



Global Tuberculosis Control 2009

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FINANCING**

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Cover design by Tom Hiatt, WHO Stop TB Department. Of the estimated 9.3 million new cases of TB that occurred in 2007, 1.4 million (15%) were infected with HIV. The WHO African Region accounted for 79% of these HIV-positive TB cases, followed by the WHO South-East Asia Region (11%). In the absence of appropriate treatment, the mortality rate in HIV-positive TB cases is high. However, this rate can be significantly reduced if provider-initiated HIV testing is made available to all TB patients and if interventions such as early antiretroviral therapy are made available to those who are HIV-positive. The cover image is a dot chart showing the relative contribution of countries (blue dots) and WHO regions (green dots) to the global burden of HIV-positive TB.

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Contents

Acknowledgements	v
Abbreviations	vii
Key points	1
Introduction	5
Chapter 1. Epidemiology	6
Goals, targets and indicators for TB control	6
TB incidence, prevalence and mortality	7
Incidence	7
Prevalence	12
Mortality	12
Summary of progress towards MDG and Stop TB Partnership impact targets	14
Improving measurement of progress towards the 2015 impact targets: the WHO Global Task Force on TB Impact Measurement	16
Measurement of incidence	16
Measurement of prevalence	19
Measurement of mortality	20
Status of impact measurement in HBCs at the end of 2008	20
Case notifications	22
Total case notifications	22
Case notifications disaggregated by sex	22
Case detection rates	23
Case detection rate, all sources (DOTS and non-DOTS programmes)	23
Case detection rate, DOTS programmes	26
Outcomes of treatment in DOTS programmes	27
New smear-positive cases	27
Re-treatment cases	29
Comparison of treatment outcomes in HIV-positive and HIV-negative TB patients	30
Progress towards reaching targets for case detection and treatment success	30
Summary	32
Chapter 2. Strategy	34
Data reported to WHO in 2008	35
DOTS expansion and enhancement	35
DOTS coverage and numbers of patients treated	35
Political commitment	37
Early case detection through quality-assured bacteriology	37
Standardized treatment with supervision, and patient support	40
Drug supply and management system	41
Monitoring and evaluation	41
Address TB/HIV, MDR-TB, and the needs of poor and vulnerable populations	43
Collaborative TB/HIV activities	43
Diagnosis and treatment of MDR-TB	49
Poor and vulnerable populations	54
Contribute to health system strengthening based on primary health care	54
Integration in primary health care	54

Alignment with broader planning and financing frameworks	55
Human resource development	55
Infection control	55
Practical Approach to Lung Health	56
Engage all care providers	57
Public-private mix approaches	57
International Standards for Tuberculosis Care	58
Empower people with TB, and communities through partnership	58
Advocacy, communication and social mobilization	58
Community participation in TB care	58
Patients' Charter for Tuberculosis Care	58
Enable and promote research	58
Summary	59
Chapter 3. Financing	60
Data reported to WHO in 2008	60
NTP budgets, available funding and funding gaps	60
High-burden countries	60
All countries	63
Total costs of TB control	64
High-burden countries	64
All countries	67
Comparisons with the Global Plan	69
High-burden countries	69
All countries	69
Budgets and costs per patient	71
Expenditures compared with available funding and changes in the number of patients treated	72
Global Fund financing	74
High-burden countries	74
All countries	74
Funding gaps and the global financial crisis	75
Summary	77
Conclusions	78
Annex 1. Profiles of high-burden countries	79
Annex 2. Methods	171
Data collection and verification – an overview	173
Epidemiology and surveillance	174
Implementation of the Stop TB Strategy	180
Financing	181
Annex 3. The Stop TB Strategy, case reports, treatment outcomes and estimates of TB burden	187
Explanatory notes	188
Summary by WHO region	191
Africa	197
The Americas	217
Eastern Mediterranean	237
Europe	249
South-East Asia	269
Western Pacific	281
Annex 4. Surveys of tuberculosis disease and availability of death registration data at WHO, by country and year	301

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The main purpose of this report is to provide a comprehensive and up-to-date assessment of the TB epidemic and progress in control of the disease at global, regional and country levels. This analysis is based on data about notifications of TB cases and the outcomes of treatment (from surveillance systems) as well as data related to the implementation and financing of the Stop TB Strategy. Data are supplied primarily by national TB control programme managers who lead work on surveillance, strategy and financing in countries. These people are listed in Annex 3, and we thank them all for their invaluable contribution and collaboration.

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Abbreviations

ACSM	advocacy, communication and social mobilization	HRD	human resource development
AFB	acid-fast bacilli	ICD-10	International Statistical Classification of Diseases
AFR	WHO African Region	IEC	information, education, communication
AFRO	WHO Regional Office for Africa	IPT	isoniazid preventive therapy
AIDS	acquired immunodeficiency syndrome	IRR	incidence rate ratio
AMR	WHO Region of the Americas	ISTC	International Standards for Tuberculosis Care
AMRO	WHO Regional Office for the Americas	KAP	knowledge, attitudes and practice
ARI	annual risk of infection	MDG	Millennium Development Goal
ART	antiretroviral therapy	MDR	multidrug resistance (resistance to, at least, isoniazid and rifampicin)
BMU	basic management unit	MDR-TB	multidrug-resistant tuberculosis
BRAC	Bangladesh Rural Advancement Committee	NGO	nongovernmental organization
CPT	co-trimoxazole preventive therapy	NRL	national reference laboratory
CTBC	community-based TB care	NTP	national tuberculosis control programme or equivalent
DHIS	District Health Information Software	OpenMRS	Open Medical Records System
DOT	directly observed treatment	PAL	Practical Approach to Lung Health
DOTS	the basic package that underpins the Stop TB Strategy	PPM	Public-Private Mix
DRS	drug resistance surveillance or survey	PPP	Public-Private Partnerships
DST	drug susceptibility testing	RDBMS	relational database management system
ECDC	European Centre for Disease Prevention and Control	SCC	short-course chemotherapy
EMR	WHO Eastern Mediterranean Region	SEAR	WHO South-East Asia Region
EMRO	WHO Regional Office for the Eastern Mediterranean	SEARO	WHO Regional Office for South-East Asia
ENRS	Electronic National Record System	SRL	supranational reference laboratory
EQA	external quality assurance	SRLN	supranational reference laboratory network
EUR	WHO European Region	TB	tuberculosis
EURO	WHO Regional Office for Europe	TBTEAM	TB Technical Assistance Mechanism
FDC	fixed-dose combination (or FDC anti-TB drug)	UNAIDS	Joint United Nations Programme on HIV/AIDS
FIDELIS	Fund for Innovative DOTS Expansion, managed by the Union	UNITAID	international facility for the purchase of drugs to treat HIV/AIDS, malaria and TB
FIND	Foundation for Innovative New Diagnostics	USAID	United States Agency for International Development
GDF	Global TB Drug Facility	WHA	World Health Assembly
GLC	Green Light Committee	WHO	World Health Organization
GLI	Global Laboratory Initiative	WHO-CHOICE	CHOosing Interventions that are Cost-Effective
Global Fund	The Global Fund to fight AIDS, Tuberculosis and Malaria	WPR	WHO Western Pacific Region
Global Plan	Global Plan to Stop TB, 2006–2015	WPRO	WHO Regional Office for the Western Pacific
GNI	gross national income	XDR-TB	TB caused by MDR strains that are also resistant to a fluoroquinolone and, at least, one second-line injectable agent (amikacin, kanamycin and/or capreomycin)
HBC	high-burden country of which there are 22 that account for approximately 80% of all new TB cases arising each year		
HIV	human immunodeficiency virus		

Key points

On trouvera les points essentiels du rapport 2009 de l'OMS relatif à la lutte antituberculeuse dans le monde sur le site Web indiqué ci-dessous:
Los puntos principales del informe mundial de 2009 de la OMS sobre la tuberculosis se pueden consultar en el sitio web que se indica más abajo:

世界卫生组织 2009 年全球结核病控制报告的要点请参见以下所示网站:
С основными положениями Доклада ВОЗ о глобальной борьбе против туберкулеза за 2009 г. можно ознакомиться на приведенном ниже веб-сайте:
ترد النقاط الرئيسية في تقرير منظمة الصحة العالمية لعام ٢٠٠٩ عن مكافحة السل على الصعيد العالمي في الموقع الوارد أدناه على شبكة الإنترنت:

www.who.int/tb/publications/global_report/2009/key_points/

1. This report is the 13th annual report on global control of tuberculosis (TB) published by the World Health Organization (WHO) in a series that started in 1997. Its main purpose is to provide a comprehensive and up-to-date assessment of the TB epidemic and progress in controlling the disease at global, regional and country levels, in the context of global targets set for 2015. Results are based primarily on data reported to WHO via its standard TB data collection form in 2008 and on the data that were collected every year from 1996 to 2007. The 196 countries and territories that reported data in 2008 account for 99.6% of the world's estimated number of TB cases and 99.7% of the world's population.
2. The main targets for global TB control are (i) that the incidence of TB should be falling by 2015 (MDG Target 6.c), (ii) that TB prevalence and death rates should be halved by 2015 compared with their level in 1990, (iii) that at least 70% of incident smear-positive cases should be detected and treated in DOTS programmes and (iv) that at least 85% of incident smear-positive cases should be successfully treated. The latest data suggest (i) that the incidence rate has been falling since 2004, (ii) that prevalence and death rates will be halved in at least three of six WHO regions by 2015 compared with a baseline of 1990, but that these targets will not be achieved for the world as a whole, (iii) that the case detection rate reached 63% in 2007 and (iv) that the treatment success rate reached 85% in 2006.
3. Globally, there were an estimated 9.27 million incident cases of TB in 2007. This is an increase from 9.24 million cases in 2006, 8.3 million cases in 2000 and 6.6 million cases in 1990. Most of the estimated number of cases in 2007 were in Asia (55%) and Africa (31%), with small proportions of cases in the Eastern Mediterranean Region (6%), the European Region (5%) and the Region of the Americas (3%). The five countries that rank first to fifth in terms of total numbers of cases in 2007 are India (2.0 million), China (1.3 million), Indonesia (0.53 million), Nigeria (0.46 million) and South Africa (0.46 million). Of the 9.27 million incident TB cases in 2007, an estimated 1.37 million (15%) were HIV-positive; 79% of these HIV-positive cases were in the African Region and 11% were in the South-East Asia Region.
4. Although the total number of incident cases of TB is increasing in absolute terms as a result of population growth, the number of cases per capita is falling. The rate of decline is slow, at less than 1% per year. Globally, rates peaked at 142 cases per 100 000 population in 2004. In 2007, there were an estimated 139 incident cases per 100 000 population. Incidence rates are falling in five of the six WHO regions (the exception is the European Region, where rates are approximately stable).
5. There were an estimated 13.7 million prevalent cases of TB in 2007 (206 per 100 000 population), a decrease from 13.9 million cases (210 per 100 000 population) in 2006.

6. An estimated 1.3 million deaths occurred among HIV-negative incident cases of TB (20 per 100 000 population) in 2007. There were an additional 456 000 deaths among incident TB cases who were HIV-positive; these deaths are classified as HIV deaths in the International Statistical Classification of Diseases (ICD-10). The 456 000 deaths among HIV-positive incident TB cases equate to 33% of HIV-positive incident cases of TB and 23% of the estimated 2 million HIV deaths in 2007.
7. Prevalence and mortality rates are falling globally and in all six WHO regions. The Region of the Americas as well as the Eastern Mediterranean and South-East Asia regions are on track to achieve the Stop TB Partnership targets of halving prevalence and death rates by 2015, compared with a baseline of 1990. The Western Pacific Region is on track to halve the prevalence rate by 2015, but the mortality target may be narrowly missed. Neither the prevalence nor the mortality targets will be met in the African and European regions. The gap between prevalence and mortality rates in 2007 and the targets in these two regions make it unlikely that 1990 prevalence and death rates will be halved by 2015 for the world as a whole.
8. The estimated numbers of HIV-positive TB cases and deaths in 2007 are approximately double the numbers published by WHO in previous years. This does not mean that the number of HIV-positive TB cases and the number of TB deaths among HIV-positive people doubled between 2006 and 2007. New data that became available in 2008, particularly from provider-initiated HIV testing in the African Region, were used (i) to estimate the numbers of cases and deaths in 2007 and (ii) to revise previous estimates of the numbers of cases and deaths that had occurred in earlier years. The numbers of HIV-positive TB cases and deaths are estimated to have peaked in 2005, at 1.39 million cases (15% of all incident cases) and 480 000 deaths.
9. The latest estimates of the numbers of HIV-positive TB cases and deaths were based, as usual, on estimates of HIV prevalence in the general population published by the Joint United Nations Programme on HIV/AIDS, or UNAIDS. The new data that became available in 2008 were direct measurements of the proportion of TB cases that are coinfecting with HIV in 64 countries (up from 15 countries in 2007). These 64 direct measurements suggest that HIV-positive people are about 20 times more likely than HIV-negative people to develop TB in countries with a generalized HIV epidemic (compared with a previous estimate of six), and between 26 and 37 times more likely to develop TB in countries where HIV prevalence is lower (compared with a previous estimate of 30). These higher estimates were used to estimate the number of HIV-positive TB cases in countries for which direct measurements were not available.
10. There were an estimated 0.5 million cases of multidrug-resistant TB (MDR-TB) in 2007. There are 27 countries (of which 15 are in the European Region) that account for 85% of all such cases. The countries that rank first to fifth in terms of total numbers of MDR-TB cases are India (131 000), China (112 000), the Russian Federation (43 000), South Africa (16 000) and Bangladesh (15 000). By the end of 2008, 55 countries and territories had reported at least one case of extensively drug-resistant TB (XDR-TB).
11. The WHO Global Task Force on TB Impact Measurement has produced recommendations about how to measure progress in reducing rates of TB incidence, prevalence and mortality (the three major indicators of impact). These include systematic analysis of national and sub-national notification data combined with improved surveillance systems to measure incidence, surveys of the prevalence of TB disease in 21 global focus countries between 2008 and 2015, and strengthening of vital registration systems to measure TB mortality among other causes of death. Implementation of Task Force recommendations is necessary to improve measurement of progress towards the global targets set for 2015 as well as to measure progress in TB control in subsequent years.
12. The Stop TB Strategy is WHO's recommended approach to reducing the burden of TB in line with global targets. The six major components of the strategy are: pursue high-quality DOTS expansion and enhancement; address TB/HIV, MDR-TB, and the needs of poor and vulnerable populations; contribute to health system strengthening based on primary health care; engage all care providers; empower people with TB, and communities through partnership; and enable and promote research. The Stop TB Partnership's Global Plan to Stop TB, 2006–2015 sets out the scale at which the interventions included in the Stop TB Strategy need to be implemented to achieve the 2015 targets.
13. In 2007, 5.5 million TB cases were notified by DOTS programmes (99% of total case notifications). This included 2.6 million smear-positive cases. The case detection rate of new smear-positive cases under DOTS (that is, the percentage of estimated incident cases that were notified and treated in DOTS programmes) was 63%, a small increase from 62% in 2006 but still 7% short of the target of $\geq 70\%$ first set for 2000 (and later reset to 2005) by the World Health Assembly (WHA) in 1991. The target was met in 74 countries and in two regions – the Region of the Americas (73%) and the Western Pacific Region (77%). The South-East Asia Region (69%) almost met the target. The case detection rate was 60% in the Eastern Mediterranean Region, 51% in the European Region and 47% in the African Region.

14. Globally, the rate of treatment success for new smear-positive cases treated in DOTS programmes in 2006 reached the target of 85% first set by the WHA in 1991. Three regions – the Eastern Mediterranean (86%), Western Pacific (92%), and South-East Asia (87%) regions – met the target, as did 59 countries. The treatment success rate was 75% in the African Region and the Region of the Americas, and 70% in the European Region.
15. In 2006–2007, the Western Pacific Region and 36 countries met both the target of a case detection rate of at least 70% and the target of a treatment success rate of at least 85% for new smear-positive cases. The South-East Asia Region is close to achieving both targets. Kenya became the first country in sub-Saharan Africa to achieve both targets.
16. There has been major progress in implementing interventions such as testing TB patients for HIV and providing co-trimoxazole preventive therapy (CPT) and antiretroviral therapy (ART) to HIV-positive TB patients. Globally, 1 million TB patients (16% of notified cases) knew their HIV status in 2007. The greatest progress in HIV testing was in the African Region, where 0.5 million TB patients (37% of all notified cases) knew their HIV status in 2007. Of the 250 000 HIV-positive TB patients, 0.2 million were enrolled on CPT and 0.1 million were started on ART. In both cases, figures were higher than those reported to WHO in previous years.
17. Despite the progress that has been made with scaling up collaborative TB/HIV activities, progress in HIV testing is outpacing progress in the provision of CPT and ART. The number of HIV-positive TB patients being treated with CPT and ART is small compared with the 0.3 million TB patients known to be HIV-positive, and smaller still compared with the estimated 1.4 million HIV-positive TB cases (many of whom are not detected in DOTS programmes, given a case detection rate of 47%). Case detection in DOTS programmes as well as collaborative TB/HIV activities need to be expanded to ensure that (i) many more people know their HIV status and (ii) that those who are HIV-positive, with and without TB, have access to appropriate and timely treatment and care.
18. Globally, just under 30 000 cases of MDR-TB were notified to WHO in 2007, mostly by European countries and South Africa. This was 8.5% of the estimated global total of smear-positive cases of MDR-TB. Of the notified cases, 3681 were started on treatment in projects or programmes approved by the Green Light Committee (GLC), and are thus known to be receiving treatment according to international guidelines. This is equivalent to 1% of the estimated global total of smear-positive cases of MDR-TB. The number of patients started on treatment in GLC-approved projects and programmes is expected to increase to around 14 000 in 2009, equivalent to 4% of the smear-positive cases of MDR-TB estimated to exist globally. To meet the targets set in the Global Plan, diagnosis and treatment of MDR-TB need to be rapidly scaled up, especially in the three countries that account for 57% of global cases: China, India and the Russian Federation.
19. Diagnostic and treatment services for TB are integrated into primary health care in most countries.
20. National plans for TB control are aligned with national health strategies in more than half of the 22 high-burden countries (HBCs). Most NTPs are also involving other ministries, associations and institutions in the development of their plans. With renewed emphasis on health system strengthening, there is a strong basis for closer collaboration on key challenges such as sustainable financing, human resource development, infection control and health information systems.
21. The contribution of public-private mix (PPM) initiatives to detection and treatment of TB cases is difficult to quantify in most countries, but examples such as Pakistan and the Philippines (where public-private partnerships accounted for 19% and 8% of all notifications in 2007, respectively) illustrate their potential to contribute to increased case detection. The contribution of communities to diagnosis and treatment of TB is also hard to quantify. Many countries require guidance and support to design, implement and evaluate advocacy, communication and social mobilization activities (ACSM).
22. A total of US\$ 3.0 billion is available for TB control in 2009 in 94 countries that reported data, and which account for 93% of the world's TB cases: of this total, 87% is funding from governments (including loans), 9% is funding from Global Fund grants and 4% is funding from donors other than the Global Fund. Most of the available funding is in the European Region (US\$ 1.4 billion, mostly in the Russian Federation), followed by the African Region (US\$ 0.6 billion) and the Western Pacific Region (US\$ 0.3 billion). The funding gaps identified by these 94 countries amount to US\$ 1.2 billion in 2009.
23. The total of US\$ 4.2 billion required for full implementation of country plans in these 94 countries in 2009 is mostly for DOTS (US\$ 3 billion, or 72%). The other major components are MDR-TB (US\$ 0.5 billion, or 12%; 76% of the total for MDR-TB is accounted for by the Russian Federation and South Africa), collaborative TB/HIV activities (US\$ 120 million, or 3%) and ACSM (US\$ 100 million, or 2%). The remaining 11% includes PPM, surveys of the prevalence of TB disease, community-based TB care and a variety of miscellaneous activities.
24. In the 22 HBCs where 80% of the world's TB cases occur, a total of US\$ 2.2 billion is available in 2009, a small increase of US\$ 27 million compared with 2008 but substantially above the US\$ 1.2 billion that was spent on

TB control in 2002 (when WHO began financial monitoring of TB control). Most of the increased funding since 2002 has come from domestic funding in Brazil, China and the Russian Federation, and external financing from the Global Fund. The HBCs reported a combined funding gap of US\$ 0.5–0.7 billion in 2009 (the range reflects uncertainty about the level of funding from provincial governments in South Africa).

25. The total of US\$ 2.9 billion required for full implementation of country plans in the 22 HBCs in 2009 is mostly for DOTS (US\$ 2 billion, or 69%). The other major components are MDR-TB (US\$ 0.4 billion, or 14%; 88% of this total is accounted for by the Russian Federation and South Africa), TB/HIV (US\$ 90 million, or 3%) and ACSM (US\$ 70 million, or 2%). The remaining 12% includes PPM, surveys of the prevalence of TB disease, community TB care and a variety of miscellaneous activities.
26. Of the US\$ 2.2 billion available in the 22 HBCs in 2009, 88% is from HBC governments, 8% (US\$ 169 million) is from the Global Fund and 4% (US\$ 94 million) is from grants from sources other than the Global Fund. The distribution of funding sources is different when the Russian Federation and South Africa are excluded: the government contribution to available funding drops to 70%, the Global Fund contribution increases to 19% and grants from sources besides the Global Fund account for 11%.
27. The gap between the available funding reported by the 22 HBCs in 2009 and the funding requirements for these countries according to the Global Plan in 2009 is US\$ 0.8 billion. The gap between the available funding reported by the 94 countries with 93% of global cases in 2009 and the funding required for these countries in 2009 according to the Global Plan is US\$ 1.6 billion. Most of the extra funding required according to the Global Plan is for MDR-TB diagnosis and treatment in the South-East Asia and Western Pacific regions (mostly in India and China), and for DOTS and collaborative TB/HIV activities in Africa.
28. The global burden of TB is falling slowly, and at least three of six WHO regions are on track to achieve global targets for reducing the number of cases and deaths that have been set for 2015. However, while increasing numbers of TB cases have access to high-quality anti-TB treatment as well as to related interventions such as ART, an estimated 37% of incident TB cases are not being treated in DOTS programmes, up to 96% of incident cases with MDR-TB are not being diagnosed and treated according to international guidelines, the majority of HIV-positive TB cases do not know their HIV status and the majority of HIV-positive TB patients who do know their HIV status do not have access to ART. To accelerate progress in global TB control, these numbers need to be reduced using the range of interventions and approaches included in the Stop TB Strategy.

Introduction

This report is the 13th annual report on global control of tuberculosis (TB) published by the World Health Organization (WHO) in a series that started in 1997. Its main purpose is to provide a comprehensive and up-to-date assessment of the TB epidemic and to report on progress in controlling the disease at global, regional and country levels, in the context of global targets set for 2015. The principal targets are that the incidence of TB should be falling by 2015 (MDG Target 6.c), that TB prevalence and death rates should be halved by 2015 compared with their level in 1990, that at least 70% of incident smear-positive cases should be detected and treated in DOTS programmes, and that at least 85% of new sputum smear-positive cases should be successfully treated.^{1,2,3,4} Results are based primarily on data reported to WHO via its standard TB data collection form in 2008 and on the data that were collected each year 1996–2007. The 196 countries and territories that reported data in 2008 account for 99.6% of the world's estimated TB cases and 99.7% of the world's population.

The report is structured in three major chapters.

CHAPTER 1 focuses on epidemiology. It includes WHO's latest estimates of the epidemiological burden of TB (incidence, prevalence and mortality), case notifications reported for 2007, estimates of the case detection rate for new smear-positive cases as well as for all types of case between 1995 (when reliable monitoring began) and 2007, and treatment outcomes between 1994 and 2006 for new and re-treatment cases. Particular attention is given to two topics. The first is updated estimates of the numbers of TB cases and deaths among HIV-positive people, which have been revised substantially upwards using new data that became available in 2008. The second is recent recommendations about how to improve measurement of the epidemiological burden of TB and monitoring of progress towards impact targets (i.e. reductions in incidence, prevalence and mortality) from 2009 onwards, which have been made by WHO's Global Task Force on TB Impact Measurement.

CHAPTER 2 analyses progress in implementing WHO's Stop TB Strategy, which is designed to achieve the global targets set for 2015.⁵ The strategy was launched in 2006 and is built on the foundations of the DOTS strategy, the internationally-recommended approach to TB control advocated by WHO from the mid-1990s until 2005. The six major components of the strategy (DOTS implementation; addressing TB/HIV, MDR-TB and the needs of poor and vulnerable populations; contributing to health-system strengthening based on primary health care; engaging all care providers; empowering people with TB, and communities; and pro-

moting research) are addressed in turn. Wherever possible, comparisons are made with the targets for scaling up interventions that were set in the Stop TB Partnership's Global Plan to Stop TB. Examples of how different components of the strategy can be implemented based on recent country experience and which have wider applicability are also highlighted. These include scaling up public-private collaboration in Pakistan, treatment of multidrug-resistant TB (MDR-TB) in Estonia and Latvia, introducing electronic recording and reporting in Myanmar, and provision of antiretroviral treatment (ART) in Africa.

CHAPTER 3 analyses financing for TB control. The data presented include the budgets of national TB control programmes (NTPs), and available funding and funding gaps for these budgets, between 2002 (when reliable monitoring began) and 2009; estimates of the total costs of TB control, which include NTP budgets plus the costs associated with use of general health-system staff and infrastructure that are usually not included in NTP budgets; comparisons of funding needs set out in the Global Plan with countries' assessments of their funding needs; per patient costs and budgets; and expenditures compared with available funding and changes in the number of patients treated. Progress with planning and budgeting for TB control and the possible consequences of the global financial crisis that developed in 2008 are also highlighted.

The main part of the report ends with a summary of the major conclusions from all three chapters (**CONCLUSIONS**). The remainder of the report consists of four annexes. These include country profiles for the 22 high-burden countries (**ANNEX 1**), an explanation of methods (**ANNEX 2**), country-specific data for 1990–2007 (**ANNEX 3**), and a summary of the countries where surveys of the prevalence of TB disease have been conducted or are planned and the countries for which mortality data from vital registration systems are available in a central WHO database (**ANNEX 4**).

¹ The Millennium Development Goals are described in full at unstats.un.org/unsd

² Resolution WHA44.8. Tuberculosis control programme. In: *Handbook of resolutions and decisions of the World Health Assembly and the Executive Board*. Volume III, 3rd ed. (1985–1992). Geneva, World Health Organization, 1993 (WHA44/1991/REC/1).

³ *Stop Tuberculosis Initiative. Report by the Director-General*. Fifty-third World Health Assembly. Geneva, 15–20 May 2000 (A53/5, 5 May 2000).

⁴ Dye C et al. Targets for global tuberculosis control. *International Journal of Tuberculosis and Lung Disease*, 2006, 10:460–462.

⁵ Raviglione MC, Uplekar MW. WHO's new Stop TB Strategy. *Lancet*, 2006, 367:952–955.

⁶ *The Global Plan to Stop TB, 2006–2015*. Stop TB Partnership and WHO. Geneva, World Health Organization, 2006 (WHO/HTM/STB/2006.35).

Epidemiology

WHO has assessed the status of the TB epidemic and progress in control of the disease every year since 1997. This assessment has included estimates of TB incidence, prevalence and mortality (from 1990 onwards); analysis of case notifications (from 1995) and treatment outcomes (from 1994) in around 200 (of 212) countries and territories, following the start of reliable recording and reporting in 1995; and analysis of progress towards the global targets for case detection and treatment success established by the World Health Assembly (WHA) in 1991. Since 2006, WHO has also assessed progress towards achieving the impact targets related to incidence, prevalence and mortality that have been set for 2015 within the framework of the Millennium Development Goals (MDGs) and by the Stop TB Partnership.

This chapter provides WHO's latest assessment of the status of the TB epidemic and progress towards achieving the global targets using data reported by 196 countries and territories (accounting for 99.6% of the world's estimated number of TB cases and 99.7% of the world's population) in 2008 as well as data reported in previous years. It is structured in seven major sections. The first defines the global targets and indicators for TB control set for 2005, 2015 and 2050. The second section presents the latest estimates of TB incidence, prevalence and mortality, including estimates for 2007 and for the period since 1990, and discusses whether the world as a whole and specific regions are on track to reach the 2015 MDG and Stop TB Partnership targets. The estimates of TB incidence and mortality include important updates to previously published estimates of the numbers of HIV-positive TB cases and deaths. Building on the second section, the third section provides an overview of recent recommendations from the WHO Global Task Force on TB Impact Measurement about how to measure progress towards the 2015 impact targets. These recommendations focus on strengthening surveillance (of cases and deaths) in all countries and on implementing surveys of the prevalence of TB disease in 21 global focus countries. Recent examples of how the recommendations can be applied in practice are provided. The fourth section presents TB notification data for 2007, including for men and women separately. The fifth section includes the latest estimates of the case detection rate, the sixth section reports treatment outcomes in 2006, and the seventh section assesses regional and country progress towards achieving the targets for both case detection and treatment success. The chapter ends with a summary of the main results and conclusions.

The methods used to produce the results presented in this chapter are explained in [ANNEX 2](#). Throughout this chapter, particular attention is given to the 22 high-burden countries

(HBCs) that collectively account for 80% of incident TB cases globally. Additional data are provided for HBCs in [ANNEX 1](#) and for all countries in [ANNEX 3](#).

1.1 Goals, targets and indicators for TB control

The global targets and indicators for TB control were developed within the framework of the MDGs as well as by the Stop TB Partnership and the WHA ([TABLE 1.1](#)).^{1,2} The impact targets are to halt and begin to reverse the incidence of TB by 2015 and to reduce by 50% prevalence and mortality rates by 2015 relative to 1990 levels. The incidence target is part of MDG Target 6.c, while the targets for reducing prevalence and death rates were based on a resolution of the year 2000 meeting of the Group of Eight (G8) industrialized countries, held in Okinawa, Japan. The outcome targets – to achieve a case detection rate of new smear-positive cases of at least 70% and to reach a treatment success rate of at least 85% for such cases – were first established by the WHA in 1991. Within the MDG framework, these indicators were defined as the proportion of cases detected and cured under DOTS. The ultimate goal of eliminating TB, defined as the occurrence of less than 1 case per million population per year by 2050, was set by the Stop TB Partnership.

The Stop TB Strategy,³ launched by WHO in 2006, sets out the major interventions that should be implemented to achieve the MDG, Stop TB Partnership and WHA targets. These are divided into six broad components: (i) pursuing high-quality DOTS expansion and enhancement; (ii) addressing TB/HIV, MDR-TB and the needs of poor and vulnerable populations; (iii) contributing to health-system strengthening based on primary health care; (iv) engaging all care providers; (v) empowering people with TB, and communities through partnership; and (vi) enabling and promoting research. The Global Plan to Stop TB, launched by the Stop TB Partnership in 2006, sets out how, and at what scale, the Stop TB Strategy should be implemented over the decade 2006–2015, and the funding requirements.² This means that in addition to the targets shown in [TABLE 1.1](#), the Global Plan also includes input targets (funding required per year) and output targets (for example, the number of patients with MDR-TB who should be

¹ Dye C et al. Targets for global tuberculosis control. *International Journal of Tuberculosis and Lung Disease*, 2006, 10:460–462.

² *The Global Plan to Stop TB, 2006–2015: actions for life towards a world free of tuberculosis*. Geneva, World Health Organization, 2006 (WHO/HTM/STB/2006.35).

³ *The Stop TB Strategy: building on and enhancing DOTS to meet the TB-related Millennium Development Goals*. Geneva, World Health Organization, 2006 (WHO/HTM/TB/2006.368).

TABLE 1.1
Goals, targets and indicators for TB control

HEALTH IN THE MILLENNIUM DEVELOPMENT GOALS

Goal 6: Combat HIV/AIDS, malaria and other diseases

- Target 6c: Halt and begin to reverse the incidence of malaria and other major diseases
 Indicator 6.9: Incidence, prevalence and death rates associated with TB
 Indicator 6.10: Proportion of TB cases detected and cured under DOTS

Stop TB Partnership targets

- By 2005: At least 70% of people with sputum smear-positive TB will be diagnosed (i.e. under the DOTS strategy), and at least 85% successfully treated. The targets of a case detection rate of at least 70% and a treatment success rate of at least 85% were first set by the World Health Assembly of WHO in 1991.
 By 2015: The global burden of TB (per capita prevalence and death rates) will be reduced by 50% relative to 1990 levels.
 By 2050: The global incidence of active TB will be less than 1 case per million population per year.

treated each year, number of TB patients to be tested for HIV, number of HIV-positive TB patients who should be enrolled on antiretroviral therapy (ART)).

This chapter focuses on the five principal indicators that are used to measure the impact and outcomes of TB control: incidence, prevalence and deaths (impact indicators), and case detection and treatment success rates (outcome indicators). An analysis of progress towards achieving other targets is provided in **CHAPTER 2** and **CHAPTER 3**.

1.2 TB incidence, prevalence and mortality

1.2.1 Incidence

Based on surveillance and survey data (**ANNEXES 2, 3 and 4**), WHO estimates that 9.27 million new cases of TB occurred in 2007 (139 per 100 000 population), compared with 9.24 million new cases (140 per 100 000 population) in 2006. Of these 9.27 million new cases, an estimated 44% or 4.1 million (61 per 100 000 population) were new smear-positive cases (**TABLE 1.2; FIGURE 1.1**). India, China, Indo-

TABLE 1.2
Estimated epidemiological burden of TB, 2007

	INCIDENCE ^a						PREVALENCE ^a				MORTALITY		HIV PREV. IN INCIDENT TB CASES ^b %
	ALL FORMS			SMEAR-POSITIVE			ALL FORMS		HIV-NEGATIVE		HIV-POSITIVE		
	POPULATION 1000s	NUMBER 1000s	PER 100 000 POP PER YEAR	NUMBER 1000s	PER 100 000 POP PER YEAR	NUMBER 1000s	PER 100 000 POP PER YEAR	NUMBER 1000s	PER 100 000 POP PER YEAR	NUMBER 1000s	PER 100 000 POP PER YEAR		
1 India	1 169 016	1 962	168	873	75	3 305	283	302	26	30	2.5	5.3	
2 China	1 328 630	1 306	98	585	44	2 582	194	194	15	6.8	0.5	1.9	
3 Indonesia	231 627	528	228	236	102	566	244	86	37	5.4	2.4	3.0	
4 Nigeria	148 093	460	311	195	131	772	521	79	53	59	40	27	
5 South Africa	48 577	461	948	174	358	336	692	18	38	94	193	73	
6 Bangladesh	158 665	353	223	159	100	614	387	70	44	0.4	0.3	0.3	
7 Ethiopia	83 099	314	378	135	163	481	579	53	64	23	28	19	
8 Pakistan	163 902	297	181	133	81	365	223	46	28	1.4	0.9	2.1	
9 Philippines	87 960	255	290	115	130	440	500	36	41	0.3	0.3	0.3	
10 DR Congo	62 636	245	392	109	174	417	666	45	72	6.0	10	5.9	
11 Russian Federation	142 499	157	110	68	48	164	115	20	14	5.1	3.6	16	
12 Viet Nam	87 375	150	171	66	76	192	220	18	20	3.1	3.5	8.1	
13 Kenya	37 538	132	353	53	142	120	319	10	26	15	39	48	
14 Brazil	191 791	92	48	49	26	114	60	5.9	3.1	2.5	1.3	14	
15 UR Tanzania	40 454	120	297	49	120	136	337	12	29	20	49	47	
16 Uganda	30 884	102	330	42	136	132	426	13	41	16	52	39	
17 Zimbabwe	13 349	104	782	40	298	95	714	6.9	52	28	213	69	
18 Thailand	63 884	91	142	39	62	123	192	10	15	3.9	6.0	17	
19 Mozambique	21 397	92	431	37	174	108	504	10	45	17	82	47	
20 Myanmar	48 798	83	171	37	75	79	162	5.4	11	0.9	1.9	11	
21 Cambodia	14 444	72	495	32	219	96	664	11	77	1.8	13	7.8	
22 Afghanistan	27 145	46	168	21	76	65	238	8.2	30	0.0	0	0	
High-burden countries	4 201 761	7 423	177	3 245	77	11 301	269	1 058	25	339	8.1	14	
AFR	792 378	2 879	363	1 188	150	3 766	475	357	45	378	48	38	
AMR	909 820	295	32	157	17	348	38	33	3.6	7.9	0.9	11	
EMR	555 064	583	105	259	47	772	139	97	17	7.7	1.4	3.5	
EUR	889 278	432	49	190	21	456	51	56	6.3	8.1	0.9	9.8	
SEAR	1 745 394	3 165	181	1 410	81	4 881	280	497	28	40	2.3	4.6	
WPR	1 776 440	1 919	108	859	48	3 500	197	276	16	15	0.8	2.7	
Global	6 668 374	9 273	139	4 062	61	13 723	206	1 316	20	456	6.8	15	

^a Incidence and prevalence estimates include TB in people with HIV.

^b Prevalence of HIV in incident TB cases of all ages.

FIGURE 1.1
Estimated number of new TB cases, by country, 2007

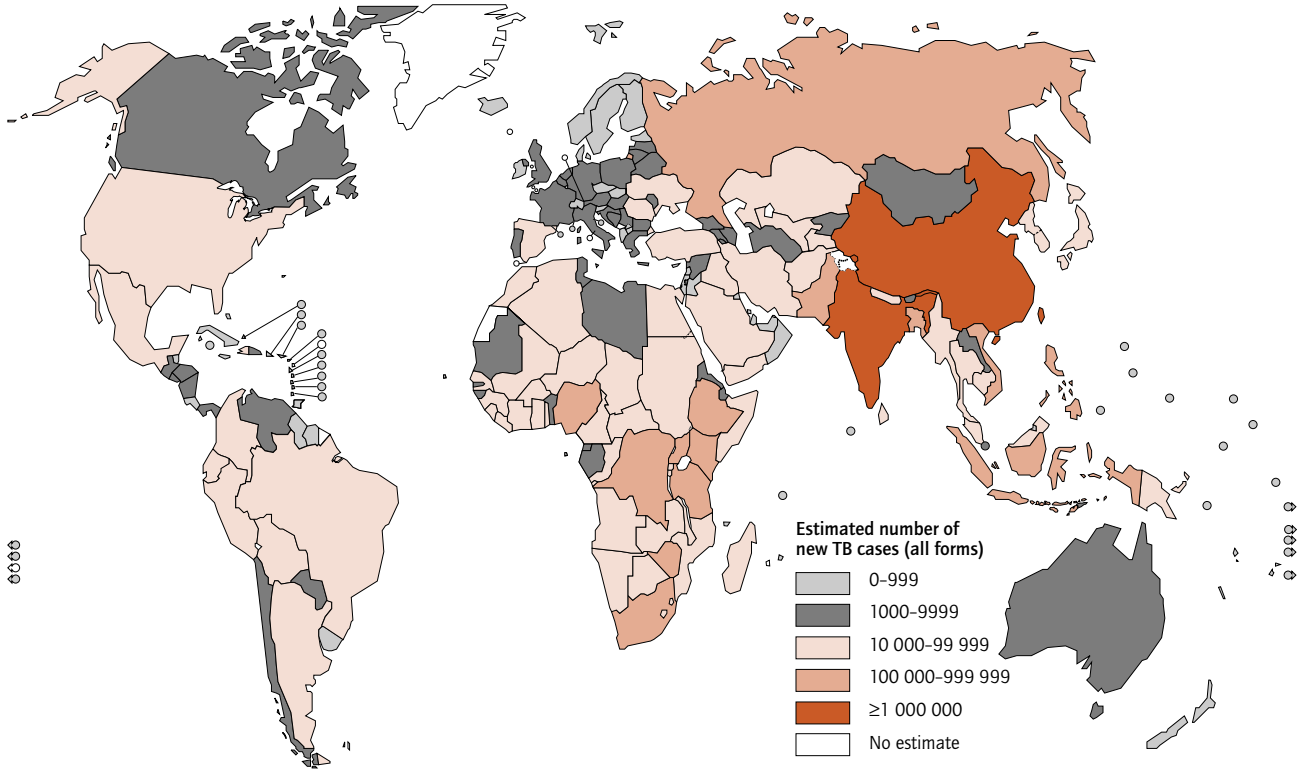


FIGURE 1.2
Estimated TB incidence rates, by country, 2007

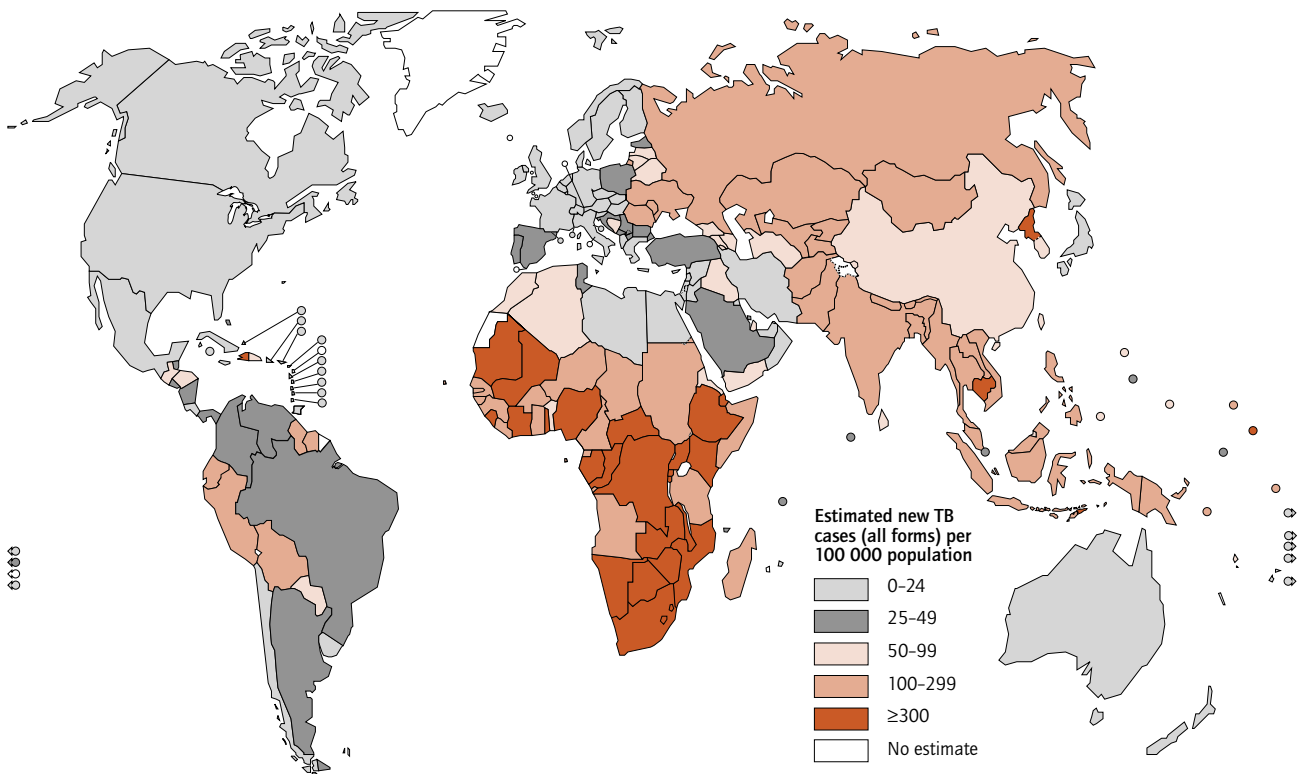
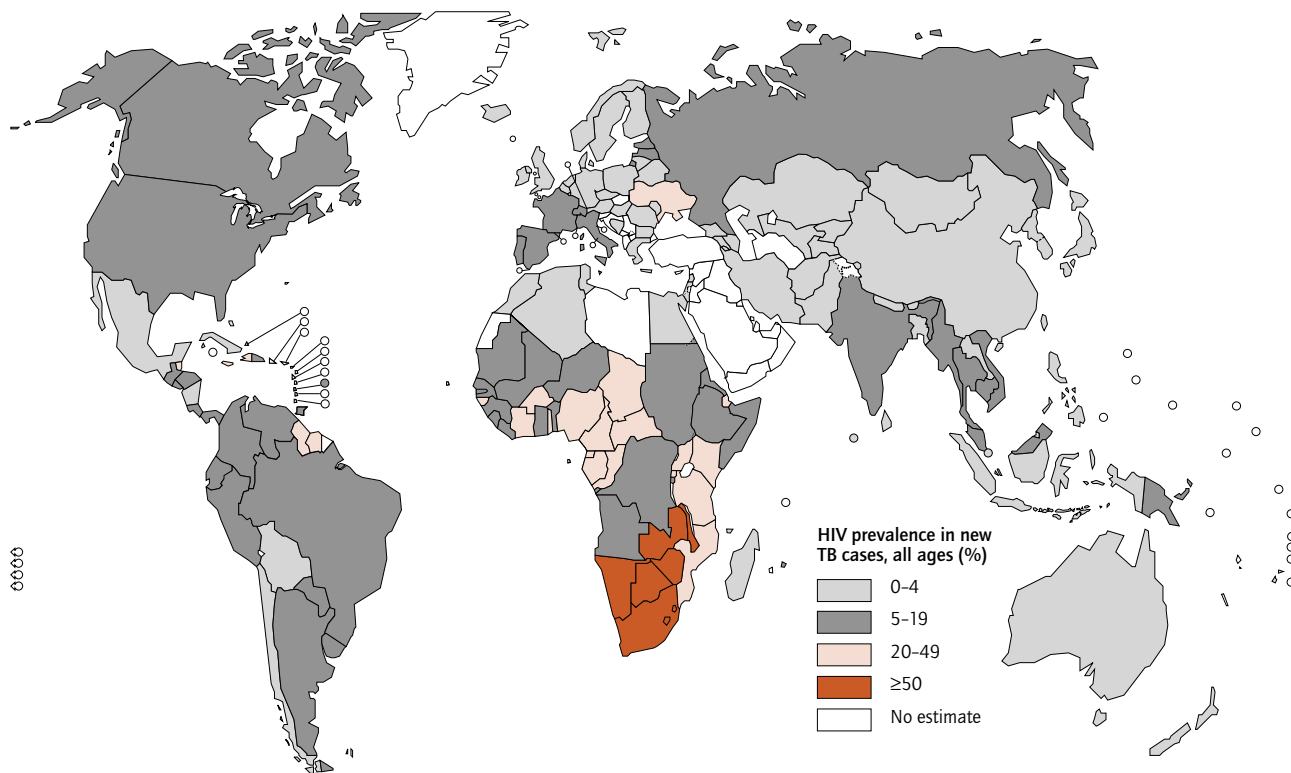


FIGURE 1.3
Estimated HIV prevalence in new TB cases, 2007

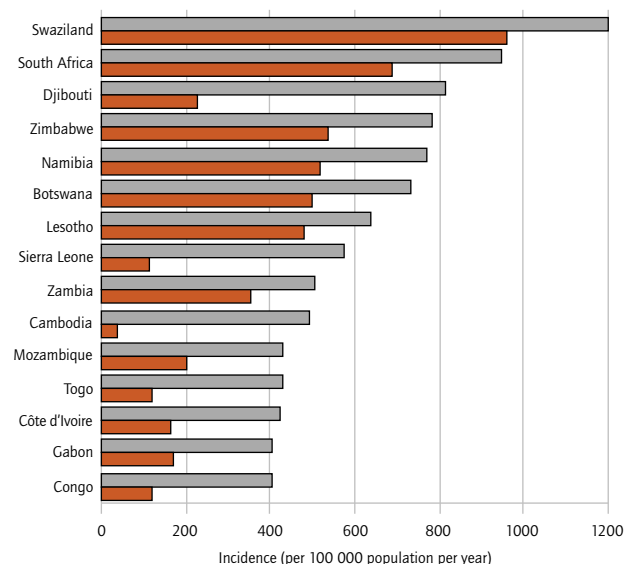


nesia, Nigeria and South Africa rank first to fifth in terms of the total number of incident cases; the estimated numbers of cases in these and other HBCs in 2007 are also shown in **TABLE 1.2**. Asia (the South-East Asia and Western Pacific regions) accounts for 55% of global cases and the African Region for 31%; the other three regions (the Americas, European and Eastern Mediterranean regions) account for small fractions of global cases. The magnitude of the TB burden within countries can also be expressed as the number of incident cases per 100 000 population (**FIGURE 1.2**). Among the 15 countries with the highest estimated TB incidence rates, 13 are in Africa, a phenomenon linked to high rates of HIV coinfection (**FIGURE 1.3**; **FIGURE 1.4**).

Incidence of TB among people infected with HIV

Among the 9.27 million incident cases of TB in 2007, an estimated 1.37 million (14.8%) were HIV-positive (**TABLE 1.2**). This number, although double the estimate of 0.7 million cases in 2006 that WHO published in 2008,¹ does not mean that the number of HIV-positive cases of TB doubled between 2006 and 2007; rather, new data that became available during 2008 have been used to estimate both the number of HIV-positive TB cases in 2007 and to revise estimates of the number of such cases that occurred in previous years. The global number of incident HIV-positive TB cases is estimated to have peaked in 2005, at 1.39 million. In 2007, as in previous years, the African Region accounted for most (79%)

FIGURE 1.4
Fifteen countries with the highest estimated TB incidence rates per capita (all forms; grey bars) and corresponding incidence rates of HIV-positive TB cases (red bars), 2007



¹ *Global tuberculosis control: surveillance, planning, financing. WHO report 2008*. Geneva, World Health Organization, 2008 (WHO/HTM/TB/2008.393).

BOX 1.1**Revising estimates of the numbers of TB cases and deaths among HIV-positive people**

This report includes estimates of the numbers of HIV-positive TB cases and deaths that are substantially higher than those published in previous years. It is estimated that, in 2007, there were 1.37 million incident cases of HIV-positive TB (14.8% of total incident cases) and 456 000 deaths from TB among HIV-positive people (equivalent to 26% of deaths from TB in HIV-positive and HIV-negative people, and 23% of an estimated 2 million HIV-related deaths).¹

These estimated numbers of TB cases and deaths among HIV-positive people in 2007 are approximately double those published in previous reports. This does not mean that the numbers of HIV-positive TB cases and TB deaths among HIV-positive people doubled between 2006 and 2007. Instead, new data that became available during 2008 have been used to estimate both (i) the numbers of HIV-positive TB cases and deaths in 2007 and (ii) to revise previous estimates of the numbers of cases and deaths that occurred in earlier years. The revised estimates suggest that the number of HIV-positive TB cases and deaths peaked in 2005 at 1.39 million incident cases (15.1% of total incident cases) and 480 000 deaths.

As for previous reports in this series, the estimates are based on the latest global estimates of HIV prevalence among the general population (all ages) published by the Joint United Nations Programme on HIV/AIDS (UNAIDS).¹ What is new for this report is that direct measurements of the prevalence of HIV in TB patients were available from a much larger number of countries. These direct measurements were mostly from provider-initiated HIV testing of TB patients (49 countries, up from 13 countries in the previous year). Provider-initiated HIV testing has been rapidly expanded since 2005–2006, notably in African countries (see also **CHAPTER 2**). For a further 15 countries, direct measurements were available from surveys or sentinel surveillance (up from two countries in the previous year). These 64 direct measurements were used to estimate the number of incident HIV-positive TB cases in 64 countries that account for 32% of the estimated total of 1.37 million HIV-positive TB cases.

These direct measurements provide strong evidence that the relative risk of developing TB in HIV-positive people as compared with HIV-negative people (the incidence rate ratio, or IRR) is higher than previously estimated. The IRR was estimated as 20.6 (95% confidence interval (CI) 15.4–27.5) in 2007 in countries with a generalized HIV epidemic (i.e. countries where the prevalence of HIV is above 1% in the general population), as 26.7 (95% CI 20.4–34.9) in countries where the prevalence of HIV in the general population is between 0.1% and 1%, and 36.7 (95% CI 11.6–116) in countries where the prevalence of HIV in the general population is less than 0.1%. These IRR estimates compare with previous estimates of 6, 6 and 30, respectively.² Higher estimates are consistent with reductions in the estimates of HIV prevalence in the general population published in 2007 by UNAIDS (which by definition lead to an increase in previous IRR estimates for any given level of HIV prevalence among TB patients) and with evidence that the IRR increases as the HIV epidemic matures. The wide confidence intervals around these IRRs illustrate that large uncertainty remains, although the greatest uncertainty is for countries with a low HIV prevalence that have only a small impact on global estimates. The new IRR figures were used to produce indirect estimates of the number of HIV-positive TB cases in 104 countries for which direct measurements of the prevalence of HIV in TB patients were not available.

To increase the reliability of these estimates, the coverage of HIV surveillance among TB patients needs to be improved. Furthermore, indirect methods will become more problematic as the coverage and impact of antiretroviral therapy (ART) increases. More data are needed, particularly from national HIV programmes, to better understand the impact of ART on the incidence of TB.

¹ <http://www.unaids.org/en/KnowledgeCentre/HIVData/Epidemiology/latestEpiData.asp>

² These earlier estimates of the IRR were based on a thorough review of the evidence conducted in 2000–2001. See Corbett EL et al. The growing burden of tuberculosis: global trends and interactions with the HIV epidemic. *Archives of Internal Medicine*, 2003, 163:1009–1021.

HIV-positive TB cases, followed by the South-East Asia Region (mainly India) with 11% of total cases (**FIGURE 1.5**). South Africa accounted for 31% of cases in the African Region.

As for earlier reports in this series, the new estimates were produced using the latest global estimates of HIV prevalence among the general population (all ages) published by the Joint United Nations Programme on HIV/AIDS (UNAIDS).¹ There are two new and related changes to the data and methods used for this report. First, direct measurements of the prevalence of HIV in TB patients were available from a much larger number of countries (from provider-initiated HIV testing in 49 countries and surveys or sentinel surveillance in 15 countries). Second, these direct measurements suggest that the risk of developing TB in HIV-positive people compared with HIV-negative people (the incidence rate ratio, or IRR) is higher than previously estimated (for example, 20.6 compared with the previous estimate of 6 in countries with a high prevalence of HIV in the general population). New and higher estimates of the IRR were used to produce indirect estimates of the number of HIV-positive TB cases in 104 countries for which direct measurements of the prevalence of HIV in TB patients were not available.² The new estimates and associated data and methods are summarized in **BOX 1.1** and explained in more detail in **ANNEX 2**. Estimates for all countries are included in **ANNEX 3**.

Estimated incidence of MDR-TB

Estimates of the burden of multidrug resistant TB (MDR-TB) are presented by country, disaggregated by smear status, in **ANNEX 3**. Most of the current information about the proportion of TB cases with MDR-TB comes from drug susceptibility testing (DST) of samples from patients in whom MDR-TB is diagnosed in public health facilities under conditions defined by the WHO/IUATLD Global Project on Drug Resistance Surveillance (DRS).³ These conditions include documented satisfactory performance of laboratories based on external quality assurance (EQA) and an adequate record of every patient's treatment history. Such data are available for new and re-treatment cases for 113 and 102 countries, respectively. Using a set of widely measurable, independent variables that are predictive of the frequency of MDR-TB (such as gross national income (GNI)

¹ <http://www.unaids.org/en/KnowledgeCentre/HIVData/Epidemiology/latestEpiData.asp>

² UNAIDS does not produce estimates of HIV prevalence in the general population for the remaining 44 countries and territories. For this reason, estimates of the number of HIV-positive TB cases in these countries and territories were not produced.

³ *Anti-tuberculosis drug resistance in the world, 4th report: the WHO/IUATLD Global Project on Anti-tuberculosis Drug Resistance Surveillance*. Geneva, World Health Organization, 2008 (WHO/HTM/TB/2008.394).

FIGURE 1.5
Geographical distribution of estimated number of HIV-positive TB cases, 2007. For each country (red circles) and WHO region (grey circles), the number of incident TB cases arising in people with HIV is shown as a percentage of the global total of such cases.

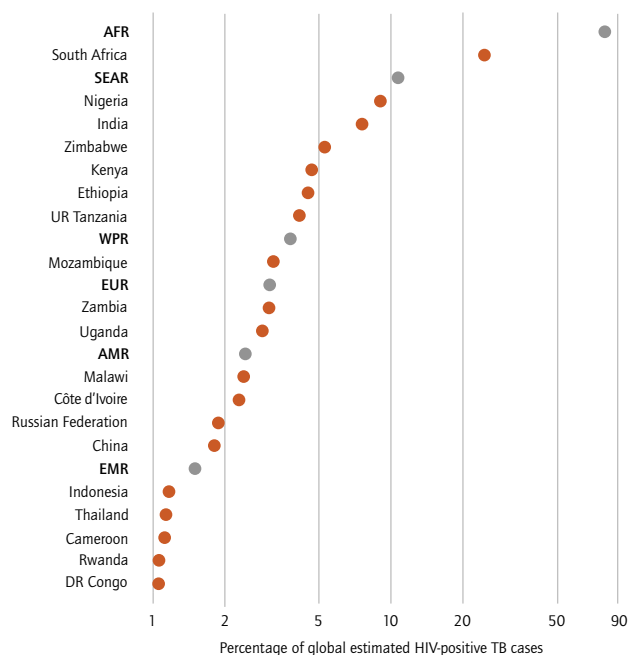
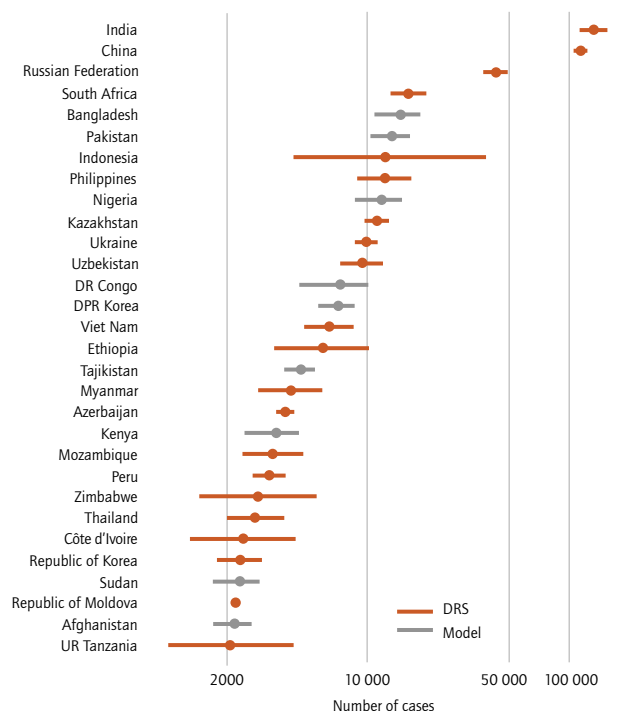


FIGURE 1.6
Countries with the highest numbers of estimated MDR-TB cases, 2007. Horizontal lines denote 95% confidence intervals. The source of estimates is drug resistance surveillance or surveys (DRS, in red) or modelling (in grey).



per capita, the ratio of re-treatment to new patients, and the failure rate associated with first-line treatments), it is possible to estimate the frequency of MDR-TB in countries where it has not been measured directly. The general methods used to produce these estimates are presented in ANNEX 2, while ANNEX 3 defines whether the direct or indirect method was used for each country.

In 2007, there were an estimated 9.27 million first episodes of TB and an additional 1.16 million subsequent episodes of TB (episodes occurring in patients who had already experienced at least one previous episode of TB in the past and who had received at least one month of anti-TB treatment). Among these, 10.4 million episodes of TB (first and subsequent), an estimated 4.9% or 511 000 were cases of MDR-TB. Of these, 289 000 were among new cases (3.1% of all new cases) and 221 000 were among cases that had been previously treated for TB (19% of all previously treated cases). Of the 511 000 incident cases of MDR-TB in 2007, 349 000 (68%) were smear-positive. The countries with the largest number of cases of MDR-TB, ranked in decreasing order, are shown in FIGURE 1.6.

Trends in incidence since 1990 and progress towards MDG Target 6.c

From series of notification data and surveys (ANNEXES 2, 3 and 4), the global incidence of TB per capita appears to have peaked in 2004 and is now in decline (FIGURE 1.7; FIGURE 1.8). This peak and subsequent decline follow a similar pattern to the trend in HIV prevalence in the general population (FIGURE 1.7). The reason why the number of incident cases

FIGURE 1.7
Estimated incidence of TB and prevalence of HIV for the African subregion most affected by HIV (Africa high-HIV), 1990–2007

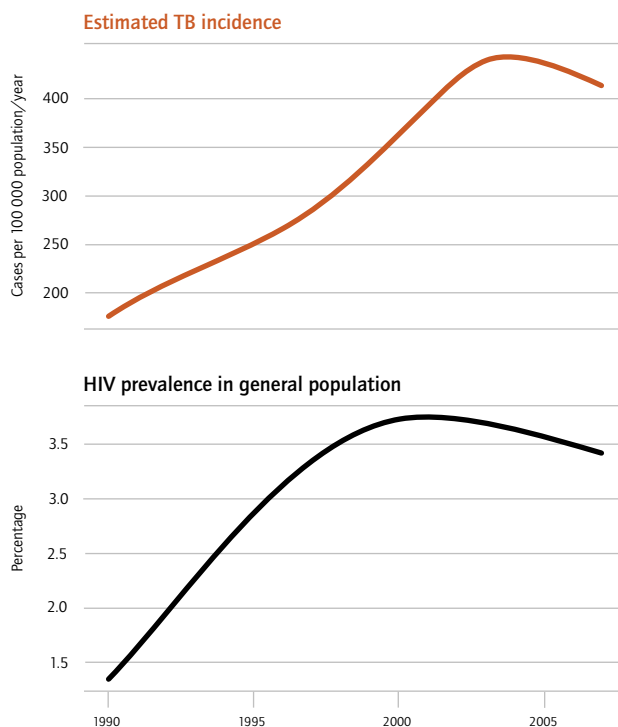
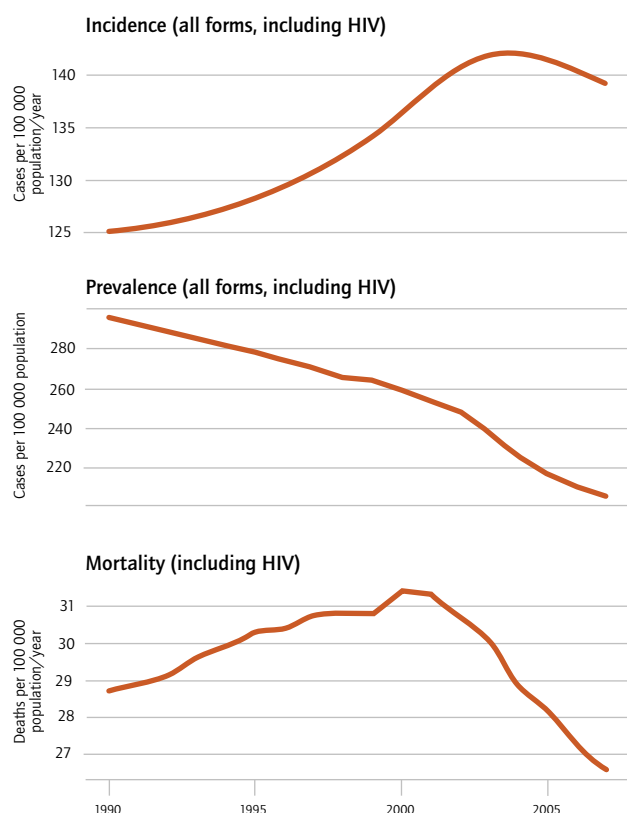


FIGURE 1.8
Global rates of TB incidence, prevalence and mortality, including in people with HIV, 1990–2007



in absolute terms is increasing (see above), while incidence rates per capita are falling, is population growth. In the African, Eastern Mediterranean, European and South-East Asia regions, the decline in incidence per capita is more than compensated for by increases in population size.

Trends in incidence rates vary among regions (FIGURE 1.9). Rates are falling in seven of nine epidemiological subregions (see ANNEX 2 for definition of the countries in each subregion), stable in Eastern Europe and increasing in African countries with a low prevalence of HIV. Among the WHO regions, incidence is falling slowly in all regions except the European Region, where it is approximately stable. When the time periods 1995–1999 and 2005–2007 are compared, the estimated average rate of change in TB incidence (all forms) per 100 000 population was fastest in African countries with high HIV prevalence and in the Eastern European subregion (FIGURE 1.10). The rate at which incidence was declining slowed in the Central European subregion and, to a lesser extent, in the Eastern Mediterranean subregion. In the other subregions, incidence was falling at a similar rate in both time periods.

The continued fall in the global incidence rate reinforces data presented in the last two reports in this series.¹ If verified by further monitoring, the data show that MDG target 6.c was met by 2005 (incidence rates peaked in 2004), well ahead of the target date of 2015.

1.2.2 Prevalence

There were an estimated 13.7 million prevalent cases in 2007 (206 per 100 000 population), a slight decrease from 13.9

million in 2006 (TABLE 1.2). Of these 13.7 million prevalent cases, an estimated 687 000 (5%) were HIV-positive. From trends in TB incidence combined with assumptions about the duration of disease in different categories of case (ANNEX 2), the global prevalence of TB is estimated to have been in decline since 1990 (FIGURE 1.8). This decline is in contrast to the rise in TB incidence in the 1990s, which can be explained by a decrease in the average duration of disease as the fraction of cases treated in DOTS programmes increased, combined with a comparatively short duration of disease among HIV-positive cases (which has partly compensated for an increase in the incidence of HIV-positive TB cases).

Regional trends in TB prevalence from 1990 to 2007 as well as projections up to 2015 (based on extrapolation of the trend in 2005–2007) are shown in FIGURE 1.11. Prevalence has been declining in the Eastern Mediterranean Region, the Region of the Americas, the South-East Asia Region and the Western Pacific Region since 1990, and all four regions are on track to at least halve prevalence rates by 2015 (prevalence has already halved compared with the 1990 level in the Region of the Americas). In the African and European regions, prevalence rates increased substantially during the 1990s, and by 2007 were still far above the 1990 level in the African Region and just back to the 1990 level in the European Region. Projections indicate that neither region will reach the target of halving the 1990 prevalence rate by 2015, and in the African Region it is unlikely that prevalence will be back to 1990 levels by 2015. The gap between the 2015 targets and current prevalence rates in these two regions mean that the world as a whole is unlikely to meet the Stop TB Partnership target of halving the prevalence rate by 2015.

1.2.3 Mortality

An estimated 1.32 million HIV-negative people (19.7 per 100 000 population) died from TB in 2007, and there were an additional 456 000 TB deaths among HIV-positive people (TABLE 1.2).² Revisions in the estimated number of incident cases of TB that are coinfecting with HIV (SECTION 1.2.1; BOX 1.1) explain why the estimates of TB deaths among HIV-positive people are higher than those published in 2008.³ Deaths from TB among HIV-positive people account for 23% of the estimated 2 million HIV deaths that occurred in 2007 (BOX 1.1).⁴

Revisions to estimates of the number of incident cases of TB that are HIV-positive before 2007 have also led to upward

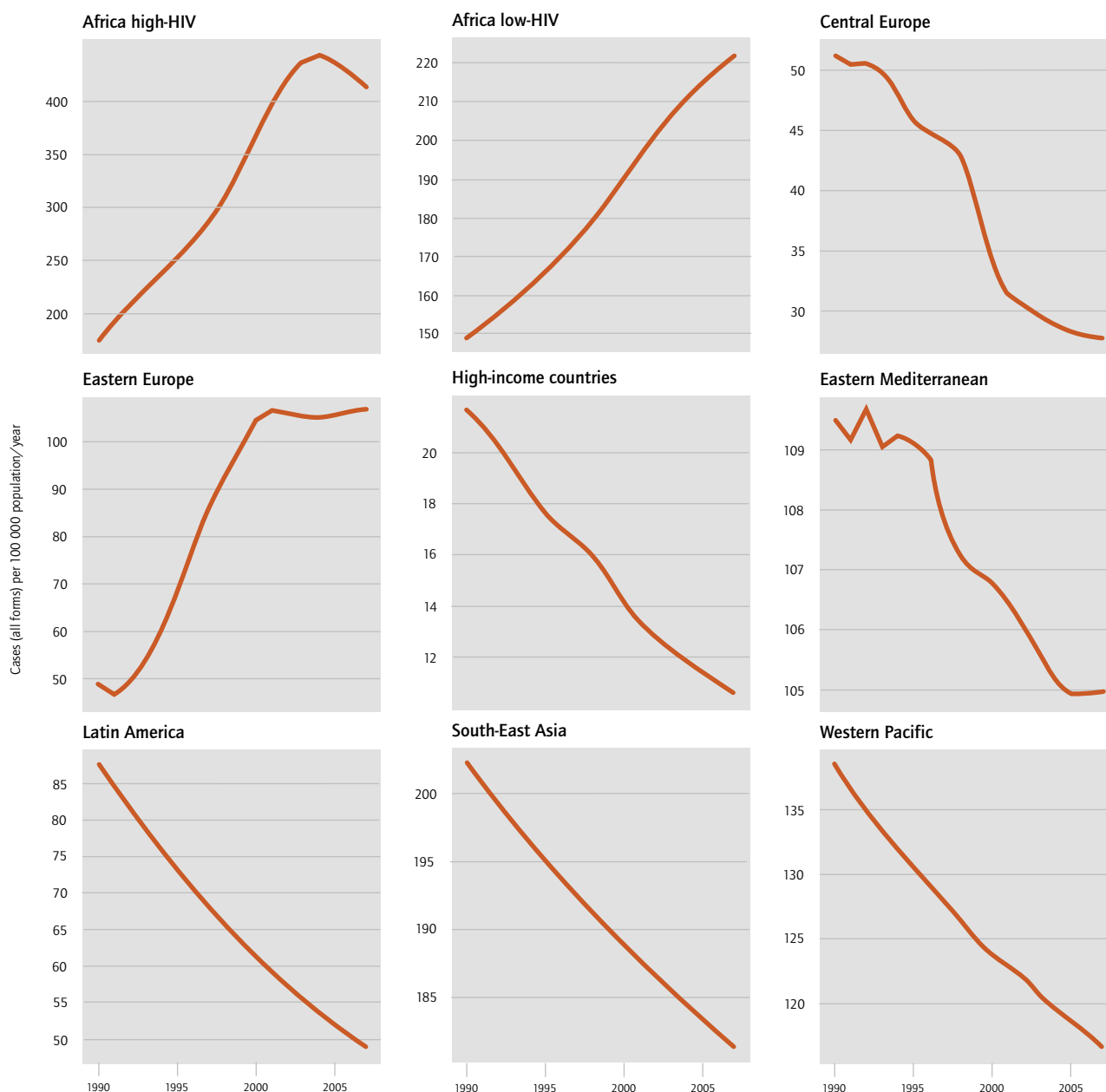
¹ *Global tuberculosis control: surveillance, planning, financing. WHO report 2007.* Geneva, World Health Organization, 2007 (WHO/HTM/TB/2007.376); *Global tuberculosis control: surveillance, planning, financing. WHO report 2008.* Geneva, World Health Organization, 2008 (WHO/HTM/TB/2008.393).

² Estimates of TB deaths in HIV-positive and HIV-negative people are presented separately because TB deaths in HIV-positive people are classified as HIV deaths in the International Statistical Classification of Diseases (ICD-10).

³ Of the 456 000 TB deaths among HIV-positive people in 2007, an estimated 226 000 were cases that were treated and 230 000 were untreated cases.

⁴ <http://www.unaids.org/en/KnowledgeCentre/HIVData/Epidemiology/latestEpiData.asp>

FIGURE 1.9
Trends in estimated incidence rates in nine subregions, 1990–2007



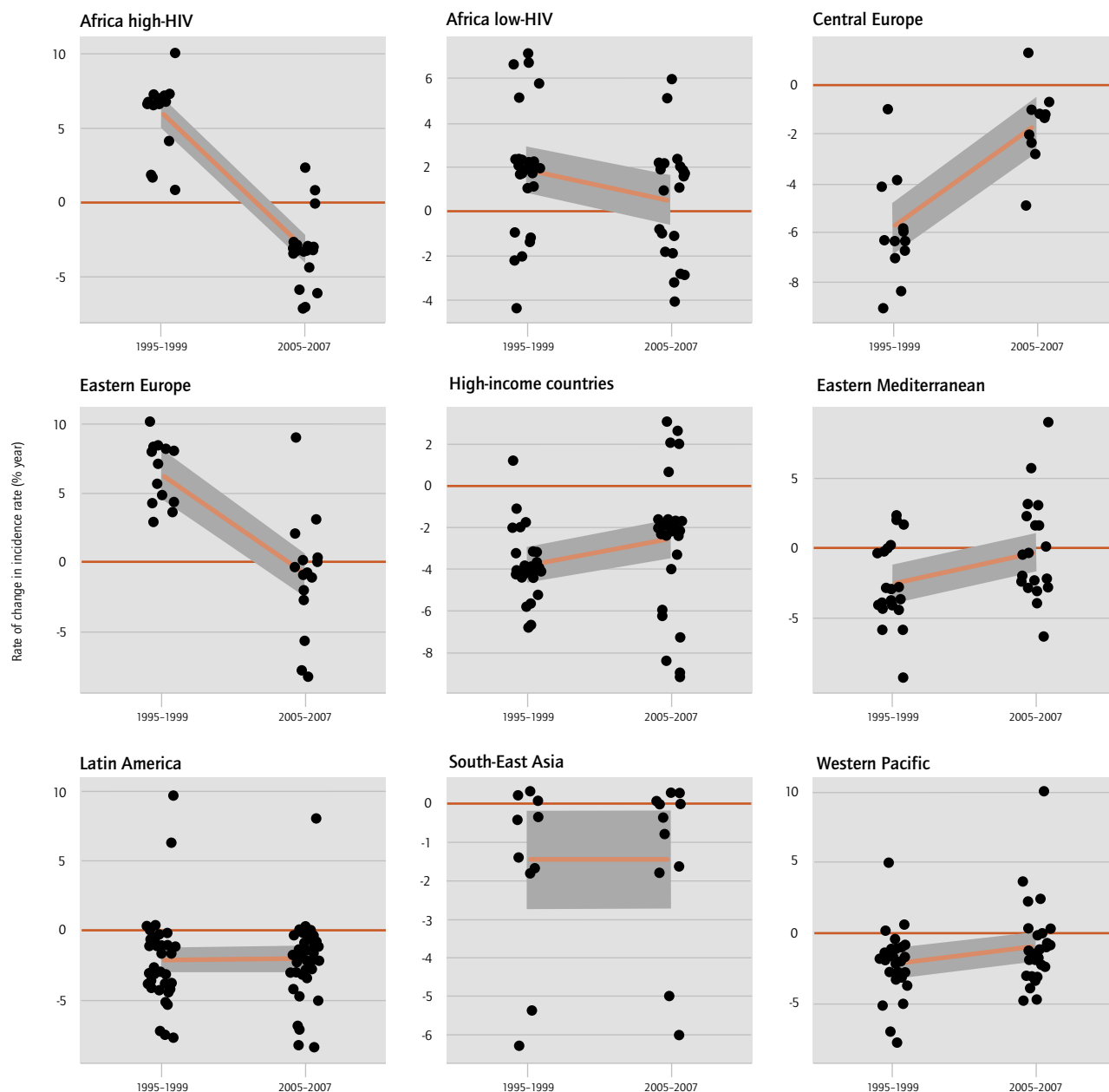
revisions to estimates of mortality rates before 2007 (BOX 1.1). From trends in TB incidence combined with assumptions about case fatality rates among different categories of case (ANNEX 2), the global TB mortality rate (including TB deaths in HIV-positive people) is estimated to have increased during the 1990s; this trend was reversed around the year 2000, and mortality rates are now in decline (FIGURE 1.8).

Regional trends in TB mortality rates from 1990 to 2007 as well as projections up to 2015 (based on extrapolation of the trend in 2005–2007) are shown in FIGURE 1.12. Mortality rates have been declining in the Eastern Mediterranean Region, the Region of the Americas, the South-East Asia Region and the Western Pacific Region since 1990. The decline has been relatively steady in the Region of the Americas and the Western Pacific Region, while the decline was faster in the Eastern Mediterranean and South-East Asia

regions after 2000. Of these four regions, three are on track to at least halve mortality rates by 2015. In the Western Pacific Region, the mortality target will be narrowly missed unless the current rate of decline accelerates from 2008. In the African and European regions, mortality rates increased substantially during the 1990s. Although this trend has been reversed (around 2000 in the European Region and around 2005 in the African region), mortality rates in 2007 were still far above the 1990 level in the African Region and just back to the 1990 level in the European Region. Projections indicate that neither region will reduce mortality rates back to even 1990 levels by 2015, and will certainly not halve mortality rates compared with 1990. The gulf between the 2015 targets and current mortality rates in these two regions mean that the world as a whole is unlikely to meet the Stop TB Partnership target of halving the mortality rate by 2015.

FIGURE 1.10

Changes in annual rates of incidence during 1995–1999 and 2005–2007, nine epidemiological subregions. Data points were randomly jittered horizontally to avoid over-plotting. The horizontal red line indicates no change in incidence. Data points above the red line indicate that incidence increased; the further from the line, the faster the increase. In subregion Africa high-HIV, incidence increased during 1995–1999 and decreased during 2005–2007. In central Europe, the rate of decline decreased between 1995–1999 and 2005–2007. A linear model was fitted to the data and fitted lines with uncertainty bounds were added to provide a visual aid.



1.2.4 Summary of progress towards MDG and Stop TB Partnership impact targets

The three major indicators of impact – incidence, prevalence and mortality rates per 100 000 population – are falling globally. If verified by further monitoring, MDG target 6.c was met globally by 2005 (incidence rates peaked in 2004), and in five of six WHO regions (the exception being the European Region, where rates are approximately stable).

The targets to halve prevalence and death rates by 2015 compared with 1990, set by the Stop TB Partnership, are more demanding. If the average rates of change in 2005–2007

persist, prevalence and death rates will fall quickly enough to meet the 2015 targets in the Region of the Americas and in the Eastern Mediterranean and South-East Asia regions. The Western Pacific Region will reach the target of halving the prevalence rate, but the mortality target may be narrowly missed unless the current rate of decline accelerates. Neither the prevalence nor the mortality targets will be met in the African and European regions. The gap between prevalence and mortality rates in 2007 and the targets in these two regions suggest that 1990 prevalence and death rates will not be halved by 2015 for the world as a whole.

FIGURE 1.11

Progress towards achieving the target of halving prevalence by 2015 compared with the level of 1990, by WHO region. The y-axis displays standardized prevalence rates, with the baseline set at the 1990 level in each region (black horizontal line) and regional targets set at 50% of the 1990 level (red horizontal line). Trends for 2008–2015 are forecast using an exponential regression of estimated prevalence rates over the period 2005–2007.

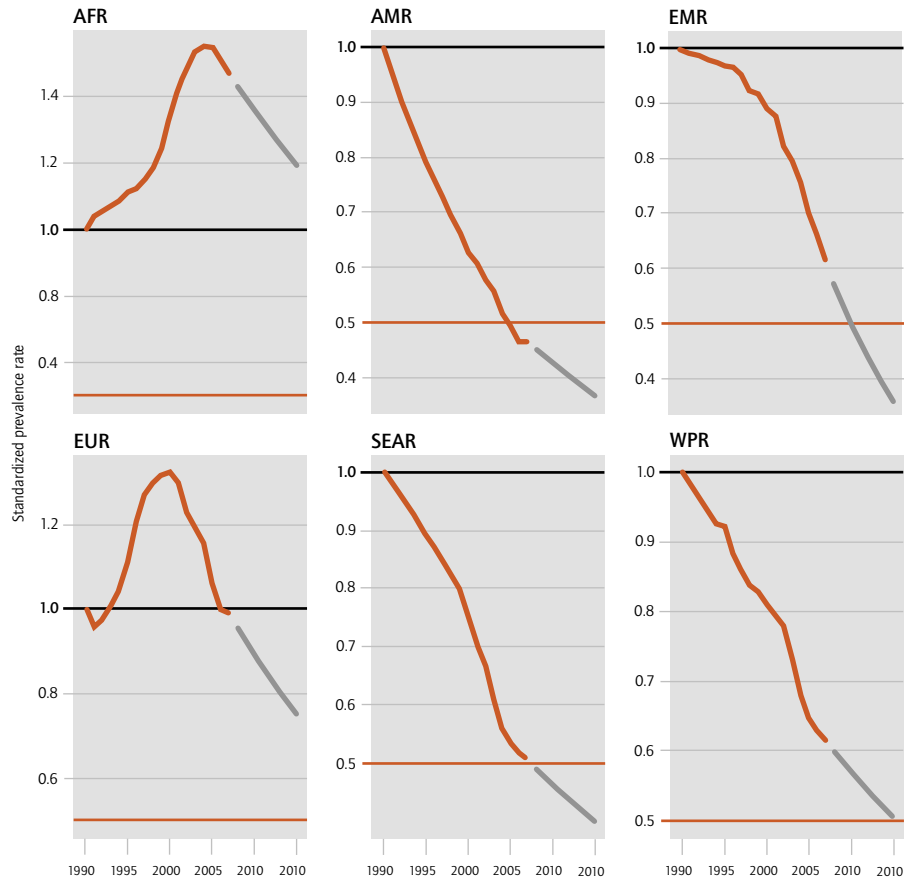
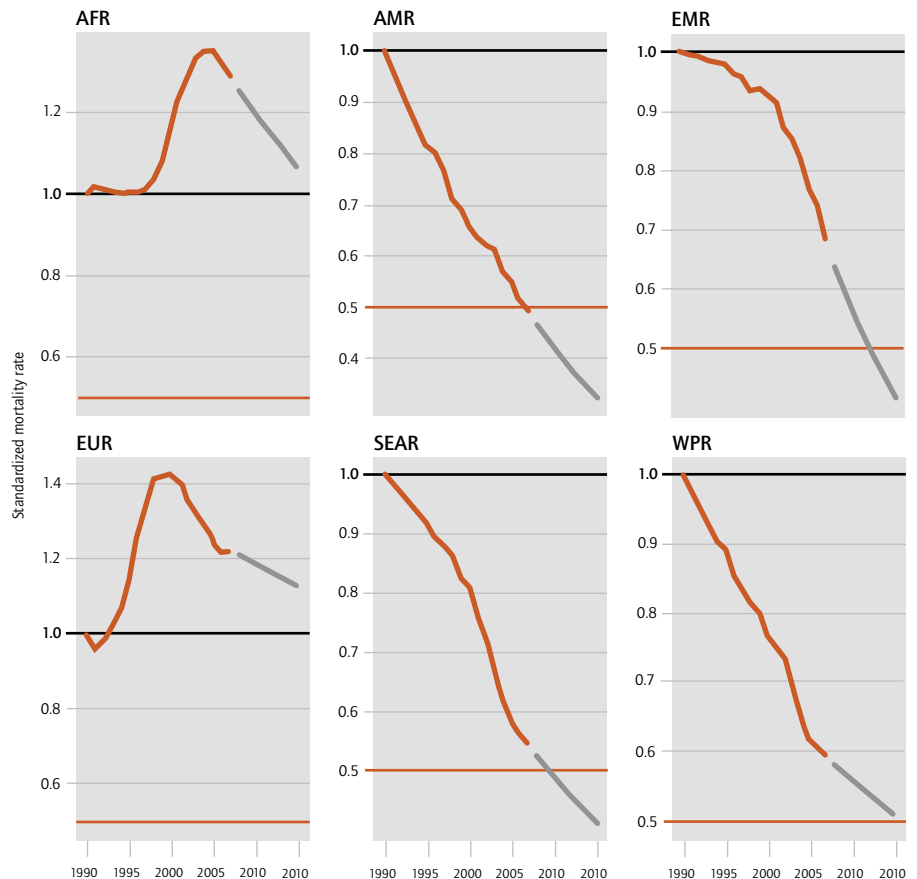


FIGURE 1.12

Progress towards achieving the target of halving mortality from TB by 2015 compared with the level of 1990, by WHO region. The y-axis displays standardized mortality rates, with the baseline set at the 1990 level in each region (black horizontal line) and regional targets set at 50% of the 1990 level (red horizontal line). Trends for 2008–2015 are forecast using an exponential regression of estimated mortality rates over the period 2005–2007. Mortality rates represented in these graphs are excluding deaths from TB in HIV-positive people.



1.3 Improving measurement of progress towards the 2015 impact targets: the WHO Global Task Force on TB Impact Measurement

As explained in SECTION 1.1, the impact targets for reducing rates of TB incidence, prevalence and mortality are the focus of international and national efforts to control TB. Demonstrating whether or not they are achieved is of major importance for individual countries, the United Nations, WHO and the Stop TB Partnership, and a variety of technical, financial and development agencies. The estimates of TB incidence, prevalence and mortality and their trends presented in SECTION 1.2 are based on the best available data and analytical methods, both of which were reviewed and endorsed by a group of experts in mid-2008.¹ Nonetheless, with better surveillance systems, additional survey data, more in-depth analysis of existing surveillance and programmatic data and further refinement of analytical methods, these estimates could be improved in the period up to 2015 (and beyond).

With the exception of Eritrea in 2005, the last nationwide and population-based surveys of the prevalence of TB disease in the African Region were undertaken between 1957 and 1961; in many countries, such surveys have never been done (ANNEX 4). Notification systems are estimated

TABLE 1.3
WHO policy package for measuring rates of TB incidence, prevalence and mortality, 2008–2015 and beyond

General

1. Improve surveillance systems to include all (or almost all) incident cases in TB case notification data and to account for all (or almost all) TB deaths in vital registration systems.
2. Strengthen national capacity to monitor and evaluate the TB epidemic and to measure progress in TB control.
3. Review and update periodically the data, assumptions and analytical methods used to produce WHO estimates of TB incidence, prevalence and mortality rates.
4. Report by Task Force on whether 2015 MDG and Stop TB Partnership targets are achieved (or not), shortly after 2015.

Measuring TB incidence rates

5. Analyse periodically the reliability and coverage of case notification data using a standard framework, in order to estimate the total number of incident TB cases and trends in incidence rates.
6. Certify and/or validate TB notification data for countries where analyses using the standard framework show that TB notification data are a close proxy (direct measure) of TB incidence.
7. Cross-validate estimates of TB incidence using TB mortality data from vital registration systems.

Measuring TB prevalence rates

8. Survey the prevalence of TB disease in 21 global focus countries according to WHO guidelines and Task Force recommendations.
9. Produce indirect estimates of TB prevalence based on estimates of TB incidence and the duration of TB disease for countries where surveys of the prevalence of TB disease are not implemented.

Measuring TB mortality rates

10. Develop national vital registration systems to reliably record all TB deaths.
11. Initiate sample vital registration where national vital registration systems are not yet available.
12. Produce indirect estimates of TB mortality using estimates of TB incidence and case fatality rates for countries without reliable national or sample vital registration systems.

Evaluating the impact of TB control

13. Conduct studies periodically to evaluate the impact of control on rates of TB incidence, prevalence and mortality.

to capture only around 50–70% of incident cases in most countries (SECTION 1.5), and within these systems reporting can be incomplete (CHAPTER 2, SECTION 2.2.7). Only 10% of the estimated 1.5 million TB-attributable deaths (in HIV-negative people) in 2005 were recorded in vital registration systems and reported to WHO by August 2008.² The figures for the South-East Asia and Western Pacific regions, which account for 55% of the world's TB cases, were <0.1% and 2.6% respectively. These observations show how much progress is needed to achieve the ultimate goal of measuring TB incidence and mortality directly from surveillance data (that is, that ultimately all TB cases are included in case notification data and that vital registration systems account for all (or almost all) TB deaths).

In this context, WHO established a Global Task Force on TB Impact Measurement (hereafter the Task Force) in June 2006. The Task Force includes experts in TB epidemiology, representatives from major technical and financial agencies, and representatives from countries with a high burden of TB. Its mandate is to produce a robust, rigorous and widely-endorsed assessment of whether the 2015 targets for reductions in TB incidence, prevalence and mortality are achieved at global level, for each WHO region and in individual countries; to regularly report on progress towards these targets in the years leading up to 2015; and to strengthen national capacity in monitoring and evaluation of TB control. Better data and better analysis of these data can be used to identify where and why cases are not being detected, and form the basis for implementing appropriate components of the Stop TB Strategy (CHAPTER 2).

Following three Task Force meetings (June 2006, December 2007 and September 2008) and two years of work by the secretariat in WHO, clear policies and recommendations for how to measure incidence, prevalence and mortality from 2008 onwards, with a focus on the 2015 impact targets, have been agreed upon. These are explained in full in a forthcoming WHO policy paper,³ with the key elements summarized in the form of a policy package (TABLE 1.3).

1.3.1 Measurement of incidence

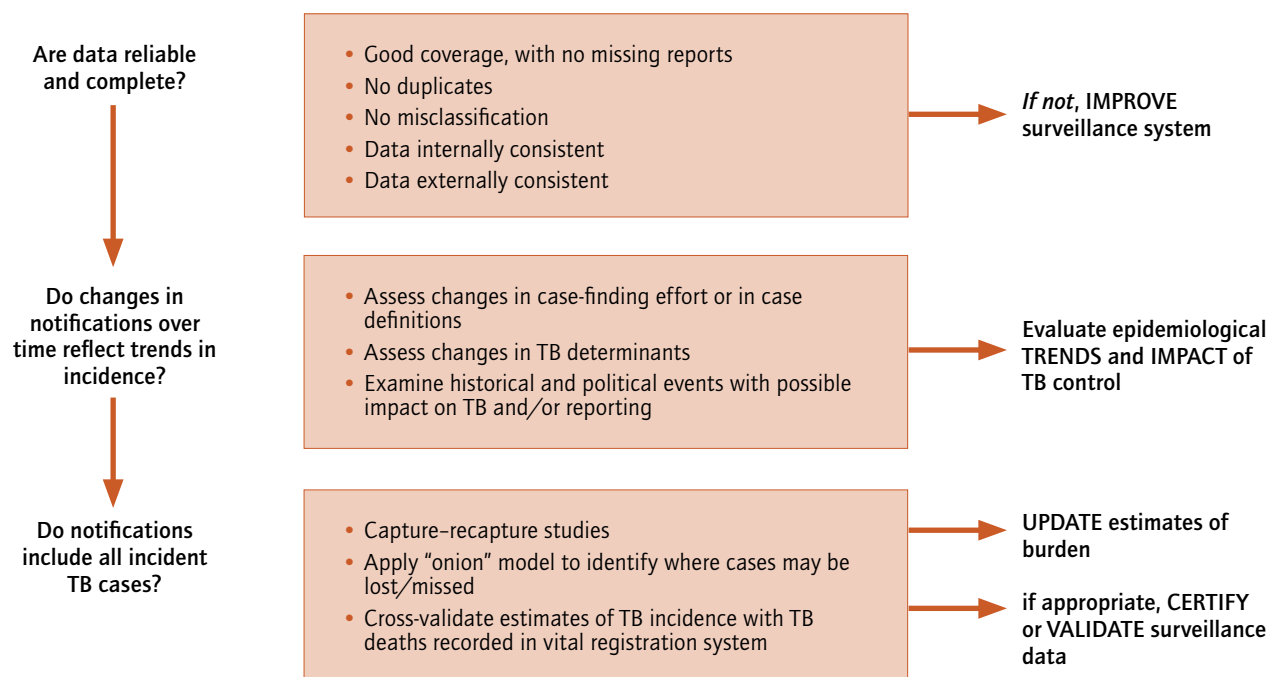
For improved measurement of incidence (its absolute value and trend), the policy package focuses on a systematic approach

¹ These experts were members of the WHO Global Task Force on TB Impact Measurement and external experts in epidemiology and statistics. The review also formed part of the TB component of the forthcoming update to the Global Burden of Disease, due for publication in 2010.

² Korenromp EL et al. State of the Art Review. The measurement and estimation of tuberculosis mortality. *International Journal of Tuberculosis and Lung Disease*, 2009 (in press).

³ *Measuring progress in TB control: WHO policy and recommendations* [policy paper]. Geneva, World Health Organization, 2009 (in press). The policy paper is based on (i) a comprehensive review of methods to measure incidence, prevalence and mortality (Dye C et al. Measuring tuberculosis burden, trends and the impact of control programmes. *Lancet Infectious Diseases*; published online 16 January 2008 (available at <http://infection.thelancet.com>) and (ii) background papers prepared for Task Force meetings and associated discussions. The policy paper was endorsed by the Task Force during its meeting in September 2008. It was also reviewed by WHO's Strategic and Technical Advisory Group on TB (STAG-TB) in June 2008.

FIGURE 1.13
 Framework for estimation and measurement of TB incidence using surveillance data



to assessing the quality and coverage of TB notification data. This approach consists of three core components (FIGURE 1.13). The first is an assessment of the quality of available TB notification data; this includes checking the completeness of reporting (with a benchmark that 100% of reporting units should report data each quarter) and assessing whether there are duplicate or misclassified records. It also includes analysis of the internal and external consistency of data using national and subnational data. Internal consistency means that data are consistent over time and space (or, if not, that variation can be explained), while external consistency means that data are consistent with existing evidence about the epidemiology of TB (for example, the proportion of pulmonary cases that are smear-positive, and the ratio of male to female cases). The results of the analysis of completeness, duplications, misclassifications and internal or external consistency can be used as the basis for identifying where and how surveillance needs to be strengthened.

The second component of the framework concerns analysis of trends in notification data, with the aim of assessing the extent to which they reflect trends in rates of TB incidence and the extent to which they reflect changes in other factors (such as programmatic efforts to find and treat more cases). Distinguishing between changes that are due to incidence and changes that are due to other factors is crucial when using notification data to estimate trends in the rates of TB incidence and case detection. The analysis in the second component of the framework should be used to determine whether time series of TB notifications are a good proxy for trends in TB incidence, or the extent to which they need to be adjusted for other factors before using them as a measure of trends in TB incidence. If TB notifications are a good proxy

for trends in TB incidence, they can be used reliably to assess whether incidence is falling (MDG Target 6.c) or not.

Even when available notification data are complete and of high quality, and when they appear to be a good proxy of trends in TB incidence, they are not sufficient to estimate TB incidence in absolute terms. To do this, analysis of whether all TB cases are being captured in official notification systems is required (as was done for most countries when the first estimates of the global burden of TB were produced in 1997; see ANNEX 2). The major reasons why cases are missed from official notification data have been defined in the so-called "onion" model,¹ and include laboratory errors, lack of notification of cases by public and private providers, failure of cases accessing health services to be identified as TB suspects and lack of access to health services. Operational research (such as capture-recapture studies) as well as supporting evidence (such as the knowledge and practices of health-care staff related to definition of TB suspects, the extent to which regulations about notification of cases are observed and population access to health services) can be used to estimate the fraction of cases that are missing from official notification data. It is also possible to assess the coverage of notification data, and to cross-validate estimates of TB incidence produced using other methods, by analysing the number of TB deaths recorded in vital registration systems.

The objective is that the results from using this framework are used in one of two ways. If a country's TB surveillance data are shown to be a close proxy for TB incidence, the data will be "certified" or "validated" as a direct measure of TB inci-

¹ As referred to in FIGURE 1.13. For a full explanation, see *Measuring progress in TB control: WHO policy and recommendations* [policy paper]. Geneva, World Health Organization, 2009 (in press).

BOX 1.2**Estimating TB incidence following in-depth analysis of surveillance and programmatic data during the period 1996–2006: an example from Kenya**

The incidence of TB in Kenya was indirectly estimated from TB notification data in 1997, as part of a global effort to estimate the global epidemiological burden of TB. The estimate was based on an expert assessment that the percentage of incident smear-positive cases being notified was 57% (i.e. 57% case detection rate). Until 2006, the trend in TB incidence before and after 1997 was assumed to be the same as the trend in TB notifications (of all forms of TB case).

Kenya has experienced a generalized HIV epidemic since the early 1980s and substantial efforts to improve the quality and coverage of TB diagnosis and treatment services were made from 2001 onwards. This made it difficult to disentangle the effect of HIV (which affects TB incidence) from the effect of programme performance on TB notifications, which in turn made it difficult to estimate the trend in TB incidence. Between September 2006 and December 2007, estimates of the absolute value of TB incidence and the trend in TB incidence were jointly reviewed by WHO and the NTP. This was done in the context of new evidence and new analysis. The major new sources of evidence were (i) data on trends in HIV-positive and HIV-negative TB notifications separately (ii) a direct measure of the prevalence of HIV among TB patients (iii) a recent survey of the prevalence of HIV in the general population and (iv) evidence about how programme performance had changed during the period 1996–2006. Both (i) and (ii) became available following the introduction and rapid expansion of provider-initiated HIV testing for TB patients in 2005. Evidence about programme performance during the period 1996–2006 was compiled during 2007. The four principal indicators used were: the number of health units where TB diagnosis was available, the number of health units where TB treatment was available, the number of NTP staff at national, provincial and district level, and NTP funding. For all four of these indicators, there was a clear relationship with trends in TB notifications from 2001 to 2006, while HIV-related data suggested that the HIV epidemic peaked around 2000 and had not caused any increase in TB incidence from 2001 to 2006. In combination, these new data provided strong evidence that the increase in TB notifications after 2001 was due to programmatic improvements (and not increases in TB incidence). This led to a downward revision in the estimate of TB incidence in 2006, an adjustment of the estimated trend in TB incidence, and an upward revision in the estimated case detection rate (to 70%). The original estimate of TB incidence (and case detection) in 1997 was left unchanged.

To allow reliable measurement of trends in TB incidence from 2007 onwards, maintaining high rates of HIV testing for TB patients is essential. This will allow trends in HIV-positive and HIV-negative TB notifications to be separated. Trends in HIV-negative TB notifications can be used to measure changes in case-finding. Comparison of trends in HIV-positive and HIV-negative TB notifications can be used to assess the impact of HIV on TB incidence. Efforts to strengthen routine surveillance, including the introduction of new recording and reporting forms and expanded use of electronic recording and reporting systems, have begun.

For further details, see Mansoor J et al. New methods for estimating the tuberculosis case detection rate. *Bulletin of the World Health Organization*, 2009 (in press).

BOX 1.3**Estimating TB incidence using capture-recapture methods: an example from Egypt**

The NTP in Egypt compiled evidence that most TB cases have access to health-care services provided by public or private facilities as part of a multi-country operational research project in the Eastern Mediterranean. The number of TB cases experiencing symptoms and seeking care but not being diagnosed is therefore expected to be low. Nonetheless, when patients are diagnosed and treated by providers that are not linked to the NTP, it is unlikely that they are recorded in official notification data. Quantifying the proportion of cases that are diagnosed by non-NTP providers (the extent to which there is under-notification) may therefore allow a more accurate estimate of the total number of cases in the country as well as the proportion that are being detected by the NTP (the case detection rate).

To assess the extent to which cases were being missed in official notification data and in turn to update estimates of TB incidence and the case detection rate, the Ministry of Health in Egypt together with the WHO Office for the Eastern Mediterranean implemented a capture-recapture study in 2008. Study registers for listing TB cases were introduced in a nationally representative sample of non-NTP health facilities in the private and public sectors. The list of cases in these registers was then compared with the list of notified cases for the same period. Using capture-recapture log-linear models, the number of cases missed by all sources was estimated by comparing (i) the number of cases observed in each source of data independently with (ii) the number of common cases among all sources (that is, the overlap in cases). Analyses were undertaken for the whole sample and for sputum smear-positive cases only.

Revised estimates of TB incidence in Egypt based on capture-recapture analysis

	NOTIFICATION DATA (2007)		WHO ORIGINAL ESTIMATES (2007)		WHO REVISED ESTIMATES (2007)	
	ALL CASES	SS+ CASES	ALL CASES	SS+ CASES	ALL CASES	SS+ CASES
New TB cases	9 459	4 887	17 517	7 882	15 873	6 765
Rates (per 100 000 population/year)	13	6.5	24	10.5	21	9
Case detection rate (%)	–	–	54	62	60	72

For capture-recapture estimates to be valid, certain conditions must be met. In particular, three or more sources of data should be available to allow adjustment for dependencies among the sources of data. This was the case in Egypt: the three available sources were the NTP registry, the study registers of private non-NTP providers and the study registers of public non-NTP providers.

Based on the study results, the case detection rate for smear-positive cases was revised upwards to 72% (from 62%). The case detection rate for all cases was revised upwards to 60% (from 54%). Similar studies in other countries where all (or almost all) cases have access to health services could also help to revise existing TB estimates.

dence. If a country's surveillance data are found to include only a fraction of cases, this fraction will be estimated and used to update estimates of incidence (and by extension the case detection rate). Findings will also be used to identify the measures needed to strengthen surveillance so that the standards required for data to be certified or validated can be met. Recent examples of how different components of the framework can be implemented in practice are provided in **BOX 1.2**, **BOX 1.3** and **BOX 1.4**.

1.3.2 Measurement of prevalence

There are two methods for estimating the prevalence of TB. The first is direct measurement using a cross-sectional population-based survey. Such surveys are only feasible if the estimated prevalence of smear-positive TB is around 100 per 100 000 population or more (otherwise the sample size required to measure prevalence with sufficient precision is so large that a survey is impractical in terms of cost and logistics). Even with the global average of around 100 cases

per 100 000 population, a sample size of around 200 000 and a budget of US\$ 1–2 million is usually required. Since prevalence typically falls more quickly than TB incidence in response to control efforts, a series of surveys conducted at relatively wide intervals (for example, 10 years) can be very useful for capturing large changes in the epidemiological burden of TB in high-burden or high-incidence countries (recent examples from HBCs include China, where surveys were implemented in 1990 and 2000, with a third planned for 2010; and the Philippines, where surveys were implemented in 1997 and 2007, with a third planned for 2017). In countries where the burden of TB is lower, prevalence can also be estimated indirectly as TB incidence multiplied by the average duration of disease (**ANNEX 2**).

Although the ultimate goal for all countries is to measure progress in TB control using routinely-collected surveillance data, the Task Force has identified 21 countries where nationwide population-based surveys of the prevalence of TB disease during the period 2008–2015 are a priority for the

BOX 1.4

Estimating TB incidence using mortality data from a vital registration system: an example from Brazil

WHO estimates of TB incidence are based on notification data, surveys of the annual risk of infection, surveys of the prevalence of TB disease combined with estimates of the average duration of disease, and mortality data from vital registration systems combined with estimates of the case fatality rate. Where several sources of evidence exist, greatest weight is attached to the most reliable data. For most countries, incidence is indirectly estimated from TB case notification data and an expert assessment of the percentage of incident TB cases being notified. When case-finding efforts do not change much over time, trends in TB incidence are often assumed to mirror trends in TB case notification rates (**ANNEX 2**). Until 2005, these methods were used to estimate TB incidence and its trend in Brazil.

By 2005, the Ministry of Health of Brazil had greatly improved the TB notification system and the death registration component of the vital registration system. This included extending coverage of both systems throughout the country, validating data and systematically linking records within and between the two databases. Linkage of records within the TB notification database and implementation of procedures to distinguish between new and re-treatment or transfer-in records were used to identify duplicate records. This showed that notifications had been artificially inflated and that the cure rate had been underestimated (see table below). Removal of duplicate records increased the gap between the number of new TB cases notified and the number of new TB cases estimated by WHO, highlighting the need for a review of existing estimates.

The effect of removing duplicate records from the database of TB case notifications, 2005

DUPLICATES REMOVED	NEW NOTIFIED CASES		NOTIFICATION RATE		CHANGE (%)	CURED (%)		CHANGE (%)
	BEFORE	AFTER	BEFORE	AFTER		BEFORE	AFTER	
19 064	81 330	74 113	44.2	40.2	-9.7	60.5	64.5	+6.7

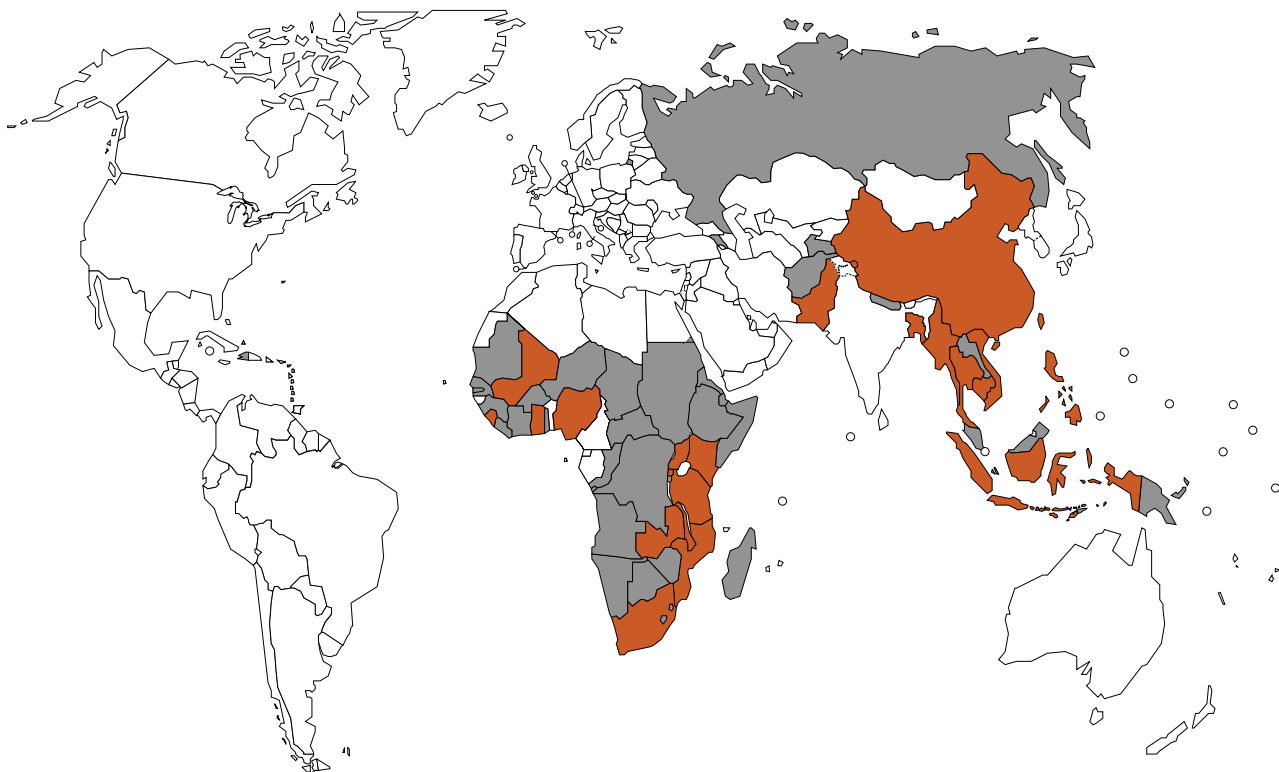
Estimates of TB incidence in Brazil are now based on an analysis of TB deaths recorded in the vital registration system. The case fatality rate was calculated by cross-linking the case-based TB notification database and the mortality database. Incidence in 2005 was then estimated as the number of TB deaths in the mortality database divided by the case fatality rate (estimated as the number of deaths in the mortality database divided by the number of cases in the notification database, with appropriate adjustments for the proportion of records in both systems that could be linked and a minor adjustment for the coverage of TB mortality records). Since the mortality information system was judged by the local authorities to have higher coverage than the TB notification system, and since it is unlikely that the case fatality rate had changed markedly in recent years, the trend in incidence over time was estimated by assuming that the trend in the TB incidence rate was the same as the trend in the TB mortality rate from 2001 to 2005. This suggested that incidence was falling at a rate of 3.3% per year. Incidence in absolute terms for years before 2005 was also based on this trend (see table below).

Original and revised WHO estimates of TB incidence using TB mortality data, 2005

	NOTIFICATIONS	ORIGINAL ESTIMATE OF INCIDENCE	REVISED ESTIMATE OF INCIDENCE
New TB cases	74 113	111 050	95 408
Incidence or notification rate (per 100 000 population/year)	40	60	51
Case detection rate	—	69%	78%

■ **FIGURE 1.14**

The 21 global focus countries where a national prevalence of TB disease survey is recommended in the period 2008–2015 (red), and extended list of countries meeting the criteria (grey)



purposes of global and regional measurements of progress in TB control (FIGURE 1.14). The list includes 12 African countries plus Pakistan and all but one of the nine HBCs in the South-East Asia and Western Pacific regions (the exception is India, where subnational surveys have already been implemented and further such surveys are planned). Countries were selected according to various criteria,¹ including their estimated prevalence of smear-positive TB, their share of the global and regional numbers of estimated TB cases, their case detection rate, HIV prevalence in the general population and the availability (or not) of data from an earlier survey. Existing plans and funding for surveys and the capacity of technical agencies to provide assistance were also considered. Most of these countries were already committed to the planning and implementation of surveys before their inclusion on the list developed by the Task Force. However, this inclusion means that particular efforts to support the successful design and implementation of surveys in these countries are being made by the Task Force and its partners. To date, these efforts have included workshops to support 10 countries (eight African countries plus Pakistan and Thailand) to develop survey protocols consistent with recent guidelines,² expert review of protocols, facilitating the provision of advice about Global Fund applications or reprogramming of existing grants, and country missions.

1.3.3 Measurement of mortality

The best way to measure the number of deaths from TB is via a national vital registration system in which deaths are

coded according to the International Statistical Classification of Diseases (ICD-10), and data are of proven completeness and accuracy (see BOX 1.4 for an example from Brazil). To make this possible, many countries will need to develop a vital registration system, or substantially strengthen an existing system (see also ANNEX 4). In the meantime, sample vital registration combined with verbal autopsy may provide an interim solution. Where neither national nor sample vital registration systems exist, TB mortality can be estimated using estimates of TB incidence and the case fatality rate (ANNEX 2).

1.3.4 Status of impact measurement in HBCs at the end of 2008

The status at the end of 2008 of the three major components of impact measurement highlighted above – in-depth analysis of routine surveillance data; surveys of the prevalence of TB disease; and analysis of mortality records from vital registration data or surveys – is shown for the 22 HBCs in TABLE 1.4.³ An in-depth analysis of surveillance data was reported to have been undertaken by 12 countries in the past five years, although the extent to which these analyses were in

¹ For a full explanation, see the *Report of the second meeting of the WHO Task Force on TB Impact Measurement*. Geneva, 6–7 December 2007. Geneva, World Health Organization, 2007 (unpublished).

² World Health Organization (17 authors). *Assessing tuberculosis prevalence through population-based surveys*. Manila, World Health Organization, 2007.

³ Data for other countries were reported but require further validation by the Task Force secretariat.

TABLE 1.4
Measurement of incidence, prevalence and mortality carried out (2000–2007) and planned (2008–2015)

	IN-DEPTH ANALYSIS OF ROUTINE SURVEILLANCE DATA		PREVALENCE OF DISEASE SURVEY ^a		ANALYSIS OF VITAL REGISTRATION DATA (MORTALITY RECORDS)	
	CARRIED OUT	PLANNED	CARRIED OUT	PLANNED	CARRIED OUT	PLANNED
1 India	Y	Y	Y, subnational	Y, subnational	N	N
2 China	Y	Y	Y	Y	N	N
3 Indonesia	Y	Y	Y	Y	Y	Y
4 Nigeria	Y	Y	—	Y	N	N
5 South Africa	—	Y	—	Y	Y	Y
6 Bangladesh	N	N	Y	—	N	N
7 Ethiopia	N	N	—	Y	N	N
8 Pakistan	N	N	—	Y	—	—
9 Philippines	N	N	Y	—	N	N
10 DR Congo	Y	Y	N	N	N	N
11 Russian Federation	Y	Y	Y	Y	Y	Y
12 Viet Nam	—	—	Y	—	—	—
13 Kenya	Y	Y	N	Y	N	N
14 Brazil	—	Y	N	N	Y	Y
15 UR Tanzania	Y	Y	—	Y	N	N
16 Uganda	—	—	—	Y	N	N
17 Zimbabwe	Y	Y	N	N	N	Y
18 Thailand	Y	Y	Y, subnational	Y	N	N
19 Mozambique	Y	Y	N	N	N	N
20 Myanmar	Y	Y	Y, subnational	Y	N	N
21 Cambodia	N	N	Y	Y	N	N
22 Afghanistan	N	N	N	N	N	N
High-burden countries^b	12	14	10	14	4	5

— Indicates information not provided.

^a National survey unless otherwise specified.

^b The last row of the table shows the number of countries answering “yes” to each question.

line with the framework developed by the Task Force in 2008 (FIGURE 1.13) is not known. Such analyses are planned by a further 14 countries, offering an excellent opportunity to apply (and test) this framework in practice.

Surveys of the prevalence of TB disease have been undertaken in all of the five HBCs in the South-East Asia Region (two nationwide surveys and three subnational surveys) and in all four HBCs in the Western Pacific Region (all of which were nationwide surveys) between 2000 and 2007. With further surveys already planned in seven of these nine HBCs,¹ all of which are among the 21 global focus countries selected by the Task Force, the South-East Asia and Western Pacific regions are particularly well placed to measure impact between 2000 and 2015. China is best placed to measure whether or not the Stop TB Partnership target of halving prevalence between 1990 and 2015 is achieved, since it has already conducted surveys in 1990 and 2000, with a third survey planned for 2010. Besides the nine HBCs in the South-East Asia and Western Pacific regions, no other HBCs have conducted a survey of the prevalence of TB disease since 2000. Nonetheless, six of the African HBCs as well as Pakistan are planning to implement surveys between 2008 and 2010. This includes Ethiopia; while not on the original list of 21 countries, a survey in this country would considerably increase the share of the population and estimated TB cases surveyed in the African Region. Among the remaining coun-

tries shown in FIGURE 1.14 (Ghana, Malawi, Mozambique, Rwanda, Sierra Leone and Zambia), all except Mozambique and Sierra Leone have plans to implement surveys starting in 2009 or 2010. If these planned surveys are to be successfully implemented, there are several major challenges that need to be overcome. These include closing funding gaps² and delays in procuring X-ray equipment.

As already highlighted above, few HBCs have analysed TB mortality using data from vital registration systems or mortality surveys. The countries where mortality data from vital registration systems have been used to quantify TB deaths are Brazil, the Russian Federation and South Africa, while Indonesia has conducted a mortality survey. This clearly demonstrates the need for general strengthening of national information and general health information systems in many countries.

¹ This includes a survey planned in the Philippines in 2017. The exceptions where future surveys are not yet planned are Bangladesh and Viet Nam, where implementation of nationwide surveys was only recently completed.

² Most countries have included surveys in Global Fund proposals. However, development of study protocols has shown that the funding requested is often too low. Reprogramming of existing grants or application for supplementary funding is required. A few countries have not yet secured funding and plan to apply to the Global Fund in round 9. The deadline for round 9 applications is July 2009.

1.4 Case notifications

1.4.1 Total case notifications

The 196 countries reporting to WHO in 2008 notified 5.6 million new and relapse cases in 2007, of which 2.6 million (46%) were new smear-positive cases (TABLE 1.5; FIGURE 1.15). Of these notifications, 5.5 million (99%) were from DOTS programmes, including 2.6 million (47%) new smear-positive cases (also 99% of total notifications of smear-positive cases). The African Region (22%), South-East Asia Region (36%) and Western Pacific Region (25%) together accounted for 83% of all notified new and relapse cases and for similar proportions of new smear-positive cases in 2007. Among new pulmonary cases reported by DOTS programmes (TABLE 1.5), 57% were new smear-positive (a minimum of 65% expected).

A total of 37.3 million new and relapse cases, and 18.1 million new smear-positive cases, were notified by DOTS programmes in the 13 years between 1995 (when reliable recording began) and 2007.

1.4.2 Case notifications disaggregated by sex

Notifications disaggregated by sex were reported for new pulmonary smear-positive TB cases from DOTS programmes by 170 countries. Of 2.55 million notifications (99.2% of total notifications in DOTS areas and 98.3% of all notifications), 1.65 million were male and 0.9 million were female, giving a male:female ratio of 1:8.

The distribution of the male:female ratio across age groups in the nine epidemiological subregions is shown in FIGURE 1.16. For those aged ≥ 14 years, more men than women were detected with TB globally. The male:female ratio was consistently < 1 in the 0–14 year-old age group, but increased in older age groups in most subregions. In the subregions of Central Europe, Eastern Europe, the Eastern Mediterranean and Latin America, the shape of the male:female ratio curve is concave. Reasons for this pattern and for differences compared with other regions are not well understood.

One of the factors associated with the male:female ratio in smear-positive TB patients is the prevalence of HIV in the general population. Relatively more women than men are

■ TABLE 1.5
Case notifications, 2007

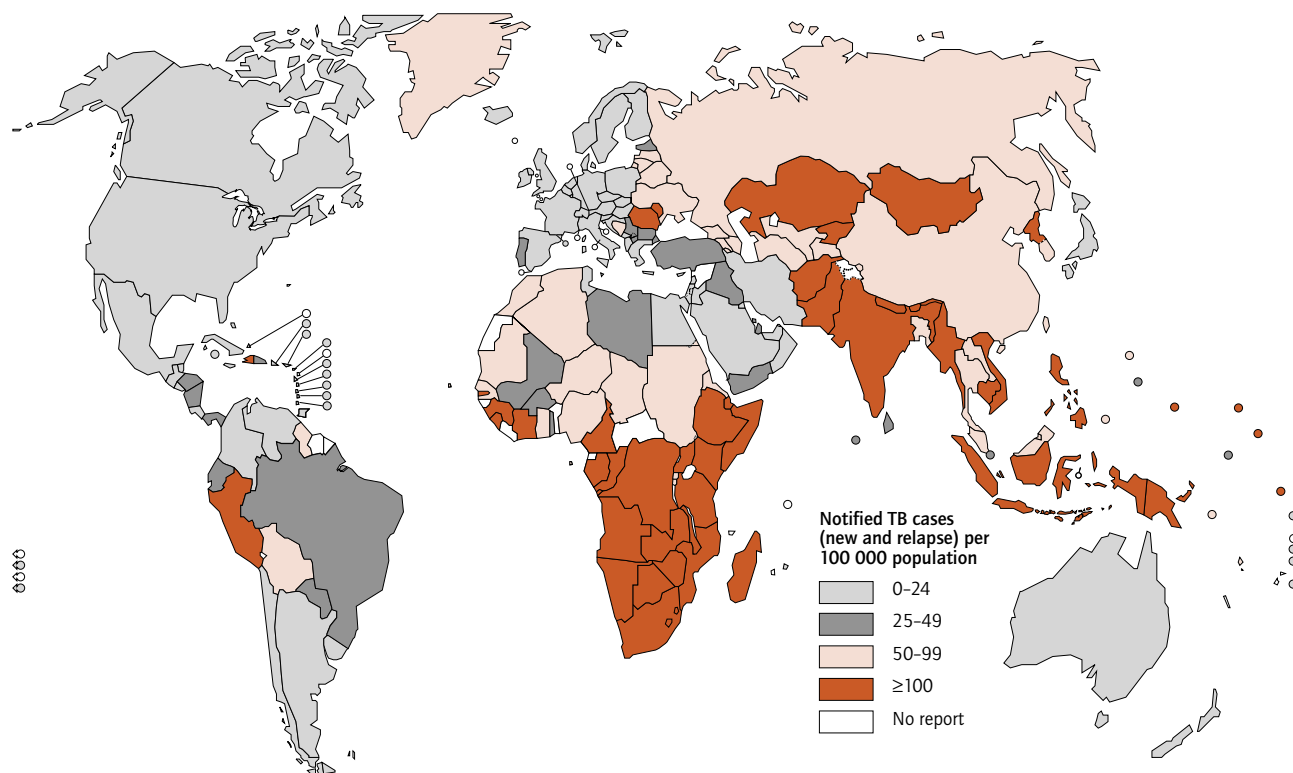
	NEW AND RELAPSE CASES		NEW CASES						RE-TREATMENT CASES EXCLUDING RELAPSE		OTHER ^a		% OF NEW PULMONARY CASES SMEAR-POSITIVE ^b	
			SMEAR-POSITIVE		SMEAR-NEGATIVE/ UNKNOWN		EXTRA-PULMONARY							
	DOTS	WHOLE COUNTRY	DOTS	WHOLE COUNTRY	DOTS	WHOLE COUNTRY	DOTS	WHOLE COUNTRY	DOTS	WHOLE COUNTRY	DOTS	WHOLE COUNTRY	DOTS	WHOLE COUNTRY
1 India	1 295 943	–	592 587	–	398 862	–	206 840	–	179 686	–	–	–	60	–
2 China	979 502	–	465 877	–	430 634	–	36 612	–	66 437	–	–	–	52	–
3 Indonesia	275 193	–	160 617	–	102 613	–	8 048	–	467	–	–	–	61	–
4 Nigeria	82 417	–	44 016	–	32 088	–	4 044	–	3 824	–	–	–	58	–
5 South Africa	315 315	–	135 604	–	105 631	–	45 738	–	38 304	–	–	–	56	–
6 Bangladesh	147 342	–	104 296	–	23 152	–	16 106	–	–	–	–	–	82	–
7 Ethiopia	128 844	–	38 040	–	43 500	–	45 269	–	899	–	–	–	47	–
8 Pakistan	230 468	–	88 747	–	103 629	–	33 986	–	3 632	–	–	–	46	–
9 Philippines	140 588	–	86 566	–	49 422	–	1 513	–	1 988	–	–	–	64	–
10 DR Congo	99 810	–	66 099	–	10 968	–	18 737	–	2 406	–	548	–	86	–
11 Russian Federation	127 338	–	33 103	–	73 560	–	11 704	–	87 586	–	–	–	31	–
12 Viet Nam	97 400	–	54 457	–	17 554	–	18 675	–	944	–	–	–	76	–
13 Kenya	106 438	–	38 360	–	49 869	–	18 032	–	10 285	–	–	–	43	–
14 Brazil	66 759	74 757	34 211	38 444	20 566	23 065	9 318	10 318	5 224	5 704	–	–	62	63
15 UR Tanzania	59 371	–	24 520	–	20 521	–	12 526	–	2 721	–	–	–	54	–
16 Uganda	40 909	–	21 303	–	13 713	–	4 460	–	703	–	–	–	61	–
17 Zimbabwe	40 277	–	10 583	–	21 964	–	6 381	–	1 137	–	–	–	33	–
18 Thailand	54 793	–	28 487	–	17 156	–	7 485	–	–	–	–	–	62	–
19 Mozambique	37 651	–	18 214	–	13 064	–	5 020	–	393	–	–	–	58	–
20 Myanmar	129 081	–	42 588	–	41 826	–	40 002	–	4 466	–	–	–	50	–
21 Cambodia	35 601	–	19 421	–	7 120	–	8 412	–	894	–	–	–	73	–
22 Afghanistan	28 769	–	13 213	–	8 251	–	6 227	–	–	–	–	–	62	–
High-burden countries	4 519 809	4 527 807	2 120 909	2 125 142	1 605 663	1 608 162	565 135	566 135	411 996	412 476	548	–	57	57
AFR	1 251 642	1 251 735	561 091	561 149	408 936	408 964	223 320	223 322	74 165	–	792	–	58	58
AMR	208 419	218 426	114 307	119 838	52 053	55 041	31 389	32 564	10 462	11 045	688	704	69	69
EMR	375 857	378 895	155 558	155 572	135 441	136 865	75 299	76 898	4 338	–	131	–	53	53
EUR	322 132	350 529	97 156	105 288	154 365	165 777	45 094	53 623	121 936	127 354	57	416	39	39
SEA	2 007 111	2 007 193	972 390	972 441	622 776	622 795	295 857	295 866	194 733	194 736	218	220	61	61
WPR	1 325 173	1 365 284	656 883	666 412	529 296	548 024	78 479	88 538	73 005	77 144	951	4 438	55	55
Global	5 490 334	5 572 062	2 557 385	2 580 700	1 902 867	1 937 466	749 438	770 811	478 639	488 782	2 837	6 701	57	57

– Indicates zero or all cases notified under DOTS; no additional cases notified under non-DOTS.

^a Cases not included elsewhere in table.

^b Expected percentage of new pulmonary cases that are smear-positive is 65–80%.

FIGURE 1.15
Tuberculosis notification rates, by country, 2007



detected with TB in countries where the prevalence of HIV in the general population exceeds 1% (FIGURE 1.17).

The reasons for higher TB notification rates in men are poorly understood. Possible explanations include biological differences between men and women in certain age groups that affect the risk of being infected as well as the risk of infection progressing to active disease, and/or differences in the societal roles of men and women that influence their risk of exposure to TB and access to care (gender differences). The observation that TB notification rates tend to be more equal between men and women in countries with a high prevalence of HIV supports the hypothesis of biological differences (that can be lessened by immunological suppression due to HIV), but other non-biological factors may play an important role.

A total of 101 countries reported notifications of new cases of extrapulmonary TB disaggregated by age and sex (these countries accounted for 50% of total notifications of extrapulmonary TB). There were 195 002 male cases and 180 310 female cases, giving a male:female ratio of 1:1. The ratio among new extrapulmonary patients is much lower than the ratio for smear-positive TB patients (FIGURE 1.18); understanding the reasons for this difference and their programmatic implications requires further investigation and research.

In general, there is a need for gender-based analysis to investigate the range of biological, epidemiological, demographic, social and economic variables that affect gender differentials in the incidence and notification of TB.

1.5 Case detection rates

1.5.1 Case detection rate, all sources (DOTS and non-DOTS programmes)

The 2.6 million new smear-positive cases notified in 2007 from all sources (that is, from DOTS and non-DOTS programmes) represent 64% of the 4.1 million estimated cases (TABLE 1.2; TABLE 1.6). This is a small increase from a figure of 63% in 2006, following a slow increase from 35% to 43% between 1995 and 2001 and a more rapid increase from 43% to 60% between 2001 and 2005 (FIGURE 1.19). The improvement that occurred between 2001 and 2007 was attributable mostly to increases in the numbers of new smear-positive cases reported in the Eastern Mediterranean, South-East Asia and Western Pacific regions (TABLE 1.6).

The case detection rate of smear-positive cases in 2007 (for DOTS and non-DOTS programmes) was $\geq 70\%$ in the Western Pacific Region (78%) and the Region of the Americas (76%), followed by the South-East Asia Region (69%). The African Region had the lowest case detection rate (47%) (TABLE 1.6; FIGURE 1.20). The Region of the Americas and the European Region reported the largest numbers of new smear-positive cases from outside DOTS programmes (FIGURE 1.20).

The 5.3 million new TB cases (all forms) that were notified in 2007 represent 57% of the 9.3 million estimated new cases. The case detection rate for all new cases was highest in the European Region (75%), followed by the Region of the Americas (71%) and the Western Pacific Region (68%) (FIGURE 1.20).

FIGURE 1.16
Sex ratio (M/F) by age group in nine epidemiological subregions, 2007

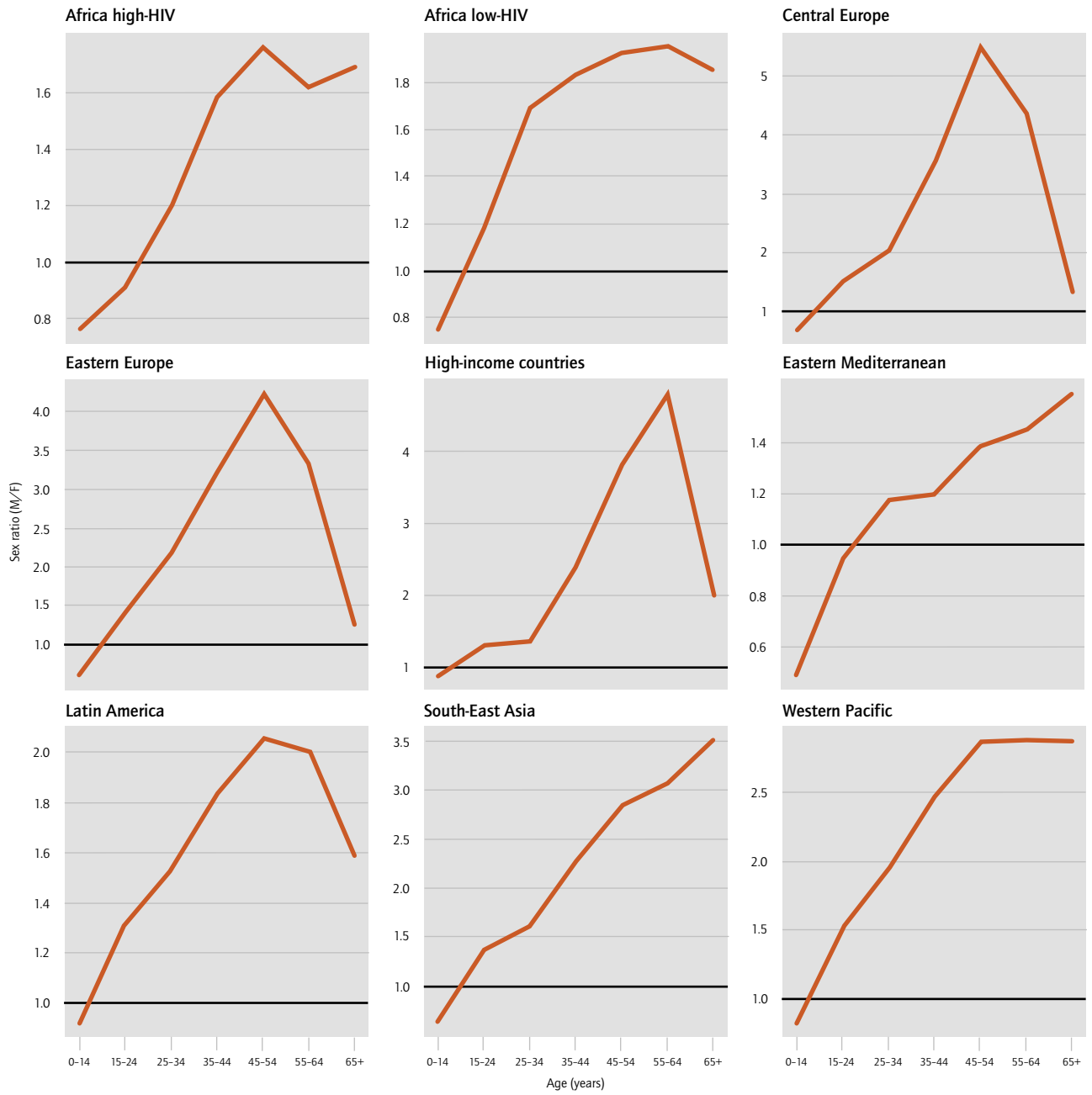


FIGURE 1.17

Distribution of sex ratios (M/F) in notified new smear-positive TB cases, by HIV epidemic level in the general population. The error bars denote 95% confidence intervals of the mean sex ratio within each HIV epidemic level. Horizontal random jitter was applied to data points to reduce over-plotting.

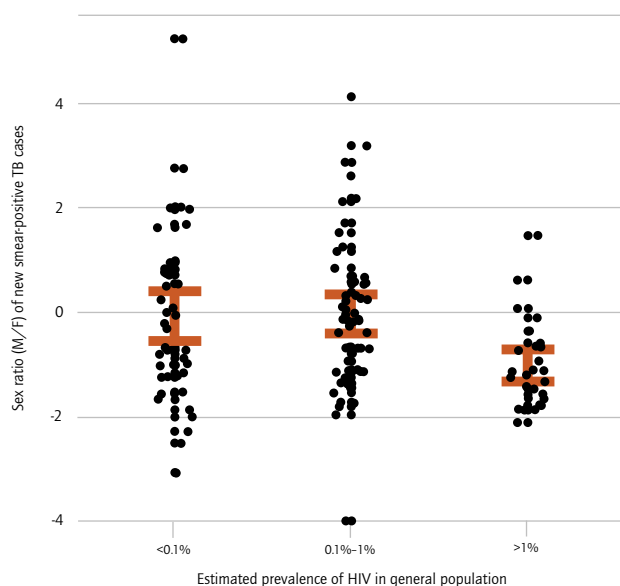


FIGURE 1.18

Distribution density of sex ratios (M/F) in new smear-positive TB cases (red) and in new extrapulmonary TB cases (grey). The vertical lines denote the mean sex ratio.

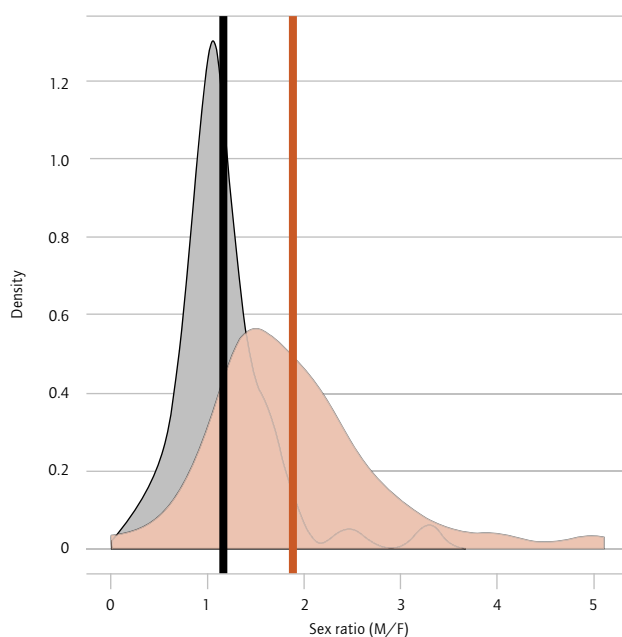


TABLE 1.6

Case detection rate for new smear-positive cases (%), 1995–2007^a

	DOTS PROGRAMMES													WHOLE COUNTRY													
	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	
1 India	0.3	0.9	1.0	1.7	6.9	12	23	30	44	56	60	64	68	37	40	37	38	46	45	49	49	53	59	60	64	*	
2 China	15	29	32	32	30	31	31	30	43	64	80	80	80	22	34	39	34	34	34	34	33	45	65	*	*	*	
3 Indonesia	1.3	4.4	7.4	12	19	20	21	30	37	53	66	73	68	12	*	*	*	*	*	*	*	*	*	*	*	*	*
4 Nigeria	11	11	11	11	12	12	12	11	15	17	18	20	23	*	*	*	*	*	*	15	13	*	*	*	*	*	
5 South Africa	–	–	6.8	23	66	63	60	71	77	75	72	77	78	3	75	90	119	95	78	70	72	77	78	75	*	*	
6 Bangladesh	6.4	14	18	23	23	24	26	30	35	40	54	65	66	14	21	23	26	25	26	27	31	*	*	*	*	*	
7 Ethiopia	15	20	22	24	25	31	30	30	31	31	28	27	28	*	24	*	*	*	*	*	*	*	*	*	*	*	
8 Pakistan	1.0	1.7	–	3.7	2.0	2.8	5.2	13	17	25	38	50	67	2.5	*	–	13	5.4	*	9.1	13	*	*	*	*	*	
9 Philippines	0.4	0.4	2.9	9	18	44	52	57	64	69	71	75	75	85	78	75	64	65	59	*	*	*	*	*	*	*	
10 DR Congo	40	47	43	53	50	47	49	47	53	59	60	59	61	42	*	*	*	*	*	*	*	*	*	*	*	*	
11 Russian Federation	–	0.5	1.1	1.0	1.8	5.0	5.6	7.5	9.5	15	34	45	49	77	74	67	63	31	37	37	40	43	47	49	48	*	
12 Viet Nam	30	59	78	83	83	82	84	87	86	89	84	86	82	59	77	84	85	83	*	*	*	*	*	*	*	*	
13 Kenya	58	60	56	60	60	53	61	63	65	68	70	72	72	*	*	*	*	*	58	*	*	*	*	*	*	*	
14 Brazil	–	–	–	3.8	3.7	7.0	7.4	8.9	17	43	51	64	69	73	73	73	66	72	73	70	76	75	82	82	82	78	
15 UR Tanzania	61	60	57	58	56	52	51	48	49	51	50	50	51	*	*	*	*	*	*	*	*	*	*	*	*	*	
16 Uganda	–	–	60	60	60	51	47	47	47	48	47	48	51	51	56	*	*	*	*	*	*	*	*	*	*	*	
17 Zimbabwe	–	–	–	55	49	45	44	42	36	36	32	32	27	43	54	60	*	*	*	*	*	*	*	*	*	*	
18 Thailand	–	0.3	5.2	22	41	48	76	68	74	74	77	74	72	58	48	37	*	*	*	*	*	*	*	*	*	*	
19 Mozambique	59	55	53	53	50	47	45	45	45	46	47	49	49	*	*	*	*	*	*	*	*	*	*	*	*	*	
20 Myanmar	–	27	27	30	34	50	60	69	78	88	102	111	116	27	30	29	*	*	*	61	*	*	*	*	*	*	
21 Cambodia	40	34	44	48	54	50	48	57	62	62	68	62	61	*	43	*	*	*	*	*	*	*	*	*	*	*	
22 Afghanistan	–	–	4.2	12	11	18	29	39	37	45	52	63	64	–	–	*	*	*	*	*	*	*	*	*	*	*	
High-burden countries	8.4	14	17	20	23	26	31	35	43	53	60	64	65	31	36	37	38	39	39	41	42	47	56	61	64	65	
AFR	23	26	30	35	37	36	37	43	45	46	46	47	47	33	43	42	47	43	41	42	44	46	47	47	47	47	
AMR	26	26	29	33	36	43	42	45	49	57	62	72	73	68	69	74	71	73	73	73	74	74	76	76	78	76	
EMR	12	10	12	19	21	25	27	32	34	39	46	52	60	25	27	24	34	32	27	30	32	34	39	46	52	60	
EUR	2.6	3.5	4.6	11	11	12	14	22	24	26	37	53	51	64	63	58	58	46	47	43	43	53	48	50	58	55	
SEAR	1.4	4.0	5.5	8.0	14	18	26	33	44	55	62	67	69	28	29	29	30	37	38	42	45	50	57	62	67	69	
WPR	15	28	31	33	31	37	38	39	50	65	77	77	77	36	44	48	43	44	43	43	43	52	67	78	78	78	
Global	11	16	18	22	25	28	32	37	44	52	58	62	63	35	40	40	41	42	42	43	45	49	56	60	63	64	

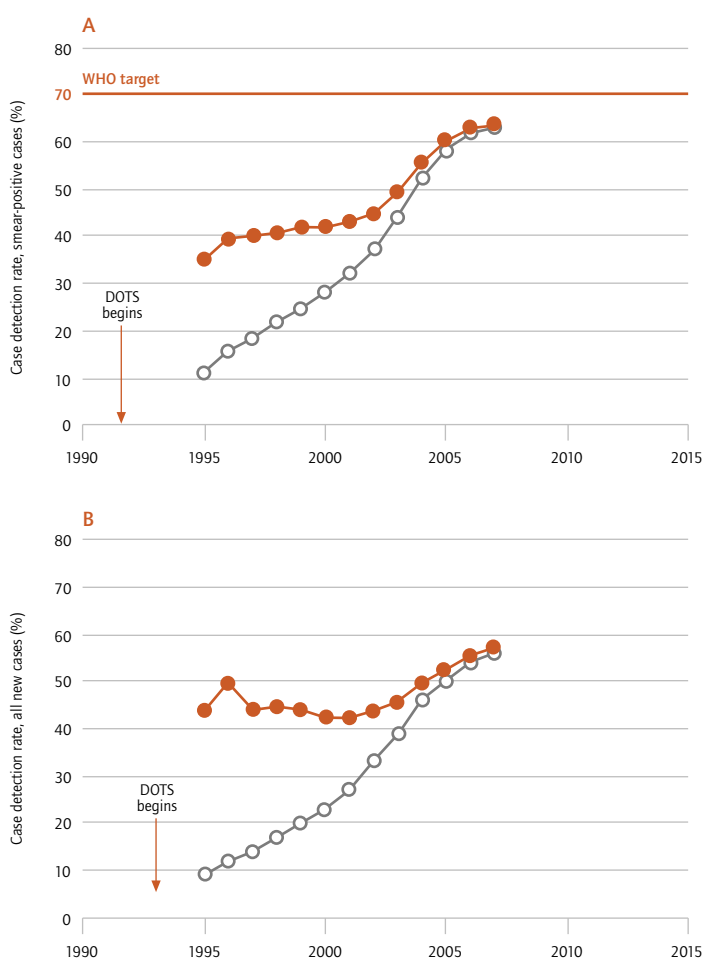
– Indicates not available.

^a Estimates for all years are recalculated as new information becomes available and techniques are refined, so they may differ from those published previously.

* No additional data beyond DOTS report, either because country is 100% DOTS, or because no non-DOTS report was received.

FIGURE 1.19

Progress towards the 70% case detection target. (a) Open circles mark the number of new smear-positive cases notified under DOTS 1995–2007, expressed as a percentage of estimated new cases in each year. Closed circles show the total number of smear-positive cases notified (DOTS and non-DOTS) as a percentage of estimated cases. (b) As (a), but for all new cases (excluding relapses).



1.5.2 Case detection rate, DOTS programmes

In 2007, over 99% of all notified cases of smear-positive TB were from DOTS programmes and the case detection rate under DOTS was 63% (TABLE 1.6; FIGURE 1.19). This was a small improvement compared with 2006. National estimates of the case detection rate of new smear-positive cases suggest that 74 countries met the 70% target in 2007, down from 78 in 2006 (ANNEX 3). At regional level, the case detection rate was lowest in the African (47%) and European (51%) regions and highest in the Western Pacific Region (77%) (TABLE 1.6; FIGURE 1.20; FIGURE 1.21). The Western Pacific Region (since 2005) and the Region of the Americas (since 2006) are the only regions to have exceeded the 70% target, although the South-East Asia Region (at 69%) falls just short. The particularly low figure for case detection under DOTS in the European Region compared with the case detection rate (in DOTS and non-DOTS programmes) of all forms of TB of 75% (FIGURE 1.20) is explained by two factors: incomplete geographical coverage of DOTS and lack of emphasis on sputum smear microscopy.¹

The implication that DOTS programmes in the African Region especially need to improve case detection comes with an important caveat. Efforts to assess improvements in case detection in this region have been hampered by the upward trend in incidence linked to the spread of HIV infection, such that it has been difficult to disentangle the effect of better programme performance and the HIV epidemic on increases in case notifications (see also SECTION 1.3 and BOX 1.2). More in-depth analyses of existing surveillance and programmatic data as well as data from forthcoming surveys of the prevalence of TB disease (TABLE 1.4) may indicate that case detection is higher than stated in this report.

FIGURE 1.20

Proportion of estimated cases notified under DOTS (grey portion of bars) and non-DOTS (red portion of the bar) in 2007 for (a) new smear-positive cases and (b) all new cases. The number of notified cases (in thousands) is shown in or above each portion or each bar.



¹ Countries in the European Region report substantial numbers of cases in whom disease is diagnosed by methods other than sputum smear microscopy. These cases are not necessarily smear-negative.

Although case detection of new smear-positive cases in DOTS programmes improved globally between 2006 and 2007, the increment between 2006 and 2007 (an extra 55 000 cases) was less than 1%, the smallest reported annual increase since 1995–1996 (TABLE 1.6; FIGURE 1.19; FIGURE 1.22). Most of the small increase in detected cases was attributable to India and Pakistan (in Pakistan this is linked to countrywide efforts to develop and scale up partnerships between the NTP and private providers, as described more fully in CHAPTER 2), and to a lesser extent Nigeria and South Africa (FIGURE 1.23). In the South-East Asia Region, the acceleration in case-finding after 2000 was attributable mostly to progress in Bangladesh, India, Indonesia and Myanmar. The Western Pacific Region is dominated by China, where case-finding expanded rapidly between 2002 and 2005; subsequently, little progress has been made (TABLE 1.6; ANNEX 1).

China and India accounted for an estimated 27% of all undetected new smear-positive cases in 2007. Nigeria accounted for 10% of undetected cases. These three countries are among eight HBCs that together accounted for 57% of all new smear-positive cases not detected by DOTS programmes in 2007 (FIGURE 1.24).

DOTS programmes detected 5.2 million new cases in 2007 (99% of all notifications) out of a total of 9.27 million estimated cases (TABLE 1.2; TABLE 1.5). This is equivalent to a case detection rate (all new cases) of 56% in 2007, a 2% increase from 54% in 2006.

1.6 Outcomes of treatment in DOTS programmes

1.6.1 New smear-positive cases

A total of 2.5 million new smear-positive cases were registered for treatment in DOTS programmes in 2006, approximately the same number that were notified that year (TABLE 1.7). The biggest discrepancies, where registered cases exceeded notifications, were in the Region of the Americas (Brazil) and in the Russian Federation and South Africa.

Globally, the rate of treatment success was 85% in 2006 (TABLE 1.7; TABLE 1.8). This means that 52% of the smear-positive cases estimated to have occurred in 2006 were treated successfully by DOTS programmes. Among all the patients treated under DOTS, 9.7% had no known outcome (defaulted, transferred, not evaluated). Treatment results for 13 consecutive cohorts (1994–2006) of new smear-positive patients show that the success rates have been 80% or higher in DOTS areas since 1998, even though the number of patients increased 10-fold from 240 000 in 1994 to 2.5 million in 2006 (TABLE 1.8).

The target for treatment success was reached at global level in 2006 because of the high treatment success rates reported from the South-East Asia and Western Pacific regions (87% and 92%, respectively; the latter figure is high enough to warrant further validation of the data). The DOTS treatment success rate reached or exceeded 85% in ten HBCs (TABLE 1.7), seven of which were in the South-East

FIGURE 1.21 Smear-positive case detection rate under DOTS, by WHO region, 1995–2007. Heavy line shows global DOTS case detection rate.

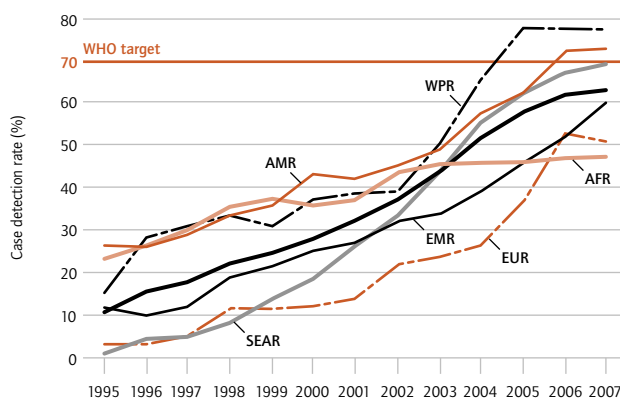
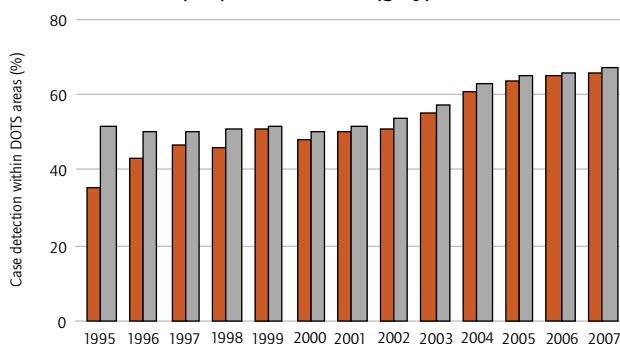
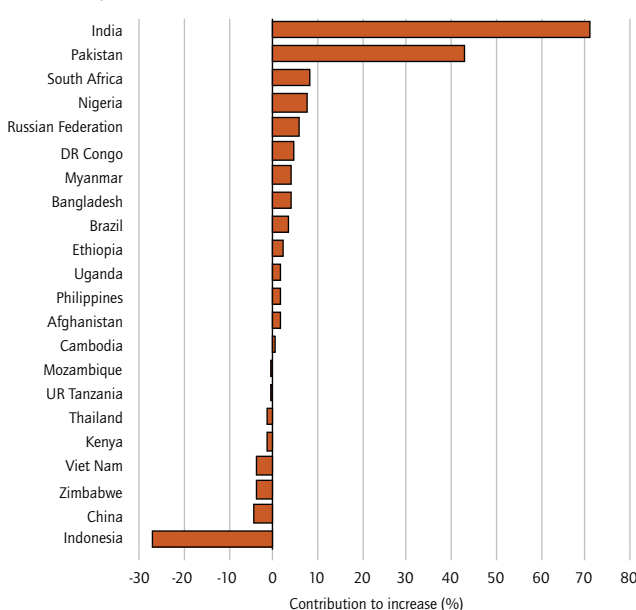


FIGURE 1.22 Smear-positive case detection rate within DOTS areas^a for high-burden countries (red) and the world (grey), 1995–2007



^a Calculated as DOTS case detection rate of new smear-positive cases divided by DOTS coverage

FIGURE 1.23 Contributions to the global increase in the number of new smear-positive cases notified under DOTS made by high-burden countries, 2006–2007



■ **TABLE 1.7**

Treatment outcomes for new smear-positive cases treated under DOTS, 2006 cohort

	NOTIFIED	REGISTERED ^a	REGST'D (%)	TREATMENT OUTCOMES (%) ^a							% EST ^b CASES SUCCESSFULLY TREATED UNDER DOTS	
				CURED	COMPLETED TREATMENT	DIED	FAILED	DEFAULTED	TRANS-FERRED	NOT EVAL'D	TREATMENT SUCCESS (%)	TREATED UNDER DOTS
1 India	553 797	553 302	100	84	2.1	4.6	2.3	6.4	0.8	0.03	86†	55
2 China	468 291	470 436	100	92	1.7	1.5	0.8	0.6	2.9	0	94†	75
3 Indonesia	175 320	175 320	100	83	8.5	2.1	0.6	4.6	1.7	0	91†	67
4 Nigeria	39 903	39 903	100	65	11	5.8	1.9	10	2.2	3.6	76	16
5 South Africa	131 099	139 516	106	63	11	7.3	1.7	9.1	5.2	2.9	74	60
6 Bangladesh	101 967	101 761	100	91	0.8	3.2	0.5	2.0	1.5	0.6	92†	59
7 Ethiopia	36 674	36 674	100	69	15	4.8	0.5	4.5	5.1	1.0	84	23
8 Pakistan	65 253	65 589	101	75	13	2.8	0.6	6.2	2.4	0	88†	44
9 Philippines	85 740	85 797	100	80	7.9	2.3	1.0	3.9	2.4	2.0	88†	66
10 DR Congo	63 488	63 488	100	82	4.6	5.4	1.3	4.9	2.2	0	86†	51
11 Russian Federation	29 989	30 745	103	56	2.7	12	15	9.6	4.8	0	58	27
12 Viet Nam	56 437	56 470	100	90	2.3	2.6	1.0	1.6	2.1	0.7	92†	79
13 Kenya	39 154	39 154	100	73	12	4.5	0.3	7.3	2.7	0	85†	61
14 Brazil	32 463	34 818	107	33	39	4.2	0.1	8.3	3.3	12	72	50
15 UR Tanzania	24 724	24 724	100	80	4.5	7.9	0.2	3.2	4.0	0	85	42
16 Uganda	20 364	20 364	100	29	41	5.7	0.6	13	4.7	6.9	70	33
17 Zimbabwe	12 718	16 205	127	54	6.0	7.6	0.1	5.3	8.4	19	60	24
18 Thailand	29 081	28 856	99	71	6.3	8.2	1.8	5.8	2.9	4.0	77	57
19 Mozambique	18 275	18 275	100	82	1.1	10	0.9	4.5	1.9	0	83	40
20 Myanmar	40 241	40 350	100	77	7.3	5.5	3.2	5.0	1.9	0	84	94
21 Cambodia	19 294	19 349	100	90	3.1	3.0	0.3	1.6	1.6	0	93†	58
22 Afghanistan	12 468	12 468	100	80	4.9	2.1	1.1	2.1	5.6	4.6	84	53
High-burden countries	2 056 740	2 073 564	101	81	5.6	3.9	1.5	4.6	2.4	0.9	87†	56
AFR	555 361	562 884	101	65	10	6.2	1.2	7.7	4.1	5.3	75	36
AMR	114 680	116 925	102	55	20	4.4	0.9	6.3	3.2	10	75	55
EMR	131 820	132 001	100	75	11	2.8	1.0	6.1	2.7	1.2	86	45
EUR	100 102	94 266	94	61	9.3	8.4	8.9	7.2	3.2	2.3	70	35
SEAR	938 572	937 764	100	84	3.6	4.1	1.8	5.4	1.2	0.2	87†	59
WPR	662 273	663 261	100	89	3.1	2.1	0.9	1.4	2.8	1.1	92†	71
Global	2 502 808	2 507 101	100	78	6.3	4.2	1.6	5.0	2.5	2.2	85	52

† Treatment success ≥ 85% (treatment success for UR Tanzania 84.7%, global 84.5%).

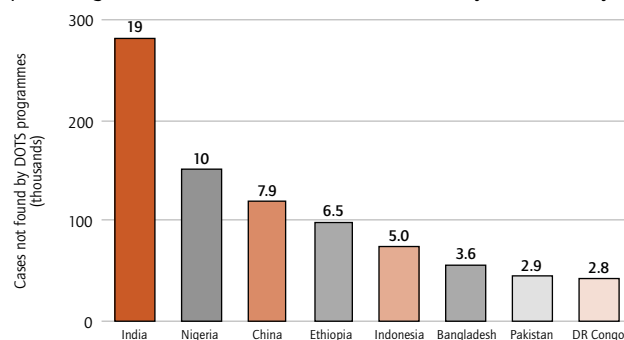
^a Cohort: cases diagnosed during 2006 and treated/followed-up through 2007. See TABLE A2.1 and accompanying text for definitions of treatment outcomes.

If the number registered was provided, this (or the sum of the outcomes, if greater) was used as the denominator for calculating treatment outcomes. If the number registered was missing, then the number notified (or the sum of the outcomes, if greater) was used as the denominator.

^b Est: estimated cases for 2006 (as opposed to notified or registered for treatment).

■ **FIGURE 1.24**

Smear-positive TB cases undetected by DOTS programmes in eight high-burden countries, 2007. Numbers indicate the percentage of all missed cases that were missed by each country.



■ **TABLE 1.8**

Treatment success for new smear-positive cases treated under DOTS (%), 1994–2006 cohorts^a

	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006
1 India	83	79	79	82	84	82	84	85	87	86	86	86	86
2 China	94	96	96	96	97	96	95	96	93	94	94	94	94
3 Indonesia	94	91	81	54	58	50	87	86	86	87	90	91	91
4 Nigeria	65	49	32	73	73	75	79	79	79	78	73	75	76
5 South Africa	–	–	69	73	74	60	66	65	68	67	70	71	74
6 Bangladesh	73	71	72	78	80	81	83	84	84	85	90	91	92
7 Ethiopia	74	61	73	72	74	76	80	76	76	70	79	78	84
8 Pakistan	74	70	–	67	66	70	74	77	78	79	82	83	88
9 Philippines	80	–	82	83	84	87	88	88	88	88	87	89	88
10 DR Congo	71	80	48	64	70	69	78	77	78	83	85	85	86
11 Russian Federation	–	65	62	67	68	65	68	67	67	61	59	58	58
12 Viet Nam	91	91	90	85	93	92	92	93	92	92	93	92	92
13 Kenya	73	75	77	65	77	78	80	80	79	80	80	82	85
14 Brazil	–	–	–	–	91	89	73	67	75	83	81	77	72
15 UR Tanzania	80	73	76	77	76	78	78	81	80	81	81	82	85
16 Uganda	–	–	33	40	62	61	63	56	60	68	70	73	70
17 Zimbabwe	–	–	–	–	70	73	69	71	67	66	54	68	60
18 Thailand	–	–	78	62	68	77	69	75	74	73	74	75	77
19 Mozambique	67	39	54	67	–	71	75	78	78	76	77	79	83
20 Myanmar	–	66	79	82	82	81	82	81	81	81	84	84	84
21 Cambodia	84	91	94	91	95	93	91	92	92	93	91	93	93
22 Afghanistan	–	–	–	45	33	87	86	84	87	86	89	90	84
High-burden countries	87	83	78	81	83	81	84	84	83	84	86	86	87
AFR	59	62	57	63	70	69	72	71	73	73	74	76	75
AMR	76	78	83	82	81	83	81	82	83	83	82	78	75
EMR	82	87	86	79	77	83	83	83	84	83	83	83	86
EUR	68	69	72	72	76	77	77	75	76	75	74	71	70
SEAR	80	74	77	72	72	73	83	84	85	85	87	87	87
WPR	90	91	93	93	95	94	92	93	90	91	91	92	92
Global	77	79	77	79	81	80	82	82	82	83	84	85	85

– Indicates not available.

^a See notes for **TABLE 1.7**.

Asia and Western Pacific regions, and in 59 countries (up from 57 the previous year) in total (**ANNEX 3**). Treatment success rates of 90% or more were reported in Bangladesh, Cambodia, China, Indonesia and Viet Nam.

Treatment success rates in other regions in 2006 were 75% in the African Region, 86% in the Eastern Mediterranean Region (where the target was reached for the first time in 2006), 70% in the European Region (the lowest recorded since 1996) and 75% in the Region of the Americas (**TABLE 1.7**; **TABLE 1.8**). In the Region of the Americas, the treatment success rate has been worsening since 2002, related to the geographical expansion of DOTS to those parts of countries where health services are weaker. There was no evaluation of treatment outcome for 10% of patients in the region as a whole. Relatively low treatment success rates in the European Region are explained in large part by high rates of death and treatment failure in the Russian Federation, which are linked among other factors to drug resistance. Here, the treatment success rate was 58% in 2006, the lowest level since WHO began monitoring this indicator in 1995. Death and default rates remain high in the African Region, linked to high rates

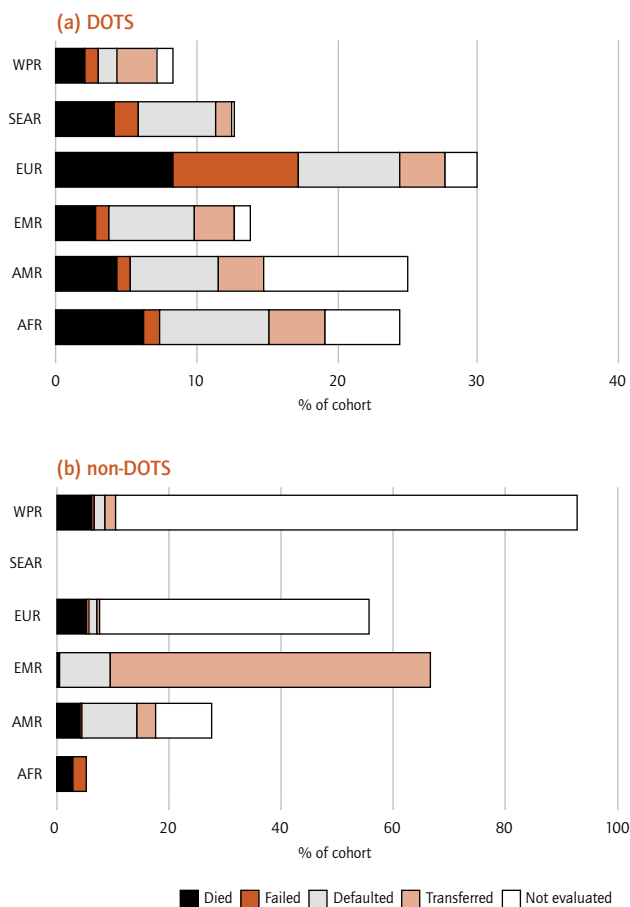
of HIV coinfection and weak health services: one or other of these indicators exceeded 10% in Mozambique, Nigeria and Uganda. However, Kenya achieved a treatment success rate of 85% in 2006 and the United Republic of Tanzania achieved a treatment success rate of 84.7%, indicating that it is possible to achieve the target of 85% in settings where a high proportion of patients are HIV-positive. Cure was not confirmed (by a final, negative sputum smear) for large numbers of patients in Brazil (39%), Ethiopia (15%), Nigeria (11%), Pakistan (13%), South Africa (11%) and Uganda (41%).

Variation in treatment outcomes among regions (**TABLE 1.7**; **FIGURE 1.25**) raises important questions about the quality of treatment, the quality of the data and how quickly these will improve in future.

1.6.2 Re-treatment cases

A total of 564 131 patients were re-treated in DOTS programmes in 2006 (**TABLE 1.9**), an increase from 531 228 patients in 2005. The re-treatment success rate in 2006 was 70%. As expected from the results of treating new patients, re-treatment success rates were lowest in the European

FIGURE 1.25
Outcomes for those patients not successfully treated in
(a) DOTS and (b) non-DOTS areas, by WHO region, 2006 cohort



Region (42%) and highest in the Western Pacific Region (87%).

1.6.3 Comparison of treatment outcomes in HIV-positive and HIV-negative TB patients

Data on the outcomes of treatment for HIV-positive and HIV-negative TB patients were reported separately by between 31 and 55 countries, depending on the category of case (FIGURE 1.26; smear-negative and extrapulmonary cases are presented as one category, since separate analysis showed very similar treatment outcomes for these two types of case). These countries were mostly in the Region of the Americas and the European Region. There were few data for African countries (only for Ghana, Lesotho, Mauritania, Mauritius, Namibia and Zambia), even though Africa accounts for 79% of estimated HIV-positive cases. The data that were reported show lower treatment success rates among HIV-positive patients, due mainly to higher death rates and, to a lesser extent, higher default rates. A similar pattern existed for two regions that could be analysed separately (the Region of the Americas and the European Region; data not shown).

1.7 Progress towards reaching targets for case detection and treatment success

The global targets for both case detection (70%) and treatment success (85%) were achieved in 36 countries (up from 33 in 2005–2006) including four HBCs: China, Kenya, the Philippines and Viet Nam (FIGURE 1.27; FIGURE 1.28). Kenya is the first country in sub-Saharan Africa that is assessed to have achieved both targets, following new analysis of TB incidence and the case detection rate (BOX 1.2) and a treatment success rate that reached 85% for the first time in the 2006 cohort. Indonesia dropped out of the “target zone” (FIGURE 1.28) in 2007, possibly as a consequence of a temporary cessation of funding from a Global Fund grant delaying implementation of some programmatic activities.

The only region to have reached both targets is the Western Pacific Region, although the South-East Asia Region is very close. The Region of the Americas could achieve both targets if treatment outcomes could be improved by reducing the proportion of patients for whom treatment outcome is not evaluated. The African and European regions perform worst on both indicators.

Progress can also be directly compared with the expectations set out in the Global Plan (TABLE 1.10), which was designed to achieve the MDG, Stop TB Partnership and WHA targets set for 2015 (SECTION 1.1). The case detection rate for new smear-positive cases in DOTS programmes in 2007, at 63%, lags behind the milestone of 68% in the Global Plan. The detection of smear-negative and extrapulmonary cases also lags behind the Global Plan, and by a larger amount (51% estimated for 2007 compared with the Global Plan milestone of 69%). More positively, progress in the treatment success rate is ahead of the Global Plan, at 85% compared with 83%. In addition, the absolute number of smear-pos-

TABLE 1.9

Re-treatment outcomes for smear-positive cases treated under DOTS, 2006 cohort^a

	REGISTERED	TREATMENT OUTCOMES (%)							TREATMENT SUCCESS (%)
		CURED	COMPLETED TREATMENT	DIED	FAILED	DEFAULTED	TRANSFERRED	NOT EVAL'D	
1 India	259 130	45	26	7.1	4.2	15	1.7	0.02	72
2 China	78 146	85	4.7	2.3	2.2	1.2	5.1	0	89†
3 Indonesia	4 227	61	16	4.5	2.5	11	5.0	0	77
4 Nigeria	4 605	60	17	3.6	7.1	9.7	2.6	0	77
5 South Africa	43 225	56	10	5.1	9.0	12	3.5	3.5	67
6 Bangladesh	4 211	70	7.1	4.5	2.2	3.9	3.5	8.4	77
7 Ethiopia	2 846	54	16	8.0	2.1	4.3	4.9	11	69
8 Pakistan	5 566	59	18	4.2	3.1	11	4.2	0.2	77
9 Philippines	3 293	63	17	5.4	4.4	4.7	2.5	3.4	80
10 DR Congo	6 345	63	3.7	7.6	3.2	14	2.6	6.2	67
11 Russian Federation	17 109	33	4.7	14	26	14	7.7	0	38
12 Viet Nam	7 500	79	4.3	5.9	5.2	3.2	2.9	0.1	83
13 Kenya	3 945	71	7.8	7.1	0.9	8.3	4.7	0	79
14 Brazil	4 955	15	28	5.7	1.7	16	11	23	43
15 UR Tanzania	4 639	38	39	12	0.6	3.9	4.0	2.0	78
16 Uganda	1 357	33	43	8.4	1.0	10	4.3	0	76
17 Zimbabwe	929	54	3.0	17	0.5	6.7	6.6	12	57
18 Thailand	2 191	53	8.6	13	5.5	7.2	4.9	7.5	62
19 Mozambique	1 818	63	2.1	12	1.8	7.0	14	0	65
20 Myanmar	8 866	50	20	12	6.5	7.4	4.4	0	70
21 Cambodia	1 389	48	37	6.2	2.2	1.9	4.3	0	85†
22 Afghanistan	1 132	74	5	2.7	2.3	2.2	6.3	7.9	79
High-burden countries	467 424	54	19	6.4	5.0	12	3.1	0.9	73
AFR	98 957	49	17	6.9	5.4	11	4.5	6.3	66
AMR	12 282	37	18	6.1	2.7	14	5.9	16	55
EMR	14 039	58	18	4.0	3.3	11	4.7	1.6	76
EUR	51 866	34	7.4	14	19	12	5.4	7.7	42
SEAR	290 910	47	25	7.1	4.5	14	2.0	0.2	72
WPR	96 159	80	6.3	3.0	2.6	1.7	5.1	1.0	87†
Global	564 213	52	18	6.9	5.6	11	3.4	2.5	70

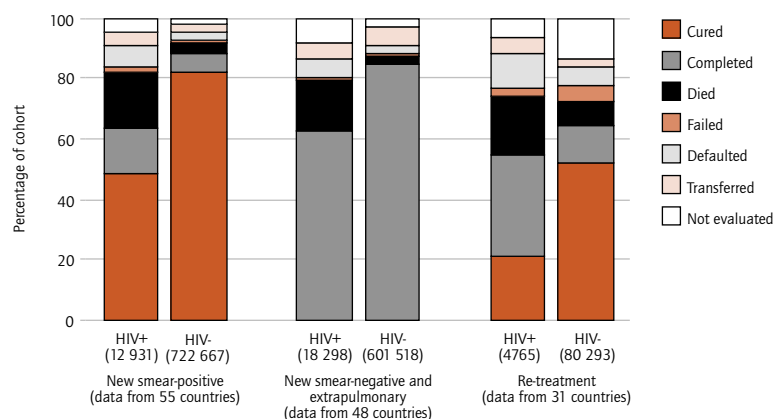
– Indicates not available.

† Treatment success ≥ 85%.

^a See notes for TABLE 1.7.

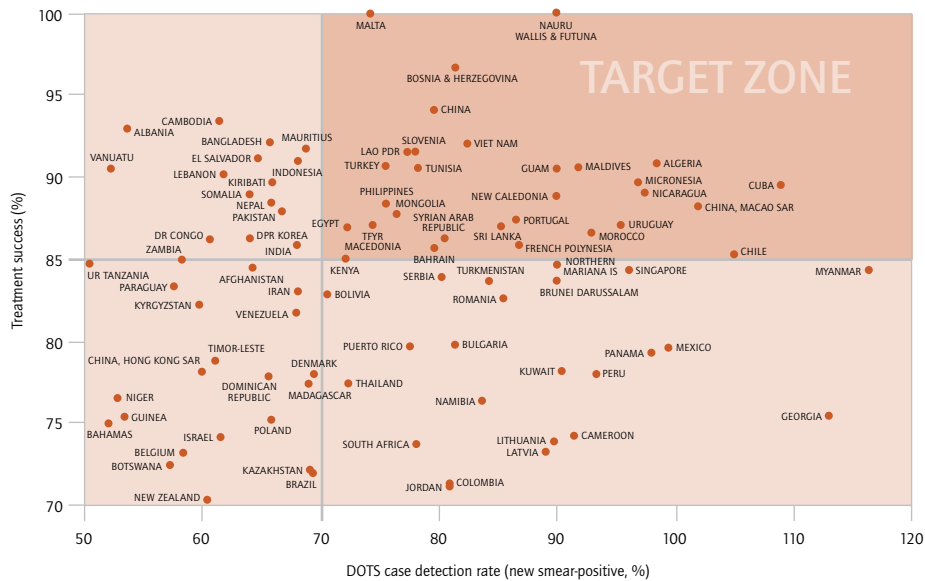
FIGURE 1.26

Treatment outcomes for HIV-positive and HIV-negative TB patients, 2006 cohort. The numbers under the bars are the numbers of patients included in the cohort.



■ **FIGURE 1.27**

DOTS status in 2007, countries close to targets. 100 countries reported treatment success rates 70% or over and DOTS detection rates 50% or over. 36 countries (including 5 countries out of range of graph) have reached both targets; 2 in the African Region, 8 in the Region of the Americas, 6 in the Eastern Mediterranean Region, 6 in the European Region, 2 in the South-East Asia Region and 12 in the Western Pacific Region.



itive patients treated in DOTS programmes in 2007 (2.1 million) was higher than the number forecast in the Global Plan (1.8 million) because the estimated incidence of TB in 2007 was higher than anticipated by the Global Plan.

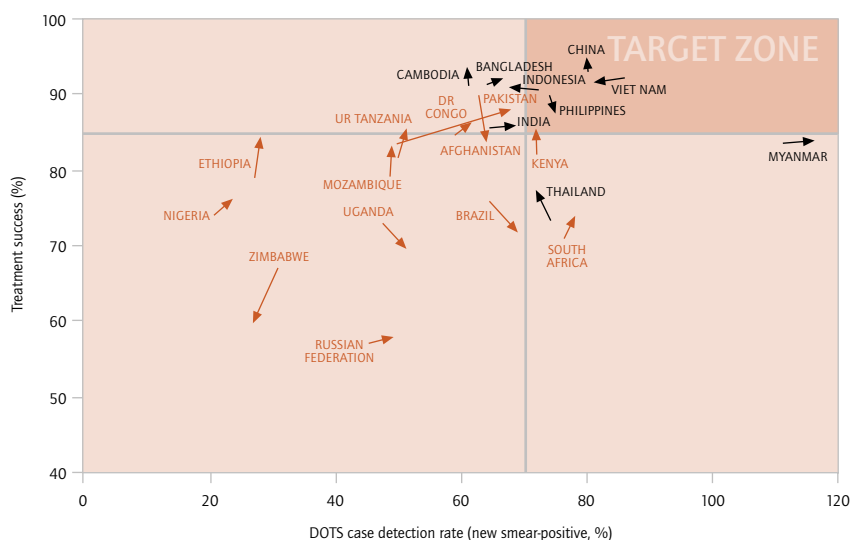
1.8 Summary

The latest estimates of the global burden of TB show that there were 9.27 million new cases of TB in 2007 (including 1.37 million cases among HIV-positive people), 1.32 million deaths from TB in HIV-negative people with an additional 0.46 million TB deaths in HIV-positive people, and 13.7 million prevalent cases (of which 687 000 were HIV-positive cases). There were 0.5 million cases of MDR-

TB, of which 0.3 million were among people not previously treated for TB and 0.2 million were among previously treated TB cases. The estimates of cases and deaths in HIV-positive people in 2007 as well as in previous years are substantially higher than those published in previous years by WHO, and are based on new data that became available in 2008 and associated updates to analytical methods. The revised estimates suggest that TB cases and deaths from TB in HIV-positive people peaked in 2005, at 1.39 million and 0.48 million respectively. Collectively, these statistics show that TB remains a major global health problem.

■ **FIGURE 1.28**

DOTS progress in high-burden countries, 2006–2007. Treatment success refers to cohorts of patients registered in 2005 or 2006, and evaluated, respectively, by the end of 2006 or 2007. Arrows mark progress in treatment success and DOTS case detection rate. Countries should enter the graph at top left, and proceed rightwards to the target zone. Countries from AFR, AMR, EMR and EUR are shown in red, those from SEAR and WPR are shown in black.



The total number of global cases is still increasing in absolute terms as a result of population growth. Nonetheless, the number of incident cases per capita is falling globally, in five out of six WHO regions (the exception is Europe, where rates are approximately stable) and in seven out of nine epidemiological subregions (the exceptions are Eastern Europe and African countries with a low prevalence of HIV in the general population). If the global trend is confirmed by further monitoring, MDG Target 6.c will have been met by 2005 (following a peak in the incidence rate in 2004), well ahead of the target date of 2015. The more challenging targets of halving prevalence and death rates by 2015 compared with a baseline of 1990, set by the Stop TB Partnership, are unlikely to be achieved globally because of the

■ **TABLE 1.10**

DOTS expansion and enhancement in 2007: country reports compared with expectations given in the Global Plan

	COUNTRY REPORTS ^a	GLOBAL PLAN
	(MILLIONS OR PERCENTAGES)	
Number of new smear-positive cases notified under DOTS	2.5	2.2
Estimated number of new smear-positive cases	4.0	3.2
New smear-positive case detection rate under DOTS	63%	68%
Number of new smear-positive cases successfully treated under DOTS	2.1	1.8
Number of new smear-positive cases registered for treatment under DOTS	2.5	2.2
New smear-positive treatment success rate, 2006	85%	83%
Number of new smear-negative and extrapulmonary cases notified under DOTS	2.6	3.1
Estimated number of new smear-negative and extrapulmonary cases	5.1	4.5
New smear-negative and extra-pulmonary case detection rate under DOTS	51%	69%

^a Includes only those countries in the Global Plan, i.e. countries in sub-regions Central Europe and Established Market Economies are excluded here.

enormous gap between rates in 2007 and the 2015 target in the African and European regions. However, three of six WHO regions are on track to meet both targets: these are the Eastern Mediterranean and South-East Asia regions, and the Region of the Americas. The Western Pacific Region is on track to achieve the prevalence target, but progress will have to accelerate from 2008 onwards, otherwise the mortality target may be narrowly missed. Implementation of recommendations for measuring progress towards the impact targets that have been made by the Global Task Force on TB Impact Measurement, including more in-depth analyses of the quality and coverage of existing surveillance data, surveys of the prevalence of TB disease in 21 global focus countries and strengthening of vital registration systems to improve the measurement of mortality, will considerably improve measurement of progress towards the impact targets as well as measurement of progress in TB control after 2015.

The WHA target of successfully treating 85% of new smear-positive patients was achieved at global level in 2006. It has also been achieved in three regions: in the Eastern Mediterranean Region (for the first time) and in the South-East Asia and Western Pacific regions, as well as in 59 countries (up from 57 the previous year). Treatment success rates remain well below the target in the other regions, especially the European Region.

With 5.2 million cases notified in DOTS programmes (99% of the total notified globally), of which 2.6 million (44%) were new smear-positive cases (also 99% of the total notified globally), the case detection rate for new smear-positive TB under DOTS was 63% in 2007, a very small increase from 62% in 2006. Much of the progress that did take place was in India and Pakistan, which in Pakistan was linked in particular to countrywide efforts to develop partnerships between the NTP and private providers. The percentage of estimated cases notified by DOTS and non-DOTS programmes combined was 64%. The slow rate of progress reinforces the observation in last year's report that progress in case detection has slowed since 2005 and that the WHA target of a case detection rate of at least 70%, originally set for 2000 and later reset to 2005, is still some way from being achieved. More positively, the Western Pacific Region and the Region of the Americas have achieved the target, as have 74 countries; at 69%, the South-East Asia Region is very close to doing so. The Western Pacific Region and 36 countries (up from 33 in 2006/7) appear to have achieved both the case detection and treatment success targets. Reaching the case detection target at global level requires greater efforts to detect and treat cases in all regions, using the range of interventions and approaches defined in the Stop TB Strategy that are discussed in the next chapter.

Strategy

Two landmark documents in global TB control – the Stop TB Strategy¹ and the Global Plan to Stop TB² – were launched in 2006. The Stop TB Strategy, developed by WHO, sets out the interventions that need to be implemented to achieve the MDG, Stop TB Partnership and World Health Assembly targets discussed in **CHAPTER 1**. The Global Plan to Stop TB, developed by the Stop TB Partnership, sets out how, and at what scale, the strategy should be implemented over the decade 2006–2015 (see also **CHAPTER 1**). To monitor implementation of the strategy, WHO has asked countries to report on the implementation of TB control activities according to the strategy's major components and subcomponents (**TABLE 2.1**; **TABLE 2.2**) since 2007. In the 2008 round of data collection, countries were asked to report on activities

implemented in 2007 and on activities planned for 2008 (see **ANNEX 2** for further details about the data that were collected). In a few cases, projections for 2009 were also requested.

This chapter, structured in seven main sections, summarizes the major findings on global progress in implementing the Stop TB Strategy. Wherever possible, comparable data reported in previous years are also presented, to illustrate trends over time. The first section provides an overview of the completeness of reporting for each component of the Stop TB Strategy. The next six sections cover each of the six major components of the strategy in turn: pursue high-quality DOTS expansion and enhancement; address TB/HIV, MDR-TB, and the needs of poor and vulnerable populations; contribute to health system strengthening based on primary health care; engage all care providers; empower people with TB, and communities through partnership; and enable and promote research.³ Further details about the implementation of all major components and subcomponents of the Stop TB Strategy are provided for each of the 22 HBCs in **ANNEX 1**.

■ **TABLE 2.1**
Components of the Stop TB Strategy

1. **Pursue high-quality DOTS expansion and enhancement**
 - a. Secure political commitment, with adequate and sustained financing
 - b. Ensure early case detection, and diagnosis through quality-assured bacteriology
 - c. Provide standardized treatment with supervision, and patient support
 - d. Ensure effective drug supply and management
 - e. Monitor and evaluate performance and impact
2. **Address TB-HIV, MDR-TB, and the needs of poor and vulnerable populations**
 - a. Scale up collaborative TB/HIV activities
 - b. Scale up prevention and management of multidrug-resistant TB (MDR-TB)
 - c. Address the needs of TB contacts, and of poor and vulnerable populations, including women, children, prisoners, refugees, migrants and ethnic minorities
3. **Contribute to health system strengthening based on primary health care**
 - a. Help improve health policies, human resource development, financing, supplies, service delivery and information
 - b. Strengthen infection control in health services, other congregate settings and households
 - c. Upgrade laboratory networks, and implement the Practical Approach to Lung Health (PAL)
 - d. Adapt successful approaches from other fields and sectors, and foster action on the social determinants of health
4. **Engage all care providers**
 - a. Involve all public, voluntary, corporate and private providers through Public–Private Mix (PPM) approaches
 - b. Promote use of the International Standards for TB Care (ISTC)
5. **Empower people with TB, and communities through partnership**
 - a. Pursue advocacy, communication and social mobilization
 - b. Foster community participation in TB care
 - c. Promote use of the Patients' Charter for TB Care
6. **Enable and promote research**
 - a. Conduct programme-based operational research, and introduce new tools into practice
 - b. Advocate for and participate in research to develop new diagnostics, drugs and vaccines

■ **TABLE 2.2**
Technical elements of the DOTS strategy

- Case detection through quality-assured bacteriology**
Case detection among symptomatic patients self-reporting to health services, using sputum smear microscopy. Sputum culture is also used for diagnosis in some countries, but direct sputum smear microscopy should still be performed for all suspected cases.
- Standardized treatment with supervision and patient support**
Standardized short-course chemotherapy using regimens of 6–8 months for at least all confirmed smear-positive cases. Good case management includes directly observed treatment (DOT) during the intensive phase for all new smear-positive cases, during the continuation phase of regimens containing rifampicin and during the entirety of a re-treatment regimen. In countries that have consistently documented high rates of treatment success, DOT may be reserved for a subset of patients, as long as cohort analysis of treatment results is provided to document the outcome of all cases.
- An effective drug supply and management system**
Establishment and maintenance of a system to supply all essential anti-TB drugs and to ensure no interruption in their availability.
- Monitoring and evaluation system, and impact measurement**
Establishment and maintenance of a standardized recording and reporting system, allowing assessment of treatment results (see **TABLE 2.7**).

¹ *The Stop TB Strategy: building on and enhancing DOTS to meet the TB-related Millennium Development Goals*. Geneva, World Health Organization, 2006 (WHO/HTM/TB/2006.368).

² *The Global Plan to Stop TB, 2006–2015: actions for life towards a world free of tuberculosis*. Geneva, World Health Organization, 2006 (WHO/HTM/STB/2006.35).

³ At the end of 2008, the wording used to describe the six components of the strategy was updated based on lessons learnt and feedback received. For the updated wording, see **TABLE 2.1**.

■ **TABLE 2.3**

Reporting on implementation of the Stop TB Strategy, 2007. Number of countries (out of 196 countries reporting) answering given percentage of questions on each sub-component of the strategy.

	COMPLETENESS OF REPORTING			
	<50%	50-75%	75-90%	>90%
1. DOTS expansion and enhancement				
Political commitment	4	15	0	177
Overview of services for diagnosis and treatment of TB	12	13	14	157
Laboratory diagnostic services	23	9	17	147
Drug management	14	16	166	0
Monitoring and evaluation, including impact measurement*	0	0	36	160
2. TB/HIV, MDR-TB and other challenges				
Collaborative TB/HIV activities				
Mechanisms for collaboration and policy development	17	6	17	156
HIV-testing for TB patients, provision of CPT and ART	55	33	14	92
Intensified TB case-finding and IPT for HIV-positive people	89	12	12	83
Treatment outcomes of HIV-positive TB patients	0	0	133	63
Management of MDR-TB				
Policy and stage of implementation	11	11	21	153
Diagnosis and treatment of MDR-TB	24	15	22	135
Treatment outcomes of MDR-TB patients	138	54	0	4
High-risk groups and special situations	21	15	19	141
3. Health system strengthening				
Health system strengthening and integration of TB control within primary health care	24	0	2	170
Practical Approach to Lung Health (PAL)	35	15	24	122
Human resource development	16	28	13	139
4. Engaging all care providers				
Public-Private and Public-Public Mix approaches (PPM)	77	118	0	1
International Standards for Tuberculosis Care	29	1	24	142
5. Empowering people with TB, and communities				
Advocacy, communication and social mobilization (ACSM)	16	3	24	153
Community participation in TB control	32	4	5	155
Patients' Charter for Tuberculosis Care	33	14	0	149
6. Enabling and promoting research				
Operational research	30	38	5	123
Research to develop new diagnostics, drugs and vaccines	28	4	6	158

* include data on case notifications by type and age/sex and treatment outcomes.

2.1 Data reported to WHO in 2008

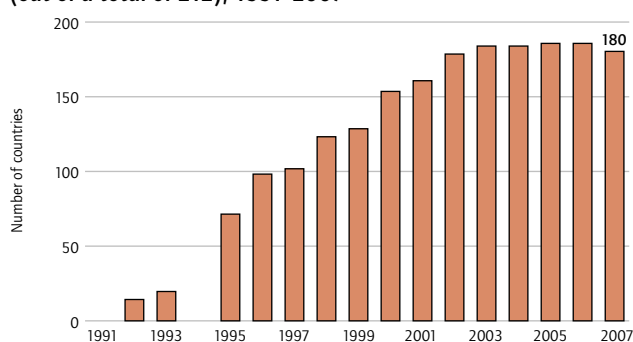
The data that were reported to WHO in 2008 are summarized in **TABLE 2.3**.¹ A total of 196 (out of 212) countries and territories (hereafter "countries") reported data; these countries collectively account for 99.6% of the world's estimated TB cases. Among countries which reported, at least 75% of the requested data were provided by 70-80% of countries for most sections of the data collection form. The topics for which reporting of data was much less complete were collaborative TB/HIV activities, treatment outcomes for patients with multidrug-resistant TB (MDR-TB), and public-public and public-private mix (PPM). For HBCs specifically, a similar pattern existed (data not shown).

2.2 DOTS expansion and enhancement

2.2.1 DOTS coverage and numbers of patients treated

The total number of countries implementing DOTS increased steadily from 1995 to 2003, and has since remained stable at around 180 countries (**FIGURE 2.1**). All 22 HBCs have had DOTS programmes since 2000. DOTS coverage within

■ **FIGURE 2.1**
Number of countries and territories implementing DOTS (out of a total of 212), 1991-2007



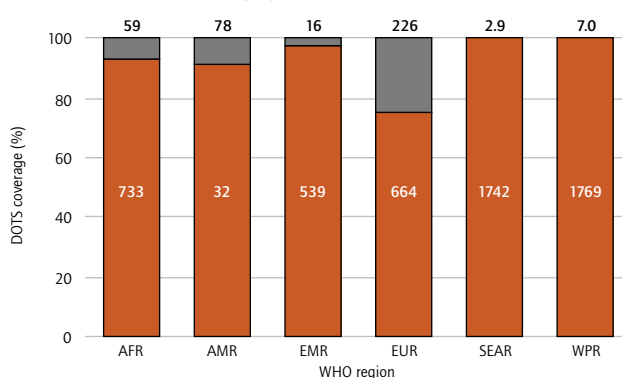
¹ The wording used in **TABLE 2.3** is the wording used on the 2008 data collection form, which was distributed before the update to the wording of the Stop TB Strategy presented in **TABLE 2.1**.

TABLE 2.4
Progress in DOTS implementation, 1995–2007

	PERCENT OF POPULATION COVERED BY DOTS													
	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	
1 India	1.5	2.0	2.3	9.0	14	30	45	52	67	84	91	100	100	
2 China	49	60	64	64	64	68	68	78	91	96	100	100	100	
3 Indonesia	6.0	14	28	80	90	98	98	98	98	98	98	98	100	
4 Nigeria	47	30	40	45	45	47	55	55	60	65	65	75	91	
5 South Africa	–	0	13	22	66	77	77	98	100	93	94	100	100	
6 Bangladesh	41	65	80	90	90	92	95	95	99	99	99	100	100	
7 Ethiopia	39	39	48	64	63	85	70	95	95	70	90	100	95	
8 Pakistan	2.0	8.0	–	8.0	8.0	9.0	24	44	66	79	100	100	99	
9 Philippines	4.3	2.0	15	17	43	90	95	98	100	100	100	100	100	
10 DR Congo	47	51	60	60	62	70	70	70	75	75	100	100	100	
11 Russian Federation	–	2.3	2.3	5.0	5.0	12	16	25	25	45	83	84	100	
12 Viet Nam	50	95	93	96	99	100	100	100	100	100	100	100	100	
13 Kenya	15	100	100	100	100	100	100	100	100	100	100	100	100	
14 Brazil	–	0	0	3.0	7.0	7.0	32	25	34	52	68	86	75	
15 UR Tanzania	98	100	100	100	100	100	100	100	100	100	100	100	100	
16 Uganda	–	0	100	100	100	100	100	100	100	100	100	100	100	
17 Zimbabwe	–	0	0	100	12	100	100	100	100	100	100	100	100	
18 Thailand	–	1.1	4.0	32	59	70	82	100	100	100	100	100	100	
19 Mozambique	97	100	84	95	–	100	100	100	100	100	100	100	100	
20 Myanmar	–	59	60	60	64	77	84	88	95	95	95	95	95	
21 Cambodia	60	80	88	100	100	99	100	100	100	100	100	100	100	
22 Afghanistan	–	–	12	11	14	15	12	38	53	68	81	97	97	
High-burden countries	24	32	36	43	45	55	61	68	79	87	94	98	98	
AFR	43	46	56	61	56	71	70	81	85	83	88	92	93	
AMR	12	48	50	55	65	68	73	73	78	83	88	93	91	
EMR	16	12	18	33	51	65	71	77	87	90	97	98	97	
EUR	5.4	8.2	17	22	23	26	31	39	41	46	59	67	75	
SEAR	6.7	12	16	29	36	49	60	66	77	89	93	100	100	
WPR	43	55	57	58	57	67	68	77	90	94	98	100	100	
Global	22	32	37	43	47	57	62	69	77	83	89	93	94	

Zero indicates that a report was received, but the country had not implemented DOTS.
– Indicates that no report was received.

FIGURE 2.2
DOTS coverage by WHO region, 2007. The red portion of each bar shows DOTS coverage as a percent of the population. The numbers in each bar show the population (in millions) within (red portion) or outside (grey portion) DOTS areas.



countries has also increased since 1995 (TABLE 2.4). By the end of 2007, 94% of the world's population lived in countries that had adopted DOTS, and population coverage was reported to exceed 90% in all regions except Europe (FIGURE 2.2). However, 100% DOTS coverage does not mean that all providers in a country are implementing the DOTS strategy (see also SECTION 2.5).

As reported in greater detail in CHAPTER 1, 5.5 million new and relapse cases of TB were notified by DOTS programmes in 2007, of which 2.6 million (47%) were new sputum smear-positive cases. These numbers represented 98.5% and 99.1% of total TB case notifications (that is, notifications from DOTS and non-DOTS programmes combined), respectively. The percentage of all estimated new cases of smear-positive TB detected by DOTS programmes – the case detection rate – was 63% globally in 2007; the case detection rate for all cases was 56%. A cumulative total of 37.3 million new and relapse cases have been treated in DOTS programmes in the 13 years from 1995 (when reliable records began) to 2007. Globally, the treatment success rate was 85% in the 2006 cohort. The Western Pacific Region has

achieved both global targets related to DOTS implementation (a case detection rate of 70% and a treatment success rate of 85%), and the South-East Asia Region and the Region of the Americas are close to doing so. The other three regions (African, European and Eastern Mediterranean regions) are much further from achieving these targets. This short summary of the data that are presented in much greater detail in **CHAPTER 1** provides a context for the information provided in the rest of this chapter.

2.2.2 Political commitment

Scaling up implementation of all components of the Stop TB Strategy while maintaining strong basic DOTS services requires sustained political commitment. Indicators of political commitment include the existence of a national strategic plan for TB control and the percentage of total funding required for TB control that is funded from domestic sources.

A total of 155 countries (84% of those reporting), including all HBCs, had a national strategic plan for TB control, including all countries in the African, Eastern Mediterranean

and South East Asia regions that reported data. Domestic funding between 2002 and 2009 has increased in absolute terms in almost all of the HBCs; examples of countries with particularly large increases are Brazil, China, Indonesia, Mozambique, Nigeria and the Russian Federation. However, as a percentage of total funding for TB control, domestic funding has been relatively stable or has fallen in all of the 20 HBCs for which an assessment can be made (there are insufficient data for South Africa and Thailand). Additional information about national plans and financial indicators in HBCs are included in **ANNEX 1**. Further details about financing for TB control in all countries are provided in **CHAPTER 3** and **ANNEX 3**.

2.2.3 Early case detection through quality-assured bacteriology

Sputum smear microscopy is the primary tool for diagnosis of TB in most countries. Among reporting countries, 83% (136/164) used sputum smear microscopy for all individuals with suspected pulmonary TB in all diagnostic sites in 2007.

TABLE 2.5
Stock-outs of laboratory reagents and of first-line anti-TB drugs, 2007

	LABORATORY REAGENTS AND SUPPLIES		FIRST-LINE ANTI-TB DRUGS	
	CENTRAL	PERIPHERAL	CENTRAL	PERIPHERAL
1 India	N	Some units	N	N
2 China	N	N	N	Some units
3 Indonesia	Not applicable	Some units	N	N
4 Nigeria	N	N	Y	Some units
5 South Africa	N	N	Y	N
6 Bangladesh	—	—	N	N
7 Ethiopia	N	Some units	Y	Some units
8 Pakistan	N	Some units	N	N
9 Philippines	N	N	Y	Some units
10 DR Congo	N	N	Y	Some units
11 Russian Federation	N	N	—	—
12 Viet Nam	Y	—	Y	Y
13 Kenya	N	N	N	N
14 Brazil	N	N	N	N
15 UR Tanzania	N	N	N	N
16 Uganda	N	Some units	Y	Some units
17 Zimbabwe	Y	Some units	Y	Some units
18 Thailand	N	N	N	N
19 Mozambique	Y	Some units	N	Some units
20 Myanmar	N	N	N	N
21 Cambodia	N	N	N	N
22 Afghanistan	N	N	Y	N
High-burden countries^a	3/21	7/22	9/20	9/22
AFR (46) ^b	10/37	16/36	13/36	15/36
AMR (44)	6/38	6/39	3/34	5/36
EMR (22)	2/22	3/22	3/22	2/22
EUR (53)	4/41	10/40	3/41	6/40
SEAR (11)	0/10	3/11	0/10	0/11
WPR (36)	5/32	5/32	10/31	7/31
Global (212)	27/180	43/180	32/174	35/176

— Indicates information not provided.

^a In the lower part of the table the numerator of each fraction is the number of countries reporting stock-outs; the denominator is the number of countries providing information.

^b The number of countries in each region is shown in parentheses.

TABLE 2.6
Coverage of laboratory services, high-burden countries, 2007

	POPULATION THOUSANDS	NATIONAL REFERENCE LABORATORY (NRL) ^a	ACCESS TO DIAGNOSTIC SERVICES						LABORATORIES INCLUDED IN EXTERNAL QUALITY ASSURANCE (EQA) FOR SPUTUM SMEAR MICROSCOPY	
			SPUTUM SMEAR		CULTURE		DST		NUMBER	%
			NUMBER OF LABS	PER 100 000 POP	NUMBER OF LABS	PER 5 MILLION POP ^b	NUMBER OF LABS	PER 10 MILLION POP ^b		
1 <i>India</i>	1 169 016	Y	12 184	1.0	11	0.05	11	0.1	11 386	93
2 <i>China</i>	1 328 630	Y	3 294	0.2	327	1.2	187	1.4	3 294	100
3 <i>Indonesia</i>	231 627	N	4 855	2.1	41	0.9	11	0.5	4 855	100
4 <i>Nigeria</i>	148 093	Y	794	0.5	2	0.1	1	0.1	347	44
5 South Africa	48 577	Y	249	0.5	15	1.5	10	2.1	241	97
6 <i>Bangladesh</i>	158 665	Y	753	0.5	4	0.1	2	0.1	753	100
7 Ethiopia	83 099	Y	833	1.0	1	0.1	1	0.1	—	—
8 <i>Pakistan</i>	163 902	N	1 131	0.7	3	0.1	1	0.1	360	32
9 <i>Philippines</i>	87 960	Y	2 374	2.7	3	0.2	3	0.3	2 374	100
10 DR Congo	62 636	Y	1 205	1.9	1	0.1	1	0.2	1 023	85
11 <i>Russian Federation</i>	142 499	Y	4 048	2.8	965	34	280	20	—	—
12 Viet Nam	87 375	Y	737	0.8	17	1.0	2	0.2	—	—
13 Kenya	37 538	Y	930	2.5	5	0.7	1	0.3	37	4.0
14 <i>Brazil</i>	191 791	Y	4 044	2.1	193	5.0	38	2.0	1 819	45
15 UR Tanzania	40 454	Y	717	1.8	3	0.4	1	0.2	—	—
16 Uganda	30 884	Y	716	2.3	3	0.5	2	0.6	716	100
17 Zimbabwe	13 349	Y	180	1.3	1	0.4	1	0.7	0	0
18 Thailand	63 884	Y	1 023	1.6	65	5.1	14	2.2	1 023	100
19 Mozambique	21 397	Y	252	1.2	1	0.2	1	0.5	252	100
20 Myanmar	48 798	Y	324	0.7	2	0.2	1	0.2	54	17
21 Cambodia	14 444	Y	201	1.4	3	1.0	1	0.7	186	93
22 Afghanistan	27 145	Y	500	1.8	1	0.2	—	—	360	72
High-burden countries (22)	4 201 761	20	41 344	1.0	1 667	2.0	570	1.4	29 080	70
AFR	765 283	34	8 547	1.1	110	0.7	45	0.6	4 466	52
AMR	599 140	29	13 874	2.3	1 487	12	111	1.9	9 040	65
EMR	555 064	18	4 094	0.7	162	1.5	36	0.6	2 158	53
EUR	611 415	43	6 744	1.1	2 216	18	762	12	284	4.2
SEAR	1 745 394	10	20 090	1.2	129	0.4	43	0.2	18 372	91
WPR	1 621 633	27	7 341	0.5	459	1.4	224	1.4	6 262	85
Global	5 897 929	161	60 690	1.0	4 563	3.9	1 221	2.1	40 582	67

— Indicates information not provided; labs, laboratories; pop, population.

^a In the lower part of the table the number of countries answering "yes" to this question is shown.

^b To provide culture for diagnosis of paediatric, extrapulmonary and ss-/HIV+ TB, as well as DST for re-treatment and failure cases, most countries will need one culture facility per 5 million population and one DST facility per 10 million population. However, for countries with large populations (*country name and numbers shown in italics*), one laboratory for culture and DST in each major administrative area (e.g. province) may be sufficient. See also note in country profiles (ANNEX 1).

This included 17 of the 22 HBCs. In Mozambique, South Africa and Zimbabwe, only some patients were screened by microscopy; no data were reported by Viet Nam. Laboratory supplies for microscopy were also generally reported to be adequate. Among all countries, 15% (27/180) reported stock-outs at the central level and 24% (43/180) reported stock-outs at the peripheral level (TABLE 2.5). Three HBCs (Mozambique, Viet Nam and Zimbabwe) reported stock-outs at the central level (Bangladesh did not provide any data). Seven HBCs reported stock-outs at the peripheral level in some units, while Bangladesh and Viet Nam did not report data (TABLE 2.5).

The average number of microscopy laboratories exceeds the target of at least 1 per 100 000 population in four regions (TABLE 2.6). The average number in the Western Pacific Region is 0.5 per 100 000 population, reflecting a

comparatively low number of laboratories relative to population size in the largest country in the region (China). Besides China, other HBCs with a relatively low number of microscopy laboratories per 100 000 population include Bangladesh, Myanmar, Nigeria and Pakistan. External quality assurance (EQA) was conducted for a high proportion of laboratories in the South-East Asia and Western Pacific regions (91% and 85% respectively), with much lower figures in other regions. Among the HBCs, coverage of EQA was reported as 100% in seven countries: Bangladesh, China, Indonesia, the Philippines, Uganda, Mozambique and Thailand.

Laboratories with the capacity to provide culture and DST services are essential for diagnosis of drug-resistant TB; culture services are also important for diagnosis of smear-negative TB, especially in settings where the prevalence of

HIV is high. However, capacity to perform culture and DST was seriously limited in most HBCs in 2007 (TABLE 2.6). Only seven HBCs (Brazil, Cambodia, China, the Russian Federation, South Africa, Thailand and Viet Nam) had at least one culture laboratory per 5 million population (the currently recommended level); for more than half of the HBCs, the figure was below 0.5. The Russian Federation is exceptional, with 34 culture laboratories per 5 million population. Four regions have more than one culture laboratory per 5 million population, but the distribution of laboratories among countries in these regions is uneven. A similar pattern exists for DST. Only five HBCs reported having at least 1 laboratory with DST capacity per 10 million population (the currently recommended level): Brazil, China, the Russian Federation (20 per 10 million population), South Africa and Thailand. Among the remaining HBCs, most had less than 1 laboratory with DST capacity per 20 million population.

While 94% of all countries that reported data (161/171) indicated that a national reference laboratory (NRL) was available (TABLE 2.6), the functionality and/or performance of these laboratories is mostly unknown. Two HBCs (Indonesia and Pakistan) indicated that no NRL was available, although all had plans to establish one within the next 1–2 years.

Most laboratories with capacity to test for drug susceptibility, including many NRLs, are able only to provide DST of first-line drugs. The emergence of extensively drug-resistant TB (XDR-TB) in an increasing number of countries globally highlights the importance of access to DST of second-line drugs. These services were available to 63 of 142 reporting countries (44%) in 2007, either within or outside the country; however, their quality is unclear, and only nine HBCs had access to second-line DST. In Africa, very few countries apart from South Africa have any capacity (or access to capacity) to diagnose MDR-TB and XDR-TB.

In response to the need to increase the availability of quality-assured culture and DST services including second-line DST, the supranational reference laboratory network (SRLN) is being expanded. Currently, there are 26 SRLs: two in the African Region, five in the Region of the Americas, 11 in the European Region, one in the Eastern Mediterranean Region, two in the South-East Asian Region and five in the Western Pacific Region (FIGURE 2.3). All regions have plans to expand these networks, and in some regions a formalized evaluation and accreditation process is being developed.

Notwithstanding the expansion of the SRLN, the general shortage of laboratory capacity to provide culture and DST based on conventional technologies demonstrates the need for rapid introduction of new diagnostic tools. In order to facilitate the development of policy to guide the implementation of new diagnostic tools, WHO has established a structured process for evaluating and translating research findings into policy and practice (the latest WHO policy on TB diagnosis is summarized in BOX 2.1).¹ Such policy guidance needs

BOX 2.1

Recent WHO policy changes in diagnosis of TB

1. WHO policy on smear microscopy and case detection

With the prerequisite of a functional external microscopy quality assurance (EQA) system, with blinded rechecking, the new definition of a smear-positive TB case is "a patient with one or more initial sputum smear examinations positive for acid fast bacilli (AFB)". Further information including evidence for this policy can be found at: <http://www.who.int/tb/dots/laboratory/policy/en/index1.html>

2. WHO policy on the use of liquid medium for culture and drug susceptibility testing (DST) in middle-income and low-income countries

WHO recommends the use of commercial liquid systems (the standard of care for TB diagnosis and patient management in developed countries) for culture and DST in middle-income and low-income countries, within the context of national laboratory strengthening plans and using a phased approach to implementation at the country level. Further information including prerequisites for the phased introduction of this technology can be found at: <http://www.who.int/tb/dots/laboratory/policy/en/index3.html>

3. WHO policy on the use of molecular line probe assays

WHO recommends the use of molecular line probe assays for the rapid detection of MDR-TB cases, within the context of national laboratory strengthening plans and using a phased approach to implementation at the country level. Further information including prerequisites for the phased introduction of this technology can be found at: http://www.who.int/tb/publications/2008/who_htm_tb_2008_392.pdf

4. WHO policy recommendations on DST of second-line anti-TB drugs

An Expert Group convened by WHO in 2007 reviewed current evidence and re-confirmed that the laboratory diagnosis of MDR-TB and XDR-TB under good laboratory practice is reliable and reproducible. In addition, this consultative process culminated in an interim policy guidance document summarizing available evidence on the second-line DST methods, and providing recommendations for which drugs to test as well as the critical concentrations. The document also provides programmatic advice on designing diagnostic algorithms, required laboratory capacity and safety requirements. The Expert Group also developed a detailed outline for the update of the 2001 technical guidelines for DST of second-line drugs, incorporating the newer technologies. A writing committee was established with the aim of releasing the updated guidelines by the middle of 2009. *Policy guidance on drug-susceptibility testing (DST) of second-line antituberculosis drugs* can be found at: http://www.who.int/tb/publications/2008/who_htm_tb_2008_392.pdf

¹ Moving research findings into new WHO policies. Geneva, World Health Organization, 2008 (available at <http://www.who.int/tb/dots/laboratory/policy/en/index4.html>; accessed January 2009).

■ **FIGURE 2.3**
Tuberculosis supranational reference laboratory network, 2007



★ Supranational reference laboratory

BOX 2.2

The Global Laboratory Initiative (GLI)

The GLI is part of the Stop TB Partnership, with a secretariat housed in WHO. Its major objectives include providing global standards for laboratory services, promoting quality assurance and adequate laboratory biosafety, accelerating human resource development for laboratory activities, and facilitating partnerships that will enable the establishment or expansion of laboratory services capable of absorbing new technologies. A current example is a GLI project that aims to accelerate access to new diagnostic tools for MDR-TB that have recently been endorsed by WHO. The project is being implemented in 16 of the high MDR-TB burden countries (for definition of these countries see **TABLE 2.10**), in close collaboration with the Foundation for Innovative New Diagnostics and the Global Drug Facility, with funding from UNITAID.

to be followed by implementation (a process referred to as “retooling”; see also **SECTION 2.7**).¹ Most regions have introduced one or more new tools (for example, liquid culture and DST, endorsed by WHO in 2007; and molecular line probe assays, endorsed by WHO in 2008). Ongoing monitoring will be used to assess the uptake of these tools and their impact on diagnosis and treatment outcomes.

In most resource-constrained countries, uptake of new tools requires considerable strengthening of laboratory infrastructure, deployment of additional human resources and funding for the purchase of new technologies. To help to address these challenges, the Global Laboratory Initiative (GLI) was established in 2007 (**BOX 2.2**).

2.2.4 Standardized treatment with supervision, and patient support

In 2007, all of the 146 countries reporting data, including all HBCs, provided treatment with standardized short-course chemotherapy (SCC). There were 105 countries using the six-month Category I regimen and 23 countries using an eight-month regimen that does not include rifampicin in the continuation phase of treatment. The remaining 18 countries did not specify the regimen that was being used. Of the HBCs, four use an eight-month regimen (Ethiopia, Nigeria, Pakistan and Uganda), of which two (Pakistan and Nigeria) plan to switch to the six-month regimen in 2009. Of the 35

¹ *Moving research findings into new WHO policies.* Geneva, World Health Organization, 2008 (available at <http://www.who.int/tb/dots/laboratory/policy/en/index4.html>; accessed January 2009).

countries that reported using regimens based on intermittent treatment, 18 use thrice-weekly treatment in the continuation phase only, five use a thrice-weekly regimen throughout treatment and five use a twice-weekly regimen in the continuation phase; seven countries did not state what kind of intermittent regimen was used. Fixed-dose combinations (FDCs) of two, three or four drugs were being used by 75 countries during the two-month intensive phase of treatment, while 61 countries were using two-drug FDCs in the continuation phase of treatment. Among 167 reporting countries, 79 (including 13 HBCs) purchased paediatric formulations of anti-TB drugs.

Health-care workers are the main providers of directly observed therapy (DOT) during the initial phase of treatment in 86% (150/174) of reporting countries, with a community or family member being the main provider in the remaining countries. In 63% (109/173) of reporting countries, health-care workers are also the main providers of DOT in the continuation phase of treatment. Among HBCs, DOT was provided in some units and/or for some patients only in Thailand, for some patients in all units in Myanmar, and for some units only in Uganda and Zimbabwe.

In almost all reporting countries (90%, 166/180), including all HBCs, anti-TB drugs are provided free of charge to all patients being treated with the Category I regimen under DOTS. Patient support to encourage adherence to treatment was reported mainly by countries in the European Region; examples included incentives and enablers such as food parcels and tickets for public transport, and provision of psychological counselling.

2.2.5 Drug supply and management system

Most countries (82%, 142/174) reported an uninterrupted supply of first-line TB drugs at the central level; the figure was similar (80%, 141/176) for the peripheral level (TABLE 2.5). Stock-outs at both central and peripheral levels were most frequent in the African Region, and included stock-outs at the peripheral level in six of the region's nine HBCs. Notably, no stock-outs were reported by countries in the South-East Asia Region.

The continuing occurrence of stock-outs demonstrates the need for better planning of procurement, monitoring of drug supplies and distribution capacity. More timely ordering of drugs by principal recipients of Global Fund grants and closer coordination between principal recipients and NTPs would also help in some countries.

Fewer countries reported data about the availability of second-line anti-TB drugs. Shortages at the central level occurred in 15% of reporting countries (25/168); the figure at peripheral level was slightly lower (11%, 18/162). Shortages occurred mostly in the Region of the Americas (seven countries), the African Region (five countries) and the European Region (seven countries). Among HBCs, only the Democratic Republic of the Congo reported shortages of second-line drugs.

At the global level, the Stop TB Partnership's Global Drug Facility (GDF) and Green Light Committee (GLC) are contrib-

BOX 2.3

Providing technical assistance for TB control: the role of TBTEAM

The TB Technical Assistance Mechanism, known as TBTEAM, was established by the Stop TB Partnership in 2007. TBTEAM is designed to facilitate access to high-quality, well-coordinated technical assistance, which is widely recognized as being needed to fully implement the Stop TB Strategy and the Global Plan. TBTEAM has developed a roster of experts, tools for tracking missions and training opportunities around the world, as well as a directory of technical partners. Requests for technical assistance can be sent to the TBTEAM secretariat based in WHO headquarters, either directly or via channels such as WHO country offices and TBTEAM focal points at regional and country levels.

By the end of 2008, 839 missions and events had been recorded in the TBTEAM database, and 60 of the 81 requests for technical assistance had been responded to successfully. TBTEAM has also provided financial support for 140 country missions.

A recent external assessment of TBTEAM acknowledged the service provided by TBTEAM to countries in need of technical assistance as well as its efforts to provide funding for such assistance. This assessment has also provided guidance related to the future direction of TBTEAM, including how to best engage all partners. A plan to implement the recommendations of the external assessment is being developed following broad agreement with these recommendations during a meeting of TBTEAM partners in October 2008.

Further details about TBTEAM are available at: <http://www.stoptb.org/wg/tbteam>

uting to strengthened drug supply and drug management systems.¹ By the end of 2008, the GDF had provided first-line anti-TB drugs to 89 countries and the GLC has approved the use of second-line drugs in 134 projects in 60 countries (see also SECTION 2.3.2). Funding from UNITAID is also allowing the development of stockpiles of anti-TB drugs and the establishment of a strategic revolving fund to provide lines of credit for the purchase of second-line drugs. Grants from UNITAID have already supported the supply of quality-assured paediatric formulations to more than 50 countries. Additional first-line anti-TB drugs were prequalified by WHO in 2008, and more dossiers for prequalification were submitted for second-line drugs and paediatric formulations of first-line drugs. Besides supplying drugs, the GDF has also given priority to building capacity in drug procurement and management, for example through country missions and workshops. With the expansion of the TB Technical Assistance Mechanism known as TBTEAM (BOX 2.3), it is anticipated that technical assistance for drug management as well as many other components of TB control will be increased.

2.2.6 Monitoring and evaluation

Routine monitoring of TB control is crucial to understand trends in the TB epidemic and progress in TB control. Col-

¹ Information about the work of the GDF, the GLC and UNITAID was provided by their secretariats rather than through the annual data collection form.

TABLE 2.7
TB data management and recording and reporting systems, 2007

	DATA FOR INDIVIDUAL TB PATIENTS ACCESSIBLE AT NTP CENTRAL OFFICE	TB DATA STORED IN A RELATIONAL DATABASE MANAGEMENT SYSTEM ^a		TB DATA FROM ALL THE BASIC MANAGEMENT UNITS RECEIVED BY CENTRAL NTP OFFICE		NTP PRODUCES ANNUAL REPORT
		STAND-ALONE	WEB-BASED	CASE-FINDING, 2007	TREATMENT OUTCOMES, 2006	
1 India	N	N	N	Y	Y	Y
2 China	Y	—	Y	Y	Y	Y
3 Indonesia	N	N	N	N	N	Y
4 Nigeria	N	N	N	Y	Y	Y
5 South Africa	N	—	Y	Y	Y	N
6 Bangladesh	N	Y	—	Y	Y	Y
7 Ethiopia	N	N	N	—	—	Y
8 Pakistan	N	—	Y	—	—	Y
9 Philippines	N	N	N	—	—	Y
10 DR Congo	N	N	N	Y	Y	N
11 Russian Federation	Y	Y	—	Y	N	Y
12 Viet Nam	—	—	—	—	—	—
13 Kenya	N	N	N	Y	Y	Y
14 Brazil	Y	—	Y	Y	Y	N
15 UR Tanzania	Y	Y	—	Y	Y	Y
16 Uganda	N	N	N	N	N	Y
17 Zimbabwe	N	N	N	N	N	Y
18 Thailand	N	N	N	N	N	Y
19 Mozambique	N	N	N	Y	Y	Y
20 Myanmar	N	Y	—	N	N	Y
21 Cambodia	Y	—	—	Y	Y	Y
22 Afghanistan	N	Y	—	Y	Y	Y
High-burden countries^b	5/21	5/21	4/21	13/19	12/19	18/21
AFR (46) ^c	9/37	10/37	2/37	22/35	22/33	29/37
AMR (44)	23/38	7/38	4/38	20/31	20/30	24/38
EMR (22)	13/22	10/22	4/22	17/22	16/22	18/22
EUR (53)	40/43	19/42	8/42	29/35	27/35	27/41
SEAR (11)	2/11	4/11	0/11	7/11	7/11	9/11
WPR (36)	28/33	12/31	5/31	21/28	21/28	20/31
Global (212)	115/184	62/181	23/181	116/162	113/159	127/180

— Indicates information not provided or not applicable.

^a A relational database management system (RDBMS) is an application or system that allows users to store and easily access a large amount of data. It is usually accessible to several people at the same time and allows users to enter/upload and edit/update the data. It also allows users to produce standard and/or customized analyses and reports.

^b In the lower part of the table the numerator of each fraction is the number of countries providing an affirmative answer (i.e. yes); the denominator is the number of countries providing information.

^c The number of countries in each region is shown in parentheses.

lection of data on key indicators allows documentation of achievements, identification of challenges, better estimation of the epidemiological burden of TB and informed planning. Monitoring is most informative when there are clear targets or benchmarks of good performance for the indicators on which data are collected, when data management practices ensure that data are complete, accurate and reported on time, when data are analysed using appropriate methods and when data are used to inform the design and implementation of interventions to control TB.

In 2007, 63% (115/184) of NTPs had access to data for individual patients (as opposed to aggregated data for cohorts of patients) at the central office (TABLE 2.7). This included five HBCs (Brazil, Cambodia, China, the Russian Federation and the United Republic of Tanzania), and a particularly high proportion of countries in the European and

Western Pacific regions (93% and 85% of reporting countries, respectively). In the remaining countries, data at the central office were received from lower administrative levels in an aggregated format. Among these countries, around 20% could not confirm whether or not data about case notifications and treatment outcomes had been reported by all management units (for example, all districts). About 30% of the remaining countries with aggregated data reported that some data were missing. This highlights the need for greater efforts to ensure complete reporting of data, and for better monitoring of the completeness of reporting at the central level (see also SECTION 1.3 in CHAPTER 1).

Many countries produce an annual report, including 71% of the 180 reporting countries and almost all countries in the Eastern Mediterranean and South-East Asia regions (TABLE 2.7).

The optimum system for managing data is a relational database management system (RDBMS). This allows a large amount of data to be entered or uploaded, validated, stored, edited and updated, with access by multiple users. It also allows the production of standard and customized analyses and reports. To date, however, the use of such systems is relatively limited. Less than 50% of countries have an RDBMS, with around one quarter of these being web-based systems (including four HBCs – Brazil, China, Pakistan and South Africa). Some of these systems were customized for a particular country.¹ Other countries use spreadsheet-based systems (e.g. Excel) to hold and analyse their data. Management and analysis of data is much more difficult as well as time-consuming in such systems, and as a result data can be lost or errors introduced.

More countries need to introduce an RDBMS to improve data quality and to facilitate management, analysis, presentation and use of data. Existing options include OpenMRS (Open Medical Records System), DHIS (District Health Information System) or ENRS (Electronic National Record System), which are all open-access and generic software.² While generic, these systems can be adapted to the needs of particular countries and are supported by a global community of developers and implementers. A recent example of the successful introduction of an open-source RDBMS is provided in **BOX 2.4**.

Besides routine recording and reporting of data, evaluation of trends in incidence, prevalence and mortality (impact measurement) requires in-depth analysis of surveillance data (case notifications and mortality data from vital registration systems) and programmatic data, combined with periodic surveys of the prevalence of TB disease in some countries. The latest WHO estimates of trends in incidence, prevalence and mortality, recent recommendations about how impact measurement should be done and the latest data on progress at country level are provided in **CHAPTER 1**.

2.3 Address TB/HIV, MDR-TB, and the needs of poor and vulnerable populations

2.3.1 Collaborative TB/HIV activities

Globally, the latest data suggests that there were 1.4 million new HIV-positive TB cases in 2007 (out of a total of 9.3 million incident cases of TB). This estimate is much higher than figures previously published by WHO in this series of annual reports. In this context, it is important to highlight that the estimated total number of incident TB cases (HIV-positive and HIV-negative combined) has changed only slightly. The reason for the much higher estimated number of *HIV-positive* TB cases is that the *proportion* of incident cases of TB who are estimated to be infected with HIV has been revised upwards, based on much more extensive data about HIV prevalence in TB patients. These data became available mostly in 2008 following the rapid expansion of routine HIV testing since 2005–2006, notably in African countries (as documented below). Further details about these new estimates, and the

BOX 2.4

Introducing District Health Information Software (DHIS) in Myanmar

DHIS is a flexible, open-source (free-of-charge) software that was developed in 1994 to facilitate collection, transmission, storage, analysis, presentation and use of the health information systems programme (HISP; www.hisp.org). It was piloted in several countries in Africa and Asia including Ethiopia, India, Malawi, Mozambique, Nigeria, Myanmar, South Africa, the United Republic of Tanzania and Viet Nam. Given the dynamic nature of data management, the software is designed to be flexible and can be adapted to changing needs at local and national levels.

The NTP in Myanmar had long recognized the value of an electronic recording and reporting system, but it had proved difficult to identify a suitable solution. In 2007, following discussions between the NTP and WHO staff, it was agreed to explore the option of DHIS. With the assistance of consultants who are part of a network of developers, DHIS was customized for use in Myanmar, and staff at central and state or divisional levels were trained. The system was then tested for six months, during which programming bugs were identified and removed.

In early 2008, 32 staff from the central unit of the NTP, all state or divisional TB officers and all statistical clerks were trained. The 14 (out of 17) states and divisions that implement NTP services were equipped with a computer. The DHIS was installed in June and July 2008, with on-the-job training provided by staff from WHO. The system was tested in the last six months of 2008 by all the states and divisions, and remaining programming bugs were resolved by consultants. Further supervisory visits and refresher training courses are planned for 2009. DHIS has already reduced the workload associated with data management and analysis.

The experience of Myanmar shows that when there is strong commitment from the NTP, sufficient funding, external expertise and appropriate training, the DHIS can be successfully adapted and implemented to manage TB data in a high-burden country. The flexibility of the software allows for rapid and low-cost customization (instead of development from scratch). The DHIS could be relevant in many other countries.

methods used to produce them, are provided in **CHAPTER 1** and **ANNEX 2** respectively. The African Region accounts for 79% of estimated HIV-positive TB cases; most of the remaining cases are in the South-East Asia Region (**TABLE 2.8**).

Collaborative TB/HIV activities are essential to ensure that HIV-positive TB patients are identified and treated appropriately, and to prevent TB in HIV-positive people.³ These activities include establishing mechanisms for collaboration between TB and HIV programmes (coordinating bodies, joint TB/HIV planning, monitoring and evaluation, HIV surveillance); infection control in health-care and congregate settings; HIV testing of TB patients and, for those TB patients infected with HIV, co-trimoxazole preventive therapy (CPT)

¹ <http://www.who.int/tb/err/catalogue>

² See: <http://openmrs.org>, DHIS (www.hisp.org) or ENRS (www.emro.who.int/stb/enrs.htm).

³ *Interim policy on collaborative TB/HIV activities*. Geneva, World Health Organization, 2004 (WHO/HTM/TB/2004.330; WHO/HTM/HIV/2004.1).

TABLE 2.8
HIV testing and treatment in TB patients, by WHO region, 2007

	NUMBER OF TB PATIENTS WITH KNOWN HIV STATUS (THOUSANDS)	% OF NOTIFIED TB PATIENTS TESTED FOR HIV	% OF TESTED TB PATIENTS HIV-POSITIVE	% OF ESTIMATED HIV-POSITIVE TB CASES* IDENTIFIED BY TESTING	% OF IDENTIFIED HIV-POSITIVE TB PATIENTS STARTED ON CPT	% OF IDENTIFIED HIV-POSITIVE TB PATIENTS STARTED ON ART	REGIONAL DISTRIBUTION OF ESTIMATED HIV-POSITIVE TB CASES
AFR	492	37	51	23	66	33	79
AMR	114	49	13	44	36	77	2.4
EMR	4.2	1.1	12	2.3	35	65	1.5
EUR	169	35	2.5	16	52	16	3.1
SEAR	122	5.5	15	12	37	17	11
WPR	95	6.6	7.0	13	45	28	3.7
Global	996	16	30	22	63	34	100

* Includes estimated HIV-positive TB cases in countries which did not provide information on testing.

FIGURE 2.4
Mechanisms for collaboration and national policies for collaborative TB/HIV activities, 63 priority countries, 2006–2007. Numbers under bars show the percentage of total estimated HIV-positive TB cases accounted for by reporting countries.

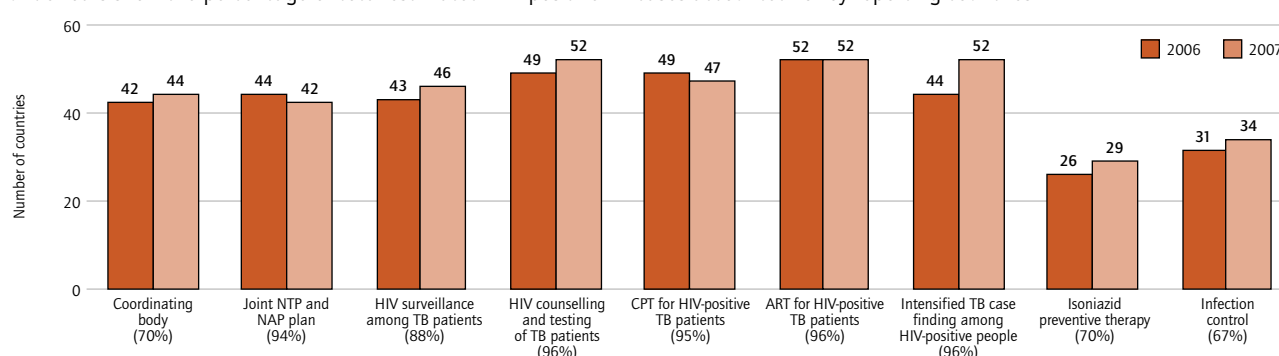
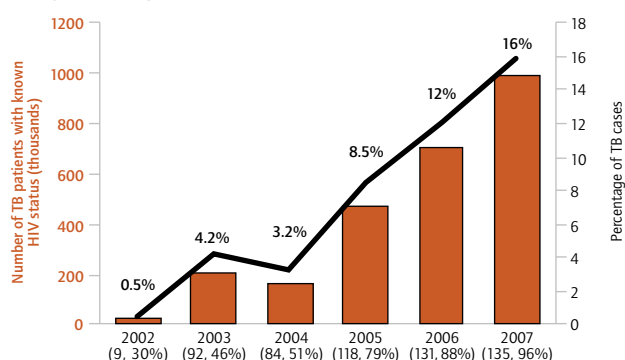


FIGURE 2.5
HIV testing for TB patients, all countries, 2002–2007. Number (bars) and percentage (line) of notified new and re-treatment TB cases for which the HIV status of the patient was recorded in the TB register. The numbers under each bar show the number of countries reporting data, followed by the percentage of total estimated HIV-positive TB cases accounted for by reporting countries.



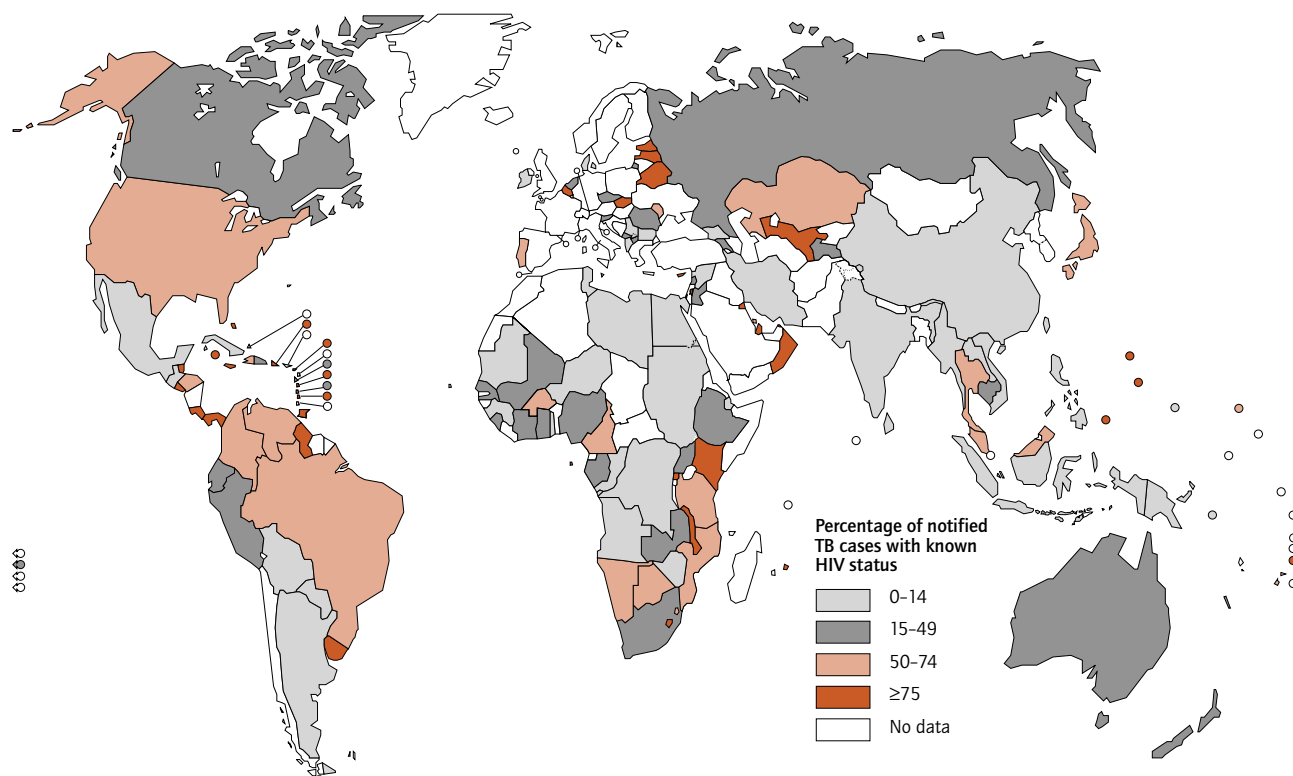
and antiretroviral therapy (ART); and intensified TB case-finding among people living with HIV followed by isoniazid preventive therapy (IPT) for those without active TB.

Mechanisms for collaboration and policy development

Among 63 countries that have been identified as priorities for the implementation of collaborative TB/HIV interventions at global level¹ and which collectively account for 97% of estimated HIV-positive cases worldwide, approximately two-thirds had established coordinating bodies, developed a joint TB/HIV plan and were undertaking HIV surveillance by 2007 (FIGURE 2.4). Around 50 of these 63 countries had policies for HIV counselling and testing among TB patients, as well as for the provision of CPT and ART to those coinfected with HIV. A relatively high number of countries (n=52) also had policies for intensified case-finding among HIV-positive people. In contrast, a smaller number of countries had policies related to IPT (29 countries) and infection control (34 countries). While there was variation in the extent to which mechanisms for collaboration or policies were in place in 2007, there was generally an improvement compared with 2006 (the exceptions were joint TB/HIV planning and provision of CPT). When all countries that reported data are con-

¹ Refers to 41 countries that were identified as priorities at global level in 2002 and that account for 97% of estimated HIV-positive TB cases globally, plus 22 additional countries that UNAIDS has defined as having a generalized HIV epidemic. See ANNEX 2 for a list of the 63 countries.

FIGURE 2.6
HIV testing for TB patients, 2007



sidered, the number of countries with policies is much higher, but the fraction of the global number of HIV-positive TB cases covered is almost the same (data not shown).

HIV testing of TB patients

The provision of HIV testing for TB patients is a critical entry point to interventions for both treatment and prevention. There was a substantial increase in the number of TB patients with known HIV status between 2002 and 2007, from 21 806 patients across nine countries in 2002 (less than 1% of notified TB cases) to 1.0 million patients across 135 countries in 2007 – equivalent to 16% of notified TB cases (FIGURE 2.5). In the African Region, the HIV status of 491 755 TB patients was known in 2007; this represented 37% of all notified cases, up from 22% in 2006 (TABLE 2.8). These aggregated figures conceal considerable variation in testing rates among countries (FIGURE 2.6). Among countries with a high prevalence of HIV among TB patients, Kenya, Malawi, Lesotho, Rwanda and Swaziland stand out as having the highest testing rates in 2007. Globally, there were 65 countries (14 in the African Region) where the HIV status of more than 50% of notified TB cases was known; these countries include 23 of the 63 countries that have been defined as high TB/HIV burden countries, and collectively account for 23% of the estimated total number of HIV-positive TB cases.¹ This progress in knowledge of HIV status of TB patients is impressive, although the high variability in current testing rates also shows that there is much further scope for improvement.

This increase in numbers of TB patients with known HIV status may be explained in part by the increase in the number of countries reporting data and the share of the global number of HIV-positive TB cases accounted for by reporting countries (see numbers and percentages below the bars of FIGURE 2.5). Clearer evidence that the provision of HIV testing has increased since 2004 is presented in FIGURE 2.7. This shows the number of TB patients with known HIV status in 60 countries that reported data for all four years 2004–2007. The number of TB patients with known HIV status in 11 African countries representing 48% of estimated HIV-positive TB cases globally (and 61% of cases in the African Region, data not shown) increased almost seven times in four years, while the percentage of all notified cases with known status increased from 7.6% to 48%. Outside the African Region, the number of patients with known HIV status also increased, but by a much smaller amount in absolute terms.

Across all reporting countries (n=119), a total of 296 995 HIV-positive TB patients were identified. These detected patients represent 22% of the estimated number of incident HIV-positive TB cases in 2007, although there was considerable variation among regions (TABLE 2.8).

¹ The total of 65 countries is higher than the total of 49 countries for which direct measurements of HIV prevalence in TB patients were used to estimate the global total of HIV-positive TB cases. For the additional 15 countries (which are mostly islands with small populations), estimates of HIV in the general population are not available and these countries are not included in global estimates of HIV-positive cases.

FIGURE 2.7
HIV testing in the 60 countries that reported data for each year 2004–2007. The number above each bar shows the percentage of notified TB cases that were tested for HIV.

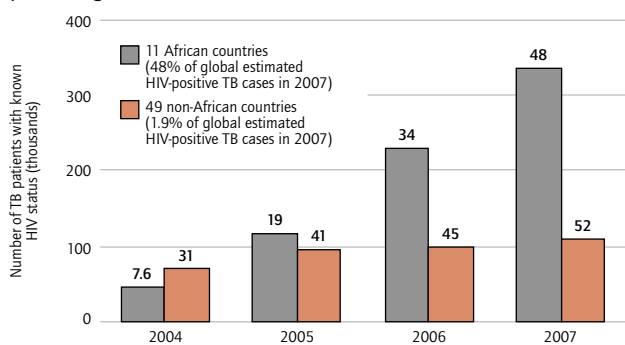


FIGURE 2.8
Co-trimoxazole preventive therapy for HIV-positive TB patients, 2002–2007. The numbers under each bar show the number of countries reporting data, followed by the percentage of total estimated HIV-positive TB cases accounted for by reporting countries.

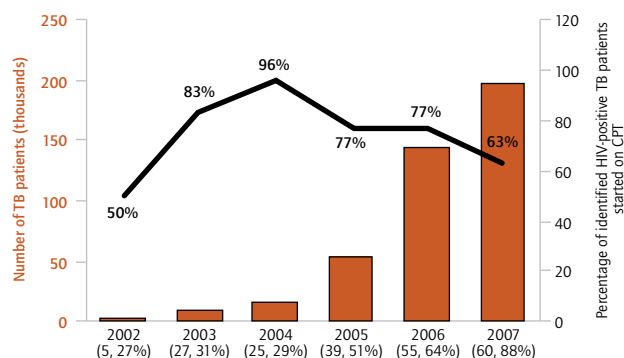
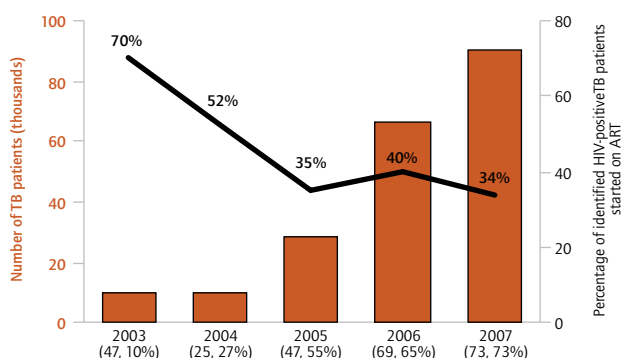


FIGURE 2.9
Antiretroviral therapy for HIV-positive TB patients, 2003–2007. The numbers under each bar show the number of countries reporting data, followed by the percentage of total estimated HIV-positive TB cases accounted for by reporting countries.



Provision of CPT and ART to HIV-positive TB patients

A major reason for promoting HIV testing in TB patients is to facilitate provision of CPT and ART to HIV-positive patients. The number of HIV-positive TB patients treated with CPT has steadily increased in absolute terms, reaching almost 200 000 in 2007. However, this has been accompanied by a fall in the percentage of TB patients in whom HIV is diagnosed who are treated with CPT, to 63% in 2007 (FIGURE 2.8). A similar pattern exists for ART. The total number of HIV-positive patients enrolled on ART has grown steadily, reaching around 90 000 patients in 2007, but the proportion of diagnosed HIV-positive patients started on treatment fell to 34%. In the African Region specifically, the proportion of patients in whom HIV infection was diagnosed and who were started on CPT reached 66% in 2007; the figure for ART was 33% (TABLE 2.8).

These figures for CPT and ART show that the provision of treatment interventions is not keeping pace with the increase in HIV testing. For ART, a possible explanation is the disparity between the number of health facilities offering TB treatment as well as HIV testing and counselling, and the number of facilities where ART is provided (BOX 2.5).

Intensified TB case-finding and provision of IPT among HIV-positive people

Screening for TB among HIV-positive people attending HIV care services was provided to 0.6 million people in 2007, up from 0.2 million in 2005 (FIGURE 2.10). This is a small fraction (2.2%) of the 33 million people estimated to be living with HIV. Of those in HIV care, almost 0.2 million were found to have TB, equivalent to 14% of the estimated 1.4 million incident HIV-positive TB cases globally. This high proportion suggests that if screening for TB increased beyond its currently low levels, TB case-finding would improve.

Provision of IPT continues to be extremely limited (FIGURE 2.10). Globally, less than 30 000 people were reported to have been started on IPT in 2007 – equivalent to just 0.1% of the 33 million people estimated to be infected with HIV. The low number of people being treated with IPT is inconsistent with the policies that have been established. While 100 countries reported the existence of an IPT policy, only 29 reported any provision of IPT in 2007 (although this was an increase from 26 countries in 2006).

Progress against Global Plan targets

The Global Plan details the progress required to implement collaborative TB/HIV activities for each year 2006–2015 within the framework of the goal of universal access to ART by 2010. The milestones or targets included for each year in the Global Plan provide a benchmark against which progress in practice can be assessed. A comparison of Global Plan expectations with implementation reported by countries in 2007 is shown in TABLE 2.9, for all regions combined and for the African Region. Among the 171 countries considered in the Global Plan, the absolute number of patients tested for HIV reached about half of the target in the Global Plan

BOX 2.5

Providing antiretroviral therapy (ART) to HIV-positive TB patients: access barriers limit progress

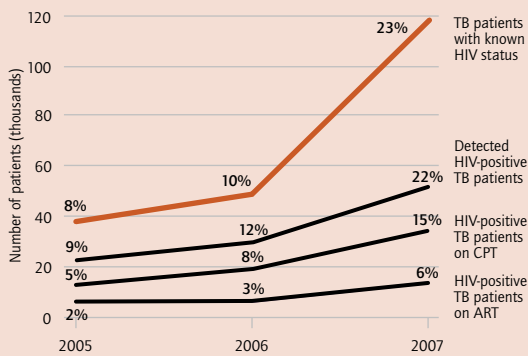
Data from eight countries (that account for 18% of the estimated global burden of HIV-positive TB cases) show that TB patients have poorer access to ART than to HIV testing. This may be a limiting factor in scaling up the provision of ART to HIV-positive TB patients and may result in unnecessary deaths.

The percentage of estimated HIV-positive TB cases identified by the NTPs of these eight countries increased substantially during 2005–2007, from 9% to 22%. This matched an increase in the proportion of notified TB cases with known HIV status, which rose from 8% to 23% (FIGURE). However, the number of patients placed on ART did not increase at the same pace. Compared with 2005, an additional 30 392 HIV-positive TB cases were identified in 2007 in the eight countries providing data, but only an additional 8261 patients were started on ART. This meant that an increasing number of diagnosed HIV-positive TB patients were not receiving ART.

In 2007, there was at least one HIV testing facility for every two health-care facilities where anti-TB treatment was available (TABLE). However, each ART facility was shared by five TB treatment facilities. HIV treatment services need to be decentralized and combined with TB services to improve access to ART for HIV-positive TB patients.

The provision of CPT is better. The proportion of diagnosed HIV-positive TB patients receiving CPT increased from 58% in 2005 to 65% in 2007, and CPT was provided to 15% of all estimated HIV-positive TB patients. Although data on the number of facilities providing CPT are not available, it is likely that CPT is more often available at TB clinics than ART.

HIV testing for TB patients, and provision of ART and CPT to HIV-positive TB patients, 8 countries,^a 2005–2007. The numbers beside each point on the red line show the percentage of notified TB cases with known HIV status. The numbers on the other three lines show the percentage of total estimated HIV-positive TB cases accounted for by the patients detected and treated.



^a Data shown are for the following 8 countries, which provided complete data for the years 2005–2007: Burkina Faso, DR Congo, Ethiopia, Malawi, Myanmar, Rwanda, Uganda and UR Tanzania.

Provision of TB treatment, HIV testing and counselling, and ART, 8 countries,^a 2007

	NUMBER OF FACILITIES PROVIDING TB TREATMENT	NUMBER OF FACILITIES PROVIDING HIV TESTING AND COUNSELLING ^b	NUMBER OF FACILITIES PROVIDING ART ^b
Burkina Faso	462	454	76
DR Congo	1 205	286	209
Ethiopia	833	1 005	272
Malawi	551	504	163
Myanmar	324	291	32
Rwanda	450	312	165
Uganda	1 261	554	286
UR Tanzania	2 500	1 035	204
Total	7 586	4 441	1 407

^a For comparison, this table shows the 8 countries included in the figure.

^b Source: *Towards universal access: scaling up priority HIV/AIDS interventions in the health sector. Progress report 2008.* Geneva, World Health Organization, 2008.

FIGURE 2.10

Intensified TB case-finding and IPT provision among HIV-positive people, 2007. Numbers above bars show the proportion of estimated HIV-positive people screened for TB (graph a) and the proportion of HIV-positive people without TB started on IPT (graph b). Numbers under bars show the number of countries reporting data followed by the percentage of total estimated HIV-positive people (graph a) and HIV-positive people without active TB (graph b) accounted for by reporting countries.

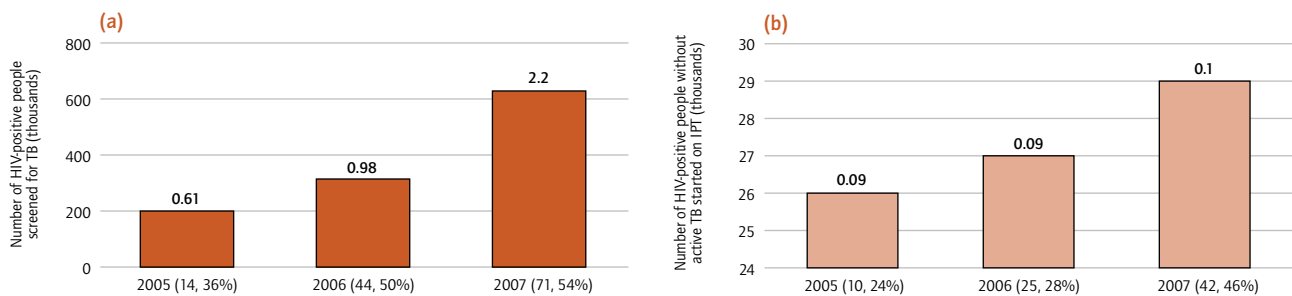


TABLE 2.9
Collaborative TB/HIV activities, 2007: country reports compared with expectations given in the Global Plan

	GLOBAL		AFRICA	
	COUNTRY REPORTS AND LATEST ESTIMATES ^a	GLOBAL PLAN	COUNTRY REPORTS AND LATEST ESTIMATES	GLOBAL PLAN
	(MILLIONS OR PERCENTAGES)		(MILLIONS OR PERCENTAGES)	
HIV-testing for TB patients, provision of CPT and ART				
Number of TB patients tested for HIV	0.9 ^b	2.0	0.5 ^b	0.9
Total number of notified TB cases including new, re-treatment and other cases	3.7 ^c	3.5	1.3 ^c	1.6
Proportion of all notified TB cases that were tested for HIV	27% ^{c,d}	56%	39% ^{c,d}	58%
Number of diagnosed HIV-positive TB cases enrolled on CPT	0.2	0.6	0.2	0.5
Number of diagnosed HIV-positive TB cases	0.3	1.1	0.3	0.9
Proportion of all HIV-positive TB cases enrolled on CPT	72% ^e	53%	76% ^e	56%
Number of diagnosed HIV-positive TB cases enrolled on ART	0.1	0.3	0.1	0.3
Number of diagnosed HIV-positive TB cases eligible for ART	0.3	0.5	0.3	0.4
Proportion of all HIV-positive TB cases enrolled on ART	34% ^f	53%	33% ^f	58%
Intensified TB case-finding and IPT for people with HIV				
Number of HIV-positive people attending HIV services screened for TB	0.6	14	0.3	13
Number of HIV-positive people attending HIV services	3.5	19	2.7	17
Proportion of HIV-positive people attending HIV services screened for TB	27% ^g	72%	21% ^g	76%
Number of eligible HIV-positive people offered IPT	0.03 ^h	1.5	0.02 ^h	1.4
Estimated number of HIV-positive people eligible for IPT	26	31	20	27
Proportion of estimated number of HIV-positive people eligible for IPT who received IPT	0.2% ⁱ	4.8%	0.1% ⁱ	5.0%

^a Includes only those countries in the Global Plan, i.e. countries in sub-regions Central Europe and Established Market Economies are excluded here. Includes patients reported from DOTS and non-DOTS areas.

^b Maximum number included for each country is the number of notified cases multiplied by the population coverage of collaborative TB/HIV activities anticipated by the Global Plan.

^c Numbers of notified TB cases are weighted according to the population coverage of collaborative TB/HIV activities anticipated by the Global Plan.

^d Only the 116 countries (33 in Africa) that provided both numerator and denominator are included in this percentage.

^e Only the 58 countries (27 in Africa) that provided both numerator and denominator are included in this percentage.

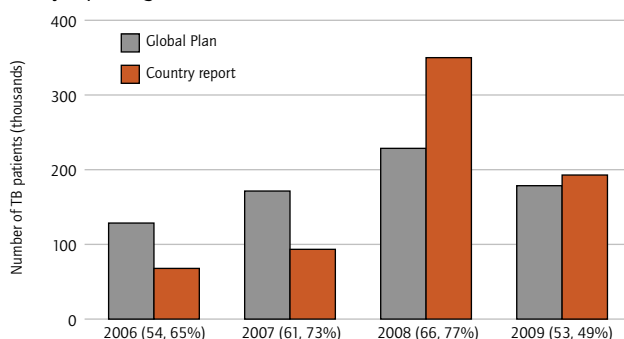
^f Only the 66 countries (22 in Africa) that provided both numerator and denominator are included in this percentage.

^g Only the 62 countries (11 in Africa) that provided both numerator and denominator are included in this percentage.

^h While the Global Plan includes only people newly diagnosed with HIV in this indicator, country reports include all HIV-positive people eligible for IPT, regardless of year of diagnosis.

ⁱ Only the 32 countries (8 in Africa) that provided the numerator are included in the denominator of this percentage.

FIGURE 2.11
Antiretroviral therapy for HIV-positive TB patients: country reports compared with the Global Plan, 2006–2009. Data from country reports are notified cases (2006–2007) and projections (2008–2009). The numbers under each bar represent the number of countries reporting data, followed by the percentage of total estimated HIV-positive TB cases accounted for by reporting countries.



in 2007, and provision of CPT and of ART both reached about one-third of the Global Plan targets. In terms of the percentage of TB cases found to be HIV-positive and who were enrolled on CPT, the comparison is much more favourable: for the world as a whole, 72% of TB cases in whom HIV infection was diagnosed were started on CPT in 2007 based on country reports, compared with the target of 53% for 2007 in the Global Plan. For ART, the figures were 34% and 53%, respectively. Findings were similar for the African Region specifically. The differences between the absolute numbers of people receiving CPT and ART in the Global Plan and country reports are mostly attributable to the shortfall in HIV testing. For patients to be treated with either CPT or ART, they must first be tested for and diagnosed with HIV. Among those found to be HIV-positive, lack of access to ART at local health facilities may also be a factor in the low uptake of ART (BOX 2.5).

For ART specifically among TB/HIV interventions, countries were requested to provide projections of the number of HIV-positive patients who would be started on ART in 2008 and 2009, as well as figures for the actual provision of ART in 2007. These data are compared with the Global Plan targets

■ **TABLE 2.10**

Number of MDR-TB cases estimated, notified and expected to be treated, 27 high MDR-TB burden countries and WHO regions

	ESTIMATED CASES, 2007			NOTIFIED		EXPECTED NUMBER OF	
	% OF ALL TB CASES WITH MDR-TB	NUMBER OF MDR-TB CASES	NUMBER OF SS+ MDR-TB CASES	NUMBER OF MDR-TB CASES, 2007	% OF ESTIMATED SS+ MDR-TB CASES NOTIFIED, 2007	MDR-TB CASES TO BE TREATED	
						2008	2009
1 India	5.4	130 526	99 639	146	0.1	450	900
2 China	7.5	112 348	76 154	79	0.1	388	–
3 Russian Federation	21	42 969	31 397	5 297	17	4 221	9 897
4 South Africa	2.8	15 914	10 708	7 350	69	5 252	–
5 Bangladesh	4.0	14 506	7 694	–	–	150	–
6 Pakistan	4.3	13 218	7 939	–	–	250	250
7 Indonesia	2.3	12 209	6 427	–	–	100	250
8 Philippines	4.6	12 125	6 451	568	8.8	620	1 000
9 Nigeria	2.4	11 700	6 934	45	0.6	500	–
10 Kazakhstan	32	11 102	9 540	5568	58	1 562	4 266
11 Ukraine	19	9 835	5 568	–	–	–	–
12 Uzbekistan	24	9 450	6 936	484	7.0	334	720
13 DR Congo	2.8	7 336	4 137	82	2.0	523	756
14 Viet Nam	4.0	6 468	4 199	–	–	100	–
15 Ethiopia	1.9	5 979	3 086	145	4.7	45	200
16 Tajikistan	23	4 688	3 286	–	–	–	–
17 Myanmar	4.7	4 181	2 331	600	26	125	150
18 Azerbaijan	36	3 916	3 109	196	6.3	20	–
19 Republic of Moldova	29	2 231	1 656	896	54	466	490
20 Kyrgyzstan	17	1 290	813	322	40	–	–
21 Belarus	16	1 101	758	870	115	–	–
22 Georgia	13	728	590	269	46	280	540
23 Armenia	17	486	373	125	33	–	–
24 Lithuania	17	464	339	314	93	–	–
25 Bulgaria	12	371	217	82	38	50	50
26 Latvia	14	202	129	98	76	120	120
27 Estonia	20	123	85	80	94	120	100
High MDR-TB burden countries	5.7	435 470	300 496	23 616	7.9	15 676	19 689
AFR	2.4	75 657	45 029	8 841	20	9 337	4 070
AMR	3.2	10 214	7 261	2 522	35	3 670	4 046
EMR	3.8	23 049	14 120	487	3.4	966	707
EUR	17	92 554	67 440	16 062	24	8 414	17 457
SEAR	4.8	173 660	124 826	918	0.7	1 496	1 724
WPR	6.3	135 411	89 926	948	1.1	1 572	1 573
Global	4.9	510 545	348 602	29 778	8.5	25 455	29 577

– Indicates information not provided.

for ART in **FIGURE 2.11**. Among reporting countries, anticipated progress is encouraging, with projected numbers close to or above the Global Plan targets (note that the lower projection of patients to be treated in absolute terms in 2009 compared with 2008 is due to fewer countries reporting data for 2009).

Intensified case-finding and provision of IPT is far from Global Plan targets (**TABLE 2.9**). The target for 2007 was to screen 14 million HIV-positive people for TB; the actual figure reported was 0.6 million.

Overall, implementation of TB/HIV interventions falls short of the Global Plan targets, although data from individual countries show that these targets are achievable.

2.3.2 Diagnosis and treatment of MDR-TB

The most recent estimates suggest that, globally, there were 510 545 cases of MDR-TB in 2007. This estimate is based on data from drug resistance surveys or routine surveillance (DRS)¹ for 113 (new cases) and 102 (re-treatment cases) countries,² and statistical modelling for other countries (see **ANNEX 2**). Cases of MDR-TB are very unevenly distributed, with 27 countries (of which 15 are in Eastern Europe) accounting for 85% of all cases (**TABLE 2.10**). These 27 countries

¹ WHO/IUATLD Global Project on Anti-tuberculosis Drug Resistance Surveillance. Geneva, World Health Organization, 2008 (WHO/HTM/TB/2008.394).

² Full details are provided in The WHO/IUATLD Global Project on Anti-tuberculosis Drug Resistance Surveillance. *Anti-tuberculosis drug resistance in the world. Fourth global report*. Geneva, World Health Organization, 2008 (WHO/HTM/TB/2008.394).

FIGURE 2.12
Countries that had reported at least one case of XDR-TB by the end of 2008

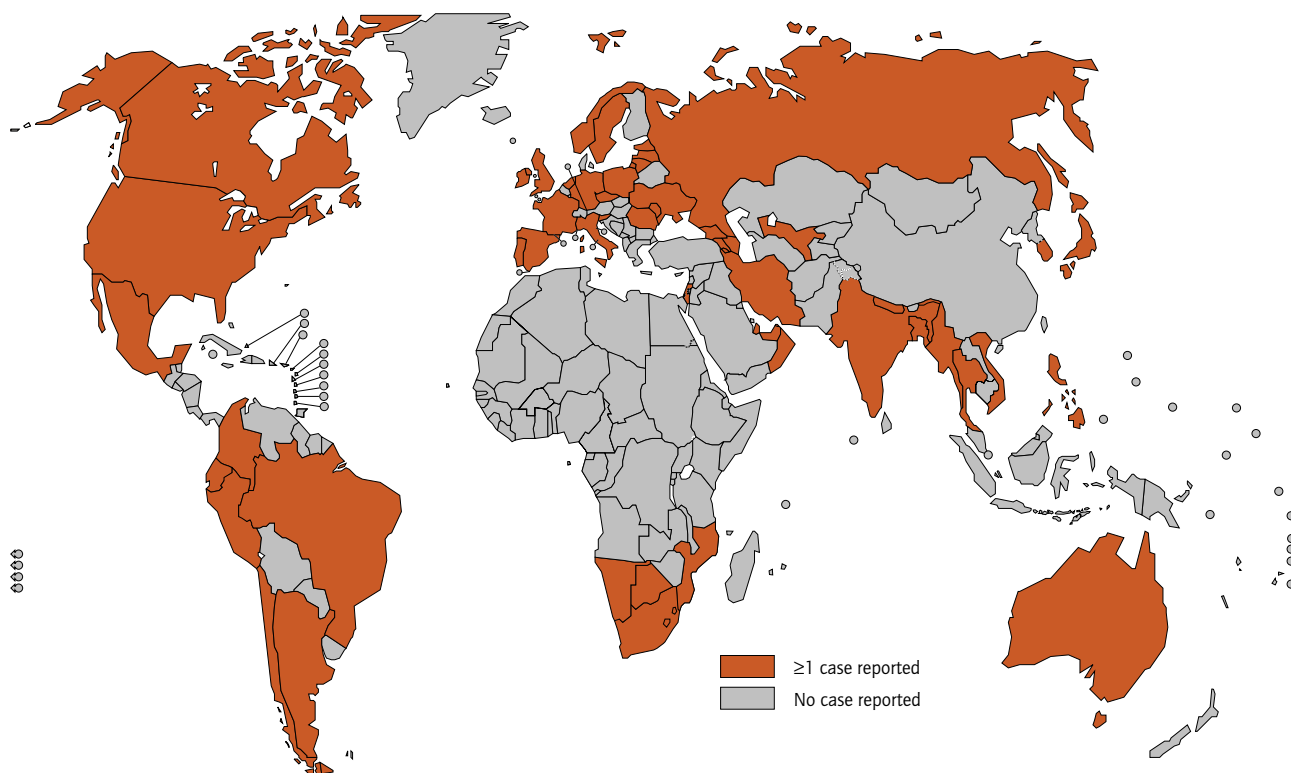
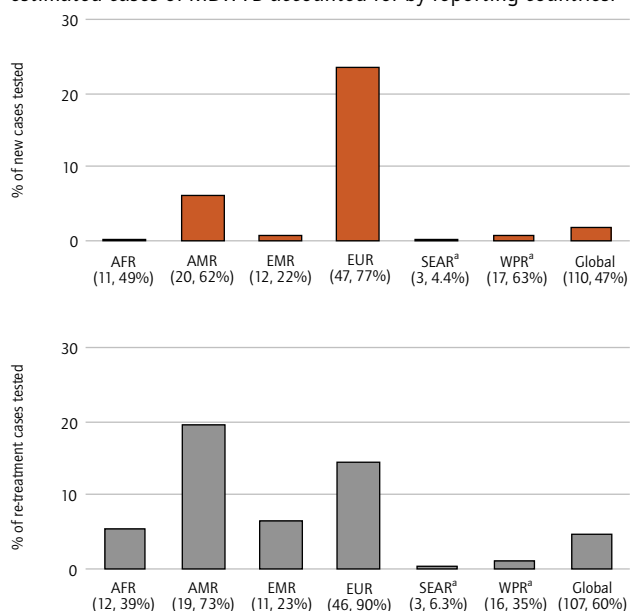


FIGURE 2.13
Diagnostic DST for new and re-treatment cases, by WHO region, 2007. The numbers under each bar show the number of countries reporting data, followed by the percentage of total estimated cases of MDR-TB accounted for by reporting countries.



^a Data from India and China excluded as fewer than <0.1% of notified cases were tested.

have been identified as priorities for improved diagnosis and management of MDR-TB at the global level. By the end of 2008, 55 countries and territories had reported at least one case of XDR-TB (FIGURE 2.12), including five that reported cases for the first time in 2007 (Colombia, Oman, Qatar, the United Arab Emirates and Uzbekistan).

Diagnosis and notification

Diagnosis of MDR-TB requires DST services to be available and used (see also SECTION 2.2.3 above on Early case detection through quality-assured bacteriology). In 2007, 220 467 tests for drug susceptibility were reported by 122 countries, with 46% of these tests conducted in the European Region and 34% in the African Region (mostly for re-treatment cases in South Africa). Countries reporting DST data accounted for only 47% of the estimated total number of new cases of MDR-TB, and for 60% of the estimated total number of previously treated cases of MDR-TB (FIGURE 2.13). The proportion of new cases for whom DST was undertaken worldwide was 2%, although testing was much more common in the European Region (22% of new cases, with 45/53 countries reporting) (FIGURE 2.13). The proportion of re-treatment cases for whom DST was undertaken was higher (4.7% across all regions).

Among TB cases tested for drug susceptibility in 2007, 29 778 cases of MDR-TB were diagnosed and notified (TABLE 2.10; FIGURE 2.14); 54% of these cases were in Europe (TABLE 2.10). Although there is evidence that notifications are increasing (FIGURE 2.14), the number of MDR-TB cases

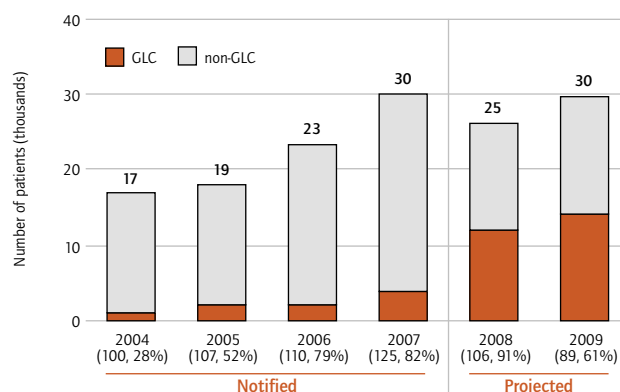
notified in 2007 represented only 6% of the 0.5 million cases estimated to exist worldwide (and 9% of estimated cases of smear-positive MDR-TB). This average conceals higher figures for several high MDR-TB burden countries: the number of notified cases was above 70% of the estimated number of cases in Belarus, Estonia, Kazakhstan and Lithuania and above one-third of estimated cases in Georgia, Latvia, the Republic of Moldova and South Africa. Globally, a small increase in provision of treatment for MDR-TB is anticipated between 2008 and 2009 (TABLE 2.10; FIGURE 2.14), including in India and the Russian Federation.

To date, most notifications have been from programmes and projects that were not affiliated to the Green Light Committee, or GLC (FIGURE 2.14). The GLC was established in 2000,¹ with the purpose of enhancing access to quality-assured second-line drugs at competitive prices and ensuring that treatment was provided according to WHO guidelines.² In 2007, the 3 681 patients who were treated in GLC-approved projects represented 0.7% of estimated MDR-TB cases. Current data indicate that this will increase to 14 136 patients in 2009 (FIGURE 2.14), or about 3% of estimated cases and 4% of estimated smear-positive cases of MDR-TB. Outside GLC-approved projects, it is not known how many notified cases are enrolled on treatment, and of these how many received treatment that is in line with WHO guidelines.

Scaling-up diagnosis and treatment

In recognition of the comparatively small share of the global burden of MDR-TB that is diagnosed and appropriately treated, the GLC has intensified its efforts to enable rapid expansion of MDR-TB diagnosis and treatment according to the latest WHO recommendations.³ This includes building partnerships with major funding agencies (such as the Global Fund and UNITAID) and recent initiatives (such as the Global Laboratory Initiative and TBTEAM), and introducing mechanisms designed to speed up the review of applications. The result of these efforts was evident in 2008, when the annual number of reviewed applications was the highest to date. Among 43 applications that were reviewed, 39 projects were approved, including projects in 7 countries that had not previously benefited from GLC support (Belarus, Bulgaria, Cameroon, Ethiopia, Mozambique, the Republic of Serbia and the United Republic of Tanzania). These 39 projects will treat a cumulative total of about 20 000 MDR-TB patients during their lifetime, three times more than the total number of patients to be treated by projects approved in 2007. By the end of 2008, a total of 134 projects in 60 countries covering a cumulative total of approximately 50 000 patients had been approved by the GLC. Most of these countries were in the European Region (15 countries) and the Region of the Americas (14 countries), followed by the African Region (12 countries), the Western Pacific Region (7 countries), the Eastern Mediterranean Region (6 countries) and the South-East Asia Region (6 countries).⁴ The number of patients enrolled for treatment in GLC projects is expected to increase more than three-fold in 2008 compared with 2007; GLC-approved

FIGURE 2.14 Notified cases of MDR-TB (2004–2007) and projected numbers of patients to be enrolled on treatment (2008–2009). The numbers under each bar show the number of countries reporting data, followed by the percentage of total estimated cases of MDR-TB accounted for by reporting countries.



treatments would then represent a larger share of the global number of MDR-TB patients on treatment (FIGURE 2.14).

An overview of the latest status of progress in introducing and scaling-up treatment of patients with MDR-TB, as reported by countries, is shown in TABLE 2.11. The most advanced of the 27 high MDR-TB burden countries appear to be Estonia, Georgia, Latvia, Kazakhstan and the Republic of Moldova, with all of the assessed components of MDR-TB management in place. The experience of Estonia and Latvia in managing MDR-TB within their NTPs is summarized in BOX 2.6. Among the remaining 27 high MDR-TB burden countries, all except South Africa have submitted an application to the GLC; national guidelines have been developed for the management of drug-resistant TB in 17 countries; and 20 countries have reported that they are scaling up activities. In Nigeria, Pakistan and Tajikistan, progress is limited to an application to the GLC or approval of a GLC project.

Treatment outcomes

Given that it takes 18–24 months to treat MDR-TB, in 2008 the WHO TB data collection form requested treatment outcome data for patients treated in 2004 and interim outcomes for patients started on treatment in 2005 and 2006. Annual MDR-TB cohorts were reported by 40, 53 and 65 countries for 2004, 2005 and 2006 respectively. As expected, in several countries with larger cohorts (such as the Democratic Republic of the Congo, Morocco and the Philippines), the proportion of cases started on treatment in 2006 who had not yet completed treatment was much higher than the proportion reported for patients who were started on treatment in 2004.

¹ <http://www.who.int/tb/challenges/mdr/greenlightcommittee/en/>

² *Guidelines for the programmatic management of drug-resistant tuberculosis*. Emergency update. Geneva, World Health Organization, 2008 (WHO/HTM/TB/2008.402).

³ Data related to GLC operations were provided by the GLC secretariat, with the exception of projections for MDR-TB patients expected to be treated in 2008–2009, which were reported by countries via the annual WHO data collection form.

⁴ Green Light Committee. *Annual Report 2007*. Geneva, Switzerland, 2008 (WHO/HTM/TB/2008.409).

■ **TABLE 2.11**

Management of drug-resistant TB, high MDR-TB burden countries and WHO regions, 2007

	DRUG RESISTANCE SURVEILLANCE CONDUCTED	APPLIED TO GLC	GLC- APPROVED PROJECTS PILOTED	NATIONAL GUIDELINES	TRAINING MATERIAL	TRAINING CONDUCTED	SCALING UP INITIATED	FULLY INTEGRATED INTO ACTIVITIES OF NTP	MDR-TB DATA REPORTED
1 India	Y	Y	Y	Y	Y	Y	Y	N	Y
2 China	Y	Y	Y	Y	Y	Y	Y	N	Y
3 Russian Federation	Y	Y	Y	N	Y	Y	Y	Y	Y
4 South Africa	Y	N	N	Y	Y	Y	Y	Y	Y
5 Bangladesh	N	Y	Y	Y	Y	Y	N	N	N
6 Pakistan	N	Y	Y	N	N	N	N	N	N
7 Indonesia	Y	Y	Y	Y	Y	N	Y	N	N
8 Philippines	Y	Y	Y	Y	Y	Y	Y	N	Y
9 Nigeria	N	Y	N	—	N	N	N	N	Y
10 Kazakhstan	Y	Y	Y	Y	Y	Y	Y	Y	Y
11 Ukraine	Y	Y	Y	N	—	—	Y	Y	N
12 Uzbekistan	Y	Y	Y	Y	Y	Y	Y	N	Y
13 DR Congo	Y	Y	Y	Y	Y	Y	Y	N	Y
14 Viet Nam	Y	Y	Y	—	—	—	—	—	N
15 Ethiopia	Y	Y	Y	Y	N	N	N	N	Y
16 Tajikistan	—	Y	N	N	N	N	N	N	N
17 Myanmar	Y	Y	Y	Y	Y	N	N	N	Y
18 Azerbaijan	Y	Y	Y	—	N	Y	Y	N	Y
19 Republic of Moldova	Y	Y	Y	Y	Y	Y	Y	Y	Y
20 Kyrgyzstan	N	Y	Y	N	Y	Y	Y	N	Y
21 Belarus	N	Y	Y	Y	Y	Y	Y	N	Y
22 Georgia	Y	Y	Y	Y	Y	Y	Y	Y	Y
23 Armenia	Y	Y	Y	N	N	Y	Y	N	Y
24 Lithuania	Y	Y	Y	Y	Y	Y	Y	N	Y
25 Bulgaria	N	Y	Y	N	N	N	Y	N	Y
26 Latvia	Y	Y	Y	Y	Y	Y	Y	Y	Y
27 Estonia	Y	Y	Y	Y	Y	Y	Y	Y	Y
High MDR-TB burden countries^a	20	26	24	17	18	18	20	8	21
AFR (46) ^b	22	18	7	24	12	17	10	12	23
AMR (44)	21	14	14	25	20	23	17	13	25
EMR (22)	8	7	6	13	9	8	8	6	14
EUR (53)	33	17	13	24	20	21	28	22	45
SEAR (11)	6	8	6	9	7	5	7	3	5
WPR (36)	19	8	7	11	6	10	8	6	13
Global (212)	109	72	53	106	74	84	78	62	125

— Indicates information not provided.

^a The lower part of table shows the number of countries answering "yes" to each question.

^b The number of countries in each region is shown in parentheses.

The size of most country cohorts in 2004 was too small to allow any useful analysis (there were fewer than 40 cases in 26 countries, of which 13 had cohorts of fewer than 10 patients). The nine countries with cohorts of around 100 or more patients are shown in **FIGURE 2.15**. The highest treatment success rates have been achieved in the Philippines (73%) and Latvia (71%), both of which have GLC-approved projects, followed by the USA (61%). Treatment success rates ranged from 53% to 58% in Brazil and the Democratic Republic of the Congo, as well as in GLC projects in Peru and the Russian Federation. Outcomes were especially poor in two countries without GLC projects: Romania (38%, with a large proportion of patients dying or failing treatment) and Morocco (25%, with over half the cohort lost to follow up). To improve our understanding of treatment outcomes

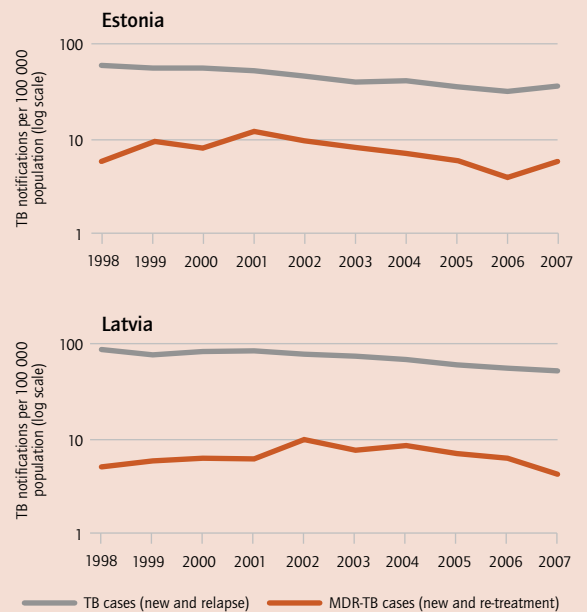
BOX 2.6

Controlling multidrug-resistant tuberculosis (MDR-TB) in Estonia and Latvia

A decade ago, Estonia and Latvia were considered to be the MDR-TB hot-spots of the world, with the highest prevalence of MDR-TB among TB cases ever reported (23% and 13% in 1999, respectively). DOTS was initiated countrywide in Latvia in 1995 and in Estonia in 2000, in advance of other countries of the former Soviet Union. By 2006, the treatment success rate for new smear-positive cases was 68% in Estonia and 73% in Latvia. DOTS-Plus pilot programmes for the treatment of MDR-TB were launched in 1999 in Latvia and 2002 in Estonia, and were rapidly expanded to achieve nationwide coverage. These MDR-TB treatment programmes included provision of quality-assured drug susceptibility testing to all TB patients and use of molecular diagnostic tools for the rapid screening of MDR-TB. Infection control measures were implemented in in-patient and out-patient settings, including major renovation and upgrading of existing hospital wards. Out-patient treatment with patient support such as food packages and transport vouchers was made available during the continuation phase of treatment.

Despite struggling with social issues among TB patients, such as alcohol misuse and drug dependency as well as homelessness and increasing rates of coinfection with HIV, both countries have made significant progress in bringing TB and MDR-TB under control. Treatment success rates for the latest MDR-TB cohorts with complete data were 71% in Latvia (2005 cohort) and 54% in Estonia (2005). Between 2002 and 2007, the total number of MDR-TB cases per 100 000 population/year that were detected decreased by an average of 6% per year in Estonia and 14% in Latvia. Latvia opened the first WHO collaborating centre for MDR-TB management training. The example of these two countries as well as the collaborating centre provide important models for MDR-TB management elsewhere.

Notification rates of TB and MDR-TB, Estonia and Latvia, 1998–2007

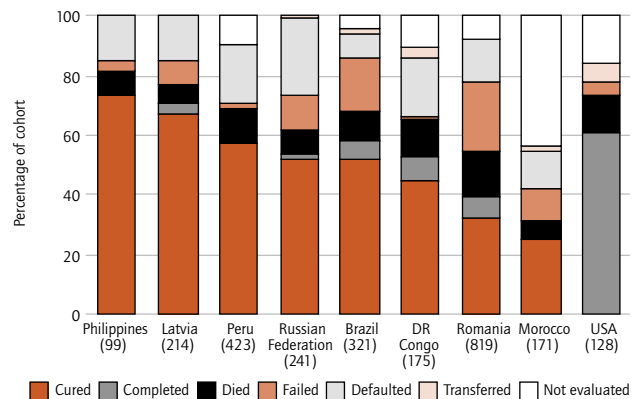


for patients with MDR-TB, more data from more countries, including data from GLC-approved projects and treatment provided outside the framework of the GLC, are needed.

Progress against Global Plan targets

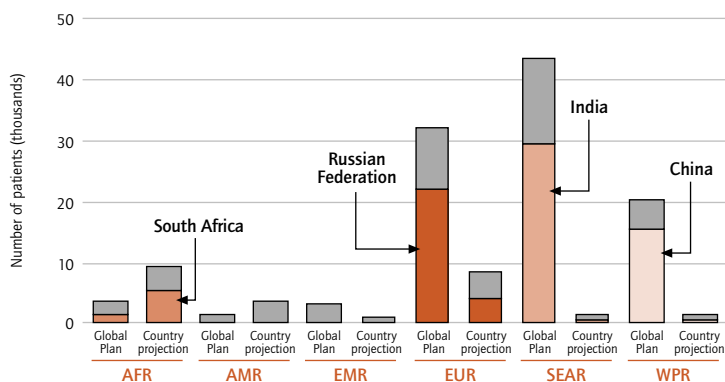
As with collaborative TB/HIV activities, the Global Plan sets out the progress required in provision of treatment for MDR-TB cases for each year 2006–2015. During 2007, the targets for the number of patients to be diagnosed and treated for MDR-TB were reviewed and revised to make the targets for 2010 comparable to the goal of universal access to ART by 2010.¹ The principal 2010 targets for MDR-TB are: (i) to offer diagnostic DST to all previously treated and chronic TB cases as well as to 90% of new TB cases with a high risk of having MDR-TB (for example, contacts of MDR-TB cases and those for whom treatment is failing after three months); and (ii) to enrol all those in whom MDR-TB is diagnosed in GLC-approved or equivalent treatment programmes. Despite the progress that has been made in some countries documented above, the number of MDR-TB patients notified in 2007 and country projections of the number of MDR-TB patients to be enrolled on treatment in 2008 and 2009 fall far behind the expectations of the Global Plan (TABLE 2.10; FIGURE 2.14; FIGURE 2.16). In 2008, the Global Plan recommended that

FIGURE 2.15
MDR-TB treatment outcomes in nine countries, 2004 cohort.
The number of patients in the cohort is shown under each bar. Countries ranked by cure rate.



¹ *The Global MDR-TB and XDR-TB response plan 2007–2008*. Geneva, World Health Organization, 2007 (WHO/HTM/STB/2007.387).

FIGURE 2.16
Country projections of MDR/XDR-TB patients to be enrolled on treatment in 2008 compared with the Global Plan



around 100 000 MDR-TB patients (including 10000 patients with XDR-TB) should be enrolled on treatment, which is more than three times higher than notifications (for 2007) or country projections (for 2008 and 2009).

Differences between Global Plan expectations for 2008 and country projections vary by region, as shown in **FIGURE 2.16**. In particular, targets set in the Global Plan are far above country projections in the three regions with the highest number of MDR-TB cases: the European Region, the South-East Asia Region (principally India) and the Western Pacific Region (where most cases are in China). In the African Region and the Region of the Americas, projections of the number of patients treated for MDR-TB treatment are ahead of Global Plan targets.

The relatively small numbers of MDR-TB cases diagnosed and treated to date, the modest projections of the patients to be treated in the near future and the fact that only 25% of countries have reported XDR-TB all demonstrate how much work remains to be done to improve the availability and provision of diagnosis and treatment for MDR-TB and XDR-TB. A ministerial meeting on MDR-TB and XDR-TB to be held in Beijing in April 2009, with representation from all 27 high MDR-TB burden countries, will provide a foundation for global efforts to accelerate provision of diagnosis and treatment for MDR-TB from 2009 onwards.

2.3.3 Poor and vulnerable populations

Although routine investigation of close contacts of TB patients is known to help early case detection, TB contact investigation is not yet a routine activity of TB control programmes in most countries. A total of 82 countries reported that TB contact investigation activities were implemented; among these, 63 reported that a total of 1.4 million contacts had been screened, of whom 3.8% (53 981) had active TB. The remaining 19 countries reported either on the number of contacts screened or the number of TB cases diagnosed among contacts, but not both.

Among the 176 countries and territories addressing TB in high-risk groups, 57 (32%) including seven HBCs were providing TB care to refugees and displaced people in 2007. Adaptation of TB control services to meet the needs of

migrant workers and cross-border populations was reported by 47 (27%) and 35 (20.0%) countries, respectively (including seven HBCs). About one fifth of countries stated that special attention was given to providing TB care among the homeless, slum dwellers, minorities, drug dependent individuals and people living with diabetes.

Routine screening for TB among immigrants is undertaken in 36 countries (20%), including two HBCs. In 154 countries (88%) including 20 HBCs, no differentiation is made between the provision of TB care for immigrants and non-immigrants. However, in other settings, immigrants with TB have either to pay for their TB treatment (four countries) or be repatriated (12 countries). The repatriation

may be immediately on diagnosis of TB (two countries) or after the initial phase of treatment (10 countries).

Despite complex emergency situations, TB care continues to be provided in Afghanistan, Iraq, Somalia and Sudan, thanks to close collaboration and coordination among various partners. TB services that were temporarily disrupted in areas heavily affected by the typhoon Nargis in Myanmar were restored swiftly, under the leadership of the NTP.

2.4 Contribute to health system strengthening based on primary health care

Achieving all the health-related MDGs requires strengthening of health systems. In the past 2–3 years, greater emphasis has been placed on such strengthening at national and international levels. A prominent example is the International Health Partnership (IHP+)¹ established in September 2007, which aims to accelerate the scale-up of health services to achieve the health-related MDG and universal access targets via the development and implementation of “country compacts”. These country compacts commit development partners to predictable funding for national plans that are both results-oriented and address health system constraints. By the end of 2008, 10 countries had been fully inaugurated as IHP+ countries: Burundi, Cambodia, Ethiopia, Kenya, Madagascar, Mali, Mozambique, Nepal, Nigeria and Zambia.² A second example is the renewed commitment of WHO as well as its Member States and partners to primary health care (PHC) in 2008, 30 years on from the original launch of PHC as a means to achieve the goal of “health-for-all”.

There are various ways to monitor how NTPs and their partners are contributing to health system strengthening. This section discusses the topics on which data were available from the 2008 data collection form.

2.4.1 Integration in primary health care

Diagnosis and treatment of TB are integrated fully into PHC services in almost all countries. Twenty HBCs (and 83% of

¹ The “+” in the title recognizes that there are number of other partnerships addressing system strengthening elements.

² <http://www.internationalhealthpartnership.net>

all countries) reported that TB control services were delivered through PHC facilities. Similarly, laboratory services for diagnosis of TB are usually integrated into general laboratory services: 86% of laboratories performing sputum smear microscopy in HBCs (80% across all countries) are general laboratories. Procurement, distribution and stock management of anti-TB drugs are undertaken together with other essential drugs management in 10 HBCs and in 64% (110/173) of all reporting countries.

2.4.2 Alignment with broader planning and financing frameworks

A high proportion of HBCs reported alignment of NTP plans and budgets with broader planning and financing frameworks (FIGURE 2.17). Contributing to health-system strengthening is an explicit component of the national strategic plan for TB control in 19 HBCs. However, there appears to be more scope for NTPs to involve the full range of stakeholders in planning and strategy development (FIGURE 2.18).

2.4.3 Human resource development

A comprehensive strategic plan for human resource development (HRD) should ensure both financing and guidance for an adequate, competent and performing workforce for TB control, integrated within overall health workforce plans and strategies. Plans should be based on a recent needs assessment and include: (i) a clear vision and goal, and associated objectives and strategies; (ii) definition of training and staffing needs for all components of the Stop TB Strategy; (iii) up-to-date job descriptions; (iv) provision for updating of the TB training curricula of various health cadres where appropriate; (v) ongoing training for existing staff at all levels of the health system; and (vi) systematic supervision and monitoring of recruitment and training needs.

A total of 94 countries including 14 HBCs have conducted a recent needs assessment, and 90 countries including 14 HBCs have a comprehensive plan for HRD for TB control (TABLE 2.12). Six countries that reported having a plan had not conducted any needs assessment. Among the HRD plans that do exist, most could be strengthened. For example, only seven HBCs have considered training and staffing needs for all the major components of the Stop TB Strategy.

Job descriptions of staff involved in the implementation of the Stop TB strategy were up-to-date in 120 countries, including 19 HBCs. Among the 22 HBCs, 18 had a designated person for HRD at the central level of the NTP. However, a full-time member of staff was available in only six countries: Afghanistan, Nigeria, Pakistan, the Russian Federation, South Africa and the United Republic of Tanzania.

Information regarding staff positions, vacancies and the training status of staff is essential for HRD, but routine monitoring of staff availability, turnover and training appears weak across HBCs. Only 9 HBCs provided at least some information about the availability of staff trained in TB control at health care facilities. In all but two countries, the information was incomplete or contradictory.

FIGURE 2.17
Alignment of NTP plans and budgets with other planning frameworks and initiatives, high-burden countries, 2007

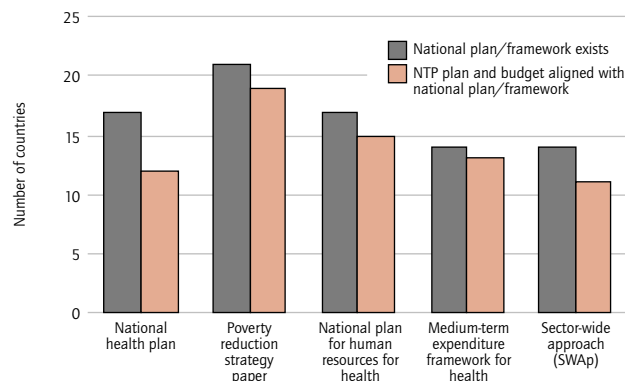
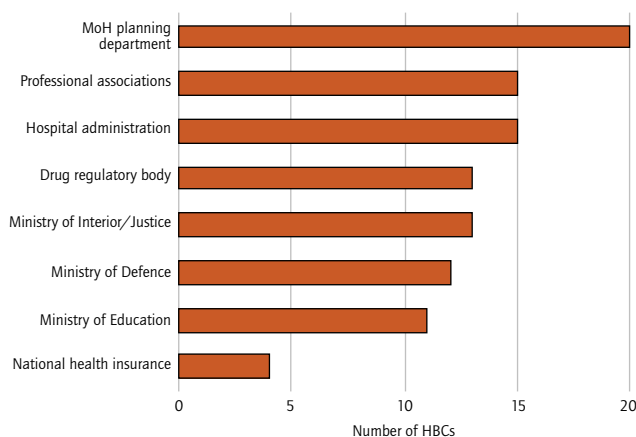


FIGURE 2.18
Involvement of different stakeholders in the development of national TB control strategies and plans



Training related to TB control is included in the basic curriculum of doctors, nurses and laboratory technicians in 141, 133 and 135 countries, respectively (including 18, 16 and 18 HBCs). Nonetheless, monitoring missions to HBCs have shown that the work on updating basic curricula is often not formalized.

Compared with data reported in 2007, data reported in 2008 suggest only modest improvements in HRD. Reporting weaknesses including inconclusive, contradictory and incomplete data. The main conclusion based on these data remains the same as last year: major strengthening of HRD for TB control is urgently needed in many countries in all regions, especially in HBCs.

2.4.4 Infection control

Infection control is a combination of measures aimed at minimizing the risk of TB transmission through early identification of individuals with suspected and known TB, and proper management of these people. Infection control for TB includes organizational, administrative, environmental and personal protective controls, each of which needs to be implemented using a patient-centred approach that minimizes the risk of stigma for TB patients and TB suspects. The importance of

TABLE 2.12
Human resource development (HRD) for TB control, 2007

	HRD NEEDS ASSESSMENT	COMPREHENSIVE STRATEGIC HRD PLAN	HRD PLAN INCLUDES TRAINING NEEDS IN					HRD PLAN INCLUDES STAFFING NEEDS IN					JOB DESCRIPTIONS UP TO DATE	
			DOTS	MANAGEMENT OF MDR-TB	COLLABORATIVE TB/HIV ACTIVITIES	PUBLIC-PUBLIC MIX APPROACHES (PPM)	ACSM	DOTS	MANAGEMENT OF MDR-TB	COLLABORATIVE TB/HIV ACTIVITIES	PUBLIC-PUBLIC MIX APPROACHES (PPM)	ACSM		
1 India	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	All	
2 China	Y	N	—	—	—	—	—	—	—	—	—	—	None	
3 Indonesia	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	—	
4 Nigeria	N	Y	Y	Y	Y	Y	Y	Y	N	N	N	N	All	
5 South Africa	Y	N	—	—	—	—	—	—	—	—	—	—	All	
6 Bangladesh	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	All	
7 Ethiopia	N	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	—	
8 Pakistan	Y	Y	Y	N	Y	Y	Y	Y	Y	N	Y	Y	Y	Some
9 Philippines	N	Y	Y	Y	Y	Y	Y	Y	N	N	N	N	N	—
10 DR Congo	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	N	N	N	—
11 Russian Federation	N	N	—	—	—	—	—	—	—	—	—	—	—	None
12 Viet Nam	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	—
13 Kenya	Y	N	—	—	—	—	—	—	—	—	—	—	—	All
14 Brazil	N	Y	Y	Y	Y	N	Y	Y	Y	Y	Y	N	N	—
15 UR Tanzania	N	Y	—	—	—	—	—	—	—	—	—	—	—	—
16 Uganda	N	N	—	—	—	—	—	—	—	—	—	—	—	All
17 Zimbabwe	Y	N	—	—	—	—	—	—	—	—	—	—	—	All
18 Thailand	Y	Y	Y	—	Y	—	—	—	Y	—	Y	—	—	—
19 Mozambique	Y	N	—	—	—	—	—	—	—	—	—	—	—	All
20 Myanmar	N	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	All
21 Cambodia	Y	N	—	—	—	—	—	—	—	—	—	—	—	—
22 Afghanistan	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	Y	—
High-burden countries^a	14	14	13	11	13	11	12	11	9	10	8	8	19	
AFR (46) ^b	17	18	17	17	16	15	17	16	15	12	12	12	24	
AMR (44)	19	18	19	19	19	17	18	16	15	16	15	16	21	
EMR (22)	16	18	19	15	14	16	18	19	15	14	16	17	16	
EUR (53)	16	13	14	15	14	10	15	13	13	13	9	12	24	
SEAR (11)	8	10	10	9	10	7	8	9	8	9	7	7	10	
WPR (36)	18	13	13	13	13	10	12	10	10	9	6	8	25	
Global (212)	94	90	92	88	86	75	88	83	76	73	65	72	120	

— Indicates not applicable (no plan, or activity not implemented).

^a Lower part of table shows the number of countries with affirmative answer (for last column, the number of countries where all or almost all job descriptions were up to date).

^b The number of countries in each region is shown in parentheses.

implementing these measures has been highlighted by the transmission of MDR/XDR-TB in settings where HIV care is provided. Updated WHO policy guidance on controlling TB infection in health-care and congregate settings as well as within households is now available.

Measures to control infection need to be implemented throughout the health system. While some measures are TB-specific, others are relevant to all infectious diseases. Infection control also requires a multi-disciplinary team (comprising, for example, health staff as well as building surveyors and architects), and interventions to improve TB control can improve collaboration across these disciplines.

Data reported in 2008 suggest that infection control is at an early stage of development in most countries and that better indicators are needed to monitor implementation. No country provided data about actual implementation of interventions, although 75% (131/175) of countries had a policy

on TB infection control in hospitals in 2007. The number of countries that reported the existence of a policy on TB infection control in clinics, prisons and military barracks was 114, 94 and 69 respectively.

2.4.5 Practical Approach to Lung Health

The Practical Approach to Lung Health (PAL) is a patient-centred approach to improving the quality of diagnosis and treatment for common respiratory illnesses in primary health-care facilities. It is designed to ensure a consistent approach to diagnosis and treatment at different levels of the health-care system, efficient use of resources (for example, by ensuring that care is provided at the most appropriate level of the health system and that drugs are used rationally), and coordination among TB control services and other health-care services. Implementation requires adaptation of guidelines according to existing national health policies and available

resources. At the end of 2008, 70 countries including nine HBCs had a plan to initiate PAL. Nine countries were piloting PAL and 11 were in the process of expanding it beyond pilot sites (including one HBC, South Africa). National guidelines for PAL were available or in preparation in 21 countries. PAL implementation is totally or partially funded by the Global Fund in 19 countries, including three HBCs.

2.5 Engage all care providers

2.5.1 Public-private mix approaches

Besides the network of health facilities directly within the jurisdiction of the NTP, diagnosis and treatment of TB are provided by a wide array of public, voluntary, corporate and private providers in many countries. Partnerships with these providers are essential to ensure delivery of TB services that are in line with international standards and to achieve global targets (notably the target for case detection). The Stop TB Strategy envisages that NTPs will engage all relevant care providers for TB care and control through PPM approaches.

In 2008, all countries were asked to provide information about the number of different types of providers¹ that had been engaged formally in TB control and the number of new TB cases referred and treated by major categories of public and private providers involved in PPM initiatives. Unfortunately, while most countries have begun implementing PPM-related activities, data were usually too incomplete to make an accurate assessment of the contribution of PPM to case detection and treatment. This suggests that very few countries are using the revised recording and reporting forms recommended by WHO, which are designed to allow disaggregated analysis of referrals and treatment by category of provider (at a minimum on an annual basis from selected facilities). By 2007, only nine HBCs had started systematically to record the source of referral of patients and where they were receiving treatment, and a smaller number were extracting data from these records in a systematic way. The best example of a country that was able to report data was the Philippines, where PPM initiatives that have been implemented in 40% of the country account for 9% of national notifications (**ANNEX 1**). It is also evident that PPM initiatives are capable of making a major contribution to notifications in Pakistan (**BOX 2.7**), although here results are from a special study rather than routinely reported data.

In the absence of precise data, countries were also asked to assess the contribution of different providers to referral and treatment by stating whether all, some or no providers in a given category were contributing to diagnosis and treatment. Almost half of the HBCs have managed to involve all health institutions belonging to the public sector health-care network, such as public hospitals, medical college hospitals, army health facilities and prison health facilities. Facilities

BOX 2.7

Forging public-private partnerships (PPP) for TB care and control in Pakistan

Pakistan's large and diverse private health sector (both profit and not-for-profit) is extensively used by TB patients. In recent years, successive NTP managers have given high priority to developing viable partnerships with health-care providers in this sector by using a systematic approach that is consistent with the steps recommended in WHO guidelines.¹ Introducing PPM began with a situational analysis that was used to design a range of PPM models suitable for the following types of provider: NGO clinics with and without laboratories; individual general practitioners; general practitioners who are grouped in clusters or linked to NGOs involved in social franchising; private clinics and hospitals; and informal providers (including both those who practise conventional medicine and those who do not). Developing national operational guidelines as a foundation for countrywide implementation was followed by establishing and funding staff positions specifically for PPM at national, provincial and district levels. The government also made a strong financial commitment, with 39% of the domestic funding available for TB control allocated to PPM in the 2005-2010 development plan.

The operational guidelines provide practical advice on several key topics, including the role of agreements with decision makers at district level; creation and maintenance of PPP coordination committees at provincial and district levels (with similar functions to those of the national steering committee); identification and selection of private partners; the value of a memorandum of understanding and how to develop one; training and certification of providers; monitoring and supervision; recording and reporting; and how to ensure that the general public is properly informed.

Many partners are now contributing to TB control via PPP schemes, and evidence of their contribution to case detection is emerging. A WHO-assisted mission conducted in 2008 found that in 2007, PPM initiatives accounted for almost 20% of total notifications (39 635) and just over 20% of notifications of new smear-positive cases (20 129). The table below presents data from three provinces that together had 90% of all registered TB patients in 2007. In the three provinces combined, 51% of all cases detected by non-NTP providers were new sputum smear positive cases while among those detected in the public sector, 36% were new sputum smear-positive cases.

PROVINCE OR CITY	NUMBER OF TB CASES NOTIFIED IN 2007			NUMBER OF NEW SMEAR-POSITIVE TB CASES NOTIFIED IN 2007		
	TOTAL	PPP	% OF NOTIFICATIONS FROM PPP	TOTAL	PPP	% OF NOTIFICATIONS FROM PPP
North West Frontier	30 699	5 485	18%	11 886	1 961	16%
Sindh (excluding Karachi City)	30 798	1 943	6%	14 718	147	1%
Karachi City	14 887	7 531	51%	6 882	3 625	53%
Punjab	131 742	24 676	19%	47 926	14 396	30%

¹ *Engaging all care providers in TB control. Guidance on implementing public-private mix approaches.* Geneva, World Health Organization, 2006 (WHO/HTM/TB/2006.360).

¹ Private providers were categorized as private hospitals, private practitioners, NGO/mission clinics and hospitals, corporate (business) health services and private medical college hospitals. Public providers were categorized as general public hospitals, public medical college hospitals, health/social insurance services, prison/detention centres and military facilities.

operated by health insurance agencies were fully engaged with NTP in about one third of the HBCs. Most HBCs have also started to involve at least some private practitioners, private hospitals and NGO health facilities in referral to the NTP, diagnosis according to programme guidelines and/or treatment with anti-TB drugs supplied by the NTP. More countries reported that all of these providers were engaged in national TB control in 2008 compared with 2007.

Several HBCs including Bangladesh, China, India, Indonesia, Kenya, the United Republic of Tanzania, Pakistan and the Philippines have used context-specific, innovative and NTP-led approaches to engage diverse care providers in TB control.

2.5.2 International Standards for Tuberculosis Care

Launched on World TB Day in 2006, the International Standards for Tuberculosis Care¹ provide an excellent basis for standardizing management practices across providers of TB care and are also an effective tool for advocating scale up of PPM implementation. A suggested initial step towards their application is to have the standards endorsed by relevant associations of health professionals. This step has been carried out by at least one professional association in about a quarter of reporting countries including 13 HBCs. One third of all reporting countries were using the standards to promote the engagement of non-NTP care providers. A higher proportion of reporting countries (about 50%, including 14 HBCs) have incorporated the standards into the curricula of medical schools; about 40% of countries (including 13 HBCs) have integrated them into NTP training material.

2.6 Empower people with TB, and communities through partnership

2.6.1 Advocacy, communication and social mobilization

An ACSM strategy involves three distinct sets of activities: advocacy aimed at influencing leaders or decision-makers, communication channelled to individuals and small groups, and social mobilization to empower and secure support for efforts in TB control from civil society and the community as a whole.

All HBCs report implementing ACSM activities that target the general public, TB suspects and patients, health-care providers and policy-makers. However, it is unclear from country reports whether the ACSM activities are a part of a strategic ACSM plan that supports the goals of the NTP; it is also unclear whether the impact of ACSM activities is being evaluated.

Strategic planning of ACSM should begin with a survey of knowledge, attitudes and practices to identify the challenges to be addressed and the audiences to which ACSM activities need to be targeted. It also allows programmes to establish baseline indicators so that progress can be monitored and impact evaluated. It is encouraging that 16 HBCs have conducted or have plans to conduct such a survey (see ANNEX 1).

Only seven HBCs reported involving patient-centred organizations or networks in advocacy activities and/or DOTS implementation. Forging partnerships with other organizations and networks that have expertise in the area of ACSM is an important strategy that can help to address the generally limited capacity of NTPs in this technical area.

2.6.2 Community participation in TB care

Community and patient empowerment are central to a human rights approach to care of TB patients and prevention of the disease. In addition, country experience shows that activities that foster community and patient empowerment can have a positive impact on case detection and treatment outcomes. Unfortunately, the available data do not shed much light on the activities that are being implemented at local level, although some descriptions are provided in ANNEX 1. Eight HBCs reported on the number of basic management units in the country that involved community members as treatment supporters, and only two HBCs reported data about the number of patients who were referred by general members of the community for TB screening or who were cared for in the community during treatment. The scarcity of information on the scope and nature of community involvement within countries indicates the need for greater emphasis and related guidance on this important aspect of TB care and control.

2.6.3 Patients' Charter for Tuberculosis Care

Launched alongside the International Standards for Tuberculosis Care, the Patients' Charter for Tuberculosis Care² outlines the rights and responsibilities of TB patients. An essential first step for many countries is translation of the charter into local languages. Many countries are also likely to require some guidance on the most effective way to use the charter; to date, information about its actual use is limited (see also ANNEX 1).

2.7 Enable and promote research

To help pilot, evaluate and scale up the various components and sub-components of the Stop TB Strategy, an increasing number of countries appear to be recognizing the importance of programme-based operational research. A total of 89 countries including 20 HBCs reported that research activities related to TB control were implemented in 2007, up from 49 countries in 2006. Among these countries, almost 400 research projects were reported. Four HBCs (Bangladesh, China, India and the Russian Federation) as well as Mexico listed more than 20 research topics that were being addressed. These topics were related to the basic elements of DOTS components (49 countries), collaborative TB/HIV activities (39 countries), MDR-TB and XDR-TB (39 countries), PAL (10 countries), and social mobilization and community

¹ *International standards for tuberculosis care: diagnosis, treatment, public health*. The Hague, Tuberculosis Coalition for Technical Assistance, 2006.

² *The Patients' charter for tuberculosis care: patients' rights and responsibilities*. World Care Council, 2006.

involvement (22 countries). Research on tobacco and diabetes as risk factors for TB, retooling (the introduction of new technologies) and evaluation or feasibility studies related to new technologies was also reported. Fifteen countries implemented surveys of anti-TB drug resistance in 2007. A literature search showed that papers related to TB were published from all but one HBC.

Information from the Stop TB Partnership's three working groups on the development of new tools for TB control also shows that over 100 sites are involved in clinical trials to develop new diagnostics, drugs and vaccines. Most of these sites are in countries where TB is endemic. Eleven countries have provided reports about their experience with the development and introduction of new diagnostics. With several potential new tools moving from the stage of discovery to clinical trials, increasing participation of countries in the evaluation of these tools is required.

2.8 Summary

Progress in implementing the Stop TB Strategy varies across components and among countries. The first component and foundation of the strategy – DOTS – is the most widely implemented. It is also the component for which progress is closest to matching the expectations contained in the Global Plan: the global case detection rate was 63% in 2007 and the treatment success rate 85% in 2006. Nonetheless, urgent improvements in the provision of services for laboratory culture and DST are needed in many countries, and there are countries that continue to report stock-outs of first-line drugs.

Besides DOTS implementation, diagnosis and treatment of MDR-TB and collaborative TB/HIV activities (both under component 2) are the other major parts of the Stop TB Strategy for which implementation can best be quantified. There is clear evidence of progress in implementing interventions such as HIV testing of TB patients and provision of CPT and ART to HIV-positive TB patients, particularly in the African Region. In 2007, 37% of TB patients in the African Region knew their HIV status, 0.2 million HIV-positive TB patients were enrolled on CPT and 0.1 million HIV-positive TB patients were started on ART; in each case, figures were higher than those reported in previous years. Nonetheless, the numbers of HIV-positive TB patients accessing services for provision of CPT and ART remain small compared with the estimated 1.4 million HIV-positive TB cases. Collaborative TB/HIV activities need to be scaled up to ensure that many more people know their HIV status and many more HIV-positive

people, with and without TB, have access to appropriate treatment and care.

Progress in diagnosing MDR-TB and treating patients with the disease is mostly confined to the European Region and South Africa. Globally, just under 30 000 cases of MDR-TB were notified to WHO in 2007, or 8.5% of the estimated global total of smear-positive cases of MDR-TB. Of these notified cases, 3681 were started on treatment in projects or programmes affiliated to the GLC (and are thus known to be providing treatment according to international guidelines), which represents only 1% of the smear-positive cases of MDR-TB estimated to exist globally. Although the number of patients started on treatment is expected to increase to around 14 000 in 2009, this still represents only 4% of the smear-positive cases of MDR-TB estimated to exist globally. To meet the targets set in the Global Plan, diagnosis and treatment need to be rapidly expanded, especially in China, India and the Russian Federation.

The extent to which components 3–6 of the Stop TB Strategy are being implemented is less well understood, because to date progress is more difficult to quantify. The integration of diagnosis and treatment of TB into primary health care in almost all countries as well as reported alignment with broader health sector planning frameworks and expansion of PAL (all part of component 3) are encouraging. However, considerable work on HRD and infection control is needed in many countries in all regions. PPM and the ISTC (component 4) are being introduced and expanded in an increasing number of countries, and examples from specific countries such as Pakistan and the Philippines demonstrate the potential of PPM to contribute to increased case detection. In order to better understand the relative contribution of different providers to the detection, referral and treatment of cases requires much greater use of routine recording and reporting forms that allow disaggregated analysis for different categories of provider. ACSM (component 5) is still a new area for many countries. Much more guidance and technical support are necessary to ensure that interventions are appropriately designed and evaluated. Finally, while operational research and the introduction of new tools (both part of component 6) are occurring, the information available for this report was comparatively limited.

This chapter concludes that there is a need in most countries for major scaling up of the interventions and approaches included in the Stop TB Strategy. For this to be feasible, increased funding is required. Financing is the topic of the next chapter.

Financing

Implementing the Stop TB Strategy at the scale required to achieve the 2015 targets for global TB control (see also **CHAPTER 1** and **CHAPTER 2**) requires accurate budgeting of the financial resources required, mobilization of the necessary funding and spending of available funds such that TB control outcomes are improved. Analysis of budgets and funding for TB control was introduced into the annual WHO report on global TB control in 2002, and expenditures have been reported on since 2004.

This chapter provides WHO's latest analysis of financing of TB control. As with the previous two chapters, emphasis is placed on 22 high-burden countries (HBCs), but analyses for all countries reporting financial data are also included. The chapter is structured in eight major sections. The first section summarizes the data that were reported to WHO in 2008. The next six sections present the budgets of national TB control programmes (NTPs) from 2002 to 2009 and the sources of funding and funding gaps for these budgets; the total costs of TB control (including the cost of resources that are used within the general health system as well as the costs included in NTP budgets), also for 2002–2009; comparisons of funding requirements reported by countries with estimated funding requirements that were contained in the Global Plan to Stop TB, for the period 2006–2009; per patient costs and budgets in 2009; a comparison of expenditures with available funding and with changes in the number of cases that have been detected and treated; and the contribution of the Global Fund to financing for TB control. The eighth section discusses why funding gaps persist and the possible consequences of the global financial crisis for TB control.

Further details are also provided in **ANNEX 1** and **ANNEX 3**.

3.1 Data reported to WHO in 2008

WHO received financial data from 158 out of 212 (75%) countries and territories in 2008, similar to the number that reported data in 2007.¹ Complete budget data for 2009 were provided by 102 countries (**FIGURE 3.1**), 98 countries provided complete budget data for 2008 and 92 countries provided complete expenditure data for 2007. Overall, countries reporting financial data accounted for 98% of the global burden of TB. The countries that provided financial reports accounted for 97% or more of the regional burden of TB in five WHO regions, with a lower figure of 83% for the European Region. This is the most complete reporting of financial data to WHO since financial monitoring began in 2002.

Complete budget data for 2009 were reported by all HBCs except South Africa (**FIGURE 3.1**). Of particular note is Thailand, which provided complete budget data for the

first time in five years following a comprehensive planning and budgeting effort that was facilitated by use of the WHO planning and budgeting tool (**BOX 3.1**).² Expenditure data for 2007 were reported by all HBCs except South Africa and Uganda (data not shown).

Considerable clarification and verification of financial data by WHO are still required, but the quality of the data when first submitted continues to improve. In 2008, this was notable for the African Region, the Region of the Americas and the South-East Asia Region. Improvements were probably facilitated by related work on planning and budgeting undertaken with 35 African countries in 2007 and with nine countries from the South-East Asia Region in 2008, as well as close collaboration with countries in the Region of the Americas during regional meetings.

3.2 NTP budgets, available funding and funding gaps

3.2.1 High-burden countries

NTP budgets in the 22 HBCs amount to US\$ 2.5 billion in 2009, almost three times their level in 2002 (**TABLE 3.1**; **FIGURE 3.2**; **FIGURE 3.3**). The Russian Federation has the highest budget (US\$ 1.2 billion), followed by South Africa (US\$ 352 million), China (US\$ 225 million), India (US\$ 100 million) and Brazil (US\$ 64 million). These five countries account for 80% of the NTP budgets reported for 2009 by the 22 HBCs. The eight HBCs in the African Region (excluding South Africa) had a combined budget of US\$ 225 million in 2009, only 10% of the total for all 22 HBCs.

Much of the increase in NTP budgets since 2007 is explained by an increase in the budget for MDR-TB (**FIGURE 3.2**), almost all of which (US\$ 372 million, or 88% of a total of US\$ 422 million) is accounted for by the Russian Federation and South Africa (**ANNEX 1**). Nonetheless, NTP budgets increased in most HBCs between 2007 and 2009, and NTP budgets have increased substantially in all HBCs except Viet Nam since 2002 (**FIGURE 3.4**; **ANNEX 1**).

In 2002–2006, activities to support the DOTS component of the Stop TB Strategy accounted for the largest proportion of NTP budgets (**FIGURE 3.2**). However, budgets for collaborative TB/HIV activities, ACSM, PPM and MDR-TB are much more in evidence in 2009 compared with previous years (**FIGURE 3.2**; **FIGURE 3.5**). This suggests that many HBCs are

¹ *Global tuberculosis control: surveillance, planning and financing. WHO report 2008*. Geneva, World Health Organization, 2008 (WHO/HTM/TB/2008.393).

² See http://www.who.int/tb/dots/planning_budgeting_tool/en/index.html

FIGURE 3.1
Reporting of financial data, NTP budgets for 2009

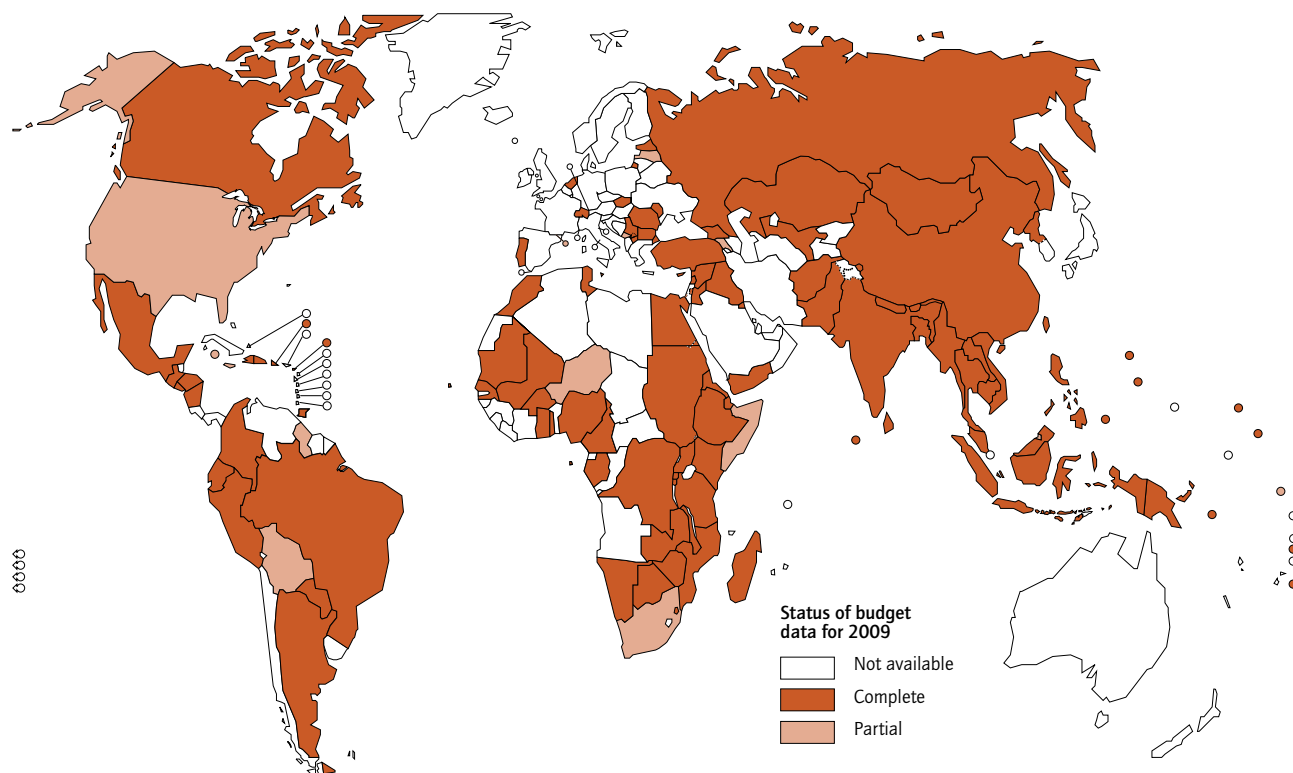


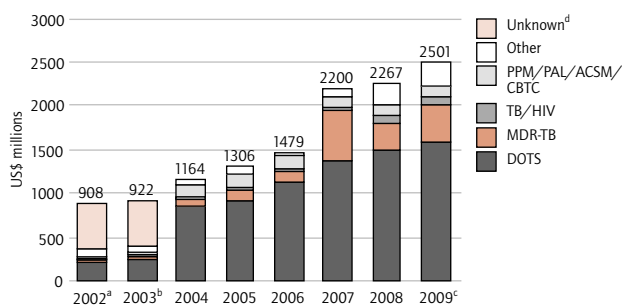
TABLE 3.1
NTP budgets, available funding, cost of utilization of general health-care services and total TB control costs (US\$ millions), high-burden countries, 2009

	NTP BUDGET	AVAILABLE FUNDING				FUNDING GAP	COST OF UTILIZATION OF GENERAL HEALTH-CARE SERVICES	TOTAL TB CONTROL COSTS ^a
		GOVERNMENT (EXCLUDING LOANS)	LOANS	GRANTS (EXCLUDING GLOBAL FUND)	GLOBAL FUND			
1 India	100	9.2	37	9.8	14	30	38	138
2 China	225	163	11	0.7	41	9.8	0	225
3 Indonesia	80	34	0	13	17	16	4.8	85
4 Nigeria	44	7.3	0	4.4	13	19	11	55
5 South Africa	352	—	—	—	—	—	251	603
6 Bangladesh	15	4.9	1.1	0	9.2	0.1	5.8	21
7 Ethiopia	26	1.1	0	1.0	6.2	18	8.5	35
8 Pakistan	54	10	0	12	6.4	25	3.8	58
9 Philippines	23	7.9	0	0	10	4.4	11	34
10 DR Congo	53	1.6	0	3.3	11	37	12	66
11 Russian Federation	1 249	1 014	0	1.4	6.9	226	24	1 273
12 Viet Nam	13	5.3	0	4.3	3.9	0	13	27
13 Kenya	37	6.6	1.0	12	2.5	15	5.1	42
14 Brazil	64	50	0.6	1.5	0	11	28	92
15 UR Tanzania	25	7.1	0	4.7	5.4	7.4	4.2	29
16 Uganda	17	1.3	0	0.1	4.8	11	1.2	18
17 Zimbabwe	17	0.6	0	4.1	3.4	9.4	4.1	22
18 Thailand	50	46	0	0	0.8	3.2	1.0	51
19 Mozambique	25	6.4	0	7.9	4.4	6.0	5.9	31
20 Myanmar	11	1.2	0	5.3	0	4.3	1.9	13
21 Cambodia	11	1.1	0	1.3	4.6	3.7	2.5	13
22 Afghanistan	10	0.2	0	5.4	4.1	0.3	1.2	11
High-burden countries	2 501	1 379	50	93	169	457	438	2 939

— Indicates not available.

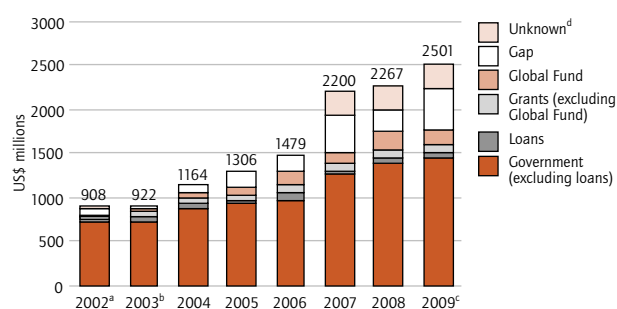
^a Calculated as NTP budget plus the cost of utilization of general health-care services.

FIGURE 3.2
NTP budgets by line item, high-burden countries, 2002–2009



- ^a Estimates assume budget 2002 equal to expenditure 2002 (Ethiopia), budget 2003 (Afghanistan, Bangladesh, Mozambique and Uganda) or expenditure 2003 (Russian Federation and Zimbabwe).
- ^b Estimates assume budget 2003 equal to expenditure 2003 (Russian Federation and Zimbabwe).
- ^c Estimates assume budget 2009 equal to budget 2008 for South Africa.
- ^d "Unknown" applies to Afghanistan 2002–2004, Russian Federation 2002–2003 and Mozambique 2002–2003. In these years, a breakdown by line item was not available.

FIGURE 3.3
NTP budgets by source of funding, high-burden countries, 2002–2009



- ^a Estimates assume budget 2002 equal to expenditure 2002 (Ethiopia), budget 2003 (Afghanistan, Bangladesh, Mozambique and Uganda) or expenditure 2003 (Russian Federation and Zimbabwe).
- ^b Estimates assume budget 2003 equal to expenditure 2003 (Russian Federation and Zimbabwe).
- ^c Estimates assume budget 2009 equal to budget 2008 for South Africa.
- ^d "Unknown" applies to Afghanistan 2004, DR Congo 2002, Nigeria 2002, South Africa 2007–2009 and UR Tanzania 2007. In these years, a breakdown by funding source was not available or only partially available.

expanding the range of interventions to control TB, in line with the Stop TB Strategy.

The large budget increases described above have been accompanied by big improvements in available funding (FIGURE 3.3; FIGURE 3.4). Funding for NTP budgets in the 22 HBCs reached US\$ 1.8 billion in 2009, up from US\$ 0.8 billion in 2002. Governments of HBCs have provided most of the available funding since 2002; this funding amounts to US\$ 1.4 billion in 2009 (57% of the total budget, and 85% of the available funding) (TABLE 3.1).¹ Financing from the Global Fund has become more important since 2004, reaching US\$ 169 million (7% of the total budget and 10% of the available funding) in 2009. The Global Fund accounts for 65% of total grant funding for HBCs in 2009. Grants provided to HBCs from sources other than the Global Fund have not changed much since 2002, and in 2009 account for 4% of the total budget (and 5% of available funding).

Despite these increases in funding, funding gaps that total US\$ 457 million (18% of the total budget) have been reported for 2009; this could be as high as US\$ 0.7 billion if the funding gap in South Africa could be accurately quantified (TABLE 3.1).² All HBCs except Viet Nam reported funding gaps in 2009. In India, Indonesia and Pakistan, these gaps may be reduced or closed by funding from grants from the Global Fund approved in round 8 or via the so-called "rolling continuation channel" of funding (ANNEX 1).

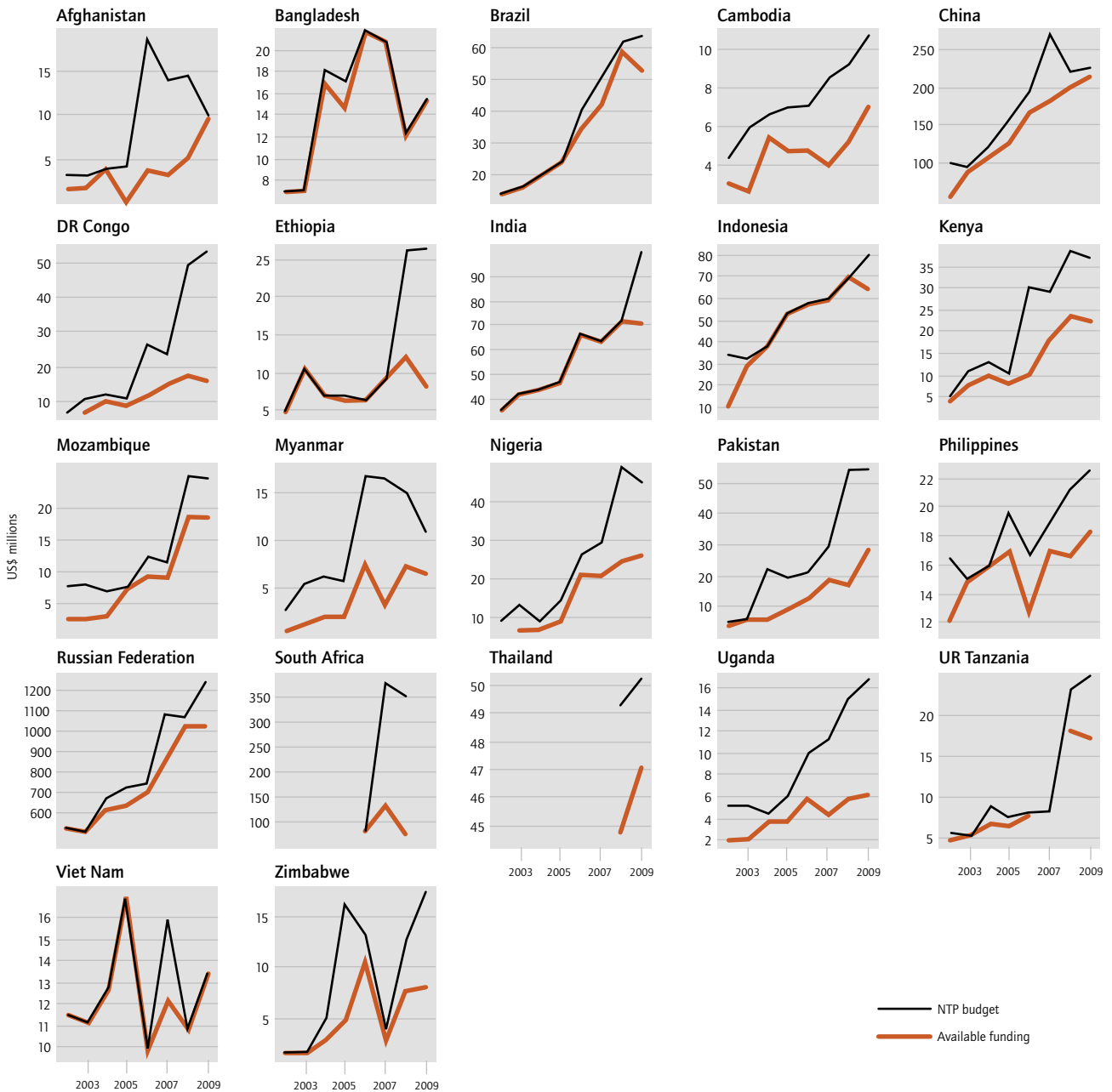
Most of the additional domestic funding since 2002 (government funding including loans) has come from three countries only: Brazil, China and the Russian Federation (an extra US\$ 717 million in 2009 compared with 2002). These three countries plus Thailand will fund 77% or more of their NTP budgets from domestic sources in 2009 (TABLE 3.1). In other HBCs, increases in funding have come mainly from the Global Fund. In 2009, grants from the Global Fund will finance around one-third or more of the NTP budget in seven countries: Bangladesh, the Philippines, Cambodia, Afghanistan, Nigeria, Uganda and Viet Nam (in that order). In addition, grants from sources besides the Global Fund will finance one third or more of the NTP budget in Afghanistan, Mozambique, Myanmar, Kenya and Viet Nam (TABLE 3.1).

In absolute terms, the largest funding gaps are those reported by the Russian Federation, the Democratic Republic of the Congo, India, Pakistan, Nigeria and Ethiopia (in that order), which together account for 78% of reported funding gaps. The Russian Federation alone accounts for 50% of the total funding gaps reported by HBCs. Proportionally, the largest gaps are (in order) in the Democratic Republic of the Congo, Ethiopia, Uganda, Zimbabwe, Pakistan, Nigeria, Kenya, Myanmar and Cambodia; funding gaps in these countries represent more than one-third of the required budget (TABLE 3.1). Only three HBCs reported no funding gap

¹ Figures would probably be higher if complete information on funding from provincial governments in South Africa were available.

² The 11% of NTP budgets for which funding is unknown, which is accounted for by South Africa, is likely to be a mixture of funding from provincial governments and a funding gap (ANNEX 1).

FIGURE 3.4
NTP budgets and available funding, high-burden countries, 2002–2009



or a negligible funding gap: Afghanistan, Bangladesh and Viet Nam.

3.2.2 All countries

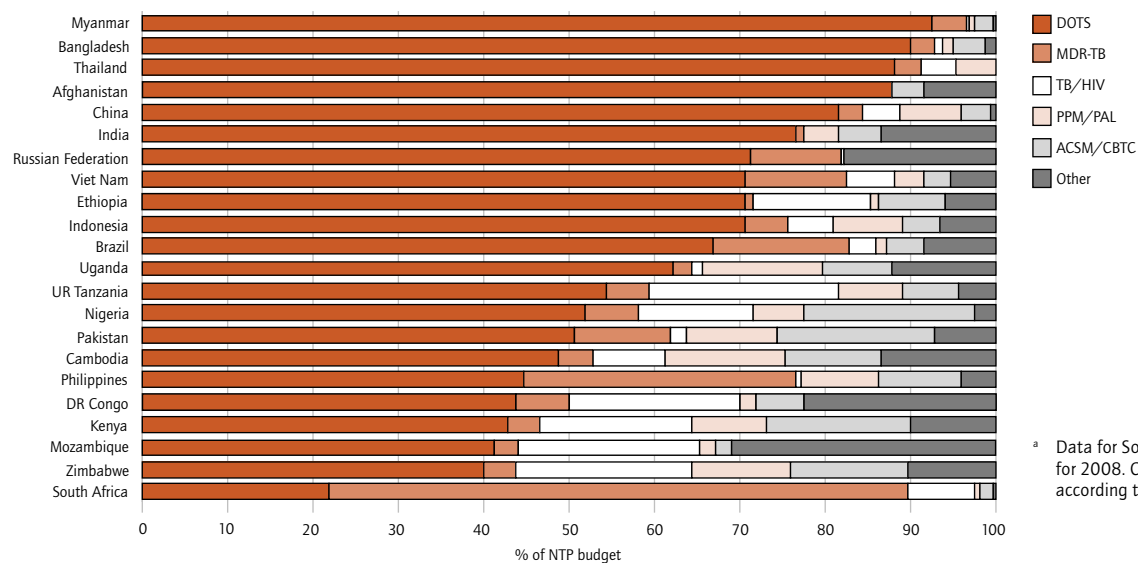
WHO began collecting financial data from all countries (in addition to the 22 HBCs) in 2003 and reported these data for the first time in 2004. Total NTP budgets in 2009, by WHO region and source of funding, are shown for the 103 countries for which data are available (22 HBCs and 81 other countries) in **FIGURE 3.6**.¹ Globally, these countries account for 93% of incident TB cases; at regional level, they account

for almost all TB cases in the African, Eastern Mediterranean, South-East Asia and Western Pacific regions (89–99.6%, depending on the region), for 85% of the regional total in the Region of the Americas (up from 74% in 2008), and for 66% of the regional total in the European Region. NTP budgets amount to US\$ 3.6 billion in 2009, up from US\$ 2.6 billion in 2008 (for countries with 91% of global cases) and US\$ 1.6 billion in 2007 (also for countries that accounted for 91% of TB cases globally). The funding gaps reported by these 103 countries total US\$ 0.9 billion, of which US\$ 0.5 billion is in the European Region. This is somewhat surprising given the relative wealth of the European Region. Overall, the reported funding gap is more than double the US\$ 385 million reported for 2008.

Budgetary funding gaps as a proportion of the total bud-

¹ The total of 103 countries is one more than the total of 102 countries mentioned in section 3.1, since South Africa is included in **FIGURE 3.6** with the assumption that the budget for 2009 would be the same as the budget reported for 2008.

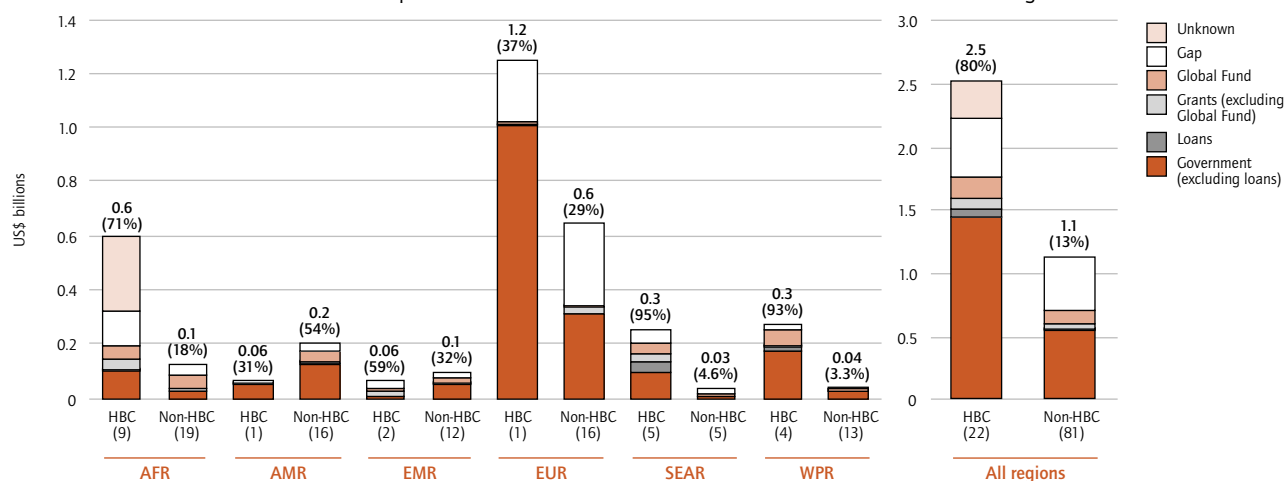
FIGURE 3.5
NTP budgets by line item, high-burden countries,^a 2009



^a Data for South Africa are for 2008. Countries ranked according to DOTS budget.

FIGURE 3.6
Regional distribution of NTP budgets by source of funding, 22 high-burden countries and 81 non high-burden countries, 2009.

Numbers in parentheses above bars show the percentage of all estimated incident cases of TB in the region that are accounted for by the countries included in the bar. Numbers in parentheses on the x-axis show the number of countries contributing to each bar.



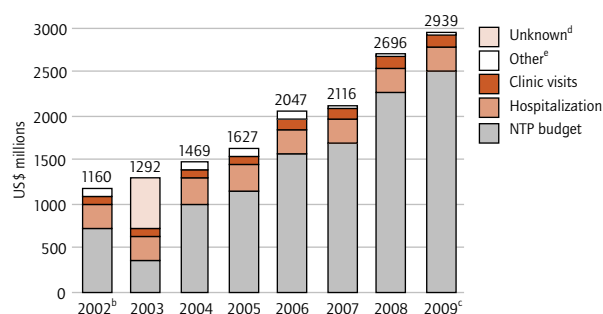
get were higher for non high-burden countries compared with HBCs in the African, European and South-East Asia regions. Funding gaps as a proportion of the total budget were similar for Brazil and non-HBCs in the Region of the Americas. Funding gaps were lower for non high-burden countries relative to HBCs in the Eastern Mediterranean and Western Pacific regions. Overall, NTP budgets per incident TB case were higher for HBCs compared with non-HBCs in the African Region and the European Region, and much lower for HBCs compared with non-HBCs in the Region of the Americas and the Eastern Mediterranean, South-East Asia and Western Pacific regions.

3.3 Total costs of TB control

3.3.1 High-burden countries

NTP budgets include only part of the resources needed to control TB. Specifically, they do not include the costs associated with using general health-service staff resources and infrastructure for TB control, both of which are used when TB patients are hospitalized or visit outpatient facilities during treatment. For the 22 HBCs combined, the total cost of TB control will reach almost US\$ 2.9 billion in 2009 if funding gaps can be closed, almost three times higher than the US\$ 1.2 billion actual expenditures estimated for 2002 (FIGURES 3.7–3.10; TABLE 3.1). The total of US\$ 2.9 billion is mostly for DOTS (US\$ 2 billion, or 69%). The other major components are MDR-TB (US\$ 0.4 billion, or 14%; 88% of this total is accounted for by the Russian Federation and South Africa), TB/HIV (US\$ 90 million, or 3%) and ACSM

FIGURE 3.7
Total TB control costs by line item, high-burden countries,^a 2002–2009



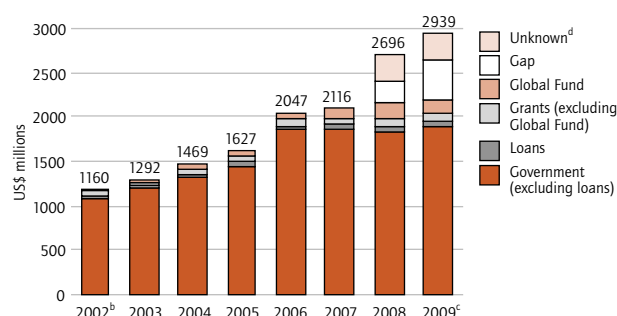
- ^a Total TB control costs for 2002–2007 are based on expenditure data, whereas those for 2008–2009 are based on budget data.
^b Estimates assume costs 2002 equal to costs 2003 for Afghanistan, Bangladesh, Mozambique, Nigeria, Uganda and Zimbabwe.
^c Estimates assume costs 2009 equal to costs 2008 for South Africa.
^d “Unknown” applies to Russian Federation 2003.
^e “Other” includes costs for fluorography in the Russian Federation that are not reflected in NTP budget or NTP expenditure data.

(US\$ 70 million, or 2%). The remaining 12% includes PPM, surveys of the prevalence of TB disease, community TB care and a variety of miscellaneous activities.

Total costs have increased year-on-year since 2002 across all HBCs, a pattern that is repeated in most individual countries (FIGURE 3.9). Exceptions are Bangladesh and Viet Nam; however, the apparently low expenditures in these countries in 2007 probably reflect only partial reporting of expenditures. The steady climb in the total resources available for TB control in Brazil, China and India since 2002 is impressive. Increases in projected costs during 2002–2009 arise because of the large increases in NTP budgets (described above) and, to a much lesser extent, because of the higher costs of clinic visits and hospitalization that are associated with treating more patients (FIGURE 3.7).

As in previous years, the Russian Federation and South Africa rank first and second in terms of total costs. Together, they account for US\$ 1.9 billion (64%) of the total of US\$ 2.9 billion (FIGURE 3.10; TABLE 3.1). China (US\$ 225 million), India (US\$ 138 million), Brazil (US\$ 92 million) and Indonesia (US\$ 85 million) rank third to sixth. These six countries account for 82% of the total cost of TB control in the 22 HBCs in 2009. In South Africa, there are two major reasons for the high cost of TB control estimated for 2009. One is the large costs associated with maintaining around 8000 TB beds in district hospitals and specialized TB hospitals at a unit price per bed-day of around US\$ 100 and US\$ 40, respectively. The second is a large budget for the diagnosis and treatment of MDR-TB (ANNEX 2; SECTION 3.2). The largest components of the budget for MDR-TB are for renovating and constructing infrastructure in line with a national policy of hospitalizing all patients with MDR-TB for at least six months; improving infection control in MDR-TB and XDR-TB units as well as in general district hospitals; and providing second-line anti-TB drugs for the enrolment of around 5000 patients on treatment. High costs in the Russian Federation

FIGURE 3.8
Total TB control costs by source of funding, high-burden countries,^a 2002–2009



- ^a Total TB control costs for 2002–2007 are based on expenditure data, whereas those for 2008–2009 are based on budget data.
^b Estimates assume costs 2002 equal to costs 2003 for Afghanistan, Bangladesh, Mozambique, Nigeria, Uganda and Zimbabwe.
^c Estimates assume costs 2009 equal to costs 2008 for South Africa.
^d “Unknown” applies to South Africa 2008–2009.

in 2009 are associated with continued staffing and maintenance of an extensive network of TB hospitals and sanatoria; a large budget for second-line anti-TB drugs to treat MDR-TB patients (US\$ 133 million, with an estimated total of about 4000 cases to be enrolled on treatment in 2009); and continued use of fluorography for mass population screening.

Funding for the general health-service staff and infrastructure used by TB patients during clinic visits and hospitalization is assumed to be provided by governments (ANNEX 2). This assumption, together with the implicit assumption that health systems have sufficient capacity to support the treatment of a growing numbers of patients in 2009,¹ means that the resources available for TB control are estimated to have increased from US\$ 1.2 billion in 2002 to US\$ 2.2 billion in 2009 (FIGURE 3.8). For all HBCs, the estimated gap between the funding already available and the total cost of TB control is between US\$ 0.5 and US\$ 0.7 billion in 2009.²

Of the US\$ 2.2 billion available in the 22 HBCs in 2009, 88% is from HBC governments, 8% (US\$169 million) is from the Global Fund and 4% (US\$ 94 million) is from grants from sources other than the Global Fund. The distribution of funding sources is different when the Russian Federation and South Africa are excluded: the government contribution to available funding drops to 70%, the Global Fund contribution increases to 19%, and grants from sources besides the Global Fund account for 11%.

As in previous years, there is considerable variation in the distribution of funding sources among countries (FIGURE 3.11; TABLE 3.1). For example, Afghanistan is highly dependent on grant financing and four other countries (Ban-

¹ Nonetheless, the capacity of health systems to manage an increasing number of TB patients warrants further analysis, particularly in countries where the number of patients will need to increase substantially to achieve the MDG and related Stop TB Partnership targets for TB control.

² The range reflects uncertainty about the level of funding from provincial governments in South Africa.

FIGURE 3.9
Total TB control costs, high-burden countries, 2002–2009

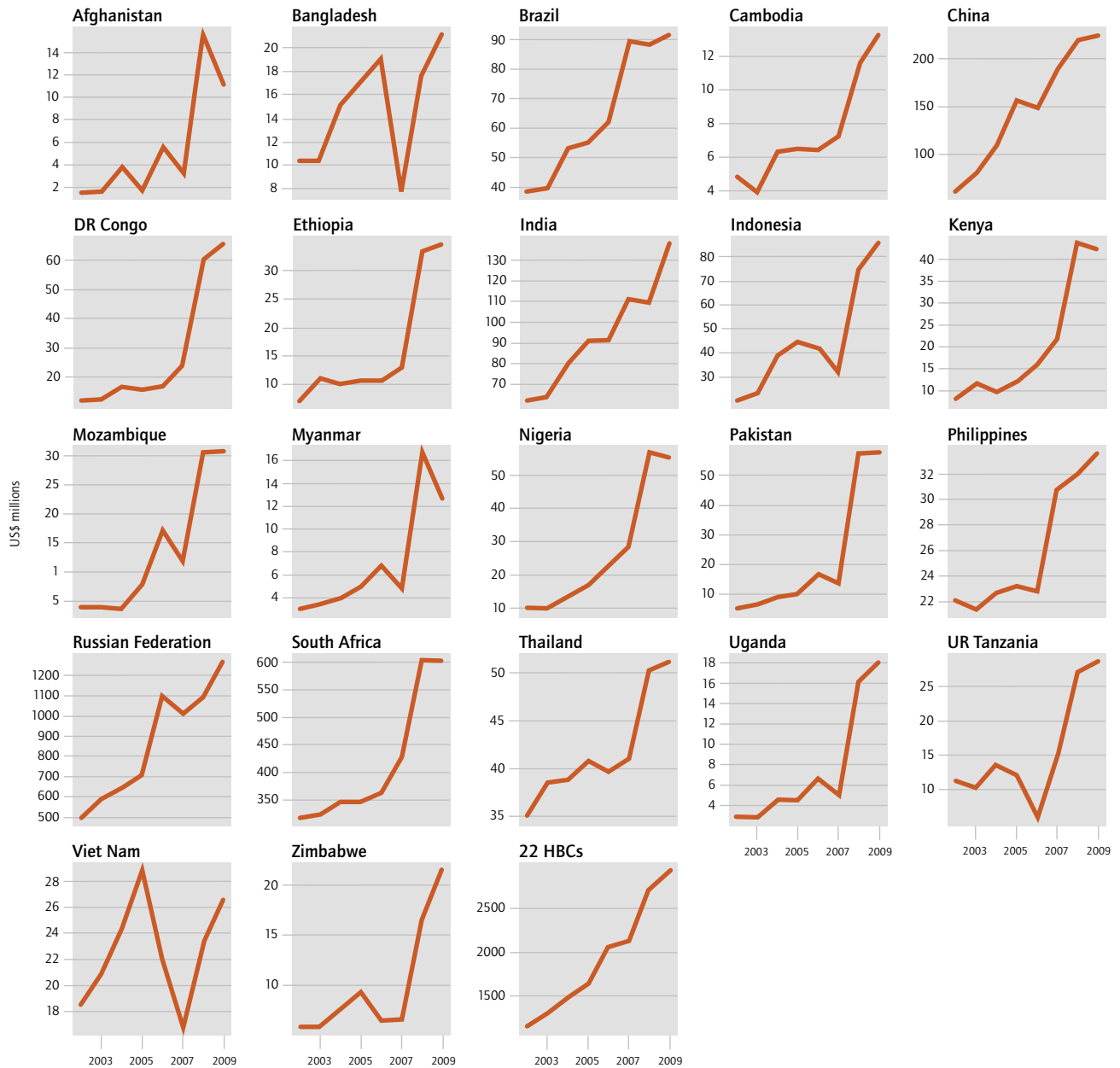


FIGURE 3.10
Total TB control costs by country, high-burden countries, 2002–2009

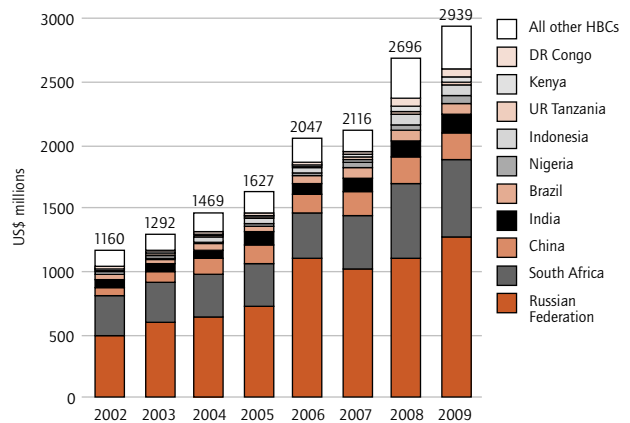
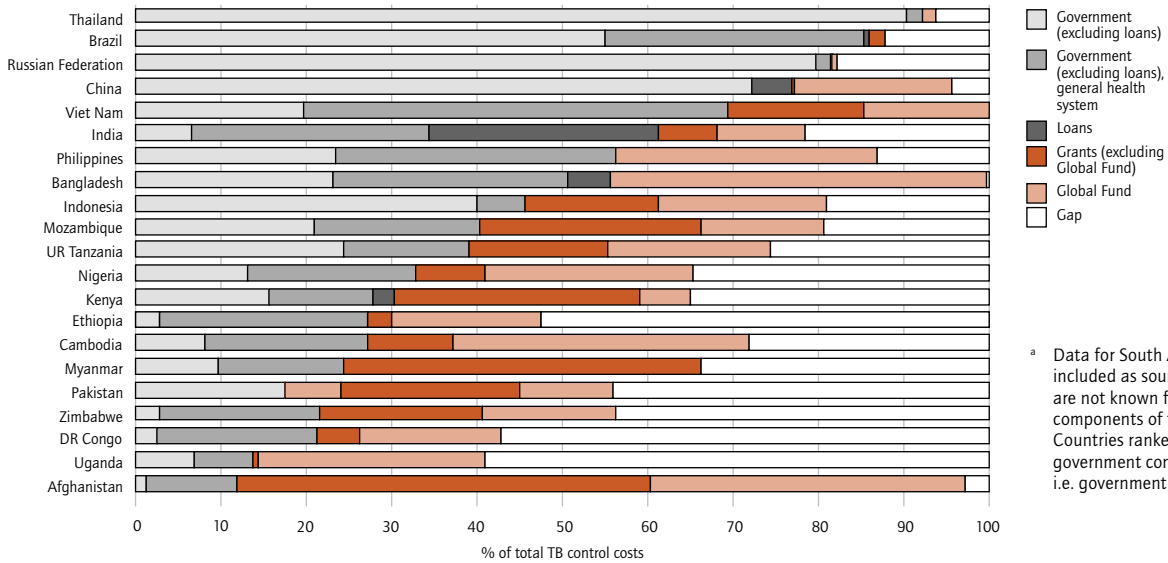


FIGURE 3.11
Total TB control costs by source of funding, 21 high-burden countries,^a 2009



^a Data for South Africa not included as sources of funding are not known for most components of the budget. Countries ranked according to government contribution, i.e. government plus loans.

ladesh, Cambodia, Mozambique and Myanmar) rely on grants to cover at least 40% of the total resources needed for TB control. In nine HBCs, grant funding accounts for more than 50% of the currently available funding in 2009 (Afghanistan, Cambodia, the Democratic Republic of the Congo, Kenya, Mozambique, Myanmar, Pakistan, Uganda, and Zimbabwe). In contrast, grant financing contributes less than 2% of the total funding required in 2009 in Brazil, the Russian Federation and Thailand.

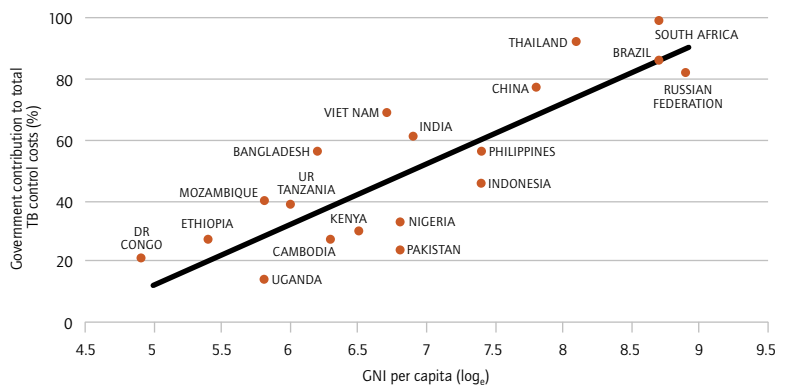
The share of the total costs financed by HBC governments is closely related to average income levels (FIGURE 3.12), although there appears to be scope to increase the government contribution in several countries (for example, Indonesia, Pakistan and the Russian Federation).

3.3.2 All countries

Total costs for 2006–2009 can be estimated for 111 countries that collectively account for 93% of TB cases globally (FIGURE 3.13).¹ The total costs of TB control will increase from US\$ 2.6 billion in 2006 to US\$ 4.3 billion in 2009 (if funding gaps in 2009 can be closed). DOTS implementation accounts for the largest single share of these costs, but the share for MDR-TB and a range of other interventions is increasing. The share of total costs accounted for by collaborative TB/HIV activities and ACSM remains small.

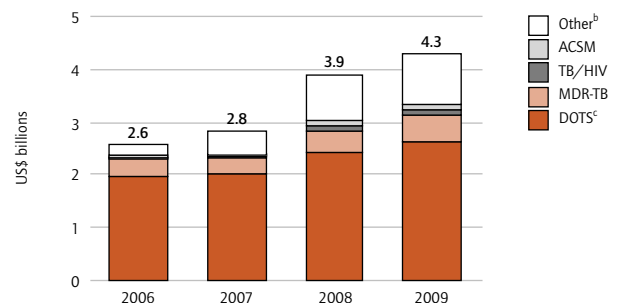
For 89 countries outside the 22 HBCs for which data are available, trends in total costs by region and for all regions combined are shown in FIGURE 3.14. Costs are generally

FIGURE 3.12
Government contribution (including loans) to total TB control costs by gross national income (GNI) per capita, 19 high-burden countries,^a 2009



^a Data on GNI per capita not available for Afghanistan, Myanmar and Zimbabwe.

FIGURE 3.13
Total TB control costs by line item, 22 high-burden countries and 89 other countries,^a 2006–2009



^a These 111 countries account for 93% of the global total of 9.27 million incident cases of TB estimated in 2007.

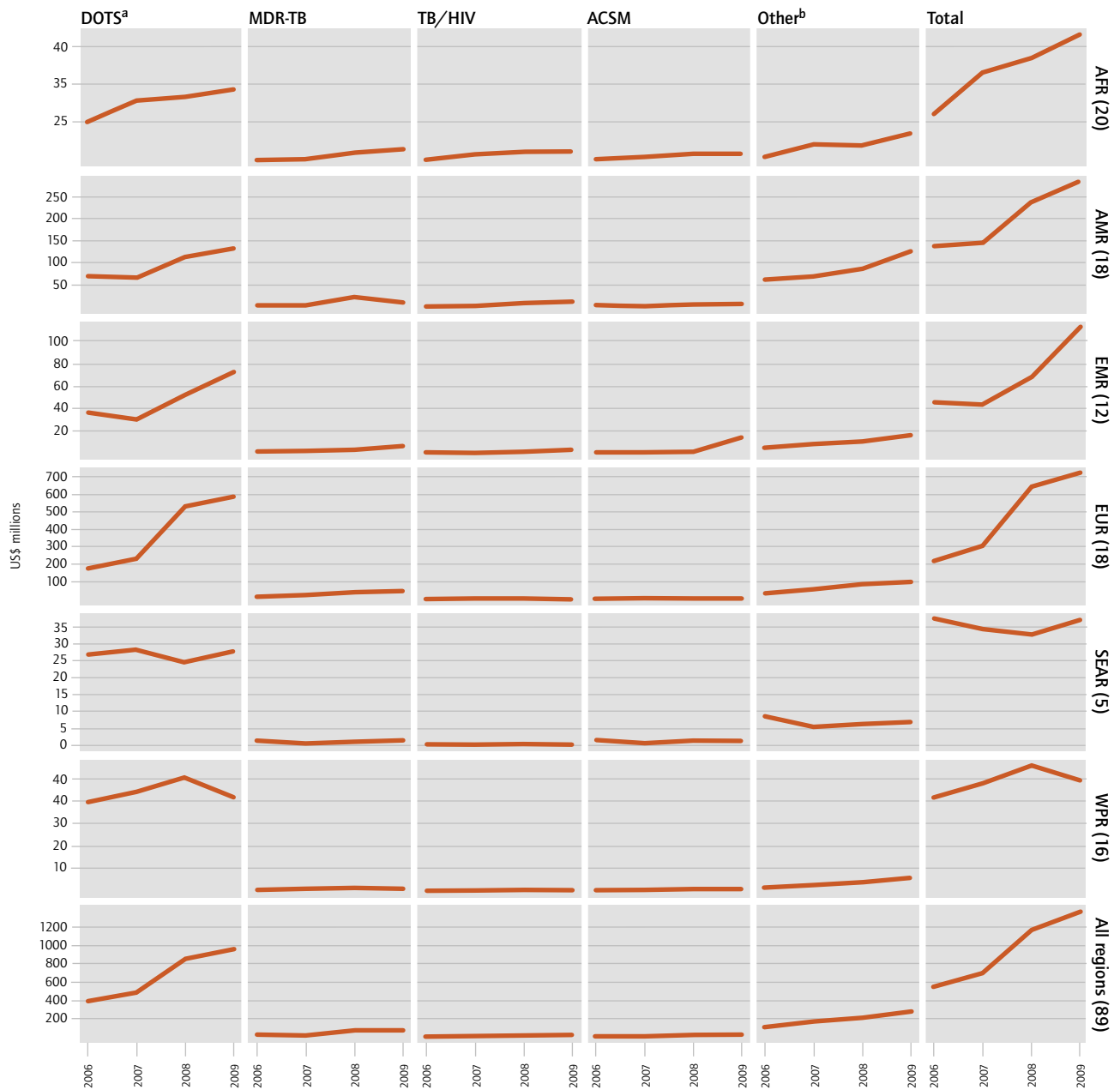
^b "Other" includes PPM, PAL, CBTC, operational research, surveys and other.

^c DOTS includes the cost of clinic visits and hospitalization.

¹ These 111 countries reported data for at least two of the years 2006–2009. For countries that did not report data in all four years, costs were estimated using data for the two or three years for which data were reported.

■ **FIGURE 3.14**

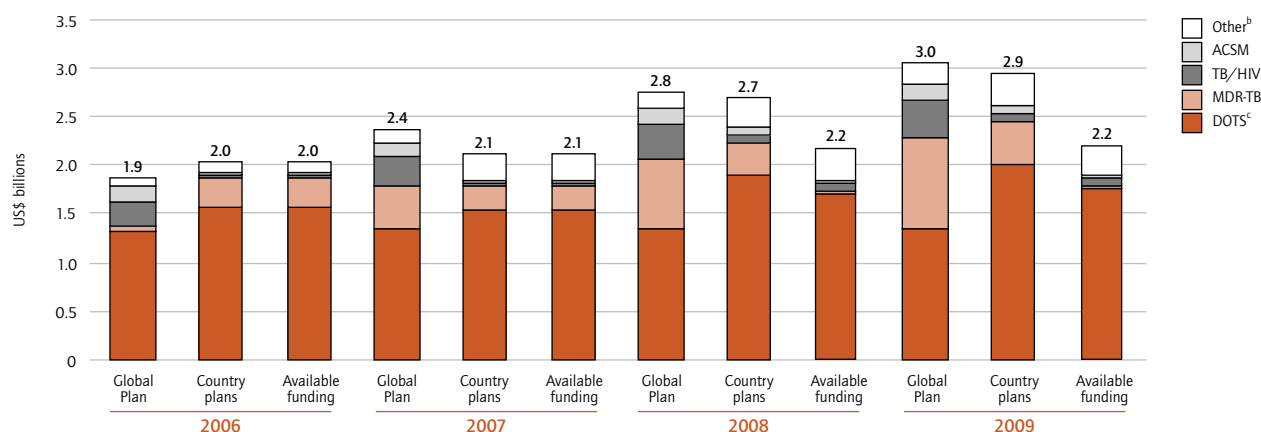
Total TB control costs by region, 89 non high-burden countries, 2006–2009. Numbers in parentheses show the number of countries included in the analysis in each region.



^a DOTS includes the cost of clinic visits and hospitalization.

^b "Other" includes PPM, PAL, CBTC, operational research, surveys and other.

FIGURE 3.15
Total TB control costs: the Global Plan compared with country plans^a and available funding, high-burden countries, 2006–2009



^a Costs of country plans are based on expenditures (2006–2007) and budgets (2008–2009).

^b "Other" includes PPM, PAL, CBTC, operational research, surveys and other.

^c DOTS includes the cost of clinic visits and hospitalization.

increasing (the exception being countries in the South-East Asia Region where the trend is relatively flat) and are mostly accounted for by DOTS implementation.

3.4 Comparisons with the Global Plan

The Global Plan sets out what needs to be done between 2006 and 2015 to achieve the 2015 targets for TB control that have been set within the context of the Millennium Development Goals (MDGs) and by the Stop TB Partnership (see also CHAPTER 1 and CHAPTER 2). To assess the extent to which planning and financing for TB control at country level are aligned with the Global Plan, the financial resources estimated to be required for TB control in the Global Plan can be compared with the financial data reported by countries.

3.4.1 High-burden countries

The cost of TB control and available funding reported by countries during the period 2006–2009 are compared with the funding requirements included in the Global Plan in FIGURE 3.15.¹ In 2006, actual costs (based on expenditure data) were slightly above those estimated to be required in the Global Plan, although there were shortfalls for collaborative TB/HIV activities and ACSM. From 2007 to 2009, the total funding requirements set out in country plans almost match those included in the Global Plan (for example, US\$ 2.9 billion and US\$ 3.0 billion respectively in 2009). However, available funding falls short of the amounts included in country plans and the Global Plan. The gap was US\$ 0.3 billion in 2007 and US\$ 0.8 billion in 2009.

For MDR-TB and collaborative TB/HIV activities, the funding estimated to be required in the Global Plan is much higher than the funding estimated to be required by countries. For MDR-TB, the shortfall is mainly accounted for by China and India. In contrast, the funding estimated to be required for DOTS by countries is higher than the funding estimated to be required in the Global Plan.

These aggregated comparisons conceal the fact that five HBCs have planned costs consistent with those detailed in

the Global Plan in 2009: Brazil, Cambodia, the Democratic Republic of the Congo, Thailand and the United Republic of Tanzania. In addition, there are five countries in which the discrepancy is due to the mid-2007 revision of the MDR-TB component of the Global Plan to include much more ambitious targets.² With the exception of MDR-TB, country plans are consistent with the Global Plan in China, Indonesia, the Philippines, the Russian Federation and Viet Nam (ANNEX 1).

For collaborative TB/HIV activities, the shortfall is mainly in Cambodia, the Democratic Republic of the Congo, Ethiopia, Kenya, India, Mozambique, Myanmar, Nigeria, Uganda and Zimbabwe. In these countries, the shortfall is exaggerated because the funding requirements for several collaborative TB/HIV activities (including the most costly ones such as ART) are part of the budgets of national AIDS control programmes, rather than NTPs.³ For ACSM, there are five countries with ACSM budgets comparable to or larger than those indicated in the Global Plan: Brazil, Cambodia, Kenya, Pakistan and the Philippines.

Country-by-country comparisons with the Global Plan are presented in ANNEX 1.

3.4.2 All countries

The financial data submitted to WHO allow total TB control costs for 2009 to be estimated for 94 of the 171 countries that were included in the Global Plan (22 HBCs and 72 other countries).⁴ These 94 countries account for 93% of all incident cases of TB arising each year.⁵

¹ See ANNEX 2 for an explanation of how costs for individual countries were derived from the Global Plan.

² *The Global MDR-TB & XDR-TB response plan, 2007–2008*. Geneva, World Health Organization, 2007 (WHO/HTM/TB/2007.387).

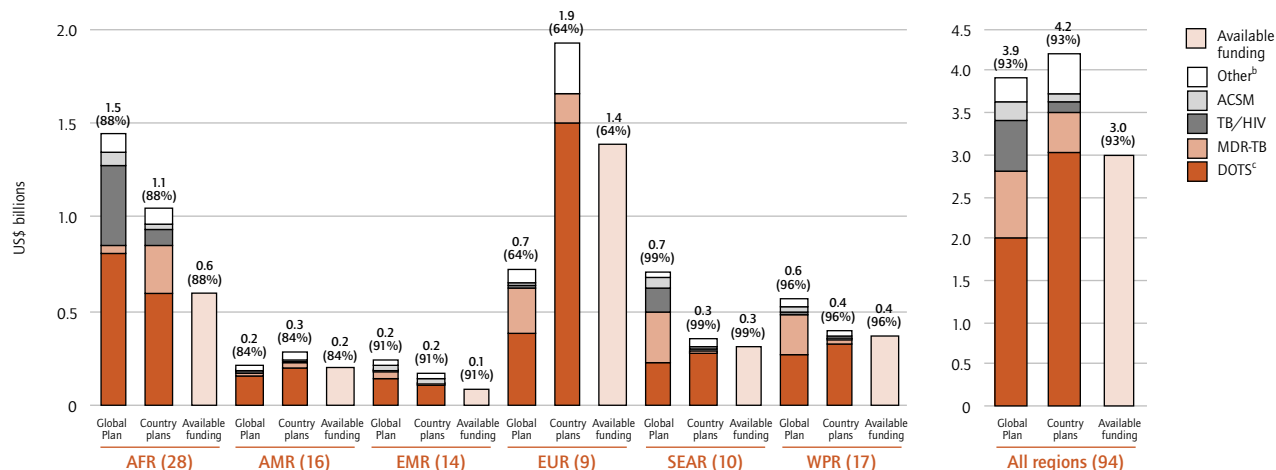
³ In most of the countries that reported data, the costs of HIV testing, co-trimoxazole preventive therapy and antiretroviral treatment were part of the budgets of national AIDS control programmes rather than the budgets of NTPs.

⁴ Of the 103 countries included in FIGURE 3.6, nine were not considered in the Global Plan cost estimates.

⁵ All of the 171 countries included in the Global Plan accounted for 98% of TB cases globally in 2004.

■ **FIGURE 3.16**

Total TB control costs in 22 high-burden countries and 72^a other countries: the Global Plan compared with country plans and available funding, 2009. Numbers in parentheses above bars show the percentage of all estimated incident cases of TB in the region that are accounted for by the countries included in the bar. Numbers in parentheses on the x-axis show the number of countries contributing to each bar.



^a Canada, Cyprus, Malta, the Netherlands, Portugal, Serbia, Slovakia, the former Yugoslav Republic of Macedonia and Switzerland are excluded because they were not included in the Global Plan.
^b "Other" includes PPM, PAL, CBTC, operational research, surveys and other.
^c DOTS includes the cost of clinic visits and hospitalization.

A regional comparison of costs planned by countries with the costs included in the Global Plan is shown for these 94 countries in **FIGURE 3.16**. Overall, country plans indicate planned costs of US\$ 4.2 billion in 2009 (up from US\$ 3.1 billion in 2008 and US\$ 2.3 billion in 2007), compared with US\$ 3.9 billion in the Global Plan, and available funding of US\$ 3.0 billion. Of the available funding of US\$ 3.0 billion, 87% is funding from governments (including loans), 9% is funding from Global Fund grants and 4% is funding from donors other than the Global Fund.

The total of US\$ 4.2 billion required for full implementation of country plans in these countries in 2009 is mostly for DOTS (US\$ 3.0 billion, or 72%). The other major components are MDR-TB (US\$ 0.5 billion, or 12%; 76% of the total for MDR-TB is accounted for by the Russian Federation and South Africa), collaborative TB/HIV activities (US\$ 120 million, or 3%) and ACSM (US\$ 100 million, or 2%). The remaining 11% includes PPM, surveys of the prevalence of TB disease, community TB care and a variety of miscellaneous activities.

The apparent similarity between the Global Plan and country plans when data are aggregated for all countries is distorted by the comparatively high cost of country plans in the European Region. As **FIGURE 3.16** makes clear, the funding estimated to be required for MDR-TB in country plans falls far short of Global Plan estimates in the South-East Asia and Western Pacific regions. This is consistent with the relatively small number of cases of MDR-TB that countries in these regions (notably China and India) expect to diagnose and treat in 2009 (as documented in **CHAPTER 2**). Country plans also indicate lower planned spending on collaborative TB/HIV activities compared with the Global Plan in the African Region, which has 79% of the estimated global total of HIV-positive TB cases. This is consistent with data on the

current level of implementation of collaborative TB/HIV activities (**CHAPTER 2**), although the difference (as noted above) is exaggerated because the planned activities and associated funding of national AIDS control programmes are not included in the data reported by NTPs.¹ It is only in the Eastern Mediterranean Region and the Region of the Americas that country plans appear to be consistent with the Global Plan.

Excluding the European Region, the funding gaps reported by countries amount to US\$ 0.6 billion in 2009 (US\$2.3 billion required compared with US\$ 1.7 billion available). Compared with the needs set out in the Global Plan, the gap is US\$ 1.6 billion (US\$ 3.2 billion required according to the Global Plan compared with available funding of US\$ 1.6 billion). In the European Region, the funding available in 2009 exceeds the funding estimated to be required in the Global Plan. One explanation is the reductions anticipated in the Global Plan in the use of hospitalization during treatment, which are not happening in practice.

These differences between the funding requirements set out in country plans and the Global Plan suggest that country planning, budgeting and financing lag behind the Global Plan in three major areas: DOTS and collaborative TB/HIV activities in Africa, and diagnosis and treatment of MDR-TB in the European, South-East Asia and Western Pacific regions (and within these regions, in the Russian Federation, India and China in particular).

¹ This may also explain the higher costs of collaborative TB/HIV activities in the Global Plan compared with country plans in the South-East Asia Region. For example, the only TB/HIV-related costs included in the NTP budget in India are those for HIV testing of TB patients, which is a relatively inexpensive intervention. In India, it is not known to what extent other activities are budgeted for and funded by the national AIDS control programme.

WHO has developed a planning and budgeting tool that is designed to help countries to align their plans and budgets with the Stop TB Strategy and the targets set out in the Global Plan, as well as to produce more accurate country-specific estimates of the financial resources required to achieve these targets.¹ The development and use of this tool is described in **BOX 3.1**.

3.5 Budgets and costs per patient

Budgets and costs per patient in HBCs are shown in **TABLE 3.2**. The budget for first-line anti-TB drugs per patient is lowest in Cambodia (US\$ 18) and highest in Brazil (US\$ 121), Thailand (US\$ 161) and the Russian Federation (US\$ 308). In most countries, the budget is in the range US\$ 20–40, with a median of US\$ 33.

The budget per patient for DOTS treatment also varies. Only two countries (India and Myanmar) have budgets below US\$ 100 per patient. A total of four countries have budgets in the range US\$ 100–200 per patient, four are in the range US\$ 200–300 and seven are in the range US\$ 300–600.² The four countries with a budget per patient exceeding US\$ 600 are Brazil, Mozambique, the Russian Federation and Thailand. Of these, all except Mozambique are middle-income countries where budgets are expected to be higher, although the budget of US\$ 9292 per patient in the Russian Federation is exceptionally high compared with all other HBCs. As noted in **SECTION 3.2**, these high costs can be explained by extensive use of hospitalization during treatment.

In 2009, the total cost per patient treated in a DOTS programme is estimated at under US\$ 100 in only one country: Myanmar. It is in the range US\$ 100–300 in seven countries, and US\$ 300–500 in nine countries (up from three in 2007 and 2008). Four countries have much higher costs: Brazil, Mozambique, the Russian Federation and Thailand. As already noted, three of these countries are middle-income countries with generally higher prices for the inputs needed for TB control, while the Russian Federation also has large budgets for MDR-TB treatment as well as maintenance of hospital infrastructure. The relatively high cost for Mozambique relative to other African countries is mainly due to comprehensive budgeting for collaborative TB/HIV activities.

Among the low-income countries, there is no obvious relationship between the cost per patient treated and GNI per capita. For example, in India the cost per patient treated is low relative to income levels, while in the Democratic Republic of the Congo and Mozambique this cost is relatively high compared with GNI per capita (data not shown). Overall, budgets and costs per patient are generally increasing, with a median increase of 350% per patient in the NTP budget per patient and a median increase in the total cost per patient of 240% (although the median increase for first-line drugs was only 20%).

¹ See http://www.who.int/tb/dots/planning_budgeting_tool/en/index.html

² Figures were not calculated for South Africa because the financial data available for 2009 were not complete. See also **FIGURE 3.1**.

BOX 3.1

Planning and budgeting for TB control: the WHO TB planning and budgeting tool

The WHO TB planning and budgeting tool is designed to help countries to develop comprehensive plans and budgets for TB control within the framework of the Stop TB Strategy and the Global Plan to Stop TB, and to use these as the basis for resource mobilization from national governments and donors. The tool was developed with support from USAID's TB Control Assistance Program, and can be downloaded (together with accompanying documentation) from the Stop TB Department's web site http://www.who.int/tb/dots/planning_budgeting_tool/en/.

Major advantages of using the tool include: (i) it allows plans and budgets to be set out comprehensively in one place in a standardized format; (ii) it offers a ready-made list of inputs and activities to consider when planning and budgeting for each component of the Stop TB Strategy; (iii) it includes epidemiological and demographic projections as well as information about the targets set out in the Global Plan; (iv) it provides a solid foundation for resource mobilization from national and local governments as well as donors such as the Global Fund; (v) it is easy to revise or update plans and budgets because it is set out in Excel; and (vi) it automatically produces summary analyses in the form of figures and tables. Overall, these benefits should help to improve the quality of planning and budgeting.

A draft version of the tool was developed in April–May 2006. Following extensive field-testing in countries in the African and South-East Asia regions and the Region of the Americas, a final version with was produced by January 2007. The tool was translated into English, French, Spanish and Russian.

Promotion and practical application of the tool started in 2007. Four planning and budgeting workshops were conducted: two in the African Region for a total of 34 countries; one in the South-East Asia region for nine countries; and one in the Region of the Americas for 11 countries. Two training workshops have also been conducted: one for seven countries in Latin America and one for three countries in the Western Pacific Region. During these workshops, feedback about the tool was very positive. Other examples of how the tool has been disseminated include presentations at workshops for the development of Global Fund proposals, presentations at international meetings and regional NTP manager meetings; a training workshops for technical partners and staff from WHO regional and country offices, and inclusion of the tool in an international course on management and budgeting organized annually by the International Union Against Tuberculosis and Lung Disease.

To date, 27 countries are known to have used the tool to budget their national strategic plans for TB control. The Democratic Republic of the Congo, Ethiopia, Kenya, Mozambique, Myanmar, Thailand and Zambia are examples of countries that have developed particularly comprehensive and detailed plans and budgets using the tool. Most of the countries that have attended one of the workshops have used the tool to budget at least some of the components of the Stop TB Strategy. Others have used it to develop the budget component of a Global Fund proposal. A recent example is Indonesia, whose proposal was rated Category 1 (recommended for funding with no or minor clarifications).

In future, the tool could provide the basis for National Strategy Applications (NSAs) to the Global Fund.

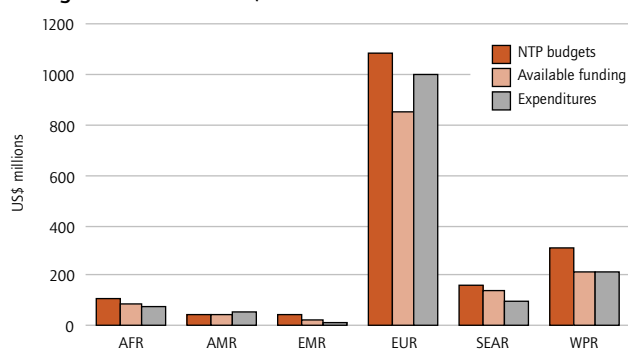
TABLE 3.2
Total TB control costs and NTP budgets per patient for DOTS treatment, high-burden countries, 2009

	2009 (US\$)			CHANGES SINCE 2002, (FACTOR ^a)		
	FIRST-LINE DRUGS BUDGET	NTP BUDGET (EXCLUDING MDR-TB)	TOTAL COST (EXCLUDING MDR-TB)	FIRST-LINE DRUGS BUDGET	NTP BUDGET (EXCLUDING MDR-TB)	TOTAL COST (EXCLUDING MDR-TB)
1 India	22	80	111	2.2	3.5	1.9
2 China	28	226	226	1.7	1.7	1.7
3 Indonesia	48	288	307	1.5	2.5	2.3
4 Nigeria	25	351	442	0.5	2.7	2.0
5 South Africa	—	—	—	—	—	—
6 Bangladesh	24	104	144	1.2	1.3	1.2
7 Ethiopia	24	166	220	0.9	3.8	3.4
8 Pakistan	58	205	221	1.0	4.5	2.4
9 Philippines	34	112	193	0.7	0.9	1.0
10 DR Congo	27	359	447	0.8	3.9	2.6
11 Russian Federation	308	9292	9491	4.7	2.0	2.5
12 Viet Nam	50	120	254	1.5	1.4	1.3
13 Kenya	21	331	378	0.6	6.4	3.9
14 Brazil	121	812	1234	2.7	4.9	2.6
15 UR Tanzania	28	407	480	0.7	5.0	2.6
16 Uganda	74	327	351	1.4	7.0	5.2
17 Zimbabwe	68	396	491	2.3	12	7.0
18 Thailand	161	810	827	—	—	—
19 Mozambique	28	679	847	1.3	9.8	6.2
20 Myanmar	33	73	87	1.9	3.5	1.6
21 Cambodia	18	264	329	0.4	2.0	1.7
22 Afghanistan	37	329	368	0.5	1.1	3.2
High-burden countries (median value)	33	327	351	1.2	3.5	2.4

— Indicates not available.

^a Calculated as 2009 value divided by 2002 value.

FIGURE 3.17
NTP budgets, available funding and expenditures by region, 19 high-burden countries,^a 2007



^a AFR excludes South Africa and Uganda. SEAR excludes Thailand.

3.6 Expenditures compared with available funding and changes in the number of patients treated

Countries that have received large increases in funding face two important challenges: to spend the extra money, and to translate extra spending into improved rates of case detection and treatment success. To date, WHO has been able to conduct analyses for the HBCs only.

The ability to mobilize resources can be assessed by comparing available funding with budgets, and the ability to use financial resources can be assessed by comparing expenditures with available funding (TABLE 3.3; FIGURE 3.17; FIGURE 3.18). The latest year for which data are available for all three indicators is 2007. In 2007, Bangladesh, Ethiopia, India and Indonesia were the most successful of the HBCs in mobilizing funds for their budgets, while Afghanistan, Cambodia, Myanmar and Uganda were least successful (TABLE 3.3). Most HBCs reported spending a high proportion of their available funding, and in some cases the funds that were raised and spent exceeded the original budget (TABLE 3.3).¹ Three countries had expenditures that appeared to be particularly low relative to available funding: Bangladesh, Mozambique and Viet Nam. Review of the financial data reported by these

¹ This explains why the value of expenditures in 2007 as a percentage of the available funding prospectively reported in 2007 (final column of TABLE 3.3) exceeds 100.

TABLE 3.3
NTP budgets, available funding and expenditures (US\$ millions), high-burden countries, 2007

	NTP BUDGET	AVAILABLE FUNDING ^a	EXPEN-DITURES ^b	AVAILABLE FUNDING AS % OF NTP BUDGET	EXPEN-DITURES AS % OF AVAILABLE FUNDING ^c
1 India	63	63	67	100	106
2 China	272	181	188	66	104
3 Indonesia	59	59	27	100	46
4 Nigeria	29	20	21	69	105
5 South Africa	378	—	—	—	—
6 Bangladesh	21	21	2.2	100	11
7 Ethiopia	8.9	8.9	8.2	100	92
8 Pakistan	29	18	10	62	55
9 Philippines	19	17	20	89	117
10 DR Congo	24	15	15	62	105
11 Russian Federation	1 078	846	991	78	117
12 Viet Nam	16	12	4.3	77	35
13 Kenya	29	18	18	63	97
14 Brazil	51	42	59	82	140
15 UR Tanzania	8.2	—	11	—	—
16 Uganda	11	4.2	—	38	—
17 Zimbabwe	3.9	2.6	2.2	68	83
18 Thailand	—	—	40	—	—
19 Mozambique	11	8.9	3.5	78	40
20 Myanmar	16	3.1	3.1	19	100
21 Cambodia	8.5	4.0	5.0	47	124
22 Afghanistan	14	3.2	2.2	22	71
High-burden countries	2 151	1 347	1 498	70^d	86^d

— Indicates not available.

^a Based on budget data, reported prospectively in 2007.

^b Based on actual expenditures, reported in 2008.

^c Figures can be above 100% when additional funds were mobilized after reporting of data about budgets and sources of funding in 2007.

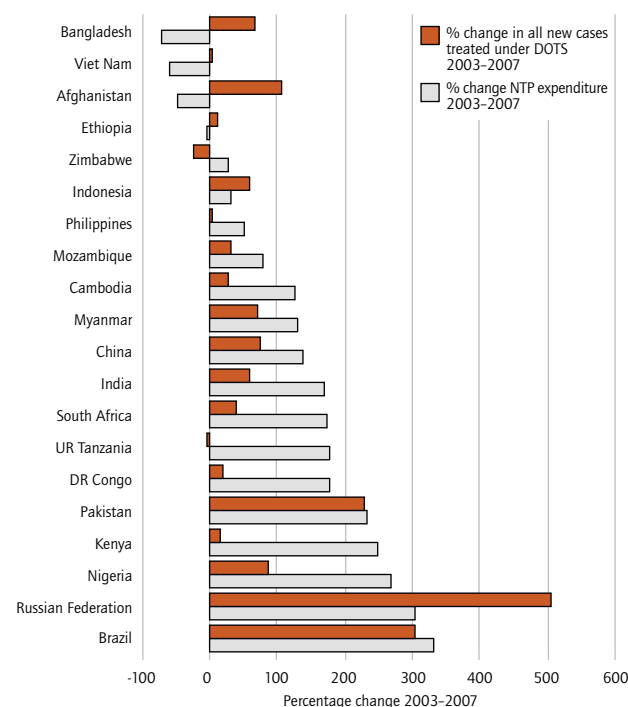
^d Mean values.

countries suggests that this reflects underreporting of expenditure data, at least in Bangladesh and Viet Nam (see also **FIGURE 3.9**).

When country data for the HBCs are aggregated by region (**FIGURE 3.17**), the ability to mobilize resources was best in the South-East Asia Region and the Region of the Americas, and worst in the Eastern Mediterranean Region. The ability to spend available resources was best in the Western Pacific Region and the Region of the Americas. It appeared to be worst in the South-East Asia, but this finding is affected by apparent underreporting of expenditures in Bangladesh and a temporary cessation of funding from a Global Fund grant in Indonesia.

The ability to translate spending into an increased number of detected and treated patients can be assessed by comparing changes in expenditures 2003–2007 with changes in the number of TB patients treated in 2003–2007 (**FIGURE 3.18**; 2007 is the most recent year for which both case notification and expenditure data are available). Of the 20 HBCs for which data were available, all except one (the United Republic of Tanzania) of the 16 countries that increased spending between 2003 and 2007 also increased the number of new cases that were detected and treated in DOTS programmes

FIGURE 3.18
Change in NTP expenditure and change in all types of patients treated under DOTS, 20 high-burden countries, ^{a,b,c} 2003–2007



^a Countries ranked by percentage change in NTP expenditure.

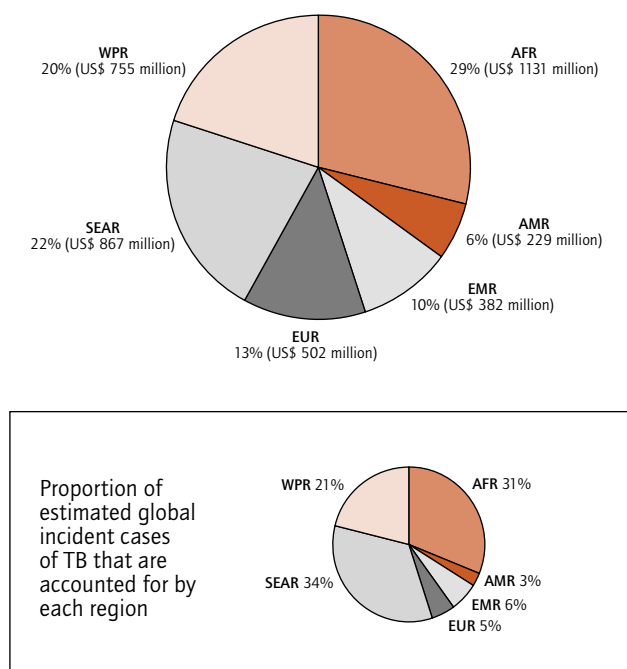
^b Expenditure data not available for Thailand and Uganda. Comparison for Kenya is between 2007 and 2004. For South Africa the comparison is between 2006 and 2005.

^c Expenditure data for Afghanistan, Bangladesh and Viet Nam appear incomplete. See also **FIGURE 3.9**.

(a similar relationship applied for new smear-positive cases specifically; data not shown). For the United Republic of Tanzania, the explanation may be that much of the increased expenditure was for collaborative TB/HIV activities, which (with the exception of intensified TB case-finding in people who are HIV-positive) are not expected to increase the number of cases detected and treated in DOTS programmes.

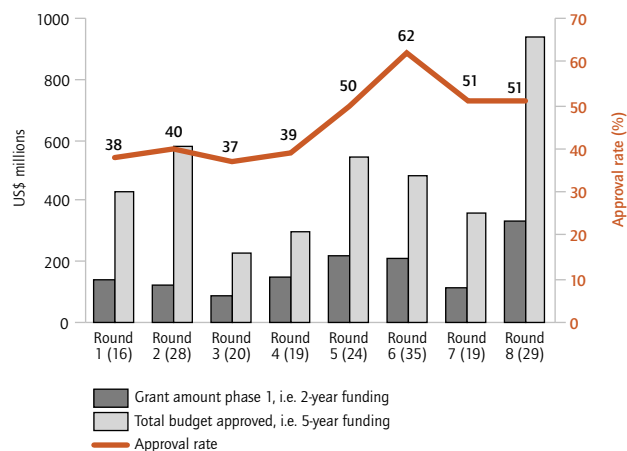
The relationship between increased expenditure and changes in the total number of patients treated was, however, variable. In Brazil, Indonesia, Pakistan and the Russian Federation, the increase in the number of patients treated under DOTS exceeded or approached the increase in expenditures. In Brazil and the Russian Federation, increasing the number of cases treated under DOTS should be easier than in other countries, since it requires mainly a substitution of DOTS for non-DOTS treatment rather than an increase in total case notifications. There was an almost one-to-one relationship between increased expenditures and increased notifications of new cases under DOTS in Pakistan. At the other end of the spectrum, four countries (Afghanistan, Bangladesh, Ethiopia and Viet Nam) reported lower expenditures in 2007 compared with 2003, although none of these countries reported a fall in the number of cases treated. While the data

FIGURE 3.19
Global Fund commitments for TB control by region, as of end 2008^a



^a Refers to the total budgets approved in rounds 1–8.

FIGURE 3.20
Global Fund commitments and proposal approval rate by round. Numbers under bars show the number of TB proposals approved in each round.



are plausible for Ethiopia (given high investments in 2003), it seems likely that expenditures have been underreported in the other three countries.

3.7 Global Fund financing

3.7.1 High-burden countries

After eight rounds of proposals, the total value of approved proposals in the HBCs is US\$ 2.3 billion; the amounts in the Phase 1 grant agreements (that is, for grants covering the first two years of the proposal) total US\$ 632 million (data not shown). The Global Fund is the single most important source of external financing in HBCs (65% of total grant financing); seven countries (Afghanistan, Bangladesh, Cambodia, Nigeria, the Philippines, Uganda and Viet Nam) rely on grants from the Global Fund to finance more than 25% of their NTP budgets. Only Myanmar does not have a Global Fund grant.

By the end of 2008, US\$ 719 million had been disbursed. Across all grants and countries, the actual disbursement rate is very similar to the expected rate,¹ although there is variation among countries. Disbursements were higher than expected in 16 out of 56 grants, similar to what is expected in six grants and less than expected in 34 grants (data not shown). Countries for which disbursements are particularly low in relation to the expected disbursement of funds include Bangladesh (round 5), India (round 3), Indonesia (round 5, probably linked to a temporary cessation of funding in 2007), Kenya (round 2) and Uganda (round 6).

3.7.2 All countries

In eight funding rounds between 2002 and 2008, the Global Fund approved proposals worth a total of US\$ 3.9 billion for TB control in 102 countries, out of total commitments for HIV, TB and malaria of around US\$ 15 billion.² The African Region has the single largest share of grants for TB control, at 29% (FIGURE 3.19), which is similar to its share of the global burden of TB (31%). The South-East Asia and Western Pacific regions have the second and third highest funding in absolute terms, but less than might be expected given their share of the global burden of TB (42% of total funding compared with 55% of estimated cases). The share of total funding approved for the Eastern Mediterranean Region, the European Region and the Region of the Americas (10%, 13% and 6% respectively) is much higher than these regions' share of the global burden of TB (6%, 5% and 3%).

The value of approved proposals for TB control was highest in absolute terms in round 8 and relatively high in rounds 2, 5 and 6 (FIGURE 3.20). The percentage of proposals that were approved was highest in round 6, at 62%.³

¹ The expected rate assumes that disbursements are spread evenly over the two- or five-year period of the grant agreement following the programme start date.

² The Global Fund has committed US\$ 15.2 billion in rounds 1–8 for HIV, TB and malaria; grant agreements worth US\$ 10.3 billion have been signed and US\$ 7.2 billion has been disbursed. See www.theglobalfund.org/en/commitmentsdisbursements.

³ Calculated as the number of proposals approved divided by the number of proposals reviewed by the Global Fund's Technical Review Panel.

An analysis of the components of TB control for which countries requested funding in rounds 6 to 8 is presented in **BOX 3.2**.

3.8 Funding gaps and the global financial crisis

The global financial crisis that developed in 2008 has been followed by either a halt to economic growth or an economic recession in most of the world's biggest economies, including the United States, Japan, Germany, the United Kingdom, Italy, Spain and the European Union as a whole. The International Monetary Fund has predicted that the global economy will grow by just 0.5% in 2009 (compared with 3.4% in 2008), its lowest rate for 60 years.¹ The consequences of economic slowdown and recession will be widespread, and the likely implications for global health are already being debated.^{2,3} The consequences for financing of TB control specifically are unpredictable, but while funding in 2009 is slightly higher than in previous years, funding gaps are likely to become more difficult to fill. In the next 2–3 years, the WHO financial monitoring system set up in 2002 will allow changes in the total level of funding as well as sources of funding in the aftermath of the global financial crisis to be identified.

The 22 HBCs have reported a combined funding gap for TB control in the range of US\$ 0.5–0.7 billion in 2009, while the funding gap reported for 111 countries (the 22 HBCs plus 89 other countries) amounts to US\$ 0.9–1.1 billion in 2009. The main options for filling these funding gaps are (i) increasing the number and size of grants awarded for TB control by the Global Fund and other major donors and (ii) an increase in domestic funding.

There does appear to be potential to increase grants from the Global Fund. The US\$ 3.9 billion committed thus far for TB control (**SECTION 3.7**) represents 25% of total commitments to date. If funds were split evenly among the three global health priorities supported by the Global Fund (AIDS, TB and malaria), grants for TB control would be US\$ 5.0 billion, or US\$1.1 billion more than their existing level. With commitments currently spread over 11 years, this would be equivalent to around US\$ 460 million per year, instead of the current value of approximately US\$ 350 million per year.

An increase in financing for TB control from the Global Fund to US\$ 500 million per year would reduce but certainly not eliminate the funding gaps that have been reported. However, if funding gaps in four middle-income countries with greater domestic resources (Brazil, China, the Russian Federation and South Africa) are excluded, the gaps reported by HBCs fall to about US\$ 200 million in 2009. In the

BOX 3.2

Funding requested from the Global Fund in rounds 6 to 8

The Global Fund issued eight calls for proposals between 2002 and 2008. For rounds 6–8, it is possible to analyse the components of TB control for which countries sought funds according to the major components of the Stop TB Strategy.

In rounds 6–8, the Global Fund approved 85 TB proposals. Most of the funding that was approved was for DOTS (56%), which was defined to include programme management and supervision, laboratory strengthening, training, patient support, human resource development, first-line drugs and monitoring and evaluation. In round 8, there was a clear increase in the total funds approved for DOTS compared with previous rounds. This increase was mainly accounted for by increased funding for laboratory strengthening and an increase in the expected number of patients to be treated in DOTS programmes. Management of MDR-TB, including coordination activities, second-line drugs and laboratory strengthening specific to the diagnosis of drug resistance, was the second largest component (20%). The funds approved for MDR-TB increased steadily in absolute terms between round 6 and round 8, linked to an increase in the planned number of patients to be treated for MDR-TB. ACSM and community-based TB care accounted for 11% of requested funding in rounds 6 to 8.

The remaining funding that was approved in rounds 6 to 8 was accounted for by health system strengthening, including the Practical Approach to Lung Health (5%), activities to control TB in high-risk populations and infection control (4%), collaborative TB/HIV activities (3%) and activities to engage all care providers (1%). Although it is likely that some of the costs for public-private mix initiatives are included under other headings (such as first-line drugs and programme management), the amount appears surprisingly small given the need to ensure that all providers diagnose and treat TB patients according to the International Standards for Tuberculosis Care. A possible explanation for the small amount of funding requested for collaborative TB/HIV activities is that funds were requested mainly for coordination activities, while the funds for interventions such as CPT and ART are requested via HIV proposals. In future, the funding requested for infection control is expected to increase, linked to new policy guidance.

¹ *IMF Survey Magazine* [Online magazine] (available at <http://www.imf.org/external/pubs/ft/survey/so/2009/res012809a.htm>; accessed February 2009).

² *The Financial Crisis and Global Health. Report of a High-Level Consultation, World Health Organization, Geneva, 19 January 2009* [Information Note 2009/1]. Geneva, World Health Organization, 2009 (available at http://www.who.int/mediacentre/events/meetings/2009_financial_crisis_report_en_.pdf; accessed February 2009).

³ The global financial crisis: an acute threat to health. *Lancet*, 2009, 373:355–356.

TABLE 3.4
Financial indicators,^a high-burden countries, 2009

	NTP BUDGET PER CAPITA (US\$)	TOTAL TB CONTROL COSTS PER CAPITA (US\$)	FUNDING GAP PER CAPITA (US\$)	GOVERNMENT EXPENDITURE ON HEALTH PER CAPITA (US\$) ^b	TOTAL EXPENDITURE ON HEALTH PER CAPITA (US\$) ^b	GOVERNMENT HEALTH SPENDING USED FOR TB CONTROL (%) ^c	TB GAP AS PERCENTAGE OF GENERAL GOVERNMENT HEALTH SPENDING ^c
1 India	0.08	0.1	0.02	6.8	36	1.8	0.4
2 China	0.2	0.2	0.01	31	81	0.5	0.02
3 Indonesia	0.3	0.4	0.1	12	26	3.2	0.6
4 Nigeria	0.3	0.4	0.1	8.4	27	4.6	1.6
5 South Africa	7.2	12.3	—	182	437	—	—
6 Bangladesh	0.1	0.1	0.001	3.4	12	4.0	0.02
7 Ethiopia	0.3	0.4	0.2	3.9	6.4	11	5.9
8 Pakistan	0.3	0.3	0.1	2.5	15	14	6.3
9 Philippines	0.2	0.4	0.05	14	37	2.9	0.4
10 DR Congo	0.8	1.0	0.6	1.7	5.0	64	37
11 Russian Federation	8.9	9.0	1.6	171	277	5.2	0.9
12 Viet Nam	0.1	0.3	0	9.6	38	3.3	0
13 Kenya	0.9	1.1	0.4	11	24	11	3.7
14 Brazil	0.3	0.5	0.1	164	371	0.3	0.04
15 UR Tanzania	0.6	0.7	0.2	9.5	17	7.9	2.0
16 Uganda	0.5	0.6	0.3	6.4	22	9.9	5.8
17 Zimbabwe	1.3	1.6	0.7	9.2	21	18	7.8
18 Thailand	0.8	0.8	0.05	63	98	1.3	0.1
19 Mozambique	1.1	1.4	0.3	9.2	15	16	3.2
20 Myanmar	0.2	0.3	0.1	0.4	4.0	62	21
21 Cambodia	0.7	0.9	0.3	6.9	29	14	3.9
22 Afghanistan	0.3	0.4	0.01	4.0	20	11	0.3
High-burden countries (mean value)	1.2	1.5	0.2	33	73	13	4.8

— Indicates not available.

^a For definition of how financial indicators are calculated see ANNEX 2. Data for South Africa are for 2008.

^b Latest data available are for 2005. Source: *National health accounts* [online database]. Geneva, World Health Organization, 2008.

^c The indicators in these columns will be overestimates if government health expenditure has increased since 2005. Furthermore, there is uncertainty around the denominator used to calculate these indicators.

89 non-HBCs that reported data, funding gaps amount to US\$ 120 million in 2009 (instead of US\$ 423 million) when upper middle-income countries (defined as those with a GNI per capita of \geq US\$ 3706) are excluded. Filling funding gaps via the Global Fund appears much more feasible in this context, but still depends on (i) the submission of high-quality and sufficiently ambitious proposals including well-justified budgets and (ii) the criteria used to determine which countries are eligible to apply for funding.

While funding gaps currently identified by low and lower-middle income countries could in theory be closed via applications to the Global Fund, closing gaps in upper-middle income countries as well as the additional gap that will open up if all countries plan in line with the Global Plan will require other sources of funding. The two other major options are external resource mobilization from donors other than the Global Fund and an increase in domestic financing.

Besides grant funding from the Global Fund, the (United States) President's Emergency Plan for AIDS Relief is the other major source of donor funding for health. The plan supports HIV prevention, treatment and care, of which collaborative TB/HIV activities is one part, in most of the African HBCs as well as Viet Nam. With billions of dollars per year avail-

able through this plan, it is important that collaborative TB/HIV activities and related aspects of TB control (for example, laboratory strengthening) are supported as much as possible. UNITAID¹ is also a source of donor funding for TB diagnostics and anti-TB drugs. At the end of 2008, UNITAID had committed support for first-line and second-line anti-TB drugs in 66 countries up to 2011. This support includes funding for first-line anti-TB drugs provided through the Global Drug Facility (GDF) for 876 000 patients during the period 2007–2009 and for a further 4530 patients for the first two years of grants approved in round 6 of the Global Fund; funding for second-line anti-TB drugs for the treatment of 4716 patients with MDR-TB during 2007–2011; and funding for paediatric anti-TB drugs provided through the GDF for 750 000 patients during 2007–2010.

Increasing domestic financing for TB control would mean a major shift from trends during the period 2002–2009, when almost all of the increase in domestic funding among the 22 HBCs was accounted for by Brazil, China and the Russian Federation. Two ways to assess the extent to which countries can mobilize more domestic funds are (i) to compare the percent-

¹ <http://www.unitaid.eu/>

age of funding being provided from domestic sources with a country's national income (measured as GNI per capita) to assess differences between countries with similar income levels (FIGURE 3.12) and (ii) to compare costs and funding gaps per capita with total government health expenditure per capita (TABLE 3.4).

Comparing countries with similar income levels and a similar TB burden suggests that there is scope for increasing domestic funding in several countries, including Indonesia (compared with the Philippines), Pakistan (compared with India) and Kenya (compared with Viet Nam). Comparing costs and funding gaps per capita with government health expenditure suggests that the countries with the most capacity to fund TB control from domestic resources are Brazil, China and Thailand, followed by India, the Philippines, Indonesia and the Russian Federation. The countries with the least capacity to increase funding from domestic sources include the African countries (except South Africa) as well as Cambodia and Myanmar. Furthermore, much of the gap between the expectations set out in the Global Plan and existing country plans is accounted for by MDR-TB treatment in China and India. While affected by the global financial crisis, these countries' economies are still expected to grow by 6.75% and 5% respectively in 2009.¹

3.9 Summary

The financial data reported to WHO in 2008 are the most complete since financial monitoring began in 2002, with more than 100 countries that collectively account for 93% of the world's estimated TB cases providing the entire budget and funding data that were requested. Expenditure data continue to be more challenging to report, but 92 countries submitted a complete report in 2008.

The data show that funding for TB control has increased year-on-year since 2002. Among 94 countries that reported complete data, which account for 93% of TB cases globally and which were among the 171 countries considered in the Global Plan, available funding reached US\$ 3.0 billion in 2009. Most of this funding (87%) will be provided by national governments, with the remainder provided by the Global Fund (9%) and other donors (4%). Among the 22 HBCs in which 80% of incident cases of TB occur, a total of US\$ 2.2 billion is available in 2009, a small increase of US\$ 27 million compared with 2008 but substantially above the US\$ 1.2 billion that was spent on TB control in 2002. Most of the increased funding in HBCs since 2002 has come from domestic funding in Brazil, China and the Russian Federation, and external financing from the Global Fund. Of the US\$ 2.2 billion available in the 22 HBCs in 2009, 88% is from HBC governments, 8% (US\$ 169 million) is from the Global Fund and 4% (US\$ 94 million) is from grants from sources other than the Global Fund. The distribution of funding sources is strikingly different when the Russian Federation and South Africa are excluded: the government contribution to available funding drops to 70%, the Global Fund contribution increases to 19% and grants from sources besides the Global Fund account for 11%.

Despite the increase in funding for TB control that has occurred over the past eight years, large funding gaps remain. Countries have identified funding gaps of US\$ 1.2 billion in 2009. The gap is larger still, at US\$ 1.6 billion, when available funding is compared with the funding requirements for 2009 that were estimated in the Global Plan. To close these funding gaps, additional resources will need to be mobilized from domestic sources as well as donors. This will be a major challenge in the context of a global financial crisis.

¹ IMF Survey Magazine [Online magazine] (available at <http://www.imf.org/external/pubs/ft/survey/so/2009/res012809a.htm>; accessed February 2009).

Conclusions

The main purpose of WHO's annual report on global TB control is to provide a comprehensive and up-to-date assessment of the TB epidemic and progress in controlling the disease at global, regional and country levels, in the context of global targets set for 2015.

The latest estimates of the global burden of TB are that there were 9.3 million incident cases of TB and 13.7 million prevalent cases of TB in 2007. There were also 1.3 million deaths from TB among HIV-negative people in 2007, and an additional 456 000 deaths among HIV-positive TB cases – equivalent to 23% of the total deaths attributed to HIV. The number of incident cases is increasing slowly in absolute terms due to population growth, with 86% of incident cases in Africa and Asia. Nonetheless, the number of incident cases per capita is falling slowly, both globally (with a rate of decline of less than 1% per year) and in all six WHO regions except the European Region (where rates are approximately stable). Incidence rates appear to have peaked globally in 2004, and if this is confirmed by further monitoring MDG Target 6.c – to halt and reverse incidence by 2015 – will have been achieved ten years ahead of the target date. Prevalence and mortality rates are also falling globally and in all six WHO regions. At least three of the six WHO regions – the Eastern Mediterranean and South-East Asia regions as well as the Region of the Americas – are on track to achieve the Stop TB Partnership's targets of halving prevalence and mortality rates by 2015 compared with their level in 1990. The Western Pacific Region is on track to halve the prevalence rate by 2015, but the mortality target may be narrowly missed. The African and European regions are far from achieving both targets, and for this reason it is unlikely that 1990 prevalence and death rates will be halved by 2015 for the world as a whole.

The Stop TB Strategy is WHO's recommended approach to reducing the burden of TB in line with global targets; the Stop TB Partnership's Global Plan to Stop TB has set out the scale at which the interventions included in the strategy need to be implemented in each year 2006 to 2015.

To date, DOTS is the component of the strategy that is most widely implemented and for which progress is closest to the milestones included in the Global Plan. In 2007, 5.5 million cases were notified by DOTS programmes, including 2.6 million new smear-positive cases. This is equivalent to a case detection rate of 63%, 7% short of the WHA target of detecting at least 70% of incident cases of smear-positive TB and 5% less than the Global Plan milestone of 68% for 2007. In 2006, 85% of the new smear-positive TB patients that were detected by DOTS programmes were successfully treated, exactly meeting the second WHA target. There

has also been progress in scaling up collaborative TB/HIV activities, especially in the African Region. Globally, 1 million TB patients (16% of notified cases) knew their HIV status in 2007, including 37% of notified cases in the African Region. Of the 250 000 TB patients who were known to be HIV-positive in Africa, 0.2 million were enrolled on CPT and 0.1 million were started on ART. Just under 30 000 cases of MDR-TB were notified to WHO in 2007, mostly by European countries and South Africa, and the number of cases of MDR-TB diagnosed and treated according to international guidelines is expected to increase to 14 000 in 2009. Even so, the implementation of collaborative TB/HIV activities falls short of milestones set in the Global Plan, and the expansion of diagnosis and treatment of MDR-TB falls far short of Global Plan milestones, notably in the three countries where almost 60% of the world's 0.5 million estimated cases of MDR-TB occur: China, India and the Russian Federation.

The extent to which other components of the Stop TB Strategy are being implemented is less well understood, because to date progress is more difficult to quantify. However, the integration of diagnosis and treatment into primary health care in most countries, reported alignment of strategic planning for TB control with broader health sector planning frameworks, examples of how public-private mix initiatives can contribute to increased case detection in countries such as Pakistan and the Philippines, and increased attention to advocacy, communication and social mobilization are encouraging.

Despite reductions in the global burden of TB, an estimated 37% of cases of smear-positive TB are not being treated in DOTS programmes; more than 90% of incident cases of MDR-TB are not being diagnosed and treated according to international guidelines; the majority of HIV-positive TB cases do not know their HIV status; and the majority of HIV-positive TB patients who do know their HIV status are not yet accessing ART. To accelerate progress in global TB control, these numbers need to be reduced using the range of interventions and approaches included in the Stop TB Strategy, with the necessary financial backing. In 2009, US\$ 3 billion is available for TB control, which is US\$ 1.2 billion less than countries' own estimates of their funding requirements and US\$ 1.6 billion short of the funding required according to the Global Plan. Most of the extra funding required according to the Global Plan is for MDR-TB diagnosis and treatment in the South-East Asia and Western Pacific regions (mostly in India and China), and for DOTS and collaborative TB/HIV activities in Africa. In the context of a global financial crisis, closing these funding gaps will be a major challenge.

Profiles of high-burden countries

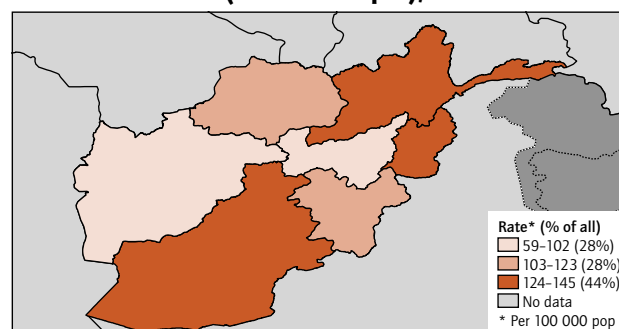
Afghanistan

Despite a difficult situation on the ground, Afghanistan achieved a case detection rate of over 60% in 2007. The treatment success rate fell below 85% for the 2006 cohort after four years above the target. TB control services are an integral part of the package of services delivered through the primary health-care system at district and provincial levels. This package is implemented largely by NGOs; a network of partners has been developed at national and international levels to provide coordinated support to the NTP. The sustainability of activities is unclear, given the unstable security situation in many areas, particularly in the southern and south-eastern regions. The involvement of private practitioners has begun but needs to be expanded beyond pilot projects. Furthermore, several components of TB control have not yet been addressed, including the management of MDR-TB, the development of collaborative TB/HIV activities and the implementation of contact investigation.

SURVEILLANCE AND EPIDEMIOLOGY

Population (thousands) ^a	27 145	
Estimates of epidemiological burden, 2007^b	ALL	IN HIV+ PEOPLE
Incidence		
All forms of TB (thousands of new cases per year)	46	0
All forms of TB (new cases per 100 000 pop/year)	168	0
Rate of change in incidence rate (%), 2006-2007	0	—
New ss+ cases (thousands of new cases per year)	21	0
New ss+ cases (per 100 000 pop/year)	76	0
HIV+ incident TB cases (% of all TB cases)	0	—
Prevalence		
All forms of TB (thousands of cases)	65	0
All forms of TB (cases per 100 000 pop)	238	0
2015 target for prevalence (cases per 100 000 pop)	218	—
Mortality		
All forms of TB (thousands of deaths per year)	8.2	0
All forms of TB (deaths per 100 000 pop/year)	30	0
2015 target for mortality (deaths per 100 000 pop/year)	25	—
Multidrug-resistant TB (MDR-TB)		
MDR-TB among all new TB cases (%)	3.3	—
MDR-TB among previously treated TB cases (%)	36	—

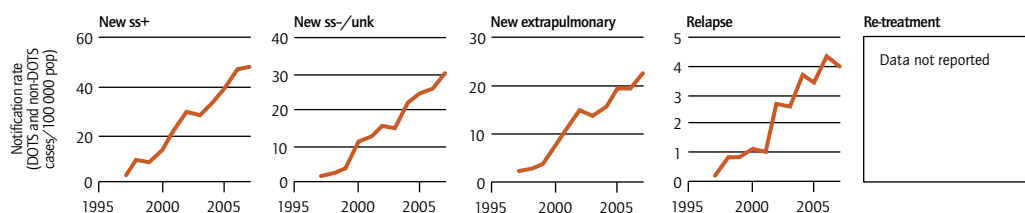
TB notification rate (new and relapse), 2007



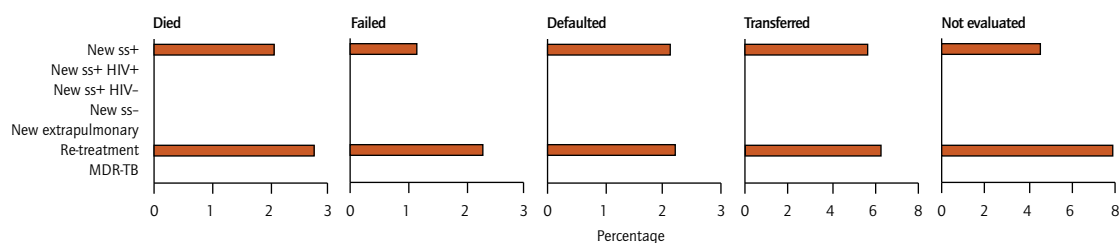
Total notifications, 2007

Notified new and relapse cases (thousands)	29
Notified new and relapse cases (per 100 000 pop/year)	106
Notified new ss+ cases (thousands)	13
Notified new ss+ cases (per 100 000 pop/year)	49
as % of new pulmonary cases	62
sex ratio (male/female)	0.5
DOTS case detection rate (% of estimated new ss+)	64
Notified new extrapulmonary cases (thousands)	6.2
as % of notified new cases	22
Notified new ss+ cases in children (<15 years) (thousands)	0.7
as % of notified new ss+ cases	5.0

Case notifications



Unfavourable treatment outcomes, 2006 cohorts



	2000	2001	2002	2003	2004	2005	2006	2007
DOTS coverage (%)	15	12	38	53	68	81	97	97
Notification rate (new & relapse cases/100 000 pop)	34	47	62	60	76	87	98	106
% notified new & relapse cases reported under DOTS	100	100	100	100	100	100	100	100
Notification rate (new ss+ cases/100 000 pop)	14	22	29	28	34	40	48	49
% notified new ss+ cases reported under DOTS	100	100	100	100	100	100	100	100
Case detection rate (all new cases, %)	20	28	35	34	43	50	55	61
Case detection rate (new ss+ cases, %)	18	29	39	37	45	52	63	64
Treatment success (new ss+ patients, %)	85	84	87	86	89	90	84	—
Re-treatment success (ss+ patients, %)	78	—	—	—	—	89	79	—

Note: notification, case detection and treatment success rates are for the whole country (i.e. DOTS and non-DOTS cases combined).

DOTS EXPANSION AND ENHANCEMENT

Overview of services for diagnosis of TB and treatment of patients

Description of basic management unit	Regional hospital, provincial hospital, district hospital, comprehensive health centre, basic health centre
Number of units (DOTS/total), 2007	991/991
Location of NTP services	
Rural	District hospital, comprehensive health centre, basic health centre
Urban	Regional hospital, provincial hospital, professional hospital
NTP services part of general primary health-care network?	Yes
Location where TB diagnosed	
Rural	District hospital, comprehensive health centre, basic health centre
Urban	Regional hospital, provincial hospital, professional hospital
Diagnosis free of charge?	Yes (all suspects)
Treatment supervised?	All patients in all units
Intensive phase	Health-care worker, community member, family member
Continuation phase	Health-care worker, community member, family member
Category I regimen	2(HR)ZE/6(HE)
Treatment free of charge?	All patients in all units
External review missions	last: 2007 next: 2009

Political commitment

National strategic plan?	Yes (2009-2013)
Mechanism for national interagency coordination?	Yes (established 2003)
National Stop TB Partnership?	Yes (established 2008)

Financial indicators, 2009

(see final page for detailed presentation)	%
Government contribution to NTP budget (incl loans)	1.7
Government contribution to total cost TB control (incl loans)	12
Government health spending used for TB control	11
NTP budget funded	97

Per capita health financial indicators, 2009

	US\$
NTP budget per capita	0.3
Total costs for TB control per capita	0.4
Funding gap per capita	0.01
Government health expenditure per capita (2005)	4.0
Total health expenditure per capita (2005)	20

Quality-assured bacteriology

National reference laboratory?	Yes
--------------------------------	-----

All TB laboratories performing EQA of smear microscopy or DST under the supervision of the National Reference Laboratory

	Smear				Culture		DST			
	Number	per 100 000	EQA	% adeq perf	Number	per 5 000 000	Number	per 10 000 000	EQA	% adeq perf
2007	500	1.8	360	86%	1	0.2	–	–	–	–
2008	545	1.9	545	71%	1	0.2	–	–	–	–

Note: for routine diagnosis, there should be at least one laboratory providing smear microscopy per 100 000 population. To provide culture for diagnosis of paediatric, extra-pulmonary and ss-/HIV+ TB, as well as DST of re-treatment and failure cases, most countries will need one culture facility per 5 million population and one DST facility per 10 million population. EQA column shows number of laboratories for which EQA was done. Adeq perf; adequate performance for microscopy based on results of EQA.

System for managing drug supplies and laboratory equipment

	Central level			Peripheral level		
	2005	2006	2007	2005	2006	2007
Stock-outs of laboratory supplies?	–	No	No	–	No	No
Stock-outs of first-line anti-TB drugs?	No	No	Yes	No	No	No

Monitoring and evaluation system, and impact measurement

NTP publishes annual report?	Yes (since 2005)	Burden and impact assessment		last	next
% of BMUs reporting to next level in 2007		In-depth analysis of routine surveillance data	No	–	–
Case-finding	100%	Prevalence of disease survey	No	–	–
Treatment outcomes	100%	Prevalence of infection survey	No	–	–
		Drug resistance survey	Yes, national	–	2010
		Mortality survey	No	–	–
		Analysis of vital registration data	No	–	–

MDR-TB, TB/HIV AND OTHER CHALLENGES

Multidrug-resistant TB (MDR-TB)	2005	2006	2007
	Number (% of estimated ss+ MDR-TB)		
Estimated incidence of ss+ MDR cases	1 266	1 318	1 371
Diagnosed and notified	– (–%)	– (–%)	– (–%)
Registered for treatment	– (–%)	– (–%)	– (–%)
GLC	0	0	0
non-GLC	–	–	–

MDR-TB, TB/HIV AND OTHER CHALLENGES (continued)**Detection and treatment of HIV in TB patients, 2007**

TB patients for whom the HIV test result was known	—
as % of all notified TB patients	0
TB patients with positive HIV test	0
as % of all estimated HIV+ TB cases	—
HIV+ TB patients started or continued on CPT	—
as % of HIV+ TB patients notified	—
HIV+ TB patients started or continued on ART	—
as % of HIV+ TB patients notified	—

Screening for TB in HIV-positive patients, 2007

HIV+ patients in HIV care or ART register	0
Screened for TB	—
as % of HIV+ patients in HIV care or ART register	—
Started on TB treatment	—
as % of HIV+ patients in HIV care or ART register	—
Started on IPT	—
as % of HIV+ patients without TB in HIV care or ART register	—

High-risk groups, 2007

Number of close contacts of ss+ TB patients screened	—
Number of TB cases identified among contacts	—
% of contacts with TB	—
Contacts started on IPT	—
% of contacts without TB on IPT	—

HIV testing for TB patients

Data not reported

CPT and ART for HIV-positive TB patients

Data not reported

CONTRIBUTING TO HEALTH SYSTEM STRENGTHENING

Lack of basic infrastructure, scarce human resources and security problems are formidable challenges to strengthening health systems that also affect TB control. The NTP is addressing these challenges jointly with other stakeholders by aligning its planning and implementation processes with other planning processes, including the national plan for human resources for health and the general health-sector development plan. The NTP, which is implemented mostly through contracted NGOs as part of an integrated package of primary health care, is also developing approaches to use the private sector to implement public health interventions.

Practical Approach to Lung Health (PAL), 2007

Number of health-care facilities providing PAL services	0	As % of total number of health-care facilities	0
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ENGAGING ALL CARE PROVIDERS**Public-public and public-private approaches (PPM), 2007**

Number of providers collaborating with the NTP ^a	Number collaborating (total number of providers)	% total notified TB	
		Diagnosed	Treated
Public sector	3 (—)	0.8	0.8
Private sector	2 (—)	2.7	2.7

International Standards for Tuberculosis Care (ISTC)

ISTC endorsed by professional organizations?	No
ISTC included in medical curriculum?	—

EMPOWERING PEOPLE WITH TB, AND COMMUNITIES**Advocacy, communication and social mobilization (ACSM)**

The NTP has integrated ACSM into the National Strategic Plan for TB Control. In 2007–2008, primary and secondary research was used to conduct a national situation analysis and the first National ACSM Strategy 2009–2013 was developed. Funding for ACSM activities, outlined in the national ACSM strategy, was secured through round 8 of the Global Fund. Developing national implementation capacity and social mobilization capacity in remote areas in a complex security situation are the major challenges to ACSM faced by the NTP.

Community participation in TB care and Patients' Charter

The NTP has involved Afghan communities in TB control through NGOs, community organizations and public sector community health workers who are involved in case detection, treatment support, counselling, follow-up and management of suspect TB cases in hard-to-access rural areas of the country. The NTP has also involved religious leaders in its awareness campaigns. Affected communities and TB patients participate in decision-making forums such as the country coordination mechanism and the Board of the national Stop TB Partnership. No data on use of the Patients' Charter were reported.

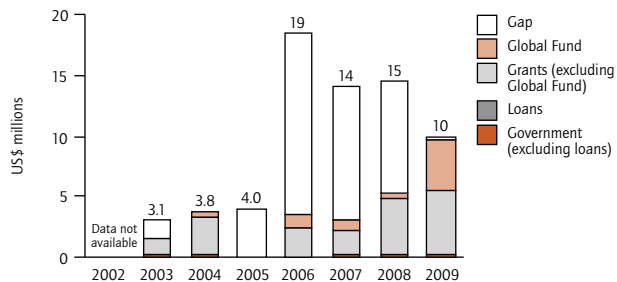
ENABLING AND PROMOTING RESEARCH**Programme-based operational research, 2007**

Operational research budget (% of NTP budget)	14%
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FINANCING

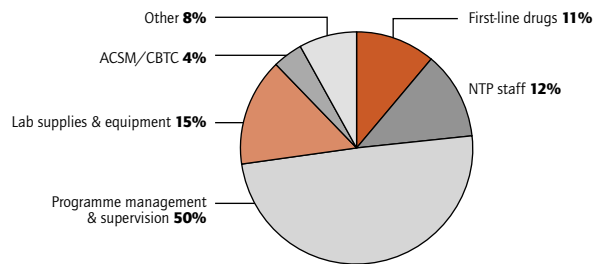
a. NTP budget by source of funding

Decreased budget requirement in 2009 is in line with revised strategic plan 2009–2013; greatly increased funding from Global Fund and other donors in 2009



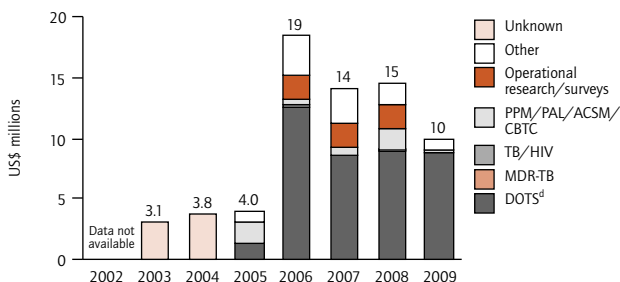
b. NTP budget line items in 2009

DOTS implementation accounts for 88% of the budget, with considerable investment in programme management and supervision



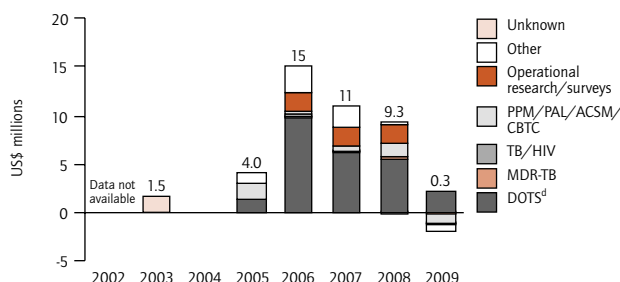
c. NTP budget by line item

Budget for operational research and community TB care reduced in 2009 following revision of strategic plan



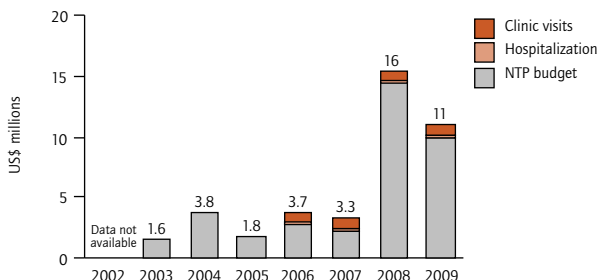
d. NTP funding gap by line item

Funding gaps within DOTS mainly for routine programme management, first-line drugs and laboratory supplies and equipment



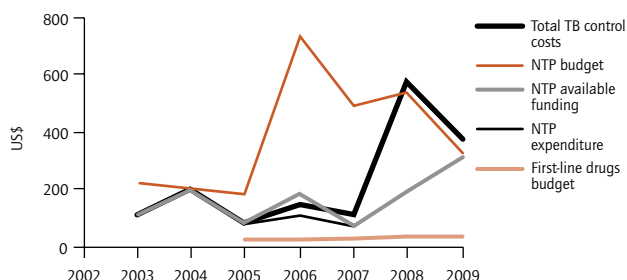
e. Total TB control costs by line item¹

Hospitalization costs are for 200 TB beds; outpatient costs based on 71 visits per new ss+ TB patient during treatment and 68 visits per new ss- and extrapulmonary patients



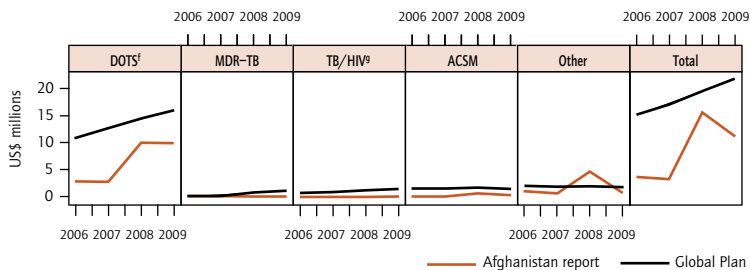
f. Per patient costs, budgets and expenditures²

Considerable fluctuation in all indicators but available funding per patient has risen since 2007



g. Global Plan compared with country reports³

Global Plan estimates of funding requirements are higher than country expenditures and projected funding requirements, mainly due to a higher forecast of patients to be treated in the Global Plan



h. NTP budget and funding gap by Stop TB Strategy component (US\$ millions)

Component	2009 BUDGET	GAP
DOTS expansion and enhancement	8.8	2.2
TB/HIV, MDR-TB and other challenges	0	-0.1
Health system strengthening	0	0
Engage all care providers	0	-0.1
People with TB, and communities	0.4	-0.8
Research and surveys	0	-0.1
Other	0.8	-0.8

SOURCES, METHODS AND ABBREVIATIONS

^{a-g} Please see footnotes page 169.

¹ Total TB control costs for 2003–2004 are based on available funding, whereas those for 2005–2007 are based on expenditure, and those for 2008–2009 are based on budgets. Estimates of the costs of clinic visits and hospitalization are WHO estimates based on data provided by the NTP and from other sources. See Methods for further details.

² NTP available funding for 2005–2007 is based on the amount of funding actually received, using retrospective data; available funding for 2003–2004 and 2008–2009 is based on prospectively reported budget data, and estimated as the total budget minus any reported funding gap.

– indicates not available or not applicable; pop, population; ss+, sputum smear-positive; ss-, sputum smear-negative pulmonary; unk, pulmonary – sputum smear not done or result unknown.

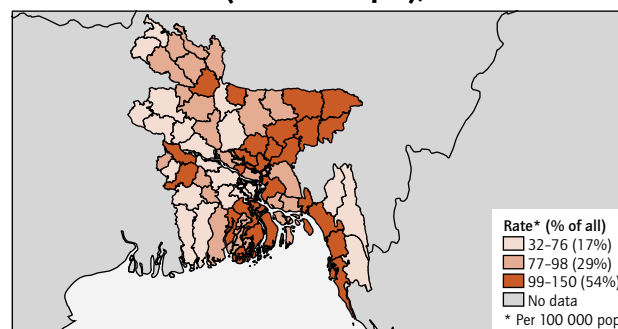
Bangladesh

Bangladesh increased the case detection rate of new smear-positive cases to 66% in 2007 and has maintained a treatment success rate exceeding 90% since 2004. The provision of EQA has expanded to almost all peripheral-level laboratories. Support from the GDF has secured an uninterrupted supply of drugs. Community-based DOTS through village doctors (Damien Foundation) and community health volunteers (BRAC) ensures supervised drug intake. Programmatic guidelines for MDR-TB and TB/HIV were developed in 2008. The Damien Foundation expanded its MDR-TB treatment project and supported the development of a regional reference laboratory, and the NTP will soon begin enrolling patients in an MDR-TB treatment programme. Major challenges include limited capacity for diagnosis of smear-negative and extrapulmonary TB, and MDR-TB. Weak coordination among health-care providers is a major challenge for TB control in large urban areas.

SURVEILLANCE AND EPIDEMIOLOGY

Population (thousands) ^a	158 665	
Estimates of epidemiological burden, 2007^b	ALL	IN HIV+ PEOPLE
Incidence		
All forms of TB (thousands of new cases per year)	353	1.0
All forms of TB (new cases per 100 000 pop/year)	223	0.6
Rate of change in incidence rate (%), 2006-2007	-1.0	0.1
New ss+ cases (thousands of new cases per year)	159	0.3
New ss+ cases (per 100 000 pop/year)	100	0.2
HIV+ incident TB cases (% of all TB cases)	0.3	—
Prevalence		
All forms of TB (thousands of cases)	614	0.5
All forms of TB (cases per 100 000 pop)	387	0.3
2015 target for prevalence (cases per 100 000 pop)	319	—
Mortality		
All forms of TB (thousands of deaths per year)	71	0.4
All forms of TB (deaths per 100 000 pop/year)	45	0.3
2015 target for mortality (deaths per 100 000 pop/year)	39	—
Multidrug-resistant TB (MDR-TB)		
MDR-TB among all new TB cases (%)	3.5	—
MDR-TB among previously treated TB cases (%)	20	—

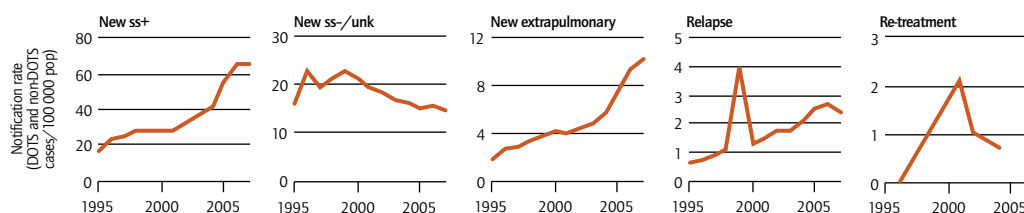
TB notification rate (new and relapse), 2007



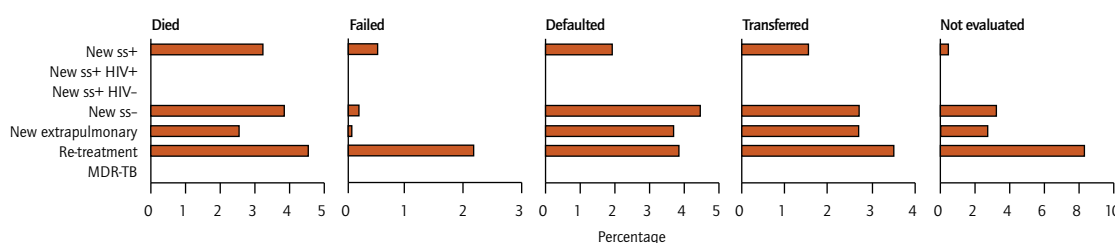
Total notifications, 2007

Notified new and relapse cases (thousands)	147
Notified new and relapse cases (per 100 000 pop/year)	93
Notified new ss+ cases (thousands)	104
Notified new ss+ cases (per 100 000 pop/year)	66
as % of new pulmonary cases	82
sex ratio (male/female)	2.0
DOTS case detection rate (% of estimated new ss+)	66
Notified new extrapulmonary cases (thousands)	16
as % of notified new cases	11
Notified new ss+ cases in children (<15 years) (thousands)	1.4
as % of notified new ss+ cases	1.3

Case notifications



Unfavourable treatment outcomes, 2006 cohorts



	2000	2001	2002	2003	2004	2005	2006	2007
DOTS coverage (%)	92	95	95	99	99	99	100	100
Notification rate (new & relapse cases/100 000 pop)	54	54	57	60	65	80	93	93
% notified new & relapse cases reported under DOTS	79	84	88	100	100	100	100	100
Notification rate (new ss+ cases/100 000 pop)	28	29	32	36	42	55	65	66
% notified new ss+ cases reported under DOTS	93	95	98	100	100	100	100	100
Case detection rate (all new cases, %)	22	22	23	25	28	34	40	41
Case detection rate (new ss+ cases, %)	26	27	31	35	40	54	65	66
Treatment success (new ss+ patients, %)	81	83	84	85	90	91	92	—
Re-treatment success (ss+ patients, %)	72	—	69	127	81	80	77	—

Note: notification, case detection and treatment success rates are for the whole country (i.e. DOTS and non-DOTS cases combined).

DOTS EXPANSION AND ENHANCEMENT

Overview of services for diagnosis of TB and treatment of patients

Description of basic management unit	Chest disease clinic, district Hospital
Number of units (DOTS/total), 2007	753/753
Location of NTP services	
Rural	Upazilla Health Complex
Urban	Chest disease clinic, district hospital
NTP services part of general primary health-care network?	Yes
Location where TB diagnosed	
Rural	Upazilla Health Complex
Urban	Chest disease clinic, district hospital
Diagnosis free of charge?	Yes (all suspects)
Treatment supervised?	All patients in all units
Intensive phase	Health-care worker, community member
Continuation phase	Health-care worker, community member
Category I regimen	2(HRZE)/4(HR)3
Treatment free of charge	All patients in all units
External review missions	last: 2007 next: 2010

Political commitment

National strategic plan?	Yes (2006–2010)
Mechanism for national interagency coordination?	Yes (established –)
National Stop TB Partnership?	No (planned –)

Financial indicators, 2009

(see final page for detailed presentation)		%
Government contribution to NTP budget (incl loans)		39
Government contribution to total cost TB control (incl loans)		56
Government health spending used for TB control		4.2
NTP budget funded		99

Per capita health financial indicators, 2009

		US\$
NTP budget per capita		0.1
Total costs for TB control per capita		0.1
Funding gap per capita		0.0006
Government health expenditure per capita (2005)		3.4
Total health expenditure per capita (2005)		12

Quality-assured bacteriology

National reference laboratory?	Yes
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All TB laboratories performing EQA of smear microscopy or DST under the supervision of the National Reference Laboratory

	Smear				Culture		DST			
	Number	per 100 000	EQA	% adeq perf	Number	per 5 000 000	Number	per 10 000 000	EQA	% adeq perf
2007	753	0.5	753	88%	4	0.1	2	0.1	0	–
2008	753	0.5	753	–	4	0.1	2	0.1	0	–

Note: for routine diagnosis, there should be at least one laboratory providing smear microscopy per 100 000 population. To provide culture for diagnosis of paediatric, extra-pulmonary and ss-/HIV+ TB, as well as DST of re-treatment and failure cases, most countries will need one culture facility per 5 million population and one DST facility per 10 million population. EQA column shows number of laboratories for which EQA was done. Adeq perf; adequate performance for microscopy based on results of EQA.

System for managing drug supplies and laboratory equipment

	Central level			Peripheral level		
	2005	2006	2007	2005	2006	2007
Stock-outs of laboratory supplies?	–	No	–	–	No	–
Stock-outs of first-line anti-TB drugs?	No	No	No	No	No	No

Monitoring and evaluation system, and impact measurement

NTP publishes annual report?	Yes (since –)	Burden and impact assessment		last	next
% of BMUs reporting to next level in 2007		In-depth analysis of routine surveillance data	No	–	–
Case-finding	100%	Prevalence of disease survey	Yes, national	2007	–
Treatment outcomes	100%	Prevalence of infection survey	No	–	–
		Drug resistance survey	–	–	2009
		Mortality survey	No	–	–
		Analysis of vital registration data	No	–	–

MDR-TB, TB/HIV AND OTHER CHALLENGES

	2005	2006	2007
	Number (% of estimated ss+ MDR-TB)		
Estimated incidence of ss+ MDR cases	7 583	7 640	7 694
Diagnosed and notified	– (–%)	– (–%)	– (–%)
Registered for treatment	– (–%)	– (–%)	– (–%)
GLC	0	0	0
non-GLC	–	–	–

MDR-TB, TB/HIV AND OTHER CHALLENGES (continued)

Detection and treatment of HIV in TB patients, 2007

TB patients for whom the HIV test result was known	—
as % of all notified TB patients	—
TB patients with positive HIV test	—
as % of all estimated HIV+ TB cases	—
HIV+ TB patients started or continued on CPT	—
as % of HIV+ TB patients notified	—
HIV+ TB patients started or continued on ART	—
as % of HIV+ TB patients notified	—

Screening for TB in HIV-positive patients, 2007

HIV+ patients in HIV care or ART register	—
Screened for TB	—
as % of HIV+ patients in HIV care or ART register	—
Started on TB treatment	—
as % of HIV+ patients in HIV care or ART register	—
Started on IPT	—
as % of HIV+ patients without TB in HIV care or ART register	—

High-risk groups, 2007

Number of close contacts of ss+ TB patients screened	—
Number of TB cases identified among contacts	—
% of contacts with TB	—
Contacts started on IPT	—
% of contacts without TB on IPT	—

HIV testing for TB patients

Data not reported

CPT and ART for HIV-positive TB patients

Data not reported

CONTRIBUTING TO HEALTH SYSTEM STRENGTHENING

The health-care system in the public sector is constrained by a lack of human resources, which affects access to TB services in rural areas. NGOs work in close collaboration with the government to provide essential primary health-care services, including integrated services to control TB, in many areas. TB control is well aligned with the national health plan, the SWAP and the Medium-term Expenditure Framework for health. The NTP is a leader in engaging informal health-care providers to provide public health services, including the use of "village doctors" to find TB cases and support anti-TB treatment.

Practical Approach to Lung Health (PAL), 2007

Number of health-care facilities providing PAL services	—	As % of total number of health-care facilities	—
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ENGAGING ALL CARE PROVIDERS

Public-public and public-private approaches (PPM), 2007

Number of providers collaborating with the NTP ^a	Number collaborating (total number of providers)	% total notified TB	
		Diagnosed	Treated
Public sector	101 (—)	—	—
Private sector	2 455 (—)	—	—

International Standards for Tuberculosis Care (ISTC)

ISTC endorsed by professional organizations?	No
ISTC included in medical curriculum?	No

EMPOWERING PEOPLE WITH TB, AND COMMUNITIES

Advocacy, communication and social mobilization (ACSM)

An ACSM consultant participated in the 2007 National Programme Review to assess progress and helped to draft recommendations for future ACSM activities. A KAP survey is planned for 2009, and the national ACSM strategy is being finalized.

Community participation in TB care and Patients' Charter

Community-based services are widely available in the country, primarily through two important NGOs that provide services in accordance with NTP policy. DOT in rural areas is provided through female community health volunteers (as part of a primary health-care package), village doctors, cured patients and community opinion-leaders. Activities to raise awareness among communities, identify suspected TB cases and trace defaulters are widely implemented. In urban areas, DOT is usually available at a health facility. No data on use of the Patients' Charter were reported.

ENABLING AND PROMOTING RESEARCH

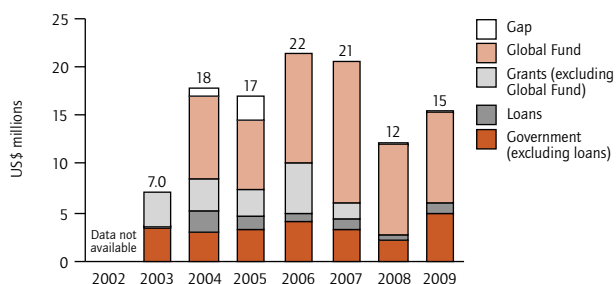
Programme-based operational research, 2007

Operational research budget (% of NTP budget)	0.7%
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FINANCING

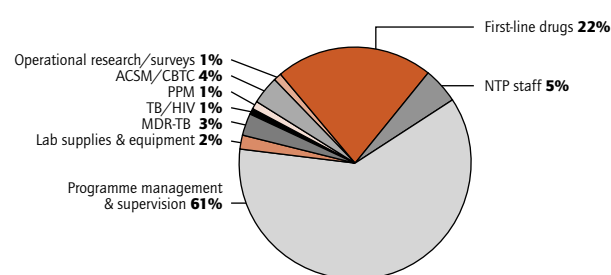
a. NTP budget by source of funding

Decreased budget in 2008 and 2009



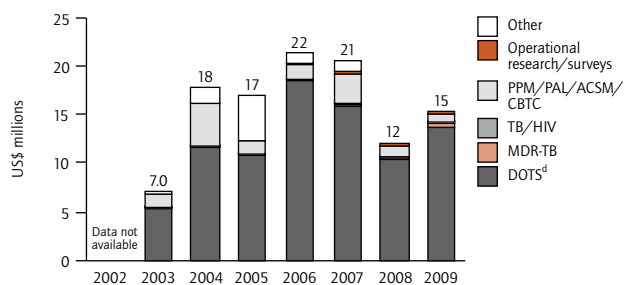
b. NTP budget line items in 2009

90% of budget is for DOTS, with a substantial share for programme management and supervision



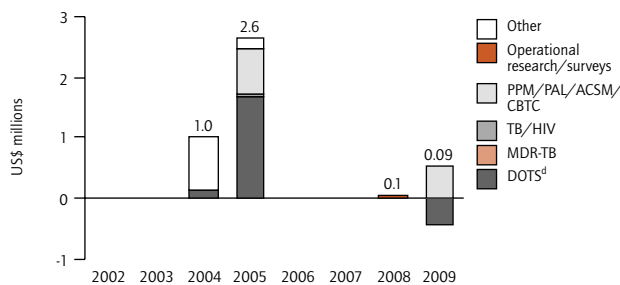
c. NTP budget by line item

Within DOTS, large decrease in budget for NTP staff in 2008



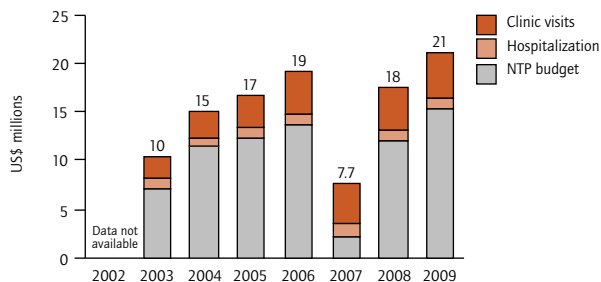
d. NTP funding gap by line item

Surplus within DOTS in 2009 mainly for laboratory supplies and equipment; funding gap within MDR-TB is for second-line drugs



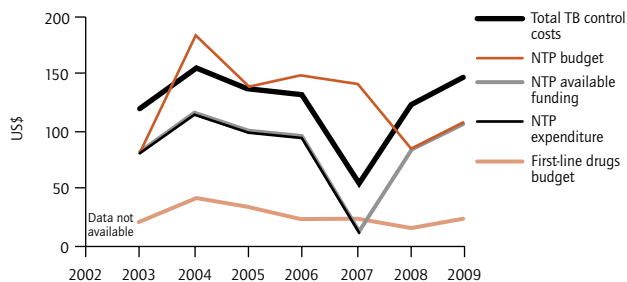
e. Total TB control costs by line item¹

Hospitalization costs are for 696 dedicated TB beds; costs for clinic visits based on 27 visits per patient during treatment; NTP budget accounts for the largest share of TB control costs



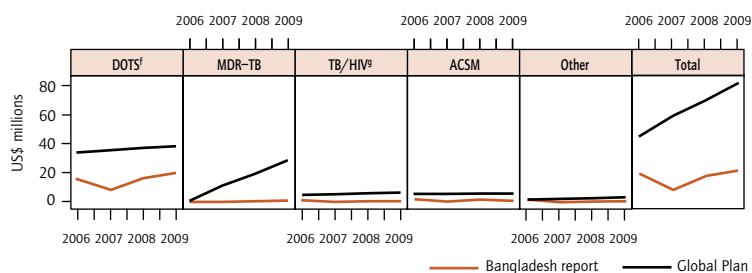
f. Per patient costs, budgets and expenditures²

Decreased total costs in 2007; expenditure data appear incomplete in 2007



g. Global Plan compared with country reports^a

Country report not in line with Global Plan; targets for MDR-TB patients to be treated in Global MDR/XDR-TB Response Plan much higher than scaling-up planned by NTP



h. NTP budget and funding gap by Stop TB Strategy component (US\$ millions)

Component	2009 BUDGET	GAP
DOTS expansion and enhancement	14	-0.4
TB/HIV, MDR-TB and other challenges	0.5	0
Health system strengthening	0	0
Engage all care providers	0.2	0
People with TB, and communities	0.5	0.5
Research and surveys	0.2	0
Other	0	0

SOURCES, METHODS AND ABBREVIATIONS

^{a-g} Please see footnotes page 169.

¹ Total TB control costs for 2003–2007 are based on expenditure, whereas those for 2008–2009 are based on budgets. Estimates of the costs of clinic visits and hospitalization are WHO estimates based on data provided by the NTP and from other sources. See Methods for further details.

² NTP available funding for 2004–2007 is based on the amount of funding actually received, using retrospective data; available funding for 2003 and 2008–2009 is based on prospectively reported budget data, and estimated as the total budget minus any reported funding gap.

- indicates not available or not applicable; pop, population; ss+, sputum smear-positive; ss-, sputum smear-negative pulmonary; unk, pulmonary - sputum smear not done or result unknown.

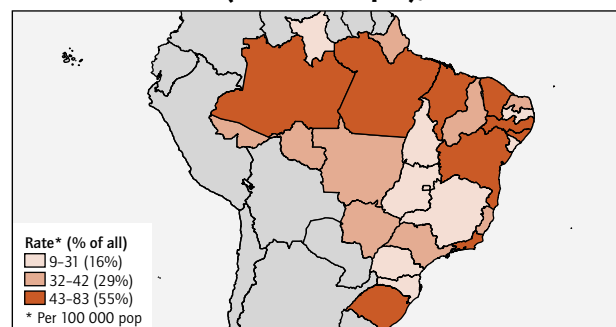
Brazil

Government commitment to promoting social services has increased the visibility of TB as a public health problem, and funding for TB control has increased substantially in recent years. DOTS expansion has progressed and TB control activities have prioritized 315 of a total of 5565 municipalities accounting for 70% of the country's TB cases. TB services are integrated into the primary health-care system. The process of decentralizing TB control management to state and municipality levels is continuing. Collaborative TB/HIV activities have been implemented and scaled up. About 14% of the 72% of TB patients tested for HIV infection are found to be HIV-positive. Special initiatives to control TB in vulnerable groups such as indigenous populations and prisoners have been implemented in collaboration with relevant governmental organizations and NGOs. Despite the progress made in controlling TB, rates of case detection and treatment success are still below the global targets.

SURVEILLANCE AND EPIDEMIOLOGY

Population (thousands) ^a	191 791	
Estimates of epidemiological burden, 2007^b	ALL	IN HIV+ PEOPLE
Incidence		
All forms of TB (thousands of new cases per year)	92	13
All forms of TB (new cases per 100 000 pop/year)	48	6.8
Rate of change in incidence rate (%), 2006-2007	-3.2	-2.8
New ss+ cases (thousands of new cases per year)	49	5.9
New ss+ cases (per 100 000 pop/year)	26	3.1
HIV+ incident TB cases (% of all TB cases)	14	—
Prevalence		
All forms of TB (thousands of cases)	114	6.5
All forms of TB (cases per 100 000 pop)	60	3.4
2015 target for prevalence (cases per 100 000 pop)	62	—
Mortality		
All forms of TB (thousands of deaths per year)	8.4	2.5
All forms of TB (deaths per 100 000 pop/year)	4.4	1.3
2015 target for mortality (deaths per 100 000 pop/year)	3.6	—
Multidrug-resistant TB (MDR-TB)		
MDR-TB among all new TB cases (%)	0.9	—
MDR-TB among previously treated TB cases (%)	5.4	—

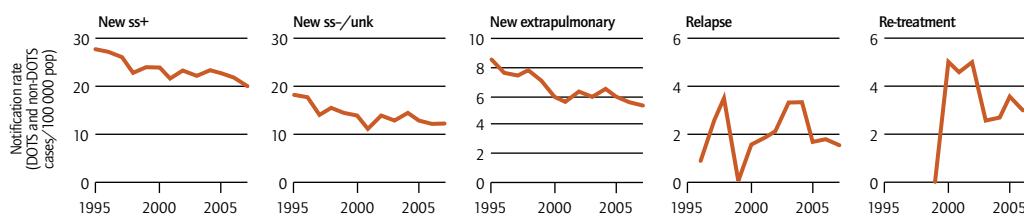
TB notification rate (new and relapse), 2007



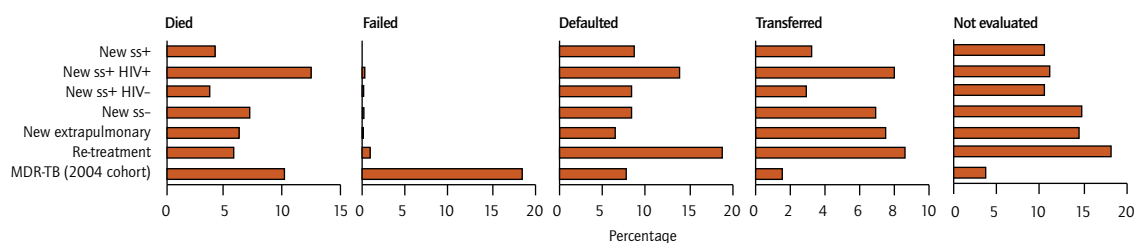
Total notifications, 2007

Notified new and relapse cases (thousands)	75
Notified new and relapse cases (per 100 000 pop/year)	39
Notified new ss+ cases (thousands)	38
Notified new ss+ cases (per 100 000 pop/year)	20
as % of new pulmonary cases	63
sex ratio (male/female)	2.1
DOTS case detection rate (% of estimated new ss+)	69
Notified new extrapulmonary cases (thousands)	10
as % of notified new cases	14
Notified new ss+ cases in children (<15 years) (thousands)	0.7
as % of notified new ss+ cases	1.9

Case notifications



Unfavourable treatment outcomes, 2006 cohorts



	2000	2001	2002	2003	2004	2005	2006	2007
DOTS coverage (%)	7.0	32	25	34	52	68	86	75
Notification rate (new & relapse cases/100 000 pop)	45	42	45	44	47	43	41	39
% notified new & relapse cases reported under DOTS	6.8	11	11	21	51	63	79	89
Notification rate (new ss+ cases/100 000 pop)	24	22	23	22	23	23	22	20
% notified new ss+ cases reported under DOTS	9.6	11	12	23	53	62	79	89
Case detection rate (all new cases, %)	72	65	77	74	83	81	79	78
Case detection rate (new ss+ cases, %)	73	70	76	75	82	82	82	78
Treatment success (new ss+ patients, %)	71	55	80	77	76	76	73	—
Re-treatment success (ss+ patients, %)	40	23	60	64	49	48	47	—

Note: notification, case detection and treatment success rates are for the whole country (i.e. DOTS and non-DOTS cases combined).

DOTS EXPANSION AND ENHANCEMENT

Overview of services for diagnosis of TB and treatment of patients

Description of basic management unit	Primary health-care units and hospitals
Number of units (DOTS/total), 2007	7411/9818

Location of NTP services

Rural	Primary health-care unit
Urban	Primary health-care units and hospitals
NTP services part of general primary health-care network?	Yes

Location where TB diagnosed

Rural	Primary health-care unit
Urban	Primary health-care units and hospitals
Diagnosis free of charge?	Yes (all suspects)
Treatment supervised?	Some patients in some units

Intensive phase	Health-care worker, community member, family member
Continuation phase	Health-care worker, community member, family member

Category I regimen	2(HR)ZE/4(HR)
Treatment free of charge	All patients in all units
External review missions	last: 2006 next: 2009

Political commitment

National strategic plan?	Yes (2007-2015)
Mechanism for national interagency coordination?	Yes (established 2004)
National Stop TB Partnership?	Yes (established 2004)

Financial indicators, 2009

(see final page for detailed presentation)	%
Government contribution to NTP budget (incl loans)	80
Government contribution to total cost TB control (incl loans)	86
Government health spending used for TB control	0.3
NTP budget funded	82

Per capita health financial indicators, 2009

	US\$
NTP budget per capita	0.3
Total costs for TB control per capita	0.5
Funding gap per capita	0.1
Government health expenditure per capita (2005)	164
Total health expenditure per capita (2005)	371

Quality-assured bacteriology

National reference laboratory?	Yes
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All TB laboratories performing EQA of smear microscopy or DST under the supervision of the National Reference Laboratory

	Smear				Culture		DST			
	Number	per 100 000	EQA	% adeq perf	Number	per 5 000 000	Number	per 10 000 000	EQA	% adeq perf
2007	4 044	2.1	1 819	75%	193	5.0	38	2.0	17	82%
2008	4 044	2.1	2 022	–	232	0.6	38	2.0	27	–

Note: for routine diagnosis, there should be at least one laboratory providing smear microscopy per 100 000 population. To provide culture for diagnosis of paediatric, extra-pulmonary and ss-/HIV+ TB, as well as DST of re-treatment and failure cases, most countries will need one culture facility per 5 million population and one DST facility per 10 million population. EQA column shows number of laboratories for which EQA was done. Adeq perf; adequate performance for microscopy based on results of EQA.

System for managing drug supplies and laboratory equipment

	Central level			Peripheral level		
	2005	2006	2007	2005	2006	2007
Stock-outs of laboratory supplies?	–	Yes	No	–	All units	No
Stock-outs of first-line anti-TB drugs?	–	No	No	No	No	No

Monitoring and evaluation system, and impact measurement

		Burden and impact assessment			
		last	next		
NTP publishes annual report?	No				
% of BMUs reporting to next level in 2007		In-depth analysis of routine surveillance data	Yes	2008	2009
Case-finding	100%	Prevalence of disease survey	No	–	–
Treatment outcomes	100%	Prevalence of infection survey	No	–	–
		Drug resistance survey	Yes, sub-national	1996	Ongoing
		Mortality survey	Yes	2006	2007
		Analysis of vital registration data	Yes	2007	2008

MDR-TB, TB/HIV AND OTHER CHALLENGES

	2005	2006	2007
	Number (% of estimated ss+ MDR-TB)		
Estimated incidence of ss+ MDR cases	1 098	1 077	1 056
Diagnosed and notified	373 (34%)	399 (37%)	832 (79%)
Registered for treatment	347 (32%)	309 (29%)	321 (30%)
GLC	0	0	0
non-GLC	347	309	321

MDR-TB, TB/HIV AND OTHER CHALLENGES (continued)

Detection and treatment of HIV in TB patients, 2007

TB patients for whom the HIV test result was known	57 593
as % of all notified TB patients	72
TB patients with positive HIV test	8 141
as % of all estimated HIV+ TB cases	63
HIV+ TB patients started or continued on CPT	0
as % of HIV+ TB patients notified	0
HIV+ TB patients started or continued on ART	8 141
as % of HIV+ TB patients notified	100

Screening for TB in HIV-positive patients, 2007

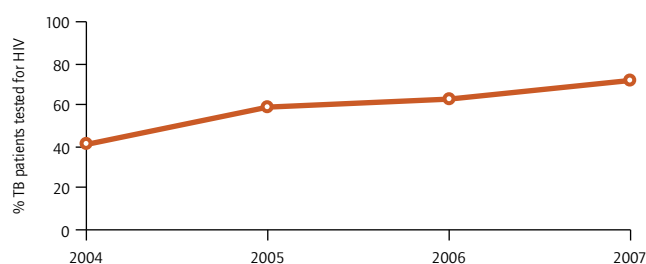
HIV+ patients in HIV care or ART register	—
Screened for TB	—
as % of HIV+ patients in HIV care or ART register	—
Started on TB treatment	—
as % of HIV+ patients in HIV care or ART register	—
Started on IPT	—
as % of HIV+ patients without TB in HIV care or ART register	—

High-risk groups, 2007

Number of close contacts of ss+ TB patients screened	—
Number of TB cases identified among contacts	—
% of contacts with TB	—
Contacts started on IPT	—
% of contacts without TB on IPT	—

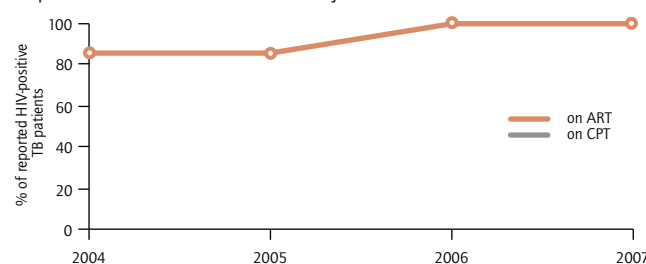
HIV testing for TB patients

The proportion of TB patients screened for HIV continues to increase



CPT and ART for HIV-positive TB patients

In 2006 and 2007, 100% of HIV-positive TB patients received ART. Data on provision of CPT are not recorded by the NTP



CONTRIBUTING TO HEALTH SYSTEM STRENGTHENING

The health-care system is relatively strong and there is an extensive and decentralized primary health-care infrastructure into which TB control is integrated. TB control is aligned with the general national health plan.

Practical Approach to Lung Health (PAL), 2007

Number of health-care facilities providing PAL services	—	As % of total number of health-care facilities	—
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ENGAGING ALL CARE PROVIDERS

Public-public and public-private approaches (PPM), 2007

	Number collaborating (total number of providers)	% total notified TB	
		Diagnosed	Treated
Public sector	— (—)	—	—
Private sector	— (—)	—	—

International Standards for Tuberculosis Care (ISTC)

ISTC endorsed by professional organizations?	Yes
By which organizations:	—
ISTC included in medical curriculum?	Yes

EMPOWERING PEOPLE WITH TB, AND COMMUNITIES

Advocacy, communication and social mobilization (ACSM)

The NTP has a national ACSM strategy and is engaged in a wide range of ACSM activities. The Brazilian health system requires that municipalities and states have a health council comprising health professionals, managers and service users. There are six TB and 27 AIDS forums involved in increasing engagement with civil society. Activities with policy-makers and organizations working with drug users, the homeless and prison populations have been carried out to better engage these groups in TB control. In 2008, three national television and radio campaigns were broadcast to raise awareness about TB. A KAP survey was conducted in 2008.

Community participation in TB care and Patients' Charter

The NTP is engaging civil society and empowering communities by training staff in health councils on awareness about TB. These health councils, which operate at federal, state and municipal levels of government, are comprised of health professionals, managers and service users. The NTP is also engaging communities by strengthening the national Stop TB Partnership, encouraging TB NGOs to create state forums and financing a range of NGO projects.

ENABLING AND PROMOTING RESEARCH

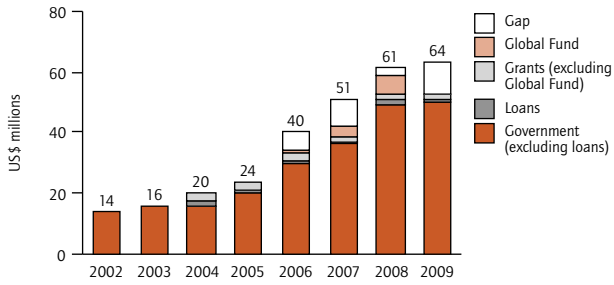
Programme-based operational research, 2007

Operational research budget (% of NTP budget)	5.7%
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FINANCING

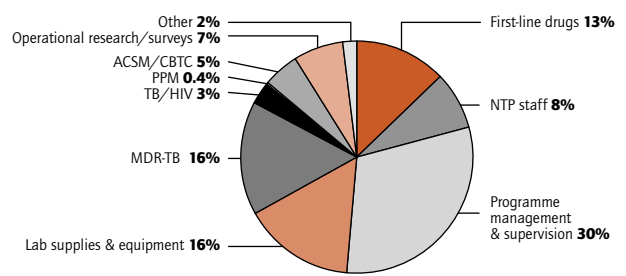
a. NTP budget by source of funding

NTP budget and government funding have more than tripled since 2002, demonstrating increased political commitment



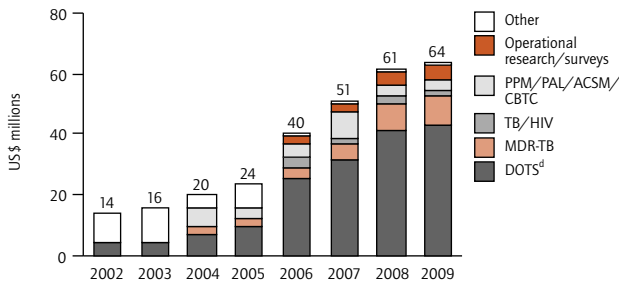
b. NTP budget line items in 2009

Most of the budget is for DOTS (67%) and MDR-TB (16%)



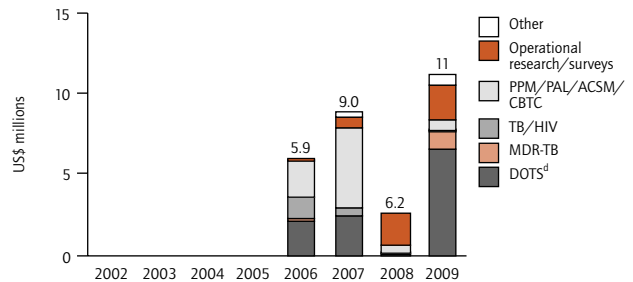
c. NTP budget by line item

Increased budget for routine programme management activities and MDR-TB



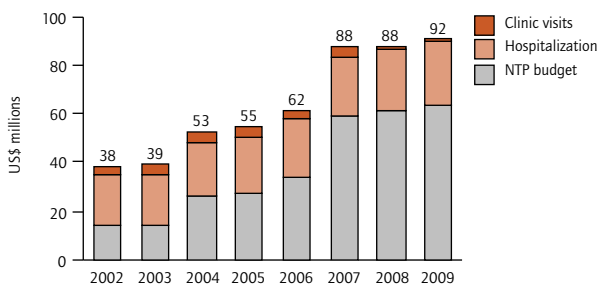
d. NTP funding gap by line item

Funding gap within DOTS mainly for routine programme management activities



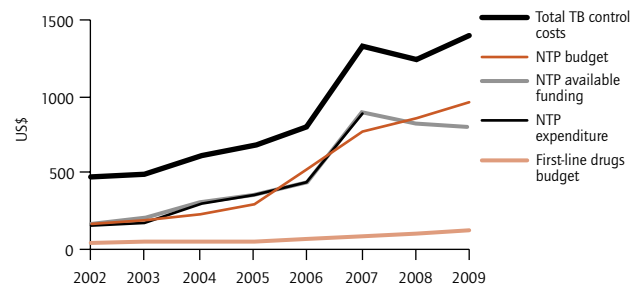
e. Total TB control costs by line item¹

Hospitalization costs are for 2500 dedicated TB beds; costs for clinic visits based on 12 visits per patient in 2008 and 2009



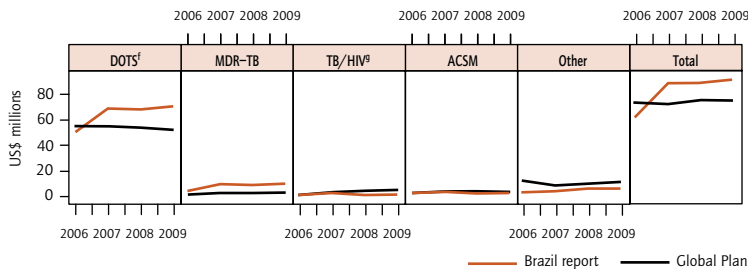
f. Per patient costs, budgets and expenditures²

Increasing cost per patient since 2002 as newer elements of TB control are introduced; increased expenditures in 2007



g. Global Plan compared with country reports^a

Implemented (2006-2007) and planned (2008-2009) activities are consistent with or ahead of the Global Plan, except for PPM/PAL (in other)



h. NTP budget and funding gap by Stop TB Strategy component (US\$ millions)

Component	2009 BUDGET	GAP
DOTS expansion and enhancement	43	6.7
TB/HIV, MDR-TB and other challenges	12	1.1
Health system strengthening	0.3	0.3
Engage all care providers	0.3	0.3
People with TB, and communities	3.0	0.1
Research and surveys	4.6	2.0
Other	0.9	0.8

SOURCES, METHODS AND ABBREVIATIONS

^{a-g} Please see footnotes page 169.

¹ Total TB control costs for 2002-2007 are based on expenditure, whereas those for 2008-2009 are based on budgets. Estimates of the costs of clinic visits and hospitalization are WHO estimates based on data provided by the NTP and from other sources. See Methods for further details.

² NTP available funding for 2004-2007 is based on the amount of funding actually received, using retrospective data; available funding for 2002-2003 and 2008-2009 is based on prospectively reported budget data, and estimated as the total budget minus any reported funding gap.

- indicates not available or not applicable; pop, population; ss+, sputum smear-positive; ss-, sputum smear-negative pulmonary; unk, pulmonary - sputum smear not done or result unknown.

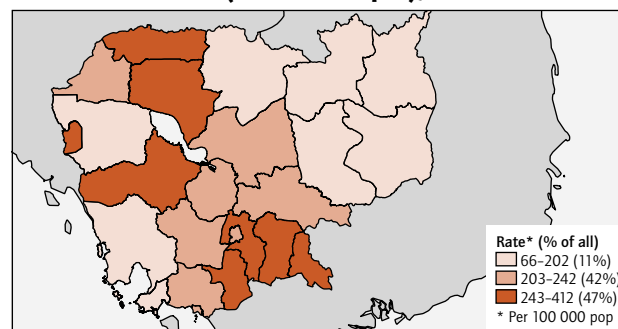
Cambodia

The NTP has sustained high treatment success rates of over 90% for more than a decade. Although the case detection rate is assessed to be less than 70%, the results of a recent national population census suggest that this target may have been achieved. In 2007, the NTP published a national strategic plan for the TB laboratory network and guidelines for diagnosis and treatment of TB in children. The third national seroprevalence survey showed a further decline in HIV prevalence among TB patients from 11.8% in 2003 to 7.8% in 2007. Collaborative TB/HIV activities and community-based DOTS have been further expanded. An MDR-TB project initiated by an NGO in partnership with the NTP has demonstrated the feasibility of expanding implementation to public sector facilities outside the capital. However, human resource capacity, and laboratory capacity to perform smear microscopy, culture, DST and new diagnostic technologies, remain major challenges.

SURVEILLANCE AND EPIDEMIOLOGY

Population (thousands) ^a	14 444	
Estimates of epidemiological burden, 2007^b	ALL	IN HIV+ PEOPLE
Incidence		
All forms of TB (thousands of new cases per year)	72	5.6
All forms of TB (new cases per 100 000 pop/year)	495	38
Rate of change in incidence rate (%), 2006-2007	-1.0	-9.6
New ss+ cases (thousands of new cases per year)	32	1.9
New ss+ cases (per 100 000 pop/year)	219	13
HIV+ incident TB cases (% of all TB cases)	7.8	—
Prevalence		
All forms of TB (thousands of cases)	96	2.8
All forms of TB (cases per 100 000 pop)	664	19
2015 target for prevalence (cases per 100 000 pop)	464	—
Mortality		
All forms of TB (thousands of deaths per year)	13	1.8
All forms of TB (deaths per 100 000 pop/year)	89	13
2015 target for mortality (deaths per 100 000 pop/year)	60	—
Multidrug-resistant TB (MDR-TB)		
MDR-TB among all new TB cases (%)	0	—
MDR-TB among previously treated TB cases (%)	3.1	—

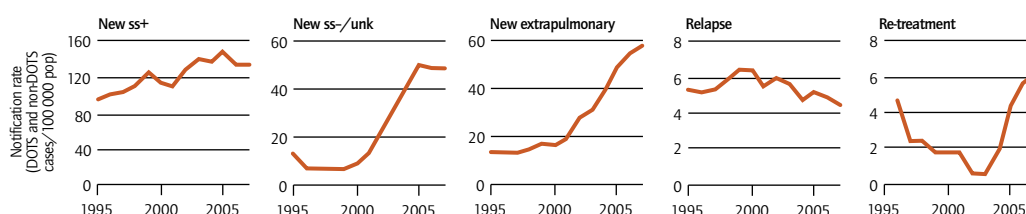
TB notification rate (new and relapse), 2007



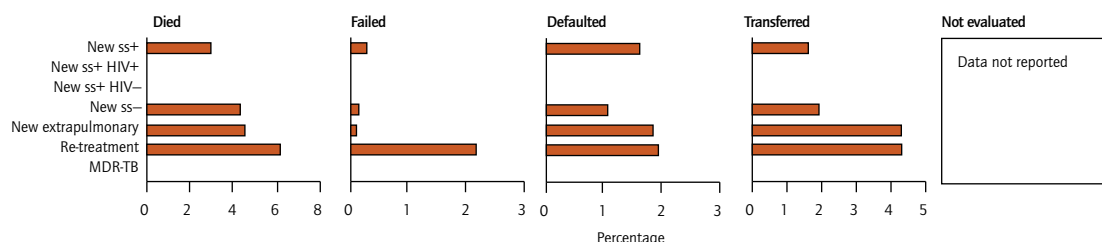
Total notifications, 2007

Notified new and relapse cases (thousands)	36
Notified new and relapse cases (per 100 000 pop/year)	246
Notified new ss+ cases (thousands)	19
Notified new ss+ cases (per 100 000 pop/year)	134
as % of new pulmonary cases	73
sex ratio (male/female)	1.1
DOTS case detection rate (% of estimated new ss+)	61
Notified new extrapulmonary cases (thousands)	8.4
as % of notified new cases	24
Notified new ss+ cases in children (<15 years) (thousands)	0.1
as % of notified new ss+ cases	0.6

Case notifications



Unfavourable treatment outcomes, 2006 cohorts



	2000	2001	2002	2003	2004	2005	2006	2007
DOTS coverage (%)	99	100	100	100	100	100	100	100
Notification rate (new & relapse cases/100 000 pop)	148	147	186	209	225	255	244	246
% notified new & relapse cases reported under DOTS	100	100	100	100	100	100	100	100
Notification rate (new ss+ cases/100 000 pop)	116	110	130	140	138	150	136	134
% notified new ss+ cases reported under DOTS	100	100	100	100	100	100	100	100
Case detection rate (all new cases, %)	27	27	35	40	43	49	48	49
Case detection rate (new ss+ cases, %)	50	48	57	62	62	68	62	61
Treatment success (new ss+ patients, %)	91	92	92	93	91	93	93	—
Re-treatment success (ss+ patients, %)	90	92	89	87	86	76	85	—

Note: notification, case detection and treatment success rates are for the whole country (i.e. DOTS and non-DOTS cases combined).

DOTS EXPANSION AND ENHANCEMENT

Overview of services for diagnosis of TB and treatment of patients

Description of basic management unit	Referral hospital
Number of units (DOTS/total), 2007	77/77
Location of NTP services	
Rural	Health centre
Urban	Referral hospital
NTP services part of general primary health-care network?	Yes
Location where TB diagnosed	
Rural	Former district hospital
Urban	Referral hospital
Diagnosis free of charge?	Yes (all suspects)
Treatment supervised?	All patients in all units
Intensive phase	Health-care worker, community member, family member
Continuation phase	Health-care worker, community member, family member
Category I regimen	2(HR)ZE/4(HR)
Treatment free of charge	All patients in all units
External review missions	last: 2006 next: –

Political commitment

National strategic plan?	Yes (2006–2010)
Mechanism for national interagency coordination?	Yes (established 2001)
National Stop TB Partnership?	No (planned –)

Financial indicators, 2009

(see final page for detailed presentation)	%
Government contribution to NTP budget (incl loans)	10
Government contribution to total cost TB control (incl loans)	27
Government health spending used for TB control	14
NTP budget funded	65

Per capita health financial indicators, 2009

	US\$
NTP budget per capita	0.7
Total costs for TB control per capita	0.9
Funding gap per capita	0.3
Government health expenditure per capita (2005)	6.9
Total health expenditure per capita (2005)	29

Quality-assured bacteriology

National reference laboratory?	Yes
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All TB laboratories performing EQA of smear microscopy or DST under the supervision of the National Reference Laboratory

	Smear				Culture		DST			
	Number	per 100 000	EQA	% adeq perf	Number	per 5 000 000	Number	per 10 000 000	EQA	% adeq perf
2007	201	1.4	186	70	3	1.0	1	0.7	1.0	100%
2008	205	1.4	205	–	5	1.7	1	0.7	1.0	–

Note: for routine diagnosis, there should be at least one laboratory providing smear microscopy per 100 000 population. To provide culture for diagnosis of paediatric, extra-pulmonary and ss-/HIV+ TB, as well as DST of re-treatment and failure cases, most countries will need one culture facility per 5 million population and one DST facility per 10 million population. EQA column shows number of laboratories for which EQA was done. Adeq perf; adequate performance for microscopy based on results of EQA.

System for managing drug supplies and laboratory equipment

	Central level			Peripheral level		
	2005	2006	2007	2005	2006	2007
Stock-outs of laboratory supplies?	–	No	No	–	No	No
Stock-outs of first-line anti-TB drugs?	No	No	No	No	No	No

Monitoring and evaluation system, and impact measurement

NTP publishes annual report?	Yes (since 1995)	Burden and impact assessment		last	next
% of BMUs reporting to next level in 2007		In-depth analysis of routine surveillance data	No	–	–
Case-finding	100%	Prevalence of disease survey	Yes, national	2002	2010
Treatment outcomes	100%	Prevalence of infection survey	Yes, national	2002	2010
		Drug resistance survey	Yes, national	2001	Ongoing
		Mortality survey	No	–	–
		Analysis of vital registration data	No	–	–

MDR-TB, TB/HIV AND OTHER CHALLENGES

Multidrug-resistant TB (MDR-TB)	2005	2006	2007
	Number (% of estimated ss+ MDR-TB)		
Estimated incidence of ss+ MDR cases	92	93	94
Diagnosed and notified	– (–%)	– (–%)	16 (17%)
Registered for treatment	– (–%)	– (–%)	11 (12%)
GLC	0	0	11
non-GLC	–	–	–

MDR-TB, TB/HIV AND OTHER CHALLENGES (continued)**Detection and treatment of HIV in TB patients, 2007**

TB patients for whom the HIV test result was known	14 245
as % of all notified TB patients	39
TB patients with positive HIV test	2 922
as % of all estimated HIV+ TB cases	53
HIV+ TB patients started or continued on CPT	1 101
as % of HIV+ TB patients notified	38
HIV+ TB patients started or continued on ART	610
as % of HIV+ TB patients notified	21

Screening for TB in HIV-positive patients, 2007

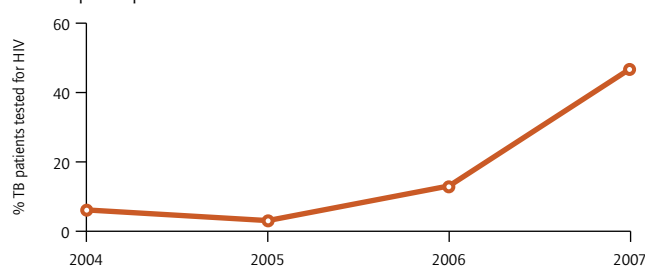
HIV+ patients in HIV care or ART register	11 641
Screened for TB	5 318
as % of HIV+ patients in HIV care or ART register	46
Started on TB treatment	1 801
as % of HIV+ patients in HIV care or ART register	15
Started on IPT	77
as % of HIV+ patients without TB in HIV care or ART register	0.8

High-risk groups, 2007

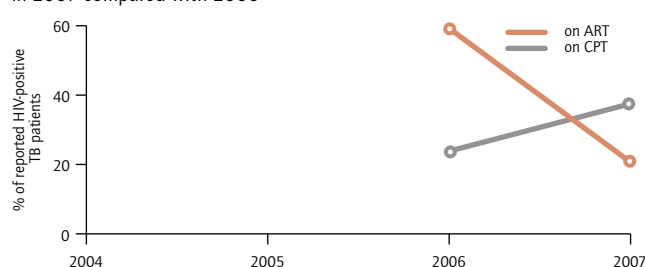
Number of close contacts of ss+ TB patients screened	–
Number of TB cases identified among contacts	–
% of contacts with TB	–
Contacts started on IPT	–
% of contacts without TB on IPT	–

HIV testing for TB patients

Between 2006 and 2007 the proportion of TB patients screened for HIV almost quadrupled

**CPT and ART for HIV-positive TB patients**

The proportion of HIV-positive TB patients receiving ART fell substantially in 2007 compared with 2006

**CONTRIBUTING TO HEALTH SYSTEM STRENGTHENING**

Control of TB is fully integrated into the primary health-care system, within which it has contributed to strengthening laboratory capacity. TB control is well aligned with the national health plan, the SWAP and with the Medium-term Expenditure Framework for health. The NTP is a leader in engaging private pharmacies to deliver public health interventions.

Practical Approach to Lung Health (PAL), 2007

Number of health-care facilities providing PAL services	0	As % of total number of health-care facilities	0
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ENGAGING ALL CARE PROVIDERS**Public-public and public-private approaches (PPM), 2007**

	Number collaborating (total number of providers)	% total notified TB	
		Diagnosed	Treated
Public sector	– (–)	–	–
Private sector	1 358 (–)	–	2.3

International Standards for Tuberculosis Care (ISTC)

ISTC endorsed by professional organizations?	No
ISTC included in medical curriculum?	No

EMPOWERING PEOPLE WITH TB, AND COMMUNITIES**Advocacy, communication and social mobilization (ACSM)**

The NTP participated in an ACSM workshop and drafted a 12-month action plan for implementation of ACSM activities and a national strategic plan. Materials for World TB Day included a 10-minute video of senior ministry officials, health care staff and TB patients explaining what they were doing to stop TB. A communication strategy to raise awareness about TB among indigenous communities in north-east Cambodia was also developed. IEC materials produced by the NTP are widely used, including by NGOs.

Community participation in TB care and Patients' Charter

Approximately 50% of public health centres in the country are implementing activities to involve communities in TB control; the target is to increase this to >80% by 2010. In areas where the initiative has been implemented, treatment supporters ("DOT watchers") are often involved in other support groups or NGOs and are supervised regularly by health centre staff. The Patients' Charter is not yet being used in health facilities.

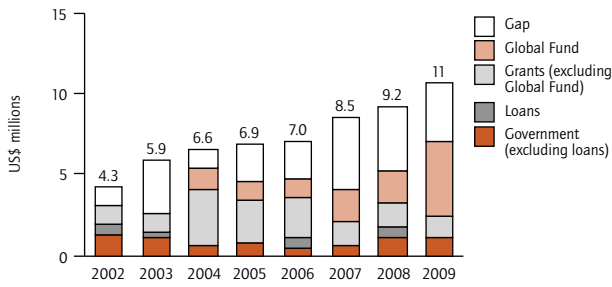
ENABLING AND PROMOTING RESEARCH**Programme-based operational research, 2007**

Operational research budget (% of NTP budget)	3.5%
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FINANCING

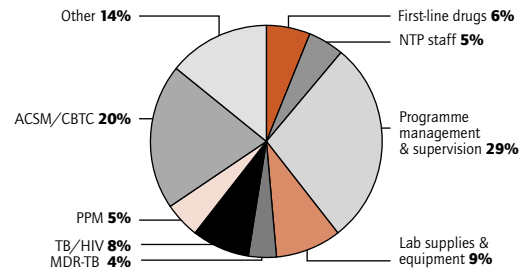
a. NTP budget by source of funding

Continued increase in budget with increased funding in 2009; Global Fund is now the main source of financing



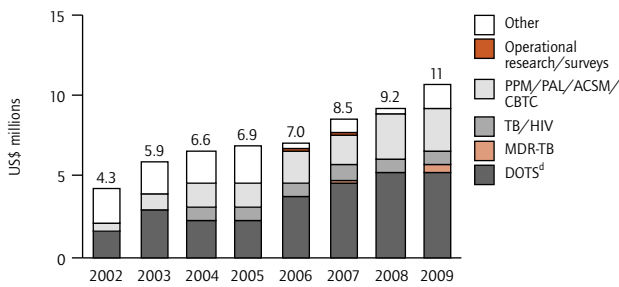
b. NTP budget line items in 2009

DOTS accounts for almost half (49%) of the NTP budget; large share of the budget is for ACSM, especially compared with other HBCs



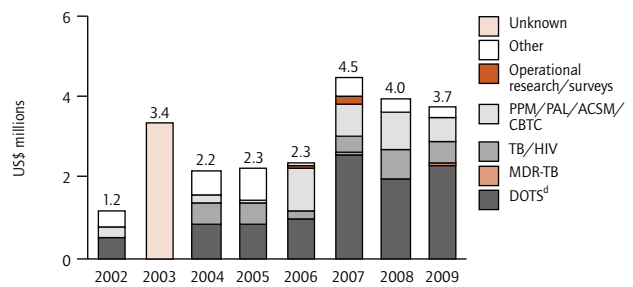
c. NTP budget by line item

Large increase in budgets for DOTS and ACSM since 2004



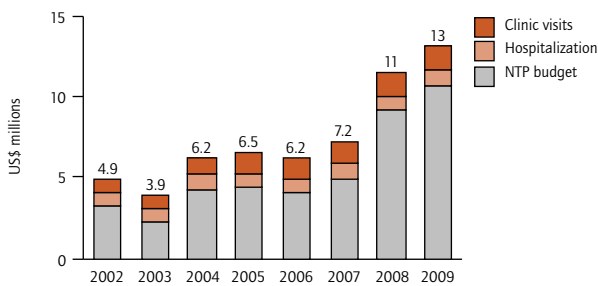
d. NTP funding gap by line item

Funding gaps have persisted and within DOTS are mainly for programme management and supervision



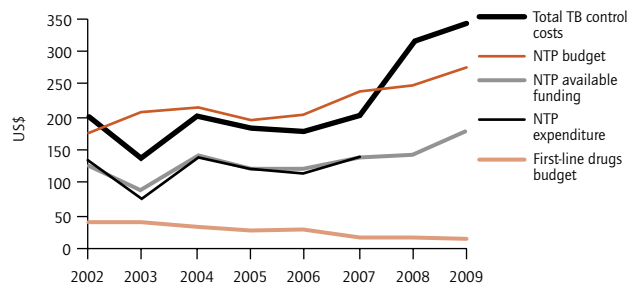
e. Total TB control costs by line item¹

Cost of clinic visits based on 64 visits per patient during treatment for new TB patients; hospitalization costs are for 1200 TB beds



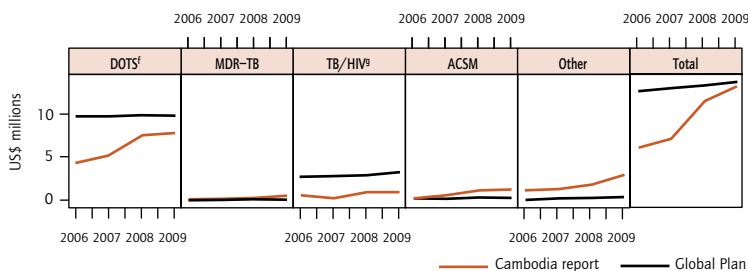
f. Per patient costs, budgets and expenditures²

Increased cost, expenditure and available funding per patient but declining first-line drugs budget per patient; expenditures close to available funding



g. Global Plan compared with country reports^a

Global Plan funding requirements higher for DOTS and TB/HIV due to higher projections of patients to be treated (ss-/extrapulmonary under DOTS and HIV+ TB patients on ART, respectively); country plan ahead of Global Plan for other categories



h. NTP budget and funding gap by Stop TB Strategy component (US\$ millions)

Component	2009 BUDGET	GAP
DOTS expansion and enhancement	5.2	2.3
TB/HIV, MDR-TB and other challenges	1.3	0.6
Health system strengthening	0	0
Engage all care providers	0.5	0.2
People with TB, and communities	2.2	0.5
Research and surveys	0	0
Other	1.5	0.2

SOURCES, METHODS AND ABBREVIATIONS

^{a-g} Please see footnotes page 169.

¹ Total TB control costs for 2002–2007 are based on expenditure, whereas those for 2008–2009 are based on budgets. Estimates of the costs of clinic visits and hospitalization are WHO estimates based on data provided by the NTP and from other sources. See Methods for further details.

² NTP available funding for 2004–2007 is based on the amount of funding actually received, using retrospective data; available funding for 2002–2003 and 2008–2009 is based on prospectively reported budget data, and estimated as the total budget minus any reported funding gap.

- indicates not available or not applicable; pop, population; ss+, sputum smear-positive; ss-, sputum smear-negative pulmonary; unk, pulmonary - sputum smear not done or result unknown.

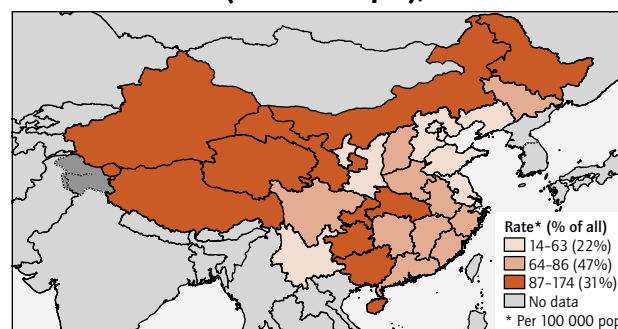
China

China is maintaining high case detection and treatment success rates. Efforts to improve access to TB care are being accelerated in order to achieve faster reductions in prevalence and mortality. Capacity building to improve the quality of data and analysis will contribute to an improved understanding of TB epidemiology in the country and a better understanding of the situation of hard-to-reach populations such as migrants, ethnic minorities and the elderly. There is a need to plan for rapid scale-up of programmatic management of MDR-TB, including sustainable financing for human resources, quality-assured laboratories and second-line drugs. Collaboration and coordination between the public health sector and the general and specialized hospitals are a challenge given the financing arrangements for public health services in hospitals.

SURVEILLANCE AND EPIDEMIOLOGY

Population (thousands) ^a	1 328 630	
Estimates of epidemiological burden, 2007^b	ALL	IN HIV+ PEOPLE
Incidence		
All forms of TB (thousands of new cases per year)	1 306	25
All forms of TB (new cases per 100 000 pop/year)	98	1.9
Rate of change in incidence rate (%), 2006-2007	-1.0	-0.4
New ss+ cases (thousands of new cases per year)	585	8.6
New ss+ cases (per 100 000 pop/year)	44	0.7
HIV+ incident TB cases (% of all TB cases)	1.9	—
Prevalence		
All forms of TB (thousands of cases)	2 582	12
All forms of TB (cases per 100 000 pop)	194	0.9
2015 target for prevalence (cases per 100 000 pop)	164	—
Mortality		
All forms of TB (thousands of deaths per year)	201	6.8
All forms of TB (deaths per 100 000 pop/year)	15	0.5
2015 target for mortality (deaths per 100 000 pop/year)	12	—
Multidrug-resistant TB (MDR-TB)		
MDR-TB among all new TB cases (%)	5.0	—
MDR-TB among previously treated TB cases (%)	26	—

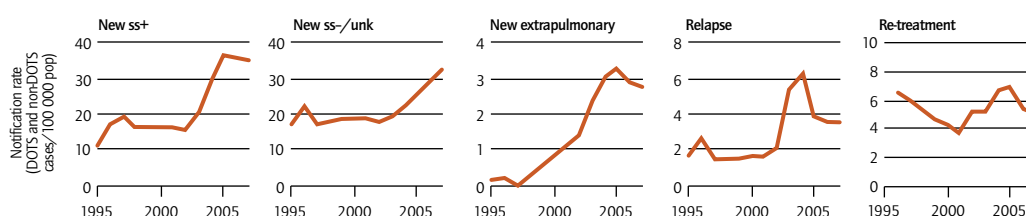
TB notification rate (new and relapse), 2007



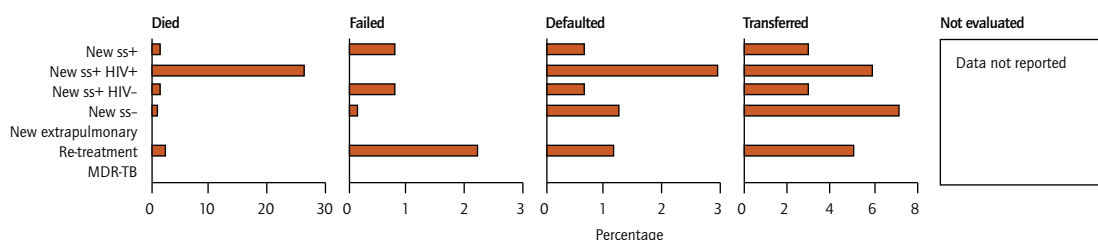
Total notifications, 2007

Notified new and relapse cases (thousands)	980
Notified new and relapse cases (per 100 000 pop/year)	74
Notified new ss+ cases (thousands)	466
Notified new ss+ cases (per 100 000 pop/year)	35
as % of new pulmonary cases	52
sex ratio (male/female)	2.4
DOTS case detection rate (% of estimated new ss+)	80
Notified new extrapulmonary cases (thousands)	37
as % of notified new cases	3.9
Notified new ss+ cases in children (<15 years) (thousands)	2.1
as % of notified new ss+ cases	0.5

Case notifications



Unfavourable treatment outcomes, 2006 cohorts



	2000	2001	2002	2003	2004	2005	2006	2007
DOTS coverage (%)	68	68	78	91	96	100	100	100
Notification rate (new & relapse cases/100 000 pop)	36	37	36	47	61	68	71	74
% notified new & relapse cases reported under DOTS	78	78	83	90	97	100	100	100
Notification rate (new ss+ cases/100 000 pop)	16	16	15	21	29	36	35	35
% notified new ss+ cases reported under DOTS	90	90	92	96	98	100	100	100
Case detection rate (all new cases, %)	32	33	33	41	54	64	68	71
Case detection rate (new ss+ cases, %)	34	34	33	45	65	80	80	80
Treatment success (new ss+ patients, %)	93	95	92	93	94	94	94	—
Re-treatment success (ss+ patients, %)	89	92	88	89	89	90	89	—

Note: notification, case detection and treatment success rates are for the whole country (i.e. DOTS and non-DOTS cases combined).

DOTS EXPANSION AND ENHANCEMENT

Overview of services for diagnosis of TB and treatment of patients

Description of basic management unit	District TB dispensary
Number of units (DOTS/total), 2007	2681/2681
Location of NTP services	
Rural	Village health clinic
Urban	Community health service station
NTP services part of general primary health-care network?	Yes
Location where TB diagnosed	
Rural	County TB dispensary
Urban	District TB dispensary
Diagnosis free of charge?	Yes (all suspects)
Treatment supervised?	All patients in all units
Intensive phase	Health-care worker, community member, family member
Continuation phase	Health-care worker, community member, family member
Category I regimen	2HRZE3/4HR3
Treatment free of charge	All patients in all units
External review missions	last: 2008 next: 2009

Political commitment

National strategic plan?	Yes (2001–2010)
Mechanism for national interagency coordination?	Yes (established 2002)
National Stop TB Partnership?	Yes (established 2002)

Financial indicators, 2009

(see final page for detailed presentation)	%
Government contribution to NTP budget (incl loans)	77
Government contribution to total cost TB control (incl loans)	77
Government health spending used for TB control	0.5
NTP budget funded	96

Per capita health financial indicators, 2009

	US\$
NTP budget per capita	0.2
Total costs for TB control per capita	0.2
Funding gap per capita	0.01
Government health expenditure per capita (2005)	31
Total health expenditure per capita (2005)	81

Quality-assured bacteriology

National reference laboratory?	Yes
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All TB laboratories performing EQA of smear microscopy or DST under the supervision of the National Reference Laboratory

	Smear				Culture		DST			
	Number	per 100 000	EQA	% adeq perf	Number	per 5 000 000	Number	per 10 000 000	EQA	% adeq perf
2007	3 294	0.2	3 294	98%	327	1.2	187	1.4	13	100%
2008	3 294	0.2	3 294	–	507	1.9	187	1.4	33	–

Note: for routine diagnosis, there should be at least one laboratory providing smear microscopy per 100 000 population. To provide culture for diagnosis of paediatric, extra-pulmonary and ss-/HIV+ TB, as well as DST of re-treatment and failure cases, most countries will need one culture facility per 5 million population and one DST facility per 10 million population. EQA column shows number of laboratories for which EQA was done. Adeq perf; adequate performance for microscopy based on results of EQA.

System for managing drug supplies and laboratory equipment

	Central level			Peripheral level		
	2005	2006	2007	2005	2006	2007
Stock-outs of laboratory supplies?	–	Yes	No	–	Some units	No
Stock-outs of first-line anti-TB drugs?	No	No	No	Yes	No	No

Monitoring and evaluation system, and impact measurement

NTP publishes annual report?	Yes (since 2004)	Burden and impact assessment		last	next
% of BMUs reporting to next level in 2007		In-depth analysis of routine surveillance data	Yes	2006	2008
Case-finding	100%	Prevalence of disease survey	Yes, national	2000	2010
Treatment outcomes	100%	Prevalence of infection survey	Yes, national	2000	2010
		Drug resistance survey	Yes, sub-national	1997–2005	Ongoing
		Mortality survey	Yes	2000	2010
		Analysis of vital registration data	No	–	–

MDR-TB, TB/HIV AND OTHER CHALLENGES

Multidrug-resistant TB (MDR-TB)	2005	2006	2007
	Number (% of estimated ss+ MDR-TB)		
Estimated incidence of ss+ MDR cases	76 783	76 471	76 154
Diagnosed and notified	– (–%)	– (–%)	– (–%)
Registered for treatment	– (–%)	– (–%)	– (–%)
GLC	0	0	0
non-GLC	–	–	–

MDR-TB, TB/HIV AND OTHER CHALLENGES (continued)**Detection and treatment of HIV in TB patients, 2007**

TB patients for whom the HIV test result was known	34 557
as % of all notified TB patients	3.3
TB patients with positive HIV test	1 187
as % of all estimated HIV+ TB cases	4.8
HIV+ TB patients started or continued on CPT	679
as % of HIV+ TB patients notified	57
HIV+ TB patients started or continued on ART	519
as % of HIV+ TB patients notified	44

Screening for TB in HIV-positive patients, 2007

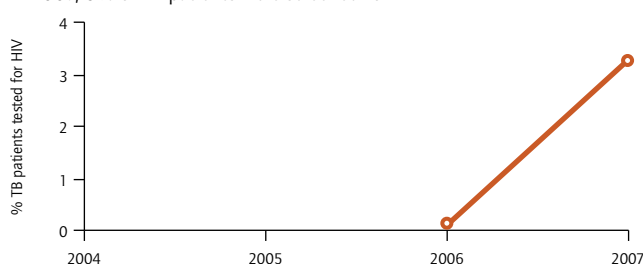
HIV+ patients in HIV care or ART register	39 866
Screened for TB	16 931
as % of HIV+ patients in HIV care or ART register	42
Started on TB treatment	899
as % of HIV+ patients in HIV care or ART register	2.3
Started on IPT	0
as % of HIV+ patients without TB in HIV care or ART register	0

High-risk groups, 2007

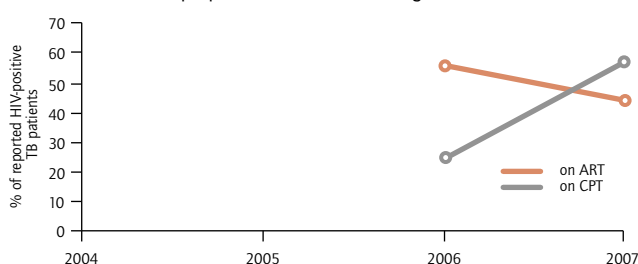
Number of close contacts of ss+ TB patients screened	828 931
Number of TB cases identified among contacts	43 577
% of contacts with TB	5
Contacts started on IPT	–
% of contacts without TB on IPT	–

HIV testing for TB patients

In 2007, 3% of TB patients were screened for HIV

**CPT and ART for HIV-positive TB patients**

In 2007 the proportion of HIV-positive TB patients receiving ART decreased while the proportion of those receiving CPT doubled

**CONTRIBUTING TO HEALTH SYSTEM STRENGTHENING**

A major challenge to strengthening health systems is the lack of coordination between disease-specific control programmes and the hospital sector, where the focus on public health is weak and where most revenue is generated through user charges. The NTP has started to bridge this gap by improving referral and notification linkages between general hospitals and TB dispensaries, building on the existing web-based electronic notification system for communicable diseases.

Practical Approach to Lung Health (PAL), 2007

Number of health-care facilities providing PAL services	–	As % of total number of health-care facilities	–
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ENGAGING ALL CARE PROVIDERS**Public-public and public-private approaches (PPM), 2007**

	Number collaborating (total number of providers)		% total notified TB	
	Diagnosed	Treated	Diagnosed	Treated
Public sector	47 696 (47 696)	–	–	–
Private sector	– (–)	–	–	–

International Standards for Tuberculosis Care (ISTC)

ISTC endorsed by professional organizations?	No
ISTC included in medical curriculum?	No

EMPOWERING PEOPLE WITH TB, AND COMMUNITIES**Advocacy, communication and social mobilization (ACSM)**

A national ACSM strategy that includes impact indicators has been developed. A major component of this strategy is a year-round national Stop TB campaign that is supported by an ambassador who is a well-known folk singer. The campaign coordinates a variety of activities including a TB knowledge contest organized through a prominent Chinese web portal; close collaboration with the mass media including TB-specific programming and public service announcements on television; campaigns to increase awareness about TB in schools and local communities; and public events on World TB Day featuring the vice minister and other senior officials of the Ministry of Health, the TB ambassador and NTP programme managers. Courses for training provincial health promotion staff about IEC materials, developing communication strategies, and monitoring and evaluation have also been held.

Community participation in TB care and Patients' Charter

Activities to raise community awareness are being implemented. Treatment support by community, township and village health workers is due to be introduced with funding from the Global Fund round 8 grant. No data on use of the Patients' Charter were reported.

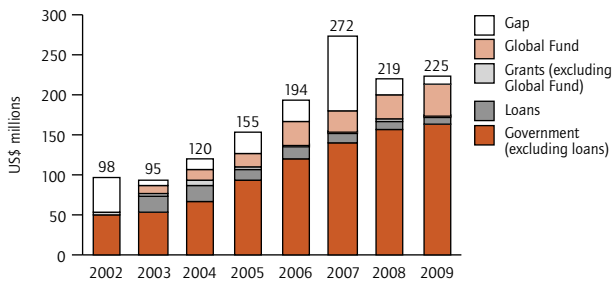
ENABLING AND PROMOTING RESEARCH**Programme-based operational research, 2007**

Operational research budget (% of NTP budget)	0.4%
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FINANCING

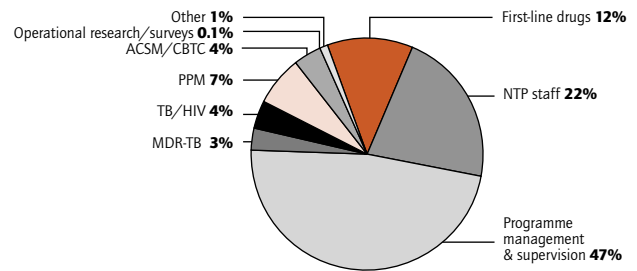
a. NTP budget by source of funding

NTP budget more than doubled since 2002 with minimal funding gap in 2009; now benefiting from Global Fund round 1 Rolling Continuation Channel



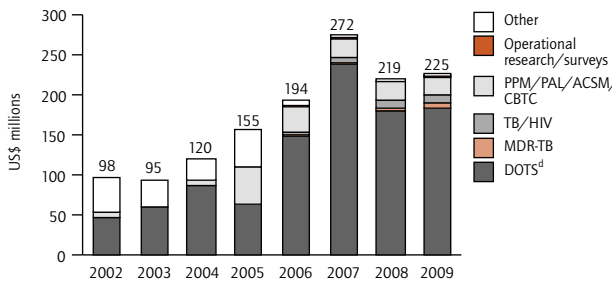
b. NTP budget line items in 2009

82% of budget is for DOTS; budget for MDR-TB relatively small



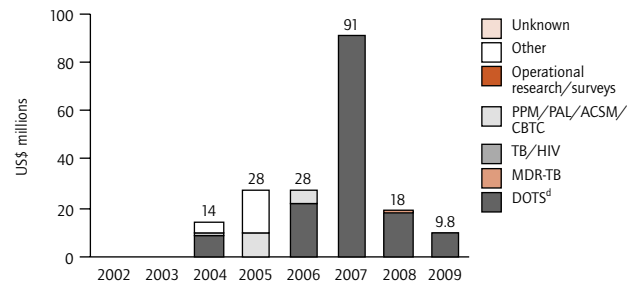
c. NTP budget by line item

Budget for MDR-TB diagnosis and treatment has more than tripled since 2007 but remains small; apart from DOTS, largest budget is for PPM



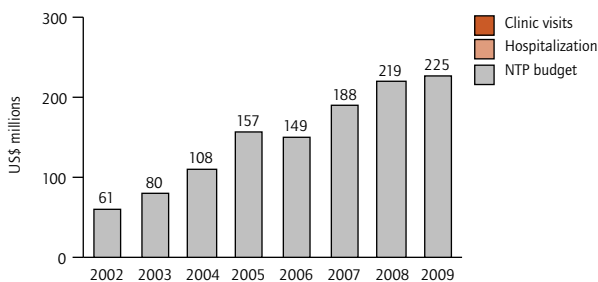
d. NTP funding gap by line item

Funding gaps within DOTS are for routine programme management and supervision



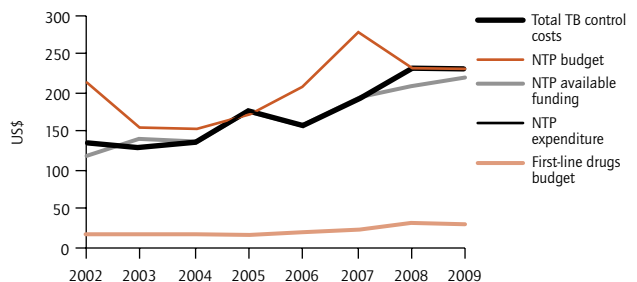
e. Total TB control costs by line item¹

All costs for TB control are included in the NTP budget



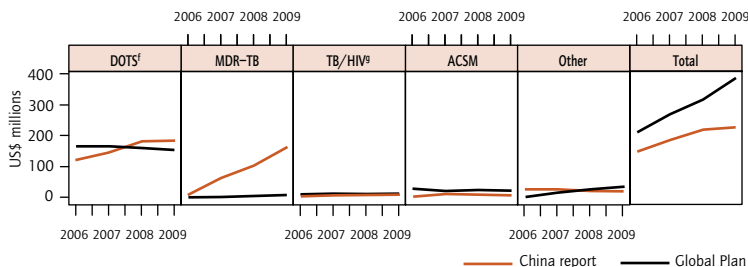
f. Per patient costs, budgets and expenditures^{2,3}

Increased cost, budget and expenditure per patient since 2006 as more elements of the Stop TB Strategy are implemented; budgets, available funding and expenditures very similar



g. Global Plan compared with country reports⁴

Higher projections of patients to be treated mean country estimates of funding requirements for DOTS higher than Global Plan estimates; in contrast, plans and associated funding requirements for enrolment of patients on MDR-TB treatment are far below Global Plan targets



h. NTP budget and funding gap by Stop TB Strategy component (US\$ millions)

Component	2009 BUDGET	GAP
DOTS expansion and enhancement	184	9.8
TB/HIV, MDR-TB and other challenges	16	0
Health system strengthening	0	0
Engage all care providers	16	0
People with TB, and communities	7.9	0
Research and surveys	0.3	0
Other	1.2	0

SOURCES, METHODS AND ABBREVIATIONS

^{a-g} Please see footnotes page 169.

¹ Total TB control costs for 2002–2007 are based on expenditure, whereas those for 2008–2009 are based on budgets. Estimates of the costs of clinic visits and hospitalization are WHO estimates based on data provided by the NTP and from other sources. See Methods for further details.

² NTP available funding for 2004–2007 is based on the amount of funding actually received, using retrospective data; available funding for 2002–2003 and 2008–2009 is based on prospectively reported budget data, and estimated as the total budget minus any reported funding gap.

³ Estimates of expenditure are based on received funding.

– indicates not available or not applicable; pop, population; ss+, sputum smear-positive; ss-, sputum smear-negative pulmonary; unk, pulmonary – sputum smear not done or result unknown.

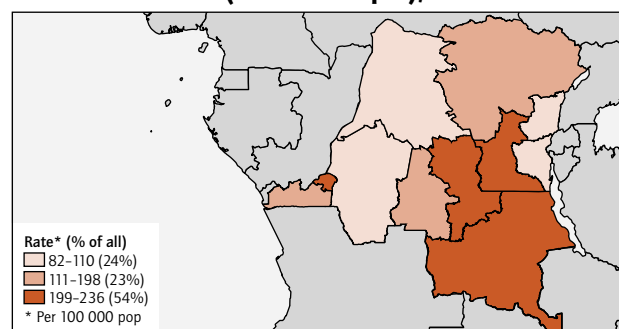
Democratic Republic of the Congo

The case notification rate increased in 2007 following intensive efforts to implement the Stop TB Strategy. Treatment success rates are above target at 86%. Major efforts are required to expand collaborative TB/HIV activities and diagnosis and treatment of MDR-TB. The diagnostic capacity of the NRL has improved and the construction of a larger NRL will be completed in 2008. Recurrent shortages of drugs and supplies, including HIV test kits, need to be addressed. The health system faces considerable obstacles with regard to basic infrastructure, human resources and security problems. TB control is well aligned with the national health plan, the SWAP, and with the Medium-term Expenditure Framework for health. Despite increased funding in recent years, large funding gaps remain.

SURVEILLANCE AND EPIDEMIOLOGY

Population (thousands) ^a	62 636	
Estimates of epidemiological burden, 2007^b	ALL	IN HIV+ PEOPLE
Incidence		
All forms of TB (thousands of new cases per year)	245	14
All forms of TB (new cases per 100 000 pop/year)	392	23
Rate of change in incidence rate (%), 2006-2007	-2.6	-2.1
New ss+ cases (thousands of new cases per year)	109	5.1
New ss+ cases (per 100 000 pop/year)	174	8.1
HIV+ incident TB cases (% of all TB cases)	5.9	—
Prevalence		
All forms of TB (thousands of cases)	417	7.2
All forms of TB (cases per 100 000 pop)	666	12
2015 target for prevalence (cases per 100 000 pop)	138	—
Mortality		
All forms of TB (thousands of deaths per year)	51	6.0
All forms of TB (deaths per 100 000 pop/year)	82	9.6
2015 target for mortality (deaths per 100 000 pop/year)	18	—
Multidrug-resistant TB (MDR-TB)		
MDR-TB among all new TB cases (%)	2.3	—
MDR-TB among previously treated TB cases (%)	10	—

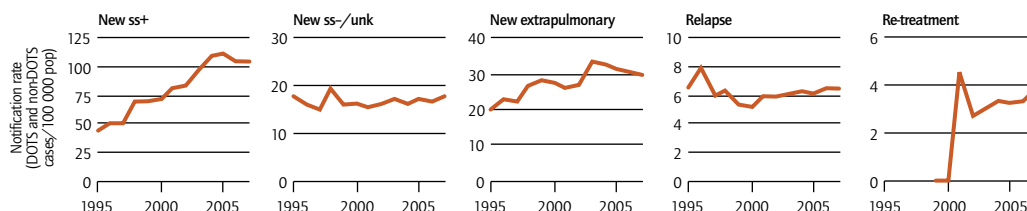
TB notification rate (new and relapse), 2007



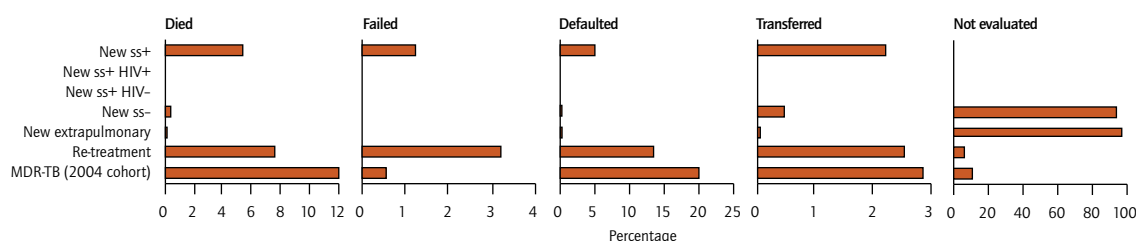
Total notifications, 2007

Notified new and relapse cases (thousands)	100
Notified new and relapse cases (per 100 000 pop/year)	159
Notified new ss+ cases (thousands)	66
Notified new ss+ cases (per 100 000 pop/year)	106
as % of new pulmonary cases	86
sex ratio (male/female)	1.1
DOTS case detection rate (% of estimated new ss+)	61
Notified new extrapulmonary cases (thousands)	19
as % of notified new cases	20
Notified new ss+ cases in children (<15 years) (thousands)	3.2
as % of notified new ss+ cases	4.8

Case notifications



Unfavourable treatment outcomes, 2006 cohorts



	2000	2001	2002	2003	2004	2005	2006	2007
DOTS coverage (%)	70	70	70	75	75	100	100	100
Notification rate (new & relapse cases/100 000 pop)	120	128	132	153	164	165	158	159
% notified new & relapse cases reported under DOTS	100	100	100	100	100	100	100	100
Notification rate (new ss+ cases/100 000 pop)	71	81	83	97	109	111	105	106
% notified new ss+ cases reported under DOTS	100	100	100	100	100	100	100	100
Case detection rate (all new cases, %)	33	33	32	36	38	39	38	39
Case detection rate (new ss+ cases, %)	47	49	47	53	59	60	59	61
Treatment success (new ss+ patients, %)	78	77	78	83	85	85	86	—
Re-treatment success (ss+ patients, %)	—	—	67	72	71	74	67	—

Note: notification, case detection and treatment success rates are for the whole country (i.e. DOTS and non-DOTS cases combined).

DOTS EXPANSION AND ENHANCEMENT

Overview of services for diagnosis of TB and treatment of patients

Description of basic management unit	Health centre or hospital
Number of units (DOTS/total), 2007	1205/1205
Location of NTP services	
Rural	Health centre, referral health centre, hospital
Urban	Health centre, referral health centre
NTP services part of general primary health-care network?	Yes
Location where TB diagnosed	
Rural	Health centre or hospital
Urban	Health centre or hospital
Diagnosis free of charge?	Yes (if TB is confirmed)
Treatment supervised?	All patients in all units
Intensive phase	Health-care worker, community member, family member
Continuation phase	Health-care worker, community member, family member
Category I regimen	2(HRZE)/4(HR)
Treatment free of charge	All patients in all units
External review missions	last: – next: –

Political commitment

National strategic plan?	Yes (2006–2015)
Mechanism for national interagency coordination?	Yes (established 2005)
National Stop TB Partnership?	No (planned –)

Financial indicators, 2009

(see final page for detailed presentation)		%
Government contribution to NTP budget (incl loans)		3.1
Government contribution to total cost TB control (incl loans)		21
Government health spending used for TB control		64
NTP budget funded		30

Per capita health financial indicators, 2009

	US\$
NTP budget per capita	0.8
Total costs for TB control per capita	1.0
Funding gap per capita	0.6
Government health expenditure per capita (2005)	1.7
Total health expenditure per capita (2005)	5.0

Quality-assured bacteriology

National reference laboratory?	Yes
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All TB laboratories performing EQA of smear microscopy or DST under the supervision of the National Reference Laboratory

	Smear				Culture		DST			
	Number	per 100 000	EQA	% adeq perf	Number	per 5 000 000	Number	per 10 000 000	EQA	% adeq perf
2007	1 205	1.9	1 023	60%	1	0.1	1	0.2	1.0	0%
2008	1 545	2.4	1 545	–	1	0.1	1	0.2	1.0	–

Note: for routine diagnosis, there should be at least one laboratory providing smear microscopy per 100 000 population. To provide culture for diagnosis of paediatric, extra-pulmonary and ss-/HIV+ TB, as well as DST of re-treatment and failure cases, most countries will need one culture facility per 5 million population and one DST facility per 10 million population. EQA column shows number of laboratories for which EQA was done. Adeq perf; adequate performance for microscopy based on results of EQA.

System for managing drug supplies and laboratory equipment

	Central level			Peripheral level		
	2005	2006	2007	2005	2006	2007
Stock-outs of laboratory supplies?	–	No	No	–	Some units	No
Stock-outs of first-line anti-TB drugs?	No	No	Yes	Yes	Some units	Some units

Monitoring and evaluation system, and impact measurement

NTP publishes annual report?	No	Burden and impact assessment		last	next
% of BMUs reporting to next level in 2007		In-depth analysis of routine surveillance data	Yes	2005	2010
Case-finding	100%	Prevalence of disease survey	No	–	–
Treatment outcomes	100%	Prevalence of infection survey	No	–	–
		Drug resistance survey	Yes, sub-national	1999	–
		Mortality survey	No	–	–
		Analysis of vital registration data	No	–	–

MDR-TB, TB/HIV AND OTHER CHALLENGES

	2005	2006	2007
	Number (% of estimated ss+ MDR-TB)		
Estimated incidence of ss+ MDR cases	4 087	4 112	4 137
Diagnosed and notified	178 (4.4%)	118 (2.9%)	82 (2.0%)
Registered for treatment	178 (4.4%)	118 (2.9%)	79 (1.9%)
GLC	0	0	0
non-GLC	178	118	79

MDR-TB, TB/HIV AND OTHER CHALLENGES (continued)

Detection and treatment of HIV in TB patients, 2007

TB patients for whom the HIV test result was known	14 484
as % of all notified TB patients	14
TB patients with positive HIV test	2 129
as % of all estimated HIV+ TB cases	15
HIV+ TB patients started or continued on CPT	2 015
as % of HIV+ TB patients notified	95
HIV+ TB patients started or continued on ART	419
as % of HIV+ TB patients notified	20

Screening for TB in HIV-positive patients, 2007

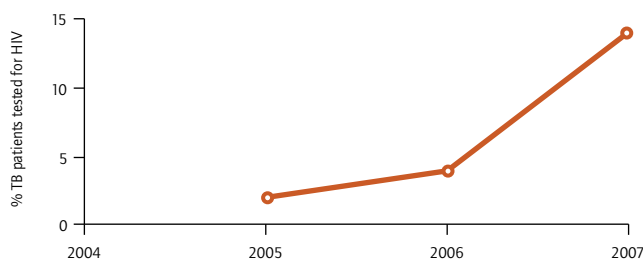
HIV+ patients in HIV care or ART register	277 202
Screened for TB	—
as % of HIV+ patients in HIV care or ART register	—
Started on TB treatment	—
as % of HIV+ patients in HIV care or ART register	—
Started on IPT	—
as % of HIV+ patients without TB in HIV care or ART register	—

High-risk groups, 2007

Number of close contacts of ss+ TB patients screened	—
Number of TB cases identified among contacts	—
% of contacts with TB	—
Contacts started on IPT	—
% of contacts without TB on IPT	—

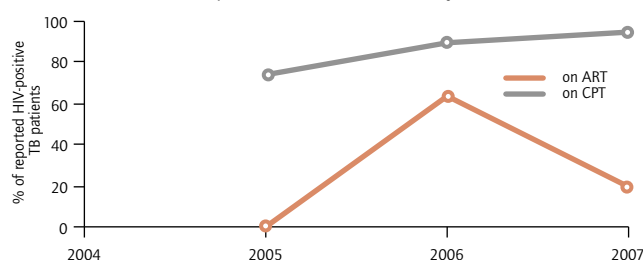
HIV testing for TB patients

The proportion of TB patients screened for HIV has increased steadily over the past three years but remains low



CPT and ART for HIV-positive TB patients

The proportion of patients receiving ART has declined by two thirds from 2006 to 2007 while the provision of CPT has steadily increased



CONTRIBUTING TO HEALTH SYSTEM STRENGTHENING

Limited basic infrastructure, shortage of human resources and security problems in several areas are challenges affecting health systems in general and TB control in particular. The NTP is addressing these challenges jointly with other stakeholders by aligning its NTP plan with the national health plan, the SWAP and the Medium-term Expenditure Framework for health.

Practical Approach to Lung Health (PAL), 2007

Number of health-care facilities providing PAL services	0	As % of total number of health-care facilities	0
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ENGAGING ALL CARE PROVIDERS

Public-public and public-private approaches (PPM), 2007

	Number collaborating (total number of providers)		% total notified TB	
	Diagnosed	Treated	Diagnosed	Treated
Public sector	— (-)	—	—	—
Private sector	551 (-)	—	—	—

International Standards for Tuberculosis Care (ISTC)

ISTC endorsed by professional organizations?	No
ISTC included in medical curriculum?	Yes

EMPOWERING PEOPLE WITH TB, AND COMMUNITIES

Advocacy, communication and social mobilization (ACSM)

A KAP survey is planned for 2009.

Community participation in TB care and Patients' Charter

Communities have been involved in TB control in five areas, through inclusion of family or community members as treatment supporters. However, no administrative area has full coverage of community-based services. One large patient organization is involved in TB treatment in 33 health centres in the capital and is committed to expanding a wide range of activities. TB indicators in the area have been steadily increasing since community-based services were launched in 1999.

ENABLING AND PROMOTING RESEARCH

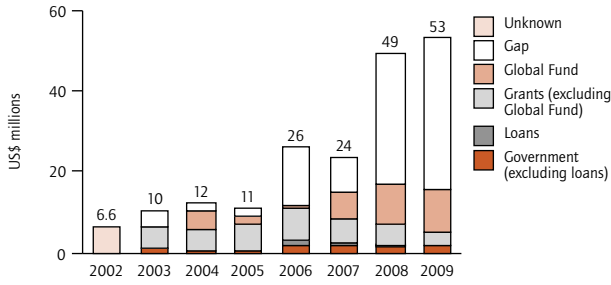
Programme-based operational research, 2007

Operational research budget (% of NTP budget)	1.7%
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FINANCING

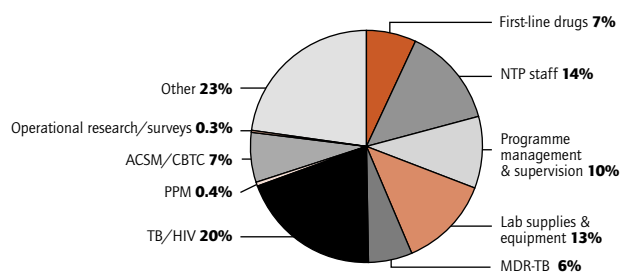
a. NTP budget by source of funding

Large increase in budget since 2008 after major revision of strategic plan and budget; funding has grown but large funding gap remains



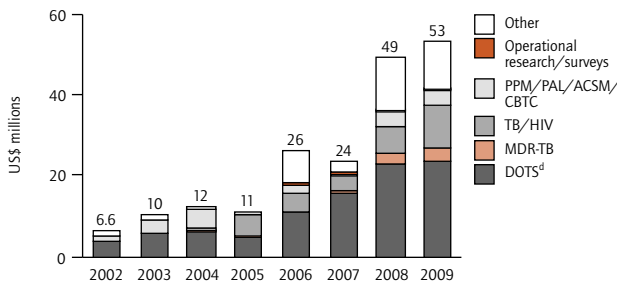
b. NTP budget line items in 2009

Largest share of budget is for DOTS (44%), Other (23%) and collaborative TB/HIV activities (20%)



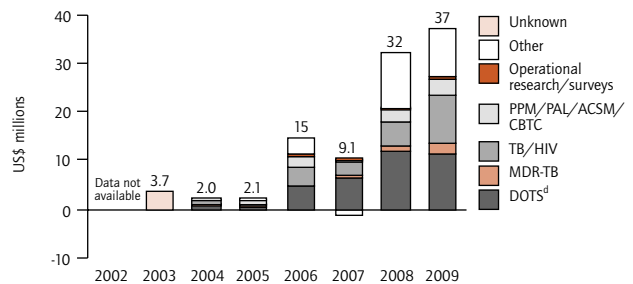
c. NTP budget by line item

Within DOTS, increased budget is for NTP staff and laboratory supplies and equipment; also noticeable increase in budget for TB/HIV and ACSM



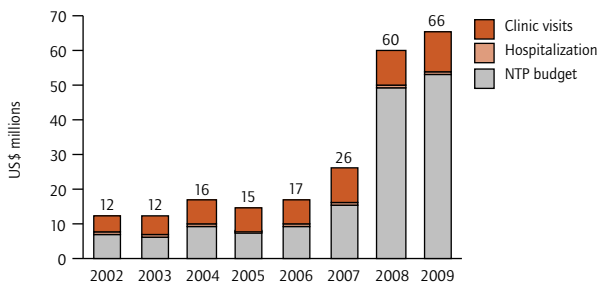
d. NTP funding gap by line item

Funding gaps for all major budget categories; within DOTS in 2008 and 2009 gaps are mainly for dedicated NTP staff and laboratory supplies and equipment



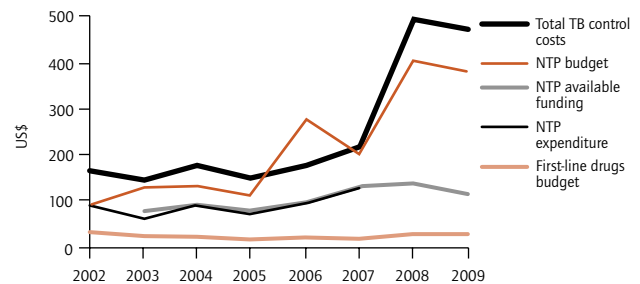
e. Total TB control costs by line item¹

Cost of clinic visits based on 76 visits for new patients during treatment; minimal reliance on hospitalization



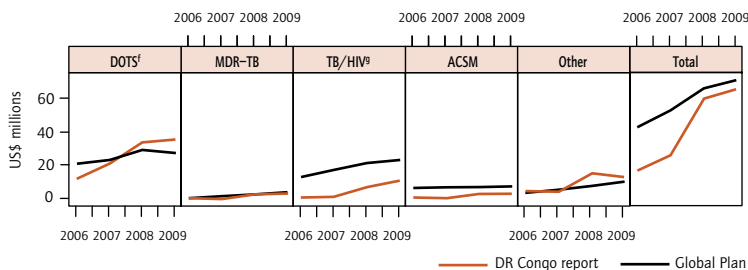
f. Per patient costs, budgets and expenditures²

Increasing cost per patient since 2005 as newer elements of TB control introduced; expenditure similar to available funding suggesting good absorption capacity



g. Global Plan compared with country reports³

Country plan in line with the Global Plan in 2008 and 2009 except for TB/HIV; full implementation requires funding gaps to be closed



h. NTP budget and funding gap by Stop TB Strategy component (US\$ millions)

Component	2009 BUDGET	GAP
DOTS expansion and enhancement	23	11
TB/HIV, MDR-TB and other challenges	14	12
Health system strengthening	0.2	0.2
Engage all care providers	0.2	0.2
People with TB, and communities	3.5	2.9
Research and surveys	0.2	0.2
Other	12	10

SOURCES, METHODS AND ABBREVIATIONS

^{a-g} Please see footnotes page 169.

¹ Total TB control costs for 2002-2007 are based on expenditure, whereas those for 2008-2009 are based on budgets. Estimates of the costs of clinic visits and hospitalization are WHO estimates based on data provided by the NTP and from other sources. See Methods for further details.

² NTP available funding for 2004-2007 is based on the amount of funding actually received, using retrospective data; available funding for 2002-2003 and 2008-2009 is based on prospectively reported budget data, and estimated as the total budget minus any reported funding gap.

- indicates not available or not applicable; pop, population; ss+, sputum smear-positive; ss-, sputum smear-negative pulmonary; unk, pulmonary - sputum smear not done or result unknown.

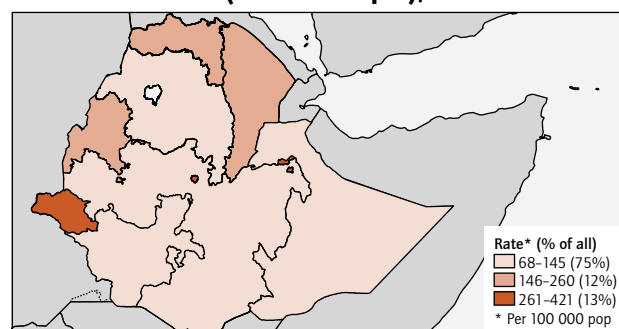
Ethiopia

In 2007, the Ministry of Health expanded the network of general health-care facilities and engaged health extension workers and private health clinics in a concerted effort to increase the case detection rate. Increases in the NTP budget for laboratory strengthening activities and intensified case-finding among HIV patients are expected to contribute to an improved case detection rate. Five regional laboratories are being rebuilt and equipped to conduct culture, DST and line-probe assays, in collaboration with GII/FIND/WHO. Although constrained by staff shortages, the NTP benefits from the global focus on the health worker crisis and the associated development of strategies to "treat, train, and retain" health workers. Piloting of MDR-TB treatment is under way, and a national survey of the prevalence of TB disease is planned for 2009–2010.

SURVEILLANCE AND EPIDEMIOLOGY

Population (thousands) ^a	83 099	
Estimates of epidemiological burden, 2007^b	ALL	IN HIV+ PEOPLE
Incidence		
All forms of TB (thousands of new cases per year)	314	61
All forms of TB (new cases per 100 000 pop/year)	378	74
Rate of change in incidence rate (%), 2006–2007	-2.6	-3.0
New ss+ cases (thousands of new cases per year)	135	21
New ss+ cases (per 100 000 pop/year)	163	26
HIV+ incident TB cases (% of all TB cases)	19	–
Prevalence		
All forms of TB (thousands of cases)	481	31
All forms of TB (cases per 100 000 pop)	579	37
2015 target for prevalence (cases per 100 000 pop)	156	–
Mortality		
All forms of TB (thousands of deaths per year)	76	23
All forms of TB (deaths per 100 000 pop/year)	92	28
2015 target for mortality (deaths per 100 000 pop/year)	20	–
Multidrug-resistant TB (MDR-TB)		
MDR-TB among all new TB cases (%)	1.6	–
MDR-TB among previously treated TB cases (%)	12	–

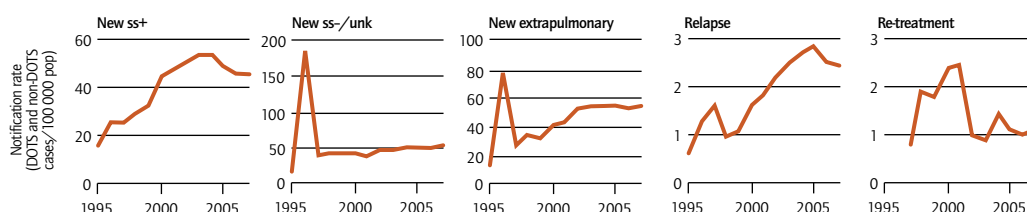
TB notification rate (new and relapse), 2007



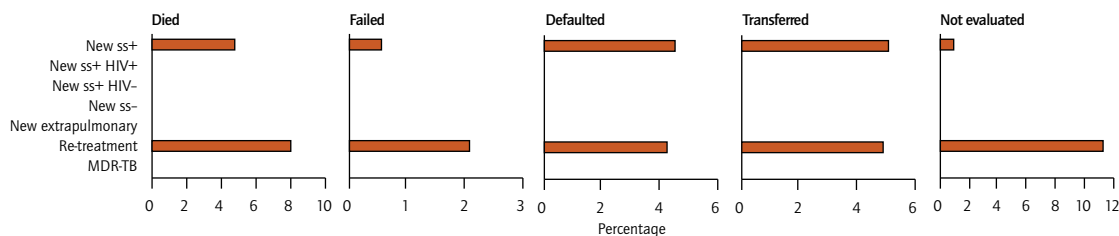
Total notifications, 2007

Notified new and relapse cases (thousands)	129
Notified new and relapse cases (per 100 000 pop/year)	155
Notified new ss+ cases (thousands)	38
Notified new ss+ cases (per 100 000 pop/year)	46
as % of new pulmonary cases	47
sex ratio (male/female)	1.2
DOTS case detection rate (% of estimated new ss+)	28
Notified new extrapulmonary cases (thousands)	45
as % of notified new cases	36
Notified new ss+ cases in children (<15 years) (thousands)	2.3
as % of notified new ss+ cases	6.0

Case notifications



Unfavourable treatment outcomes, 2006 cohorts



	2000	2001	2002	2003	2004	2005	2006	2007
DOTS coverage (%)	85	70	95	95	70	90	100	95
Notification rate (new & relapse cases/100 000 pop)	131	133	151	157	160	157	151	155
% notified new & relapse cases reported under DOTS	100	100	100	100	100	100	100	100
Notification rate (new ss+ cases/100 000 pop)	44	46	50	53	54	49	45	46
% notified new ss+ cases reported under DOTS	100	100	100	100	100	100	100	100
Case detection rate (all new cases, %)	39	36	39	39	39	39	38	40
Case detection rate (new ss+ cases, %)	31	30	30	31	31	28	27	28
Treatment success (new ss+ patients, %)	80	76	76	70	79	78	84	–
Re-treatment success (ss+ patients, %)	71	64	60	60	54	56	69	–

Note: notification, case detection and treatment success rates are for the whole country (i.e. DOTS and non-DOTS cases combined).

DOTS EXPANSION AND ENHANCEMENT

Overview of services for diagnosis of TB and treatment of patients

Description of basic management unit	Health centre or hospital
Number of units (DOTS/total), 2007	580/611
Location of NTP services	
Rural	Health centre
Urban	Health centre or hospital
NTP services part of general primary health-care network?	Yes
Location where TB diagnosed	
Rural	Health center
Urban	Health centre or hospital
Diagnosis free of charge?	Yes (all suspects)
Treatment supervised?	All patients in all units
Intensive phase	Health-care worker, community member
Continuation phase	Health-care worker, community member
Category I regimen	2(HRZE)/6(HE)
Treatment free of charge	All patients in all units
External review missions	last: 2002 next: –

Political commitment

National strategic plan?	Yes (2007–2010)
Mechanism for national interagency coordination?	Yes (established 2007)
National Stop TB Partnership?	No (planned 2009)

Financial indicators, 2009

(see final page for detailed presentation)	%
Government contribution to NTP budget (incl loans)	4.0
Government contribution to total cost TB control (incl loans)	27
Government health spending used for TB control	11
NTP budget funded	31

Per capita health financial indicators, 2009

	US\$
NTP budget per capita	0.3
Total costs for TB control per capita	0.4
Funding gap per capita	0.2
Government health expenditure per capita (2005)	3.9
Total health expenditure per capita (2005)	6.4

Quality-assured bacteriology

National reference laboratory?	Yes
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All TB laboratories performing EQA of smear microscopy or DST under the supervision of the National Reference Laboratory

	Smear				Culture		DST			
	Number	per 100 000	EQA	% adeq perf	Number	per 5 000 000	Number	per 10 000 000	EQA	% adeq perf
2007	833	1.0	–	–	1	0.1	1	0.1	0	–
2008	1 000	1.2	512	–	6	0.4	6	0.7	6.0	–

Note: for routine diagnosis, there should be at least one laboratory providing smear microscopy per 100 000 population. To provide culture for diagnosis of paediatric, extra-pulmonary and ss-/HIV+ TB, as well as DST of re-treatment and failure cases, most countries will need one culture facility per 5 million population and one DST facility per 10 million population. EQA column shows number of laboratories for which EQA was done. Adeq perf; adequate performance for microscopy based on results of EQA.

System for managing drug supplies and laboratory equipment

	Central level			Peripheral level		
	2005	2006	2007	2005	2006	2007
Stock-outs of laboratory supplies?	–	No	No	–	No	Some units
Stock-outs of first-line anti-TB drugs?	No	No	Yes	No	No	Some units

Monitoring and evaluation system, and impact measurement

NTP publishes annual report?	Yes (since 2004)	Burden and impact assessment		last	next
% of BMUs reporting to next level in 2007	–	In-depth analysis of routine surveillance data	No	–	–
Case-finding	–	Prevalence of disease survey	Yes, national	–	2009
Treatment outcomes	–	Prevalence of infection survey	No	–	–
		Drug resistance survey	Yes, national	2005	–
		Mortality survey	No	–	–
		Analysis of vital registration data	No	–	–

MDR-TB, TB/HIV AND OTHER CHALLENGES

	2005	2006	2007
	Number (% of estimated ss+ MDR-TB)		
Estimated incidence of ss+ MDR cases	3 088	3 088	3 086
Diagnosed and notified	– (–%)	– (–%)	145 (4.7%)
Registered for treatment	– (–%)	– (–%)	– (–%)
GLC	0	0	0
non-GLC	–	–	–

MDR-TB, TB/HIV AND OTHER CHALLENGES (CONTINUED)**Detection and treatment of HIV in TB patients, 2007**

TB patients for whom the HIV test result was known	20 723
as % of all notified TB patients	16
TB patients with positive HIV test	6 342
as % of all estimated HIV+ TB cases	10
HIV+ TB patients started or continued on CPT	4 529
as % of HIV+ TB patients notified	71
HIV+ TB patients started or continued on ART	2 658
as % of HIV+ TB patients notified	42

Screening for TB in HIV-positive patients, 2007

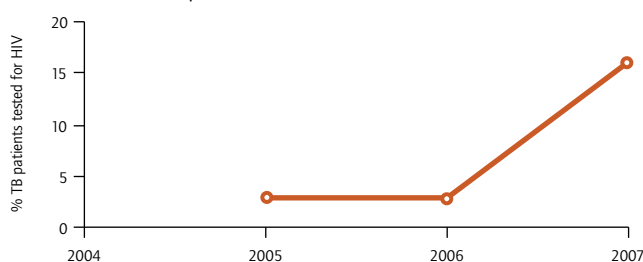
HIV+ patients in HIV care or ART register	58 000
Screened for TB	7 879
as % of HIV+ patients in HIV care or ART register	14
Started on TB treatment	2,000
as % of HIV+ patients in HIV care or ART register	3.4
Started on IPT	2 381
as % of HIV+ patients without TB in HIV care or ART register	4.3

High-risk groups, 2007

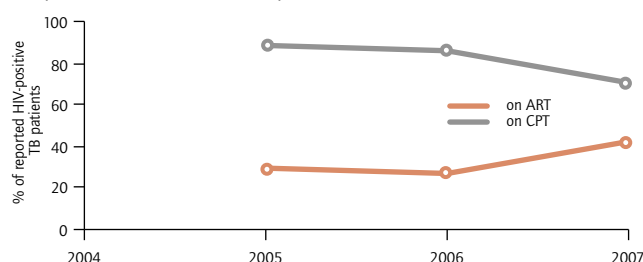
Number of close contacts of ss+ TB patients screened	–
Number of TB cases identified among contacts	–
% of contacts with TB	–
Contacts started on IPT	–
% of contacts without TB on IPT	–

HIV testing for TB patients

In 2007 there was a six-fold increase in the proportion of TB patients screened for HIV compared with 2006

**CPT and ART for HIV-positive TB patients**

The provision of ART to HIV-positive TB patients almost doubled in 2007 compared with 2006, while the provision of CPT has fallen

**CONTRIBUTING TO HEALTH SYSTEM STRENGTHENING**

The public health-care system, into which TB control is fully integrated, is constrained by a lack of human resources and difficulties in providing outreach services, particularly in rural areas. Expansion of the network of general health-care facilities will improve access to health care and ultimately help to achieve targets for TB control. TB control is aligned with this expansion of health care through the national health plan and the SWAP.

Practical Approach to Lung Health (PAL), 2007

Number of health-care facilities providing PAL services	0	As % of total number of health-care facilities	0
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ENGAGING ALL CARE PROVIDERS**Public-public and public-private approaches (PPM), 2007**

	Number collaborating with the NTP ^a		% total notified TB	
	Number collaborating (total number of providers)	Diagnosed	Treated	
Public sector	96 (96)	–	–	
Private sector	108 (–)	1.8	1.8	

International Standards for Tuberculosis Care (ISTC)

ISTC endorsed by professional organizations?	No
ISTC included in medical curriculum?	No

EMPOWERING PEOPLE WITH TB, AND COMMUNITIES**Advocacy, communication and social mobilization (ACSM)**

ACSM has been integrated into the National Strategic Plan 2008–2010. A KAP survey is planned for 2009, and an ACSM Task Force has been established.

Community participation in TB care and Patients' Charter

The successful Health Extension Programme employs almost 30 000 health service extension workers, the majority of whom are women who are trained and supervised and who receive salaries. This programme is the backbone of every intervention carried out at the community level and is designed to provide preventive services, including the detection and referral of TB suspects, in all rural villages. No data on use of the Patients' Charter were reported.

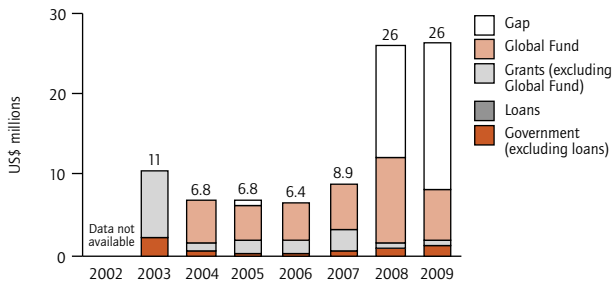
ENABLING AND PROMOTING RESEARCH**Programme-based operational research, 2007**

Operational research budget (% of NTP budget)	0%
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FINANCING

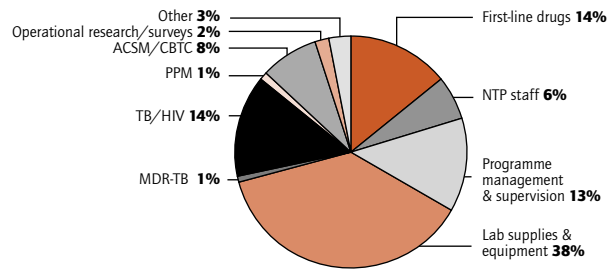
a. NTP budget by source of funding

Large increase in budget in 2008 and 2009 but large funding gaps; Global Fund is the main source of financing



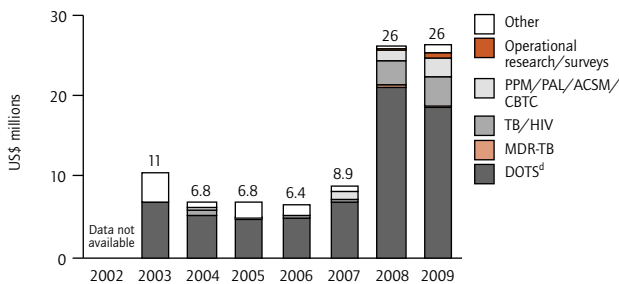
b. NTP budget line items in 2009

Plan and budget developed for almost every component of the Stop TB Strategy; DOTS is the largest component (71%) followed by TB/HIV (14%)



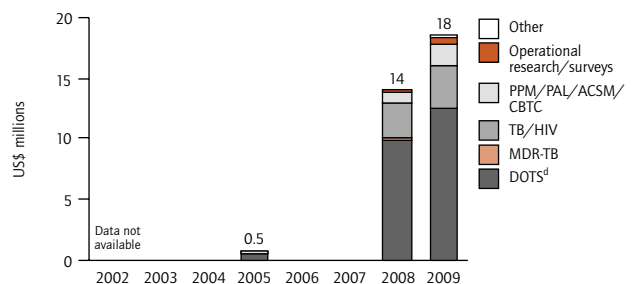
c. NTP budget by line item

Increased budget within DOTS mainly for laboratory supplies and equipment, including establishment of 6 culture and DST sites and country-wide expansion of health facilities; bigger budget for TB/HIV is for scale-up to additional 340 sites



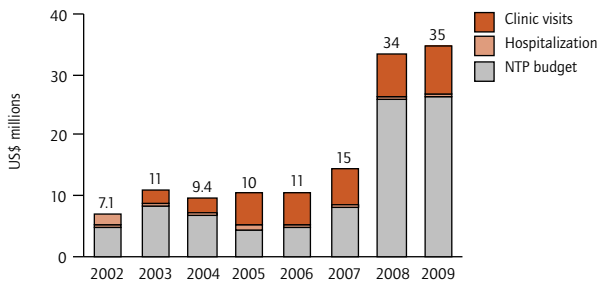
d. NTP funding gap by line item

Funding gap within DOTS mainly for first-line drugs (2009) and laboratory supplies and equipment (2008-2009)



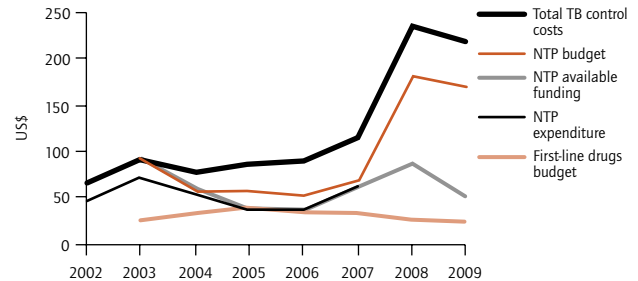
e. Total TB control costs by line item¹

Costs for clinic visits based on 66 outpatient visits per new TB patient to health facilities during treatment; very limited use of hospitalization



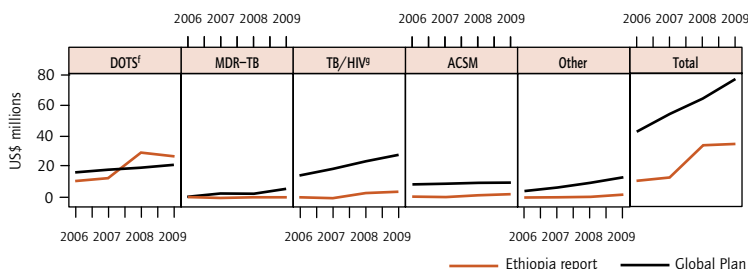
f. Per patient costs, budgets and expenditures²

Big increase in costs and budget per patient from 2008 as activities broadened in line with the Stop TB Strategy



g. Global Plan compared with country reports³

Country implementation behind Global Plan targets 2006-2007; country plans for 2008-2009 ahead of Global Plan for DOTS, in contrast to other components of TB control, although difference for TB/HIV probably exaggerated after downward revision in estimate of HIV prevalence



h. NTP budget and funding gap by Stop TB Strategy component (US\$ millions)

	2009 BUDGET	GAP
DOTS expansion and enhancement	19	12
TB/HIV, MDR-TB and other challenges	3.9	3.3
Health system strengthening	0	0
Engage all care providers	0.1	0.1
People with TB, and communities	2.1	1.6
Research and surveys	0.6	0.6
Other	0.9	0.1

SOURCES, METHODS AND ABBREVIATIONS

^{a-g} Please see footnotes page 169.

¹ Total TB control costs for 2002-2007 are based on expenditure, whereas those for 2008-2009 are based on budgets. Estimates of the costs of clinic visits and hospitalization are WHO estimates based on data provided by the NTP and from other sources. See Methods for further details.

² NTP available funding for 2004-2007 is based on the amount of funding actually received, using retrospective data; available funding for 2002-2003 and 2008-2009 is based on prospectively reported budget data, and estimated as the total budget minus any reported funding gap.

- indicates not available or not applicable; pop, population; ss+, sputum smear-positive; ss-, sputum smear-negative pulmonary; unk, pulmonary - sputum smear not done or result unknown.

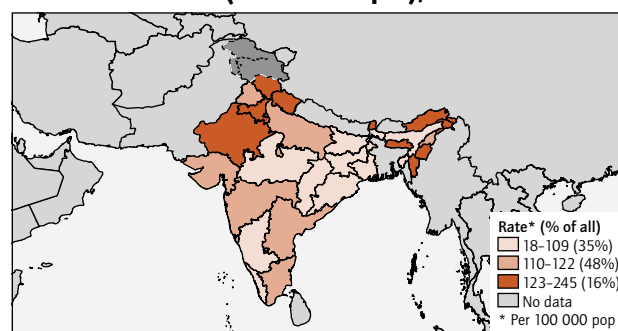
India

All Ministry of Health facilities in India were providing DOTS services by 2006, and there are ongoing initiatives to collaborate with the public sector beyond the Ministry of Health, and with NGOs, medical colleges and private practitioners. This collaboration has helped to achieve a case detection rate of 68% (2007) and a treatment success rate of 86% (2006). Services to control MDR-TB are now available in designated sites within six states, with culture and DST facilities offered in five state-level laboratories. Weak laboratory capacity is a major barrier to scaling-up MDR-TB services. Collaborative TB/HIV activities have considerable scope for expansion. Launching of a coalition of associations of medical professionals by the Indian Medical Association has been a major step in engaging the private sector. Ensuring the rational use of anti-TB drugs outside the Revised National TB Control Programme is crucial.

SURVEILLANCE AND EPIDEMIOLOGY

Population (thousands) ^a	1 169 016	
Estimates of epidemiological burden, 2007^b	ALL	IN HIV+ PEOPLE
Incidence		
All forms of TB (thousands of new cases per year)	1 962	103
All forms of TB (new cases per 100 000 pop/year)	168	8.8
Rate of change in incidence rate (%), 2006-2007	0	-4.1
New ss+ cases (thousands of new cases per year)	873	36
New ss+ cases (per 100 000 pop/year)	75	3.1
HIV+ incident TB cases (% of all TB cases)	5.3	—
Prevalence		
All forms of TB (thousands of cases)	3 305	52
All forms of TB (cases per 100 000 pop)	283	4.4
2015 target for prevalence (cases per 100 000 pop)	293	—
Mortality		
All forms of TB (thousands of deaths per year)	331	30
All forms of TB (deaths per 100 000 pop/year)	28	2.5
2015 target for mortality (deaths per 100 000 pop/year)	21	—
Multidrug-resistant TB (MDR-TB)		
MDR-TB among all new TB cases (%)	2.8	—
MDR-TB among previously treated TB cases (%)	17	—

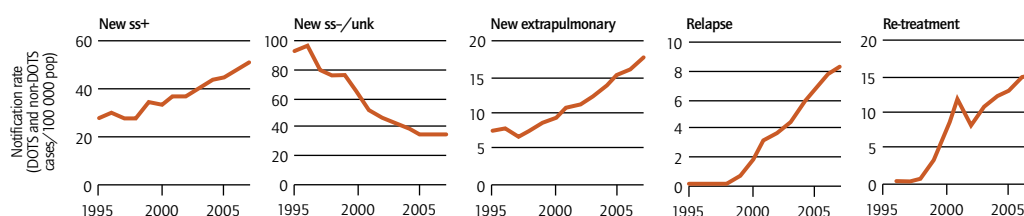
TB notification rate (new and relapse), 2007



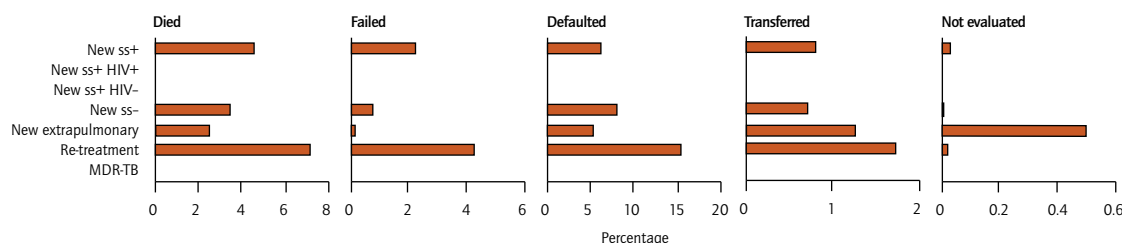
Total notifications, 2007

Notified new and relapse cases (thousands)	1 296
Notified new and relapse cases (per 100 000 pop/year)	111
Notified new ss+ cases (thousands)	593
Notified new ss+ cases (per 100 000 pop/year)	51
as % of new pulmonary cases	60
sex ratio (male/female)	2.3
DOTS case detection rate (% of estimated new ss+)	68
Notified new extrapulmonary cases (thousands)	207
as % of notified new cases	17
Notified new ss+ cases in children (<15 years) (thousands)	12
as % of notified new ss+ cases	2.0

Case notifications



Unfavourable treatment outcomes, 2006 cohorts



	2000	2001	2002	2003	2004	2005	2006	2007
DOTS coverage (%)	30	45	52	67	84	91	100	100
Notification rate (new & relapse cases/100 000 pop)	107	102	98	98	102	102	107	111
% notified new & relapse cases reported under DOTS	23	44	52	76	93	99	100	100
Notification rate (new ss+ cases/100 000 pop)	33	36	37	39	44	45	48	51
% notified new ss+ cases reported under DOTS	27	48	62	83	95	100	100	100
Case detection rate (all new cases, %)	63	59	56	56	57	57	59	61
Case detection rate (new ss+ cases, %)	45	49	49	53	59	60	64	68
Treatment success (new ss+ patients, %)	34	54	60	76	82	86	86	—
Re-treatment success (ss+ patients, %)	70	58	72	70	73	71	72	—

Note: notification, case detection and treatment success rates are for the whole country (i.e. DOTS and non-DOTS cases combined).

DOTS EXPANSION AND ENHANCEMENT

Overview of services for diagnosis of TB and treatment of patients

Description of basic management unit	Designated microscopy centres, most of which are part of general primary health-care facilities
Number of units (DOTS/total), 2007	634/634
Location of NTP services	
Rural	General health-care facilities in public, private and NGO sectors
Urban	General health-care facilities in public, private, NGO and corporate sectors
NTP services part of general primary health-care network?	Yes
Location where TB diagnosed	
Rural	Designated microscopy centres, most of which are part of general primary health-care facilities
Urban	Designated microscopy centres, most of which are part of general primary health-care facilities
Diagnosis free of charge?	Yes (all suspects)
Treatment supervised?	All patients in all units
Intensive phase	Health-care worker, community member
Continuation phase	Health-care worker, community member
Category I regimen	2HRZE3/4HR3
Treatment free of charge	All patients in all units
External review missions	last: 2006 next: 2009

Political commitment

National strategic plan?	Yes (2006–2011)
Mechanism for national interagency coordination?	Yes (established 2002)
National Stop TB Partnership?	No (planned –)

Financial indicators, 2009

(see final page for detailed presentation)	%
Government contribution to NTP budget (incl loans)	46
Government contribution to total cost TB control (incl loans)	61
Government health spending used for TB control	1.8
NTP budget funded	70

Per capita health financial indicators, 2009

	US\$
NTP budget per capita	0.1
Total costs for TB control per capita	0.1
Funding gap per capita	0.02
Government health expenditure per capita (2005)	6.8
Total health expenditure per capita (2005)	36

Quality-assured bacteriology

National reference laboratory?	Yes
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All TB laboratories performing EQA of smear microscopy or DST under the supervision of the National Reference Laboratory

	Smear				Culture		DST			
	Number	per 100 000	EQA	% adeq perf	Number	per 5 000 000	Number	per 10 000 000	EQA	% adeq perf
2007	12 184	1.0	11 386	81%	11	0.05	11	0.1	8.0	75%
2008	13 000	1.1	13 000	–	17	0.1	17	0.1	17	–

Note: for routine diagnosis, there should be at least one laboratory providing smear microscopy per 100 000 population. To provide culture for diagnosis of paediatric, extra-pulmonary and ss-/HIV+ TB, as well as DST of re-treatment and failure cases, most countries will need one culture facility per 5 million population and one DST facility per 10 million population. EQA column shows number of laboratories for which EQA was done. Adeq perf; adequate performance for microscopy based on results of EQA.

System for managing drug supplies and laboratory equipment

	Central level			Peripheral level		
	2005	2006	2007	2005	2006	2007
Stock-outs of laboratory supplies?	–	No	No	–	No	Some units
Stock-outs of first-line anti-TB drugs?	No	No	No	Yes	No	No

Monitoring and evaluation system, and impact measurement

NTP publishes annual report?	Yes (since 2001)	Burden and impact assessment		last	next
% of BMUs reporting to next level in 2007		In-depth analysis of routine surveillance data	Yes	2007	2008
Case-finding	100%	Prevalence of disease survey	Yes, sub-national	2000	Ongoing
Treatment outcomes	100%	Prevalence of infection survey	Yes, national	2000–2003	Ongoing
		Drug resistance survey	Yes, sub-national	1995–2006	Ongoing
		Mortality survey	No	–	–
		Analysis of vital registration data	No	–	–

MDR-TB, TB/HIV AND OTHER CHALLENGES

Multidrug-resistant TB (MDR-TB)	2005	2006	2007
	Number (% of estimated ss+ MDR-TB)		
Estimated incidence of ss+ MDR cases	96 663	98 155	99 639
Diagnosed and notified	34 (0.04%)	33 (0.03%)	146 (0.15%)
Registered for treatment	34 (0.04%)	33 (0.03%)	88 (0.09%)
GLC	0	0	0
non-GLC	34	33	88

MDR-TB, TB/HIV AND OTHER CHALLENGES (continued)**Detection and treatment of HIV in TB patients, 2007**

TB patients for whom the HIV test result was known	80 425
as % of all notified TB patients	5.5
TB patients with positive HIV test	9 324
as % of all estimated HIV+ TB cases	9.0
HIV+ TB patients started or continued on CPT	724
as % of HIV+ TB patients notified	7.8
HIV+ TB patients started or continued on ART	162
as % of HIV+ TB patients notified	1.7

Screening for TB in HIV-positive patients, 2007

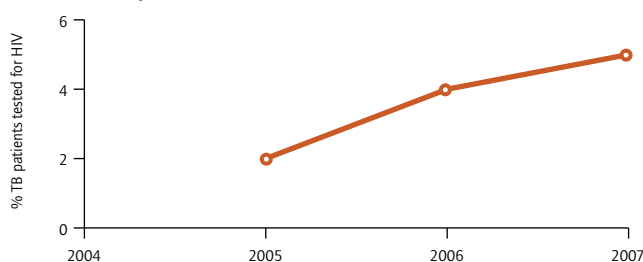
HIV+ patients in HIV care or ART register	277 760
Screened for TB	50 586
as % of HIV+ patients in HIV care or ART register	18
Started on TB treatment	7 130
as % of HIV+ patients in HIV care or ART register	2.6
Started on IPT	—
as % of HIV+ patients without TB in HIV care or ART register	—

High-risk groups, 2007

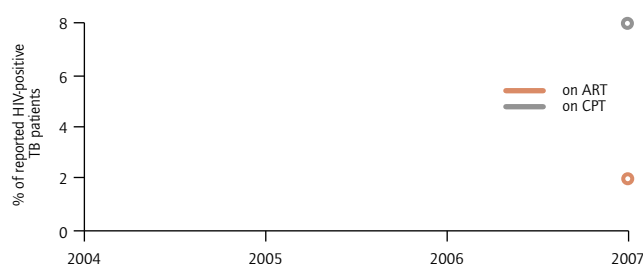
Number of close contacts of ss+ TB patients screened	—
Number of TB cases identified among contacts	—
% of contacts with TB	—
Contacts started on IPT	—
% of contacts without TB on IPT	—

HIV testing for TB patients

The proportion of TB patients screened for HIV is low but continues to increase steadily

**CPT and ART for HIV-positive TB patients**

Among HIV-positive TB cases, 2% received ART and 8% received CPT in 2007

**CONTRIBUTING TO HEALTH SYSTEM STRENGTHENING**

TB control is fully integrated into general primary health-care services. Major challenges include poor primary health-care infrastructure in rural areas in several states, and unregulated private health care leading to widespread irrational use of first-line and second-line anti-TB drugs. The NTP is coordinating with the National Rural Health Mission, which is a reform initiative whose goal is to improve primary health care in rural areas. The NTP has also established several initiatives to improve TB care in the private sector, including collaboration with the Indian Medical Association.

Practical Approach to Lung Health (PAL), 2007

Number of health-care facilities providing PAL services	—	As % of total number of health-care facilities	—
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ENGAGING ALL CARE PROVIDERS**Public-public and public-private approaches (PPM), 2007**

	Number collaborating (total number of providers)	% total notified TB	
		Diagnosed	Treated
Public sector	142 (143)	—	—
Private sector	20 983 (—)	—	—

International Standards for Tuberculosis Care (ISTC)

ISTC endorsed by professional organizations?	Yes
By which organizations:	Indian Medical Association, 2007; key members of other professional associations in their individual capacity, March 2008
ISTC included in medical curriculum?	No

EMPOWERING PEOPLE WITH TB, AND COMMUNITIES**Advocacy, communication and social mobilization (ACSM)**

A KAP survey was conducted in 2005 and a second survey is planned for 2010. Field visits have shown that state and district capacity to implement ACSM activities needs to be strengthened, and the RNTCP has taken steps to do this. For example, an agency has been hired to produce new IEC materials and to support states and districts to implement ACSM activities.

Community participation in TB care and Patients' Charter

As part of the national strategy to control TB, DOT is provided by health workers or trained community volunteers who are not family members in areas where health facilities are far from patients' homes. Intensified community-based activities are ongoing in areas with marginalized populations, particularly in urban slums and tribal populations. Community-based treatment of MDR-TB has been initiated in two states. No data on use of the Patients' Charter were reported in 2008.

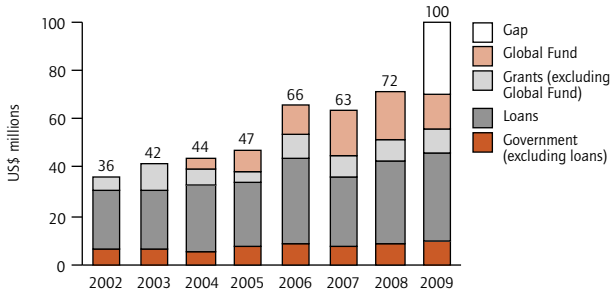
ENABLING AND PROMOTING RESEARCH**Programme-based operational research, 2007**

Operational research budget (% of NTP budget)	1.5%
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FINANCING

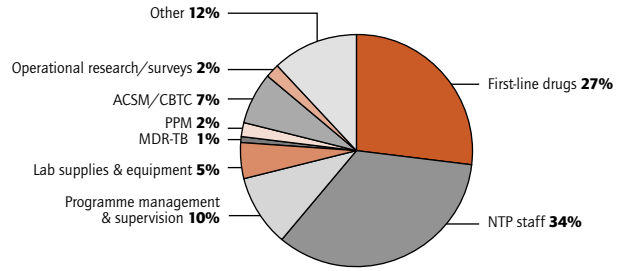
a. NTP budget by source of funding

Large increase in budget in 2009, with funding gap likely to be funded through Global Fund's Rolling Continuation Channel mechanism



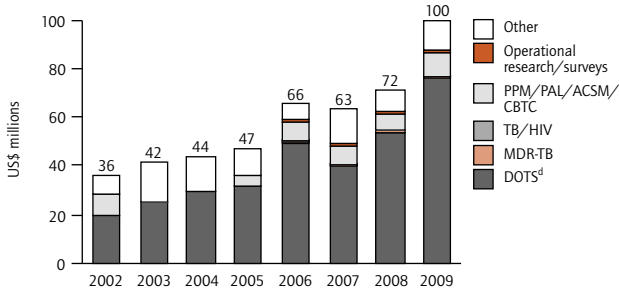
b. NTP budget line items in 2009

First-line drugs and NTP staff account for 61% of the budget; some first-line drugs are used in PPM schemes; budget for MDR-TB small in context of estimated number of cases



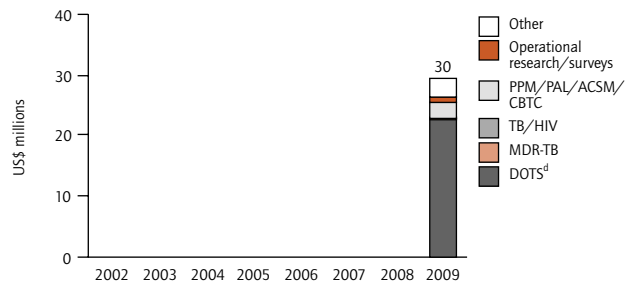
c. NTP budget by line item

Within DOTS, the budgets for first-line drugs and NTP staff have increased, primarily to maintain an adequate buffer stock and to increase salaries



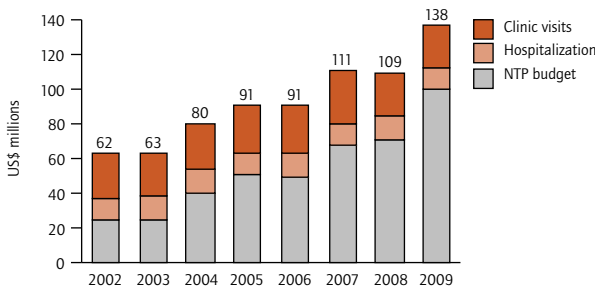
d. NTP funding gap by line item

Funding gap in 2009 likely to be closed via Global Fund; within DOTS gaps to be filled are mainly for first-line drugs and dedicated NTP staff



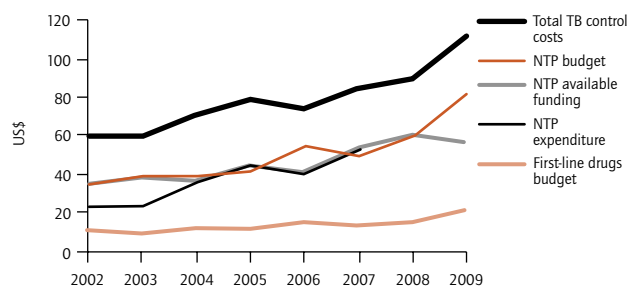
e. Total TB control costs by line item¹

Hospitalization costs are for 11 750 dedicated TB beds; costs for clinic visits are based on an average of 27 visits to a health facility for DOT per TB patient



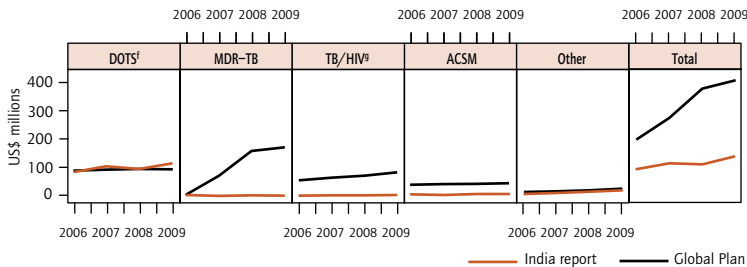
f. Per patient costs, budgets and expenditures²

Increasing cost, budget, available funding and expenditure per patient since 2002 as more elements of Stop TB Strategy implemented; higher budget for first-line drugs in 2009 due to purchase of buffer stock



g. Global Plan compared with country reports^a

Country implementation of DOTS in line with Global Plan, but plan for expanding of MDR-TB treatment falls short of targets in the Global MDR/XDR-TB Response Plan; NTP budget for TB/HIV small because most activities funded through HIV budgets



h. NTP budget and funding gap by Stop TB Strategy component (US\$ millions)

Component	2009 BUDGET	GAP
DOTS expansion and enhancement	76	23
TB/HIV, MDR-TB and other challenges	0.8	0.2
Health system strengthening	0	0
Engage all care providers	2.3	0.7
People with TB, and communities	6.9	2.1
Research and surveys	1.6	0.5
Other	12	3.5

SOURCES, METHODS AND ABBREVIATIONS

^{a-g} Please see footnotes page 169.

¹ Total TB control costs for 2002-2007 are based on expenditure, whereas those for 2008-2009 are based on budgets. Estimates of the costs of clinic visits and hospitalization are WHO estimates based on data provided by the NTP and from other sources. See Methods for further details.

² NTP available funding for 2004-2007 is based on the amount of funding actually received, using retrospective data; available funding for 2002-2003 and 2008-2009 is based on prospectively reported budget data, and estimated as the total budget minus any reported funding gap.

- indicates not available or not applicable; pop, population; ss+, sputum smear-positive; ss-, sputum smear-negative pulmonary; unk, pulmonary - sputum smear not done or result unknown.

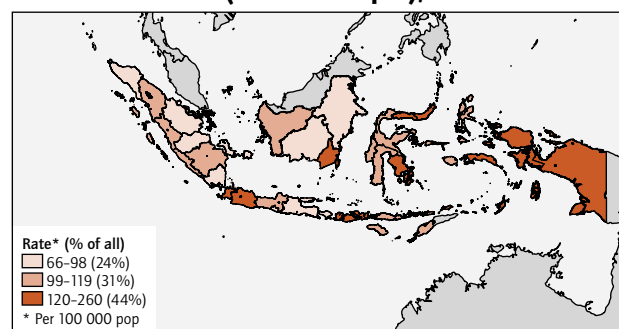
Indonesia

Implementation of the TB control programme in 2007 was affected by a temporary cessation of a Global Fund grant; the case detection rate decreased to 68% from 73% in 2006. Basic DOTS services were not affected, but the introduction of new initiatives was delayed. Notably, the treatment success rate has remained at 91% despite operational difficulties. Four laboratories have been accredited for drug susceptibility testing by an SRL. An application to the GLC was approved for provision of services in MDR-TB pilot sites. A series of tuberculin surveys have been initiated to provide better measurement of TB incidence, and a sentinel study has been designed to improve reporting of TB mortality. Limited outreach of the primary health-care system in rural areas and linkages with the hospital sector are some of the major challenges to TB control.

SURVEILLANCE AND EPIDEMIOLOGY

Population (thousands) ^a	231 627	
Estimates of epidemiological burden, 2007^b	ALL	IN HIV+ PEOPLE
Incidence		
All forms of TB (thousands of new cases per year)	528	16
All forms of TB (new cases per 100 000 pop/year)	228	6.9
Rate of change in incidence rate (%), 2006-2007	-2.4	11
New ss+ cases (thousands of new cases per year)	236	5.6
New ss+ cases (per 100 000 pop/year)	102	2.4
HIV+ incident TB cases (% of all TB cases)	3.0	—
Prevalence		
All forms of TB (thousands of cases)	566	8.0
All forms of TB (cases per 100 000 pop)	244	3.5
2015 target for prevalence (cases per 100 000 pop)	221	—
Mortality		
All forms of TB (thousands of deaths per year)	91	5.4
All forms of TB (deaths per 100 000 pop/year)	39	2.4
2015 target for mortality (deaths per 100 000 pop/year)	46	—
Multidrug-resistant TB (MDR-TB)		
MDR-TB among all new TB cases (%)	2.0	—
MDR-TB among previously treated TB cases (%)	20	—

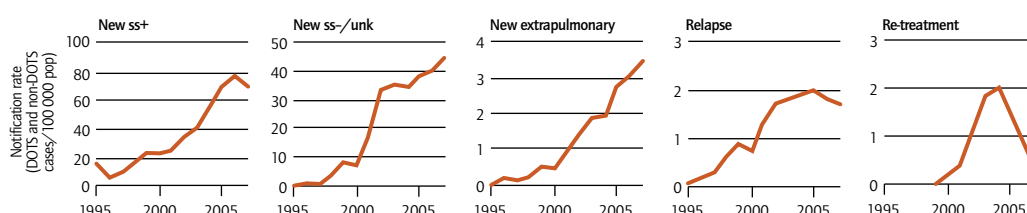
TB notification rate (new and relapse), 2007



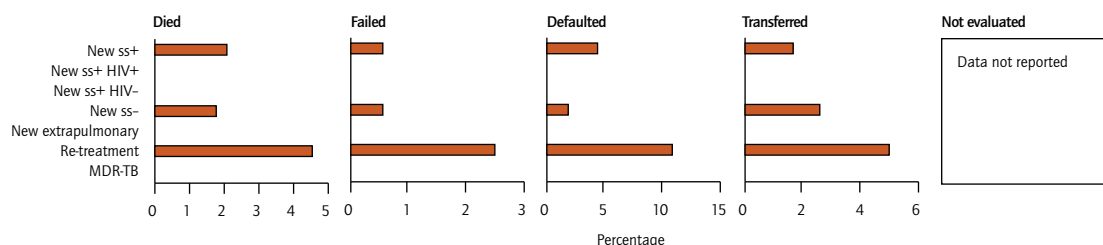
Total notifications, 2007

Notified new and relapse cases (thousands)	275
Notified new and relapse cases (per 100 000 pop/year)	119
Notified new ss+ cases (thousands)	161
Notified new ss+ cases (per 100 000 pop/year)	69
as % of new pulmonary cases	61
sex ratio (male/female)	1.4
DOTS case detection rate (% of estimated new ss+)	68
Notified new extrapulmonary cases (thousands)	8.0
as % of notified new cases	3.0
Notified new ss+ cases in children (<15 years) (thousands)	1.8
as % of notified new ss+ cases	1.1

Case notifications



Unfavourable treatment outcomes, 2006 cohorts



	2000	2001	2002	2003	2004	2005	2006	2007
DOTS coverage (%)	98	98	98	98	98	98	98	100
Notification rate (new & relapse cases/100 000 pop)	40	43	71	79	94	113	121	119
% notified new & relapse cases reported under DOTS	100	100	100	100	100	100	100	100
Notification rate (new ss+ cases/100 000 pop)	25	25	35	42	58	70	77	69
% notified new ss+ cases reported under DOTS	97	100	100	100	100	100	100	100
Case detection rate (all new cases, %)	12	16	27	31	38	46	51	51
Case detection rate (new ss+ cases, %)	20	21	30	37	53	66	73	68
Treatment success (new ss+ patients, %)	87	86	86	87	90	91	91	—
Re-treatment success (ss+ patients, %)	72	83	78	78	82	78	77	—

Note: notification, case detection and treatment success rates are for the whole country (i.e. DOTS and non-DOTS cases combined).

DOTS EXPANSION AND ENHANCEMENT

Overview of services for diagnosis of TB and treatment of patients

Description of basic management unit	Microscopy health centre and independent health centre
Number of units (DOTS/total), 2007	441/441
Location of NTP services	
Rural	Health community centre (Puskesmas)
Urban	Health community centre (Puskesmas)
NTP services part of general primary health-care network?	Yes
Location where TB diagnosed	
Rural	Microscopic health centre (PRM) and independent health centre (PPM)
Urban	Microscopic health centre (PRM) and independent health centre (PPM)
Diagnosis free of charge?	Yes (for certain income groups)
Treatment supervised?	All patients in all units
Intensive phase	Health-care worker, community member
Continuation phase	Community member, family member
Category I regimen	2HRZE/4HR3
Treatment free of charge	All patients in all units
External review missions	last: 2007 next: 2009

Political commitment

National strategic plan?	Yes (2006–2010)
Mechanism for national interagency coordination?	Yes (established 1999)
National Stop TB Partnership?	Yes (established 1999)

Financial indicators, 2009

(see final page for detailed presentation)	%
Government contribution to NTP budget (incl loans)	43
Government contribution to total cost TB control (incl loans)	46
Government health spending used for TB control	3.2
NTP budget funded	80

Per capita health financial indicators, 2009

	US\$
NTP budget per capita	0.3
Total costs for TB control per capita	0.4
Funding gap per capita	0.1
Government health expenditure per capita (2005)	12
Total health expenditure per capita (2005)	26

Quality-assured bacteriology

National reference laboratory?	No (planned for 2010)
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All TB laboratories performing EQA of smear microscopy or DST under the supervision of the National Reference Laboratory

	Smear				Culture		DST			
	Number	per 100 000	EQA	% adeq perf	Number	per 5 000 000	Number	per 10 000 000	EQA	% adeq perf
2007	4 855	2.1	4 855	–	41	0.9	11	0.5	3.0	–
2008	–	–	–	–	41	0.9	11	0.5	4.0	–

Note: for routine diagnosis, there should be at least one laboratory providing smear microscopy per 100 000 population. To provide culture for diagnosis of paediatric, extra-pulmonary and ss-/HIV+ TB, as well as DST of re-treatment and failure cases, most countries will need one culture facility per 5 million population and one DST facility per 10 million population. EQA column shows number of laboratories for which EQA was done. Adeq perf; adequate performance for microscopy based on results of EQA.

System for managing drug supplies and laboratory equipment

	Central level			Peripheral level		
	2005	2006	2007	2005	2006	2007
Stock-outs of laboratory supplies?	–	No	No	–	No	Some units
Stock-outs of first-line anti-TB drugs?	No	No	No	–	No	No

Monitoring and evaluation system, and impact measurement

NTP publishes annual report?	Yes (since 2005)	Burden and impact assessment		last	next
% of BMUs reporting to next level in 2007		In-depth analysis of routine surveillance data	Yes	2007	2008
Case-finding	98%	Prevalence of disease survey	Yes, national	2004	2009
Treatment outcomes	97%	Prevalence of infection survey	Yes, sub-national	2007	2012
		Drug resistance survey	Yes, sub-national	2004	–
		Mortality survey	Yes	2007	2012
		Analysis of vital registration data	Yes	2007	2012

MDR-TB, TB/HIV AND OTHER CHALLENGES

Multidrug-resistant TB (MDR-TB)	2005	2006	2007
	Number (% of estimated ss+ MDR-TB)		
Estimated incidence of ss+ MDR cases	6 588	6 508	6 427
Diagnosed and notified	– (–%)	59 (0.91%)	– (–%)
Registered for treatment	– (–%)	– (–%)	– (–%)
GLC	0	0	0
non-GLC	–	–	–

MDR-TB, TB/HIV AND OTHER CHALLENGES (continued)

Detection and treatment of HIV in TB patients, 2007

TB patients for whom the HIV test result was known	288
as % of all notified TB patients	0.1
TB patients with positive HIV test	146
as % of all estimated HIV+ TB cases	0.9
HIV+ TB patients started or continued on CPT	—
as % of HIV+ TB patients notified	—
HIV+ TB patients started or continued on ART	—
as % of HIV+ TB patients notified	—

Screening for TB in HIV-positive patients, 2007

HIV+ patients in HIV care or ART register	11 141
Screened for TB	11 141
as % of HIV+ patients in HIV care or ART register	100
Started on TB treatment	5 975
as % of HIV+ patients in HIV care or ART register	54
Started on IPT	0
as % of HIV+ patients without TB in HIV care or ART register	0

High-risk groups, 2007

Number of close contacts of ss+ TB patients screened	—
Number of TB cases identified among contacts	—
% of contacts with TB	—
Contacts started on IPT	—
% of contacts without TB on IPT	—

HIV testing for TB patients

In 2007 the proportion of TB patients screened for HIV remained very low



CPT and ART for HIV-positive TB patients

Data not reported

CONTRIBUTING TO HEALTH SYSTEM STRENGTHENING

Provision of services in rural areas, and lack of coordination between public health programmes and the hospital sector, where the focus on public health is weak and user charges provide the main source of revenue, are the main health systems barriers to TB control. The NTP has strengthened the capacity of laboratories and of human resources for TB care and control in a way that has benefited the entire system. Initiatives to link hospitals are being scaled up using the ISTC; these standards are also helping to engage the hospital sector in providing general public health services.

Practical Approach to Lung Health (PAL), 2007

Number of health-care facilities providing PAL services	—	As % of total number of health-care facilities	—
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ENGAGING ALL CARE PROVIDERS

Public-public and public-private approaches (PPM), 2007

	Number collaborating (total number of providers)	% total notified TB	
		Diagnosed	Treated
Public sector	83 (555)	—	—
Private sector	141 (685)	—	—

International Standards for Tuberculosis Care (ISTC)

ISTC endorsed by professional organizations?	Yes
By which organizations:	—
ISTC included in medical curriculum?	Yes

EMPOWERING PEOPLE WITH TB, AND COMMUNITIES

Advocacy, communication and social mobilization (ACSM)

The ACSM framework is aligned with the National Strategic Plan 2006–2010. Modules and guidelines on ACSM have been finalized. A KAP survey is planned for 2009.

Community participation in TB care and Patients' Charter

Communities are being involved in many parts of the country, although the form of involvement varies depending on social practices, geographical setting and the availability of stakeholders. Activities are often initiated by members of the community including traditional leaders, volunteers and health workers, as well as by various NGOs and their community workers. No data on use of the Patients' Charter were reported in 2008.

ENABLING AND PROMOTING RESEARCH

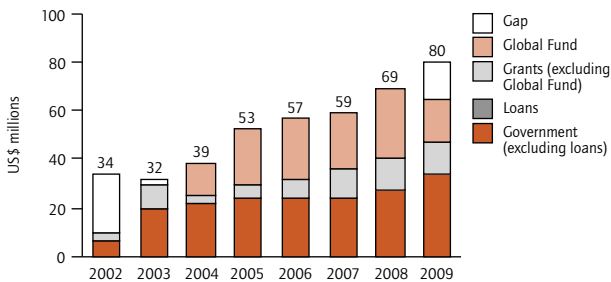
Programme-based operational research, 2007

Operational research budget (% of NTP budget)	3.6%
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FINANCING

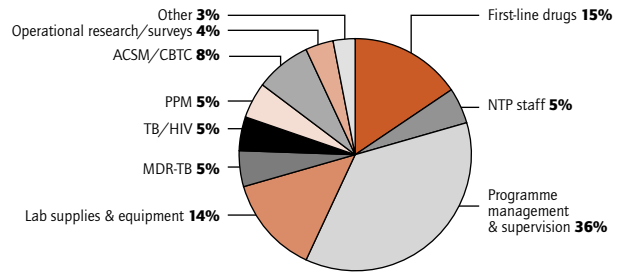
a. NTP budget by source of funding

Budget has more than doubled since 2002; increased budget in 2009 accompanied by increased government funding, but also funding gap for first time in five years



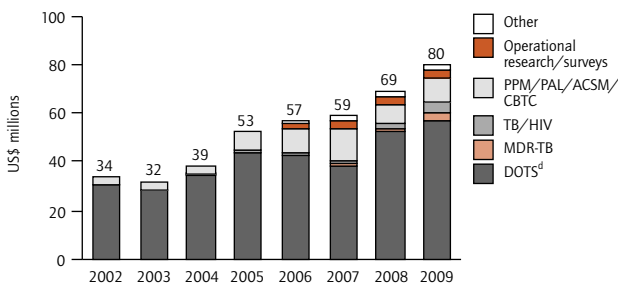
b. NTP budget line items in 2009

DOTS accounts for 70% of NTP budget; share for MDR-TB is low, although Indonesia is estimated to have the seventh highest number of MDR-TB cases globally



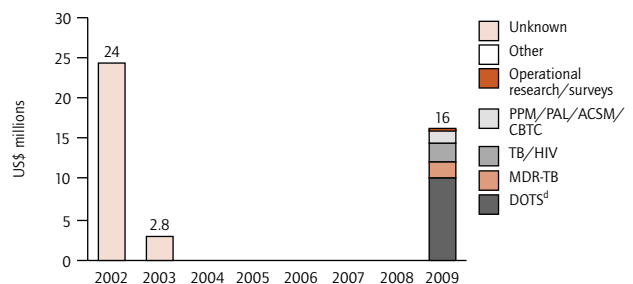
c. NTP budget by line item

Increased budget for programme management and laboratory supplies/equipment, with plans to establish 10-15 culture centres and 8 DST centres



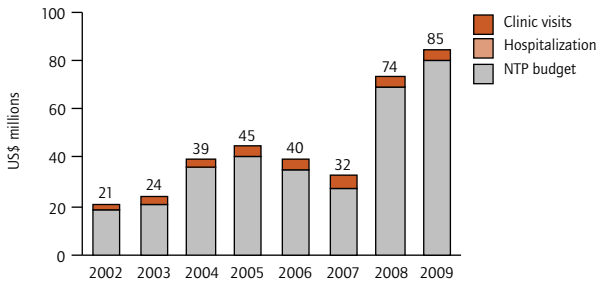
d. NTP funding gap by line item

Funding gap within DOTS mainly for laboratory equipment and EQA



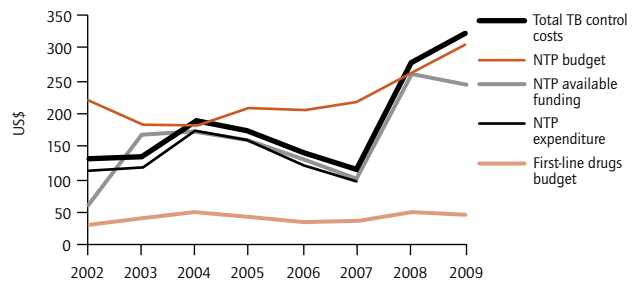
e. Total TB control costs by line item¹

Costs for hospitalization estimated as zero; costs for clinic visits based on estimate that a new TB patient visits a health facility 16 times during treatment



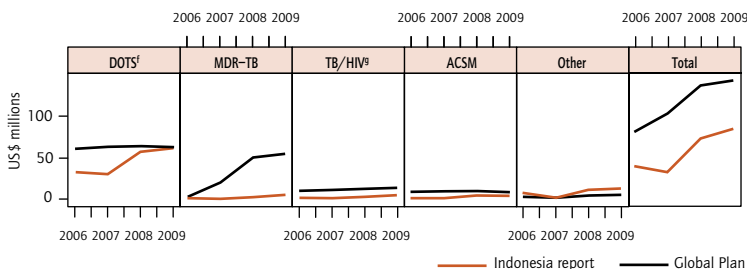
f. Per patient costs, budgets and expenditures²

Decreasing NTP expenditures per patient from 2004 to 2007, but will increase in 2008 and 2009 if all available funding is spent



g. Global Plan compared with country reports^a

Country projections of funding requirements consistent with Global Plan for DOTS, but far less than Global Plan for MDR-TB because country plan for scaling-up treatment is less ambitious than targets in the Global MDR/XDR-TB Response Plan



h. NTP budget and funding gap by Stop TB Strategy component (US\$ millions)

Component	2009 BUDGET	GAP
DOTS expansion and enhancement	57	10
TB/HIV, MDR-TB and other challenges	8.4	4.5
Health system strengthening	0.1	0.04
Engage all care providers	3.8	1.2
People with TB, and communities	6.1	0.2
Research and surveys	2.8	0.1
Other	2.5	0

SOURCES, METHODS AND ABBREVIATIONS

^{a-g} Please see footnotes page 169.

¹ Total TB control costs for 2002-2007 are based on expenditure, whereas those for 2008-2009 are based on budgets. Estimates of the costs of clinic visits and hospitalization are WHO estimates based on data provided by the NTP and from other sources. See Methods for further details.

² NTP available funding for 2004-2007 is based on the amount of funding actually received, using retrospective data; available funding for 2002-2003 and 2008-2009 is based on prospectively reported budget data, and estimated as the total budget minus any reported funding gap.

- indicates not available or not applicable; pop, population; ss+, sputum smear-positive; ss-, sputum smear-negative pulmonary; unk, pulmonary - sputum smear not done or result unknown.

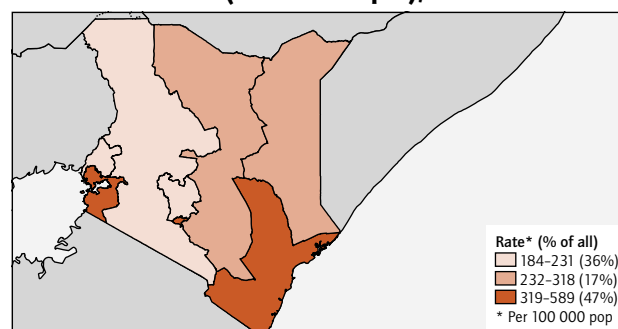
Kenya

According to the latest surveillance data and estimates of TB incidence, Kenya is the first country in sub-Saharan Africa to have achieved the global targets for both case detection and treatment success. The estimates of case detection were reassessed in 2007 following a thorough review of epidemiological and programmatic data, including of new data that became available when routine HIV testing of TB patients was introduced. Collaborative TB/HIV activities are widely implemented, with 79% of notified TB patients tested for HIV and 37% of HIV-positive TB patients accessing ART in 2007. Programmatic management of MDR-TB has been initiated in Nairobi. The NTP needs to continue expanding community TB care and PPM initiatives to further improve access to treatment. The main challenges to TB control include the high turnover of health staff, including those employed at the central TB unit, and high demand for training of health-care workers.

SURVEILLANCE AND EPIDEMIOLOGY

Population (thousands) ^a	37 538	
Estimates of epidemiological burden, 2007^b	ALL	IN HIV+ PEOPLE
Incidence		
All forms of TB (thousands of new cases per year)	132	63
All forms of TB (new cases per 100 000 pop/year)	353	169
Rate of change in incidence rate (%), 2006-2007	-4.8	-7.0
New ss+ cases (thousands of new cases per year)	53	22
New ss+ cases (per 100 000 pop/year)	142	59
HIV+ incident TB cases (% of all TB cases)	48	—
Prevalence		
All forms of TB (thousands of cases)	120	32
All forms of TB (cases per 100 000 pop)	319	84
2015 target for prevalence (cases per 100 000 pop)	63	—
Mortality		
All forms of TB (thousands of deaths per year)	24	15
All forms of TB (deaths per 100 000 pop/year)	65	39
2015 target for mortality (deaths per 100 000 pop/year)	13	—
Multidrug-resistant TB (MDR-TB)		
MDR-TB among all new TB cases (%)	1.9	—
MDR-TB among previously treated TB cases (%)	7.9	—

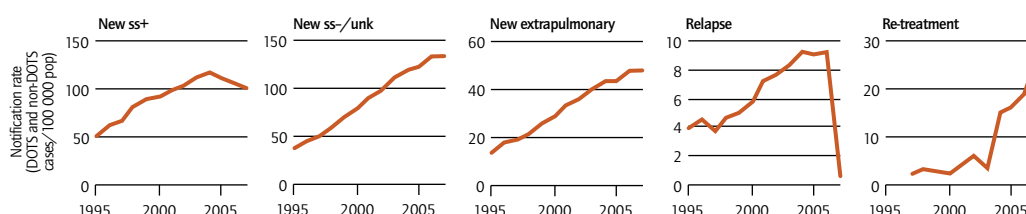
TB notification rate (new and relapse), 2007



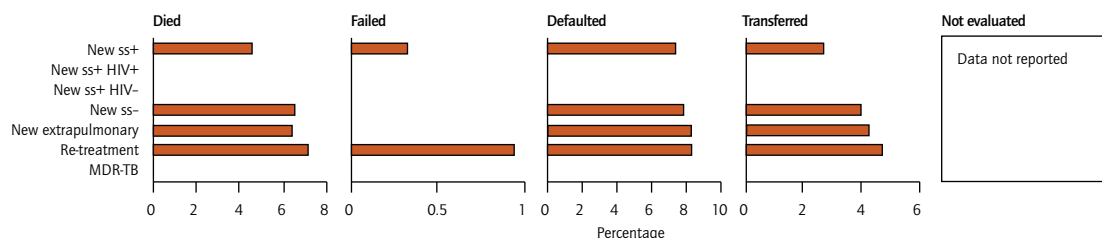
Total notifications, 2007

Notified new and relapse cases (thousands)	106
Notified new and relapse cases (per 100 000 pop/year)	284
Notified new ss+ cases (thousands)	38
Notified new ss+ cases (per 100 000 pop/year)	102
as % of new pulmonary cases	43
sex ratio (male/female)	1.4
DOTS case detection rate (% of estimated new ss+)	72
Notified new extrapulmonary cases (thousands)	18
as % of notified new cases	17
Notified new ss+ cases in children (<15 years) (thousands)	1.1
as % of notified new ss+ cases	2.8

Case notifications



Unfavourable treatment outcomes, 2006 cohorts



	2000	2001	2002	2003	2004	2005	2006	2007
DOTS coverage (%)	100	100	100	100	100	100	100	100
Notification rate (new & relapse cases/100 000 pop)	205	228	244	271	290	288	296	284
% notified new & relapse cases reported under DOTS	90	100	100	100	100	100	100	100
Notification rate (new ss+ cases/100 000 pop)	92	98	104	113	119	113	107	102
% notified new ss+ cases reported under DOTS	91	100	100	100	100	100	100	100
Case detection rate (all new cases, %)	49	54	56	60	64	69	77	80
Case detection rate (new ss+ cases, %)	58	61	63	65	68	70	72	72
Treatment success (new ss+ patients, %)	80	80	79	80	80	82	85	—
Re-treatment success (ss+ patients, %)	76	77	77	75	76	77	79	—

Note: notification, case detection and treatment success rates are for the whole country (i.e. DOTS and non-DOTS cases combined).

DOTS EXPANSION AND ENHANCEMENT

Overview of services for diagnosis of TB and treatment of patients

Description of basic management unit	Health centre
Number of units (DOTS/total), 2007	136/136
Location of NTP services	
Rural	Dispensaries and health centres
Urban	Health centres
NTP services part of general primary health-care network?	Yes
Location where TB diagnosed	
Rural	Health centre
Urban	Health centre
Diagnosis free of charge?	Yes (all suspects)
Treatment supervised?	All patients in all units
Intensive phase	Health-care worker, community member, family member
Continuation phase	Health-care worker, community member, family member
Category I regimen	2HRZE/4HR
Treatment free of charge	All patients in all units
External review missions	last: 2000 next: 2009

Political commitment

National strategic plan?	Yes (2006–2010)
Mechanism for national interagency coordination?	Yes (established 2001)
National Stop TB Partnership?	No (planned 2009)

Financial indicators, 2009

(see final page for detailed presentation)	%
Government contribution to NTP budget (incl loans)	21
Government contribution to total cost TB control (incl loans)	30
Government health spending used for TB control	11
NTP budget funded	60

Per capita health financial indicators, 2009

	US\$
NTP budget per capita	0.9
Total costs for TB control per capita	1.1
Funding gap per capita	0.4
Government health expenditure per capita (2005)	11
Total health expenditure per capita (2005)	24

Quality-assured bacteriology

National reference laboratory?	Yes
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All TB laboratories performing EQA of smear microscopy or DST under the supervision of the National Reference Laboratory

	Smear				Culture		DST			
	Number	per 100 000	EQA	% adeq perf	Number	per 5 000 000	Number	per 10 000 000	EQA	% adeq perf
2007	930	2.5	37	100%	5	0.7	1	0.3	1.0	100%
2008	930	2.4	136	–	5	0.6	1	0.3	1.0	–

Note: for routine diagnosis, there should be at least one laboratory providing smear microscopy per 100 000 population. To provide culture for diagnosis of paediatric, extra-pulmonary and ss-/HIV+ TB, as well as DST of re-treatment and failure cases, most countries will need one culture facility per 5 million population and one DST facility per 10 million population. EQA column shows number of laboratories for which EQA was done. Adeq perf; adequate performance for microscopy based on results of EQA.

System for managing drug supplies and laboratory equipment

	Central level			Peripheral level		
	2005	2006	2007	2005	2006	2007
Stock-outs of laboratory supplies?	–	No	No	–	No	No
Stock-outs of first-line anti-TB drugs?	No	No	No	No	No	No

Monitoring and evaluation system, and impact measurement

NTP publishes annual report?	Yes (since 1994)	Burden and impact assessment		last	next
% of BMUs reporting to next level in 2007		In-depth analysis of routine surveillance data	Yes	2007	2008
Case-finding	100%	Prevalence of disease survey	No	–	–
Treatment outcomes	100%	Prevalence of infection survey	Yes, sub-national	2007	2009
		Drug resistance survey	Yes, sub-national	1995	2009
		Mortality survey	No	–	–
		Analysis of vital registration data	No	–	–

MDR-TB, TB/HIV AND OTHER CHALLENGES

	2005	2006	2007
	Number (% of estimated ss+ MDR-TB)		
Estimated incidence of ss+ MDR cases	2 196	2 060	2 016
Diagnosed and notified	44 (2.0%)	89 (4.3%)	82 (4.1%)
Registered for treatment	– (–%)	– (–%)	6 (0.30%)
GLC	0	0	0
non-GLC	–	–	6

MDR-TB, TB/HIV AND OTHER CHALLENGES (continued)**Detection and treatment of HIV in TB patients, 2007**

TB patients for whom the HIV test result was known	91 841
as % of all notified TB patients	79
TB patients with positive HIV test	43 954
as % of all estimated HIV+ TB cases	69
HIV+ TB patients started or continued on CPT	51,731
as % of HIV+ TB patients notified	100
HIV+ TB patients started or continued on ART	16 324
as % of HIV+ TB patients notified	37

Screening for TB in HIV-positive patients, 2007

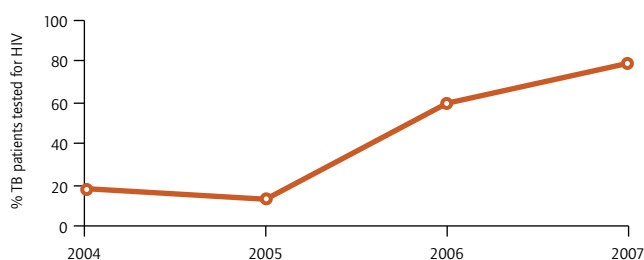
HIV+ patients in HIV care or ART register	461 483
Screened for TB	—
as % of HIV+ patients in HIV care or ART register	—
Started on TB treatment	—
as % of HIV+ patients in HIV care or ART register	—
Started on IPT	—
as % of HIV+ patients without TB in HIV care or ART register	—

High-risk groups, 2007

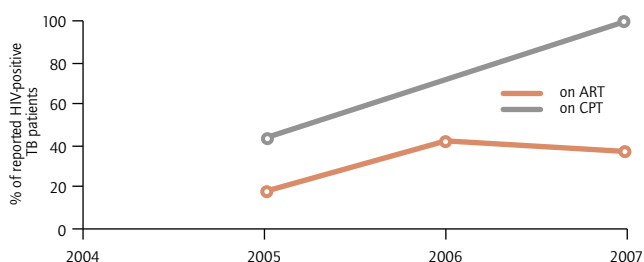
Number of close contacts of ss+ TB patients screened	—
Number of TB cases identified among contacts	—
% of contacts with TB	—
Contacts started on IPT	—
% of contacts without TB on IPT	—

HIV testing for TB patients

The proportion of TB patients screened for HIV continues to increase steadily, reaching 79% in 2007

**CPT and ART for HIV-positive TB patients**

The proportion of HIV-positive TB patients receiving ART declined slightly in 2007; no data on the provision of CPT were reported for 2006

**CONTRIBUTING TO HEALTH SYSTEM STRENGTHENING**

The capacity and coverage of the NTP have been improved through gradual integration into the primary health-care system. The NTP has also successfully engaged NGOs, FBOs, the business sector and the private sector in creating coordinated mechanisms for the delivery of TB control and, in the future, other public health interventions.

Practical Approach to Lung Health (PAL), 2007

Number of health-care facilities providing PAL services	0	As % of total number of health-care facilities	0
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ENGAGING ALL CARE PROVIDERS**Public-public and public-private approaches (PPM), 2007**

	Number collaborating with the NTP ^a		% total notified TB	
	Number collaborating (total number of providers)	Diagnosed	Treated	
Public sector	222 (296)	—	—	
Private sector	382 (—)	—	—	

International Standards for Tuberculosis Care (ISTC)

ISTC endorsed by professional organizations?	Yes
By which organizations:	KAPTLD-Kenya Association for the Prevention of TB and Lung Disease, KMA-Kenya Medical Association, KPA-Kenya Paediatric Association
ISTC included in medical curriculum?	No

EMPOWERING PEOPLE WITH TB, AND COMMUNITIES**Advocacy, communication and social mobilization (ACSM)**

An ACSM unit within the Division of Leprosy, TB and Lung Disease in the Ministry of Health has been established. A KAP survey is planned for 2008.

Community participation in TB care and Patients' Charter

Of 136 basic management units, 41 offer community-based treatment support. Patients in whom TB is diagnosed choose a relative, a friend or a neighbour to provide support during their treatment. In areas where community-based activities have been implemented, community health workers give talks about TB in the community, refer suspects for TB testing and trace defaulters. No data on use of the Patients' Charter were reported in 2008.

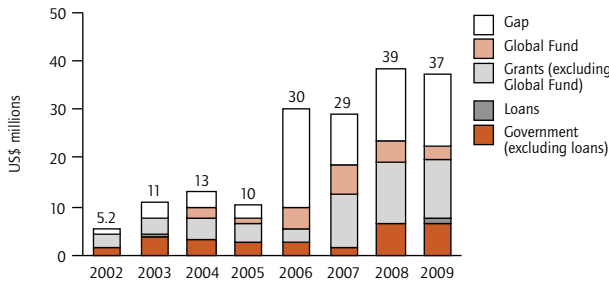
ENABLING AND PROMOTING RESEARCH**Programme-based operational research, 2007**

Operational research budget (% of NTP budget)	1.3%
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FINANCING

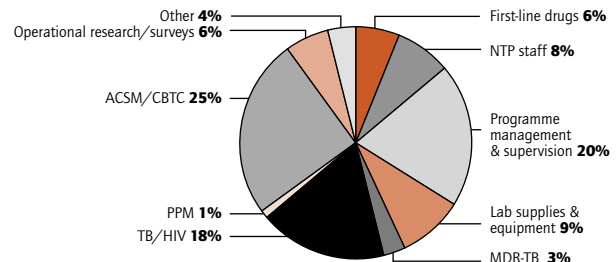
a. NTP budget by source of funding

Greatly increased NTP budget since 2005; while funding has also grown substantially from both government and grants, large funding gaps remain



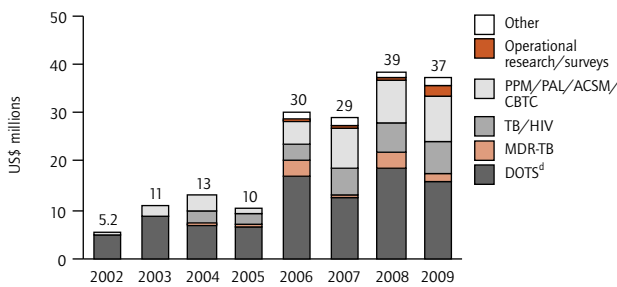
b. NTP budget line items in 2009

Large share of budget is for DOTS (43%) and TB/HIV (18%); share of budget for ACSM and community TB care is higher than in most other HBCs



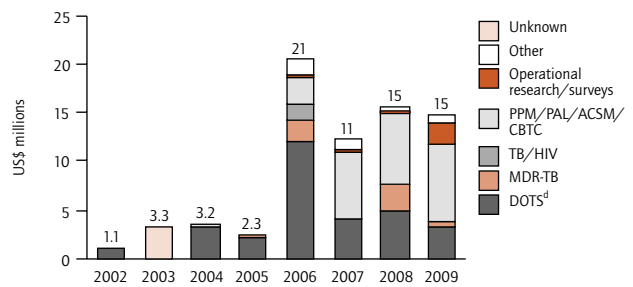
c. NTP budget by line item

Increased budget for NTP staff, laboratory supplies and equipment, and MDR-TB (mostly to ensure appropriate infection control in one inpatient facility) in 2008-2009; disease prevalence survey included in budget for 2009



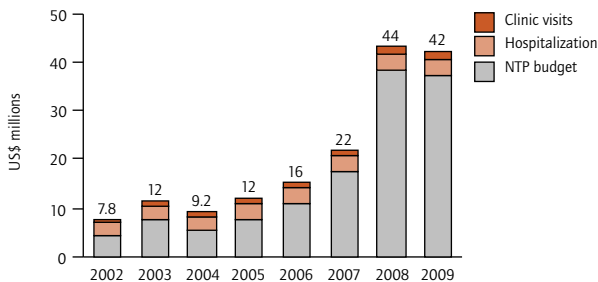
d. NTP funding gap by line item

Biggest funding gap is for ACSM; disease prevalence survey planned for 2009-2010 is also not funded



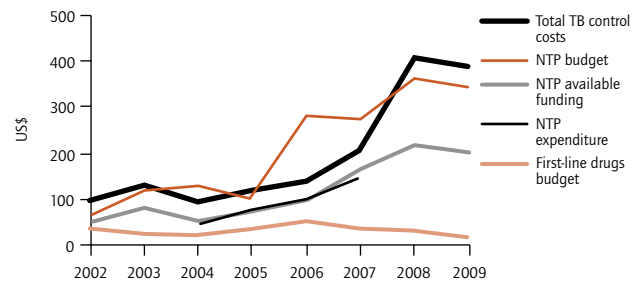
e. Total TB control costs by line item¹

Hospitalization costs are for 2000 dedicated TB beds



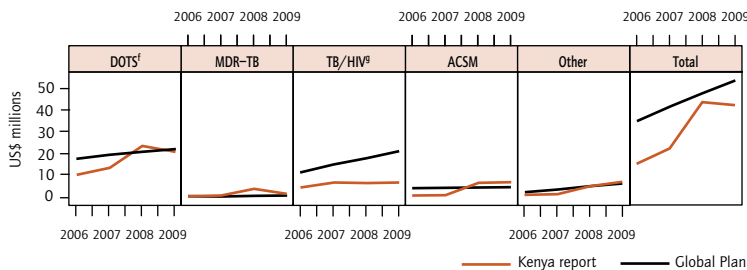
f. Per patient costs, budgets and expenditures²

Increased costs, budget, available funding and expenditures per patient; expenditure and available funding are very similar



g. Global Plan compared with country reports^a

Country plan for 2008-2009 in line with Global Plan, including TB/HIV activities (although some TB/HIV costs are not part of the NTP budget, which explains the lower funding in the country report)



h. NTP budget and funding gap by Stop TB Strategy component (US\$ millions)

	2009 BUDGET	GAP
DOTS expansion and enhancement	16	3.1
TB/HIV, MDR-TB and other challenges	7.9	0.5
Health system strengthening	0	0
Engage all care providers	0.3	0.01
People with TB, and communities	9.2	8.0
Research and surveys	2.4	2.4
Other	1.4	0.8

SOURCES, METHODS AND ABBREVIATIONS

^{a-g} Please see footnotes page 169.

¹ Total TB control costs for 2002-2003 are based on available funding, whereas those for 2004-2007 are based on expenditure, and those for 2008-2009 are based on budgets. Estimates of the costs of clinic visits and hospitalization are WHO estimates based on data provided by the NTP and from other sources. See Methods for further details.

² NTP available funding for 2004-2007 is based on the amount of funding actually received, using retrospective data; available funding for 2002-2003 and 2008-2009 is based on prospectively reported budget data, and estimated as the total budget minus any reported funding gap.

- indicates not available or not applicable; pop, population; ss+, sputum smear-positive; ss-, sputum smear-negative pulmonary; unk, pulmonary - sputum smear not done or result unknown.

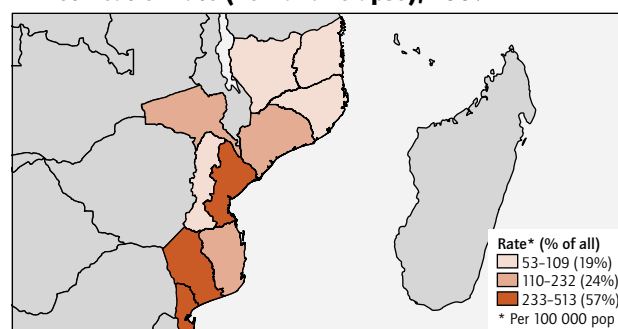
Mozambique

Although the case detection rate has been increasing, the detection rate of new smear-positive cases remains below 50%. Treatment success rates continue to be below target for both new and re-treatment cases. While all districts are implementing DOTS, access to health care is poor given the limitations of the health system infrastructure. Collaborative TB/HIV activities are expanding; in 2007, 70% of notified TB cases were tested for HIV, 33% of HIV-positive patients were put on ART and 93% were given CPT. Programmatic management of MDR-TB has begun. Increased financial flows from the Global Fund and other donors have alleviated funding constraints. However, the shortage of a skilled workforce, slow funding disbursements and weak absorptive capacity continue to limit programme implementation.

SURVEILLANCE AND EPIDEMIOLOGY

Population (thousands) ^a	21 397	
Estimates of epidemiological burden, 2007^b	ALL	IN HIV+ PEOPLE
Incidence		
All forms of TB (thousands of new cases per year)	92	44
All forms of TB (new cases per 100 000 pop/year)	431	204
Rate of change in incidence rate (%), 2006-2007	-2.6	-1.8
New ss+ cases (thousands of new cases per year)	37	15
New ss+ cases (per 100 000 pop/year)	174	71
HIV+ incident TB cases (% of all TB cases)	47	—
Prevalence		
All forms of TB (thousands of cases)	108	22
All forms of TB (cases per 100 000 pop)	504	102
2015 target for prevalence (cases per 100 000 pop)	144	—
Mortality		
All forms of TB (thousands of deaths per year)	27	17
All forms of TB (deaths per 100 000 pop/year)	127	82
2015 target for mortality (deaths per 100 000 pop/year)	18	—
Multidrug-resistant TB (MDR-TB)		
MDR-TB among all new TB cases (%)	3.5	—
MDR-TB among previously treated TB cases (%)	3.3	—

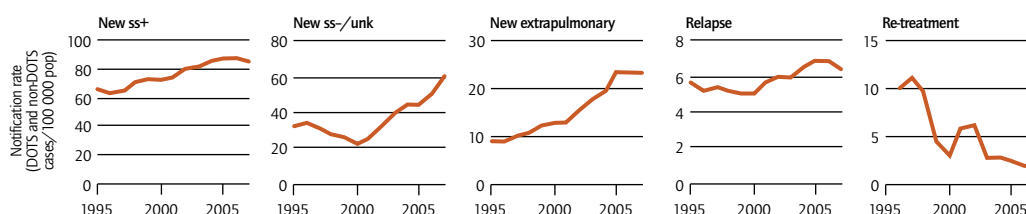
TB notification rate (new and relapse), 2007



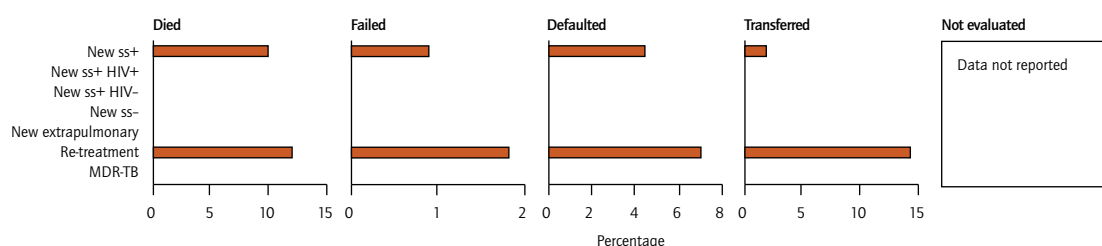
Total notifications, 2007

Notified new and relapse cases (thousands)	38
Notified new and relapse cases (per 100 000 pop/year)	176
Notified new ss+ cases (thousands)	18
Notified new ss+ cases (per 100 000 pop/year)	85
as % of new pulmonary cases	58
sex ratio (male/female)	—
DOTS case detection rate (% of estimated new ss+)	49
Notified new extrapulmonary cases (thousands)	5.0
as % of notified new cases	14
Notified new ss+ cases in children (<15 years) (thousands)	0.3
as % of notified new ss+ cases	1.8

Case notifications



Unfavourable treatment outcomes, 2006 cohorts



	2000	2001	2002	2003	2004	2005	2006	2007
DOTS coverage (%)	100	100	100	100	100	100	100	100
Notification rate (new & relapse cases/100 000 pop)	116	118	133	146	155	162	168	176
% notified new & relapse cases reported under DOTS	100	100	100	100	100	100	100	100
Notification rate (new ss+ cases/100 000 pop)	73	75	80	82	85	87	87	85
% notified new ss+ cases reported under DOTS	100	100	100	100	100	100	100	100
Case detection rate (all new cases, %)	28	28	29	31	32	34	36	39
Case detection rate (new ss+ cases, %)	47	45	45	45	46	47	49	49
Treatment success (new ss+ patients, %)	75	78	78	76	77	79	83	—
Re-treatment success (ss+ patients, %)	71	68	67	68	—	70	65	—

Note: notification, case detection and treatment success rates are for the whole country (i.e. DOTS and non-DOTS cases combined).

DOTS EXPANSION AND ENHANCEMENT

Overview of services for diagnosis of TB and treatment of patients

Description of basic management unit	Centro de saude urbano-Sede (at BMU head-office level)
Number of units (DOTS/total), 2007	169/169
Location of NTP services	
Rural	Centro de saude rural (rural health facility)
Urban	Centro de saude urbano (urban health facility)
NTP services part of general primary health-care network?	Yes
Location where TB diagnosed	
Rural	Centro de saude rural-Sede (at BMU head-office level)
Urban	Centro de saude urbano-Sede (at BMU head-office level)
Diagnosis free of charge?	Yes (all suspects)
Treatment supervised?	All patients in all units
Intensive phase	Health-care worker, community member, family member
Continuation phase	Health-care worker, community member, family member
Category I regimen	2(HRZE)/4(HR)
Treatment free of charge	All patients in all units
External review missions	last: 2006 next: 2009

Political commitment

National strategic plan?	Yes (2008-2012)
Mechanism for national interagency coordination?	Yes (established 2007)
National Stop TB Partnership?	No (planned 2009)

Financial indicators, 2009

(see final page for detailed presentation)	%
Government contribution to NTP budget (incl loans)	26
Government contribution to total cost TB control (incl loans)	40
Government health spending used for TB control	16
NTP budget funded	76

Per capita health financial indicators, 2009

	US\$
NTP budget per capita	1.1
Total costs for TB control per capita	1.4
Funding gap per capita	0.3
Government health expenditure per capita (2005)	9.2
Total health expenditure per capita (2005)	15

Quality-assured bacteriology

National reference laboratory?	Yes
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All TB laboratories performing EQA of smear microscopy or DST under the supervision of the National Reference Laboratory

	Smear				Culture		DST			
	Number	per 100 000	EQA	% adeq perf	Number	per 5 000 000	Number	per 10 000 000	EQA	% adeq perf
2007	252	1.2	252	97%	1	0.2	1	0.5	1.0	100%
2008	252	1.2	252	–	3	0.7	1	0.5	1.0	–

Note: for routine diagnosis, there should be at least one laboratory providing smear microscopy per 100 000 population. To provide culture for diagnosis of paediatric, extra-pulmonary and ss-/HIV+ TB, as well as DST of re-treatment and failure cases, most countries will need one culture facility per 5 million population and one DST facility per 10 million population. EQA column shows number of laboratories for which EQA was done. Adeq perf; adequate performance for microscopy based on results of EQA.

System for managing drug supplies and laboratory equipment

	Central level			Peripheral level		
	2005	2006	2007	2005	2006	2007
Stock-outs of laboratory supplies?	–	No	Yes	–	No	Some units
Stock-outs of first-line anti-TB drugs?	Yes	No	No	Yes	No	Some units

Monitoring and evaluation system, and impact measurement

NTP publishes annual report?	Yes (since 2006)	Burden and impact assessment		last	next
% of BMUs reporting to next level in 2007		In-depth analysis of routine surveillance data	Yes	2006	2009
Case-finding	100%	Prevalence of disease survey	No	–	–
Treatment outcomes	100%	Prevalence of infection survey	No	–	–
		Drug resistance survey	Yes, national	1999	2008
		Mortality survey	No	–	–
		Analysis of vital registration data	No	–	–

MDR-TB, TB/HIV AND OTHER CHALLENGES

	2005	2006	2007
	Number (% of estimated ss+ MDR-TB)		
Estimated incidence of ss+ MDR cases	1 482	1 474	1 464
Diagnosed and notified	115 (7.8%)	129 (8.8%)	163 (11%)
Registered for treatment	77 (5.2%)	129 (8.8%)	163 (11%)
GLC	0	0	0
non-GLC	77	129	163

MDR-TB, TB/HIV AND OTHER CHALLENGES (continued)

Detection and treatment of HIV in TB patients, 2007

TB patients for whom the HIV test result was known	26 548
as % of all notified TB patients	70
TB patients with positive HIV test	12 563
as % of all estimated HIV+ TB cases	29
HIV+ TB patients started or continued on CPT	11 667
as % of HIV+ TB patients notified	93
HIV+ TB patients started or continued on ART	4 105
as % of HIV+ TB patients notified	33

Screening for TB in HIV-positive patients, 2007

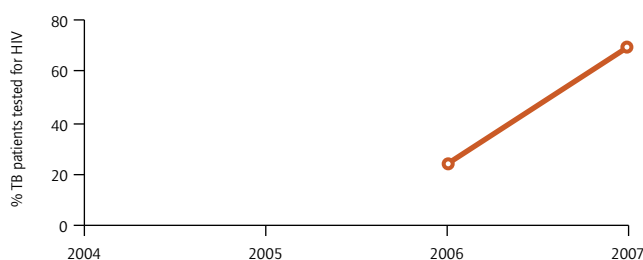
HIV+ patients in HIV care or ART register	326 517
Screened for TB	3 039
as % of HIV+ patients in HIV care or ART register	0.9
Started on TB treatment	12 857
as % of HIV+ patients in HIV care or ART register	3.9
Started on IPT	676
as % of HIV+ patients without TB in HIV care or ART register	0.2

High-risk groups, 2007

Number of close contacts of ss+ TB patients screened	–
Number of TB cases identified among contacts	–
% of contacts with TB	–
Contacts started on IPT	–
% of contacts without TB on IPT	–

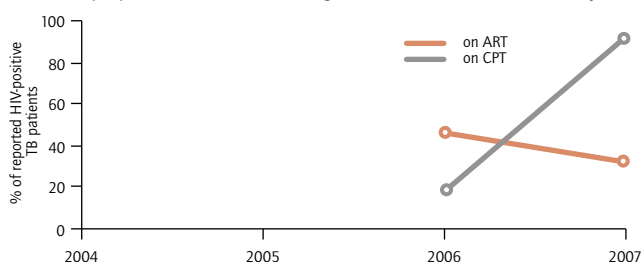
HIV testing for TB patients

Between 2006 and 2007 the proportion of TB patients screened for HIV almost tripled



CPT and ART for HIV-positive TB patients

The proportion of HIV-positive TB patients receiving ART has declined while the proportion of those receiving CPT has increased dramatically



CONTRIBUTING TO HEALTH SYSTEM STRENGTHENING

The main health systems barriers affecting TB control are a shortage of skilled human resources for health and poor access to the primary health-care system into which the NTP is integrated. Improvements in laboratory capacity and training of human resources are benefiting both the NTP and the primary health-care system.

Practical Approach to Lung Health (PAL), 2007

Number of health-care facilities providing PAL services	–	As % of total number of health-care facilities	–
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ENGAGING ALL CARE PROVIDERS

Public-public and public-private approaches (PPM), 2007

	Number collaborating (total number of providers)	% total notified TB	
		Diagnosed	Treated
Public sector	41 (41)	0.9	0.9
Private sector	5 (–)	–	–

International Standards for Tuberculosis Care (ISTC)

ISTC endorsed by professional organizations?	No
ISTC included in medical curriculum?	No

EMPOWERING PEOPLE WITH TB, AND COMMUNITIES

Advocacy, communication and social mobilization (ACSM)

Community forums were organized during World TB Day 2008. A KAP survey is planned for 2009.

Community participation in TB care and Patients' Charter

Community-based activities are continuing through an NGO that supports the NTP. Community volunteers have been trained to provide treatment support, contact tracing, sputum transport and awareness-raising activities, in rural areas. There are volunteers in many districts, but the initiative has not been implemented uniformly. Plans to expand geographical coverage and involve other partners are ongoing. The Patients' Charter is not yet in use.

ENABLING AND PROMOTING RESEARCH

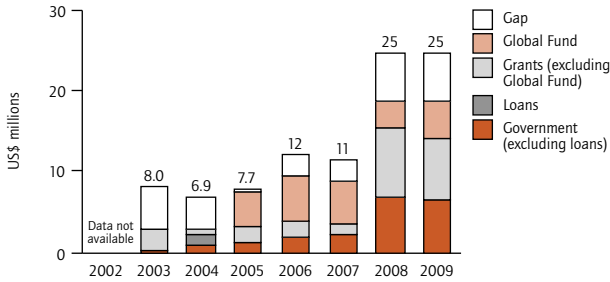
Programme-based operational research, 2007

Operational research budget (% of NTP budget)	0.6 %
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FINANCING

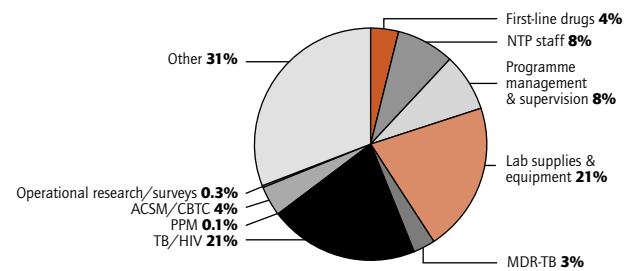
a. NTP budget by source of funding

Greatly increased budget since 2007 following re-assessment of funding needs in line with Stop TB Strategy; funding has also grown from government and donors including the Global Fund (round 7) and USAID



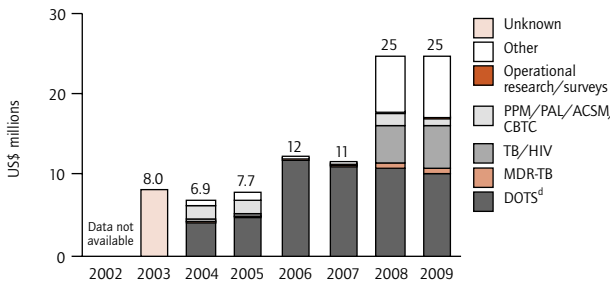
b. NTP budget line items in 2009

Largest components of budget are DOTS (41%), Other (31%) and collaborative TB/HIV activities (21%)



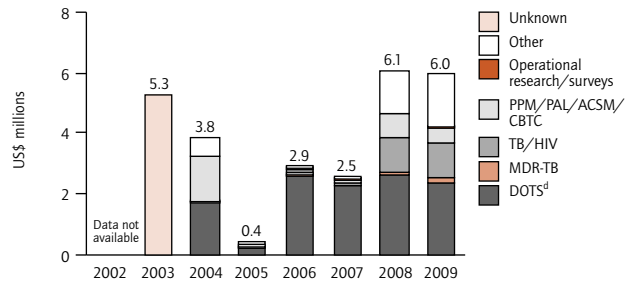
c. NTP budget by line item

Increased budget for TB/HIV, and within Other, increased budget for high-risk groups (prisoners) and childhood TB; budget within DOTS includes establishment of two regional reference laboratories and purchase of new laboratory equipment



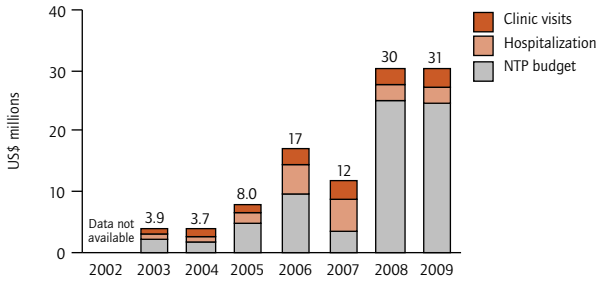
d. NTP funding gap by line item

Funding gap within DOTS mainly for laboratory supplies and equipment, and routine programme management



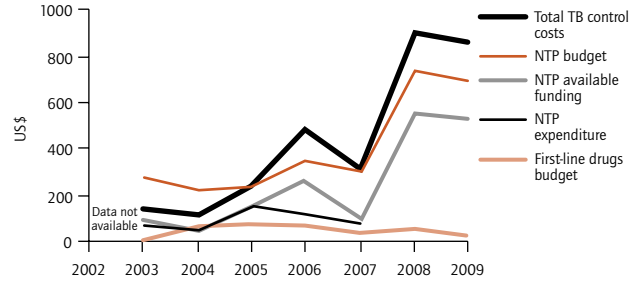
e. Total TB control costs by line item¹

Hospitalization costs 2008–2009 based on reduced number of dedicated TB beds (from 4512 to 2258) in the country; outpatient costs based on 90 visits to a health facility per new TB patient during treatment



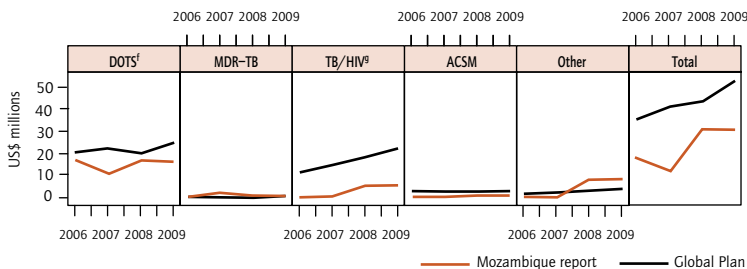
f. Per patient costs, budgets and expenditures²

Large fluctuation in available funding per patient



g. Global Plan compared with country reports³

Implementation of TB control behind Global Plan 2006–2007 but country assessment of funding required 2008–2009 in line with Global Plan – difference for TB/HIV is due to some activities being funded and implemented by national HIV/AIDS control programme



h. NTP budget and funding gap by Stop TB Strategy component (US\$ millions)

	2009 BUDGET	GAP
DOTS expansion and enhancement	10	2.4
TB/HIV, MDR-TB and other challenges	5.9	1.3
Health system strengthening	0	0
Engage all care providers	0.02	0.02
People with TB, and communities	0.9	0.4
Research and surveys	0.1	0.05
Other	7.6	1.8

SOURCES, METHODS AND ABBREVIATIONS

^{a-g} Please see footnotes page 169.

¹ Total TB control costs for 2003–2005 and 2007 are based on expenditure, whereas those for 2006 are based on available funding, and those for 2008–2009 are based on budgets. Estimates of the costs of clinic visits and hospitalization are WHO estimates based on data provided by the NTP and from other sources. See Methods for further details.

² NTP available funding for 2004–2005 and 2007 is based on the amount of funding actually received, using retrospective data; available funding for 2002–2003, 2006 and 2008–2009 is based on prospectively reported budget data, and estimated as the total budget minus any reported funding gap.

– indicates not available or not applicable; pop, population; ss+, sputum smear-positive; ss-, sputum smear-negative pulmonary; unk, pulmonary – sputum smear not done or result unknown.

Myanmar

Results from the prevalence survey in Yangon and increasing case notifications in the FIDELIS project suggest that the TB burden in Myanmar is underestimated and that current estimates need to be reviewed. A GLC-approved MDR-TB project and a project providing IPT for HIV-positive people began in 2008. Data from the second national drug resistance survey will be available in 2009; TB/HIV surveillance data indicate that 11% of TB patients are coinfecting with HIV. Cohort review meetings have been expanded across poorly performing townships alongside innovative activities for improved case-finding, including sputum collection points, mobile teams and contact tracing. PPM-DOTS has been scaled up to more than 150 out of 325 townships. The large budget gap for TB control and uncertainty about the supply of first-line anti-TB drugs beyond 2009 remain major challenges.

SURVEILLANCE AND EPIDEMIOLOGY

Population (thousands)^a 48 798

Estimates of epidemiological burden, 2007^b ALL IN HIV+ PEOPLE

Incidence

All forms of TB (thousands of new cases per year)	83	9.1
All forms of TB (new cases per 100 000 pop/year)	171	19
Rate of change in incidence rate (%), 2006–2007	0	-4.8
New ss+ cases (thousands of new cases per year)	37	3.2
New ss+ cases (per 100 000 pop/year)	75	6.5
HIV+ incident TB cases (% of all TB cases)	11	—

Prevalence

All forms of TB (thousands of cases)	79	4.6
All forms of TB (cases per 100 000 pop)	162	9.3
2015 target for prevalence (cases per 100 000 pop)	206	—

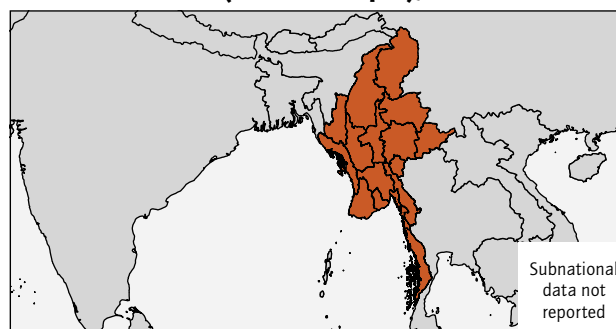
Mortality

All forms of TB (thousands of deaths per year)	6.3	0.9
All forms of TB (deaths per 100 000 pop/year)	13	1.9
2015 target for mortality (deaths per 100 000 pop/year)	26	—

Multidrug-resistant TB (MDR-TB)

MDR-TB among all new TB cases (%)	4.0	—
MDR-TB among previously treated TB cases (%)	16	—

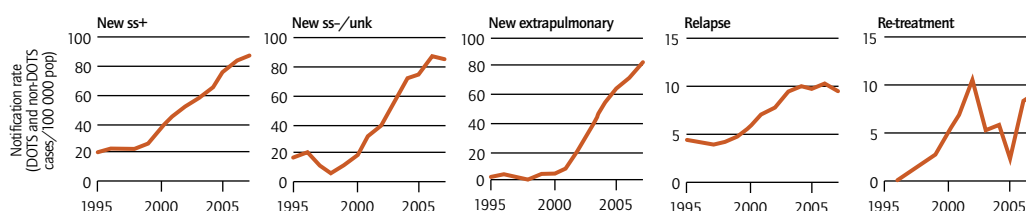
TB notification rate (new and relapse), 2007



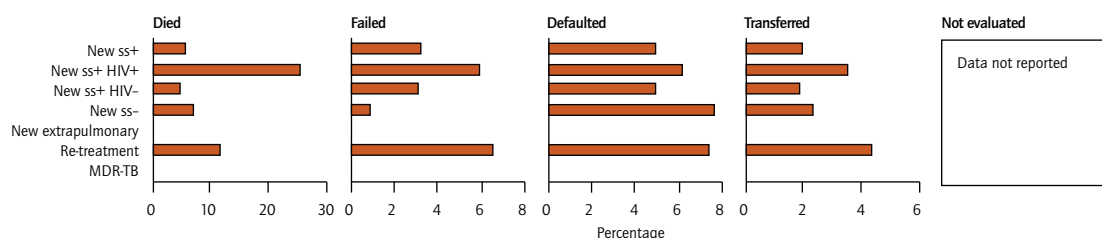
Total notifications, 2007

Notified new and relapse cases (thousands)	129
Notified new and relapse cases (per 100 000 pop/year)	265
Notified new ss+ cases (thousands)	43
Notified new ss+ cases (per 100 000 pop/year)	87
as % of new pulmonary cases	50
sex ratio (male/female)	1.9
DOTS case detection rate (% of estimated new ss+)	116
Notified new extrapulmonary cases (thousands)	40
as % of notified new cases	32
Notified new ss+ cases in children (<15 years) (thousands)	0.3
as % of notified new ss+ cases	0.7

Case notifications



Unfavourable treatment outcomes, 2006 cohorts



	2000	2001	2002	2003	2004	2005	2006	2007
DOTS coverage (%)	77	84	88	95	95	95	95	95
Notification rate (new & relapse cases/100 000 pop)	67	92	122	161	203	223	253	265
% notified new & relapse cases reported under DOTS	100	96	100	100	100	100	100	100
Notification rate (new ss+ cases/100 000 pop)	38	46	52	58	66	76	83	87
% notified new ss+ cases reported under DOTS	100	98	100	100	100	100	100	100
Case detection rate (all new cases, %)	36	50	67	88	113	125	142	149
Case detection rate (new ss+ cases, %)	50	61	69	78	88	102	111	116
Treatment success (new ss+ patients, %)	82	81	81	81	84	84	84	—
Re-treatment success (ss+ patients, %)	74	74	75	70	74	71	70	—

Note: notification, case detection and treatment success rates are for the whole country (i.e. DOTS and non-DOTS cases combined).

DOTS EXPANSION AND ENHANCEMENT

Overview of services for diagnosis of TB and treatment of patients

Description of basic management unit	Township TB centre
Number of units (DOTS/total), 2007	314/324
Location of NTP services	
Rural	Rural health centre for drug distribution only
Urban	Township TB centre
NTP services part of general primary health-care network?	Yes
Location where TB diagnosed	
Rural	Township TB centre
Urban	Township TB centre
Diagnosis free of charge?	Yes (all suspects)
Treatment supervised?	All patients in all units
Intensive phase	Health-care worker, community member, family member
Continuation phase	Health-care worker, community member, family member
Category I regimen	2(HRZE)/4(HR)
Treatment free of charge	All patients in all units
External review missions	last: 2007 next: 2010

Political commitment

National strategic plan?	Yes (2006-2010)
Mechanism for national interagency coordination?	Yes (established 2000)
National Stop TB Partnership?	No (planned 2009)

Financial indicators, 2009

(see final page for detailed presentation)	%
Government contribution to NTP budget (incl loans)	11
Government contribution to total cost TB control (incl loans)	25
Government health spending used for TB control	62
NTP budget funded	60

Per capita health financial indicators, 2009

	US\$
NTP budget per capita	0.2
Total costs for TB control per capita	0.3
Funding gap per capita	0.1
Government health expenditure per capita (2005)	0.4
Total health expenditure per capita (2005)	4.0

Quality-assured bacteriology

National reference laboratory?	Yes
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All TB laboratories performing EQA of smear microscopy or DST under the supervision of the National Reference Laboratory

	Smear				Culture		DST			
	Number	per 100 000	EQA	% adeq perf	Number	per 5 000 000	Number	per 10 000 000	EQA	% adeq perf
2007	324	0.7	54	52%	2	0.2	1	0.2	—	—
2008	324	0.7	324	—	2	0.2	1	0.2	—	—

Note: for routine diagnosis, there should be at least one laboratory providing smear microscopy per 100 000 population. To provide culture for diagnosis of paediatric, extra-pulmonary and ss-/HIV+ TB, as well as DST of re-treatment and failure cases, most countries will need one culture facility per 5 million population and one DST facility per 10 million population. EQA column shows number of laboratories for which EQA was done. Adeq perf; adequate performance for microscopy based on results of EQA.

System for managing drug supplies and laboratory equipment

	Central level			Peripheral level		
	2005	2006	2007	2005	2006	2007
Stock-outs of laboratory supplies?	—	No	No	—	No	No
Stock-outs of first-line anti-TB drugs?	No	No	No	No	No	No

Monitoring and evaluation system, and impact measurement

NTP publishes annual report?	Yes (since 1995)	Burden and impact assessment		last	next
% of BMUs reporting to next level in 2007		In-depth analysis of routine surveillance data	Yes	2007	2008
Case-finding	97%	Prevalence of disease survey	Yes	2006	2009
Treatment outcomes	97%	Prevalence of infection survey	No	—	—
		Drug resistance survey	Yes, national	2003	—
		Mortality survey	No	—	—
		Analysis of vital registration data	No	—	—

MDR-TB, TB/HIV AND OTHER CHALLENGES

	2005	2006	2007
	Number (% of estimated ss+ MDR-TB)		
Estimated incidence of ss+ MDR cases	2 287	2 309	2 331
Diagnosed and notified	— (—%)	666 (29%)	600 (26%)
Registered for treatment	— (—%)	— (—%)	— (—%)
GLC	0	0	0
non-GLC	—	—	—

MDR-TB, TB/HIV AND OTHER CHALLENGES (continued)

Detection and treatment of HIV in TB patients, 2007

TB patients for whom the HIV test result was known	2 825
as % of all notified TB patients	2.1
TB patients with positive HIV test	873
as % of all estimated HIV+ TB cases	9.6
HIV+ TB patients started or continued on CPT	846
as % of HIV+ TB patients notified	97
HIV+ TB patients started or continued on ART	437
as % of HIV+ TB patients notified	50

Screening for TB in HIV-positive patients, 2007

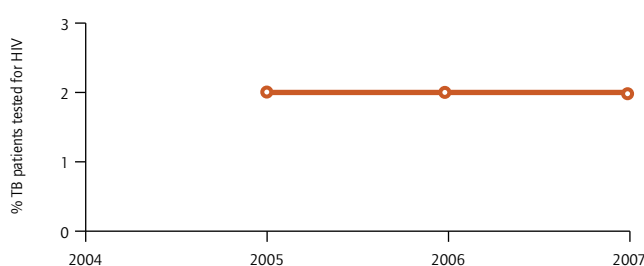
HIV+ patients in HIV care or ART register	—
Screened for TB	—
as % of HIV+ patients in HIV care or ART register	—
Started on TB treatment	—
as % of HIV+ patients in HIV care or ART register	—
Started on IPT	—
as % of HIV+ patients without TB in HIV care or ART register	—

High-risk groups, 2007

Number of close contacts of ss+ TB patients screened	—
Number of TB cases identified among contacts	—
% of contacts with TB	—
Contacts started on IPT	—
% of contacts without TB on IPT	—

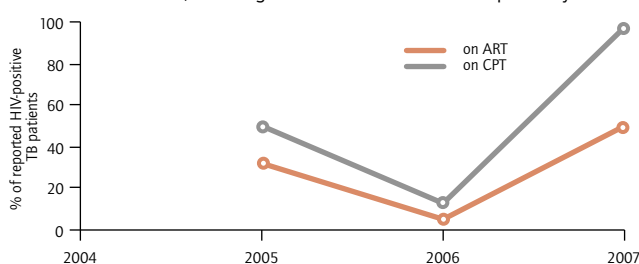
HIV testing for TB patients

The proportion of TB patients screened for HIV was low and stable from 2005 to 2007



CPT and ART for HIV-positive TB patients

The proportion of HIV-positive TB patients receiving ART and CPT increased from 2005, reaching 50% and 97% in 2007 respectively



CONTRIBUTING TO HEALTH SYSTEM STRENGTHENING

The national health system operates under severe resource constraints, with limited human resources and poor outreach services in some areas. The NTP, in conjunction with the HIV and malaria control programmes, is attempting to improve the management of general health systems and supervisory and delivery capacity at the township level, with funding from the Three Diseases Fund. The NTP is also scaling up initiatives to engage the private sector in TB control and is helping to improve the capacity of the Myanmar Medical Association to provide services for public health.

Practical Approach to Lung Health (PAL), 2007

Number of health-care facilities providing PAL services	—	As % of total number of health-care facilities	—
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ENGAGING ALL CARE PROVIDERS

Public-public and public-private approaches (PPM), 2007

	Number collaborating (total number of providers)		% total notified TB	
	Diagnosed	Treated	Diagnosed	Treated
Public sector	4 (365)		0.1	0.1
Private sector	856 (—)		9.1	9.1

International Standards for Tuberculosis Care (ISTC)

ISTC endorsed by professional organizations?	No
ISTC included in medical curriculum?	No

EMPOWERING PEOPLE WITH TB, AND COMMUNITIES

Advocacy, communication and social mobilization (ACSM)

A KAP survey is planned for 2009.

Community participation in TB care and Patients' Charter

The community is involved in all basic management units in the country, although not in all health centres. Involvement has been initiated through public health centres and NGOs, which organize community-based treatment support, sensitization activities and referral of suspects. The presence of community health workers and various NGOs throughout the country mean that there is potential for countrywide coverage of community-based TB care. No data on use of the Patients' Charter were reported in 2008.

ENABLING AND PROMOTING RESEARCH

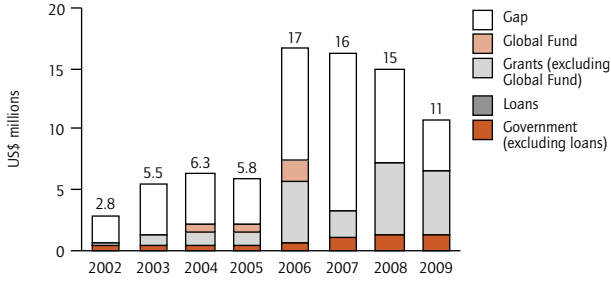
Programme-based operational research, 2007

Operational research budget (% of NTP budget)	0.8%
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FINANCING

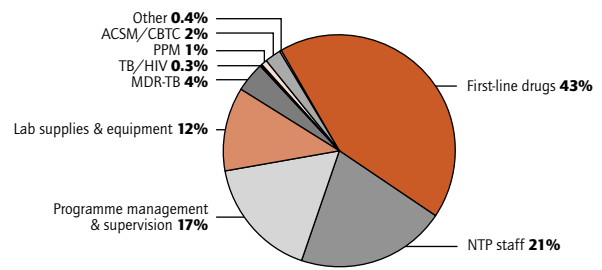
a. NTP budget by source of funding

Increased funding from 2006 from Three Diseases Fund, but large funding gaps remain



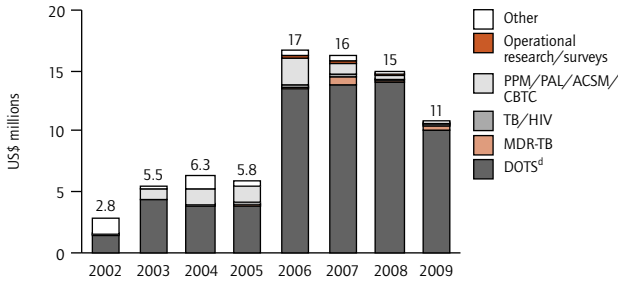
b. NTP budget line items in 2009

Almost all (92%) of the budget is for DOTS implementation



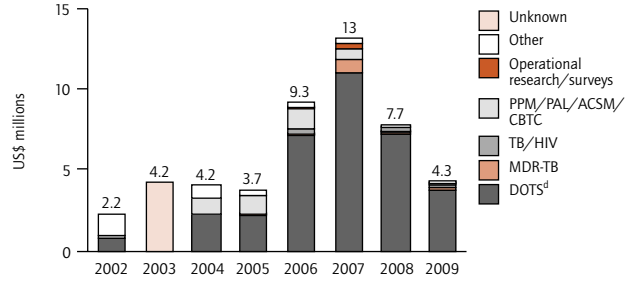
c. NTP budget by line item

Decreased budget in 2009 mainly because buffer stock of first-line drugs was included in 2008 budget



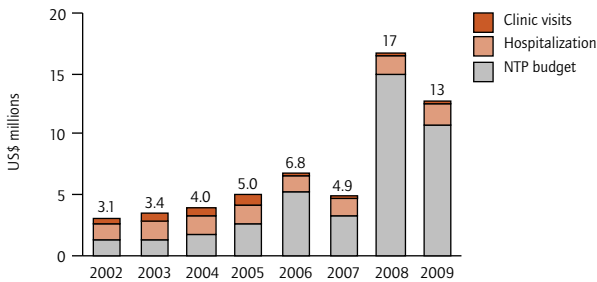
d. NTP funding gap by line item

Funding gap within DOTS mainly for first-line drugs, routine programme management and supervision, and dedicated NTP staff



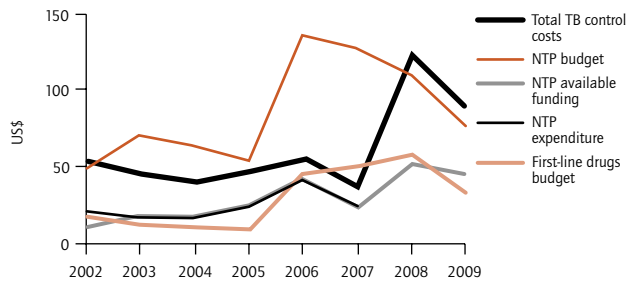
e. Total TB control costs by line item¹

Hospitalization costs are for 1500 dedicated TB beds; costs for clinic visits based on 28 clinic visits during TB treatment for 2002-2005 and 3 visits for 2006-2009, reflecting more reliance on community-based DOT



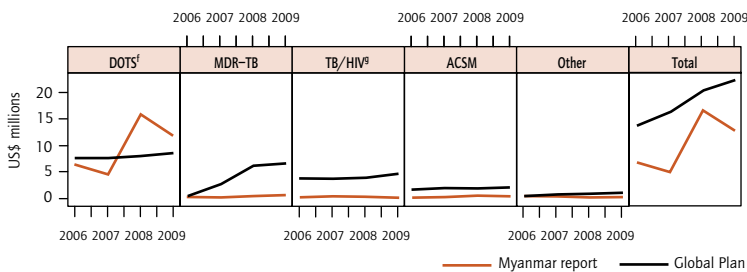
f. Per patient costs, budgets and expenditures²

High first-line drugs budget per patient 2006-2008 reflects planned purchase of buffer stock; expenditures almost the same as available funding suggesting good absorption capacity



g. Global Plan compared with country reports^a

Country implementation behind Global Plan targets (2006-2007), in part due to lack of funds; country assessment of funding required for DOTS 2008-2009 higher than Global Plan due to higher projections of patients to be treated; country plan for scaling up MDR-TB treatment has less ambitious targets than the Global MDR/XDR-TB Response Plan



h. NTP budget and funding gap by Stop TB Strategy component (US\$ millions)

Component	2009 BUDGET	GAP
DOTS expansion and enhancement	10	3.8
TB/HIV, MDR-TB and other challenges	0.5	0.3
Health system strengthening	0	0
Engage all care providers	0.1	0.1
People with TB, and communities	0.2	0.2
Research and surveys	0	0
Other	0.05	0.04

SOURCES, METHODS AND ABBREVIATIONS

^{a-g} Please see footnotes page 169.

¹ Total TB control costs for 2002-2007 are based on expenditure, whereas those for 2008-2009 are based on budgets. Estimates of the costs of clinic visits and hospitalization are WHO estimates based on data provided by the NTP and from other sources. See Methods for further details.

² NTP available funding for 2004-2007 is based on the amount of funding actually received, using retrospective data; available funding for 2002-2003 and 2008-2009 is based on prospectively reported budget data, and estimated as the total budget minus any reported funding gap.

- indicates not available or not applicable; pop, population; ss+, sputum smear-positive; ss-, sputum smear-negative pulmonary; unk, pulmonary - sputum smear not done or result unknown.

Nigeria

The Stop TB Strategy is being implemented in all 774 local government areas following increased funding from diverse sources including the Global Fund. At least two health facilities in each area have fully functional DOTS services. The case detection rate has been increasing steadily but remains relatively low. However, although the outcome of treatment was not evaluated for a high proportion of patients, the treatment success rate was 76%. Collaborative TB/HIV activities are being scaled up, and 32% of TB cases are screened for HIV at major health facilities. As part of the programmatic management of MDR-TB, two national and six zonal laboratories are being set up. PPM and community-based TB care activities are being expanded. Major challenges include human resource constraints, coordinating multiple partners, setting up a commodity management system and closing remaining funding gaps.

SURVEILLANCE AND EPIDEMIOLOGY

Population (thousands)^a 148 093

Estimates of epidemiological burden, 2007^b ALL IN HIV+ PEOPLE

Incidence

All forms of TB (thousands of new cases per year)	460	123
All forms of TB (new cases per 100 000 pop/year)	311	83
Rate of change in incidence rate (%), 2006-2007	-2.6	-2.7
New ss+ cases (thousands of new cases per year)	195	43
New ss+ cases (per 100 000 pop/year)	131	29
HIV+ incident TB cases (% of all TB cases)	27	—

Prevalence

All forms of TB (thousands of cases)	772	62
All forms of TB (cases per 100 000 pop)	521	42
2015 target for prevalence (cases per 100 000 pop)	141	—

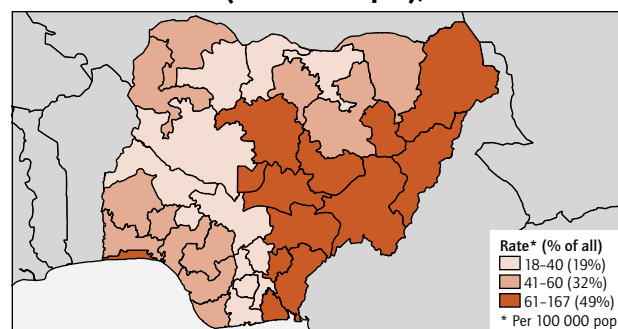
Mortality

All forms of TB (thousands of deaths per year)	138	59
All forms of TB (deaths per 100 000 pop/year)	93	40
2015 target for mortality (deaths per 100 000 pop/year)	18	—

Multidrug-resistant TB (MDR-TB)

MDR-TB among all new TB cases (%)	1.8	—
MDR-TB among previously treated TB cases (%)	9.4	—

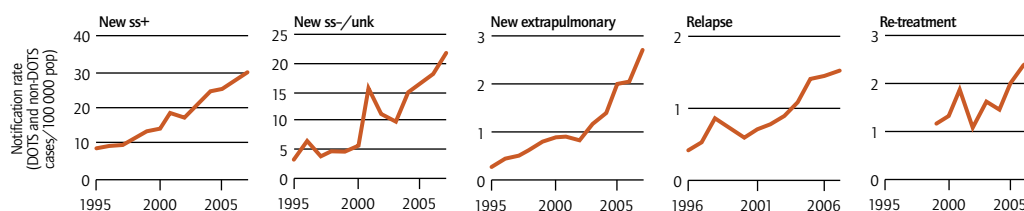
TB notification rate (new and relapse), 2007



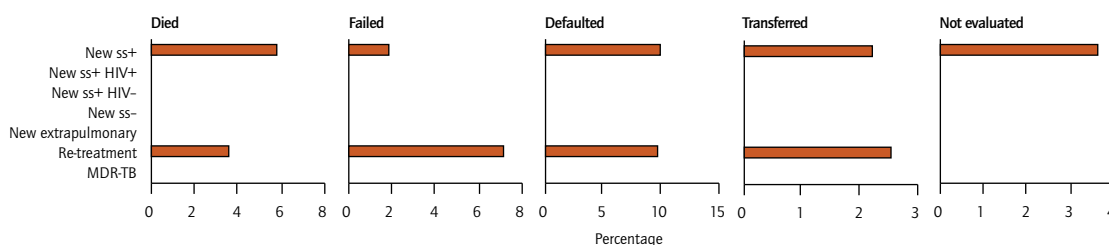
Total notifications, 2007

Notified new and relapse cases (thousands)	82
Notified new and relapse cases (per 100 000 pop/year)	56
Notified new ss+ cases (thousands)	44
Notified new ss+ cases (per 100 000 pop/year)	30
as % of new pulmonary cases	58
sex ratio (male/female)	1.4
DOTS case detection rate (% of estimated new ss+)	23
Notified new extrapulmonary cases (thousands)	4.0
as % of notified new cases	5.0
Notified new ss+ cases in children (<15 years) (thousands)	1.2
as % of notified new ss+ cases	2.7

Case notifications



Unfavourable treatment outcomes, 2006 cohorts



	2000	2001	2002	2003	2004	2005	2006	2007
DOTS coverage (%)	47	55	55	60	65	65	75	91
Notification rate (new & relapse cases/100 000 pop)	21	36	29	33	41	44	49	56
% notified new & relapse cases reported under DOTS	100	66	78	100	100	100	100	100
Notification rate (new ss+ cases/100 000 pop)	14	18	17	21	24	25	28	30
% notified new ss+ cases reported under DOTS	100	81	89	100	100	100	100	100
Case detection rate (all new cases, %)	7.4	12	9.1	9.7	12	13	15	17
Case detection rate (new ss+ cases, %)	12	15	13	15	17	18	20	23
Treatment success (new ss+ patients, %)	79	79	79	78	73	75	76	—
Re-treatment success (ss+ patients, %)	71	71	73	—	73	66	77	—

Note: notification, case detection and treatment success rates are for the whole country (i.e. DOTS and non-DOTS cases combined).

DOTS EXPANSION AND ENHANCEMENT

Overview of services for diagnosis of TB and treatment of patients

Description of basic management unit	General hospital
Number of units (DOTS/total), 2007	701/774
Location of NTP services	
Rural	Primary health centre
Urban	General hospital
NTP services part of general primary health-care network?	Yes
Location where TB diagnosed	
Rural	Primary and general hospital
Urban	General hospital
Diagnosis free of charge?	Yes (all suspects)
Treatment supervised?	All patients in all units
Intensive phase	Health-care worker, community member, family member
Continuation phase	Health-care worker, community member, family member
Category I regimen	2(HRZE)/6(HE)
Treatment free of charge	All patients in all units
External review missions	last: 2008 next: 2009

Political commitment

National strategic plan?	Yes (2006-2010)
Mechanism for national interagency coordination?	Yes (established 2002)
National Stop TB Partnership?	No (planned 2008)

Financial indicators, 2009

(see final page for detailed presentation)	%
Government contribution to NTP budget (incl loans)	16
Government contribution to total cost TB control (incl loans)	33
Government health spending used for TB control	4.6
NTP budget funded	57

Per capita health financial indicators, 2009

	US\$
NTP budget per capita	0.3
Total costs for TB control per capita	0.4
Funding gap per capita	0.1
Government health expenditure per capita (2005)	8.4
Total health expenditure per capita (2005)	27

Quality-assured bacteriology

National reference laboratory?	Yes
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All TB laboratories performing EQA of smear microscopy or DST under the supervision of the National Reference Laboratory

	Smear				Culture		DST			
	Number	per 100 000	EQA	% adeq perf	Number	per 5 000 000	Number	per 10 000 000	EQA	% adeq perf
2007	794	0.5	347	93%	2	0.1	1	0.1	–	–
2008	1 138	0.8	1 138	–	9	0.3	9	0.6	9.0	–

Note: for routine diagnosis, there should be at least one laboratory providing smear microscopy per 100 000 population. To provide culture for diagnosis of paediatric, extra-pulmonary and ss-/HIV+ TB, as well as DST of re-treatment and failure cases, most countries will need one culture facility per 5 million population and one DST facility per 10 million population. EQA column shows number of laboratories for which EQA was done. Adeq perf; adequate performance for microscopy based on results of EQA.

System for managing drug supplies and laboratory equipment

	Central level			Peripheral level		
	2005	2006	2007	2005	2006	2007
Stock-outs of laboratory supplies?	–	No	No	–	–	No
Stock-outs of first-line anti-TB drugs?	No	No	Yes	No	No	Some units

Monitoring and evaluation system, and impact measurement

NTP publishes annual report?	Yes (since 2000)	Burden and impact assessment	
		last	next
% of BMUs reporting to next level in 2007		In-depth analysis of routine surveillance data	Yes 2007 2008
Case-finding	100%	Prevalence of disease survey	Yes, national – 2009
Treatment outcomes	100%	Prevalence of infection survey	No – –
		Drug resistance survey	– – –
		Mortality survey	No – –
		Analysis of vital registration data	No – –

MDR-TB, TB/HIV AND OTHER CHALLENGES

	2005	2006	2007
	Number (% of estimated ss+ MDR-TB)		
Estimated incidence of ss+ MDR cases	6 971	6 957	6 934
Diagnosed and notified	– (–%)	– (–%)	45 (0.65%)
Registered for treatment	– (–%)	– (–%)	– (–%)
GLC	0	0	0
non-GLC	–	–	–

MDR-TB, TB/HIV AND OTHER CHALLENGES (continued)

Detection and treatment of HIV in TB patients, 2007

TB patients for whom the HIV test result was known	27 849
as % of all notified TB patients	32
TB patients with positive HIV test	6 275
as % of all estimated HIV+ TB cases	5.1
HIV+ TB patients started or continued on CPT	1 953
as % of HIV+ TB patients notified	31
HIV+ TB patients started or continued on ART	—
as % of HIV+ TB patients notified	—

Screening for TB in HIV-positive patients, 2007

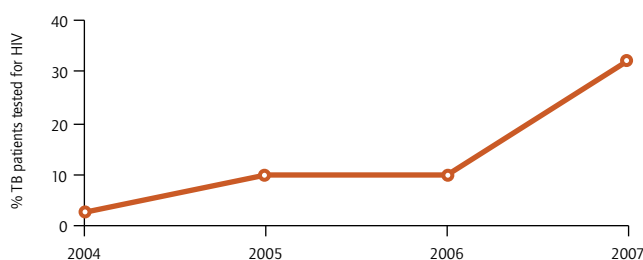
HIV+ patients in HIV care or ART register	233 495
Screened for TB	86 897
as % of HIV+ patients in HIV care or ART register	37
Started on TB treatment	15 418
as % of HIV+ patients in HIV care or ART register	6.6
Started on IPT	76
as % of HIV+ patients without TB in HIV care or ART register	0.03

High-risk groups, 2007

Number of close contacts of ss+ TB patients screened	—
Number of TB cases identified among contacts	—
% of contacts with TB	—
Contacts started on IPT	—
% of contacts without TB on IPT	—

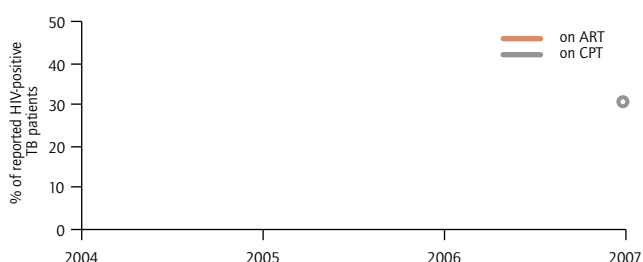
HIV testing for TB patients

The proportion of TB patients screened for HIV tripled between 2006 and 2007



CPT and ART for HIV-positive TB patients

No data were reported on ART; data on the provision of CPT were reported for the first time



CONTRIBUTING TO HEALTH SYSTEM STRENGTHENING

The public health-care system, into which TB control is fully integrated, is constrained by a lack of human resources and difficulties in providing outreach services - particularly in rural areas. A wide range of hospitals and other tertiary institutions that are not yet linked to the NTP are available in urban areas; an unregulated private health sector is a problem throughout the country. Initiatives are ongoing to engage these various providers.

Practical Approach to Lung Health (PAL), 2007

Number of health-care facilities providing PAL services	0	As % of total number of health-care facilities	0
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ENGAGING ALL CARE PROVIDERS

Public-public and public-private approaches (PPM), 2007

	Number collaborating with the NTP ^a		% total notified TB	
	(total number of providers)	Diagnosed	Treated	
Public sector	— (—)	—	—	—
Private sector	410 (—)	4.6	4.6	

International Standards for Tuberculosis Care (ISTC)

ISTC endorsed by professional organizations?	Yes
By which organizations:	
Nigeria Medical Association	
ISTC included in medical curriculum?	No

EMPOWERING PEOPLE WITH TB, AND COMMUNITIES

Advocacy, communication and social mobilization (ACSM)

A KAP survey was conducted in 2008 to refine the ACSM component of the National TB Control Strategy 2006–2010. An ACSM consultant participated in the 2008 national programme review to assess progress towards ACSM targets and drafted recommendations for future ACSM activities.

Community participation in TB care and Patients' Charter

Community-based services are currently implemented in six pilot states in the country, based on national guidelines which are fully in accordance with global policy. Careful attention is given to ensuring high-quality care and raising awareness about TB. Wide expansion of community-based services is planned by the end of 2009.

ENABLING AND PROMOTING RESEARCH

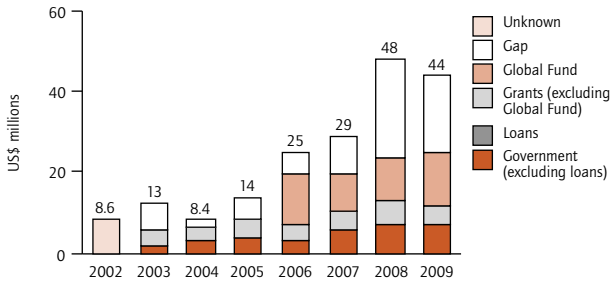
Programme-based operational research, 2007

Operational research budget (% of NTP budget)	1.7%
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FINANCING

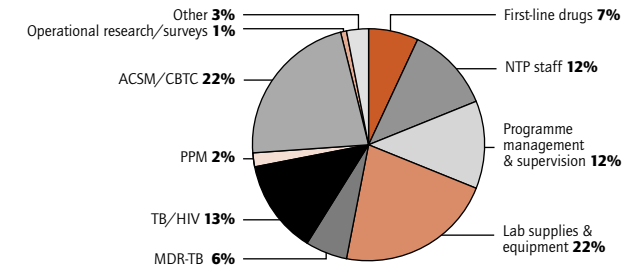
a. NTP budget by source of funding

Increased NTP budget after re-assessment of funding needs; funding has also grown but large funding gaps remain



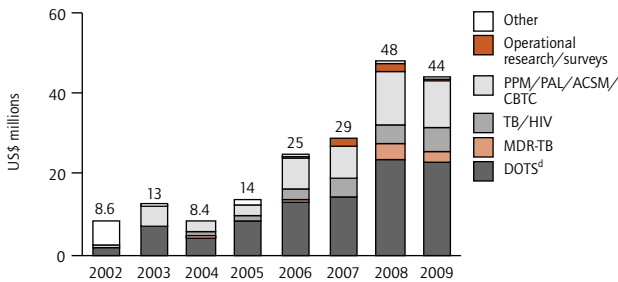
b. NTP budget line items in 2009

Laboratory budget includes introduction of molecular tests at national level; share of budget for ACSM (including community TB care) is large compared with most HBCs



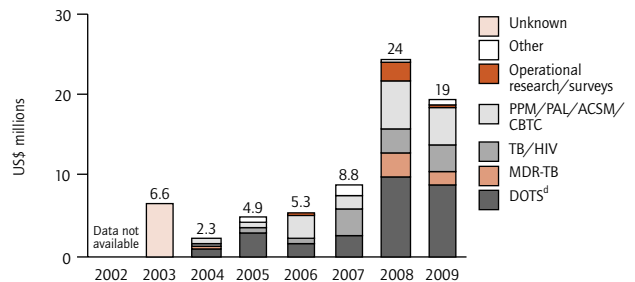
c. NTP budget by line item

Increasing budget for DOTS and ACSM, and to a lesser extent for MDR-TB, with plan to treat 50 MDR-TB patients if approved by GLC



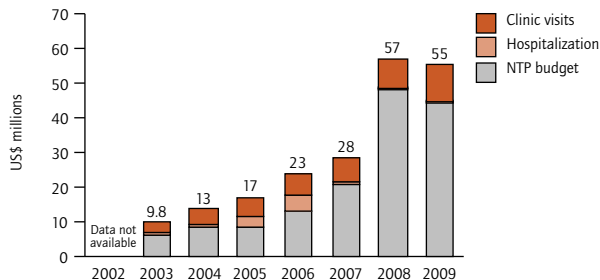
d. NTP funding gap by line item

Funding gap within DOTS mainly for laboratory supplies and equipment



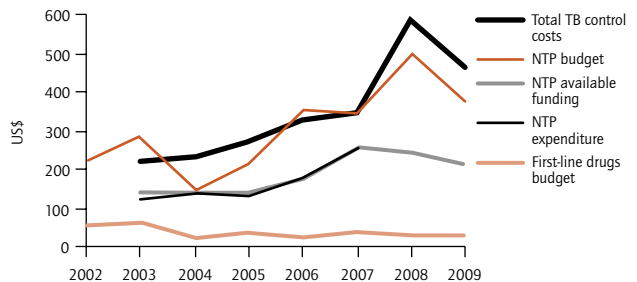
e. Total TB control costs by line item¹

Hospitalization costs based on estimate that 20–30% of new TB patients were hospitalized for average of 56 days 2005–2006, and 7% of new TB patients hospitalized for 14 days in 2007–2009



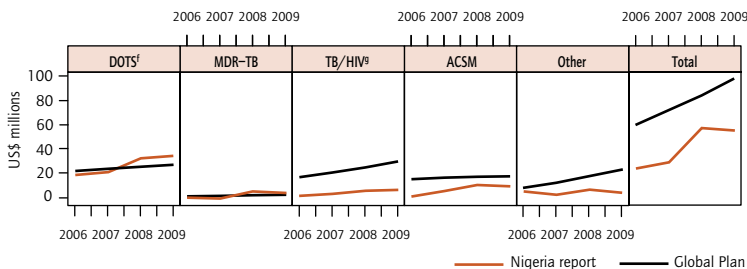
f. Per patient costs, budgets and expenditures²

Expenditures have increased in line with available funding, showing good absorption capacity (2003–2007)



g. Global Plan compared with country reports³

Country implementation of TB control activities (2006–2007) in line with the Global Plan for DOTS only; country plan (2008–2009) falls short of Global Plan for community TB care and TB/HIV



h. NTP budget and funding gap by Stop TB Strategy component (US\$ millions)

Component	2009 BUDGET	GAP
DOTS expansion and enhancement	23	8.7
TB/HIV, MDR-TB and other challenges	8.7	5.2
Health system strengthening	0.7	0.3
Engage all care providers	1.0	0.5
People with TB, and communities	9.9	3.8
Research and surveys	0.4	0.3
Other	0.7	0.5

SOURCES, METHODS AND ABBREVIATIONS

^{a-g} Please see footnotes page 169.

¹ Total TB control costs for 2003–2007 are based on expenditure, whereas those for 2008–2009 are based on budgets. Estimates of the costs of clinic visits and hospitalization are WHO estimates based on data provided by the NTP and from other sources. See Methods for further details.

² NTP available funding for 2004–2007 is based on the amount of funding actually received, using retrospective data; available funding for 2003 and 2008–2009 is based on prospectively reported budget data, and estimated as the total budget minus any reported funding gap.

- indicates not available or not applicable; pop, population; ss+, sputum smear-positive; ss-, sputum smear-negative pulmonary; unk, pulmonary - sputum smear not done or result unknown.

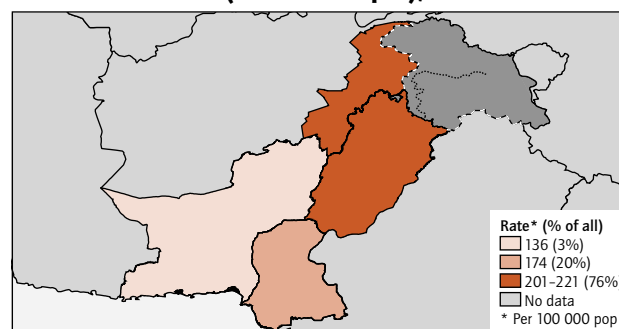
Pakistan

The case detection rate is increasing and is just below target at 67%, while the treatment success rate has reached 88%. PPM initiatives account for an increasing share of notifications, notably from tertiary hospitals and a social franchising project involving private clinics that is implemented by an NGO in five cities. A new recording and reporting system introduced in 2008 will allow precise quantification of the contribution of PPM to total notifications. An EQA system has been implemented and is being expanded to cover the entire TB microscopy network. However, the network of services for culture and DST is inadequate. MDR-TB case management has been initiated, and collaborative TB/HIV activities have not yet been scaled up. A much needed TB prevalence survey is planned in 2009. ACSM activities have been expanded, although the national Stop TB Partnership launched in 2004 is not yet fully functional.

SURVEILLANCE AND EPIDEMIOLOGY

Population (thousands) ^a	163 902	
Estimates of epidemiological burden, 2007^b	ALL	IN HIV+ PEOPLE
Incidence		
All forms of TB (thousands of new cases per year)	297	6.2
All forms of TB (new cases per 100 000 pop/year)	181	3.8
Rate of change in incidence rate (%), 2006–2007	0	6.2
New ss+ cases (thousands of new cases per year)	133	2.2
New ss+ cases (per 100 000 pop/year)	81	1.3
HIV+ incident TB cases (% of all TB cases)	2.1	–
Prevalence		
All forms of TB (thousands of cases)	365	3.1
All forms of TB (cases per 100 000 pop)	223	1.9
2015 target for prevalence (cases per 100 000 pop)	215	–
Mortality		
All forms of TB (thousands of deaths per year)	48	1.4
All forms of TB (deaths per 100 000 pop/year)	29	0.9
2015 target for mortality (deaths per 100 000 pop/year)	25	–
Multidrug-resistant TB (MDR-TB)		
MDR-TB among all new TB cases (%)	3.2	–
MDR-TB among previously treated TB cases (%)	35	–

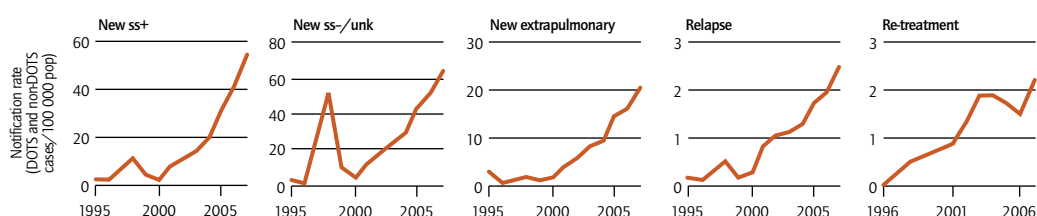
TB notification rate (new and relapse), 2007



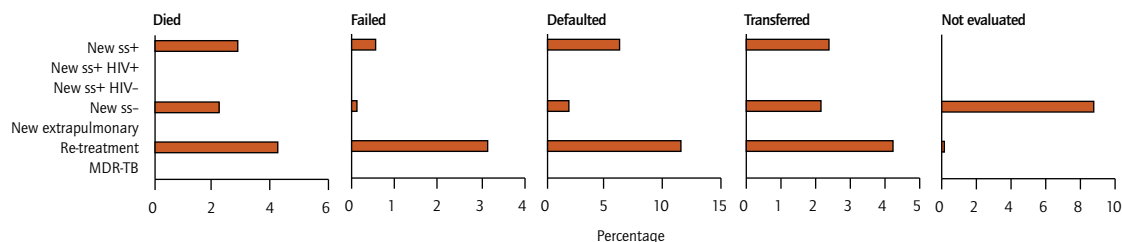
Total notifications, 2007

Notified new and relapse cases (thousands)	230
Notified new and relapse cases (per 100 000 pop/year)	141
Notified new ss+ cases (thousands)	89
Notified new ss+ cases (per 100 000 pop/year)	54
as % of new pulmonary cases	46
sex ratio (male/female)	1.1
DOTS case detection rate (% of estimated new ss+)	67
Notified new extrapulmonary cases (thousands)	34
as % of notified new cases	15
Notified new ss+ cases in children (<15 years) (thousands)	3.5
as % of notified new ss+ cases	3.9

Case notifications



Unfavourable treatment outcomes, 2006 cohorts



	2000	2001	2002	2003	2004	2005	2006	2007
DOTS coverage (%)	9.0	24	44	66	79	100	100	99
Notification rate (new & relapse cases/100 000 pop)	7.7	23	35	46	61	90	110	141
% notified new & relapse cases reported under DOTS	100	53	90	100	100	100	100	100
Notification rate (new ss+ cases/100 000 pop)	2.3	7.4	11	14	20	31	41	54
% notified new ss+ cases reported under DOTS	100	57	94	100	100	100	100	100
Case detection rate (all new cases, %)	4.1	12	19	25	33	49	59	76
Case detection rate (new ss+ cases, %)	2.8	9.1	13	17	25	38	50	67
Treatment success (new ss+ patients, %)	74	77	78	79	82	83	88	–
Re-treatment success (ss+ patients, %)	54	–	66	66	78	76	77	–

Note: notification, case detection and treatment success rates are for the whole country (i.e. DOTS and non-DOTS cases combined).

DOTS EXPANSION AND ENHANCEMENT

Overview of services for diagnosis of TB and treatment of patients

Description of basic management unit	Diagnostic centre
Number of units (DOTS/total), 2007	1130/1130
Location of NTP services	
Rural	District hospital, subdistrict hospital, TB clinic
Urban	Tertiary care, teaching hospital, district hospital
NTP services part of general primary health-care network?	Yes
Location where TB diagnosed	
Rural	All except basic health units, dispensaries
Urban	All except basic health units, dispensaries
Diagnosis free of charge?	Yes (all suspects)
Treatment supervised?	All patients in all units
Intensive phase	Health-care worker, community member, family member
Continuation phase	Family member
Category I regimen	2HRZE/6HE
Treatment free of charge	All patients in all units
External review missions	last: 2008 next: 2009

Political commitment

National strategic plan?	Yes, (2005–2010)
Mechanism for national interagency coordination?	Yes (established 2001)
National Stop TB Partnership?	Yes (established 2004)

Financial indicators, 2009

(see final page for detailed presentation)	%
Government contribution to NTP budget (incl loans)	19
Government contribution to total cost TB control (incl loans)	24
Government health spending used for TB control	14
NTP budget funded	53

Per capita health financial indicators, 2009

	US\$
NTP budget per capita	0.3
Total costs for TB control per capita	0.3
Funding gap per capita	0.1
Government health expenditure per capita (2005)	2.5
Total health expenditure per capita (2005)	15

Quality-assured bacteriology

National reference laboratory?	No (planned for 2008)
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All TB laboratories performing EQA of smear microscopy or DST under the supervision of the National Reference Laboratory

	Smear				Culture		DST			
	Number	per 100 000	EQA	% adeq perf	Number	per 5 000 000	Number	per 10 000 000	EQA	% adeq perf
2007	1 131	0.7	360	44%	3	0.1	1	0.1	0	–
2008	1 131	0.7	906	–	5	0.1	1	0.1	0	–

Note: for routine diagnosis, there should be at least one laboratory providing smear microscopy per 100 000 population. To provide culture for diagnosis of paediatric, extra-pulmonary and ss-/HIV+ TB, as well as DST of re-treatment and failure cases, most countries will need one culture facility per 5 million population and one DST facility per 10 million population. EQA column shows number of laboratories for which EQA was done. Adeq perf; adequate performance for microscopy based on results of EQA.

System for managing drug supplies and laboratory equipment

	Central level			Peripheral level		
	2005	2006	2007	2005	2006	2007
Stock-outs of laboratory supplies?	–	No	No	–	Some units	Some units
Stock-outs of first-line anti-TB drugs?	No	No	No	No	No	No

Monitoring and evaluation system, and impact measurement

NTP publishes annual report?	Yes (since 2001)	Burden and impact assessment		last	next
% of BMUs reporting to next level in 2007		In-depth analysis of routine surveillance data	No	–	–
Case-finding	–	Prevalence of disease survey	Yes, national	1987	2009
Treatment outcomes	–	Prevalence of infection survey	Yes, national	1987	2009
		Drug resistance survey	–	–	–
		Mortality survey	Yes	2006	–
		Analysis of vital registration data	Yes	2008	–

MDR-TB, TB/HIV AND OTHER CHALLENGES

	2005	2006	2007
	Number (% of estimated ss+ MDR-TB)		
Estimated incidence of ss+ MDR cases	7 659	7 796	7 939
Diagnosed and notified	– (–%)	– (–%)	– (–%)
Registered for treatment	– (–%)	– (–%)	– (–%)
GLC	0	0	0
non-GLC	–	–	–

MDR-TB, TB/HIV AND OTHER CHALLENGES (continued)**Detection and treatment of HIV in TB patients, 2007**

TB patients for whom the HIV test result was known	—
as % of all notified TB patients	—
TB patients with positive HIV test	—
as % of all estimated HIV+ TB cases	—
HIV+ TB patients started or continued on CPT	—
as % of HIV+ TB patients notified	—
HIV+ TB patients started or continued on ART	—
as % of HIV+ TB patients notified	—

Screening for TB in HIV-positive patients, 2007

HIV+ patients in HIV care or ART register	—
Screened for TB	—
as % of HIV+ patients in HIV care or ART register	—
Started on TB treatment	—
as % of HIV+ patients in HIV care or ART register	—
Started on IPT	—
as % of HIV+ patients without TB in HIV care or ART register	—

High-risk groups, 2007

Number of close contacts of ss+ TB patients screened	—
Number of TB cases identified among contacts	—
% of contacts with TB	—
Contacts started on IPT	—
% of contacts without TB on IPT	—

HIV testing for TB patients

Data not reported

CPT and ART for HIV-positive TB patients

Data not reported

CONTRIBUTING TO HEALTH SYSTEM STRENGTHENING

TB services are fully integrated into the public health care system. Human resource constraints and difficulties in providing outreach services, particularly in rural areas and conflict zones, affect services to control TB. In urban areas many hospitals and other tertiary institutions are not yet fully linked to the NTP, and an unregulated private health sector is a problem throughout the country. The NTP has collaborated with other public health programmes to improve the capacity of laboratories, human resources and supervision and monitoring. Innovative approaches for engaging hospitals, NGOs and the private sector are being scaled up.

Practical Approach to Lung Health (PAL), 2007

Number of health-care facilities providing PAL services	0	As % of total number of health-care facilities	0
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ENGAGING ALL CARE PROVIDERS**Public-public and public-private approaches (PPM), 2007**

Number of providers collaborating with the NTP ^a			
	Number collaborating (total number of providers)	% total notified TB	
		Diagnosed	Treated
Public sector	19 (—)	0.5	0.5
Private sector	5 005 (100 030)	19	19

International Standards for Tuberculosis Care (ISTC)

ISTC endorsed by professional organizations?	No
ISTC included in medical curriculum?	No

EMPOWERING PEOPLE WITH TB, AND COMMUNITIES**Advocacy, communication and social mobilization (ACSM)**

ACSM activities continue to be prioritized. The revised National ACSM Strategy is in place, and a National Steering Committee on ACSM is operational. There is strong collaboration with the private sector for use of mass media and with NGOs for social mobilization. National guidelines on monitoring and evaluation in the private sector are available. Major challenges for the NTP are ensuring continued commitment to ACSM at all levels of the NTP, developing strong evidence of Scam's contribution to increasing rates of case detection and treatment success, and implementing Global Fund-related workplan in a timely manner.

Community participation in TB care and Patients' Charter

There are >100 000 lady health workers working in the public sector who assist national preventive and curative programmes, including the NTP. In parts of the country, religious leaders have been actively engaged in raising awareness of TB. Patients are included in the country coordination mechanism. The Patients' Charter has been translated into local languages and widely distributed to health facilities. Coalitions of community-based organizations are being established in 57 districts. A pilot initiative to promote TB messages in schools has also been initiated.

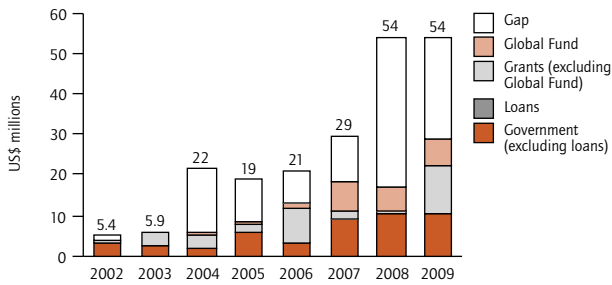
ENABLING AND PROMOTING RESEARCH**Programme-based operational research, 2007**

Operational research budget (% of NTP budget)	0.7%
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FINANCING

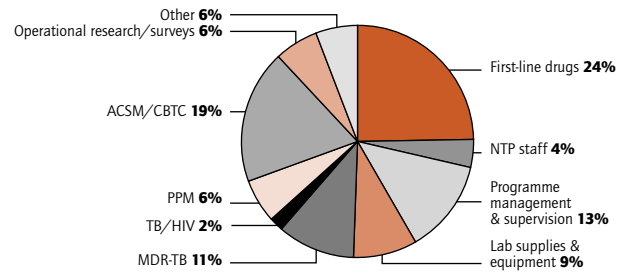
a. NTP budget by source of funding

NTP budget 10 times higher in 2009; funding increased due to increased donor financing; funding gap will be reduced if US\$ 25 million Global Fund round 8 application is successful



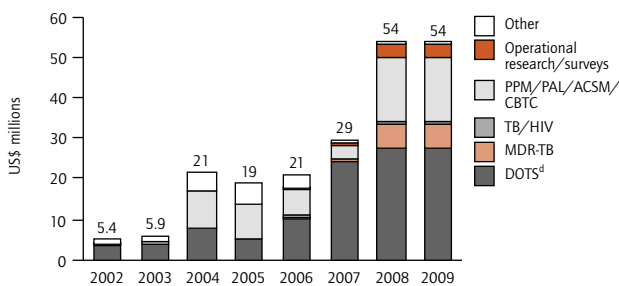
b. NTP budget line items in 2009

Most of the budget is for DOTS (51%) and PPM/PAL/CBTC/ACSM (29%)



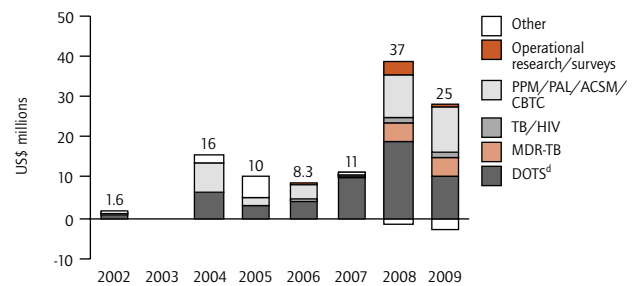
c. NTP budget by line item

Major growth in DOTS budget since 2002; from 2008 big increases in budgets for PPM (with over 1000 private providers engaged), ACSM, MDR-TB; most of the budget within operational research is for a disease prevalence survey



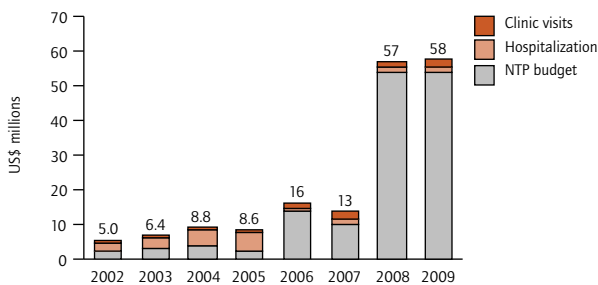
d. NTP funding gap by line item

Increased funding gap in 2008; MDR-TB gap to be financed through public sector funds and other donors; large ACSM gap to be filled with funding from round 6 Global Fund grant



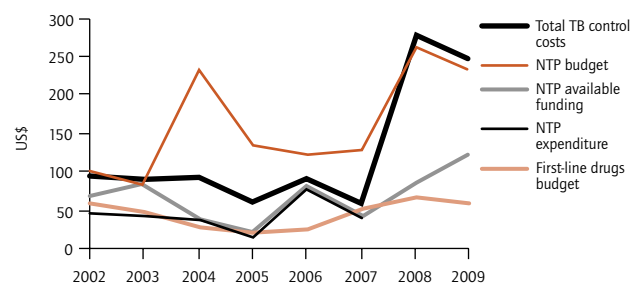
e. Total TB control costs by line item¹

Almost all costs for TB control will be included in the NTP budget after 2008 if funds are mobilized and spent; lower use of hospitalization as DOTS expands



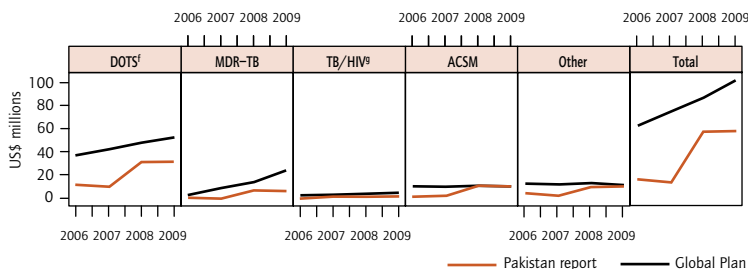
f. Per patient costs, budgets and expenditures²

Costs and budget per patient increasing as new elements of Stop TB Strategy are introduced; first-line drugs budget highest in 2009 due to purchase of buffer stock



g. Global Plan compared with country reports³

Country assessment of funding requirements lower than Global Plan estimates, except for TB/HIV, ACSM and Other



h. NTP budget and funding gap by Stop TB Strategy component (US\$ millions)

Component	2009 BUDGET	GAP
DOTS expansion and enhancement	27	10
TB/HIV, MDR-TB and other challenges	7.1	5.7
Health system strengthening	2.5	2.5
Engage all care providers	3.0	1.2
People with TB, and communities	10	7.8
Research and surveys	3.2	0.8
Other	0.7	-3.0

SOURCES, METHODS AND ABBREVIATIONS

^{a-g} Please see footnotes page 169.

¹ Total TB control costs for 2002-2007 are based on expenditure, whereas those for 2008-2009 are based on budgets. Estimates of the costs of clinic visits and hospitalization are WHO estimates based on data provided by the NTP and from other sources. See Methods for further details.

² NTP available funding for 2004-2007 is based on the amount of funding actually received, using retrospective data; available funding for 2002-2003 and 2008-2009 is based on prospectively reported budget data, and estimated as the total budget minus any reported funding gap.

- indicates not available or not applicable; pop, population; ss+, sputum smear-positive; ss-, sputum smear-negative pulmonary; unk, pulmonary - sputum smear not done or result unknown.

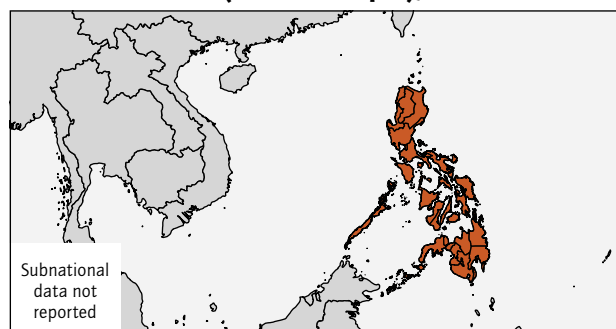
Philippines

Case detection and treatment success rates have exceeded the global targets since 2004. PPM initiatives have been further expanded, and their contribution to the national case detection rate reached 9% in 2007, with only 40% population coverage. The country is now scaling up programmatic management of drug-resistant TB to include areas beyond Metro Manila, expanding services for TB in children and addressing TB in high-risk groups including among the HIV-infected, the urban poor and the prison population. The third prevalence survey in 2007 showed a 34% decrease in bacteriologically-confirmed TB compared with the 1997 survey. The survey results will help re-estimate the burden of TB in the Philippines and improve understanding of risk factors. Government commitment is strong, and the increases in funding from domestic sources and the Global Fund grant have helped to reduce funding gaps.

SURVEILLANCE AND EPIDEMIOLOGY

Population (thousands) ^a	87 960	
Estimates of epidemiological burden, 2007^b	ALL	IN HIV+ PEOPLE
Incidence		
All forms of TB (thousands of new cases per year)	255	0.9
All forms of TB (new cases per 100 000 pop/year)	290	1.0
Rate of change in incidence rate (%), 2006–2007	-1.8	2.4
New ss+ cases (thousands of new cases per year)	115	0.3
New ss+ cases (per 100 000 pop/year)	130	0.3
HIV+ incident TB cases (% of all TB cases)	0.3	–
Prevalence		
All forms of TB (thousands of cases)	440	0.4
All forms of TB (cases per 100 000 pop)	500	0.5
2015 target for prevalence (cases per 100 000 pop)	400	–
Mortality		
All forms of TB (thousands of deaths per year)	36	0.3
All forms of TB (deaths per 100 000 pop/year)	41	0.3
2015 target for mortality (deaths per 100 000 pop/year)	44	–
Multidrug-resistant TB (MDR-TB)		
MDR-TB among all new TB cases (%)	4.0	–
MDR-TB among previously treated TB cases (%)	21	–

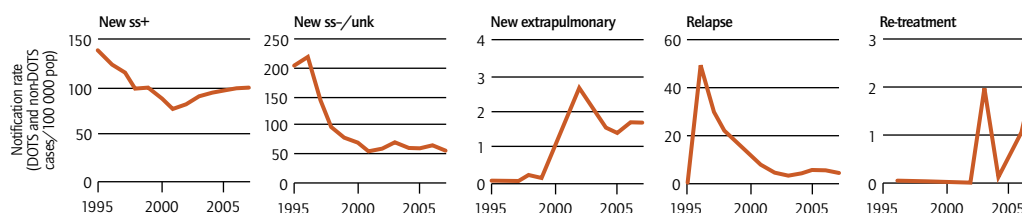
TB notification rate (new and relapse), 2007



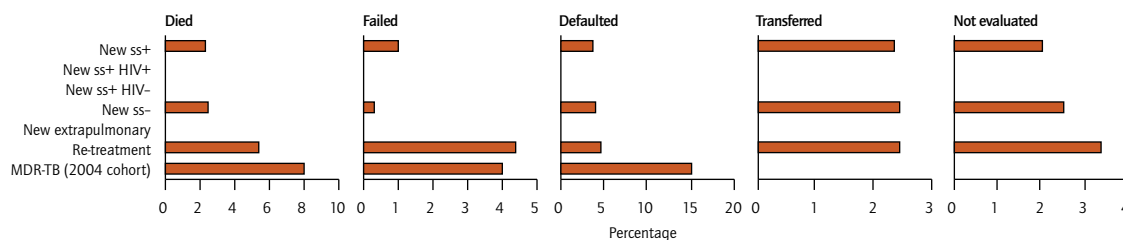
Total notifications, 2007

Notified new and relapse cases (thousands)	141
Notified new and relapse cases (per 100 000 pop/year)	160
Notified new ss+ cases (thousands)	87
Notified new ss+ cases (per 100 000 pop/year)	98
as % of new pulmonary cases	64
sex ratio (male/female)	2.4
DOTS case detection rate (% of estimated new ss+)	75
Notified new extrapulmonary cases (thousands)	1.5
as % of notified new cases	1.1
Notified new ss+ cases in children (<15 years) (thousands)	0.8
as % of notified new ss+ cases	1.0

Case notifications



Unfavourable treatment outcomes, 2006 cohorts



	2000	2001	2002	2003	2004	2005	2006	2007
DOTS coverage (%)	90	95	98	100	100	100	100	100
Notification rate (new & relapse cases/100 000 pop)	157	138	149	164	158	162	171	160
% notified new & relapse cases reported under DOTS	75	100	100	100	100	100	100	100
Notification rate (new ss+ cases/100 000 pop)	88	76	82	90	94	97	99	98
% notified new ss+ cases reported under DOTS	75	100	100	100	100	100	100	100
Case detection rate (all new cases, %)	48	40	46	52	50	52	56	54
Case detection rate (new ss+ cases, %)	59	52	57	64	69	71	75	75
Treatment success (new ss+ patients, %)	88	88	88	88	87	89	88	–
Re-treatment success (ss+ patients, %)	–	–	–	76	53	–	80	–

Note: notification, case detection and treatment success rates are for the whole country (i.e. DOTS and non-DOTS cases combined).

DOTS EXPANSION AND ENHANCEMENT

Overview of services for diagnosis of TB and treatment of patients

Description of basic management unit	Health centre
Number of units (DOTS/total), 2007	3075/3075
Location of NTP services	
Rural	Rural health unit
Urban	Health centre
NTP services part of general primary health-care network?	Yes
Location where TB diagnosed	
Rural	Rural health unit
Urban	Health centre
Diagnosis free of charge?	Yes (all suspects)
Treatment supervised?	All patients in all units
Intensive phase	Health-care worker, community member, family member
Continuation phase	Health-care worker, community member, family member
Category I regimen	2HRZE/4HR
Treatment free of charge	All patients in all units
External review missions	last: 2008 next: –

Political commitment

National strategic plan?	Yes (2006–2010)
Mechanism for national interagency coordination?	Yes (established 2003)
National Stop TB Partnership?	Yes (established 1994)

Financial indicators, 2009

(see final page for detailed presentation)	%
Government contribution to NTP budget (incl loans)	35
Government contribution to total cost TB control (incl loans)	56
Government health spending used for TB control	2.9
NTP budget funded	81

Per capita health financial indicators, 2009

	US\$
NTP budget per capita	0.2
Total costs for TB control per capita	0.4
Funding gap per capita	0.05
Government health expenditure per capita (2005)	14
Total health expenditure per capita (2005)	37

Quality-assured bacteriology

National reference laboratory?	Yes
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All TB laboratories performing EQA of smear microscopy or DST under the supervision of the National Reference Laboratory

	Smear				Culture		DST			
	Number	per 100 000	EQA	% adeq perf	Number	per 5 000 000	Number	per 10 000 000	EQA	% adeq perf
2007	2 374	2.7	2 374	–	3	0.2	3	0.3	3.0	–
2008	2 374	2.6	2 374	–	3	0.2	3	0.3	3.0	–

Note: for routine diagnosis, there should be at least one laboratory providing smear microscopy per 100 000 population. To provide culture for diagnosis of paediatric, extra-pulmonary and ss-/HIV+ TB, as well as DST of re-treatment and failure cases, most countries will need one culture facility per 5 million population and one DST facility per 10 million population. EQA column shows number of laboratories for which EQA was done. Adeq perf; adequate performance for microscopy based on results of EQA.

System for managing drug supplies and laboratory equipment

	Central level			Peripheral level		
	2005	2006	2007	2005	2006	2007
Stock-outs of laboratory supplies?	–	No	No	–	No	No
Stock-outs of first-line anti-TB drugs?	No	No	Yes	No	No	Some units

Monitoring and evaluation system, and impact measurement

NTP publishes annual report?	Yes (since 2001)	Burden and impact assessment		last	next
% of BMUs reporting to next level in 2007		In-depth analysis of routine surveillance data	Yes	–	2008
Case-finding	–	Prevalence of disease survey	Yes, national	2007	2017
Treatment outcomes	–	Prevalence of infection survey	Yes, national	2007	2017
		Drug resistance survey	Yes, national	2004	–
		Mortality survey	No	–	–
		Analysis of vital registration data	No	–	–

MDR-TB, TB/HIV AND OTHER CHALLENGES

	2005	2006	2007
	Number (% of estimated ss+ MDR-TB)		
Estimated incidence of ss+ MDR cases	6 430	6 442	6 451
Diagnosed and notified	274 (4.3%)	403 (6.3%)	568 (8.8%)
Registered for treatment	191 (3.0%)	133 (2.1%)	313 (4.9%)
GLC	191	133	313
non-GLC	–	–	–

MDR-TB, TB/HIV AND OTHER CHALLENGES (continued)**Detection and treatment of HIV in TB patients, 2007**

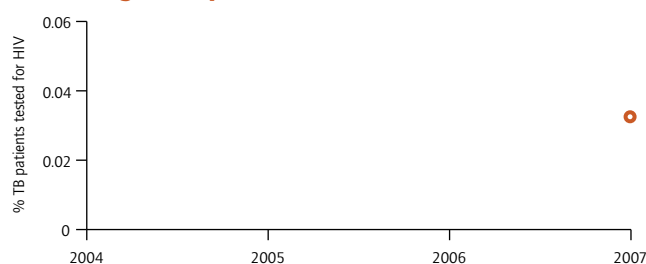
TB patients for whom the HIV test result was known	46
as % of all notified TB patients	0.03
TB patients with positive HIV test	0
as % of all estimated HIV+ TB cases	—
HIV+ TB patients started or continued on CPT	—
as % of HIV+ TB patients notified	—
HIV+ TB patients started or continued on ART	—
as % of HIV+ TB patients notified	—

Screening for TB in HIV-positive patients, 2007

HIV+ patients in HIV care or ART register	3 150
Screened for TB	—
as % of HIV+ patients in HIV care or ART register	—
Started on TB treatment	—
as % of HIV+ patients in HIV care or ART register	—
Started on IPT	—
as % of HIV+ patients without TB in HIV care or ART register	—

High-risk groups, 2007

Number of close contacts of ss+ TB patients screened	—
Number of TB cases identified among contacts	—
% of contacts with TB	—
Contacts started on IPT	—
% of contacts without TB on IPT	—

HIV testing for TB patients**CPT and ART for HIV-positive TB patients**

Data not reported

CONTRIBUTING TO HEALTH SYSTEM STRENGTHENING

The NTP has been actively engaged in improving primary health care and community outreach for better delivery of integrated TB services, including laboratory services and delivery of treatment. Successful engagement of the private sector is being scaled up nationwide, partially through the social insurance system.

Practical Approach to Lung Health (PAL), 2007

Number of health-care facilities providing PAL services	—	As % of total number of health-care facilities	—
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ENGAGING ALL CARE PROVIDERS**Public-public and public-private approaches (PPM), 2007**

	Number collaborating (total number of providers)	% total notified TB	
		Diagnosed	Treated
Public sector	4 (—)	—	—
Private sector	5 237 (10 000)	8.6	—

International Standards for Tuberculosis Care (ISTC)

ISTC endorsed by professional organizations?	No
ISTC included in medical curriculum?	No

EMPOWERING PEOPLE WITH TB, AND COMMUNITIES**Advocacy, communication and social mobilization (ACSM)**

An ACSM review mission was followed by the development of a national ACSM strategy. A KAP survey conducted in 2007 included a mapping exercise which was used to identify the ACSM activities in which different partners were involved. An ACSM Working Group comprising the government, NGOs and private partners has been formed to facilitate coordination in implementing strategic activities. There are 270 patient-centered organizations or networks involved in activities to advocate TB control and implement DOTS.

Community participation in TB care and Patients' Charter

In rural health facilities where NGO support is not available, community health workers assist staff in 95% of public health facilities, visiting the homes of patients who are supported mostly by family members to ensure adequate treatment progress. Community-based support for the continuation phase of MDR-TB treatment has been available since 2006 through a joint effort between public and private services and the community. No data on use of the Patients' Charter were reported in 2008.

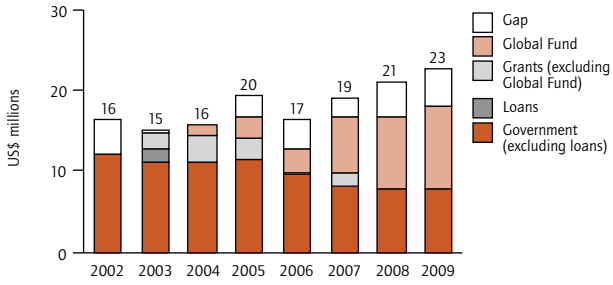
ENABLING AND PROMOTING RESEARCH**Programme-based operational research, 2007**

Operational research budget (% of NTP budget)	4.4%
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FINANCING

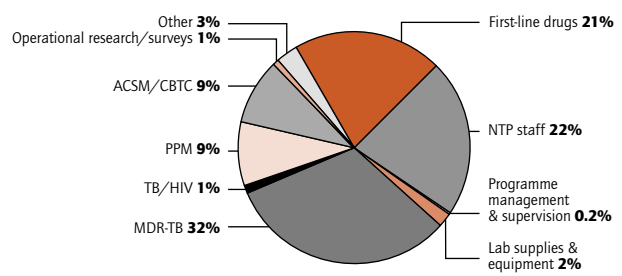
a. NTP budget by source of funding

Increased funding from the Global Fund; funding gaps remain but likely to be partially filled by the government in 2009



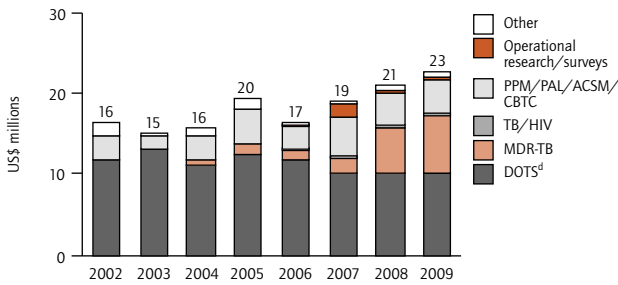
b. NTP budget line items in 2009

Largest component of budget is DOTS (45%) but share for MDR-TB (32%) is also large, especially compared with other HBCs



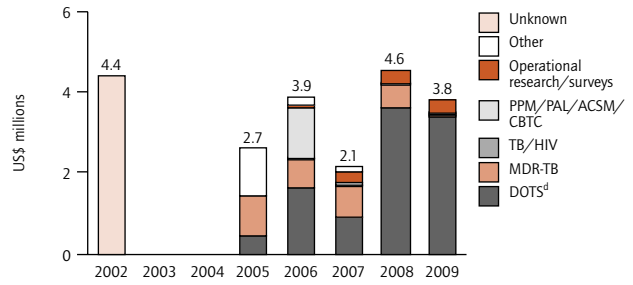
c. NTP budget by line item

Major increase in budget for management of patients with MDR-TB, with plan to treat 1000 patients in 2009



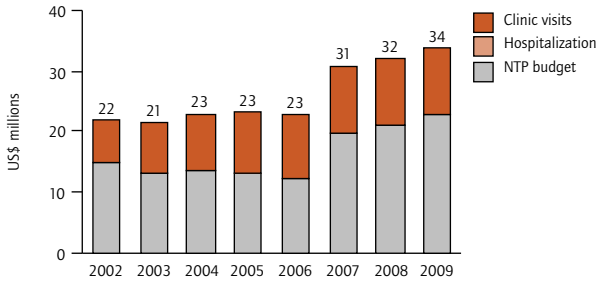
d. NTP funding gap by line item

Funding gap within DOTS mainly for dedicated NTP staff; operational research underfunded since 2007



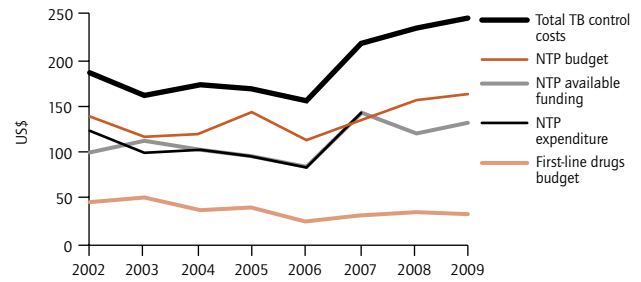
e. Total TB control costs by line item¹

Cost of clinic visits during treatment based on 120 visits per new ss+ patient and 24 visits per new ss-/extrapulmonary patient during treatment



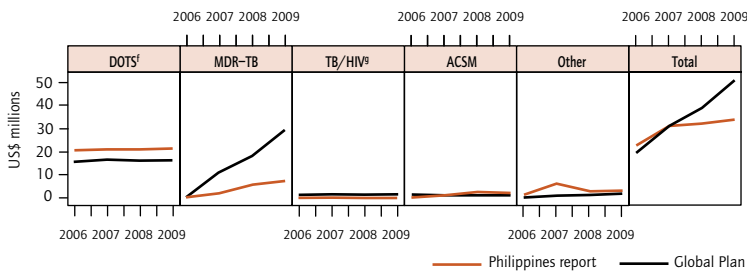
f. Per patient costs, budgets and expenditures²

Increased cost, budget and expenditure per patient since 2006, reflecting strengthening of TB control including expansion of MDR-TB treatment



g. Global Plan compared with country reports³

Country assessment of funding requirements in line with or higher than Global Plan, except for MDR-TB; despite expansion of MDR-TB treatment, numbers treated are below the targets of the Global MDR/XDR-TB Response Plan



h. NTP budget and funding gap by Stop TB Strategy component (US\$ millions)

Component	2009 BUDGET	GAP
DOTS expansion and enhancement	10	3.4
TB/HIV, MDR-TB and other challenges	7.3	0.04
Health system strengthening	0	0
Engage all care providers	2.1	0.01
People with TB, and communities	2.1	0.02
Research and surveys	0.3	0.3
Other	0.6	0

SOURCES, METHODS AND ABBREVIATIONS

^{a-g} Please see footnotes page 169.

¹ Total TB control costs for 2002-2007 are based on expenditure, whereas those for 2008-2009 are based on budgets. Estimates of the costs of clinic visits and hospitalization are WHO estimates based on data provided by the NTP and from other sources. See Methods for further details.

² NTP available funding for 2004-2007 is based on the amount of funding actually received, using retrospective data; available funding for 2002-2003 and 2008-2009 is based on prospectively reported budget data, and estimated as the total budget minus any reported funding gap.

- indicates not available or not applicable; pop, population; ss+, sputum smear-positive; ss-, sputum smear-negative pulmonary; unk, pulmonary - sputum smear not done or result unknown.

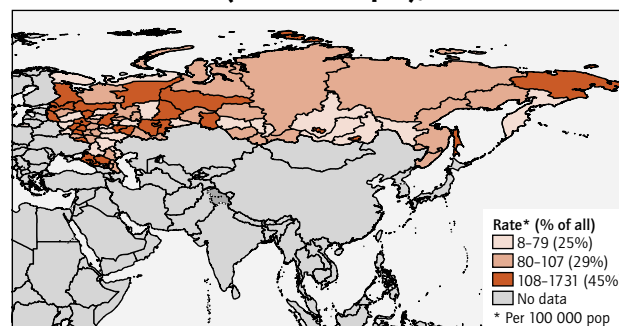
Russian Federation

The revised national TB control strategy has been expanded to all regions and a considerable number of penitentiary TB services, with particular attention to improving diagnosis and treatment of MDR-TB. Four regions are implementing MDR-TB projects approved by the GLC; an additional 19 regions have either submitted applications to the GLC or are preparing applications. A federal centre for monitoring TB control has been established to improve the quality of surveillance as well as to conduct operational research and provide technical support to regions. TB projects financed through a World Bank loan have received upgraded laboratory equipment and an improved supply of consumables. The first phase of a Global Fund grant has been successfully implemented, and continued funding has been approved. Major challenges include high rates of MDR-TB among new and previously treated cases combined with an inadequate supply of second-line drugs, poor infection control in TB units and laboratories, and a shortage of appropriately qualified staff. The treatment success rate remains low at 58%, while the case detection rate for new smear-positive cases is 49%.

SURVEILLANCE AND EPIDEMIOLOGY

Population (thousands) ^a	142 499	
Estimates of epidemiological burden, 2007^b	ALL	IN HIV+ PEOPLE
Incidence		
All forms of TB (thousands of new cases per year)	157	26
All forms of TB (new cases per 100 000 pop/year)	110	18
Rate of change in incidence rate (%), 2006-2007	2.1	3.4
New ss+ cases (thousands of new cases per year)	68	9.0
New ss+ cases (per 100 000 pop/year)	48	6.3
HIV+ incident TB cases (% of all TB cases)	16	—
Prevalence		
All forms of TB (thousands of cases)	164	13
All forms of TB (cases per 100 000 pop)	115	9.0
2015 target for prevalence (cases per 100 000 pop)	34	—
Mortality		
All forms of TB (thousands of deaths per year)	25	5.1
All forms of TB (deaths per 100 000 pop/year)	18	3.6
2015 target for mortality (deaths per 100 000 pop/year)	3.7	—
Multidrug-resistant TB (MDR-TB)		
MDR-TB among all new TB cases (%)	13	—
MDR-TB among previously treated TB cases (%)	49	—

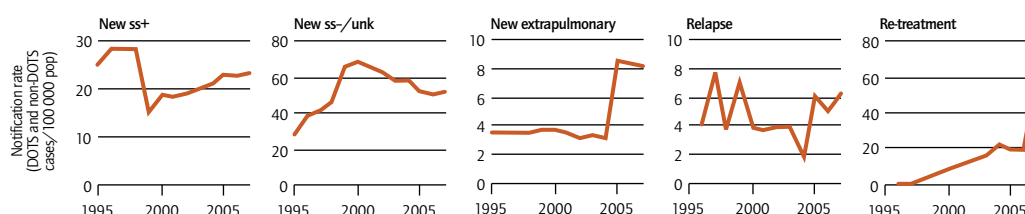
TB notification rate (new and relapse), 2007



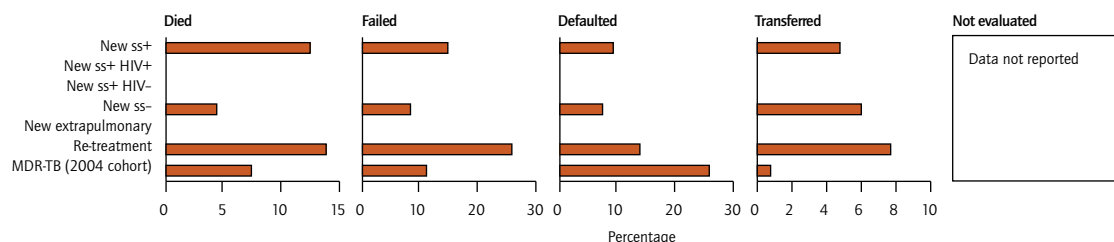
Total notifications, 2007

Notified new and relapse cases (thousands)	127
Notified new and relapse cases (per 100 000 pop/year)	89
Notified new ss+ cases (thousands)	33
Notified new ss+ cases (per 100 000 pop/year)	23
as % of new pulmonary cases	31
sex ratio (male/female)	2.9
DOTS case detection rate (% of estimated new ss+)	49
Notified new extrapulmonary cases (thousands)	12
as % of notified new cases	9.9
Notified new ss+ cases in children (<15 years) (thousands)	0.1
as % of notified new ss+ cases	0.2

Case notifications



Unfavourable treatment outcomes, 2006 cohorts



	2000	2001	2002	2003	2004	2005	2006	2007
DOTS coverage (%)	12	16	25	25	45	83	84	100
Notification rate (new & relapse cases/100 000 pop)	95	90	88	85	84	89	87	89
% notified new & relapse cases reported under DOTS	8.3	11	14	14	25	54	76	100
Notification rate (new ss+ cases/100 000 pop)	19	18	19	20	21	23	23	23
% notified new ss+ cases reported under DOTS	13	15	19	22	32	70	93	100
Case detection rate (all new cases, %)	81	78	78	78	78	78	76	75
Case detection rate (new ss+ cases, %)	37	37	40	43	47	49	48	49
Treatment success (new ss+ patients, %)	68	67	67	61	60	58	58	—
Re-treatment success (ss+ patients, %)	49	48	46	45	39	37	38	—

Note: notification, case detection and treatment success rates are for the whole country (i.e. DOTS and non-DOTS cases combined).

DOTS EXPANSION AND ENHANCEMENT

Overview of services for diagnosis of TB and treatment of patients

Description of basic management unit	Central city hospital
Number of units (DOTS/total), 2007	354/354
Location of NTP services	
Rural	Dispensary
Urban	Dispensary
NTP services part of general primary health-care network?	No
Location where TB diagnosed	
Rural	Central rayon hospital
Urban	Central city hospital
Diagnosis free of charge?	Yes (all suspects)
Treatment supervised?	Yes
Intensive phase	Health-care worker, community member
Continuation phase	Health-care worker, community member
Category I regimen	2HRZE/4HR5
Treatment free of charge	All patients in all units
External review missions	last: 2006 next: –

Political commitment

National strategic plan?	Yes (2007–2011)
Mechanism for national interagency coordination?	Yes (established 2002)
National Stop TB Partnership?	No (planned –)

Financial indicators, 2009

(see final page for detailed presentation)	%
Government contribution to NTP budget (incl loans)	81
Government contribution to total cost TB control (incl loans)	82
Government health spending used for TB control	5.2
NTP budget funded	82

Per capita health financial indicators, 2009

	US\$
NTP budget per capita	8.9
Total costs for TB control per capita	9.0
Funding gap per capita	1.6
Government health expenditure per capita (2005)	171
Total health expenditure per capita (2005)	277

Quality-assured bacteriology

National reference laboratory?	Yes
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All TB laboratories performing EQA of smear microscopy or DST under the supervision of the National Reference Laboratory

	Smear				Culture		DST			
	Number	per 100 000	EQA	% adeq perf	Number	per 5 000 000	Number	per 10 000 000	EQA	% adeq perf
2007	4 048	2.8	–	–	965	34	280	20	–	–
2008	4 048	2.9	–	–	965	34	280	20	–	–

Note: for routine diagnosis, there should be at least one laboratory providing smear microscopy per 100 000 population. To provide culture for diagnosis of paediatric, extra-pulmonary and ss-/HIV+ TB, as well as DST of re-treatment and failure cases, most countries will need one culture facility per 5 million population and one DST facility per 10 million population. EQA column shows number of laboratories for which EQA was done. Adeq perf; adequate performance for microscopy based on results of EQA.

System for managing drug supplies and laboratory equipment

	Central level			Peripheral level		
	2005	2006	2007	2005	2006	2007
Stock-outs of laboratory supplies?	–	No	No	–	No	No
Stock-outs of first-line anti-TB drugs?	No	No	–	No	No	–

Monitoring and evaluation system, and impact measurement

NTP publishes annual report?	Yes (since 1991)	Burden and impact assessment	last	next
% of BMUs reporting to next level in 2007		In-depth analysis of routine surveillance data	Yes	2007
Case-finding	100%	Prevalence of disease survey	Yes, national	2007
Treatment outcomes	66%	Prevalence of infection survey	Yes, national	2007
		Drug resistance survey	Yes, sub-national	2002–2006
		Mortality survey	Yes	2007
		Analysis of vital registration data	Yes	2007
				2008

MDR-TB, TB/HIV AND OTHER CHALLENGES

	2005	2006	2007
	Number (% of estimated ss+ MDR-TB)		
Estimated incidence of ss+ MDR cases	30 455	30 926	31 397
Diagnosed and notified	6 581 (22%)	3949 (13%)	5297 (17%)
Registered for treatment	451 (1.5%)	391 (1.3%)	211 (0.67%)
GLC	451	391	211
non-GLC	–	–	–

MDR-TB, TB/HIV AND OTHER CHALLENGES (continued)

Detection and treatment of HIV in TB patients, 2007

TB patients for whom the HIV test result was known	87 444
as % of all notified TB patients	41
TB patients with positive HIV test	2 401
as % of all estimated HIV+ TB cases	9.3
HIV+ TB patients started or continued on CPT	—
as % of HIV+ TB patients notified	—
HIV+ TB patients started or continued on ART	—
as % of HIV+ TB patients notified	—

Screening for TB in HIV-positive patients, 2007

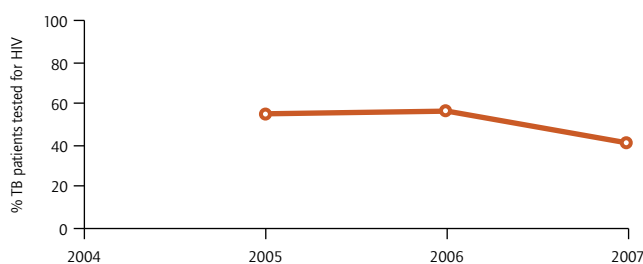
HIV+ patients in HIV care or ART register	267 513
Screened for TB	146 105
as % of HIV+ patients in HIV care or ART register	55
Started on TB treatment	5 985
as % of HIV+ patients in HIV care or ART register	2.2
Started on IPT	5 768
as % of HIV+ patients without TB in HIV care or ART register	2.2

High-risk groups, 2007

Number of close contacts of ss+ TB patients screened	—
Number of TB cases identified among contacts	—
% of contacts with TB	—
Contacts started on IPT	—
% of contacts without TB on IPT	—

HIV testing for TB patients

The percentage of TB patients tested for HIV was 41% in 2007, a slight decrease compared with 2005 and 2006



CPT and ART for HIV-positive TB patients



CONTRIBUTING TO HEALTH SYSTEM STRENGTHENING

The main health system-related challenges for TB control are lack of integration between disease-specific public health programmes and the primary health-care network, and inadequate linkages between the civilian and penitentiary health-care services. Integration of TB control into primary health-care services has started, and the links between TB control and the penitentiary system are improving.

Practical Approach to Lung Health (PAL), 2007

Number of health-care facilities providing PAL services	—	As % of total number of health-care facilities	—
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ENGAGING ALL CARE PROVIDERS

Public-public and public-private approaches (PPM), 2007

	Number collaborating (total number of providers)	% total notified TB	
		Diagnosed	Treated
Public sector	5 285 (5 285)	—	—
Private sector	— (—)	—	—

International Standards for Tuberculosis Care (ISTC)

ISTC endorsed by professional organizations?	Yes
By which organizations:	
Russian association of phtisiologists	
ISTC included in medical curriculum?	Yes

EMPOWERING PEOPLE WITH TB, AND COMMUNITIES

Advocacy, communication and social mobilization (ACSM)

There are 140 patient-centered organizations or networks involved in TB advocacy activities and DOTS implementation.

Community participation in TB care and Patients' Charter

The Russian Red Cross is involved in activities related to increased case-finding in the community in two districts. The Patients' Charter is not being used.

ENABLING AND PROMOTING RESEARCH

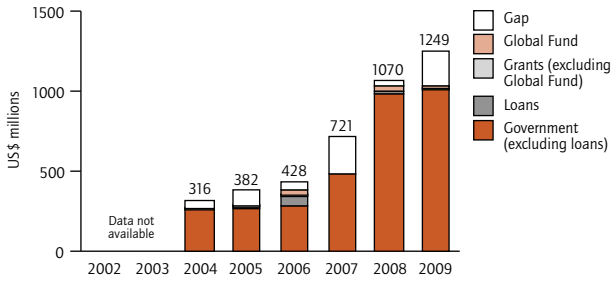
Programme-based operational research, 2007

Operational research budget (% of NTP budget)	0.7%
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FINANCING

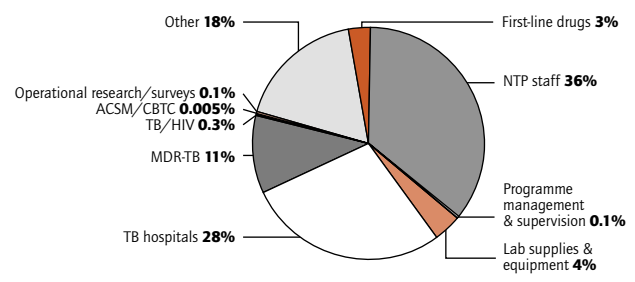
a. NTP budget by source of funding

Substantial increase in funding needs for 2008–2009, with most funding provided by the government; funding gap is just over US\$ 200 million in 2009



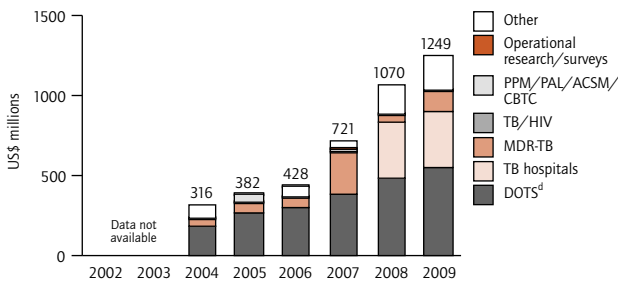
b. NTP budget line items in 2009

Largest budget component is for staff dedicated to TB control (including those working in TB hospitals), followed by TB hospitals (which includes all running costs besides staff)



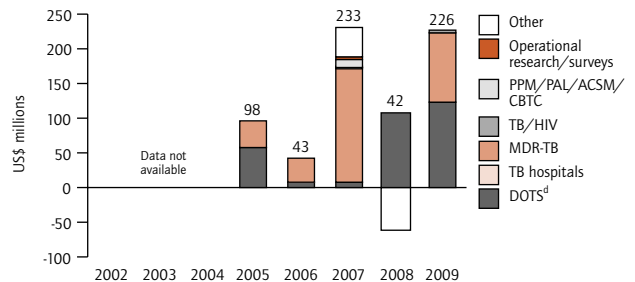
c. NTP budget by line item

Increased funding needs for 2008–2009 mostly reflects newly available data about the non-staff budget required for TB hospitals; MDR budget is for 4200 patients in 2008 and 9800 patients in 2009



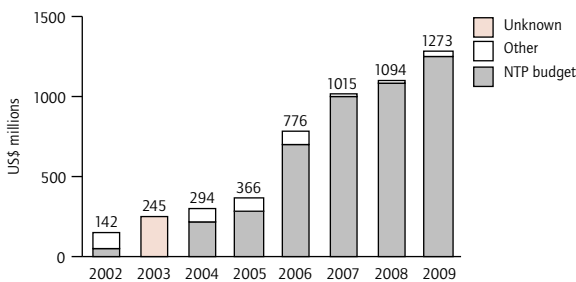
d. NTP funding gap by line item

Funding gap within DOTS is for dedicated staff and within MDR-TB the gap is for second-line drugs



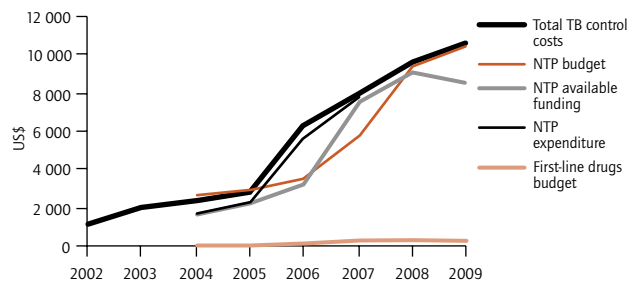
e. Total TB control costs by line item¹

Increasing total costs as more information about the costs associated with TB hospitals are included; "other" includes fluorography



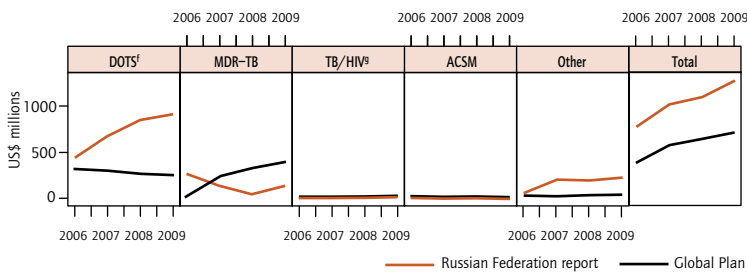
f. Per patient costs, budgets and expenditures²

Highest costs and budget per patient among all HBCs; total costs, budget and expenditure per patient are increasing



g. Global Plan compared with country reports³

Costs for TB control in country report much higher in total and for DOTS than costs estimated in Global Plan; costs for MDR-TB are lower, due to smaller numbers of patients to be treated compared with the targets of the Global MDR/XDR-TB Response Plan



h. NTP budget and funding gap by Stop TB Strategy component (US\$ millions)

Component	2009 BUDGET	GAP
DOTS expansion and enhancement	890	123
TB/HIV, MDR-TB and other challenges	137	104
Health system strengthening	0	0
Engage all care providers	0	0
People with TB, and communities	0.1	0
Research and surveys	1.8	0
Other	220	0

SOURCES, METHODS AND ABBREVIATIONS

a-g Please see footnotes page 169.

¹ Total TB control costs for 2002–2007 are based on expenditure, whereas those for 2008–2009 are based on budgets. Estimates of the costs of clinic visits and hospitalization are WHO estimates based on data provided by the NTP and from other sources. See Methods for further details.

² NTP available funding for 2004–2007 is based on the amount of funding actually received, using retrospective data; available funding for 2002–2003 and 2008–2009 is based on prospectively reported budget data, and estimated as the total budget minus any reported funding gap.

- indicates not available or not applicable; pop, population; ss+, sputum smear-positive; ss-, sputum smear-negative pulmonary; unk, pulmonary - sputum smear not done or result unknown.

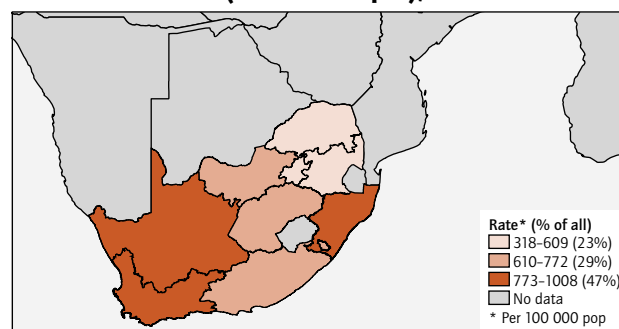
South Africa

The case detection rate has remained above target since 2003; however, treatment success rates have remained low, with high default and death rates. South Africa reports the highest number of confirmed MDR-TB and XDR-TB cases in the region. Collaborative TB/HIV activities are being scaled up across the country. In 2007, almost 40% of notified TB patients were tested for HIV, and 35% and 67% of HIV-positive TB patients were provided with ART and CPT respectively. New approaches to trace treatment defaulters are being tested in selected areas. Considerable efforts have been made to estimate the funding requirements for TB control, although decentralization of planning and budgeting to provinces makes this challenging. A comprehensive costing study aimed at improving the accuracy of current estimates of funding needs and funding gaps is planned for 2009.

SURVEILLANCE AND EPIDEMIOLOGY

Population (thousands) ^a	48 577	
Estimates of epidemiological burden, 2007^b	ALL	IN HIV+ PEOPLE
Incidence		
All forms of TB (thousands of new cases per year)	461	336
All forms of TB (new cases per 100 000 pop/year)	948	691
Rate of change in incidence rate (%), 2006–2007	0.9	0.9
New ss+ cases (thousands of new cases per year)	174	117
New ss+ cases (per 100 000 pop/year)	358	242
HIV+ incident TB cases (% of all TB cases)	73	–
Prevalence		
All forms of TB (thousands of cases)	336	168
All forms of TB (cases per 100 000 pop)	692	345
2015 target for prevalence (cases per 100 000 pop)	384	–
Mortality		
All forms of TB (thousands of deaths per year)	112	94
All forms of TB (deaths per 100 000 pop/year)	230	193
2015 target for mortality (deaths per 100 000 pop/year)	39	–
Multidrug-resistant TB (MDR-TB)		
MDR-TB among all new TB cases (%)	1.8	–
MDR-TB among previously treated TB cases (%)	6.7	–

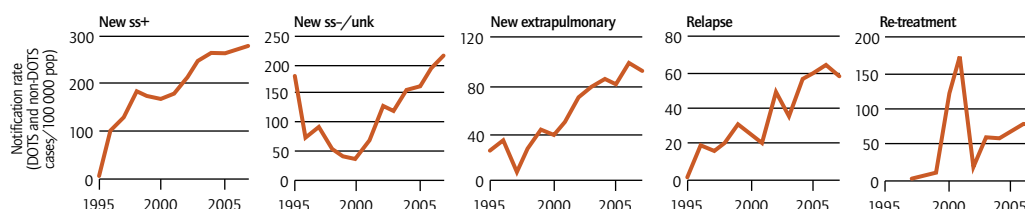
TB notification rate (new and relapse), 2007



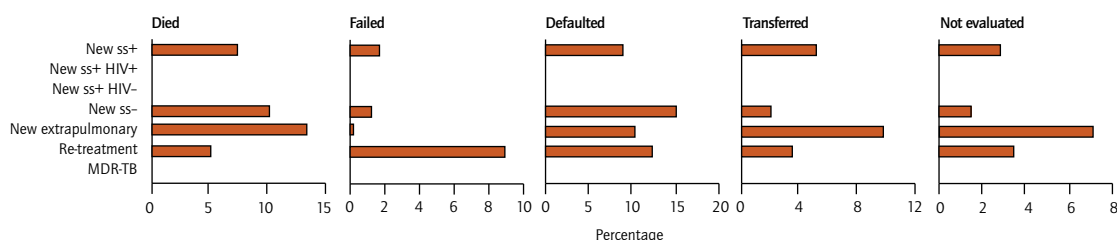
Total notifications, 2007

Notified new and relapse cases (thousands)	315
Notified new and relapse cases (per 100 000 pop/year)	649
Notified new ss+ cases (thousands)	136
Notified new ss+ cases (per 100 000 pop/year)	279
as % of new pulmonary cases	56
sex ratio (male/female)	1.2
DOTS case detection rate (% of estimated new ss+)	78
Notified new extrapulmonary cases (thousands)	46
as % of notified new cases	16
Notified new ss+ cases in children (<15 years) (thousands)	4.4
as % of notified new ss+ cases	3.3

Case notifications



Unfavourable treatment outcomes, 2006 cohorts



	2000	2001	2002	2003	2004	2005	2006	2007
DOTS coverage (%)	77	77	98	100	93	94	100	100
Notification rate (new & relapse cases/100 000 pop)	333	322	462	483	562	564	628	649
% notified new & relapse cases reported under DOTS	82	78	99	100	97	96	100	100
Notification rate (new ss+ cases/100 000 pop)	167	182	212	247	266	262	272	279
% notified new ss+ cases reported under DOTS	82	85	99	100	96	96	100	100
Case detection rate (all new cases, %)	43	44	53	53	56	55	60	62
Case detection rate (new ss+ cases, %)	76	70	72	77	78	75	77	78
Treatment success (new ss+ patients, %)	63	61	68	67	69	71	74	–
Re-treatment success (ss+ patients, %)	50	50	53	52	56	58	67	–

Note: notification, case detection and treatment success rates are for the whole country (i.e. DOTS and non-DOTS cases combined).

DOTS EXPANSION AND ENHANCEMENT

Overview of services for diagnosis of TB and treatment of patients

Description of basic management unit	Districts
Number of units (DOTS/total), 2007	53/53
Location of NTP services	
Rural	Primary health care clinic, district hospital
Urban	Primary health care clinic, district hospital
NTP services part of general primary health-care network?	Yes
Location where TB diagnosed	
Rural	Primary health care facility, district hospital
Urban	Primary health care facility, district hospital
Diagnosis free of charge?	Yes (all suspects)
Treatment supervised?	Some patients in all units
Intensive phase	Health-care worker, community member, family member
Continuation phase	Health-care worker, community member, family member
Category I regimen	2HRZE/4(HR)
Treatment free of charge	All patients in all units
External review missions	last: 2003 next: 2009

Political commitment

National strategic plan?	Yes (2007-2011)
Mechanism for national interagency coordination?	Yes (established 2004)
National Stop TB Partnership?	No (planned 2009)

Financial indicators, 2008

(see final page for detailed presentation)	%
Government contribution to NTP budget (incl loans)	–
Government contribution to total cost TB control (incl loans)	–
Government health spending used for TB control	–
NTP budget funded	–

Per capita health financial indicators, 2008

	US\$
NTP budget per capita	7.2
Total costs for TB control per capita	12
Funding gap per capita	–
Government health expenditure per capita (2005)	182
Total health expenditure per capita (2005)	437

Quality-assured bacteriology

National reference laboratory?	Yes
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All TB laboratories performing EQA of smear microscopy or DST under the supervision of the National Reference Laboratory

	Smear				Culture		DST			
	Number	per 100 000	EQA	% adeq perf	Number	per 5 000 000	Number	per 10 000 000	EQA	% adeq perf
2007	249	0.5	241	93%	15	1.5	10	2.1	10	100%
2008	249	0.5	249	–	18	1.8	10	2.0	10	–

Note: for routine diagnosis, there should be at least one laboratory providing smear microscopy per 100 000 population. To provide culture for diagnosis of paediatric, extra-pulmonary and ss-/HIV+ TB, as well as DST of re-treatment and failure cases, most countries will need one culture facility per 5 million population and one DST facility per 10 million population. EQA column shows number of laboratories for which EQA was done. Adeq perf; adequate performance for microscopy based on results of EQA.

System for managing drug supplies and laboratory equipment

	Central level			Peripheral level		
	2005	2006	2007	2005	2006	2007
Stock-outs of laboratory supplies?	–	No	No	–	No	No
Stock-outs of first-line anti-TB drugs?	No	No	Yes	No	All units	No

Monitoring and evaluation system, and impact measurement

NTP publishes annual report?	No	Burden and impact assessment		last	next
% of BMUs reporting to next level in 2007		In-depth analysis of routine surveillance data	Yes	–	2009
Case-finding	100%	Prevalence of disease survey	Yes, sub-national	–	2010
Treatment outcomes	100%	Prevalence of infection survey	No	–	–
		Drug resistance survey	Yes, national	2001-2002	2009
		Mortality survey	No	–	–
		Analysis of vital registration data	Yes	2007	2010

MDR-TB, TB/HIV AND OTHER CHALLENGES

	2005	2006	2007
	Number (% of estimated ss+ MDR-TB)		
Estimated incidence of ss+ MDR cases	10 312	10 553	10 708
Diagnosed and notified	2000 (19%)	6716 (64%)	7350 (69%)
Registered for treatment	(–%)	– (–%)	– (–%)
GLC	0	0	0
non-GLC	–	–	–

MDR-TB, TB/HIV AND OTHER CHALLENGES (continued)**Detection and treatment of HIV in TB patients, 2007**

TB patients for whom the HIV test result was known	136 247
as % of all notified TB patients	39
TB patients with positive HIV test	87 764
as % of all estimated HIV+ TB cases	26
HIV+ TB patients started or continued on CPT	58 801
as % of HIV+ TB patients notified	67
HIV+ TB patients started or continued on ART	31 040
as % of HIV+ TB patients notified	35

Screening for TB in HIV-positive patients, 2007

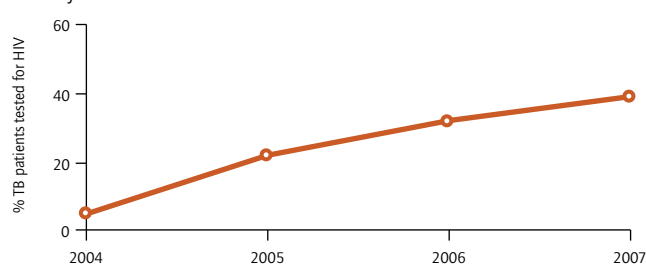
HIV+ patients in HIV care or ART register	379 672
Screened for TB	150 092
as % of HIV+ patients in HIV care or ART register	40
Started on TB treatment	15 521
as % of HIV+ patients in HIV care or ART register	4.1
Started on IPT	5 642
as % of HIV+ patients without TB in HIV care or ART register	1.5

High-risk groups, 2007

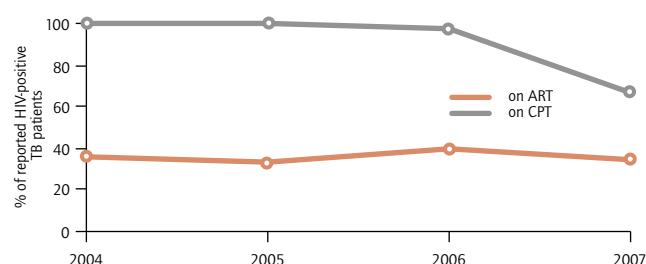
Number of close contacts of ss+ TB patients screened	–
Number of TB cases identified among contacts	–
% of contacts with TB	–
Contacts started on IPT	–
% of contacts without TB on IPT	–

HIV testing for TB patients

The proportion of TB patients tested for HIV continues to increase steadily

**CPT and ART for HIV-positive TB patients**

The proportion of HIV-positive TB patients receiving CPT fell considerably in 2007

**CONTRIBUTING TO HEALTH SYSTEM STRENGTHENING****Practical Approach to Lung Health (PAL), 2007**

Number of health-care facilities providing PAL services	759	As % of total number of health-care facilities	22
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ENGAGING ALL CARE PROVIDERS**Public-public and public-private approaches (PPM), 2007**

	Number collaborating (total number of providers)	% total notified TB	
		Diagnosed	Treated
Public sector	– (–)	–	–
Private sector	– (–)	–	–

International Standards for Tuberculosis Care (ISTC)

ISTC endorsed by professional organizations?	No
ISTC included in medical curriculum?	Yes

EMPOWERING PEOPLE WITH TB, AND COMMUNITIES**Advocacy, communication and social mobilization (ACSM)****Community participation in TB care and Patients' Charter**

By 2007, community-based care for MDR-TB patients had been introduced in selected districts in the provinces of KwaZulu-Natal and the Western Cape. Community-based care is included within national policy and guidelines, although implementation is variable. No data on use of the Patients' Charter were reported in 2008.

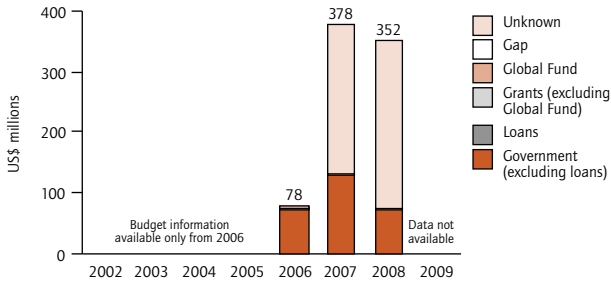
ENABLING AND PROMOTING RESEARCH**Programme-based operational research, 2007**

Operational research budget (% of NTP budget)	0.1%
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FINANCING

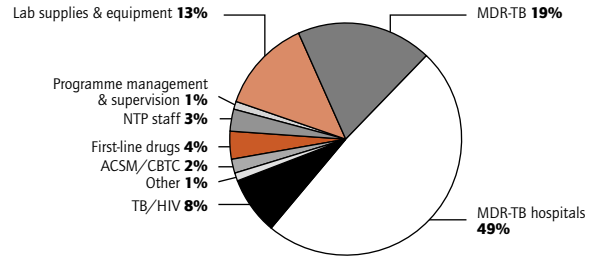
a. NTP budget by source of funding

Substantial increase in funding needs for 2007-2008; without complete information from provinces, sources of funding for a large part of the budget (mostly for MDR-TB) are unknown



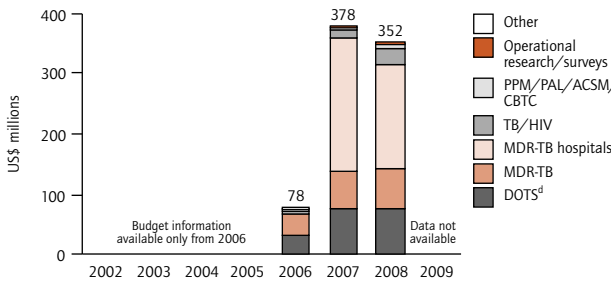
b. NTP budget line items in 2008

Share of budget for MDR-TB highest among HBCs



c. NTP budget by line item

Increased budget is mainly for MDR-TB, a large part of which is for the new hospital bed capacity required for MDR/XDR-TB patients

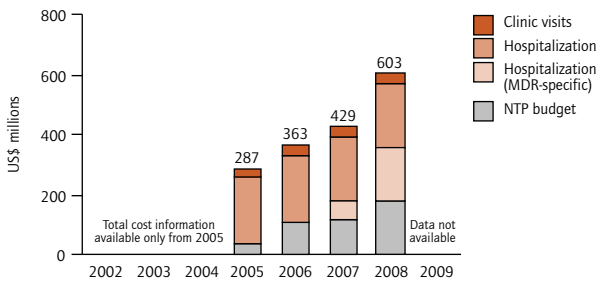


d. NTP funding gap by line item

Data on the funding available for TB control in South Africa are currently incomplete due to difficulties in compiling information about funding allocations at provincial level. From discussions among WHO, the NTP and staff in the national treasury, it seems likely that funding gaps do exist, especially for MDR/XDR-TB. The NTP is planning to conduct a comprehensive assessment of funding needs and funding gaps in 2009.

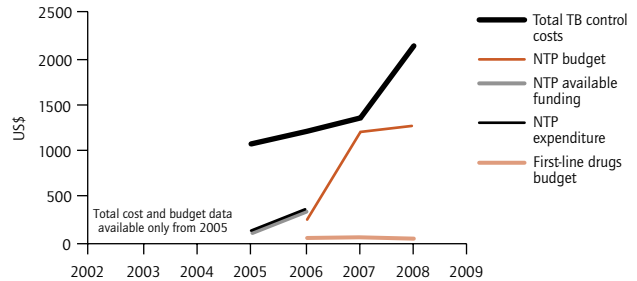
e. Total TB control costs by line item¹

Estimated cost of hospitalization is based on 8112 dedicated TB beds for new TB patients; cost for hospitalization (MDR-specific) covers new bed capacity required to hospitalize patients for 6 months, and is mostly unfunded



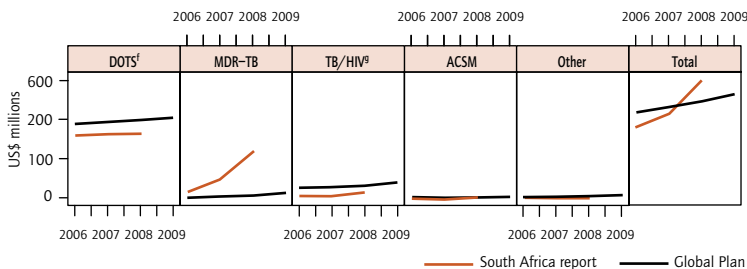
f. Per patient costs, budgets and expenditures²

Total cost, budget and expenditures per patient are increasing



g. Global Plan compared to country reports^e

Country assessment of funding required for DOTS and MDR-TB is higher than the estimates in the Global Plan; for MDR-TB, this reflects current national policy that MDR/XDR-TB patients should be hospitalized for at least 6 months, and higher projections of patients to be treated



h. NTP budget and funding gap by Stop TB Strategy component (US\$ millions)

Component	2009 BUDGET	GAP
DOTS expansion and enhancement		
TB/HIV, MDR-TB and other challenges		
Health system strengthening		DATA NOT AVAILABLE
Engage all care providers		DATA NOT AVAILABLE
People with TB, and communities		DATA NOT AVAILABLE
Research and surveys		DATA NOT AVAILABLE
Other		DATA NOT AVAILABLE

SOURCES, METHODS AND ABBREVIATIONS

^{a-g} Please see footnotes page 169.

¹ Total TB control costs for 2005-2007 are based on expenditure, whereas those for 2008 are based on budgets. Estimates of the costs of clinic visits and hospitalization are WHO estimates based on data provided by the NTP and from other sources. See Methods for further details.

² NTP available funding for 2005-2006 is based on the amount of funding actually received, using retrospective data.

- indicates not available or not applicable; pop, population; ss+, sputum smear-positive; ss-, sputum smear-negative pulmonary; unk, pulmonary - sputum smear not done or result unknown.

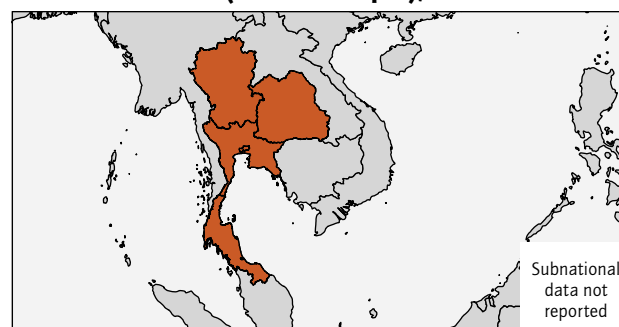
Thailand

The case detection rate reached 72% in 2007, and the treatment success rate improved to 77% in 2006. Reasons why the treatment success rate is below the global target of 85% include high default and mortality rates, and incomplete reporting from care providers in Bangkok. Integrated TB/HIV services are widely available; in 2007, almost 70% of notified TB cases were screened for HIV, and 32% and 67% of HIV-positive TB patients were treated with ART and CPT, respectively. The latest survey of drug resistance found that 1.7% of new cases and 34.5% of previously treated cases have MDR-TB. Most patients with MDR-TB are managed by public and private providers that are not linked to the NTP. The NRL is a designated supranational laboratory for the region. However, quality assurance of the extensive laboratory network remains a challenge. In the context of recent health sector reforms, the TB cluster in Bangkok is responsible for technical guidance and surveillance. In 2008, a comprehensive analysis of the funding required for TB control indicated that around US\$ 50 million per year is needed.

SURVEILLANCE AND EPIDEMIOLOGY

Population (thousands) ^a	63 884	
Estimates of epidemiological burden, 2007^b	ALL	IN HIV+ PEOPLE
Incidence		
All forms of TB (thousands of new cases per year)	91	15
All forms of TB (new cases per 100 000 pop/year)	142	24
Rate of change in incidence rate (%), 2006-2007	0	0.002
New ss+ cases (thousands of new cases per year)	39	5.4
New ss+ cases (per 100 000 pop/year)	62	8.5
HIV+ incident TB cases (% of all TB cases)	17	—
Prevalence		
All forms of TB (thousands of cases)	123	7.7
All forms of TB (cases per 100 000 pop)	192	12
2015 target for prevalence (cases per 100 000 pop)	168	—
Mortality		
All forms of TB (thousands of deaths per year)	14	3.9
All forms of TB (deaths per 100 000 pop/year)	21	6.0
2015 target for mortality (deaths per 100 000 pop/year)	15	—
Multidrug-resistant TB (MDR-TB)		
MDR-TB among all new TB cases (%)	1.7	—
MDR-TB among previously treated TB cases (%)	35	—

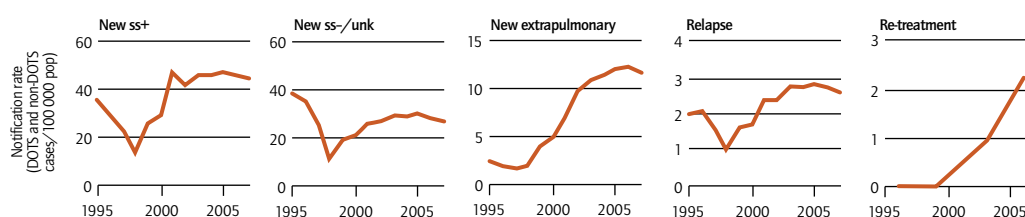
TB notification rate (new and relapse), 2007



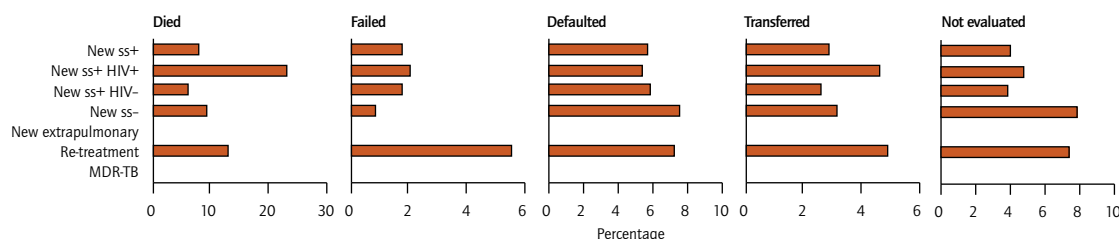
Total notifications, 2007

Notified new and relapse cases (thousands)	55
Notified new and relapse cases (per 100 000 pop/year)	86
Notified new ss+ cases (thousands)	28
Notified new ss+ cases (per 100 000 pop/year)	45
as % of new pulmonary cases	62
sex ratio (male/female)	2.4
DOTS case detection rate (% of estimated new ss+)	72
Notified new extrapulmonary cases (thousands)	7.5
as % of notified new cases	14
Notified new ss+ cases in children (<15 years) (thousands)	0.1
as % of notified new ss+ cases	0.3

Case notifications



Unfavourable treatment outcomes, 2006 cohorts



	2000	2001	2002	2003	2004	2005	2006	2007
DOTS coverage (%)	70	82	100	100	100	100	100	100
Notification rate (new & relapse cases/100 000 pop)	56	81	80	88	88	92	89	86
% notified new & relapse cases reported under DOTS	100	100	100	100	100	100	100	100
Notification rate (new ss+ cases/100 000 pop)	29	46	41	46	45	47	46	45
% notified new ss+ cases reported under DOTS	100	100	100	100	100	100	100	100
Case detection rate (all new cases, %)	38	55	55	60	60	63	60	58
Case detection rate (new ss+ cases, %)	48	76	68	74	74	77	74	72
Treatment success (new ss+ patients, %)	69	75	74	73	74	75	77	—
Re-treatment success (ss+ patients, %)	—	49	62	62	56	74	62	—

Note: notification, case detection and treatment success rates are for the whole country (i.e. DOTS and non-DOTS cases combined).

DOTS EXPANSION AND ENHANCEMENT

Overview of services for diagnosis of TB and treatment of patients

Description of basic management unit	Provincial hospitals
Number of units (DOTS/total), 2007	847/847
Location of NTP services	
Rural	Community Hospital
Urban	General and regional hospital or BMA health centre
NTP services part of general primary health-care network?	Yes
Location where TB diagnosed	
Rural	District hospitals
Urban	Provincial hospitals
Diagnosis free of charge?	Yes (all suspects)
Treatment supervised?	Some patients in some units
Intensive phase	Health-care worker, community member, family member
Continuation phase	Health-care worker, community member, family member
Category I regimen	2HRZE/4HR
Treatment free of charge	All patients in all units
External review missions	last: 2007 next: 2009

Political commitment

National strategic plan?	Yes (2006–2015)
Mechanism for national interagency coordination?	No (planned 2010)
National Stop TB Partnership?	No (planned 2010)

Financial indicators, 2009

(see final page for detailed presentation)	%
Government contribution to NTP budget (incl loans)	92
Government contribution to total cost TB control (incl loans)	92
Government health spending used for TB control	1.3
NTP budget funded	94

Per capita health financial indicators, 2009

	US\$
NTP budget per capita	0.8
Total costs for TB control per capita	0.8
Funding gap per capita	0.05
Government health expenditure per capita (2005)	63
Total health expenditure per capita (2005)	98

Quality-assured bacteriology

National reference laboratory?	Yes
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All TB laboratories performing EQA of smear microscopy or DST under the supervision of the National Reference Laboratory

	Smear				Culture		DST			
	Number	per 100 000	EQA	% adeq perf	Number	per 5 000 000	Number	per 10 000 000	EQA	% adeq perf
2007	1 023	1.6	1 023	–	65	5.1	14	2.2	14	–
2008	1 023	1.6	1 023	–	65	5.1	14	2.2	14	–

Note: for routine diagnosis, there should be at least one laboratory providing smear microscopy per 100 000 population. To provide culture for diagnosis of paediatric, extra-pulmonary and ss-/HIV+ TB, as well as DST of re-treatment and failure cases, most countries will need one culture facility per 5 million population and one DST facility per 10 million population. EQA column shows number of laboratories for which EQA was done. Adeq perf; adequate performance for microscopy based on results of EQA.

System for managing drug supplies and laboratory equipment

	Central level			Peripheral level		
	2005	2006	2007	2005	2006	2007
Stock-outs of laboratory supplies?	–	No	No	–	No	No
Stock-outs of first-line anti-TB drugs?	No	No	No	Yes	No	No

Monitoring and evaluation system, and impact measurement

NTP publishes annual report?	Yes (since 2007)	Burden and impact assessment		last	next
% of BMUs reporting to next level in 2007		In-depth analysis of routine surveillance data	Yes	2007	2008
Case-finding	89%	Prevalence of disease survey	Yes	2006	2012
Treatment outcomes	89%	Prevalence of infection survey	No	–	–
		Drug resistance survey	Yes, national	2006	–
		Mortality survey	No	–	–
		Analysis of vital registration data	No	–	–

MDR-TB, TB/HIV AND OTHER CHALLENGES

	2005	2006	2007
	Number (% of estimated ss+ MDR-TB)		
Estimated incidence of ss+ MDR cases	1 896	1 910	1 923
Diagnosed and notified	– (–%)	– (–%)	– (–%)
Registered for treatment	– (–%)	– (–%)	– (–%)
GLC	0	0	0
non-GLC	–	–	–

MDR-TB, TB/HIV AND OTHER CHALLENGES (continued)**Detection and treatment of HIV in TB patients, 2007**

TB patients for whom the HIV test result was known	37 744
as % of all notified TB patients	69
TB patients with positive HIV test	7 615
as % of all estimated HIV+ TB cases	49
HIV+ TB patients started or continued on CPT	5 080
as % of HIV+ TB patients notified	67
HIV+ TB patients started or continued on ART	2 456
as % of HIV+ TB patients notified	32

Screening for TB in HIV-positive patients, 2007

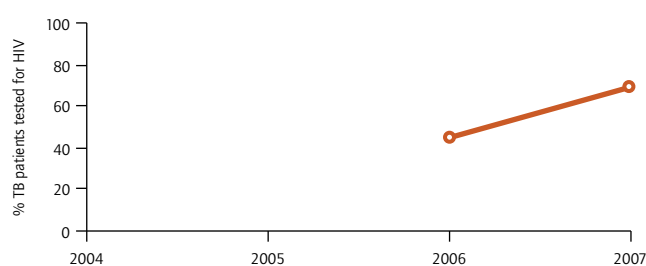
HIV+ patients in HIV care or ART register	–
Screened for TB	23 593
as % of HIV+ patients in HIV care or ART register	–
Started on TB treatment	2 747
as % of HIV+ patients in HIV care or ART register	–
Started on IPT	–
as % of HIV+ patients without TB in HIV care or ART register	–

High-risk groups, 2007

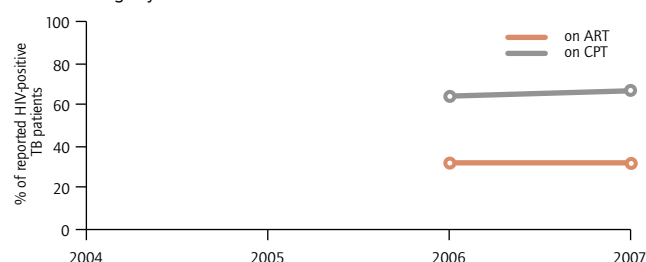
Number of close contacts of ss+ TB patients screened	–
Number of TB cases identified among contacts	–
% of contacts with TB	–
Contacts started on IPT	–
% of contacts without TB on IPT	–

HIV testing for TB patients

The proportion of TB patients screened for HIV increased substantially between 2006 and 2007

**CPT and ART for HIV-positive TB patients**

The proportion of HIV-positive TB patients receiving ART in 2007 was the same as in 2006; the proportion of patients receiving CPT has increased slightly

**CONTRIBUTING TO HEALTH SYSTEM STRENGTHENING**

Extensive reform of the health sector, including decentralization and the establishment of a national health insurance scheme, has generated challenges for TB control. Notable examples include their effect on managerial capacity, human resources, and monitoring and evaluation. Reform has also presented opportunities in the form of better coverage of basic health-care services and reduced bureaucracy. The NTP has repositioned itself by shifting its focus from service delivery to technical assistance, and is working towards strengthened and integrated management and surveillance.

Practical Approach to Lung Health (PAL), 2007

Number of health-care facilities providing PAL services	0	As % of total number of health-care facilities	0
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ENGAGING ALL CARE PROVIDERS**Public-public and public-private approaches (PPM), 2007**

	Number collaborating (total number of providers)	% total notified TB	
		Diagnosed	Treated
Public sector	985 (985)	100	100
Private sector	78 (354)	–	–

International Standards for Tuberculosis Care (ISTC)

ISTC endorsed by professional organizations?	No
ISTC included in medical curriculum?	No

EMPOWERING PEOPLE WITH TB, AND COMMUNITIES**Advocacy, communication and social mobilization (ACSM)****Community participation in TB care and Patients' Charter**

Activities to involve communities in TB control are mostly restricted to migrant populations. There are plans to scale-up community-based activities throughout the country. No data on use of the Patients' Charter were reported in 2008.

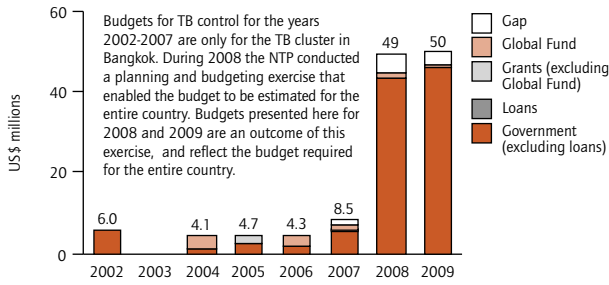
ENABLING AND PROMOTING RESEARCH**Programme-based operational research, 2007**

Operational research budget (% of NTP budget)	0%
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FINANCING

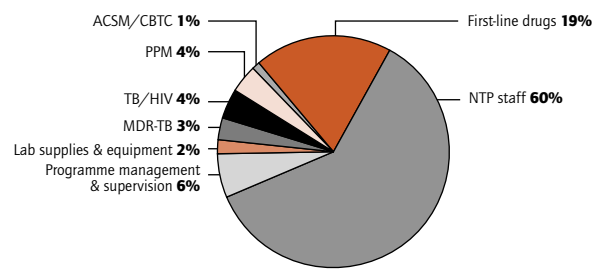
a. NTP budget by source of funding

National budget for TB control is mainly financed by the Government; funding gap expected to be closed with Global Fund round 8



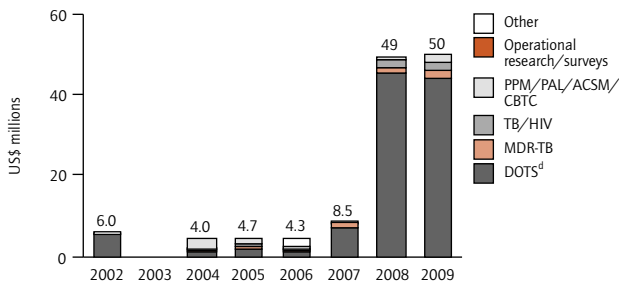
b. NTP budget line items in 2009

Largest share of the budget is for staff, first-line drugs and programme management and supervision



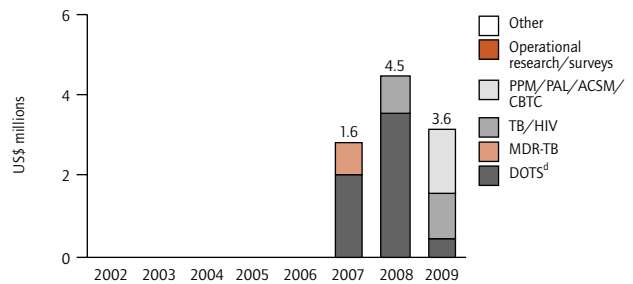
c. NTP budget by line item

Within DOTS, the largest budget is for NTP staff; budget for PPM increased in 2009



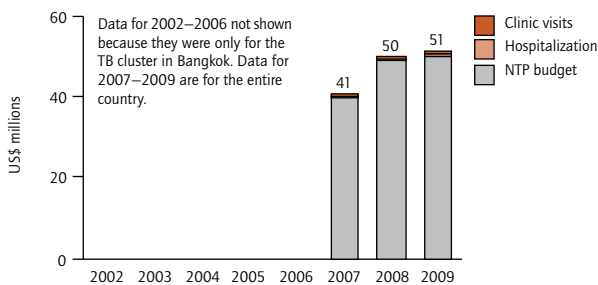
d. NTP funding gap by line item

Funding gap within DOTS is mainly for dedicated NTP staff; almost 80% of budget for PPM is unfunded



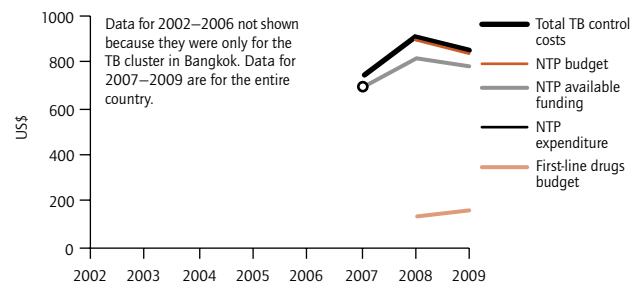
e. Total TB control costs by line item¹

Costs for hospitalization and clinic visits represent a very small share of total costs, with hospitalization of 5% of new TB patients for an average of 5 days, and 8 clinic visits for new cases during treatment



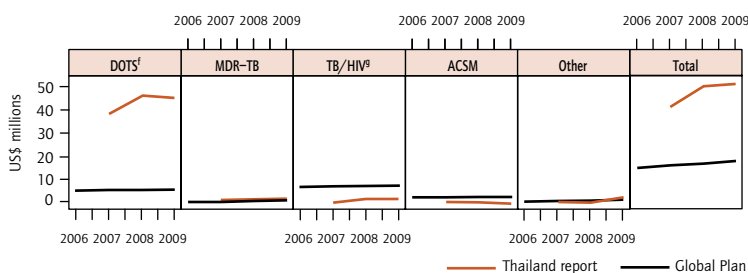
f. Per patient costs, budgets and expenditures²

NTP budget per patient is high compared with other HBCs in South-East Asia Region, as expected given Thailand's middle-income status; budget per patient for first-line drugs specifically highest among HBCs



g. Global Plan compared with country reports³

Country assessment of funding required for TB control far higher than Global Plan estimate, mainly due to higher budget for first-line drugs and NTP staff



h. NTP budget and funding gap by Stop TB Strategy component (US\$ millions)

Component	2009 BUDGET	GAP
DOTS expansion and enhancement	44	0.4
TB/HIV, MDR-TB and other challenges	3.6	1.1
Health system strengthening	0	0
Engage all care providers	2.0	1.6
People with TB, and communities	0.4	0
Research and surveys	0	0
Other	0	0

SOURCES, METHODS AND ABBREVIATIONS

^{a-g} Please see footnotes page 169.

¹ Total TB control costs for 2007 are based on expenditure, whereas those for 2008–2009 are based on budgets. Estimates of the costs of clinic visits and hospitalization are WHO estimates based on data provided by the NTP and from other sources. See Methods for further details.

² NTP available funding for 2007 is based on the amount of funding actually received, using retrospective data; available funding for 2008–2009 is based on prospectively reported budget data, and estimated as the total budget minus any reported funding gap.

- indicates not available or not applicable; pop, population; ss+, sputum smear-positive; ss-, sputum smear-negative pulmonary; unk, pulmonary - sputum smear not done or result unknown.

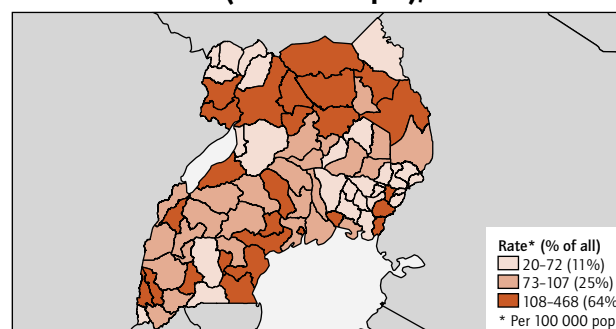
Uganda

DOTS is implemented throughout the country, but the case detection rate has been below target and relatively stable since 2001. The treatment success rate remains low because of the high proportion of patients who die, default from treatment or for whom the treatment outcome is not evaluated. Training on collaborative TB/HIV activities based on standardized national guidelines has been provided to around half of the districts. Inadequate funding, linked in part to problems with disbursement of Global Fund grants, has hampered the progress of the national programme. Shortages of first-line anti-TB drugs have also been reported. To improve current estimates of the epidemiological burden of TB, a survey of the prevalence of TB disease is planned for 2009; however, there is inadequate funding for this project.

SURVEILLANCE AND EPIDEMIOLOGY

Population (thousands) ^a	30 884	
Estimates of epidemiological burden, 2007^b	ALL	IN HIV+ PEOPLE
Incidence		
All forms of TB (thousands of new cases per year)	102	39
All forms of TB (new cases per 100 000 pop/year)	330	128
Rate of change in incidence rate (%), 2006-2007	-5.7	-8.6
New ss+ cases (thousands of new cases per year)	42	14
New ss+ cases (per 100 000 pop/year)	136	45
HIV+ incident TB cases (% of all TB cases)	39	—
Prevalence		
All forms of TB (thousands of cases)	132	20
All forms of TB (cases per 100 000 pop)	426	64
2015 target for prevalence (cases per 100 000 pop)	103	—
Mortality		
All forms of TB (thousands of deaths per year)	29	16
All forms of TB (deaths per 100 000 pop/year)	93	52
2015 target for mortality (deaths per 100 000 pop/year)	35	—
Multidrug-resistant TB (MDR-TB)		
MDR-TB among all new TB cases (%)	0.5	—
MDR-TB among previously treated TB cases (%)	4.4	—

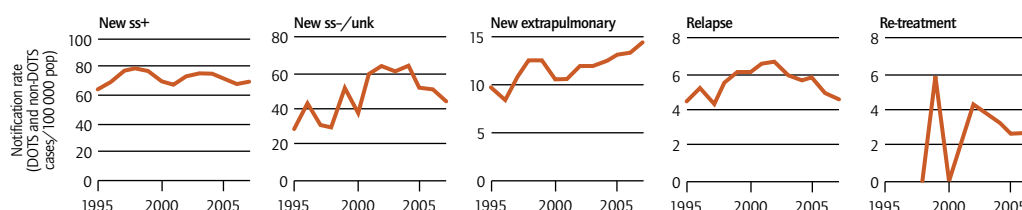
TB notification rate (new and relapse), 2007



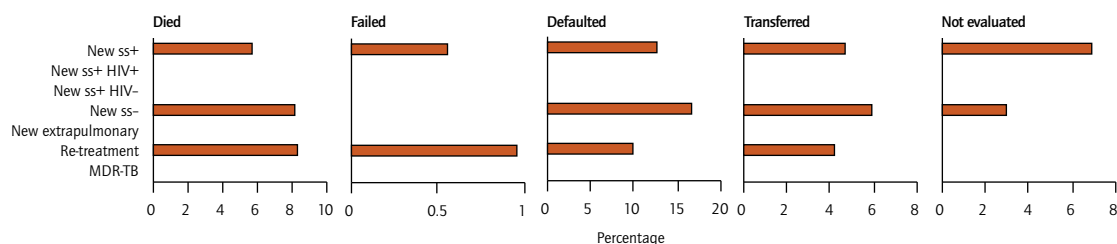
Total notifications, 2007

Notified new and relapse cases (thousands)	41
Notified new and relapse cases (per 100 000 pop/year)	132
Notified new ss+ cases (thousands)	21
Notified new ss+ cases (per 100 000 pop/year)	69
as % of new pulmonary cases	61
sex ratio (male/female)	1.5
DOTS case detection rate (% of estimated new ss+)	51
Notified new extrapulmonary cases (thousands)	4.5
as % of notified new cases	11
Notified new ss+ cases in children (<15 years) (thousands)	0.6
as % of notified new ss+ cases	2.7

Case notifications



Unfavourable treatment outcomes, 2006 cohorts



	2000	2001	2002	2003	2004	2005	2006	2007
DOTS coverage (%)	100	100	100	100	100	100	100	100
Notification rate (new & relapse cases/100 000 pop)	123	145	155	154	156	142	136	132
% notified new & relapse cases reported under DOTS	100	100	100	100	100	100	100	100
Notification rate (new ss+ cases/100 000 pop)	70	68	73	75	75	71	68	69
% notified new ss+ cases reported under DOTS	100	100	100	100	100	100	100	100
Case detection rate (all new cases, %)	34	38	38	37	39	37	38	39
Case detection rate (new ss+ cases, %)	51	47	47	47	48	47	48	51
Treatment success (new ss+ patients, %)	63	56	60	68	70	73	70	—
Re-treatment success (ss+ patients, %)	64	63	55	60	68	—	76	—

Note: notification, case detection and treatment success rates are for the whole country (i.e. DOTS and non-DOTS cases combined).

DOTS EXPANSION AND ENHANCEMENT

Overview of services for diagnosis of TB and treatment of patients

Description of basic management unit	Hospital
Number of units (DOTS/total), 2007	80/80
Location of NTP services	
Rural	Health centre
Urban	Hospital
NTP services part of general primary health-care network?	Yes
Location where TB diagnosed	
Rural	Health centre
Urban	Hospital
Diagnosis free of charge?	Yes (if TB is confirmed)
Treatment supervised?	All patients in some units
Intensive phase	Health-care worker, community member, family member
Continuation phase	Health-care worker, community member, family member
Category I regimen	2(HRZ)E2/6HE
Treatment free of charge	All patients in all units
External review missions	last: 2008 next: 2009

Political commitment

National strategic plan?	Yes (2006–2011)
Mechanism for national interagency coordination?	Yes (established 2003)
National Stop TB Partnership?	Yes (established 2004)

Financial indicators, 2009

(see final page for detailed presentation)	%
Government contribution to NTP budget (incl loans)	7.5
Government contribution to total cost TB control (incl loans)	14
Government health spending used for TB control	9.9
NTP budget funded	37

Per capita health financial indicators, 2009

	US\$
NTP budget per capita	0.5
Total costs for TB control per capita	0.6
Funding gap per capita	0.3
Government health expenditure per capita (2005)	6.4
Total health expenditure per capita (2005)	22

Quality-assured bacteriology

National reference laboratory?	Yes
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All TB laboratories performing EQA of smear microscopy or DST under the supervision of the National Reference Laboratory

	Smear				Culture		DST			
	Number	per 100 000	EQA	% adeq perf	Number	per 5 000 000	Number	per 10 000 000	EQA	% adeq perf
2007	716	2.3	716	81%	3	0.5	2	0.6	2.0	100%
2008	741	2.3	741	–	4	0.6	2	0.6	2.0	–

Note: for routine diagnosis, there should be at least one laboratory providing smear microscopy per 100 000 population. To provide culture for diagnosis of paediatric, extra-pulmonary and ss-/HIV+ TB, as well as DST of re-treatment and failure cases, most countries will need one culture facility per 5 million population and one DST facility per 10 million population. EQA column shows number of laboratories for which EQA was done. Adeq perf; adequate performance for microscopy based on results of EQA.

System for managing drug supplies and laboratory equipment

	Central level			Peripheral level		
	2005	2006	2007	2005	2006	2007
Stock-outs of laboratory supplies?	–	No	No	–	Some units	Some units
Stock-outs of first-line anti-TB drugs?	Yes	Yes	Yes	Yes	Some units	Some units

Monitoring and evaluation system, and impact measurement

NTP publishes annual report?	Yes (since 2003)	Burden and impact assessment		last	next
% of BMUs reporting to next level in 2007		In-depth analysis of routine surveillance data	No	–	–
Case-finding	100%	Prevalence of disease survey	Yes, national	–	2009
Treatment outcomes	99%	Prevalence of infection survey	Yes, national	1970	2009
		Drug resistance survey	Yes, sub-national	1997	Ongoing
		Mortality survey	No	–	–
		Analysis of vital registration data	No	–	–

MDR-TB, TB/HIV AND OTHER CHALLENGES

	2005	2006	2007
	Number (% of estimated ss+ MDR-TB)		
Estimated incidence of ss+ MDR cases	508	497	485
Diagnosed and notified	46 (9.1%)	– (–%)	7 (1.4%)
Registered for treatment	– (–%)	– (–%)	7 (1.4%)
GLC	0	0	0
non-GLC	–	–	7

MDR-TB, TB/HIV AND OTHER CHALLENGES (continued)**Detection and treatment of HIV in TB patients, 2007**

TB patients for whom the HIV test result was known	15 844
as % of all notified TB patients	38
TB patients with positive HIV test	9 526
as % of all estimated HIV+ TB cases	24
HIV+ TB patients started or continued on CPT	380
as % of HIV+ TB patients notified	4.0
HIV+ TB patients started or continued on ART	220
as % of HIV+ TB patients notified	2.3

Screening for TB in HIV-positive patients, 2007

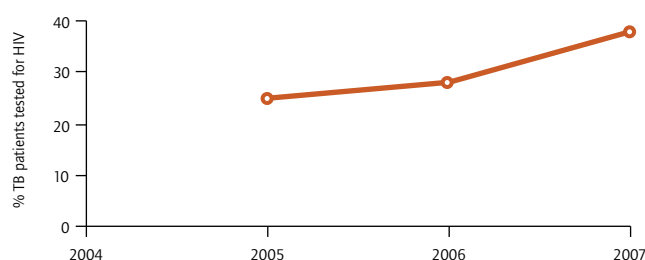
HIV+ patients in HIV care or ART register	244 969
Screened for TB	71 647
as % of HIV+ patients in HIV care or ART register	29
Started on TB treatment	3 566
as % of HIV+ patients in HIV care or ART register	1.5
Started on IPT	121
as % of HIV+ patients without TB in HIV care or ART register	0.1

High-risk groups, 2007

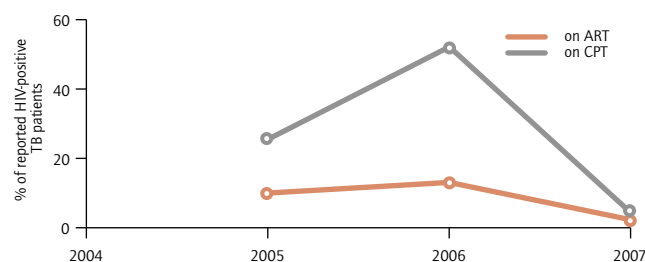
Number of close contacts of ss+ TB patients screened	–
Number of TB cases identified among contacts	–
% of contacts with TB	–
Contacts started on IPT	–
% of contacts without TB on IPT	–

HIV testing for TB patients

The proportion of TB cases tested for HIV continues to increase

**CPT and ART for HIV-positive TB patients**

Provision of CPT and ART under-reported in 2007

**CONTRIBUTING TO HEALTH SYSTEM STRENGTHENING**

The main weaknesses of the health system – a shortage of qualified personnel, poor access to primary health care and low levels of funding for health care – have had a negative impact on the NTP, which is integrated into the primary health-care system. The NTP is improving the capacities of laboratories and human resources through training, monitoring and quality control, all of which benefit the entire health-care system. Engagement of communities by the NTP is strengthening the role of civil society in the country.

Practical Approach to Lung Health (PAL), 2007

Number of health-care facilities providing PAL services	–	As % of total number of health-care facilities	–
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ENGAGING ALL CARE PROVIDERS**Public-public and public-private approaches (PPM), 2007**

	Number collaborating (total number of providers)	% total notified TB	
		Diagnosed	Treated
Public sector	80 (269)	–	–
Private sector	252 (–)	–	–

International Standards for Tuberculosis Care (ISTC)

ISTC endorsed by professional organizations?	No
ISTC included in medical curriculum?	No

EMPOWERING PEOPLE WITH TB, AND COMMUNITIES**Advocacy, communication and social mobilization (ACSM)**

The NTP works in close collaboration with the ACSM Working Group of the national Stop TB Partnership to guide and implement ACSM activities. A national ACSM strategy for control of TB and TB/HIV has been developed.

Community participation in TB care and Patients' Charter

Community-based care has been available throughout the country since 2005. Patient support in rural areas is usually provided by neighbours or friends who are in regular contact with the health services. In urban areas, this support is usually provided by family members. Activities to raise awareness about TB are conducted mostly through sensitization of village leaders in rural areas, and through media campaigns in urban areas. The Patients' Charter is being used.

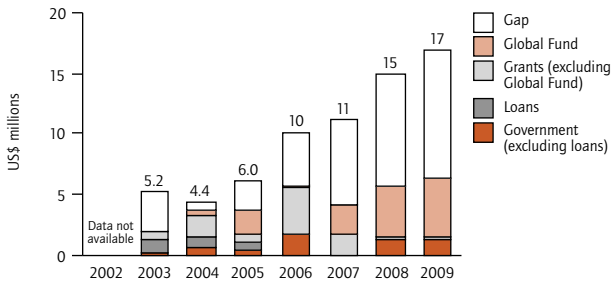
ENABLING AND PROMOTING RESEARCH**Programme-based operational research, 2007**

Operational research budget (% of NTP budget)	2.5%
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FINANCING

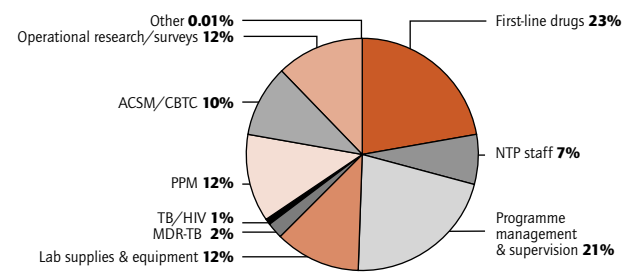
a. NTP budget by source of funding

Increasing NTP budget and increasing funding gaps



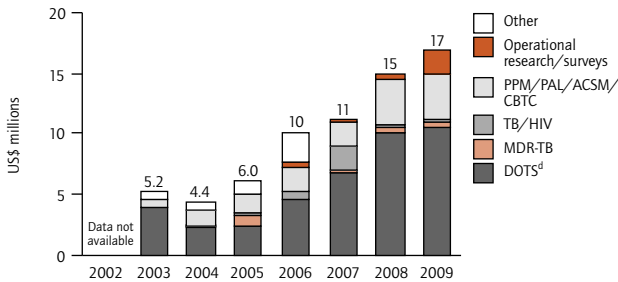
b. NTP budget line items in 2009

DOTS implementation accounts for 62% of budget, followed by ACSM including community TB care



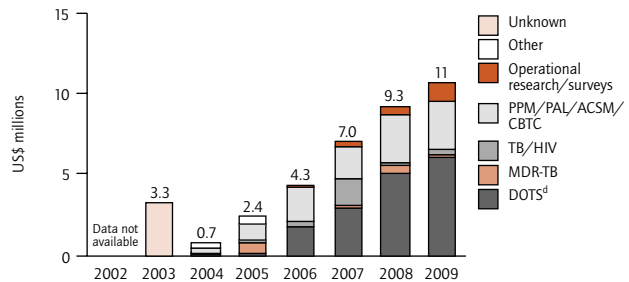
c. NTP budget by line item

Within DOTS, increased funding needs for programme management and supervision activities; operational research includes budget for disease prevalence survey in 2009



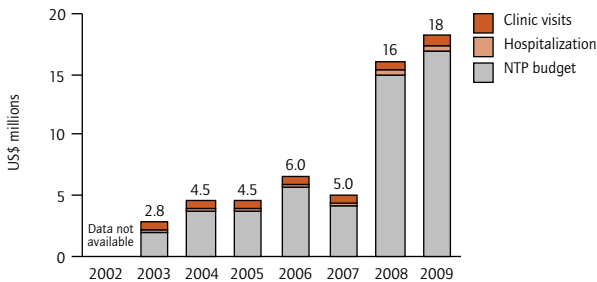
d. NTP funding gap by line item

Funding gap within DOTS is mainly for first-line drugs and routine programme management; half of the budget required for a disease prevalence survey is unfunded



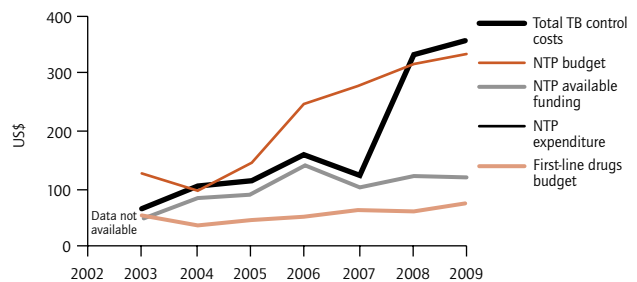
e. Total TB control costs by line item¹

Cost of clinic visits based on 12 visits for DOT per TB patient (2003-2009); small number of visits to health facilities reflects role of community volunteers



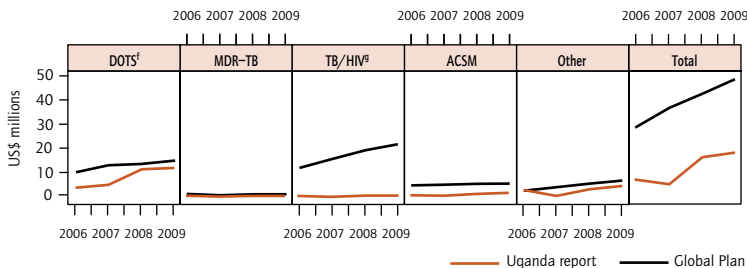
f. Per patient costs, budgets and expenditures²

To date, expenditure data have not been reported



g. Global Plan compared with country reports^a

Biggest difference between country report and Global Plan is collaborative TB/HIV activities, which at least in part reflects funding and implementation of some activities by the national AIDS control programme; expenditure data are not available to allow comparison for 2006 and 2007



h. NTP budget and funding gap by Stop TB Strategy component (US\$ millions)

Component	2009 BUDGET	GAP
DOTS expansion and enhancement	11	6.1
TB/HIV, MDR-TB and other challenges	0.6	0.4
Health system strengthening	0.002	0.002
Engage all care providers	2.0	2.0
People with TB, and communities	1.8	1.1
Research and surveys	2.1	1.1
Other	0	0

SOURCES, METHODS AND ABBREVIATIONS

^{a-g} Please see footnotes page 169.

¹ Total TB control costs for 2003-2007 are based on available funding, whereas those for 2008-2009 are based on budgets. Estimates of the costs of clinic visits and hospitalization are WHO estimates based on data provided by the NTP and from other sources. See Methods for further details.

² NTP available funding for 2003-2009 is based on prospectively reported budget data, and estimated as the total budget minus any reported funding gap.

- indicates not available or not applicable; pop, population; ss+, sputum smear-positive; ss-, sputum smear-negative pulmonary; unk, pulmonary - sputum smear not done or result unknown.

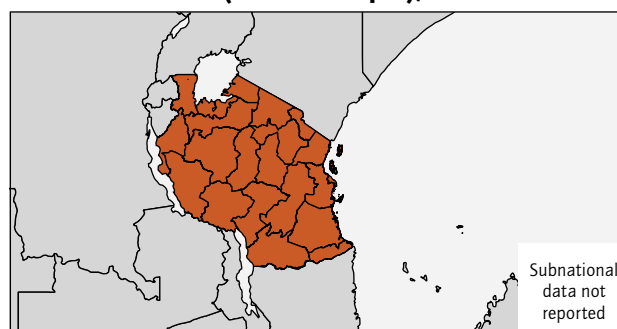
United Republic of Tanzania

The case detection rate has been relatively stable since 2001 and well below the global target. The treatment success rate for new smear-positive TB cases reached the global target in 2006. Following rapid expansion of collaborative TB/HIV activities, 50% of TB cases are being tested for HIV and 31% and 72% of HIV-positive TB cases are being provided with ART and CPT, respectively. Further expansion of TB/HIV activities, scale-up of community-based TB care, and formal collaboration with the private sector are expected to improve rates of case detection and treatment success. Programmatic management of MDR-TB began in 2007 on a small scale. A survey of the prevalence of disease in 2009 and the results of an in-depth analysis of surveillance data will be used to update existing estimates of the epidemiological burden of TB.

SURVEILLANCE AND EPIDEMIOLOGY

Population (thousands) ^a	40 454	
Estimates of epidemiological burden, 2007^b	ALL	IN HIV+ PEOPLE
Incidence		
All forms of TB (thousands of new cases per year)	120	56
All forms of TB (new cases per 100 000 pop/year)	297	139
Rate of change in incidence rate (%), 2006–2007	-4.4	-5.2
New ss+ cases (thousands of new cases per year)	49	20
New ss+ cases (per 100 000 pop/year)	120	49
HIV+ incident TB cases (% of all TB cases)	47	–
Prevalence		
All forms of TB (thousands of cases)	136	28
All forms of TB (cases per 100 000 pop)	337	70
2015 target for prevalence (cases per 100 000 pop)	107	–
Mortality		
All forms of TB (thousands of deaths per year)	32	20
All forms of TB (deaths per 100 000 pop/year)	78	49
2015 target for mortality (deaths per 100 000 pop/year)	21	–
Multidrug-resistant TB (MDR-TB)		
MDR-TB among all new TB cases (%)	1.1	–
MDR-TB among previously treated TB cases (%)	7.9	–

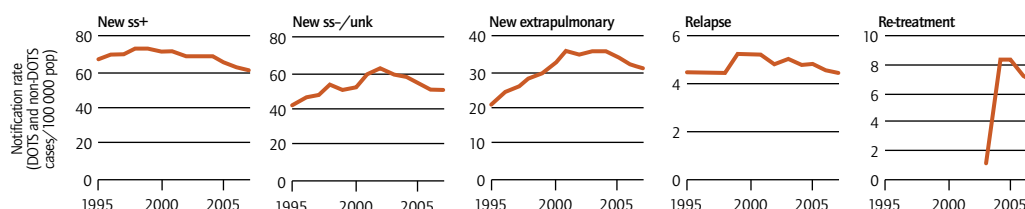
TB notification rate (new and relapse), 2007



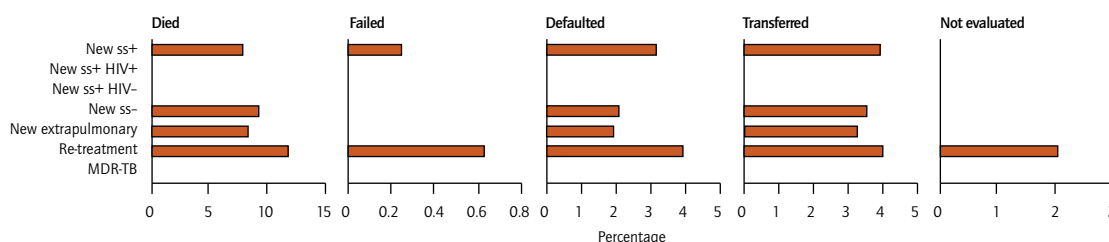
Total notifications, 2007

Notified new and relapse cases (thousands)	59
Notified new and relapse cases (per 100 000 pop/year)	147
Notified new ss+ cases (thousands)	25
Notified new ss+ cases (per 100 000 pop/year)	61
as % of new pulmonary cases	54
sex ratio (male/female)	1.7
DOTS case detection rate (% of estimated new ss+)	51
Notified new extrapulmonary cases (thousands)	13
as % of notified new cases	22
Notified new ss+ cases in children (<15 years) (thousands)	0.4
as % of notified new ss+ cases	1.7

Case notifications



Unfavourable treatment outcomes, 2006 cohorts



	2000	2001	2002	2003	2004	2005	2006	2007
DOTS coverage (%)	100	100	100	100	100	100	100	100
Notification rate (new & relapse cases/100 000 pop)	161	177	169	168	167	159	150	147
% notified new & relapse cases reported under DOTS	100	100	100	100	100	100	100	100
Notification rate (new ss+ cases/100 000 pop)	71	71	68	68	69	66	63	61
% notified new ss+ cases reported under DOTS	100	100	100	100	100	100	100	100
Case detection rate (all new cases, %)	46	48	47	47	48	47	47	48
Case detection rate (new ss+ cases, %)	52	51	48	49	51	50	50	51
Treatment success (new ss+ patients, %)	78	81	80	81	81	82	85	–
Re-treatment success (ss+ patients, %)	73	76	77	75	76	77	78	–

Note: notification, case detection and treatment success rates are for the whole country (i.e. DOTS and non-DOTS cases combined).

DOTS EXPANSION AND ENHANCEMENT
Overview of services for diagnosis of TB and treatment of patients

Description of basic management unit	Hospitals and health centres
Number of units (DOTS/total), 2007	157/157
Location of NTP services	
Rural	Health centers and dispensaries
Urban	Hospitals and health centres
NTP services part of general primary health-care network?	Yes
Location where TB diagnosed	
Rural	Health centres and dispensaries
Urban	Hospitals and health centres
Diagnosis free of charge?	Yes (all suspects)
Treatment supervised?	All patients in all units
Intensive phase	Health-care worker, community member, family member
Continuation phase	Health-care worker, community member, family member
Category I regimen	2HRZE/4HR
Treatment free of charge	All patients in all units
External review missions	last: – next: –

Political commitment

National strategic plan?	Yes (2004–2009)
Mechanism for national interagency coordination?	No (planned 2009)
National Stop TB Partnership?	No (planned 2009)

Financial indicators, 2009

(see final page for detailed presentation)	%
Government contribution to NTP budget (incl loans)	29
Government contribution to total cost TB control (incl loans)	39
Government health spending used for TB control	8.2
NTP budget funded	70

Per capita health financial indicators, 2009

	US\$
NTP budget per capita	0.6
Total costs for TB control per capita	0.7
Funding gap per capita	0.2
Government health expenditure per capita (2005)	9.5
Total health expenditure per capita (2005)	17

Quality-assured bacteriology

National reference laboratory?	Yes
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All TB laboratories performing EQA of smear microscopy or DST under the supervision of the National Reference Laboratory

	Smear				Culture		DST			
	Number	per 100 000	EQA	% adeq perf	Number	per 5 000 000	Number	per 10 000 000	EQA	% adeq perf
2007	717	1.8	–	–	3	0.4	1	0.2	1.0	–
2008	717	1.7	717	–	3	0.4	1	0.2	1.0	–

Note: for routine diagnosis, there should be at least one laboratory providing smear microscopy per 100 000 population. To provide culture for diagnosis of paediatric, extra-pulmonary and ss-/HIV+ TB, as well as DST of re-treatment and failure cases, most countries will need one culture facility per 5 million population and one DST facility per 10 million population. EQA column shows number of laboratories for which EQA was done. Adeq perf; adequate performance for microscopy based on results of EQA.

System for managing drug supplies and laboratory equipment

	Central level			Peripheral level		
	2005	2006	2007	2005	2006	2007
Stock-outs of laboratory supplies?	–	No	No	–	No	No
Stock-outs of first-line anti-TB drugs?	No	No	No	No	All units	No

Monitoring and evaluation system, and impact measurement

NTP publishes annual report?	Yes (since 1992)	Burden and impact assessment		last	next
% of BMUs reporting to next level in 2007		In-depth analysis of routine surveillance data	Yes	2007	2008
Case-finding	100%	Prevalence of disease survey	Yes, national	–	2009
Treatment outcomes	100%	Prevalence of infection survey	Yes, national	2004	–
		Drug resistance survey	Yes, national	2007	–
		Mortality survey	No	–	–
		Analysis of vital registration data	No	–	–

MDR-TB, TB/HIV AND OTHER CHALLENGES

	2005	2006	2007
	Number (% of estimated ss+ MDR-TB)		
Multidrug-resistant TB (MDR-TB)			
Estimated incidence of ss+ MDR cases	1 350	1 327	1 301
Diagnosed and notified	10 (0.74%)	13 (0.98%)	169 (13%)
Registered for treatment	– (–%)	– (–%)	– (–%)
GLC	0	0	0
non-GLC	–	–	–

MDR-TB, TB/HIV AND OTHER CHALLENGES (continued)
Detection and treatment of HIV in TB patients, 2007

TB patients for whom the HIV test result was known	31 305
as % of all notified TB patients	50
TB patients with positive HIV test	14 669
as % of all estimated HIV+ TB cases	26
HIV+ TB patients started or continued on CPT	10 541
as % of HIV+ TB patients notified	72
HIV+ TB patients started or continued on ART	4 619
as % of HIV+ TB patients notified	31

Screening for TB in HIV-positive patients, 2007

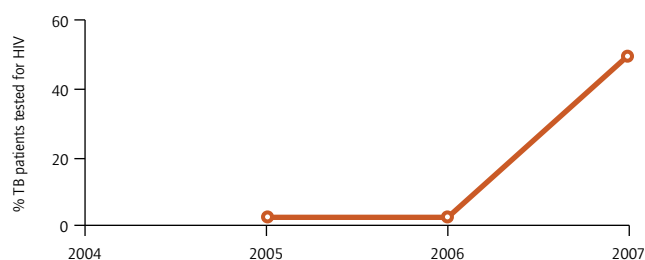
HIV+ patients in HIV care or ART register	—
Screened for TB	—
as % of HIV+ patients in HIV care or ART register	—
Started on TB treatment	—
as % of HIV+ patients in HIV care or ART register	—
Started on IPT	—
as % of HIV+ patients without TB in HIV care or ART register	—

High-risk groups, 2007

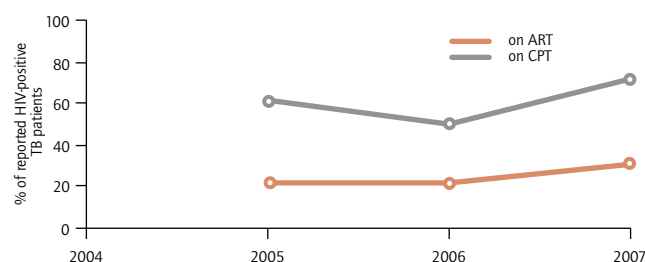
Number of close contacts of ss+ TB patients screened	—
Number of TB cases identified among contacts	—
% of contacts with TB	—
Contacts started on IPT	—
% of contacts without TB on IPT	—

HIV testing for TB patients

The proportion of TB patients tested for HIV increased dramatically in 2007, reaching 50%


CPT and ART for HIV-positive TB patients

The proportion of HIV-positive TB patients receiving ART and CPT improved between 2006 and 2007


CONTRIBUTING TO HEALTH SYSTEM STRENGTHENING

The NTP is fully integrated into the primary health-care system, and planning and budgeting for TB control have been successfully harmonized with sector-wide planning frameworks. Refurbishment of laboratories to support TB diagnosis has helped to strengthen overall laboratory capacity. Shared resources such as transport facilities and the reporting network have been used to reduce transaction costs for the entire health system. Further integration of the procurement system is planned.

Practical Approach to Lung Health (PAL), 2007

Number of health-care facilities providing PAL services	0	As % of total number of health-care facilities	0
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ENGAGING ALL CARE PROVIDERS
Public-public and public-private approaches (PPM), 2007

	Number collaborating (total number of providers)	% total notified TB	
		Diagnosed	Treated
Public sector	— (-)	—	—
Private sector	12 (-)	—	—

International Standards for Tuberculosis Care (ISTC)

ISTC endorsed by professional organizations?	Yes
By which organizations:	—
ISTC included in medical curriculum?	Yes

EMPOWERING PEOPLE WITH TB, AND COMMUNITIES
Advocacy, communication and social mobilization (ACSM)

An ACSM strategy has been drafted, and a KAP survey is planned for 2009. A club for former TB patients was recently established.

Community participation in TB care and Patients' Charter

The NTP has started to involve patients and communities in delivering care and in activities to sensitize the general population about TB in selected areas of the country. These activities will be scaled up to cover 31 districts by the end of 2010. No data on use of the Patients' Charter were reported in 2008.

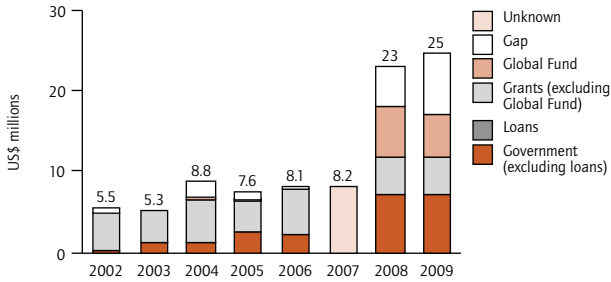
ENABLING AND PROMOTING RESEARCH
Programme-based operational research, 2007

Operational research budget (% of NTP budget)	—
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FINANCING

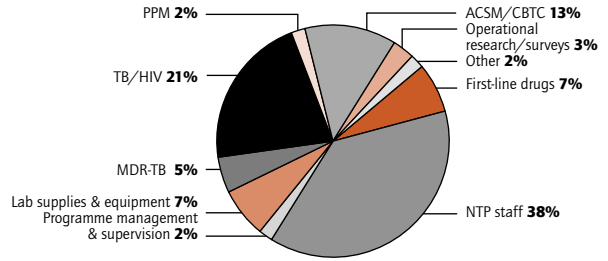
a. NTP budget by source of funding

Increased NTP budget since 2008 reflects new plan for TB control and re-assessment of funding needs; increased funding from government and Global Fund since 2008



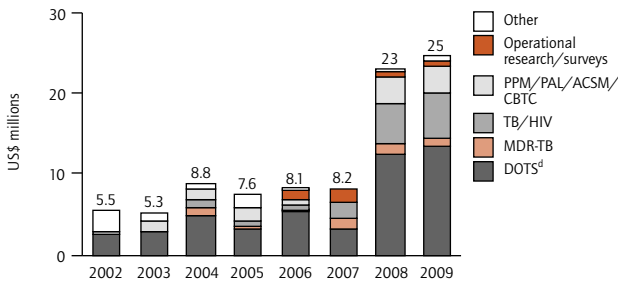
b. NTP budget line items in 2009

Largest component of the budget is NTP staff, unlike other African HBCs, followed by TB/HIV



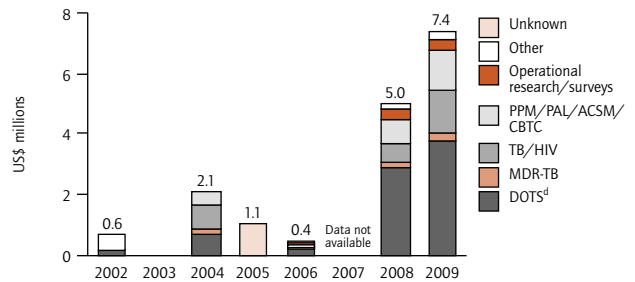
c. NTP budget by line item

Budget for all major components of TB control increased in 2008, notably for DOTS, TB/HIV and ACSM



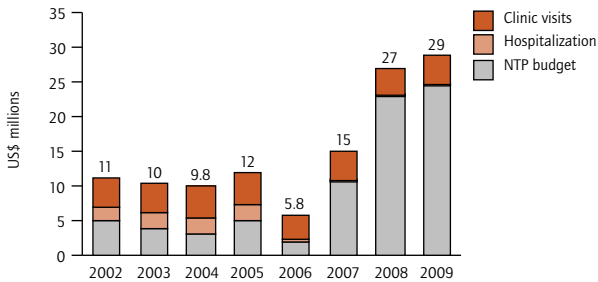
d. NTP funding gap by line item

Funding gap within DOTS mainly for first-line drugs and dedicated NTP staff



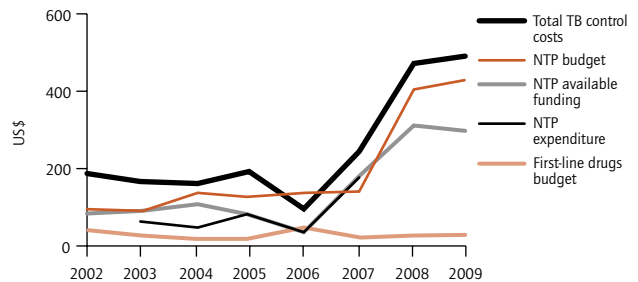
e. Total TB control costs by line item¹

Cost of hospitalization based on 1900 TB dedicated beds (2002-2005) and 7% of new TB patients hospitalized for 14 days (2006-2009)



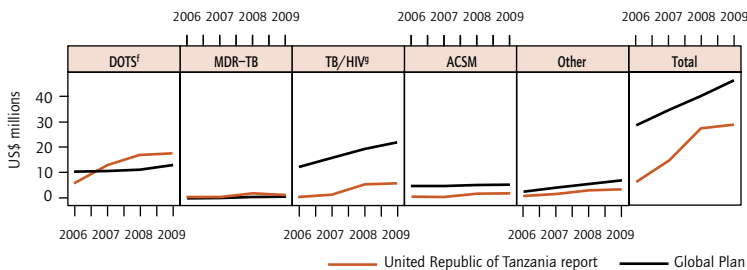
f. Per patient costs, budgets and expenditures²

Increasing expenditure, budget and total cost per patient since 2006



g. Global Plan compared with country reports³

Country assessment of funding requirements 2006-2007 less than Global Plan, and focused on DOTS; greater similarity with Global Plan 2008-2009, except for TB/HIV, which may reflect funding and implementation of activities by national AIDS control programme as well as NTP



h. NTP budget and funding gap by Stop TB Strategy component (US\$ millions)

Component	2009 BUDGET	GAP
DOTS expansion and enhancement	13	3.8
TB/HIV, MDR-TB and other challenges	6.6	1.7
Health system strengthening	0	0
Engage all care providers	0.4	0.03
People with TB, and communities	3.1	1.3
Research and surveys	0.7	0.4
Other	0.4	0.3

SOURCES, METHODS AND ABBREVIATIONS

^{a-g} Please see footnotes page 169.

¹ Total TB control costs for 2002 are based on available funding, whereas those for 2003-2007 are based on expenditure, and those for 2008-2009 are based on budgets. Estimates of the costs of clinic visits and hospitalization are WHO estimates based on data provided by the NTP and from other sources. See Methods for further details.

² NTP available funding for 2004-2007 is based on the amount of funding actually received, using retrospective data; available funding for 2002-2003 and 2008-2009 is based on prospectively reported budget data, and estimated as the total budget minus any reported funding gap.

- indicates not available or not applicable; pop, population; ss+, sputum smear-positive; ss-, sputum smear-negative pulmonary; unk, pulmonary - sputum smear not done or result unknown.

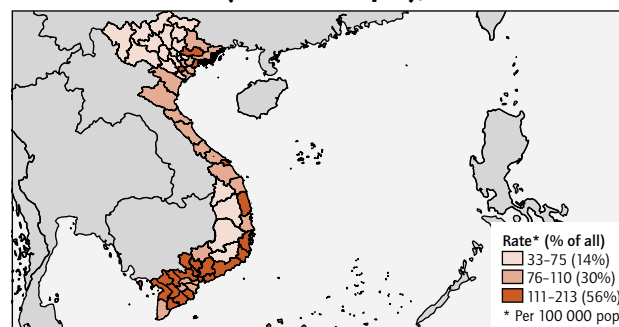
Viet Nam

The preliminary results of the 2007 national survey of the prevalence of TB disease indicate that prevalence is higher than previously estimated. Although estimating TB incidence from the prevalence of TB disease is not straightforward, the survey also suggests that TB incidence may be higher, and the case detection rate lower, than previously estimated. Survey findings have prompted the NTP to accelerate implementation of PPM, ACSM and other components of the Stop TB Strategy, especially among population groups that have difficulty in accessing health-care services.

SURVEILLANCE AND EPIDEMIOLOGY

Population (thousands) ^a	87 375	
Estimates of epidemiological burden, 2007^b	ALL	IN HIV+ PEOPLE
Incidence		
All forms of TB (thousands of new cases per year)	150	12
All forms of TB (new cases per 100 000 pop/year)	171	14
Rate of change in incidence rate (%), 2006-2007	-1.0	1.8
New ss+ cases (thousands of new cases per year)	66	4.2
New ss+ cases (per 100 000 pop/year)	76	4.8
HIV+ incident TB cases (% of all TB cases)	8.1	—
Prevalence		
All forms of TB (thousands of cases)	192	6.0
All forms of TB (cases per 100 000 pop)	220	6.9
2015 target for prevalence (cases per 100 000 pop)	182	—
Mortality		
All forms of TB (thousands of deaths per year)	21	3.1
All forms of TB (deaths per 100 000 pop/year)	24	3.5
2015 target for mortality (deaths per 100 000 pop/year)	16	—
Multidrug-resistant TB (MDR-TB)		
MDR-TB among all new TB cases (%)	2.7	—
MDR-TB among previously treated TB cases (%)	19	—

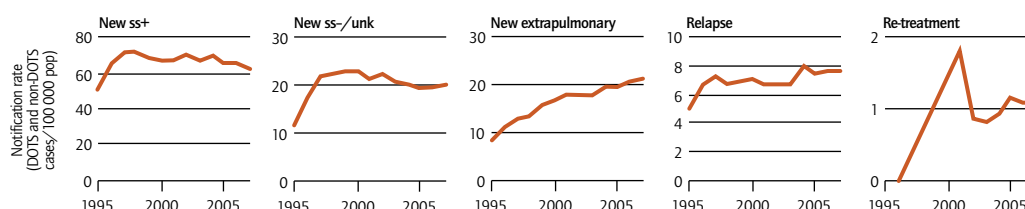
TB notification rate (new and relapse), 2007



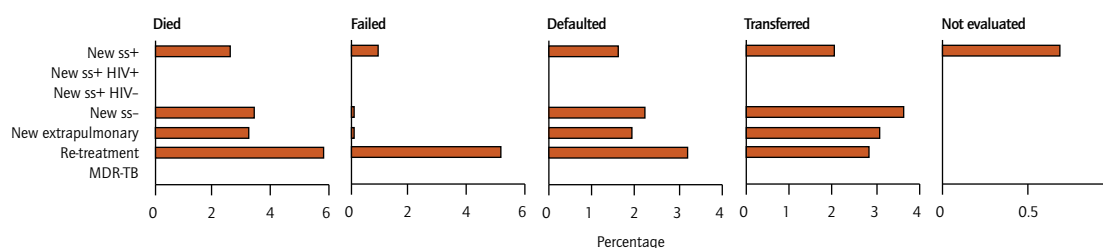
Total notifications, 2007

Notified new and relapse cases (thousands)	97
Notified new and relapse cases (per 100 000 pop/year)	111
Notified new ss+ cases (thousands)	54
Notified new ss+ cases (per 100 000 pop/year)	62
as % of new pulmonary cases	76
sex ratio (male/female)	2.8
DOTS case detection rate (% of estimated new ss+)	82
Notified new extrapulmonary cases (thousands)	19
as % of notified new cases	21
Notified new ss+ cases in children (<15 years) (thousands)	0.1
as % of notified new ss+ cases	0.2

Case notifications



Unfavourable treatment outcomes, 2006 cohorts



	2000	2001	2002	2003	2004	2005	2006	2007
DOTS coverage (%)	100	100	100	100	100	100	100	100
Notification rate (new & relapse cases/100 000 pop)	114	113	117	112	117	112	113	111
% notified new & relapse cases reported under DOTS	100	100	100	100	100	100	100	100
Notification rate (new ss+ cases/100 000 pop)	67	68	70	68	70	65	65	62
% notified new ss+ cases reported under DOTS	100	100	100	100	100	100	100	100
Case detection rate (all new cases, %)	58	59	61	59	62	60	61	61
Case detection rate (new ss+ cases, %)	82	84	87	86	89	84	86	82
Treatment success (new ss+ patients, %)	92	93	92	92	93	92	92	—
Re-treatment success (ss+ patients, %)	79	85	85	85	84	83	83	—

Note: notification, case detection and treatment success rates are for the whole country (i.e. DOTS and non-DOTS cases combined).

DOTS EXPANSION AND ENHANCEMENT

Overview of services for diagnosis of TB and treatment of patients

Description of basic management unit	District TB unit
Number of units (DOTS/total), 2007	680/680
Location of NTP services	
Rural	Commune health post
Urban	—
NTP services part of general primary health-care network?	Yes
Location where TB diagnosed	
Rural	District TB unit
Urban	—
Diagnosis free of charge?	Yes (all suspects)
Treatment supervised?	All patients in all units
Intensive phase	Health-care worker
Continuation phase	Health-care worker
Category I regimen	—
Treatment free of charge	—
External review missions	last: 2006 next: 2011

Political commitment

National strategic plan?	Yes (2007–2011)
Mechanism for national interagency coordination?	Yes (established 2008)
National Stop TB Partnership?	Yes (established 2008)

Financial indicators, 2009

(see final page for detailed presentation)	%
Government contribution to NTP budget (incl loans)	39
Government contribution to total cost TB control (incl loans)	69
Government health spending used for TB control	3.3
NTP budget funded	100

Per capita health financial indicators, 2009

	US\$
NTP budget per capita	0.1
Total costs for TB control per capita	0.3
Funding gap per capita	0
Government health expenditure per capita (2005)	9.6
Total health expenditure per capita (2005)	38

Quality-assured bacteriology

National reference laboratory?	Yes
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All TB laboratories performing EQA of smear microscopy or DST under the supervision of the National Reference Laboratory

	Smear				Culture		DST			
	Number	per 100 000	EQA	% adeq perf	Number	per 5 000 000	Number	per 10 000 000	EQA	% adeq perf
2007	737	0.8	—	—	17	1.0	2	0.2	2.0	—
2008	—	—	—	—	30	1.7	—	—	—	—

Note: for routine diagnosis, there should be at least one laboratory providing smear microscopy per 100 000 population. To provide culture for diagnosis of paediatric, extra-pulmonary and ss-/HIV+ TB, as well as DST of re-treatment and failure cases, most countries will need one culture facility per 5 million population and one DST facility per 10 million population. EQA column shows number of laboratories for which EQA was done. Adeq perf; adequate performance for microscopy based on results of EQA.

System for managing drug supplies and laboratory equipment

	Central level			Peripheral level		
	2005	2006	2007	2005	2006	2007
Stock-outs of laboratory supplies?	—	No	Yes	—	No	—
Stock-outs of first-line anti-TB drugs?	Yes	No	—	No	No	Yes

Monitoring and evaluation system, and impact measurement

		Burden and impact assessment		
		last	next	
NTP publishes annual report?	—			
% of BMUs reporting to next level in 2007		In-depth analysis of routine surveillance data	—	—
Case-finding	—	Prevalence of disease survey	Yes	2007
Treatment outcomes	—	Prevalence of infection survey	—	—
		Drug resistance survey	Yes, national	2006
		Mortality survey	—	—
		Analysis of vital registration data	—	—

MDR-TB, TB/HIV AND OTHER CHALLENGES

	2005	2006	2007
	Number (% of estimated ss+ MDR-TB)		
Multidrug-resistant TB (MDR-TB)			
Estimated incidence of ss+ MDR cases	4 170	4 185	4 199
Diagnosed and notified	— (—%)	— (—%)	— (—%)
Registered for treatment	— (—%)	— (—%)	— (—%)
GLC	0	0	0
non-GLC	—	—	—

MDR-TB, TB/HIV AND OTHER CHALLENGES (continued)**Detection and treatment of HIV in TB patients, 2007**

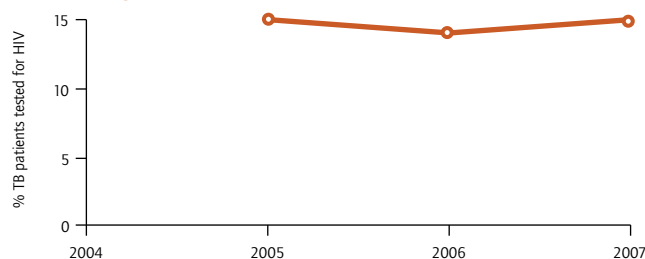
TB patients for whom the HIV test result was known	14 377
as % of all notified TB patients	15
TB patients with positive HIV test	627
as % of all estimated HIV+ TB cases	5.2
HIV+ TB patients started or continued on CPT	—
as % of HIV+ TB patients notified	—
HIV+ TB patients started or continued on ART	—
as % of HIV+ TB patients notified	—

Screening for TB in HIV-positive patients, 2007

HIV+ patients in HIV care or ART register	—
Screened for TB	—
as % of HIV+ patients in HIV care or ART register	—
Started on TB treatment	—
as % of HIV+ patients in HIV care or ART register	—
Started on IPT	—
as % of HIV+ patients without TB in HIV care or ART register	—

High-risk groups, 2007

Number of close contacts of ss+ TB patients screened	—
Number of TB cases identified among contacts	—
% of contacts with TB	—
Contacts started on IPT	—
% of contacts without TB on IPT	—

HIV testing for TB patients**CPT and ART for HIV-positive TB patients**

Data not reported

CONTRIBUTING TO HEALTH SYSTEM STRENGTHENING

The NTP is integrated into a relatively strong primary health-care system. However, reforms aimed at decentralizing and separating disease-specific control programmes from clinical services are ongoing and may affect the NTP, which is working to ensure effective services for referring patients and exchange of information where separation is anticipated. A further challenge, the large private health care sector throughout the country where first-line and second-line anti-TB drugs are often used irrationally, is being addressed by the NTP through scale-up of PPM.

Practical Approach to Lung Health (PAL), 2007

Number of health-care facilities providing PAL services	—	As % of total number of health-care facilities	—
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ENGAGING ALL CARE PROVIDERS**Public-public and public-private approaches (PPM), 2007**

	Number collaborating (total number of providers)	% total notified TB	
		Diagnosed	Treated
Public sector	42 (—)	3.2	4.6
Private sector	— (—)	—	—

International Standards for Tuberculosis Care (ISTC)

ISTC endorsed by professional organizations?	—
By which organizations:	—
ISTC included in medical curriculum?	—

EMPOWERING PEOPLE WITH TB, AND COMMUNITIES**Advocacy, communication and social mobilization (ACSM)**

A KAP survey is planned for 2008. On World TB Day, all provinces hold meetings to raise awareness of TB at community level, and TB is featured in radio and television programmes. Advocacy meetings for managers in the health sector have been organized in 8 provinces. Advocacy meetings for political leaders have also been organized in 8 regions (which cover 60/64 provinces), one outcome of which was a letter to the Ministry of Health requesting greater support for provincial efforts in TB control, including support for recruitment and retention of adequately-qualified staff.

Community participation in TB care and Patients' Charter

Community involvement in TB control is in place in hard-to-reach areas as part of the primary health-care package. The project is currently being geographically expanded to cover all hard-to-reach areas in the country. Community-based care is also provided by voluntary treatment supporters in many areas. No data on use of the Patients' Charter were reported in 2008.

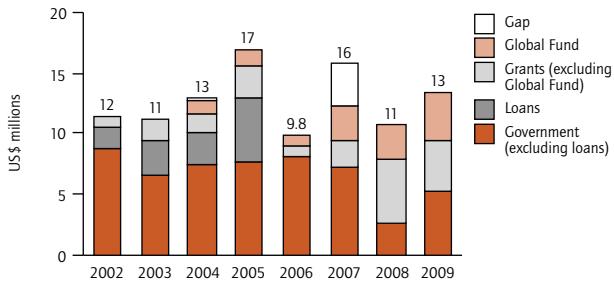
ENABLING AND PROMOTING RESEARCH**Programme-based operational research, 2007**

Operational research budget (% of NTP budget)	0.6%
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FINANCING

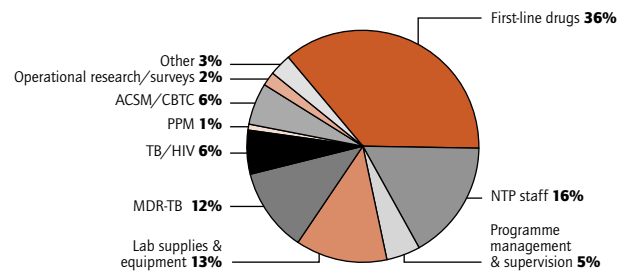
a. NTP budget by source of funding

Decreased funding from the government in 2008-2009, compensated for by increased funding from donors



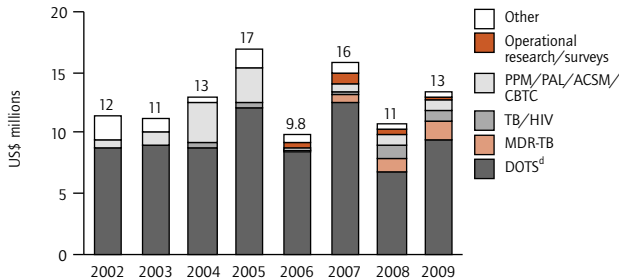
b. NTP budget line items in 2009

Largest component of budget is for DOTS (71%), followed by MDR-TB



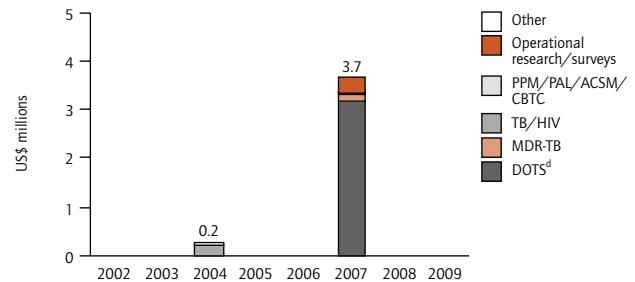
c. NTP budget by line item

Increased budget for MDR-TB in 2008 and 2009; within DOTS decreased budget for NTP staff and programme management



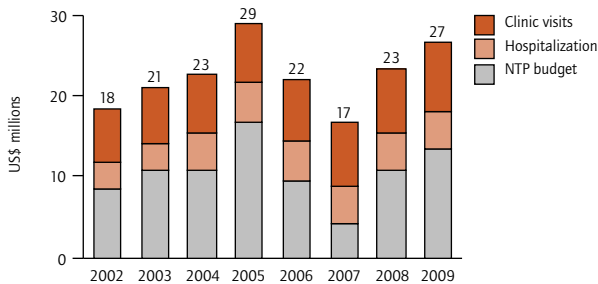
d. NTP funding gap by line item

No funding gap was reported for 2008-2009



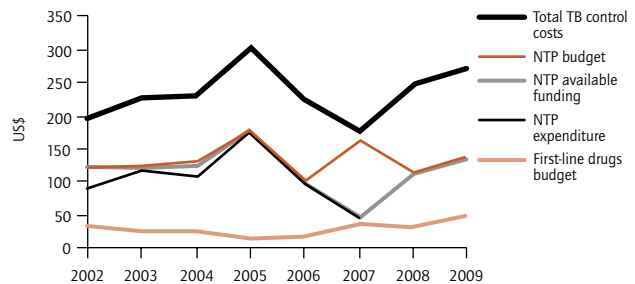
e. Total TB control costs by line item¹

Cost of clinic visits based on 66 visits per TB patient; hospitalization costs based on estimate that there are 6481 TB beds



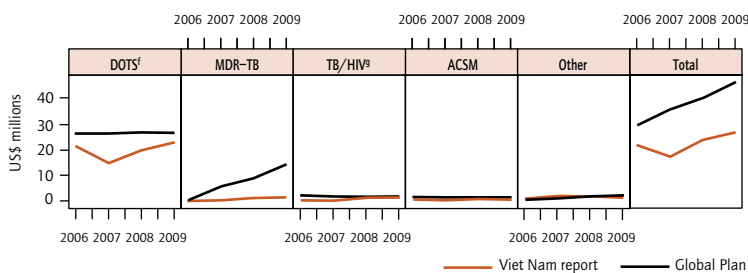
f. Per patient costs, budgets and expenditures²

Expenditure comparatively low in 2007; Fluctuation in all indicators



g. Global Plan compared with country reports^a

Targets for MDR-TB patients to be treated in Global MDR/XDR-TB Response Plan much higher than scaling-up planned by NTP



h. NTP budget and funding gap by Stop TB Strategy component (US\$ millions)

Component	2009 BUDGET	GAP
DOTS expansion and enhancement	9.5	0
TB/HIV, MDR-TB and other challenges	2.3	0
Health system strengthening	0	0
Engage all care providers	0.1	0
People with TB, and communities	0.8	0
Research and surveys	0.3	0
Other	0.4	0

SOURCES, METHODS AND ABBREVIATIONS

^{a-g} Please see footnotes page 169.

¹ Total TB control costs for 2002-2007 are based on expenditure, whereas those for 2008-2009 are based on budgets. Estimates of the costs of clinic visits and hospitalization are WHO estimates based on data provided by the NTP and from other sources. See Methods for further details.

² NTP available funding for 2004-2007 is based on the amount of funding actually received, using retrospective data; available funding for 2002-2003 and 2008-2009 is based on prospectively reported budget data, and estimated as the total budget minus any reported funding gap.

- indicates not available or not applicable; pop, population; ss+, sputum smear-positive; ss-, sputum smear-negative pulmonary; unk, pulmonary - sputum smear not done or result unknown.

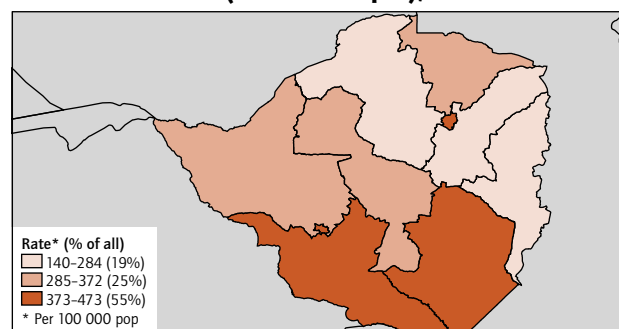
Zimbabwe

The TB control programme has been adversely affected by a lack of adequate financial, human and material resources. The recording and reporting system is unable to provide reliable data on DOTS implementation, collaborative TB/HIV activities or MDR-TB management. Funding from round 5 of the Global Fund grant and the successful round 8 Global Fund application should help revive basic TB control in the country. However, without a functional health-care system, progress is likely to be slow.

SURVEILLANCE AND EPIDEMIOLOGY

Population (thousands) ^a	13 349	
Estimates of epidemiological burden, 2007^b	ALL	IN HIV+ PEOPLE
Incidence		
All forms of TB (thousands of new cases per year)	104	72
All forms of TB (new cases per 100 000 pop./year)	782	539
Rate of change in incidence rate (%), 2006-2007	-2.6	-5.5
New ss+ cases (thousands of new cases per year)	40	25
New ss+ cases (per 100 000 pop./year)	298	189
HIV+ incident TB cases (% of all TB cases)	69	—
Prevalence		
All forms of TB (thousands of cases)	95	36
All forms of TB (cases per 100 000 pop)	714	270
2015 target for prevalence (cases per 100 000 pop)	205	—
Mortality		
All forms of TB (thousands of deaths per year)	35	28
All forms of TB (deaths per 100 000 pop./year)	265	213
2015 target for mortality (deaths per 100 000 pop./year)	70	—
Multidrug-resistant TB (MDR-TB)		
MDR-TB among all new TB cases (%)	1.9	—
MDR-TB among previously treated TB cases (%)	8.3	—

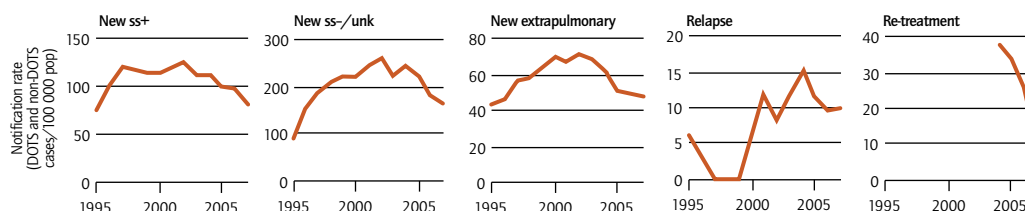
TB notification rate (new and relapse), 2007



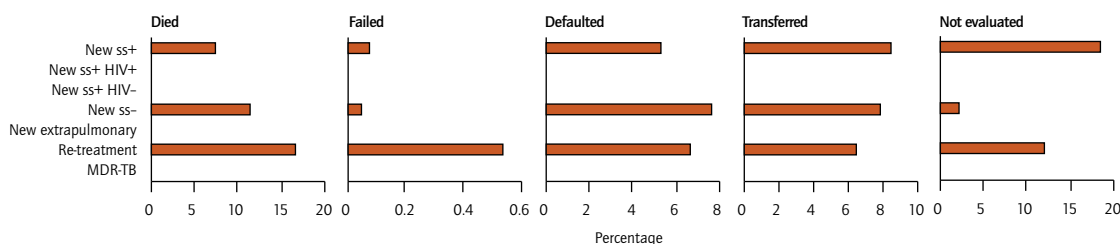
Total notifications, 2007

Notified new and relapse cases (thousands)	40
Notified new and relapse cases (per 100 000 pop./year)	302
Notified new ss+ cases (thousands)	11
Notified new ss+ cases (per 100 000 pop./year)	79
as % of new pulmonary cases	33
sex ratio (male/female)	1.1
DOTS case detection rate (% of estimated new ss+)	27
Notified new extrapulmonary cases (thousands)	6.4
as % of notified new cases	16
Notified new ss+ cases in children (<15 years) (thousands)	0.3
as % of notified new ss+ cases	3.1

Case notifications



Unfavourable treatment outcomes, 2006 cohorts



	2000	2001	2002	2003	2004	2005	2006	2007
DOTS coverage (%)	100	100	100	100	100	100	100	100
Notification rate (new & relapse cases/100 000 pop)	402	440	460	411	431	385	335	302
% notified new & relapse cases reported under DOTS	100	100	100	100	100	100	100	100
Notification rate (new ss+ cases/100 000 pop)	114	120	124	112	112	100	96	79
% notified new ss+ cases reported under DOTS	100	100	100	100	100	100	100	100
Case detection rate (all new cases, %)	59	58	57	48	50	45	41	37
Case detection rate (new ss+ cases, %)	45	44	42	36	36	32	32	27
Treatment success (new ss+ patients, %)	69	71	67	66	54	68	60	—
Re-treatment success (ss+ patients, %)	—	61	63	54	53	60	57	—

Note: notification, case detection and treatment success rates are for the whole country (i.e. DOTS and non-DOTS cases combined).

DOTS EXPANSION AND ENHANCEMENT

Overview of services for diagnosis of TB and treatment of patients

Description of basic management unit	Hospital
Number of units (DOTS/total), 2007	64/64
Location of NTP services	
Rural	Rural health centre
Urban	Urban clinic
NTP services part of general primary health-care network?	Yes
Location where TB diagnosed	
Rural	District hospital
Urban	Hospital
Diagnosis free of charge?	Yes (all suspects)
Treatment supervised?	All patients in some units
Intensive phase	–
Continuation phase	–
Category I regimen	2(HRZE)/4(HR)
Treatment free of charge	All patients in all units
External review missions	last: 2008 next: –

Political commitment

National strategic plan?	Yes (2006–2010)
Mechanism for national interagency coordination?	Yes (established 2008)
National Stop TB Partnership?	No (planned 2009)

Financial indicators, 2009

(see final page for detailed presentation)	%
Government contribution to NTP budget (incl loans)	3.7
Government contribution to total cost TB control (incl loans)	22
Government health spending used for TB control	18
NTP budget funded	46

Per capita health financial indicators, 2009

	US\$
NTP budget per capita	1.3
Total costs for TB control per capita	1.6
Funding gap per capita	0.7
Government health expenditure per capita (2005)	9.2
Total health expenditure per capita (2005)	21

Quality-assured bacteriology

National reference laboratory?	Yes
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All TB laboratories performing EQA of smear microscopy or DST under the supervision of the National Reference Laboratory

	Smear				Culture		DST			
	Number	per 100 000	EQA	% adeq perf	Number	per 5 000 000	Number	per 10 000 000	EQA	% adeq perf
2007	180	1.3	0	–	1	0.4	1	0.7	0	–
2008	180	1.3	12	–	1	0.4	1	0.7	1.0	–

Note: for routine diagnosis, there should be at least one laboratory providing smear microscopy per 100 000 population. To provide culture for diagnosis of paediatric, extra-pulmonary and ss-/HIV+ TB, as well as DST of re-treatment and failure cases, most countries will need one culture facility per 5 million population and one DST facility per 10 million population. EQA column shows number of laboratories for which EQA was done. Adeq perf; adequate performance for microscopy based on results of EQA.

System for managing drug supplies and laboratory equipment

	Central level			Peripheral level		
	2005	2006	2007	2005	2006	2007
Stock-outs of laboratory supplies?	–	No	Yes	–	Some units	Some units
Stock-outs of first-line anti-TB drugs?	Yes	Yes	Yes	Yes	Some units	Some units

Monitoring and evaluation system, and impact measurement

NTP publishes annual report?	Yes (since –)	Burden and impact assessment	
		last	next
% of BMUs reporting to next level in 2007		In-depth analysis of routine surveillance data	Yes 2007 2008
Case-finding	98%	Prevalence of disease survey	No – 2010
Treatment outcomes	98%	Prevalence of infection survey	No – –
		Drug resistance survey	Yes, sub-national 1995 –
		Mortality survey	No – –
		Analysis of vital registration data	Yes 2007 2008

MDR-TB, TB/HIV AND OTHER CHALLENGES

Multidrug-resistant TB (MDR-TB)	2005	2006	2007
	Number (% of estimated ss+ MDR-TB)		
Estimated incidence of ss+ MDR cases	1 669	1 644	1 620
Diagnosed and notified	– (–%)	– (–%)	– (–%)
Registered for treatment	– (–%)	– (–%)	– (–%)
GLC	0	0	0
non-GLC	–	–	–

MDR-TB, TB/HIV AND OTHER CHALLENGES (continued)**Detection and treatment of HIV in TB patients, 2007**

TB patients for whom the HIV test result was known	5 252
as % of all notified TB patients	13
TB patients with positive HIV test	4 373
as % of all estimated HIV+ TB cases	6.1
HIV+ TB patients started or continued on CPT	4 373
as % of HIV+ TB patients notified	100
HIV+ TB patients started or continued on ART	—
as % of HIV+ TB patients notified	—

Screening for TB in HIV-positive patients, 2007

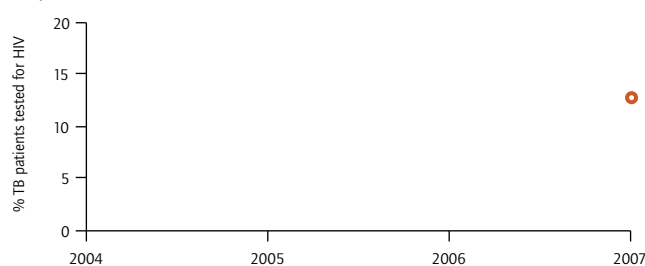
HIV+ patients in HIV care or ART register	142 057
Screened for TB	—
as % of HIV+ patients in HIV care or ART register	—
Started on TB treatment	—
as % of HIV+ patients in HIV care or ART register	—
Started on IPT	—
as % of HIV+ patients without TB in HIV care or ART register	—

High-risk groups, 2007

Number of close contacts of ss+ TB patients screened	—
Number of TB cases identified among contacts	—
% of contacts with TB	—
Contacts started on IPT	—
% of contacts without TB on IPT	—

HIV testing for TB patients

2007 is the first year for which data are available on HIV testing among TB patients

**CPT and ART for HIV-positive TB patients**

Data on the provision of ART to HIV-positive TB patients are not available; all HIV-positive TB patients receive CPT

**CONTRIBUTING TO HEALTH SYSTEM STRENGTHENING**

Since they were first introduced, activities to control TB have been fully integrated within primary health-care services. The roles and responsibilities of different levels of the health system are clearly defined for TB control. The main health system challenges are shortages of adequately trained staff due to high turnover and emigration, insufficient access to and availability of laboratory diagnostic services (including reagents, materials and staff), and insufficient funding for supervision, monitoring and evaluation and training at all levels.

Practical Approach to Lung Health (PAL), 2007

Number of health-care facilities providing PAL services	0	As % of total number of health-care facilities	0
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ENGAGING ALL CARE PROVIDERS**Public-public and public-private approaches (PPM), 2007**

	Number collaborating (total number of providers)	% total notified TB	
		Diagnosed	Treated
Public sector	— (-)	—	—
Private sector	— (-)	—	—

International Standards for Tuberculosis Care (ISTC)

ISTC endorsed by professional organizations?	No
ISTC included in medical curriculum?	No

EMPOWERING PEOPLE WITH TB, AND COMMUNITIES**Advocacy, communication and social mobilization (ACSM)**

In 2008, the main ACSM activity was commemoration of World TB Day. This included events attended by the Minister of Health and several MPs, and broadcasting of three radio programmes that featured TB.

Community participation in TB care and Patients' Charter

There are ongoing efforts to improve the quality and scope of community-based activities, both to ensure the quality of care and to increase the demand for services to control TB.

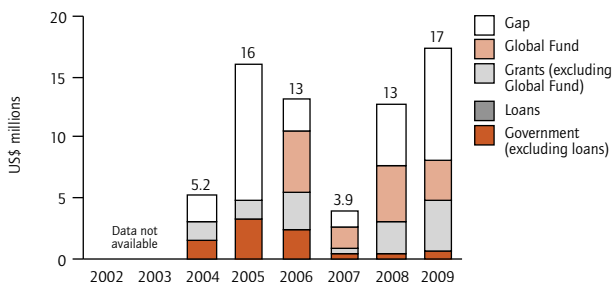
ENABLING AND PROMOTING RESEARCH**Programme-based operational research, 2007**

Operational research budget (% of NTP budget)	3.3%
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FINANCING

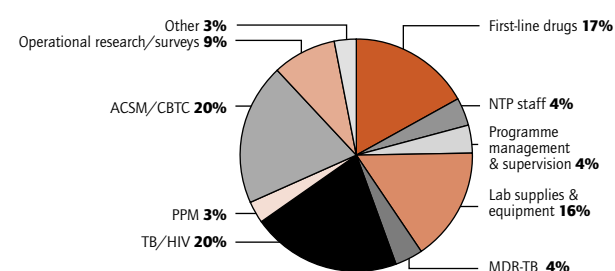
a. NTP budget by source of funding

Increased budget in 2009 with increased funding from external donors other than the Global Fund; large funding gap remains



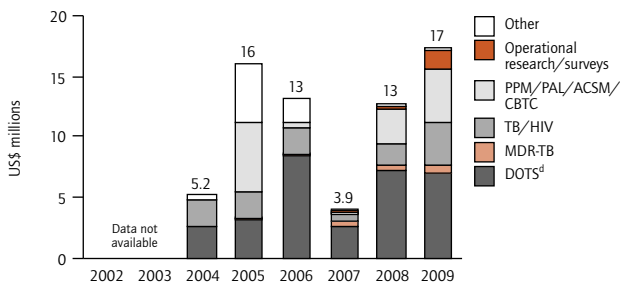
b. NTP budget line items in 2009

DOTS implementation accounts for the highest share of the budget, followed by ACSM; operational research includes surveys of MDR/XDR and HIV among TB patients



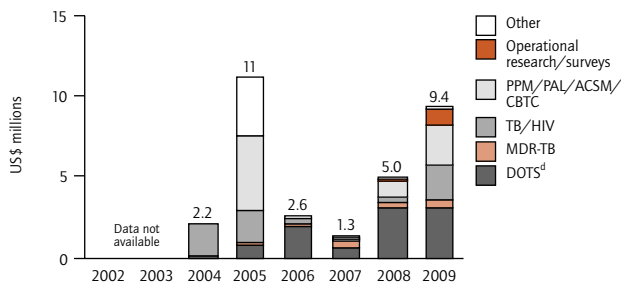
c. NTP budget by line item

Within DOTS, increased budget for laboratory is primarily to equip the second culture and DST laboratory in the capital city



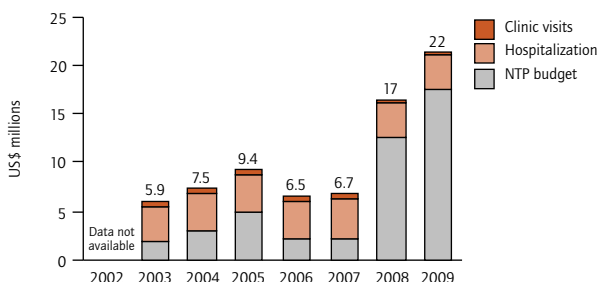
d. NTP funding gap by line item

Funding gap within DOTS mainly for laboratory supplies and equipment



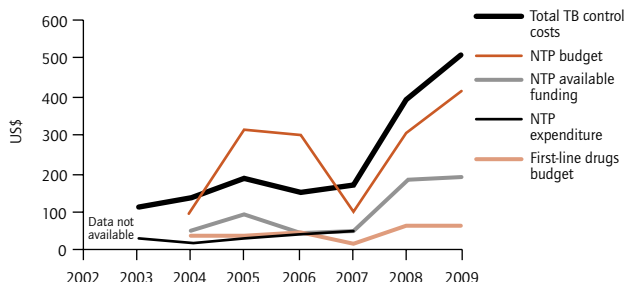
e. Total TB control costs by line item¹

Hospitalization based on estimates that 85% of new ss+ patients and 55% of new ss- /extrapulmonary patients are hospitalized for 14 and 21 days respectively



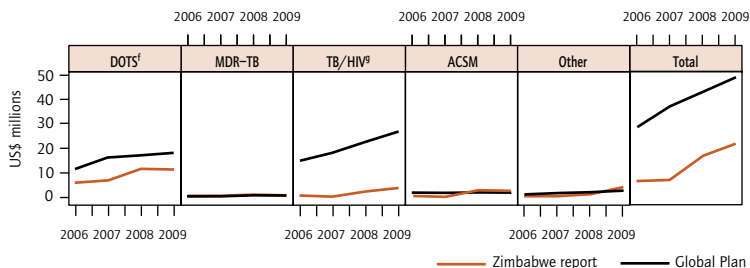
f. Per patient costs, budgets and expenditures²

Increasing cost, budget and available funding per patient from 2007



g. Global Plan compared with country reports³

Country implementation of TB control activities 2006–2007 focused on DOTS only; country plan for 2008–2009 incorporates other elements of Stop TB Strategy; biggest difference with Global Plan is in estimated funding requirements for TB/HIV



h. NTP budget and funding gap by Stop TB Strategy component (US\$ millions)

	2009 BUDGET	GAP
DOTS expansion and enhancement	7.0	3.0
TB/HIV, MDR-TB and other challenges	4.3	2.7
Health system strengthening	0.3	0.2
Engage all care providers	0.6	0.6
People with TB, and communities	3.5	1.6
Research and surveys	1.6	1.1
Other	0.3	0.1

SOURCES, METHODS AND ABBREVIATIONS

^{a-g} Please see footnotes page 169.

¹ Total TB control costs for 2003 and 2006–2007 are based on expenditure, whereas those for 2004–2005 are based on available funding, and those for 2008–2009 are based on budgets. Estimates of the costs of clinic visits and hospitalization are WHO estimates based on data provided by the NTP and from other sources. See Methods for further details.

² NTP available funding for 2006–2007 is based on the amount of funding actually received, using retrospective data; available funding for 2004–2005 and 2008–2009 is based on prospectively reported budget data, and estimated as the total budget minus any reported funding gap.

- indicates not available or not applicable; pop, population; ss+, sputum smear-positive; ss-, sputum smear-negative pulmonary; unk, pulmonary - sputum smear not done or result unknown.

Footnotes

- ^a *World population prospects – the 2006 revision*. New York, United Nations Population Division, 2007.
- ^b For data sources and analytical methods, see Annexes 2 and 3.
- ^c For a definition of public and private sector and the categories of provider considered in each case, see Chapter 2 and the 2008 WHO TB data collection form.
- ^d DOTS includes the following components: first-line drugs, NTP staff, programme management and supervision, and laboratory supplies and equipment.
- ^e Estimates in the Global Plan were presented at regional rather than country level. See Methods for explanation of calculation of individual country estimates from regional estimates. Other includes budget for PPM, PAL, operational research, surveys and other.
- ^f DOTS includes the cost of clinic visits and hospitalization.
- ^g Global Plan estimates cover the full costs of collaborative TB/HIV activities, but these costs may be budgeted for by either the NTP or the National AIDS Control Programme. In this graph, country reports include only the NTP budget. This may explain the apparent discrepancy between the Global Plan and country reports.

Methods

A.2.1 Data collection and verification – an overview

Every year since 1995, WHO has requested information about TB control from all countries and territories via a standard data collection form that is sent to NTPs or other relevant public health authorities.¹ The latest form, which was identical for all countries,² was distributed in mid-2008. It had three major components: case notifications and treatment outcomes; data related to implementation of the Stop TB Strategy; and financing. Forms returned to WHO are systematically reviewed by staff in country and regional offices and at headquarters. An acknowledgement message that includes follow-up questions if appropriate (for example if some data are missing or if responses appear inconsistent with those from previous years) is sent back to the NTP correspondent (or equivalent) and used as the basis for producing a final dataset. In the WHO European Region only, data collection and verification are undertaken jointly by the WHO regional office and the European Centre for Disease Prevention and Control (ECDC).

Finalized data are used to compile country profiles (such as those that appear in **ANNEX 1**) as well as the summary analyses that appear in **CHAPTERS 1–3** and the regional and country-specific data presented in **ANNEX 3** and **ANNEX 4**. Regional analyses are generally undertaken for the six WHO regions (that is, the African Region, the Region of the Americas, the Eastern Mediterranean Region, the European Region, the South-East Asia Region and the Western Pacific Region). For analyses of epidemiological trends at the regional level, the African Region is divided into countries with low and high rates of HIV infection (with “high” defined as an infection rate of $\geq 4\%$ in adults aged 15–49 years in 2004, as estimated by UNAIDS); central and eastern Europe (countries of the former Soviet states plus Bulgaria and Romania) are also distinguished; and countries in western Europe are analysed together with other high-income countries.³ The countries within each of the resulting nine subregions are:

Africa – countries with high HIV prevalence: Botswana, Burkina Faso, Burundi, Cameroon, the Central African Republic, Chad, the Congo, Côte d’Ivoire, the Democratic Republic of the Congo, Equatorial Guinea, Ethiopia, Gabon, Kenya, Lesotho, Liberia, Malawi, Mozambique, Namibia, Nigeria, Rwanda, South Africa, Swaziland, Uganda, the United Republic of Tanzania, Zambia, Zimbabwe.

Africa – countries with low HIV prevalence: Algeria, Angola, Benin, Cape Verde, the Comoros, Eritrea, the Gambia, Ghana, Guinea, Guinea-Bissau, Madagascar, Mali, Mauritania, Mauritius, the Niger, Sao Tome and Principe, Senegal, Seychelles, Sierra Leone, Togo.

Central Europe: Albania, Bosnia and Herzegovina, Croatia, Hungary, Montenegro, Poland, Serbia, Slovakia, the former Yugoslav Republic of Macedonia, Turkey.

Eastern Europe: Armenia, Azerbaijan, Belarus, Bulgaria, Georgia, Kazakhstan, Kyrgyzstan, Latvia, Lithuania, the Re-

public of Moldova, Romania, the Russian Federation, Tajikistan, Turkmenistan, Ukraine, Uzbekistan.

Eastern Mediterranean: Afghanistan, Djibouti, Egypt, the Islamic Republic of Iran, Iraq, Jordan, Lebanon, the Libyan Arab Jamahiriya, Morocco, Oman, Pakistan, Somalia, the Sudan, the Syrian Arab Republic, Tunisia, the West Bank and Gaza Strip, Yemen.

High-income countries: Andorra, Antigua and Barbuda, Australia, Austria, the Bahamas, Bahrain, Barbados, Belgium, Bermuda, the British Virgin Islands, Brunei Darussalam, Canada, the Cayman Islands, China Hong Kong Special Administrative Region, China Macao Special Administrative Region, Cyprus, the Czech Republic, Denmark, Estonia, Finland, France, French Polynesia, Germany, Greece, Guam, Iceland, Ireland, Israel, Italy, Japan, Kuwait, Luxembourg, Malta, Monaco, the Netherlands, the Netherlands Antilles, New Caledonia, New Zealand, Norway, Portugal, Puerto Rico, Qatar, the Republic of Korea, San Marino, Saudi Arabia, Singapore, Slovenia, Spain, Sweden, Switzerland, Trinidad and Tobago, the Turks and Caicos Islands, the United Arab Emirates, the United Kingdom, the United States, the United States Virgin Islands.

Latin America: Anguilla, Argentina, Belize, Bolivia, Brazil, Chile, Colombia, Costa Rica, Cuba, Dominica, the Dominican Republic, Ecuador, El Salvador, Grenada, Guatemala, Guyana, Haiti, Honduras, Jamaica, Mexico, Montserrat, Nicaragua, Panama, Paraguay, Peru, Saint Kitts and Nevis, Saint Lucia, Saint Vincent and the Grenadines, Suriname, Uruguay, Venezuela.

South-East Asia: Bangladesh, Bhutan, the Democratic People’s Republic of Korea, India, Indonesia, Maldives, Myanmar, Nepal, Sri Lanka, Thailand, Timor-Leste.

Western Pacific: American Samoa, Cambodia, China, Cook Islands, Fiji, Kiribati, the Lao People’s Democratic Republic, Malaysia, the Marshall Islands, Micronesia, Mongolia, Nauru, Niue, the Northern Mariana Islands, Palau, Papua New Guinea, the Philippines, Samoa, Solomon Islands, Tokelau, Tonga, Vanuatu, Viet Nam, Wallis and Futuna.

Before publication, country profiles are reviewed by NTPs; **ANNEX 1** and **ANNEX 3** are also reviewed by regional and country offices.

NTPs that respond to WHO are asked to update information for earlier years where possible. As a result, the data (case notifications, treatment outcomes, etc.) presented in this report may differ from those published in previous reports.

The annual data collection form used by WHO is designed for collection of aggregated national data. It is not recom-

¹ Posted at http://www.who.int/entity/tb/publications/global_report/2009.

² In previous years, separate questionnaires were sent to HBCs and other countries, and questions related to TB/HIV were more detailed for a set of global priority countries.

³ As defined by the World Bank. High-income countries are those with a per capita gross national income (GNI) of US\$ 11 116 or more.

TABLE A2.1
Definitions of tuberculosis cases and treatment outcomes

A. DEFINITIONS OF TUBERCULOSIS CASES

CASE OF TUBERCULOSIS A patient in whom tuberculosis has been confirmed by bacteriology or diagnosed by a clinician.

DEFINITE CASE A patient with positive culture for the *Mycobacterium tuberculosis* complex. In countries where culture is not routinely available, a patient with one sputum smear positive for acid-fast bacilli (AFB+) is also considered a definite case.

PULMONARY CASE A patient with tuberculosis disease involving the lung parenchyma.

SMEAR-POSITIVE PULMONARY CASE A patient with one or more initial sputum smear examinations (direct smear microscopy) AFB+.

SMEAR-NEGATIVE PULMONARY CASE A patient with pulmonary tuberculosis not meeting the above criteria for smear-positive disease. Diagnostic criteria should include: at least two sputum smear examinations negative for AFB; and radiographic abnormalities consistent with active pulmonary tuberculosis; and no response to a course of broad-spectrum antibiotics (except in a patient for whom there is laboratory confirmation or strong clinical evidence of HIV infection); and a decision by a clinician to treat with a full course of antituberculosis chemotherapy; or positive culture but negative AFB sputum examinations.

EXTRAPULMONARY CASE A patient with tuberculosis of organs other than the lungs (e.g. pleura, lymph nodes, abdomen, genitourinary tract, skin, joints and bones, meninges). Diagnosis should be based on one culture-positive specimen, or histological or strong clinical evidence consistent with active extrapulmonary disease, followed by a decision by a clinician to treat with a full course of antituberculosis chemotherapy. A patient in whom both pulmonary and extrapulmonary tuberculosis has been diagnosed should be classified as a pulmonary case.

NEW CASE A patient who has never had treatment for tuberculosis or who has taken antituberculosis drugs for less than one month.

RE-TREATMENT CASE A patient previously treated for TB, who is started on a re-treatment regimen after previous treatment has failed (treatment after failure), who returns to treatment having previously defaulted (see below; treatment after default), or who was previously declared cured or treatment completed and is diagnosed with bacteriologically positive (sputum smear or culture) TB (relapse).

B. DEFINITIONS OF TREATMENT OUTCOMES
(expressed as a percentage of the number registered in the cohort)

CURED A patient who was initially smear-positive and who was smear-negative in the last month of treatment and on at least one previous occasion.

COMPLETED TREATMENT A patient who completed treatment but did not meet the criteria for cure or failure. This definition applies to pulmonary smear-positive and smear-negative patients and to patients with extrapulmonary disease.

DIED A patient who died from any cause during treatment.

FAILED A patient who was initially smear-positive and who remained smear-positive at month 5 or later during treatment.

DEFAULTED A patient whose treatment was interrupted for 2 consecutive months or more.

TRANSFERRED OUT A patient who transferred to another reporting unit and for whom the treatment outcome is not known.

SUCCESSFULLY TREATED A patient who was cured or who completed treatment.

COHORT A group of patients in whom TB has been diagnosed, and who were registered for treatment during a specified time period (e.g. the cohort of new smear-positive cases registered in the calendar year 2005). This group forms the denominator for calculating treatment outcomes. The sum of the above treatment outcomes, plus any cases for whom no outcome is recorded (e.g. "still on treatment" in the European Region) should equal the number of cases registered. Some countries monitor outcomes among cohorts defined by smear and/or culture, and define cure and failure according to the best laboratory evidence available for each patient.

mended for collection of data within countries. Recommendations about recording and reporting of data within countries, starting from the lowest administrative level, are available in other WHO publications.¹

A2.2 Epidemiology and surveillance

A2.2.1 Data collected

The section of the data collection form on epidemiology and surveillance requested data about TB case notifications in 2007, HIV testing for TB patients in 2007, testing of TB patients for MDR-TB in 2007, treatment outcomes for TB patients registered during 2006, and treatment outcomes for MDR-TB patients registered in 2004, 2005 and 2006 (with final outcomes requested for the 2004 cohort and interim outcomes requested for the 2005 and 2006 cohorts). The main case definitions are given in **TABLE A2.1**.

The data collection form used in the WHO European Region asked for additional data, including a breakdown of all TB cases by age, sex, HIV status and geographical origin (for example, patients born outside the country or non-citizens). Data on case notifications classified according to diagnosis based on culture (as well as sputum smears) were also requested.

A2.2.2 Estimates of TB incidence, prevalence and mortality – general approach and data sources

Estimates of TB incidence, prevalence and mortality are based on a consultative and analytical process. They are revised annually to reflect new information gathered through surveillance (case notifications and death registrations) and from special studies (including surveys of the prevalence of disease and in-depth analysis of surveillance data). Full details about estimation methods are provided in publications in peer-reviewed journals.^{2,3,4} In 2007, WHO also prepared a series of country-by-country explanations of these estimates (for each country, there is one Word file with a text explanation of the key methods, and one Excel file that sets out the data, assumptions and calculations), as well as a general overview of methods. These documents were designed to be accessible to those without expertise in epidemiology, and will be updated in 2009. The documents are available from WHO upon request.

Two more recent publications provide up-to-date guidance about how TB incidence, prevalence and mortality should

¹ WHO recommendations for recording and reporting are described at: http://www.who.int/tb/dots/r_and_r_forms/en/index.html

² Dye C et al. Global burden of tuberculosis: estimated incidence, prevalence and mortality by country. *Journal of the American Medical Association*, 1999, 282:677–686.

³ Corbett EL et al. The growing burden of tuberculosis: global trends and interactions with the HIV epidemic. *Archives of Internal Medicine*, 2003, 163:1009–1021.

⁴ Dye C et al. Evolution of tuberculosis control and prospects for reducing tuberculosis incidence, prevalence, and deaths globally. *Journal of the American Medical Association*, 2005, 293:2767–2775.

be measured^{1,2} based on the work of the WHO Global Task Force on TB Impact Measurement. These documents can be read in conjunction with the list of countries where surveys of the prevalence of TB disease have been implemented or are planned in the near future (ANNEX 4), with the set of countries that now register deaths by cause of death and provide these data to WHO (ANNEX 4), and with existing or planned work on impact measurement as reported by the HBCs (ANNEX 1, see "Monitoring and evaluation, and impact measurement" sections of country profiles).

Where population sizes are needed to calculate TB indicators, we use the latest revision of estimates provided by the United Nations Population Division.³ These estimates sometimes differ from those made by countries. Discrepancies in population estimates that make a difference to TB estimates published by WHO are explained in the country notes at the beginning of ANNEX 3.

Until 2008, most analyses were undertaken using Excel software. During 2008, a new system for producing estimates using R software⁴ has been developed and run in parallel with analyses undertaken in Excel. Following checks that have verified that both systems produce the same results, full substitution of Excel with R will occur in 2009. Advantages of programming the calculations required to produce estimates of TB incidence, prevalence and mortality in R include enhanced reliability, efficiency, and transparency of methods and results. The software also provides much greater capacity to use Monte Carlo simulations to analyse the sensitivity of estimates to different parameters and to produce confidence intervals as well as point estimates.

A2.2.3 Estimates of TB incidence, 1990–2007

Estimates of the incidence of TB for each country are first made for a reference year using one of the four equations shown below. The reference year is the year for which a best estimate of incidence is available. For most countries (n=148) this is 1997, when a global consultation process was used to produce estimates of incidence for all countries. For an increasing number of countries, the reference year is more recent and is the year in which a survey of the prevalence of TB disease or a rigorous analysis of surveillance data was carried out.

incidence =	$\frac{\text{case notifications}}{\text{proportion of cases detected}}$	1
incidence =	$\frac{\text{prevalence}}{\text{duration of condition}}$	2
incidence =	annual risk of infection x Styblo coefficient	3
incidence =	$\frac{\text{deaths}}{\text{proportion of incident cases that die}}$	4

TABLE A.3.1 summarizes the number of countries for which each method is used.

The Styblo coefficient (equation 3) has conventionally been assumed to be a constant, with an empirically derived value in the range 40–60. This coefficient relates the annual risk of infection (ARI) (% per year) to the incidence of sputum smear-positive cases (per 100 000 population per year). There is increasing evidence to suggest that the Styblo coefficient is not constant⁵ and that its value is difficult to predict.⁶ For this reason, use of this method to estimate incidence is being phased out.

Once incidence has been estimated for a reference year, estimates of incidence for each country in surrounding years (back to 1990, forward to 2007) are made in one of five ways:

1. From country-specific time-series of case notifications, based on the assumption that the trend in incidence (of all forms of TB) is the same as the trend in notifications of all new and relapse TB cases.⁷ Time-series of notifications are constructed in one of three ways. If the rate of change in case notifications has been roughly constant through time, exponential trends are fitted to the notification series. If the case notification rate has varied through time, the trend is estimated as a three-year moving average of the notification rate. For countries with a small population, a high estimated case detection rate and surveillance data of high quality, incidence is allowed to mirror annual changes in notifications (on the basis that such changes are stochastic and to avoid substantial year-to-year fluctuation in the case detection rate).
2. From regional time-series of case notifications that are constructed using data from a subset of countries in the region for which notification data are considered to be reliable, with the assumption that the trend in incidence (of all forms of TB) is the same as the regional trend in notifications of all new and relapse TB cases. This method is used for countries where case notifications are assessed to be an unreliable guide to trends in TB incidence (for example because the amount of effort invested in compiling and reporting data is known to have changed, or because reports are clearly erratic and changing in a way that cannot be attributed to real changes in the epidemiology of TB). The aggregated regional trend is based on fitting an exponential trend for the subregions of Africa

¹ Dye C. et al. Measuring tuberculosis burden, trends and the impact of control programmes. *Lancet Infectious Diseases* (published online 16 January 2008; <http://infection.thelancet.com>).

² Measuring progress in TB control: WHO policy and recommendations (policy paper). Geneva, World Health Organization, 2009 [in press].

³ *World population prospects – the 2006 revision*. New York, United Nations Population Division, 2007.

⁴ <http://www.r-project.org>

⁵ Dye C. Breaking a law: tuberculosis disobeys Styblo's rule. *Bulletin of the World Health Organization*, 2008, 86:4.

⁶ van Leth F, Van der Werf MJ, Borgdorff MW. Prevalence of tuberculous infection and incidence of tuberculosis: a re-assessment of the Styblo rule. *Bulletin of the World Health Organization*, 2008, 86:20–26.

⁷ The term "case notification", as used here, means that TB is diagnosed in a patient and is reported within the national surveillance system, and then to WHO.

low-HIV, Latin America, South-East Asia and the Western Pacific. The aggregated trend is based on a moving average for the subregions of Africa high-HIV, Central Europe, Eastern Europe, the Eastern Mediterranean and Established Market Economies.

3. From ARI data from tuberculin surveys. For a small and decreasing number of countries, trends in incidence are estimated from trends in the ARI, as measured in a series of tuberculin surveys.
4. From the assumption that TB incidence has been stable. For a few countries with no reliable data from which trends in incidence can be assessed (examples are Iraq and Pakistan, where data are hard to interpret and which are atypical within their own regions), the TB incidence rate per capita is assumed to have remained constant before and after the reference year.
5. From trends in TB mortality. For two countries (Brazil and South Africa), trends in incidence are estimated from trends in TB mortality, as measured from vital registration data.

Further details are available in the publications and other reference material cited in **A2.2.2. TABLE A.3.1** in **ANNEX 3** summarizes the number of countries for which each method is used.

A2.2.4 Estimates of the prevalence of HIV among incident cases of TB, 1990–2007

The prevalence of HIV among incident TB cases was directly estimated from country-specific and empirical data wherever possible. For the estimates published in this report, such data were available for 64 countries from either national surveys (7 countries), sentinel surveillance systems (8 countries) or provider-initiated HIV testing results of at least 50% of notified new cases (49 countries).

Before using results from routine HIV testing with no adjustment for the coverage of HIV testing, the relationship between estimates of the prevalence of HIV among TB patients and testing coverage was explored. This showed that there was no clear relationship between HIV prevalence and testing coverage (for example, that HIV prevalence fell as testing coverage increased). For this reason, no attempt was made to adjust estimates of HIV prevalence among TB patients to account for testing coverage.

For all remaining countries (that is, for countries where surveillance data were not available or where the percentage of TB patients being tested was below 50%), the prevalence of HIV was estimated indirectly according to equation 5, where t is HIV prevalence among incident TB cases, h is HIV prevalence in the general population (from the latest time-series published by UNAIDS) and ρ is the incidence rate ratio (IRR) (that is, the incidence rate of TB in HIV-positive people divided by the incidence rate of TB in HIV-negative people).¹

¹ Data on HIV prevalence in the general population are unpublished data provided to WHO by UNAIDS.

$$t = \frac{h \cdot \rho}{1 + h(\rho - 1)} \quad 5$$

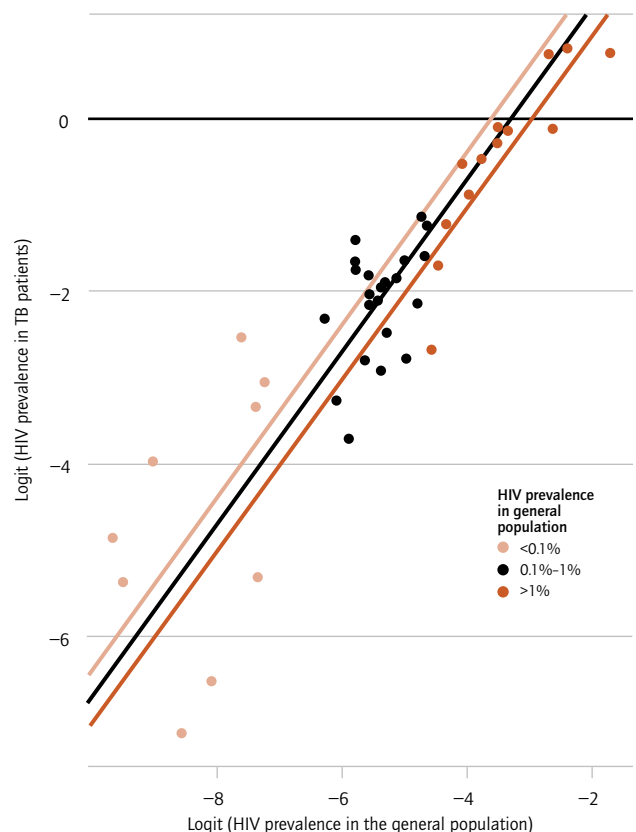
To estimate ρ from empirical data, equation 5 was rearranged as follows:

$$\rho = \frac{t \cdot (1 - h)}{h \cdot (1 - t)} \quad 6$$

Using data from 44 countries where HIV prevalence in the general population has been estimated by UNAIDS as an independent variable, a linear model of logit-transformed t was fitted using logit-transformed h . When applied to data from 2007, the model indicates an estimated slope that is not significantly different from 1 (**FIGURE A.2.1**). A model with a slope constrained to 1 was run separately for three levels of HIV epidemic. These were defined as HIV prevalence greater to or equal than 1% in the general population (high HIV), prevalence between 0.1% and 1% (medium HIV) and prevalence lower than 0.1% (low HIV, **FIGURE A2.1**). When exponentiated, the intercept equals the incidence rate ratio ρ . When data for 2007 were used, its value was 20.6 (95% confidence interval 15.4–27.5) for high HIV, which is much higher than the estimate of 6 that has been used in previous years. The estimated IRR for medium HIV was 26.7 (95% confidence interval 20.4–34.9) and for low HIV, 36.7 (11.6–116).

The predicted IRRs were also used to calculate the prevalence of HIV in TB cases for the years 1990–2006, using equa-

FIGURE A2.1
Relationship between the prevalence of HIV in TB patients and the prevalence of HIV in the general population



tion 5. Although existing data suggest that the IRR increases as HIV epidemics mature, there is large uncertainty about its trend. Therefore, estimates of HIV prevalence among TB cases in years before 2007 are more uncertain than the estimates for 2007.

Given a much higher estimate of the IRR compared with previous years, estimates of the number of HIV-positive cases published in this report (**CHAPTER 1; ANNEX 1; ANNEX 3**) are much higher than those published in previous years. Moreover, and as a direct consequence, estimates of TB mortality are also higher than estimates published in previous years. This is because mortality rates among HIV-positive TB cases are estimated to be much higher than those in HIV-negative TB cases (see also **A2.2.6**).

A2.2.5 Estimates of TB prevalence rates, 1990–2007

For all countries and all years, the prevalence of TB is generally estimated as incidence multiplied by the duration of disease (using equation 2 above). The exception is the reference year described in **A2.2.5**, if incidence in that year was based on the results of a prevalence survey (that is, equation 2 was used to estimate incidence in the reference year).

The duration of disease is estimated based on three considerations:

- a. whether TB cases are HIV-positive or HIV-negative;
- b. whether TB cases are sputum smear-positive or not;
- c. whether TB cases are treated in DOTS programmes, treated outside DOTS programmes, or untreated.

Five steps are used to estimate the average duration of disease in any given year and in any given country.

1. TB cases (the total number of estimated incident cases) are divided into two categories: cases that are HIV-positive and cases that are HIV-negative. The methods used to estimate the proportion of cases that are HIV-positive are described above in **A2.2.4**.
2. HIV-positive and HIV-negative cases are subdivided according to whether they are sputum smear-positive or not (thus giving four categories of incident TB case). For countries in all subregions except Latin America, it is assumed that 45% of HIV-negative and 35% of HIV-positive TB cases are sputum smear-positive. These two assumptions are based on observational data on the natural history of TB.¹ For countries in the Latin America subregion, it is assumed that 55% of HIV-negative and 45% of HIV-positive TB cases are sputum smear-positive.
3. Cases in each of the four categories resulting from step 2 are subdivided into three further subcategories: treated under DOTS, treated outside DOTS programmes, and untreated. This results in 12 categories of incident case.

¹ Dye C et al. Global burden of tuberculosis: estimated incidence, prevalence and mortality by country. *Journal of the American Medical Association*, 1999, 282:677–686.

For each of the four categories defined in step 2, the proportion treated under DOTS is calculated as DOTS notifications divided by the estimated total incidence, and is calculated separately for a) smear-positive cases and b) other types of case. The maximum proportion of cases that are untreated is estimated by smear status, based on previous reviews of data about access to health services, drug availability, healthcare infrastructure and other qualitative information. The proportion of cases that are treated outside DOTS programmes is estimated as either non-DOTS notifications divided by estimated incidence or as 100% minus the proportion treated under DOTS minus the maximum untreated proportion, whichever is larger. Once the DOTS and non-DOTS proportions have been calculated, the remainder is assumed to be untreated.

4. The average duration of disease is specified for each of the 12 subcategories resulting from step 3. The duration of disease is assumed to be shorter for cases treated in DOTS programmes, and shorter among untreated HIV-positive TB cases.
5. The overall duration of disease is estimated as a weighted average, using the numbers of cases in each of the 12 subcategories and the average duration of disease estimated for each of these 12 subcategories.

For the parameters used to estimate the average duration of disease, please consult the reference material cited in **A2.2.2**.

A2.2.6 Estimates of TB mortality rates, 1990–2007

The number of deaths from TB is estimated by multiplying TB incidence in each year by the estimated case fatality rate. Case fatality rates are first estimated for each of the 12 subcategories of case described in **A2.2.5**. The estimated case fatality rate for each subcategory is then multiplied by the number of incident cases in each subcategory (as described in Steps 1–3 in **A2.2.5**).

The following points are worth highlighting:

- case fatality rates are estimated to be lowest for cases treated in DOTS programmes, higher for cases that are treated outside DOTS programmes and highest for cases that are not treated at all. No adjustment to account for whether patients have drug-resistant TB or not is made;
- the case fatality rate for HIV-positive TB patients who are treated in DOTS programmes is assumed to be 10%. Patients who are HIV-positive and treated in non-DOTS programmes are assumed to have a higher case fatality rate, which is estimated on a country-by-country basis. No adjustment to account for whether or not patients are on antiretroviral treatment (ART) is made;
- the case fatality rate for untreated cases is assumed to be the same in all countries. This is an 83% case fatality rate for HIV-positive and sputum-smear positive cases; a 70% case fatality rate for HIV-negative and sputum-smear positive cases; a 74% case fatality rate for HIV-positive cases that are sputum-smear negative;

and a 20% case fatality rate for HIV-negative cases that are sputum-smear negative;

- the difference in the case fatality rate between smear-positive cases and other cases is assumed to be smaller among HIV-positive cases than among HIV-negative cases. This is because smear-negative status in an HIV-positive individual is not necessarily indicative of less severe disease.

A2.2.7 Estimates of MDR-TB

The proportion of new and retreatment cases with MDR-TB in 2007 was estimated using data from drug resistance surveys or routine surveillance (DRS)¹ for 113 (new cases) and 102 (retreatment cases) countries, respectively. For countries without empirical data, estimates of the proportion of new and retreatment cases with MDR-TB were made using statistical models that have been described elsewhere.²

The number of incident MDR cases m was computed according to the following equation, where ρ_n is the probability of MDR in new cases (with no history of previous treatment), ρ_r is the probability of MDR in re-treatment cases, c is the number of incident episodes of TB, n is the number of first episodes of MDR-TB and r is the number of any other subsequent episodes:

$$m = \sum_{i=n,r} \rho_i c_i$$

The incidence of subsequent episodes of MDR-TB was estimated using the following equation:

$$c_r = \frac{r}{n} c_n$$

Here, n is the number of newly notified TB cases and r is the number of notified re-treatment cases that occurred in 2007. The re-treatment ratio r/n was estimated as an average of the values observed in the three years 2005–2007.

Two quasi-binomial logistic regression models, in which the proportion of cases with MDR-TB was the dependent variable, were fitted for new cases and re-treatment cases separately. The independent variables used in the model for new cases were epidemiological region as defined in previously published analyses, the log of gross national income (GNI) per capita in 2008,³ and the re-treatment ratio r/n . The independent variables used in the model for re-treatment cases were epidemiological region (defined as for new cases), the prevalence of HIV in new TB cases and the reported rate of treatment failure in the cohort of new cases treated in 2006. Model fits were assessed using plots of binned residuals⁴ against various inputs of interest defined by the selected predictors, and estimates for both new and retreatment cases were adjusted to correct for over-dispersion.⁵

For both new and retreatment cases, the reported proportion of all TB cases that are re-treatment cases was a major influence on estimates of the number of cases that

have MDR-TB. In this context, it is important to note that re-treatment cases may be misclassified as new cases in some settings – for example, if the time taken to collect information about previous treatment is too short, if there is pressure to meet targets for case detection of new cases at the local level, and if there are errors in recording and reporting. If the proportion of cases that are retreatment cases has been underestimated, then the point estimates of the number of MDR cases will be too low and confidence intervals will underestimate the true uncertainty that is associated with these point estimates.

Estimates for 2005 and 2006 were produced by assuming that the probability of MDR-TB among new and retreatment cases has remained constant during the three years 2005–2007.

Estimates of the number of incident cases of MDR-TB, disaggregated by smear status, are presented in **ANNEX 3**. The method used to derive estimates of the frequency of MDR-TB in new and re-treatment cases (based on direct measurement from DRS or indirect estimation from modelling) is also presented in **ANNEX 3**. All re-treatment cases were assumed to be smear-positive. In some countries (for example, Australia and the United States), routine data on drug sensitivity were not available for new and retreatment cases separately; for these countries, only an estimate of the total number of MDR-TB cases is presented in **ANNEX 3**. Estimates of the number of smear-positive cases of MDR-TB in the years 2005–2007 are also presented in the country profiles that appear in **ANNEX 1**. These estimates can be used to set targets for detection and treatment of MDR-TB cases by NTPs.

It should be noted that estimates of the numbers of MDR cases presented in this report may substantially differ from those previously published by WHO. Differences are due to changes in estimation methods and new data, as opposed to real changes in the epidemiological burden of MDR-TB.

A2.2.8 Case notification and case detection

The term "case notification", as used here, means that TB is diagnosed in a patient and is reported within the national surveillance system, and then to WHO. While the emphasis is on new smear-positive cases, we also present the numbers of all

¹ *Anti-tuberculosis drug resistance in the world. Fourth global report: the WHO/IUATLD Global Project on Anti-tuberculosis Drug Resistance Surveillance*. Geneva, World Health Organization, 2008 (WHO/HTM/TB/2008.394).

² Zignol M et al. Global incidence of multidrug-resistant tuberculosis. *Journal of Infectious Diseases*, 2006, 194:479–485.

³ World Bank, 2008. See devdata.worldbank.org/data-query (accessed in December 2008).

⁴ Gelman A and Hill J. *Data Analysis Using Regression and Multilevel/Hierarchical Models*, Cambridge University Press, 2006.

⁵ Over-dispersion was measured by comparing the sum of squared standardized residuals to a χ^2 distribution with $n-k$ degrees of freedom, where n is the number of data points and k is the number of estimated model parameters. In quasi-binomial logistic models, the standard deviation has the form: $\sqrt{\omega np(1-p)}$, where $\omega > 1$ is the over-dispersion parameter. The over-dispersion parameter ω was estimated to be > 9 for both new and retreatment cases. Without adjustment for over-dispersion, confidence intervals would be too narrow, and the precision of estimates would be overstated.

TB cases reported – smear-positive and smear-negative pulmonary cases – in addition to those in whom extrapulmonary disease is diagnosed. The number of cases notified in any year is the sum of new and relapse cases. Case reports that represent a second registration of the same patient or episode (that is, re-treatment after failure or default) are presented separately.

The case detection rate is calculated as the number of cases notified in a given year divided by the number of incident cases estimated for that year, expressed as a percentage. Case detection is presented in three main ways: (a) for new smear-positive cases (excluding relapse cases); (b) for all new cases (all clinical forms of TB, excluding relapse cases); and (c) for smear-positive cases and all new cases, in DOTS programmes only.

$$\text{DOTS case detection rate} = \frac{\text{annual new smear-positive notifications (DOTS)}}{\text{estimated annual new smear-positive incidence (country)}} \quad 7$$

$$\text{Case detection rate} = \frac{\text{annual new smear-positive notifications (country)}}{\text{estimated annual new smear-positive incidence (country)}} \quad 8$$

The global target of a 70% case detection applies to the DOTS case detection rate in equation 7. Even when a country has not achieved full geographical coverage of DOTS, we use the incidence estimated for the whole country as the denominator of the DOTS case detection rate, as in equation 7. The DOTS case detection rate and the case detection rate for the whole country are identical when a country reports only from DOTS areas. This generally happens when DOTS coverage is 100%, but in some countries where DOTS is implemented in only part of the country, no TB notifications are received from the non-DOTS areas. Furthermore, in some countries where DOTS coverage is 100%, patients may seek treatment from non-DOTS providers that, in some cases, notify TB cases to the national authorities.

Although these indices are termed “rates”, they are actually ratios. The number of cases notified is usually smaller than the estimated incidence because of incomplete coverage by health services, under-diagnosis, or deficient recording and reporting. However, the calculated rate of case detection can exceed 100% if case-finding has been intense in an area with a backlog of existing cases, if there has been over-reporting (for example, double-counting) or over-diagnosis, or if estimates of incidence are too low. If the expected number of cases per year is very low (for example, less than one), the case detection rate can vary markedly from year to year because of chance. Whenever this index comes close to or exceeds 100%, we attempt to investigate, as part of the joint planning and evaluation process with NTPs, which of these explanations is correct.

For the first time, the country profiles in **ANNEX 1** include

maps that show subnational variation in notification rates. Geographical variation in notification rates may reflect true differences in TB incidence, or variation in other factors such as efforts to find and diagnose cases. If variation in notification rates is greater than would be expected by chance, further investigation to understand the reasons is warranted.

A2.2.9 Outcomes of treatment

The treatment success rate in DOTS programmes is defined as the percentage of new smear-positive patients who are cured (negative on sputum smear examination), plus the percentage who complete a course of treatment, without bacteriological confirmation of cure (**TABLE A2.1**). Cure and completion are among the six mutually exclusive treatment outcomes.¹ The sum of cases assigned to these outcomes, plus any additional cases registered but not assigned to an outcome, adds up to 100% of cases registered (that is, the treatment cohort).

In this report, the country profiles that appear in **ANNEX 1** show trends in treatment success rates for all notified cases (i.e. cases notified by DOTS and non-DOTS programmes) from 2000 to 2006. This indicator allows assessment of national changes in treatment success rates as well as the overall improvements that have been achieved as DOTS programmes have expanded. Where there has been substantial geographical expansion of DOTS since 2000, treatment success rates for DOTS and non-DOTS areas combined may be considerably different (typically lower) than treatment success rates for DOTS programmes specifically. Trends in treatment success rates for DOTS programmes only are presented in **ANNEX 3**.

We also compare the number of new smear-positive cases registered for treatment with the number of cases notified as smear-positive. All notified cases should be registered for treatment, and the numbers notified and registered should therefore be the same (discrepancies can arise, however, for example, when subnational reports are not received at the national level). If the number registered for treatment is not provided, we take as the denominator for treatment outcomes the number notified for that cohort year. If the sum of the six outcome categories is greater than the number registered (or the number notified), we use this sum as the denominator.

The number of patients presenting for a second or subsequent course of treatment, and the outcome of further treatment, are indicative of NTP performance and levels of drug resistance. We present in this report, where data are available, the numbers of patients registered for re-treatment, and the outcomes of re-treatment, for each of four registration categories: smear-positive re-treatment after relapse; failure; default; and other re-treatment (including pulmonary smear-negative and extrapulmonary).

The assessment of treatment outcomes for a given calendar year always lags case notifications by one year, to ensure

¹ *Treatment of tuberculosis: guidelines for national programmes*, 3rd ed. Geneva, World Health Organization, 2003 (WHO/CDS/TB/2003.313).

that all patients registered during that calendar year have completed treatment. For MDR-TB patients, who have longer treatment regimens, the lag is three years.

A2.3 Implementation of the Stop TB Strategy

The "strategy" section of the questionnaire described in A2.1 was structured around the six major components and sub-components of the Stop TB Strategy: pursue high-quality DOTS expansion and enhancement; address TB/HIV, MDR-TB and the needs of poor and vulnerable populations; contribute to health-systems strengthening based on primary health care; engage all care providers; empower people with TB, and communities through partnership; and promote and enable research. In 2008, greater emphasis was placed on the collection of quantitative data in a shorter and more user-friendly format, compared with the data collection form used in 2007. There was positive feedback about these changes, although the data that were reported show that it remains difficult for many countries to report accurate and quantitative data about several key elements of TB control. Examples include data related to the contribution of public-public and public-private mix (PPM) to case notifications and treatment, community-based TB care (CBTC), human resource development (HRD), the number of laboratories and the number of laboratory tests being done for different types of case, and advocacy, communication and social mobilization (ACSM).

Specific additional details about data collection or analysis for DOTS implementation, collaborative TB/HIV activities, diagnosis and treatment of MDR-TB and case detection through quality-assured bacteriology are provided below.

A2.3.1 DOTS and the Stop TB Strategy

Before the launch of the Stop TB Strategy in 2006, NTPs reporting to WHO were classified as either DOTS or non-DOTS, based on the elements listed in TABLES 2.1 AND 2.2 (see CHAPTER 2). To be classified as a country implementing DOTS in a given year, a country must have officially accepted and adopted the DOTS strategy in that year (or earlier), and must have implemented its four technical components in at least part of the country. Based on NTP responses to standard questions about policy – and usually on further discussion with the NTP – we accept or revise each country's own determination of its DOTS status.

DOTS coverage is defined as the percentage of the national population living in areas where health services have adopted DOTS. "Areas" are the lowest administrative or basic management units¹ in the country (townships, districts, counties, etc.). If an area (with its one or more health facilities) is considered by the NTP to have been a DOTS area in any given year, then all the cases registered and reported by the NTP in that area are considered DOTS cases, and the population living within the boundaries of that area counts towards the national DOTS coverage. In some cases, treatment providers that are not following DOTS guidelines (for example, private practitioners, or public health services outside the NTP such

as those within prisons) notify cases to the NTP. These cases are considered non-DOTS cases, even if they are notified from within DOTS areas. However, when certain groups of patients treated by DOTS services receive special regimens or management (for example, nomads placed on longer courses of treatment), these are considered DOTS cases. As the number of countries that are not implementing DOTS or that have not yet achieved national coverage is now small, DOTS coverage is becoming a less relevant indicator.

DOTS coverage as described above is a crude indicator of the actual proportion of people who have access to DOTS services. Where countries are able to provide more precise information about access to DOTS services, this information is reported in the country notes of ANNEX 3. The case detection rate (defined above in A2.2.8) is a more precise measure of DOTS implementation but is also more demanding of data.

A2.3.2 Collaborative TB/HIV activities

In 2002, questions on collaborative TB/HIV activities were introduced into the WHO data collection form for the first time and sent to 41 priority countries. These countries were selected because they accounted for 97% of the estimated global number of HIV-positive TB cases.² From 2003–2005, data on three aspects of collaborative TB/HIV activities were requested from all countries: HIV testing of TB patients, and provision of CPT and of ART to those TB patients found to be HIV positive. In 2005, all questions were sent to the 41 countries described above and to an additional 22 countries.³ These countries were added to the list of countries that were sent the full set of questions because they were defined by UNAIDS as having a generalized HIV epidemic (UNAIDS 2004).⁴ In 2006 and 2007, all questions were sent to all 63 countries. In 2008, all questions were sent to all countries.

For those indicators that require both a numerator and a denominator, countries reported only the numerator or only the denominator. Given this incompleteness in reporting, estimates of the proportion of HIV-positive TB cases treated with CPT and ART, and the proportion of TB cases tested that were HIV-positive, were based on "matched data", that is, reported figures are based on data from only those countries that

¹ The basic management unit is defined in terms of responsibility for management, supervision and monitoring. It may have several treatment facilities, one or more laboratories, and one or more hospitals. The defining aspect is the presence of a manager or coordinator who oversees TB control activities for the unit and who maintains a master register of all TB patients being treated, which is used to monitor the programme and report on indicators to higher levels.

² The 41 countries are Angola, Botswana, Brazil, Burkina Faso, Burundi, Cambodia, Cameroon, the Central African Republic, Chad, China, the Congo, Côte d'Ivoire, Djibouti, the Democratic Republic of the Congo, Ethiopia, Ghana, Haiti, India, Indonesia, Kenya, Lesotho, Malawi, Mali, Mozambique, Myanmar, Namibia, Nigeria, the Russian Federation, Rwanda, Sierra Leone, South Africa, the Sudan, Swaziland, Thailand, Togo, Uganda, Ukraine, the United Republic of Tanzania, Viet Nam, Zambia and Zimbabwe.

³ The 22 countries are the Bahamas, Barbados, Belize, Benin, the Dominican Republic, Equatorial Guinea, Eritrea, Estonia, Gabon, Guatemala, Guinea, Guinea-Bissau, Guyana, Honduras, Jamaica, Liberia, Madagascar, the Niger, Panama, Somalia, Suriname, and Trinidad and Tobago.

⁴ HIV prevalence estimates for 2004 (unpublished data) Geneva, Joint United Nations Programme on HIV/AIDS.

provided data on both the numerator and the denominator.

Indicators for monitoring and evaluating collaborative TB/HIV activities are available from WHO.¹

A2.3.3 Diagnosis and management of MDR-TB

In addition to the standard data collection form, we also use data compiled through the monitoring process of the Green Light Committee.

In **CHAPTER 2**, particular attention is given to 27 countries that have been prioritized at global level. These countries were defined using the following criteria:

- the estimated number of MDR-TB cases exceeds 4000 per year; and/or
- the proportion of TB cases that is estimated to have MDR-TB exceeds 10%.

A2.3.4 Early case detection through quality-assured bacteriology

Between 2003 and 2005, data about laboratory services were collected from HBCs using a stand-alone questionnaire. In 2006, questions on laboratory services were introduced into the annual WHO data collection form for the first time, and data were requested from all countries. In 2007, questions were asked about the presence of a national reference laboratory (NRL), the number of microscopy, culture and DST laboratories, the number of microscopy laboratories for which external quality assurance (EQA) was carried out, the frequency of stock-outs of reagents at central and peripheral levels, and collaboration with non-NTP laboratories. These questions were retained in 2008, and supplemented by questions about the uptake of new technologies and country plans to absorb new diagnostic tools. Overall, the quality of the laboratory data that were reported was poor and inconsistent with previous reports. This suggests that essential linkages between NTPs and laboratory services have not yet been established or are weak in many countries. It is also possible that reporting is hindered by insufficient understanding of the laboratory component of the Stop TB strategy.

A2.4 Financing

A2.4.1 Data collected

Data were collected from six main sources: NTPs, the WHO-CHOICE team,² the WHO National Health Accounts statistics, Global Fund proposals and databases, previous WHO reports in this series, and epidemiological and financial analyses carried out for the Global Plan.³ In 2008, data were

¹ *A guide to monitoring and evaluation for collaborative TB/HIV activities*. Geneva, World Health Organization, 2004 (WHO/HTM/TB/2004.342 and WHO/HIV/2004.09; available at http://www.who.int/hiv/pub/tb/en/guidetomonitoringevaluationtb_hiv.pdf; accessed January 2008).

² The WHO-CHOICE (CHOosing Interventions that are Cost-Effective) team conducts work on the costs and effects of a wide range of health interventions.

³ *The Global Plan to Stop TB, 2006–2015: methods used to assess costs, funding and funding gaps*. Geneva, Stop TB Partnership and World Health Organization, 2006 (WHO/HTM/STB/2006.38).

collected directly from countries using a two-page questionnaire included in the standard WHO data collection form (described above in **A2.1**). NTP managers were asked to complete four tables. The first two tables required a summary of the NTP budget for fiscal years 2008 and 2009, in US dollars, by line item and source of funding (including a column for funding gaps). The third table requested NTP expenditure data for 2007, by line item and source of funding. The fourth table requested information about the way in which general health infrastructure is used for TB control (for example, the number of beds dedicated to TB patients that are available, the number of outpatient visits that patients need to make to a health facility during treatment and the average length of stay when patients are admitted to hospital). Estimates of the number of patients who would be treated in 2008 and 2009 were also requested for (a) new smear-positive cases (b) new smear-negative and extrapulmonary cases, (c) HIV-positive TB patients on ART and (d) cases with MDR-TB.

Line items for the budget tables are designed to be in line with the Stop TB Strategy and to allow for comparisons with the cost categories used in the Global Plan. A total of 14 line items were defined: first-line drugs; dedicated NTP staff; routine programme management and supervision activities; laboratory supplies and equipment; PAL; PPM; second-line drugs for MDR-TB; management of MDR-TB (budget excluding second-line drugs); collaborative TB/HIV activities; ACSM; community-based care; operational research; surveys of disease prevalence and infection; and all other budget lines for TB (e.g. technical assistance). The relationship of these items to the Stop TB Strategy and the Global Plan and the categories used for presentation of financial analyses in this report are shown in **TABLE A2.2**.

A2.4.2 Data entry and analysis

A standardized Microsoft Excel worksheet was created, which generates financial tables and related figures for each country that reported data for each year 2002–2009. The workbook also contains additional worksheets for summary analyses and for the data required as inputs to the country-specific analyses (for example, unit costs for bed-days and outpatient clinic visits, national health account statistics). This system allows a systematic analysis of each country's data, which in turn is used to determine which countries, other than HBCs, have provided data of sufficient quality to be included in the main figures and tables of the report. This country worksheet includes 13 tables and related figures:

- NTP budget by line item for each year 2002–2009. Line items were grouped to allow for comparisons with the Stop TB Strategy and the Global Plan. This grouping, both for the budget categories used in 2006–2009 and for those used in 2002–2005, is explained in **TABLE A2.2**.
- NTP budget by line item for each year 2002–2009, according to the categories used in each round of data collection.

TABLE A2.2

Categories used to present the financial analyses in this report and their relationship to the Stop TB Strategy, the Global Plan, the budget line items used in the WHO data collection form and the budget lines used in previous WHO reports

CATEGORIES USED FOR FINANCIAL ANALYSES IN THIS REPORT, 2002-2009	STOP TB STRATEGY	GLOBAL PLAN	BUDGET LINE ITEMS, 2006-2008	BUDGET LINE ITEMS, PRE-2006
DOTS	Component 1	DOTS	First-line anti-TB drugs; NTP staff; routine programme management and supervision activities; laboratory supplies and equipment	First-line anti-TB drugs; NTP staff; buildings, vehicles, equipment; all other budget lines for TB
MDR-TB	Component 2	MDR-TB or DOTS-Plus ^a	Second-line drugs for MDR-TB; management of MDR-TB (excluding second-line drugs)	Second-line anti-TB drugs
TB/HIV		TB/HIV	Collaborative TB/HIV activities	Collaborative TB/HIV activities
ACSM	Component 5	ACSM	ACSM	
Other (includes PPM, PAL, community-based TB care, operational research, surveys and other)	Components 3-5 and 6	New approaches to DOTS (includes PAL, PPM and community-based TB care). Operational research, surveys and other were not included as specific categories	PPM, PAL, community-based TB care, operational research and special surveys of prevalence of disease and of infection. Other for all other budget lines for TB (e.g. technical assistance)	New initiatives to increase case detection and cure rates for PPM, PAL and community-based TB care; other. Operational research and surveys were not included as a specific category

^a DOTS-Plus is the term used to describe the management of MDR-TB patients according to international guidelines at the time of the development of the Global Plan.

- NTP budget by source of funding for each year 2002-2009, with the funding sources defined as government contribution (excluding loans), loans, Global Fund grants, grants (excluding Global Fund) and funding gap.
- NTP expenditures by source of funding for 2002-2007, with funding sources as defined for NTP budgets.
- NTP expenditures by line item for each year 2002-2007. Line items were grouped, as for budgets, to allow for comparisons with the Global Plan and the Stop TB Strategy (TABLE A2.2).
- NTP expenditure by line item for each year 2002-2007, according to the categories used in each round of data collection.
- Funding gap by line item for each year 2002-2009. Line items were grouped as for budget and expenditure tables (TABLE A2.2).
- Total costs of TB control by funding source for each year 2002-2009, with funding sources as defined for NTP budgets.
- Total costs of TB control by line item for each year 2002-2009, with line items defined as NTP budget items, hospitalization and clinic visits.
- Per patient costs, NTP budget, available funding, expenditures and budget for first-line anti-TB drugs.
- Comparison of NTP budget, available funding and expenditure for 2003-2007 by line item.¹
- Financial indicators for 2008 and 2009, which were defined as government contribution to NTP budgets (as a percentage), government contribution to total TB control costs (as a percentage), the proportion of the NTP budget for which funding is available, the NTP budget per capita, total TB control costs per capita, the funding gap per capita, total expenditure on health per

capita, and general government expenditure on health per capita.

- Comparison of total costs based on the country report with total costs implied by the Global Plan, for 2006-2009.

Budget data for 2002-2007 and expenditure data for 2003-2006 were taken from the forms used in previous years, while budget data for 2008-2009 and expenditure data for 2007 were taken from the 2008 data collection form. Total TB control costs were estimated by adding costs for hospitalization and outpatient clinic visits to either NTP expenditures (for 2002-2007) or NTP budgets (for 2008-2009). Expenditures were used in preference to budgets for 2002-2007 because they reflect actual costs, whereas budgets can be higher than actual expenditures (for example, when large budgetary funding gaps exist or when the NTP does not spend all the available funding). When expenditures are known for 2008 and 2009, they will be used instead of budget data to calculate, retrospectively, the total cost of TB control in these years. For countries other than HBCs, expenditures before 2003 are not available in our database. For some HBCs, expenditures were not available for 2002-2007. In this case, we estimated expenditures based on available funding, which was calculated as the total budget minus the funding gap. The exceptions were South Africa and Thailand, which reported budget and expenditure data for the first time in 2006 and 2008, respectively. In previous annual reports, costs in South Africa were based on costing studies undertaken in the mid-to-late 1990s and costs in Thailand were not calculated because data were absent. Given the availability of new information the previous cost estimates for 2002-2004 (South-Africa) and 2002-2007 (Thailand) were revised by assuming that per patient costs in these years would be as for 2006 (South Africa) and 2008 (Thailand). Total costs were then estimated by multiplying total case notifications in each year by the estimated cost per patient treated.

¹ Expenditure data are available for a larger set of countries in 2003 compared with 2002. For this reason, comparisons are with 2003.

The total cost of outpatient clinic visits was estimated in two steps. First, the unit cost (in US\$)¹ of a visit was multiplied by the average number of visits required per patient (estimated on the WHO data collection form) to give the cost per patient treated. This was done separately for (a) new smear-positive cases and (b) new smear-negative and extrapulmonary cases. Second, the cost per patient treated was multiplied by the number of patients notified (for 2002–2007) or the number of patients whom the NTP expects to treat (for 2008–2009). The total costs for the two categories of patient were then summed. The cost of hospitalization was generally calculated in the same way, replacing the unit cost of a clinic visit with the unit cost of a bed-day. However, the number of dedicated TB beds was used to calculate the cost of hospitalization when the total cost of these beds is higher than the total cost estimated by multiplying the country's estimate of the number of bed-days per patient by the number of patients treated. For HBCs, this was the case for 11 countries that have dedicated TB beds: Bangladesh, Brazil, Cambodia, Ethiopia, India, Kenya, Mozambique, Myanmar, the Russian Federation, South Africa and Viet Nam. We assumed that all clinic visits and hospitalization are funded by the government, because staff and facility infrastructure are the major inputs included in the unit cost estimates and these are typically not funded by donors.

Per patient costs, budgets, available funding and expenditures were calculated by dividing the relevant total by the number of cases notified (for 2002–2007) and the number of patients whom the NTP expects to treat (for 2008–2009). Since the total costs of TB control for 2002–2007 were based on expenditure data, it is possible that the total TB control cost per patient treated is less than the NTP budget per patient treated when the funding gap is large or there is a significant budgetary under-spend. In addition, for 2002–2007, expenditures per patient were sometimes higher than the available funding per patient. This can occur when the NTP budget funding gap is reduced after the reporting of budget data to WHO (since available funding is estimated as the total budget minus the funding gap). To try to eliminate this problem, the data collection form has allowed countries to update budget data reported in the previous round of data collection since 2005 (for example in the 2005 round of data collection, countries were able to update 2005 budget data originally reported in 2004; in the 2008 round of data collection, countries were able to update 2008 budget data originally reported in 2007).

Costs based on country reports reflect actual country plans for TB control. To address the question of whether these costs are in line with the Global Plan, the regional costs that appear in the Global Plan were converted into estimates for individual countries. While these costs should be seen as approximations only, they can be used to identify important similarities and differences between country reports and the Global Plan. Differences may occur if the intervention coverage and rates of scale up (for example, the number of TB patients to be treated or the number of

HIV-positive TB patients to be enrolled on ART) planned by countries since 2006 are more or less ambitious than the projections included in the Global Plan, and/or if country-specific budget development is based on input prices that are more or less than the average regional prices used in the Global Plan. A further reason for discrepancies is that, while the Global Plan includes the full cost of collaborative TB/HIV activities, the budget for these activities that is reported by NTPs may include only the budget managed by the NTP, and not the budget for such activities that is managed by the national AIDS control programme. In the 2007 and 2008 rounds of data collection, we were able to improve our understanding of both TB and HIV budgets for collaborative TB/HIV activities in several countries (for example, in Kenya and the United Republic of Tanzania). **TABLE A2.3** summarizes the methods used to convert regional costs as they appear in the Global Plan into estimates for individual countries.

All budget and expenditure data are reported in nominal prices (that is, prices are not adjusted for inflation) rather than in constant prices (that is, all prices are adjusted to a common year). This means that values given for individual countries in this series of reports for 2002–2008 do not have to be adjusted, which makes it easier for country staff to review the data for previous years.

Once the data were entered, any queries were discussed with NTP staff and the appropriate WHO regional and country office, and a final set of charts and tables was produced.

High-burden countries

For HBCs specifically, seven of these charts plus a summary table appear in the profiles for each country at **ANNEX 1**: NTP budget by funding source 2002–2009; NTP budget line items in 2009, according to the line items used in the 2008 round of data collection; NTP budget by line item 2002–2009, with line items as defined in the first column of **TABLE A2.2**; NTP funding gap by line item, with line items as defined in the first column of **TABLE A2.2**; total TB control costs by line item 2002–2009; per patient costs, budgets, available funding, expenditures and budget for first-line anti-TB drugs 2002–2009; costs according to country reports compared with costs implied by the Global Plan for 2006–2009; and a summary table including the NTP budget and funding gap by component of the Stop TB Strategy for 2009.² In some instances, the review process led to revisions to data included in previous annual reports. For this reason, figures sometimes differ from those published in the 2002–2008 reports.

Nine financial indicators appear in the profiles for each country at **ANNEX 1**. These indicators were calculated as follows:

¹ Average costs in the WHO-CHOICE database are reported in local currency units. These were converted into US\$ using exchange rate data provided in the IMF *International financial statistics yearbook*. Washington, DC, International Monetary Fund, 2003.

² A full set of charts and data is available upon request to tbdocs@who.int.

TABLE A2.3
Methods used to allocate regional costs in the Global Plan to individual countries

COUNTRY	NUMBERS OF PATIENTS			COSTS				
	NUMBER OF SS+ AND SS-/EP PATIENTS TREATED IN DOTS PROGRAMMES	NUMBER OF MDR-TB PATIENTS TREATED IN "DOTS-PLUS" PROGRAMMES	NUMBER OF HIV+ TB PATIENTS ENROLLED ON ART	NTP BUDGET FOR DOTS, EXCLUDING NEW APPROACHES	NTP BUDGET FOR NEW APPROACHES TO DOTS IMPLEMENTATION	BUDGET FOR ART FOR HIV+ TB PATIENTS, AND OTHER COLLABORATIVE TB/HIV ACTIVITIES	NTP BUDGET FOR MDR-TB TREATMENT	COSTS ASSOCIATED WITH UTILIZATION OF GENERAL HEALTH SERVICES, FINANCED FROM GENERAL HEALTH FACILITY BUDGETS
Afghanistan Bangladesh Cambodia China India Indonesia Myanmar Pakistan Philippines Thailand Viet Nam	Global Plan regional numbers allocated to each country according to its share of the regional burden of TB (in 2004).	Global Plan regional numbers allocated to each country according to its estimated share of the regional burden of MDR-TB cases in 2003 (source: DOTS-Plus Working Group).	Estimates were made for each country as a joint effort by the Stop TB Partnership and UNAIDS for the Global Plan. Country-specific numbers were therefore already available and no allocation process was required.	The NTP budget per patient in each country in 2005 was used in the Global Plan to estimate a budget per patient for the region as a whole, with each country weighted according to its share of regional cases. To return to country-specific estimates, we used the NTP budget per patient in each country that was used in the Global Plan. This is the NTP budget reported in the 2005 WHO TB control report, excluding second-line drugs and collaborative TB/HIV activities. The NTP budget for each country that underpinned the Global Plan regional calculations was then multiplied by the number of cases to be treated (estimated as explained in column 2).	Global Plan cost estimates were first made for a standard population of 500 000, or in the case of culture and DST laboratories for a population of 5 million, based on regional unit prices. These unit costs were then multiplied by a factor according to the size of the regional population to be covered (e.g. if the population to be covered was 100 million, the unit cost was multiplied by 200, or by 20 in the case of culture and DST laboratories). To estimate costs for each country, Global Plan costs for each region were allocated to each country according to its share of the regional population.	The number of TB/HIV patients on ART was multiplied by the unit cost of providing ART, estimated by UNAIDS for each country as part of the development of the Global Plan. For other activities, the number of patients was allocated to a country according to its share of the regional TB/HIV burden and then multiplied by the country-specific unit cost used in the Global Plan.	Calculated as the number of MDR-TB cases to be treated multiplied by a country-specific unit cost. Country-specific costs estimated by adjusting the regional cost used in the Global Plan according to GNI per capita (except for the cost of drugs, which were assumed to be the same in all countries).	Calculated on a per patient basis for each country according to the inputs reported in the 2007 WHO data collection form. Unit costs for hospitalization and outpatient visits are WHO country-specific estimates as opposed to the DCPD regional estimates used in the Global Plan. Costs for diagnostic tests among TB suspects were included in the Global Plan, but were not included in the country-specific estimates because there are no comparative data from countries (the number of such tests is not requested on the WHO data collection form).
Brazil Russian Federation	Global Plan regional numbers allocated to each country according to its share of the regional burden of TB (in 2004), then adjusted according to target level of DOTS population coverage set out in the Global Plan.							
DR Congo Ethiopia Kenya Mozambique Nigeria South Africa Uganda UR Tanzania Zimbabwe	Global Plan regional numbers allocated to each country according to its share of regional cases treated under DOTS (in 2004).							

DCPD indicates Disease Control Priorities Project of the World Bank; DOTS-Plus, the term used for the management of MDR-TB patients according to international guidelines at the time of the development of the Global Plan; DST, drug susceptibility testing; HIV+, HIV-positive; NTP, national tuberculosis control programme; ss+, sputum smear-positive; ss-, sputum smear-negative; EP, extrapulmonary.

- Government contribution to the NTP budget (including loans). This was calculated as the sum of funds for the NTP from the government (including loans), divided by the total NTP budget.
- Government contribution to the total cost of TB control (including loans). This was calculated as the sum of funds from the government (including funds for the NTP and funds for resources within the general health-care system that are used for TB control), divided by the total cost of TB control.
- Government health spending used for TB control. This was calculated as the total cost of TB control divided by general government expenditure on health.¹
- Percentage of the NTP budget that is funded. This was estimated as the available funding (the sum of funds from the government, including loans, plus funds from the Global Fund and other donors), divided by the total NTP budget.
- NTP budget per capita, total TB control costs per capita and funding gap per capita. These indicators were calculated as the total NTP budget, total cost of TB control and the funding gap, respectively, divided by the population of the country.
- Government health expenditure per capita and total health expenditure per capita.¹ These estimates show how much money is spent on health care by the government, and how much is spent in total (including expenditures in the private sector), per capita.

To assess whether increased spending on TB control has resulted in an increase in the number of cases detected and treated in DOTS programmes, the change in total NTP expenditures between 2003 and 2007 was compared with the change between 2003 and 2007 in (a) the total number of TB cases treated in DOTS programmes and (b) the total number of new smear-positive cases treated in DOTS programmes. This was done for all HBCs for which the necessary data existed (not all countries have reported expenditure data for both years).

Finally, the associations between GNI per capita in 2007 and government contributions to total NTP budgets and TB

control costs were examined. Data on GNI per capita were taken from *World development indicators database*.²

Other countries

For countries other than the HBCs, the data provided on the 2008 data collection form were used to assess NTP budgets by region in 2009 and to compare these data with the budgets reported by the HBCs. Only countries that submitted complete data of sufficient quality (for example, data whose subtotals and totals were consistent by both line item and funding source) were used. In addition, trends in total costs were assessed by using data from all countries with sufficient data from 2006 to 2009. Costs were analysed according to the components of the Stop TB Strategy.

Estimates were also made of the costs implied by the Global Plan for the 171 countries in the regions covered by the plan, as described above for the 22 HBCs. These values were aggregated for each WHO region for the subset of countries that (a) provided a complete budget report to WHO and (b) were included in the Global Plan. The total number of countries (apart from HBCs) meeting both criteria was 72. These aggregated values were then compared with costs according to country reports.

A2.4.3 Global Fund contribution to TB control

Available funding from the Global Fund was evaluated both for HBCs and for other countries, as announced after the first eight rounds of funding. Total approved funding at the end of 2008, disbursements to the end of 2008, the time taken between approval of a proposal and the signature of grant agreements, and the time taken between the signing of the grant agreement and the first disbursement of funds was assessed. Also assessed was how the total value of grants awarded for TB control had evolved between rounds 1 and 8, and the approval rate. The approval rate was calculated as the number of proposals considered by the Global Fund Technical Review Panel in each round divided by the number of proposals approved in each round (including proposals approved after appeal). This approval rate was compared with applications for funding for malaria and HIV.

¹ *National health accounts* [online database]. Geneva, World Health Organization, 2008.

² Accessed in December 2008: devdata.worldbank.org/data-query.

The Stop TB Strategy, case reports, treatment outcomes and estimates of TB burden

Explanatory notes

Summary by WHO region

Africa

The Americas

Eastern Mediterranean

Europe

South-East Asia

Western Pacific

Explanatory notes

The following tables present detailed data, first summarized by WHO region, then by country (grouped by WHO region).¹ Unless otherwise specified, rates are per 100 000 population,² using the total population of a country (*not*, for example, only the population covered by DOTS, or only HIV+ve people).

Estimates for all years are recalculated as new information becomes available and techniques are refined, so they may differ from those published previously.

NTP manager (or equivalent) and/or person(s) responsible for completing data collection form

The people named on the data collection form returned to WHO in 2008. This list acknowledges the contribution of NTP managers and others; those named are not necessarily the current NTP managers.

TABLE A3.1 Methods and assumptions for estimation of TB incidence, prevalence and mortality

The principal assumptions and methods used to estimate TB incidence (including incidence of TB in HIV-positive people), prevalence and mortality, and the prevalence of MDR among new and re-treatment cases for each country. See **ANNEX 2** for details of calculations.

TABLE A3.2 Estimated burden of TB, 1990 and 2007

- For 1990 (baseline year for MDG): estimates of incidence (all forms and smear-positive); prevalence and mortality.
- For 2007: estimates of incidence (all forms and smear-positive), prevalence and mortality, in all people and in HIV-infected people only.

Incidence, prevalence and mortality presented as absolute numbers and as rates per 100 000 population.

- Estimated prevalence of HIV infection in incident TB cases, 2007.
- Estimates of the percentage of TB cases that are MDR (calculated for new and re-treatment cases separately)

and of the number of MDR-TB cases (for all forms and smear-positive cases), 2007.

TABLE A3.3 Estimated incidence of TB (all forms) in all people, 1990–2007

The current estimates (numbers and rates per 100 000 population) of TB incidence from 1990 to 2007, including in HIV-negative and HIV-positive people.

TABLE A3.4 Estimated incidence, prevalence and mortality rates, 2000–2007

- The estimated incidence of TB in HIV-positive people, expressed as incident cases per 100 000 population (both HIV-infected and un-infected people are included in the denominator), 2000–2007.
- The estimated prevalence of TB (including cases in HIV-negative and HIV-positive people), 2000–2007.
- Estimated mortality from TB in HIV-negative people (both HIV-infected and un-infected people are included in the denominator), 2000–2007.
- Estimated mortality from TB in HIV-positive people (both HIV-infected and un-infected people are included in the denominator), 2000–2007.

TABLE A3.5 Case notifications and case detection rates, DOTS and non-DOTS combined, 2007

Case notifications by history of treatment (new or re-treatment), by site (pulmonary or extrapulmonary) and by smear status (smear-positive, smear-negative or unknown). See **TABLE A2.1** for definitions of case types. Proportions of case types and estimated case detection rate for DOTS and non-DOTS cases combined.

- *Population*, source: *World population prospects – the 2006 revision*. New York, United Nations Population Division, 2007.
- *All notified*: all notified cases, including new cases (new smear-positive, new smear-negative/unknown/not done, other new and new extrapulmonary), re-treatment cases (relapse, treatment after failure, treatment after default and other re-treatment) and other cases (cases in patients for whom it is not known whether they have previously been treated for TB).
- *New and relapse*: new and relapse cases, including new smear-positive, new smear-negative/unknown/not done,

¹ The WHO Global TB Database, which includes data for previous years (revised as appropriate), is available at http://www.who.int/tb/country/global_tb_database/en/

² *World population prospects – the 2006 revision*. New York, United Nations Population Division, 2007.

other new, new extrapulmonary and (smear-positive) relapse cases (for the WHO European Region only, cases reported as "previous treatment history unknown" are also included).

- *Other new*: new cases for which the site of disease is not recorded.
- *Re-treatment cases*: smear-positive cases in patients previously treated for TB. (*Other re-treat.* includes re-treatment cases for which the outcome of previous treatment is not known, and smear-negative re-treatment cases including smear-negative relapse cases).
- *Other*: cases in patients for whom it is not known whether they have previously been treated for TB, and chronic cases (smear-positive cases in patients who have previously received re-treatment regimens).
- *New pulm. Lab. confirmed*: new cases of pulmonary TB in which the diagnosis has been confirmed by smear and/or culture examination.
- *Detection rate, all new*: the number of notified new cases divided by the estimated number of incident cases (expressed as a percentage).
- *Detection rate, new ss+*: the number of notified new smear-positive cases divided by the estimated number of incident smear-positive cases (expressed as a percentage).
- *SS+ (% of pulm.)*: the percentage of all notified new pulmonary cases that are notified as smear-positive.
- *SS+ (% of new+relapse)*: the percentage of notified new and relapse cases that are notified as new smear-positive.
- *Extrapulm. (% of new+relapse)*: the percentage of all new and relapse cases that are extrapulmonary.
- *Re-treatment (% of new+re-treatment)*: the percentage of all notified cases that are notified as re-treatment cases.

TABLE A3.6 DOTS coverage, case notifications and case detection rates, 2007

As for TABLE A3.5, but for DOTS notifications only.

- *DOTS coverage*: the percentage of the national population living in areas where health services have adopted DOTS.

TABLE A3.7 Laboratory services, collaborative TB/HIV activities and management of MDR-TB, 2006–2007

Laboratory services

- *Numbers of laboratories*: the numbers of laboratories working with the NTP that perform smear microscopy, culture or DST, and the number of laboratories performing smear microscopy that are included in external quality assurance (EQA).

Collaborative TB/HIV activities, 2006–2007

- *TB pts tested for HIV*: the number of TB patients who were tested for HIV.
- *TB pts HIV-positive*: the number of TB patients who tested positive for HIV.
- *HIV+ TB pts CPT*: the number of HIV-positive TB patients who received co-trimoxazole preventive therapy during their anti-TB treatment.
- *HIV+ TB pts ART*: the number of HIV-positive TB patients who received antiretroviral therapy during their anti-TB treatment.

Data for 2006 were requested in the data collection form in 2007 and in 2008. For those countries that provided data for 2006 in 2007 but not in 2008, the data provided in 2007 are shown.

Multidrug-resistant (MDR) TB, 2007

- *Lab-confirmed MDR*: the number of laboratory-confirmed cases of MDR-TB identified among patients (new and re-treatment) in whom TB was diagnosed in 2007.
- *DST in new cases*: the number of new TB cases in 2007 for whom drug sensitivity testing (DST) was performed at the start of treatment.
- *MDR in new cases*: the number of new cases who were identified as MDR-TB based on DST at the start of treatment.
- *Re-treatment DST*: the number re-treatment cases registered in 2007 for whom DST was performed at the start of treatment.
- *Re-treatment MDR*: the number of re-treatment cases identified as MDR-TB based on DST at the start of treatment.

TABLE A3.8 Treatment outcomes, 2006 cohort

The outcomes of treatment of new smear-positive cases treated under DOTS, new smear-positive cases treated under non-DOTS, and re-treatment cases treated under DOTS (all re-treatment cases combined). Note that when the outcomes of different groups of re-treatment cases are available, they are presented in TABLE A3.9.

TABLE A3.9 DOTS re-treatment outcomes, 2006 cohort

The outcomes of re-treatment of smear-positive cases treated under DOTS after relapse, treatment failure or default. For those countries which are not able to provide outcomes separately for the different groups of re-treatment cases, outcomes are shown in TABLE A3.8 only.

TABLE A3.10 DOTS treatment success and case detection rates, 1994–2007

The rates of successful treatment (the proportion of registered cases who cured or completed treatment) for new smear

positive cases treated under DOTS from 1994 to 2006 and smear-positive case detection rates under DOTS from 1995 to 2007.

TABLE A3.11 New smear-positive case notification by age and sex, DOTS and non-DOTS, 2007

The breakdown, by age and sex, of new smear-positive cases notified from the whole country. Some countries cannot provide the breakdown for all notified new smear-positive cases; other countries cannot provide the breakdown for new smear-positive cases alone (see **COUNTRY NOTES**).

TABLE A3.12 New smear-positive case notification rates by age and sex, DOTS and non-DOTS, 2007

The rates of notification of new smear-positive cases by age and sex (DOTS and non-DOTS cases). Rates are missing where the breakdown of smear-positive notified cases is not provided, or where age-specific and sex-specific population data are not available. In the regional summary table, rates are calculated excluding those countries for which the breakdown of notified cases or population by age and sex is missing.

TABLE A3.13 TB case notifications, 1980–2007

TABLE A3.14 TB case notification rates, 1980–2007

TABLE A3.15 New smear-positive cases notified, 1993–2007

TABLE A3.16 NTP budgets, available funding, cost of utilization of general health-care services and total TB control costs (US\$ millions), 2009

Notes

These notes include data provided to WHO in non-standard formats, additional information reported by countries and other observations.

SUMMARY BY WHO REGION



AFRICA



Africa

NTP MANAGER (OR EQUIVALENT) AND/OR PERSON(S) RESPONSIBLE FOR COMPLETING DATA COLLECTION FORM

Algeria	Sofiane Alihalassa
Angola	Maria da Conceição Palma; Celestino Teixeira
Benin	
Botswana	Grace Kangwagye Nkubito
Burkina Faso	Sary Mathurin Dembélé; Tandaogo Saouadogo
Burundi	Donatien Nkurunziza; Damas Ntisigana
Cameroon	Tsala François Ottou; Adolphe Nkou Bikoe
Cape Verde	Maria da Luz Lima
Central African Republic	
Chad	Oumar Abdelhadi
Comoros	
Congo	Ongouo hermann; Antoine Ngoulou
Côte d'Ivoire	Jacquemin Kouakou; Aicha Diakite
DR Congo	André Ndongosieme
Equatorial Guinea	
Eritrea	Mineab Sebhatu
Ethiopia	Bekele Chaka; Azmera Molla
Gabon	Toung Mve Médard; Géneviève Angue Nguema
Gambia	Adama Jallow; Kejaw Saidykhan
Ghana	Frank Adae Bonsu
Guinea	Namory Keita; Fodé Cissé
Guinea-Bissau	
Kenya	Joseph Kimagut Sitienei; Hillary Kipruto; Joel Kangangi
Lesotho	Llaang Maama; Tseliso Malata
Liberia	
Madagascar	Martin Rakotonjanahary; Rarivoson Benjamin
Malawi	Ibrahim Idana; Felix Salaniponi; John Kwanjana
Mali	Diallo Alimata Naco
Mauritania	Sidina Ould Mohamed Ahmed; Mohamed Ould Salem
Mauritius	F. Rujeedawa
Mozambique	Paula Samogudo; Roberta Pastore; Zaina Cuna
Namibia	Rosalia Indongo
Niger	Marafa Boulacar; Moumouni Kadi
Nigeria	M. Kabir; Osahon Jeremie I. Ogbeiwi
Rwanda	Michel Gasana; Evariste Gasana
Sao Tome & Principe	Aleixo Rodrigues de Sousa Pires
Senegal	Mame Bocar Lo; Awa Héléne Diop
Seychelles	
Sierra Leone	Foday Dfafe; Saffa Kamara
South Africa	Lindiwe Mvusi; Omphemetse Mokgatlhe; Letta Seshoka
Swaziland	Themba Dlamini; Thabo Hlophe
Togo	Fantchè Awokou
Uganda	Francis Adatu-Engwau; Joseph Imoko
UR Tanzania	Saidi Egwaga; Emmanuel Nkiligi
Zambia	Nathan Kapata; M. Maboshe
Zimbabwe	Charles Sandy; Nicholas Siziba

This list shows the people named on the data collection form sent to WHO in 2008, not necessarily the current NTP manager. It is intended as an acknowledgement rather than a directory.

Table A3.1 Methods and assumptions for estimation of TB incidence, prevalence and mortality, Africa

Reference year	Incidence est. based on	Trend	Source of estimates			CfR ss+ HIV-		Duration ss+HIV-		Duration ss-HIV-	
			Source of estimates	MDR (re-treat)	DOTS	non-DOTS	DOTS	non-DOTS	DOTS	non-DOTS	
Algeria	ARI	Country notifs, exp.	Indirect	DRS	Model	0.05	0.05	1	1	1	1
Angola	1997 Notif.	Group, exp.	Indirect	Model	Model	0.1	0.3	1	2.5	1	2.5
Benin	2000 ARI	Country notifs, exp.	Indirect	DRS	Model	0.1	0.3	1	2.5	1	2.5
Botswana	1997 Notif.	Group, moving ave.	Routine	DRS	DRS	0.1	0.3	1	2.5	1	2.5
Burkina Faso	1997 Notif.	Group, moving ave.	Routine	Model	Model	0.1	0.3	1	2.5	1	2.5
Burundi	1997 Notif.	Group, moving ave.	Indirect	Model	Model	0.1	0.3	1	2.5	1	2.5
Cameroun	1997 Notif.	Group, moving ave.	Routine	Model	Model	0.1	0.3	1	2.5	1	2.5
Cape Verde	1997 Notif.	Country notifs, exp.	-	Model	Model	0.1	0.3	1	2.5	1	2.5
Central African Republic	1997 Notif.	Group, moving ave.	Indirect	DRS	DRS	0.1	0.3	1	2.5	1	2.5
Chad	1997 Notif.	Group, moving ave.	Indirect	Model	Model	0.1	0.3	1	2.5	1	2.5
Comoros	1997 Notif.	Country notifs, exp.	Indirect	Model	Model	0.1	0.2	1	2.5	1	2.5
Congo	1997 Notif.	Group, moving ave.	Indirect	Model	Model	0.1	0.3	1	2.5	1	2.5
Cote d'Ivoire	1997 Notif.	Group, moving ave.	Routine	DRS	Model	0.1	0.3	1	2.5	1	2.5
DR Congo	1997 Notif.	Group, moving ave.	Survey	Model	Model	0.1	0.3	1	2.5	1	2.5
Equatorial Guinea	1997 Notif.	Group, moving ave.	Indirect	Model	Model	0.1	0.3	1	2.5	1	2.5
Eritrea	1997 Prev	Group, exp.	Indirect	Model	Model	0.1	0.3	1	3.4	1	3.4
Ethiopia	1997 Notif.	Group, moving ave.	Indirect	DRS	DRS	0.1	0.3	1	2.5	1	2.5
Gabon	1997 Notif.	Country notifs, moving ave.	Indirect	Model	Model	0.1	0.3	1	2.5	1	2.5
Gambia	1997 Notif.	Group, exp.	Indirect	DRS	DRS	0.1	0.3	1	2.5	1	2.5
Guinea	1997 Notif.	Country notifs, exp.	Survey	Model	Model	0.1	0.3	1	2.5	1	2.5
Guinea-Bissau	1997 Notif.	Country notifs, exp.	Indirect	DRS	DRS	0.1	0.3	1	2.5	1	2.5
Kenya	2006 Notif.	Group, exp.	Indirect	Model	Model	0.1	0.3	1	2.5	1	2.5
Lesotho	1997 Notif.	Country notifs, moving ave.	Routine	DRS	DRS	0.1	0.3	1	2.5	1	2.5
Liberia	1997 Notif.	Group, exp.	Indirect	Model	Model	0.1	0.3	1	2.5	1	2.5
Madagascar	1997 Notif.	Country notifs, exp.	Indirect	DRS	DRS	0.1	0.3	1	2.5	1	2.5
Malawi	1997 Notif.	Country notifs, moving ave.	Routine	Model	Model	0.1	0.3	1	2.5	1	2.5
Mali	1997 Notif.	Country notifs, exp.	Indirect	Model	Model	0.1	0.3	1	2.5	1	2.5
Mauritania	1997 Notif.	Group, exp.	Indirect	Model	Model	0.1	0.3	1	2.5	1	2.5
Mauritius	2006 Notif.	Country notifs, exp.	Routine	Model	Model	0.1	0.2	1	2.5	1	2.5
Mozambique	1997 Notif.	Group, moving ave.	Routine	DRS	DRS	0.1	0.3	1	2.5	1	2.5
Namibia	1997 Notif.	Group, moving ave.	Indirect	Model	Model	0.1	0.3	1	2.5	1	2.5
Niger	1997 Notif.	Group, exp.	Indirect	Model	Model	0.1	0.3	1	2.5	1	2.5
Nigeria	1997 Notif.	Group, moving ave.	Indirect	Model	Model	0.1	0.3	1	2.5	1	2.5
Rwanda	1997 Notif.	Group, moving ave.	Routine	DRS	DRS	0.1	0.3	1	2.5	1	2.5
Sao Tome & Principe	1997 Notif.	Group, exp.	Routine	Model	Model	0.1	0.3	1	2.5	1	2.5
Senegal	1997 Notif.	Group, exp.	Indirect	DRS	DRS	0.1	0.3	1	2.5	1	2.5
Seychelles	1997 Notif.	Country notifs, exp.	-	Model	Model	0.1	0.2	1	2.5	1	2.5
Sierra Leone	1997 Notif.	Country notifs, exp.	Indirect	DRS	DRS	0.1	0.3	1	2.5	1	2.5
South Africa	2001 Mort	Country notifs, moving ave.	Indirect	DRS	DRS	0.1	0.3	1	2.5	1	2.5
Swaziland	1997 Notif.	Country notifs, moving ave.	Indirect	DRS	DRS	0.1	0.3	1	2.5	1	2.5
Togo	1997 Notif.	Group, exp.	Indirect	Model	Model	0.1	0.3	1	2.5	1	2.5
Uganda	1997 Notif.	Country notifs, moving ave.	Indirect	DRS	DRS	0.1	0.3	1	2.5	1	2.5
UR Tanzania	1997 Notif.	Country notifs, moving ave.	Routine	DRS	DRS	0.1	0.3	1	2.5	1	2.5
Zambia	1997 Notif.	Country notifs, moving ave.	Routine	DRS	DRS	0.1	0.3	1	2.5	1	2.5
Zimbabwe	1997 Notif.	Group, moving ave.	Indirect	DRS	DRS	0.1	0.3	1	2.5	1	2.5

- indicates no estimate; ARI, annual risk of infection; ave, average; C-ReC, capture re-capture; CDR, case detection rate; DRS, drug resistance survey; exp., exponential; HIV+, HIV-positive; HIV-, HIV-negative; Mort, mortality (vital registration); Notif(s), notification(s); Prev., disease prevalence survey; ss+, sputum smear-positive; ss-, sputum smear-negative. See Annex 2 (methods) for details. Data can be downloaded from www.who.int/tb

Table A3.7 Laboratory services, collaborative TB/HIV activities and management of MDR-TB, Africa, 2006-2007

	Laboratory services, 2007				Collaborative TB/HIV activities								Management of MDR-TB, 2007									
	Number of labs working with NTP		Smear labs included in EOA		2006		2007		2006		2007		2006		2007							
	smear	culture	culture	in EOA	TB pts tested for HIV	HIV+ TB pts HIV-positive	TB pts tested for HIV	HIV+ TB pts HIV-positive	TB pts tested for HIV	HIV+ TB pts HIV-positive	TB pts tested for HIV	HIV+ TB pts HIV-positive	TB pts tested for HIV	HIV+ TB pts HIV-positive	Lab-confirmed MDR	DST in new cases	MDR in new cases	Re-treatment DST	Re-treatment MDR			
Algeria																						
Angola	246	48	3	20	3 318	494	213	3 800	450	280	60											
Benin	130	1	1	50	5 106	3 493	5 106	5 106	5 106	5 106	5 106	139	1	1	14	8						
Botswana	106	0	0	106	2 624	739	287	2 665	653	562	267	12	0	0	0	0	0	0	0			
Burkina Faso	168	1	0	143	8 639	3 363	117	13 258	5 707	1 365	1 044	0	0	0	0	0	0	0	0			
Burundi	208	3	2	206	270	8	8	205	0	0	17											
Cape Verde	16	0	0	1																		
Central African Republic																						
Chad	47	0	0	0	116	2	0	0	0	0	0	0	0	0	0	0	0	0	0			
Comoros																						
Congo	24	0	0	1																		
Cote d'Ivoire	96	1	1	95	5 810	2 130	994	11 264	4 370	3 935	1 153	0	0	0	0	0	0	0	0			
DR Congo	1 205	1	1	1 023	3 931	188	120	14 484	2 129	2 015	4 119	15	37	15	123	67						
Equatorial Guinea																						
Eritrea	64	0	0	0	3 255	1 295	354	20 723	6 342	4 529	2 658	145	13	1	296	144						
Ethiopia	833	1	1	0	645	645	0	719	0	0	12	0	0	0	0	0	0	0	0	0		
Gabon	12	0	0	0																		
Gambia	220	3	3	160	550	142	23	5 695	1 621	1 173	275	0	0	0	58	0						
Ghana	52	3	1	31	2 136	711	99	870	140	140	140	36	9	1	34	36						
Guinea-Bissau																						
Guinea	930	5	1	37	151	85	43	91 841	43 954	51 731	16 324	82	0	0	4 403	82						
Kenya					69 337	36 136	15 447	1 952	1 479	1 231	337											
Lesotho					1 470	1 228	641	1 952	1 479	1 231	337											
Liberia					688	101																
Madagascar	243	1	1	131																		
Malawi	146	3	1	140	17 253	12 064	4 348	22 744	15 491	13 779	4 765	12	0	0	854	12						
Mali	72	2	1	72	478	70		1 362	278	478	0	11	0	2	6							
Mauritania																						
Mauritius	1	1	1	0	100	5	4	104	63	7	6	14	0	0	6	0						
Mozambique	252	1	1	252	8 631	6 079	2 769	26 548	12 563	11 667	4 105	163	55	14	308	149						
Namibia	34	1	1	34	4 653	3 117	0	8 185	4 804	4 804	0	291	0	0	0	0						
Nigeria	163	0	0	163	7 422	1 566	0	27 849	6 275	1 853	0	45	32	4	41	0						
Niger	794	2	1	347				7 152	2 673	1 641	1 036	102	0	0	0	0						
Nigeria	183	1	1	183	6 300	2 361	789	2 381	316	235	114	10	170	7	30	3						
Rwanda	1	0	0	0	153	3	0															
Sao Tome & Principe																						
Senegal	86	3	3	55																		
Seychelles																						
Sierra Leone	80	0	0	80	2 060	174	105	3 621	414			0	0	0	0	0						
South Africa	249	15	10	241	110 235	58 249	57 053	136 247	87 764	58 801	31 040	7 350	0	0	0	0						
Swaziland	16	1	1	3	1 847	1 476	287	5 804	4 316	4 875	1 099	110	0	0	320	110						
Togo	51	1	1	52				134	17	17		1	16	1	21	1						
Uganda	716	3	2	716	11 590	6 638	894	15 844	9 526	380	220	169	0	0	500	27						
UR Tanzania	717	3	1	717	1 613	841	188	31 305	14 669	10 541	4 619	169	0	0	500	27						
Zambia	156	3	3	20	5 485	3 514	2 723	23 574	16 240	6 434	6 595	27	0	0	0	0						
Zimbabwe	180	1	1	0				5 252	4 373	4 373	0	0	0	0	0	0						
AFR	8 547	110	45	4 466	285 826	147 406	53 262	491 755	250 546	186 941	76 547	8 772	523	47	7 043	709						

ART indicates antiretroviral therapy; CPT, co-trimoxazole preventive therapy; EOA, external quality assurance; HIV+, HIV-positive, pts, patients; See Explanatory notes for further details. Data can be downloaded from www.who.int/tb

Table A3.9 DOTS re-treatment outcomes, Africa, 2006 cohort

	Release DOTS % of cohort						After failure DOTS % of cohort						After default DOTS % of cohort														
	Number regist'd	Compl- eted	Died	Failed	Trans- ferred	Not eval. Success	Number regist'd	Compl- eted	Died	Failed	Trans- ferred	Not eval. Success	Number regist'd	Compl- eted	Died	Failed	Trans- ferred	Not eval. Success									
Algeria	480	69	14	4	2	6	5	0	83																		
Angola																											
Benin																											
Botswana	160	77	4	12	3	4	0	0	81																		
Burkina Faso																											
Burundi	1 272	50	12	10	3	20	6	0	62																		
Cameroun																											
Cape Verde																											
Central African Republic																											
Chad																											
Comoros	281	37	10	0	2	33	1	17	47																		
Cote d'Ivoire	3 872	74	3	8	3	10	2	0	77																		
DR Congo																											
Equatorial Guinea																											
Eritrea																											
Ethiopia																											
Gabon																											
Gambia																											
Ghana	487	46	17	10	3	7	3	13	63																		
Guinea	288	69	13	4	3	3	8	0	82																		
Guinea-Bissau	3 945	71	8	7	1	8	5	0	79																		
Kenya	81	44	16	20	0	1	1	17	60																		
Lesotho																											
Liberia																											
Madagascar	1 691	69	4	7	3	12	6	0	73																		
Malawi	1 006	78	2	12	1	2	2	2	80																		
Mali	220	71	4	9	4	9	2	2	75																		
Mauritania	280	25	7	1	2	11	6	48	33																		
Mauritius	6	50	50	0	0	0	0	0	100																		
Mozambique	1 435	65	2	11	1	6	14	0	67																		
Namibia	1 353	46	15	13	9	11	6	0	61																		
Niger	475	61	16	6	4	7	6	0	77																		
Nigeria																											
Rwanda	359	70	6	8	7	3	4	2	76																		
Sao Tome & Principe	0																										
Senegal	475	61	5	5	4	9	6	8	66																		
Seychelles																											
Sierra Leone	130	75	10	5	2	7	0	0	85																		
South Africa	19 930	54	4	5	15	17	4	0	58																		
Swaziland	346	19	12	12	8	8	14	27	31																		
Togo																											
Uganda	1 357	33	43	8	1	10	4	0	76																		
UR Tanzania	1 817	75	4	12	1	4	4	0	79																		
Zambia	1 865	71	11	9	1	3	5	0	61																		
Zimbabwe	929	54	3	17	1	7	7	12	57																		
AFR	44 530	60	7	7	8	12	5	1	66	3 798	50	12	9	8	13	5	3	62	9 409	56	18	5	4	7	6	5	74

Not eval. indicates not evaluated (percentage of registered cases for which outcomes were not recorded); success, sum of cured and completed; cases regist'd, the denominator for calculating treatment outcomes. The number of cases registered for treatment in 2006 is used as the denominator for calculating treatment outcomes unless it is less than the sum of outcomes, in which case the sum of outcomes is used. If the number of cases registered is not reported, then the number of cases notified in 2006 is used, or the sum of outcomes if the latter is greater. Data can be downloaded from www.who.int/tb

Table A3.11 New smear-positive case notification by age and sex, DOTS and non-DOTS, Africa, 2007

	Male					Female					All					Male/female ratio				
	0-14	15-24	25-34	35-44	55-64	65+	0-14	15-24	25-34	35-44	55-64	65+	0-14	15-24	25-34		35-44	45-54	55-64	65+
Algeria	95	1 388	1 749	813	494	296	407	1 031	811	335	273	247	381	204	2 419	2 560	1 148	767	543	788
Angola	484	2 824	3 197	2 255	1 357	699	465	703	2 943	2 721	1 812	1 041	554	1 187	5 767	5 918	4 067	2 398	1 253	832
Benin	25	251	535	442	263	120	82	46	347	430	254	123	47	71	598	965	696	386	167	119
Botswana	8	233	442	429	303	177	145	29	157	243	187	129	88	45	37	390	685	616	432	284
Burkina Faso	26	425	637	542	372	176	88	55	360	392	276	140	67	81	785	1 029	818	512	244	126
Burundi	121	1 382	2 613	1 874	1 011	480	307	152	1 443	1 963	985	483	248	273	2 835	4 576	2 859	1 484	728	455
Cape Verde	0	24	30	26	18	4	6	0	0	18	5	1	3	0	42	47	31	19	7	12
Central African Republic																				
Chad																				
Comoros	28	351	635	482	233	78	63	45	411	608	334	153	71	73	762	1 243	816	386	149	123
Congo	173	1 576	2 705	1 817	981	532	429	225	1 349	1 973	1 126	586	354	386	2 925	4 678	2 943	1 577	886	664
DR Congo	1 343	6 485	9 548	7 925	5 341	2 801	1 752	1 842	7 130	8 415	5 939	4 127	2 352	3 185	13 615	17 963	13 864	9 468	5 153	2 861
Equatorial Guinea																				
Eritrea	21	56	85	73	62	53	44	2	70	89	56	47	21	15	23	126	174	129	109	74
Ethiopia	1 055	6 522	6 114	3 545	2 038	1 051	559	1 229	5 426	5 507	2 880	1 429	502	213	2 284	11 948	11 621	6 385	3 467	1 553
Gabon																				
Gambia																				
Ghana	66	586	1 164	1 239	861	477	506	75	453	667	584	371	183	207	1 049	1 831	1 803	1 232	680	713
Guinea	46	901	1 315	936	503	240	204	76	631	613	367	207	106	79	122	1 532	1 928	1 303	710	346
Guinea-Bissau																				
Kenya	474	4 752	8 132	4 959	2 361	1 084	601	599	4 594	5 979	2 774	1 180	542	329	1 073	9 346	14 111	7 733	3 541	1 626
Lesotho	6	32	135	73	87	52	28	4	78	121	106	40	13	13	10	110	256	179	127	65
Liberia																				
Madagascar	196	1 473	2 353	2 097	1 671	823	438	223	1 456	1 810	1 354	880	378	192	419	2 929	4 163	3 451	2 551	1 201
Malawi	61	614	1 454	954	473	233	158	109	768	1 497	715	342	146	84	170	1 382	2 951	1 669	815	379
Mali	29	369	696	570	422	291	213	30	263	385	258	160	113	95	59	632	1 081	828	582	404
Mauritania	14	206	355	281	144	139	83	21	103	152	92	64	38	42	35	309	507	353	208	177
Mauritius	0	9	9	12	15	9	6	0	4	7	3	5	4	3	0	13	16	15	20	13
Mozambique																				
Namibia	57	370	1 018	786	346	149	120	69	417	826	513	242	102	76	126	787	1 844	1 299	588	251
Niger	40	571	1 380	958	577	405	249	57	287	412	323	248	157	109	97	858	1 792	1 281	825	562
Nigeria	503	4 251	8 541	5 776	3 767	1 853	1 341	685	4 522	5 944	3 088	1 926	1 194	625	1 186	8 773	14 485	8 864	5 693	3 047
Rwanda	51	523	805	556	352	168	91	81	477	488	245	131	70	35	132	1 000	1 273	801	483	238
Sao Tome & Principe	0	4	12	8	4	4	4	0	0	6	3	3	5	0	0	13	18	11	7	9
Senegal	57	1 053	1 722	875	549	329	251	73	761	603	378	241	121	95	130	1 814	2 325	1 253	780	450
Seychelles																				
Sierra Leone	45	538	1 032	797	520	258	172	74	398	568	488	255	143	79	119	936	1 600	1 265	775	401
South Africa	1 909	10 514	21 848	20 076	12 164	4 702	2 021	2 511	14 410	21 049	13 190	6 245	2 954	1 811	4 420	24 924	47 997	33 268	18 409	7 766
Swaziland	7	223	479	344	182	97	27	17	411	576	232	88	39	18	24	634	1 055	576	280	96
Togo	234	1 741	4 406	3 551	1 681	766	505	343	1 874	3 008	1 742	824	382	246	577	3 015	7 414	5 293	2 505	1 148
Uganda	189	2 021	4 665	3 885	2 231	1 317	1 066	238	1 735	3 388	1 945	947	535	388	427	3 756	8 053	5 800	3 178	1 852
UR Tanzania	152	1 235	2 971	1 848	905	319	204	195	1 335	2 193	1 188	588	244	131	347	2 570	5 164	3 036	1 363	563
Zambia	138	500	3 693	0	716	292	153	185	739	3 311	0	553	213	90	323	1 239	7 004	0	1 269	505
Zimbabwe																				
AFR	7 653	54 179	96 884	71 030	43 074	20 597	12 850	10 102	56 594	77 008	43 887	24 129	12 281	7 431	17 755	110 773	173 892	114 887	67 203	32 878

For some countries, breakdown of notified cases by age and sex is missing, or is provided for a subset of cases. See Explanatory notes for further details. Data can be downloaded from www.who.int/tb

Table A3.12 New smear-positive case notification rates by age and sex, DOTS and non-DOTS, Africa, 2007

	Male					Female					All										
	0-14	15-24	25-34	35-44	45-54	55-64	65+	0-14	15-24	25-34	35-44	45-54	55-64	65+	0-14	15-24	25-34	35-44	45-54	55-64	65+
Algeria	2	37	56	36	33	37	58	2	28	27	15	19	29	46	2	33	41	26	26	33	51
Angola	12	165	283	310	292	265	260	18	170	237	239	203	180	158	15	167	260	273	245	220	203
Benin																					
Botswana	8	114	321	461	402	342	331	14	160	276	275	167	108	91	11	137	299	370	277	212	182
Burkina Faso	0	15	40	66	91	102	79	1	11	23	29	33	38	17	1	13	32	47	59	66	42
Burundi	1	46	114	161	151	144	107	3	39	68	76	49	40	28	2	42	90	117	97	85	58
Cameroon	3	71	191	216	179	132	104	4	74	147	116	82	61	41	4	72	169	166	130	95	69
Cape Verde Republic	0	40	78	94	128	74	81	0	30	42	17	5	32	42	0	35	60	55	55	48	55
Chad																					
Comoros	4	93	223	264	210	112	122	6	108	216	185	127	86	86	5	100	220	225	167	98	102
Cote d'Ivoire	4	75	164	204	146	119	136	6	64	147	142	59	89	76	5	70	171	175	124	105	106
DR Congo	9	106	230	307	332	282	235	12	116	201	225	236	200	118	11	111	215	266	282	238	176
Equatorial Guinea																					
Eritrea	2	11	20	39	63	73	101	0	14	21	28	36	21	21	1	12	20	33	48	43	52
Ethiopia	6	78	108	93	83	67	50	7	65	96	73	55	30	16	6	71	102	83	68	48	32
Gabon																					
Gambia	1	24	64	101	107	89	122	2	19	38	47	46	33	45	2	21	51	74	76	61	82
Ghana	2	96	202	208	162	125	160	4	70	98	84	66	50	48	3	83	151	147	114	86	96
Guinea																					
Guinea-Bissau	6	116	286	296	228	183	135	8	113	213	165	104	84	61	7	115	250	231	163	134	94
Lesotho	1	14	102	130	188	147	73	1	32	79	128	52	24	23	1	23	90	129	103	72	43
Liberia																					
Madagascar	5	76	173	223	262	225	153	5	75	132	142	135	96	57	5	76	153	182	198	158	101
Malawi	2	44	154	180	139	101	85	3	55	161	127	88	55	38	3	50	157	153	112	76	58
Mali	1	30	87	130	167	215	114	1	21	46	51	48	55	38	1	25	66	87	99	118	70
Mauritania	2	65	145	157	129	271	166	3	34	65	57	56	57	67	3	50	106	107	92	150	111
Mauritius	0	9	8	12	17	19	17	0	4	7	3	6	7	6	0	7	8	8	12	13	11
Mozambique																					
Namibia	15	155	645	751	552	395	391	18	175	542	483	320	210	178	16	165	594	616	425	291	267
Niger	1	48	166	150	111	142	101	2	22	46	53	62	62	52	1	34	104	103	90	105	79
Nigeria	2	28	84	88	84	68	68	2	30	59	46	41	40	26	2	29	72	67	62	53	45
Rwanda	2	44	133	156	133	138	97	4	40	67	59	42	43	25	3	42	98	104	84	84	54
Senegal	0	23	98	128	95	188	0	0	53	49	45	58	180	0	0	38	74	86	75	183	0
Seychelles	2	82	189	159	155	132	97	3	60	66	65	62	46	35	3	71	133	110	106	88	65
Sierra Leone	4	97	266	282	288	201	202	6	71	145	159	120	97	73	5	84	206	219	191	145	129
South Africa	25	217	538	677	562	373	243	33	300	537	435	266	188	134	29	268	537	555	415	271	176
Swaziland	0	157	600	834	610	279	166	287	683	434	242	242	147	83	222	643	609	399	205	118	178
Togo	0	23	66	91	85	60	74	1	27	54	49	32	26	26	1	25	60	70	57	42	47
Uganda	3	55	218	306	242	178	153	5	60	152	159	110	76	58	4	57	185	234	174	123	100
UR Tanzania	2	49	162	200	201	187	201	3	42	119	111	79	64	57	2	46	141	166	137	120	120
Zambia	6	97	343	394	284	172	138	7	106	265	270	179	104	64	6	101	305	334	233	134	95
Zimbabwe	5	30	333	0	207	144	76	7	44	319	0	137	79	33	6	37	326	0	169	107	51
AFR	4	66	171	195	179	142	117	6	70	137	119	94	74	53	5	68	154	157	135	106	81

Rates are per 100,000 population of each age/sex group. Rates are calculated excluding those countries for which breakdown of notified cases or population by age and sex is missing. Data can be downloaded from www.wtb.int/bb

Table A3.16 NTP budgets, available funding, cost of utilization of general health-care services and total TB control costs (US\$ millions), Africa, 2009

	NTP budget	Available funding			Funding gap	Cost of utilization of general health-care services	Total TB control costs	Completeness of budget data
		Government (excluding loans)	Loans	Grants (excluding Global Fund)				
Algeria								
Angola								
Benin								
Botswana	4.7	1.8	0	1.1	0.8	12	17	N
Burkina Faso	3.0	0.2	0	3.0	0	0.7	3.7	C
Burundi	2.2	0.1	0	0.3	1.8	0.7	2.9	C
Cameroon	1.9	0.3	0	0	1.0	5.9	7.8	C
Cape Verde	0.3	0.1	0	0.05	0.2	0.2	0.4	C
Central African Republic								N
Chad								N
Comoros								N
Conco								N
Cote d'Ivoire								N
DR Congo	53	0.3	0	3.3	39	0.9	66	C
Equatorial Guinea								N
Eritrea	38	11	0	0	21	0.1	38	C
Ethiopia	1.0	1.1	0	1.0	6.2	8.5	35	C
Gabon	1.4	0.2	0	0.1	0	1.2	2.7	C
Gambia								N
Ghana	19	1.1	0	0	12	0.6	20	C
Guinea								N
Guinea-Bissau								N
Kenya	37	6.6	1.0	12	15	5.1	42	C
Lesotho								N
Liberia								N
Madagascar	8.1	0.3	0	<0.01	4.9	1.7	9.8	C
Malawi	8.7	3.8	0	1.0	4.0	0.9	9.6	C
Mali	3.0	0.9	0	0	0.8	0.8	3.6	C
Mauritania	1.9	0.6	0	0	1.3	0.04	1.9	C
Mauritius								N
Mozambique	25	6.4	0	7.9	6.0	5.9	31	C
Namibia	4.3	1.9	0	1.2	1.2	2.0	6.2	C
Niger	0	0	0	0.05	0.8	0	0	P
Nigeria	44	7.3	0	4.4	13	11	55	C
Rwanda	1.3	0.2	0	0.4	0.7	0.1	1.4	C
Sao Tome & Principe	0.9	0.2	0	0.02	0.7	0.02	1.0	C
Senegal	3.1	0.7	0	0.2	2.2	0.3	3.3	C
Seychelles								N
Sierra Leone								N
South Africa	9.2	4.6	0	1.1	3.5	251	251	P
Swaziland	1.7	0.4	0	0.3	1.1	0.1	9.3	C
Togo								C
Uganda	17	1.3	0	0.1	4.8	1.2	18	C
UR Tanzania	25	7.1	0	4.7	7.4	4.2	29	C
Zambia	13	0.8	0	2.1	7.1	1.3	14	C
Zimbabwe	17	0.6	0	4.1	9.6	4.1	22	C
AFR	371	60	1	46	158	333	704	59%

N indicates data not available or not applicable; P indicates partial financial data; C indicates complete data and therefore included in analysis presented in chapter 3. Completeness of budget data in total row indicates percentage of countries providing complete financial data. Data can be downloaded from www.who.int/tb

Notes

Botswana

TABLE A3.8: cases not evaluated include 15 cases diagnosed with MDR-TB.

Malawi

TABLE A3.8: patients for whom treatment outcomes are not reported include those who died before starting treatment, and those whose diagnosis was changed.

Mozambique

TABLE A3.6: while DOTS is available in all administrative areas, only 1092 out of 1333 (82%) health facilities were providing DOTS services in 2007.

TABLE A3.11: breakdown of notified cases by sex was not available. In 2007, of the 18 324 notified new smear-positive cases, 333 were in patients aged under 15 years, and 17 881 were patients aged 15 years or more.

Zimbabwe

TABLE A3.11: all new smear-positive cases in people aged 25–44 years are shown under 25–44 years.

THE AMERICAS



The Americas

NTP MANAGER (OR EQUIVALENT) AND/OR PERSON(S) RESPONSIBLE FOR COMPLETING DATA COLLECTION FORM

Anguilla	Lynette Rogers; Lynrod Brooks
Antigua & Barbuda	Oritta Zachariah; Janet Samuel
Argentina	Sergio Arias
Bahamas	Alice Neymour
Barbados	R.A. Manohar Singh
Belize	Marvin Manzanero
Bermuda	
Bolivia	Miram Nogales Rodriguez
Brazil	Draurio Barreira; Stefano Barbosa Codenotti; Gisele Pinto de Oliveira
British Virgin Islands	Athelene Linton
Canada	Edward Ellis; Victor Galant
Cayman Islands	A. K. Kumar; Timothy E. D. McLaughlin-Munroe
Chile	Manuel Zuñiga Gajardo; Zulema Torres Gaete
Colombia	Gilberto Alvarez Uribe; Ernesto Moreno Naranjo; César Castiblanco Montañez
Costa Rica	Zeidy Mata A.
Cuba	María Josefa Llanes Cordero
Dominica	David Johnson; Paul Ricketts
Dominican Republic	Belkys Marcelino; Lourdes McDougall Alarcon
Ecuador	Jorge Iñiguez Luzuriaga; Christian Acosta
El Salvador	Julio Garay Ramos; Marta De Abrego; Xochil Aleman
Grenada	Alister Antoine
Guatemala	Carlos Paz
Guyana	Jeetendra Mohanlall
Haiti	Richard D'Meza; Fleurimonde Charles
Honduras	Cecilia Elena Varela Martinez
Jamaica	Michael Williams
Mexico	Martín Castellanos Joya; Martha A. García Avilés; Héctor A. Téllez Medina
Montserrat	Dorothea L Hazel
Netherlands Antilles	
Nicaragua	Orlando Aristides Sequeira Perez
Panama	Cecilia Lyons de Arango; C. Torres, J. Bravo
Paraguay	Juan Carlos Jara Rodríguez; Celia Martínez de Cuellar; Ofelia Cuevas; Tomasa Portillo; Mirian Alvarez
Peru	César Antonio Bonilla Asalde; Rula Aylas Salcedo; Ana María Chavez; Remy Quispe; Ronal Jamanca
Puerto Rico	Ada S. Martinez; María del Carmen Bermúdez
Saint Kitts & Nevis	Dianne Francis-Delaney; William Turner
Saint Lucia	Alina Montane Jaime
St Vincent & Grenadines	Roger Duncan; Jennifer George
Suriname	
Trinidad & Tobago	Dottin Ramoutar; Leilawat Mohammed
Turks & Caicos Islands	
Uruguay	Jorge Rodriguez de Marco
USA	Kenneth G. Castro; Ryan Wallace
US Virgin Islands	
Venezuela	Mercedes España Cedeño; Andrea Maldonado Saavedra

This list shows the people named on the data collection form sent to WHO in 2008, not necessarily the current NTP manager. It is intended as an acknowledgement rather than a directory.

Table A3.1 Methods and assumptions for estimation of TB incidence, prevalence and mortality, the Americas

Country	Reference year	Incidence est. based on	Trend	Source of estimates		Cfr ss+ HIV-		Duration ss+HIV-		Duration ss-HIV-	
				TB/HIV	MDR (vs.treat)	DOTS	non-DOTS	DOTS	non-DOTS	DOTS	non-DOTS
Anguilla	1997	Notif.	Group, exp.	Model	Model	0.1	0.2	1	1.5	1	1.5
Antigua & Barbuda	1997	Notif.	Group, moving ave.	Model	Model	0.1	0.1	1	1.5	1	1.5
Argentina	1997	Notif.	Country notifs, exp.	DRS	DRS	0.1	0.1	1	1.5	1	1.5
Barbados	2000	Notif.	Not estimated	Model	Model	0.1	0.2	1	1.5	1	1.5
Bahamas	1997	Notif.	Group, moving ave.	Model	Model	0.1	0.2	1	1.5	1	1.5
Belize	1997	Notif.	Not estimated	Model	Model	0.1	0.2	1	1.5	1	1.5
Bermuda	1997	Notif.	Group, moving ave.	Model	Model	0.1	0.2	1	1.5	1	1.5
Bolivia	1997	Notif.	Group, exp.	DRS	DRS	0.1	0.2	1	1.5	1	1.5
Brazil	2005	Notif.	Mortality, exp.	DRS	DRS	0.1	0.1	1	1.5	1	1.5
British Virgin Islands	1997	Notif.	Group, moving ave.	Model	Model	0.1	0.2	1	1.5	1	1.5
Canada	1997	Notif.	Group, moving ave.	DRS	DRS	0.12	0.12	0.75	0.75	0.75	0.75
Cayman Islands	1997	Notif.	Group, moving ave.	Model	Model	0.1	0.2	1	1.5	1	1.5
Chile	1997	Notif.	Country notifs, exp.	DRS	DRS	0.1	0.1	1	1.5	1	1.5
Colombia	1997	Notif.	Country notifs, exp.	DRS	DRS	0.1	0.15	1	1.5	1	1.5
Costa Rica	1997	Notif.	Group, exp.	DRS	DRS	0.1	0.15	1	1.5	1	1.5
Cuba	1997	Notif.	Country notifs, exp.	DRS	DRS	0.1	0.1	1	1.5	1	1.5
Dominica	1997	Notif.	Group, exp.	Model	Model	0.1	0.2	1	1.5	1	1.5
Dominican Republic	1997	Notif.	Group, exp.	DRS	DRS	0.1	0.2	1	1.5	1	1.5
Ecuador	1997	Notif.	Country notifs, exp.	DRS	DRS	0.1	0.15	1	1.5	1	1.5
El Salvador	1997	Notif.	Group, exp.	DRS	DRS	0.1	0.2	1	1.5	1	1.5
Grenada	1997	Notif.	Group, exp.	Model	Model	0.1	0.2	1	1.5	1	1.5
Guatemala	1997	Notif.	Country notifs, exp.	DRS	DRS	0.1	0.15	1	1.5	1	1.5
Guyana	1997	Notif.	Country notifs, moving ave.	Model	Model	0.1	0.2	1	1.5	1	1.5
Haiti	1997	Notif.	Not estimated	Model	Model	0.1	0.2	1	1.5	1	1.5
Honduras	1997	Notif.	Group, exp.	DRS	DRS	0.1	0.15	1	1.5	1	1.5
Jamaica	1997	Notif.	Not estimated	Model	Model	0.1	0.2	1	1.5	1	1.5
Mexico	2003	Mort.	Country notifs, exp.	DRS	DRS	0.1	0.15	1	1.5	1	1.5
Montserrat	1997	Notif.	Group, exp.	Model	Model	0.1	0.2	1	1.5	1	1.5
Netherlands Antilles	1997	Notif.	Group, moving ave.	Model	Model	0.1	0.225	1	1.5	1	2
Nicaragua	1997	Notif.	Country notifs, exp.	DRS	DRS	0.1	0.15	1	1.5	1	1.5
Panama	1997	Notif.	Not estimated	Model	Model	0.1	0.15	1	1.5	1	1.5
Paraguay	1997	Notif.	Country notifs, exp.	DRS	DRS	0.1	0.2	1	1.5	1	1.5
Peru	1997	Notif.	Country notifs, exp.	DRS	DRS	0.1	0.15	1	1.5	1	1.5
Puerto Rico	1997	Notif.	Country notifs, moving ave.	Routine	Model	0.1	0.2	1	1.5	1	1.5
Saint Kitts & Nevis	1997	Notif.	Group, exp.	Model	Model	0.1	0.2	1	1.5	1	1.5
Saint Lucia	1997	Notif.	Group, exp.	Model	Model	0.1	0.2	1	1.5	1	1.5
St Vincent & Grenadines	1997	Notif.	Group, exp.	Model	Model	0.1	0.2	1	1.5	1	1.5
Suriname	1997	Notif.	Country notifs, moving ave.	Model	Model	0.1	0.2	1	1.5	1	1.5
Trinidad & Tobago	1997	Notif.	Not estimated	Model	Model	0.1	0.2	1	1.5	1	1.5
Turks & Caicos Islands	1997	Notif.	Group, moving ave.	Model	Model	0.1	0.2	1	1.5	1	1.5
Uruguay	1997	Notif.	Country notifs, exp.	DRS	DRS	0.1	0.1	1	1.5	1	1.5
US Virgin Islands	1997	Notif.	Group, moving ave.	Model	Model	0.1	0.2	1	1.5	1	1.5
USA	1997	Notif.	Country notifs, moving ave.	Model	Model	0.12	0.12	0.75	0.75	0.75	0.75
Venezuela	1997	Notif.	Country notifs, exp.	DRS	DRS	0.1	0.1	1	1.5	1	1.5

- indicates no estimate; ARI, annual risk of infection; ave, average; C-Rec., capture re-capture; CDR, case detection rate; DRS, drug resistance survey; exp., exponential; HIV+, HIV-positive; HIV-, HIV-negative; Mort., mortality (vital registration); Notif(s), notification(s); Prev., disease prevalence survey; ss+, sputum smear-positive; ss-, sputum smear-negative. See Annex 2 (methods) for details. Data can be downloaded from www.who.int/tb

Table A3.7 Laboratory services, collaborative TB/HIV activities and management of MDR-TB, the Americas, 2006–2007

	Laboratory services, 2007				Collaborative TB/HIV activities								Management of MDR-TB, 2007					
	Number of labs working with NTP smear culture	Smear labs included in EOA	TB pts tested for HIV	TB pts included with NTP DST	2006	2007	2006	2007	2006	2007	2006	2007	2006	2007	2006	2007		
					TB pts HIV+ TB pts CPT	TB pts HIV+ TB pts ART	TB pts HIV+ TB pts ART	TB pts HIV+ TB pts ART	TB pts HIV+ TB pts ART	TB pts HIV+ TB pts ART	TB pts HIV+ TB pts ART	Lab-confirmed MDR	DST in new cases	MDR	Re-treatment DST	Re-treatment MDR		
Anguilla	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
Antigua & Barbuda	688	116	19	199	229	221	314	326	314	0	0	0	0	0	0	0		
Argentina	3	1	2	2	61	33	43	43	13	0	3	0	35	0	2	0		
Bahamas	1	1	2	2	5	2	8	2	2	2	2	8	8	0	0	0		
Barbados	6	0	0	0	84	10	63	10	10	9	0	0	0	0	0	0		
Belize																		
Bermuda	454	8	2	456	2	0	0	0	0	0	0	35	0	0	0	0		
Bolivia	4,044	193	38	1,819	52,115	7,792	0	7,792	0	8,141	832	308	275	666	567	0		
Brazil	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
British Virgin Islands	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
Canada	10	10	10	10	441	62	3	495	56	0	10	1,113	7	84	3	0		
Cayman Islands	4				0	0	0	0	0	0	0	0	0	0	0	0		
Chile	285	50	1	195	61	61	0	0	0	0	1	0	0	0	0	0		
Colombia	2,932	967	4	2,651	7,928	453	0	362	0	0	6,149	505	10	576	265	0		
Costa Rica	98	27	1	82	345	38	41	550	38	0	1,993	150	1	335	103	0		
Cuba	480	15	1	480	66	4	0	1	0	13	1,864	322	3	118	1	14		
Dominica	2	0	0	0	4	0	0	0	0	0	0	0	0	0	0	0		
Dominican Republic	182	6	1	161	1,771	218	0	1,771	0	0	275	140	10	576	81	1		
Ecuador	310	10	1	310	0	392	0	1,993	0	77	1,993	150	1	457	0	0		
El Salvador	200	10	1	198	1,631	176	22	1,566	206	107	6,149	505	2	335	103	0		
Grenada	1	0	0	0	1	0	0	1	0	0	1	0	0	0	0	0		
Guatemala	181	11	1	35	960	142	0	426	53	0	23	0	0	0	0	0		
Guayana	14	1	0	14	566	75	28	846	159	40	8	0	0	0	0	0		
Haiti	247	0	0	0	5,996	1,584	0	8,464	1,937	684	39	0	0	0	39	0		
Honduras	148	4	1	101	1,787	202	18	1,753	183	12	4	0	0	0	78	4		
Jamaica	3	1	6	6	81	25	16	86	19	0	0	0	0	0	0	0		
Mexico	1,153	56	14	555	1,047	540	0	1,550	561	0	0	0	0	0	0	0		
Montserrat	1	1	1	1	1	1	0	0	0	0	0	0	0	0	0	0		
Netherlands Antilles	177	3	1	1	0	0	0	0	0	0	8	200	8	237	0	0		
Nicaragua	58	8	1	58	1,854	270	56	1,770	243	61	5	20	5	15	0	0		
Paraguay	99	6	1	72	47	47	0	67	67	0	5	184	1	33	4	0		
Peru	1,534	60	6	1,498	5,200	31	15	15,149	132	24	945	171	114	1,198	881	0		
Puerto Rico	1	0	0	0	101	20	6	92	21	9	2	87	2	0	0	0		
Saint Kitts & Nevis	2	0	0	0	15	0	0	2	2	1	0	0	0	0	0	0		
Saint Lucia	1	1	1	1	20	5	5	18	7	7	0	0	0	0	0	0		
St Vincent & Grenadines	1	1	1	1	20	5	5	18	7	7	0	0	0	0	0	0		
Suriname	1	1	1	1	250	24	13	260	78	11	0	208	0	40	0	0		
Trinidad & Tobago	1	1	1	1	8	0	0	8	0	0	0	0	0	0	0	0		
Turks & Caicos Islands	1	1	1	1	539	81	0	564	87	0	1	392	0	33	1	0		
Uruguay					8,142	882	0	8,142	882	0	119	9,274	98	479	19	0		
US Virgin Islands	547	18	1	125	3,224	400	0	3,549	443	0	3	19	0	76	3	0		
Venezuela	13,874	1,487	111	9,040	94,578	13,885	96	113,559	14,619	879	2,522	13,061	532	4,183	1,839	0		
AMR	13,874	1,487	111	9,040	94,578	13,885	96	113,559	14,619	879	2,522	13,061	532	4,183	1,839	0		

ART indicates antiretroviral therapy; CPT, co-trimoxazole preventive therapy; DST, drug susceptibility testing; EOA, external quality assurance; HIV+, HIV-positive pts, patients. See Explanatory notes for further details. Data can be downloaded from www.who.int/tb

Table A3.8 Treatment outcomes, the Americas, 2006 cohort

	New smear-positive cases, DOTS					New smear-positive cases, non-DOTS					Smear-positive re-treatment cases, DOTS																				
	Number of cases notified	Registered	% of notified registered	Completed	% of cohort	Number of cases notified	Registered	% of notified registered	Completed	% of cohort	Number registered	Completed	% of cohort	Completed	% of cohort	Transferred	Not evaluated	% Success													
Anguilla	4 834	4 622	96	24	40	6	0	8	3	20	63	750	11	31	6	1	13	5	33	43											
Antigua & Barbuda	0	40	0	75	20	5	0	0	0	0	75	5	0	20	20	40	20	0	0	20											
Argentina	4	5	125	100	0	0	0	0	0	0	100	0	0	0	0	0	0	0	0	0											
Bahamas	60																														
Barbados																															
Belize																															
Bermuda	5 788	5 642	97	81	2	3	1	6	4	3	83	694	66	4	5	2	10	4	9	70											
Bolivia	32 463	34 818	107	33	39	4	0	8	3	12	72	4 955	15	28	6	2	16	11	23	43											
Brazil																															
British Virgin Islands	407	411	101	6	51	7	0	1	1	34	57	130	8	41	10	0	3	1	38	48											
Canada																															
Cayman Islands																															
Chile	1 533	1 142	74	85	7	0	7	0	0	0	86	100	47	19	10	2	14	1	7	66											
Colombia	7 648	7 648	100	62	9	6	1	8	14	0	71	34	59	9	9	3	21	0	0	68											
Costa Rica	285	286	104	83	5	3	2	4	3	0	88	59	66	17	8	0	5	3	0	83											
Cuba	432	431	100	87	3	7	1	3	0	0	90	428	43	5	5	6	20	3	19	48											
Dominica	8	8	100	23	23	5	3	1	6	2	10	76	616	54	12	6	8	15	2	4	66										
Dominican Republic	2 515	2 356	94	73	5	3	1	6	2	10	78	136	76	0	4	4	7	0	9	76											
Ecuador	2 610	2 610	100	71	3	3	2	7	1	13	74	572	572	100	1	0	0	0	99	1											
El Salvador	913	913	100	90	1	4	2	3	0	0	91																				
Grenada																															
Guatemala	2 501	2 501	100	42	4	3	1	4	1	44	47	56	5	27	2	4	43	2	18	32											
Guyana	239	224	94	4	63	4	1	25	3	0	68	269	58	6	9	6	13	5	3	64											
Haiti	6 873	6 873	100	74	8	5	1	7	3	1	82	568	588	100	63	9	1	13	3	3	72										
Honduras	2 018	1 944	96	78	7	5	0	5	4	0	86	153	66	5	10	1	12	5	0	71											
Jamaica	61	61	100	8	33	18	0	39	2	0	41	5	0	80	0	20	0	0	0	80											
Mexico	11 874	11 564	97	74	6	6	1	6	2	5	80	1 384	52	7	9	5	14	3	11	59											
Montserrat	0																														
Netherlands Antilles	1 285	2 504	195	48	41	3	1	5	3	0	89	0																			
Nicaragua	858	853	99	66	13	6	1	12	1	0	79	285	14	43	15	2	26	1	0	57											
Panama	1 179	1 179	100	54	30	5	2	6	2	3	83	142	49	22	4	0	8	1	16	71											
Paraguay	19 251	19 251	100	75	3	2	2	3	1	15	78	1 788	70	3	3	3	9	1	10	73											
Peru	69	69	100	80	0	19	0	1	0	0	80	0																			
Puerto Rico	1	2	200	100	100	20	0	0	0	0	100																				
Saint Kitts & Nevis	13	20	154	15	65	20	0	0	0	0	80																				
Saint Lucia	8																														
St Vincent & Grenadines																															
Suriname																															
Trinidad & Tobago																															
Turks & Caicos Islands	7																														
Uruguay	305	301	99	82	5	9	0	3	1	0	87	38	74	3	11	0	11	0	3	76											
US Virgin Islands	5 091	5 140	101	64	9	2																									
USA	3 547	3 497	99	82	0	5	0	11	2	0	82	257	77	0	8	1	11	3	0	77											
Venezuela																															
AMR	114 680	116 925	102	55	20	4	1	6	3	10	75	10 509	15 153	144	26	46	4	0	10	3	10	72	12 282	37	18	6	3	14	6	16	55

Not eval. indicates not evaluated (percentage of registered cases for which outcomes were not recorded); success, sum of cured and complete; cases registered, the denominator for calculating treatment outcomes. The number of cases registered for treatment in 2006 is used as the denominator for calculating treatment outcomes unless it is less than the sum of outcomes, in which case the sum of outcomes is used. If the number of cases registered is not reported, then the number of cases notified in 2006 is used, or the sum of outcomes if the latter is greater. Data can be downloaded from www.who.int/tb

Table A3.9 DOTS re-treatment outcomes, the Americas, 2006 cohort

	Release DOTS % of cohort						After failure DOTS % of cohort						After default DOTS % of cohort														
	Number registered	Cured	Completed	Died	Defaulted	Transferred	Not evaluated	% Success	Number registered	Cured	Completed	Died	Defaulted	Transferred	Not evaluated	% Success	Number registered	Cured	Completed	Died	Defaulted	Transferred	Not evaluated	% Success			
Anguilla																											
Antigua & Barbuda																											
Argentina	100	31	40	15	0	7	0	7	71																		
Bahamas	1	0	100	0	0	0	0	100																			
Barbados	0																										
Belize																											
Bermuda																											
Bolivia																											
Brazil	2 056	25	26	5	1	9	11	23	51	224	5	10	6	18	4	10	46	15	1 542	14	19	6	1	27	13	20	33
British Virgin Islands																											
Canada	76	11	42	8	0	3	0	37	53	0									0								
Cayman Islands																											
Chile	100	47	19	10	2	14	1	7	66																		
Colombia																											
Costa Rica	18	72	11	11		6		0	83	1	100								14	36	7	7	7	43	0	43	
Cuba	54	69	19	9		4		0	87	3	33								2	50	7	7	7	50	0	50	
Dominica																											
Dominican Republic	238	51	5	5	6	11	1	20	57	19	0	0	5	32	5	0	58	0	171	35	6	4	2	33	5	14	41
Ecuador	338	67	8	4	8	11	1	74		70	44	7	7	23	14	1	3	51	136	46	7	7	4	27	4	54	
El Salvador	101	80	0	5	2	6	0	7	80	17	71	0	0	6	0	24	71		18	61	0	0	11	22	6	61	
Grenada																											
Guatemala	26	4	27	4	4	19	4	38	31										27	0	30	0	0	70	0	0	30
Guyana	234	58	6	10	5	12	6	4	64										35	57	9	3	11	17	3	0	66
Haiti																											
Honduras																											
Jamaica	2	0	100	0	0	0	0	100		0									2	0	50	0	0	50	0	0	50
Mexico	572	57	7	8	5	11	2	10	64	59	36	0	7	15	14	3	25	36	400	49	5	10	5	18	3	11	54
Montserrat																											
Netherlands Antilles																											
Nicaragua	0									0										0							
Panama	61	43	23	13	7	15		0	66	11	9	27	36	18	9				52	23	15	13		46	2	0	38
Paraguay	66	52	27	2	8	8		12	79	2	50	50							27	41	19	4		11	26	59	
Peru	1 520	74	2	3	3	7	1	9	76										266	52	6	5	3	19	0	16	58
Puerto Rico	0									0										0							
Saint Kitts & Nevis																											
Saint Lucia																											
St Vincent & Grenadines																											
Suriname																											
Trinidad & Tobago																											
Turks & Caicos Islands																											
Uruguay	31	77	3	13	0	6	0	0	81	2	100	0	0	0	0	0	0	100	5	40	0	0	0	40	0	20	40
US Virgin Islands																											
USA	257	77	0	8	1	11	3	0	77																		
Venezuela																											
AMR	5 851	50	14	5	3	9	5	14	64	410	20	8	7	18	8	6	33	27	2 699	27	14	6	2	26	9	16	41

Not eval. indicates not evaluated (percentage of registered cases for which outcomes were not recorded); success, sum of cured and completed; cases registered, the denominator for calculating treatment outcomes. The number of cases registered for treatment in 2006 is used as the denominator for calculating treatment outcomes unless it is less than the sum of outcomes, in which case the sum of outcomes is used. If the number of cases registered is not reported, then the number of cases notified in 2006 is used, or the sum of outcomes if the latter is greater. Data can be downloaded from www.who.int/tb

Table A3.10 DOTS treatment success and case detection rates, the Americas, 1994–2007

	DOTS new smear-positive treatment success (%)										DOTS new smear-positive case detection rate (%)																
	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007
Anguilla																											
Antigua & Barbuda																											
Argentina																											
Bahamas																											
Barbados																											
Belize																											
Bermuda																											
Bolivia																											
Brazil																											
British Virgin Islands																											
Cayman Islands																											
Chile																											
Colombia																											
Costa Rica																											
Cuba																											
Dominica																											
Dominican Republic																											
Ecuador																											
El Salvador																											
Grenada																											
Guatemala																											
Guyana																											
Haiti																											
Honduras																											
Jamaica																											
Mexico																											
Montserrat																											
Netherlands Antilles																											
Nicaragua																											
Panama																											
Paraguay																											
Peru																											
Puerto Rico																											
Saint Kitts & Nevis																											
Saint Lucia																											
Saint Vincent & Grenadines																											
Suriname																											
Trinidad & Tobago																											
Turks & Caicos Islands																											
Uruguay																											
US Virgin Islands																											
USA																											
Venezuela																											
AMR	76	78	83	82	81	83	81	82	83	83	82	78	75	26	26	26	29	33	36	43	42	45	49	57	62	72	73

Treatment success, sum of cured and completed; DOTS new smear-positive case detection rate, notified new smear-positive cases divided by estimated incident cases. Figures for all years are updated as new information becomes available and/or techniques are refined, so they may differ from those published previously. Data can be downloaded from www.who.int/tb

Table A3.11 New smear-positive case notification by age and sex, DOTS and non-DOTS, the Americas, 2007

	Male										Female										All	Male/female ratio	
	0-14	15-24	25-34	35-44	45-54	55-64	65+	0-14	15-24	25-34	35-44	45-54	55-64	65+	0-14	15-24	25-34	35-44	45-54	55-64			65+
Anguilla	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Antigua & Barbuda	77	656	623	401	415	389	324	70	569	500	246	217	172	246	147	1 214	1 123	647	632	561	570	1.4	
Argentina	0	3	3	4	1	0	0	0	3	4	1	1	1	0	0	6	7	12	5	2	0	1.7	
Bahamas	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1.7	
Barbados	1	6	8	8	7	6	3	0	8	2	5	2	2	3	1	14	10	13	9	8	6	1.8	
Belize	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1.8	
Bermuda	116	1 100	604	379	348	328	354	125	736	453	243	193	162	259	241	1 836	1 067	622	541	490	613	1.5	
Bolivia	371	4 399	5 990	5 456	4 878	2 726	2 075	344	2 952	3 250	2 327	1 727	977	972	715	7 351	9 240	7 783	6 605	3 703	3 047	2.1	
Brazil	5	31	41	51	50	35	75	2	32	33	33	11	13	51	7	63	74	84	61	48	126	1.6	
British Virgin Islands	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1.6	
Canada	3	86	137	140	169	139	121	8	59	75	63	49	39	78	11	145	212	203	218	178	199	2.1	
Chile	144	618	704	694	712	574	786	138	599	620	459	393	286	461	282	1 217	1 324	1 153	1 105	860	1 247	1.4	
Colombia	4	44	57	28	32	17	31	3	16	24	19	16	16	15	7	60	81	47	48	33	46	2.0	
Costa Rica	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2.0	
Cuba	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2.0	
Dominica	23	290	403	362	209	108	85	29	249	242	174	103	53	43	52	539	645	536	312	161	128	1.7	
Dominican Republic	42	555	466	367	282	178	227	57	365	335	198	133	100	123	99	920	821	565	415	276	350	1.6	
Ecuador	8	79	179	110	73	62	95	4	63	85	50	45	33	56	12	142	264	160	116	95	151	1.8	
El Salvador	74	169	207	226	203	159	155	183	163	246	145	153	143	122	257	332	453	371	356	302	277	1.0	
Grenada	2	15	43	44	41	12	8	1	20	19	17	5	3	3	3	35	62	61	46	15	11	2.4	
Guatemala	104	1 166	1 199	760	471	219	192	147	1 261	1 107	632	344	162	131	251	2 427	2 306	1 392	815	401	323	1.1	
Guyana	21	204	293	194	158	123	180	29	185	175	110	106	84	112	50	389	468	304	264	207	292	1.5	
Haiti	12	10	7	17	7	3	3	2	5	2	6	2	2	3	2	17	12	13	19	9	6	2.5	
Honduras	145	981	1 286	1 286	942	1 226	1 226	140	645	742	694	748	642	768	285	1 626	2 028	1 980	2 014	1 584	2 014	1.6	
Jamaica	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1.0	
Mexico	16	172	194	144	130	77	91	27	158	168	100	76	45	55	43	330	362	244	206	122	146	1.3	
Montserrat	7	106	139	116	81	50	61	7	56	74	59	33	21	23	14	162	213	175	114	71	84	2.1	
Netherlands Antilles	14	171	221	152	135	94	100	15	100	98	46	46	34	47	29	271	319	198	181	128	147	2.3	
Nicaragua	395	3 436	2 239	1 585	1 152	654	702	335	2 684	1 603	1 127	813	402	669	730	6 120	3 842	2 712	1 965	1 056	1 371	1.3	
Panama	0	6	2	9	8	10	6	0	0	2	4	7	1	1	0	6	4	13	15	11	7	2.7	
Paraguay	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	3.0	
Puerto Rico	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	7.5	
Saint Kitts & Nevis	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1.0	
Saint Lucia	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1.0	
Saint Vincent & Grenadines	1	10	16	21	28	18	5	0	5	7	7	4	3	5	1	15	23	28	32	21	10	3.2	
Suriname	1	39	69	37	50	39	39	1	23	26	22	14	7	13	2	62	95	59	64	46	52	2.6	
Turks & Caicos Islands	12	414	480	572	744	533	562	12	257	338	260	225	135	308	24	671	828	832	969	668	870	2.2	
Uruguay	17	324	382	390	389	272	295	40	276	271	199	160	147	230	57	600	653	589	549	419	525	1.6	
USA	1 603	15 093	16 030	13 556	12 060	7 781	7 805	1 719	11 479	10 501	7 248	5 630	3 707	4 819	3 322	26 572	26 531	20 804	17 690	11 488	12 624	1.6	
Venezuela																							

For some countries, breakdown of notified cases by age and sex is missing, or is provided for a subset of cases. See Explanatory notes for further details. Data can be downloaded from www.who.int/tb

Table A3.12 New smear-positive case notification rates by age and sex, DOTS and non-DOTS, the Americas, 2007

	Male					Female					All											
	0-14	15-24	25-34	35-44	45-54	55-64	65+	0-14	15-24	25-34	35-44	45-54	55-64	65+	0-14	15-24	25-34	35-44	45-54	55-64	65+	
Anguilla																						
Antigua & Barbuda																						
Argentina	1	19	20	17	21	25	20	1	17	16	10	10	10	10	1	18	18	13	15	17	14	
Bahamas	0	10	12	37	22	9	0	0	10	15	12	5	8	0	0	10	13	24	13	9	0	
Barbados	0	0	0	0	22	0	0	0	0	0	12	0	0	0	0	0	0	0	17	0	0	
Belize	2	20	34	50	66	99	52	0	28	9	31	19	34	48	1	24	21	40	43	67	50	
Bermuda																						
Bolivia	6	116	86	74	98	141	181	7	80	64	46	51	63	106	7	98	75	60	74	100	139	
Brazil	1	25	38	42	49	45	39	1	17	20	17	16	14	14	1	21	29	29	32	29	25	
Canada																						
Caribbean Islands	0	1	2	2	2	2	4	0	1	1	1	0	1	2	0	1	2	2	1	1	3	
Chile	0	6	11	11	16	21	20	0	4	6	5	5	6	10	0	5	9	8	10	13	14	
Colombia	2	14	19	22	31	42	74	2	14	17	14	16	18	33	2	14	16	18	23	29	51	
Costa Rica	1	10	16	9	13	12	25	1	4	7	6	7	11	10	1	7	11	8	10	12	17	
Cuba																						
Dominica	1	32	54	59	47	39	31	2	28	32	28	23	19	15	2	30	43	43	35	29	23	
Dominican Republic	2	43	47	45	46	46	59	3	29	33	24	21	25	28	2	36	40	34	33	35	42	
Ecuador	1	12	30	29	30	35	57	0	10	14	12	15	17	25	1	11	22	20	22	25	39	
El Salvador																						
Grenada																						
Guatemala	3	13	24	43	54	57	56	7	12	25	23	36	47	40	5	12	25	32	44	52	48	
Guatemala	2	26	85	75	87	41	37	1	33	38	35	13	14	13	1	30	62	57	53	29	25	
Guyana	6	112	172	162	140	105	104	8	122	150	126	95	77	59	7	117	161	143	116	90	79	
Haiti																						
Honduras	1	27	57	58	67	87	130	2	24	32	30	43	57	70	2	26	44	43	55	71	98	
Jamaica	1	5	5	4	14	9	3	0	2	1	3	1	3	3	0	3	3	4	7	6	3	
Mexico	1	11	15	18	25	29	42	1	6	8	9	14	19	22	1	8	11	14	19	24	31	
Montserrat																						
Montserrat																						
Nicaragua	2	28	46	50	65	65	83	3	26	37	33	38	39	45	2	27	41	41	50	52	63	
Nicaragua	1	35	52	49	49	46	61	1	19	26	25	20	19	21	1	27	40	37	35	33	40	
Paraguay	1	27	48	45	51	56	71	1	16	22	14	18	21	29	1	21	35	30	35	39	49	
Peru	9	125	98	91	91	80	96	8	100	71	63	63	48	76	9	112	84	77	77	64	85	
Puerto Rico																						
Saint Kitts & Nevis	0	2	1	4	4	5	3	0	0	1	1	3	0	0	0	1	1	2	3	3	1	
Saint Lucia																						
St. Lucia	0	0	0	10	37	0	32	0	0	0	0	0	0	0	0	0	0	5	19	0	15	
Grenadines																						
Grenadines	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Suriname																						
Suriname	1	7	14	22	36	38	13	0	4	6	7	5	6	10	0	5	10	14	19	21	11	
Trinidad & Tobago																						
Trinidad & Tobago	0	15	29	18	27	27	22	0	9	11	10	7	4	5	0	12	20	14	16	15	11	
Uruguay																						
Uruguay	0	2	2	3	3	3	4	0	1	2	1	1	1	1	0	2	2	2	2	2	2	
US Virgin Islands	0	12	17	22	29	31	45	1	11	12	11	12	17	30	1	11	15	16	20	24	36	
Venezuela																						
Venezuela	1	20	23	22	23	22	23	1	15	15	12	10	10	11	1	17	19	17	16	16	16	

Rates are per 100 000 population of each age/sex group. Rates are calculated excluding those countries for which breakdown of notified cases or population by age and sex is missing. Data can be downloaded from www.who.int/tb

Table A3.13 TB case notifications, the Americas, 1980–2007

	1980	1981	1982	1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007			
Anguilla	0	0	4	0	0	0	1	0	0	0	0	0	0	0	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0		
Antigua & Barbuda	8	3	0	0	1	3	2	0	3	3	1	0	6	0	0	0	0	3	4	3	4	4	1	1	4	6	4	2	2		
Argentina	16 406	16 693	17 292	17 205	16 359	15 987	14 681	13 368	13 267	12 636	12 309	12 185	12 606	13 887	13 887	13 683	13 450	13 397	12 621	12 276	11 871	11 767	11 456	10 728	10 619	10 770	9 406	9 755	7 955		
Bahamas	70	67	54	58	53	63	52	43	51	52	46	53	63	60	78	57	59	88	75	76	82	82	44	38	53	53	19	16	46		
Barbados	64	3	30	17	14	12	7	3	4	5	5	5	6	6	5	3	3	3	5	7	2	3	6	5	19	3	5	16	16		
Belize	21	33	44	140	35	25	23	41	28	30	57	89	65	80	59	95	99	107	123	104	106	136	135	99	83	102	85	63	63		
Bermuda	1	2	5	10	3	2	6	2	1	2	0	3	4	0	0	0	0	0	0	0	0	0	0	0	6	3	3	3	3		
Bolivia	4 412	5 072	4 777	5 178	4 131	7 679	8 960	8 664	10 664	12 563	11 166	11 223	9 520	8 614	9 431	14 422	10 194	9 853	10 132	9 863	10 127	10 531	10 201	9 836	9 801	9 748	9 014	8 574	8 574		
Brazil	72 608	86 411	87 822	86 310	83 731	81 826	82 395	80 048	74 570	80 048	74 570	84 990	85 955	75 659	91 013	87 254	83 309	83 309	85 009	95 009	78 879	77 899	74 466	81 436	80 114	86 881	80 209	77 632	74 757	74 757	
British Virgin Islands	2 762	2 526	2 473	2 355	2 356	2 144	2 145	1 972	1 947	2 035	1 968	2 012	2 107	2 011	2 066	1 921	1 849	1 969	1 773	1 791	1 667	1 657	1 602	1 574	1 533	1 484	1 434	1 476	1 476	1 476	
Cayman Islands	0	2	0	1	1	4	1	0	0	2	2	3	3	2	2	2	2	0	0	3	2	1	0	0	1	0	1	0	0	0	
Chile	8 523	7 337	6 941	6 989	6 561	6 644	6 644	6 280	6 324	6 728	6 151	5 498	5 104	4 898	4 138	4 150	4 178	3 850	3 652	3 429	3 021	3 006	2 448	2 226	2 664	2 134	2 486	2 418	2 418	2 418	
Colombia	11 599	11 483	12 126	13 716	12 792	12 024	11 639	11 437	11 469	11 329	11 447	12 263	11 199	11 043	9 031	9 912	9 702	8 042	9 155	10 999	11 630	11 480	11 376	11 242	11 242	11 242	10 960	11 128	10 950	10 950	
Costa Rica	306	521	459	479	393	376	418	434	442	311	230	201	118	313	325	586	636	692	730	851	685	630	543	527	712	534	488	488	550	550	
Cuba	1 133	833	815	762	705	680	666	630	628	591	546	514	410	790	1 681	1 583	1 485	1 346	1 234	1 135	1 183	928	898	840	764	770	765	762	762	762	
Dominica	20	26	18	16	5	8	95	27	7	13	6	14	13	12	12	10	8	6	6	10	6	2	2	2	2	19	19	19	19	19	
Dominican Republic	2 174	1 778	2 457	2 959	3 100	2 335	2 634	2 459	3 081	3 145	2 597	1 937	3 490	4 033	4 337	4 083	3 302	3 381	5 114	5 767	5 291	4 766	4 040	4 696	4 549	5 003	4 561	4 561	4 561	4 561	
Ecuador	3 950	3 966	3 880	3 885	4 301	4 786	5 887	5 887	5 487	5 480	8 245	6 879	7 313	7 050	9 685	7 693	8 397	9 435	7 164	5 756	6 908	6 015	5 829	6 442	6 122	4 416	4 594	4 877	4 877	4 877	
El Salvador	2 295	2 091	2 171	2 053	1 964	1 461	1 659	1 647	2 378	617	2 367	2 304	2 495	3 347	3 901	2 422	1 686	1 662	1 700	1 623	1 486	1 458	1 363	1 406	1 406	1 794	1 644	1 666	1 666	1 666	
Guatemala	17	1	1	6	4	2	1	2	0	4	0	1	3	0	3	4	0	0	2	2	5	0	1	1	2	2	1	1	1	1	
Grenada	5 624	6 641	7 277	6 013	6 886	6 570	4 806	5 700	5 739	4 900	3 813	2 631	2 517	2 474	2 508	3 119	3 232	2 948	2 755	2 820	2 913	2 419	2 509	2 642	3 313	3 365	3 626	3 140	3 140	3 140	
Guyana	124	117	135	149	165	215	190	117	150	120	168	134	182	91	266	296	314	407	318	407	318	422	422	590	631	603	609	710	594	594	
Haiti	8 306	6 550	3 337	6 839	5 603	4 959	8 583	8 514	8 054	8 100	10 237	10 237	10 237	8 100	6 212	6 632	10 116	9 770	9 124	10 420	10 224	12 066	14 004	14 533	14 311	13 959	14 133	14 133	14 133	14 133	
Honduras	1 674	1 696	1 714	1 935	2 120	3 377	4 213	4 227	3 962	4 026	3 647	4 560	4 155	3 745	4 291	4 984	4 176	4 030	4 916	4 568	6 406	5 048	4 485	3 858	3 594	3 333	3 197	2 772	2 772	2 772	2 772
Jamaica	1 176	1 178	1 553	1 575	1 600	1 300	88	133	65	86	123	121	111	115	109	109	121	118	121	115	127	121	106	120	116	90	95	104	104	104	
Mexico	31 247	32 572	24 853	22 795	14 531	15 017	13 180	14 631	15 371	15 489	14 437	15 216	14 446	15 145	16 353	11 329	20 722	23 575	21 514	19 802	18 434	18 879	17 790	17 078	15 101	18 524	17 887	18 324	18 324	18 324	
Montserrat	1	0	0	1	7	9	5	13	6	5	1	1	0	0	0	0	0	0	1	2	0	0	0	0	1	1	0	0	0	0	
Netherlands Antilles	1 300	3 723	3 082	2 773	2 705	2 617	2 983	2 737	3 106	2 944	2 797	2 885	2 798	2 798	2 750	2 842	3 003	2 806	2 604	2 558	2 402	2 447	2 092	2 283	2 220	1 907	1 987	2 303	2 303	2 303	
Nicaragua	643	580	560	429	413	614	709	765	770	672	846	863	750	1 146	827	1 300	1 314	1 473	1 422	1 387	1 169	1 171	1 575	1 620	1 701	1 637	1 636	1 586	1 586	1 586	
Panama	1 354	1 388	1 415	1 800	1 718	1 931	1 628	1 502	1 438	2 270	2 167	2 283	1 927	2 037	1 850	1 745	2 072	1 946	1 831	2 115	1 950	2 073	2 107	2 175	2 298	2 075	2 447	2 269	2 269	2 269	
Paraguay	16 011	21 925	21 579	22 753	22 792	24 438	24 702	30 571	36 908	35 687	37 905	40 580	52 652	51 675	48 601	45 310	41 739	42 062	43 723	40 345	38 661	37 197	36 992	31 273	33 082	33 421	34 311	32 407	32 407	32 407	
Peru	686	521	473	452	418	338	363	303	303	314	159	241	256	274	282	222	222	257	201	200	174	121	129	115	123	113	112	98	98	98	
Puerto Rico	7	4	6	2	3	0	0	0	0	0	0	0	4	6	2	5	3	12	5	3	0	2	3	1	2	0	1	4	4	4	
Saint Kitts & Nevis	41	39	37	48	55	21	34	25	32	28	13	25	26	4	6	2	5	3	2	20	16	9	15	14	15	14	15	19	19	19	
Saint Lucia	78	81	14	4	23	14	9	3	6	3	2	1	4	13	0	13	6	6	8	8	9	16	10	10	8	7	13	12	12	12	
St Vincent & Grenadines	78	81	56	78	76	50	60	77	77	70	82	47	58	45	53	53	53	76	85	95	89	75	97	95	97	117	127	127	127	127	
Suriname	80	82	62	112	108	112	119	122	108	124	120	141	142	112	129	166	204	260	199	159	198	208	133	147	178	166	232	218	218	218	
Trinidad & Tobago	2	0	2	5	0	4	2	12	12	10	0	0	0	0	0	0	0	0	0	0	0	3	3	6	7	7	7	7	7	7	
Turks & Caicos Islands	1 874	1 689	1 450	1 359	1 389	1 201	1 062	1 023	951	987	886	759	699	689	666	625	701	708	668	627	645	689	536	643	727	622	557	607	607	607	
Uruguay	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
USA	27 749	27 373	25 520	23 846	22 255	22 201	22 768	22 517	22 436	23 495	25 701	26 283	26 673	25 107	24 205	22 728	21														

Table A3.14 TB case notification rates, the Americas, 1980–2007

	1980	1981	1982	1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007		
Anguilla	0	0	57	0	0	0	14	0	0	0	0	0	0	0	19	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Antigua & Barbuda	11	4	0	1	4	3	11	0	5	2	0	2	0	38	41	40	39	38	35	34	33	32	31	28	28	25	24	25	2	
Argentina	58	59	60	59	55	53	48	43	42	39	38	37	38	38	41	40	39	38	35	34	33	32	31	28	28	25	24	25	2	
Bahamas	33	31	25	26	23	27	22	18	21	21	18	20	24	22	28	28	20	21	30	25	25	27	14	12	17	7	14	5		
Barbados	26	1	12	7	5	5	3	1	1	2	2	2	2	2	1	1	1	2	2	1	2	2	2	2	2	7	2	5		
Belize	15	22	29	91	22	15	14	24	16	17	31	47	33	40	28	44	45	47	53	44	43	54	53	38	31	37	30	22		
Bermuda	2	4	9	18	5	5	10	3	2	3	0	5	7	0	7	0	6	0	6	0	0	0	0	0	0	9	5			
Bolivia	82	83	85	91	71	129	112	144	167	193	167	136	136	120	129	133	133	126	121	121	122	124	118	111	109	106	96	90		
Brazil	60	69	69	66	62	60	53	57	54	50	56	56	56	56	56	53	53	50	56	56	46	45	44	44	47	43	41	39		
British Virgin Islands																														
Canada	11	10	10	9	9	8	8	7	7	7	7	7	7	7	7	7	6	7	6	6	5	5	5	5	5	5	4	4		
Cayman Islands	0	11	0	5	5	19	5	0	0	0	8	11	0	7	7	6	0	2	8	4	12	2	0	0	0	2	0	2		
Chile	76	65	60	60	55	55	56	50	50	52	47	41	39	33	29	29	29	26	24	23	20	19	16	14	17	13	15	15		
Colombia	41	40	41	45	41	38	36	35	34	33	36	34	31	30	24	26	25	20	23	27	28	27	26	23	24	24	24			
Costa Rica	17	22	18	19	15	14	15	15	10	7	6	4	9	10	17	18	19	19	19	22	15	16	13	13	17	12	11	12		
Cuba	12	8	8	8	7	7	7	6	6	6	5	5	4	7	15	14	13	12	11	10	11	8	8	7	7	7	7			
Dominica	27	35	25	22	7	11	49	38	10	9	20	19	10	17	12	15	9	7	6	6	7	11	10	8	3	7	28	4		
Dominican Republic	57	29	40	42	48	35	39	36	44	44	38	25	46	52	55	51	77	68	61	67	61	54	45	51	49	53	47	43		
Ecuador	50	46	46	46	44	49	53	61	61	56	55	60	66	64	67	69	72	80	60	47	56	48	46	50	47	34	35	37		
El Salvador	49	45	46	44	33	31	34	34	48	42	46	44	47	62	71	43	29	28	26	27	24	23	24	21	21	27	24	24		
Grenada	19	1	1	6	4	2	1	2	0	4	0	1	3	0	3	4	0	2	2	2	5	0	1	2	2	2	1	3		
Guatemala	80	92	99	80	85	83	59	69	67	56	43	29	27	26	26	31	32	28	26	26	26	21	25	22	27	26	28	24		
Guyana	16	15	18	20	22	29	25	16	20	16	23	18	25	12	36	40	42	55	43	55	57	57	60	86	82	86	96	80		
Haiti	148	113	56	112	93	78	131	128	118	116	141	141	141	141	124	118	124	118	108	122	117	136	156	159	154	148	147			
Honduras	46	45	44	49	52	80	97	94	86	85	75	91	80	71	79	89	73	69	83	75	103	80	70	59	54	49	46	39		
Jamaica	8	8	7	7	7	6	4	6	3	4	5	5	5	5	4	5	5	5	5	4	5	5	4	5	4	3	4			
Mexico	45	46	34	31	19	20	17	18	19	19	17	18	17	17	18	12	22	25	22	20	18	19	17	17	15	18	17	17		
Montserrat	8	0	0	9	61	80	45	118	55	46	9	9	0	0	0	0	0	0	0	15	35	0	0	0	20	0	18	0	34	
Netherlands Antilles																														
Nicaragua	40	111	90	78	75	70	69	77	69	77	71	66	66	63	60	61	63	58	53	51	47	47	40	43	41	35	36	41		
Panama	33	29	28	21	19	28	32	34	33	28	35	30	45	32	49	48	53	50	48	40	57	51	52	54	51	50	48			
Paraguay	42	42	42	52	48	52	43	38	36	55	51	52	43	44	39	36	42	39	36	40	36	38	38	38	40	35	41	37		
Peru	92	123	119	122	119	125	124	150	177	167	174	183	232	224	207	190	172	171	175	159	151	143	137	117	123	124	116			
Puerto Rico	21	16	14	14	12	10	11	9	8	9	5	7	7	7	7	7	6	7	5	5	5	3	3	3	3	3	3			
Saint Kitts & Nevis	16	9	14	5	7	0	0	0	0	0	0	2	10	14	5	12	7	27	11	7	0	4	6	2	4	0	2	8		
Saint Lucia	35	33	30	39	44	17	26	19	24	21	9	18	18	17	17	8	24	15	13	11	6	10	11	9	9	9	12			
St Vincent & Grenadines	78	11	14	4	22	13	9	3	6	3	2	1	4	12	0	12	5	5	7	8	14	9	9	12	7	6	11	10		
Suriname	22	23	15	21	20	13	15	20	19	18	20	12	14	11	13	13	18	20	22	20	20	17	22	21	22	26	28			
Trinidad & Tobago	7	7	6	10	9	10	10	10	9	10	10	10	11	9	10	13	16	20	15	12	15	16	10	11	13	13	17	16		
Turks & Caicos Islands	27	0	24	58	0	42	20	117	34	31	32	29	24	22	21	19	22	22	20	19	19	19	16	19	22	19	17	18		
Uruguay	64	58	49	46	46	40	36	34	31	32	29	24	22	22	21	19	22	22	20	19	19	19	16	19	22	19	17	18		
US Virgin Islands	0	12	12	11	10	9	9	9	9	9	10	10	10	9	9	8	6	7	7	6	6	6	5	5	5	5	5	4		
USA	28	26	26	26	28	28	28	27	24	24	24	26	26	26	24	23	25	25	26	27	28	26	25	24	26	26	26	25	23	
Venezuela																														
AMR	37	39	37	37	34	34	33	34	34	33	32	34	34	22	31	33	32	32	32	29	28	27	27	26	27	26	25	24		

Rates are per 100 000 population. From 1995 on, number shown is notification rate of new and relapse cases. Figures for all years are updated as new information becomes available and/or techniques are refined, so they may differ from those published previously. Data can be downloaded from www.who.int/tb

Table A3.16 NTP budgets, available funding, cost of utilization of general health-care services and total TB control costs (US\$ millions), the Americas, 2009

	NTP budget	Available funding			Funding gap	Cost of utilization of general health-care services	Total TB control costs	Completeness of budget data
		Government (excluding loans)	Loans	Grants (excluding Global Fund)				
Anguilla	0.03	0	0	0	0	0.03	N	
Antigua & Barbuda	4.0	2.3	1.7	0	0	4.0	C	
Bahamas	0	0	0	0	0	0	N	
Barbados	0	0	0	0	0	0	N	
Belize	0	0	0	0	0	0	N	
Bermuda	0	0.9	0	1.0	<0.01	0	P	
Bolivia	64	50	0.6	1.5	11	92	C	
British Virgin Islands	63	63	0	0	0	63	N	
Cayman Islands	5.6	4.8	0	0	0.7	7.2	N	
Chile							C	
Colombia							N	
Costa Rica							N	
Cuba							N	
Dominica	12	1.2	0	<0.01	5.4	14	N	
Dominican Republic	20	7.6	0	2.0	10	21	N	
Ecuador	8.3	3.9	0	0	4.4	9.0	C	
El Salvador							C	
Grenada	6.2	0.7	0	0.1	3.8	9.0	N	
Guatemala	0	0	0	0	<0.01	0	C	
Guyana	0	0.6	0	1.8	0	0	P	
Haiti	11	1.2	0	10	0	12	C	
Honduras	2.9	0	0	0.01	1.7	3.6	C	
Jamaica	0.02	0	0	0	0.02	0.7	P	
Mexico	4.1	4.1	0	0	0	28	C	
Montserrat							C	
Netherlands Antilles							N	
Nicaragua	0.3	0.2	0	0.1	0	1.5	N	
Panama	2.4	0.7	0	0	<0.01	3.6	C	
Paraguay	57	29	0	18	10	62	N	
Peru	2.1	1.2	0	0.9	<0.01	2.1	C	
Puerto Rico							N	
Saint Kitts & Nevis							N	
Saint Lucia							N	
St Vincent & Grenadines							N	
Suriname							N	
Trinidad & Tobago	7.9	7.9	0	0	0	8.8	C	
Turks & Caicos Islands							N	
Uruguay							N	
US Virgin Islands	146	0	0	0	0	146	N	
USA							P	
Venezuela							N	
AMR	417	180	2.3	21	44	487	39%	

N indicates data not available or not applicable; P indicates partial financial data; C indicates complete data and therefore included in analysis presented in chapter 3. Completeness of budget data in total row indicates percentage of countries providing complete financial data. Data can be downloaded from www.who.int/tb

Notes

Cuba

TABLE A3.11: breakdown of notified cases differs from WHO convention. In 2007, breakdown of the 432 notified new smear-positive cases is as follows: 0–14 years, no cases; 15–24 years, 38 cases; 25–59 years, 282 cases; 60–64 years, 21 cases; 65 years+, 91 cases.

USA

In addition to the 51 reporting areas, the United States includes 8 territories (American Samoa, Federated States of Micronesia, Guam, Marshall Islands, Northern Mariana Islands, Puerto Rico, Republic of Palau, US Virgin Islands) that report separately to WHO. The data for these 8 territories are not included with the data for the USA.

Definitions of case types and outcomes do not exactly match those used by WHO.

One state reporting area (representing approximately 20% of TB cases in 2007 and 12% of the population of the USA) did not provide data on HIV testing.

EASTERN MEDITERRANEAN



Eastern Mediterranean

NTP MANAGER (OR EQUIVALENT) AND/OR PERSON(S) RESPONSIBLE FOR COMPLETING DATA COLLECTION FORM

Afghanistan	Khaled Seddiq; Shah Wali Maroofi; Sayed Daoud Mahmoodi; Homayoon Manochehr
Bahrain	Saeed Alsaffar
Djibouti	Said Guelleh
Egypt	Essam El-Moghazy; Amal Galal
Iran (Islamic Republic of)	Mahshid Nasehi; Shahnaz Ahmadi
Iraq	Dhafer S. Hashim; Mohemmed R. Tbena
Jordan	Khaled Abu Rumman; Nadia Abu Sabra
Kuwait	Rashed Al-Owaish; Mohamed Gaafar
Lebanon	Mtarios Saade
Libyan Arab Jamahiriya	Bashir Saafi
Morocco	Naima Ben Cheikh; lahsen laasri
Oman	Hassan Al-Tuhami
Pakistan	Noor Ahmad Baloch; Ejaz Qadeer
Qatar	Abdul Latif Al-Khal
Saudi Arabia	Nailah A. Abulgadayl; Mohammad Salama Abouzeid
Somalia	Bashir Suleiman
Sudan	Hashim Sulieman Elwagea; Joseph Lasu; Samia Ali Alagab; Khadiga Adam; Sindani Ireneaus Sebit
Syrian Arab Republic	Fadia Maamari
Tunisia	Dhikrayet Gamara; Salah Ben Mansour
United Arab Emirates	Juma Bilol Fairouz; Kifah Ibrahim
West Bank and Gaza Strip	Walid Daoud
Yemen	Amin N. Al-Absi

This list shows the people named on the data collection form sent to WHO in 2008, not necessarily the current NTP manager. It is intended as an acknowledgement rather than a directory.

Notes

Bahrain

TABLES A3.5 AND A3.6: of the 296 notified TB cases, 231 were in non-nationals; of the 109 new smear-positive cases notified, 91 were in non-nationals.

Lebanon

TABLES A3.1–A3.4: estimates will be further reviewed in 2009 based on additional in-depth analysis of national and subnational notification data.

Pakistan

TABLES A3.5 AND A3.6: according to data from three provinces (which account for 90% of notified cases), 19% of all notified new cases were reported from PPM initiatives.

Somalia

TABLES A3.1–A3.4: estimates will be further reviewed in 2009 based on additional in-depth analysis of national and subnational notification data.

Sudan

TABLE A3.6: DOTS coverage is the weighted average of coverage in the northern (100% coverage) and southern (55% coverage) parts of the country, which account for 80% and 20% of the total population, respectively.

TABLE A3.7: the numbers of laboratories performing culture and DST do not include those in the southern part of the country.

Yemen

TABLES A3.1–A3.4: estimates will be further reviewed in 2009 based on additional in-depth analysis of national and subnational notification data.

EUROPE



Europe

NTP MANAGER (OR EQUIVALENT) AND/OR PERSON(S) RESPONSIBLE FOR COMPLETING DATA COLLECTION FORM

Albania	Hasan Hafizi; Donika Bardhi
Andorra	Carmen Pallares Papaseit; Jennifer Fernandez
Armenia	Vagan Rasailovich Pogosyan; Narine Mejlmyan
Austria	Jean-Paul Klein
Azerbaijan	Faig Frudinovich Agayev; Natavan Alikhanova
Belarus	Gennady Lvovich Gurevich; Andrei Petrovich Astrovko
Belgium	Maryse Wanlin; Patrick De Smet
Bosnia & Herzegovina	Zehra Dizdarevic; Hasan Zutic
Bulgaria	Vladimir Milanov
Croatia	Aleksandar Simunovic
Cyprus	Andreas Georghiou; Chrystalla Hadjianastassiou
Czech Republic	Jiří Wallenfels; Zdenka Novakova
Denmark	Peter Henrik Andersen; Charlotte Kjelsø
Estonia	Piret Viiklepp; Kai Kliiman
Finland	Petri Ruutu
France	Marie Claire Paty; Delphine Antoine
Georgia	Archil Salakaia; Ucha Nanava
Germany	Walter Haas; Bonita Brodhun
Greece	Georgia Spala; Rengina Vorou
Hungary	Janos Strausz; Gábor Kovács
Iceland	Thorsteinn Blöndal
Ireland	Joan O'Donnell
Israel	Daniel Chemtob; Yana Roshal
Italy	Maria Grazia Pompa; Stefania D'Amato
Kazakhstan	Shahimurat Shaimovich Ismailov; Klar Khasanovna Baimukhanova
Kyrgyzstan	Avtandil Shermamatovitch Alisherov; Elmira Djusupbekovna Abdrakhmanova
Latvia	Janis Leimans; Vija Riekstina
Lithuania	Edita Davidavičienė
Luxembourg	Pierre Weicherding; Norbert Charlé
Malta	Gianfranco Spiteri
Monaco	
Montenegro	Olivera Bojović; Božidarka Rakocevic
Netherlands	Vincent Kuyvenhoven; Connie Erkens
Norway	Brita Askeland Winje
Poland	Kazimierz Roszkowski; Maria Korzeniewska-Kosela
Portugal	António Fonseca Antunes
Republic of Moldova	Dmitrii Sain; Ana Ciobanu
Romania	Constantin Marica; Domnica Chiotan
Russian Federation	Mikhail I. Perelman; Yulia V. Mikhailova; Elena I. Skachkova
San Marino	
Serbia	Gordana Radosavljević-Ašić; Radmila Ćurčić; Rukije Mehmeti
Slovakia	Ivan Solovic; Jana Svecova
Slovenia	Damijan Eržen
Spain	Odorina Tello Anchuela; Elena Rodríguez Valín
Sweden	Victoria Romanus
Switzerland	Peter Helbling
Tajikistan	Sadulo Makhmadalievich Saidaliev; Firuza Teshaeвна Sharipova
TFYR Macedonia	Stefan Talevski; Maja Zakoska
Turkey	Feyzullah Gümüslü; Ülgen Gullu
Turkmenistan	Babakuli Dzhumaev
Ukraine	Olga Stelmakh; Elena Pavlenko; Oksana Smetanina, Inna Motrich
United Kingdom	John Watson; Brian Smyth; Jim McMenamin; Roland Salmon; Michelle Kruijshaar; Eisin Shakir
Uzbekistan	Dilrabo Ulmasova; Gulnoz Uzakova; Nulifar Abdieva

This list shows the people named on the data collection form sent to WHO in 2008, not necessarily the current NTP manager. It is intended as an acknowledgement rather than a directory.

Table A3.1 Methods and assumptions for estimation of TB incidence, prevalence and mortality, Europe

Country	Reference year	Incidence est. based on	Trend	Source of estimates	CfR ss+ HIV-		Duration ss+HIV-		Duration ss+HIV+		
					MDR (row)	MDR (col treat)	DOTS	non-DOTS	DOTS	non-DOTS	DOTS
Albania	1997	Notif.	Country notifs. moving ave.	Model	Model	0.15	0.15	1	1.5	1	1.5
Andorra	1997	Notif.	Group, moving ave.	DRS	DRS	0.12	0.12	0.75	0.75	1	1.5
Armenia	1999	Comparison	Group, moving ave.	DRS	DRS	0.15	0.2	1	1.5	1	1.5
Austria	1997	Notif.	Group, moving ave.	DRS	DRS	0.12	0.12	0.75	0.75	0.75	0.75
Azerbaijan	1999	Comparison	Group, moving ave.	DRS	DRS	0.15	0.15	1	1.5	1	1.5
Belarus	1997	Notif.	Country notifs. moving ave.	Model	Model	0.15	0.15	1	1.5	1	1.5
Belgium	1997	Notif.	Country notifs. moving ave.	DRS	DRS	0.12	0.12	0.75	0.75	0.75	0.75
Bosnia & Herzegovina	1997	Notif.	Group, moving ave.	DRS	DRS	0.15	0.15	1	1.5	1	1.5
Bulgaria	1997	Notif.	Country notifs. moving ave.	Model	Model	0.15	0.15	1	1.5	1	1.5
Croatia	1997	Notif.	Group, moving ave.	DRS	DRS	0.15	0.15	1	1.5	1	1.5
Cyprus	1997	ARI	Group, moving ave.	Model	Model	0.1	0.1	1	1.5	1	1.5
Czech Republic	1997	Notif.	Country notifs. moving ave.	DRS	DRS	0.12	0.12	1	1	1	1
Denmark	1997	Notif.	Group, moving ave.	DRS	DRS	0.12	0.12	0.75	0.75	0.75	0.75
Estonia	2002	Notif.	Country notifs. moving ave.	DRS	DRS	0.15	0.15	1	1.5	1	1.5
Finland	1997	Notif.	Country notifs. moving ave.	DRS	DRS	0.12	0.12	0.75	0.75	0.75	0.75
France	1997	Notif.	Group, moving ave.	DRS	DRS	0.12	0.12	0.75	0.75	0.75	0.75
Georgia	1997	Notif.	Group, moving ave.	DRS	DRS	0.15	0.2	1	1.5	1	1.5
Germany	1997	Notif.	Country notifs. moving ave.	DRS	DRS	0.12	0.12	0.75	0.75	0.75	0.75
Greece	1997	Notif.	Group, moving ave.	Model	Model	0.12	0.12	0.75	0.75	0.75	0.75
Hungary	1999	Notif.	Country notifs. moving ave.	Model	Model	0.15	0.15	1	1.5	1	1.5
Iceland	1997	Notif.	Country notifs. moving ave.	DRS	DRS	0.12	0.12	0.75	0.75	0.75	0.75
Ireland	1999	Notif.	Country notifs. moving ave.	DRS	DRS	0.12	0.12	0.75	0.75	0.75	0.75
Israel	2004	Notif.	Group, moving ave.	DRS	DRS	0.12	0.12	0.75	0.75	0.75	0.75
Italy	1997	Notif.	Group, moving ave.	DRS	DRS	0.12	0.12	0.75	0.75	0.75	0.75
Kazakhstan	1999	Comparison	Country notifs. moving ave.	DRS	DRS	0.15	0.15	1	1.5	1	1.5
Kyrgyzstan	1999	Comparison	Country notifs. moving ave.	Model	Model	0.15	0.15	1	1.5	1	1.5
Latvia	1997	Notif.	Country notifs. moving ave.	DRS	DRS	0.15	0.15	1	1.5	1	1.5
Lithuania	1997	Notif.	Country notifs. moving ave.	DRS	DRS	0.15	0.15	1	1.5	1	1.5
Luxembourg	1997	Notif.	Group, moving ave.	DRS	Model	0.12	0.12	0.75	0.75	0.75	0.75
Malta	1997	Notif.	Group, moving ave.	DRS	DRS	0.12	0.12	0.75	0.75	0.75	0.75
Malta	1997	Notif.	Group, moving ave.	DRS	DRS	0.12	0.12	0.75	0.75	0.75	0.75
Moldova	2000	Notif.	Group, moving ave.	Model	Model	0.12	0.12	0.75	0.75	0.75	0.75
Montenegro	1997	Notif.	Group, moving ave.	Model	Model	0.15	0.15	1	1.5	1	1.5
Netherlands	1997	Notif.	Group, moving ave.	DRS	DRS	0.12	0.12	0.75	0.75	0.75	0.75
Norway	1999	Notif.	Group, moving ave.	DRS	DRS	0.12	0.12	0.75	0.75	0.75	0.75
Poland	1997	Notif.	Country notifs. moving ave.	DRS	DRS	0.15	0.15	1	1.5	1	1.5
Portugal	1997	Notif.	Country notifs. moving ave.	DRS	DRS	0.12	0.12	0.75	0.75	0.75	0.75
Republic of Moldova	1999	Comparison	Group, moving ave.	DRS	DRS	0.15	0.15	1	1.5	1	1.5
Romania	1997	Notif.	Country notifs. moving ave.	DRS	DRS	0.15	0.15	1	1.5	1	1.5
Russian Federation	1995	Notif.	Country notifs. moving ave.	DRS	DRS	0.15	0.2	1	1.5	1	1.5
San Marino	1997	Notif.	Group, moving ave.	Model	Model	0.12	0.12	0.75	0.75	0.75	0.75
Serbia	1997	Notif.	Group, moving ave.	DRS	DRS	0.15	0.15	1	1.5	1	1.5
Slovakia	1997	Notif.	Country notifs. moving ave.	DRS	DRS	0.15	0.2	1	1.5	1	1.5
Slovenia	1997	Notif.	Country notifs. moving ave.	DRS	DRS	0.15	0.15	1	1.5	1	1.5
Spain	1997	Notif.	Group, moving ave.	DRS	DRS	0.12	0.12	0.75	0.75	0.75	0.75
Sweden	1999	Notif.	Country notifs. moving ave.	DRS	DRS	0.12	0.12	0.75	0.75	0.75	0.75
Switzerland	1997	Notif.	Country notifs. moving ave.	DRS	DRS	0.12	0.12	0.75	0.75	0.75	0.75
Tajikistan	1997	Notif.	Country notifs. moving ave.	Model	Model	0.15	0.15	1	1.5	1	1.5
TFYR Macedonia	1997	Notif.	Group, moving ave.	Model	Model	0.15	0.2	1	1.5	1	1.5
Turkey	1997	Notif.	Country notifs. moving ave.	Model	Model	0.15	0.15	1	1.5	1	1.5
Turkmenistan	1997	Notif.	Country notifs. moving ave.	DRS	DRS	0.15	0.15	1	1.5	1	1.5
Ukraine	1997	Notif.	Country notifs. moving ave.	DRS	DRS	0.15	0.15	1	1.5	1	1.5
United Kingdom	1999	Notif.	Country notifs. moving ave.	DRS	DRS	0.12	0.12	0.75	0.75	0.75	0.75
Uzbekistan	1997	Notif.	Country notifs. moving ave.	DRS	DRS	0.15	0.15	1	1.5	1	1.5

- indicates no estimate; ARI, annual risk of infection; ave, average; C-REC, capture re-capture; CDR, case detection rate; DRS, drug resistance survey; exp., exponential; HIV+, HIV-positive; HIV-, HIV-negative; Mort, mortality (vital registration); Notif(S), notification(s); Prev., disease prevalence survey; ss+, sputum smear-positive; ss-, sputum smear-negative. See Annex 2 (methods) for details. Data can be downloaded from www.who.int/tb

Table A3.7 Laboratory services, collaborative TB/HIV activities and management of MDR-TB, Europe, 2006-2007

	Laboratory services, 2007				Collaborative TB/HIV activities							Management of MDR-TB, 2007						
	Number of labs working with NTP				2006			2007				2006			2007			
	Smear labs included in EQA	DST	Number of labs working with NTP culture	Number of labs working with NTP smears	TB pts tested for HIV	HIV+ TB pts	HIV+ TB pts ART	TB pts tested for HIV	HIV-positive	TB pts	HIV-positive	TB pts	HIV+ TB pts CPT	HIV+ TB pts ART	Lab-confirmed MDR	DST in new cases	MDR	Re-treatment MDR
Albania	17	1	1	0	51	3	3	37	1	335	8	0	1	3	168	1	18	2
Andorra	8	8	1	0	332	25	11	335	8	0	0	0	4	125	429	50	0	0
Armenia	46	2	1	4	0	15	17	0	0	0	5	0	0	0	3	0	0	0
Austria	69	155	17	2	927	55	17	5 756	31	871	6	0	0	14	481	8	31	1
Belgium	14	33	22	0	247	6	0	0	0	199	0	0	0	196	213	13	183	183
Bosnia & Herzegovina	35	33	22	2	163	4	0	6	0	161	7	0	0	870	1 874	302	1 243	455
Bulgaria	17	17	7	1	0	0	0	0	0	42	0	0	0	10	707	10	52	4
Croatia	42	42	14	3	11	11	0	0	0	6	0	0	0	8	1 267	3	156	3
Cyprus	8	2	2	0	0	0	0	0	0	0	0	0	0	7	5	5	2	2
Czech Republic	8	2	2	0	0	0	0	0	0	0	0	0	0	11	26	2	1	1
Denmark	11	11	1	0	414	41	0	6	6	450	54	0	0	2	467	6	45	3
Estonia	300	300	100	0	649	17	10	842	29	21	21	21	21	80	316	52	65	28
France	230	192	79	0	10	6	0	0	10	0	0	0	0	2	216	2	8	0
Germany	13	13	3	0	0	2	1	15	7	0	0	0	0	20	1 255	12	102	7
Greece	446	22	22	0	43 204	234	37	24 532	213	25	22	22	22	269	1 366	87	556	182
Hungary	122	10	1	0	1 128	47	38	1 066	55	21	30	30	30	66	2 998	44	244	22
Iceland	26	8	1	24	13	13	1	0	0	0	0	0	0	14	488	13	43	0
Ireland	12	5	5	11	1	2	1	27	2	1	2	1	1	11	456	8	84	3
Israel	1	1	0	1	0	0	0	0	0	0	0	0	0	1	10	0	1	1
Italy	17	17	7	1	45	13	1	17	1	32	0	0	0	5	127	3	15	2
Kazakhstan	53	53	17	0	201	43	1	195	32	0	0	0	0	18	257	14	11	4
Latvia	19	12	4	0	0	0	0	0	0	0	0	0	0	18	257	14	11	4
Lithuania	96	96	55	31	2 677	508	0	2 289	456	15	0	0	0	56	653	16	79	21
Luxembourg	60	60	16	0	2 877	20	4	4 349	161	5	34	34	34	3	225	2	17	1
Macao	57	4	4	0	2 523	60	16	6 727	187	0	0	0	0	51	2 716	6	522	43
Malta	136	106	55	122	8 402	1 979	0	87 444	2 401	0	0	0	0	34	1 446	21	144	13
Monaco	4 048	965	280	0	87 041	1 979	0	87 444	2 401	0	0	0	0	896	1 311	311	934	585
Montenegro	51	41	9	6	5	5	0	9	9	0	0	0	0	754	2 355	99	2 311	655
Netherlands	16	11	5	11	708	1	1	692	0	0	0	0	0	5 297	30 370	3 959	4 828	1 338
Norway	70	70	1	1	3 566	0	0	99	0	0	0	0	0	25	1 130	7	185	18
Poland	5	5	5	0	0	0	0	0	0	0	0	0	0	7	343	3	53	4
Portugal	5	5	5	0	0	0	0	0	0	0	0	0	0	0	174	0	15	0
Republic of Moldova	97	0	0	42	0	0	0	0	0	0	0	0	0	15	346	12	19	3
Romania	13	3	1	0	1 639	3	0	1 443	62	0	0	0	0	8	264	5	37	3
Russian Federation	172	23	7	0	96	0	0	97	1	0	0	0	0	9	167	0	26	9
San Marino	0	0	0	0	0	0	0	0	0	0	0	0	0	240	4 142	120	775	120
Serbia	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Slovakia	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Slovenia	0	0	0	0	3 566	0	0	0	0	0	0	0	0	0	0	0	0	0
Spain	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Sweden	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Switzerland	97	0	0	5	0	0	0	1 443	62	0	0	0	0	8	264	5	37	3
Tajikistan	13	3	1	0	96	0	0	97	1	0	0	0	0	9	167	0	26	9
TFYR Macedonia	0	0	0	0	0	0	0	0	0	0	0	0	0	240	4 142	120	775	120
Turkey	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Turkmenistan	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Ukraine	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
United Kingdom	310	4	9	21	37 565	238	154	31 662	371	347	14	14	14	55	4 510	41	221	14
Uzbekistan	6 744	2 216	762	284	191 698	5 339	275	1 184	6 710	6 710	405	405	405	484	365	119	463	365
EUR	6 744	2 216	762	284	191 698	5 339	275	1 184	6 710	6 710	405	405	405	16 062	76 601	7 351	22 228	8 572

ART indicates antiretroviral therapy; CPT, co-trimoxazole preventive therapy; EQA, external quality assurance; HIV+, HIV-positive; pts, patients. See Explanatory notes for further details. Data can be downloaded from www.int/tb

Table A3.12 New smear-positive case notification rates by age and sex, DOTS and non-DOTS, Europe, 2007

	Male					Female					All										
	0-14	15-24	25-34	35-44	45-54	55-64	65+	0-14	15-24	25-34	35-44	45-54	55-64	65+	0-14	15-24	25-34	35-44	45-54	55-64	65+
Albania	0	6	6	8	12	12	14	1	4	4	3	4	9	6	0	5	5	5	8	11	10
Andorra	0	27	45	62	46	32	14	1	10	10	5	3	6	3	1	19	26	30	22	17	8
Armenia	0	2	3	4	4	4	4	0	2	3	1	2	0	2	0	2	3	2	3	2	3
Azerbaijan	0	7	19	31	34	27	13	4	4	8	6	4	3	6	5	14	18	18	13	8	8
Belgium	0	4	8	4	5	3	6	0	2	5	3	1	1	2	0	3	6	4	3	2	4
Herzegovina	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Bulgaria	1	12	21	34	33	27	17	1	13	14	10	7	5	6	1	13	18	22	20	16	10
Croatia	0	3	2	2	0	0	0	0	2	5	2	1	1	0	0	2	3	1	0	0	4
Cyprus	0	2	3	5	9	6	5	0	1	1	1	1	1	1	0	2	2	3	1	5	0
Czech Republic	0	2	3	5	8	4	2	0	3	4	2	1	1	1	2	0	4	3	4	3	2
Denmark	0	6	27	37	43	32	16	0	2	5	5	8	8	4	0	4	16	21	24	16	8
Estonia	0	1	2	1	3	2	7	0	2	1	1	1	0	3	0	2	1	1	2	1	4
Finland	0	3	6	5	5	4	7	0	3	4	2	1	2	3	0	3	5	3	3	3	5
France	2	75	131	110	79	53	30	2	42	44	21	16	8	12	2	99	86	63	45	28	19
Georgia	0	2	5	5	5	4	5	0	3	4	2	2	1	2	0	2	4	3	4	2	3
Germany	0	3	2	4	4	2	6	0	2	2	1	1	0	2	0	3	2	3	2	1	4
Greece	0	1	4	7	15	9	6	0	2	3	3	2	1	3	0	2	3	5	8	4	4
Hungary	0	0	0	0	0	0	0	0	0	0	10	0	0	10	0	0	0	5	0	0	6
Iceland	0	8	13	10	11	12	12	0	5	8	7	5	1	1	0	6	11	9	8	7	6
Ireland	0	2	4	6	4	4	6	0	1	3	1	1	2	3	0	1	4	3	2	4	4
Israel	0	3	4	2	2	1	1	0	3	2	1	1	1	1	0	3	3	2	1	1	2
Italy	1	58	81	85	80	63	36	2	53	48	33	24	20	20	1	55	64	58	50	38	26
Kazakhstan	0	42	65	57	72	54	54	1	38	50	34	23	35	34	1	40	57	45	47	44	42
Kyrgyzstan	18	40	59	67	45	15	15	1	10	17	20	10	8	3	0	14	28	39	37	24	7
Latvia	0	11	33	67	104	72	42	0	13	18	19	20	11	11	0	12	26	42	59	37	21
Lithuania	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Luxembourg	0	0	0	0	0	0	0	0	7	0	0	0	0	0	0	3	3	0	0	2	5
Malta	0	14	8	25	4	8	8	0	6	7	10	7	9	2	0	3	11	9	16	7	5
Monaco	0	1	2	2	2	1	1	0	1	2	1	1	0	1	0	1	2	2	1	1	1
Montenegro	0	1	4	1	1	0	1	0	1	1	1	1	0	1	0	0	1	2	1	1	1
Netherlands	0	3	7	16	23	17	15	0	2	5	5	4	3	9	0	3	6	11	14	10	11
Norway	0	11	21	34	27	14	15	0	8	12	8	4	2	2	0	9	17	21	15	8	8
Poland	0	47	105	152	125	66	23	1	27	33	25	19	12	9	0	37	69	67	68	36	14
Romania	1	44	65	99	121	82	47	2	43	37	28	22	16	24	2	43	51	64	70	48	33
Russian Federation	0	21	55	60	60	41	21	0	13	22	17	12	8	7	0	17	38	38	34	22	11
San Marino	0	6	8	15	24	18	17	0	5	7	7	6	5	16	0	6	8	11	15	11	17
Serbia	0	2	2	5	13	5	10	0	1	1	1	1	1	1	0	2	1	3	7	3	8
Slovakia	0	0	5	10	9	10	7	0	1	3	4	1	2	8	0	0	4	7	5	6	8
Slovenia	0	7	10	10	9	6	6	0	7	8	4	2	1	2	0	7	9	7	5	3	4
Spain	0	1	3	2	1	0	1	0	1	2	1	1	0	1	0	1	3	1	1	0	1
Sweden	0	2	2	1	1	2	2	0	2	4	0	0	1	1	0	2	3	1	1	1	2
Switzerland	1	53	82	56	53	61	57	2	43	52	41	34	55	61	1	48	66	48	43	58	69
Tajikistan	1	7	14	18	32	21	19	2	7	8	6	3	4	6	1	7	11	12	18	12	12
TFYR Macedonia	0	16	19	18	25	24	27	1	11	8	5	4	5	11	13	14	12	15	15	18	18
Turkey	0	32	67	70	60	57	26	1	24	32	25	27	31	26	1	28	50	47	43	43	26
Turkmenistan	0	43	130	169	156	102	43	0	28	48	40	23	15	17	0	36	89	102	84	52	26
Ukraine	0	4	7	5	4	3	5	0	4	6	2	1	1	2	0	4	7	3	3	2	3
United Kingdom	0	19	35	35	47	54	71	1	16	23	20	19	41	59	0	17	29	27	33	47	64
Uzbekistan	0	0	15	29	30	33	21	13	0	11	14	9	7	5	6	0	13	21	20	12	9

Rates are per 100 000 population of each age/sex group. Rates are calculated excluding those countries for which breakdown of notified cases or population by age and sex is missing. Data can be downloaded from www.who.int/tb

Table A3.14 TB case notification rates, Europe, 1980–2007

	1980	1981	1982	1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007		
Albania	39	35	35	31	34	31	33	29	24	21	20	19	19	22	20	24	21	22	24	21	22	24	20	18	19	17	17	16	15	14
Andorra																														
Armenia	24	29	24	22	24	23	24	22	19	18	17	21	7	17	23	36	29	33	47	48	43	45	47	51	55	73	59	56	7	
Austria	29	27	26	24	23	19	18	18	17	20	18	17	16	16	16	16	16	17	16	13	15	12	13	12	11	11	10	10	10	
Azerbaijan	50	51	51	49	53	57	56	53	48	42	36	38	40	37	21	31	58	58	64	60	62	60	62	46	65	72	68	65		
Belarus	62	64	56	56	51	49	41	39	37	36	30	36	23	40	42	47	55	59	61	73	68	55	62	52	55	54	53	55		
Belgium	27	29	27	22	22	20	19	18	16	17	16	15	13	15	15	14	13	12	12	11	13	13	12	10	11	10	10	9		
Bosnia & Herzegovina	113	111	117	111	115	113	110	106	94	96	95	85	15	18	45	62	65	83	76	79	65	64	44	45	60	54	45	60		
Bulgaria	37	34	34	32	32	29	28	26	27	26	26	30	36	38	63	63	39	42	51	44	42	49	42	39	39	42	41	37		
Croatia	91	84	82	81	81	75	74	66	64	57	47	48	49	48	45	47	44	46	39	36	31	32	30	26	23	23	21			
Cyprus	11	11	14	12	6	9	7	5	6	3	4	6	6	6	5	5	3	6	6	5	4	5	2	4	4	4	4	5		
Czech Republic	48	42	40	39	35	30	25	21	20	18	19	20	19	18	19	18	19	18	16	14	13	11	11	11	10	10	9	8		
Denmark	8	8	7	7	6	6	6	6	6	6	6	7	6	7	8	10	9	9	11	10	11	11	9	8	7	7	6	7		
Estonia	42	38	38	39	36	35	34	29	30	27	27	26	26	26	43	43	48	53	59	55	58	52	46	41	40	36	31	34		
Finland	47	46	45	39	37	37	31	29	22	20	15	15	14	11	11	13	13	11	12	11	10	9	9	8	6	6	5	6		
France	32	30	28	25	22	20	19	18	16	16	16	15	15	17	16	15	13	12	10	10	10	10	10	10	10	10	8	9		
Georgia	41	42	42	36	35	34	34	29	28	28	28	28	28	40	72	92	71	173	131	100	93	86	97	92	89	103	98	9		
Germany	38	35	33	30	26	26	23	22	21	19	18	17	18	18	16	15	14	14	13	12	11	8	8	8	7	7	6	6		
Greece	86	75	53	39	20	16	16	12	9	11	9	7	9	9	9	9	7	11	9	6	6	5	5	5	5	6	5	5		
Hungary	51	50	46	47	42	46	43	39	38	36	35	35	36	38	41	40	42	43	41	39	34	30	29	27	25	22	16	17	15	
Iceland	11	10	11	10	11	5	5	5	6	7	7	6	6	4	4	4	4	4	4	4	4	5	4	3	2	4	3	4	4	
Ireland	34	30	28	26	24	23	17	16	15	19	18	17	17	17	15	13	12	11	11	12	10	10	10	10	9	9	9	10	10	
Israel	7	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6	
Italy	6	6	7	8	6	7	7	6	7	7	7	7	7	8	10	10	10	7	8	10	8	6	9	7	7	7	7	7	5	
Kazakhstan	97	92	91	87	81	79	82	82	83	81	66	66	66	64	65	71	89	104	135	166	173	176	184	179	175	169	155	161		
Kyrgyzstan	54	56	54	51	51	52	52	50	51	49	52	57	58	54	60	74	88	110	119	131	125	133	131	121	118	122	117	115		
Latvia	48	45	43	42	41	47	38	36	35	32	34	36	37	39	45	62	72	82	90	79	83	85	77	72	68	61	56	54		
Lithuania	48	47	43	42	40	41	39	38	37	38	40	42	43	52	58	65	72	82	85	79	76	75	70	75	59	62	69	66		
Luxembourg	19	12	11	11	13	11	12	13	4	12	13	12	6	9	8	8	10	9	10	9	10	7	7	12	7	8	7	8		
Malta	7	8	4	7	4	3	4	4	3	4	4	4	7	8	7	3	7	3	4	6	4	6	4	6	2	4	5	7	9	
Monaco	4	0	0	0	0	4	7	7	3	3	3	0	3	3	3	3	0	0	0	0	0	0	0	0	0	0	0	0	0	
Montenegro																														
Netherlands	12	12	11	10	9	9	8	8	7	7	6	7	7	7	6	5	5	5	6	5	6	5	6	5	8	8	7	6	6	
Norway	12	11	10	10	9	9	8	8	7	7	6	7	7	7	6	5	5	5	6	5	6	5	6	5	7	6	6	6	6	
Poland	73	67	65	64	61	58	55	52	49	43	42	43	43	44	43	44	40	36	35	32	28	26	26	25	23	21	21	21		
Portugal	70	74	74	71	69	69	66	71	64	67	62	60	59	55	56	56	52	51	52	45	41	42	42	42	37	34	31	30	28	
Republic of Moldova	69	70	78	69	61	65	71	65	58	52	39	43	42	55	60	67	67	68	62	65	71	88	93	91	122	133	130	128		
Romania	61	61	61	60	57	56	56	58	61	63	70	67	78	89	94	103	107	106	115	117	124	130	136	130	132	121	113	105		
Russian Federation	54	53	51	52	52	45	50	48	46	43	34	34	36	43	47	57	75	80	75	91	95	90	88	85	84	89	87	89		
San Marino																														
Serbia	65	66	65	66	66	63	62	61	56	50	41	44	44	36	36	34	26	37	37	26	24	27	42	40	37	34	33	29		
Slovakia	50	46	45	44	42	39	39	35	32	29	28	31	33	33	34	33	29	28	24	24	20	19	18	18	17	12	13	12		
Slovenia	59	51	53	50	48	49	43	42	40	40	37	30	33	33	33	27	27	28	24	23	21	19	18	17	14	12	13	10	11	
Spain	13	15	21	24	26	28	36	25	22	21	20	23	25	24	22	21	22	21	24	23	21	20	17	18	17	14	17	18	17	
Sweden	11	11	9	10	9	8	8	6	6	6	7	6	7	7	6	6	6	5	5	5	5	5	4	4	4	5	6	5	5	
Switzerland	18	19	18	17	15	15	13	15	18	16	19	16	14	13	13	12	11	10	10	10	10	7	7	8	8	7	7	6	6	
Tajikistan	67	65	63	58	55	54	55	56	49	51	46	39	30	12	16	35	28	36	41	42	45	56	64	67	70	83	81	93		
TFYR Macedonia																														
Turkey	79	84	54	57	54	59	58	56	50	47	43	43	43	37	37	32	40	39	33	26	25	26	25	24	27	27	25	25		
Turkmenistan	59	55	52	50	51	50	49	58	55	61	63	62	53	69	46	48	49	79	87	92	90	86	79	80	71	66	66	69		
Ukraine	52	51	49	48	48	47	45	43	40	39	32	32	35	39	40	42	46	56	56	67	67	76	84	78	81	84	89	81		
United Kingdom	19	16	15	14	12	12	12	10	10	11	10	11	11	11	11	11	11	11	11	11	11	11	11	11	11	11	11	13	13	
Uzbekistan	57	59	52	51	48	48	51	51	52	53	46	44	44	44	66	43	51	56	61	62	64	69	81	80	77	81	89	72	72	
EUR	44	43	40	39	38	36	36	35	33	32	29	27	29	28	28	33	37	41	40	43	43	42	43	41	40	41	41	41	39	

Rates are per 100,000 population. From 1995 on, number shown is notification rate of new and relapse cases. Figures for all years are updated as new information becomes available and/or techniques are refined, so they may differ from those published previously. Data can be downloaded from www.who.int/tb

Table A3.15 New smear-positive cases notified, Europe, 1990–2007

	Number of cases																	Rate (per 100 000 population)																				
	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007		
Albania	250	139	173	241	212	168	171	171	171	171	171	171	225	211	201	196	186	165	8	4	6	8	7	5	6	6	6	7	7	6	6	6	5					
Andorra	15	24	4	1	1	4	1	1	1	1	1	1	3	3	3	5	8	2	25	38	12	26	2	6	2	4	3	10	4	7	6	11	3					
Armenia	319	436	327	400	475	576	621	576	621	576	621	576	511	575	602	581	580	497	10	14	10	14	15	15	19	19	17	19	20	19	20	19	17					
Austria	467	442	434	381	323	324	324	324	324	324	324	324	220	269	213	234	213	189	7	7	9	13	12	9	11	20	14	18	19	17	16	16	13	3				
Azerbaijan	499	513	669	990	981	727	763	890	927	1661	1161	1472	1561	1454	1356	1072	1051	1517	15	17	18	21	22	20	27	25	23	10	11	13	11	11	11	11				
Belarus	1463	1775	1845	2273	5047	2769	2547	2341	403	409	472	419	362	391	380	343	322	322	5	4	4	4	4	4	4	4	4	5	4	4	4	4	4	3	3			
Belgium	484	427	400	364	434	418	403	409	472	419	362	391	380	343	322	322	322	322	5	4	4	4	4	4	4	4	4	4	4	4	4	4	4	4	3			
Bosnia & Herzegovina	865	927	803	640	786	759	800	526	493	889	640	562	493	640	562	493	493	493	37	13	11	13	16	21	32	11	13	16	17	16	17	16	17	14	14			
Bulgaria	3096	1087	903	1037	1325	1697	2524	897	1007	1254	1315	1214	1307	1080	882	382	382	382	26	26	23	25	17	10	9	10	10	9	8	9	8	9	8	8	8			
Croatia	1204	1228	1073	1126	748	0	421	437	438	416	372	306	382	382	382	382	382	382	5	5	5	5	5	5	5	5	5	5	5	5	5	5	5	5	5			
Cyprus	548	524	487	588	481	545	449	420	391	329	308	257	267	267	267	267	267	267	5	5	5	5	5	5	5	5	5	5	5	5	5	5	5	5	5			
Czech Republic	243	120	128	97	114	132	172	171	127	135	143	146	129	135	135	135	135	135	5	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2		
Denmark	303	347	369	240	269	299	274	285	212	203	201	203	162	147	168	168	168	168	20	24	26	17	19	20	19	16	15	15	15	12	11	13	13	13	13			
Estonia	179	204	186	188	179	205	150	130	138	124	130	84	85	85	85	85	85	85	20	24	26	17	19	20	19	16	15	15	12	11	13	13	13	13	13	13		
Finland	4455	3196	3449	3002	2430	1815	2398	2276	2219	1923	1941	1911	1921	1921	1921	1921	1921	1921	8	6	6	6	4	4	4	4	4	4	4	4	4	4	4	4	4	4		
France	4730	4177	3852	3689	3346	3124	2918	0	1935	1868	1679	1562	1379	1303	1183	1183	1183	1183	6	5	5	5	4	4	4	4	4	4	4	4	4	4	4	4	4	4		
Germany	1905	1357	796	1066	667	660	412	546	556	526	560	423	422	381	381	381	381	381	18	13	8	10	7	6	6	4	5	5	6	4	4	4	4	4	4	4		
Greece	285	313	143	235	212	234	176	187	210	257	210	257	210	257	210	257	210	257	18	13	8	10	7	6	6	4	5	5	6	4	4	4	4	4	4	4		
Hungary	116	117	138	100	141	127	130	133	135	135	135	135	135	135	135	135	135	135	3	2	3	4	4	4	3	4	3	4	3	4	3	4	3	4	3	3		
Ireland	150	129	1413	170	172	164	150	91	88	72	143	143	143	143	143	143	143	143	3	2	3	4	4	4	3	4	3	4	3	4	3	4	3	4	3	3		
Israel	1441	3022	4290	4332	6180	6977	8903	9079	9452	8665	7927	6911	6205	6195	6205	6195	6195	6195	19	27	28	41	46	60	61	63	58	52	45	41	40	40	40	40	40	40		
Kazakhstan	681	832	991	1536	830	1642	1296	0	1687	1643	1761	1972	1833	1720	1833	1720	1833	1720	15	18	21	33	17	34	26	0	31	32	34	38	35	32	32	21	21			
Kyrgyzstan	470	504	575	634	668	588	637	661	636	641	582	536	498	478	478	478	478	478	18	20	23	26	28	25	27	28	27	28	25	23	22	21	21	21	21	21		
Latvia	688	979	1121	1200	787	787	776	935	822	912	863	984	1029	925	925	925	925	925	19	27	31	34	22	22	22	27	24	26	25	28	30	27	27	27	27	27	27	
Lithuania	13	6	5	5	3	6	9	5	3	5	2	2	2	2	2	2	2	2	4	2	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	
Luxembourg	6	2	1	4	2	2	2	2	2	2	2	2	2	2	2	2	2	2	4	2	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	
Malta	6	2	1	4	2	2	2	2	2	2	2	2	2	2	2	2	2	2	4	2	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	
Monaco	6	2	1	4	2	2	2	2	2	2	2	2	2	2	2	2	2	2	4	2	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	
Montenegro	1063	575	358	312	254	308	289	307	330	282	360	237	203	187	187	187	187	187	7	4	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	
Netherlands	7606	4000	6955	6819	3407	3502	3177	3190	3155	3060	2983	2777	2883	2827	2827	2827	2827	2827	20	20	18	16	9	9	8	8	8	8	8	8	8	8	8	8	8	8	8	
Norway	2072	2019	1938	1628	2016	1801	1883	2042	1976	1742	1514	1382	1300	1173	1173	1173	1173	1173	14	16	15	5	9	11	14	16	26	28	31	39	44	44	44	44	44	44	44	
Poland	615	704	665	219	397	477	609	651	1060	1146	1214	1538	1686	1679	1610	1610	1610	1610	41	46	46	46	52	49	46	46	51	49	48	50	46	44	44	44	44	44	44	
Republic of Moldova	9339	10385	10469	10359	11666	10841	10317	10202	11184	10703	10418	10888	10801	9814	9425	9425	9425	9425	20	25	29	28	28	15	19	18	19	18	19	20	21	23	23	23	23	23	23	
Romania	30389	37512	42534	42094	42219	21744	27467	26605	27665	28968	30898	32695	32303	33103	33103	33103	33103	33103	14	16	16	17	23	0	4	4	4	4	4	4	4	4	4	4	4	4	4	
Russian Federation	1487	783	1702	1873	2517	0	461	402	611	2444	1105	1136	1146	1146	1146	1146	1146	1146	17	8	15	14	5	6	5	4	4	4	4	4	4	4	4	4	4	4	4	
Serbia	862	409	788	760	263	303	246	236	202	200	157	162	160	176	176	176	176	176	19	15	15	11	8	8	8	7	7	7	6	4	5	4	4	4	4	4	4	
Slovakia	361	284	303	221	156	157	165	145	139	130	116	89	109	83	90	90	90	90	4	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
Slovenia	312	106	102	90	94	97	117	118	105	109	109	120	134	106	96	96	96	96	4	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
Spain	528	507	185	172	144	165	98	118	116	123	107	119	108	112	95	95	95	95	8	7	3	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2
Sweden	1042	232	373	435	0	434	719	687	0	1058	1745	2051	2228	2228	2228	2228	2228	2228	18	4	6	7	0	7	0	7	12	11	0	16	27	31	33	33	33	33	33	33
Switzerland	319	209	192	179	122	167	164	200	200	200	178	178	200	200	200	200	200	200	16	11	10	9	6	8	8	10	10	10	9	9	10	10	10	10	10	10	10	10
Tajikistan	4383	2816	3439	3692	4124	4315	4444	0	5816	5670	7450	7866	7527	7527	7527	7527	7527	7527	12	13	13	18	18	22	23	27	27	25	23	21	24	28	28	28	28	28	28	28
Turkey	472	544	564	764	790	964	1017	1243	1254	1197	103	995	1155	1378	1378	1378	1378	1378	16	17	16	15	19	21	21	22	0	27	0	27	0	27	0	27	0	27	0	
Turkmenistan	8314	8471	8263	7827	9533	10586	10412	10738	0	12785	0	12785	0	12785	0	1278																						

Table A3.16 NTP budgets, available funding, cost of utilization of general health-care services and total TB control costs (US\$ millions), Europe, 2009

	NTP budget	Available funding			Funding gap	Cost of utilization of general health-care services	Total TB control costs	Completeness of budget data
		Government (excluding loans)	Loans	Grants (excluding Global Fund)				
Albania								
Andorra	0.1	0.1	0	0	0	0.1	P	
Armenia	0	0	0	1.1	<0.01	0.1	P	
Austria							N	
Azerbaijan							N	
Belarus							N	
Belgium							N	
Bosnia & Herzegovina	15	10	0	0	0	5.0	C	
Bulgaria							N	
Croatia	0.04	0.04	0	0	0	0.1	C	
Cyprus						0.2	C	
Czech Republic						0.2	N	
Denmark	1.0	1.0	0	0	0	849	N	
Estonia						0.6	C	
Finland							N	
France	18	6.9	0	1.0	0	0.7	N	
Georgia							C	
Germany							N	
Greece							N	
Hungary							N	
Iceland							N	
Ireland							N	
Israel							N	
Italy							N	
Kazakhstan	384	84	0	0.02	298	14	C	
Kyrgyzstan							N	
Latvia	30	0	0	0	30	2.5	P	
Lithuania							N	
Luxembourg	0.1	0.1	0	0	0	<0.01	C	
Malta						0.1	C	
Monaco	0	0	0	0.1	<0.01	0	P	
Montenegro	49	49	0	0	0	0.9	C	
Netherlands							N	
Norway							N	
Poland							N	
Portugal	7.4	7.4	0	0	0	2.4	C	
Republic of Moldova	18	16	0	0.1	0	1.0	C	
Romania	18	16	0	0	0.5	12	C	
Russian Federation	1249	1014	0	1.4	226	24	C	
San Marino							N	
Serbia	23	20	0	0	2.2	0.4	C	
Slovakia	0.02	0.02	0	0	0	0.6	C	
Slovenia							C	
Spain							N	
Sweden	4.0	2.7	0	1.3	0	0.1	N	
Switzerland							N	
Tajikistan						4.1	N	
Tajikistan	1.2	0.6	0	0	0.04	0.3	C	
TFYR Macedonia	70	70	0	0	0	10	N	
Turkey							C	
Turkmenistan							C	
Ukraine							N	
United Kingdom							N	
Uzbekistan	33	30	0	3.7	0	62	N	
EUR	1 921	1 328	0	9	555	986	31%	

N indicates data not available or not applicable; P indicates partial financial data; C indicates complete data and therefore included in analysis presented in chapter 3. Completeness of budget data in total row indicates percentage of countries providing complete financial data. Data can be downloaded from www.who.int/tb

Notes

Denmark

Data for Denmark exclude Greenland. A total of 54 TB cases were notified in Greenland for 2007 (93 per 100 000 population). No MDR-TB cases were identified in Greenland.

Russian Federation

TABLE A3.5: cases notified as "Other re-treatment" in 2007 included smear-negative cases; these cases were not notified in previous years.

SOUTH-EAST ASIA



South-East Asia

NTP MANAGER (OR EQUIVALENT) AND/OR PERSON(S) RESPONSIBLE FOR COMPLETING DATA COLLECTION FORM

Bangladesh	Mohammed Abdul Awal Miah; Roksana Hafiz
Bhutan	Chewang Rinzin
DPR Korea	Kim Jong Guk; Hong Sung Il
India	L.S. Chauhan
Indonesia	Jane Soepardi; Sudarman Soemrah
Maldives	Shameema Hussain; Fathmeth Reeza
Myanmar	Win Maung; Thandar Lwin
Nepal	Pushpa Malla; Badri Nath Jnawali
Sri Lanka	Chandra Sarukkali
Thailand	Yutichai Kasetjaroen; Pinan Daengharn; Sirinapha Jittimaneer
Timor-Leste	Constantino Lopes

This list shows the people named on the data collection form sent to WHO in 2008, not necessarily the current NTP manager. It is intended as an acknowledgement rather than a directory.

Table A3.1 Methods and assumptions for estimation of TB incidence, prevalence and mortality, South-East Asia

Country	Reference year	Incidence est. based on	Trend	Source of estimates		Chf ss+ HIV-		Duration ss+HIV-		Duration ss+HIV- non-DOTS	
				MDR (new)	MDR (re-treat)	DOTS	non-DOTS	DOTS	non-DOTS	DOTS	non-DOTS
Bangladesh	1997	Prev.	ARI	Model	Model	0.1	0.3	1	2.5	1	2.5
Bhutan	1997	ARI	Country notifs, exp.	Model	Model	0.1	0.3	1	2.5	1	2.5
DPR Korea	2007	ARI	Not estimated	Model	Model	0.1	0.3	1	2.5	1	2.5
India	2002	ARI	Not estimated	DRS	DRS	0.1	0.3	0.8	2.65	1.8	3.8
Indonesia	2004	Prev.	Expert opinion	Model	Model	0.1	0.3	0.8	1.12	0.8	1.12
Maldives	1997	Notif.	Country notifs, exp.	Model	Model	0.05	0.2	0.8	2.5	0.8	2.5
Myanmar	1997	ARI	Not estimated	DRS	DRS	0.1	0.3	1	2.5	1	2.5
Nepal	1997	Prev.	ARI	DRS	DRS	0.05	0.2	1	2.5	1	2.5
Sri Lanka	1997	Notif.	Not estimated	DRS	DRS	0.1	0.3	1	2.5	1	2.5
Thailand	1997	Prev.	Not estimated	DRS	DRS	0.1	0.2	1	2.5	1	2.5
Timor-Leste	2007	Comparison	Not estimated	Model	Model	0.1	0.2	1	2	1	2

- indicates no estimate; ARI, annual risk of infection; ave, average; C-ReC, capture re-capture; CDR, case detection rate; DRS, drug resistance survey; exp., exponential HIV+, HIV-positive; HIV-, HIV-negative; Mort., mortality (vital registration); Notifs., notification(s); Prev., disease prevalence survey; ss+, sputum smear-positive; ss-, sputum smear-negative. See Annex 2 (methods) for details. Data can be downloaded from www.who.int/tb

Table A3.2 Estimated burden of TB, South-East Asia, 1990 and 2007

Country	Incidence 1990			Prevalence 1990			TB mortality 1990			Incidence 2007			Prevalence 2007			TB mortality 2007			HIV prevalence in incident TB cases, 2007 (%)			MDR 2007							
	All forms* number	Smear-positive** number	rate	All forms* number	Smear-positive** number	rate	All forms* number	rate	All forms* number	rate	All forms* number	rate	All forms* number	rate	All forms* number	rate	All forms* number	rate	All forms* number	rate	new	re-treat	Percentage of new re-treat	Number among all cases	smear-positive				
																										number	rate	number	rate
Bangladesh	298 205	264	134 192	119	721 902	639	87 087	77	353 103	223	985	< 1	158 797	100	348	< 1	613 652	387	498	< 1	70 901	45	421	< 1	0	3.5	20	14 506	7 694
Bhutan	2 955	540	1 330	243	5 057	924	550	101	1 620	246	28	4	726	110	10	1	2 380	363	14	2	288	44	8	1	1.7	3.0	20	67	41
DPR Korea	69 382	344	31 222	155	169 458	841	22 830	113	81 944	344	174	< 1	36 857	155	61	< 1	104 953	441	87	< 1	15 409	65	49	< 1	0.2	3.9	23	7 183	5 407
India	1 443 567	168	649 377	75	5 044 476	586	360 835	42	1 961 825	168	103 068	9	872 514	75	36 074	3	3 304 976	283	51 534	4	331 268	28	29 508	3	5.3	2.8	17	130 526	98 639
Indonesia	626 867	343	282 090	154	809 592	443	168 956	92	528 063	228	15 996	7	236 029	102	5 599	2	565 614	244	7 998	3	91 368	39	5 444	2	3.0	2.0	20	12 209	6 427
Maldives	278	129	125	58	308	143	16	7	143	47	1	< 1	64	21	< 1	147	48	< 1	< 1	12	4	< 1	< 1	0.8	2.7	21	5	3	
Myanmar	68 616	171	30 503	76	165 017	411	20 958	52	83 403	171	9 114	19	36 620	75	3 190	7	78 846	162	4 557	9	6 287	13	911	2	11	4.0	16	4 181	2 331
Nepal	46 445	243	20 893	109	120 250	629	9 712	51	48 766	173	1 175	4	21 827	77	411	1	67 546	240	588	2	6 436	23	268	< 1	2.4	2.9	12	1 937	1 164
Sri Lanka	10 353	60	4 659	27	18 614	109	1 724	10	11 676	60	9	< 1	5 253	27	3	< 1	15 322	79	5	< 1	1 504	8	2	< 1	0.1	0.2	21	152	141
Thailand	77 232	142	33 862	62	182 330	336	16 047	30	90 878	142	15 481	24	39 347	62	5 418	8	122 826	192	7 741	12	13 589	21	3 853	6	17	1.7	35	2 774	1 923
Timor-Leste	2 383	322	1 073	145	5 225	706	538	73	3 718	322	< 1	< 1	1 673	145	< 1	4 371	378	< 1	< 1	544	47	< 1	< 1	< 0.05	2.9	20	118	58	
SEAR	2 646 286	202	1 189 326	91	7 242 230	554	689 251	53	3 165 139	181	146 042	8	1 409 708	81	51 115	3	4 880 642	260	73 021	4	537 616	31	40 465	2	4.6	2.8	18	173 660	124 826

- Indicates no estimate. * Incidence, prevalence and mortality estimates include patients with HIV. Estimates labelled "HIV+" are estimates of HIV+ TB cases in all people. Estimates for all years are re-calculated as new information becomes available and techniques are refined, so they may differ from those published previously. See Explanatory notes for further details. Data can be downloaded from www.who.int/tb

Table A3.3 Estimated incidence of TB (all forms) in all people, South-East Asia, 1990-2007

	Number of cases																		Rate (per 100 000 population)																		
	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	
Bangladesh	298 205	302 065	305 909	309 695	313 372	316 904	320 270	323 486	326 605	329 697	332 806	335 951	339 104	342 211	345 197	348 013	350 641	353 103	2 64	2 61	2 59	2 56	2 53	2 51	2 48	2 46	2 44	2 41	2 39	2 36	2 34	2 32	2 29	2 27	2 25	2 23	
Bhutan	2 955	2 807	2 633	2 455	2 297	2 082	2 018	1 973	1 935	1 899	1 864	1 832	1 798	1 761	1 719	1 671	1 620	540	516	492	470	449	428	409	391	373	356	340	325	310	296	283	270	258	246		
DPR Korea	69 352	70 452	71 556	72 669	73 758	74 796	75 778	76 704	77 562	78 342	79 037	79 641	80 159	80 602	80 992	81 343	81 659	81 944	344	344	344	344	344	344	344	344	344	344	344	344	344	344	344	344	344	344	
India	1 443 567	1 474 771	1 505 338	1 538 106	1 569 868	1 601 462	1 632 821	1 663 943	1 694 808	1 725 418	1 755 777	1 785 851	1 815 627	1 845 155	1 874 508	1 903 739	1 932 852	1 961 825	1 68	1 68	1 68	1 68	1 68	1 68	1 68	1 68	1 68	1 68	1 68	1 68	1 68	1 68	1 68	1 68	1 68	1 68	
Indonesia	626 867	621 961	616 801	611 432	605 907	600 266	594 523	588 686	582 785	576 852	570 906	564 955	558 989	552 983	546 901	540 720	534 439	528 063	343	335	327	319	311	304	297	290	283	276	270	263	257	251	245	239	234	228	
Maldives	278	270	262	254	246	237	228	220	211	202	194	186	178	170	163	156	149	143	129	121	114	108	102	96	90	85	80	75	71	67	63	59	55	50	47		
Myanmar	68 616	69 685	70 722	71 733	72 731	73 722	74 712	75 695	76 655	77 569	78 422	79 207	79 933	80 620	81 266	81 983	82 687	83 403	171	171	171	171	171	171	171	171	171	171	171	171	171	171	171	171	171	171	
Nepal	46 445	46 666	46 907	47 158	47 409	47 649	47 877	48 083	48 288	48 452	48 581	48 670	48 724	48 753	48 766	48 772	48 772	48 766	243	238	233	229	224	220	216	211	207	203	199	195	191	187	184	180	176	173	
Sri Lanka	10 353	10 481	10 605	10 724	10 838	10 938	11 031	11 116	11 191	11 260	11 321	11 377	11 426	11 473	11 519	11 568	11 620	11 676	60	60	60	60	60	60	60	60	60	60	60	60	60	60	60	60	60	60	60
Thailand	77 232	76 166	75 096	73 999	72 872	71 723	70 548	69 352	68 125	66 866	65 583	64 277	62 950	61 602	60 234	58 846	57 438	56 000	142	142	142	142	142	142	142	142	142	142	142	142	142	142	142	142	142	142	
Timor-Leste	2 353	2 462	2 553	2 641	2 726	2 798	2 861	2 915	2 963	3 006	3 044	3 078	3 107	3 132	3 154	3 173	3 188	3 171	322	322	322	322	322	322	322	322	322	322	322	322	322	322	322	322	322	322	
SEAR	2 646 286	2 679 787	2 713 371	2 746 866	2 780 040	2 812 714	2 844 806	2 876 331	2 907 313	2 937 815	2 967 878	2 997 483	3 026 992	3 055 214	3 083 367	3 111 072	3 138 330	3 165 139	202	201	199	198	196	195	194	192	191	189	188	187	185	184	183	182	181		

Estimates for all years are re-calculated as new information becomes available and techniques are refined, so they may differ from those published previously. Data can be downloaded from www.who.int/tb

Table A3.4 Estimated incidence, prevalence and mortality rates (per 100 000 population), South-East Asia, 2000-2007

	Incidence of HIV+ TB cases								Prevalence of TB (all forms)								Mortality (excluding HIV+)								Mortality HIV+													
	2000	2001	2002	2003	2004	2005	2006	2007	2000	2001	2002	2003	2004	2005	2006	2007	2000	2001	2002	2003	2004	2005	2006	2007	2000	2001	2002	2003	2004	2005	2006	2007						
Bangladesh	<1	<1	<1	<1	<1	<1	<1	<1	500	491	478	458	444	416	392	387	58	57	55	53	51	48	45	44	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1			
Bhutan	<1	<1	<1	<1	<1	<1	<1	<1	515	512	472	460	443	412	406	363	60	58	55	53	50	48	46	43	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1		
DPR Korea	<1	<1	<1	<1	<1	<1	<1	<1	713	650	577	527	499	508	500	441	105	98	90	86	82	84	83	65	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	
India	11	11	11	10	10	10	10	9	443	411	389	349	311	299	290	283	38	35	34	31	28	27	26	26	5	4	4	3	3	3	3	3	3	3	3			
Indonesia	2	3	4	4	5	6	6	7	326	314	297	287	274	261	251	244	61	58	53	50	45	41	38	37	<1	1	1	2	2	2	2	2	2	2	2	2		
Maldives	<1	<1	<1	<1	<1	<1	<1	<1	96	84	83	69	71	63	69	48	7	7	6	5	5	5	5	4	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	
Myanmar	23	23	23	22	21	20	19	20	267	238	202	175	168	161	161	162	32	30	26	20	16	11	11	11	10	9	7	3	3	2	2	2	2	2	2	2		
Nepal	4	4	4	4	4	4	4	4	312	304	285	271	260	247	246	240	28	27	26	24	23	23	22	22	1	1	1	1	1	1	1	1	1	1	1	1	1	
Sri Lanka	<1	<1	<1	<1	<1	<1	<1	<1	107	99	88	89	87	75	80	79	10	9	9	9	9	7	8	8	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1
Thailand	28	27	26	25	25	24	24	24	223	194	197	189	188	184	189	192	17	15	15	15	15	15	15	15	8	7	7	6	6	6	6	6	6	6	6	6		
Timor-Leste	<1	<1	<1	<1	<1	<1	<1	<1	644	644	345	359	367	370	385	378	70	70	37	45	46	46	48	47	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1
SEAR	9	9	9	9	9	9	9	8	417	390	370	337	309	296	286	280	42	40	38	35	32	30	29	28	4	4	3	3	3	3	3	2	2	2	2	2		

Rates are per 100 000 population (total country population, including HIV-positive and HIV-negative people). Estimates for all years are re-calculated as new information becomes available and techniques are refined, so they may differ from those published previously. Data (including for years 1990 to 1999) can be downloaded from www.who.int/tb

Table A3.5 Case notifications and case detection rates, DOTS and non-DOTS combined, South-East Asia, 2007

	Notified TB cases, DOTS and non-DOTS combined																													
	Population					New pulmonary					New extra-pulmonary					Re-treatment cases					Estimated incidence and case detection rates					Proportions				
	All notified	New and relapse	ss+/unk-	ss+/unk-	ss+/unk-	New pulmonary	Other pulmonary	Release	After failure	After default	Other re-treat.	Other	New pulm. lab. confirm.	Estimated incidences all forms	ss+	ss+	all new	Case detection rate	ss+	ss+	ss+	Extrapulm.	Re-treat.							
Bangladesh	158 665	147 342	93	104 296	66	23 152	16 106	0	3 788	0	3 788	104 296	353 103	158 797	41	66	41	66	82	71	11	11	3							
Bhutan	658	1 008	999	152	328	50	253	373	45	6	3	328	1 620	726	59	45	45	56	33	37	37	5								
DPR Korea	23 790	68 177	58 802	247	23 575	99	25 789	7 579	4 543	1 418	5 543	23 575	81 944	36 857	69	64	69	48	40	40	13	16								
India	1 169 016	1 475 629	1 295 943	1 111	398 862	206 840	798	98 856	19 041	77 618	83 027	592 587	1 961 825	872 514	61	68	61	68	80	46	16	16	19							
Indonesia	231 627	275 660	275 193	119	160 617	69	102 613	8 048	3 915	104	321	160 617	528 063	236 029	51	68	51	68	61	58	3	3	2							
Maldives	306	129	127	42	59	19	37	30	0	1	0	59	143	64	88	92	88	61	46	46	24	2								
Myanmar	48 798	133 547	129 081	265	42 588	87	41 826	40 002	4 665	1 250	2 468	83 403	36 620	149	116	116	116	50	33	31	31	7								
Nepal	28 196	33 439	32 940	117	14 355	51	9 350	6 986	0	2 249	230	0	14 355	48 766	21 827	63	66	61	44	44	21	8								
Sri Lanka	19 299	9 155	8 718	45	4 528	23	1 985	1 984	0	221	76	5 262	11 676	5 253	73	86	73	70	52	52	23	5								
Thailand	63 884	54 793	54 793	86	28 487	45	17 156	7 485	1 665	0	0	28 487	90 878	39 347	58	72	58	62	52	52	14	3								
Timor-Leste	1 155	3 270	3 255	282	1 021	88	1 772	433	0	29	10	1 021	3 718	1 673	87	61	87	37	31	31	13	1								
SEAR	1 745 394	2 202 149	2 007 193	115	972 441	56	622 795	285 866	798	115 293	23 131	80 523	91 082	220	930 587	60	69	60	61	48	48	15	14							

ss+ indicates sputum smear-positive; ss-, sputum smear-negative; unk., sputum smear result unknown; re-treat., re-treatment; pulm. lab. confirmed, pulmonary case confirmed by positive smear or culture. See Explanatory notes for further details. Data can be downloaded from www.who.int/tb

Table A3.6 DOTS coverage, case notifications and case detection rates, South-East Asia, 2007

	TB cases reported from DOTS services																													
	DOTS coverage					New pulmonary					New extra-pulmonary					Re-treatment cases					Estimated incidence and case detection rate					Proportions				
	%	New and relapse	ss+/unk-	ss+/unk-	ss+/unk-	New pulmonary	Other pulmonary	Release	After failure	After default	Other re-treat.	Other	New pulm. lab. confirm.	Estimated incidences all forms	ss+	ss+	all new	DOTS case detection rate	ss+	ss+	ss+	Extrapulm.	Re-treat.							
Bangladesh	100	147 342	93	104 296	66	23 152	16 106	0	3 788	0	3 788	104 296	353 103	158 797	41	66	41	66	82	71	11	11	3							
Bhutan	100	999	152	328	50	253	373	45	6	3	3	328	1 620	726	59	45	45	56	33	37	37	5								
DPR Korea	100	58 802	247	23 575	99	25 789	7 579	4 543	1 418	5 543	23 575	81 944	36 857	69	64	64	64	48	40	40	13	16								
India	100	1 295 943	1 111	592 587	51	398 862	206 840	798	98 856	19 041	77 618	592 587	1 961 825	872 514	61	68	61	68	60	46	16	16	19							
Indonesia	100	275 193	119	160 617	69	102 613	8 048	3 915	104	321	42	160 617	528 063	236 029	51	68	51	68	61	58	3	3	2							
Maldives	100	127	42	59	19	37	30	0	1	0	0	59	143	64	88	92	88	61	46	46	24	2								
Myanmar	95	129 081	265	42 588	87	41 826	40 002	4 665	1 250	2 468	2 468	83 403	36 620	149	116	116	116	50	33	31	31	7								
Nepal	100	32 940	117	14 355	51	9 350	6 986	0	2 249	230	269	0	14 355	48 766	21 827	63	66	61	44	44	21	8								
Sri Lanka	98	8 636	45	4 477	23	1 966	1 975	0	218	76	138	5 211	11 676	5 253	72	85	72	69	52	52	23	5								
Thailand	100	54 793	86	28 487	45	17 156	7 485	1 665	0	0	0	28 487	90 878	39 347	58	72	58	62	52	52	14	3								
Timor-Leste	100	3 255	282	1 021	88	1 772	433	0	29	10	5	1 021	3 718	1 673	87	61	87	37	31	31	13	1								
SEAR	100	2 007 111	115	972 390	56	622 776	285 857	798	115 290	23 131	80 520	91 082	218	930 538	60	69	60	61	48	48	15	14								

ss+ indicates sputum smear-positive; ss-, sputum smear-negative; unk., sputum smear result unknown; re-treat., re-treatment; pulm. lab. confirmed, pulmonary case confirmed by positive smear or culture. See Explanatory notes for further details. Data can be downloaded from www.who.int/tb

Table A3.7 Laboratory services, collaborative TB/HIV activities and management of MDR-TB, South-East Asia, 2006-2007

	Laboratory services, 2007					Collaborative TB/HIV activities							Management of MDR-TB, 2007				
	Number of labs working with NTP smear culture		Smear labs included in EQA	TB pts tested for HIV	TB pts HIV-positive	2006			2007				Lab-confirmed MDR	DST in new cases	MDR in new cases	Re-treatment DST	Re-treatment MDR
	4	1				2	753	245	Number of TB pts tested for HIV	Number of TB pts HIV-positive	Number of TB pts HIV+ ART	Number of TB pts HIV+ CPT					
Bangladesh	753	28	1	1	753	0	0	0	0	0	0	0	0	0	0	0	
Bhutan	245	11	11	11	245	59 664	8 785	0	0	0	0	146	0	0	0	146	
India	12 184	41	11	11	11 386	243	151	288	146	9 324	724	162	2	1	0	0	
Indonesia	4 855	1	0	0	4 855	2 826	5 552	684	0	873	846	437	600	721	29	473	
Maldives	70	1	0	0	7	343	1	5	2	0	6	5	163	926	1	388	
Myanmar	324	2	1	1	54	25 552	7 141	4 551	2 260	37 744	5 080	2 456	4	31	1 275	287	
Nepal	414	3	2	1	31	89 418	21 630	5 220	2 550	121 872	17 964	3 062	918	1 649	31	1 275	
Sri Lanka	176	1	1	1	31	89 418	21 630	5 220	2 550	121 872	17 964	3 062	918	1 649	31	1 275	
Thailand	1 023	65	14	14	1 023	89 418	21 630	5 220	2 550	121 872	17 964	3 062	918	1 649	31	1 275	
Timor-Leste	18	0	0	0	18	89 418	21 630	5 220	2 550	121 872	17 964	3 062	918	1 649	31	1 275	
SEAR	20 090	129	43	43	18 372	89 418	21 630	5 220	2 550	121 872	17 964	3 062	918	1 649	31	1 275	

ART indicates antiretroviral therapy, CPT, co-trimoxazole preventive therapy, DST, drug susceptibility testing; EQA, external quality assurance; HIV+, HIV-positive; pls, patients. See Explanatory notes for further details. Data can be downloaded from www.who.int/tb

Table A3.8 Treatment outcomes, South-East Asia, 2006 cohort

	New smear-positive cases, DOTS												New smear-positive cases, non-DOTS												Smear-positive re-treatment cases, DOTS											
	Number of cases notified			% of notified cases			% of cohort			Number of cases notified			% of notified cases			% of cohort			Number of cases notified			% of notified cases			% of cohort											
	Notified	Regist'd	Success	Regist'd	Trans-ferred	Not eval.	Notified	Regist'd	Success	Regist'd	Trans-ferred	Not eval.	Notified	Regist'd	Success	Regist'd	Trans-ferred	Not eval.	Notified	Regist'd	Success	Regist'd	Trans-ferred	Not eval.	Notified	Regist'd	Success	Regist'd	Trans-ferred	Not eval.						
Bangladesh	101 967	101 761	100	91	1	3	1	92	4 211	70	5	2	4	8	77	4 211	70	5	2	4	8	77	4 211	70	5	2	4	8	77							
Bhutan	312	320	103	80	9	5	1	89	61	62	13	2	7	0	16	76	61	62	13	2	7	0	16	76	61	62	13	2	7	0	16					
DPR Korea	18 435	18 435	100	82	4	3	4	86	8 820	68	8	4	13	4	3	0	8 820	68	8	4	13	4	3	0	77	8 820	68	8	4	13	4	3				
India	553 797	553 302	100	84	2	5	2	86	259 130	45	26	7	4	15	2	0	259 130	45	26	7	4	15	2	0	72	259 130	45	26	7	4	15	2				
Indonesia	175 320	175 320	100	83	9	2	1	91	4 227	81	16	5	2	11	5	0	4 227	81	16	5	2	11	5	0	77	4 227	81	16	5	2	11	5				
Maldives	53	53	100	91	0	2	4	91	5	60	20	0	0	0	0	80	5	60	20	0	0	0	0	0	80	5	60	20	0	0	0					
Myanmar	40 241	40 350	100	77	7	6	3	84	8 866	50	20	12	7	4	0	70	8 866	50	20	12	7	4	0	70	8 866	50	20	12	7	4	0					
Nepal	14 028	14 028	100	86	2	5	1	88	2 920	82	1	6	4	3	0	84	2 920	82	1	6	4	3	0	84	2 920	82	1	6	4	3	0					
Sri Lanka	4 431	4 431	100	83	4	5	1	87	4 355	66	5	6	3	17	4	71	4 355	66	5	6	3	17	4	71	4 355	66	5	6	3	17	4					
Thailand	29 081	28 956	99	71	6	8	2	77	2 191	53	9	13	6	7	5	7	2 191	53	9	13	6	7	5	7	62	2 191	53	9	13	6	7	5				
Timor-Leste	907	908	100	69	10	5	0	79	44	73	7	5	0	16	0	80	44	73	7	5	0	16	0	80	44	73	7	5	0	16	0					
SEAR	938 572	937 764	100	84	4	4	2	87	65	0	0	0	0	0	0	80	938 572	937 764	100	84	4	4	2	5	1	0	87	65	0	0	0					

Not eval. indicates not evaluated (percentage of registered cases for which outcomes were not recorded); success, sum of cured and completed; cases regist'd, the denominator for calculating treatment outcomes. The number of cases registered for treatment in 2006 is used as the denominator for calculating treatment outcomes unless it is less than the sum of outcomes, in which case the sum of outcomes is used. If the number of cases registered is not reported, then the number of cases notified in 2006 is used, or the sum of outcomes if the latter is greater. Data can be downloaded from www.who.int/tb

Table A3.9 DOTS re-treatment outcomes, South-East Asia, 2006 cohort

	Release DOTS % of cohort						After failure DOTS % of cohort						After default DOTS % of cohort													
	Number regist'd	Compl- eted	Died	Failed	Trans- ferred	Not eval.	% Success	Number regist'd	Compl- eted	Died	Failed	Trans- ferred	Not eval.	% Success	Number regist'd	Compl- eted	Died	Failed	Trans- ferred	Not eval.	% Success					
Bangladesh	4 211	70	7	5	2	4	8	77																		
Bhutan	42	74	14	2	5	0	5	88																		
DPR Korea	1 501	71	5	4	13	4	3	0	76																	
India	89 808	67	6	7	5	14	1	0	73																	
Indonesia	4 227	61	16	5	2	11	5	0	77																	
Maldives	4	75	0	25	0	0	0	0	75																	
Myanmar	4 909	62	12	11	6	6	3	0	74																	
Nepal	2 383	85	1	5	3	3	0	86																		
Sri Lanka	227	75	4	7	2	8	4	0	79																	
Thailand	1 575	55	10	14	4	7	4	6	65																	
Timor-Leste																										
SEAR	108 887	67	7	7	5	12	2	0	74	23 308	52	8	8	14	16	2	0	60	78 994	58	8	4	19	2	0	66

Not eval. indicates not evaluated (percentage of registered cases for which outcomes were not recorded); success, sum of cured and completed; cases regist'd, the denominator for calculating treatment outcomes. The number of cases registered for treatment in 2006 is used as the denominator for calculating treatment outcomes unless it is less than the sum of outcomes, in which case the sum of outcomes is used. If the number of cases registered is not reported, then the number of cases notified in 2006 is used, or the sum of outcomes if the latter is greater. Data can be downloaded from www.who.int/tb

Table A3.10 DOTS treatment success and case detection rates, South-East Asia, 1994-2007

	DOTS new smear-positive treatment success (%)										DOTS new smear-positive case detection rate (%)															
	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2005	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007
Bangladesh	73	71	72	78	80	81	83	84	84	85	90	91	92	6	14	18	23	23	24	26	30	35	40	54	65	66
Bhutan	71	97	96	85	90	85	90	93	86	90	83	91	89	38	33	31	30	36	41	43	44	45	45	40	42	45
DPR Korea																										
India	83	79	79	82	84	82	84	85	87	86	86	86	86	0	1	1	2	7	12	12	23	30	44	56	60	64
Indonesia	94	91	81	54	58	50	87	86	87	90	90	91	91	1	4	7	12	19	20	21	30	37	53	66	73	68
Maldives	95	97	93	94	94	94	94	97	97	95	91	95	86	107	103	96	93	97	75	71	75	89	90	94	79	92
Myanmar																										
Nepal																										
Sri Lanka	77	79	80	76	76	84	77	80	81	81	85	86	87	62	60	70	75	77	67	72	71	71	76	93	85	85
Thailand																										
Timor-Leste																										
SEAR	80	74	77	72	72	73	83	84	85	85	87	87	87	1	4	5	8	14	18	26	33	44	55	62	67	69

Treatment success, sum of cured and completed; DOTS new smear-positive case detection rate, notified new smear-positive cases divided by estimated incident cases. Figures for all years are updated as new information becomes available and/or techniques are refined, so they may differ from those published previously. Data can be downloaded from www.who.int/tb

Table A3.11 New smear-positive case notification by age and sex, DOTS and non-DOTS, South-East Asia, 2007

	Male					Female					All					Male/female ratio						
	0-14	15-24	25-34	35-44	45-54	55-64	65+	0-14	15-24	25-34	35-44	45-54	55-64	65+	0-14		15-24	25-34	35-44	45-54	55-64	65+
Bangladesh	523	10 210	12 442	13 003	13 307	10 653	9 830	829	8 562	8 164	6 678	5 220	3 057	1 818	1 352	18 772	20 606	19 681	18 527	13 710	11 648	2.0
Bhutan	2	60	44	29	26	17	13	3	59	28	21	10	10	6	5	119	72	50	36	27	19	1.4
DPR Korea	353	1 947	2 748	3 717	2 831	2 093	674	406	1 233	1 692	2 672	1 723	1 056	440	759	3 180	4 430	6 389	4 554	3 149	1 114	1.6
India	4 305	73 947	83 850	88 045	76 408	53 414	31 922	7 575	50 289	49 519	32 407	20 316	13 195	7 395	11 880	124 236	133 369	120 452	96 724	66 609	39 317	2.3
Indonesia	849	14 835	21 297	18 606	18 283	14 176	6 762	920	13 371	16 055	13 211	11 391	7 965	2 896	1 769	28 206	37 352	31 817	29 674	22 141	9 658	1.4
Maldives	0	14	4	6	5	6	5	1	5	2	5	5	0	1	1	19	6	11	10	6	6	2.1
Myanmar	127	3 591	6 569	6 826	5 507	3 152	2 155	159	2 719	3 500	2 998	2 486	1 601	1 198	286	6 310	10 069	9 824	7 993	4 753	3 353	1.9
Nepal	150	2 025	1 591	1 636	1 720	1 715	919	175	1 149	1 027	793	619	578	258	325	3 174	2 618	2 429	2 339	2 293	1 177	2.1
Sri Lanka	10	288	477	664	802	649	412	16	279	228	193	182	176	111	26	567	705	847	984	825	523	2.8
Thailand	48	1 261	3 398	4 487	4 168	3 122	3 748	50	885	1 481	1 418	1 302	1 261	1 938	96	2 146	4 879	5 905	5 470	4 403	5 686	2.4
Timor-Leste	4	128	129	89	77	69	65	10	120	98	89	76	36	31	14	248	227	178	153	105	96	1.2
SEAR	6 371	108 306	132 549	137 108	123 134	89 066	56 505	10 144	78 671	81 794	60 475	43 330	28 955	16 092	16 515	186 877	214 333	197 583	166 464	118 021	72 597	2.0

For some countries, breakdown of notified cases by age and sex is missing, or is provided for a subset of cases. See Explanatory notes for further details. Data can be downloaded from www.who.int/tb

Table A3.12 New smear-positive case notification rates by age and sex, DOTS and non-DOTS, South-East Asia, 2007

	Male					Female					All										
	0-14	15-24	25-34	35-44	45-54	55-64	65+	0-14	15-24	25-34	35-44	45-54	55-64	65+	0-14	15-24	25-34	35-44	45-54	55-64	65+
Bangladesh	2	62	96	129	189	264	352	3	55	67	70	80	76	59	2	58	82	101	136	170	199
Bhutan	2	75	69	71	91	95	82	3	82	57	63	41	65	38	2	78	64	67	68	81	60
DPR Korea	13	100	159	178	209	204	86	15	66	101	132	128	96	32	14	83	130	156	169	148	52
India	2	63	87	118	133	154	113	4	47	56	47	38	39	23	3	55	72	84	87	97	66
Indonesia	3	69	106	112	152	205	114	3	63	80	80	96	105	39	3	66	93	96	124	153	72
Maldives	0	37	15	34	42	94	81	2	14	8	31	43	0	18	1	25	12	33	42	47	51
Myanmar	2	76	152	199	219	224	175	3	59	81	84	92	103	79	2	67	116	140	153	160	122
Nepal	3	69	77	117	172	272	204	3	41	49	49	54	77	43	3	55	63	81	109	167	111
Sri Lanka	0	16	35	49	63	76	70	1	16	15	13	14	19	16	1	16	25	30	38	46	40
Thailand	1	25	69	94	94	113	170	1	18	29	26	27	43	65	1	22	49	58	59	77	109
Timor-Leste	2	109	171	172	185	306	418	4	108	141	162	192	145	188	3	108	156	167	194	222	300
SEAR	2	63	92	120	141	170	133	4	49	60	55	62	55	33	3	56	77	88	98	112	79

Rates are per 100 000 population of each age/sex group. Rates are calculated excluding those countries for which breakdown of notified cases or population by age and sex is missing. Data can be downloaded from www.who.int/tb

Table A3.13 TB case notifications, South-East Asia, 1980-2007

	1980	1981	1982	1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007
Bangladesh	39 774	42 644	49 870	52 961	45 679	41 802	45 599	45 355	44 280	45 191	48 673	56 052	31 400	54 001	48 276	56 437	63 471	63 420	72 256	79 339	75 557	76 302	81 963	88 156	98 336	123 118	145 186	147 342
Bhutan	1 539	2 657	720	1 017	904	1 073	1 582	608	1 126	1 525	1 154	996	1 400	1 088	1 159	1 299	1 271	1 211	1 292	1 174	1 140	1 037	1 089	1 026	988	1 007	917	999
DPR Korea						0																29 284	40 159	41 810	44 602	42 722	44 568	58 802
India	705 600	769 540	923 095	1 075 098	1 109 310	1 168 804	1 279 536	1 403 122	1 457 288	1 510 500	1 519 182	1 555 353	1 121 120	1 081 279	1 114 374	1 218 183	1 290 343	1 132 859	1 102 002	1 218 743	1 115 718	1 060 951	1 085 075	1 073 282	1 136 182	1 156 248	1 228 827	1 285 943
Indonesia	25 235	32 461	33 000	31 809	32 432	17 681	16 750	115	85	203	152	123	92	175	249	35 529	24 647	22 184	40 487	69 064	84 591	92 792	155 188	174 174	210 229	254 601	277 569	275 193
Maldives	73	112	111	143	123	91	111	115	85	203	152	123	92	175	249	212	212	173	176	153	137	139	125	137	119	122	99	127
Myanmar	12 744	12 461	12 069	11 012	11 045	10 506	10 840	11 986	9 348	10 940	12 416	14 905	17 000	19 009	15 583	18 229	22 201	17 122	14 756	19 626	30 840	42 838	57 012	75 744	96 662	107 009	122 472	129 081
Nepal	1 020	337	1 459	700	190	52	252	1 012	1 603	11 003	10 142	8 983	13 161	15 572	19 804	22 970	24 158	24 135	27 356	29 519	29 519	30 959	30 925	31 979	33 448	32 670	32 940	32 940
Sri Lanka	6 212	6 288	7 334	6 666	6 376	5 889	6 596	6 411	6 092	6 429	6 666	6 174	6 802	6 809	6 132	5 956	5 365	6 542	6 925	7 157	8 413	7 499	8 939	8 998	8 562	9 249	8 510	8 718
Thailand	45 704	49 452	48 553	65 413	69 240	77 611	52 152	51 835	50 021	44 553	46 510	43 858	47 987	49 868	47 767	45 428	39 871	30 262	15 850	29 413	34 187	49 856	49 881	54 504	55 306	57 895	56 230	54 793
Timor-Leste	0																											
SEAR	837 901	915 952	1 076 211	1 244 819	1 275 299	1 323 909	1 413 418	1 520 444	1 667 348	1 735 860	1 719 365	1 747 252	1 322 708	1 287 716	1 298 159	1 401 086	1 470 352	1 308 981	1 279 041	1 464 312	1 414 228	1 414 141	1 488 126	1 551 516	1 686 681	1 789 186	1 920 644	2 007 913
Number reporting	10	9	9	9	9	9	9	8	10	9	9	9	8	9	9	9	9	10	10	10	10	10	10	11	11	11	11	11
% reporting	91	82	82	82	82	82	82	73	91	82	82	82	73	82	82	82	82	91	91	91	91	91	100	100	100	100	100	100

From 1995 on, number shown is all notified new and relapse cases (DOTS and non-DOTS). Figures for all years are updated as new information becomes available, so they may differ from those published previously. Data can be downloaded from www.who.int/tb

Table A3.14 TB case notification rates, South-East Asia, 1980-2007

	1980	1981	1982	1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007
Bangladesh	45	47	53	55	47	42	44	43	41	41	43	48	27	45	39	45	49	48	54	58	54	54	57	60	65	80	93	93
Bhutan	364	612	162	223	193	223	319	118	212	281	211	183	26	21	226	256	250	234	244	216	204	181	184	169	159	158	141	162
DPR Korea																												
India	102	109	128	146	147	152	162	174	177	179	177	177	125	118	119	128	133	114	109	119	107	102	98	98	102	102	107	111
Indonesia	17	21	21	20	20	11	10	55	59	41	33	52	33	26	18	12	11	20	33	40	43	71	79	94	113	121	119	119
Maldives	46	69	66	83	69	50	59	42	97	70	55	40	74	103	83	84	67	67	57	48	50	44	44	48	41	41	33	42
Myanmar	38	37	35	31	31	29	29	31	24	28	31	37	41	45	37	42	51	39	33	43	67	92	122	161	203	223	253	265
Nepal	7	2	9	4	1	0	1	6	9	59	53	46	64	74	91	103	106	103	115	121	118	119	119	120	123	118	117	117
Sri Lanka	42	41	48	43	40	37	41	39	37	38	39	36	39	38	34	33	29	36	37	38	45	40	47	47	45	48	44	45
Thailand	86	104	100	133	138	153	101	99	84	83	86	80	86	88	84	79	69	51	27	49	56	81	80	88	88	92	89	86
Timor-Leste																												
SEAR	79	85	97	110	110	112	117	124	133	135	131	97	93	92	92	97	100	88	84	95	90	89	92	94	101	105	112	115

Rates are per 100 000 population. From 1995 on, number shown is notification rate of new and relapse cases. Figures for all years are updated as new information becomes available and/or techniques are refined, so they may differ from those published previously. Data can be downloaded from www.who.int/tb

Table A3.15 New smear-positive cases notified, South-East Asia, 1990–2007

	Number of cases																Rate (per 100 000 population)																						
	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007			
Bangladesh				18 983	1 710	20 524	29 674	33 117	37 737	37 821	38 484	40 777	46 811	53 618	62 694	84 848	101 967	104 296		16	1	16	23	25	28	28	28	29	32	36	42	55	65	66					
Bhutan				352	367	308	284	270	315	347	359	364	360	356	360	312	328	328		69	72	61	55	51	58	62	63	62	59	57	48	48	50	50					
DPR Korea							3 990	4 033	5 073	16 440	14 429	18 576	17 392	18 479	17 796	18 435	23 575								2	22	72	62	80	74	79	75	78	99	99				
India				225 266	226 543	264 515	290 953	274 877	278 275	345 150	349 374	384 827	395 833	433 564	489 955	509 890	553 851	592 587		25	24	28	30	28	28	34	33	36	37	39	44	45	48	51	51				
Indonesia				62 968	49 647	31 768	11 790	19 492	32 280	49 172	52 338	53 965	76 230	92 566	128 981	158 640	175 320	160 617		33	26	16	6	10	16	24	25	25	35	42	58	70	77	69	69				
Maldives				128	125	114	106	95	88	88	65	59	60	68	66	66	53	59		53	52	46	42	37	33	33	24	21	21	24	23	22	18	19	19	19			
Myanmar							9 716	9 695	10 089	11 458	17 254	21 161	24 162	27 448	31 408	36 541	40 241	42 588		2	20	22	22	22	22	25	38	46	52	58	66	76	83	87	87				
Nepal				6 679	10 442	8 591	10 365	11 323	11 306	13 410	13 683	13 683	13 714	14 348	14 614	14 617	14 028	14 355		32	49	40	47	50	48	56	56	55	54	55	55	54	51	51	51				
Sri Lanka				3 218	3 335	3 405	2 958	3 506	3 761	3 911	4 314	4 316	4 297	4 321	4 302	4 868	4 442	4 528		16	17	18	19	17	16	19	20	21	23	23	23	23	23	25	23	23			
Thailand							20 260	20 273	16 997	13 214	7 962	14 934	17 754	28 363	25 593	28 421	29 762	28 081	28 487		36	35	29	22	13	25	29	46	41	48	45	47	46	47	46	45			
Timor-Leste													1 090	1 027	1 014	1 055	907	1 021		< 1	< 1	< 1	< 1	< 1	< 1	< 1	< 1	< 1	< 1	< 1	< 1	< 1	< 1	< 1	< 1	< 1	< 1		
SEAR	2 769	3 023	3 218	3 17 355	3 13 430	3 57 882	3 72 867	3 69 583	3 82 171	4 81 332	5 10 053	5 61 939	6 06 730	6 73 171	7 79 530	8 57 371	9 38 637	9 72 441																					

Rates are per 100 000 population. Figures for all years are updated as new information becomes available and/or techniques are refined, so they may differ from those published previously. Data can be downloaded from www.who.int/tb

Table A3.16 NTP budgets, available funding, cost of utilization of general health-care services and total TB control costs (US\$ millions), South-East Asia, 2009

	NTP budget	Available funding			Funding gap			Cost of utilization of general health-care services	Total TB control costs	Completeness of budget data
		Government (excluding loans)	Loans	Grants (excluding Global Fund)	Global Fund	Funding gap				
Bangladesh	15	4.9	1.1	0	9.2	3.1	5.8	21	C	
Bhutan	1.9	1.4	0	0	0.5	0	< 0.01	2.0	C	
DPR Korea	16	2.9	0	0	0	13	1.7	18	C	
India	100	9.2	37	9.8	14	30	38	138	C	
Indonesia	80	34	0	13	17	16	4.8	85	C	
Maldives	0.2	0.03	0	0.03	0	0	0.1	0.3	C	
Myanmar	11	1.2	0	5.3	0	4.3	1.9	13	C	
Nepal	4.8	0.6	0	0.2	2.4	0.2	1.8	6.7	C	
Sri Lanka	8.3	5.4	0	0	2.4	0.5	3.8	12	C	
Thailand	50	46	0	0	0.8	3.2	1.0	51	C	
Timor-Leste	1.8	0.3	0	0	1.6	0.01	1.8	1.8	P	
SEAR	289	107	38	29	49	70	59	348	91%	

N indicates data not available or not applicable; P indicates partial financial data; C indicates complete data and therefore included in analysis presented in chapter 3. Completeness of budget data in total row indicates percentage of countries providing complete financial data. Data can be downloaded from www.who.int/tb

Notes

Bangladesh

TABLE A3.5: the population estimate used by the NTP (142 million) is lower than that of the United Nations Population Division (159 million). Using the smaller population estimate gives a notification rate of new smear-positive cases of 74 per 100 000 population, and a smear-positive case detection rate of 73%.

India

TABLE A3.5: the population estimate used by the NTP (1131 million) is lower than that of the United Nations Population Division (1169 million). Using the smaller population estimate gives a notification rate of new smear-positive cases of 52 per 100 000 population, and a smear-positive case detection rate of 70%.

ANNEX 1 (COUNTRY PROFILE): low treatment success rates in 2000–2002 are because a large number of non-DOTS cases were not evaluated.

Myanmar

ANNEX 1 (COUNTRY PROFILE); TABLE A3.10: treatment outcomes of the 2005 cohort of new smear-positive cases published in the 2008 report did not include HIV-positive patients; in this report these patients are now included.

WESTERN PACIFIC



Western Pacific

NTP MANAGER (OR EQUIVALENT) AND/OR PERSON(S) RESPONSIBLE FOR COMPLETING DATA COLLECTION FORM

American Samoa	Faafetai Teo-Yandall
Australia	Yasmine Gray; Kate Robinson
Brunei Darussalam	Hjh Kalsom Binti Abdul Latif; B. Badesab
Cambodia	Mao Tan Eang; Tieng Sivanna
China	Wang Lixia; Cheng Shiming
China, Hong Kong SAR	Cheuk-ming Tam
China, Macao SAR	Chou Kuok Hei
Cook Islands	
Fiji	Joe Koroivueta
French Polynesia	Henri-Pierre Mallet; Jean-Paul Pescheux
Guam	Cecilia Teresa T. Arciaga
Japan	Tamami Umeda; Seiya Kato
Kiribati	Bereka Reiher; Katua Tianuare
Lao PDR	Phannasinh Sylavanh; Phonenaly Chittamany
Malaysia	Hasan bin Abdul Rahman; Mohamed Paid bin Yusof
Marshall Islands	Kenner Briand; Risa J. Bukbuk
Micronesia	Mayleen Jack Ekiek
Mongolia	Khandaasuren Dovdon; Nasanjargal Purev
Nauru	Isabella Amwano
New Caledonia	Bernard Rouchon; Oksana Segur
New Zealand	Alison Roberts; Ingrid Hamilton
Niue	Marina Pulu; Minemaligi Pulu
Northern Mariana Islands	Richard Brostrom; Marites Fabul
Palau	Henrietta Merei
Papua New Guinea	Paul K. Aia; Andrew Kamarepa
Philippines	Rosalind Vianzon; Anna Marie Celina Garfin; Arlene Rivera
Rep. of Korea	Hee Byoung Yoo; En Hi Cho
Samoa	
Singapore	Wang Yee Tang; Khin Mar Kyi Win
Solomon Islands	Noel Itogo
Tokelau	Tekie Iosefa
Tonga	Saia Penitani
Tuvalu	Nese Ituaso Conway
Vanuatu	Markleen Tagaro
Viet Nam	Dinh Ngoc Sy
Wallis & Futuna	Laurent Morisse

This list shows the people named on the data collection form sent to WHO in 2008, not necessarily the current NTP manager. It is intended as an acknowledgement rather than a directory.

Table A3.1 Methods and assumptions for estimation of TB incidence, prevalence and mortality, Western Pacific

Country	Reference year	Incidence est. based on	Trend	Source of estimates		Chf ss+ HIV-		Duration ss+HIV-		Duration ss+HIV- non-DOIS	
				TB/HIV	MDR (row)	MDR (col)	MDR (row)	MDR (col)	MDR (row)	MDR (col)	MDR (row)
American Samoa	2005	Notif.	Constant CDR	Model	Model	0.1	0.2	1	2	1	2
Australia	2002	Notif.	Country notifs, moving ave.	Indirect	Model	0.12	0.12	1	1	1	1
Buruet Darussalam	1998	Prev.	Constant CDR	Indirect	Model	0.1	0.2	1	1.5	1	1.5
Cambodia	2002	Prev.	Group, exp.	Survey	DRS	0.1	0.2	0.945	1.2	1	1.95
China	2003	ARI/Prev.	Country notifs, moving ave.	Indirect	DRS	0.1	0.2	2	3.18	2	3.18
China, Hong Kong SAR	1997	Notif.	Country notifs, moving ave.	Survey	DRS	0.1	0.1	1	1.5	1	1.5
China, Macao SAR	2000	Comparison	Country notifs, moving ave.	Routine	DRS	0.1	0.2	1	2	1	2
Cook Islands	2005	Notif.	Constant CDR	Model	Model	0.1	0.2	1	2	1	2
Fiji	2005	Notif.	Country notifs, exp.	Indirect	Model	0.1	0.2	1	2	1	2
French Polynesia	2005	Notif.	Constant CDR	Survey	Model	0.1	0.2	1	2	1	2
Guam	2005	Notif.	Constant CDR	Survey	DRS	0.1	0.2	1	2	1	2
Japan	1999	Notif.	Country notifs, moving ave.	Routine	DRS	0.15	0.15	1.3	1.3	1.3	1.3
Kiribati	2005	Notif.	Group, exp.	Model	Model	0.1	0.2	1	2	1	2
Lao PDR	1997	ARI	Group, exp.	Indirect	Model	0.1	0.2	1.5	2.5	1.5	2.5
Malaysia	1997	Notif.	Country notifs, exp.	Routine	DRS	0.1	0.2	1	1.5	1	1.5
Marshall Islands	2005	Notif.	Group, exp.	Routine	Model	0.1	0.2	1	2	1	2
Micronesia	2005	Notif.	Country notifs, exp.	Model	Model	0.1	0.2	1	2	1	2
Mongolia	1997	Prev.	Not estimated	Sentinel	DRS	0.1	0.2	1	2.5	1	2.5
Nauru	2005	Notif.	Constant CDR	Model	Model	0.1	0.2	1	2	1	2
New Caledonia	2005	Notif.	Constant CDR	Model	DRS	0.1	0.2	1	2	1	2
New Zealand	1999	Notif.	Country notifs, moving ave.	Indirect	DRS	0.12	0.12	1	1	1	1
Niue	2005	Notif.	Constant CDR	Model	Model	0.1	0.2	1	2	1	2
Northern Mariana Islands	2005	Notif.	Constant CDR	Model	Model	0.1	0.2	1	2	1	2
Palau	2000	Notif.	Constant CDR	Model	Model	0.1	0.2	1	2	1	2
Papua New Guinea	1997	Prev.	Not estimated	Indirect	Model	0.1	0.3	2	2	2	2
Philippines	2007	Prev.	Prevalence	Indirect	DRS	0.1	0.3	1.5	2	1.5	2
Rep. of Korea	1997	Prev.	Country notifs, moving ave.	Indirect	DRS	0.12	0.12	1	1.5	1	1.5
Samoa	2005	Notif.	Country notifs, exp.	Model	Model	0.1	0.2	1	2	1	2
Singapore	1997	Notif.	Country notifs, moving ave.	Indirect	DRS	0.12	0.12	1	1	1	1
Solomon Islands	2005	Notif.	Country notifs, exp.	Model	Model	0.1	0.2	1	2	1	2
Tokelau	2005	Notif.	Constant CDR	Model	Model	0.1	0.2	1	2	1	2
Tonga	2005	Notif.	Group, exp.	Routine	Model	0.1	0.2	1	2	1	2
Tuvalu	2005	Notif.	Country notifs, exp.	Model	Model	0.1	0.2	1	2	1	2
Vanuatu	2005	Notif.	Country notifs, exp.	Model	Model	0.1	0.2	1	2	1	2
Viet Nam	1997	ARI	Group, exp.	Indirect	DRS	0.1	0.2	1	2	1	2
Wallis & Futuna	2005	Notif.	Constant CDR	Model	Model	0.1	0.2	1	2	1	2

- Indicates no estimate; ARI, annual risk of infection; ave, average; C-ReAc, capture re-capture; CDR, case detection rate; DRS, drug resistance survey; exp., exponential; HIV+, HIV-positive; HIV-, HIV-negative; Mort., mortality (vital registration); Notif(s), notification(s); Prev., disease prevalence survey; ss+, sputum smear-positive; ss-, sputum smear-negative. See Annex 2 (methods) for details. Data can be downloaded from www.who.int/tb

Table A3.2 Estimated burden of TB, Western Pacific, 1990 and 2007

	Incidence, 1990		Prevalence, 1990		TB mortality, 1990		Incidence, 2007		Smear-positive*		Prevalence, 2007		TB mortality, 2007		HIV prevalence in incident TB cases, 2007 (%)		MDR, 2007	
	All forms* number	rate	All forms* number	rate	All forms* number	rate	All forms* number	rate	All forms* number	rate	All forms* number	rate	All forms* number	rate	new	re-treat	all cases	Number among smear-positive
American Samoa	10	21	5	10	20	42	3	5	<1	<1	3	5	<1	<1	—	—	—	—
Australia	1 120	7	503	3	1 139	7	1 285	6	41	<1	1 303	6	20	<1	—	—	—	—
Brunei Darussalam	148	58	67	26	234	91	230	59	<1	<1	252	65	<1	<1	2.0	20	38	—
Cambodia	56 742	585	25 258	260	90 001	928	71 504	495	5 560	38	31 621	219	19 467	13	<0.05	3.1	94	—
China	1 338 563	116	602 242	52	3 758 426	327	1 305 770	98	24 705	2	585 126	44	8 647	<1	1.9	5.0	112 348	76 154
China, Hong Kong SAR	5 355	94	2 410	42	5 475	96	4 461	62	—	—	2 007	28	—	—	0.9	8.0	82	—
China, Macao SAR	258	69	116	31	258	69	301	63	—	—	301	63	—	—	2.3	16	12	—
Cook Islands	<1	<1	<1	<1	<1	<1	2	15	<1	<1	4	31	<1	4	—	—	—	—
Fiji	366	51	165	23	495	68	174	21	4	<1	255	30	2	<1	—	—	—	<1
French Polynesia	66	34	30	15	131	67	71	27	—	—	83	32	—	—	2.1	20	2	<1
Guam	69	51	31	23	137	103	59	34	—	—	63	36	—	—	—	—	<1	—
Japan	58 085	47	26 128	21	76 340	62	26 984	21	126	<1	35 767	28	63	<1	0.7	10	389	285
Kiribati	369	513	166	231	737	1 026	347	365	—	—	402	423	—	—	3.1	20	13	—
Lao PDR	7 278	179	3 275	80	17 449	428	8 851	151	295	5	3 954	67	103	2	3.3	3.5	20	386
Malaysia	21 433	118	9 635	53	28 851	159	27 439	103	4 433	17	11 904	45	1 552	8	16	0.1	<0.05	27
Marshall Islands	143	302	64	136	286	605	128	215	—	—	166	281	—	—	2.8	20	6	—
Micronesia	182	188	82	85	254	263	108	97	—	—	111	100	—	—	3.0	20	6	—
Mongolia	4 552	205	2 049	92	10 580	477	5 400	205	8	<1	6 142	234	4	<1	1.0	1.0	26	188
Nauru	8	65	4	38	16	170	3	33	—	—	3	33	—	—	—	—	—	—
New Caledonia	159	53	72	42	191	112	52	22	—	—	60	25	—	—	—	—	—	<1
New Zealand	346	10	155	5	351	10	289	7	4	<1	303	7	2	<1	0.4	<0.05	<1	<1
Niue	1	59	<1	26	3	118	<1	<1	<1	<1	<1	<1	<1	<1	—	—	—	—
Northern Mariana Islands	31	71	14	32	62	142	49	58	—	—	60	72	—	—	—	—	<1	—
Palau	10	64	4	29	14	96	12	60	—	—	14	71	—	—	2.2	20	<1	<1
Papua New Guinea	10 307	250	4 636	112	20 579	498	15 786	250	2 930	46	6 815	108	1 026	16	19	3.5	20	864
Philippines	240 889	393	106 400	177	489 394	799	255 084	290	874	<1	1 147 011	130	306	<1	0.3	4.0	21	12 125
Rep. of Korea	70 946	165	31 926	74	95 626	223	43 222	90	413	<1	19 409	40	144	<1	1.0	2.7	14	2 337
Samoa	51	32	23	14	58	36	35	19	—	—	16	8	—	—	2.9	20	1	<1
Singapore	1 493	50	672	22	1 560	52	1 176	27	40	<1	525	12	14	<1	3.4	0.2	1.0	4
Solomon Islands	980	312	441	141	1 960	625	634	128	—	—	285	58	—	—	—	—	—	—
Tokelau	1	69	<1	31	2	139	<1	<1	<1	<1	<1	<1	<1	<1	—	—	—	—
Tonga	32	34	14	15	43	45	24	24	—	—	11	11	—	—	2.7	20	<1	<1
Tuvalu	28	296	13	133	56	593	18	166	—	—	78	35	—	—	—	—	—	—
Vanuatu	207	139	93	62	415	278	174	77	—	—	231	102	—	—	—	—	—	—
Viet Nam	133 898	202	60 245	91	241 512	365	149 568	171	12 052	14	66 109	76	4 218	5	8.1	2.7	19	6 468
Wallis & Futuna	9	63	4	28	17	126	2	15	—	—	4	25	—	—	—	—	—	—
WPR	1 954 134	129	878 939	58	4 842 675	320	1 919 306	108	51 483	3	858 539	48	18 019	1	4.3	24	135 411	89 926

— Indicates no estimate.
 * Incidence, prevalence and mortality estimates include patients with HIV. Estimates labelled "HIV+" are estimates of HIV+ TB cases in all people. Estimates for all years are re-calculated as new information becomes available and techniques are refined, so they may differ from those published previously. See Explanatory notes for further details.
 Data can be downloaded from www.who.int/tb

Table A3.4 Estimated incidence, prevalence and mortality rates (per 100 000 population), Western Pacific, 2000–2007

	Incidence of HIV, TB cases							Prevalence of TB (all forms)							Mortality (excluding HIV*)							Mortality HIV*												
	2000	2001	2002	2003	2004	2005	2006	2007	2000	2001	2002	2003	2004	2005	2006	2007	2000	2001	2002	2003	2004	2005	2006	2007	2000	2001	2002	2003	2004	2005	2006	2007		
American Samoa	<1	<1	<1	<1	<1	<1	<1	<1	8	6	5	6	6	6	11	9	5	1	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	
Australia	<1	<1	<1	<1	<1	<1	<1	<1	6	6	6	6	6	6	6	6	6	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	
Bunei Darussalam	<1	<1	<1	<1	<1	<1	<1	<1	108	85	78	73	63	55	59	65	65	9	7	6	7	6	7	6	5	7	<1	<1	<1	<1	<1	<1	<1	<1
Cambodia	75	71	66	60	54	47	43	38	758	750	728	712	696	676	672	664	664	83	83	80	78	76	77	77	77	29	27	24	21	19	16	14	13	
China	1	1	2	2	2	2	2	2	269	265	241	220	206	200	194	194	194	19	19	19	19	18	16	15	15	<1	<1	<1	<1	<1	<1	<1	<1	
China, Hong Kong SAR	–	–	–	–	–	–	–	–	78	79	78	73	69	67	65	63	63	7	7	7	6	6	6	5	5	<1	<1	<1	<1	<1	<1	<1	<1	
China, Macao SAR	–	–	–	–	–	–	–	–	87	82	78	67	61	60	61	63	63	8	7	7	6	6	6	4	4	<1	<1	<1	<1	<1	<1	<1	<1	
Cook Islands	<1	<1	<1	<1	<1	<1	<1	<1	12	29	11	<1	<1	15	9	16	31	<1	10	2	<1	5	1	2	4	<1	<1	<1	<1	<1	<1	<1	<1	
Fiji	–	–	–	–	–	–	–	–	42	39	36	29	33	31	30	30	30	5	4	4	4	3	4	4	3	<1	<1	<1	<1	<1	<1	<1	<1	
French Polynesia	–	–	–	–	–	–	–	–	40	42	32	29	28	31	31	32	32	5	5	3	3	2	3	2	3	<1	<1	<1	<1	<1	<1	<1	<1	
Guam	–	–	–	–	–	–	–	–	44	45	44	47	41	42	39	36	36	4	5	6	5	5	3	5	2	<1	<1	<1	<1	<1	<1	<1	<1	
Japan	<1	<1	<1	<1	<1	<1	<1	<1	45	41	39	36	34	32	30	28	28	4	4	4	4	3	3	3	3	<1	<1	<1	<1	<1	<1	<1	<1	
Kiribati	–	–	–	–	–	–	–	–	546	607	587	477	439	419	405	423	423	62	71	68	55	45	49	46	49	<1	<1	<1	<1	<1	<1	<1	<1	
Lao PDR	<1	1	2	2	3	3	4	5	344	337	330	324	313	298	281	289	289	27	27	26	26	25	23	23	22	<1	<1	<1	<1	<1	<1	<1	<1	
Malaysia	10	11	13	14	15	15	16	17	135	133	132	128	128	126	123	121	121	15	15	15	15	14	14	14	13	3	3	4	4	4	4	4	5	
Marshall Islands	–	–	–	–	–	–	–	–	431	381	382	358	263	256	242	281	281	47	44	43	41	30	29	28	32	<1	<1	<1	<1	<1	<1	<1	<1	
Micronesia	–	–	–	–	–	–	–	–	173	171	152	142	128	124	112	100	100	20	19	17	16	15	14	13	9	<1	<1	<1	<1	<1	<1	<1	<1	
Mongolia	<1	<1	<1	<1	<1	<1	<1	<1	297	273	258	258	233	232	217	234	234	37	36	34	35	29	28	21	29	<1	<1	<1	<1	<1	<1	<1	<1	
Nauru	–	–	–	–	–	–	–	–	44	56	57	48	162	121	174	33	33	4	8	5	5	19	6	24	3	<1	<1	<1	<1	<1	<1	<1	<1	
New Caledonia	–	–	–	–	–	–	–	–	51	43	34	28	29	29	25	25	25	4	4	3	3	2	2	2	2	<1	<1	<1	<1	<1	<1	<1	<1	
New Zealand	<1	<1	<1	<1	<1	<1	<1	<1	11	10	10	10	10	9	8	7	7	1	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	
Niue	–	–	–	–	–	–	–	–	<1	<1	506	<1	<1	<1	<1	<1	<1	<1	<1	82	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1	
Northern Mariana Islands	–	–	–	–	–	–	–	–	104	102	69	64	31	102	74	71	71	8	7	6	6	8	5	12	6	8	8	8	8	8	8	8	8	
Palau	–	–	–	–	–	–	–	–	486	482	477	471	463	453	441	430	430	56	54	49	46	42	41	40	44	4	4	5	6	6	7	10	17	
Papua New Guinea	9	11	15	19	24	31	39	46	135	120	95	83	80	83	83	72	72	10	13	8	9	7	6	8	7	<1	<1	<1	<1	<1	<1	<1	<1	
Philippines	<1	<1	<1	<1	<1	<1	<1	<1	600	578	561	542	534	520	505	500	500	57	53	50	46	45	43	41	41	<1	<1	<1	<1	<1	<1	<1	<1	
Rep. of Korea	<1	<1	<1	<1	<1	<1	<1	<1	113	112	126	108	112	118	122	126	126	9	8	9	9	9	10	10	10	<1	<1	<1	<1	<1	<1	<1	<1	
Samoa	–	–	–	–	–	–	–	–	27	33	28	28	24	27	26	25	25	3	4	4	4	3	3	3	3	<1	<1	<1	<1	<1	<1	<1	<1	
Singapore	<1	<1	<1	<1	<1	<1	<1	<1	39	38	34	32	31	28	27	27	27	4	4	4	4	3	3	3	2	<1	<1	<1	<1	<1	<1	<1	<1	
Solomon Islands	–	–	–	–	–	–	–	–	300	268	277	254	229	204	197	180	180	33	32	30	28	26	24	23	21	<1	<1	<1	<1	<1	<1	<1	<1	
Tokelau	–	–	–	–	–	–	–	–	<1	41	112	<1	112	<1	<1	<1	<1	<1	<1	24	<1	12	<1	<1	<1	<1	<1	<1	<1	<1	<1	<1		
Tonga	–	–	–	–	–	–	–	–	34	42	35	36	39	32	34	28	28	3	5	3	3	4	3	3	2	<1	<1	<1	<1	<1	<1	<1	<1	
Tuvalu	–	–	–	–	–	–	–	–	422	408	394	381	368	245	261	203	203	40	39	37	36	35	29	30	17	<1	<1	<1	<1	<1	<1	<1	<1	
Vanuatu	–	–	–	–	–	–	–	–	143	128	149	128	118	131	104	102	102	16	14	16	15	14	15	12	12	<1	<1	<1	<1	<1	<1	<1	<1	
Viet Nam	7	9	10	12	13	13	14	14	248	243	235	234	226	227	222	220	220	23	22	21	21	21	21	20	20	2	2	3	3	3	3	3	4	
Wallis & Futuna	–	–	–	–	–	–	–	–	103	13	275	147	63	57	60	25	25	11	2	28	12	5	4	7	3	<1	<1	<1	<1	<1	<1	<1	<1	
WPR	2	2	2	3	3	3	3	3	260	255	250	235	218	207	201	197	197	20	20	19	18	17	16	16	16	<1	<1	<1	<1	<1	<1	<1	<1	

Rates are per 100 000 population (total country population, including HIV-positive and HIV-negative people). Estimates for all years are re-calculated as new information becomes available and techniques are refined, so they may differ from those published previously. Data (including for years 1980 to 1999) can be downloaded from www.who.int/tb

Table A3.6 DOTS coverage, case notifications and case detection rates, Western Pacific, 2007

	TB cases reported from DOTS services										Estimated incidence and case detection rate										Proportions							
	DOTS coverage %		New pulmonary, ss+ (unk.)		New extra-pulmonary, ss+ (unk.)		Other new		Relapse		After default		Other re-treat.		New pulm. lab. confirm.		Estimated incidence all forms		DOTS case detection rate		ss+ (% of pulm.)		ss+ (% of Extrapulm. new+relapse)		Re-treat (% of new+relapse)			
	number	rate	number	rate	number	rate	number	rate	number	rate	number	rate	number	rate	number	rate	number	rate	number	rate	number	rate	number	rate	number	rate	number	rate
American Samoa	100	3	4	0	0	0	0	0	0	0	0	0	0	0	0	3	0	90	90	43	25	38	4	43	25	38	4	
Australia	100	1 115	5	281	1	372	428	0	34	0	2	11	5	634	1 295	579	83	49	94	66	25	6	94	66	25	6		
Brunei Darussalam	100	207	63	136	35	8	51	0	12	0	0	0	2	136	230	151	85	90	73	55	24	4	73	55	24	4		
Cambodia	100	35 601	246	19 421	134	7 120	8 412	648	75	20	799	0	19 421	71 504	31 621	49	61	1 305 770	585 126	71	80	52	48	71	80	52	48	
China	100	979 502	74	465 877	35	430 634	36 612	0	46 979	2 534	2 814	61 089	0	465 877	1 305 770	585 126	71	80	4 461	2 007	87	60	36	29	13	10		
China, Hong Kong SAR	100	4 157	58	1 204	17	2 115	546	0	292	0	17	138	0	2 474	301	135	104	102	48	40	8	13	48	40	8	13		
China, Macao SAR	100	342	71	138	29	147	29	0	28	0	4	14	0	250	2	1			88	55	36	1	88	55	36	1		
Cook Islands	100	94	11	52	6	7	34	0	1	0	0	0	0	67	174	71	28	54	37	30	17	3	37	30	17	3		
French Polynesia	100	64	24	19	7	32	11	0	2	0	0	0	0	62	71	21	87	90	10	9	8	4	10	9	8	4		
Guam	100	53	31	5	3	43	4	0	1	0	0	0	38	59	6	88	90	51	38	21	7	51	38	21	7			
Japan	99	24 674	19	9 400	7	9 021	5 102	0	1 151	0	0	527	0	14 587	26 994	12 135	87	77	57	31	44	7	57	31	44	7		
Kiribati	100	334	351	103	108	78	147	0	6	0	18	0	103	347	156	95	66	88	79	7	7	88	79	7	7			
Laos PDR	100	3 905	67	3 080	53	437	266	0	122	17	12	0	76	3 080	8 851	3 954	43	78	70	59	13	7	70	59	13	7		
Malaysia	100	16 129	61	9 578	36	4 086	2 107	0	369	33	214	542	0	14 692	27 439	11 904	57	80	16	12	23	7	16	12	23	7		
Marshall Islands	95	158	267	19	32	87	36	0	6	1	2	0	33	128	87	119	33	33	43	34	20	4	43	34	20	4		
Micronesia	89	137	123	47	42	62	28	0	4	0	3	31	0	108	49	127	97	76	73	40	39	12	73	40	39	12		
Niue	100	4 694	177	1 856	71	673	1 852	0	293	90	35	191	0	1 856	5 400	2 429	61	76	100	100	44	26	34	9	44	26	34	9
Northern Mariana Islands	100	47	19	12	5	15	16	0	4	0	0	0	0	32	52	13	82	90	44	26	34	9	44	26	34	9		
New Zealand	100	274	7	81	2	108	75	6	4	0	0	13	0	158	289	134	90	60	43	30	27	6	43	30	27	6		
Niue	100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	33	32	5		33	32	5			
Palestine	100	44	52	14	17	28	2	0	0	0	0	0	14	49	16	90	90	63	45	27		63	45	27				
Papua New Guinea	14	5 049	80	1 051	17	1 794	2 108	0	96	0	0	0	1 147	15 796	6 815	31	15	37	21	42		37	21	42				
Philippines	100	140 588	160	86 566	98	49 422	1 513	3 087	479	535	974	0	86 464	255 084	114 701	54	75	64	62	1	4	64	62	1	4			
Rep. of Korea	100	8 707	18	2 764	6	4 681	113	0	1 149	2	151	660	858	4 063	43 222	19 409	17	14	37	32	1	21	37	32	1	21		
Samoa	100	1 359	31	504	11	564	181	0	110	1	6	32	7	861	1 176	525	106	96	47	37	13	11	47	37	13	11		
Singapore	100	397	80	142	29	147	99	0	9	0	0	0	142	634	285	61	50	49	49	36	25	2	49	36	25	2		
Solomon Islands	100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Tokelau	100	23	23	14	14	5	4	0	0	0	0	0	14	24	11	95	129	74	61	17		74	61	17				
Tonga	100	18	171	12	114	1	2	2	1	0	0	0	14	18	8	97	152	92	67	11	6	92	67	11	6			
Tuvalu	83	122	54	41	18	38	43	0	0	0	0	0	79	174	78	70	52	52	34	35		52	34	35				
Vanuatu	100	97 400	111	54 457	62	17 554	18 675	6 714	599	345	0	0	0	149 588	66 109	61	82	76	56	19	8	76	56	19	8			
Viet Nam	100	2	13	1	7	1	0	0	0	0	0	0	1	2	1	90	90	50	50	50	50		50	50	50			
Wallis & Futuna	100	1 325	173	75	656	883	37	529	296	8	60	507	3 832	4 161	65 012	951	616 335	66	55	50	6	10	66	55	50	6	10	
WPR	100	1 325	173	75	656	883	37	529	296	8	60	507	3 832	4 161	65 012	951	616 335	66	55	50	6	10	66	55	50	6	10	

ss+ indicates sputum smear-positive; ss-, sputum smear-negative; unk., sputum smear result unknown; re-treat., re-treatment; pulm. lab. confirmed, pulmonary case confirmed by positive smear or culture. See Explanatory notes for further details. Data can be downloaded from www.who.int/tb

Table A3.7 Laboratory services, collaborative TB/HIV activities and management of MDR-TB, Western Pacific, 2006–2007

	Laboratory services, 2007					Collaborative TB/HIV activities										Management of MDR-TB, 2007				
	Number of labs working with smear culture	Number of labs working with NTP culture	DST	Smear labs included in EQA	TB pts tested for HIV	2006			2007			Lab-confirmed MDR	DST in new cases		Re-treatment in new cases		Re-treatment MDR			
						TB pts HIV-positive	TB pts CPT	HIV+ TB pts ART	TB pts HIV-positive	TB pts CPT	HIV+ TB pts ART		MDR	DST	MDR	DST				
American Samoa	127	33	6	127	3	0	0	0	0	3	460	15	0	0	0	0	0	0		
Australia	1	1	1	1	423	15	3	1	0	0	0	0	0	0	0	0	0	0		
Brunei Darussalam	1	1	1	1	4	4	0	0	0	0	0	0	0	0	0	0	0	0		
Cambodia	201	3	1	186	4 721	1 628	954	385	0	14 245	2 922	1 101	610	0	0	0	56	16		
China	3 294	327	187	3 294	1 440	1 008	26	60	34 557	1 187	1 187	679	519	79	50	13	236	66		
China, Hong Kong, SAR	26	20	3	21	4 511	33	21	15	4 075	41	17	9	25	3 238	19	145	6	6		
China, Macao, SAR	1	1	1	1	389	4	0	2	0	360	4	0	1	5	251	4	31	1		
Cook Islands	4	1	0	4	0	0	2	2	0	67	0	0	0	0	2	0	0	0		
Fiji	3	2	2	2	26	0	0	0	0	19	0	0	0	0	42	0	2	0		
French Polynesia	3	2	2	2	40	0	0	0	0	56	0	0	0	0	36	0	1	0		
Guam	3	2	2	3	40	0	0	0	0	16 104	75	0	0	58	4 457	28	443	32		
Japan	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
Kiribati	155	0	0	154	404	91	91	85	0	424	155	149	75	0	0	0	0	0		
Lao PDR	656	18	3	656	13 039	1 438	0	0	10 062	1 629	0	0	0	41	0	0	0	0		
Malaysia	3	1	1	3	103	0	0	0	0	98	0	0	0	1	29	0	10	1		
Marshall Islands	4	0	0	4	18	1	1	1	0	11	0	0	0	1	9	2	180	65		
Micronesia	37	1	1	37	1	1	1	1	0	0	0	0	0	0	0	0	0	0		
Mongolia	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
Nauru	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
New Caledonia	3	3	1	1	25	0	0	0	0	21	0	0	0	0	42	0	4	0		
New Zealand	10	10	3	1	131	10	0	0	0	106	4	0	0	2	271	0	17	2		
Niue	1	1	1	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
Northern Mariana Islands	1	1	1	1	50	0	0	0	0	41	0	0	0	0	14	0	0	0		
Palau	1	1	1	1	9	0	0	0	0	11	0	0	0	0	4	0	0	0		
Papua New Guinea	70	1	0	34	0	0	0	0	0	117	17	0	0	0	16	4	325	270		
Philippines	2 374	3	3	2 374	0	0	0	0	0	46	0	0	0	568	0	0	0	0		
Rep. of Korea	260	12	1	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
Samoa	4	2	2	4	0	0	0	0	0	0	0	0	0	4	827	3	105	1		
Singapore	9	0	0	0	4	0	0	0	0	3	0	0	0	0	0	0	0	0		
Solomon Islands	1	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
Taiwan	1	1	0	1	0	0	0	0	0	23	0	0	0	0	0	0	0	0		
Tonga	1	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
Tuvalu	1	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
Vanuatu	6	0	0	6	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
Viet Nam	737	17	2	1	14 230	708	0	0	0	14 377	627	0	0	0	0	0	0	0		
Wallis & Futuna	1	1	1	1	2	0	0	0	0	2	0	0	0	0	0	0	0	0		
WPR	7 997	463	224	6 262	39 650	4 043	1 098	551	95 300	6 679	1 946	1 214	948	10 231	89	1 596	468	468		

ART indicates antiretroviral therapy, CPT, co-trimoxazole preventive therapy, DST, drug susceptibility testing; EQA, external quality assurance; HIV+, HIV-positive, pts, patients. See Explanatory notes for further details. Data can be downloaded from www.who.int/tb

Table A3.8 Treatment outcomes, Western Pacific, 2006 cohort

	New smear-positive cases, DOTS										New smear-positive cases, non-DOTS										Smear-positive re-treatment cases, DOTS														
	Number of cases		% of notified		Compl- ated		Died		Failed		% of cohort		Number of cases		% of notified		Compl- ated		Died		Failed		% of cohort		Number		% of cohort		Trans- ferred		Not eval.		% Success		
	Notified	Registered	Notified	Registered	Cured	Completed	Completed	Completed	Completed	Completed	Completed	Notified	Registered	Notified	Registered	Cured	Completed	Completed	Completed	Completed	Completed	Completed	Completed	Registered	Registered	Cured	Completed	Completed	Completed	Completed	Completed	Completed	Completed		
American Samoa	3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
Australia	238	370	155	13	72	6	0	1	8	0	85	31	0	0	0	0	0	0	0	0	0	0	67	7	79	4	0	1	7	0	0	87			
Brunei Darussalam	128	153	120	84	0	5	0	0	11	0	84	0	0	0	0	0	0	0	0	0	0	0	3	100	0	0	0	0	0	0	0	100	0		
Cambodia	19 284	19 349	100	90	3	3	0	2	2	0	93	0	0	0	0	0	0	0	0	0	0	0	1 389	48	37	6	2	2	4	0	0	85	0		
China	468 291	470 436	100	92	2	1	1	3	0	94	0	0	0	0	0	0	0	0	0	0	0	0	78 146	85	5	2	2	1	5	0	0	89	0		
China, Hong Kong SAR	1 238	1 238	100	72	6	5	11	3	2	1	78	299	299	100	3	1	2	1	0	2	91	4	509	47	25	5	13	7	2	2	72	0			
China, Macao SAR	144	144	100	88	0	4	0	3	2	3	88	0	0	0	0	0	0	0	0	0	0	0	33	45	45	3	0	0	0	0	6	91	0		
Cook Islands	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
Fiji	73	100	66	66	0	4	30	0	0	66	0	0	0	0	0	0	0	0	0	0	0	0	4	50	0	50	0	0	0	0	0	0	50	0	
French Polynesia	24	26	108	85	0	12	0	4	0	0	85	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Guam	21	21	100	90	0	5	0	0	5	0	90	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Japan	10 068	8 662	85	20	33	21	2	6	3	45	53	91	47	52	9	19	32	0	13	2	26	28	1 029	13	31	12	2	9	1	32	45	0			
Kiribati	129	126	98	61	29	10	0	1	0	0	92	0	0	0	0	0	0	0	0	0	0	0	15	20	60	7	0	13	0	0	80	0			
Leo PDR	3 041	3 047	100	88	3	5	0	2	1	0	92	0	0	0	0	0	0	0	0	0	0	0	176	78	5	8	4	2	4	0	0	82	0		
Malaysia	9 414	9 414	100	46	3	6	0	3	3	40	48	0	0	0	0	0	0	0	0	0	0	995	19	17	5	1	6	6	47	36	0				
Marshall Islands	45	44	98	73	2	11	0	7	7	75	0	0	0	0	0	0	0	0	0	0	0	0	16	31	6	6	0	0	0	0	56	36	0		
Micronesia	41	76	190	60	29	6	1	1	1	0	90	0	0	0	0	0	0	0	0	0	0	0	2	50	0	0	0	0	0	0	50	50	0		
Nicaragua	2 129	2 129	100	84	4	2	7	2	1	0	88	0	0	0	0	0	0	0	0	0	0	0	531	41	30	9	12	5	2	1	72	0			
Nauru	2	2	100	50	0	0	0	0	0	100	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
New Caledonia	9	9	100	89	70	7	4	11	8	70	89	0	0	0	0	0	0	0	0	0	0	0	7	71	0	29	0	0	0	0	0	71	0		
New Zealand	97	101	104	70	7	0	0	0	0	0	70	0	0	0	0	0	0	0	0	0	0	0	20	0	90	10	0	0	0	0	0	90	0		
Niue	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Northern Mariana Islands	15	26	173	42	42	0	0	0	15	0	85	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Palau	6	5	83	40	20	20	0	0	20	0	60	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Papua New Guinea	1 481	1 494	101	59	15	3	2	21	0	73	467	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Philippines	85 740	85 797	100	80	8	2	1	4	2	2	88	0	0	0	0	0	0	0	0	0	0	0	3 293	63	17	5	4	5	2	3	80	0			
Rep. of Korea	3 431	3 422	100	78	2	1	1	3	15	0	81	8 082	0	0	0	0	0	0	0	0	0	0	2 261	69	3	1	1	5	21	0	72	0			
Samoa	13	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Singapore	537	537	100	70	14	14	0	1	0	1	84	0	0	0	0	0	0	0	0	0	0	0	164	47	29	18	0	4	1	1	76	0			
Solomon Islands	124	124	100	73	16	3	1	5	2	0	90	0	0	0	0	0	0	0	0	0	0	0	5	60	40	0	0	0	0	0	100	0			
Tokelau	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Tonga	4	4	100	75	0	0	0	25	0	75	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Tuvalu	4	4	100	75	0	0	0	25	0	75	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Vanuatu	42	42	100	88	2	2	2	0	5	0	90	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Viet Nam	56 437	56 470	100	90	2	3	1	2	2	1	92	0	0	0	0	0	0	0	0	0	0	0	7 500	79	4	6	5	3	3	0	0	83	0		
Wallis & Futuna	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
WPR	662 273	663 261	100	89	3	2	1	1	3	1	92	8 970	346	4	4	3	6	1	2	2	82	8	96 159	80	6	3	3	2	5	1	87	0			

Not eval. indicates not evaluated (percentage of registered cases for which outcomes were not recorded), success, sum of cured and completed, cases registered, the denominator for calculating treatment outcomes. The number of cases registered for treatment in 2006 is used as the denominator for calculating treatment outcomes unless it is less than the sum of outcomes, in which case the sum of outcomes is used. If the number of cases registered is not reported, then the number of cases notified in 2006 is used, or the sum of outcomes if the latter is greater. Data can be downloaded from www.who.int/tb

Table A3.9 DOTS re-treatment outcomes, Western Pacific, 2006 cohort

	Release DOTS % of cohort						After failure DOTS % of cohort						After default DOTS % of cohort														
	Number regist'd	Compl- eted	Died	Failed	Trans- ferred	Not eval.	% Success	Number regist'd	Compl- eted	Died	Failed	Trans- ferred	Not eval.	% Success	Number regist'd	Compl- eted	Died	Failed	Trans- ferred	Not eval.	% Success						
American Samoa	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0					
Australia	54	9	76	6	0	2	7	0	85																		
Brunel Darussalam	3	100	0	0	0	0	100	0	100																		
Cambodia	660	80	5	7	2	3	3	0	85																		
China	47 526	85	5	2	2	1	5	0	89																		
China, Hong Kong SAR	312	68	7	6	10	6	1	2	75																		
China, Macao SAR	25	44	44	4	0	0	0	8	88																		
Cook Islands																											
Fiji																											
French Polynesia	4	50	0	50	0	0	0	0	50																		
Guam	0	0	0	0	0	0	0	0	0																		
Japan	688	18	37	15	3	8	1	18	54																		
Kiribati	4	75	0	25	0	0	0	0	75																		
Lao PDR	133	78	5	8	4	2	2	0	83																		
Malaysia	381	35	3	5	0	5	5	46	38																		
Marshall Islands	16	31	6	6	6	6	56	38																			
Micronesia	2	50	0	0	0	0	0	50	50																		
Mongolia	274	52	16	12	12	4	3	1	68																		
Nauru	5	60	40	0	0	0	0	60																			
New Caledonia	9	89	89	11	0	0	89																				
New Zealand	0	0	0	0	0	0	0	0	0																		
Niue	0	0	0	0	0	0	0	0	0																		
Northern Mariana Islands	0	0	0	0	0	0	0	0	0																		
Palau	0	0	0	0	0	0	0	0	0																		
Papua New Guinea	2 225	67	15	5	4	4	3	3	82																		
Philippines	1 174	64	2	2	1	5	27	0	66																		
Rep. of Korea	0	0	0	0	0	0	0	0	0																		
Samoa	109	57	16	24	0	2	0	2	72																		
Singapore	5	60	40	0	0	0	0	0	100																		
Solomon Islands	0	0	0	0	0	0	0	0	0																		
Tokelau	0	0	0	0	0	0	0	0	0																		
Tonga	0	0	0	0	0	0	0	0	0																		
Tuvalu	0	0	0	0	0	0	0	0	0																		
Vanuatu	0	0	0	0	0	0	0	0	0																		
Viet Nam	6 571	81	4	6	4	3	3	0	85																		
Wallis & Futuna	0	0	0	0	0	0	0	0	0																		
WPR	60 180	82	6	3	3	2	5	1	87	1 341	58	11	6	13	6	4	2	69	1 010	50	16	7	2	11	5	8	66

Not eval. indicates not evaluated (percentage of registered cases for which outcomes were not recorded), success, sum of cured and completed; cases regist'd, the denominator for calculating treatment outcomes. The number of cases registered for treatment in 2006 is used as the denominator for calculating treatment outcomes unless it is less than the sum of outcomes, in which case the sum of outcomes is used. If the number of cases registered is not reported, then the number of cases notified in 2006 is used, or the sum of outcomes if the latter is greater. Data can be downloaded from www.who.int/tb

Table A3.10 DOTS treatment success and case detection rates, Western Pacific, 1994–2007

	DOTS new smear-positive treatment success (%)										DOTS new smear-positive case detection rate (%)																
	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	
American Samoa						50	100	100	100	100	100	67	75														
Australia			66	75	84	74	66	78	82	85	80	85	80														
Brunei Darussalam			85	76	63	56	84	60	71	71	84																
Cambodia			84	91	94	91	95	93	91	92	93	91	93														
China			94	96	96	97	96	95	96	93	94	94	94														
China, Hong Kong SAR			85	78	85	78	76	78	78	80	77	78															
China, Macao SAR			75	100	100	100	67	89	88	89	88	89	93														
Cook Islands			90	86	86	91	90	92	85	85	78	86	71														
Fiji			87	95	100	74	85	97	80	82	83	80	69														
French Polynesia																											
Guam																											
Japan																											
Kiribati																											
Lao PDR			70	55	65	80	79	77	76	75	79	86	90														
Malaysia			69																								
Marshall Islands																											
Micronesia			64	80	78	86	84	86	87	87	87	87	88														
Mongolia																											
Nauru																											
New Caledonia			62	75																							
New Zealand																											
Niue																											
Northern Mariana Islands																											
Palau			64	67	75																						
Papua New Guinea																											
Philippines			80	82	83	84	87	88	88	88	88	87	89														
Rep. of Korea			71	76	71	82	82	81	82	83	82	80	83														
Samoa			50	80	100																						
Singapore			88	86	73	92	92	92	81	89	90	87	85														
Solomon Islands																											
Tekelau																											
Tonga			89	75	82	75	94	80	93	92	83																
Tuvalu																											
Vanuatu																											
Yemen			91	91	90	85	93	92	92	93	92	92	93														
Wallis & Futuna																											
WPR	90	91	93	93	93	94	92	93	90	91	91	91	92	92	15	28	31	33	31	37	38	39	50	65	77	77	

Treatment success, sum of cured and completed; DOTS new smear-positive case detection rate, notified new smear-positive cases divided by estimated incident cases. Figures for all years are updated as new information becomes available and/or techniques are refined, so they may differ from those published previously. Data can be downloaded from www.who.int/tb

Table A3.11 New smear-positive case notification by age and sex, DOTS and non-DOTS, Western Pacific, 2007

	Male					Female					All					Male/female ratio							
	0-14	15-24	25-34	35-44	45-54	55-64	65+	0-14	15-24	25-34	35-44	45-54	55-64	65+									
American Samoa	3	30	33	20	15	14	37	4	26	37	20	12	7	23	7	56	70	40	27	21	60	1.2	
Australia	0	5	10	15	21	10	17	0	6	6	12	15	9	2	0	11	16	27	36	19	19	1.6	
Brunei Darussalam	0	863	1 526	2 190	2 102	1 761	1 644	64	749	1 351	1 698	2 105	1 839	1 469	114	1 632	2 877	3 888	4 207	3 600	3 103	1.1	
Cambodia	878	44 011	46 374	56 224	54 960	56 288	70 376	1 235	29 980	24 914	23 542	18 129	17 647	21 339	2 113	73 971	71 288	79 766	73 989	73 985	91 715	2.4	
China	5	63	80	110	177	175	425	1	59	94	74	64	37	137	6	122	174	184	241	212	562	2.2	
China, Hong Kong SAR	0	14	12	14	30	16	13	2	10	4	6	8	3	6	2	24	16	20	38	19	19	2.5	
China, Macao SAR	0	14	12	14	30	16	13	2	10	4	6	8	3	6	2	24	16	20	38	19	19	2.5	
Cook Islands	1	7	7	7	4	1	4	7	11	1	5	5	1	2	8	18	11	13	9	2	6	0.9	
Fiji	0	0	2	2	2	0	0	0	0	0	0	0	0	0	1	1	3	7	2	3	2	0.5	
French Polynesia	0	142	372	512	668	1 174	3 678	3	134	318	231	156	212	1 832	4	276	690	743	824	1 386	5 510	2.3	
Guam	2	15	258	307	418	361	350	8	13	6	8	9	4	2	10	28	13	18	15	14	5	1.1	
Kiribati	11	150	258	307	418	361	350	7	126	175	215	293	206	207	18	276	433	522	711	567	557	1.5	
Laos PDR	216	1 291	2 224	2 082	1 839	1 394	1 395	226	1 098	1 101	849	782	585	514	442	2 389	3 325	2 931	2 621	1 979	1 909	2.0	
Malaysia	0	1	1	2	5	1	0	1	3	3	2	3	2	3	0	4	4	4	8	4	0	0.7	
Micronesia	1	8	5	4	0	1	0	5	11	6	2	2	2	0	6	19	11	6	2	3	0	0.7	
Micronesia	4	260	270	232	158	48	34	23	273	250	139	80	36	29	27	553	520	371	238	84	63	1.2	
Nauru	0	1	1	1	0	0	0	0	0	0	0	0	0	0	1	1	0	1	0	0	0	0	
New Caledonia	0	11	1	2	1	3	2	0	0	0	1	0	0	1	0	1	1	3	1	3	3	5.0	
New Zealand	0	11	1	7	4	4	8	1	14	7	8	6	6	4	1	25	8	15	10	10	12	0.8	
Niue	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Northern Mariana Islands	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Palestine	0	0	1	0	2	1	0	0	0	2	1	1	1	1	2	0	0	2	4	5	1	4	1.3
Papua New Guinea	16	178	1 171	1 112	67	50	6	32	148	153	84	36	15	3	48	326	324	196	103	65	9	1.3	
Philippines	466	8 524	11 791	13 810	12 846	8 481	4 862	380	4 389	5 594	5 291	4 612	3 313	2 217	846	12 913	17 375	19 101	17 458	11 794	7 079	2.4	
Rep. of Korea	16	569	953	1 144	1 308	906	1 684	34	570	807	466	387	347	1 716	50	1 159	1 760	1 610	1 695	1 253	3 400	1.5	
Samoa	0	15	18	63	98	80	105	1	13	13	25	23	11	39	1	28	31	88	121	91	144	3.0	
Singapore	5	15	16	12	9	8	6	5	12	25	9	10	5	5	10	27	41	21	19	13	11	1.0	
Solomon Islands	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Tokelau	0	2	1	0	0	1	5	0	3	1	1	0	0	0	0	5	2	1	0	0	1	1.8	
Tonga	1	1	1	0	0	1	2	2	0	0	0	0	1	3	0	3	1	0	2	1	3	2	1.0
Tuvalu	1	1	1	0	2	0	0	2	0	0	0	1	3	0	2	9	10	5	8	3	4	0.6	
Vanuatu	48	3 567	7 431	8 391	8 451	5 046	7 026	59	1 939	2 354	1 923	2 170	1 891	4 144	107	5 526	9 785	10 314	10 621	6 937	11 170	2.8	
Viet Nam	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Wallis & Futuna	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
WPR	1 726	59 827	71 557	85 284	83 198	75 836	91 686	2 102	39 574	37 234	34 619	28 916	26 189	33 688	3 828	99 401	108 791	119 903	112 114	102 025	125 374	2.3	

For some countries, breakdown of notified cases by age and sex is missing, or is provided for a subset of cases. See Explanatory notes for further details. Data can be downloaded from www.who.int/tb

Table A3.13 TB case notifications, Western Pacific, 1980–2007

	1980	1981	1982	1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	
American Samoa	2	6	6	8	12	5	8	9	13	5	9	3	1	4	4	4	0	6	3	3	4	3	2	3	5	6	4	3	
Australia	1 457	1 386	1 270	1 219	1 299	1 088	906	907	954	952	1 016	950	1 011	991	1 057	1 073	0	1 145	899	1 073	1 043	980	1 013	949	1 059	1 046	1 159	1 115	
Brunei Darussalam	196	285	245	276	256	238	212	189	126	128	143	180	180	160	160	160	160	160	160	160	160	160	160	160	160	160	160	160	207
Cambodia	2 576	1 980	8 158	7 572	10 241	10 145	10 325	9 106	10 691	7 906	6 501	10 903	16 148	13 270	15 172	14 603	14 857	15 629	16 946	19 266	18 891	19 170	24 610	28 216	30 838	35 535	34 660	35 601	
China	0	98 654	117 557	151 564	226 899	265 095	251 600	310 639	310 607	375 481	345 000	320 426	344 218	363 804	515 764	504 758	466 394	445 704	449 518	454 372	470 221	462 609	615 868	790 603	894 428	940 889	979 502		
China, Hong Kong SAR	8 065	7 729	7 257	7 301	7 843	7 545	7 432	7 259	7 021	6 704	6 510	6 283	6 534	6 357	6 319	6 212	6 501	7 072	7 673	5 605	6 015	6 788	6 277	5 914	5 660	5 536	5 363		
China, Macao SAR	1 101	585	233	455	671	571	420	359	320	274	343	329	294	263	257	402	570	575	465	449	465	388	371	309	355	374	342		
Cook Islands	8	2	12	15	3	8	3	2	0	2	0	1	6	5	4	2	2	2	2	3	1	2	0	1	1	1	1	1	
Fiji	210	180	163	185	165	230	199	173	162	218	226	247	240	183	225	203	200	171	166	192	144	183	148	185	134	132	114	94	
French Polynesia	76	66	65	78	80	78	85	80	63	73	59	49	83	78	89	86	86	91	105	93	62	62	64	50	60	63	69	64	
Guam	55	41	49	48	54	37	49	34	41	75	59	48	60	70	94	0	0	0	0	0	54	63	51	22	60	63	44	53	
Japan	70 916	65 867	63 940	62 021	61 521	58 567	56 690	56 496	54 357	53 112	51 821	50 612	48 956	48 461	44 425	43 078	42 122	42 190	44 016	40 800	39 384	35 489	32 828	31 638	29 736	27 194	25 304	24 779	
Kiribati	146	187	193	127	111	103	139	110	208	121	68	91	100	99	263	276	327	464	276	255	252	189	166	284	310	332	378	334	
Leao PDR	7 630	4 706	4 706	6 538	4 268	1 514	3 488	7 279	2 952	1 826	1 951	994	2 093	1 135	830	1 440	1 923	2 149	2 420	2 227	2 418	2 621	2 418	2 621	3 162	3 777	3 958	3 905	
Malaysia	11 218	10 970	11 944	11 634	10 577	10 569	10 735	11 088	10 944	10 686	11 702	11 059	11 620	12 286	11 708	11 778	12 691	13 559	14 115	14 908	15 057	14 830	14 989	15 671	14 986	15 342	16 051	16 129	
Marshall Islands	6	7	12	15	12	15	37	52	11	7	26	52	61	61	173	172	126	107	123	49	34	56	51	60	117	111	138	156	
Micronesia	0	67	73	75	66	60	60	98	77	68	367	350	111	111	151	173	172	126	107	123	91	104	127	99	116	86	104	137	
Mongolia	1 160	1 094	1 325	1 514	1 652	2 994	2 819	2 433	2 538	2 233	1 659	1 611	1 516	1 418	1 730	2 780	4 062	3 582	2 915	3 348	3 109	3 526	3 929	3 918	4 542	4 601	5 049	4 654	
Nauru	0	2	8	0	0	0	8	6	8	0	7	0	0	0	4	0	0	0	0	2	4	3	5	3	0	0	0	0	
New Caledonia	108	128	120	171	144	104	98	74	111	128	143	140	140	104	104	97	87	104	88	90	78	94	61	65	38	61	47	48	47
New Zealand	474	448	437	415	404	359	320	296	295	303	348	335	317	274	352	391	352	321	365	447	344	377	329	386	371	332	344	274	
Niue	1	0	2	3	1	0	5	0	3	0	0	2	1	2	2	0	0	0	0	1	0	0	4	0	0	0	0	0	
Northern Mariana Islands	0	26	75	74	58	64	16	56	27	28	28	6	6	4	25	41	19	5	15	32	75	58	53	45	53	57	51	44	
Palaui	17	10	17	14	20	26	13	38	17	3	3	6	4	25	41	19	5	15	32	75	58	53	45	53	57	51	44		
Papua New Guinea	2 525	2 508	2 742	2 955	3 505	2 877	2 251	4 261	3 396	2 497	3 401	2 540	7 451	5 335	8 041	3 195	7 977	11 291	13 003	10 520	12 658	11 197	12 788	12 743	12 564	12 620	15 002		
Philippines	112 307	116 821	104 715	106 300	151 863	151 028	153 129	163 740	183 113	217 272	317 008	207 371	236 172	178 134	180 044	119 186	165 453	195 767	162 360	145 807	119 914	107 133	118 408	132 759	130 530	137 100	147 305	140 588	
Rep. of Korea	89 803	98 532	100 878	91 572	85 669	87 169	88 789	87 419	74 460	70 012	63 904	57 864	48 070	46 999	38 155	42 117	39 315	33 215	34 661	32 075	21 782	37 268	34 967	33 843	34 389	38 290	37 861	37 554	
Samoa	59	49	43	41	37	43	65	29	37	44	44	26	48	45	45	45	31	32	22	31	43	22	31	27	34	24	25		
Singapore	2 710	2 425	2 179	2 065	2 143	1 952	1 760	1 616	1 666	1 617	1 591	1 841	1 778	1 930	1 677	1 889	1 951	1 977	2 120	1 805	1 728	1 536	1 516	1 581	1 414	1 356	1 313	1 359	
Solomon Islands	266	313	324	302	337	377	292	334	372	488	382	309	364	367	332	352	299	318	295	289	302	292	256	293	340	397	371	397	
Tokelau	0	1	0	0	0	2	0	0	9	1	1	1	1	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Tonga	64	49	45	50	54	49	35	24	14	36	23	20	29	33	23	20	22	21	30	22	24	12	29	16	12	18	18	23	
Tuvalu	33	18	12	23	9	32	27	22	24	26	23	30	30	28	19	36	36	36	18	14	16	16	13	30	30	12	9	18	
Vanuatu	178	92	173	196	186	124	131	90	118	144	140	230	193	114	152	79	126	164	178	120	152	175	101	104	115	76	126	122	
Viet Nam	43 062	43 506	51 206	43 185	43 875	46 941	47 557	55 505	52 463	52 270	50 203	59 784	56 594	52 994	51 763	55 739	74 711	77 838	87 468	88 879	89 792	90 728	95 044	92 741	98 173	94 916	97 363	97 400	
Wallis & Futuna	23	24	5	17	14	14	34	34	1	30	22	22	4	11	11	6	8	14	14	14	1	1	15	15	7	7	7		
WPR	356 452	355 337	461 550	462 181	540 985	615 153	651 840	655 006	716 427	741 913	884 073	760 863	754 463	718 783	724 290	824 956	873 425	870 920	834 599	820 469	786 285	805 152	811 482	890 890	1 160 130	1 274 124	1 331 512	1 365 284	
Number reporting	36	33	36	36	36	36	36	36	36	36	32	31	31	31	33	29	31	31	30	32	34	35	35	36	32	36	35	34	
% reporting	100	92	100	100	100	100	100	100	100	97	89	86	97	92	92	81	86	86	83	89	94	97	97	100	89	100	97	94	

From 1995 on, number shown is all notified new and relapse cases (DOTS and non-DOTS). Figures for all years are updated as new information becomes available, so they may differ from those published previously. Data can be downloaded from www.who.int/tb

Table A3.14 TB case notification rates, Western Pacific, 1980-2007

	1980	1981	1982	1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	
American Samoa	6	18	17	22	32	13	19	21	29	11	19	6	2	8	8	6	0	11	5	7	5	5	3	5	8	9	6	4	
Australia	10	9	8	8	7	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6	6	5
Brunei Darussalam	102	143	120	131	118	107	92	80	62	51	56	66	66	57	66	57	52	52	64	84	92	63	66	58	48	44	53	53	
Cambodia	38	29	114	102	132	125	123	104	118	84	67	109	155	124	137	128	127	130	138	154	148	147	186	209	225	255	244	246	
China	10	11	10	14	21	24	23	27	27	33	30	27	30	27	29	30	42	41	38	36	36	37	38	47	61	68	71	74	
China, Hong Kong SAR	160	150	144	137	145	138	135	131	126	119	114	109	111	109	104	100	103	111	118	85	90	101	92	86	81	80	78	74	
China, Macao SAR	437	226	87	163	229	186	131	117	92	76	92	86	75	72	98	136	136	108	108	108	102	104	85	80	66	75	78	71	
Cook Islands	45	11	68	85	17	45	17	11	0	11	0	6	33	28	22	11	6	11	0	18	6	13	7	0	7	7	7	7	
Fiji	33	28	24	27	24	32	28	24	23	30	31	34	32	24	30	26	26	22	21	24	18	23	18	23	16	16	14	11	
French Polynesia	50	42	41	47	47	45	48	44	34	38	30	25	41	38	42	42	39	41	46	40	26	26	26	20	24	25	27	24	
Guam	52	38	44	42	46	31	40	27	32	52	47	46	44	43	43	50	66	34	33	35	32	31	32	13	30	37	26	31	
Japan	61	56	54	52	51	48	47	46	44	44	43	42	41	39	39	36	34	33	33	35	32	31	28	26	25	23	21	20	19
Kiribati	267	333	335	214	182	164	200	166	304	172	95	124	135	132	332	417	592	340	309	300	300	221	225	320	343	361	404	351	
Leo PDR	246	237	207	205	286	278	275	287	314	363	518	331	368	272	268	174	236	273	222	195	157	138	149	164	168	162	171	160	
Malaysia	82	78	83	78	69	67	67	67	64	61	65	59	60	63	58	57	60	62	64	66	65	62	59	63	59	60	61	61	
Micronesia	20	22	36	43	33	39	92	76	25	15	54	105	122	122	115	115	95	95	79	65	106	95	110	211	198	238	267		
Micronesia, Marshall Islands	70	64	76	84	88	157	143	120	121	103	75	71	66	61	73	116	169	148	119	136	126	142	153	155	178	194	177		
Nauru	0	26	104	0	0	0	96	70	81	0	77	0	77	0	41	53	44	44	20	40	40	30	50	30	20	109	118	30	
New Caledonia	76	86	81	114	94	67	62	46	68	76	84	80	78	57	51	45	53	44	44	37	44	28	28	17	26	20	20	19	
New Zealand	15	14	14	13	13	11	10	9	9	9	10	10	9	8	10	11	9	9	10	12	9	10	8	10	9	8	8	7	
Niue	29	0	64	100	35	0	190	0	125	0	89	44	88	0	88	0	91	0	0	51	0	0	228	0	0	0	0	0	
Northern Mariana Islands	139	355	308	214	213	49	157	70	68	64	64	135	83	85	149	150	99	109	81	72	99	68	71	62	52	59	54		
Palau	139	80	134	108	150	191	94	289	118	21	39	25	155	247	111	29	83	169	169	169	157	156	126	290	115	86	171		
Papua New Guinea	79	77	82	86	99	95	77	59	109	84	60	80	58	167	116	171	166	161	221	248	195	229	198	221	215	207	203	237	
Philippines	234	237	207	205	286	278	275	287	314	363	518	331	368	272	268	174	236	273	222	195	157	138	149	164	168	162	171	160	
Rep. of Korea	236	255	257	230	212	214	215	210	177	165	149	134	110	106	86	84	87	73	75	69	47	79	74	71	72	80	79	78	
Samoa	38	32	28	26	24	27	41	18	18	23	27	16	30	27	27	18	19	13	18	24	12	17	15	19	13	13			
Singapore	112	98	86	80	81	72	64	57	58	55	53	59	56	56	50	54	54	53	56	46	43	37	36	37	33	31	30	31	
Solomon Islands	116	132	132	119	128	139	104	116	125	160	122	96	110	107	94	97	80	83	75	71	73	68	68	65	74	84	77	80	
Tokelau	0	64	0	0	0	126	0	559	62	0	62	63	64	0	135	0	0	0	0	0	0	0	0	0	0	0	0	0	
Tonga	66	51	47	53	58	53	38	26	15	38	24	21	30	34	24	21	23	21	31	22	24	12	29	16	12	18	23		
Tuvalu	410	221	145	274	106	370	307	245	263	280	244	315	312	289	195	367	179	138	157	156	156	126	290	115	86	171			
Vanuatu	152	77	141	156	146	94	97	65	83	99	94	150	122	70	90	46	72	103	98	65	80	90	51	51	55	35	57	54	
Viet Nam	81	80	93	76	79	79	90	83	81	76	88	82	75	72	76	100	103	114	114	114	114	113	117	112	117	112	113	111	
Wallis & Futuna	200	200	40	130	104	101	243	7	216	158	29	78	77	42	55	96	96	96	96	96	96	96	96	96	96	96	96	96	13
WPR	27	27	34	39	44	46	45	49	50	59	50	49	46	46	51	54	53	50	49	47	47	47	47	57	67	73	75	77	

Rates are per 100 000 population. From 1995 on, number shown is notification rate of new and relapse cases. Figures for all years are updated as new information becomes available and/or techniques are refined, so they may differ from those published previously. Data can be downloaded from www.who.int/tb

Table A3.16 NTP budgets, available funding, cost of utilization of general health-care services and total TB control costs (US\$ millions), Western Pacific, 2009

	NTP budget	Available funding			Global Fund	Funding gap	Cost of utilization of general health-care services	Total TB control costs	Completeness of budget data
		Government (excluding loans)	Loans	Grants (excluding Global Fund)					
American Samoa	0.1	0.1	0	0	0	0	0.1	C	
Australia	0.6	0.6	0	0	0	0	0.6	N	
Brunei Darussalam	1.1	1.1	0	1.3	4.6	0	13	P	
Cambodia	225	163	11	0.7	41	9.8	225	C	
China, Hong Kong SAR	20	20	0	0	0	0	79	C	
China, Macao SAR								N	
Cook Islands								N	
Fiji								N	
French Polynesia	1.7	1.4	0	0.3	0	0.02	1.7	C	
Guam							165	N	
Japan							0.1	C	
Kiribati	0.1	0.1	0	0	0	<0.01	0.2	C	
Laos PDR	3.1	0.04	0	0	3.0	0	3.1	C	
Malaysia	0.8	0.8	0	0	0	0	9.0	C	
Marshall Islands	0.2	0.1	0	0.1	0	0	0.2	C	
Micronesia								N	
Mongolia	3.8	0.8	0	0	2.2	0.7	4.9	C	
Nauru								N	
New Caledonia								N	
New Zealand								N	
Niue	<0.01	0	0	0	<0.01	0	<0.01	N	
Northern Mariana Islands	0.6	0.2	0	0.3	0	0.2	0.6	C	
Palau	0.2	0.1	0	0.1	0.02	0.01	0.2	C	
Papua New Guinea	3.6	0.6	0	0.3	2.7	0	3.6	C	
Philippines	23	7.9	0	0	10	4.4	34	C	
Rep. of Korea								N	
Samoa								N	
Singapore	1.7	0.01	0	0	1.7	0	2.0	C	
Solomon Islands								N	
Tokelau	0	0	0	0	0	0	0	N	
Tonga	0.1	0.1	0	<0.01	0	<0.01	0.1	C	
Tuvalu	0	0.1	0	0	0	<0.01	0	P	
Vanuatu								N	
Viet Nam	13	5.3	0	4.3	3.9	0	27	C	
Wallis & Futuna								N	
WPR	308	202	11	7	70	19	570	47%	

N indicates data not available or not applicable; P indicates partial financial data; C indicates complete data and therefore included in analysis presented in chapter 3. Completeness of budget data in total row indicates percentage of countries providing complete financial data. Data can be downloaded from www.who.int/tb

Notes

China, Hong Kong SAR

FIGURE 2.12: at least one case of XDR-TB was reported by the end of 2008.

TABLE A3.8: the majority of treatment-after-failure cases are still on treatment at 12 months.

China, Macao SAR

TABLE A3.5: 41 cases treated outside the public sector, with site and history of treatment unspecified, were reported as "other", non-DOTS.

Japan

TABLE A3.8: cases not evaluated include some cases still on treatment.

Surveys of tuberculosis
disease and availability of death
registration data at WHO,
by country and year

Table A4.1 National and subnational surveys of prevalence of tuberculosis disease

Table A4.1.1 National surveys¹

Bangladesh	1964, 1987
Cambodia	2002
China	1979, 1984, 1990, 2000
Eritrea	2005
Gambia	1960
Ghana	1957
Indonesia	2004
Iraq	1970
Japan	1953, 1958, 1963, 1968
Kenya	1948, 1958
Liberia	1959
Libyan Arab Jamahiriya	1976
Malaysia	2003
Mauritius	1958
Myanmar	2006
Netherlands	1970
Nigeria	1957
Pakistan	1959, 1987
Philippines	1981, 1997, 2007
Rep. of Korea	1965, 1970, 1975, 1980, 1985, 1990, 1995
Samoa	1975
Sierra Leone	1958
Somalia	1956
Sri Lanka	1970
Uganda	1958
Viet Nam	2007

Table A4.1.3 Planned or recommended surveys (national or subnational)²

Afghanistan	2010
Bangladesh ³	2008
Cambodia ^{3,4}	2010
China ³	2010
Djibouti ⁴	2010
Ethiopia	ND
Gambia	2010
Ghana ³	2010
Indonesia ³	2014
Kenya ³	2010
Lao PDR ⁴	ND
Malawi ^{3,4}	2009
Mali ³	2009
Myanmar ³	2009
Mozambique ³	ND
Nigeria ^{3,4}	2009
Pakistan ³	2009
Philippines ³	ND
Rwanda ^{3,4}	2010
Sierra Leone ³	ND
South Africa ³	2010
Syrian Arab Republic ⁴	2012
Thailand ^{3,4}	2011
UR Tanzania ³	2008
Uganda ^{3,4}	2009
Viet Nam ³	ND
Zambia ^{3,4}	2010

Table A4.1.2 Subnational surveys¹

Afghanistan	1982
Bangladesh	1995, 2001, 2002, 2006
Botswana	1981, 1995
Brunei Darussalam	1985
China	1957, 1959
Cambodia	1981, 1982, 1983, 1984, 1985, 1988, 1995, 1998
Colombia	1988
Cyprus	1963
Egypt	2007
Ethiopia	2001
India	1948–1993 (numerous surveys), 2007, 2008
Indonesia	1979, 1983–1993, 1994
Iraq	1961
Japan	1954, 1964
Kenya	1958, 2006
Liberia	1959
Malawi	1960
Malaysia	1970
Mozambique	1961
Myanmar	1972, 1989, 1990, 1991, 1994, 2006
Nepal	1965, 1976, 1994
Nigeria	1957, 1973
Pakistan	1962
South Africa	1972–1985
Spain	1991
Syrian Arab Republic	1960
Thailand	1962, 1970, 1977, 1983, 1987, 1991, 2007
Tunisia	1957, 1961
Turkey	1971
Uganda	2000
UR Tanzania	1958
Viet Nam	1961
Zambia	1980, 2006

ND indicates not determined.

¹ Exact timing of surveys not always clear from reports; year given here is year in which survey apparently started. In some cases more than one subnational survey was completed in a country in a given year. Detailed reference list available at www.who.int/tb. References to surveys done in 2006 and 2007 have generally not yet been published in peer reviewed journals, but will be added to the web site when they are published.

² Countries indicating on the data collection form that they are planning to undertake a prevalence of disease survey in the near future but for which this information has not been confirmed are not included here. These tables will be updated as the information is confirmed. See www.who.int/tb

³ The WHO Task Force on TB Impact Measurement has recommended that these 21 countries should carry out two prevalence of TB disease surveys between now and 2015 (or one more survey if at least one survey was done between 1990 and 2007). These surveys are needed as part of an effort to produce credible regional and global assessments of progress towards the 2015 impact targets, as well as for demonstrating the impact of control programmes on the burden of TB (see Chapter 1 for definition of the impact targets and Chapter 2 for an explanation of how the 21 countries were selected). For those countries that already have concrete plans (protocols and funding) to carry out at least one survey in the near future the expected year when the survey will start is provided.

⁴ Funding for surveys in these countries has been approved by the Global Fund.

Table A4.2 Availability of death registrations by cause of death, WHO Mortality Database, 2008

	Cov ¹	Qual ¹	Year(s)		Cov ¹	Qual ¹	Year(s)
Albania	72	L	1987–1989, 1992–2004	Lithuania	98	H	1985–2006
Anguilla	–	–	1985–1995, 2000–2001, 2003–2006	Luxembourg	96	M	1985–2005
Antigua & Barbuda	74	M	1985–1995, 2000–2004	Malaysia	–	M	1997
Argentina	100	L	1985–2005	Maldives	51	L	2000–2005
Armenia	63	L	1985–2003	Malta	95	H	1985–2005
Aruba	–	–	1987	Mauritius	93	M	1985–2005
Australia	100	H	1985–2003	Mexico	95	H	1985–2005
Austria	99	H	1985–2006	Monaco	–	–	1986–1987
Azerbaijan	68	M	1985–2004	Mongolia	84	M	1994
Bahamas	83	H	1985, 1987, 1993–2000	Montserrat	–	–	1990–2003
Bahrain	83	L	1985, 1987–1988, 1997–2001	Netherlands	100	M	1985–2006
Barbados	76	M	1985–1995, 2000–2001	New Zealand	100	H	1985–2004
Belarus	98	M	1985–2003	Nicaragua	58	L	1988–1994, 1996–2005
Belgium	100	M	1985–1997	Norway	98	M	1985–2005
Belize	81	M	1986–1987, 1989–1991, 1993–2001	Panama	91	M	1985–1989, 1996–2004
Bermuda	–	–	1985–2002	Paraguay	74	L	1985–1991, 1994–2004
Bosnia & Herzegovina	88	L	1985–1991	Peru	54	L	1986–1992, 1994–2000
Brazil	79	M	1985–2004	Philippines	85	M	1992–1998
British Virgin Islands	–	–	1985–2003	Poland	100	L	1985–1996, 1999–2006
Brunei Darussalam	100	M	1996–2000	Portugal	100	M	1985–2003
Bulgaria	100	M	1985–2004	Puerto Rico	–	–	1985–2003, 2005
Canada	100	H	1985–2004	Qatar	83	L	1995
Cayman Islands	–	–	1985–2000, 2004	Rep. of Korea	87	M	1985–2006
Chile	94	H	1985–2005	Republic of Moldova	80	H	1985–2006
China, Hong Kong SAR	–	–	1985–2006	Romania	100	H	1985–2007
China, Macao SAR	–	–	1994	Russian Federation	99	M	1985–2006
Colombia	78	M	1985–2002, 2004–2005	Saint Kitts & Nevis	100	M	1985–2005
Costa Rica	88	H	1985–2005	Saint Lucia	99	M	1986–2002
Croatia	95	M	1985–2006	San Marino	73	L	1995–2000, 2002, 2005
Cuba	100	H	1985–2005	Sao Tome & Principe	–	–	1985–1987
Cyprus	70	L	1999–2000, 2004, 2006	Serbia	–	–	2004–2006
Czech Republic	100	M	1985–2005	Serbia & Montenegro	89	M	1997–2002
Denmark	100	M	1985–2001	Seychelles	100	M	1985–1987, 2001–2005
Dominica	100	M	1985–2004	Singapore	82	H	1985–2006
Dominican Republic	–	–	1985–1992, 1994–2001, 2003–2004	Slovakia	98	H	1992–2005
Ecuador	74	M	1985–2005	Slovenia	100	M	1985–2006
Egypt	81	L	1987, 1991–1992, 2000	South Africa	79	L	1993–2005
El Salvador	75	L	1990–1993, 1995–2005	Spain	100	M	1985–2005
Estonia	100	H	1985–2005	Sri Lanka	74	L	1985–1989, 1991–1992, 1995
Fiji	100	L	1999	St Vincent & Grenadines	93	H	1985–1987, 1990, 1995–2003
Finland	100	H	1985–2006	Suriname	73	M	1985–1992, 1995–2000
France	100	M	1985–2005	Sweden	100	M	1985–2005
Georgia	97	M	1985–1992, 1994–2001	Switzerland	99	M	1985–2005
Germany	99	M	1985–2006	Syrian Arab Republic	100	L	1985
Greece	99	L	1985–2006	TFYR Macedonia	–	–	1991–2003
Grenada	86	M	1985, 1988–1996, 2001–2002	Tajikistan	54	L	1985–2005
Guatemala	89	M	1986–2004	Thailand	87	L	1985–1987, 1990–1992, 1994–2000, 2002
Guyana	72	L	1988–1996, 1998–1999, 2001–2005	Trinidad & Tobago	83	H	1985–2002
Haiti	–	–	1997, 1999, 2001–2003	Turkey	–	–	1987
Honduras	–	–	1987–1990	Turkmenistan	76	M	1985–1998
Hungary	100	H	1985–2005	Turks & Caicos Islands	–	–	1985–2005
Iceland	95	H	1985–2006	US Virgin Islands	–	–	1997–2003, 2005
Iran (Islamic Republic of)	66	L	1985–1987	USA	100	H	1985–2005
Ireland	100	H	1985–2006	USSR, Former	–	–	1985–1989
Israel	100	H	1985–2004	Ukraine	100	M	1985–2005
Italy	100	M	1985–2003	United Kingdom	99	H	1985–1999, 2001–2006
Jamaica	60	L	1985–1991	Uruguay	100	M	1985–1990, 1993–2001, 2004
Japan	100	H	1985–2006	Uzbekistan	73	H	1985–2000, 2002–2005
Kazakhstan	77	M	1985–2006	Venezuela	99	H	1985–1990, 1992–1994, 1996–2005
Kiribati	76	L	1991–2001	Yugoslavia, Former	–	–	1985–1989
Kuwait	100	H	1985–1987, 1993–2002	Zimbabwe	–	–	1990
Kyrgyzstan	70	M	1985–2006				
Latvia	93	H	1985–2006				

Shown are years for which cause-of-death data (1985–2007) were available in the WHO Mortality Database by August 2008 (see also www.who.int/healthinfo/morttables). In some cases more recent data are available in the country in question, but have not yet been sent to WHO.

¹Cov, Qual: Coverage and quality. Coverage is calculated by dividing the total deaths reported for a country in a given year from the vital registration system by the total deaths estimated by WHO for that year for the national population (shown is coverage for most recent year, but not for data before 2000). Coverage can be low because vital registration is implemented in only part of the country, or because only a proportion of deaths is recorded, or both. Source: EIP/WHO. Assessment of data quality based on coding system used, and on proportion of deaths assigned to ill-defined codes; L, indicates low; M, medium; H, high. Source: Mathers, C et al. Counting the dead and what they died from: an assessment of the global status of cause of death data. *Bulletin of the World Health Organization*, 2005, 83: 171–177.

The World Health Organization monitors
the global tuberculosis epidemic in support
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