  $C_{14}$ - $C_{17}$   $\beta$ -amino fatty acid moiety linked to a cyclic heptapeptide moiety having Asp or Asn at position 1 (Bonmatin et al. 2003). While the structural diversity of these molecules leads them towards high-end therapeutic and cosmetic applications (Gudina et al. 2013; Mukherjee et al. 2006; Rangarajan and Clarke 2016), functional properties, such as surface activity and micelle-forming ability,





qualify these molecules for emulsion-related applications particularly in food and cosmetic industries and for application in environmental bioremediation (Das et al. 2009; Kanlayavattanakul and Lourith 2010; Mukherjee et al. 2006).

Of various important properties of lipopeptides, emulsifying abilities and heavy metal binding abilities are very vital for these surface active molecules to be considered for bioremediation applications. Of three lipopeptides investigated so far, surfactin, the widely tested one, has a critical micelle concentration (cmc) of 9.4  $\mu$ M at pH 8.7 and at 25 °C with the minimum surface tension of 27.2 mN/m (Han et al. 2008; Sen and Swaminathan 1997; Shaligram and Singhal 2010). The structure of surfactin is so favourable that it can readily form oil-in-water microemulsions, with necessary conducive environmental conditions provided. These emulsion- and microemulsion-forming abilities together with detergent actions have already been exploited for EOR and hydrocarbon removal (Patel et al. 2015; Rangarajan and Clarke 2016). The two carboxylate groups of aspartic and glutamic acid residues act as bidentate for the binding of heavy metals towards them, thus making the molecule a strong sequestering agent for the removal of bivalent heavy metals such as Ni, Cd, Cu and Zn from the contaminated soil or water (Das et al. 2009; Mulligan et al. 1999b).

Lipopeptides are also being considered in the formulation of emulsions and microemulsions that cater to EOR, pharmaceutical and cosmetic applications. The important parameters such as critical packing parameter, CCP = 0.1435 and hydrophilic-lipophilic balance, HLB = 10-12 based on the chemical structure of surfactin lipopeptide indicate that these molecules are capable of forming spherical micelles and favour the formation of oil-in-water microemulsions, respectively (Gudina et al. 2013).

#### 11.2.2 Rhamnolipids

Rhamnolipids are an important type of glycolipid biosurfactants that have been widely reported for oil spill bioremediation and removal of heavy metals from soil and water (De Almeida et al. 2016; Mukherjee et al. 2006; Randhawa and Rahman 2014; Wang and Mulligan 2004). The structure is composed of a  $\beta$ -hydroxy fatty acid linked to a rhamnose sugar molecule by the carboxyl end as shown in Fig. 11.2. They are

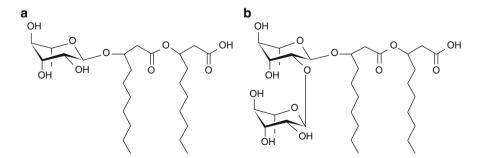


Fig. 11.2 Structure of (a) mono-rhanolipid and (b) di-rhamnolipid

predominantly produced by *Pseudomonas aeruginosa*. Based on the number of rhamnose sugars as hydrophilic groups, they are classified as mono and di-rhamnolipids (El Zeftawy and Mulligan 2011; Randhawa and Rahman 2014). Although rhamnolipid producers are capable of synthesizing both mono and di forms, the relative proportion of individual type can be varied by media optimization. As yield and productivity of rhamnolipids have been significantly improved over the last two decades through medium and process optimization, they have already made foray in many of applications such as in bioremediation and enhanced oil recovery, pharmaceutical industries, detergents and agriculture. The efficacy of rhamnolipids can be simply described by their ability to reduce the surface tension of water from 72.8 mN/m to about 29 mN/m (El Zeftawy and Mulligan 2011; Randhawa and Rahman 2014).

The only reason that rhamnolipid is still not being considered as the potential drug candidate for therapeutic application is due to the fact that most of the *Pseudomonas* spp. that are used to synthesize them are pathogenic to humans, plants and animals. The fact that *Pseudomonas* spp. are facultative anaerobes and they are capable of biodegrading PAHs and hydrocarbons, they can easily find applications in the wastewater treatment. However, again for the same reason that the pathogenic nature of these organisms prohibits them from being considered for such applications in larger scale.

#### 11.3 Biosurfactants in Remediation of Oil-Contaminated Soil

The oil removal or recovery from the contaminated sand, works by three predominant mechanisms based on the concentration of biosurfactants used. The biosurfactant solution with a concentration < cmc helps in mobilizing the adhered oil, by the virtue of their surface tension and interfacial tension-reducing capabilities, while at high concentrations (>cmc) and very high concentrations, they are capable of mobilizing oil by solubilizing the oil or by forming emulsion with the oil phase. Here, the ability of biosurfactants to form emulsion with oil is determined by the molecular weight of biosurfactants, environmental parameters such as pH and temperature and the concentration and the amount of oil to be recovered from the contaminated site. The reclamation of oil-contaminated site can be discussed analogously to that of enhanced oil recovery by surfactants (Patel et al. 2015; Sen 2008), where surfactant solutions have been used as injection fluid in depleted oil wells (Fig. 11.3). Although the mechanism of action of biosurfactant in the recovery of oil from the contaminated site is similar to that of enhanced oil recovery procedure, the former one does not involve harsh environmental conditions such as elevated temperatures, which will influence the efficiency of the mobilization process. Also, the EOR involves pumping of injection fluid using high-power pumps to mobilize the fluid through interstitial spaces between the rocks, in order to mobilize the oil (Patel et al. 2015; Sen 2008).

The use of biosurfactants in EOR has been increasingly researched, from the view point of capturing more oil into the surfactant micelles. Micelles capture oil into the hydrophobic core in small quantities to form bigger micelles, also termed as swollen

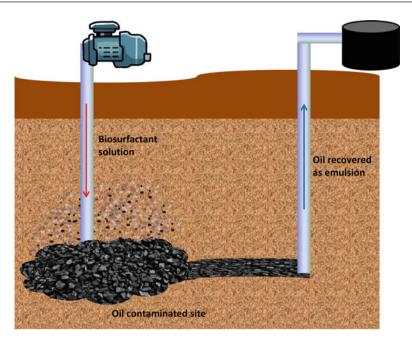


Fig. 11.3 Reclamation of oil-contaminated site by biosurfactant flushing (Adapted from Sen 2008)

micelles. The oil as discontinuous phase can also be finely dispersed inside the micelles, which usually maintains thermodynamic equilibrium with the continuous water phase termed as microemulsions. The classical EOR approach involves injecting biosurfactant as micelles/vesicles in flooding solution, which is devoid of oil, into the depleted oil well/oil- contaminated soil so as to capture oil into the micelles forming emulsions/microemulsions, while in recent approaches, biosurfactant prepared as microemulsions, i.e. micelles entrapped with some oil, is injected, which can help further entrapping more oil into them forming bigger swollen micelles, thereby recovering/remediating oil from the contaminated site (Bera and Mandal 2015). Microemulsion flooding is advantageous over classical surfactant flooding due to higher viscosity, ability to induce low surface tension and increased oil extraction efficiency (Santanna et al. 2009).

Formation of stable oil-in-water emulsion is understood to have improved effect on the microbial bioremediation of hydrocarbons. This improved bioavailability of oil/PAHs is usually achieved using biosurfactant-producing microbes, which facilitates microbes better access to oil. In a typical bio-stimulation approach, biosurfactant can be injected along with other required nutrients to enhance the oil biodegradation efficiency of microorganisms.

The investigation of optimal processing and environmental conditions is important for the effective oil removal. Urum et al. (2004) used Taguchi experimental design method and identified the optimal conditions as temperature, 50 C; rhamnolipid concentration, 0.5% w/w; volume of solution, 15 mL; and contact time of 10 min for the effective removal of oil from the test contaminated soil.

Although biosurfactants are directly used to remove oil from the oil-contaminated sand, they can also be employed to remove the oil from the sorbents which are used to remove the oil from the contaminated site. In one reported study, Wei et al. (2005) investigated the efficiency of rhamnolipid biosurfactant for the removal of crude oil and weathered oil from the used polypropylene fibres as used sorbent. Sorbent pore size and washing time showed profound influence on the oil removal efficiency, whereas temperature and biosurfactant concentration showed little effect.

Chaprao et al. (2015) investigated the potential of two biosurfactants for their application in enhanced oil removal and biodegradation of motor oil-contaminated sand under laboratory conditions. The lipopeptides produced by *Bacillus* spp. removed 40% of the oil from the sand-packed column, while biosurfactant produced by *Candida* spp. removed as high as 90% of oil. Despite less recovery by lipopeptide, the degradation ability of *Bacillus* spp. was reported to be almost 100% after 90 days of incubation. In another work, Amani 2015 (Amani 2015) could achieve crude oil recoveries of 80 and 77% from the oil-contaminated sand at room temperature. Although most of the oil recovery has been carried out in simulated sand-packed columns, whose efficiencies, owing to the use of uniformly sized sand, have been reported to be high, a study by Colloney et al. (Connolly et al. 2010) showed poor/no recovery of oil from the real-time weathered oil-contaminated soil by rhamnolipid solution. The poor recovery was said to be attributed to the transfer of oil from the coarse sand particles to the fine clay component, which prevented the migration of oil from the sand to wash biosurfactant solution. Further studies with higher concentrations of biosurfactant are required to test the actual efficacy of biosurfactant to remove oil from weathered oil-contaminated sand.

#### 11.4 Bioremediation of Heavy Metals Using Biosurfactants

Remediation of soil contaminated with heavy metals has been carried out using expensive conventional methods like excavation, land filling, isolation, immobilization, toxicity reduction, physical separation and extractions (Mulligan et al. 2001). Other promising alternative methods include soil washing, in situ flushing, bioleaching, phytoremediation and bioremediation. In recent years, the use of biosurfactants has been increasingly reported for the remediation of heavy metalcontaminated sand. As already stated before, anionic biosurfactants have superior structural and functional characteristics, which effectively remove the heavy metals from the adsorbed soil media through mechanisms such as electrostatic interaction, ion exchange, precipitation, dissolution and counterion binding. A reduction in interfacial tension facilitates binding of biosurfactants directly with the sorbed metals at interface. Anionic biosurfactants such as surfactin and rhamnolipid work effectively by forming complex with cationic heavy metal ions. The fact that interaction between biosurfactants and metals is stronger than the interaction

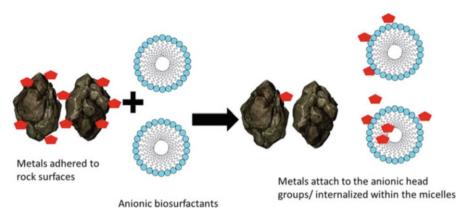


Fig. 11.4 Mechanism of metal removal by cationic biosurfactants

between heavy metals and sand facilitates easy disengagement of metals, which serves as the first line of mechanism in the removal of heavy metals from the sand (Fig. 11.4).

Mulligan et al. (1999a) investigated the feasibility of using surfactin, rhamnolipid and sophorolipid biosurfactants to remove heavy metals such as Zn and Cu from oil-contaminated sand. The use of 12% rhamnolipid solution recovered as much as 19.5% Zinc and over 25% Copper metal ions. The sequential extraction procedure using rhamnolipid and surfactin could effectively remove organically bound copper from the sand. Surfactin as low as 0.25% also removed 25% copper. It was reported that biosurfactants surfactin and rhamnolipids work effectively in alkaline pH conditions, while sophorolipids work effectively under acidic pH conditions in the presence of HCl, which could effectively remove oxides and carbonates of bound Zinc metal ions.

Usually, most of the reported studies for extracting of metals did not involve the use of direct or indirect mechanism of bioleaching. Diaz et al. (2015) used a synergistic approach, in which alternative cycles of treatment with rhamnolipids and bioleaching with mixed bacteria of *Acidithiobacillus thiooxidans* and *Acidithiobacillus ferrooxidans* were adopted. It was observed that bioleaching alone removed 50% of Zn and 19% Fe, while rhamnolipid treatment at low concentration of 0.4 g/L removed 11% Fe and 25% Zn and 19% Fe and 52% Zn at 1 g/L rhamnolipid. On the other hand, the combinatory treatment of bioleaching and biosurfactants reached up to 36% and 63 to 70% of Fe and Zn respectively. In another interesting study, the use of citric acid as additive in a mixed rhamnolipid-citric acid formulation improved the recovery of Pb and Cd along with the removal of the pesticide lindane (Wan et al. 2015).

Slizovskiy et al. (2011) used commercial rhamnolipid surfactant blend JBR-425 for the extraction of aged metals such as Zn, Cu, Pb and Cd from a soil subjected to more than 80 years of metal deposition. They were able to achieve concentration reduction of 39, 56, 68 and 43% for Zn, Cu, Pb and Cd, respectively. But progressive

acidification with citric acid or ethylenediaminetetraacetic acid (EDTA) improved extraction efficiency to more than 95% for all four metals tested. The toxicity of heavy metals to earthworms was greatly reduced, such that the survival of earthworms was increased to 75% in the biosurfactant remediated soil, thus demonstrating less toxic nature of biosurfactant for bioremediation applications.

Mulligan et al. (1999c) in exploring the mechanism of metal removal by surfactin through technique of ultrafiltration and through the measurement of octanol-water partitioning and zeta potential indicated metal removal by sorption at the interface followed by complexation and incorporation into the biosurfactant micelles as the predominant mechanisms.

Most of the studies reported used biosurfactants solution as the injection liquid for the removal of heavy metals from soil. The use of biosurfactant foam can also be considered as a viable option for heavy metal removal, as the foam phase offers higher interfacial area than the liquid. However, the parameters such as foaminess and foam stability of the biosurfactant under the operating pH and temperature conditions and the pressure that the foam builds in the packed bed have to be assessed in order to design an effective foam-based metal removal process. With rhamnolipid biosurfactant foam obtained from a 0.5% rhamnolipid solution and with passage of about 20 pore volumes of solution into the sand-packed column, Mulligan and Wang (2006) could achieve removal of 73.2% Cd and 68.1% Ni, while the removal with rhamnolipid solution was 61.7% Cd and 51% Ni.

In another study (Bendaha et al. 2016), froth flotation technique involving rhamnolipids using sodium sulphide as activation agent was investigated for the remediation of heavy metal- contaminated soil. The sulfidization using  $Na_2S$  modified the particle surfaces to make them more exposed for the adsorption by biosurfactants.

The metal ions removed by the micelles can be concentrated using micellarenhanced ultrafiltration (MEUF), where the membrane of suitable MWCO can be able to concentrate the micelles, while sending out the water through the membrane as permeate (Jung et al. 2008; Mungray et al. 2012). Vast literature discusses the procedure for conducting MEUF for the concurrent removal of heavy metals and concentration of the heavy metal solutions. The metal bound biosurfactant usually precipitates if the concentration of the biosurfactant exceeds certain critical value (Das et al. 2009). Usually, heavy metals tend to easily coagulate at acidic pH values than at basic pH values in case of biosurfactant solutions.

#### 11.5 Conclusion

Bacterial biosurfactants hold greater potential for application in various fields owing to their important properties like biodegradability and less toxicity. Various lab-scale studies have demonstrated the remediating potential of biosurfactants for metals and oil in the contaminated soils. While metal removal exploits mainly the structural properties of the biosurfactants, in particular, the ability of biosurfactants to bind to the heavy metals and chelate with them, oil removal uses the emulsifying and surface tension-reducing abilities of biosurfactants. The studies have further indicated that biosurfactants are equally efficient as other synthetic surfactants for remediation purposes. Metal removal studies have been predominantly carried out using biosurfactant solution as the wash solution, while a few studies have also applied biosurfactant foam as the medium for the satisfactory removal of heavy metals. As far as the oil removal is concerned, biosurfactants were reported to be very much effective on non-weathered contaminated soil, while it is less effective in case of weathered-contaminated soil. Both rhamnolipids and lipopeptides have been tested to be very effective for both oil and heavy metal removal from the contaminated soil. While the demand for biosurfactants for applications in bioremediation and oil recovery application is increasing, the processing economy in producing these compounds still remains to be a constraint for the large-scale application of these green surfactants.

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