

Stem Cell Biology and Regenerative Medicine

Charles J. Malemud  
Eben Alsberg *Editors*

# Mesenchymal Stem Cells and Immunomodulation

 Humana Press

# Stem Cell Biology and Regenerative Medicine

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Editors

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 Humana Press

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# Preface

Using mesenchymal stem cells for repairing specific injured tissues and organs has advanced to the stage where employing these cells constitutes a rational clinical alternative to other presently employed surgical procedures and/or medical and pharmacologic interventions. In that regard, recent discoveries provided compelling evidence indicating that mesenchymal stem cells, which can be expanded in cell cultures from a variety of adult tissues, including bone marrow, muscle, and adipose tissue, can be used in the treatment of a broad spectrum of human disease processes through a marshaling of their capacity to modulate immune cell-mediated inflammation, thus achieving impressive efficacy with respect to clinical response outcomes. To explore the role of mesenchymal stem cells in immunomodulation, this Springer E-Book in the “Stem Cell” series, entitled *Mesenchymal Stem Cells and Immunomodulation*, presents state-of-the-art reviews by esteemed scientists not only on the molecular and pathophysiological underpinnings for considering mesenchymal stem cells as modulators of immune-mediated cellular responses but also on the significant recent progress which has been achieved in deciphering the complexity of gene expressional events that underlie and serve as the linchpin for mediating immunomodulation. Thus, the paradigm for research in this field has generally followed a plan whereby basic preclinical research studies conducted primarily in organ and/or cell culture have been followed by an assessment of the immunomodulation achieved through the use of mesenchymal stem cells in well-validated animal models of human diseases. The design of these studies has led to a collection of compelling data which should ultimately provide direction for employing mesenchymal stem cell-based therapies for ameliorating the pathology of several diverse human conditions. These would include ameliorating chronic inflammation characteristic of arthritis and other autoimmune disorders such as systemic lupus erythematosus, type I diabetes, multiple sclerosis, uveitis, and scleroderma as well as asthma, cancer, injuries to the brain, and perhaps even amyotrophic lateral sclerosis. Drs. Malesud

and Alsberg, the co-guest Editors of this Springer E-Book, would like to acknowledge those contributors who accepted our invitation to write for this Springer E-Book as well as the support staff at Springer, particularly, Mr. Michael Koy, who worked diligently with us to bring this writing project to a successful conclusion.

Cleveland, OH, USA

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**Eben Alsberg** received his Ph.D. from the University of Michigan, Ann Arbor, and was a postdoctoral researcher at Harvard Medical School. He is currently a professor of Biomedical Engineering and Orthopaedic Surgery at Case Western Reserve University and also serves as Director of the Stem Cell and Engineered Novel Therapeutics Laboratory. He was a Lady Davis Fellow at the Technion (Israel), and he is currently an International Scholar (Visiting Professor) at Kyung Hee University (Korea). His lab focuses on the engineering of new technologies to regenerate tissues and treat diseases through the development of novel biomaterials and microenvironments. He has coauthored over 90 peer-reviewed papers and book chapters. His work has been recognized with the 2008 Ellison Medical Foundation New Scholar in Aging Award and the Crain's Cleveland Business 2009 Forty Under 40 Award, and he was elected to the American Institute for Medical and Biological Engineering (AIMBE) College of Fellows. He is on the editorial board of several tissue engineering and biomaterials journals, and multiple government agencies and foundations have supported his lab's research.

# Mesenchymal Stem Cells and Immunomodulation: An Overview

Charles J. Malemud and Eben Alsberg

Mesenchymal stem cells (MSCs) are often principally considered cells suitable for use in repairing injury to various tissues and organs [1–3]. However, more recently, MSCs were shown to produce molecules, including cytokines and soluble mediators indicating robust function related to their roles in trophic signaling and immunomodulation [4–7]. This Springer E-Book in the “Stem Cell” series reviews how bone marrow-derived and adipose tissue-derived MSCs could serve as potential therapeutic alternatives to pharmaceutical drugs for the treatment of inflammatory conditions such as graft versus host disease, autoimmune disorders such as rheumatoid arthritis (RA), systemic lupus erythematosus (SLE), multiple sclerosis (MS), type I diabetes, cancer, injuries to the brain, and asthma.

Chapter “Controversies in the Use of Mesenchymal Stem Cells for Treating Autoimmune Diseases”: Compelling evidence has been presented indicating that MSCs possess potent immunomodulatory activity. In this review, Wolff and Malemud addressed several of the controversial issues which pertain to using MSCs to drive

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immunomodulation. In that regard, MSCs were shown to house the major histocompatibility complex (MHC) class I antigens processing machinery and produce costimulatory molecules for T-cell activation and proliferation, such as B-7, as well as possibly expressing histocompatibility loci antigens. MSCs also synthesize a large array of cytokines and other trophic factors. However, the functional roles of various types of cytokines required for orchestrating immune responses, both positive and negative, could limit their clinical application for the treatment of inflammation associated with osteoarticular diseases, such as RA [8] and osteoarthritis (OA) [9].

MSCs are also being considered as a therapeutic alternative to pharmaceutical drugs for regulating immune-mediated inflammatory response because MSCs were shown to functionally alter deregulated immune responses in Type I diabetes, scleroderma, uveitis, SLE, irritable bowel disease, multiple sclerosis, and amyotrophic lateral sclerosis. However, Wolff and Malemud point out that the assessment of MSCs in various animal models of these human diseases will be required prior to mounting a full-scale testing of MSCs in human clinical trials, and even if MSCs prove to be clinically efficacious in animal models, results may not be predictive of their effectiveness in human diseases. For example, a potential roadblock for using MSCs as a therapy for SLE was evident in some of the confounding data regarding the extent to which allogeneic MSCs were capable of reversing the pathology in the lupus-prone mouse model of SLE [10].

Chapter “Mesenchymal Stem Cell Treatment in Mice Models of Systemic Lupus Erythematosus”: SLE is considered the protean autoimmune disorder of man. In this chapter, Bukulmez provides compelling evidence from recent studies where the immunomodulatory function(s) orchestrated by MSCs was examined in several well-validated experimental mouse models of SLE [11–13]. In that regard, a robust peer-reviewed literature has emerged on this topic which shows that MSCs can alter (1) the abnormal function(s) of T-cells and natural killer cells, both of which are characteristic of SLE; (2) the aberrant activity of effector B-cells; (3) the elevated levels of proinflammatory cytokines; (4) the abnormalities in complement production and complement activity; and (5) the phagocytic activity of dendritic cells. Moreover, Bukulmez stressed that MSCs may facilitate immunomodulation by producing an impressive array of immunosuppressive molecules. To date several mouse models of SLE have been tested for their response to the administration of MSCs. The results lean mainly toward a partial remission, or in some cases, a regression of SLE disease pathology. However, important relevant information must be obtained for extending the results from animal models of SLE to human clinical trials. Thus, the ameliorative response in the SLE animal models to treatment with MSCs indicated that allogeneic or xenogeneic MSCs were more favorable compared to autologous MSCs, with the appropriate caveat [10] that MSCs may be unable to completely reverse SLE pathology.

Chapter “Anti-inflammatory Effects of Adipose-Derived Stem Cells (ASCs)”: High-yield adipose-derived stem cells (ASCs) are easily obtained from adipose tissue. In this chapter, Bowles et al. review the results of those experimental studies that investigated the therapeutic efficacy of ASCs that make them highly valuable for future clinical applications, including the treatment of wounds,

ischemia–reperfusion/infarction, and autoimmune disorders, such as MS, RA, acute respiratory distress syndrome, and asthma, to name just a few. Apart from the fact that ASCs possess characteristics that are comparable to bone marrow-derived MSCs, ASCs also produce significant quantities of anti-inflammatory factors. These anti-inflammatory factors have been shown to modify immune cell function(s) and activity [14] and include a plethora of cytokines, chemokines, enzymes, and other soluble mediators that have been shown to alter the inflammatory milieu [15]. Of singular importance in this regard is accumulating evidence that ASCs have the capacity to induce T-regulatory ( $T_{reg}$ ) cell production and to up-regulate  $T_{reg}$  cell function(s) [16] including the synthesis of IL-10 [17]. ASCs also possess the ability to rebalance the M1/M2 macrophage phenotype that is skewed toward M1 (proinflammatory phenotype) in many autoimmune disorders [18] as well as having both direct and indirect effects on regulatory B-cells [19].

Chapter “Similarities and Differences in Stem Cells Between Cancer, Normal and Injured Brain”: MSCs have been shown to possess unique characteristics making them ideal for use in “homing” to sites of organ and tissue injury. In this chapter, L Huang and P Huang review emerging and compelling evidence that MSCs have significant activity in the treatment of brain injuries and brain tumors via their immunomodulatory function(s) which is characterized by the promotion of angiogenesis [20] as well as the synthesis of other trophic factors. The evidence that MSCs also appear to participate in *in vitro* neuronal *trans*-differentiation is also discussed. Finally, the concept that MSCs could be employed in future clinical applications to deliver therapeutic molecules is proposed with the long-range objective of using the “homing” property of MSCs to treat brain tumors.

Chapter “MSCs and Asthma”: Asthma is a chronic inflammatory disease that appears to be independent of age, gender, and ethnicity. However, a recent analysis indicated an association between asthma and socioeconomic background, where asthma was especially prevalent in urban areas of middle- and low-level income countries [21]. Asthma is also the most common childhood chronic inflammatory disease of the pulmonary system. In this chapter, Goldstein et al. review the evidence from preclinical models of various pulmonary diseases which have demonstrated that MSCs have important therapeutic utility for reprogramming the inflamed lung to undergo repair of damaged lung tissue resulting from chronic inflammatory insults. Perhaps the foremost responses with respect to chronic asthma are the capacity of MSCs to reduce the production of leukotriene B<sub>4</sub>, to down-regulate mast cell degranulation, and to alter the phenotypes of T-cells and macrophages which are likely to produce an anti-inflammatory milieu.

There is now a general consensus that MSCs and ASCs possess potent immunomodulatory function(s). Thus, these cells may have the potential to correct immune dysfunction associated with autoimmune diseases and chronic inflammation. The extent to which clinical efficacy of MSCs and ASCs demonstrated in preclinical animal models can be extended to human clinical trials remains to be completely elucidated, although the future looks bright for employing stem cells for these clinical applications.

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# MSCs and Asthma

Benjamin D. Goldstein, Arnold I. Caplan, and Tracey L. Bonfield

## Introduction

Mesenchymal Stem Cells (MSCs) have been utilized as a source of regenerative and medicinal therapeutics in over 560 clinical trials to date (Clinicaltrials.gov) [1]. In these trials, the MSCs are utilized to either reconstruct tissue or to promote the host to manage its own repair. Although the mechanisms of how the MSCs participate in these processes are poorly understood, the end result is nothing less than impressive when the patient has a positive response [2]. When MSCs are given intravenously, as in most clinical trials, their first stop depending on the method of administration is the lung and its vasculature [3]. The cells dwell within the lung interstitial tissue and then can subsequently migrate to areas of injury. It may be that MSCs go to the lung, instruct macrophages which then have the capacity to perpetuate the therapeutic effects. How and why the MSCs track to sites of injury is still under investigation. The fact that the major initial site of MSCs impact is the pulmonary system, treating pulmonary disease seems obvious. Preclinical models of a variety of pulmonary syndromes have shown promise for the use of MSCs as a potential therapeutic tool, not necessarily to regenerate lung tissue, but to “signal” to the lung how to manage its own corrective process [4].

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Asthma is a chronic inflammatory disease, prevalent across age, sex, and ethnic background. According to the most recent data from the Center for Disease Control (CDC) National Asthma Control Program, more than 25 million adults are affected by asthma, which is roughly 1 in 12 people [5]. In children, specifically, asthma is the most common chronic condition, afflicting more than seven million people under the age of 18, which is roughly one in ten children [6]. The economic burden of asthma is large; asthma is the most common reason children required hospitalization in the developed world and is also the most common cause of missed school days as well as missed work days. In 2008, there were over 10 million missed days of school and over 14 million missed days of work. In the United States alone, asthma costs around \$56 billion dollars each year with an average cost of over \$1000 dollars per child [7].

## **Symptoms and Causes of Asthma and Potential Avenues for MSC Intervention**

Asthma can be classified as allergy (atopic) or nonallergic (nonatopic). Both types of classification can impact lung function; symptoms are characterized as repeated episodes of wheezing, chest tightness, breathlessness, as well as nighttime or early morning coughing [8]. Wheeze is the most frequent symptom reported due to the variable small airway obstruction in asthma. There are many triggers and/or irritants that supply the inflammatory response that promotes the phenotypic signs of asthma. The most common triggers for asthma exacerbations have been associated with a virus etiology; those viruses that are implicated include rhinovirus, respiratory syncytial virus (RSV), metapneumovirus, parainfluenza virus, and influenza virus [5]. Lemanske and colleagues [9] investigated the risk of wheezing later in childhood if there was evidence of previous infection with RSV or rhinovirus within the first year of life. Their results show that those patients who wheezed with RSV in the first year of life had an increased association between exposure to virus and wheezing in the third year of life was increased 3.5-fold. Similar findings were seen in patients with a history of wheezing due to Rhinovirus in the first year of life; the adjusted odds of wheezing in the third year of life were increased tenfold [10].

Other triggers, including bacterial and atypical pneumonia, as well as inhalational injury, either due to smoking or inhalation of other aerosolized toxins such as chlorine, pollution, or dust mite antigens, can also cause direct injury to the epithelium and promote localized inflammation [11]. Atopic disease, such as allergic rhinitis, can lead to inflammation and the symptoms associated with an asthma exacerbation, either by stimulating an IgE-specific response causing mast cell and basophil degranulation, or by the rhino-bronchial reflex [12]. In the rhino-bronchial reflex, localized irritation in the nasal mucosa by allergens and cold air can cause bronchopulmonary disease characterized by cough, asthma-like bronchoconstriction and variable wheezing, and bronchopulmonary infections [13, 14]. This could be due to postnasal drip

of inflammatory cells and mucus into the lower airways causing irritation, but also localized activation of the eosinophils within the nasal passages can release mediators in the lower airways as well (described later). Other known triggers include nonsteroidal anti-inflammatory drugs (NSAIDs), aspirin, exercise, and stress can lead to bronchoconstriction and airway inflammation [15, 16].

In preclinical studies using a variety of antigens, asthma can be easily induced using antigens against ovalbumin, Der P1 (house dust mite allergen), or cockroach antigen. The use of the animal models to study the pathophysiology of asthma has been going on for years with measurable parameters including goblet cell hyperplasia, infiltration of lymphocytes and eosinophils, upregulation of cytokines such as IL-5 and IL-13, and increased deposition of extracellular matrix components [17, 18]. MSCs have been shown to attenuate many of these processes in asthma models, suggesting that they may have an important therapeutic niche [19, 20]. The issue with MSC therapeutics is the cell-based approach which in the current pharmaceutical explosion is not generally well accepted as a potential therapeutic tool for patients [21]. However, in a subset of patients that have been shown to be refractory to current medications including steroids, autologous or allogeneic MSCs maybe a viable approach [5, 22].

## Pathophysiology and MSCs Therapeutics

In asthma, clinically airflow limitation is recurrent, and due to numerous changes within the airway, including bronchoconstriction, airway edema, airway hyper-responsiveness, and airway remodeling [7, 15]. These changes are variable and can often overlap to produce symptoms on a continuum; these symptoms can be intermittent or persistent as well as be episodic with acute phenotype ultimately transitioning into components associated with chronicity.

The inflammatory response to asthma is due to an infiltrative cell response to a number of triggers and/or antigens. The most common infiltrative cell includes eosinophils if due to an allergen, but lymphocytes (specifically T-cell lymphocytes), neutrophils, and mast cells also play a role [23, 24]. The interplay between the innate immune response and the adaptive immune response is responsible for the phenotypic features of asthma [25]. Dendritic cells within the airway are the primary antigen-presenting cells in the lung [26]. There are multiple proposed mechanisms of action that initiate the immune response seen in asthma. At the epithelial cell level, toll like-receptors, or TLRs, and protease-activated receptors, or PARs interact with allergens or endotoxin within the lumen of the airway [27, 28]. The TLRs and PARs lead to increased thymic stromal lymphopoietin expression, which augments dendritic cell, mast cell, and eosinophil cell recruitment to the site of inflammation [29]. A second mechanism proposed includes dendritic cell direct sampling and interaction with bacteria, viruses, fungi, parasites, or enzymatically active antigens within the lumen of the airway and through extensions between epithelial cells [30]. Pattern recognition receptors, or PRRs, on the surface of the

dendritic cells recognize pathogen-associated molecular patterns, or PAMPs, on the surface of the stimulant, which in turn releases interleukins, tumor necrosis factor (TNF)- $\alpha$ , and interferons [31, 32]. These inflammatory mediators stimulate recruitment of eosinophils to the airways, as well as migration of dendritic cells to the lymph nodes, which stimulates a Th2 immune response. The Th2 helper T-cells from the lymph nodes will then play a major role in changes to the epithelium and blood vessels in asthma, based on which cytokines are released. Interleukin (IL)-4, IL-5, IL-9, IL-13, and TNF- $\alpha$  induce most of the features of asthma [33, 34]. Within the bronchial smooth muscle cell, these inflammatory factors promote bronchial hyper-reactivity characterized by both hypertrophy and hyperplasia of these cells, resulting in bronchoconstriction [35, 36]. Other inflammatory changes include goblet cell hyperplasia and hypersecretion of mucus into the small airways, epithelial cell damage, and activation/survival of eosinophils [37].

MSCs have been shown to suppress and redirect T-cell activity in a variety of models [38, 39]. In our own models, we have been able to demonstrate the effectiveness on decreasing IL-5 and eosinophil recruitment as well as T-cell activity [3, 20]. As a therapeutic for acute asthmatic episodes, it is clear that MSCs may provide some benefit; however, the sustainability of the clinical impact may not be reflected in defined benefit without periodic infusions. In addition, MSCs have been shown to alter dendritic cell activation with the potential of changing how the dendritic cell communicates the adaptive immune needs [40, 41]. Since the dendritic cells are responsible for activation as well as resolution of inflammation, the contribution of the MSCs maybe related to the ability to aid in redirecting the communicating signals of the allergic response toward tolerance instead of activation.

There are two phases of inflammation, an early phase response and a late phase response [42]. During the early phase response, IgE is formed from the interaction of T-cells and B-cells (more specifically plasma cells) in response to IL-4 and IL-13 [43]. The IgE then binds to and cross-links Fc $\epsilon$ RI receptors on mast cells and basophils. This interaction and cross-linking causes mast cell degranulation and release of multiple preformed mediators including histamine, proteases, proteoglycans, tryptase, and matrix metalloproteases, or MMPs [44, 45]. Other cytokines and chemokines are released from mast cells including IL-4, IL-5, granulocyte macrophage-colony stimulating factor (GM-CSF), TNF-alpha, transforming growth factor beta (TGF- $\beta$ ), eotaxin-1, macrophage inflammatory factor-1 alpha (MIP-1a), and macrophage chemoattractant protein-1 (MCP-1) [46, 47]. Basophils also express Fc $\epsilon$ RI receptors and degranulate after IgE cross-linking in a similar fashion to mast cells. They release histamine, eicosanoids, leukotrienes, IL-4, and IL-13 [48, 49]. During the late phase response, eosinophils are attracted by eotaxin and IL-5 to the site of inflammation to the lung and release many other proinflammatory markers including major basic protein (MBP), leukotrienes LTC4 and LTD4, eosinophil cationic protein (ECP), and platelet activating factor (PAF) [50–52].

MSCs have been shown to have an active role in downregulating proinflammatory mechanisms in a variety of animal models. The published literature supports MSC downregulation of MMPs, GM-CSF, TNF $\alpha$ , as well as shifting chemokine production [2, 4, 53]. In our research, we have demonstrated that MSCs have the

capacity to downregulate local cytokines to potentially decrease eosinophilic recruitment while increasing systemic cytokines involved in cellular recruitment associated with resolution of the inflammatory response [54, 55].

## **Treatment of Acute Asthma Exacerbation and MSCs**

The symptoms seen during an acute exacerbation of asthma are subjective shortness of breath, chest tightness, cough, and wheezing. These symptoms are due to bronchospasm and usually respond to bronchodilator therapy [56].

Treatment of acute asthma exacerbations targets relieving smooth muscle bronchoconstriction as well as decreased inflammation. The cornerstones of therapy for acute exacerbations include inhaled short acting beta-adrenergic agonist medication (SABAs) such as albuterol, levalbuterol, and historically pirbuterol, and systemic corticosteroid therapy [56]. The smooth muscle cells in the airway contain beta-2 adrenergic receptors that respond to beta-2 agonists. When the medication binds to the receptor, adenylate cyclase is activated and increases cyclic AMP, which produces functional antagonism of bronchoconstriction. Inhaled beta-2 agonists have a faster onset, less adverse side effects, and are more effective than systemic beta-agonists [57]. Other selective beta-2-agonists such as isoproterenol, metaproterenol, isoetharine, and epinephrine are not recommended due to excessive cardiac effects [58, 59]. Systemic corticosteroids are initiated for moderate to severe exacerbations as adjunctive therapy to SABAs to speed recovery and to prevent recurrence of symptoms in the near future [60]. They work by decreasing, controlling, and potentially reversing airway inflammation. Other adjunctive therapies include supplemental oxygen if hypoxemia is present, which may be utilized concurrently with inhaled anticholinergic medications such as ipratropium bromide, and systemic magnesium sulfate [61, 62].

Treatment of acute asthma with MSCs is suggested by preclinical studies and by others showing improvement in methacholine challenge responses as well as inflammatory outcome measures of the acute response to antigenic challenge [19, 63, 64]. The main issue with using MSCs as a therapeutic for treating asthma is the unpredictable nature of the acute response. In this case, it might be reasonable to suggest that in scenarios of uncontrolled asthma, whether it is atopic or nonatopic, infusions might need to be repeated to get a beneficial response of attenuating the overt acute response.

## **Chronic Asthma, Airway Remodeling, and MSCs**

If long-standing inflammation is continued within the small airways of asthma and unable to be repaired properly, remodeling can occur [65]. This process can be irreversible. Based on histologic and immunohistochemical specimens, the studies showed that airway remodeling included epithelial damage and detachment,

subepithelial fibrosis, increased myofibroblast proliferation and hyperplasia, increased smooth muscle mass and number, diffuse edema, goblet cell hyperplasia and hypersecretion of mucins, and proliferation of blood vessels, or angiogenesis [66, 67]. Underneath the basement membrane of the airway epithelium, a dense network of structural compounds is formed which includes fibronectin, proteoglycans, as well as collagen type I and type III [68–70]. As stated previously, the stimulus for airway remodeling is based on a Th2 inflammatory response and eosinophil chemotaxis. More specifically, eosinophils are produced in response to GM-CSF, IL4, and IL13 and they in turn produce profibrotic mediators, especially TGF- $\beta$  [71–73].

MSCs have been shown to decrease many of the components associated with remodeling, but what is the definition of “remodeling” [63, 74, 75]. In the context of asthma, it is excessive wound healing and the associated repair that can lead to scar formation. Depending on the mechanisms governing the scar formation MSCs can have an impact on the pathophysiology. In our own studies, MSCs are capable of reproducibly decreasing the scar associated with the competition between repair and remodeling. MSCs have the capacity to decrease the expression of collagen genes and change the activity levels of MMPs further contributing to the potential of altering the progression of chronic asthma-induced airway remodeling associated with excessive scarring [76–78].

## Chronic Asthma Control

The goal of chronic asthma control is to reduce impairment by preventing symptoms (coughing, breathlessness, exercise-induced shortness of breath and wheezing, etc.), reduce short-acting beta agonists (SABA) use, maintain normal activity level, and meet family and patient expectations and satisfaction with asthma care. This is accomplished as an outpatient, with assessment of lung function, assessment of subjective symptoms, as well as providing all patients with asthma action plans to organize current care and contingency plans if an exacerbation were to occur. The other goal of chronic control is to reduce future risk by preventing exacerbations and hospitalizations, preventing loss of lung function, and providing optimal pharmacotherapy with minimal adverse side effects. This is accomplished by emphasizing education to patients and families regarding trigger avoidance, medication adherence, and proper technique of technologic devices for better medication deposition.

Pharmacotherapy is used to control asthma symptoms and prevent exacerbations while improving quality of life. Long-term control medications include inhaled corticosteroids (ICSs), leukotriene modifiers, cromolyn, methylxanthines (theophylline), and immunomodulators [58, 59]. Because the basis of asthma severity is due to eosinophilic and lymphocytic inflammation, the most effective long-term therapy decreases inflammation. The addition to pharmacotherapeutic intervention in asthma is the role of cell-based therapeutics and the overall additional support they may bring to the regimens available for patients. MSCs have the potential of contributing therapeutically

**Table 1** MSCs as surrogate therapeutics in asthma

Pharmacotherapy	MSC impact
Long-Acting Beta Agonist/Inhaled Corticosteroids Note: LABAs not used as single agents to control asthma symptoms	MSCs have been found to be effective in the resolution of steroid-resistant GVHD [88]. The main mechanism remains unknown; however, previous literature suggests upregulation of regulatory T-cells, release of soluble factors, decreased and repair of damaged tissue. Other mechanisms include inhibition of T-cell proliferation [89]. Decreased inflammation in chronic asthma stimulated by OVA peptide, shown as decreased epithelial cell thickness, decreased smooth muscle thickness, decreased basement membrane thickness, and decreased goblet cell hyperplasia [90–92].
Leukotriene receptor antagonists	MSCs contain cysteinyl leukotriene receptors (LTRA) [83]; LTRA have been found to affect MSC differentiation and can contribute to local inflammation regulation. CysLT1 receptor antagonists inhibit the airway remodeling processes, including eosinophil trafficking to the lungs, eosinophil degranulation, T <sub>H</sub> 2 cytokine release, mucus gland hyperplasia, mucus hypersecretion, smooth muscle cell hyperplasia, collagen deposition, and lung fibrosis [93].
Mast cell modulators	Bone marrow-derived mesenchymal cells produce low level of thymic stromal lymphopoietin (TSLP) under steady-state conditions [84], which is markedly increased by stimulation with proinflammatory cytokines IL-1 and TNF or IgE-activated MCs [94]. MSCs suppressed MC degranulation, proinflammatory cytokine production, chemokinesis, and chemotaxis.

*GVHD* graft versus host disease, *LTRA* leukotriene receptor antagonists, *LABA* long-acting beta agonist, *IL-1* interleukin 1, *MSCs* mesenchymal stem cells, *MCs* mast cells, *TNF* tumor necrosis factor

in a similar manner to traditional pharmacotherapy (Table 1) [20, 41, 79–85]. Since MSCs have the ability to produce bioactive factors that can manipulate their micro-environment, they can be utilized to optimize the milieu for improved pharmacotherapeutic efficacy and potency [86, 87].

### ***Inhaled Corticosteroids***

The most effective of these medications are the inhaled corticosteroids which has been shown to reduce the severity of symptoms, improved quality of life, improved spirometry and PEF, reduced systemic steroid usage, reduced hospitalization, and most importantly reduced death due to asthma [95, 96]. Corticosteroids suppress the generation of cytokines, reduce eosinophil recruitment, and decrease the release of inflammatory mediators. Patients with mild or moderate persistent asthma that are treated with ICS vs. any other single agent showed improved prebronchodilator FEV1, reduced airway hyper-responsiveness, improved symptom scores, decreased exacerbation rates and symptom frequency, decreased usage of SABA, fewer courses of oral corticosteroids, and less hospitalizations.

The asthmatic population that should be a primary focus of MSC therapeutics is the group of patients that are refractory to corticosteroid therapy. Even with the caveats of cell-based therapeutics, the disproportionate cost of treating asthmatic patients who do not respond to conventional anti-inflammatory therapies makes delineation of the mechanism for glucocorticoid resistance an important field of asthma research. Unbiased cluster analysis indicates that asthma is a syndrome with a number of distinct phenotypes and 5–10% of asthmatics fall into this category of relative glucocorticoid insensitivity [5]. Novel treatments will consist of either developing new anti-inflammatory treatments targeting pathways aberrantly activated in these patients or of suppressing signaling pathways that attenuate glucocorticoid receptor function and thereby restoring glucocorticoid sensitivity such as might be gained with MSC therapeutics [92, 97]. MSCs have the capability of secreting a spectrum of soluble mediators which have the potential to alter a variety of pathophysiological outcomes associated with chronic unrelenting asthma either through improving airway flow or inflammation [1]. Conventional therapies combined with MSCs may provide a unique treatment profile with the potential of providing therapeutic impact [54, 98]. Further, additional add-on treatments using drugs directed against aberrantly expressed inflammatory pathways or mediators along with an inhaled glucocorticoid are likely to prove the most effective new therapies in the future.

### ***Long-Acting $\beta$ -Agonists***

Long-acting  $\beta$ -adrenergic receptor agonists (LABAs) are medications used in the control of chronic asthma symptoms and not acute asthma exacerbation management [96, 99]. MSC therapeutics may be beneficial in the setting of COPD since the MSCs secrete molecules that impact both SABA and LABA mechanisms. These studies obviously should be done in vivo prior to studies in humans. Studies have suggested that MSCs have the capacity to impact Beta-2 adrenergic signal transduction through their production of RANKL and potentially osteoprotegerin [2, 100]. MSCs can also be regulated by Beta-2-adrenergic signaling [100, 101]. Activation of the B2-AR signal in MSCs suppresses their osteogenic differentiation potential and modulates their chemokine expression for regulating the homeostasis of hematopoietic stem cells. Although not directly tested, it is possible that the MSCs not only may provide an alternative therapeutic for steroid resistant asthma but at the same time may provide a supplemental therapeutic to enhance LABA effectiveness.

### ***Leukotriene Receptor Antagonists***

One of the newer asthma controller medications is a leukotriene receptor antagonist such as BIIL 284, montelukast, and zafirlukast [102, 103]. This type of medications works in the arachidonic acid pathways of inflammation by blocking the

leukotriene receptors. Activation of the leukotriene pathway is through metabolic modification of arachidonic acid. In this pathway, arachidonic acids are metabolized to 5-HPETE by 5-lipoxygenase, and eventually metabolize to leukotriene A4, B4, C4, D4, and E4 [102]. These proteins promote vasoconstriction, bronchospasm, and increased vascular permeability and inflammatory cell recruitment. Montelukast (Singulair) blocks the action of leukotriene D4 (LTD4) and its secondary ligands LTC4 and LTE4 by antagonizing the CysLT1 receptor in the lungs and bronchi, which subsequently reduces bronchospasm and inflammation [6, 102, 104]. Another example of a leukotriene receptor antagonist is zafirlukast (Accolate) but is dosed twice daily and not used often [105, 106]. Zileuton (Zyflo) is an oral medication that works by inhibiting the enzyme 5-lipoxygenase [107]. Zyflo is available in two forms: the immediate release tablet is taken four times daily and the extended release tablet is taken twice daily. Overall, LTRA have shown improvement in lung function when used as monotherapy in adults and children greater than 5 years of age [106].

MSCs are anti-inflammatory, decreasing proinflammatory cytokines and increasing anti-inflammatory cytokines [53, 108]. Additionally, MSCs have been shown to decrease leukocyte migration due to suppression of chemokines and leukotriene B4 [109]. This enhances the immunomodulatory effectiveness of the MSCs supplementing the decreased expression of IL-1B, IL-6, TNF- $\alpha$ , and TSG-6 [110, 111]. Further the downregulation of PGEs and PGE2 are also part of the suppression process. Many of these anti-inflammatory effects are thought to be mediated through suppressing NF $\kappa$ B [112].

### ***Mast Cell Modulators***

Mast cell modulators act by blockade of chloride channels and stabilize the membrane on mast cells, thereby decreased mediator release and eosinophil recruitment [45, 113]. Cromolyn and nedocromil have been shown to provide between control of symptoms versus placebo in some, but not all clinical trials [59].

MSCs are a promising tool for the therapy of immune disorders; however, their efficacy and mechanisms in treating allergic disorders are less well defined [94]. Certainly mast cells and their degranulation products are important in the pathophysiology of the allergic disease [44, 45, 114]. MSCs have been shown to exert a cell-to-cell contact independent suppressive effect on mast cell degranulation through an increased production of prostaglandin E2 [84, 115]. Additionally, TGF B1 production from the MSCs in response to stimulation with factors such as IL-4 contributes to the attenuation of mast cell degranulation by downregulating FcER1 expression in mast cells [85, 116]. These potential activities of the MSCs imply their potential therapeutic efficacy in scenarios of allergy as well as anaphylaxis which may be concurrent with severe asthma [85].



## ***Immunomodulators***

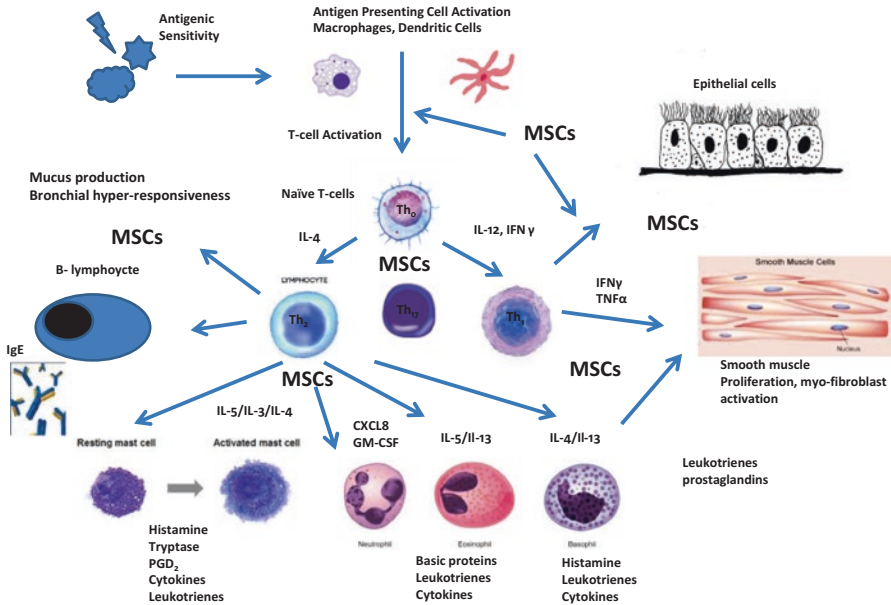
Many different pharmaceutical agents have been developed with the attempt to control asthma symptoms long term and spare using steroid-containing medications. There are many different types of immunomodulators, such as omalizumab (anti-IgE antibody), methotrexate, soluble IL-4, anti-IL-5 antibodies, recombinant IL-12, cyclosporin A, intravenous immunoglobulin (IVIG), clarithromycin [117–120]. Unfortunately, only omalizumab has been shown to be beneficial in the control on chronic asthma symptoms.

Omalizumab (Xolair) is a recombinant DNA-derived human monoclonal antibody against the Fc portion of the IgE antibody, which prevents the binding of IgE to a specific receptor (FcεRI) on mast cells and basophils [62, 121]. The goal is that in response to an allergen exposure, decreased IgE will result in decreased mast cell mediator production and release. Omalizumab has been shown to decrease FcεRI expression on basophils and airway submucosal cells decreased eosinophils in sputum, and decreased CD3+, CD4+, and CD8+ T-cells in bronchial biopsy [118, 122].

MSCs would also fall within the immunomodulatory group [76, 108, 123]. MSCs main therapeutic strength is the capacity to redirect the immune response to instituting resolution of the inflammatory phenotype whether it is redirecting the proinflammatory mediators associated with the chronic asthmatic lung or through redirecting the remodeling process [124–126]. The MSC capacity to downregulate LTB<sub>4</sub>, mast cell degranulation, and change the directionality of T-cell phenotypes and macrophages may provide enough preclinical data to support an investigator-initiated clinical trial to explore the use of MSCs to treat severe unrelenting steroid-resistant asthma. If clinical efficacy can be found in this population then it is reasonable to think that the greater asthma patient population could benefit from the MSCs therapeutics.

## **Summary**

MSCs have the capacity to provide a unique therapeutic intervention for asthma as outlined in Fig. 1 and summarized throughout this chapter. There is accumulated information for its potential impact on both the acute and chronic phases of the disease. In Fig. 1, we highlighted a simplified version of the sequence of events that occur in the initiation of the inflammatory profile associated with asthma and the capacity of the MSC to redirect the phenotypic response that is associated with this initiation of airway reactivity and inflammation [86, 109, 127]. As the inciting irritation continues, the asthma will take on a different phenotype associated with more of a chronic inflamed airway including lymphocyte redirection, activation of the airway smooth muscle cells, and goblet cell hyperplasia [6, 65, 84, 128, 129]. In the context of MSC therapeutics, literature has shown the capacity of stem cell therapy to attenuate both the remodeling aspects as well as the hyperplasia all which would improve lung function [3, 34, 85, 94, 130, 131]. All along the way there are points



**Fig. 1** Asthma and MSCs. A simple outline of the pathophysiological mechanisms associated with asthma and the areas which MSCs may intervene in the disease process. The initiation of asthmatic pathophysiology starts a cascade which in its earlier phases may benefit from the protective effects of the MSCs. The earlier activation and sustained changes in the airway epithelium and smooth muscle cells also have the capacity to benefit from the immunomodulatory effect of the MSCs. Because asthma is associated with dysregulated immunity, MSCs have been shown to impact a variety of immune cell functions emphasizing the multifaceted therapeutic potential of the MSCs in scenarios like asthma. In all, the mechanistic approaches to asthma therapy may include MSCs utilizing their potential as an adjunct or in some cases an “instead” of therapeutic with the potential of ameliorating the pathophysiological mechanisms of airway disease associated with asthma

at which MSCs have the capacity to impact the outcome depending on the severity and duration of the disease. Future studies will outline the specifics of MSC therapeutics in this disease setting.

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# Mesenchymal Stem Cell Treatment in Mice Models of Systemic Lupus Erythematosus

Hulya Bukulmez

## Introduction

Systemic Lupus Erythematosus (SLE) is a chronic autoimmune inflammatory disease in which vascular inflammation causes devastating organ damage such as end-stage renal disease, cardiovascular disease, and myocardial infarction [1]. Severe vasculitis in SLE results from inflammatory process affecting all type of vessels causing a diverse clinical spectrum of organ damage [2]. Current available therapies are mostly toxic and are not efficient in controlling disease progression. Innovation of nontoxic cellular therapies that target both, the vascular wall and the immune responses within the local microenvironment, is needed.

The control of the immunological deregulation and repair of the vascular integrity to prevent fatal organ damage (i.e., end-stage kidney disease) are keys for a successful treatment. Currently available chemotherapy options are toxic and are not efficient in controlling inflammation and vasculitis. There is a considerable amount of data showing that Mesenchymal Stem Cells (MSCs) have potent immunoregulatory properties, and therefore, they are an attractive alternative as a treatment for human diseases where tissue injury and/or inflammation dominate [3–23]. Exogenously introduced human MSCs (hMSCs) do not cause adverse effects, providing a remarkable safety and feasibility profile in clinical trials [24–29]. However, hMSCs' clinical efficacy remains an unresolved issue due to the variability of the results across different studies, which is attributed, to both the donor-to-donor variability, and to the cellular heterogeneity of MSC cultures.

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## Nature of Immune Dysregulation in SLE

SLE is characterized by abnormalities in cellular and humoral autoimmunity. Pathogenic T-cells and B-cells recognize self-antigens resulting in immune hyperactivity and autoantibody production that culminates in a multisystem chronic inflammatory disease. Unfortunately there is still no uniformly effective treatment targeting both cellular and humoral autoimmunity for SLE. Many therapies targeting constituents of cellular or humoral immune system fail to induce persistent remission in disease activity in multicenter clinical trials. In order to design a new treatment that can control the cellular and innate immune activation and regenerate the damaged organs during active SLE, understanding of an immune dysregulation is necessary. Animal models of SLE have been very instrumental in understanding the highlights of the immune dysregulation in SLE etiopathogenesis which consist of abnormalities in CD4+ and CD8+ T-cells, dendritic cells (DC), B-cell overproduction of autoantibodies, T regulatory (Treg) cell dysfunction, and overproduction of Type I interferons ( $-\alpha$ ,  $-\beta$ , and  $-\gamma$ ).

1. **Abnormalities in T-Lymphocyte and Natural Killer (NK) Cells:** There is evidence for a reduced numbers of CD8+ T-cells, functional defects, and sustained activation. Decreased numbers of T, B, and NK cells are common in SLE. CD8+ T-cells and NK cells have decreased cytotoxic activity. These two cell populations increase IgG production in lupus patients, which has been attributed partly to defective production of transforming growth factors beta (TGF- $\beta$ ) and a reduced production of several other cytokines by T-cells. There is a general inability of TGF- $\beta$  production, which in return accounts for sustained T- and B-cell hyperactivity and reduced Tregs activity and numbers [1, 19, 24]. Restoration of T-cell functions is important for disease control. Tregs consist of heterogeneous populations of CD4+, CD8+, and NK cells. In both human patients with SLE [24, 30] and in lupus-prone mice model [31] CD4+ CD25+ Foxp3+ Tregs are reported to be decreased during disease activity. There is strong evidence that when Tregs are increased SLE disease activity can be altered [24]. However, regulation of Tregs does not seem to be the only mechanism that could suppress the SLE disease activity by MSC treatment. CD4+ T helper cell subset (Th17 cells) are also increased in SLE in response to IL-17 activation. Blockage of IL-17 has also been suggested as a new treatment option [31, 32].
2. **Abnormalities in B-lymphocytes and their action:** The hallmark of SLE is the production of an array of IgG and IgM autoantibodies directed against one or more nuclear components, the most frequent of which are double-stranded (ds) DNA and/or single-stranded (ss) DNA. Both anti-ssDNA and anti-dsDNA are involved in disease development. Lupus-like autoimmunity can ensue due to B-cell hyperactivity, with either minimal or no contribution from T-lymphocytes.
3. **Abnormalities in cytokines:** (a) Reduced production of IL-2; (b) decreased production of TGF $\beta$  [33]; (c) high expression of IFN- $\gamma$  [34, 35]; (d) increased IL10

production by blood B-lymphocytes. IL-10 is a potent stimulator of B-lymphocyte proliferation and differentiation; (e) increased IL4 production [36–38]. IL-4 promotes B-lymphocyte proliferation and thus enhances the production of autoantibodies.

4. Abnormalities in the complement system: Complement deficiency is responsible for about 5 % of all lupus patients, but at least 50 % of patients with homozygous deficiencies of the early classical complement pathway develop a lupus-like disease. The increased susceptibility to SLE, associated notably with C1q, C1r, C1s, and C1 deficiency which is due to immune complex clearance impairment. C1R which binds C3B and C4B is decreased in lupus patients and levels correlate with disease activity [39].
5. Abnormalities in phagocytes: There is defective clearance of the immune complexes due to abnormal phagocytosis.
6. Abnormalities in Type I Interferons: There is overproduction of type I interferons (INF). Type I interferons regulate dendritic cell (DC) maturation into immunogenic antigen-presenting cell (APCs). APCs contribute to B-cell hyperactivity and induce a Th1 response and in return maintain T-cell activation. Type I interferon overproduction partially explained the deficiency in Tregs. It is suggested that an imbalance between the immunogenic and tolerogenic DCs during SLE activity limits the expansion of Tregs. The remaining Tregs are not sufficient to overcome the strong T-cell activation [40].
7. Abnormalities in Dendritic Cells (DC): DCs play a pivotal role in determining the balance between responsiveness and tolerance in the immune system [41]. While persistence of host DCs following bone marrow transplantation correlate with the development of severe, acute, and chronic GVHD [42–44]. Persistent, chronic DC activation leads to autoimmunity [45]. In SLE, immune complexes induce interferon- $\alpha$  secretion from plasmacytoid dendritic cells that stimulates the myeloid dendritic cells to further activate T- and B-cells. Thus, any therapy that alters the DC activation might alleviate the autoimmune activity in SLE [45]. BAFF (B-cell survival factor) blockage suppresses DC maturation and has been shown to be a valid therapeutic target in SLE [46]. MSCs have been shown to decrease the DC secretion of proinflammatory cytokines such as INF- $\gamma$ , IL-12, and TNF- $\alpha$  while increasing the production of IL-10, an immune suppressive cytokine in vitro. Thus, infusion of MSCs could be very successful in balancing the autoimmunity via their effect on DCs and indirectly increasing Tregs in SLE. In summary, current knowledge suggests that pathogenic T-cells that recognize self-antigens drive B-cell hyperactivity and play a central role in the pathogenesis of both human and murine lupus. When cellular components of the immune system are further dissected and analyzed, we come across with intriguing details and learn new information of cellular and humoral immunity.

## Role of MSC Treatment in Immune Regulation of Autoimmune Diseases

There is considerable amount of data showing that exogenously introduced MSCs isolated from a variety of tissues have potent immune-regulatory actions without any observed adverse effects allogeneic or autologous [19, 24, 47–49]. These attributes make MSCs an attractive alternative for use in the treatment of human diseases, in which tissue injury and/or inflammation dominate. There are a number of publications that provide evidence that MSCs tend to home to sites of inflammation or tissue injury when infused into living organisms [50–54]. In this context, human MSCs (hMSCs) have been shown to be curative in a number of preclinical models and in human autoimmune inflammatory diseases such as SLE, scleroderma, and rheumatoid arthritis [30, 52, 54].

## Potential Mechanisms of Action of MSCs in SLE Treatment

MSCs possess a collection of immunosuppressive molecules, which can be locally positioned, secreted to modulate inflammation according to the microenvironmental stimuli:

- (a) MSCs have the ability to shift the balance from a proinflammatory Th1 phenotype-secreting interferon gamma ( $\text{INF-}\gamma$ ) and tumor necrosis factor alpha ( $\text{TNF-}\alpha$ ) to a more anti-inflammatory profile-secreting Th2 phenotype. MSCs also modulate Th17 differentiation in favor of IL-4-producing Th2 cells [52].
- (b) MSCs decrease  $\text{INF-}\gamma$  production in vitro by T-cells subjected to Th1 cell-polarizing conditions [55]. hMSCs are able to modulate the cytokine-production profile of (in vivo) differentiated Th17 cells, as well as the production of the IL-17 and IL-22 [55]. MSCs promote the generation of antigen-specific Tregs in vitro directly or indirectly by modulating dendritic cells (DCs) [52].
- (c) MSCs activate macrophages, down-regulating the production of  $\text{TNF-}\alpha$ , IL-1 $\alpha$ , IL-6, and IL-12p70 and increasing the production of anti-inflammatory molecule IL-10 and enhancing the phagocytic activity facilitating the resolution of inflammation [52].
- (d) MSCs can interfere with the development and function of both conventional and DCs [52].
- (e) Infused MSCs taking up the perivascular space behaving as pericyte-like cells could stabilize fragile vasculature observed in SLE vasculitis.

One key element of the possible effect of MSCs in SLE is that once MSCs enter the inflammatory environment in SLE-affected organs, their immune-modulatory phenotype could become activated by  $\text{INF-}\gamma$ ,  $\text{TNF-}\alpha$ , and IL-1 $\beta$ . Furthermore, it has been shown that MSCs are chemotactically drawn toward a variety of wound-healing

cytokines in vitro, including IL-1 and TNF- $\alpha$  [56]. These data suggest that MSCs or endogenous cells resembling MSCs are likely to migrate to and participate in the response to tissue injury.

Two different types of MSCs have been described based on a differential immune-modulatory activity. These two types of MSCs (type 1 and type 2) trigger completely opposite responses based on the microenvironmental cues they sense in their corresponding locations (if such different locations exists), generating a pro- and anti-inflammatory effect, respectively [57, 58].

Interestingly, the phenotype diversification is acquired after treatment with certain “danger signals,” such as lipopolysaccharide (LPS) and polyinosinic:polycytidylic acid (poly(I:C)), MSCs change their surface toll-like receptors (TLRs) and start different immune-modulatory activities, proinflammatory (MSC-1) or anti-inflammatory (MSC-2) [58]. This description is based on how these two populations sense the inflammatory environment and secondarily secrete immune-modulatory factors. Dependent on the danger signal that MSCs are exposed to, they express different cell surface markers and induce different signaling pathways. When already programmed/primed to induce certain pathways, MSCs or their subsets might provide better immune regulation and anti-inflammatory effects during autoimmune disease activity.

## Mouse Models of SLE

### *NZB/NZW F1 and Mixed Derivatives*

Mice from the first generation of cross between the strains New Zealand Black (NZB) and New Zealand White (NZW), known as NZB/NZW F1, develop human lupus-like syndrome characterized by lymphadenopathy, splenomegaly, elevated antinuclear antibody titers, and immune-complex-mediated glomerulonephritis mostly in females [59, 60]. NZB/NZW F1 mice are a widely used animal model for lupus; they mimic human lupus in several aspects including gender specificity, the appearance of circulating anti-dsDNA antibodies, renal deposition of immune complexes, and the development of fatal glomerulonephritis. They do not develop skin disease or hematologic manifestations and thus have been used primarily to study SLE nephritis. NZB/NZW F1 mice show development of clinical nephritis evident by proteinuria at a median age of 37 weeks and demonstrate the long-term presence of autoantibodies, thus representing a model of chronic lupus disease and die by the age of 52 weeks (1 year).

NZB/W F1 mice develop autoantibodies that also include antibodies against chromatin, histone H1, histone H2A, anti-Ro, -La, and -Sm, which all are also characteristic of human SLE except for antibodies for U1-snRNPs [61]. Neither of the parent strains develops overt pathology, although NZB mice develop mild autoimmune hemolytic anemia [62].

In order to search for causal loci in this model of SLE, investigators backcrossed NZB/NZW F1 mice to NZW, then used brother–sister matings to generate 27

substrains, which were called New Zealand mixed (NZM) mice [63]. Among these 27 substrains, NZM2410 was selected for further analysis because of the complete penetrance and severity of its pathology. Congenic mice derived from NZM2410 have shown the polygenic loci that were linked to different disease manifestations. B6.Sle1, a congenic strain on the C57BL/6 background which contains chromosome 1 derived from NZM2410, develops autoantibodies against subnucleosomes, and shows spontaneous T-cell activation, but no kidney involvement [64]. Another congenic strain, B6.Sle2, derived from chromosome 4, displays low B-cell activation that results in polyclonal IgM in the serum and absence of glomerulonephritis [65]. Interestingly, combining the two loci resulted in glomerulonephritis and enhanced mortality compared with the single congenic strains alone [66].

### ***B6.SLe123***

B6.Sle123 mice that possess three SLE susceptibility loci spontaneously develop highly penetrant severe systemic autoimmunity and fatal glomerulonephritis beginning at 6 months of age. Young mice are yet to develop autoimmunity but when treated with IFN $\alpha$  quickly developed renal immune complex deposition and nephritis. They also developed increased serum levels of proinflammatory cytokines such as TNF $\alpha$  and IL-6, activation of DCs, B-cells, and T-cells [67]. Interestingly, renal leukocyte infiltration was not affected by IFN $\alpha$  treatment [67].

### ***MRL/lpr***

MRL mice were derived from multiple crosses of inbred strains LG/J, C3H/Di, C57BL/6, and AKR/J [59]. A spontaneous mutation causing lymphoproliferation (lpr phenotype) was identified as a retrotransposon insertion that disrupts the Fas gene [68, 69], the gene that encodes the FAS death-inducing receptor that regulate and maintain number of lymphocytes. MRL/lpr animals demonstrate B-cell hyperactivity, circulating immune complexes, lymphoid hyperplasia, and glomerulonephritis [59] and present a severe form of SLE. It is suggested that presence of lpr mutation enhances disease severity, by inducing systemic lymphoproliferative disease and the mouse model was also suggested to be used studying autoimmune lymphoproliferative syndrome [70].

MRL/lpr mice produce a wide range of autoantibodies; antibodies against DNA [59], nucleosomes [71], RNA polymerase [72], cardiolipins [73], nucleolins [74], phospholipids [75], and antigens of brain [76]. However, it has been debated about how much the autoantibodies found in this mouse model induce disease activity. An example to this is the failure of MRL/lpr-derived anti-DNA antibodies inducing glomerulonephritis when injected into healthy control mice [77]. Furthermore, a particular mutant

MRL/lpr mouse B-cells were failed to secrete antibodies but did develop nephritis [78]. Thus, autoantibodies may not be the only responsible pathogenesis for nephritis. Another study showed that possibly TLRs are also responsible from disease onset in MRL/lpr mice, because a TLR7/TLR9 double mutant found to be protective against glomerulonephritis and autoantibody production [79]. Female mice develop higher serum IgG levels and increased ANA titers at 2–3 months of age [59] and show neuropsychiatric component of SLE [80].

Multiple cytokines have been linked to disease in MRL/lpr mice, including IFN $\gamma$  [81, 82], IL-6 [83, 84], IL-1 $\beta$  [85, 86], and IL-18 [87, 88]. Regulatory or protective roles have been suggested for IL-10 [89] and IL-27 [90]. The humoral response in MRL/lpr mice is subject to regulation by IFN-I, which reduces antibody-mediated disease [91, 92], whereas IL-21 produced by activated T-cells drives autoantibody production [93]. A number of the regulatory mechanisms involved remain unclear and warrant further investigation using the MRL/lpr mouse model but has been widely utilized as a SLE mouse model.

## MRL/MPJ Mouse Glomerulonephritis

### *BXSB.Yaa*

The BXSB strain is derived from a C57BL/6 female and SB/Le male F1 backcrossed to SB/Le. BXSB manifests with hypergammaglobulinemia, high titers of serum anti-retroviral gp70 IgG, ANAs, secondary lymphoid tissue hyperplasia, and immune complex-mediated glomerulonephritis, which leads to death [94]. Males develop SLE more frequently, earlier and with increased severity than females [94, 95]. It is suggested that genetic disease-accelerating factor resides in the SB/Le Y chromosome. This genetic factor has been called as the Y-linked autoimmune accelerator (Yaa) [94]. NZW, MRL, and Sle1-3 lupus-susceptible strains all demonstrate exacerbated disease when they contain the BXSB Y chromosome [66, 96, 97]. Fc $\gamma$ RIIB-deficient mice, which also develop spontaneous SLE-like disease [98], undergo a switch of autoantibody specificity from chromatin to nucleolar in the presence of the Yaa modifier [99]. The Yaa does not, however, induce autoimmunity on the C57BL/6 background. Thus, the Yaa genetic modifier is called an accelerator because by itself it does not initiate disease. However, it augments the severity in lupus-prone genetic backgrounds [100]. The Yaa is now known to be a 4-megabase translocation of the distal end of the X chromosome onto the pseudo autosomal region of the Y chromosome, which results in the duplication of over a dozen genes [101]. TLR7 is one of these dozen duplicated genes and is necessary for Yaa-mediated disease exacerbation: When TLR7 is deleted from the X chromosome abrogates Yaa-induced lupus phenotype [102]. TLR7 activation has been shown to affect antibody production by B-cells, inflammatory production by monocytes, and antigen presentation by dendritic cells [101].



## ***NZW/BXSB Mice***

*NZW x BXSB F1 (W/BF1)* male mice develop systemic lupus-like disease activity, and several autoantibodies, circulating immune complexes, vasculitis, and lupus nephritis. There is an abnormally high incidence of degenerative coronary vascular disease and thrombocytopenia because platelet-associated antibodies and antiplatelet antibodies W/BF1s. Disease manifestations start at 10 weeks of age with 50 % mortality by 20 weeks. W/BF1 mice develop end-stage kidney disease, autoimmune vasculitis, and secondary organ damage resembling human SLE disease [103, 104]. W/BF1 SLE mouse model provides a platform to study not only SLE-like disease but also autoimmune vasculitis.

Male W/BF1 mice carry two active copies of the TLR7 gene which in return cause development of anti-RNA and antiphospholipid autoantibodies. Female mice carry a single active copy of TLR7. Thus, they develop late onset nephritis, but not antiphospholipid syndrome. Male W/BF1 develops severe organ involvement such as severe inflammatory nephritis and antiphospholipid syndrome with thrombocytopenia, myocardial infarcts, and cardiomyopathy. Current understanding is that the inflammatory process in these mice is mainly due to interferon- $\alpha$  signaling. When treated prophylactically with anti-IFN  $\alpha$  receptor antibody, survival of these mice is prolonged. IFN $\alpha$ -induced effects follow a significant increase in activated B- and T-cells in the spleen.

## **C57BL/6 Derivatives: Knockout and Transgenic Models**

These mice have been generated in the C57BL/6 (B6) strain background, which does not develop spontaneous SLE unless they are induced by certain monogenetic mutations [105]. Some examples of these SLE mouse models are the one that show deficiencies in genes that prevent excessive lymphocyte activity or proliferation (i.e., Fc $\gamma$ RIIB, Lyn, Fyn, CD22, PD-1, CD45 E613R, p21, and Bcl2 Tg). These genetic deficiencies may induce spontaneous activity of SLE-like disease in B6 strain.

## **MSC Treatment of SLE Mouse Models**

Since MSCs have been found to be anti-inflammatory in human clinical trials of graft-versus-host disease attempts to use these cells in other inflammatory diseases have been increased. Initially, in preclinical trials mouse models of SLE were treated with MSCs from different sources (Table 1).

Most of these preclinical trials show favorable outcomes for mice regardless of the sources that MSCs are derived. In general, current understanding is that MSCs are able to suppress the SLE-like disease in mice and have immune regulatory

**Table 1** Table for journal references that describe SLE mouse model MSC treatments

SLE mouse model	Syngeneic	Allogeneic	Xenogeneic (human MSC into mouse)
MRL/lpr	[77]	[19, 107, 114]	[19, 106, 113, 114]
(NZB/NZW)F1	[111, 112]	[114, 115, 119]	[114, 115, 120]
B6.Sle1.Sle3	[116, 117]	[118]	[118]

effects when they enter to the mouse with SLE disease activity. One exception to this is perhaps that the mouse MSCs driven from SLE mouse models. MSCs isolated from animals affected from SLE-like disease (SLE models) show limited anti-inflammatory activities as compared to the one isolated from healthy control animals *in vitro*.

### *MRL/lpr Mice*

Mechanisms of SLE nephritis in this model are suggested to be mostly due to Fas-mediated apoptosis of active lymphocytes and T-cell-dependent production of autoantibodies that result in glomerulonephritis and severe vasculitis. Proinflammatory cytokines such as monocyte chemoattractant protein-1 (MCP-1) and high-mobility group box chromosomal protein 1 (HMGB-1) that are known to play roles in lupus nephritis were found to be decreased after xenogeneic (human umbilical cord-derived MSCs) and allogeneic (mouse bone marrow derived) MSC transplantation in MRL/lpr mice [19]. In addition, more recent studies demonstrated the efficacy of the multiple dose treatments in intervals in this model. Clinical and pathologic findings of lupus nephritis improved more when multiple injections of umbilical cord-driven MSCs were injected [106]. Suppression of HMGB-1 was particularly interesting since this molecule has been correlated with SLE disease clinical activity in human.

Dose effect of MSCs was also suggested in another study that mainly investigated the G1/S cell cycle transition of T-cells [107]. When allogeneic MSCs transplantation has been used it was shown that G1/S transition of the abnormal lupus T-lymphocytes was inhibited while expression of cell cycle regulatory proteins p21WAF1/CIP1 (suppress CDK2) and p27Kip1 was increased. Expression of cyclin-dependent kinase 2 (CDK2) was decreased. While high-dose MSCs ( $0.2 \times 10^6$  per 10 g of body weight) treatment had an inhibitory effect on G1/S transition of the abnormal lupus T-lymphocytes, low-dose MSCs ( $0.05 \times 10^6$  per 10 g of body weight) were not shown to make any changes. In addition, PI3K/Akt/GSK3 $\beta$  signaling pathway that plays a role in regulating lupus lymphocyte proliferation was also found to be inhibited when high-dose MSCs are used to treat MRL/lpr mice [107]. Low-dose allogeneic MSC treatment did not show improvement of the proteinuria and autoantibody production.

Using different tissue-derived MSC treatments in MRL/lpr mice did not show remarkable differences in anti-inflammatory effects and clinical outcomes [47].

In other words, MSCs were anti-inflammatory regardless of their originated tissue types. Most studies agreed upon the higher amount of MSC numbers to be used for increasing the efficacy of the treatments rather than suggesting a particular MSC tissue source.

More recently, efforts to make MSCs more potent and disease targeting showed enthusiastic results. MRL/lpr mice are known to develop spontaneous skin inflammation, dermatitis. In a recent study, dermatitis in the MRL/lpr mice was treated with adipogenic MSCs that overexpressed CTLA-4 Ig. Both human adipose tissue-derived MSCs and those overexpress CTLA-4 Ig were used to treat MRL/lpr mice and were found to be effective in preventing lupus dermatitis development [108]. MSCs with CTLA-4 Ig overexpression were found to be more effective as compared to those without gene overexpression.

Besides their anti-inflammatory effects in chronic diseases with active SLE-like disease models, MSCs are found to suppress acute inflammatory reactions such as those seen in rejection reactions (i.e., graft versus host disease). Thus, MSCs were investigated in transplant medicine for their effect in controlling the inflammatory reaction in GVHD. A recent project report demonstrated that transfusion-associated graft versus host disease in MRL/lpr mice model was suppressed when a combination of MSCs and hematopoietic stem cells (HSCs) was used together in a ratio of 5:1 (HSC:MSC). MRL/lpr mice also gained steady body weight and improved renal functions when compared to mouse transplanted alone with HSCs [109].

### ***(NZB/NZW)F1 Mice***

Earlier studies resulted in conflicting reports of effectiveness of MSC treatment in (NZB/NZW) F1 mice. In general, it is suggested that both allogeneic and xenogeneic MSC transplants diminished disease activity but did not completely stopped disease progress in this SLE mouse model.

Carlucci et al. [110] reported that there was improvement of the renal function when allogeneic bone marrow MSCs from C57/B6 mice were given to (NZB/NZW) F1 mice without any improvement in antibody production. It was suggested that allogeneic BM-MSCs affect B-cell receptor-dependent activation of both follicular and marginal zone B-cells from (NZB/NZW) F1 mice and this inhibitory effect was found to be IFN $\gamma$  and cell contact dependent. However, allogeneic MSCs did not affect the production of autoantibodies, the level of proteinuria, or survival rates. Subsequently, another study by Youd et al. showed that MSCs driven from BALB/c mice bone marrow also showed no impact on disease activity in (NZB/NZW) F1 mice [110].

Furthermore, there are concerns whether syngeneic MSC treatments are as good as allogeneic MSC treatments. There have been several reports suggesting impaired MSC function when derived from lupus mice and lupus patients suggesting that syngeneic MSC treatment may not be optimal for transplantation [111, 112]. Effect of the active inflammatory disease on the bone marrow MSCs is largely unknown. MSCs isolated from human who has a particular chronic disease may not be efficacious as compared to the healthy human BM-driven MSCs.

Recent studies shed more light into the mechanisms of human bone marrow-derived MSCs in tissue cellular inactivation. When human bone marrow-driven MSCs were injected to (NZB/NZW)F1 mice the amount of follicular helper T (Tfh) cells decreased and diminished immune complex formation which are both responsible from trigger of widespread inflammatory damage including nephritis [113].

Differential effects of syngeneic, adipogenic, and allogeneic MSCs were tested in a study. Where, adipogenic stem cells (ASCs) from NZB/NZW F1 mice (syngeneic), BALB/c mice (allogeneic), or humans (xenogeneic) were isolated and tested on NZB/NZW F1 mice treatment. The same study also assessed the effect of transplanting human ASCs overexpressing CTLA-4 Ig. The results showed that regardless of the source of ASCs used there was improvement of (NZB/NZW) F1 survival rate about 6–7 weeks. Interestingly, the strongest humoral immune response was observed to be induced by xenogeneic transplantation, followed by allogeneic, CTLA4Ig-xenogeneic, and syngeneic transplantations.

*Comparison of MRL/lpr and (NZB/NZW) F1:* In a study of the differential effect of allogeneic versus syngeneic, mesenchymal stem cell transplantation was assessed in both MRL/lpr and (NZB/NZW) F1 mice models of SLE. In both mice, the MSCs driven from C57/B6 mice bone marrow (allogeneic) improved SLE-like disease. Splenic CD3+CD4+T-lymphocytes and CD19+CD21+B-lymphocytes have been found significantly decreased after treatment. However, when older (NZB/NZW) F1 mouse MSCs were used in these models there was not much improvement in the splenic cell counts or glomerulonephritis [114]. The end result of this study suggested that MSCs from (NZB/NZW) F1 mouse may not be as effective as allogeneic MSCs from healthy mouse due to the disease effect on MSCs but not necessarily due to an intrinsic pathology. It has been found that in both xenogeneic and allogeneic MSC treatment SLE-like disease improved because of CD4+T-cell and naïve mature B-cell number decrease [115].

### ***B6.Sle1.Sle3***

The lupus mouse model, B6.Sle1.Sle3 injected with human kallikrein transduced MSCs (hKLK1-MSCs) showed diminished clinical and histopathologic findings of lupus nephritis. The mechanism for pathologic improvement was attributed to suppressed macrophage and T-lymphocyte infiltration into the kidney by suppressing the expression of inflammation cytokines. In addition, hKLK1 transduced MSCs were found to be more resistant to oxidative stress and induced apoptosis. These findings suggest genetically modified MSCs might be valuable for gene delivery and targeting to modulate inflammation and oxidative stress in lupus nephritis. Another mouse model called 129/svj mice which develop nephritis after anti-GBM antibody induction was transplanted with human kallikrein transduced murine mesenchymal stem cells and showed improved pathology and clinical manifestations [116].

In a recent study, possible deficiency of MSCs self-renewal abilities was tested. Pre-B-cell leukemia homeobox 1 (Pbx1)-d is a dominant-negative splice isoform of the gene Pbx1 that corresponds to the NZM2410 lupus susceptibility locus Sle1a1.

Pbx1 is necessary to maintain stem cell self-renewal. MSCs show immunosuppressive functions when they are successfully maintained. It was shown that Sle1a1 MSCs express high levels of Pbx1-d as compared with congenic C57BL/6J (B6) MSCs. Sle1a1 MSCs grew faster and differentiate significantly more rapidly into osteoblasts. There was an increase in the expression of genes associated with differentiation. Sle1a1 MSCs expressed a gene expression profile associated with an enhanced innate immunity and inflammation. This study highly suggested that Pbx1-d isoform expression causes defective MSC immune suppressive effect and promotes a proinflammatory environment [117].

Using the mouse models of SLE questions whether MSCs related with SLE etiology have been investigated. It is suggested that bone marrow-derived MSCs from lupus-like mice and SLE patients had an impairment in suppressing normal B-cell proliferation and differentiation, which was attributed to the decreased levels of CCL2. When CCL2 is overexpressed in MSCs their B-cell suppressive abilities were found to be restored. MSC-mediated B-cell inhibition was suggested to be dependent on MMP proteolytic processing of CCL2 [118].

## Conclusions

To date in many SLE mouse models treated with MSC treatments at least a partial remission or regression of disease manifestations has been shown. Allogeneic or xenogeneic MSC treatments showed more favorable outcomes as compared to autologous MSC treatments. Furthermore, MSCs with particular gene overexpression have been more successful in controlling specific disease manifestations such as SLE dermatitis.

MSC therapies may provide us a novel approach to human SLE nephritis which may be at the level of end-stage organ failure in 20% of the individuals affected with disease. Although we still do not understand the immune pathogenesis of SLE in human, there is enough evidence from SLE mouse models that MSCs regulate the abnormal immune activation in SLE. It is likely that human SLE manifestations can also be controlled with allogeneic human MSC treatment. Human MSC clinical trials need to be performed in advance for better understanding of MSCs effects in human SLE.

Table 1 shows the citations in this chapter for the syngeneic, allogeneic, and xenogeneic MSC treatments on different SLE mouse models.

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# Anti-inflammatory Effects of Adipose-Derived Stem Cells (ASCs)

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## Abbreviations

ASCs	Adipose-derived stem cells
IL-1Ra	Interleukin-1 receptor antagonist
IL-6	Interleukin-6
IL-10	Interleukin-10
TGF- $\beta$	Transforming growth factor- $\beta$
TNF- $\alpha$	Tumor necrosis factor- $\alpha$
IFN- $\gamma$	Interferon- $\gamma$

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Th	Helper T
Tregs	Regulatory T cells
BALF	Bronchoalveolar lavage fluid
COPD	Chronic obstructive pulmonary disease
MIP-2	Macrophage inflammatory protein-2
G-CSF	Granulocyte-colony stimulating factor
GM-CSF	Granulocyte-macrophage-colony stimulating factor
PD	Parkinson's disease
AD	Alzheimer's disease
MCP-1	Monocyte chemoattractant protein-1
BDNF	Brain-derived neurotrophic factor

## Adipose-Derived Stem Cells

What was once considered merely an energy reservoir or structural cushion is now known as a complex endocrine organ mediating energy homeostasis, feeding, and immune surveillance. Even more interesting, adipose tissue, or fat, is emerging as an abundant source of cells with regenerative capabilities [1, 2]. The potential for regeneration is attributed to the heterogeneous composition of cells including the adipocytes, or fat cells, and the cells of the stromal vascular fraction (SVF). Once the harvested fat is digested, the composite of released cells is known as the SVF. The SVF contains several cell types, namely, adipose stromal cells (ASCs; 15–30%), endothelial cells (10–20%), pericytes (3–5%), and immune cells (25–45%) [3, 4]. The ASCs have been the focus of much attention due to their multilineage differentiation potential, immune privileged status, regenerative properties, and immunomodulatory effects. ASCs efficiently differentiate into mesodermal lineage cells. They have demonstrated the ability to differentiate along adipogenic, osteogenic, chondrogenic, and myogenic lineages. ASCs appear to be immune privileged due to their lack of expression of MHC class II molecules and costimulatory molecules [5, 6].

ASCs have highly comparable properties and therapeutic effects to bone marrow-derived mesenchymal stem cells (BMSCs); however, ASCs offer distinct advantages [7, 8]. Compared to the procedures to obtain BMSCs, the ease of harvesting fat by liposuction of subcutaneous adipose tissue can be in large volumes with minimal risk. Moreover, fat contains a higher frequency of ASCs, yielding 100–500 times more cells per tissue volume [2, 9]. Similar to BMSCs, ASCs can be culture expanded once isolated from associated tissue utilizing the characteristic plastic adherence capability. ASCs have a comparable spindle-like morphology, are multipotent, can self-renew for clonal expansion, and express a unique cell surface profile indicative of mesenchymal stem cells. ASCs have demonstrated potent immunomodulatory, angiogenic, and anti-inflammatory qualities which make them attractive candidates for therapeutic use [1–3, 5].

## **Adipose-Derived Stem Cells Mediate Anti-inflammatory Effects**

The therapeutic properties of ASCs are multifaceted which makes them ideal candidates for comprehensively treating various disease states. Of these properties, ASCs have a pronounced ability to efficiently quiet disease-associated inflammation. By production of anti-inflammatory factors or modulation of immune frequency and activity, ASCs quell inflammation and promote an environment that reestablishes homeostasis.

### ***Production of Anti-inflammatory Factor by ASCs***

When introduced into an inflammatory environment, ASCs secrete an array of soluble factors including cytokines, chemokines, enzymes, and other small molecules that modulate the surrounding inflammatory milieu [10]. Typically, these factors mediate anti-inflammatory effects by attenuating production of pro-inflammatory mediators, promoting anti-inflammatory phenotypes of cells, upregulating the production of regulatory cell types, inhibiting further recruitment of pro-inflammatory cells, or suppressing the activation and/or expansion of pro-inflammatory cells in the affected area [3, 4, 6, 8]. Several of these anti-inflammatory effects mediated by ASCs have been demonstrated in vitro and in vivo and are described in the following chapter.

## **Cytokines and Chemokines**

The cytokine profiles of ASCs have been elucidated in vitro to evaluate possible mechanistic effects that may be correlative in vivo. When stimulated, ASCs secrete high levels of anti-inflammatory cytokines including interleukin-1 receptor antagonist, interleukin-6, and interleukin-10 which can be collected, measured, or even therapeutically administered in what is known as conditioned media [11]. It is important to note that IL-6 can function in both a pro- and anti-inflammatory manner [11, 12].

### ***Interleukin-1 Receptor Antagonist***

Interleukin-1 receptor antagonist (IL-1Ra) acts as an anti-inflammatory cytokine by way of opposing the activities of IL-1, a potent mediator of inflammation and tissue damage. Evidence from studies of rheumatoid arthritis and experimental animal models of arthritis indicates a direct role for IL-1 in inflammation-induced pathology [13]. Treatment with anti-inflammatory cytokine IL-4 induced gene

expression of IL-1Ra which resulted in amelioration of disease in animal models of arthritis [14]. Therapeutic administration of IL-1Ra reduced initial joint swelling and inhibited joint swelling after reactivation of arthritis [15].

### ***Interleukin-6***

Interleukin-6 (IL-6) is a pleiotropic cytokine which produces either anti- or pro-inflammatory effects depending on the surrounding environment [16]. Here the anti-inflammatory effects of IL-6 are discussed with respect to the administration of ASCs. IL-6 secreted by mesenchymal stem cells is associated with the induction of regulatory cells that promote immune tolerance, polarization of cells to a more anti-inflammatory phenotype, and/or enhanced expression of other anti-inflammatory molecules in vitro [16–20]. Attenuation of inflammatory pathologies by administration of ASCs is associated with elevated IL-6 and IL-10 levels in the affected tissue [1, 21].

### ***Interleukin-10***

The cytokine interleukin-10 (IL-10) produced by ASCs has been demonstrated to be potentially anti-inflammatory in several inflammatory diseases [18, 21, 22]. Many studies have shown increased IL-10 levels in the locally affected area following administration of ASCs. ASCs are capable of directly producing IL-10 as well as inducing regulatory cell types which can also produce IL-10 [21–24]. IL-10 production leads to immunosuppressive activities against T cell and macrophage populations [25]. IL-10 is also a regulatory cytokine that is involved in the induction of regulatory T cells (Tregs) that are capable of countering autoimmunity and in the promotion of an M2-like phenotype of macrophages that are potentially anti-inflammatory [26–28].

### ***Transforming Growth Factor- $\beta$***

Transforming growth factor- $\beta$  (TGF- $\beta$ ) is a potent anti-inflammatory cytokine, and is thus a common marker measured after treatment with ASCs. The levels of TGF- $\beta$  were markedly increased after administration of ASCs, which was also accompanied by an increase in the Treg population during inflammation [26]. The enhanced levels of TGF- $\beta$  were detected in the local pathologic area as well as the associated draining lymph nodes [23, 26]. To correlate the direct effects of TGF- $\beta$  to ASCs, researchers manipulated ASCs to hinder their ability to produce TGF- $\beta$ . After administration of these ASCs, the immunomodulatory effects necessary to counter inflammation in vivo were abrogated [29]. In vitro assays of mononuclear cells further demonstrated the upregulation of TGF- $\beta$  that was directly associated with cocultures containing ASCs [26].

## **Other Anti-inflammatory Molecules**

### ***Prostaglandin E-2***

Prostaglandin E-2 (PGE-2) is a small molecule that functions as a ubiquitous homeostatic factor. By modulating chemokines and chemotaxis of pro-inflammatory cells, PGE-2 is described as a key mediator of immunopathology. All cells are capable of producing PGE-2; however, most of the local production of PGE-2 is generated by myeloid and stromal cells. Dendritic cells respond to PGE-2 by suppressing the recruitment of naïve, memory, and effector T cells. The selective suppression of effector activities of macrophages and neutrophils can also be mediated by PGE-2 [30]. During inflammatory responses, PGE-2 can function as an anti-inflammatory mediator by thwarting T cell activation [19, 31–33]. PGE-2 can modulate the local environment by promoting Th2, Th17, and Tregs responses [30]. The administration of ASCs increases the expression of PGE-2, which is accompanied by the induction of Tregs in the local milieu. The connection between PGE-2 and Tregs has been demonstrated in several animal models of pathologic conditions including allergic inflammation, asthma, and rheumatoid arthritis [23, 26, 32, 34, 35].

### ***Indoleamine 2, 3-Dioxygenase***

Tryptophan-metabolizing enzyme indoleamine 2, 3-dioxygenase (IDO) plays a critical role in immune tolerance, autoimmunity, and infection by inhibiting T cell responses [36, 37]. Tryptophan catabolism occurs primarily at local sites of inflammation, and it is believed that the expression of IDO modulates the inflammatory cascade to attenuate tissue damage [38]. For example, IDO-mediated tryptophan catabolism has been shown to induce apoptosis of T cells [39]. Additionally, IDO exerts immunomodulatory effects by inhibiting proliferation of activated T cells in vivo and in vitro [36, 40]. As a result of administering ASCs, high levels of IDO in locally affected areas were accompanied by induction of Tregs [23].

## **Modulation of Immune Cell Recruitment, Activity, and Repertoire**

### ***Reduction in Levels of Infiltrating Immune Cells***

Pathology can arise from many causative agents including the mobilization of pathogenic cells to afflicted areas where damage ensues. The pathogenesis of many inflammatory and neurodegenerative diseases arises from this infiltration of damaging cells. ASCs have the ability to thwart this process by suppressing the infiltration



of cells or mediating the removal of infiltrated cells from local areas that are responsible for pathology. Following the administration of ASCs, evidence from *in vivo* studies showed a dramatic reduction in the levels of infiltrating cells, especially those promoting neuroinflammation. More specifically, a marked reduction in infiltrating macrophages was seen in neurodegenerative disease models treated with ASCs [21, 41, 42].

The immunomodulatory effects of ASCs are attributed to the suppression of T cell recognition. In a rat kidney transplantation study, prolonged survival of the tissue graft resulted from attenuated acute cellular rejection. The significant reduction in T cell frequency was attributed to treatment with ASCs [43].

Animals modeling autoimmune diseases are ideal candidates for therapies using ASCs. A dramatic reduction in the levels of lymphocyte infiltration was observed in the thyroid glands of animals affected by autoimmune thyroiditis subsequent to infusion with ASCs. Similarly, central nervous system tissue showed a significant decrease in the extent of cellular infiltrates including macrophages and lymphocytes in neurodegenerative and autoimmune diseases [41, 44].

### *T Cell Immunomodulation*

The quality of ASCs to be immune privileged, thus evading T cell recognition *in vivo*, is believed to be due to their low immunogenicity, potent immunosuppressive effects, or a combination of both. Much of this evidence results from the direct effects of ASCs on T cells *in vitro* [45, 46]. These qualities are necessary to demonstrate the safety of therapeutic applications using ASCs.

A well-determined mechanism of action of mesenchymal stem cell administration is the modulation of T cells, especially of the helper T (Th) cell subtypes. The associated factors that these cells express achieve a phenotype beneficial to reestablish homeostasis. These mechanisms are integral to maintaining the balance between autoimmune disease and immune tolerance. To investigate the direct effects, *in vitro* analysis of ASCs cultured with spleen mononuclear cells determined that a significant reduction in factors IL-4, IFN- $\gamma$ , and IL-17 occurred, which correlated with reduced Th1 cells, Th2 cells, and Th17 cells, respectively [28].

Moreover, the inflammatory cytokine IL-1 $\beta$  is associated with autoimmune diseases and is a known inducer of pathogenic Th17 cells [28, 47]. The ability of ASCs to enhance the expression of anti-inflammatory mediators, and their earlier described effects on T cell subsets, demonstrates robust immunomodulatory effects that show promise for treating inflammatory and autoreactive disease milieus [28].

For many inflammatory airway diseases, a Th2 cell-driven immune response perpetuates lung inflammation. Investigations of treatments with ASCs have demonstrated attenuation of Th2-mediated pathologies in these disease models. It is suggested that ASCs have the ability to modulate the Th1/Th2 balance to counter the pathologic effects of Th2 by reducing IL-4, IL-5, and TGF- $\beta$ . This effect was accompanied by a decrease in Th2 cells and a concomitant increase in Th1 cells [48].

### ***Induction of Tregs***

Once known as suppressor T cells, Tregs are critical regulators of immune responses. By direct cell contact or secretion of cytokines, Tregs target pathogenic T cells, B cells, or other antigen-presenting cells to suppress autoimmunity. Tregs are also activated by dendritic cells and cytokines [49]. IL-6 secreted by ASCs is linked to induction of Tregs and a subsequent increase in the anti-inflammatory factor IL-10 expression [17, 28]. High levels of IDO, TGF- $\beta$ , and PGE-2 were associated with an increase in Tregs [26]. TGF- $\beta$ , IL-10, and PGE-2, all of which are secreted by ASCs, result in the expression and maintenance of Foxp3, a transcription factor expressed specifically by Tregs [28].

### ***Shifting Macrophages Toward an Anti-inflammatory Phenotype***

Macrophages are vital phagocytic cells which reside in all tissues. Depending on the conditions and their niche, macrophages present with two phenotypically distinct subtypes which are conceptually comparable to the Th1/Th2 paradigm [19]. The identity of the distinct phenotype can be determined by their metabolic activity and expression of specific factors [20, 27]. Several studies have demonstrated that the switch from the pro-inflammatory M1 phenotype to the anti-inflammatory M2 phenotype occurs with the administration of ASCs. With the switch to the M2-like phenotype, macrophages will begin to express elevated levels of arginase 1 and reduced levels of inducible nitric oxide synthase. Additionally, the phenotypic changes result in changes to transcription factors that regulate inflammatory and autoimmune responses such as NF- $\kappa$ B and STAT3/STAT6 [27].

### ***Direct and Indirect Effects on B Cells***

B cells are major contributors to several autoimmune disorders by functioning as antigen-presenting cells, producing cytokines, and by differentiating into plasma cells that produce antigen-specific immunoglobulins or antibodies. B cells directly interact with T cells to perpetuate immune responses making them targets for immunotherapy [24].

In vitro assays of human ASCs and human tonsil-derived B cells demonstrated several mechanisms that ASCs influence B cells. The results suggested that the presence of ASCs inhibited differentiation and proliferation of B cells induced by activated T cells. However, without T cell-mediated stimulation, ASCs have a direct effect on the inhibition of B cell differentiation but not on proliferation. More importantly, ASCs induced a regulatory phenotype of B cells. Similar to

Tregs, the infusion of ASCs induced expression of IL-10 in B cells. This study determined that ASCs indirectly modulated T cell-mediated proliferation of B cells and differentiation into antibody-producing plasma cells, and directly induced a regulatory phenotype of B cells [24, 48, 49].

## **Preclinical and Clinical Applications**

Much evidence of the anti-inflammatory impact of ASCs is generated from studies in inflammatory and autoimmune disease models. Following therapeutic administration of ASCs, systemic and local inflammation can be attenuated or resolved. Demonstrations of the various clinical applications for the robust anti-inflammatory and immunomodulatory effects of ASCs are provided by numerous studies modeling tissue repair, autoimmune diseases, and neurodegenerative disorders.

### ***Wound Healing***

The dynamic properties of ASCs are not aimed specifically at tissue niches or types of insults, yet comprehensively benefit various forms of cellular/tissue damage. An *in vitro* system was created to investigate the direct effects of macrophages on tendon fibroblasts. Macrophages cocultured with ASCs switched their phenotype from pro- to anti-inflammatory. The evidence of this switch was demonstrated when the macrophages were cocultured with tendon fibroblasts, which diminished the production of pro-inflammatory cytokines IL-1 $\beta$  and TNF $\alpha$  by the tendon fibroblasts [50]. Treatment with ASCs demonstrated anti-inflammatory effects when administered to pressure ulcers of both young and old mice by reducing the infiltration of mononuclear cells that promote inflammation. Mechanistically, this anti-inflammatory effect induced by ASCs was concomitant with the activation of reparative genes which were suggested to optimally prepare the local milieu for tissue repair [51].

### ***Ischemia–Reperfusion/Infarction***

With cardiovascular disease being one of the leading causes of death worldwide, the employment of therapies to resolve ischemic heart disease is critical. The inadequate levels of oxygen (hypoxia) to the heart tissue leads to myocardial infarction which in turn results in a loss of cardiomyocytes, an irreversible event. A study using a rodent model of myocardial infarction showed that the delivery of ASCs not only resulted in engraftment of donor ASCs into heart tissue under hypoxic

conditions, but also showed a significant improvement in cardiac function and reduced infarction [52]. These results were attributed to a significant reduction in pro-inflammatory levels of TNF- $\alpha$  and an increase in anti-inflammatory IL-10 levels in ventricular tissue of ASCs-treated animals [49, 52].

### ***Autoimmune Diseases***

Pro-inflammatory T cells play a critical role in many autoimmune diseases. The Th1/Th2 cell imbalance along with the induction of Th17-mediated responses have been associated with autoimmune diseases. Effector T cells perpetuate antigen-specific responses that drive the cascade of pathologic events promoting widespread inflammation [8, 53].

### ***Multiple Sclerosis***

Multiple sclerosis (MS) is an autoimmune disease against integral components of the central nervous system (CNS) that leads to neurodegeneration and inflammation. Using the murine experimental autoimmune encephalomyelitis model of MS, several studies have identified many anti-inflammatory effects attributable to ASCs. Splenocytes harvested from mice treated with ASCs were assessed for proliferation in vitro after stimulation with the antigen used to induce the autoimmune reaction in this MS model. Upon stimulation, the proliferation of splenocytes harvested from mice treated with ASCs was suppressed compared to the splenocytes from untreated mice [46]. Furthermore, serum levels revealed a significant reduction in IL-12 and IFN- $\gamma$  following treatment with ASCs [54]. These anti-inflammatory and immunomodulatory effects collectively resulted in a reduction in tissue damage, cellular infiltrates, and preservation of myelin in the CNS which ameliorated symptoms of this disease [46, 54].

### ***Rheumatoid Arthritis***

In a murine model for rheumatoid arthritis (RA), the production of granulocyte-macrophage-colony stimulating factor (GM-CSF) by effector T cells led to several pathologic processes. Administration of ASCs provided anti-inflammatory effects by reducing the frequency of pathogenic T cells and associated production of GM-CSF in the spleen and peripheral blood. Moreover, an increase in the Treg population within the lymph nodes of treated mice was also reported. Increased numbers of T cells expressing IL-10 were also detected in the lymph nodes after treatment with ASCs. The modulation of these cells and associated

factors correlated with a significant decrease in the severity of arthritis. The data also suggested reestablishment of immune tolerance in the peripheral lymphoid tissues [55]. Other studies of RA also noticed an induction of IL-10 production and generation of antigen-specific Tregs with ASCs treatment [8, 35]. These studies further demonstrated that ASCs promoted inhibition of inflammatory mediators and reduced antigen-specific Th1/Th17 cell expansion. Thus, anti-inflammatory and immunomodulatory effects attenuated the incidence and severity of experimental arthritis [8, 35, 55].

### ***Inflammatory Diseases/Disorders***

Under inflammatory conditions, ASCs respond by modulating immune cell activities, producing anti-inflammatory proteins, or promoting the differentiation of anti-inflammatory or regulatory cell types. Thus, ASCs promote an anti-inflammatory environment to counter pathologic processes induced by inflammation.

### ***Acute Respiratory Distress Syndromes***

As a result of conditions such as sepsis, trauma, gastric aspiration, and pneumonia, acute lung injury can develop which can progress into acute respiratory distress syndromes. Symptoms of these conditions are a result of pulmonary inflammation and the infiltration of immune cells that largely contribute to lung tissue damage. A study treating murine models of acute lung injury demonstrated that the anti-inflammatory effects from the administration of human and mouse ASCs were able to significantly attenuate lung damage and inflammation. The therapeutic effects were attributed to an ASC-induced increase in the expression of anti-inflammatory IL-6 and IL-10 detected only in treated lungs [9, 56]. Furthermore, a reduction in the gene expression levels of pro-inflammatory cytokines macrophage inflammatory protein-2 (MIP-2), IL-1 $\alpha$ , IL-1 $\beta$ , and TNF- $\alpha$  in the lungs was reported. Quantitative comparison of proteins in the lungs revealed a significant increase of the anti-inflammatory cytokine IL-10 and a reduction in the pro-inflammatory proteins, MIP-2, IL-1 $\alpha$ , IL-1 $\beta$ , IFN- $\gamma$ , and GM-CSF [9].

### ***Asthma***

ASCs have shown multiple mechanisms by which they produce anti-inflammatory effects that can significantly ameliorate symptoms manifesting from allergic airway inflammation. The administration of ASCs resulted in a marked increase in the levels of anti-inflammatory factors, IDO, TGF- $\beta$ , and PGE-2, which was accompanied

by induction of Tregs in the lungs of animals afflicted with asthma [23]. It was determined that ASCs were able to attenuate asthma-associated inflammation by several mechanisms including reduction of infiltration of eosinophils, suppression of local goblet cell hyperplasia, and systemically reducing the levels of total immunoglobulins and allergen-specific IgE and IgG1 [26]. Another study reported that ASCs were capable of rapidly resolving inflammation, tissue remodeling, and bronchial hyper-responsiveness. Lung tissue treated with ASCs revealed suppression of neutrophilic inflammation and total IgE production, preservation of alveolar architecture by inhibition of lymphoplasmacytic infiltrates, and negligible smooth muscle hyperplasia/hypertrophy in peribronchiolar areas, the characteristic features of occupational asthma [57]. Another study using a murine model of allergic asthma determined the anti-inflammatory effects from ASCs administration by measuring inhibition of Th2-driven responses. Airway hyper-responsiveness, eosinophilia, and mucus production were markedly reduced after administration of ASCs [48].

### ***Chronic Obstructive Pulmonary Disease***

Repetitive exposure to cigarette smoke induces a progressive lung disease called chronic obstructive pulmonary disease (COPD) which is one of the six leading causes of death in the United States [58]. Cigarette smoke-induced COPD is a result of infiltration of neutrophils and eosinophils to the lungs and the bronchoalveolar lavage fluid (BALF). Immune cell infiltration leads to damage from inflammatory responses and oxidative stress to the lungs, as well as a decrease in tracheal hyper-responsiveness. Treatment with ASCs in a guinea pig model of COPD revealed a significant decrease in the percentages of eosinophils, neutrophils, and lymphocytes in the BALF. Moreover, ASCs administration was able to restore tracheal hyper-responsiveness [59].

### ***Inflammatory Bowel Disease/Crohn's Disease***

Inflammatory bowel disease (IBD) is an inflammatory immune system disorder characterized by chronic inflammation of the intestines that closely resembles Crohn's disease [60]. ASC-induced macrophage polarization toward an M2-like phenotype promotes the further production of anti-inflammatory factors necessary to alleviate the progression of chronic colitis and to prevent disease recurrence in animal models of experimental colitis and sepsis [25]. Furthermore, administration of ASCs to a murine model of IBD revealed a substantial reduction in pro-inflammatory factors IL-12, IFN- $\gamma$ , and TNF- $\alpha$ . Changes to these cytokine levels were correlative with a significant reduction in the degree of inflammation as determined by histology [60]. Another study focused on the modulation of Th1 cells following treatment with ASCs. Treatment with ASCs demonstrated impairment of Th1 cell

activation, induction of Tregs, and enhanced IL-10 production in colonic mucosa and draining lymph nodes. These changes led to the amelioration of severe sepsis by the reduction of inflammatory infiltrates in various affected organs and down-regulation of several inflammatory mediators responsible for pathologic processes underlying acute and chronic colitis [53, 61].

### ***Obesity***

Obesity is believed to be a low-grade systemic inflammatory disease characterized by high serum levels of inflammatory proteins including C-reactive protein, TNF- $\alpha$ , and leptin [62]. In a study delivering ASCs to obese mice demonstrated a marked shift in the macrophages from pro-inflammatory M1 toward anti-inflammatory M2 phenotype. This phenomenon was also demonstrated in vitro using a transwell coculture system with ASCs and macrophages as well as by culturing macrophages with conditioned media from ASCs to examine the effects of paracrine factors. This shift was associated with an attenuation of adipocyte hypertrophy, inflammation of white adipose tissue, and TNF- $\alpha$  production [27].

### ***Osteoarthritis***

Often patients with osteoarthritis develop synovitis, inflammation of the synovial membrane. This occurs as a result of high levels of pro-inflammatory macrophages and associated inflammatory factors. Using a collagenase-induced experimental mouse model of osteoarthritis, serum levels of known protein products of synovitis called alarmins S100A8/A9 were used as a measure of the degree of synovial inflammation. This study demonstrated that 6 h after local administration of ASCs there was a decrease in the expression levels of pro-inflammatory mediators S100A8/A9, IL-1 $\beta$ , and KC (mouse IL-8) in the synovium. These data suggested that ASCs provided a protective action by secreting anti-inflammatory factors when administered during high levels of synovial inflammation [47].

### ***Neurodegenerative Diseases***

The anti-inflammatory effects produced by ASCs make them therapeutic candidates for neurodegenerative diseases where secondary neuroinflammation exacerbates the pathogenic features and disease. The anti-inflammatory and trophic factors produced by ASCs make them ideal for sustaining the survival of critical brain cells. Several reports investigating the therapeutic benefit of ASCs in preclinical animal models have been published.

### ***Alzheimer's Disease***

As the most prevalent neurodegenerative disease in the United States, Alzheimer's disease (AD) is characterized by the progressive loss of cognitive functions, especially learning and memory. These debilities are caused by the accumulation of neuropathologic features known as amyloid plaques and neurofibrillary tangles [63].

The administration of human ASCs in a mouse model of AD was shown to attenuate learning and memory impairment and to reduce the number of amyloid plaques and associated precursor proteins in the brain. Alterations in the levels of IL-10 were attributed to the benefits of ASC treatment. The anti-inflammatory effects of IL-10 production and neuroprotective benefits from trophic factors were observed following local and systemic delivery of ASCs. Evidence showed a reduction of pro-inflammatory cytokines and an increase in amyloid- $\beta$ -degrading enzymes in the brains of AD mice treated with ASCs. Moreover, marked upregulation of several neurotrophic factors including vascular endothelial growth factor, glial-derived neurotrophic factor, neurotrophin-3, and NeuroD1 was observed [63, 64]. The effects from the administration of ASCs were reported to persist for more than 4 months [63].

### ***Huntington's Disease***

The genetic mutations in the *huntingtin* gene and loss of striatal neurons are features of Huntington's disease that result in progressive cognitive impairment, neuropsychiatric symptoms, and loss of voluntary motor control [63, 65].

Human ASCs administered to a mouse model of Huntington's disease led to attenuation of induced striatal lesions, delays in the phenotypic progression of motor impairments, and reduced neurodegeneration by attenuating brain atrophy, neuronal loss, and formation of pathologic aggregates in the brains of affected animals. These therapeutic effects demonstrated that ASCs provide neuroprotective effects in addition to their known anti-inflammatory effects [64].

### ***Krabbe's Disease***

Krabbe's disease is one of over 40 lysosomal storage diseases that are characterized by rapid neurodegeneration and CNS inflammation that leads to early death. The genetic deficiency of an essential lysosomal enzyme galactocerebrosidase results in the accumulation of a toxic substrate and subsequent demyelination within the central and peripheral nervous system [41, 42].

The administration of murine ASCs in the twitcher mouse model of Krabbe's disease resulted in significant changes to the inflammatory profiles present in the



serum of treated animals. Following treatment with ASCs, marked decreases in the expression levels of pro-inflammatory IL-1 $\alpha$ , IL-1 $\beta$ , IL-6, TNF- $\alpha$ , granulocyte-colony stimulating factor (G-CSF), and MCP-1 were measured. Correlatively, protein levels of G-CSF, IL-1  $\alpha$ , and MCP-1 were significantly reduced with ASC treatment. In the CNS tissues of treated mice, a reduction in macrophage infiltration and microglial activation was reported. Together, treatment with ASCs led to a significant increase in lifespan of animals which implicates treatment with ASCs as a promising therapy for the other lysosomal storage disorders [41].

### ***Parkinson's Disease***

Parkinson's disease (PD) is the second most common progressive neurodegenerative disorder in which crucial neurons degenerate and the development of Lewy bodies within the cells causes deleterious effects to normal motor function [63, 66]. Pathologic markers of disease in both human and murine PD include high levels of TGF- $\beta$  and monocyte chemoattractant protein-1 (MCP-1) and low levels of brain-derived neurotrophic factor (BDNF), brain dopamine, and brain tyrosine hydroxylase which are typically present in the serum [66].

The syngeneic administration of ASCs in a rat model of PD resulted in marked changes to key factors driving pathogenesis. The levels of TGF- $\beta$  and MCP-1 in the serum were significantly decreased. Additionally, the expression of BDNF, brain dopamine, and brain tyrosine kinase was all significantly increased in serum. The results of this study demonstrated that the improvements associated with the disease were the result of the anti-inflammatory, immunomodulatory, and neurotrophic effects attributed to the ASCs [66]. Another study investigated the non-motor symptoms of PD after treatment with human ASCs as a disease-modifying therapy. Transplantation of ASCs directly into the brain provided anti-inflammatory and trophic effects that enhanced dopamine levels and upregulated the expression of anti-inflammatory cytokines in the periphery. These ASC-mediated effects promoted neurogenesis in hippocampal and subventricular regions and boosted memory functions in the PD rodents [67].

### **Conclusion**

With the vast evidence demonstrating the potency of ASCs to modulate various pathologic states that occur in numerous diseases, the field of regeneration flourishes with the potential. The growing advances that are elucidated at the bench top demonstrate the unique capabilities of ASCs. The potency of ASCs is attributed to their ability to respond to endogenous environments and comprehensively promote homeostasis. The support from preclinical applications is promising to one day achieve bedside practices with ASCs.

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# Similarities and Differences in Stem Cells Between Cancer, Normal, and Injured Brain

Lei Huang and Peng Huang

## Introduction

Mesenchymal stem cells (MSCs) have recently garnered tremendous interest within the field of neuroscience because MSCs communicate and interact with the nervous system during brain development, injuries, and even tumor formation. Also, MSCs are easily isolated, cultured, and manipulated. Furthermore, MSCs have several unique characteristics, like immunomodulation, homing to sites of injury and secreting trophic factors. All of these make MSCs as a promising candidate to treat neurological diseases. In this chapter, we are trying to answer several questions involving the relationship between MSC, brain development, and pathology based on an increasing amount of experimental evidences. For example, is MSC-initiated neuronal transdifferentiation possible? Where are MSCs located in the brain? How and why can MSCs be successfully used to treat brain injuries? What are the relationships between MSCs and brain tumors?

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## MSC and the Brain

### *MSC Transdifferentiate into Neural Cells*

Transdifferentiation is a process where different stem cells are capable of crossing the germ layer boundary to form cell types of alternative layers. The transdifferentiation concept has changed the notion that multipotent stem cells are restricted in their potency to form the cell types of a derived germ layer. For example, numerous studies show that mesodermal MSCs could transdifferentiate into ectodermal cells like neurons and astrocytes *in vivo* and *in vitro* [1–9, 20, 21]. It is a promising concept as this will make MSCs a good candidate for treatment of neurodegenerative disease, aiming to replace damaged or lost cells. However, to fully prove the possibility is still challenging.

Last decade, Woodbury et al. [1] and Sanchez-Ramos et al. [2] demonstrated for the first time the concept of MSCs participating *in vitro* in neuronal transdifferentiation. Their studies reported that neurons can be obtained from MSCs treated with chemicals or a cocktail of trophic factors [1, 2]. However, subsequent studies challenged both methods [3, 4] and raised the question as to whether MSCs neuronal differentiation was an artifact.

Until now, four major approaches have been proposed in order to transdifferentiate MSCs into neurons or glial cells *in vitro*:

1. Chemical induction (chemical compounds): For example, Woodbury et al. [1] previously treated MSC with beta-mercaptoethanol, followed by dimethyl sulfoxide (DMSO) and butylated hydroxyanisole (BHA); Deng et al. [5] used dibutyryl cyclic AMP (dbcAMP) and isobutylmethylxanthine (IBMX) for 3 days to induce MSC transdifferentiation; Francesco et al. [6] modified a neuronal induction medium by adding forskolin and valproic acid, but left out BHA. After induction, some of the cells had neuronal-like morphology and expressed neural markers such as neuron-specific nuclear protein (NeuN) and neuron-specific enolase (NSE). However, some follow-up studies questioned the conclusion derived from these protocols. Studies showed the formation of neuronal morphologies did not only take place in MSCs but also in human embryonic kidney (HEK)-293 cells and pheochromocytoma cell (PC)-12 cells after chemical induction [3, 7], which was probably due to the consequences of cell shrinkage and cytoskeleton alterations. Also, some neural proteins were spontaneously expressed on MSCs under standard culture conditions [4]. More importantly, these studies lacked functional electrophysiological evidence that shows excitatory properties of typical neuron.
2. Trophic factors: Brain-derived neurotrophic factor (BDNF) [2] or basic fibroblast growth factor (bFGF) [8, 9] combined with/without retinoic acid (RA) has been shown to induce neural differentiation. After induction, cells showed neuronal morphology and expressed neural marker-NeuN, microtubule-associated protein 2 (MAP2), or glial marker–glial fibrillary acidic protein (GFAP). An electrophysiological study demonstrated  $K^+$  current and  $K^+$  channels on the MSCs exposed to trophic factors FGF and EGF [10]. Furthermore, Cho et al. [11] confirmed that

MSCs treated with RA had spontaneous electrical activity and postsynaptic current, which is a unique characteristic of neuronal cells. Although this trophic factor induction appears to be promising, the function of neural-like MSC-derived cells still needs to be tested before translating this method to clinical usage, especially function on synaptic transmission and neurotransmitter regulation. Also, the host microenvironment may affect the characteristic of neural-like MSC after transplantation, and maintenance of the neuronal property after trophic factor induction needs to be further evaluated.

3. Genetic manipulation: A study showed that upregulating BDNF gene induced neuronal transdifferentiation of MSC following RA induction, which also increased the survival rate of MSCs compared to trophic factor induction alone [12]. A high ratio of neurons also can be obtained by Notch intracellular domain (NICD) transfection of MSCs followed with treatment with three trophic factors, bFGF, forskolin, and ciliary neurotrophic factor [13, 14]. Na<sup>+</sup>, K<sup>+</sup> current and action potentials, as well as expression of a neural marker, were found on these cells. Meanwhile, another study has confirmed that MSCs formed neurospheres and successfully differentiated into neurons, also by NICD transfection [13]. Most importantly, these cells improved functional recovery of “stroke” rats after transplantation and showed extended long neurites [13]. Upregulating expression of neurogenin1 (Ngn1) was also sufficient to induce MSCs differentiate into neurons [15], with expression of neuron-specific proteins and voltage-gated Ca<sup>2+</sup> and Na<sup>+</sup> channels. Not only upregulating proneural gene expression could achieve neuronal transdifferentiation of MSCs, but also knocking down neuronal-related gene has shown the possibility of inducing MSCs into neurons which involved downregulating gene RE-1 silencing factor (REST) using siRNA [16]. Taken together the results of these studies indicated gene manipulation plus trophic factor induction as a better strategy for MSC transdifferentiation with long-term maintenance of neuronal characteristics and better electrophysiological function compared to trophic factor alone. However, more risk exists with viral gene transfection for clinical usage. Therefore, the long-term effects of gene manipulation of such cells need to be further evaluated *in vivo* and *in vitro*.
4. Coculture of MSCs with neural cell types: A few studies showed that coculture with several neuronal types of cells, like cerebellar granule neuron [17], or astrocyte [18], can induce MSCs to differentiate into neurons with morphologic and molecular evidence. However, the effect may be due to trophic factors secreted by cocultured cells thus making it hard to tell the role of direct cell–cell interaction in this process.

Although tremendous progress has been made in MSCs neural transdifferentiation studies *in vitro*, to completely fulfill the ‘neuron’ definitions on single neural-like MSC is still challenging, like whether synaptic transmission of neuron induced from MSC can be regulated by neurotransmitters.

Evidence from *in vitro* studies indicated that the neuronal microenvironment could be important factors for MSCs neural induction. Indeed, this MSCs neural transdifferentiation phenomenon has been demonstrated from *in vivo* studies. Pioneer studies from Azizi et al. [19] and Kopen et al. [20] transplanted human



MSCs into adult brain or lateral ventricle of neonatal mice in which the engrafted MSCs survived and migrated throughout the brain. Some MSCs expressed neural or glial marker (GFAP). Furthermore, rat MSCs were infused into embryonic rat brain to evaluate their survival and phenotypic expression [21]. After infusion, engrafted MSCs migrated along the radial glial process and expressed neural marker NeuN. Although these results were exciting, unfortunately, *in vivo* studies cannot totally avoid the concern that neural transdifferentiation of MSC may be caused by spontaneous cell fusion, even though it happens at an extremely low frequency, as it has been shown that MSCs can fuse with neural cell types spontaneously [22]. So *in vivo* studies need to better separate engrafted MSCs and host cells using various methods and demonstrate the function of neuron-like MSCs in the future.

### ***Brain Pericytes***

MSCs were initially isolated from the bone marrow of an adult organism. However, subsequent studies demonstrated MSCs can also be obtained from nonmarrow tissues, such as adult muscle [23], adipose tissue [24], even brain [25]. Using the same culture method for bone marrow-derived MSCs, MSCs were successfully isolated from mouse brain with expression of mouse MSCs marker as well as their ability to undergo mesodermal differentiation [26]. Similarly, a group of cells, isolated from human brain ventricular wall and neocortex, expressed MSCs marker and have true multilineage potential toward a mesodermal and neuroectodermal phenotype [27].

Although MSCs can be isolated retrospectively from different tissues, the native distribution of MSCs has long been a mystery. Two landmark studies [28, 29] published in 2008 partly unveiled the reason for this mystery. Thus, Crisan et al. [28] identified a subset of pericytes from multiple adult tissues, which expressed CD146, neural/glial antigen 2 (NG2), and platelet-derived growth factor (PDGF)-R $\beta$ , and exhibited the same osteogenic, chondrogenic, adipogenic, and myogenic potential as MSCs. This indicated that the pericyte may be integral to the origin of the elusive MSCs [28]. Since then, the characteristics and function of pericyte have been reexplored and recognized, as this type of cells was first found 140 years ago [30]. Pericytes are perivascular cells, which form an incomplete layer on the abluminal surface of capillary endothelial cells. In addition, the known functions of pericytes include vascular support, participating in angiogenesis, matrix protein synthesis, vessel stabilization, and regulation of vascular tone [31]. Most importantly, recent studies showed that pericytes have been regarded as a potential reservoir of stem cells for adult tissue repair.

In the central nervous system, the pericyte is an important part of the neurovascular unit (NVU), which consists of neural cells and vascular cells. The pericyte is involved in the regulation of angiogenesis, vascular tone, and blood–brain barrier function. They are mainly distributed around cerebral capillaries and cover more than 30% surface of capillaries [30]. Paul et al. [27] indicated that adult brain pericytes have all the features of MSCs, such as expressing MSCs immunological markers, CD105<sup>+</sup>, CD90<sup>+</sup>, CD73<sup>+</sup>, as well as mesenchymal differentiation potential [27].

## MSCs and Brain Injury

### *Therapeutic Roles of MSC for Brain Injury*

MSC transplantation in human patients began in 1995, aimed at promoting the survival of engrafted hematopoietic stem cell. Based on the safety of MSC transplantation and multiple potentials of MSCs, subsequent studies have been performed to investigate the therapeutic role in numerous diseases and disorders, including brain injury.

Stroke and traumatic brain injury (TBI) are the leading causes of adult disability worldwide, arising from the loss of neurons and impairment of neurological function. Unfortunately, there is limited treatment for these diseases. Preclinical studies, using MSCs transplantation to treat stroke and TBI, began early this century. Li et al. [32] transplanted bone marrow-derived MSCs into “stroke” mouse brain. They found that the engrafted MSCs survived and improved functional recovery. From that, numerous follow-up studies tried to figure out the optimized source of MSCs, delivery routes, time window, and dosage for MSCs transplantation for stroke and TBI.

### **Delivery Routes**

Three major routes have been investigated for stroke treatment: intracerebral [33–36], intracarotid [37, 38], and intravenous [39–41]. A growing number of studies showed MSCs administration decreased infarct size and improved neurological outcome in “stroke” animals through all three routes. However, it remains unclear which route is more efficient based on existing experimental evidence, as these studies lacked a direct comparison with different delivery routes of MSCs. One meta-analysis, based on preclinical studies of MSCs for ischemic stroke, showed that the effect size of intracerebral administration was larger than with the intravenous one [42]. This indicated that direct transplant of MSCs into brain may be more efficient, but it is invasive and needs complex neurosurgery. Furthermore, intracerebral [43, 44] and intravenous [43] MSCs transplantation have also been evaluated for TBI treatment. Both routes of MSCs administration improved functional recovery after TBI. However, which route is ideal remains unclear.

### **Cell Resources**

MSCs, derived from various resources, have been investigated for stroke and TBI treatment, including bone marrow [45, 46], placenta [47], peripheral blood [48], adipose [45, 46], and umbilical cord blood [49, 50]. All of these cells have been shown beneficial impact on neural injury after transplantation. However, few studies have compared the efficacy of different MSCs. There was one study that indicated adipose-derived MSCs maybe a preferable source than bone marrow-derived MSCs for stroke therapy because of higher proliferative activity, more vascular endothelial growth factor (VEGF) secretion, and easier access [45].

## Timing

Time of MSCs delivery after stroke varied from 1 h to 1 month [42]. Several studies published recently indicated 24 h after stroke is optimized for MSCs intraarterial or intravenous transplantation with improved behavior and more cell migration to infarcts [51–53]. Also 24 h is clinically reasonable, when patients tend to be stabilized. For TBI, 24 h following TBI were typically used for MSC transplantation, based on known study results [54]. However, the optimized time (i.e., window) for transplantation remains unclear, since it is hard to decide based on current available information.

## Dosage

The MSCs dosage used for stroke preclinical studies ranges from  $4 \times 10^5$  to  $1.2 \times 10^8$  cells/kg [54]. Chen et al. [41] evaluated the relationship between cell dose and efficacy. High dosage ( $3 \times 10^6$ ) was more efficient than low dosage ( $1 \times 10^6$ ) for MSCs intravenous transplantation on the cerebral ischemic rat with better behavioral recovery. Also MSCs transplantation dose dependently restored cerebral blood flow (CBF) and blood–brain barrier (BBB) function [55]. However, various quantity and presentation of cell dosage make it harder to compare the efficacy among different preclinical studies and to directly guide clinical studies. Thus, dosage used for TBI studies varied from  $6 \times 10^6$  to  $3.2 \times 10^8$  cells/kg depending on the administration route [54]. However, optimized dosage for stroke and TBI therapy still needs to be explored.

## *Mechanisms of MSCs Cell Therapy on Brain Injury*

### Immunomodulation

MSCs undergo crosstalk with the innate and adaptive immune system. Their immunomodulatory functions depend on the microenvironment, through cell contact and independent mechanisms (reviewed by Blanc et al. [56]). Stroke and TBI induce a strong inflammatory response that leads to subsequent recruitment of leukocytes to the infarct zone. MSCs transplantation significantly reduced inflammation and subsequent cell death. Ohtaki et al. [57] used microarray to detect gene changes after MSCs transplantation on global cerebral ischemic mice. Over 10 % of proinflammation genes were downregulated after human bone marrow-derived MSCs transplantation and three neuroprotective genes were upregulated [57]. Similarly, engrafted MSCs reduced brain inflammation and suppressed microglia and macrophage activity after TBI [44, 58]. The resolution of the postinjury inflammatory milieu will also ameliorate brain self-repair, as evidence has showed that MSCs reduced glial scar formation after stroke or TBI [59].

More interestingly, engrafted MSC-induced immunomodulation is not limited to injured brain and it affects peripheral immune organs as well. Thus, a recent study showed a dramatic spleen distribution of MSCs after intravenous administration to rat after induction of stroke [60]. Engrafted MSCs not only had a remote anti-inflammation role on brain but also reduced TNF- $\alpha$  expression in spleen [60].

## Trophic Factors

Although numerous studies have confirmed the neural transdifferentiation potential of MSCs in vitro, solid evidences that indicate a therapeutic role for MSCs on stroke and TBI is due to cell replacement is still lacking. On the other hand, bystander effects of MSCs transplantation play a more important role in brain recovery, especially involving secreted trophic factors by engrafts. In vitro studies showed cocultured with stroke and TBI brain extracts upregulated MSCs synthesis and expression of trophic factors, BDNF, NGF, VEGF, and HGF in vitro [61]. Meanwhile, MSCs transplantation increased trophic factors expression not only in engrafted cells but also in host brain tissue after stroke [62]. Also, the expression of host NGF and BDNF genes was significantly increased after intravenous administration of MSCs for TBI [63]. Furthermore, compared to MSC alone, BDNF gene-modified human MSCs resulted in increased BDNF expression and enhanced the therapeutic effect of cell therapy on stroke [64]. As Li and Chopp et al. [65] described, transplanted MSCs work as ‘small molecular factories’ by continually secreting trophic factors for brain repair. Maybe that’s why cell therapy is more efficient than single molecular therapy.

## Angiogenesis

Angiogenesis is an important event related to the long-term repair and restoration process of the brain after brain injury. Cultured MSCs continually secrete angiogenic cytokines including, VEGF, bFGF, and placental growth factor (PLGF) [66–69]. Thus, MSC transplantation promoted VEGF secretion, VEGF receptor 2 (VEGFR2) expression, and angiogenesis in the ischemic boundary zone (IBZ) after stroke [70, 71]. A recent study also indicated that only exosomes derived from cultured MSCs were able to enhance angiogenesis in animals following stroke [72]. Furthermore, effect of brain angiogenesis after stroke was greater after transplantation of PLGF gene-modified MSCs, compared to nonmodified MSCs [73].

In addition to secreting angiogenic factors, MSCs also have the potential to differentiate into an endothelial lineage [74]. This unique property could be beneficial for vascular repair after brain injury. Indeed, Liao et al. [50] observed a subset of engrafted cells that differentiated into endothelial cells after intracerebral transplanted human umbilical-derived MSCs (UC-MSCs) in a rat model of stroke. Also, the UC-MSCs treatment increased vascular density and VEGF expression in ipsilateral hemisphere [50].

## MSC and Cancer

### *Cancer Stem Cells (CSCs)*

A tumor or cancer can be viewed as an aberrant organ initiated by a tumorigenic cancer cell that acquired the capacity for indefinite proliferation through accumulated mutation [75]. Two hypothetical models, stochastic and hierarchal, have been proposed to explain tumor initiation and development [76]. Cancer-stem-cell theory derived from the hierarchal model asserts that only a rare subset of cells within the tumor have the ability to generate new tumors [75]. In 1997, the confirmatory experimental evidence for this theory was demonstrated by Bonnet and Dick [77]. Since then, numerous studies have verified the existence of cancer stem cells in various kinds of cancer, for example, breast cancer [78], brain tumor [79, 80]. Compared to normal stem cells, cancer stem cells have similar properties of self-renewal and differentiation, but cancer stem cells usually have genomic or karyotypic mutation and aberrant differentiation [80]. The concept of cancer stem cell has propelled researchers in a direction to better understand the oncogenesis and to rethink the strategy for cancer therapy.

### *MSC and Brain Tumor*

Glioblastoma multiforme is an aggressive and invasive neoplasm characterized by extensive neovascularization [76]. Several groups demonstrated tropism of MSC to gliomas by implanting MSCs into gliomas of animals and tracking the migration of MSCs [81, 82]. This tumor-specific migratory pattern makes MSCs a promising cellular vehicle for delivery of therapeutic agents, although whether tumor cells recruit endogenous MSCs remain to be clarified. Meanwhile, glioblastoma stem cells (GSC) are able to transdifferentiate into pericytes or MSC-like cells [83], contributing to the maintenance of microvasculature itself [84, 85]. In addition, the selective elimination of GSC-derived pericytes disrupted the neovasculature and potently inhibited tumor growth [84].

The effect of native MSCs on tumor growth is still controversial. On the one hand, MSCs have been shown to suppress tumor growth of glioma [81, 86], through suppressed tumor angiogenesis [86]. On the other hand, others have reported MSC implantation can promote tumor growth [87], partly by supporting tumor vasculature [82, 88], and by reducing tumor cell apoptosis [87].

Even though the relationship between brain tumor/CSCs and MSCs is controversial, several studies indicated MSCs could be regarded as vector to deliver therapeutic molecules [89, 90], based on the homing property of MSCs to tumor. Sasportas et al. [89] gene-modified MSC to secrete cytokine tumor necrosis factor apoptosis ligand (TRAIL). In vitro and in vivo studies showed TRAIL-MSCs successfully inhibited growth of glioma through inducing tumor cell apoptosis [89]. Similar

results have been verified by another group [90]. Moreover, the results of this study demonstrated that antitumor effect of TRAIL-MSC was better than TRAIL alone using adenovirus-mediated delivery [90]. Other antitumor molecules, such as HSV-tk [91], IL-17 [92], and IFN- $\alpha$  [93], have also been investigated and have shown reduced tumor development. All of these studies indicated using MSCs as a vehicle to target tumor is a promising strategy for future tumor therapy.

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# Controversies in the Use of Mesenchymal Stem Cells for Treating Autoimmune Diseases

Zachary Wolff and Charles J. Malemud

## Introduction

Mesenchymal stem cells (MSCs) are currently being employed in clinical trials to test their ultimate use in the treatment of various autoimmune and degenerative diseases. MSCs can be obtained from several sources, most notably, adipose tissue, skeletal muscle, and bone marrow. Owing to the ease in generating and expanding these cells in cell cultures as well as for their known capacity to differentiate into specialized mesenchymal tissue types makes MSCs ideal not only for use in certain forms of tissue repair but also for their immunomodulatory activity. However, even though MSCs have been shown to possess the capacity to induce peripheral tolerance, their immunomodulatory potential as a treatment modality for autoimmune diseases continues to remain quite controversial [1, 2].

Here, we have systematically reviewed the constitutive and regulated expression of molecules produced by MSCs. These encompass the major histocompatibility complex (MHC) class I antigen processing machinery (APM), costimulatory B-7 molecules, and histocompatibility locus antigen (HLA)-G. Furthermore, we have focused attention on the secretion of various factors produced by MSCs such as cytokines, their

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functional role in mounting and controlling immune responses directly mediated by different immune cell subpopulations as well as the medical significance of MSCs including those technical obstacles that might limit their clinical application [3, 4].

## **Multipotential Mesenchymal Stromal Cells: Can They Be Used to Treat Musculoskeletal Diseases?**

There is now general agreement that MSCs have a significant application for repairing defects associated with musculoskeletal diseases, such as osteoarthritis [5], osteoporosis [6], long bone fractures [7], and avascular necrosis [8]. For example, in the present context, the interaction between MSCs and immune cells is now considered to be a vital component for inducing bone fracture repair. In this scenario osteoprogenitor MSCs become bone. However, MSCs also induce immune cells to participate in this differentiation process to promote bone repair through the induction of cytokine production [9]. In addition, the activity of the stromal cell-derived factor-1 (SDF-1)-CXCR4 axis was shown to be critical in the recruitment of MSCs, and endothelial progenitor cells, to sites where bone healing occurs [7].

With respect to cartilage defects, although MSC-based cartilage bioengineering retains a long and storied historical belief system the restorative treatment of cartilage defects with MSCs must be weighed against the documented evidence that MSCs while having the ability to migrate to sites of damaged tissue may also differentiate into, as well as potentially interact, with aberrant primary tumors and metastatic cancers [2–4] which could exacerbate the medical condition for which they are being employed. In fact, as pointed out by Bouffi et al. [2], MSCs have actually been associated with tumor growth due to their capacity to migrate to stroma produced by tumor cells. Thus, in the stroma MSCs have been shown to express chemokines involved in carcinoma cell metastasis.

In addition, in the setting of chronic inflammation commonly associated with osteoarticular disease, the capacity for MSCs to differentiate at all may be seriously compromised by the conditions underlying the pathophysiology itself. Therefore, in order to protect against this occurrence the immunomodulatory potential of MSCs may require that antecedent preconditioning occur before using MSCs for therapeutic applications [4]. For example, the activation of proinflammatory cytokines may be required as a precursor for inducing the immunosuppressive activities of MSCs.

Another factor that should be considered here is the compelling evidence from cell cultures studies that human bone marrow-derived MSCs appear to fully differentiate [10–12] in the cell culture expansion phase prior to employing them for future joint reconstruction. Thus, in the context of employing MSCs for articular cartilage repair it will likely be required that we exploit the addition of endogenous biologically active factors which are predicted to dampen or completely inhibit specific gene expressional events that stimulate MSCs to become fully differentiated as would be expected to occur, for example, in growth plate development and maturation [11].

## MSCs, Scaffolds, and Growth Factors

It is evident that stromal cells play a major role in the regulation of the immune system and therefore in the subsequent regulation of acute and/or chronic inflammation. In keeping with this contention, another component of evaluating MSCs as immunomodulatory cells must include, an analysis of the role that artificial scaffolds could play in regulating their immune modulating function. In that regard, improvements in the functioning of MSCs were reported when the cells were impregnated into an artificial scaffolding composed of sponges containing [poly (lactic-co-glycolic acid)] [13]. Thus, newly designed scaffolding strategies may also have to include placing MSCs in three-dimensional structural polymer microspheres [14] in the presence or absence of cell survival-inducing factors such as transforming growth factor- $\beta_1$  (TGF- $\beta_1$ ), TGF- $\beta_3$ , bone morphogenetic proteins-2 and -7, and bioactive transcription factors, such as the cartilage-specific transcription factor, SOX-9 [13].

## Immune Modulation in Animal Models of Arthritis and Osteoarticular Diseases

Experimental studies which employed MSCs in the collagen-induced arthritis model (CIA) in mice have also been revealing with respect to how MSCs might modulate proinflammatory cytokines. Thus, in a focused review of how MSCs influence the course of arthritis in CIA [15], serum levels of tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ) and interferon- $\gamma$  (INF- $\gamma$ ) were modulated by MSCs which were also associated with decreased  $T_H1$ -mediated inflammation [15]. The immunomodulatory properties of MSCs have also been reviewed by Klinker and Wei [15] who summarized the disease ameliorating effects in other animal models of autoimmune diseases.

In addition, MSCs appeared to be capable of preventing articular cartilage extracellular matrix protein degradation as a result of stimulating bioactive factors or by reducing matrix metalloproteinase gene expression. The differentiation of MSCs to chondrocytes was also relevant for promoting the repair of articular cartilage defects by reducing the formation of fibrocartilage in damaged articular cartilage. Thus, extending these findings in CIA to human osteoarticular diseases, such as rheumatoid arthritis [16] is likely to be an achievable objective going forward. However, it has been recommended that whatever animal models are chosen to mimic human RA, they should include the three stages of inflammation, the “priming” phase, the joint-specific phase, and the chronic phase of inflammation [16].

Presently, the immunosuppressive activity of MSCs has been principally studied using experimentally induced animal models associated with RA [16]. In that regard, evidence was presented that indicated that MSCs produced high levels of TNF- $\alpha$  when cultured in the presence of Type II collagen [17]. This resulted in reduced T-lymphocyte responses as well as the modulation of other proinflammatory cytokines. However, by far the most impressive result from employing adipose-derived

MSCs in the CIA model was the finding that these MSCs induced the production of antigen-specific CD4<sup>+</sup>CD25<sup>+</sup>FoxP3<sup>+</sup> T-regulatory (T<sub>reg</sub>) cells with their capacity to suppress self-reactive T effector responses.

By contrast, data has also been published indicating a somewhat paradoxical anti-inflammatory activity of MSC therapy while suggesting that production of TNF- $\alpha$  under these conditions is balanced by the function of other MSC factors. Thus, Tobin et al. [18] reported using an animal model of acute graft-versus-host (GVH) disease that MSCs may actually lose their capacity to “educate” other cells to produce immunosuppressive factors while at the same time also preserving the proinflammatory state which was dependent on the timing of MSC administration. In that regard, protection induced by MSCs in the GVH model was dependent on the timing of MSC administration. Importantly, MSC therapy reduced liver and gut pathology while also increasing animal survival [18]. The results from these studies in this experimentally induced GVH disease model indicated that MSC-treated animals could be provoked to down-regulate the activation of several pathogenic immune mechanisms which contribute to pathology.

Even though the pathogenesis of osteoarthritis (OA) is unlikely to be initiated by immune cell reactivity or by the “classical” components of inflammation it is obvious from the accumulation of persuasive evidence that a form of low-grade “non-classical” form of inflammation constitutes a factor in OA disease progression [19], so much so, that modulating the inflammatory response with MSCs could also reduce the expression of interleukin-1 (IL-1), IL-6, and TNF- $\alpha$  which would predict that the progression of arthritis would be ameliorated. However, it is imperative that we gain a further understanding of the chondrogenic potential of MSCs and as well as further defining the potential adverse side effects of MSCs [20] before they could be routinely employed in a cell-based therapy of osteoarticular diseases.

### ***Are There Clinical Applications for Employing MSCs in Immune-Mediated Disease Processes?***

Several experimental studies as well as human Phase I, II, and III clinical trials continue to explore the applicability of MSC-based therapies for treatment of autoimmune-mediated diseases. These include using MSCs to treat progressive neurodegenerative diseases which are at present without any clinically efficacious drug therapy. These would include multiple sclerosis (MS) [21–23] and amyotrophic lateral sclerosis (ALS) [24] which will be discussed in more detail later. To facilitate their ultimate use in human autoimmune diseases, bone marrow-derived MSCs are presently first being tested for their capacity to modulate immune responses in an experimental model of MS [23] and in autoimmune-mediated demyelination disease [25], an animal model for ALS.

Adipose-derived MSCs have also been evaluated for treating steroid-resistant acute graft-versus-host disease (GVHD), poor graft-functioning GVHD, as well as for poor graft-functioning bone marrow [18, 26, 27]. Of note, MSCs appear to be most valuable for treating autoimmune disorders and viral infections, such as

HIV. Thus, MSCs are being evaluated as for their immunomodulatory activity in the treatment of Type I diabetes [28, 29], scleroderma [30], uveitis [31, 32], systemic lupus erythematosus [30, 33–35], and irritable bowel disease [15]. It also appears that umbilical cord-derived MSCs may also have an important role in immune reconstitution in HIV-infected patients [36, 37].

In essence, there are three approved medications for MS, including, interferon- $\beta$ , glatiramer acetate, and natalizumab [22]. However, MS remains a progressive neurodegenerative disease without any clinically effective medical therapies. Thus, the application of stem cell therapy for the treatment of MS continues to be ongoing. Now that imbalances between  $T_{reg}$  cells and  $T_H17$  cells have been noted to play a role in the progression of malaise, urologic dysfunction, and overall MS disease progression [24], MSC-based therapies may take on considerable significance in the future treatment of MS. In addition, the capacity of MSCs to stimulate production of IL-10 by dendritic cells (DC), to promote  $T_{reg}$  cell production, as well as stimulating the release of the HLA G isoform appears to be particularly relevant in the context of MS pathology [22, 23].

In a mouse model of MS, where experimental allergic encephalomyelitis (EAE) was induced, mouse immune responses were altered by human bone marrow-derived MSCs [23]. Thus, after treatment with MSCs, activated  $T_H1$  cells producing interferon- $\gamma$  and  $T_H17$  cells with their associated cytokines were reduced along with a concomitant increase in IL-4-producing  $T_H2$  cells as well as their anti-inflammatory cytokines. Therefore, MSCs may exert an anti-inflammatory effect through their ability to stimulate the production of  $T_H2$  cells. Moreover, in this setting self-tolerance would also be promoted most likely by inhibiting the transformation of DC to “full-time” antigen-presenting-cells. Thus, suppressing DC maturation in combination with effectively decreasing the ability for clonal expansion of autoreactive T-lymphocytes may become a useful future overriding therapy for treating the progressive forms of MS [21].

The production of IL-6 by MSCs also appears to be responsible, in part, for the development of the  $T_H17$  response as well as for inhibiting  $T_{reg}$  cell development [22]. Therefore, preventing IL-6 activity by bone marrow-derived MSCs may be a strategically appropriate course for limiting the effectiveness of IL-6 in MS. Another potential candidate as a therapeutic target for MS may be MSC-derived hepatocyte growth factor (HGF) [23]. Thus, Bai et al. [23] demonstrated that conditioned medium from human MSCs (MSC-CM) reduced functional deficits in mouse MOG<sub>35–55</sub>-induced EAE. MSC-CM also promoted the development of oligodendrocytes and neurons. Moreover, functional assays identified HGF and its primary receptor c-Met as critical in MSC-stimulated recovery in EAE, neural cell development, and remyelination [25]. However, MSCs from MS patients down-regulated HGF as well as decreasing IL-10 and TGF- $\beta$  when compared to a control group.

### ***Amyotrophic Lateral Sclerosis (ALS)***

The pathogenesis of ALS has been associated with mutations in copper-containing superoxide dismutase, TAR DNA-binding protein-43, as well as the C9orf72 and TANK-binding kinase-1 genes. However, the heterogeneity among groups of ALS



patients has made it a difficult disease to treat in terms of developing medical therapies. Recently, several stem cell types have been considered for possible use for treating ALS. These have included neural stem cells, MSCs, glial-restricted embryonic stem cells, and induced pluripotent stem (IPS) cells. Recent studies using animal models of ALS have involved the placing of MSCs by peripheral injection or by direct placement into the spinal cord via intraspinal, intracerebral, or intrathecal administration with reported beneficial results [38]. These included a slower loss of motor neurons, improved motor function, and extended survival. Indeed, based primarily on the positive results from preclinical studies, Mazzini et al. [38] have performed the first clinical studies in MS patients to determine the tolerability of transplanted MSCs. Follow-up studies extended 4 years post-MS placement. Although there was no overall functional improvement post-MS transplantation, there were no abnormal or adverse effects [38]. These initial findings have been reevaluated in more recent clinical trials which showed that intraspinal, intrathecal, or intracerebral transplants were safely infusible [39].

In the analysis of three recent clinical trials, granulocyte colony-stimulating factor (G-CSF) was used to mobilize endogenous MSCs with no notable increase in major adverse effects. However, due to the effects and inability of G-CSF to cross the intact blood–brain barrier and facilitate peripheral administration, G-CSF has recently been examined more stringently for treating acute and chronic neurodegenerative disorders, particularly with regard to CNS spinal regeneration in neurogenic and vasculogenic mechanisms for the treatment of SCI and ALS [40].

## *Conclusions and Future Perspectives*

Ongoing studies now consider MSCs to be a potentially useful cell-based therapy for modifying the pathology of autoimmune diseases. The results of several published studies over the last 5 years have resulted in remarkable advances in extending the positive results from preclinical cell culture and animal models of RA, lupus, and EAE, to name just a few, to an analysis of the extent to which MSCs alter the progression of autoimmune diseases by evaluation of cell-based therapy in human clinical trials. Whereas most of the results from animal models of autoimmune diseases that were treated with MSCs have shown positive results toward reducing inflammation and pathology, a few, exemplified by the results reported by Youd et al. [35] remain controversial. For example, it remains problematic as to whether allogeneic MSCs can reverse pathology in the lupus-prone mouse model of SLE.

As additional data is collected from these analyses it should become evident as to which types of MSCs are most efficient at modulating activated T-cells and B-cells in this and other autoimmune diseases. Importantly, only time will tell if certain neurodegenerative diseases, such as MS and ALS, both of which possess an autoimmune component, but are without any disease-modifying medical therapy, will become amenable to MSC-targeted therapy.

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