

RILEM Bookseries

A. Scarpas · N. Kringos  
I. Al-Qadi · A. Loizos *Editors*

7<sup>th</sup> RILEM  
International  
Conference  
on Cracking  
in Pavements



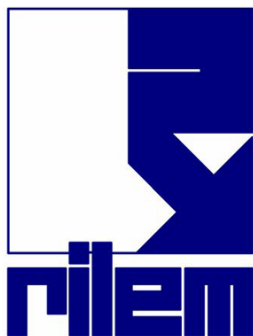
7th RILEM International Conference  
on Cracking in Pavements

# **RILEM Bookseries**

Volume 4

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Editors

# 7th RILEM International Conference on Cracking in Pavements

Mechanisms, Modeling, Testing, Detection,  
Prevention and Case Histories

 Springer

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# Preface

Because of vehicular and environmental loading, pavement systems have been deteriorating at a rapid rate. A series of six earlier RILEM Conferences on Cracking in Pavements in Liege (1989) (1993), Maastricht (1996), Ottawa (2000), Limoges (2004) and Chicago (2008) have clearly demonstrated that cracking constitutes one of the most detrimental, frequent and costly pavement deterioration modes.

Unfortunately, despite intense international efforts, there is still a strong need for methodologies that enable the construction and rehabilitation of crack resisting and/or tolerant pavements which at the same time are smooth, quiet, efficient, cost effective and environmentally friendly.

In the recent past, new materials, laboratory and in-situ testing methods and construction techniques have been introduced. In addition, modern computational techniques such as the finite element method enable the utilization of sophisticated constitutive models for realistic model-based predictions of the response of pavements. The **7th RILEM International Conference on Cracking in Pavements** aims to provide an international forum for the exchange of ideas, information and knowledge amongst experts involved in computational analysis, material production, experimental characterization, design and construction of pavements.

All submitted contributions were subjected to an exhaustive refereed peer review procedure by the Scientific Committee, the Editors and a large group of international experts on the topic. On the basis of their recommendations, 129 contributions which best suited the goals and the objectives of the Conference were chosen for presentation and inclusion in the Proceedings.

The strong message that emanates from the accepted contributions is that, by accounting for the idiosyncrasies of the response of pavement engineering materials, modern sophisticated constitutive models in combination with new experimental material characterization and construction techniques provide a powerful arsenal for understanding and designing against the mechanisms and the processes causing cracking and pavement response deterioration. As such they enable the adoption of truly “mechanistic” design methodologies.

The Editors would like to thank the Scientific Committee and the pavement engineering research community who took the responsibility of reviewing the manuscripts and ensuring the excellent quality of the accepted papers and the members of the Organizing Committee for their contribution to the management of the Conference affairs.

We hope that the Conference will contribute to the establishment of a new generation of asphalt and concrete pavement engineering design methodologies based on rational mechanics principles and in which computational techniques, advanced constitutive models and material characterisation techniques shall constitute the backbone of the design process.

Delft, March 2012

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# Characterization of Asphalt Mixture's Fracture Resistance Using the Semi-Circular Bending (SCB) Test

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**Abstract.** Pavement cracking is a major distress mode in asphalt pavements. The fracture resistance is an important factor that relates to pavement cracking. This paper describes the evaluation of the fracture resistance of asphalt mixtures using the semi-circular bending (SCB) test. The mechanism of the SCB test is based on the elastic-plastic fracture mechanics concept that leads to the laboratory determination of the critical strain energy release rate, also called the critical value of J-integral ( $J_c$ ). Asphalt mixtures from nine rehabilitation field projects throughout the state of Louisiana were evaluated in this study. The critical strain energy release rate of plant produced-laboratory compacted (PL) asphalt mixtures was evaluated using a three-point SCB test. In addition, field cracking measurements for those projects that have been trafficked for approximately ten years were performed. Four types of asphalt binders and two nominal maximum aggregate sizes were included in those mixtures. Analysis of the results indicated that there is a good correlation between the critical values of J-integral ( $J_c$ ) and the field cracking rate. The  $J_c$  value increased as the cracking rate decreased. Results of this study support to use the semi-circular bend test to evaluate the fracture resistance of asphalt mixtures.

## 1 Introduction

Fatigue cracking is a major fracture failure mode in flexible pavements, which is caused by repeated traffic loadings over time. The occurrence of fatigue cracking is dependent upon the structural capacity of the pavement, quality of construction, asphalt mixtures' fracture resistance, etc. With respect to materials, the fracture

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resistance of asphalt mixture can be experimentally evaluated using several laboratory fracture tests. To name few, these test methods include conventional repeated loading beam fatigue tests and surrogate monotonic fracture tests such as the indirect tensile (IDT) strength and the semi-circular bending (SCB) test. While the conventional repeated loading beam fatigue tests measure either the number of load repetitions to a certain level of damage accumulation [1, 2] or the rate of energy dissipation over a long testing time [3] as the index of fatigue resistance, monotonic fracture tests measure either toughness [4] or the critical strain energy release rate [5] during a single displacement controlled loading until failure as the index of fracture resistance. In recent years, the SCB test method has been investigated vigorously by many researchers [6-12] due to its simplicity in specimen preparations and less time-consuming test procedure.

Researchers at the Louisiana Transportation Research Center (LTRC) investigated the SCB test device as a candidate test method for characterizing the fracture resistance of asphalt mixtures [5]. In the study, 13 plant mixed-laboratory compacted (PL) Superpave asphalt mixtures were measured for their critical strain energy release rate, i.e., critical value of J-integral ( $J_c$ ). These mixtures were collected from field projects across the state of Louisiana based upon a test factorial of four different grades of asphalt binders (AC-30, PAC-40, PG70-22m, and PG76-22m), two nominal maximum aggregate sizes (NMAS, 19- and 25-mm), and four gyratory compaction levels (75, 96, 109, and 125 gyrations). It was found that the  $J_c$  values of those various asphalt mixtures were sensitive to the changes in the mixture design parameters such as the asphalt binder grades and the NMAS. Based upon the observed reasonable sensitivity of  $J_c$  values, the study concluded that the SCB test can be a valuable tool to evaluate the fracture resistance of asphalt mixtures as an indicator of fatigue cracking performance in asphalt pavements.

In the past 10 years, actual in-field cracking performances of these Superpave mixtures have been regularly monitored by the Louisiana Department of Transportation and Development (LADOTD) through its Pavement Management System (PMS). LADOTD collects roughness, rutting, cracking, patching, and faulting data every two years to analyze the deterioration rates of its pavement network [13]. A comparison analysis between the laboratory fracture resistance of the aforementioned asphalt mixtures measured by the SCB test and the field cracking performance of the corresponding pavements obtained from the PMS database is conducted in this study.

## 2 Objective and Scope

The objective of this study was to evaluate the effectiveness of the SCB test method for predicting fatigue cracking performance of asphalt pavements by analyzing the relationship between SCB measured  $J_c$  values of hot-mix asphalt (HMA) and the field performance of asphalt pavements. In this study, nine field

projects, which were originally included in the earlier LTRC investigation [5], were revisited. The  $J_c$  values of plant mixed-laboratory compacted (PL) asphalt mixtures from these nine field projects, at the time of constructions, were measured by the SCB device at an intermediate temperature of 25°C. Corresponding cracking data of these field projects were retrieved from the Louisiana PMS database. A regression analysis was performed to evaluate relationship between the SCB and field performance against cracking.

## 2.1 Field Projects and Asphalt Mixtures

Table 1 presents a description of the nine rehabilitation projects as well as the characteristics of the asphalt mixtures installed in these projects. As shown in this table, four different grades of asphalt binders (AC-30, PAC-40, PG70-22m, and PG76-22m) and two nominal maximum aggregate sizes (NMAS, 19- and 25-mm) were used in these projects.

**Table 1.** Field Projects and Asphalt Mixtures Studied

Field Project	Construction Year	Design Traffic Level <sup>1)</sup>	Asphalt Grade	NMAS (mm)	Mineral Composition
LA874	1999	Level 1	PG70-22m	19	Limestone
LA361	2000	Level 1	PG70-22m	19	Granite
LA121	1999	Level 1	AC-30	19	Limestone
LA22	1998	Level 1	AC-30	19	Limestone
LA4	1998	Level 1	AC-30	25	Limestone
US90	1999	Level 2	PAC-40	25	Limestone
US61	1999	Level 2	PAC-40	25	Limestone
I12	2000	Level 3	PG76-22m	19	Limestone
I49	2001	Level 3	PG76-22m	19	Limestone

<sup>1)</sup> Level 1: <3 million ESAL, Level 2: 3-30 million ESAL, Level 3: >30 million ESAL.

## 2.2 Experimental Method: Semi-Circular Bending (SCB) Test

The SCB test is performed to characterize the fracture resistance of asphalt mixtures in terms of the critical strain energy release rate or the critical value of J-integral ( $J_c$ ). The  $J_c$  is a function of the rate of strain energy change per notch depths ( $dU/da$ ), as shown in Equation (1), and represents the consumed strain

energy while a unit area of fractured surface is formed in a mixture. Therefore, the higher  $J_c$  values indicate that a material is tougher to resist cracking and crack propagation.

To determine  $J_c$ , specimens with three different notch depths were tested. *Figure 1* shows the 3-point bending test configuration and typical specimen dimensions of the SCB test. The SCB test is conducted according to the test procedure adopted by Mohammad et al. [6]. Three replicate specimens are tested for each notch depth at 25°C. The three notch depths typically selected are 25.4-mm, 31.8-mm, and 38.0-mm based on an 'a/r<sub>d</sub>' ratio (the ratio of notch depth to the radius of the specimen, as shown in *Figure 1*), which is desirable to range from 0.5 to 0.75. Although the rate of strain energy change per notch depths ( $dU/da$ ) can be calculated with only two different notch depths, having three notch depths increases the accuracy of  $J_c$  calculation. Applying a constant cross-head deformation rate of 0.5 mm/min, the SCB specimens are loaded monotonically on an MTS machine until fracture failure occurs. The load and deformation data are recorded continuously and used to generate a series of load versus deformation curves (*Figure 2*), from which the critical value of  $J_c$  is determined using Eqn. (1):

$$J_c = -\left(\frac{1}{b}\right) \frac{dU}{da} \quad (1)$$

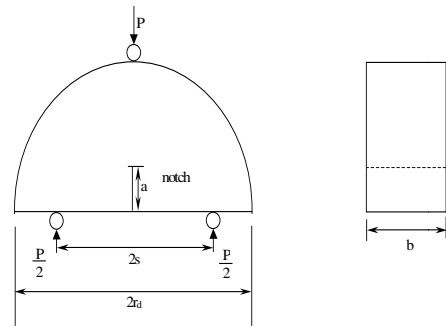
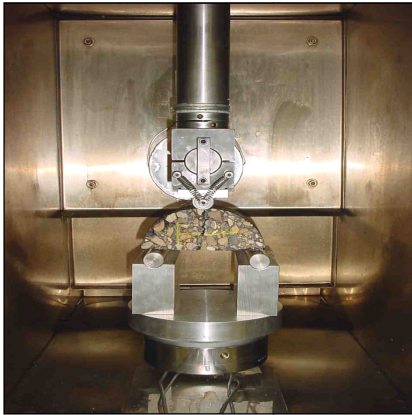
where,

$J_c$  = critical strain energy release rate (kJ/m<sup>2</sup>);

$b$  = sample thickness (m);

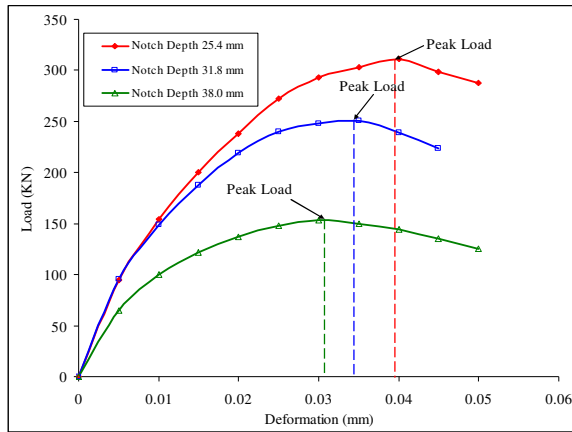
$a$  = notch depth (m); and

$U$  = strain energy to failure (kN-m or kJ).



$$2r_d = 150\text{mm},$$

**Fig. 1.** SCB Test Setup and Specimen Configuration



**Fig. 2.** Typical Load Deformation Curves from the SCB Test

Figure 2 presents a typical load-deformation plot obtained from the SCB test. Three areas under the load-deformation curves, until peak loads reached, are calculated to represent the strain energy values to failure ( $U$ ) of specimens with three different notch depths. The average values of  $U$  (calculated from three replicate results) are then plotted against the different notch depths to compute a slope of a linear regression line, which is  $dU/da$  in Equation (1). The critical value of fracture resistance,  $J_c$ , is then computed by dividing  $dU/da$  by the width,  $b$ , of the specimens.

### 2.3 Field Measurement of Cracking

Table 1 summarizes the cracking survey data of the nine field projects obtained from the Louisiana PMS database. LADOTD pavement distress data collection system currently uses the Automated Road Analyzer (ARAN) system, and the pavement network is surveyed once every 2 years [13]. Field cracking data collected during the 2009 survey cycle were used in this study. Four types of cracking patterns are collected separately by the ARAN system, which are ‘Transverse,’ ‘Longitudinal,’ ‘Alligator,’ and ‘Random’ crackings at three severity levels of low, medium, and high. Longitudinal and random cracking patterns were not considered in this analysis as these distresses may not be closely related to HMA fatigue resistance. For example, longitudinal cracking patterns typically form at the construction joints and many discontinuities on the pavement surface such as patching are counted as random cracking. Therefore, these two crack patterns were excluded from further analysis and only the ‘Transverse’ and ‘Alligator’ crackings were considered.

In the ARAN system, transverse cracking is reported in linear feet per 0.1 mile segment (ft/0.1-mile) and the alligator cracking is reported in square feet per 0.1



mile segment (ft<sup>2</sup>/0.1-mile). Therefore, each project consisted of different numbers of unit segments where three different levels of transverse and alligator cracking were reported. For example, PMS data of LA874 pavement were collected for the 3-mile long stretch of the project, which included cracking counts in 30 individual segments. Each individual segment contained low, medium, and high severity transverse cracking counts and alligator cracking counts as well. These raw data were first averaged throughout the entire project extent using Eqn. (2) and were multiplied by 10 to represent cracking counts in 1-mile unit length:

$$C_{avg} = \frac{1}{n} \left[ \sum_{i=1}^n \{ (C_L)_i + (C_M)_i + (C_H)_i \} \right] \times 10 \quad (2)$$

where,

$C_{avg}$  = average crack count in a project for either transverse (TC) or alligator cracking (AC)

$C_L, C_M, C_H$  = Crackings in Low, Medium, and High level of severity

$n$  = number of 0.1-mile unit segments in a project

No weight factors for different severity of cracking were considered when calculating the average cracking counts of both transverse and alligator cracks. To combine transverse and alligator cracking, which have different reporting units, into a single composite cracking index ( $C_{comp}$ ), it was necessary to convert the unit of the alligator cracking (ft<sup>2</sup>/mile) into the same linear-foot scale by taking the square root. The average transverse cracking and the square root of the average alligator cracking were then summed up as shown in Eqn. (3):

$$C_{comp} = TC_{avg} + \sqrt{AC_{avg}} \quad (3)$$

where,  $C_{comp}$  = Composite cracking index, ft/mile

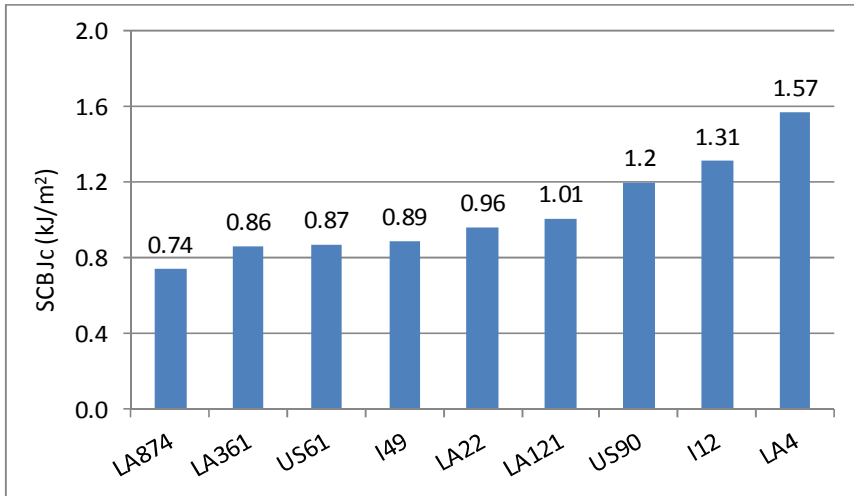
Hence, the composite cracking index ( $C_{comp}$ ) defined in Eqn. (3) represents a simple indicator of cracking performance in the field without considering crack severity, total pavement structural, climatic conditions, and applied traffic loading.

## 2.4 Discussions of Results

Figure 3 presents the SCB measured critical strain energy release rate ( $J_c$ ) for the nine asphalt mixtures. The value ranged from 0.74 to 1.57 kJ/m<sup>2</sup> with the average of 1.05 and the median of 0.96 kJ/m<sup>2</sup>. The wearing course asphalt mixture in LA4 project showed the highest  $J_c$  value, while the wearing course mixture in LA874 showed the lowest  $J_c$  value. Therefore, based solely on the measured  $J_c$  values, it was expected that the field cracking performance of the LA4 pavement would be better than that of the LA874 pavement. Likewise, I12 pavement is expected to have superior cracking resistance than LA361, US61, or I49 pavements based on SCB test results.

**Table 2.** PMS Field Cracking Data

Project	Survey Year	Project Length (miles)	Average Cracking		
			$TC_{avg}$ (ft/mile)	$AC_{avg}$ (ft <sup>2</sup> /mile)	$C_{comp}$ (ft/mile)
LA874	2008	3.00	377.7	225.3	392.7
LA361	2008	6.93	4071.2	3309.6	4128.7
LA121	2008	9.90	358.9	1631.4	399.3
LA22	2008	7.14	1374.0	985.5	1405.4
LA4	2009	6.03	4.8	2.5	6.4
US90	2008	1.30	1473.8	0.0	1473.8
US61	2008	7.51	802.8	12.7	806.3
I12	2008	1.88	276.3	0.0	276.3
I49	2008	12.33	620.1	68.7	628.4



**Fig. 3.** Fracture Resistance Measured by the SCB (J<sub>c</sub>)

Table 2 summarizes the comparison between field crack counts and  $J_c$  values. When comparing the  $J_c$  values of asphalt mixtures and the cracking resistance of pavements, it is desirable to take into account other influencing factors such as the entire pavement structure, climatic effects, and applied traffic loadings for more accurate comparisons. In Figure 3 the composite cracking index ( $C_{comp}$ ) was normalized by the applied Equivalent Single Axle Load (ESAL), so that the cracking performance of pavements can be expressed per every million ESALs applied. The ‘applied ESALs’ in these pavements were assumed as the fraction of the pavement ages at the time of the last field performance survey to the 20-year design life multiplied by the ‘design ESAL.’ Structural aspects of each and every field projects were not considered due to the lack of accurate information regarding the underlying existing pavement structures. It is noted, however, that the thickness of the asphalt overlays including both wearing and binder course lifts of eight field projects were similar around 89 ~ 102 mm (3.5 ~ 4.0 inches). The only exception was I12 pavement, which had 102 mm wearing and 138 mm binder course lifts. The climatic effects were not considered since the nine projects were not geographically far apart from one another.

**Table 3.** Comparison between Cracking Rate and  $J_c$

Project	Design ESAL ( $\times 10^6$ )	Years in Service	Applied ESAL ( $\times 10^6$ )	$C_{comp}$ (ft/mile)	Cracking Rate per Million ESAL (ft/mile/million)	SCB $J_c$ ( $\text{kJ/m}^2$ )
LA874	3	9	1.35	425.1	314.9	0.74
LA361	3	8	1.2	4253.1	3,544.3	0.86
LA121	6	9	2.7	486.6	180.2	1.01
LA22	6	10	3	1473.3	491.1	0.96
LA4	6	11	3.3	9.8	3.0	1.57
US90	10	9	4.5	1473.8	327.5	1.2
US61	10	9	4.5	814.0	180.9	0.87
I12	30	8	12	276.3	23.0	1.31
I49	30	7	10.5	646.4	61.6	0.89

As shown in Table 3, the LA4 project, which showed the highest  $J_c$  value, developed the least amount of cracking in the field. Moreover, the cracking rate per million ESALs was considerably lower than the other eight pavements. The I12 project, which had the thickest asphalt overlay, was the second best pavement in terms of the amount of crack and cracking rate. The LA874 project that showed the lowest  $J_c$  value, on the other hand, appeared to have much more crack counts and higher cracking rate than the two best performing pavements. Nevertheless, the LA874 project maintained decent field cracking performance compared to

other pavements (e.g., US90, LA22, and LA361), while the second worst pavement in terms of  $J_c$  value (LA361) showed the worst field cracking performance among the nine projects.

Figure 4 depicts the correlation between the cracking rates and the  $J_c$  values. The solid line through the measured data points is an exponential regression model on a semi-log plot of cracking rate versus the SCB  $J_c$ . A strong downward trend of the regression line was observed as the  $J_c$  values increase, which indicates that the cracking rate of pavements decreases as the fracture resistance of asphalt mixtures ( $J_c$  values) increases. The coefficient of determination ( $R^2$ ) of the regression was 0.58, which means that approximately 58% of the variability in the cracking rates observed from those nine field projects can be explained by the sole independent variable, SCB  $J_c$  value. It is noted that other influencing factors on the cracking performance of asphalt pavements, such as the structural and environmental aspects, were not taken into account. These results support the suitability of the SCB test method for estimating the fatigue cracking performance of asphalt pavements in the field.

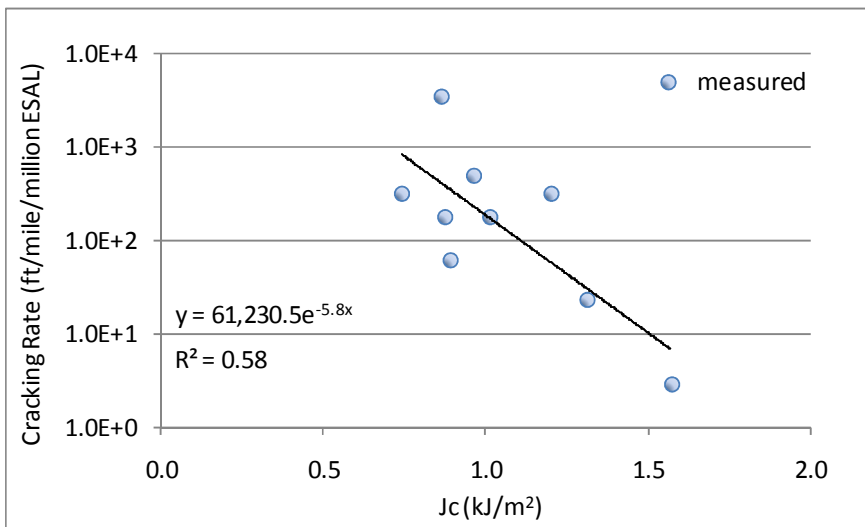


Fig. 4. Correlation between the Cracking Rate and  $J_c$

### 3 Summary and Conclusions

In this study, laboratory measured fracture resistance of nine asphalt mixtures used in Louisiana was compared with field cracking performance data obtained from the PMS database. The semi-circular bending (SCB) test method was utilized in the laboratory to measure the critical fracture energy release rate ( $J_c$ ) of asphalt mixtures at intermediate service temperature of 25°C. Field cracking performance data were measured by the automated road analyzer (ARAN) system. Transverse and alligator crack counts in the field were combined into a single cracking index

that was normalized with respect to million ESALs. It was found that the SCB measured  $J_c$  values demonstrated a good correlation with field cracking performance data. This observation demonstrates that the SCB test is a suitable test method for estimating the fatigue cracking performance of asphalt pavements at intermediate service temperatures.

Further research is underway to extend the scope of comparison between laboratory-measured intermediate fracture properties and actual field cracking performance with the ultimate objective of developing performance-based specification criteria for fatigue cracking.

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# The Flexural Strength of Asphalt Mixtures Using the Bending Beam Rheometer

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**Abstract.** Asphalt mixture creep stiffness and strength are needed in the low temperature algorithm of the AASHTO Mechanistic Empirical Pavement Design Guide to predict low temperature performance. A procedure for obtaining creep stiffness by testing thin mixture beams with a Bending Beam Rheometer was previously developed at University of Minnesota. Preliminary work investigating the possibility of also obtaining bending strength by testing thin mixtures beams is presented in this paper.

Indirect Tensile (IDT), and Direct Tension (DT) strength tests are performed on eleven mixtures. The same eleven mixtures are used to perform three sets of tests using the proposed method named Bending Beam Strength (BBS). First set is performed to investigate the reliability and reproducibility of BBS testing method, and the validity of the measuring concept. Weibull modulus is calculated as part of the analysis. Second set of tests is done to investigate the effect of temperature, conditioning time and loading rate on the measured strength of three mixtures. Third set consists of tests performed at three different temperatures on eight mixtures. IDT, DT and BBS experimental determined strengths are first compared without using any transformation, and results are found to be statistically different. The results are then transformed to take into account the size of the samples and the testing configuration. The statistical analysis indicates that BBS strength values are similar to the values obtained with IDT test method.

## 1 Introduction

Thermal cracking is the main type of distress for asphalt pavements built in cold climates. This type of failure is the result of large tensile stresses caused by severe temperature drops combined with embrittlement of the asphalt mixture at low temperatures. Current specifications used to characterize and select asphalt binder and mixture for pavement applications at low temperatures are based on binder Bending Beam Rheometer (BBR) [1] and mixture Indirect Tensile Test (IDT) [2]. Recently, a simpler test method was proposed to determine the low temperature creep compliance of asphalt mixtures with BBR [3]. In the same research effort it

was also shown, through microstructural analysis, that BBR specimens were representative of the entire asphalt mixture matrix for the materials analysed [3].

This paper presents the exploratory research conducted to investigate the possibility of expanding the previous test method [3] to determine the strength properties of asphalt mixtures. An extensive experimental work is first conducted. IDT [2], DT [4] and the proposed test, named Bending Beam Strength (BBS), are performed on eleven asphalt mixtures. Critical issues, such as statistical distribution, temperature effect, loading rate, are first considered. Then size effect, geometry and testing configuration differences among IDT, DT and BBS are investigated through weakest link theory [5].

## 2 Materials

Eleven asphalt mixtures (Table 1) produced using different asphalt binders, aggregates, and containing various amounts of reclaimed asphalt pavement (RAP) and roofing shingles were used in the experimental work. These materials were used in the 2008 reconstruction of test cells at MnROAD facility [6]. Loose mix sampled during construction was used to prepare all mixture specimens tested in the laboratory. An additional asphalt mixture, labelled W, prepared with a PG 58-28 asphalt binder and granite aggregates was used to investigate the statistical failure distribution of the BBS test. All mixtures were Superpave gyratory compacted to 7% air voids. The nominal maximum aggregate size (NMAS) was between 4.75mm and 12.5mm.

**Table 1.** Asphalt mixtures

ID	Description	Binder		RAP
		PG	Content	
A	Novachip	70-28	5.1%	none
B	level 4 Superpave	64-34	5.4%	none
D	4.75 taconite Superpave	64-34	7.4%	none
E	WMA* wear course	58-34	5.2%	up to 20%
F	WMA* non wear	58-34	5.5%	up to 20%
G	non wear	58-28	5.2%	30% non fractioned
H	non wear	58-28	5.5%	30% fractioned
I	non wear	58-34	5.5%	30% fractioned
K	shoulder mix	58-28	4.8%	none, 5% manufactured waste shingles
L	control for WMA*	58-34	5.2%	up to 20 %
M	shoulder mix	58-28	5.0%	none, 5% manufactured tear off shingles

WMA= Warm Mix Asphalt.

## 3 Strength Test Methods

### 3.1 Indirect Tensile (IDT) Test

IDT strength test [2] is performed on asphalt mixture cylindrical specimens that are 40mm thick, 150mm in diameter, and have a volume of 706cm<sup>3</sup>. Loading is

diametrically applied at a constant displacement rate of 12.5 mm/min until failure occurs. Tensile strength  $\sigma_{IDT}$  is calculated according to:

$$\sigma_{IDT} = (2P / \pi \cdot bD) \quad (1)$$

where  $P$  is the failure load at which the difference between vertical and horizontal deformation is maximum and  $b$  and  $D$  are the thickness and the diameter of the specimen respectively. To avoid damage to the LVDT's,  $P$  is usually assumed as the peak load; for this reason a correction formula was proposed in the past [7] to evaluate the tensile nominal strength  $\sigma_{IDT}^U$  obtained with this method:

$$\sigma_{IDT}^U = (0.78\sigma_{IDT}) + 38 \quad (2)$$

IDT strength test was performed on all eleven mixtures at three different temperatures related to the asphalt binder grade used to prepare the mixtures: PG low limit + 22°C, PG low limit + 10°C, and PG low limit - 2°C. Specimens were conditioned for 2 hours at the testing temperature and three replicates were tested at each temperature.

### 3.2 Direct Tension Test (DT)

DT tests were performed on asphalt mixture cylindrical specimens 150mm long, with a 50.8 mm diameter, and a volume of 304cm<sup>3</sup>. A modified Thermal Stress Restrained Specimen Test (TSRST) [8] device was used for testing DT specimens in order to have an upper plate displacement rate comparable with the strain rate in the BBS. Due to limited availability of material only two replicates of mixtures D, E and K were tested at a temperature corresponding to PG low limit - 2°C after 2 hours conditioning in the climatic chamber

### 3.3 Bending Beam Strength (BBS)

The flexural beam test presents similarities to the real field loading of pavements. In this test, a simple supported beam is loaded with either a concentrated force applied at the midpoint or with two equal concentrated forces applied at the two third points of the beam. The testing method has been used to predict the fatigue resistance of asphalt mixtures [9] and to determine the flexural creep stiffness of asphalt binders [1] and asphalt mixtures [3]. For a concentrated load applied at the midpoint of a beam the nominal flexural strength  $\sigma_{3PB}$  is calculated as:

$$\sigma_{3PB} = (3PL / 2bh^2) \quad (3)$$

where  $P$  is the peak load,  $L$  is the beam span,  $b$  is the width of the beam and  $h$  is the thickness. Previously, BBR was used to obtain asphalt mixture creep stiffness



[3]. BBR was slightly modified to also perform three point bending strength tests on thin asphalt mixture beams with  $L=101.6\text{mm}$ ,  $b =12.5\text{mm}$ ,  $h=6.25\text{mm}$  and volume of  $9.7\text{cm}^3$ ; details on specimen preparation can be found elsewhere [3]. An upgraded 45N load cell and an in-house system consisting of a small centrifugal pump that is used to fill with water, at a constant rate, a plastic box attached to the loading shelf of the BBR, where used to load the mixture beams at constant loading rates. This prototype device (Figure 1) is referred to as Bending Beam Strength (BBS) in this paper.

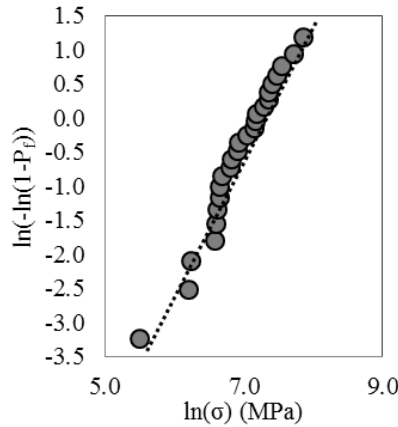


**Fig. 1.** Bending Beam Strength (BBS) apparatus

Three sets of tests were performed. First, the statistical distribution of BBS test was investigated through histogram strength testing on asphalt mixture. Then, the temperature, conditioning time, and loading rate effects on the measured strength of three of the eleven mixtures were evaluated. Finally, BBS tests were performed on the other eight mixtures at the same three temperatures used for IDT tests.

### 3.3.1 BBS Strength Statistical Distribution

A preliminary evaluation of the BBS results was done through histogram testing. Twenty six BBS beam replicates cut from a gyratory compacted cylinder made of mix W (PG58-28) were tested at  $-24^{\circ}\text{C}$  and using a loading rate of  $3\text{N}/\text{min}$ . Loading rate was selected in such a way that BBS test had a duration comparable to BBR creep test to take advantage of the current BBR software capabilities. Conditioning time was set to 1h because of the reduced dimension of the BBS specimen [3]. Strength results did not follow a normal distribution and lognormal distribution was disregarded as suggested by other authors [10]. Weibull statistical distribution was then selected and strength data was plotted in the Weibull plane, where the failure probability  $P_f$  is plotted against the natural logarithm of the nominal flexural strength (Figure 2).



**Fig. 2.** Bending Beam Strength (BBS) histogram, mixture W

The experimental results form an almost straight line and appear to follow a Weibull statistical distribution. From the histogram, the Weibull modulus  $m$ , representing the slope of the line fitting the experimental data was calculated and a value of 11.59 was obtained. Since  $m$  has to be an integer number [10], it was rounded to 12. This value is similar with previous results obtained by other authors for cement concrete [11]. More recent studies in concrete found  $m = 24$  [12].

### 3.3.2 Effect of Temperature, Conditioning Time, and Loading Rate

The effect of temperature, conditioning time and loading rate, on the measured strength, was investigated on mixtures D, E and K (Table 1). A  $2^3$  factorial design analysis was run to study the individual and the joint effect of these factors on BBS fracture strength. Table 2 presents the factorial design with statistical factors and levels.

**Table 2.** Experimental factorial design for BBS

ID	Temperature (°C)	Conditioning time (hour)	Loading rate (N/min)	Number of replicates
D	-24; -36	1; 24	3; 13	3 per factor/level
E	-24; -36	1; 24	3; 13	3 per factor/level
K	-18; -30	1; 24	3; 13	3 per factor/level

Testing temperatures were selected based on binder PG grade: PG+10°C and PG-2°C [3]. A higher loading rate of 13N/min was selected based on BBS device and centrifugal pump capabilities. The mean nominal flexural strength for mixture D, E and K are shown in Table 3 for all factor level combinations. The  $p$ -values for the statistical analysis of the  $2^3$ -factorial design are summarized in Table 4; a  $p$ -value below the set significance level (0.05) provides evidence of the influence of the specific factor on the response. The analysis was conducted separately for each mixture, since differences due to mix design were expected.

**Table 3.** BBS nominal strength results for mixtures D, E and K

<b>Mix D PG 64-34</b>								
<b>Conditioning Time (h)</b>	1	24	1	24	1	24	1	24
<b>Loading Rate (N/min)</b>	3	3	13	13	3	3	13	13
<b>Temperature (°C)</b>	-24	-24	-24	-24	-36	-36	-36	-36
<b>Mean Nominal Strength (MPa)</b>	10.0	9.6	10.3	9.6	7.2	9.0	10.4	9.4
<b>Mix E PG 58-34</b>								
<b>Conditioning Time (h)</b>	1	24	1	24	1	24	1	24
<b>Loading Rate (N/min)</b>	3	3	13	13	3	3	13	13
<b>Temperature (°C)</b>	-24	-24	-24	-24	-36	-36	-36	-36
<b>Mean Nominal Strength (MPa)</b>	7.5	7.1	7.0	6.6	4.7	5.0	5.2	5.8
<b>Mix K PG 58-28</b>								
<b>Conditioning Time (h)</b>	1	24	1	24	1	24	1	24
<b>Loading Rate (N/min)</b>	3	3	13	13	3	3	13	13
<b>Temperature (°C)</b>	-18	-18	-18	-18	-30	-30	-30	-30
<b>Mean Nominal Strength (MPa)</b>	6.3	5.7	5.9	6.0	4.8	5.9	6.2	5.3

**Table 4.** p-value for the factorial analysis

<b>ID</b>	<b>Conditioning Time</b>	<b>Loading Rate</b>	<b>Temperature</b>
D	0.85	<b>0.01</b>	<b>0.01</b>
E	0.93	0.85	<b>0.00</b>
K	0.84	0.56	0.15

Results show that only the main factors are statistically significant. Temperature affects the strength of mixtures D and E (the lower the temperature, the lower the strength) and loading rate affects the strength of mixture D (the higher the rate, the higher the strength). Conditioning time does not affect the mean flexural strength of the material.

## 4 Comparison between BBS, IDT, and DT Mixture Strength Tests

### 4.1 Size Effect Approach

In order to compare equivalent strength values, obtained through different types of tests and for samples having different volumes, the statistical size effect caused by the randomness of material strength and the equivalency between the three point bending test and the tension test have to be considered. . The statistical size effect theory was initially introduced by Weibull in 1939 and is based on the model of a chain [5]. The failure load of a chain is determined by its weakest link. The longer the chain is, the smaller the strength value that is likely to be observed in the chain is. Weibull described this strength behavior using a special form of extreme value distribution, later named Weibull distribution in his honor [5]. This results in a definite relationship between mechanical load and the failure probability of the part if the distribution parameters are known. Using a level of strength at which

the failure probability becomes 63.2% ( $\sigma_0$ ), the Weibull modulus ( $m$ ) becomes a measure of the distribution of strengths. The failure probability  $P_f$  is calculated as:

$$P_f = 1 - \exp[-(\sigma / \sigma_0)^m] \quad (4)$$

where  $\sigma_0$  is a scale parameter,  $\sigma$  is the structure stress, and  $m$  is the Weibull modulus (shape parameter).

Since the number of possible material defects is dependent on the volume of the part, the volume under load must be taken into account. The strength of large parts is thus smaller than what is measured on test samples. Using Weibull statistics, a relationship between strength obtained from the same material on specimen with different volume can be obtained:

$$\sigma_{structure} = \sigma_{test-specimen} \cdot (V_{test-specimen} / V_{structure})^{1/m} \quad (5)$$

When specimens with different geometry are used, an equivalence formulation can be determined based on equation (4) and on an adimensionalized expression of imposed stress  $s(x)$ , where  $x$  refers to the coordinate system:

$$P_f = 1 - \exp\left\{-\left[\int_V [s(x)]^m dV(x)\right] (\sigma_N / \sigma_0)^m\right\} \quad (6)$$

$\sigma_N$  is the nominal strength and  $V$  is the effective volume of the specimen.

In case of a beam subject to three-point bending, equation (3) can be substituted into equation (6) and the integral can be solved over the volume of the beam subject to tensile stress (effective volume). For a uniform tension case, such as direct tension tests equation (6) can be further simplified as:

$$P_f = 1 - \exp\left[-\frac{V}{V_0} \left(\frac{\sigma}{\sigma_0}\right)^m\right] \quad (7)$$

$V_0$  is a reference volume and  $V$  is the volume of the tested specimen. By equating the arguments inside the exponential function of equation (6) and (7) and subsequently introducing the volume correction given by equation (5), it is possible to relate the mean nominal flexural strength  $\sigma_N^B$  obtained from a three-point bending configuration with a given volume  $V_B$  to the mean nominal tensile strength  $\sigma_N^U$  measured from direct tension test with a different volume  $V_U$ :

$$\frac{\sigma_N^B}{\sigma_N^U} = \left[4 \cdot (1+m)^2\right]^{(1/m)} \left(\frac{V_U}{V_B}\right)^{1/m} \quad (8)$$

## 4.2 BBS Strength Tests for Test Comparison

The remaining eight mixtures of Table 1 (A, B, F, G, H, I, L and M) were tested with BBS at three different temperatures. As a result of the factorial analysis

performed on mixture D, E, and K, only one loading rate of 3N/min, and one conditioning time of 1 hour were considered. Three replicates were tested at each temperature. Analysis of variance (ANOVA) and Tukey HSD comparison showed that temperature is a significant factor affecting the mean strength value, while, overall, the difference in strength between the eight different mixture was not significant except for mixture A and F.

BBS, IDT, and DT strength test results were first directly compared. Then, the size and geometry corrections were considered and the IDT, DT, and BBS specimens were converted to a unitary volume subjected to uniaxial force based on equations (5) and (8) respectively. Figure 3a and 3b show the mean strength values before and after the conversion.

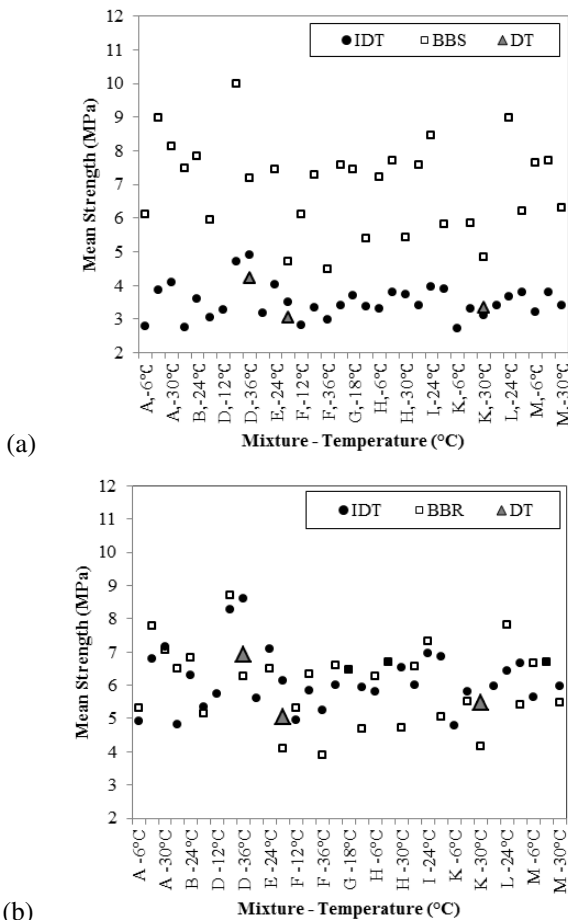


Fig. 3. IDT, BBS, DT strength results (a) before and (b) after corrections

Statistical analysis of the pre and post transformed data was performed using ANOVA. BBS uncorrected strength values are much higher than the IDT and DT strength results. However, after corrections were applied, no significant difference was found between BBS and IDT strength values at a 0.05 significance level. BBS and DT strength values are still statistically different; however, a p-value close to 0.05 was obtained, implying that DT results should be further investigated since only a limited number of replicates and mixtures were used in this study.

## 5 Summary and Conclusions

This paper presents the preliminary work in the development of a modified Bending Beam Rheometer capable of performing strength tests on thin asphalt mixture beams. Eleven mixtures were tested to evaluate the statistical distribution and parameters affecting the BBS strength measurements. Geometry, specimen volume, and testing configuration were considered to compare BBS strength to IDT strength and DT strength using statistics and weakest link theory.

BBS strength results follow a Weibull distribution, typical of quasi-brittle materials. BBS uncorrected strength values were much higher than the IDT and DT strength results. However, after size and geometry corrections were applied, no significant differences were found between BBS and IDT strength from a statistical view point.

A follow up comprehensive analysis that takes into account Representative Volume Element, multiple histogram testing and energetic statistical effect on strength is currently in progress to further validate the concept and extend its application to both laboratory and field samples.

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# Experimental Study of the Precracking

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**Abstract.** Pre-cracking technique allows obtaining a controlled cracking, finer and less evolutive. The idea in reconsidering the design of precracked foundations has grown up with this technique. Studies are being developed, mainly in the field of structural analysis based on finite element methods.

Up today, a reduction in thickness compared to pre-cracked foundation thicknesses obtained without pre-cracking is not allowed and must be considered as experimental.

Tests have been conducted on precracked test boards with and without impregnation layer of cement treated base and construction on site. The execution of the microcrack has been performed three days after the start of implementation (from 48 to 72 hours after the execution of the cement treated base). It was conducted by applying 2 passes with *vibration* and without vibration; after that the state of microcracking has been confirmed.

Microcracking did not occur without vibration and six samples were collected each time (24 and 25 days after execution) for each of the three modes 0, 2 and 4 passes of vibrating compactors.

To confirm the reduction in strength due to microcracking observed on these samples, compression and indirect tensile tests were carried out 28 days after execution.

The results obtained show that, after inclusion of the microcrack, there is no much influence on the compressive strength of cemented treated base. Accordingly, we may consider that the inclusion of the microcrack does not affect the performance of the cemented gravel.

## 1 Introduction

Cement-bound aggregate, regardless of the nature of the binder, lead, during material setting, to shrinkage cracking. As a result of temperature variations and trucks traffic, these cracks cross wearing course layers and can thus cause degradations to surface and pavement structure respectively. The purpose of pre-cracking, during hydraulic material placing, is to develop transversal discontinuities in order, in the manner of concrete pavements, to introduce a discontinuity in the cement- bounded aggregate to be subsequently transformed into fine cracks. This reveals on the material surface a preferential crack path to prevent uncontrolled spread. The objective is to reduce the severity of the cracks rising up to the surface of the coated material so that it will require little or no maintenance.



## 2 Evolution of Cracks Up to the Surface Layers

The propagation of a crack within the wearing course of a pavement whose base layer is cracked may result basically either from:

- interface peeling off (horizontal propagation)
- or vertical propagation as an extension to the existing crack

This alternative is governed by the ratio of the effort, causing the crack propagation in a given direction, to the resistance to this propagation, opposed by the material.

## 3 Pre-cracking

Pre-cracking consists of causing shrinkage crack within the base at a desired place. It can be seen that, by causing the crack every two or three meters, the cracks rising up to the surface of the road pavement is straight and that can obviously facilitate a possible maintenance.

The second observation which can be, involves the evolution of cracks risen up to the surface. They always correspond to a pre-cracking of the base, and on the other hand, they are fine and their evolution is much less damaging than a natural crack.

Three pre-cracking techniques have been developed by French road companies. Basically these are the pre-cracking CRAFT process (Automatic Creation of transverse cracks) and , the pre- cracking JOINTS ASSESTS process and the pre-cracking OLIVIA process.

With these three pre-cracking techniques that permit obtaining a controlled cracking, finer and less progressive, the idea arose in order to reconsider the design of pre-cracked road foundations.

## 4 Micro Cracking Results

### 4.1 Introduction

To confirm the effects of micro-cracking, tests on drilled cores were made at different locations with and without emulsion impregnation.

Micro-cracking is performed three days after starting the implementation (from 48 to 72 hours after the achievement of cement treated base 3 (GC3). Micro cracking was carried out by applying 2 passes of roller with and without vibration respectively and following this, the state of micro-cracking has been reached and confirmed.

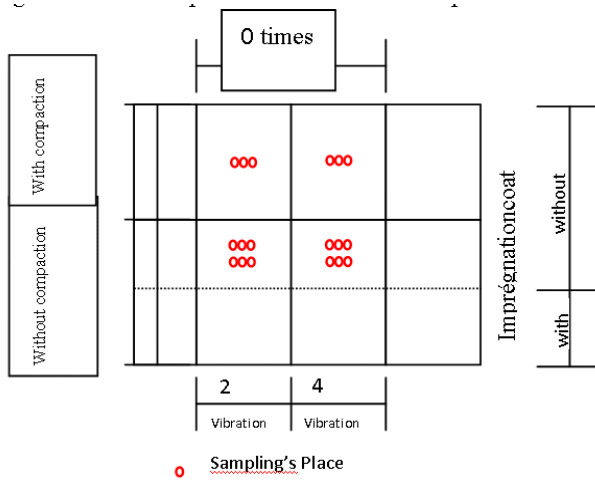
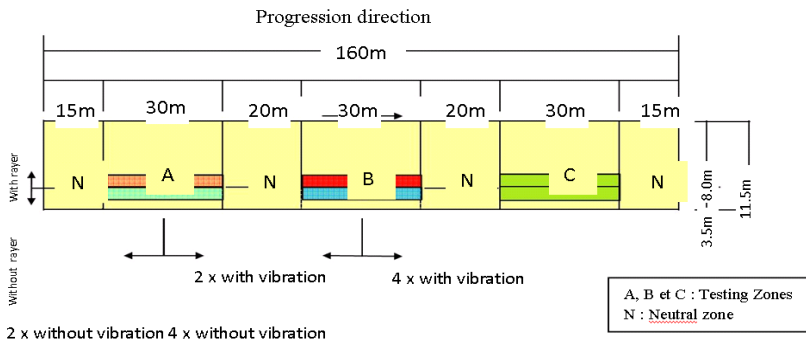


Fig. 1. Position of drilled core samples



- Test Procedure on each area A and B respectively
- without impregnation layer \*: twice -> 4 times, with no vibration
  - with impregnation layer \*: twice > 4 times, with no vibration
  - without impregnation layer \*: twice -> 4 times s, with vibration
  - with impregnation layer \*: twice > 4 times, with vibration
  - Reserved Area

Fig. 2. Implementation plan of micro-cracking

It has been noted that no micro crack occurred without vibration. Six samples were collected each time (24 and 25 days after of test section) for each of the three modes 0, 2 and 4 passages of vibrating rollers. Compressive and indirect tensile tests were carried out at 28 din strength due to the observed micro cracking on the considered samples. Figure 1 shows the position of the drilled samples. Figure 2 shows the overall plan for the achievement of micro cracking. It highlights the areas with or without impregnation in order to compare them later.

### 4.2 Test Sections Results

#### 4.2.1 State of the Surface Cracks after Applying Compaction with Vibration

Following compaction and vibration, micro-cracks appear on the surface. Figures 3 and 4 show their location and length according to the number of vibrations roller passages (2 or 4).

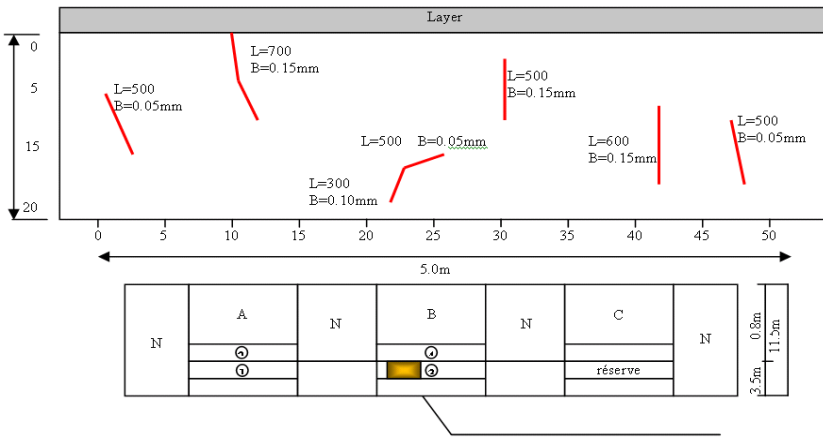


Fig. 3. State of cracks on the surface after compaction with vibration

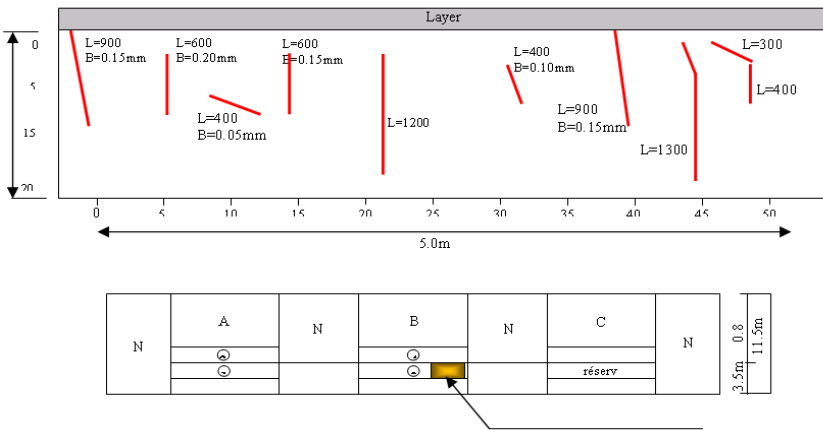


Fig. 4. State of cracks on the surface after compaction twice vibration (4 times)

Cores have been drilled as indicated and situated on Figure 5. Compressive and tensile test were carried out on these specimens.



**Fig. 5.** Location of cores in the test section

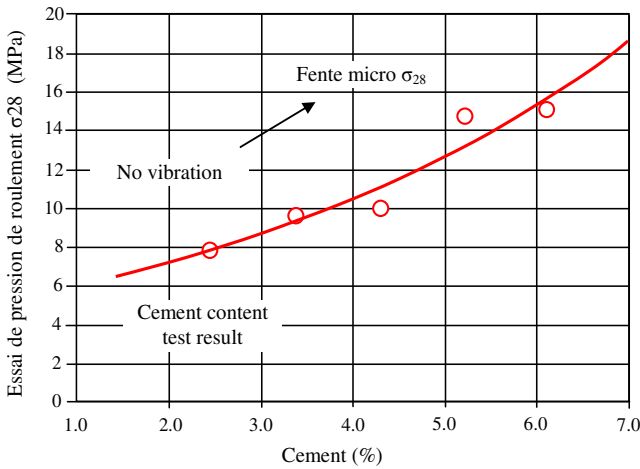
#### 4.2.2 Compression Results of the Cement Treated Base 3 (GC3)

The compressive test results obtained according to the implementation of the test section are presented in Table 1 and Figure 6.

**Table 1.** Compression test results

Standard reference: EN 14227-1 Paragraph 6.5

Weight percentage of cement (%)	Compared to the weight of the mixture			3,75
	Based on the aggregates weight			(3,90)
Frequency of rolling compaction	Vibration	0	twice	4 times
Compressive strength test $\sigma_{28}$	number.1	13,65	14,70	16,27
	number.2	12,77	14,04	14,15
	number.3	13,86	13,29	12,08
	Mean of three	13,76	14,00	14,18
	Mean+20%	16,11	16,81	17,00
	Mean-20%	10,74	11,21	11,33
	Mean	13,43	14,01	14,17



**Fig. 6.** Diagram of the direct compression test (3.75% Cement)

These results show that the introduction of micro-crack does not have much influence on the compressive strength of cement bound aggregate. Indeed, one can say that the strength is almost the same. Accordingly, we may consider that the inclusion of the micro-crack does not affect the performance of the GC3.

### 4.2.3 Results of Indirect Tension

The features provided by this test are:

1. Tensile force:  $R_{t360}$  = more than 1.15 MPa
2. Tensile force:  $R_{t28}$  = 0.69 MPa over ( $R_{t360} = 1.15 \times 0.6$ )
3. Indirect tensile force:  $R_{it28}$  = more of 0.86 MPa ( $R_{t28} = 0.69/0.8$ ).

The results of the indirect tensile stress by varying the frequency of vibrations are given in Table 2 and Figure 7.

**Table 2.** Indirect tensile test results

Weight percentage of cement (%)	Compared to the weight of the mixture			3,75
	Based on the aggregates weight			(3,90)
Frequency of rolling compaction	Vibration	0 time	2 time	4 time
Indirect tension (MPa) $\sigma_{28}$	number.1	1,17	0,78	0,94
	number.2	1,34	1,13	1,12
	number.3	1,51	1,04	1,24
	Mean of three	1,34	1,09	1,09
	Mean+20%	1,61	1,18	1,32
	Mean -20%	1,07	0,79	0,88
	Mean	1,34	0,98	1,10

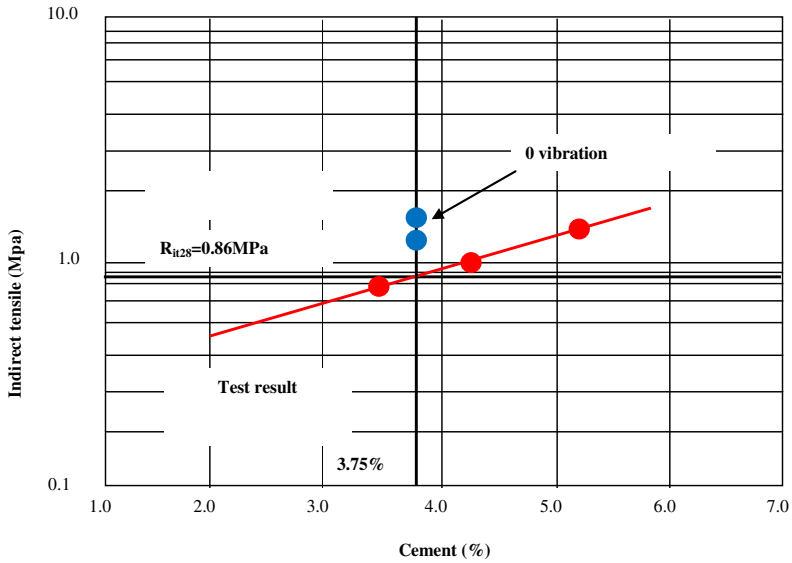


Fig. 7. Diagram of indirect tensile test (MPa) (3.75% Cement)

#### 4.2.4 Results of Mechanical Testing of Micro Cracked Samples

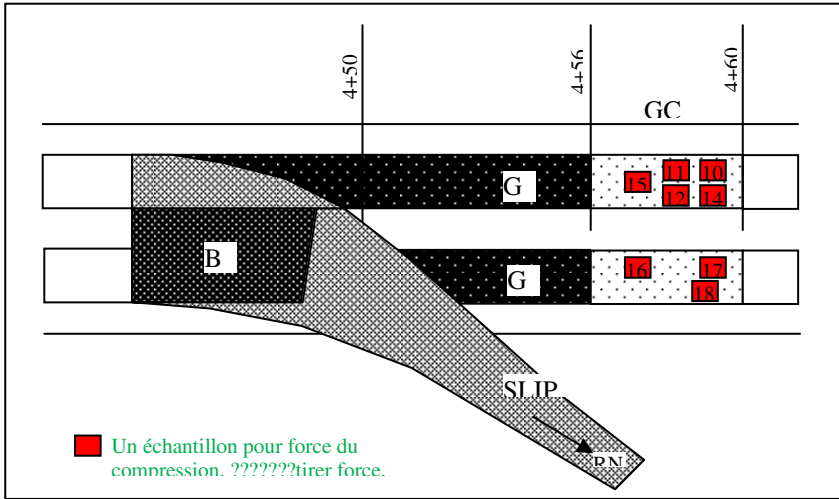
Four passages of mechanical vibrating compacter (20 tones with a low vibration) seemed appropriate according to the observation made when performing the micro-cracking test. Laboratory testing will allow defining with better precision the number of roller passages.

It has been checked that the elastic modulus as well as the indirect tensile strength of the micro-cracked samples met the required value whatever the number of passages. It can be therefore suggested for the obtaining of micro cracks, 4 passes with a vibrating roller 20t with low vibration. GC3 formulation Tests were performed according to the roadway LCPC-SETRA and AFNOR Standards. However, it appears that test results obtained on drilled cores are much higher than required by these Standards, suggesting that in general, the values required have been prescribed with a wide safety margin compared to those obtained on road construction site. It has been checked that the strength obtained on the drilled cores samples is higher than that required for design. It seems therefore convenient that only the in-situ-density control will be performed though quality control.

### 4.3 In Situ Test Results

Direct compressive and tensile tests were performed as well as for the test section in order to validate them. Figure 8 shows the location of cores.

It seems therefore convenient that only the in-situ density control will be performed through quality control.



**Fig. 8.** Location of core samples

Tensile test results (stress and elastic modulus) are given in Table 3.

**Table 3.** Direct and indirect test results

Sample number	Test	Rit(MPa)	E(MPa)
C-10	Direct		25177
C-12	Indirect	1.20	
C-11	Indirect	0.92	
C-14	Indirect	1.24	
C-15	Direct		19893
C-16	Direct		16832
C-17	Direct		24819
C-18	Indirect	1.19	
Mean		1.14	21680.25
Mean+ 20%		1.37	26016.30
Mean – 20%		0.91	17344.20

Compressive and tensile tests results obtained on GC3 cores are summarized in Table 4.

**Table 4.** Compressive and tensile tests Results on the GC3 cores

NO	Number core cement	Diameter of core			thickness of core					Weight before test	type of test	Final result
		1	2	Average	1	2	3	4	Average			
1	C-10	147,0	147,1	147,1	125,0	124,5	125,2	128,5	125,3	4916	Direct Comp.	242,90
2	C-12	145,2	145,8	145,5	127,2	126,0	125,9	125,2	126,1	5011	Indirect Tens.	34,44
3	C-11	146,0	146,3	148,2	131,7	130,8	130,2	130,6	130,8	5138	Indirect Tens.	27,49
4	C-14	148,0	147,0	147,5	126,8	126,0	126,0	126,0	126,2	5095	Indirect Tens.	36,25
5	C-15	146,0	145,9	146,0	125,2	125,0	125,0	125,0	125,1	4933	Direct Comp.	265,56
6	C-16	148,0	145,9	146,0	128,0	128,3	128,2	127,9	128,1	5044	Direct Comp.	272,81
7	C-17	146,8	146,8	146,8	126,5	125,2	126,0	126,8	126,1	4936	Direct Comp.	214,80
8	C-18	148,2	148,0	146,1	128,2	128,2	129,0	128,0	128,4	5142	Indirect Tens.	35,05

Cement content: 3.75%

Water content: 5.10%.

The results obtained on site are compliant to the standards and validate the test section results. It can be noted that even in site conditions which generally are not the same as those of test sections (because all the precautions to reach better performance are taken with test sections whereas on site there are always anomalies related to the quantity of treated base material used ), the cement treated base always shows an improved strength even with the application of the technique of micro-cracking.

## 5 Conclusion

Micro-cracks are fine and their evolution is much less damaging than a natural crack and does not affect the strength of the cement treated base.

The results obtained with pre-cracking technique which allows obtaining a controlled cracking, finer and less progressive, especially after the protection of cement treated base with an emulsion impregnation layer, show that it permits retaining the resistance of the cement treated base and increases the lifetime and durability of the road ensuring a high load bearing applied mainly by the heavy weight trucks.



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# Comparison between 2PB and 4PB Methodologies Based on the Dissipated Energy Approach

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**Abstract.** Two and four point bending tests are among the most common methodologies adopted in Europe and United States for the fatigue characterization of asphalt mixes. Both tests tend to simulate the flexural stresses generated by traffic applying uniaxial rather than triaxial loading. The main differences between these procedures are: the direction of load application, the constraints and the volume of material subjected to fatigue.

In this study, based on Fatigue data, the Elletipi horizontal two point bending (2PB) and a traditional four point bending (4PB) results are compared. The peculiarity of the 2PB flexural device is the horizontal position of the trapezoidal specimen during the test.

All tests were performed in strain controlled conditions at different temperatures. The research focuses on the influence of the loading waveform by comparing the effects of sinusoidal and haversine loads of equivalent strain amplitudes. The Ratio of Dissipated Energy Change (RDEC) approach, based on the energy balance classical theory, was the application of choice for the analysis of results. Finally, the influence of specimen volume on fatigue resistance was assessed performing horizontal 2PB tests on trapezoidal specimens of different thickness.

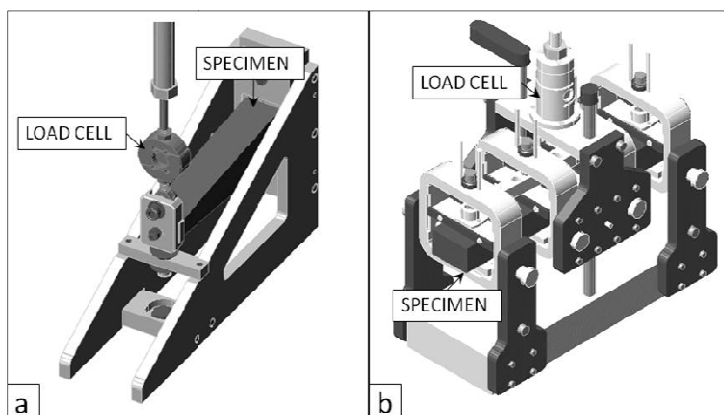
## 1 Introduction

Beam fatigue (4PB) and classical trapezoidal fatigue (2PB) simulate the flexural stress pattern found in situ, but apply uniaxial rather than triaxial stresses. Loading can be in either the controlled-stress or the controlled-strain mode to better simulate the range of conditions encountered in real pavements. Testing equipment measures the stiffness, phase angle, cycles to failure and dissipated energy.

In terms of differences, beam fatigue under third-point loading over trapezoidal fatigue has a larger portion of the specimen subjected to a uniform maximum stress level. Thus the likelihood is greater in beam testing that test results will reflect the weaknesses that naturally occur in asphalt-aggregate mixes [1]. Differently from a traditional 2PB equipment, the horizontal device here presented is vertically loaded and a 25 kN UTM loading frame can be used to run it. The 2PB specimen is therefore bended in the same direction as the 4PB one (Figure 1).

The main purpose of this research step is to compare the 4PB and the Elletipi 2PB test results using the Ratio of Dissipated Energy Change approach. Included variables, other than applied strain and temperature, are the load waveform and the specimen thickness.

The Elletipi horizontal 2PB was designed by the Elletipi pavement laboratory. The negligible influence of the test configuration on the stress and strain conditions was verified by FEM analysis.



**Fig. 1.** Elletipi 2PB device (a), 4PB device (b)

## 2 The Ratio of Dissipated Energy Approach

The fatigue behaviour of asphalt mixes could be studied applying different methods and failure criteria. Above the first, the dissipated energy approaches provide a rational explanation of this critical phenomenon. In general, the energy dissipated in a testing cycle depends on the energy dissipated in the previous cycles and, consequently, on the material physical conditions. The relative amount of energy dissipation created by each additional load cycle will produce crack extension, plastic deformation and thermal energy [2].

The Ratio of Dissipated Energy Change (RDEC) approach sets forth the fatigue damage eliminating the dissipated energy that does not produce crack extension [3]. During cyclic fatigue loading, the viscoelastic bituminous mixes traces different paths for the unloading and loading cycles and creates an hysteresis loop. In a strain controlled test, the area inside the loop, the Dissipated Energy (DE), is calculated using equation (1):

$$DE_i = \pi \sigma_i \varepsilon_0 \sin(\delta_i) \quad (1)$$

where  $\varepsilon_0$  is the strain amplitude,  $\sigma_i$  is the stress amplitude and  $\delta_i$  is the phase angle. The DE for each load cycle,  $i$ , is the sum of the viscoelastic energy dissipation,  $E_i^n$ ,

and the energy dissipation due to the damage propagation,  $E_1^\xi$ . Ghuzlan and Carpenter [2, 3] defined that the material failure is imminent when the magnitude of the dissipated energy, between consecutive cycles, undergoes a significant increase. To quantify the change in dissipated energy they introduced the Ratio of Dissipated Energy Change (RDEC) calculated as follows (2) after Bhasin [4]:

$$RDEC_a = \frac{|DE_a - DE_b|}{DE_a \times (b-a)} = \frac{|E_a^\xi + E^\eta - E_b^\xi - E^\eta|}{(E_a^\xi + E^\eta) \times (b-a)} \approx \frac{|E_a^\xi - E_b^\xi|}{(b-a)} \frac{1}{E^\eta} = \frac{\Delta E^\xi}{E^\eta} \quad (2)$$

where  $\Delta E^\xi$  is the energy dissipated per cycle due to damage.

The relationship between the RDEC and the number of Load Cycles (LC) is represented by a damage curve which can be divided into three stages. The RDEC value at the 50% stiffness reduction cycle ( $Nf_{50}$ ) is defined as the Plateau Value (PV). The PV is the percent of dissipated energy in a load cycle that is causing actual damage relative to the following load cycle. It can be seen as a fundamental energy parameter to represent the asphalt mixes fatigue behavior because it carries the effects of aggregate, binder properties and loading conditions. The PV can be also calculated with the following equation (3):

$$PV = \frac{1 - \left(1 + \frac{100}{Nf_{50}}\right)^f}{100} = RDEC_{Nf_{50}} \quad (3)$$

where  $f$  is the slope of the regression curve DE-LC (Figure 4) until a 0.5 Stiffness Ratio (SR) value is attained. According to Shen and Carpenter [3, 5], a unique relationship (4) exists between PV and the number of load cycles at failure point ( $Nf_{50}$ ), for different mixes, loading and testing conditions:

$$PV = c(Nf_{50})^d \quad (4)$$

where  $c$  and  $d$  are regression constants.

The uniqueness of the PV- $Nf_{50}$  relationship provides a way to study both Fatigue Endurance Limit (FEL) and healing [2, 6, 7].

### 3 Research Program and Initial Testing

The study here presented is carried out in three phases and involves:

- Asphalt mix design, asphalt compaction and specimen preparation;
- Elletipi 2PB and 4PB fatigue testing;
- Data analysis with the Energetic Approach.

The asphalt mix object of this research, a Warm Mix Asphalt with 6.4% of binder by mass of aggregates, is Field Mixed and Field Compacted (FMFC). The asphalt mix compaction was completed using a set of field molds and the obtained slabs were cut in to specimens of the following dimensions:

- *Beam* (PR) - height 51 mm, width 65 mm, length 380 mm;
- *Trapezoidal* (TR) - major base 56 mm, minor base 25 mm, length 250 mm and height 25 mm.
- *Trapezoidal Big* (TR Big) - major base 56 mm, minor base 25 mm, length 250 mm and height 50 mm.



**Fig. 2.** Field compaction with specific mold

A total of 51 specimens was produced each with 6 cut faces. Table 1 summarizes the air-voids distribution categorized by specimen type, test temperature, and tensile strain level for the fatigue test.

In terms of fatigue test, the *ASTM D7460 Flexural Controlled-Deformation Fatigue Test* method was followed. The trapezoidal specimens (TR) were subjected to 2PB using a sinusoidal waveform at a loading frequency of 10 Hz.

With regard to the prismatic specimens (PR), 18 were tested with an haversine waveform and 9 with a sinusoidal one. As stated by Pronk [8], using an haversine deformation with a peak-peak value of  $200 \mu\epsilon$  should render a fatigue life comparable to that obtained applying a sine deformation with amplitude of  $100 \mu\epsilon$ . Therefore, in order to better compare all the fatigue results obtained with both waveforms, the strain level, in the 4th column (Table 1) and after, represents the semi-amplitude of the peak-peak applied strain.

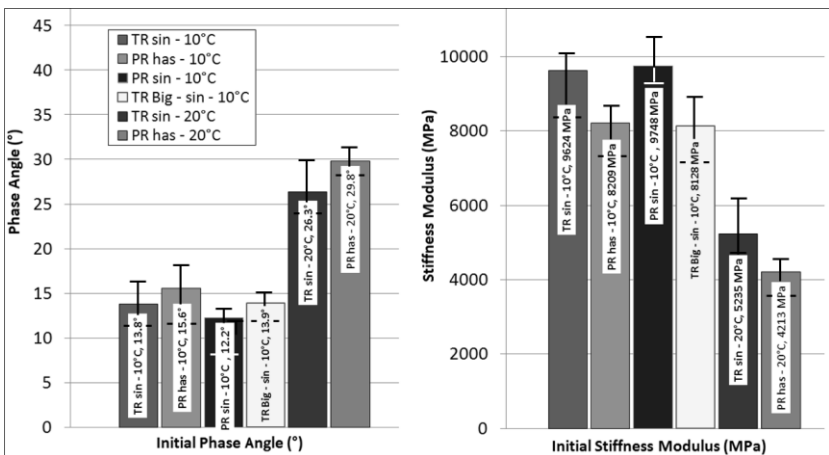
Testing was performed in dry conditions at different strain levels and different temperatures. Failure point ( $N_{f50}$ ) was assumed as the number of loading cycles corresponding to the 50% Initial Stiffness reduction. The  $N_{f50}$  for each specimen not reaching the failure within 5 million of loading was extrapolated using the Weibull Survivor function, as suggested by ASTM D7460 [9].

**Table 1.** Testing configurations and air voids content for the study mix

Specimen type	Number of specimens for sample	Load Waveform	strain level ( $\mu\epsilon$ )	Temperature ( $^{\circ}C$ )	Av. air voids Content (%)
TR	3	sinusoidal (sin)	100	10	6.2 $\pm$ 0.3
TR	3	sinusoidal (sin)	200	10	7.1 $\pm$ 0.2
TR	3	sinusoidal (sin)	400	10	7.5 $\pm$ 0.1
TR	3	sinusoidal (sin)	100	20	6.9 $\pm$ 0.3
TR	3	sinusoidal (sin)	200	20	6.3 $\pm$ 0.4
TR	3	sinusoidal (sin)	400	20	6.4 $\pm$ 0.1
TR Big	3	sinusoidal (sin)	200	10	8.0 $\pm$ 0.3
TR Big	3	sinusoidal (sin)	400	10	7.7 $\pm$ 0.4
PR	3	haversine (has)	100	10	6.6 $\pm$ 0.2
PR	3	haversine (has)	200	10	6.0 $\pm$ 0.1
PR	3	haversine (has)	100	20	7.3 $\pm$ 0.5
PR	3	haversine (has)	200	20	7.5 $\pm$ 0.3
PR	3	haversine (has)	100	30	6.8 $\pm$ 0.1
PR	3	haversine (has)	200	30	6.9 $\pm$ 0.2
PR	3	sinusoidal (sin)	200	10	7.7 $\pm$ 0.4
PR	3	sinusoidal (sin)	300	10	7.7 $\pm$ 0.3
PR	3	sinusoidal (sin)	400	10	6.5 $\pm$ 0.1

Figure 3 illustrates the Initial (after 100 cycles) Stiffness and Phase Angle comparison at various strain levels, temperatures, and fatigue test types. The following observations were made:

- Initial Stiffness and Phase Angle appear to be generally independent from the flexural test used; besides, the air voids seem to affect these initial characteristics (Table 1);
- the influence of testing temperature is similar on both test types;
- the variability of results is of the same magnitude for both test types.



**Fig. 3.** Summary boxplots of Initial Phase Angle and Stiffness

## 4 Dissipated Energy Evaluation

### 4.1 Comparison of Dissipated Energy Curves

The DE is connected with the test conditions, materials properties and damage level. In particular, the phase angle and the stress amplitude are the basic variables.

With regard to the study mix and testing methods, Figures 4 and 5 summarize the DE curves respectively of 4PB and Elletipi 2PB fatigue tests.

The mixture, in the same test conditions, exhibits similar DE curves and fatigue behavior with both flexural tests. For example, the 4PB tests at 400  $\mu\epsilon$  and 10°C, show an Initial DE and a  $N_{f50}$  similar to those obtained with the corresponding 2PB tests. All test results show that fatigue resistance is highly related to the Dissipated Energy curves. Independently from the test conditions, at lower Initial DEs correspond longer fatigue lives; this should be taken into account when large testing programs are to be completed. Furthermore, temperature affects the Initial DE and curve shape as well as the failure point.

Figures 4 and 5 denote the existence of an Initial DE value for which the specimens do not seem to be affected by fatigue damage. In fact with both fatigue methods and at any temperature, specimen with Initial DE below 0.08 kPa do not reduce their level of dissipated energy per cycle for all the duration of the test. This asphalt mixture behavior could be highly related with the Fatigue Endurance Limit concept [3, 5, 7] and with the existence of an energy level that the mix is capable to dissipate without being affected by damage and below which the material shows an extraordinary long fatigue life. 4PB and Elletipi 2PB tests describe this characteristics with comparable straight plots.

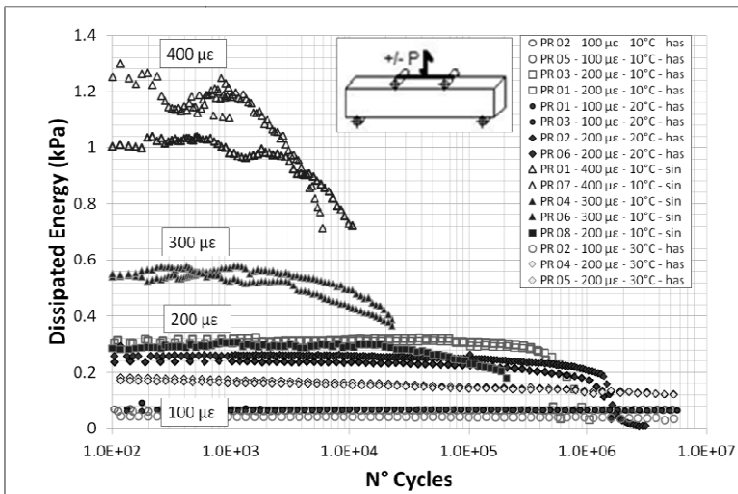


Fig. 4. DE curves 4PB test

On the basis of previous considerations, the Initial DE is strongly related with the number of load applications at 50% Stiffness reduction (Figure 6). In particular, all the measured  $Nf_{50}$  describe a specific trend at each temperature: higher temperatures, tests last longer.

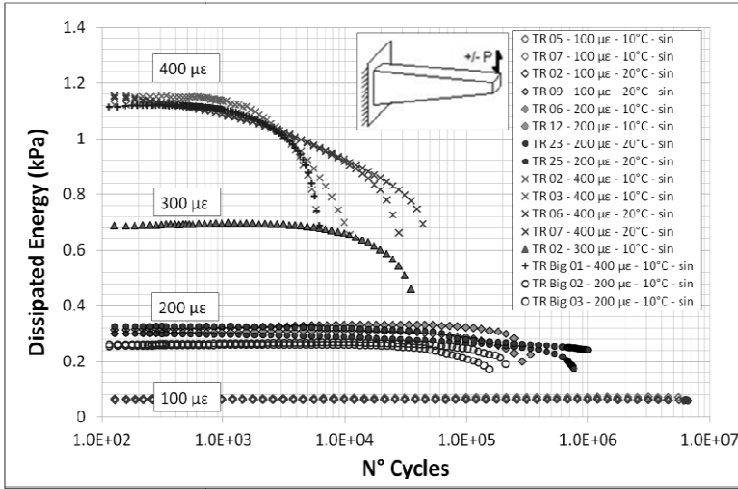


Fig. 5. DE curves Elletipi 2PB test

The thicker Trapezoidal specimen (TR Big) performed like the normal one (TR) for both tested strain levels. Thus, at 10°C, the specimen thickness is not affecting the Elletipi 2PB fatigue behavior. Comparing PR tests at 10°C, even the differences, in terms of fatigue results, between haversine and sinusoidal load waveform are not so relevant. This is confirming what stated by Pronk [10].

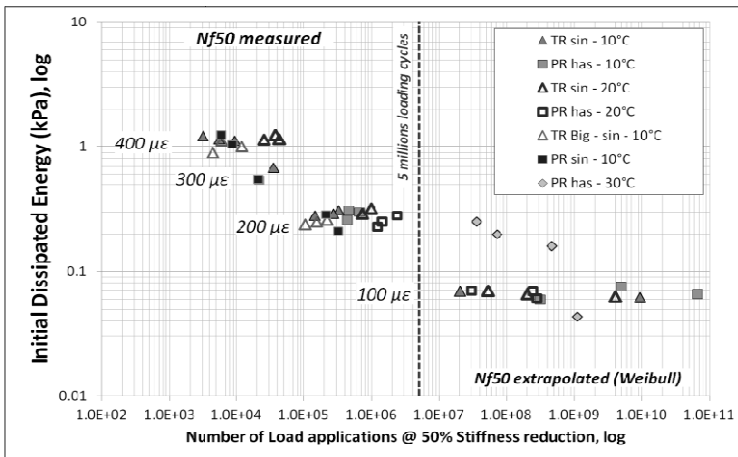


Fig. 6. Initial DE vs Number of Load applications at 50% Stiffness reduction



## 4.2 Ratio of Dissipated Energy Approach Application

The PV for each test was calculated by means of equation (3). The  $Nf_{50}$  for the incomplete fatigue tests and the slope  $f$  of the DE curve were calculated respectively with the Weibull Survivor Function and the Power Model [2, 7]. The results are plotted in Figure 7.

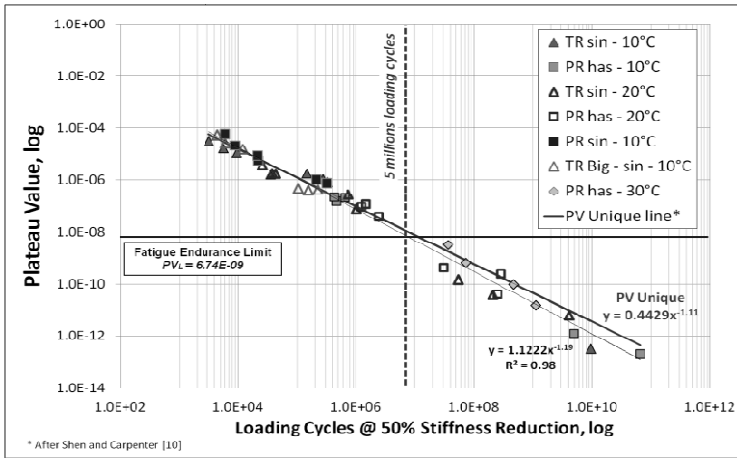


Fig. 7. PV- $Nf_{50}$  for the study mix in all testing conditions

Upon analyzing the results, it is possible to infer the following:

- using the PV- $Nf_{50}$  relation suggested by Carpenter and Shen [2, 3, 7], the PVs obtained from the two flexural fatigue tests are on the same trend line. In addition the difference between the Unique PV line and the trend obtained from the tests is higher where the  $Nf_{50}$  were estimated with the Weibull function (FEL conditions);
- all the PVs obtained at  $100 \mu\epsilon$  are below the  $PV_L$  line defined by Carpenter [2] and the corresponding Initial DE is lower than 0.08 kPa and approximately constant during the tests.
- $100 \mu\epsilon$  could be considered a condition of Endurance Limit for the study mix.

## 5 Conclusions and Recommendations

The Elletipi 2PB device and its loading configuration can be considered a reliable fatigue testing equipment and it provides results comparable to those obtained by a traditional 4PB system. In 1998, Pronk [10] has shown the existence of a difference in lifetime between traditional 2PB and 4PB: the first test recording the shortest life. With the Elletipi horizontal 2PB, this distinction is not so remarkable.

The effect of the specimen thickness, comparing the TR with the TR Big at  $10^\circ\text{C}$ , is not considerable. In fact the failure points reached during the test with

both strain levels (200 and 400  $\mu\epsilon$ ) are similar and the spread of the failure points seems more connected with the variability in air voids content than with the increase in thickness.

As for the Dissipated Energy approach, the  $N_{f50}$  is evidently related with the Initial DE of an asphalt mixture and this correlation does not sensibly change from Elletipi 2PB to 4PB. In addition the tested asphalt mixture exhibits a *DE limit* below which no significant damage occurs. When the Initial DE is above the *DE limit*, the fatigue resistance of the mix can be modeled by a traditional fatigue law: this permits to estimate the failure points even within the very first testing cycles. When it is below, the failure is more related to the mix anisotropic characteristics and healing capabilities, hence difficult to preview. This *DE limit* could be considered a material property related to the Fatigue Endurance Limit of the mix itself. With the RDEC approach those two different performances can be explained for both tests with an individual fatigue curve.

This work confirms the validity of the Energetic Approach application for studying the fatigue resistance of asphalt mixes.

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# Evaluation of Thermal Stresses in Asphalt Layers Incomparison with TSRST Test Results

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**Abstract.** The paper presents the results of calculations and laboratory determination of thermal stresses at low temperatures. The modified Hills and Brien's method was used to calculate the thermal stresses in asphalt layers of pavements and the results were compared against the values obtained at a laboratory with the Thermal Stress Restrained Specimen Test (TSRST) method. The laboratory investigations were conducted using plain grade bitumen, modified bitumen with SBS elastomer modification and multigrade type bitumen. It was found that the type of bitumen binder in asphalt concrete is of significant importance to the value of the calculated thermal stresses. For the cooling rate of 10°C/h the lowest value was obtained for asphalt concrete produced with the use of multigrade type bitumen. This fact can be an indication of a better resistance to low temperature cracking. The thermal stresses were had the highest values for asphalt concrete produced with plain bitumen. A good correlation was obtained between the thermal stresses calculated with the Hills and Brien's procedure and the values of thermal stresses determined with the TSRST method.

## 1 Introduction

Drops of temperature induce thermal stresses in asphalt layers of pavements. These stresses are one of the main problems in behaviour of pavement during winter, especially in countries located in the zone of relatively cold continental climate. As a result, transverse cracks form in asphalt pavement. Thermal stresses are a consequence of changes in the temperature of asphalt layers of pavements and are particularly high when the drop is sudden, resulting in loss of elasticity and increase of stresses in excess of their relaxation capacity. The level of stresses depends on a number of factors related to the properties of asphalt layer and the rate of temperature drop. The purpose of the present research is to determine thermal stresses which may assist in predicting low-temperature cracking. The procedure developed by Hills and Brien [1] was used and the calculated values were compared with the thermal stresses obtained in laboratory conditions with the TSRST method (Thermal Stress Restrained Specimen Test) defined by the AASHTO procedure TP10.

## 2 Calculations of Thermal Stresses

Thermal stresses were calculated with the Hills and Brien's method for the same asphalt mixes for which TSRST fracture temperatures and stresses were

determined under laboratory conditions. The calculations were carried out for 0/16 mm asphalt concrete mixes produced with the use of the following bitumens:

- 50/70 plain bitumen,
- DE 80B modified bitumen,
- 50/70 multigrade bitumen.

## 2.1 The Method of Calculation

The advantage of the Hills and Brien's method, which is based on quasi-elastic solution is the simplicity of thermal stress calculations. However, it has also some weaknesses. These include, without limitation, ignored relaxation of stresses and arbitrarily assumed loading time and temperature gradient. In this method the thermal stresses are calculated with the following relationship:

$$\alpha \Sigma S(t, T) \Delta T < \sigma_x < \frac{1}{1 - \mu} \alpha \Sigma S(t, T) \Delta T \quad (1)$$

where:

- $\sigma_x$  - accumulated thermal stresses for the pre-defined cooling rate  $V_T$ ,
- $\alpha$  - coefficient of thermal contraction, assumed to be independent of temperature variations,
- $S(t, T)$  - stiffness modulus depending on the loading time  $t$  and temperature  $T$ ,
- $\Delta T$  - temperature increment - for calculations assumed  $\Delta T = 2^\circ C$ ,
- $\mu$  - Poisson's ratio.

The term of the left-hand side of the relationship (1) describes the stresses in an infinite viscoelastic bar and the right-hand term - in infinite viscoelastic layer. Finally, taking into account the road surface geometry the thermal stresses were calculated as an arithmetic average of the left-hand and right-hand terms of the formula (1).

According to Yoder and Witzak[2] the Poisson's ratio  $\mu$  for asphalt concrete varies between 0.25 and 0.5 depending on the temperature. At low temperatures it is closer to 0.25 and grows with the increase of temperature. For analysing the thermal stresses at temperatures lower than  $+6^\circ C$  the Poisson's ratio has been taken at a constant value of 0.25.

### Other input assumptions:

1. At  $+6^\circ C$  the asphalt layers are free from thermal stresses.
2. The drop of temperature below  $+6^\circ C$  is linear in time (as in TSRST).
3. Cooling rate has been taken at  $V_T = 10^\circ C/h$ , which corresponds to the cooling rate during laboratory testing with the TSRST method.
4. The thermal contraction coefficient  $\alpha$  for asphalt concrete has been taken at:  $\alpha = 2.2 \times 10^{-5} 1/^\circ C$ .

### Modified method for determination of the stiffness modulus of asphalt concrete

In the original Hills and Brien's [1] method of calculating thermal stresses the stiffness modulus of asphalt concrete was determined on the basis of the Van der Poel normograph and the relationships developed by Heukelom and Klomp [3]. However, the Hills and Brien's method was modified by the authors in order to obtain more accurate values of the stiffness modulus of asphalt concrete. Its values have been adopted for the respective bitumen grades on the basis of creep curves determined in bending of 50x50x300 mm specimens under constant load. Bending of specimens was carried out under a constant load at the following temperatures:

- 0°C,
- -5°C,
- -10°C,
- -15°C.

Besides the temperature also the loading time is relevant to the stiffness modulus value. In the analysis of thermal stresses the loading time was calculated with the following equation:

$$t = \frac{\Delta T}{V_T} \quad (2)$$

where:

- t - loading time, s
- $\Delta T$  - as in equation (1) – temperature range  $\Delta T = 2^\circ\text{C}$
- $V_T$  - cooling rate,  $^\circ\text{C/h}$

For cooling rate of  $V_T = 10^\circ\text{C/h}$  loading time calculated with equation (2) is  $t = 720\text{s}$ .

The stiffness modulus  $S(t, T)$  was calculated with the following equation:

$$S_{(t, T)} = \frac{\sigma}{\varepsilon_{(t, T)}} \quad (3)$$

where:

- $S_{(t, T)}$  - stiffness modulus depending on the loading time and temperature, MPa
- $\sigma$  - stress determined for each specimen in bending under constant load, MPa
- $\varepsilon_{(t, T)}$  - strain of specimen bent under constant load at a given test temperature  $T$  derived for  $t = 720\text{s}$  loading time.

The values of stiffness modulus determined in creep test for loading time  $t = 720\text{s}$  corresponding to the cooling rate of  $V_T = 10^\circ\text{C/h}$  and at different testing temperatures are given in Table 1.

**Table 1.** The values of stiffness modulus of asphalt concrete for different testing temperatures and bitumens

Temperature during creep test [°C]	Stiffness modulus of asphalt concrete depending on bitumen at $t=720s$ [MPa]:		
	50/70 plain bitumen	DE 80B SBS-modified bitumen	50/70 multigrade bitumen
0	1509	825	484
-5	2101	1325	1015
-10	4161	2781	1524
-15	8263	2437	2126
-20	13725	4474	3776

The values of the stiffness modulus at intermediate temperatures and temperatures lower than  $-15^{\circ}\text{C}$  (reaching down to  $-20^{\circ}\text{C}$ ) and higher than  $0^{\circ}\text{C}$  (up to max.  $+4^{\circ}\text{C}$ ) were determined by interpolation and extrapolation of laboratory results obtained for the temperature range between  $0^{\circ}\text{C}$  and  $-15^{\circ}\text{C}$ . This procedure was used to determine the values of stiffness modulus for each type of bitumen at  $2^{\circ}\text{C}$  increments within the temperature range between  $+4^{\circ}\text{C}$  and  $-20^{\circ}\text{C}$ .

## 2.2 Results of Calculations

The calculated thermal stresses are presented in Table 2 and in Fig. 1.

**Table 2.** Calculated thermal stresses for cooling rate of  $V_T=10^{\circ}\text{C/h}$ 

Temperature [°C]	Calculated thermal stresses: $\sigma=\Sigma\Delta\sigma$ [MPa]:		
	50/70 plain bitumen	DE 80B SBS modified bitumen	50/70 multigrade bitumen
+6	0,000	0,000	0,000
+4	0,044	0,034	0,019
+2	0,099	0,074	0,042
0	0,169	0,120	0,070
-2	0,257	0,175	0,104
-4	0,367	0,239	0,145
-6	0,507	0,314	0,194
-8	0,682	0,402	0,255
-10	0,904	0,505	0,328
-12	1,183	0,627	0,418
-14	1,535	0,769	0,526
-16	1,979	0,936	0,657
-18	2,538	1,132	0,817
-20	3,242	1,361	1,011

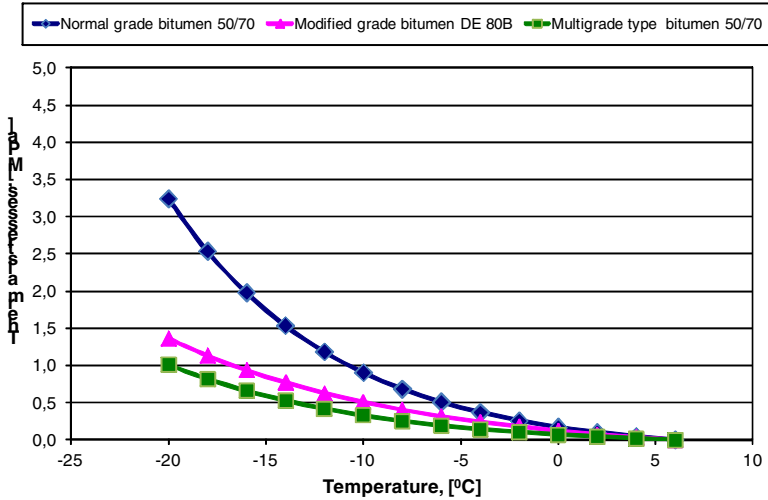


Fig. 1. The effect of bitumen on the calculated thermal stresses for  $V_T=10^\circ\text{C/h}$

The results of calculations show that the type of bitumen is highly relevant to the level of thermal stresses which develop in the asphalt layer. The highest values of thermal stresses were obtained for asphalt layer with plain bitumen of 50/70 grade. Higher values of thermal stresses may indicate greater risk of low-temperature cracking. The lowest values were obtained for asphalt layer with 50/70 multigrade bitumen. The values of thermal stresses for asphalt layer with DE 80B modified bitumen were slightly higher than for 50/70 multigrade bitumen and significantly lower than obtained for asphalt layer with 50/70 plain bitumen.

### 3 Laboratory Method for Determination of Thermal Stresses

#### 3.1 TSRST Test Method

The testing method named Thermal Stress Restrained Specimen Test or TSRST in short [4] is used for determining the resistance to thermal cracking on specimens restrained from contracting and subjected to cooling at a constant rate of  $10^\circ\text{C/h}$ .

The first concepts of the TSRST method were developed by Monismith et al. [5] and applied on wider scale by Arand [6]. The test methodology was developed on the basis of AASHTO TP 10-93 procedure. As a standard solution the tests are carried out with MTS apparatus. The specimens for TSRST test were  $50 \times 50 \times 250$  mm rectangular beams. Circular steel platens were glued to the specimens to enable securing them in the loading frame. Extensometers were attached to three sides of specimens to measure the specimen displacements. The temperature sensor was attached to the fourth side. The above described stand was placed in the temperature chamber and secured in the strength tester frame. The specimen prepared for testing and the whole MTS stand are presented in Fig. 2.

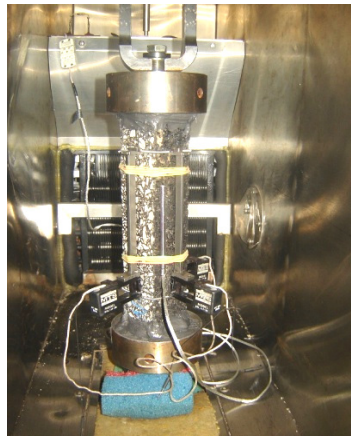


Fig. 2. Specimen ready for testing with TSRST method

### 3.2 Laboratory Test Results

The relationships between the thermal tensile stress and the temperature in TSRST test for asphalt concrete mixes produced with three bitumen types are presented in Figure 3. Each chart presents the average results obtained in testing of two samples.

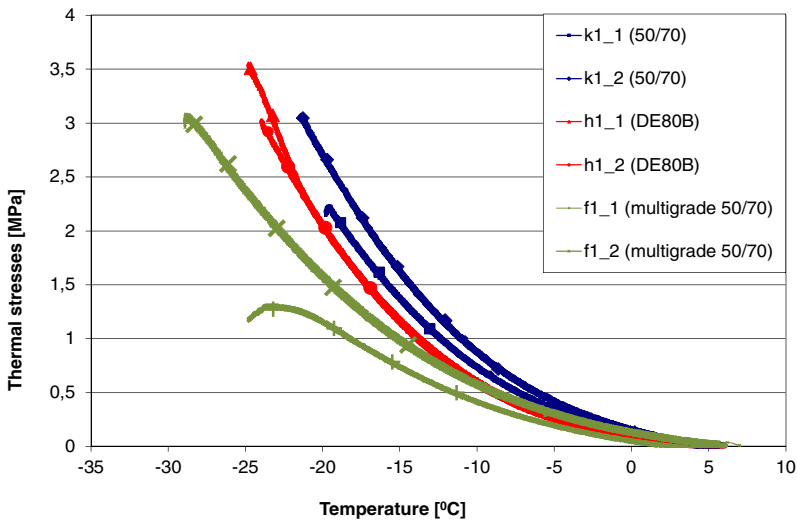


Fig. 3. Thermal tensile stress vs. temperature in TSRST testing of asphalt concrete mixes tested with three bitumen types



### 4 Comparison of Calculated vs. Tested Thermal Stresses

Figures 4 to 6 show thermal stresses calculated with the Hills i Brien’s method for cooling rate of  $V_T=10^{\circ}\text{C}/\text{h}$  compared against the results of laboratory tests with TSRST method at temperature reaching down to  $-20^{\circ}\text{C}$ .

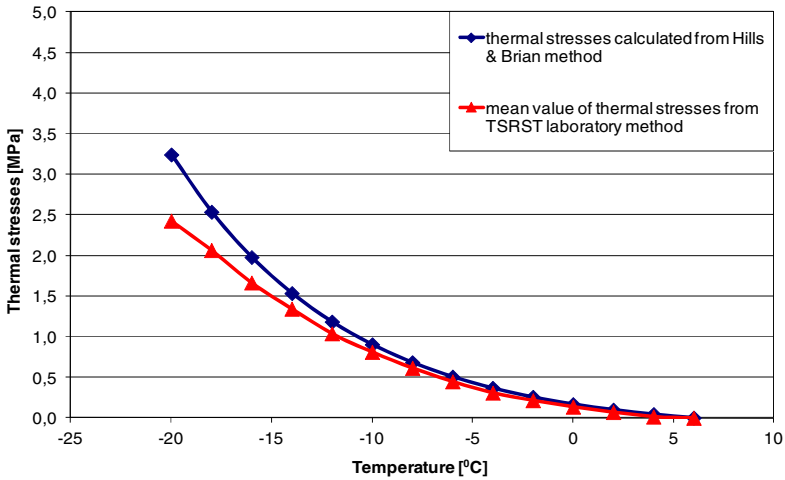


Fig. 4. Calculated vs. laboratory determined values of thermal stresses in asphalt concrete containing 50/70 plain bitumen

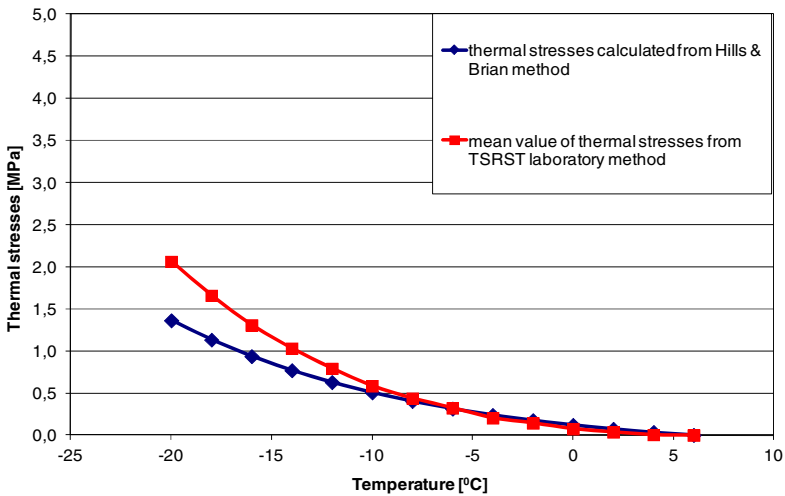
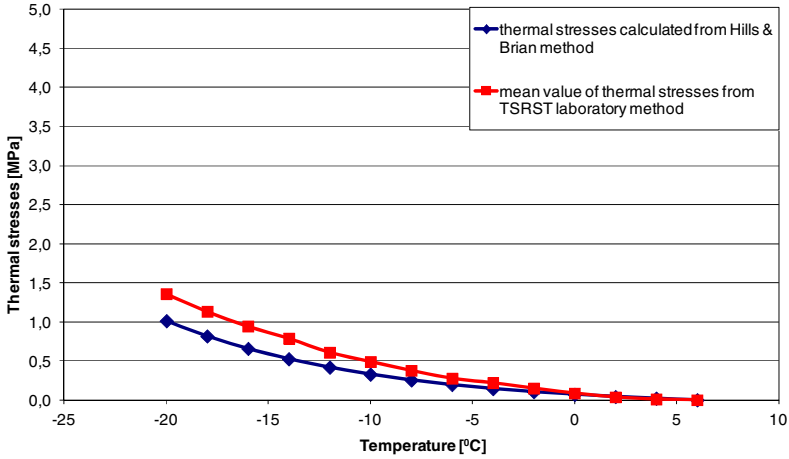


Fig. 5. Calculated vs. laboratory determined values of thermal stresses in asphalt concrete containing DE 80B modified bitumen



**Fig. 6.** Calculated vs. laboratory determined values of thermal stresses in asphalt concrete containing 50/70 multigrade bitumen

The comparison of thermal stresses obtained in TSRST testing were compared against the values calculated with Hills and Brien's method for cooling rate of  $V_T=10^\circ\text{C}/\text{h}$  showed satisfactory level of correspondence between calculated and laboratorymeasured values, especially in the temperature range between  $+6^\circ\text{C}$  and  $+10^\circ\text{C}$ .

## 5 Conclusions

It can be concluded that the Hills and Brien's method is to some degree inaccurate as it ignores the effect of stress relaxation. On the other hand, simple application make it a suitable tool for quick estimation of thermal stresses in asphalt layers of pavements and thus it may be used as one of the tools applied in prediction of low-temperature cracking. The conclusions were more accurate than in the original Hills and Brien's method because data of stiffness modulus of asphalt concretes were taken from laboratory test of creep test at different temperatures. This is supported by the TSRST results which showed a quite satisfactory degree of correspondence between the tested and calculated thermal stresses. The type of bitumen used for mix production had a strong effect on the values of analysed thermal stresses. The lowest level of thermal stresses at fracture of specimens cooled at a rate of  $10^\circ\text{C}/\text{h}$  were obtained for asphalt concrete produced with the use of 50/70 multigrade bitumen.

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# A Four-Point Bending Test for the Bonding Evaluation of Composite Pavement

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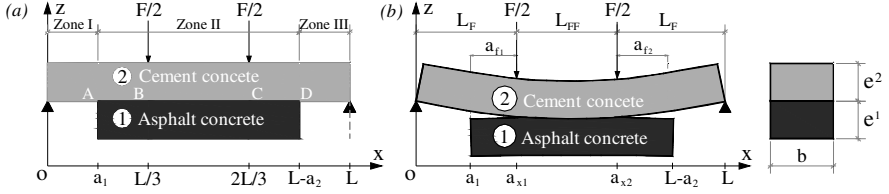
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**Abstract.** The aim of this paper is to present a specific four-point bending test with a specific model to help investigate the crack initiation and propagation at the interface between layers of composite pavements. The influence of the geometry on the delamination phenomenon in specimens is analyzed. Considering the deflection behavior of specimens, both experimental and analytical results are compared. Two different types of interface (concrete / asphalt and asphalt / concrete) are tested in static conditions. Different failure mechanisms whose mainly delamination is observed. The crack mouth opening displacement is monitoring by means of linear variable differential transducer (LVDT). The strain energy release rate is provided and compared successfully to the literature.

## 1 Introduction

Due to shrinkage phenomenon occurred in cement materials, the existing vertical crack through the cement concrete layer combined to environmental and traffic loadings affects the durability of composite pavements made with asphalt and cement materials. Two main problems have to be investigated: i) debonding mechanisms at the interface between two layers; ii) reflective cracking phenomenon through asphalt overlay or corner cracks in concrete overlay. This paper deals with the study of debonding. Previous research works have proposed some experimental devices to characterize the bond strength of asphalt-concrete interface in mode I [1]. But the combined normal and shear stresses near the edge of the layer as the vertical crack usually initiates and propagates the delamination [1]. The optimum design incorporating these variables has not been done yet. Mixed mode test to evaluate the delamination resistance is needed. On site, only few devices [4-5] allow testing the bond strength in mixed-mode. The literature review offers interesting ideas especially those on reinforced concrete beams and on concrete beams strengthened with composite materials [6].

In this paper, we propose to adapt existing four-point bending test (4PB) to bi-material specimens made with asphalt and cement material layers as illustrated in Figure 1. By using a specific elastic model, the influence of the specimen geometry and the material characteristics on internal stresses is presented. Then, experimental program is described and a discussion on static results is given.



**Fig. 1.** (a) Schematic of test configuration, (b) Schematic adapted for calculating strain energy release rate calculation.

## 2 Quasi-analytical Investigation

The Multi-particle Model of Multi-layer Materials with 5 equilibrium equations per layer (M4-5n, n: total number of layers) [2] used to calculate stress and strain energy release rate on the 4PB test (Figure 1.b) is briefly presented. Considering homogenous, elastic and isotropic material assumptions, the specimen design is studied in order to optimize stresses to cause delamination between layers.

### 2.1 Introduction to the M4-5n

The M4-5n has five kinematic fields per layer  $i$  ( $i \in \{1, \dots, n\}$ ): the average plane displacement  $U_\alpha^i(x, y)$ , the average out of plane  $U_3^i(x, y)$  and the average rotations  $\Phi_\alpha^i(x, y)$  ( $\alpha \in \{1, 2\}$ ). Stress field is assumed to be written with polynomial approximation in  $z$  (vertical direction) per layer  $i$  (characterized by  $e^i, E^i, \nu^i$ , its thickness, Young modulus and Poisson ratio parameters). Its coefficients are expressed with the use of the classical Reissner generalized stress fields in  $(x, y)$  per layer  $i$ . These polynomial approximations have the advantage to define the normal stresses  $\nu^{i,i+1}(x, y)$  and the shear stresses  $\tau_\alpha^{i,i+1}(x, y)$  at the interface between  $i$  and  $i+1$  layers. These stress fields are responsible for the delamination between layers at the edge or cracking location points. Hellinger-Reissner's formulation reduces the real 3D problem to the determination of regular plane fields  $(x, y)$  per layer  $i$  and interface  $i, i+1$  (and  $i-1, i$ ). This model can be viewed as superposition of  $n$  Reissner's plates, connected by means of an elastic energy that depends on the interlaminar stress fields [2]. The M4-5n advantage is to give finite value of stresses near the edge or crack permitted to identify easily delamination criteria [3].

In order to simplify the analysis, the 4PB test presented in Figure 1.a is simulated under the assumption of plane strain. Then, the mechanical fields depend only on the variable  $x$ . The problem is divided in three zones (see Figure 1.b). By mean of shear forces  $Q_1^i(x)$  of layers 1 and 2, linking conditions of displacements, forces and moments between zones, the first and last single layer

zone ( $x \in [0, a_1]$  and  $x \in [L - a_2, L]$ ) allow to pass on the support conditions of the beam at the bilayer zone ( $x \in [a_1, L - a_2]$ ). On this central zone (where  $n = 2$ ), different manipulations of M4-5n equations let to put finally into a system of second order differential equations in function of  $x$  only with the form Eqn. (1)

$$AX''(x) + BX(x) = C \quad \text{with} \quad X(x) = \begin{pmatrix} U_1^1(x) \\ \Phi_1^1(x) \\ Q_1^1(x) \\ U_1^2(x) \\ \Phi_1^2(x) \end{pmatrix} \quad (1)$$

where A, B, and C are the analytical matrices functions of geometric parameters, elastic characteristics of material behaviors and loading conditions specified (Figure 1.a). The expression of A, B, and C are given in Eqn. (2-4):

$$A = \begin{pmatrix} -\frac{e^{1^2} E^1}{2(1-v^{1^2})} & \frac{e^{1^2} E^1}{12(1-v^{2^2})} & 0 & 0 & 0 \\ \frac{4}{15} \left( \frac{e^{1^2}}{(1+v^1)} + \frac{e^1 e^2 E^1 (1+v^2)}{E^2 (1-v^{1^2})} \right) & 0 & 0 & 0 & 0 \\ \left( \frac{e^1}{5(1+v^1)} - \frac{e^1 E^1 (1+v^2)}{5E^2 (1-v^{1^2})} \right) & 0 & -\frac{13}{35} \left( \frac{e^1}{E^1} + \frac{e^2}{E^2} \right) & 0 & 0 \\ -\frac{e^1 e^2 E^1}{2(1-v^{1^2})} & 0 & 0 & 0 & \frac{e^{2^2} E^2}{12(1-v^{2^2})} \\ \frac{e^1 E^1}{1-v^{1^2}} & 0 & 0 & \frac{e^2 E^2}{1-v^{2^2}} & 0 \end{pmatrix} \quad (2)$$

$$B = \begin{pmatrix} 0 & 0 & -1 & 0 & 0 \\ -1 & -\frac{e^1}{2} & \left( \frac{1+v^1}{5E^1} - \frac{1+v^2}{5E^2} \right) & 1 & -\frac{e^2}{2} \\ 0 & -1 & \frac{12(1+v^1)}{5e^1 E^1} + \frac{12(1+v^2)}{5e^2 E^2} & 0 & 1 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix}; \quad C = \begin{pmatrix} 0 & F \\ -\frac{1+v^2}{5E^2} \frac{2 \times 1000}{F} \\ \frac{12(1+v^2)}{5e^2 E^2} \frac{2 \times 1000}{F} \\ \frac{F}{2 \times 1000} \\ 0 \end{pmatrix}, \quad \text{if } x \in \left[ a_1, \frac{L}{3} \right] \quad (3)$$

$$C = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}, \quad \text{if } x \in \left[ \frac{L}{3}, \frac{2L}{3} \right]; \quad C = \begin{pmatrix} 0 & F \\ \frac{1+v^2}{5E^2} \frac{2 \times 1000}{F} \\ -\frac{12(1+v^2)}{5e^2 E^2} \frac{2 \times 1000}{F} \\ \frac{F}{2 \times 1000} \\ 0 \end{pmatrix}, \quad \text{if } x \in \left[ \frac{2L}{3}, L - a_2 \right] \quad (4)$$

The shear stresses  $\tau_1^{1,2}(x)$  and normal stresses  $\nu^{1,2}(x)$  of M4-5n at the interface between layer 1 and 2, are obtained analytically in function, respectively, of the unknowns of the system of Eqn. (1) and their derivative by the Eqn. (5) of interface behavior, and the equilibrium equation of shear forces of Eqn. (6). The sum of shear force of layers has to verify the condition as indicating in Eqn. (7).

$$\tau_1^{1,2}(x) = 15E^1E^2 \frac{\left( U_1^2(x) - U_1^1(x) - \frac{e^1}{2}\Phi_1^1(x) - \frac{e^2}{2}\Phi_1^2(x) + \frac{1+v^1}{5E^1}Q_1^1(x) + \frac{1+v^2}{5E^2}Q_1^2(x) \right)}{4(e^1E^2(1+v^1) + e^2E^1(1+v^2))} \quad (5)$$

$$\nu^{1,2}(x) = -Q_1^{1'}(x) \quad (6)$$

$$Q_1^1(x) + Q_1^2(x) = \frac{F}{2 \times 1000} \text{ if } x \in \left[ a_1, \frac{L}{3} \right]; \quad 0 \text{ if } x \in \left[ \frac{L}{3}, \frac{2L}{3} \right]; \quad -\frac{F}{2 \times 1000} \text{ if } x \in \left[ \frac{2L}{3}, L - a_2 \right] \quad (7)$$

Eqn. (8) gives the M4-5n elastic energy  $W_e$ . According to linear elasticity theory for a system under constant applied load, the energy release rate can be expressed as in Eqn. (9) in case of the crack propagation along the interface (Figure 1.b).

$$W_e = \left[ \begin{aligned} & \frac{(1-v^2)}{2e^2E^2} \left( \frac{F}{1000} \right)^2 a_{x1}^3 + \frac{3(1+v^2)a_{x1}}{10e^2E^2} \left( \frac{F}{1000} \right)^2 + \frac{e^1E^1}{2(1-v^1)} \int_{a_{x1}}^{a_{x2}} [U_1^{1'}]^2 dx \\ & + \frac{e^1E^1}{12(1-v^1)^2} \int_{a_{x1}}^{a_{x2}} [\Phi_1^1]^2 dx + \frac{e^2E^2}{2(1-v^2)} \int_{a_{x1}}^{a_{x2}} [U_1^2]^2 dx + \frac{e^2E^2}{12(1-v^2)^2} \int_{a_{x1}}^{a_{x2}} [\Phi_1^2]^2 dx \\ & + \frac{13e^1}{70E^1} \int_{a_{x1}}^{a_{x2}} [Q_1^1]^2 dx + \frac{e^2}{2E^2} \int_{a_{x1}}^{a_{x2}} \left( \frac{(2Q_1^1 + Q_1^2)^2}{4} + \frac{17}{140} (Q_1^2)^2 \right) dx \\ & + \frac{6(1+v^1)}{5e^1E^1} \int_{a_{x1}}^{a_{x2}} [Q_1^1]^2 dx + \frac{6(1+v^2)}{5e^2E^2} \int_{a_{x1}}^{a_{x2}} [Q_1^2]^2 dx + \frac{1}{5} \int_{a_{x1}}^{a_{x2}} \left( \frac{(1+v^1)}{E^1} Q_1^1 + \frac{(1+v^2)}{E^2} Q_1^2 \right) \frac{e^1E^1}{1-v^1} U_1^{1'} dx \\ & + \frac{2}{15} \left( \frac{e^1(1+v^1)}{E^1} + \frac{e^2(1+v^2)}{E^2} \right) \int_{a_{x1}}^{a_{x2}} \left[ \frac{e^1E^1}{1-v^1} U_1^{1'} \right]^2 dx \\ & + \frac{(1-v^2)}{2e^2E^2} \left( \frac{F}{1000} \right)^2 (L - a_{x2})^3 + \frac{3(1+v^2)(L - a_{x2})}{10e^2E^2} \left( \frac{F}{1000} \right)^2 \end{aligned} \right] \quad (8)$$

$$G = \frac{\partial W_e}{\partial A} \quad (9)$$

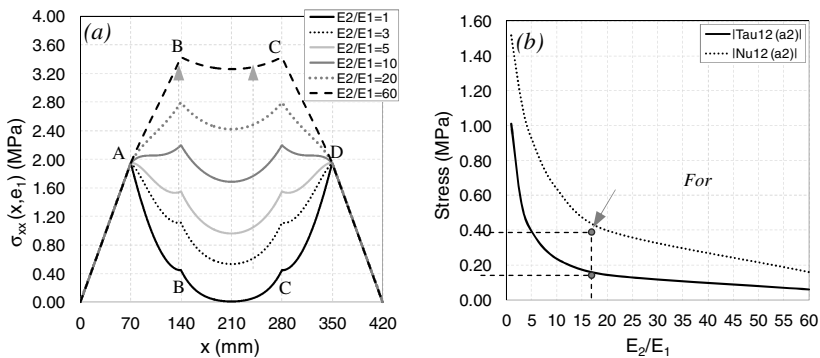
Both the methods of adimensionalisation and numerical resolution of equations by the Newmark finite difference scheme used by Pouteau [4] and Le Corvec [7] are adapted to this test. This method is programmed under the free software Scilab. For a symmetrical case, the excellent convergence of normal and shear stresses at the interface between layers at  $x = a_1$  and  $x = a_2$  is obtained in [8]. It has shown that the discretization of the  $x$  variable into 1200 elementary segments is sufficient. One simulation takes few seconds (CPU time). Interface ruptures are expected in mixed mode (mode I and II). The results have been compared successfully with finite element calculations and different static tests on Alu/PVC structure [8].

## 2.2 Effect of the Specimen Geometry and Material Characteristics on Stress Field

In the following, M4-5n simulations are done on material characteristics presented in Table 1. The total load of 4kN is chosen. The specimen geometry takes into

account the space constraints of the test and heterogeneity of used material (span length 420mm, width 120mm, each layer thickness 60mm). Half of total load is applied at each third of span length.

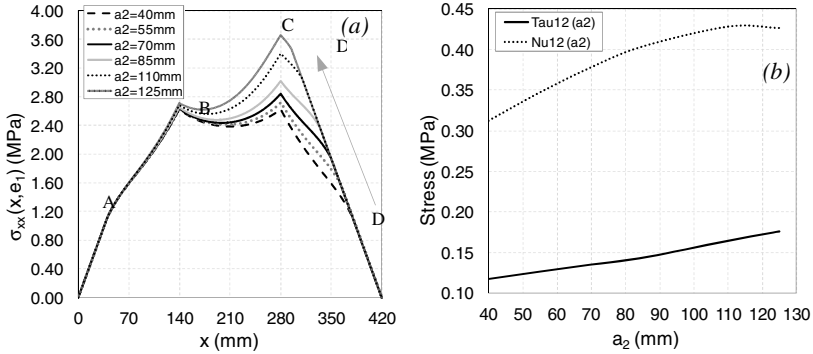
The equivalent elastic modulus of the asphalt material depends of the temperature and the loading speed conditions. Simulations are performed for  $1 < E_2/E_1 < 60$  in a symmetric case  $a_1 = a_2 = 70\text{mm}$ . Figure 2 shows that the more the Young modulus ratio between asphalt material (layer 1) and concrete material (layer 2) decreases, the more the tensile stress intensity at the bottom of layer 2 is maximal at points A and D relative to point B and C, and the more the intensities of normal and shear interface stresses are raised in absolute value at these points. This M4-5n parametric analysis indicates that the tensile stress at the bottom of the concrete layer 2 is in competition with interface stresses depending on the modulus of the asphalt. This variation influences the specimen rupture mode during the test.



**Fig. 2.** Effect of Young modulus ratio between layers: (a) on the tensile stress at the bottom of layer 2 ( $a_1=a_2=70\text{mm}$ ), (b) on the interface normal ( $\nu^{1,2}$ ) and shear ( $\tau^{1,2}$ ) stresses at  $x=a_2=70\text{mm}$

Due to the specimen symmetry of preliminary results presented in [8], delamination can occur first or simultaneously with failure in concrete on either side of the specimen. To reduce the experimental cost for measuring the crack propagation and to get the maximum areas of damage towards one edge only, asymmetric specimens are explored numerically in the following. The length  $a_1$  is fixed to 40mm with respect to the allowable distance from support to the edge of layer 1. For a low asphalt modulus condition, Figure 3 shows M4-5n simulations for a variable  $a_2$  length. In Figure 3.a, the more the length  $a_2$  increases, the more the tensile stress intensity at the bottom of concrete layer 2 is increasing under the loading point C and the more interface normal and shear stresses increase at the edge ( $x = a_2$ ) (Figure 3.b). The parametric analysis confirms that the intensity of interface stresses at the edge ( $x = a_2$ ) is increasing from 20% to 60% compared to those on the other side when the length  $a_2$  is increasing. A compromise is still to be found between the tensile stress at the base of cement concrete layer and the shear stresses as well as the normal stresses at the edge of the interface.





**Fig. 3.** Effect of variation of length  $a_2$  ( $E_1 = 1600\text{MPa}$ ): (a) on the tensile stress at the base of concrete layer, (b) on the normal ( $\nu^{1,2}$ ) and shear ( $\tau^{1,2}$ ) stress at  $x=a_2$

### 3 Experimental Program

In this study, two types of interface were tested; (a) type I – concrete over asphalt known as Ultra Thin Whitetopping (UTW), (b) type II – asphalt bonded with concrete by a tack coat layer. The crack monitoring technique is investigated.

#### 3.1 Test Specimens

In order to allow evaluation of bonding behavior, only one type of asphalt and cement concrete were used for all samples (see Table 1). A semi-coarse bituminous mix with aggregate size 0/10 and bitumen grade 35/50 is used. The cement CEM I 52.5R and the aggregate size 0/11 are used for cement concrete layer. Two types of specimen were made; (a) type I – concrete over asphalt known as Ultra Thin Whitetopping (UTW), (b) type II – asphalt overlay concrete with an intermediate tack coat layer. For type I, the cement concrete layer was cast directly on the prefabricated asphalt slab. For type II, the surface of concrete layer was cleaned by water blasting before tack coat placement. Then, the tack coat was placed on the concrete layer. A classical emulsion (C69 B 4) used for tack coat was kept at 45°C in autoclave. After placing the emulsion with the dosage of 0.4kg/m<sup>2</sup> of residual binder on concrete layer and leaving for 24 hours, the asphalt layer was placed and compacted by means of the plate compactor developed by LCPC. The composite slabs were sawed into a required dimension (see Table 2).

**Table 1.** Material characteristics

Material	E (MPa)	$\nu$	% air void	$R_t$ (MPa)	$R_c$ (MPa)
Cement concrete	34878MPa	0.25	2.57	3.46	47.67
Asphalt concrete	11258 (15°C, 10Hz)	0.35	9.59	-	-

**Table 2.** Dimensions of bilayer specimens and static test conditions (0.7mm/min)

Specimen name	L/e/b/a <sub>1</sub> /a <sub>2</sub> (mm)	L <sub>total</sub> (mm)	Temperature (°C)	Test duration (s)
Type I-PT-3-1	420/60/120/70/70	480	20.0	20.0
Type I-PT-3-2	420/60/120/70/70	480	21.0	18.0
Type I-PT-1-3	420/60/100/70/70	480	20.0	28.0
Type I-PT-3-3	420/60/100/70/70	480	22.0	25.0
Type I-PT-1-1	420/60/120/70/70	480	21.0	17.5
Type I-PT-1-2	420/60/100/70/70	480	4.0	10.5
Type II-PT-1-1	420/60/120/70/70	480	6.0	13.4
Type II-PT-1-3	420/60/100/70/70	480	20.5	12.5
Type II-PT-2-1	420/60/120/40/70	480	22.0	11.0
Type II-PT-2-3	420/60/100/40/70	480	20.5	8.3

### 3.2 Test Setup and Conditions

To avoid any problems with the viscoelasticity and the thermo-susceptibility of asphalt material, the loading points and supports are placed on the concrete layer (Figure 1). The specimen geometry is designed to simulate the maximum stress intensity towards the edges of interface. Testing was performed by a hydraulic press. A linear variable differential transducer (LVDT) placed in the middle height of specimen section at the midspan was employed for measuring the deflection and controlling the imposed displacement test. The 4PB tests were conducted for bilayer specimens for various environmental conditions. During the test, the specimen was placed in a climatic chamber. The test temperatures and loading rates for each specimen are shown in Table 2.

### 3.3 Crack Propagation Monitoring

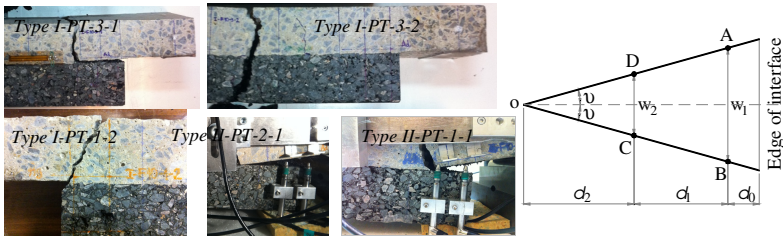
An ideal way of measuring the crack growth should give the possibility of continuous crack length determination without influencing the specimen or the delamination process itself. LVDT technique was chosen for this study. It consisted on using two LVDT per specimen edge fixed on asphalt layer and its respective ends supported on aluminum sheets attached to concrete layer (Figure 4). The two LVDT were placed at  $d_0=10$  and  $d_0+d_1=40$  mm distances from the edge. Figure 4.b represents the crack mouth opening displacement (CMOD) measured by the LVDT sensors in function of load and time. The crack length of delamination  $l_f$  is determined by knowing  $w_1$  and  $w_2$  values measured by LVDT during the test. Its expression is given in Eqn. (10) (Figure 4.b).

$$l_f = d_0 + d_1 + \frac{w_2 d_1}{w_1 - w_2} \quad (10)$$

## 4 Results and Discussion

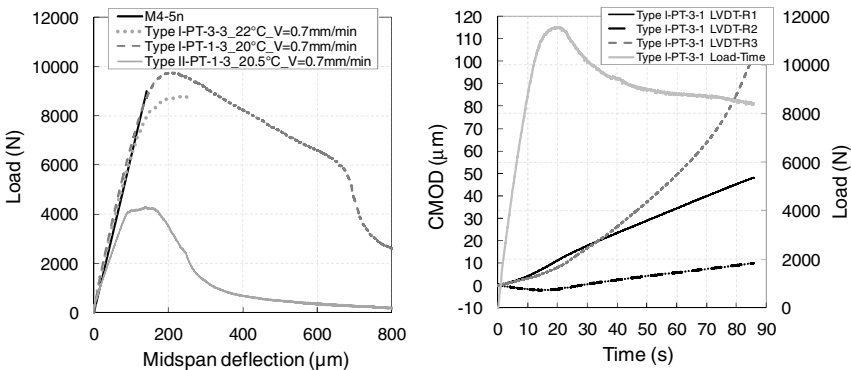
### 4.1 Identification of Failure Phenomenon and Influence of Interface between Layers

Various kinds of failure mode were exhibited by the bilayer specimens under 4PB test around 20°C. Typical specimens after failure are depicted in Figure 4.a.



**Fig. 4.** (a) Typical failures of specimens, (b) Schematization of CMOD

Both types I and II specimens were delaminated by this proposed test. At 20°C, most (80%) of type I specimens were delaminated at the interface between layers. Only for one specimen (Type I-PT-3-2), a failure was observed in the central zone between the loading location points. The crack is propagated vertically from the bottom of the asphalt layer to the top of the concrete layer. Figure 2.a shows that a maximum tensile stress exists in this central part when the modulus ratio is high and if any defect in the material exists the crack can occurred. At low temperature (4°C), one specimen failure was located at the bottom of the concrete layer between central and edge zones (Type I-PT-1-2) which confirms the previous elastic modeling (point A and D of Figure 2.a). For the type II specimen, all specimens were delaminated at the interface between layers not only at low temperature (6°C) but also at high temperature (20°C).

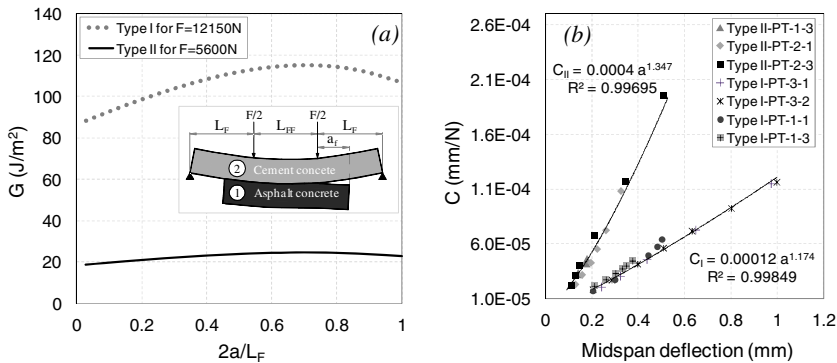


**Fig. 5.** (a) Load-deflection curve of different types of specimen; (b) Crack mouth opening displacement measured by LVDT

In the modeling, the asphalt modulus value was taken from its master curve at the test temperature and by converting the static test duration (T) into the frequency (f=1/T). In Figure 5.a, it is shown that the maximum load of type I specimen is about 50% more higher than the maximum load of type II specimen. The dissymmetric specimens were successfully delaminated as explained previously in the M4-5n analytical analysis. The combined approach with the 4PB test and M4-5n can evaluate the bonding between layers.

### 4.2 Stress Intensity at Edge of Interface and Energy Release Rate

According to the experimental results, the delamination is usually dissymmetric. Knowing the failure load (experimentally determined) for a specimen pre-crack length  $a_0$ , the energy  $W(a_0)$  stored in the specimen for this load was calculated. It performs the same calculation for a pre-crack length  $a_0+da$ , and the energy release rate was calculated by the relation presented in Eqn. (9).



**Fig. 6.** (a) Evolution of  $G$  for different types of specimen; (b) Compliance curves  $C = R.a^n$  for different types of specimen (at 20°C)

The evolution of the energy release rate is given in function of the normalized crack length  $2a/L_F$  (Figure 6.a). Based on the derivative of the energy release with respect to the crack length, the crack growth is stable at the crack length of 50mm. In the other way, the energy release rate can also be determined experimentally by using the compliance method. From the load-deflection curve, the relation of compliance is determined. The compliance  $C$  is, in general, expressed by  $C = u/P$  where  $u$  is the midspan deflection related to the load  $P$ . Figure 6.b represents the compliance curve for different type of specimens. The compliance versus respective crack length  $a$  can be plotted and fit with the expression  $C = Ra^n$ .

Therefore, the energy release rate can be found as  $G = \frac{P^2}{2b} nRa^{n-1}$ . Table 3 shows a summary of the interface stress intensity and the energy release rate which are

comparable to the values found in literature [1]. The results show that the interface normal and shear stress of type I specimen are approximately 50% higher than those of type II specimen. But due to the self weight effect of asphalt layer on failure, the type II specimen test needs to be improved.

**Table 3.** Stress intensity at the interface and energy release rate

Specimen type	Failure load (N)	$\tau$ (MPa)	$v$ (MPa)	G (J/m <sup>2</sup> ) for a crack length of 2mm	
				Model	Experiment
Type I	9760 - 12150	0.36 - 0.41	0.99 - 1.14	88 - 98	82 - 106
Type II	4300 - 5600	0.17 - 0.21	0.49 - 0.59	19 - 28	64 - 83

## 5 Conclusions

Experimental results on bilayer specimens, in accordance with quasi-analytical analysis given by the M4-5n, have demonstrated that the proposed 4PB test can determine the interface behavior of bilayer materials, asphalt-concrete and concrete-asphalt. The crack growth was monitoring by means of a LVDT technique. An approximate crack length was obtained. For better measuring the crack length and understanding the failure phenomenon, the Digital Image Correlation technique will be used for the next experimental campaign. For the geometry chosen, the specific test has shown mixed mode failure at the interface between layers. Comparisons with experimental results and analysis of failure modes given above demonstrate that the M4-5n can be used effectively for designing the specimen and as well as for analyzing the test.

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# Assessment of Cracking Resistance of Bituminous Mixtures by Means of Fenix Test

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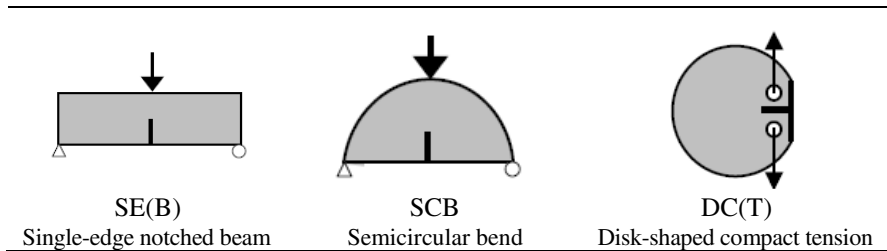
This paper shows the application of a new direct tensile test developed at the Road Research Laboratory of the Department of Transport and Regional Planning of the Technical University of Catalonia. The test is called Fénix test and is aimed at the assessment of cracking resistance of different types of bituminous mixtures at different temperatures. Fénix test calculates the dissipated energy during the cracking process of the material and the softening phase in the load-displacement curve of the test. The test procedure consists of subjecting one half of a 63.5 mm thick cylindrical specimen of a 101.6 mm diameter prepared by Marshall or gyratory compaction to a tensile stress at a constant displacement velocity (1 mm/min) and specific temperature. A 6 mm-deep notch is made in the middle of its flat side where two steel plates are fixed. The specimen is glued to the steel plates with a thixotropic adhesive mortar containing epoxy resins. Both plates are attached to a loading platen so that they can rotate about fixing points. Different types of mixtures with different stiffness moduli, including very flexible mixtures like gap-graded and SMA mixes, as well as stiffer mixtures like high modulus mixtures, were tested. The results were compared with those obtained for the same mixtures from standard fatigue bending beam tests.

## 1 Introduction

Asphalt cracking is one of the most common causes of pavement distress. The cracking behaviour of asphalt concrete mixtures is difficult to analyze due to their rheological characteristics. Crack formation and propagation are caused by several factors, usually of environmental (thermal cycles and material aging) or mechanical (traffic loads) nature. These factors trigger mechanisms like top-down cracking, flexural cracking and fatigue cracking, resulting in geometrical typologies or patterns such as longitudinal cracking, block cracking, transversal cracking, fatigue cracking, among many other types described in pavement distress manuals, along with causes and remedies [1-4].

The scientific community is applying fracture mechanics concepts on quasi-brittle materials to understand the cracking behaviour of bituminous mixtures. Analytical models and experimental studies which try to simulate crack initiation and propagation are commonly used [5].

A literature search returned three tests whose main goal is to determine fracture properties of bituminous mixtures, Figure 1. The single-edge notched beam test, SE(B), provides an adequate mode I fracture thanks to its set-up and sample geometry. However, it cannot be applied to field cores due to sample shape [6]. In the case of the semicircular bending test, SCB, [5, 7, 8], sample shape makes the test suitable for both field cores and laboratory specimens and its set-up is simple, but the crack propagation with the SCB geometry creates an arching effect with high compressive stress as the crack approaches the top edge [6]. The disk-shaped compact tension test, DC(T), has a standard fracture test configuration in ASTM D 7313-07, as well as a larger sample fracture area, leading to improved tests results. On the other hand, sample preparation may weaken the area around the loading points, and moreover it is difficult to carry out the test at temperatures above 10°C.



**Fig. 1.** Different specimen fracture geometries

In this research line, the Road Research Laboratory of the Technical University of Catalonia has developed a new test to evaluate cracking resistance in bituminous mixtures called Fénix test [9-11]. In this test, the dissipated energy during the process, which is a combination of dissipated creep energy and fracture energy, is calculated. The obtained values allow the determination of the resistance to cracking of bituminous mixtures. The test set-up can be seen in the following section.

## 2 Study

The effect of bitumen type and content on the cracking resistance of a coarse bituminous mix (G20) typically used in base courses was analyzed in a temperature range from 20 to -10°C. Three different penetration grade bitumens, i.e., 60/70, 40/50 and 13/22 with bitumen contents ranging between 3.5% and 5.5% by weight of aggregate, were selected to obtain a wide variety of stiffness indices, from flexible mixes (containing 5.5% of bitumen 60/70 at 20°C) to very stiff mixes (containing 3.5% of bitumen 13/22 at -10°C). The characteristics of bitumens are specified in Table 1 while the gradation of the limestone aggregates, which is fitted to the lower limit of the Spanish grading envelope, is shown in Table 2.

**Table 1.** Characteristics of bitumens

<b>Bitumen characteristics</b>	<b>Unit</b>	<b>13/22</b>	<b>B40/50</b>	<b>B60/70</b>
Penetration (25°C; 100 g; 5s)	0.1 mm	17	43	64
Penetration index	-	0.1	-0.2	-0.2
Ring-and-ball softening point	°C	67.3	55.9	51.7
Fraass brittle point	°C	-5	-12	-17
Ductility at 25°C	cm	15	>100	>100
Dynamic viscosity at 60°C	Pa.s	4551	651	367
Dynamic viscosity at 135°C	Pa.s	1.92	0.72	0.56
Elastic Recovery at 13°C	%	-	-	-
<b>RTFOT Residue</b>				
Mass loss	%	0.35	0.4	0.5
Penetration (25°C; 100 g; 5s)	% p.o.	10	23	32
Softening point increase	°C	7.5	9.5	9.6
Ductility at 25°C	cm	7	18	50

**Table 2.** Gradation of mixture G20

<b>Sieve Size (mm)</b>	25	20	12.5	8	4	2	0.5	0.25	0.125	0.063
<b>Gradation (% passing)</b>	100	75	55	40	25	19	10	7	6	5

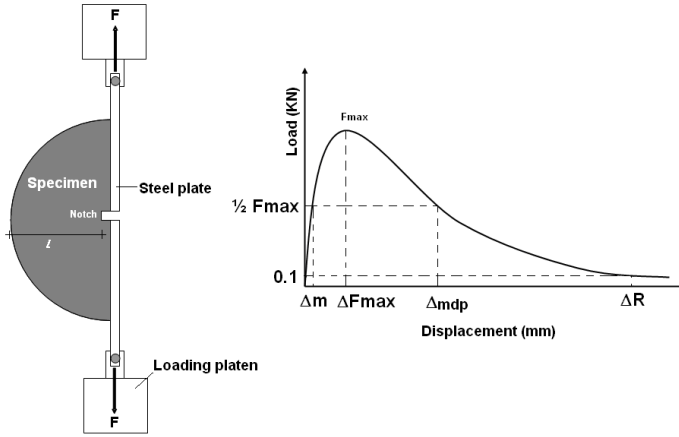
Several series of Marshall specimens were prepared for each bitumen type and content and direct tensile tested by the Fénix test. The Fénix test, which was developed by the Road Research Laboratory of the Department of Transport and Regional Planning of the Technical University of Catalonia, allows evaluating the cracking resistance of asphalt mixes by calculation of the dissipated energy during the cracking process of mixtures.

The results from the test were compared with those from other tests in order to narrow the variation range of parameters defining cracking behaviour.

The Fénix test is a tensile test applied to one half of a cylindrical specimen prepared by Marshall or gyratory compaction. A 6mm-deep notch is made in the middle of its flat side where two steel plates are fixed. The plates are attached to the loading platen using two cylindrical bolts so that each plate can rotate about its fixed edge, as illustrated in Figure 2. The test is carried out under controlled displacement conditions. Displacement velocity is established at 1 mm/min and test temperature is chosen according to the environmental conditions to be simulated.

A number of parameters describing the mechanical behaviour of mixtures, such as peak load,  $F_{\max}$ , displacement at peak load,  $\Delta F_{\max}$ , failure displacement (displacement at  $F = 0.1$  kN post-peak load),  $\Delta_R$ , tensile stiffness index,  $I_{RT}$ , and energy dissipated during fracture,  $G_D$ , can be determined.





**Fig. 2.** Fénix test set up and load-displacement output curve

Mechanical parameters like peak load,  $F_{max}$ , displacement at peak load,  $\Delta F_{max}$ , and failure displacement,  $\Delta R$ , are determined from the load-displacement curve. The tensile stiffness index,  $I_{RT}$ , is obtained using Eqn. (1):

$$I_{RT} = \frac{1/2 \cdot F_{max}}{\Delta_m} \quad (1)$$

where  $I_{RT}$  = tensile stiffness index, kN/mm;  $F_{max}$  = peak load, kN;  $\Delta_m$  = displacement before peak load at  $1/2 F_{max}$ , mm.

The dissipated energy during cracking,  $G_D$ , is calculated by Eqns. (2) and (3):

$$G_D = \frac{W_D}{h \cdot l} \quad (2)$$

where  $G_D$  = dissipated energy during test application,  $J/m^2$ ;  $W_D$  = dissipated work during test application, kN·mm;  $h$  = specimen thickness, m;  $l$  = initial ligament length, m.

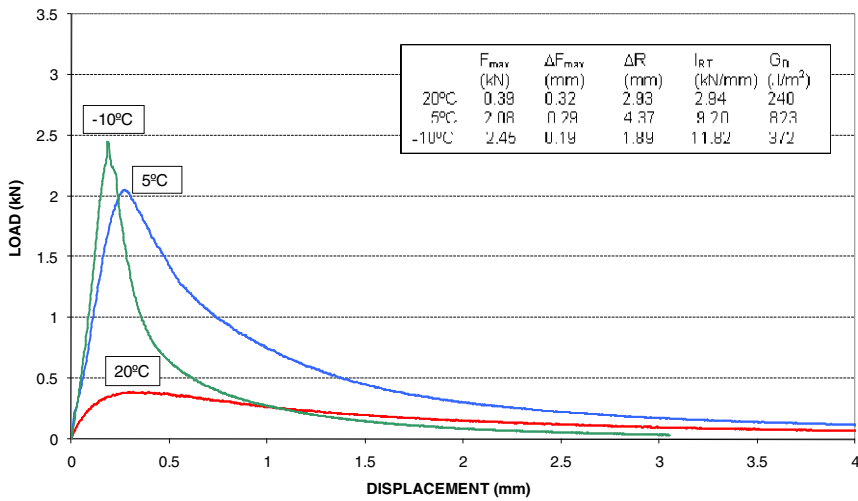
$$W_D = \int_0^{\Delta R} F \cdot du \quad (3)$$

where  $F$  = Load, kN;  $u$  = displacement, mm;  $\Delta R$  = displacement at  $F = 0.1$  kN post-peak load, mm.

### 3 Analysis of Results

The analysis of the effect of bitumen type and content and temperature on cracking resistance and fracture energy of mixtures tested by the Fénix test reveals that this procedure is sensitive to variation of both variables.

As an example, Figure 3 summarizes the results obtained for mixture G20 containing bitumen 60/70. Note the transition from ductile to brittle behaviour exhibited by the mixture with temperature variation. Moreover, at 5°C the mixture has higher fracture energy, i.e. the area below the curve is larger than at the other temperatures.



**Fig. 3.** Load-displacement curves at 20, 5 and -10 °C, Fénix test. Mix G20 4.5% Bitumen 60/70

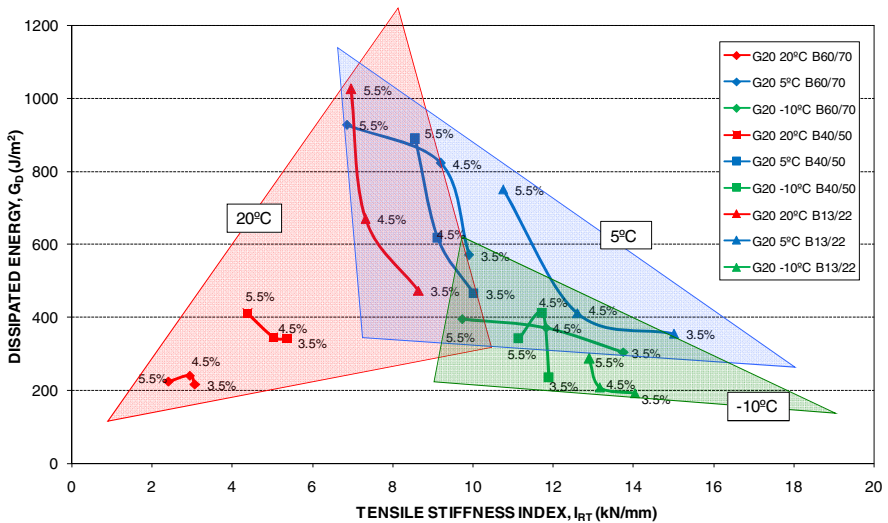
It can be seen that, for harder binders, the results at low temperatures (5 and -10°C) are very similar. That is, at low temperatures, the stiffer mixtures tend to behave similarly although it is also possible that test sensitivity decreases at extreme temperatures.

Figure 4 plots the variation in the dissipated energy ( $G_D$ ) with respect to the stiffness index ( $I_{RT}$ ) of the mixtures for all test conditions. A solid line connects the results for mixtures at the same temperature with the same bitumen type but different content.

The results are grouped in different areas according to the temperature of the mixture and, within this area, according to the stiffness provided by the bitumen. Thus, the envelope of results at 20°C forms a sort of triangle where the more

flexible mixtures, i.e. those with low  $I_{RT}$  (mixtures with bitumen 60/70), have low dissipated energy which hardly varies with bitumen content. By contrast, in stiffer mixtures, i.e. those with higher  $I_{RT}$  (mixtures with bitumen 13/22), changes in bitumen content result in significant dissipated energy variation.

The envelope of results at 5 and  $-10^{\circ}\text{C}$  creates, respectively, two areas which form a triangular shape almost symmetrical to the previous one. Results at  $5^{\circ}\text{C}$  are found in the top part while results at  $-10^{\circ}\text{C}$  are plotted in the bottom part. At  $5^{\circ}\text{C}$ , changes in bitumen content lead to large dissipated energy variations whereas at  $-10^{\circ}\text{C}$ , the energy does not vary significantly with different bitumen contents. For the latter temperature, only the mixture containing bitumen 60/70 shows variations in the stiffness index with changing the bitumen content.



**Fig. 4.** Dissipated energy versus tensile stiffness index. Mix G20

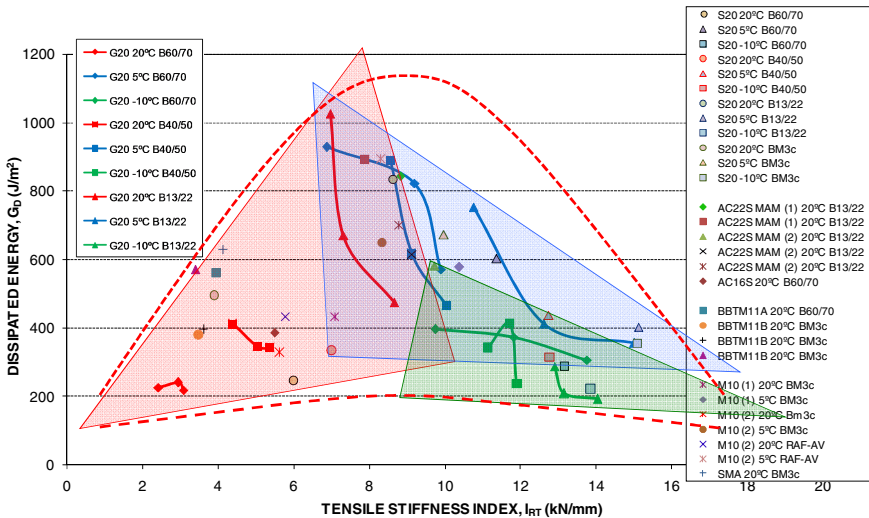
The second phase of the study consisted in collecting the results for several types of semi-dense (S or AC) and gap-graded (M or BBTM) mixtures containing different penetration grade bitumens and polymer-modified bitumens tested at different temperatures, Table 3, in order to overlap the results in the previous figure and compare the fracture energy.

Two parabolic envelopes enclose the area containing many of the mixtures, Figure 5. For the same stiffness, the most crack resistant mixtures are near the upper parabola while those close to the lower parabola should not be designed. Note also that some mixtures are always near the upper parabola with temperature variation, thus exhibiting a better behaviour than those close to the lower parabola.

**Table 3.** Characteristics of the mixtures studied in the second phase.

Mixture	Bitumen Type	Bitumen Content (% weight of aggregate)	Testing Temperature (°C)
S20	60/70	4.3	20, 5, -10
	40/70	4.3	20, 5, -10
	13/22	4.3	20, 5, -10
	BM-3c	4.3	20, 5, -10
AC22S MAM (1)	13/22	5.26, 6.38	20
AC22S MAM (2)	13/22	4.71, 5.82, 6.95	20
AC16S	60/70	4.71	20, 5, -5
BBTM11A	60/70	5.49	20, 5, -5
BBTM11B	BM-3c*	4.99, 5.54, 6.10	20
M10 (1)	BM-3c*	6.72	20, 5
M10 (2)	BM-3c*	6.38	20, 5
M10 (2)	RAF-AV**	6.72	20, 5
SMA	BM-3c*	6.38	20

\*: polymer modified bitumen.  
 \*\*: high performance polymer modified bitumen.



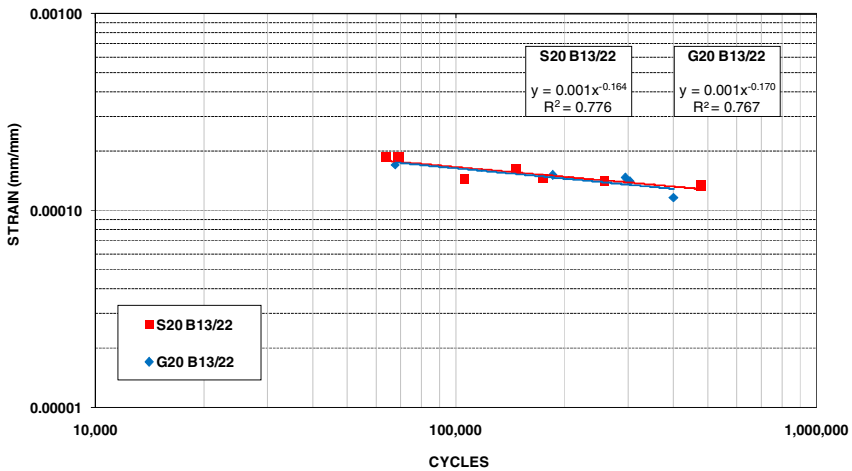
**Fig. 5.** Dissipated energy versus tensile stiffness index. Mixtures and test conditions

The determination of the stiffness index and dissipated energy by the Fénix test shows differences in behaviour between mixtures which, for the same stiffness, have different energies. In this case, the mixture with the highest energy has the greatest resistance to cracking. As an example, Figure 6 shows the fatigue laws obtained by a three-point bending beam test (in accordance with European

standard UNE-EN 12697-24) of mixtures S20 and G20 prepared with bitumen 13/22 and tested at 20°C. Both fatigue laws are similar and it is difficult to know which of two mixtures is more resistant to cracking. However the dissipated energy of mixture S20 obtained by Fénix test is clearly higher than that of G20, Table 4, meaning that the former has better resistance to cracking than the latter.

**Table 4.** Parameters obtained from three-point bending beam (3PBBT) and Fénix test

Mixture Type	Flexural Modulus (3PBBT) (MPa)	$I_{RT}$ (Fénix Test) (kN/mm)	$G_D$ (Fénix Test) ( $J/m^2$ )
S20	11556	8.6	833
G20	9130	7.3	670



**Fig. 6.** Flexural tensile fatigue laws at 20°C. Mixes S20 and G20, Bitumen 13/22

Therefore, the Fénix test allows selecting the mixtures with the highest resistance to cracking during the mix design phase more easily than fatigue tests.

## 4 Conclusions

The Fénix test determines the cracking resistance of mixtures. Additionally, it allows differentiating the brittle and ductile response of a bituminous mixture and observing the effect of bitumen type and content, as well as test temperature, on the behaviour of the mixture.

The main conclusion drawn from the present work is that the Fénix test supplies information of the dissipated energy during the cracking process,  $G_D$ . This parameter, together with the stiffness modulus, makes it possible to establish

differences in the behaviour of mixtures. Thus, it is observed that higher dissipated energy values lead to for a better response to cracking for similar moduli.

**Acknowledgements.** Some of the results collected during the second phase of the study were obtained from several research projects. The authors would like to make a special mention of REHABCAR project, supported by the Spanish Ministry of Science and Innovation (MICINN) within the INNPACTO 2010 program, currently under development.

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# Development of Dynamic Asphalt Stripping Machine for Better Prediction of Moisture Damage on Porous Asphalt in the Field

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**Abstract.** Stripping is a major source of pavement distress and takes place in the presence of moisture. Over the years, many laboratory tests have been proposed to evaluate moisture sensitivity of asphalt mixtures. This paper presents the development of a dynamic asphalt stripping machine (DASM) to realistically simulate stripping of porous asphalt mixtures subjected to the dynamic action of flowing water. To assess the effectiveness of the machine, two sets of specimens were prepared. One set was conditioned in the DASM by allowing water at 40°C to continuously permeate through the unextruded samples via water sprinklers at an intensity equivalent to 5400 mm/hr. The other set was stored under dry conditions at ambient temperature. Then, both sets of specimens were extruded and conditioned in an incubator before individually tested for Indirect Tensile Strength (ITS) at 20°C after 1, 3, 5 and 7 days. Resistance to stripping was evaluated from the ratio between ITS when tested wet and dry. Specimen permeability was also measure before and after conditioning. In addition, mortars that stripped from the asphalt samples were filtered on a filter material. The results showed that both ITSR and permeability reduces with conditioning time. The ITSR after 7-day conditioning was 17.2% lower than those conditioned for one day. The quantity of mortars collected on the filter material was found to increase with conditioning time. However, some stripped mortars were believed to be trapped in the mixture capillaries, and this explained the reduction in coefficient of permeability values over time.

**Keywords:** Flowing water action, Moisture damage, Stripping, Porous asphalt, Mortar loss.

## 1 Introduction

In many countries, the asphalt pavement is constantly exposed to wet conditions and high volume of water runoff due to heavy rainfall throughout the year. The prolonged exposure to water and moisture may expose the pavement to deterioration. According

to Lu and Harvey [1], air voids, pavement structure, rainfall intensity and pavement age have the highest influence on moisture damage while repeated loading and cumulative truck traffic have a marginal effect.

Dawson et al. [2] mentioned that stripping was generally attributed to water infiltration into the asphaltic mixture, causing weakening of the mortar, and aggregate-mortar bond. Due to the continuous action of water and traffic loading, progressive dislodgement of aggregates could occur. Several distresses in the form of ravelling, rutting or cracking may occur in the pavement due to stripping [3]. Dawson et al. [2] stated that open-graded mixtures were deliberately designed and laid to help drain surface water. This tends to allow some water to reside more or less permanently within the mixture, contributing to the development of water-induced damage. The physical processes that had been identified as important contributors to water damage were the molecular diffusion of water through the mixture component and 'wash away' of the mortar due to the movement of water through the connected macro pores. A mechanical process that was identified as a contributor to water damage was the occurrence of an intense water pressure field inside the mixture caused by traffic loads and known as the pumping action with pressures up to 7 atm.

Caro et al. [4] mentioned that environmental conditions such as high intensity rainfall periods, high relative humidity, severe freeze-thaw cycles and other extreme environmental conditions also affected moisture damage mechanisms. These environmental conditions increased the rate and amount of moisture that could reach the material which in turn raised the damage potential. Furthermore, in-service conditions such as ageing and the dynamic loading produced by traffic had also been considered important contributors to moisture damage.

Kringos and Scarpas [5] stated that the high permeability of open graded wearing surface ensured fast drainage of water away from the road surface. However, it caused a negative effect on the material characteristics of the individual components of the asphalt and damaged the bond between the components that led to premature separation of the aggregates from the wearing surfaces due to ravelling. Kringos and Scarpas [5] also stated that damage in asphaltic mixtures could be characterised into three failure modes, namely, the washing away of mortar, damage of the mortar-aggregate interface and dispersion of the mortar. The strength of the bond between mortar and aggregates diminished in the presence of water, which, among others, could be related to the surface energies of the individual components [6].

The resistance to stripping is typically evaluated by initially immersing the specimen in the water or conditioning the specimens at a certain temperature without considering the flowing (dynamic) water action on the asphalt mixtures. However, asphalt pavements in the field are constantly exposed to the wet conditions and high volume of water run-off throughout the year, especially in the tropical monsoon climate. The asphalt pavement performance is adversely affected by stripping and unforeseen increase in maintenance budgets are often the consequence [7]. The need to unfold an understanding of the mechanism and to develop a simple but reliable test is essential. According to the Asphalt institute [8], there have been many efforts carried out in the United States in the past few years to come up with an improved laboratory test method to better predict moisture damage problem in the field.



Therefore, this paper was initiated as a contribution to the continuous effort of improving the laboratory test methods for better prediction of porous asphalt (PA) stripping in the field. Current test procedures for evaluation of moisture damage on asphalt mixtures includes Marshall immersion test, Modified Lottman test - AASHTO T283 [9] Hamburg wheel tracking test and BS EN 12697-12 [10]. In all these test methods, the action of water on the mix is primarily static in nature and involved soaking, freezing and thawing of the specimen. In the context of porous asphalt, this method does not realistically simulate the actual stripping mechanism taking place in the field where water permeates through the porous asphalt wearing course. Hence, a new machine, known as the dynamic asphalt stripping machine (DASM) was developed to simulate the dynamic action of water on PA at a laboratory scale. Nevertheless, the pumping effect during wet weather of the truck loads travelling at high speeds is not simulated.

## 2 Description of the DASM

The DASM is fully equipped with a water storage tank, water recycling tank, removable perforated plate, water pump and heater as well as sprinklers to simulate the rainy conditions and subject the specimens to the dynamic action of water. The schematic diagram and actual view of the DASM is shown in Figure 1.

The water recycling tank was equipped with a water heater with an accuracy of  $\pm 1^\circ\text{C}$  to ensure that the temperature of the circulating water can be adjusted anywhere between ambient to  $100^\circ\text{C}$ . In addition, both tanks were equipped with insulator and cover to maintain a constant water temperature throughout the conditioning process. During the conditioning period, water from the storage tank was channelled through the sprinkler onto the specimen by gravitational force. The water that passed through the specimen was collected by the removable tray and drained away to the recycling tank through the drainage pipe that was fixed at the bottom of the tray. Water that was accumulated in the storage tank was channelled through the filter and pumped up to the storage tank and the process was repeated until conditioning process was completed. A filter was also located under the perforated plate to trap the mortar from the specimen which was carried away by the flowing water.

The discharge pipes are the most important component of the DASM. These pipes were fitted with ball valves, flexible hoses, sprinklers and acrylic cylinders for the conditioning purposes. The ball valve functioned as an adjuster to control the intensity of water sprinkled onto the specimen. The flexible hose was chosen to ensure the ease of handling when placing the specimens into the machine for conditioning. Meanwhile, a standard home appliance sprinkler was used to simulate the rainy condition and dynamic action of water while the acrylic cylinder was fitted to hold the specimen that was confined in the Marshall Mould in place to avoid the loss of water due to splash and control the consistency of water temperature. Subsequently, to avoid leakage of water, a rubber washer was used at every connection between UPVC adapter and PVC nut at the discharge pipes. The machine has nine discharge pipes which enabled nine samples to be conditioned and tested simultaneously.

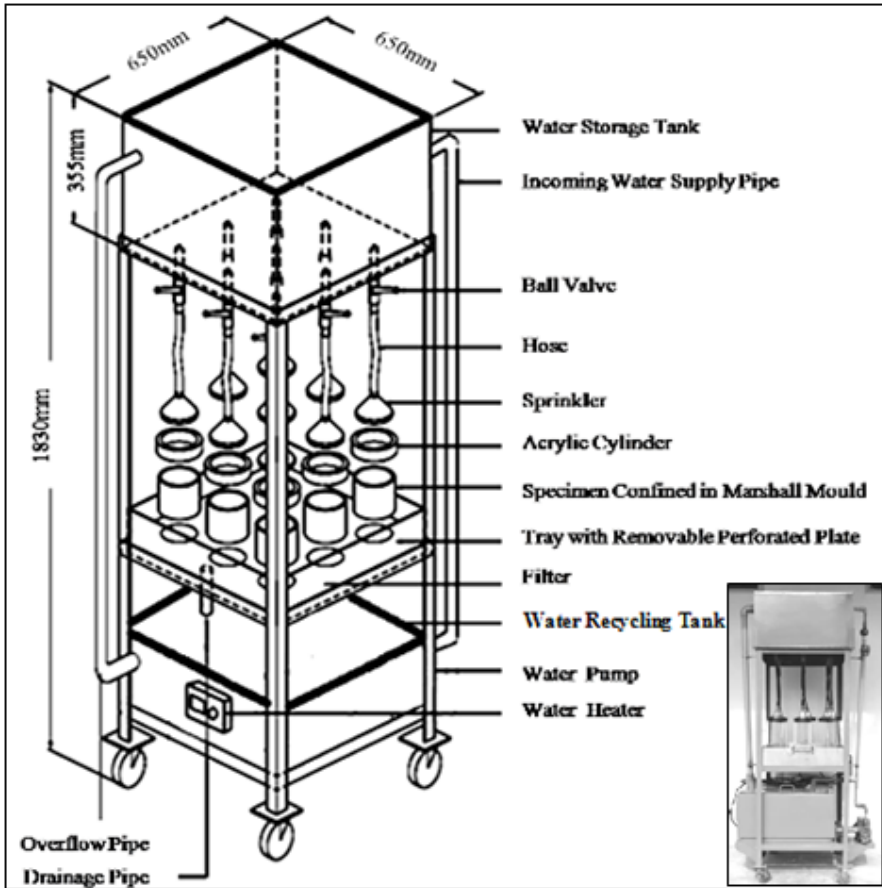


Fig. 1. Schematic diagram and the actual view (right corner) of the DASM

### 3 Preliminary Evaluation

Few important steps need to be considered before proceeding with the conditioning procedure of the specimens. First and foremost, it is essential to ensure that the water was free from any pollutants and the water temperature was constant throughout the conditioning process. The temperature of water used in this conditioning method was 40°C, as adopted in the immersed wheel-track test to induce stripping on wet samples [12]. Additionally, this temperature was selected to ensure the tight bonding between the specimen and mould was maintained throughout the test since the specimen (unextruded and confined in the Marshall mould) were later tested for permeability. The permeability test was carried out to

evaluate the effects of stripped binder due to flowing action of water. Higher temperatures would caused the samples to slip from the mould, hence destroying the tight bond between sample and mould. A special filter was used and located in the water recycling tank to eliminate the undesired particles in the circulating water. The water from both tanks was circulated for approximately 2 hours to make sure these undesired particles were removed from water and to achieve a constant water temperature. In addition, the asphalt sample confined in the Marshall mould was used to take advantage of the strong bond between the sample and the wall of the mould.

In this assessment, the specimens were conditioned and tested at 1, 3, 5 and 7 days. Two sets of specimens for wet and dry conditions were prepared. The wet specimens were subjected to an average water intensity of 5400 mm/hr (5 mm opening of gate valve) which was approximately 12 times higher than the rainfall intensity of 432.4 mm/hr at 100 years ARI [11] to accelerate the conditioning process.

#### 4 Materials and Tests

The aggregate material used was granite, obtained from a local quarry. A conventional bitumen 60/70 penetration grade and hydrated lime were respectively used as the binder and filler materials to prepare the PA specimen. The basic properties of all materials are summarised in Table 1. The proposed PA gradation with 14mm NMAS (Table 2) was adopted in this study. A detailed explanation on the development of the proposed gradation is available in Hasan [13]. The cylindrical specimens, prepared at 4.0% binder contents, were compacted via impact mode at 50 blows per face. The samples were then left to cool overnight at ambient temperature before conditioning process commenced.

**Table 1.** Materials properties

Properties	Aggregate (Crushed)	Filler (Hydrated)	Bitum (60/70)
Abrasion Loss (%)	23.6	-	-
Aggregate Crushing Value (%)	21.5	-	-
Flakiness Index (%)	21.8	-	-
Water Absorption (%)	0.7	-	-
Polished Stone Value	51.8	-	-
Specific Gravity ( $\text{g}/\text{cm}^3$ )	-	2.350	1.030
Penetration at 25°C (dmm)	-	-	63
Softening Point (°C)	-	-	49
Ductility at 25°C (cm)	-	-	> 100

**Table 2.** Adopted PA aggregate gradation [13]

Sieve Size (mm)	% Passing
14	100
10	80
5	15
2.36	10
0.425	5
0.075	2

\*Filler content is 2% of total aggregate mass.

Then, the set of dry specimens were stored in a closed cabinet at ambient temperature over their corresponding conditioning period. Meanwhile, the permeability test was conducted on the set of wet specimens before and after their respective conditioning period under DASM to determine the effects of water on the occurrence of mortar stripped from the mix. Part of the stripped mortar was expected to disrupt air voids continuity hence reduction in the coefficient of permeability of PA. The changes of permeability of the specimen was expressed in terms of the coefficient of permeability (k) measured using a falling head water permeameter. The permeability test was conducted on a not-extruded sample to take advantage of the strong bond between the sample and the walls of the mould.

Subsequently, both sets of specimens were extruded and placed in an incubator for 4 hours at 20°C prior for the ITS test. The dimensions of the wet (W) and dry (D) specimens were measured and air voids (Table 3) were determined. The ITS test on the dry and wet specimens were conducted on the same day and the results obtained was used to calculate the indirect tensile strength ratio (ITSR). The specimen was loaded by compressive force between two loading strips which acted parallel to the vertical diameter of the specimen. The maximum failure load was recorded and the ITS test was done in accordance with ASTM D4123 procedure [14].

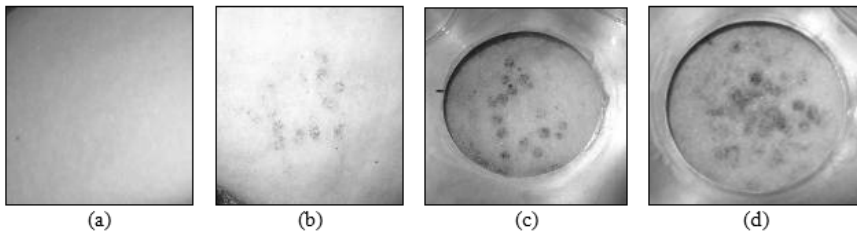
**Table 3.** Air voids of specimens

Mix Condition	Designation	Average Air Voids (%)
Wet	WD1	24.95
	WD3	24.47
	WD5	24.37
	WD7	24.48
Dry	DD1	24.52
	DD3	24.96
	DD5	24.36
	DD7	24.39

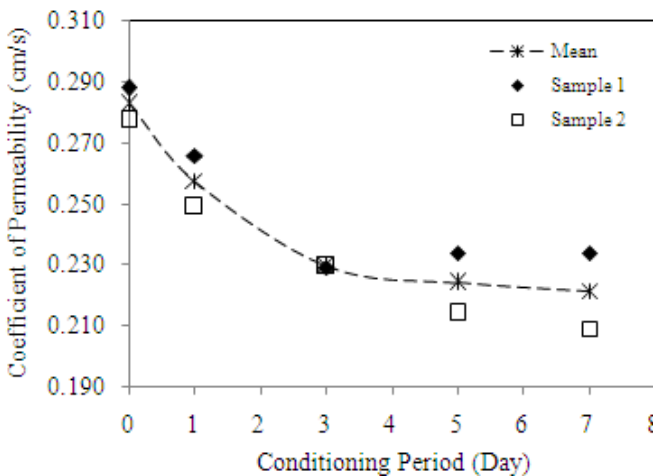
## 4 Result and Discussion

### 4.1 Effects of Flowing Water on Stripped of Mortar and Permeability

Water that continuously permeated through the porous samples induced stripping of the mortars. Some of the mortars stripped from the aggregate were filtered through the material and retained on the DASM filter as shown in Figure 2. Figure 2(a) shows the actual initial colour of the filter while Figures 2(b), 2(c) and 2(d) show the condition of the filter after the specimens were conditioned for 3 days, 5 days and 7 days, respectively. It is hypothesised that some stripped mortars were trapped in the capillaries, disrupting air voids continuity and this explains the reduction in coefficient of permeability ( $k$ ) over time in Figure 3. Another source of permeability reduction can be explained in terms of binder creep as detailed out by Hamzah et al. [15]. The measured air voids of the PA specimens used in this study is shown in Table 3.



**Fig. 2.** Condition of filter under the perforated plate (a) Before and (b) 3 days; (c) 5 days; (d) 7 days after conditioning



**Fig. 3.** Relationship between coefficient of permeability and conditioning period of PA

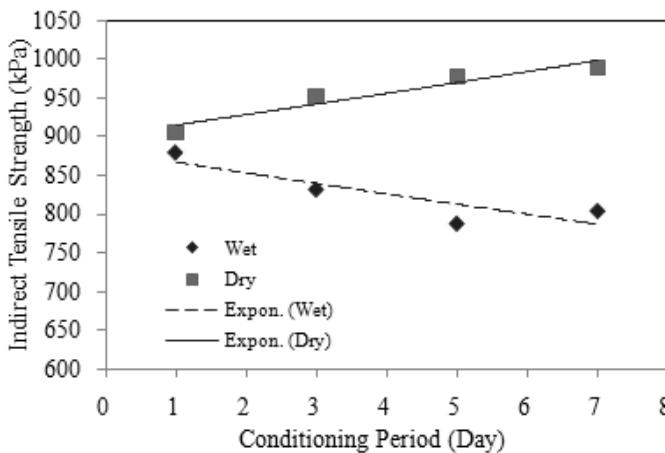
Table 4 shows the One-Way Analysis of Variance (ANOVA) results on the reduction of coefficient of permeability. It is confirmed that the mortar losses significantly disrupt the continuity of air voids and caused the reduction in permeability as indicated by the p-value less than 0.05.

**Table 4.** One-way ANOVA on the coefficient of permeability values

Source	Sum of Squares	df	Mean Square	F	p-value
Conditioned Duration	697.396	4	174.349	44.478	< 0.001
Error	19.599	5	3.920		
Total	716.995	9			

## 4.2 Indirect Tensile Strength

The result indicates that the ITS of the dry specimens slightly increases over conditioning time due to binder hardening over time as depicted in Figure 4. However, the ITS of the wet specimens shows otherwise due to stripping induced by the dynamic action of flowing water. The gap between the ITS of dry and wet specimens, increases as the conditioning period increases with the widest gap reaching 23% at day 7.



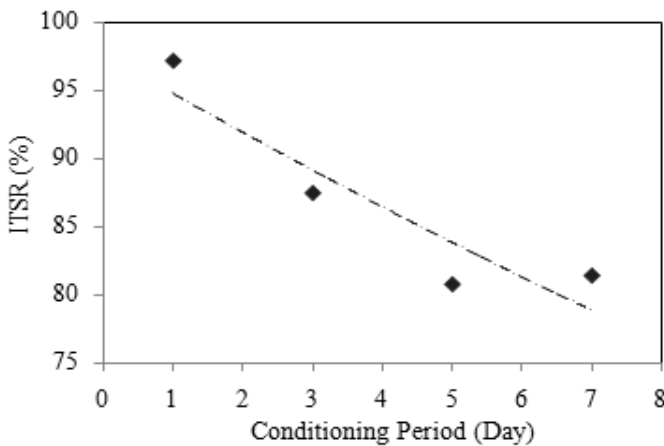
**Fig. 4.** Indirect tensile strength of dry and wet conditioned specimens

The indirect tensile strength ratio (ITSR) is often used to characterize the moisture susceptibility of asphalt mixtures. ITSR was calculated based on the equation given in AASHTO T283 [9]. Figure 5 shows that the ITSR of the specimens decreases as the conditioning period increases. The ITSR results indicate that this conditioning procedure significantly influences the resistance of PA specimen to stripping.

The data was further analyzed statistically using the One-Way (ANOVA) at 95% confident interval ( $\alpha = 0.05$ ). The analysis was carried out on the ITS values for both dry and wet specimens. The ANOVA results are shown in Table 5 with the dynamic action of water has a significant effect on the indirect tensile strength of PA specimens.

**Table 5.** One-way ANOVA on effects of dynamic stripping on ITS of specimens

Source	Sum of Squares	Df	Mean Square	F	p-value
Condition of Specimen	67033.094	1	67033.094	45.004	< 0.001
Error	20853.080	14	1489.506		
Total	87886.173	15			



**Fig. 5.** ITSr at different conditioning period

## 5 Conclusions

A dynamic asphalt stripping machine was invented to simulate the actual stripping mechanism of porous asphalt mixtures subjected to high rainfall intensities and the dynamic action of flowing water. Based on the preliminary evaluation, the dynamic action of water has a significant effect on the indirect tensile strength ratio and permeability loss. Some stripped mortars were trapped in the capillaries, disrupting air voids continuity and this explains the reduction in mix coefficient of permeability over time. However, further study needs to be carried out to establish the relationship between the test results from the newly developed machine with other performance parameters and field (stripping) performance of porous asphalts. Nevertheless, the results of this preliminary investigation ascertained the ability of the dynamic asphalt stripping machine to evaluate the resistance to water damage of porous asphalt mixtures.

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# Effect of Wheel Track Sample Geometry on Results

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**Abstract.** The wheel track test can be used as a means of comparing the permanent deformation behaviour of different types of asphalt mixtures. One advantage of this test method lies in the fact that it can be applied to laboratory compacted specimens and specimens extracted from pavements in the field. However, differences in results have been noted between core samples obtained in the field and laboratory prepared samples with similar mix designs. It is thought that potential reasons for such deviations may be due to differences in the confining pressure of the sample during wheel track loading. One possible reason for such differences may lie in the fact that laboratory prepared samples are composed of rectangular asphalt slab, while field samples are circular and are encased in plaster of Paris to provide testing stability.

This paper describes a study that was performed to investigate the effects of sample geometry on wheel track test. The purpose of this study was to investigate how the specimen geometry affects the outcome of asphalt pavement rutting evaluations conducted through wheel track testing. This research is particularly applicable in Norway where more and more emphasis is placed on designing asphalts to avoid rutting and also to provide correlations between field and laboratory studies. The results of this paper indicate that the encased samples (circular) generally exhibit lower deformation than the square samples.

## 1 Introduction

Permanent deformation is one of the most important modes of failure in asphalt pavements. The wheel track test provides a means of assessing the permanent deformation susceptibility of asphalt mixtures in the laboratory. The test can be used to assess both laboratory compacted specimens and specimens obtained from the field. In Norway, wheel track test is used to assess the permanent deformation susceptibility of asphalt mixtures. In accordance to the Norwegian pavement specifications, the test is conducted on 200 mm diameter cored field specimens using procedure B of the NS-EN 12697-22.

A lot of wheel track testing has been conducted at the NTNU road laboratory using procedure B of the NS-EN 12697-22. These tests have been aimed at assessing the permanent deformation susceptibility of different types of asphalt

mixtures. The wheel track tests have been conducted on both laboratory prepared specimens measuring 30.5 cm by 30.5 cm by 4 cm height and 200 mm diameter specimens cored from the field. However, differences in results have been noted between core samples obtained in the field and laboratory prepared samples with similar mix designs.

It is thought that one of the potential reasons for such deviations may be due to differences in the confining pressure of the sample during wheel track loading. During wheel track testing, the asphalt mixture is laterally supported around the perimeter by the edges of the mould. In case of the square specimen, this lateral support is offered by the steel edges that lie at least 15.25 cm from the centre of the sample. In case of the circular specimens, the lateral support is provided by the edge of plaster of Paris surrounding the specimen. This edge lies 10 cm from the centre of the sample. The location of the edge support can affect the lateral confinement generated during loading and as a consequence affect the permanent deformation during wheel track testing. To some extent, the effect of the edge location for asphalt materials can be compared to the effect of lateral support on the bearing capacity of soils. Studies [1][3] conducted on the bearing capacity of soils under isolated footings suggest that the bearing capacity is affected by the nature of lateral support. The bearing capacity is highly dependant on the dimensions of the lateral supports.

The differences between the laboratory prepared samples and field cores for the same type of asphalt mixture may also be as a result of other factors. These include factors such as aging and degree of compaction. Since the aim of this study was to investigate the effect of specimen geometry, the other factors were kept constant and two types of specimen geometry were considered.

## **2 Materials and Methods**

### ***2.1 Asphalt Mixtures***

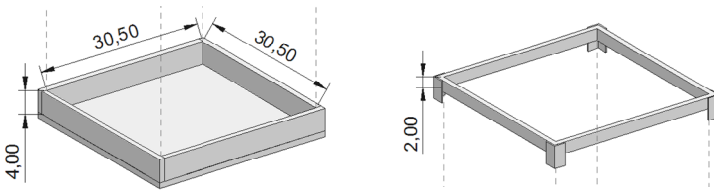
Four types of asphalt mixtures were considered in this study. The mixtures included two types of dense asphalt concrete mixtures and two types of stone mastic asphalt mixtures. An overview of the specimens prepared from these mixtures is shown in Table 1. These types of asphalt mixtures were selected because they are widely used in pavement construction in Norway. Two square specimens and two circular specimens were prepared for each type of asphalt mixture. All specimens were prepared using ready made asphalt mixtures that were obtained from mixing plants and compacted to a target air voids content of 3.5%. The 3.5% air voids content was the desired level of air voids in the asphalt mixtures during field compaction. After compaction, the air voids content in the specimens was determined based on the measured dimensions of the specimens.

**Table 1.** Overview of specimens prepared

Label	Type of asphalt mixture	Type of bitumen	Nominal maximum size (mm)	Type of specimen	Number of specimens	Target air voids content (%)	Measured air voids content (%)	
							specimen 1	specimen 2
AC 11 70/100 Sq	Dense asphalt concrete	70/100	11	Square	2	3,5	3,7	3,9
AC 11 70/100 Cir	Dense asphalt concrete	70/100	11	Circular	2	3,5	3,4	3,6
AC 11 PMB Sq	Dense asphalt concrete	PMB	11	Square	2	3,5	3,6	3,6
AC 11 PMB Cir	Dense asphalt concrete	PMB	11	Circular	2	3,5	3,8	-
SMA 11 70/100 Sq	Stone mastic asphalt	70/100	11	Square	2	3,5	3,8	3,8
SMA 11 70/100 Cir	Stone mastic asphalt	70/100	11	Circular	2	3,5	2,9	3,4
SMA 8 70/100 Sq	Stone mastic asphalt	70/100	8	Square	2	3,5	3,7	3,6
SMA 8 70/100 Cir	Stone mastic asphalt	70/100	8	Circular	2	3,5	3,6	3,6

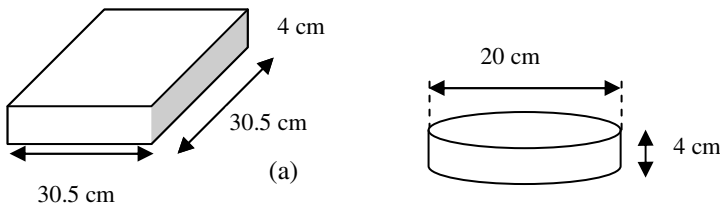
**2.2 Specimen Preparation**

The square and circular specimens were compacted in a square steel mould fitted with a collar. The internal dimensions of the mould were 30.5 cm by 30.5 cm by 2 cm while the collar had a height of 2 cm. These internal dimensions of the mould and the collar are shown inFig. 1.



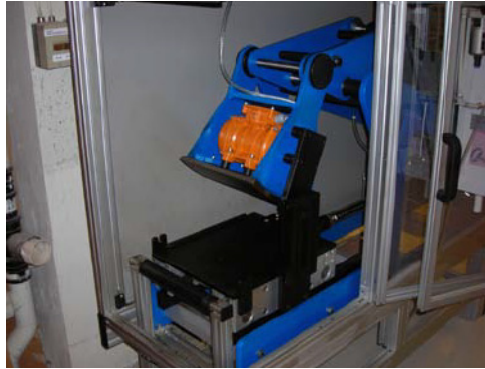
**Fig. 1.** Internal dimensions of the mould and collar (dimensions in cm)[4]

The dimensions of the specimens are shown inFigure 2.The specimens were composed of ready made asphalt mixtures that were obtained from mixing plants.Prior to compaction, the ready made asphalt mixtures together with the compaction mould were heated to the required temperatures for about four hours.



**Fig. 2.** Specimen dimensions (a) square and (b) circular

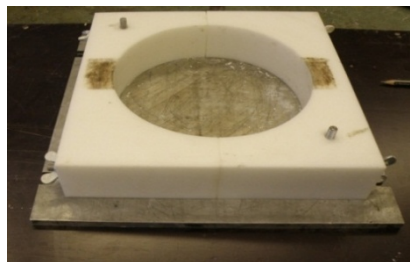
Both types of specimens were compacted using a procedure developed by Anton in 2007[5][5]. This procedure was developed with the aim of attaining a uniform density in the compacted specimen. In this procedure, the specimen is first compacted by hand then by a special roller compacter shown in Fig. 3. The roller compacter consists of an arched compaction plate that rolls forwards and backwards on the surface of the specimen. If necessary, vibration can also be applied as the compaction plate rolls on the specimen.



**Fig. 3.** Special roller compaction equipment

Prior to hand compaction, half of the required weight of the specimen is placed evenly in a compaction mould that is fitted with a collar. This portion is then hand compacted in an orderly manner along edges of the mould after which the interior part of the specimen is compacted in a similar manner. The remaining portion of the mixture is then placed in the mould and again hand compacted in the same manner as the first portion. The mixture is then compacted to the required height using the roller compacter.

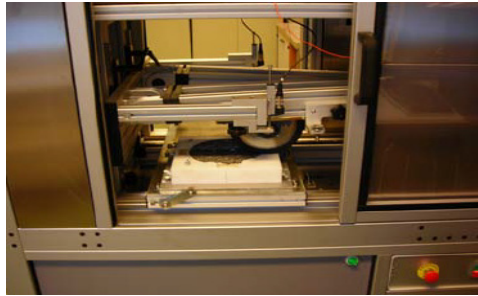
After compaction, the specimens were left to stand for a period of at least one day. The specimens to be used in the preparation of the circular specimens were then frozen, extracted from the moulds and the 200 mm diameter circular specimens cored. After coring, the surface dry density was determined and the circular specimens placed in a plastic split mould measuring 280 mm by 280 mm by 52.5 mm height. An illustration of this plastic mould is shown in Fig. 4. The square specimens were tested without being removed from the mould.



**Fig. 4.** Plastic split mould

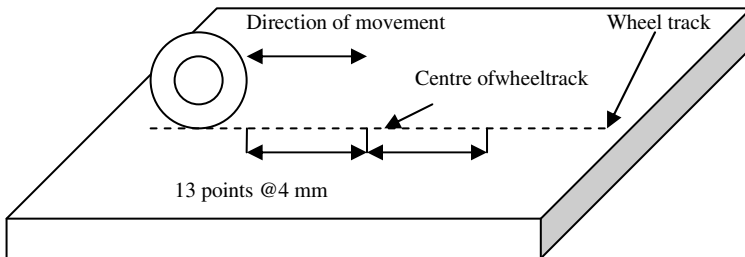
### 2.3 Tests

The tests were performed in air at a temperature of 50°C using a small scale test device shown in Fig. 5 in accordance to Norwegian pavement specifications. The device consists of two wheels that can be used to test two specimens in parallel. Prior to testing, the specimens are conditioned in the test chamber at the required test temperature for a period of at least 4 hours. The wheels are then lowered on the specimen and the test started. Each wheel transmits a load of 700 N. During testing, the specimens move forwards and backwards. A forward or a backward movement constitutes of one load pass.



**Fig. 5.** Wheel track test device with circular sample

The rut depth is measured at a total of 27 points along the wheel track with the distance between two consecutive points being 4 mm as illustrated in Fig. 6. One measurement is taken at the centre of the wheel track and at 13 other points on either side of the track centre. The rut depth is then calculated as an average of these 27 measurements.



**Fig. 6.** Points of measurement

## 3 Results

Figure 7 provides an illustration of the results that were obtained for all the specimen types. From this figure it can be seen that there are apparent differences

in the rut depths experienced by the SMA 11 70/100 over the course of 10,000 load cycles. This figure also provides a visual indicator of the variation level between geometry samples as well as between testing samples using the same geometry. Generally, the samples using the same mix and sample geometry yielded smaller variations than the samples which utilized differing asphalt mixes and geometries. This indicates a possible effect of geometry and mix type on deformation development.

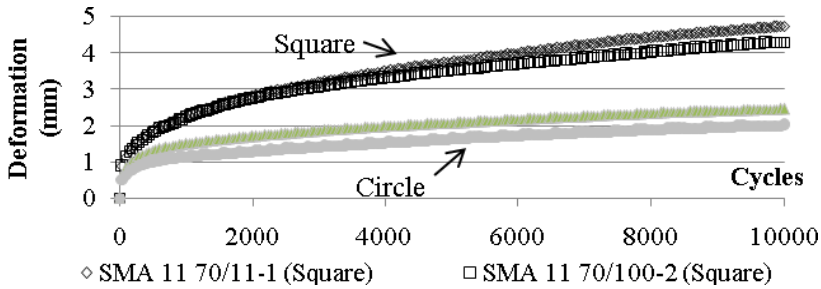


Fig. 7. Development of deformation in square and circular SMA 11 70/100 samples

### 3.1 Mix Type

As seen in Figure 8, the asphalt type has a clear effect on the deformations occurring on the mix. It come as no surprise to see that the AC11 PMB mix is the least susceptible to deformation, however, what is more unexpected is the extent of the variations among the mixes not using PMB. Specifically in the case of the SMA 11 sample it can be seen that the difference in specimen geometry can account for a doubling of the deformation occurring.

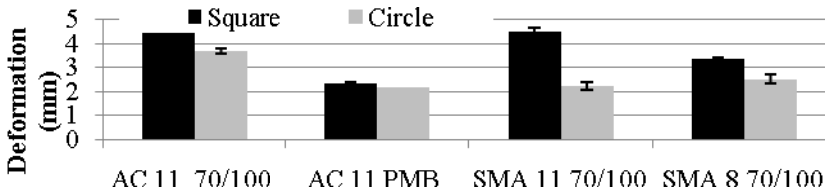


Fig. 8. Effect of asphalt type and sample geometry on deformation.

Typically the rut depth is also expressed in terms that demonstrate the depth of the slab tested. This value is known as the proportional rut depth shown and is calculated using Eqn. 1.

$$\epsilon_p = \frac{\text{rut depth}}{\text{specimen thickness}} \times 100 \tag{Eqn. 1}$$

The Wheel tracking slope (WTS) is also routinely calculated and reported during wheel track testing, the WTS values is calculated using Eqn. 2.

$$WTS = \frac{d_{10000} - d_{5000}}{5} \tag{Eqn. 2}$$

Where,  $d_{10000}$  and  $d_{5000}$  are the deformation after 10000 and 5000 load passes respectively. WTS is expressed in terms of mm/ ( $10^3$  load cycle).

As seen in Figures 9 and 10 the proportional rut depth and wheel track slope were somewhat variable when the different sample geometries were used.

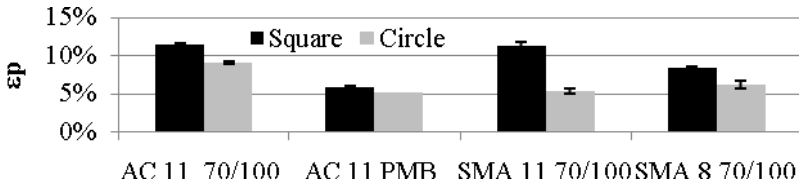


Fig. 9. Effect of asphalt type and sample geometry on proportional rut depth

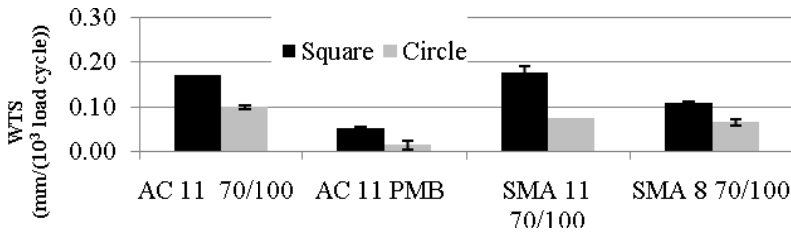


Fig. 10. Effect of asphalt type and sample geometry on wheel track slope

### 3.2 Effect of Sample Geometry

In Figure 11 the average values along with the standard deviation bars are shown for the asphalt samples tested in this research project. Using ANOVA ( $\alpha=0.05$ ) to analyse the data it was found that the differences between circular and square samples were not significantly different (P-value=0,053). This is somewhat surprising given the apparent differences apparent from the figure, however, it is likely due to the significant variations present.

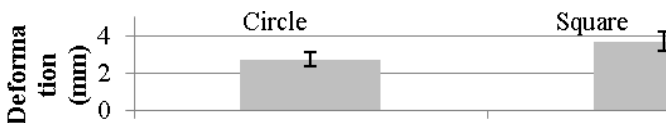


Fig. 11. Summary of effect of sample geometry on deformation



The difference in the sample geometries was however evident when ANOVA was used to analyze the the proportional rut depth. In this case it was seen that the difference between the circular and square samples was indeed statistically significant (P-value=0,037), thus confirming the influence of sample geometry on asphalt sample rut development. As seen in Figure 12, the samples with the square geometry yielded the higher average proportional rutting.

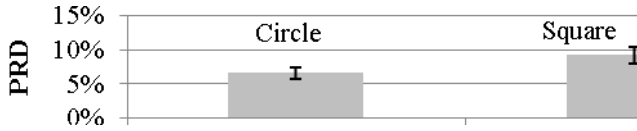


Fig. 12. Summary of effect of sample geometry on proportional deformation

Similarly to the analysis of the proportional rut depth, the analysis of the WTS indicated that the differences between the circular and the square samples were statistically significant (P-value=0,017). This indicates that the wheel track slope is indeed dependent on the sample geometry being used during the wheel track testing. As seen in Figure 13, the average WTS value was higher for square samples than for circular samples.

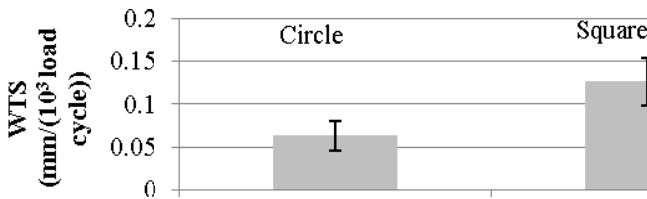


Fig. 13. Summary of effect of sample geometry on Wheel track slope

Table 2 shows the ranking of the final rut depth based on the square and the circular samples. The table shows that ranking can be affected by the specimen geometry. The AC 11 asphalt mixtures are ranked in the same order by both the square and circular samples. However, the square and the circular samples rank the SMA asphalt mixtures in a different order.

Table 2. Ranking of the observed permanent deformation

Ranking - square samples			Ranking - circular samples		
Label	$\epsilon_p$ (%)	Rank	Label	$\epsilon_p$ (%)	Rank
AC 11 PMB Sq	5,8	1	AC 11 PMB Cir	5,2	1
SMA 8 70/100 Sq	8,4	2	SMA 11 70/100 Cir	5,3	2
SMA 11 70/100 Sq	11,3	3	SMA 8 70/100 Cir	6,2	3
AC 11 70/100 Sq	11,4	4	AC 11 70/100 Cir	9,0	4

## 4 Conclusions

The study in this paper shows that the geometry of the wheel track specimens affects the permanent deformation of asphalt mixtures. The deformation of the circular specimens was lower than that of the square specimens. In addition, the geometry also affected the ranking order for the different asphalt mixtures. This suggests that the comparison of laboratory compacted mixes and field extracted specimens should be based on specimens with the same geometry.

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# Performance of ‘SAMI’S in Simulative Testing

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**Abstract.** Although the use of Stress Absorbing Membrane Interlayers (SAMIs) to control reflective cracking has been proven to be effective under certain circumstances, the mechanisms involved are not agreed and design is therefore difficult for engineers to implement with confidence. This paper describes simulative laboratory tests utilizing two different SAMI systems, part of a broader project designed to throw light on the subject. The simulation was conducted to investigate the effectiveness of SAMIs under trafficking. Trafficking was carried out in the Nottingham Pavement Test Facility on a pavement consisting of a thin overlay to a cracked asphalt substrate, and this demonstrated a measurable benefit from the two SAMI systems trialed. Data analysis was then carried out and deductions made regarding those properties of SAMIs that appeared to contribute most to reflective crack resistance.

## 1 Introduction

Rehabilitated pavement is often plagued with the problem of reflective cracking. Traffic and thermal loadings have been identified as the major causes of reflective cracking [1-4]. Although, laboratory tests have been used successfully to evaluate the performance of materials and mixtures, it is not practicable to implement laboratory findings directly in the field without field or large scale testing being carried out, because the field conditions cannot entirely be replicated or simulated in the laboratory. To bridge the gap, it is necessary to carry out field or accelerated pavement testing. Accelerated pavement testing is generally defined as the application of wheel loads to specially constructed or in-service pavement to determine response and performance under a controlled and accelerated accumulation of damage in a short period of time [5]. In this study, the Nottingham pavement test facility was used to evaluate the performance of SAMIs under traffic loading.

SAMIs are interlayers designed to dissipate energy by deforming horizontally or vertically, therefore allowing the movement (vertical/horizontal) of the underlying pavement layers without causing large tensile stresses in the asphalt overlay. Barksdale [6] defined a stress-relieving interlayer as a soft layer that is usually thin and is placed at or near the bottom of the overlay. He stated further

that the purpose of the soft layer is to reduce the tensile stress in the overlay in the vicinity of the crack in the underlying old layer and hence “absorb” stress.

The application of stress-relieving systems at the interface between the overlay and the old pavement surface reduces the shear stiffness of the interface. Debondt [1] proved using theoretical analysis that the reduction of shear stiffness allows slip of the interface, thereby isolating the overlay from the stress concentration of the crack tip.

## 2 The Pavement Test Facility

The pavement test facility (PTF) is made up of the following: reaction beams that provide the necessary reaction for any lateral position of the loading frame and the main beam; the load carriage used to mount the guide bearings and wheel loading assembly; the cable system which consists of 8mm cable wound around a 150 mm drum; the hydraulic system which consists of a hydraulic power pack (oil pump), hydraulic motor and a servo valve; and the feedback transducers and electronic control system used to monitor the carriage speed, carriage position and the wheel load [7]. A schematic of the pavement test facility is shown in Figure 1. The wheel movement is controlled by the hydraulic motor which pulls the cable (steel ropes) in both directions (forward and backward). It was designed to apply a load magnitude of up to 12kN at a maximum speed of 14.5 km/hr. The PTF pavement has length, width and depth of 5.0 m, 2.4 m and 1.5m, respectively.

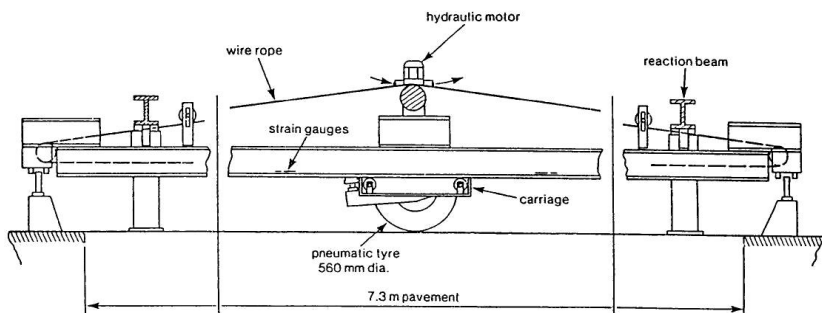


Fig. 1. Schematic of the Pavement test facility (side view) [8]

## 3 Material Properties

The materials required for the construction of the pavement are clay subgrade, crushed rock subbase material, 10 mm asphalt concrete with 40/60 penetration grade bitumen for the base and surface (overlay) layers and Fibredec® A and B as SAMIs. The SAMIs are prepared by sandwiching 60mm of glass fibre stands at a rate of 120g/m<sup>2</sup> between two layers of bitumen emulsion and 6 mm aggregates

spread at a rate of  $8\text{kg/m}^2$  are compacted onto the sandwiched glass fibres. Fibredec A is prepared with an ordinary bitumen emulsion while Fibredec B is prepared with polymer modified emulsion. The viscosities of both emulsions at  $25^\circ\text{C}$ ,  $30^\circ\text{C}$  and  $40^\circ\text{C}$  are shown in Table 1.

**Table 1.** Viscosity of bitumen emulsion used in SAMIs

Bitumen emulsion	Viscosity (Pa.s) @ $25^\circ\text{C}$	Viscosity (Pa.s) @ $30^\circ\text{C}$	Viscosity (Pa.s) @ $40^\circ\text{C}$
Ordinary bitumen emulsion	0.700	0.580	0.390
Polymer modified bitumen emulsion	0.184	0.194	0.180

### 3.1 Subgrade and Subbase Layers

The strength of the subgrade and subbase layers was determined using the Dynamic Cone Penetrometer (DCP). The DCP has an 8kg weight dropping through a height of 575 mm and a  $60^\circ$  cone having a diameter of 20 mm. The result of the DCP test is shown in Figure 2. The California bearing ratio (CBR) was determined from the DCP data using the software UK DCP version 3.1 described by Done and Piouslin [9]. Also, the stiffness of the sections was calculated from equation 1 reported by Powell et al [10]. The California bearing ratio and the stiffness values are shown in Table 2. The subgrade has average CBR and stiffness of 1.33% and 21MPa, respectively, while the subbase has average CBR and stiffness of 18.33% and 113MPa, respectively.

$$E = 17.6\text{CBR}^{0.64} \quad (1)$$

Where,

E = Elastic modulus; and

CBR = California bearing ratio

**Table 2.** CBR and stiffness of subgrade and subbase, derived from DCP tests

Sections	Subgrade		Subbase	
	CBR (%)	Stiffness (MPa)	CBR (%)	Stiffness (MPa)
1	1	17.6	21	123.52
2	2	27.43	17	107.89
3	1	17.6	17	107.89
Average	1.33	21	18.33	113

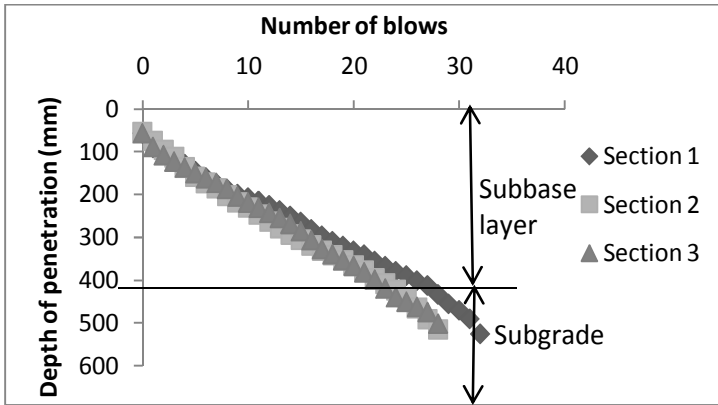


Fig. 2. DCP test results

### 3.2 Base and Surface (Overlay) Layers

The base and surface layers as earlier stated were made of 10 mm asphalt concrete with 40/60 penetration grade bitumen. Specimens for testing were prepared and compacted at 130°C into a mould 305 mm × 305 mm × 130 mm to a thickness of 60 mm using a roller compactor. Five cores of diameter 100 mm and thickness 40 mm were cored from each slab. The indirect tensile stiffness modulus (ITSM) test and indirect tensile fatigue test (ITFT) were carried out. The procedures for the indirect tensile stiffness method (ITSM) test and indirect tensile fatigue test (ITFT) are described in British/EN Standards [11, 12], respectively. The air voids and ITSM results at 10°C, 20°C and 30°C are shown in Table 3. The fatigue line presented in Figure 3 has a slope of 0.215. This shows that the mixture has a good fatigue characteristic.

Table 3. Indirect stiffness modulus test and air void results (nearest hundred)

Specimens	1	2	3	4	5	Average
Air voids(%)	8.00	7.79	7.97	7.50	7.54	7.76
ITSM at 10°C	8100	7500	7200	7300	7700	7560
ITSM at 20°C	4700	4300	3600	4300	4300	4240
ITSM at 30°C	2300	2200	1900	2000	2200	2120

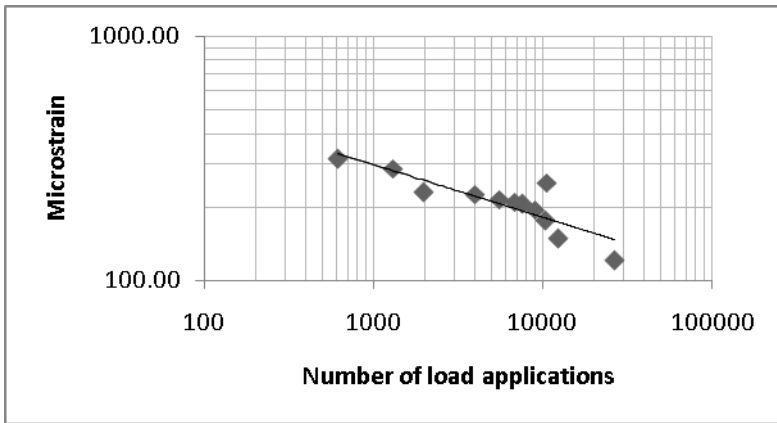


Fig. 3. Fatigue line of the asphalt

### 4 PTF Pavement Construction

The existing granular materials in the PTF track were removed to expose the clay subgrade (with a capping of fine sand). Crushed rock subbase material was spread and compacted with a vibrating plate in three layers to a thickness of 400 mm as shown in Figure 4(left). The first and second layers of the subbase were compacted to a thickness of 130 mm, while the third layer was compacted to a thickness of 140 mm. The 10mm asphalt concrete with 40/60 penetration grade bitumen was laid and compacted using a pedestrian roller to achieve a thickness of 60 mm for the base as shown in Figure 4(right).

To create the crack, the pavement was divided into sections. A transverse crack was created at the centre of each section (simulating an existing pavement). The cut thickness was about 5mm (thickness of the blade). Also, to study the situation where cracks are closely-spaced in the field, cracks were created at 200 mm from the end and at the end of each section. The diagram of the cuts and the PTF base layer with the cuts is shown in Figure 5.



Fig. 4. PTF subbase and base layers

The SAMI layers for sections 1 and 3 were Fibredec® A and B, respectively. Fibredec® A and B were prepared by sandwiching 60 mm glass fibre strands between two layers of bitumen emulsion and 6 mm aggregates were compacted on them using a vibrating plate. Ordinary bitumen emulsion was used to prepare Fibredec® A, while polymer modified emulsion was used for Fibredec® B. Section 2 was given no treatment (Control). A layer of sandwiched glass fibre strands is shown in Figure 6. The surface layer was made of 10 mm asphalt concrete with 40/60 penetration grade bitumen. The asphalt was prepared and compacted at an average temperature of 130°C using a pedestrian roller. The pavement structure of sections 1, 2 and 3 is shown in Figure 7.

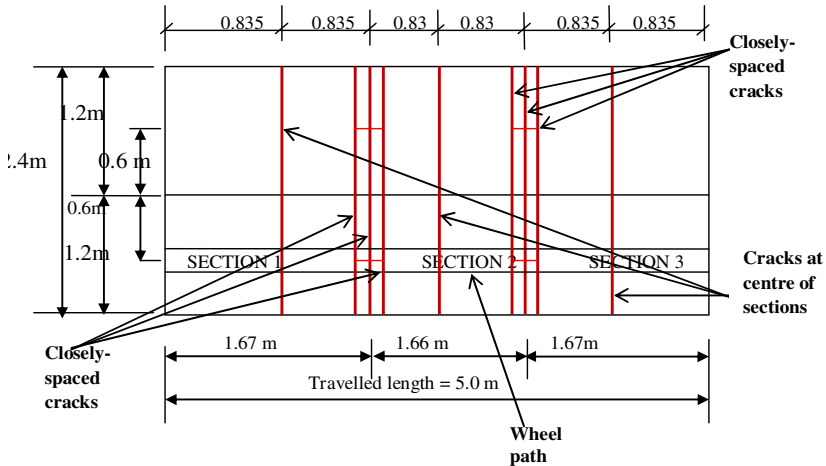


Fig. 5. Simulated cracks in PTF sections



Fig. 6. PTF SAMI



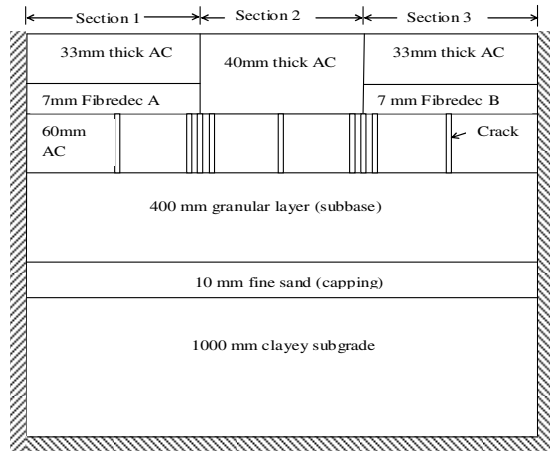


Fig. 7. Pavement structure for sections 1, 2 and 3

### 5 PTF Instrumentation and Trafficking

The measurements taken in this study are the numbers of wheel cycles to the first appearance of cracks and to failure. The number of wheel cycles to the first appearance of cracks was taken when a crack was first noticed on the overlay, while the full opening of cracks (cracks open and close as the wheel passes) was chosen as the failure criterion. The wheel path was painted white to monitor the appearance of cracks on the surface layer (Figure 8). The pavement was trafficked using a 9.6kN wheel load at an average speed of 3km/hr. The number of wheel repetitions as the wheel load moved forward and backward was logged with the use of an electronic counter. A digital thermocouple was used to monitor the room temperature during the test.



Fig. 8. Wheel path painted white

## 6 Test Results

The average room temperatures in the morning, noon and evening during trafficking were 22.7°C, 25.9°C and 26.9°C, respectively. The numbers of wheel cycles to first appearance of cracks and to failure are presented here.

### 6.1 Number of Wheel Cycles to Failure

The number of wheel load applications to the first appearance of cracks and failure are shown in Figure 9. The figure shows that the crack appeared first in the control section 2 with no SAMI. This indicates that both sections 1 and 3 with Fibredec® A and B, respectively performed better than section 2 with no SAMI. They had lives 2.92 and 1.93 times that of the section with no SAMI, respectively. Also, section 1 with Fibredec® A performed better than section 2 with Fibredec® B. This was in agreement with the results of a small-scale wheel tracking test carried out as part of a broader project on reflective cracking [13]. The bitumen emulsion used in Fibredec® A is thought to aid the SAMI's performance. The results show that the SAMIs in this study were able to retard reflective cracking. The crack resistance of the SAMIs is governed by their ability to dissipate energy by deforming vertically within their elastic limit, which is achieved by using material of lower stiffness and with a lower shear stiffness between the SAMIs and overlay.

To investigate a situation where cracks are closely spaced, cracks were simulated in the base layer by cutting three transverse cracks 200mm apart as shown in Figure 5. The numbers of wheel cycles to first appearance of cracks on the overlay and to failure are presented in Figure 10. It was observed that cracks appeared at the surface shortly after trafficking started. This was thought to be due

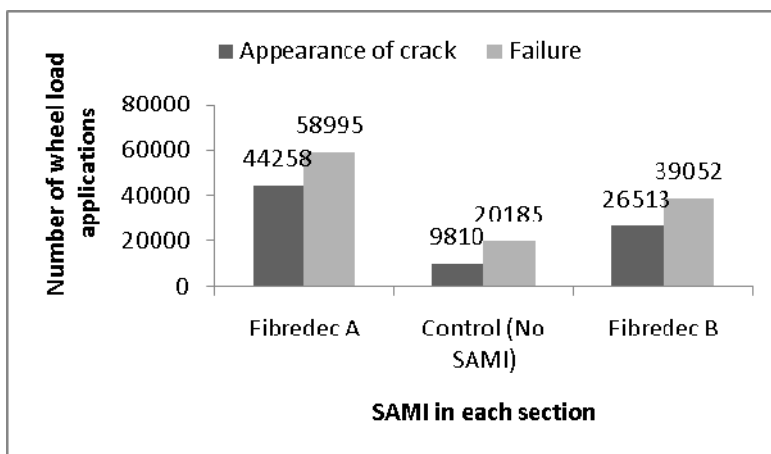
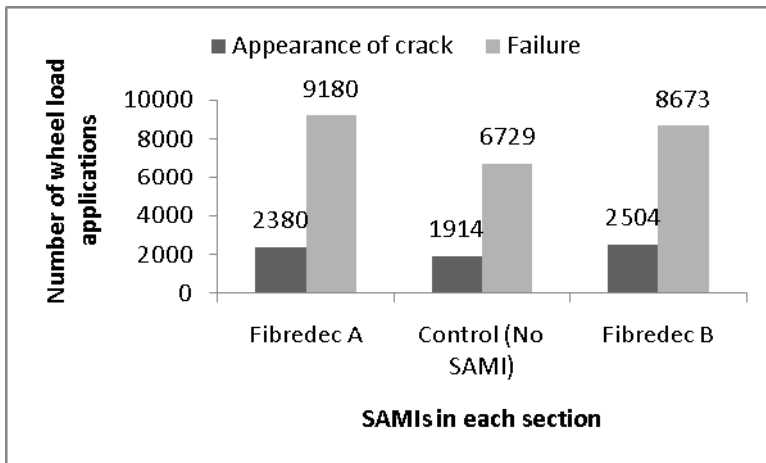


Fig. 9. Number of load applications to the first appearance of cracks and failure for the cracks at centre



**Fig. 10.** Number of load applications to the first appearance of cracks and failure for the cracks closely-spaced

to the fact that the surfacing layer (overlay) was laid and compacted in three parts, thereby creating joints in the overlay close to the closely-spaced cracks in the base layer. However, this condition was the same for the three sections; therefore, the comparison of the three sections was still possible.

Figure 10 shows that section 1 with Fibredec<sup>®</sup> A performed slightly better than section 3 with Fibredec<sup>®</sup> B. For the cracks at the centre of each section in the base layer, it was observed during the test that for the SAMI sections cracks appeared first in the overlay, about 100 mm away from the simulated crack in the base. These are thought to be top-down cracks because a final crack always appeared right above the crack in the base. Attempts were made to cut cores from each section of the pavement and, unlike the cores from section 2 (control), the cores from sections 1 and 3 split into two, confirming the low shear stiffness of the overlay-SAMI interface.

## 7 Conclusions

The study shows that the both Fibredec<sup>®</sup> A and B are able to retard reflective cracking under traffic loading. The crack resistance of the SAMIs under traffic loading is due to the softness of the interlayer allowing the deformation of the interlayer therefore dissipating energy that would have aided the propagation of cracks, and the slip between the overlay and the SAMI, isolating the overlay from stress concentration around the crack region.

Also, the study shows that in the PTF, the section with Fibredec<sup>®</sup> A performed better than the one with Fibredec<sup>®</sup> B. This is the case because of the bitumen emulsion used in Fibredec<sup>®</sup> A.

Lastly, the findings show that the performance of the overlay is influenced by the introduction of SAMIs. Also, the effectiveness of the SAMIs depends on factors such as SAMI's composition, stiffness and the interface stiffness.

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# Towards a New Experimental and Numerical Protocol for Determining Mastic Viscosity

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**Abstract.** The rheological characteristics of mastics, or filler-bitumen mixtures, as a component of asphalt mixtures have a significant effect on the overall in-time performance of asphalt pavements such as low temperature cracking, fatigue and rutting behaviour. Viscosity is one of the rheological characteristics which is influenced by the physico-chemical filler-bitumen interaction. In this study, after reviewing some of more often used theories for calculating the viscosity of suspensions, a framework for calculating the viscosity of mastics is presented. This framework aims at covering the entire range of filler concentrations that is found in mastics. Also, a procedure for measuring viscosity mastic from dilute to high concentration mastic using a vane rotor viscometer is introduced. The paper is presenting the first experimental results and discusses the effect of the shape of the investigated fillers on the measured viscosity of the mastics.

## 1 Introduction

Research has shown that a large part of the mineral fillers that are included in asphalt mixtures are embedded inside the bituminous phase. As such, it is creating the asphalt mortar, often referred to as the mastic phase or the filler-bitumen mixture [1,2,3]. In this paper, fillers are considered as the mineral fraction that is smaller in size than 0.063mm. It can therefore be said that it is not the bitumen but the mastic phase that is binding the aggregates together, ensuring thus a stable skeleton for the needed stress-transfer. In addition to binding the aggregate skeleton, mastics also influence many of the other important asphalt mixture properties, such as the overall stability of the mixture, air void distribution, bitumen drain-down during transport, its workability during the laying process and the overall in-time performance of the pavement [1,3]. The effect of the mastic stiffness and its binding strength on the asphalt mixture fracture resistance at low temperature, fatigue resistance at intermediate temperature, and permanent deformation resistance at higher temperature can be noticeable [3]. Therefore, to understand the properties of asphalt mixtures and its resistance against failure mechanisms such as cracking, it is important to study the mastic properties as well as the mastic-aggregate bond [2].

Because the mineral filler fraction has a very high surface area in comparison to the coarser aggregates in the mixtures, the physio- chemical interaction between

bitumen and filler becomes an important parameter in the mixture performance. The shape of the fillers, their size and size distribution, the nature of their surface texture, their adsorption intensity and the chemical composition of the fillers are all parameters that can potentially have a significant effect on the long-term performance of the entire mixture.

In addition to these parameters, the mixing and compaction temperature in the design and production of asphalt mixtures is also very important. For determining these temperatures, currently, the viscosity of bitumen at different temperature is used. This procedure, unfortunately, is only valid for equiviscous bitumen. For other types of bitumen, for example polymer modified, this method no longer applies. This is another reason why mastic properties should have a more dominant role in the current asphalt design procedures.

Viscosity of mastic is a parameter that can give a good indication of the mastic behaviour, incorporating some of the above described phenomena. This means, however, it is important to have 1) proper tests which are capable of measuring the viscosity of mastics over a wide range of concentrations and 2) fundamental insight into how these filler-bitumen interactions act as a function of concentration, shear rate, temperature, moisture, strain rate history and other parameters.

## **2 Research Aims**

In this research, focus is placed on developing an understanding of the mastic viscosity as well as a reliable method to measure it. For a given binder, the filler concentration, filler properties and the physio-chemical and mechanical interaction between the filler and bitumen are important parameters that control the viscosity of mastic. To develop an understanding of the effect of fillers on the viscosity of mastic, in this research first a theoretical framework was developed based on suspension theories. At elevated temperatures, mastic can be categorized as a suspension; where bitumen acts as the viscose part of the suspension and the fillers act as the particles immersed in the bitumen. The aim of this framework is to be able to calculate the viscosity of mastic at elevated temperatures including fillers parameters, such as size, shape, surface chemistry and porosity.

Measuring the relative viscosity versus different filler concentrations gives insight into the filler bitumen interaction. Given the risk of filler sedimentation at lower and intermediate concentrations in mastics, measuring its viscosity at these concentrations can be quite challenging however.

## **3 Calculating the Particle Effect**

Many efforts have been made over the past 10 decades to understand and describe the effect of particles on the flow characteristic of various fluids. In general, for a given liquid and a certain type of particles, suspensions with low particle concentrations have a lower viscosity compared to suspensions with higher

concentrations. Higher particle concentration also means that particles come closer to each other and their interaction distances become more dominant. Eventually, with even further increased concentration, particles will come into contact and produce an inherent frictional force. To describe the viscosity of mastics, the model should be able to handle this entire range which gives quite a complex combination of phenomena to deal with. In the following a short overview is given of the efforts done to describe the particle effect in fluids and how this can be related (or not) to mastics.

### 3.1 Historical Perspective

Asphalt mastics are generally made by mixing asphalt binder (i.e. bitumen) with certain percentages of mineral fillers. As such, it can be treated as a suspension, in which bitumen is the fluid phase and the fillers are the particles. Over the years, suspension viscosity has received a lot of attention due to its practical importance. Einstein addressed the viscosity solution in his paper in 1906. In his theory, particles are positioned far enough from each other, such that no interaction between the particles will occur [4]. Einstein equation is shown by:

$$\eta_r = 1 + \eta' \cdot \phi \quad (1)$$

where  $\eta_r$  is a relative viscosity of suspension,  $\phi$  is the particle concentration and  $\eta'$  is the intrinsic viscosity which is empirically related to the particle physical characters such as size, shape and rigidity and also particle interaction with the interstitial fluid.

Einstein in 1906 derived his equation by solving the dissipation of energy for very dilute suspensions and found a value of 2.5 for  $\eta'$  for spherical rigid particles. His derivation was followed by a lot of research efforts to obtain a number for  $\eta'$  in different ways. For most filler particles  $\eta'$  was found to be in the range of 2.4 - 4.9 [5]. Most applications, however, treated the intrinsic viscosity as a curve fitting parameter that is related to the maximum concentration [5,6] following:

$$\eta' = \frac{2}{\phi_m} \quad (2)$$

where  $\phi_m$  is defined as the maximum concentration which the viscosity of the suspension tends to infinity [5,6,7]. In the present study a new definition for the maximum concentration has been defined which will be explain in this paper. Due to different values of  $\phi_m$  depending on the binder and particle types, the amount of  $\eta'$  is also varying.

The Einstein equation is the basis of most theories, which are dealing with the calculation of viscosity of suspensions. Many researchers have tried to extend the Einstein equation for higher percentages of particle concentration. Rutgers in 1962

[8] and later on Thomas in 1965 [9] showed none of these models are able to calculate the viscosity of suspensions with high concentrations. Frankel and Acrivos in 1967 [10] calculated the viscosity of high concentration suspensions. They assumed hereby that the velocity of the particles is the same as the average velocity of the fluid and that suspensions behave as Newtonian fluids on a macroscopic scale. In their calculation they neglected the existence of any boundary effects.

Frankel and Acrivos solved the viscous dissipation energy for two neighboring particles with thin hydrodynamic flow between them. From this, they calculated the energy for multiple particles, and suggested the following equation for calculating viscosity.

$$\mu_r = C' \left\{ \frac{(\phi / \phi_m)^{\frac{1}{3}}}{1 - (\phi / \phi_m)^{\frac{1}{3}}} \right\} \quad (3)$$

For determining the constant  $C'$  they used the Simha cage method [11]. In this method they calculate dissipation energy for a particle surrounded by other particles (six particles) in the cubic configuration (figure 1) plus an influence layer around that particle. By assuming that the velocity on the boundary of the spherical cell must be equal to an equivalent surface in a pure medium, they determined  $C' = 9/8$  for spherical and  $C' = 3\pi/16$  for cubical particles.

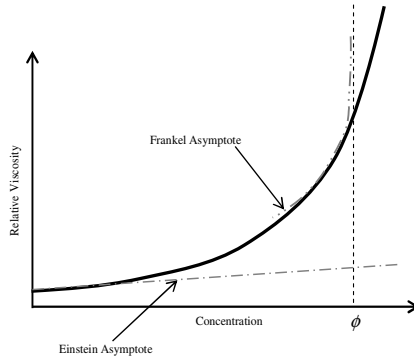
Hesami et al. [12] gave a comprehensive overview of the methods, which are most often applied to calculate the viscosity of suspension in different fields of science.

Some of these theories were designed for specific suspensions such as cement past or suspensions with uni-size particles, but none of them is able to predict the viscosity of suspensions at different particle concentrations. In the following, based on the described theories in this section, a new empirical framework is proposed that can be utilized for asphalt mastics.

### 3.2 *Developed Framework*

Some of the previously described theories are designed for low concentrations, such as the Einstein equation, and some others actually more suited for high concentrations, such as the Frankel equation. These equations could therefore be used as the boundary asymptotes for the viscosity as a function of concentration, Figure 1. Due to Frankel's definition of maximum concentration, however, at a certain concentration this asymptote tends to infinity. Obviously this can never be an accurate representation of the viscosity of the type of suspension to granular materials at a given temperature we are considering in this paper. With increased concentration there may be a sharp increase of viscosity, but it would never go to infinity and, as such, would diverge from the Frankel asymptote.





**Fig. 1.** Relative viscosity versus concentration bounded by two asymptotes

As discussed earlier, asphalt mastic can be considered as a suspension with different concentration, in which the filler is immersed in the bitumen. In this suspension, the filler consists of particles with different geometries and sizes that are surrounded by a layer of bitumen. This layer around the particle may in fact be divided into two sub-layers: (i) the layer of bitumen around the particle that is partially influenced by the particle and does not have the same flow characteristics as the ‘free’ binder that is farther away from the particle and (ii) the layer of bitumen that has adhered to the particle and as such is acting as though it has become part of the particle itself. The first layer is here referred to as the “partially influenced bitumen layer ( $\epsilon$ )”, the latter is here referred to as the “adsorbed asphalt layer ( $\delta$ )”. Particles with the adsorbed layer will be considered to have a new radius and will be referred to as “effective particles” [1].

The distance between the two effective particles is noted as  $h$ . This distance  $h$  is zero if the two effective particles contact each other and infinite for very dilute suspension.

An overall measure of  $h$  in a mixture can be found from [13]:

$$h = 2r \left[ \left( \phi / \phi_m \right)^{\frac{1}{3}} - 1 \right] \quad (4)$$

where  $\phi_m$  is the maximum concentration and the mixture does not have any free binder [14]. Resistance to the flow comes from particle to particle contacts and makes for a continuum network of friction. The value of the maximum concentration depends on size distribution, shape and type of aggregate and also binder characteristics such as stiffness of binder. Accurately measuring or calculating the maximum concentration according to the above definition is of paramount importance for calculating the viscosity of asphalt mastic.

Under these conditions the resistance to the flow comes from the frictional reaction between particles and the behaviour of material is predictable in the frictional regime.

When the frictional force become dominant, the distance between particles is equal or less than  $2\delta$ . Due to the direct contact of effective particles, most resistance to flow comes from the friction. Hence for calculating the viscosity in this regime this paper proposes the following equation:

$$\eta_r = \left( \frac{\delta}{r} - h_r \right) N_c * C_1 \quad (5)$$

where  $h_r$  is a relative distance between particles and is governed by:

$$h_r = \frac{h}{r} \quad (6)$$

where  $r$  is the average weighted radius of the particles.

In equation (10), the term of  $(\delta/r - h_r)$  shows the strength of contact.  $N_c$  is the number of particles and it shows the number of contacts which are producing the friction force and  $C_1$  is the friction coefficient of the whole particles structure.

The mastic with concentrations less than maximum concentration behaves in the hydrodynamic regime. In the hydrodynamic regime viscous behaviour of suspensions can be described with two equations, Einstein and Frankel, depending on the percentage of particle concentrations. For mastics with low concentrations the distance between particles is much bigger than the filler radius, so the effect of particles on each other is negligible and the Einstein equation is valid. By increasing the percentage of particles, the distance between particles becomes smaller and the particles start to affect each other. If the distance between particles is  $2\delta$  up to  $2\zeta$ , the mastic is still in the hydrodynamic regime; however significant interaction of particles increases the viscosity of mastic sharply. In this manner the particles come closer to each other but the friction interaction does not occur. The Frankel equation can explain the viscosity behaviour of mastic very well under these conditions.

Even though the Einstein and Frankel asymptotes can approximate the very low and very high conditions rather well, the transition part between these extreme limits remain without definition. For this reason an equation that can calculate the viscosity of the mastic in the transition part was derived as:

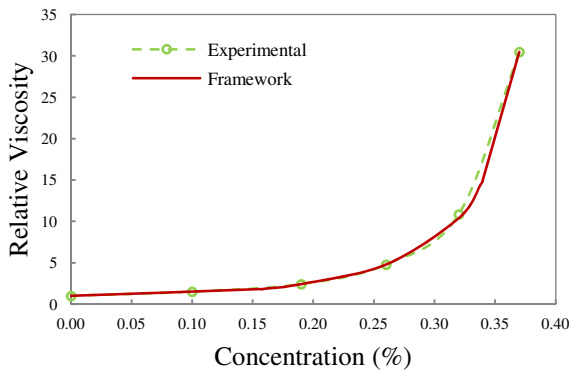
$$\eta_r = C(1/h_r)^n \quad (7)$$

Figure 2 shows a comparison between the predicted viscosity and the measured data. As can be seen the framework was quite well able to capture the viscosity over the wide range of concentration [12].

### 3.3 Particle-Binder Interaction

In the presented framework, the Einstein coefficient, the Frankel coefficient, the maximum concentration,  $C$  and  $n$  from the transition equation are determined by

matching the framework to the experimental data. For adopting this framework to an independent theoretical framework, the model parameters need to have a physical meaning, and should serve as input parameters to predict the resulting viscosity. Important parameters for this are: shape, size, size distribution, surface texture, surface porosity, filler-bitumen chemical reaction, filler potential to bitumen adsorption, polarity of fillers and filler agglomeration. This will be investigated further in subsequent research. Additionally to developing the model framework, being able to measure the viscosity is equally important for validation as well as practical implementation into practice.



**Fig. 2.** Comparison between experimental data and calculated viscosity by framework

## 4 Development of the Experimental Procedure

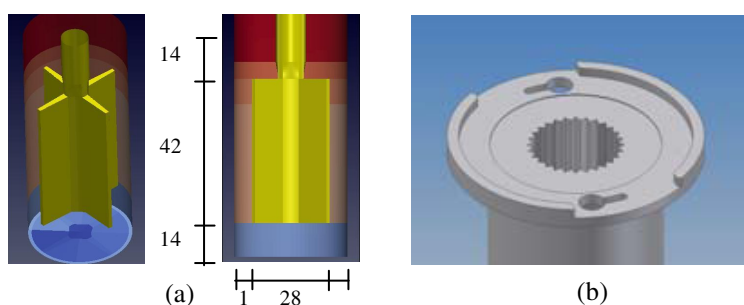
Being able to accurately measure the viscosity of mastics with different fillers and filler concentration at various temperatures is an important but also challenging task, as described in the previous sections. To be able to make fundamental links between the measured mastic viscosity and physio-chemical parameters of the fillers, additional tests may also be needed. In the following, however, the developed test procedure to measure the viscosity of pure bitumen (low viscosity) up to high concentrated mastic (high viscosity) at different shear rate with high control to applying shear is explained.

### 4.1 Sample Preparation and Test Description

The weight of volume for a specific concentration was calculated by measuring the density of filler and bitumen at the temperature at which the mastic viscosity will be measured. All mastics were mixed at the same temperature (140 °C), to reduce the effect of the mixing operation. This temperature was chosen such that it would be appropriate for mixing the bitumen and fillers at all percentages. Mixing

of the bitumen with the fillers was done with a mechanical high shear mixer. During this process attention was placed on creating a homogeneous mastic and avoiding filler agglomeration as much as possible. To prevent adding air bubbles into the mix, the filler was gradually spread in the bitumen during the mixing. The mastic was kept in an oven at 140 °C for 1 to 2 hours to give the samples time to release any remaining air bubbles. To ensure a homogeneous mixture, the mastic was mixed again at the relevant test temperature before pouring mastic into the cup of the viscometer.

The used rotational viscometer must be able to apply accurate shear rate from very low to very high for low viscose materials to high viscose materials. The geometry and the gap between inner and outer cylinder was chosen very carefully to avoid the influence of the boundaries on the measurements. In addition to a boundary bias, practically this is also important because the inner cylinder or rotor must be able to go inside the mastic even for very stiff mastic as well as prevent slippage on the wall of the inner cylinder. For this reason the vane rotor was chosen in this study, Figure 3-a. In addition to the vane rotor, an outer cylinder with small grooves on the inner wall of the cup was used, figure 3-b. The gap between rotor and cup wall was chosen to be large enough that the largest particle can move easily in the gap and narrow enough to avoid creating the so called ‘plug’ (or zero shear) zone.



**Fig. 3.** a) The Vane shaped inner cylinder rotor and its dimensions in millimeter. b) The grooved outer cylinder (cup)

As the viscosity is determined by the slope of the shear stress vs. shear rate graph, a continues ramp procedure was used, in which the shear rate increases or decreases constantly in equal time steps. The device had to be able to change the shear rate very fast to have equilibrium shear rate in the measuring steps. By controlling the shear rate, the Rheometer adjusted the velocity of vane rotation and measured torque required to rotate.

## 4.2 Description of Fillers

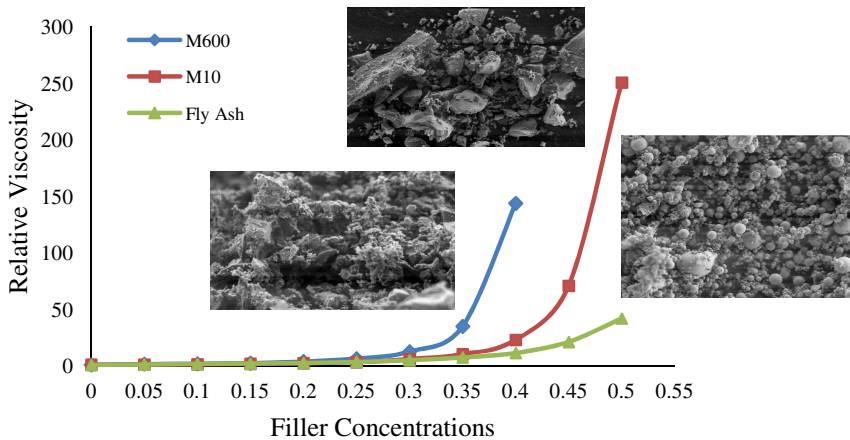
In this study three types of filler were used to make the mastics: two types of silica base filler, M10 and M600 and a fly ash filler. The silica fillers have the same

chemical composition but different physical characters particularly in size and specific surface area. The M10 and M600 are produced from sand after crushing by a ball mill, which creates regular aggregate shape with sharp edges, figure 4. Fly ash is generally collected from the flue gases by use of electrostatic precipitation. The fly ash producing processing will not change the shape of the particles that much, they will be round glassy particles, but it may have an influence on the size distribution [15], so care should be taken in the origin of the fly ash.

To keep the influence of the bitumen as a constant, a standard Nynas bitumen 70/100 was used as the binder for all mastics. The three types of fillers were used at concentrations from 5 to 50 percent by volume of filler to the total volume.

### 4.3 Test Results and Discussion

In Figure 4 the measured relative viscosity of the three mastics as a function of filler concentration is shown.



**Fig. 4.** Relative viscosity vs. Filler concentration for different fillers

As can be seen, the relative viscosity of the mastics increases sharply at a certain filler concentration. This concentration could be specific for each bitumen and filler combination and could perhaps be controlled by changing the shape and size of the particles. To study the shape of the particles, the fillers were investigated with a Scanning Electron Microscope (SEM), Figure 4. From these it can be seen that the flakiness of the silica based particles are similar. However M600 is finer than M10 and also has more surface area. This could partially explain that the effect of M600 on the relative viscosity is larger than M10 and the relative viscosity of mastic with M600 increases more rapidly by increasing the filler concentration compared to the mastic with M10.

From Figure 4 it can be seen that the fly ash mastics had less relative viscosity for the same particle concentrations as M600 and M10. The SEM scans of the fly ash particles show the very round nature of this filler. It could be hypothesized that due to the round shape of the Fly Ash particles they can roll more easily within the bitumen when exposed to a shear deformation. Also, the critical concentration for mastic with Fly Ash is higher than the other mastics which confirm that this mastic can have more filler with less resistance to flow.

## 5 Conclusions and Recommendations

In this research, focus was placed on developing an understanding of the mastic viscosity as well as a reliable method to measure it. For a given binder, the filler concentration, filler properties and the physio-chemical and mechanical interaction between the filler and bitumen are important parameters that control the viscosity of mastic. In this paper, a theoretical framework was demonstrated that is capable of capturing the range of concentration relevant for mastics. Furthermore, a developed test procedure to measure the viscosity of pure bitumen (low viscosity) up to high concentrated mastic (high viscosity) at different shear rate with high control to applying shear was described.

To demonstrate the developed methods, in this study three types of filler were used to make the mastics: two types of silica base filler, M10 and M600 and a fly ash filler. To keep the influence of the bitumen as a constant, a standard Nynas bitumen 70/100 was used as the binder for all mastics. The three types of fillers were used at concentrations from 5 to 50 percent by volume of filler to the total volume. From the measured viscosity it was clearly shown how the shape and size distribution of the filler particles influence the viscosity build-up of the mastic types.

Future aims of this research will include expanding the developed framework and test methodology to a more comprehensive protocol in which mastic viscosity can be easily determined, including fundamental fillers parameters, such as size, shape, surface chemistry and porosity.

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# Interference Factors on Tests of Asphalt Biding Agents Destinated to Paving Works Using a Statistic Study

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**Summary.** The asphalt binder characterization tests, with the purpose of being used in paving works, consist an excellent instrument of quality evaluation for these asphalt binders in order to guarantee the construction of higher durability works. However, it is possible observing innumerous factors during the tests which can affect, in a significant way, a more specific evaluation by each one of the proposed tests. Based on this context, the present paper presents an analysis of the principal factors that can interfere directly in the results of the characterization tests of asphalt binder, and, which can harm the performance and durability of asphaltic paving. The methodology adopted is based in a sampling of results obtained for the main characterization tests, petroleum asphaltic cement - CAP 50/70, accomplished by 08 different work teams, where a study formulated by statistic tools was applied, highlighting the main trusted results to an effective qualitative evaluation of the binders tested in paving works. In the end of this paper, the possible interference which can compromise the analysis of binders in significant ways will be shown.

## 1 Introduction

The asphaltic pavement, when flexible, is a structure with viscoelastic behavior, having as its main characteristic the subjection of dynamic and concentrated loads. At the transition of the load, the asphalt should deform itself and come back to its original form, showing its elastic behavior. In the other hand, when the acting load is found in elastic state, the asphalt should be able to support it, however showing a viscous behavior. Generally, because of repetitive efforts, these pavements suffer of a physic phenomenon usually called fatigue. So, materials resistant to this phenomenon have been more and more researched, with the intention of making even more durable pavement structures.



In terms of the use of asphalt binders, a way to evaluate the quality of these materials, when being used in road works, is directly related to its technologic control accomplished by tests. Thereby, doing critical analysis of the obtained results, a qualitative evaluation of the binders applied in road works can be obtained, and, establish a projection to the durability of the work as a whole thing. However, the reliability of the test results will be proved by studies using statistic tools. In this way, it might be observed possible mistakes during the tests, as the experience of operator, equipment malfunction, values of dispersion, and other problems.

Based on this context, the present paper highlights the main interference factors found in usual asphalt binder tests, focusing on paving works based on statistic parameters.

## 2 Work Method

Initially, for this study a data collection obtained through laboratory tests was considered, accomplished by 08 all-student team from the Federal Institute of Education, Science and Technology of Mato Grosso, in asphalt paving subject, from graduating course of civil work technologic control, where usual characterization tests with petroleum asphalt cement - CAP 50/70 were accomplished, following the Brazilians naming and test standards.

The work was organized through the ordering all the data, which will be presented later, using statistic parameters as in the following descriptions:

### 2.1 Percentile Determination

The present parameter is about a method of organize the data, putting the values into a 0 to 100 variation scales, in percentage form. In that scale, the values are shown in increasing order, so they can be compared to other data. This kind of parameter can be easily obtained through the Equation 01.

$$p = \frac{x-1}{n-1} \cdot 100\% \quad (1)$$

Where:

x = number from the desired order; n = quantity of organized values;

p = the percentile related to 'x'.

### 2.2 Quartile Determination

It consists in another method of organizing the data in increasing order, starting with 05 points, with four equal parts, using a scale going from 0% to 100%. Knowing each 25% reached in the scale represents a division. For a better understanding of it, Figure 1 shows the representation given by a quartile.

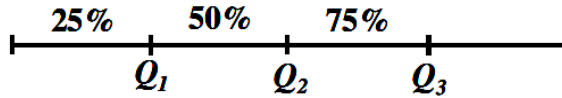


Fig. 1. Divisions of data through the quartile tool

Where:

- Q1 = 1<sup>st</sup> quartile, where values lower than 25% are located;
- Q2 = 2<sup>nd</sup> quartile, where values lower than 50% are located;
- Q3 = 3<sup>rd</sup> quartile, where values lower than 75% are located.

To calculate the quartile of any data, it can be used the same equation used to calculate the percentile. So, to obtain the different quartiles, the equations 02, 03 and 04 are used for each one of the percentages.

\* p = 25% - corresponding to ¼ of the sample.

$$P_{1/4} = \frac{n + 3}{4} \tag{2}$$

\* p = 50% - corresponding to ½ of the sample.

$$P_{1/2} = \frac{n + 1}{2} \tag{3}$$

\* p = 75% - corresponding to ¾ of the sample.

$$P_{3/4} = \frac{3(n + 1)}{4} \tag{4}$$

The quartile tool classifies the data in order to know how many of them have inferior values than the required percentile or quartile.

### 2.3 Average Arithmetic Determination

Also known as average, it is obtained through the sum of all numeric values, and then dividing the previous result by the quantity of values.

### 2.4 Median Determination

This parameter refers to the value which divides the organized data in two equal parts, in other words, from 0% to 50%, and from 50% to 100%.

## 2.5 Mode Determination

This parameter refers to the most common value within the organized data. Groups can have one, two, more than two or even no mode at all.

## 2.6 Standard Deviation Determination

The standard deviation is a dispersion of the organized values based on the average of this data, For a better understanding, there are two must-know definitions:

### ➤ Average absolute deviation (DAM)

This is the sum of all the deviations, related to its average, divided by the number of values, in the attempt of obtaining an absolute value.

### ➤ Variance

Defined as the sum of the squares of the deviances related to its average, divided by the quantity of values.

By knowing these previous definitions the Equation 05 can be built, for the calculation of the standard deviation of a data group.

$$\sqrt{\frac{\sum_{i=1}^n (x_i - \bar{x})^2}{N - 1}} \quad (5)$$

Where:

$$\sum_{i=1}^m (x_i - \bar{x})^2 = \text{sum of the squares of the deviances related to its average;}$$

N = number of values in the group.

## 3 Results and Discussions

### 3.1 Evaluation of the CAP 50/70 Tests

#### 3.1.1 Analysis of the Statistic Parameters in the Penetration Tests (mm/10)

The statistic parameters obtained from the penetration test, based on the following data sorting, can be found on Table 1.

**Table 1.** Statistic parameters obtained from the CAP 50/70 penetration test

<b>Penetration Test (mm/10)</b>					
Order	Percentage Order	Percentile	Data (mm/10)	Division by quartile	
				1 <sup>st</sup> quartile	54.75
1	0%	53	53	2 <sup>nd</sup> quartile	58
2	14%	53.994	54	3 <sup>rd</sup> quartile	62.5
3	29%	54.995	55	Average	58.625
4	43%	55.996	56	Median	58
5	57%	59.988	60	Mode	-
6	71%	61.996	62	Variance	22.268
7	86%	63.998	64	Standard deviation	4.718
8	100%	65	65	Average Absolute Deviation	4.714

For this test, it should be noted that the CAP 50/70 presents its values in the penetration test within the range of 50 to 70 mm/10 (ABNT NBR 6576 [1] and ASTM D5 [2]). So, analyzing the results in the data sorting, along with the obtained parameters, it can be concluded that every value is within the mentioned range. It can also be noted, that there are no value repetition, if the mode parameter does not exists. With the calculated value for the standard deviation, the values obtained in the orders 1, 7 and 8 should be discarded, once they are too far from the average found when the standard deviation is applied. However, even obtaining different values in the penetration test, all values can still be found within the desired range of 50 to 70 mm/10, which do not affect, in a significant way, the quality evaluation of the CAP 50/70 mm/10 in this test.

### 3.1.2 Softening Point (°C)

The Brazilian standard developed by the Petroleum National Agency (ANP, 2005 [3]), proposes that the CAP 50/70 must have a minimum softening point of 46° C. The European standard (EN 12591/2009 [4]) proposes that the same CAP should be within the range of 46°C to 54°C. The parameters obtained for the softening point test (AASHTO T53 [5]) can be found on Table 2.

Analyzing the values obtained through this test, it can be noted that for the obtained values, only one student group managed to archive an acceptable result, 47.5° C, which was shown in the 8<sup>th</sup> order on Table 2. A hypothesis explaining the variations might be the operator change during the flame (fire) control and the condition of the ring material (deformable material). It is also possible noting that the average, median and mode have very close values, which sets the value of 44° C being considered the real value of the tested sample.

**Table 2.** Obtained parameters from the softening point test for the CAP50/70

Softening Point Test (°C)					
Order	Percentage order	Percentile	Data (°C)	Division by quartile	
				1 <sup>st</sup> quartile	43.375
1	0%	41	41	2 <sup>nd</sup> quartile	44
2	14%	42.988	43	3 <sup>rd</sup> quartile	45.125
3	29%	43.498	43.5	Average	44.188
4	43%	43.998	44	Median	44
5	43%	43.998	44	Mode	44
6	71%	44.998	45	Variance	3.638
7	86%	45.499	45.5	Standard deviation	1.907
8	100%	47.5	47.5	Average Absolute Deviation	1.553

### 3.1.3 Density

Table 3 presents the parameters obtained from the density test (ABNT NBR 6296 [6] and ASTM D70 [7]).

**Table 3.** Obtained parameters from the density test for the CAP 50/70

Density Test					
Order	Percentage order	Percentile	Data	Division by quartile	
				1 <sup>st</sup> quartile	1.004
1	0	0.991	0.991	2 <sup>nd</sup> quartile	1.006
2	0.142	1.002	1.002	3 <sup>rd</sup> quartile	1.012
3	0.285	1.004	1.004	Average	1.007
4	0.428	1.005	1.005	Median	1.006
5	0.571	1.006	1.006	Mode	-
6	0.714	1.009	1.009	Variance	8.74E-05
7	0.857	1.019	1.019	Standard deviation	0.009
8	1	1.02	1.020	Average Absolute Deviation	0.007

On Table 3 it can be observed that the CAP 50/70 has presented density values slightly above the water density, for almost every group. Possible variation in these results might have happened due to flaws in weighting the pycnometer or balance reading, lack of picnometer drying in any of its weightings and/or flaws related to the proportion of the materials inside the pycnometer. About the analysis done through statistic parameters, the values obtained by all groups have

presented very close magnitude orders, in a way that the value divergences do not compromise in an expressive way, the quality of the density tests. Besides, references described by BERNUCCI et al, 2010 [8], report that the CAP 50/70 presents an overall density value within 1.00 to 1.02, which were the values obtained by every group, except the group related to the 1<sup>st</sup> order who has presented a density value of 0.991.

### 3.1.4 Saybolt-Furol Viscosity – (135°C)

For this test, the Brazilian standards specify that the CAP 50/70, at the temperature of 135°C, should present viscosity of, at least, 141 Saybolt-Furol seconds (SSF). Next, Table 4 presents the obtained values from this test for temperature at 135°C (ABNT NBR 14950 [9] and ASTM E102 [10]).

**Table 4.** Data obtained from the Saybolt-Furol viscosity test for CAP 50/70 - 135°C

Saybolt-Furol Viscosity Test - (135°C)					
Order	Percentage order	Percentile	Data (SSF)	Division by Quartile	
				1 <sup>st</sup> quartile	143.75
1	0%	129	129	2 <sup>nd</sup> quartile	168
2	14%	130.988	131	3 <sup>rd</sup> quartile	176.75
3	29%	147.915	148	Average	164.375
4	43%	165.928	166	Median	168
5	57%	169.988	170	Mode	-
6	71%	174.99	175	Variance	793.41
7	86%	181.993	182	Average Deviation	28.167
8	100%	214	214	Average Absolute Deviation	24.321

Observing the data values of Table 4, it can be noted that the first 2 groups are out of the Brazilian standards, once they show values lower than 141 SSF. In the other hand, analyzing the obtained values, it becomes possible observe that the variation presented by the groups reflects that a series of factors which could have interfered in the test. Among others factors which could have caused the variations, the possibility of cracking in the tested sample, reading errors, temperature measure precision and the heating form of the studied binder should be highlighted. So, doing a global analysis of the data, the most reliable data are the ones presented by the groups 4, 5, 6 and 7.

### 3.1.5 Saybolt-Furol Viscosity - (150°C)

For this temperature the Brazilian standards specify that the Saybolt-Furol viscosity should be of, at least, 51 SSF. Table 5 presents a summary of the obtained values from the test, as well the results found for the statistic parameters.

**Table 5.** Data obtained from the Saybolt-Furol viscosity test for CAP 50/70 - 150°C

Saybolt-Furol Viscosity Test - (150°C)					
Order	Percentage order	Percentile	Data (SSF)	Division by quartile	
				1 <sup>st</sup> quartile	69
1	0%	59	59	2 <sup>nd</sup> quartile	81
2	14%	68.94	69	3 <sup>rd</sup> quartile	94
3	14%	68.94	69	Average	81.125
4	43%	79.956	80	Median	81
5	57%	81.994	82	Mode	69
6	71%	92.978	93	Variance	219.268
7	86%	96.996	97	Standard deviation	14.808
8	100%	100	100	Average Absolut Deviation	13.571

Analyzing the data on the Table 5, it appears that every value is under the Brazilian standards. On the other hand, observing the statistic parameters, although theoretically some of them would be discarded, it would have no effect on the quality analysis of the studied CAP, when it's supposed to be used in road works.

### 3.1.6 Saybolt-Furol Viscosity - (177°C)

In the case of a 177°C temperature, the Brazilian recommendations impose that the CAP 50/70 should present a viscosity within 30 to 150 SSF. So, analyzing Table 6, up next, it can be observed that the first three values are out of imposed range, in a way the CAP50/70 would not be usable in paving works. Analyzing the statistical elements, it appears that almost every value should be acceptable. However, it is possible observing that the analyzed CAP is almost unusable, according to the Brazilian standards. The main interference factors are the same as the ones described on the 135° C tests.

**Table 6.** Data obtained from the Saybolt-Furol viscosity test for CAP 50/70 - 177°C

Saybolt-Furol Viscosity Test - (177°C)					
Order	Percentage order	Percentile	Data (SSF)	Division by quartile	
				1 <sup>st</sup> quartile	29
1	0%	24	24	2 <sup>nd</sup> quartile	31
2	14%	28.97	29	3 <sup>rd</sup> quartile	32.25
3	14%	28.97	29	Average	30.25
4	43%	29.996	30	Median	31
5	57%	31.994	32	Mode	29
6	57%	31.994	32	Variance	9.071
7	86%	32.999	33	Average deviation	3.012
8	86%	32.999	33	Average Absolut Deviation	2.57

### 3.1.7 Thermal Susceptibility Index (IST)

This index relies on the tests of penetration and softening point and, according to the Brazilian standards for being an acceptable material in paving works, the values for the CAP 50/70 should be within the range of -1.5 to +0.7. Table 7 presents a summary for the obtained values of IST according to the data bank used in this study, as well the statistic treatment given to these values.

**Table 7.** Statistic parameters obtained for the CAP 50/70 IST

Thermal Susceptibility Index					
Order	Percentage order	Percentile	Data	Division by Quartile	
				1 <sup>st</sup> quartile	-2.613
1	0%	-3.45	-3,45	2 <sup>nd</sup> quartile	-2.335
2	14%	-2.953	-2,95	3 <sup>rd</sup> quartile	-2.27
3	29%	-2.502	-2,5	Average	-2.439
4	43%	-2.390	-2,39	Median	-2.335
5	57%	-2.280	-2,28	Mode	-2.28
6	57%	-2.280	-2,28	Variance	0.345
7	86%	-2.240	-2,24	Average Deviation	0.588
8	100%	-1.42	-1,42	Average Absolut Deviation	0.452

In this case, most values do not meet the Brazilian standards, except the 8<sup>th</sup> order group. However, given the incidence of the calculated parameters, the 8<sup>th</sup> order value (-1.42) would not be considered reliable, once it is too far from the representative parameters, such as average, mode and median. The hypothesis about what could have affected the obtained values are the same ones described in the tests of penetration and softening.

## 4 Conclusions

At the end of this paper, it can be conclude that the use of statistic elements is a very essential tool to evaluate the reliability of results obtained from laboratory tests. These elements show an overall quality evaluation of the materials, an evaluation which generates more adequate answers to the use of these materials in paving works, as in the case of the asphalt binders, for example. It is also important highlighting that the gathering of no representative data can interfere directly in the quality of the work, in a way that can speed up the occurrence of pathologies like fissures, fatigue and/or excessive plastic deformations, which come from the inadequate use of a poor quality binder. Referring to the analysis through statistic parameters calculated with the data obtained from different



student groups, performing the main CAP50/70 characterization tests, highlights the softening point, Saybolt-Furol viscosity, density and IST tests, it can be said that significant differences were generated and that some of the values had to be discarded due to not having a desired level of reliability. In these analyses, the only test that did not presented any problem in its qualitative evaluation was the penetration test. In this context, possible hypothesis of what could have caused the gathering of different data in each one of the tests is directly related to the following descriptions:

\*operational problems: reading errors; testing procedure out of the proposed technical standards; strict criteria during the preparation and completion of the tests

\*equipment malfunction: calibration problems; worn out equipment; internal dust

\*material problems: cracked sample, modified sample, not representative materials.

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# Development of an Accelerated Weathering and Reflective Crack Propagation Test Methodology

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**Abstract.** The development of reflective cracking mitigation techniques depends on the proper evaluation of different technologies from geo-synthetic inter-layers to highly modified thin lift overlays. A methodology has been developed to investigate these technologies using a novel Accelerated Pavement Weathering System (APWS) which exposes the pavement structure to the combination of temperature, moisture and UV radiation that a pavement will experience in service. This methodology is based on well documented and widely used accelerated weathering methods used by other industries to determine the durability of various materials and systems to environmental exposure. Currently, most pavement accelerated testing is based on load-associated stresses instead of temperature; no system has been developed to-date that accurately reproduces the effect of temperature, moisture, and UV radiation on a pavement structure. The APWS can be a powerful conditioning tool to evaluate different pavement materials, pavement systems, improve modelling and product performance.

This conditioning methodology has been used in conjunction with a newly developed test method that can measure the resistance to reflective cracking using a modified Asphalt Pavement Analyzer test. The Reflective Cracking Resistance Test (RCRT) was developed to run both on laboratory-prepared samples, as well as cores taken from the field. The method is ideally suited to measure the crack propagation through an interlayer or overlay as function of loading cycles. This method was used to evaluate pavement systems before and after accelerated weathering in the APWS.

This paper focuses on the results of this study and the practicality of this type of testing to understand different technologies to mitigate crack propagation. Specifically, several different pavement structures were prepared which consist of conventional overlays, overlays with geosynthetic membranes, and 4.75 mm thin lift overlay. An overview of the methodology and summary of the testing of these systems is presented in this paper.

## 1 Introduction

The aging of asphalt binder is significantly affected by the cyclic actions of temperature, ultraviolet radiation and water acting upon exposed surfaces and interconnected voids. Current methods of aging asphalt binder, for example the

Rolling Thin Film Oven Test (RTFOT) and Pressure Aging Vessel (PAV), were developed without incorporation of these combined parameters. These methods produce bulk samples that are then evaluated for using various asphalt binder test methods without consideration of aggregate-asphalt binder interactions.

The combined effect of UV light, water, and thermal cycling have not been studied in depth for paving materials, but have been a subject of extensive research and use in other industries, such as roofing materials, paints, plastics, automobiles, and coatings (1, 2). In pavements, a daily variation of heat and radiation occurs relative to the position of the sun and the atmospheric conditions. It is well known with asphalt pavement materials that aging that occurs in reality varies with intensity from the surface of the pavement structure, with higher aging towards the top and lower aging with depth (3). The amount of natural sunlight (providing the source of UV light) and water on the asphalt pavement system is hypothesized as having a significant effect on the aging of the asphalt binder, and consequently, the material's properties (they become harder and more brittle). To demonstrate change to material and performance by APWS conditioning properties, the ability of four pavement systems to resist crack propagation have been evaluated. The simulation of aging using UV light coupled with cyclic (simulated rain) water and subsequent testing is described below.

The development of improved test methods for aging pavements will assist in further model development and provide a tool for assessment of materials being built into road pavements, pavement architectures, and pavement designs.

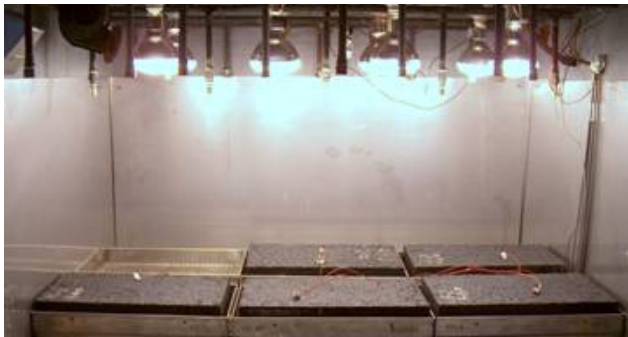
## **2 Test Method Development**

Two novel methods and apparatus were used in this work for specimen conditioning and testing. The first of these produced aged material with a similar gradient of aging that would be expected on a road pavement, whereas the second provides a realistic assessment of crack resistance using a modification to the Asphalt Pavement Analyzer (formally the Georgia Wheel Tracking Device originally developed by Dr. Lai (4, 5)) modified with the Hamburg loading configuration (6).

### ***2.1 Accelerated Pavement Weathering System***

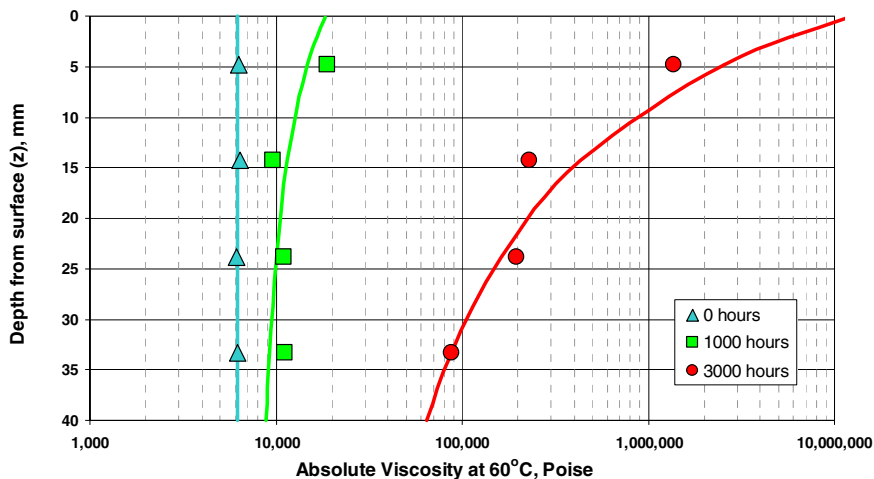
The Asphalt Pavement Weathering System (APWS) was designed by PRI Asphalt Technologies, Inc. (PRI) to accommodate "full depth" pavement specimens for natural accelerated pavement weathering providing the missing link in pavement analysis. The APWS provides flexibility to be used for a wide-range of specimen types, shapes and sizes (see Figure 1). The APWS has controllable cycles to simulate most environmental conditions and is fully monitored allowing both "climate" and specimen data to be collected and recorded continuously throughout the conditioning. The APWS allows specimens to be weathered from the top surface down, simulating the natural aging of pavement; years of in-service exposure and weathering can be simulated in only a few months.

The APWS allows the recording and monitoring of the each variable of the test, including: water, temperature and light (UV exposure). These effectively enable controllable cycles for rain, sunlight (UV exposure) and temperature. Lamps containing quartz discharge tubes with tungsten filaments which provide a mix of radiation similar to natural sunlight (UVA, UVB, visible and infrared radiation). The watering system features the ability to control duration, time between, and volume of watering (0 to 1.0” per hour) intervals. This allows simulation of real-world conditions that may occur over several seasons in a short time span. The watering system also allows control over type of water used (deionized, salt, fresh, etc.) to even further reflect in-service conditions. A monitoring system records up to ten different sensors (24 channels) throughout the weathering process. Data can be collected for ambient temperature, individual specimen temperature (top, internal and/or bottom), humidity, water volume, etc. The APWS’ unique flexibility enables it to simulate a wide variety of special weathering conditions (pavement exposure climates). These conditions can be customized to reflect individual specimen needs. Settings can be designed to mimic the in-service environment of a product, eliminate multiple variables in research, or be “user defined.”



**Fig. 1.** Photograph of APWS with asphalt slabs in place

In a previous evaluation of a typical PG 64-22 binder, the aging developed suggests that 3,000 hours is typical of that obtained by PAV aging on a bulk sample. This is subjective to a certain extent since the aging developed in a PAV device does not consider any formation of an aging gradient within a pavement system, but rather tests a bulk sample of material. If the aging obtained is compared, the functional form of the hyperbolic relationship as suggested by Mirza and Witczak (3), a good fit to the data is obtained, as illustrated in Figure 2. This demonstrates a consistency between the aging profile produced in the APWS and the data collected by Mirza and Witczak for the calibration of the models used within the models incorporated into the newer AASHTO pavement design methods (7).



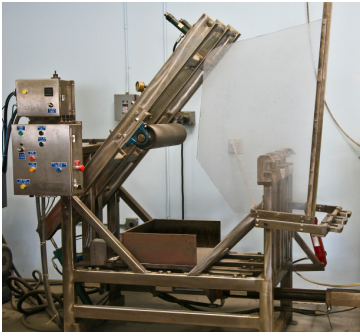
Note: 0-hours data represents binder as supplied, all others are recovered from samples cut from aged slab

**Fig. 2.** Aging profiles obtained showing actual test data from PRI's APWS test device and compared to fitted function in format used by Mirza and Witczak (3) and implemented in the MEPDG

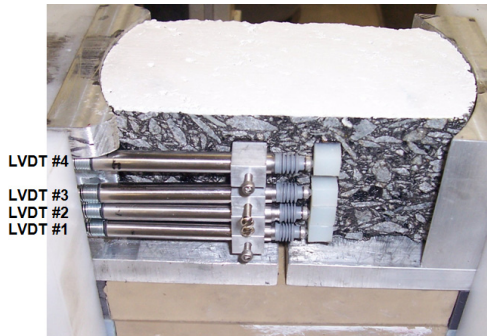
## 2.2 Reflective Cracking Resistance Test

The Reflective Cracking Resistance Test (RCRT) is conducted in the APA. Rut resistance tests have been implemented in many of the State agency laboratories. Consequently, the development of a device for crack resistance testing is advantageous since it capitalizes on a well utilized piece of equipment. The cost of the modifications required for use of this device for the Reflective Cracking Resistance Test (RCRT) is relatively minor when compared to other test configurations.

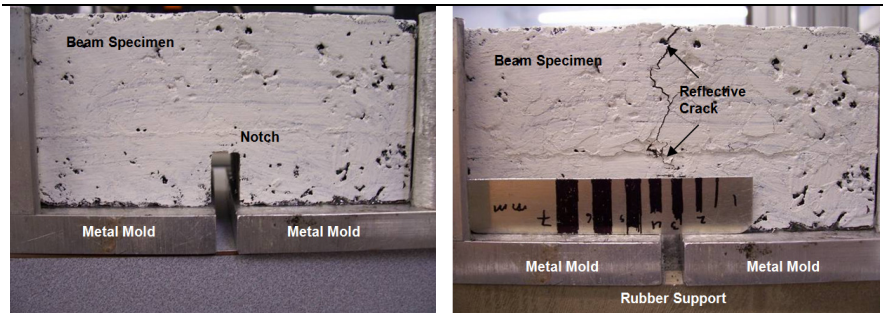
The asphalt mixture specimens were fabricated in the PMW Slab Compactor (Figure 3) and compacted to obtain the required density and % air voids. The PMW Slab Compactor was manufactured by Precision Machine and Welding Company and enables the production of a slab of asphalt material. A specimen is typically produced in two layers and the interface between the two layers is used to test different treatments such as grids, SAMI's, fabrics and others solutions to limit and/or prevent reflective cracking. After cooling, a six inch core is taken from the slab and the sides trimmed. A notch is sawn in the beam after fabrication to ensure that the crack propagation occurs in a position which can be monitored by a digital camera. This camera is programmed to take photographs of the assembly at pre-determined intervals so that crack growth can be monitored throughout the test. Optionally, on one side of the slab 4 linear variable displacement transducers (LVDT's) can be attached (see Figure 4) to monitor the displacement changes that occur on the side of the specimen whereas the other side is painted white for observations of cracking using a simple crack width gauge (Figure 5).



**Fig. 3.** PMW Slab Compactor



**Fig. 4.** Positioning of LVDTs on test specimen



**Fig. 5.** Slab before (left) and after (right) crack reflection (scale in mm)

### 3 Experimental

The objective of the experimental work was to assess the efficiency of different pavement reflective crack resistant technologies in the RCRT device with before and after realistic accelerated aging associated with cyclic water and UV effects.

#### 3.1 Materials

Summary information on the mixture design and slab construction is given in Table 1. The objectives of this test program was to compare the standard control pavement with an option containing a fabric (fiberglass/polyester material in fairly wide use) along with plant-produced new thin lift technology employing SBS modified binders (HiMA, 7.5% SBS content blends, a Kraton technology) with and without RAP (referenced as PmB-A and PmB-B respectively). Information on the nature of the formulations is available upon request. The discussion presented

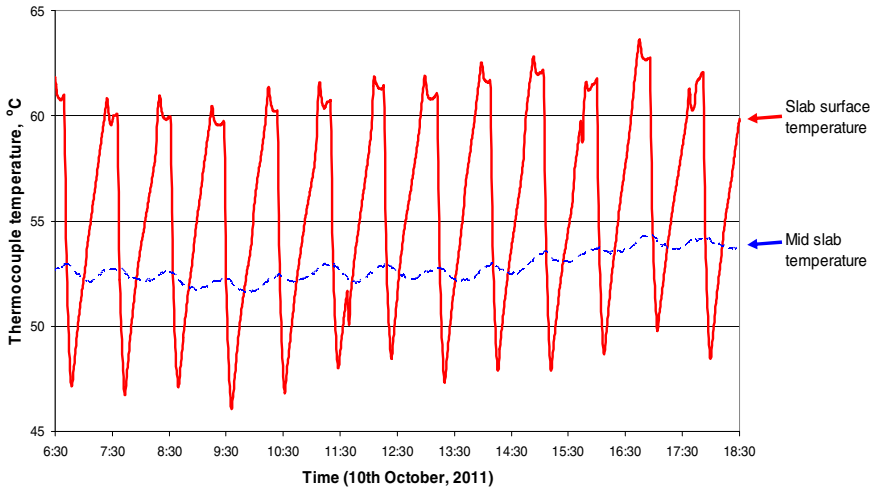
in this paper has been limited to general observations concerning the material properties to highlight the efficacy of accelerated weathering and reflective crack resistance test method.

**Table 1.** Summary of mix design and slab construction

<b>Slab Ref.</b>	<b>Control</b>	<b>Fabric</b>	<b>PmB-A</b>	<b>PmB-B</b>
<i>Top layer</i> Mix design size Aggregate Binder	SP 12.5 Trap Rock PG 64-22	SP 12.5 Trap Rock PG 64-22	SP 9.5 Dolomite PG 76-34	SP 9.5 Dolomite PG 76-34
<i>Bottom layer</i> Mix design size Aggregate Binder	SP 12.5 Trap Rock PG 64-22			
RAP %	0	0	0	25
Tack Coat ID (between layers) and rate (gal/yd <sup>2</sup> )	Emulsion CRS-2 0.02	PG asphalt PG 64-22 0.19	Emulsion CRS-2 0.02	Emulsion CRS-2 0.02
Asphalt, % (top/bottom layers)	5.0 / 5.0	5.0 / 5.0	6.8 / 5.0	6.6 / 5.0
Lift Thickness, in. (top/bottom layers)	1.0 / 1.5	1.0 / 1.5	1.0 / 1.5	1.0 / 1.5
Sample Total Thickness, in.	2.5	2.5	2.5	2.5

### 3.2 Aging in the APWS

Weathering was conducted by using a 1,500-hour period in the APWS. Air temperature was allowed to follow the ambient air, a pavement surface temperature similar to summer conditions was selected, and UV light was applied with water cycles. Slabs were rotated three times at 375-hour intervals in the device to ensure that each of the four specimens received the same exposure. Figure 6 shows an excellent excerpt of records maintained for the control slab which is similar to the other three slabs for a 12-hour period. The cyclic peaks and valleys in the temperature are a result of thermal shock (water application) to the surface of the slabs. It should be noted that the materials being evaluated in this study are all typically used in a climate with a high temperature which results in a SUPERPAVE™ PG 64 grade being specified. Consequently, the upper temperature was maintained in this region for this preliminary study. While for this study some variation exists in the temperature profile, it should be noted that this can be controlled, if required, to more precise values.



Note: Preselected parameters: Cycle: 51-minutes light only + 9-minutes light + rain; Pavement Surface Temperature: similar to typical Florida summer (PG 64 climate).

**Fig. 6.** Temperature records for control slab

### 3.3 Testing

The testing was conducted in triplicate for each of the four pavement systems evaluated both before and after aging in the APWS. The crack propagation from the notch cut was monitored along with the cyclic data collected from the instrumentation attached to the side of the specimen along with visual observations using the photographic records.

## 4 Results and Discussion

The individual and average results for the four series of experiments are summarized in Table 2 and plotted in Figure 7 to Figure 10. Some interesting observations can be made by inspecting the data in these figures.

**Table 2.** Summary of RCRT test data

Before or after APWS status	Number of wheel passes for crack to propagate to surface			
	Control	Fabric	PmB A	PmB B
Before	1856	3202	3363	1405
After	734	783	2787	1130

For the control, the performance is significantly poorer after aging, as expected, with the lowest initial performance. The life after conditioning in the APWS was just 40% of the original life.



For the fabric-modified pavement system, an enhanced initial performance is observed, but changes significantly after aging with the aged performance being very close to the control. This is suggestive that hardening of the binder towards the surface as illustrated in Figure 2, coupled with other aging factors, are dominating the results and effectively overcoming the benefits of the fabric reinforcement over an extended aging period. This is not too dissimilar for the field behavior for these materials which appear to have early life advantages over other systems, but does suggest they may be less effective at crack prevention as the pavement structure undergoes top-down aging. The time taken for a crack to propograte to the surface was reduced from 3,202 applications to 783 applications, a 76% reduction.

The two trials with the plant-produced new thin lift technology employing HiMA SBS PmB's, provide interesting contrasts. The material containing the 25% RAP addition performed somewhat poorly, not being too different from the aged control and fabric systems. However, for both of these blends, the performance is similar before and after conditioning in the APWS. The best performing system in this evaluation was the PmB blend (Ref A) which contained high polymer content and no RAP. This system had essentially the same performance before and after conditioning in the APWS.

The thin lift overlay without RAP exhibited initial RCRT results of 3,363 wheel passes, and after APWS accelerated weathering 2,787 passes giving a loss of 17%. The RCRT loss was 20% with the RAP blend but this mix had a low RCRT value before conditioning closer to the control mix which can be attributed to the RAP in the mix.

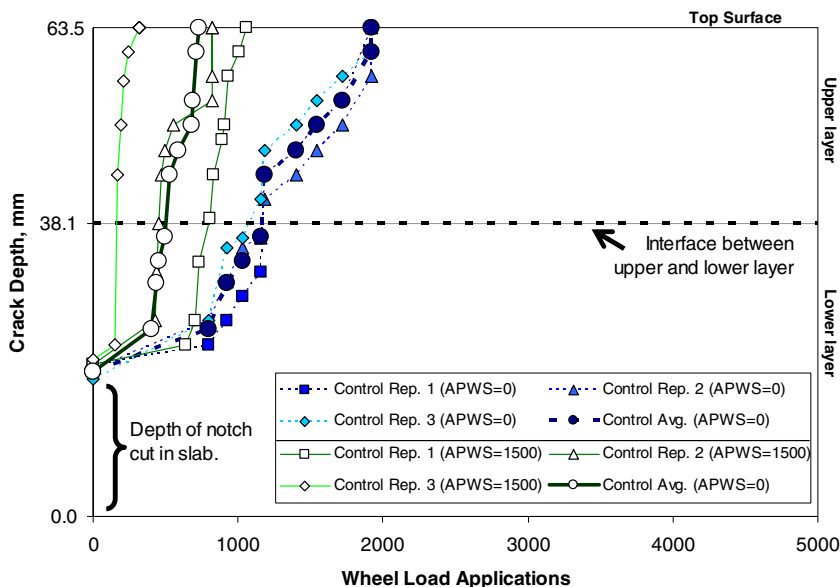


Fig. 7. Individual and average data for “Control”

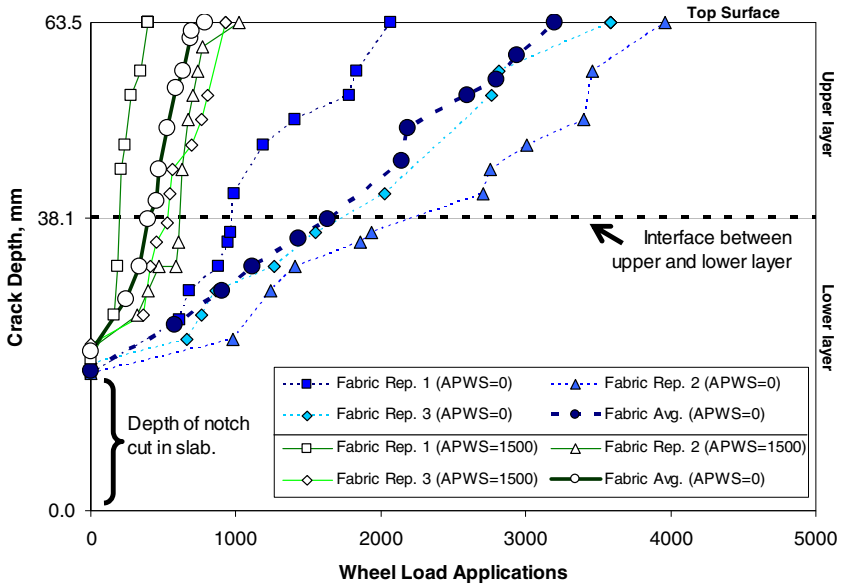


Fig. 8. Individual and average data for “Fabric”

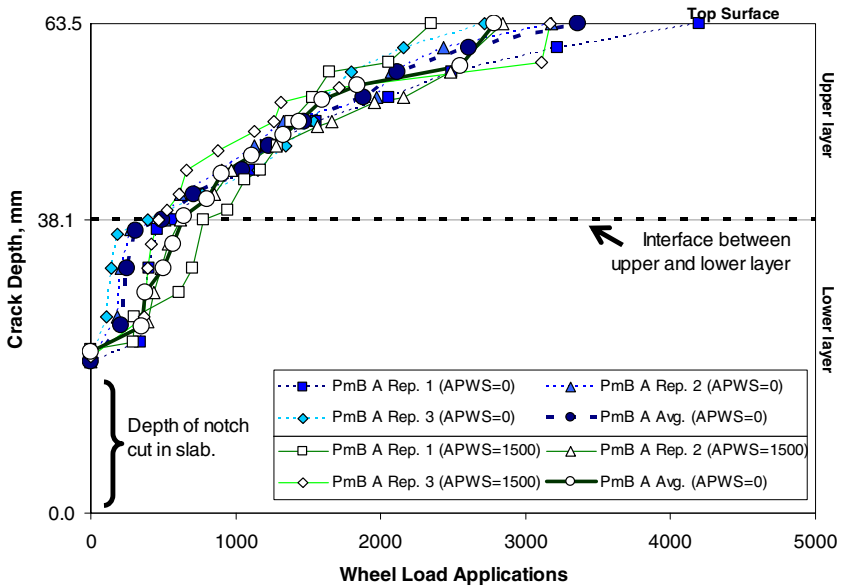


Fig. 9. Individual and average data for “PmB A”

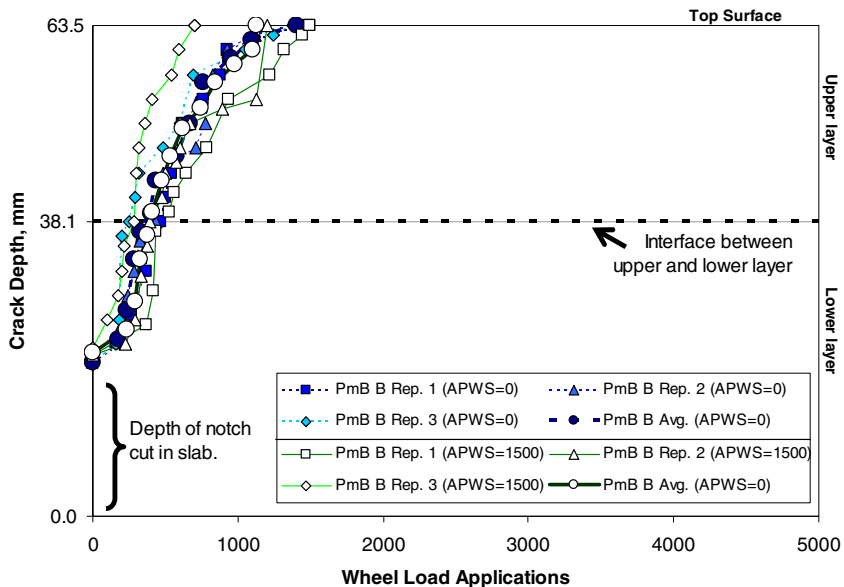


Fig. 10. Individual and average data for "PmB B"

## 5 Conclusions

Test devices have been developed that enable accelerated aging of pavement specimens using similar environmental factors that would be found in a real world situation including UV light, heat and water effects in controllable cycles as an Asphalt Pavement Weathering System (APWS). In addition, an existing APA wheel track test (AASHTO T 340) device has been modified to develop a Reflective Cracking Resistance Test (RCRT) using a moving wheel load.

- Preliminary data collected using the APWS suggests that the aging profile obtained is similar to that found in real pavements when compared to the aging profile that would be expected by researchers such as by Mirza and Witczak (3). Further development of this device is currently underway to refine the inputs with a view to be capable of accurately modelling a series of climatic environments.
- Pavement performance, as evaluated with the RCRT of the unconditioned and conditioned pavement samples, exhibited significantly different results between a fabric and a competitive technology, an effective test method to evaluate RCR technologies.
- The RCRT results, after APWS accelerated aging, demonstrates the critical importance of including accelerated aging as an assessment for pavement materials and pavement performance.

Further study and comparisons with “real world” data would help with the understanding of the importance of employing the APWS in material and pavement evaluation and research.

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# The Use of Ground Penetrating Radar, Thermal Camera and Laser Scanner Technology in Asphalt Crack Detection and Diagnostics

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**Abstract.** The amount and types of pavement distress have been one of the main indicators for the pavement quality in most of the pavement management systems. However, existing commercial techniques for locating these distresses and evaluating their severity have proven to be insufficiently reliable. Over the last few years new technologies have been developed and tested to provide more accurate and repeatable pavement distress mapping results.

This paper presents a summary of authors' experiences with three relatively new technologies which have provided very promising results in pavement distress surveys. All three techniques, ground penetrating radar (GPR), thermal camera and laser scanner are based on longer electromagnetic wavelengths than visual light and thus their advantage is detection of cracks inside the pavement that cannot be seen by human eyes. Over the last few years these techniques have become both fast and accurate enough to make them viable as field survey tools and at the same time improvements in data processing and storage capabilities have enabled the use of these techniques.

Ground penetrating radar has traditionally been used to measure pavement thickness but the data can also be used to evaluate pavement quality. Three dimensional GPR imaging has provided new interesting information about the formation of transverse and longitudinal cracks especially at the sites where pavement thickness varies substantially in transverse directions. Dielectric value analysis using different antenna frequencies has also proven to work well in detecting salt related cracking in asphalt. Analysis of amplitudes and frequency response analysis can also be used to detect moisture related problems in asphalt. Additionally, segregation can be detected with GPR.

The recent results from testing high precision and fast thermal cameras have provided interesting new possibilities in detecting pavement distress. Top down cracking, for instance, seems to generate slightly beneath the pavement surface and these cracks can be seen with thermal cameras before they become visible to the human eye. Thermal camera analysis also shows the effect of water pumping through the pavement due to heavy vehicle loading.

Finally this paper presents the latest results from emission analysis of laser scanner data to detect different types of pavement distress.

## 1 Introduction

Because of the nature, location and size of different kinds of distress in asphalt pavement, their reliable mapping has been a major challenge. Traditionally distress mapping has been carried out visually directly from a moving car or based on a digital video from the pavement, but these methods have been expensive and not always reliable and repeatable enough. That is why numerous new automated or semi automated technologies have been developed and tested over recent years with varying success.

The testing has been carried out with three relatively new technologies, ground penetrating radar (GPR), thermal camera and laser scanner along side with old and traditional techniques like falling weight deflectometer (FWD), profilometer and visual evaluations. The new non-destructive techniques have provided very promising results in pavement distress surveys. One of the advantages is that all of these techniques are based on longer electromagnetic wavelengths than visual light, which means that their ability to penetrate a pavement surface is better than the human eye. Thus they can be used to detect cracks also inside the pavement. Ground penetrating radar and thermal cameras can also be used to detect moisture in the asphalt which is often the main reason for the distress. Over the last few years new 3D GPR technology has provided detailed structural information concerning the bound and unbound layers and this information has also produced interesting findings regarding the reasons for pavement damages.

Even though there are still many improvements needed, GPR, thermal camera and laser scanner techniques are already fast and accurate enough to be used in routine pavement diagnostics surveys.

Due to the somewhat complex nature of these technologies they have not become routine pavement survey tools among most road agencies but their benefits are clear and major savings can be achieved when they are used properly.

## 2 GPR, Thermal Camera and Laser Scanner Technologies, Techniques and Equipment

A ground penetrating radar (GPR) survey is a non-destructive survey method that provides continuous information of pavement structure and its quality. The ground penetrating radar technique has been used in traffic infrastructure surveys as early as in the mid 1970s, initially mainly in tunnels and on bridge decks [1]. The GPR transmitter/receiver antenna, mounted on the front of the car or on a special trolley, transmits an electromagnetic pulse into the media (asphalt and road structures). The transmitted electromagnetic wave penetrates the road structures and is reflected from interfaces in the media that have different electrical properties like the boundaries between different structural layers or differences in moisture content. The reflected waves are collected by the receiver. The control unit measures the time difference between the transmitted and received pulse (travel-time) and its amplitude. The amplitude is displayed as a function of the travel time. When the measurements are made over sequential points, a continuous profile of the media can be displayed [2].

Like the GPR, the thermal camera survey is also a non-destructive survey method. Using the thermal camera the surface temperature of the road can be mapped. The presumption is that the surface temperature of the damaged areas is different from the surface temperature of the areas with no damage. This is caused by an anomaly in the water or air content in the material. The thermal mapping method is based on the solution to the heat transfer equation for a thick target with an instantaneous surface heat flux, which is [3]:

$$T(x, t) = \frac{q}{\sqrt{4\pi k \rho c}} \exp\left(\frac{-x^2}{4\alpha t}\right) \tag{1}$$

where T is temperature, x is depth beneath the surface, k is thermal conductivity, ρ is density, c is heat capacity, α is thermal diffusivity, t is time and q is the surface heat flux. For a semi-infinite solid approximation, the surface temperature is proportional to the inverse square root of time and inversely proportional to the thermal inertia, P, which varies as  $(k\rho c)^{1/2}$ .

Laser scanning is a NDT-technique where the distance measurement is based on the laser beam travel time from the laser scanner to the target and back. When the laser beam angle is known and beams are sent in different directions from a moving vehicle with a known position, it possible to make a three dimensional (3D) surface image, point cloud, of the road and its surroundings. In a point cloud with millions of points, every point has an x, y, z coordinate and also some reflection or emission characteristics. Earlier authors have tested the thermal camera and laser scanner methods in research conducted through the European Union’s ROADEX project [4].

Figure 1 presents the survey van, which is equipped with a GPR 400MHz ground coupled antenna (in front of van) and thermal camera and laser scanner on the roof of the van.

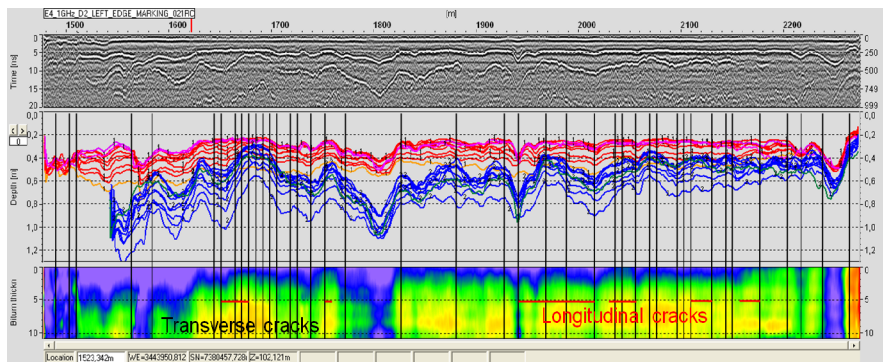


Fig. 1. A survey van equipped with GPR, thermal cameras and laser scanner.

### 3 GPR and Asphalt Crack Diagnostics

In pavement diagnostics GPR provides valuable information concerning pavement and unbound layer thickness and how this can be related to damages in pavement. Figure 2 presents a case from Highway 4 in Finland where transverse cracks and longitudinal cracks are concentrated in the road sections where the pavement thickness has the greatest deviation in transverse and longitudinal directions. The top profile presents a single 1.0 GHz GPR longitudinal profile. The profile in the middle presents pavement (red) and unbound base thicknesses from 9 longitudinal profiles of the two lane road and the lowest profile presents a contour map of pavement thickness where blue colour represents thin pavement and red colour thicker pavement. Black lines represent transverse cracks and red lines the location of longitudinal cracks.

The GPR technique can be used to detect road sections or areas with cracks in the asphalt. In this technique the dielectric value of the asphalt surface is measured using an air coupled antenna surface reflection technique and sections with problems with asphalt cracking can be differentiated since they present as highly deviating dielectric values of asphalt surface. In good quality and uniform asphalt the deviation of dielectric value is very small. Figure 3 presents an example from an asphalt covered dike in the Netherlands where the deviation of dielectric value of a recently repaired asphalt section is much smaller compared with the problematic asphalt section. Cracks and salt in asphalt are reflected and refracted in a different way compared to good quality.



**Fig. 2.** An example of relationship between pavement thickness deviation and cracks.

Finally with high frequency ground coupled antennas it is also possible to detect individual cracks in the asphalt if the sampling density (scans/m) is high enough. Figure 4 presents an example from Scotland from an asphalt covered concrete road built resting on peat. Measurement was done with a 1,5 GHz ground coupled antenna.



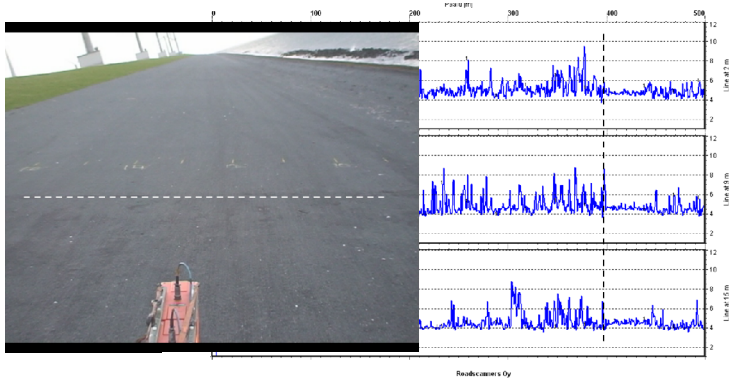


Fig. 3. The deviation of dielectric values of an asphalt covered dike in the Netherlands.

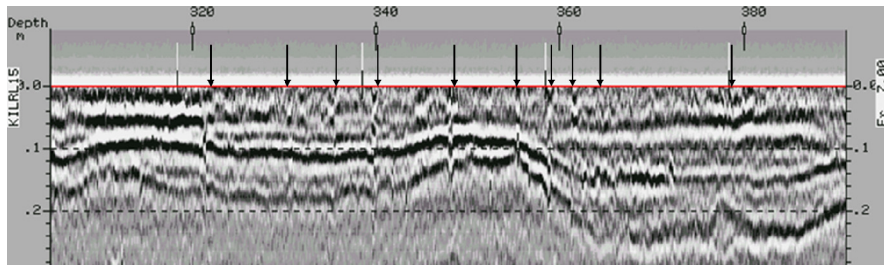


Fig. 4. Cracks in an asphalt covered concrete road built on peat in Scotland.

### 4 Thermal Cameras and Pavement Distress

The tests with thermal cameras have shown that a thermal camera effectively reveals cracks in pavement that cannot be seen with the naked eye. Figure 5 presents a case where a top down crack can be seen in asphalt in thermal camera data but not in digital video. The crack can be seen on the side of the inner wheel path. This also indicates that top down cracks generate slightly beneath the pavement surface and, only after reaching the pavement surface will they start to penetrate down through the pavement.

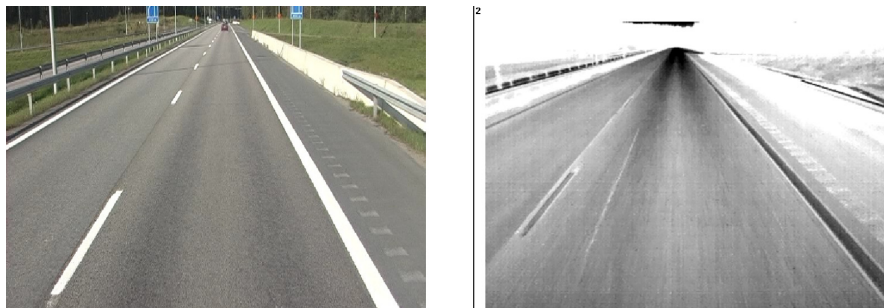


Fig. 5. An example of a crack that cannot be seen by human eye (left photo) but with a thermal camera it can be seen clearly (right photo).

Thermal camera analysis also shows the effects of water pumping through the pavement due to heavy vehicle loading. The pumping water cools down the wheel paths which can be seen with the thermal camera. Figure 6 presents an example of the relation between this pumping effect and the formation of top down cracks in highway 4 in Northern Finland. Still photo (left) and thermal image (right) showing top down cracking and water being pumped through the pavement by heavy vehicle loading. Darker colours present cooler temperatures and light colours present warmer temperatures. The data collection was done in spring when frost was thawing and water is released from melting ice. The wheel paths are much cooler than the areas outside and between them. The formation of top down cracking could be located in those sections where the temperature difference was high.

The problem with high precision digital thermal cameras is that they are not fast enough to be considered as a high speed pavement data collection method. Another problem is that the data collection cannot be carried out during daytime because direct sunlight affects the results too much and, as such, thermal camera surveys are always performed after sunset.



**Fig. 6.** Example of top down cracking and water being pumped through the pavement by heavy vehicle loading.

## 5 Laser Scanners

Laser scanner technique is quite a new method in the area of road condition surveys. The development of the application has been quite rapid and, at present, there are several techniques on the market for pavement distress mapping. Laser scanners can be used not only to “count the cracks” but they have also proven to be an excellent tool in pavement diagnostics i.e. finding the reason for the pavement failures.

The changes in pavement surface topography compared to normal shape indicate well those areas with deformation problems or frost problems in the road. Usually these deviations in the road surface are not easy to discern visually. The use of the rainbow map makes it considerably easier to visualize these deviations. These maps show road surface topography and its deviations and damage. Each colour in rainbow colour palette scale represents a 30 mm change in surface level. An optimal road surface with two sided crossfall should resemble a perfect V-

shape and in sections with straight crossfall it should present as straight lines. Figure 7 presents an example of damages which developed as a result of a clogged private road exit culvert. In this road section the location of an area of uneven frost heave, caused by a clogged exit road culvert, can be detected. Cracks are formed in sections where the rainbow lines are not straight and continuous.



**Fig. 7.** An example rainbow view of laser scanner point cloud data (usually figures are presented in colours).

The laser scanner technique can also be used in frost heave evaluations. The measurements have to be performed twice; when the frost heave is at its maximum level and when all frost has thawed. A comparison of these two results is used to calculate the frost heave. Figure 8 presents an example of a case, where the formation of longitudinal crack can be related to differential frost heave. In this road section the longitudinal “frost” crack, shown by arrows, has been formed along the edge of maximum frost heave area of 0.11 m.



**Fig. 8.** An example frost heave view of laser scanner point cloud data.

Results of laser scanner survey data can be presented as emission maps. It effectively presents different features in the asphalt. Patches and cracks, for example, stand out distinctly. Figure 9 presents an example of an emission map measured from a ROADEX test site in Ohtanajärvi in Northern Sweden. The patches, cracks and road markings can be seen very clearly from the picture.

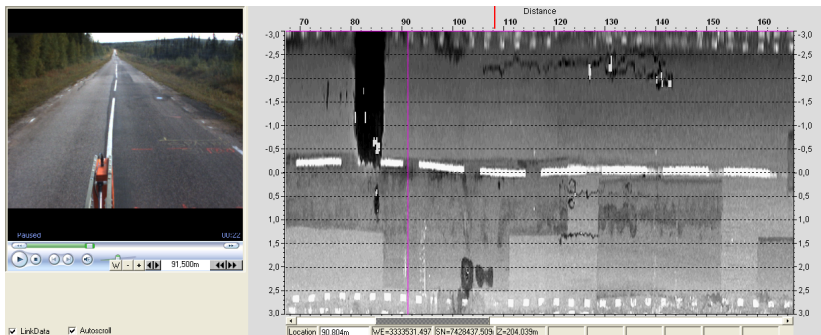


Fig. 9. An example of still photo and a laser scanner emission map.

The problems related to laser scanner surveys is that they are quite sensitive devices which may lead to errors. Dust, heavy rain and fog create disturbances such that the laser beam will reflect incorrectly back to the receiver. Therefore it is important to be cognizant of the weather conditions and similar factors when measuring.

## 6 Conclusions

The thermal camera method is a fast and relatively cheap method. This method can provide information about the pavement surface conditions such as cracking and structural problems like pumping. The ground penetrating radar method can be applied to study asphalt thickness and deviation of dielectric values. The laser scanning method provides information detailing the surface shape of the road and its surroundings. These three methods together can provide a good non-destructive toolkit for pavement diagnostics.

Key words in modern, cost effective and sustainable road condition management are **focus** and **preventative maintenance**. Research conducted through the European Union's ROADEX project has shown that by focusing on problem road sections, finding the reasons for their problem and selecting the optimum rehabilitation measures based on the diagnosis rather than just repairing the symptoms can deliver cost savings up to 40 %. Using this technique the lifetime of a road becomes longer which further increases the profitability of the investments.

The use of modern road survey and diagnostics techniques are vital before this new policy can be implemented. Proper use of the new NDT techniques, GPR, thermal cameras and laser scanner technique can provide guidance to the exact

location of problem areas and also to the sources of the damages. However, when cracks have appeared in the pavement surface, a great part of the pavement strength has already been lost. That is why the early symptoms of these damages should be identified using the new road survey technologies and after that preventative maintenance measures can be taken to prevent these damages from growing further.

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# Asphalt Thermal Cracking Analyser (ATCA)

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**Abstract.** The Asphalt Thermal Cracking Analyser (ATCA) is a device that can simultaneously test two asphalt mixture beams while undergoing selected thermal history. The first beam is unrestrained and thus the change of its length with temperature can be used to obtain glass transition temperature ( $T_g$ ) and coefficients of thermal expansion or contraction. The second beam is restrained at the ends and can be used to measure the thermal stress build-up as a function of time and temperature. The measures of length change and stress in beams can be used to get a comprehensive evaluation of the low temperature performance including change in strain, stress as a function of time and temperature.

The ATCA is considered an important advancement when compared to other existing thermal or mechanical cracking tests in which either thermal stress or moduli are measured, while making assumptions about coefficients of contraction and ignoring glass transition change. These assumptions are believed to cause serious errors in estimating thermal stresses.

Nine asphalt mixtures obtained from field sections in Minnesota, USA were used for the development of the ATCA. It is shown that the device can be used to estimate cracking temperature and strength. Further, the relaxation modulus of mixes can be directly estimated by solving the convolution integral using the measured thermal stress and strain from the restrained and unrestrained specimen, respectively. The ATCA can also be used to investigate response of asphalt mixtures to thermal cycles and isothermal conditions.

## 1 Introduction

Thermal cracking is widely recognized as a critical failure mode for asphalt pavements. Due to its importance a reliable test method capable of capturing asphalt material response to environmental loading as function of both time and temperature is needed. The current low temperature specifications in the US and other countries rely heavily on measuring asphalt mixture properties obtained under mechanical loading in the Indirect Tensile (IDT) creep and strength tests. However, these tests have limited capabilities in terms of simulating thermal cracking. These limited capabilities have been recognized and to address them a test method such as the Thermal Stress Restrained Specimen Test (TSRST) has been used. Furthermore, in conventional thermal cracking tests, linear viscoelastic concepts are used to infer mechanical response to thermal loading while making assumptions about coefficients of contraction and ignoring glass transition change.

The TSRST standardized system was developed under SHRP A-400 contract by Jung and Vinson [1]. In this test, as the temperature drops the specimen is restrained from contracting thus inducing tensile stresses. The results from TSRST are the cracking temperature and cracking strength due to a single low temperature event. This test method has been extensively used in the past to investigate thermal cracking performance. Research performed by Monismith et al.[2], Arand [3], Vinson et al. [4], Romero et al.[5], Sebaaly et al. [6], Chehab et al. [7], Sauzéat et al. [8], and Velasquez et al. [9], among others showed that TSRST can be used to evaluate the susceptibility of asphalt mixtures to low temperature cracking.

This paper covers the development of the Asphalt Thermal Cracking Analyser (ATCA), which is a significant improvement of the current TSRST. In addition to measure cracking temperature and cracking strength, the ATCA measures thermal strain during cooling, which allows for the direct estimation of relaxation modulus, the glass transition temperature ( $T_g$ ), and the coefficients of thermal contraction/expansion above and below  $T_g$ . Further, in addition to conventional single event thermal loading, the ATCA allows for application of thermal cycles and isothermal conditions to investigate thermal fatigue and physical hardening, respectively.

## 2 Materials

For the development of the ATCA, loose asphalt mixtures were collected from field pavement sections in Minnesota, USA and compacted using the Superpave Gyrotory compactor targeting design parameters provided by MnROAD engineers. The asphalt binders and aggregates used for preparation of mixes represent typical materials placed in pavements in the USA. Asphalt binders placed in these sections were modified with commonly used chemical and polymer additives.

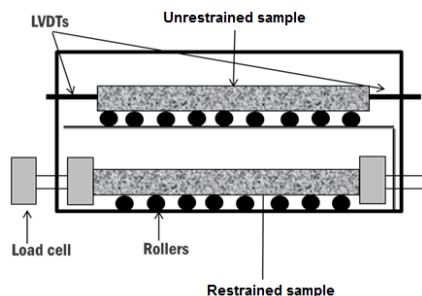
## 3 Development of the Asphalt Thermal Cracking Analyser (ATCA)

In an effort to address issues in existing low temperature testing setups, a device was developed that simultaneously tests two asphalt mixture beams; one unrestrained, and the other with restrained ends. The unrestrained beam is used to measure the change in length with temperature, and consequently the glass transition temperature ( $T_g$ ) and the coefficients of expansion/contraction above and below  $T_g$  (i.e.,  $\alpha_1$  and  $\alpha_g$ ). The restrained beam is used to measure the induced thermal stress build-up due to restraining conditions in the sample. The two beams tested in the ATCA are obtained from the same asphalt mixture gyrotory compacted sample or core, and both are exposed to the same temperature regime. The system is schematically shown in Figure 1.

During the development of the device, numerous obstacles and challenges were faced in order to achieve acceptable results. To address the problem of adhesive de-bonding of the epoxy and the metal end pieces (Figure 3(b)) used for the restrained beam, the end pieces were initially sand blasted, which temporarily

solved the issue. But with the build-up of grime in the fine sand blasted texture over time the problem reoccurred. Thus a much coarser texture was applied to the surfaces as shown in Figure 3(d), permanently solving the deboning issue.

Another significant issue observed was the apparent softening and relatively low failure stress in the material. This issue was studied significantly and at the end, two simple factors were found to be responsible for the observed behaviour: (a) the unintentional application of torsion to the beam while screwing in the end plates to the frame, and (b) loading eccentricity due to slight misalignment of the end plates as well as insufficient support of the beam leading to sagging. The first problem was resolved by filling the gap between the edge of the end plates and the bottom of the chamber with a metal spacer, effectively preventing any torsional movement in the beam (Figure 3(a)). The second issue was resolved by modifying the gluing setup by placing the plates on a rail and using a set of guide rods to insure the plates are placed completely parallel and aligned (Figure 4(b)). Furthermore, a support platform with adjustable height using a set of screws was designed and used to insure complete support of the beam midsection during the tests. Metal rollers were included on the platform to prevent any friction between the platform and beam, possibly affecting the stress and strain fields through the beam (Figure 3(c)).

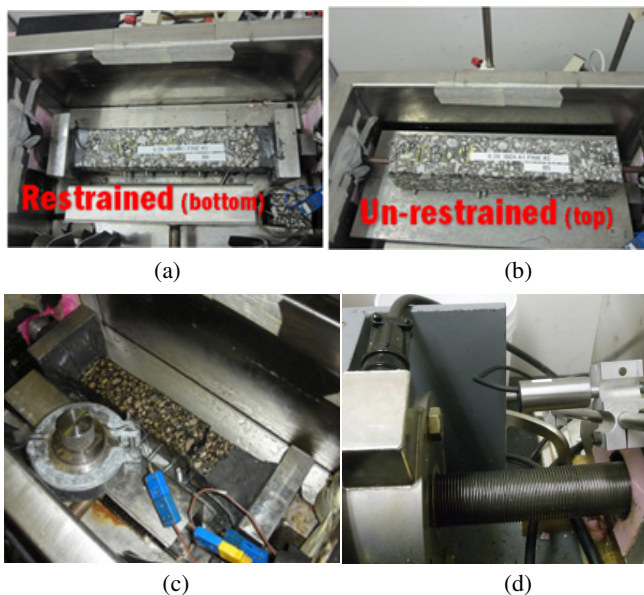


**Fig. 1.** Illustration of the Asphalt Thermal Cracking Analyser (ATCA)

The unrestrained and restrained samples are produced from one Superpave gyratory compacted sample. Using a masonry saw, four prismatic beams of 5 by 5-cm in cross section and 15 cm long are cut from 17 cm gyratory samples. Two of these beams are sawed in half to produce four 7.5 cm blocks. By gluing a 7.5 cm block to each end of the two 15 cm blocks, two 30 cm beams are produced (Figure 4). The effect of gluing was assessed by a set of comparative tests on one-piece and glued beams and was found to be insignificant.

As both beams are produced from the same sample and both are exposed to the same thermal history, the stress build-up, glass transition temperature,  $\alpha_1$  and  $\alpha_g$  can be used to get a comprehensive picture of the low temperature performance of the asphalt mixture. It is recognized that the air void content on outer edge of gyratory compacted samples may vary from that of the rest of the sample. Most of the outer area is cut off and removed during sample preparation. Nonetheless, the potential implications of this affect will be further assessed by the authors.



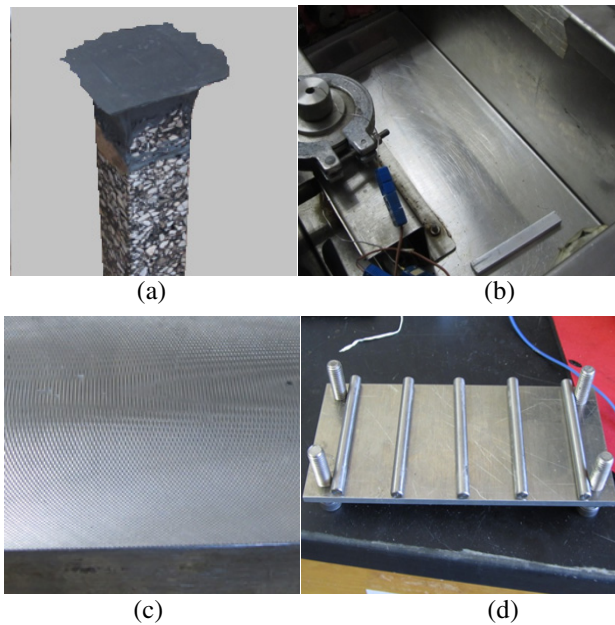


**Fig. 2.** (a) Restrained beam setup, (b) unrestrained beam setup, (c) restrained beam after failure, and (d) load cell and LVDT's

## 4 Experimental Capabilities of ATCA

The main outputs of the ATCA system are the measurements of thermal strain (Figure 5(a)) and stress (Figure 5(b)) during cooling. Many experiments, such as thermal cycling with isothermal steps (Figure 5(c)) and measurement of thermal stress relaxation are possible with the ATCA. One such test is a thermal stress relaxation experiment in which the chamber temperature is reduced to a predefined low temperature at a controlled cooling rate (0.1 to 1 °C/min), continuously monitored using temperature probes within the chamber and the core of the beams. The temperature is kept at the predefined temperature for prolonged periods, between 2 to 10 hrs, and the stress build-up in the restrained specimen as well as thermal strain in the unrestrained sample are measured continuously. The results are used to plot curves of thermal stress as a function of core temperature and test time during the extended isothermal condition (Figure 5(d)).

Results from the ATCA can also be used to calculate relevant low temperature material properties, most notably, the relaxation modulus. The relaxation modulus convolution integral can be solved numerically by directly measuring and inputting the parameters from the ATCA. Both sides of the equation are differentiated in the time domain to eliminate the integral. Thermal stress (i.e., restrained beam) and strain (i.e., unrestrained beam) data are used to solve for

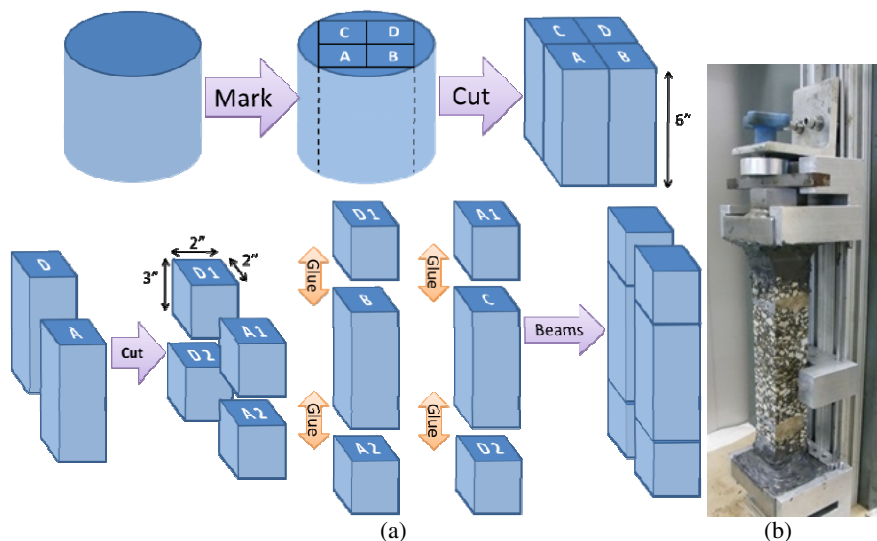


**Fig. 3.** Design revisions and challenges: (a) adhesive failure between epoxy glue and end piece, (b) metal spacer placed under restrained beam end pieces to prevent torsion, (c) re-textured surface of end piece to improve glue adhesion, and (d) adjustable height beam support platform with rollers

relaxation modulus. An example of ATCA results and the calculated relaxation modulus curve are shown in Figure 6.

As discussed, the ATCA can be used to fully determine the thermo-volumetric properties of asphalt mixtures. Glass transition temperature measurements in cooling and heating were obtained for the asphalt mixtures described in Table 1. The results were fitted using a mathematical relationship used by Bahia and Anderson [10] to determine the location of the glass transition temperature as well as the coefficients of contraction above and below the glass transition region. The results are plotted in Figure 7.

Another test that can be performed using the ATCA is thermal cycling. Figure 8 shows a typical example for thermal cycling testing. The asymmetric stress behaviour during cooling and heating is believed to be due to the asymmetry in the rate of build-up and reduction of time-dependent strain (i.e., physical hardening) in asphalt mixtures. This asymmetry is due to the gradual increase of the time-dependent strain rate based on the proximity of the temperature to the glass transition temperature during cooling. During heating the time-dependent strain is not differentiated from the temperature-dependent strain, thus the total accumulated potential thermal strain is decreased proportional to the coefficient of



**Fig. 4.** (a) Cutting of SGC sample for ACTA testing. (b) Sample gluing setup

thermal expansion. This concept was used to develop a model for thermal stress calculation that takes into account glass transition behaviour and time-dependent strain (i.e., physical hardening), as discussed elsewhere [11].

Observation of ATCA results show that the thermo-volumetric response of the unrestrained samples did not significantly change from cycle to cycle. Figure 8(c) shows the thermal strain in an asphalt beam prepared with the WI binder. The temperature was cycled between  $+30^{\circ}\text{C}$  and  $-70^{\circ}\text{C}$  three times. No significant change in coefficients of thermal contraction/expansion and the glass transition temperature was observed from one cycle and the next. However, the heating and cooling strain curves in each cycle differ from each other, as discussed previously. It is also observed that the cooling and heating curves will deviate more significantly when cooled to temperatures well below the glass transition temperature. The trend and magnitude of contraction is very similar in all three cycles, reinforcing the idea of complete recoverability of physical hardening after each heating cycle.

Figure 9 shows thermal cycles for MnROAD Cell 33 with isothermal conditioning in last cycle for the restrained sample. It can be seen that the area of the loop (i.e., hysteresis) decreases after each cycle. Furthermore, the area of the loop significantly decreases when the specimen is subjected to isothermal conditioning at the end of the cooling step. These results indicate the importance of taking into account isothermal conditioning (i.e., physical hardening) when estimating thermal cracking susceptibility of asphalt mixtures and it is discussed in detail in other publications by the authors [11, 12].

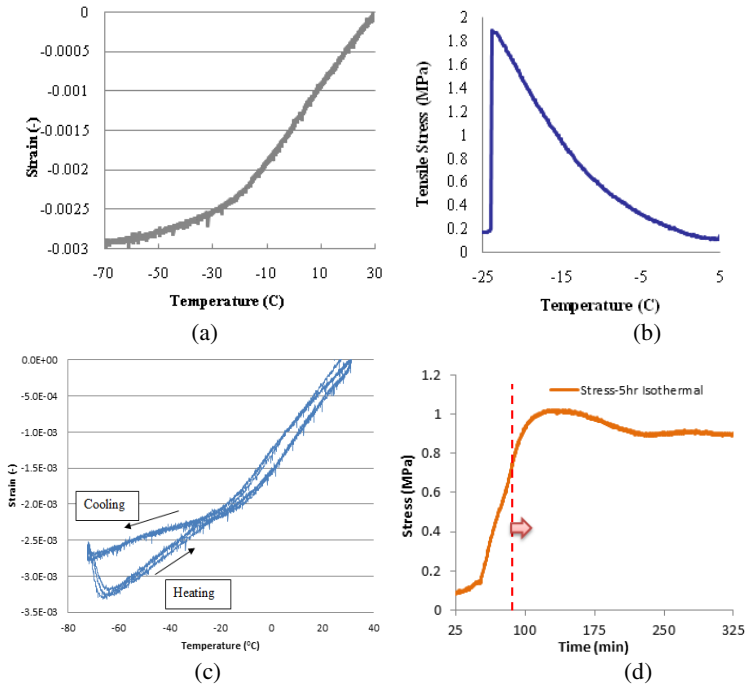


Fig. 5. Typical experimental results from ATCA, (a) measuring glass transition for asphalt mixtures, (b) measurement of stress build-up and fracture, (c) thermal cycling, and (d) measuring stress response during isothermal conditioning

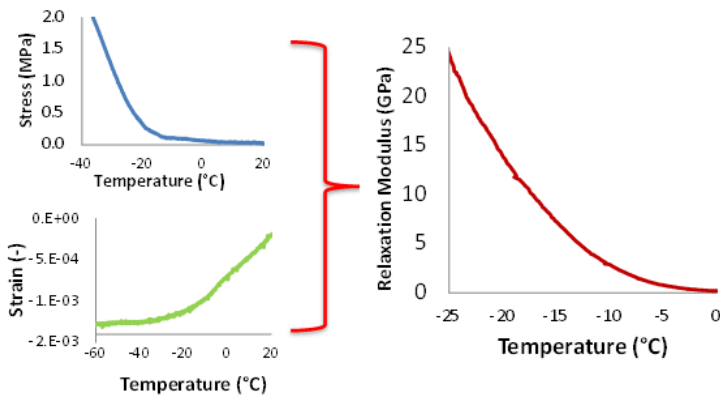
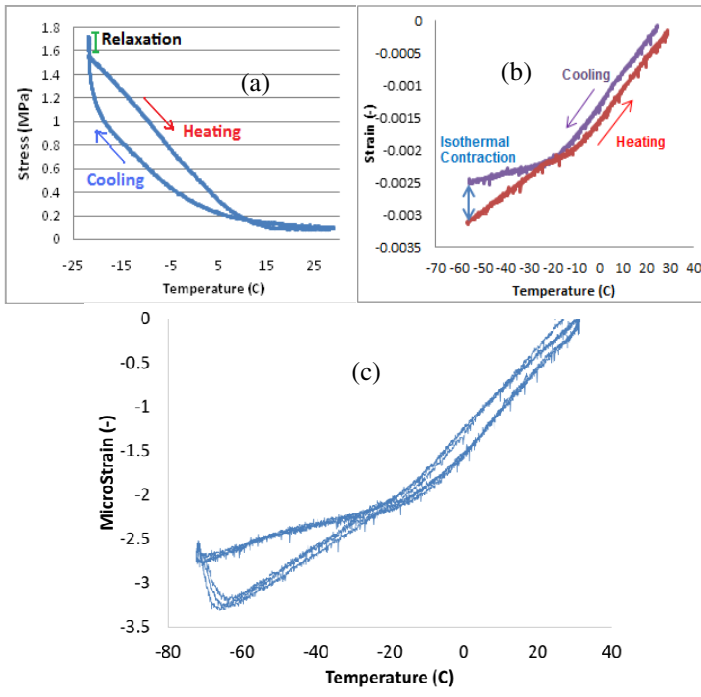


Fig. 6. ATCA results and calculated relaxation modulus curve



**Fig. 7.** Glass transition temperature of asphalt mixtures during cooling



**Fig. 8.** (a) Thermal stress, (b) thermal strain for a full thermal cycle, (c) thermal strain in asphalt mixture beam (WI) in 3 consecutive cycles

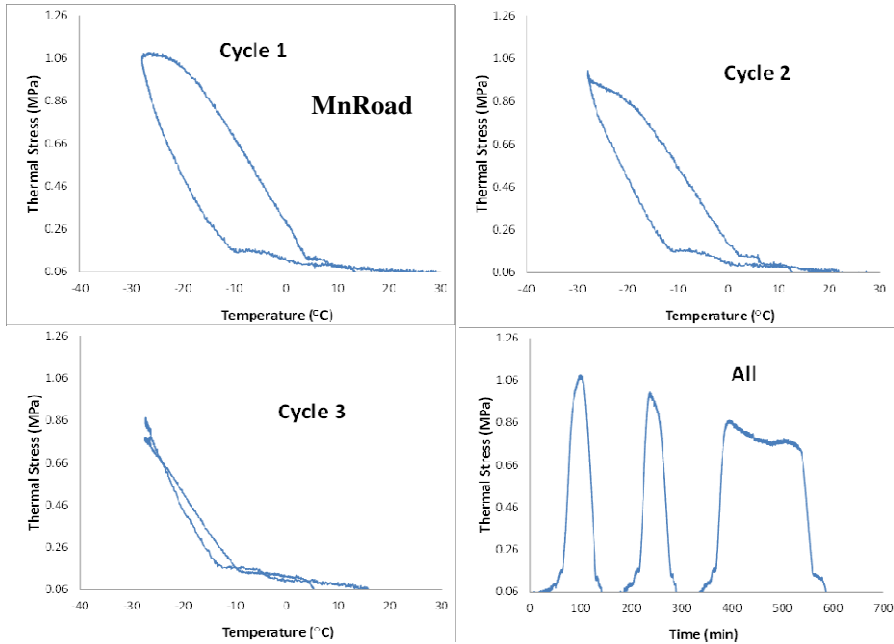


Fig. 9. Stress under thermal cycling and isothermal conditioning

## 5 Conclusions

A device to measure thermo-viscoelastic behaviour of asphalt materials for low temperature cracking characterization has been developed. The Asphalt Thermal Cracking Analyser (ATCA) can simultaneously test an unrestrained and a restrained asphalt mixture beam to obtain strain and stress changes during cooling and heating.

The results can be used very effectively to estimate glass transition temperature ( $T_g$ ), linear coefficients of thermal expansion/contraction, and thermal stress build-up as a function of temperature and time. Based on the wide range of experimental procedures possible using the ATCA, the following important observations can be made:

- Results from the ATCA can be used to calculate directly without any assumptions the relaxation modulus. The relaxation modulus convolution integral can be solved numerically by directly measuring and inputting the strain and stress responses from the ATCA.
- ATCA measurements show that the assumption of thermal strain as a linear function of temperature is not accurate at temperatures approaching the glass transition region. Thermal strain in asphalt mixtures is greatly dependent on the glass transition region, cooling rate, and the isothermal conditioning time.

- The thermo-volumetric response of the unrestrained asphalt mixtures does not significantly change during thermal cycling. However, the strain response in each cycle differ significantly between heating and cooling due to the asymmetry of the time-dependant strain rate near and below the  $T_g$ .
- Measurements of thermal stress using the ATCA indicate the importance of taking into account isothermal conditioning time (i.e., physical hardening) when estimating thermal cracking susceptibility of asphalt mixtures. Strain and stress are highly dependent on thermal history. The claims that physical hardening does not affect asphalt mixtures cracking and stress build up cannot be supported by data collected in this study.

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# Using 3D Laser Profiling Sensors for the Automated Measurement of Road Surface Conditions

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**Abstract.** In order to maximize road maintenance funds and optimize the condition of road networks, pavement management systems need detailed and reliable data on the status of the road network. To date, reliable crack and raveling data has proven difficult and expensive to obtain. To solve this problem, over the last 10 years Pavemetrics inc. in collaboration with INO (National Optics Institute of Canada) and the MTQ (Ministère des Transports du Québec) have been developing and testing a new 3D technology called the LCMS (Laser Crack Measurement System).

The LCMS system was tested on the network to evaluate the system's performance at the task of automatic detection and classification of cracks. The system was compared to manual results over 9000 km and found to be 95% correct in the general classification of cracks.

## 1 Introduction

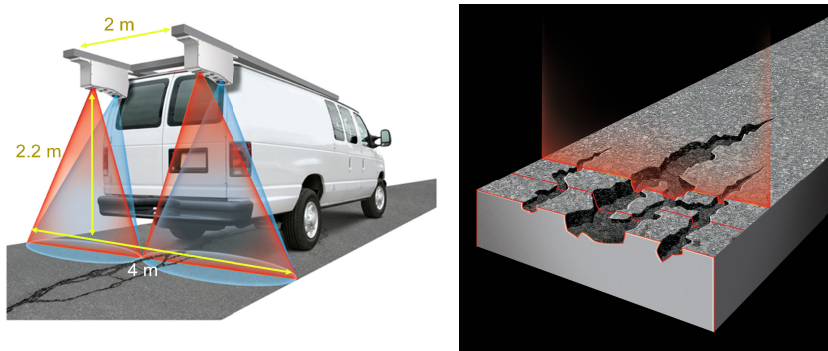
The LCMS is composed of two high performance 3D laser profilers that are able to measure complete transverse road profiles with 1mm resolution at highway speeds. The high resolution 2D and 3D data acquired by the LCMS is then processed using algorithms that were developed to automatically extract crack data including crack type (transverse, longitudinal, alligator) and severity. Also detected automatically are ruts (depth, type), macro-texture (digital sand patch) and raveling (loss of aggregates). This paper describes results obtained recently regarding road tests and validation of this technology.

## 2 Hardware Configuration

The sensors used with the LCMS system are 3D laser profilers that use high power laser line projectors, custom filters and a camera as the detector [1,2]. The light strip is projected onto the pavement and its image is captured by the camera (see figures 1 and 2). The shape of the pavement is acquired as the inspection vehicle travels along the road using a signal from an odometer to synchronize the sensor acquisition. All the images coming from the cameras are sent to the frame grabber to be digitized and then processed by the CPU. Saving the raw images would imply storing nearly



30Gb per kilometer at 100 km/h but using lossless data compression algorithms on the 3D data and fast JPEG compression on the intensity data brings the data rate down to a very manageable 20Mb/s or 720Mb/km. The critical specifications for the LCMS system can be found on table 1.



**Fig. 1.** LCMS on an inspection vehicle (left), laser profiling of cracks (right)

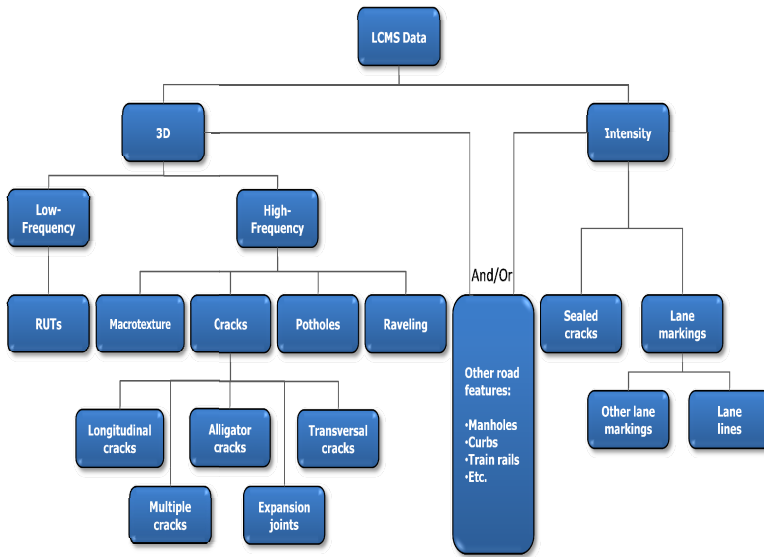
**Table 1.** LCMS Specifications

Nbr. of laser profilers	2
Sampling rate (max.)	11,200 profiles/s
Vehicle speed	100 km/h (max)
Profile spacing	Adjustable
3D points per profile	4096 points
Transverse field-of-view	4 m
Depth range of operation	250 mm
Z-axis (depth) accuracy	0.5 mm
X-axis (transverse) resolution	1 mm



**Fig. 2.** Photo of the LCMS system (sensors and controller)

The LCMS sensors simultaneously acquire both range and intensity profiles. The figure 3 illustrates how the various types of data collected by the LCMS system can be exploited to characterize many types of road features. The graph shows that the 3D data and intensity data serve different purposes. The intensity data is required for the detection of lane markings and sealed cracks whereas the 3D data is used for the detection of most of the other features.



**Fig. 3.** Data analysis library diagram

### 3 Intensity Data

Intensity profiles provided by the LCMS are used to form a continuous image of the road surface. The first role of the intensity information is for the detection of road limits. This algorithm relies on the detection of the painted lines used as lane markings to determine the width and position of the road lane in order to compensate for driver wander. The lane position data is then used by the other detection algorithms to circumscribe the analysis within this region of interest in order to avoid surveying defects outside the lane. Highly reflective painted landmarks are much easier to detect in 2D since they generally appear highly contrasted in the intensity images. With the proper pattern recognition algorithms, various markings can be identified and surveyed. Figure 4 shows the results of the different types of images (intensity, range, and 3D merged image) that can be produced from the LCMS data.

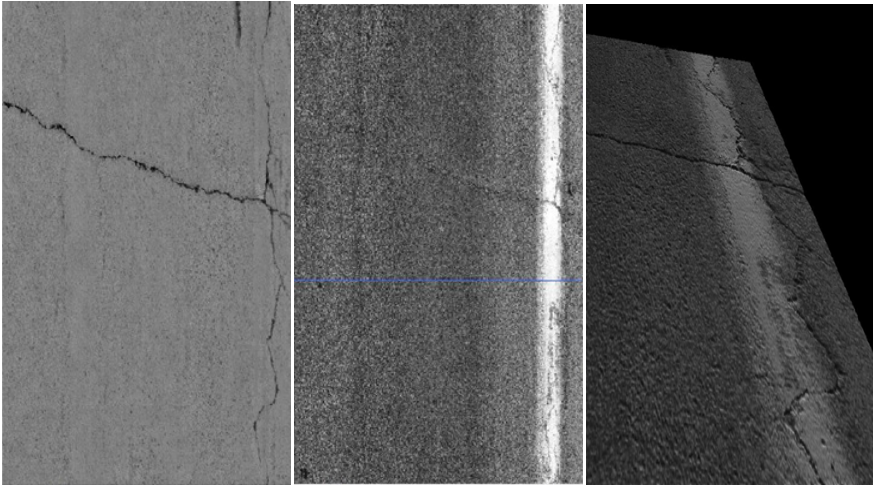


Fig. 4. LCMS data type – Range (left) – Intensity (center) – 3D merged (right)

### 4 3D Range Data

The 3D data acquired by the LCMS system measures the distance from the sensor to the surface for every sampled point on the road. The previous image (above left) shows a range data image acquired by the sensors. In this image, elevation has been converted to a gray level. The darker the point, the lower is the surface. In a range image the height can vary along the cross section of the road. The areas in the wheel path can be deeper than the sides and thus appear darker this would correspond to the presence of ruts. Height variations can also be observed in the longitudinal direction due to variations in longitudinal profiles of the road causing movements in the suspension of the vehicle holding the sensors. These large-scale height variations correspond to the low-spatial frequency content of the range information in the longitudinal direction. Most features that need to be detected are located in the high-spatial frequency portion of the range data. The figure 5 shows a 2m (half lane) transverse profile where the general depression of the profile corresponds to the presence of a rut, the sharp drop in the center of the profile corresponds to a crack point and the height variations (in blue) around the red line correspond to the macro-texture of the road surface.

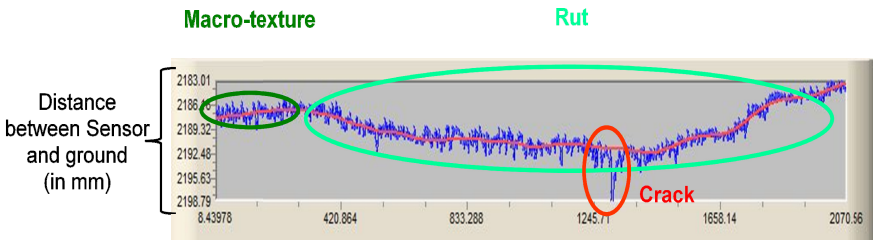


Fig. 5. LCMS (half lane) 2 m transverse profile showing ruts, cracks and texture

## 5 Macrotexture

Macrotexture is important for several reasons, for example it can help estimate the tire/road friction level, water runoff and aquaplaning conditions and tire/road noise levels produced just to name a few. Macrotexture can be evaluated by applying the ASTM 1845-01 norm [3]. This standard requires the calculation of the mean profile depth (MPD). To calculate the MPD, the profile is divided into small (10cm) segments and for each segment a linear regression is performed on the data. The MPD is then computed as the difference between the highest point on the profile and the average fitted line for the considered portion. MPD is the only way possible to evaluate texture using standard single point (64 kHz) laser sensors. The LCMS however acquires sufficiently dense 3D data to not only measure standard MPD but also to evaluate texture using a digital model of the sand patch method (ASTM E965) [4] as shown on figure 6.

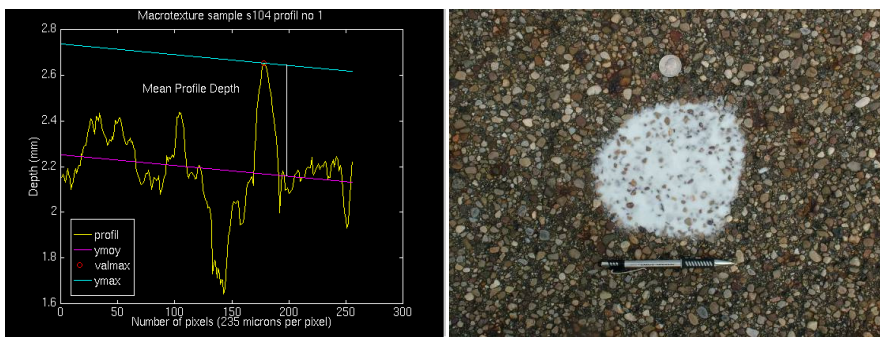


Fig. 6. MPD vs sand patch

The digital sand patch model is calculated using the following proposed Road Porosity Index (RPI). The RPI index is defined as the volume of the voids in the road surface that would be occupied by the sand (from the sand patch method) divided by a surface area. The digital sand patch method implemented allows texture to be evaluated continuously over the complete road surface instead of measuring only a single point inside a wheel path. The RPI can be calculated over any user definable surface area but LCMS reports by default the macro-texture values within the 5 standard AASHTO bands as illustrated on figure 7 (center, right and left wheel paths and outside bands).

Results show (see figure 8) that RPI measurements using the LCMS are highly repeatable as shown by road tests on several Alabama test sections and that RPI closely matches MPD measurements collected by standard texture lasers over a wide range of texture values.

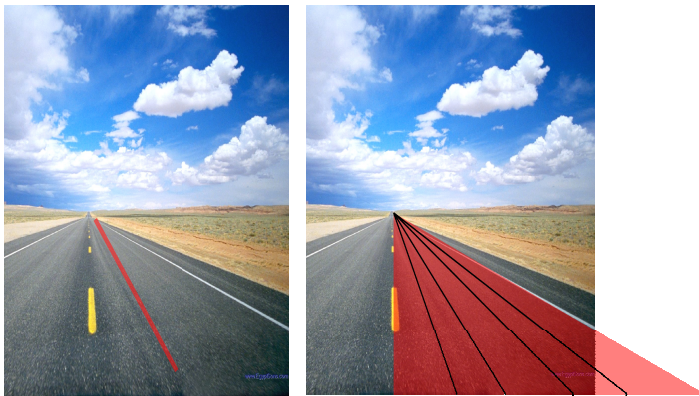


Fig. 7. MPD vs digital sand patch (RPI)

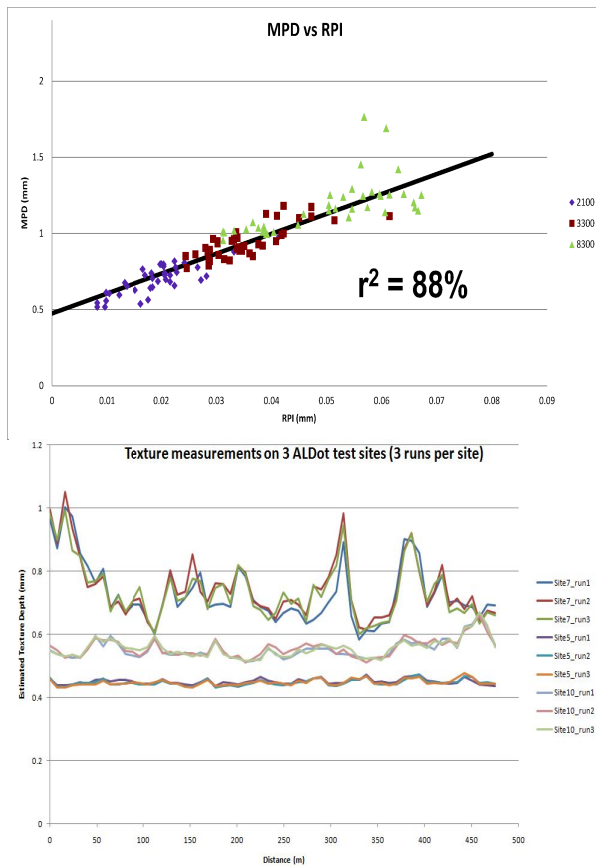


Fig. 8. Digital sand patch (RPI) accuracy and repeatability results (Alabama tests)

## 6 Raveling

Raveling is the wearing away of the pavement surface caused by the dislodging of aggregate particles and loss of asphalt binder that ultimately leads to a very rough and pitted surface with obvious loss of aggregates. In order to detect and quantify raveling conditions a Raveling Index (RI) indicator is proposed. The RI is calculated by measuring the volume of aggregate loss (holes due to missing aggregates) per unit of surface area (square meter). With the LCMS the high resolution of the 3D data allows for the detection of missing aggregates. Algorithms designed to specifically detect aggregate loss were developed in order



Fig. 9. Example of the automatic detection of aggregate loss in range images

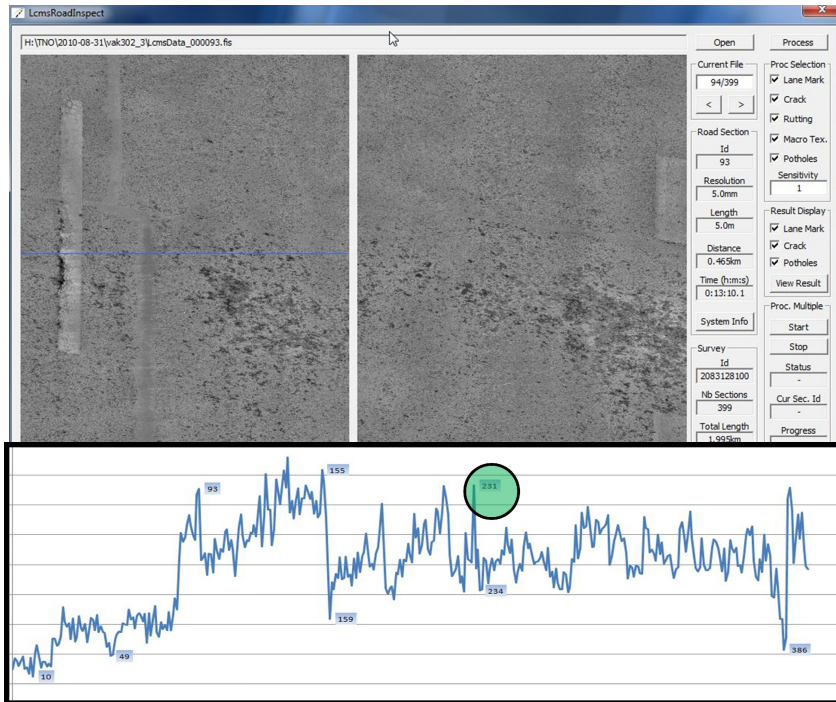
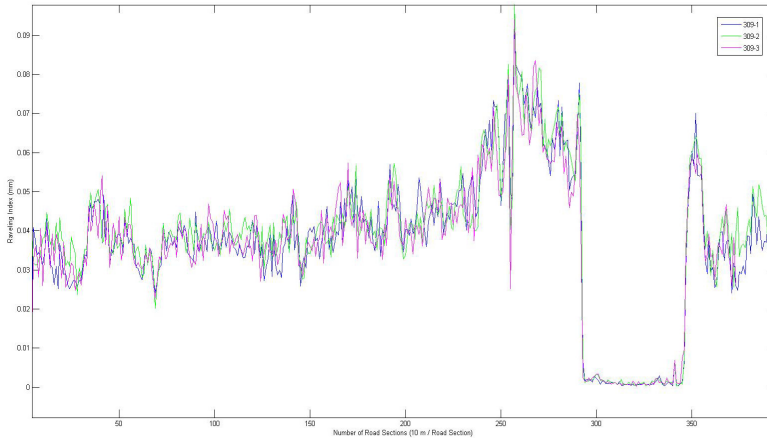


Fig. 10. Example of high RI road section on porous asphalt roads in the Netherlands

to evaluate the RI index automatically. The figures 9 demonstrate the results of aggregate detection (in blue) on range images. Figure 10 show an example of a high RI rated road section measured on porous asphalt roads in the Netherlands. Finally, the results of a repeatability test (3 passes) also on road sections in the Netherlands are shown on figure 11.



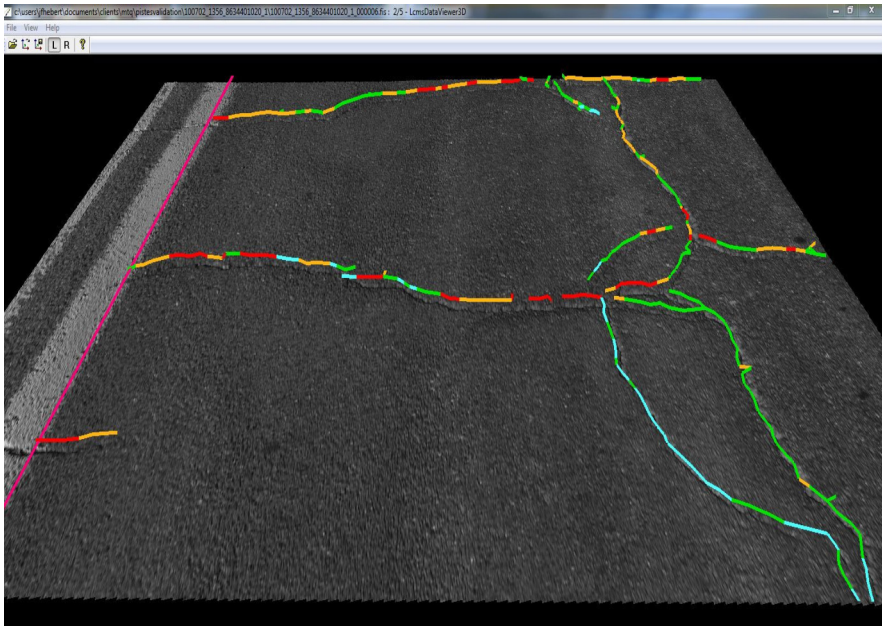
**Fig. 11.** Repeatability of RI measurements (3 passes) on road sections in the Netherlands

## 7 Cracking

Detecting cracks reliably is far more complex than applying a threshold on a range image. As mentioned previously the 3D profile data needs to be detrended from the effects of rutting and vehicle movements. Macrotexture is also a problem; road surfaces have very variable macrotexture from one section to the next and even from one side of the lane to the other. For example, on roads with weak macrotexture we can hope to detect very small cracks which will be harder to detect on more highly textured surfaces. It is thus necessary to evaluate and to adapt the processing operations based on the texture and type of road surface. Once the detection operation is performed, a binary image is obtained where the remaining active pixels are potential cracks. This binary image is then filtered to remove many of the false detections which are caused by asperities and other features in the road surface which are not cracks on the pavement. At this point in the processing, most of the remaining pixels can correctly be identified to existing cracks, however many of these crack segments need to be joined together to avoid multiple detections of the same crack. After the detection process, the next step consists in the characterization of the cracks. The severity level of a crack is

determined by evaluating its width (opening) typically cracks will be separated in low, medium and high severity levels. The cracks also need to be grouped into two main categories: Longitudinal and transverse cracks. Furthermore, transverse cracks are further divided into complete and incomplete types and joints need to be classified separately. Longitudinal cracks are further refined into three sub-categories: simple, multiple and alligator.

The LCMS system was used by the MTQ to survey nearly 10,000km of its road network. In order to validate the system an independent 3rd party under the supervision of the MTQ was mandated to manually qualify the crack detection results of the LCMS system over the entire survey. To do this each 10m section was visually analyzed and the results were categorized in 3 classes (Good, Average and Bad). A fourth class (NA) was used when for when it was not possible to correctly evaluate a section. Figure 12 shows an example of crack detection results on a 10m pavement section. Transverse cracks are identified with a bounding box. Regions in red indicate high severity cracks (15mm+) and light blue and green represent low severities (less than 5mm). Table 2 shows the results of the compilation of the manual evaluation. The final results are deemed excellent by the MTQ as the overall 'Good' rating reaches 96.5%. Repeatability tests were also conducted on several MTQ test sections and the results shown on the figure 13 also demonstrate very repeatable crack detection results on these sections.

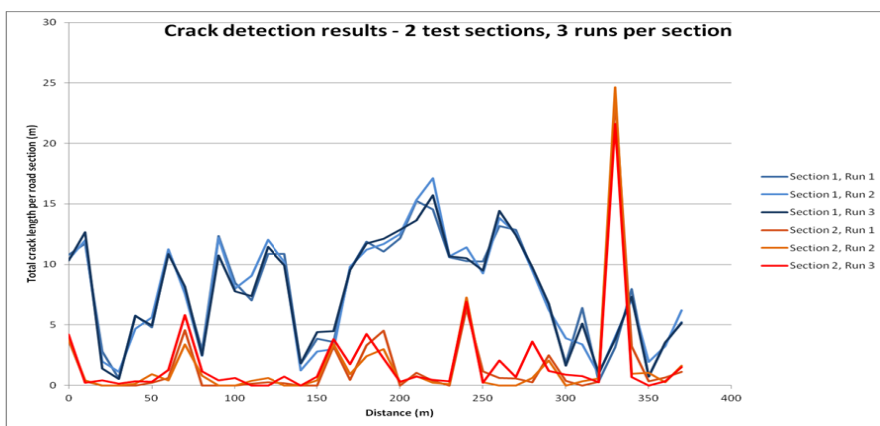


**Fig. 12.** Example crack detection results (severity = color code)



**Table 2.** 10,000 km automatic vs manual survey results

District #	Total (10 m sections)	Results (manual classification)							
		Number of images (10 m sections)				Proportion (%)			
		Good	Average	Bad	NA	Good	Average	Bad	NA
84	35288	34144	310	144	690	96,8	0,9	0,4	2,0
85	4243	4101	53	51	38	96,7	1,2	1,2	0,9
86	147903	144040	516	1520	1827	97,4	0,3	1,0	1,2
87	149926	138453	1170	5728	4575	92,3	0,8	3,8	3,1
88	189097	183010	1064	2002	3021	96,8	0,6	1,1	1,6
89	125003	121835	442	2015	711	97,5	0,4	1,6	0,6
90	123653	116930	2980	2434	1309	94,6	2,4	2,0	1,1
91 & 92	215513	213142	197	956	1218	98,9	0,1	0,4	0,6
<b>Total</b>	<b>990626</b>	<b>955655</b>	<b>6732</b>	<b>14850</b>	<b>13389</b>	<b>96,5</b>	<b>0,7</b>	<b>1,5</b>	<b>1,4</b>



**Fig. 13.** Repeatability results (3 passes) on two MTQ road sections

## 8 Conclusions

We have presented a road surveying system that is based on two high performance transverse 3D laser profilers that are placed at the rear of an inspection vehicle looking down in such a way as to scan the entire 4m width of the road surface with 1mm resolution. This configuration allows the direct measurement of many different types of surface defects by simultaneously acquiring high resolution 3D and intensity data. Examples of different algorithms and results were shown using the 3D data to detect cracks, ruts, evaluate macro-texture and to detect raveling while the intensity data was used for the detection of lane markings.

The LCMS system was tested at the network level (10000 km) to evaluate the system's performance at the task of automatic detection and classification of cracks. The system was evaluated to be over 95% correct in the general classification of cracks.

A Road Porosity Index (RPI) was proposed as a model to measure the equivalent of a digital sand patch. The digital sand patch (RPI) method implemented allows texture to be evaluated continuously over the complete road surface and within each of the five AASHTO bands.

A Raveling Index (RI) indicator calculated by measuring the volume of aggregate loss (holes due to missing aggregates) per unit of surface area (square meter) was proposed. This indicator was shown to allow the quantification of the amount of raveling present and was shown to be highly repeatable.

## References

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- [3] ASTM E1845 - 09 Standard Practice for Calculating Pavement Macrottexture Mean Profile Depth, Active Standard ASTM E1845 Developed by Subcommittee: E17.23
- [4] ASTM E965 - 96, Standard Test Method for Measuring Pavement Macrottexture Depth Using a Volumetric Technique, Active Standard ASTM E965 Developed by Subcommittee: E17.23 (2006)

# Pavement Crack Detection Using High-Resolution 3D Line Laser Imaging Technology

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**Abstract.** With the advancement of 3D sensor and information technology, a high-resolution, high-speed 3D line laser imaging system has become available for pavement surface condition data collection. This paper presents preliminary results of a research project sponsored by the U. S. Department of Transportation (DOT) Research and Innovation Technology Administration (RITA) and the Commercial Remote Sensing and Spatial Information (CRS&SI) technology program. The objective of this paper is to validate the capability of 3D laser pavement data gathered during an automated pavement survey. An experimental test, using continuous profile-based laser data collected from Georgia State Route 80 and 275, was conducted to evaluate the performance of 3D line laser imaging technology. Based on the experimental results, the 3D laser pavement data are robust under different lighting conditions and low-intensity contrast conditions and have the capability to deal with different contaminants on a pavement's surface. It can support an accurate crack width measurement, which will contribute to further crack classification task. The 3D laser pavement data have a good capability to collect cracks that are greater than 2mm wide; however, the data resolution limits the detection of hairline cracks to approximately 1mm. The findings are crucial for transportation agencies to use when determining their automated pavement survey policies. Recommendations for future research are discussed in the paper.

## 1 Introduction

Pavement surface distress measurement is an essential part of a pavement management system (PMS) for determining cost-effective maintenance and rehabilitation strategies. Visual surveys conducted by engineers in the field are still the most widely used means to inspect and evaluate pavements, although such evaluations involve high degrees of subjectivity, hazardous exposure, and low production rates. Consequently, automated distress identification is gaining wide popularity among transportation agencies.

As early as 1990, Haas and Hendrickson [1] presented a general model of pavement surface characteristics that integrates multiple types of sensor information

to simplify automated pavement distress survey. For the past two decades, many researchers have developed automated pavement crack detection and evaluation methods, which is an important component of automated pavement distress surveys. Most studies [2–5] employed 2D intensity-based imaging systems to provide the input for crack detection algorithms. Due to the mechanism of data acquisition, the performance of crack detection algorithms is severely hampered in the presence of shadows, lighting effects, non-uniform crack widths, and poor intensity contrast between cracks and surrounding pavement surfaces [6]. Xu et al. [7] used artificial constant lighting, such as LED lighting, that prevents the impact of shadows during data collection; however, the beam width of the LED lighting was not thin enough to provide sufficient depth solution, and it also did not provide a good solution to the pavements with low-intensity contrast. Some researchers [8] attempted to use 3D stereovision or photogrammetric systems for pavement surface reconstruction, but they were still in the experimental stage, and the resolution was operationally limited. Therefore, the challenge for automated pavement crack surveying persists in spite of all the research work that has been carried out to improve image acquisition techniques by minimizing defects [9].

With the advances in sensor technology, a 3D line-laser-imaging-based pavement surface data acquisition system has become available. The Laser Crack Measurement System (LCMS) [10] can collect high-resolution 3D continuous pavement profiles for constructing pavement surfaces. The objective of this paper is to validate the capability of 3D laser pavement data to detect cracks in support of subsequent crack classification. The paper is organized as follows. This section reviews related research on automated pavement crack surveying and identifies the objective of this study. Section 2 briefly introduces the 3D line-laser-imaging system for pavement data collection. Section 3 demonstrates the advantages of 3D laser pavement data under different conditions. Section 4 presents the validation results of the crack width measurement results using 3D laser data. The last section concludes the findings and makes recommendations for future research.

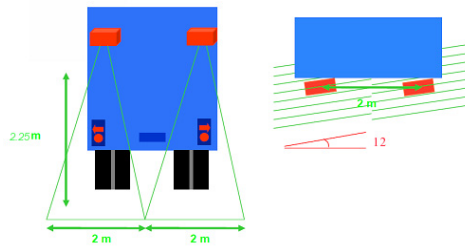
## **2 3D Laser Technology for Pavement Surface Data Collection**

This section briefly introduces the 3D line-laser-imaging-based data acquisition system for pavement surface data collection. A sensing vehicle integrated with two high-performance laser profiling units is shown in Figure 1. Each profiling unit consists of a 3D laser profiler (laser line projector), a custom filter, and an area scan camera as the detector. The profiling unit employs the existing concept of structured light and triangulation. The profiler projects the laser light stripe onto an object's surface, and its image is captured by the area scan camera. Based on the pre-calibrated positions and angles of projector and detector and also the deformation of the laser line projected on the object, the elevation of the object surface can be calculated. In this case, a complete 3D-dimensional set of points of the pavement surface can be acquired.



**Fig. 1.** A sensing vehicle integrated at Georgia Tech

Each laser profiling unit produces 2,080 data points per profile. Integrating two profiling units, the sensing system uses 4,160 3D data points to cover a 4-meter pavement width, which is usually sufficient for single normal road lane (as shown in Figure 2). Therefore, the resolution in transverse direction is about 1mm. In addition, the acquired 3D laser profile has been designed to have a 12-degree clockwise tilt angle to the pavement's transverse direction in order to ensure that the laser profiles can intersect with transverse cracks. The resolution is 0.5mm in elevation direction. The highest resolution in longitudinal direction (driving direction) depends on the vehicle's driving speed. In the integrated sensing vehicle, Distance Measurement Instrument (DMI) and the accompanying encoder are used to coordinate the vehicle driving and 3D pavement data collection. The system can collect transverse profiles at 4.6mm intervals at a speed of 100km/h. The high allowable operational speed also provides the potential for the system to conduct pavement data collection under highway condition.



**Fig. 2.** LCMS system and projection of laser [10]

### 3 Advantages of 3D Laser Pavement Data

Since a 3D laser profile uses the range (elevation) information to describe pavement surface, it has several advantages compared to traditional techniques. Unlike a 2D

digital image, the range data based on a 3D laser profile is hardly influenced by different lighting conditions. Poor intensity contrasts and contaminants like oil stains will also not interfere with the segmentation algorithms using the acquired range data (which is equivalent to 3D laser data in this paper). This section demonstrates the advantages of 3D laser pavement data.

### 3.1 Pavements under Different Lighting Conditions and Low Contrast Conditions

Our previous study demonstrated the robustness of 3D laser pavement data under different conditions [6]. The experimental data in this test were collected on Georgia State Route 80. The crack detection employed the dynamic optimization algorithm [11], which was originally developed for medical image processing. Figure 3 shows three different lighting conditions on the roadway. The different lighting conditions definitely led to different intensity appearances of the roadway surface, and this introduced challenges for crack detection using a traditional 2D intensity image. However, in the collected 3D laser pavement data, there were no distinctive differences among the three conditions, and the crack detection gave robust results (Figure 4).

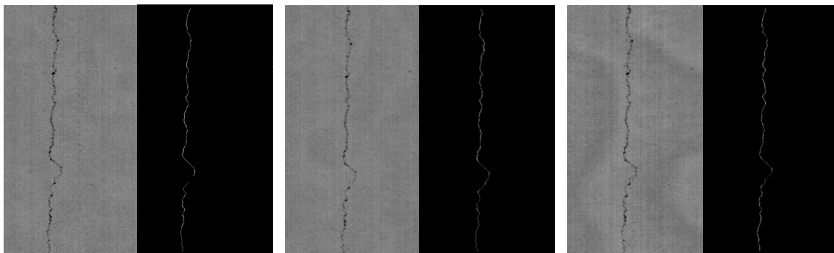


(a) Night-time

(b) Day-time with shadow

(c) Day-time no shadow

**Fig. 3.** Examples of three lighting conditions [6]



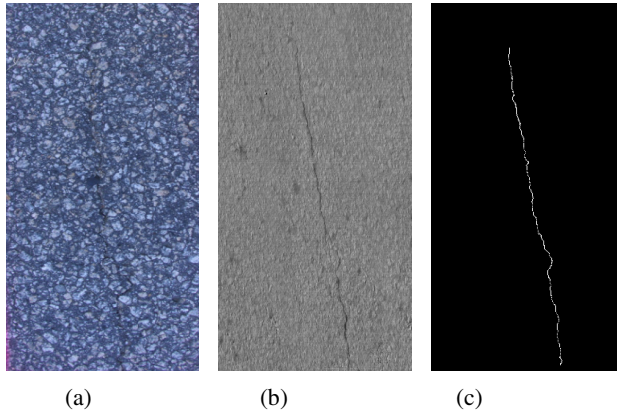
(a) Night-time

(b) Day-time with shadow

(c) Day-time no shadow

**Fig. 4.** 3D laser data and corresponding crack detection results for three lighting conditions [6]

Figure 5 shows the pavement sample with low-intensity contrast. The pavement cracking in a traditional road image (Figure 5 (a)) was hard to differentiate due to the pattern of the surrounding surface. This challenge was tremendously reduced by employing the 3D laser pavement data, and the crack detection also gave reliable result.

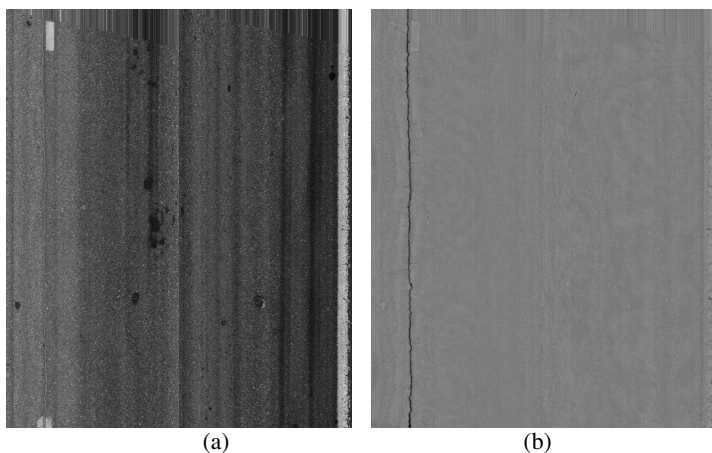


**Fig. 5.** Pavement sample with low-intensity contrast: (a) the roadway image; (b) 3D laser data; (c) crack detection results [6]

### 3.2 Pavements with Oil Stains and Other Contaminants

Oil stains usually appear to be darker than the surrounding area on a pavement surface. In a traditional 2D digital image, crack pixels have similar characteristics. Therefore, it is difficult to differentiate pavement cracking from contaminants, such as oil stains. However, benefiting from the features of a 3D laser profile, oil stains are no more distinctive in range data.

This experimental test used a representative pavement sample to present the advantages of the 3D laser technique under the influence of oil stains and other contaminants. The data used in this test were collected on Georgia State Route 275 between Milepost 0 and Milepost 1. There’s an intersection and a gas station around this section of road. The pavement surface is usually not clean and is affected by contaminants; however, considering that there is not too much traffic along SR 275, the pavement surface condition is relatively good. Figure 6 demonstrates the selected sample in which contaminants, such as oil stains, influence the pavement’s appearance. Figure 6 (a) shows the intensity image of the pavement sample. Different contaminants influence the appearance of the pavement, including some oil stains around the image center, dark strips caused by tire marks, camera discoloration, and lane marking. Figure 6 (b) shows the 3D laser data of this sample. Although contaminants, such as oil stains, have a distinctive intensity, they do not have an obvious elevation change on the surface. Therefore, they have been eliminated in the range data; however, cracking can still be clearly observed because it usually has a sharp elevation drop compared to the surrounding area.



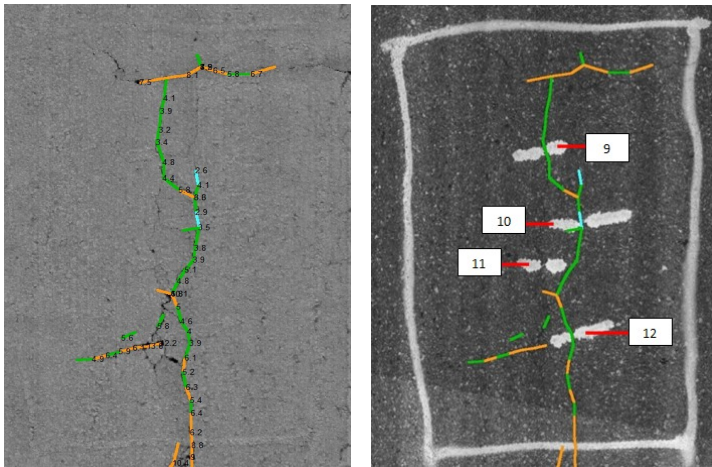
**Fig. 6.** Pavement images with oil stains and other contaminants

#### **4 Validation of Crack Width Measurement Using 3D Laser Pavement Data**

Almost all the existing automatic crack evaluation studies focus on using 2D intensity images as the input. Compared to the traditional 2D digital image technique, the emerging 3D laser technique can provide a more accurate width measurement as well as the crack depth information. Crack width is a common and important crack classification factor in most DOTs' pavement evaluation protocols, especially when differentiating severity levels. It is also crucial information to determine pavement maintenance operations, such as crack sealing/filling. However, crack width has rarely been used in the past crack classification studies. Considering the characteristics of the 2D intensity image, the accuracy of crack width measurement (measured pixel by pixel) is limited; even for a high-resolution image, crack width measurement is still influenced by other factors that also influence crack detection, such as lighting conditions and pavement contaminants (e.g. oil stain). The 3D laser technique provides an opportunity to measure crack width more accurately.

In this experimental test, a total of 12 locations were selected from State Route 275 between Milepost 1 and Milepost 2 for crack width measurement. Figure 7 shows an example of location selection for crack width measurement. The left image is the range data with detected crack map overlaid, and the automatically measured crack width information using 3D laser data is labelled beside the corresponding crack elements. The right one is the intensity image with crack map overlaid, and the selected 12 locations are marked for reference.





**Fig. 7.** Example of location selection for crack width measurement

**Table 1.** Crack width measurement validation results for 12 locations

Location No.	Detected Crack Width (mm)	Manually Measured Crack Width (mm)	Absolute Difference (mm)
1	3.5	3.5	0
2	2.8	3	0.2
3	4	3.5	0.5
4	Not detected	1.5	N/A
5	Not detected	1	N/A
6	3.8	3	0.8
7	Not detected	1	N/A
8	3.1	3	0.1
9	4.8	4	0.8
10	2.9	3	0.1
11	Not detected	1	N/A
12	4	5	1
Avg.	3.6	3.5	0.4

In order to validate the automatic crack width measurement accuracy for the 12 selected locations, the ground true crack widths were manually measured in the field. The validation results are shown in Table 1. Based on the results, the 3D laser pavement data have a good capability to collect cracks which are greater than 2mm wide; however, it is difficult to detect hairline cracks with widths of approximately 1 mm. This is reasonable considering the characteristics of the laser technique and, also, the resolution of LCMS system (4 mm at driving direction and 1 mm at transverse direction). Hairline cracks are also difficult to detect using traditional digital intensity images. Actually, based on Georgia Department of

Transportation (GDOT) pavement survey practice, hairline cracks, which usually occur in low severity level load cracking, do not have significant influence on the deducted value computation; in other words, although a hairline crack is also a pavement distress issue, it does not have a large influence on the current pavement condition survey results. For the detected cracks, the automatically measured width information is relatively consistent with a field measured ground truth. The maximum absolute difference is about 1mm, and the average absolute difference is about 0.4 mm. The results show the capability of using the accurate crack width information for further crack classification tasks.

## 5 Conclusions and Recommendations

Developing an automated pavement cracking survey has gained strong interest among transportation agencies. However, a fully automated pavement crack detection system based on a 2D intensity-based imaging data acquisition system still remains a challenge. The emerging 3D line-laser-imaging-based data acquisition system has the potential to collect more robust pavement surface data under different conditions. The objective of this study is to demonstrate the feasibility of using a 3D laser-based pavement data acquisition system to conduct automated pavement cracking surveys. A sensing vehicle was integrated by our research group at Georgia Tech, and the LCMS system was employed as the 3D pavement data acquisition system. The experimental tests were conducted on actual pavements in Georgia, and different pavement data were collected from State Routes 80 and 275. The major findings of the paper are summarized as follows:

1. The 3D laser pavement data are robust under different lighting conditions and low-intensity contrast conditions;
2. The 3D laser pavement data have the capability to remove the interference of contaminants on pavement surface, such as oil stains, tire marks, discoloration caused by the camera lens, incomplete lane marking, etc.
3. The 3D laser pavement data can support an accurate crack width measurement, which is critical for further crack classification and evaluation. Based on the experimental results, the absolute average difference between detected crack widths and manually measured ground truths was 0.4 mm. The cracks with widths equal to and greater than 2mm can be detected. However, hairline cracks (with crack width around 1mm) cannot be detected by the system, mainly due to the currently available resolution of laser data.

The preliminary experimental tests show that the emerging 3D laser pavement data acquisition technique is promising for conducting automated pavement crack surveys; it can be operated at highway speed with high data resolution, and it has several outstanding advantages over the traditional intensity-based pavement data acquisition. The following are recommendations for future research:

1. The paper is based on the results of preliminary experimental tests, and a comprehensive field test is still needed. The comprehensive test will include the pavement data with different kinds of cracks (e.g. transverse cracks, block cracks, short cracks, sealed cracks, and cracks filled with dirt) and severity levels, different asphalt pavement surfaces with various textures (e.g. dense graded, open graded pavement, and chip seal), and different roadway conditions (e.g. patches).
2. The experimental evaluation was based on the visual inspection of data and crack detection results, but there is still a need to introduce a quantitative and objective method to further evaluate the 3D laser pavement data. Kaul et al. [9] introduced the scoring method based on buffered Hausdorff distance to quantitatively evaluate the performance of different crack detection/segmentation algorithms [12], and it can be used as a good tool in further research.
3. Different crack detection/segmentation algorithms still need to be tested with the 3D laser pavement data. Most of the existing crack detection algorithms (e.g. dynamic optimization algorithm used in this study) are based on a 2D intensity image, and further experimental tests need to be done to demonstrate their performance with the emerging 3D laser data. Considering the unique features of 3D laser pavement data, it may also be necessary to improve the existing methods or propose new crack detection methods that will fit better with the different data.
4. Current experiments are in the automated crack detection stage. In order to conduct an automated pavement crack survey, crack classification and measurements still need to be further studied. The existing studies on crack classification are limited. With the high accuracy of crack width measurement and additional crack depth measurement, the 3D laser pavement data have the potential to conduct the crack classification tasks, even for different crack severity levels (which are also considered in the state DOTs' pavement survey manuals).

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# Detecting Unbounded Interface with Non Destructive Techniques

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**Abstract.** The French road network has been built more than 30 years ago, and consists mainly of bituminous pavements. Some of them have also been maintained several times by thin overlays. On these pavements, a lot of damage such as potholes and alligator cracking has been observed, in particular after periods of heavy rain or freeze/thaw. Frequently, this type of damage is assumed to be linked with interface debonding between these overlays and the old pavement, associated with moisture effects. To detect such damages, some non destructive techniques (NDT), as electromagnetic techniques (GPR, step-frequency radar or infra-red) or as mechanical techniques (from static deflection measurements to seismic wave propagation methods), appear as promising approaches. This paper compares two different NDT to detect debonding during an experiment carried out on the large pavement fatigue carousel of IFSTTAR in Nantes.

The tests presented in this paper are performed on a 15m long pavement section, consisting of 3 bituminous layers over a granular subbase. Several types of defects have been included at the interface between the two base layers or at the interface between the base layer and the wearing course. Debonded areas of different size and form have been created artificially, using different techniques (sand, Teflon or kraft paper). The construction has been done by a road construction company, using standard road works equipment.

At the start of the experiment, different NDT techniques (Colibri, step frequency radar) are used to detect the different geometrical characteristics of artificial defects. This allows comparing the capability of each technique to detect such damages.

**Keywords:** Accelerated Pavement Testing, debonded interface, step frequency radar, dynamic investigation, non destructive tests.

## 1 Introduction

The French road network has been built more than 30 years ago, and consists mainly of old bituminous pavements. Some of them have also been maintained

several times by thin overlays (less than 8cm). On these pavements, a lot of damage such as potholes and alligator cracking has been observed these last years, in particular after periods of heavy rain or freeze/thaw. Frequently, this type of damage is assumed to be linked with interface debonding between these overlays and the old pavement, associated with moisture effects. These debondings have a large influence on the residual life of the pavement [1], and thus their early detection is a very important issue for pavement maintenance.

To detect such interface damages, some non destructive techniques (NDT), as electromagnetic techniques (ground-penetrating radar, step-frequency radar or infra-red) or as mechanical techniques (from static deflection and radius of curvature measurements to seismic wave propagation methods), appear as promising approaches. They could also be efficient to detect and survey internal cracks. This paper compares 2 different NDT to detect debonding on a test site built for an experiment carried out on the large pavement fatigue carousel of IFSTTAR in Nantes.

## 2 Description of the Test Site

The test site has been built on the pavement fatigue carousel of IFSTTAR (figure 1). It is a large scale circular outdoor test equipment, unique in Europe by its size (120m long) and loading capabilities (maximum loading speed 100 km/h, loading rate 1 million cycles per month). Contrary to most Accelerated Testing Pavement equipments, it is able to test pavements up to failure in a few weeks. The machine comprises a central motor unit and 4 arms that can be equipped with different wheel configurations. The circular test track can be divided in several different test sections, loaded simultaneously. The width of the test track (6m) allows to apply traffic loads on the same track at two different radii.



**Fig. 1.** The pavement fatigue carousel of IFSTTAR

This study is part of a full scale experiment started on the test track in 2008 with low traffic pavements. The major part of the ring has been built by a private company as part of a research contract. The ring was divided into several sectors to test some alternative road construction techniques. A 15m long part of the ring

has been used for by IFSTTAR for its own research work. On this section, it was decided to include debonded interface, in a pavement structure representative of the national road network. The main objective was to test and compare different non destructive techniques. A second objective was to survey the evolution of the defects.

This 15m long pavement section, consists of 3 bituminous layers (2x0.10m thick base layers, and 0.06m thick wearing course), over a 0.20m thick unbound granular sub base. It is close to the 19m radius of the ring and is 4m wide. The wheel path is 1.60m wide.

The subgrade is a sand 0/4 from the Missillac quarry and it could be decomposed in two layers. The first layer (2.2 m) is composed by a sand present on the site since 2001 with a good bearing capacity (about 120 MPa). The second layer, about 0.35m, is constituted of new clayey sand, added to raise the initial level of the soil, for the pavement structure. This layer is of poor quality (35 MPa).

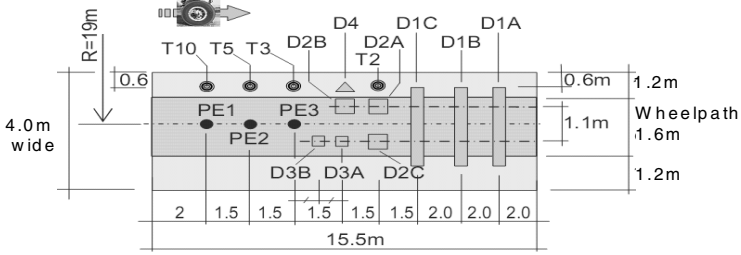
The unbound granular material (UGM) is a 0/20 mm crushed gneiss aggregate, in conformity with the French classification GNT B2C2 [2]. It can be noted that the UGM has relatively high fines content (9.9 %), close to the maximum of French specifications.

The characteristics of the bituminous materials are given in table 1. The wearing course is a 0/10 mm bituminous concrete (HMA1), and the base and subbase consist of a 0/14 mm base course asphalt material (HMA2 & HMA3 base).The two mixes are made with aggregates of the same origin (Brefauchet quarry) and the same binder ( 35/50 grade pure bitumen).

**Table 1.** Main characteristics of the bituminous materials

Size (mm)	Content (%)	
	HMA1 course 35/50	HMA2 & HMA3 base 35/50
10/14 aggregate	7.3	31.7
6.3/10 aggregate	33.9	12
2/6.3 aggregate	26.1	25
0/2 sand	31.7	31.3
Filler	1	
Bitumen 35/50	5.7	4.1

During the construction, optical fibre sensors have been placed at the interfaces between bituminous layers, at the beginning of the section, to evaluate strain measurements obtained with these sensors, and to compare them with classical strain gages for bituminous layers (PE1, PE2 & PE3). Several objects have also been included in the pavement (figure 2): Wood (D4) and Teflon objects (T2, T3, T5 & T10) placed at the interface between wearing course and base layer, outside the wheelpath. These objects have been put in place to test different infra-red detection techniques. Other rectangular objects have been included to simulate debonded interfaces, at different depth. Table 2 gives the level, size and type of each object.



**Fig. 2.** Interface defects before wearing course construction

Kraft paper is assumed to represent a sliding interface without damage of the pavement layers. Sand simulates a sliding interface with an alteration of the material at the interface. Teflon has been used to represent a high level of damage of the interface, with a large change of mechanical properties, but also of thermal properties of materials. During the construction, sand and Teflon are easy to set-up. Kraft papers are more difficult to maintain at the right place, and may be moved or destroyed by the finisher.

**Table 2.** Characteristics of the artificial debonded areas

Name	Level (m)	Size (mxm)	Type
D1A	-0.06	1x2.5	Kraft paper
D1B	-0.16	1x2.5	Kraft paper
D1C	-0.16	1x2.5	Sand
D2A	-0.06	0.5x0.5	Kraft paper
D2B	-0.16	0.5x0.5	Kraft paper
D2C	-0.16	0.5x0.5	Sand
D3A	-0.06	0.2x0.2	Kraft paper
D3B	-0.06	0.2x0.2	Teflon

### 3 Pavement Investigation

The experiments presented compare 2 new non destructive techniques: dynamic investigation with the Colibri apparatus and high frequency radar measurements, with a Step Frequency Radar (SFR). Several longitudinal and transversal profiles have been investigated with the 2 systems. The paper presents only a longitudinal profile to compare the results obtained with the 2 NDT techniques.

#### 3.1 Dynamic Investigation Method

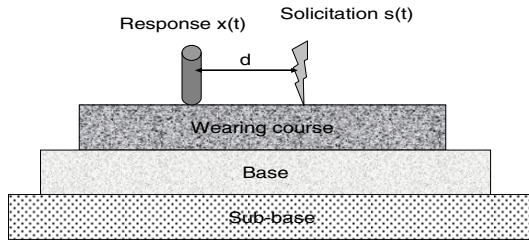
##### 3.1.1 Principle of the Method

Roadways constitute continuous structures on which the complex Frequency Response Function (FRF) [3] can be measured. The Colibri apparatus is a mobile



automated measurement device, which applied this method. It uses a hammer to apply a dynamic solicitation (shock  $s(t)$ ) to the road surface and measures the vertical surface response (vertical acceleration  $x(t)$ ) close to the solicitation with an accelerometer placed at 0.10m from the impact (figure 3) . It deduces the inertance frequency response function, ( $A(f)$ ), which is the ratio between a harmonic acceleration response and the harmonic force [3]. It is calculated at each test point in a broad frequency range.

For a healthy structure, the shock generates vibrations of the whole pavement. When a structure includes a defect (interface or crack), low frequency vibration modes appear which correspond to the vibration of a part of the structure (above the delamination or close to the crack). The inertance modulus estimated for the delaminated structure is higher than the one of the healthy structure. It increases at each eigen frequencies. Thus, a difference of inertance could be observed in a sensitive frequency band. This band and particularly the lowest frequency, depends on the characteristics of the defect (extension, depth, nature).



**Fig. 3.** Principle of dynamic investigation method

Application to Pavement Investigation

Application to pavement investigation consists in measuring the inertance function all along a roadway section. Then, the process aims at comparing the FRF modulus by defining a reference FRF representative of the healthy structure and at identifying FRF which are significantly different from this reference function. It has to be noted that the reference function is related to the investigated roadway. So, we suppose that measurements are recorded on a homogeneous structure (materials and layer thicknesses). The variations observed are then representative of the presence of damages which lead to a softer structure.

For each measurement point,  $i$ , a spectral analysis of a series of tests leads to calculate the inertance,  $A(f,i)$ , and the coherence function,  $\chi(f,i)$ , between the pavement response and the applied solicitation. These functions depend on the frequency,  $f$ , and on the measurement point. The coherence function estimates the dependence of the output signal compared to the input signal. It is a real value ranging between 0 (no dependence) and 1 (full dependence). A minimum threshold of coherence (usually 0.8) is chosen to validate or not the calculation of the inertance. This threshold can be adapted according to the studies. For each frequency and each measurement point, FRF is validated if the coherence value is higher than this threshold. Thereafter, the analysis is restricted to the population of validated measurements.

On a homogeneous zone, data are then processed in 2 steps:

- Estimation of a reference function representative of the healthy structure;
- Calculation of a normalized damage.

To estimate the reference function modulus, it is assumed that a part of the tests was carried out on a healthy zone. This could be done voluntarily by investigating an un-trafficked zone such as an emergency lane. In practice we usually consider the set of modulus,  $|A(f_k, i)|$ , measured at a fixed frequency,  $f_k$ . The reference value at this frequency,  $|A_{ref}(f_k)|$ , is defined as a percentile of selected population. We usually adopt the percentile 20 which allows obtaining a low value representative of the healthy structure and eliminating abnormal measurements. The set of reference values are used to build the reference transfer function representative of the healthy structure,  $|A_{ref}(f)|$ .

Inertance modulus increases with frequency. We normalize the FRF modulus  $|A(f_k, i)|$  using the modulus of the reference function. For each frequency and each measurement point, we calculate the damage,  $D(f_k, i)$  according to Eqn. (1). This value varies between 0 and 1. The matrix  $D$  represents the damage on the road section for the different frequencies. It can be presented as a “damage mapping” where:

- The X-coordinate is the abscissa along the road section;
- The Y-coordinate is the frequency band;
- The level of gray (or colors) represents the level of damage.

$$D(f_k, i) = 0 \text{ if } |A(f_k, i)| < |A_{ref}(f_k)| \text{ and } 1 - \frac{|A_{ref}(f_k)|}{|A(f_k, i)|} \text{ if } |A(f_k, i)| \geq |A_{ref}(f_k)| \quad (1)$$

### 3.1.2 Colibri Apparatus

The Colibri apparatus (figure 4) is a complete automated system for dynamic investigation of pavements. It includes:

- A hammer with a force-cell to measure the shock application;
- An accelerometer placed at 0.10m from the impact to measure the surface response and held by a spring mass system;
- An optical sensor to control the level of the shock.
- An engine to move up the hammer and produce the shock
- An electric jack enabling the lowering and raising of the system on the road surface as well as the placement of the sensors when Colibri is mounted on a vehicle;
- Electronics and data acquisition systems and a computer to manage the measurement sequences and to store the data.

During a measurement sequence, the system is positioned on the road surface. The engine moves up the hammer at the appropriate level. Then the hammer falls down and applies a wide-band dynamic impulse to the pavement. Signals (force

and acceleration) are recorded by the computer. Usually, the test is repeated 3 times to have a good signal processing. If the system is mounted on a vehicle, the computer controls the vertical displacements of the Colibri system using the electric jack to make automatically measurements at different points.

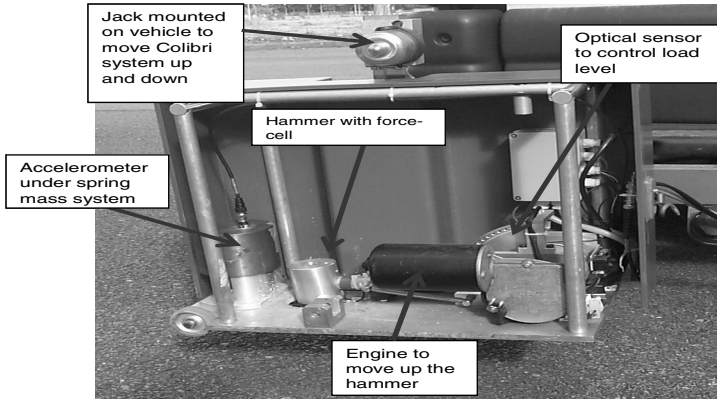


Fig. 4. The Colibri prototype mounted on a vehicle

### 3.1.3 Application on the Test Site

The Colibri prototype has been used to investigate several road profiles above the different debonded areas. Measurements have been made every 0.05 m along each road profile. Each measurement includes 3 impacts. Then, the system is moved manually to the next measurement point.

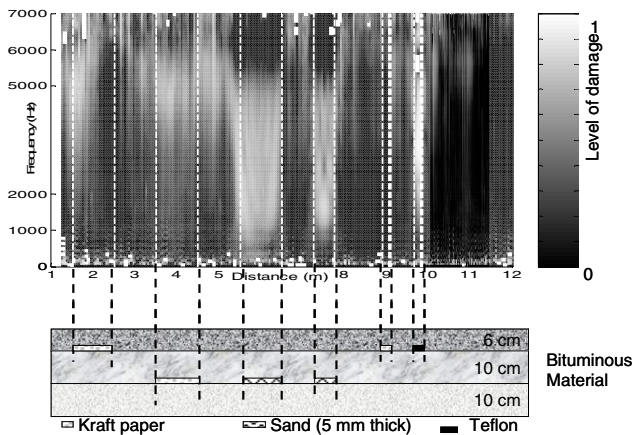


Fig. 5. Results of dynamic investigation method applied on longitudinal profile

Figure 5 shows the analysis of a longitudinal profile from D1A to D3B. The picture shows the damage level as a function of the distance (X) and the frequency (Y). The level of gray represents the damage level from 0 (black) to 1 (white). The debonded areas are indicated in the graph below. They can also be located on the picture. The results show that the dynamic investigation method is able to detect damage areas, but also to locate them and make a difference, in terms of damage level, between a debonded interface (kraft paper) and a higher damage level with a bad cohesion of the materials at the interface. The frequency range affected is also related with the type of debonding [4,5]. The lower is the first frequency sensitive to the defect, the larger is the debonded area or the lower is the material cohesion at the interface. Other work shows that the method is also sensitive to reflective cracking [5].

## 3.2 Step Frequency Radar (SFR)

### 3.2.1 Principle of the Method

The measurement of thickness of asphalt layers is usually performed with a Ground Penetrating Radar (GPR). This system consists of a pulse generator in time domain, that generates the signal emitted towards the studied road layers, and that receives the reflected part, via one or several antennas, placed above (horn antennas) or just on (dipole antennas) the road surface. The actual limit of GPR is the resolution of the thickness measured that depends on the permittivity of the medium, and on the central frequency of the used antenna, that is currently limited to 2.5GHz. In order to improve the resolution, Inverse Fast Fourier Transform (IFFT) properties show that the signal generation of frequencies over a wide band (frequency domain) is equivalent to a pulse generation in time domain. The Step Frequency Radar technique allows the use of high frequencies in a large band so that the equivalent pulse in time domain, after an IFFT, offers the capability of measuring very thin asphalt layers less than 2 cm. The system used here is made up of a Vector Network Analyzer (VNA) that emits monochromatic waves in the band [1.4GHz-15GHz], corresponding to the band pass of the Exponential Tapered Slot Antennas (ETSA) used for this study. The equivalent central frequency, after IFFT, is about 7.5GHz.

### 3.2.2 Short Background on the Detection of layer interfaces

We consider the two HMA layers media with a thin debonded zone between these two layers. This defect could be detected as far as the emitted electromagnetic field from the antenna has a wavelength of the order of half the thickness and the surface area of the defect. The vertical and horizontal resolutions are deduced from Eqn (2).

$$r_v = \frac{\lambda}{2} \quad \text{and} \quad r_h = \sqrt{\frac{\lambda^2}{16} + \frac{\lambda_z}{2}} \quad (2)$$

Where  $\lambda$  is the wavelength of the emitted electric field that is parallel to the surface (Transverse Electric mode) and  $z$  is the height of the antenna above the surface. For instance, for a HMA layer with a permittivity of 5 and a thickness of 5cm, and an ETSA radiated in the [1.4GHz – 20GHz] band at a height of 15cm from the HMA surface, a debonded or damaged interface of 3 mm to 4.5cm of thickness, and of 2.6cm to 10cm of horizontal length, is detectable.

This is a theoretical approach. Actually it is better to consider the limit of 7.5GHz given by the central frequency of the impulsion emitted from the ETSA, that leads to a potential detection of less than 1cm of thickness, and a horizontal dimension of less than 4cm.

### 3.2.3 Application to the Test Site

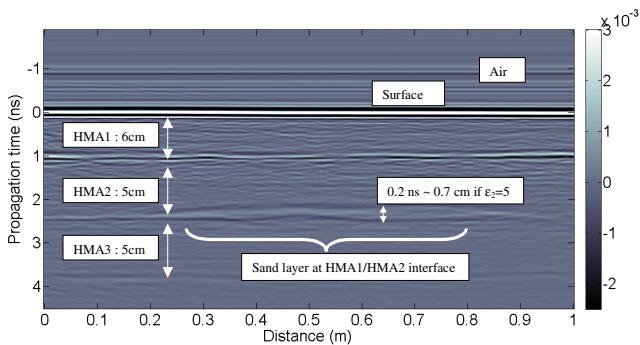
The measurements were carried out on the pavement fatigue carrousel (Ifsttar testing facility), on the pavement section described in the first part of this paper. The SFR system can be implemented for in-situ testing, as a GPR system. The results presented concern the detection of two defects: the D2C (sand interface) and D3B (Teflon interface) presented on Figure 2. We also propose a characterization of the D3B defect in terms of nature, by the measurement of its permittivity. This is possible because there is a significant permittivity difference between the Teflon and bituminous materials. It is less feasible for other natures of interfaces, with sand for instance.

For an accurate acquisition, an automatic motorized bench (Figure 6) controls the horizontal displacement of the antennas above the HMA surface. The results presented here are related to the monostatic case: only one antenna acts as the emitter and receiver. Software specifically developed synchronizes the motor displacement and the data acquisition.



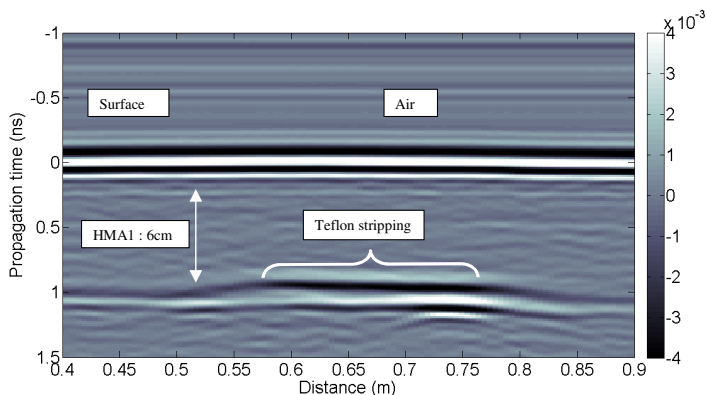
**Fig. 6.** Picture of motorised bench with two ETSA over the pavement

Figure 7 shows the radargram (or B-scan) of the SFR measurement on the D2C defect. The sand interface is clearly detected between the HMA2 & HMA3 layers. Its length is about 60 cm and its thickness (less than 0.7cm) can be estimated by considering the travel times between its top and its bottom (less than 0.2ns) and an approximated permittivity of 5 in the middle. Nothing allows its characterization in terms of nature because its permittivity is not estimated: the first calculation conducted is currently insufficient and some improvements must be carried out.



**Fig. 7.** B-scan obtained with the SFR system from monostatic measurement on the D2C (sand) defect

The SFR measurements realized just above the defect D3B is presented on Figure 8. The Teflon interface is clearly identified by a displacement of the HMA1/HMA2 interface position in time and by a variation of the reflected amplitude.



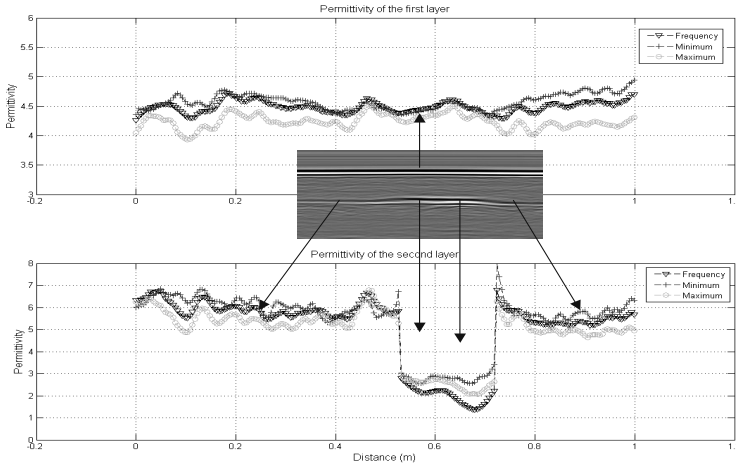
**Fig. 8.** B-scan obtained with the SFR system from monostatic measurements on the D3B (Teflon) interface

Permittivities of the HMA layers are estimated according to the classical approach [6, 7 & 8]. It consists in measuring the amplitude  $A_{HMA}$  in time domain of the reflected pulse, and comparing it with the amplitude  $A_{fmp}$  of the total reflection on a flat metal plate. The plane wave approximation is assumed and the HMA electrical conductivity is neglected. The reflection coefficient  $R_{01}$  (sign positive) between the air and HMA1 and  $R_{12}$  (sign dependent on dielectric contrast) between HMA1 and HMA2 are directly proportional to the ratio of these respective measurements:

$$R_{01} = \frac{A_{HMA1}}{A_{fmp}} \quad \text{and} \quad R_{12} = \frac{A_{HMA2}}{A_{fmp}} \tag{3}$$

The permittivities of the first and second layer are:

$$\epsilon_1 = \left( \frac{1 + R_{01}}{1 - R_{01}} \right)^2 \quad \text{and} \quad \epsilon_2 = \epsilon_1 \left( \frac{1 - R_{01}^2 + R_{12}}{1 - R_{01}^2 - R_{12}} \right)^2 \tag{4}$$



**Fig. 9.** Results of estimated permittivity for the two HMA layers. Presence of Teflon decreases the permittivity value of the second interface.

The permittivity of the HMA1 layer and the HMA2 layer are presented on Figure 9 for each position of the antenna above the surface, with a step of displacement of 1cm. The value for the HMA2 layer shows a strong variation where the Teflon stripping is located. The best estimated permittivity value of 2.3 +/- 0.5 is close to the theoretical one (2.1). It is performed with the permittivity calculation conducted in the frequency domain of the local Inverse Fourier Transform of the reflected pulse on the Teflon. The estimation based on the calculation conducted in the time domain of the local reflected pulse on the Teflon, by both considering the minimum or the maximum of the impulsion, are less accurate. On figure 9 (bottom) the permittivity of the teflon presents variations of a factor of about 1.4. These could be attributed to the presence of voids at the interface between the Teflon and HMA2 layer.

### 4 Conclusion

The paper presents the application of 2 NDT methods to detect debonding inside pavements. These methods are based on different physical phenomena. The first

one is based on a dynamic mechanical approach including frequency and statistical analysis. The second one is based on the propagation of high frequency electromagnetic waves. These methods allow to detect and compare different defects on a test site. The dynamic investigation method is easy to apply on test site. Data are automatically processed. The method allows to detect and locate debonded areas and also internal cracking (not detected usually by other pavement investigation methods). The method shows a clear difference of response depending on the type of debonded interface. The Colibri device can be used to conduct investigations on real pavements in safe conditions, due to the use of a vehicle, and automated measurement device. The measurement rate can be several hundred meters per day (depending on the measurement interval). It could be used to investigate short sections at the project level to detect internal damage early, before it is visible on the surface, or to follow it after application of an overlay. The SFR technique is easily carried out on test site. The principle of measurement is the generation of very short electromagnetic impulsions in the time domain such that very thin layers (less than 2 cm) can be detected (debonded or damaged interface). Depending on the permittivity of the investigated media, the thickness and the nature of the defect (type of material, presence of voids or water) can also be estimated. The nature of the defect is easier to detect if it is located close to the surface, and between two bituminous layers (meaning two layers with similar permittivities). Compared with classical GPR, the measurement speed of SFR is lower presently, due to limitations from capacity of the electronics and data acquisition system. However, this can be improved with the rapid progress of the capacity of these systems. With this evolution, a similar measurement speed to classical GPR could probably be attained (about 40 km/h).

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# New Field Testing Procedure to Measure Surface Stresses in Plain Concrete Pavements and Structures

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**Abstract.** Plain concrete pavements are subject to premature cracking due to the formation of residual stresses, structural confinement, and loss of subgrade support. These factors diminish the loading capacity of pavements and can result in cracking when combined with stresses attributable to curling and wheel loads. It is, thus, advantageous to quantify the unloaded stress state of pavements in order to modify its rated capacity and prevent premature cracking that, in some cases, necessitates costly repair or replacement. A research program was developed to craft a new field testing procedure capable of measuring the stress state in cantilevered concrete beams and in-situ slabs. The experimental results of this testing procedure showed that the stress state at the concrete surface could be fully quantified. Finite element modelling of the concrete beams and in-situ slabs further corroborates that the surface stresses in pavements can be viably measured.

## 1 Introduction

Uncontrolled cracking of concrete pavement slabs at airports is a persistent problem that is affected by a complex combination of live loads, dead loads, built-in residual stress, structural confinement, and loss of subgrade support. Evaluation of field problems is hampered by the lack of practical methods to assess stress of the in-place concrete material. The purpose of this study was to develop an experimental procedure to reliably quantify the stress state in concrete pavements with minimal disruption to airside operations.

Previous efforts to quantify the stress in concrete pavements date as far back as 1979 when A. M. Richards adapted an ‘overcoring’ technique from the geotechnical field [1]. The testing method, he reported, produced unreliable strain measurements and could not adequately describe the stress state in the concrete material. In more recent years, the US FAA National Airport Pavement Testing Facility (NAPTF) adapted a standardized testing method of measuring the residual stress in metal beams to concrete beams [2]. In this standardized method, a small through-hole is cored in the vicinity of a strain gage affixed onto the web surface of a structural beam [3]. The change in strain is correlated to a change in stress state by use of the Kirchhoff relations for the stress-field about a hole in an infinite

plate [4]. The researchers at NAPTF modified the procedure by instead observing the change in strain atop the surface of a concrete beam subjected to a cantilever load when varying diameter partial-depth cores were made in the vicinity. Their preliminary results indicated that the stress state could be partially quantified [2]. The current study is a partnership between the University of Illinois at Urbana-Champaign (UIUC) and the NAPTF to further develop this test method.

## 2 Experimental Program

Research was undertaken by the authors at UIUC to validate the testing procedure developed by the NAPTF wherein a cantilever concrete beam was partially cored along its top surface. A modified testing procedure was developed at UIUC that improved the expediency and reliability of the measurements by instead using a hand-operated circular saw to cut around the affixed strain gage. This method proved to be more reliable, thus the testing regiment was expanded to include in-situ concrete slab testing where similar, successful results were observed.

### 2.1 Materials and Testing Equipment

Concrete beams measuring 15.24 cm in width, 15.24 cm in height and 86.36 cm in length were cast alongside cylinders measuring 10.16 cm in diameter and 20.32 cm in height. The beam dimensions closely matched those used by the researchers at the NAPTF providing for ample workspace when coring 7.62 cm and 10.16 cm diameter cores along the top surface of the beam. A typical concrete mix design is shown in Table 1.

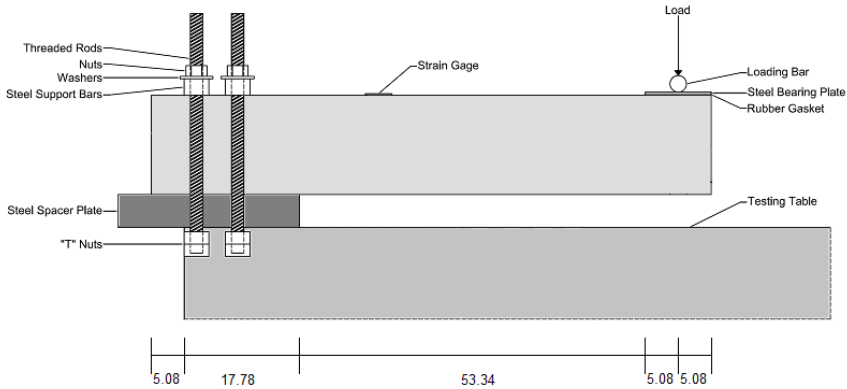
**Table 1.** Typical concrete mix design

	<i>SG</i>	<i>SSD (kg/m<sup>3</sup>)</i>
Cement	3.15	70.7
CA	2.72	230
FA	2.70	154
Water	1.00	29.7

The specimens were designed with a water-to-cement ratio of 0.42 and were allowed to cure for 7 days under plastic sheathing. After 7 days, the specimens were de-molded and transferred to a moist-cure room where the relative humidity was maintained at 100% and the temperature at 23 °C through 28 days. At 28 days and beyond, the cylinders were tested for their strength and stiffness while the beams were tested for their surface stress induced by cantilever loading.

The beams were positioned onto a 48.9 kN capacity MTS loading frame table as depicted in Fig. 1. In this position, 22.86 cm of the left-most underside of the beam was rigidly anchored by four threaded bars positioned on either side of the

beam. Two cross-bars were tightly secured using bolts along the threaded bar to apply a downward, securing force onto the concrete beam. A point load was positioned atop the beam at a location 58.42 cm rightward from the supported underside edge. This load was distributed evenly across the width of the beam by a cylindrical steel bar and a 10.16 cm square steel plate cushioned by a rubber gasket. A clearance of 5.08 cm separated the point load and the right-most edge of the beam specimen.



**Fig. 1.** Drawing of the cantilevered beam test setup and linear dimensions (cm)

Foil strain gages of 30 mm length were firmly epoxied onto the top surface of the beam at varying distances from the point load and capably measured the tensile strains produced by the application of 1.95 kN of force at a loading rate of 11.12 N/sec.

A jointed concrete pavement test strip was available for testing at the Advanced Transportation and Research Engineering Laboratory (ATREL) in Rantoul, IL. This road strip had been previously subjected to millions of equivalent single axle loads, ESALs, in the span of weeks [5]. A large traffic simulator remained in place and was used as a reaction frame for a hydraulic bottle-jack to be placed in-line with the underside of the traffic simulator’s immobilized wheel carriage and the center-edge surface of the jointed pavement. This load was distributed by a 30.48 cm square steel plate. A 133 kN capacity load cell was situated between the hydraulic bottle-jack and wheel carriage and measured applied loads upwards of 90 kN at an approximate load rate of 740 N/sec.

A hand-operated electric, circular saw was outfitted with a 17.78 cm diameter masonry saw blade and was used to cut linear notches of varying depths into the beam and in-situ slabs surrounding the strain gages orthogonal to the directions of predicted principal stresses. High-precision digital calipers measured the depth of the cuts made into the concrete. During and after cutting, strain readings were monitored and recorded using a portable strain data indicator device.

## ***2.2 Notching of Cantilever Concrete Beams***

The affixed strain gage measured tensile strains atop the concrete beam as a cantilever load of 1.95 kN was applied inducing calculable moments between 99.06 kN-cm and 89.15 kN-cm depending on the center-location of the strain gage. The strains observed in the cantilever beams were converted into stresses after using the appropriate stiffness value from testing of the concrete cylinders. An electric circular saw was used to cut linear notches in the concrete beam perpendicular to the direction of bending. These notches were made at varying distances away from the center of the affixed strain gage and their depths were incremented by 1.27 cm to a total depth ranging from 5.08 cm to 6.35 cm. These nominal depths were later measured during testing using high-precision calipers.

Initially, the concrete beams were singly notched at one side of the affixed strain gage in the direction towards the end load. In later testing, symmetric double notches were employed. The short time of passing the saw cut along the width of the concrete beam did not necessitate cooling water as had been necessary in coring operations. As such, the strain gage was simply protected by a coating of polyurethane and flush guides (see Fig. 2).



**Fig. 2.** Saw cutting of cantilever concrete beam

## ***2.3 Saw-Cutting of In-Situ Jointed Plain Concrete Pavements***

One strip of 15.24 cm thick plain concrete pavement road test strip was available for testing at the ATREL facility located in Rantoul, IL. The 3.66 m width road strip had been sawn-cut into 1.83 m sections in both lateral and longitudinal directions producing a midline along the full length of the road strip. Many of the pavement sections had suffered extensive cracking from previous investigations;

however, six slabs were identified as suitable for testing based on having little to no surface cracking. In order to simplify the scope of the problem, an industrial saw cutter was used to wholly separate each of these six slabs from its adjoining slabs in order to reduce aggregate bridging and associated load transfer. Thus, each slab was separated from three neighbouring slabs while the fourth edge was always a free-edge and required no saw-cutting.

The placement of the traffic simulator and road strip allowed for symmetric center-edge loading across all six slabs. A 30.48 cm-square steel plate was positioned along the interior edge adjacent to the midline in order to distribute the bottlejack load and ensure similar stress states across the tests. A rectangular rosette of strain gages were affixed onto the surface of the pavement at distances of either 0.61 m or 0.91 m from the midline edge of the slab in the direction away from the applied load (see Fig. 3). A 133 kN capacity load cell was situated between the bottle jack and the traffic simulator.



**Fig. 3.** Bottle jack positioned at center-edge of slab

### 3 Results and Discussion

The observed strain measurements for singly notched beams were markedly similar to those observed for those concrete beams which had been cored. However, those results only partially quantified the state of stress requiring an imprecise extrapolation of the stress in the material. Doubly notching the concrete beams more fully quantified the state of stress when the notch depth-to-spacing ratio was approximately 0.4.

### 3.1 Cantilever Concrete Beams

Figure 4 depicts the strain behaviour of a cantilever beam as saw cuts at a distance of 4.32 cm from the center of the strain gage are made. This distance offers the closest saw cut without risking damage to the affixed strain gage. The first set of cuts is made between the strain gage and the end load at depths of 0.64 cm, 1.27 cm, 2.54 cm and 3.81 cm. The beam is unloaded and re-loaded to observe its diminished response. A second, symmetric set of cuts cut is made at the opposite end of the strain gage. Unloading and loading the cantilever beam shows that the induced strain by the end load is completely diminished by this second set of cuts. This is also readily apparent when the strain recovery closely matches the previous stable value. This unchanging strain value suggests that the strain gage is fully isolated from the applied load meaning that the discrepant strain magnitude in the concrete material is due to the strain induced by residual stresses and relieved by saw-cutting. In this case, for a calculated Young’s modulus of 46,778 MPa, the estimated residual stress is 1.6 MPa in tension – a significant fraction of concrete’s tensile strength. Table 2 summarizes the results of additional concrete beam tests.

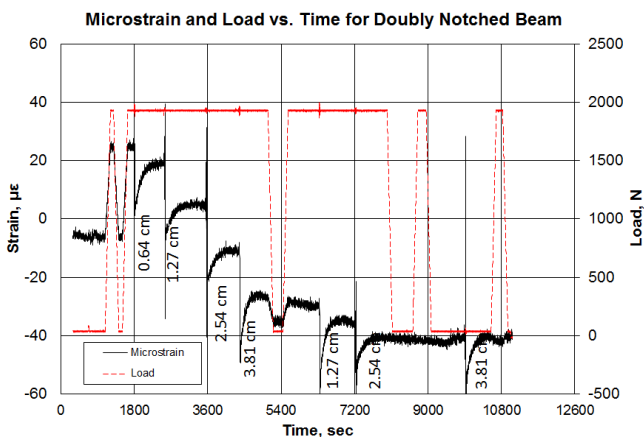


Fig. 4. Strain results for doubly notched cantilever beam

Table 2. Summary of results for doubly notched cantilever beams

Beam	Difference in Unloaded Strain, $\mu\epsilon$	Young's Modulus, MPa	Estimated Minimum Residual Stress, MPa
N4	16	46891	0.75
N5	19	44051	0.84
N6	34	46691	1.59
N7	40	42348	1.69
N8	29	44099	1.28

### 3.2 *In-Situ Jointed Plain Concrete Pavements*

The promising results from doubly notched concrete beams justified adapting the procedure to full-scale testing on in-situ concrete slabs where two sets of parallel cuts perpendicular to each other could measure the surface strain relaxation in two nominal directions. A third reference gage oriented at 45 degrees was also employed in order to resolve the orientation of the principal stresses. The application of an edge-center load produced discernable strains – compressive in the direction lateral to the load and tensile in the direction longitudinal to the load. A summary of the test results are tabulated in Table 3. Similarly, these results depict the full strain relaxation of material stresses suggesting that the discrepant strain again quantifies the material residual stress.

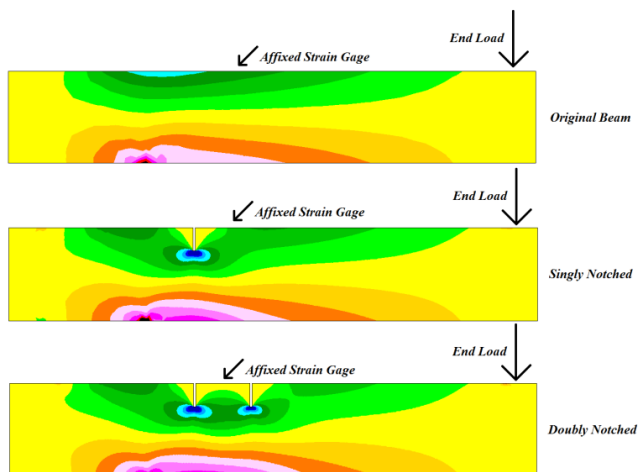
**Table 3.** Summary of results for in-situ slabs

Slab	Notch Spacing, cm	Lateral Strain, $\mu\epsilon$	Reference Strain, $\mu\epsilon$	Longitudinal Strain, $\mu\epsilon$	Estimated Minimum Residual Stress, MPa
1	7.62	48	56	45	1.74
2	7.62	0	10	23	0.65
3	7.62	21	8	20	0.97
4	7.62	34	4	0	1.06
5	10.16	17	22	13	0.66
6	10.16	-24	-26	-13	-0.82

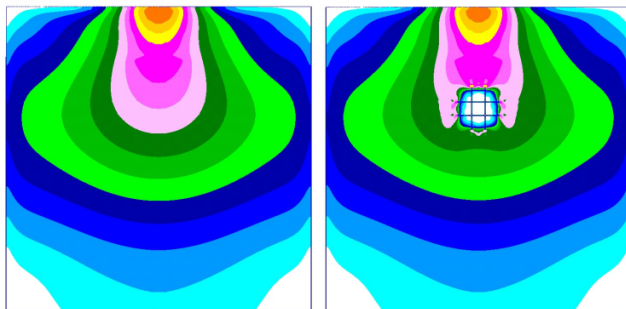
### 3.3 *Finite Element Analysis*

The simple geometry of the beams and slabs lent themselves to be analysed using finite element software. Four major variations of the beams were modelled in PATRAN as T6 (linear strain triangle) elements: a plain beam, a singly notched beam, a doubly notched beam with notch spacing 8.89 cm, and a doubly notched beam with notch spacing of 12.7 cm. The singly notched beams were modelled with notch depths of 1.27 cm, 2.54 cm, and 3.81 cm while the doubly notched beams also included a notch depth of 0.64 cm. Similarly, the slabs were modelled as Hex8 elements with 1.27 cm element lengths. The notch spacing for the modelled slabs were maintained as square dimensions with side lengths of 5.08 cm, 7.62 cm, 10.16 cm and 12.7 cm. The depth of the four slab saw cuts were equal in their respective models increasing in depth from 1.27 cm to 11.43 cm in 2.54 cm increments.

Figure 5 depicts the von Mises stress distribution of the cantilever concrete beam for an unnotched configuration as well as singly and doubly notched beams at depths of 2.84 cm and notches separated by 8.89 cm. The upper surface of the beam is in tension while the lower surface is in compression. The left-most ends of the beams are fixed along their underside to mimic the boltage to the MTS frame as previously described in Figure 1. Figure 6 depicts the von Mises stress distribution for a slab with four 6.4 cm deep cuts spaced 7.62 cm apart.



**Fig. 5.** Profile view of stress fields of plain, singly and doubly notched beams



**Fig. 6.** Plan view of stress field of slab before and after 6.4 cm quadrilateral cut

Figure 7 depicts the modelled stresses relieved in a slab for a square area of side length 7.62 cm at a distance of 0.61 m and 0.91 m from the applied load as a third order best-fit polynomial function. Vertical range bars are also included and depict the range of stress relieved based on the distance of the element from the saw cut edge to the interior of the square area. Experimental data points from the slab testing are superimposed on the graph to better demonstrate the correlation.

Conservatively, the point at which the modelled function first relieve 100% of the induced stress denotes the minimum notch depth-to-spacing ratio ( $D/S$ ) required to fully identify the residual stresses. Ratios above this minimum ratio are indicative of a “hinging” effect where the geometry of the slab has changed sufficiently as to no longer be adequately characterized as a slab in bending, but a material solely acted upon at its connection with the interior of the slab. Based on the location of the strain rosette, a minimum notch  $D/S$  ratio of 0.4 to 0.45 is estimated to be sufficient in fully relieving the surface stresses in a concrete material.



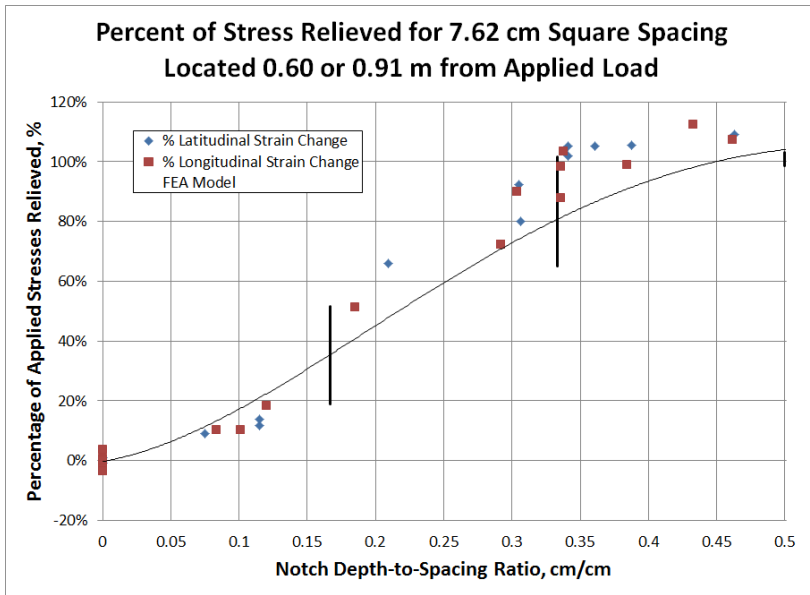


Fig. 7. Comparison of modelled and experimental results

It is also found that the notch spacing should not exceed 12.7 cm since it appears that the effect of strain relief is rendered moot as very deep cuts are required and boundary conditions of the isolated square area are effectively approaching infinite conditions. In the other extreme, the notch spacing cannot be made smaller than the length of the affixed strain gage. However, strain gages smaller than the maximum aggregate size should be avoided in order to better capture the homogeneity of the concrete.

## 4 Conclusions

Measuring stresses in concrete through coring has been problematic due to the incomplete identification of stresses leading to imprecise extrapolations and the use of cooling water (resulting in the creation of local moisture and heat expansion) [2]. As presented in this study, sawing cuts into the concrete around a strain gage or strain rosette can completely quantify the state of total stress in a concrete material. Additionally, if the stresses due to the applied loads, moisture and thermal gradients, and other known stresses are subtracted, the discrepant stress can be categorized as the residual stress.

While the new testing procedure is simple in its execution and subsequent analysis, it is important to note the limitations. The procedure is a direct measurement of the surface stresses at a discrete point along a large slab. As such, it is not indicative of the stress state in all locations. It is prudent, then, for users to carefully consider the location of testing in their assessment of the slab conditions.

Moreover, the experimental result is valid only for the time of testing during which it was performed since various parameters affecting the concrete (like daily thermal cycling and seasonal wetting and drying) are in effect. This new saw-cutting procedure to quantify residual stresses in concrete can be thoughtfully and carefully employed; and used as a tool among others to better characterize the state of stress in concrete. To expand upon the findings of this study, future studies should consider the effect of larger concrete pavement thicknesses among other variables.

**Acknowledgements.** Financial support for this project was made possible with funds from the US Federal Aviation Administration through the FAA Center of Excellence in Airport Technology (CEAT), and fellowship funds from the UIUC College of Engineering.

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# Strain Measurement in Pavements with a Fibre Optics Sensor Enabled Geotextile

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**Abstract.** A new sensing solution based on the combination of a technical geotextile and fibre optics measurement technologies has been developed for strain and temperature measurement in pavement. This monitoring system has been evaluated in the laboratory with a 4-points fatigue device. Our results show a very high sensitiveness of the sensor enabled geotextile to be able to detect strain smaller than 10 micro-strain and fast dynamic movements with frequencies up to 1000 Hz. Installation trials have been carried out in different locations with conventional road paving equipment, in both asphalt and concrete pavement applications. The response of this sensing solution to traffic loads is very good and makes of this technology a powerful tool for road ageing assessment, analysis and maintenance.

## 1 Introduction

A lot of work has been done in the past years to understand the ageing process of road pavements and to develop solutions to decrease or eliminate cracks and structural deformations due to traffic and climatic fatigue. A very common solution is the use of technical paving textiles both to reinforce the base of the overlays and create a watertight continuous bituminous liner to avoid water penetration deep into the structure. However, even if these solutions are effective in increasing the lifetime of the structure, there remain big issues for roads designers, contractors and owners such as evaluating the in-situ performance of the structure, selecting the best techniques depending on the road's subsoil and structure and assessing the actual ageing of the pavement to predicting the period and the scale of maintenance operations.

Monitoring of road pavements is not easy as the environment is very aggressive for the sensors: hot temperature and high compaction stresses. Classical techniques use electro-mechanical sensors. The rate of damage of these sensors is very high: it is common to lose 20 to 50% of the sensors installed just after the compaction process. Also these sensors are big compared to the size of the cracks they aim to monitor which creates scale and border effects detrimental to their detection capabilities.

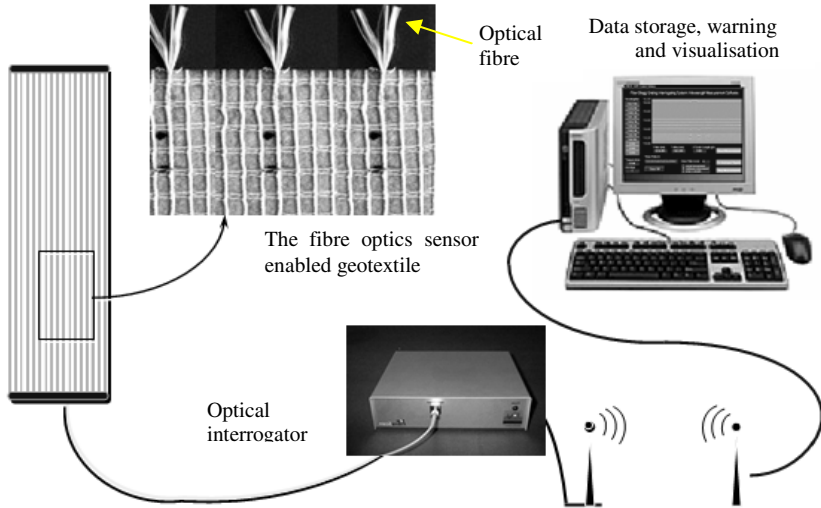
This paper presents a new sensing solution based on the combination of a technical geotextile and fibre optics measurement technologies that has been developed for strain and temperature measurement in pavement. After introducing the principles of this sensing solution, its performance both in laboratory and in scale 1:1 real conditions are described.

## **2 The Monitoring Solution Based on the Fibre Optics Sensor Enabled Geotextile**

Fibre optics have been widely used for many years in civil engineering applications, specialty pipelines, structural health monitoring systems and hydraulic works applications such as concrete and earth dams, levees and dikes.

By embedding optical fibres onto a geotextile fabric (Figure 1), TenCate GeoDetect<sup>®</sup> is an innovative sensor enabled geotextile that enhances the performance of the fibre optics sensors when applied in contact with soil, concrete or asphalt: the geotextile fabric creates an excellent anchoring interface with the surrounding media. With the geotextile being securely anchored in the asphalt or concrete, and the strong connection between the optical fibre and the geotextile, even very small soil strains can be detected. This friction interface also facilitates the transfer of movements from the geotextile to the fibre optic line. Moreover, and when necessary, high tensile stiffness and reinforcement properties can be included to the sensor enabled geotextile. Different fibre optic sensing technologies can be embedded, such as Fibre Bragg Gratings which measures very narrow optical index changes written at given locations inside the optical fibre line for point specific measurements, or Brillouin and Raman technologies which provide distributed measurements at any point along the optical fibre up to 50 kilometres in length. Fibre optic sensing technologies are able to measure very precisely parameters such as temperature or strain under static or dynamic conditions. The monitoring solution includes the fibre optics sensor enabled geotextile, the instrumentation equipment and data acquisition software (Figure 1). Different monitoring strategies may be incorporated into the design. Either periodic or continuous monitoring can be used as an early warning system.

In comparison to existing monitoring systems made of numerous individually wired sensors, this solution measures continuously up to hundreds of points along the full length of the structure with a single instrumentation configuration. It can provide deformation location with a spatial resolution of less than 0.5 m in some cases. Once installed, the sensor enabled geotextile communicates the strain and temperature data to the system's instrumentation equipment. Strain lower than 0.01% can be measured, and with the proper software, changes in temperature can be monitored at 0.1°C. The optical sensing technology requires no sensor calibration prior to the measurement; temperature compensation may be necessary for amplitudes higher than 10°C.



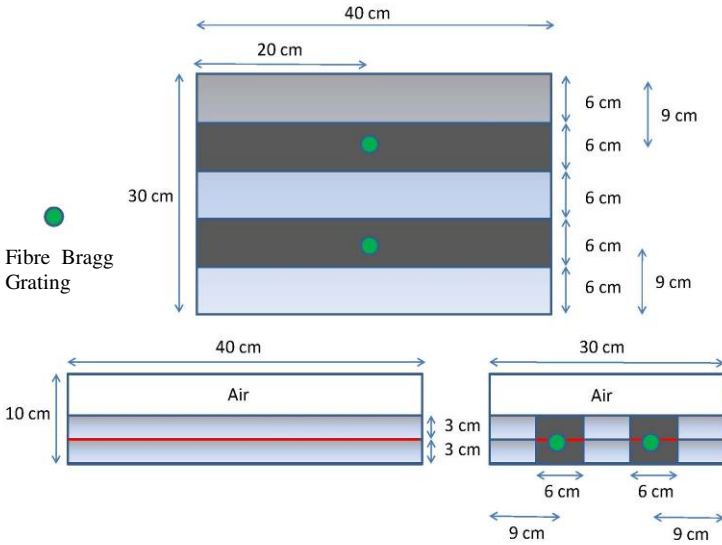
**Fig. 1.** The TenCate GeoDetect<sup>®</sup> system components

### 3 Laboratory Tests

Preliminary trials have been carried out at the Impresa Bacchi laboratory in Carpiano, Italy, to check the resistance of the sensor enabled geotextile to hot temperature, to monitor the stresses due to the compaction of the bituminous concrete and assess its sensitiveness in measuring strain in a concrete asphalt layer.

#### 3.1 Preparation of the Specimen

Specimen asphalt beams were built into a box 40 cm x 30 cm, with the following layers from the top to the bottom: 3 cm layer of bituminous concrete, the sensor enabled geotextile impregnated with a bituminous emulsion, 3 cm layer of bituminous concrete (Figure 2), and compacted. To create the beams we used a Dyna-Comp, pneumatic roller compactor with a maximum vertical force of 30 kN (Figure 3). The roller compactor provides a pneumatically powered means of compacting slabs of asphaltic material in the laboratory under conditions, which simulate in-situ compaction. Slabs produced measured 300 mm by 400 mm and 50 mm thick.



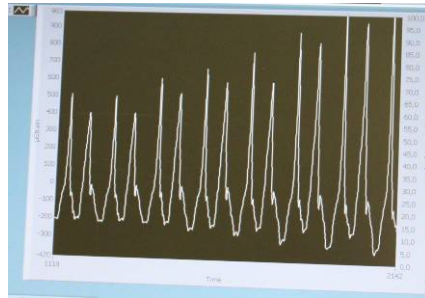
**Fig. 2.** Top view and cross-sections of the concrete asphalt blocks, each producing two specimen beams with one strip of sensor enabled geotextile (red) embedding one optical line and one Fibre Bragg Grating in the middle of the beam



**Fig. 3.** Compaction of the second layer of bituminous concrete

The precise depth of a slab can be preset enabling the user to compact a certain mass of material to a selected volume thus providing a target mix density. Several compaction cycles were tested with about 3 passes for each to achieve normal compaction strength. Different levels of vertical force can be selected up to approximately 30 kN. As the width of the roller is 300 mm, the compaction effort of the largest static site roller can be reproduced. The strongest cycle started with 3 kN, then 5 kN, then 10 kN, then 19 kN.

The sensors reacted very well to the different passes which are clearly visible from the strain measurements. The maximum strain measured was about 1,3 % (Figure 4). From these blocks are cut 2 beams. A total of 8 beams were produced. Each of them included a 40 cm x 15 cm sensor strip, embedded with one optical fibre line which contained one Fibre Brag Grating in the middle (Figure 2). The first part of the experiment shows the resistance of the sensor to the installation, compaction stresses and to hot asphalt temperature. The temperature of the bituminous concrete was 140°C during placement on the sensor enabled geotextile strip. No damage was observed on the optical fibre or on the FBG during the placement.



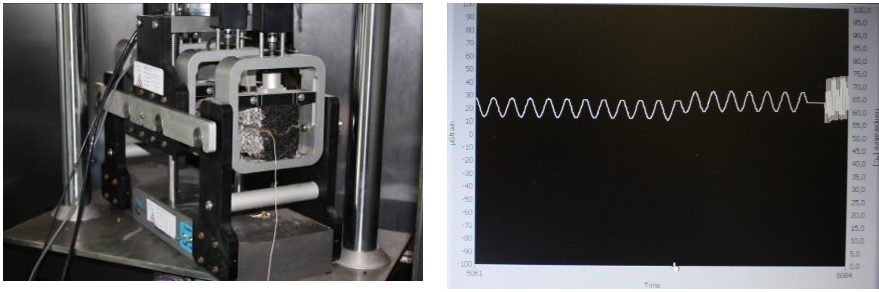
**Fig. 4.** The strain curve measured during compaction with an increasing strength. The peaks corresponding to the passes are visible.

### ***3.2 Tests with the Bending Machine***

The beams were tested into a 4 points “Nottingham” bending test machine (Figure 5). The model is an IPC Global UTM - 25 based on a 25 kN capacity hydraulically-driven load-frame equipped with the 4 Point Bend Apparatus, a stand-alone system for four-point fatigue life testing of asphalt beams subjected to repeated flexural bending. The cradle mechanism allows for backlash free rotation and horizontal translation of all load and reaction points. Pneumatic actuators at either end of the cradle centre the beam laterally and clamp it. Servo-motor driven clamps secure the beam at four points with a pre-determined clamping force. Haversine loading is applied to the beam via the built-in digital servo-controlled pneumatic actuator.

In our case we tested the beam with several loading curve (Sinusoidal, haversine, triangular, rectangular, etc).

A total of 4 beams were tested. The sensor strips were connected to a FBG interrogator with a dynamic acquisition frequency from 1 to 100 Hz, depending on the fatigue cycle chosen. As a result, all cycles were accurately measured with the sensor enabled geotextile, even when very low vertical amplitude of 10 µstrain was applied (Figure 5). For each beam, at the end of the loading cycles, a pseudo-static normal loading was applied to reach a deflection of 1 cm in the middle of the beam: the corresponding 0.2% horizontal strain was measured by the sensor.

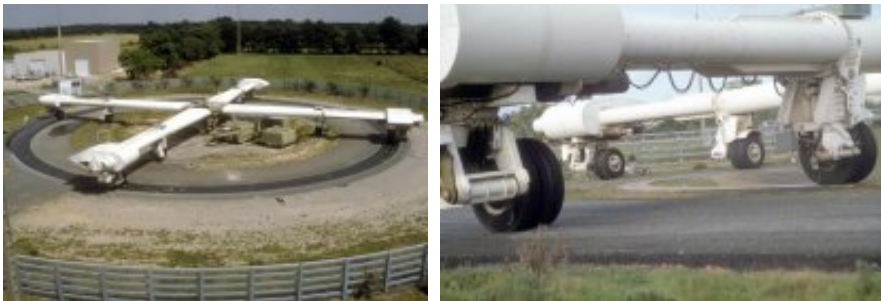


**Fig. 5.** The specimen beam inside the four points bending machine (left) and the strain curve resulting of sinusoidal bending cycles producing strain variation of 10 micro strains amplitude (right)

## 4 In-Situ Trials

### 4.1 *The IFSTTAR Accelerated Pavement Test Facility*

After these laboratory evaluations, a scale 1:1 test sections was built with an asphalt pavement and monitored with the fibre optics sensor enabled geotextile. It was installed in the fatigue carousel at IFSTTAR (LCPC) in Nantes (Figure 6).



**Fig. 6.** The IFSTTAR Accelerated Pavement Test facility

This Accelerated Pavement Test facility was built for the study of full scale experimental pavements submitted to heavy traffic levels. This major facility became operational in 1984. It makes it possible to reproduce in less than a week up to a full year's truck traffic load supported by a heavily trafficked pavement, with load speeds capable of reaching 100 km/hr. The site comprises three 110-m long rings with an average radius of 17.50 m and a width of 6 m. It is possible to position the loads at different radii of rotation depending on the arm length. The loads may be adjusted between 45 kN on a single wheel and 135 kN on either a



three-axle configuration with single wheels or a double axle with two wheels each. The facility experimental site consists in three test rings, with its central motorization and four arms being movable from one ring to another. Further description is given in [1, 2].

## ***4.2 Tested Structure and Installation of the Sensor Enabled Geotextile***

Two sensor enabled geotextile strips have been installed below a 8 cm thick asphalt concrete layer with classical road construction equipment (Figure 7). One strip is installed in the direction of the traffic, the second strip is installed perpendicular to the road. This second strip embedded one optical line with three FBGs spaced 1 m apart along the line. The sensors were monitored before, during and after installation. No damage was observed during this operation. Installation creates the highest stress, between 600 and 2000  $\mu$ strain, part of it due to temperature increase (Figure 8). A slow relaxation of the strain values have been observed since the completion of the test.

## ***4.3 Results***

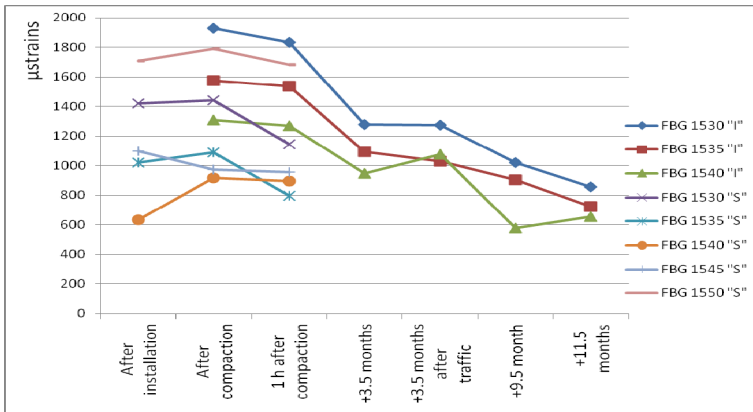
The first measurements took place 4 months after the installation on June 16, 2011. The configuration of the 4 arms was the following: three arms were equipped with single axles with dual wheels (12.00 R 20.0 tires), loaded at 50 kN, and the fourth arm was equipped with a single axle with a super single wheel, (455/55 R22.5 tire) also loaded at 50 kN.



**Fig. 7.** Pouring asphalt concrete on top of the sensor enabled geotextile strips (left) and compaction (right)

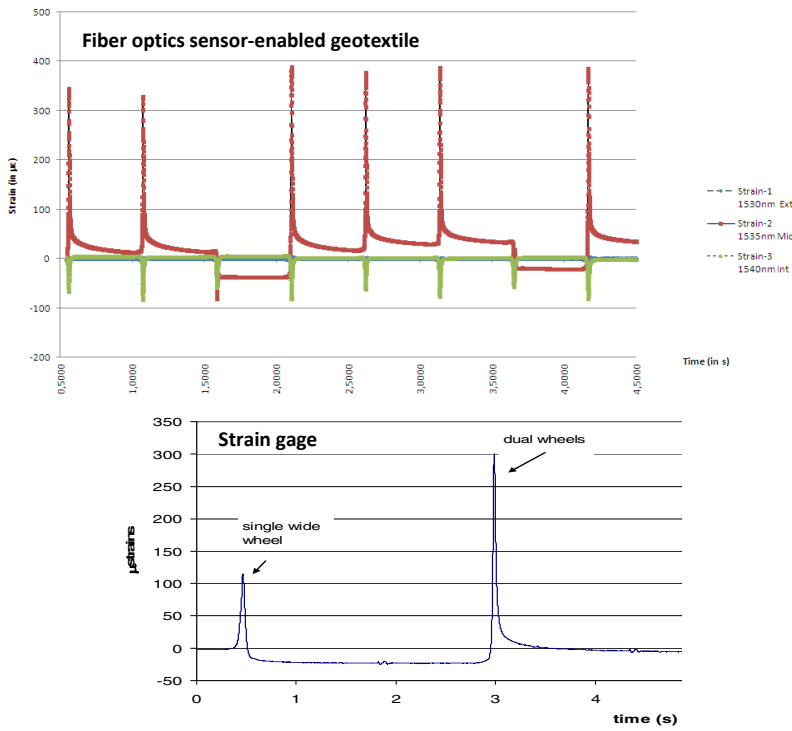
The response of the fibre optics sensors was measured for 11 different lateral positions of the wheels, spaced 105 mm apart. The tests were made at a loading speed of 40 km/h, and an average pavement temperature of 28°C. Figure 9 shows

an example of the transverse strain measured by one sensor enabled geotextile strip for the wheels position n°8, where the dual wheels pass near the centre of the wheel path. We can observe that mainly FBG2 is strained, the FBG3 measuring some small negative strain (contraction). At this position, FBG2 is just below the centre of one of the dual wheels, and the measured strain under dual wheel is maximum. FBG3 located 1m apart measures only a small strain. The dual wheels produce a maximum positive transverse strain (in extension) close to 400  $\mu$ strain. At this same position, the single wheel does not pass on top of the sensor, and produces only a small negative strain.

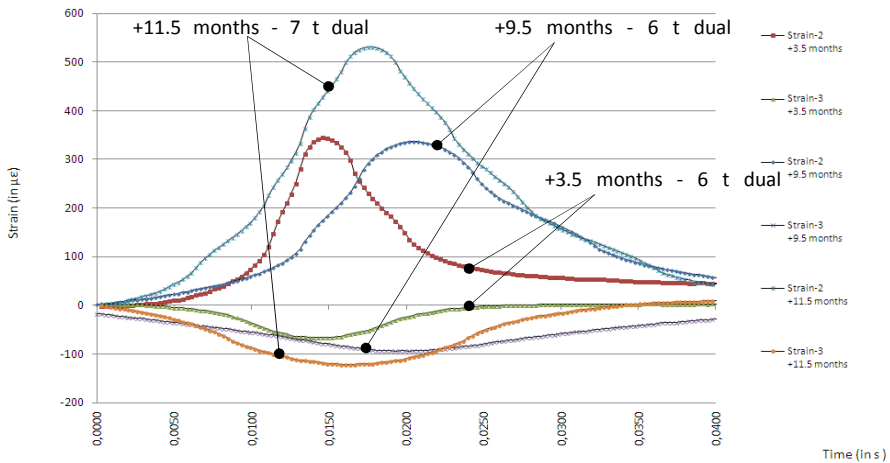


**Fig. 8.** Relative strain measured by the Fibre Bragg Gratings during and after installation in the asphalt pavement. Zero value: just before installation.

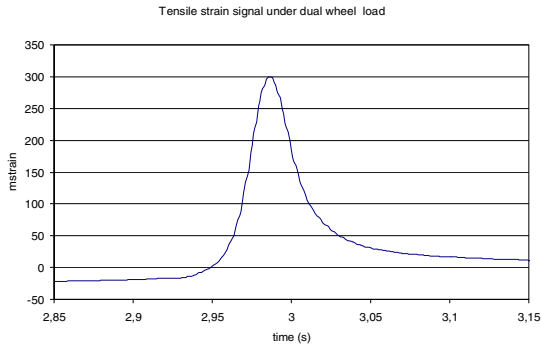
In comparison, figure 9 shows the response of a strain gage, used as classical instrumentation on the test track to measure strains in asphalt layers. Again, the figure shows the transverse strains under the passage of the 4 rolling wheels, for position 8. Under the dual wheels, the maximum transverse strain level is 300  $\mu$ strain. Even if strain gage and FBG are both local measurements, it is difficult to compare their measured strain values directly: strain gages measure a local strain under a given position of the wheel (over a length of about 10 cm), while the geotextile may transfer a part of strain to the optical fibre, which can react even if the load is not applied on top. However, it can be seen that the quality of the signal is satisfactory (Figure 10), and that the shape and amplitude is similar to the strain gage response (Figure 11). Figure 10 also shows that measurements made at different ageing times of the pavement are consistent. But further work is necessary to define how the signal could be interpreted, to obtain meaningful strain values.



**Fig. 9.** Comparison of the transverse strain measured at the base of the bituminous layer under the 4 rolling wheels with the fibre optics sensor enabled geotextile (+3.5 months) and strain gages



**Fig. 10.** Strain curves measured with the fibre optics sensor enabled geotextile resp. +3.5, + 9.5 and 11.5 months after installation.



**Fig. 11.** Strain curve measured with strain gages

## 5 Conclusion

The fibre optics sensor enabled geotextile has proven to be a good monitoring system to measure strain into concrete asphalt road pavements. By adopting specific installation procedures, its survivability to installation stresses, high temperature and compaction, is very good compared to other sensor devices. It can be applied directly during the road construction thus measuring the real state of the road. The sensitiveness measured both in laboratory and on site are a few micro-strains. The sensor requires no calibration after installation that makes it very easy to handle. This sensor technology has been measuring for more than one year different pavement structures in the field which makes it very reliable for mid and long term maintenance data acquisition, even on damaged areas up to 5% strain.

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# Evaluating the Low Temperature Resistance of the Asphalt Pavement under the Climatic Conditions of Kazakhstan

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**Abstract.** The report presents the analysis of the results of investigations of the low temperature resistance of viscous air-blown bitumens used in the construction and repair of asphalt pavements in Kazakhstan. The non-conformity has been established between the test results by the Superpave method characterizing the low-temperature crack resistance and the actual appearance of such cracks in the pavements during the first service years. This non-conformity can indicate that the influencing factors, particularly the character of pavement cooling, are not taken into account properly. Typical cases of air temperature lowering based on the meteorological data as well as the change of tensile stresses in the asphalt concrete for these cases have been analyzed with applying the linear theory of viscoelasticity. It has been found that the time and temperature of the first crack appearance substantially depend not only on the minimum design temperature but also on the character of its attaining.

## 1 Introduction

According to the results of asphalt pavement diagnostics, low temperature cracking is the basic type of deformation for the most part of the Kazakhstan territory with minimum values of pavement temperatures from  $-28^{\circ}\text{C}$  to  $-46^{\circ}\text{C}$ . Crack formation takes place after the first winter with 15 – 50 m spacing depending on the region of road operation, asphalt concrete composition and road pavement structural features, with the appearance of new cracks and corresponding decrease in their spacing during the 2<sup>nd</sup> and 3<sup>rd</sup> service years.

It is well-known that the crack resistance of asphalt concrete is mainly governed by low temperature properties of bitumen. Initially, the conformity of the low temperature properties of bitumen (Fraas brittle temperature after ageing) with the minimum design pavement temperature was taken as a criterion of the bitumen conformity to the climatic service conditions. However, in the course of investigation it was found that the reliability of this criterion was insufficient because of a significant divergence in the test results obtained with devices of various design or different manufacturers. Therefore, at the present time, the Superpave method with the use of a bending beam

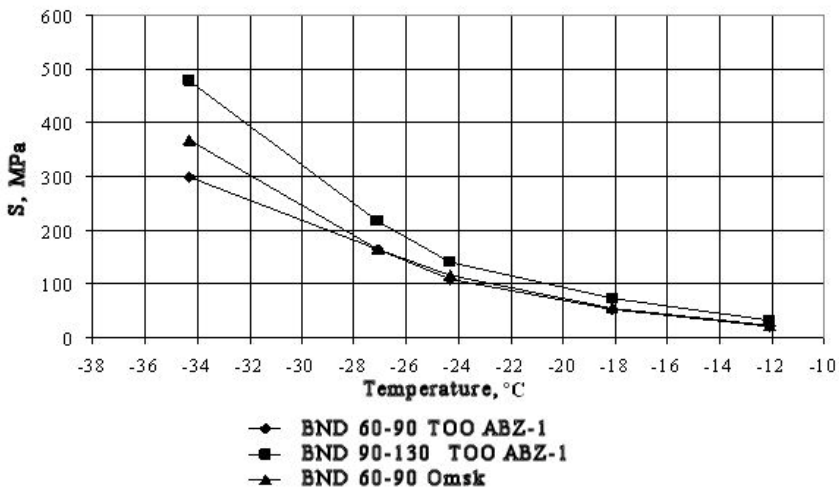
rheometer (BBR) has been recognized a more preferable one for determining the low temperature characteristics of binders.

## 2 Experimental Studies of Low Temperature Properties of Bitumens

The following five most-used bitumens were chosen for studies: grade BND 60/90 of the Alma-Ata (TOO “ABZ-1”), Pavlodar (PNKhZ) and Omsk (ONPZ) refineries and grade BND 90/130 of the Alma-Ata (TOO “ABZ-1”) and Pavlodar (PNKhZ) refineries. The bitumens were studied in a bending beam rheometer (BBR) in compliance with ASTM D 6648 – 08 [1] after ageing in a rolling thin film oven test (RTFOT) [2] and in a pressurized ageing vessel (PAV) [3]. The research was carried out at temperatures in the range from - 12°C to - 34°C. On the basis of the test results, curves of bitumen creep  $\xi(t)$ , stiffness  $S(t)$ , and index of stress relaxation rate  $m(t)$  at different temperatures have been built and analyzed.

As a result of the analysis, it has been established that all the bitumens are characterized by increased deformability and decreased stiffness with an increase in load application time and a rise in temperature. At the same time, as to the relaxation capacity, bitumens of various grades and of different manufacturers behave differently at different temperatures.

Figures 1 – 4 present the stiffness modulus and the index of stress relaxation rate versus temperature at loading time 60 s.



**Fig. 1.** Stiffness/temperature relationship for TOO “ABZ-1” and ONPZ bitumens after ageing (RTFOT + PAV)

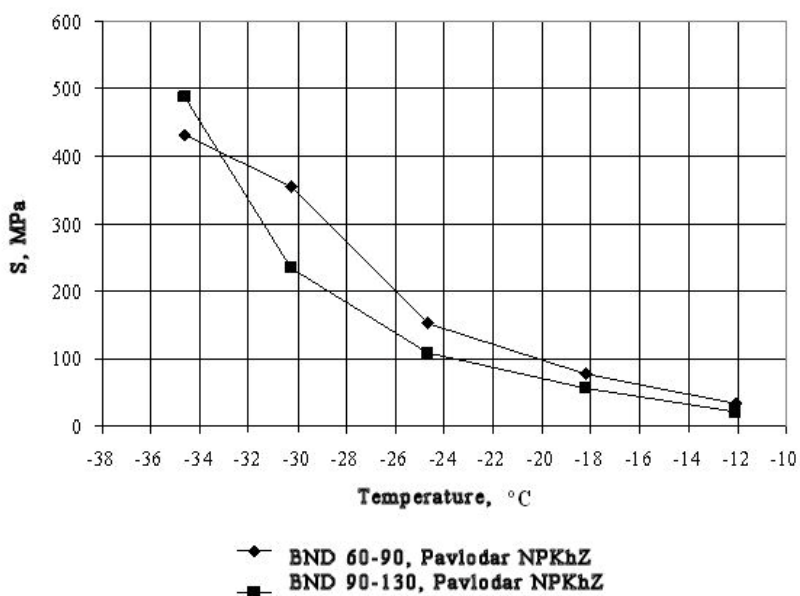


Fig. 2. Stiffness/temperature relationship for PNKhZ bitumens after ageing (RTFOT + PAV)

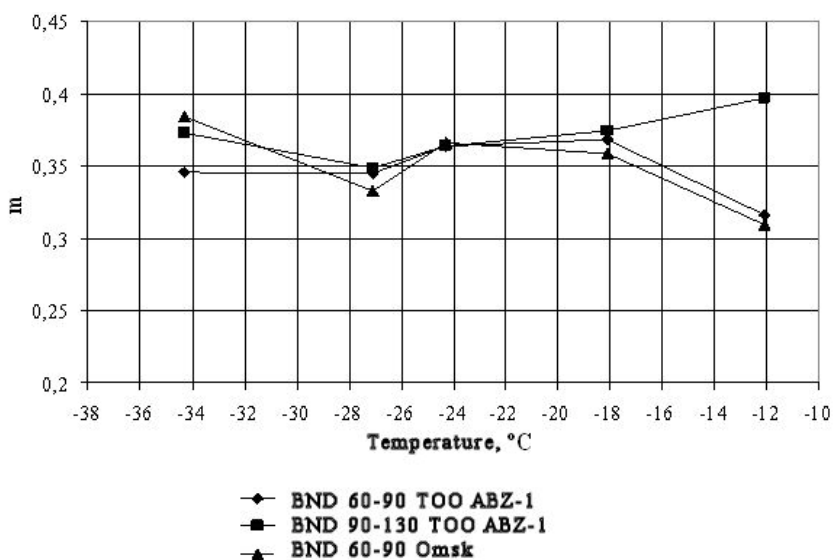
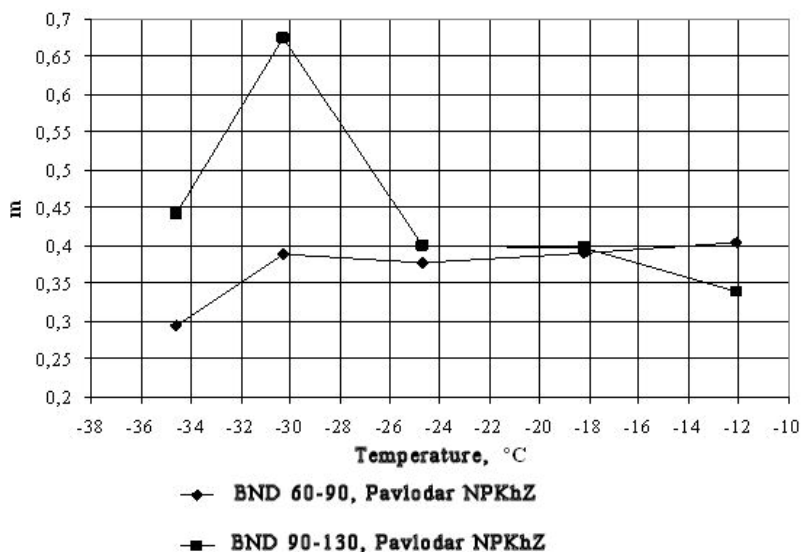


Fig. 3. Relationship between index of stress relaxation rate and temperature for TOO “ABZ-1” and ONPZ bitumens after ageing (RTFOT + PAV)



**Fig. 4.** Relationship between index of stress relaxation rate and temperature for PNKhZ bitumens after ageing (RTFOT + PAV)

The analysis of these relationships shows that at a temperature of  $-12^{\circ}\text{C}$  the stiffness of all bitumens is practically the same. With a further decrease in temperature the stiffness grows according to the non-linear relationship. The temperature/ stiffness curves for bitumens of BND 60-90 grade from the Alma-Ata and Omsk refineries practically coincide in the temperature range from  $-12^{\circ}\text{C}$  to  $-27^{\circ}\text{C}$  but further lowering in temperature brings about a more rapid growth in stiffness of the Omsk bitumen.

The prevailing opinion presently is that the bitumens of less viscous consistency (with consistency evaluation by a penetration value at  $+25^{\circ}\text{C}$ ) are more stable against temperature cracking. However the data from Figures 1 and 2 indicate that bitumens of different manufacturers can manifest quite different (and sometimes opposite) properties. So, in the temperature range of  $-12^{\circ}\text{C}$  to  $-32^{\circ}\text{C}$  bitumens of BND 60/90 and BND 90/130 grades from the Pavlodar refinery show properties that can be explained on the basis of usual notions: the stiffness of BND 60/90 bitumen is higher than that of BND 90/130 bitumen but with a further decrease in temperature, bitumen of BND 90/130 grade becomes stiffer. The stiffness/temperature relationship for the bitumens from the Alma-Ata refinery is of expectable character.

The relationship between the temperature and relaxation rate index  $m$  for bitumens has also a complicated character. So, in the temperature range from  $-12^{\circ}\text{C}$  to  $-18^{\circ}\text{C}$  index  $m$  is different for the bitumens of various refineries and grades. However, in the temperature range from  $-18^{\circ}\text{C}$  to  $-24^{\circ}\text{C}$  index  $m$  is relatively constant and equal to 0.36-0.40. With a further decrease in temperature the relaxation capacity of bitumens from different refineries changes variously. So, up to a temperature of  $-34^{\circ}\text{C}$ , index  $m$  for the bitumens from the Alma-Ata and Omsk refineries changes in a relatively small interval while that for the bitumens from the



Pavlodar refinery changes substantially. For bitumen of BND 90/130 grade from the Pavlodar refinery a value of index  $m$  grows from 0.4 to 0.67 in the temperature range of  $-24^{\circ}\text{C}$  to  $-30^{\circ}\text{C}$  and then begins to fall sharply reaching a value of 0.44 at  $-34.5^{\circ}\text{C}$ . Bitumen of BND 60/90 grade of this refinery keeps practically a constant value of index  $m$  at temperatures of  $-12^{\circ}\text{C}$  to  $-30^{\circ}\text{C}$ . A subsequent decrease in temperature results in a substantial drop in a value of the relaxation rate.

The above analysis shows that the mechanical behavior of the investigated bitumens at low temperatures is complicated, and the peculiarities of each of them should be taken into consideration when designing the asphalt concrete compositions.

As it is known, the Superpave specifications require that for a loading duration of 60 s, the modulus of bitumen stiffness  $S$  does not exceed 300 MPa at the minimum design temperature and the value of the index of stress relaxation rate is not less than 0.3 [4]. According to zoning of the Kazakhstan territory as to the asphalt pavement operational temperatures [5], the minimum design temperatures have been established for the Republic's regions, which are as follows:  $-28$ ,  $-34$ ,  $-40$ , and  $-46^{\circ}\text{C}$ . The results of bitumen BBR tests have shown that in compliance with the Superpave method, the bitumens tested meet the requirements of low-temperature resistance at all design temperatures except  $-46^{\circ}\text{C}$ . At the same time, the results of road diagnostics indicate that the temperature cracks occur universally in the asphalt pavements. Such discrepancy between the experiment results and in-situ data can be indicative of the fact that the influencing factors are not considered adequately. In these studies, a character of pavement cooling, particularly the rate of temperature decrease, has been taken as such factor.

### 3 Prediction of the Crack Formation Indices for Various Regimes of Cooling

The determination of arising tensile stresses and evaluation of a possibility of the appearance of low temperature cracks in the asphalt road pavement at several one-time prolonged drops of ambient air temperatures have been performed with the use of a design model.

It is well known that the mechanical properties of asphalt concretes as viscoelastic materials depend on the duration of load application and temperature [6,7]. Therefore, according to the linear theory of viscoelasticity [8] the temperature stresses in the asphalt pavement are defined by Boltzmann-Volterra integral:

$$\sigma_T(t) = \int_0^t E(t-\tau) d\varepsilon_T(\tau) \quad (1)$$

where  $E(t)$  – function of asphalt concrete;

$t$  – time under consideration when stress  $\sigma_T(t)$  is determined;

$\tau$  – time preceding  $t$ ;

$\varepsilon_T(t)$  – relative deformation at time  $\tau$ .

The asphalt concrete pavement is considered as a layer of thickness  $h$ , infinite in length in the horizontal directions, that overlies the continuous homogeneous

foundation. The temperature of the asphalt pavement will be characterized by its value at the pavement surface, i.e. the air temperature. This is based on the considerations that irrespective of the actual temperature distribution through the pavement depth, cracks can appear at points of the asphalt pavement surface when the limiting thermal stress values are achieved. On further lowering in air temperature with a rate of 1  $-2^{\circ}\text{C}/\text{hour}$  the side surfaces and the top of a newly developed crack have a temperature practically equal to the air temperature, and the stresses that cause a further growth of the crack will be governed by a temperature value in the vicinity of the top of the crack already developed.

The temperature cracks appear when there is no possibility of free deformation in the horizontal directions at temperature lowering. Free deformation of any section of the continuous asphalt pavement is hindered by its adjacent sections. Under such conditions a value of unrealized relative thermal deformation of any section of the continuous asphalt pavement is estimated by formula:

$$\varepsilon_T(\tau) = \alpha [T(\tau) - T(\tau = 0)] \quad (2)$$

where  $\alpha$  – coefficient of linear thermal deformation,  $1/^{\circ}\text{C}$ ;

$T$ – temperature,  $^{\circ}\text{C}$ .

Functions of the asphalt concrete relaxation at temperatures of  $+10^{\circ}\text{C}$  to  $-40^{\circ}\text{C}$ , determined using the M. W. Witczak model [8], have been approximated with Prony series [9]:

$$E(t) = E_0 + \sum_{i=1}^m (E_i \cdot e^{-t/\tau_i}), \quad (3)$$

where

$E_0, E_i$  – constants defined by the least-squares method

$\tau_i$  – preset values of relaxation time ( $10^{-12}, 10^{-11}, \dots, 10^7$ )

$m$  – number of exponential functions taken to be equal to 20.

At the present time, in Kazakhstan, the asphalt concrete mixture is conventionally prepared using 5% of limestone powder and about 5.5% of bitumen. Thereby, the volumetric indices of the asphalt concrete  $V_a$  and  $V_{\text{beff}}$ , defined by method [10], are approximately as follows:  $V_a=4.75\%$  and  $V_{\text{beff}}=10.65\%$ . In computations the average value of the coefficient of linear thermal deformation of the asphalt concrete has been accepted, which is equal to  $\alpha=3.3\sqrt{10^{-5}} \text{ } 1/^{\circ}\text{C}$ . The values of relaxation time  $\tau_i$  and Prony series coefficients  $E_i$  in equation (3) are given in Table 1.

Since the rheological properties of asphalt pavement characterized by relaxation function  $E(t)$  in equation (1) change with time due to the change in temperature  $T(t)$ , true time  $t$  is replaced by reduced time  $\xi(t)$  determined from formula:

$$\xi(t) = \int_0^t \frac{dt}{a_T[T(t)]} \quad (4)$$

where  $a_T[T(t)]$ – function of temperature-time superposition.

Function of temperature-time superposition is defined by the following expression:

$$a_T [T(t)] = \frac{t(T)}{t(0)} \quad (5)$$

where  $t(T)$ ,  $t(0)$  – values of the duration of load application at temperatures of  $T$  ( $^{\circ}\text{C}$ ) and  $0$   $^{\circ}\text{C}$ , respectively.

Logarithm of function  $a_T [T(t)]$  in the range of temperature variation from  $+10^{\circ}\text{C}$  to  $-40$   $^{\circ}\text{C}$  has been presented by a second-degree polynomial:

$$\log a_T [T(t)] = a_1 + a_2 \cdot T + a_3 \cdot T^2 \quad (6)$$

where  $a_1$ ,  $a_2$ ,  $a_3$ , – regression parameters, equal to 4.507; -0.219;  $2,783 \cdot 10^3$  respectively.

**Table 1.** Values of relaxation time  $\tau_i$  and Prony series coefficients  $E_i$

Serial number of series	Relaxation time $\tau$ , s	Prony series coefficient $E$ , MPa	Serial number of series	Relaxation time $\tau$ , s	Prony series coefficient $E$ , MPa
0	-	20.337	11	$10^{-2}$	$2.584 \cdot 10^3$
1	$10^{-12}$	$1.081 \cdot 10^3$	12	1.1	$1.819 \cdot 10^3$
2	$10^{-11}$	$1.319 \cdot 10^3$	13	1	$1.135 \cdot 10^3$
3	$10^{-10}$	$1.731 \cdot 10^3$	14	10	636.952
4	$10^{-9}$	$2.174 \cdot 10^3$	15	$10^2$	329.097
5	$10^{-8}$	$2.673 \cdot 10^3$	16	$10^3$	161.878
6	$10^{-7}$	$3.159 \cdot 10^3$	17	$10^4$	78.362
7	$10^{-6}$	$3.557 \cdot 10^3$	18	$10^5$	38.732
8	$10^{-5}$	$3.759 \cdot 10^3$	19	$10^6$	19.230
9	$10^{-4}$	$3.670 \cdot 10^3$	20	$10^7$	12.273
10	$10^{-3}$	$3.255 \cdot 10^3$	-	-	-

The results of the analysis of environment air temperature variation under the climatic conditions of North Kazakhstan on the basis of meteorological station data have shown that during the cold periods of the year there occur long-term temperature drops with a rate of 0.93 to 1.67  $^{\circ}\text{C}/\text{hour}$ . Thereby, three typical cases of air temperature lowering can be distinguished: 1) initial temperature  $T_0 = T(t=0)$  is about  $-5$  to  $-7$   $^{\circ}\text{C}$ ; air temperature decreases with a rate of about  $K \approx 1$   $^{\circ}\text{C}/\text{hour}$ ; 2)  $T_0 \approx -17$  to  $-18^{\circ}\text{C}$ ,  $K \approx 1$   $^{\circ}\text{C}/\text{hour}$ ; 3)  $T_0 \approx -2$  to  $-3^{\circ}\text{C}$ ,  $K \approx 1,6$  to  $1,7$   $^{\circ}\text{C}/\text{hour}$ . The duration of temperature drop is 33, 18 and 12 hours for the first, second and third cases, respectively.

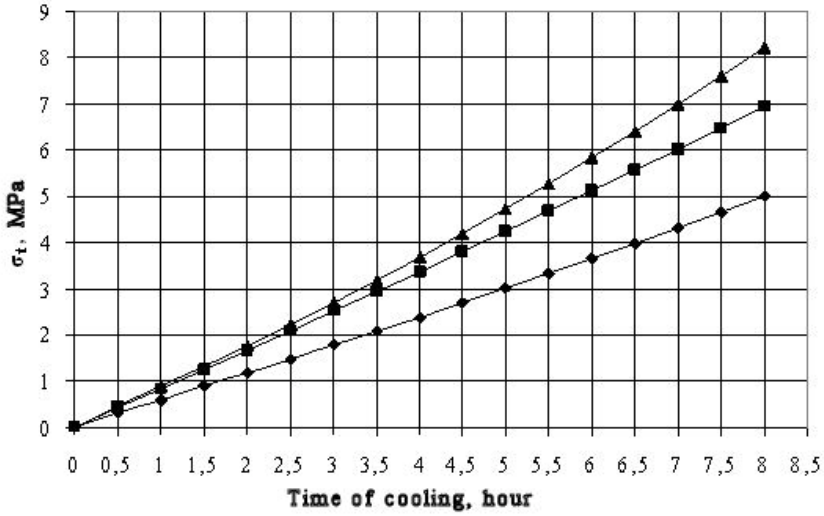
Figures 5 and 6 present plots of the tensile stress change with time in the asphalt pavements built with these bitumens for the above three cases of ambient air temperature lowering. As can be seen, as the temperature decreases according

to the linear law there occurs a non-linear growth of stresses with time in the asphalt pavement. Among the three cases of pavement cooling the most dangerous one is the last when the rate of temperature drop is the highest. The least dangerous case is the first one when the rate of temperature drop is the lowest and cooling starts with a negative temperature that is relatively lower in an absolute value. It should be noted that in all the cases of pavement cooling under consideration, the temperature stresses were higher for the asphalt concretes with BND 60/90 bitumen as should be expected. It is necessary to point out that when using BND 90/130 bitumen, cooling in the second and third cases brought about practically the same stresses during the first six hours of cooling. Thus, it can be said that the most dangerous cases from the viewpoint of low temperature cracking in the asphalt pavements are those when the bitumens of more viscous consistency (BND 60/90) are used and when the cooling begins at lower temperature and at higher rates.

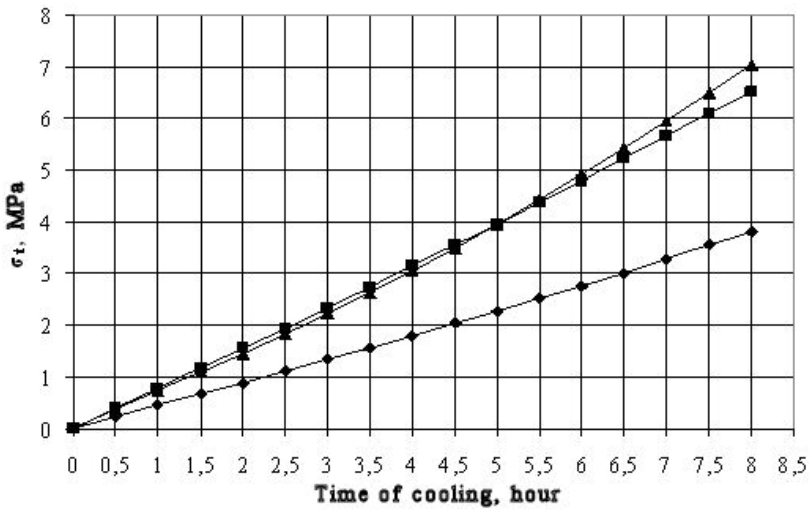
Having the data on the change of stresses with time and on the strength of asphalt concretes over the low-temperature range, it is possible to predict the time when the first cracks appear in the continuous asphalt pavement. The strength of asphalt concretes has been determined at a temperature of  $-10\text{ }^{\circ}\text{C}$  by a correlation formula from "Guide" [9], and it has been assumed that the asphalt concrete strength does not change in the range of low temperatures from  $-5\text{ }^{\circ}\text{C}$  to  $-25\text{ }^{\circ}\text{C}$ . Determined under such conditions, the design values of strength for the studied asphalt concretes with BND 60/90 and BND 90/130 bitumens were 2.54 MPa and 3.17 MPa, respectively. The values of probable time and temperature of the crack appearance in the initially continuous asphalt pavement are given in Table 2. In conformity with the results of the above analysis of the stress growth in the pavement with time for the three considered cases of cooling, it has been found that in the cases when cooling starts at a relatively low temperature (case 2) and at the highest rate (case 3), the temperature cracks appear earlier than in the case of prolonged cooling with a relatively low rate (case 1). Thereby, in cooling cases 2 and 3 the probable time of crack appearance is the same and equal to 3 hours while in case 1 it is 4.5 hours. In cases 2 and 3 of temperature decrease, the probable values of the crack appearance time are the same and equal to 3 and 4 hours for the asphalt pavements built with BND 60/90 and BND 90/130 bitumens, respectively. In case 1, the cracks appear in 4.5 and 7.0 hours in the asphalt pavements with BND 60/90 and BND 90/130 bitumens, respectively.

The temperature of crack appearance is in the range of  $-9.4\text{ }^{\circ}\text{C}$  to  $-21.7\text{ }^{\circ}\text{C}$ . It has been established therewith that the highest temperatures of crack appearance are characteristic for the case of pavement cooling with the high rate (case 3) while the lowest ones are characteristic for the case of pavement cooling started at low temperatures (case 2). The values of the crack appearance temperature obtained for the asphalt pavement with BND 90/130 bitumens are lower by 1.0 – 2.3  $^{\circ}\text{C}$  as compared with those obtained for the asphalt pavement with BND 60/90 bitumen.

Thus, it can be noted that for the asphalt pavements built with bitumens the viscosity of which differ by the one-two order in the range of low temperatures from  $0\text{ }^{\circ}\text{C}$  to  $-20\text{ }^{\circ}\text{C}$ , the time when the first cracks appear can differ by 1.0 – 2.5 hours depending on the conditions of lowering the ambient air temperature.



**Fig. 5.** Tensile stress growth in the asphalt pavement (BND 60/90) during cooling:  
 ◆  $-T_0 = -6.1^\circ\text{C}$ ,  $k = 0.93^\circ\text{C}/\text{hour}$ ;  
 ■  $-T_0 = -17.6^\circ\text{C}$ ,  $k = 1.03^\circ\text{C}$ ;  
 ▲  $-T_0 = -2.8^\circ\text{C}$ ,  $k = 1.66^\circ\text{C}/\text{hour}$



**Fig. 6.** Tensile stress growth in the pavement (BND 90/130) during cooling:  
 ◆  $-T_0 = -6.1^\circ\text{C}$ ,  $k = 0.93^\circ\text{C}/\text{hour}$ ;  
 ■  $-T_0 = -17.6^\circ\text{C}$ ,  $k = 1.03^\circ\text{C}$ ;  
 ▲  $-T_0 = -2.8^\circ\text{C}$ ,  $k = 1.66^\circ\text{C}/\text{hour}$

**Table 2.** Indices of asphalt pavement temperature cracking

Predicted indices of crack appearance	Bitumen grade	Case No.		
		1	2	3
Time $t_{cr}$ , hour	BND 60/90	4.5	3.0	3.0
	BND 90/130	7.0	4.0	4.0
Temperature, $T_{cr}$ , °C	BND 60/90	-10.3	-20.7	-7.8
	BND 90/130	-12.6	-21.7	-9.4

## 4 Conclusion

1. Under the conditions of the sharp-continental climate in Kazakhstan with minimum pavement temperatures of  $-28^{\circ}\text{C}$  to  $-46^{\circ}\text{C}$  the study of low temperature properties of bitumens is of great importance for predicting the state of asphalt pavements during operation.

2. Determining the rheological characteristics of bitumens with a bending beam rheometer points to the complicated character of their behavior in the temperature range from  $-12^{\circ}\text{C}$  to  $-34^{\circ}\text{C}$ , which influences the bitumen choice with regard to the climatic conditions.

3. The results of investigating bitumens by the Superpave method show that they correspond to the climatic conditions of the most part of the Kazakhstan territory while according to the road diagnostics data the temperature cracks occur practically universally in the asphalt pavements. This is indicative of a probability that the influencing factors are not adequately taken into account.

4. In this work an attempt has been made to simulate the tensile stress development in the asphalt pavement for three typical cases of temperature lowering. The most unfavorable combinations of the temperature and the rate of temperature drop have been established.

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# Millau Viaduct Response under Static and Moving Loads Considering Viscous Bituminous Wearing Course Materials

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**Abstract.** This paper deals with the influence of viscous bituminous wearing courses materials on orthotropic steel deck bridges. These researches are part of a French national project “Orthoplus”, which is briefly introduced. The approach to take into account the surfacing behavior and to develop calculation tools is explained. First the behavior of the different bituminous constituent materials is investigated. A linear viscoelastic modeling is proposed with a rheological model, previously developed at the Civil Engineering and Buildings Department (“DGCB”) of University of Lyon / ENTPE. This model is implemented in a Finite Elements software, which enables simulation of any transportation structures considering viscous behavior of bituminous materials.

In order to validate these developments, the highest bridge in the world, the Millau Viaduct (in the south of France), is studied. In-situ measurements are especially carried out on the bridge. Static and moving loads at two different constant speeds (10 km/h and 50 km/h) are applied using a normalized truck. Steel structure of the bridge is instrumented in order to access strain (and then stress) level. Focus is made on comparisons between experimental strain data and simulations results using Finite Elements Method (FEM). In particular, necessity to take into account viscous properties of the bituminous materials to determine response of the whole bridge structure is emphasized.

The accurate estimation of high strain level in steel structure (using FEM calculation) could allow life time calculation of orthotropic bridges which are particularly sensitive to fatigue phenomena.

## 1 Introduction

This study is a part of a 2.5 million euros French national project called “Orthoplus: Advanced engineering of orthotropic decks and their wearing courses for a global



optimization of their life-cycle” [1]. The so-called Orthoplus project is lead by a consortium of 7 public and private partners: SETRA, EIFFAGE Travaux Publics, EIFFEL, LCPC, Arcadis, CTICM and the ENTPE (c.f. acknowledgements).

The most famous example of such orthotropic deck bridge is the Millau Viaduct (*Figure 1*), known as the highest bridge (240m high) and the longest multiple cable stayed bridge (2460m long) in the world. The Millau Viaduct was financed and built by the Eiffage Group within the framework of a concession arrangement. Its subsidiary, “Compagnie Eiffage du Viaduc de Millau” is the concession operator of the structure for 75 years since the end of 2004. The Millau Viaduct is the subject of special investigation in the “Orthoplus” project. Some in-situ experiments are carried out and are used to validate the theoretical developments. In the project, three other smaller structures, with different scale, are also studied (in-situ or in-laboratory) to develop and validate the calculation tools. Orthotropic is the contraction for “orthogonally anisotropic”. Such bridges have a complex behavior [2-8]. Both geometry of the structure and very high flexibility of steel plates induce severe stress and strain fields in the surfacing, hence durability issues for wearing courses. The structural role of the wearing courses on orthotropic steel deck bridges is usually neglected during the design process. One objective of “Orthoplus” project is to evaluate this role, considering usual surfacing composed of bituminous materials.

In this paper, the linear behavior of each bituminous constituents of the deck are first determined experimentally and modeled using previous developed models which have already proved their accuracy. These models have been implemented in commercial Finite elements software in order to simulate multiple structures [9]. Secondly, one in-situ orthotropic structure, the Millau Viaduct is investigated, experimentally and with Finite Elements simulations.



**Fig. 1.** View of the Millau Viaduct (France) –the highest bridge and the longest multiple cable-stayed bridge in the world

## 2 Materials Behavior and Modeling

In this paper, the structure and the constituent materials of the Millau Viaduct are chosen as the reference (*Figure 1*). The deck is composed of a steel plate (thickness is between 12mm for fast lane and 14mm for slow lane) reinforced with steel stiffeners. The surfacing is composed of a 3mm thick sealing sheet (Parafor

Pont<sup>®</sup>) and a 70mm thick bituminous surfacing layer (Orthochape<sup>®</sup>). The sealing sheet made with bituminous mastic ensures the water protection of steel and the perfect bonding of bituminous surfacing on the steel.

First, the behavior of each constituent material is determined. In this paper, only the linear behavior is considered (small strain domain). No non-linearity (fatigue, permanent deformations, cracks) was taken into account. Thus, the steel is considered as isotropic linear elastic with classical parameters values ( $E=210\text{GPa}$  and  $\nu=0.3$ ). In the following, we focus on the bituminous materials behavior (Orthochape<sup>®</sup> and ParaforPont<sup>®</sup>).

### 2.1 Advanced Viscoelastic Characterization of Bituminous Materials

The first considered material, Orthochape<sup>®</sup>, is a bituminous mix made with a continuous 0/10mm aggregates grading and with 5.5% by weight of the aggregates of Orthoprène<sup>®</sup>, a polymer modified bitumen. Complex modulus tests in tension/compression have been performed to characterize its linear visco-elastic behavior in the small strain domain ( $\epsilon < 10^{-4}$ ). The test principle is described in *Figure 2*. It should be noticed that radial strain measurement ( $\epsilon_r$ ) are added to the classical axial stress ( $\sigma_z$ ) and strain measurements ( $\epsilon_z$ ) (*Figure 2*). Measured sinusoidal signals (*Figure 2*) are expressed in complex form (equation (1)).

$$\begin{cases} \sigma_z^* = \sigma_{0z} \cdot e^{j(\omega t)} \\ \epsilon_z^* = \epsilon_{0z} \cdot e^{j(\omega t + \phi_{\epsilon_z})} \\ \epsilon_r^* = \epsilon_{0r} \cdot e^{j(\omega t + \phi_{\epsilon_r})} \end{cases} \quad (1)$$

where  $\sigma_{0z}$ ,  $\epsilon_{0z}$  and  $\epsilon_{0r}$  are the amplitude and  $0$ ,  $\phi_{\epsilon_z}$  and  $\phi_{\epsilon_r}$  the phase lags of respectively the axial stress, axial strain and radial strain.

The complex Young’s modulus  $E^*$  and the complex Poisson’s ratio  $\nu^*$  are then obtained using equations (2) and (3). They are defined with their norm and phase angle, respectively  $|E^*|$  and  $\phi_E$  for the complex Young’s modulus and  $|\nu^*|$  and  $\phi_\nu$  for the complex Poisson’s ratio.

$$E^* = \frac{\sigma_z^*}{\epsilon_z^*} = |E^*| e^{j\phi_E} = \frac{\sigma_{0z}}{\epsilon_{0z}} \cdot e^{j(\phi_{\epsilon_z})} \quad (2)$$

$$\nu^* = -\frac{\epsilon_r^*}{\epsilon_z^*} = |\nu^*| e^{j\phi_\nu} = -\frac{\epsilon_{0r}}{\epsilon_{0z}} \cdot e^{j(\phi_{\epsilon_r} - \phi_{\epsilon_z})} \quad (3)$$

With the postulated hypothesis of isotropy, the 3D linear viscoelastic behavior is completely determined by  $E^*$  and  $\nu^*$  [10].

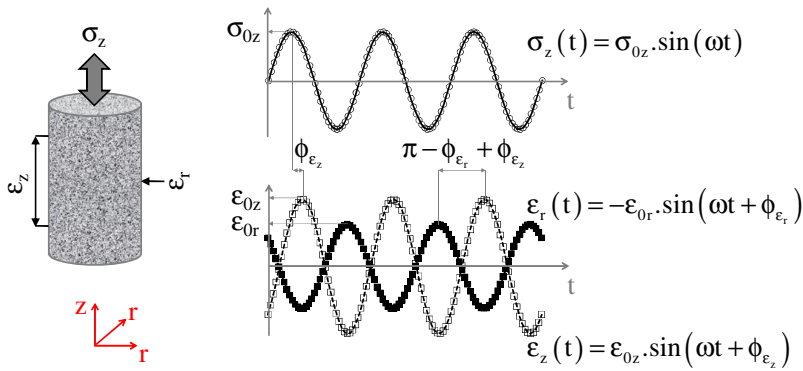


Fig. 2. Schematic explanation of the complex modulus test

Measurements were made at 9 different temperatures (from  $-30^{\circ}\text{C}$  to  $50^{\circ}\text{C}$ ), sweeping 7 frequencies from 0.01Hz to 10Hz. From experimental data, the Time Temperature Superposition Principle (TTSP) is considered as a first approximation (some discrepancy appears at very low frequencies and/or for high temperatures, due to the effect of polymers in the Orthoprene<sup>®</sup> bitumen). The complex Young's modulus  $E^*$  and the complex Poisson's ratio  $\nu^*$  master curves are plotted at a reference temperature ( $T_{\text{ref}}$ ) of  $10^{\circ}\text{C}$  in *Figure 4*. The classical WLF law (William, Landel and Ferry) [11] is used to fit the shift factor  $a_T$  (equation (4)).

$$\log(a_T) = -\frac{C_1(T - T_{\text{ref}})}{C_2 + T + T_{\text{ref}}} \quad (4)$$

with  $C_1=35$  and  $C_2=218$  for the Orthochape<sup>®</sup> mix.

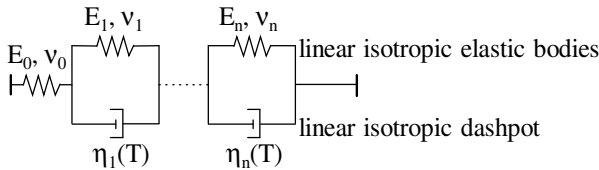
The  $a_T$  curve is also plotted in *Figure 4* as a function of temperature. This newly proposed representation enables values of complex modulus or Poisson's ratio (norm and phase angle) to be easily obtained for any temperature and frequency, with only one figure. The use of this figure consists of:

- Step 1: read the desired temperature  $T$  on the right axis and join the  $a_T$  curve
- Step 2: read the corresponding equivalent frequency on the horizontal axis (this value corresponds to the  $a_T$  value for the desired temperature  $T$ ).
- Step 3: read the value on the master curve. This value corresponds to the parameter at the desired temperature and for a frequency of 1Hz.
- Step 4 (not shown): to obtain the value for any frequency, keep the previously found frequency range constant ( $a_T$  value) and drag it to the desired frequency (upper limit). Perform again Step 3 with the lower limit of this range.

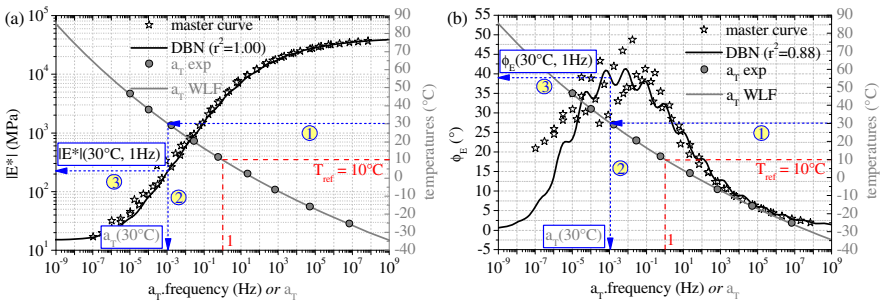
For the Parafor Pont<sup>®</sup> sealing sheet, the same procedure was used. As mastic, a modified great-sized rheometer was used [12]. The complex shear modulus was determined. The complex Young's modulus was obtained assuming a constant real value for the Poisson's ratio, 0.45. The classical WLF law parameters are  $C_1=28$  and  $C_2=203$ . *Figure 5* presents the complex modulus master curve and the  $a_T$  curve for the Parafor Pont<sup>®</sup> material.

### 2.2 Isotropic Linear Visco-Elastic Modeling: The DBN Model

A 3D Isotropic Linear Visco-Elastic (ILVE) modeling is proposed to be used for the finite element analysis. The DBN (Di Benedetto – Neifar) model is used. This model has been developed at the University of Lyon / ENTPE. It is an attempt to describe with a unique formulation the complex elasto-visco-plastic behavior observed for different types of bituminous materials. More details on DBN model are given in [10], [13-16]. The general analogical form of the DBN model consists in an assembly in series of a linear isotropic elastic body and “n” elements having a body of EP type in parallel with a linear isotropic dashpot. It is noteworthy that the number of chosen elements “n” is a free choice for the user. If small amplitude loadings are applied, the observed behavior is linear and the obtained DBN asymptotic form is presented *Figure 3*. It consists of a generalized Kelvin Voigt model of “n” elements each having a Young’s modulus  $E_i$ , a Poisson’s Ratio  $\nu_i$  and a viscosity  $\eta_i$ . The calibration of the constants ( $E_i$ ,  $\nu_i$ ,  $\eta_i$ ) is made from an optimization procedure in the frequency domain. Due to the lack of space, chosen constants are not given. Simulation with DBN model is also presented *Figure 4* for Orthochape® mix and *Figure 5* for ParaforPont® sealing sheet. This linear visco-elastic model has been implemented in a Finite Elements software and results from calculations on the Millau Viaduct are presented in the following.



**Fig. 3.** Asymptotic expression in the linear domain of the DBN model (equivalent to generalized Kelvin-Voigt model)



**Fig. 4.** Experimental master curves and DBN models simulation (20 elements) for the bituminous mix Orthochape® plotted at a reference temperature  $T_{ref}$  equal to 10°C, with the norm  $|E^*|$  and the phase angle  $\phi_E$  of the complex Young’s modulus  $E^*$  and the norm  $|v^*|$  and the phase angle  $\phi_v$  of the complex Poisson’s ratio

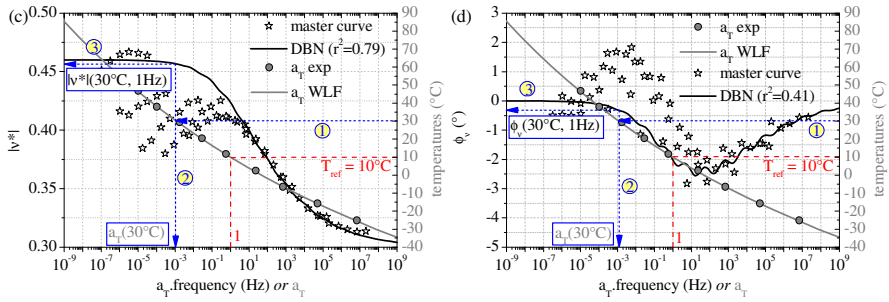


Fig. 4 .(Continued)

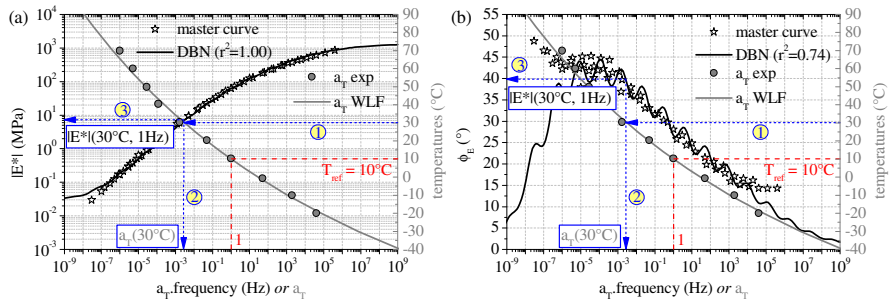


Fig. 5. Experimental master curves and DBN models simulation (20 elements) for the bituminous sealing sheet Parafor Pont<sup>®</sup> plotted at a reference temperature  $T_{ref}$  equal to 10°C. Left: norm of the complex Young’s modulus  $E^*$ ; Right: phase angle of the complex Young’s modulus  $E^*$

### 3 In Situ Measurements: The Millau Viaduct

The main orthotropic structure studied in “Orthoplus” project is the Millau Viaduct. In this paper, in-situ experiments are described. Some Finite Elements analyses were performed and compared with experimental data, to show the ability of the developed calculation tools.

#### 3.1 Experimental Study

Experiments consist in loading the Millau viaduct and measuring strain in the steel structure with a net of gauges.

The objective was to load the slow and emergency lanes. The loading was applied by a truck and its trailer of 38.1 tons distributed on 5 axles (Figure 6). Each axle was precisely weighed and the footprint of the tires was recorded. Ambient temperature was between 12.1 and 12.3°C. Two longitudinal locations

(same as for loading) were chosen for investigating the strain of the steel deck (underside). Some gauges were stuck under the deck around stiffeners n°6 and 7 to obtain information on the stress field around welding (*Figure 8*). Some bi-directional gauges are also stuck below the deck between stiffeners n°5, 6, 7 and 8 (*Figure 8*). Others gauges are used but not detailed here.

Measurements were performed under two loading cases:

- **Static case :**  
The truck was positioned precisely. Location of the most loaded axle (second one of the tractor truck, with two twin wheels) is indicated by  $dx$  and  $dy$  (*Figure 7*). This axle was located on 2 different longitudinal position,  $dy$ , firstly, over a crossbeam ( $dy=16.7m$ ) and secondly in the middle of 2 crossbeams ( $dy=14.6m$ ). 9 different transversal positions  $dx$  were tested (*Figure 7*). One twin wheel of the axle was thus located over the stiffener n° 6, 7 and 8. Transversal strain ( $\epsilon_{xx}$ ) are presented in *Figure 8* for one position of the most loaded axle, located in the middle of 2 crossbeams ( $dy=14.6m$ ), at transversal position  $dx=1.77$  m. Each data point represents the average value of strain after stabilization.
- **Moving case :**  
As for static case, location of the most loaded axle (second one of the tractor truck, with two twin wheels) is indicated by  $dx$  in transversal way (*Figure 7*). Longitudinal position  $dy$  is not needed. Same 9 transversal positions  $dx$  as for static case were tested. Tests were performed at two constant speeds (10 and 50 km/h) to underline viscous effects. Longitudinal and transversal strain ( $\epsilon_{xx}$  and  $\epsilon_{yy}$ ) are presented in *Figure 8* for one speed (10km/h) and at transversal position  $dx=1.75$  m.

Measurements are compared with calculations results.

### 3.2 Analysis with FEM Calculations

Finite Element calculations (FEM) are performed using “COMSOL” software in 3D to simulate experimentations on the Millau viaduct. Due to the complexity and the size of the structure, some simplifications are necessary (*Figure 7*):

- 6 similar elements are considered longitudinally (25m). Extremities are clamped.
- slow and emergency lanes are modeled (4.8m), which represents 8 stiffeners.
- crossbeams are supported on one extremity (near stiffener n°1) to represent actions of the rest of the bridge structure.
- steel plate, sealing sheet and bituminous mix surfacing are modeled with 3D brick elements while crossbeams and stiffeners are modeled with 2D shell elements. Mesh is refined around the stiffeners n° 6, 7 and 8, inducing 3.12 millions degrees of freedom.
- wheel loads are modeled by rectangular loaded surface (20cm x 30 cm).

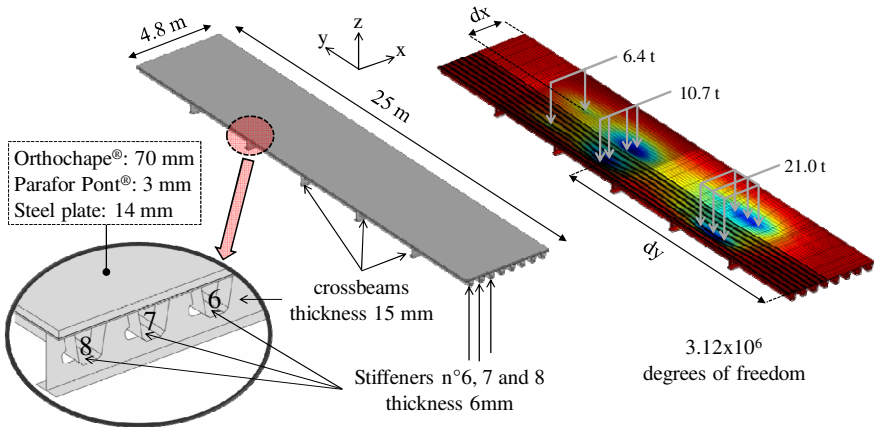
Steel is assumed to be isotropic linear elastic materials having a Young modulus  $E=210000$  MPa and a Poisson's ratio  $\nu=0.3$ . Wearing course behavior, introducing temperature and viscous properties, is considered. This viscous behavior is

introduced by the isotropic linear viscoelastic DBN model with calibrated 20 elements from complex modulus tests.

In this section, the tension is considered as positive. Moreover, perfect bond is assumed between layers. Some simulation results are presented in *Figure 8*. Discontinuities appear in the curve, which are due to the stiffeners presence and the way they are modeled with 2D shell elements. Comparisons with experimental data show rather good agreement, taking into account the errors in locating truck and gauges.



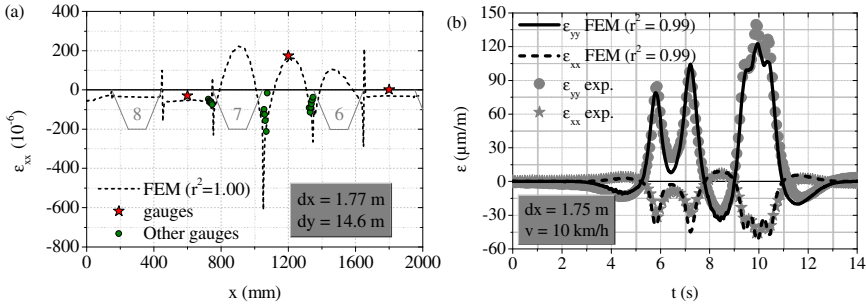
**Fig. 6.** Pictures of the Millau Viaduct during the experimental measurements. (a) loading truck during weighing; (b) loading truck on the Millau Viaduct; (c) stiffeners and crossbeam with gauges



**Fig. 7.** Geometry, mesh, load and vertical displacement field of the Millau Viaduct structure in the Finite Element Code

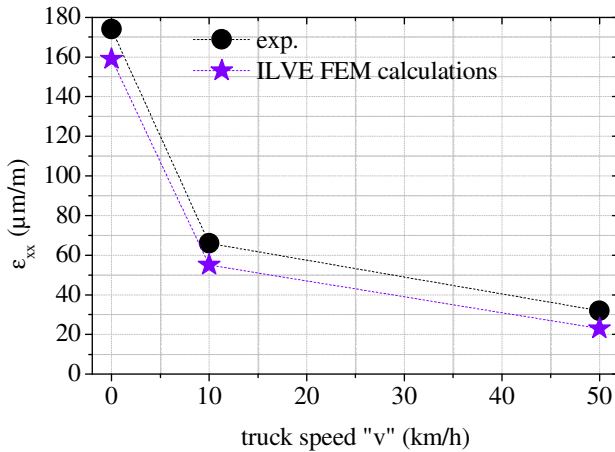
### 3.3 Analysis of the Effect of Vehicle Speed on Bridge Response

Maximum transversal strains ( $\epsilon_{xx}$ ) for static and moving cases (*Figure 8*) are plotted in *Figure 9* as a function of truck speed “v”. Results are given for a gauge located under steel plate between stiffeners n°6 and 7 for an average  $dx = 1.77$  m.



**Fig. 8.** Comparison between measured strains and FEM strain calculations. (a) static case for  $dx = 1.77$  m and  $dy = 14.6$  m; (b) moving case at 10 km/h for  $dx = 1.75$  m and for one gauge located under stiffener n°7 between two crossbeams

This example (Figure 9) shows result also observed for other gauges. It allows to synthesize the results (experimental and calculation) obtained on the Millau Viaduct. Viscous calculations (ILVE case) allow a good approximation of strain evolution in the steel deck at any vehicle speed.



**Fig. 9.** Transversal strains  $\epsilon_{xx}$  measured and calculated under the steel plate for different speeds (0, 10 and 50 km/h) and for  $dx$  approximately equal to 1.77m.

### 4 Conclusion and Outlook

In this paper, the approach to take into account the bituminous surfacing in the behavior of orthotropic structure is explained. First, a 3D experimental characterization of bituminous materials is carried out. The linear behavior, supposed to be isotropic, was completely defined and a previously developed model was calibrated and used. Its ability to simulate the behavior of each material is shown. This model was implemented in a Finite elements code allowing simulation of any structure. Secondly,



to validate this approach, some comparison between structure tests and simulation are carried out. Experimental study carried out on Millau viaduct –the higher bridge in the world– shows the importance of taking into account viscous and thermal effects, induced by the bituminous constituent on the structure behavior. This requires to correctly measure and model the behavior of bituminous material. Comparisons between experimental data and simulations are encouraging. Yet, in-situ measurements give a great amount of results, and some complementary analyses are required to validate the simulation tool.

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- Arcadis
- CTICM: Centre Technique Industriel de la Construction Métallique
- EIFFAGE Travaux Publics: public works subsidiary company of the EIFFAGE group
- EIFFEL: steel subsidiary company of the EIFFAGE group
- ENTPE: Ecole Nationale des Travaux Publics de l’Etat
- LCPC: Laboratoire Central des Ponts et Chaussées
- SETRA: Service d’Etudes sur les Transports, les Routes et leurs Aménagements.

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# Material Property Testing of Asphalt Binders Related to Thermal Cracking in a Comparative Site Pavement Performance Study

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**Abstract.** Chromatographic fractions of asphalt binders, where some of the binder materials were obtained during the construction of a comparative performance field site constructed in Rochester, Minnesota, USA, where transverse (thermal) crack survey data had been obtained annually since construction were investigated in terms of compositional properties, specifically average molecular size of the wax-oil fraction. This compositional property measured or previously reported for eight SHRP asphalts was subsequently compared to binder dilatometric properties previously reported for the same materials. This compositional property was also compared to transverse (thermal) crack survey data for four binders. Results from these investigations suggested that binders of high wax content where the wax is of a comparatively higher average molecular size may lead to more extensive thermal cracking.

## 1 Introduction

Structuring in asphalt binders involving the crystallization of paraffin and microcrystalline waxes is suspected to potentially lead to thermal fracture of asphalt pavements [3-5]. A well studied mechanical response influenced by this type of structuring phenomenon is referred to as isothermal physical (reversible) age hardening, which is thought to contribute to thermal cracking of pavements constructed in cold climates [1-5]. This type of response, originally observed by rheological analysis (i.e., creep compliance testing) of sub-ambient isothermally conditioned asphalt samples, has led to speculation as to the nature of the changes in the molecular and/or phase composition of asphalt under this type of low temperature conditioning.

Bahia and Anderson [1] reported that as pavement temperatures approach the asphalt's glass transition temperature a collapse of free volume in an asphalt's composition, particularly changes in intermolecular configurations analogous to polymer microphase rearrangements, may be responsible for observed physical hardening effects. These researchers further reported that circumstantial evidence showed a link between asphalt wax content and physical hardening effects but also observed a relationship between asphalt wax content and molecular weight suggesting to these investigators that more research of this phenomenon was warranted.

Claudy *et al.* [3], in the same time period, reported that differential scanning calorimetry (DSC) and thermo-microscopic, including polarized light and phase

contrast techniques, studies supported the hypothesis that crystallizing moieties in asphalt were partially responsible for the physical hardening phenomena observed by Bahia and Anderson [2, 1]. DSC studies reported by these researchers showed that at temperatures below 0°C, where heat capacity increases more rapidly, the onset of a glassy state develops, as measured by a glass transition temperature. It is further discussed by these researchers that at temperatures above 0°C heat flow versus temperature-change plots exhibit peaks or enthalpy events in DSC curves corresponding to changes in the hydrocarbon matrix generally known to correspond to melting and crystallization events. Thus, conditioning involving isothermal storage of asphalt materials at sub-ambient temperatures (i.e., at -15°C for 1 to 8 days), followed by DSC heating scans exhibited shifts in melt/crystallization peaks and glass transition temperatures in DSC, particularly after 24 hours of conditioning, suggesting to these investigators that molecular reorientation occurs with time. These researchers further speculated that the volume shrinkage mechanism proposed by Bahia and Anderson [2, 1] was due to “*coalescence to form crystalline domains or amorphous domains in the asphalt solvent phase*”, and could be described by phase separation or a spinodal decomposition process. To investigate their hypothesis samples of SHRP asphalt AAG-1, characterized as low in wax content, were doped with different concentrations of n-paraffins and tested with DSC and thermal microscopy techniques. It was observed that glass transition temperatures decreased relative to an initial value of -11.4°C measured for neat asphalt AAG-1 when 3% by mass of C<sub>20</sub>H<sub>42</sub> (T<sub>g</sub> = -29.2°C), C<sub>24</sub>H<sub>50</sub> (T<sub>g</sub> = -20.4°C), C<sub>28</sub>H<sub>56</sub> (T<sub>g</sub> = -15°C) and C<sub>33</sub>H<sub>66</sub> (T<sub>g</sub> = -13°C) were present in the sample. Glass transition temperatures for samples containing 3% by mass of C<sub>36</sub>H<sub>74</sub> (T<sub>g</sub> = -10.8°C) and C<sub>40</sub>H<sub>82</sub> (T<sub>g</sub> = -12.5°C) were observed to be close to that of the original neat material. Photomicrographs further showed more prominent structuring in wax-doped materials compared to the original un-doped asphalt. Claudy *et al.* [3] further suggested that crystalline fraction precipitation was not the complete story, and that a need for additional research was warranted to explain the role of molecular mobility in the proposed physical hardening mechanism. They concluded by saying that asphalt at low temperatures may be “*akin to a gel*”.

Recently, Hesp *et al.* [4, 5] have considered how morphological and chemical properties of asphalts influence physical or reversible age hardening. In these investigations X-ray diffraction, optical microscopy, and mass spectroscopy techniques were employed to study asphalt binders derived from pavement trial sites (test tracks) where the materials under consideration were observed to exhibit thermal cracking. The physicochemical data obtained on asphalt materials by these methods were compared with mechanical properties determined by extended freeze-time bending beam rheometry (EBBR) [5]. A significant finding from these studies showed that EBBR thermal cracking temperatures,  $T_{crack}$  (measured at an m-value of 0.35), changed (increased) with extended periods of thermal conditioning of asphalt binder samples (i.e., stored at -10°C and -20°C up to 14 days), when compared with data obtained for samples thermally conditioned from much shorter time periods (i.e., 1 hour). Cracking severity field data thus correlated more favorably with  $T_{crack}$  (m-value = 0.35) values of 3-day condition data compared to cracking temperatures measured for much shorter time conditioned materials. In this work,

asphalts with higher wax content corresponded to materials which showed greater changing (shifting) thermal cracking temperatures after extended thermal conditioning. Optical microscopy images of asphalt morphology further revealed that waxy asphalts which exhibited a coarse-grain structure were particularly susceptible to reversible age hardening. These researchers further hypothesized that asphaltene structuring (studied by X-ray diffraction which provides information on aromatic ring structure and size) could also contribute to physical hardening, particularly in the air-blown waxy asphalts.

The studies referenced here seem to suggest that the occurrence of higher concentrations of wax in asphalts may partially contribute to thermal cracking in pavements constructed in cold climates. In particular, wax gelation has been proposed as one mechanism by which molecular structuring may occur with these materials [3]. Wax gelation, if it occurs in asphalt, is likely controlled by several compositional factors including wax concentration, type (molecular weight, *n*-, iso-, or cyclic nature), interaction with other constituents in the asphalt (asphaltene, resins, naphthene aromatics, saturates) and conditioning factors including cooling rate and duration of isothermal storage.

The present paper reports on studies aimed at characterizing binder composition and physico-chemical properties determined for asphalt binder materials of approximately the same PG-grade collected from comparative performance pavement sections where thermal cracking performance data, specifically transverse thermal cracking, was available. Correlations are also considered, derived from historical data reported for the eight SHRP core asphalts to make the case that variations in binder composition and/or physicochemical properties relate to variations in mechanical properties, and hence to performance behavior. Finally, a hypothesis is presented that contends that higher molecular weight molecules associated with the wax-oil fraction of waxy asphalt binders potentially gel to form a microstructure as pavement temperatures decrease resulting in binders which are susceptible to shrinkage and eventual thermal cracking of the pavement.

## 2 Experimental

### 2.1 Chromatographic Fractionation

Material fractions were derived from asphalts employing SARA (Saturates, Aromatics, Resins, Asphaltenes) chromatography [6, 7]. To prepare samples for SARA chromatography asphaltenes were separated from maltenes by precipitation in isooctane (2,2,4-trimethyl pentane, HPLC-grade, Fisher Scientific). In this procedure the precipitating solvent was combined with asphalt in a 50:1 (mL:g) ratio, stirred on a hotplate with refluxing for 30 min, and filtered using a 10-15 $\mu$ m fritted glass filter after settling overnight. The asphaltene filter cakes were washed with additional solvent and dried in a nitrogen purged vacuum oven at 80°C. Maltenes were recovered from the eluate by rotoevaporation and dried to constant weight on an oil bath at 120°C. Corbett (SARA) separations [7] were performed on maltene materials based on a published ASTM method, ASTM D4124-09 [6].

## **2.2 *Thermo Gravimetric Analysis of SARA Fractions: Apparent Molecular Mass Determination***

Thermogravimetric analysis (TGA) was utilized to measure vaporization temperature distributions for SARA fractions separated from asphalt [8]. This method employs a TA Instruments Q5000IR thermogravimetric system with auto sampling capabilities. In this procedure 10.0 mg of sample is weighed into a 100- $\mu$ l Pt pan (TA #957207.904) and analyzed using a heating rate of 20°C per minute. Values in percent weight loss are recorded as a function of time at the specified heating rate. Weight percent per time data is converted to derivative mass change per temperature as reported. Two events (a period of material vaporization and a burn off of non-volatiles) are typically observed.

Mass or weight loss,  $dM$  versus vaporization temperature distributions, was determined as the peak or maximum value,  $T_{max}$  (K), from a five-parameter Weibull distribution function. Saturates were observed to fit reasonably well with this function while naphthene aromatic materials fit less well due to their more complex distribution. In both cases the Peak vaporization temperatures,  $T_{max}$  (K), were utilized as a measure of the average molecular size of particles comprising selected SARA fractions.

## **3 Results and Discussion**

### **3.1 *Analysis of SHRP Asphalt Data: Asphalt Dilatometry, Wax Content and Molecular Mass of IEC Neutrals***

Before discussing the results reported in the present study, correlations were sought relating historical data (e.g., mechanical-dilatometric properties and physico-chemical properties) previously reported for the eight SHRP core asphalts. During the SHRP program several different chromatographic methods [9], SARA (saturates, aromatics, resins, asphaltenes) chromatography being one example, were adopted to characterize “chemical” classes of material types present in SHRP asphalts. Ion exchange chromatography (IEC) [9] was another technique adopted to separate “chemically” defined molecular species from an asphalt based on polarity and/or acid/base functionality. This separation method, in addition to strong acid and base species, also produced amphoteric species (weak acid/base functionality), and a neutral oil referred as an IEC neutral fraction. Waxes were subsequently separated from this neutral oil material utilizing a cold solvent precipitation technique. Many of these SHRP asphalts and their material fractions were further characterized in terms of physicochemical, mechanical and rheological properties. Based on the literature reviewed in the introduction, physicochemical, mechanical and rheological properties of the IEC neutrals fraction may give insight into an asphalt’s propensity to resist and/or be susceptible to thermal fatigue given that this material solidifies at the lowest temperature compared to other material fractions. This material phase of an asphalt would then be responsible for retaining the flow

property of a binder prior to solidification during cooling processes. Table 1 lists a some compositional/physicochemical [10] and mechanical properties [2] presumed to be pertinent to physical hardening tendencies as discussed in the introduction section, specifically wax content and molecular weight, here of the wax-oil phase or IEC neutrals material.

From the data reported in this table it is readily observed that wax content and molecular mass (i.e., measured via vapor phase osmometry [10]), trend with each other and with dilatometric properties of whole asphalt (i.e., absolute change in specific volume @ -15°C [2]), asphalt AAM-1 being the predominant outlier. On the basis of these findings we thought it of value to conduct additional studies to verify the historic molecular mass data reported for the SHRP asphalt.

**Table 1.** Absolute change in specific volume @ -15°C, number average molecular mass of IEC neutrals, percent wax per whole asphalt extracted from IEC neutrals, and the percent crystalline material determined by DSC.

Asphalt	<sup>a</sup> Absolute Change in specific volume @ -15°C (mL/g)	<sup>b</sup> VPO Number Average Molecular Mass of IEC Neutrals	<sup>b</sup> % Wax/Asphalt Extracted from IEC Neutrals	<sup>b</sup> % Crystalline Material (DSC)
AAA-1	0.0010	620	1.4	0.4
AAB-1	0.0016	680	4.7	2.3
AAC-1	0.0020	770	7	2.7
AAD-1	0.0008	580	1.9	0.6
AAF-1	0.0017	710	5.7	1.7
AAG-1	0.0007	650	1.2	0
AAK-1	0.0012	610	3.4	0.4
AAM-1	0.0021	1200	26	2.8

<sup>a</sup> Bahia [2]; <sup>b</sup> Robertson *et al.* [10].

### 3.2 Apparent Molecular Mass of Asphalt Wax-Oil Fraction Materials and Dilatometric Properties of SHRP Asphalt

Investigations were conducted to characterize the apparent molecular mass of wax-oil phase materials, in this case SARA saturate and naphthene aromatic material fractions derived from asphalts, including the eight SHRP core asphalts and four additional asphalts derived from a comparative performance site where thermal crack survey data were reported. Mechanical data testing was also conducted with the four comparative performance site materials. All twelve asphalts were initially separated into SARA fractions following a published ASTM procedure [6]. SARA saturate and naphthene aromatic fractions were then characterized individually in terms of their apparent molecular size distribution by vaporization temperature measurements utilizing thermogravimetric analysis (TGA). This method was selected for convenience given that in simple homologous series systems, aliphatic

hydrocarbon systems for example, molecular mass and boiling point temperature are found to be functionally related. Hence, TGA analysis should be a simple approach to generally characterize a molecular size distribution as compared to alternative approaches including gel permeation chromatography or vapor-phase osmometry.

Table 2 lists material mass-balance data and mass fraction averaged peak vaporization temperatures,  $T_{max}$  (K), measured for SARA generated wax-oil phase material fractions, designated here as saturates plus naphthene aromatics, quantified by

$$T_{max} = \left\{ \frac{(SAT\%)}{(SAT\% + NA\%)} \right\} \cdot T_{max}(SAT) + \left\{ \frac{(NA\%)}{(SAT\% + NA\%)} \right\} \cdot T_{max}(NA) \quad (3)$$

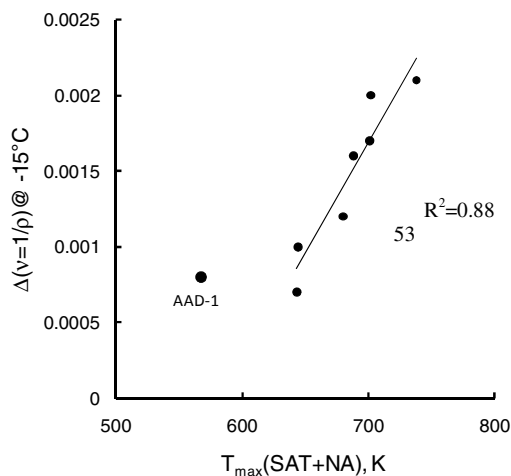
Vaporization temperatures,  $T_{max}(SAT+NA)$ , or simply  $T_{max}$  reported in Kelvin-units are assumed to be a measure of the size or apparent molecular mass of the hydrocarbon molecules (e.g., n-paraffins, branched alkanes and alkyl-naphthenic species) which comprise these two fractions. Thus, for TGA analysis only dispersive forces should account for the intermolecular interactions among these types of molecules as they dissociate into a vaporous state indicative of a mass loss of the bulk sample as measured by TGA. This combined wax-oil fraction material is further presumed to be similar in molecular composition to IEC neutral materials, given the absence of heteroatom content or polar functionality.

**Table 2.** Wax-oil peak vaporization temperatures,  $T_{max}$  (K) (i.e., saturates and naphthene aromatics) determined for 8 asphalts based on relative SARA percentages

Asphalt	%SAT per wax-oil	%NA per wax-oil	$T_{max}(SAT)$ , K	$T_{max}(NA)$ , K	$T_{max}(SAT+NA)$ , K
AAA-1	34%	66%	577	679	644
AAB-1	28%	72%	651	703	688
AAC-1	37%	63%	672	720	702
AAD-1	40%	60%	535	588	567
AAF-1	26%	74%	641	722	701
AAG-1	25%	75%	546	675	643
AAK-1	32%	68%	648	695	680
AAM-1	23%	77%	704	748	738

The plot depicted in Figure 1 shows that  $T_{max}$  values, with the exception of asphalt AAD-1, correlate with dilatometric properties (i.e., change in specific volume,  $(\Delta v = \Delta(1/\rho))$ , reported in Table 1 [2, 1]. As reported by Bahia and Anderson [1], other properties than wax content, molecular mass of asphalt for example, may also potentially contribute to the dilatometric properties of asphalt binders. We thus hypothesized that some form of structuring associated with the wax-oil phase of an asphalt may involve the previously proposed gelation

mechanism [3]. This gel structuring may further be associated with higher molecular weight n-paraffins and may also involve immobilization of other continuous phase materials (e.g., branched alkanes, naphthene and or aromatic species with alkyl side chains) as a function of decreasing temperature. To test our hypothesis TGA testing of SARA fractions was conducted on materials obtained during the construction of a comparative performance field site where transverse (thermal) crack survey data had been obtained annually since construction for validation purposes.



**Fig. 1.** Correlation plots relating wax-oil vaporization temperature and average molecular weight to dilatometric properties (absolute value in change in specific volume measured at  $-15^{\circ}C$  [2]) of 8 SHRP asphalts

### ***3.3 Distress Survey Data of Comparative Performance Site Asphalts Compared to Apparent Molecular Mass of Asphalt Wax-Oil Fraction Materials***

Asphalts originating from different crude sources derived from materials collected at a comparative performance site constructed in Rochester, Minnesota were fractionated to produce a wax-oil material which was subsequently characterized by TGA to measure  $T_{max}$  values. At these sites the construction specifications of pavement sections were held constant with the exception that the source of the asphalt was varied from one section to the next within the site. Performance data gathered from these sites, (e.g., distress survey) were correlated with predictive models and test protocols developed as part of ongoing studies to validate research results.

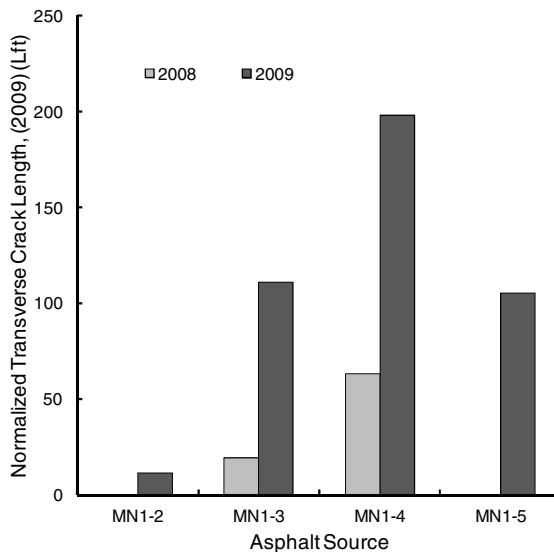
The Rochester comparative performance site was constructed in Olmsted County on county road 112 in August 2006. The project consisted of three lifts of HMA over a reclaimed base. Five performance sections were constructed. Within each section two 500-ft monitoring sections were established. The project used



four different asphalt sources designated MN1-2, MN1-3, MN1-4, and MN1-5. Samples of these asphalts were collected during construction. Two of the five sections were constructed with a polymer (Elvaloy) modified asphalt (MN1-1 and MN1-2). The difference between the two sections is that the MN1-1 section included 20% RAP. The HMA used to construct the MN1-1 section was also the project HMA. Mix designs were performed for each section.

Seasonal climate data based on the weather station nearest the project (Rochester International Airport) were utilized to report extreme temperatures. The low temperature for the first winter (2006/2007) was reported to be  $-29.4^{\circ}\text{C}$ , the low temperature for the second winter (2007/2008) was reported to be  $-28.3^{\circ}\text{C}$  and the low temperature for the third winter (2008/2009) was reported to be  $-32.2^{\circ}\text{C}$  [11]. Temperatures lower than  $-28^{\circ}\text{C}$  occurred on five occasions over a three year period. The low temperature grades required for the Rochester location at confidence levels of 50%, 94% and 98% are  $-28$ ,  $-28$ , and  $-34$ , respectively [12]. Pavement condition monitoring was performed by WRI personnel just after construction on October 3, 2007, at which time no distress was observed in any of the 500-ft. monitoring sections.

Figure 2 depicts a bar graph of normalized transverse crack length (linear feet, L-ft) reported in the WRI 2008 and MNDOT 2009 surveys of the Rochester comparative performance site for sections constructed with each of the four binders. Higher normalized transverse crack length values were observed in the third performance year compared to year two.



**Fig. 2.** Bar graph of normalized transverse crack length (linear-ft) reported for four comparative performance site asphalts based on the WRI 2008 and MNDOT 2009 surveys conducted in Rochester, MN

Sections constructed with asphalt MN1-4 showed the highest normalized transverse crack length values, while sections constructed with MN1-2, reported to be a polymer modified asphalt, showed almost no reported cracking for the same time period. Sections constructed with asphalts MN1-3 and MN1-5 showed intermediate transverse crack length values.

Wax-oil phase fractions chromatographically separated from materials collected from the Rochester, MN comparative performance site asphalts were then characterized in terms of their mass fraction averaged peak vaporization temperature ( $T_{max}$ ). Table 3 lists mass fraction averaged peak vaporization temperatures,  $T_{max}$  (K), measured for wax-oil material and normalized transverse crack lengths (linear-ft) reported for four comparative performance site pavement sections. With the exception of the polymer modified asphalt, MN1-2,  $T_{max}$  (K) values trend by increasing with normalized transverse crack lengths. These findings helped to support our hypothesis that differences in compositional properties of the wax-oil phase of an asphalt binder potentially result in differences in performance.

**Table 3.** Peak vaporization temperatures determined for wax-oil fractions (i.e., saturates and naphthene aromatics) and the mass fraction averaged  $T_{max}$  (K) of the wax-oil determined for four comparative performance site asphalts obtained by TGA

Asphalt	%SAT/ wax-oil	%NA/ wax-oil	$T_{max}$ (SAT) K	$T_{max}$ (NA) K	$T_{max}$ , K	Normalized TC Length (L-ft)
MN1-2	32%	68%	638	694	676	11.3
MN1-3	33%	67%	613	678	657	110.6
MN1-4	34%	66%	635	705	681	197.7
MN1-5	32%	68%	583	665	639	105.2

So, in regard to wax content being solely responsible for physical hardening, and hence thermal fatigue, Claudy *et al.* [3] actually observed that glass transition temperatures decreased relative to an initial value of  $-11.4^{\circ}\text{C}$  measured for neat asphalt AAG-1 when 3% by mass of  $\text{C}_{20}\text{H}_{42}$  ( $T_g = -29.2^{\circ}\text{C}$ ),  $\text{C}_{24}\text{H}_{50}$  ( $T_g = -20.4^{\circ}\text{C}$ ),  $\text{C}_{28}\text{H}_{56}$  ( $T_g = -15^{\circ}\text{C}$ ) and  $\text{C}_{33}\text{H}_{66}$  ( $T_g = -13^{\circ}\text{C}$ ) were doped into AAG-1 but also observed that glass transition temperatures for samples containing 3% by mass of  $\text{C}_{36}\text{H}_{74}$  ( $T_g = -10.8^{\circ}\text{C}$ ) and  $\text{C}_{40}\text{H}_{82}$  ( $T_g = -12.5^{\circ}\text{C}$ ) were observed to be closer to that of the neat material. These results seemed to show that lower molecular weight wax spiked into AAG-1 could have actually softened the material as suggested by the lowering of the glass transition temperature, while intermediate or higher molecular weight wax spiked into this same asphalt affected the glass transition to a much lesser extent. By speculating that Claudy *et al.* [3] may have potentially observed glass transition temperatures to increase if higher molecular weight wax (i.e, higher than  $\text{C}_{40}\text{H}_{82}$ ) would have been tested in the manner they employed, we observed that molecular mass of the wax-oil materials also had a significant effect on dilatometric properties based on our investigation of the historic SHRP data.

## 4 Conclusions

In this paper we have formulated a hypothesis suggesting that binders of comparatively higher wax content, where the wax is of a comparatively higher average molecular weight, may form a more rigid gel-structure (also considered by Claudy *et al.* [3] and Hesp *et al.* [4]), which impacts the dilatometric properties of the whole binder. To test this hypothesis historical data, specifically reported for the eight SHRP core asphalts, was analyzed and revealed that a strong correlation exists between higher wax content, higher average molecular mass of the solvent or wax-oil phase of an asphalt and asphalt binder dilatometric properties. To further support our hypothesis asphalts which differed based on crude source gathered from a comparative performance field site (Rochester, Minnesota) where thermal crack survey data was reported, were also investigated in terms of average molecular size of their wax-oil phase. Results from these studies also showed that asphalts with wax-oils of higher apparent molecular mass correlated with materials which cracked more with the exception of one polymer modified asphalt. These findings have led to a working hypothesis that gel structuring, if operative in asphalt, is likely controlled not only by the presence of wax but by the molecular mass of the wax.

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# Influence of Differential Displacements of Airport Pavements on Aircraft Fuelling Systems

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**Abstract.** This paper reviews an analytical and experimental investigation of an airport pavement after the occurrence of a ductile rupture on a pipe from an aircraft hydrant fuelling system. In order to identify the causes of the rupture, numerical analysis were carried out to evaluate the vertical and horizontal displacements of the pavement imposed on hydrant pit boxes. Parameters that could validate the numerical model were obtained by means of experimental analysis of the pavement. Therefore, information such as thermal expansion of the airport pavement due to weather conditions and to aircraft jet blast was evaluated. Also, the effects of a high loading caused by aircraft wheels and other airport service vehicles on the pavement, and settlement or movement of adjacent apron were considered.

## 1 Introduction

### 1.1 Background

In October 2008, a leakage of aircraft fuel (JET-A1) was found at a thermal expansion joint located between an apron concrete pavement and a Pit box of an aircraft fuelling system at the International Airport of Belem, Brazil. The leakage was caused due to a rupture of a hydrant pipe elbow. In January 2009, a report was issued by the IPT (Technology Research Institute) assuring that the crack of the metal pipe occurred by a mechanism of ductile fracture due to bending stresses [1].

After this report, an “in-situ” investigation started with the support of the Federal University of Para. The purpose of this research was to determine the possible causes of the rupture, based on experimental tests at the same location of the failure, analysing the pavement mechanical behaviour and its correlation with the problem.

An excavation was performed after the leakage in order to drain the fuel and extract the fractured pipe section, as shown in Figure 1a.

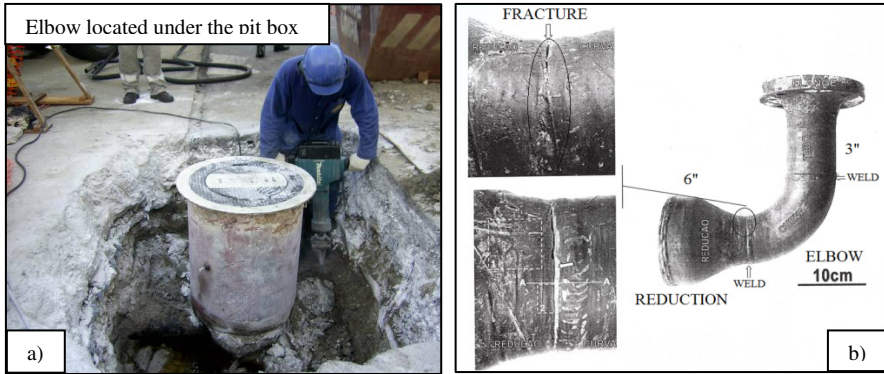


Fig. 1. (a)Pit box of the aircraft fuelling system and (b) the fractured elbow [1]

## 2 Experimental Tests Design

### 2.1 Apron Layout

The test was performed on an airport apron that consists of a concrete pavement 20 meters wide by 40 meters long, composed by 64 adjacent slabs. The pavement layout is shown in Figure 2.

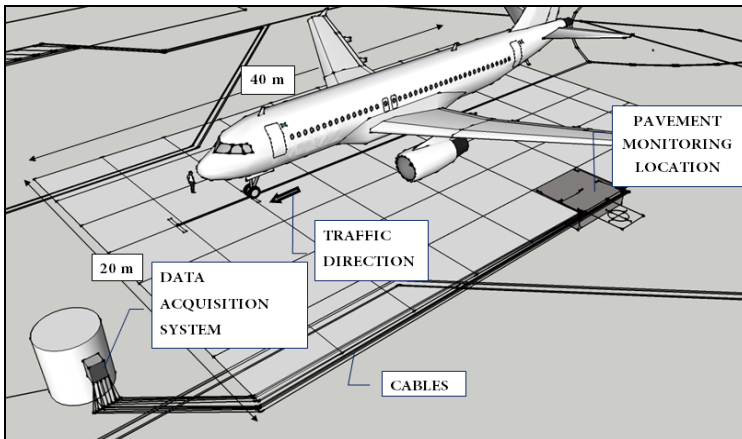
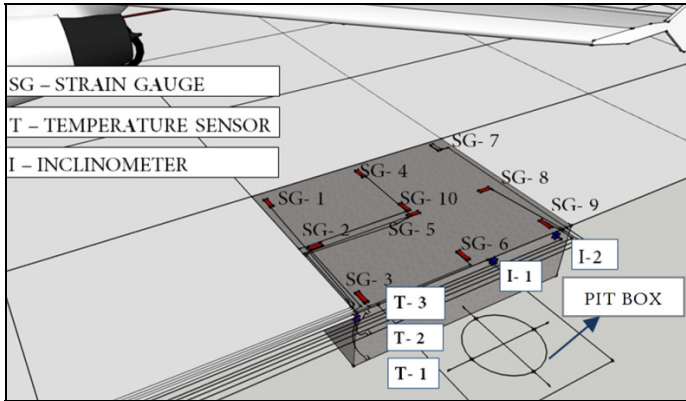


Fig. 2. Airport rigid pavement layout

### 2.2 Instrumentation

The main objective of the instrumentation was to obtain accurate data in order to evaluate the structural airport pavement behaviour under aircraft and service

vehicle loadings and weather conditions. Experimental tests were carried out during regular airport operation. Ten strain gages were placed on the surface of the pavement to measure strain values. These gages were connected to a data acquisition system that recorded the strains as the aircraft wheel passed over the pavement, and it was enabled to record a 10 Hz frequency of data sampling.



**Fig. 3.** Instrumentation layout

The pavement temperature was measured using equipment enabled to receive the analogical signal of three thermo-resistive sensors and send the collected data already as a digital signal by means of binary bases, containing IP address, and data function with error analysis to the Airport network infrastructure. Therefore, it was possible to evaluate a real time data collection remotely, at the engineering office located eight hundred meters far from the airport operational area.

Finally, the rotation of the plate was monitored using two inclinometers installed in the lateral surface of the pavement, one for each direction, as shown in Figure 3.

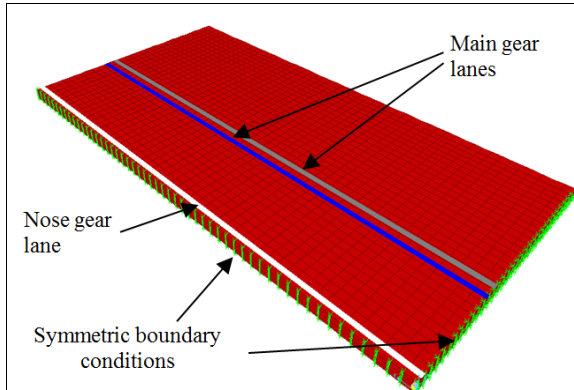
### 3 Pavement Modelling

#### 3.1 Pavement Model Overview

Finite element software SAP2000 was used to model the influence of aircraft loadings and weather conditions over the concrete pavement and also to verify if the displacement caused by its mechanical behaviour would be able to cause the aircraft fuelling system pipe rupture.

The pavement material properties considered in this study were the same adopted in its original design. The concrete pavement had compression strength of 42 MPa and 38 cm deep. A three-dimensional concrete pavement section was

analyzed, Figure 4. The pavement section was placed on a 10 cm-deep concrete base, with 15 MPa compression strength and subgrade layer represented by Winkler foundation [2]. Solid elements were used to mesh the model with the nodes coincident with strain gages locations.



**Fig. 4.** Pavement Model

Also, one type of aircraft, Airbus 320 (A320), was investigated, which represents the highest aircraft load over the apron. It was considered a quasi-static analysis to simulate the aircraft passage on the pavement. Table 1 shows the main characteristics considered in this model for this aircraft, according to Airbus Manual [3].

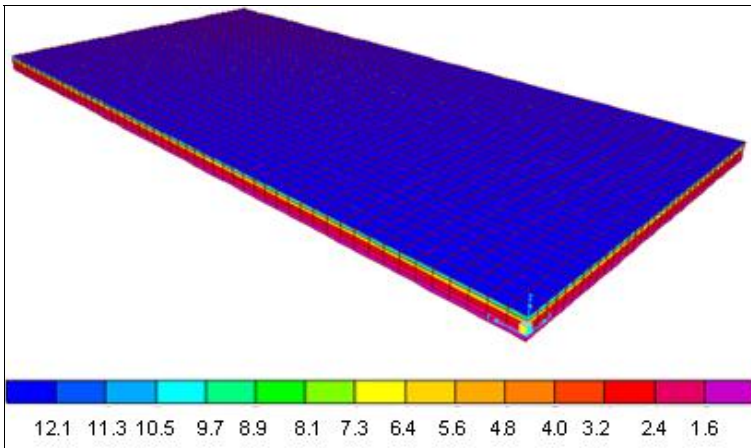
According to the data exhibited on Table 1, it was considered concentrated moving loads of 113.1 kN and 125.8 kN corresponding to nose and main gear tires on the lanes showed in Figure 4.

**Table 1.** Aircraft characteristics

	A320
Number of passengers	180
Maximum takeoff weight	75900 Kg
Maximum ramp weight	75500 Kg
Nose gear tire pressure	$1.103 \times 10^6$ N/m <sup>2</sup>
Main gear tire pressure	$1.227 \times 10^6$ N/m <sup>2</sup>

In this study the temperature gradient was assumed to be bilinear throughout the pavement thickness, one linear gradient distribution from top to mid and other from mid to bottom considering the measured temperatures of a typical day. The temperature gradient was applied in the FE model in order to take into account weather conditions effects, as shown in Figure 5.



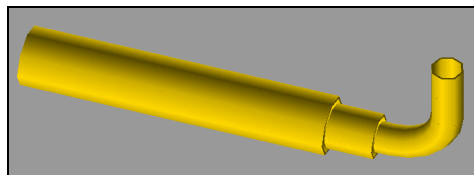


**Fig. 5.** Temperature gradient in °C

### 3.2 Pipe Model Overview

Finite element software ANSYS was used to estimate the influence of plates movement over the pipe. After the validation of the pavement model, values of displacement were obtained and applied to the pipe at the same direction of the thermal dilatation of the pavement influence.

The uniaxial element PIPE16 was used for the straight section of the pipeline considering tension-compression, torsion, and bending capabilities. The PIPE18 was used for the elbow, because this type of element considers a curved element, the geometry, the pipe diameter, radius of curvature, wall thickness, flexibility factors, internal fluid density and corrosion thickness allowance [4, 5], Figure 6.



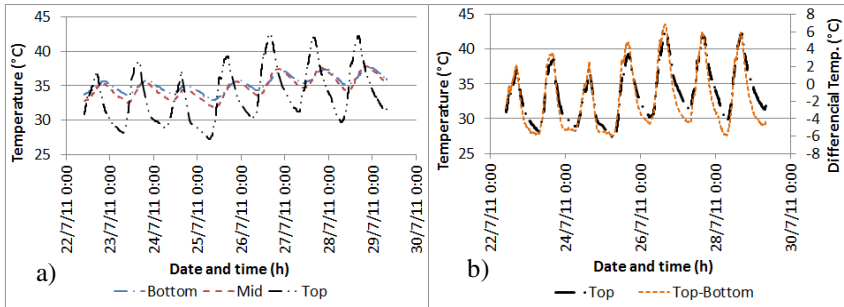
**Fig. 6.** Pipe modelling

The pipe section and properties adopted to build the model were the same presented at the fuelling system project and IPT report. The straight part of the pipe section measured approximately 0,17m of outside diameter and after it a reduction was considered and the elbow section measuring 0,09 m of outside diameter.

## 4 Results and Analysis

### 4.1 Experimental Results

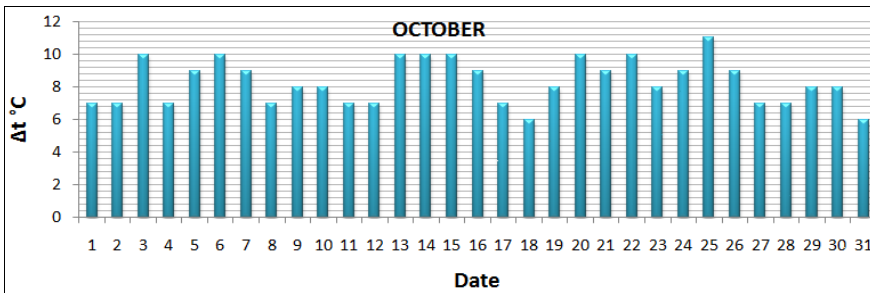
All tests were performed during one week beginning on 22 July 2011. After it, the collected data was organized. Figure 7-a shows the temperature measured at the pavement surface, mid-section and base, while Figure 7-b shows the surface and surface-base gradient temperatures measured at the same period.



**Fig. 7.** Pavement measured temperatures from 22 to 28 July 2011. (a) Surface, mid and base pavement temperatures. (b) Surface and surface-base gradient

It is possible to notice the cyclic behaviour of the temperatures during one day period (24 hours). Both base and mid temperatures are in phase but slightly out of phase compared with surface temperature, Figure 7-a. Comparing the surface and surface-base gradient temperatures, Figure 7-b, they are in phase and the temperature variations have the same magnitude, approximately 12°C.

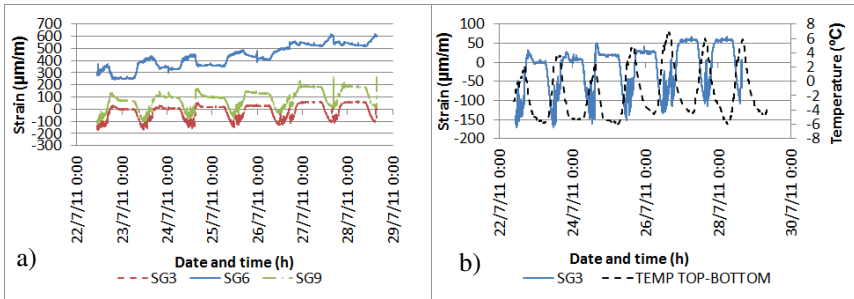
Although the pavement monitoring was not performed at the same period of the fuel leakage, the climate in the north of Brazil is equatorial, and the ambient temperature suffers small variations along the year. Figure 8 presents the daily ambient temperature variation measured in Belem International Airport at the period of the pipe failure, October 2008.



**Fig. 8.** Daily ambient temperature variation during October 2008

As expected, the maximum daily ambient temperature variation at the period of the pit box accident has approximately the same magnitude of the surface temperature pavement and surface-base gradient measured during the pavement monitoring.

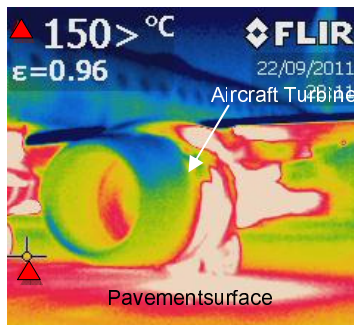
Figure 9-a shows the strain measured near to the pit box and the Figure9-b shows the correlation of a typical measured strain and the surface-base gradient temperatures. In the Figure9-a, the strain gage 6 (SG-6) signal presented a different behaviour compared to the two other signals measured along the same direction.



**Fig. 9.** Measured strain close to the pit box and surface-base gradient temperatures

The SG 6 was the strain gage fixed on the closest concrete surface to the pit box. An inspection evidenced that the concrete surface had been repaired with grout after damages caused by the pit box excavations. In the Figure 9-b, the measured strains also presented a cyclic behaviour. However, it is not in phase with the surface-base gradient temperature. Certainly, it is related with the complex thermal behaviour of the pavement.

A thermographic camera was used to measure the temperature on the pavement surface due to the aircraft jet blast. The jet blast influence on the pavement surface temperature is related with aircraft operation and type. Usually, the aircraft stops on the apron with engines turned off. Figure 10 shows the great observed pavement heating due to the jet blast where the surface temperature around the aircraft turbine reached more than 150° C.



**Fig. 10.** Thermal influence of Aircraft turbine

Despite the high localized temperature just below the turbine of the aircraft, analysing the measured strains, at the periods of aircraft arrival, it was not possible to identify large increase in measured strains due to the jet blast.

### 4.2 Numerical Analysis

After evaluating the experimental results to perform a calibration of the FE model, it wasobtained a lateral displacement of approximately 0.7 mm, as shown in Figure 11.



Fig. 11. Lateral displacement of the pavement (0.697mm)

The pipe bending stresses were evaluated considering the maximum lateral displacement of the concrete pavement obtained with the pavement FEM model. Figure 12-a shows mechanical behaviour of the pipe considering the direction in which the pavement moves towards the pipe, and Figure 12-b shows the opposite direction of the displacement.

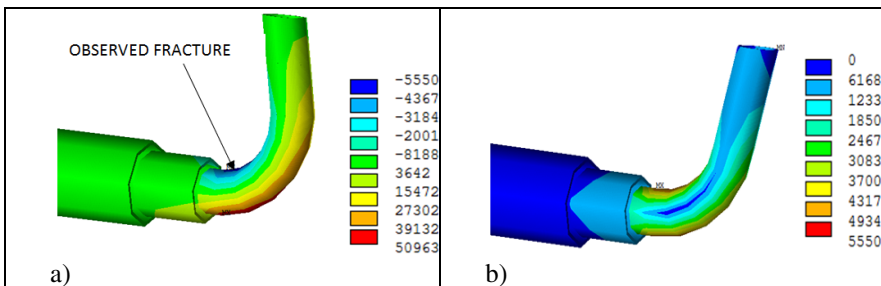


Fig. 12. Pipe stresses (N/m<sup>2</sup>)

Figure 12-a represents the situation of the heating of pavement where it moves towards the pipe, while Fig 12-b corresponds the cooling of the pavement. Tensile stresses in the region where it was observed the fracture occur with the pavement cooling. The pipe maximum tensile stresses reached only 0.055 MPa.

## 5 Discussion and Concluding Remarks

According to API 1540 recommendation, the aircraft fuelling system design should consider that high loadings can be imposed on hydrant pit boxes from aircraft wheels, tugs, and other service vehicles or from settlement or movement of adjacent aprons to prevent the transmission of these loadings to hydrant risers (to which the hydrant pit valve is fitted). Each hydrant pit valve should be effectively isolated from its hydrant by means of a sealing arrangement that can accommodate both lateral and vertical differential movement [6]. Also the fuel line shall enter the side of the pit; bottom entry shall not be allowed [7].

Therefore, based on the results of this study, the following conclusions have been drawn:

- The original design of the pit box does not attend to international recommendations;
- The temperature gradients of the studied pavement under traffic load caused a maximum lateral displacement of approximately 0.7 mm;
- Considering the maximum lateral displacements, the pipe stresses are many times inferior to the pipe's strength.

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# Rehabilitation of Cracking in Epoxy Asphalt Pavement on Steel Bridge Decks

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**Abstract.** Cracking is the main distress mechanism for epoxy asphalt concrete pavements on bridge steel decks. However, there are no sealing materials and techniques for crack in bridge deck pavements. To solve this problem, a chemically cured sealant for epoxy asphalt pavement on bridge steel deck has been developed and evaluated. Viscosity test, tensile test, pull-out test, tensile bond behavior test and shear bond behavior test were conducted in the laboratory, and the newly developed sealant proved to have rather good workability, bulk performance, interfacial performance and cooperative behavior with epoxy asphalt concrete. Monotonic and fatigue beam bending tests were also employed to assess the effects of different sealing techniques. The tests results showed that the bending strengths of sealed beams are approximately the same as undamaged beams while their fatigue lives are significantly shorter than the undamaged ones. Fatigue equations of undamaged beams and sealed beams were developed based on the fatigue test results. They provide ways to predict fatigue lives of the epoxy asphalt steel deck pavements both before and after sealing.

## 1 Introduction

Epoxy asphalt concrete has been proved to be an excellent material for steel deck pavement. It has been widely used in the steel bridge pavements all over the world, especially in China [1]. However, investigations show that cracking is the major distress of epoxy asphalt pavements [2]. Once cracks appear on a pavement surface, the pavement structure will become discontinuous. If no appropriate treatments be taken, this may lead to further deteriorations like potholes, debonding and even the rusting of the steel deck. These may significantly reduce the serviceability and the service life of the bridges. So it is quite necessary to take measures as soon as cracks appearing in the steel deck pavement.

The cracks are handled in many ways [3-6], ranging from pavement maintenance activities, such as surface treatments and crack sealing, to full-scale pavement rehabilitation projects, like overlay and resurfacing. The cost-effectiveness analyses have also been conducted in the Strategic Highway Research Program (SHRP), in which the maintenance treatments such as crack sealing were found to be most cost-effective [7]. However, there is no cure-all sealing material and technique that can deal with all kinds of cracks, the sealing material and technique are still being researched all over the world.

Over the past two decades, a new generation of highly modified crack sealants has been introduced to the market [8]. These products essentially fall into three families based on their chemical composition and manufacturing process: cold-applied thermoplastic materials, hot-applied thermoplastic materials and chemically cured thermosetting materials [9]. However, for the lack of effective evaluation, in many cases, the exact cause for sealant failure remains unknown, successful sealant installation can't be repeated [10]. In addition, the sealants mainly aim at treating the cracks in highway pavement. Sealant products for steel deck pavement can rarely be found.

As to sealing technique, according to the researches and the practical experiences, sealants can be placed into cracks in numerous configurations, and these configurations can be grouped into four categories: flush-fill, reservoir, over band and combination (reservoir and overband) [9]. However, few studies about the sealing techniques for crack in steel deck asphalt pavement could be found. The following questions remain to be solved: (a). whether the configurations can fit the crack in steel bridge pavement or not, and (b). which sealant configuration is most effective when using in steel deck pavement.

Cracks appear frequently in the steel deck pavement in China due to heavy overloads and severe environment conditions. However, only since recent years has the importance of maintenance of steel deck pavements been recognized, most crack treatments are copies of the highway pavement as mentioned above. The differences between structural condition and the stress mode of the highway and the steel deck pavement lead this copy to certain failure. In this case, the sealant product for cracks in steel deck pavement is urgently needed, and suitable techniques should also be investigated to find out an effective way to install the crack sealants.

### **3 Objective**

This paper presents a development and assessment of a crack sealant for epoxy asphalt pavement on steel bridge deck. The effect of different crack sealing techniques used on steel deck epoxy asphalt pavement is also evaluated to find a suitable sealing material and technique for crack in steel bridge deck pavement.

## **4 Materials and Method**

### ***4.1 Sealant Development***

The chemically cured thermosetting materials have been widely used in recent years for their good performances. Epoxy asphalt concrete is also a thermosetting material which is mixed with epoxy asphalt and high quality aggregates. Considering the similar performances and the good compatibility with epoxy asphalt mixture, the epoxy resin was selected to develop a chemically cured thermosetting sealant.

*Selection of the raw material.* The primary adhesive and curing agent are two main compositions of a chemically cured sealant. Based on the requirement of the

raw material and the results of market investigations, liquid bisphenol-a type epoxy resin was selected as the primary adhesive due to its low initial viscosity. Meanwhile, mixed amines were selected as the cure agent, since no single amine could lead to a good effect. A chemical analysis was conducted to the selected primary adhesive and curing agent, the results showed the epoxy value of the primary adhesive was 0.43, which means a small initial viscosity. The active hydrogen equivalent of the cure agent was ranging from 110 to 120, which will be used to calculate the mixing percentage of the epoxy resin and the amines as presented below.

*Mixing percentage.* The mixing percentage of the primary adhesive and the cure agent can be estimated by Eqn. (1):

$$M_{ca} = AHE \times EV \tag{1}$$

Where  $M_{ca}$  is the mass portion of the cure agent in 100g primary adhesive, AHE is the hydrogen equivalent of the cure agent and EV is the epoxy value of the primary adhesive. The  $M_{ca}$  was calculated to be 47.3 to 51.6 according to Eqn (1).

To determine a more accurate mixing percentage of the cure agent and the primary adhesive, the tensile test was employed following ASTM D638-08. Tensile specimens with  $M_{ca}$  ranging from 40 to 60 were prepared and tested at 23°C after curing, as shown in Figure 1. The test results were listed in Table 1.



(a) The tensile test specimens



(b) The tensile test equipment

**Fig. 1.** The tensile test of the developed sealant

**Table 1.** Tensile Test Results of the Sealant with Different Mixing Percentages

Technical Indexes	Mca / mass portion				
	40	45	50	55	60
Tensile strength/MPa	7.5	9.0	10.2	10.5	10.0
Fracture elongation /%	96.2	90.4	86.9	83.2	81.7

It can be found from Table 1 that the tensile strength reaches the peak value when the mass portion is 55. However, the fracture elongation of the specimens decreases with the rising of the mass portion. On the other hand, the tensile



strength and the fracture elongation don't vary a lot when the mass portion of the cure agent changing from 50 to 60. So taking a comprehensive consideration of the tensile strength, the fracture elongation and the operation convenience, the mass portion of the cure agent is determined as 50. It means that the mass ratio of the primary adhesive and the cure agent is 2:1.

### 4.2 Epoxy Asphalt Mixture Specimens

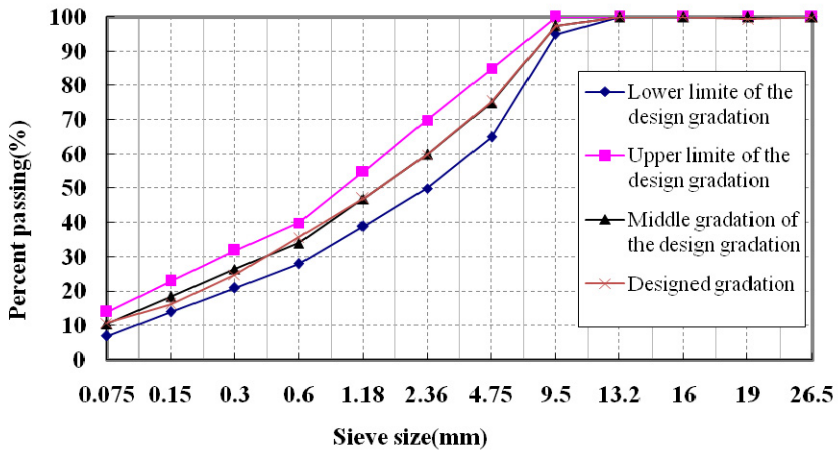
*Materials Preparation.* Two main materials are involved in the test. The details of preparing for both materials and specimen are introduced below.

The binder used in the test is 2910-type local epoxy asphalt, which is composed of two components marked as A and B. Component A is the epoxy resin while component B consists of petroleum asphalt and curing agent. The basic information of the material is given in Table 2.

The basalt and the limestone powder for steel bridge pavement are selected as aggregate based on the practical engineering. The max aggregate diameter is 13.2mm. The gradation curve for the aggregate is shown in Figure 2.

**Table 2.** Technical Index of 2910-Type Local Epoxy Asphalt

Technical Indexes	Measured Value	Criteria	Test Method
Mass ratio (A:B)	100:290	100:290	
Tensile strength (MPa, 23°C)	3.26	≥2.0	ASTM D 638
Fracture elongation (% ,23°C)	242	≥200	ASTM D 638
Viscosity from 0 to 1 Pa·s(min)	110	≥50	JTJ052-2000



**Fig. 2.** Designed gradations in the test

*Specimen Preparation.* Based on the Marshall mixture design procedure, the optimum asphalt content was determined as 6.5%. The asphalt mixtures were shaped to slabs and cut to the beams and cubes for the tests. Firstly, the binder and the aggregate were mixed at 125°C. After reserved for 40min at 120°C, a slab specimen was shaped with roller. Then, after curing for 5h at 130°C, the slab was ready to be cut. Three replicates were prepared for each test.

### 4.3 Evaluation Criteria and Method of Material

*Working Mechanism.* The structural condition and the stress mode of the highway pavement vary a lot from those of steel deck pavement [11], as shown in Figure 3.

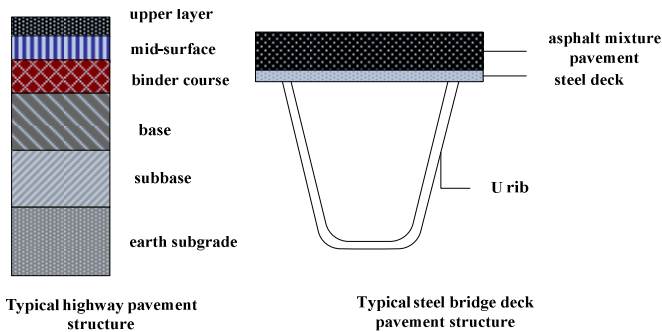


Fig. 3. Comparison between highway pavement and steel deck pavement

Because of the different structural conditions, the maximum tensile stress and strain often appear at the bottom of the surface layer in highway asphalt pavement, while the tensile stress and strain peaks often appear at the top of the surface layer in the steel deck pavement. Therefore, most highway asphalt pavement cracks are bottom up cracks due to the tensile stress at bottom of the asphalt layer and top-down cracks caused by the shearing stress on the top of the surface [12]. However, in the steel deck pavement, most of the initial cracks are top-down cracks due to the tensile stress on the top on the steel deck pavement [13]. In this case, the evaluation criteria of sealant for steel bridge pavements should be different from those for highway pavements.

*Criteria.* The existing crack sealant often failed either cohesively or adhesively. Cohesive failure, characterized by the fracture of the sealant in the bulk, but still adhered to the crack walls; while on the other hand, the adhesive failure, a debonding near the sealant/asphalt concrete (AC) interface, is much more common. So both the bulk properties and the interfacial properties of the sealant should be evaluated to make sure it works well. In addition, the workability is also an important index to the sealant, especially to the chemically cured sealant, it may affect the effect of the crack sealing significantly. So the assessment of the sealant referred to three main items: bulk property, interfacial property and workability.

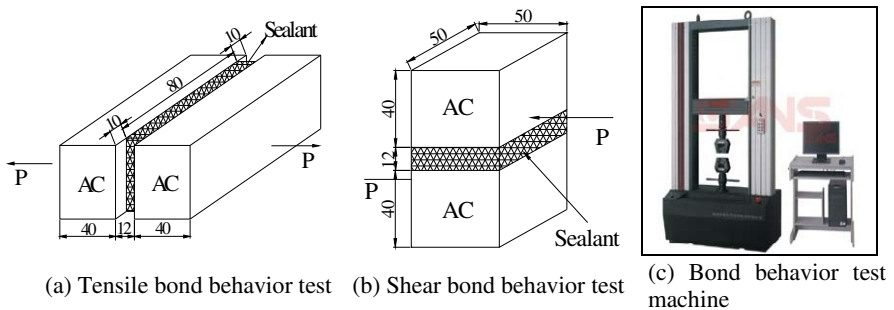
Criteria of crack sealants for steel deck pavement were proposed, as presented in Table 3, through a comprehensive consideration of the characteristics of steel deck pavement and the performance requirements in some standards listed below:

- One-component silicone resin sealing material for concrete pavement joints (ASTM D5893-04);
- Classification and requirements for building sealants (ISO/DIS 11600-2000);
- Sealants for building joint of concrete construction (JC/T881-2001);
- Jointing sealants for concrete bridge and other concrete trafficable by vehicles (JC/T 976-2005);
- Epoxy grouting for concrete crack (JC/T 1041-2007).

**Table 3.** Criteria of Crack Sealants for Steel Deck Pavement

Item	Content	Criteria	Test Method
workability	initial viscosity /mPa·s	<800	ASTM D2393
	operable time /min	>30	ASTM D2393
bulk property	tensile strength /MPa	≥8	ASTM D638
	fracture elongation /%	≥60	ASTM D638
interfacial property	adhesive strength /MPa	≥3	GB/T 5210

*Other Method.* Besides the criteria above, there were two more tests employed here to evaluate the cooperative behavior of the sealant and the AC: tensile bond behavior test and shear bond behavior test, as shown in Figure 4. The sealant was filled into two epoxy asphalt mixture cubes at 23°C and then cured. The tensile strength and the shear strength of the specimens would be tested respectively following the test method GB/T 13477.



**Fig. 4.** The cooperative behavior test of the sealant and AC

#### 4.4 Evaluation Methods of Sealing Technique

The beam bending strength test and beam bending fatigue test are adopted to compare the sealing effect of different configurations.

Firstly, some 63.5mm×50mm×381mm epoxy asphalt mixture beams were prepared and divided into two subsets: one subset was kept as is it and the other subset was cracked artificially at the midpoint and then sealed with the newly developed sealant. According to the crack conditions, the sealed beams could be also divided into two groups: the completely-fractural beams and the partly-fractural beams. The completely-fractural beams are those beams which fracture to two halves while the partly-fractural beams are the beams with a crack depth ratio of 0.5. Six types of the crack channel configurations were prepared for the effect comparison, which were 5mm×5mm, 10mm×5mm, 20mm×5mm, 5mm×10mm, 10mm×10mm, 20mm×10mm along longitudinal and vertical directions. Then the cracks were sealed with the developed sealant above. At last, after the sealant was cured, the beam bending strength test was conducted at 15°C to determine the maximum bending strength of the beams. The Four point bending fatigue test was then conducted using the Universal Testing Machine (UTM) at 15°C. Controlled-stress loading mode was selected in the fatigue test. The semi-sinusoidal wave was used at 10Hz, and 0.40、0.45、0.50 were determined as the stress ratio according to the relative research[14]. The control stress could be calculated through multiplying the maximum bending strength of the beams by the stress ratio. Three replicates were prepared for each testing.

## 5 Results and Discussions

### 5.1 Sealants

*Workabilities.* The viscosity test was employed to evaluate the work abilities of the sealant using a Brookfield rotational dial viscometer with a rotating speed of 100 rpm (29<sup>#</sup>). The results were recorded in the Figure 5. It can be found easily that viscosity of the sealant increasing with the curing time growing. The initial viscosity of the sealant is 133mPa·s, and the viscosity after curing for 30 minutes is 260mPa·s. Both of them can meet the criteria of the sealant for steel deck pavement well as listed in Table 3.

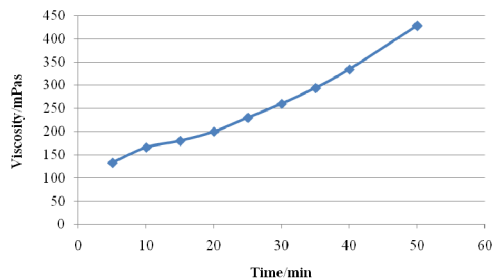


Fig. 5. The viscosity-time curve of the sealant

*Bulk Performance.* Studies on crack sealants have mostly focused on their bulk properties [15]. Manufacturers have done much to improve the bulk properties of the sealant over the years. This has resulted to sealants with good cohesion. It also can be found in this study that the sealant has a rather good bulk performance, as listed in Table 1, the tensile strength and the fracture elongation are 10.2MPa and 86.9% respectively, which could satisfy the criteria in Table 3 well.

*Adhesive Performance.* The interfacial property of the sealant and the epoxy asphalt mixture was examined through the pull-out test. Two groups of total six replicates were examined and the average adhesive strength is 3.28MPa. The test result is much greater than the adhesive requirement of the bonding layer material, which is 2.75Mpa [16], and it also meet the requirement in Table 3 well. However, on the other hand, the fractural sections in the test are all at the interface between the sealant and the epoxy asphalt mixture, indicating that the interfacial performance is still a weak point of the sealant.

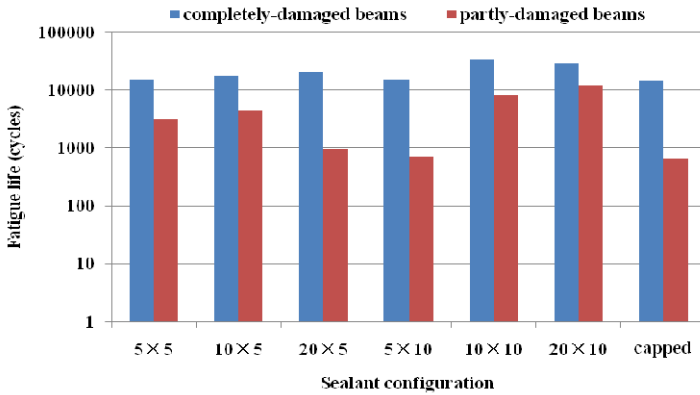
*Cooperative performance.* The average results of the tensile and shear bond behavior are 4.152MPa and 2.852MPa respectively. The fractural sections are both at the interface between the sealant and the epoxy asphalt mixture. It is proved again that the bulk performance of the sealant is much better than the interfacial performance, so more efforts should be taken to improve the interfacial performance of the sealant in the future.

## 5.2 Sealing Techniques

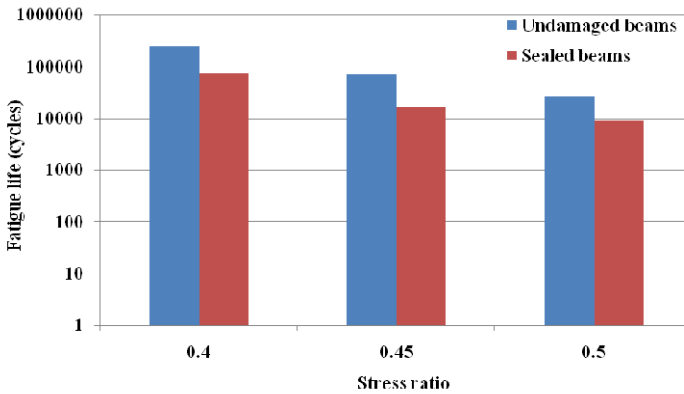
*Beam Bending Strength Test.* The beam bending strength test was conducted to the undamaged beams and the sealed beams. The average bending strength of the undamaged beams is 19.14MPa. The bending strength of the sealed beams with different channel configurations don't vary a lot, the results are ranging from 18.34MPa to 19.40MPa, and the average bending strength is 18.78MPa, not much smaller than that of the undamaged beams.

A special phenomenon was observed in the tests that the fracture sections were neither at the bulk of the sealant nor at the interface of the sealant and the AC, but at the epoxy asphalt mixture near the formal crack. The reason might be that the bulk performance and the interfacial performance are larger than the bending strength of the epoxy asphalt mixture at 15°C. So it also explained why the bending strength of the sealed beams are not different from that of the undamaged beams.

*Beam Bending Fatigue Test.* The fatigue tests have been conducted at 15°C and the results are presented in Figure 6.



(a) Fatigue life of sealed beams with different sealant configurations



(b) Comparison between fatigue lives of undamaged beams and sealed beams

**Fig. 6.** Fatigue test results of different beams under 15°C

Figure 6(a) shows the test results of sealed beams with different sealing configurations. The tests have been conducted to the completely-fractural beams and partly-fractural beams, with a control stress of 8.0MPa. It can be indicated from Figure 6(a) that the completely-fractural beams have a better sealing effect than the partly-fractural beams. The reason is that the completely-fractural beams can be sealed more completely and easily. Although the crack channel may also make the sealant fill into the crack deeper, it’s difficult to make sure the sealant filling into the full depth of the crack.

In addition, it also can be found from Figure 6(a) that the 10mm×10mm and 20mm×10mm sealant configurations have better sealing effects. The reason might be that the 10mm cut in depth can make the sealant filling deeper into the crack and therefore bring a better sealing effect than the 5mm depth. Meanwhile, the 10mm and 20mm cut in width may add the flexibilities of the epoxy asphalt mixture beams, and then leading to a longer fatigue life.

Figure 6(b) presents the test results of the sealed beams and the undamaged beams under different stress ratios. The sealed beams with 10mm×10mm sealant configuration were selected in the test for its good sealing effect. Figure 6(b) shows that the fatigue lives of sealed beams are significantly smaller than that of the undamaged beams. It also can be observed from Figure 6(b) that the fatigue lives of both two kinds of beams appeared linear law in the logarithmic coordinate system. So Eqn. (2) is adopted to determine the relationship between the fatigue life  $N_f$  and the control stress  $\sigma$  [17]:

$$N_f = K \left( \frac{1}{\sigma_1} \right)^{c_1} \quad (2)$$

Assuming  $K_1' = \lg K_1$ , and taking logarithm to the both sides of Eqn (2), it may transfer to Eqn. (3)

$$\lg N_f = K_1' - c_1 \lg \sigma_1 \quad (3)$$

Where  $N_f$  is the fatigue life of the beams,  $\sigma_1$  is the control stress in the fatigue test,  $K_1'$  and  $c_1$  are regression coefficients.

After a regressive analysis to the results in Figure 6(b), the fatigue equations of undamaged beams and the sealed beams can be determined respectively, as Eqn. (4) and Eqn. (5)

$$\lg N_f = -9.3305 \lg \sigma_1 + 69.545 \quad (4)$$

$$\lg N_f = -9.6744 \lg \sigma_1 + 71.323 \quad (5)$$

Eqn. (4) and Eqn. (5) have provided ways for the life prediction of the epoxy asphalt steel deck pavements before cracking and after sealing.

## 6 Summaries and Conclusions

This paper presents an assessment to a newly developed crack sealant for steel deck epoxy asphalt concrete pavement, the effect of different sealing techniques have also been evaluated. The results are listed below:

A chemically cured crack sealant for epoxy asphalt steel deck pavement was developed, and the evaluation criteria for the sealant were also determined. The workability, bulk performance, interfacial performance and cooperative performance of the developed sealant were assessed through viscosity test, tensile test, pull-out test, tensile bond behavior test and shear bond behavior test. The test shows that the developed sealant can satisfy the requirements and criteria of the steel deck pavement crack sealant well. The pull-off test, cooperative performance test and the fatigue test results all presented that the fracture section were at the interfacial between the sealant and the epoxy asphalt mixture. It can be indicated that the interface between the sealant and the epoxy asphalt mixture are the most possible position of sealing failure. So efforts should still be made to improve the interfacial behavior of the sealant and the epoxy asphalt mixtures.

The beam bending strength test was employed to evaluate the ultimate bearing capacity of the undamaged epoxy asphalt mixture beams and the sealed beams. The results show that both of the bending fractures occurred at the epoxy asphalt mixture sections, and the bending strength of the sealed beams do not vary a lot from that of undamaged ones at 15°C. The fatigue test results of sealed completely-fractural beams and sealed partly-fractural beams show that the sealing effect of completely-fractural beams is better than that of partly-fractural beams. The 10mm×10mm and 20mm×10mm sealant configurations were proved to have better sealing effects than other sealant configurations. The fatigue results of undamaged beams and sealed beams under different control modes show that the fatigue lives of sealed beams are significantly smaller than that of undamaged beams.

The fatigue equations of undamaged beams and sealed beams at 15°C were regressed, and they have provided ways for the life prediction of the epoxy asphalt steel deck pavements before cracking and after sealing.

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# Long-Term Pavement Performance Evaluation

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**Abstract.** Hot mix asphalt (HMA) performance in terms of fatigue resistance is a well-developed area of pavement design worldwide. Different equipment is available to predict the fatigue performance due to the well supported technical background. Sophisticated pavement design methods utilise the asphalt performance to predict pavement performance and the relative performance comparison between different mix types is also feasible. The research work presented in this paper provides an analytical approach to validate laboratory fatigue tests and in-service pavement performance. Large diameter cores (320 mm) were taken from heavily trafficked heavy duty pavement structures and subsequently 2 point bending tests were performed on the cut specimens. The pavement response to loading derived from the FWD measurement was compared to the performance obtained from the laboratory fatigue tests and the remaining life of the pavement structure was assessed.

## 1 Background and Scope of the Investigation

The determination of the remaining structural useful life of the pavement structures is one of the most interesting, but most difficult tasks of pavement engineering. Reliable calculation of the allowable loading of an existing roadway can be beneficial for the asset owner, since predicting the future behaviour results in reliable allocation of the resources. Economic advantages for the asset owner/manager have been proven previously [1]. The general mechanistic procedure (GMP) is limited to the assessment of load associated distresses. The method uses computer software to determine critical strain responses in pavement layers resulting from the static application of a standard reference load. The critical responses assessed for asphalt materials is the horizontal tensile strain at the bottom of the layer and for subgrade and selected subgrade material it is the vertical compressive strain at the top of the layer [2,3]. The GMP requires the design moduli for existing pavement layers and the subgrade to be estimated as accurately as possible, for example by back-calculation [4]. Once the design moduli of the existing pavement layers and subgrade have been determined, calculations can be performed. The critical location in the pavement for the calculation of strains for an asphalt overlay is the bottom of the overlay. This approach considers that the existing asphalt layer(s) are in cracked condition and limits the stiffness of the asphalt material for pavement design purposes. This approach has been proven reliable and takes the uncertainties of the pavement design properties into consideration.

The considerations highlighted in this paper do not provide critical analysis of the above procedure, but highlights the benefits and difficulties of the other approach, where the material properties of the existing asphalt layers are not limited. In this approach, the critical strains in the asphalt layers are calculated directly from the measured deflection bowl. The bearing capacity and the pavement response to a certain wheel loading can be evaluated by different types of test equipment. In this research the Falling Weight Deflectometer (FWD) was utilised, which has a high capacity and also provides a high reliability level. The deflection bowl can be captured exactly using a high number of geophones attached to the measurement frame, which ensures that the shape of the deflection bowl can be described by reliable mathematical functions. The parameters of the mathematical functions for describing the deflection bowl provide the basis of the analysis and evaluation of the curvature of the deflection bowl. The curvature in this analysis is defined as being the reciprocal of the radius of the deflection bowl at a certain distance from the loading centre. By utilising the curvature of the deflection bowl and also considering basic linear elastic theory the actual strain in the asphalt layers can be calculated.

In the first phase of the research work FWD tests were performed in the outer wheel path (OWP) and between wheel paths (BWP) using the approach described by Molenaar et al. [5]. Following the measurement the curvature values were calculated applying the new method as described in this paper. In the second phase of the research the fatigue properties of the existing asphalt layers were evaluated using a new method developed by the authors. The fatigue parameters of a hot mix asphalt can be determined by means of a cyclical fatigue test; the most common test methods are the two point bending (2PB) test on a trapezoidal asphalt specimen or the four point flexural bending test (4PB) using an asphalt beam specimen [6]. The procedure of sample preparation is labour intensive for laboratory mixes; however, deriving samples from existing asphalt layers can be even more difficult and requires extra care and effort. Therefore fatigue relationships are mainly collected for new production mixes and experiences with fatigue properties are largely related to those new mixes. In order to be able to estimate the in-service fatigue properties of existing asphalt layers a new core drilling method had been developed and applied, where the cylinder of the drilling equipment is larger than usual. After extraction of these large cores trapezoid specimens can be cut out by means of a precision saw-cut machine.

Based on the GMP it is usually accepted that the existing asphalt layers do not have significant impact on the pavement structural capacity. This is explained by the hypothesis that the existing (old) asphalt layer in the reconstructed pavement structure will soon be in a cracked condition and the newly constructed asphalt layer will be the critical layer. This approach takes the uncertainty of the existing pavement into account and provides a high reliability in the pavement design process; however, the associated construction costs are also high. In existing heavy duty pavements, where the existing (old) asphalt layers are relatively thick and the asphalt layers are in relatively good condition, it can be envisaged that the existing asphalt layers are structurally sound and can greatly contribute to the overall bearing capacity of the pavement structure. When sufficient friction can be achieved between the existing and new asphalt layers, the upgraded structure will be bent together, and maximum strain will occur at the bottom of the existing

asphalt layers. In this case the fatigue properties of the existing asphalt layers are highly important.

The on-site and laboratory testing described in this paper was carried out by the Budapest University of Technology, Department of Highway and Railway Engineering under the supervision of the authors in 2009 and 2011.

## 2 Mathematical Description of the Deflection Bowl

FWD setup usually utilises 7-10 geophones (Figure 1). The outputs of FWD testing are plots of the deflection bowl constructed from deflections at various offsets from the load centre. Mathematical functions can be applied using the measured surface deflections; these mathematical functions can be then analysed and evaluated to derive information from the behaviour of the existing pavement structure. In this investigation the curvature of the deflection bowl was determined according to the adjusted ‘Witch of Agnesi’ [7]. The deflection bowl can be described according to Eqn. (1):

$$D(x) = \frac{D_0 \cdot 3r^2}{(\alpha \cdot x)^\beta + 3r^2} \tag{1}$$

Where:

- $D_0$  = maximum deflection under the loading plate (mm)
- $r$  = radius of the loaded plate (mm)
- $\alpha, \beta$  = coefficients which describe the shape of the bowl
- $x$  = offset to the maximum deflection, in horizontal direction (mm)

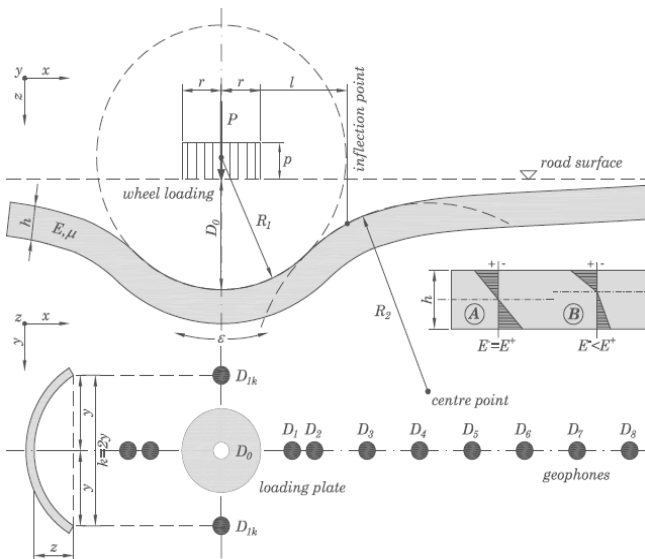


Fig. 1. Explanation of the curvature and strain distribution in the pavement structure

It is proven that the minimum radius of the curvature at the position  $x_1=0$  can be calculated according to Eqn. (2). Coefficient  $c$  is introduced as the substitution of the shape coefficients  $\alpha$ ,  $\beta$  in order to make the equation simpler. Derivation of Eqn. (2) can be found in [8]:

$$R_1(x_1) = -1,5 \frac{r^2}{c \cdot D_0} \quad (2)$$

Where:

- $R_1$  = maximum radius of the deflection bowl (m)
- $r$  = radius of the loaded plate (mm)
- $c$  = coefficient which describe the shape of the bowl
- $D_0$  = maximum deflection (mm)

The application of mathematical functions fitted to the measurement points of the deflection bowl ensures the practical use of other parameters as area-index, or stiffness index. Based on a detailed analysis of test results collected previously on rigid and flexible pavements revealed that the distance of the inflection point to the load centre is significantly different for different types of pavement structures. Based on this phenomenon it provides a suitable means for non-destructive analysis. The strain response to loading can be calculated directly from the geometry of the deflection bowl, without applying any difficult regression equation subject to the curvature and the asphalt thickness being known. The strain value is a relative number ( $\varepsilon$ ) and can be calculated at the bottom of the pavement which has a thickness of  $h$  according to Eqn. (3):

$$\varepsilon(x) = \frac{h}{2R(x)} = \kappa(x) \frac{h}{2} \quad (3)$$

Where:

- $\varepsilon(x)$  = strain value at the bottom of the asphalt layer, at a horizontal offset  $x$  (m)
- $h$  = overall thickness of the asphalt layers (m)
- $R(x)$  = radius of the deflection bowl, in a horizontal offset  $x$  (m)
- $\kappa(x)$  = curvature of the deflection bowl, in a horizontal offset  $x$  (m)

In this paper Eqn. (3) and the related calculations were utilised.

Estimating the Strain Response of the Asphalt Layer Based on the Deflection Bowl Parameters

FWD test series were carried out on two different heavy duty pavement structures, on the Hungarian motorway network. The applied target load was 50 kN at a contact stress of 707 kPa.

## 2.1 Investigation on the Highway M2

Highway M2 is a heavy duty pavement carrying heavy traffic between Hungary and Slovakia; the section in scope was constructed in 1996. The road formation

consists of a single carriageway which provides access for a single lane in each direction. The pavement structure consists of multiple asphalt layers on stabilised base layers as described in Table 1.

**Table 1.** Pavement structure, Highway M2

Layer description	Thickness (mm)
Asphalt layers	220
SAMI	5
Cement stabilised layer	250
Subgrade	infinite

One set of the test series was conducted in the outer wheel path of the northbound lane, and an other set of the test was repeated in the same lane between the wheel paths. According to the mathematical approach described earlier, the radii of the curvature were calculated and the statistical analysis of the results is tabulated in Table 2.

**Table 2.** Statistical analysis of the curvature radii, Highway M2

Statistical analysis, Highway M2, curvature radii	Outer wheel path (OWP)	Between wheel paths (BWP)	Difference (%)
Number of test points	35	36	n/a
Mean value (m)	1017	1112	109%
Standard deviation (m)	685	642	n/a
Coefficient of variation	0.67	0.58	n/a

Based on Table 2 it can be noted that the curvature value calculated, between the wheel paths provided higher values, which can be related to better load distribution properties. This is usually expected, because of the unloaded nature of the pavement structure between the wheel paths. After utilisation of Eqn. (3) the strain values can be estimated. The results are summarised in Table 3.

**Table 3.** Statistical analysis of the estimated tensile strain at the bottom of the asphalt layers, Highway M2

Statistical analysis, Highway M2, calculated strains	Outer wheel path (OWP)	Between wheel paths (BWP)	Difference (%)
Number of test points	35	36	n/a
Mean value (microstrain)	154	142	92%
Standard deviation (microstrain)	81	78	n/a
Coefficient of variation	0,52	0,55	n/a

## 2.2 Investigation on the Motorway M3

The pavement structure of Motorway M3 was constructed in 1979 and the road section provides connection to the Eastern part of the country and Eastern Europe. The traffic intensity is very high, with a high percentage of heavy vehicles using the roadway. The pavement structure consists of multiple asphalt layers on stabilised base layers as described in Table 4.

**Table 4.** Pavement structure, Motorway M3

Layer description	Thickness (mm)
Asphalt layers	303
Cement stabilised layer	200
In situ stabilisation	150
Subgrade	infinite

A FWD test was conducted on the westbound carriageway, in the outer wheel path of the slow lane and the fast lane, respectively. The slow lane was considered as the heavily loaded traffic lane and the fast lane was considered as the unloaded part of the pavement structure, since the fast lane is mainly used by light vehicles. Statistical analysis of the radii calculated from the FWD testing is provided in Table 5. The estimated strains are tabulated in Table 4.

**Table 5.** Statistical analysis of the curvature radii, Motorway M3

Statistical analysis, Motorway M3, curvature radii	Slow lane, OWP	Fast lane, OWP	Difference (%)
Number of test points	67	57	n/a
Mean value (m)	343	651	190%
Standard deviation (m)	154	351	n/a
Coefficient of variation	0.45	0.54	n/a

**Table 6.** Statistical analysis of the estimated tensile strain at the bottom of the asphalt layers, Motorway M3

Statistical analysis, Motorway M3, calculated strains	Slow lane, OWP	Fast lane, OWP	Difference (%)
Number of test points	67	57	n/a
Mean value (microstrain)	539	296	55%
Standard deviation (microstrain)	271	134	n/a
Coefficient of variation	0.50	0.45	n/a

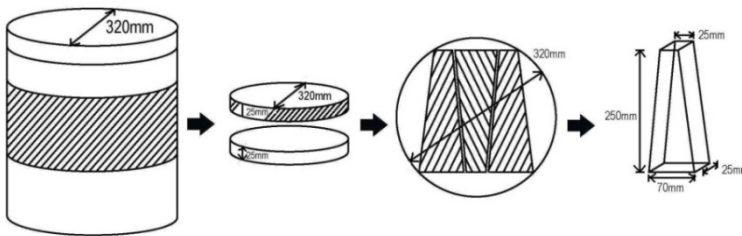
### 3 Estimating the Fatigue Properties of the Existing Asphalt Layers

In the second phase of the research work fatigue properties of the existing asphalt layers were tested and evaluated. Comparing the actual strains with allowable strains of the existing asphalt layers is essential for remaining life calculation; however, it is difficult to obtain reliable allowable strains of the existing asphalt layers.

An extra-large diameter cylinder was manufactured for the normal drilling equipment and special pincers with curved blades were also developed for the process. It should be noted that it was sometimes difficult to extract such a large and heavy core, but the process utilised ensured that the asphalt specimens were not bent or damaged on any way. Trapezoid specimens were cut out utilising a precision saw-cut machine.

It should be noted that the loading environment is different between the pavement structure and in the laboratory testing. However, since specimens were extracted between the wheel paths, the authors consider this approach theoretically correct, since the pavement structure can be considered completely unloaded in this position. Figure 2 summarised the overall procedure of the specimen extraction and preparation. Figure 3 summarises the results of the fatigue tests performed on the extracted specimens from the pavement structure.

In-service fatigue properties of existing asphalt layers were tested using cyclical fatigue test performed using the two point bending (2PB) test on trapezoidal asphalt specimens.

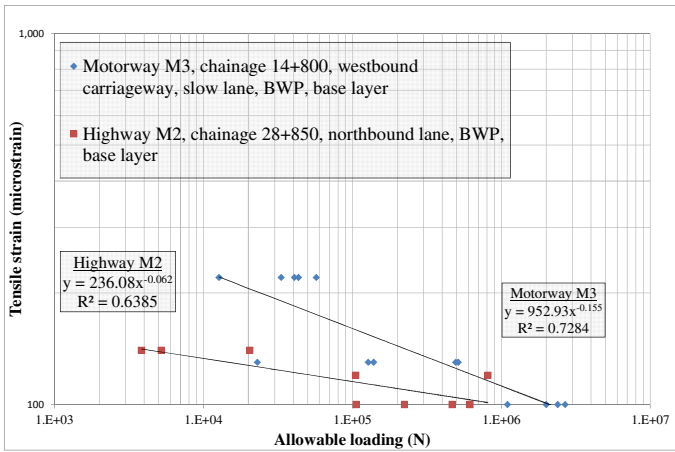


**Fig. 2.** Schematic process of the core drilling and trapezoidal specimen extraction

It should be noted that the fatigue functions derived from the laboratory tests are not transfer functions. Reliability factors should be utilised to relate a mean laboratory fatigue life to the in-service fatigue life at desired project reliability [2]. It should also be noted that high statistical significance and data fit (R-squared) can be usually achieved by testing 18 specimens [6], which is in line with the results collected at the BME asphalt laboratory.

A reliable remaining life calculation could be performed only for the unloaded area (between wheel path and fast lane), since the asphalt specimens for 2PB testing were derived from this section of the pavement. A reliability factor of 1.5 at 90% reliability level [2] was applied. Table 7 summarises the calculated traffic loading on the Highway M2, and Table 8 on the Motorway M3.





**Fig. 3.** Fatigue results on the Highway M2 and Motorway M3

**Table 7.** Calculated allowable traffic loading, Highway M2

Location	Calculated tensile strain (microstrain)	Calculated traffic loading at 90% reliability (ESA)
Outer wheel path	154	N/A
Between wheel paths	142	2.5E+06

**Table 8.** Calculated allowable traffic loading, Motorway M3

Location	Calculated tensile strain (microstrain)	Calculated traffic loading at 90% reliability (ESA)
Slow lane	539	N/A
Fast lane	296	2.6E+04

The calculated allowable traffic loading for Highway M2 is in the right ballpark and provides reasonable results for the pavement performance evaluation. The results for Motorway M3 show results out of the envisaged traffic loading range. However, the following should be noted:

- The pavement structure of Highway M2 and Motorway M3 are different, therefore there might be limitations on the accuracy of the strain calculation introduced in this paper.
- Mean value of the calculated strains was taken into account in the calculations, where the standard deviation was relatively high (0.45-.055). This relatively high scatter of the measured values influences the accuracy. More frequent testing would be desired to ensure that the results are statistically accurate.

- Relatively low reliability could be obtained in the fatigue test, which is probably due to the insufficient number of test specimens (14 points for M3 and 9 points for M2). According to the results collected at the BME asphalt laboratory R-squared value for 2 point bending test on trapezoidal specimens are above 0.8, if 18 specimens are used in the fatigue test.

## 4 Conclusions, Suggestion for Further Research

The research results presented in this paper provide an analytical tool for pavement performance evaluation. Large diameter cores (320 mm) were extracted from heavy duty pavement structures and subsequently 2 point bending tests were performed on the cut specimens. FWD tests were conducted on the loaded and relatively unloaded area of the pavement structure in order to evaluate the pavement structure in terms of the remaining life. Due to budget constraints relatively few tests were performed in this validation. However, the approach described in this paper provides a possible direction for future research work. It provides an approach for reliable pavement design for construction works, where the existing asphalt pavement layers can be evaluated and their relative performance could be taken into account, resulting in cost effective design solutions.

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# Structural Assessment of Cracked Flexible Pavement

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**Abstract.** Highway authorities in various countries have been using different tools to determine the structural capacity of pavement for their rehabilitation programs, pavement design, research and management for more than 50 years. The earlier common tools were Benkleman beams and Deflectographs, which have been gradually phased out after the introduction of Falling Weight Deflectometer (FWD) since the 1980s. FWD is able to record pavement surface deflections in relation to a dynamic load, simulative to a moving wheel. Back-analysing surface deflection measurements enables estimation of in-situ moduli of materials in different pavement layers. Cracked or poor materials give relatively low in-situ layer moduli.

In Hong Kong, although FWD and the associated back-analysis and forward-analysis, have been in use for a number of years, the results of a recent review on FWD residual life show doubts on the correlation between the estimated residual life from FWD survey and the actual in-situ pavement performance in practice. Hence, a new method, simply making use of FWD's surface deflection measurements, to estimate the residue structural capacity is developed.

This paper presents the research on studying the use of FWD center deflection for crack identification of flexible pavement. Detailed observation of cracks on cores from 31 pavement sections were used to define the condition code. Back-analysed stiffness levels related to the center deflection were used to develop Structural Condition Index. The findings lead to the development of a simplified non-destructive structural assessment technique to determine the probability of crack existence within flexible pavement.

## 1 Introduction

The Hong Kong's road network consists of 2,071 kilometres of roads [1]. About HK\$900 million was spent annually on roads to maintain the serviceability [3]. Expressways are inspected daily; trunk roads and other primary roads are inspected weekly. Other roads and footpaths are inspected at half yearly intervals [2]. The results of a recent review on FWD residual life show doubts on the correlation between the estimated residual life from FWD survey and the actual

in-situ pavement condition. It is concluded that many roads in Hong Kong have been repeatedly repaired to various extents and by different methods for the last thirty years. Great errors are often noted from the conventional idealised assumptions of homogeneous pavement layer thicknesses and consistent material types, which are simply based on information of limited numbers of core. The inaccuracies are furthered by the use of temperature factors applied to either back-analysed stiffness or FWD deflection. Such temperature factors may work well with intact materials, but are difficult to account for pavements with a long and complicated maintenance history, or pavement with debonding and defects embedded in any of the sub-layers. In order to maximise the resource allocation, a direct and more reliable pavement assignment methodology has been developed. The method engages the FWD's center deflection measurements, without the needs of pavement layer thicknesses and temperature corrections, to categorize the residue structural condition.

## 2 Falling Weight Deflectometer Survey

The research consisted of 31 numbers of flexible pavement section, each with an average section length of 20m. On each pavement section, FWD tests with a targeted contact pressure of 700kPa were conducted at 1 m intervals along the wheelpath and the lane center. The magnitudes of the deflections of the pavement surfaces, up to 1.8m from the load centre were measured.

## 3 Data Analysis

To categorize the pavement condition, a simple Structural Condition Index (SCIn) based on the magnitude of the center deflection of FWD is used. SCIn values range between 0% and 100%, which attempt to provide a simple method to describe the likely structural condition of a pavement – the higher the SCIn value, the better is the pavement condition. Another advantage of using SCIn is that such index number could be easily understood not only by pavement engineers but also by any other members in the management team. The procedures of developing SCIn are shown as below:

1. Determine the probability distribution  $f[D_1]$  of the FWD survey, of which  $D_1$  is the center deflection of a FWD test;
2. Determine the cumulative probability distribution  $F[D_1]$  of the center deflections of the FWD survey;
3. Determine the SCIn in percentage using the following equation:

$$\text{SCIn} = 1 - F[D_1] \quad (1)$$

### 3.1 *Determination of Probability Distribution and Cumulative Probability Distribution of Center Deflection*

Both probability distribution  $f[D_1]$  and cumulative probability distribution  $F[D_1]$  were determined separately for lane center and wheel path. Figure 1 and Figure 2 show the probability distribution of the center deflection at lane center and wheel path respectively. It is noted that both  $f[D_1]$  are not in normal distribution and they are left-skewed. Maximum center deflection at wheel path is larger than that at lane center reflecting the effect of repeated wheel load along the wheel path. Figure 3 shows the cumulative probability distribution of center deflection at lane center and wheel path. The figures confirm that pavements on both lane center and wheel path are similar, with only slight difference in deflections between 250  $\mu\text{m}$  and 500  $\mu\text{m}$ .

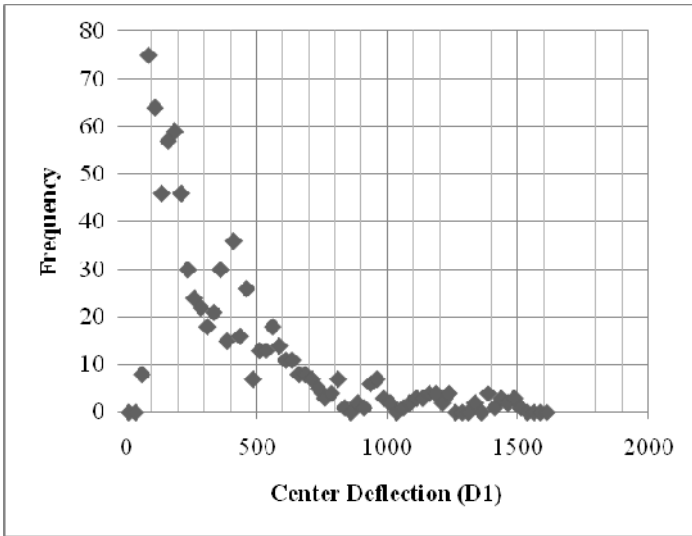
### 3.2 *Point FWD Test and Condition Code*

Point FWD testing was performed at 56 core locations. Full core of the bituminous layer was retrieved at each of these locations. FWD testing was performed at these points before coring. These core locations covered a wide range of pavement thicknesses (from 55 mm to 436 mm), bituminous layer conditions (full-depth cracking to intact) and pavement temperatures (from 14 °C to 38 °C).

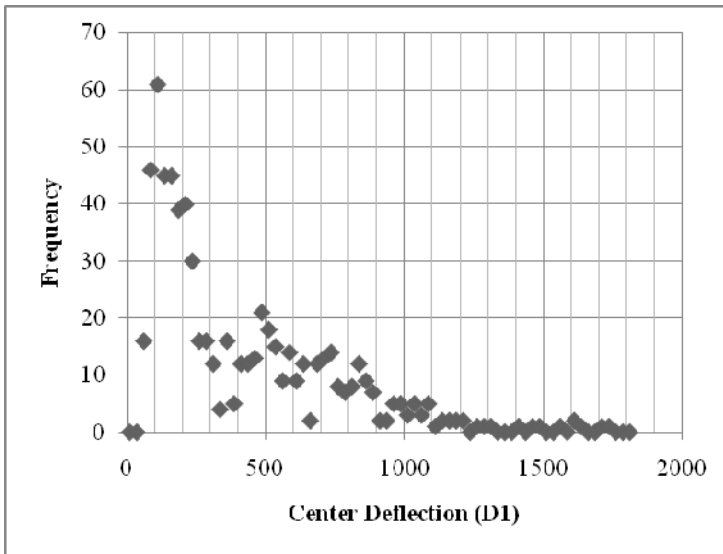
Condition Codes (from 1 to 5) aiming at categorizing the conditions of bituminous materials at the core locations were assigned to each core. An intact core with no visual defect and no cracking had a Condition Code of 5 (the best visual condition). An intact core with relatively minor defects, such as minor voiding but without cracking, had a Condition Code of 4. For those cores with one cracked sub-layer in the bituminous material, a Code of 3 was assigned to them. Cores with two cracked sub-layers had a Condition Code of 2. Those cores with the worst condition (i.e. with cracks in all bituminous sub-layers) had a Condition Code of 1.

**Table 1.** Definition of condition code of core

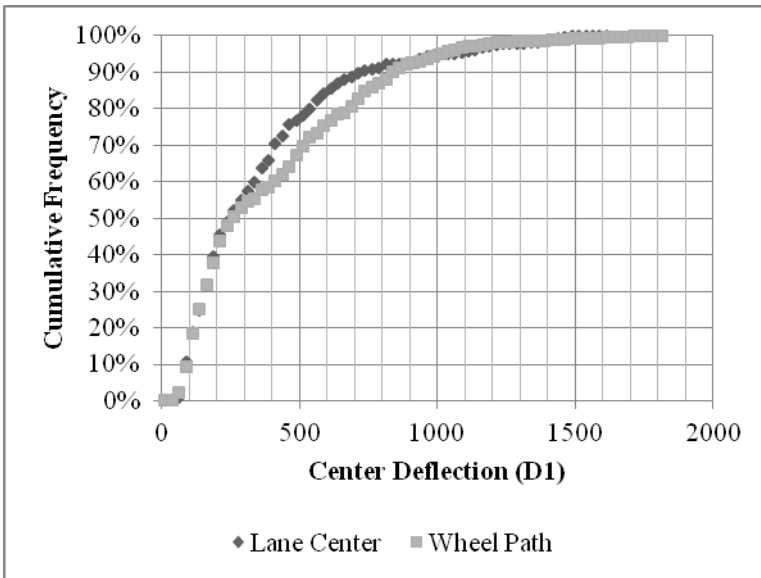
<b>Condition Code</b>	<b>Definition</b>
1	Cores with the worst condition (e.g. with cracks in all bituminous sub-layers)
2	Cores with two cracked sub-layers in the bituminous material
3	Cores with one cracked sub-layer in the bituminous material
4	Intact core with relatively minor defects, such as minor voiding but without cracking
5	Intact core with no visual defect and no cracking



**Fig. 1.** Probability distribution of center deflection at lane center



**Fig. 2.** Probability distribution of center deflection at wheel path



**Fig. 3.** Cumulative probability distribution of center deflection at lane center and wheel path

Processing of FWD data was conducted using the computer program ELMOD. ELMOD is an acronym for Evaluation of Layer Moduli and Overlay Design, which uses Boussinesq-Odemark pavement analysis approach for calculations. It back-calculated the stiffness moduli of the multilayered pavement. Method of back-calculation was based on the radius of curvature approach (Odemark-Boussunesq) used by the highway authority in Hong Kong [4].

Figure 4 presents the relationship between the core condition and the back-analysed bituminous stiffness. As expected, the bituminous stiffness increased with reducing defect severity. When the stiffness value was above 2000 MPa, none of the cores were in the Condition Codes of 1 and 2. When the stiffness value increased to 5000 MPa, only cores of bituminous materials in the Condition Codes of 4 and 5 were noted.

**Table 2.** Condition code included within different stiffness region

Stiffness (MPa)	Condition	Condition Code Included
>5000	Good	4 & 5
2000-5000	Fair	3, 4 & 5
<2000	Poor	1 & 2

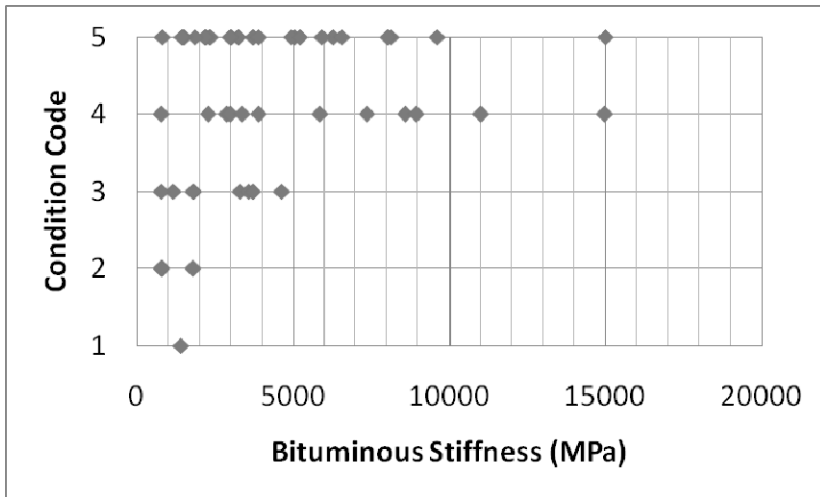


Fig. 4. Relationship between condition code and bituminous layer stiffness

### 3.3 Relating Center Deflection and Back-Analysed Stiffness Modulus

Figure 5 and Figure 6 show the relationship between center deflection and back-analysed stiffness modulus. In the figures, the thin solid line represents the regression line for all data points and the thick dash-dotted lines indicate the boundaries of the 95% confident interval. The  $D_1$  deflection values corresponding to 2000 MPa and 5000 MPa at the lower 95% confident level limit would be 385  $\mu\text{m}$  and 127  $\mu\text{m}$  respectively for the lane center, while 363  $\mu\text{m}$  and 138  $\mu\text{m}$  for the wheel path (Table 3). Taking the conservative approach, the minimum deflection value of 127  $\mu\text{m}$  might be used to represent good core condition (with stiffness value at least 5000 MPa) and the minimum deflection value of 363  $\mu\text{m}$  might be used to represent bituminous materials at least in the fair condition (without multiple cracked sub-layer).

Table 3. Deflection at specific stiffness level

Stiffness (MPa)	Deflection		
	Lane Center ( $\mu\text{m}$ )	Wheel Path ( $\mu\text{m}$ )	Minimum ( $\mu\text{m}$ )
2000	385	363	363
5000	127	138	127



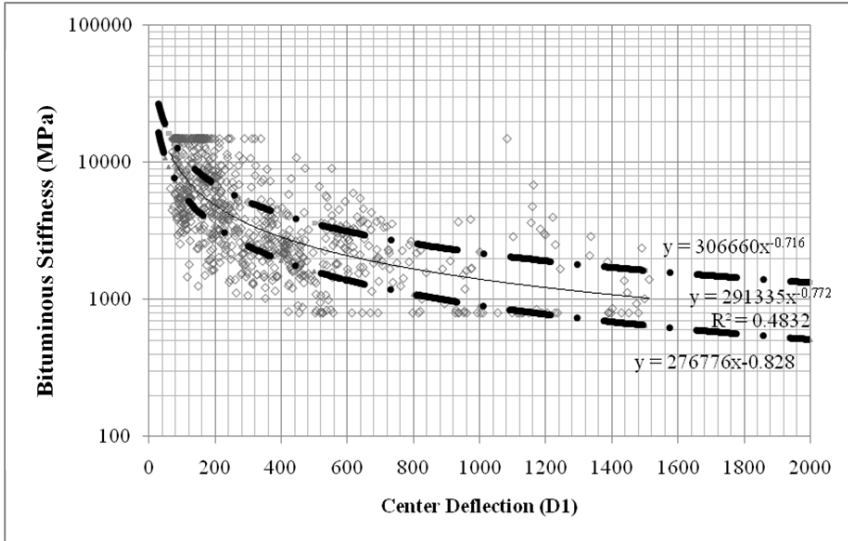


Fig. 5. Back-analysed stiffness modulus against center deflection at lane center

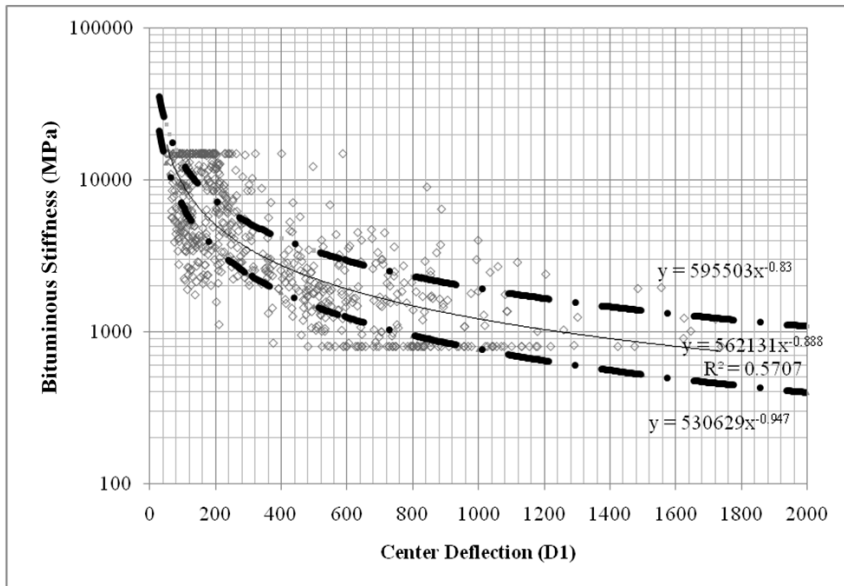


Fig. 6. Back-analysed stiffness modulus against center deflection at wheel path

### 3.4 Determination of Structural Condition Index

Figure 7 shows the Structural Condition Index against center deflection at lane center and wheel path. When center deflection is 127  $\mu\text{m}$ , the corresponding SCIn's of both lane center and wheel path are about 75%. When the center deflection is 363  $\mu\text{m}$ , the corresponding SCIn's of both lane center and wheel path are about 40%. Hence, flexible pavements having a SCIn between 75% and 100% are likely in good condition (i.e. with intact core without any cracked sub-layer); pavements of SCIn below 40% are likely in poor condition (with two cracked sub-layers or more). The pavement condition in relation with the SCIn range is summarized in Table 4.

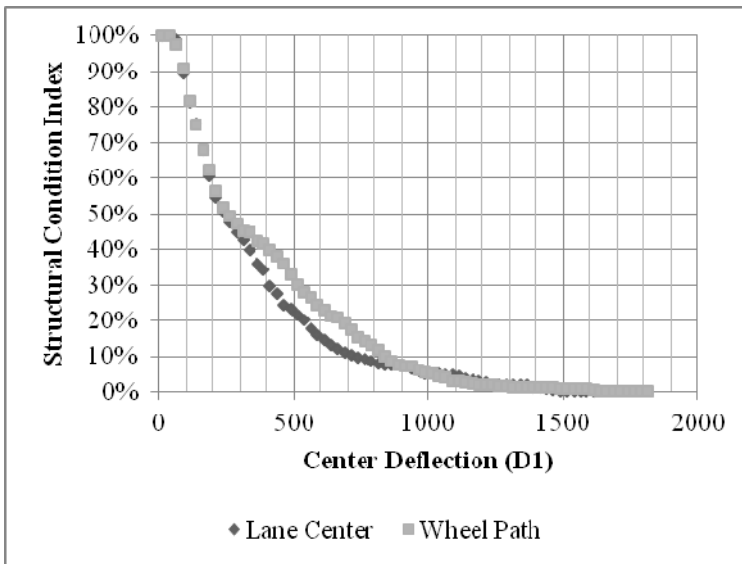


Fig. 7. Structural condition index against center deflection at lane center and wheel path

Table 4. Structural condition index

Condition of Bituminous Layer	Crack Condition	Deflection ( $\mu\text{m}$ )	Structural Condition Index
Good	no crack in all sub-layers	0-127	75-100
Fair	might have one cracked sub-layer	>127-363	40-75
Poor	cracks in at least two sub-layers or full depth crack	>363	0-40

## 4 Conclusion

A simple method using the center deflection of FWD to assess the severity of cracking in the bituminous materials of flexible pavement for the local condition in Hong Kong has been suggested. It classifies the materials in three categories (good, fair and poor). Good represents pavements likely in intact condition. Fair represents pavements having one cracked sub-layer at most. Poor represents pavements likely to have two cracked sub-layers or have full depth cracking problem.

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# Comparison between Optimum Tack Coat Application Rates as Obtained from Tension-And Torsional Shear-Type Tests

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With the increased usage of HMA overlays in pavement rehabilitation, research on interface bonding between adjacent layers of HMA pavement has gained considerable attention. Pavement layers constructed with insufficient bonding can result in a number of pavement failure modes including slippage cracking, top-down cracking, premature fatigue cracking, and delamination. Despite the significance of interface bonding on pavement performance, selection of tack coat type and application rate is still based on experience and engineering judgment. Until now, most of the studies conducted to evaluate the bonding between different layers of HMA pavement have been based on shear-type interface tests. Considering that pavement interface failure can be attributed to both shear and tension modes, tension-type tests are also needed to truly optimize the process of selecting and designing the tack coat system. Recent studies have shown that the optimum tack coat rate determined by shear-type interface tests may be lower than the optimum tack coat rate determined through testing in tension. The purpose of this study is to make a comparison between optimum tack coat application rates as obtained from Torque Bond Test and a new tension-type interface test called the Interface Bond Test (IBT). The variables considered in this study include: test mode, tack coat type, and tack coat application rate. For the mixtures and bonding materials evaluated herein, the optimum tack coat application rate was found to be approximately twice as high for maximizing tensile bond fracture energy as compared to torsional shear. Implications and recommendations for further study are discussed.

## 1 Introduction

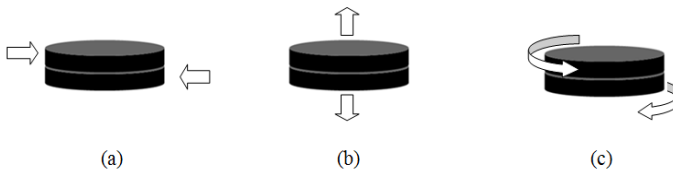
Pavement structures are composed of different HMA layers; therefore, the life and performance of the pavement depends not only on the properties of each layer such as stiffness, modulus, and fracture energy but also on the quality of bonding between adjacent layers. Whenever the adjacent layers are not completely bonded together the stress distribution, magnitude, and location of critical responses, such as tensile strain, will be significantly different as compared to when the layers are fully bonded [1]. Poor bonding between different layers of HMA can result in reduction of pavement capacity to withstand traffic and environmental loading

resulting in different types of distresses that can reduce the pavement life by 40 to 80 percent [2]. Some of the distresses that have been identified by researchers include slippage cracking, premature fatigue cracking, top-down cracking, band-type reflective cracking (Figure 1), potholes, surface layer delamination, and increased difficulty to achieve compaction [1]. In practice, bonding between adjacent layers of a pavement structure is typically attempted by spray-application of a thin film of bituminous material between layers, termed ‘tack coat.’ Some of the important factors affecting the quality of bonding between adjacent layers of pavement structure include tack coat type, tack coat application rate, mixture type, surface cleanliness, surface texture, temperature, and moisture [3].



**Fig. 1.** Band-type reflective cracking on US 136 near Peoria, IL

A number of test methods have been proposed to evaluate pavement bonding, which can be categorized into three groups: direct shear tests, tension tests, and torsional shear tests [3]. Figure 2 provides a schematic representation of these interface testing modes.



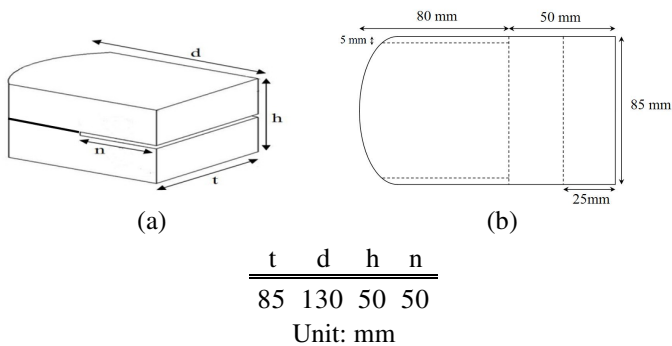
**Fig. 2.** (a) Direct shear, (b) Tension, (c) Torsional shear

Some of the common test methods that are in use today are the UTEP Pull-off Device (UPOD) [4], the ATacker Test [5], the Superpave Shear Tester (SST) [6], the Torque Bond Test [7], the NCAT shear test [8], the Leutner Test [9], the FDOT shear tester [10], and the direct shear test [11]. Until now, shear-type tests have been most commonly employed to evaluate bond. However, interface debonding can be attributed to both shear and tensile type failure mechanisms. Considering that interface debonding can result from both shear and tension modes, investigation on tension-type failures have gained attention recently.

It has been reported that the optimum tack coat application rate as obtained from shear type tests (such as the Direct Shear Test) is different than that which is obtained from tension type tests [12]. The purpose of this paper is: (a) to introduce a fracture energy based interface bond test (IBT) which appears to be a practical method to evaluate the bonding between adjacent layers of pavement in tensile mode, and; (b) to compare optimum tack coat application rates as obtained from tensile type test and torsional shear type tests. The scope of this study was on laboratory compacted, fine-graded asphalt mixture specimens with two different tack coat types (polymer modified and non-polymer modified) and residual application rates in the range of 0 to 0.68 L/m<sup>2</sup> (0 to 0.15 gal/yd<sup>2</sup>). From these results, conclusions regarding the differences in tack coat application rates associated with optimization using either test were drawn.

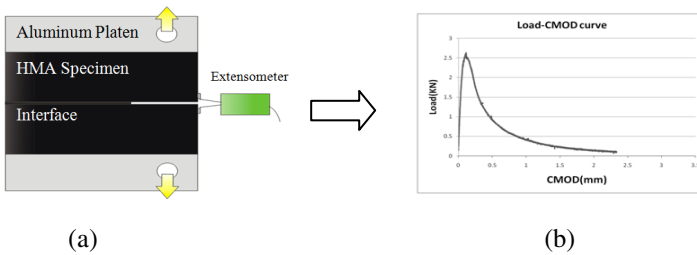
## 2 Interface Bond Test (IBT)

A new Interface Bond Test (IBT), developed by Hakimzadeh et al., was utilized in this study [12] to evaluate tensile bond. IBT is a fracture energy based test that provides a fundamental characterization of tensile bond using a classic fracture testing mode (compact tension). One of the advantages of the test is its ability to evaluate the bonding between adjacent layers of a pavement which involves thin layers (as thin as 19 mm (3/4 in.)) of HMA. This is an important feature, as agencies are increasingly moving to thinner, high-performance overlay systems to maximize rehabilitation funds and to maintain surface properties and to preserve underlying pavement structure. In addition, the IBT generates fundamental tensile fracture data that can be readily used in computational models in order to facilitate system optimization and linkage between material properties and field performance. The test is relatively easy to perform and the specimens can be fabricated from field cores or laboratory prepared cylindrical samples. IBT specimens can be cut from a 150 mm (6 in.) diameter cylinder to the desired thickness using a water-cooled masonry saw. After cutting the edges, the notch and grooves can be fabricated using a water-cooled masonry saw with a 1 mm wide blade. The final specimen dimensions are shown in Figure 3. Additional details regarding the sample preparation and development of the IBT test have been previously reported [12].



**Fig. 3.** IBT Specimen dimensions (a) isometric view, (b) plan view

The IBT involves the application of tensile loading through pins inserted into the loading holes located in aluminum platens and the measurement of Crack Mouth Opening Displacement (CMOD) with a clip-type extensometer (Figure 4). In order to provide stable post-peak fracture, the test is controlled through a constant CMOD rate. The interface fracture energy is calculated by determining the area under the Load-CMOD curve and normalizing that quantity by the fracture surface area.



**Fig. 4.** (a) Elevation view of experimental test setup and (b) Load-CMOD curve

### 3 Torque Bond Test

In order to evaluate the interface bonding in torsional shear mode, the Torque Bond Test, initially developed in Sweden for the in-situ evaluation of bond strength, was used in this study [13]. In this test, after coring the pavement to about 20 mm below the interface, torque is applied manually to the top of the overlay surface until interface failure occurs. The maximum torque that the interface can tolerate before failure occurs is measured and used to calculate the interface bond strength. In order to conduct the test in laboratory, fabricated specimens are clamped below the interface using a gripping unit. After gluing a steel plate to the top of the overlay using epoxy, a torque wrench is mounted to the steel plate and the torque is applied until the interface failure occurs [14]. The force required for failure is recorded, along with the location of the failure and temperature of the interface. The bond strength for the specimen is finally calculated using the following equation:

$$\tau = \frac{12M \times 10^6}{\pi D^3}$$

Where,

$\tau$  = interlayer bond strength (kPa)

$M$  = peak Value of applied shearing torque (N·m)

$D$  = diameter of core (mm)

## 4 Experimental Study

Five sets of specimens were used in this study, representing residual tack coat application rates of 0, 0.09 , 0.23, 0.46, and 0.68 L/m<sup>2</sup> (0, 0.02, 0.05, 0.1, and 0.15 gal/yd<sup>2</sup>). The tack coat materials used in this study were Trackless (non-polymer modified) and SS-1hp (slow setting polymer modified) (with asphalt residues of 58% and 61% by volume, respectively) obtained from a local emulsion supplier. A 19 mm (3/4 in.) nominal maximum aggregate size HMA mixture with 5.6% asphalt binder (PG64-22) was obtained from a counter flow drum-type plant at Open Road Paving in Champaign, Illinois. The mixture gradation is presented in Table 1.

**Table 1.** Mix gradation

Sieve size (mm)	25	19	12.5	9.5	6.25	4.75	2.36	1.18	0.6	0.3	0.15	0.075
% passing	100	96.1	84.2	76.8	62.5	52.9	33.9	21.2	13.3	7.7	5.3	4.5

In order to prepare the specimens in the laboratory, the loose mix was compacted into a cylinder of 50 mm (2 in.) height and 100mm (4 in.) and 150mm (6 in.) diameter for the Torque Bond Test and IBT, respectively, using a superpave gyratory compactor. This represents the bottom half of the test specimen. The tack coat material was then carefully applied using a paint brush on the surface of the specimen. Tack coat application rate was accurately controlled by measuring the weight of the specimen before and during application of the tack coat. Loose mix was then placed on the tack-coat treated specimen and was compacted again in the Superpave gyratory compactor to produce a 100mm (4 in.) tall composite specimen.

## 5 Results

After cutting the gyratory compacted specimens into the IBT geometry, drying to ambient moisture conditions, affixing the aluminum platens using an epoxy with 2,600 psi tensile strength, and mounting gage points onto the specimens, the specimens were placed in an environmental chamber at a conditioning temperature of -12°C for 2 hours. The IBT test then was performed with CMOD rate of 0.5 mm/min. Additional details regarding the testing temperature and loading rate have been previously reported [18]. All IBT testing was performed with an Instron 8500 servo-hydraulic load frame with an environmental chamber capable of controlling temperature to within  $\pm 0.2^\circ\text{C}$ . Load was measured with a 10kN load cell and the crack mouth opening displacement (CMOD) was measured with an epsilon model 3541-0020-250-ST clip-on gage. This equipment is the same as that used to develop the ASTM D7313 DC(T) test protocol [15], or disc-shaped compact tension test, located at the Advanced Transportation Research and Engineering Laboratory (ATREL) in Rantoul, IL. Figure 5 shows the experimental setup with the loading fixtures and clip-on CMOD gage.





Fig. 5. IBT test setup and failed specimens

The Load-CMOD curves obtained from the tests were recorded and fracture energies were calculated as shown in Figure 6.

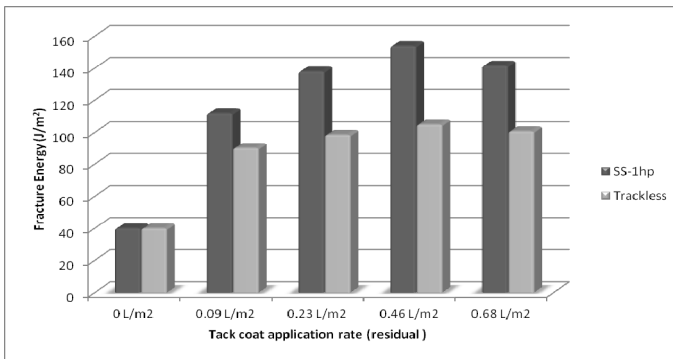


Fig. 6. Averaged interface fracture energies for different residual tack coat application rates

According to Figure 6, as the residual tack coat application rate increases, the interface fracture energy increases until it reaches to a peak value (153 and 98 J/m<sup>2</sup> for SS-1hp and Trackless, respectively) and then decreases. Thus, the optimum tack coat application rate from the standpoint of fracture energy from tensile type interface testing occurs at a residual application rate of about 0.46 L/m<sup>2</sup> (0.1 gal/yd<sup>2</sup>) for both SS-1hp and Trackless tack coat material. In addition, Figure 6 obviously shows that the SS-1hp tack coat material has superior interface fracture energy at low temperature as compared to the Trackless tack coat. Considering that the bulk material fracture energy of the same HMA mixture tested using IBT on a homogenous, notched specimen (no interface) was measured to have 301 J/m<sup>2</sup>, it was observed that the SS-1hp and Trackless tack coat material provided about one-half and one-third of the bulk fracture energy, respectively. The Coefficients of Variation (COV) of the results range between 5 and 15% showing that the test provides acceptable repeatability. It should be noted that the obtained results are based on one HMA mixture, one testing temperature, and one

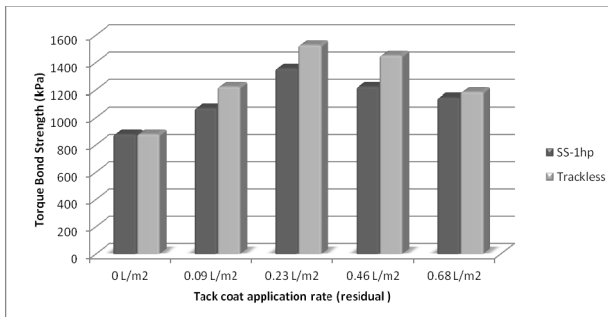
loading rate. Further investigation on a wider range of HMA mixtures, testing temperatures and loading rates is recommended.

As mentioned earlier, one of the advantages of the IBT is that it represents a classic fracture type test for a quasi-brittle material system (notched specimen with controlled crack mouth opening), which can be coupled with numerical modeling to obtain a more fundamental understanding of interface debonding and to simulate debonding in actual pavement structures. Therefore, although testing bituminous material at low temperatures should not be viewed as a replacement for all other interface bond tests, it opens the door for the use of scientific tools such as fracture mechanics in the evaluation of the bonding between adjacent layers of a pavement structure.

The Torque Bond Test was performed at a temperature of 22°C. The torque wrench is manually rotated across an angle of 90° (parallel to the specimen’s surface) within about 30 seconds, according to British Board of Agreement (BBA) guideline [14]. The laboratory test set-up and a typical failed specimen after testing are shown in Figure 7. The obtained peak values of applied shearing torque were then recorded and the torque bond strengths were calculated. Figure 8 shows the torque bond strength results.



**Fig. 7.** Torque Bond Test laboratory setup and failed specimen



**Fig. 8.** Averaged torque bond strength for different residual tack coat application rates

According to Figure 8, the optimum tack coat application rates as obtained from torsional shear-type testing occurred at a residual tack coat application rate of  $0.23 \text{ L/m}^2$  ( $0.05 \text{ gal/yd}^2$ ) for both SS-1hp and Trackless tack coat material. Moreover, Figure 8 suggests that the Trackless tack coat provides a better shear strength as compared to SS-1hp, which is in a good agreement with previous findings presented in the literature. The COV of the results range between 10 and 30%, indicating that the test is not as repeatable as other interface bond tests. The relatively high COV is probably due to the manual nature of load application, which produces a non-uniform loading rate. In addition, bending stresses result from the inability of the operator to apply a perfectly in-plane torsional load. Finally, the specimen rotates slightly within the clamp while torque is being applied, which can lead to variability in loading profile and loading rate.

## 6 Discussion

For the mixture and tack coat materials investigated herein, it was found that the tack coat application rate needed to create peak (or optimum) torsional shear strength at intermediate temperature was about half as much as that required to create optimum tensile fracture energy at low temperature. This is in agreement with previously reported findings, although this study represents the first comparison of a shear-type test to tensile fracture energy as obtained with the IBT. In addition, it is noteworthy to observe that the ranking of tack coat materials was reversed when comparing tension versus shear results. Thus, tack coat materials that provide a high shear bond strength at intermediate temperatures may not provide a high degree of tensile fracture energy at low temperatures. As another means of comparison, the COV measured in the IBT was significantly better (about half as large) as that measured in the torque bond test. On the other hand, the torque bond test has the advantage of simplicity and applicability to in-situ testing.

The results suggest that availability of shear and tensile type bond tests allow the pavement designer to better tailor tack coat type and application rate to each specific application. For the rehabilitation of uncracked or unjointed underlying pavement, and in warmer climates, maximization of interface shear properties would probably be given a higher weighting factor as compared to tensile properties. For the rehabilitation of cracked pavement structures, and in colder climates, the maximization of tensile bond properties would probably be given a higher weighting factor as compared to shear properties. An added benefit of the higher tack coat rate associated with the maximization of tensile bond fracture energy is the possibility of enhanced reflective and thermal cracking resistance of the overlay system. This is particularly true in the case of gap-graded, spray-paver applied bonded overlay systems, as reported by Ahmed et al. [16, 17]. The spray paver system combined with a gap-graded overlay mixture allows the use of even higher tack coat applications used in this study (more than twice the maximum amount used in this study), as the gap-graded mixture allows the tack coat material to wick upward into the mixture. When polymer-modified emulsion tack material is used, the fracture resistance of the overlay can more than double. Additional research is needed to determine a systematic approach (testing suite) to optimize tack coat material and

application rate on the basis of providing sufficient shear and tensile properties, and in some applications, to maximize the fracture properties of the overlay itself in combination with the optimization of interface bond.

## 7 Conclusion and Recommendation

In this study, a new fracture energy based Interface Bond Test (IBT) was introduced. The IBT is a controlled fracture test which provides stable crack propagation and characterizes the bond in terms of interface fracture energy. The test was conducted on lab-prepared specimens produced with different tack coat application rates and tack coat materials. The IBT was then compared with a torsional shear type interface bond test (Torque Bond Test) and the obtained optimum tack coat application rates were compared. The following conclusions can be drawn based on this study:

- The IBT test is a practical method to evaluate the bonding between adjacent layers of pavement in terms of interface tensile fracture energy. The results of the laboratory study conducted herein demonstrated the ability of the test to clearly distinguish between the interface bonding of samples produced with different tack coat application rates and modified vs. unmodified tack coat material.
- The optimum tack coat application rate as obtained from torsional shear type test occurred at the residual tack coat application rate of  $0.23 \text{ L/m}^2$  ( $0.05 \text{ gal/yd}^2$ ) for both SS-1hp and Trackless tack coat material, while the IBT test produced an optimum rate of  $0.46 \text{ L/m}^2$  ( $0.1 \text{ gal/yd}^2$ ). This finding was in agreement with previous findings presented in the literature.
- The ranking of tack coat materials was reversed when comparing tension versus shear results. Thus, tack coat materials that provide a high degree of shear bond strength at intermediate temperatures may not provide a high degree of tensile fracture energy at low temperatures.

Considering that pavement interface failure can be attributed to both shear and tension modes, tension-type tests are also necessary to truly optimize the process of selecting and designing the tack coat system. Since interface fracture energy (as measured in the IBT) is a good indicator of bonding between adjacent layers of pavement structure, it is recommended that tension-type tests such as IBT be used along with shear-type tests in the design and control of tack coats and thin-bonded overlay systems. It is also suggested that more studies including pavement numerical modeling be performed to gain additional insight towards the interface debonding mechanisms in pavement structures and its mitigation. Additional research is underway to determine a systematic approach (testing suite) to optimize tack coat material and application rate on the basis of providing sufficient shear and tensile properties, and in some applications, to maximize the fracture properties of the overlay itself in combination with the optimization of interface bond.

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# Using Life Cycle Assessment to Optimize Pavement Crack-Mitigation

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**Abstract.** Cracking is very common in areas having large variations in the daily temperatures and can cause large discomfort to the users. To improve the binder properties against cracking and rutting, researchers have studied for many years the behaviour of different binder additives such as polymers. It is quite complex, however, to decide on the benefits of a more expensive solution without looking at the long term performance. Life cycle assessment (LCA) studies can help to develop this long term perspective, linking performance to minimizing the overall energy consumption, use of resources and emissions. To demonstrate this, LCA of an unmodified and polymer modified asphalt pavement using a newly developed open LCA framework has been performed. It is shown how polymer modification for improved performance affects the energy consumption and emissions during the life cycle of a road. Furthermore, it is concluded that better understanding of the binder would lead to better optimized pavement design, hence reducing the energy consumption and emissions. A limit in terms of energy and emissions for the production of the polymer was also found which could help the polymer producers to improve their manufacturing processes, making them efficient enough to be beneficial from a pavement life cycle point of view.

## 1 Introduction

Problems like low temperature cracking and fatigue cracking have always been an issue in cold regions like the European Nordic countries [1]. Cracking is in fact very common in areas having large variations in the daily temperatures and can cause large discomfort to the users due to uncomfortable rides and disturbances caused by frequent maintenance periods. It also increases the cost to the society, as often higher taxes will have to be paid to overcome increased number of maintenance actions. Improvement of crack-mitigation in asphalt pavements could therefore have a significant contribution to the society at large.

The rheological properties of bitumen have an important effect on the cracking of the asphalt mixtures, since they provide the glue of the aggregate skeleton [2]. To improve the binder properties against cracking and rutting, researchers have studied for many years the behaviour of different binder additives such as

polymers [3-5]. The benefit of using polymers to modify the binder properties is well established but to quantify the long term benefit, an investigation of the effect of this modification over the entire life time of the pavement should be made. Life Cycle Assessment (LCA) tools can therefore be utilized.

Due to the depletion of resources and concerns of climatic change, LCA for different products, systems and activities have increased in popularity among researchers for the past years. LCA studies can help to determine and minimize the energy consumption, use of resources and emissions to the environment by giving a better understanding of the systems. LCAs can also purpose different alternatives for different phases of a life cycle of the system. Unfortunately, LCA has not yet been adopted by the industry or the road authorities as part of the procurement and material selection procedure. This could partly be explained due to the lack of a technical tool that accurately represents all the aspects of the pavement sector and is able to make close predictions of the in-time pavement response. For this reason, a new technical LCA framework is being developed. This paper is giving an example of the application width of such a tool for the case of prevention of low-temperature cracking in asphalt pavements.

### ***1.1 Low Temperature Properties of Asphalt Concrete***

To improve the quality of our roads and prevent pavement distresses such as cracking and rutting, certain measures can be taken. For example, improved road design, optimal use of materials or improving mixtures properties as a whole. Polymers like Styrene-Butadiene-Styrene (SBS) and natural rubbers are often used in the pavement industry to enhance the properties of the asphalt mixtures against premature damage. Polymers have the ability to create a secondary network or a balance system in the bitumen by either molecular interactions or react chemically with the bitumen [6]. Several studies have concluded that adding small amount of polymer (3-6% depending on what type of polymer is used) usually results in dispersed polymer particles in the continuous bitumen matrix and improves the properties of the binder against rutting and cracking [3-5, 7-9].

Due to heavy loads on the pavements and inefficient maintenance operations, roads sometimes deteriorate much quicker than expected. This directly leads to increased energy usage, higher cost and more emissions to the environment. It is therefore in favour of all stakeholders to optimize the efficiency of the maintenance operation over the lifetime of the pavement as much as possible. To achieve this, different case studies and possibilities are to be studied based on different design alternatives. Hence, an approach is required which could help in decision support during the lifetime of the pavement.

### ***1.2 Development of an Open LCA Framework***

LCA is a versatile tool to investigate the environmental aspect of a product, a service, a process or an activity by identifying and quantifying related input and



output flows utilized by the system and its delivered functional output in a life cycle perspective [10]. Ideally, it includes processes from the cradle to the grave of a product. In the case of asphalt pavements, the cradle can be the extraction of materials and the grave can be the burial of the asphalt pavement in the sub-grade. Use of resources and environmental loads can be reduced by studying the effects and the impacts on the environment during the different phases of a road's lifetime. A new open LCA framework for asphalt pavements was recently developed by Butt et al. [11] that considers energy consumption and emissions produced during the lifetime of the pavement. The LCA framework is fed the output from pavement design tools which are then processed to quantify energy, raw materials and emissions during different phases of a road's life time. The functional unit was defined as the construction, maintenance and end of life of 1 km asphalt pavement per lane for a nominal design life.

Certain system boundaries have to be assumed while developing the LCA framework. The study was focused on the project level, therefore it was assumed that the road location was known and the use of the land for some other purpose was not considered. Furthermore, the thickness of the asphalt layer was assumed to be constant along the length of the road per functional unit. Fuel and electric energies were accumulated separately for different processes in the lifetime of a road. This assumption was necessary because electricity being a secondary energy source could only be added to the fuel energy if the electricity production energy and efficiency are known. The raw materials considered for the framework are bitumen, aggregate and additives like waxes and polymers.

### ***1.3 Pavement Design (Mechanistic Calibrated MC Model)***

A calibrated mechanistic design tool used in this study has recently been evaluated for Swedish conditions [12]. The analysis and design framework presented by Gullberg et al. [12] is an extension of the earlier work by Birgisson et al. [13], in which a framework for a pavement design against fracture based on the principles of viscoelastic fracture mechanics has been reported. One key observation regarding this approach is that each mix is evaluated based on its dissipated creep strain energy limit ( $DCSE_{lim}$ ), which is a measure of how much damage mixture can tolerate before a non-healable macro-crack forms. In a design procedure the  $DCSE_{lim}$  acts thus as a threshold between healable micro-cracks and non-healable macro-cracks. This is a threshold that has proven to be fundamental and independent of mode of loading [14].

In Romeo et al. [9], SuperPave indirect tension (IDT) tests were performed on unmodified and polymer modified asphalt mixtures. In this study it was found that the polymer modification results in a higher damage tolerance of the asphalt mixture, i.e. higher  $DCSE_{lim}$ . The impact of the  $DCSE_{lim}$  increase on the design thickness is presently investigated with the design framework reported in Gullberg et al. [12]. All other material properties are assumed not to be affected by polymer modification.

## 1.4 Research Aims

In this paper, LCA of an unmodified and polymer modified asphalt pavement is performed using the newly developed open LCA framework. The effect of a polymer modification for crack resistance on the energy consumption and emissions during the life cycle of a road is investigated in this paper. The polymer production and transportation energy is also estimated in order to determine the benefit of polymer modification of asphalt pavements in terms of environmental costs.

## 2 LCA Case-Study

The design of the pavement section is based on the work by Almqvist [15]. The asphalt pavement thickness design is done for a lifetime of 20 years using a mechanistic calibrated pavement design model [12]. The pavement consists of a 50 mm thick wearing course above a structural course. The thickness of the structural course changes for different cases depending on the design. The base layer is 178 mm thick whereas the sub-base is 1.0 m lying on top of the bedrock. The design is done for a mean temperature of 5 °C (corresponds to Swedish climate zone 3) assuming the design equivalent single axle load (ESALs) to be  $10e6$ .

The following three cases are analysed using the LCA framework: Simulations are performed with unmodified asphalt, SBS polymer modification and unknown polymer modification of asphalt which results in 0%, 50% and 100% increase of the  $DCSE_{lim}$ , respectively. SBS polymer enhances the properties of the asphalt against rutting and cracking [8-9]. For the case 2, 3.5% SBS polymer modified asphalt has been considered [9]. With the addition of 3.5% SBS to the unmodified asphalt, IDT tests have shown that the  $DCSE_{lim}$  changes from 3.57 to 5.34  $\text{kJ/m}^3$ . Hence an increase of almost 50% is achieved. For case 3, it is assumed that the unknown polymer is 3.5% by weight of the blend and provides 100% increase in the  $DCSE_{lim}$ . The thicknesses of asphalt layers used for the LCA are as shown in Table 1. For the analyses, the total asphalt pavement thickness has been considered containing 5.2% binder content. The construction, and bitumen and aggregates storage sites are considered to be 25, 75 and 35 km from the asphalt plant, respectively. The emissions from electricity and diesel production are as inventoried by Stripple [16]. Energy consumption data for the asphalt production was acquired from Skanska, a large Swedish contractor. It is also assumed that an increase of 17% in fuel consumption is required for polymer modification of the asphalt mixture. The functional unit (FU) defined for the study is construction of 1 km of asphalt pavement for a nominal design life. Lane width is selected to be 4 m wide.

**Table 1.** Asphalt pavement layer thicknesses for different cases

Cases	Description	Increase in DCSE <sub>lim</sub> (%)	Wearing Course Thickness (mm)	Structural Course Thickness (mm)	Total asphalt pavement Thickness (mm)
1	Unmodified asphalt	0	50	100	150
2	Unmodified asphalt with 3.5% SBS	50	50	69	119
3	Unmodified asphalt with 3.5% unknown polymer	100	50	36	86

The comparison between Case 1 and Case 2, 3 will give insight into the added benefits in terms of reduced energy and greenhouse gas (GHG) emissions when polymer is added to the asphalt against crack resistance. Based on the results of the previous studies mentioned in the above, it was found that a small percentage of polymers not only provide resistance against cracking but also allows for the reduction of the asphalt layer thickness. This decrease in thickness itself will save energy and reduce emissions in a road's life cycle, but polymers production and transportation should also be considered in this number.

## 2.1 Results

The results of the LCA analysis are summarized in Table 2 and Table 3. Parameters *a*, *b*, *c* are the unknown energy values (in GJ) for the SBS whereas *d*, *e*, *f* are energy values (in GJ) for the unknown polymer which are associated with the electric, fuel and transportation energies, respectively. Parameters *g*, *h*, *i* and *j* are CO<sub>2-eq</sub> values (in tonnes) for the polymer production and transportation. For Case 2, SBS polymer modification of asphalt led to an increase of 50% in the DCSE<sub>lim</sub> which resulted in a decrease of the structural course by 31%, assuming the same service life of the pavement. For the calculation of Case 3 it was assumed that 3.5% of an unknown polymer is added in asphalt which would increase the DCSE<sub>lim</sub> to 100% which lead to a decrease of 64% w.r.t. case 1 and a further decrease of almost 50% w.r.t. case 2. From Table 2 can be seen that the total used energy therefore reduces from 830 GJ (Case 1) to 700 GJ (Case 2) to 508 GJ (Case 3). From Table 3 can be seen that the total CO<sub>2-eq</sub> reduces from 55 (tonnes) to 47 to 34, respectively. These values, however, still do not include the energy spent and emissions created when including polymers into the process. For this reason, in the following the thresholds will be determined for these.

**Table 2.** LCA results from the case study

Energy Consumed	Item	Case Study 1			Case Study 2			Case Study 3				
		Energy Consumed per ton of material (MJ/ton)	Total Energy consumed (GJ)	$\Sigma$ Energy (GJ) ETE % Energy consumed	Total Energy consumed (GJ)	$\Sigma$ Energy (GJ) ETE % Energy consumed	Total Energy consumed (GJ)	$\Sigma$ Energy (GJ) ETE % Energy consumed	Total Energy consumed (GJ)	$\Sigma$ Energy (GJ) ETE % Energy consumed		
Electricity	Bitumen Production	252.00	18.87		14.45		10.44					
	Polymer Production	-	-	99 220	a	78 173	d	56 125				
	Aggregate Production	21.19	28.93		22.95		16.58					
	Asphalt Production	35.28	50.80		40.30		29.13					
Fuel	Bitumen Production	1060.00	79.37		60.77		43.91					
	Polymer Production	-	-		b		e					
	Aggregate Production	16.99	23.19		18.40		13.30					
	Asphalt Production	242 (281 for case 2-3)	348.48		321.18		232.11					
	Bitumen transported* to the asphalt plant		9.57		7.33		5.30					
	Polymer transported* to the asphalt plant		-	610 610	c	527 527	f	383 383				
	Aggregate transported* to the asphalt plant		81.46		64.62		46.70					
	Asphalt transported* to the construction site		61.37		48.69		35.19					
	Laying Asphalt		3.86		3.86		3.86					
	Compacting Asphalt		2.27		2.27		2.27					
	<b>Total Process Energy</b> <sup>≡</sup>				830		700 + (2.23 x a) + b + c		508 + (2.23 x d) + e + f			

ETE (Equivalent Thermal Energy) factor for electricity is 2.23 MJ

\* Transportation distances were doubled in the calculation as loaded trucks are empty on return.

a Electric energy required to produce SBS in GJ.

b Fuel energy required to produce SBS in GJ.

c Transportation fuel energy required to produce SBS in GJ.

d Electric energy required to produce unknown polymer in GJ.

e Fuel energy required to produce unknown polymer in GJ.

f Transportation fuel energy required to produce unknown polymer in GJ.

**Table 3.** Resulting emissions for different case studies

Emissions to air (tonnes)	CASE STUDY 1			CASE STUDY 2			CASE STUDY 3		
	CO <sub>2</sub>	N <sub>2</sub> O	CH <sub>4</sub>	CO <sub>2</sub>	N <sub>2</sub> O	CH <sub>4</sub>	CO <sub>2</sub>	N <sub>2</sub> O	CH <sub>4</sub>
<b>Bitumen production</b>	12.95	7.94E-06	2.64E-06	9.92	6.08E-06	2.02E-06	7.17	4.39E-06	1.46E-06
<b>Polymer production</b>	-	-	-	g'	g''	g'''	i'	i''	i'''
<b>Aggregate production</b>	1.94	4.93E-05	5.21E-06	1.54	3.91E-05	4.13E-06	1.11	2.82E-05	2.99E-06
<b>Asphalt production</b>	27.72	5.79E-04	2.45E-05	25.53	5.31E-04	2.17E-05	18.45	3.84E-04	1.57E-05
<b>Paving</b>	0.31	6.18E-06	1.93E-07	0.31	6.18E-06	1.93E-07	0.31	6.18E-06	1.93E-07
<b>Compacting</b>	0.18	3.64E-06	1.14E-07	0.18	3.64E-06	1.14E-07	0.18	3.64E-06	1.14E-07
<b>Transportation</b>	12.04	2.44E-04	7.62E-06	9.53	1.93E-04	6.03E-06	6.89	1.39E-04	4.36E-06
<b>Polymer transportation</b>	-	-	-	h'	h''	h'''	j'	j''	j'''
$\Sigma$	55.14	8.90E-04	4.03E-05	47.00	7.79E-04	3.42E-05	34.10	5.66E-04	2.48E-05
<b>CO<sub>2</sub>-eq</b>	<b>55.41</b>			<b>47.23 + g + h</b>			<b>34.27 + i + j</b>		

## 2.2 Polymer Production and Transportation

The polymers production and transportation energies are not included in Case 2 and 3, which should be considered to make an objective judgement of the long term effect of the modification. For this reason, in the following the thresholds of

the energy and emission limits are determined for the polymer production and transportation based on the study's cases results (Table 4).

**Table 4.** Beneficial bitumen modification boundaries w.r.t. energy and emissions allocation

<b>Energy spent on polymer (GJ/FU)</b>	<b>Case 1 Vs Case 2</b>	<b>Case 1 Vs Case 3</b>
ETE Electricity used/FU <b>a, d</b>	<40.5	<103
Fuel consumption/FU <b>b, e</b>	<78	<195
Transportation Energy/FU <b>c, f</b>	<9.5	<24
<b>Total Polymer Energy/FU</b>	<b>&lt;129</b>	<b>&lt;322</b>
<b>GHGs Emissions (tonnes)</b>		
Polymer production/FU <b>g, i</b>	<8	<20.5
Polymer Transportation/FU <b>h, j</b>	<0.3	<0.7
<b>Total Process Emissions</b>	<b>&lt;8.3</b>	<b>&lt;21.2</b>

It was determined that for a polymer modification that increases the  $DCSE_{lim}$  to 100%, the total sum of the energy and GHG emissions spent on polymer production and transportation should be less than 322 GJ/FU and 21 tonnes  $CO_{2-eq}$ /FU when comparing to the case of unmodified asphalt for the modification to be beneficial from an energy point of view. When compared to the SBS polymer modified asphalt, i.e. Case 2, the total energy and GHG emissions spent on the SBS should be less than 129 GJ and 8 tonnes  $CO_{2-eq}$  to be beneficial per FU.

### 3 Conclusions and Recommendations

Use and effect of polymers (e.g. SBS) in asphalt mixtures to enhance performance is no more new to the asphalt industry. Polymer is known to enhance the properties of the binder, making it less vulnerable against rutting and cracking. In this paper, a newly developed LCA framework was used to determine the effect on polymer usage on the energy and emissions during the pavements lifetime. It was also observed that by enhancing the properties of the binder by adding polymer, led to a thinner pavement design for the same design life.

From the case study, it could be concluded that better understanding of the binder would lead to better optimized pavement design, hence reducing the energy consumption and emissions. A limit in terms of energy and emissions for the production of the polymer was also found which could help the polymer producers to improve their manufacturing processes making them efficient enough to be beneficial from a pavement life cycle point of view. In other words: positive effects obtained due to use of additives are only beneficial when energy and emissions are lower in comparison to the unmodified asphalt in a life cycle perspective.

It can be seen from the results of all the three studies that asphalt production was the most energy consuming process. Hence, the binder properties and the use

of additives like polymers should be further studied. This could help in improving the binder properties against cracking and rutting and could also help in reducing the resource consumption, energy and emissions in the asphalt mix plant. Material transportation distances should also be kept as short as possible.

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# Preliminary Analysis of Quality-Related Specification Approach for Cracking on Low Volume Hot Mix Asphalt Roads

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**Abstract.** During the last twenty years, efforts have been made to implement performance-related specifications (PRS) for hot mix asphalt (HMA) construction in the United States. The National Cooperative Highway Research Program (NCHRP) Project 9-22: *Beta Testing and Validation of Hot Mix Asphalt Performance-Related Specifications* created software using models similar to those in the interim American Association of State Highway and Transportation Officials (AASHTO) Mechanistic-Empirical Pavement Design Guide (MEPDG). The program predicts an effective dynamic modulus ( $E^*$ ) parameter to determine information pertaining to major distress types. In this study fatigue cracking (bottom-up/alligator cracking) is analyzed. A predicted life difference (PLD) is then calculated between job mix formula (JMF) and as-built conditions, resulting in an assigned pay factor. In this study, a low volume HMA roadway in rural Rhode Island was analyzed using the volumetric-based models. A sensitivity analysis was conducted by varying asphalt contents, in-situ air void targets, and dust-to-asphalt ratios to evaluate their effects on fatigue cracking levels. The aim was to assess the suitability of the software as a tool for pay factor development in Rhode Island. Based on preliminary results, results are significantly sensitive to changes in JMF target in-situ air voids. Future considerations regarding pay factor development for low volume roadway projects include: added costs or savings as a result of implementation, the development of a more simplistic method of computing pay factors, and comparing results with pavement management system (PMS) information on other comparable flexible highway pavements in Rhode Island.

## 1 Introduction

This paper presents the results of a preliminary analysis involving a potential pay factor specification for traditional fatigue cracking on low volume hot mix asphalt

(HMA) roadways. Through National Cooperative Highway Research Program (NCHRP) Project 9-22: *Beta Testing and Validation of HMA PRS*, a software program geared towards the comparison of performance predictions for job mix formula (JMF) and as-built HMA characteristics was created for pay factor development [1]. Prediction models based on the Witczak Predictive Equation (WPE) and internally-conceived closed-form solutions (CFS) similar to those featured in the American Association of State Highway and Transportation Officials Mechanistic-Empirical Pavement Design Guide (MEPDG) software predict service life values, which directly relate a predicted life difference between the as-built and JMF lives to a distress-specific pay factor.

This study explored software predictions for alligator cracking pertaining to a full-depth reconstruction HMA project paved in the state of Rhode Island (RI) during the 2010 construction season. Rhode Island is the smallest state in the United States and located in the northeast region between New York City and Boston. The objectives were to utilize a statistical test measure (linear regression t-test) to determine the significance of changes in three critical parameters identified during construction: asphalt content by weight (AC%), dust-to-asphalt ratio (D/A), and target in-situ air voids (AV); and to provide conclusions and recommendations to determine other testing methodologies to further analyze the software's tendencies. If the volumetric parameters proved significant, further investigation could be conducted by agencies to adjust specification limits or values in an effort to maximize predicted life for the pavement in the fatigue cracking distress mode. A research approach was devised with the intent to perform the following tasks: 1) collect pertinent project data as software inputs, 2) develop statistical significance methodology, 3) conduct a preliminary sensitivity study using three critical volumetric factors identified by the Rhode Island Department of Transportation (RIDOT), and 4) provide recommendations for additional study with the optimal goal being to better understand prediction behavior for specification enhancement.

## 2 Background

Development of performance-related specifications (PRS) was initiated in 1988 with the publication of NCHRP Project 10-26 and the formation of a Transportation Research Board (TRB) steering committee, which identified PRS as a matter of high-priority in the area of asphalt research. The Federal Highway Administration (FHWA) then cited PRS to be a High Priority National Area with the objective to "develop and implement specification based on effective predictors of pavement performance with appropriate incentive/disincentive clauses based on those predictors" [2]. Many efforts have been made towards developing a conceptual framework for PRS [3, 4]. In a report by Epps et al. [4], the need for prediction models using mechanistic-empirical theory integrated as part of PRS resulted in a software application entitled *HMA Spec*. *HMA Spec* used a life-cycle cost factor to compute a pay adjustment [5] to construction project final contract amounts.



Aside from the efforts of the NCHRP Project 9-22 and Westrack projects, there were a few studies in particular that outlined the advantages of widespread PRS implementation and efforts on development of PRS on a more localized level. The advantages of a PRS were reported to vary from minimized life-cycle costs, to the consideration of lot variability, and the incentive/disincentive system that stems from these methods [3]. These factors provide benefits to both transportation agencies and contractors, as transportation agencies can set their own performance limits, obtaining a level of confidence that the pavement will not fail over a given period of time based on predictive models. Contractors could then produce a mix that would provide substantial performance characteristics resulting in an incentive, rather than risk producing an underperforming section resulting in a disincentive payment.

Explorations of localized (state-level) PRS for HMA construction were done in a study by Buttlar and Harrell [6]. The researchers stressed the importance of a framework for the progression to more advanced types of specifications, such as end-result specifications (ERS) and PRS, citing the need for statistical quality assurance (QA) procedures and a development of performance-based pay factors.

A study done for Arizona DOT (ADOT) sought to implement a PRS plan and characterization of HMA performance to be used in models for evaluation of HMA construction jobs in the state [7]. In the report, databases for prediction of the three critical distresses (rutting, alligator cracking, and thermal fracture) were constructed, as well as a framework for the ultimate development of a field validation procedure based on the Asphalt Mixture Performance Tester (AMPT). The study demonstrated that prediction models derived through database creation yield localized calibrations that will produce relevant results. This finding has the potential to be paramount to gaining the confidence of transportation agencies and the paving industry.

Over the course of the last decade, efforts have been made to drastically change the methods in which QA and incentive/disincentive specifications are utilized in transportation agencies. Through NCHRP Project 9-22, the preliminary research-grade software utilized in this study was developed to provide agencies with a resource for the implementation of a quality-related specification. The software has the capability to predict pavement service life as a tool for assigning incentives or disincentives to contractors, based on adherence to specifications and performance standards. Industry experts have expressed the need for performance-based evaluations of asphalt mixtures as a whole, believing that acceptance quality characteristics (AQC) should be tied more to the overall performance of a pavement as opposed to strictly volumetric-based QA protocols. With the MEPDG selected as the basis for prediction models the software was created to compare service life factors for the as-designed and as-built conditions [1]. An enhanced version of the software program is still under development in NCHRP Project 9-22A: *Field Validation of QRSS Version 1.0*. It has been designed to operate in a systematic fashion, where JMF analysis is performed before as-built comparisons on pavement performance can be made. The input to the program includes mixture volumetrics, design features, traffic, and sampling data among other items. The output includes stiffness properties and measures of performance

such as predicted life difference (PLD), distress, and pay factors for the project [1]. This study will utilize E\* outputs only in attempts to test the statistical significance of changes as a result of changes in AC%, D/A, or AV.

### 3 Project Site Description

In this study, one HMA full-depth reconstruction section was selected for a trial application of quality-related specification analysis. The project, included as a study site for NCHRP Project 9-22A, was a 1.9 kilometer (km) (1.2 mile) stretch of Rhode Island-102 (RI-102) in Foster, Rhode Island. Figure 1 shows a cross-section of the pavement profile of RI-102 based on job specifications:

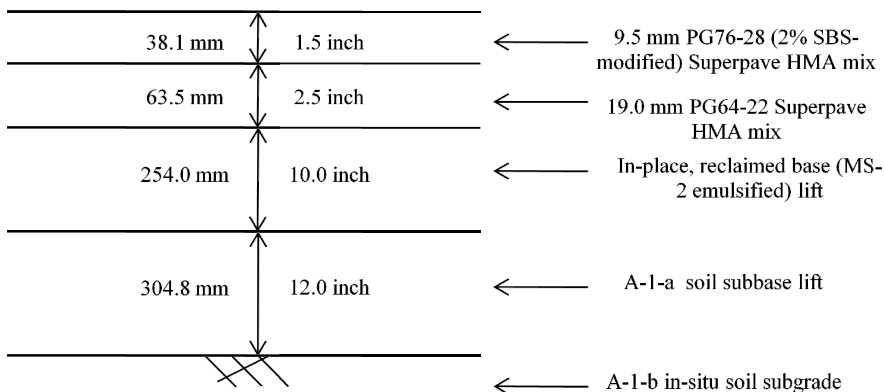


Fig. 1. Cross-section of RI-102 reconstruction project (not to scale)

This portion of the highway can be classified as a rural-principal arterial, with an annual average daily traffic (AADT) of 3,500 vehicles per day (vpd), based on information from RIDOT officials and AADT maps. RI-102 acts as a north-south thoroughway for western Rhode Island, traveling through several rural areas. The roadway features one lane in each direction and displays a posted speed limit and assumed design speed of 56 kilometers-per-hour (35 miles-per-hour). The RIDOT Pavement Management Systems (PMS) showed that this stretch of roadway was crack sealed in 1999, and a 0.6-km (0.4-mile) segment was fully-reconstructed in 1987, with 38.1 mm (1.5 inch) of Class I-1 HMA, 38.1 mm (1.5 inch) of a modified binder lift, 127 mm (5 inch) of a cold-recycled base, and 457 mm (18 inch) of A-1-a subbase material. The rest of the section was given an overlay treatment in 1985.

### 4 Test Setup

For proper manipulation of the software, the initial step towards analysis related to gathering the required inputs. Upon completion of this study stage, a matrix was

created for testing, with the desired outputs being effective dynamic modulus ( $E^*$ ) at the JMF and as-built conditions. It is important to note that the featured construction project included two paved HMA layers. For this study, the properties of one pavement lift were changed by one variable at a time. The following JMF ranges or values were used for analysis in each lift, based on specifications obtained from RIDOT and potentially observed QA deviations [8]. This analysis matrix amounted to 16 tests per HMA lift that was varied, specifically:

- AC%: Default, Default  $\pm 0.3\%$ , Default  $\pm 0.6\%$ , Default  $\pm 1.0\%$ ;
- D/A: Default, 0.60, 0.80, 1.00, 1.20;
- AV: Default, 4%, 6%, 8%, 10%, 12%.

After software execution was completed, a statistical analysis procedure was initiated to determine the significance of each parameter for fatigue cracking predictions. Based on the basic assumption that the relationship between each variable, and the delta  $E^*$  ( $\Delta E^*$ ), or as-built  $E^*$  less JMF  $E^*$ , for each project, is linear and normally distributed, simple statistical tests were executed. The normality assumption was based off of the WPE, which played a major role in the predictions presented in this study [1]. The assumption of linearity is primarily based off of the principle that incentive/disincentive was calculated by the software in a purely linear equation. Since the output was tied directly to the PLD/pay factor and a weighted average corresponding to tonnage inputs, with no exponential factors being considered, it was assumed that the relationship between  $E^*$  or  $\Delta E^*$  and JMF parameter is linear. In this study, a linear regression t-test was analyzed to determine the significance of the results at a given confidence level. From this information, a final determination was made regarding the level of sensitivity associated with each input variable.

#### 4.1 Linear Regression t-Test

A linear regression t-test was used to determine whether a parameter was statistically significant with regard to  $\Delta E^*$ . As stated previously, since the underlying assumption is that a linear relationship exists between the dependent and independent variables (alligator cracking  $\Delta E^*$  and JMF parameter, respectively), a t-statistic can be computed to test a hypothesis. A simple flowchart can serve as an insightful guide as to how the following procedure was executed. Figure 2 outlines the statistical methodology applied.

Using a technique similar to the one completed by researchers at West Virginia University [9], the following linear relationship, shown in Eqn. (1), was first assumed to exist, as:

$$Y_i = \beta_0 + \beta_1 x_i + \varepsilon_i \quad \text{for } i = 1, 2, \dots, n \quad (1)$$

In this equation,  $\beta_0$  and  $\beta_1$  are coefficients, where  $\beta_0$  signifies the intercept of the line with the y-axis and  $\beta_1$  dictates the slope. The standard error,  $\varepsilon_i$ , represents the scatter around the linear relationship [9].

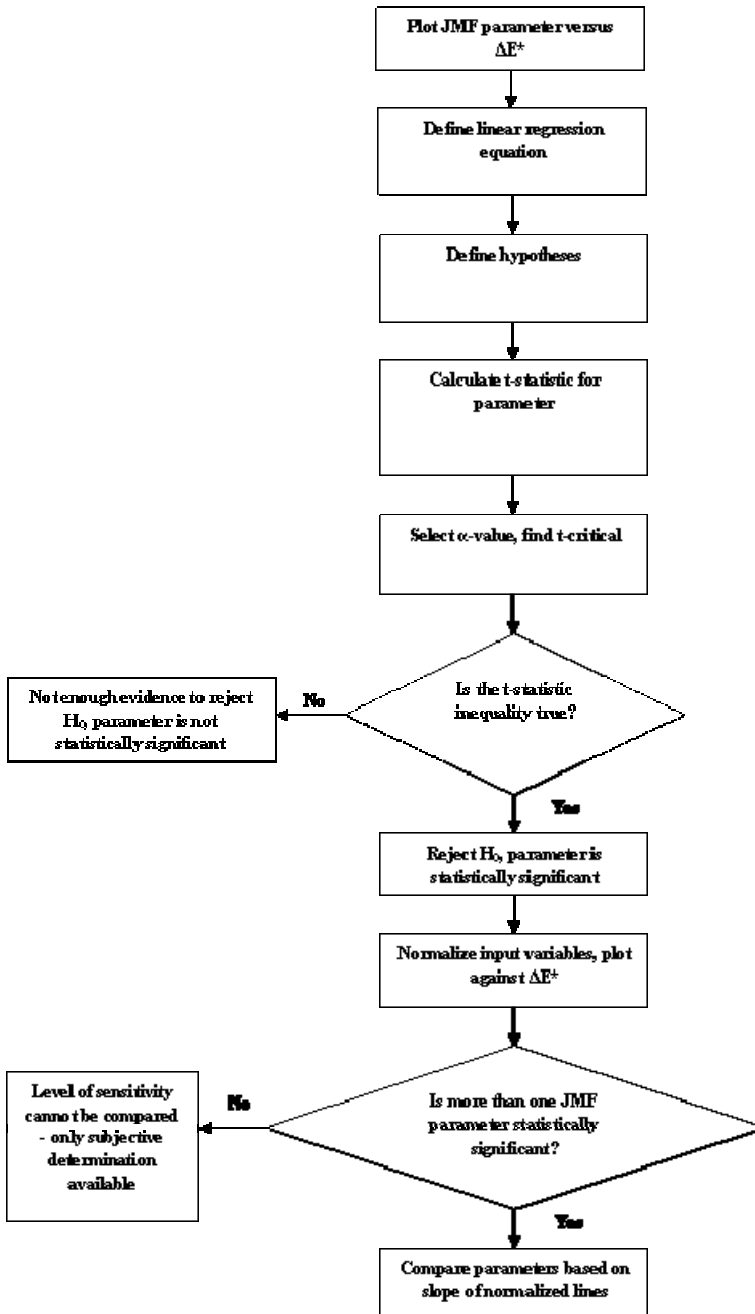


Fig. 2. Statistical analysis methodology for the evaluation of parametric impacts on fatigue cracking

Based on the values obtained during the sensitivity analysis, a regression equation will be computed along with a plot of the analysis outputs to test a hypothesis using a t-statistic. In order to achieve a level of significance for the data, a null hypothesis was derived. For this study, the null hypothesis,  $H_0$ , was that the slope,  $\beta_1$ , of the regression equation is zero, meaning that the variable,  $x_i$ , has no statistically significant impact on the results achieved. If the alternative hypothesis,  $H_1$ , was supported through rejection of the null, this implies that the parameter,  $x_i$ , has a statistically significant linear impact on the results,  $Y_i$ , for a given confidence level,  $\alpha$ , which will be set at an initial value of 0.05 (95% confidence). The null and alternative hypotheses for the t-test are shown below:

$$H_0: \beta_1 = 0$$

$$H_1: \beta_1 \neq 0$$

The t-statistic was calculated by dividing the least squares estimate  $\beta_1$  by the standard error for  $\beta_1$ , as shown in Eqn. (2):

$$t_{\hat{\beta}_1} = \frac{\hat{\beta}_1}{s(\hat{\beta}_1)} \tag{2}$$

The calculated t-statistic was compared to the t-critical value from a basic t-table for the given  $\alpha$ -value, using a two-tailed approach, and degrees of freedom,  $n - 2$ , which will be the number of samples less two. If the t-statistic exceeded the t-critical value, the null hypothesis could be rejected. Eqn. (3) shows the inequality previously described:

$$|t_{\hat{\beta}_1}| \geq t\left(\frac{\alpha}{2}, n - 2\right) \tag{3}$$

### 4.2 Normalization Technique

Each statistically significant JMF parameter was normalized so that a comparison could be made to determine the degree of significance of each parameter. In this case, normalization occurs when the input (i.e. AC%) was divided by the mid-range value of the AC% variation, in this example, the original JMF AC% [9]. For D/A, the input values would be normalized when divided by 0.80. In the scenario regarding AV, the mid-range value for normalization would be 8.0%. The normalized input value would then be plotted on the x-axis, with  $\Delta E^*$  included on the y-axis. Since all three variables could potentially be plotted in one location for a particular project, the slope of this line will compare the degree of sensitivity for each JMF parameter [9]. In the case that only one parameter was found to be statistically significant for a particular project, a comparison for level of sensitivity could not be completed and a subjective determination would be made based on the slope of the normalized line. For full-depth reconstruction conditions, two separate analyses would be run, one for each constructed HMA (surface and binder) lift.

## 5 Discussion of Test Results

In order to obtain replicates for a specific parameter, data for each construction lot were gathered for each of the 31 software runs, resulting in a total of 165 data points. As defined in NCHRP Project 9-22A, five constant tonnage lots were created for software analysis, per lift. This allowed for the test to capture lot variation, as asphalt construction has often been shown to vary from batch-to-batch. The output, alligator cracking  $E^*$ , was predicted at an effective temperature and effective frequency using a Monte Carlo simulation on the WPE based on historical standard deviations and project-specific values to represent the statistical means required for proper simulation [1]. The as-built  $E^*$  was then subtracted from the JMF  $E^*$  to obtain a  $\Delta E^*$  value. Based on preliminary software executions,  $\Delta E^*$  may be a contributing element in the pay factor calculation, as the degree of quality is related largely to the stiffness of the mixture. Statistical procedures were then executed on  $\Delta E^*$  to determine significance as attributed to a particular volumetric factor (AC%, D/A, or AV). At the time of publication, the pay factors cannot be disclosed because the software is still part of an active NCHRP research project.

For the alligator cracking module, the attributes of the HMA binder lift represent most of the prediction results. Therefore, when AC%, D/A, and AV values were varied for the HMA surface lift, there was virtually no change in  $\Delta E^*$ . Any changes in  $\Delta E^*$  were likely due to variations as a result of the Monte Carlo simulations in the software.

However, in the case of varied HMA binder lift characteristics, changes were noted. After the statistical analysis was completed, it was found that AC% is not significant to  $\Delta E^*$ , while D/A was very close to the significance threshold at a 95% confidence level, and AV was found to be statistically significant. Table 1 displays the t-test results for the binder layer in RI-102.

**Table 1.** Linear regression t-test results for RI-102 binder (19.0 mm) layer

	AC%	D/A	AV
$n - 2$	33	23	28
$t_{\hat{\beta}_1}$	1.444	-2.049	9.763
$t\left(\frac{\alpha}{2}, n - 2\right)$	2.035	2.069	2.048
<b>Result</b>	<b>NOT SIGNIFICANT</b>	<b>NOT SIGNIFICANT</b>	<b>SIGNIFICANT</b>

Upon determination of statistical significance, the normalization technique was not required since only one parameter was statistically significant. In order to evaluate the correlation present between the statistically significant variable (AV) and  $\Delta E^*$ , a plot of the average  $\Delta E^*$  at each tested AV level was constructed. Figure 3 shows a strong (R-squared 0.999) linear relationship between  $\Delta E^*$  and AV in that when JMF AV is increased the JMF  $E^*$  will decrease, leading to an increased  $\Delta E^*$ .

This preliminary analysis provided some level of indication that sensitivity of changes to certain mix volumetrics or construction parameters can be captured ahead of production with the featured software. However, there are several areas for additional study efforts. A very high R-squared value can present some over emphasis in that five data points are featured, but since only one variable was significant, the normalization technique utilized was not fully functional. There was no comparison variable to assess the degree of significance. These shortcomings would suggest that additional testing is needed.

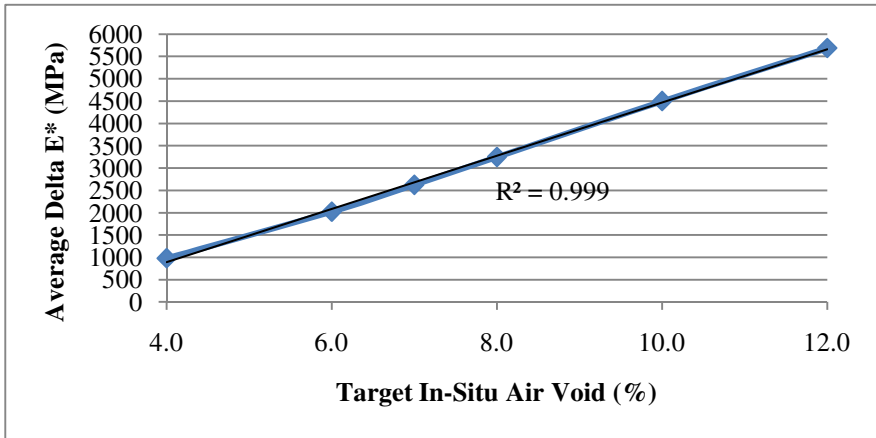


Fig. 3. Plot for AV- $\Delta E^*$  relationship in the HMA binder lift

## 6 Conclusions and Recommendations

Based on the test results, AV is a statistically significant parameter impacting traditional fatigue cracking predictions in the quality-related specification software. These preliminary results show a strong level of statistical significance with regard to this parameter, providing users with knowledge towards expected pay factor values. The results also show a linear relationship between  $\Delta E^*$  and JMF parameter. Owner agencies can use this information to plan accordingly for specification development, with a focus on AV, due to its influence on stiffness and service life predictions for traditional fatigue cracking applications. However, given the complexity of dynamic modulus predictions, further analysis is warranted to obtain a more comprehensive understanding of the software’s interactions and, ultimately, pay factor assignment. The results of this study are valuable in that they present opportunities for future investigations, as this preliminary analysis shows that particular JMF values relay a direct and notable change in stiffness values, and hence PLD/pay factor. Recommendations for further study include: 1) analysis of multiple HMA paving projects, 2) a factorial analysis performed to vary major input categories, instead of specific volumetric values, 3) nonlinear regression techniques to formulate alternate prediction models

which can then be used in a more simplistic form by contractors and transportation agencies, and 4) a well-developed study on pay factor variance as a result of changes in as-built characteristics which will benefit the transportation and contracting industries for implementation. Judging by the level of importance associated with stiffness values throughout the program, a procedure seeking to relate stiffness directly to service life with a small number of variables appears to be an optimal choice for future endeavors. By relating stiffness, major input categories (structural design, traffic, etc.), and service life, software users can predict a service life parameter, without embarking on a full mixture design process or  $E^*$  testing sweep. The future enhancements of the quality-related specification process could present cost benefits, and further the successful implementation of a quality-related specification concept for asphalt pavements.

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# Evaluating Root Resistance of Asphaltic Pavement Focusing on Woody Plants' Root Growth

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**Abstract.** Pavement failures like cracking and rising, caused by the growth of plant roots are often observed in asphaltic pavement around roadside trees. To avoid trouble, the resistance of pavements to root growth should be estimated using a suitable test before installation. The aim of this study is to develop a test method for evaluating pavements' resistance to the thickening of roots as they grow. The method uses a simulated root developed to mimic the mechanical power of a growing root for evaluating the performance of pavements more easily and quickly.

First, we measured the force exerted by a growing root using a cherry tree over a period of four months from April to July. The enlargement force reached approximately 440 N/cm. Next, we developed an apparatus to reproduce the thickening growth of the root based on the earlier measurement. The test was carried out using an asphaltic pavement consisting of a 30 mm thick asphalt layer placed over various thicknesses of sand beds.

Cracking was observed during the test, and it was found that increasing the thickness of the sand bed reduced the damage to asphaltic pavement. The simulated root was useful for evaluating pavements' root resistance. Finally, we confirmed the appropriateness of this test by comparing its results with a numerical model.

## 1 Introduction

Damage to asphaltic pavement, such as cracking along roots and uplifting caused by the growth of roadside trees' roots is seen often. Fig. 1 shows examples of pavement damage caused by root thickening. The damage can progress until the pavement is completely separated as shown on the right in Fig. 1. This is a serious issue that not only hinders walking, but also reduces the walkway's aesthetic value. As the damage progresses, the pavement is usually replaced or repaired, but it requires time and money and is not a permanent solution. The same damage may occur again several years later even if the pavement is replaced or repaired consistently. If the resistance of a pavement to such root damage could be known before it is installed, the extent of damage could be reduced.



**Fig. 1.** Damage to asphaltic pavement caused by the growth and thickening of plant roots

Several studies have investigated damage to pavement due to root enlargement [1]-[7]. Although some studies focus on site investigation, including tree species, descriptions of pavement damage and the distribution of root systems, other studies have proposed countermeasures such as increasing the planting area [1], choosing suitable tree species [1], installing root barrier sheets [6], and controlling the soil under sidewalks [7]. Using real trees to evaluate whether pavements are root-resistant would be the most suitable way to predict the success of these proposed countermeasures. This, however, would require a long time to produce results, and would not help us determine whether root resistance would last beyond the experimental period. To avoid these problems, it would be very helpful to have a method to quickly and easily evaluate pavements' root resistance before installation.

The aim of this study is to develop a quicker, easier test method for evaluating pavements' root resistance. To ensure rapid results and simplified evaluation, simulated roots, which reproduce the behaviour of actual roots, should be used.

## 2 Measuring the Force Exerted by Thickening Roots

### 2.1 A System to Measure the Force Exerted by Enlarging Roots

Root growth has been studied, but no studies have yet to measure the force exerted by thickening roots. Thus, we initiated the measurement of the force in this study.

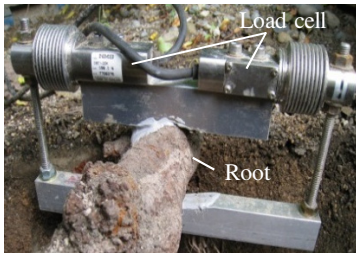
Roots grow along their radial axes and length wise. Roots that are thickening often cause pavement damage. Therefore, we developed a system to measure the force exerts by the growing root in the radial direction. The system is shown in Fig. 2. The top and bottom of the root was firmly held with square aluminum square bars, and the force exerted by the growing root against the aluminium bars was measured using load cells attached symmetrically on top of the root. The square bars and load cells were fixed with bolts to ensure that root growth is measured under constrained conditions.

## 2.2 Measurement Procedure

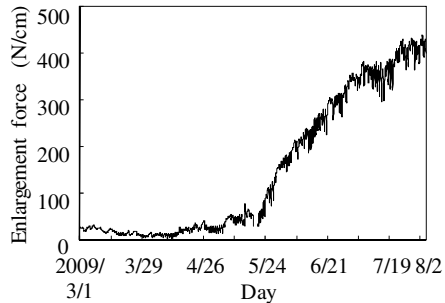
Cherry trees are often planted along roadsides in Japan; thus the measurement system was affixed to a cherry tree of approximately 21 years old with a circumference at breast height of about 138 cm. The apparatus was set approximately 2 m away from the tree. To avoid the effects of direct sunlight, rain, and wind and to protect the roots, a metal plate cover was placed over the device. Measurements were obtained from March through early August of 2009 during which root enlargement was assumed to occur.

## 2.3 Measurement Results

The results of our measurements are shown in Fig. 3. Although no increase in load was observed in March, the load increased gradually in repeating cycles in the beginning of April, decreasing from dawn to daytime and increasing from the evening to midnight of each day due to transpiration. From the middle of May, the load increased rapidly and began to slow down in late July. The maximum value was obtained on July 29, 2009 when the force exerted by root enlargement was 440 N/cm. Subsequently, the force levelled off; thus the measurements were stopped on August 2, 2009.



**Fig. 2.** Device for measuring the force exerted by root enlargement



**Fig. 3.** Variation of force exerted by the growth of a cherry root

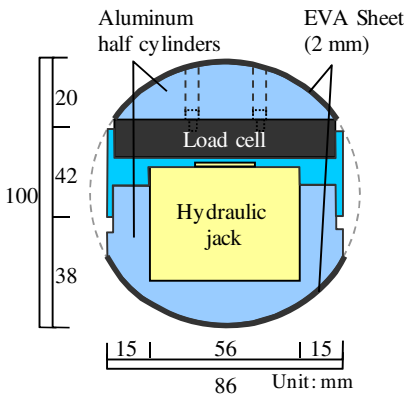
## 3 Preparation of Simulated Roots

### 3.1 Basic Concept

Root growth occurs in the circumferential direction, but, as shown in Fig. 1, upward forces cause most of the damage. Therefore, root resistance can be evaluated simply by exerting an upward uniaxial compressive force to simulate the root growth mechanism.

### 3.2 Mechanism and Construction of the Simulated Root

We simulated root enlargement by pushing on the upper and lower semicircular aluminum columns that act like the cross section of a root, using a small hydraulic jack as shown in Fig. 4. The hydraulic jack had a maximum stroke of 10 mm; thus the simulated root could be enlarged up to 10 mm. Load cells were attached inside the simulated root, allowing measurement of the pressure generated by the root during the test. The diameter of the simulated root was set to 100 mm. This was based on the diameter of the cherry tree root observed earlier. The length of the simulated root was also set to 100 mm.

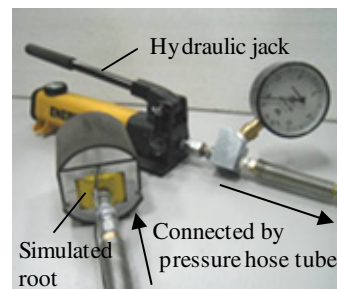
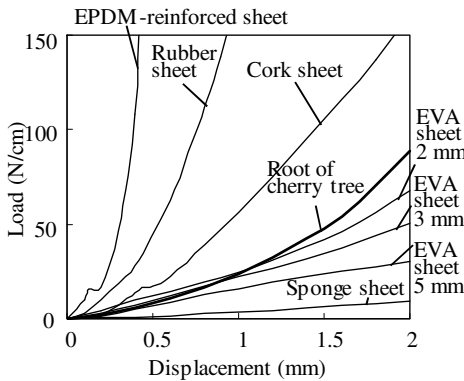


**Table 1.** Thickness and hardness of surface materials for the simulated root

Material	Thickness	Hardness*
Cork sheet	2 mm	51.5°
Rubber sheet	3 mm	63.2°
EVA sheet (ethylene-vinyl acetate)	2 mm	27.2°
	3 mm	28.3°
Sponge sheet	3 mm	29.4°
	5 mm	7.4°
EPDM-reinforced sheet	1.5 mm	66.1°

\*measured by durometer (A)

**Fig. 4.** Cross-section of simulated root



**Fig. 5.** Relationship between displacement and load measured in compression test of simulated roots covered with various surface materials and the root of a cherry tree (loading rate 1 mm/min, 20°C)

**Fig. 6.** Simulated root and compression system

Aluminium, however, is harder than cherry root. To approximate the mechanical properties of the cherry root, the surface of the aluminum columns was covered with an elastic material. To select the ideal surface material, aluminum columns with various elastic sheets as shown in Table 1 and the actual root of a cherry tree were subjected to compression tests at a rate of 1 mm/min. The results of these tests are shown in Fig. 5. A sheet of ethylene-vinyl acetate (EVA) 2 mm in thickness behaved the most like the cherry root; thus EVA was used to cover the columns. The simulated root and the compression system are shown in Fig. 6.

## 4 Evaluation of Asphaltic Pavement’s Root Resistance Using a Simulated Root

### 4.1 Specimen

We studied the usefulness of using simulated roots to evaluate root resistance using asphaltic pavement specimens obtained from a sidewalk. Asphaltic pavements vary widely from full-fledged pavements supported by sufficiently thick layers of roadbed materials such as crushed stone, gravel, or slag to simple pavements with a layer of sand laid as the roadbed material. In this study, a relatively simple type of pavement, shown in Fig. 7, was used to confirm the usefulness of simulated roots. This pavement specimen comprised a layer of sand placed level as the roadbed material with asphalt applied over it. The thickness of the roadbed above the root affects how much cracking and lifting of the asphaltic pavement occurs; thus we prepared specimens with 4 different roadbed thicknesses (0 mm, 10 mm, 20 mm, and 50 mm) to be placed above the simulated root.

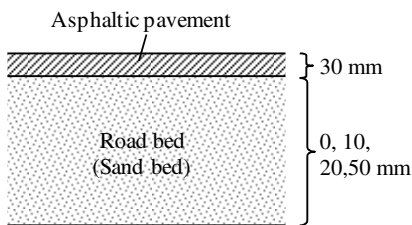


Fig. 7. Cross-section of the asphaltic pavement specimen

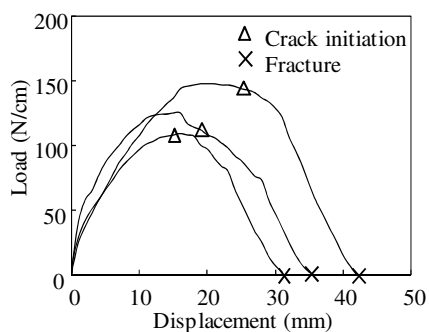


Fig. 8. Relationship between load and displacement measured by three-point bending test of asphaltic pavement

Asphaltic pavements are usually somewhat flexible just after construction. Many kinds of damage cannot be observed immediately, and are visible only after the pavement has deteriorated somewhat over time. Therefore, asphaltic pavement that had been used as a sidewalk for about 10 years was used in the test. The relation between load and displacement in the asphalt, measured with a three-point bending test, is shown in Fig. 8. The Young's modulus calculated using these results was  $304.6 \text{ N/mm}^2$ . To obtain specimens from this pavement, we cut out test pieces that are 680 mm long, 100 mm wide, and 30 mm thick.

## 4.2 Test Method

The following test procedures were employed: First, the simulated root was installed in a container with inside dimensions of 700 mm by 110 mm by 330 mm. Then, the container was filled with sand to simulate a roadbed with a specified thickness, making sure the sand was packed sufficiently tightly. The asphaltic pavement was then laid, and both edges were fixed with supporting bars. Next, oil was transferred to the simulated root from the hydraulic jack to pressurize the roadbed and asphaltic pavement from underneath. In our previous trial using a cherry root (section 2.3), the enlargement force increased to 440 N/cm very slowly with an average increase of 5 N/cm per day over 3 months from May to July, as shown in Fig. 3. However, in our indoor test, we manually exerted pressure on the pavement with a target pressurization rate of 5 N/cm per minute to obtain results quickly.

The test conditions are shown in Fig. 9. Cracks on the asphaltic pavement surface were monitored visually and we measured the height to which the asphaltic pavement center was lifted with a displacement meter. The test was considered complete when cracks appeared in the asphaltic pavement or when the maximum deformation (10 mm) of the simulated root was achieved.

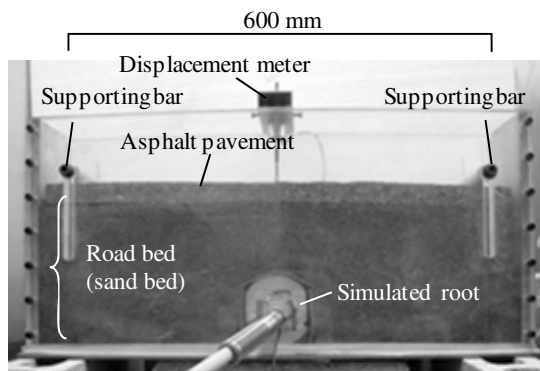
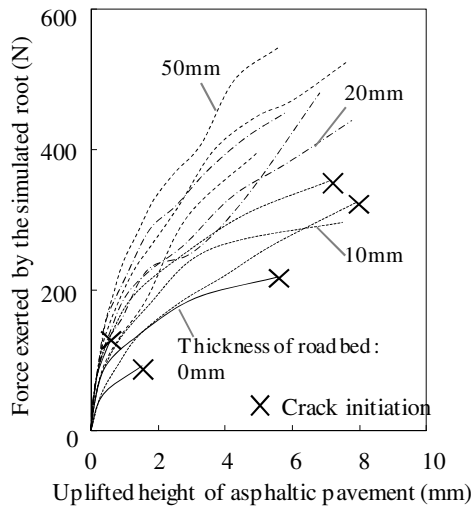


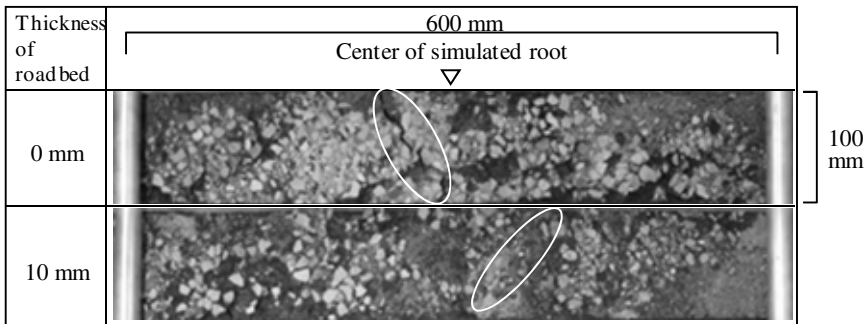
Fig. 9. Root-resistance test

### 4.3 Results and Discussion

Fig. 10 shows the height to which the asphaltic pavement surface was lifted when the simulated root was pressurized. An increase in the pressurization force by the simulated root caused a gradual elevation in the asphaltic pavement surface. Cracks were generated on the asphalt surface when the roadbed thickness above the simulated root was 0 mm or 10mm. The pressurization force at which cracking occurred was about 300 N when the roadbed thickness was 10 mm, but only 100 N when the roadbed thickness was 0 mm. The conditions under which cracks formed on the asphaltic pavement surface are shown in Fig. 11. Irrespective of the roadbed thickness, cracking usually occurred at points directly above the center of the simulated root.



**Fig.10.** Relationship between pressurization of the simulated root and the height to which the asphalt pavement was lifted



**Fig. 11.** Cracks occurred on the surface of the asphaltic pavement (No cracks were observed with sand bed thickness of 20mm and 50mm)

We also observed that the height to which the pavement was lifted tended to decrease as the thickness of the roadbed increased. We surmise that this is because the roadbed layer acts as a buffer and disperses the force from the simulated root; conversely, in the case of thin pavements, the force from the simulated root is applied directly to the asphaltic pavement. In either case, the distance between the asphalt and the root was considered to be an important factor in determining the damage to the asphaltic pavement.

The nature of this damage was similar to that observed in actual asphaltic pavement sidewalks, leading us to believe that the simulated root developed in this study will be useful in evaluating root resistance.

### 4.4 Comparison with Results of Numerical Modelling

*Modelling for numerical calculation* The height to which the asphaltic pavement was lifted measured in the root resistance test using the simulated root was compared with numerical simulations conducted using a finite element method. Table 2 shows the physical constants used in the numerical calculations.

The conditions of the analysis were as follows:

(1) Physical characteristics

The tensile strength and ultimate strain of the asphalt were calculated using inverse analysis of the tension softening property, and Young’s modulus was measured using the previous three-point bending test. We used published values for the Young’s modulus and Poisson ratio for the sand. We assumed that cracks were generated when the load approached the tensile strength of the asphalt.

(2) Interface element

We set interface elements between the asphalt and the sand bed, and between the simulated root and the sand bed. The interface elements had a defined stiffness in tension, but none for compression.

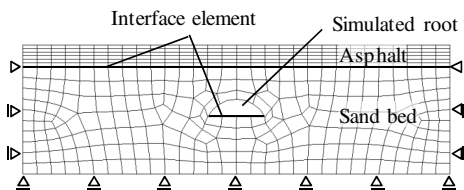
(3) Model of simulated root

The simulated root was modelled as the only moving part, and was constrained not to undergo any deformation. In the model, displacement of the simulated root was set at 0.05 mm increments up to a maximum of 10mm of displacement achieved in 200 steps. An element breakdown and the constraint conditions of the numerical simulation are shown in Fig. 12.

**Table 2.** Physical constants used in the numerical simulation

	Asphalt	Sand bed
Yang’s module (N/mm <sup>2</sup> )	304.6	200.0*
Poisson ration	0.35*	0.40*
Tensile strength (N/mm <sup>2</sup> )	0.61	-
Ultimate strain	0.26	-

\*Published Values



**Fig. 12.** Element breakdown and constraint conditions for the numerical simulation



## 4.5 Results of Calculation

The results of the simulated root test and the numerical simulation with the thickness of sand bed set at 50 mm are compared in Fig. 13. The uplift of the asphaltic pavement calculated by the numerical simulation is greater than that measured by the resistance test using the simulated root. For modelling purposes, the sand is defined as an isotropic material with a Young's modulus and a Poisson ratio as shown in Table 2; thus, movement between the sand particles was not taken into consideration. Such movements in the physical experiment may account for the difference. The two simulations, however, are generally consistent and agree well with each other.

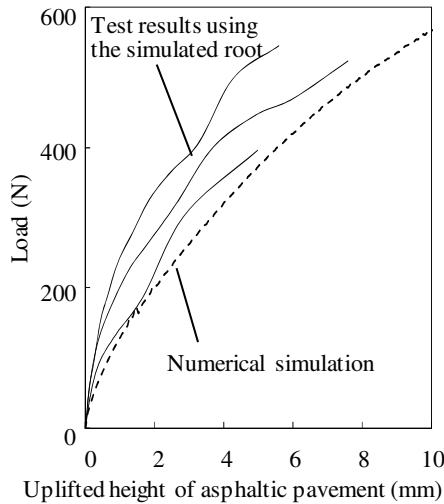


Fig. 13. Comparison of test results with numerical simulation (Thickness of sand bed : 50mm)

## 5 Conclusion

In this research we developed a simulated root that makes it possible to evaluate root resistance quickly and easily.

First, we developed a system to measure the enlargement force exerted by root growth. We used this system to measure the force of a cherry root and determined that the maximum force exerted by root thickening between mid-April and late July was approximately 440 N/cm.

Then, we developed a simulated root, consisting of a pair of semicircular aluminum columns, a hydraulic jack, a load cell and a 2 mm-thick EVA sheet based on the measured root shape and mechanical properties of a cherry root.

Finally, we conducted a root resistance test on a simple asphaltic pavement as an example. We found the simulated root developed in our study to be useful in evaluating root resistance.

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# 20 Years of Research on Asphalt Reinforcement – Achievements and Future Needs

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**Abstract.** A proper road network is crucial for the economy. For the primary system, the motorways, this implies that its usage should be safe, the arteries should be spread around the economic centres and there should be an undisrupted traffic flow. For the secondary system, the rural roads, this implies that the width and bearing capacity should be such that there are no limitations for the distribution of goods.

The basis of the primary road network has been built. The focus is now on maintenance. Due to the increased cargo weight per truck axle, the introduction of super-single tyres, the growing traffic and the political difficulties to achieve widening of roads, the individual layers of pavement structures are degrading at a faster rate, while there is no time frame left to do structural maintenance. Also there is the desire that pavements should last longer and when a treatment on a specific jobsite is necessary, it should be carried out in a short(er) period of time. Furthermore, maintenance intervals should become more accurately predictable, so they can be planned better in combination with other works on for instance bridges, safety barriers, traffic management infrastructure, etc. With respect to the rural roads, it has become clear that because of the reduced budgets, more cost-effective ways to upgrade pavements are needed.

From the foregoing it can be concluded that there is a clear need for reliable, robust and cost-effective (structural) maintenance technologies, such as the (right) use of grids in asphalt layers.

## 1 History of Grids in Bituminous Layers

During the 1960's the first applications on the use of grids in asphaltic layers have been reported in the USA. The experience with steel welded fabrics was positive from the effectiveness point of view. However, this type of reinforcement was more or less impossible to place and remove. This was the reason that it died.

In the early nineteen-seventies a synthetic grid was trialled in the Netherlands. Problems were reported with respect to the installation, the lack of bond (adhesion) with the surrounding asphalt and the anchorage. Market penetration was also not successful, because of insufficient know-how about the mechanism behind these products. Road authorities were for instance trying to check the

degree of improvement by means of deflection measurements or product rolls were too narrow (creating pullout problems). Last but not least, at that time the focus in the sector was on new roads.

Around 1985 different types of grid products successfully entered the market in the Netherlands. These consisted of polyester, polypropylene, glass and steel. All products in fact originated from other fields within the construction industry. This is also the reason that in the early days failures took place, because some products were found not to be suited for the quite special demands in case of the application in an asphalt overlay. After some improvements, reinforcement by means of grids has become an accepted method to tackle reflective cracking in the Netherlands since the 1990's.

## 2 Phenomenon of Reflective Cracking in Asphalt Pavements

Many pavements, the life of which are thought to be extended by means of a new surface course, reveal soon after construction of this overlay a crack pattern similar to that which was visible in the old existing surface [1]. This propagation of cracks or joints from the old pavement into and through the overlay is commonly known as “reflective cracking”, Fig. 1. It occurs in all types of pavement structures (flexible and semi-rigid/composite) and imposes heavy strains on national and local road authorities. This is because cracks in pavement surfaces allow water penetration into the structure (weakening its foundation), cause ravelling at the edges of the crack (thus breaking windshields of cars), increase the roughness (thereby disrupting comfort and creating dynamic loadings) and also generate noise and vibrations. Since filling cracks with bitumen is not a durable option (must be repeated after each winter), is unsafe for motorists and creates poor esthetics, a better alternative is required. More than twenty years of experience has shown that in general (but not always) grid reinforced overlays can be a good and cost-effective solution.



**Fig. 1.** Typical reflective cracking on a cement treated base (left) and concrete slabs (right)

Depending on a number of factors, reflective cracking can be caused by the following mechanisms [1]:

- Traffic (especially during cold periods);
- Daily temperature cycles (extreme);
- Seasonal temperature variations (summer/winter);
- Shrinkage of soils in dry periods;
- Downward subsoil movements (uneven settlements);
- Upward subsoil movements (frost heave).

The first three mechanisms are the major ones worldwide. In the case of traffic, the crack initiation phase as well as the crack propagation phase within the overlay mixture are both of importance for the overall life, whereas in case of temperature cycles the crack initiation phase is the dominant factor [2, 3].

Based on proper investigations and analyses (also in-situ), it is possible for an experienced pavement engineer to deduce which cracking mechanism has been or will be active on a specific site [1]. It is important to perform this work, because for example depending on the type of loading, an asphalt mixture responds differently with respect to the phenomenon cracking. Also, the effect of maintenance options and via this, their cost-effectiveness, strongly depends on the specific project circumstances/details.

### 3 Mechanisms of Asphalt Reinforcement

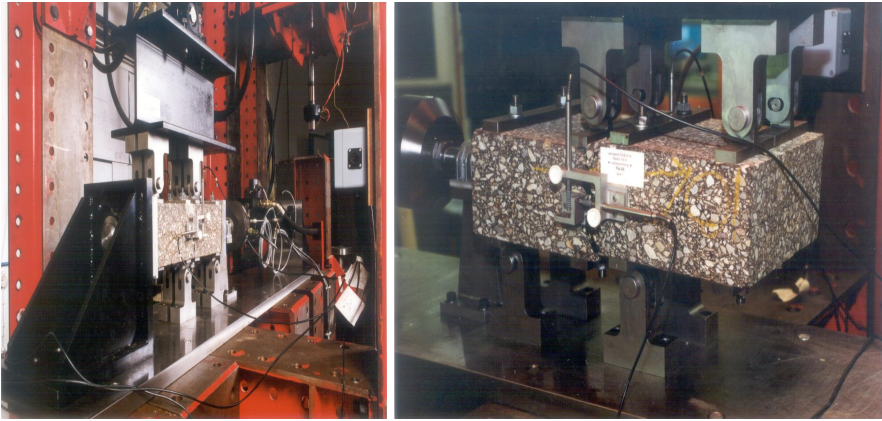
The effect of a grid reinforcement in asphalt depends on the:

- Type and severity (movement) of the cracks/joints;
- Characteristics and nature of loading of the pavement structure;
- Location within the overlay;
- Properties of the grid (mechanical, durability, etc.);
- Anchorage (bond) method/procedure;
- Quality of installation (including the paving operation).

It has been found by means of finite element computations, laboratory work and (limited) field experience that depending on the factors listed above, a lifetime increase of up to a factor 5 compared to a similar unreinforced overlay can be achieved. A factor  $x$  means that it takes  $x$  times more traffic repetitions or temperature cycles, before a reflected crack is visible at the surface of the overlay. Typical grid reinforcements, with tensile strength values from 15 up to 250 kN/m<sup>1</sup> (according to EN 15381:2008), are mainly activated during the crack propagation phase in case of traffic loading (in bending as well as in shearing mode). In case of thermally induced reflective cracking the reinforcement is already activated during the crack initiation phase.

The fact that grid reinforcement in asphalt is also beneficial under so-called pure mode II shearing action in a (new!) crack in an overlay has become apparent from extensive fundamental research carried out by de Bondt at Delft University

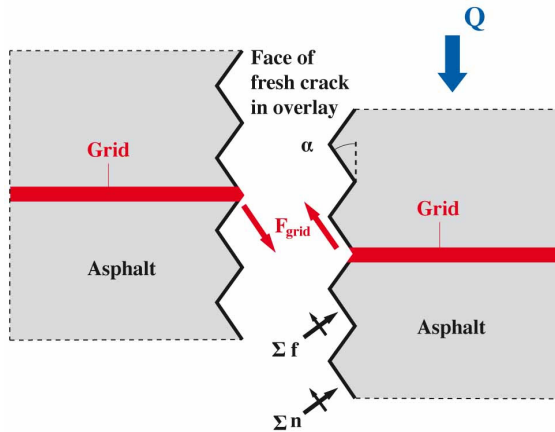
of Technology [1]. To get insight into the effect of the presence of reinforcement on the load carrying capacity of cracks in asphaltic mixtures, shear tests were performed on plain as well as reinforced cracks, Fig. 2. The specimens were taken from a pavement trial section specially made for this purpose; the asphalt layers were laid down and compacted with ordinary (routine) construction equipment. First of all, aggregate interlock tests were performed under different confining pressures. The measurement data enabled the development of a theoretical saw-tooth model (including a specific crack inclination angle  $\alpha$ ) explaining and describing the observed behaviour.



**Fig. 2.** Newly developed 4-point shear testing device for plain cracks (left) and reinforced cracks (right)

From a series of shear tests on reinforced cracks, it could be concluded that these types of cracks can transfer shear without externally applied normal pressure. This is possible, because adequately anchored reinforcement is capable of generating a normal force  $\sum n$  at the crack (via crack dilatancy), which allows friction  $\sum f$  along the planes of the crack to occur, Fig. 3. The contribution of the (indirectly via the grid) generated friction along the teeth of the crack is even larger than the one generated by the reinforcement directly. It is obvious that this mechanism only occurs if asphaltic mixtures are composed with proper sized mineral aggregates (grading  $> 2$  mm); in case of so-called sand mixes there is no shear carrying capability of a reinforced asphalt crack in pure mode II.

From measurements on several commercially available reinforcing systems, it appeared that not only the axial product stiffness  $EA$  of a reinforcement is an important factor, but also its resistance to pullout and anchorage length. The way in which the junctions between the ribs/strands of a reinforcing product are manufactured, controls if pullout restraint is developed via bearing of the mineral aggregate of the asphalt mixture in the grid apertures or via friction and adhesion along the strands. It is important to realise that the component adhesion in generating pullout restraint is a typical aspect of the application of grids in asphalt layers; this because bitumen sticks (and its shear stiffness is temperature and rate dependent).



**Fig. 3.** Schematic illustration of forces acting in a reinforced crack under external shear loading ( $Q$ )

It also became clear that the required anchorage length in the field and in fact also during laboratory tests, depends on the type of loading (traffic or temperature cycles) and testing temperature.

This effect is often underestimated by laboratories, which do not analyse the mechanics of their test set-up before starting to work with it; this leads to unwanted biased results. Adequate mobilisation of the reinforcement is an important issue. To study this topic, dedicated research is required [4].

#### 4 Design of Reinforced Asphalt Pavements

The current situation in practice with respect to design is that:

- Generally applicable (accepted and standardised) design methods for (reinforced and unreinforced) maintenance treatments are not available.
- Design is often based on personal experience (often hardly documented) or extrapolated laboratory simulation data; the latter is not always allowed since circumstances differ in the field from project to project.
- Tender specifications are incomplete or not representative.
- Criteria for the evaluation of alternatives are missing.
- Product characterisation is not uniform, despite CE-marking.

Since the beneficial effect of a given grid reinforcement highly depends on the type of cracking mechanism which is dominant on a particular jobsite and is also extremely case dependent (e.g. the bearing capacity of the soil can play an important role), proper design based on (extrapolated) laboratory experiments and/or accelerated load testing data is not possible. The requirements for any design model or procedure for grids in asphaltic pavements, which is meant to be used for routine purposes, can be summarised as follows [5]:

- It should tackle the right project specific cracking mechanism.
- If relevant, the traffic characteristics (number, type of vehicles, speed) specific for the jobsite need to be taken into account.
- If relevant, the temperature variations in time (day/night, season) specific for the jobsite have to be incorporated.
- The pavement and soil properties relevant for the jobsite should be used.
- In case of maintenance, the existing condition of the pavement has to be one of the input parameters.
- The mechanical and durability characteristics of the grid (the in-situ stiffness/strength including the potential effect of damage during installation) must be incorporated in sufficient detail.
- The interaction between grid and surrounding asphalt mixtures has to be taken into account.
- The computational engine (procedure), which is behind the design method should be described in such a way that it can be evaluated (judged) by third parties.
- The method should have been validated with long-term field monitoring data.
- Life-cycle costing analyses should be possible in an easy way.
- For an average jobsite the (user-friendly) design process should not take too long for an average skilled pavement engineer who is familiar with the mechanistic-empirical approach.
- The end result of the design process should be tender specifications and a sketch of the laying plan of the grid. The tender specifications should be in a generally accepted format, where product description is according to international standards (CEN, ISO).

Furthermore, it is recommended that parameters which give an indication about road user costs and driving comfort are outputted. This because it is interesting for clients to know the effect of maintaining the pavement with a grid on parameters such as the Present Serviceability Index or the International Roughness Index.

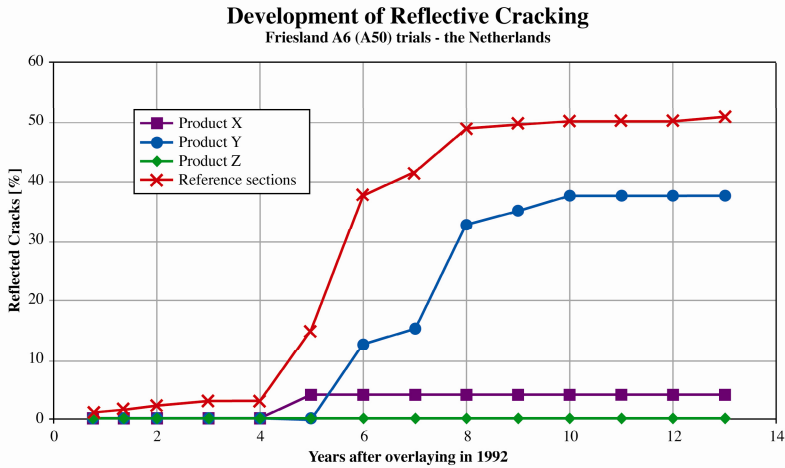
## **5 Current and Potential Field of Application**

At the moment grid reinforced asphalt is used in overlays on flexible pavements which show alligator (fatigue) cracking, transverse (low-temperature) or block cracking, longitudinal top-down cracking, pavement edge stability cracking problems or wide (open) longitudinal construction joints. Furthermore, they are applied in asphaltic overlays on transverse and longitudinal joints in PCC-slabs or on top of continuously reinforced concrete. Also there are applications in asphalt overlays on top of cracked asphalt on cement treated bases; this is in fact on old reflected cracks. Applications along road widenings (the transition new/old) can be found in all pavement types.

Depending on the application area, the characteristics of the grid system and the quality of the installation procedure, there have been of course positive and

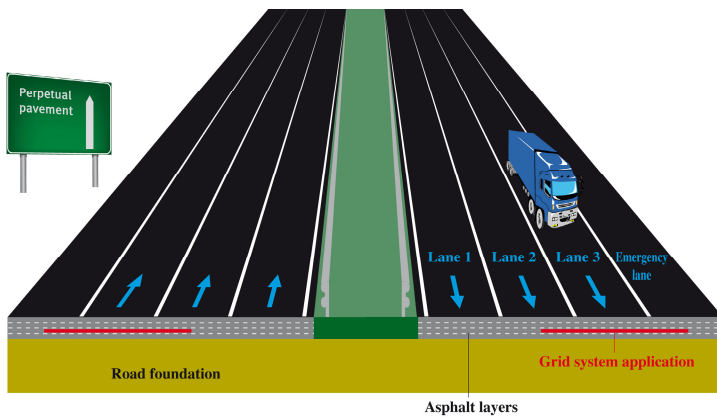


negative experiences in the field. This also has to do with the fact that not each product is suited for each situation. Fig. 4 shows an example of the results of long-term field performance monitoring on a semi-rigid pavement structure.



**Fig. 4.** Long-term performance of the motorway A6 (A50) in the Netherlands

Hardly ever it is decided to apply grid reinforcement in a new construction. This has to do with the impossibility to back-up the reinforced case with a design or it is simply not (seen as) a cost-effective option. Out-of-the-box thinking might suggest that in case of for example a 30-year PPP-project the introduction of a grid (with a certain minimum product stiffness and durable pullout resistance) at the bottom of only the slow lane (lane 3) of a new or reconstructed motorway, creates a perpetual pavement without the need for an entire cross-section of thick asphalt across all lanes, Fig. 5.



**Fig. 5.** Potential grid application in large scale new construction

## 6 Innovative Examples of Application

Areas in asphalt pavement construction where grids are successfully applied outside the standard way of using them, are for instance invisible joint systems, slab rocking details and asphalt solar collector systems. In case of invisible joint systems [6], specific grids are used in different asphalt layers on top of each other (up to even 6 layers). This to make a jointless and maintenance free transition between a bridge and the road possible; it is done in such a way that an asphalt plug joint (which has a short life) is not necessary. In case of slab rocking details a combination of a special grid, polymer modified bitumen and an optimized asphalt mixture is composed in such a way, that it is not needed to take out a PCC-slab which shows rocking (large differential movement) at the joint. In asphalt solar collector systems, grids are used to enable first of all the installation of the pipes; during the service life of the road they make sure that the structural integrity of the pavement is kept.

## 7 Conclusions

During the past 20 years it has become clear that grid reinforcement applications in asphaltic pavements are clearly solving the needs in our society. There is even more potential. To materialise this potential, improve the cost-effectiveness and avoid the risk of bad (non-suited) applications, research needs have come up in recent time. The main issues are design and adequate product characterisation.

## 8 Future Research Needs

Based on twenty years of experience in fundamental research (including laboratory testing), design, practical application/installation, long-term field performance, product development, standardisation and strategic market overviews, the following research needs can be listed:

- Design procedures for the major areas of application (linked to unreinforced design tradition/methods).
- Development/improvement of a series of laboratory test methods to fully characterise the mechanical and durability properties of new (unknown) high-quality and low-quality (surrogate) grids entering the market (to become part of some form of CEN type testing); part of this research is prenormative work.
- Perform (and report!) long-term field performance studies to validate (future) design procedures.
- Clarify the issue of the optimum adhesion between (cracked) pavement layers; this currently creates unnecessary confusion in practice.
- Development of an adapted (dedicated) 4-point bending fatigue test for reinforced asphalt samples, which can be carried out in each asphalt laboratory capable of doing the standard European Union 4-point bending fatigue test for asphalt mixes.
- Whole-life costing on grid applications in new asphaltic pavements.

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# Concrete Pavement Strength Investigations at the FAA National Airport Pavement Test Facility

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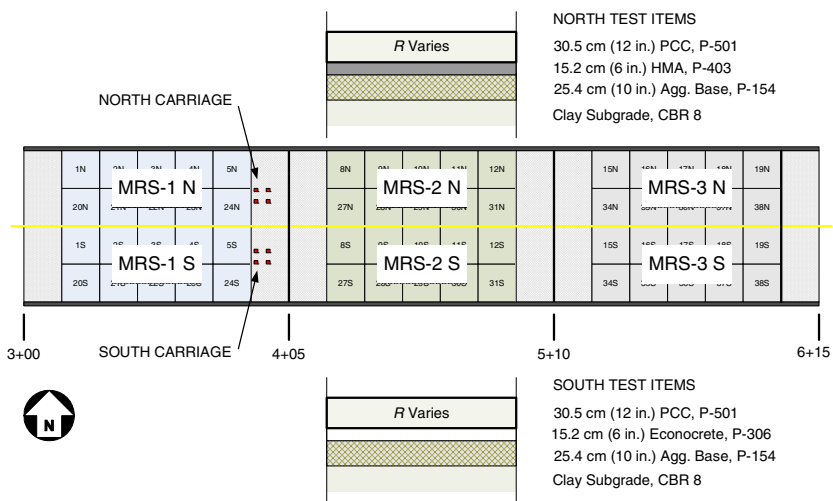
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**Abstract.** The Federal Aviation Administration (FAA) conducted airplane gear load tests on three new concrete pavement test items at the National Airport Pavement Test Facility (NAPTF) to determine the in-situ concrete slab strength and estimate the actual stress ratios to be expected under full-scale traffic loads. Full-scale static loads were applied incrementally to designated slabs within the test items to identify the cracking loads for both bottom-up and top-down cracks. Crack initiation was determined by monitoring real-time strain gage measurements. Some of the strength tests were supplemented by rolling-wheel traffic loads to try to propagate the already initiated cracks to full depth. A limited set of beam fatigue tests on standard lab-cured beams cast at the time of construction provided laboratory data for comparison. The immediate practical result of the strength tests was to allow the FAA to set the wheel loads for the CC6 full-scale trafficking phase at approximately 80 percent of the slab cracking strength measured for the low-strength test item. The long-term goal of these investigations is to relate pavement cracking strength to flexural beam strength from standard ASTM C 78 tests, and to relate the pavement stress ratio to the stress ratio in laboratory fatigue tests performed on standard concrete specimens. Both tests are needed to satisfy the requirements of fatigue theory.

## 1 Introduction and Background of CC6 Tests

To understand the fatigue behaviour of concrete pavements at the structural level, it is necessary to consider the pavement strength. Most published fatigue results for concrete pavements were obtained at the material level by testing beam specimens. Thus, almost all “fatigue” models for design fail to completely satisfy the fundamental requirements of fatigue theory – the two key variables, stress and strength, are obtained from two different structures. The results of full-scale tests in this paper can be used to derive the actual stress ratio, avoiding problems of correspondence between the test specimen and the full structure.

The NAPTF, located at the FAA William J. Hughes Technical Center, Atlantic City International Airport, New Jersey, USA, is a unique facility for full-scale testing of airport pavements. The current cycle of rigid pavement full-scale tests at the NAPTF has been designated Construction Cycle 6 (CC6). The three test items in CC6 have been constructed with identical cross-sections, but with three different concrete mixes designed to give different values of flexural strength  $R$ . In addition, two different subbase materials (econocrete and hot-mix asphalt) provide a total of six combinations of concrete strength and subbase type (Figure 1). As shown in Figure 1, gear loads are applied by the north and south carriages, each of which is configured to simulate a 4-wheel (2D) gear with lateral wander. In Figure 1, the gears are shown in the center wander position, designated “track 0.” Details of the wander pattern used for trafficking may be found on the NAPTF web site [1]. The material designations in Figure 1, e.g., item P-501, Portland cement concrete (PCC), refer to FAA specifications found in [2].



**Fig. 1.** General layout of CC6 test items. Stationing is shown in hundreds of feet (100 ft. = 30.5 m). All slabs are 4.6 × 4.6 m (15 × 15 ft.).

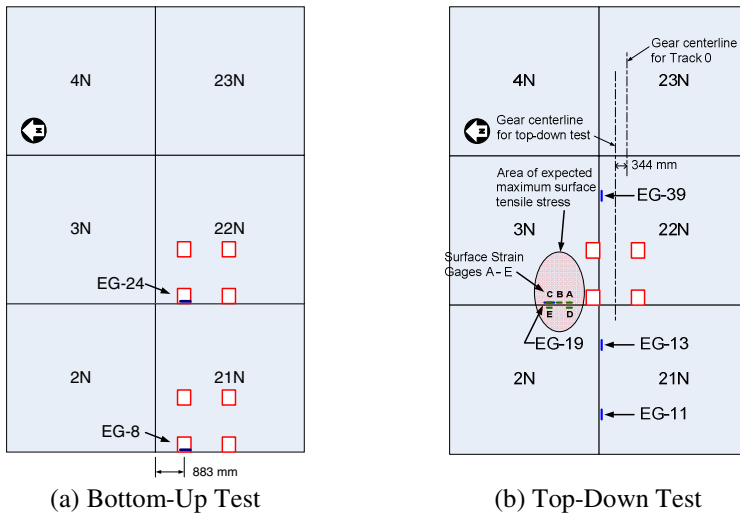
Three different concrete mixes were used to obtain a separation in concrete strength. Table 1 shows that the actual 28-day flexural strength values, as determined by ASTM C78 4-point beam tests [3], were close to the target values, except for the low-strength mix (MRS-1), which was higher. Nevertheless, a statistically significant separation in mean test item concrete strengths was achieved. Each reported value in Table 1 is an average of 12 samples, which includes both laboratory- and field-cured specimens.

**Table 1.** PCC Strength Values for CC6 Test Items

Test Item	Target $R$ , MPa (psi)	28-day Flexural Strength $R$ , MPa		28-day Compressive Strength $F'_c$ , MPa	
		Mean	Std. Dev.	Mean	Std. Dev.
MRS-1	3.45 (500)	4.56	0.31	25.8	2.51
MRS-2	5.17 (750)	5.26	0.74	30.3	3.95
MRS-3	6.89 (1000)	6.70	1.24	41.0	1.59

## 2 Slab Strength Tests

Full-scale slab strength tests were performed using the NAPTF vehicle to provide the load while monitoring the response using strain gages in real time. The position of the gear depended on whether the test was for bottom-up or top-down cracking.



**Fig. 2.** Position of 2D gear for slab strength tests on test item MRS-1N

### 2.1 Bottom-Up Strength Tests

Bottom-up strength tests were performed on two slabs (21N and 22N) in test item MRS-1N (low-strength) on June 30, 2011. The 2D gear was positioned as shown in Figure 2(a) so as to produce the maximum tensile stress at the bottom of the slab near the embedded strain gages EG-8 and EG-24. A small initial wheel load (2268 kg per wheel) was applied, held for approximately 10 s, then released. During this interval, strain gage data was acquired at a rate of 20 Hz. The wheel

load was increased in 2268 kg (5000 lb.) increments and the procedure repeated until a bottom-up crack formed (Figure 3). The test result shown in Figure 3 is typical of the case where the bottom-up macro crack develops near, but not through the strain gage. Under the constant load condition, the strain increment may (a) reach a stable value (linear elastic condition), (b) increase, or (c) decrease. Increasing strain response under a sustained load may be explained by microcracking in the concrete matrix in the vicinity of the strain gage. This is seen in Figure 3, for example in the response to the 24,948 kg (55,000 lb.) wheel load. When the macro crack finally develops at some distance from the gage itself, this is indicated by decreasing strain under the load, as seen in the strain record for the 29,484 kg (65,000 lb.) wheel load.

At each discrete load level, the tensile strain increment corresponding to the applied load was computed and plotted against the wheel load (Figure 4). As expected, the relationship observed between the recorded strain and the applied load was approximately linear at low loads but turned highly nonlinear after a crack formed. The wheel load and strain increment corresponding to crack formation were determined with reference to Figure 4. Based on Figure 4, the strain increment related to the pavement strength for both slab 21N and slab 22N was estimated to be 123 microstrains. This level of strain corresponded to a wheel load of 29,484 kg (65,000 lbs.) for slab 21N but only 24,948 kg (55,000 lbs) for slab 22N. Although the strain response recorded by EG-08 was significantly lower than EG-24 under the same load (Figure 4), the measured pavement strengths are still similar (136 vs. 128 microstrains). In other words, the pavement strength has been verified experimentally as an indicator of concrete pavement resistance to crack initiation.

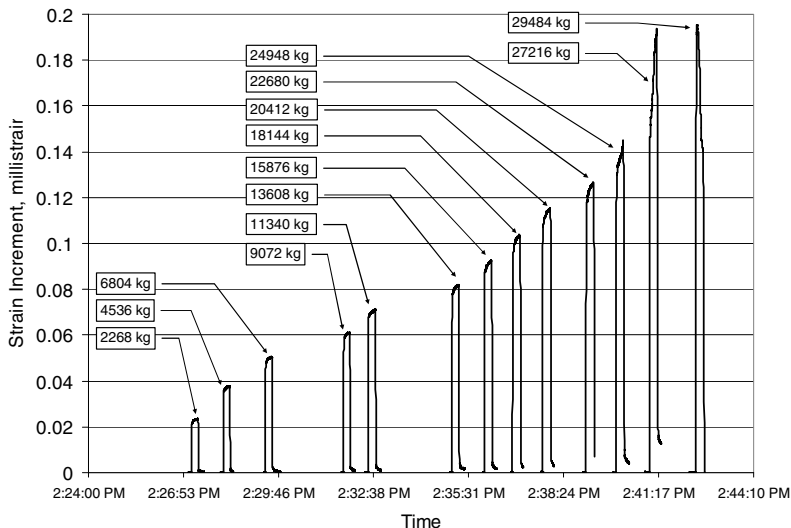


Fig. 3. Record of bottom-up strength test on MRS-1N, gage EG-24 (slab 22N)

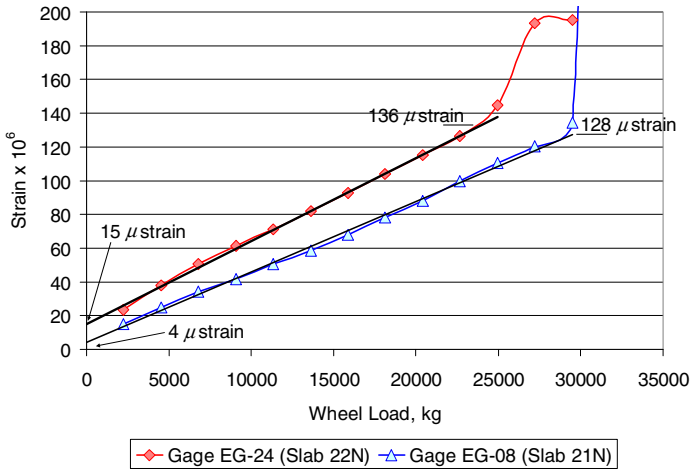


Fig. 4. Strain versus wheel load for bottom-up strength tests on MRS-1N

## 2.2 Top-Down Strength Test

A single top-down strength test was performed on test item MRS-1N on August 10, 2011. The concept behind this test is that the static gear load should be positioned in such a way as to maximize the ratio of tensile stress on the top surface of the slab to the tensile stress on the bottom of the slab under the wheel, thereby maximizing the opportunity for an initial crack to form at the surface. A previous study [4] involving finite element analysis of surface strain gage measurements at the NAPTF found that a gear alignment with one set of wheels along the longitudinal joint and the other on the adjacent slab produces high surface tensile stress at a short lateral distance from the gear. Thus, for the top-down test, the gear was positioned straddling two slabs (3N and 22N) as shown in Figure 2(b). The shaded oval on the transverse joint between slabs 2N and 3N indicates where the maximum surface stress was expected to occur. Along this joint, embedded gage EG-19, located 2.54 cm (1 in.) from the surface, was suitable for recording load-related strains. In addition, the five surface strain gages shown in Figure 2(b), designated A through E, were installed along the transverse joint. Gage C was installed directly over EG-19, and gages B and A were, respectively, 0.305 m (12 in.) and 0.610 m (24 in.) south of gage C. Gages D and E were located opposite A and C, respectively, on slab 2N.

Prior to performing the strength test, a series of load positioning tests was conducted at a relatively low wheel load. The purpose of these tests was to determine the optimal gear offset position to induce top-down cracking at the transverse joint (EG-19) location, while also minimizing the risk that a top-down crack would occur first along the longitudinal joint. A sequence of six load positions spaced laterally at 0.127 m (5 in.) was used. At each lateral offset, the gear was loaded to 11,340 kg (25,000 lbs.) per wheel and held for 10 s. Strain



gage readings were taken at EG-19, longitudinal gages EG-11, EG-13 and EG-39, and surface gages A through E. From analysis of these data, it was determined that the best choice was to place the gear centerline 344 mm north of Track 0, as shown in Figure 2(b). This was the offset used for the subsequent strength test, as well as for the second phase zero-wander trafficking discussed in a later section.

Figure 5 shows surface strain gage readings from the top-down strength test. As in the previous bottom-up test, the procedure was to apply a load, hold for 10 s, release, then apply the next higher load, increasing the wheel load in 2268 kg (5000 lb.) increments until a crack formed. In Figure 5, it is clear from the sudden change in the gage B reading for the 24,950 kg (55,000 lb.) load level that a crack has formed at the top surface. The load-related strain increment at the surface was approximately 135 microstrains. This strain can be related to a load-related maximum fiber stress provided the modulus of elasticity  $E$  of the concrete is known. However, it must also be considered that the total stress operating on the concrete slab, and which leads to the rupture, is the sum of the load-related stress and the built-in, or residual, stress:

$$\sigma_{tot} = E\varepsilon_{load} + \sigma_{res} \tag{1}$$

where  $\varepsilon_{load}$  is the load-related strain increment measured at the strain gage. If the in-situ residual stress can be measured then the total stress driving the crack formation can be estimated. An innovative means of measuring the residual stress in the slab was developed at the NAPTF [5] and built on by work at the University of Illinois under the FAA Center of Excellence for Airport Technology (CEAT) program [6]. In this procedure, a surface strain gage is applied to the slab. Then sawcuts are made on both sides of the gage to a sufficient depth to release the residual stress. After allowing sufficient time for the heat generated by the sawcut

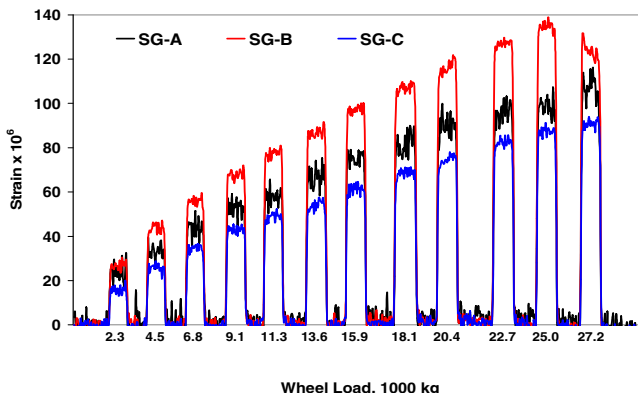


Fig. 5. Surface strain gage readings vs. wheel load for top-down strength test

operation to dissipate, the difference between the initial and final strain gage readings is proportional to the built-in stress. A series of such tests was performed on slab 22N, which gave inconclusive results. While an accurate estimate of residual stress is needed to compare the total slab top-down stress at rupture to the rupture stress from C78 tests, it is important to recognize that the wheel load based on strain ratio is not affected by the built-in stress.

### 3 Concrete Beam Tests

Prior to running the traffic test, an appropriate wheel load needed to be determined. Ideally, the wheel load should provide a reasonable number of passes to failure without exceeding the cracking strength for the low-strength slabs. A total of twelve  $150 \times 150 \times 550$  mm concrete beams were tested for both flexural strength (following ASTM C78 [3]), and fatigue resistance using the same test equipment. These beams were cast from four slabs in MRS-1N (low-strength) during concrete placement (April 2010) and had been cured under laboratory conditions since then.

One of the key considerations in the fatigue test is the loading frequency. Kesler [7] found that loading frequencies between 1 and 7 Hz did not significantly affect the fatigue resistance of the concrete. In low frequency fatigue tests, time-dependent characteristics such as creep and shrinkage influence the results. Awad and Hilsdorf [8] found the fatigue resistance of concrete in the low-cycle (high stress ratio) regime to be highly load rate sensitive, but for lower stress ratios the loading rate did not have an appreciable affect on fatigue life. In the present study, the loading frequency was set at 2 Hz with no unloading between pulses. A similar loading rate was previously used in large-scale concrete slab fatigue tests by Roesler et al. [9]. The ratio of minimum to maximum cyclic load was maintained at 10 percent during fatigue testing. Fatigue tests were conducted at two stress ratios, 0.7 and 0.8. The flexural strength values for the four slabs are given in Table 2. The values are fairly consistent, except for slab 2N, which was somewhat higher than the others. The average beam flexural strength was 4.82 MPa (699 psi). On the other hand, fatigue test results demonstrated very high scatter. As given in Table 2, the number of cycles to failure for an 80% stress ratio ranged from 363 (slab 2N) to over 5000. Overall, the mean fatigue strength results proved to be consistent with the 5% probability curve proposed by Hilsdorf and Kesler [10]. Based on the cycles to failure in the beam test for the 80% stress ratio, it was decided to use a load ratio (i.e., a ratio of traffic load to measured in situ slab cracking load) of 0.8 in the subsequent traffic tests. The beam data in Table 2 represent part of a more extensive program of beam fatigue tests (in progress), involving up to 240 beam samples from all three CC6 test item placements. The complete data set will be analyzed to determine how well the number of fatigue cycles corresponds to observed coverages to failure in the CC6 tests.

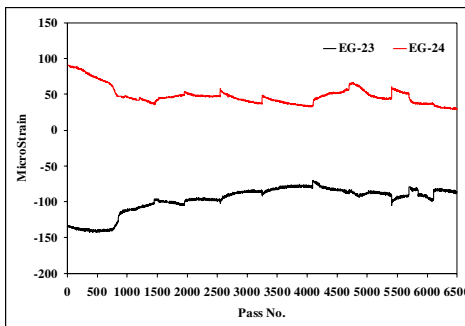
**Table 2.** Summary of Concrete Beam Test Results

Slab ID	Air, %	Unit Wt., kg/m <sup>3</sup>	w/c ratio	Max. Load, kN	Flexural Strength, MPa	Stress Ratio	Cycles to Failure
2N	7.0	2320	0.48	41.2	5.5	-	-
				30.5	-	0.8	363
				26.7	-	0.7	865
4N	7.0	2275	0.54	37.2	4.5	-	-
				30.5	-	0.8	1384
				26.7	-	0.7	2945
22N	6.0	2278	0.48	36.7	4.6	-	-
				30.5	-	0.8	776
				26.7	-	0.7	4637
24N	6.5	2272	0.59	37.1	4.6	-	-
				30.5	-	0.8	5112
				26.7	-	0.7	4141

#### 4 Zero-Wander Traffic Tests

The objectives of the zero-wander traffic tests included the following:

- (1) Comparisons between distresses developed under zero-wander traffic and under normal wander will help to evaluate the accuracy of the existing pass-to-coverage model used in FAARFIELD.
- (2) The rigid pavement failure can best be understood as a progression through three stages [11]. Stage one is from the new pavement condition to the initiation of a crack. In this case, the crack was initiated by the static load, and additional traffic was applied to complete stage two, characterized by the development of a full-length and full-depth crack. The stage two failure mechanism can be quantified more easily in a zero-wander test.



(a)



(b)

**Fig. 6.** (a) Strain gage readings during bottom-up crack propagation test. (b) Core from slab 22N indicating progress of bottom-up crack after 6500 passes.

### ***4.1 Bottom-Up Crack Propagation Test***

After the initial bottom-up crack was created by static loading of slab 22N, a rolling gear load at 1.34 m/s (3 mph) was applied to the slab at 80% of the bottom-up cracking load (195.7 kN per wheel) to propagate the crack to the surface. The lateral gear position of Figure 2(a) was used with no wander. Peak strain histories from gages EG-23 (top) and EG-24 (bottom) are presented in Figure 6(a). Up to the first 1000 passes, peak readings from both gages decreased dramatically, indicating that, initially, the bottom-up crack propagated quickly. This initial phase was followed by a period of stabilization attributed to the change in structure. After 6500 passes, the test was terminated without observing the crack at the surface. Cores (Figure 6(b)) verified the presence of the incomplete bottom-up crack. The reason that the bottom-up crack stabilized at this point is not definitely known, but it is probably linked to a redistribution of bending stresses in the post-cracked structure. In any case, it is noted that the longitudinal crack in question eventually did reach the surface after application of an additional 1650 passes of the 2D gear during the traffic test phase (i.e., with full wander) at a slightly higher per-wheel load of 200.1 kN (45,000 lbs.).

### ***4.2 Top-Down Crack Propagation Test***

Although strain gage analysis (Fig. 5) identified the beginning of a surface crack in the vicinity of SG-B, it was not visible to the naked eye. A rolling gear load at 80% of the surface cracking load (195.7 kN per wheel) was applied to slabs 3N and 22N to propagate the surface crack to full depth. The lateral gear position used was the same as for the top-down static load test, Fig. 2(b). The top-down crack propagated much more quickly than the bottom-up crack under the same wheel load. A top-down crack was visible after 18 passes, and traffic was stopped after 190 passes, when strain gage analysis indicated that the crack had progressed through the slab.

## **5 Conclusions**

A series of tests was performed to obtain the fracture load for full-scale rigid test items at the NAPTF, for both bottom-up and top-down load configurations. As a result of these investigations, an initial wheel load of approximately 80% of the rupture load was established for trafficking all CC6 test items. Traffic tests are underway at the time of writing. Concrete flexural strength obtained from beam samples (ASTM C78) is an essential input parameter for FAA rigid pavement design. However, the resistance to failure of a beam is significantly different from that of a pavement. The full-scale tests described in this paper provide reliable data for relating the strength and fatigue strength of pavement slabs to standard beams. Finally, the test allowed comparison of the failure mechanism for bottom-up and top-down cracks. Under similar traffic, the top-down crack progressed into the full-depth and full-length condition much more quickly than did the bottom-up crack.

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# The Effects Non-uniform Contact Pressure Distribution Has on Surface Distress of Flexible Pavements Using a Finite Element Method

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**Abstract.** The current practice in pavement design is to use a circular uniformly distributed load as the input to ascertain the maximum stresses in the pavement. This is not the reality; tyre-pavement contact stress distributions are very complex. The distress on the surface of the pavement in the form of rutting and surface initiated cracking is very much dependent on these complex stresses. This study investigates the effects that non-uniform contact pressure distributions have in comparison to the traditional circular loading on the initiation and rate of accumulation of this distress. The problem has been modelled using the CAPA-3D finite element software. The traditional circular load was modelled for two different asphalt materials with different moduli. The stresses in a number of key locations were recorded and measured. Then the non-uniform loading was modelled using the same procedure. What was of particular interest was the difference in the peak surface stresses and positions between the two different methods of loading. The non-uniform loading created significantly larger stresses on the surface in comparison to the circular loading. The non-uniform loading also created significant shearing forces close to the surface. This leads to a greater propensity for the surface to develop rutting and cracking to develop at the surface. The differences started to become less evident with depth and/or distance from the loading area for the principal stresses. It is recommended that for the design of surface layers non-uniform contact pressures should be used.

## 1 Introduction

Tyre inflation pressure has increased significantly since the AASHO road tests of the 1960s (1). There has also been an increase in the permitted axle loading. This has led to an increase in both the inflation pressure and axle load and a change in tyre type from bias ply tyres to radial ply tyres. This creates increased loads with higher inflation pressures on tyres with greater non-uniformity of pressure (2).

This added to the development of wide base tyres with smaller contact areas increases the problem further. It is believed that this leads to increased damage to the pavement and premature pavement damage, especially at the surface. The trend in increased inflation pressures has been seen in a study by Morton (3). The survey showed that from 1974-1995 the average inflation increased from 620kPa to 733kPa.

The current practice in pavement engineering is to use a circular uniform contact patch to represent a tyre load. This is used in conjunction with a Layered elastic program like BISAR to estimate the maximum tensile strain at the bottom of the asphalt package and the maximum compressive strain at the top of the subgrade. However, this method was shown to overestimate the tensile strain at the bottom of the asphalt layers and the compressive strain at the top of subgrade (4). This study also studied the effects of tyre pressures on pavement response; it was shown that increased tyre pressure can increase the propensity of the pavement to fatigue and rutting damage. De Beer has done a number of studies using the Vehicle-Road Surface Pressure Transducer Array (VRSPTA) to quantify the magnitude and range of contact pressure geometries in the vertical, lateral and longitudinal directions (5-8). This has given a great insight in the true nature of contact pressures that pavements are subjected to. It has been shown that the reality of contact pressure is far removed from the idealised scenario of a uniform circular contact patch. The pressure is highly non-uniform with peaks of vertical pressure 1-2 times the inflation pressure (the inflation pressure is usually used to represent the contact pressure). The shape is predominately rectangular and the width is relatively constant over a range of inflation pressures and axle loads. The shape of the contact pressure is dependent on the combination of tyre type, inflation pressure and axle load. This makes the increase in the inflation pressure, axle load and change in tyre designs rather worrying.

The non-uniformity of contact pressure leads to high stresses and strains on and near the surface of the pavement. These stresses and strains are higher than those created at the bottom of the asphalt and the top of the subgrade. This then gives rise to premature distress and maintenance interventions and causes an increased economic cost to the infrastructure stakeholders. The phenomenon of top down cracking has been highlighted (9-11). The cause of this cracking has not been conclusively proven but tyre contact pressures are believed to be a leading factor in this behaviour (12). The distress mode of surface rutting is also linked to the contact pressure being imposed on the pavement (13). These two modes of distress are influenced by the type and magnitude of stresses and strains on and near the surface of the asphalt layer. The nature of the contact pressure influences both the size of the peak stresses and strains but also where they are observed e.g. inside or outside the contact area (14).

In this paper, the effects of non-uniform vertical contact pressure on pavement response were investigated using a linear elastic constitutive model in the CAPA-3D Finite element package. The pavement response was predicted for the near

surface stresses and strains under the loading area and out from it. A uniform circular contact patch was applied and a rectangular contact patch with simplified non-uniform contact pressure from De Beer's VRSPTA was applied to a Finite Element mesh. These two loads and two different asphalt moduli (one high, one low) were used to investigate the effect of non-uniform contact pressure.

## 2 Objectives

- To establish the variation in the stresses induced on the surface and the near surface by non-uniform contact pressure in comparison with uniform contact pressure.
- To illustrate the affect that the Young's modulus has on the observed stresses on the surface and the near surface.
- To illustrate how the effects of the non-uniform contact pressure reduce rapidly with depth and/or distance from the contact area.

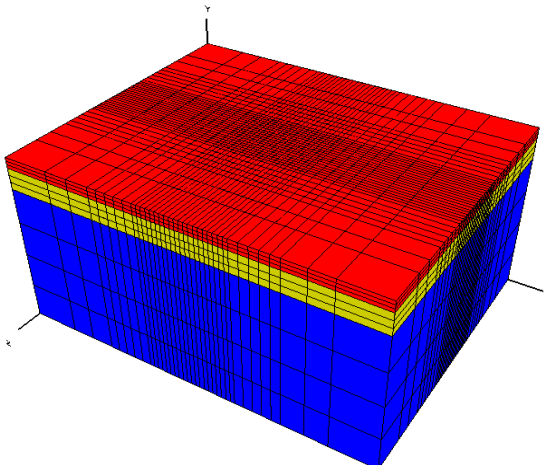
## 3 Procedure

### 3.1 Mesh Validation

Choosing the correct mesh geometry with proper boundary conditions is a critical element of the analysis. It is an area that has the largest input from the user and as such is prone to the most mistakes. The refinement of the mesh was a time consuming activity but is essential to balance the refinement of the mesh with the conflicting requirement of minimising the computational resources required. It was decided to create a complete model with no axes of symmetry present due to the nature of non-uniform contact pressures. The method of validating the mesh was to compare the stress and strain outputs at specific locations with that of BISAR for the uniform circular loading. BISAR is a well used layered elastic program that has been validated numerous times and represents an excellent benchmarking tool for the Finite Element model. The output from the near surface and under the loading was of particular interest and was used for the validating procedure.

The mesh that is presented in Figure 1 is the mesh that was chosen from the analysis of various mesh geometries and boundary conditions. It represents a good mix between accuracy of stresses in the analysis area and reasonable running times. The base of the mesh is fixed in the x, y and z planes, and the four sides of the model are restrained in the horizontal direction. The overall dimension of the model of 4m by 4m in the x and z planes and 2.45m in the y plane was chosen to make the end effects of the boundary conditions negligible to the analysis. The layers are the subgrade 2m in y-axis, base 0.3m in y-axis, and bituminous layers 0.15m in y-axis





**Fig. 1.** Model with the selected mesh refinement

**Table 1.** Model Material Properties

	<i>Young's Modulus (MPa)</i>	<i>Poisson Ratio</i>
Asphalt	4500, 2000	0.35
Base	700	0.35
Subgrade	100	0.35

Stress and strain outputs at a depth of 2.5mm and 27.5mm compared well with that of BISAR. The Finite Elements solution slightly underestimated the stresses and strains which is common for Finite Elements. This is an acceptable error as it is expected and only represents a small variation and will be constant in both the uniform and non-uniform analysis. The material properties of the model are shown in Table 1. The subgrade and base properties are constant but there are two moduli used for the asphalt layer. The base modulus is on the high side as it is the purpose of this study to investigate the stresses and strains in the asphalt layer.

### **3.2 Selection of Loading**

The loading of the circular contact patch was chosen as 150mm radius with a uniform vertical loading of 666kPa. This is a common representation of a tyre loading both for the contact pressure and the area of loading. This also is approximately the area of the non-uniform contact patch which lends itself to a

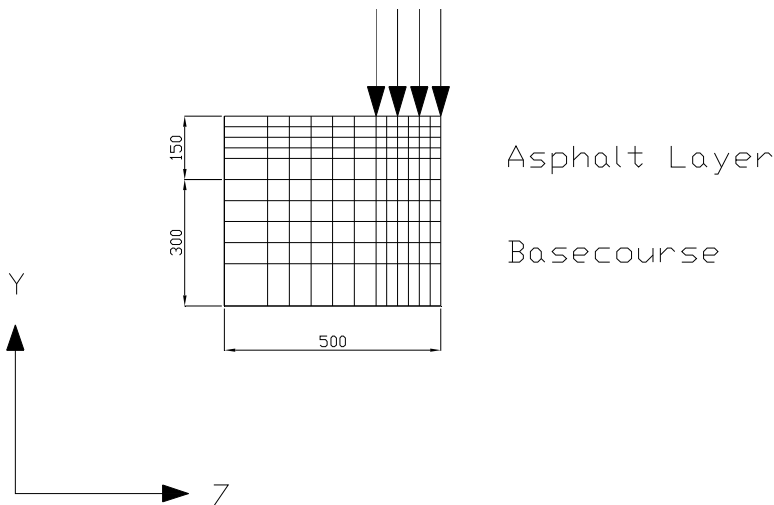
good comparison. The loading was chosen as it is a common contact pressure and will show the stresses created by this loading scenario. The non-uniform contact pressure is a rectangle of 240mm wide by 285mm long for a 315/80R22.5 tyre that is commonly used on the steering axle. The measurements of the tyre were obtained from De Beer’s VRSPTA. The author has divided the pressure into 9 regions of constant contact pressure based on the distribution of the readings. The width is divided into 20% 60% 20% as is the length. The readings in these areas are summed and averaged to obtain the reading for that region. This gives a good estimation of the nature of the contact pressure of the tyre. The magnitude of the loading on these regions can be seen in Figure 2.

398kPa	430kPa	441kPa
737kPa	890kPa	772kPa
355kPa	362kPa	355kPa

**Fig. 2.** Map of the non-uniform contact patch

### 3.3 Output Positions

CAPA-3D gives text stress and strain output at the integration points in the elements. These points are the positions where these outputs are most accurate and as such these are the points that were used for visualising the output from each analysis. The purpose of this paper is to compare the near surface stresses; therefore, the upper most elements were used. These points are at a depth of 2.5mm, then to observe the effect depth has on the output, points at 27.5mm were also represented. The cross section has been taken underneath the loaded area moving out along the x plane to a distance of 500mm from the centre of loading. A close up of this area of the mesh can be seen in Figure 3.



**Fig. 3.** Close-up of the area of interest for analysis

This area will be used to show the differences in all the loading scenarios and the change in the two moduli for the asphalt layer.

## 4 Results and Discussion

### 4.1 Near Surface Stresses

In this section the graph from the 2.5mm deep points will be presented. The graphs of the principal stresses and the shearing stresses will be presented and discussed. The variation in stresses can be easily seen from the graphs, especially in the shearing stresses which are very interesting to observe. The legend of the graphs refers to the type of loading uniform/non-uniform and the two asphalt moduli of 2000MPa (low) and 5000MPa (high).

In Figure 4 the variation in the principal stresses can be seen from the different loading schemes and asphalt layer moduli. The stress levels and shape are shared by the non-uniform high and low analysis. The uniform loading shows that the low modulus has less stress in comparison to the high modulus for the x and z stress. The nature of the uniform and non-uniform pressure is completely different. The uniform stays constant over the majority of the loaded area. On the other hand, the non-uniform varies widely across the loading area and only settles down outside the area of loading like the uniform pressure. Another interesting observation is that outside the loading area the stress caused by the non-uniform loading is less than the high modulus uniform analysis for the x and z stress.

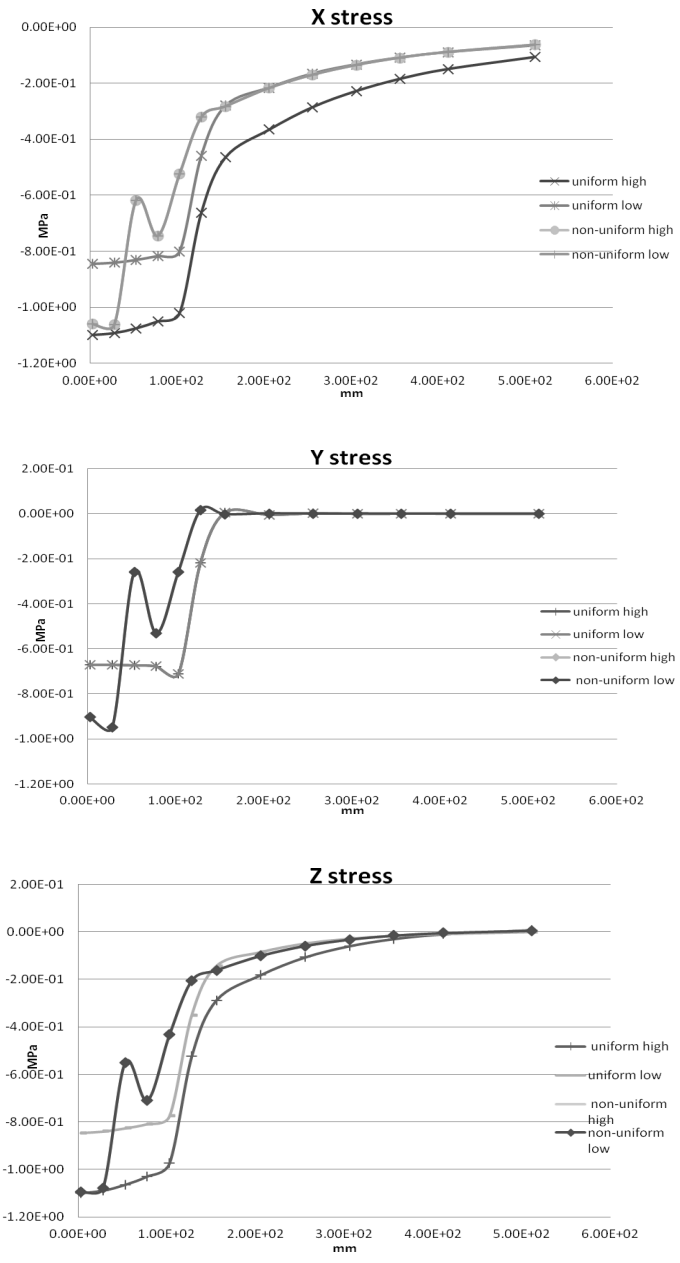


Fig. 4. Principal Stresses for 2.5mm Depth

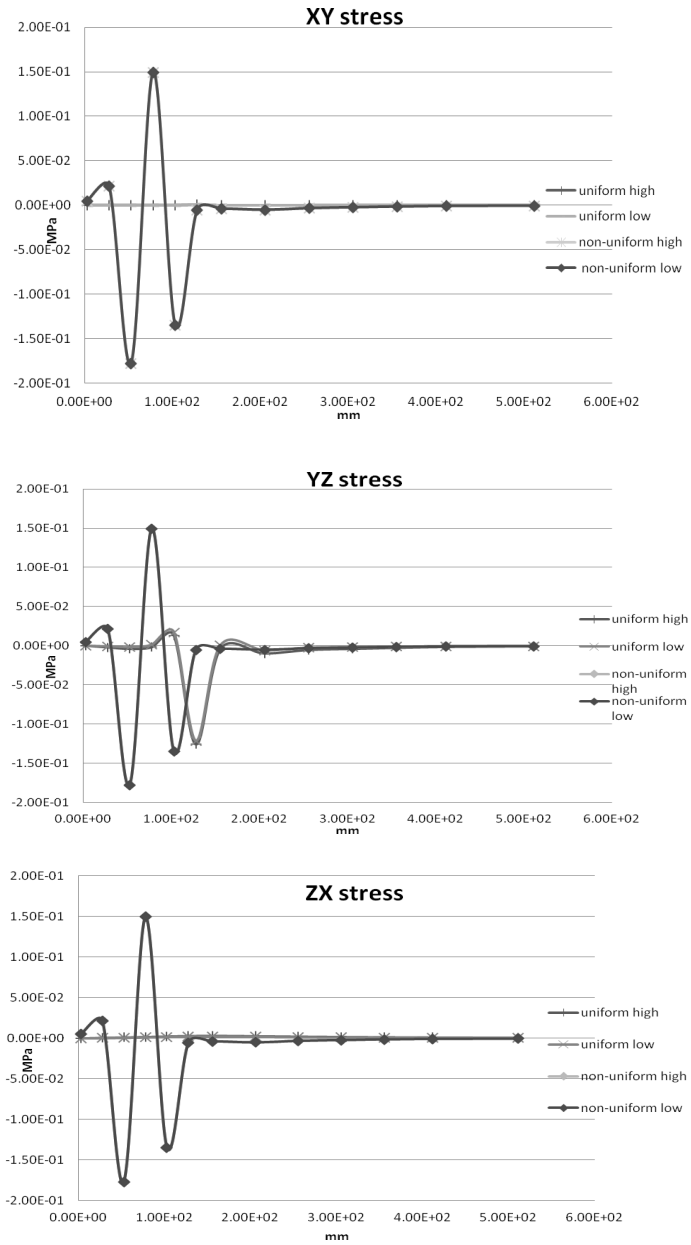


Fig. 5. Shear Stresses for 2.5mm Depth

The difference in shearing stresses is starkest when contrasting the uniform and non-uniform loading. In all three components of shearing the non-uniform stresses vary widely from - to + sign. Whereas the uniform contact it only exhibits shearing in the ZY direction and then only at the edge of the loading area. In the XY and ZX the uniform pressure develops no shear stress. The non-uniform demonstrates shearing across the loading area. This is quite a difference and is something that is not accounted for in design of pavements, materials and testing standards.

### 4.2 27.5mm Deep Principal Stresses

These graphs (Figure 6) of the principal stresses show the reduction in stress with depth and also the normalisation of these stresses. The non-uniform stress still has variation under the loading area, but the magnitude of this is less and the variation is less. There is also now a change in the values for the high and low modulus non-uniform analysis. The loads are spreading out becoming reduced and the non-uniform load is becoming less important for these stress components.

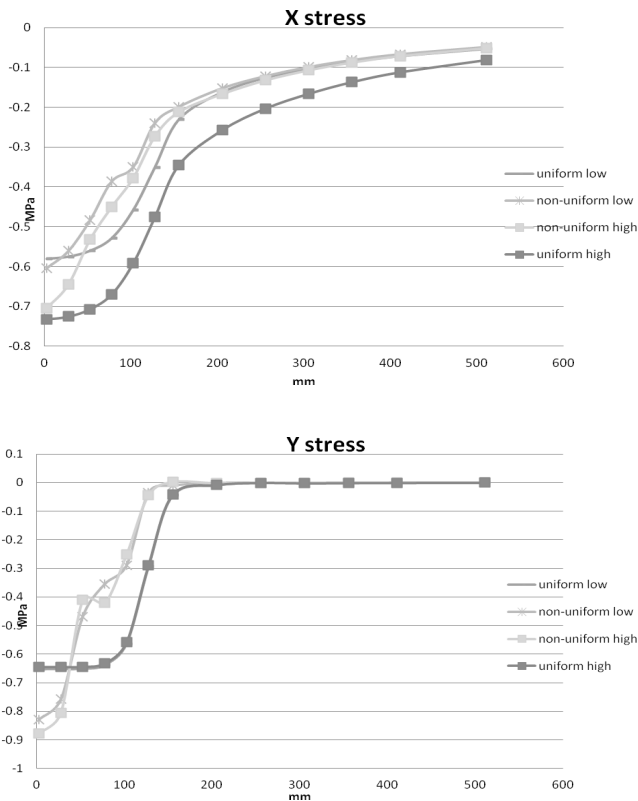


Fig. 6. 27.5mm Deep Principal Stresses

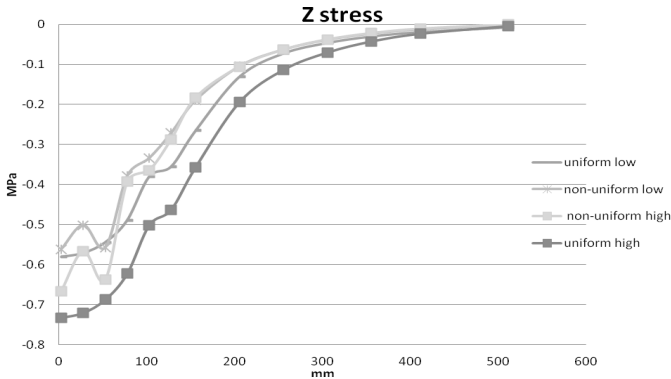


Fig. 6. (continued)

## 5 Conclusions

The analysis that was undertaken in this paper has led to the following conclusions:

- The non-uniform contact pressure leads to varying principal stresses under the contact area at near surface depths (2.5mm).
- The shearing stresses are significant close to the surface for the non-uniform contact, whereas the uniform contact pressure produces approximately zero shearing for the XY and ZX. There is shearing produced outside the contact area for the uniform pressure in the YZ, however, this is less than the non-uniform shear.
- The variation of the principal stresses for the non-uniform contact pressure is less varied with depth.
- At the 2.5mm depth the modulus has no effect on the output for the Y stress for the uniform and non-uniform contact pressures. There is a variation for the X and Z stresses between the low and high modulus for the uniform contact pressure.

Overall, it is observed that the non-uniform contact pressure leads to much more variation of the stresses under the contact area and close to the surface (2.5mm depth). This leads to stresses of a different nature than are predicted by the uniform contact pressure used in the conventional design procedure. There are also significant shearing forces for the non-uniform contact pressure at the 2.5mm depth leading to stresses at this depth of a different nature to the uniform contact pressures. This can lead to cracking initiating in the surface through the shearing forces and the increase in variation of the principal stresses at the 2.5mm depth under the contact area

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# Finite Element Analysis of a New Test Specimen for Investigating Mixed Mode Cracks in Asphalt Overlays

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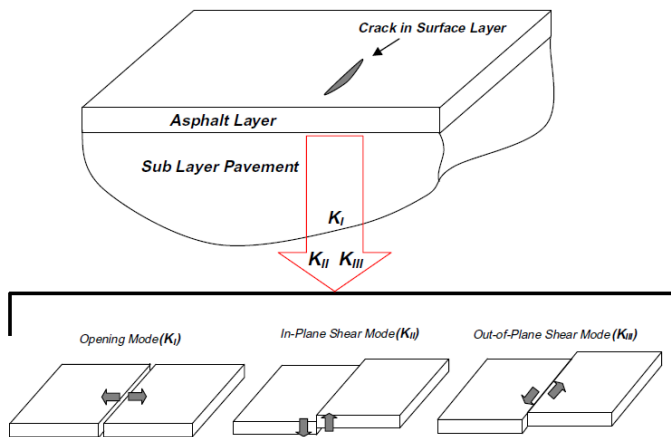
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**Abstract.** Cracking is a common mode of deterioration in asphalt pavements. In general, cracks in the asphalt pavements experience a combination of opening and sliding deformation due to thermal and traffic loads. In this research, a new test specimen called ASCB is proposed for mixed mode I/II fracture toughness study of asphalt materials. The ASCB specimen is a semi-circular specimen containing a crack normal to the specimen edge and subjected to asymmetric three-point bend loading. Simple geometry and convenience of testing set up are two primary advantages of the ASCB specimen. In addition, the disc shape of specimen facilitates its preparation using the conventional gyratory compactor machines or using the asphalt field coring devices. The stress intensity factors ( $K_I$  and  $K_{II}$ ) are fundamental parameters in order to characterize the load bearing capacity of asphalt failure due to brittle fracture or fatigue crack growth. Hence, in this paper the stress intensity factors of the ASCB specimen are calculated from several finite element analyses and for different mixed mode loading conditions. The numerical results show that the complete mode mixities ranging from pure mode I (opening mode) to pure mode II (in-plane sliding) can be achieved from the ASCB specimen by changing the loading support positions relative to the crack plane. It is also shown that the suggested laboratory specimen is also very suitable for simulating the stress and deformation fields of real cracked pavements which are subjected to the loads induced by the wheels of the moving vehicles.

## 1 Introduction

Service life of asphalt pavements is an important issue in most countries having long networks of roads and highways. Annually huge amount of money is spent for maintenance of asphalt pavements [1,2]. Cracking is a primary and common mode of deterioration and one of the main causes for overall failure of asphalt pavement of roads and highways especially in cold regions [3-5]. Fig. 1 shows three basic modes of deformations namely: mode I (opening), mode II (in-plane sliding) and mode III (out of plane tearing) in a typical cracked asphalt pavement which can be induced by thermal/mechanical loads. Those cracks which are found across the pavement surface can be subjected to complex states of stress and deformation induced by cyclic thermal loads or mechanical traffic loads. In

general, such cracks in the asphalt pavements experience a combination of opening and sliding deformation; often called mixed mode I/II loading.



**Fig. 1.** Three modes of deformations for a cracked asphalt overlay

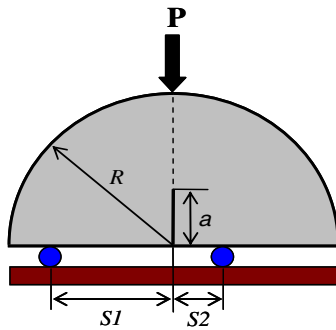
Since the formation of cracks in asphalt layers is almost inevitable, the investigation of mixed mode crack growth in asphalt pavements is important for estimating the suitable rehabilitation time of pavements and the service capability of the roads and highways. For any given cracked material, mixed mode fracture is usually studied experimentally using appropriate test methods. Some test specimens have been used in the past by researchers in order to investigate experimentally the mixed mode fracture resistance of asphalts. The rectangular beam specimen subjected to asymmetric three or four-point bend loading [6,7] and the inclined edge crack semi-circular bend specimen are two well-known examples [8].

A suitable test specimen should have simple configuration, inexpensive preparation procedure, convenience of testing set up and also the ability of introducing complete mode mixities ranging from pure mode I to pure mode II. In this research, a new test specimen called ASCB is proposed for mixed mode fracture toughness study of asphalt materials. First the specimen is described and then its capabilities and advantages are investigated by means of finite element analyses.

## 2 New Test Configuration

Figure 2 shows the geometry and loading conditions for the asymmetric semi-circular bend (ASCB) specimen. In this test configuration, a semi-circular specimen of radius  $R$  that contains an edge crack of length  $a$  emanating normal to

the flat edge of the specimen is loaded asymmetrically by a three-point bend fixture. Simple geometry and convenience of testing set up are two primary advantages of the ASCB specimen. In addition, the circular shape of specimen facilitates its preparation using the conventional gyratory compactor machines or using the asphalt field coring devices, without any additional machining procedure. Moreover, the crack is always along the symmetry line of the semi-circle. The state of mode mixity in the ASCB specimen can be easily altered by changing the locations of two bottom supports ( $S1$  and  $S2$ ). When the bottom loads are applied symmetric to the crack line (i.e. when  $S1 = S2$ ) the specimen is subjected to pure mode I. But for asymmetric loading (i.e.  $S1 \neq S2$ ), mode II appears in the crack deformation in addition to mode I. The mode I and mode II contributions can be controlled simply by choosing appropriate values for  $S1$  and  $S2$ . Hence different mode mixities can be obtained in the proposed specimen. The specimen has been frequently used in the past but only for the simple case of symmetric loading conditions in order to obtain pure mode I fracture toughness for several engineering materials including, concrete and asphalt [5, 9-11].



**Fig. 2.** Suggested ASCB specimen for mixed mode fracture toughness studies on asphalt materials

The stress intensity factors ( $K_I$  and  $K_{II}$ ) are fundamental parameters in order to characterize the load bearing capacity of asphalt pavement due to brittle fracture or fatigue crack growth. Hence, in this paper the stress intensity factors of the ASCB specimen are calculated using several finite element analyses and for different mixed mode loading conditions (i.e. loading positions and crack lengths). More details of calculations will be given in the next section.

### 3 Numerical Analysis

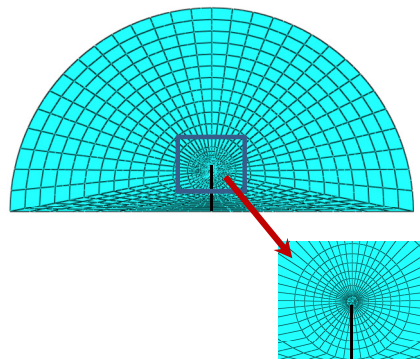
The stress intensity factors  $K_I$  and  $K_{II}$  for the ASCB specimen are functions of the crack length ( $a$ ) and the locations of loading supports defined by  $S1$  and  $S2$  and can be written as:

$$K_{\text{I}} = Y_{\text{I}} \frac{P}{2Rt} \sqrt{\pi a} \quad (1)$$

$$K_{\text{II}} = Y_{\text{II}} \frac{P}{2Rt} \sqrt{\pi a} \quad (2)$$

where  $t$  is the specimen thickness and  $Y_{\text{I}}$  and  $Y_{\text{II}}$  are the geometry factors corresponding to mode I and mode II, respectively. These geometry factors are functions of  $a/R$ ,  $S1/R$  and  $S2/R$ . For calculating  $Y_{\text{I}}$  and  $Y_{\text{II}}$ , different models of the ASCB specimen were analyzed. Fig. 3 shows a typical mesh pattern generated for simulating the ASCB specimen. In the models, the following geometry and loading conditions were considered:  $R = 60$  mm,  $t = 20$  mm,  $P = 1000$  N and different values for crack lengths.  $S1$  was set at a fixed value of 40 mm and  $S2$  varied from zero to 40 mm to change the state of mode mixity. Although, asphalt is a composite material, it is often modeled by an equivalent isotropic and homogenous material. Moreover, at subzero temperatures, asphalt of pavements usually behaves as a linear elastic and brittle material (see e.g. [12–14]). Hence, most of the researchers have used the hypothesis of linear elastic fracture mechanics for modeling the cracked asphalt pavement under low temperature conditions [12–14]

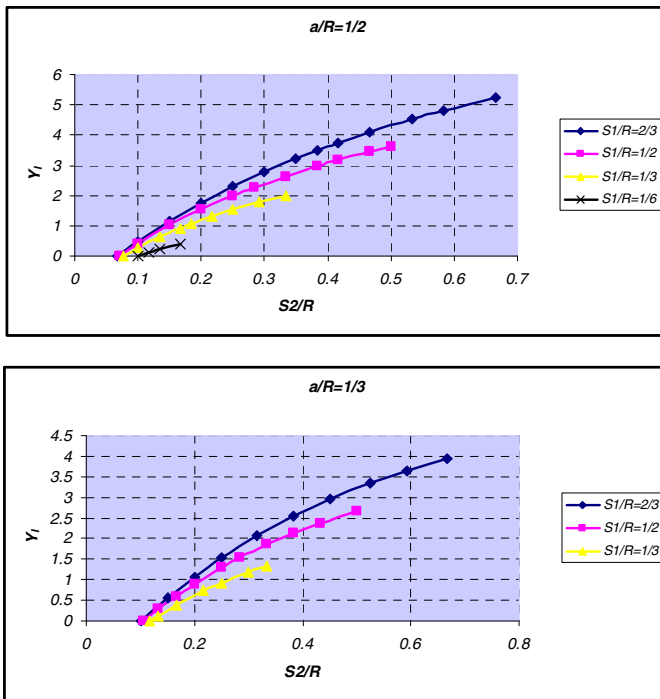
Therefore in this research, the construction material is assumed to be isotropic, homogenous and linearly elastic for obtaining the fracture parameters of the ASCB specimen. The elastic material properties of a typical asphalt mixture as  $E = 2760$  MPa and  $\nu = 0.35$  were also considered in the finite element models.



**Fig. 3.** A typical finite element mesh used for simulating ASCB specimen

A total number of 2162 eight-noded plane stress elements were used for each model. The singular elements were considered in the first ring of elements surrounding the crack tip for producing the square root singularity of stress/strain

field. A J-integral based method which is readily available in ABAQUS finite element code was used for obtaining directly the stress intensity factors of the suggested ASCB specimen. Figs. 4 and 5 show the values of  $Y_I$  and  $Y_{II}$  calculated from several finite element analyses performed for different loading conditions in the ASCB specimen. It is seen from these Figures that for the symmetric loading conditions (i.e.  $S1 = S2$ ),  $Y_{II}$  equals zero and thus the specimen is subjected to pure mode I loading. By changing the location of the second loading support  $S2$ , the mode II component also appears in the ASCB specimen. It is seen from Figs. 4 and 5 that by moving  $S2$  towards the crack plane, the mode I geometry factor  $Y_I$  decreases and the mode II geometry factor  $Y_{II}$  increases. According to Figs. 4 and 5, the mode I geometry factor increases by increasing  $a/R$ ,  $S1/R$  and  $S2/R$ . But  $Y_{II}$  decreases when  $S2/R$  becomes greater. For each value of crack length ratio ( $a/R$ ), there is a specific value for  $S2$  where  $Y_I$  becomes zero while  $Y_{II}$  is non-zero. This loading situation corresponds to pure mode II conditions. Fig. 6 shows the loading and geometry conditions that correspond to pure mode II deformation in the ASCB specimen for some combinations of  $a/R$ ,  $S1/R$  and  $S2/R$ .



**Fig. 4.** Mode I geometry factor  $Y_I$  for different values of  $a/R$ ,  $S1/R$  and  $S2/R$  in the analyzed ASCB specimen

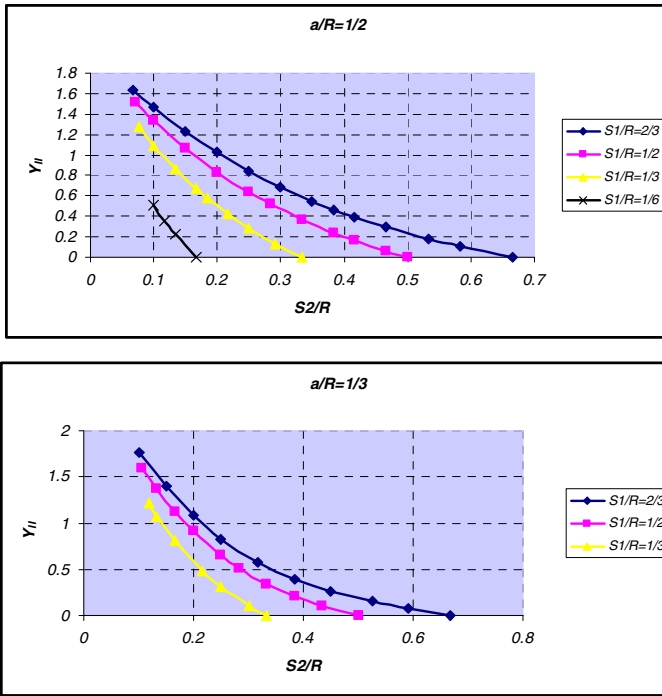


Fig. 5. Mode II geometry factor  $Y_{II}$  for different values of  $a/R$ ,  $S1/R$  and  $S2/R$  in the analyzed ASCB specimen

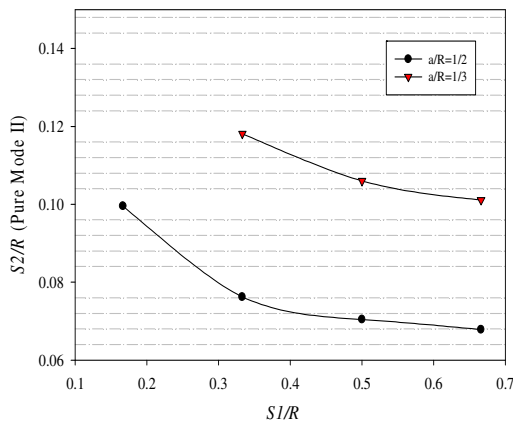
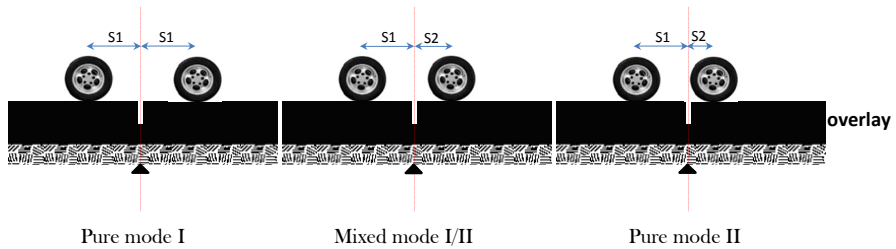


Fig. 6. Positions of bottom supports for pure mode II conditions in the ASCB specimen

## 4 Discussion

A review of the test specimens suggested in the past for conducting mixed mode fracture experiments shows that some of them can not be used as a favorite test specimen for asphalt materials. For example, the compact tension-shear (CTS) specimen suggested by Richard [15] and Arcan et al. [16] consists of a cracked specimen loaded through a complicated loading fixture. The auxiliary fixture not only makes the experiments more expensive but also may sometimes be a source of error in the test results due to possible manufacturing inaccuracies. Moreover, such samples require direct tensile loading which is not suitable for asphalt materials. The ASCB specimen suggested in this paper does not need any additional loading fixture since it can directly be tested by an ordinary three-point bend fixture which is normally available in standard fracture testing machines. Furthermore, the application of compressive loads in the ASCB experiments makes it more suitable for conducting fracture tests on asphalt specimens which are weak against tensile loads. Although almost all of the mixed mode test specimens can be used for pure mode I and mixed mode fracture tests, some of them are not able to provide pure mode II. For instance, the edge cracked rectangular beam specimen under asymmetric three-point bend loading [6,7] can produce only limited combinations of mode I and mode II. In particular, they cannot be used for pure mode II tests. Another advantage for the ASCB specimen is its ability for providing complete combinations of mode I and mode II, since the numerical finite element results show that the complete mode mixities ranging from pure mode I (opening mode) to pure mode II (in-plane sliding) and various intermediate mode mixities can be achieved from the ASCB specimen by changing the loading support positions relative to the crack plane. It is worth mentioning that the cracked test specimens which contain only one crack tip (like the ASCB specimen), are often preferred to centrally cracked specimens that contain two crack tips (e.g. the centrally cracked Brazilian disk specimen). This is because the crack extension does not necessarily initiate from the two crack tips simultaneously. The delay between the fracture initiations at the two crack tips is not controllable and can sometimes be a likely source of error in the test results. The laboratory specimen suggested in this paper is also very suitable for simulating the stress and deformation fields of real cracked pavements which are subjected to the loads induced by the wheels of the moving vehicles. As shown schematically in Fig. 7, the position of moving vehicles relative to a crack in the surface of an overlay may change the state of crack flank deformation from opening (mode I) to sliding (mode II) [17]. Thus the numerical results obtained in this research for ASCB specimen can be used for investigating the real cracked overlays subjected to traffic loading.

Because of the advantages elaborated above, the ASCB specimen can be recommended as a favorite test sample for conducting mixed mode fracture experiments on asphalts. However, the practical and experimental ability of the ASCB specimen for providing reliable predictions for asphalt fracture behaviour is another key issue for the proposed specimen. This subject is currently being studied in a complementary experimental research work by the authors.



**Fig. 7.** Analogy between the loading conditions of the suggested ASCB specimen with those conditions of real cracked pavement induced by wheel loading.

## 5 Conclusions

- 1- A new test configuration called the asymmetric semi-circular bend (ASCB) specimen was suggested for mixed mode I/II fracture experiments on asphalt materials.
- 2- Stress intensity factors were calculated numerically for the ASCB specimen for different values of crack length ratios and bottom loading support positions.
- 3- The simple geometry and loading set up, the convenience of specimen preparation using the conventional gyratory compactor machines or asphalt field coring devices, the ease of generating a crack in the specimen, the application of compressive loads rather than the tensile loads, the ability of introducing full combinations of mode I and mode II and the ability of simulating the stress/strain field for the real pavements are the main advantages of the ASCB specimen for asphalt mixtures.

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# Modelling of the Initiation and Development of Transverse Cracks in Jointed Plain Concrete Pavements for Dutch Conditions

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**Abstract.** In a previous study concerning the cracking at transverse joints in jointed plain concrete pavements (JPCP), the authors uses equations from the standard Eurocode 2 for the time-dependent concrete properties and considers the thermal deformation and the shrinkage for different design and construction conditions. For properties that are not available in standards, the authors made assumptions based on engineering judgment.

In this present paper the starting point is that previous modelling, and now the assumptions made in that work are studied more in depth. The paper also includes an improvement of the development of the concrete elastic modulus and strength. According with that, the objective of this paper is to improve the theoretical background of the assumptions and the modelling of the initiation and development of cracks at joints in JPCP for Dutch conditions. Concerning one of the most important influencing factors, the relaxation, a new equation is proposed. From both the theoretical and the practical point of view these improvements describe in a better way the process of cracking in JPCP for Dutch conditions.

## 1 Introduction

The starting point of the present work is a previous modelling made for the authors concerning the transverse cracking in JPCP [1]. Figure 1 shows the factorial of this modelling with the independent variables. The dependent variables were the time of occurrence and locations of the cracks, the crack widths and the tensile stress in the middle of the slabs after the cracking process is completed.

## 2 Alternative Approach to the Modelling Process

The assumptions of the original modelling are based on engineering judgment and they are related with required properties that are not available in standards. In the

present work the assumptions made in his work are studied more in depth. Also it includes an improvement of the development of the concrete elastic modulus and strength through the maturity method.

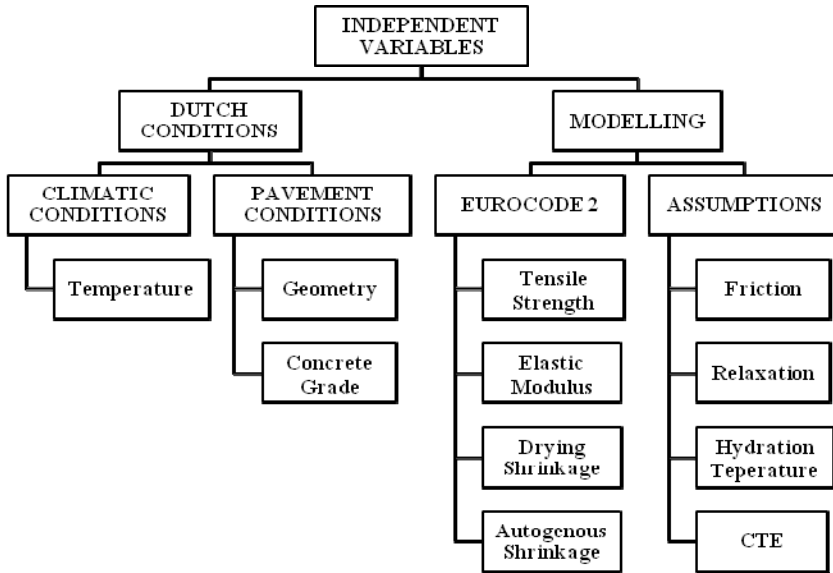


Fig. 1. Factorial design of the original modelling

## 2.1 The Maturity Method

A better modelling of the development of the concrete elastic modulus and strength at early-age is obtained when the degree of hydration concept or the maturity method is applied. The advantage of the maturity method is that it has also very practical application at the construction process that helps to reach the objectives of the concrete pavement design.

The rate of hydration of cement increases with increasing temperature. Consequently, the mechanical properties of concrete and their development in time are also influenced by the temperature. In fact every combination of temperature and time that yields the same maturity will have the same value of the property.

The Nurse-Saul maturity relationship is the accumulated product of time and temperature. But the Nurse-Saul approach is limited in that it assumes that the rate of strength gain is a linear function. On the other hand, the Arrhenius method takes into account the nonlinearity in the rate of cement hydration. This method expresses a maturity index in terms of an equivalent age, which represents the equivalent duration of curing at the reference temperature that would result in the same value of maturity as the curing period for the given average temperature [2].

$$te = \sum \exp\{-(E/R) * [1/(273+T_a) - 1/(273+T_r)]\} * \Delta t \quad (1)$$

- $T_e$  = equivalent age at reference curing temperature (hours)  
 $E$  = activation energy (J/mol)  
 $R$  = universal gas constant, 8.3144 J/(mol K)  
 $T_a$  = average concrete temperature during time interval  $\Delta t$  (°C)  
 $T_r$  = reference temperature (°C)  
 $\Delta t$  = time interval (hours)

## 2.2 The Development of the Heat of Hydration

The hydration of the cement releases heat resulting in temperature increase. This hydration temperature is described by.

$$T_h = \frac{m_c * Q}{d_c * c_c} \quad (2)$$

- $T_h$  = hydration temperature (°C)  
 $m_c$  = mass of the cement per m<sup>3</sup> of concrete (kg)  
 $Q$  = hydration heat released till time  $t$  (kc/kg)  
 $d_c$  = density of concrete (kg/m<sup>3</sup>)  
 $c_c$  = specific heat of the concrete (kc/kg/°C)

The hydration heat released can be calculated using *HYMOSTRUC* software [3].

## 2.3 The Relaxation Factor

The experimental data on stress relaxation at early ages are very limited. Theoretical expressions and relationships with the development of creep are commonly used. But Morimoto and Koyanagi made laboratory tests, at room temperature, and they found the following empirical equation of the relaxation as a function of time [4].

$$R = \frac{0.32 + 0.85 * t}{0.32 + t} \quad (3)$$

## 2.4 Development of the Coefficient of Thermal Expansion

In general the standards and recommendations include a constant value for the coefficient of thermal expansion (CTE) of hardened concrete. For instance, in the Eurocode 2 the CTE-value  $10 * 10^{-6} \text{ } ^\circ\text{C}^{-1}$  is advised for concrete [5]. However, the CTE is not necessarily constant in fresh concrete. The CTE is high in the first

hours and it drops rapidly to a minimum value at  $t_0 = 12\text{--}14$  hours after mixing. Beyond this minimum point the CTE increases gradually.

The time "zero" ( $t_0$ ) is the time when strength and stiffness of concrete is defined to be zero, i.e. that the deformations occurring before this "Time Zero" can be ignored for stress calculation purposes, since they do not result in stresses [6].

The development of the CTE can be expressed by the equation 4 [7].

$$CTE(t_e) = CTE(0) + [CTE(28) - CTE(0)] \left\{ \exp \left[ s_{CTE} \left( 1 - \sqrt{\frac{28}{t_e - t_0}} \right) \right] \right\}^{n_{CTE}} \quad (4)$$

$CTE(0)$	=	the start-value at $t_0$ ( $^{\circ}\text{C}^{-1}$ )
$CTE(28)$	=	the CTE-value at 28 days ( $^{\circ}\text{C}^{-1}$ )
$s_{CTE}$ and $n_{CTE}$	=	curve-fitting parameters
$t_e$	=	the equivalent time (hours)

## 2.5 Friction with the Base

Zhang and Li present an analytical model for prediction of shrinkage-induced stresses and displacements in concrete pavements due to the restraint of the supporting base. The following is the governing equilibrium equation [8].

$$\frac{d^2u}{dx^2} - \frac{\tau}{E * h} = 0 \quad (5)$$

Where  $\tau$  = slab-base interfacial friction (MPa);  $u$ =average displacement through the JPCP slab thickness (mm);  $E$ =elastic modulus of concrete (MPa) and  $h$ =height of the slab (mm).

## 3 Application to the JPCP in Dutch conditions

The typical Dutch condition for JPCP includes enough length to consider the pavement fixed at the beginning and at the end, i.e. no deformations are allowed there. The original modelling of the friction with the base considers this situation through the so called breathing length [9], but the Zhang and Li modelling introduces the friction from the beginning and from the end [8]. This is representative for pavements of small length, such as streets. Accordingly, in the present work the original model remains used.

Dutch climatic conditions mean that the amplitude of the average daily temperature of the concrete pavement ( $T_{\text{ampyear}}$ ) has been taken as  $10^{\circ}\text{C}$ , where  $T_{\text{ampyear}}$  is described by means of a sine-function with its maximum at August 1. The amplitude of the daily temperature of the concrete pavement ( $T_{\text{ampday}}$ ) has been taken as  $5^{\circ}\text{C}$ , and also  $T_{\text{ampday}}$  is described by means of a sine-function that reaches its maximum at 4 PM. According with that the climate-dependent temperature  $T_3$  of the plain concrete pavement is [9].

$$T_3 = T_2(T_1, t_2) + T_{ampyear} * \sin[(t / 24) + t_1] - T_{ampyear} * \sin(15 * t) \quad (6)$$

- $t$  = time (number of hours) after construction  
 $t_1$  = time of construction (number of days after May 1)  
 $t_2$  = clock hour (from 0 to 24 hours) of construction at day of construction  
 $T_1$  = temperature at the day of construction of the JPCP (°C)  
 $T_2$  = temperature at the hour of construction of the JPCP (°C)

## 4 Results of the Modelling Process

### 4.1 Scenario of Evaluation

The objective of this present work is to improve the modelling of the transverse cracks in JPCP for Dutch conditions. For showing the improvements in a better way, focusing in the comparison between the original modelling and the alternative approach, a typical scenario of evaluation was chosen, i.e. transverse joint spacing (slab length) 4.5 m, concrete grade C28/35, joint depth 30% and pavement constructed at November 1<sup>st</sup>. An extensive work with different concrete grades, joint depths and slab lengths can be found in [1].

### 4.2 Comparison of Models

Table 1 shows a summary of the variables in the original modelling [1] and the present work.  $E_c$  is the elastic modulus of concrete.

**Table 1.** Comparison of models

Independent variables	Original modelling	Alternative approach
Strength and elastic modulus	Eurocode 2	Eurocode 2 + Eqn. 1
Hydration temperature	$T_h(t) = t^2 * e^{-0.27*t}$	Eqn. 2
Stress Relaxation	$R(t) = e^{-0.0003*t}$	Eqn. 3
Coefficient of thermal expansion	$CTE(t) = 3.095 * 10^{-10} * E_c(t)$	Eqn. 4

The alternative approach for the modelling of the independent variables improves the theoretical background, but the most significant changes for the behaviour of the pavement result from the stress relaxation and the introduction of the maturity in the calculation of the concrete properties. For that reason these two improvements are considered in a sensitive analysis.

In figure 2 the hourly cyclic variation of the tensile strength can be observed, because the maturity introduces the concrete temperature during the time interval  $\Delta t$  for the calculation of the strength.

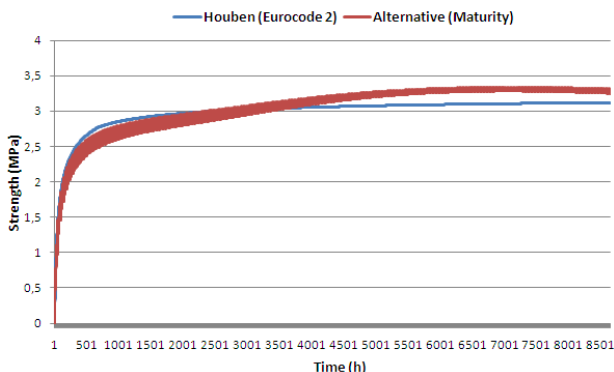


Fig. 2. Tensile strength according the two models

### 4.3 Sensitive Analysis

The modelling was made first for the maturity method and second for the stress relaxation, both under *ceteris paribus* condition.

*Maturity method:* It can be seen in table 2 that the introduction of the maturity in the modelling does not change significantly the trends of the results.

Table 2. Comparison of the results with the maturity method

Dependent variables	Original modelling	Alternative approach
Joints cracked (%)	100	100
Risk of cracks in slab (ratio)	0.51	0.71
Maximum crack width (mm)	1.31	1.19
Average width of 1 <sup>st</sup> series of cracks after 1 year (mm)	0.70	0.65

*Stress Relaxation:* Table 3 shows the result of the alternative approach for the stress relaxation. According to experience and preliminary field measurements in Belgium and Chile, in similar climatic conditions to the Netherlands, the crack width seems to be greater than the original model indicates but smaller than the result of the alternative approach.

A similar analysis also has been made for a JPCP constructed at August 1, 4 PM, i.e. at the hottest moment of the year. The alternative approach then leads to

shrinkage cracks in the slabs, so in between the transverse joints, and this is not observed in practice. This means that in the alternative approach the relaxation is too small. On the other hand, there are relaxation models with a fast fall at the beginning, until 50% of relaxation [10], however the application of this model results in a low percentage of joints cracked and a very small crack width and that is also not observed on JPCP in practice.

Furthermore, one has also to realize that a JPCP is opened to traffic 2 weeks to some months after construction. The structural design of JPCP is done considering traffic load stresses and temperature gradient stresses, but omitting shrinkage stresses. This indicates that the shrinkage stresses after some months cannot be very large.

### 4.4 New Approach

Taking into account all the theoretical and practical reasons mentioned previously, a new equation for the relaxation factor is proposed (figure 3).

The 1<sup>st</sup> and 3<sup>rd</sup> graph of figure 4 show the maximum shrinkage tensile stress in the JPCP during a period of 1 year according to the original model and the new approach, respectively. The 2<sup>nd</sup> and 4<sup>th</sup> graph show the width of the subsequent series of transverse joints that crack through according to the original model and the new approach, respectively. Due to the smaller stress relaxation the new approach yields higher maximum stresses, larger seasonal and daily stress amplitudes and larger widths of the cracked transverse joints.

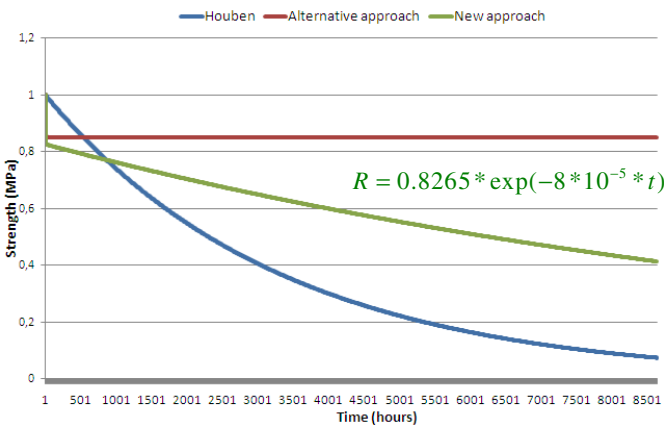


Fig. 3. Different stress relaxation factors

As is showed in table 3 the trend of the results according to the new approach is between the two previous models. It is expected that this equation can be validated through ongoing field measurements on JPCPs in Belgium and Chile.



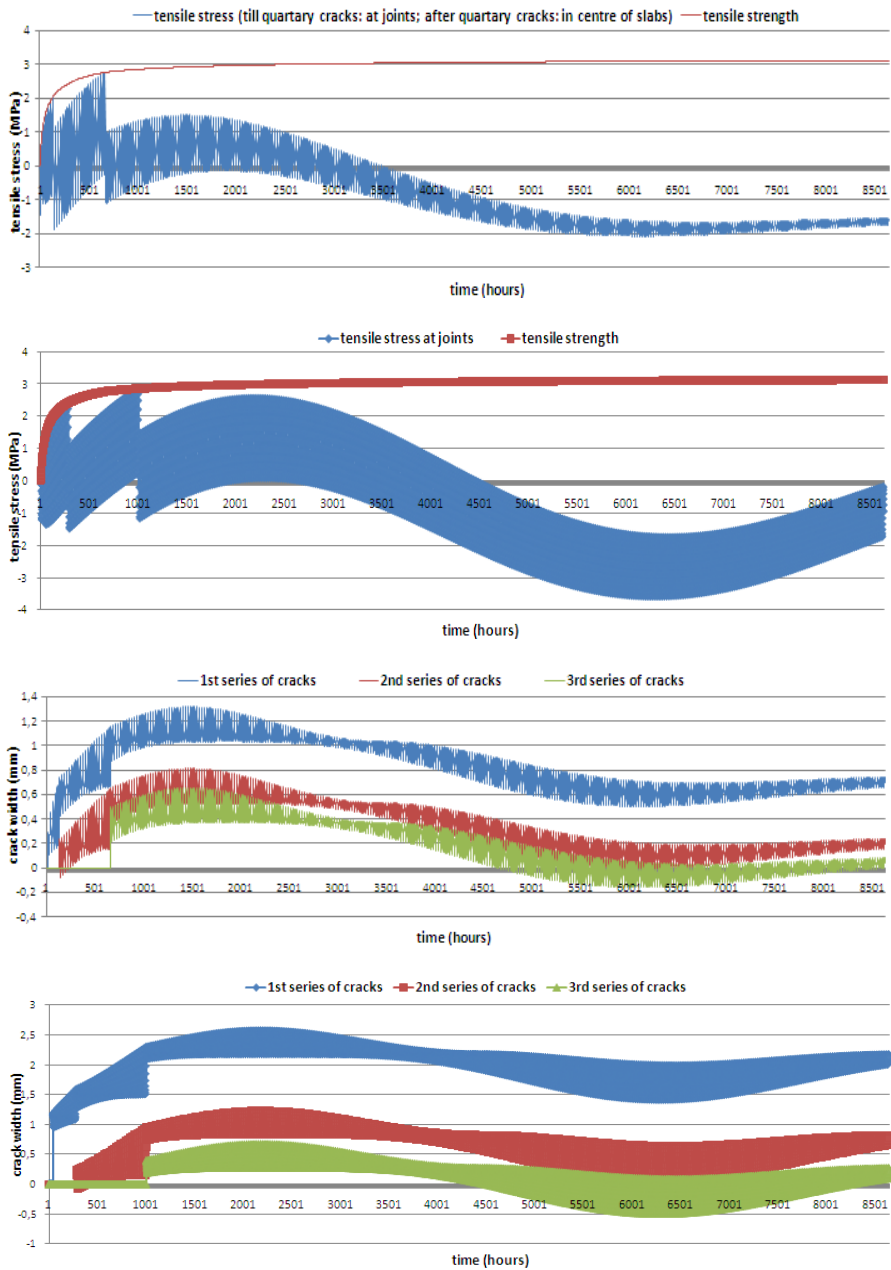


Fig. 4. Tensile stresses and crack width of the original modelling (2 upper graphs) and the new approach (2 lower graphs)

**Table 3.** Results of the different models

<b>Dependent variables</b>	<b>Original modelling</b>	<b>Alternative approach</b>	<b>New approach</b>
Joints cracked (%)	100	100	55
Risk of cracks in the slab (ratio)	0.51	0.58	very small
Maximum crack width (mm)	1.31	2.90	2.56
Average width of 1 <sup>st</sup> series of cracks after 1 year (mm)	0.70	2.52	2.16

## 5 Conclusion

In this work the theoretical background of the assumptions made in the original modelling for the independent variables is improved. The trends of the dependent variables did not change significantly, with the exception of the alternative stress relaxation. In this case high values of crack widths and the possibility of slabs cracked at medium term were found, because of the almost constant small relaxation and the addition of the effect of the traffic loads and temperature gradients. Both, the width of the cracks and the possibility of cracked slabs, are not in agreement with the reality of JPCP for Dutch conditions. According to experience and preliminary field measurements in Belgium and Chile, in similar climatic conditions as the Netherlands, an equation for the relaxation factor is proposed. The trend of the results seems in agreement with reality but needs to be validated.

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# Pavement Response Excited by Road Unevennesses Using the Boundary Element Method

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**Abstract.** Roughness is one of the major surface distresses of a pavement namely because it induces a major amplification of the loading patterns having important consequences on the structural response. This has even more significance to the pavement resistance if some cracking is associated with it. This paper examines pavement surfaces with different roughness levels from smooth surfaces to rough surfaces. First, the road profile is generated and then used as an input in a truck load simulator in order to get the dynamic amplification along the profile. Different travel speeds for trucks are also considered once speed has influence on the amplification. The pavement response is calculated using the BEM (Boundary Element Method). Only one pavement structure, with a thin asphalt concrete layer, is considered. The BEM is used because it is a powerful alternative to Finite Element Method (FEM) for problems with semi-infinite/infinite domains. In addition to that, it only requires discretization of the surface rather than the volume. The aforementioned features reduce significantly the number of nodes and elements of mesh and consequently the computational time. The results achieved until now show that the dynamic loads reduced the life of a pavement in a manner that can not be neglected. It also was referred the advantage of using BEM instead of FEM.

## 1 Introduction

Flexible pavements are a complex system where the different parts interact between themselves and some of their characteristics evolve during the pavement's life cycle. In order to deal with this variability an incremental design procedure should be used, meaning that it uses different design stages to represent the life cycle taking into account the characteristics of each part at each stage. The response model plays a vital role in this approach. The type of response model not only influences the assumptions of the analysis, but also the type of analysis and other functional aspects of the process. Analytical analysis can only be applied to simplified systems. For complex systems numerical analysis is used. The FEM is most probably the numerical method best known and mostly used to address

pavement computations. However, there are other possibilities as for example the BEM. Each of the numerical methods has its own range of applications where they are most efficient.

This paper, using the BEM, has the objective of making the evaluation of pavement response due to dynamic loading induced by the roughness of the pavement surface. For that, the procedure to generate road surface profiles is first described and then these surface profiles are used as input in a vehicle simulation software. After that, the DLC (Dynamic Load Coefficient) of each obtained load profile is calculated. Finally the pavement response is established for the maximum value of load presented in the load profile and the pavement life is estimated using Shell transfer functions.

## 2 Generation of Road Surface Profiles

Vehicle simulations in a specific software requires road surface profiles as input. The one-dimensional random profiles were generated to have a specific spectral density. Cebon and Newland [1, 2] describe the generation procedure.

Different road surface profiles were generated corresponding to different levels of roughness. The International Standard ISO 8608 [3] defines different road class in terms of spectral density (Figure 1). Since the last three classes (F to G) correspond to unrealistic paved road surfaces, only the classes A to E were taken into account. Figure 2 depicts the generated road surface profiles.

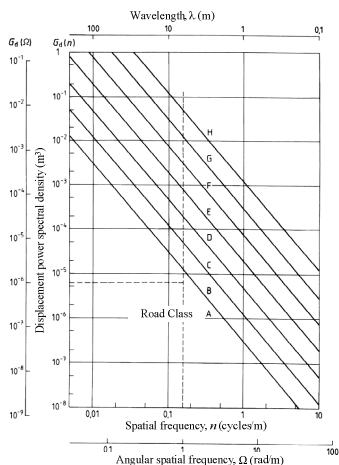
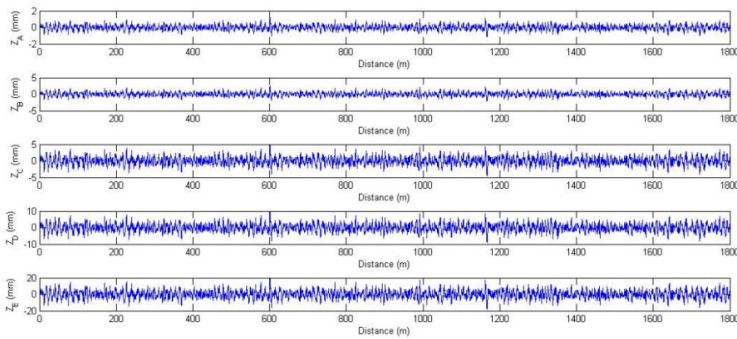


Fig. 1. Classification of roads [3]

The International Roughness Index (IRI) of the generated road surface profiles was calculated using an engineering software application called ProVAL (Profile Viewing and AnaLysis) [4]. It was developed by the Engineering Research

Division (ERD) of the University of Michigan Transportation Research Institute (UMTRI). Table 1 shows the calculated IRI values.



**Fig. 2.** Generated road surface profiles

**Table 1.** IRI values

Profile	IRI
Class A	0.38
Class B	0.77
Class C	1.53
Class D	3.06
Class E	6.13

### 3 Dynamic Loads

The TruckSim® of Mechanical Simulation [5] was the software used to perform the vehicle dynamic simulation. This tool was produced using the VehicleSim® technology which is the successor of the AutoSim® developed by Sayers [6] and can be used to generate comprehensive vehicle dynamics models for real-time simulation (RTS) applications using an ordinary PC [7]. It can perform the simulation and the analysis of the dynamic behaviour of medium to heavy trucks, buses and articulated vehicles.

Some of TruckSim’ aspects or capabilities related closer with this work (for a full description, see [8]) are: road profiles are possible touse and the software allowsefficient use of high-frequency measured road roughness data; a wide range of tractor-trailer(s) combinations are available in a specific library of the software; multiple axle configurations, dual, single and wide-base tyres are also available; it supports many different suspension designs, using data that can be obtained from real or simulated kinematics and compliance tests; it includes several tire models as a table-based basic model, an extended model (more tables for camber effects), the Pacejka 5.2 version of the Magic Formula, and the MF-Tyre from TNO. With extra licenses, it is also ready to run with MF-Swift from TNO and FTire from COSIN. Pacejka [9] describe all these tire models.

Figure 3 depicts the vehicle used in the simulation. The tyres considered are 315/85R22.5 single on steer axle, 315/85R22.5 dual on drive axle and 315/85R22.5 single on semitrailer tridem axle.



**Fig. 3.** Simulation vehicle (TruckSim®)

The weight distribution between axles depends on the vehicle’s unladen weight, dimensions, carried load and position of the load [10].Newton and Ramdas [10] present regression lines of weight distribution per axle on a 5-axle articulated vehicle, obtained from weight-in-motion (WIM) measurements on a UK motorway (Table 2).

**Table 2.** Regression lines for 5-axle articulated vehicles on a UK motorway [10]

Axle	Regression line	R <sup>2</sup>
Steer	$y=0.0646x+4276.9$	0.4234
Drive	$y=0.284x-70.856$	0.7739
Semitrailer	$y=0.6514x-4206$	0.9242

y: Axle weight (kg); x: Gross vehicle weight (kg)

When using regression lines, the correlation concept is crucial. The better correlation ( $R^2=0.9242$ ) occur in the axle of the semitrailer which is the axle considered in this research work for the pavement design.

The report of Lima and Quaresma [11], that evaluates for Portuguese circumstances the aggressiveness of heavy-vehicle traffic from data collected in a WIM station, presents statistical parameters (weight per axle and distance between axles) that match the articulated vehicle used in this work. The application of the regression lines to the total weight of those Portuguese circumstances shows small differences, thus it is possible to define a payload that is in agreement with the result obtained with the abovementioned regression lines.

In addition, from the report of Lima and Quaresma [11] it is possible to verify that the GVW (Gross Vehicle Weight) is usually 37.5% above the legal maximum vehicle weight.

Therefore, in this work different percentages of overloading were considered, namely 10%, 20%, 30%, 40% and 50%. Different travel speeds were also considered, specifically 40 km/h, 60 km/h, 80 km/h and 100 km/h. From TruckSim® several dynamic load profiles were obtained for different road surface profiles (road class A to E), different values of overloading and different values of

travel speeds. The DLC (Dynamic Load Coefficient) was the parameter used to quantify the magnitude of the induced dynamic tyre forces. It is defined as the ratio of the standard deviation of the perturbation and average or nominal static wheel load [12]. Figure 4 shows the DLC of each load profile from TruckSim.

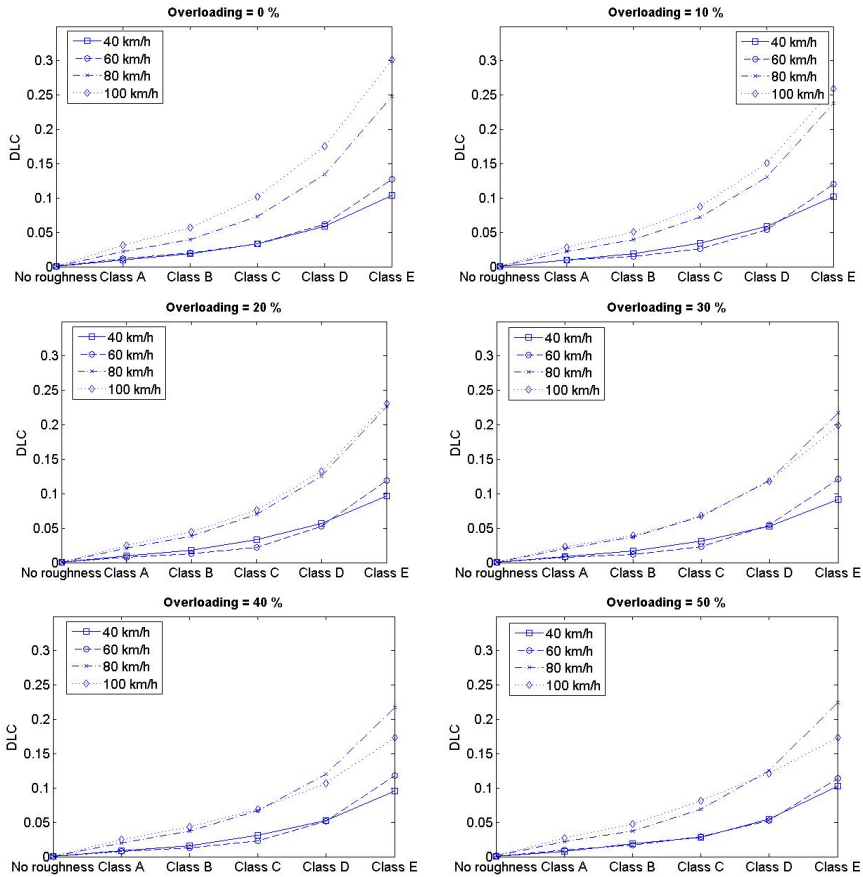


Fig. 4. Dynamic Load Coefficients

## 4 Pavement Response

### 4.1 Boundary Element Method

The basic idea of the Boundary Element Method (BEM) comes from Trefftz [13], who suggested that in contrast to the method of Ritz, only functions satisfying the differential equations exactly should be used to approximate the solution inside the domain and therefore we only need to approximate the present boundary



conditions. Thus is no need to subdivide the domain into elements. These solutions also satisfy conditions at infinity, and consequently there is no problem when dealing with the infinite domain. For a brief description of the BEM formulation and implementation see [14]. In this paper only the advantages and disadvantages of BEM are summarized (Table 3).

**Table 3.** Advantages and disadvantages of BEM [12]

Advantages	Disadvantages
- Requires the discretization of the surface only (the dimension of the problem is effectively reduced by one)	- More susceptible to error when the appropriate numerical techniques are not used
- There is no need to use elements on the planes of symmetry	- The system of equations is non-symmetric and fully populated
- It's easier to change the structural meshes to meet different conditions	- Requires the knowledge of suitable fundamental solutions
- Gives a better accuracy in problems with stress concentration	- When solving problems with high ratio of surface area to volume (thin plate or shell structure)
- To solve problems where boundary stresses are of primary importance	
- It is simple and accurate in problems with infinite and semi-infinite domains (high ratio of volume to surface area)	
- Provides a complete solution in terms of boundary values only	

Almeida and Picado-Santos [14] compared the results obtained using the BEM (strains at the bottom of AC layer and strains at the top of subgrade in depth) with results from FEM (ADINA®, [15]) and BISAR® [16], demonstrating that the BEM results on the boundaries match the BISAR results, which is a "exact solution" tool. Regarding the mesh dimension, representing in some extent the computational effort, the boundary element mesh had 1539 nodes and 816 elements while the finite element had 8931 nodes and 1872 elements, so the BEM analyse needed 17% of FEM nodes and 44% of FEM elements.

### 4.2 Model Definition

Only a structure with thin asphalt concrete layer (12 cm) was considered. The granular layer has a thickness of 0.20 m and the subgrade was considered with infinite thickness. All the materials were modelled as linear-elastic. The properties are shown in Table 4.

**Table 4.** Materials' properties

Laver	Modulus (MPa)	Poisson's ratio
Asphalt Concrete	4658	0.35
Granular	200	0.30
Subgrade	100	0.35

Contact area is a crucial aspect from the point of view of pavement design. As it depends on the tyre load, contact areas with different lengths were considered. The report of action COST 334 [17] presents figures for the consideration of tyre-pavement contact area. The figures used are shown in Table 5.

**Table 5.** Tyre footprint size for driven and towed axles (adapted from [17])

Axle load in tonnes →		7		8		9	
Contact area width	Diameter	Contact area	Tyre Pressure	Contact area	Tyre Pressure	Contact area	Tyre Pressure
(mm)	(mm)	(cm <sup>2</sup> )	(kPa)	(cm <sup>2</sup> )	(kPa)	(cm <sup>2</sup> )	(kPa)
285	1071	555	775	564	900	578	1000

The pavement response was calculated for the maximum value of each dynamic load profile. This option is related with the concept of spatial repeatability which says that the peak forces applied by a heavy vehicle fleet are concentrated at specific locations along the pavement and consequently these locations incur greater damage [2]. Table 6 presents the resultsof the maximum axle load in tonnes.

**Table 6.** Axle load in tonnes

		Overloading					
		0%	10	20	30	40	50
40 km/h	No roughness	7.4	8.3	9.1	10.	10.	11.
	Class A	7.6	8.5	9.4	10.	11.	12.
	Class B	7.8	8.8	9.6	10.	11.	12.
	Class C	8.1	9.2	10.	11.	11.	13.
	Class D	8.8	9.8	10.	11.	12.	13.
	Class E	10.	11.	12.	12.	14.	15.
60 km/h	No roughness	7.4	8.3	9.1	10.	10.	11.
	Class A	7.7	8.6	9.4	10.	11.	12.
	Class B	7.8	8.7	9.6	10.	11.	12.
	Class C	8.2	8.9	9.8	10.	11.	12.
	Class D	8.9	9.8	10.	12.	13.	13.
	Class E	10.	11.	13.	14.	16.	17.
80 km/h	No roughness	7.4	8.3	9.1	10.	10.	11.
	Class A	8.0	9.0	9.8	10.	11.	12.
	Class B	8.6	9.5	10.	11.	12.	13.
	Class C	9.5	10.	11.	12.	13.	14.
	Class D	11.	11.	13.	13.	14.	16.
	Class E	13.	15.	16.	16.	18.	19.
100 km/h	No roughness	7.4	8.3	9.1	10.	10.	11.
	Class A	8.1	9.0	9.8	10.	11.	12.
	Class B	8.7	9.6	10.	11.	12.	13.
	Class C	9.6	10.	11.	12.	12.	14.
	Class D	11.	12.	12.	13.	14.	15.
	Class E	14.	14.	15.	15.	16.	18.

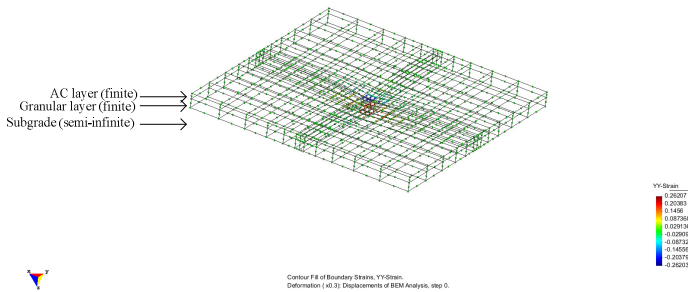
From the data of Table 5 were made extrapolations in order to obtain a contact area for each value of Table 6. Taking the width of the concat area constant and

equal to 285 mm, a contact area’s length for each value of Table 6 was determined. However, although 144 values of vertical contact stress have been considered, only 7 contact areas’ lengths were considered instead of 144, which correspond to the average values within each range of Table 7.

**Table 7.** Contact area’s length

	1	2	3	4	5	6	7
Range (cm)	19 - 20	20 – 21	21 – 22	22 – 23	23 – 24	24 - 25	25 - 26
Average (cm)	19.92	20.57	21.39	22.39	23.44	24.30	25.18

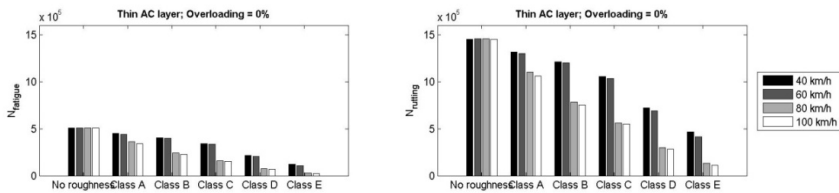
The structures were discretised using quadratic isoparametric elements which imply 8 nodes per quadrilateral element (Figure 5).



**Fig. 5.** BEM Mesh

### 4.3 Results

In order to estimate the pavement life, the Shell transfer functions were used. Figure 5 shows the number of loading cycles (fatigue life and rutting life) for different roughness levels, different travel speeds and different overloading percentages.



**Fig. 5.** Pavement life

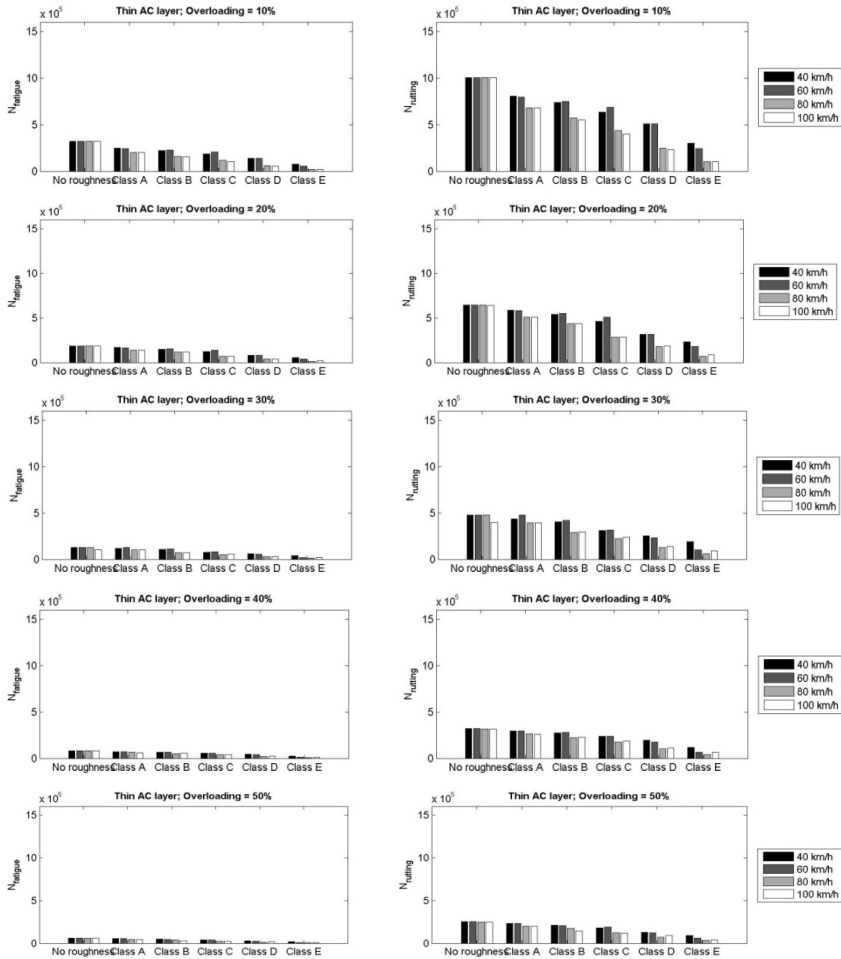


Fig. 5. (continued)

## 5 Discussion and Conclusion

In general, the DLC increases with roughness level and travel speed. As expected, this result is more pronounced for higher travel speeds (less recover time for suspensions) and level of roughness. The percentages of overloading of 20%, 30%, 40% and 50% have smaller influence on DLC than for 0% of overloading because higher load level implies less suspension amplitude so less difference for the static load. This, of course, doesn't mean that any level of overloading is a less aggressive than the legal load.

In relation to the pavement life, the number of possible loading cycles before end of pavement life decreases with increasing of roughness level and travel

speed. As expected, the decreasing is higher for higher percentages of overloading. Just as an example of a regular situation, for overloading equal to 0% and travel speed equal to 80 km/h, the fatigue pavement life reduces about 45% when the roughness level passes from A to C. With higher overloading, as expected at some extent in real life, the result becomes worst for the pavement.

More applications, namely considering structures with different thickness of AC and including cases with stress concentration in order to deal with surface cracking, will be made to underline the importance of DLC on pavement life and to strength the conclusion that the BEM is more suitable than the FEM regarding problems where the boundary stresses are of primary importance, as cited by Beer e Watson [18].

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# Discrete Particle Element Analysis of Aggregate Interaction in Granular Mixes for Asphalt: Combined DEM and Experimental Study

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**Abstract.** The conventional approach to modeling asphaltic materials is to treat them at macro-scale using continuum-based methods. Numerous research works, however, show that for these mixtures it's very important to take into account their micromechanical behaviour, at the scale of aggregate particles, because it is a primary factor in terms of overall system performance. In this way the Distinct Particle Element Method (DEM) represents a very useful tool.

In previous research works the authors have performed a DEM analysis of the fatigue performances of a road pavement and they have observed a great influence on the materials response of shape and interlocking of aggregates. In order to investigate this influence, a series of triaxial tests have been conducted and numerical results have been compared with the lab ones.

The samples, in particular, are composed of different types of steel elements (spheres and angular grains), because this ideal granular material allows an accurate geometrical representation of physical test specimens to be made in DEM simulation.

## 1 Introduction

The greater part of asphalt mixtures is composed of aggregates. Their structure and characteristics, particularly angularity and shape, have been considered as primary factors that affect the development of the aggregate skeleton and the mechanical performance of asphalt pavements. Aggregate contact and interlocking, in fact, control the load-bearing capacity and load-transferring capability of asphalt mixes.

In reality, it is very difficult to measure and quantify the degree of aggregate interlocking directly, because the fundamental theories of packing for particles are still not entirely clear and most of existing methods are confined in two dimensional assemblies or have difficulties in distinguishing between contacts and near-contacts [1].

Because Distinct Particle Elements Method (DEM) considers particles as distinct interacting bodies, it is an excellent tool to investigate the micro-mechanical behaviour of granular materials. Interactions between particles are

described by contact laws that define forces and moments created by relative motions of the particles.

A commercially available three-dimensional DEM code called Particle Flow Code (PFC) [2], developed by Itasca Consulting Group, was used in this study. In PFC3D, particles are spheres (balls) that move independently of each other and only interact at the contact points.

The role of the aggregate's shape and angularity in controlling the performance of asphalt mixtures has been highlighted by many researchers. Cheung and Dawson (2002) [3] concluded that roundness and angularity are the main factors affecting the ultimate shear strength and permanent deformation. Aho et al. (2001) [4] indicated that aggregate shape and angularity are the second most important parameters, after gradation, that affect the asphalt mixture's performance.

Dondi et al. (2007) [5] presented a DEM model to simulate the fatigue performance of an asphalt pavement under traffic loading. The materials were modelled with clumps of discrete elements with different shapes. The results showed that the introduction of parameters, such as the shape and angularity of aggregates, greatly influenced the system's response.

Mahmoud et al. (2010) [6] introduced an approach that combined the discrete element method with image processing techniques in order to analyze the combined effects of aggregate gradation, shape, stiffness and strength on hot-mix asphalt's resistance to fracture. The model was used to quantify the internal forces in asphalt mixtures and determine their relationship to aggregate fracture, something that cannot be obtained by conventional experimental methods. The results showed that the required aggregate strength depends strongly upon the aggregate's characteristics.

Shen and Yu (2011) [1, 7] have studied aggregate packing, which, as it affects the way aggregate particles form a skeleton to transmit and distribute traffic loads, influences the stability and mechanical performance of the mix. The authors have developed a two-step procedure, using a discrete element modelling simulation method. The first step involved evaluating the effect of size distribution, while the second step investigated the combined effect of size distribution and shape impact. The study demonstrated that aggregate size distribution plays a significant role, affecting both the volumetric and contact characteristics of a packed structure, such as an asphalt mixture.

In summary, aggregate shape and angularity were found to be amongst the most important parameters that affect asphalt performance, as they have a strong influence on the way grains make contact and interlock.

## **2 Research Approach**

### ***2.1 Introduction***

To understand how the packing characteristics of the aggregate particles in asphalt mix can be affected by shape and interlocking of grains, a two-step procedure has been developed:

- in the first step, the combined effect of grain shape and angularity on packing and stability of an aggregate assembly has been investigated;
- the second one, instead, will provide a model that, including the effect of the binder, can successfully simulate the packing characteristics of asphalt mixture and contribute to the improvement of its mix design.

This paper, in particular, presents the results only of the first step, which involves three major phases:

- selection of materials, mixes and tests;
- estimation of material micro-scale parameters, which have been calibrated based from experimental data. In this step only spherical particles have been used;
- evaluation of the sensitivity of grain shape and interlocking to the response of an aggregate system. This step has been performed on specimens of spheres and angular grains.

## ***2.2 Materials, Mixes and Tests***

An ideal granular material, 420C stainless steel balls, has been used in this research. There are obvious differences between these steel elements and the real aggregates of asphalt mixtures; however, by coupling DEM simulations with physical tests on this "ideal material", it is possible to replicate the geometry of the DEM model accurately [8, 9]. The use of ideal granular material with regular and simple geometry, in fact, allows an accurate geometrical representation of physical test specimens to be made in DEM simulations. Physical tests on this material can then be used to validate DEM models and these DEM models can be confidently used to develop into the micro-scale interactions driving the macro-scale response observed in the laboratory.

As measured by the manufacturer, the spheres material density is  $7800 \text{ kg/m}^3$ .

For steel mixture a discontinuous gradation has been selected. It has been obtained from a typical Superpave gradation with nominal maximum aggregate size of 12.5 mm, removing fractions passing at small sieves for computational reasons.

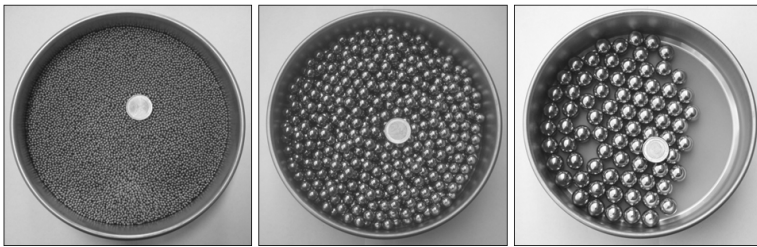
Two specimen types have been considered: one uniform, containing spheres (with diameters of 2.77 mm, 11 mm and 18 mm) (figure 1), and the other non-uniform specimen, containing a mixture of spheres and angular grains.

The specimens have been subjected to triaxial test, which has been recognized as a useful experimental tool for evaluating shearing resistance, stress-strain characteristics and strength properties of a granular assembly [8].

The prepared specimens were 100 mm in diameter and 200 mm high.

All the tests have been strain controlled and the strain rate has been set 1 mm/min.





**Fig. 1.** Steel balls

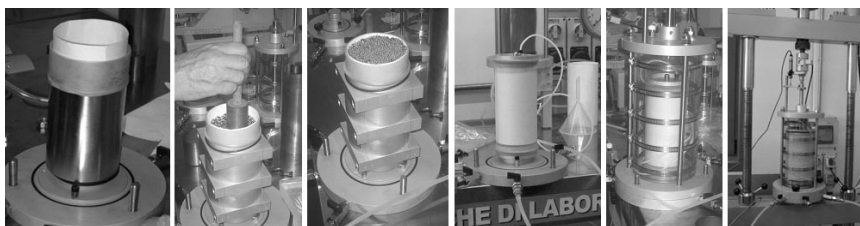
### ***2.3 Evaluation of Material Micro-scale Parameters***

A series of preliminary validation simulations have been performed to estimate the steel micro-scale parameters. They have been obtained comparing experimental and numerical results, and all the tests have been conducted on specimens of three-dimensional assemblies of steel spheres.

In this step only spherical particles have been used, because, even if they differ from real aggregates, they can provide a close coupling between numerical simulations and physical tests. More information about the micromechanics of a real material can be achieved by incorporating more realistic particle geometries in DEM model. However, prior to incorporate the complexity of a real aggregate in DEM simulations, it is important to demonstrate the accuracy of the numerical models using simple granular material such as that used in this study.

One type of sample has been used: a mixture of 32886 spheres with diameters of 2.77 mm (32204 spheres), 11 mm (605 spheres) and 18 mm (77 spheres).

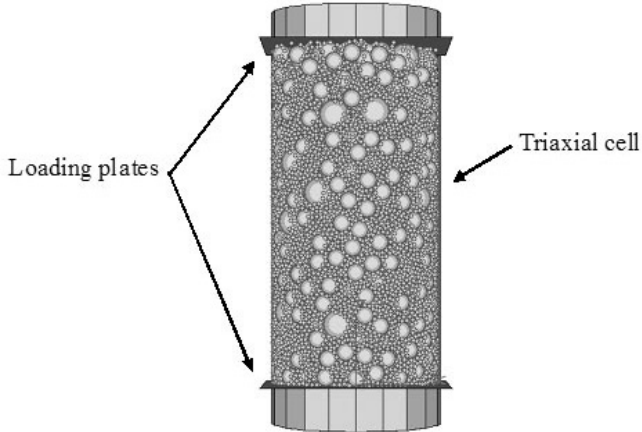
In the laboratory tests, specimen has been created using dry pluviation with a funnel to minimize the drop height (Figure 2). A filter paper has been introduced inside the framework in order to confine the sample during its preparation, avoiding the collapse caused by steel particles weight. Once wet, it doesn't increase the strength resistance of the system during the test.



**Fig. 2.** Preparation procedure of the lab specimens

The confining pressure ( $\sigma_3$ ) has been set to 300 kPa (test 1S\_300), 400 kPa (test 1S\_400) and 500 kPa (test 1S\_500).

For the DEM simulations, a triaxial cell has been modeled with a cylindrical wall, closed at the top and the bottom boundaries by planes which simulate the loading plates (Figure 3). During the tests, its velocity is controlled automatically by a function that maintains a constant confining stress in the specimen [5].



**Fig. 3.** DEM model for triaxial test

Since steel balls are an unbound material, bond models have been avoided. The system behavior has been defined only by a contact-stiffness model and a slip-separation model. Normal stiffness, shear stiffness and wall stiffness have been set equal to  $10^7$  N/m; interparticle friction coefficient and particle-boundary friction coefficient have been set equal to 0.42 and 0 respectively.

For each test have been monitored:

- confining pressure ( $\sigma_3$ ), axial stress ( $\sigma_1$ ) and deviator stress ( $\sigma_d = \sigma_1 - \sigma_3$ );
- axial strain ( $\epsilon$ ) and volumetric strain ( $\Delta V/V$ ).

Figure 4 illustrates the variation in deviator stress and volumetric strain with axial strain for the laboratory tests (LAB) and the DEM simulations (DEM) under different confining pressures. It can be observed that the numerical and experimental results were very similar. In all tests, the deviator stress increases progressively with axial strain, until a maximum value is attained. What is more, residual and peak strength increases as the confining pressure increases. Volumetric strain, on the contrary, is negative early in the test (initial compaction of material) and subsequently increases and the material dilates. When the confining pressure increases, there is an increase in the change in volume of the specimen.

Therefore it can be concluded that results validate the steel micro-scale parameters selected.

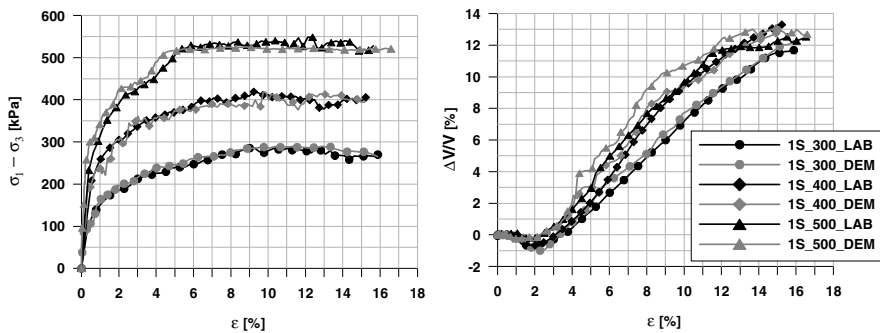


Fig. 4. Comparison of DEM simulation results and physical tests

## 2.4 Evaluation of the Sensitivity of the Aggregate System to Grain shape and Interlocking

Using the steel micro-scale parameters established above, in this step aggregate properties, such as shape and angularity, have been included, to develop more sophisticated models. In this way, in this research step, assemblies consisting of spheres and angular grains have been used.

For better comparison of results, samples dimensions and triaxial tests procedures are been assumed equal to what has been described in section 2.3

For the DEM simulations, angular grains have been modeled by clumps [9, 10]. A clump behaves as a rigid body because the particles comprising it remain at a fixed distance from each other [2].

The specimens, according to grain size distribution explained in section 2.2, are formed of:

- 32204 spheres with diameter of 2.77 mm, as mixtures of preliminary research step;
- clumps, that replace the medium and large spheres of the mixtures of preliminary research step.

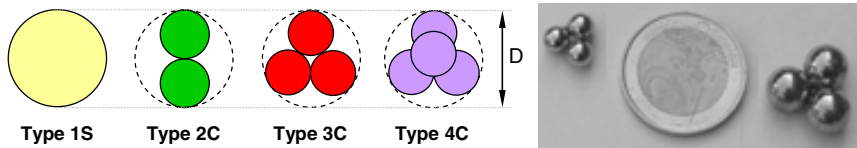
According to table 4, three types of clumps, comprising two or three or four spheres, have been chosen. In order to have a quantitative comparison between the shapes of the four series of grains, clumps and spheres have the same external diameter and the same total particle volume for each size ranges of the gradation curve (figure 5).

A series of triaxial tests have been conducted on the assemblies created, as described in section 2.3 (table 4).

**Table 4.** Description of elements of specimens and of tests of analysis

Composition	Test code						
	1S	2C		3C		4C	
Small spheres	32204	32204		32204		32204	
Medium angular grains	605	2423		1615		1211	
Large angular grains	77	308		205		154	
Test name		2C_400	2C_500	3C_400	3C_500	4C_400	4C_500
$\sigma_3$ [kPa]		400	500	400	500	400	500

In the laboratory tests, angular grains have been obtained sticking together the steel spheres by a cold-weld compound (figure 5).

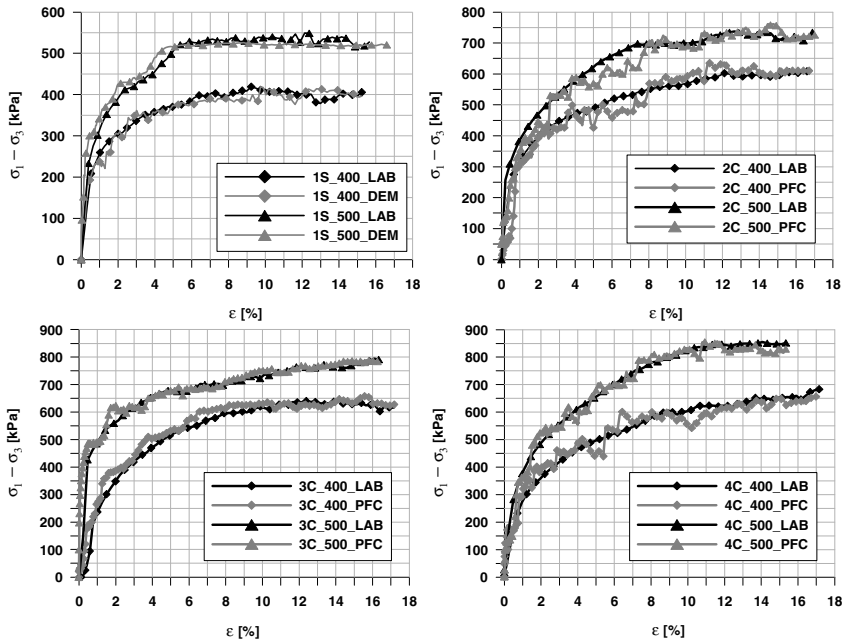


**Fig. 5.** Types of mix of spheres and angular grains and 4C grains for lab tests

### 3 Results

The results of triaxial tests are presented in figure 6 in terms of deviator stress versus axial strain, for the laboratory tests (LAB) and the DEM simulations (DEM), under confining pressure of 400 and 500 kPa. It can be observed a good agreement between numerical and experimental results, for each assembly, independently of the type of clump. For assemblies of grain with equal angularity, residual and peak strength increase with increasing confining pressure. Under any specified confining pressure, shear strength (residual and peak) increases significantly with increasing in the angularity of grains, because shear resistance arises from friction and interlocking between particles (table 5).

The shear strength, moreover, increases with decreasing of void ratio of the assemblies and with increasing of inter-particle friction coefficient. These results could be attributed to less interlocking and fewer contacts between grains (table 6). Increasing initial void ratio, in fact, leads to less dilation and lower mobilized friction angle.



**Fig. 6.** Comparison of DEM simulation results and physical tests, in terms of deviator stress versus axial strain

**Table 5.** Peak strength for assemblies object of study [kPa]

Test code	Physical test (LAB)	DEM model (DEM)
1S_400	419	418
1S_500	549	525
2C_400	623	637
2C_500	737	762
3C_400	640	658
3C_500	795	799
4C_400	687	668
4C_500	855	857

**Table 6.** Void ratio and inter-particle friction coefficient for assemblies

Mix type	1S	2C	3C	4C
Void ratio	0.59	0.58	0.57	0.56
Inter-particle friction angle [°]	23	28	29	30

Because the contact force is strongly dependent on the arrangement of the particles, it is difficult to relate the total contact force to the performance of an assembly. Mean contact force, that is the total normal contact force divided by total contact numbers, has been used in this study to quantify the stability of the aggregate's structure [1]. In general, if the same external load is applied to two models, the one with lower mean contact force will have more contact points, as less stresses being transmitted through individual aggregate skeleton. Mean contact force is considered to be related to the aggregate structure's resistance to permanent deformation. Table 7 shows that adding more angular grains into the assembly, more aggregate contacts and better particles interconnection have been achieved, and thus the mean contact force decreases with improvement of the stability and load distribution capability of the structure as well as of the resistance to permanent deformation.

**Table 7.** Total number of contacts and mean contact force for each assembly

Confining pressure [kPa]		Mean contact force [N]	
		400	500
Mix type	1S	1.47	1.46
	2C	1.45	1.42
	3C	1.37	1.36
	4C	1.35	1.32

## 4 Conclusions

This paper conducts a 3D DEM analysis of aggregate packing, to characterize the roles of aggregates with different shapes in an assembly, and evaluate the aggregate contact and contact forces as an indication of the stability of grain skeleton.

It was found that the 3D DEM model developed in this study can effectively capture the effect of particle shape and angularity on the assembly performance.

The degree of aggregate contact and interlocking are found to be a function of grain shape and angularity. By adding angular grains, the quantity and magnitude of aggregate contacts increase.

Mean contact force is calculated to describe the aggregate system's resistance to permanent deformation. Given the same external load, a low mean contact force indicates more contact points, less forces transmitted through individual aggregate contact and thus a stable structure.

The understanding of particle packing, combined with particle shape and angularity, will help to develop meaningful gradation parameters that can be fundamentally related to the performance of overall aggregate structures, thereby to provide guidance on the improvement of aggregate gradation design for the asphalt mixtures. In this way, in fact, including the effect of binder, the combination of analytical and numerical approaches will able to develop a virtual testing environment. In this, once the model is calibrated, it can be used to run as

many simulations are required. Thus, the virtual testing environment would be an inexpensive tool to evaluate the influence of changing different materials and design factors on the mixture response, as it provides precise control over almost every single factor.

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# Recent Developments and Applications of Pavement Analysis Using Nonlinear Damage (PANDA) Model

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**Abstract.** This paper presents an overview of the development and applications of the PANDA (Pavement Analysis using Nonlinear Damage Approach) model that has been under development at Texas A&M University for the past few years. In addition to the basics of the constitutive relationships used in PANDA, this paper presents examples of calibration and validation of the model using experimental laboratory data. The results demonstrate clearly the ability of the model to describe the mechanical behaviour of asphalt mixtures in terms of resistance to damage and permanent deformation. Finally, the capabilities of the model to simulate the mesoscale response of asphalt mixtures are presented and their implications in the design of asphalt mixtures are discussed.

## 1 PANDA Constitutive Models

This section summarizes the various constitutive models employed in PANDA to simulate damage, healing and permanent deformation. These models are all formulated to be temperature, loading rate and time dependent. The reader is referred to several papers of the authors and their co-workers for more details about these models and their implementation in finite element [1-6].

### 1.1 Total Strain Additive Decomposition

The total deformation of an asphalt mixture subjected to an applied stress can be decomposed into recoverable and irrecoverable components, where the extent of each is mainly affected by time, temperature, and loading rate. In this analysis, small deformations are assumed such that the total strain is additively decomposed into a viscoelastic component and a viscoplastic component:

$$\boldsymbol{\varepsilon}_{ij} = \boldsymbol{\varepsilon}_{ij}^{nve} + \boldsymbol{\varepsilon}_{ij}^{vp} \quad (1)$$



where  $\mathcal{E}_{ij}$  is the total strain tensor,  $\mathcal{E}_{ij}^{nve}$  is the nonlinear viscoelastic strain tensor, and  $\mathcal{E}_{ij}^{vp}$  is the viscoplastic strain tensor.

## 1.2 Effective (Undamaged) Stress Concept

Kachanov [7] has pioneered the concept of continuum damage mechanics (CDM), where he introduced a scalar measure called continuity,  $\zeta$ , which is physically defined by Rabotnov [8] as:

$$\zeta = \frac{\bar{A}}{A} \quad (2)$$

where  $A$  is the damaged (apparent) area and  $\bar{A}$  is the real area (intact or undamaged area) carrying the load. In other words,  $\bar{A}$  is the resulted *effective* area after micro-damages (micro-cracks and micro-voids) are removed from the damaged area  $A$ . The continuity parameter has, thus, values ranging from  $\zeta = 1$  for intact (undamaged) material to  $\zeta = 0$  indicating total rupture.

Odqvist and Hult [9] introduced another variable,  $\phi$ , defining the reduction of area due to micro-damages:

$$\phi = 1 - \zeta = \frac{A - \bar{A}}{A} = \frac{A^D}{A} \quad (3)$$

where  $A^D$  is the area of micro-damages such that  $A^D = A - \bar{A}$ .  $\phi$  is the so-called damage variable or damage density which starts from  $\phi = 0$  and ends with  $\phi = \phi^c$  for complete rupture, where  $\phi^c$  is the critical damage density [10].

Based on CDM definition of an effective area and the work of Abu Al-Rub and Voyiadjis [11], the relationship between the stresses in the undamaged (effective) material and the damaged material is defined as [see Chaboche [12] for a concise review of effective stress in CDM]:

$$\bar{\sigma}_{ij} = \frac{\sigma_{ij}}{(1 - \phi)^2} \quad (4)$$

where  $\bar{\sigma}_{ij}$  is the effective stress tensor in the effective (undamaged) configuration, and  $\sigma_{ij}$  is the nominal Cauchy stress tensor in the nominal (damaged) configuration.

### 1.3 Nonlinear Thermo-Viscoelastic Model

In this study, the Schapery's nonlinear viscoelasticity theory is employed to model the viscoelastic response of asphalt mixtures [13]. The Schapery's viscoelastic one-dimensional single integral model is expressed here in terms of the effective stress  $\bar{\sigma}$ , Eq. (4), as follows:

$$\varepsilon^{nve,t} = g_0(\bar{\sigma}^t, T^t)D_0\bar{\sigma}^t + g_1(\bar{\sigma}^t, T^t)\int_0^t \Delta D(\psi^t - \psi^\tau) \frac{d(g_2(\bar{\sigma}^\tau, T^\tau)\bar{\sigma}^\tau)}{d\tau} d\tau \quad (5)$$

where  $D_0$  is the instantaneous compliance,  $\Delta D$  is the transient compliance,  $g_0$ ,  $g_1$ , and  $g_2$  are nonlinear parameters related to the effective stress,  $\bar{\sigma}$ , strain level,  $\varepsilon_{ij}$ , or temperature  $T$  at specific time  $\tau$ . The parameter  $g_0$  is the nonlinear instantaneous compliance parameter that measures the reduction or the increase in the instantaneous compliance. The transient nonlinear parameter  $g_1$  measures the nonlinearity effect in the transient compliance. The nonlinear parameter  $g_2$  accounts for the loading rate effect on the creep response, and  $\psi^t$  is the reduced time.

### 1.4 Thermo-Viscoplastic Model

Perzyna-type viscoplasticity constitutive equations as outlined in Masad et al. [14] are modified here and expressed in terms of the effective stress tensor  $\bar{\sigma}_{ij}$ , Eq. (5), instead of the nominal stress tensor  $\sigma_{ij}$ . The viscoplastic strain rate is defined through the following classical viscoplastic flow rule:

$$\dot{\varepsilon}_{ij}^{vp} = \dot{\gamma}^{vp} \frac{\partial g}{\partial \bar{\sigma}_{ij}} \quad (6)$$

where  $\dot{\gamma}^{vp}$  and  $g$  are the viscoplastic multiplier and the viscoplastic potential function, respectively. Physically,  $\dot{\gamma}^{vp}$  is a positive scalar which determines the magnitude of  $\dot{\varepsilon}_{ij}^{vp}$ , whereas  $\partial g / \partial \bar{\sigma}_{ij}$  determines the direction of  $\dot{\varepsilon}_{ij}^{vp}$ .

In this study, a modified Drucker-Prager yield function that distinguishes between the distinct behavior of asphalt mixture in contraction and extension and the sensitivity to confining pressures is employed as presented in Masad et al. [14]. However, this modified Drucker-Prager yield function is expressed here as a function of the effective (undamaged) stresses,  $\bar{\sigma}_{ij}$ , as follows:

$$f = F(\bar{\sigma}_{ij}) - \kappa(\epsilon_e^{vp}) = \bar{\tau} - \alpha \bar{I}_1 - \kappa(\epsilon_e^{vp}) \quad (7)$$

where  $\alpha$  is a material parameter related to the material's internal friction,  $\kappa(\epsilon_e^{vp})$  is an isotropic hardening function associated with the cohesive characteristics of the material and depends on the effective viscoplastic strain  $\epsilon_e^{vp}$ ,  $\bar{I}_1 = \bar{\sigma}_{kk}$  is the first stress invariant, and  $\bar{\tau}$  is the deviatoric effective shear stress modified to distinguish between the behavior under contraction and extension loading conditions.

### 1.5 Thermo-Viscodamage Model

Initially, Darabi et al. [5] proposed the following form of the viscodamage evolution law as an exponential form of the total effective strain:

$$\dot{\phi} = \Gamma^\varphi \exp(k \epsilon_{eff}^{Tot}) \quad (8)$$

where  $\Gamma^\varphi$  is a damage viscosity parameter,  $\epsilon_{eff}^{Tot}$  is the effective total strain,  $\epsilon_{eff}^{Tot} = \sqrt{\epsilon_{ij} \epsilon_{ij}}$ , where  $\epsilon_{ij}$  is given by Eq. (1) including both viscoelastic and viscoplastic parts, and  $k$  is a material parameter. The dependence of the damage density evolution equation on the total strain makes damage coupled to viscoelasticity and viscoplasticity, and to include implicitly the effects of time, rate, and temperature dependency. However, time of rupture in creep test and peak point in the stress-strain diagram for the constant strain rate test are highly stress dependent. As a result, one may assume that the damage viscosity variable in Eq. (8) is a function of stress, and has the following power law:

$$\Gamma^\varphi = \Gamma_0^\varphi \left(\frac{Y}{Y_0}\right)^q \quad (9)$$

where  $q$  is the stress dependency parameter,  $\Gamma_0^\varphi$  and  $Y_0$  are the reference damage viscosity parameter and reference damage force obtained at a reference stress for a creep test, and  $Y$  is the damage driving force in the nominal (damaged) configuration, which can be assumed to have a form similar to the Drucker-Prager-type function,  $F(\bar{\sigma}_{ij})$ , in Eq. (7), such that [15]:

$$Y = \tau - \alpha I_1 \quad (10)$$

where  $\tau$  is as introduced in Eq. (20), but is a function of  $\sigma_{ij}$  and not  $\bar{\sigma}_{ij}$ , and  $I_1 = \sigma_{kk}$ . In continuum damage mechanics,  $Y$  is interpreted as the energy

release rate necessary for damage nucleation and growth [10]. Assuming the damage force to have a Drucker-Prager-like form allows the damage evolution to be dependent on confining pressures, and takes into consideration the distinct response of asphalt mixtures under extension and compression loading conditions. Also, assuming the damage viscosity parameter to be a function of the damage force,  $Y$ , in the nominal (damaged) configuration instead of the effective (undamaged) configuration allows one to include damage history effects, such that by using the effective stress concept in Eq. (4) one can rewrite  $Y$  as follows:

$$Y = \bar{Y}(1 - \phi)^2 \quad (11)$$

Moreover, the damage density evolution highly depends on temperature. In this work, the proposed damage evolution law is coupled with temperature through a damage temperature function  $G(T)$ , which is identified based on experimental observations, such that one can write the following thermo-viscodamage evolution law [5]:

$$\dot{\phi} = \Gamma_0^\phi \left[ \frac{\bar{Y}(1 - \phi)^2}{Y_0} \right]^q \exp(k \varepsilon_{eff}^{Tot}) G(T) \quad (12)$$

## 1.6 Healing Model

It is shown in Abu Al-Rub et al. [1] that coupled viscoelastic, viscoplastic, and viscodamage constitutive models significantly underestimate the number of loading cycles up to failure of asphalt mixtures in case of repeated creep-recovery tests, especially, when relatively long rest periods (or unloading times) are introduced between the loading cycles. The reason for this underestimation is related to micro-damage healing occurring during the rest periods. Asphaltic materials have inherent micro-damage self-healing capacity that is more evident during unloading times and increasing temperatures. To remedy this issue, Abu Al-Rub et al. [1] proposed a phenomenological-based micro-damage healing model based on continuum damage mechanics such that the density of healed micro-cracks,  $h$ , are calculated based on the following evolution law:

$$\dot{h} = \Gamma^h (1 - \phi)^{m_1} (1 - h)^{m_2} \quad (13)$$

where  $\Gamma^h$  is the healing viscosity parameter controlling the rate of the micro-damage healing, and  $m_1$  and  $m_2$  are model material parameters. The effective stress concept as presented in Eq. (4) is then modified as follows:

$$\bar{\sigma}_{ij} = \frac{\sigma_{ij}}{1 - \phi(1 - h)} \quad (14)$$

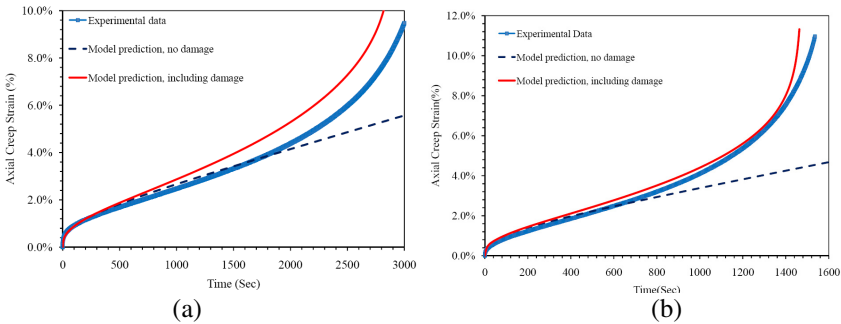
The healing internal state variable ranges from  $0 \leq h \leq 1$ ;  $h = 0$  for no healing and  $h = 1$  when all micro-cracks are healed.

## 2 Examples of PANDA Validation

### 2.1 Nottingham Database

The model was calibrated and validated using experimental data on asphalt mixtures tested using different stress levels, strain rates, and temperatures as outlined in Grenfell et al. [16]. The asphalt mixture is described as 10 mm Dense Bitumen Macadam (DBM) which is a continuously graded mixture.

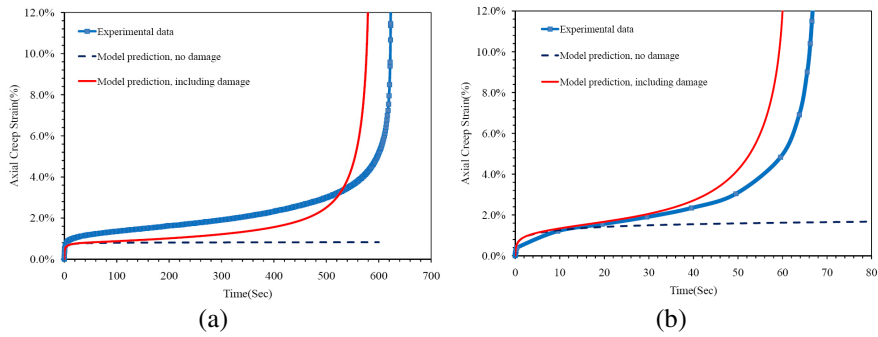
The comparisons between experiments and model predictions for different temperatures and stress levels for creep tests are shown in Figures 1 and 2. The corresponding damage density versus total strain are also plotted in Figure 3. Figure 3 shows that the damage density is close to zero or at least insignificant at low strain levels, and increases as strain and applied stress is increased. Figure 3 also shows that the damage density grows almost with a constant slope for a while, where in this region the steady creep or secondary creep occurs. After this region damage grows with a higher rate until the rupture point. This region corresponds to tertiary creep. It is interesting to note that the damage density evolution follows an S-like curve, which is physically sound.



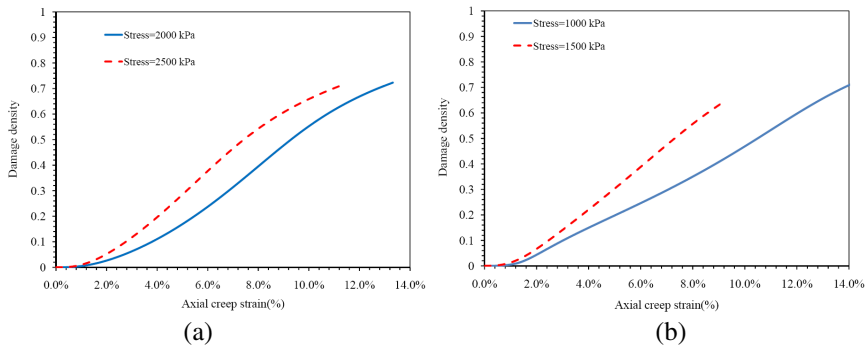
**Fig. 1.** The comparison of the creep response between experimental measurements and model predictions at  $T = 10^\circ C$  and stress levels of (a)  $\sigma = 2000 \text{ kPa}$  and (b)  $\sigma = 2500 \text{ kPa}$

### 2.2 ALF Database

The experimental data presented in this section were obtained from North Carolina State University based on testing asphalt mixtures that were used in the Accelerated Loading Facility (ALF) of the Federal Highway Administration (FHWA). Details about these experimental measurements are available in Kim et al. [17]



**Fig. 2.** The comparison of the creep response between experimental measurements and model predictions at  $T = 40^{\circ}C$  and stress levels of (a)  $\sigma = 500kPa$  and (b)  $\sigma = 750kPa$



**Fig. 3.** Model prediction results for damage density versus total strain at different stress levels and different temperatures: (a)  $T = 10^{\circ}C$  , (b)  $T = 20^{\circ}C$

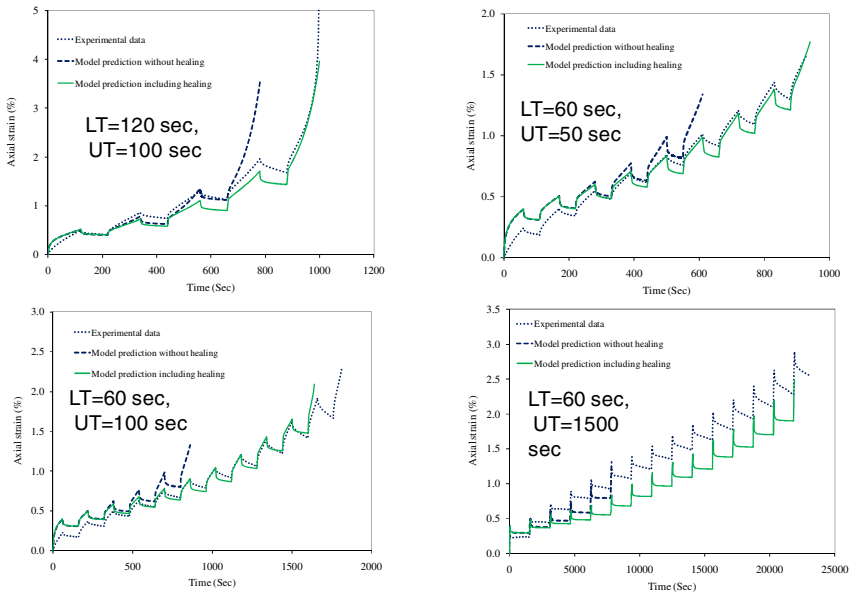
Examples of the experimental and model results for tensile creep-recovery tests are shown in Figure 4. The results clearly show that the model is able to capture the accumulated damage of asphalt mixtures especially when the healing behavior of the mixtures is included in the model.

### 2.3 Mescoscale Results

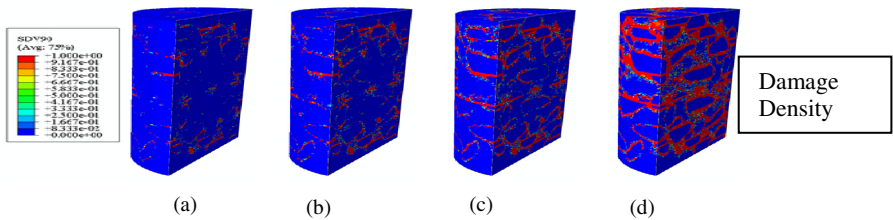
X-ray computed tomography (CT) was used to capture the three dimensional microstructure of an asphalt mixture with a diameter of 50 mm and a height of 75 mm.

The modulus of elasticity and Poisson’s ratio for the aggregate were assumed to be 25 GPa and 0.25, respectively. The matrix (or mastic) was modeled using the PANDA constitutive laws. Compressive repeated creep-recovery tests were

simulated, and examples of the damage density distributions, viscoelastic strain distribution, and effective viscoplastic distribution at different times are shown in Figure 5. The mesoscale simulations are very useful to determine the effect of the matrix properties on the overall mixture performance. In addition, these simulations can be used in order to determine the optimum mixture design (aggregate gradation and volumetrics) that minimize localized damage and enhance performance.



**Fig. 4.** Model prediction results for tensile creep-recovery tests of the control mixture in the ALF experiment for different loading time (LT) and unloading time (UT) at  $T = 20^{\circ}\text{C}$



**Fig. 5.** Mesoscale response under repeated creep-recovery compressive test at: (a) 50 seconds (4 cycles), (b) 100 seconds (9 cycles), (c) 150 seconds (13 cycles), and (d) failure (16 cycles)

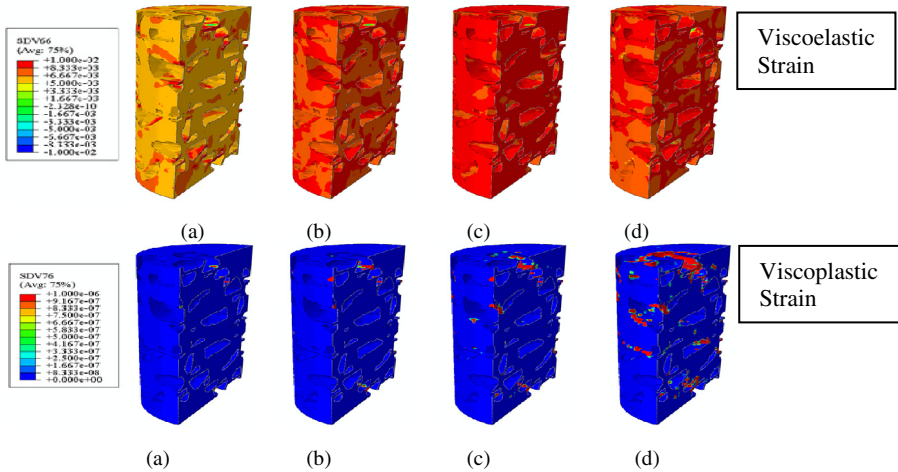


Fig. 5. (continued)

### 3 Conclusions

This paper presents an overview of the PANDA model. This is a temperature, rate-, and time-dependent continuum damage model coupled to temperature-dependent viscoelasticity and viscoplasticity models for accurately predicting the nonlinear behavior of asphalt mixes.

The model has been used to model the response of asphalt mixtures under creep and repeated creep-recovery tests. The results validate the model since its predictions of the numerical results compare well with the experimental measurements validating the model capabilities.

The PANDA model was used to simulate the mesoscale response of asphalt mixtures. The outcomes of these simulations are the macroscopic response as well as the damage, viscoelastic strain, and viscoplastic strain distribution within the asphalt mixture microstructure. The mesoscale simulations can be used in guiding virtual testing of asphalt mixtures.

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# Laboratory and Computational Evaluation of Compact Tension Fracture Test and Texas Overlay Tester for Asphalt Concrete

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**Abstract.** Reflective cracking is the primary mode of failure for pavements rehabilitated with asphalt overlays in many instances. The Texas Overlay Tester (OLT) has been utilized by several researchers and practitioners to evaluate the reflective cracking resistance of asphalt overlays. The OLT is a simulative test procedure that emulates the portion of asphalt overlay located directly on top of the crack or discontinuity in the underlying pavement. The testing involves cyclic horizontal displacement of the underlying layer to initiate and propagate the crack. The number of cycles required to form the crack through asphalt overlay is typically utilized as a performance parameter indicative of cracking resistance of the asphalt mixture. This paper describes a comprehensive analysis of the OLT through comparative laboratory fracture testing and computational modelling. The compact tension (CT) test geometry has been recently adapted to characterize the fracture properties of asphalt concrete, and can be used to extract useful mode I (tensile) local fracture properties such as material strength and fracture energy.

Laboratory creep and fracture testing was conducted for two hot-mix asphalt samples. Both OLT and CT tests were conducted for each mixture, and both tests were simulated using the finite element technique. The simulation results and the laboratory findings demonstrate the relative pros and cons of each approach (fracture test versus simulative test). Reasons for the significantly higher variability found in the OLT as compared to the CT test are hypothesized and discussed. The development and implementation of a phenomenological cohesive zone fatigue (CZF) model specifically tailored for this study is presented. The CZF model utilizes fracture properties obtained from CT test along with a functional degradation of those properties under cyclic straining as calibrated using OLT results. The calibrated model was shown to be in favourable agreement with laboratory testing results. Extensions and limitations of model are also discussed.

## 1 Introduction and Background

The use of asphalt overlays to rehabilitated distressed pavements is quite extensive. The most prominent failure mode for asphalt overlays is through reflective cracking,

whereby cracks and joints from underlying distressed pavements causes stress concentrations in the overlay leading to formation of cracks. Significant research efforts have been made on development of laboratory characterization methods to evaluate the reflective cracking resistance of overlay mixtures. The Texas Overlay Tester (OLT) has gained significant popularity in recent years [1-3]; this method has been refined by Zhou et al. [4] for use in standard material specifications. The OLT test procedure simulates the straining of asphalt overlay placed over jointed or cracking pavement that undergoes horizontal movement in direction of traffic. The compact tension (CT) fracture test procedure for asphalt concrete has been formalized by Ahmed et al. [5] and has been utilized for evaluation of thin overlays [6-8]. Previous studies on evaluation of OLT focussed primarily on lab testing or computer simulations using the linear elastic fracture mechanics approaches [9]. In this study, cohesive zone fracture approach was utilized to study the material failure mechanism in OLT and it is compared with the same for CT test. Two asphalt mixes were tested using both tests for comparative purposes. Finally, a cohesive zone fatigue model is proposed that utilizes fracture properties from CT tests and can be calibrated using OLT data to simulate fatigue induced damage and cracking in asphalt concrete.

## 2 Research Approach

The technical efforts undertaken in this work were divided into two major components, namely: laboratory testing efforts and computational modelling efforts. The laboratory tests were conducted on two hot-mix asphalts, one plant produced and other lab produced. Both mix types represented identical volumetric mix design, aggregate gradations and same asphalt binder grades. The asphalt mixtures were provided to the researchers from the Texas Department of Transportation (TXDOT). The nominal maximum aggregate size of the mixes was 9.5 mm with 5.1% asphalt content. Both mixes were produced using the Superpave PG 64-22 grade binder and consisted of 20% fractionated recycled asphalt pavement (FRAP). Laboratory characterization of mixes included OLT, CT and indirect tensile creep tests. The OLT tests were conducted in accordance with the Tex-248-F [1] procedure by TXDOT and the results were shared with the authors of this paper. The CT tests were conducted for both mixes at -12, 0, and +12 °C. The specimen preparation and laboratory testing procedures for CT test are discussed elsewhere [2,3,4]. The indirect tensile creep tests were conducted in accordance with the AASHTO T-322 [5] test procedure at test temperatures of -12, 0, +12 °C. As discussed before, the primary objective for conducting OLT and CT tests was to make quantitative and qualitative comparisons between the results obtained from these tests and explore the suitability of OLT in predicting cracking potential of asphalt mixtures.

A series of computational modelling was conducted to simulate the OLT and CT tests. The computational modelling was conducted using finite element method. The crack initiation and propagation in the CT and OLT tests were simulated through use of cohesive zone fracture model. A variety of results were extracted from computer simulations to make comparisons between OLT and CT

tests including stress distribution and determination of local fracture properties. A phenomenological fatigue damage model was also developed and calibrated using the OLT results. The details of computational models and data analysis are discussed later in the paper.

### 3 Laboratory Test Results

The laboratory test results are discussed in this section. The OLT test procedure has been described in detail by Zhou et al. [4] and the TXDOT TEX-248-F test specifications [9]. The test procedure involves repeated loading and unloading of asphalt concrete sample at 25 °C through a controlled displacement test. Each displacement cycle for this test is of 0.63 mm magnitude applied in a triangular waveform. The reported performance properties of the mixture from OLT include the starting load and the number of cycles to failure. The starting load is defined as the amount of peak force needed during the first displacement cycle. The number of cycles to failure represents the number of repetitions of triangular displacement to reach 93% reduction in the load as compared to starting load. The results for number of replicate samples from both mixes are tabulated in Table 1. The test results indicate a relatively high variability in both parameters with values of coefficient of variance (CoV) ranging from 4.6% to 49.9%. In light of the CoV values the relative performance differences between the two mixtures is not significant. In absolute sense the lab mixtures demonstrated marginally superior cracking performance.

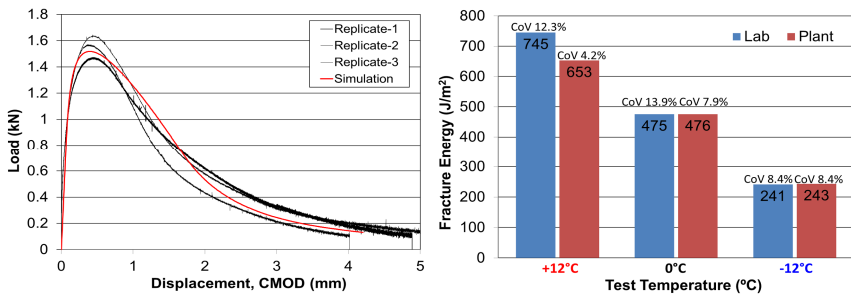
**Table 1.** Texas overlay tester results

LAB MIX			PLANT MIX		
Sample Number	Starting Load (N)	Cycles to Failure	Sample Number	Starting Load (N)	Cycles to Failure
1	2655.6	17	1	3180.5	43
2	3202.7	39	2	2953.6	43
3	4461.6	69	3	3136.0	48
4	3727.6	58	4	2926.9	18
Average	3511.9	45.8	5	3251.7	46
CoV	21.9%	49.9%	6	3140.4	22
			7	3322.8	22
			Average	3130.3	34.6
			CoV	4.6%	38.2%

The indirect tensile creep test results were obtained through testing of three replicate samples for each mix. The creep compliance measurements were shifted using time-temperature superposition principle to obtain the master-curves.

The CT tests were conducted with three replicate samples for each mix tested at -12, 0 and +12 °C. The tests were conducted to yield a constant rate of crack mouth opening displacement (CMOD) of 0.0167 mm/s. The load-displacement data for the lab mix at +12 °C are shown in Figure 1(a). Contrary to strength tests

the fracture tests, such as CT test, focus on measurement of the necessary amount of energy that is required to propagate a crack through the material rather than focus on the amount of stress necessary to initiate a crack. This energy measure is commonly referred to as fracture energy of the material. In the case of materials that exhibit quasi-brittle and ductile failure behaviour this property is of particular interest. This is primarily due to the fact that the material has significant capacity to carry load once the peak capacity, as commonly indicated by tensile strength, is reached. Fracture energy can be determined by normalizing the fracture work against the newly formed area by process of fracture. The fracture work is the area under the load-displacement curve. The fracture energies of both plant and lab mixtures at three test temperature is presented in Figure 1(b). The average of three measurements and corresponding CoV for each set is also shown on the plot. The fracture energies of two mixtures are almost identical at -12 and 0 °C. At +12 °C the plant mixture has marginally higher fracture resistance, the OLT results showed similar distinction. Overall, the CoV for lab mixture was observed to be higher than lab mixture, this observation is also consistent with results obtained from OLT. It is anticipated that the mixing and aging procedures attributed to the greater CoV for lab specimens.



**Fig. 1.** (a) Load-displacement curves from CT test for lab mixture at temperature of +12 °C; (b) Fracture energy measurements for lab and plant mixtures (number on the bar is average fracture energy from three test replicates).

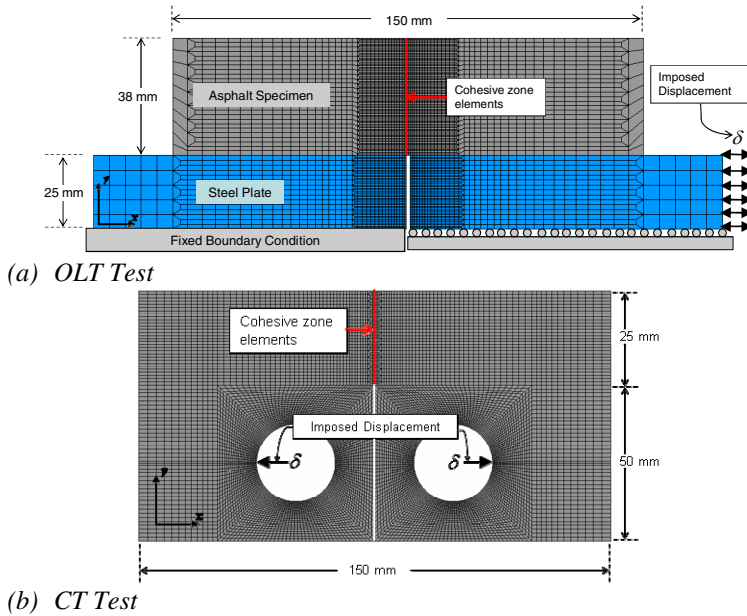
## 4 Modelling Efforts

The computer simulations reported in this paper were conducted for twin-fold objectives:

- Evaluation and comparison of OLT and CT test procedures from perspective of stress distribution in specimen; and
- Development of a phenomenological cohesive zone fatigue model.

The computer simulations were conducted using the commercially available finite element (FE) program ABAQUS. However, due to specialized nature of this research several features were programed and utilized within the framework of

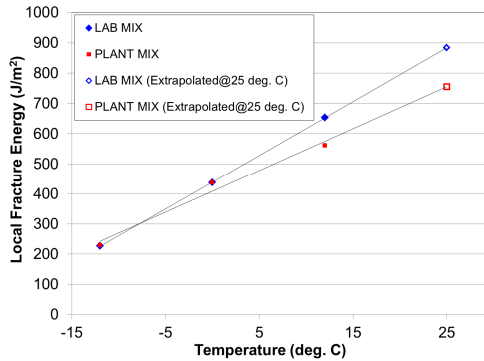
ABAQUS. These include user defined materials, user defined elements, and subroutine for application of repeated loading. The FE models for both OLT and CT tests were developed with two-dimensional plane-strain approximation using four-node quadrilateral elements. The FE meshes for OLT and CT tests along with the dimensions and boundary conditions are shown in Figure 2.



**Fig. 2.** Finite element models for OLT and CT tests

The asphalt concrete materials for both OLT and CT tests were simulated as linear viscoelastic. Cohesive zone fracture elements were utilized for simulation of damage and cracking along the potential crack path. Bilinear model customized for asphalt concrete by Song et al. [6] was utilized for providing the relationship between the normal traction and corresponding displacement jump. The approach undertaken for this paper was to utilize CT test for extracting local material fracture properties, namely, fracture energy and cohesive strength and utilize those properties for simulation of OLT. Due to lack of fracture property measurements at 25°C, which is the test temperature for OLT, the local fracture properties were extrapolated from -12, 0 and +12°C as shown in Figure 3. The cohesive (tensile) strength of the material did not appear to change between -12, 0, and +12°C; this was kept constant at 2.30 MPa for plant mix and 2.60 MPa for lab mix. The fracture property at warmer temperatures is one topic that was identified through this work as a future research area. In this work a linear extrapolation assumption was made as the authors of this work did not feel comfortable with use of higher order function with only three available data points for each mixture. However in

future, better suited extrapolation models are expected to be available. Detailed descriptions of local property extraction procedure are presented elsewhere [7], in summary the procedure involves simulation of fracture test and adjusting local property inputs to the cohesive zone model until the global responses from experiment matches the simulation. For example, Figure 2 shows the experimental measurements of load and crack mouth opening displacements for three replicates as well as the simulated response used to extract local fracture properties.



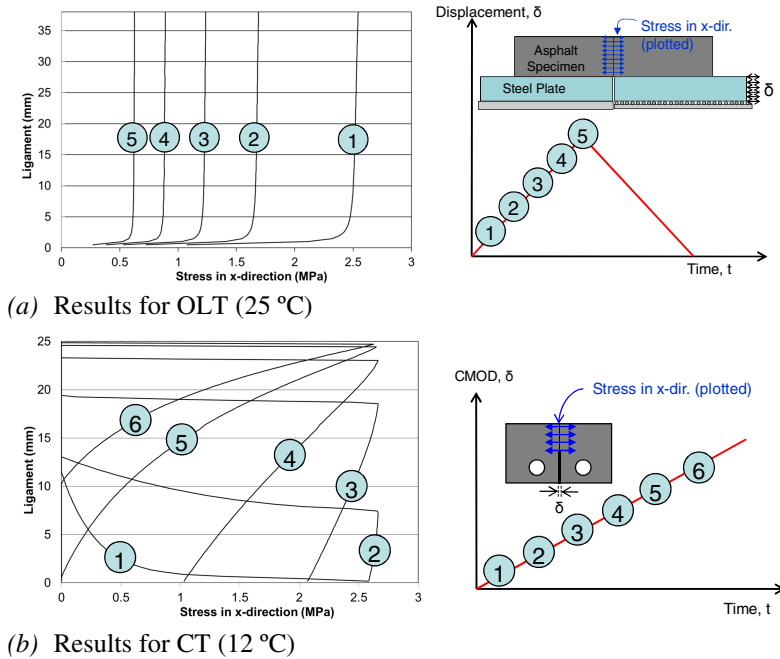
**Fig. 3.** Local fracture energy measurements extracted from CT test at -12, 0, and +12 °C and extrapolations to +25 °C

#### 4.1 Stress Distribution in OLT and CT Tests

The distribution of stresses in a material fracture characterization tests is an important consideration. For example, strength tests require uniform stress distribution along the potential failure plane versus fracture tests require localization of stresses at a discontinuity or pre-crack. This section briefly presents stress distribution in OLT and CT tests along the potential failure plane.

The stress distribution in the loading direction ( $x$ -direction) for OLT and CT is shown in Figure 4. The stresses for OLT are shown for the first displacement cycle. The stress plots are limited to tensile magnitudes. From the stress distribution of OLT it can be seen that significant amount of the potential failure region has uniform tensile stress distribution. Near the very bottom of asphalt concrete the stresses have reduced to close to zero indicating formation of small macro-crack. The uniform tensile stressing of material over the complete thickness often results in difficulty to obtain high repeatability of test results, such as in case of direct tension tests. This is mainly due to heterogeneity of asphalt mixture, which also results in quite non-repeatable aggregate and mastic distribution in the region situated directly above the discontinuity in steel plates. The rigidity of the OLT system in terms of only horizontal movement ( $x$ -direction) of steel plates with constraint in vertical direction ( $y$ -direction) restricts the sample to fail through stress localization and through propagation of crack; it forces material to

have uniform strain thus causing stress states comparable to a direct tension type loading conditions. Furthermore the stress distribution in x-direction for the whole specimen indicated that peak stresses are limited in narrow band along middle 1/4<sup>th</sup> of the specimen. The contour plots that shown this behaviour are not presented in the paper for brevity. In these simulations, the OLT test does not satisfy the requirements of fracture test due to lack of stress localization and corresponding crack propagation. Due to presence of cohesive zone model it can be seen that as displacement increases the amount of stresses decreases due to fracture dissipation (shown by points indicated on plot as 2, 3, 4, and 5).



**Fig. 4.** Stress distribution along potential crack path for OLT and CT tests

The stress distribution for CT test indicates that the stresses localize at the crack tip and thereafter the stress concentration gradually moves with the movement of crack tip. The point 1 shown on the plot is right at the moment when peak stress at crack tip approaches material strength. The point 2 corresponds to moment when the material begins to undergo softening and hence the stress at the actual crack tip begins to drop. This effect continues from point 2 through 5 and in the meanwhile the location of damage threshold gradually moves along the potential crack path. The actual crack tip moves along the potential crack path from point 5 to point 6. At the point 6 about 40% of ligament has fully cracking and remaining portion has undergone softening or damage.

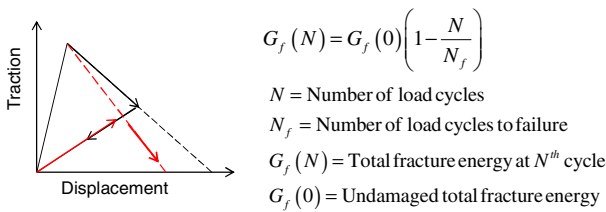
Thus, by comparison of stress distributions in OLT and CT it can be deduced that the OLT procedure simulates the failure of overlay due to repeated uniform



straining whereas the CT test procedure conducts evaluation of overlay to resist the movement of crack through it. Thus, each test serves very different purpose and also the corresponding outcomes from the tests could potentially be very different. Also, we get some insight into lower repeatability of OLT test procedure which is hypothesized due to very uniform stress distribution along potential failure plane.

## 4.2 Cohesive Zone Fatigue Model

The OLT simulates repetitive straining of asphalt material. By using CT and OLT test data a phenomenological cohesive zone fatigue model was developed and implemented. The traditional cohesive fracture model does not account for degradation of material capacity due to repeated loading. Thus, the traditional cohesive zone approach was extended to account for reduction in material capacity with increasing load repetitions. A common approach utilized in modelling of fatigue damage in asphalt concrete is through stiffness degradation, whereby, with each repetition of load the stiffness of material is reduced to account for damage.

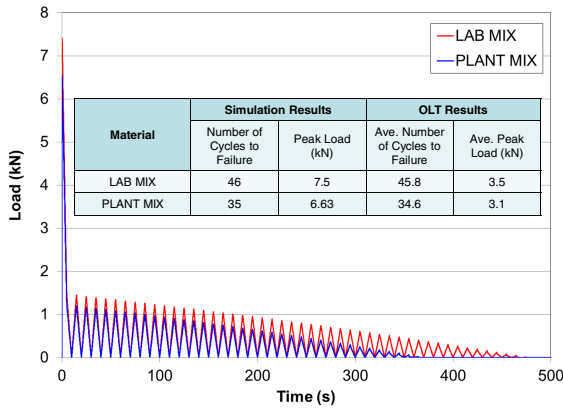


**Fig. 5.** Cohesive zone fatigue model (graphical representation and functional form)

This approach presents significant difficulty from perspective of pavement modelling due to non-discrete representation of crack. In the present approach the fatigue damage is accounted through dissipation of fracture energy in each load cycle. The dissipation only occurs after the material has started to undergo softening. In terms of the local cohesive zone law, Figure 5 and corresponding equation describes the model. As evident from the equation describing the model, in the present form the model assumes a constant dissipation of fracture energy in each load repetition. Furthermore, the reloading stiffness is also assumed to be same as unloading stiffness. Both of these assumptions are needed to be validated and/or modified through future research efforts. In its present form the model requires only one additional model input as compared to traditional cohesive zone approach, that is the number of cycles to failure ( $N_f$ ).

Using the aforementioned model and results from CT tests, the OLT was simulated for both mixes previously described in this paper. The results from OLT were used as calibration data set to determine the  $N_f$  parameter for the model. The simulation results for both mixes in terms of the load histories as function of time are presented in Figure 6. It can be seen from the plot that with this model a

significant amount of energy dissipation is observed in the first loading cycle as evident by the significant drop in the load. The table in Figure 8 shows the simulation results as well as laboratory test results. Comparisons shows that with the cohesive zone fatigue model it was possible to match the lab measured OLT results in terms of number of cycles to failure; however the peak loads did not match well. The lack of match between peak load from model and testing can be attributed to assumption that the constant cohesive strength for -12, 0 and +12 °C is also applicable to 25 °C.



**Fig. 6.** OLT simulation results using cohesive zone fatigue model. Insert: simulation and laboratory measure results

### 5 Summary, Conclusions and Future Extensions

The laboratory evaluation and computer simulations provided great insight into the mechanisms of material failure for the OLT and CT tests. The laboratory tests showed relatively high variability amongst test replicates for OLT and relatively lower variability for CT tests at multiple temperatures. The computer simulations showed that OLT test imposes very uniform tensile stresses near the center of the specimen. The uniform stress distribution in tensile mode in heterogeneous materials such as asphalt concrete often causes high test variability; the high variability on OLT is anticipated from this effect. The reflective cracking resistance of material in OLT is measured by its endurance to carry load in horizontal direction under repeated displacement cycling. Thus, a material that has high strain tolerance is expected to yield superior performance in the OLT. On the other hand, a material that has high strength characteristics and moderate to low strain tolerance will give inferior results. From the perspective of fracture, both strength and strain tolerance are necessary features as strength determines the necessary stress conditions for onset of damage, while strain-tolerance controls the propagation characteristics of crack. Use of fracture test, such as CT, allows measurement of both of these quantities and also makes it possible to extract local

material properties which in-turn can be used for modelling purposes. Thus OLT allows for quantifying asphalt concrete's reflective cracking resistance by simulative means and in-turn can have higher test variability. The CT test determines material's cracking resistance from fracture perspective and has relatively lower test variability. On the other hand, CT test is limited to monotonic conditions, whereas OLT allows for accounting of repeated loading behaviour. In this limited study two mixes were evaluated, more testing efforts are needed to expand upon the findings from this study.

Combination the fatigue damage characteristics from OLT with the fundamental fracture properties from CT test in form of a phenomenological cohesive zone fatigue model was explored in this paper. The preliminary results show promising outcome. Significant future research efforts are needed to further qualify the proposed model and build additional material effects, such as effect of strain/stress amplitudes, temperature, strength degradation and effect of rest periods.

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# Crack Fundamental Element (CFE) for Multi-scale Crack Classification

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**Abstract.** With the advance of sensor and information technology, high-resolution 2D image and 3D range data are available to support crack classification. However, crack classification still remains a challenge because state Departments of Transportation (DOTs) engineers often use multi-scale crack characteristics (e.g. crack width/length, intersection, pattern, etc) to classify the crack types, and these characteristics are not fully modelled for a reliable crack classification. Based on the new 3D range data, this paper proposes a Crack Fundamental Element (CFE) to characterize cracks at different scales. After an analysis of the fundamental and multi-scale crack characteristics, CFE is proposed for the fundamental line segment approximation of the crack characteristics on multi-scale grid cell analysis, and it is characterized by its density, relative area, bounding box, length, width, center, and orientation. Based on the low-level CFEs, a topological crack graphical representation is, for the first time, built by extending the CFEs into significant crack curves, intersecting crack curves, and approximating polygons of closed crack pieces/spalls at multiple scales. The crack can then be classified using the characteristics of CFEs and their density measures on multi-scale levels. An experimental test using actual 3D data taken in Savannah, Georgia, demonstrates the feasibility of the proposed CFE for multi-scale crack classification. Future research is also discussed.

## 1 Introduction

Cracks in pavement come from constant overloading, asphalt aging, environmental impacts, improper structural design, etc. Progressive pavement cracking can weaken pavement because it allows water to penetrate and exposes the base to aging. Cracking can then cause accelerated deterioration of pavements. The proper treatment of pavement cracks in an identified crack segment at the right moment is important for cost-effective pavement maintenance. Many transportation agencies, including the Georgia Department of Transportation (GDOT), the Texas Department of Transportation (TxDOT), etc., have invested major resources in their pavement condition survey and evaluation procedures to enhance their

decision-making capabilities for determining the best pavement treatments. Traditionally, the collection of pavement crack data is done by visual and manual inspection and analysis. It is dangerous, subjective, costly, time-consuming, and labor-intensive. 2D video log pavement images have been used for automatic pavement distress evaluation, which includes crack segmentation and classification. Crack segmentation is the preliminary process of differentiating the pavement image pixels containing cracks from pixels without cracks and then connecting the crack pixels together. Crack classification evaluates the type, extent, and severity level of pavement cracks, or deducts value of pavement performance for Pavement Management System (PMS) according to crack identification protocols of different state DOTs.

Automatic crack classification in the literature can be divided into two categories: index-based and intelligence-based crack classification. The index-based crack classification focuses on the extraction and utilization of indicators to classify pavement cracks. The method to extract the indicators could be wavelet, statistical analysis, or other image processing methods. Zhou et al. [13] and Nejad and Zakeri [10-11] utilized wavelet transform to extract the indicators for crack classification. Cheng, et al. [4] and Cheng and Miyojim [3] classified the cracks based on the statistical features of graphic properties, such as orientations. For the index-based classification methods, it is difficult to interpret the indicators into crack types. The intelligence-based crack classification, in contrast, learns decision rules from the training samples, which mimics the engineering practices and is more accurate and robust than simple thresholding on the crack indices/indicators. One of the most representative neural network solutions is provided by Lee, H., et al. [7-8]. Three different image-based, histogram-based, and proximity-based neural networks were designed to classify cracks into longitudinal, transverse, block, and alligator cracking with an accurate classification rate of over 90%. The issue with the intelligence-based crack classification is the lack of direct physical meaning of the decision making that can guide the uniform pavement treatment. Numerous large-scale studies [1-2, 5, 12] have shown that current automatic crack classification and quantification results usually have poor correlation with manual survey results. The main challenges of transiting automatic classification results to current survey manuals lie in

- 1) Different protocols of crack classification  
Outputs of automatic crack classification algorithms are limited to several typical crack types: longitudinal, transverse, block, and alligator, etc. However, the identification of crack type and severity levels in transportation agencies is far more complicated and diverse. None of the existing studies is able to accommodate directly the current Long Term Pavement Protocol (LTPP) or state DOTs' manuals, nor can they be directly implemented by transportation agencies.
- 2) Different characteristics of crack classification  
Pavement survey manuals of transportation agencies usually have a detailed identification of crack types and severity levels by many crack characteristics, such as crack location, width, and more advanced crack

patterns (e.g., predominant curves, polygonal crack pieces/spalls, crack networks, etc). However, it is difficult to comprehensively extract equivalent crack characteristics from the 2D images to achieve the same accuracy with engineers' field judgments due to lighting non-uniformity, depth absence, and resolution of data acquisition [9].

3) Different scales of crack classification

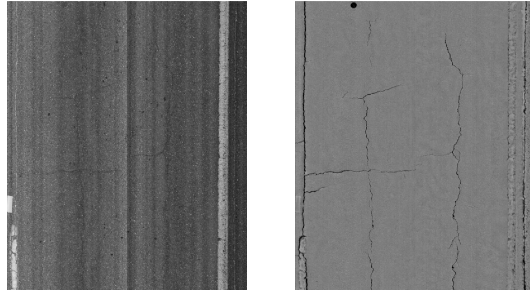
Pavement cracks are evaluated at different scales in different protocols for the segment, project, and network level survey. Crack characteristics vary at different scales. A dominant crack curve at the segment level could be ignored at the project and network level. While engineers' judgments are adaptable to this multi-scale characterization of cracks, this also challenges the adaptive characterization of cracks under a unified framework based on the fixed-rate video logging of 2D pavement images.

With the advances in sensing and information technology, especially the Global Positioning System (GPS) devices and 3D laser techniques, high-resolution 2D image and 3D range data are now available to support automatic pavement crack detection and classification. Based on the new 3D range data, this paper proposes a Crack Fundamental Element (CFE) to characterize cracks at different scales in support of multi-scale crack classification. After an analysis of the fundamental and multi-scale crack characteristics, CFE is proposed for the fundamental line segment approximation of the crack characteristics on multi-scale grid cell analysis of a pavement image, and it is characterized by its density, relative area, bounding box, length, width, center, and orientation. A topological graphical representation of the crack pattern is built by extending the CFEs into significant crack curves, intersecting crack curves, and approximating polygons of closed crack pieces/spall on multiple scales. The crack can then be classified using the characteristics of CFEs and their density measures on multi-scale levels. An experimental test using the actual 3D data taken in Savannah, Georgia, will be used to demonstrate the feasibility of the proposed CFE for multi-scale crack classification. To the best of the author's knowledge, the proposed CFE is the first to accommodate different protocols of crack classification in state DOTs due to its multi-scale modeling of the physical and fundamental characterization of cracks by CFEs.

## 2 Crack Fundamental Elements

In this section, crack fundamental elements are presented to characterize the cracks in the identification of pavement cracks among different state DOT practices. As shown in Fig. 1, the comprehensive and accurate characteristics of a pavement crack are now available in both 2D and 3D data. In the left-hand photo, the 2D intensity data enable us to find the pavement marking and locate the relative position of cracking occurrence. In the right-hand photo of Fig. 1, the 3D range data provide a stronger potential to characterize crack details. The new 3D

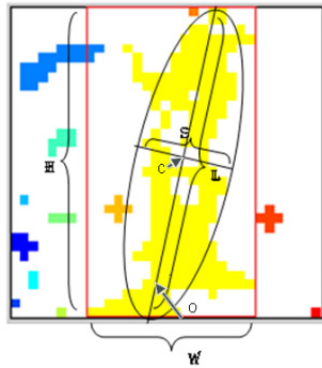
data can provide a transverse resolution of one millimeter besides the depth measured by the triangulation principle. Crack location, depth, and width at one point in the 3D data are, hereafter, called the fundamental crack characteristics for crack classification. Crack pattern, together with these crack characteristics, are crucial for differentiating crack types and severity levels in transportation agencies' pavement survey practice. However, the complexity of crack pattern makes it difficult to describe and measure accurately and automatically.



**Fig. 1.** Combined 2D and 3D crack data by 3D laser (left: 2D intensity data; right: 3D range data)

With the advance of high resolution 2D and 3D pavement data, obviously, the next step is to provide a fundamental analysis of multi-scale crack characteristics, in a way that more advanced measures for crack classification could be extracted. Crack Fundamental Element (CFE) is proposed to topologically represent crack characteristics, including crack pattern, from multiple scales. Crack Fundamental Element (CFE) is a group of crack segments, which are clustered together due to their similar or relevant graphic properties or crack characteristics regarding crack type and severity level classification, together with their bounding box. Crack Fundamental Element is the basic component of the proposed model, and the crack pattern is characterized based on the evolvement and analysis of CFEs at different levels.

The primary cues for pavement crack classification are that 1) crack pixels can usually be distinguished from their surroundings by their elevation change in the 3D range data or intensity change in the 2D pavement image; 2) crack pixels are geometrically connected in the pattern of a thin strip to formulate the crack curves; 3) crack pixels look more like linear segments in a small window than non-crack pixels. Instead of looking at cracks in the 3D range data pixel by pixel, it is simple and intuitive to take the small linear segments in windows of multiple scales as the fundamental elements for multi-scale crack classification. To classify cracks, the exact segmentation of each crack pixel is unnecessary. The simple but effective characterization of a crack curve is the approximation of the curve by many line segments. Each of these small straight crack segments, with uniform crack width, is considered as an initial CFE. Fig. 2 shows an illustration of initial CFE results.



**Fig. 2.** Results of initial Crack Fundamental Element [6]

When it comes to the higher scales, the CFEs are no more single crack line segments, but a group of crack curves. These crack curves usually share the similar or relevant graphic properties and crack characteristics, such as adjacent locations or similar orientations. CFEs at higher scales are clustered from the lower scale CFEs. A bounding box is introduced to combine these crack curves together and provide uniform graphic properties of this CFE. In this study, ellipses are used as the shape of bounding boxes for CFEs to fit the group of crack curves.

Besides CFE’s density (D), relative area (A), bounding box (W, H), and line similarity that were developed in [6] for crack segmentation, four more indicators, length (L), width (S), center (C), and orientation (O), which are shown in Fig. 2, are proposed to characterize the graphic properties of the crack fundamental element at different scales to support crack classification.

*(1) Length*

Length (L) is defined as the length of the major axis of the ellipse that has the same normalized second central moments as the region of CFE-like pixels in the grid cell:

$$L = 2 * \sqrt{2 * ((\mu_{xx} + \mu_{yy}) + \Delta)} \tag{1}$$

where

$\mu_{xx} = \frac{\sum_{i=1}^N x_i^2}{N}$ ,  $\mu_{yy} = \frac{\sum_{i=1}^N y_i^2}{N}$ ,  $\mu_{xy} = \frac{\sum_{i=1}^N x_i y_i}{N}$ , and  $\Delta = \sqrt{(\mu_{xx} - \mu_{yy})^2 + 4 * \mu_{xy}^2}$  are the second moments of the region.

*(2) Width*

Width (S) is defined as the length of the minor axis of the ellipse that has the same normalized second central moments as the region of CFE-like pixels in the grid cell:

$$L = 2 * \sqrt{2 * ((\mu_{xx} + \mu_{yy}) - \Delta)} \tag{2}$$



(3) *Center*

Center (C) is defined as the centroid of CFE-like pixels in the grid cell:

$$C = (\mu_x, \mu_y) = \left( \frac{\sum_{i=1}^N x_i}{N}, \frac{\sum_{i=1}^N y_i}{N} \right) \quad (3)$$

(4) *Orientation*

Orientation (O) is a measure of the CFE direction relative to the horizontal axis of a grid cell:

$$O = \left\{ \begin{array}{l} \frac{180}{\pi} * \tan^{-1} \left( \frac{\mu_{xx} + \mu_{yy} + \Delta}{2\mu_{xy}} \right), \mu_{xx} < \mu_{yy} \\ \frac{180}{\pi} * \tan^{-1} \left( \frac{2\mu_{xy}}{\mu_{xx} + \mu_{yy} + \Delta} \right), \mu_{xx} \geq \mu_{yy} \end{array} \right\} \quad (4)$$

The main purpose of calculating CFE graphical properties is that the graphical properties at lower scales will be used to further connect and cluster CFEs into higher scales through crack clustering. It can be seen that all the above indicators are based on one specific CFE and can be extended to a larger scale. Also, CFE represents more significant crack pattern if it runs on the larger scale. This kind of multi-scale characterization of CFE is exactly the property that is needed for multi-scale crack classification. To find the CFE on a larger scale, we can extend CFEs in the four or eight adjacent smaller CFEs by recalculating the length, width, center, and orientation from those of smaller CFEs. The criteria of extension depend on the distance of the center and the deviation of the orientation of two adjacent CFEs. If the distance of two CFEs is greater than a threshold, or if two CFEs deviate from each other greatly, no extension is needed, since larger distance or deviation means the discontinuity of two adjacent CFEs or the appearance of a new separate CFE. Obviously, more advanced distance measures of the four indicators of CFEs can be employed with little extra computation cost.

### 3 Experimental Results

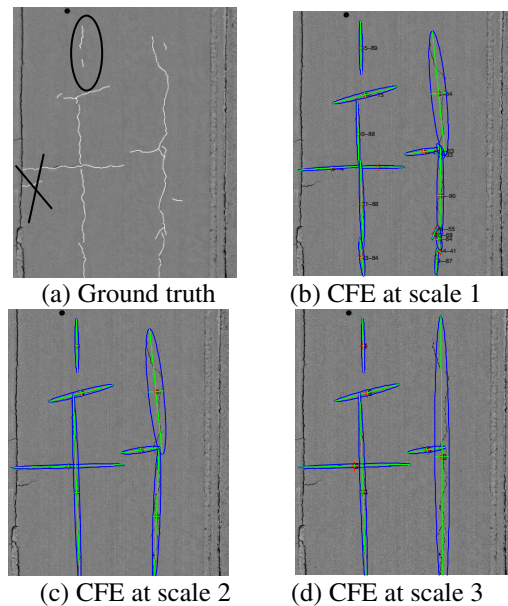
In this section, the proposed CFE-based graphical representation for multi-scale crack classification is tested using the actual pavement data collected on the State Route 275 (SR-275) in Georgia.

#### 1) *Ground truth*

The actual pavement data that were collected from SR-275 in Georgia have the resolution of 4 mm at driving direction and 1 mm at transverse direction. GDOT (the Georgia Department of Transportation) pavement engineers helped to establish the ground truth. Fig. 3 (a), as an example, shows the ground truth crack map of the range data in Fig. 1 (b).

## 2) CFE-based graphical representation (CFE clustering)

Fig. 3 (b) ~ (d) are the multi-scale analysis of cracks in Fig. 3 (a) using CFE-based multi-scale analysis, where Fig. 3 (b) is the lowest scale and Fig. 3 (d) is the highest scale analysis. The lowest scale CFE analysis starts with grouping of continuous crack pixels of crack width variation less than 2 mm for two adjacent pixels on multiple grid cell analysis [6]. The ellipses in Fig. 3 (b) are the CFEs; the red points are the centers and the two green lines inside each ellipse are the length and width of the CFE. The index and orientation of each CFE are marked in a string of the format of “index -- orientation” beside its center.



**Fig. 3.** Results of CFE-based crack graphical representation for crack classification

Compared to the ground truth in Fig. 3 (a), we can see that most of the cracks are well reflected in the lowest CFE analysis. The two crack segments within the ellipse of location 1 in Fig. 3 (a) are connected due to their neighboring relationship and similar cracking direction (less than 15 degree of deviation in terms of their orientations), while most small, isolated crack segments are ignored if they are not too wide and deviate a lot horizontally or vertically. The small segment of location 2 in Fig. 3 (a) locates on the road edge, which is not considered in our analysis for simplicity.

Based on the lowest scale CFE analysis, we further perform the extending, intersecting, and/or polygon-approximating operation of CFEs on two higher scales in Fig. 3 (c) and (d). It can be seen that less significant CFEs are merged or abandoned at a higher scale CFE analysis. There are a total of 17, 7, and 6 CFEs,

respectively, from CFEs at the first scale to the third scale. The multi-scale CFE analysis stops if no operation is necessary in terms of the overlapping, deviation, and/or width of CFEs.

### 3) *Results of CFE-based graphical representation for multi-scale crack classification*

Table 1 lists the result of CFE-based graphical representation of the highest scale in Fig. 3 (d), where the unit of the position coordinates and length/width is millimeter, and the unit of orientation is degree relative to the horizontal axis from left to right. There are 6 dominant crack curves and 3 intersections of transverse and longitudinal curves. No crack pieces/spalls are detected in Fig. 3 (a). It can be seen that the significant crack characteristics is well extracted to classify cracks according to different protocols. Further, the applications of the data at a lower scale (e.g scale 1 or 2) of CFE analysis can also be explored in the future, such as automated crack sealing.

**Table 1.** Result of the highest scale CFE analysis for crack classification

CFE #	Center (mm)	Length (mm)	Width (mm)	Orientation (°)
1	(1285, 696)	1016	65	89
2	(1366, 1585)	1261	105	15
3	(1123, 2957)	2103	70	2
4	(1281, 3447)	3712	102	88
5	(2485, 2654)	729	100	8
6	(2772, 2790)	5293	277	90

## 4 Conclusions and Recommendations

With the advance of sensor and information technology, high-resolution 2D image and 3D range data are available to support crack classification. However, crack classification still remains a challenge because state Departments of Transportation (DOTs) engineers often use multi-scale crack characteristics (e.g. crack width/length, intersection, and pattern) to classify the crack types, and these characteristics are not modelled adequately for a reliable crack classification. Therefore, there is a need to consistently classify pavement cracks from the physical and fundamental perspectives according to different state DOTs' protocols by taking advantage of the new 3D data.

Based on the new 3D range data, this paper proposes a Crack Fundamental Element (CFE) to characterize cracks at different scales in support of multi-scale crack classification. Crack Fundamental Element (CFE) is a group of crack segments, which are clustered together based on to their similar or relevant graphic properties or crack characteristics regarding crack type and severity level classification, together with their bounding box. The graphic properties of CFEs are characterized by their density, relative area, bounding box, length, width,

center, and orientation. The fundamental straight line segment approximation is defined as the initial level of CFE. Based on the low-scale CFEs, a topological CFE clustering is conducted to build the high-scale CFEs. The crack can then be classified using the characteristics of CFEs and their measures at multi-scale levels. An experimental test using the actual 3D data taken in Savannah, Georgia, demonstrates the concept of the proposed CFE for multi-scale crack classification, with the focus on CFE clustering. To the best of the author's knowledge, the proposed CFE is the first to accommodate different protocols of crack classification in state DOTs due to its multi-scale modeling of the physical and fundamental characterization of cracks by CFEs.

Although the proposed CFE concept demonstrates its capability for multi-scale crack classification, we recommend the following:

- 1) This paper presents the concept of Crack Fundamental Element (CFE), with the focus on CFE graphic properties and clustering process at different scales. A complete CFE-based multi-scale crack analysis model, including detailed crack characteristics at different CFE scales along with the topological crack representation, needs to be developed.
- 2) More comprehensive tests with the proposed CFE be conducted for different types, severity levels, and extent of cracks on the project- and network- level;
- 3) More sophisticated algorithms be developed for the extending, intersecting, and approximating operations in the CFE;
- 4) An innovative and consistent decision tree for crack treatment be devised based on the results of the topological crack graphical representation of CFEs.

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# Cracking Models for Use in Pavement Maintenance Management

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**Abstract.** With the recent approval of the Portuguese Law No. 110/2009 of 18 May, within the scope of road concession contracts, the concessionaires need to submit to the Portuguese Road Infrastructures Institute a Quality Control Plan (QCP) and a Maintenance and Operation Manual (MOM). These documents require the revision of current Pavement Management Systems to consider pavement performance prediction models for each pavement state parameter so that it permits time definition of maintenance and rehabilitation (M&R) interventions for the fulfilment of the values defined in the QCP in each year of the concession period. The QCP presents the admissible values for each pavement state parameter (cracking, rutting, roughness, etc.) that a concessionaire of highways needs to verify.

Nevertheless, a concessionaire, beyond the annual pavement inspections to demonstrate the fulfilment of the QCP, wants to predict the proper time to apply M&R preventive interventions at a minimum cost for the complete concession period.

This paper describes the state-of-the-art in terms of cracking models. The selected models evaluate the cracking area evolution for a set of representative Portuguese pavements structures and traffic conditions. The Indian and HDM-4 deterioration models were considered to be the most promising to implement in a new Portuguese Maintenance Optimisation System, i.e. to provide a good solution to the pavement maintenance management problem involving not only periodic maintenance but also routine maintenance (crack sealing, rut levelling, patching, etc.).

## 1 Introduction

A Pavement Management System (PMS) can be defined as a set of tools which helps a road network administration to optimize maintenance and rehabilitation (M&R) actions for keeping the pavements in good service condition. One of the modules of a PMS is the Pavement Performance Model (PPM), which is a mathematical representation that can be used to predict the future state of pavements, based on current state, deterioration factors and effects resulting from M&R actions [1]. Currently the PMS of Estradas de Portugal S.A., the Portuguese

Road Administration, uses for PPM the AASHTO pavement performance model that computes a global pavement condition index, the present serviceability index (PSI), based on several factors like the traffic, the material properties and the drainage and environmental conditions [2]. The extent and severity of distresses at the time that the pavement condition index reaches the warning level restricts the implementation of more cost-effective techniques [3]. In 2007 and 2009 the Portuguese Government published legislation [4, 5] established EP – Estradas de Portugal, S.A., as the global road network concessionaire and the basis of the concession contract. Within this contract it was established that concessionaires have to submit to the Portuguese Road Infrastructures Institute (InIR), the supervisor institution, on a regular basis, a Quality Control Plan (QCP) and a Maintenance and operation Manual (MOM). The QCP defines the limits of pavement condition parameters (rutting, cracking, roughness, etc.) than can be found at any time of the concession period. Therefore, these two documents, specially the first one, require knowing the pavement condition ahead, not only the general pavement service condition, but quantifying the extent and the magnitude of pavement distresses and the actions to be implemented in every situation. When a concessionaire does not fulfil the QCP, InIR can apply a contractual infraction, in which the global sum varies, according to its gravity, between €5,000 and €100,000, or daily values that can vary between €500 and €5,000 [4]. A concessionaire, beyond the annual pavement inspections to demonstrate to the InIR and the concessor (the Portuguese State or represented by the EP - Estradas de Portugal, S.A.) the fulfilment of the QCP, wants to predict the year when their pavements do not fulfil the admissible values for some state parameter. A concessionaire knowing this information can apply M&R preventive interventions at a minimum cost in order to effectively fulfil the QCP in all the remaining years of the concession period. This paper describes the state-of-the-art in terms of cracking models, and evaluates the performance of the selected models considering the cracking area evolution for a set of representative Portuguese pavements structures and traffic conditions.

## **2 Cracking Prediction Models**

In this study, the methodology used was to analyse several pavement cracking deterioration models available in the literature with the objective of its integration in the Portuguese Pavement Management Systems. The models selected are the following ones: the Brazilian model; the PAVENET-R model; the HDM-4 model; the Ker Lee Wu (KLW) model; the Indian model; and the Austroads model.

### **2.1 Brazilian Model (1994)**

This model was developed by Visser, Queiroz and Caroca [6] based on a long-term pavement monitoring program carried out in Brazil between 1975 and 1985. The road sections were unbound granular base flexible pavements in areas with tropical to subtropical climate with an average annual precipitation between 1200

and 1700 mm/year. Cracked area over time is predicted with Eqn. (1), developed with multiple regression and probabilistic time failure analysis, which depends on traffic volume, the pavement bearing capacity (load deflection) and age. For existing pavements with asphalt surfacing the model only applies to cracking progression prediction, while for asphalt overlays and slurry seals the model comprises two-phases, initiation time and progression prediction. Two-phase models give an extra opportunity to calibrate the model for cracking prediction over time. This model considers the Benkelman beam to measure pavement deflections, but this equipment is not used in Europe any more. However, some regressions relating the modified structural number with the Benkelman beam maximum deflection have been proposed by several authors such as Eqn. (2) by Paterson [7, 8]. The modified structural number is the evolution of the AASHTO structural number by considering the subgrade contribution.

$$C_t = (B \times 10^{-2}) \times \log(N80c_t) \times (0.0456 + 0.00501 \times Y_t) - 18.53 - C_0 \quad (1)$$

$$SNC = 3.2 \times B^{-0.63} \quad (2)$$

Where  $C_t$  is the pavement cracked area (class 2 cracking or worse, i.e. crack width larger than 1 mm) in year  $t$  ( $m^2/100m^2$ );  $Y_t$  the age of pavement since original construction or since subsequent AC overlay (years);  $N80c_t$  is the cumulative 80 kN equivalent single axle load (ESAL) at age  $t$  (ESAL/lane);  $B$  is the Benkelman beam maximum deflection for the existing pavement (mm);  $C_0$  is the cracking offset term calculated to ensure that predicted cracking conforms with the initial value at the start of analysis;  $SNC$  is the modified structural number.

## 2.2 PAVENET-R Model (1996)

The cracking prediction model defined by Eqn. (3) is used in the computer model PAVENET-R [9] aiming at the optimization of the maintenance-rehabilitation problem at the network level. The cracked area over time is predicted based on traffic and the pavement AASHTO structural number calculated using Eqn. (4). As for the previous model it is an only one phase model (just dealing with progression) and it does not include a variable that accounts for the existing cracked area at the beginning of the analysis.

$$C_t = 617.14 \times N80c_t \times SN^{-SN} \quad (3)$$

$$SN = \sum_{n=1}^N H_n \times C_n^e \times C_n^d \quad (4)$$

Where  $C_t$  is the total cracked area in year  $t$  ( $m^2/100m^2$ );  $N80c_t$  is the cumulative equivalent standard axle load (ESAL) at age  $t$  (million ESAL/lane);  $SN$  is a structural number;  $C_n^e$  is the structural coefficient of layer  $n$ ;  $C_n^d$  is the drainage coefficient of layer  $n$ ; and  $H_n$  is the thickness of layer  $n$  (mm).



### 2.3 INDIAN Model (1994)

The Indian model was derived from a pavement performance study carried out during the 90's with extensive monitorisation of pavement sections (145) along national and state highways in four Indian states [10]. The cracking prediction model is a two-phase model, considering the time to cracking initiation calculated using Eqn. (5), and the cracking progression calculated using Eqn. (6). As for the previous models, just two variables were included (traffic and the pavement structural number). The model is applicable to pavements with asphalt surfacing (excluding surface dressing and slurry seal). The climate where the pavement data was gathered varies from arid to humid subtropical, being far from the Portuguese Mediterranean climate.

$$T_{ci} = 4.00 \times e^{-1.09 \times \frac{n \times N80c_i}{SNC^2}} \quad (5)$$

$$C_t = C_i + 4.26 \times \left( \frac{n \times (N80c_t - N80c_{ti})}{SNC} \right)^{0.65} \times SC_i^{0.32} \times (t - t_i) \quad (6)$$

Where  $T_{ci}$  is the time to structural cracking initiation (years) -  $C_t = 2.0\%$ ;  $C_t$  is the total cracked pavement area in year  $t$  ( $m^2/100m^2$ );  $N80c_t$  is the cumulative 80 kN equivalent single axle load (ESAL) at age  $t$  (million ESAL/lane);  $N80c_{Tci}$  is the cumulative 80 kN equivalent single axle load (ESAL) at age of cracking initiation (million ESAL/lane);  $SNC$  is the modified structural number for the pavement;  $n$  is the number of lanes in the road section;  $C_i$  is the total cracked pavement area at the beginning of the analysis period ( $m^2/100m^2$ );  $t_i$  is the time at the beginning of the analysis period (years);  $SC_i$  is the minimum of  $\{C_i; 100 - C_i\}$  ( $m^2/100m^2$ ).

### 2.4 HDM-4 Model (2000)

The Highway Development and Management (HDM-4) system uses a cracking pavement performance model applied in two phases [6, 10-14]: the time to structural crack initiation and the structural crack progression. The HDM-4 is the successor of the World Bank Highway Design and Maintenance Standards Model HDM-III, which has been used by various road agencies all over the world for the last 20 years. Eqn. (7) is used to calculate the time to structural cracking initiation (years). Eqn. (8), (9), (10) and (11) are used to calculate the percentage of cracking over time (class 2 or worse), designed as the "all cracking" model. This formulation is valid for flexible pavements with asphalt or surface treatment as surface course and granular or asphalt base course.

$$T_{ci} = CDS^2 \times 4.21 \cdot e^{0.14 \times SNC - 17.1 \cdot N80c_8 / (8 \times SNC^2)} + CRT \quad (7)$$

$$C_t = C_i + dC \quad (8)$$

$$Y = 0.828 \times z \times (t - t_i) + [\min \{\max(C_i; 0.5); 100 - \max(C_i; 0.5)\}]^{0.45} \quad (9)$$

$$dC = \frac{CRP}{CDS} \times z \times \left( Y^{0.45} - \min \{\max(C_i; 0.5); 100 - \max(C_i; 0.5)\} \right) \quad \text{if } Y \geq 0 \quad (10)$$

$$dC = \frac{CRP}{CDS} \times (100 - C_i) \quad \text{if } Y < 0 \quad (11)$$

Where  $T_{ci}$  is the time to structural cracking initiation (years) -  $C_i = 0.5\%$ ;  $C_i$  is the total cracked pavement area in year  $t$  ( $m^2/100m^2$ );  $C_i$  is the total cracked pavement area at the beginning of the analysis period ( $m^2/100m^2$ );  $N80c_t$  is the cumulative 80 kN equivalent single axle load (ESAL) at age  $t$  (million ESAL/lane);  $SNC$  is the modified structural number for the pavement;  $Y$  is an auxiliary variable;  $CDS$  is the construction defects indicator in asphalt layers (0.5 to 1.5 according to real binder content – dry, normal and rich);  $CRT$  is the crack retardation time due to maintenance (years) (default value 0);  $CRP$  is the crack propagation indicator (1 -  $0.12 \times CRT$ );  $z$  is an auxiliary variable (+1 if  $C_i \leq 50$  and -1 otherwise).

The AASHTO structural number (SN), calculated using Eqn. (4), does not include the subgrade contribution as it is considered in the pavement design procedure through the resilient modulus. In opposition, HDM models (version III and 4) consider a different version of the structural number, the modified structural number (SNC), calculated using Eqn. (12), which takes into account the subgrade strength which is calculated using Eqn. (13) [7].

$$SNC = 0.0396 \sum_{n=1}^N (H_n / 25.4) \times C_n^e \times C_n^d + SNSG \quad (12)$$

$$SNSG = 3.51 \times \log(CBR) - 0.85 \times [\log(CBR)]^2 - 1.43 \quad \text{if } CBR \geq 3 \quad (13)$$

Where  $SNC$  is the modified structural number;  $C_n^e$  is the structural coefficient of layer  $n$ ;  $C_n^d$  is the drainage coefficient of layer  $n$ ; and  $H_n$  is the thickness of layer  $n$  (mm).

Thermal cracking is not considered to be an important source of cracking in Portuguese road pavements due to small number of days with subfreezing temperatures (Mediterranean climate). In a different position, reflexion cracking, which is the progression of cracks upwards to the surface from previously cracked asphalt pavements or cement stabilized materials, is important in every cracking deterioration model. It allows prediction of this pavement distress evolution after M&R actions have been taken, considering the common large concession periods. The HDM-4 model for reflexion cracking prediction, Eqn. (14) and (15), is based on Gulden and Malaysian studies, considering a two-phase model as for the other cracking models.

$$T_{ri} = \frac{685}{ADH} \times B^{-0.5} \times \left( 1 - \frac{\min\{H_{OV}; 199\}}{200} \right)^{-2} \quad (14)$$

$$Cr_t = \min \left\{ Cr_t + 0.0182 \times ADH \times B^{0.5} \times \left( \max \left\{ 0; 1 - \frac{H_{OV}}{200} \right\} \right)^2 \times (t - tr_i); C_{OV} \right\} \quad (15)$$

Where  $Tr_i$  is the time to reflexion cracking initiation (years);  $H_{OV}$  is the thickness of the new asphalt concrete layer (mm) (< 200 mm);  $Cr_t$  is the total cracked pavement area in year  $t$ , limited to the amount of cracked area before overlay  $C_{OV}$  ( $m^2/100m^2$ );  $ADH$  is the average daily heavy traffic;  $tr_i$  is the time at the beginning of the analysis period;  $B$  is the average Benkelman beam deflection on both wheel-paths (mm).

## 2.5 Ker Lee Wu (KLW) Model (2008)

Ker, Lee and Wu [15] developed a fatigue cracking prediction model (Eqn. (16)) using LTPP data, for the pavements with asphalt surface course on granular or bound base. The model determines cracking area over time based on traffic, pavement age, climate (precipitation, air temperature and freeze-thaw cycles) and load pavement response (tensile strain). The model was developed to improve pavement design but it can be applied as a PPM.

$$C_t = \exp \left( \begin{array}{l} -18.08 + 0.943 \times \sqrt{Y_t} + 0.832 \times \log(1000 \times N80_t) \\ + 0.121 \times \sqrt{precip} + 0.869 \times \sqrt{temp} \\ + 31.489 \times (\varepsilon_t \times 1000)^2 + 3.242 \times \log(ft) \end{array} \right) \quad (16)$$

Where  $C_t$  is the total cracked pavement area in year  $t$  ( $m^2/100m^2$ );  $N80_t$  is the number of 80 kN equivalent single axle load (ESAL) applications in year  $t$  (million ESAL/lane);  $precip$  is the average annual precipitation (mm);  $temp$  is the mean annual temperature ( $^{\circ}C$ );  $\varepsilon_t$  is the tensile strain at the bottom of the AC layer;  $ft$  is the yearly freeze-thaw cycles;  $Y_t$  is the time since the pavement's construction or its last rehabilitation (years).

## 2.6 Austroads Model (2010)

Austrroads has recently developed road deterioration models for the roughness, rutting and cracking prediction of sealed granular pavements, which represents 85% of sealed pavements in Australia [16]. Cracking deterioration models were determined based on data collected with the RTA/NSW Road Crack equipment on arterial roads in South Australia between 1999 and 2004. As most of collected cracking data (1384 of 1675 samples) was on asphalt pavements, a cracking model was determined for this pavement type (Eqn. (17) and (18)). The two-phase model (cracking initiation and progression) was initially developed for sealed granular pavements and then adapted to asphalt pavements. Therefore, initiation of cracking is estimated using the same Eqn. to sealed granular pavements (seal life) that depends on climate (air temperatures), bitumen (ARBB test result) and

maximum aggregate dimension. Cracked area over time is predicted based on pavement's age, time since cracking initiation and climate (with Thornthwaite Moisture Index). It is referred that traffic and pavement bearing capacity were not considered statistically significant for the models because these variables could not be reliably assessed in the data set.

$$T_{ci} = \left( \frac{0.158 \times TMIN - 0.107 \times R + 0.84}{0.0498 \times T - 0.0216 \times D - 0.000381 \times S^2} \right)^2 \quad (17)$$

$$C_t = K \times \left[ 100 - 200 \times \left( 1 + e^{\left( \frac{0.682 \times (t - T_{ci})}{\left( \frac{200 - T_i}{25} \right)^{3.5}} \right)^{-1}} \right) \right] \quad (18)$$

Where  $T_{ci}$  is the seal life (years);  $T_i$  is the Thornthwaite Moisture Index for climate pavement conditions at year  $t$ ;  $TMIN$  is the yearly average of the daily minimum air temperature ( $^{\circ}C$ );  $TMAX$  is the yearly average of the daily maximum air temperature ( $^{\circ}C$ );  $T$  is the average of  $TMAX$  and  $TMIN$  values;  $D$  is the ARRB Durability Test result;  $S$  is the nominal size of seal (nominal stone size, mm);  $R$  is the risk factor with a scale from 1 (very low risk) to 10 (very high risk);  $C_t$  is the total cracked pavement area in year  $t$  ( $m^2/100m^2$ );  $K$  is the calibration factor.

This cracking prediction model has several drawbacks for the implementation in the Portuguese PMS, namely for having been developed from sealed granular pavements, which is not a common pavement type (at least on main roads), for including a variable from a lab test not used in Europe and for not including a traffic related variable that it is considered to induce most of pavements cracking (fatigue).

### 3 Cracking Prediction Models Testing

The selected cracking models were tested by comparing the evolution of cracked area over time (design period, taken usually as 20 years) for different pavement structures. The set of representative pavement structures were selected based on the structures proposed by the Portuguese Pavements Design Manual [17] as function of traffic level and foundation capacity. Table 1 presents the levels of the selected daily traffic (T1, T3 and T5) and the corresponding proposed pavement structures for a subgrade F3 (CBR ratio of 20%). In the analysis it was additionally considered a subgrade F2 (CBR ratio of 10%), which requires extra 40 mm of asphalt thickness in each case. The pavements were considered to be situated in central area of Portugal (Coimbra district). The temperature and precipitation values were determined with the weather data collected over the period 1971-2000 by the Portuguese Meteorology Institute [18].

**Table 1.** Pavement data

Parameter		Pavements			
		P4	P9	P14	
Traffic	AADT <sub>h</sub> (per way and lane)	300 (T5)	800 (T3)	2000 (T1)	
	Traffic growth rate (%)	3	4	5	
	Heavy vehicles damage factor	3	4.5	5.5	
Structure	Asphalt surface layer	H <sub>n</sub> (mm)	40	50	60
		E (MPa)	4000	4000	4000
	Asphalt base layer	H <sub>n</sub> (mm)	140	190	220
		E (MPa)	4000	4000	4000
	Granular sub-base	H <sub>n</sub> (mm)	200	200	200
		E (MPa)	200	200	200
Foundation	CBR (%)	20	20	20	
Climate	Average daily temperature (°C)	15.5	15.5	15.5	
	Average yearly precipitation (mm)	905.1	905.1	905.1	

## 4 Results

Figure 1 shows pavement cracked area evolution predicted by all PPM for a pavement P9 (traffic level T3) and two subgrade levels (F2 and F3) during 20 years that is usually considered for the pavement design. It is considered that no M&R actions are implemented during the 20 years. HDM-4 predicts considerably larger values of cracked area during the analysis. At the end of the analysis period HDM-4 predicted cracked area is around the double of the second largest value and cracking is spread all over the road pavement (100%). In a lowest to largest predicted cracked area in year 20, the PPM sequence is the following: KLW; Austroads; Brazilian; Indian; PAVENET-R and HDM-4. HDM-4 predicts cracking initiation around years 5 to 6 and after that cracking spreads faster than predicted by any other method. In opposition, cracked area predicted by KLW and Austroads PPM is very low, less than 15% in year 20. When a lower bearing capacity foundation is considered (F2 subgrade instead of F3), with extra 40 mm of asphalt, cracked area evolution difference is almost imperceptible with the exception of using the PAVENET-R PPM. This PPM uses the AASHTO structural number, which does not consider the subgrade effect. As the pavement thickness is increased to compensate the subgrade strength, the SN value increases and predicted cracking is lower at any time. Most PPM results show that the extra 40 mm of asphalt thickness indicated in the design manual is adequate for this foundation bearing capacity variation. Figure 2 shows the cracked area evolution predicted by all models for two pavement structures (P4 and P14) with different traffic levels (T1 and T5) and F3 subgrade.

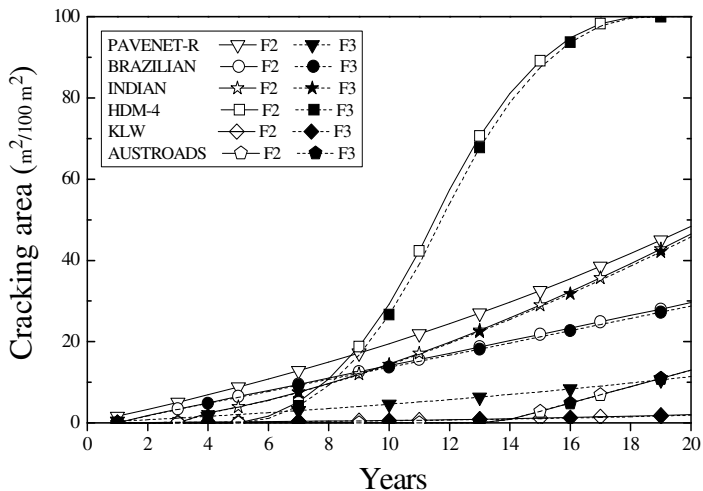


Fig. 1. Cracking prediction for pavement P9 and subgrades F2 and F3

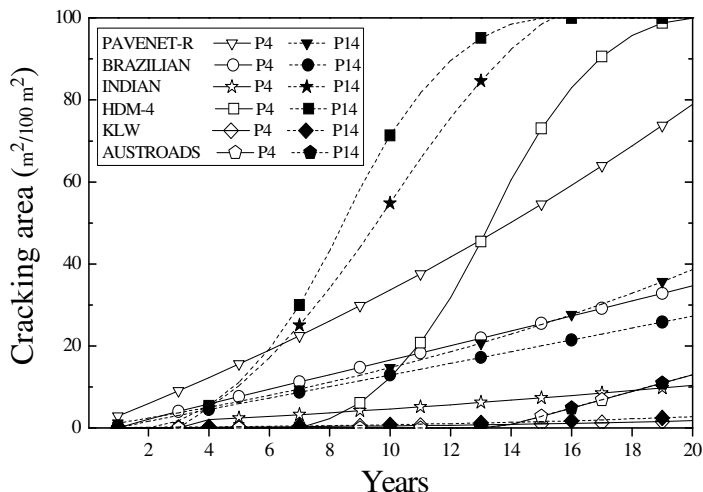


Fig. 2. Cracking prediction for traffic levels T5 and T1 and pavements P4 and P14

The KLW, Austroads and Brazilian models predict similar values of cracked area during the analysis period. The Austroads method predicts exactly the same values as it does not consider traffic and subgrade in the model. The HDM-4 and Indian models predict larger cracked area values for pavement P14 (highest traffic level) and PAVENET-R predicts less cracked area. The largest difference between predictions is obtained with the Indian model. The cracking growing rate is very similar for both situations in the HDM-4 prediction and the extent of cracking

area at any moment is solely dependent on time for cracking initiation. The

PAVENET-R model predicts a 50% reduction of cracked area in year 20 as the pavement changes from P4 to P14, which allows concluding that the model is much more dependent on pavement bearing capacity than on traffic.

## 5 Conclusions

The Austroads model is not adequate to include into Portuguese PMS since it does not distinguish different pavement structures used in Portugal as well as traffic levels. The number of years to intervention is considered too optimistic. The KLV model is not satisfactory since the predicted cracked areas are extremely low during the design period, i.e. not in agreement to current Portuguese roads condition. The PAVENET-R model is considered not to be adequate because it amplifies too much the pavement bearing capacity (with exclusion of the foundation strength) and lessens too much the traffic influence. In the Brazilian model, the values obtained are logical though pavements sections used for the model development have different conditions (climate, soils) than can be found in Portugal. The Indian and HDM-4 models were considered to produce acceptable results and therefore it is recommended that a full verification and validation of both models should be conducted using Portuguese pavement condition time series data.

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# Multi-cracks Modeling in Reflective Cracking

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**Abstract.** Reflective cracking is a major concern for engineers facing the problem of road maintenance and rehabilitation. The problem appears due to the presence of cracks in the old pavement layers that propagate into the pavement overlay layer when traffic load passes over the cracks and due to the temperature variation. The stress concentration in the overlay just above the existing cracks is responsible for the appearance and crack propagation throughout the overlay. The analysis of the reflective cracking phenomenon is usually made by numerical modeling simulating the presence of cracks in the existing pavement and the stress concentration in the crack tip is assessed to predict either the cracking propagation rate or the expected fatigue life of the overlay. Numerical modeling to study reflective cracking is made by simulating one crack in the existing pavement and the loading is usually applied considering the shear mode of crack opening. Sometimes the simulation considers the mode I of crack opening, mainly when temperature effects are predominant. Thus, this paper presents a study where multiple cracks are modeled to assess the reflective cracking phenomenon and to compare to the case of only one crack. The modeling with only one crack was made simulating both mode I and mode II of crack opening taking into account the traffic effects. The influence of multiple cracks was expressed in terms of stress and strain in the zone above existing cracks. One of the conclusions from the current study is that the presence of multiple cracks can lead to a state of stress/strain higher than those obtained with only one crack. Also the position of the crack modeled in the finite elements analysis have a significant influence in the state of stress/strain obtained. However, the consideration of only one crack is sufficient to obtain significant results in the reflective cracking modeling.

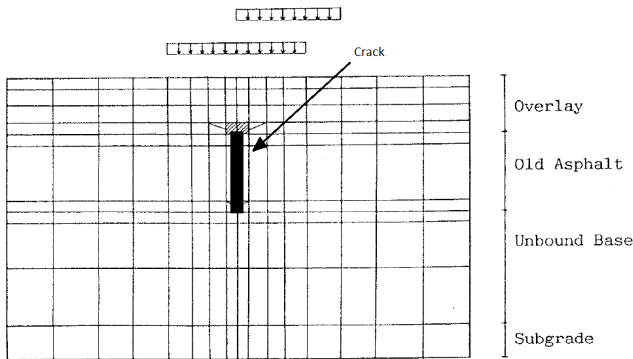
## 1 Introduction

Cracks in the pavements tend to reflect through an overlay placed on the cracked pavement due to the traffic and temperature effects, depending on the magnitude of the stress concentrations at the tip of the crack, the resistance of the overlay material to crack propagation and the characteristics of the interface between the overlay and the existing pavement. The stress concentration at the crack tip results of the bending, shearing and tearing actions of traffic loads and tensile and

bending actions caused by temperature and moisture movements as well as temperature and moisture gradients [1].

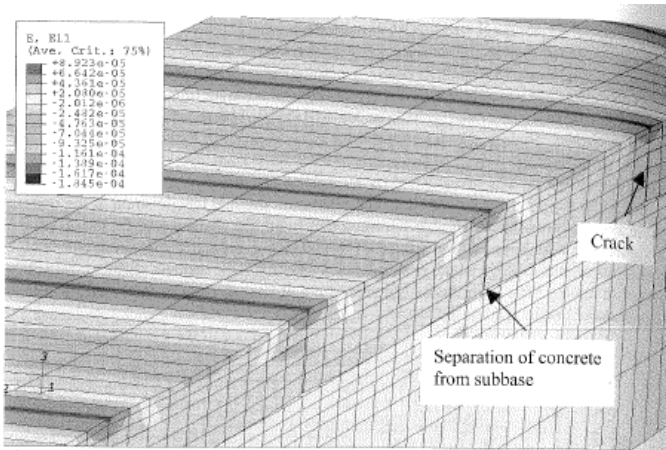
To assess the cracking in pavement overlays, many investigations have been conducted in terms of experimental and numerical modeling. The first studies started by Majidzadeh et al [2] with the application of the fracture mechanics in the analysis of pavement fatigue where the fatigue life of paving mixtures in terms of material constants, geometry, boundary conditions, and the state of stress is predicted. In that work, fatigue is defined in terms of crack initiation, influence on crack growth, and critical stress intensity at the critical failure point. The laboratory tests to support that study utilized notched and unnotched beams supported in an elastic foundation to predict the fracture parameters. The determination of the fracture parameters (i.e the stress intensity factors) were made based on experimental assumptions from fracture tests.

Later on, Van Gurp and Molenaar [3] developed a procedure to predict the reflective cracking in asphalt overlays using linear elastic finite element models, by analyzing the crack propagation form the old cracked asphalt layers through the new overlay. The models used only considered the traffic influence simulating mode I and II of crack opening by applying the load above the crack and adjacent to the crack, respectively, as represented in Figure 1.



**Fig. 1.** Single crack modeling in mode I and II of crack opening [3]

Since then, the numerical modeling has been used in the assessment of reflective cracking by different methods. Paulino et al [4] applied a cohesive zone fracture model to simulate crack initiation and propagation in asphalt concrete using intrinsic constitutive laws to connect traditional finite elements to simulate localized damage and softening behavior. Nesnas and Nunn [5] used a finite element model with multi-cracks, as indicated in Figure 2, to investigate the top-down cracking in cement treated base pavements.



**Fig. 2.** Multi-cracks simulation for top-down cracking [5]

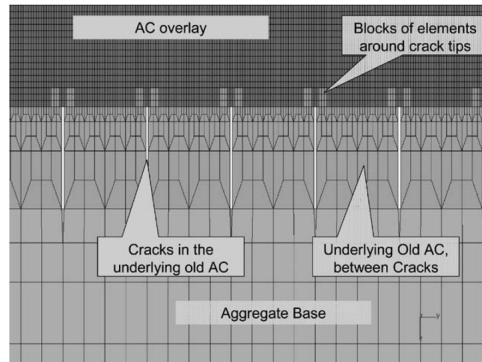
More recently, Wu and Harvey [6] developed a finite element model to evaluate the performance of several asphalt mixes that contain binders with recycled rubber. The mechanistic model was based on non-local continuum damage mechanics and the finite element method and the damage evolution law parameters were identified using laboratory fatigue test data. The finite element model (Figure 3) was the first model used in the reflective cracking analysis that was created with multiple cracks, simulating the alligator cracking in the existing pavements before the placement of a pavement overlay.

However, these studies did not investigate the influence of the existence of multiple cracks or the influence of the spacing between cracks in the evaluation of the reflective cracking. This subject takes a significant importance because cracked pavements, mainly the flexible pavements, usually present multiple cracks (alligator cracks) before the pavement overlaying.

Thus, this paper aims to study the influence of the existence of multiple cracks in finite element models on reflective cracking. This influence is assessed by the state of stress and strain in the pavement overlay, just above the existing cracks in the old pavement.

This effort consisted of developing a 2D finite element model which was created, using the plain strain mode, in which 10 cracks were modeled in the cracked layer and spaced 10 cm from each other. The model has the ability to easily change the crack spacing, the elimination of some cracks to create any configuration of cracking with any cracking spacing, from a pavement with only one crack to a pavement up to 10 cracks.

The application of this model resulted in creating different cracking configurations to study the influence of crack spacing on the reflective cracking phenomenon. The study investigated three different overlay configurations: 10, 20 and 30 cm overlay thicknesses over an existing cracked pavement.



**Fig. 3.** Multi-cracks modeling to simulate HVS effect [6]

## 2 Finite Elements Model

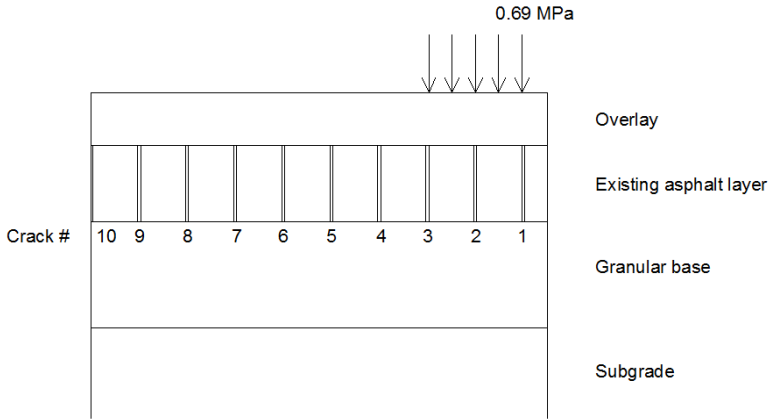
A 2D finite elements model was created for a pavement configuration consisting of an overlay layer, an existing asphalt cracked layer, a granular base layer and a subgrade layer, as schematically represented in Figure 4. The model considers the existence of full friction as interface between old and new pavement layers. The existing asphalt layer was modeled with 10 cracks, numbered from 1 to 10, starting from the left side of the model. The distance between cracks was set to 10 cm and the crack width was set to 3 mm. The model has the ability to easily allow the elimination of some cracks to create any configuration of cracking with any cracking spacing, from a pavement with only one crack to a pavement up to 10 cracks.

The mesh of the model was designed by using quadrilateral, two-dimensional structural-solid elements, with eight nodes, with two degrees of freedom at each node. The mesh was designed to apply a load with a dual wheel configuration representing a standard axle wheel of 80 kN (Figure 5), applied on the pavement surface in a representative area of the tire-pavement contact. The finite element model used in the numerical analysis was developed in a general finite elements code, ANSYS(R) Academic Teaching Introductory, V12.1.

The finite elements model was configured to create 25 different pavements configurations, varying the cracking configuration. The first 10 models present only one crack, from crack #1 to crack #10, representing all possibilities of isolated cracks. The following pavements represent the configurations of multi-cracks varying the cracking space from 10 cm up to 50 cm, as indicated in Figure 6.

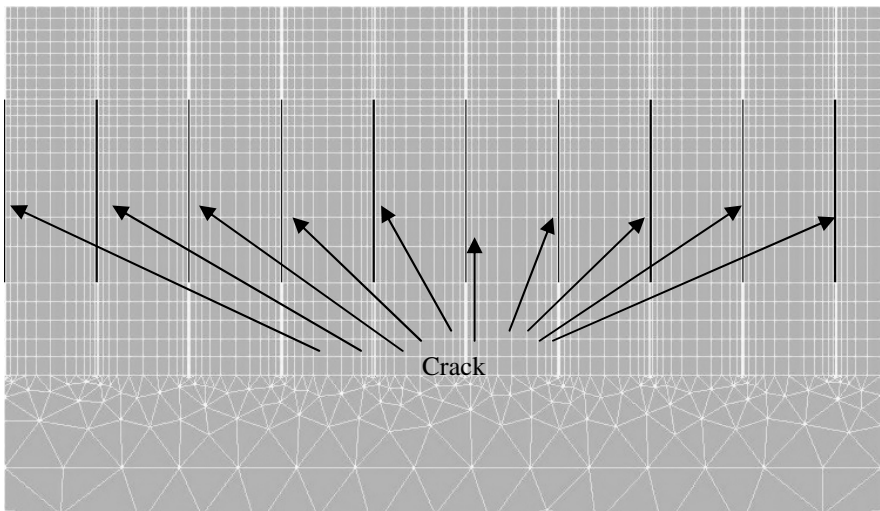
These models were applied to three different pavement structures, varying the overlay thickness and keeping the same thickness for the layers of the existing asphalt layer and for the granular base. The thickness and stiffness of the layers for the three structures are shown in Table 1. The materials were modeled assuming a linear elastic behavior.

The finite elements model was designed as a plain strain problem, using plane structural solid elements, defined by eight nodes and having two degrees of freedom at each node.



**Fig. 4.** Schematic representation of the finite elements model

The state of stress and strain in this type of problems is governed by a stress concentration at the crack tip and needs to use the fracture mechanics for a correct assessment. To avoid the use of the fracture mechanics, the state of stress and strain in the crack tip was calculated just above the modeled cracks, 0.3 and 0.25 mm from the crack edge, as indicated in Figure 7. For each case, the horizontal, vertical, shear and Von Mises strain was calculated.



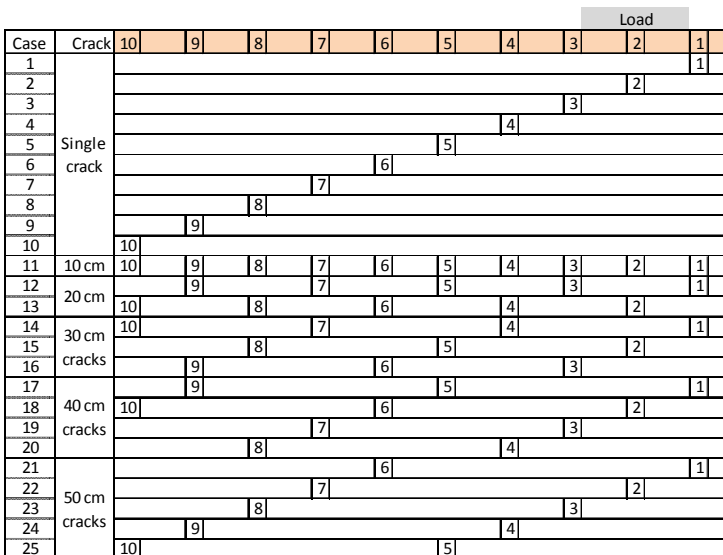
**Fig. 5.** Finite elements model

**Table 1.** Thickness and stiffness of pavement layers

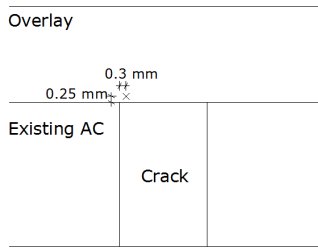
Layers		Structure 1	Structure 2	Structure 3
Overlay	thickness	0.10 m	0.20 m	0.30 m
	stiffness	5000 MPa	5000 MPa	5000 MPa
Existing asphalt layer	thickness	0.20 m		
	stiffness	2000 MPa		
Granular base	thickness	0.20 m		
	stiffness	160 MPa		
Subgrade	stiffness	80 MPa		

### 3 Modeling Results

One of the objectives of this work was to evaluate the difference between modeling of multiples cracks as compared to a single crack. This can be observed by the representation of the Von Mises strain presented in Figure 8 and 9, respectively for a pavement with only one crack modeled (crack #3) and the pavement with 10 cm spaced cracks (case 11). The analysis of these figures show the difference between the state of strain in the overlay associated with the presence of either a single or multiple cracks. The difference is also visible in the state of strain above the existing cracks which is responsible for the reflective cracking.

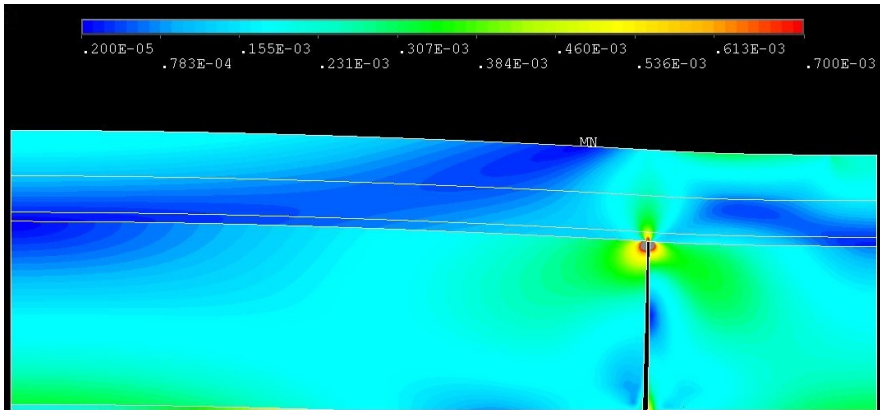


**Fig. 6.** Representation of cracking configuration



**Fig. 7.** Location for stress and strain calculation

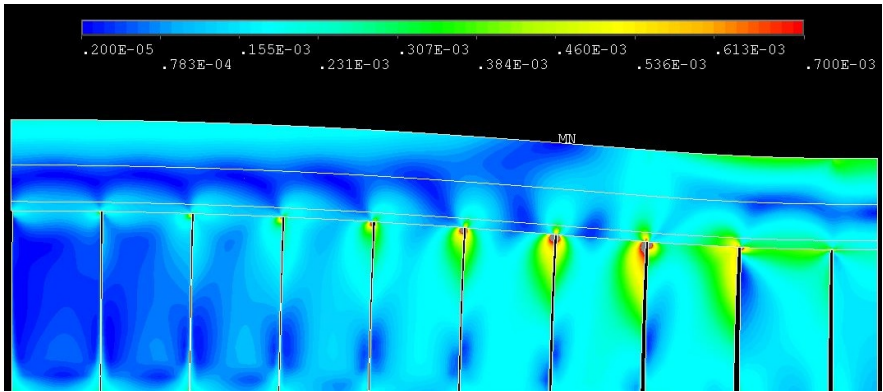
The first analysis of this study of carried out for the cases of single cracks, i.e. the cases 1 to 10 where for each model only one crack was modeled in each pavement. For these cases, the strain level in the pavement with 10 cm thickness overlay is indicated in Figure 10, where Ex represents the horizontal strain, Ey represents the vertical strain, Exy represents the shear strain and the Evm represents the Von Mises shear strain.



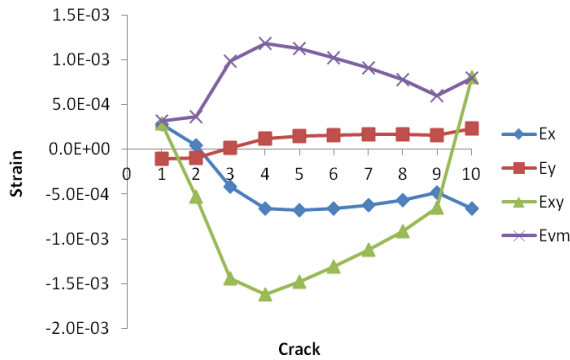
**Fig. 8.** Von Mises strain in a pavement with a single crack (#3)

The analysis of single crack modeling indicates that the vertical strain is almost constant when cracks below the load (1, 2 and 3) are modeled. Also, for the other cracks, the vertical strain is constant. In terms of horizontal, shear and Von Mises strain, they increase as the cracks moves away from the load but after crack 4 the strain level reduces significantly, except for the horizontal strain.

This analysis shows that when only one crack is modeled, that should be the crack 4, which is 10 cm away from the load. Usually, the modeling of a single crack simulating the mode II of crack opening is simulated by crack 3, which is around 20% less them the strain level above crack 4.



**Fig. 9.** Von Mises strain in a pavement with multiple cracks



**Fig. 10.** Strain in the overlay for models with only one crack

For the other pavements, with 20 and 30 cm overlay thickness, the conclusion is identical, ie, the maximum state of strain appears not for the crack adjacent to the load but away from the load, as it can be observed in Figure 11 where the Von Mises strain is represented as function of the overlay thickness and crack number.

As the overlay thickness increases, the Von Mises strain (Figure 11) decreases and the maximum strain appears for crack 6, ie, 30 cm away from the load.

The modeling of multiple cracks with a spacing of 10 cm produces the results presented in Figure 12, for the overlay with 10 cm thickness, which are similar to the ones obtained for single cracks modeling. However, the comparison between Figure 10 (single cracks) and Figure 12 (10 cm cracks) indicates that the presence of 10 cm multiple cracks reduces the strain in the overlay compared to the single cracks modeling.



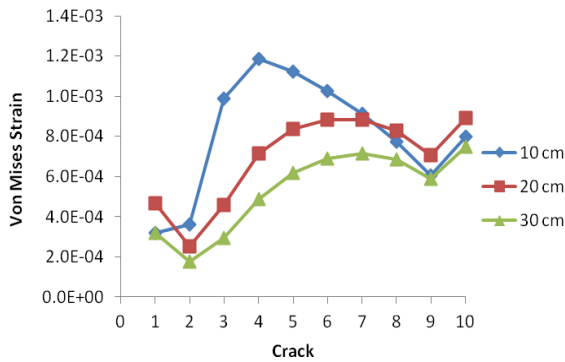


Fig. 11. Influence of overlay thickness of Von Mises strain for single crack

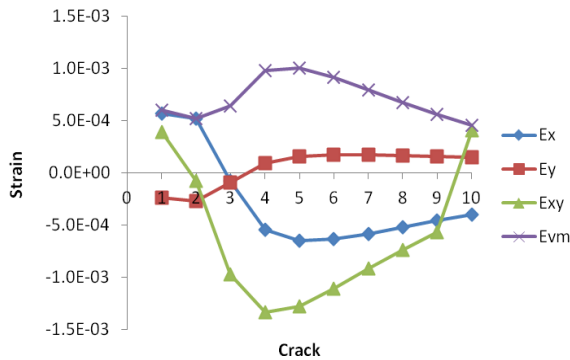


Fig. 12. Strain in the overlay for 10 cm crack spacing

For the other pavements (20 and 30 cm overlay thickness) the behavior of the Von Mises strain is identical to the observed for single cracks modeling where maximum strain appears for crack number 6 but the strain level is lesser than the one observed for single cracks. Identical results were obtained for the other strain components as well as for stress components. The analysis of 10 cm spaced cracks shows that, for this crack spacing, the consideration of multiple cracks is unfavorable.

Identical conclusion to those obtained for 10 cm spaced cracks can be obtained for 20 and 30 cm spaced cracks, as it can be observed in Figures 14 and 15. For these cases, the Von Mises strain presents the highest value for crack #5. However, the maximum Von Mises strain for 20 and 30 cm spaced cracks is identical to the one observed for 10 cm spaced cracks, which is less than the one observed for single cracks (#4 and #5).

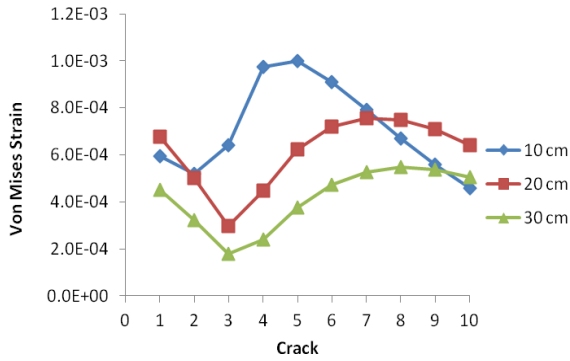


Fig. 13. Influence of overlay thickness of Von Mises strain for 10 cm crack spacing.

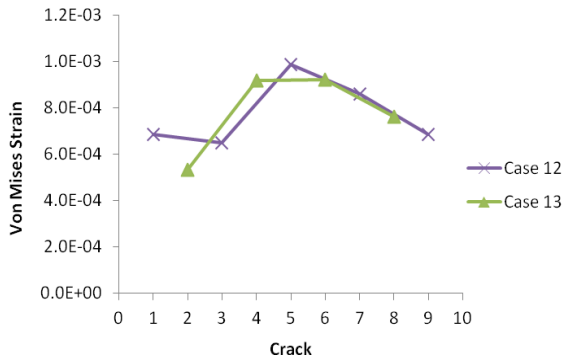


Fig. 14. Von Mises strain in the overlay for 20 cm crack spacing

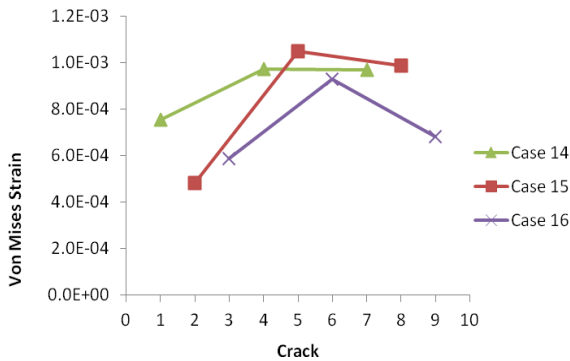


Fig. 15. Von Mises strain in the overlay for 30 cm crack spacing

Different conclusions can be obtained from the results for 40 and 50 cm spaced cracks where a Von Mises strain level greater or identical to the one obtained for single cracks (mainly for crack #4) was achieved. This appears mainly when a 50 cm crack spacing exists and there is a crack below the load and the other crack is away from the load. If the first crack is not below the load, then the strain level in that crack and in the other cracks is reduced compared to the maximum observed for a single crack #4. However, the maximum value observed for these cases is almost identical to the one observed for a single crack #4 and thus it is enough to consider the existence of only one crack, not adjacent to the load but some centimeters away from the load, depending of the overlay thickness.

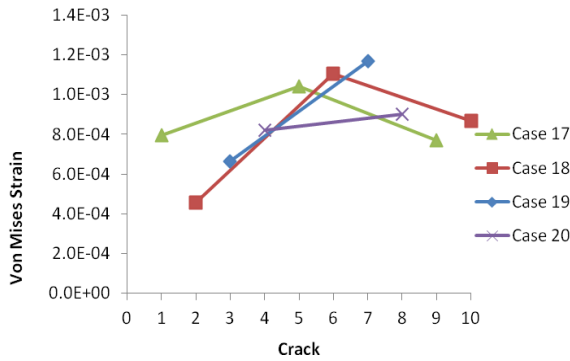


Fig. 16. Von Mises strain in the overlay for 40 cm crack spacing

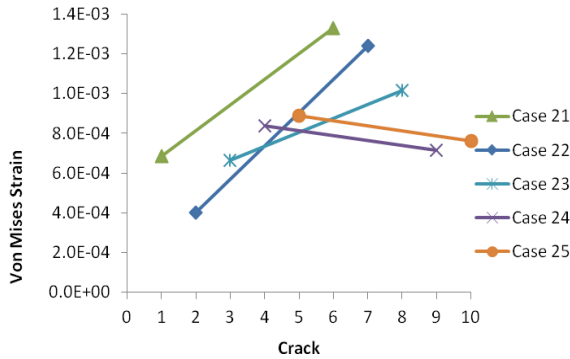


Fig. 17. Von Mises strain in the overlay for 50 cm crack spacing

## 4 Conclusions

This paper presented a study about the influence of multiple cracks in finite element models on the reflective cracking. This influence was assessed by evaluating the Von Mises strain in the pavement overlay, just above the existing cracks in the old pavement. In the 2D finite elements models, single and multiple cracks were simulated considering a distance between cracks ranging from 10 cm to 50 cm. The following conclusions can be made from the analysis of the results:

- There are significant differences in the state of stress in the pavement overlays due the presence of multiple cracks compared to the modeling of single cracks;
- The modeling of a single crack must be made by a crack considerable away from the load. That distance depends on the overlay thickness and for the cases studied it should be greater than 10 cm;
- The multiple cracks modeling do not increase the strain level in the overlay. However, the larger the distance between cracks, the higher and more significant the strain level can be when compared to the one obtained for a single crack.

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# Using Black Space Diagrams to Predict Age-Induced Cracking

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**Abstract.** Asphalt aging is typically monitored through rheological changes at high pavement temperatures. Asphalt quality in laboratory aging experiments is then ranked using classic measures such as absolute viscosity ratios or changes in ring and ball softening point. However, this study [1,2] suggests that location on Black Space Diagrams at lower pavement temperatures is a better predictor for block cracking and related failure mechanisms associated with highly oxidized asphalt.

Three unmodified asphalts were PAV aged for 20, 40, and 80 hours. Modulus and phase angle were determined using DSR for intermediate temperatures, while stiffness and *m*-values using BBR were measured at low temperatures. Proposed aging functions such as Glover-Rowe's Damage Parameter and Anderson's R-value were compared to lab results on Black Space Diagrams. The key finding from the binder phase of this study is that location in Black Space is an important performance measure for cracking. However, the initial quality of the asphalt as determined in Black Space is just as important to performance as rheological changes in modulus and phase angle occurring during aging.

Aged mixtures were then tested in the BBR to determine whether binder aging trends would translate to mixture properties. One surprising finding was that microdamage forms in unconfined, highly aged mixture specimens as they cool. Findings from this study suggest that environmental effects models and timing strategies for pavement preservation should be revised to consider binder properties in Black Space, where both the initial asphalt quality and the effects of oxidation on key physical properties can be monitored. A new approach links initial binder quality with oxidative aging to propose a non-load induced cracking parameter for performance-related specifications.

## 1 Introduction

As pavements age, they crack and ravel. Although stresses induced by traffic exacerbate distress, the evolving rheological properties of aging asphalt are sufficiently damaging to cause a pavement to crack from thermal stresses alone.

The source of thermal stress could have several origins, including bending stresses caused by thermal or stiffness gradients with depth, or tensile stresses within the material itself induced by cooling when there is a difference in thermal expansion coefficients between asphalt and aggregate. Unfortunately, the damage mechanisms for block cracking, surface raveling, or other age-related cracking are not well understood, nor are reliable tools available to predict imminent damage so that pavement preservation strategies can be implemented proactively.

### ***1.1 Black Space Diagrams***

Although recent research has primarily focused on fracture energy as the failure property most directly tied crack prediction models, there is much information to be gained by considering simple rheological plots of  $G^*$  versus Phase Angle, commonly referred to as Black Space Diagrams. Black Space analysis is particularly convenient because rheological data needed for a mastercurve is directly measured in the dynamic shear rheometer (DSR), with no mathematical shifts required to account for time-temperature superposition (TTS). Black space also captures phase changes in the binder, such as wax crystallization known to cause low temperature physical hardening. Such effects can be missed if TTS software incorrectly over-shifts data to align individual frequency sweeps. Because crack growth accelerates as pavements cool, this same low temperature portion of the Black Space mastercurve is most relevant to age-related damage mechanisms. It is also important to remember that asphalt has a very low phase angle at low pavement temperature. Under such cold conditions, Bending Beam Rheometer (BBR) measurements of stiffness and  $m$ -value are reasonable surrogates for  $G^*$  and Phase Angle, and plots of stiffness versus  $m$ -value serve as reasonable surrogates for Black Space Diagrams.

## **2 Aging in Black Space**

Domke [3] evaluated the evolution of low temperature binder properties with aging as part of his PhD thesis. He correctly but misleadingly concluded that stiffness and  $m$ -value change by similar percentages during aging. Glover [4] re-evaluated Domke's data and showed that when  $m$ -value changes by a given percentage, the change in PG binder grade is much greater than observed for an equivalent percentage change in binder stiffness. As shown in Figure 1, Glover plotted the continuous grade for stiffness (Temperature where  $S=300$  MPa) versus the continuous grade for  $m$ -value (Temperature where  $m$ -value = 0.30) for two asphalts as they aged.

As Glover noted, the binder becomes much more  $m$ -controlled as it ages. The temperature at which  $m$ -value reaches 0.30 increases by 3 to 5°C for each 1°C increase in temperature where binder stiffness equals 300 MPa.

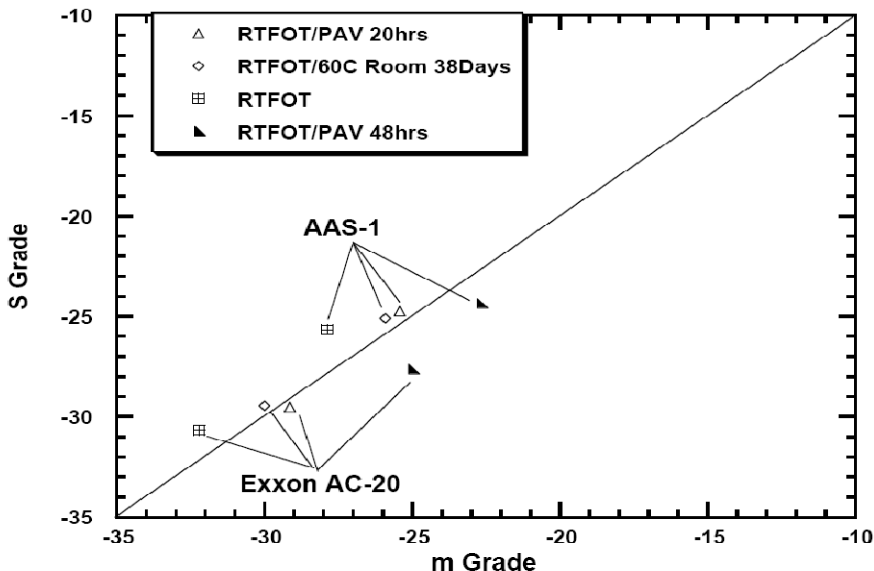


Fig. 1. Comparison of BBR m-Value & S Grades During Aging ( $^{\circ}\text{C}$ )<sup>5</sup>

Glover [6] also derived a fatigue cracking parameter,  $G' / (\eta' / G')$ , from a Maxwell model. He correlated this parameter to binder ductility (15°C, 1 cm/mn), which Kandhal [7] had previously tied to age-induced cracking during field pavement studies. Anderson [8] confirmed the correlation between Kandhal’s ductility and Glover’s fatigue parameter with a laboratory aging study, and further found a very high correlation between Glover’s parameter and the evolving m-control as exemplified by Figure #1. Anderson quantified this change in m-control by introducing a new parameter,  $\Delta T_c$ , defined as the difference between BBR critical temperatures for stiffness and m-value as determined for 300 MPa and 0.30 respectively. The extraordinary correlation between  $\Delta T_c$  as determined at very low temperatures using the BBR, Glover’s fatigue parameter as measured in the DSR at 15°C and 0.005 rad/sec, and Kandhal’s ductility recommendations (15°C, 1 cm/min) was unexpected.

One concludes from these findings that oxidation-induced embrittlement of the binder is a primary cause for block cracking and surface ravelling. Although some hardening occurs, the loss in phase angle at low temperatures is dramatic, and clearly contributes to age-induced damage. However, if a location in Black Space can be tied to damage, then the initial quality of the asphalt (S or m-control) should be just as important as any changes that occur during aging.

## 2.1 Glover-Rowe Damage Parameter

In an AAPT prepared discussion to the Anderson paper cited earlier, Rowe [9] agreed with the authors that Black Space Diagrams could be useful for comparing the various proposed damage parameters. He derived a new form of the Glover parameter as represented by equation (1). So long as the test frequency ( $\omega$ ) is known, variables  $G^*$  and phase angle ( $\delta$ ) can be plotted to create a damage curve in Black Space.

$$G' / \left( \frac{\eta'}{G'} \right) = G \times ((\cos \delta)^2 / \sin \delta) \times \omega \quad (1)$$

Given a Black Space function as defined by this new Glover-Rowe (G-R) parameter, an aged binder can be tested for degree of damage without imposing a rigid single test temperature and frequency. For example, Kandhal suggested that damage from binder aging was initiated when ductility fell to 5 cm, and cracking was serious when ductility reached 3 cm. Glover used his correlations with Kandhal's ductility to predict damage onset when  $G' / (\eta' / G')$  equals 900 Pa\*s. Dividing this limit by Glover's test frequency (0.005 rad/s), Rowe suggested a failure curve in Black Space to represent the onset of cracking as shown by equation (2). Beginning with Kandhal's second observation that surface cracking is apparent when ductility falls to 3 cm, the corresponding value of the Glover-Rowe parameter is represented by equation (3).

$$\text{Damage onset (5 cm ductility):} \quad G \times ((\cos \delta)^2 / \sin \delta) = 180 \text{ kPa} \quad (2)$$

$$\text{Significant cracking (3 cm ductility):} \quad G \times ((\cos \delta)^2 / \sin \delta) = 450 \text{ kPa} \quad (3)$$

These two equations provide a damage zone in Black Space that correlates well with Kandhal's pavement damage studies, which were specific to use of unmodified asphalts within the local climate conditions in Pennsylvania.

As reported by Anderson in a previous paper from this study [10], three asphalts (West Texas, Gulf-Southeast, and Western Canadian) were RTFO aged, and then PAV aged for 20, 40, and 80 hours respectively. As shown on the Black Space Diagram in figure 2, the Western Canadian asphalt has much better initial properties than the other two asphalts, but appears to deteriorate more rapidly with aging. Thanks to its better initial quality, the Western Canadian has not reached the damage zone after 40 hours in the PAV, whereas the West Texas asphalt has passed through the damage zone into the failure region after 20 hours PAV aging. Also note the difference in shape of the current SuperPave fatigue parameter ( $G^* \times \sin \delta = 5 \text{ MPa}$ ), versus the Glover-Rowe curve. Given the binder aging data, the curve shape for  $G^* \times \sin \delta$  does not seem a logical damage indicator. At best, the failure limit would need to change if Glover's test conditions (15°C, 005 rad/s) were used for DSR frequency sweeps.



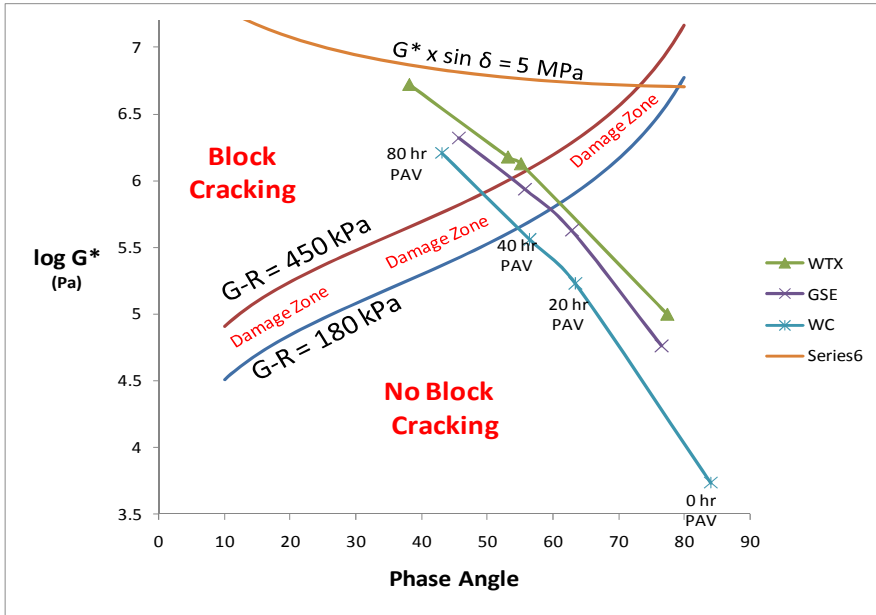


Fig. 2. PAV-aged Binders Passing through the Glover-Rowe Damage Zone

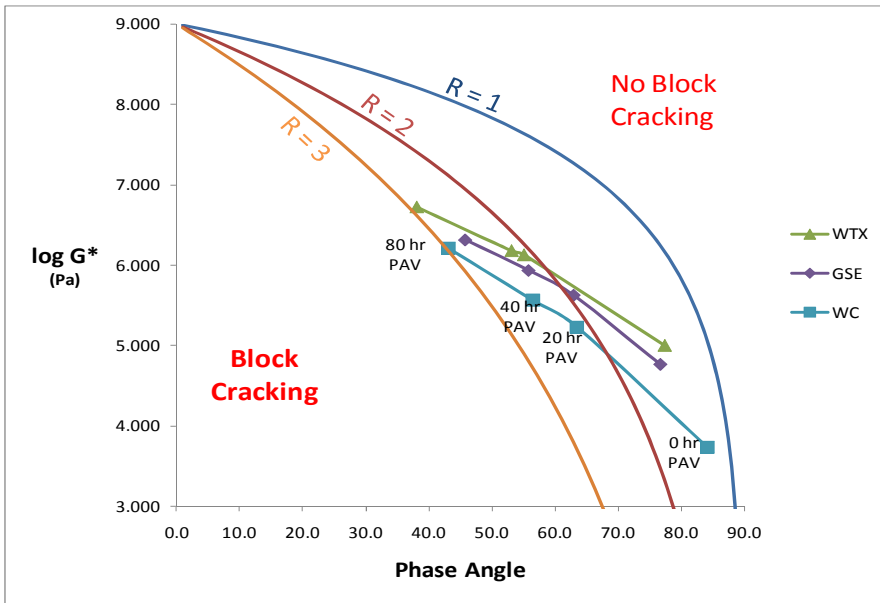
## 2.2 R-Value as a Damage Parameter

During SHRP research, Anderson and his team at Penn State developed equations to fit traditional rheological mastercurves of  $G^*$  or phase angle versus temperature or frequency. An important parameter from those mastercurves is the R-value, which was shown to increase with asphalt aging.

$$R = \frac{(\log 2)^* \log \frac{G^*(\omega)}{G_g}}{\log \left( 1 - \frac{\delta(\omega)}{90} \right)}$$

where:  
 $G^*(\omega)$  = complex shear modulus at frequency  $\omega$  (rad/s), Pa  
 $G_g$  = glassy modulus, Pa (assumed to be 1E+09 Pa)  
 $\delta(\omega)$  = phase angle at frequency  $\omega$  (rad/s), degrees (valid between 10 and 70°)

It is interesting to similarly view the PAV aged results from this study on a Black Space Diagram by replacing the Glover-Rowe damage zone with R-values as potential damage parameters (see figure 3).



**Fig. 3.** Using R Values to Track PAV Aging in Black Space

Similar to Glover-Rowe, damage zones could be based upon ranges in R-value. For example, a range of R values between 2.3 and 2.7 might predict the onset and propagation of damage in a comparable range to that shown in figure 2 above. However, it is clear from the two figures that the damage curves using R-value are very different in shape from those for Glover-Rowe. R-value necessarily predicts that the WC asphalt has a higher R value than WTX after 40 hours of PAV aging, and is therefore more likely to crack. This ranking is very different from that predicted by the Glover-Rowe parameter, for which the WC 40 hr PAV sample has not yet reached the damage zone, whereas the WTX 40 hr PAV sample has totally passed through the damage zone into the failure region for block cracking. Although the Glover-Rowe parameter appears to better predict damage in line with Kandhal's ductility observations, a rigorous field cracking study is needed to establish damage zones against which these various theoretical approaches can be compared.

### 3 Bending Beam Rheometer Tests on Mixtures

The rate of asphalt oxidation varies significantly with pavement depth. The most pronounced rheological changes occur near the surface, so any mixture test predicting the onset of surface cracking for in-place pavements should use thin specimens of  $\frac{1}{2}$ " or less. Furthermore, test capabilities must include low pavement temperatures where cracking is most likely to initiate. In a study evaluating the performance of RAP in asphalt mixtures, Marasteanu [11] found that the Bending Beam Rheometer can test asphalt mixtures in thin beams to derive creep

compliance curves. He then applied the Hirsch model to back-calculate the binder stiffness and obtain critical cracking temperatures. The BBR Mixture Bending Test (Sliver Test) was included in the laboratory mixture aging phase of this study.

## 4 Testing Protocol

**Mixture Aging:** Loose, uncovered mixtures were aged in a force-draft oven for 4hr, 24hr, and 48hr at 135°C. After aging, mixtures were compacted in a SuperPave Gyrotory Compactor (SGC) to target air voids that are representative of in-pave airfield pavements. Following compaction, eight BBR-sized beams were cut from each specimen. *Note: During phase 1 of this study, compacted specimens were aged using typical SuperPave mixture aging protocols. This initial test series raised concerns that aging of compacted specimens does not result in uniform oxidation throughout.*

**BBR Testing:** The aged mixture beams were tested in the BBR using Marasteanu's method which applies a 500 g load. Four beams were tested at each of two temperatures selected from the standard PG grading temperatures immediately above and below the continuous low temperature PG grade of the binder itself. For West Texas Sour asphalt, the BBR test temperatures were -6°C and -12°C. For Gulf-Southeast and Western Canadian, the BBR test temperatures were -12°C and -18°C.

### 4.1 Analysis of BBR Rheological Properties Stiffness (S) and m-value

The working hypothesis for this study assumes that, as asphalt ages, low temperature relaxation properties deteriorate more quickly than modulus. As the phase angle falls, the binder can no longer flow fast enough to heal damage that might accumulate in the mix. The binder testing phase of this study validated the hypothesis by demonstrating that the BBR critical temperature for m-value deteriorates much faster than the critical temperature for stiffness during PAV aging. Marasteanu's BBR method was selected to determine whether these same trends exist in mixes. Results for S and m-value are shown graphically in Figure 4. Detailed data with replicates and statistics can be found in the project report.

The graphs in Figure 4 contradict findings from the binder study that BBR stiffness continues to increase and m-value continues to decrease with additional aging. For all three mixes tested at the temperature immediately above its low temperature PG grade, the mixture stiffens and the m-value continues to drop with longer aging times as expected. However, at test temperatures below the recommended PG binder grade, stiffness reaches a maximum (~20,000 Mpa) and m-value exhibits a minimum (~0.13±0.01) after approximately 24 hours of aging, and then both S and m-value reverse direction in a manner that is inconsistent with the comparable binder rheology after extended PAV aging. The only plausible explanation is that damage (micro cracking) has occurred in the highly aged mixture specimen, either as it was cooled below its critical cracking temperature or during the first sixty seconds of loading in the BBR.

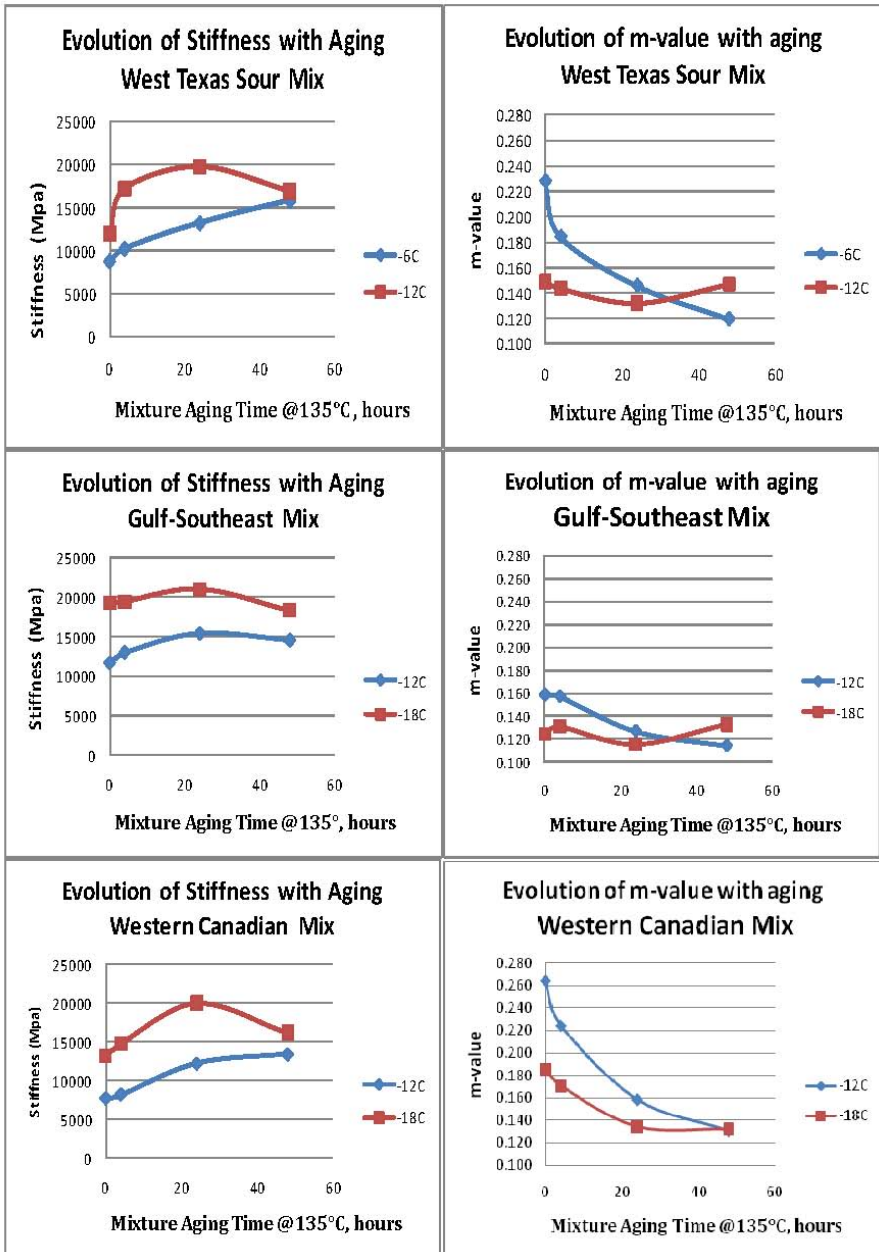
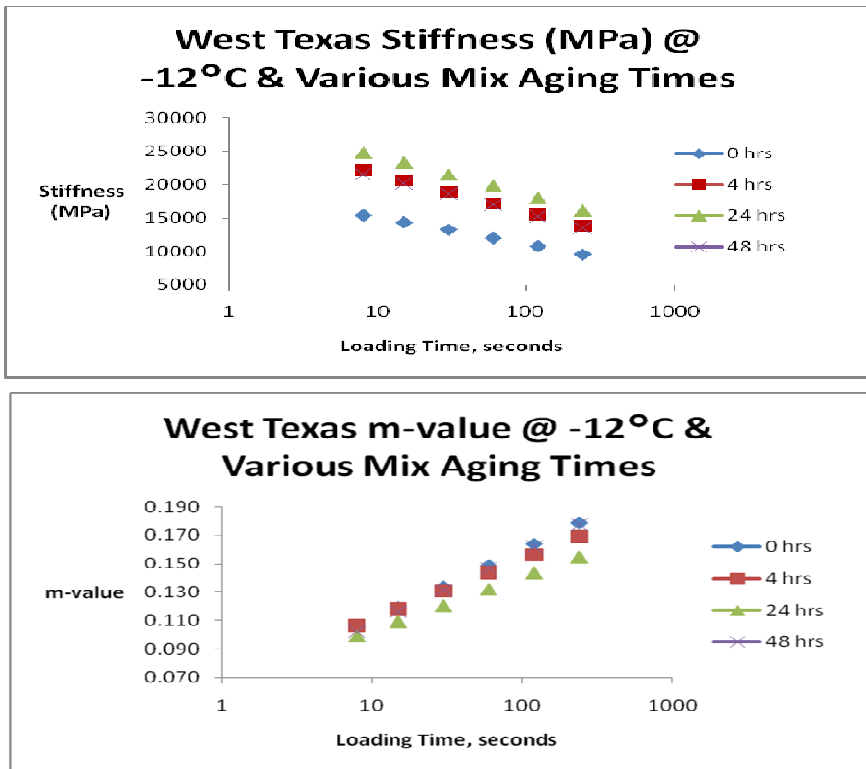


Fig. 4. Impact of aging on BBR Mixture Properties



**Fig. 5.** BBR loading curves for oven-aged WTS mix @-12°C

The BBR loading curves for undamaged and damaged specimens were then compared to determine whether the load itself is responsible for the microdamage. Results for the West Texas Sour mix (see figure 5) reflect typical behavior for all three mixes when tested below the critical cracking temperature. Notice that the BBR loading curves for the 4 hr and 48 hr aged specimens overlay almost perfectly, but both are considerably less stiff than the 24 hr aged specimen. Likewise, results for m-value reflect the same behavior, with the 4 hr and 48 hr aged specimens overlapping, but both at higher m-values than the intermediate 24 hr aged sample. Because the shape of the BBR loading curve does not change for the damaged 48 hr aged specimens, the microcracks must have developed during cooling, not during BBR loading. Furthermore, those microcracks are stable, such that they do not appear to propagate further as the BBR beam is loaded.

**Damage hypothesis:** Traditional thermal cracking theory assumes that asphalt concrete shrinks upon cooling. Since the pavement is restrained in the the longitudinal direction, mixture shrinkage creates tensile stresses that result in transverse thermal cracks. The Thermal Stress Restrained Specimen Test (TSRST) mimics this failure in the laboratory. However, small BBR specimens are not restrained as they cool in the bath. Tensile stresses must develop between

aggregate and binder even when the specimen is not restrained. The coefficient of thermal expansion for the asphalt mixture is no longer important. One must consider the coefficients of thermal expansion for binder and aggregate as two separate functions. Micromechanics can then be used to analyze stress development caused by differential shrinkage between the two phases. This hypothesis fits well with field observations that small, unconfined blocks of aged asphalt concrete continue to break into smaller chunks, even on airport runway pavements that have been abandoned for twenty years.

## 5 Conclusions

Black Space Diagrams offer a means to combine the rheological properties of an unaged bitumen with oxidation-induced changes to predict when binder embrittlement might lead to block cracking. This approach offers a convenient method to compare age-related damage parameters, such as Glover-Rowe, R-value, and  $G^* \times \sin\delta$ , to lab and field data. Identified ties between failure strain in tension (ductility) and rheology are also intriguing.

The mixture phase of this study asked the question, “Can BBR tests on mixture specimens predict the onset of cracking?” For all three unmodified binders used in the single mix design tested here, microdamage thought to be associated with block cracking became significant as the stiffness of the aged mixture approached 20,000 MPa and  $m$ -value approached 0.13, regardless of the binder grade or the test temperature. Because aggregate has such a strong influence on mixture stiffness, this study must be expanded to other mixes and modified binders before significant conclusions can be drawn regarding failure limits. However, by analogy to the Glover/Rowe parameter evaluated in the binder phase of this study, a preferred solution would combine stiffness and  $m$ -value to create a damage region in Black Space (or a plot of  $S$  vs  $m$ -value). A field validation study evaluating pavements with varying cracking severity is now underway to refine conclusions that damage zones plotted on Black Diagrams can predict approaching pavement damage before visible cracks form.

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# Top-Down Cracking Prediction Tool for Hot Mix Asphalt Pavements

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**Abstract.** This paper presents an analysis tool for predicting top-down cracking (TDC) of hot-mix asphalt (HMA) pavements. TDC is known to involve a complicated set of interactive mechanisms, perhaps more so than other HMA distresses. Such complexity makes it difficult to predict TDC reliably using conventional material models and analysis tools. Over the years, the viscoelastoplastic continuum damage (VEPCD) model has been improved to better understand and predict the behavior of asphalt concrete materials. The ability of the VEPCD model to accurately capture various critical phenomena has been demonstrated. For fatigue cracking evaluation of pavement structures, the viscoelastic continuum damage (VECD) model has been incorporated into a finite element code as VECD-FEP++. To use this code in the prediction of TDC requires the enhancement and incorporation of additional sub-models to account for the effects of aging, healing, thermal stress, viscoplasticity and mode of loading. The Enhanced Integrated Climatic Model (EICM) is also integrated into the framework. The flexible nature of the VECD-FEP++ modeling technique allows cracks to initiate and propagate wherever the fundamental material law suggests. As a result, much more realistic and accurate cracking simulations can be accomplished using the VECD-FEP++. To demonstrate the full capabilities of the VECD-FEP++, two example simulations were carried out, and the results indicate that the interactions among the sub-models and overall trends in terms of pavement behavior were reasonably captured. After proper calibration, this tool could provide quantitative predictions of the extent and severity of TDC.

## 1 Introduction

The top-down cracking (TDC) is known to involve a complicated set of interactive mechanisms, perhaps more so than other hot-mix asphalt (HMA) distresses. Such complexity makes it difficult to predict TDC reliably using conventional material models and analysis tools [1]. Over the years, the viscoelastoplastic continuum damage (VEPCD) model has been improved to better understand and predict the behavior of asphalt concrete materials [2]. The ability of the VEPCD model to accurately capture various critical phenomena has been demonstrated. For fatigue cracking evaluation of pavement structures, the viscoelastic continuum damage



(VECD) model has been incorporated into a finite element code as VECD-FEP++, which is formulated for the axisymmetric analysis [3, 4]. To use this code in the prediction of TDC requires the enhancement and incorporation of additional sub-models to account for the effects of aging, healing, thermal stress, viscoplasticity and mode of loading. The Enhanced Integrated Climatic Model (EICM, [5]) is also integrated into the framework. In the VECD-FEP++, the damage is calculated for each element based on its state of stress, temperature, loading rate, and boundary conditions. Therefore, it is not necessary to assume a priori the location of distress initiation, nor the path of distress evolution. Not having to make such assumptions is a feature of the VECD-FEP++ that is essential in modeling TDC in various HMA pavements. The flexible nature of the VECD-FEP++ modeling technique allows cracks to initiate and propagate wherever the fundamental material law suggests. As a result, much more realistic and accurate cracking simulations can be accomplished using the VECD-FEP++. In this paper, the advanced tool to predict the TDC will be presented with a brief description of the key components and by running the example simulations, the capability of VECD-FEP++ will be demonstrated.

## 2 Model Framework

The overall framework guiding the VECD-FEP++ analysis is shown in Figure 1. The analysis is divided into five sub-modules: the input module, the material properties sub-models, the analytical sub-models, the performance prediction module and the output module. These modules provide an analytical/computational method for identifying the location and time of crack initiation in the pavement structure. A complete review of the all models and modules is beyond the scope of this paper; however, interested readers are directed to [6, 7] for a more thorough review and for citations to additional resources.

### 2.1 *Input Module*

Preprocessors have been developed to facilitate easy and rapid analysis of pavement systems using the FEP++. Specifically, the preprocessor helps in the rapid development of input models for analysis and also helps in making consistent changes for repeated analysis. This tool is ANSI-compliant and developed with portable libraries, thus making it easy to transfer to other platforms.

### 2.2 *Material Property Sub-Models*

*Linear viscoelastic (LVE) model.* Viscoelastic materials exhibit time and temperature dependence, meaning that the material response is not only a function of the current input, but the entire input history. By contrast, the response of an elastic material is dependent only on the current input. For the uniaxial loading considered in this research, the non-aging, LVE constitutive relationships are expressed in the convolution integral form, as shown in Equations [1] and [2]:

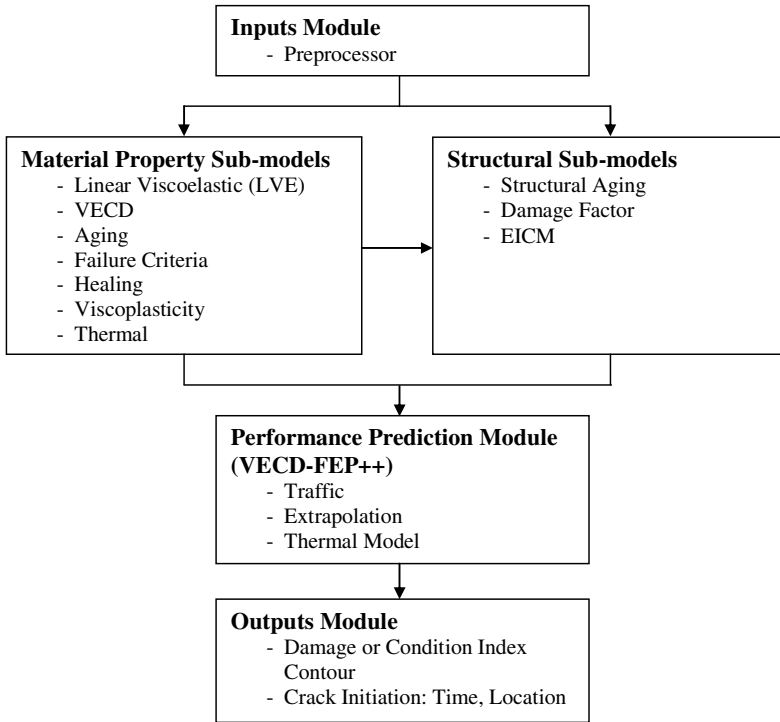


Fig. 1. VECD-FEP++ model framework

$$\sigma = \int_0^t E(t - \tau) \frac{d\varepsilon}{d\tau} d\tau \quad \text{and} \quad (1)$$

$$\varepsilon = \int_0^t D(t - \tau) \frac{d\sigma}{d\tau} d\tau, \quad (2)$$

where  $E(t)$  and  $D(t)$  are the relaxation modulus and creep compliance, respectively (the  $\tau$  term is the integration variable). The relaxation modulus and creep compliance are important material properties, along with the complex modulus, in LVE theory. Because these two properties are the responses for respective unit inputs, they are called unit response functions. These unit response functions can be obtained either by experimental tests performed in the LVE range or by converting another unit response function, as suggested by Park and Schapery[8].

*Viscoelastic continuum damage (VECD) model.* On the simplest level, continuum damage mechanics considers a damaged body with some stiffness as an undamaged body with a reduced stiffness. Continuum damage theories thus attempt to quantify two values: damage and effective stiffness. Further, these

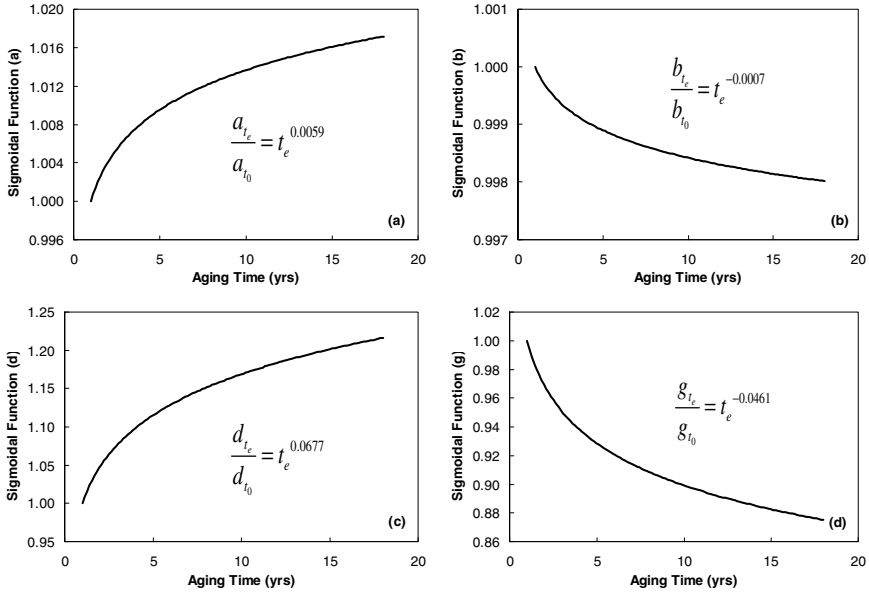
theories ignore specific microscale behaviors and, instead, characterize a material using macroscale observations, i.e., the net effect of microstructural changes on observable properties. In the macroscale, the most convenient method to assess the effective stiffness is to use the instantaneous secant modulus. As discussed in the subsequent sections, direct use of the stress-strain secant modulus in asphalt concrete (AC) is complicated by time dependence. Damage is oftentimes more difficult to quantify and generally relies on macroscale measurements combined with rigorous theoretical considerations. For the VECD model, Schapery's work potential theory [9], which is based on thermodynamic principles, is appropriate for the purpose of quantifying damage. Within Schapery's theory, damage is quantified by an internal state variable ( $\zeta$ ) that accounts for microstructural changes in the material.

*Aging model.* The VECD constitutive model is based on the assumption that the material is a non-aging system. However, the aging of binder is well recognized as a contributing factor to the TDC of asphalt pavement. To incorporate aging effects into the current VECD model, significant experimental and analytical work has been done. A complete discussion of such work is given elsewhere [10]. The approach adopted in this study is to subject the asphalt mixtures to various aging conditions and then measure the physical properties of the aged mixtures. SHRP methods [11] for the laboratory aging of asphalt concrete specimen was utilized and the dynamic modulus test, the direct tension monotonic test, fatigue test were performed to characterize the aged asphalt mixture. To incorporate the effects of aging into the LVE and VECD model, all coefficients of LVE and VECD model for aged mixtures were related to the aging time suggested by SHRP for the lab-to-field aging times [11]. Figure 2 presents the relationship between the sigmoidal coefficients of LVE model and aging time as an example. From the equations in the figure, it can be observed that the models are formulated using the ratio of the aged values to the original (un-aged) values. This normalized formulation is chosen so that the final function can be applied universally to other mixtures to simulate aging effects.

### 2.3 Structural Sub-Models

To predict the pavement performance, the material sub-models must be converted to, or implemented into, structural models to consider the different structures, boundary conditions, climate conditions, etc.

The variation of temperature in a pavement has two effects: a change in stiffness of the AC and a change in the thermal stress due to thermal expansion of the material. Thermal stress is generated in the pavement depending on the boundary conditions. These two effects of temperature have been implemented in the FEP++. The actual temperature variation that is used for the pavement performance prediction is generated from the EICM. Temperature profiles generated from the EICM are input directly into the FEP++ preprocessor.



**Fig. 2.** Relationship of sigmoidal function coefficients to aging time

*Structural Aging model.* The time scale used for the material level aging model corresponds physically only to that used for the top layer of a real pavement cross-section. To apply this model to other depths, the age of each sublayer relative to that of the surface must be found. This goal is achieved by coupling the principles of the Global Aging System (GAS), first proposed by Mirza and Witczak [12], with an effective time concept. The GAS model predicts the viscosity of the asphalt binder as a function of depth, mean annual air temperature (MAAT) representing the effect of geographical location, and rolling thin film oven (RTFO) binder viscosity. The effective time is determined by finding, for some physical time and depth, the time that gives the same viscosity for the binder at the pavement surface. A flow chart of the structural aging model, including the equiviscosity concept as well as the plot of effective time versus depth, is shown in Figure 3. A ten-year-old pavement can serve as an example of the effective time concept whereby after ten years of service, the surface layer has aged ten years, but the material at a depth of three inches may behave as the surface layer behaved when the pavement was only four years old. In this example then, the effective time of the sub-layer three inches from the surface ten years after construction is four years. To compute the material properties of this sub-layer at year ten, material aging models (described in the previous section) can be used to find the value of the coefficients at four years.

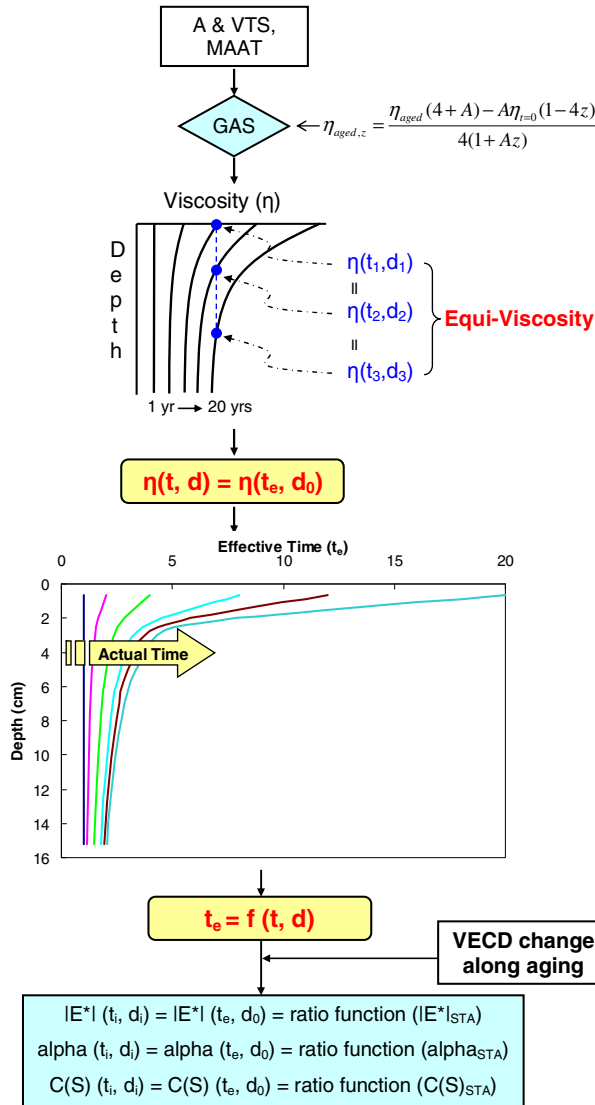


Fig. 3. Structural aging model conceptual map

### 2.4 Performance Prediction Module

The performance of a pavement can be characterized by predicting the damage accumulation in a pavement under the realistic conditions. In this research, the VECD-FEP++ was utilized as a mean of predicting the pavement performance. To integrate the effects of variables that are important in TDC behavior, the sub-models presented in Figure 1 have been incorporated into the existing VECD-FEP++ with the extrapolation method.

The FEP++ uses an extrapolation scheme that can significantly reduce the running time while still capturing the essential characteristics. The current scheme computes the damage caused by load and thermal variations at representative times in a day. These data are then extrapolated using a nonlinear scheme to obtain the total damage accumulation in a given month. This damage is then applied to the pavement as the initial condition for the next month’s simulation. This process is continued for the entire simulation period.

### 2.5 Outputs Module

The output module consists of the tools and techniques necessary to view and interpret the VECD-FEP++ performance predictions. It creates a single file that can be opened, processed, and manipulated to view visual interpretations (contours) of the predicted damage, stress distribution, or other quantities of interest. This file can also be processed to extract different indices to quantify the visual observations. The example of contour plots is shown in Table 1.

## 3 Pavement Simulation

An example simulation of the FEP++ using the VECD model and all accompanying analytical sub-models was carried out to demonstrate the capabilities of the modeling approach. Two pavement structures were investigated as shown in Figure 4: thin (127 mm or 5 in.) and thick (304.8 mm or 12 in.). The Control asphalt concrete mixture used in the FHWA ALF research was selected for the simulation because all the necessary model data for both mixes were available. The base layer for the thin pavement structure was 203 mm (8 in.) thick, and the subgrade for both structures was considered to be semi-infinite. The unbound material layers were assumed to be linear elastic. Base layer modulus was 276 MPa (40 ksi) and subgrade modulus was 83 MPa (12 ksi). The pavement temperature was generated from the EICM for Washington D.C. A moving load was simulated by applying a 0.1 second haversine loading pulse with a magnitude of 40 kN (9 kip) and contact pressure of 689 kPa (100 psi) on the pavement surface, followed by 62.2 seconds or 622 seconds of rest for thin and thick pavement respectively.

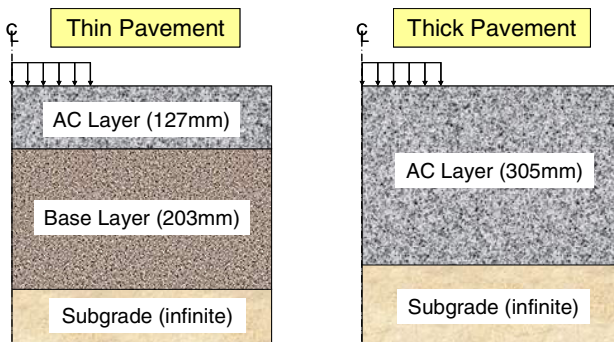
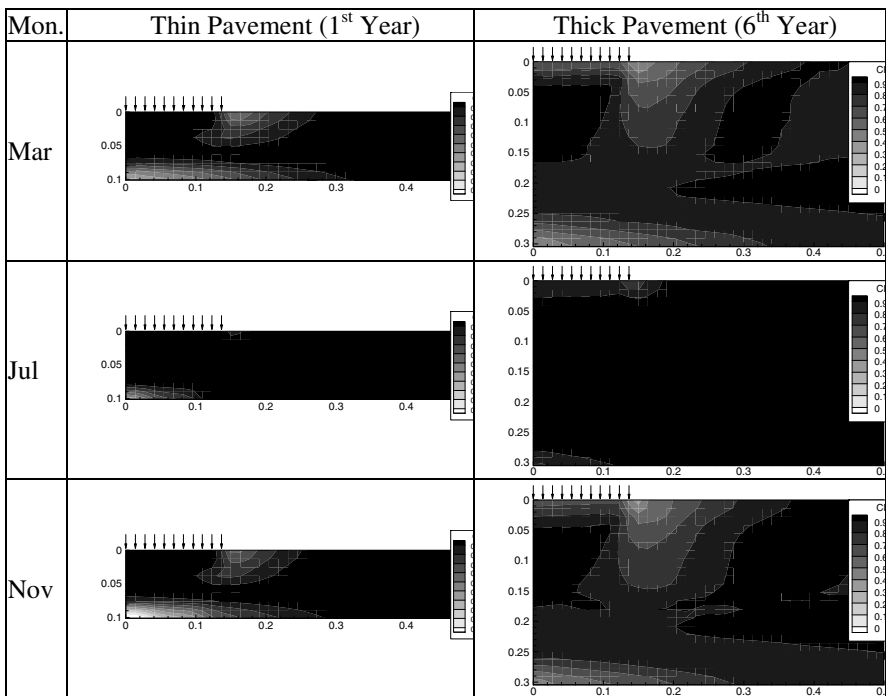


Fig. 4. Pavement structures for FEP++ simulation

Results from the VECD-FEP++ simulations are shown in Table 1 for a thin asphalt concrete pavement and for a thick asphalt concrete pavement. It is seen that the output takes the form of contours for normalized pseudo stiffness. The normalization process transforms the pseudo stiffness such that it is equal to zero at failure and is equal to one in the fully intact condition. In these contours, the areas with a gray-scale value closest to white correspond to heavily damaged areas, i.e., where the normalized pseudo stiffness values are close to zero. Note that only the asphalt concrete layers are shown, but the substructure for the simulations represents typical pavements.

Simulations began in October and were performed until any element reached a normalized pseudo stiffness of 0. As a result, the thin pavement was failed after 14 months while the thick pavement was failed after 75 months. The thin pavement was failed at the bottom and center of the AC layer while the thick pavement was failed at the top and wheel load edge of the AC layer. Such results follow the field observations, i.e., thin pavement tends to show bottom-up cracking, whereas thick pavement tends to show TDC [13]. It was also observed from examining the damage evolution between the months of Mar and July that pavement healing may constitute a major component of a pavement’s total fatigue performance.

**Table 1.** Contours for Example Simulations



## 4 Summary

An enhanced VECD-FEP++ model for predicting the TDC in HMA layers has been established in this research. This effort was accomplished by developing, modifying, and/or investigating several important material property models, such as an aging model, healing model, failure criteria, viscoplasticity, and thermal stress, and then finally incorporating these sub-models into the existing VECD model. The material models were converted to and/or combined with the structural models. These sub-models were implemented into the VECD-FEP++, and an extrapolation method for TDC prediction was developed.

To demonstrate the full capabilities of the VECD-FEP++, two example simulations were carried out, and the results indicate that the interactions among the sub-models and overall trends in terms of pavement behavior were reasonably captured. After proper calibration, this tool could provide quantitative predictions of the extent and severity of TDC.

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# A Theoretical Investigation into the 4 Point Bending Test

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**Abstract.** In the Netherlands use is made of a mechanistic asphalt pavement design strategy. Asphalt stiffness and fatigue properties are important inputs for this design approach. In the Netherlands these properties are traditionally determined by 4 point bending tests (4pb).

In this paper the accuracy of that test is discussed on the basis of Finite Element modelling. It is shown that application of the EN-standard results in an underestimation of the stiffness by 3.2% (at 7500 MPa) and an underestimation of the phase lag by 0.4° (lag=20° and 20 Hz.). It is shown that a thorough analysis of the 4pb may reduce these errors to a stiffness that is underestimated by 0.88% and a phase lag which is overestimated by 0.1°.

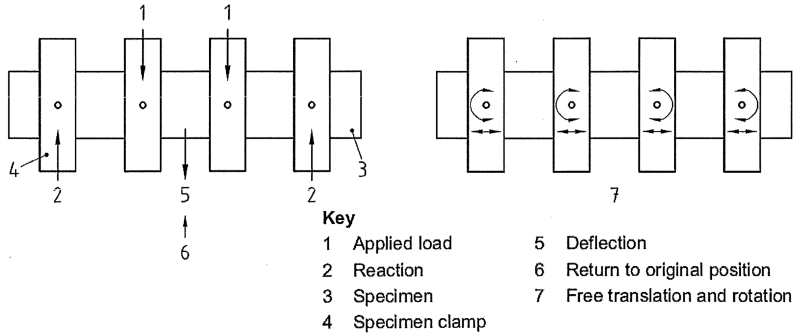
## 1 Introduction

The Netherlands have a long history in the mechanistic design of asphalt pavements. The chosen design route amongst others demand mechanical properties of asphalt concrete (AC) as input. Especially stiffness and fatigue properties are essential. Traditionally these properties are determined by use of the 4 point bending test (4pb). Today the 4pb is standardised by European norms [1, 2]. The norms describe that the 4pb demands that the specimen is clamped by the test device at four locations. All clamps should allow for free rotation and translation in the longitudinal direction. The outer clamps should be fixed to prevent vertical movement; the inner clamps are excited by vertical loading, see Figure 1.

Interpretation of test results is done on basis of the Euler-Bernoulli bending beam theory. In this theory a 3Dimensional bending beam is represented by a beam without height and width, i.e. a line or 1D beam. Resistance of the 1D beam to bending is dictated by the well known letter combination EI. Here E stands for the stiffness modulus and I reflects the moment of inertia of the beam cross section.

$$I = \frac{bh^3}{12} \quad (1)$$

Where. I: moment of inertia [mm<sup>4</sup>], b: beam width [mm], h: beam height [mm].



**Fig. 1.** Schematic representation of the 4pb as described in EN standards [2]

The previous suggests that the 4pb is a simple test that can be analysed on basis of a simple theory while results are of great value for mechanistic design purposes. However such a conclusion cannot be justified because of the following reasons.

First of all the true beam has to be grabbed by clamps. This locally introduces stress and strain into the beam material. These are not considered during test interpretation on basis of a 1D beam. However, these extra stresses and strains may well introduce (fatigue) damage or introduce local non-linear effects.

Secondly it is not possible to construct a 4pb test device that truly meets the conditions as depicted in Figure 1. One has to consider things like friction and play which may both vary through time due to wear and tear. Also deformation of set-up parts may affect test results.

Thirdly in the 4pb shear forces act on the beam in the area between the inner and the outer clamps. These forces act to deform the beam whereas these deformations are not considered in the prescribed test interpretation.

Fourthly the clamps limit the freedom of cross-section deformation. This implies that beam deformation close to the clamps cannot be described by the Euler-Bernoulli bending beam theory.

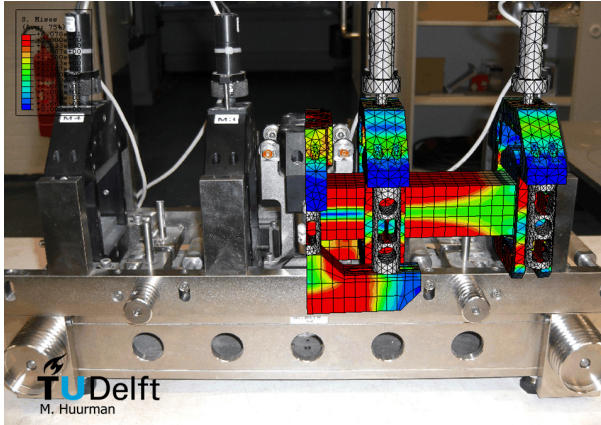
The Dutch history in mechanistic design combined with the obligation to type test led to a strong increase in availability of 4pb equipment in the Netherlands. At the moment at least 21 machines are available in the Netherlands of which 18 are produced by Zwick Roell.

From the above the following is concluded: 4pb is an important test for Dutch pavement design, The test appears simple but is complex in reality, The test is highly appreciated in the Netherlands and data is produced on daily basis, at least 18 machines are of the same type.

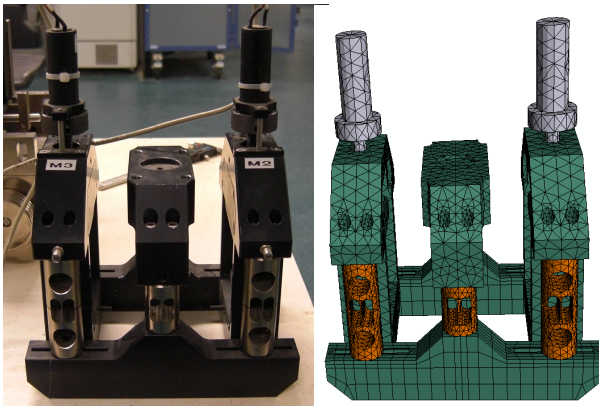
These conclusions triggered the authors to thoroughly investigate the accuracy of the 4pb device as a first step towards the possibilities of utilisation of the 4pb in scientific research. Hereto the most commonly used machine in the Netherlands is modelled in detail. Results of this work are discussed hereafter.

## 2 Four Point Bending Machine

The Zwick Roell machine is the starting point of this study. Figures 2 & 3 give some pictures of the device in combination with the FE model that was made.



**Fig. 2.** Overview of the 4pb device and its model representation



**Fig. 3.** Detail of model and set-up. By application of six elastic hinges the inner clamps are connected to the hydraulic actuator of which the seating is formed by a depression in the central bridge.

The machine measures the deflection in the middle of the beam. As shown in Figure 2 & 3 elastic hinges are applied to try and meet the boundary conditions as depicted in Figure 1. These hinges are not susceptible to play, slip and stick or

wear and tear. The most important characteristics of the 4pb considered here are the following:

Effective beam length,  $L= 420$  mm; Distance between inner clamps,  $l=140$  mm; Beam height,  $h=50$  mm; Beam width,  $b=50$  mm; Location of deflection measurement,  $x =210$  mm; Width of the clamps  $=10$  mm; Clamping force to follow viscous deformation  $=130$  N.

For FE modelling steel and aluminium are assigned the following properties.

**Table 1.** Properties of steel and aluminium

	E [MPa]	$\nu$ [-]	Specific mass [kg/m <sup>3</sup> ]
Aluminium	70000	0.25	2700
Steel	210000	0.2	7800

It is stated explicitly that the mesh refinement and time step size are based on a study into 4pb FE modelling issues discussed elsewhere [3].

### 3 Elastic Response

#### 3.1 Application of the Standard

Since AC is a visco-elastic material its stiffness is represented by a complex modulus,  $E^*$ . Due to its visco-elastic properties a phase lag,  $\phi$ , exists between stress and strain. The stiffness and phase lag of the tested material is determined by application of the following equations [1].

$$|E^*| = \sqrt{E_1^2 + E_2^2} \quad \text{and} \quad \phi = \arctan\left(\frac{E_2}{E_1}\right) \quad (2)$$

$$E_1 = \gamma \cdot \left( \frac{F}{Z} \cdot \cos(\phi) \cdot \frac{\mu}{10^3} \cdot \omega^2 \right) \quad (3)$$

$$E_2 = \gamma \cdot \left( \frac{F}{Z} \cdot \sin(\phi) \right) \quad (4)$$

Where.  $E^*$ : Complex modulus,  $E_1$ : Real component of complex modulus [MPa],  $E_2$ : Imaginary component of complex modulus [MPa],  $\phi$ : Phase lag between force and displacement [degr.],  $\gamma$ : Geometrical factor [mm<sup>-1</sup>],  $F$ : Force applied to the beam [N],  $Z$ : Beam deflection at mid span [mm],  $\mu$ : Mass factor [gr],  $\omega$ : Frequency of applied force and displacement [Hz]

The factors  $\gamma$  and  $\mu$  are determined as follows [1]:

$$R(x) = \frac{12L}{A} \cdot \left[ \frac{1}{3x/L - 3x^2/L^2 - A^2/L^2} \right] \quad \text{and} \quad A = \frac{L-l}{2} \quad (5)$$

$$\gamma = \frac{L^2 A}{bh^3} \cdot \left( \frac{3}{4} - \frac{A^2}{L^2} \right) \tag{6}$$

$$\mu = R(x) \left( \frac{M}{\pi^4} + \frac{m}{R(A)} \right) \tag{7}$$

Where. L: effective length of the beam [mm], l: distance between inner clamps [mm], x: location where deflection is measured [mm], b: beam width [mm], h: beam height [mm], M: mass of the tested beam [gr], m: mass of moving machine parts [gr].

To check whether the listed equations lead to accurate test interpretation first geometrical non-linear static elastic simulations are done. In these calculations the phase lag,  $\phi$ , is nil per definition. As a result  $E_2$  remains nil, also effects of inertia remain absent. As a result equations 2, 3 and 4 reduce to the following.

$$|E^*| = \sqrt{E_1^2 + 0^2} \quad \text{or} \quad |E^*| = E_1 \quad \text{with} \quad E_1 = \gamma \cdot \left( \frac{F}{Z} \right) \tag{8}$$

In the simulations the beam material is assigned a stiffness of 7500 MPa and the Poisson’s ratio is set to 0.35. Application of the above equations on simulation results leads to an AC stiffness that varies from 7260.75 MPa at very small beam excitement to 7260.72 MPa at 100  $\mu\text{m/m}$  strain. From these results it is concluded that geometrical non-linear effects play a very limited role only. Therefore these effects are neglected from hereon. It is furthermore concluded that the back calculated 4pb stiffness includes an error of -3.19% when the standard is applied to a perfectly well functioning machine without further correction.

In the sections hereafter effort is made to explain the indicated error in 4pb back calculated stiffness. The most obvious reasons for the introduction of errors are addressed.

### 3.2 Shear Effect

The beam in the 4pb is subjected to shear in the area between the inner and the outer clamps. The shear force to which the beam is subjected in this area equals  $F/2$ , i.e. half the applied total load. Shear deformation is calculated by the following equation.

$$Z_s = \frac{F/2 \cdot A}{G \times 0.85bh} \quad \text{with} \quad G = E/2 \cdot (1+\nu) \tag{9}$$

The shear deformation effect can be worked into the geometrical factor  $\gamma$  which will then become  $\gamma^*$ .

$$\gamma^* = \gamma + \frac{(1+\nu) \cdot A}{0.85b \cdot h} \quad \text{or} \quad \gamma^* = \frac{L^2 A}{bh^3} \cdot \left( \frac{3}{4} - \frac{A^2}{L^2} \right) + \frac{(1+\nu) \cdot A}{0.85b \cdot h} \tag{10}$$

Where.  $Z_s$ : Shear deflection of central part of the beam [mm],  $G$ : shear modulus [Mpa],  $\nu$ : Poisson's ratio [-] (mostly assumed 0.35 for AC mixtures).  $\gamma^*$ : Corrected geometrical factor [mm<sup>-1</sup>]

By correction for shear the 4pb back calculated stiffness increases to 7516.54 MPa at a Poisson's ratio of 0.35. This means that the error now becomes +0.22%.

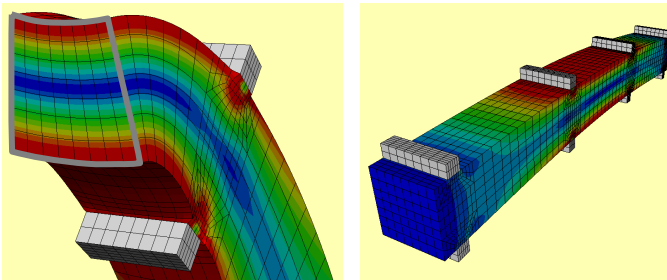
### 3.3 Clamp Effects

The 4pb specimen is clamped between 10 mm wide metal clamps. These clamps locally restrain the beam's freedom of cross-section deformation. This effect was earlier discussed in [3], the magnitude of this effect is investigated using a model of an idealised 4pb. In this idealised 4pb the boundary conditions as depicted in Figure 1 are fully met. The specimen is excited by forces introduced via rigid 10 mm wide clamps. Figure 4 gives a visual impression of the model.

By comparison of the results of the idealised 4pb model with results of the bending beam theory it was found that the restraints at the clamps lead to an increase of 4pb bending stiffness. This increase is dependant on the Poisson's ratio. Table 2 gives the overestimation of the stiffness by application of the bending beam theory. Correction with the determined overestimation compensates for the clamp effect.

**Table 2.** Due to clamp restrained cross-section deformation the 4pb stiffness should be reduced with a factor depending on the Poisson's ratio

Poisson's ratio	0.0	0.15	0.25	0.35	0.45
Clamp correction, cc, to work on shear corrected stiffness	1.29%	1.78%	2.33%	3.07%	4.02%



**Fig. 4.** Left: Due to bending and the effect of the Poisson's ratio the cross-section of the beam deforms, at the inner clamps this deformation is restrained (deformation: 250x). Right: Model overview (deformation: 10x).

As stated the correction for the clamp effect should work on the bending part of the beam stiffness only. This leads to the following modification of  $\gamma^*$ .

$$\gamma^* = \left( \frac{L^2 A}{bh^3} \cdot \left( \frac{3}{4} - \frac{A^2}{L^2} \right) + (1+\nu) \cdot \frac{A}{0.85b \cdot h} \right) \cdot (1-cc) \quad (11)$$

Where. Cc: correction factor for clamp effect [-]

Due to the clamp effect at  $\nu=0.35$  the 4pb beam stiffness is reduced to 7285.79 MPa, implying that the error in the 4pb stiffness is increased to -2.86%.

### 3.4 Clamp Movements

During the analysis of 4pb results it is assumed that the outer clamps do not allow for vertical movement. However, the model indicates that the centre line of the AC beam moves up and down at the outer clamps. In the case considered here these movements in equal 7.86581e-06 mm/N applied force. These deformations effectively reduce the central deflection, Z, and should thus not be included in the 4pb back calculation procedure. For this reason Z needs to be corrected for clamp deformation.

$$Z_c = Z_m - S_s \cdot F \quad (12)$$

Where.  $Z_c$ : Corrected beam deflection [mm],  $Z_m$ : Measured beam deflection [mm],  $S_s$ : support stiffness [mm/N], i.e. 7.86581e-06 mm/N.

Taking the end support deformation into account the 4pb back calculated stiffness becomes 7454.43 MPa, so reducing the mistake in the back calculation to -0.61%.

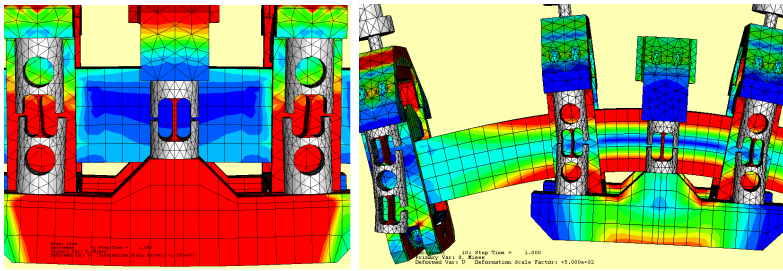
### 3.5 Hinge stiffness

Figure 1 depicts the boundary conditions that need to be matched by 4pb machines. It should be clear that it is very hard, if not impossible, to meet these boundary conditions. In the machine considered here effort is made to meet the prescribed conditions by use of elastic hinges. These hinges allow for limited clamp rotations and limited horizontal clamp translations. The nature of the elastic hinges is such that their behaviour is constant, i.e. not affected by wear & tear, slip-stick and maintenance such as regular cleaning or lubrication. Furthermore the nature of the hinges guarantees a set-up which is absolutely free of play. A disadvantage of the hinges is that they have a very small resistance to deformation. Figure 5 gives an impression of the elastic hinges in action.

As the clamping force is applied material is squeezed away from the clamped area. This slightly lengthens the beam resulting in clamp translation, see Figure 5 left. However, it should be clear that the elastic hinges mainly need to allow for rotation. By an analysis of the elastic hinges that is beyond the scope of this paper it was determined that the hinges have a rotational stiffness of 14400 Nmm.

From the bending beam theory it is known that rotations at the clamps are dependant on the deflection due to bending in the centre of the beam.





**Fig. 5.** Left: Clamps allowing for clamp translation (deformation factor: 1250x). Right: Elastic hinges at 100  $\mu\text{m}/\text{m}$  imposed strain (deformation factor: 500x).

$$R_i = c_i \cdot Z_b = \text{ and } R_o = c_o \cdot Z_b \tag{13}$$

Where.  $Z_b$ : Bending deflection at the centre of the beam [mm],  $R_i$ : Rotation of inner clamps [-],  $R_o$ : Rotation of outer clamps [-],  $c_i$ : constant for determination of inner clamp rotation= 0.003727 [mm-1],  $c_o$ : constant for determination of outer clamp rotation 0.025455 [mm-1]

Knowing the rotational stiffness of the elastic hinges equation (13) translates into the following equation for moments applied at the clamps. Please note that two sets of two flexible elements in series are applied to hold the outer clamps.

$$M_i = c_i \cdot s_i \cdot Z_b \quad \text{and} \quad M_o = c_o \cdot s_o \cdot Z_b \quad \text{so that} \quad M_t = (c_i \cdot s_i + c_o \cdot s_o) \cdot Z_b \tag{14}$$

Where.  $M_i$  and  $M_o$ : moment acting on inner and outer clamps respectively [Nmm],  $M_t$ : moment acting on the central part of the beam [Nmm],  $s_i$ : rotational stiffness of inner clamps= 28800 [Nmm],  $s_o$ : rotational stiffness of outer clamps= 14400 [Nmm]

The moment acting in the centre of the beam,  $\frac{1}{2} \cdot F_b \cdot A$ , is reduced with  $M_t$  due to the rotational stiffness of the clamps. This acts to reduce the 4pb back calculated stiffness. When applying the equations from the standard this may be obtained by a correction of F.

$$\frac{1}{2} \cdot F_b \cdot A = \frac{1}{2} \cdot F_m \cdot A - (c_i \cdot s_i + c_o \cdot s_o) \cdot Z_b \quad \text{or} \quad F_b = F_m - 2 \cdot (c_i \cdot s_i + c_o \cdot s_o) \cdot \frac{Z_b}{A} \tag{15}$$

Where.  $F_b$ : Force applied to bend the beam [N],  $F_m$ : Force measured by the 4pb machine [N]

Taking the effects of clamp stiffness into account 4pb back calculated stiffness becomes 7437.28 MPa, so reducing the mistake in the back calculation to -0.84%.

### 3.6 Conclusions

Non-linear geometrical effects in the 4pb considered here may be neglected.

When applying the EN standard the 4pb determines a stiffness that is 3.19% too low. The main reasons for this error are the following.

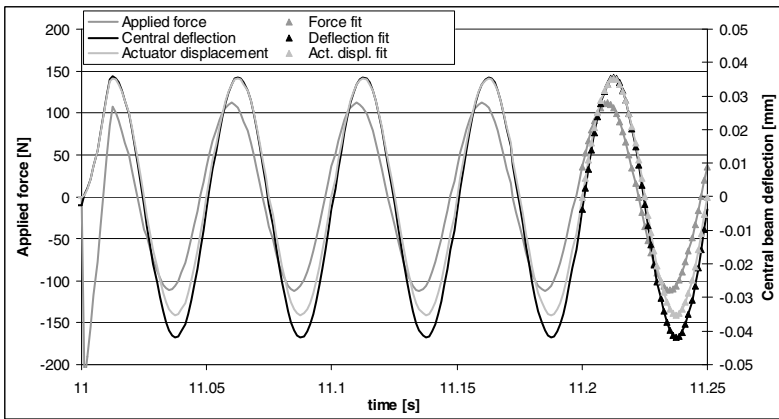
Neglecting shear deformation:	underestimation of E by 3.52%
Neglecting clamp effects on cross section:	overestimation of E by 3.07%
Neglecting clamp movements:	underestimation of E by 2.31%
Neglecting hinge stiffness:	overestimation of E by 0.23%

Taking the above into account the accuracy of the back calculated stiffness will increase dramatically, theoretically leading to an unexplained error of 0.84%.

## 4 Visco-Elastic Response

### 4.1 Simulation

To investigate the accuracy of the 4pb with respect to phase lag determination a visco-elastic simulation was done on basis of an asphalt with a stiffness of 7500 MPa and a lag of 20° at 20 Hz subjected to 20 Hz loading. In the simulation the clamping force of 130 N per clamp was applied in 10 seconds. After a 1 sec rest period the 20 Hz displacement controlled loading was applied. Figure 6 gives an impression of the results obtained after the clamping procedure was completed. The figure indicates that sinusoidal functions are fitted to the response signals from the last load cycle applied in the simulated test. The input amplitude of actuator displacement was 0.03532 mm. This analysis leads to the following results.



**Fig. 6.** Obtained force and beam deflection signals

**Table 3.** Results of a simulated test at 20 Hz on asphalt with a stiffness of 7500 MPa and a 20° lag

	Actuator displacement	Central deflection	Applied force
Amplitude	0.03531 mm	0.03883 mm	111.77 N
Phase lag	18.67 degr.	19.61 degr.	0.00 degr.

When applying the EN standard the listed results translate into a material with a complex modulus of 7266.6 MPa and a phase lag of 19.6°. The error in obtained stiffness is thus - 3.11% and the error in phase lag is -0.4°.

Hereafter effort is made to correct these results for the shear effect, clamp effect, clamp movements and hinge stiffness.

## 4.2 Shear Effect and Clamp Effects

As discussed earlier neglecting the effects of shear results in an underestimation of stiffness by 3.52%. Opposite to this the clamps act to restrain cross section deformation resulting in an overestimation of stiffness by 3.07%. Following the procedures discussed earlier the back calculated stiffness  $E^*$  becomes 7291.64 MPa resulting in an error of -2.78% when correcting for these effects. The phase lag is not influenced by this correction and the error in the lag remains 0.4°.

## 4.3 Clamp Movements

It was determined earlier that the vertical deformation in the outer clamps equals 7.86581-06 mm per N applied force. These deformations effectively reduce the central deflection,  $Z$ , and should thus not be included in the 4pb back calculation procedure. For this reason  $Z$  needs to be corrected for clamp deformation, see equation 12. Since the clamps are made of elastic materials this correction should act on the elastic (i.e. non-delayed) deformation only. With this equation 12 translates into.

$$Z_{ce} = Z_{me} - S_s F \quad (16)$$

So that.

$$Z_c = \sqrt{Z_{ce}^2 + Z_{mv}^2} \quad \text{and} \quad \delta_c = \text{atg} \left( \frac{Z_{mv}}{Z_{ce}} \right) \quad (17)$$

Where.  $Z_c$ : Corrected beam deflection [mm],  $Z_{ce}$ : Corrected beam deflection at maximum F [mm],  $Z_{me}$ : Measured beam deflection at maximum F [mm],  $Z_{mv}$ : Measured beam deflection at F=0 N [mm],  $\delta_c$ : corrected phase lag [°],  $S_s$ : support stiffness [mm/N], i.e. 7.86581e-06 mm/N.

With the above the 4pb back calculated stiffness becomes 7450.3 MPa, so reducing the mistake in the stiffness back calculation to -0.66%. The correction also affects the phase lag which now becomes 20.05° leading to an error of 0.05°.

## 4.4 Hinge Stiffness

As discussed the hinges have minor resistance against rotation. As explained this implies that a fraction of the applied force is used to rotate the clamps and not so much for actually bending the beam. This effectively reduces the force for bending and thus reduces the back calculated beam stiffness.

Equation 15 indicates the reduction of force applied to the beam at maximum deflection. To take this effect into account the following equations are applied.

$$F_{Z_{max}} = F_m \cdot \cos(\delta_c) - 2 \cdot (c_i \cdot s_i + c_o \cdot s_o) \cdot \frac{Z_c}{A} \quad \text{and} \quad F_{Z=0} = F_m \cdot \sin(\delta_c) \tag{18}$$

$$F_c = \sqrt{F_{Z_{max}}^2 + F_{Z=0}^2} \quad \text{and} \quad \delta_c = \text{atg} \left( \frac{F_{Z=0}}{F_{Z_{max}}} \right) \tag{19}$$

Where.  $F_{Z_{max}}$ : Force applied at maximum beam deflection corrected for clamp deformation [N],  $F_m$ : Amplitude of force applied by the 4pb machine [N],  $F_{Z=0}$ : Force applied at zero beam deflection corrected for clamp deformation [N],  $\delta_c$ : phase lag corrected for clamp deformation [°],  $Z_c$ : amplitude of beam deflection corrected for shear, and clamps deformation [mm],  $F_c$ : Amplitude of applied force corrected for clamp rotation stiffness [N].

When this reduction is taken into account the back calculated beam stiffness becomes 7434.2 MPa resulting in an error of -0.88%. The phase lag is also affected by this correction and becomes 20.1° leading to an error of -0.1°.

### 4.5 Conclusions

When applying the EN standard the 4pb as discussed determines a stiffness that is 3.11% too low. The main reasons for this error are the following.

- Neglecting shear deformation: underestimation of E by 3.52%
- Neglecting clamp effects on cross section: overestimation of E by 3.07%
- Neglecting clamp movements: underestimation of E by 2.18%
- Neglecting hinge stiffness: overestimation of E by 0.22%

Taking the above into account the accuracy of the back calculated stiffness will increase dramatically, theoretically leading to an unexplained error of 0.88%.

The phase lag that is determined is 0.39° too small. The main reasons for this error are the following.

- Neglecting clamp stiffness: underestimation of lag by 0.44°
- Neglecting hinge stiffness: underestimation of lag by 0.05°

Taking the above into account the accuracy of the back calculated lag will increase, theoretically leading to an unexplained error of 0.1°.

## 5 Conclusions

From the previous, taking into account the considered material ( $E^*=7500$  MPa, lag at 20 Hz=20°), it is concluded that the 4pb test executed at 20 Hz on an ideal machine leads to two sources of error which both affect stiffness and not phase lag.

- 1) Neglecting shear deformation between the outer and inner clamps, resulting in a reduction of stiffness with 3.52%.
- 2) Neglecting the clamp restrained inner cross-sections, resulting in an increase of stiffness with 3.07%.

Other sources of error are found in the 4pb machine considered here. These sources of error are related to the built quality of the machine and are the following.

- 1) Neglecting the hinge stiffness will lead to an increase of stiffness with 0.22% and a reduction of lag by  $0.05^\circ$  (at  $20^\circ$  material lag)
- 2) Neglecting the vertical movements of the outer clams results in a reduction of stiffness with 2.18% and a reduction of lag with  $0.44^\circ$ .

Taking into account the above a source of unknown error remains. This source results in an underestimation of stiffness with 0.84% to 0.88% (elastic vs -elastic simulation) and an overestimation of lag by  $0.1^\circ$ .

It is stated explicitly that the discussed 4pb machine compensates for errors as discussed via calibration. However, it should be clear that the accuracy of any machine increases with built quality reducing the need for correction of data. The built quality of the machine discussed here is more than adequate since errors that follow from built quality remain smaller than the intrinsic errors in the 4pb test itself.

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# Multiscale Micromechanical Lattice Modeling of Cracking in Asphalt Concrete

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**Abstract.** A multiscale micromechanical lattice modeling technique is proposed from amongst several computational methods for predicting the performance of hot mix asphalt (HMA) under service loads. Although the lattice model has shown promise, many important details need to be addressed to ensure realistic predictions. This paper presents enhancements to the original model that have been developed over the past two years. These revisions are geared towards capturing the material behavior more accurately and efficiently than was possible with the original lattice model. Among the new enhancements that are presented in this paper are the incorporation of viscoelastic fracture with the help of the work potential-based viscoelastic continuum damage model, computationally efficient simulations under a large number of load cycles, and the incorporation of air voids to capture the reduction in stiffness and strength of the material. Efficiency of the model is improved further by incorporating novel algorithms.

## 1 Introduction

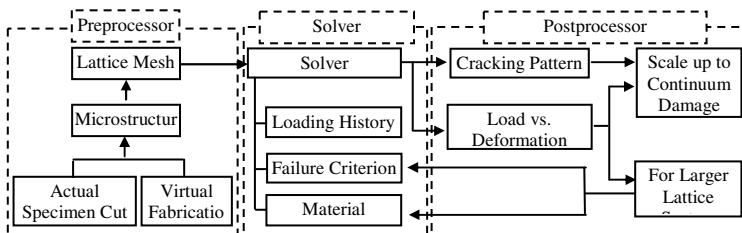
It is widely known that the cracking performance of hot mix asphalt (HMA) depends on the mechanical properties of its constituents, namely asphalt mastic and aggregate. Such dependence is extremely complex, and physical experimentation often is used to characterize the performance of HMA. However, considering the number and variety of possible mixtures, performing these experiments is extremely expensive and time consuming. The scope of the physical experiments can be reduced with the help of computational models that can reasonably predict the cracking behavior of a mixture using the mechanical properties of its constituent materials. With this goal in mind, Zhen et al. [1] developed a micromechanical lattice modeling technique that involves discretizing the continuum as an assembly of lattice links, with damage and cracking simulated by sequentially breaking the links [1]. The choice of this average micromechanical modeling approach is justified by the fact that asphalt concrete is inherently an imperfect material, and detailed micromechanical modeling is not warranted, given that it would be prohibitively expensive.

To further reduce computational costs, this research effort embeds the lattice modeling procedure into a multiscale framework that can link the modeling from mastic scale to mixture scale in a step-wise fashion. Additionally, a virtual fabrication technique is developed to generate a two-dimensional microstructure to reduce the need for physical fabrication and its associated costs. The first part of this paper is a general introduction to the original lattice modeling framework. The limitations of the original version are then discussed, and the new enhancements to the model are explained briefly. The effect of each enhancement is illustrated separately.

## 2 Methodology of Lattice Modeling

For many materials, HMA being no exception, the cracking phenomenon is driven by the nucleation, propagation, and coalescence of microcracks. This fact indicates that a micro-level simulation of this cracking phenomenon may lead to improved qualitative and quantitative understanding of cracking overall. Furthermore, because fracture mechanisms are related directly to the microstructure, a discrete micromechanical approach is an appealing method to provide valuable insights into the physical cracking process and the role of heterogeneity. One of the discrete approaches that allows a straightforward implementation of material heterogeneity at the microscopic level is lattice modeling [2].

The lattice modeling procedure analyzes either physically or virtually fabricated HMA specimens to capture the effects of the microstructure on mixture response and performance. The lattice model is comprised of three components: (1) a preprocessor that generates and converts the microstructure of a specimen into a lattice mesh, (2) a solver that analyzes the resulting lattice mesh, and (3) a postprocessor to analyze the simulation results and to average and upscale the results into a usable form at the macroscopic level or at a larger scale (figure 1).



**Fig. 1.** Framework of lattice modeling

The elements of each of the components are described briefly in the following sections.

## 2.1 Microstructure and Lattice Mesh Generation

Microstructure generation involves developing detailed representative microstructures from virtually fabricated specimens using geometrical and statistical concepts, such as inverse stereology [1]. Material microstructure is generated directly using a virtual fabrication technique instead of processing physically fabricated specimens, thus resulting in a substantial reduction in experimental costs and providing more flexibility to the analyst. The obtained microstructure is then modeled as a two-phase system, i.e., homogeneous binder (or mastic) with rigid aggregate particles that do not deform or crack. In this study, a random truss lattice, which is statistically homogeneous and isotropic, is used to simulate the mastic to eliminate any computational anisotropies that are characteristic of regular lattice networks. As shown in figure 2 (a), the generation of such a lattice begins with a square mesh called the *base mesh*. Each cell of the base mesh contains a single node whose exact location (deviation from the center) is determined based on the uniform probability distribution function. Once the nodes are generated, the lattice network is constructed by linking the nodes using Delaunay's triangulation (figure 2(b)) [1]. A random mesh with regular nodes is used to simulate the aggregate particles with the purpose of emphasizing their possible configuration (figure 2(c)). The lattice link size is primarily determined by the minimum aggregate size considered in the specific scale of the microstructure.

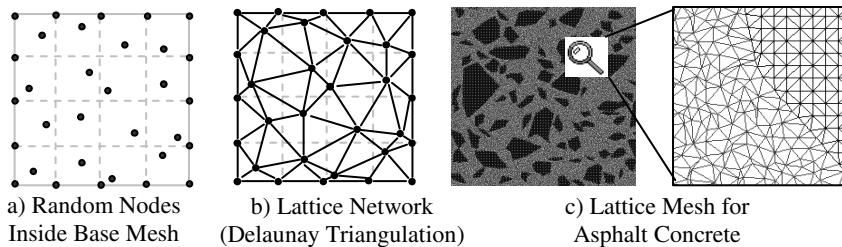


Fig. 2. Lattice representation of hot mix asphalt

## 2.2 Solver

The properties of each link in the resulting lattice network are chosen as the component material(s) that are underneath the link. The cross-sectional area of a lattice link for asphalt is approximated from the characteristic length (base cell size) of the lattice link [1]. The advantage of the lattice model is the convenience of introducing a failure criterion (damage parameter in the new implementation) for each link in bond-dominated materials. The choice of such a criterion determines the cracking behavior of the specimen. The brittle failure criterion (original implementation) is the simplest failure criterion by which each link fails if the stored



energy in the link exceeds the surface energy of the associated microcrack. Gradual softening of the links due to the applied damage on each link is another way to introduce damage to lattice links. Based on the above parameters, the modulus and load deformation curves of the specimen can be obtained.

### ***2.3 Methodology of Multiscale Micromechanical Lattice Modeling***

Because one of the goals of lattice modeling is to relate the component material properties to the mixture properties, it is desirable to capture the effect of even the finest aggregates. Such detailed modeling, although appearing straightforward at the outset, has significant practical limitations due to the computational costs involved. Fortunately, the computational costs can be reduced significantly with the help of the multiscale modeling approach. Essentially, the multiscale approach considers the effects of different-sized aggregate particles at different length scales. Such an approach reduces the computational costs significantly while capturing the mechanical phenomena at various length scales (figure 3).

The two-dimensional apparent aggregate gradation in a cut surface can be divided into a series of subregions based on different scale lengths of observation (i.e., aggregate size). The analysis starts with the virtual fabrication of a group of representative volumetric elements (RVEs) at the last (smallest) scale, scale  $n$ . Noting that the heterogeneity at scale  $n$  is ignored at the larger scale ' $n-1$ ', scale  $n$  RVEs can be regarded as homogeneous mastic in the analysis at scale  $n-1$ . The lattice analysis takes the modulus and surface energy values (replaced by damage parameters in the latest implementation) of the binder and the aggregate and calculates the effective stiffness and surface energy values of the RVEs at scale  $n$ . This calculation is accomplished by simulating the fracture test and uniaxial test for RVEs. The resulting stiffness and surface energy values (or damage parameters) from all the RVEs are statistically averaged to eliminate specimen-to-specimen variations due to the random nature of the microstructural generation. The processed mastic properties are, in turn, used for the larger scale (scale  $n-1$ ). This procedure is applied recursively until all the subregions of the gradation are considered.

An important point to note is that, in multiscale analysis, the heterogeneity observed at the small scale and its effect on microcracking is considered in an average sense by using homogenized (averaged) mechanical properties. Considering that the main objective is to characterize the cracking behavior at the macrolevel, detailed micromechanical phenomena, such as stress singularity and the propagation of each microcrack, do not need to be simulated. Thus, in this study, the multiscale approach is sufficient and, due to its efficiency, desirable. The proposed procedure can effectively predict the response of the HMA in macroscale. However, in its original state, the framework has some limitations.

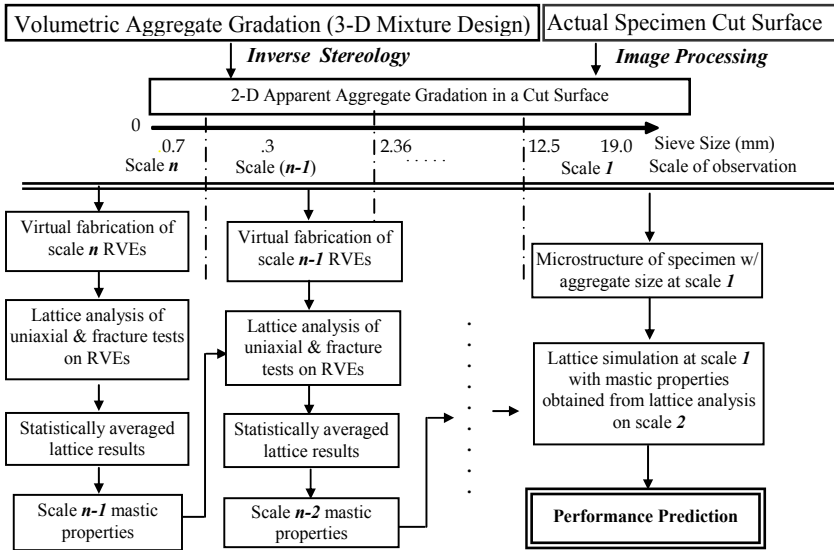


Fig. 3. Integrated lattice modeling procedure for multiscale analysis

### 2.4 Limitations

One of the limitations of the proposed algorithm in its original state is the prediction of post-peak behavior of the load-displacement history. Although the material shows ductile behavior after the peak of loading, using the surface energy-based fracture criterion results in brittle fracture of the specimen immediately after the peak. The desired behavior can be captured by incorporating the viscoelastic fracture.

Predicting the responses of materials under long sustained loads is the ultimate goal of the proposed model. However, applying such loads to the material in a conventional manner is practically impossible due to the excessive computational costs. Extrapolation techniques can result in an efficient algorithm while maintaining accuracy.

Another important constituent of HMA is air voids. Corners of the air voids are known to be the major source of stress concentrations that may lead to the initiation of cracks. The original version of the model does not include the effects of air voids in the microstructure. Bridging the component material properties to the performance of HMA is a computationally demanding task and requires an efficient algorithm. The efficiency of the original framework can be improved using different techniques.

The progress made in resolving the above-mentioned issues is reported below.

### 3 Viscoelastic Fracture

The stress-strain curves obtained from the original lattice framework typically exhibit brittle failure with a sudden drop in stress level right after the stress peak (figure 4). However, experimental results indicate that only at low temperatures or high rates of loading is such behavior noticeable. The dominant behavior of the material usually is described as the gradual breaking of the bonds due to the applied load. Damage patterns observed from the tests show that, in addition to the macrocracks that form in the specimen, other parts of the specimen experience some amount of damage. The stress-strain curves obtained from the physical experiments can show brittle and ductile behavior, depending on the temperature and rate of loading.

Careful investigation has revealed that the VECD model ([3]) appears to be suitable for this purpose. The idea is to evaluate the damage parameter ( $S$  value) of each link in each time step and to find the material integrity factor ( $C$  value, the ratio of damage stiffness to virgin stiffness) based on the amount of damage the material has experienced. Because the amount of damage correlates to the strain rate in the VECD model, the effect of the rate of loading can be captured by using the new viscoelastic fracture rule.

At any given stiffness, the pseudo strain,  $\epsilon^R$ , for all the links in the lattice can be found using effective elastic analysis. Using Equation (1) the damage parameter can be found for each link in step  $i+1$  using the damage parameter ( $S_i$ ) from the previous step.

$$S_{i+1} = S_i + \Delta t \left( -\frac{1}{2} (\epsilon^R)^2 \frac{(\partial C)_i}{\partial S} \right)^\alpha \quad (1)$$

Knowing the  $C$  vs.  $S$  relationship, Equation (2), the updated stiffness value,  $C_{i+1}$ , for all the links can be found.

$$C = e^{a(S)^b} \quad (2)$$

This procedure can be repeated until significant damage develops. The reaction history at the location of applied displacements, as well as the actual applied displacement history, can be processed appropriately to obtain the stress-strain relationships at the upper scale. This stress-strain relationship is used to develop the  $C$  vs.  $S$  relationship using the standard processing techniques proposed in [4], which, in turn, is used as the damage parameters of the lattice links at the upper scales. The introduction of viscoelastic fracture shows that this method can capture the effects of the gradual breaking of bonds as well as the rate of loading on an asphalt concrete specimen. Figure 4 shows a comparison of the stress-strain curves of three loading rates using the new fracture rule. As shown, fast loading causes a stiff response, which is consistent with experimental observations.

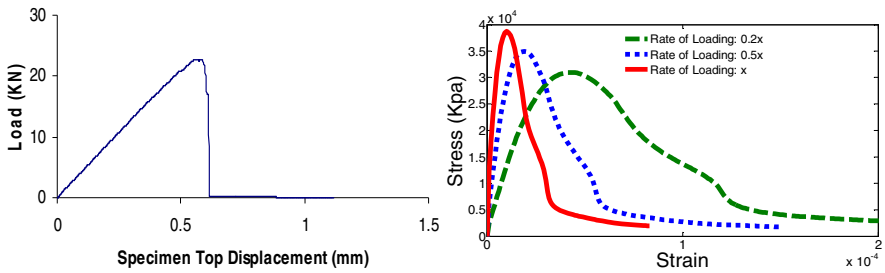


Fig. 4. Stress-strain curve a) elastic fracture criterion b) Viscoelastic fracture

### 4 Cyclic Loads

It is well known that the dominant type of load applied to pavements under service has a repeating nature that often is simplified as cyclic (periodic) loading. Theoretically, the same analysis procedure can be used for either cyclic or monotonic loads. However, for cyclic loading, the amplitude of the applied strains (and, hence, the amount of damage) remains quite small, and failure occurs much later compared to monotonic loading in which the applied strain keeps growing until the specimen fails. The computational costs can be reduced using the concept of extrapolation, which is based on the observation that the damage of the material does not change significantly within a given cycle. Thus, analysis for a single cycle can be performed under the assumption that the damage does not vary, in order to obtain the stress history for each link. Because damage does not vary significantly from cycle to cycle, an extrapolation technique can be used to update the damage over several cycles before another stress analysis is performed. Thus, stress analysis is performed not for each cycle, but once for a large group of cycles, which is termed an analysis *stage*.

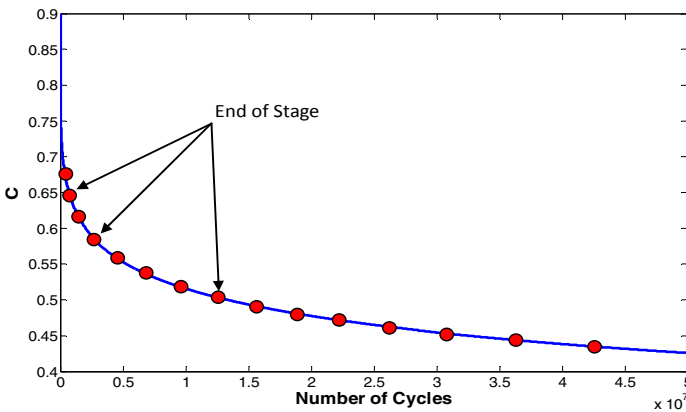
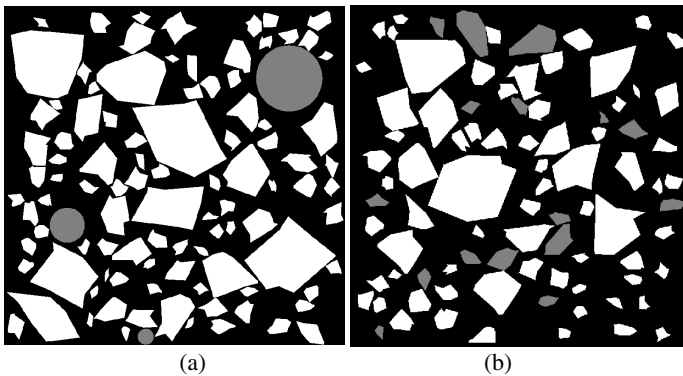


Fig. 5. C vs. n calculated for cyclic load

The above method is implemented within the lattice modeling framework whereby the damage level of each link is extrapolated for the next stage. Figure 5 shows a normalized pseudo stiffness ( $C$ ) vs. number of cycles curve obtained using this procedure. Due to the fast drop in  $C$  during the first cycle of loading, multiple time steps are required to capture the correct shape of the curve [4]. A larger stage size then can be chosen after the amount of change in  $C$  shows a decrease later during the loading history.

## 5 Air Voids

To evaluate the effects of air voids in the model, the virtual fabrication part of the algorithm has been modified to generate cavities inside the specimen to represent air voids as a separate phase (gray spots in figure 6). The size of the voids are chosen based on the scale that is being analyzed.



**Fig. 6.** Air void shapes: a) circular shapes, and b) random octagonal shapes

X-ray tomographic images show that the shapes of the air voids are not at all circular [5]. The significance of the shape of air voids becomes more important once the effect of shape on the initial stiffness of the asphalt specimens is evaluated. In this study, the initial stiffness of the specimens with circular shapes is compared with that of specimens with randomly shaped octagonal air voids (figure 6). The stiffness scale-up factors of two sets of specimens with the same air void content but different shapes have been measured and are compared in figure 7. The stiffness values are normalized against zero percent air void content. It can be concluded that, not surprisingly, the shape of the air voids plays an important role in determining the mechanical behavior of the material. As a result, it is important to include realistic air void shapes in virtually fabricated specimens. More investigation is underway to generate realistic shapes in a virtually fabricated aggregate structure.

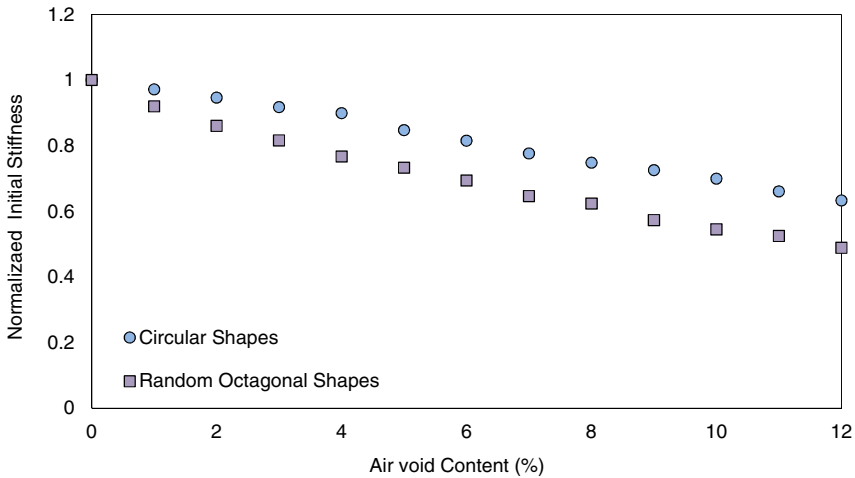


Fig. 7. Effect of shape on normalized stiffness scale-up factor

## 6 Efficiency

One of the most important advantages of lattice modeling compared to other techniques is its simplicity and low cost. One-dimensional elements with minimal degrees of freedom are much less expensive to create than two-dimensional elements with more degrees of freedom. However, the implementation of the algorithms demands extra attention, especially when recursive operations are performed on large matrices and vectors. Therefore, a new solver has been implemented in MATLAB with extra attention to memory efficiency and time efficiency using vectorization techniques.

In the previous implementation, conventional convolution integrals were used to find the viscoelastic response of the material. The problem with this process is that the stress in each time step for a particular link depends on the entire history of the strain in that link. However, the correspondence principle holds valid for this type of analysis and can be used to obtain the viscoelastic response of the material[6]. The interpretation of the correspondence principle for constant crosshead testing is to apply the pseudo strain to the specimen in order to obtain the entire stress history of the material by performing elastic simulations, which eventually leads to the necessary stress-strain relationship. Because the simulations are effectively elastic, significant computational effort and time can be saved. Applying the correspondence principle along with the new efficient solver makes implementing the code six times faster than for the previous version, although many additional parts were added to the algorithm that did not previously exist.

## 7 Summary

The original framework of multiscale lattice modeling, along with the associated limitations of the algorithm, is presented in this paper. All the limitations of the framework then are discussed individually, and the appropriate solutions are explained. It is found that viscoelastic fracture can effectively simulate both the pre- and post-peak behavior of the stress-strain curves. In addition, the effects of the rate of loading are captured using the VECD model. An efficient extrapolation method is proposed for simulating long sustaining service loads. The effects of the shape of air voids on mechanical properties also are presented. The correspondence principle is shown to be effective in increasing the efficiency of the viscoelastic solver. Further investigation is underway to resolve the remaining issues of the lattice modeling framework. These issues include: (1) incorporating realistic air void shapes in virtually fabricated microstructures, (2) capturing the effects of change in time dependency in different scales of the material, and (3) validating the results quantitatively using experimental observations.

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# Accelerated Pavement Performance Modeling Using Layered Viscoelastic Analysis

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**Abstract.** An efficient pavement performance analysis framework is developed by combining the ideas of time-scale separation and Fourier transform-based layered analysis. First, utilizing the vast difference in time scales associated with temperature and traffic load variations, the number of stress analysis runs are reduced from several million to a few dozen. Second, the computational cost of the pavement stress analysis is reduced significantly by using Fourier transform-based analysis. The resulting pavement performance prediction tool, named the layered viscoelastic continuum damage (LVECD) program, can capture the effects of viscoelasticity, temperature (thermal stresses and changes in viscoelastic properties) and the moving nature of the traffic load. The efficiency of the LVECD program is shown through 20-year pavement simulations.

## 1 Introduction

Reasonable stress-strain analysis is a key component in pavement design and predicting pavement life. Given the complexity of variables such as pavement life, traffic loading, and temperature variations, various approximate methods are used to predict pavement performance. Despite differences in assumptions, all of these prediction methods aim to reduce analysis that takes millions of cycles over several years to a few hundred analyses under a single cycle of loading.

The three-dimensional finite element method (3-D FEM) is a sophisticated analysis tool for pavement performance analysis that can model the response of a 3-D pavement under a moving load [1-3]. Although the 3-D FEM is capable of including the viscoelasticity and nonlinearity of pavement layers, or fatigue cracking and rutting effects, its computational cost is prohibitively expensive. Therefore, more practical approaches often are used to perform pavement performance analyses.

The most basic method is layered elastic analysis (LEA), where the pavement is idealized as a layered elastic system under a stationary axisymmetric load. In this method, the normal and radial stresses/strains often are computed using a Fourier-Bessel transform (see e.g., [4]). However, LEA leads to inaccurate responses because (1) traffic loading (i.e., tire pressure) is neither stationary nor circular in reality, and (2) asphalt concrete exhibits significant viscoelastic behaviors, especially under a moving load.



Layered viscoelastic moving load analysis (LVEMA) is an improvement over LEA in that the viscoelasticity and the moving load effects are handled efficiently with the help of Fourier transforms [5, 6]. LVEMA is more appealing than LEA for pavement stress analysis, although the stress redistribution effects due to damage still are not captured.

The goal of this study is to introduce an accelerated analysis framework based on LVEMA to predict pavement life. The basic idea of the proposed method is discussed in the first section, followed by the LVEMA formulation in the second section. Subsequently, the layered viscoelastic continuum damage (LVECD) program, developed based on the proposed framework, is introduced. Finally, the verification and efficiency of the LVECD program are demonstrated using numerical examples.

## 2 Outline of the Proposed Approach

The proposed framework is based on the approach found in [7] that includes various assumptions and observations regarding the pavement structure, material properties of the layers, thermal variations and traffic variations. The assumptions and the reasoning behind them are discussed below. (Note that for the sake of completeness, many arguments are repeated from [7].)

1. The pavement length (in the traffic direction) and width are both large compared to the size of the tire and pavement thickness. Thus, if the effects of fatigue/rutting on material properties/pavement structure are ignored, the pavement can be approximated as an infinite layered system where the material properties vary only in terms of depth.
2. Temperature variation is captured only in terms of pavement depth and is assumed to be constant over the entire plane that corresponds to a given depth, because the temperature variation along the length of the pavement is not significant, and the material properties are assumed to be isotropic on the plane perpendicular to the depth direction.
3. The pavement temperature profile (across the pavement depth) is assumed to be cyclic within a period of one year. Although the yearly variations can be modeled with a corresponding increase in computational cost, the variation is not significant given that the stress redistribution effects due to damage are not considered in this analysis.
4. Temperature variations are captured using hourly data. Although a fine-grained thermal variation can be captured, it is unnecessary given the approximate nature of the analysis.
5. The traffic load is idealized as a cyclic load with a constant shape (tire footprint) and speed.
6. Traffic loading varies by second(s), whereas temperature varies by hour(s). The temperature profile and the resulting effects on the material property are assumed to be fixed for the traffic analysis of a given segment.
7. Despite the nonlinear nature of the base and subgrade, they are idealized as linear elastic materials, because the effects of nonlinearity are not significant compared to the approximations inherent in the modeling of traffic and temperature variations.

The above observations and assumptions reduce the analysis from millions of load cycles to fewer than a hundred independent analyses by using a segmented analysis scheme [8]. The basic idea is to divide the pavement life into different stages, with each stage characterized by seasonal or monthly variations in temperature. The typical length of a life stage is between two weeks and a few months, depending on the desired level of accuracy. Because the yearly variations in temperature are ignored, the division into stages is restricted to the first year of pavement life, and the pavement responses during that period are assumed to repeat for the remainder of the pavement life. In addition, each life stage is divided further into analysis segments, where an analysis segment is assumed to have a constant temperature as well as a constant traffic load level and frequency. Typically, an analysis segment is a block of a few hours per day over the life stage. Note that the number of segments depends on the desired level of accuracy and the hourly variations of temperature and traffic (Figure 1).

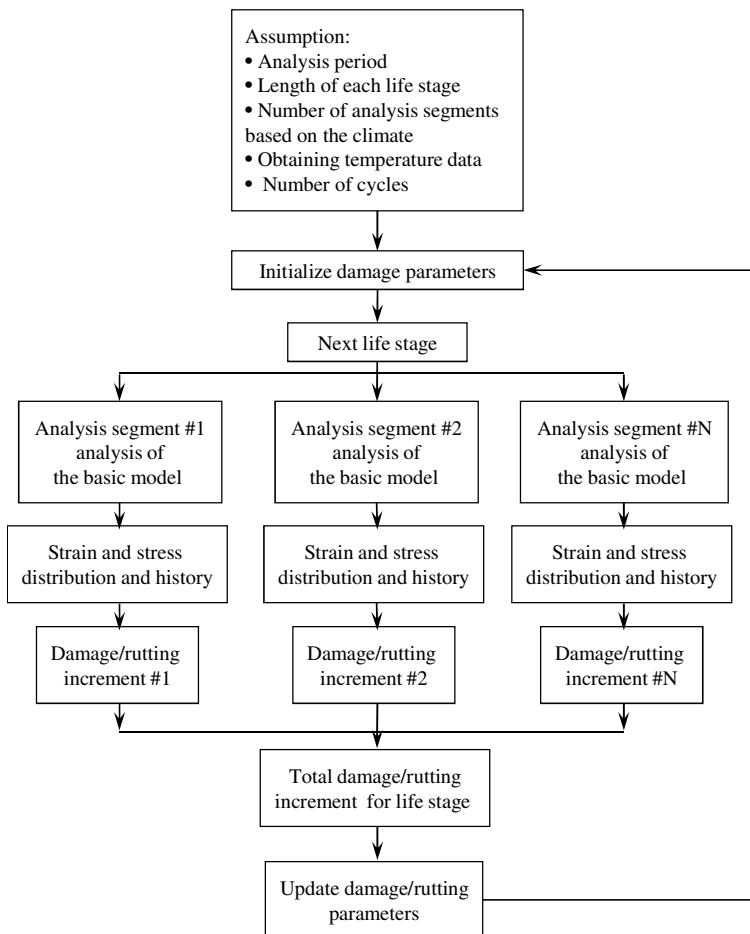


Fig. 1. Scheme of proposed framework for pavement performance analysis

Thermal and traffic stress values are computed for each analysis block during the first year of pavement life. The thermal stress values are computed using standard thermal analysis that employs the Enhanced Integrated Climate Model (EICM) temperature history. Note that because the pavement length is infinite, the plane strain conditions are appropriate for the thermal stress analysis. The traffic stress values are obtained using LVEMA, which is discussed in the next section.

### 3 Layered Viscoelastic Moving Load Analysis

This analysis considers an infinite pavement under a traffic load that is moving with a constant speed,  $V$ . The coordinate  $x$  is used for the transverse direction ( $-\infty < x < +\infty$ ),  $y$  for the traffic direction ( $-\infty < y < +\infty$ ), and  $z$  for the depth direction ( $0 < z < z_{\max}$ ;  $z=0$  is the top surface). The spatial distribution of the load at  $t=0$  is given by  $\mathbf{p}(x, y)$ . The precise statement of the problem is reflected in the following equations.

Strain-displacement relationship:

$$\boldsymbol{\varepsilon} = \begin{Bmatrix} \varepsilon_{xx} \\ \varepsilon_{yy} \\ \varepsilon_{zz} \\ \gamma_{yz} \\ \gamma_{zx} \\ \gamma_{xy} \end{Bmatrix} = \begin{bmatrix} \partial/\partial x & 0 & 0 \\ 0 & \partial/\partial y & 0 \\ 0 & 0 & \partial/\partial z \\ 0 & \partial/\partial z & \partial/\partial y \\ \partial/\partial z & 0 & \partial/\partial x \\ \partial/\partial y & \partial/\partial x & 0 \end{bmatrix} \begin{Bmatrix} u_x \\ u_y \\ u_z \end{Bmatrix} = \mathbf{L}\mathbf{u}. \tag{1}$$

Stress-strain relationship:

$$\boldsymbol{\sigma} = \{\sigma_{xx} \quad \sigma_{yy} \quad \sigma_{zz} \quad \tau_{yz} \quad \tau_{zx} \quad \tau_{xy}\}^T = \int_0^t \mathbf{C}(t-\tau) \frac{d\boldsymbol{\varepsilon}}{d\tau} d\tau. \tag{2}$$

Equilibrium equations:

$$\mathbf{L}^T \boldsymbol{\sigma} = \mathbf{f}. \tag{3}$$

Bottom boundary condition:

$$u_x = u_y = u_z = 0 \text{ at } z = z_{\max}. \tag{4}$$

Top boundary condition:

$$\mathbf{t}_r = \{\tau_{xz} \quad \sigma_{zz} \quad \tau_{zy}\}^T = \mathbf{p}(x, t - y/V). \tag{5}$$

In Equations (1) to (6),  $\mathbf{u}$  is the displacement vector;  $\boldsymbol{\varepsilon}$  is the strain vector;  $\mathbf{L}$  is the strain displacement operator;  $\boldsymbol{\sigma}$  is the stress vector;  $\mathbf{C}$  is the stress-strain matrix;

and  $\mathbf{f}$  is the body force vector. The load  $\mathbf{p}$  has the argument  $(t-y/V)$ , indicating that the load is moving with a constant velocity,  $V$ . Note further that, in general,  $\mathbf{p}$  has the components of tire pressure (vertical direction) and friction (horizontal direction).

*Fourier transform in t, x, and y.* Given that (1) the material properties and geometry do not vary with  $t$  or  $x$  or  $y$ , (2) the material properties are linear, and (3)  $t$ ,  $x$ , and  $y$  are unbounded, the Fourier transform can be applied to reduce the problem dimension. The following definition of Fourier transform is employed in this paper:

$$\hat{f}(k_x, k_y, z, \omega) = \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} f(x, y, z, t) e^{-ik_x x} e^{-ik_y y} e^{-i\omega t} dx dy dt, \tag{6}$$

where  $\hat{f}$  is the Fourier transform of a generic function,  $f$ ;  $\omega$  is the (temporal) frequency; and  $k_x$  and  $k_y$  are the wave numbers (spatial frequencies) along the  $x$  and  $y$  axes, respectively. Thus, the problem definitions (Equations (1) to (5)) should be replaced by

$$\hat{\mathbf{L}}(k_x, k_y) = \begin{bmatrix} ik_x & 0 & 0 \\ 0 & ik_y & 0 \\ 0 & 0 & \partial/\partial z \\ 0 & \partial/\partial z & ik_y \\ \partial/\partial z & 0 & ik_x \\ ik_y & ik_x & 0 \end{bmatrix}, \tag{7}$$

$$\hat{\boldsymbol{\sigma}} = \hat{\mathbf{L}}\hat{\mathbf{u}}, \tag{8}$$

$$\hat{\boldsymbol{\sigma}} = i\omega\hat{\mathbf{C}}(\omega)\hat{\mathbf{u}}, \tag{9}$$

$$\hat{\mathbf{L}}^T\hat{\boldsymbol{\sigma}} = \hat{\mathbf{f}}, \tag{10}$$

$$\hat{u}_x = \hat{u}_y = \hat{u}_z = 0 \text{ at } z = z_{\max}, \tag{11}$$

$$\hat{\mathbf{t}}_r = \{\hat{\tau}_{xz} \quad \hat{\sigma}_{zz} \quad \hat{\tau}_{zy}\}^T = \hat{\mathbf{p}}(k_x, k_y, \omega). \tag{12}$$

The Fourier transform eliminates the need for convolution in Equation (2) and also reduces the dimension of the governing equation to one. However, the problem must be solved independently for a sweep of frequencies and wave numbers, and the resulting responses must be inversely Fourier transformed to obtain the response histories and variations in the  $x$  and  $y$  directions. Analysis of each one-dimensional problem in the frequency domain is carried out with the help of an optimized finite element algorithm.

*Relation between wave number and temporal frequency.* Because the load moves with a constant speed, all the responses (stress and deformation profiles) move with the same speed, i.e., the response relative to the location of the load remains constant. In other words, all the deformations and stresses take the form,  $f(t - y/V)$ , similar to the expression for the load in Equation (5). The Fourier transform of such a translating function takes the form,

$$\hat{f}(k_y, \omega) = \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} f\left(t - \frac{y}{V}\right) e^{-i\omega t} e^{-ik_y z} dt dy = \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} f(\bar{t}) e^{-i\omega \bar{t}} e^{-i\left(k + \frac{\omega}{V}\right)z} d\bar{t} dz, \quad (13)$$

where  $\bar{t} = t - y/V$ . Clearly,  $\hat{f}$  is nonzero only when  $k = -\omega/V$ , and it is equal to

$$\hat{f}(\omega) = \int_{-\infty}^{+\infty} f(t) e^{-i\omega t} dt. \quad (14)$$

The implication is that the solutions of Equations (8) to (12) do not need to be found for all sets of  $\omega$  and  $k_y$ , but for all  $\omega$ , with  $k_y = -\omega/V$ . Thus, the assumption of constant speed results in a significant reduction in the computational cost of analysis.

## 4 Fatigue Analysis

Fatigue cracking analysis is carried out based on the viscoelastic continuum damage (VECD) model [3]. Essentially, the damage is computed for a single cycle in each life stage using the VECD model, which is then projected using a nonlinear extrapolation scheme [8] to compute the net damage over the duration of the life stage. Note that, because the stress redistribution effect due to damage is not considered in this analysis, and the yearly temperature variation is assumed to be cyclic, the pavement response is also cyclic within the same period, and the stress analysis only needs to be performed for a single year. Thus, fatigue cracking analysis of the entire pavement life can be performed efficiently using the responses obtained for a single year.

## 5 LVECD Program

The proposed framework has been incorporated into a pavement performance analysis program referred to as the LVECD program (Figure 2). Some of the salient features of the LVECD program include:

1. Both pavement performance analysis and pavement response analysis can be carried out through the same user interface.
2. The program supports various material types, including elastic, viscoelastic, and transversely anisotropic materials.

3. Traffic loading is considered as a repeated application of a design vehicle (multiple axles and multiple wheels) in the current version of the software. The LVECD program supports hourly and monthly variations of truck traffic, and also the annual growth of the annual average daily truck traffic (AADTT), i.e., linear and compound growth.
4. Analysis results, i.e., the pavement responses and damage, are provided in the form of tables, plots and contours in the user interface of the program.
5. Most of the analysis parameters are determined automatically based on climate conditions and loading conditions.
6. The LVECD software has been designed to use the temperature data provided by the EICM software for performing thermal analysis.
7. Numerical algorithms in the LVECD program are optimized for pavement performance analysis.

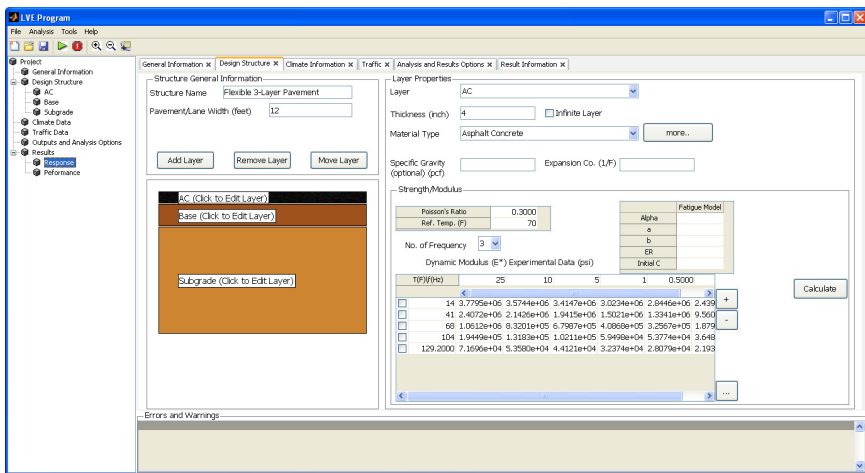


Fig. 2. LVECD program (screenshot)

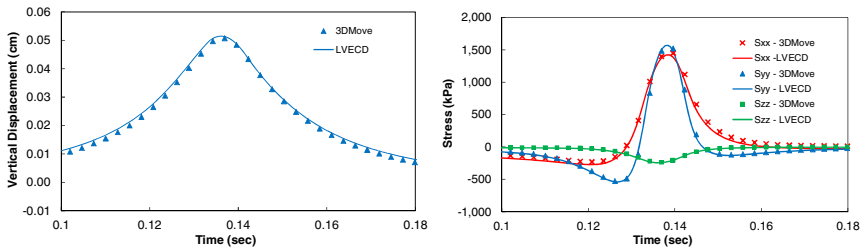
## 6 Numerical Example

In this section, two numerical examples are used to illustrate the proposed analysis framework. The first example verifies the LVECD program by comparing it to the 3D-Move Analysis program [9]. The other example is a simulation of pavement performance under realistic conditions that demonstrates the efficiency and the reasonableness of the proposed framework.

### 6.1 Example 1: Verification

For the verification process, a three-layer system is considered: 10.16 cm (4 in.) for the asphalt concrete layer, 20.32 cm (8 in.) for the base, and 460 cm (15 ft) for

the subgrade. The material in the asphalt concrete layer is styrene-butadiene-styrene (SBS) at 25°C (77°F). The base and subgrade layers are linearly elastic with modulus values of 276 MPa (40 ksi) and 49.8 MPa (12 ksi), respectively, and Poisson's ratios of 0.35 and 0.4, respectively. The system is subjected to a circular load with a total load of 40 kN (9,000 lb) and constant pressure (P) of 758 kPa (110 psi) on the surface (at  $z = 0$ ), moving with a constant velocity (V) of 26.82 m/s (60 mph). The center of the load is assumed to be at  $y = 0$  at  $t = 0$ . The results from the LVECD program are compared with those obtained from 3D-Move Analysis ([9]). From Figure 3, it is concluded that the new formulation is accurate for viscoelastic systems.



**Fig. 3.** Left: surface displacement history at origin. Right: stress history at the bottom of the AC layer.

### 6.2 Example 2: Pavement Performance

The pavement structure consists of a three-layer system: 10.16 cm (4 in.) for the asphalt concrete layer, 20.32 cm (8 in.) for the base, and 460 cm (15 ft) for the subgrade. The material in the asphalt concrete layer is SBS. The base and subgrade layers are linearly elastic with modulus values of 276 MPa (40 ksi) and 83 MPa (20 ksi), respectively, and Poisson's ratios of 0.35 and 0.4, respectively. The system is subjected to an equivalent single axle load (ESAL) (i.e., single axle and single tire) with a total axle load of 80 kN (18,000 lb), moving with a constant velocity of 26.82 m/s (60 mph). The contact pressure distribution is haversine with a diameter of 27.18 cm (10.7 in.)

For the purpose of these simulations, one month is selected as the duration of a life stage, and three analysis segments are assumed for each life stage: one for 5 AM – 2 PM, one for 2 – 9 PM, and one for 9 PM – 5 AM. In addition, the Florida temperature profile, which is computed by a weighted averaging method, is assumed for the simulations. The traffic loading consists of ten million ESALs equally distributed over the three simulation segments for a 20-year period. The total time needed to perform thermal and traffic stress simulations and to extrapolate the normalized stiffness ( $C(S)$ ) is about 30 minutes. The distribution of  $C$ , which represents the fatigue cracking distribution, is shown in Figure 4 for the different life stages.

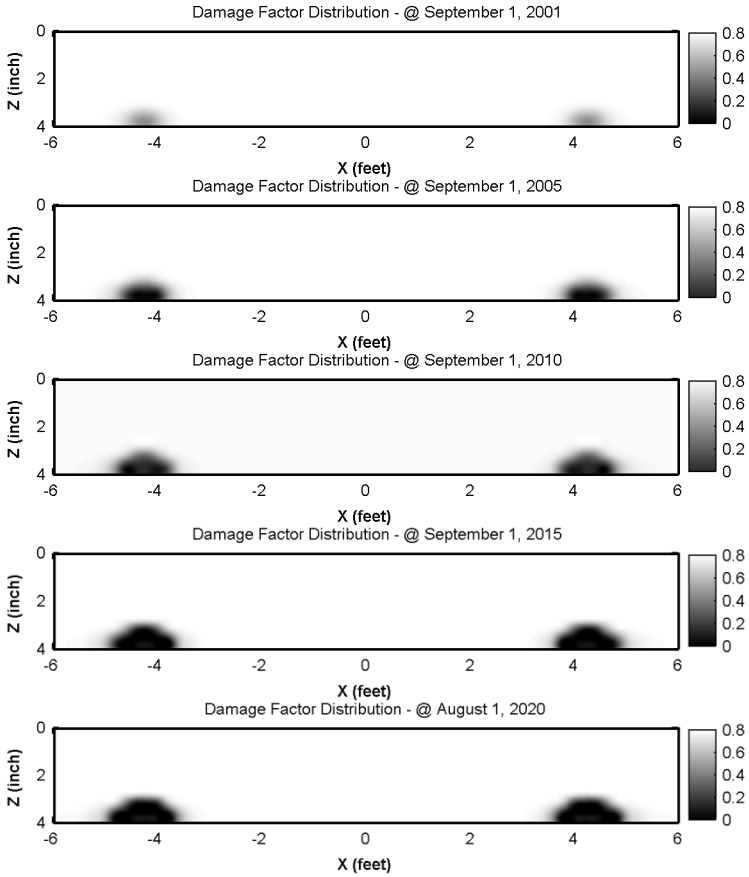


Fig. 4. Normalized stiffness distributions for different life stages

## 7 Conclusions

An accelerated analysis framework is proposed in this paper for pavement performance analysis. The procedure is based on several observations specific to pavement performance modeling. An efficient algorithm is proposed for thermal and traffic stress analyses by combining simplified assumptions and the Fourier transform. The framework has been implemented in LVECD software to perform fatigue cracking analysis of pavement structures under millions of cycles of traffic loading. Because of the fully optimized numerical algorithm included in the LVECD program, 20-year fatigue cracking simulations can be carried out in 30 minutes, which is a reasonable analysis time to determine pavement performance.



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# Numerical Investigations on the Deformation Behavior of Concrete Pavements

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**Abstract.** In recent years, numerous cracks were observed in concrete pavements of federal highways (BAB) across Germany. These could be identified as the result of an alkali silica reaction (ASR). For this reason the main focus of this research project was to get a realistic view about the damaging processes in concrete pavements caused by an ASR in combination with thermal, hygric and mechanical loads by means of numerical investigations. In addition, the analyses should provide insights on how to better counteract the damage potential of ASR in the future. This paper will present selected results of comprehensive numerical investigations on the deformation behavior of concrete pavements exposed to various loads and possible failures resulting from these.

## 1 Introduction

Since the beginning of the German motorway system, concrete pavements are extensively used in its construction. Today, about 30 percent of the motorway network, which is the longest in Europe with a total length of 12,000 km, is made of concrete pavements. Many years of experience should make it possible to realize long-life concrete traffic areas. However, surface defects such as slab cracking often appear shortly after manufacture. These cracks not only reduce the driving comfort but also substantially affect traffic safety. Furthermore, major rehabilitation and reconstruction of pavements are expensive to accomplish. To prevent future damages, exposures of concrete slabs and the cause of incurred defects should be analyzed. Based on the results, improved concepts of road design and construction can be developed.

This paper will present the results of comprehensive numerical investigations on the deformation behavior of concrete pavements exposed to various loads and possible failures resulting from these. Concrete pavements are generally exposed to loads caused by the weather, i.e. loads due to temperature and moisture as well as loads due to traffic. In recent years, numerous cracks were observed in concrete pavements all over Germany. These could be identified as the result of an alkali

silica reaction (ASR). For this reason also loads caused by strains due to an ASR were analyzed numerically.

## 2 Methods and Requirements

The two dimensional numerical investigations were carried out using the FE-program DIANA [3]; in total a time period of 10 years was considered. The single loads from moisture and temperature conditions, ASR as well as traffic were analyzed separately. Afterwards, the stresses resulting from these simulations were superimposed. Consequently, the influences of the single exposures as well as their combination with other load cases on the cracking could be studied.

The numerical model was set up to reflect the construction of those pavements, where crack damages occurred due to ASR. Therefore, concrete pavement systems with and without bond design according to RStO<sup>1</sup> 01, tab. 2, row 1.1 (see Figure 1), respectively, were modeled under different boundary conditions and using realistic nonlinear constitutive laws. In the numerical calculations for pavement designs with geotextile interface layer between the concrete slab and the cement-treated base (CTB), no bond (i.e. no bond interaction) was assumed.

The FE-mesh fineness of the numerical model takes account of the expected cracking as well as the different hygric and thermal gradients in the pavement. The material properties of the pavement concrete were assigned to isoparametrical 8 node rectangular elements. To simulate the substructure 6 node interface elements were used (see Figure 1, right).

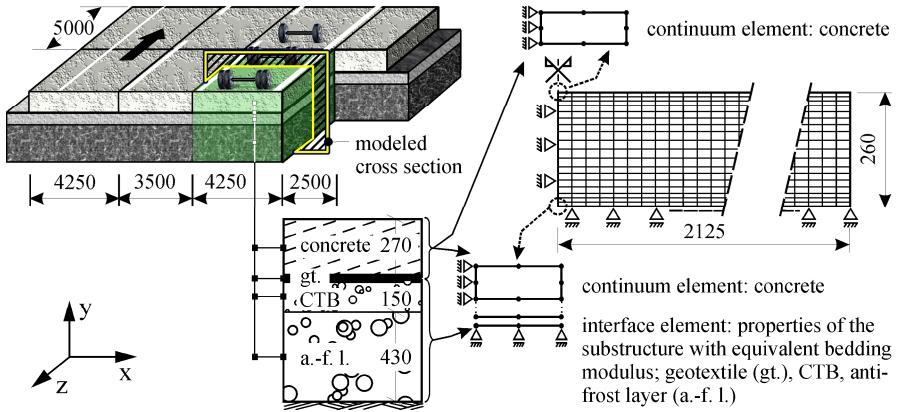
For the simulations of the pavement a normal strength C1 ( $f_{cm} = 45$  MPa) and a high strength C2 ( $f_{cm} = 70$  MPa) concrete were considered (see Table 1). Additional properties, such as the modulus of elasticity or the tensile strength, were determined based on current standards (table 9 in DIN 1045-1:2001 [4] and CEB-FIP Model Code 1990 [2]). The hygrothermal properties of the pavement concrete were set according to DIN EN 12524:2000 [5].

To describe the viscoelastic deformation behavior of concrete in the numerical investigations, the approach according to CEB-FIP Model Code 1990 [2] was used. Cracking was considered by the cohesive crack model (crack band model) given in Bažant und Oh [1]. The heterogeneity of concrete was modeled according to Mechtcherine [8] by varying the tensile strength assigned to the finite elements.

The climatic boundary conditions (air temperature, humidity, solar radiation etc.) were set according to the assumptions given in Foos [7] and the results of Müller and Guse [9]. With respect to the zero-stress temperature gradient a positive distribution with a pavement temperature of approx. 30 °C was assumed for the summer and a negative vertical profile with a pavement temperature of approx. 15 °C was considered for wintertime. In both simulations (temperature and moisture) a normal seasonal cycle with a hot summer and a cold winter was assumed. The traffic load was set quasi-dynamically according to DIN-Fachbericht 101 [6].

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<sup>1</sup> Guidelines for Standardization of Pavement Structures for Traffic Areas in Germany.



**Fig. 1.** Schema of a carriageway (BAB) with three lanes and the modeled cross section (left). Pavement design according to RStO 01: tab. 2, row 1.1 (middle) and FE-mesh used (right), dimensions in [mm].

**Table 1.** Concrete properties (mean values) of the pavements

Characteristic	Concrete C1	Concrete C2
compressive strength $f_{cm}$ [MPa]	45	70
uniaxial tensile strength $f_{ct}$ [MPa]	3.8	5.1
modulus of elasticity $E_c$ [MPa]	36,000	41,000
fracture energy $G_F$ [N/m]	86	117
Poisson's ratio $\nu$ [-]	0.2	0.2

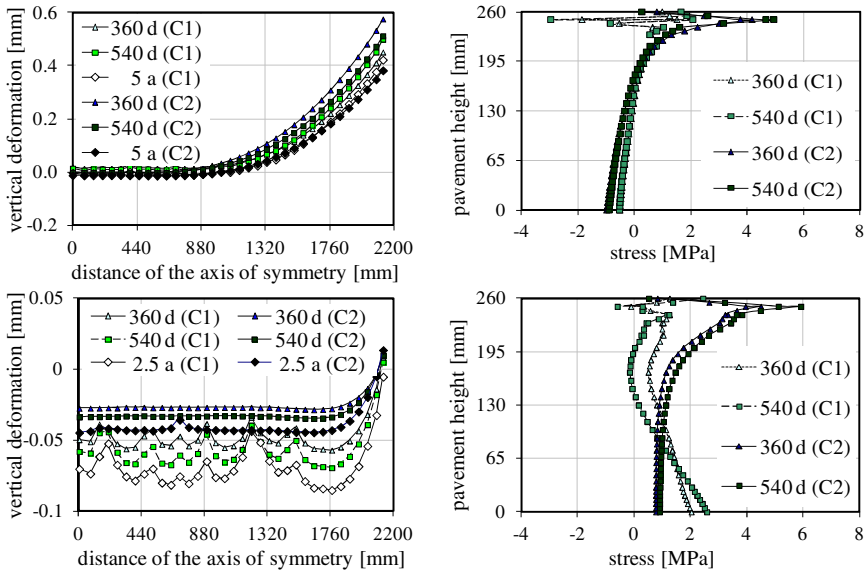
The consequences of a load resulting from ASR were considered by assuming strain rates on the upper side of the pavement of 0.2 mm/m/year for the first 5 years after production. In the period from 6 to 10 years, strain rates were set to be 0.1 mm/m/year. On the bottom side of the pavement, strain rates were set to be 0.1 mm/m/year in the first period and 0.05 mm/m/year in the second period. The strain distribution was set up to vary linearly over the cross section according to the research program supervisors.

### 3 Investigation Results

This paper only presents selected exemplary results, which represent the worst case for each exposure with regard to damage. Further results as well as a comprehensive discussion can be found in [10].

### 3.1 Moisture Induced Stresses

The drying of the upper side of the pavement due to alternating hygric loading is accompanied by the dishing of the pavement. The simulations of pavement design without bond interaction showed a deformation of about 0.5 mm (see Figure 2, above left), while those of the pavement design with bond interaction were about 0.05 mm (see Figure 2, below left). The deformations increased with higher concrete strength. Because of creeping effects, the deformations decreased after a long term period (see Figure 2, left exposure time of 5 years).



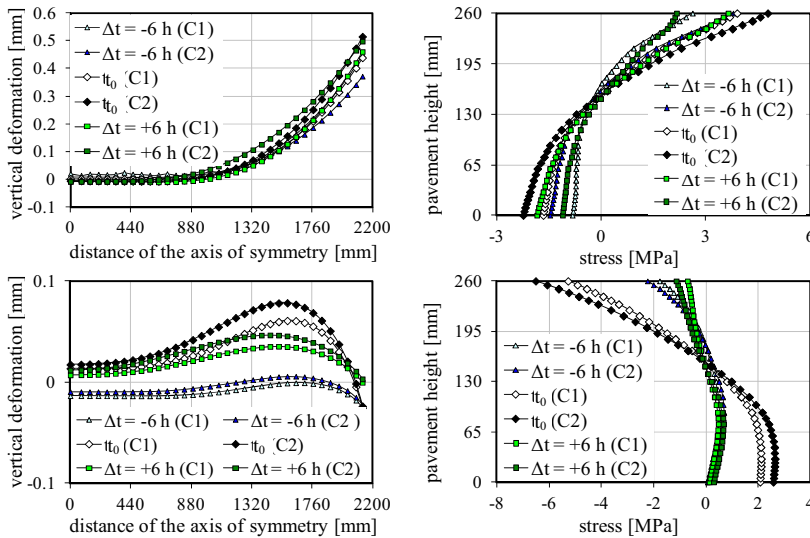
**Fig. 2.** Influence of concrete strength on the calculated vertical deformations at the upper side (left) and calculated stress distribution ( $\sigma_x$ ) in the axis of symmetry (right) due to hygric load; assumption: design without bond, manufacturing in summer (above), design with bond, manufacturing in winter (below).

This generates tensile stresses at the top side of the pavement, which lead to cracking, if they exceed the tensile strength (see Figure 2, right). Compressive stresses could be detected at the bottom side of the pavement without bond interaction, while tensile stresses were detected for the pavement design with bond interaction. The compressive stresses result from the drying of the upper side of the pavement and are therefore more pronounced in combination with a capillary absorption of water at the bottom side. The tensile stresses are evoked due to the constraint on deformation by the cement-treated base and due to the formation of cracks at the top side of the pavement (see Figure 2, below left).

In general it is possible to reduce the risk of damage due to hygric loading by manufacturing the pavement in winter as this creates a negative vertical profile of the zero-stress temperature.

### 3.2 Temperature Induced Stresses

Within the thermal investigations only the pavement design without bond could be analyzed due to numerical problems that lead to an early abortion of the analyses for the pavement design with bond [10].



**Fig. 3.** Influence of concrete strength on the calculated vertical deformations at the upper side (left) and calculated stress distribution ( $\sigma_x$ ) in the axis of symmetry (right) due to thermal load; assumption: pavement design without bond interaction; manufacturing in summer, summer day at  $t_0 = 3:00$  am (above); manufacturing in winter, summer day at  $t_0 = 3:00$  pm (below).

Even though a direct formation of cracks could not be detected within the scope of the thermal investigations, an increased risk of damage commonly exists due to the high tensile stresses at the top side of the pavement. Its maximum was reached on a summer day at 3:00 am by night (see Figure 3, above right). The temperature changes lead to a pavement dishing of about 0.5 mm (see Figure 3, above left). However, a manufacturing at wintertime would reduce the risk of damage due to a negative gradient of the zero-stress temperature.

Furthermore a modest risk of damage could be observed at the bottom side of the pavements made of concrete with normal strength. The maximum tensile stresses could be detected at 3:00 pm on a summer day in a pavement which was manufactured in wintertime (see Figure 3, below right). As a result of the maximum temperature, which is attained at this time of day, the pavement edge bulges upwards (see Figure 3, below left). A pavement made of concrete with high strength seems to bear less risk of cracking damages due to thermal loading.

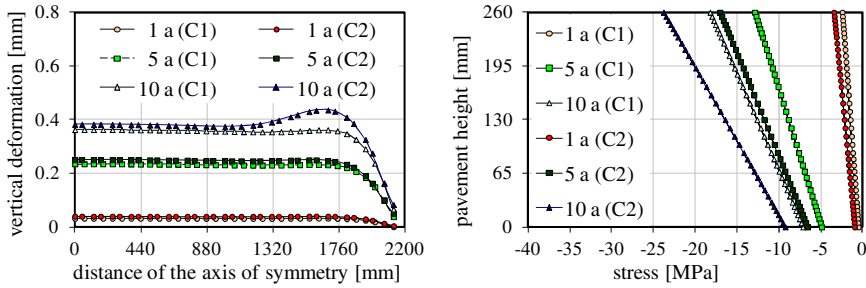
### ***3.3 Traffic Induced Stresses***

The traffic load results in compressive stresses at the top side of the pavement and tensile stresses at its bottom side. The usage of a geotextile as an interface layer between the ground plate and the CTB (for a pavement design without bond) may cause that the transverse and longitudinal contraction joints do not break entirely. This phenomenon then provokes different deformation behavior and causes a higher stress level in the concrete slab. In this case tensile stresses with an amount of 1.4 MPa appear at the bottom side of the pavement. Therefore in combination with the stresses caused by the ASR or the stresses due to hygrothermal impact a higher risk of cracking arises.

### ***3.4 Alkali Silica Induced Stresses***

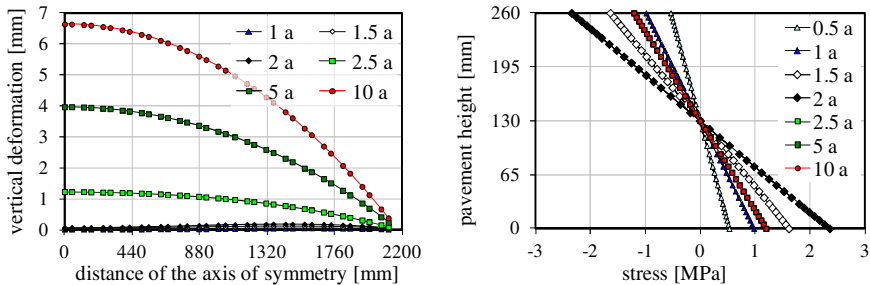
According to the specifications (see section 2) of the performed analysis the top side of the pavement expands more than its bottom side. This impact results in compressive stresses at the top side of the pavement for both pavement designs. The design with bond is subject to compressive stresses on the bottom side as well, while the pavement design without bond experiences tensile stresses (see Figures 4, 5 and 6). On the basis of the numerical results, pavements with increasing compressive strength ( $f_{cm} = 70$  MPa) show both higher deformations and stresses. This can be traced back to the higher stiffness (modulus of elasticity) and the less creep of the high strength concrete.

Figure 4 illustrates the vertical deformations at the upper side of the pavement for the design case with bond interaction. Because of the bond between the slab and the CTB the elongation at the upper side of the pavement is restrained. With increasing exposure, the bond strength at the slab edge becomes exceeded. Due to this, the slab gets disconnected from the substructure, leading to local dishing (see Figure 4, left). The simplified consideration on the macroscale led to a linear compressive stress distribution in the pavement for a design with bond (see Figure 4, right). The superposition of these stresses with stresses of other origins can cause a “positive” effect on the total stresses. However, with respect to these results it should be noted, that changes on the mesoscale were not taken into account.



**Fig. 4.** Comparison of the vertical deformations at the pavement upper side (left) and stress distribution ( $\sigma_x$ ) in pavement with  $f_{cm} = 45$  MPa (C1) and  $f_{cm} = 70$  MPa (C2) (right) caused by strains due to ASR; assumption: design with bond interaction, exposure duration 1, 5 and 10 years.

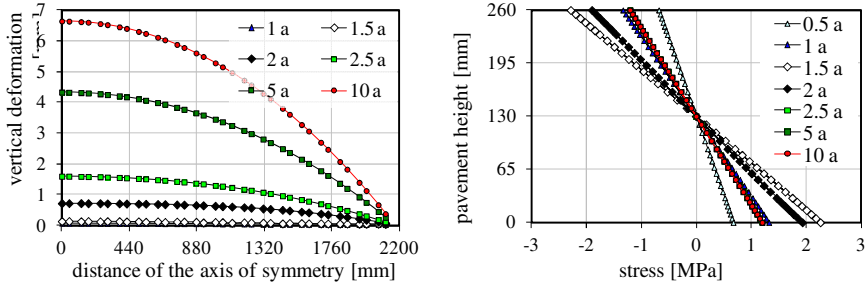
For the pavement design without bond interaction, the pavement center bulges upwards as a reaction to ASR (see Figures 5 and 6, left). Independent of the concrete strength, but at different points of time, maximum tensile stresses of 2.4 MPa appear at the bottom side of the pavement (see Figures 5 and 6, right). These stresses do not present a risk of damage. However, this statement is only valid as long as it is assumed that the ASR does not decrease the tensile strength.



**Fig. 5.** Influence of exposure time on the vertical deformations at the pavement upper side (left) and on the stress distribution ( $\sigma_x$ ) in pavement with  $f_{cm} = 45$  MPa (C1) caused by strains due to ASR; assumption: pavement design without bond interaction.

In general two contrary aspects have to be considered regarding the evaluation of the effects of an ASR in a loaded cross section or range of a cross section. The strain augmentation due to the ASR may indeed reduce the existing tensile stresses. It has to be mentioned, however, that this will only have positive effects, lowering the risk of damage, if the tensile strength is not reduced even more at the same time as a result of the inner deterioration due to the ASR. However, this mechanism was not considered here.





**Fig. 6.** Influence of exposure time on the vertical deformations at the pavement upper side (left) and on the stress distribution ( $\sigma_x$ ) in pavement with  $f_{cm} = 70$  MPa (C2) (below, right) caused by strains due to ASR; assumption: pavement design without bond interaction.

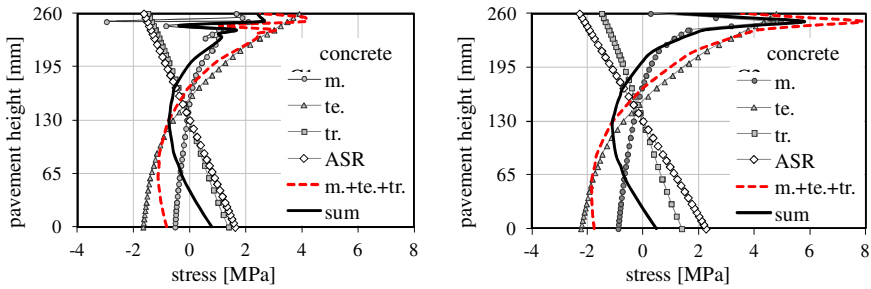
### 3.5 Superposition of the Induced Stresses

The stresses due to the single loads from moisture and temperature conditions, traffic and the ASR have to be superimposed, to enable a statement about the damage potential due to their simultaneous exposure.

The superposition of all loads led to a moderate risk of damage ( $0.5 \cdot f_{ct} < \sigma_x < 0.8 \cdot f_{ct}$ ) for slabs which were manufactured in summer and in a pavement design without bond interaction using normal strength concrete as a consequence of the calculated tensile stresses of 2.5 MPa (see Figure 7, left). However, this only applies, if the ASR does not decrease the tensile strength of concrete, or does so insignificantly. Without the impact of ASR – which causes compressive stresses at the pavement upper side – the risk of cracking would increase, since the prevailing tensile stress of 4.2 MPa exceeds the tensile strength of 3.8 MPa.

Even though the ASR seems to have a mitigating effect on the stresses near the surface of the pavement, the load collective still constitutes a risk of damage for high strength concrete pavements (see Figure 7, right, curve “sum”). As the current model does not take a reduction of concrete tensile strength due to ASR into account, the actual situation should be even more pronounced.

If the pavement is affected by ASR-deformation in addition to the load cases described before, the risk of damage is reduced at the top side of the pavement with decreasing concrete strength as well as with a manufacturing in the winter. At the same time, the ASR-deformation may lead to the formation of cracks for the pavement design without bond due to high tensile stress at the bottom side of the pavement. A detailed analysis under the influence of temperature was not possible for the pavement design with bond. Nevertheless, theoretically, for this pavement design the strains due to ASR should reduce the risk of damage.



**Fig. 7.** Stress parts of the single exposures caused by moisture (m.), temperature (te.), traffic (tr.) and strains due to ASR (ASR) and their superposition (sum = m. + te. + tr. + ASR; m. + te. + tr.) in the axis of symmetry of the pavement; assumptions: pavement design without bond interaction, manufacturing in summer,  $f_{cm} = 45$  MPa (C1) (left) and  $f_{cm} = 70$  MPa (C2) (right).

## 4 Conclusions

First, impacts of the exposures caused by moisture, temperature, traffic and strains due to ASR on the concrete pavement were separately analyzed numerically. Afterwards, the stress results were superimposed linearly to show the critical load combinations. This could be considered a restriction of the presented research as the interaction by coupling the single effects could not be resolved. However, the loss of information resulting from this is still considered comparatively minor.

The situation is different in cases, in which the ASR seems to have a positive effect, as accompanied strains can reduce the tensile stresses resulting from other loads. In these cases, the ASR decreases the risk of cracking only, if the reduction of the effective tensile load is higher than the decrease in tensile strength, which is caused by the inner damage due to ASR. On what magnitude the ASR reduces the concrete strength and stiffness are an open research issue.

**Acknowledgement.** This paper is based on the experimental and numerical investigations of several research projects, which had been carried out at the Institute of Concrete Structures and Building Materials of the University of Karlsruhe under the financial support of research programs of the Federal Ministry of Transport, Building and Urban Affairs (BMVBS) ([9] and [10] among others). This financial support is gratefully acknowledged.

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# Fatigue Behaviour Modelling in the Mechanistic Empirical Pavement Design

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**Abstract.** The Mechanistic empirical pavement design is based on modelling certain modes of failure for the different pavement materials. In the Australian and New Zealand guidelines, the mechanistic pavement design is based on modelling fatigue and permanent deformation as the two major modes of failures. The guidelines use the Shell fatigue performance function to model fatigue behaviour of asphalt mixes. However, there are wide range of asphalt mixes on New Zealand roads and they are all behave differently regarding their fatigue and permanent deformation performance. Therefore, the question here, can one fatigue model accurately fit the fatigue performance of all different asphalt mixes. This research examined the fatigue behaviour of two different types of dense graded hot mix asphalts, the first is made of aggregate with maximum nominal size 10 mm and the second with 14 mm maximum nominal sizes. The effects of air voids in the total mix and aggregate gradations on the fatigue behaviour were compared. It was found that the aggregate gradations have significant effect on the fatigue life with finer mixes have significantly higher fatigue lives compared to the coarser gradations. In addition, air voids in the total mix have a profound effect on the fatigue behaviour.

## 1 Background

An accurate modelling of the fatigue behaviour of asphalt mixes is very significant for a robust mechanistic empirical pavement design. In most mechanistic empirical design methods, fatigue of asphalt mixes is considered through a single fatigue model that is developed in the laboratory and calibrated in the field based on the actual field performance data. However, the fatigue behaviour of asphalt mixes depends on several factors such as aggregate gradation, maximum nominal size, binder type and content, air voids content and pavement temperature, in addition, to the traffic loading and traffic wander in the field [1]. There are various types of mixes with a wide range of maximum nominal size, binder content and gradations on today's roads; therefore, the fatigue performance of these mixes is expected to widely vary from one mix to the other. Thus, it is unlikely to model the fatigue performance of these widely different mixes by one single fatigue model that can address all various factors.

In the current Austroads Mechanistic Empirical pavement design, two modes of failure are considered, namely fatigue of bound materials and permanent deformation

based on the subgrade compressive strain criterion [2]. The Austroads design guidelines and New Zealand supplement adopted the Shell fatigue performance function to calculate the fatigue damage of the structural asphalt. The Shell fatigue model was developed in 1978. The fatigue relationship was developed in the laboratory on a range of seven different types of asphalt mixes namely, dense asphaltic concrete, dense bitumen macadam, gravel-sand asphalt, lean bitumen macadam, rolled asphalt base course mix, French "Grave Bitume", and Bitumen-sand base course [3]. The fatigue testing was done using controlled strain (displacement) with a sinusoidal loading [1]. Different types of bitumen grades, 40/50, 80/100, 45/60, and 40/60 were used in the Shell fatigue performance developments (Shell Manual, 1978). These mixes and binder types and sources are different from those are currently used on the New Zealand highway system. Consequently, it is expected to find significant differences between the predicted and observed fatigue life when using the Shell fatigue model [4,5].

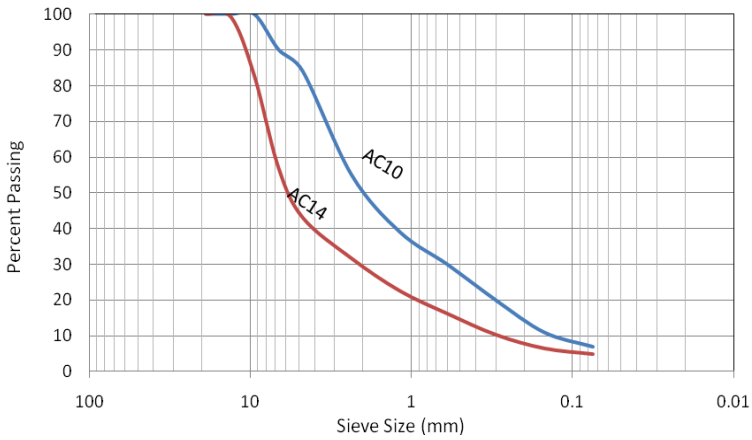
In this research, two different types of mixes that are widely used in New Zealand will be tested for fatigue behavior using four point bending fatigue. The first type is the AC10 hot mix asphalt which is made with dense graded aggregate of 10 mm maximum nominal size and a bitumen grade 80/100 penetration grade. The second type of mix is the AC14 hot mix asphalt which is made with dense graded aggregate of 14 mm maximum nominal size and bitumen of 60/70 penetration grade. The two mixes were designed by local contractors and the optimum binder content was determined. The fatigue beam specimens were designed in the University of Canterbury laboratory for a wide range of air voids from as low as 2.83% to over 13.6% for the AC 10 mixes and a range from 3.96% to 6.6% for the AC14 mixes. With this wide range of air voids, the effect of volumetrics on the fatigue behavior was examined. The fatigue behavior of the two mixes was compared. The Shell fatigue model predictions were also compared with the laboratory measured fatigue values to examine the suitability and the accuracy of the model prediction.

## 2 Material Properties and Sample Preparations

Two hot mix asphalts were designed by two local contractors and the optimum binder content was determined for each mix. The first mix is AC 10 hot mix asphalt which has a maximum nominal aggregate size of 10 mm and dense gradation while the second mix is AC14, which has a maximum nominal size 14 mm, and dense gradation as shown in Figure 1. Specific gravities for aggregates and maximum theoretical specific gravities for loose mixes were measured and the results are shown in table 1. In order to study the effect of the percentage of air voids on the fatigue behaviour a range of air voids content was considered. The AC10 mix was prepared in slabs with dimensions of 305x400x75 mm. The quantities of the mix was calculated using the specific gravities and percentages of the aggregates and binder to produce a range of air voids from 2.83% to about 13.6% for the AC10 mixes. The roller compactor shown in Figure 2 was used to compact the mix quantities to the required slab dimensions. The same methods was used with AC14 mixes with a range of air voids from 3.96 to 6.6%. The

**Table 1.** Specific gravities and optimum binder content

Property	AC10	AC14
Bulk Specific gravity of aggregates	2.62	2.83
Maximum Theoretical Specific Gravity, Gmm	2.407	2.652
Total Optimum Binder Content	6.2	5.2
Effective Optimum Binder Content	5.98	4.19



**Fig. 1.** Aggregate gradations for AC10 and AC14 hot mix asphalts



**Fig. 2.** University of Canterbury roller compactor used to prepare AC10 and AC14 fatigue beams

range of the percentages of air voids used for AC14 is much narrower than AC10 and the author is planning to address this problem with some more testing in the future using higher air voids. Twenty four beams were prepared from the AC10 mixes and 13 beams of AC 14 mix.

## 2.1 Fatigue Testing

The 37 beams of both AC10 and AC14 were subjected to flexural stiffness modulus testing at 20 °C using the four bending beam apparatus shown in Figure 3. The flexural stiffness modulus was measured using haversin load pulses at 10 Hz frequency and the modulus is measured after 50 cycles. The fatigue test were carried out on asphalt specimens using constant strain mode and the failure is defined as the number of cycles at which the flexural modulus will reduce to 50% of its initial value. A range of 300 to 600  $\mu\epsilon$  of constant strain amplitudes was used in the testing. At least two replicates were tested at each strain level.



Fig. 3. University of Canterbury bending beam fatigue apparatus

## 2.2 Results and Analysis

The fatigue results of the AC10 and AC14 asphalt beams were plotted and compared with the Shell fatigue model predictions. Shell fatigue model that is currently used in the Austroads mechanistic empirical design is shown in Equation 1.

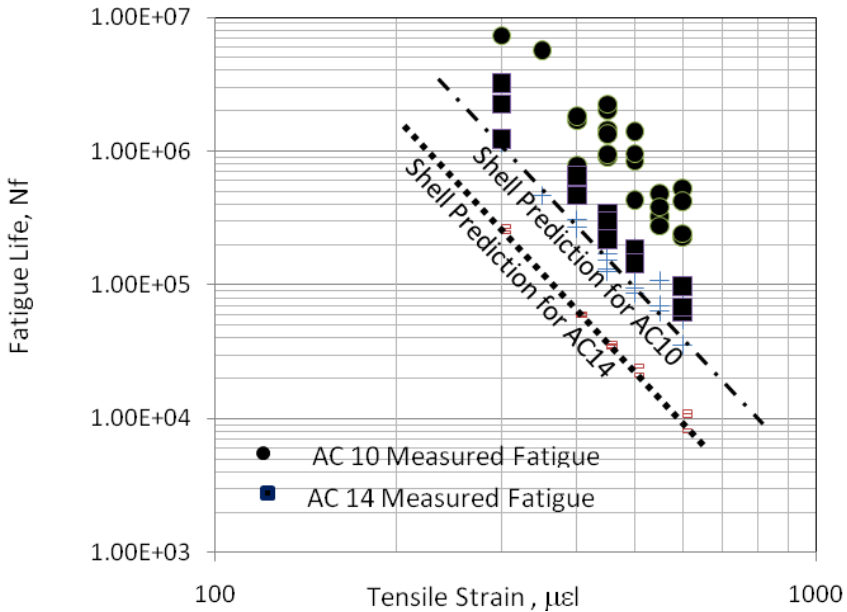
$$N_f = \left[ \frac{6918 * (0.856 * V_b + 1.08)}{S_{mix}^{0.36} * \mu\epsilon_i} \right]^5 \quad (1)$$

$N_f$ = Number of loading cycle to failure

$V_b$ = percentage by volume of bitumen in the asphalt mix;

$S_{mix}$ = asphalt mix flexural stiffness modulus in MPa; and

$\mu\epsilon$  = Tensile strain level in microstrain units.



**Fig. 4.** Measured fatigue values for AC10 and AC14 vesus the Shell predicted values

Figure 4 shows the measured fatigue values of the AC10 and AC14 asphalt mixes and the Shell predicted fatigue values. It is clear from Figure 1 that the Shell fatigue model is significantly underestimating the laboratory measured fatigue lives for the both types of mixes with at least one order of magnitude. It is well known that the laboratory measured fatigue values are significantly lower than the actual field fatigue due to several reasons such as traffic wander, time for crack healing is much longer, type of support for the asphalt (Saleh, 2010). Therefore, it is beyond doubt that the Shell fatigue model is exceedingly underestimating fatigue life and therefore overestimating the design thickness of asphalt mixes. It is also obvious that the two asphalt mixes behave differently and the Shell model is unable to model the two different types of mixes and any calibration or adjustment to the model will make it at best predicting one type or another.

In addition, it is obviously clear that the hot mix asphalt maximum nominal size is playing a very significant role in the fatigue behaviour of the hot mix asphalts. The AC 10 hot mix asphalt showed a remarkably higher fatigue resistance compared to AC14. This indicates that finer mixes are more fatigue resistant compared to coarser mixes. The simple explanation for this behaviour is that with fine gradation the crack path is much longer and more intricate compared to the coarser gradation. This means the crack growth time will be much longer and therefore the fatigue life is much longer for finer mixes compared to coarser mixes.



### 2.3 Fatigue Modelling

There are several forms of the fatigue models. The most common two forms are the strain model and the stain and stiffness modulus model as shown in equation 2 and 3. The strain model shown by Equation 1 does not address the effect of mix properties such as the percentage of air voids or binder content. Although the model shown in Equation 3 addresses one of the important mix properties which is the stiffness modulus yet the model is mathematically incorrect because both strain and stiffness are dependent variables. .

$$N_f = a \varepsilon_t^{-b} \quad (2)$$

$$N_f = a \varepsilon_t^{-b} E^{-c} \quad (3)$$

Where,

$N_f$  = Number of cycles to fatigue failure

$\varepsilon_t$  = Initial tensile strain

a,b, and c = material constants. They are based on the mix type, volumetric properties and binder type, and test conditions.

In this research the author is proposing a different form of the fatigue model that is addressing both the strain level and volumetric properties. Equation 4 shows the suggested model. In this model, the number of fatigue cycles to failure is a function of the percentage by volume of the effective binder content and the percentage of air voids content in the total mix and the tensile strain amplitude. The author believes that this model will better account for the volumetric properties of the mix which are related to the mix stiffness and the fatigue properties. The proposed model relates the number of fatigue cycles to failure with three independent parameters,  $V_{be}$ ,  $V_a$ , and strain amplitude.

$$N_f = a * \left( \frac{V_{be}}{V_a} \right)^b * \varepsilon^c \quad (4)$$

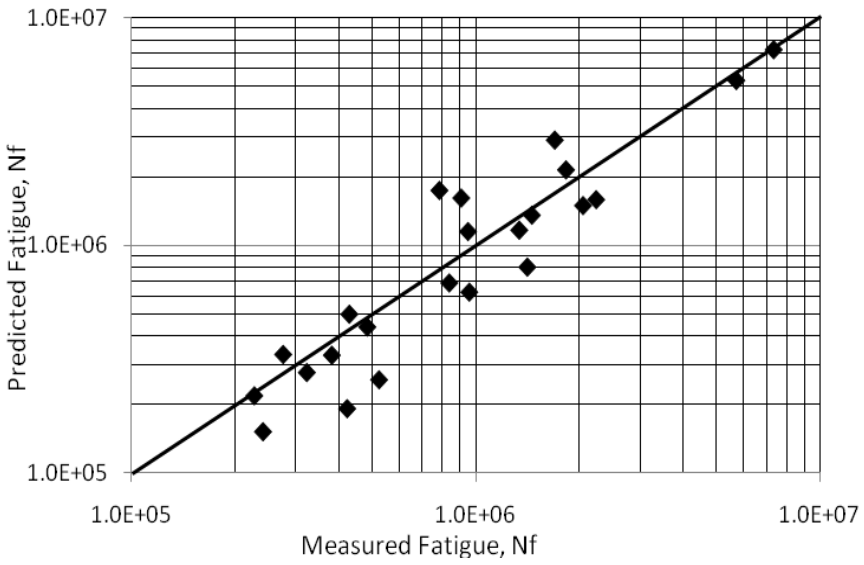
The developed fatigue model for the AC10 mix is shown in Equation 5.

$$N_f = 5.13 * 10^{18} * \left( \frac{V_{be}}{V_a} \right)^{0.467} * \varepsilon^{-4.83} \quad R^2=0.93 \quad (5)$$

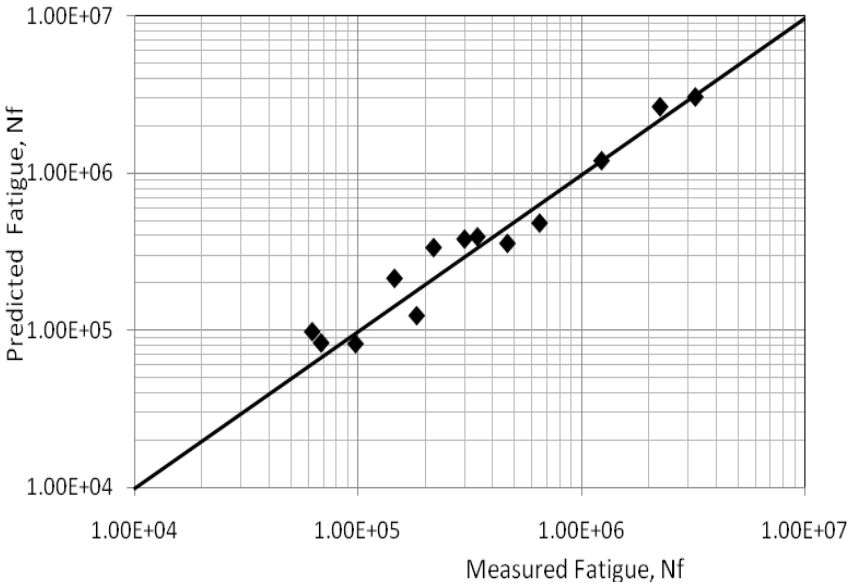
The developed fatigue model for the AC 14 mix is shown in Equation 6

$$N_f = 1.18 * 10^{19} * \left( \frac{V_{be}}{V_a} \right)^{-1.734} * \varepsilon^{-4.954} \quad R^2=0.973 \quad (6)$$

Figures 5 and 6 show the goodness of fit of Equations 5 and 6, respectively. Both models reasonably provide a good match between the measured and predicted fatigue lives without bias.



**Fig. 5.** The relationship between the predicted and measured fatigue life for AC10 hot mix asphalt



**Fig. 6.** The relationship between the predicted and measured fatigue life for AC14 hot mix asphalt

Despite the high correlation of the model shown in Equation 6, the negative exponent for the ratio of ( $V_{bc}/V_a$ ) does not seem correct and this perhaps is a result of the narrow range of the percentage of air voids selected for the AC14. Therefore, this model is not analysed any further in this paper and the author is considering testing more samples at wider percentages of air voids to have a better understanding of the behaviour of this mix.

### 2.3 Effect of Air Voids on Fatigue Behaviour

In order to study the effect of mix volumetrics on the fatigue behaviour, Equation 6 can be used as shown in Figure 7. For the same strain level and binder content, increasing the air void content decreases the fatigue life. This behaviour can be attributed to the greater degree of discontinuity in the mix structure as the air voids content increases and therefore, it becomes easier for cracks to grow and propagate in the mix resulting in lower fatigue resistance.

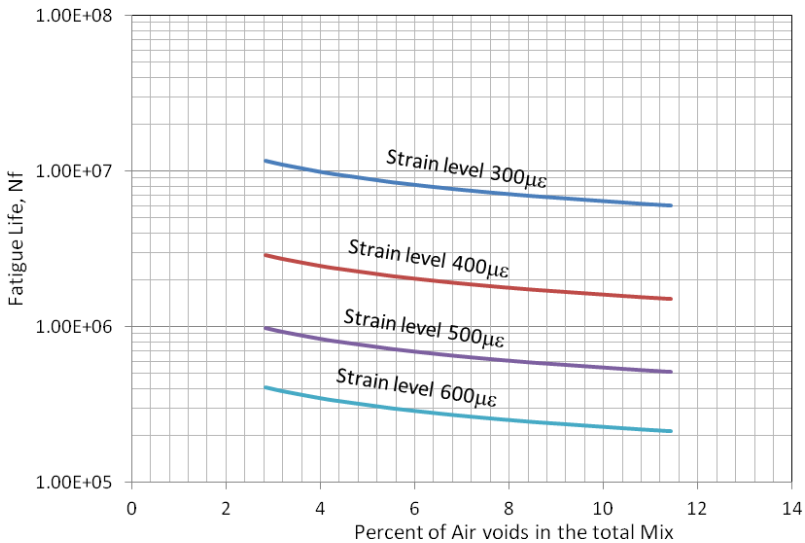


Fig. 7. Effect of the percentage of air voids on AC10 HMA fatigue Life

## 3 Conclusions

In this research two types of hot mix asphalts, AC10 and AC14 were used to investigate the fatigue behaviour. Hot mix asphalts were compacted at a wide range of air voids ranging from 2.83% to about 13.6% for AC10 mixes, however, AC 14 were prepared at a narrower range from 3.96 to 6.6%. Twenty-four beams of AC10 and thirteen beams of AC14 mixes were tested in four point bending beam fatigue using constant strain level ranges from 300 to 600µε. The measured

fatigue lives were compared with the predicted fatigue lives using the Shell fatigue model. It was clear that the Shell fatigue model is consistently underestimating the fatigue lives for both the AC10 and AC14 mixes. The fatigue behaviour of the AC 10 fine mix was significantly higher than the AC14 with at least one order of magnitude. The reason for this is the crack path is much longer and more intricate compared to the coarse graded mixes, therefore, the fatigue resistance and fatigue life for fine graded mixes are much longer. Two fatigue models were developed for the AC10 and AC14 to address the effect of volumetrics and strain amplitude on the fatigue life. The developed fatigue models provided very good match between the measured and predicted fatigue lives with a coefficient of determination  $R^2$  of at least 0.93. The effect of air voids content for AC10 was quite notable as the higher the air voids content in the total mix, the lower the fatigue resistance.

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# Theoretical Analysis of Overlay Resisting Crack Propagation in Old Cement Concrete Pavement

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**Abstract.** The main purpose of this study is to determine the effect of overlay on the crack propagation. In order to simplify the problem, a cement concrete pavement is modeled as an elastic plate on Winkler foundation. To drive the singular integral equations, the Fourier transform and dislocation density function are used. Lobatto—Chebyshev integration formula, as a numerical method, is used to solve the singular integral equations. The numerical solution of stress intensity factor at the crack tip is derived. In order to examine the effect of overlay for resisting crack propagation, numerical analyses are carried out on a cement concrete pavement with embedded crack and a concrete pavement with an asphalt overlay. Results show that the thickness and the shear modulus are two significant factors influencing the crack propagation.

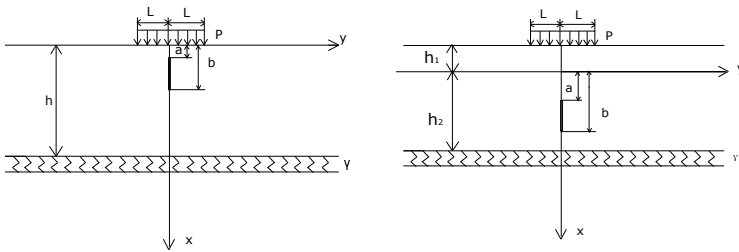
## 1 Introduction

Cement concrete pavement is a typical road surface used in urban roads, highways and airports. Since more and more cars and trucks are traveling on the road each day, damages in the form of cracks could be induced in the pavement structure due to the increasing traffic load. A popular method to resist the crack propagation is to place an asphalt overlay on the original cement concrete pavement. Therefore, determination of the thickness and relevant parameters of the asphalt overlay becomes a important and urgent subject. In 1950s, the fracture mechanics, which is a branch of solid mechanics, was forwarded as a discipline studying the strength of materials and structures containing cracks [1]. The complex function and integral transform based on the fracture mechanics theory are effective methods to derive the analytical solution for describing the problem. For instance, Zak, William[2] studied the stress intensity factor of two infinite planes with infinite crack and the crack terminated on the interface by using the complex function method. F. Erdogan and G. Gupta have obtained the analytical solution of composite materials containing cracks in the interlayer by using integral transform [3]. Integral transform was also adopted by Sei UEDA and Tatsuya MUKAI [4] to solve the problem of multilayered composite with a crack perpendicular to the boundary and with the normal and symmetric uniform load distributed on the crack. However, complex function and integral transform methods can not work well in all the conditions. For example, a perfect theoretical model for cement

concrete pavement with crack perpendicular to the interface has not been built. At present, the pavement with a crack can be mostly analyzed by the finite element method [5, 6]. However, compared with the approximate solution from finite element model, the theoretical approach is better in the computational complexity and accuracy. In this study, models are developed for describing the crack propagation in cement concrete pavement with and without asphalt overlay. Stress intensity factor is taken into account as a parameter to judge whether the crack will extend or not. For achieving the analytical expression of the intensity factor, Fourier transform and dislocation density function are used to drive the singular integral equations in this study. Lobatto—Chebyshev integration formula is employed to solve the singular integral equations. The numerical results of stress intensity factor at the crack tips are calculated in order to examine the usefulness of overlay for resisting crack propagation. The results from the numerical analysis are discussed and the factors that affect the crack propagation are investigated.

## 2 Description of the Problem

The cement concrete pavement with a crack perpendicular to the interface is considered as a plate on an elastic foundation. Figure1 and Figure2 show the concrete pavement models without and with the overlay respectively. There is an embedded crack perpendicular to the boundaries of the plate as shown in the figures. In this study, only the plane strain is concerned, thus the effect of volume force is ignored. And the analytical solution for the model in Figure2, which is more complicated, is discussed in detail.



**Figs. 1-2.** Model of cement concrete pavement with crack

The boundary conditions of the second model (Figure 2):

$$\sigma_{xy1}(x, 0) = 0, v_1(x, 0) = 0, -h_1 < x < 0 \tag{1}$$

$$\sigma_{xy2}(x, 0) = 0, 0 < x < h_2, v_2(x, 0) = 0, 0 < x < a \text{ or } b < x < h_2 \tag{2}$$

$$\sigma_{xx1}(-h_1, y) = P, -L < y < L; \sigma_{xy1}(-h_1, y) = 0, -\infty < y < \infty \tag{3}$$

$$\sigma_{xx2}(h_2, y) = \gamma u_2(h_2, y), \sigma_{xy2}(h_2, y) = 0, -\infty < y < \infty \tag{4}$$

$$u_1(0, y) = u_2(0, y), v_1(0, y) = v_2(0, y), -\infty < y < \infty \tag{5}$$

$$\sigma_{xx1}(0, y) = \sigma_{xx2}(0, y), \sigma_{xy1}(0, y) = \sigma_{xy2}(0, y), -\infty < y < \infty \tag{6}$$

$$\begin{aligned} \sigma_{yy2}(x, 0) &= 0, a < x < b; \\ \sigma_{xxn} \rightarrow 0, \sigma_{yyn} \rightarrow 0, \sigma_{xyn} \rightarrow 0, y \rightarrow \infty, n &= 1, 2 \end{aligned} \tag{7}$$

where  $\sigma_{xxn}, \sigma_{yyn}$  are the x, y components of the stress vector in the n layer, respectively.  $\sigma_{xyn}$  is the shearing stress in the n layer.  $u_n$  and  $v_n$  are the x, y components of the displacement vector in the n layer, respectively.  $n = 1, 2$ .  $\gamma$  is the stiffness of the foundation.

### 3 Derivation and Solution of Singular Integral Equations

The governing equations of plane elasticity are expressed as [7]:

$$(1+k) \frac{\partial^2 u}{\partial x^2} + (3-k) \frac{\partial^2 v}{\partial x \partial y} + (k-1) \left( \frac{\partial^2 u}{\partial y^2} + \frac{\partial^2 v}{\partial x \partial y} \right) = 0 \tag{8}$$

$$(3-k) \frac{\partial^2 u}{\partial x \partial y} + (1+k) \frac{\partial^2 v}{\partial y^2} + (k-1) \left( \frac{\partial^2 v}{\partial x^2} + \frac{\partial^2 u}{\partial x \partial y} \right) = 0 \tag{9}$$

where  $k = (3-\nu)/(1+\nu)$  for plane stress,  $k = 3-4\nu$  for plane strain and  $u, v$  are the x, y components of the displacement vector, respectively.  $\nu$  is Poisson's ratio. In this paper,  $k = 3-4\nu$  is selected corresponding to the case of plane strain.

From Hooke's Law, the stress components can be expressed as :

$$\sigma_x = \frac{G}{k-1} \left[ (1+k) \frac{\partial u}{\partial x} + (3-k) \frac{\partial v}{\partial y} \right]; \sigma_y = \frac{G}{k-1} \left[ (3-k) \frac{\partial u}{\partial x} + (1+k) \frac{\partial v}{\partial y} \right]; \sigma_{xy} = G \left( \frac{\partial u}{\partial y} + \frac{\partial v}{\partial x} \right) \tag{10}$$

where  $G$  is the shear modulus of the material .

In order to achieving the solutions of the displacement components, the displacement  $u_n, v_n$  in the n layer can be expressed with Fourier integral formulas[8]:

$$u_n(x, y) = \frac{2}{\pi} \int_0^\infty f_{n1}(x, \eta) \cos(\eta y) d\eta + \frac{1}{2\pi} \int_{-\infty}^\infty g_{n1}(\xi, y) e^{i\xi x} d\xi. \tag{11}$$

$$v_n(x, y) = \frac{2}{\pi} \int_0^\infty f_{n2}(x, \eta) \sin(\eta y) d\eta + \frac{1}{2\pi} \int_{-\infty}^\infty g_{n2}(\xi, y) e^{i\xi x} d\xi. \tag{12}$$

Substituting Eqs. (11) and (12) into Eqs. (8) and (9), one can obtain the expressions of displacements  $u_n$  and  $v_n$ .

$$u_n(x, y) = \frac{2}{\pi} \int_0^\infty [e^{\eta x} (A_{n1} + A_{n2}x) + e^{-\eta x} (A_{n3} + A_{n4}x)] \cos(\eta y) d\eta + \frac{1}{2\pi} \int_{-\infty}^\infty e^{i\xi x - |\xi|y} (B_{n3} + B_{n4}y) d\xi \tag{13}$$

$$v_n(x, y) = \frac{2}{\pi} \int_0^\infty \frac{1}{\eta} \{-e^{\eta x} [\eta A_{n1} + (\eta x + k)] A_{n2} + e^{-\eta x} [\eta A_{n3} + (\eta x - k)] A_{n4}\} \sin(\eta y) d\eta + \frac{1}{2\pi} \int_{-\infty}^\infty \frac{ie^{i\xi x - |\xi|y}}{\xi} [|\xi| B_{n3} + (k + |\xi|y) B_{n4}] d\xi \tag{14}$$

where  $B_{n3}$  and  $B_{n4}$  are the functions of  $\xi$  and  $A_{n1}, A_{n2}, A_{n3}$  and  $A_{n4}$  are the functions of  $\eta$ .

Substituting Eqs. (11) and (12) into Eq. (10), the components of stress are given by:

$$\sigma_{xxn} = \frac{2G_n}{\pi} \int_0^\infty \{e^{\eta x} [2\eta A_{n1} + (k - 1 + 2\eta x) A_{n2}] + e^{-\eta x} [-2\eta A_{n3} + (k - 1 - 2\eta x) A_{n4}]\} \cos(\eta y) d\eta + \frac{\mu}{2\pi} \int_{-\infty}^\infty \frac{ie^{i\xi x - |\xi|y}}{\xi} [2(k - 1)\xi^2 (B_{n3} + B_{n4}y) + |\xi|(k^2 - 4k + 3) B_{n4}] d\xi \tag{15}$$

$$\sigma_{yyn} = \frac{2G_n}{\pi} \int_0^\infty \{e^{\eta x} [-2\eta A_{n1} - (k + 3 + 2\eta x) A_{n2}] + e^{-\eta x} [2\eta A_{n3} - (k + 3 - 2\eta x) A_{n4}]\} \cos(\eta y) d\eta + \frac{\mu}{2\pi} \int_{-\infty}^\infty \frac{ie^{i\xi x - |\xi|y}}{\xi} [2(k - 1)\xi^2 B_{n3} + 2(1 - k)\xi^2 y B_{n4} + (1 - k^2)|\xi| B_{n4}] d\xi \tag{16}$$



$$\begin{aligned} \sigma_{xyn} = & \frac{2G_n}{\pi} \int_0^\infty \{e^{\eta x} [-2\eta A_{n1} - (k+1+2\eta x) A_{n2}] \\ & + e^{-\eta x} [-2\eta A_{n3} + (k+1-2\eta x) A_{n4}] \} \sin(\eta y) d\eta \quad (17) \\ & - \frac{\mu}{2\pi} \int_{-\infty}^\infty e^{i\xi x - |\xi|y} [(k-1) B_{n4} + 2|\xi|(B_{n3} + B_{n4}y)] d\xi \end{aligned}$$

For convenience, dislocation density function is introduced, which is defined as:

$$\phi(x) = \frac{\partial v(x, 0)}{\partial x}; \phi(x) = 0, 0 < x < a \text{ or } b < x < h; \int_a^b \phi(x) dx = 0.$$

Based on Eqs. (1) and (2) and the theory of residue,  $B_{13}, B_{14}, B_{23}$  and  $B_{24}$  are expressed as:

$$B_{13} = 0, B_{14} = 0, B_{23} = \int_a^b \frac{(k-1)e^{i\xi x}}{(k+1)|\xi|} \phi(t) dt, B_{24} = \int_a^b \frac{-2e^{i\xi x}}{(k+1)} \phi(t) dt \quad (18)$$

while the four homogeneous boundary conditions (3)-(6) are expressed in terms of  $A_{n1}, A_{n2}, A_{n3}, A_{n4}$  ( $n = 1, 2$ ) and  $\phi(t)$ :

$$e^{-\eta h_1} [2\eta A_{11} + (k-1-2\eta h_1) A_{12}] + e^{\eta h_1} [-2\eta A_{13} + (k-1+2\eta h_1) A_{14}] = P \sin(\eta L) / (G_1 \eta) \quad (19)$$

$$e^{-\eta h_1} [-2\eta A_{11} - (k+1-2\eta h_1) A_{12}] + e^{\eta h_1} [-2\eta A_{13} + (k+1+2\eta h_1) A_{14}] = 0 \quad (20)$$

$$e^{\eta h_2} [-2\eta A_{21} - (k+1+2\eta h_2) A_{22}] + e^{-\eta h_2} [-2\eta A_{23} + (k+1-2\eta h_2) A_{24}] = \int_a^b F_1(\eta, t) \phi(t) dt \quad (21)$$

$$\begin{aligned} & e^{\eta h_2} \{ (2\eta\beta - 1) A_{21} + [\beta(k-1+2\eta h_2) - h_2] A_{22} \} \\ & + e^{-\eta h_2} \{ (-2\eta\beta - 1) A_{23} + [\beta(k-1-2\eta h_2) - h_2] A_{24} \} = \int_a^b F_2(\eta, t) \phi(t) dt \quad (22) \end{aligned}$$

$$\begin{aligned} & G_1 [2\eta A_{11} + (k-1) A_{12} - 2\eta A_{13} + (k-1) A_{14}] \\ & - G_2 [2\eta A_{21} + (k-1) A_{22} - 2\eta A_{23} + (k-1) A_{24}] = G_2 \int_a^b F_3(\eta, t) \phi(t) dt \quad (23) \end{aligned}$$

$$\begin{aligned} & G_1 [-2\eta A_{11} - (k+1) A_{12} - 2\eta A_{13} + (k+1) A_{14}] \\ & - G_2 [-2\eta A_{21} - (k+1) A_{22} - 2\eta A_{23} + (k+1) A_{24}] = G_2 \int_a^b F_4(\eta, t) \phi(t) dt \quad (24) \end{aligned}$$

$$A_{11} + A_{13} - A_{21} - A_{23} = \int_a^b F_5(\eta, t) \phi(t) dt \quad (25)$$

$$\begin{aligned}
 &(-\eta A_{11} - kA_{12} + \eta A_{13} - kA_{14}) / \eta \\
 &-(-\eta A_{21} - kA_{22} + \eta A_{23} - kA_{24}) / \eta = \int_a^b F_6(\eta, t)\phi(t) dt
 \end{aligned} \tag{26}$$

where  $\beta = G_2/\gamma$ ,  $G_1$  is the shear modulus of the material in the first layer,  $G_2$  is the shear modulus of the material in the second layer.

$$\begin{aligned}
 F_1(\eta, t) &= 2e^{-\eta(t-h_2)}[\eta(h_2 - t) - 1] / (k + 1), F_3(\eta, t) = 2e^{-\eta t} / (k + 1), \\
 F_2(\eta, t) &= e^{-\eta(t-h_2)}\{8\beta\eta^2(h_2 - t) + [2(k - 1) + 4\eta(h_2 - t)]\} / 4\eta(k + 1), \\
 F_4(\eta, t) &= 2e^{-\eta t}(\eta t - 1) / (k + 1), F_5(\eta, t) = e^{-\eta t}(k - 1 - 2\eta t) / 2\eta(k + 1), \\
 F_6(\eta, t) &= 1/2 - e^{-\eta t} / 2\eta + te^{-\eta t} / (k + 1).
 \end{aligned}$$

Solving Eqs.(19)-(26) for  $A_{11}, A_{12}, A_{13}, A_{14}, A_{21}, A_{22}, A_{23}$  and  $A_{24}$  in terms of  $\phi(t)$  and substituting  $A_{21}, A_{22}, A_{23}, A_{24}, B_{23}$  and  $B_{24}$  into the first equation of Eq.(9), it yields:

$$\int_a^b K_1(x, t)\phi(t) dt + \int_a^b K_2(x, t)\phi(t) dt = \frac{(k + 1)\pi}{4G_2} p(x) \tag{27}$$

where  $K_1(x, t) = \int_{-\infty}^{\infty} \frac{1}{2}(i\xi/|\xi|)e^{i\xi(x-t)}d\xi$ . With the assistance of the result

$$i \int_{-\infty}^{\infty} \text{sgn}(\xi)e^{i\xi(t-x)}d\xi = -\frac{2}{t-x}, \text{ one can obtain: } K_1(x, t) = \frac{1}{t-x} \text{ and}$$

$$\begin{aligned}
 K_2(x, t) &= \frac{G_2 t}{2(kG_1 + G_2)(G_1 + kG_2)} \left[ \frac{-3G_1 + k^2G_1 - 2kG_2}{(t+x)^2} \right. \\
 &\quad \left. + \frac{2(2xG_1 + 2kxG_2)}{(t+x)^3} \right] + \int_0^{\infty} \phi(x, t, \eta) d\eta
 \end{aligned}$$

$\phi(x, t, \eta)$  and  $p(x)$  can be solved by Gauss—Laguerre numerical quadrature formula.

To solve the integral equation numerically by using a collocation technique, the interval (a, b) in Eq. (27) is normalized and expressed as:

$$\int_{-1}^1 \frac{g(r)}{r-s} dr + \int_{-1}^1 K(s, r)g(r)dr = \frac{(k + 1)\pi}{4G_2} f(s) \tag{28}$$

The cases  $a>0$  represent an embedded crack problem. For an embedded crack, the solution of the integral equation (28) can be expressed as:  $g(r) = F(r)/\sqrt{1-r^2}$ .

Lobatto—Chebyshev integration formula is then used to solve the singular integral equations. The singular integral equation is converted to a system of linear equations by means of this numerical method. The expression of Eq. (28) can be written as:

$$\sum_{j=1}^n \omega_j F(r_j) \left[ \frac{1}{r_j - s_i} + K(r_j, s_i) \right] = \frac{(k+1)\pi}{4\mu} f(s_i) \tag{29}$$

where  $\omega_j$  is the weight function  $\omega_j = \pi / (n - 1)$ ,  $j = 2, \dots, n - 1$ ,

$$r_j = \cos((j-1)\pi / (n - 1)), j = 1, \dots, n ; s_i = \cos((2i - 1)\pi / (2n - 1)), i = 1, \dots, n - 1$$

In Eq. (29), there are n-1 equations and the last equation comes from the property of dislocation density function which is expressed as  $\int_{-1}^1 g(r)dr = 0$  for the embedded crack. It is also written as:

$$\sum_{j=1}^n \omega_j F(r_j) = 0$$

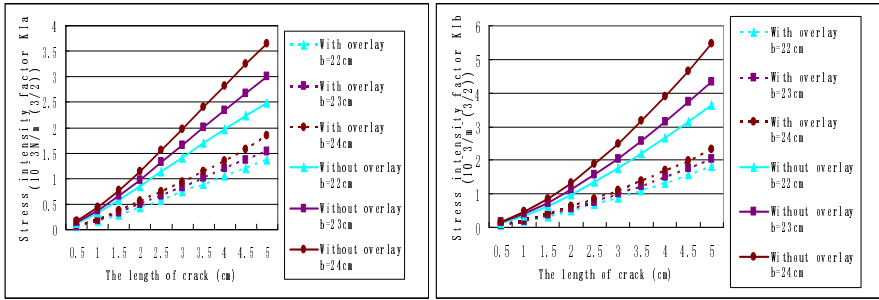
The stress intensity factors are defined and evaluated as[9]:

$$K_{Ia} = \lim_{x \rightarrow a} \sqrt{2(a - x)} \sigma_{yy2} (x, 0), K_{Ib} = \lim_{x \rightarrow b} \sqrt{2(x - b)} \sigma_{yy2} (x, 0) \tag{30}$$

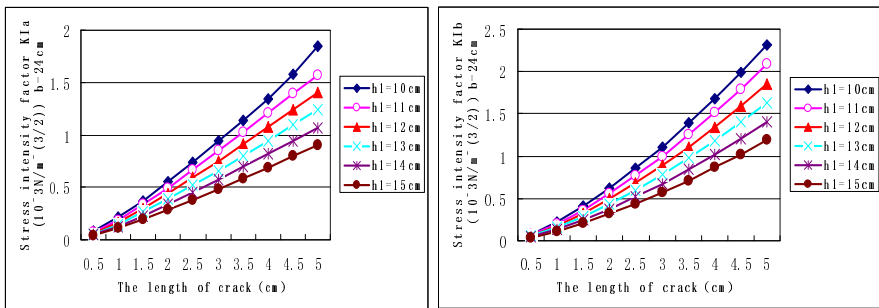
Substituting  $A_{11}, A_{12}, A_{13}, A_{14}, A_{21}, A_{22}, A_{23}, A_{24}, B_{13}, B_{14}, B_{23}$  and  $B_{24}$  into Eqs. (13)-(17), stress at any positions shown in Figure 2 can be derived. The same method can also be used to solve the model shown in Figure 1.

### 4 Numerical Examples and Discussion

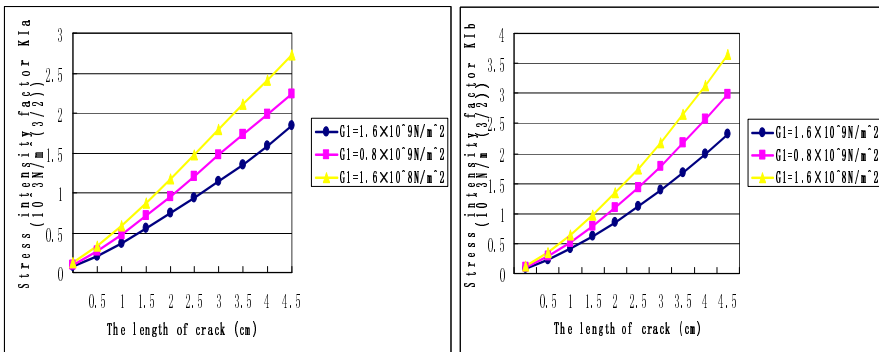
In order to verify the achieved formulation and compare the stress intensity factors of model 1 (as shown in Figure 1) and model 2 (as shown in Figure 2). The parameters for the model 1 are:  $P=700000N/m, L=0.15m, E=3.1 \times 10^{10}N/m^2, G=1.1482 \times 10^{10}Mpa, \gamma=1.3 \times 10^9N/m^3, h=0.25m, \nu=0.35$ . In the case of plane strain problem,  $k=1.6, \beta=G/\gamma=8.832$ , length of crack  $l=b-a$ . The parameters for the model 2 are:  $P=700000N/m, L=0.15m, E_1=4.32 \times 10^9N/m^2, G_1=1.6 \times 10^9 N/m^2, h_1=0.1m, \nu_1=0.35, k_1=1.6, E_2=3.1 \times 10^{10}N/m^2, G_2=1.1482 \times 10^{10}N/m^2, \gamma=1.3 \times 10^9N/m^3, h_2=0.25m, \nu_2=0.35, k_2=1.6, \beta=G_2/\gamma=8.832$ . For comparing the old cement concrete pavement with and without asphalt overlay, the stress intensity factors of the crack tips are calculated for model 1 and model 2, and the results are shown in Figures 3 and 4. As the thickness of the overlay and the shear modulus of the material are important factors which affect the stress intensity factors, the results calculated with different thickness and shear modulus are shown in Figures 3-8.



**Figs. 3-4.** Comparison of Stress intensity factors of the crack tip (a,b) with and without overlay on old cement concrete pavement



**Figs. 5-6.** Stress intensity factors of the crack tips (a,b) with different thickness (thickness from 10cm to 15cm) of overlay on old cement concrete pavement



**Figs. 7-8.** Stress intensity factors of the crack tips (a,b) with different shear modulus of overlay material

In Figures 3 and 4, regardless of the length of the crack, the stress intensity factors of the crack tips in the pavement with 10 cm height overlay are about half of those in pavement without overlay. It indicates that using the overlay is an effective way for lowering the crack extension. From Figures 5- 6, with the thickness of the overlay increasing from 10cm to 15cm, the stress intensity factors decrease by around 50%. For shear modulus of the material, it is obvious that stress intensity factors of the crack tips increase as shear modulus of the material decreases. This can be found in Figures 7-8. Therefore, the shear modulus of the material is also considered as one significant factor that influences the crack propagation.

## 5 Conclusions

The method of Fourier transform and dislocation density function in association with solving the singular integral equations are introduced to calculate the stress and stress intensity factors in a cement concrete pavement which contains a crack perpendicular to the interface and with asphalt overlay on it. This method can be used to analyze effect of asphalt overlay for resisting crack propagation. Current numerical simulation indicates that the asphalt overlay on top of the old concrete pavement plays an important role for protecting the structure from the crack damage. The thickness of the overlay and the shear modulus of the material are concerned as two significant factors influencing the crack propagation.

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# Calibration of Asphalt Concrete Cracking Models for California Mechanistic-Empirical Design (CalME)

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**Abstract.** Cracking is one of the major distress mechanisms for pavements with asphalt concrete surfaces. Given the composite nature of asphalt concrete, simulation methods such as the Discrete Element Method (DEM) that can incorporate material microstructure are required for properly describing the formation and progression of cracking in flexible pavements. These methods are however typically too time-consuming for use in routine design. As a trade off, a simplified approach based on continuum damage mechanics is taken in the California Mechanistic-Empirical method, called CalME, for practical considerations. The effect of cracking (broken contacts) is described as decreases in overall stiffness, which is indicated by damage. The rate of damage increase is in turn empirically related to peak strain energy endured by the material. The format and constants of this relationship are determined from laboratory fatigue testing of the asphalt concrete. Except for the first few loads, where temperature effects may be pronounced, all of the stiffness versus number of load applications curve are used. Once damage history is calculated, visual surface cracking history can be derived as an empirical function of damage and asphalt layer thickness. This paper presents the CalME fatigue and reflective cracking model and its calibration process using deflection data collected from various Heavy Vehicle Simulator (HVS) tests and the WesTrack accelerated pavement testing experiment.

## 1 Introduction

Cracking is one of the major distress mechanisms for pavements with asphalt concrete surfaces. Given the composite nature of asphalt concrete, simulation methods such as Discrete Element Method (DEM) [1, 2] that can incorporate material microstructure are required for properly describing the formation and progression of cracking in flexible pavements. These methods are however typically too time-consuming for use in routine design. As a trade off, a simplified approach based on continuum damage mechanics is taken in the California Mechanistic-Empirical method, called CalME, for practical considerations.

In CalME, the effect of cracking (broken contacts) in asphalt concrete is described as decreases in overall stiffness, which is indicated by damage. The rate of damage increase (i.e., damage evolution) is in turn empirically related to peak strain energy endured by the material. Once damage history is calculated, visual

surface crack density history can be derived as an empirical function of damage. The correlation between visual surface crack density and asphalt concrete are established through field observations.

This paper presents the CalME fatigue and reflective cracking model and its calibration process using deflection data collected from various Heavy Vehicle Simulator (HVS) tests and the WesTrack accelerated pavement testing experiment. The objective is to present a simple and reasonable mechanistic-empirical design framework.

## 2 Asphalt Concrete Cracking Models in CalME

The asphalt concrete (AC) cracking model in CalME can be divided into three components: (a). stiffness model; (b). damage evolution model; and (c). damage to surface crack density correlation. They are explained in detail below.

### 2.1 Asphalt Concrete Stiffness Model

Asphalt concrete (AC) is a rate and temperature dependent material. In CalME however, pavement responses such as stress, strain and deflection are calculated using multilayer elastic theory with every layer assumed to be linear elastic. Following conventional approach, the rate and temperature dependency of AC is described by the stiffness master curve:

$$\log E = \delta + \frac{\alpha}{1 + \exp(\beta + \gamma \log t_r)} \quad (1)$$

where  $\delta$ ,  $\alpha$ ,  $\beta$ , and  $\gamma$  are model parameters and  $t_r$  is the reduced loading time. The above equation is exactly the same as the one used in the mechanistic empirical pavement design guide (MEPDG) [3]. The reduced time is in turn a function of physical loading time and layer temperature:

$$t_r = t_l \cdot \left( \frac{\eta_{ref}}{\eta} \right)^{aT} \quad (2)$$

where  $t_l$  is the loading time,  $\eta$  is binder viscosity at given layer temperature,  $\eta_{ref}$  is binder viscosity at reference temperature and  $aT$  is a model parameter. Binder viscosity is in turn calculated as:

$$\log \log(\eta) = A + VTS \times \log(T_k) \quad (3)$$

where  $T_k$  is binder temperature in Kelvin ( $^{\circ}\text{K}$ ),  $\eta$  is binder viscosity in cpoise, and  $A$  and  $VTS$  are model parameters.

Loading time is a rather uncertain notion, as it will vary for different types of responses. For example, the loading time for transverse strain will be much longer than it is for longitudinal strain because the transverse strain is tangential to the

load, whereas the longitudinal is radial and therefore has a sign change. The loading time is calculated as:

$$t_l = 2 \times \frac{r_{load} + h/3}{v} \quad (4)$$

where  $r_{load}$  is the load radius of the tire,  $h$  is the AC layer thickness and  $v$  is wheel speed. The reference temperature is typically 20°C, which is selected arbitrarily and does not affect AC layer stiffness for any given temperature and loading rate.

Once damage is induced in the AC layer, its stiffness will change. The model for the master curve of the damaged AC layer is:

$$\log E = \delta + \frac{\alpha \cdot (1 - \omega)}{1 + \exp(\beta + \gamma \log t_r)} \quad (5)$$

where  $\omega$  is a scalar representing damage.

## 2.2 Asphalt Concrete Damage Evolution Model

In CalME, the asphalt concrete damage  $\omega$  as defined in Equation (5) is driven by strain energy experienced by the AC layer. Specifically, the  $\omega$  is calculated using the following function:

$$\omega = \left( \frac{MN}{SF \cdot MN_p} \right)^\alpha \quad (6)$$

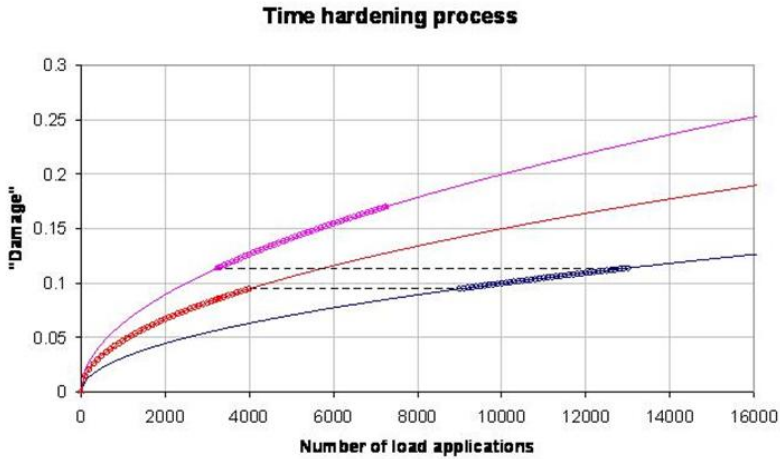
where  $MN$  is the number of load repetitions applied in millions,  $SF$  is the shift factor for AC damage hereafter referred to as damage shift factor (DSF), while  $MN_p$  is the number of allowable load repetitions (i.e. fatigue life) for a given load and  $\alpha$  is a model parameter.  $MN_p$  is in turn determined as:

$$MN_p = A \times \left( \frac{\epsilon}{\epsilon_{ref}} \right)^\beta \times \left( \frac{E}{E_{ref}} \right)^{\beta/2} \quad (7)$$

where  $\epsilon$  is bending strain at the bottom of AC layer,  $E$  is stiffness of AC layer after accounting for damage, aging and compaction etc.  $A$  and  $\beta$  are model parameters while  $\epsilon_{ref}$  and  $E_{ref}$  are normalizing constants. The fact that the exponent for strain is twice the value of the exponent for stiffness implies that fatigue life of an AC layer is determined by strain energy input into the layer.

Equation (6) and (7) only describes how damage can be calculated when bending strain and AC layer stiffness remain constant, which does not happen in the field. For damage accumulation in the field, CalME adopts an incremental-recursive (IR) procedure. "Incremental" refers to the fact that CalME simulates pavement performance one increment at a time. The default duration of each increment is 30 days, but this may be as short as one day as determined by the user. "Recursive" refers to the fact that CalME uses the output from one increment, recursively, as input to the next increment.



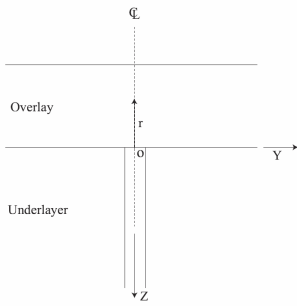


**Fig. 1.** Time hardening process for accumulating damage following multiple damage evolution curves, each corresponding to a different level of strain energy experience by the material

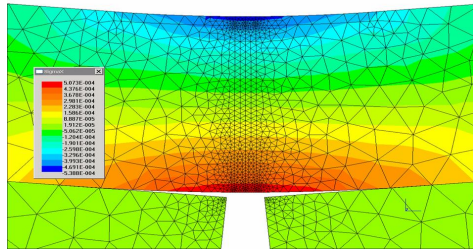
During a simulation of pavement performance over its design life, the parameters on the right hand side of the equation (7) may change from increment to increment. Essentially, there are multiple damage evolution functions in effect with one for each loading condition (wheel load level and loading temperature etc.). The first step in the process is, therefore, to calculate the “effective” number of load applications that would have been required, with the present parameters, to produce the condition at the beginning of the increment. In the second step the new condition, at the end of the increment, is calculated for the “effective” number of load applications plus the number of applications during the increment. This must be repeated for each load and load position within the increment. This process is illustrated in Figure 1, which shows how damage is accumulated over three time increments each with a different loading condition.

Note that in CalME, damage caused by fatigue cracking and reflective cracking are both calculated using equations (6) and (7) with the only difference in how the bending strain  $\epsilon$  is calculated. For fatigue cracking,  $\epsilon$  is the bending strain at the bottom of the AC layer. For reflective cracking,  $\epsilon$  is the critical tensile strain at the crack tip. This is explained in more detail next.

CalME currently can only account for traffic-induced reflective cracking. For reflective cracking in AC overlay over old AC pavements, the overlay and the underlying layer are assumed to be fully bonded. The strain at the crack tip is singular in linear elasticity analysis. As a simple alternative to linear elastic fracture mechanics, the average first principle strain along centerline (see Figure 2) within 10-mm radius of the crack tip is calculated as the strain  $\epsilon$  to be used in equation (7).



**Fig. 2.** Geometry at the crack tip for bonded AC overlay over old AC underlayer



**Fig. 3.** Local debonding between AC overlay and the underlying PCC layer

For reflective cracking in AC overlay over Portland cement concrete (PCC) pavements, the overlay and the underlying layer is assumed to be locally debonded near the underlying crack/joint. The debonding removes singularity in strain at the crack tip. An example of the bending strain field is shown in Figure 3. The maximum bending strain at the crack tip is used as  $\epsilon$  in equation (7) for calculating reflective cracking damage. The exact equations for calculating reflective cracking strain were developed based on regression of thousands of finite element runs and can be found in [4].

### 2.3 Correlation between Damage and Surface Crack Density

The correlation between surface layer damage and surface crack density is described by an empirical equation:

$$C = \frac{C_{max} \times (\omega_{initiation}^\alpha - 1)}{\omega_{initiation}^\alpha - \frac{C_{max}}{C_i} + (\frac{C_{max}}{C_i} - 1) \times \omega^\alpha} \tag{8}$$

where  $C$  is the surface crack density and  $\alpha$  is a model parameter.  $\omega_{initiation}$  is the damage correspond to crack initiation.  $C_i$  is the surface crack density corresponding to crack initiation damage  $\omega_{initiation}$ , while  $C_{max}$  is the maximum surface crack density.  $C_i$  is assumed to be 5% wheelpath cracking while  $C_{max}$  is 100% wheelpath cracking. The damage to the surface layer at crack initiation is determined from:

$$\omega_{initiation} = \frac{1}{1 + \left(\frac{h_{AC}}{h_0}\right)^a} \tag{9}$$

where  $h_{AC}$  is the combined thickness of the surface AC layers,  $h_0$  and  $a$  are empirical model parameters.

### 3 Determination of Mechanistic Model Parameters

The model parameters introduced in previous section can be divided into two groups: (1). mechanistic model parameters that can be determined using laboratory test data; and (2). empirical model parameters that can only be determined by incorporating field observations through model calibration.

Specifically, all of the model parameters introduced in previous section for AC layer stiffness i.e., Equations (1) to (3)) and AC layer damage evolution (i.e., Equations (6) and (7)) mechanistic parameters except the shift factor  $SF$  in Equation (6), and all the other model parameters in Equations (8) and (9) are empirical parameters. This section discusses determination of mechanistic model parameters, while the next section focuses on determination of empirical parameters (typically referred to as model calibration in M-E design).

Mechanistic model parameters are determined by fitting laboratory test data. In other words, these model parameters are determined by minimizing the difference between model predictions and laboratory measurements.

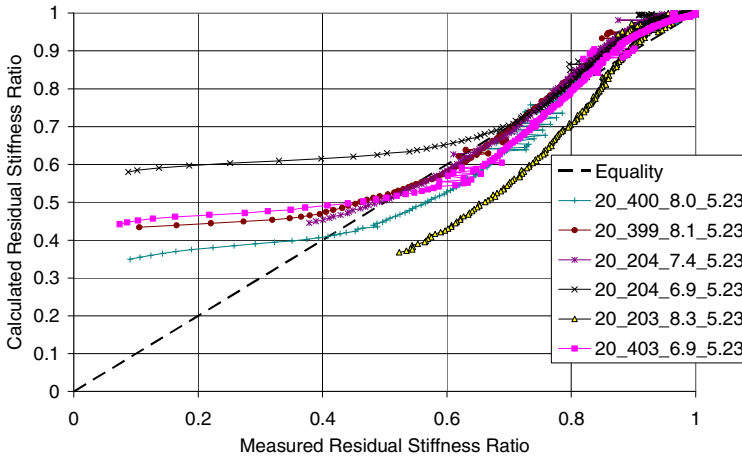
**Table 1.** Standard laboratory tests to obtain mechanical properties for AC Layer

Property	Test Type	Experiment Design
Stiffness Master Curve	Beam bending frequency sweep (AASHTO T321 with modifications on testing duration and frequency)	3 Temperatures (10, 20 and 30°C) x 2 Replicates = 6 tests
Fatigue Resistance	Beam bending fatigue (AASHTO T321)	2 Strains (200 and 400 microstrains) x 3 Replicates = 6 tests

AC stiffness model parameters can be determined by fitting any test data that fully describe the rate and temperature dependency of AC stiffness. AC damage evolution model parameters can be determined by fitting any test data that describe how AC stiffness decreases with number of load repetitions under various loading conditions. Note however, the empirical parameter  $SF$  needs to be set to 1.0 during this process. The standard laboratory test data used in California are listed in **Table 1**. When these test data are not available, many other alternatives can be used.

**Error! Reference source not found.** shows examples of a set of stiffness reduction curves from beam bending fatigue tests. A total of six beams are tested with air void contents within the range of  $7.5 \pm 0.6\%$ . Except for the first few loads, where temperature effects may be pronounced, all of the stiffness versus number of load applications curve are used. Thixotropic effects are not currently considered in the AC damage evolution. The influence of thixotropy is currently handled in a very simplistic manner through consideration of the effects of rest periods which is not discussed in this paper. The AC damage evolution model shown in Section 0 was used to fit the stiffness reduction curves, with shift factor

$SF$  set to 1.0. The comparison of calculated and measured residual stiffness ratios is shown in Figure 4. As shown in Figure 4, the model predictions and lab measurements matched very well for residual stiffness ratios between 0.5 and 1.0, which typically correspond to the stage when microcracks in the beams are still coalescing and no major cracks have formed yet.



**Fig. 4.** Comparison of measured and calculated residual stiffness ratio after fitting beam bending fatigue test data, model parameters used are  $A = 17.9$ ,  $\alpha=0.768$ ,  $\beta = -4.49$ ,  $\epsilon_{ref} = 200\mu\epsilon$  and  $E_{ref} = 3000\text{MPa}$ .

## 4 Calibration of Empirical Model Parameters

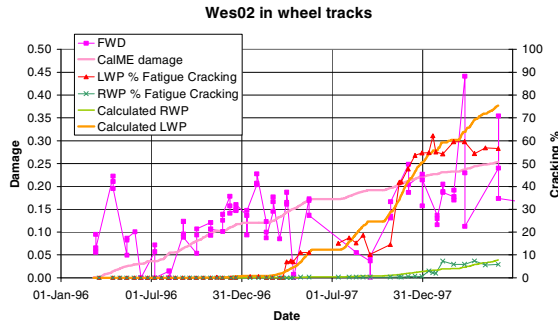
Empirical model parameters can only be determined using field observation data through a process typically referred to as M-E model calibration. For CalME, model calibration was done using WesTrack [5] and heavy vehicle simulator (HVS) test data [6].

As mentioned in Section 0, the shift factor  $SF$  in Equation (6), and all of the model parameters introduced in Section 0 are empirical parameters. The calibration procedures and results for these empirical parameters are presented in this section.

### 4.1 Calibration of Shift Factor in AC Damage Evolution

The damage shift factor (DSF)  $SF$  in Equation (6) accounts for the difference in damage evolution rates between laboratory and field conditions. As the first step in calibrating damage the shift factor, CalME was used to predict the evolution of pavement deflections caused by trafficking. For WesTrack tests, trafficking was applied using four triple-trailers running at 64 km/hour while pavement deflections

were measured at the surface at various intervals using falling weight deflectometer (FWD). For HVS tests, trafficking was typically applied using half-axle dual wheel truck tires running at around 9.0 km/hour while deflections were measured regularly using Multi Depth Deflectometers (MDDs) and Road Surface Deflectometer (RSD, similar to a Benkelman beam). The empirical model parameters were adjusted to allow best match between predicted and measured pavement deflections. One DSF was determined for each test section (either in WesTrack or HVS testing). An example of comparison between damage predicted by CalME and damage back-calculated from FWD deflection data is shown in Figure 5.



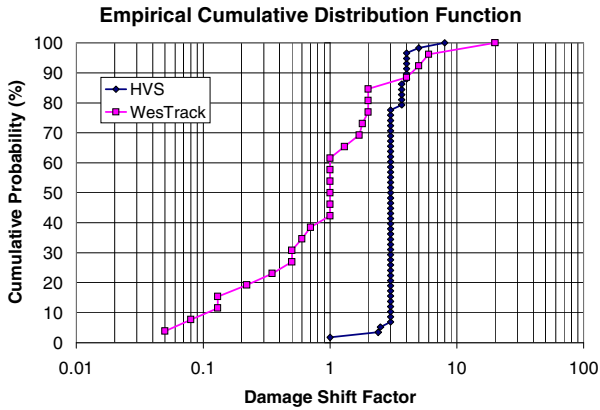
**Fig. 5.** Measured and calculated damage and cracking from section 02FLM, damage shift factor (DSF) = 0.5, LWP and RWP stands for left and right wheel path respectively

CalME has a series of models that account for factors such as AC stiffness change due to pavement temperature, traffic characteristics (wheel load, tire pressure, tire configuration, wheel wander, traffic volume, and wheel speed), AC stiffness change due to aging and traffic-induced densification and the effect of rest period. Nevertheless, there are differences between idealized CalME model predictions and measured pavement responses. These differences can be attributed to two sources: (a). inaccuracies in CalME models; and (b). factors neglected in CalME but affects pavement responses.

An example of source (a) is the multi-layer elastic theory used in CalME, which assumes pavement layers to be homogeneous, linear elastic, isotropic and uniform in layer thickness. These assumptions can all cause inaccuracy in the stress and strain calculated for driving damage evolution.

An example of source (b) is the effect of pavement temperature on AC fatigue performance. The mechanistic model parameters for equations (6) and (7) are temperature dependent. In CalME, this temperature dependency is simply neglected and its effect is incorporated into the damage shift factor  $SF^I$  in equation (6).

As expected, the combined effects of source (a) and source (b) factors can lead to different values of DSFs for individual test sections. The empirical cumulative distribution functions for DSF from HVS tests and Westrack sections are shown in Figure 6.

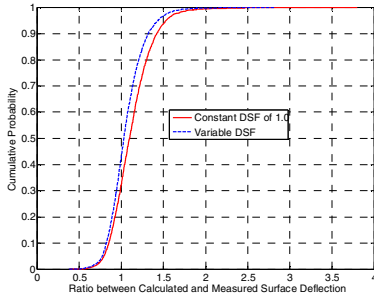


**Fig. 6.** Empirical cumulative distribution function for all of the damage shift factors used in CalME calibration using WesTrack and HVS test data

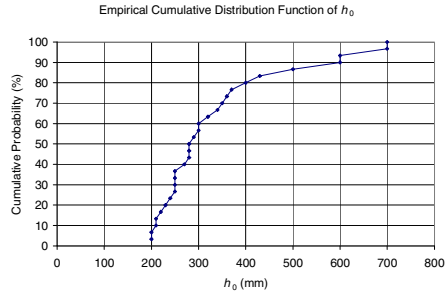
Figure 6 shows that DSF is not really a constant, indicating that the difference between CalME model predictions and field observations from WesTrack and HVS testing is not systematic. In theory, DSF should be a random variable with its statistics such as mean and standard deviations determined through model calibration. Since DSF is a random variable, the M-E performance prediction should be probabilistic by nature. In practice however, a constant DSF is desired to provide mean deterministic performance prediction. A median value should be chosen for this purpose to provide 50-th percentile performance prediction. The median value for DSF is 1.0 based on WesTrack data and 3.0 based on HVS data.

HVS testing was primarily used for the critical step of calibrating response models and initial damage shift factors, however the slow speed of the wheel, and the controlled temperature environment that eliminates the stresses and opening and closing of cracks from temperatures changes leads to the need to also consider the WesTrack DSF for design. Since WesTrack is believed to be closer to field condition compared to HVS testing, it is decided to use a value 1.0 for constant DSF based on WesTrack data. Additional calibration is a goal for future work using improved data from work being done on the Caltrans pavement management system, and from other test tracks.

Since Figure 6 shows large difference between individual DSF values obtained from WesTrack and HVS data, it is necessary to evaluate the effect of using a constant DSF of 1.0 on the quality of fit for surface deflection data measured in HVS. Figure 7 shows the comparison of empirical cumulative distribution functions for the ratio between calculated and measured surface deflections in HVS tests using different DSF values. As shown in Figure 7, the two empirical CDF functions are not significantly different, indicating that surface deflections are not very sensitive to the DSF values. This implies the need for using more pavement response data (in addition to surface deflection) when calibrating CalME AC damage models. At the same time, using a constant DSF value of 1.0 is still allows CalME to provide reasonable prediction of surface deflections.



**Fig. 7.** Empirical cumulative distribution functions for the ratio between calculated and measured surface deflections in HVS tests using different DSF values



**Fig. 8.** Empirical cumulative distribution function for empirical model parameter  $h_0$

Note that even though the same constant DSF value of 1.0 is used in CalME Monte Carlo simulation, the probabilistic nature of DSF is accounted for in Monte Carlo simulations by allowing mechanistic model parameter A in equation (7) to be a random variable.

### 4.2 Calibration of Damage to Surface Crack Density Model Parameters

Calibration of damage to surface crack density (D2SCD) model involves determination of model parameters  $\alpha$ ,  $a$ , and  $h_0$  as defined in equations (8) and (9). This is done for each individual test section after DSF has been determined through the procedure shown in Section 0. Specifically, since both damage evolution history and surface damage crack density history are known, the D2SCD model parameters can be determined by minimizing the difference between calculated and measured surface crack density. An example comparison between calculated and measured surface crack density is shown in Figure 5 for both left and right wheel paths for WesTrack section 02.

Based on WesTrack test data,  $\alpha = -8.0$ ,  $a = -2.0$ , while  $h_0$  varies between 200 and 700mm. The empirical cumulative distribution function for  $h_0$  is shown in Figure 8. According to equation (9), damage corresponding to crack initiation decreases as  $h_0$  increases, which in turn means more surface crack for the same damage. A  $h_0$  value of 250mm was selected as a round number close to the median found from calibration studies.

## 5 Summary and Conclusions

This paper presents a simplified mechanistic-empirical (M-E) fatigue and reflective cracking model based on continuum damage mechanics. Details about

how damage evolves and how surface crack density is calculated are provided. The model parameters are divided into two groups: (a) mechanistic parameters that are determined using laboratory test data; and (b) empirical parameters that are determined using data measured in the field. The procedures for determination of both mechanistic and empirical parameters are presented along with examples and actual values for both groups of model parameters.

As shown in the paper, empirical parameters tend to have a high variability even though CalME is able to account for many of the important factors that affect pavement performance. This means that M-E model predictions are probabilistic in nature and one should account for this uncertainty properly while at the same time working to reduce variability with improved models, while maintaining practicality of the analysis method. The M-E design approach allows one to fully evaluate the reliability of pavement performance of a given structural design. Further more, with factors such as material properties, pavement temperatures and traffic spectra accounted for properly, the uncertainty in M-E performance predictions are far less than empirical estimates.

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# Shear Failure in Plain Concrete as Applied to Concrete Pavement Overlays

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**Abstract.** This study applied the modified Iosipescu loading configuration on beams and direct shear loads on cylinders to investigate the concrete behaviour under minimal flexural and prominent shear stress conditions, particularly in plain concrete tests. It aims to make a contribution in understanding the behaviour of a concrete pavement under shear loading and failure and ultimately design an adequate overlay system. A finite element model corresponding to the modified Iosipescu beam test was set up to assist with the recognition and study of the complex stress patterns developing at high stress concentration regions and the evaluation of principal stresses. Both, normal and high strength concrete were used, to imitate the performance of the existing (old) pavement and the prospect overlay under shear. On the basis of the laboratory results and the preliminary finite element analysis, it was found that all specimens failed abruptly without warning in a typical brittle-material / shear-failure manner. Considerably higher shear strengths were achieved compared to those specified in the standards. Unexpectedly, the high strength concrete developed slightly lower shear strength than the normal concrete. This is probably attributed to insufficient compaction and relatively less effective aggregate interlock in high shear regions. The research is in progress.

## 1 Introduction and Literature Survey

Shear failure at cracks/joints is a major cause of degradation of concrete pavements. It leads to serviceability problems and introduces reflective cracks and becomes an issue of structural integrity, durability, riding quality and safety of the deteriorated pavement.

To address the problem, a Rapid Pavement Repair and Strengthening Management System (RPRSMS) is under development at the Department of Civil Engineering, Coventry University. It aims to bring to light the structural and functional deficiencies and extend the life of the pavement and at the same time introduce substantial benefits, such as saving construction materials, time and

labour costs, by bonding a layer of special-quality concrete on top of the existing damaged pavement. Therefore, all the aforementioned contribute to a more sustainable pavement repair system.

In this study, the modified Iosipescu loading configuration [1] was adopted for testing the beams. Direct shear loads were applied on cylindrical specimens to investigate the concrete behaviour under high shear and low moment conditions. Cylinder torsion tests were carried out to assist with the investigation of the concrete shear performance. A finite element model simulated the behaviour of the specimens and assisted with the recognition and study of the loading configuration that otherwise would not be easily identifiable (complex stress patterns developing at high stress concentration regions, evaluation of stresses and stress intensity factors). Both, normal and high strength concrete were used, to imitate the performance of the existing/old pavement and the prospect overlay under shear. Glass fibre was added to the high strength concrete mix in an effort to enhance its shear resistance further. The influence of coarse aggregate, mixing procedure, compaction and curing method on the shear resistance was also assessed experimentally. Emphasis was given to the experience built up so far, so essential for outlining future similar type of work.

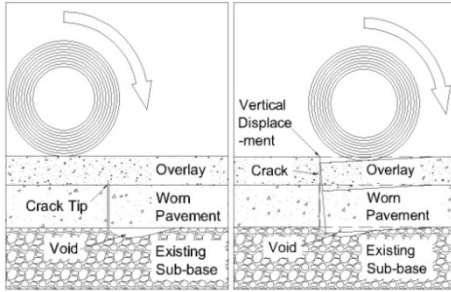
### ***1.1 Shear Problems in Pavement Overlays***

Three possible main failure modes were identified:

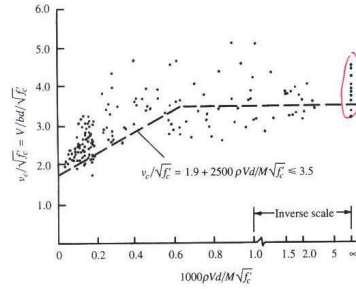
- Flexure failure: The crack propagates upwards into the new overlay due to exceeding its flexural strength.
- Shear failure: The crack propagates upwards into the new overlay mainly due to insufficient shear resistance.
- Delamination: The crack develops along the interface.

In a real pavement scenario, failure takes place under the combined effects of bending moment, shear force and possible involvement of axial force due to boundary conditions. This article isolates and focuses on the problem of shear failure. Parallel studies on flexural and delamination problems are currently under scrutiny by other members of our research group at Coventry University. The findings will be combined to formulate optimum design guidelines for a “Green” concrete overlay.

When the load is located either side of an existing, old crack, high shear stress becomes dominant and a relative vertical displacement is expected at crack edges, which may lead to *reflective cracking* into the overlay under repetitive traffic loads, as shown in Figure 1.



**Fig. 1.** Reflective shear cracking under repetitive traffic loading (Left)



**Fig. 2.** Correlation of ACI 318-02 with experimental data [2] (Right)

Depending on the nature of crack edge movement, it can be categorized into mode I *opening*, mode II *shearing/sliding* and mode III *tearing*. Mode II corresponds to the shearing problem but it usually coexists with mode I. The Paris’ law [3], as shown below is usually deployed to describe the crack propagation under repetitive loading.

$$dc/dN = A(K_{eq})^n \tag{1}$$

where,  $K_{eq}$  = equivalent stress intensity factor; A, n= fracture mechanics factors; c= crack length; N = number of loading cycles;  $dc/dN$  = increase of crack length per load cycle.

A fracture mechanics approach may be needed to highlight the crack initiation and propagation process. The stress intensity factor,  $K$ , due to bending and shear is one of the fundamental input parameters. Therefore, it was investigated in the following experimental study, assisted by numerical modelling.

### 1.2 Design Codes and Standards on Shear

A selection of design codes and published formulae from previous researchers are summarized in Table 1. The following assumptions are made to derive representative shear strengths using each code: A relatively high compressive strength of  $f_c' = f_{ck} = 50\text{MPa}$  is assumed. The shear span-to-depth ratio  $\frac{a}{d} = \frac{46}{73} \approx 0.63$  is employed based on the geometry of the single notch shear beam test as shown in Figure 3. Since the steel ratio,  $\rho$ , is deemed necessary in some of the codes, to execute the calculation and return a non-zero result, a minimal value of  $\rho = 0.00001$  is assumed. It is understood that errors may be introduced by the latter and some empirical formulae may become invalid in the case of plain concrete.

**Table 1.** Summary of Design Codes and Published Shear Design Formulae [4-8] 1) Not all standards/codes take  $a/d$  into account, commonly acknowledged as one of the most influential parameters in evaluation of the shear strength. 2) steel ratio,  $\rho$ , not applicable in plain concrete. 3) Generally,  $f'_c \leq 68.9$  MPa in ACI 318-02 [5]. 4) A wide scatter was presented in the correlation of ACI 318-02 with experimental data, as shown in Figure 2.

Design Codes and Published Formulae	Shear Strength (MPa)	Shear strength (MPa)	Comments
Eurocode 2 [4]	$\tau_{Rd} = (0.25 f_{ctk0.05}) / \gamma_c$	0.48	
	$f_{ctk0.05} = 0.7 f_{ctm}$ $f_{ctm} = 0.3 (f_{ck})^{0.67}$ $\gamma_c = 1.5$	0.72	If the safety factor $\gamma_c = 1.5$ is omitted.
ACI 318-02 [5]	$v_c = 0.16 \sqrt{f'_c} + 17 \frac{v_u d}{M_u} \rho_w$ , but $\leq 0.16 \sqrt{f'_c}$	$\leq 1.13$	for $\frac{a}{d} \geq 2.5$ , i.e. relatively large shear span-to-depth ratio
	$v_c = (3.5 - \frac{2.5 M_u}{v_u}) (0.16 \sqrt{f'_c} + 17 \frac{v_u d}{M_u} \rho_w) \leq 0.29 \sqrt{f'_c}$	$\leq 2.05$	for $\frac{a}{d} < 2.5$ , i.e. relatively small shear span-to-depth ratio
	$v_c = 0.166 \sqrt{f'_c}$	1.17	General
	$v_c \leq 0.83 \sqrt{f'_c}$	$\leq 5.87$	Deep beams ( $l_n \leq 4d$ )
A23.3-94 [6]	$v_c = 0.2 \sqrt{f'_c}$	1.414	No consideration for $a/d$
Zsutty Eqn [7, 8]	$v_c = 11.42 \left( f'_c \rho \frac{d}{a} \right)^{1/3}$	1.057	$a/d > 2.5$ or $a/d < 2.5$ under indirect load, as provided by side flanges
	$v_c = 28.55 (f'_c \rho)^{1/3} \left( \frac{d}{a} \right)^{4/3}$	3.204	$1.5 < a/d < 2.5$ with direct loading (top load & bottom supports), lower bound strength predictor

## 2 Experimental, Pilot Study

### 2.1 Materials

*Stage I.* Three concrete mixes were tested in the preliminary experimental work. They were Ordinary Portland Cement Concrete (OPC1) as control specimens, plain Polymer Modified Cement Concrete (PMC1) and Polymer Modified Cement Concrete with  $4\text{kg/m}^3$  glass fibre (PMC2). Following previous studies [9, 10], the PMC mix developed is of relatively high strength and high modulus of rupture and

suitable for roller compaction. A specially modified Kango hammer was used to consolidate the specimens. 10mm granite was employed for coarse aggregate.

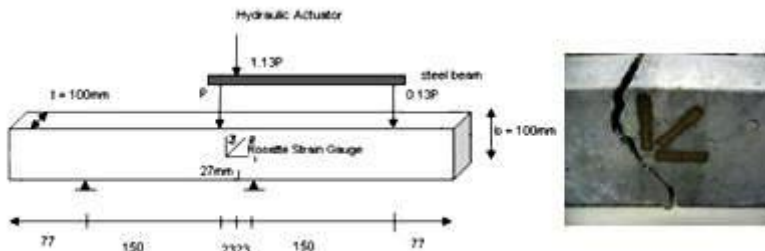
*Stage II.* In addition to the mixes in stage I, three more concrete mixes were produced and tested in cylinder direct shear mode. All six mixes are summarized in Table 2. Gritstone replaced granite in OPC2, PMC3 and PMC4 as its aggregate crushing value (ACV), tested according to BS 812-110:1990 [11], indicated that the former has a higher resistance to crushing than the latter (11.40% and 19.98%). Also, PMC4 was cast following a new mixing and curing method in accordance with ASTM 1439 [12] (4-day moist curing at  $23\pm 2^\circ\text{C}$  and relative humidity of approx. 95%, followed by 24-days air curing at room temperature environment). A new compaction device simulated the hammering and vibrating actions.

**Table 2.** Summary of Concrete Mixes

	Coarse Aggregate	Fibre Used	Mixing Method	Curing Method	Compaction Equipment
OPC1	Granite	N/A	Traditional	Traditional	Kango
OPC2	Gritstone	N/A	Traditional	Traditional	Kango
PMC1	Granite	N/A	Traditional	Traditional	Kango
PMC2	Granite	Glass	Traditional	Traditional	Kango
PMC3	Gritstone	N/A	Traditional	Traditional	Kango
PMC4	Gritstone	N/A	New	New	Vib. Comp/tor

### 2.2 Single Notch Shear Beam Test (SNSBT)

This paper adopts the concept of single edge notched beams employed by Iosipescu [1] and Arrea and Ingraffea [13]. This single notch short beam shear test created a concentrated shear zone in the (near) absence of bending moments at the notch and evaluated the shear strength level of OPC1, PMC1 and PMC2. Twelve beams were tested comprising four beams per mix. The geometry and loading arrangements are shown in Figure 3. All the beams failed abruptly with the crack



**Fig. 3.** Specimen Geometry and Loading and Crack Trajectory

propagating from the tip of the notch in an inclined 45° direction, reaching the surface of the specimen to the opposite side of the applied force P (Figure 3). The results were summarized in Table 3. Despite the high compressive strengths of PMC, the latter failed earlier under shear than ordinary concrete. This is in harmony with existing literature [14-16].

Figure 4 shows the variation of shear stress versus shear strain for a magnitude of shear stress up to 2.5MPa, before failure occurs. Four beams were tested for each mix. A wide range of scatter points (hatched areas) was present in the results. The same scatter was reported in Figure 2. Both PMC1 and PMC2 mixes show steeper slopes, i.e. higher shear modulus than the OPC1. Comparing plain PMC1 with glass-fibre reinforced PMC2 it is observed that the shear stress develops faster in PMC2. This indicates that the introduction of glass fibre did enhance the shear resistance of PMC but not the ductility.

Overall, PMC has high compressive strength but lower shear strength, possibly due to insufficient compaction. The addition of glass fibre enhanced the shear properties of the PMC. A good consistency exists between the average saturated densities and the shear strengths. In descending order, both rank as: OPC1 > PMC2 > PMC1, as listed in Table 3. As compaction during PMC casting is critical, insufficient compaction may induce voids (low density). When subject to shear, these voids become weak points and eventually lead to failure at a “lower-than-expected” load. However, in compression, the microstructures developed by superior ingredients still allow for good performance.

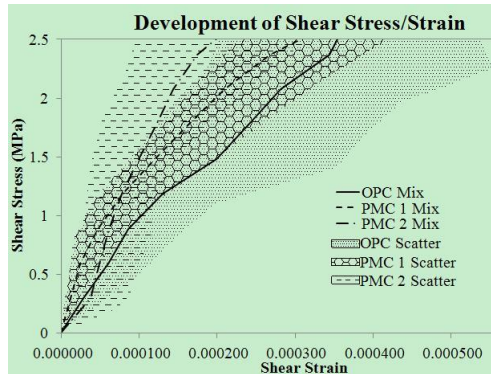


Fig. 4. Shear Stress/ Strain at the Initial Stage

For a single edge crack subject to in-plane shear, a relationship has been developed by Tada [17] for  $K_{II}$ , the stress intensity factor, as shown below.

$$K_{II} = \frac{2Q}{B\sqrt{\pi a}} \frac{1.30 - 0.65\left(\frac{a}{b}\right) + 0.37\left(\frac{a}{b}\right)^2 + 0.28\left(\frac{a}{b}\right)^3}{\sqrt{1 - \left(\frac{a}{b}\right)}} \tag{2}$$

Where:  $Q$  = applied shear at the notch at the onset of slow crack growth;  $a$  = crack depth, 27mm in the testing geometry;  $b$  = height of the beam, 100mm;  $B$  = width of the beam, 100mm.

Substituting the above values into Eqn. (2), the latter is reduced to:

$$K_{II} \approx 0.002940621 \times Q \text{ in } N \cdot mm^{-3/2} \quad (3)$$

All beams failed abruptly. Owing to the rapid crack development, the load at the onset of slow crack growth was not detected. If the failure loads were to be used in the assessment, the average  $K_{II}$  for OPC1, PMC1 and PMC2 would be 2435, 2136 and 2390  $kN \cdot m^{-3/2}$  respectively. As failure occurs at a higher load than that needed for initial crack growth, the actual  $K$ -values are likely to be smaller than those calculated. Although the definitive estimates of  $K_{II}$  are not available at the current stage, the PMC returned with smaller toughness than the OPC. Further investigation into the aggregate interlock and friction is underway. Additional tests conducted to increase confidence are cylinder torsion tests and direct shear tests as explained in the following paragraphs.

### 2.3 Cylinder Torsion Test

A total of eight concrete cylinders, four OPC1 and four plain PMC1 with 100mm diameter and 200mm length were cast. Table 3 provides all necessary properties and results. Studying failed specimens of OPC and PMC showed that the fractured plane in PMC was smoother than that of OPC. Hence, the aggregate failed to demonstrate good interlocking abilities.

### 2.4 Cylinder Direct Shear Test

The Iowa Testing Method 406-C [18] on four 150mm diameter by 300mm long OPC1 cylinders and four PMC2 (glass fibre) cylinders was adopted. To enhance the shear strength performance of PMC, the coarse aggregate as well as the mixing, compacting and curing methods were revised in stage II. Gritstone replaced granite in OPC2, PMC3 and PMC4. The new mixing, compaction and curing methods were deployed for PMC4, as described earlier. The test arrangement is pictured in Figure 5. All cylinders were sheared off in a vertical plane, as shown in Figure 6. PMC2 (with glass fibre) delivered higher shear strength than plain PMC1, similar to OPC1. The results were summarized in Figure 7. Unexpectedly, they failed to demonstrate a clear enhancement in shear strength, beside the use of gritstone. It is likely that the water absorption value for gritstone was used in the granite mix (PMC1), by mistake. As the water absorption of granite is higher than that of gritstone, the w/c ratio of PMC1 was found to be 0.33, while all other PMC mixes revealed 0.37. Had the correct value been used, PMC1 should have a lower shear strength than the current value, or even lower than that of PMC3 and PMC4. An investigation is currently under way. Nevertheless, the new mixing /compaction /curing methods did exhibit a great

improvement to the strength and density, proving that effective compaction is essential in strength enhancement. This good practice shall be continued in the next phase of experiments.

### 2.5 Summary

The results in all three tests are summarized in the same Table 3. Results involving gritstone and the new mixing/compaction/curing methods have been discussed in the previous chapter and therefore are not repeated herein.



Fig. 5. Cylinder Dir Shear Test



Fig. 6. Failed Section (PMC2)

It is noted that the tests carried out have excellent consistency on the evaluation of shear performance. Despite high compressive strength, plain PMC failed to provide an equivalent shear resistance as the OPC. This is attributed to the high strength hydrated cement paste (HCP) in PMC and the relatively weak coarse aggregate, which led to less efficient interlock at the failure planes. The OPC failure face exposes a great amount of aggregates and most of the failure takes place at the HCP-aggregate interface. In contrast, the PMC shows a much smoother face through crushed aggregates. Hence, the replacement of granite with gritstone.

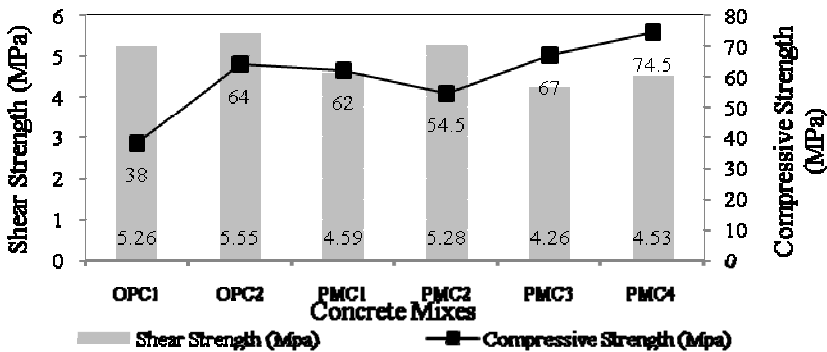


Fig. 7. Summary of Results



Compaction was also blamed for the “lower than expected” shear resistance of PMC. Different from high/normal-workability concrete, potent compaction in PMC is essential to attain the desired strength. After examining the cube densities, it was deduced that there were voids in the mix due to insufficient compaction. Hence, the investment in the new vibrating-compactor.

**Table 3.** Summary of Test Results

Mat. Prop.	Compr. Stren. $f_c$ (MPa)	Density (kg/m <sup>3</sup> )	Mean Shear Strength $v_c$ (MPa)			Estimated Mean Shear Modulus G (GPa)	
			SNSBT	Torsion	Direct Shear	SNSBT	Torsion
Tests	Cube Compre. Test		SNSBT	Torsion	Direct Shear	SNSBT	Torsion
OPC1	38.0	2361.1	3.59	3.59	5.26	15.5	15.9
PMC1	60.5	2298.7	2.90	2.79	-	24.7	17.2
PMC2	54.5	2323.6	3.52	-	5.28	26.2	-

PMC2 with a glass fibre input did improve the shear properties of plain PMC to a similar level of OPC, but failed to provide the required ductility. Hence, glass fibres were replaced with steel fibres and later with synthetic fibres. A “cock-tail” of reinforcement has been introduced in the mix to enhance the shear performance and to provide the required resistance to reflective cracking. This is currently under investigation.

A quick comparison of Table 3 with Table 1 reveals that all experimentally obtained shear strengths are much higher than most of the values obtained by the codes. The following reasoning is stated:

- All tests had very small shear span-to-depth ( $a/d$ ) ratio. The  $a/d$  ratio was 0.067 and 0.46 respectively in cylinder direct shear test and SNSBT. It is generally agreed that the influence of  $a/d$  is not insignificant [5]. A low  $a/d$  implies small bending moment, in which case, most of the cross section is available to resist shear. Hence, a high shear property is expected. Also, a low  $a/d$  ratio corresponds to a strong “strut” (arch) action. Hence, the high shear resistance could be attributed to the high compressive strength in concrete.
- It is noted that in some of the formulae in the codes, the shear strength,  $v_c$ , is derived from  $\sqrt{f'_c}$ . The correlation between  $\sqrt{f'_c}$  and  $v_c$  is summarized from diagonal – tension failure, a form of indirect shear failure, whereas the tests conducted were direct shear. Additionally, even in the case of diagonal tension, a wide scatter is usually present in experimental investigations as shown in Figure 2. Therefore, in this case, the real concrete shear capacity is under-estimated by the empirical formulae.

### 3 Finite Element (FE) Analysis

A 2D FE-model corresponding to the single notch shear beam test was setup using ANSYS software [19] to study the stress distribution and the associated parameters. The material properties obtained in the experiment (Table 3) were used in the model. The 6-node triangular PLANE183 element, with two degrees of freedom (DOF) per node, supporting plasticity, large deflection and strain behaviour was used. This is defined by a quadratic shape function, considered to be well suited to model irregular meshes occurring at crack regions. All usual output is supported by the analysis, plus the displacement extrapolation method in calculating stress intensity factors. A “singularity” point was created at the crack tip with skewed nodes at quarter positions. The failure loads from the experimental tests were introduced. A non-linear, large displacement analysis was performed, converging after a few iterations. It is stressed here that this is a preliminary model designed to help with experimentation rather than the other way round.

Figure 8 portrays the high stress concentration at the crack tip. The maximum shear strengths and the mode II stress intensity factor derived at the crack tip are listed in Table 4 with the corresponding experimental results for comparison. It was found that shear stress at the crack tip is nearly twice the average shear strength of the reduced cross section above the crack in OPC1 (6.63 corresponding to 3.59). The predicted  $K_{II}$  results are lower than the measured values calculated using Tada’s formula [17] in OPC1 (1216 compared to 2435). Swartz conducted a similar single notch shear test configuration both experimentally and numerically on concrete beams [20], noticing a similar discrepancy between  $K_{II}$  values. This leads to suggestions that Tada’s formula may need modification to reflect the real geometry and loading conditions. Note that to calculate  $K$  using FEM, the crack width has to be zero. However, a 2mm width crack was created in the test beams. This should be allowed for. A 3D model is currently under development.

**Table 4.** Numerical Modelling Results

	Predicted Shear Stress at Crack Tip (MPa)	Measured Average Shear Strength (MPa)	Predicted $K_{II}$ @ Crack Tip ( $kN \cdot m^{-3/2}$ )	Measured $K_{II}$ @ Crack Tip ( $kN \cdot m^{-3/2}$ )
OPC1	6.63	3.59	1216	2435
PMC1	5.82	2.90	1047	2136
PMC2	6.51	3.52	1172	2390



**Fig. 8.** SNSBT Model Shear Stress,  $S_{xy}$  - Plot

## 4 Conclusions

All specimens failed abruptly in a typical shear failure and brittle material manner. The introduction of glass fibre did not provide acceptable results. Other forms of reinforcement are currently under scrutiny. Steel fibres seemed to be a successful alternative to conventional shear reinforcement.

On the basis of the laboratory results, it was found that considerably higher shear strengths were achieved than those specified in the standards. This is attributed to the nature of the tests with relatively low  $a/d$  ratio. For reasons explained earlier, it is considered inappropriate to apply the indirect and empirical shear design procedure on direct shear tests involving minimal bending, especially in the case of plain concrete.

The high strength concrete developed slightly lower shear strength than the normal concrete and this is attributed to the relatively less effective aggregate interlock in high shear regions and possibly insufficient compaction. A replacement coarse aggregate was introduced contributing to the shear capacity but requires further confirmation. The new mixing, compaction and curing method was also tested and proved to be effective in increasing the shear strength. This successful practice shall be continued and developed further to achieve better results. This is an on-going research programme funded by an EPSRC grant. The final results should be published next year.

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# Influence of Residual Stress on PCC Pavement Potential Cracking

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**Abstract.** The load-induced stress and environmental stress (curling and warping stress) are considered for PCC pavement slab cracking analysis in many design methods. However, the residual stress is not considered in design. Cement concrete slab volume changes are prevented by the structure surrounding the concrete or stable phases such as aggregates will cause residual stress developing, and the residual stress may lead to early cracking in slab even before traffic load applying on it. Early cracking in cement concrete occurs frequently, however, currently residual stress are not considered in rigid pavement design method. Therefore, it is impossible to know the potential cracking of PCC pavement without knowing the residual stress level in a concrete pavement. In this paper, core-ring drilling is applied to release the residual stress in concrete pavement slab based on referring to measurements method in metal engineering. In the process of drilling strain gages are used to record the variation of strains on the surface of concrete slab. From test results, core-ring drilling can release stress and this method appears to be valid for measuring residual stress in concrete. Residual stress on the concrete slab surface can arrive at 7~16.8% of concrete flexural strength approximately based on finite element analysis of core-ring drilling process. From the test results and theoretical results if the residual stress is considered, PCC pavement top-down cracking risk will increase, which will influence the damage style of PCC pavement.

## 1 Introduction

Cement paste experiences volumetric shrinkage changes as a result of cement hydration, thermal variation or moisture losses in process of concrete strength development. Concrete structure surrounding and volumetrically stable phases inside the mixture prevents these volume changes and residual stress in concrete can develop. Especially in PCC pavement field, the concrete slab undergoes the complicated environmental factors, subbase conditions, boundary conditions and so on after paved, which could lead to residual stress existing on the top of slab. For instance, in 2005, the monitored curling behaviour of a single slab at the FAA's (Federal Aviation Administration) NAPTF (National Airport Pavement Testing Facility) indicates that residual stress exists and the effects are significant [1].

This phenomenon has been known well, but most design and testing approaches didn't consider the residual stress in concrete. In China, PCC pavement design

assumes that the critical stress position is at the bottom of slab and bottom-up cracking is the dominating distress. However, in many field investigations the top-down cracking is also very common. Therefore, to properly evaluate the potential cracking in PCC pavement slab, the influences of residual stress should be investigated.

The critical stress of Concrete pavement is the combination of traffic load and environmental factors. Load-induced stresses can be well estimated from strains measurements and can be predicted closely to measured results by many computer models [2], but residual stress can't be well evaluated due to its forming complexity. Such as, with development of concrete strength, differential thermal expansion and differential drying shrinkage both can create residual stress. Creep further complicates matters as the history of loading and temperature/moisture effects all the stresses distribution in the slab [3]. Therefore, the direct measurement of residual stress in concrete pavement becomes a necessary step to quantify the critical stress, which influences the potential cracking of PCC pavement.

Since 1992 the first author uses the hole drilling technique for the deduction of the stress states in structural elements [4, 5]. This technique is used by means of the perforation procedure. In 2004 digital stereography method was used to evaluate residual stress of hole drilling technique in concrete structures [6]. In 2005 S. Pessiki and H. Turker provided the idea that using sensors to measure displacement changes before and after core drilling in concrete structure [7]. Core-ring strain gage (CRSG) method was developed for concrete pavement and core-ring drilling tests in concrete beam were conducted at FAA's NAPTF in 2007. Based on FAA's concrete beam test, the feasibility of CRSG method in concrete structure was verified in 2009 [3, 8].

In this paper focus is on the CRSG method applied to measure the residual stress obtained from the strains registered by strain gages and the effects of residual stress level on PCC pavements potential cracking.

## 2 Brief Description of the Core-Ring Drilling Technique in PCC Pavement

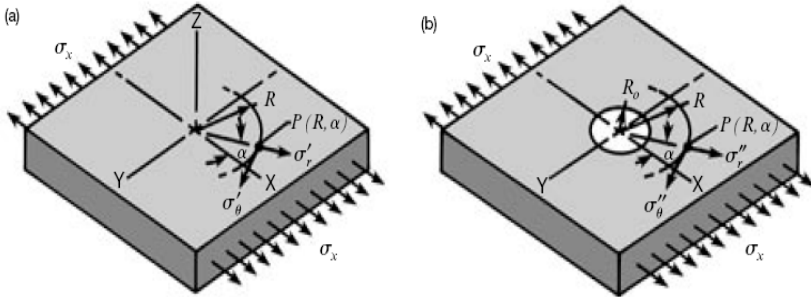
The theory of CRSG method for measuring residual stress in concrete is similar to Hole-drilling strain gage method in metal engineering. The principle of full depth hole-drilling method which is first proposed by Mathar is the foundation of residual stress measurement [9]. *Figure 1* indicates that stress state of a thin plate which is subjected to a uniform residual stress,  $\sigma_x$ , before and after full depth hole drilling. The initial stresses,  $\sigma_r'$  and  $\sigma_\theta'$ , in radial and tangential direction at any point  $P(R, \alpha)$  can be expressed in polar coordinates as following:

$$\begin{aligned}\sigma_r' &= \frac{\sigma_x}{2}(1 + \cos(2\alpha)) \\ \sigma_\theta' &= \frac{\sigma_x}{2}(1 - \cos(2\alpha))\end{aligned}\tag{1}$$

After a full depth hole is drilled through the plate, the stresses in the vicinity of the hole are significantly changed. The radial and tangential stress,  $\sigma_r''$ ,  $\sigma_\theta''$ , at the point  $P(R, \alpha)$  are:

$$\begin{aligned} \sigma_r'' &= \frac{\sigma_x}{2} \left(1 - \frac{1}{r^2}\right) + \frac{\sigma_x}{2} \left(1 + \frac{3}{r^4} - \frac{4}{r^2}\right) \cos(2\alpha) \\ \sigma_\theta'' &= \frac{\sigma_x}{2} \left(1 + \frac{1}{r^2}\right) - \frac{\sigma_x}{2} \left(1 + \frac{3}{r^4}\right) \cos(2\alpha) \end{aligned} \tag{2}$$

Where:  $r = \frac{R}{R_0}$  ( $R \geq R_0$ );  $R_0$  = hole radius;  $R$  =arbitrary radius from hole center



**Fig. 1.** Stress State before and after Hole is Drilled

Subtracting the initial stresses from the final stresses (after drilling) gives stress release at point  $P(R, \alpha)$  due to drilling the hole as following.

$$\begin{aligned} \Delta \sigma_r &= \sigma_r'' - \sigma_r' \\ \Delta \sigma_\theta &= \sigma_\theta'' - \sigma_\theta' \end{aligned} \tag{3}$$

If the material of the plate is assumed to be homogeneous, isotropic, and linear-elastic behaviour, the relieved normal strains,  $\epsilon_r$ , and tangential strains,  $\epsilon_\theta$ , at the point  $P(R, \alpha)$  can be calculated by following equation:

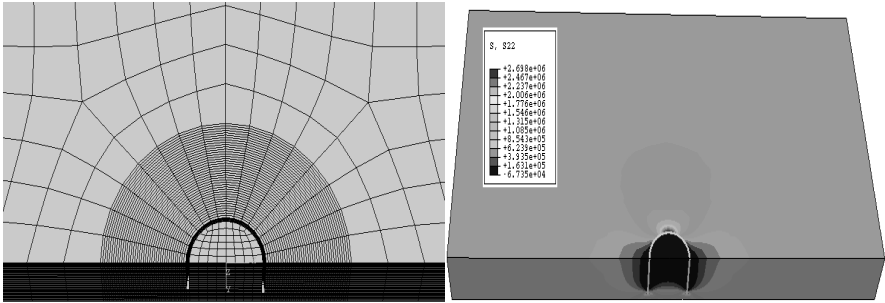
$$\begin{aligned} \epsilon_r &= -\frac{\sigma_x(1+\nu)}{2E} \left[ \frac{1}{r^2} - \frac{3}{r^4} \cos 2\alpha + \frac{4}{r^2(1+\nu)} \cos 2\alpha \right] \\ \epsilon_\theta &= -\frac{\sigma_x(1+\nu)}{2E} \left[ -\frac{1}{r^2} + \frac{3}{r^4} \cos 2\alpha - \frac{4\nu}{r^2(1+\nu)} \cos 2\alpha \right] \end{aligned} \tag{4}$$

From the theoretical background for core-ring drilling method, substituting measured strain release into Eqn. (4) gives the stress release.

### 3 Parameters in CRSG Method

Effects of different parameters, including core-ring depth, strain gage locations, on CRSG method should be determined before slab test. The finite element model of square plate subjected to a uniform residual stress in one direction is selected for parameters analysis.

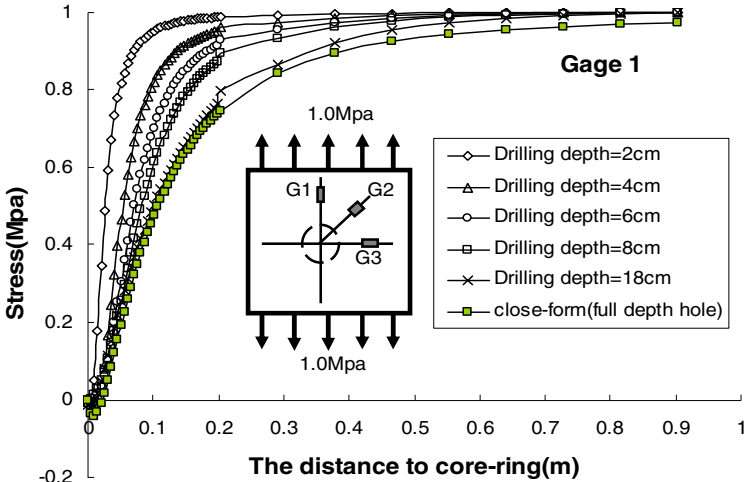
The size of core-ring should be larger than the max particle diameter of aggregate, so the diameter of core-ring 10cm is recommended and the gage length is usually 4.0cm. The size of square block is 20cm thick×2.0m square. Uniform stress field is represented by applying  $\sigma_x=1.0\text{MPa}$  on two opposite sides of plate. Poisson's ratio and Young's modulus of concrete plate is 0.18 and 30Gpa, respectively. *Figure 2* presents the finite element model and stress after a core-ring drilled.



(a) FEM of Core-ring drilling (b) Stress contour plot after core-ring drilled

**Fig. 2.** Finite Element Model for Core-ring Method

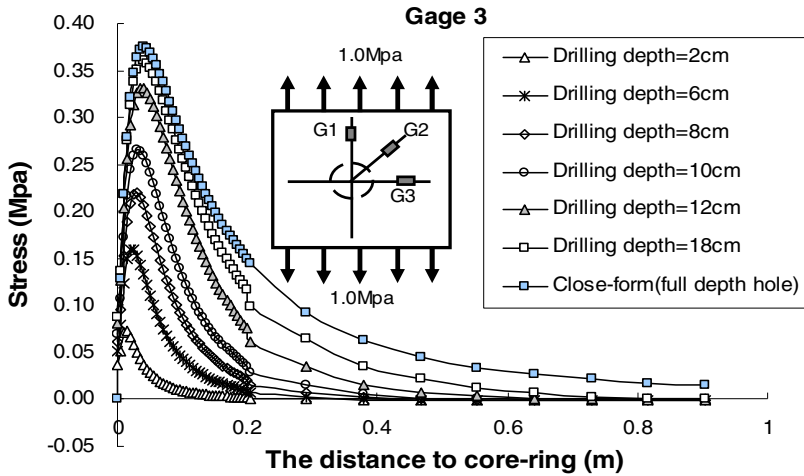
Then results under different conditions are calculated by this finite element model. *Figure 3* a) and b) present the radial stresses variation in direction of gage 1 and gage 3 at different drilling depth, respectively, which are parallel and perpendicular to initial stress direction.



(a) The radial stress after different core-ring drilling depth ( $\alpha = 0^\circ$ )

**Fig. 3.** The Radial Stress after Different Core-ring Drilling





(b) The radial stress after different core-ring drilling depth ( $\alpha = 90^\circ$ )

Fig. 3. (continued)

Figure 3 indicates that when the drilling depth is close to full depth the radial stresses at gage 1 and gage 3 are close to close-form solutions, which validate the right of FEM. From Figure 3a) the closer the distance to core-ring edge, the higher stress release is, as a result gage 1 should be place closer to core-ring edge. From Figure 3b) the distance of location of maximum stress to core-ring edge is from 1.0cm to 3.0cm, therefore, the distance of gage center to core-ring edge should be from 4.0cm to 6.0cm.

Figure 4 gives the radial stress variations in direction of gage 1 at different distance to core-ring edge.

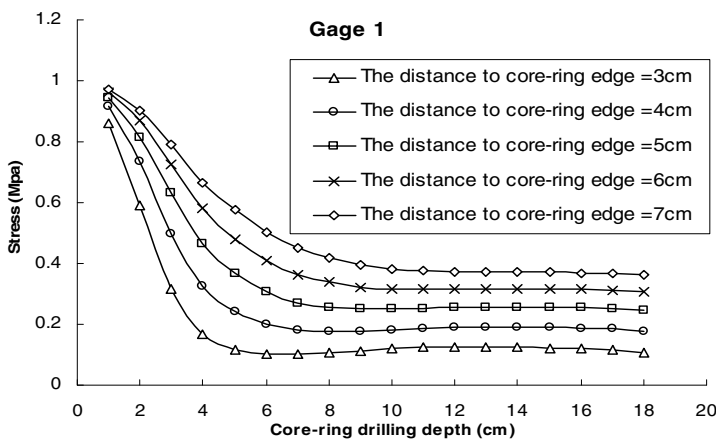


Fig. 4. The radial stress at different gage location ( $\alpha = 0^\circ$ )

Figure 4 indicates that for gage 1 stress reduction changes quickly when the core-ring depth is small and when the depth is larger than 6cm~10cm (0.25~0.50h, where h is the thickness of slab ) stress tends to be steady. Therefore, 0.50h is recommended for the core-ring drilling depth.

From above analysis, blind hole can not release the whole stress, and the strain recorded by strain gage is only a part of whole strain. Therefore, it is necessary to know the ratio of relieved stress for back calculation whole stress through recorded strain. When the distance of gage to core-ring edge is from 5.0cm to 7.0cm and the core-ring depth is from 0.25h to 0.50h, the variations of relieved strain is presented in Figure 5.

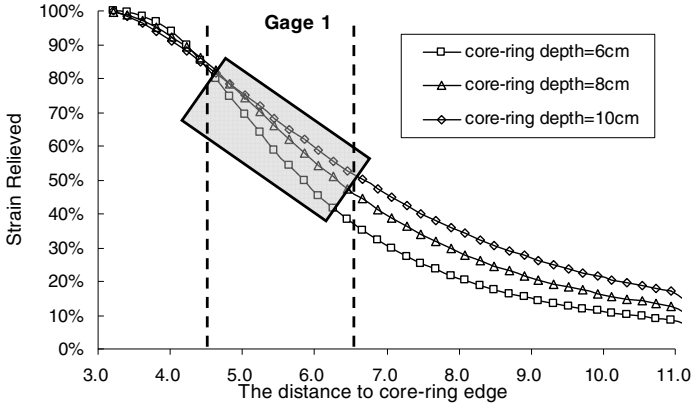


Fig. 5. Proportion of strain relief under different case

Figure 5 indicates that through core-ring drilling the ratio of relieved stress which is recorded by gage to total residual stress is about 50% to 70%.

### 4 Slab Test

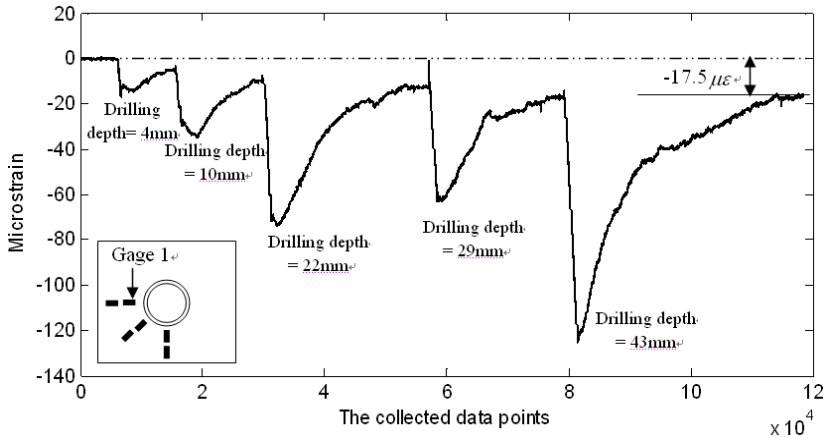
In Figure 6 six strain gages are pasted on the surface of concrete slab according to the parameters determined by finite element analysis.



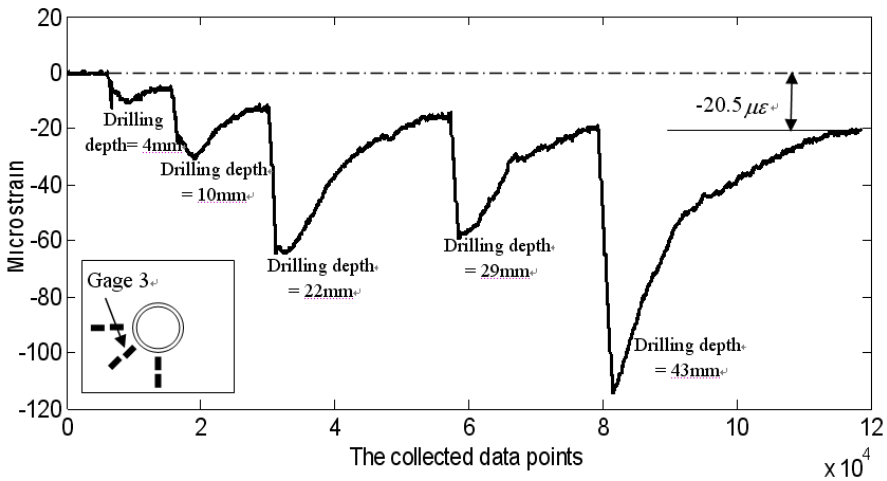
Fig. 6. Positions of strain gages and core-ring drilling

It should be noted that the friction in core-ring drilling process generates a massive heat, so that strain gages will take serious changes as a result of temperature increasing in slab. Strains will be stable with temperature decreasing after core-ring drilling stop. When the heat generated from core-ring drilling friction have dispersed completely, the core-ring drilling process continues for next depth.

Strain data from 1, 3, 5# gages during the process of core-ring drilling are plotted in *Figure 7*.

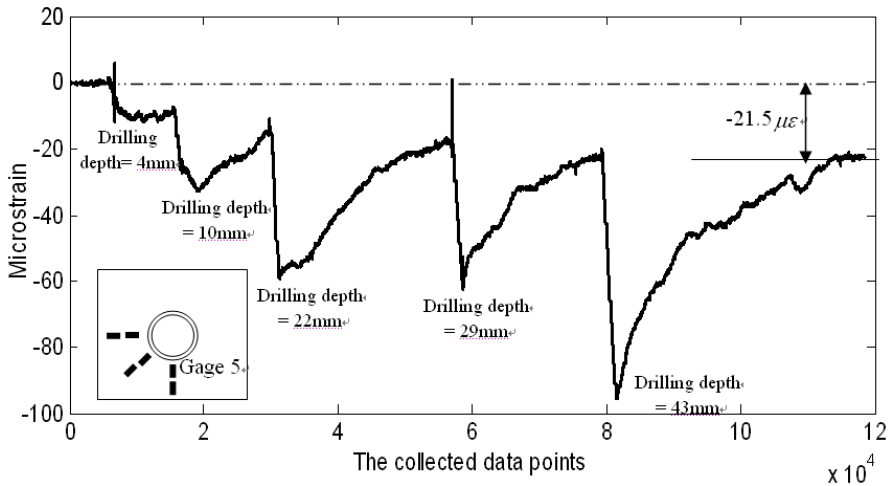


a) Time dependent curve of strain gage 1



b) Time dependent curve of strain gage 3

**Fig. 7.** Test results as no loading on slab



c) Time dependent curve of strain gage 5

Fig. 7. (continued)

Figure 7 indicates that core-ring drilling on slab can produce the release of residual stress without any load induced stress. For the residual stress on slab surface even shallow drilling depth can cause more stress release from test results. However, the stress release proportion decreases with drilling depth increasing, as core-ring drilling depth is more than 20mm stress release begin to slow down, as core-ring drilling depth exceeds 40mm stress release becomes stable, that is, as a result core-ring drilling depth is 0.25~0.5h (h is the slab thickness), stress release tends to be steady which is close to FEM's results.

In this paper core-ring drilling test in slab is repeated for 6 times and the results at different drilling depth are listed in Table 1. The elastic modulus of concrete is 34.1 Gpa through elastic modulus test. According to Hooker's law the relieved stress can be calculated through the relieved strain which is recorded by strain gage.

Table 1. The strain recorded by strain gages in different CRSG tests

Drilling Step	Test 1				Test 2				Test 3			
	Drilling depth (mm)	Strian relief ( $\mu\epsilon$ )			Drilling depth (mm)	Strian relief ( $\mu\epsilon$ )			Drilling depth (mm)	Strian relief ( $\mu\epsilon$ )		
		1#	3#	5#		1#	3#	5#		1#	3#	5#
1	4	5.0	6.0	9.0	3	7.0	4.0	bad	5	6.0	8.0	5.0
2	10	6.0	7.0	5.5	12	5.5	6.0		16	4.5	6.0	3.5
3	22	3.0	2.5	3.5	24	1.5	2.5		28	2.0	4.5	bad
4	29	2.5	4.0	4.0	36	bad	3.0		40	3.0	2.5	
5	43	1.0	0.5	-0.5					52	-0.5	0.5	
Total		17.5	20.0	21.5		14.0	15.5	/		15.0	21.5	8.5
Relied stress(MPa)		0.60	0.68	0.73		0.48	0.53			0.51	0.73	0.30

**Table 1.** (continued)

Drilling Step	Test 4				Test 5				Test 6			
	Drilling depth (mm)	Strian relief ( $\mu\epsilon$ )			Drilling depth (mm)	Strian relief ( $\mu\epsilon$ )			Drilling depth (mm)	Strian relief ( $\mu\epsilon$ )		
		1#	3#	5#		1#	3#	5#		1#	3#	5#
1	5	7.0	6.0	7.0	4	4.0	6.0	6.0	5	7.0	5.0	8.0
2	11	6.0	4.5	5.0	12	6.5	4.5	3.5	12	5.5	4.5	6.0
3	20	4.0	3.5	3.5	21	3.5	2.5	bad	26	2.5	2.0	4.5
4	32	2.0	2.0	3.0	33	2.0	1.5		38	1.0	0	2.0
5	48	0.5	1.0	1.0	44	bad	0.5		50	-0.5		0.5
Total	43	19.0	16.0	18.5		16.0	14.5	9.5		16.0	11.5	20.5
Relied stress(MPa)		0.65	0.55	0.63		0.55	0.49	0.32		0.55	0.39	0.70

### 5 Influence of Residual Stress on PCC Pavement Potential Cracking

The maximum stress at top and bottom of slab under different axle loading are caculated through FEM software and listed in *Table 2*.

**Table 2.** Maximum stress at top and bottom of slab as different axle loading

Load (kN)	Stress under Single-axle load (MPa)		Stress under tandem axle load(MPa)		Stress under tridem axle load(MPa)	
	Top of slab	Bottom of slab	Top of slab	Bottom of slab	Top of slab	Bottom of slab
100	0.77	1.10	0.90	1.16	0.94	1.12
120	0.92	1.32	1.08	1.40	1.13	1.35
140	1.07	1.54	1.26	1.63	1.32	1.57
160	1.23	1.76	1.44	1.86	1.51	1.80
180	1.38	1.99	1.62	2.10	1.69	2.02
200	1.53	2.21	1.80	2.33	1.88	2.25
220	1.69	2.43	1.98	2.56	2.07	2.47
240	1.84	2.65	2.16	2.79	2.26	2.70
260	1.99	2.87	2.35	3.03	2.45	2.92
280	2.15	3.09	2.53	3.26	2.63	3.15
300	2.30	3.31	2.71	3.49	2.82	3.37

*Table 2* indicates that the load induced maximum tensile stress is at the bottom of slab, so if only consider the load induced stress the bottom-up cracking will develop. However, from the previous test results residual stress exists on the top of slab and the residual stress accounts for 7%~16.8% of concrete flexural strength. If the flexural strength of concrete assumes to be 5.0MPa, the residual stress on the top of slab will be 0.35MPa~0.84MPa. Therefore, adding this part stress it will be found that the stress at the bottom of slab is close to the stress on the top of slab, which means the risk of top-down cracking is close to the risk of bottom-up cracking. As a result, the residual stress should be evaluated in PCC pavement design.

## 6 Conclusion

Residual stress induced by concrete volume changes is very complicated and influence the PCC pavement potential cracking. In this study, the core-ring drilling is applied to release the residual stress and strain gages are used to record the variations in the process of core-ring drilling. First, through 3D finite element analysis, core-ring depth, locations of strain gage and portion of stain relief in CRSG method are determined. Then, core-ring drilling tests are repeated for 6 times and the test results show that residual stress on the concrete slab surface can arrive at 7~16.8% of concrete flexural strength. Through finite element analysis, the residual stress increases the risk of top-down cracking of PCC pavement slab, which is close to the risk of bottom-up cracking.

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# Plain Concrete Cyclic Crack Resistance Curves under Constant and Variable Amplitude Loading

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**Abstract.** Concrete pavement structures are subjected to a complex combination of environmental and traffic loads which produce a unique distribution of stresses at the critical mid-slab edge. Moreover, the fracture propagation caused by this unique distribution of stresses is a complex process because it is both size and load history dependent. In this study, a series of quasi-static, constant and variable amplitude fatigue tests on simply supported single edge notched beam specimens were conducted. It is shown that variable amplitude testing can provide a comprehensive assessment of fatigue life because the R-ratio, peak stress intensity, and load history effects can be assessed. The results of this study also suggest that the fatigue resistance curve under variable amplitude has a similar quality to that under constant amplitude loading; there is a positive decreasing slope that asymptotes to a zero slope condition beyond the critical crack extension. However, the results show the magnitude of the critical crack extension and the maximum fracture resistances are not the same under the two different loading conditions.

**Keywords:** fatigue fracture, load sequence, fatigue threshold, size effect, variable amplitude loading.

## 1 Introduction

Concrete pavements are subjected to a complex combination of environmental and traffic loads which produce a unique distribution of peak stress and stress ranges at the critical mid-slab edge. Moreover, the fracture propagation caused by this unique distribution of stresses is complex because it is both size and history dependent due to the quasi-brittle nature of the material. Traditionally, high cycle fatigue damage has been quantified using Miner's Law that defines damage through the number of cycles to failure,  $D = I/N_f$ , which is a function of the applied stress ratio ( $\sigma/MR$ ) under constant amplitude loading [1; 2; 3]. One of the advantages of using this type of damage model is its computational efficiency. It is able to rapidly account for, process, and convert millions of load repetitions to damage allowing multiple designs to be considered within minutes [4]. Some of

the disadvantages however, are that it is insufficient in determining the in-situ state of damage because no information is given on the state of the material itself (no information on the stress-strain behavior and the reduction of the Elastic Modulus). In addition, it cannot account for size effect, load history effect, and variable amplitude loading without using some empirical calibration factors. Thus, there is a need to develop a concrete fatigue model that can account for all three of the aforementioned effects, and be able to maintain a comparable level of computational efficiency to the S-N approach.

In this paper, both constant and variable amplitude fatigue data are presented and discussed. A new model to predict the fatigue crack propagation under variable amplitude loading in both the transient and steady state is then presented. The model is substantiated by variable amplitude fatigue tests. In addition, differences/similarities between the fatigue response under both constant and variable amplitude loading are discussed.

### 1.1 Fatigue Fracture

In plain Portland Cement Concrete (PCC), the fatigue cracking process is similar to other quasi-brittle materials in that two distinct stages are observed: a transient stage where the crack growth rate is decreasing and a steady state stage where the rate is increasing [5]. This is due to an increase in residual stress behind the crack tip which causes the net stress intensity to decrease [6]. Under constant amplitude loading, this phenomenon has been investigated and modeled by simply dividing each cracking stage separately [7].

### 1.2 Constant Amplitude Loading

Numerous studies have been conducted showing that concrete fatigue fracture in the steady state range follows the well-known Paris Law shown in eqn. (1) [8; 9; 7]. The fatigue crack propagation rate ( $da/dN$ ), where  $a$  is the crack length and  $N$  is the number of cycles, follows a power law in which stress intensity  $K_I$  is the argument. Eqn. (2) shows the expression for stress intensity as a function of the far field stress,  $\sigma_N$ , geometry,  $k(\alpha)$ , and size,  $D$ ; where  $\alpha$  is the crack ( $a$ ) to depth ( $D$ ) ratio. Eqn. (3) is the shape factor for a three point bending beam with a span to depth ratio ( $S/D$ ) of 4 [13]. The coefficients,  $C$  and  $n$ , are considered to be material properties.

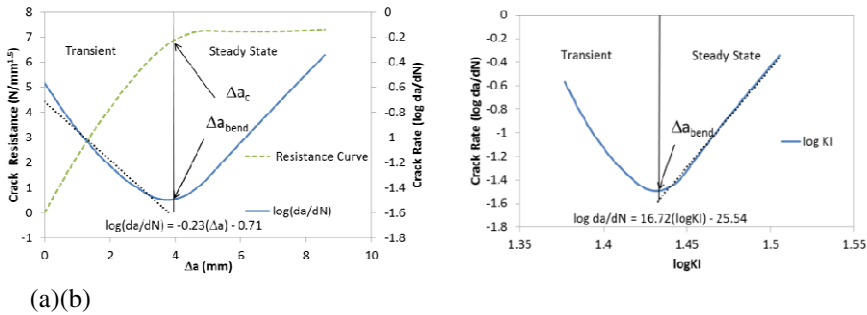
$$\frac{da}{dN} = C(\Delta K_I)^n \quad (1)$$

$$K_I = \sigma_N \sqrt{D} k(\alpha) \quad (2)$$

$$k(\alpha) = \frac{\sqrt{\alpha} [1.99 - \alpha(1 - \alpha)(2.15 - 3.93\alpha + 2.7\alpha^2)]}{(1 + 2\alpha)(1 - \alpha)^{3/2}} \quad (3)$$



However, eqn. (1) can only be used to describe the steady stage region. Subramaniam et al. [7] modeled the transient stage separately as a function of crack extension ( $\Delta a$ ). The two regions were separated at a unique point called  $\Delta a_{bend}$ , which they found to be equal to the critical crack extension at failure,  $\Delta a_c$ , in the quasi-static crack resistance curve at peak load under quasi-static loading (see Figure 1).



**Fig. 1.** (a) Transient Stage Crack Propagation, (b) Steady-state Crack Propagation [10]

The crack propagation law in the transient stage is shown in eqn. (4)[7].

$$\frac{da}{dN} = C_1(\Delta a)^{n_1} \tag{4}$$

Using this method, four fatigue parameters need to be defined:  $C$  and  $n$  in eqn. (1) and  $C_1$ , and  $n_1$  in eqn. (4). The major limitation however is that it cannot predict crack growth under variable amplitude loading since stress intensity is not accounted for in the transient stage. This limitation can be overcome by inserting a crack resistance curve ( $K_{th}$ ) into the Paris Law [6; 5; 10]. Note that in the literature,  $K_{th}$  is commonly referred to as the fatigue threshold. However, for other quasi-brittle materials, e.g. Alumina, it has been shown that before reaching the critical crack extension, the fatigue threshold will increase as a function of crack extension in similar fashion to the quasi-static resistance curve (R-curve) [5]. Thus, in this paper  $K_{th}$  will be referred to as the fatigue crack resistance curve.

Recently, Brake and Chatti [10] developed a method to determine the fatigue crack resistance curve under constant amplitude loading. The curve can be obtained if the crack propagation rate ( $da/dN$ ), the crack extension ( $\Delta a$ ), and the stress intensity ( $K_I$ ) are known. They argue that there is a direct relationship between the decreasing crack propagation rate (transient stage) and the crack resistance curve which can be obtained by solving eqn. (5) for  $K_{th}$  and satisfying the following three conditions: 1) An intrinsic linear relationship between  $\log(K_I - K_{th})$  and  $\log(da/dN)$  exists [6], 2) The initial fatigue cracking resistance is zero, and 3) The fatigue fracture resistance in the post peak region (after  $\Delta a_c$  has been reached) should have zero-slope. This observation was made

by Kruzic et al. for Alumina (another type of quasi-brittle material) under constant amplitude fatigue loading [5]. Figure 2 shows the behavior of a typical fatigue resistance curve under constant amplitude loading that satisfies the three conditions.

$$\log \left( \frac{da}{dN} (\Delta a) \right) = \log C + n \log (K_I (\Delta a) - K_{th} (\Delta a)) \tag{5}$$

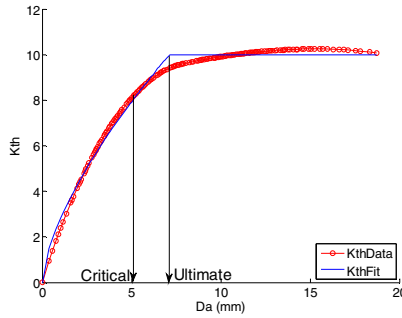


Fig. 2. Fatigue crack resistance curve (denoted here as  $K_{th}$ )

The ultimate crack length corresponds to the crack length at which the resistance asymptotes to a constant value,  $K_{th,ult}$ . The crack resistance curve can then be described with eqn. (6) and used to predict fatigue cracking in eqn. (7). The mathematical form for  $K_{th}$  was chosen because it describes the general shape of a resistance curve; i.e., a monotonic increase pre-peak followed by a plateau region post-peak [11].

$$K_{th} = \begin{cases} K_{th,ult} \left[ 1 - \left( 1 - \frac{\Delta a}{\Delta a_{ult}} \right)^m \right], & \Delta a \leq \Delta a_{ult} \\ K_{th,ult}, & \Delta a > \Delta a_{ult} \end{cases} \tag{6}$$

$$\frac{da}{dN} = C (K_I - K_{th} (\Delta a))^n \tag{7}$$

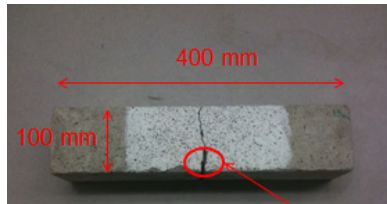
### 1.3 Variable Amplitude Loading

Slowik et al. [12] investigated the fatigue crack propagation ( $da/dN$ ) under variable amplitude loading and developed the modified Paris Law shown in eqn. (8); where  $\Delta K_I$  and  $K_I$  are the stress intensity range and the peak stress intensity, respectively;  $K_{IC}$  and  $K_{I,max}$  are the fracture toughness and the maximum  $K_I$ . The function  $F$  represents the jumps in the crack caused by abrupt changes in load. However, eqn. (8) is only applicable to the steady state cracking region.

$$\frac{da}{dN} = C \frac{\Delta K_I^n K_I^p}{(K_{Ic} - K_{I_{max}})^q} + F(\sigma, \Delta a) \quad (8)$$

## 2 Experimental Test Setup and Results

A total of 20 three-point single edge notched plain concrete beam specimens (TPB-SEN) were tested under quasi-static, constant, and variable amplitude fatigue loading. The concrete specimens had a span of 400 mm, a depth of 100 mm ( $S/D=4$ ), and a width of 100 mm. The notch to depth ratio ( $\alpha$ ) was varied from 0.15 to 0.5 to assess the geometric effect. A Crack Opening Displacement (COD) gage was used to measure the crack mouth opening (CMOD) and was attached to a pair of knife edges which were mounted to the bottom face of the beam by a fast drying epoxy resin, as recommended by RILEM [4]. Six beams were tested under a cyclic quasi-static loading condition using a COD loading rate of 0.0005 mm/s. The remaining 14 specimens were tested in fatigue. Each specimen was subjected to a 2 Hz cyclical load. The constant amplitude specimens were subjected to a stress ratio (max load/peak load) of 0.85 (5 specimens) and 0.95 (5 specimens), and an  $R$ -ratio (min load/max load) of 0.05. The variable amplitude specimens were subjected to a uniform random distribution of stress ratios ranging from 0.5 to 0.9 and  $R$  ratios ranging from 0.5 to 0.05. Note the average peak load was obtained from the quasi-static tests.



**Fig. 3.** (a) Notched concrete beam

### 2.1 Mix Characteristics

The concrete mix used in this research used an ASTM C-150 Type I cement, natural sand, and a limestone coarse aggregate (nominal maximum size of 25 mm). The water to cement ratio was 0.45 and the air content was 6.5%. The density was 2274.62 kg/m<sup>3</sup>. The average 28 day modulus of rupture,  $M_R$ , and the split tensile strength,  $f'_t$ , were 5.23 and 2.89 MPa, respectively. The 28 day compressive strength,  $f'_c$ , was 25 MPa. The specimens were cured for one year inside of a humidity room and then placed in ambient temperature for one more month to ensure minimal strength gain during fatigue testing.

## 2.2 Quasi-static Experiments

The average fracture toughness,  $K_{IC} = K_{RC}$ , and critical crack extension,  $\Delta a_c$ , for the six quasi-static specimens was  $31 \text{ N/mm}^{3/2}$  and  $6.22 \text{ mm}$ , respectively. Figure 4 shows one quasi-static load displacement curve (a) and the resulting fracture resistance curve,  $K_R$  (b). The crack length at each cycle (for both quasi-static and fatigue loading) was calculated by the Jenq-Shah compliance technique [15].

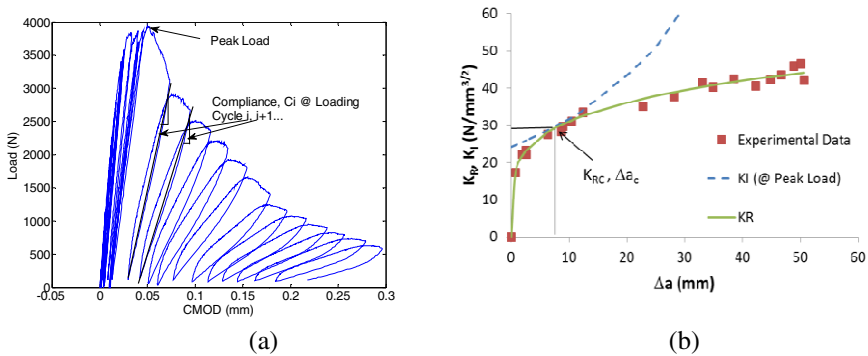


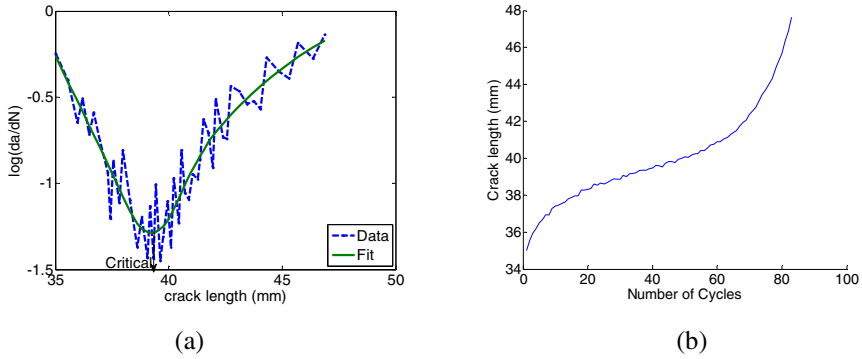
Fig. 4. Experimental Quasi-static Crack Resistance Curve [10]

## 2.3 Fatigue Experiments

### 2.3.1 Constant Amplitude Loading

Ten specimens were tested under constant amplitude fatigue loading. The crack lengths were calculated using the same Jenq-Shah compliance method used under quasi-static loading [7, 10, 15]. Throughout the fatigue test, the crack lengths and cycle number were recorded each time the crack grew by an amount of  $0.25 \text{ mm}$ . This data was then used to calculate  $\log(da/dN)$ , which was then filtered by a least squares cubic spline fit. Figure 5 shows the crack length, crack rate and the number of cycles. In addition, the cubic spline fit has been superimposed over the raw crack rate data.

The average Paris fatigue coefficients  $\log C$  and  $n$  are  $-23.46$  and  $16.85$ , respectively (in eqn. 7). The average maximum fatigue resistance,  $K_{th,ult}$ , and the critical crack length,  $\Delta a_c$ , are  $13.25 \text{ N/mm}^{3/2}$  and  $5.80 \text{ mm}$ , respectively (averaging both the  $0.85$  and  $0.95$  stress ratio results). Recall, the critical crack extension is defined as the crack extension at failure under quasi-static loading [7], which happens to correspond to  $a_{bend}$ , as shown in Figure 5a.  $K_{th,ult}$  is defined as the constant post peak crack resistance that occurs after the ultimate crack extension has been reached. The average ultimate crack extension for these tests was  $7.88 \text{ mm}$  [10].



**Fig. 5.** (a) Crack rate & crack length, and (b) Crack length & Number of Cycles

### 2.3.2 Variable Amplitude Loading

Four variable amplitude fatigue tests were conducted. In this section a new fatigue model is presented which is founded on these four experimental tests. Eqn. (8) originally proposed by Slowik et al. [12] has been modified to account for the rising crack resistance observed in the transient region, as shown in eqn. (9). In addition, the stress intensity range was replaced with the term  $(1-R)$ ; where  $K_{Ieff}$  is defined as the difference between  $K_{Ia}$  and  $K_{Ih}$ .

$$\frac{da}{dN} = C \frac{K_{Ieff}^{n(1-R)^p}}{(K_{IC} - K_{Imax})^q} \tag{9}$$

In total, there are 4 fatigue and 3 crack resistance parameters governing the fatigue process. Each parameter was estimated using eqn. (10) and a least squares technique. Eqn. (10) describes the cumulative crack extension at each 0.25 mm crack growth interval; where  $\Delta a_f$  is the crack extension at fatigue failure and  $j$  is the total number of cycles in each 0.25 mm interval.

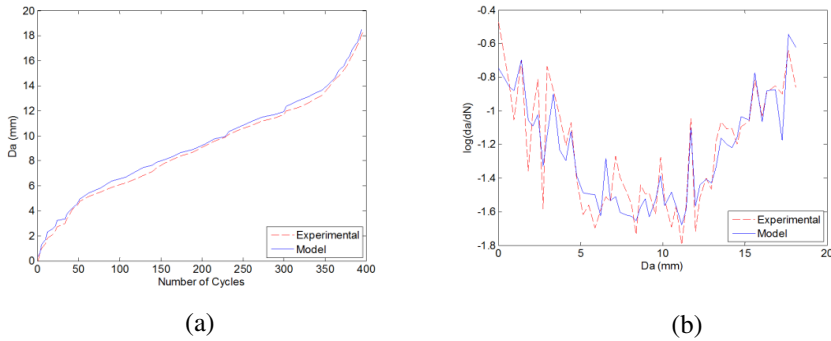
$$\Delta a_i = \sum_{i'=1}^i \sum_1^{j(i')} C \frac{K_{Ieff}^{n(1-R)^p}}{(K_{IC} - K_{Imax})^q} \Big|_{i=1}^{i=\frac{\Delta a_f}{0.25}} \tag{10}$$

This method was used because in each sub interval  $i$ , there is a random distribution of  $R$ -ratios and stress ratios. This does not make it possible to use typical constant or variable amplitude methods to determine the coefficients because neither of the inputs is constant over a finite region. In addition, the crack rate cannot be monitored at each cycle because the instrumentation noise at this data acquisition frequency is larger than the actual readings. Thus, the fatigue parameters were evaluated using eqn. (10) at each crack interval  $i$ , and assessed by the actual cumulative crack extension,  $\Delta a_i$ .

The coefficients were determined by a two tier non-linear regression technique in MATLAB. The first tier optimized the 4 fatigue parameters using an initial

guess for the crack resistance curve parameters (all greater than zero). The resistance curve parameters were then altered iteratively until the sum of the squares reached a minimum value. The average values of the fatigue parameters from all eight tests were the following:  $\log C = -8.33$ ,  $n = 6.00$ ,  $p = 2.54$ , and  $q = -0.26$ . The ultimate crack extension,  $\Delta a_{ult}$ , was 13 mm, and the ultimate crack resistance,  $K_{th,ult}$ , was  $17.25 \text{ N/mm}^{3/2}$ .

Figure 6 shows the comparison between the experimental results and the prediction using eqn. (10) for one specimen. Figure 6a shows the crack extension as a function of the number of cycles, N. Figure 6b shows the crack rate versus crack extension. Reasonable predictions were observed.



**Fig. 6.** Comparison between Experimental and Model: (a) Crack length v. Number of Cycles, and (b) Crack rate and Crack Extension

### 3 Discussion

Dominant fatigue crack growth in concrete is influenced by the stress intensity at the crack tip as well as crack bridging stresses distributed along the face of the crack (which are directly linked to crack resistance) [6,10,16]. Moreover, the accumulation of bridging stresses may be influenced by the applied peak stress and R-ratio. This may be why the post-peak behavior under quasi-static loading does not exhibit the same behavior under fatigue loading, i.e. the resistance curve has an increasing crack resistance. This observation was also reported for Alumina by Kruzic et al. [5]. The zero-slope post peak in fatigue may be caused by the steady degradation of the bridging stresses under fatigue loading. Under quasi-static loading, the degradation of the bridging stresses is not as severe and thus the resistance curve continues to increase (see Figure 4).

The fatigue results also indicate load history dependence. Under constant amplitude loading, the average  $K_{th,ult}$  value was  $13.25 \text{ N/mm}^{3/2}$  and the corresponding  $\Delta a_{ult}$  was 7.88 mm. Under variable amplitude loading, the average  $K_{th,ult}$  value was  $17.25 \text{ N/mm}^{3/2}$  and the corresponding  $\Delta a_{ult}$  was 13 mm. The discrepancy may stem from the fact that on average, the applied stress ratio was lower under variable

amplitude loading (0.7 compared to 0.85/0.95 under constant amplitude loading). This means that the bridging stresses should be higher under variable amplitude loading conditions, thus leading to a higher fatigue crack resistance. Table 1 shows the results of the constant and variable amplitude loading tests. Note that C and V represent constant and variable amplitude loading, respectively.

**Table 1.** Fatigue resistance parameters under constant and variable amplitude load

Constant Load	$K_{th,ult}$	$\Delta a_{ult}$	$K_{IC}$	Variable Load	$K_{th,ult}$	$\Delta a_{ult}$	$K_{IC}$
C-1	9.98	7.14	37.65	V-1	15	13	41.99
C-2	17.04	9.2	43.5	V-2	18	17	39.43
C-3	13.14	5.07	39.22	V-3	18	12	45.7
C-4	17.94	8.59	44.36	V-4	18	10	36.71
C-5	13.1	11.75	38.6	<b>Mean V</b>	17.25	13	40.96
C-6	7.19	4.04	32.04				
C-7	9.35	7.01	31.9				
C-8	17.59	11.36	38.9				
C-9	12.59	4.55	33.97				
C-10	14.55	10.12	38.22				
<b>Mean C</b>	13.25	7.88	37.84				

In addition, the transition between the transient and steady state stage is much shorter under constant amplitude loading. The transition under variable amplitude loading begins to occur at approximately 5 to 6 mm (refer to Figure 6b) and remains in this region until approximately 12 to 13 mm. The reason may be because the sudden overloads under variable amplitude loading cause an increase in crack tip plasticity which can lead to an increase in the critical crack extension.

### 4 Conclusion

In this paper, laboratory results from a series of quasi-static, constant and variable amplitude fatigue tests on simply supported single edge notched beam specimens were presented. It was shown that variable amplitude testing can provide a comprehensive assessment of fatigue life because the R-ratio, peak stress intensity, and load history effects can be assessed. The results of this study also suggest that although the fatigue resistance curve under variable amplitude shows a similar quality to that under constant amplitude loading (similar pre-peak shape), the magnitude of the parameters governing the curve,  $K_{th,ult}$  and  $\Delta a_{ult}$  are significantly different. The following conclusions can be stated:

- Introducing the resistance term,  $K_{th}$ , into the modified Paris Law established by Slowik et al. enables one to predict fatigue cracking in both transient and steady-state regions.

- $K_{th,ult}$  and  $\Delta a_{ult}$  differ depending on loading history: Both of the parameters seem to be lower under constant amplitude loading as compared to variable amplitude loading.

The differences between the fatigue resistance curves under constant and variable amplitude loading may be caused by the stress range (difference between peak and valley stresses): Under constant amplitude loading, the stresses were higher and therefore the crack opening should be larger, which will lead to lower bridging stresses and thus a lower crack resistance.

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# Influence of External Alkali Supply on Cracking in Concrete Pavements

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**Abstract.** In the last few years cracking in concrete pavements was observed in several highway sections in some regions of Germany. Within the scope of extensive investigations no definite single cause of cracking could be observed in the majority of these cases. Besides incremental load-induced stresses by increasing heavy traffic, within this scope especially load-independent stresses due to hygral and thermal changes are of relevance. Several investigations additionally substantiate reaction products of an alkali silica reaction (ASR). Because of this multiplicity of potential causes for cracking it has not been definitely clarified up to now to which extent especially the ASR contributes to cracking in concrete pavements. Rather it seems that superposition and/or interactions of different mechanisms are responsible.

## 1 Introduction

In current discussions cracking in concrete pavements is often associated with an alkali silica reaction (ASR). However, even if relevant reaction products were detected in appropriate samples, cracks result in the rarest cases from an ASR solely. Rather it has to be assumed, that cracks were caused by a superposition of several stress impacts. Besides restraint stresses due to disabled thermal and hygral self-deformations, which mainly are raised by restraining of warping concrete pavements mainly are also subjected to traffic loads.

However, the influence of the alkali silica reaction in concrete pavements cannot be neglected. In such constructions especially the supply of alkalis from external sources has a substantial importance. Alkaline de-icing agents applied in the winter period penetrate more or less intensively into the concrete structure, which leads to a continuous increase of the alkali potential. In case of high traffic volume this penetration process is intensified by the following vehicles. The intrusion of the alkalis furthermore is particularly forwarded by already existing microcracks.

The durability of concrete pavements is strongly impaired by cracks. Therefore a comparatively high flexural strength of at least  $5.5 \text{ N/mm}^2$  is generally required in plain concrete slabs to minimize the risk of cracking. Nevertheless cracking in concrete pavements cannot be avoided completely. However, not each crack

results generally in an impairment of serviceability or leads to a hazard. In this context it should be considered that only 1 to 2 percent of the total 3,600 kilometres of concrete pavements are really damaged in Germany nationwide.

As long as the crack width remains sufficiently small (crack width  $< 0.3 - 0.4$  mm) the serviceability of the pavement usually is not impaired. A critical stage has to be stated, if the edges of the cracks break out, particularly in the surroundings of joints, due to the over-rolling traffic. In this way the crack widths are expanding continuously by themselves which finally will result in a complete destruction of the material in this area. If larger particles are bursted out, the following traffic will be seriously endangered. By this the general conditions of the concrete pavement will be drastically worsened [1].

## **2 Influences Affecting Cracking in Concrete Pavements**

### ***2.1 Restraint and Residual Stresses***

Due to the endless extension in longitudinal direction, deformations in pavements are practically completely restrained. In case of non-load-induced deformations, e.g. example by thermal or hygral changes, which extent constant over the complete cross-section, longitudinal restraint stresses are generated. Although in transverse direction a movement is enabled to some extent, restraint stresses also cannot be excluded completely in this case. If a thermal or a hygral gradient yield over the slab thickness, the slab would tend to warp and curl. These deformations are restrained by the dowels and tie bars resp. as well as by the dead load of the slab to a large extent. In addition the slabs are pressed down by traffic loads. In consequence appropriate flexural stresses with the tensile zone on the “cold“ and/or „dry“ side are generated. While due to longitudinal restraint stresses transversal cracks with nearly constant crack width are formed, flexural stresses result in wedge-shaped cracks with the opening on the dry or cold side. Furthermore residual stresses due to non-linear distributions of deformations are generated, which can result in map cracking [1].

### ***2.2 Impact of Traffic on Microcracking***

Within a case study of cracking in concrete pavements both load-independent influences (thermal and hygral) as well as load-dependent influences (i.e. traffic) have to be considered. Even if the permissible axle loads have not significantly increased in the past decades in most countries, the volume of the overall traffic and in particular of the heavy traffic has drastically grown in this period. Between 1970 and 2005 the transported cargo volume in Germany increased from 80 billion ton kilometers up to 400 billion ton kilometers, i.e. by five times. Besides the static loads especially the dynamic / cyclic loads can affect the microstructure of the concrete.

In appropriate tests the behavior of the concrete under cyclic loadings was investigated. It could be proved by a reduction in the dynamic E modulus, that already at upper stress levels between about 45 and 70 % of the concrete strength degradation processes take place in the microstructure far before fatigue failure is exceeded (Fig. 1) This degradation process is attended by the formation of microcracks in the concrete microstructure [2].

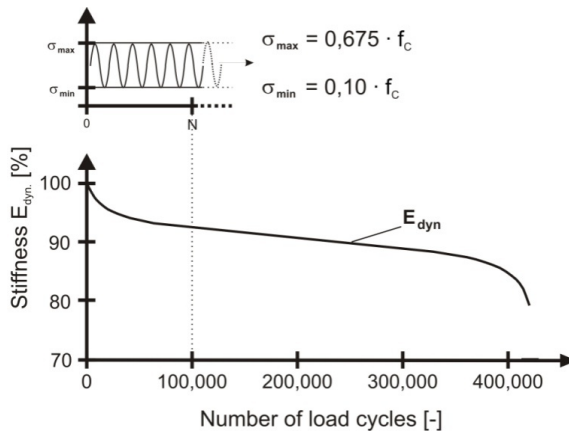
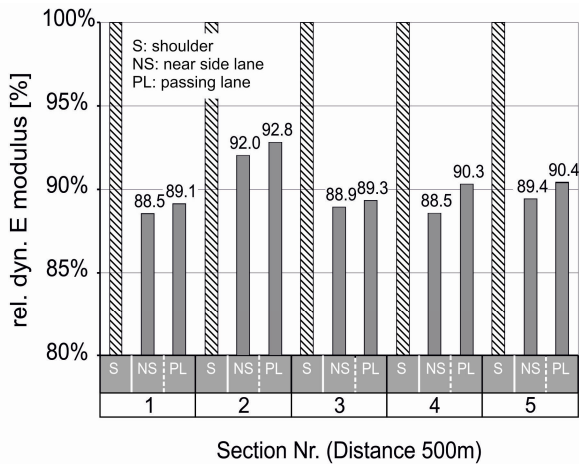


Fig. 1. Decreasing stiffness of concrete under cyclic load [2]

Such degradation processes can also be detected in concrete pavements by comparing the dynamic E-modulus between various lanes. In appropriate tests ultrasonic velocities of Rayleigh waves were measured at shoulder, near side lane and passing lane of various German highways. From these US-velocities then the dynamic E modulus of each lane was calculated. Figure 2 shows relative dynamic E-moduli of five highway sections, in which the dynamic E moduli of the near side lane and passing lane was related to the E modulus of the shoulder (same concrete in all lanes). It demonstrates that the relative dynamic E moduli of the traffic lanes are approximately 10 percent lower than the E modulus of the shoulder, which is assumed to be nearly unloaded within the investigated period and thus was used as reference-basis. This loss of stiffness can be related to cyclic loading due to traffic.

### 2.3 Expansion due to Alkali-Silica-Reaction

In the well-known alkali silica reaction amorphous silica ( $\text{SiO}_2$ ) reacts with alkali hydroxide ions (NaOH, KOH), when simultaneously an appropriate amount of humidity is available. The reaction product is an alkali silica gel, which expands dramatically due to absorption of water. The expansion caused by interior swelling



**Fig. 2.** Relative dynamic E moduli of different highway lanes / sections

is restrained by the surrounding concrete to a large extent. Thus an internal pressure occurs, which can accumulate up to 20 MPa [3]. Such stresses can easily exceed the tensile strength of the concrete with the consequence of internal cracking. Cracks due to an ASR are usually map- distributed and netlike. They are not limited only to the visible surface area but extend over the complete concrete structure. In the last few years cracking in concrete pavements often is associated with alkali silica reactions (ASR) [1]. However, even if relevant ASR-products are detected in appropriate samples, cracks result only in the rarest cases from that. In this context it should be considered, that ASR is the only cracking cause, which can be identified later by their reaction products. Other stresses, e.g. thermal and hygral influences or traffic loads do not leave their marks subsequently.

#### 2.4 Alkalis from External Sources

The production of the swellable gel in the ASR is mainly influenced by solved alkalis in the pore solution of the concrete. In the case of concrete pavements not only internal sources but also external sources should be considered. As shown in Figure 5b below the external alkali supply / de-icing agents) plays a decisive role to the extent of an ASR in concrete pavements. In Figure 3 the amount of applied de-icing agents on German highways is illustrated.

It demonstrates that the amount of applied de-icing agents has successively increased in the last decade, which was caused by more and more prophylactic application in this period. However, due to the prophylactic application of sodium chloride nowadays this salt is pressed quite more intensive into the concrete by the over rolling traffic and hence forces the ASR subsequently. The intrusion of the alkalis is especially forwarded by the already existent cracks and microcracks respectively.

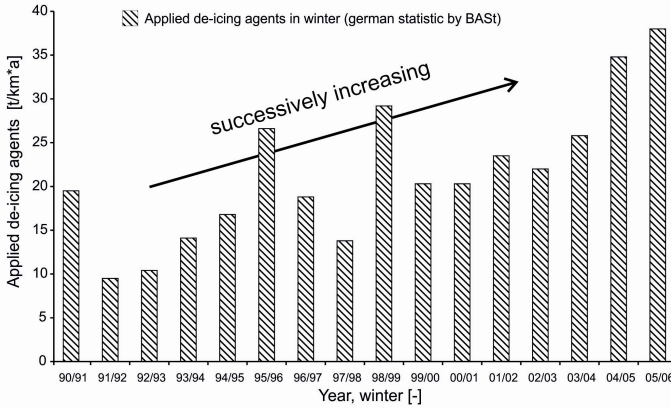


Fig. 3. Applied de-icing agents in winter in Germany

### 3 Investigations on Cracked Pavements by Analysis of Construction Documents and Additional Tests

#### 3.1 Thermal Influences on Cracking in Concrete Pavements

Within the scope of extensive investigations highway sections (with and without cracking, map cracking as well as longitudinal cracks) were selected for studies referring to the causes for the cracking. In order to quantify these cracks, typical characteristics, e.g. the average quantity of cracks per slab, the average width of cracks per slab and the average cracking opening area, which is defined by the product of the total crack length and width per slab were determined.

In addition to the evaluation of the crack formation all available construction documents, i.e. daily construction records, reports on initial tests and quality control tests, weather records etc. were compiled and evaluated. In this context also the air temperatures during the concrete casting were compiled (Fig. 4).

In these analyses a significant influence of the temperature at concrete casting on the cracking could be proved in general (Fig. 4), whereat it can be assumed that the concrete temperature correlates more or less with the air temperature at casting. Except two subsections (10-6 and 10-8), which were additionally damaged by intensive hygral effects (rising ground-water), it could be verified, that nearly no cracking was observed in the concrete pavements after an average age of 14 years, when the temperature during casting was below 15°C to 20°C. Exceeding this temperature range (concreting in the summer season) cracking increases progressively.

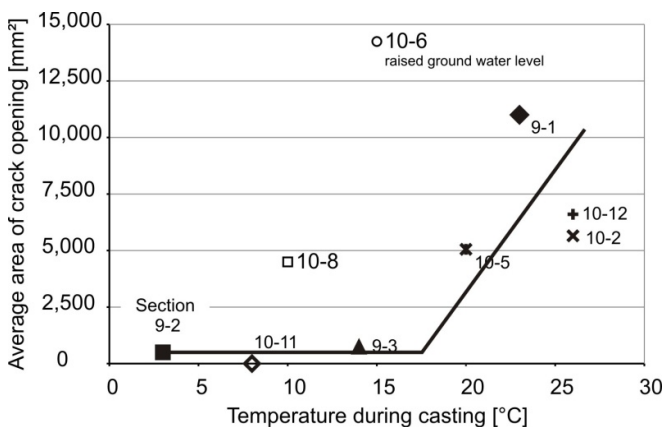


Fig. 4. Influence of the air temperature at concrete casting on crack opening area

### 3.2 Specific ASR- Tests on Concrete Cores at 60°C – with and without External Alkali Impact

To evaluate the ASR-potential within the inspected fields, specimens (cores) were sampled, on which the residual potential of ASR was determined by specific ASR-tests in the lab. To obtain information as soon as possible, the tests were performed in special climates at 60°C to accelerate the ASR. In this context also the influence of an external alkali supply was considered. So for this purpose the cores were divided into two parts. One subspecimen was stored constantly at 60°C above water according to the “Alkali-Guideline (2006)” [4], which is very similar to the “RILEM Recommended Test Method TC 191-ARP AAR-4” [5]. The other subspecimen was exposed to following cyclic procedure: 6 days above water at 60°C, 1 day at 20°C, 5 days at 60°C in a dry air and 2 days immersed in a sodium chloride solution with a concentration of 0.6 mol/l. With this procedure the test specimens were loaded for 16 cycles. During the complete test phase the deformations of the specimens were determined under both storing conditions. Even when no ASR takes place deformations to some extent will occur in the samples only due to thermal and hygral effects within the test procedure. In the tests without external alkali supply these can lead to an expansion up to about 0.3 to 0.4 mm/m, in case of an external alkali supply they can increase up to 0.5 mm/m. Thus, only deformations exceeding these values may be linked with an ASR and can be considered within the evaluation.

In Figure 5 the results of the deformation measurements without (Fig.5a) and with (Fig. 5b) external alkali supply are documented.

The comparison of Figure 5a and Figure5b demonstrates that the expansions increased significantly when alkalis are additionally supplied by external sources. This has been known also from other investigations [3]. Furthermore it could be proved, that the degree of expansion mainly is impacted by the degree of degradation / microcracking within the concrete microstructure.

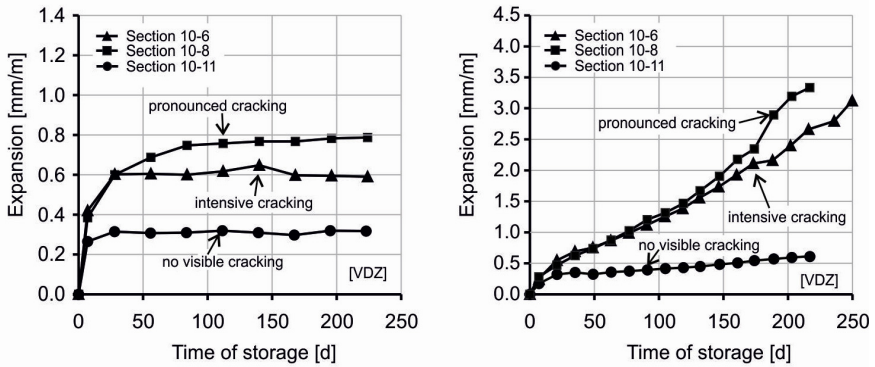


Fig. 5. Expansion of drilled cores due to storage at 60°C a) left: without external alkali supply b) right: with external alkali supply

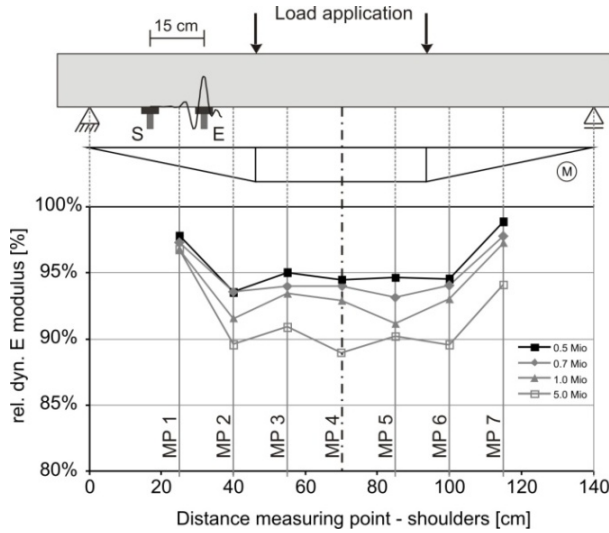
### 4 Laboratory Investigations on Alkali-Penetration

In order to determine the impact of the degree of degradation in the concrete on the penetration of de-icing agents (sodium chloride) into the concrete structure, further laboratory investigations were carried out. Therefore beams (140 x 40 x 27 cm<sup>3</sup>) made of typical pavement concrete were cyclically loaded. The load applied simulated a superposition of thermal caused stresses and stresses due to traffic loads. This means that the maximum stress  $\sigma_{max}$  corresponded to thermal restraint stresses, which appear due to casting the concrete pavement in summer months. The amplitude of the cyclical load ( $\sigma_{max} - \sigma_{min}$ ) characterizes the traffic load, which appears due to an over rolling truck with an axle load of 10 tons. Based on these configurations the concrete beams were cyclically loaded up to 5 million load cycles N with a frequency of 7 Hz in order to accelerate the test.

After various load cycles the dynamic E modulus was determined by measuring the ultrasonic speed of the Rayleigh wave at the tensile zone of the concrete beams. All dynamic E modulus were furthermore related to the E modulus of the beams before the first load was applied. On this basis a relative dynamic E modulus was determined in order to describe the deterioration of the concrete beam due to cyclic loading (Fig. 6).

Figure 6 demonstrates that the relative dynamic E modulus decreases continuously due to the applied cyclic loading. So the relative dynamic E Modulus decreases up to 90 percent after 5 million load cycles for instance, which can be related to an adequate degradation of the concrete microstructure.

In order to determine the influence of such a degradation on the penetration of a sodium chloride solution (3.0 w % sodium chloride) further experiments were conducted. For this purpose a sodium chloride solution was pressed into the tensile zone of variously pre-damaged beams (0, 2 million and 5 million load cycles N) by tire passes (TP), whereat the wheel was additionally loaded with a weight of 1 ton.



**Fig. 6.** Decrease of the relative dynamic E modulus due to cyclic loading

After 1 million tire passes concrete specimens ( $40 \times 10 \times 10 \text{ cm}^3$ ) were cut transversally out of the beam. These specimens then were split in longitudinal direction (transversal axis of the beam) thereupon. Afterwards silver nitrate was sprayed on the fracture surface to detect the depth of chloride penetration by a color change ( $c_d = 0.07 \text{ mol/l}$ ).

Even if the silver nitrate test identifies only the presence of chlorides – the presence of sodium, which mainly influences the ASR, cannot be identified by such rapid tests – , this test is suitable for scanning large concrete specimens in a very short time as it is assumed, that the penetration of chloride and sodium are similar or even in line. At this point it should be mentioned either, that the effect of chromatography was disregarded so far. However, after measuring the penetration depth in steps of 1 cm a two-dimensional mapping was generated. In Figure 7 three penetration maps are shown, which differ in the applied load cycles (N) before the tire passes (TP) started.

If the concrete beams were not damaged before tire passes started, the sodium chloride penetrates 19 mm (mean value) into the concrete due to 1 million tire passes. Because of the increasing load cycles before the tire passes the penetration depth of the sodium chloride increases as well (22 mm after 2 million load cycles and 28 mm after 5 million load cycles). This means that the penetration depth of the sodium chloride increases noticeably by increasing degradation within the concrete microstructure.



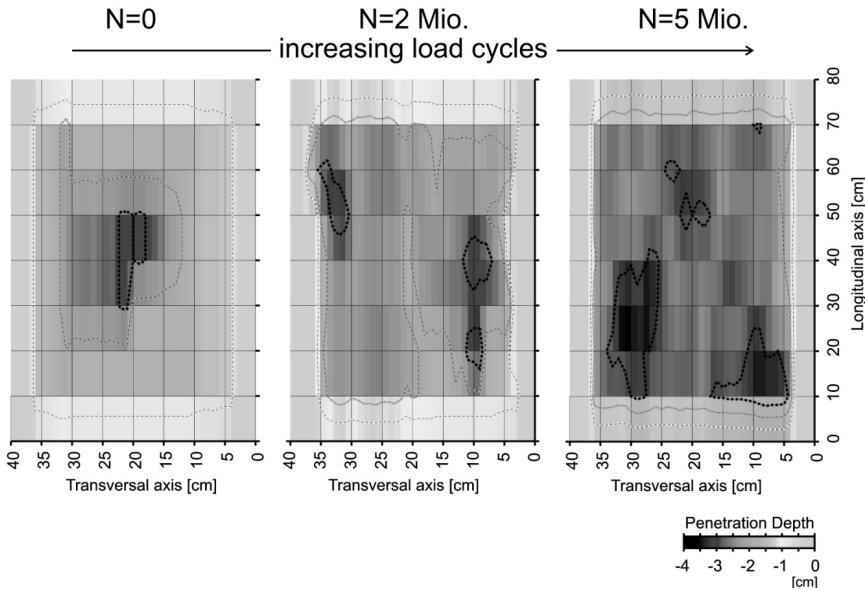


Fig. 7. Penetration depth of the sodium chloride solution after 1 Mio. tire passes

## 5 Final Discussion and Conclusion

Because of the multiplicity of potential causes for pavement cracking all possible influences have to be considered in order to reveal the relevant causes. In the minority of the investigated sections only one single reason could be found as responsible for the crack formation.

As presented in Figure 4, the temperature at concrete casting has significant influence on the initial cracking of concrete pavements. With increasing pouring temperature an increase of the average area of crack opening could be observed in the majority of the investigated highway sections. As a result of the interpretation of construction documents it can be assumed that the initial cracking in concrete pavements basically is influenced to a larger extent by thermal and hygral circumstances.

If microcracks due to cyclic traffic loads in combination with restraint stresses have already been formed in a concrete pavement, moisture as well as external supplied alkalis can easily penetrate deeper into the concrete microstructure. As demonstrated in Figure 5 in consequence such pre-existing degradations or damages play a decisive role for the further development of ASR-expansions, provided that simultaneously adequate portions of reactive aggregates are present in the concrete.

If the concrete has not been impaired in any way before, the impact of external alkalis plays only a minor role for the further ASR-expansion. Thus the extent of premature degradations, e.g. raised by thermal / hygral effects and traffic loads,

has been proved to be the most important impact for the formation of an ASR in concrete pavements in cases of external alkali supply.

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# Plastic Shrinkage Cracking Risk of Concrete -- Evaluation of Test Methods

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**Abstract.** Concrete pavements subjected to fast evaporation during the first hours after concrete placing are prone to plastic shrinkage cracking. In this paper the mechanisms by which evaporation of water leads to capillary pressure, and finally to shrinkage and cracking, are briefly discussed. In the main part, the paper presents results of a study on the evaluation of three different measurement setups. With two of these setups usually the efficiency of fibres in reducing plastic shrinkage cracking of concrete is assessed in Germany and Austria. The third one is an advanced setup that was developed in collaboration of BAM and Empa. It allows performing measurements of parameters that are important for the evaluation of the cracking risk.

## 1 Introduction

Plastic shrinkage of concrete can be defined as volume changes, which occur between the time of placement and the time of setting. It is increased under unfavourable climatic conditions due to accelerated evaporation from the fresh concrete surface and may result in substantial cracking, particularly in elements with large surfaces exposed to the environment (e.g. pavements and slabs). When the bleeding water on the surface of the concrete is consumed by evaporation, water menisci form. These menisci are accompanied by capillary pressure that compresses the concrete and cause it to shrink. In the literature, plastic shrinkage cracking of concrete is generally attributed to four main driving forces. Already in the plastic state of the concrete differential settlement, e.g. above reinforcing steel or at locations with a change in the thickness of the cross-section, and differential thermal strain due to a temperature gradient that is generated in the concrete by evaporative cooling, may occur [1]. The third driving force is the capillary pressure that may lead to initial cracking due to stress or strain concentration at locations at the concrete surface with existing flaws [2] or air entry [3]. Finally, plastic shrinkage is inducing tensile stresses in the case of restraint in the period of concrete setting, which may result in cracking when the stresses exceed the low strength of the concrete in the early age [4].

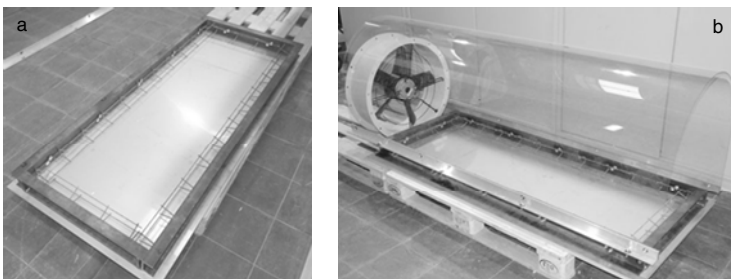
In many cases the cracks induced by plastic shrinkage are not limited to the superficial area but penetrate deeply the concrete element. This happens even in the case of concrete reinforced with rebars, since the plastic concrete is not able to sufficiently transfer forces to the reinforcements due to the weak bond. Therefore plastic shrinkage cracking may degrade significantly the durability of the concrete and requires often a complex and expensive rehabilitation [5]. Of course, plastic shrinkage can be avoided or at least reduced by proper curing, e.g. by keeping the surface of the fresh concrete wet. This is state of technology and limiting the evaporation of water immediately after placing the concrete is required by standards and guidelines. However, in some cases appropriate curing is difficult and in some cases it is applied too late or it is not applied at all. Therefore the use of shrinkage-reducing admixtures (SRA) might be reasonable. By reducing the surface tension of the water, SRA reduce capillary pressure, evaporation and settlement, and thus, the potential for plastic shrinkage cracking [1].

Another possibility for the reduction of plastic shrinkage cracking is given by a fibre-reinforcement. Results of a comprehensive experimental study are reported in [6]. They reveal that polymer as well as steel fibres may reduce plastic shrinkage cracking. The efficiency of the fibres was depending on their geometry and on the amount of fibre addition. Qi et al. [7] conclude that fibres increase the stiffness of the concrete, and thus reduce its settlement and plastic shrinkage cracking.

## 2 Test Methods

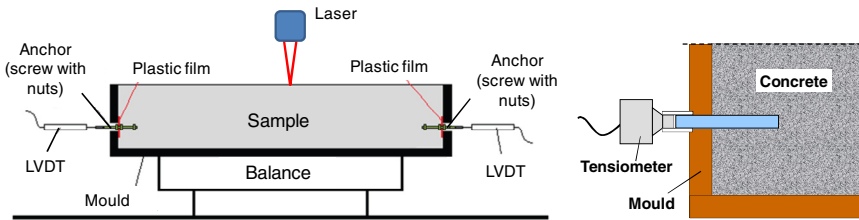
### 2.1 Test Method Recommended by DIBt

In Germany, a test method for plastic shrinkage cracking of concrete is recommended by Deutsches Institut für Bautechnik (DIBt) for the approval of fibres intended for the use as concrete additive [8]. The measurements are performed on a slab ( $160 \times 60 \times 8 \text{ cm}^3$ ) that is cast in a steel frame. Restraint of the concrete is provided by reinforcements fixed to the steel frame (Figure 1a).



**Fig. 1.** Measurement setup recommended by DIBt [8]

After compacting and finishing the fresh concrete, the slab is covered with a transparent wind tunnel. In the presented study, a wind speed of approx. 5 m/s inside the tunnel was generated by a ventilator (Figure 1b). The tests were performed in an environmental chamber at 30 °C and 50 % relative humidity. Evaporation and horizontal plastic shrinkage were measured on a separate concrete specimen ( $30 \times 30 \times 8 \text{ cm}^3$ ) that was also placed in the wind tunnel (Figure 2, left). In addition the settlement of the concrete surface was measured with non-contact laser sensors and measurements of the capillary pressure were performed with tensiometers [3], consisting of a pressure sensor connected to a metallic tube by a rubber hose (Figure 2, right).



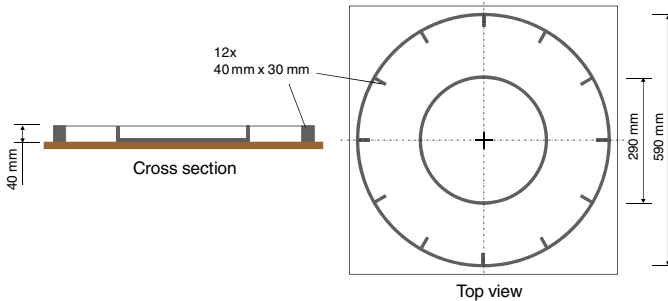
**Fig. 2.** Schematics of DIBt setup for measurement of plastic shrinkage and evaporation (left) and of setup for measurement of capillary pressure (right).

Cracking in the hardening concrete was measured at the end of the test after 7 hours. According to DIBt recommendations, the fibres are approved if the cracking and plastic shrinkage of the fibre-reinforced concrete is apparently less pronounced than in the control concrete mixture without addition of fibres. It is noticed that DIBt recommendations do not require the quantification of the cracks.

## 2.2 Test Method According to ÖVBB Guideline

Similar to German requirements, plastic shrinkage cracking of concrete has to be tested for the approval of fibres in Austria. Measurement setup and experimental procedure are determined in the guideline of Österreichische Vereinigung für Beton und Bautechnik (ÖVBB) for fibre-reinforced concrete [9]. The measurements are performed on concrete rings with a thickness of 150 mm and a width of 40 mm. The concrete specimens are cast in a mould consisting of two concentric steel rings fixed on a rigid panel (Figure 1). Inside the outer ring of the mould, 12 steel sheets are placed to constrain the concrete and to initiate cracking. The air on top of each specimen shall be removed by a fan connected to a circular air funnel that is placed directly above the concrete rings generating a wind speed of 4 m/s on the concrete surface. In the presented study, of each concrete mixture, three specimens were cast and placed in the wind tunnel as shown in Figure 1b, one of them on a balance to determine the water loss. Measurements of settlement, capillary pressure and evaporation were performed on separate samples as shown

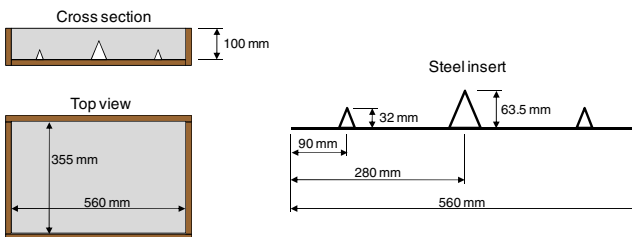
in Figure 2, which were also placed in the wind tunnel. The duration of the measurements was 5 hours at 20 °C and 50 % relative humidity. The wind speed was approx. 4 m/s. After the end of the test, the lengths of all observed cracks in each specimen were accumulated and the total crack lengths of fibre-reinforced concrete and control concrete were compared. According to ÖVBB guideline, the fibres are approved if the reduction of the total crack length is  $\geq 80\%$ . A minimum threshold of crack width reduction is not defined in the ÖVBB guideline.



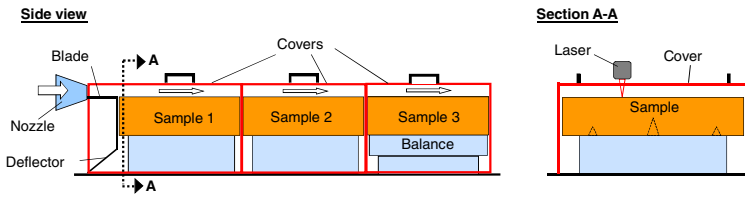
**Fig. 3.** Shrinkage ring according to Austrian guideline for fibre-reinforced concrete [9]

### 2.3 Proposed Advanced Test Method

A new measurement setup was developed that allows precise and repeatable testing. Homogeneous and rectified air flow on the surface of the concrete specimens is generated by a special blower unit. Measurements of evaporation, settlement and plastic shrinkage cracking were performed simultaneously on the same concrete samples. The moulds according to ASTM C 1579 [10] are provided with steel inserts (stress riser) to initiate cracking (Figure 4). The moulds were covered with a transparent wind tunnel made of acrylic glass that facilitated inspections of the concrete surface during the measurements (Figure 5). The capillary pressure was measured on separate samples as shown in Figure 2, which were also placed in the wind tunnel.



**Fig. 4.** Mould for measurement of plastic shrinkage cracking according to ASTM C 1579 [10]



**Fig. 5.** Schematic of the new measurement setup using moulds according to ASTM C 1579 [10]

The complete measurement setup was placed in an environmental chamber at  $(37 \pm 1)^\circ\text{C}$  and  $(27 \pm 1)\%$  relative humidity. Temperature, velocity and relative humidity of the air flow on the surface of the concrete samples can be monitored with coupled temperature/RH sensors and anemometers being inserted into the wind tunnel through holes in the covers at different locations. The measurements revealed a homogeneous air flow with a velocity of  $(6 \pm 0.5)$  m/s. The standard deviation of the air velocity in the cross section of the wind tunnel was  $\leq 0.2$  m/s. Typically the velocity and the temperature of the air flow gradually decreased along the wind tunnel while the relative humidity gradually increased from 27 % at the front to 32 % at the end of the wind tunnel.

According to ASTM C 1579 [10] the measurements were stopped when the end of setting of the concrete occurred and the samples were covered with plastic sheets to avoid further evaporation. The crack measurements were performed 24 hours after mixing and the crack reduction ratio (CRR) was calculated according to Eqn. (1).

$$\text{CRR} = \left[ 1 - \frac{\text{average crack width of fibre - reinforced concrete}}{\text{average crack width of control concrete}} \right] \times 100 \% \quad (1)$$

### 3 Materials

The investigated polypropylene (PP) fibres had a rectangular cross section ( $1.4 \times 0.1 \text{ mm}^2$ ) and a length of 40 mm. Their efficiency was evaluated using two basic concrete mixtures, which differed in cement type and w/c ratio (Table 1).

**Table 1.** Mixture compositions and fresh concrete properties

Constituent	Mixture C1		Mixture C2	
	Control	FRC	Control	FRC
Cement content ( $\text{kg/m}^3$ )	360 <sup>1)</sup>		360 <sup>2)</sup>	
Water ( $\text{kg/m}^3$ )	270		234	
Limestone powder ( $\text{kg/m}^3$ )	161		148	

**Table 1.** (continued)

PP fibres (kg/m <sup>3</sup> )	-	2.3	-	2.3
Aggregate 0/8 mm (kg/m <sup>3</sup> )	1469	1461	1576	1569
w/c ratio	0.75		0.65	
Density (kg/m <sup>3</sup> )	2243	2237	2256	2225
Flow (mm)				
5 min after end of mixing	695	640	500	470
30 min after end of mixing	635	610	430	430
60 min after end of mixing	605	570	430	420
Air content (%)	0.8	1.0	2.5	3.2

<sup>1)</sup> CEM I 32.5 R, <sup>2)</sup> CEM I 42.5 R

Limestone powder with a Blaine fineness of 5100 cm<sup>2</sup>/g was added to increase the content of particles smaller than 250 µm to 680 kg/m<sup>3</sup>. It is noticed that the flow of the fibre-reinforced concrete (FRC) mixtures directly after mixing was lower than that of the control mixtures without addition of PP fibres, but already after 30 minutes this was not the case anymore with mixture C2.

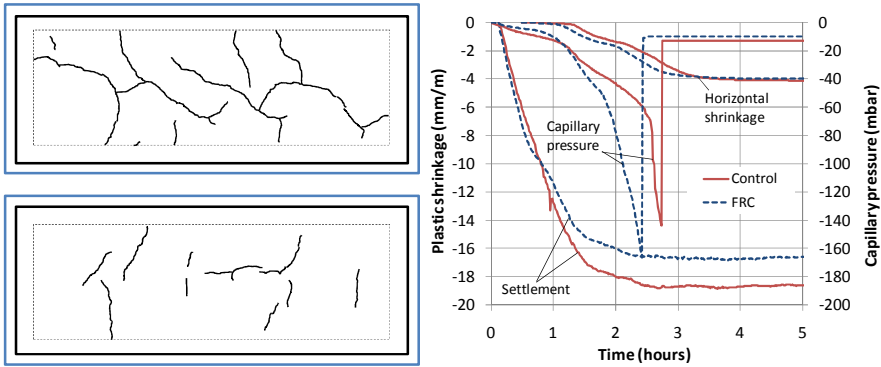
## 4 Results and Discussion

For the tests performed according to DIBt recommendations the basic mixture C1 was used. After finishing the samples they were covered with the wind tunnel and an “average” wind speed of 5 m/s was generated. The time between initial mixing and start of the measurements was approx. 1 hour. It has to be noticed that a precise determination of the wind speed was not possible with this measurement setup because the axial blower generated a very heterogeneously rotating air roll inside the wind tunnel. In the centre of the wind tunnel cross section, the measured air speed was approx. 3 m/s only, whereas it increased to approx. 6 m/s in the border areas.

In the control concrete slab the first cracks were observed 2 ½ hours after start of the measurements. In the slab with the FRC, the first cracks occurred approx. 30 minutes later. At the time of initial cracking no bleeding water was visible anymore on the surface of both concrete slabs. Figure 6 shows on the left that the addition of PP fibres resulted in a reduction of plastic shrinkage cracking. The measurement of the cracks after the end of the test revealed that not only the number of the cracks but also the crack lengths and in particular the crack widths were reduced.

The settlement of the concrete was slightly reduced by the addition of the PP fibres (Figure 6, right). This can be attributed to the higher stiffness of the FRC (smaller flow) compared to the control concrete. The faster decrease of the capillary pressure in the FRC indicates as well its minor settlement, which comes



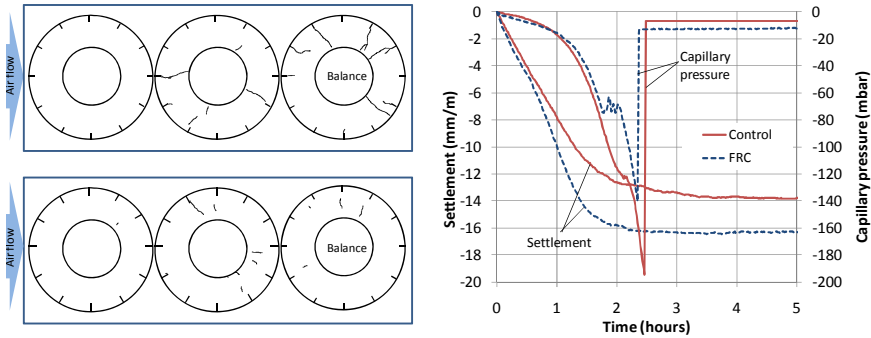


**Fig. 6.** Test results according to DIBt recommendations. Left: Crack patterns of control concrete (top) and FRC (bottom). Right: Plastic shrinkage and capillary pressure of the concrete mixtures.

along with reduced bleeding. With both mixtures the horizontal shrinkage started roughly at the time when the capillary pressure significantly decreased and the settlement slowed down. Due to addition of the PP fibres the horizontal shrinkage started slightly earlier, but there was no influence on the total amount of shrinkage. Settlement and horizontal shrinkage were completed in both mixtures after 4 hours. The rate of evaporation was almost equal in both tests.

For the tests performed according to the ÖBVV guideline the basic mixture C2 was used. After finishing the samples and covering with the wind tunnel an “average” wind speed of 4 m/s was generated. The time between initial mixing and start of the measurements was as well approx. 1 hour. Initial cracking was observed after 2 hours. As in the test with the large slabs according to DIBt recommendations, the addition of the PP fibres resulted in reduced plastic shrinkage cracking (Figure 7, left). The total crack length in the FRC was reduced by 56 % compared to the control mixture, which did not meet the requirements ( $CRR \geq 80 \%$ ) of ÖBVV guideline. Since the PP fibres obviously reduce crack lengths as well crack widths, it seems more reasonable to evaluate the efficiency of the fibres with a CRR that is based on the total crack area. The total crack area can be calculated as the sum of single crack length multiplied with the corresponding average crack width. In this case the CRR would amount to 87 % (see also Table 2). Moreover, the approach of a CRR based on the total crack area is more adequate to the relevance of cracks concerning the durability of concrete structures, since transport of water and harmful ions is much more depending on crack width than on crack length.

Interestingly the shrinkage rings in the centre and at the end of the wind tunnel, i.e. the samples most distant from the ventilator, showed a more pronounced cracking than the shrinkage rings that were placed directly in front of the ventilator (with no cracking and only one crack, respectively). This was probably due to the non-uniform air flow inside the wind tunnel, which removed the humidity efficiently only in the rear part of the wind tunnel.

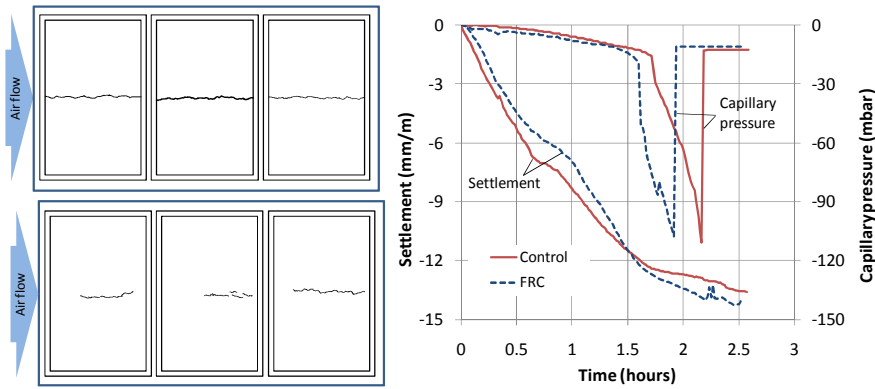


**Fig. 7.** Test results according to ÖVBB guideline. Left: Crack patterns of control concrete (top) and FRC (bottom). Right: Settlement and capillary pressure of the concrete mixtures.

In contrast to the mixture C1, with the mixture C2 larger settlement was measured in the case of the PP fibre reinforced concrete (Figure 7, right). This cannot be explained by the stiffness of the concrete mixtures, which was almost equal after 30 minutes (see Table 1). Also the capillary pressure developed equally in both mixtures during the first 90 minutes. At the end of the test after 5 hours, the plastic settlement of both mixtures was completed.

For the tests performed with the new measurement setup using moulds according to ASTM C 1579, the basic concrete mixture C1 was used. Cracking was observed after approx. 2½ hours for the control mixture without fibres and the FRC. At this time also the end of setting occurred for both mixtures. All cracks formed above the stress riser of the steel insert in the centre of the samples (Figure 8, left). In the control concrete slabs only a single large crack occurred, whereas several smaller cracks were observed in the FRC slabs. Figure 8, right shows that the addition of the PP fibres apparently did not affect the settlement. The decrease of the capillary pressure occurred only slightly earlier for the FRC compared to the control concrete, corresponding with the settlement, which was more similar for FRC and control concrete than in the tests with the same basic concrete mixture shown in Figure 6. The settlement of both mixtures was probably not fully completed after 2½ hours when the measurements were stopped at the end of concrete setting.

The analysis of the crack measurements of all tests is given in Table 2. At first it makes clear that the fibre-reinforcement reduces the crack lengths as well as the crack widths and that it has a minor influence on the crack length when the ASTM shrinkage cracking moulds are used. In this case the fibres predominantly reduce the width of the cracks. Table 2 shows also that the scatter of experimental results is lower in the case of the proposed advanced test setup, where a homogeneous air flow is applied to the concrete samples.



**Fig. 8.** Test results with new measurement setup using ASTM shrinkage cracking moulds. Left: Crack patterns of control concrete (top) and FRC (bottom). Right: Settlement and capillary pressure of the concrete mixtures.

**Table 2.** Analysis of the crack measurements

Type of test / concrete mixture / no. of samples		Total crack length / STDV <sup>1)</sup> (mm)	Average crack width / STDV <sup>1)</sup> (mm)	Crack area / STDV <sup>1)</sup> (mm <sup>2</sup> )
DIBt (mixture C1) 1 sample each	Control	3733	0.57 / -	2729
	FRC	1838	0.21 / -	421
	<i>CRR (%)</i>	<i>51</i>	<i>63</i>	<i>85</i>
ÖVBB (mixture C2) 3 samples each	Control	1108 / 349	0.31 / 0.18	477 / 164
	FRC	487 / 153	0.12 / 0.01	61 / 18
	<i>CRR (%)</i>	<i>56</i>	<i>61</i>	<i>87</i>
Advanced setup (mixture C1) 3 samples each	Control	980 / 8	0.65 / 0.22	642 / 73
	FRC	661 / 52	0.12 / 0.02	96 / 15
	<i>CRR (%)</i>	<i>33</i>	<i>81</i>	<i>85</i>

<sup>1)</sup> The standard deviation (STDV) of the test results is calculated based on the number of samples used in each test.

## 5 Conclusions

The experimental results showed that the investigated test methods are basically appropriate for the evaluation of the efficiency of fibres in reducing the plastic shrinkage cracking of concrete. However, it was observed that a heterogeneous air flow, as it is generated for example with an axial ventilator, may affect negatively the reproducibility of the test results, since the wind velocity and generally the evaporation might be very different for samples of different sizes located at different places in a wind tunnel. In this case, the measurement of the evaporation rate is very important in order to detect potential anomalies during the test procedure.

The evaluation of the fibre efficiency by visual inspection only, as recommended by DIBt has to be regarded as inadequate. It is suggested to quantify cracking by means of a crack reduction ratio in order to evaluate the efficiency of fibres objectively. Since a fibre-reinforcement is reducing the crack widths as well as the crack lengths, it is more reasonable to calculate the crack reduction based on the crack areas. This approach would also consider the fact that the crack width is more important for the durability of concrete structures than the crack length. This is not taken into account by the requirements of ÖVBB guideline and would need to be improved.

Moreover it is recommended to establish a minimum crack reduction ratio for the proof of the fibre efficiency. To avoid the overestimation of their efficiency, it is also reasonable to define a minimum crack width in the control concrete and to adjust the rate of evaporation by variation of the experimental parameters temperature, relative humidity and air velocity.

The results of the presented study have indicated that the shrinkage, measured as horizontal deformation, is not necessarily completed at the time of the end of concrete setting. Subsequent shrinkage would not be denoted as plastic shrinkage anymore by definition. However, since further crack growth can be expected, it seems not reasonable to limit the duration of the shrinkage cracking test to the time of setting but to extend it until significant horizontal shrinkage or crack growths is completed.

The measurement setups are applied in current research that is focussed on the effect of different types of fibres and of shrinkage reducing admixtures. Future studies will comprise also the evaluation of curing compounds.

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# Compatibility between Base Concrete Made with Different Chemical Admixtures and Surface Hardener

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**Abstract.** Many cases of cracking and detachment of the concrete surface have appeared in concrete pavements where surface hardeners were used in Portugal. The main causes for cracking and delamination of trowelled concrete pavements are several and it is essential to control bleeding and the time available to perform the finishing operations. Several base concretes were made with different chemical admixtures and one type of surface hardeners. The purpose of this study was evaluating the influence of the “open time” for each chemical admixture. Results show that the type of chemical admixture has a great importance in the control of the “open time” and consequently avoiding cracking and detachment.

## 1 Introduction

Concrete pavements can be used in airports, highways, city streets, parking lots and industrial zones. They have been a mainstay of our infrastructure and has evolved greatly in recent years, mostly due to the incorporation of chemical admixtures. In this paper will focus primarily on concrete pavements applied in parking lots and industrial zones.

A significant increase of problems in concrete pavements has been detected in Portugal, concerning pavements where surface hardeners were used, especially in car parks, industrial areas, warehouses, etc.. Many cases of cracking and detachment of the concrete surface have appeared. The negative effect of these abnormal situations has important economic implications, since the necessary work to correct them involves large areas of reconstruction and the use of expensive repairing materials, and also because it often involves the delay of the period of construction and the beginning of the infrastructure operation.

The main causes for cracking and delamination of trowelled concrete pavements referred to in the literature are two [1]: entrapped bleeding water and

air beneath the top layer, closed by finishing operations (less permeable); and the spreading of soft mortar over already hardened adjacent areas of floor surface (over-layering). These two causes depend on several factors such as the finishing operations, curing and concrete mixture.

The increase of cracking and delamination problems in recent years may be related to the fact that the characteristics of both cement and concrete have been changed, particularly the increase in the fineness and quantity of tricalcium silicates ( $C_3S$ ) in cements and the use of more effective water reducers in concrete.

It is thus essential to control bleeding [2] and the time available to perform the finishing operations due to the more rapid evolution between plastic and hardened phases of concrete (open time).

## 2 Materials and Methods

In laboratory, several base concretes ( $0.60 \times 0.30 \times 0.10 \text{ m}^3$ ) were made with four different chemical admixtures. Then, they were finished with one type of surface hardener (quartz). The cement used in the mixes was Portland limestone cement CEM II/A-L 42,5R, the chemical properties of which are presented in Table 1.

**Table 1.** Chemical properties of Portland limestone cement

SiO <sub>2</sub> %	16.36
Al <sub>2</sub> O <sub>3</sub> %	4.40
Fe <sub>2</sub> O <sub>3</sub> %	2.81
CaO %	60.84
MgO %	0.95
Na <sub>2</sub> O %	
K <sub>2</sub> O %	
SO <sub>3</sub> %	2.69
L.O.I. 950°C	7.64
R.I. %	1.18

L.O.I. – loss of ignition; R.I. – insoluble residue.

The chemical admixtures used were: two plasticiser/water reducer (Pozzolith 390NP - water and lignosulphonate, Pozzolit 540 - water and lignosulphonate), a superplasticiser/strong water reducer (Sikaplast 898 - combination of modified polycarboxylates) and a superplasticiser/strong water reducer with high efficiency (Rheobuild 561 - water and naphthalene sulphonate).

For all the compositions the consistency was determined by slump test and maintained at the class of S3 (100-150 mm) according EN 206-1:2007, as indicated in Table 2.

**Table 2.** Mixture proportions of concretes (kg/m<sup>3</sup>)

	<b>390</b>	<b>540</b>	<b>898</b>	<b>561</b>
Cement	340	341	340	341
Sand	730	730	730	730
Coarse aggregate 1	558	558	558	558
Coarse aggregate 2	556	556	556	556
Water	170	155	143	155
Superplasticiser	2.7	3.4	3.4	3.4
w/c	0.50	0.46	0.42	0.46
Slump (mm)	143	110	150	125

Major problems occur in aggressive situations. These aggressive situations are high temperatures and wind speed. To simulate them, two tunnels were built with a fan placed in each one of them. These allowed controlling temperature and humidity and also measuring the different evaporation rates inside the tunnels. Also, new equipment was developed to trowel the small slabs, Fig. 1.

**Fig. 1.** Equipment used to trowel the slabs

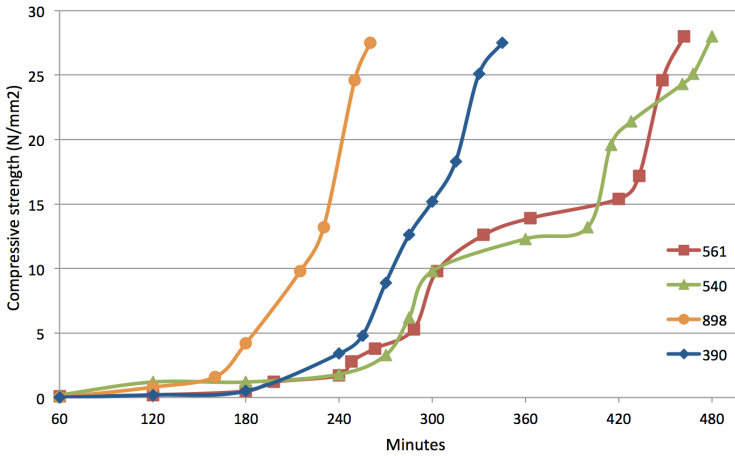
The surface hardener was applied to each one of the base concretes at different times. The times considered were: the end of setting time (27,6 N/mm<sup>2</sup>) and 45 minutes after the setting time. These times were chosen because most of the problems normally occur when surface hardener is applied too late.

Due to the adverse situations created, there was not enough water at the surface of the base concrete and at the end of the setting time. Additional water had to be introduced. This replicates real application conditions whenever the times are exceeded.

Some of the tests carried out were slump test, setting times of base concretes and pull-off force. The pull-off test was used to determinate the resistance of the surfaces finishes and the homogeneity between the surface finish and the concrete slab. The dollies used had 50 mm of diameter.

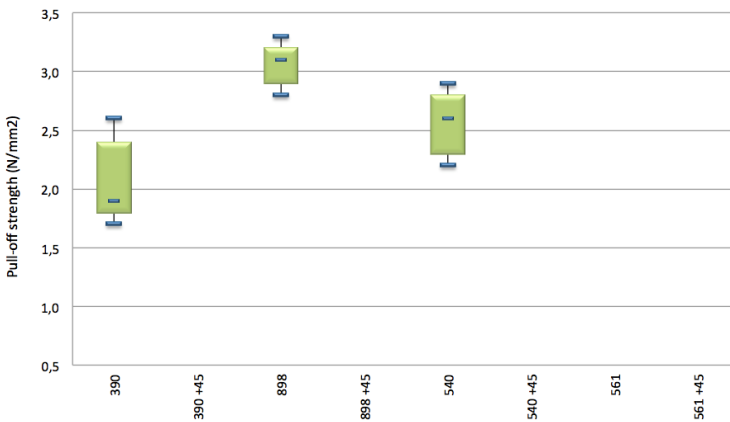
### 3 Results and Discussion

The different setting times of base concretes are presented on Fig. 2.



**Fig. 2.** Setting times of base concretes

The chemical admixtures influence the setting times in different ways. The results indicate that there is not a specific correlation between the use of plasticiser or superplasticiser, with regard to setting times.



**Fig. 3.** Pull-off strength with rupture in concrete

Table 2 shows that despite the fact that 390 have more water in mixing, its behavior (Fig. 3-4) is not very different from the others, especially from the 898. Both of them break on the concrete zone at the end of setting time and break on the hardener zone after the 45 minutes. For these two mixtures the “open time” lasts until the end of setting time.

For the 540, some of the dollies at the end of setting time break on the concrete zone and the others on the hardener zone. This reveals that the end of “open time” was achieved before the end of setting time (8 hours). The 540 +45, broke all of them thru the hardener.



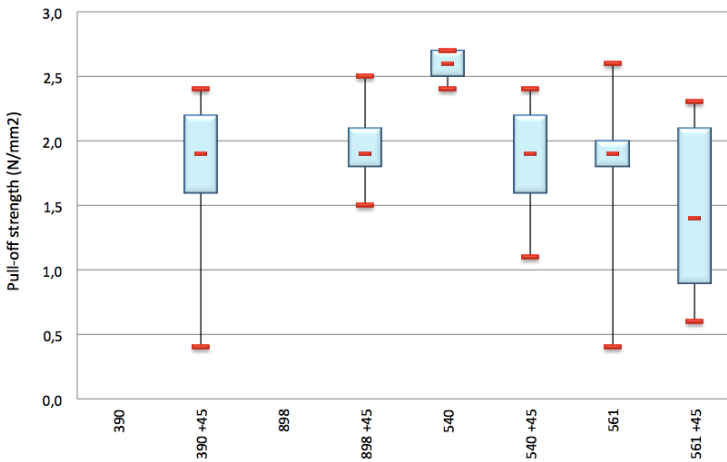


Fig. 4. Pull-off strength with rupture in hardener

For all the situations the 561 broke thru the hardener. This means that the “open time” is less than the end of setting time.

We can realize that, not always, the end of the setting time matches the “open time”.

Even without available water in sight, and with the introduction of some additional water on the surface of base concrete, just before the placement of surface hardener, some compositions can interact (898 and 390). The end of setting time is already considered too late to place the surface hardener, but extreme conditions and late placement of surface hardener, for example at the end of setting time, is not necessarily a condition, to obtain bad results.

In a construction, work can easily be delays, which will affect the application of surface hardener over large areas of slabs.

## 4 Conclusions

The application of a surface hardener on four different base concretes at delay time, with high temperature and wind speed, was studied. The following conclusions can be drawn:

- The type of chemical admixture has a great importance in the control of the “open time” and can make all the difference on the application of surface hardener over large areas of slabs;
- Extreme situation of environmental conditions are needed to obtain bad results and the application of the surface hardener at delayed time also increases the bad results;
- It was observed that no direct relation exists between the use of plasticizers or superplasticizers;

- The superplasticiser/strong water reducer, 898, presented the best results at the end of setting time (4 hours), but also the 390, showed good results at the end of setting time (5:30 hours);
- Forty five minutes after the setting time all the compositions showed bad results;
- The composition that behaved worst was the 561;
- The end of setting time does not always matches the end of the “open time”.

**Acknowledgements.** This work was funded by the Portuguese Foundation for Science and Technology (PTDC/ECM/105075/2008). The authors thanks to Britobetão, BASF and SIKA. The chemical admixtures indicated in this study should not be understood as a recommendation from the authors.

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# Compatibility between a Quartz Surface Hardener and Different Base Concrete Mixtures

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**Abstract.** Many cases of cracking and detachment of the concrete surface have appeared in concrete floors, namely pavements where surface hardeners were used, especially in car parks, industrial areas and warehouses. This paper studies the behaviour of ten different base concretes mixtures made with two chemical admixtures and four additions, when a quartz surface hardener is applied. The objective is to identify the relevant parameters of the concrete constituents that influence the open time. Results show that the type of chemical admixture used to fabricate the concrete is important, but also the kind of admixture due to the water available to make the interconnection between the base concrete and surface hardener.

## 1 Introduction

Previous works [1] indicate that the main causes for cracking and delamination of trowelled concrete pavements are: entrapped bleeding water and air beneath the top layer, closed by finishing operations (less permeable); and the spreading of soft mortar over already hardened adjacent areas of floor surface (over-layering). These two causes depend on several factors such as the finishing operations, curing and concrete mixture.

The increase of cracking and delamination problems in recent years may be related to the fact that the characteristics of both cement and concrete have changed, particularly the increase in the fineness and quantity of tricalcium silicates ( $C_3S$ ) in cements and the use of more effective water reducers in concrete. These changes decrease the time available to perform the finishing operations due to the more rapid evolution between plastic and hardened phases of concrete. Indeed, the finishing operations may only begin when the fresh concrete supports the weight of the trowelling equipment and must end before the end of the setting time, which may be described as open time.

The objective of this study was to identify the relevant parameters of the concrete constituents that influence the open time, related not only with their characteristics but also with their content. The relationships between hydration and the type and dosage of binders and chemical admixtures, are the main objective of this paper.

## 2 Materials and Methods

In laboratory, several base concretes ( $60 \times 30 \times 10 \text{ cm}^3$ ) were made, combining two different chemical admixtures and partial replacement of the coarse cement with fly ash (FA), limestone filler (L), a special cement with less  $C_3S$  (C) and gypsum (G).

The chemical admixtures used were a plasticiser/water reducer (Pozzolith 390NP - Water and lignosulphonate) and a superplasticiser/strong water reducer (Sikaplast 898 - Combination of modified polycarboxylates). The base concrete was made with the CEM II/A-L 42,5R cement and the surface hardener was made with quartz sand.

The conditions of the experiments tried to replicate the extreme conditions found under real situations. Initially, high temperature and wind were considered. A tube cut in half with two fans allowed simulation of windy and hot environment, as shown in Fig. 1.



**Fig. 1.** Tunnel used in the simulation

Secondly, late implementation of surface hardeners was considered. The surface hardener was applied in each one of the base concretes at different setting times. The times considered for the application of the hardeners were the setting time ( $27,6 \text{ N/mm}^2$ ) and 45 minutes after the setting time. These times were chosen because most of the problems normally occur when surface hardener is applied too late.

New equipment was created to trowell the slabs of  $60 \times 30 \times 10 \text{ cm}^3$ , Fig. 2.

Some of the tests that were carried out were slump test, setting times of base concrete and pull-off strength.



**Fig. 2.** Equipment used to trowel the slabs

The compositions of the concretes are indicated in Table 1. The consistency was determined by slump test and maintained at the class of S3 (100-150 mm) according EN 206-1:2007.

**Table 1.** Mixture proportions of concretes (kg/m<sup>3</sup>)

	<b>898</b>	<b>899G</b>	<b>898C</b>	<b>898FA</b>	<b>898F</b>	<b>390</b>	<b>390G</b>	<b>390C</b>	<b>390FA</b>	<b>390F</b>
Cement	340	340	239	239	272	340	340	239	239	272
Sand	730	730	730	730	730	730	730	730	730	730
Coarse aggr 1	558	558	558	558	558	558	558	558	558	558
Coarse aggr 2	556	556	556	556	556	556	556	556	556	556
Water	143	143	142	134	139	170	169	169	160	165
Addition	0	7	102	102	68	0	7	102	102	72
Chemical add	3.4	3.4	3.4	3.4	3.4	2.7	2.7	2.7	2.7	2.7
w/c	0.4	0.4	0.6	0.6	0.5	0.5	0.5	0.7	0.7	0.6
Slump (mm)	150	150	150	137	148	143	100	132	123	124

To obtain the setting times were used different needles that allowed measuring the time of setting of concrete by penetration resistance, according ASTM C403/C403M:2008 (Fig. 3).



**Fig. 3.** Needle to measure the resistance to penetration

Was only used the material that passed on the 5 mm sieve, that is, only the mortar part of the concrete, Fig. 4.



**Fig. 4.** Sieving of the concrete

Additional water had to be introduced when applied the surface hardener, because enough water from the base concrete was not available, which is what happens under real application conditions.

To determinate the resistance of the surfaces finishes and the homogeneity between the surface finish and the concrete slab the pull-off test was realized according EN 1542. The pull-off test was done at the seventh day because problems begin to emerge in the early days. The dollies used in the study had 50 mm of diameter.

It is very important to control bleeding and the time available to perform the finishing operations due to the more rapid evolution from the plastic to the hardened phases of concrete. This time is known as “open time”.

The purpose of the work was to determinate the resistance of the surfaces finishes and the homogeneity between the surface finish and the concrete slab under experimental conditions.

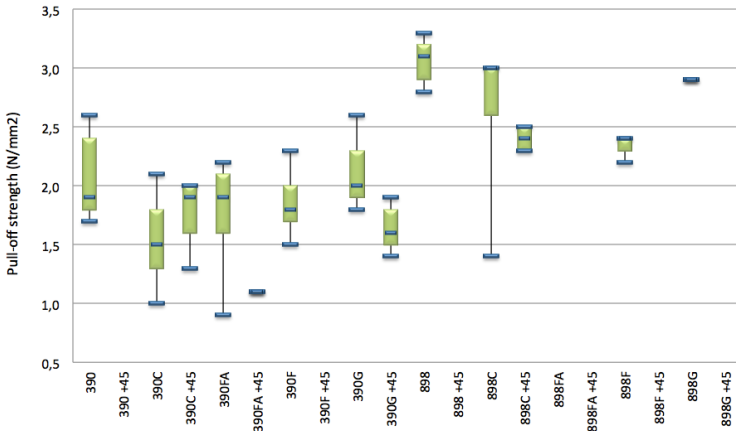
### 3 Results and Discussion

The end of setting times and end of setting times plus 45 minutes of base concretes are presented on Table 2. As can be observed, the chemical admixtures influence differently the setting times. The 898 has a shorter end of setting time when compared with the 390.

**Table 2.** Setting times of base concretes

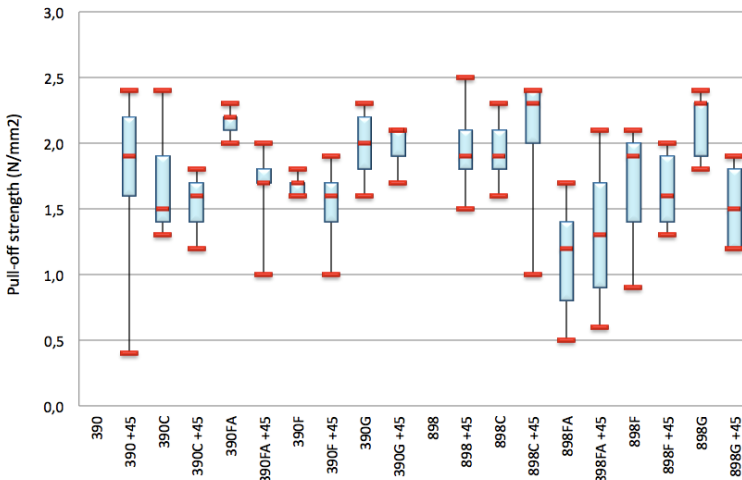
	ST	ST +45min
898	4:08h	4:53h
898G	4:18h	5:03h
898C	4:40h	5:25h
898FA	4:35h	5:20h
898F	4:41h	5:26h
390	5:29h	6:14h
390G	5:43h	6:28h
390C	6:13h	6:58h
390FA	7:29h	8:14h
390F	5:39h	6:24h

Results of pull-off test show that most of the ruptures occur in hardener, as can be observed in Figs. 5 and 6.



**Fig. 5.** Pull-off strength at 7<sup>th</sup> day with rupture in concrete

In Fig. 5, the average value of pull-off strength for the compositions with the chemical admixture 898 was 2.8 N/mm<sup>2</sup> and for the 390 was 1.7 N/mm<sup>2</sup>.



**Fig. 6.** Pull-off strength at 7<sup>th</sup> day with rupture in hardener

In regard to Fig. 6, the results are opposite. The 898 have an average value of pull-off strength of 1.8 N/mm<sup>2</sup> and the 390 have 1.9 N/mm<sup>2</sup>.

The results indicated on Figs. 5 and 6, shows that the end of the setting time is not necessarily the end of the “open time”. The compositions with only chemical admixtures (898 and 390) showed good results at the end of setting time with the incorporation of additional water. This means that some times, the “open time” is longer than the end of setting time.

The compositions with the chemical admixture 898 had a ratio w/c between 0.4-0.6, and the ones with the 390 had a ratio w/c ranging from 0.5-0.7. Although the compositions with the 390 had more water available, they were also exposed longer to the adverse situations.

The composition 898 presented the best result in the pull-off test, but the application of the surface hardener cannot exceed the 4:08h. The second best result was obtained with the composition 390, with an “open time” of 5:30h. Although the 898 has better results, when applying surface hardeners to pavements with large areas, the 390 could be a better solution, because the “open time” lasts later.

The Fig. 5,6 shows that the incorporation of additions reduces the “open time”, when compared to the compositions without additions.

The addition that showed better results was the cement with less  $C_3S$ , probably because this cement is not so fineness. The other additions showed similar results, breaking mostly thru the hardener. The use of these new cements with more  $C_3S$  contents and lower fineness increases the problems.

Under conditions of high temperature and/or wind it is extremely important to choose the right composition and also to have a good team of workers specially when dealing with large areas.

## 4 Conclusions

The influence of additions and chemical admixtures in the application of a surface hardener at different setting times was studied. The following conclusions can be drawn:

- The compositions 898 and 390 presented good results even at the end of setting time;
- Composition with chemical admixture 390 could be a better solution for large areas;
- At the end of setting time, concretes made with only chemical admixtures showed better results than the ones with chemical admixtures and additions;
- The addition that showed better results was the cement with less  $C_3S$ , C;
- The additions FA, F and G showed similar results with both chemical admixtures;
- The end of the setting time does not necessarily coincide with the end of the “open time”;
- Under conditions of high temperature and/or wind it is extremely important to choose the right composition and also to have a good team of workers specially when dealing with large areas.



**Acknowledgements.** This work was funded by the Portuguese Foundation for Science and Technology (PTDC/ECM/105075/2008). The authors would like to thank Britobetão, BASF and SIKA. The chemical admixtures and admixtures indicated in this study should not be understood as a recommendation from the authors.

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# Suitable Restrained Shrinkage Test for Fibre Reinforced Concrete: A Critical Discussion

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**Abstract.** Concrete performance traditionally refers to compressive strength and workability. Recently, high performance concrete evidenced the possibility of enhancing other material properties. Among these, resistance to shrinkage cracking is gaining more attention among practitioners, due to its strict relation to durability requirements. Shrinkage cracks occur in restrained structures: for this reason, material characterisation should be made on the basis of a restrained shrinkage test. The ring test is an easy-to-use tool since one can measure the time-to-cracking of a concrete mix. Focus of this paper is to critically discuss the actual standard test procedure and then to propose enhancements of the test set-up with the aim of reducing the time-to-cracking and making the test duration more suitable for practical uses. Furthermore, the effect of fiber reinforcement on shrinkage cracking is presented.

## 1 Introduction

Recent advances in construction methods, new materials, and admixtures have renewed the interest on early age cracking in cement based material due to restrained shrinkage. The latter has been recognized as the main cause of damage for thin structures such as highway pavements, industrial floors, bridge decks, but also for partial depth repairs, jacketing and overlays on existing structures. During the last few years, concrete technologists have been studying the mechanism governing early age cracking in concrete members, especially after the diffusion in the market of High Strength Concrete (HSC), where the autogenous shrinkage plays a major role. Initially, this occurs due to hydration reaction in the cement matrix. At a later stage, when the material is exposed to a low relative humidity environment, drying shrinkage takes place. In Normal Strength Concrete (NSC), where autogenous shrinkage plays a minor role, it can be assumed that the whole shrinkage strain is given by drying shrinkage.

When shrinkage is restrained by a structural element, or an internal restrain (rebars), tensile stresses occur and may give rise to crack formation. However, tensile stresses are relaxed by creep phenomena that may delay or prevent cracking [1]. Therefore, shrinkage crack formation and development depends on several factors such as air environmental humidity, creep, restrain conditions, tensile strength and stiffness as well as fracture toughness of concrete.

Currently, there is no general consensus on a standard method to investigate the shrinkage cracking behaviour of concrete. Many studies have been carried out, mainly using three types of test: the linear test [2], the plate test [3] and the ring test according to standard AASHTO PP 34-99 [4].

The linear restrained column type test gives a simple uniaxial stress development but suffers from the disadvantage of not providing a constant degree of restraint that makes this test complex. The plate test provides a biaxial restraint to evaluate both biaxial and plastic shrinkage. The ring test provides a nearly constant degree of reaction through an axi-symmetric specimen geometry. In this type of test, a concrete specimen is cast around an inner steel ring which provides a constant degree of restraint to the shrinkage deformation; the steel ring is also used to evaluate the induced tensile stresses in concrete through the measure of the steel compressive strains with strain gauges. However, this test method can be ineffective: for instance, for a concrete with a high tensile strength, the induced tensile stresses might not be able to generate cracks in the composite. Beside the material properties, the low crack-sensitivity is associated to the specimen geometry.

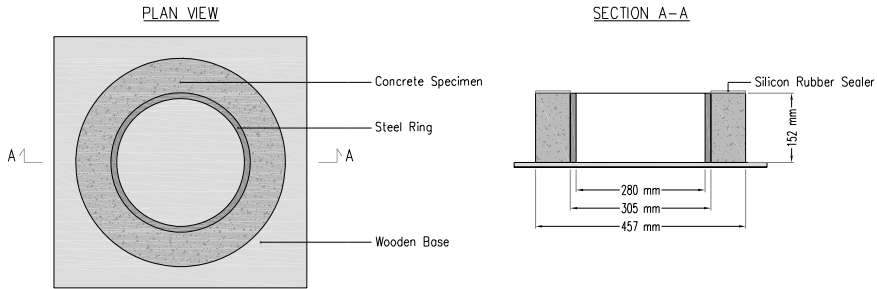
It is now commonly accepted that fiber reinforcement reduces cracking phenomena but it is not clear how to best quantify this contribution; in other words, the minimum material performance necessary to reduce the crack width below a design value is still an open question. This paper provides a critical discussion on the ring test method for assessing the performance of Fiber Reinforced Concrete (FRC) starting from AASHTO requirements and evaluating the influence of different set-up geometries and materials through numerical analyses based on Non Linear Fracture Mechanics (NLFM) [5]. The main phenomena governing the restrained shrinkage behaviour of early-age concrete, such as elastic and post-cracking material properties (with special emphasis on FRC toughness), shrinkage strains and creep are considered. Stress evolution and crack formation are determined through a model based on a discrete crack approach with an iterative time-step procedure.

## 2 Testing Procedure

As already mentioned, the AASHTO designation PP 34-99 is a testing procedure for the determination of the cracking tendency of ring-shaped concrete specimens. The time-to-cracking of the concrete ring is considered as the age when compressive strains in the steel ring suddenly decrease. This procedure represent a standard method and is not intended to determine the time-to-cracking of any particular structure cast with the same material.

The standard steel ring have a wall thickness of 12.7 mm (1/2 in), an outside diameter of 305 mm (12 in) and an height of 152 mm (6 in). The ring surface in contact with the concrete is coated with a form-release agent to minimize bond between the concrete and the steel. The form is made of a non-absorbent material and have an outside diameter of 457 mm (18 in).

Two or more strain gauges are applied on the inside surface of the steel ring to measure the strain. After a wet curing of 24 hours, specimens are stored in a controlled environment room with a constant air temperature of 21°C (73.4°F) and relative humidity of 50%. During the test, the strains in the steel ring are recorded every 30 minutes; a sudden decrease of the compressive strains in the steel ring higher than 30 µε usually indicates a crack formation.



**Fig. 1.** AASHTO PP34-99 test apparatus

An essential parameter for this type of test is the degree of restraint that represents a measure of the effectiveness of the restraint provided by the steel ring. The degree of restraint  $R$  should be defined as the stiffness of the steel ring over the stiffness of both the concrete and steel ring, that is:

$$R = \frac{A_{st} E_{st}}{A_{st} E_{st} + A_c E_c} \tag{1}$$

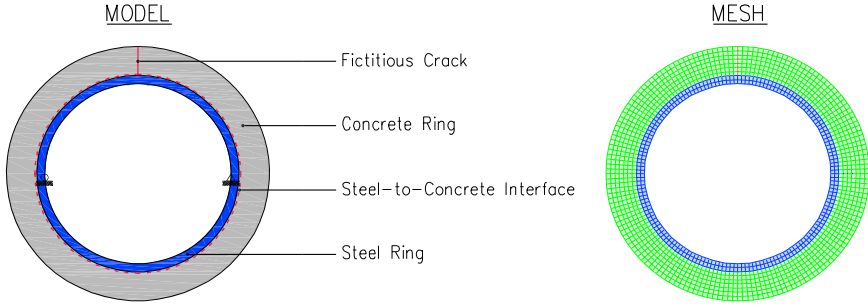
where  $A_{st}$  and  $A_c$  are the cross-sectional areas of the steel and concrete rings, respectively, and  $E_{st}$  and  $E_c$  are the moduli of elasticity of the steel and concrete, respectively.

### 3 Numerical Model

A parametric study of the ring test was carried out through several numerical analyses performed with TNO DIANA 9.4 and a discrete crack approach [6]. The main phenomena governing early-age cracking in cement-based materials, such as elastic and post cracking properties, shrinkage strains and tensile creep are considered by a set of features provided by the program.

The inner steel ring and the outer concrete ring are modelled by four-point plane stress elements. Between the two rings, the steel-to-concrete interface is modelled using linear interface elements with a brittle Mode-I behaviour and a Mode-II behaviour given by a simple bond-slip relationship suitable for smooth

rebars [7] and a Coulomb friction criterion. The discrete crack was simulated by zero-thickness interface elements with a bilinear tension softening behaviour (Mode-I). Due to the axi-symmetric geometry the discrete crack is arbitrary placed (Figure 2). Material non-linearity is localized in the discrete crack, while the concrete specimen and steel ring are defined as linear elastic.



**Fig. 2.** Ring-test model and mesh

The numerical analyses were performed with a time step procedure considering the evolution in time of the tensile stresses induced by shrinkage to be compared with the rising tensile strength of concrete. When the maximum tensile stress exceeds concrete tensile strength, a crack starts to open in the discrete crack. The adopted time step was 1 day; analyses were performed up to 60 days. The time evolution of drying shrinkage  $\varepsilon_{sh}(t)$  (Figure 3a) and compressive strength  $f_c(t)$  (Figure 3b) were evaluated according to ACI 209R-92 [8]. The time evolution of tensile strength  $f_t(t)$ , elastic modulus  $E_c(t)$  and fracture energy  $G_f(t)$  were evaluated according to the following relationships:

$$f_t(t) = f_{t28} \sqrt{\frac{f_c(t)}{f_{c28}}} \quad (2)$$

$$E_c(t) = E_{c28} \sqrt{\frac{f_c(t)}{f_{c28}}} \quad (3)$$

$$G_f(t) = G_{f28} \sqrt{\frac{f_c(t)}{f_{c28}}} \quad (4)$$

where  $f_{t28}$ ,  $E_{c28}$  and  $G_{f28}$  are, respectively, tensile strength, elastic modulus and fracture energy after 28 days of curing.

Concerning the viscoelasticity related to tensile creep at early age, it was chosen to develop creep functions in a Taylor series as DIANA does with a Power Law model for the stress calculation [9]. The compliance function for the Power Law model is given by the following relationship:

$$J(t, \tau) = \frac{1}{E(\tau)} (1 + \alpha \tau^{-d} (t - \tau)^p) \tag{5}$$

For these analyses the power of the creep function  $p = 0.5$ , the development point  $t_d = 15.0$ , the coefficient  $\alpha = 0.16$  and the power of the time dependent part of the creep function  $d = 0.1$  have been adopted. These values were chosen in order to best fit the compliance function  $J$  in Eqn. 5 with that included in ACI [8].

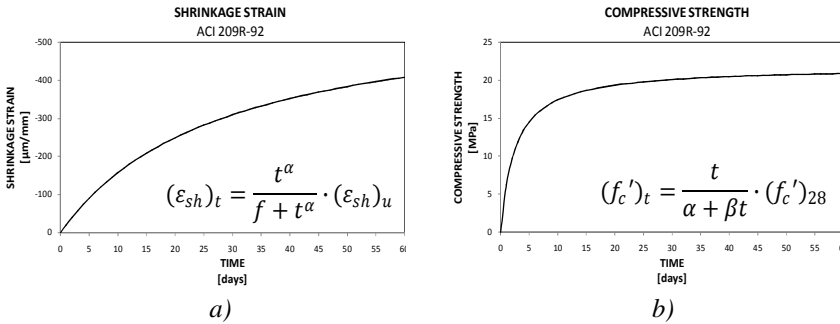


Fig. 3. Free shrinkage a) and compressive strength b) according to ACI209R-92

## 4 Parametric Study

### 4.1 Effect of Geometry

The parametric study on the specimen geometry is performed by considering as a reference the test setup given by AASHTO PP 34-99 and changing the two most significant parameters: the steel and the concrete thickness. These parameters are related to the cross-sectional areas of the steel and concrete rings and then to the degree of restraint provided by the test set-up. A variation on the degree of restraint produces a direct effect on the time required to form a crack: an increase of steel thickness or a decrease of concrete thickness reduces the time-to-cracking.

A first set of analyses has been performed with a constant steel ring thickness equal to 12.7 mm and a variable concrete thickness equal to 15, 30, 45, 60 76 and 90 mm, respectively. A second set of analyses has been carried out with a constant concrete ring thickness, equal to 76 mm, and a variable steel thickness equal to 6.3 mm (1/4 in), 12.7 (1/2 in), 25.4 (1 in), 50.8 (2 in) and 76.2 (3 in), respectively. The specimen dimensions have been chosen to favour crack formation in a reasonable time for the use in a laboratory. For each specimen geometry, three analyses were carried out by considering three different concrete grades: C12, C20 and C30. The mean values of elastic and post-cracking properties were determined according to *fib* Model Code 2010 [10], as reported in Table 1. Table 2 reports the degree of restraint  $R$  for the different specimen geometries considered for concrete C20. In the same table, the steel thickness ( $t_{st}$ ), the concrete thickness ( $t_c$ ) and elastic modulus ( $E_c$ ) are reported. The elastic modulus of steel ( $E_{st}$ ) was assumed as 210 GPa.

**Table 1.** Mean values of elastic and post-cracking properties for NSC

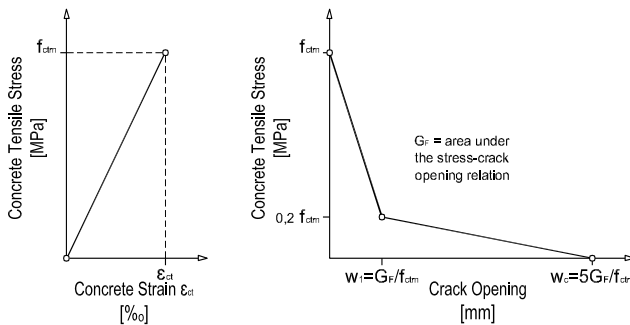
	$f_{ck}$ [MPa]	$f_{cm}$ [MPa]	$f_{ctm}$ [MPa]	$G_F$ [N/m]	$E_c$ [GPa]	$\nu$ [-]
C12	12.0	20.0	1.6	125	22.9	0.2
C20	20.0	28.0	2.2	133	26.2	0.2
C30	30.0	38.0	2.9	141	29.7	0.2

**Table 2.** Degree of restraint R of ring-test setup for concrete C20

	$t_{st}$ [mm]	$A_{st}$ [mm <sup>2</sup> ]	$t_c$ [mm]	$A_c$ [mm <sup>2</sup> ]	$E_c$ [GPa]	R [%]
Model 1	12,5	1900	15	2280	26,2	87%
Model 2	12,5	1900	30	4560	26,2	77%
Model 3	12,5	1900	45	6840	26,2	69%
Model 4	12,5	1900	60	9120	26,2	63%
Model 5	12,5	1900	76	11552	26,2	57%
Model 6	12,5	1900	90	13680	26,2	53%
Model 7	6,3	958	76	11552	26,2	40%
Model 8	25,4	3861	76	11552	26,2	73%
Model 9	50,8	7722	76	11552	26,2	84%
Model 10	76,2	11582	76	11552	26,2	89%

## 4.2 Effect of Material Toughness

The parametric study on material toughness focused on the evaluation of cracking behaviour of plain (NSC) and Fibre Reinforced Concrete (FRC) having a post-cracking softening behaviour. A second aim of this study is the evaluation of the effect of the enhanced concrete toughness on crack development. The tensile behaviour of both plain and FRC in tension was described with a linear relationship up to the cracking strength followed by a post-peak bilinear relationship. For plain concrete the latter was assumed according to *fib* MC2010 [10] (Figure 4) while, for FRC, the two branches of the bilinear law were varied as shown in Figure 5.

**Fig. 4.** Constitutive laws for concrete under uniaxial tension

In particular, a first set of analyses for FRC was performed on Model 3, which was considered the most efficient specimen geometry, with a steel thickness of 12.7 mm and a concrete thickness of 45 mm, by varying the first branch of the softening law (Figure 5a). A second set of analyses was carried out by considering a variation on the second branch of the post-cracking softening law (Figure 5b).

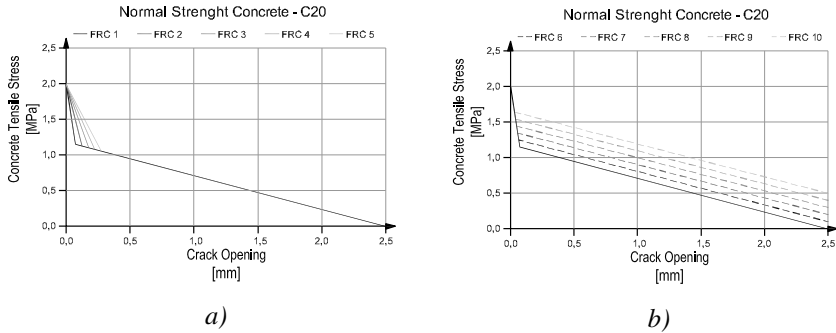


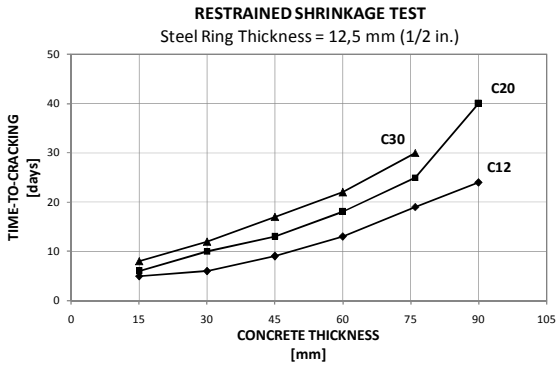
Fig. 5. Bilinear tension softening laws for FRC

## 5 Numerical Results

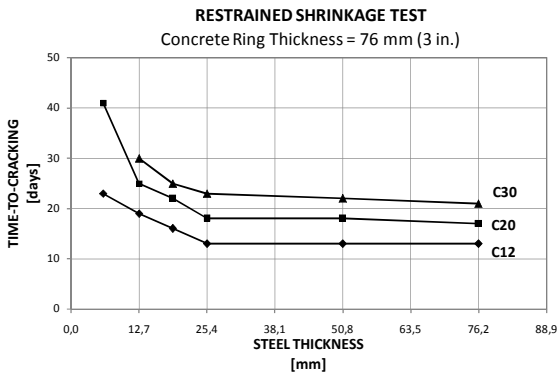
An overview of the results of the parametric study on the effect of different geometries with regard to the time-to-cracking is given in Figure 5. From a numerical point of view the time-to-cracking was identified as the age at which the crack interface is completely open and the tensile stress in all interface elements has reached the tensile strength; this assumption is reasonable by considering that a macro-crack has to form for releasing the steel ring, whereas a micro-cracking would probably not have any significant impact on the strain-gauge measurements.

As previously suggested, the time-to-cracking strongly depends on concrete thickness for two principal reasons: a decrease of concrete thickness implies, on one hand, an increase of the degree of restraint  $R$  and, on the other hand, an increase of the intensity of drying shrinkage due to a higher moisture exchange with the environment. Figure 6a shows the increase of the time-to-cracking with the concrete thickness for all the concrete grades considered. The results suggest to employ a concrete with a thickness lower than 60 mm (Models 1, 2 and 3) to maintain the time-to-cracking in the first two weeks after initiation of drying. Numerical results also show that the time-to-cracking depends on the steel thickness (Figure 6b): in fact, an initial strong decay is followed by a smoother decrease for a steel thickness larger than 25.4 mm. Therefore, a steel ring thicker than 25.4 mm (Models 9 and 10) does not provide an appreciable decrease of the time-to-cracking and, for this reason, it is not recommended for this test.



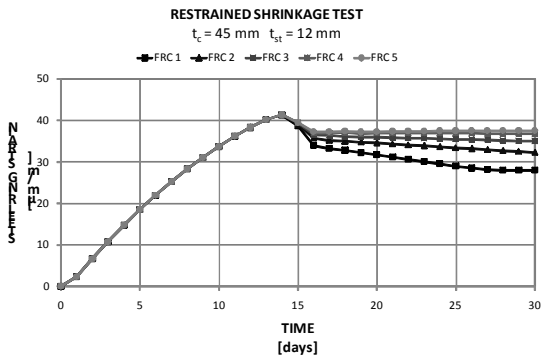


a)



b)

Fig. 6. Effects of concrete and steel thickness on the time-to-cracking



a)

Fig. 7. Influence of different tension softening laws on ring-test response

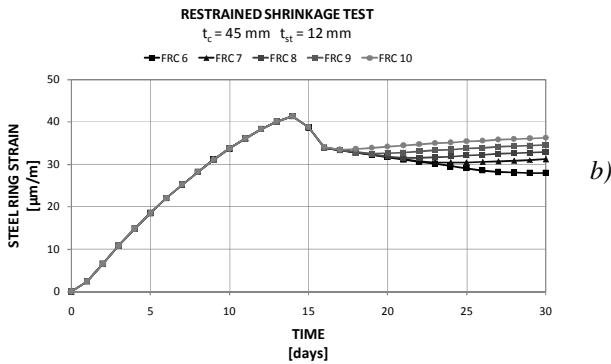


Fig. 7. (continued)

The influence of fiber reinforcement on shrinkage cracking is shown in Figure 7; both sets of tension softening models adopted were able to prevent a sudden strain drop in the steel ring and allowed to control cracking by transfer tensile stresses after the appearance of the crack. The first set of analyses shows how a different slope of the first branch influences the model response from the early crack formation (Figure 7a). The second set of analyses illustrates how a modification on the second branch changes the numerical response only for considerable crack widths (Figure 7b). The numerical results are consistent with experimental results available into the literature, stating the effectiveness of fiber reinforcement in controlling shrinkage cracking. However, FRC with a higher residual strength for micro-cracking is more suitable in controlling shrinkage cracking.

## 6 Concluding Remarks

Shrinkage cracking requires special attention from structural designers for many aspects: with regard to durability, early-age cracking advances the deterioration process of concrete and reduces the service life of a structure; from an aesthetical and psychological point of view, it is not acceptable because makes structure appearance worse and people feel unsafe. Thus, the evaluation of cracking sensitivity of a material is strongly recommended for an effective and durable design of a structure. Based on the numerical study presented herein, the following conclusions can be drawn:

1. Time-to-cracking strongly depends on the specimen geometry and on the degree of restraint: an increase of steel ring thickness or a decrease of concrete thickness can accelerate the occurrence of cracking. For the normal concrete considered, starting from the standard AASTHO test, results suggest to employ concrete thickness lower than 60 mm to maintain the time-to-cracking within the first two weeks after drying initiation. It is also recommended the use of a

steel ring having a thickness in the range of 12.7÷25.4 mm (0.5÷1 in.) to provide enough stiffness to ensure crack formation.

2. Fiber reinforcement has a considerable effect on behaviour of FRC under restrained shrinkage, by preventing sudden drops in the strain of the steel ring, controlling cracking and transmitting tensile stresses after the appearance of the crack. The crack control is mainly influenced by the residual strength for smaller crack opening (first branch of the tension softening law).

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# Influence of Chemical Admixtures and Environmental Conditions on Initial Hydration of Concrete

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**Abstract.** There has been an increasing number of problems in concrete pavements, such as cracks and delamination, in which surface hardeners have been used, especially in car parks, industrial areas, warehouses etc. Factors that can potentiate the occurrence of these problems are the increasing use of more effective water reducers in concrete and also the use of binders with higher fineness and higher tricalcium silicate content than the former ones. Concrete and surface hardeners are both cementitious materials, which however are applied at different instants. Distinct stages of hydration may lead to lack of homogeneity and, eventually, to cracking and delamination. This study evaluates early hydration and bleeding behaviour of concrete, which are relevant factors for finishing with surface hardeners. The influence of chemical admixtures and environmental conditions were tested by penetration resistance and bleed water, which highlights the shortening of the period in which surface hardener application is possible.

## 1 Introduction

A significant increase in problems occurring on concrete floors has been detected, concerning pavements in which surface hardeners were used, especially in car parks, industrial areas, warehouses, etc. The negative effect of these abnormal situations has important economic implications, since the necessary work to correct them involves large areas of reconstruction and the use of expensive repairing materials, and also because it often delays the completion of the construction work and, hence the beginning of the infrastructure operation. There is no systematic survey on the number of works in which this the problem occurs, but LNEC (National Lab. of Civil Eng.) has been asked to intervene in many cases of cracking and detachment of the concrete surface, in various regions of Portugal and for different entities, which shows that is a widespread problem, rather than just local or circumscribed [1-2]. The application of surface hardeners to pavements is not a novelty. However, the occurrence of systematic problems is a current issue and it seems to be caused by changes in the constituents used, whether in relation to the concrete base or to the hardener used. One factor that potentiates the occurrence of cracks and delamination is the increasing use of

more effective water reducers in concrete. The consequent reduction in the water content of concrete and the pressure to increase productivity in the construction industry leads to poorer conditions for achieving a homogenous concrete pavement. In order to obtain an appropriate embedment of the hardener, the concrete mixture must have such proportions that excessive bleed water does not appear on the surface after application of the hardener [3]. However, lack of bleeding decreases the hardener hydration. This lack of hydration is even more significant when the hardener is added later than it should be, as often happens. The control of concrete bleeding behaviour is a crucial aspect for obtaining good finishing in concrete pavements. This work analyses the influence of several chemical admixtures on concrete bleeding. The bleeding was measured after mixing and during the "open time" (period considered suitable for application of the hardener).

## 2 Materials and Methods

### 2.1 Materials

Mortar tests were performed to evaluate bleeding. A reference mortar, formulated without chemical admixtures was obtained by screening of a concrete mixture suitable for pavement construction. Table 1 shows the mixture proportion of the reference concrete. After screening, the workability of the mortar was measured for reference, (150 mm spread) [4]. Mortar mixtures with chemical admixtures were formulated keeping the cement/sand constant ( $c/s=0.375$ ), but changing the W/C to achieve the reference flow (140-154%). Potable tap water, Lisbon-Portugal, was used. Mortars with chemical admixtures were prepared with cement CEM II/A-L 42.5 R, currently used in Portugal, Table 2. Five chemical admixtures, plasticizers or superplasticizers, were tested. Table 3 shows the characteristics of the products. Mortar mixtures are presented in Table 4.

### 2.2 Methods

The following tests were performed on mortars: flow [4]; compressive strength [5]; penetration resistance [6]; bleeding without compaction; bleeding with compaction. Bleeding without compaction was performed according to the following procedure: *i*) fill 100 mm cube moulds with mortar, up to a height of  $90\pm 3$  mm; *ii*) with a round steel tamping rod (16 mm in diameter and approximately 600 mm long) compact the mortar with 25 strokes; *iii*) after consolidation, finish smoothly the top surface and measure the net weight of the mortar; *iv*) depending on the cure method, either cover the specimens with a plastic sheet to avoid moisture exchange or expose them to dry in an aggressive environment (forced air circulation with or without heating); *v*) collect every hour the bleeding liquid on the mortar surface with a syringe, beginning the measurements 2 hours after mixing and weigh the specimens exposed to an

aggressive environment to evaluate the evaporation rate. Bleeding with compaction has been performed according to the following procedure: *i*) to *iv*) as described above; *v*) when the penetration resistance reaches approximately 1, 2, 5, 15, and 25 MPa (measured in a parallel cube), the bleeding liquid on mortar the surface (if any) is collected with a syringe, then tare the weight of the specimen and then consolidate it in a Vebe Vibrating Table [7], using a 10.8 kg surcharge (see Figure 1), to simulate the pressure of the consolidating and finishing tools used in pavements construction practice, and, simultaneously collect the bleeding liquid on the mortar surface; *vi*) after vibrating the specimen, remove the surcharge and measure the weight loss.

**Table 1.** Reference concrete

Material	Content (kg/m <sup>3</sup> )
Cement CEM I 32.5 R	295
Natural sand	785
Coarse aggregate (5-15 mm)	556
Coarse aggregate (15-25 mm)	524
Water	196

**Table 2.** Cement properties (II/A-L 42.5 R, information supplied by the producer)

Chemical property	Result	Physical property	Result
Loss on ignition (%)	5.93	Water for standard consistence (%)	29
SiO <sub>2</sub> (%)	17.65	Initial setting time (min.)	125
Al <sub>2</sub> O <sub>3</sub> (%)	5.18	Final setting time (min.)	180
Fe <sub>2</sub> O <sub>3</sub> (%)	2.92	Soundness (mm)	0.5
Total CaO (%)	62.81	Compressive strength 2 days (MPa)	30.8
MgO (%)	1.61	Compressive strength 7 days (MPa)	43.0
SO <sub>3</sub> (%)	2.67	Compressive strength 28 days (MPa)	53.0
K <sub>2</sub> O (%)	0.99		
Free CaO (%)	1.57		
Filler content (%)	12.9		

As referred to above, and with a view to simulate distinct possible environments, three different curing conditions have been performed, with different rates of evaporation imposed by wind and temperature [8]: controlled environment, without drying and at a temperature of 21±2 °C; forced air circulation without heating; forced air circulation with heating. The 2 environments with forced air circulation have been simulated using a tunnel (0.345 m radius, 2.10 m length, 1 fan and 2 heaters, as presented in Figure 2). Since the fan and the fan heaters were put on one end of the tunnel, the rate of evaporation was not constant throughout its length. The gradients in temperature, humidity, wind speed and rate of evaporation between the entrance and the exit are presented in Table 6. With each mortar mixture subjected to aggressive environments, 11 numbered cubic moulds were used, positioned in the tunnel according Figure 2. Specimens with numbers 8, 9 and 11 were used for penetration resistance tests, specimens 7 and 10 were used for bleeding without compaction and rate of evaporation measurements, and the remaining ones served to bleeding with compaction determinations.

With the mortars exposed to the non aggressive environment (protected from evaporation), 11 cubic moulds were used, 4 for penetration resistance, 1 for bleeding without compaction, and the remaining 6 for bleeding with compaction. The penetration resistance in this case was performed for 2 curing conditions: protected from evaporation with a plastic sheet (2 specimens); exposed to drying (2 specimens).

**Table 3.** Chemical admixtures (information supplied by the producers, except for the solid content of the first four products which were determined)

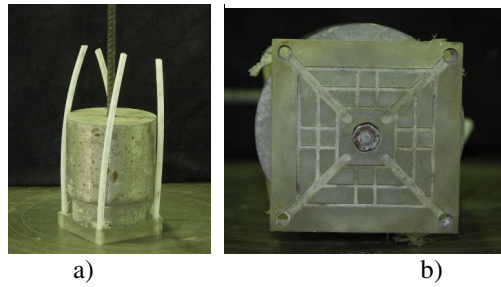
Property	Rheobuild 561	Glenium C 313	Melment L10	Pozzolith 390 NP	Sikaplast 898
Main function	Superplast.	Superplast.	Superplast.	Plasticizer	Superplast
Chemical base	Naphthal. sulphonate	Polycarbox ether	sulfonated <i>melamine</i>	Modified lignosulph.	Polycarbox ether(Mod.)
Colour	Dark brown	Dark brown	Colourless	Dark brown	Light brown
pH	7±1	6±1	9±1	8.5±1	5.0±1
Density	1.18±0.03	1.03±0.03	1.12±0.03	1.17±0.03	1.07±0.02
Viscos. (cps)	≤ 100	≤ 50	≤ 30	≤ 75	
Rec.dos.(%)	0.9-1.4	0.6-2.6	0.8-3.9	0.5-1.2	0.5-1.5
Chlorides (%)	≤ 0.1	≤ 0.1	≤ 0.1	≤ 0.1	≤ 0.1
Alkalis (%)	≤ 9	≤ 1.5	≤ 6.5	≤ 6.8	-
Sol. cont. (%)	50.3	11.5	19.2	40.0	32±2

**Table 4.** Mortars: mixture proportions

Mortar	Cement (kg)	Sand (kg)	Water (kg)	Chemical admixture (ml)	W/C
Reference	7.97	21.26	4.35	-	0.55
Rheobuild 561			3.52	85	0.44
Glenium C 313			3.80	70	0.48
Melment L10			3.95	100	0.50
Pozzolith 390 NP			4.00	58.5	0.50
Sikaplast 898			3.70	50	0.46

**Table 5.** Aggressive environments inside the tunnel

Property	Measurement location	Windy condition	Windy and heating condition
Temperature (°C)	Entrance	22±2	68±2
	Exit		48±2
Relative Humidity (%)	Entrance	50±5	10 ± 5
	Exit	55±5	20 ± 5
Wind speed (km/h)	Entrance	15-16	4.5-5.5
	Exit	7-8	3
Rate of water evaporation kg/(m <sup>2</sup> .h)	Entrance	0.55±0.05	0.90±0.05
	Exit	0.37±0.02	0.45±0.05



**Fig. 1.** Surcharge used in the bleeding with compaction test: a) upright position, as placed on the mortar surface; b) bottom of the plate, showing the flow channels

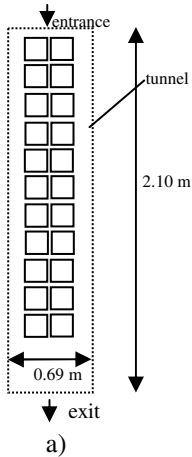
### 3 Results and Discussion

#### 3.1 Flow

Table 6 shows the flow obtained on the six mortars tested. The values given are within the established range, and evidence similar workability in all the mortars.

**Table 6.** Mortars: flow (%)

Reference	Rheobuild 561	Glenium C 313	Melment L10	Pozzolith 390 NP	Sikaplast 898
150	142	146	152	142	153



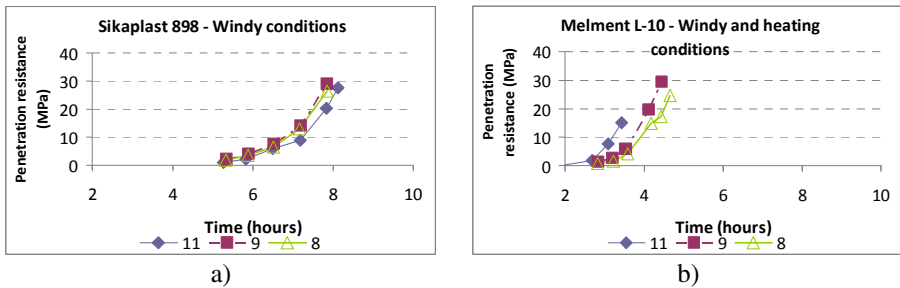
**Fig. 2.** a) Schematic presentation of the cubes in the tunnel; b) picture from inside the tunnel



### 3.2 Penetration Resistance

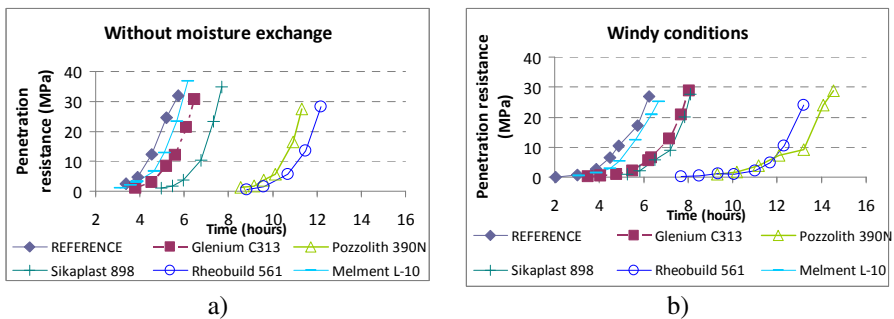
Penetration resistance was measured on cubes 8, 9 and 11, at different points in the tunnel. Without heating (only forced air circulation), the differences between the 3 specimens are small, as shown in Figure 3a). However, a small delay is observed on cube 11, probably due to the proximity to the fan (cooling due to evaporation).

Conversely, with heating there is a distinct behaviour between the 3 specimens, due to the temperature gradient. A hardening delay occurs, as shown in Figure 3b), which is higher in the farthest cube.



**Fig. 3.** Penetration resistance of mortar on cubes 8, 9, and 11: a) Sikaplast 898 air circulation without heating; b) Melment L-10 air circulation without heating

Figure 4a) shows the penetration resistance of cube 11, for specimens kept under sealed conditions. The set retarder effect of the chemical admixtures is clearly observed, being the most efficient retarder the product with naphthalene (Rheobuild 561). Under windy conditions, without heating, Figure 4b), there is a hardening delay, which is dependent on the type of product used, but within the range of about 1-2 hours. This delay should be related with cooling due to evaporation. The relative positions of different curves remain constant, except for the mortar with lignosulphonate (Pozzolith 390 NP).



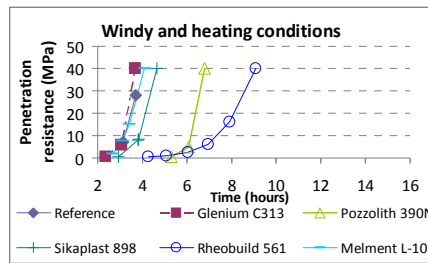
**Fig. 4.** Penetration resistance of cube 11 of mortars: a) specimens covered with a plastic sheet; b) specimens subjected to forced air circulation without heating

For the most aggressive environment, in windy and heating conditions (Figure 5), the hardening process begins much earlier and lasts for a shorter period. The naphthalene and lignosulphonate products remain the ones with a retarding effect, when compared with the reference mortar.

These results show a significant influence of chemical admixtures and environmental conditions on the onset of the hardening of mortars, which is a relevant parameter for pavement construction.

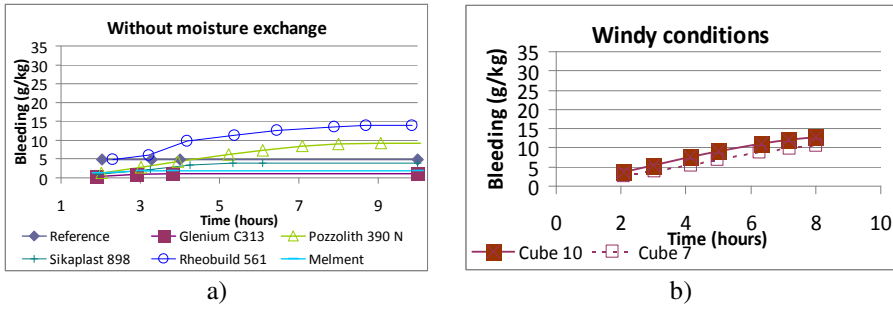
### 3.3 Bleeding without Compaction

The bleeding without compaction was measured on one cube for sealed conditions and on two cubes for aggressive environments (cubes 7 and 10). Figure 6a) shows the bleeding without compaction obtained on the six mixtures for sealed conditions, expressed by grams of collected liquid (cumulate) per kilogram of mortar specimen. As can be seen, the mortar with the naphthalene product has the highest bleeding value, followed by the mortar with the lignosulphonate product. This is in agreement with the results obtained in the penetration resistance test, which show that these two products have a significant retarding effect. This effect gives more time for the liquid rise to the surface. The results obtained on other mortars with chemical admixtures show that the retarding effect provided is insufficient to compensate for the lower W/C, when compared to the reference mixture. Another significant advantage of the reference mortar is the early supply of water, which is due to the higher water content.



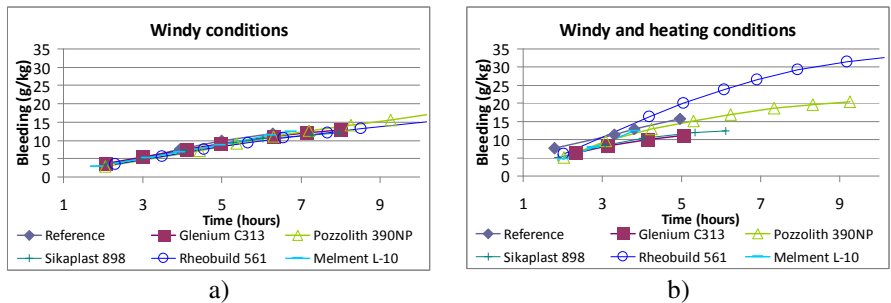
**Fig. 5.** Penetration resistance of cube 11 of mortars (specimens subjected to forced air circulation with heating)

In aggressive environments, the bleeding values are obtained by the sum of the liquid collected on the surface, using a syringe, with the weight difference of the specimen due to evaporation. Figure 6b) shows an example of the bleeding without compaction obtained on cubes 7 and 10. As can be seen, the highest bleeding is measured on cube 10, the nearest to the fan. A similar relative behaviour was observed on the other 5 mortars. So, for easier interpretation, Figure 7a) only shows the results obtained on cube 10. This figure indicates that the occurrence of wind is a crucial factor in the bleeding behaviour, since the scatter of the curves is very small. Compared to sealed conditions, the bleeding is higher for windy conditions but the differences between mortars are almost insignificant.



**Fig. 6.** Bleeding without compaction: a) specimens covered with a plastic sheet; b) Glenium C313 (specimens subjected to air circulation without heating)

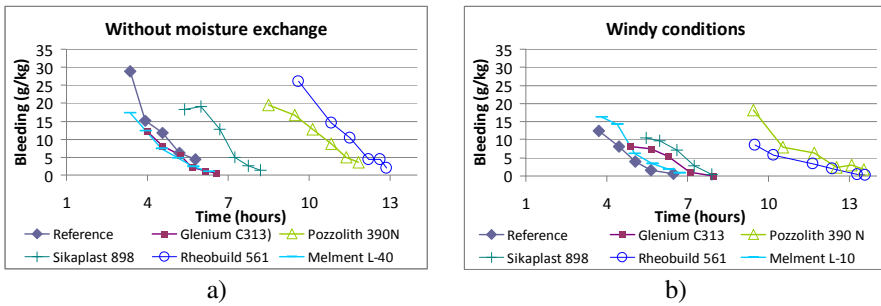
Figure 7b) shows the results obtained in the most aggressive condition. With the increase in temperature the bleeding also grows. This growth can not be solely attributed to an increase in temperature but also to the deep relative humidity decrease. Comparing Figure 6a) with Figure 7b), is possible to observe a similar pattern of relative behaviours, being the highest bleeding obtained on the naphthalene mortar and the lowest on the mortar with polycarboxylic ether.



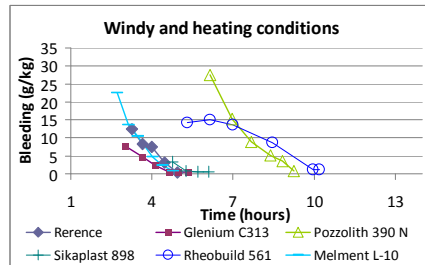
**Fig. 7.** Bleeding without compaction: a) specimens subjected to air circulation without heating; b) specimens subjected to air circulation with heating

### 3.4 Bleeding with Compaction

Figure 8a) shows the bleeding with compaction. The results presented are the values obtained in each measurement and not the cumulative bleeding, as shown in the previous figures. In this case, the evaporation occurring between mixing and measurements is not taken in consideration. Reference must be made to the fact that, for aggressive conditioning the cubes were not subjected to the same conditions, since the aggressiveness decreases from the entrance to the exit positions. However, the gradient in temperature and humidity is limited and all the mortars were tested in the same conditions. So, the comparative evaluation would not be affected by the distinct values in temperature and humidity at the different points in the tunnel.



**Fig. 8.** Bleeding with compaction: a) specimens covered with a plastic sheet; b) specimens subjected to forced air circulation without heating



**Fig. 9.** Bleeding with compaction (specimens subjected to forced air circulation with heating)

The results presented in Figure 8a) show that, with compaction, the water is available for longer periods. The energy provided by vibration also leads to an increase in the water rising to the surface. Comparing Figure 7a) with Figure 6a), the relative behaviour of different mortars is similar. Mortars with the naphthalene and lignosulphonate products have water available for a longer period of time. The curves of reference mortar and mortars with melamine (Melment L-10) and polycarboxylic ether (Glenium C313) almost overlap, which did not occur without vibration, Figure 6a). This may be due to the lower W/C of mortars with admixtures, and consequently to a higher solid content, which hinders the rise of the water. Figure 8b) presents the results for windy conditions. The availability of water decreases, due to evaporation, but the relative behaviour of mortars is not significantly affected. Figure 9, reveals a similar pattern, for windy and heating conditions, but at earlier ages, due to the increase in hydration rate by heating. Nevertheless, the bleeding values are higher than the ones obtained with only windy conditions, due to a briefer evaporation time.

#### 4 Final Remarks

This study evaluates the early hydration and bleeding behaviour of concrete, which are relevant factors for finishing with surface hardeners. The influence of

chemical admixtures and environmental conditions were tested by penetration resistance and bleed water. The results show a significant influence of the type of chemical admixture on the initial hydration, being the delay, in the initial setting time, of 0.5-8 hours, when using recommended common dosages of the products. For heating conditions, the setting times decrease substantially, in particular by shortening the period of time between the initial and final set.

The differences in initial hydration influence the workable period and water availability, which are important factors for surface finishing. For aggressive environments, mainly windy conditions, longer setting times lead to greater water evaporation volumes, leaving less water available for surface hardeners hydration, hence increasing the proneness to surface defects. With heating, there is a shortening in the setting time, therefore decreasing the workable period, but also decreasing the evaporation time, with advantages in water availability.

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# Application of Different Fibers to Reduce Plastic Shrinkage Cracking of Concrete

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**Abstract.** Cracking generated by shrinkage is a major concern, particularly in structures with a high surface area to volume ratio. It has been well established that the inclusion of fibers in concrete contribute to the shrinkage crack reduction. In the present study, the efficiency of different fibers in arresting the cracks in cementitious composites due to restrained plastic shrinkage was investigated. This paper focused on the effects of using steel, glass and polypropylene fibers at volume fraction of 0.1%. Crack characteristics including the maximum crack width, average crack width, and total crack area were measured using the image analysis. The test results indicate that steel fibers were more effective in reducing restrained plastic shrinkage cracking compared to others whereas glass fibers had better performance than polypropylene fibers.

## 1 Introduction

Plastic shrinkage cracks may often appear during the first few hours after casting while concrete is still in a plastic state and has not attained any significant strength. When the rate of water loss due to evaporation exceeds the rate of bleeding, negative capillary pressures generate which pull the solid particles together and consequently result in shrinkage [1-3]. At this time, the restraints provided by a rough substrate or steel reinforcement cause tensile stresses within the concrete and may lead to cracking [4, 5]. Plastic shrinkage cracks facilitate the ingress of aggressive agents into the concrete and affect the long term durability, serviceability, and aesthetic aspects of the structure [6, 7]. These cracks are more commonly observed in thin concrete elements with a high surface area to volume ratio like slabs on grade, industrial floors, bridge decks, tunnel linings, etc [8, 9].

Precautionary measures minimizing the loss of water from the concrete surface including prolonged curing, erecting wind breaks and sunshades, fogging, and reduced use of admixtures that prevent bleeding can help reduce the plastic shrinkage cracking [10]. Fiber reinforcement has proven to be one of the most effective methods to reduce plastic shrinkage cracking [5, 8]. Fibers inhibit further crack propagation by providing the bridging forces across the cracks [4].

In this paper, the behavior of steel, polypropylene, and glass fibers at low volume fractions in controlling plastic shrinkage cracks were evaluated by percent

reduction of crack width (PRCW) and percent reduction of crack area (PRCA) relative to plain concrete. To achieve this purpose, an image analysis technique was used to estimate the crack measurements.

## 2 Mechanism of Plastic Shrinkage

Capillary pressure is the source of shrinkage in the plastic stage of cementitious materials and may eventually lead to cracking. Figure 1 shows the process of capillary pressure build up and plastic shrinkage. After casting, bleeding may occur, i.e. the solid particles settle due to gravitational forces and on the surface a plane water film is formed (Figure 1A). Evaporation at the upper surface continuously reduces the thickness of the water film and, eventually, the near surface particles are no longer covered by a plane water surface. As a result of adhesive forces and surface tension, water menisci are formed between the solid particles. The curvature of the water surface causes a negative pressure in the capillary water. This pressure acts on the solid particles resulting in the contraction of the still plastic material (Figure 1B). The ongoing evaporation at the surface causes a continuing reduction of the main radii of the menisci resulting in an increase of the absolute capillary pressure value as well as of the shrinkage strain (Figure 1C). If a certain pressure is reached, the largest gaps between the particles at the surface can no longer be bridged by the menisci and air penetrates locally into the pore system accompanied by a local pressure break down (Figure 1D). When air starts to penetrate the pores, the plastic cracking risk is assumed to reach its maximum because the drained pores are weak points in the system. The contracting forces between the particles in the air penetrated regions are considerably smaller than those in the water filled regions. Hence, a localization of strains is taking place leading to visible cracks [1, 11].

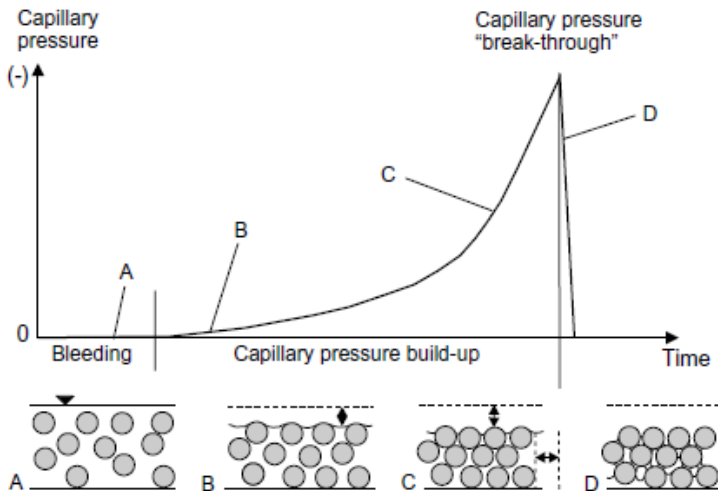


Fig. 1. Capillary pressure build up during plastic shrinkage

It has to be pointed out that air entry does not necessarily result in cracking. Cohesive stresses between the particles resulting from hindered shrinkage strain are also required. The combination of the inherent shrinkage and restraint develops tensile stresses. If the restrained tensile stresses exceed the tensile strength of concrete, the cracks can develop throughout the surface of concrete [12]. However, cracking is impossible without air entry into the drying suspension [1, 11].

### 3 Experimental Program

#### 3.1 Material

ASTM type II Portland cement was used in all concrete mixtures. The coarse aggregate was 19-mm maximum size crushed natural stone with a bulk specific gravity of 2.66 while natural river sand with a specific gravity of 2.62 and fineness modulus of 3.31 was used as the fine aggregate. The water to cement ratio by weight was 0.47. The mix proportions are given in Table 1. Three types of fibers namely hooked steel, glass, and polypropylene fibers were used at 0.1 volume fraction, and their properties are presented in Table 2. It should be noted that the typical dosage of fibers to reduce plastic shrinkage cracking is smaller than 0.3% by volume [8].

The average compressive strengths of the plain and fiber reinforced concretes at 28 days and the workability of mixtures are also given in Table 3.

**Table 1.** Mix proportions used for mixtures

Cement (kg/m <sup>3</sup> )	Fine aggregate (kg/m <sup>3</sup> )	Coarse aggregate (kg/m <sup>3</sup> )		Water (kg/m <sup>3</sup> )
		12.5 mm	19 mm	
350	957	174	609	164.5

**Table 2.** Properties of the fibers

Fiber	Length (mm)	Diameter (mm)	Aspect ratio (l/d)	Specific gravity (gr/cm <sup>3</sup> )	Tensile strength (MPa)
Steel	35	0.55	64	8	1100
Glass	15	0.012	1250	2.74	2450
Polypropylene	12	0.022	545	0.91	300-400

**Table 3.** Fiber dosage and compressive strength results of concrete mixtures

Mixture	Type of fiber	Fiber dosage (kg/m <sup>3</sup> )	Slump (cm)	Compressive strength (MPa)
Plain	-	-	14-16	38.4
SFRC	Steel	7.8	7-9	39.2
GFRC	Glass	2.6	5-7	35.8
PFRC	Polypropylene	0.91	5-7	35.9



### 3.2 *Mixing and Sample Preparation*

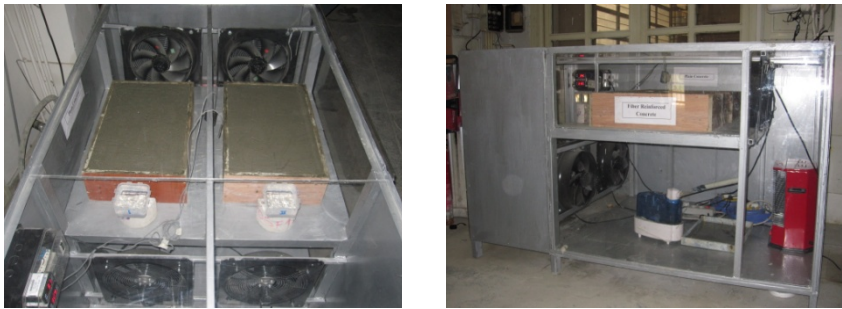
The mixing procedure used for concrete mixtures was as follows. The coarse aggregate, sand, and cement were first mixed dry for a period of 1 min. Then, the water was added, and mixing was continued for another 2 min. This was followed by 2 min of rest. With fiber reinforced concrete mixtures, the fibers were dispersed by hand and mixed for another 2 min to ensure the dispersion of fibers throughout the concrete.

The plastic shrinkage test method used in this study was similar to that proposed in ASTM C 1579-06 [13]. The plywood mold with a depth of 85 mm and rectangular dimensions of 360 by 560 mm has been used. The mold was provided with a stress riser of 63.5 mm height at the center and two base restraints of 32 mm height at 90 mm from both ends, along the transverse direction, as shown in Figure 2. After casting, the concrete specimens were kept for 24 h in a 2 meter by 1 meter and 1.5 meter height room. Inasmuch as environmental conditions play a significant role on early age properties of fiber reinforced concrete, the required temperature and humidity were generated by electric heaters and temperature controller. Fans were used to achieve a wind speed of more than 4.7 m/s over the entire surface area of the specimens (see Figure 3). The fresh concrete specimens were exposed to an average temperature of 36, a relative humidity of 25%, and a wind velocity of 6 m/s. The average evaporation rate, measured by detecting the loss of water from a plastic bowl placed, was 2 kg/m<sup>2</sup>/h. The specimens were monitored visually for any signs of cracking at approximately 30 min intervals.

According to ASTM C 1579-06, a test unit is comprised of at least two plain specimens and two fiber reinforced specimens. So for each type of fiber reinforced concrete, plastic shrinkage test was carried two times, and in each test, a plain specimen tested as well. To summarize, two series of data for each type of fiber and six series for plain concrete were detected and the average of measurements were reported.



**Fig. 2.** Plywood mold with the stress risers



**Fig. 3.** Environmental chamber for keeping specimens

## 4 Results and Discussion

From the cracking data, several values including maximum crack width, average crack width, total crack area, and time of first crack appearance were acquired and presented in Table 4. For each specimen, the cracks were represented by 6 digital images. These images were analyzed by the algorithms developed in the MATLAB programming environment. Then, the analysis results were superposed to obtain the crack width (average and maximum) and total crack area. The width of cracks was measured every 10 pixels along the crack. It is assumed that the lens was parallel to the surface of the concrete, and that it produced no optical errors.

**Table 4.** Plastic shrinkage test results

Mixture	Time of first visible crack (min)	Average crack width (mm)	Maximum crack width (mm)	Total crack area (mm <sup>2</sup> )	Percent reduction of crack width (%)	Percent reduction of crack area (%)
Plain	90	0.763	2.623	253.90	-	-
SFRC	125	0.359	0.997	120.34	52	53
GFRC	120	0.379	1.123	109.24	43	59
PFRC	110	0.517	1.845	144.86	30	43

### 4.1 Time of First Crack Appearance

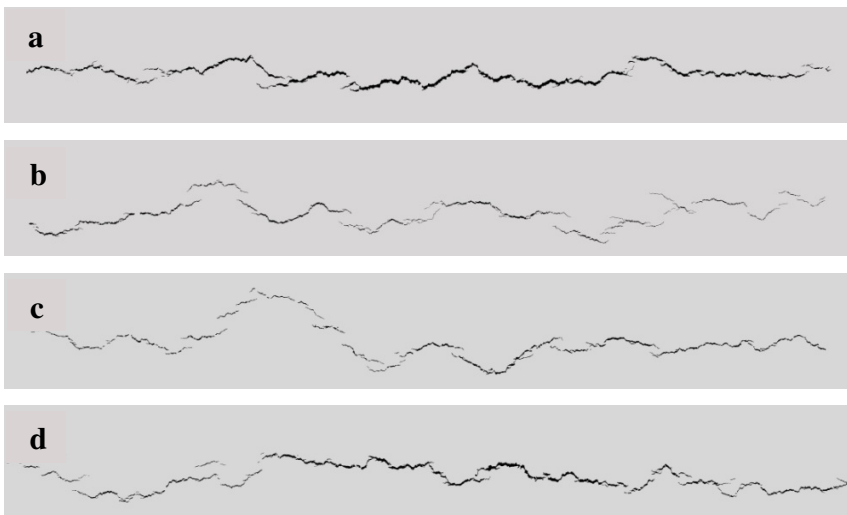
The age of first visible crack, which could be identified by eyes, was detected for each specimen. The specimens were checked for cracks every 30 min. For plain concrete, 90 min after casting a fine crack was observed whereas in the case of fiber reinforced concretes, this time was detected more than 110 min. This is because the fibers in concrete act as bleeding channels, which supply water to replenish the drying surface and reduce the magnitude of capillary stresses developed [14]. After the first visible crack, for plain specimens the cracks will

widen while in the case of fiber reinforced concrete, owing to the fiber bridging effect, the widening of the cracks are prevented. The microscopic images revealing the fiber bridging effect across the cracks are shown in Figure 5.

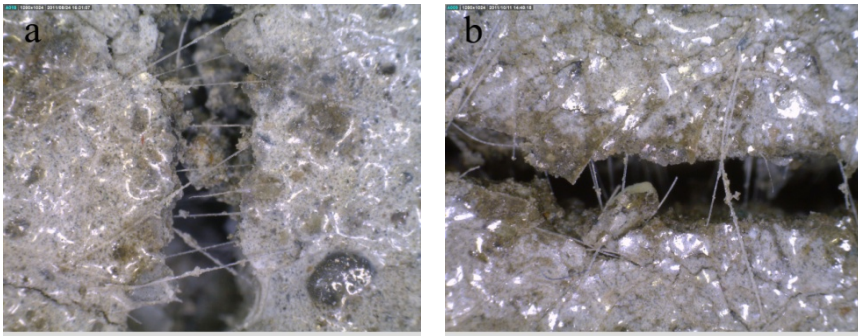
## 4.2 Crack Characteristics

Addition of steel, glass, and polypropylene fibers at the same volume fraction were effective in controlling the restrained plastic shrinkage cracking. The effect of fiber reinforcement on crack pattern is shown in Figure 4. As shown, plane concrete exhibited a denser crack pattern while in the case of fiber reinforced concretes, many subparallel cracks occurred. The reduction of maximum crack width relative to plain concrete for different fiber reinforced concretes varied from 30% to 50%. The percent reduction of average crack width for steel, glass, and polypropylene fibers were obtained 52%, 43%, and 30% respectively. In all cases, total crack area decreased with adding fibers in the range of 40% to 55%, and fibers of all types and lengths were extremely effective.

Steel fibers have the best performance among the other fibers, reducing the total crack area by 53% and maximum crack width by 48% to less than 0.1 mm. This may be due to the hooked end shape of steel fibers, which improves the bonding between fibers and matrix and results in higher tensile strain capacity. Glass fibers had better performance compared to polypropylene fibers. It can be attributed to the fact that polypropylene fibers are shorter than glass fibers thus they could have got pulled out of the matrix (see Figure 5).



**Fig. 4.** Crack pattern obtained using image analysis. (a) Plain, (b) SFRC, (c) GFRC, and (d) PFRC



**Fig. 5.** Microscopic images of crack bridging effect induced by fibers. (a) glass fiber, and (b) polypropylene fiber

## 5 Conclusions

The addition of fibers significantly reduced the restrained plastic shrinkage cracking and delayed the first crack appearance. The reduction of maximum crack width and total crack area were ranged 30-50% and 40-60%, respectively. Among the various fibers investigated at a volume fraction of 0.1%, steel fiber was found to be most effective in reducing the crack width and area, because the steel fibers improves the tensile strain capacity of concrete and restricts the growth of cracks. Glass fibers performed significantly better than polypropylene fibers.

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# Evaluation of Fatigue Life Using Dissipated Energy Methods

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**Abstract.** Flexural fatigue is a process of cumulative damage. It is also one of the main failure modes in asphalt mixtures and flexible pavement structures. This means good prediction of a pavement's fatigue life will help to develop and improve pavement design procedures.

Different approaches have been used to characterise the fatigue behaviour of asphalt including phenomenological-based models, fracture mechanics and dissipated energy methods.

This paper evaluates fatigue, paying particular attention to the dissipated energy criteria developed so far for asphalt materials. Two methods have been chosen to measure dissipated energy in tension-compression fatigue tests. Fatigue life obtained from the two different methods is compared with the traditional fatigue failure criterion  $N_{f50}$ , identifying both their advantages and disadvantages.

An asphalt mixture has been chosen for the laboratory activity and different tension-compression fatigue tests have been undertaken at 15 Hz, at 20°C at different stress levels, in order to better understand fatigue behaviour of asphalt mixtures.

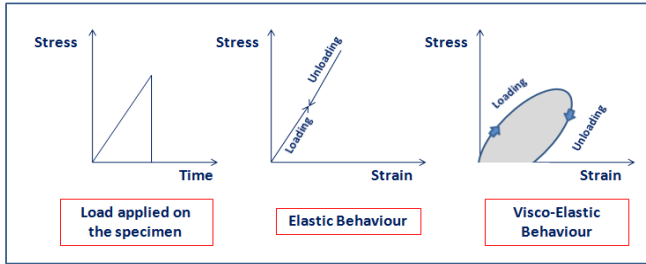
## 1 Introduction

Flexural fatigue is one of the main failure modes in asphalt mixtures. Different approaches are usually used to characterise fatigue behaviour of HMA: the phenomenological approach; the fracture mechanics approach; and the energy and dissipated energy approach. This paper focuses on the latter of the three methods.

### 1.1 Dissipated Energy Concept

When a constant load is applied in a viscoelastic material as asphalt, the deformation and therefore strain increases over time and, when the load is removed, it tends recover some of the deformation but maintains some residual deformation [1].

Viscoelastic materials are characterised by a hysteresis loop because the unloaded material traces a different path to that when loaded (phase lag is recorded between the applied stress and the measured strain), as shown in Figures 1; in this case the energy is dissipated in the form of mechanical work, heat generation, or damage.



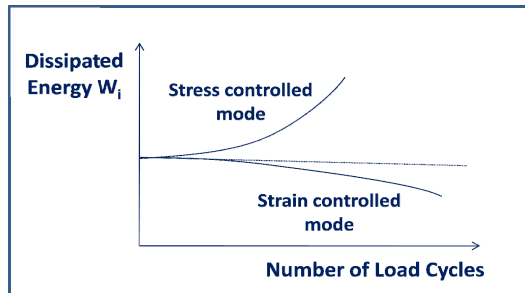
**Fig. 1.** Elastic and Visco-Elastic behaviour

The area of the hysteresis loop represents the dissipated energy in a load cycle and the following equation can be used to calculate its value in a linear viscoelastic material:

$$W_i = \pi \sigma_i \epsilon_i \sin \varphi_i \tag{1}$$

Where:  $W_i$  is the dissipated energy in cycle  $i$ ,  $\sigma_i$  is the stress level in cycle  $i$ ,  $\epsilon_i$  is the strain level in cycle  $i$ , and  $\varphi_i$  is the phase angle in cycle  $i$ .

During a fatigue test, where repeated stresses are applied to a sample below the failure stress, the stiffness reduces and microcracks are induced in the material; therefore the dissipated energy,  $W$ , varies per loading cycle and it, usually, increases for controlled stress tests and decreases for controlled strain tests, as shown in the Figure 2 [2].



**Fig. 2.** Dissipated Energy versus Load cycle for different loading modes [2]

The hysteresis loop and the stress and strain sinusoidal waveforms start to change; in the beginning the waveform path is well defined, then it starts to deform (initial failure: cracking) and in the end it is flat (fatigue failure) [3].

Different energy methods have been developed to evaluate the fatigue life in asphalt material [4-7].

Van Dijk was one of the earliest researchers to apply the dissipated energy concepts to study fatigue in asphalt mixtures and he determined an equation that relates the cumulative dissipated energy (CDE) to the number of cycles to failure [8-9].

Some other researchers believe that in order to have damage in the material there should be a change in the hysteresis loop, and thus a change in dissipated energy [5,10,11]. Since the dissipated energy is history dependent, it is a parameter well related to the accumulated damage in a specimen.

The same researchers have suggested the Ratio of Dissipated Energy Change, RDEC as parameter to describe fatigue in asphalt materials. They believe that the RDEC is a true indicator of damage because it is able to eliminate the other forms of dissipated energy due to mechanical work or heat generation; so it can be considered a good parameter to describe the fatigue process in asphalt

## **2 Laboratory Testing**

### ***2.1 Materials***

A 10 mm Dense Bitumen Macadam (DBM) or asphalt concrete was chosen for the experimental work. This type of mixture is the most commonly used in UK; it is a continuously graded mixture relying on aggregate interlock for its mechanical properties. A 100 Penetration grade bitumen was chosen for the mixture. The aggregates type selected was a crushed limestone.

### ***2.2 Specimen Preparation***

For the experimental work, a dogbone shaped specimen was chosen to undertake tension-compression tests. The specimen is 150 mm high with a 50 mm square central cross section. (see Figure 4). After placing the asphalt mixture in a characteristic mould, a kango vibrating hammer was used to compact the material.

Before starting fatigue tests, each specimen was glued between two plates and to ensure parallel alignment of the plates with specimen, a right angle jig was used.

### ***2.3 Tension-Compression Test Procedure***

During a fatigue test the stress is applied using a continuous pulsating load through the actuator in a uniaxial manner to the dogbone specimen as shown in Figure 3. Two Linear Variable Differential Transducers (LVDTs) are glued vertically to the specimen at diametrically opposite positions. They record the axial deformation in the specimen during the test; the average of the two measurements is considered. Two strain transducers were used to measure the horizontal deformation as illustrated in Figure 4.





Fig. 3. Tension-Compression Test

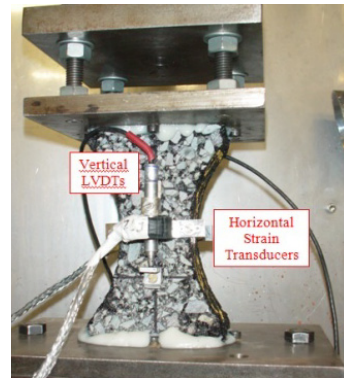


Fig. 4. Strain transducers

### 3 Results and Discussion

For the preliminary laboratory activity, fatigue tests were conducted at 20 °C and 15 Hz, considering different load levels from 1.5 kN to 2.1 kN, equivalent from 600 KPa to 840 KPa. Figure 5 shows a schematic of the load chosen for the experimental work.

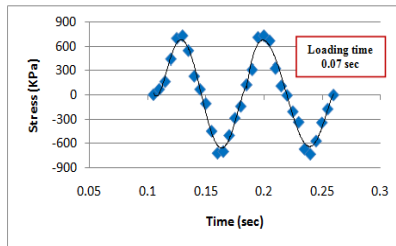


Fig. 5. Test performed with and without rest periods

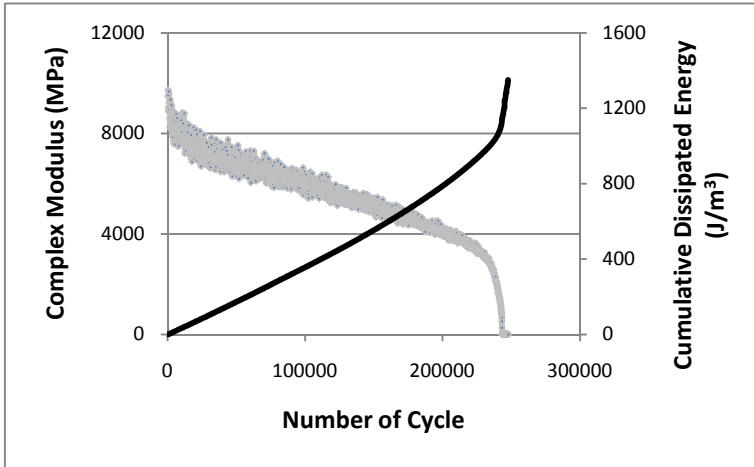
The cumulative Dissipated Energy (CDE) approach was the first method considered. CDE is the total energy dissipated by the material during the fatigue test; in particular it is the sum of all areas within the stress-strain hysteresis loop for every cycle until failure, relating the fatigue behaviour to both initial and final cycles. (see Figure 6).

Van Dijk determined an equation that relates the CDE to the number of cycles to failure as follows:

$$W_f = A(N_f)^z \tag{2}$$

Where:  $W_f$  is the cumulative dissipated energy to failure,  $N_f$  is the number of load cycle to failure, and  $A, z$  are the mixture dependent constants (experimentally determined).

Figure 11 shows that the correlation between the increasing of the CDE and the decreasing of stiffness modulus could exist; but CDE behaviour changes depend on the mode of loading [4], (see Figure 2); also, this parameter does not distinguish the amount of DE due to damage rather than viscoelasticity. For all those reasons, CDE method was not taken in consideration for the final analysis.



**Fig. 6.** Stiffness and CDE behaviour

According to Hopman et al [14, 2] cumulative dissipated energy is well correlated to the crack initiation  $N_1$ , by mean of the Energy Ratio  $R_\sigma$ . The energy ratio is defined as follows:

$$Energy\ Ratio = \frac{nW_0}{W_i} = \frac{n(\pi\sigma_0\varepsilon_0\sin\varphi_0)}{\pi\sigma_i\varepsilon_i\sin\varphi_i} \tag{3}$$

Where  $W_0$  is the energy dissipated in the first cycle,  $W_i$  is the energy dissipated at  $i^{th}$ -cycle. Figure 7 shows the plot of the energy ratio and the complex modulus. Researchers [4,16] believed that the peak value of the energy ratio plot represent the number of fatigue cycles in which the crack initiates ( $N_1$ ). Researchers said that this phenomenon usually occurs in a range between 40-50% reduction of the initial complex modulus value. During the experimental work, it was found that this value is bigger than the traditional number of fatigue to failure  $N_{f_{50}}$  (when the initial stiffness reduces of 50%).

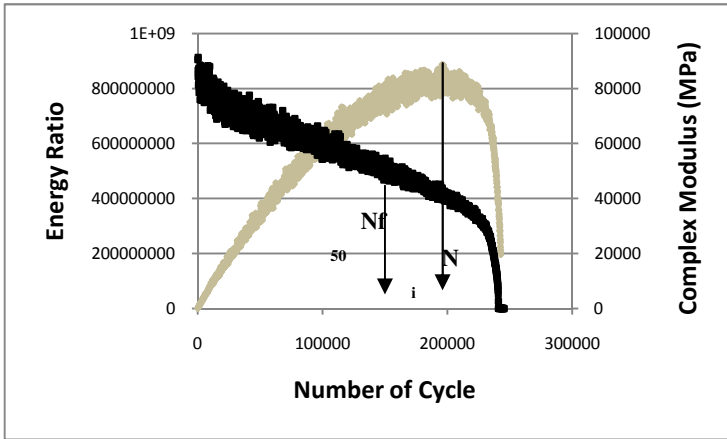


Fig. 7. Energy Ratio and complex modulus behaviour

As mentioned before, dissipated energy changes during a fatigue test, due to the beginning of microcracking during the fatigue process; the evolution of dissipated energy is shown in Figure 8.

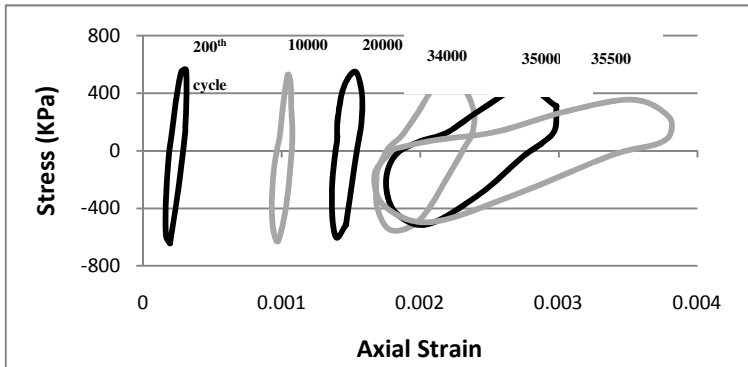


Fig. 8. Evolution of Dissipated Energy in a fatigue test

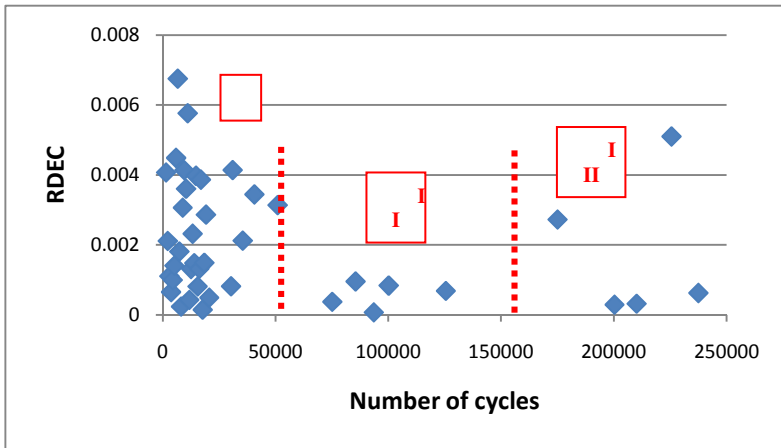
As can be seen, in the first stage of the test, the hysteresis loop is well defined and the area is small. After this the loop changes shape. It starts to rotate and the area becomes bigger. At the end of the tests, dissipated energy is usually characterised by a flat and irregular hysteresis loop. Thus, change in dissipated energy should be a good parameter to describe fatigue phenomenon in asphaltic material and it is considered the starting point for the development of the fatigue model.

Some researchers [5, 10, 15, 18] have suggested the Ratio of Dissipated Energy Change, RDEC as a parameter to describe fatigue in asphalt materials. The same researchers believed that the RDEC is a true indicator of damage because it is able to eliminate the other forms of dissipated energy due to mechanical work or heat generation; so it can be considered a good parameter to describe the fatigue process in asphalt, and is calculated with the following expression:

$$RDEC = \frac{DE_{n+1} - DE_n}{DE_n} \tag{4}$$

Where: RDEC is ratio of the dissipated energy change per load cycle,  $DE_n$  is dissipated energy produced in load cycle  $n$ , and  $DE_{n+1}$  is dissipated energy produced in load cycle  $n+1$ .

Figure 9 shows the variation of the RDEC plotted against the Number of load cycles.



**Fig. 9.** The variation of the RDEC as a function of Load Cycles

It is possible to notice three main phases during a fatigue test. The RDEC, after a rapid decrease (I stage), reaches a plateau stage in which a plateau value (PV) can be obtained; this represents an energy plateau where an almost constant rate of energy input is being turned into damage. It is verified that PV is uniquely related to fatigue life. After the RDEC increases rapidly until true fatigue failure (III stage). The same researchers correlated the plateau value with a number of fatigue to failure cycles by means of statistical approach, by means of the following equation [11]:

$$PV = cN_f^d = 0.4428N_f^{-1.1102} \tag{5}$$

Also the stiffness follows a three stage evolution process (see Figure 10): after a rapid evolution of stiffness (phase I), stiffness decrease seems more regular

(phase II); fracture occurs in the final stage (phase III) and it is characterised by an acceleration of stiffness drop. Therefore another good point is a correlation between the three-stage evolution of stiffness with the three-stage evolution of dissipated energy.

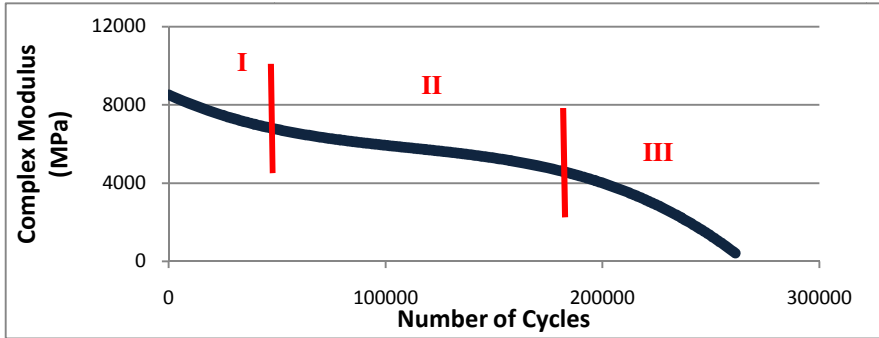


Fig. 10. Variation of stiffness as a function of Load Cycles

Many researchers [6, 7] have defined failure as the point at which the specimen's flexural stiffness is reduced to 50% of the initial flexural stiffness in fatigue testing. Usually, this initial stiffness is defined as the specimen's flexural stiffness measured at the 50<sup>th</sup> load cycle. This traditional failure criterion was considered to make the comparison between the dissipated energy approaches. It was found that cumulative dissipated energy approach tends to overestimate fatigue life in asphalt mixture and the RDEC approach tends to underestimate it, because the plateau stage is often in a range of the number of cycles to failure lower than the  $N_{f50}$ . (see Figure 11).

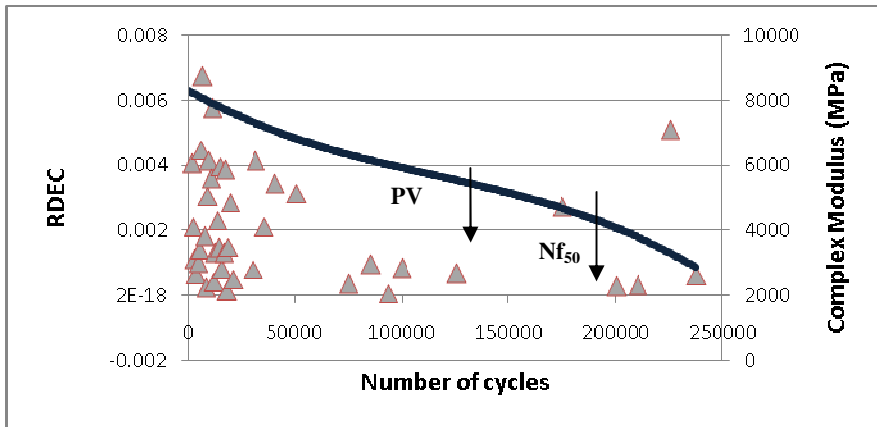


Fig. 11.  $N_{f50}$  and PV

Table 1 shows the different range of cycles to failure for a fatigue test undertaken at 15 Hz, at a temperature of 20°C, applying a load of 1.5 KN (600KPa).

**Table 1.** Comparison of fatigue life of a test carried put at 1.5 KN.

<b>Failure criterion</b>	<b>Nf<sub>50</sub></b>	<b>Energy Ratio</b>	<b>RDEC</b>
<b>Cycles to failure</b>	165000-170000	180000-190000	125000-135000

## 4 Conclusions

Different approaches have been used to characterise fatigue behaviour of a particular asphalt mixture (10 mm dense bitumen macadam) including phenomenological-based models, fracture mechanics and dissipated energy methods. This work focuses on the latter approach.

Comparing fatigue lives based on these different approaches is the main point of this paper.

The following conclusions can be made:

- Two methods were compared with the traditional failure criterion Nf<sub>50</sub> : energy ratio and the RDEC approach. It was found that the energy ratio tends to overestimate fatigue life for the asphalt mixture considered.
- The RDEC principles are very interesting because they focus the attention to the change of DE, but were found to underestimate fatigue life for the asphalt mixture considered.
- The change in dissipated energy is a good parameter to describe the fatigue phenomenon in asphaltic material, because it takes into account only the damage effect in the material (not contribution due to mechanical work or viscoelastic properties of the material). For this reason it is considered the starting point for the future work. Laboratory testing such as 2 Point Bending and 4 Point Bending have already been planned to undertake more fatigue tests under different loading conditions.

The fatigue data used in this paper are mainly based on tension-compression tests for a 10 mm DBM. Further investigation should be carried out to verify if the results found are still valid for other asphalt mixtures, mode of loading (i.e. strain controlled mode) and testing configurations (i.e. bending tests).

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# Measurement and Prediction Model of the Fatigue Behavior of Glass Fiber Reinforced Bituminous Mixture

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**Abstract.** Geocomposite materials such as fiber glass grids are frequently employed in asphalt pavement design as reinforcement interlayers, having the role to delay the occurrence and propagation of cracking. This paper studies the fatigue behavior of a standard bituminous mixture (EB 10 wearing course 35/50 EN 13108-1) and of a composite made of the same bituminous mixture and glass fiber grid. The aim of this study is to characterize the fatigue behavior of the two structures, to compare them and to quantify the increase in fatigue life due to the use of the glass fiber grid. The fatigue behavior is described using four point bending (4PB) laboratory tests results and using a finite elements modeling. The fatigue tests are carried out with two specimens: a simple bituminous beam and another one reinforced with a glass fiber grid placed at its bottom. The testing conditions are sinusoidal excitation with haversine waveform at 25 Hz and 10°C, using controlled strain mode. The reinforcement role of the fiber glass grid is evidenced by the 4PB tests results. Along with the tests, a finite element modeling is used to make a prediction of the fatigue behavior. The asphalt damage prediction model of Bodin, implemented in the finite element software CAST3M, is tested on the bituminous mixture and on the glass fiber reinforced bituminous mixture. The behavior obtained with the experiment is compared to the computational models' prediction.

## 1 Introduction

The service life of an asphalt pavement depends on its performance under the action of traffic loads and thermal stresses. The repeated loads induced by traffic produce the phenomenon known as “fatigue cracking”, which is one of the major causes of pavement deterioration. Among the different methods used to delay this phenomenon, the method using coated glass fiber grid called “geogrid” has gained acceptance since the 90’s. This method has applications in: treatment of cracking produced by thermal changes in rigid and semi-rigid pavements, cracking of flexible



pavements and treatment of longitudinal cracks in the case of road extensions. In practice the complex made of bituminous mixture and geogrid has a very good behavior with time under repeated loads. Nowadays the grid is employed as reinforcement of bituminous layers to delay the fatigue crack initiation and propagation. In this paper, we study the fatigue behavior of the composite material, in order to compare the test results with a prediction based on the Bodin model.

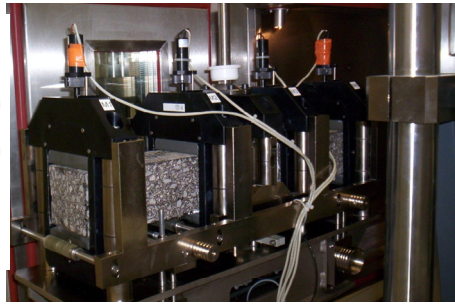
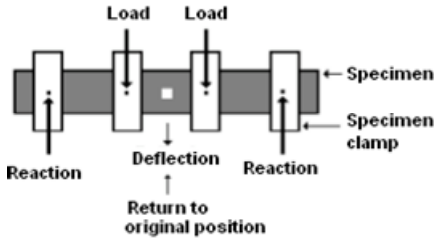
## **2 Fatigue Four Point Bending Test Configuration and Bending Device**

### ***2.1 Choice of the Test Configuration***

The composite material behavior under cyclic load was firstly studied in laboratory and secondly was modeled with a non-local damage approach. After the review of the European Standards [1] dealing with fatigue tests, and taking into account the sizes of the composite material, the choice was made to use the 4PB test. The test is well recognized by other authors [7] and is favored because failure happens in an area of uniform stress corresponding to the central part of the beam, between the two load lines. The equations of the beam theory can be applied if the hypothesis of an elastic homogeneous isotropic material is taken into account. In the reinforced specimen we consider that the geogrid is perfectly incorporated within the bituminous mix with a layer of residual bitumen emulsion. Neither the shear phenomenon nor the possible slips of the grid inside the specimen's structure are taken into consideration.

### ***2.2 Four Point Bending Device and Procedures***

The standard 4PB device is adapted to the sizes of the materials, according to the standard [1]. The standard suggests a 450 x 50 x 50 mm bituminous beam. The minimum dimension of the beam (width or height) respects the condition related to the granular size: this dimension must be superior to three times the maximum granular size of the bituminous mixture. Because there is no condition concerning the dimensions of reinforced bituminous specimens, we consider that the same condition applies to the non-reinforced bituminous specimens. For reinforced beam, this condition leads to a minimum of three coated fiber glass yarns placed in the width of the beam. We obtain a minimum width of 100 mm. From the beam conditions, the length has to be 6 times the maximum value (width or height), we obtain the beam dimensions 620 x 100 x 90 mm. In figure 1 the test configuration is represented. Figure 2 shows the special bending device used for testing.



**Fig. 1.** (left) Four point bending configuration [5]

**Fig. 2.** (right) Four point bending device ZWICK at EPSILON

The first type of 4PB test available in [1] is performed with a haversine waveform. In this test, the central part is bent from the initial position to the double amplitude of deflexion. The rotation is free in the reactions and the load lines. The vertical deflexion in the center of the beam is measured at the bottom side with a linear variable differential transducer (LVDT). The material response and the phase angle are measured all along the test. The laboratory fatigue tests results presented in this paper were obtained with this fatigue test configuration. The second standard type of bending test available can be performed with a sinusoidal waveform, creating a symmetrical bending around the original position (from a positive to a negative deflexion). This fatigue test configuration presented in section 6 was employed to model damage evolution.

### 3 Materials

The bituminous mixture presented in table 1 is a standard EB 10 class III according to the European classification [3], with an elastic modulus of 9 GPa, obtained in 4PB rigidity tests performed at 15°C and 10 Hz and in indirect tensile rigidity tests (ITT) performed at 15°C with 124 ms loading time. The geogrid used as reinforcement is the coated glass fiber grid CIDEX 100 SB of 6D Solutions, represented in table 2. The product is an elastic composite made of warp and filling yarns and a nonwoven part of polyester fiber (mesh: 40 x 40 mm<sup>2</sup>). Both yarn types are made of continuous glass fiber glass and resin. In an asphalt pavement structure, the geogrid is placed at the interface between surface course and base course with an emulsion made of residual bitumen. The geogrid is employed in pavement rehabilitation as a reinforcement material for the surface course. Its main role is to delay cracking phenomenon.

**Table 1.** Formula and Granulometric curve of the bituminous mixture**FORMULA EB 10 class III**

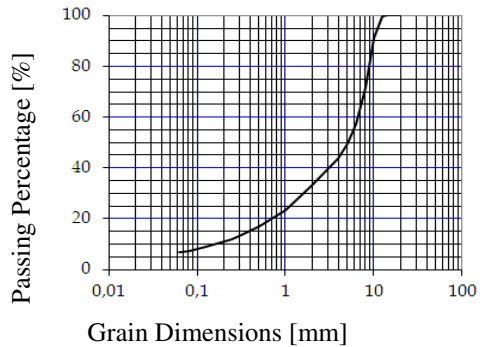
45% 0/3

15% 3/8

40% 8/15

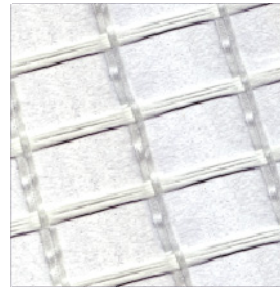
5,97% BITUMEN 35/50

Compactness 93 – 95%

**Table 2.** Properties and image of the geogrid**GRILLE CIDEX 100 SB**Grid: Fiber glass + resin (type SB) : 383 g/m<sup>2</sup>Fiber of polyester: 17 g/m<sup>2</sup>

Mechanical resistance at failure: 100 KN/m

Mechanical resistance at 1% deformation: 35 KN/m

Residual bitumen for embedding: 600 g/m<sup>2</sup>**4 Sample Preparation**

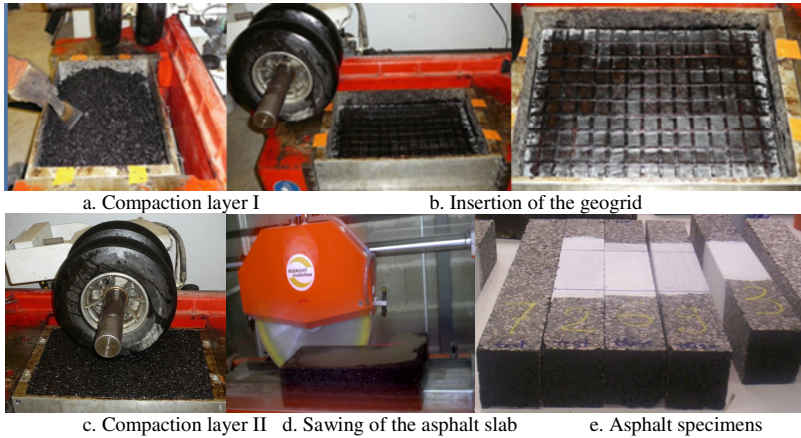
Two types of samples are made and subjected to 4PB test in the laboratory EPSILON Engineering.

There are three steps in the fabrication process of the asphalt slabs illustrated in figure 3, respectively:

- A. the compaction of the first bituminous layer,
- B. the insertion of the geogrid with an emulsion applied at ambient temperature (22-23°C)
- C. the compaction of the second bituminous layer.

In order to obtain the beam, the last operation is sawing the 620 x 400 x 150 mm slabs to the dimensions 620 x 100 x 90 mm. The beam vertical section from the bottom to the top is: 29 mm bituminous layer, 1mm geogrid with bitumen emulsion and 60 mm bituminous layer. In the case of non-reinforced specimens the fabrication remains the same, adding only an emulsion layer between the two bituminous layers.

Four specimens are tested: two bituminous beams “B1” and “B2” and two reinforced bituminous beams “RB1” and “RB2”. There is a difference in the number of warp yarns between the beams RB1 and RB2: two warp yarns in the case of RB1 and three for RB2.



**Fig. 3.** Fabrication process in laboratory (a, b, c, d, e)

## 5 Fatigue Tests and Results

### 5.1 Fatigue Tests

The fatigue tests consist of repeated bending. They are performed with a controlled strain, which is kept constant during the test. The deflexion is constant and the response of the material is the force to keep a constant deflexion. The force decreases with the number of cycles. The tests are performed at 10° C and 25 Hz, these values have to be considered in the French Pavement Design Method [2]. The “fatigue resistance” of bituminous mixtures, considered in the design of a road pavement, represents its ability to withstand repeated bending without any fracture [8].

The strain value chosen by the authors is equal to 200  $\mu\text{m}/\text{m}$ , in order to obtain a fatigue life of the non-reinforced bituminous mixture around 100 000 cycles. The “fatigue life” is defined by the European standard [1] as the number of cycles corresponding to a material stiffness decrease to half of its initial value. In the case of a controlled strain fatigue test, the force also decreases to half of its initial value. We consider this definition as the criterion I in the result interpretation. Because there are no existing fatigue criteria concerning the composite bituminous mixtures, another criterion has been introduced by the authors. The criterion II considers the fatigue life as the number of cycles corresponding to a 80% decrease of the initial material stiffness, respectively force. The criterion II supposes that the geogrid reinforcement role remains efficient after the 50% material stiffness decrease. Both criteria have been used in the result interpretation.

### 5.2 Fatigue Test Results

Figure 4[4] illustrates the evolution of the ratio between the measured force and the initial force, with the number of load cycles. Three cases are compared: the non reinforced samples B1 and B2, the reinforced sample RB1 and the reinforced sample RB2. We compare the fatigue life obtained on B1, B2, RB1 and RB2, for each criterion mentioned in tables 3 and 4.

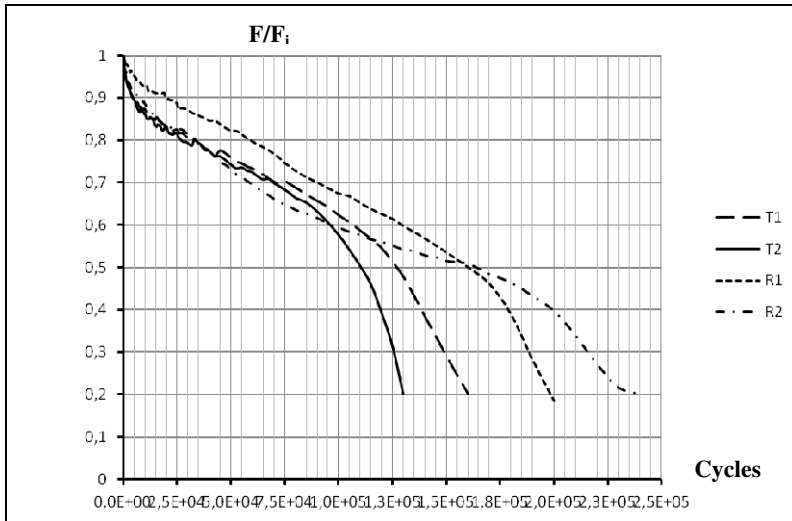


Fig. 4. Evolution of the force during 4 fatigue tests

According to criterion I (table 3), the reinforcement used in RB1, respectively in RB2, improves on average the fatigue life of the non reinforced samples of 1.37 times, respectively of 1.46 times. According to criterion II (Table 4), the reinforcement used in RB1, respectively in RB2, improves on average the fatigue life of the non reinforced samples of 1.37 times, respectively of 1.68 times. As expected, the results show that the fatigue life of the sample increases with the number of fiber glass yarns. The fatigue life increase is 10% between RB1 and RB2 in the case of criterion I, respectively 31% in the case of criterion II. The fatigue life remains the same using both criteria for RB1. On the contrary, the increase in fatigue life is significant for RB2 using criterion II.

The results are presented in the tables below. The corresponding abbreviations represent:

Nf: the number of cycles corresponding to the fatigue life defined for each criterion,  $N_{fB_i}$ : the fatigue life of  $B_i$  with  $i = (1; 2)$ ,  $N_{fRB1}$ : the fatigue life of RB1,  $N_{fRB2}$ : the fatigue life of RB2,  $N_{f1}$ : improvement in fatigue life due to the use of the geogrid in RB1 as respect with B1 and B2,  $N_{f2}$ : improvement in fatigue life due to the use of the geogrid in RB2 as respect with B1 and B2.

**Table 3.** Fatigue life according to criterion I

Specimen	$N_f$	$N_{f1} =$ $N_{fRB1}/N_{fBi}$ Average = <b>1.37</b>	$N_{f2} =$ $N_{fRB2}/N_{fBi}$ Average = <b>1.46</b>	$N_{f1} - N_{f2}$ Average = <b>0.09</b>
<b>B1</b>	125 000	1.28	1.36	0.08
<b>B2</b>	109 000	1.47	1.56	0.09
<b>RB1</b>	160 000	-	-	-
<b>RB2</b>	170 000	-	-	-

**Table 4.** Fatigue life according to criterion II

Specimen	$N_f$	$N_{f1} =$ $N_{fRB1}/N_{fBi}$ Average = <b>1.37</b>	$N_{f2} =$ $N_{fRB2}/N_{fBi}$ Average = <b>1.68</b>	$N_{f1} - N_{f2}$ Average = <b>0.31</b>
<b>B1</b>	160 000	1.23	1.50	0.28
<b>B2</b>	130 000	1.51	1.85	0.34
<b>RB1</b>	196 000	-	-	-
<b>RB2</b>	240 000	-	-	-

## 6 Damage Evolution Prediction Using the Finite Elements Method

### 6.1 Finite Element Model

Two 2D models of the fatigue behavior under 4PB loading have been performed using the finite element software Cast3M: one for the non-reinforced bituminous beam called “B” and one for the reinforced bituminous beam called “RB”. The damage evolution is predicted by the Bodin model [6] based on a “three regimes” law.

In Bodin’s model, the damage variable is introduced as a scalar as presented in the Eqn. (1):

$$\sigma_{ij} = (1 - D) \cdot C_{ijkl}^O \cdot \epsilon_{kl} \tag{1}$$

in which  $\sigma_{ij}$  is the stress tensor,

$\epsilon_{kl}$  is the strain tensor,

$C_{ijkl}^O$  is the elasticity matrix,

$D$  is the damage scalar variable with  $0 \leq D \leq 1$ .

During the test the damage evolves in three phases. This evolution is given by Eqn. (2):

$$\dot{D} = f(D) \cdot \bar{\varepsilon}^\beta \cdot \langle \dot{\bar{\varepsilon}} \rangle \quad (2)$$

in which  $f(D)$  is expressed in the Eqn. (3):

$$f(D) = \frac{\alpha_2}{\alpha_1 \cdot \alpha_3} \cdot \left( \frac{D}{\alpha_2} \right)^{1-\alpha_3} \cdot \exp\left( \frac{D}{\alpha_2} \right)^{\alpha_3} \quad (3)$$

and  $\bar{\varepsilon}$  is calculated in the Eqn. (4):

$$\bar{\varepsilon}(x) = \frac{1}{V_r(x)} \cdot \int_{\Omega} \psi(x-s) \cdot \tilde{\varepsilon}(s) \cdot ds \quad (4)$$

in which  $V_r(x)$  is calculated in the Eqn. (5):

$$V_r(x) = \int_{\Omega} \psi(x-s) \cdot ds \quad (5)$$

and  $\tilde{\varepsilon}$  is calculated in the Eqn. (6):

$$\tilde{\varepsilon} = \sqrt{\sum_{i=1}^3 \left( \frac{\langle \sigma_i \rangle}{E \cdot (1-D)} \right)^2} \quad (6)$$

where:  $\bar{\varepsilon}$  is the average of the equivalent strain,

$\langle \dot{\bar{\varepsilon}} \rangle$  is the strain rate. The Maccauley brackets are used to account only the positives values,

$\beta$  is a parameter related to the slope  $p$  of the fatigue curve in log – log coordinates  $p = -(\beta + 1)$ ,

$\alpha_1, \alpha_2, \alpha_3$  are damage evolution parameters,

$V_r(x)$  is a representative volume in a point of coordinate  $x$ ,

$\Omega$  is the studied volume,

$\psi(x-s)$  is the weight function,

$s$  is a relative coordinate from the point of coordinate  $x$ ,

$\tilde{\varepsilon}$  is the equivalent strain,

$\langle \sigma_i \rangle$  is the principal traction stresses,

$E$  is the complex modulus (10° C, 25 Hz).

The hypothesis of plane stresses is used to model one half of the asphalt beam thanks to the symmetry of the problem. Figure 5 represents the finite element model, its geometry and the boundary conditions. The applied fatigue solicitation is constant strain.

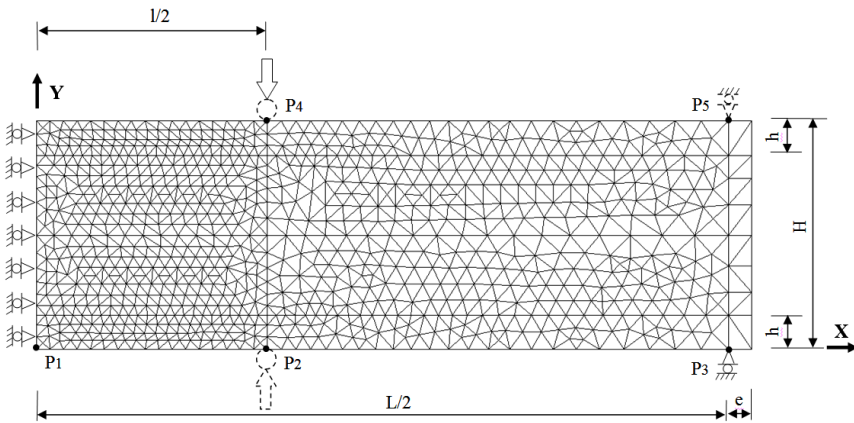


Fig. 5. Finite Element Model

The 4PB fatigue test modeled with the Bodin model, takes into account a sinusoidal waveform, creating a symmetrical bending around the original position. As a consequence, a symmetric material is chosen, resulting in a double reinforcement of the beam. Two positions of the double reinforcement are tested:  $h = 0 \text{ mm}$  and  $h = 15 \text{ mm}$ , where “h” represents the height as indicated in Figure 5.

According to fatigue tests carried out only on the geogrid, not presented in this paper, the CIDEX 100 SB grid presents a fatigue limit larger than  $7.3 \cdot 10^{-3} \text{ m/m}$ , which is larger than the constant strain used in the fatigue test ( $\epsilon = 140 \cdot 10^{-6} \text{ m/m}$  in tests presented in Figure 6). Since the solicitation level is smaller than the fatigue limit, the geogrid is not damaged during the tests. Considering this fact, the geogrid is modeled as a non-damageable material with a Young’s modulus “E” of 43 GPa and a Poisson’s coefficient “ $\nu$ ” equal to 0.35.

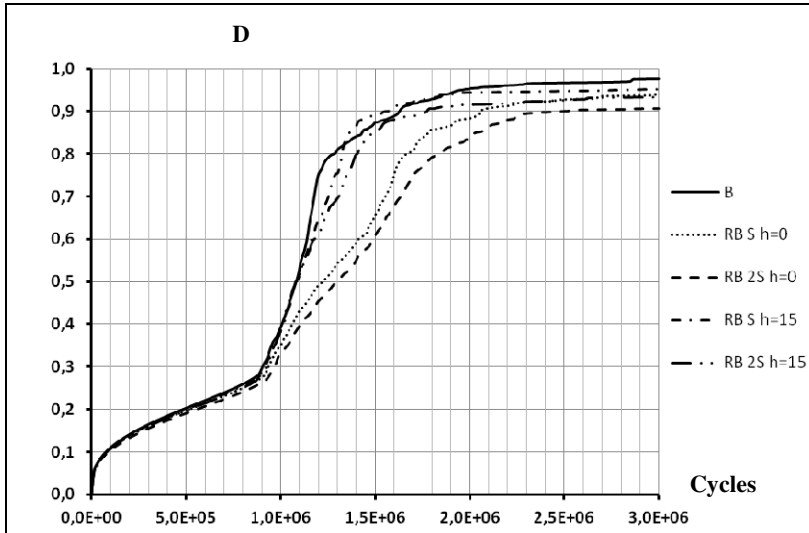
The elements used for modeling the reinforcement are bare type linear elements. Two sections of glass fiber are considered for the 2D models. The first section called “S” is equal to  $0.1163 \text{ mm}^2$ , which is the equivalent section of the three warp yarns which are in the width of the tridimensional (3D) real beam. The second section called “2S” is calculated as the sum between S and the equivalent section of the filing yarns which are in the longitudinal section of the real beam.

There is a difference between the tests and the modeling consisting in the waveform which has been used. The haversine waveform is used for tests and the sinusoidal waveform is used in the Bodin model. Considering this fact, the model couldn’t be used to predict the laboratory results. However, the damage prediction is made taking into account the mechanical characteristics of a bituminous mixture studied by Bodin [6] in the case of sinusoidal waveform:  $E = 12 \text{ GPa}$ ,  $\nu = 0.35$  and the fatigue evolution parameters of the Bodin ‘three regimes’ law are:  $\beta = 4.0$ ,  $\alpha_1 = 5.58 \cdot 10^{-15}$ ,  $\alpha_2 = 0.42$  and  $\alpha_3 = 3.0$  – see Eqn. (3). The bituminous mixture is modeled by triangular plan elements.



## 6.2 Damage Modeling Results

Figure 6 represents the damage evolution of the beams B and RB in the 4PB fatigue test under a constant strain load  $\varepsilon = 140 \cdot 10^{-6} m/m$ . In this figure we can observe that the third phase of the damage evolution is influenced by the presence of the reinforcement i.e. its section (S or 2S) and its position (h) in the asphalt beam.



**Fig. 6.** Evolution of damage under constant strain fatigue test  $\varepsilon = 140 \cdot 10^{-6} m/m$

It is notable that the glass fiber increases considerably the fatigue life of the reinforced specimen in comparison with the non-reinforced one. The reinforcement is more efficient when the geogrid is placed at the beam extremities ( $h = 0$  mm). The section increase has an influence over the fatigue life, which is more significant when criterion II is considered. These results suggest the fact that the geogrid representative section in the 2D model is a value between S and 2S. This value is calculated by the sum of S and the reduced filling yarns section, contributing effectively to the bending efforts. The second term is obtained by the multiplication of the filling yarns section with a subunit coefficient. The coefficient is going to be estimated when a significant number of experimental results will be available.

The following load levels:  $\varepsilon = 130 \cdot 10^{-6} m/m$ ,  $\varepsilon = 140 \cdot 10^{-6} m/m$ ,  $\varepsilon = 150 \cdot 10^{-6} m/m$ ,  $\varepsilon = 160 \cdot 10^{-6} m/m$ ,  $\varepsilon = 170 \cdot 10^{-6} m/m$  and  $\varepsilon = 180 \cdot 10^{-6} m/m$  are tested with the Bodin damage model, having the reinforcement placed at the beam extremities. The results are presented in table 5. Three millions of cycles are

simulated in the modeling. We observe that the gain in fatigue life for the lowest and the highest load levels is inferior to the gain obtained in the other load cases. This influence on the modeling results, currently under study, may be influenced by the calculated number of points per cycle. Considering the mean result over the 6 strain levels and criterion II, it can be estimated that the reinforcement increases the beam fatigue life time from 33.5% to 45.5%.

**Table 5.** Damage modeling results - CAST3M. The percentages represent the gain in fatigue life of RB S and RB 2S reported to B.

Damage modeling results - CAST3M						
Epsilon $\epsilon \cdot 10^{-6} m/m$	B		RB S		RB 2S	
	D=0,5	D=0,8	D=0,5	D=0,8	D=0,5	D=0,8
130	1 612 537	2 030 382	1 777 726 10.24%	2 638 630 29.96%	1 885 806 14.49%	2 616 694 28.88%
140	1 082 367 -	1 277 898 -	1 223 180 13.01%	1 672 255 30.86%	1 295 969 16.48%	1 830 000 43.20%
150	761 152 -	853 810 -	857 929 12.71%	1 158 389 35.67%	910 689 16.42%	1 342 299 57.21%
160	527 891 -	556 783 -	607 529 15.09%	821 284 47.51%	657 247 19.68%	919 216 65.09%
170	386 607 -	418 870 -	436 898 13.01%	570 437 36.18%	471 179 17.95%	627 465 49.80%
180	270 109 -	283 891 -	307 603 13.88%	342 882 20.78%	308 263 12.38%	366 138 28.97%
Average Gain $\epsilon$ from 130 to 180			12.99%	33.49%	16.23%	45.53%

## 7 Conclusions and Perspectives

The objective of the 4PB tests was to show the improvement in fatigue life of the bituminous mixture due to the use of the coated glass fiber grid. The number of specimens is not sufficient to give a general value of this improvement. However, the fatigue behavior of the composite material confirmed that the reinforcement role should be tested on reinforced bituminous beams with three warp yarns (RB2) and analyzed with criterion II (beyond the standard definition of fatigue life). In this case, we obtain a gain of 68% in fatigue life.

The purpose of the Cast3M simulations was to test the Bodin damage evolution model of bituminous mixtures with a reinforced bituminous mixture. The results confirm the positive effect of the grid observed in laboratory and the fact that criterion II is more adapted than criterion I (the standard definition of fatigue life) for the reinforcement role evaluation. In this case, the reinforcement role of the geogrid leads to a gain in fatigue life between 33.5% and 45.5%, depending on the fiber glass section.

Both laboratory and modeling results point up the gain in fatigue life due to the geogrid. Current work consists in performing more tests with both haversine and

sinusoidal waveform, in order to confirm the reinforcement role. A second target is the adjustment of damage evolution model to reinforced bituminous mixtures in order to obtain an accurate prediction model of damage.

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# Fatigue Cracking in Bituminous Mixture Using Four Point Bending Test

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**Abstract.** This paper describes investigation into cracking in bituminous mixture using the four point bending notched fracture (FPBNF) test, which has been developed at the University of Lyon/ Ecole Nationale de Travaux Publics de l'Etat (ENTPE). A special loading path is applied on the notched beam specimen at a constant temperature of - 4.5°C. A monotonic loading was first applied until the peak load and after unloading, many loading/unloading cycles at small amplitude were carried out until the final failure of specimen. Deflection of the beam and crack mouth opening displacement (CMOD) are measured. Crack length is determined experimentally using crack propagation gauges. It is also obtained with an improved method, called Displacement Ratio Crack length (DRCL) method, developed at ENTPE laboratory, which allows back calculating the crack length. This method is based on the relation between two experimental displacement measurements: the crack mouth opening displacement (CMOD) and the deflection of the beam. The results obtained from this method are discussed and compared with the crack length measured with crack propagation gauges. During the test, the fracture behaviour is investigated. The crack propagation is studied as a function of loading/unloading cycle number. The stress intensity factor is evaluated. Two different domains of crack evolution are distinguished: the first domain where pre-existing crack progressively re-opens, the second domain where crack propagates. The Paris fatigue law could be applied in the domain where crack propagates.

## 1 Introduction

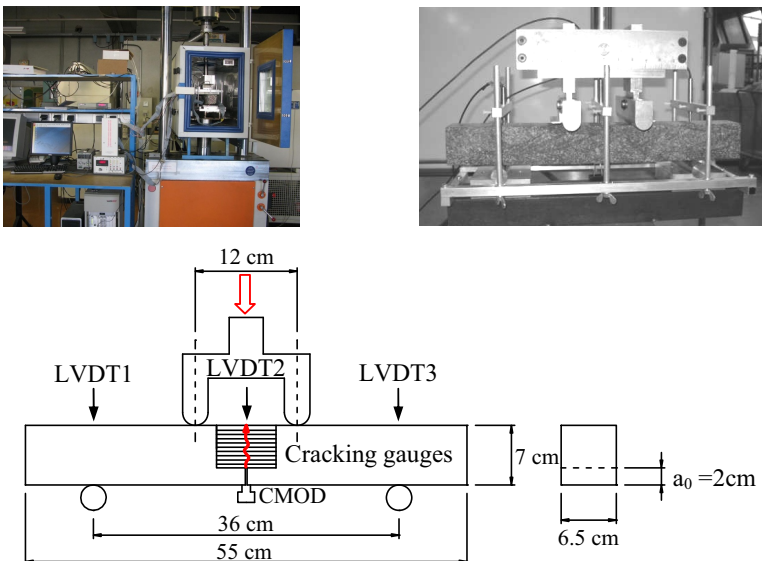
Cracking is one of the major distresses in asphalt pavements. The main causes of cracking include the road traffic (fatigue cracking...) and the climatic conditions (low temperature and temperature cycling). In this paper, the fatigue cracking of bituminous mixtures is investigated in mode one using a four point bending notched fracture (FPBNF) test. In the literature, few works are reported considering this kind of test for bituminous mixtures. Some results obtained on FPBNF test from our team were published recently [1-3]. Meanwhile, the fracture characteristics of bituminous mixtures have been widely investigated in mode one with other tests such as: the single edge notched beam (SENB) test [4-6], the semi-circular bending (SCB)

fracture tests [7-9] and the disk-shaped compact tension test [10]... One of the interests of the FPBNF test is that a constant moment field is created in the middle part of the beam.

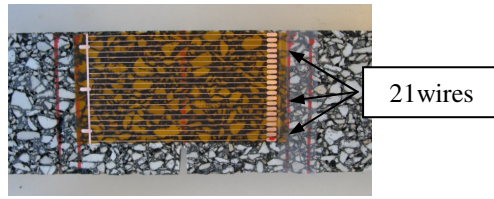
In this paper, the description of the FPBNF test with the different measurements made on the specimen are first detailed. Then, experimental campaign is presented. It includes tested material and considered special loading path. Lastly, the analysis of the test result is proposed. The validity or not of the Paris law [11] is checked in the two observed different domains: the first domain where existing crack progressively re-opens, the second domain where crack propagates.

## 2 Presentation of FPBNF Test

The FPBNF test was designed at ENTPE laboratory to investigate the cracking of bituminous mixtures (Figure 1) in mode one. The tested specimens are pre-notched prismatic beams (55 cm long, 7 cm high and 6.5 cm wide). A 2 cm high initial notch ( $a_0$ ) is made in the middle of the beam. During the test, the specimen is placed in a temperature-controlled chamber. A temperature sensor (PT100 type), which is fixed on the specimen, gives the temperature at the surface of the sample. The test is performed using a hydraulic press (INSTRON 1273). The chosen load cell has a capacity of 10kN. This system makes it possible to control either in load or displacement mode. During our experimental campaign, monitoring is made from the displacement of the piston.



**Fig. 1.** Four point bending notched fracture (FPBNF) test



**Fig. 2.** Cracking gauge glued on the specimen (one gauge on each side)

Three linear variable differential transducers (LVDT) measure vertical displacements on top of the beam: in the centre of the beam (LVDT2), and above the two lower supports (LVDT1 and LVDT3). Taking into account the punching effect at the supports, the “true” deflection of the beam  $f$  is obtained by Eqn. (1).

$$f = LVDT2 - \frac{LVDT1 + LVDT3}{2} \tag{1}$$

An extensometer, placed under the beam, is used to measure the crack mouth opening displacement (CMOD) of the notch. In order to follow the crack propagation, cracking gauges are also used (Figure 2). These gauges are constituted of 21 parallel wires separated each other by 2.5mm. These wires when breaking indicate the crack position. The used gauges are 8cm long and about 5cm high. One gauge is glued on each lateral faces of the specimen, so that the first wire is just over the initial notch.

### 3 Experimental Campaign

#### 3.1 Material and Specimens

The tested bituminous mixture is a “BBC” (“Béton Bitumineux Clouté” in French) according to the French classification (NF P 98-133). Aggregate is a 0/6 mm grading from “La Noubleau” quarry. Aggregates fraction content is given in Table 1. Grading curve is presented in Figure 3. Bituminous mixture contents 6.85% (aggregate weight) of pure bitumen (35/50 pen grade). The specimens 55 x 6.5 x 7 cm (Figure 1) were sawn from plate (600 x 400 x 11 cm) made using LPC plate compactor. The tested specimen has 3.5% (total volume) of air void. The pre-notch  $a_0$  (2 cm) is performed in two steps. Firstly, a circular saw gives a 1.5cm deep and 5mm wide notch. Then the notch is ended with care using a hacksaw. Thus, at the top of the notch width is about 1mm.

**Table 1.** Aggregates size of tested bituminous mixture

Aggregate type	La Noubleau 4/6	La Noubleau 2/4	La Noubleau 0/2
Percent in weight (%)	33.5	5.5	61

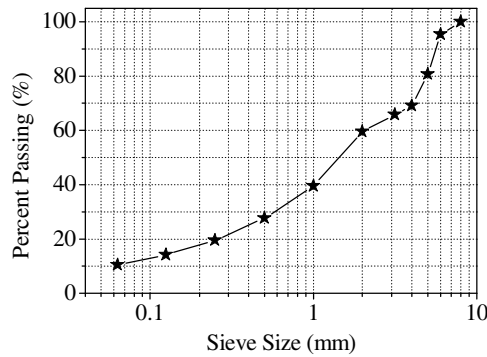


Fig. 3. Aggregates grading curve of tested bituminous mixture

### 3.2 Description of the Test

The four point bending test is performed with constant imposed displacement rate of the piston and at a constant temperature in the thermal chamber. The presented test is performed at  $-4.5^{\circ}\text{C}$  with 1mm/min displacement rate. A special loading path is considered as presented in Figure 4.

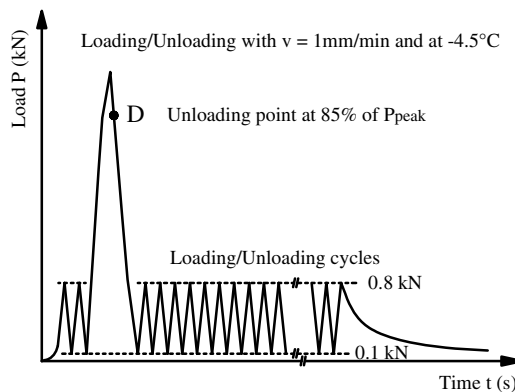


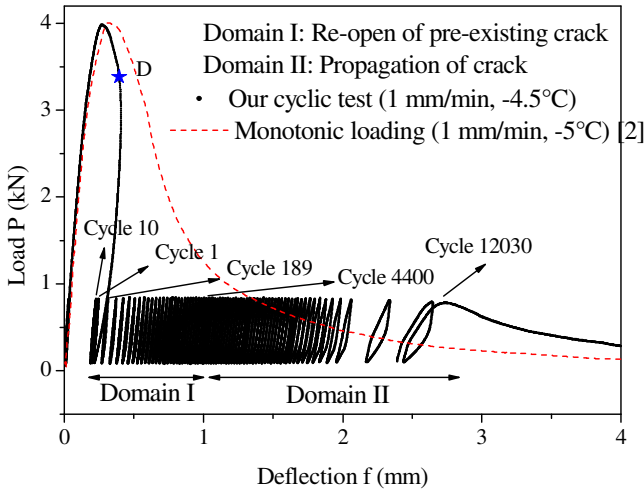
Fig. 4. Considered loading path

First, two small cycles of loading/unloading are carried out to allow setting of specimen inside the loading frame. Then, a monotonic loading is applied. Just after the peak (point D figure 4), the specimen is unloaded down to 0.1 kN. Then small loading/unloading cycles are carried out until the final failure of specimen. All loadings are applied at the same constant imposed displacement rate (1mm/min). The small cycles are between  $P_{\text{cycle\_max}} = 0.8\text{kN}$  and  $P_{\text{cycle\_min}} = 0.1\text{kN}$ .

## 4 Analysis of the Results

Figure 5 presents the result of the test in the axes load ( $P$ ) vs. deflection ( $f$ ) (Eqn. (1)). For legibility of the figure, not all cycles are presented. Excepted indicated

cycle number only one cycle every 190 cycles is plotted. The role of the first large monotonic loading at the beginning of the test is to create an initial crack in the beam that may heal when unloading. The healing phenomenon has been studied by several authors [12-14] but clear identification and modelling of this phenomenon remain to be improved.



**Fig. 5.** Loading vs. deflection, otherwise mentioned only one cycle on 190 is plotted. Two domains of crack evolution are observed.

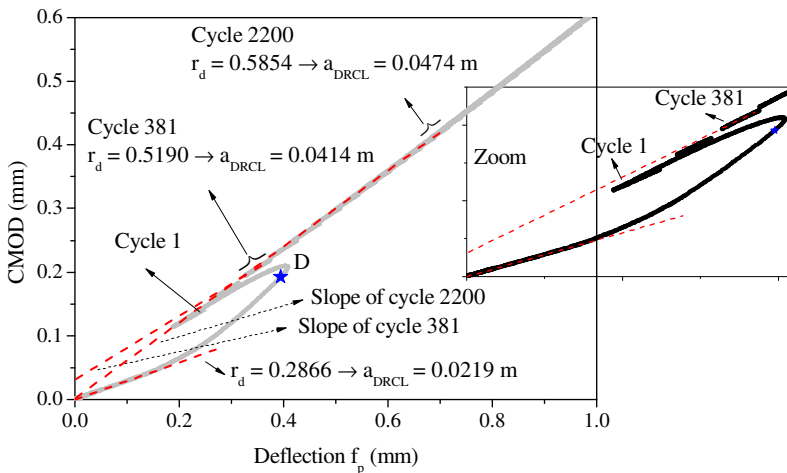
During the first 10 cycles, the maximum deflection of the beam is decreasing. Moreover, 189 small cycles are needed before the maximum deflection level reaches the deflection just after the first large unloading. Under the effect of small loading/unloading cycles, the crack propagates progressively. After cycle 10, two main different domains can be distinguished: the first domain where deflection difference between two cycles decreases (this may be a phase where pre-existing crack, created by the first large loading, progressively re-opens), the second domain where deflection difference between two cycles increases up to total failure (this may be explained by crack propagation of the pre-existing crack). These observations are Visible in Figure 5. The cycle 4400, where deflection difference between two consecutive cycles is minimum, is the boundary between the two domains I and II. As a comparison, a monotonic loading test performed at -5°C with 1mm/min displacement rate on a specimen of the same material and the same dimension, which is realized by our team [2], is also presented in the Figure 5.

### 4.1 DRCL Method for Back Calculation of Crack Length

The DRCL (Displacement Ratio method for predicting Crack Length) method is a new method, which makes it possible to back calculate the crack length during the



four point bending crack propagation test [1, 2]. This method has been developed at laboratory of University of Lyon/ENTPE. It is based on the relation between two measured displacements: the crack mouth opening displacement (CMOD) and the deflection of the beam ( $f$ ). In the axes CMOD- $f$ , the slope of the linear part at the beginning of each loading/reloading cycle is named  $r_d$ . Thanks to FEM calculation considering the hypothesis of isotropic linear elastic or viscoelastic behaviour, the DRCL method gives a relation between  $r_d$  and the crack length “ $a$ ” for a beam whose dimensions have been defined. Therefore, using this method, the crack length “ $a$ ” can be calculated from the experimental slope values “ $r_d$ ” measured in the test. Due to the limited space in this paper, the details of this method are not presented here. Reader can consult reference [1, 2] for more explanation. The great interest of the method is to be valid for linear viscoelastic materials.

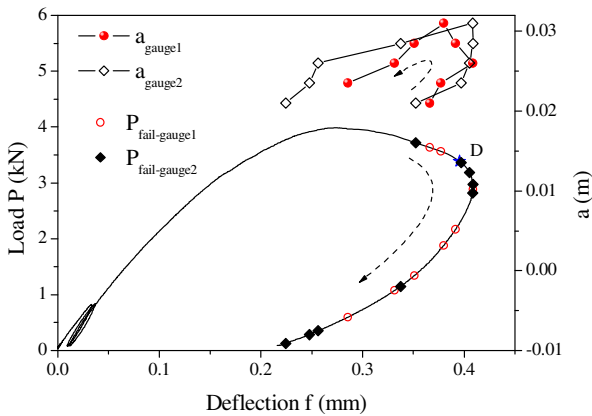


**Fig. 6.** Some values of crack lengths “ $a_{DRCL}$ ” (DRCL method) obtained from slope  $r_d$  determined from the CMOD- $f$  curve

Figure 6 presents some calculated crack lengths “ $a_{DRCL}$ ” values using DRCL method for some chosen cycles presented in axes CMOD vs. deflection. From the slope values of the linear part at the beginning of each loading/unloading cycle, the crack lengths “ $a_{DRCL}$ ” could be back calculated. The calculated value  $a_{DRCL}$  for the first loading at the beginning of the test is 2.19cm. One can observe that it is very close to the targeted initial pre-notch value ( $a_0 = 2\text{cm}$ ), which confirms the accuracy of the method and gives a validation of the approach. The results show that from cycle 2200, the curve of the loading part of the cycles is on a line passing through the origin (as a first approximation), which is not the case for the previous cycles. This remark also applies for other FPBNF tests realized at ENTPE.

### 4.2 Experimental Crack Length Obtained by Cracking Gauges and Comparison with DRCL method

Direct measurement of crack length is obtained from cracking gauges glued on each side of the specimen. The obtained crack length values are noted  $a_{gauge1}$  and  $a_{gauge2}$ . Results given by the two cracking gauges, for the first large loading/unloading cycle, are plotted in Figure 7. It can be seen that the crack length still increases for a while after the peak, even if load decreases. During the large unloading period, crack length given by the gauges decreases. This indicates that the crack mouth is closing but it does not give any information about healing of the two lips as no information on how the two lips are linked can be obtained at this step.



**Fig. 7.** Load and crack length given by the gauges during the first large loading/unloading cycle

Figure 8 presents a comparison between the crack lengths obtained from the two cracking gauges ( $a_{gauge}$ ) and from DRCL method ( $a_{DRCL}$ ). The measured crack length is slightly different on each side. The crack may also have a different evolution inside the beam. The observed difference between  $a_{gauge}$  and  $a_{DRCL}$  can be explained by the strength deformation of the gauge wires. Only macro-cracks having a minimal width can be detected. Therefore, the detection of crack is delayed comparing with  $a_{DRCL}$ . Moreover, before macro-crack propagation, an initiation phase exists where damage occurs and only micro-cracks develop [15] in front of the crack tip. The crack length obtained by DRCL method should be considered as the sum of the macro-crack and a fictitious crack representing the damage zone at the crack tip [1, 2]. The value of  $a_{DRCL}$  takes into account the effect of the damaged zone in front of the crack. A fitting curve is proposed in Figure 8 to approximate the evolution of  $a_{DRCL}$  as a function of the number of cycles.

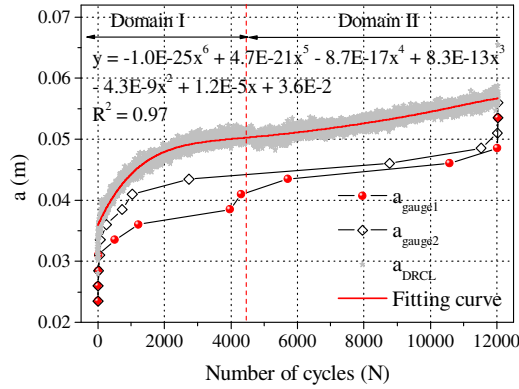


Fig. 8. Crack length during the small loading/unloading cycles

### 4.3 Validity of the Paris Law

The crack propagation fatigue laws relate the stress intensity factor range ( $\Delta K$ ) to crack propagation rate. The Paris law [11] is the most popular fatigue crack model. The expression of the Paris law in mode I is given by Eqn. (2).

$$\frac{da}{dN} = c(\Delta K_I)^m \tag{2}$$

Where  $N$  is the number of cycles,  $da/dN$  is crack length increase per cycle,  $c$  and  $m$  are constants.  $\Delta K_I = K_{I\ Pmax} - K_{I\ Pmin}$  is the difference between the stress intensity factor at maximum and minimum loads. Considering a linear elastic behaviour, the stress intensity factor in mode I is given in case of the four point bending fracture test by Eqn. (3) [16]:

$$K_I = \frac{3}{2} \frac{P(L-l)}{BW^2} Y(x) \sqrt{a} \tag{3}$$

Where  $Y(x)$  is a geometry factor;  $x$  is the relative crack length ( $a/W$ );  $L$  is the lower span of the beam;  $l$  is the upper span of the beam;  $B$  is the width of the beam;  $W$  is the height of the beam.  $Y(x)$  is calculated using FEM calculation [2, 16].

The variation of  $a$  in Eqns. (2) and (3) are given by the fitting curve of  $a_{DRCL}$  presented in figure 8. Figure 9 shows a plot of  $da_{DRCL}/dN$  versus  $\Delta K_I$  in logarithmic axes for the two identified domains. It can be seen that slope of the curve is opposed in the two domains. In domain I,  $da_{DRCL}/dN$  decreases with the increase of  $\Delta K_I$ . It is the same tendency as observed during initiation phase. In domain II,  $da_{DRCL}/dN$  increases with the increase of  $\Delta K_I$ . The results show that the Paris law can only be applied in the domain II, where crack propagates (see fitting curve in figure 9). The two obtained constants for Paris law (equation 2) are,  $c=7.11E-7$  and  $m = 0.83$ . It should be underlined that these constants are very sensitive to the chosen fitting curve for “a” (Figure 8).

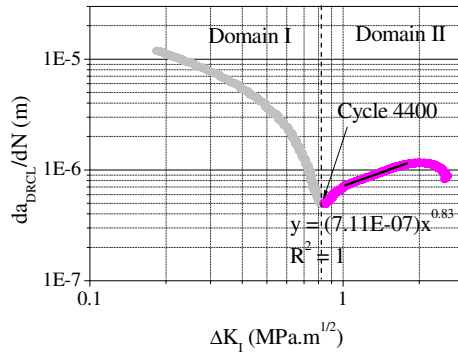


Fig. 9.  $da_{DRCL}/dN$  versus  $\Delta K_I$  for the tested material

### 5 Conclusions

The aim of the research presented in this paper is to characterize fatigue cracking of bituminous mixtures. A four point bending notched fracture (FPBNF) test designed at ENTPE laboratory is used. From the obtained results, the following conclusions can be drawn:

- The crack length is measured experimentally during the test with two cracking gauges. These values are compared with the one obtained from the DRCL (Displacement Ratio method for predicting Crack Length) back analysis method developed by our team. The results show the coherence between the two values and confirm the pertinence of DRCL method.
- In the presented test, two different domains of crack evolution are distinguished: the first domain where pre-existing crack progressively re-opens, the second domain where crack propagates.
- The Paris fatigue law could only be applied for the tested material in the domain where crack propagates.

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# Top-Down and Bottom-Up Fatigue Cracking of Bituminous Pavements Subjected to Tangential Moving Loads

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**Abstract.** A model allowing for the determination of bituminous pavement degradation on traffic circle is presented. The development work has relied on the viscoelastic modeling of bituminous pavements subjected to multiple-axle traffic loads, using the following variables: pavement structure, load speed (or frequency), load configuration, and bituminous materials temperature. The method derived has successfully simulated the phenomenon under investigation. Results obtained indicate that the design concept based on bending fatigue in bituminous layers is not sufficient to realistically predict the degradation of a bituminous pavement structure. The phenomenon of shear at bituminous interface must also be taken into account, as revealed by the simulation results for the degradation of two pavement structures from the French design code [10] (i.e. flexible pavement and thick asphalt pavement).

## 1 Introduction

Trucks driving over pavements across the world feature multiple axle configurations, ranging from a single axle up to 8 axles [1-2]. Motor vehicles with such configurations also cause pavement degradations, the extent of which has yet to be sufficiently assessed. To date, the relative intensity of multiple-axle loads has been determined through the application of Miner's law. From a material mechanics standpoint, the fatigue life expectancy of a bituminous layer is evaluated in the laboratory using a two-point flexural strength test (NF EN 12697-24), consisting of inserting into the small base of a trapezoid a tube embedded in its larger base. With this position, the set-up emits a continuous sinusoidal signal that helps determine the number of load cycles before failure occurs [3]. It is observed that the load signal shape affects the fatigue life expectancy of asphalt pavements [1-5].

Pavement structure materials are made to be subjected upon every truck crossing to both fast and short loadings. The accumulation of damage generated in these materials, which is reflected in a loss of stiffness, leads to fatigue cracking. The

objective of this paper is to develop a model which will allow determining the pavement degradations which occur on traffic circle. The work performed has been based on the following variables: type of pavement structure, load speed (or frequency), load configuration, and bituminous material temperature. Various moving loads configurations (single, dual standard (130-kN), tandem and tridem-axle loads) will be considered. The effects of tire loading on pavement degradation on traffic circle will be assessed by taking into account not only the vertical component, but also the centrifugal strengths (transverse component) and the effect of braking (longitudinal component). The viscoelastic law of the Generalized Kelvin-Voigt model, which has been incorporated into the Cast3M FE code [6], will be used to estimate the mechanical behavior of bituminous layers.

## 2 Bituminous Materials Behavior

The pavement structure is composed of two identical viscoelastic bituminous layers (BB: asphalt concrete and GB: asphalt gravel). Their complex modulus was measured in the LCPC Laboratory [7]. The complex modulus responses of the mixes measured in the laboratory were first replicated using the 2S2P1D model, which is a generalization of the Huet-Sayegh model [8]. In reference to the 2S2P1D model, the Kelvin-Voigt body values were fixed. Table 1 lists the Kelvin-Voigt parameters used for bituminous materials (BB and GB) [9].

**Table 1.** The Generalized Kelvin-Voigt model parameters at 20° and 30°C.

n°	BB			GB		
	E <sub>i</sub> (MPa)	η <sub>i</sub> (20°C) (MPa.s)	η <sub>i</sub> (30°C) (MPa.s)	E <sub>i</sub> (MPa)	η <sub>i</sub> (20°C) (MPa.s)	η <sub>i</sub> (30°C) (MPa.s)
	33500			31000		
1	1479000	9,15E-06	5,34E-07	1522500	1,04E-05	8,95E-07
2	1397400	8,65E-05	5,04E-06	1438500	9,79E-05	8,46E-06
3	1091400	6,76E-04	3,94E-05	1123500	7,65E-04	6,61E-05
4	705840	4,37E-03	2,55E-04	726600	4,95E-03	4,27E-04
5	433500	2,68E-02	1,57E-03	446250	3,04E-02	2,62E-03
6	265200	1,64E-01	9,57E-03	273000	1,86E-01	1,61E-02
7	159120	9,85E-01	5,74E-02	163800	1,12E+00	9,63E-02
8	90576	5,61E+00	3,27E-01	93240	6,35E+00	5,48E-01
9	46716	2,89E+01	1,69E+00	48090	3,27E+01	2,83E+00
10	20196	1,25E+02	7,29E+00	20790	1,42E+02	1,22E+01
11	7007	4,34E+02	2,53E+01	7214	4,91E+02	4,24E+01
12	1999	1,24E+03	7,22E+01	2058	1,40E+03	1,21E+02
13	495	3,06E+03	1,79E+02	509	3,47E+03	2,99E+02
14	99	6,12E+03	3,57E+02	102	6,93E+03	5,98E+02
15	22	1,33E+04	7,77E+02	22	1,51E+04	1,30E+03
16	216	1,34E+06	7,81E+04	223	1,52E+06	1,31E+05
17	733	4,54E+07	2,65E+06	755	5,14E+07	4,44E+06
18	19278	1,19E+10	6,96E+08	19845	1,35E+10	1,17E+09
19	504900	3,13E+12	1,82E+11	519750	3,54E+12	3,06E+11

## 3 The Pavement Model Considered for the Present Analysis

The French design code for pavement structures [10-11] proposes a set of sizes (materials and layers thicknesses) for pavement structures. This set is based on the

class of trucks traffic (TCi), the class of pavement foundation performance (PFi) and the road category. The mechanics associated with the material properties and layer thicknesses of the selected pavement structures are demonstrated in Figure 1.

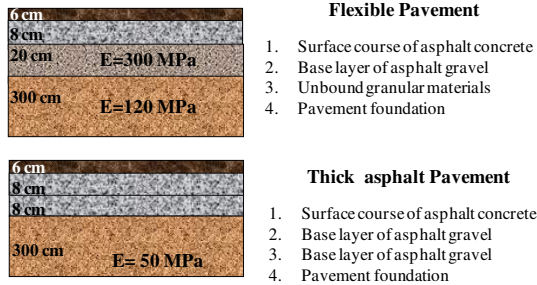


Fig. 1. The selected Asphalt pavements samples

### 3.1 Model Geometry and Mesh

Different types of loads can be considered in a pavement design. These are related to single, dual, tandem or tridem-axle loads. To take into account the effects of different configurations of loading, the French design method consists of modeling single or dual loads effects on an elastic pavement. The vertical contact stresses in this study equal 662 kPa (for the single, dual standard and tridem axle) and 535 kPa (for the tandem axle), centrifugal stress is 472 kPa and braking stress is 347 kPa.

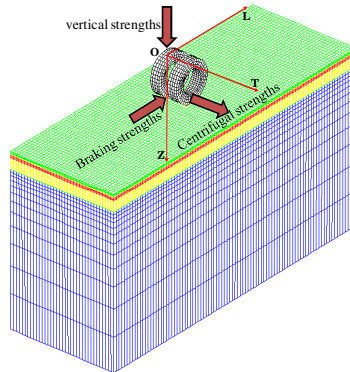


Fig. 2. The 3D FE model geometry for a dual standard

Effects of moving tire loading were estimated on traffic circle by taking into account not only the vertical component, but also the transverse component and the effect of braking (longitudinal component). The structures were modeled in 3D



by means of the Cast3M FE code [6]. The FE mesh employed and the modeled pavement geometry are both shown in Figure 2 (for a thick asphalt pavement). The eight-node hexahedral “brick” elements were used for the FE analysis. When using the dual axle configuration at 42 km/h in the thick asphalt pavement structure, the mesh contains 90,752 elements and a total of 98,338 nodes. The interfaces between pavement layers were assumed to be perfectly bonded.

### ***3.2 Moving Load Analysis and Boundary Conditions***

The considered pavement section was composed of homogeneous and isotropic elastic material layers (pavement foundation and unbound granular materials) as well as bituminous layers with linear viscoelastic behavior. The Generalized Kelvin-Voigt model was implemented for this purpose (its parameters were listed in Table 1). For each layer, Poisson’s ratio of 0.35 has been used. A mechanical calculation of this pavement structure has been performed in using small strains under a moving load at constant speed, over same time period as the OL axis (longitudinal axis) (Fig. 2). The pavement response due to a moving tire load has been estimated at 42 km/hr. The load duration depends on both the vehicle speed  $S$  and length  $l$  of the tire contact area. It is reasonable to assume that the load exerts practically no effect when it is located a distance of  $6l$  from the point under consideration. In this paper, the tire contact pressure is uniformly distributed over a rectangular surface area  $270 \times 184 \text{ mm}^2$ , with the inter-axle distance equal to 1.35 m for multi-axle configurations. The vertical, transverse and longitudinal displacements of the bottom plane of the model (Fig. 2) are fixed. The nodes of both sides of the L-Z plane, which transversely limit the model, are constrained relative to the T axis (transverse axis), and the movements of both transverse T-Z planes are constrained with respect to the L axis (longitudinal axis).

## **4 Pavement Response and Damage Analysis**

In this section, the effects of loading a moving tire on the pavement will be discussed. These results have been derived from the simulation run on Cast3M [6]. The numerical calculation resulting from the simulation of single, dual, and multiple-axle loading conditions will then be given. For the elastic calculus, viscoelastic effects due to asphalt materials are taken into account only through an equivalent elastic modulus, which is determined from complex modulus test. The values of frequency of request are computed from the signals of longitudinal strain at the bottom of the Bituminous Gravel (GB) in the single wheel path. The period  $T$  is measured between both peaks in contraction of the longitudinal signal [12] (see figure 3). The frequency determined by this method is equal to 8 Hz [9]. It corresponds to a vehicle speed of 42 km/h at a reference temperature. This frequency was determined in the thick asphalt pavement at a depth of 22 cm from

the surface of pavement. The equivalent elastic modulus obtained for the reference temperatures (20 and 30 °C) and a frequency of 8 Hz are the followings:

- BB at a frequency of 8 Hz: E=6000 MPa at 20 °C; E=1300 MPa at 30 °C.
- GB at a frequency of 8 Hz: E=6000 MPa at 20 °C; E=2000 MPa at 30 °C.

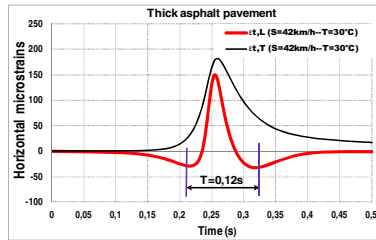


Fig. 3. Frequency measured between both peaks in contraction of the longitudinal signal at the bottom of the GB layer

### 4.1 Numerical Calculation Results

Figure 4 presents the horizontal strain signals at the bottom of the GB3 layer for tridem axle configuration. These results are simultaneously obtained thanks to a viscoelastic and elastic calculus on Cast3M. The signals of the viscoelastic calculus are expressed according to the speed (S) and to the temperature (T) whereas those of the equivalent elastic calculus are expressed according to the frequency (f) and the temperature. Furthermore, the slowing in the recovery of transverse strain cannot be predicted by the elastic model. This delay is ascribable to viscoelasticity, as illustrated by the experimental results, which clearly indicate that bituminous material viscoelasticity needs to be taken into account in order to generate a more realistic simulation of strains produced by loads moving at low speed on flexible pavements.

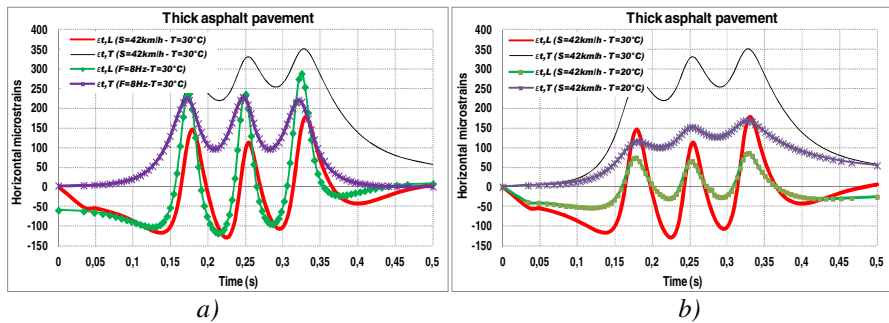
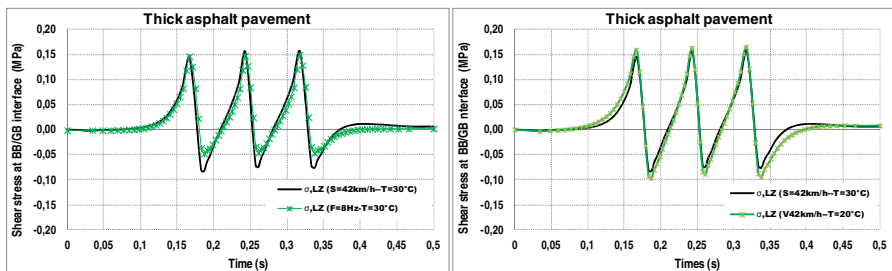


Fig. 4. Computed longitudinal ( $\epsilon_{L}$ ) and transverse ( $\epsilon_{T}$ ) strains at the bottom of the GB layer (for a thick asphalt pavement) for the tridem axle configuration

The main modifications brought about by the viscoelastic modeling with respect to the elastic modeling (Fig. 4-a) are the following: the maximal amplitudes of transverse strain signals are higher than the longitudinal (thick asphalt pavement), the viscoelastic signals are dissymmetrical, the amplitudes of maximum strain obtained by the viscoelastic modeling are obtained after the middle of the load crosses the point of measure, this occurs as later as temperature is increased. Along the length direction, the area of strain distribution increases as the temperature drops (Fig. 4-b). The loading time gradually decreases as the number of axles rises. Figure 5 displays computed longitudinal shear stresses of the BB/GB interface layer for the tridem axle configuration. The latest fatigue test studies bituminous material behavior in either bending or tension-compression. At the present time, the design concept based solely on bending fatigue is insufficient to realistically predict the fatigue life expectancy of a pavement structure; the phenomenon of shear at the BB/GB interface must also be taken into account. At this interface, the maximum shear stress develops in the bituminous pavement structure [13]. To date, identifying the fatigue behavior of pavement structures by the bending of bituminous layers has been preferred, as well as by the shear at the BB/GB interface. These two approaches complement each other in deriving a global prediction of the fatigue life expectancy of pavement structures.



**Fig. 5.** Computed longitudinal ( $\tau_{LZ}$ ) shear stresses at the BB/GB interface (thick asphalt pavement) for the tridem axle configuration

The results presented in this section reveal that both the quantification and qualification of the strains and shear stress signal depend on the loading history experienced by the pavement structure. The developed method indicates that the intrinsic magnitudes helping to characterize strains and shear stress signals are not necessarily constant, but instead functions of the following main parameters:

- pavement structure (material behavior, thickness and stiffness of pavement layers, temperature, type of interface between layers, etc.);
- load configuration (intensity, type of wheels, axles, etc.);
- load speed (or frequency).

It would be possible to list all these parameters affecting the bituminous behavior of a pavement structure, but it would not be realistic to separate them should the goal be to improve the knowledge of the structural behavior since take place between these various parameters.

### 4.2 Damage Analysis

Characterizing the bituminous pavement damage caused by multiple-axle loads requires both the quantification and summation of pavement responses. The Load Equivalent Factor (LEF) is defined as the damage of an axle group relative to the damage of a dual standard axle (130-kN). In this paper, the LEF has been calculated according to the strain area method. This method has been developed by Chatti and Hajek [1-2,14] (Equat. 1 and 2).

$$LEF = \frac{Damage_{Axle.j}}{Damage_{standard..axle.s}} = \frac{\int_0^t |\epsilon_j^{ni}| dt}{\int_0^t |\epsilon_s^{ni}| dt} \quad (1) \qquad LEF_\tau = \frac{\int_0^t |\tau_j^{ni}| dt}{\int_0^t |\tau_s^{ni}| dt} \quad (2)$$

$\epsilon_{s,j}$  is the horizontal strain acting upon the dual standard axle  $s$  (respectively axle  $j$ );  $t$  is the time, if this strain is expressed in the time domain, or the distance, if the strain is expressed in the space domain;  $\tau_{s,j}$  is the shear stress at the BB/GB interface subjected to the dual standard axle  $s$  (respectively axle  $j$ ); and  $n_i$  is the integration method exponent ( $n=1$  in this paper).

Table 2 presents the LEF of loading of a moving tire on the bituminous pavement structure on traffic circle. It is determined by using the relationship 1 and 2.

**Table 2.** The LEF on the GB3 layer and  $LEF_\tau$  at the BB/GB interface

Axle	Single	Dual standand (130 kN)	Tandem	Tridem
$LEF$	0.86	1.00	1.61	2.36
$LEF_\tau$	0.85	1.00	1.32	1.80

### 4.3 Towards a Proposition of a New Fatigue Law of Multi-axle Configurations

The current law, which verifies the fatigue of bituminous layers in bending, as defined by the relationship in (Equat. 3), does not strictly allow taking into account all parameters influencing pavement structure behavior, as presented in the Section 4.1.

$$\log(N_f) = a \cdot \log(\epsilon_t) + b \quad (3)$$

A new fatigue law has therefore been adopted. Such a law overcomes the gaps in the current fatigue law for multi-axle configurations; it uses both the LEF (Equat. 1) and strain amplitude as inputs. For these special configuration, the critical strain will represent the maximum peak in tension. The expression of this new fatigue law is given by the relationship (4). Similarly, a new fatigue law to identify cracking occurring at the interface is given by the relationship (5).

$$N_f = \frac{1}{LEF} \cdot \left(\frac{\varepsilon_t}{a}\right)^{\frac{1}{b}} \quad (4)$$

$$N_{f,i} = \frac{1}{LEF_\tau} \cdot \left(\frac{\tau_{max}}{a}\right)^{\frac{1}{b}} \quad (5)$$

### 4.4 Predictions of Pavement Lives

The French design method [10] uses the following fatigue criterion on bituminous layer:

$$\varepsilon_t = \varepsilon_6(10^\circ C, 25Hz) \left(\frac{E(10^\circ C)}{E(T_{eq})}\right)^{0,5} \cdot \left(\frac{N_f}{10^6}\right)^b \cdot k_c \cdot k_r \cdot k_s \quad (6)$$

Similar to the fatigue cracking model, the interface fatigue model can be written as follows [15-17]:

$$\tau = \tau_6 \left(\frac{K_s(10^\circ C)}{K_s(20^\circ C)}\right)^{-1,697} \cdot \left(\frac{N_{f,i}}{10^6}\right)^{-0,223} \quad (7)$$

with:  $\begin{cases} (10^\circ C) : \tau_6 = 0,36MPa; K_s = 104MPa / mm \\ (20^\circ C) : \tau_6 = 0,13MPa; K_s = 57MPa / mm \end{cases}$

The damage is computed as the inverse of the fatigue life expectancy as shown in equation 8.

$$D = \frac{1}{N_f} \quad (8)$$

Tables 3, 4 and 5 present the results of fatigue life and damage on pavement structure analyzed.

**Table 3.** Fatigue life and damage of the GB layer in the thick asphalt pavement

Axle configuration	Temperature 20°C		Temperature 30°C		Temperature 20°C		Temperature 30°C	
	Viscoelastic calculus (vehicle speed:42km/h)				Elastic calculus (Frequency: 8Hz)			
	$N_f$	$(1/N_f)$	$N_f$	$(1/N_f)$	$N_f$	$(1/N_f)$	$N_f$	$(1/N_f)$
Single	2.06E+5	4.85E-6	8.61E+4	1.16E-5	5.34E+4	1.87E-5	5.56E+4	1.80E-5
Dual standand (130kN)	2.65E+4	3.78E-5	1.56E+4	6.42E-5	1.31E+4	7.65E-5	3.60E+3	2.78E-4
Tandem	2.25E+4	4.44E-5	8.15E+3	1.23E-4	4.28E+4	2.34E-5	9.00E+3	1.11E-4
Tridem	1.65E+4	6.07E-5	6.84E+3	1.46E-4	1.08E+5	9.25E-6	1.80E+4	5.55E-5

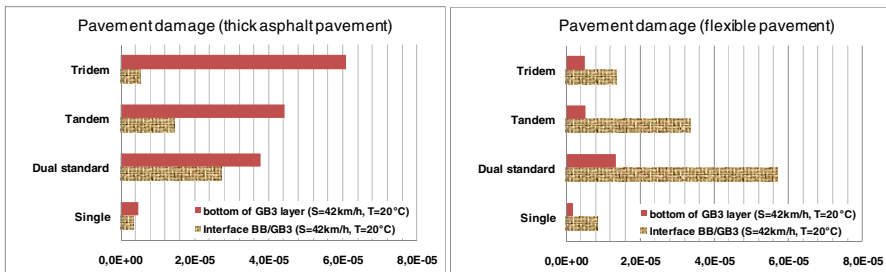
**Table 4.** Fatigue life and damage of the GB layer in the flexible pavement

Axle configuration	Temperature 20°C		Temperature 30°C		Temperature 20°C		Temperature 30°C	
	Viscoelastic calculus (vehicle speed:42km/h)				Elastic calculus (Frequency: 8Hz)			
	$N_f$	(1/ $N_f$ )	$N_f$	(1/ $N_f$ )	$N_f$	(1/ $N_f$ )	$N_f$	(1/ $N_f$ )
Single	5.14E+5	1.95E-6	8.30E+5	1.20E-6	2.96E+5	3.38E-6	5.11E+5	1.96E-6
Dual standand (130kN)	7.41E+4	1.35E-5	3.05E+5	3.28E-6	2.27E+4	4.40E-5	4.28E+4	2.34E-5
Tandem	1.92E+5	5.20E-6	3.90E+5	2.57E-6	4.45E+4	2.25E-5	6.00E+4	1.67E-5
Tridem	1.98E+5	5.06E-6	5.51E+5	1.81E-6	8.61E+4	1.16E-5	1.40E+5	7.15E-6

**Table 5.** Fatigue life and damage at the BB/GB interface in the bituminous pavement structures

Axle configuration	Viscoelastic: 42km/h		Elastic: 8Hz		Viscoelastic: 42km/h		Elastic: 8Hz	
	Thick asphalt pavement (temperature 20°C)				Flexible pavement (temperature 20°C)			
	$N_f$	(1/ $N_f$ )	$N_f$	(1/ $N_f$ )	$N_f$	(1/ $N_f$ )	$N_f$	(1/ $N_f$ )
Single	2.75E+5	3.63E-6	3.06E+5	3.27E-6	1.15E+5	8.66E-6	9.96E+4	1.00E-5
Dual standand (130kN)	3.68E+4	2.72E-5	3.62E+4	2.76E-5	1.75E+4	5.73E-5	1.46E+4	6.85E-5
Tandem	6.95E+4	1.44E-5	8.20E+4	1.22E-5	2.98E+4	3.36E-5	2.83E+4	3.53E-5
Tridem	1.89E+5	5.28E-6	2.17E+5	4.60E-6	7.32E+4	1.37E-5	7.16E+4	1.40E-5

Figure 6 clearly shows the level of global damage of the pavement structures on traffic circle. For the thick asphalt pavement structure, the mode of main damage is the “bottom-up cracking” type; whereas the “top-down cracking” damage is the type displayed for the flexible pavement. To date, identifying the fatigue behavior of pavement structures by the bending of bituminous layers has been preferred, as well as by the shear at the BB/GB interface. These two approaches complement each other in deriving a global prediction of the fatigue life expectancy of pavement structures.



**Fig. 6.** Pavement damage

## 5 Conclusion

The objective of this paper was to develop a model allowing the determination of damage of bituminous pavement on traffic circle. The parameters input to simulate the above fatigue were: pavement structure, load speed (or frequency), load configuration, and bituminous material temperature. The method developed in this paper has successfully replicated the observed phenomenon. This paper has also shown that the design concept based solely on the bending fatigue of asphalt layers may not be sufficient to predict damage. Moreover, shear fatigue phenomena at the

BB/GB interface must be taken into account. These two approaches complement each other for a global prediction of the fatigue life expectancy of the bituminous pavement structures.

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# Fatigue Performance of Highly Modified Asphalt Mixtures in Laboratory and Field Environment

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**Abstract.** High levels of SBS polymer modification lead to a bituminous binder with improved resistance to both rutting and fatigue cracking. Beam fatigue and modeling predict that, using this binder, pavement thickness can be reduced and still achieve equal or superior long term performance. To test this, a section at the National Center for Asphalt Technology (NCAT) was paved using a binder with a nominal grade of PG 88-22 for all three lifts. This pavement was constructed in August 2009 at a thickness of 145 mm compared to a standard thickness of 180 mm for the control and other pooled-fund study sections. In August 2010 an unrelated section that experienced failure was rehabilitated with a similar structure. This paper reports beam fatigue analysis and modelling and compares the results with observed field performance over both structurally sound and weak subgrades. The beam fatigue data predicts a very high endurance limit for the mixtures. Although conclusions are premature, to date neither structure shows surface distress.

## 1 Introduction

As traffic loadings increase and budgets for construction and maintenance shrink, agencies and researchers look for innovative ways to design and build asphalt pavements that have lower initial construction costs and longer lifetimes to achieve overall reduction in life cycle costs. Polymer-modified asphalt (PMA) is a well-established product for improving the effectiveness of asphalt pavements. In particular, styrene-butadiene-styrene (SBS) polymers are commonly used to improve permanent deformation resistance and durability in wearing courses. [1, 2] Use of SBS in intermediate and base courses has been limited due partly to the perception that base courses, with narrower temperature spans than surface courses, do not need modification. However, the ability of SBS polymers to resist fatigue cracking could, in theory, be used to reduce the overall cross-section of a flexible pavement. This is of particular importance for perpetual pavements that often feature high-modulus intermediate asphalt layers and fatigue-resistant

bottom layers. There is a need for materials that have enhanced fatigue characteristics and can carry load more efficiently through a reduced cross-section.

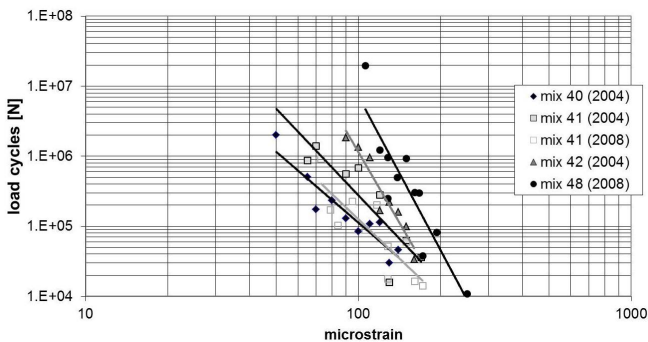
At loadings of 2½ to 4%, SBS polymers give a significant increase in permanent deformation resistance which is well-recognized. However, the increase in toughness and durability is more modest. However, at higher loading, 7 to 8% SBS, the bitumen-polymer blend undergoes a phase inversion so that the polymer becomes a fully continuous phase. This paper outlines an experimental program to test, model, and field validate the performance of highly modified bitumen for both permanent deformation and cracking resistance.

## 2 Early Work

The initial work in this program was carried out by Road and Railway Engineering section and the Structural Mechanics section at the Delft University of Technology. Material testing comprised two parts: four-point bending beam fatigue studies, and monotonic, uniaxial tensile and compression tests to develop model parameters. [3-5]

### 2.1 Material Testing

An overview of beam fatigue test results is presented in Figure 1. All mix designs were the same as was the base bitumen, the only variable being the exact type and loading of SBS polymer.

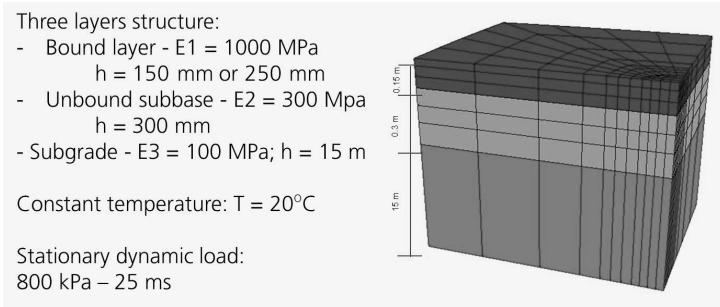


**Fig. 1.** Beam Fatigue Test Results

The impact of polymer loading is quite significant. Mix 40 is unmodified. Mixes 41 and 42 contain 6% SBS, while mix 48 contains 7.5%. Based on these data alone, one might expect one to two orders of magnitude in fatigue life going from unmodified to 7 to 8% loading.

### 2.2 Finite Element Modelling

Concurrently, modelling work was conducted using the designs shown in Figure 2. The designs compare the performance of monolithic bound layers over structurally sound subbase and subgrade. The thickness of the unmodified control was 250 mm while the highly modified section was 150 mm for a 40% reduction in thickness.



**Fig. 2.** Model Pavement Structures and Test Parameters

Modelling was conducted with the Delft Asphalt Concrete Response (ACRe) 3-D finite element continuum damage model. Initial data was fitted to a Schapery model using tensile strength, fracture energy and compliance slope. Some of the results are shown in Table 1.

**Table 1.** Calculated Fatigue Model Parameters – m based on E\* vs. frequency

Modification Type	A-ratio Improvement (m)
48- experimental SBS	68 (0.38)
42 – standard SBS	8 (0.38)
41 – standard SBS	2 (0.41)

The fatigue theory was well in line with the bending beam material test results, with one to two orders of magnitude improvement.

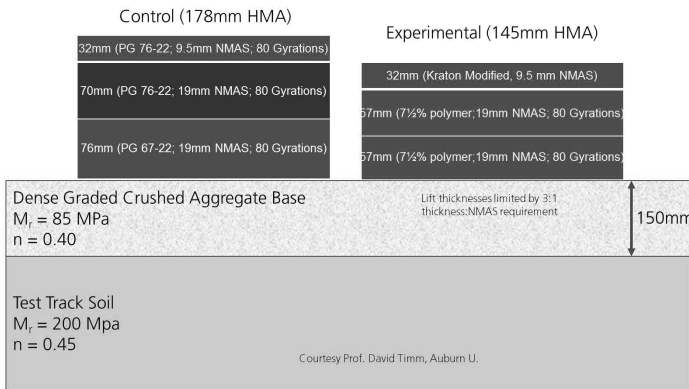
A series of advanced modelling studies were conducted utilizing single point loading simulation, then full wheel track loading simulation. Some results from the wheel track loading are shown in Table 2. Both deformation and cracking damage are reduced, on average, by about a factor of two, despite the fact that the highly modified pavement was 40% thinner than the unmodified pavement.

**Table 2.** Comparative Damage Calculated from Wheel Track Model

Distress	250 mm unmodified	150 mm highly modified
Shear deformation	2.05E-2	0.78E-2
Compressive deformation	1.27E-2	0.70E-2
Longitudinal cracking	1.31E-3	0.02E-3
Vertical cracking	7.72E-4	4.41E-4
Transverse cracking	8.65E-4	0.79E-4

### 3 NCAT Sections

In 2009, two test sections (Figure 3) were constructed as part of a field experiment to validate using high polymer mixtures. [6] Each section was built using three asphalt layers. The primary differences between the two test sections were the amount of polymer used in the mixtures and the overall pavement thickness. One section was built 145 mm thick using two High Polymer Mixes (HPM) while the second test section was built 178 mm thick using conventional asphalt concrete.



**Fig. 3.** Pavement Structures for NCAT Control and Experimental Section N7

Also in 2009, a third section was rehabilitated. [7] This section was part of a 2006 experiment to determine perpetual pavement thickness over a weak subgrade using conventional PG 67 and PG 76 binders. The 356 mm pavement is still performing well, but the 254 mm pavement had failed at the end of the 2006 cycle. In 2009 it was rehabilitated with a 127 mm mill and inlay, but ten months later the inlay was cracked through and had 30-35 mm ruts extending into the subgrade.

To stabilize the section through the rest of the 2009 cycle, NCAT and Oklahoma elected to try a highly modified structure. This provided an excellent head-to-head comparison with adjacent highly modified sections, one over a strong base structure

and one over a weak base. In the latter case, there was discussion over the optimum design hinging on the most important distress. For the remaining life of the section, was it more important to mitigate cracking or rutting? In the end, Oklahoma opted for a finer, richer mix (Figure 4) for the bottom lift to mitigate reflective cracking at the (hopefully minor!) cost of slightly reduced modulus.

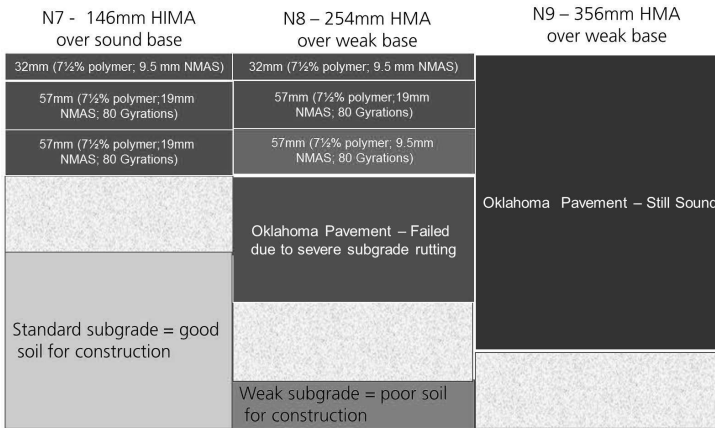


Fig. 4. Pavement Structures for Experimental Rehabilitation Section N8

### 3.1 Material Testing

Extensive material testing has been conducted on the asphalt mixtures in this study. [8] The HPM binder in N7 and N8 graded at approximately PG 88-22. Bending beam fatigue testing was conducted on the two base mixtures constructed in the control and the HPM test sections in accordance with AASHTO T 321-07. Nine beams were fabricated for fatigue testing to  $7 \pm 1$  per cent air voids. Three beams of each mixture were tested at both 400 and 800 microstrain, but due to the fatigue performance of the HPM, the remaining three HPM beams were tested at 600 microstrains while the control beams were tested at 200 microstrains.

AASHTO T 321-07 was used to define beam failure as a 50% reduction in beam stiffness in terms of number of cycles until failure. Normally, the test would run to approximately 40% of initial stiffness, but as a factor of safety and to ensure a complete data set, the beams for this project were allowed to run until the beam stiffness was reduced to 25% of the initial stiffness. Upon finding the cycles to failure at three different strain magnitudes, the fatigue endurance limit was calculated for each 19 mm mix design using the NCHRP 9-38 procedure. [9] Test results are shown in Figure 5.

The difference between the average fatigue life of the control mixture to that of the HPM at two strain levels tested in this study was determined using the failure criterion (50% reduction in beam stiffness). This information helps evaluate important aspects of the material behavior shown in Figure 5 as follows:

- At the highest strain magnitude, the HPM was able to withstand almost four times more loading cycles than the control mixture.
- At 400  $\mu\epsilon$ , the average fatigue life of the HPM was greater than the control mixture. The average cycles until failure for the control mixture was 186,000 and while the HPM averaged 6,000,000 loading cycles.

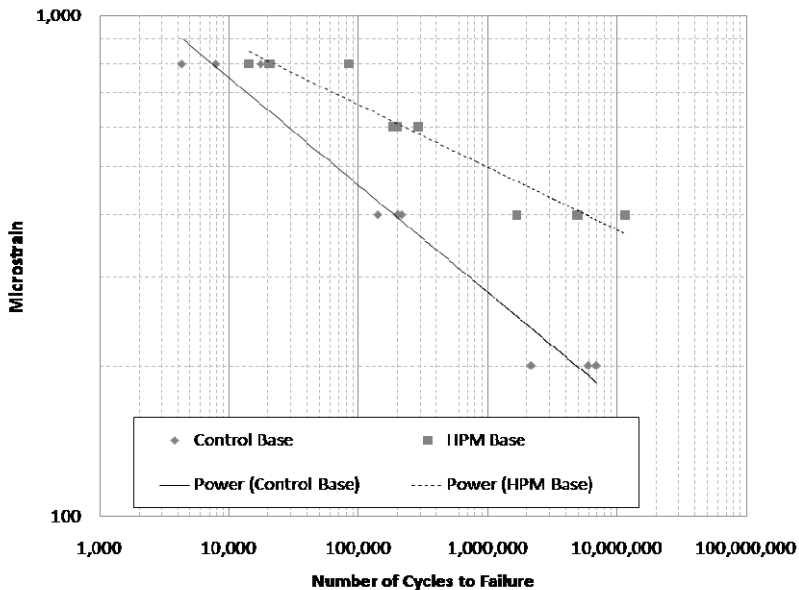


Fig. 5. Beam Fatigue Test Results [8]

The 95 percent one-sided lower prediction of endurance limit for each of the two mixes tested in this study based on the number of cycles to failure was determined in accordance with AASHTO T 321-07. The procedure for estimating the endurance limit was developed under NCHRP 9-38. The calculated fatigue endurance limit for the control base mixtures was 77 microstrain while the endurance limit for the HPM base mixtures was 231. Thus, the HPM base mixture could theoretically withstand three times the strain magnitudes without accruing damage when compared to the control base mixture.

### 3.2 Conventional and S-VECD Modelling

Advanced modelling and beam fatigue endurance limit determinations both indicate very good fatigue performance for these mixes. However, both procedures are costly and time consuming and so are difficult to implement for routine pavement analysis and design. A straightforward analysis using the Mechanistic Empirical Pavement Design Guide (MEPDG) methodology [10] is shown in Figure 6.

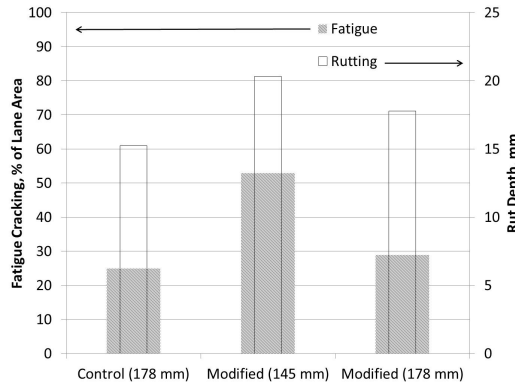


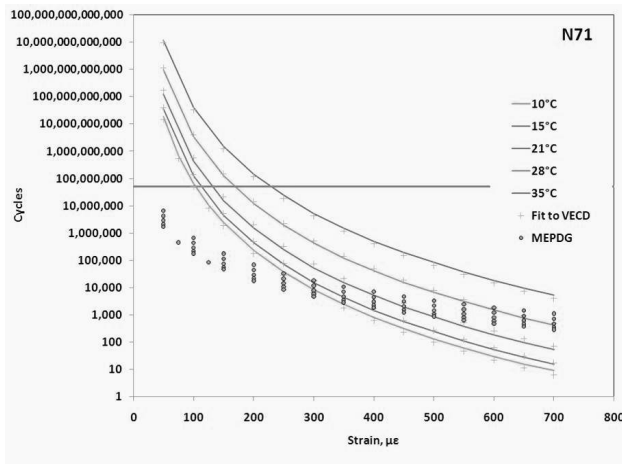
Fig. 6. MEPDG Modelling Using Conventional Calibration Factors

In its current form, the MEPDG relies on a layered elastic model for distress development. The dynamic modulus mastercurve is the only material input data so fatigue response is assumed. Without adjusting calibration factors to account for the improved fatigue performance, the MEPDG actually predicts poorer performance for the HPM pavement than the control. The calibration factors may readily be adjusted, but that reverts to advanced testing/modelling to determine appropriate values.

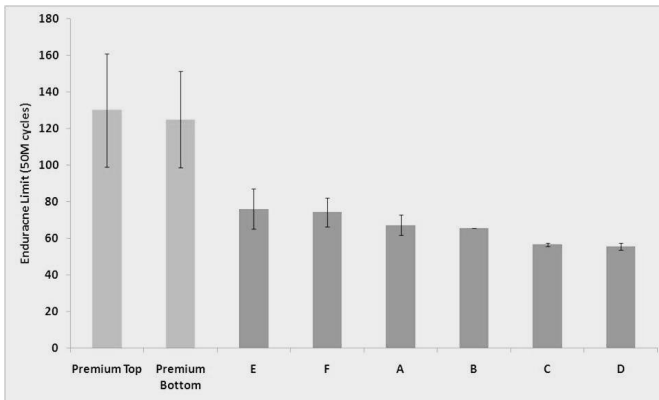
Several researchers have been working to develop a happy medium, models that will recognize and correctly attribute a broader range of material properties yet will require simpler input and execution. In particular, the research group at North Carolina State University has developed a Simplified Viscoelastic Continuum Damage (S-VECD) model [11-13] which requires only straightforward input data and is readily executable.

Material test data from several of the NCAT 2009 pooled fund sections was developed by NCAT and by the FHWA Turner-Fairbank Highway Research Center laboratory. The results of the S-VECD analysis are shown in Figures 7 and 8. [14]

The S-VECD model also predicts a higher endurance limit, roughly double the average value for the various conventional structures. ACRE, beam fatigue and S-VECD all predict improved performance to varying degrees. It is now up to field performance to determine the relative accuracies.



**Fig. 7.** Calculated Fatigue Performance with S-VECD Model [14]



**Fig. 8.** Endurance Limit (50MM cycles) from Range of Temperatures [14]

### 3.3 Field Performance to Date

To date, both Sections N7 and N8 are performing well. Both sections exhibit minimal rutting, < 2 mm, about one third of the control. Section N7 has no cracks, but none of the sections in the pooled fund group has cracked. At this point we can simply state that this thinner, highly modified section is showing superior rutting resistance. There is not yet any discrimination on cracking. Section N8 recently developed its first crack (Figure 9) at 5.27 MM ESALS. The 2009 rehabilitation first developed a crack at 2.7 MM ESALS. It is early to draw conclusions, but if deterioration of Section N8 proceeds at the same sort of extended rate as initial crack formation, then the lifetime of the HPM rehabilitation will exceed the lifetime of conventional high performance rehabilitation by a factor of two.





**Fig. 9.** Photo of N8 Highly Modified Rehabilitation at 5.27 MM ESALS

## 4 Conclusions

- Highly SBS-modified asphalt mixes demonstrate improved fatigue performance in modelling, material testing and, by early data, field performance, which may allow thickness reduction in pavement design.
- An advanced 3-D finite element model, ACR<sub>e</sub>, and a simplified continuum damage model, S-VECD, both predict improved fatigue performance in line with beam fatigue predictions.
- While the field test is not complete, the preliminary observation of first crack appearance at about twice the time for the conventional structure is in line with the modelling and beam fatigue results.

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# A Multi-linear Fatigue Life Model of Flexible Pavements under Multiple Axle Loadings

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**Abstract.** The fatigue damage of a pavement under repeated traffic loadings is a key issue for pavement design. At the material scale, the fatigue performance of asphalt mixtures can be assessed with laboratory tests. The standard fatigue test consists of the application of a continuous sinusoidal signal on the specimens and enables to write the fatigue life as a function of the strain level. Real loadings are more complex. Additional parameters may therefore have an influence on the fatigue life of bituminous mixtures. A methodology for a better calculation of the fatigue life of asphalt pavements is developed. It couples a structural approach and a material-based approach. This paper presents the material-based approach of the methodology. A database of laboratory fatigue tests with complex loadings has been built. An experimental plan whose variables are the independent shape parameters characterising a loading signal is defined and the synthetic complex loading signals constructed. The results of the fatigue tests enabled the calibration of a multi-linear fatigue model where the fatigue life is a function of the independent shape parameters characterizing the loading signal. Coupling the multi-linear fatigue model with a pavement model enables the calculation of the fatigue life of a pavement under different loading conditions.

## 1 Introduction

In France, main road pavements structures are designed to have a service life of 20 to 30 years. The fatigue performance of asphalt mixtures is a parameter for pavement design. At the material scale, the fatigue life of bituminous mixtures is determined in the laboratory. The standard fatigue test in Europe is the 2-point bending fatigue test. It consists of the application of a continuous sinusoidal signal on the top of a trapezoidal specimen clamped at its large base and the computation of the number of application of loading cycles before failure characterised by the loss of half of the initial stiffness. Different fatigue tests at different strain levels enable fitting the fatigue relationship of the mixture which is a function of the

strain level, the only variable in the case of laboratory fatigue tests. The sinusoidal signal applied in the laboratory imitates the effect of a single wheel. Heavy trucks usually have multiple axle configurations, tandem (2 axles) and tridem (3 axles) in France and up to 8 axles in the international context [3]. In addition, it is environmentally beneficial to reduce the number of heavy trucks on pavements by increasing their weights for it reduces the emission of toxic gases in the atmosphere. Nevertheless, this results in longer trucks with multiple axle configurations. In the French design method, the effect of multiple axle loadings is simplified and taken into account via load equivalency factors using Miner's Law. Loading signals under multiple axle configurations measured at an experimental pavement differ in shape from the sinusoidal signal [6]. Additional parameters may therefore have an influence on the fatigue life of bituminous mixtures. The establishment of taxes on heavy weights makes it important to compute the effect of heavy weights on the fatigue life of asphalt pavements in a more accurate way.

The best way to assess the fatigue life of flexible pavements under multiple axle configurations is field measurements [11] or experimental pavement data. It is however difficult to find similar pavements cross sections subjected to significantly different axle configuration distributions and fatigue data using accelerated pavement testing with different axle configurations are not available. Several authors studied in the laboratory the effect of the loading shape on the fatigue life of bituminous mixtures by applying different loading signals (triangular, double-peak, rectangular, sinusoidal with different frequencies) on the specimen [1, 3, 5, 8 and 9]. In these tests, different shape factors varied at the same time (the loading area, the duration near the peak, the loading duration, number of peaks...). It was therefore impossible to compute the effect of each shape parameter on the fatigue life. In addition, all the loading signals applied in the laboratory were different in shape from real loading signals. This paper presents a method aiming at a better computation of the fatigue life of asphalt pavements under multiple axle loadings.

## 2 Methodology

The methodology proposed here for the computation of the fatigue life of asphalt pavements under real loading signals couples a structural approach and a material-based approach. It is illustrated in Figure 1, each step having a number. The structural approach consists of the characterisation of a database of measured loading signals with a set of shape factors (1) and the computation of the independent shape parameters (2) that characterise a real loading signal by means of a principal components analysis (PCA). The independent shape parameters are the variables of an experimental plan of laboratory fatigue tests (3). The values taken by each variable are inspired from their variation ranges in the database of measured signals. Mathematically built synthetic signals are then constructed and applied in the laboratory in 2-point bending fatigue tests (3) (material-based approach). A multi-linear regression made on the results of the fatigue tests enabled the computation of a multi-linear fatigue model (4) that gives the fatigue life as a

function of the independent shape parameters characterising a loading signal. Coupling this fatigue model with a pavement model [2] (5) enables the computation of the fatigue life of pavements (6) under different loading conditions.

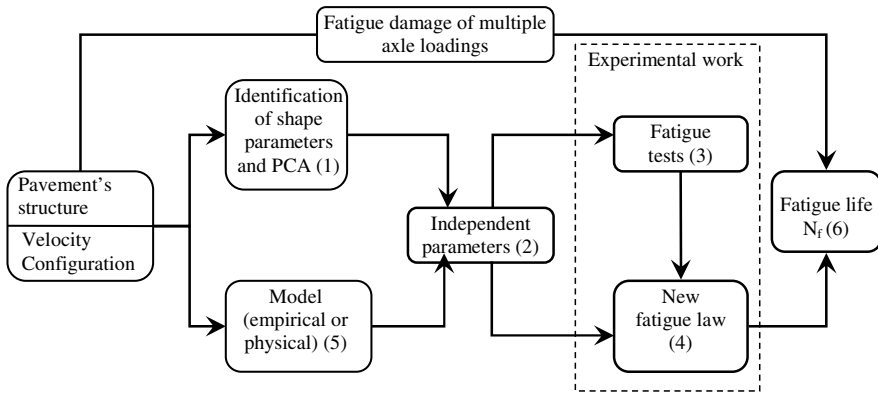


Fig. 1. Illustration of the methodology

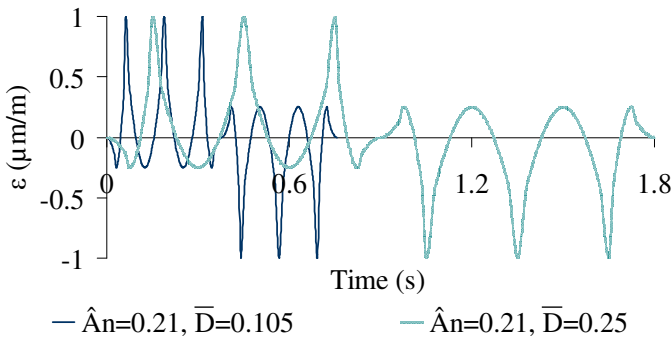
### 3 The Experimental Program

The structural approach of the methodology consists of the characterisation of loading signals measured at an experimental pavement, at the IFSTTAR's accelerated pavement testing facility, under different loading conditions (pavement structure, temperature, axle configurations and vehicle speed) with a set of shape parameters. The horizontal strains (longitudinal and transverse) measured at the bottom of the asphalt base layer ( $\approx 1750$  loading signals) are considered [7] as critical signals for pavement design. The values taken by the shape parameters are evaluated for the database of measured loading signals. A principal component analysis enabled the identification of four independent shape parameters: the strain level ( $\epsilon$ ), the number of peaks ( $N_p$ ), the area under the loading signal divided by the strain level and by the duration of the loading signal ( $\hat{A}_n$ ) and the duration of the loading signal divided by the number of peaks ( $\bar{D}$ ). These independent shape parameters are the variables of the experimental program of laboratory fatigue tests. The values taken by each variable in the experimental plan are inspired from their variation ranges in the database of measured signals for a temperature of the base course close to the temperature at which the laboratory fatigue tests will be done ( $20^\circ\text{C}$ ). Three values are considered for the variable  $\epsilon$ :  $347 \mu\text{m/m}$ ,  $240 \mu\text{m/m}$  and  $166 \mu\text{m/m}$  (displacement  $450 \mu\text{m}$ ,  $310 \mu\text{m}$  and  $166 \mu\text{m}$  respectively). Three values are chosen for the variable number of peaks ( $N_p$ ): 1 (single axle), 2 (tandem) and 3 (tridem). Two values are chosen for the variable  $\hat{A}_n$ : 0.21 (the median of the values taken by the longitudinal signals

of the database) and 0.42 (the median of the values taken by the transverse signals of the database). Two values are chosen for the variable  $\bar{D}$ : 0.105 and 0.25 corresponding to velocities varying between 20 km/h and 50 km/h. The experimental program is made of 12 synthetic signals (Table 1) (four for each configuration) which were applied at the three strain levels. Figure 2 illustrates the synthetic loading signals imitating longitudinal measured signals under a tridem. The material used in this experimental program is a 0/14 mm graded asphalt concrete of class 3 with 4.12 % of a pure bitumen 35/50.

**Table 1.** Shape parameters of the 12 synthetic signals

Signal	$N_p$	$\hat{A}_n$	$\bar{D}$ (s)	T (s)	Signal	$N_p$	$\hat{A}_n$	$\bar{D}$ (s)	T (s)
1	1	0.21	0.105	0.28	7	2	0.42	0.105	0.51
2			0.25	0.67	8			0.25	1.22
3	1	0.42	0.105	0.28	9	3	0.21	0.105	0.76
4			0.25	0.67	10			0.25	1.80
5	2	0.21	0.105	0.51	11	3	0.42	0.105	0.76
6			0.25	1.22	12			0.25	1.80



**Fig. 2.** Synthetic signals imitating longitudinal measured signals under a tridem

#### 4 Results of the Fatigue Tests and Multi-linear Fatigue Model

Two-point bending fatigue tests were performed using the 12 synthetic signals at the three strain levels. Three replications were made for the displacement levels of 450  $\mu\text{m}$  and 310  $\mu\text{m}$ . One replication is made for the displacement level of 215  $\mu\text{m}$  (tests having a long duration). This results in 84 tests. The failure criterion is the loss of half of the initial conventional stiffness. The fatigue lives obtained range between 2000 and 1 million loading cycles and the test durations range

between 25 minutes and 15 days. The logarithm of the number of applications of loading cycles before failure ( $\log N_f$ ) for the 12 unit synthetic signals at the 3 displacement levels are plotted in Figure 3.

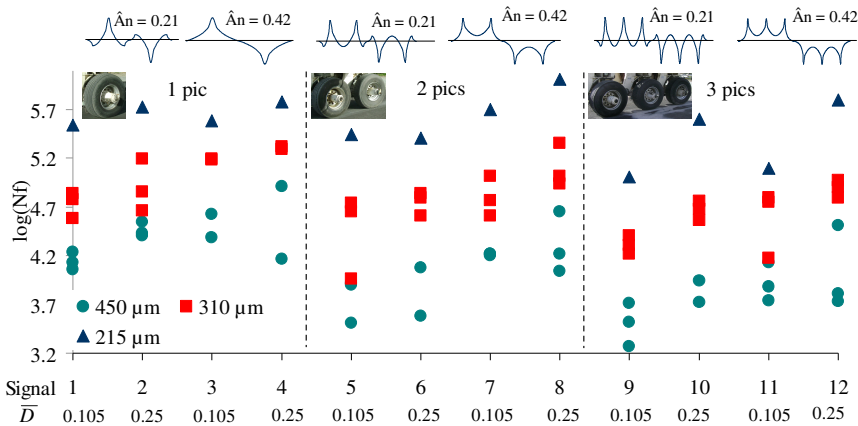


Fig. 3. Fatigue life results

#### 4.1 Effect of the Independent Shape Parameters on the Fatigue Life

The results plotted in the Figure 3 show that the four independent shape parameters have an influence on the fatigue life. The fatigue life (logarithm of the number of loading cycles before failure) decreases with the increase of the displacement level. It decreases when the number of peaks increases. For example, the damage ( $D = 1/N_f$ ) of a two peak signal with  $\hat{A}_n = 0.21$  and  $\bar{D} = 0.105$  is 1.49 times the damage of a one peak signal having the same values of  $\hat{A}_n$  and  $\bar{D}$ . The multiple axle configurations are therefore more damaging than single axle configurations when the corresponding loading signals have the same values of the parameters  $\varepsilon$ ,  $\hat{A}_n$  and  $\bar{D}$ . The results also show that the fatigue life increases when  $\hat{A}_n$  increases. A one peak signal with  $\hat{A}_n = 0.21$  and  $\bar{D} = 0.105$ , for example, is 1.91 times more damaging than the one peak signal having the same  $\bar{D}$  and  $\hat{A}_n = 0.42$ . In the experimental program of this paper, the lower value of  $\hat{A}_n$  corresponds to synthetic signals imitating real signals measured in the longitudinal direction and the higher value of  $\hat{A}_n$  corresponds to synthetic signals imitating real signals measured in the transverse direction. As a consequence, the longitudinal loading signals are more damaging than transverse loading signals

having the same values of the parameters  $\varepsilon$ ,  $N_p$  and  $\bar{D}$ . Figure 3 also shows that the fatigue life increases when  $\bar{D}$  increases. For example, the one peak loading signal having  $\hat{A}_n = 0.21$  and  $\bar{D} = 0.105$  is 1.49 times more damaging than the one peak loading signal having  $\hat{A}_n = 0.21$  and  $\bar{D} = 0.25$ . For the same pavement structure and the same axle configuration, the increase of  $\bar{D}$  corresponds to a decrease of the vehicle speed. A fast vehicle (lower value of  $\bar{D}$ ) is therefore more damaging than a slow vehicle (high value of  $\bar{D}$ ) if the corresponding loading signals have the same values of  $\varepsilon$ ,  $N_p$  and  $\hat{A}_n$ .

The observation of measured signals show that the different shape parameters do not change independently. An increase in the vehicle speed for example does not only decrease the value of the parameter  $\bar{D}$  but also decreases the value of the strain level. These two effects may compensate. In addition, in the same loading conditions, the transverse signals usually have higher strain levels than those of the longitudinal signals while they are less damaging than longitudinal signals having the same strain level. The two effects may also compensate. A more complete fatigue model that takes into account the effect of the different shape parameters on the fatigue life is therefore necessary.

## 4.2 The Multi-linear Fatigue Model

The classical fatigue model, computed from laboratory fatigue tests with sinusoidal signal applied at different strain levels, is a linear function between the logarithm of the fatigue life and the logarithm of the strain level:  $\log(N_f) = a \log(\varepsilon) + b$ , where  $a$  is the slope usually found to be around 5. For more complex loading signals, additional parameters are found to have an impact on the fatigue life. An advanced model should therefore include other parameters. Using the experimental program,  $N_p$ ,  $\hat{A}_n$  and  $\bar{D}$  have been incorporated in the fatigue model. A multi-linear regression analysis was made on the results of the experimental plan and allowed the computation of the factors of the multi-linear fatigue model. This model writes:

$$\log(N_f) = a \log(\varepsilon) + b \log(N_p) + c \hat{A}_n + d \bar{D} + e. \quad (1)$$

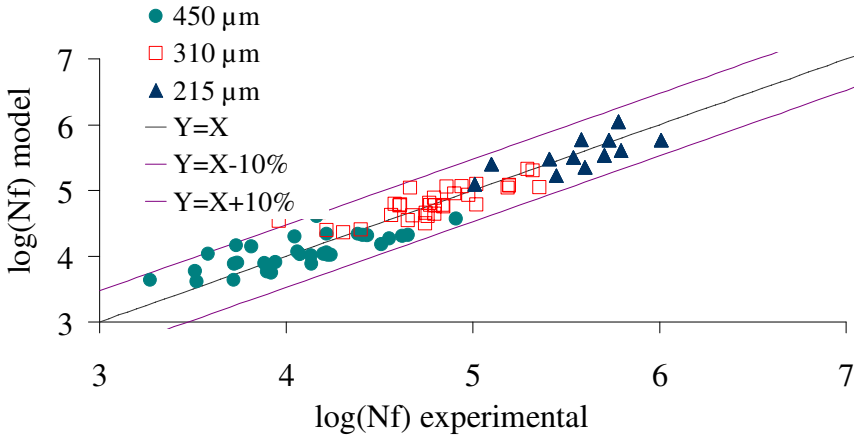
The values of the coefficients of the multi-linear fatigue model as well as their standard errors, after the elimination of suspect experimental data using Peirce's criterion [10] are given in Table 2. The coefficient of correlation  $R$  has a value of 0.91.

**Table 2.** Coefficients of the multi-linear fatigue model

	a	b	c	d	e
Value	-4.58	-0.84	1.31	1.76	15.22
Standard error (%)	4	13	15	17	3



The signs of the coefficients confirm the effects of the shape parameters observed: the fatigue life decreases when the strain level  $\epsilon$  and the number of peaks  $N_p$  increase. It increases when the parameters  $\hat{A}_n$  and  $\bar{D}$  increase. Figure 4 represents a plot of the fatigue life ( $\log(N_f)$ ) given by the model vs. the experimental results. It shows that the multi-linear fatigue model can predict the experimental results of the fatigue life with a confidence interval of approximately 10% on  $\log(N_f)$ .



**Fig. 4.** Fatigue life predicted by the multi-linear fatigue model versus the experimental fatigue life

### 5 Computation of the Fatigue Life of the Pavement

At the pavement scale, the fatigue life under different loading conditions can be computed by coupling the multi-linear fatigue model with a pavement model. A viscoelastic pavement model is used for the simulations: ViscoRoute 2.0 © [2]. It uses the rheological model of Huet-Sayegh [4]. The simulation of a loading condition using a pavement model enables the computation of the corresponding loading signals. The shape parameters of the loading signals (the input parameters of the multi-linear fatigue model) are then calculated and used in the new fatigue life relationship. The effect of the axle configuration on the fatigue life of asphalt pavements can for example be evaluated. Three axle configurations (single wheel, tandem and tridem) carrying the same load (90 kN), moving with a speed of 70 km/h on a 16cm thick pavement at a temperature of 20°C are simulated (Figure 5). The corresponding loading signals in the longitudinal direction (direction of traffic) and transverse direction (perpendicular to traffic) are determined. The fatigue life under each configuration is then calculated using the multi-linear fatigue model. Examples of loading signals under the tridem configuration in the longitudinal and transverse directions are illustrated in Figure 6.

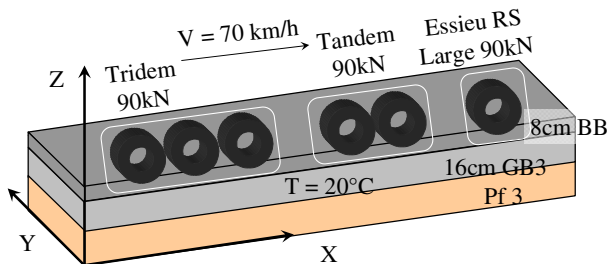


Fig. 5. The simulation cases

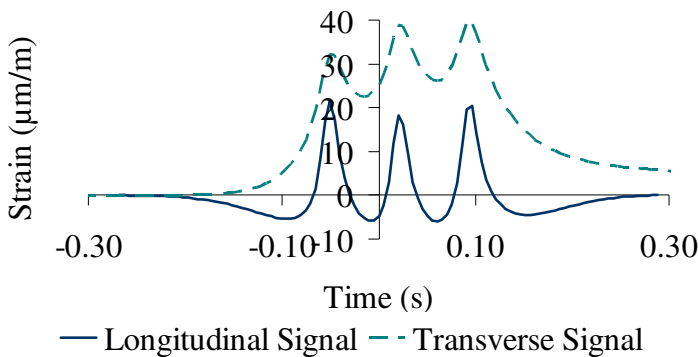
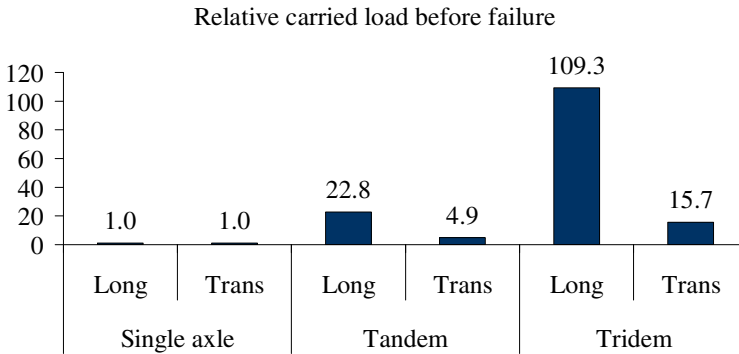


Fig. 6. Loading signals under the tridem configuration

Table 3 gives the number of loading cycles and the total load carried before failure obtained under the three configurations in the longitudinal and transverse directions. Figure 7 illustrates the ratio of the carried load before failure of an axle configuration in one direction and the carried load before failure under a single axle in the same direction. The results show that the signals in the transverse direction are more aggressive than the signals in the longitudinal direction and multiple axle configurations are less damaging than single axle. The tandem and tridem configurations allow carrying 4.9 and 15.7 times the load that allows carrying the single axle configuration.

Table 3. The fatigue life of the pavement under the three axle configurations

Configuration	Single axle		Tandem		Tridem	
	Long	Trans	Long	Trans	Long	Trans
Nf (10 <sup>7</sup> )	1.2	1.3	28.2	6.6	135.1	20.9
Carried load before failure (10 <sup>6</sup> t)	110	120	2500	590	12000	1900
Relative carried load before failure	1.0	1.0	22.8	4.9	109.3	15.7



**Fig. 7.** Relative carried load before failure

## 6 Conclusion

The shape of the loading signal has an influence on the fatigue life of asphalt pavements. This paper presents a methodology to improve the fatigue life calculation of the fatigue life of asphalt pavements under multiple axle configurations. A principal components analysis of the shape parameters of a database of measured signals enabled the identification of four independent shape parameters. An experimental plan whose variables are these independent shape parameters is then defined and the complex synthetic signals constructed. Fatigue laboratory tests in which the synthetic signals were applied were made and the fatigue life under each synthetic signal computed. The results show that all the variables of the experimental plan have a non negligible influence on the fatigue life of asphalt pavements. The classical fatigue model expresses the fatigue life as a function of one variable: the strain level. A more complete fatigue model that takes into account the effects of all the independent shape parameters is necessary. A linear regression of the fatigue test results enabled the computation of the expression of a multi-linear fatigue model that writes the fatigue life as a function of the independent shape parameters characterizing a loading signal. Coupling this fatigue model with a pavement model enabled the computation of the fatigue life of a pavement under different loading conditions. An application on the effect of different axle configurations is presented. The results show that, for the same load on configuration, multiple axle configurations allow carrying more load than single axle configurations.

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# Aggregate Base/Granular Subbase Quality Affecting Fatigue Cracking of Conventional Flexible Pavements in Minnesota

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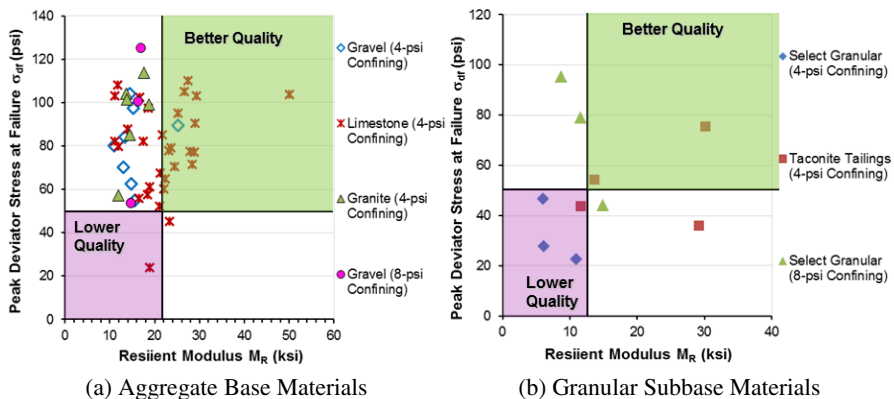
**Abstract.** High quality aggregate materials are becoming increasingly scarce and expensive, and therefore optimizing the use of locally available materials is becoming an economic necessity. The research study highlighted in this paper aimed at optimizing the use of varying qualities of aggregate base/granular subbase materials found in Minnesota for achieving cost-effective conventional flexible pavement designs with satisfactory fatigue performances. The methodology consisted of establishing a comprehensive pavement structure sensitivity analysis matrix to include different pavement layer thicknesses and mechanistic material input properties for quality effects and then employing a validated nonlinear finite element program to compute asphalt tensile strains for the various sensitivity matrix variables. The contributions of the unbound aggregate base and granular subbase layers to pavement support and performance were evaluated from a mechanistic-empirical pavement design perspective by incorporating in the analyses cross-anisotropic stress-dependent layer modulus characterizations linked to two different aggregate quality levels (high and low). Aggregate base quality was found to significantly influence bottom-up fatigue cracking; whereas subbase material quality was somewhat important but not as influential as base material quality. Both initial base and subbase construction costs and rutting potential evaluation indicated that the use of marginal quality materials in either base/subbase courses could be cost-effective, depending on the actual pavement thickness and subgrade support conditions.

## 1 Introduction

Unbound aggregate materials are commonly used to construct flexible pavement foundation layers. High quality crushed aggregates are becoming increasingly scarce and expensive in many parts of Minnesota where local shortages have hindered road construction/maintenance applications particularly in urban areas. Further, various locally available aggregate materials, classified as low quality according to traditional testing techniques and specifications, are likely to offer significant opportunity to be utilized in road construction and perform satisfactorily for intended design traffic levels and operating environments. Although several such applications have been successfully demonstrated to date (1,2), challenges still

remain regarding how to best utilize different qualities of locally available aggregate materials as road bases/subbases from a mechanistic-empirical (M-E) pavement design perspective. It is worth mentioning that herein “quality” refers exclusively to those characteristics that impact M-E pavement design function, i.e., resilient modulus ( $M_R$ ), shear strength, and permanent deformation properties. As illustrated in Figure 1, several different sources of Minnesota aggregate base/granular subbase materials can be classified as either better or lower quality depending on the modulus and strength (peak deviator stress at failure obtained from repeated load triaxial tests) properties in relation to design values specified in MnPAVE program, the one used by Minnesota Department of Transportation (MnDOT) for flexible pavement analysis and design. Note that certain uncrushed gravel, often regarded as lower quality by empirical classification, is classified of better quality by mechanistic classification (see Figure 1).

Using local materials could be quite cost-effective for low-volume roads in Minnesota as well as other places, as indicated in recent research studies (3,4). Accordingly, the objective of this paper is to supplement recent findings on the bottom-up fatigue cracking performance governed by the critical response horizontal tensile strain at bottom of hot mix asphalt (HMA) and address some important issues which were not taken into account previously (3). Specifically, cross-anisotropic and stress-dependent aggregate material modulus characterizations are incorporated into nonlinear finite element analyses by considering compaction-induced residual stresses applied and secondly, initial construction costs of base and subbase courses dictated by material qualities are considered for optimizing material quality combinations. In addition, rutting potentials of base/subbase courses and subgrade soils are also evaluated from the shear strength perspective. This is justified by previous Mn/ROAD forensic case studies (5) where catastrophic shear failure and thus excessive rutting cases were observed due to low quality base/subbase layers and the modulus-strength relationships were found to be non-unique for most aggregate base and especially subbase materials based on the analyses of laboratory repeated load triaxial test data.

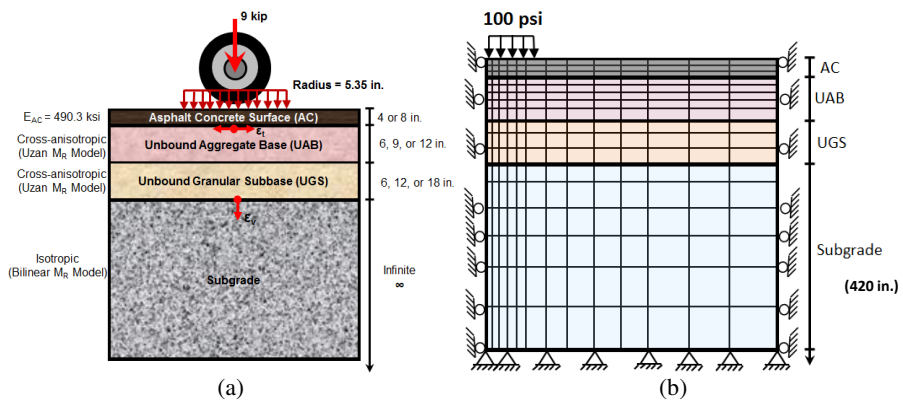


**Fig. 1.** Mechanical Quality Classification for Various Minnesota Aggregate Base (a) and Granular Subbase (b) Materials at Near Optimum Moisture Conditions

## 2 Finite Element (Fe) Simulations of Pavement Structures

### 2.1 Geometry of Pavement Structures and FE Model

Note that the comprehensive factorial matrix established for the previous aggregate quality sensitivity analysis (3) is used here with some minor modifications to reduce the total pavement structure cases involved. The conventional flexible pavement structures, as shown in Figure 2(a), comprised of AC surface, unbound aggregate base (UAB), unbound granular subbase (UGS), and natural subgrade layers with varying thicknesses for each layer. The axisymmetric nonlinear FE program GT-PAVE was employed to calculate resilient responses (stress, strain, and deformation) at any location in different pavement structures (6). The axisymmetric FE mesh consisting of isoparametric eight-node quadrilateral elements used by GT-PAVE is illustrated in Figure 2(b). Note that the mesh of a total of 800 elements was very fine near the load and progressively coarser as the distance from the load increased. Both the body force (overburden) and the wheel load were applied in 10 increments using a combined incremental and iterative procedure described in detail elsewhere (6). A static single wheel load of 40 kN (9 kips) was applied as a uniform pressure of 689 kPa (100 psi) over a circular area of radius 136 mm (5.35 in.). A fixed boundary was assumed at the bottom of the subgrade at a depth of 11 m (420 in.). A constant compressive horizontal residual stress of 21 kPa (3 psi) was assumed to exist initially throughout the base and subbase courses before the wheel load was applied in order to consider the benefits of adequate compaction. The inclusion of residual stresses in the analysis was reported to improve the predictive ability of the anisotropic model by realistically assigning the moduli in a zone of little load influence (7). One single season analysis, i.e., the standard Fall season, was performed for Beltrami County in Minnesota according to the seasonal property input guidelines in MnPAVE analyses.



**Fig. 2.** Pavement Layer Thicknesses Considered (a) and the GT-PAVE FE Mesh (b) [1 in. = 25.4 mm; 1 psi = 6.89 kPa]

## 2.2 Material Properties

The hot mix asphalt (HMA) with PG58-34 asphalt binder assumed was simplified as isotropic and linear elastic with elastic/resilient modulus ( $M_R$ ) of 3380.5 MPa (490.3 ksi) obtained from MnPAVE program and Poisson's ratio ( $\nu$ ) of 0.35. An Uzan type stress-dependent modulus model (7) was used to characterize anisotropic resilient moduli of aggregate base/granular subbase materials with two representative quality levels, i.e., High (H) and Low (L) (3). Since no test results with lateral strain data were readily available to model the resilient horizontal and shear moduli by the same stress dependent functional form as the vertical one, the simplified procedure developed by Tutumluer (8) was adopted here to estimate the horizontal and shear modulus model parameters from the experimentally determined vertical modulus model parameters. Using this procedure, anisotropic modulus ratios, i.e., horizontal to vertical modulus ratio and shear to vertical modulus ratio, can be formulated by different constants using relationships derived from previous test data of different aggregates, as shown in Eqn (1). Table 1 lists the anisotropic modulus model parameters determined accordingly.

$$\begin{aligned}
 M_R^H &= K_1 \left( \frac{\theta}{p_0} \right)^{K_2} \left( \frac{\sigma_d}{p_0} \right)^{K_3}; \quad M_R^V = K_4 \left( \frac{\theta}{p_0} \right)^{K_5} \left( \frac{\sigma_d}{p_0} \right)^{K_6}; \quad G_R^V = K_7 \left( \frac{\theta}{p_0} \right)^{K_8} \left( \frac{\sigma_d}{p_0} \right)^{K_9} \\
 K_7 &= -90.92 + 0.27K_4 + 305.34K_5 + 158.22K_6 \\
 \frac{K_7}{K_4} &= 0.187 + 1.079 \left( \frac{K_1}{K_4} \right) \\
 K_8 - K_5 &= -(K_9 - K_6) = 0.2 \\
 K_2 - K_5 &= -(K_3 - K_6) = 2.5
 \end{aligned} \tag{1}$$

where  $\theta$  and  $\sigma_d$  are bulk stress ( $\sigma_1 + 2\sigma_3$ ) and deviator stress ( $\sigma_1 - \sigma_3$ ) in triaxial conditions, respectively;  $p_0$  is the unit reference pressure (1 kPa or 1 psi);  $K_i$  are regression constants from repeated load triaxial test data, respectively.

Besides the anisotropic modulus model parameters for those two representative aggregate quality levels, also shown in Table 1 are other essential inputs for GT-PAVE FE runs. For nonlinear subgrade soil characterization, the bilinear or arithmetic model by Thompson and Elliot (9) was chosen to express the modulus-deviator stress relationship. The value of the resilient modulus at the breakpoint in the bilinear curve,  $E_{Ri}$ , was used to classify fine-grained soils as being soft, medium, or stiff. The structural support of the underlying subgrade was studied as an important factor by assuming two different levels, i.e., weak (W) and stiff (S). The subgrade bilinear model parameters for these two types of subgrade soils are listed in Table 1. Table 2 lists the Mohr-Coulomb shear strength properties currently specified in MnPAVE program and also used in this study as reference values for aggregate base and subbase materials in different seasons since such information was not readily available from the laboratory databases collected.



**Table 1.**  $M_R$  Model Parameters Used in GT-PAVE for Base, Subbase, and Subgrade

Layer Type		Aggregate Base		Granular Subbase	
Quality		High Quality (H)	Low-Quality (L)	High Quality (H)	Low-Quality (L)
Horizontal Modulus $M_R^H$	$K_1$ (psi)	780.9	238.5	1021	247.82
	$K_2$	3.723	3.522	3.278	3.282
	$K_3$	-3.346	-2.932	-3.035	-2.64
Vertical Modulus $M_R^V$	$K_4$ (psi)	8360.7	1259.3	12526.5	1707.1
	$K_5$	1.223	1.022	0.778	0.782
	$K_6$	-0.846	-0.432	-0.535	-0.140
Shear Modulus $G_R^V$	$K_7$ (psi)	2406	492.8	3444.1	586.6
	$K_8$	1.423	1.222	0.978	0.982
	$K_9$	-1.046	-0.632	-0.735	-0.34
Poisson's Ratios	$v^h$	0.3			
	$v^v$	0.1			
Layer Type		Subgrade			
Quality		Weak (W)		Strong (S)	
Bilinear Model Parameters	$E_{Ri}$ (ksi)	1		5	
	$\sigma_{di}$ (psi)	6			
	$K_3$	1110			
	$K_4$	178			
	$\sigma_{dij}$ (psi)	2			
	$\sigma_{dul}$ (psi)	21			
Poisson's Ratio $v$		0.45			

**Table 2.** MnPAVE Shear Strength Properties for Base and Subbase Materials

Parameter	Aggregate Base				Granular Subbase	
	Class 5		Class 6		Class 3/ Class 4	
	Summer/Fall	Spring	Summer/Fall	Spring	Summer/Fall	Spring
Cohesion $c$ (psi)	6.55	6	7.34	6	8.38	6
Friction Angle ( $^\circ$ )	43.7	24	48.2	24	39.2	24

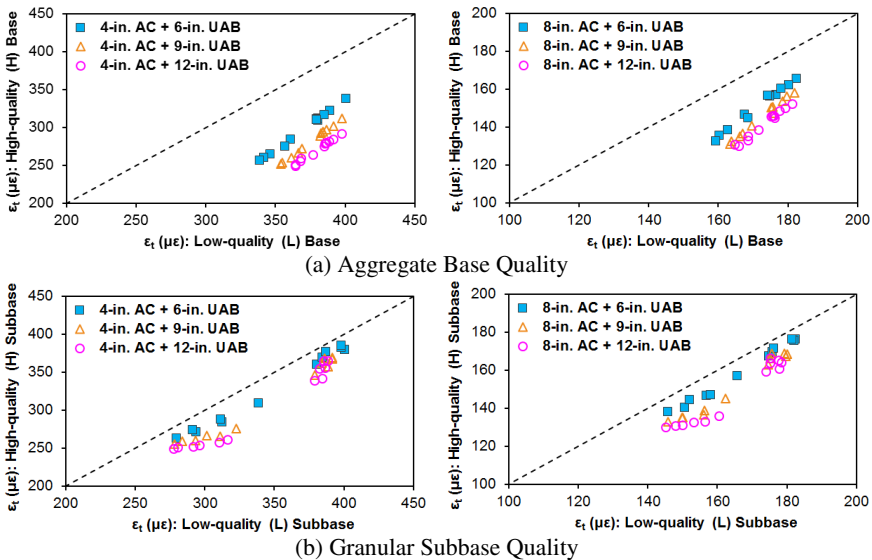
Note: 1 psi = 6.89 kPa.

### 3 Results and Discussion

#### 3.1 Effect of Aggregate Base/Granular Subbase Quality on Fatigue Cracking

To investigate the effects of unbound aggregate base and granular subbase material quality on bottom-up fatigue cracking, horizontal tensile strain responses at bottom of HMA for two different levels of material quality (i.e., high and low) are plotted in Figure 3. Note that each data point represents one individual pavement structure analyzed. The name convention used for the different simulations refers to the letter designating quality: for example, “H-L” denotes the combination of high-quality aggregate base (H) and low-quality granular subbase (L).

As shown in Figure 3(a), high quality aggregate base materials significantly reduced the HMA horizontal tensile strains predicted when compared to low quality base cases for the same pavement structure. This is evident from the data points scattered below the equality line. Even lower strain values are more profound for the thicker aggregate base courses (from 6 in. to 9 and 12 in.). On the other hand, granular subbase material quality is much less influential than base material quality, as illustrated in Figure 3(b). In other words, aggregate base material quality alone is quite an important factor governing bottom-up fatigue cracking; whereas subbase material quality alone is also somewhat important but not as influential as base material quality, evidenced by the data points scattered towards more around the equality line.



**Fig. 3.** Effects of Aggregate Base (a) and Granular Subbase (b) Material Quality on Predicted Horizontal Tensile Strain ( $\epsilon_t$ ) at Bottom of HMA

Investigation of optimal base and subbase material quality combinations in conventional flexible pavements is the subject of this paper to achieve long fatigue life, yet avoid shear failure (rutting) in those unbound granular layers and subgrade soils and reduce construction costs. To address the first issue, i.e., optimal material quality combinations for longer fatigue life, all the pavement structures analyzed were grouped based on base/subbase material quality combinations. The corresponding allowed repetitions for fatigue ( $N_f$ ) were calculated from the horizontal tensile strain using the currently employed MnPAVE fatigue transfer function (3). The calculated results indicated that for the two asphalt thicknesses, material quality combinations of L-H and L-L more or less have similar allowed repetitions for fatigue, especially when the base thickness is greater than 154 mm (6 in.). However, H-L combination consistently exhibits larger  $N_f$  than L-H combination. Thus, the important role of base quality in controlling fatigue cracking is clearly apparent.

### ***3.2 Material Quality Related Base and Subbase Construction Cost***

At places where aggregate supply faces local shortage/depletion, high quality crushed aggregates often need to be imported and hauled from longer distances and thus can become expensive, thus making base and subbase construction cost associated with different combinations of material quality and layer thicknesses an important decision-making factor, especially for low volume roads. To this end, a simple initial construction cost analysis rather than more complicated life cycle cost analysis (LCCA) is performed in this study. Several default values were reasonably taken for analysis parameters as follows: (a) 70-mile and 30-mile distances for hauling high quality and more locally available low quality base/subbase materials, respectively; (b) a 16-ft pavement width (12-ft lane width and 4-ft shoulder used for most rural state trunk highways in Minnesota) and 1-mile unit length; (c) 135-pcf and 120-pcf density for base and subbase, respectively; (d) the unit costs of \$2.5 and \$1.5 per cubic yard (loose volume) for purchasing high quality and low quality base/subbase materials (which roughly reflects the fact that MnDOT currently pay the same for different quality base/subbase materials but get much different pavement life expectancies); (e) unit construction costs of \$3.0 and \$2.4 per cubic yard for base and subbase; and (f) material transportation cost of \$0.3 per ton per mile.

Figure 4 presents the calculated initial base & subbase construction costs for all the pavement sections analyzed. Note that the four data points within each weak and strong subgrade  $E_{Ri}$  group (1 or 5 ksi), as shown in Figure 4, represent from left to right the material quality combinations denoted by H-H, H-L, L-H, and L-L, respectively. Also note that no asphalt or subgrade related costs were included in this simple cost analysis. As shown in Figure 4, H-L quality combination yields initial construction costs less than L-H when subbase thickness is greater than base thickness, making it more cost-effective than L-H quality combination (in terms of higher fatigue repetitions and lower initial costs).

### 3.3 Base/Subbase and Subgrade Rutting Potential Evaluation

Based on the previous analyses concerning the HMA horizontal tensile strain, allowed repetitions for fatigue, and initial base & subbase combined construction costs, it is found that low quality materials can be cost-effectively used in subbase layers without significantly compromising fatigue cracking performance, which is attributed to the relatively less significant role subbase material quality plays in fatigue cracking. However, from the perspectives of subgrade protection and avoiding subbase rutting, the feasibility of such designs should be checked. Since neither well-calibrated rutting prediction models nor relevant data sources are available, no attempt was made here to predict rut accumulation with load applications as an essential criterion for optimizing material utilization; instead, the rutting potential for base and subbase layers and shear strength requirement for subgrade soils were practically evaluated from Mohr-Coulomb failure criteria based stress ratios, i.e.,  $\tau_f/\tau_{max}$  and  $q/q_{failure}$  as shown in Figure 5 and the subgrade stress ratio (SSR), respectively.

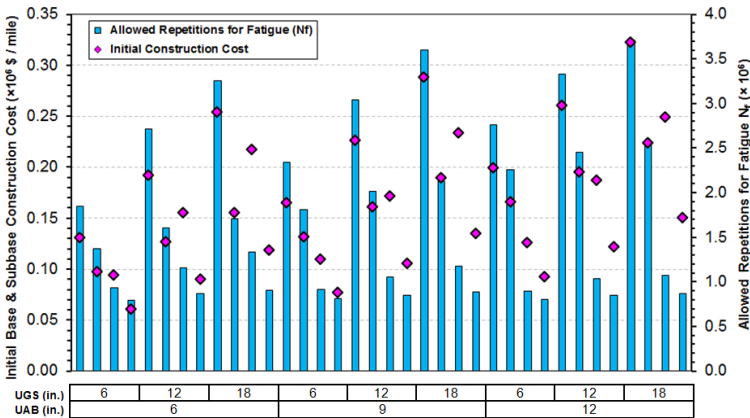


Fig. 4. Initial Base & Subbase Combined Construction Costs per Mile for Different Material Quality Combinations (4-in. AC).

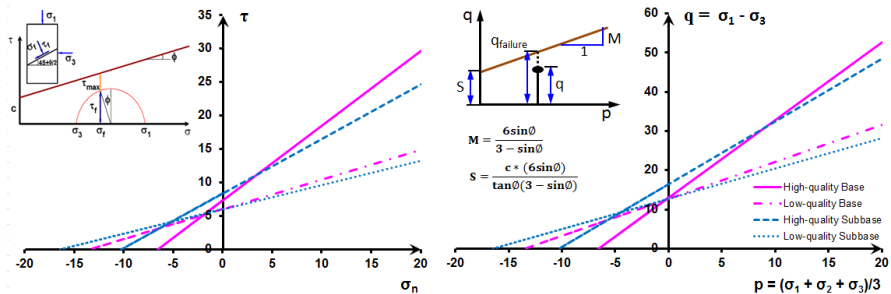
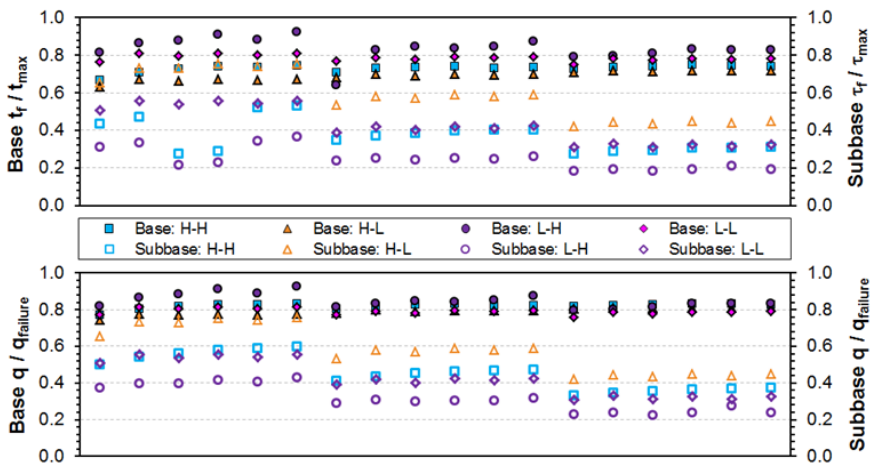


Fig. 5. Mohr-Coulomb Failure Criteria in Mohr Circles and p-q Diagram

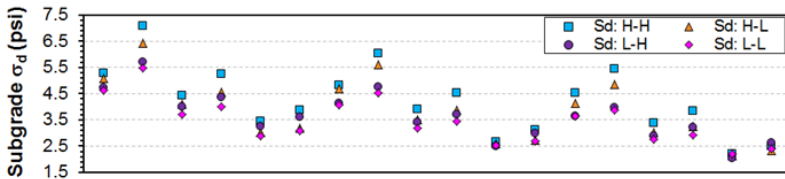
According to Seyhan and Tutumluer (10), the shear stress ratio is not only an indicator of unbound aggregate performance under varying stress states, but also determines the maximum allowable working stress to control the permanent deformation or rutting behaviour of an unbound aggregate layer. The applied stresses within both base and subbase courses for each individual pavement structure analyzed were obtained from the GT-PAVE FE analyses; while the shear strength properties for both high and low quality base/subbase materials were taken from Table 2. Specifically, shear strength properties in MnPAVE summer/fall season are regarded as the minimum requirements for high quality base/subbase materials; whereas shear strength properties in spring thaw season in MnPAVE was conservatively assumed to represent low quality base/subbase materials. The subgrade stress ratio (SSR), defined as the ratio of deviator stress ( $\sigma_d$ ) to unconfined compressive strength ( $Q_u$ ), was used to indicate subgrade stability with SSR less than 0.7 being relatively stable.

Figure 6(a) shows the maximum stress ratios in both base and subbase layers for pavement structures with 4-in. asphalt surface and varying unbound aggregate material quality combinations. Note that the use of high quality materials in subbase course (L-H) considerably reduces subbase stress ratios without significantly increasing base stress ratios, applies much lower deviator stress to subgrade soils (see Figure 6b), and thus requires lower unconfined compressive strength for subgrade soils (see Figure 6c), as compared to the combination of high quality base and low quality subbase (H-L). The use of low quality materials in both layers are not recommended due to the high stress ratios.

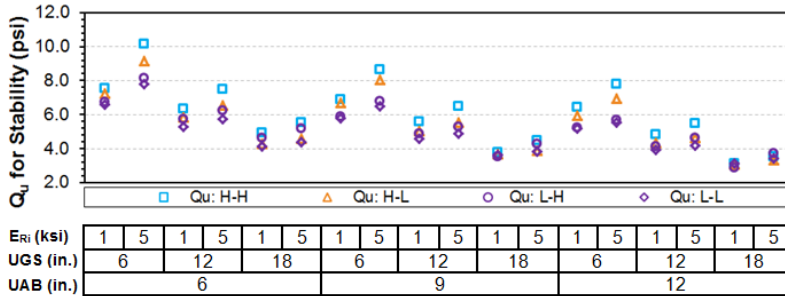


(a) Maximum Base and Subbase Stress Ratios ( $\tau_r/\tau_{max}$  and  $q/q_{failure}$ )

**Fig. 6.** Base & Subbase Stress Ratios (a) and Subgrade Stability Parameters (b & c) for Pavements with 4-in. AC and Varying Material Quality Combinations.



(b) Subgrade Deviator Stress ( $\sigma_d$ )



(c) Unconfined Compressive Strength ( $Q_u$ ) Required for Subgrade Stability

Fig. 6. (continued)

### 4 Summary and Conclusions

This paper investigated the effects of unbound aggregate quality on conventional flexible pavement fatigue cracking performance in Minnesota using GT-PAVE nonlinear finite element (FE) analyses considering the most realistic stress-dependent and anisotropic granular base/subbase modulus characterizations. A factorial matrix of pavement layer thicknesses and high and low quality aggregate material properties was used for the FE analyses. In addition to hot mix asphalt horizontal tensile strain responses governing allowed repetitions for fatigue, initial construction costs for base and subbase layers were considered in a simplified cost analysis, as well as base, subbase and subgrade rutting potentials were evaluated from the Mohr-Coulomb failure criteria based stress ratios and subgrade stress ratio concepts, respectively.

Aggregate base material quality alone is quite an important factor governing bottom-up fatigue cracking; whereas subbase material quality alone is much less influential. For pavements with subbase thicknesses greater than base thickness, the use of “high-quality base and low-quality subbase (H-L)” can not only improve fatigue cracking performance, but also reduce the initial construction cost; however, it may not be adequate for protecting underlying weak subgrade and subbase from potential rut development. For pavements with base thicknesses greater than subbase thicknesses, the initial construction cost of H-L is larger than L-H, making the former possibly less cost-effective than the latter. Along with designing for maximum fatigue cracking performance, both the subgrade and granular base/subbase permanent deformation predictions must be used as the needed criteria for selecting optimal aggregate material quality combinations.

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# Fatigue Performance of Asphalt Concretes with RAP Aggregates and Steel Slags

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**Abstract.** The results are presented of an experimental investigation and a theoretical study on the fatigue behaviour of asphalt concretes, determined by the four-point bending test, according to the EN 12697-24 Annex D standard. The testing was performed on bituminous mixtures, with Reclaimed Asphalt Pavement (RAP) aggregates and Electric Arc Furnace (EAF) steel slags, used at different proportions (up to 70% of the weight of the aggregates), in partial substitution for natural limestone. Fatigue life was evaluated by means of the conventional approach, related to a 50% reduction in the initial stiffness modulus, as well as using more rational concepts, related to the macro-structural damage condition of the mixtures, in terms of dissipated energy and damage accumulation. With respect to the control mixture with limestone aggregates, the asphalt concretes with RAP aggregate and EAF slags presented improved fatigue properties and delayed macro-crack initiation.

## 1 Introduction

On the basis of rational mechanistic principles, the performance characterization of a bituminous mixture is fundamental for the design of flexible pavements. Nonetheless, it is known that mixtures' performances are not univocally defined, but are highly sensitive to different parameters, including temperature. At average operating temperatures, verification of the fatigue behaviour of the material is particularly important, i.e. with respect to repeated loading applications.

Laboratory fatigue tests can be conducted following many approaches. For example, the European EN 12697-24 Standard has five separate Annexes, each of which describes a different test protocol: a two-point bending test with trapezoid and prismatic samples (Annexes A and B); three- and four-point bending tests on prismatic beam specimens (C and D); repeated indirect tensile strength tests on cylindrical samples (E). Although modern laboratory equipment allows the various tests to be conducted with stress and strain control, the Annexes of the EN 12697-24 Standard involve just one of the two methods of application of the loading; more precisely Annexes B and E prescribe the stress control, while the others the strain control. Nevertheless, irrespective of the specifications of the Standard, the stress



control tests are generally used for the fatigue study of thick pavements, while strain control tests are applied for flexible ones of the conventional type [Khalid, Carpenter].

In the stress control procedure, since stress is maintained constant, with a consequent progressive increase in the strain, the complete cracking of the sample is frequently reached at the end of the test. The failure condition is therefore clearly represented by the physical failure of the sample. However, there are other criteria of failure, for example associated to a 90% reduction of the initial stiffness modulus, or with increasing strain, up to a value double that of the initial one.

Vice versa, in the strain control tests, strain is maintained constant and a progressive reduction in the stress is registered. Consequently, at a high number of cycles, since the stress will be reduced to a very low value, it is unlikely that an evident crack will be found in the sample, which will therefore not be completely broken. For this reason, within the road scientific community, the criterion of failure for the strain control tests is generally established as a 50% reduction of the initial stiffness, or initial stress.

The cited criteria of failure, although defined by consistent variations of the mechanical parameter considered (stiffness modulus, rather than stress or strain) with respect to the initial conditions of the sample, are purely arbitrary and do not fully represent the state of internal damage in the material.

To overcome this problem, Pronk, for fatigue bending tests, introduced a rational criterion of failure, linked to the concept of dissipated energy [1], identifying the failure in correspondence to a number of loading cycles  $N_1$  at which the micro-cracks coalesce, producing a macro-crack.  $N_1$  therefore represents the triggering of that macro-crack, which then propagates in the material.

In his approach Pronk introduced an energy ratio  $R_n$ , defined as the ratio between the cumulative energy dissipated up to the  $n$ -th cycle and the energy dissipated at the  $n$ -th cycle.

In the strain control tests, the graphical representation of  $R_n$  with the varying of the number of cycles allows  $N_1$  to be identified as the point at which  $R_n$  begins to show a non-linear trend. Vice versa, in the stress control tests,  $N_1$  is identified as the peak point of  $R_n$  with the varying of the number of cycles. As already outlined by Artamendi and Khalid [2], the accurate identification of  $N_1$  appears to be more subjective in the strain control test method than in that with constant stress control.

A radically different approach to the study of fatigue was proposed by Di Benedetto et al. [3], who focused attention on the evolution of the stiffness during bending tests, observing that it is possible to identify a phase, of predominant length, during which the modulus of the material shows a substantially linear reduction. Di Benedetto et al. therefore introduced a damage parameter, depending on the stiffness of the mixture, whose variation with the number of cycles allowed the development of damage from fatigue in the material to be described.

In the present research the fatigue behaviour of bituminous mixtures for base courses was investigated by means of the "strain control" four-point bending test (4PBT), interpreting the experimental data, as well as with the classic methodology based on a 50% reduction of the initial stiffness, also in the light of the approaches of Pronk and di Benedetto et al.

## 2 Materials Used

Three materials were used in the study: EAF slags, RAP and natural aggregates (crushed limestone and sand). Table 1 reports the grading composition of the bituminous mixtures and proportions of the components; five mixes were designed with an integrated slag-RAP-limestone lithic matrix (S0R2, S0R4, S3R0, S3R2, S3R4) and one, used as control, with only natural aggregate (S0R0).

**Table 1.** Aggregate type and particle size distribution of the mixtures (without slag S0, with 30% S3; without RAP R0, with 20% R2, with 40% R4)

Mix composition	Fraction [mm]	Quantity [%]					
		S0R0	S0R2	S0R4	S3R0	S3R2	S3R4
Crushed Limestone	5/10	25	15	12	29	21	14
	10/15	20	20	20	-	-	-
	15/25	12	12	11	-	-	-
Sand	0/2	40	30	13	38	26	12
RAP aggregate	0/10	-	20	40	-	20	40
EAF steel slag	10/15	-	-	-	10	18	18
	15/20	-	-	-	20	12	12
Filler (additive)	-	3	3	4	3	3	4

**Table 2.** Physical and mechanical characteristics of the bituminous mixtures (without slag S0, with 30% S3; without RAP R0, with 20% R2, with 40% R4)

Properties	Mixture					
	S0R0	S0R2	S0R4	S3R0	S3R2	S3R4
Bulk density ( $\text{Kg/m}^3$ )	2348	2452	2413	2589	2765	2651
ITS @ 25°C (MPa)	1.62	1.88	2.35	1.74	1.90	2.18
Sm @ 20°C, 10Hz (MPa)	5922	10483	12319	8939	9076	11761

A normal bitumen (50/70 dmm pen) was used for all the mixtures in the experiments. Table 2 reports bulk density, Indirect Tensile Strength (ITS) and Stiffness Modulus (Sm) by 4PBT, of the bituminous mixtures.

## 3 Fatigue Characterization

The four-point bending fatigue tests were conducted using the protocol described in Annex D of the European EN 12697-24 Standard as reference, in a regime of strain control, with a wave of sinusoidal loading without rest periods. The tests were all conducted at a temperature of 20 °C and frequency of 10 Hz, in a range of

strain of between 200 and 500  $\mu\text{m/m}$ . In addition to the data of stress and strain, the phase angle and dissipated energy (both cumulative, and relative to each loading cycle) were determined for each fatigue test.

The beam specimens necessary for conducting the fatigue tests, with dimensions of 400 mm x 50 mm x 60 mm, were cut from 300 mm x 400 mm x 50 mm slabs produced by a laboratory compacting roller, in accordance with the EN 12697-33 Standard.

The results of the fatigue tests were interpreted with three different approaches. In addition to the classical methodology based on a 50% reduction of the initial stiffness, the energy approach of Pronk was also applied, as well as that of Di Benedetto in terms of damage.

### 3.1 Fatigue Characterization Based on the Stiffness Reduction Approach

The classical fatigue curves, elaborated according to the initial value of strain  $\epsilon_0$  and number of cycles  $N_f$ , at which a 50% reduction of the initial stiffness is registered, are presented in Figure 1. The initial strain was evaluated at the 100th cycle (EN 12697-24, Annex D), since as generally recognized in the literature, this is the stage of the test when the material shows a stress-strain response that reliably represents the initial conditions, without yet being significantly affected by damage phenomena.

The regression analysis of the fatigue data was performed using a power law model of the type:

$$\epsilon_0 = aN_f^b \tag{1}$$

where  $a$  and  $b$  are regression coefficients depending on the type of material. Table 3 reports the regression coefficients and coefficient of determination  $R^2$ .

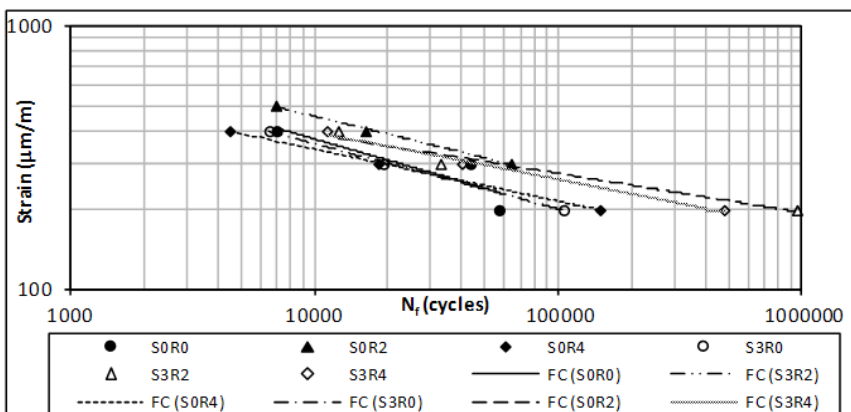


Fig. 1. Fatigue life  $N_f$  versus initial strain

**Table 3.** Fatigue curves - regression coefficients ( $N_f$  approach)

Mixture	a ( $\mu\text{m/m}$ )	b (-)	$\epsilon$ ( $10^6$ ) ( $\mu\text{m/m}$ )	$R^2$ (-)
S0R0	4354.6	-0.2671	111	0.7680
S0R2	3713.2	-0.2280	159	0.9960
S0R4	2104.8	-0.1979	137	0.9998
S3R0	3521.4	-0.2485	113	0.9990
S3R2	1543.2	-0.1498	194	0.9559
S3R4	2134.5	-0.1819	173	0.9928

With reference to a fatigue resistance of 1,000,000 loading cycles (as indicated in Standard EN 12697–24, Annex D), and using Eqn. (1), it was possible to calculate the corresponding tensile strain  $\epsilon$  ( $10^6$ ), which was higher for the asphalts with EAF slags and RAP aggregate; in particular the highest value, 194  $\mu\text{m/m}$ , was obtained for S3R2 (Table 3). However, it should be stressed that all the asphalts showed a reasonable fatigue resistance.

### 3.2 Fatigue Characterization Based on the Energy Ratio Approach

Pronk’s energy approach is based on the calculation of the energy ratio  $R_n$ , defined as the ratio between the cumulative energy dissipated up to the  $n$ -th cycle and that dissipated at the  $n$ -th cycle, according to Eqn. (2):

$$R_n = \frac{\pi \sum_{i=0}^n \sigma_i \epsilon_i \text{sen} \phi_i}{\pi \sigma_n \epsilon_n \text{sen} \phi_n} \tag{2}$$

where  $\sigma$  is the stress,  $\epsilon$  the strain,  $\phi$  the phase angle,  $i$  the generic  $i$ -th cycle,  $n$  the  $n$ -th cycle. The study of the evolution of the energy ratio during the test allows the number of cycles  $N_1$  to be determined in correspondence to which macro-cracks form. Figure 2 presents an example, relative to the S3R4 mix, of the determination of  $N_1$ . It is possible that, with the varying of the number of cycles, the exact identification of the point in which  $R_n$  shows a non-linear trend depends in practice on the subjectivity of the researcher.

Figure 3 presents the fatigue curves in terms of  $N_1$  and the initial strain value, for the various mixtures. Similarly to what was previously done for the classical fatigue curves, represented as a function of  $N_f$ , a power function, analogous to Eqn. (1), was also used in this case, substituting  $N_1$  for  $N_f$ . Table 4 reports the coefficients of regression and determination, as well as the value of  $\epsilon$  ( $10^6$ ).

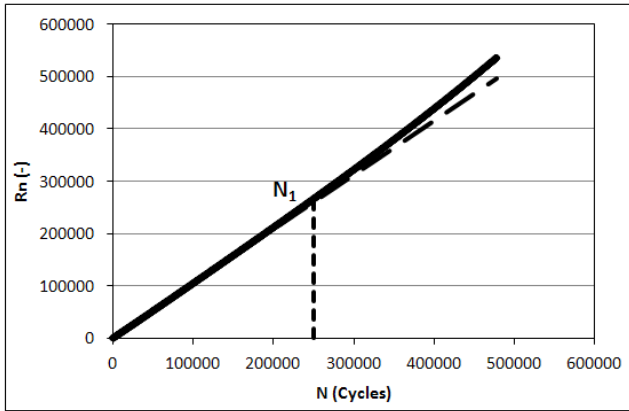


Fig. 2. Determination of failure  $N_1$  for mix S3R4 at 200  $\mu\text{m/m}$

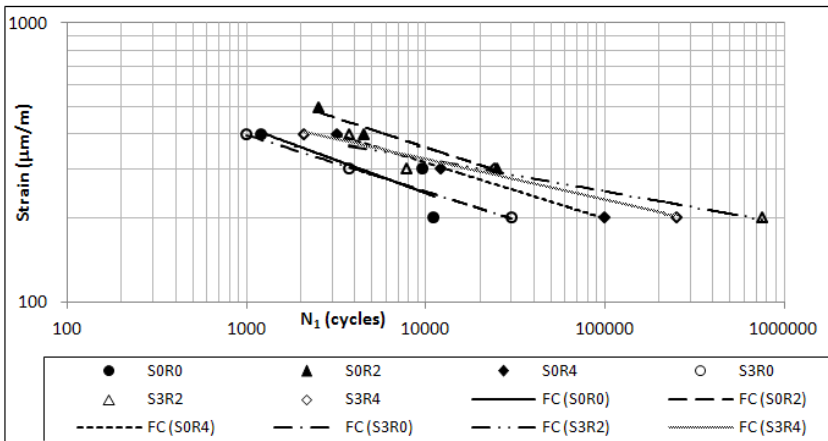


Fig. 3. Fatigue life  $N_1$  versus initial strain

Table 4. Fatigue curves - regression coefficients ( $N_1$  approach)

Mixture	a ( $\mu\text{m/m}$ )	b (-)	$\epsilon$ ( $10^6$ ) ( $\mu\text{m/m}$ )	$R^2$ (-)
S0R0	2168.0	-0.2366	83	0.7151
S0R2	2488.0	-0.2105	136	0.9599
S0R4	2007.3	-0.2008	125	0.9990
S3R0	1610.5	-0.2029	98	0.9988
S3R2	941.93	-0.1156	191	0.9149
S3R4	1238.2	-0.1449	167	0.9879

Although the comparative analysis of the values of  $\epsilon$  ( $10^6$ ) relating to the different mixtures, using the conventional approach and that of Pronk, leads to a similar ranking of the various materials, the interpretation in terms of  $N_1$  allows the comparison between asphalts in the same damage conditions, corresponding to the formation of macro-cracks, and is therefore more reliable and significant from a physical point of view. It can also be observed that the analysis with the energy approach leads to a more precautionary estimate of the fatigue life of the mixtures; in any case the values of  $\epsilon$  ( $10^6$ ) determined starting from  $N_1$  are lower than those calculated with reference to  $N_f$ .

### 3.3 *Fatigue Characterization Based on the Linear Damage Evolution Approach*

Figure 4 presents an example of evolution of the stiffness, registered for the S3R4 mix in a 4PBT test at a strain of 200  $\mu\text{m/m}$ ; similar trends were obtained for the other mixtures investigated. It is possible to identify a phase, with a uniform slope and of predominant length, characterized by a linear reduction of the modulus.

The approach of Di Benedetto is based on this observation, which introduces a parameter of damage to the fatigue study, determined according to Eqn. (3):

$$D(N) = \frac{E_{00} - E(N)}{E_{00}} \quad (3)$$

where  $E(N)$  represents the stiffness value at the  $N$ -th cycle. Di Benedetto also considers the rate of damage evolution, determined with Eqn. (4):

$$\frac{dD}{dN} = -\frac{1}{E_{00}} \frac{dE}{dN} = -a_t \quad (4)$$

where  $E_{00}$  and  $dE/dN$  represent the stiffness value determined by the intercept, with the  $y$  axis, the curve of linear interpolation of the experimental data and the slope of the curve, respectively (Figure 4).

The ratios between the rate of damage evolution and initial strain are shown in Figure 5. A power function analogous to Eqn. (1) was used for interpolation of the data, substituting  $dD/dN$  for  $N_f$ . The coefficients of regression and determination are presented in Table 5, together with the value of  $dD/dN$ , evaluated at a strain of 100  $\mu\text{m/m}$ .

The comparative analysis between the mixtures in terms of rate of damage evolution confirmed what had been revealed by the previous approaches, i.e. an improvement in the fatigue performance of the mixtures with RAP, more accentuated at 20%. The presence of EAF slags resulted as being advantageous independently of the RAP content.

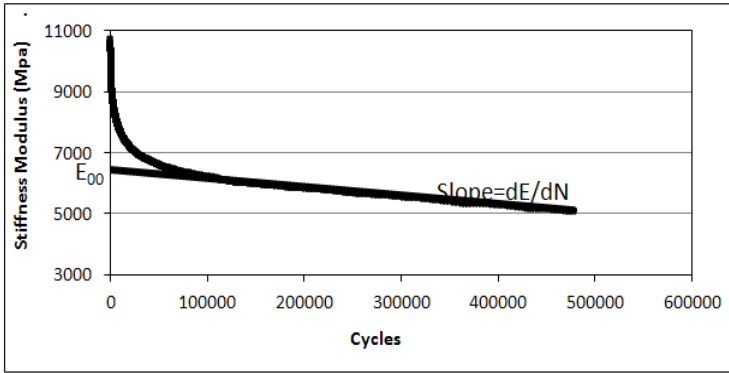


Fig. 4. Stiffness Modulus versus cycles for mix S3R4 at 200 μm/m

Table 5. Damage evolution analysis - regression coefficients

Mixture	a (μm/m)	b (-)	dD/dN (-)	R <sup>2</sup> (-)
S0R0	4547.3	0.2416	1.37543E-07	0.9038
S0R2	3281.3	0.1916	1.22305E-08	0.9976
S0R4	2123.0	0.1806	4.49311E-08	0.9999
S3R0	4039.9	0.2361	1.57119E-07	0.9982
S3R2	1420.8	0.1267	8.00663E-10	0.9498
S3R4	2155.0	0.1638	7.23263E-09	0.9932

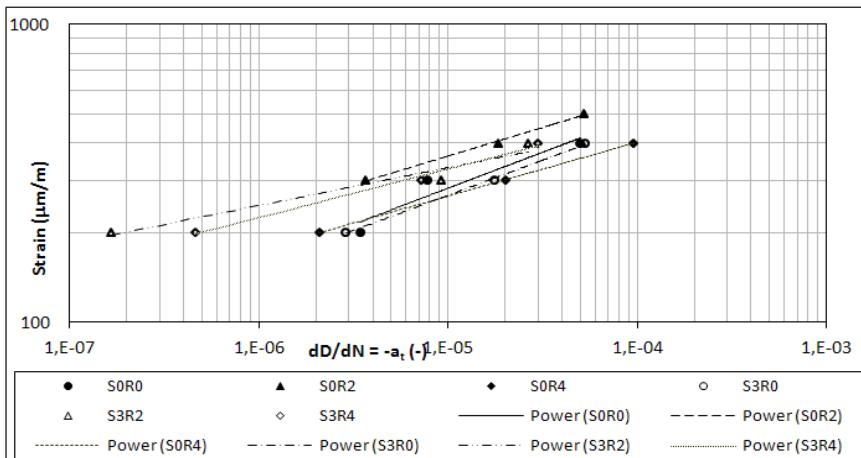


Fig. 5. Strain versus rate of damage evolution

## 4 Conclusions

The performance of bituminous mixtures for base courses, produced with different combinations of EAF slags and RAP aggregates, was investigated in terms of fatigue life by means of 4PBT tests, in a regime of strain control; the results were interpreted using three different approaches.

With respect to the control mixture, produced with just natural aggregate, all five asphalts with marginal materials demonstrated a clearly higher fatigue resistance; in particular, the use of 30% of EAF slags and 20% of RAP aggregate increased the fatigue life, expressed in terms of  $\epsilon$  ( $10^6$ ), from 74% to more than 100%, in relation to the criterion of failure considered ( $N_f$  and  $N_1$  respectively).

The comparison between the mixtures conducted with the criteria  $N_f$  and  $N_1$  led to a similar quality evaluation, but the energy criterion showed increases in fatigue life quantitatively higher than those of the classical approach, based on a 50% reduction of the initial stiffness.

The study was completed with an analysis based on the linear damage evolution model, which further supported what had emerged with the criteria  $N_f$  and  $N_1$  regarding a lower rate of damage evolution of the bituminous mixtures containing a lithic matrix integrated with EAF slags and RAP aggregate.

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# Fatigue Characterization of Asphalt Rubber Mixtures with Steel Slags

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**Abstract.** The paper discusses the results of a laboratory testing concerning the fatigue properties of asphalt rubber mixtures (by wet process), made with Electric Arc Furnace (EAF) steel slags (up to 93% of the weight of the aggregates). The experimental trial analyzed different bituminous mixtures, i.e. Stone Mastic Asphalt (SMA), base course and wearing course concretes, by means of the four-point bending test, according to EN 12697-24 Standard Annex D. The purpose was to evaluate the fatigue resistance of the mixtures, considering the dissipated energy approaches, which allow consistent material parameters to be identified, indicative of the damage accumulated in the asphalt mixes. Both aged and unaged samples were tested, in order to investigate the ageing effects. The asphalt rubber mixes presented better fatigue behaviour than the reference mixtures made with conventional or polymer modified bitumen.

## 1 Introduction

This paper describes the results of a trial studying the fatigue resistance performances of bituminous concretes for base courses and wearing courses (traditional and SMA type), made with steel slags and bitumen modified with crumb rubber or SBS polymers. The fatigue behaviour was also investigated in conditions of long-term ageing, in order to evaluate the effectiveness of the modification with crumb rubber using the wet process in reducing the fatigue damage in heavily oxidized mixtures. The experimental data, obtained from four point bending tests (4PBT), were interpreted both with the classical methodology based on a 50% reduction of the initial stiffness and with Pronk's energy approach [1].

## 2 Materials and Mix Design

Two granular materials were used in the study: EAF slags and limestone filler. The slags utilized are the main by-product of steel production based on the electric arc furnace (EAF) technology [2-4]; they were made available in 3 particle sizes: 0/4, 4/8, 8/14 mm. Table 1 reports the physico-mechanical properties of the steel slags, plus the test protocols adopted.

**Table 1.** Physical and mechanical characteristics of EAF slags

Physical ÷ Mechanical properties	Standard	EAF slags 0/4 mm	EAF slags 4/8 mm	EAF slags 8/14 mm
Los Angeles coefficient [%]	EN 1097-2	-	16	14
Equivalent in sand [%]	EN 933-8	86	-	-
Shape Index [%]	EN 933-4	-	1.9	2.9
Flakiness Index [%]	EN 933-3	-	4.2	6.4
Freeze/thawing [%]	EN 1367-1	-	0.1	0.1
Fine content [%]	EN 933-1	2.7	0.0	0.0
Grain density [ $\text{Mg/m}^3$ ]	EN 1097-6	4.017	3.979	3.919
Water absorption [%]	EN 1097-6	0.510	0.307	0.112

Three mixes were designed: a Stone Mastic Asphalt mix (SMA), a Wearing Course Asphalt concrete (WCA) and a Base course Asphalt Concrete (BAC). The study of the grading curves was conducted with reference to the design grading envelopes included in SITEB – Italian Society of Bitumen Technologists [5]. Table 2 reports the grading composition of the bituminous mixtures and proportions of the components, while the grading curves of the mixes are presented in Table 3; the total amount of steel slag was 89%, 92%, 93% for BAC, WCA and SMA respectively.

**Table 2.** Aggregate type and particle size distribution of the mixtures

Mix composition	Fraction [mm]	Quantity [%]		
		SMA	WCA	BAC
EAF steel slag	0/4	45	70	50
	4/8	22	12	13
	8/14	22	10	30
Filler (additive)	-	11	8	7

**Table 3.** Design grading curve of the mixtures

Sieves size [mm]	Design grading curve [%]		
	SMA	WCA	BAC
20	100.0	100.0	100.0
15	99.9	99.9	99.9
10	85.2	93.3	79.8
5	43.8	58.2	43.0
2	24.6	28.9	22.0
0.4	15.3	14.5	11.7
0.18	12.7	10.8	9.0
0.075	8.5	6.9	5.9

Three different bitumens were used in the experiments for each of the mixes: crumb rubber modified bitumen (45 dmm pen), as well as hard and soft SBS polymer modified bitumen (52 dmm pen and 59 dmm pen, respectively). The polymer modified mixtures were investigated in order to allow a direct comparison with the corresponding asphalt rubber mixtures, with the same skeleton matrix, but made following the wet process technology [6]. The Marshall procedure was used for determining the optimal binder content, along with the indirect tensile strength test. The mixes with maximum Marshall Stability and maximum indirect tensile strength at 25 °C were considered optimal. Table 4 reports Optimum Bitumen Content (OBC), bulk density, Indirect Tensile Strength (ITS) at 25 °C and Stiffness Modulus (Sm) by 4PBT at 20 °C and 10 Hz, of the bituminous mixtures. The stiffness has been evaluated for both aged and unaged specimens, for each mixture. The asphalt rubber mixtures and those with hard and soft modified polymers are indicated by the letters “ar”, “hm”, “sm” next to the mixture acronym.

**Table 4.** Physical and mechanical characteristics of the bituminous mixtures

Mixture	OBC [%]	Bulk density [kg/m <sup>3</sup> ]	ITS [MPa]	Sm [MPa]	Sm [MPa] aged samples
SMA/ar	6.0	3150	1.46	5438	5978
SMA/hm	6.0	3130	1.29	5386	6415
SMA/sm	6.0	3080	1.13	4405	5366
WCA/ar	5.0	3110	1.29	6102	8145
WCA/hm	5.0	3100	1.16	6573	8738
WCA/sm	5.0	3060	1.00	6349	7384
BAC/ar	4.0	3030	0.94	6779	7779
BAC/hm	4.0	2930	0.86	6798	7853
BAC/sm	4.0	2910	0.78	4992	6582

### 3 Fatigue Characterization

The fatigue characterization was conducted with reference to the protocol described in Annex D of the European Standard EN 12697-24 [7], relative to the four point bending test. These are tests of controlled strain (strain values between 200  $\mu\text{m/m}$  and 600  $\mu\text{m/m}$ ), with a continual wave of sinusoidal loading without rest periods, conducted at a temperature of 20 °C and frequency of 10 Hz. For each test, in addition to the stress and strain values, the angle phase and energy dissipated at each loading cycle were also monitored, in order to be able to analyze the experimental data with an energy approach.

The beam specimens submitted to the bending tests, with dimensions of 400 mm x 50 mm x 60 mm, were cut from 300 mm x 400 mm x 50 mm slabs, densified using a laboratory compacting roller in accordance with the EN 12697-33 Standard. Some of the beam specimens were then exposed to accelerated long-term ageing, by means of conditioning in an oven at 85 °C for 5 days, in order to evaluate the effect of ageing on the fatigue performances of the mixtures and any

benefits produced by the bitumen modified with crumb rubber, compared to binders modified with polymers.

### 3.1 Fatigue Characterization Based on the Stiffness Reduction Approach

Figures 1 and 2 present the fatigue curves in the standard format, which links the initial strain  $\epsilon_0$  (evaluated at the 100-th cycle) to the number of cycles (failure)  $N_f$  that correspond to a 50% reduction in the initial stiffness modulus, for the unaged and aged mixtures, respectively.

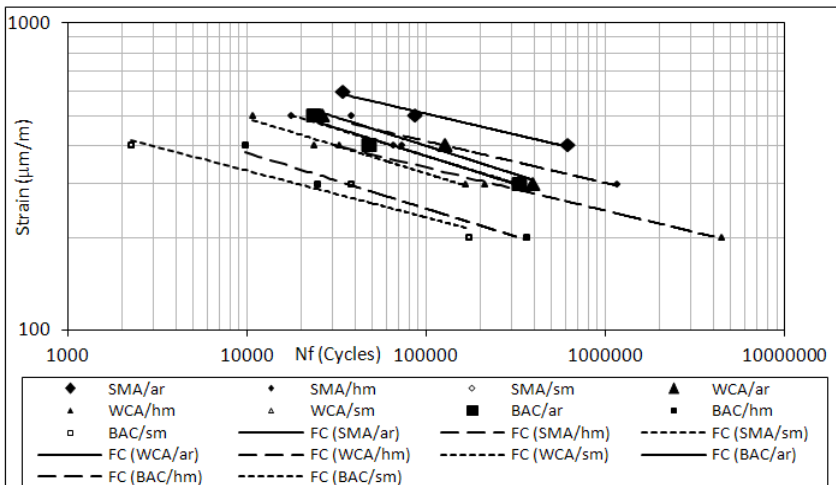
The regression analysis of the fatigue data was performed using a power law model of the type:

$$\epsilon_0 = aN_f^b \tag{1}$$

where  $a$  and  $b$  are regression coefficients depending on the type of material. Tables 5 and 6 report the regression coefficients and the coefficient of determination  $R^2$ .

With reference to a fatigue resistance of 1,000,000 loading cycles (as indicated in Standard EN 12697-24, Annex D), and using Eqn. (1), it was possible to calculate the corresponding tensile strain  $\epsilon$  ( $10^6$ ), which was always higher for the asphalt rubber mixtures, with respect to the corresponding polymer modified mixtures; in particular the highest value, 372  $\mu\text{m/m}$ , was obtained for SMA/ar (Table 5).

In each type of mixture, the hard modified bitumen led to an improved fatigue life compared to the soft; the increase, although minimal in the case of the BAC concrete, is 24% and 15% for SMA and WCA, respectively.



**Fig. 1.** Fatigue life  $N_f$  versus initial strain (SMA Stone Mastic Asphalt, WCA wearing course bituminous concrete, BAC base course bituminous concrete; ar asphalt rubber, hm hard modified bitumen, sm soft modified bitumen; FC fatigue curve).

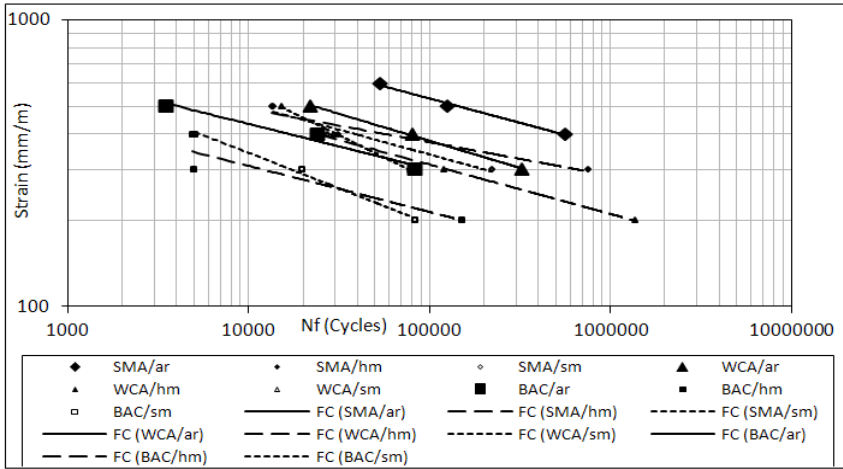


Fig. 2. Fatigue life  $N_f$  versus initial strain; aged mixtures (see Fig. 1 legend)

Table 5. Fatigue curves - regression coefficients ( $N_f$  approach)

Mixture	a [ $\mu\text{m/m}$ ]	b [-]	$\epsilon$ ( $10^6$ ) [ $\mu\text{m/m}$ ]	$R^2$ [-]
SMA/ar	2435.4	-0.1364	372	0.9792
SMA/hm	1979.6	-0.1362	302	0.9263
SMA/sm	2854.5	-0.1778	244	0.9995
WCA/ar	3381.7	-0.1859	259	0.9719
WCA/hm	1702.3	-0.1403	246	0.9985
WCA/sm	2573.0	-0.1801	214	0.9732
BAC/ar	3048.4	-0.1835	243	0.9652
BAC/hm	2032.5	-0.1825	164	0.9687
BAC/sm	1349.4	-0.1527	163	0.9294

Table 6. Fatigue curves, aged mixtures - regression coefficients ( $N_f$  approach)

Mixture	a ( $\mu\text{m/m}$ )	b (-)	$\epsilon$ ( $10^6$ ) ( $\mu\text{m/m}$ )	$R^2$
SMA/ar	3743.5	-0.17	358	0.9911
SMA/hm	1432.4	-0.117	284	0.9378
SMA/sm	2476.2	-0.173	227	0.9562
WCA/ar	3334.9	-0.189	245	0.9971
WCA/hm	2275.8	-0.172	211	0.9995
WCA/sm	9757.0	-0.309	137	0.9983
BAC/ar	1843.6	-0.157	211	0.9610
BAC/hm	1363.3	-0.161	147	0.8347
BAC/sm	3345.1	-0.247	110	0.9933

The increase in fatigue life due to the adoption of crumb rubber modified bitumen is much more substantial, in particular compared with the soft modified binder, with increases varying up to a maximum of 52%, in relation to the type of concrete.

A comparison of the data in Tables 4, 5 and 6 allows the increase in stiffness to be evaluated linked to the ageing of the concretes, which is followed by a reduction in the fatigue life. The concretes with soft modified bitumen were more affected by ageing (reductions of  $\epsilon$  ( $10^6$ ) of up to 36%), while variations of  $\epsilon$  ( $10^6$ ) of less than 13% were recorded for the asphalt rubber mixtures. The hard modified bitumen, compared to that modified with crumb rubber, showed similar effects, if slightly worse, in relation to the type of mixture.

### 3.2 Fatigue Characterization Based on the Energy Ratio Approach

The data gathered in the fatigue tests were also analyzed with Pronk’s energy approach [1], recently also utilized by Artamendi and Khalid [8], in which a fundamental role is assumed by the energy ratio  $R_n$ , calculated as the ratio between the cumulative energy dissipated up to the  $n$ -th cycle and that dissipated in the  $n$ -th cycle, according to Eqn. (2):

$$R_n = \frac{\pi \sum_{i=0}^n \sigma_i \epsilon_i \text{sen} \phi_i}{\pi \sigma_n \epsilon_n \text{sen} \phi_n} \tag{2}$$

where  $\sigma$ ,  $\epsilon$ ,  $\phi$ ,  $i$  and  $n$  represent the stress, strain, phase angle, generic  $i$ -th cycle and  $n$ -th cycle, respectively. The criterion of failure in this approach is the formation of macro-cracks in correspondence to the number of cycles  $N_1$ , so  $R_n$  assumes a non-linear trend. Figure 3 reports an example of the determination of  $N_1$  for the asphalt rubber mix type BAC.

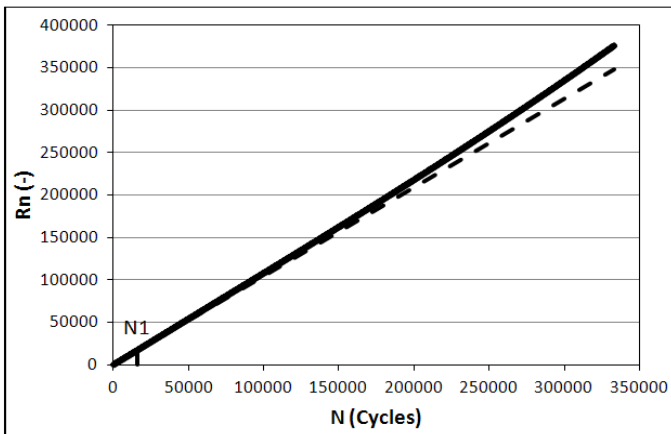


Fig. 3. Determination of failure  $N_1$  for mix BAC/ar at 300  $\mu\text{m/m}$

In the analysis of the evolution of  $R_n$  with the varying of the number of cycles, the exact identification of  $N_1$ , although precisely defined in theory, results as being significantly influenced by the subjectivity of the researcher.

Figures 4 and 5 show the fatigue curves elaborated as a function of  $N_1$  and the value of initial strain, for the unaged and aged mixtures, respectively.

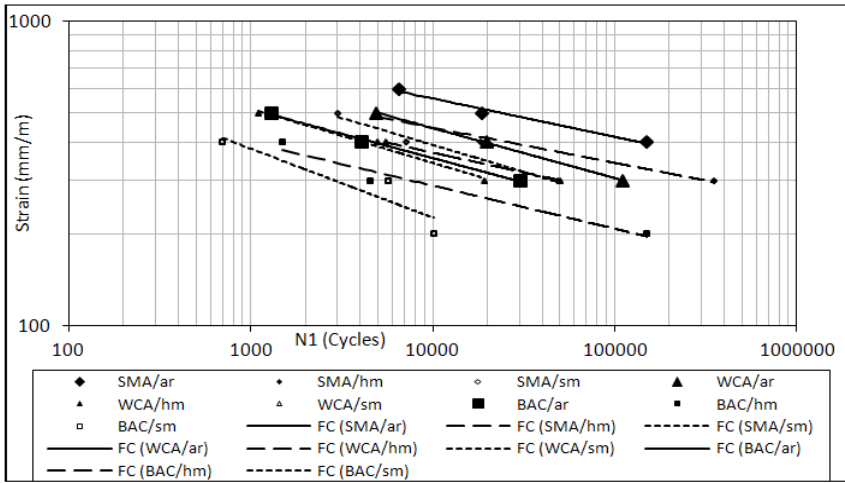


Fig. 4. Fatigue life  $N_1$  versus initial strain (see Fig. 1 legend)

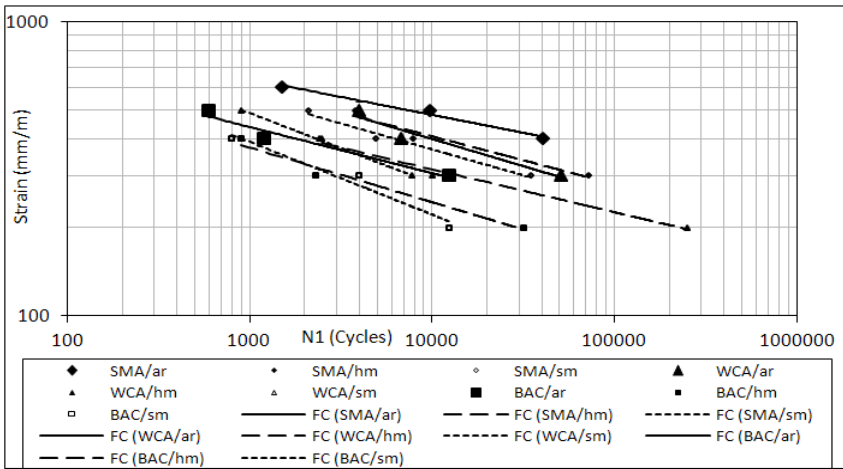


Fig. 5. Fatigue life  $N_1$  versus initial strain; aged mixtures (see Fig. 1 legend)

For the analytical interpolation, a power function was again used, analogous to Eqn. (1), substituting  $N_1$  for  $N_f$ . Tables 7 and 8 report the coefficients of regression and determination, as well as the value of  $\epsilon$  ( $10^6$ ), for the unaged and aged mixtures, respectively.

**Table 7.** Fatigue curves - regression coefficients ( $N_1$  approach)

Mixture	a [ $\mu\text{m}/\text{m}$ ]	b [-]	$\epsilon$ ( $10^6$ ) [ $\mu\text{m}/\text{m}$ ]	$R^2$ [-]
SMA/ar	1776.8	-0.126	312	0.9835
SMA/hm	1302.6	-0.116	262	0.9800
SMA/sm	2014.0	-0.178	172	0.9794
WCA/ar	2019.2	-0.164	209	0.9999
WCA/hm	1225.3	-0.130	203	0.9999
WCA/sm	1765.0	-0.178	151	0.9886
BAC/ar	1555.0	-0.160	171	0.9934
BAC/hm	1067.1	-0.142	150	0.9623
BAC/sm	1824.7	-0.227	79	0.8364

**Table 8.** Fatigue curves, aged mixtures - regression coefficients ( $N_1$  approach)

Mixture	a [ $\mu\text{m}/\text{m}$ ]	b [-]	$\epsilon$ ( $10^6$ ) [ $\mu\text{m}/\text{m}$ ]	$R^2$ [-]
SMA/ar	1483.6	-0.122	275	0.9817
SMA/hm	1834.8	-0.163	193	0.9565
SMA/sm	1863.7	-0.176	164	0.9771
WCA/ar	2182.6	-0.184	172	0.9377
WCA/hm	1213.2	-0.146	161	0.9842
WCA/sm	2537.6	-0.238	95	0.9995
BAC/ar	1299.8	-0.157	149	0.9478
BAC/hm	1348.9	-0.186	103	0.9717
BAC/sm	2158	-0.247	71	0.9620

The analysis of the values of  $\epsilon$  ( $10^6$ ), determined with Pronk's approach, allows the different types of mixtures to be discriminated in an analogous way to the standard fatigue characterization, also with regard to the aged concretes.

The better performance of the modification with crumb rubber is therefore fully confirmed for all three types of concrete. Nonetheless, the energy analysis can be considered more reliable, in that the criterion of failure used allows the fatigue performances of the bituminous concretes to be compared in the same damage conditions.

The energy interpretation leads to an estimate of values of  $\epsilon$  ( $10^6$ ), which is from around 10% to more than 100% lower than that determined with the criterion of reduction of the stiffness, depending on the type of concrete.



## 4 Conclusions

The fatigue tests, conducted on bituminous concretes of the SMA, WCA, BAC type (all made with EAF steel slags), have demonstrated the clearly better performance of the asphalt rubber mixtures compared with those of similar type but made with SBS polymer modified bitumen.

The effectiveness of the crumb rubber modified bitumen in the increase of fatigue life was also clear for the mixtures in conditions of post-ageing.

The interpretation of the data from the 4PBT tests on strain control, according to the criteria  $N_f$  and  $N_1$ , led to a similar comparative evaluation of the mixtures from the qualitative point of view; nonetheless, with the energy approach, a more precautionary estimate was obtained of the fatigue life of the concretes.

Among the different types of mixtures, the one for base courses (BAC) showed the greatest benefits from the use of the crumb rubber modified bitumen; with reference to the energy analysis, the increase in fatigue life in terms of  $\epsilon$  ( $10^6$ ), with respect to the same mixture with the soft SBS modified bitumen, was more than 100%, in both aged and unaged conditions.

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# Fatigue Cracking of Gravel Asphalt Concrete: Cumulative Damage Determination

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**Abstract.** The aim of this paper is to analyse the fatigue performance of two accelerated pavement test sections. Gravel Asphalt Concrete (GAC) has been used as asphalt mixture for these sections. In order to be able to do so four point bending fatigue tests were carried out to obtain the fatigue characteristics. These tests were done in the same frequency range as loading frequency (loading time) applied in the accelerated pavement test. The fatigue of both GAC sections is represented by means of Miner's cumulated damage ratio and the observed amount of cracking. It will be shown that there is a poor match between Miner's damage ratio and the observed amount of cracking. A calibration factor was developed to "match" theoretical life predictions with observed pavement performance. These calibration factors are considered to be useful for the prediction of pavement fatigue life in practice.

## 1 Introduction

In order to meet the requirements of resistance against deformation and cracking as well as durability, asphalt mixes ought to acquire certain properties. Fatigue is one of the material properties which is essential to be examined. Edwards [1] already ascertained that in cases when service loadings are variable in nature it is necessary to employ a cumulative damage rules. To predict fatigue cracking in flexible pavement, damage is commonly cumulated based on the Miner's law, one of the most popular rules of this kind.

Cumulated fatigue damage due to traffic loading of flexible pavements is usually defined as the ratio of applied nr of load repetitions  $n$  over the allowable nr of load repetitions  $N$ . This  $n/N$  ratio however needs to be related to the percentage of the pavement surface that shows cracking. There is however very limited information available on how this cumulated damage  $n/N$  is correlated to the amount of cracking. As Sun et al [2] described that no matter which kind of predictive model of fatigue cracking is adopted, in the literature no experiment-based empirical evidence or procedure is currently available to convert damage in terms of the number of load repetitions obtained from these predictive models to

percentage fatigue cracking, which is very important in providing a meaningful interpretation of damage in practice. Having pavement damage information that had been collected by The Road and Railroad Research Laboratory (RRRL) of Delft University of Technology (DUT) from accelerated pavement testing for test sections made of gravel asphalt concrete, give us opportunity to provide this kind of information, specifically to relate  $n/N$  ratio and percentage of cracking on the pavement surface.

The objective of this paper is to develop a relationship between the cumulated damage ratio  $n/N$  and the amount of cracking observed test sections made of GAC (until recently GAC was used widely for bituminous base course layers). For this purpose, samples of GAC were made to be tested in the Four Point Bending Test (FPBT) in which cyclic loading was performed to obtain a relationship between the number of cycles to fatigue versus the applied tensile strain level. Then fatigue lines were established with  $5^{\circ}\text{C}$  increments for temperatures ranging from  $0^{\circ}\text{C}$  to  $30^{\circ}\text{C}$ . The tensile strain level,  $\epsilon$ , occurring in the test sections under the applied test load (load, tire pressure, temperature, loading speed) was calculated using BISAR. Then we calculated the ratio of actual nr of load repetitions applied to the pavement during certain period,  $n_i$ , to the allowable nr of load repetitions as determined from the tensile strain and the fatigue characteristics,  $N_i$ . Cumulative pavement damage was defined as the ratio  $\Sigma n_i / N_i$ .

## 2 Accelerated Pavement Testing Facility Lintrack

Lintrack is an accelerated pavement testing facility, owned by RRRL of DUT and the Road and Hydraulic Engineering Division (RHED) of the Dutch Ministry of Transport Public Works and Water Management, which simulates the effects of heavy vehicles. Groenendijk [3] has described in detail tests that have been conducted by means Lintrack and only a very short summary will be given hereafter. In 1991 4 identical lanes (test lane I to IV) were built on the test field of the RRRL. These pavements were full depth asphalt structures consisting of 0,15 m of hot mix Gravel Asphalt Concrete (GAC). Each section was 16 m long and 4 m wide. After testing of lane I, it was decided to perform another test with the same load condition but on a thinner construction. Therefore test lane II was reduced in May 1995 from 0.15 to 0.075 m thickness. This lane is called "lane Va". [3]

## 3 Experimental Program

To obtain the fatigue characteristics of (GAC) the following experiment was carried out. According to the prevailing Dutch standards [4], the GAC for the Lintrack sections was a GAC 57 type 0/32 for traffic class IV. Obtaining specimens with similar volumetric and mechanical properties as the GAC used in

the Lintrack experiments is a must in order to be able to make a good comparison between what the predicted and observed damage. Since the old pavement test sections had been removed, new samples based on the original GAC mix design were produced. Because the average air void content of Lintrack test sections 1 and Va is 4,475%, this meant that specimens had to be produced with an air void content between 4% to 5 %.

FPBT were performed to obtain the stiffness and fatigue characteristics of the specimens. FPBT stiffness tests have been done at 5°C, 10°C, 15°C, 20°C, 25°C and 30°C at frequencies of 0.5Hz, 1Hz, 2Hz, 4Hz and 8Hz. The FPB fatigue tests have been performed at 5°C, 20°C and 30°C. The loading frequencies at 5°C and 20°C were 3Hz and 8Hz, while for 30°C the tests were only done at 8 Hz. The fatigue tests were carried out in the constant displacement mode.

Analyzing the measured transversal and longitudinal strain signals for Lintrack test section 1, Bouman [5] and Groenendijk [6] concluded that an equivalent frequency of loading of 3 Hz could be assumed for the transversal strains and 7 Hz for the longitudinal strains. Bhairo [7] reported equivalent frequencies for Lintrack test section 5a as 4 Hz and 8 Hz for the transversal and the longitudinal direction respectively. The difference is trivial and in actual fact can be ignored. Therefore the analysis in this paper is conducted for 3 Hz and 8 Hz.

Monotonic Uniaxial Tension and Compression Tests were carried out to obtain information of the tensile and compression strength at various strain rates and temperatures. The test has been done at 5°C, 20°C and 30°C.

#### 4 Calculation of GAC Fatigue Line

The FPBT provided information about the fatigue behaviour of the asphalt concrete at different temperatures and loading frequencies. The failure point  $N_f$  was defined as the number of cycles where the stiffness is half the initial value. The obtained fatigue lines are shown in figure 1 and can be expressed by equation 1.

$$N_f = c\epsilon^k \quad \text{or} \quad \text{Log}N_f = \log c + k \log \epsilon \tag{1}$$

Where  $N_f$  is number of constant strain applications until the specimen reaches half of its initial stiffness,  $\epsilon$  is applied strain ( $\mu\text{m}/\text{m}$ ), while  $c$  and  $k$  are regression constants. Figure 1 also shows the regression equations for the different fatigue lines.

The pavement temperature during Lintrack performance test varied from -7°C to 30°C. Meanwhile FPBT was conducted at temperatures of 5°C, 20°C and 30°C and loading frequencies of 3Hz and 8 Hz. In order to be able to estimate the fatigue relation for conditions which differed from the test conditions, a relationship was developed between the constants of the fatigue relations on one hand and the stiffness of the mixture on the other. In order to get a more reliable relationship, the data set which is mentioned above was extended by adding GAC

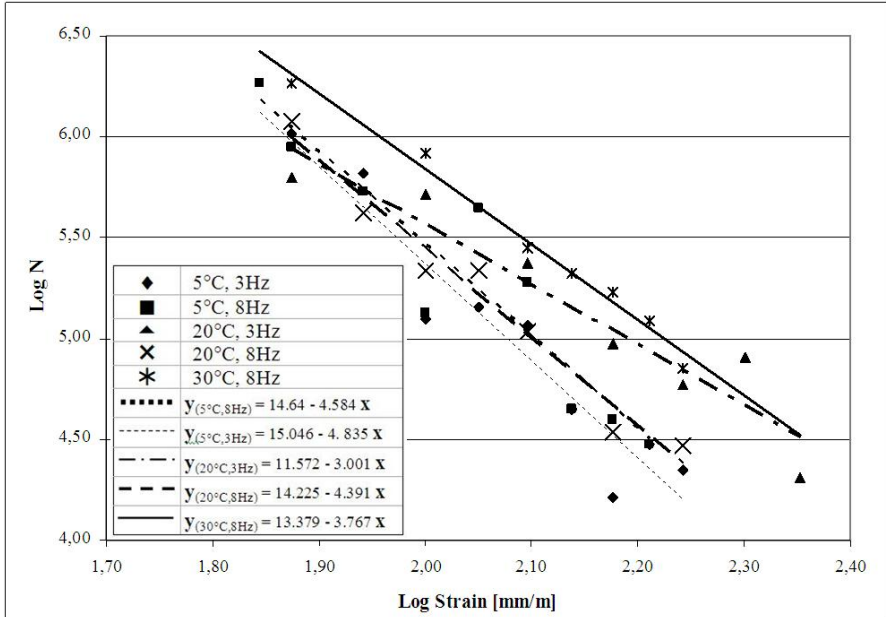


Fig. 1. Fatigue relation of gravel asphalt concrete

Table 1. Constant c & k for other temperatures

Temp °C	3 Hz			8Hz		
	S <sub>mix</sub> (Mpa)	k	c	S <sub>mix</sub> (Mpa)	k	c
0	21965	-4,979	15,552	23586	-5,119	15,859
5	17862,96	-4,835*	15,046*	20031,9	-4,584*	14,640*
10	13761,22	-4,272	13,993	16478,12	-4,506	14,509
15	10176,1	-3,963	13,312	12426,14	-4,157	13,740
20	7961,33	-3,001*	11,572*	10313,18	-4,391	14,225*
25	5091,93	-3,525	12,346	6930,39	-3,683*	12,696
30	3628,07	-3,398	12,068	5052,72	-3,767*	13,379*

\* Constants from GAC fatigue line calculation as shown in figure 1.

FPBT data which were collected at the time of the construction of the Lintrack sections. For these later data the reader is referred to van de Ven [8] and Wattimena [9] and Groenendijk [3]. The regression analysis gave the following equations to predict the constants “c” and “k” (equations 2 and 3). Table 1 show the c and k values as estimated for different temperatures and loading frequencies.

$$k = \left( 0,0862 \times \frac{S_{mix}}{1000} \right) - 3,0857, \quad R^2 = 0,6694 \tag{2}$$

$$c = (-2,2036 \times k) + 4,5796, \quad R^2 = 0,9642 \tag{3}$$

### 5 Results and Discussion

As mentioned before, the cumulative amount of damage was calculated using equation 4.

$$D = \sum_{i=1}^m \frac{n_i}{N_i} \tag{4}$$

Where  $n_i$  is the number of load repetitions applied during period  $i$  and  $N_i$  the allowable number of load repetitions for period;  $m$  indicates the number of periods and is equal to the nr of temperature classes shown in table 1. During the Lintrack test there are rest periods in between the successive loadings. It is well known that due to the visco-elastic behaviour of AC, this condition -especially at high temperature- allows micro cracks to heal. Therefore the number of allowable load repetitions that calculated from laboratory test results need to be multiplied with a “healing factor” ( $H$ ). Besides this correction, another correction factor called “lateral wander” ( $LW$ ) must be taken into account. This correction considers the fact that the real traffic loads are applied with a certain lateral wander pattern over cross section of the lane. Therefore the  $N_i$  should be calculated by means of equation 5 [7].

$$N_i = N_{fat,lab} * H * LW \tag{5}$$

$LW$  is calculated using the actual lateral wander of the traffic and the lateral wander reduction chart of RHED [7]. This chart shows that the magnitude of  $LW$  depends on the thickness and stiffness of the top layer as well as the stiffness of the subgrade.

$H$  is the correction factor for healing for which values are reported in literature ranging from 1 to 20. The value depends on the amount and type of bitumen used in the mixture[10] as well as the loading time to rest period ratio. It is generally accepted in the Netherlands to take  $H = 4$  for this type of GAC mixtures.

Table 2 shows the results of the damage calculations for Lintrack section 1. The cumulative damage of Lintrack section 1 and 5a are given in table 3.

Figures 2 and 3 show the development of the damage ratio as well as the development of the amount of cracking that were visible at the pavement surface.

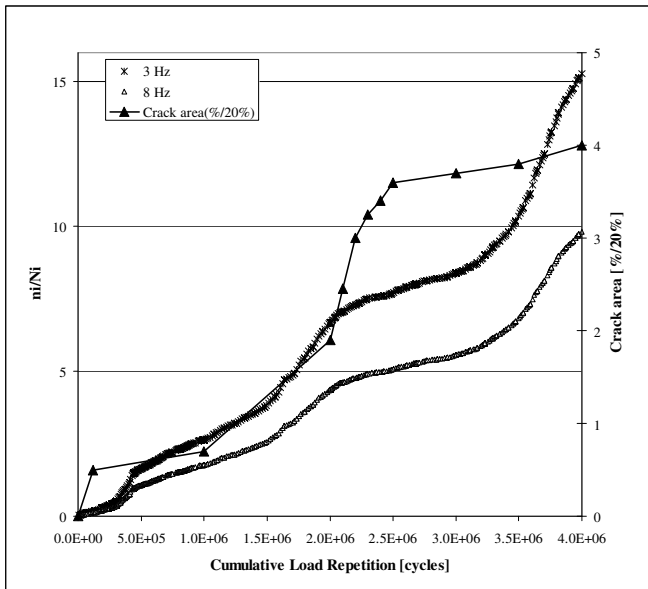
During the tests also strain measurements were made. On five locations of each section, longitudinal and transversal strain gauges were installed at the bottom of the asphalt layer. It is obvious that the increase in the measured tensile strain is also telling something about damage development. Information on this is given in figure 4.

**Table 2.** Cumulative damage for Lintrack I at frequency 3 Hz

Temp	Smix	$\epsilon$			$N_{fat,lab}$	H	LW	$N_i$	applied nr of load repetitions	Damage
°C	MPa	$\mu\text{m/m}$	k	c	cycles			cycles	cycles	$n_i/N_i$
0	21965	120	-4.979	15.552	159799	4	1.96	1253326	436,000	0.348
5	17862	136	-4.835	15.046	53864	4	2.08	448867	1,190,500	2.652
10	13761	160	-4.272	13.993	38275	4	2.22	340223	1,090,000	3.204
15	10176	192	-3.963	13.312	18370	4	2.38	174953	681,000	3.892
20	7961	223	-3.001	11.572	33411	4	2.56	342677	496,500	1.449
25	5091	294	-3.525	12.347	4457	4	2.86	50938	106,000	2.081
30	3628	361	-3.398	12.068	2374	4	3.13	29675	0	0.000
<b>Sum</b>										<b>13.63</b>

**Table 3.** Total cumulative damage for Lintrack I and Va

Lintrack Section 1		Lintrack Section 5a	
Frequency (Hz)	n/N	Frequency (Hz)	n/N
3	13.63	3	18.49
8	10.76	8	15.79



**Fig. 2.** Cumulative damage and crack area development vs load repetitions of Lintrack I

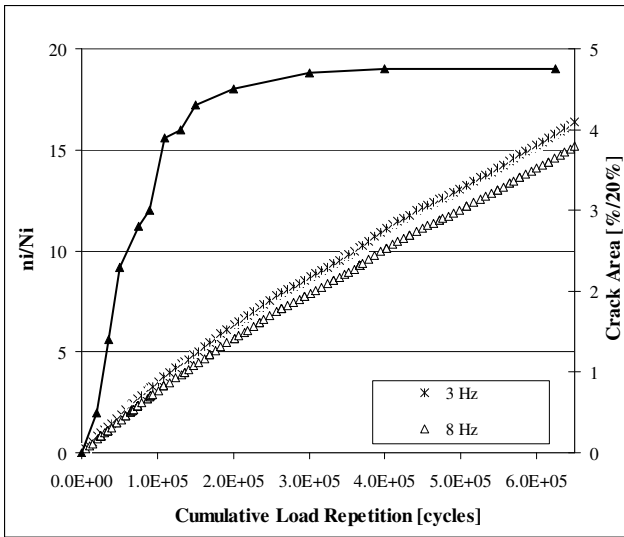


Fig. 3. Cumulative damage and crack area development during loading repetition of Lintrack Va

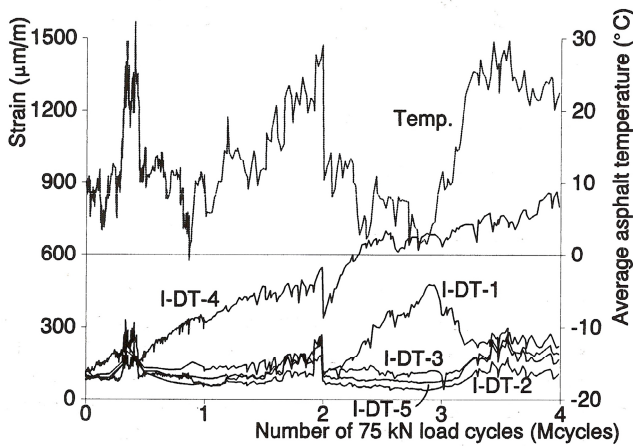
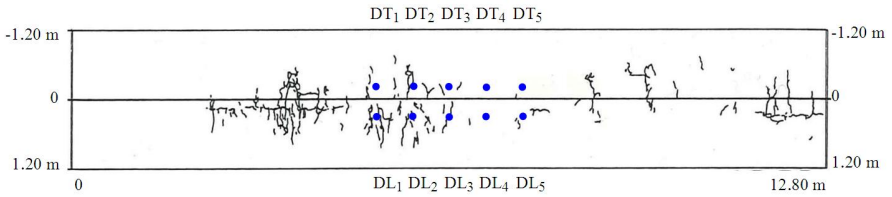


Fig. 4. Increase in strain levels vs nr of load repetitions in section 1

From figure 4 it is clear that in section 1 only transversal strain gauge IDT 4 was measuring an increase in tensile strain from the very beginning of the test. IDT 1 only showed an increase after about  $2 \cdot 10^6$  repetitions while IDT 2, 3 and 5 showed an increase after approximately  $3.2 \cdot 10^6$  repetitions. If we assume that the moment at which the tensile strain increases is the moment of initiation of cracking, then it is quite clear that not all visible cracking is classical fatigue



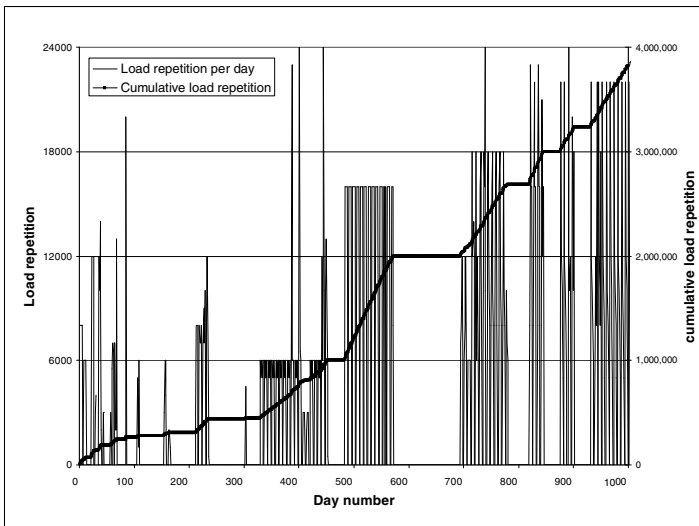
cracking which is growing from the bottom of the asphalt layer to the top! This has been further substantiated by plotting the occurrence of cracking in relation to the location of the transversal strain gauges (see figure 5).



**Fig. 5** Shows the total crack pattern Lintrack section 1 after 2,5 million load repetition in relation to the location of the strain gauges

When combining figure 5 with figure 4 it becomes clear that at position IDT4 and IDT5 no cracking is visible after 2.5 million load repetitions while at the other locations cracking is visible.

Because the high values for the  $n/N$  ratio did not compare well with the observed increase in tensile strain, an additional analysis was made in order to understand the reasons for this mismatch. One aspect that might have influenced considerably the behaviour of the test sections is the fact that the loads were not continuously applied on both test sections. Because of maintenance of the equipment, Lintrack was sometimes out of order for a considerable period of time. Figure 6 shows how the load applications were applied on section 1. As one will observe some very long rest periods occurred on section 1.

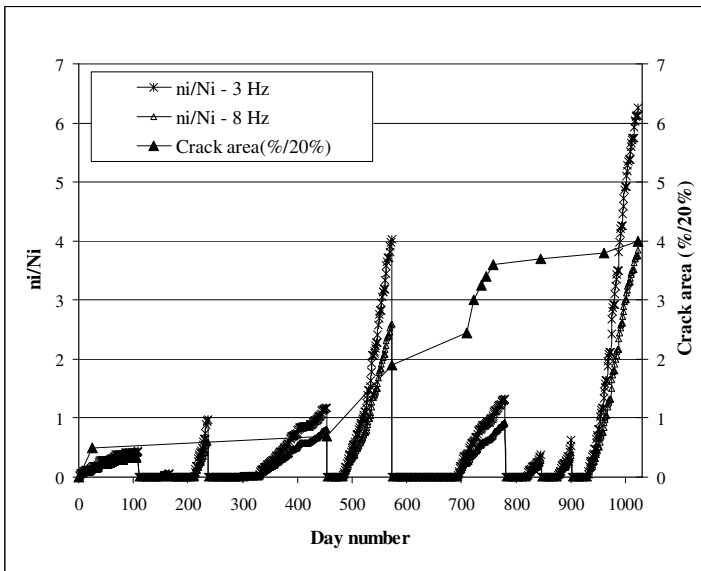


**Fig. 6.** Number of load repetition per day (Lintrack I)

Work done by Qiu [11] has shown that complete healing can occur when the rest periods are very long. Therefore figures 2 and 3 are plotted again assuming that damage in the mixture had completely healed after a long rest period implying that the ratio  $n/N$  returned to zero after such a period. The results are shown in figures 7 and 8.

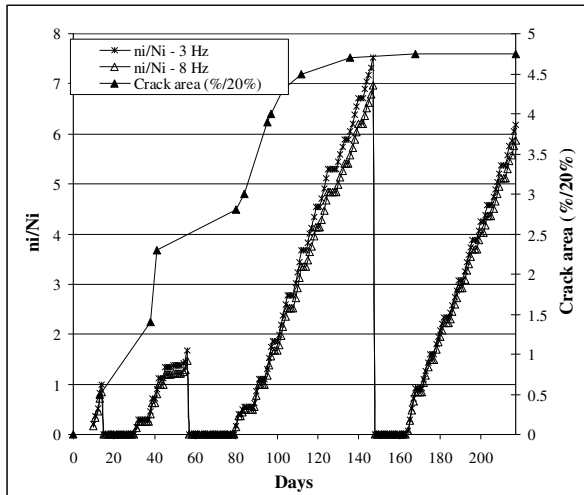
Figure 7 shows that approximately 10% cracking was observed on section 1 after the first 110 days of testing. At that moment the  $n/N$  ratio obtained a value of approximately 0.5. Cracking rapidly increased when testing was resumed after 480 days. It appears from figure 7 that during the next 90 days (from 480 – 570 days) of testing, the amount of cracking increased from approximately 13% to 39% while  $n/N$  increased from 0 to approximately 3.3 (average of 3 Hz and 8 Hz line).

Figure 8 provides the same information for section 5a. The calculated damage as well as the amount of cracking increased rapidly from the beginning of the test.



**Fig. 7.** Development of damage represented as  $n/N$  and crack area assuming full healing after a long rest period (Lintrack I)

In case of section 5a, the  $n/N$  ratio was approximately 1 when approximately 10% cracking was obtained. From these observations it can be concluded that at a damage ratio of  $n/N = 1$ , the pavement can certainly not be considered as completely failed. Furthermore it seems that calibration factors matching predicted with observed damage are dependent on the thickness of the asphalt layer. It also seems that thicker pavements may show a significant amount of visible damage at the pavement surface while the amount of damage according to Miner’s law is still limited.



**Fig. 8.** Development of damage represented as  $n/N$  and crack area assuming full healing after a long rest period (Lintrack Va)

## 6 Conclusions

In this paper, fatigue performances of two accelerated pavement test sections are discussed. From this study it can be concluded that damage ratios calculated using a classical fatigue relation and Miner's law do not match with the amount of damage (cracking) observed on the pavement surface. Calibration factors needed to match predicted and observed damage seem to be dependent on the thickness of the asphalt layer. Thicker asphalt pavements seem to show more surface damage at equal Miner ratio values than thinner pavements. Furthermore advanced types of analysis are needed to explain better the damage development as observed.

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# Fatigue Resistance and Crack Propagation Evaluation of a Rubber-Modified Gap Graded Mixture in Sweden

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**Abstract.** The main purpose of this study was to document the laboratory experimental program results conducted at Arizona State University (ASU) and the Swedish National Road and Transportation Research Institute (VTI) to obtain material properties and performance characteristics for a “reference-gap” , “polymer-modified gap”, and “rubber-modified gap” graded mixtures placed on the Swedish Malmo E6 Highway. The advanced material characterization tests of interest to this paper included: bending beam for fatigue cracking evaluation and C\* line integral test along with Wheel Tracking Tests (WTT) to evaluate crack propagation. The test results were used to compare the performance of the rubber-modified gap graded mixture to a polymer-modified as well as a reference-gap mixture. The results showed that the expected fatigue life for the rubber-modified gap graded mixture was the highest followed by the polymer-modified and then the reference-gap mixture. Furthermore, the crack propagation test results showed that the rubber-modified gap graded mixture had higher resistance to crack propagation; also, it was observed that rubber-modified mix satisfied Swedish requirements of the corresponding wear layer coatings. To make an overall assessment and verify the laboratory results, it was recommended to conduct a multi-year continuous field monitoring and laboratory evaluation of the test sections.

## 1 Introduction

Load-associated fatigue cracking is considered to be one of the most significant distress modes in flexible pavements besides thermal cracking and rutting. Different tests and analysis methodologies have been developed over the past few decades for measuring the fatigue behavior of asphalt concrete mixtures. The prediction quality of the fatigue life using any test method will depend on how exact the method is to simulate the condition of loading, support, stress state and environment [1].

Asphalt rubber pavements have already gained interest in Europe. The Swedish Transport Administration (STA) became interested in placing rubber-modified pavement test sections on a few highways since 2007 [2]. At the end of 2009, about 15 test sections had been constructed, using approximately 57,000 tonnes covering about 100 lane-km. The majority of the rubber-modified pavement sections have been tested and evaluated mainly for noise and rolling resistance [3]. So far, there is not adequate information about the fatigue behavior of the Swedish rubber-modified mixtures pertinent to its regional climatic conditions.

Arizona State University (ASU) and STA undertook two joint collaborative projects during 2008-09 to understand the fundamental materials properties of the different gap graded, asphalt mixtures [2, 4]. As part of these two projects, advanced mixture material characterization tests were performed that included rutting evaluation, fatigue and thermal cracking evaluation, and crack propagation phenomenon assessment. Also, binder consistency tests were performed to complement mixture tests.

This paper presents results of the fatigue evaluation and crack resistance tests performed on the three variants of gap-graded, asphalt mixtures: reference, polymer-modified and rubber-modified. The three gap-graded mixes were placed on E18 highway between the interchanges Järva Krog and Bergshamra in the Stockholm area of Sweden. In addition, this paper documents the comparative results of the wheel tracking tests performed on the reference and rubber-modified gap graded mixtures at VTI, Swedish National Road and Transport Research Institute in Sweden.

## **2 Objective**

The main objective of this study was to compare the load-related crack behavior of three types of gap graded mixtures: reference, polymer-modified and rubber-modified mixes placed in the Stockholm Area of Sweden. The results were compared / ranked amongst each other to evaluate the anticipated performance of these mixes in the field.

## **3 Description of the Project and Mixtures**

The designated road section within the construction project had three different asphalt gap graded mixtures: a reference mix (designation: ABS 16 70/100) used as a control, a polymer-modified mix (designation: ABS 16 Nypol 50/100-75) that normally contained 3 to 6% polymer, and a rubber-modified mix (designation: GAP 16) that contained approximately 20 percent ground tire rubber.

## **4 Mixtures**

The three variants of asphalt gap graded mixtures and the associated binders were sampled from the project sites during construction. At the ASU laboratories, rectangular beam specimens were prepared for four-point bending fatigue testing

and cylindrical gyratory samples were manufactured for crack propagation tests. In addition, asphalt slabs were manufactured at VTI to perform wheel tracking tests.

The field compaction / air voids for the three mixtures were about 3%. The original mix designs were done using the Marshall Mix design method. The in-situ mixture properties of the Stockholm pavement test sections are reported in Table 1. Table 2 shows the reported average aggregate gradations for the each mixture. The base bitumen used was Pen 70/100. The polymer bitumen was designated Nypol 50/100-75 and rubber-modified was called GAP 16.

**Table 1.** Mixture Characteristics, Stockholm Highway

Mix	Binder Content (%)	Air Voids (%)	Max. Theoretical Density ( $G_{mm}$ )
Reference ABS 16 70/100	5.9	2.6	2.4642
Polymer ABS 16 Nypol 50/100-75	5.9	2.6	2.4558
Rubber GAP 16	8.7	2.4	2.3588

**Table 2.** Average Aggregate Gradations, Stockholm Highway

Gradation (% Passing by mass of each sieve)	Sieve Size (mm)	Reference-Gap	Polymer-Modified	Rubber-Modified
	22.4	100	100	100
	16	98	98	98
	11.2	65	65	68
	8	38	38	44
	4	23	23	24
	2	21	21	22
	0.063	10.5	10.5	7.5

## 5 Mixture Characterization

### 5.1 Beam Fatigue Test

The flexural fatigue test has been used by various researchers to evaluate the fatigue performance of pavements [5-7]. Flexural fatigue tests were conducted according to the AASHTO T321 [8]. In this study beams were prepared using vibratory loading applied by a servo-hydraulic loading machine. A beam mold was manufactured at ASU with structural steel that is not hardened. The inside dimensions of the mold are 12 mm larger than the required dimensions of the beam after sawing in each direction to allow for a 6 mm sawing from each face. The beams are saw-cut from the compacted specimens to the required dimensions of 63.5 mm wide, 50.8 mm high and 381 mm long.

The following conditions were used:

- Air voids as compacted in the laboratory:  $3.0 \pm 0.5\%$  for the three mixtures.
- Load condition: Constant strain level, at least 5 levels of the range 325-1300  $\mu\epsilon$ .
- Test temperature: 21.1 °C

Initial flexural stiffness was measured at the 50<sup>th</sup> load cycle. Fatigue life or failure under control strain was defined as the number of cycles corresponding to a 50% reduction in the initial stiffness. Figure 1 shows a comparison of the number of cycles to failure,  $N_f$  for the three mixtures at 21.1 °C. It is observed that the rubber-modified mix has the greatest fatigue life, followed by the polymer-modified mix, and the reference-gap mix has the least fatigue life amongst the three mixtures. Initial stiffness values were not similar across all mix specimens and thus the relationships should be used to compare fatigue data as general trend lines.

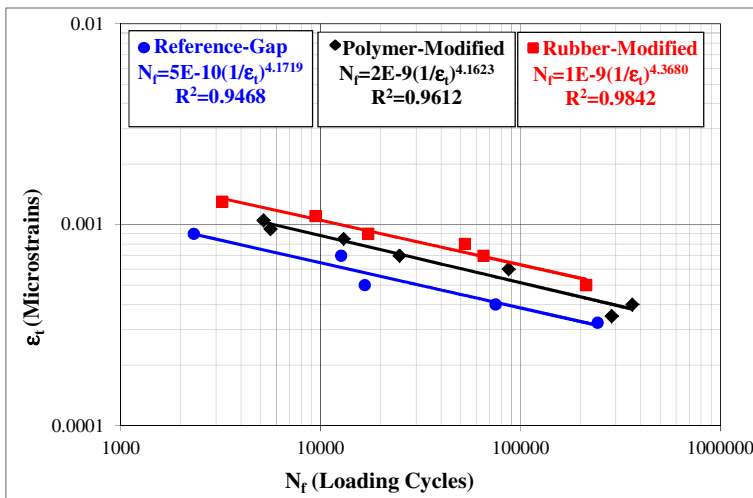


Fig. 1. Comparison of fatigue relationships for the three mixtures

## 5.2 Crack Propagation Test – C\* Line Integral

The C\* parameter was first applied to fracture mechanics in 1976 by Landes and Begley [9]. C\* can be described as an energy rate line integral describing the stress and strain rate field surrounding a crack tip. Abdulshafi (1983) originally applied the C\* line integral (energy approach) to asphalt concrete in attempt to predict fatigue life and used a notched disk specimen to evaluate C\* in the laboratory [10]. It was also used in subsequent studies to evaluate different modifiers for asphalt concrete [11]. The relationship between the J-integral and C\* allows for experimental measurement of C\* from laboratory testing. The J parameter is defined as the energy difference between two specimens that have incrementally differing crack lengths for the same applied load and can be considered a path-independent energy rate line integral (Eqn. 1).



$$J = -\frac{dU}{da} \tag{1}$$

Where  $dU/da$  represents the change in potential energy ( $U$ ) with respect to change in crack length ( $a$ ). Similarly,  $C^*$  can be defined as an energy rate or power difference between bodies with different crack lengths under identical loading conditions.  $C^*$  can be described mathematically according to Eqn. 2 [9].

$$C^* = -\frac{\partial U^*}{\partial l} \tag{2}$$

Where  $l$  is crack length and  $U^*$  is power or energy rate for a given load ( $P$ ) and displacement rate ( $\dot{u}$ ), given by Eqn. 3.

$$U^* = \int_0^{\dot{u}} Pd\dot{U} \tag{3}$$

In this study, test specimens similar to those used in the Superpave IDT test were prepared from Superpave gyratory samples in the laboratory. Specimens measured 150 mm in diameter with 40 mm thickness. A right-angle notch was cut into each disk to seat the loading apparatus and a small vertical cut was introduced to simulate crack initiation as depicted in Figure 2. Loading was applied at constant displacement rates of 0.3, 0.45, 0.6, 0.75 and 0.9 mm/min and tests were carried out at 21 °C.

Crack propagation as a function of time was monitored visually using a series of equally spaced tick lines marked on the face of each specimen. Test data was used to determine load as a function of displacement rate for differing crack lengths. A power or energy rate ( $U^*$ ) was computed as the area under these load displacement rate curves. Next,  $U^*$  was plotted versus crack length for all displacement rates used during testing. The slope of these curves represents the  $C^*$ -integral. Finally,  $C^*$  is plotted as a function of crack growth rate ( $a^*$ ).

The relationship between  $C^*$  and crack growth rate for the reference-gap, polymer- modified and rubber-modified gap mixtures are presented in Figure 3. A steeper slope value indicates higher resistance to crack propagation. The slope value of the rubber-modified mixture (0.088) is double that of the conventional (0.041) and nearly three times greater than the polymer modified mixtures (0.03). Thus, the energy difference required to increase the crack propagation rate from low to high in the rubber-modified mix is much higher than the polymer-modified and reference-gap mixtures.

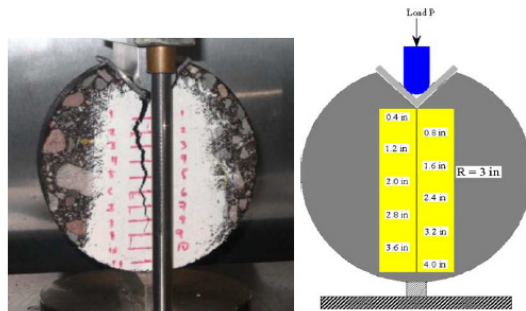


Fig. 2. Typical  $C^*$  test setup

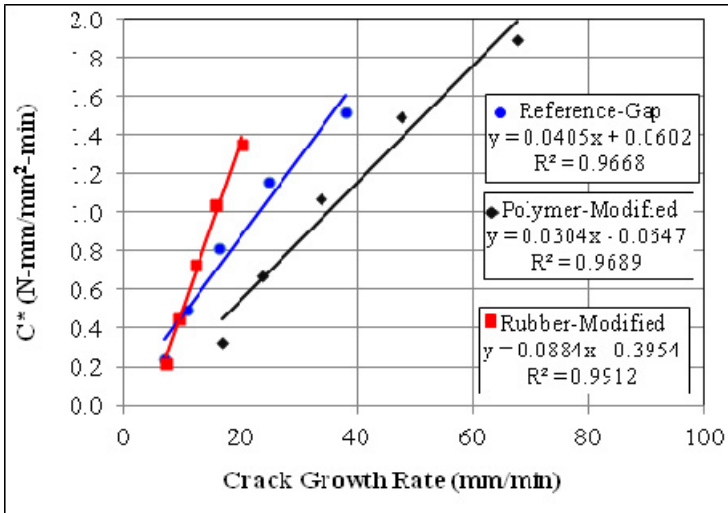


Fig. 3. Comparison of  $C^*$  versus crack growth rate

### 5.3 Wheel Tracking Tests

Crack propagation phenomenon was also investigated using the VTI's Wheel Tracking Test (WTT) apparatus. The intent of including the WTT results in this paper is to verify the findings obtained from ASU's  $C^*$  crack propagation test results for the same gap-graded mixtures. The reference and rubber-modified mixtures used to prepare the wheel tracking test slabs were sampled from another project that had similar aggregate gradations and volumetric properties using the same aggregate types and binders [12]. The equipment used is described as an "extra-large size device" in the testing standard EN 12697-22. The test plates were placed in the WTT on a tack coat surface to generate cracks through the coating. Two strain gauges were mounted in the lower and upper edges of the surface so that deformations could be registered. Testing was carried out separately on both the mix types with three sample replicates. Each slab had a dimension of 50 cm x 70 cm x 4 cm (with a 30 kg mass). The test temperature was 5 °C and the load was adjusted for a desired deformation until cracks propagated to the surface for a finite number of passes. Figure 4 shows the tested slab and the WTT apparatus.

The crack elongation was recorded by double strain gauges in the bottom and top of each sample plate. For each test, the initial elongation (~strain after 100 passages) and the difference in the number of passages from when the crack starts on the lower side until it reaches the upper edge of sample plate (this difference is a measure of how quickly the cracks developed) were recorded. Table 3 includes the WTT results for both rubber-modified and reference-gap graded mixtures.

Figure 5 illustrates the relationship between the initial strain and the difference in number of wheel passages. The results show that rubber-modified has less susceptibility to crack propagation than the reference mixture.

**Table 3.** Crack Propagation Test Results using the WTT Apparatus

Mix Type	Load (kN)	Initial Strain ( $\mu\epsilon$ )	Number of Passes		Difference
			Lower side	Upper side	
Rubber-Modified	15	494	5550	21500	15950
	11.3	455	19000	38000	19000
	4	244	66000	120000	54000
Reference-Gap	15	404	5450	8375	2925
	9	280	16250	33500	17250
	7.5	211	67000	99000	32000



**Fig. 4.** (a) Strain gauges instrumentation; (b) WTT equipment

### 5.4 Ranking of Mixtures

Based on the results from the three different tests, the three mixtures were ranked according to their performance. In this analysis, the results of the three mixtures were normalized according to the reference-gap graded mixture. For both beam fatigue and wheel tracking tests, the mixtures were compared by calculating the number of cycles until failure and the difference of passages number at strain value of 400 micro-strains. For the crack propagation-C\* line integral test, the three mixtures were compared according to the slope of the C\*-crack growth rate relationship. The normalized ranking results are shown in Table 4. The rubber-modified mixtures provided the best performance in resisting fatigue cracks evolution compared to the other two mixtures.

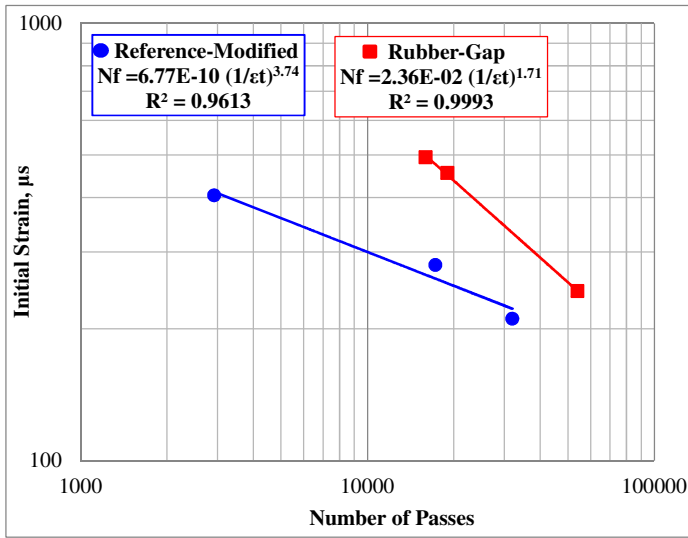


Fig. 5. Initial deformation versus number of passes relationship of reference-gap rubber-modified mixtures

Table 4. Ranking of the three mixtures for the different tests

Test	Reference-Gap	Polymer-Modified	Rubber-Modified
Beam Fatigue Test ( $N_f$ at 400 µs)	1.00	3.75	8.75
Wheel Tracking Test ( $N_f$ at 400 µs)	1.00	--	7.70
C* Line Integral Test (C*-a relationship Slope)	1.00	0.75	2.20

-- No WTT test was conducted for the polymer-modified mixture.

## 6 Discussion

Three different tests were conducted to compare the load-related crack behavior of three types of gap graded mixtures. The tests included: bending beam for fatigue cracking evaluation, and C\* line integral test along with Wheel Tracking Tests (WTT) to evaluate crack propagation. The test results were used to compare the performance of the rubber-modified gap graded mixture to a polymer-modified as well as a reference gap mixture.

The fatigue results clearly showed that the rubber-modified mix has the greatest fatigue life, followed by the polymer-modified mix, and the reference mix has the least fatigue life. The crack propagation results showed that the crack propagation resistance for the rubber-modified gap mixture is two times higher compared to the reference-gap mixture, and three times higher compared to the polymer-modified gap mixture. The WTT crack propagation results also confirmed that the

rubber-modified mix required much higher number of passes for cracks to propagate from the bottom of the pavement slab to the top surface when compared to the reference mixture.

Furthermore, the binder consistency test results (penetration, softening point, and rotational viscosity) of the three binders supported the outcomes of mixture tests. The conventional consistency tests were conducted on the virgin, polymer-modified, and rubber-modified binders at tank conditions. Figure 6 shows a comparison of the viscosity-temperature relationship for the three binders where the intercepts ( $A_i$ ) and the slope ( $VTS_i$ ) of these relationships are also noted on the plot. It is clearly observed that the polymer-modified binder has a higher viscosity values across a wide range of temperatures compared to the virgin binder. At the same time, the rubber-modified binder has much lower slope with increasing temperature than the virgin and polymer-modified binders. This behavior is highly desirable for better performance at higher and lower temperatures.

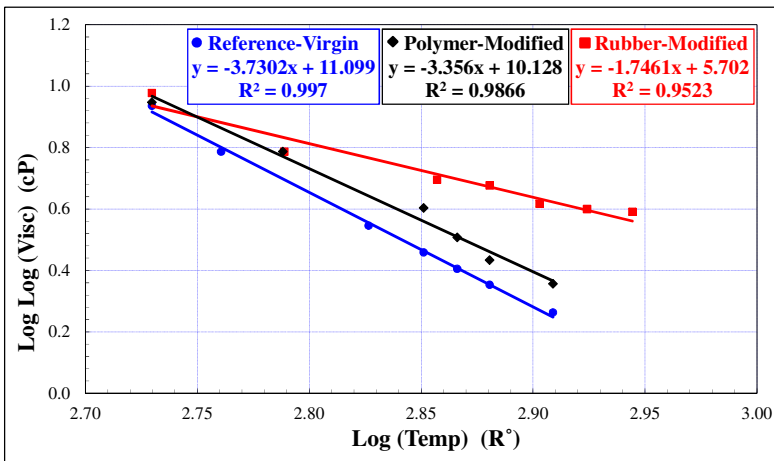


Fig. 6. Viscosity – temperature relationship of Stockholm highway binders

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# On the Fatigue Criterion for Calculating the Thickness of Asphalt Layers

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**Abstract.** The Israeli Flex-Design program for calculating the thicknesses of flexible pavement layers makes use of the asphalt fatigue equation to determine the thickness of the upper asphaltic layers. In this procedure, the values of the calculated asphalt layers decrease considerably with the increase in the granular basecourse modulus values for the same traffic volume. It has been shown, however, that the determination of the modulus of the granular basecourse by the Flex-Design program is not compatible with recent findings, thus leading to possible erroneous thicknesses for the upper asphaltic layers. In order to minimize these errors, the Flex-Design program has been accompanied by a limiting criterion for determining the minimum thickness of the upper asphaltic layers. This limiting criterion, which is a function of the number of design ESALs, actually leads to another accompanying limiting criterion for determining the maximum modulus of the granular basecourse, which is again a function of the number of design ESALs. These findings lead to the conclusion that the use of a pre-defined pavement-design catalogue for determining the upper asphaltic layer thickness is the most preferable way of proceeding. This catalogue exists in nearly all European countries. The same conclusion applies to the design of perpetual pavements (zero-maintenance pavements), for which a limiting maximum tensile strain of  $70\mu\text{S}$  under an equivalent axle load of 80 kN exists. To recall, this strain is developed at the bottom of the asphalt layers.

## 1 Introduction

In Israel, the Flex-Design program developed by Uzan [1, 2] is used for determining the thicknesses of flexible pavement layers. In this program, the thickness of the upper-bound (asphaltic) layers is calculated by means of the modified Finn et al. fatigue mechanism for asphaltic mixtures, the number of applications to failure being a function of (a) the tensile strain developed at the bottom of the asphalt layers, (b) the asphalt modulus of elasticity at the asphalt design temperature, and (c) the thickness of the asphalt layers (see also Eqn. (1) in the next section). To recall, the original Finn et al. asphalt-fatigue equation [3] was modified for the Flex-Design program in order to take into account the crack-propagation phase beyond the original crack-initiation phase [4] (i.e., the entire bottom-up crack mechanism).

In addition, the design of perpetual pavement structures incorporates a different criterion for calculating the total asphalt-layer thickness. As commonly agreed, this specific criterion calls for a horizontal (tensile) strain that develops at the bottom of the asphalt layers under the equivalent axle load of 80 kN, not exceeding 70 microstrain [5].

It is obvious that only reliable input values required for these two procedures, including the underlying granular layer modulus, will lead to a reliable asphalt thickness. Thus, it is worthwhile mentioning that although subgrade and paving materials moduli can be obtained from laboratory tests, unbound granular materials have been found to exhibit moduli that are nonlinear or stress dependent. As a result, various agencies and researchers have developed techniques to incorporate some aspects of this nonlinearity directly into elastic layered solutions. In general, these procedures can be grouped into empirical relationships, iterative layered approaches, and finite element solutions. These various procedures yield a wide range of results, but they affect mainly the fatigue behavior of the asphalt layers or their horizontal strain development.

Among the procedures for calculating the granular layer moduli, the FAA's recent FAARFIELD software [6] incorporates the 1977 USCOE method [7] for computing the modulus of non-stabilized layers. Furthermore, the current Israeli Flex-Design software incorporates the earlier, 1975 USCOE method [8] for computing the same modulus. In addition to these methods, the newly developed software of the Mechanistic-Empirical Pavement Design Guidelines (MEPDG) [9] incorporates a non-linear constitutive model for granular materials along somewhat the same lines as the Asphalt Institute's 1983 DAMA software [10] or the more recent KENLAYER program [11]. The granular modulus calculated from this program was found, in contrast to the other methods, (a) to decrease slightly with the increase in granular layer thickness and (b) to increase with the increase in subgrade modulus at a lesser rate than the linear mode. The same results have recently been found in [12, 13].

The outcome of the previous argumentations leads to the conclusion that it is only logical to evaluate the usefulness of calculating the thickness of the upper-bound (asphaltic) layers with the aid of the granular layer modulus. Thus, with this background, the objectives of the present paper were formulated as follows: (a) to evaluate the calculated total asphalt layers as a function of the underlying granular layer modulus according both to the modified Finn et al. fatigue equation of the Flex-Design program and to a Tanzanian study described in [14]; (b) to evaluate the calculated total asphalt layers as a function of the underlying granular layer modulus according to the criterion of the horizontal strain governing perpetual pavements; (c) to discuss and compare the existing approaches for calculating the granular layer modulus according to the Flex-Design program and others; and (d) to present relevant recommendations for calculating the total asphalt layers as a function of the traffic loadings. The sections to follow will detail the process of attaining these four objectives and their associated conclusions.



## 2 Asphalt-Thickness Sensitivity for Conventional Pavements

The previous section mentioned that the maximum tensile (horizontal) strain criterion in the Flex-Design program forms the basis for computing the total asphaltic layer when following the Fin et al. asphalt-fatigue equation [3], modified to take into account the crack-propagation phase beyond the original crack-initiation phase [4]:

$$\log \Sigma ESAL = -3.13 + \frac{H_A}{380} - 3.291 \times \log \varepsilon_t - 0.854 \times \log E_A \quad (1)$$

where  $\Sigma ESAL$  denotes the anticipated sum of 80kN AASHTO-equivalent single-axle loads as calculated from the AASHTO method for anticipated traffic during the design period;  $H_A$  denotes the asphalt-layer thickness, in mm;  $\varepsilon_t$  denotes the maximum tensile (horizontal) strain at the bottom of the asphalt layer;  $E_A$  denotes the modulus of elasticity of the asphalt layer at the design temperature, in MPa.

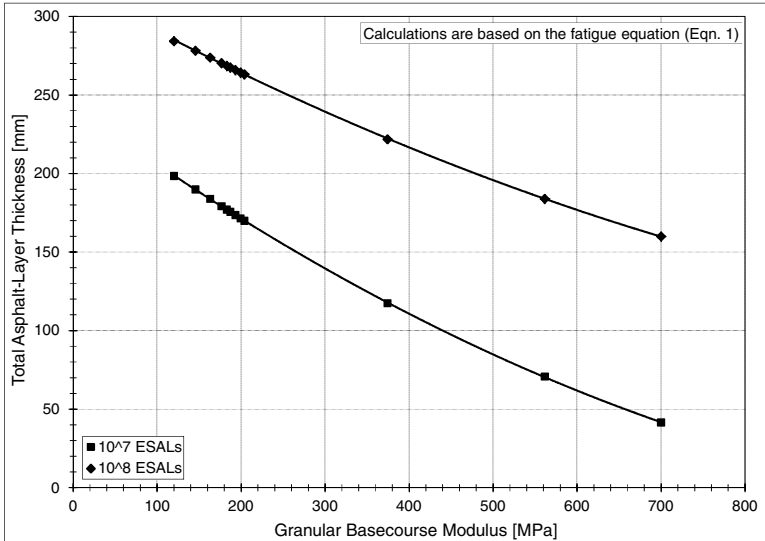
According to Eqn. (1), the calculation of the maximum tensile (horizontal) strain at the bottom of the asphalt layer makes it possible to predict the  $H_A$ - $\Sigma ESAL$  relationship. This is done in the Flex-Design program, leading to the required asphalt-layer thickness for any given pavement structure. In a previous paper [15], it was shown that the required asphalt-layer thickness derived from the Flex-Design program can also be direct-calculated from the following equation based on the Ullidtz approximate equation [16] for calculating  $\varepsilon_t$ :

$$H_A = [18.493 \times (\log E_B)^2 - 48.482 \times \log E_B + 106.63] \times \log \Sigma ESAL - 280.02 \times (\log E_B)^2 + 875.80 \times \log E_B - 1012.3 \quad (2)$$

where  $H_A$  denotes the asphalt-layer thickness, in mm;  $\Sigma ESAL$  denotes the anticipated sum of 80 kN AASHTO-equivalent single-axle loads acting along the design period, calculated for a given traffic based on the AASHTO method;  $E_B$  denotes the granular basecourse modulus, in MPa;  $E_A$  denotes the modulus of elasticity of the asphalt layer at the designated standard temperature for the asphalt-fatigue criterion (25°C), which is equal to 3,000 MPa.

The impact of the granular basecourse modulus ( $E_B$ ) on the calculated asphaltic layer ( $H_A$  in Eqn. (2)) for two  $\Sigma ESAL$  levels ( $10^7$  and  $10^8$ ) is shown in Figure 1. This figure indicates the importance of presenting a correct evaluation of the granular basecourse in the determination of the total asphalt-layer thickness. For example, a reduction in the granular basecourse modulus from 400 MPa to 200 MPa increases the total asphalt-layers thickness from 110 mm to 170 mm for an  $\Sigma ESAL$  level of  $10^7$ , or from 220 mm to 270 mm for an  $\Sigma ESAL$  level of  $10^8$ .

Another example of the impact of the granular basecourse modulus on the asphalt fatigue failure is given in Figure 2, taken from [14]. In contrast to Figure 1, Figure 2 depicts the impact on performance (i.e., the allowable number of EASLs)

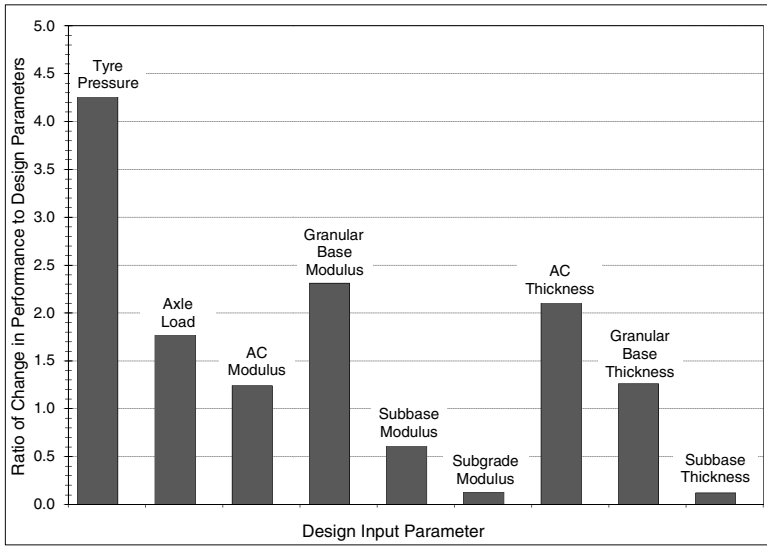


**Fig. 1.** Example of asphalt layer calculated according to Eqn. (2) based on Flex-Design versus granular basecourse moduli for two  $\Sigma$ ESAL levels.

for each of *all* the design input parameters, including that of the granular basecourse modulus. The flexible pavement structure of Figure 2 that was examined comprised a mean thickness of 65 mm of asphalt concrete (AC) over a 150-mm crushed stone base and a 225-mm granular subbase on top of subgrade soil. Further, the mean stiffness of these layers was as follows: 5,500 MPa for the asphalt concrete, 350 MPa for the crushed stone base, 110 mm for the granular subbase, and 70 MPa for the subgrade.

In Figure 2, the effect of the design parameters on pavement performance was expressed in the form of a ratio of the percentage change in specific output results (measured by their coefficient of variances) to the percentage change in input design parameters (measured, again, by their coefficient of variances), holding all other design parameters constant at mean values. Here, it should be added that the fatigue equation used in Figure 2 was adopted from the South African Mechanistic Design Method [17], which is different from Eqn. (1).

Finally, Figure 2 indicates that the design parameters with the greatest influence on fatigue pavement performance were the tire pressure, crushed base modulus, AC thickness, and axle load. Conversely, the subbase thickness subgrade modulus and the subbase modulus were least sensitive as far as fatigue performance was concerned. This finding contrasts with the behavior of the Flex-Design outputs and supports the conclusions given in [15, 18].



**Fig. 2.** Ratio of change in pavement performance to design input parameter based on the fatigue-failure criterion [14]

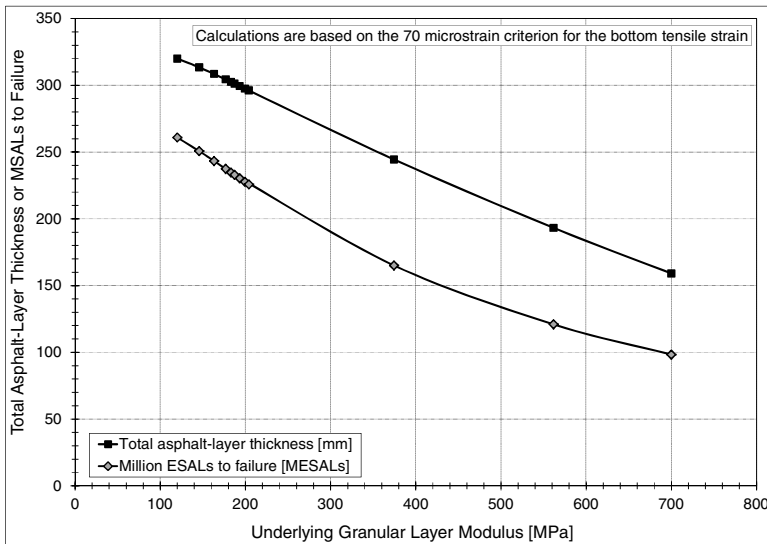
### 3 Asphalt-Thickness Sensitivity for Perpetual Pavements

The determination of the total asphaltic layer thickness in a perpetual pavement structure follows the bottom maximum tensile strain criterion. As mentioned before, this criterion calls for the horizontal (tensile) strain that develops at the bottom of the asphalt layers under the equivalent axle load of 80 kN not to exceed 70 microstrain [5].

Using, again, the Ullidtz approximate equation [16] for calculating the bottom horizontal strain ( $\epsilon_b$ ), the variation in the required asphalt thickness with the underlying granular layer modulus that leads to the maximum permissible 70 microstrain for the bottom horizontal strain can be calculated. This variation is shown in Figure 3.

Figure 3, which is based on the same underlying granular layer modulus values of Figure 1, indicates once more the importance of presenting a correct evaluation of the underlying granular layer when determining the total asphalt-layers thickness. For example, a variation in the underlying granular layer modulus from 400 MPa to 200 MPa increases the total asphalt-layer thickness from 240 mm to 300 mm.

Figure 3 also includes the calculated number of ESALs to failure, for which the given asphalt thickness and its accompanying given granular layer modulus are compatible with the asphalt-fatigue equation (i.e., Eqn. (1)). The values obtained are higher than  $10^8$  ESALs because the calculated asphalt thicknesses of Figure 3 are also higher than those of Figure 1, which are associated with the  $10^8$  ESAL level.



**Fig. 3.** Example of calculated asphalt layer according to the bottom tensile strain criterion (70μS) versus granular layer modulus and their accompanying ΣESAL to failure

Finally, it should be added at this juncture that if the term of  $H_A/380$  is omitted from Eqn.(1), the new calculated number of ESALs to failure of Figure 3 decreases to  $0.38 \times 10^8$  ESALs for all calculated points in the figure. This reduced ESAL level is believed to be a more reliable value for the perpetual pavement structures in which no crack-propagation phase beyond the original crack-initiation phase is to be considered. In other words, in this kind of pavement, the entire bottom-up crack mechanism is expected not to develop.

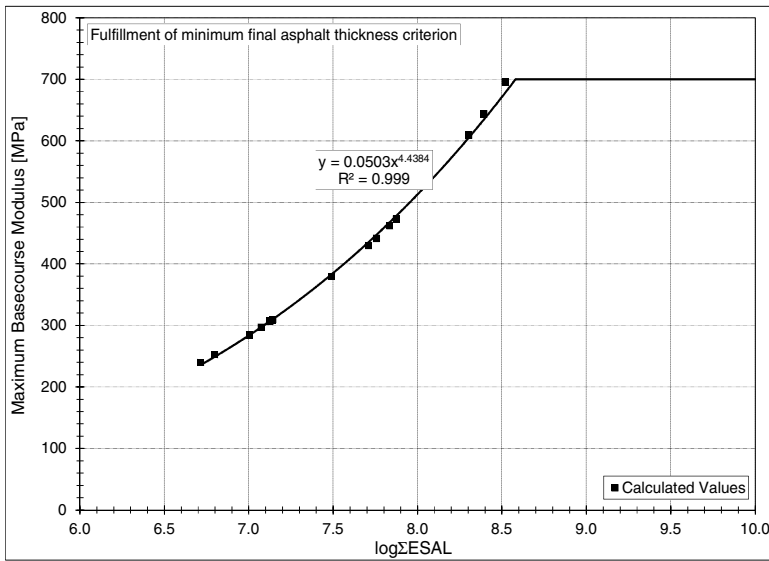
### 4 Minimum Asphalt Design Thickness

In order to bypass the difficulties associated with predefined equations for calculating the moduli of the granular subbase and basecourse layers, the Flex-Design methodology defines the following minimum design thickness for the total asphaltic layers as a function of the design ΣESAL only:

$$H_{Amin} = -550 + \frac{1,279}{\log(\Sigma ESAL)} + 73 \times \log(\Sigma ESAL) \tag{3}$$

where ΣESAL denotes the anticipated sum of 80kN AASHTO-equivalent single-axle loads as calculated from the AASHTO method for anticipated traffic during the design period;  $H_{Amin}$  denotes the minimum thickness of the total thickness of the asphaltic layers, in mm.

Eqn. (3) actually dictates the existence of maximum values for the modulus of the granular basecourse layer as being a function of the design ΣESAL. These maximum values are in given in Figure 4.



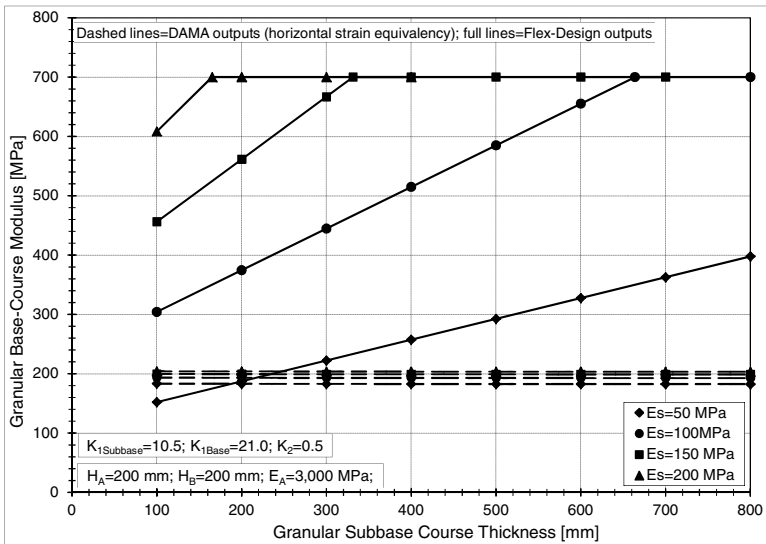
**Fig. 4.** Variation of the maximum values for the modulus of the granular basecourse layer with the design ΣESAL as derived from the Flex-Design program

Figure 4 indicates that for low and medium levels of the design ΣESAL, the maximum values for the modulus of the granular basecourse layer is lower than the 700 MPa dictated by the Flex-Design program. The search continues, however, for a more reliable value of the modulus of the granular basecourse layer that may be still lower than those given in Figure 4. Obviously, when a more reliable value is found, the final calculated total thickness of the asphaltic layers becomes higher than the minimum value dictated by the Flex-Design program. This issue is discussed in the next section.

### 4.1 General Comments on the Granular Modulus

Figure 5 depicts an example of calculated moduli obtained for the granular layers according to (a) the Flex-Design Program and (b) the Asphalt Institute’s DAMA Program for the inputs shown in the figure. The Flex-Design outputs are seen to exhibit higher moduli values for a majority of cases than those shown in Figure 4. For these cases, the limiting moduli values of Figure 4 are important for a reliable determination of the total asphaltic layer thickness.

In addition to the above findings, Figure 5 also indicates that the moduli values obtained by the Asphalt Institute’s DAMA program for the given example are of a constant nature of 200 MPa, which is lower than the values given in Figure 4. Thus, the use of this lower moduli value will lead to higher values of the total thickness of the asphaltic layers than those specified by the Flex-Design program (Eqn. (3)).



**Fig. 5.** Variation in the calculated granular basecourse modulus with the granular subbase according to (a) the Flex-Design Program and (b) the Asphalt Institute’s DAMA Program for the inputs shown in the figure

At this juncture, it is important to state that the moduli outcomes obtained from the Asphalt Institute’s DAMA program are more reliable than those obtained by the Flex-Design program. More details concerning this issue are given by the author elsewhere [15, 18].

Furthermore, it is necessary to mention that the new Mechanistic-Empirical (M-E) design of flexible pavements, termed MEPDG, also calculates the granular layers moduli in a similar way to that of DAMA, but this time with a more advanced constitutive model for the granular materials. In addition, level 3 of the MEPDG method (i.e., the typical values method) suggests granular modulus values as follows: (a) a maximum modulus value of 290 MPa for a GW material and a typical modulus value of 283 MPa; (b) a maximum modulus value of 276 MPa for a GP material and a typical modulus value of 262 MPa. The latter modulus value is at the same order of magnitude as the 200 MPa value specified by [19] for the perpetual pavement structures. To conclude, the MEPDG procedure, too, leads to granular modulus values smaller than those associated with the Flex-Design program.

## 5 Conclusions

The paper demonstrates that variations in the granular basecourse modulus affect considerably the outputs of the total asphaltic layer thickness for both conventional and perpetual pavements. Thus, it is of utmost importance to input the most reliable values for this modulus. The values obtained by the Flex-Design

method were shown, for the majority of cases, to be higher than those considered more realistic, thus leading to reduced values of asphalt thicknesses.

Moreover, when the non-linear and stress-dependent relationship of the resilient modulus of a granular material is applied (as is done in the old DAMA program or the new MEPDG program), more realistic results may be obtained for the granular basecourse modulus and, thus, for the required asphalt thickness.

In sum, at this stage of knowledge, it seems that the Level 3 method (i.e., the typical values method) of the new MEPDG procedure is more suitable for the Flex-Design and other software that calculate the required asphalt layer according to the maximum tensile (horizontal) strain occurring at the bottom of the asphalt layer.

Finally, in cases in which no advanced constitutive model for the granular materials is applied, these findings lead to the conclusion that the use of a pre-defined pavement-design catalogue for determining the upper asphalt-layer thickness is the most preferable way of proceeding. To recall, such a catalogue exists in nearly all European countries [20].

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# Acoustic Techniques for Fatigue Cracking Mechanisms Characterization in Hot Mix Asphalt (HMA)

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**Abstract.** This article deals with the investigation of the damage process in Asphalt Concrete (AC) using Acoustic Emission technique (AE). The AE response, particularly how it can change as a function of applied stresses, is known to be promising for micro-cracking detection. AE events are correlated with mechanical damage analysis, and allow determining fatigue cracking mechanisms. Nevertheless, the pertinence of AE approaches is closely related to the comprehension of wave propagation phenomenon within the tested material. In fact, wave propagation is affected by attenuation and velocity variation due to the viscoelastic behavior of the AC. For understanding this phenomenon, ultrasonic techniques can be used for determining both the attenuation curves and variation of the propagation velocity.

## 1 Introduction

Understanding failure mechanisms of construction material as well as their damage evolution are two key factors to improve design tools of structures. Depending on failure modes to be highlighted and studied, several tests methods, and analysis tools have been developed, in particular AE. This latter is an experimental tool well suited for characterizing material behaviour by monitoring fracture process. Despite the wide use of AE technique to characterize and monitor damage evolution of composite materials (often with a brittle behavior at low temperatures) [1-5] very few studies focused on using AE technique to characterize AC behaviour.

Li et al. [1] interested in the location of acoustic events within AC specimens. For purposes of their experimental campaign, pre-notched specimens were tested in tree-point bending using a Semi-Circular Bend (SCB) device. Monotonic tests were performed inside a climatic chamber at  $-20^{\circ}\text{C}$ . The authors determined that the acoustic events are located on the fracture path. Moreover, a comparison between the load vs. displacement curve and the cumulative AE events vs. testing time curve showed an increase of the acoustic activity when the force values (post peak stage) range from 70% to 90% of the strength. AE events located before the

peak load define the Fracture Process Zone (FPZ) which is a key factor in the determination of material fracture process. In fact, formation of the FPZ is the consequence of the formation of micro cracks, a few of which later link up to form a macro crack. The formation and growth of cracks are associated with the release of elastic strain energy in the form of acoustic emission waves [2]. Li et al. [3] also investigated particularly the size variation of the FPZ based on various parameters. The results showed that the size of the FPZ is mainly affected by different parameters such as temperature, type of aggregates and air voids. It also showed that the binder percentage doesn't affect the FPZ size. In the energetic approach, more than 50% of events are little energetic and account for about 5% of total energy, whereas only 3% of events are great energetic and account for 40% of total energy. Thus, in the pre-peak stage (load lower than the strength), the energy level of AE events is globally low, and is related to the FPZ as well as the micro cracking.

Fatigue tests have been conducted by Seo et al. [4] and showed the influence of rest period between loading cycles on mechanical behavior of AC. In fact, both the cumulative AE energy and cumulative AE counts increase when the fatigue test includes rest periods between loading cycles. It indicates that rest period increases the fatigue lifetime which is related to the healing phenomenon. In addition, the Kaiser effect is commonly related to damage state in the material. However, the fatigue tests without rest periods didn't allow to systematically observe this effect.

Apeagyei et al. [5] studied the thermal damage in pavement binder using a rapid cooling test, for temperature ranging from 15°C to -55°C. The curve signal energies vs. events showed an energetic peak which is the necessary energy for crack propagation. The comparison between the cracking temperature obtained by traditional method (Bending Beam Rheometer) and the cracking temperature obtained by acoustic emission gives good agreement, this cracking temperature ranges between -20°C to -30°C according to the type of AC. Similar results on Recycled Asphalt Pavement (RAP) are reported by Behzad et al. [6].

The work presented herein is a first experimental attempt where acoustic emission technique is used to characterize AC behaviour under a double shear cyclic loading. The AE activity within the asphalt concrete material was monitored using an AE system. Both the mechanical properties and the acoustic properties of the material are evaluated and compared. Let's notice that AC material exhibits a structural heterogeneity and thermo viscoelastic behaviour, and due to this particularity, wave propagation within this media is accompanied by attenuation and velocity variation.

## **2 Material and Experimental Techniques**

### ***2.1 Material and Sample Preparation***

The HMA used in this experimental campaign was manufactured in the laboratory. The mixture is a dense-graded AC with a maximum aggregate size of 6

mm and a penetration grade 35/50 binder (6.85% bitumen content). A mould (measuring 600 mm long, 40 mm wide and 150 mm high) was used to prepare the slab. Once the hot mix asphalt (160°C) had been poured into the mould, the French rolling wheel compactor compacted the mixture to a thickness of 150 mm, in accordance with European standard NF EN 12697-33. The slab was then allowed to cool down to room temperature for several days. A first sawing process allows extracting 18 specimens with the specified geometry (i.e. L x H x W: 124 mm x 70 mm x 50 mm). A specific device was subsequently used to glue steel plates onto the corresponding specimen faces. This operation served to ensure the best alignment of the steel plates in relation to the double shear loading configuration. A minimum rest time of 1 day was observed to allow for the glue to set. A second sawing process aimed at creating four notches. Each notch of 10 mm in depth symbolizes a crack initiation within the AC.

## ***2.2 Loading Device: Double Shear Test***

The testing device used in this study was developed in a previous experimental work devoted to the shear fatigue behavior of tack coats in the pavement structures [7-8]. Its working is based on the double shear test principle, which has been successfully used in a previous study on the investigation of the mode II fatigue crack propagation under shear loading [9]. The double shear test is performed on a specimen in such a way that its lateral parts are fixed during the test, and its central part is subjected to a sinusoidal and symmetric loading function. Through a numerical study, this loading configuration allowed investigating the crack propagation within the bituminous material under a shear loading. The use of mark tracking technique (optical method) in an experimental study confirmed this numerical finding [8]. The double shear testing device is mounted on a servo-hydraulic frame (Figure 1). The upper part of the device is connected to the jack, and the lower part is connected to the load cell.

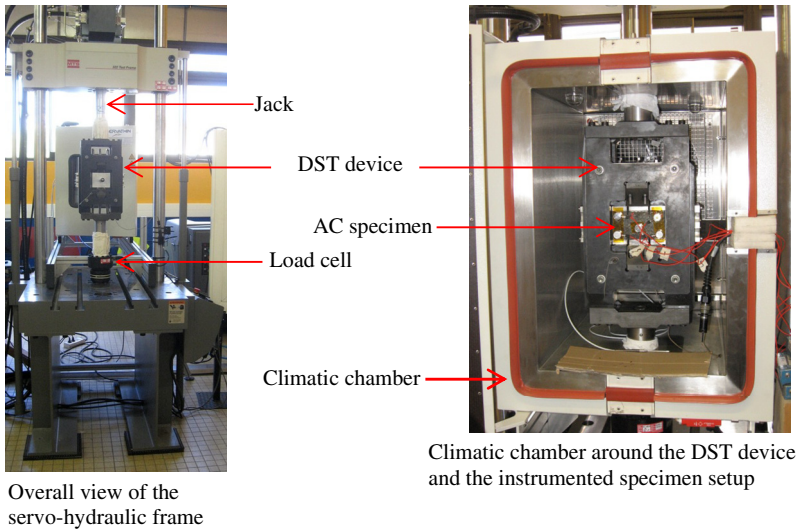
The fatigue test was carried out under force control at 10 Hz. The force values were measured by the load cell ( $\pm 100$  kN), and the relative displacement values, between the side parts and the central one of the specimen, were measured by an extensometer ( $\pm 1$  mm).

A data acquisition system recorded the force and displacement values during the fatigue test. Additionally to the previous experimental conditions, a climatic chamber was placed around the double shear testing device (Figure 1) in order to carry out the test at a controlled temperature (10°C in this study).

Prior to the beginning of the test, a homogenization of the temperature within the specimen was performed for at least 6 hours.

## ***2.3 Acoustic Emission Principles and Instrumentation***

Acoustic emission may be defined as transient elastic waves generated by the rapid release of strain energy in a material. A number of micro and macro



**Fig. 1.** Photography of the instrumented specimen setup for the fatigue test

processes contribute to both the deformation and the deterioration of a material under strain, resulting to a series of acoustic events. Thus, the events released by the material contain information regarding the general deformation process. In the work reported herein, analysis of AE signals was done according to an estimation of events count and cumulative energy.

During the double shear test, AE event signals were monitored and recorded using an Euro Physical Acoustics (EPA) system:

- Five piezoelectric transducers (R15 model from Physical Acoustics corporation), with a band characteristic from 50 to 200 kHz, and 150 kHz resonant frequency, were mounted on the specimen, and coupled to the asphalt concrete with a special adhesive tape, according to the configuration presented in Figure 2. This configuration is chosen to try locating the events within the XY plane of the specimen, and to follow the crack propagation in the vicinity of the notches. The R15 model operates at a temperature between  $-65^{\circ}\text{C}$  and  $175^{\circ}\text{C}$ , and weighs 34 grams.
- Pre-amplification of the AE signals was provided by five preamplifiers (IL40S model) with a gain set for 40 dB.
- AE signals were sampled at 20 MHz and filtered with amplitude threshold about 40 dB. It is clear that the detected events depend on the value of this threshold. Before the loading test, the propagation velocity of the longitudinal waves was determined by generating an elastic wave using the conventional pencil lead breaking. The attenuation curve is performed by using the AST procedure (Auto Sensor Tests).

- A signal conditioner and software that allow recording the AE features in a computer for further analysis.

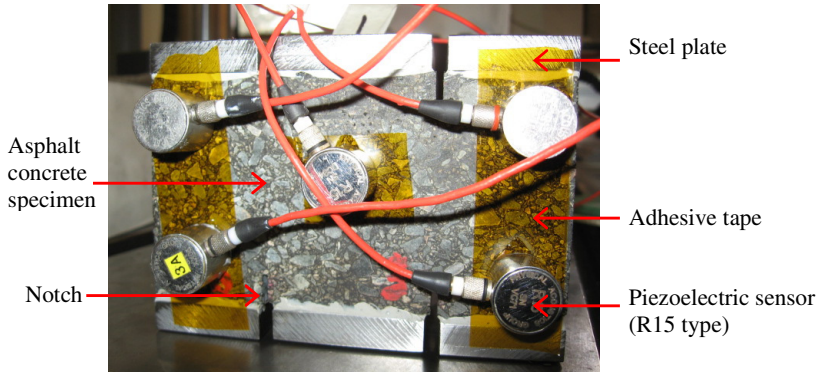


Fig. 2. Photography of a specimen instrumented with AE sensors

### 3 Results and Discussion

#### 3.1 Mechanical Analysis

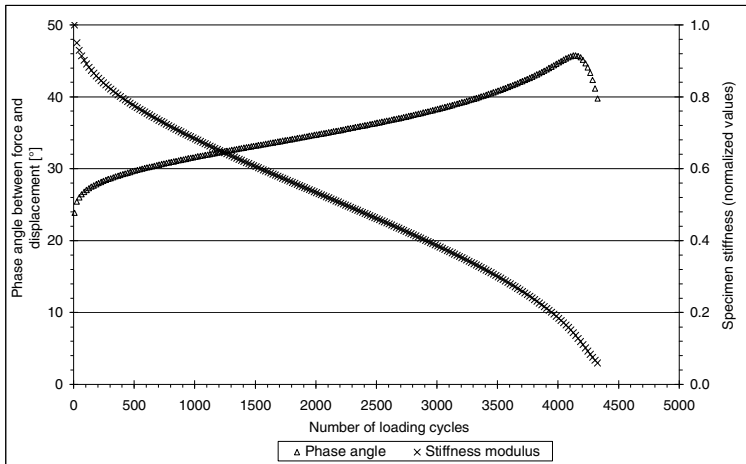
In this paragraph, the analyzed mechanical property is the shear stiffness modulus of the AC. One interests in its evolution during the fatigue test. At each cycle of the test, the shear stiffness  $K_{S,k}^*$  correlates the applied shear stress with the resulting relative tangential displacement at both sides of the central part of the specimen [7] and can be expressed as a complex number as described in Eq. (1):

$$\begin{cases} K_{S,k}^*(i\omega) = \frac{\text{Re}(F_k^*(t)e^{i\omega t})}{S \times \text{Re}(u_{k1}^*(t)e^{(i\omega t + \phi_k)} + u_{k2}^*(t))} = \frac{\Delta\tau_k}{\Delta u_k} \cdot e^{i\phi_k} \\ \Delta K_{S,k} = |K_{S,k}^*(i\omega)| = \frac{\Delta\tau_k}{\Delta u_k} \end{cases} \quad (1)$$

With (at the cycle # k):

- $\Delta K_{S,k}$ : shear stiffness modulus of the specimen ( $\text{MPa} \cdot \text{mm}^{-1}$ );
- $\Delta F_k$ : amplitude of the applied shear force (N);
- $\Delta u_k$ : amplitude of the measured relative displacement (mm);
- $\phi_k$ : phase angle between shear force and the relative displacement signals ( $^\circ$ );
- S: sheared cross-sections at both sides of the central part of the specimen ( $\text{mm}^2$ ).

The evolution in the normalized values of shear stiffness modulus  $\Delta K_S$  (compared with the initial value of the shear stiffness modulus) is plotted against the number of loading cycles (Figure 3). As expected, this modulus decreases during the test, and its trend can be divided into three main stages. At first,  $\Delta K_S$  decreases quite quickly and lost around 15% of its initial value. During the second stage, the damage grows, as the micro-cracks occur;  $\Delta K_S$  decreases slowly. In the third stage, shorter than the second,  $\Delta K_S$  decreases quickly, which implies both the coalescence and rapid propagation of macroscopic cracks within the asphalt concrete.



**Fig. 3.** Evolution in specimen stiffness modulus and phase angle during the test

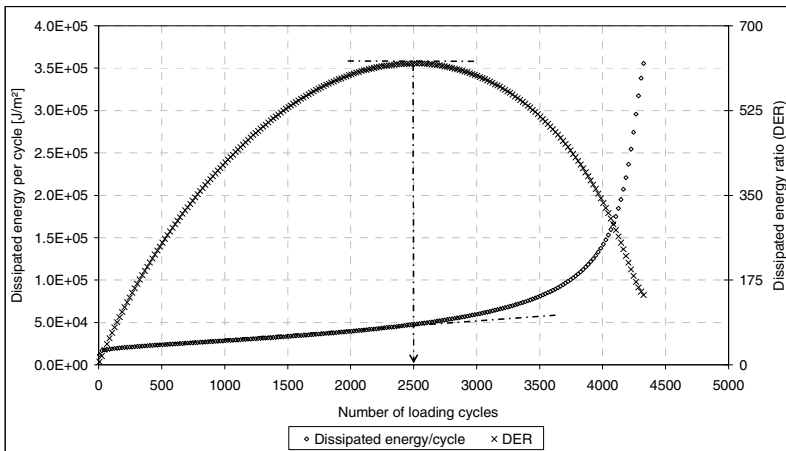
To further the analysis of fatigue test results, evolution of dissipated energy per each loading cycle is investigated. In this study, the formula (Eq. (2)) is proposed to evaluate the dissipated energy at the cycle # $k$  ( $W_{D,k}$ ):

$$W_{D,k} = \pi \cdot \Delta F_k \cdot \Delta u_k \cdot \sin(\varphi_k) \quad (2)$$

Moreover, the approach of dissipated energy can be used to evaluate the number of loading cycles to failure. For instance, Rowe [10] proposed the assessment of the material failure based on the criterion « Dissipated Energy Ratio » DER, as described in Eq. (3).

$$DER = \frac{k \cdot W_{D,1}}{W_{D,k}} \quad (3)$$

Both the dissipated energy per cycle and the DER are plotted against the number of loading cycles (Figure 6).



**Fig. 4.** Dissipated energy per cycle and DER vs. number of loading cycles

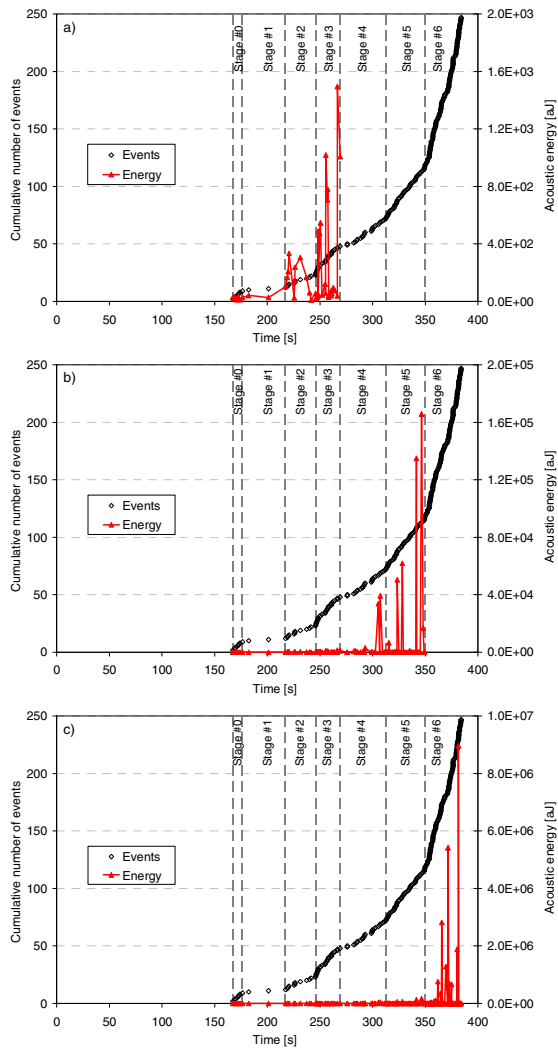
From the two previous figures (Figs. 3 and 4), the number of loading cycles to failure of the AC can be assessed by means of the following criteria:

- Conventionally, the number of loading cycles to failure is defined as the required number to decrease by one-half the initial value of the specimen stiffness modulus (Figure 3). Under this conventional criterion, the number of loading cycles to failure ( $N_{50}$ ) is approximately 2300 cycles;
- Based on the dissipated energy ratio proposed by Rowe (see Eq. (3) and Figure 4), the number of loading cycles to failure ( $N_{DER}$ ) is about 2500 cycles.

### 3.2 Acoustic Emission Analysis

Figure 5 displays the evolutions in both cumulative number of events and acoustic energy plotted against the testing time. From this figure, it is observed that events with high energy levels occur at the end of the fatigue test, in other words, during the macro-cracking stage of the asphalt concrete (third stage, Figure 3). Moreover, analysis of both parameters cumulative number of events and acoustic energy shows that failure process under loading can be divided into several stages.

The change from one stage to another is accompanied by a high increase of number of located events with an intensification of their corresponding acoustic energy levels. The next paragraph presents a deep analysis of the emission acoustic results, and a further comparison of both acoustic parameters and mechanical parameters.



**Fig. 5.** Number of located events and acoustic energy vs. time; a) stages 0 to 3; b) stages 0 to 5; c) stages 0 to 6

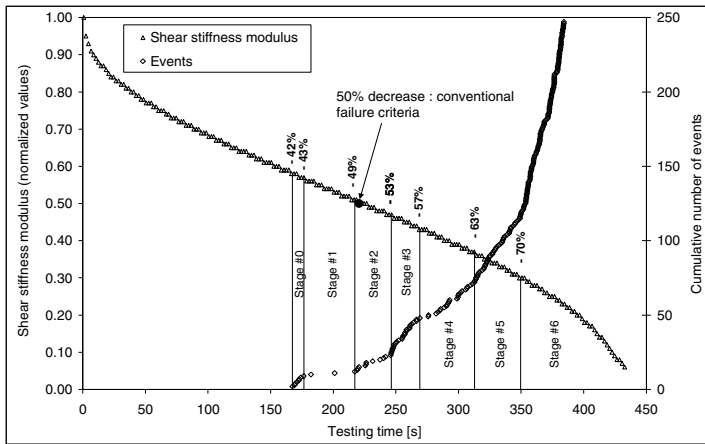
### 3.3 Correlation between Mechanical and AE Results

Analysis of both mechanical and acoustic test results (Figure 6) leads us to state the following findings:

- By setting the signal threshold for 40 dB, the located events start appearing when a 42% decline in initial value of the specimen stiffness modulus is



reached. These early events are the warning signs of an initiation failure within the material (Stage #0, Figure 6);



**Fig. 6.** Evolution in stiffness modulus and number of events during test

- When the initial value of the stiffness modulus decreases by 42% to 49%, the number of located events increases slightly, and their corresponding acoustic energies are relatively low (stages #0, and #1, Figs. 5-a, and 6);
- A critical threshold can be identified as a 49% drop in initial value of the specimen stiffness modulus, since it corresponds to a highly and significant increase in acoustic energy levels of the located events (stage #2, Figs. 5-a, and 6). Moreover, this critical threshold also coincides with the conventional failure criterion of asphalt concrete mixes (drop by one-half in the initial value of the specimen stiffness modulus);
- Beyond the stage #2, the located events rate considerably increases with time. At each stage (#2 to #6, Figs. 5-c, and 6), test results analysis clearly shows an increase in the acoustic energy level of located events. This finding may be a result of a gradually crack propagation within the asphalt concrete; indeed, the micro-crack starts propagating through the asphalt mastic before being stopped by an aggregate. Then, to get over this obstacle, the crack gets around the aggregate (cohesive or adhesive failure). The change in slope value of the curve, which correlates the cumulative number of events with the testing time (or number of loading cycles), can give an idea on the failure type (cohesive or adhesive). In fact, a low slope value can correspond to crack propagation within the asphalt mastic (cohesive failure). Moreover, it's clear that cohesive failure leads to appearance of located events with low acoustic energy level. However, additional tests should be carried out to evaluate the repeatability of the test, strengthen findings from this preliminary investigation, and to get enough

results for identifying acoustic parameters specific to different failure mechanisms;

- The number of located events roughly increases beyond a 70% decrease in initial value of the specimen shear stiffness modulus. During this last stage, acoustic events exhibit acoustic energy levels greater than those recorded in the previous stages. This finding may correspond to a change in scale (from micro to macro) of the failure.

## 4 Conclusion and Perspectives

In this study, we observed that the AE technique is an efficient tool for characterizing the fatigue cracking mechanisms in AC. The events start appearing when about 40% decline in initial value of the specimen stiffness modulus is reached. A critical threshold can be identified as about 50% drop in initial value of the specimen stiffness modulus, since it corresponds to a highly and significant increase in acoustic energy level. Studying cumulative events, several stages were defined in fatigue test which corresponds to different mechanisms such as micro or macro cracking. Nevertheless, these findings should be moderated because the threshold value determines the rate of the acoustic emission activity.

In future works, it's necessary to confirm the correlation between AE events and mechanical criteria. Moreover, wave propagation phenomenon should be investigated by analyzing evolution in wave attenuation as well as wave velocity during the fatigue test. In addition, initial heterogeneity and viscoelastic behavior (heating) of AC, the loading mode, the induce damage lead to a complex variation of acoustic properties. Comprehension of acoustic properties variation during the fatigue test allows a good evaluation of acoustic amplitude, energy and location to study FPZ phenomena.

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# Fatigue Characteristics of Sulphur Modified Asphalt Mixtures

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**Abstract.** The use of solid sulphur pellets has been shown to successfully extend and modify bitumen in an asphalt mixture with the subsequently modified asphalt mixture showing improved performance properties in terms of enhanced stiffness and increased resistance to permanent deformation. However, with the increase in stiffness comes the possible reduction in the fatigue and fracture properties of the sulphur modified asphalt mixture. Due to these concerns, the fatigue properties of sulphur modified asphalt mixtures have been investigated using both diametral, indirect tensile fatigue tests (ITFT) and two-point bending fatigue tests (2PB) under both controlled stress (load) and controlled strain (deformation) conditions. The fatigue tests have been undertaken at a temperature of 10°C and have used a range of fatigue failure definitions to quantify the laboratory fatigue cycles to failure. The fatigue results of the sulphur modified asphalt mixtures have been compared with a standard dense bitumen macadam (DBM) control mixture made using the same gradation and the same 40/60 penetration grade base binder. The fatigue comparisons were performed using the traditional strain criterion as well as a stress criterion. It can be seen from the results, that despite the significant stiffness gain, the fatigue properties of the sulphur modified asphalt mixtures compare well with the fatigue properties of the control mixtures. However, results were found to depend also on the testing configuration.

## 1 Introduction

Sulphur-extended asphalt was developed in the 1970s using hot liquid sulphur but was considered, due to the high price of sulphur, to be too expensive for use in road paving mixtures and also suffered from health and safety concerns. However, the increase in sour oilfield operations and the development of a more user-friendly sulphur based asphalt modifier in a pelletised form has renewed interest in the use of sulphur modified mixtures as a paving material.

Sulphur pellets are used as both a binder extender and an asphalt mixture modifier when added to an asphalt mixture [1]. The sulphur pellets are added to the hot asphalt mixture (aggregate and bitumen) at ambient temperatures during the mixing process. The addition of the modified sulphur pellets into the bitumen modifies the bitumen properties when the bitumen and sulphur combine at temperatures above the melting point of sulphur (120°C). For percentages

marginally less than 20% by weight, all the sulphur is chemically combined or dissolved within the bitumen and acts as an extender, modifying the bitumen properties with a reduction in viscosity and an increase in ductility (extended bitumen softer and more ductile). At higher percentages of added sulphur, part of the sulphur not dissolved in the bitumen remains predominantly as free sulphur and when the blend (bitumen-sulphur or sulphur modified asphalt mixture) cools, it crystallises. Depending on the amount of sulphur, the crystallisation gives different levels of strengthening (stiffening). As the bitumen is extended by sulphur, the bitumen content is usually reduced. The most commonly used ratios of bitumen to sulphur are 60% to 40% and 70% to 30% by mass. As sulphur has approximately double the density of bitumen, the total binder (bitumen plus sulphur) content by mass in the asphalt mixture needs to be higher than for the pure bitumen asphalt mixture to achieve the same binder volume (mixture volumetrics). It takes several days for the paving mixture made with modified sulphur pellets to develop its final strength (stiffness) due to the progressive crystallisation of the modified sulphur in the mixture.

In general, the stiffness (complex modulus) of sulphur modified asphalt mixtures over all loading frequencies and temperatures is higher than that for conventional asphalt mixtures. In addition, the temperature and/or frequency dependency of the modified mixture is also reduced. The high temperature permanent deformation properties of the modified mixture are therefore improved. In general, the fatigue performance of the modified mixtures is reduced, although as the stiffness is increased this may not be a problem due to the combined effects of material properties, pavement structure and traffic loads. There is also evidence of a slight reduction in low temperature cracking resistance and potentially a slightly higher sensitivity to water damage.

As world-wide interest in sulphur modified mixtures grows, there is a need to expand the detailed constitutive (stress-strain dynamic mechanical analysis) and failure property analysis of the material. Initial work in this area is detailed in this paper.

## 2 Experimental Procedure

### 2.1 Materials and Mixture Design

*Bitumen.* A Venezuelan standard 40/60 pen bitumen was used in the study. The conventional bitumen properties of penetration [2] and softening point [3] are 47 dmm and 53.0°C respectively.

*Additives.* Two additives were used in the study to produce the sulphur modified mixture:

- workability additive blended with the bitumen in the proportion of 1.5% by mass of bitumen;
- modified sulphur pellets added during the asphalt mixing process in the proportion of 30% by mass of total binder (i.e. bitumen and additives).

The conventional bitumen properties of penetration [2] and softening point [3] of the 40/60 pen bitumen blended with the workability additive are 33 dmm and 72.6°C respectively.

*Aggregates.* Porphyritic andesite aggregates were used during the study. The mixture design for the control and sulphur modified asphalt mixtures was based on a 0/20 mm size dense binder course according to BS 4987-1: 2005 [4].

*Asphalt Mixtures.* Two asphalt mixtures were used in this study:

- control mix (4.7% of conventional 40/60 pen bitumen by mass of total mixture);
- sulphur modified mix (30% modified sulphur pellets to 70% bitumen, blended with workability additive, by mass). The volume of total binder (i.e. bitumen and additives) was maintained equal to the volume of bitumen in the control mix [5,6]. As sulphur has approximately double the density of bitumen, this led to a total mass of binder of 5.5% by mass of total asphalt mixture.

The maximum densities of both mixture designs were measured according to BS EN 12697-5: 2009 [7].

## 2.2 Specimen Preparation

The asphalt mixtures were mixed and compacted in the laboratory conforming to BS EN 12697-35: 2004 [8] and BS EN 12697-33: 2003 [9] respectively, to achieve target air void contents of  $6 \pm 1\%$  within the specimens. The temperature of mixing and compaction were  $160 \pm 5$  and  $140 \pm 5^\circ\text{C}$  for the control and the sulphur modified mixtures respectively. The temperature range for the modified mixture guarantees workability and allows management of the sulphur-based emissions [1]. Due to the progressive crystallisation of the modified sulphur in the mixture, it can take several days for the modified asphalt mixture to develop its final mechanical properties [1]. According to previous research work on sulphur modified mixtures, laboratory prepared specimens were allowed to mature for 14 days before measuring their mechanical properties. To speed up the testing programme, an accelerated curing regime consisting of 24 hours at  $60^\circ\text{C}$  on the whole slab was used. Slabs (305 mm by 305 mm by 60 mm) were cored and cylindrical specimens (100 mm in diameter and 40 mm high) were obtained by trimming the top and the bottom of each core. The trapezoidal specimens ( $B=70$ ,  $b=25$ ,  $e=25$  and  $h=250$  mm according to BS EN 12697-24: 2004 [10]) were manufactured from slabs measuring 305 mm by 305 mm by 80 mm by using a masonry saw and a purpose-built clamping device. After the volumetric characteristics of the specimens were determined according to BS EN 12697-6: 2003 [11] and BS EN 12697-8: 2003 [12], they were then stored at room temperature on one of their flat faces for up to two weeks from compaction and then stored in a dry atmosphere at a temperature of  $5^\circ\text{C}$  to prevent distortion. Specimens were only removed from this controlled environment to be conditioned and tested at the required temperature.

## 2.3 Testing Procedure

*Test applying Indirect Tension to Cylindrical Specimens (IT-CY).* The IT-CY test, carried out conforming to BS EN 12697-26: 2004 [13], was used to determine the stiffness modulus of the cylindrical specimens in order to quickly assess the effect of curing time on stiffness.

*Two-Point Bending Test (2PB).* The two-point bending cantilever configuration was used to perform the fatigue conforming to BS EN 12697-24: 2004 [10]. These tests were used to widen the understanding of the fatigue properties of control and sulphur modified mixtures (see Figure 1).

*Indirect Tensile Stiffness Modulus (ITSM).* The ITSM was carried out to measure the tensile stiffness of asphaltic cores at a given stress level (as opposed to the specific strain level as prescribed in the IT-CY test) that was used to apply loading to a specimen in the ITFT detailed below. This test is detailed in DD 213: Determination of the indirect tensile stiffness modulus of bituminous mixtures [14].

*Indirect Tensile Fatigue Test (ITFT).* The ITFT characterises the fatigue behaviour of bituminous mixtures under controlled load test conditions. The ITFT was carried out at 10°C over a range of stress levels to produce fatigue lines for the different mixtures (see Figure 1). This test was carried out according to the older British method and is detailed in DD ABF Method for the determination of the fatigue characteristics of bituminous mixtures using indirect tensile fatigue [15].

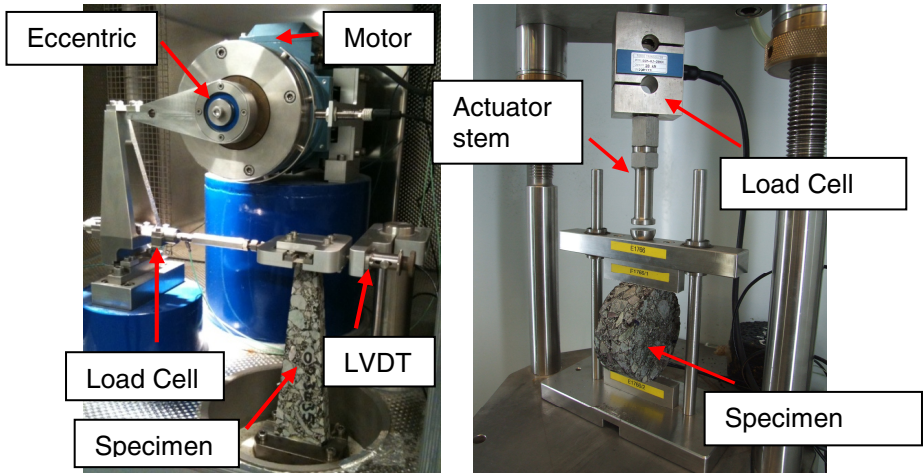
## 3 Results and Discussion

### 3.1 Crystallisation Study

One slab for each mixture was produced using the same compaction effort. Five cores were then taken from each slab (mixture type) and used for the crystallisation study. The addition of the sulphur pellets had a positive influence on the compactability of the asphalt mixture slab. The stiffness values for these specimens are greater compared to the control mixture. This is probably due to a lower air void content but also the early stages of sulphur crystallisation after 9 days.

IT-CY stiffness values for five specimens each of the control mix and the sulphur modified mix at 20°C were determined every 3 to 4 days over a period of 75 days to investigate the crystallisation (increasing stiffness) effect of the sulphur modified mixture when cured at room temperature.

The results of the individual specimens are shown in Figure 2 together with a trend line in terms of stiffness change with time. The control mixture shows no significant change in stiffness with time as expected. The sulphur modified mixture shows a continuous trend of increasing stiffness which begins to plateau



**Fig. 1.** The equipment and specimen set-up for the two-point bending fatigue test (2PB) and the Indirect Tensile Fatigue Test (ITFT)

after approximately 30 days. The increase is approximately 50% compared to the initial stiffness readings taken after 9 days. The grey band in the figure represents the stiffness range (repeated for 5 specimens) that can be developed in the sulphur modified mixture by using the accelerated curing regime of 60°C for 24 hours once. This band is in good agreement with the 30 day plateau showing the applicability of the accelerated curing regime.

### 3.2 Two-Point Bending Fatigue

The fatigue performance of the sulphur modified mixture compared to the control mixture based on the 2PB controlled stress fatigue tests is shown in Figures 3 and 4. The fatigue functions are based on a relationship between fatigue life to failure (defined in the plot as  $N_f$  50% [16]) and applied (initial and constant) stress level. This stress fatigue criterion shows the stiffer sulphur modified mixture having a greater life compared to the control mixture.



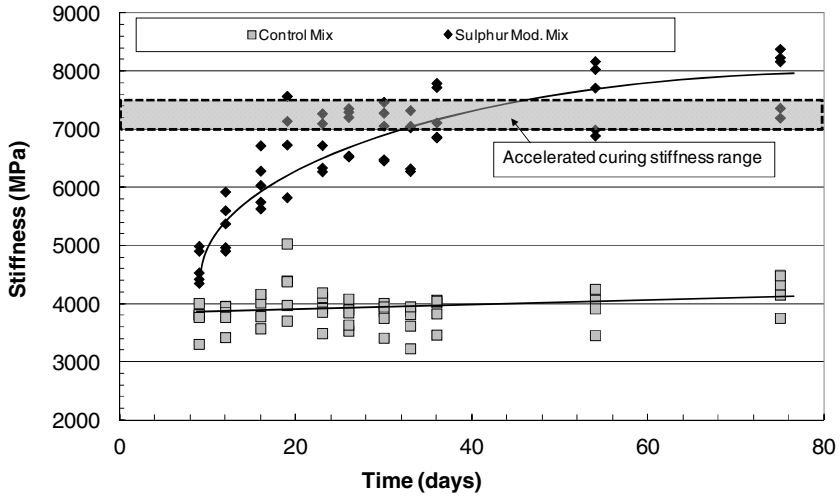


Fig. 2. Stiffness evolution for sulphur crystallisation study after 75 days

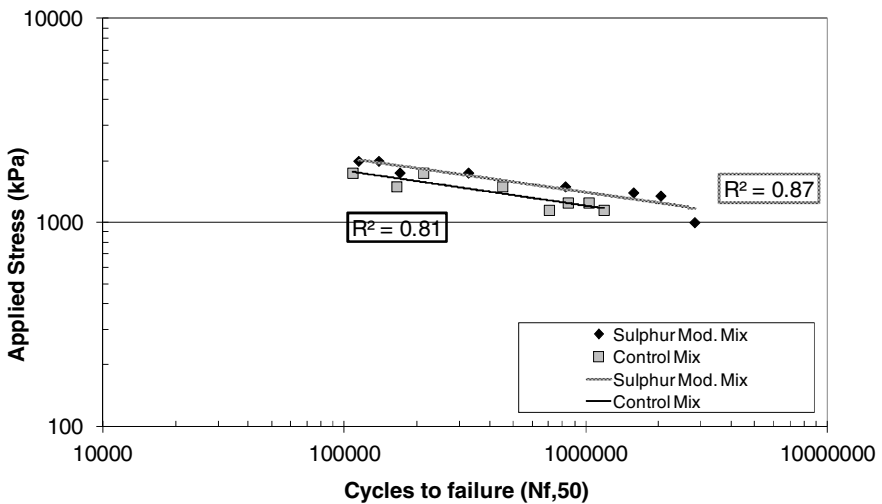


Fig. 3. Stress fatigue criterion for both mixtures based on 2PB fatigue tests carried out in stress control at 10°C and 25 Hz using  $N_f$  50%

The fatigue results have also been plotted against initial strain level in terms of the standard strain criterion and show a very comparable fatigue performance for both the control and sulphur modified asphalt mixtures.

The fatigue performance of the mixtures was also compared using the 2PB fatigue test in strain control. This is also shown in Figure 4 based on a relationship between fatigue life to failure (defined in the plot as  $N_f$  50%) and applied strain. This strain fatigue criterion again shows a very comparable fatigue performance for both the control and sulphur modified asphalt mixtures.

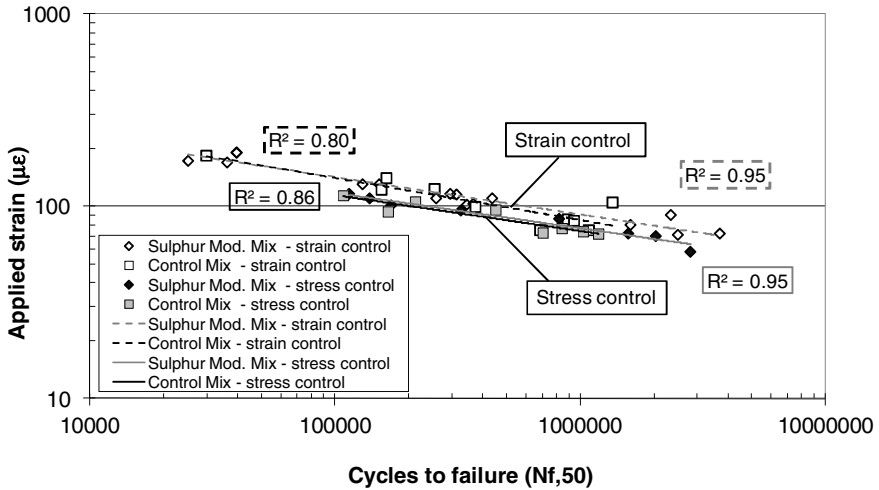


Fig. 4. Strain fatigue criterion for both mixtures based on 2PB fatigue tests carried out in both stress and strain control at 10°C and 25 Hz using  $N_f$  50%

### 3.3 Indirect Tensile Fatigue Testing

Further fatigue testing was carried out using ITFT. Again if we consider applied stress, the sulphur modified mix performs better than the control mix (see Figure 5). However, if the results are plotted as a function of initial strain, (see Figure 6), the sulphur modified mix performs much worse than the control mix. This is due to the large difference in the stiffnesses of the two mixtures in the indirect tensile mode of loading. If we look at Table 1, it can be seen that the stiffness for the mixtures as generated from the 2PB testing are similar. This stiffness value is based on a combination of the compressive and tensile moduli. However, the stiffnesses from the IT-CY test differ significantly between mixtures. This comes from the stiffening effect of the sulphur modifier, which stiffens the binder. The stiffening of the binder has a much larger effect on the tensile stiffness, which relies on the binder for strength. The stiffness in 2PB is more a combination of the compressive and tensile stiffness, where the compressive stiffness relies much more on aggregate interlock for strength and so the two mixtures behave similarly.

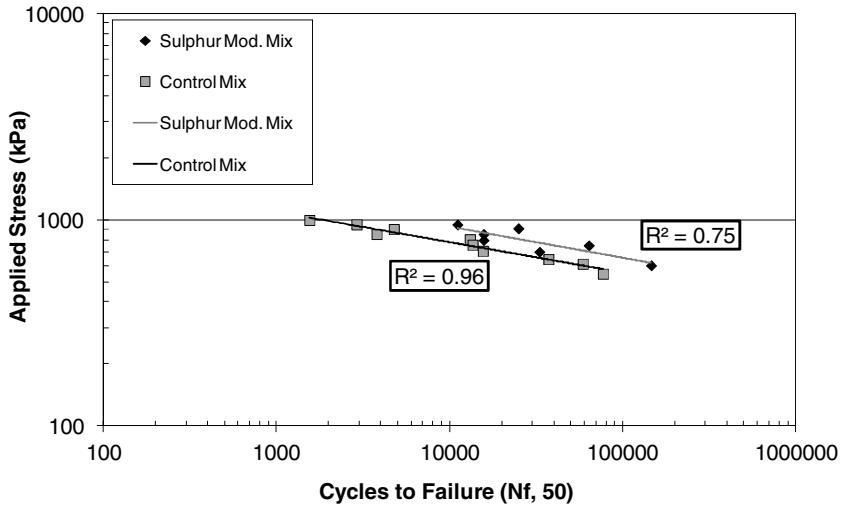


Fig. 5. Stress fatigue criterion for both mixtures based on ITFT fatigue tests carried out in stress control at 10°C using N<sub>f</sub> 50%

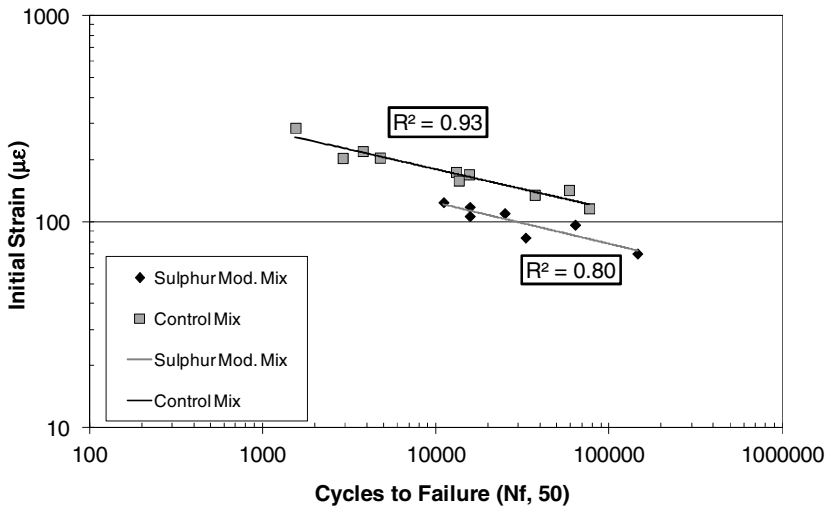


Fig. 6. Strain fatigue criterion for both mixtures based on ITFT fatigue tests carried out in stress control at 10°C using N<sub>f</sub> 50%

**Table 1.** Comparison of the average stiffness values of both mixtures tested by IT-CY (10°C, 124 ms rise time) and 2PB (10°C, 25 Hz)

Average Stiffness from IT-CY (MPa)			Average Stiffness from 2PB (MPa)		
Control Mix	Sulphur Mod. Mix	% improvement	Control Mix	Sulphur Mod. Mix	% improvement
11375	15694	38	15692	17528	12

## 4 Conclusions

The crystallisation study shows that the sulphur modified mixture initially continues to increase in stiffness and reaches a plateau after approximately 30 days. An increase in stiffness of about 50% is reached from the initial measurements that were taken after 9 days. As expected the control mixture did not exhibit any change in stiffness during this study. The accelerated curing protocol of 60°C for 24 hours was shown to raise the stiffness of the sulphur modified mixture to an equivalent level of the 30 day plateau.

In terms of the 2PB fatigue response of the control and sulphur modified mixtures, the results show that if stress is considered as the fatigue criterion, the sulphur modified mixture performs better than the control mixture. However, in terms of a strain fatigue criterion, both mixtures have an almost identical fatigue response. In addition, the strain criterion also allows the fatigue response to be predicted with great confidence over a wide range of strain levels and fatigue lives. When ITFT is considered, the sulphur modified mix is shown to exhibit a better fatigue response when plotted as a function of stress level, but due to its much larger stiffness in tension, performs less well compared to the control mix when plotted as a function of initial strain.

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# Effect of Moisture Conditioning on Fatigue Properties of Sulphur Modified Asphalt Mixtures

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**Abstract.** Sulphur modified asphalt mixtures using pelletised sulphur as the bitumen extender/modifier have shown enhanced mechanical performance in terms of stiffness, resistance to permanent deformation and potentially even fatigue. However, concerns still exist in terms of the long-term durability of the modified asphalt mixtures in relation to their moisture damage susceptibility. A moisture damage protocol has therefore been designed specifically for sulphur modified asphalt mixtures. The dynamic mechanical and fatigue properties of the moisture damaged sulphur modified asphalt mixtures were then investigated using stiffness tests applying indirect tension to cylindrical specimens, two-point bending DMA and fatigue tests. The moisture damage protocol involves placing the specimens in a water bath at 85°C for 9 days for both the sulphur modified asphalt mixtures and a control dense bitumen macadam (DBM) asphalt mixture. Initial results show significant stiffness loss for the moisture conditioned sulphur modified asphalt mixtures and a reduction in fatigue performance relative to the unconditioned asphalt mixtures. Nevertheless non-permanent effects of moisture conditioning have been observed which led to partial recovery of the material properties.

## 1 Introduction

Sulphur-extended asphalt was developed in the 1970s using hot liquid sulphur but was considered, due to the high price of sulphur, to be too expensive for use in road paving mixtures and also suffered from health and safety concerns. However, the increase in sour oilfield operations and the development of a more user-friendly sulphur asphalt modifier in a pelletised form has renewed interest in the use of sulphur modified asphalt mixtures as a viable paving material. The pellets are used as both a binder extender and an asphalt mixture modifier when added to an asphalt mixture [1]. The pellets are added to the hot asphalt mixture (aggregate and bitumen) during the mixing process. The addition of the modified sulphur pellets into the bitumen modifies the bitumen properties when the bitumen and sulphur combine at temperatures above the melting point of sulphur (120°C). For percentages marginally less than 20% by weight, all the sulphur is chemically

combined or dissolved within the bitumen and acts as an extender, modifying the bitumen properties with a reduction in viscosity and an increase in ductility (extended bitumen softer and more ductile). At higher percentages of added sulphur, part of the sulphur not dissolved in the bitumen remains predominantly as free sulphur and when the blend cools, it crystallises. Depending on the amount of pellets, the crystallisation gives different levels of strengthening (stiffening). As the bitumen is extended by sulphur, bitumen content is usually reduced. The most commonly used ratios of bitumen to modified sulphur are 60% to 40% and 70% to 30% by mass. As sulphur has approximately double the density of bitumen, the total binder (bitumen plus sulphur) content by mass in the asphalt mixture needs to be higher than for the pure bitumen asphalt mixture to achieve the same binder volume (mixture volumetrics). It takes several days for the paving mixture made with modified sulphur pellets to develop its final strength (stiffness) due to the progressive crystallisation of the modified sulphur in the mixture.

Although the use of solid sulphur pellets has been shown to produce mixtures with increased strength, stiffness and high temperature permanent deformation performance compared to conventional asphalt mixtures, questions still persist over the fatigue and fracture properties of these 'stiffer' modified asphalt mixtures as well as their durability related to moisture damage susceptibility.

The overall aim of this research study was to use stiffness tests applying indirect tension to cylindrical specimens, dynamic mechanical analysis measurements and fatigue material characterisation tests in two-point bending configuration to characterise the performance of both a control and a sulphur modified mixture when subjected to moisture conditioning. The first results show how complex the characterisation of moisture sensitivity in the laboratory is. Concerns about short and long-term effects (after accelerated moisture conditioning) on stiffness and fatigue characteristics of the materials lead to the need for further investigation.

## 2 Experimental Procedure

### 2.1 Materials and Mixture Design

*Bitumen.* A Venezuelan standard 40/60 pen bitumen was used in the study. The conventional bitumen properties of penetration [2] and softening point [3] are 47 dmm and 53.0°C respectively.

*Additives.* Two additives were used in the study to produce the sulphur modified mixture:

- workability additive blended with the bitumen in the proportion of 1.5% by mass of bitumen;
- modified sulphur pellets added during the asphalt mixing process in the proportion of 30% by mass of total binder (i.e. bitumen and additives).

The conventional bitumen properties of penetration [2] and softening point [3] of the 40/60 pen bitumen blended with the workability additive are 33 dmm and 72.6°C respectively.

*Aggregates.* Porphyritic andesite aggregates were used during the study. The mixture design for the control and sulphur modified asphalt mixtures was based on a 0/20 mm size dense binder course according to BS 4987-1: 2005 [4].

*Asphalt Mixtures.* Two asphalt mixtures were used in this study:

- control mix (4.7% of conventional 40/60 pen bitumen by mass of total mixture);
- sulphur modified mix (30% modified sulphur pellets to 70% bitumen, blended with workability additive, by mass). The volume of total binder (i.e. bitumen and additives) was maintained equal to the volume of bitumen in the control mix ([5] and [6]). As sulphur has approximately double the density of bitumen, this led to a total mass of binder of 5.5% by mass of total asphalt mixture.

The maximum densities of both mixture designs were measured according to BS EN 12697-5: 2009 [7].

## 2.2 Specimen Preparation

The asphalt mixtures were mixed and compacted in the laboratory conforming to BS EN 12697-35: 2004 [8] and BS EN 12697-33: 2003 [9] respectively, to achieve target air void contents of  $6 \pm 1\%$  within the specimens. The temperature of mixing and compaction were  $160 \pm 5$  and  $140 \pm 5^\circ\text{C}$  for the control and the sulphur modified mixtures respectively. The temperature range for the modified mixture guarantees workability and allows management of the sulphur-based emissions [1]. Due to the progressive crystallisation of the modified sulphur in the mixture, it can take several days for the modified asphalt mixture to develop its final mechanical properties [1]. According to previous research work on sulphur modified mixtures, laboratory prepared specimens were allowed to mature for 14 days before measuring their mechanical properties. To speed up the testing programme, an accelerated curing regime consisting of 24 hours at  $60^\circ\text{C}$  on the whole slab was used. Slabs (305 mm by 305 mm by 60 mm) were cored and cylindrical specimens (100 mm in diameter and 40 mm high) were obtained by trimming the top and the bottom of each core. The trapezoidal specimens ( $B=70$ ,  $b=25$ ,  $e=25$  and  $h=250$  mm according to BS EN 12697-24: 2004 [10]) were cut from slabs measuring 305 mm by 305 mm by 80 mm by using a masonry saw and a purpose-built clamping device. After the volumetric characteristics of the specimens were determined according to BS EN 12697-6: 2003 [11] and BS EN 12697-8: 2003 [12], they were then stored at room temperature on one of their flat faces for up to two weeks from compaction and then stored in a dry atmosphere at a temperature of  $5^\circ\text{C}$  to prevent distortion. Specimens were only removed from



this controlled environment to be conditioned and tested at the required temperature.

### **2.3 Testing Procedure**

*Test applying Indirect Tension to Cylindrical Specimens (IT-CY).* The IT-CY test, carried out conforming to BS EN 12697-26: 2004 [13], was used to determine the stiffness modulus of the cylindrical specimens in order to quickly assess the effect of moisture on stiffness and thus define a suitable moisture conditioning protocol for more fundamental testing.

*Two-Point Bending Test (2PB).* The two-point bending cantilever configuration was used to perform both Dynamic Mechanical Analysis (DMA) and fatigue conforming to BS EN 12697-26: 2004 [13] and BS EN 12697-24: 2004 [10] respectively. These tests were used to widen the understanding of the effects of moisture on the mechanical and fatigue properties of control and sulphur modified mixtures.

## **3 Moisture Conditioning Protocol: First Results and Discussion**

Moisture damage significantly influences the durability of asphalt mixtures. A reduction of cohesion results in a reduction of the strength and stiffness of the mixture and thus a reduction of the pavement's ability to support traffic-induced stresses and strains. Failure of the bond between the bitumen and aggregate (stripping) also results in a reduction in pavement support.

Previous research showed that the addition of sulphur-modified pellets can cause up to a 10% reduction in both the retained Marshall stability and the tensile strength ratio of the modified mixtures in comparison to control mixtures [1]. Further research confirmed an increase in the moisture susceptibility of sulphur modified mixtures compared to the control mixture when determining tensile strength ratio and when performing Hamburg wheel-tracking testing [14]. Other research witnessed comparable moisture resistance of a sulphur modified mixture compared to conventional mixes when an anti-stripping agent was used [15].

This current research was conducted in order to assess the mechanical performance, in terms of stiffness and behaviour under fatigue, of conditioned and unconditioned modified mixtures compared to conventional mixture performance.

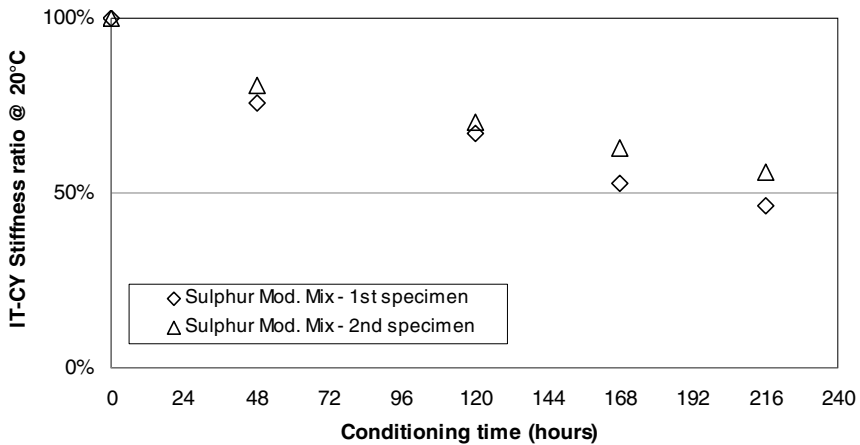
Based on previous comparisons of moisture sensitivity procedures [16] and on AASHTO T 283-07 [17], a cyclic moisture sensitivity conditioning procedure was devised and a preliminary investigation was conducted on the stiffness (IT-CY) evolution at 60°C and 85°C for the sulphur modified mix. Based on these results it was possible to define the best temperature and conditioning time needed to see significant effects of moisture on the mechanical properties of the sulphur modified material and the study carried on with 2PB rheological DMA and fatigue testing for both the control and the modified mixtures.

### 3.1 Preliminary IT-CY Testing

The first part of the investigation was carried out on the sulphur modified mixture. A set of two specimens was subjected to repeated moisture conditioning cycles. The unconditioned stiffness was determined on the samples in accordance to BS EN 12697-26 [13] at 20°C (IT-CY<sub>u</sub>). The test temperature was chosen based on previous tests that showed that the moisture conditioning effect on sulphur modified mixtures is more evident at higher temperatures. The samples were placed in a vacuum vessel and covered with water at room temperature. The apparatus was then sealed and a partial vacuum of  $68.0 \pm 3.3$  kPa was applied for  $30 \pm 1$  minutes. The specimens were then removed from the vacuum vessel and were placed in a hot water bath at  $85 \pm 1^\circ\text{C}$  for a period of time of at least  $24 \pm 1$  hours per cycle. The water bath temperature was chosen after conducting some trials at lower temperature (60°C) and witnessing no significant stiffness decrease even after eleven days.

After every cycle the samples were removed from the hot water bath and placed in a water bath set at the test temperature ( $20 \pm 0.5^\circ\text{C}$ ) for at least 3 hours (former tests done on dummy specimens fitted with both a surface and an internal thermocouple showed that a stable temperature of 20°C was reached in less than 2 hours). The specimens were then removed from the water bath, surface dried and the conditioned IT-CY was determined for each conditioning cycle (IT-CY<sub>ci</sub>).

The results in terms of stiffness ratio (IT-CY<sub>Ratio,ci</sub> = IT-CY<sub>ci</sub>/IT-CY<sub>u</sub>) were plotted against time (hours of conditioning) as depicted in Figure 1.



**Fig. 1.** Moisture conditioning cycles at 85°C: IT-CY ratio at 20°C for the sulphur modified mixture

The results showed that after nine days (216 hours) of conditioning the decrease in the conditioned IT-CY was approximately 50% of the initial

(unconditioned) measured value. The chosen temperature of 85°C was considered appropriate for this study since it gave a good indication of the moisture conditioning effects on the material. Nine days were also considered suitable for conditioning the samples as this allowed sufficient stiffness decrease with respect to the unconditioned measured values. This clear modification of the mixture properties in terms of IT-CY seemed promising for carrying on with the next testing stage which was also extended to the control material.

### ***3.2 Two-Point Bending Tests***

Two sets of specimens for the control and the sulphur modified mixtures were selected. One set for each mixture was tested unconditioned whereas the remaining two sets were subjected to moisture conditioning at  $85 \pm 1^\circ\text{C}$  for nine days ( $\pm 2$  hours). The conditioned samples were brought to  $20^\circ\text{C}$  by placing a cooling unit into the water bath first before the samples were removed from the bath (in order to prevent distortion) and they were left to dry out at a constant temperature of  $20^\circ\text{C}$ . After 24 hours the geometry of all the samples was checked in order to assess whether or not any significant distortion had occurred. It was found that for the moisture conditioned samples the maximum increase in the measured dimensions was 1.2 % of the thickness whilst the average expansion was below 0.6 %. These findings were considered as acceptable for this study.

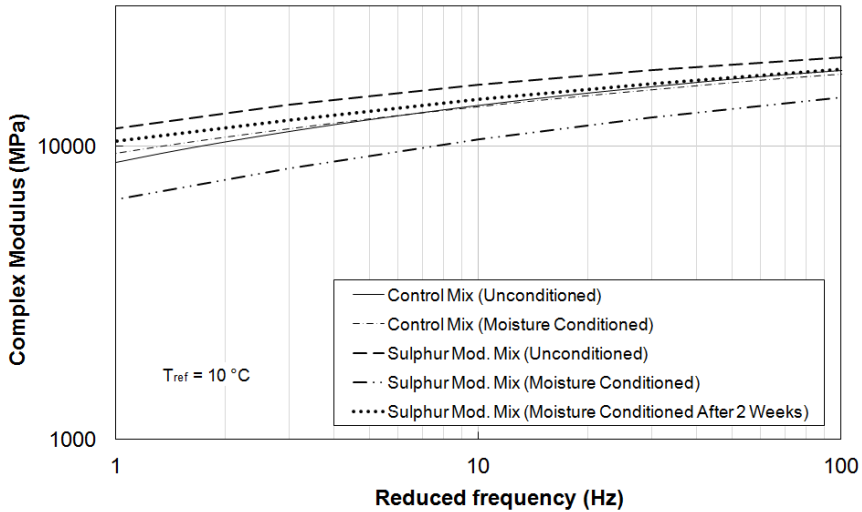
The specimens were then glued to the metal plates and conditioned at the test temperature for at least 4 hours prior to testing. DMA and fatigue analysis were undertaken and the results obtained on the conditioned and unconditioned specimens were compared.

*DMA Analysis.* The rheological DMA experimental data were obtained on subsets of two samples tested at three temperatures ( $-20, 10, 30^\circ\text{C}$ ) and eight frequencies (1, 2, 5, 10, 15, 20, 25, 30 Hz). The analysis was conducted on unconditioned and moisture conditioned (within 4-5 days from conditioning) control and sulphur modified mixtures and the results were used to generate complex modulus master curves using the 2S2P1D model [18]. A reference temperature ( $T_{\text{ref}}$ ) of  $10^\circ\text{C}$  was used in this study (Figure 2).

The repeats for both mixtures provided consistent parameters for the models with the unconditioned sulphur modified mixture showing a higher stiffness (complex modulus) compared to the unconditioned control. However the moisture conditioning only had a significant effect on the modified mix above all at higher temperatures (lower frequencies). The control mix did not seem to be affected by the moisture conditioning procedure used in the study.

There was a concern that sulphur may dissolve and crystallise in and out of the binder during temperature changes. Thus there could be a possibility that some of the moisture damage witnessed in terms of stiffness reduction might be attributed to changes in sulphur crystallisation rather than actual moisture damage. This led to the need for further investigation. Therefore the DMA results obtained directly after 9 days of moisture conditioning (plus 4 to allow the specimens to dry out) were compared to the DMA results obtained on the same pair of specimens tested

after a further 2 weeks (19 days of total recovery period). It can be seen from the graph that after two weeks the sulphur modified material recovers in terms of stiffness.



**Fig. 2.** Complex modulus master curves

*Fatigue.* Two-point bending controlled strain fatigue tests were undertaken under standard conditions (at 10°C and at 25 Hz) for the unconditioned and moisture conditioned mixtures. The tests were conducted after 25 to 27 days (long-term rest period) from conditioning for the control mix and after 10 to 12 days (mid-term rest period) for the modified mix. The initial stiffness, calculated at the 100<sup>th</sup> cycle, was recorded for each test and it was plotted against the applied strain level (Figure 3). It can be seen that no moisture effect was recorded after the long-term rest period for the control mixture whereas for the modified mixture an average decrease in stiffness of 25% was shown after a mid-term rest time. Agreement with these results was obtained from the DMA under the same temperature and frequency conditions.

Figure 4 shows the results (in terms of 2PB stiffness) from both the fatigue and the DMA testing. Each DMA data point averages two replicates, whereas every FATIGUE data point averages eight to ten replicates (initial stiffness measured at the beginning of the fatigue test). It can be seen that the moisture conditioning produced a decrease in stiffness of 31 % in the modified material in the short-term and then a progressive recovery in the mid and long-term (25% and 15% stiffness decrease respectively). Testing carried out on the control mix produced a short-term reduction in stiffness of 7% and a total recovery in the long-term.

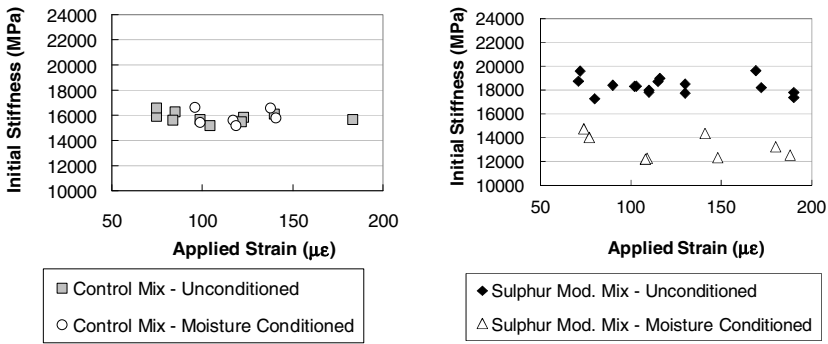


Fig. 3. Initial 2PB Stiffness for the control (left) and the sulphur modified (right) mixtures, both unconditioned and moisture conditioned

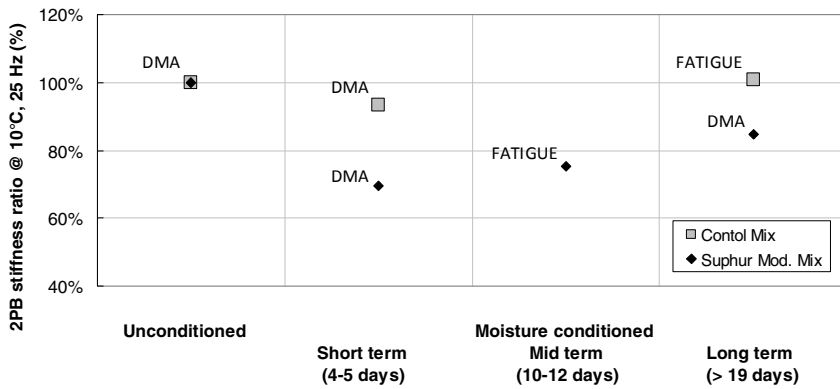


Fig. 4. 2PB (DMA & FATIGUE) stiffness ratio at 10°C and 25 Hz for unconditioned and moisture conditioned mixtures

The fatigue results for the control mixture are depicted in Figure 5. Although only 6 moisture conditioned test specimens (long-term recovery) were tested the results indicate that there are no clear effects of moisture on fatigue. Therefore a single regression line was plotted by fitting the overall results. Further tests would be necessary to achieve a higher coefficient of determination ( $R^2$ ).

The fatigue results for the modified mix are shown in Figure 6. The materials properties in terms of resistance to fatigue were affected by moisture conditioning, although the tests were carried out after a mid-term recovery period.

Some extra tests will be needed to see whether the recovery in terms of stiffness in the mid and long-term is a peculiarity of sulphur modified materials, which could be explained by the crystallisation changes. Questions still persist over short-term effects of the moisture conditioning procedure on asphalt mixtures and potential affects on the fatigue performance.

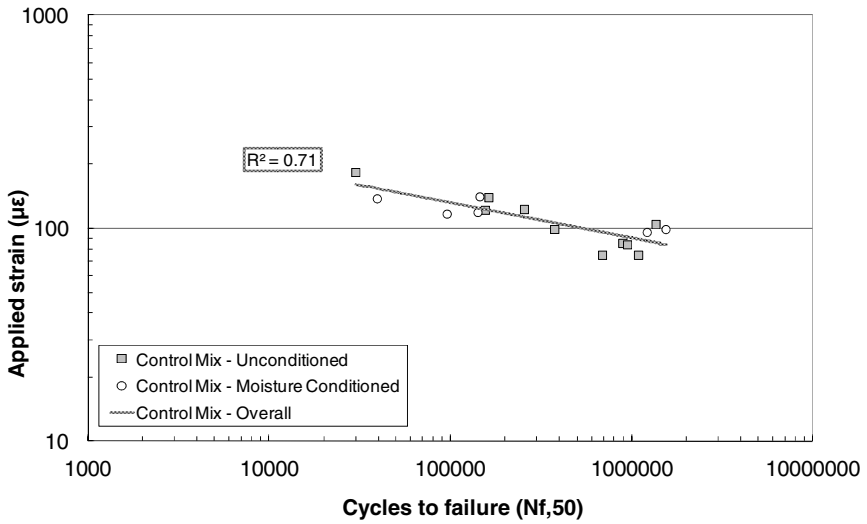


Fig. 5. 2PB controlled strain fatigue tests at 10°C and 25 Hz for unconditioned and moisture conditioned control mixture

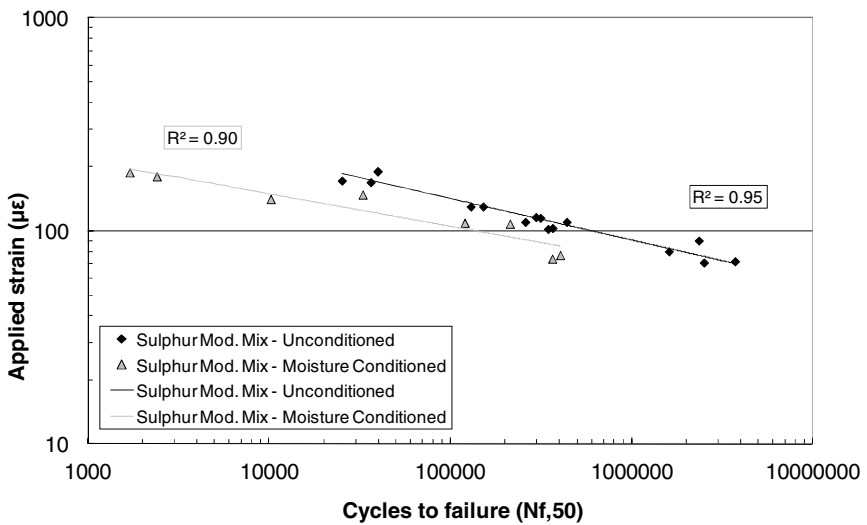


Fig. 6. 2PB controlled strain fatigue tests at 10°C and 25 Hz for unconditioned and moisture conditioned sulphur modified mixture

## 4 Conclusions

From the DMA results, a conditioning temperature of 85°C for 9 days does seem to modify the properties of the sulphur modified mixture (mainly at higher temperatures and lower frequencies) in terms of stiffness in the short-term. The short-term effect is also confirmed by the IT-CY tests carried out during the preliminary study (no rest periods). However, it has been shown that mid-term and long-term rest periods applied after conditioning seem to progressively eliminate the effect of moisture on stiffness modulus. The fatigue tests carried out in the mid-term still show a significant effect of the moisture conditioning of the material performance although long-term fatigue testing would be needed to see whether a total recovery in stiffness would result in a total fatigue performance recovery.

The DMA as well as the fatigue test results conducted on the control mixture showed no significant effects of moisture.

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# Fatigue Investigation of Mastics and Bitumens Using Annular Shear Rheometer Prototype Equipped with Wave Propagation System

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**Abstract.** A research project on fatigue behavior of bitumens and mastics is developed at University of Lyon, ENTPE/DGCB in collaboration with TOTAL Company. An innovative device, the Annular Shear Rheometer (ASR), is used to perform advanced experimental investigation. It allows practicing fatigue tests, which could be considered as homogenous, on larger scale specimen than traditional other devices. This apparatus allows measuring the linear viscoelastic (LVE) shear complex modulus ( $G^*$ ) of bituminous materials for small strain amplitudes, and the non linear modulus  $G_e^*$  for higher strain levels applied during fatigue tests.

Different types of materials, including pure bitumens and mastics (phase composed of bitumen and filler) are tested. A new measurement dynamic system consisting of wave propagation, equips the ASR. This system allows sending ultrasound at high frequencies (between 100 and 600 kHz). In this paper, the ASR and the wave propagation system, as well as the fatigue testing procedure and the tested materials are presented. During fatigue tests, the evolution of complex modulus from cyclic and dynamic and non linear modulus is observed.

## 1 Introduction

Bituminous materials are used in multiple areas, especially in road pavements. Repeated loadings in pavement layers cause damage. Durability of road pavement materials is a recurring problem which is an important research topic. Different studies on bituminous mixtures and binders fatigue ([14]; [3]; [16]; [17]) were performed in order to characterize the behavior of these materials with respect to fatigue. This paper presents the experimental device, including the Annular Shear

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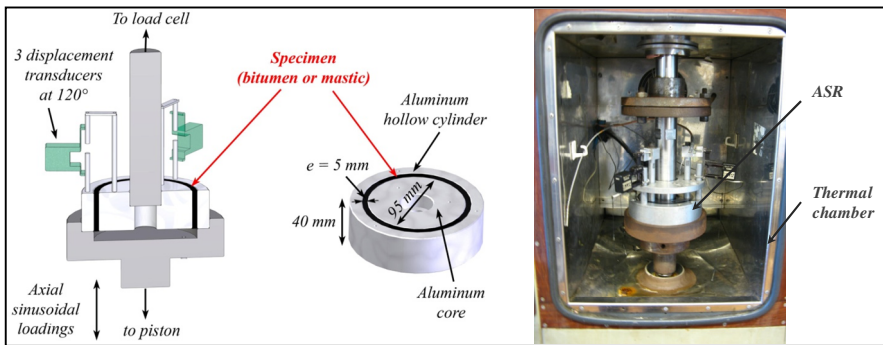
Rheometer (ASR) and dynamic waves propagation system. The testing procedure and specific results are developed. The two presented tests have the same characteristics (bitumen, filler, amplitude ...). Repeatability is checked. Moreover a comparison between the 2S2P1D model and experimental values is achieved. Then observed fatigue characteristics are discussed.

## 2 Experimental Campaign

### 2.1 Presentation of the Annular Shear Rheometer

The principle of the annular shear rheometer (ASR) consists in applying sinusoidal shear stress or sinusoidal shear strain (distortion) on a hollow cylinder of bitumen or mastic, at different temperatures and frequencies. The sample has a rather large size: 5 mm thickness, 95 mm inner diameter and 40 mm height. With such dimensions, the test is homogenous as a first approximation even with aggregate sizes up to 1 millimetre. A schematic view of the apparatus is presented in Figure 1.

The outer duralumin hollow cylinder is screwed on the piston of a 50 kN capacity hydraulic press. The core is linked to a fixed load cell. A sinusoidal cyclic loading is applied in stress or strain mode by means of the controlled hydraulic press movements. Three displacement transducers placed at  $120^\circ$  around the sample measure strain. The mean value of the three measured displacements is used to control the strain. The transducers measure the relative displacements between outer and inner lateral surfaces of the bituminous sample.



**Fig. 1.** Schematic view of the annular shear rheometer or ASR (left); picture of the apparatus placed in a thermal chamber (right)

The ASR allows measuring the complex shear modulus ( $G^*$ ). For “small” strain amplitudes, the behavior is linear viscoelastic (LVE) and the modulus is called  $G_{LVE}^*$ . For higher strain levels applied during fatigue tests, the measured “equivalent complex modulus” is called  $G_e^*$  [16; 17].

Expression of the complex shear modulus is given by equation(1):

$$G^* = |G^*| e^{j\phi} = G_1 + jG_2 \tag{1}$$

$|G^*|$  is the norm of the complex shear modulus,  $\phi$  is its phase angle,  $j$  is the complex number defined by  $j^2=-1$ .  $G_1$  is the storage modulus and  $G_2$  is the loss modulus. As the ASR is placed in a thermal chamber,  $G^*$  can be measured at different temperatures  $T$  (from  $-20^\circ\text{C}$  to  $80^\circ\text{C}$ ). It can also be measured on a large range of frequencies  $f$  (from 0.03 Hz to 10 Hz).  $|G^*|$  is the ratio between the amplitudes of distortion  $\gamma_A$  and shear stress  $\tau_A$ , where  $\gamma(t)=\gamma_A \sin(\omega t-\phi)$  is the expression of the distortion signal,  $\tau(t)=\tau_A \sin(\omega t)$  is the expression of the shear stress signal and  $\omega=2\pi f$  is the pulsation.

A temperature sensor is used for the temperature measurements inside the sample.

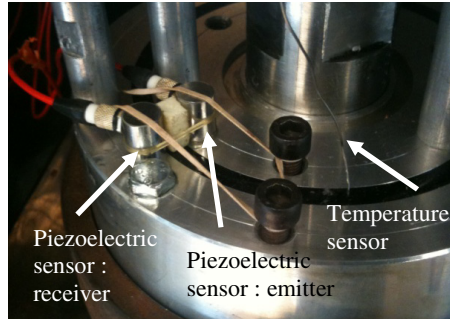
### 2.2 Waves Propagation System

The waves propagation system is used to measure propagation rate “ $C_s$ ” of shear waves in the bitumen or mastic sample. The propagation speed  $C_s$  of a shear wave at a given frequency  $f=\omega/2\pi$  in a Linear Viscoelastic (LVE) medium at a temperature  $T$  is a function of the value of the LVE complex modulus  $G^*(\omega,T)$  at this frequency and temperature and  $\rho$ , the density of the material (Eqn 2). If the phase lag at the wave frequency ( $f=\omega/2\pi$ ) is known, measuring the speed of a wave allows to get the value of  $|G^*(\omega,T)|$  from equation 2. An interest of such a device is to allow obtaining complex modulus at high frequencies, which is unreachable with classic rheometers. The system has already provided interesting results on bituminous mixture samples [26; 23] and bituminous mastics [11; 16; 17].

$$C_s = \frac{1}{\cos\left(\frac{\phi(\omega,T)}{2}\right)} \sqrt{\frac{|G^*(\omega,T)|}{\rho}} \tag{2}$$

Figure 2 shows pictures of the developed waves propagation system. Two piezoelectric sensors are used. The first one is an emitter, fixed on the inner mold. An electric sinusoidal signal, produced by a wave generator, is applied to this sensor, which makes it vibrates. Any excitation frequency can be taken between 200 kHz and 500 kHz, the frequency range of the sensor. In this study we chose 500 kHz. A mechanical shear wave is emitted at the chosen frequency and propagates through the sample. When this wave touches the second sensor, that acts as receiver, it makes it vibrates (Figure 3). The electric signal is then recorded, which enables to obtain the flying time of the wave in the sample.

Because of the lower speed of shear waves compared to compression waves [6], the arrival of the shear wave propagating through the material is detected when the received signal reaches it first local minimum. All the process has been

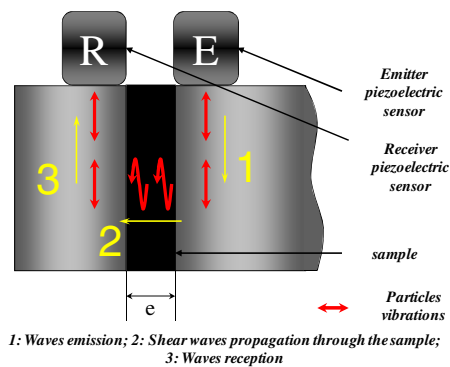


**Fig. 2.** Picture of ASR and waves propagation system, general view (left), ASR cell equipped with the piezoelectric sensors (right)

carefully evaluated and analyzed in other studies, which gave reasonable results [11; 16; 17].

To find the “real” travel time, it is necessary to subtract the time lag induced by the electronic and mechanic systems. This time lag has been measured on the ASR mold without sample. The shear wave propagation rate  $C_S$  is equal to  $\Delta t/e$ , where  $\Delta t$  is the real travel time and  $e$  is the thickness of the sample (Figure 3), which is equal to 5 mm. The value of  $C_S$  leads to the determination of shear modulus at 500 kHz (the chosen frequency)  $|G^*|_{500\text{ KHz}}$  by applying equation (3):

$$|G^*|_{500\text{ KHz}} = \rho \left( C_S \cos\left(\frac{\phi_{500\text{ KHz}}}{2}\right) \right)^2 \tag{3}$$



**Fig. 3.** Schematic representation of the ASR cell during a wave propagations test, emitted and received waves signal during test

### 2.3 Testing Procedure

The new proposed testing protocol was used in a study of the fatigue behavior of bituminous mastics led at the ENTPE/DGCB laboratory in collaboration with Total company. It is called “advanced fatigue test” [17]. The general testing protocol is presented in Figure 4. Fatigue cyclic loadings in rather “high” strain domain are applied to a sample of bitumen or mastic at a 10 Hz frequency and at a constant regulated temperature ( $\approx 10^\circ\text{C}$ ). The great originality and improvement of the test consists in the insertion of complex shear modulus measurements periods (in the linear domain) at different time interval during fatigue test. The specimen is then punctually loaded at “low” strain levels. The small strain amplitude cyclic measurements are made at 6 different frequencies (0.03, 0.1, 0.3, 1, 3 and 10 Hz) every 20000 fatigue cycles. Two additional measurements are performed, one before the beginning of the test, which is representative of the undamaged material, and one after the first 10000 fatigue cycles. The testing protocol is associated with the waves propagation system. A dynamic measurement is made every twenty seconds.

The presented results concerns a bitumen composed of a 35/50 penetration grade. The bitumen is called “B3550”. Two “advanced fatigue tests” at the same strain level  $\epsilon_{tz}$  ( $= \gamma/2$ ) of 0.5%, are presented, B3550D5000 test 1 and B3550D5000 test 2. This strain level is not within the small strain domain (linear domain). Then, the complex modulus measured during the fatigue test  $G_c^*$  is a non-linear complex modulus.

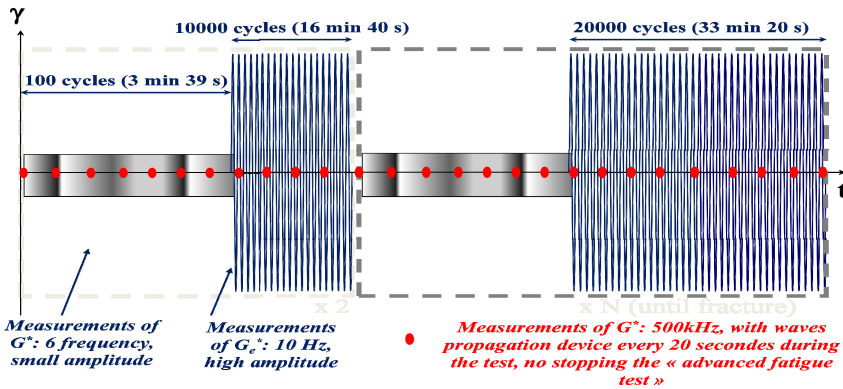


Fig. 4. Schematic representation of the advanced fatigue test protocol with the waves propagation device :  $G_c^*$  is measured at 6 frequencies from 0.03 to 10 Hz.

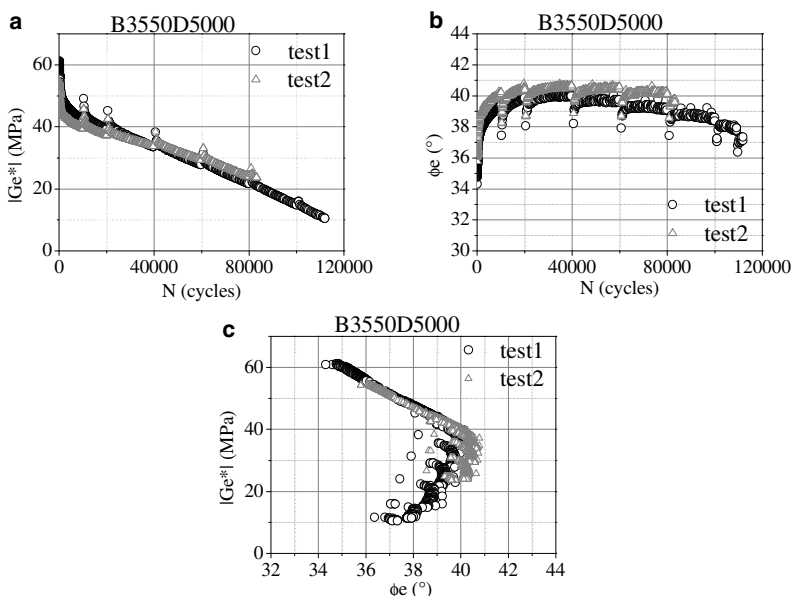
## 3 Results

### 3.1 Equivalent Complex Modulus ( $G_c^*$ )

Results obtained on  $G_c^*$  during the two fatigue tests B3550D5000 test1 and B3550D5000 test2 are both presented Figure 5. The modulus  $|G_c^*|$  and the phase

lag  $\phi_e$  are plotted as a function of the number of fatigue cycles (N) on graphs a and b respectively. The evolution of  $G_e^*$  in Black space ( $|G_e^*|$  versus  $\phi_e$ ) is plotted on graph c.

Discontinuities can be seen on the results every 20000 cycles. They are due to the  $G^*$  measurement periods (about 3 min 40 seconds), which correspond to quasi-rest periods for the material. The fatigue loading is stopped during those periods, and the material is loaded at low strain levels. So, a recovery process (untreated in this paper) may occur:  $|G_e^*|$  slightly increases and  $\phi_e$  decreases. This phenomenon seems partially reversible. Indeed, it can be observed that, after several fatigue cycles following the  $G^*$  measurements periods, the measurements points reach a unique curve. It can be noticed that both tests give very close results, which seems to indicate that  $G^*$  measurements periods have no influence on the progress of the fatigue process. The good repeatability of the test can also be underlined.

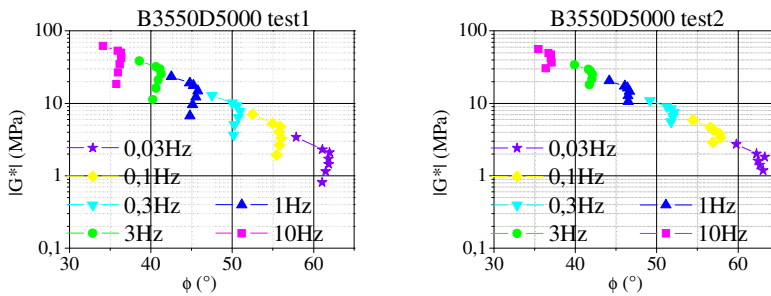


**Fig. 5.** Equivalent complex modulus  $|G_e^*|$  (a) and phase lag  $\phi_e$  (b) as a function of the number of fatigue cycles and representation of  $G_e^*$  in Black space (c) Tests B3550D5000 test1 and B3550D5000 test

### 3.2 LVE Complex Modulus ( $G^*$ )

As explained in section 2.3, LVE complex modulus  $G^*$  is measured at 6 frequencies at the beginning of the test, and then every 20000 fatigue cycles until the end of the test, plus an additional measurement after 10000 cycles. The

evolution of  $G^*$ , at each frequency, is qualitatively the same than  $G_e^*$  (Figure 6). At the beginning a decrease of  $|G^*|$  and an increase of  $\phi$  can be observed. After  $|G^*|$  continues to decrease when the phase lag is quasi stable. The main interest of  $G^*$  measurements during fatigue tests is to allow modeling of the LVE behavior during fatigue process. An analogical model, called 2S2P1D [12; 9; 7; 8], developed at the ENTPE/DGCB laboratory to model LVE behavior of bitumen, mastics and asphalt mixes, is used. Due to the lack of space, this modeling is not developed in this paper and we invite reader to consult given references.  $G^*$  measurements, at 6 frequencies, give by optimization the constants of the 2S2P1D model. Using the model, it is then possible to plot the whole  $|G^*|$  master curve (for all frequencies) for each cycle where  $G^*$  measurements is done (principle is explained in Figure 7). The calculated  $|G^*|_{500\text{ kHz}}$  is then compared with the  $|G^*|_{500\text{ kHz}}$  value obtained with the waves propagation system at the same fatigue cycle in.



**Fig. 6.** LVE complex modulus  $G^*$ , representation of  $G^*$  in Black space, B3550D5000 test 1 (left) and B3550D5000 test 2 (right)

### 4 Waves Propagations

As explained in section 2.2, the waves propagation system allows to obtain the value of  $|G^*|$  at 500 kHz. A special protocol, presented in section 2.3, is used during the two tests. To compare the two linear viscoelastic moduli  $|G^*|_{500\text{ kHz}}$  and  $|G_e^*|$  the elapsed time “t”, the common data, is used. Cycle equivalent  $N_{eq}$  is introduced :  $N_{eq}=t*10$  (10Hz = frequency).  $N_{eq}$  is different of the number of fatigue cycles  $N$ . This difference is the result of small strain measurements period.

The value of the density  $\rho$  of the bitumen is equal to 1035  $\text{kg.m}^{-3}$ . Modeling back analysis, with 2S2P1D model, shows that, at 500 kHz, the value of the phase lag  $\phi$  does not vary too much during the considered fatigue tests. Then, it is considered equal to its initial modeled value of  $8^\circ$  during the two tests.

Results obtained on  $|G^*|_{500\text{ kHz}}$  during the two fatigue tests B3550D5000 test1 and B3550D5000 test2 are both presented in figure 9b. The results show a behavior very similar between the two tests. The repeatability observed in part 3.1,

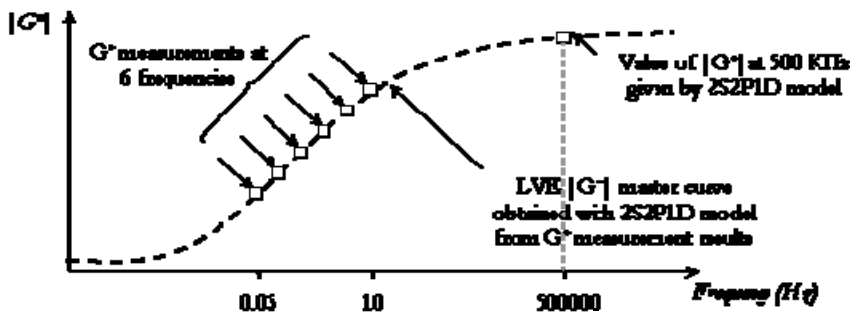


Fig. 7. Schematic  $|G^*|$  master curve at a given cycle  $N$  during the fatigue test, and  $|G^*|$  at 500 kHz values obtained from 2S2P1D model.  $T$  is fixed at  $10^\circ\text{C}$

with the ASR, can also be underlined for waves propagation measurements. In figure 8, observed evolution of  $|G_e^*|$  and  $|G^*|_{500\text{kHz}}$  are slightly different. At the beginning of the test  $|G_e^*|$  decreases quickly. It is not the case for  $|G^*|_{500\text{kHz}}$ . It has been shown in previous studies by the team [10] that the large initial decrease is due to a temperature artifact and to thixotropy. In fact these biased effects do not have the same effect at 10Hz and 500 kHz (on the  $|G^*|_{500\text{kHz}}$  modulus and  $|G_e^*|$  (10Hz modulus)).

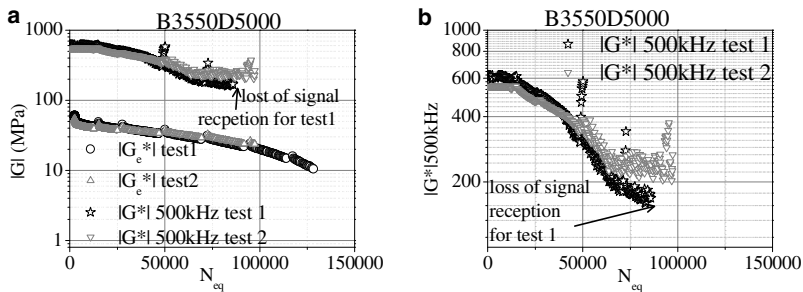
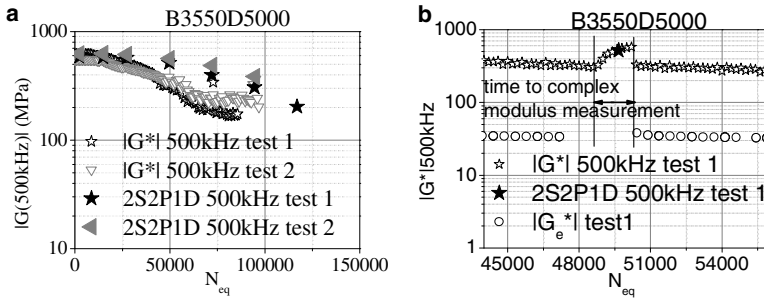


Fig. 8. Complex modulus  $|G^*|_{500\text{kHz}}$  and equivalent complex modulus  $|G_e^*|$  (a) as a function of  $N_{eq}$  ( $=t \cdot 10$ ), and zoom on  $|G^*|_{500\text{kHz}}$  (b)

It can be noticed (figure 9a) that at the beginning of the test, the values of  $|G^*|_{500\text{kHz}}$  obtained with the waves propagation system (from equation 2) are close to the values given by the 2S2P1D model (calibrated from cyclic quasi-static measurements in the small strain domain). After about 20 000 equivalent cycles (2000 seconds), both procedures give a decrease of  $|G^*|_{500\text{kHz}}$ . The gap between the two procedures must be explained by the recovery, as illustrated with waves propagation measurements given in figure 9b. During the  $G^*$  measurement period the material is nearly at rest and recovers (mainly because of temperature decrease and thixotropy effect reversibility). This point is not developed in this paper due to the lack of space.





**Fig. 9.**  $|G^*|$  at 500 KHz waves propagation and 2S2P1D model as a function of the number of cycles equivalent (a) and a zoom on a  $G^*$  measurement period with  $|G_e^*|$ ,  $|G^*|_{500kHz}$  waves propagation and  $|G^*|_{500kHz}$  2S2P1D model

### 5 Conclusion

The ASR prototype, developed at the ENTPE/DGCB laboratory, is used to investigate fatigue behaviour of bitumen and mastics and therefore to obtain a better knowledge of fatigue properties of bituminous materials. The addition of a wave propagation system provides new and interesting information about material behavior. The presented results indicate good repeatability of  $|G_e^*|$  and  $|G^*|_{500kHz}$  measurements, which correspond to complex modulus measured at 10 Hz during fatigue loading and modulus from back analysis of waves propagation tests at 500kHz, respectively. However the evolution of these two moduli with the number of cycles (N) is quite different at the beginning of the fatigue test. Modulus values at 500 kHz, that are much less affected at the beginning of the test by the different biasing effects (temperature and thixotropy), does not show rapid decrease with N. A new protocol consisting in measuring modulus in the small strain domains at different frequencies and different fixed time during fatigue test gives enough information to calibrate a powerful linear viscoelastic model “2S2P1D”. A comparison between dynamic modulus at 500 kHz and “2S2P1D” modeling for this frequency gives quite good agreement.

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# Effect of Steel Fibre Content on the Fatigue Behaviour of Steel Fibre Reinforced Concrete

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**Abstract.** Rigid pavements are widely used for very heavily trafficked freeways because of their long design period and high performance. Rigid pavements are designed for two modes of failure, namely, fatigue and erosion. Most of the fatigue damage occurs due to very heavy axle loads. In this research, steel fibre was added to Portland cement concrete at  $20 \text{ kg/m}^3$  and  $60 \text{ kg/m}^3$  to improve fatigue resistance, which could allow for thinner pavements and hence lower construction costs. In addition, the prediction of fatigue life according to the Portland Cement Association and Corps of Engineers models were compared with the measured fatigue of the plain concrete and fibre reinforced concrete. Fatigue tests were carried out using constant stress mode. A range of stresses were applied to cover a range of stress ratios from 0.26 to 0.616. Comparisons between measured fatigue lives and the predicted lives using the Portland Cement Association and Corps of Engineers models have shown that none of these models provided a good match with the measured values. It was found that steel fibres improved fatigue resistance. However, high fibre contents showed detrimental effect on fatigue at high stress ratios.

## 1 Background

Portland cement concrete is a material widely used in construction, such as pavement and bridge projects. Tensile stresses are developed in the concrete member due to bending under traffic axle loadings. The repeated flexing of the pavement structure causes the development of micro-cracks and these cracks grow over time, resulting in fatigue failure. The use of fibre reinforced concrete (FRC) is steadily increasing. FRC is likely to be a reasonably cost-effective alternative due to its high fatigue performance and strength characteristics. However, the available design methods and guidelines for fatigue in rigid pavements are based on conventional unmodified concrete. Therefore, there is a need to study the effect of fibre reinforcing on the fatigue properties of the concrete and to compare this against existing concrete fatigue models. The subject of fibre reinforcement and fatigue behaviour of concrete has been studied by several researchers. Gao and Hsu [1] found that the mechanism of fatigue could be divided into three stages.

The first stage, termed as flaw initiation, involves flaws forming within weak regions of the concrete. The second stage, known as microcracking, is the slow growth of flaws to a critical size. The final stage occurs when continuous or macrocracks form, eventually leading up to failure.

Cornelissen and Reinhardt [2] showed that the first and last stage makes up approximately 10% of the total curve each, while the second stage accounts for the remaining 80%. It has been found by Hordijk [3] that the slope of the second stage can be correlated with fatigue life. Zhang [4] showed that the internal structural degeneration of concrete may be characterised by the presence of micro-defects, such as gel pores. With increasing number of loading cycles, the development of micro-defects results in a decrease in strength and stiffness of the concrete.

Hsu [5] showed that low-cycle fatigue (temperature variation related fatigue) and high-cycle fatigue (i.e. traffic loadings) had different mechanisms. Low-cycle fatigue mechanism tends to be formed by the formation of mortar cracks which leads to a network of continuous cracks. High-cycle fatigue however tends to produce bond cracks in a slow and gradual process. Saito and Imai [6] found that there was no clear fatigue limit for concrete. This means that there were no stress level which gave an infinite fatigue life for concrete. In fact the results of this research agree with Saito and Imai findings as there was no endurance limit at low stress ratio as indicated by the Portland Cement Association model.

Zhang and Stang [7] found that steel fibre reinforced concrete (SFRC) can sustain significantly more damage and strain than plain concrete, and hence is more ductile. Grzybowski and Meyer [8] deduced that, although fibres retard the growth of cracks, that they can also increase the amount of pores and initial microcracks. Work done by Cachim [9] has shown that it is possible for the flaws introduced by fibre addition to outweigh the benefits. Thus, the size and quantity of fibres are important. Yin and Hsu [10] showed that the presence of fibres enhanced the fatigue behaviour for low cycle fatigue but do not seem to have any effect on high cycle fatigue. This was due to fibres being able to increase fatigue life in part of mortar cracking, but unable to do so for bond cracking.

Overall it was found that the addition of fibre reinforcing can increase the fatigue performance of the concrete under flexural fatigue loading. The explanation for this, explained by Barr and Lee [11], was that, under tensile forces, the fibres would be able to bridge cracks and prolong fatigue life. Falkner et al. [12] conducted extensive work on the fatigue performance of SFRC road pavements. They tested several concrete slabs in bending with a subgrade foundation to model road pavements under repeated axle loading. They found that the load capacity did not increase, however the rupture load capacity increased and the fibres were shown to retard crack growths. The experiment was specifically conducted to investigate the effect the addition of fibre reinforcing on typical road pavements used in Germany. No fatigue models for SFRC were developed from this experiment.

## 2 Plain Concrete Fatigue Models

There are several fatigue models developed mainly for plain concrete. Examples of these models include the Zero-Maintenance Design Fatigue Model [13], ERES/COE Fatigue Model [14] and the Portland Cement Association (PCA) model [15]. The PCA model is quite popular and it has been adopted in the PCA rigid pavement design procedure which is the basis for the Austroads rigid pavement design method [15]. In the following sections, only the ERES/COE and PCA models are discussed. The ERES/COE model was developed from Corps of Engineers data from 51 full-scale field sections conducted between 1943 and 1973. Darter [14] obtained the relationship shown in Equation 1, where  $N$  is the number of load cycles to failure. This model was originally intended for airport pavements only, but has shown good results in other applications.

$$\log N = 2.13 SR^{-1.2} \quad (1)$$

SR is given by Equation 2 and is termed as the stress ratio which is defined as the ratio of the maximum tensile stress,  $\sigma$ , to the flexural strength (modulus of rupture) of concrete,  $\sigma_{MR}$ .

$$SR = \frac{\sigma}{\sigma_{MR}} \quad (2)$$

The PCA fatigue model assumes that, for stress ratios below 0.45, that the fatigue life of the concrete is infinite. Packard and Tayabji [16] recommended the PCA model shown in Equation 3.

$$N = \text{unlimited} \quad \text{for } SR \leq 0.45 \quad (3a)$$

$$N = \left[ \frac{4.2577}{SR - 0.4325} \right]^{3.268} \quad \text{for } 0.45 < SR < 0.55 \quad (3b)$$

$$\log N = 11.737 - 12.077 SR \quad \text{for } SR \geq 0.55 \quad (3c)$$

## 3 Objectives of the Research

The objectives of this research is (i) to establish fatigue models for fibre reinforced concrete that best model localized materials that are currently in use in New Zealand and (ii) to compare the models against the fatigue behaviour of the fibre reinforced concrete with plain concrete and the commonly used models such as PCA and ERES/COE models.

## 4 Specimen Preparation, Material Properties and Experimental Setup

### 4.1 Mix Design and Fresh Concrete Properties

In this research, three concrete mixes were designed with different dosages of steel fibres. The target 28 day compressive strength of the concrete is 30 MPa. The properties of the fresh concrete such as slump and viscosity were measured to ensure mix workability. The three types of mixes are:

- Control mix; plain concrete with no fibre.
- Concrete mix with steel fibre content of 20 kg/m<sup>3</sup>.
- Concrete mix with steel fibre content of 60 kg/m<sup>3</sup>.

The steel fibre mixes were compared to the control mix which did not contain any fibre, with all the mixes having a constant water/cement, (w/c ) ratio of 0.6. Details of the optimized mix designs are provided in Table 1. In addition to the measured slump, the fresh properties of the concrete were determined using a coaxial cylinder viscometer to obtain the yield shear stress and plastic viscosity of each mix. The results for the slump, yield shear stress and plastic viscosity are provided in Table 2.

**Table 1.** Concrete mix design

Mix Number	30/0	30/20	30/60
GP Cement	280	287	308
Water	168	172	185
13mm agg	1100	1040	750
Sand	868	906	1129
Fibres	0	20	60
Water reducing admixture (L)	750	1150	1650
Super plasticizer (L)	0.4	0.5	0.8
Retarder (L)	1.12	1.148	1.232
Density	2416	2424	2433

**Table 2.** Slump, yield shear stress and plastic viscosity

Mix Number	30/0	30/20	30/60
Slump (mm)	167	127	105
$\tau$ (Pa)	204	440	324
$\mu$ (Pa.s)	44	58	64

The addition of 20 kg steel fibre required only minor modifications to the mix design, through the removal of 60 kg of coarse aggregate, to produce a material with similar fresh properties as shown in Table 2. The addition of 60 kg steel fibre however resulted in the removal of approximately 30% of the coarse aggregate which was replaced with sand and a slight increase in the paste content. Despite attempts to maintain similar workability there was an increase in both the shear stress and plastic viscosity of the mixes containing steel fibre compared to the control.

## 4.2 Hardened Concrete Properties

The target flexural strength (modulus of rupture) is 4.5 MPa. The steel fibres used in the specimens are the 80/60 Dramix fibre from Bekaert. This means that the length of fibres is 60 mm and the aspect ratio (length to diameter of fibre) is 80. The fibre is low carbon and has a minimum tensile strength of 1050 MPa. There are three properties which are of importance; compressive strength, modulus of rupture and fatigue properties. Concrete cylinders with dimensions of 100 mm diameter and 200 mm height were casted for the compressive strength test. For the modulus of rupture test, rectangular beams with dimensions of approximately 150 mm depth, 150 mm width and 450 mm length were prepared. For the fatigue test, concrete slabs with dimensions of 400 mm length, 245 mm width and 75 mm depth were casted. Each slab was then sawed into three smaller beams with dimensions of 400 mm length, 75 mm width and 70 mm depth. All specimens were cured in humid room for 28 days.

## 4.3 Compressive and Flexural Strength Results

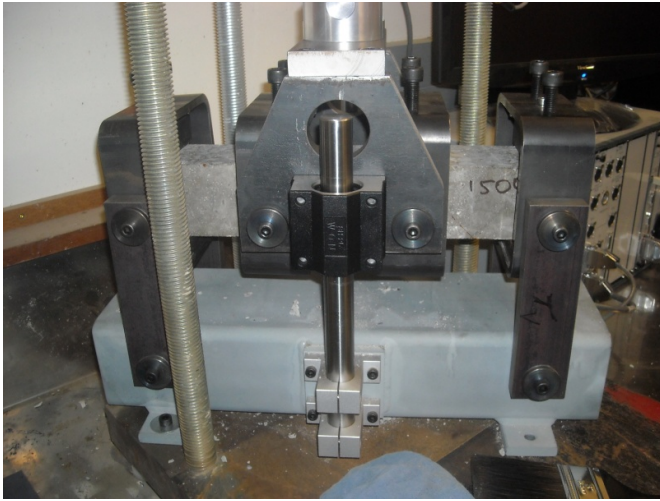
Three cylinders and two beams were tested for compressive strength and modulus of rupture tests respectively. The results are as shown in Table 3. It can be seen that, while the average compressive strength results were similar, the average rupture of modulus has more variability. This could be due to casting the beams on separate days, leading to variability in environmental/climate conditions.

**Table 3.** Results of 28 Day Compression and Modulus of Rupture Tests

Steel Fibre Dosage	Compressive Strength (MPa)				Modulus of Rupture (MPa)		
	1	2	3	Average	1	2	Average
0	42.3	38.8	32.4	37.8	3.74	3.72	3.73
20 kg/m <sup>3</sup>	43.1	32.5	34.7	36.8	3.61	3.45	3.53
60 kg/m <sup>3</sup>	38.0	34.7	37.8	36.8	4.85	4.46	4.65

#### 4.4 Fatigue Test Setup

The concrete beams were loaded under four point loading, subjecting to repeated cyclic loading using sinusoidal load pulses at 10 Hz frequency. The test setup is as shown in Figure 1.



**Fig. 1.** Fatigue Test Set Up

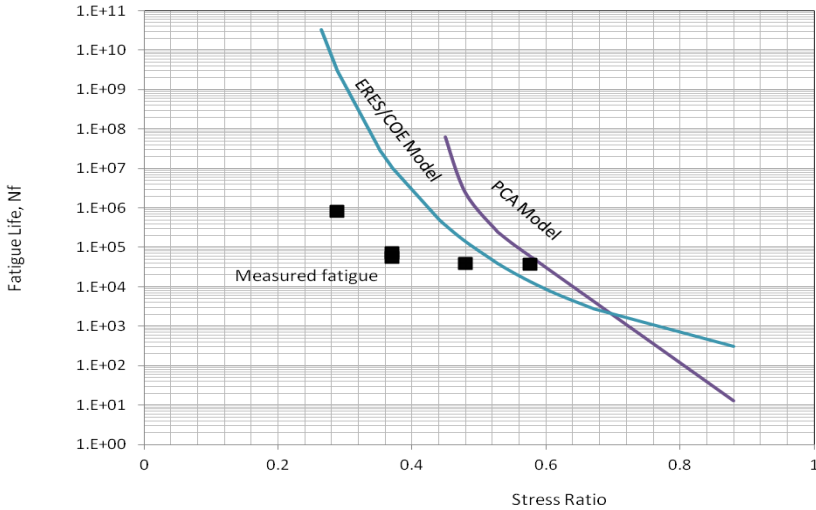
The method used to determine the fatigue life of the samples was the constant stress method. In this method, the load applied to the beam was kept constant until failure.

The fatigue test using constant stress mode was carried out for 20 concrete beams prepared with different percentages of steel fibre content in addition to control concrete beams. The stress level applied on the beams span a wide range of stress ratios from as low as 0.26 to as high as 0.616. It should be noted that the models developed are valid within the tested range of stress ratios; any extrapolation outside this range will likely to provide inaccurate predictions.

### 5 Effect of Fibre Content on Fatigue Behaviour

Figure 2 shows comparison between the measured fatigue lives of the control concrete. It is obviously clear both the PCA and the ERES/COE are over estimating fatigue lives at low stress ratio. In fact the PCA predicts unlimited fatigue values at stress ratios lower than 0.45 which is not the case with the tested samples. This finding agrees with Saito and Imai [6]. ERES/COE model provided a better match with the measured values at stress ratios greater than 0.4, however, it highly overestimates the fatigue lives at stress ratios lower than 0.4. There is no model developed for plain concrete beams in this research because of the limited data available.

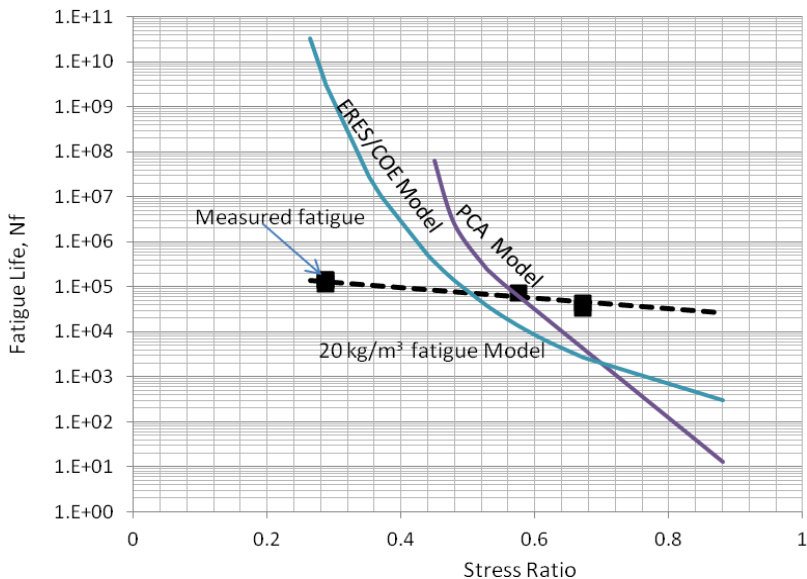




**Fig. 2.** Comparisons between fatigue behaviour of control concrete, ERES/COE and PCA fatigue models

The fatigue results for the concrete mix reinforced with  $20\text{kg/m}^3$  steel fibre were modelled with exponential model shown in Equation 4 and portrayed in Figure 3. The model represented by Equation 4 provided a reasonable match to the measured fatigue values compared to the PCA and ERES/COE models. The coefficient of determination of the models,  $R^2$ , is 0.91. The PCA and ERES/COE deviated significantly from the measured fatigue values for the fibre reinforced concrete mix with  $20\text{kg/m}^3$ . The PCA and ERES/COE overestimated the fatigue lives at stress ratios lower than 0.6. However, at stress ratios higher than 0.6 the developed fatigue model showed a higher fatigue lives compared to the PCA and ERES/COE fatigue models. The higher fatigue lives at higher stress ratios of the steel fibre reinforced mix could be attributed to the delay in crack propagations offered by the steel fibre matrix. In addition, the measured fatigue values for the  $20\text{kg/m}^3$  reinforced concrete at low stress ratio agrees with the results measured for the plain concrete which are much lower than the PCA and ERES/COE predictions. After reaching the fatigue failure, it was noted that fibre reinforced concrete beams did not snap into pieces such as the plain concrete beams. The fibre reinforcement holds the beam parts together. In the field, fibre reinforcement is expected to keep good interlocks between the rigid pavement slab parts post fatigue cracking.

$$N_f = 283623 * e^{-2.7146 * SR} \quad R^2=0.91 \quad (4)$$

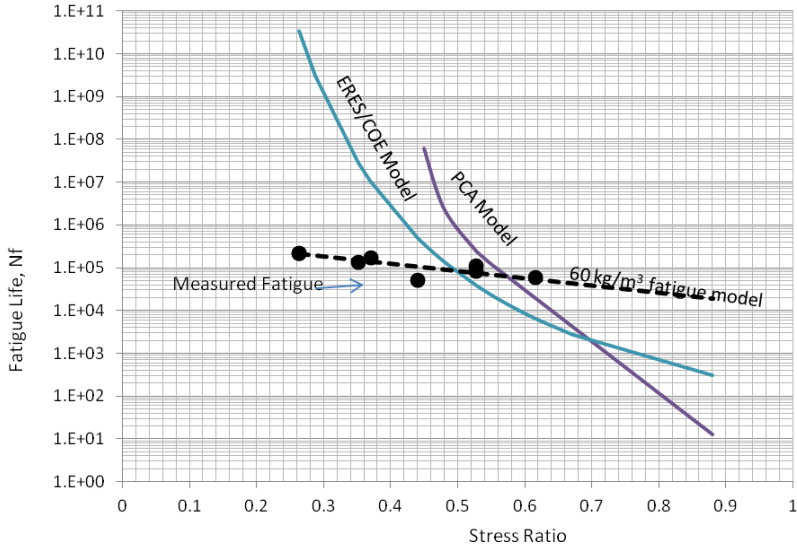


**Fig. 3.** Comparisons between fatigue behaviour of the 20 kg/m<sup>3</sup> fibre reinforced concrete and ERES/COE and PCA

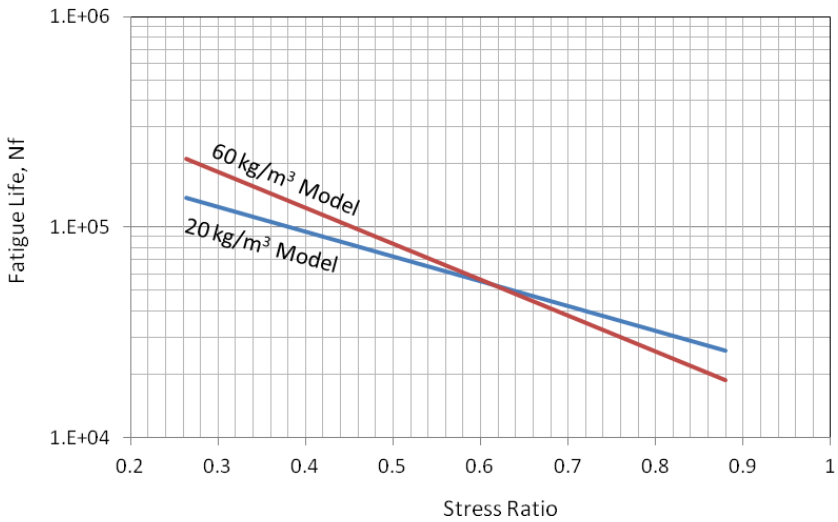
Figure 4 shows the measured fatigue values for the 60 kg/m<sup>3</sup> and comparisons with the PCA and ERES/COE models. It is again clear that the PCA and ERES/COE do not provide any good matching with the measured fatigue. The PCA significantly overestimated the fatigue values at stress ratios lower than 0.6 and underestimated fatigue values at stress ratios over 0.6. ERES/COE overestimated the fatigue values at stress ratios lower than 0.55 and underestimated fatigue values at stress ratios greater than 0.55. Equation 5 provided good fit to the measured fatigue values with a coefficient of determination, R<sup>2</sup>, equal 0.83. In this model nine fatigues values were used, however, more data will be required to provide validation to the model.

$$N_f = 598300.6 * e^{-3.94069*SR} \quad R^2 = 0.83 \quad (5)$$

Figure 5 compares the fatigue lives predicted by the two models shown in Equations 4 and 5 for the 20 kg/m<sup>3</sup> and 60 kg/m<sup>3</sup> fibre reinforced concrete respectively. It appears from Figure 5 that the higher doses of fibre has only tangible effect on the fatigue lives at lower stress ratio (SR<0.6). At stress ratios higher than 0.6 the higher doses of fibre showed detrimental effect on the fatigue lives. This could be explained by the higher content of pores that can be created by the higher fibre content; consequently, this can lead to weaker areas in the concrete and therefore accelerating the crack initiation and propagations leading to the shorter fatigue lives. Therefore, it is clear that there is an optimum fibre content that can maximize the fatigue properties; beyond, this optimum the fatigue life will be compromised.



**Fig. 4.** Comparisons between fatigue behaviour of the 60 kg/m<sup>3</sup> fibre reinforced concrete and ERES/COE and PCA



**Fig. 5.** Comparison between fatigue lives of the 20kg/m<sup>3</sup> and 60kg/m<sup>3</sup> fibre reinforced concrete

## 6 Conclusions

Fatigue behaviour of the plain concrete and steel fibre reinforced concrete has been studied in this research. Two doses of steel fibre were used in this research,  $20 \text{ kg/m}^3$  and  $60 \text{ kg/m}^3$ . The target 28 days compressive strength for the concrete is 30 MPa. While the compressive strength of the concrete was insensitive to the fibre content, the flexural strength has shown considerable improvement at higher doses of the fibre content. Fatigue behaviour was investigated using four point bending beam fatigue and constant stress model. A wide range of stress ratios were used to examine the relationship between the stress ratio and fatigue life. The PCA and ERES/COE fatigue models showed poor correlations with both the plain and fibre reinforced fatigue values. The PCA model assumes fatigue endurance limit at stress ratio less than 0.45. However, the actual test results showed a limited fatigue life for both plain and fibre reinforced concrete at stress ratio less than 0.45. Both PCA and ERES/COE over estimated fatigue lives at lower stress ratio for plain and fibre reinforced concrete. Both PCA and ERES/COE underestimated fatigue lives at stress ratios higher than 0.55 for fibre reinforced concrete. Comparing the  $20 \text{ kg/m}^3$  and the  $60 \text{ kg/m}^3$  fibre reinforced concrete, it was clear that the higher fibre content only beneficial at lower stress ratio, however, at stress ratios higher than 0.6, the higher fibre content is detrimental to the fatigue resistance.

**Acknowledgement.** The authors would like to thank Mr. Alan Ross, the business development manager of Bekaert OneSteel Fibres Australasia, BOSFA, for his support in providing the required steel fibre and providing research articles that helped in this research. The authors are also grateful to Mr. John Kooloos for his technical support in the Transportation laboratory that led to the development of the beam fatigue loading gear.

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# Effect of Specimen Size on Fatigue Behavior of Asphalt Mixture in Laboratory Fatigue Tests

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**Abstract.** Laboratory fatigue testing has been extensively used to estimate the resistance to fatigue cracking of asphalt mixtures. Researchers developed a number of test methods to estimate the fatigue behavior of an asphalt mixture. However, based on the classical fatigue analysis, fatigue lives obtained from different test devices are not comparable even when they are performed under the same mode of loading and environmental conditions for the same material. The differences in specimen geometry and load configuration will result in different stress-strain distributions inside the tested specimens leading to differences in local fatigue damage.

In this paper, the size effect on the fatigue life is investigated. Uniaxial tension and compression (UT/C) fatigue tests were carried out using cylindrical specimens with three different sizes. Size effect on the fatigue test results are compared and analyzed using the partial healing (PH) material model, which describes the change of the complex modulus for a unit volume due to loading. The fatigue failure points determined by the classic approach, dissipated energy ratio and the PH model were compared. The results show that fatigue behavior of the specimens in the UT/C fatigue tests can be fitted excellently by the PH model, including estimation of the endurance limit. It is concluded that fatigue behavior from the UT/C fatigue test is not dramatically influenced by specimen size.

## 1 Introduction

Various fatigue test devices are currently used to evaluate the fatigue performance of asphalt concrete and are accepted in the European standard EN 12697-24 [1]. The two-point bending (2PB) test with trapezoidal specimen was adopted by the researchers from Shell [2] and LCPC [3]. The Shell Laboratory at Amsterdam has used the three-point bending loading equipment to estimate the fatigue life [2]. In the USA [4] and the Netherlands [5], the four-point bending test (4PBT) is specified. In the UK and Sweden, the standard fatigue test is the indirect tensile fatigue test (ITFT). The Nottingham Asphalt Tester (NAT) was specially designed for this test [6]. Di Benedetto et al, [7] reported an interlaboratory investigation.

Eleven different test methods, including uniaxial tension/compression (UT/C), bending and indirect-tension tests, were used to evaluate fatigue properties of an asphalt mixture. The results showed that fatigue test results obtained from different test equipments are difficult to compare. Two main reasons for this are the different stress-strain distributions in the samples and the different fatigue analysis approaches, as discussed below.

The (internal) stress-strain distribution in a specimen is determined by the loading conditions, geometry and dimensions. When this stress-strain field is constant everywhere in the specimen, the test is considered to be homogeneous. For non-homogeneous tests, such as the beam bending tests and the indirect tensile test, the stress-strain field is not uniform along the specimen and cross section. During testing, the local stiffness does not decrease at the same rate for every unit of volume in the specimen. The back calculated stiffness using the measured deflection and applied load is not a material property but a specimen stiffness, which depends on geometry and dimension of the specimen. Therefore, with these test results it is not possible to directly evaluate the fatigue performance of materials. In the UT/C fatigue test, the stress-strain field is in theory uniform over the length and the cross area. In that case, the back calculated stiffness is in principle a material property [8].

In the classical fatigue analysis, the point of failure is normally defined as the moment at which the stiffness of the specimen is reduced to 50% of its initial value. In fact this definition is based on an empirical analysis and sensitive to the loading condition and the geometry of specimen. Currently some other fatigue models were proposed. Based on the ratio of dissipated energy theory [9], Shen [10] developed the PV model, which can predict the fatigue life from the material properties and loading conditions. A mechanical damage model was proposed by Bodin [11] based on the non-local damage theory. This damage model has been used to describe the local complex modulus decrease induced by microcrack development. Kim [12] and Lundstrom [13] applied the work potential theory to simulate the damage evolution under cyclic tests. However, most of these fatigue damage models do not take into account the test type and the specimen size. The partial healing (PH) model proposed by Pronk [14] has been proven to be a good material model, making it possible to simulate the evolution of a material property for a unit of volume.

This paper focuses on the influence of the specimen size on the fatigue behavior in the UT/C fatigue test based on the PH model. In addition, the different fatigue life definitions are also compared.

## **2 Theory of the Partial Healing (PH) Model**

The PH model developed and modified by Pronk [8, 14-15], is a material model that describes the evolution of the complex modulus and phase angle for a unit volume during a fatigue test. During a cyclic loading test, energy is dissipated into the device and the specimen due to the visco-elastic behavior. It is assumed that the total energy includes three parts:

(1) System losses  $\Delta W_{sys}$ : The system losses  $\Delta W_{sys}$  caused by the test setup can be ignored if the test machine is good enough.

(2) Visco-elastic losses  $\Delta W_{dis}$ :  $\Delta W_{dis}$  is the area of the stress-strain loop and generally represented in Eqn. (1). This part of the energy is completely transformed into heat and increases the temperature of specimen.

$$\Delta W_{dis} = \pi \cdot \sigma_i \cdot \varepsilon_i \cdot \sin(\varphi_i) \tag{1}$$

where  $\sigma_i$  is the stress amplitude at cycle  $i$ , MPa;  $\varepsilon_i$  is the strain amplitude at cycle  $i$ , m/m;  $\varphi_i$  is the phase angle at cycle  $i$ , °.

(3) Fatigue consumption  $\Delta W_{fat}$ : The fatigue consumption  $\Delta W_{fat}$  is the main reason for the decrease of stiffness during the fatigue test. In the proposed model, the mathematical formulation of this part is modeled as a very small part of the visco-elastic losses  $\Delta W_{dis}$ . In a strain controlled mode,  $\Delta W_{fat}$  is expressed by Eqn. (2):

$$\Delta W_{fat} = \delta \cdot \Delta W_{dis} = \delta \cdot \pi \cdot \sigma_i \cdot \varepsilon_i \cdot \sin(\varphi_i) = \delta \cdot \pi \cdot S_i \cdot \varepsilon_0^2 \cdot \sin(\varphi_i) \tag{2}$$

where  $\delta$  is the very small value,  $S_i$  is the stiffness modulus at cycle  $i$ , MPa;  $\varepsilon_0$  is the strain amplitude, m/m;  $\varphi_i$  is the phase angle at cycle  $i$ , °.

A new parameter, the stiffness damage  $Q$ , is introduced, which relates to the fatigue consumption  $\Delta W_{fat}$ . The damage factor  $Q$  reduces the stiffness modulus, including the loss modulus  $F$  and the storage modulus  $G$ , following by Eqn. (3) and (4), respectively.

$$F\{t\} = S \cdot \sin \varphi = F_0 - \int_0^t \frac{dQ\{\tau\}}{d\tau} [\alpha_1^* e^{-\beta(t-\tau)} + \gamma_1^*] \cdot d\tau \tag{3}$$

$$G\{t\} = S \cdot \cos \varphi = G_0 - \int_0^t \frac{dQ\{\tau\}}{d\tau} [\alpha_2^* e^{-\beta(t-\tau)} + \gamma_2^*] \cdot d\tau \tag{4}$$

where  $F_0$  is the initial loss modulus, MPa;  $G_0$  is the initial storage modulus, MPa;  $t$  is the testing time, s;  $\alpha_{1,2}^*$ ,  $\beta$  and  $\gamma_{1,2}^*$  are the model parameters.

The damage initiated at a certain moment will partially diminish in time; this is expressed by means of the exponential function. One can denote this as Partial Healing (PH). In a strain controlled mode, the fatigue damage rate is given by Eqn. (5):

$$\frac{d}{dt} Q = \frac{d}{dt} W_{fat} \approx \frac{\Delta W_{fat}}{\Delta t} = \delta \cdot \frac{\pi \cdot S_i \cdot \varepsilon_0^2 \cdot \sin(\varphi_i)}{\Delta t} = \delta \cdot \pi \cdot f \cdot \varepsilon_0^2 \cdot F_i \tag{5}$$

where  $\Delta t$  is the time duration in a cycle, s;  $F_i$  is the loss modulus at cycle  $i$ , MPa;  $f$  is the frequency, Hz.

Eqn. (5) is substituted into Equation (3) and (4). The solutions for the UT/C fatigue test are given as follows:

$$\alpha_{1,2} = \delta \cdot \pi \cdot f \cdot \varepsilon_0^2 \cdot \alpha_{1,2}^*; \quad \gamma_{1,2} = \delta \cdot \pi \cdot f \cdot \varepsilon_0^2 \cdot \gamma_{1,2}^* \tag{6}$$

$$B = \frac{\alpha_1 + \beta + \gamma_1}{2}, C = \sqrt{B^2 - \beta\gamma_1}, D = \frac{\beta - B}{C}, E = \frac{B - \gamma_1}{C} \tag{7}$$



$$F\{t\} = S \cdot \sin \phi = F_0 e^{-Bt} [Cosh\{Ct\} + DSinh\{Ct\}] \tag{8}$$

$$G\{t\} = G_0 - F_0 \left[ \frac{\alpha_2}{C} e^{-Bt} \cdot Sinh\{Ct\} + \frac{\gamma_2}{\gamma_1} (1 - e^{-Bt}) \cdot [Cosh\{Ct\} + ESinh\{Ct\}] \right] \tag{9}$$

### 3 Experimental Work

#### 3.1 Materials

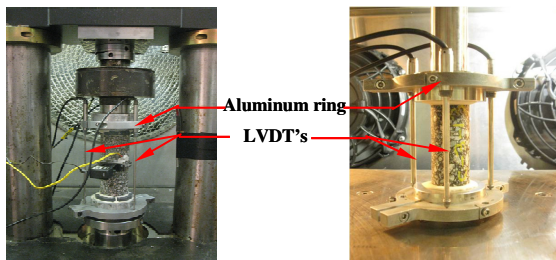
A dense asphalt concrete DAC 0/8 with a maximum aggregate size of 8mm was used and designed in accordance with the Dutch RAW specifications [16]. The aggregate consists of Scottish crushed granite, Norwegian Bestone and crushed sand. A 40/60 penetration grade bitumen was used with a design binder content by mass of 6.5%. Wigras 40K was used as filler. Three different sizes (0.5, 1 and 1.5) were used, in which size 1 corresponds to the standard size. The dimensions of the specimens with different sizes are presented in Table 1. The target air voids content is 3.5%.

**Table 1.** Dimensions of the cylindrical specimen

Specimen size	Diameter [mm]	Height [mm]
0.5	25	62.5
1	50	125
1.5	75	175

#### 3.2 Test Procedures

The larger specimens (size 1 and 1.5) and the smaller specimens (size 0.5) were tested in the MTS and UTM-25 test machine, respectively. The UT/C fatigue test set-ups, shown in Figure 1, consist of a rigid frame in a temperature-controlled



**Fig. 1.** The UT/C fatigue test setups in MTS (left) and UTM-25 (right)

cabinet. The axial deformation is measured via three LVDT's, which are fixed in an aluminum ring and placed around the specimen. The fatigue test was conducted at the temperature of 20°C and the frequency of 10Hz. During the test, the specimens were subjected to a continuously sinusoidal axial loading in both tension and compression. The tests were performed in strain controlled mode.

Three different fatigue criteria are used in this study.

(1)  $N_{f,50}$ : the point of failure is defined as the moment at which the back calculated stiffness of the specimen was reduced to 50% of its initial value

(2)  $N_R$ : the fatigue life is defined as the point at which the slope of the dissipated energy ratio (DER) versus number of load cycles deviates from a straight line [17]. DER is the ratio of the accumulated dissipated energy up to cycle N and the dissipated energy in cycle N, given as Eqn. 10.

$$DER = \frac{\sum_{i=1}^{n=N} w_i}{w_N} \tag{10}$$

(3)  $N_{PH}$ : the fatigue life is defined as the point where the measured stiffness deviates from the fitted evolution based on the PH model.

## 4 Results and Discussion

### 4.1 Determination of the Model Parameters

From Figure 2, three phases of stiffness response can be observed during the test. In the beginning the complex stiffness decreases rapidly. After a short number of cycles, the decline rate becomes constant. At the end, the stiffness drops quickly again. It is assumed that in this phase the material starts to disintegrate. The

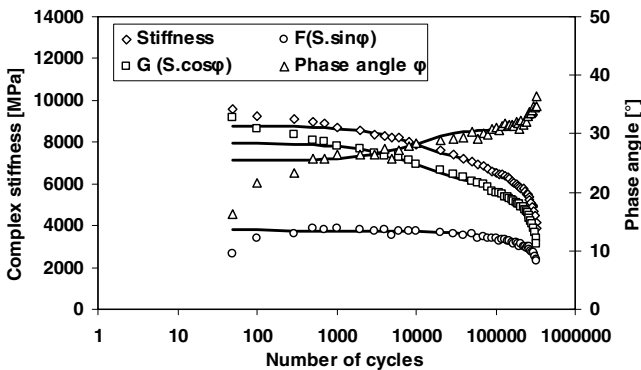


Fig. 2. Measured and predicted evolutions of stiffness and phase angle of the specimen C-3-26

evolution of the phase angle shows an opposite trend. The model parameters are determined, by minimizing the differences between the measured and fitted values for F, G,  $\phi$  and S in the interval from  $N=1,000$  to  $N=N_r$ . They are presented in table 2. In the first and the second phase, the fitted line corresponds very well with the measured values.

**Table 2.** The PH model parameters

	Sample code	Average $\varepsilon_0$ [ $\mu\text{m}/\text{m}$ ]	$\delta\alpha_1^*$	$\delta\alpha_2^*$	$\delta\gamma_1^*$	$\delta\gamma_2^*$	$\beta$ [ $10^{-5} \text{ s}^{-1}$ ]
Size 0.5	C-3-26	130	0	687	19.6	54.7	104
	C-3-4	146	0	474	36.9	89.7	123
	C-3-7	162	0	1521	42.1	115.7	300
	C-3-14	168	0	1226	47.7	126.7	322
	C-3-13	188	0	1927	53.1	127.3	407
Size 1	C-6-11	112	0	936	18.4	44.1	76.6
	C-8-11	144	0	1829	26.4	78.5	236.4
	C-8-12	174	0	1191	47.3	107.5	172.1
	C-7-5	240	0	2414	90.7	288.9	657.1
	C-7-4	283	0	1230	226.9	514.6	919
Size 1.5	C-5-1	90	0	1014	9.3	20.8	56.5
	C-5-2	120	0	1113	47	78.2	74.3
	C-4-2	143	0	2460	33.7	87.1	244.9
	C-4-1	182	0	2993	113.8	321.9	644.7
	C-9-2	231	0	2251	158	417.9	612.3

## 4.2 Size Effect on the Endurance Limit

In the model, the parameters  $\delta\gamma_1^*$  and  $\delta\gamma_2^*$  represent the irreversible damage in the loss and storage modulus, respectively. Figure 3 shows that the irreversible damage is higher at a higher strain level. A linear relationship between the parameters  $\delta\gamma_{1,2}^*$  and strain level is assumed. The regression fits for sizes 0.5 and 1 are nearly the same. For size 1.5, the slope of the regression line is much higher, because the specimen with larger volume has a higher chance to create weak spots during testing.

$$\delta\gamma_{1,2}^* = k_2 \cdot (\varepsilon - \varepsilon_{\text{limit}}) \quad (11)$$

The value of  $\varepsilon_{\text{limit}}$  represents the intersection point of the straight line with the x axis. The strain range between the two intersection points of  $\delta\gamma_{1,2}^*$  indicates the existence of an endurance limit, because the irreversible damage is zero below this strain range. Table 3 presents the regression equations of the parameters  $\delta\gamma_{1,2}^*$  and the calculated ranges of the endurance limit. The specimen size does not significantly influence the endurance limit range, which is between 85 to 98  $\mu\text{m}/\text{m}$ .

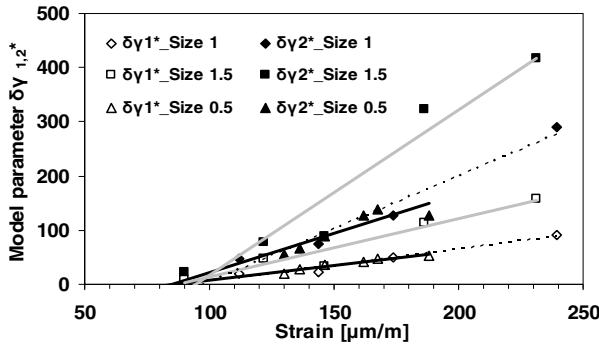


Fig. 3. Relationship between  $\delta\gamma_{1,2}^*$  and strain level

Table 3. The relationship between  $\delta\gamma_{1,2}^*$  and strain level

		Regression equation	Endurance limit range
Size 0.5	$\delta\gamma_1^*$	$\delta\gamma_1 = 0.6658 \times (\epsilon - 96)$	85~96 $\mu\text{mm/mm}$
	$\delta\gamma_2^*$	$\delta\gamma_2 = 1.4288 \times (\epsilon - 85)$	
Size 1	$\delta\gamma_1^*$	$\delta\gamma_1 = 0.5928 \times (\epsilon - 91)$	91~98 $\mu\text{mm/mm}$
	$\delta\gamma_2^*$	$\delta\gamma_2 = 1.9580 \times (\epsilon - 98)$	
Size 1.5	$\delta\gamma_1^*$	$\delta\gamma_1 = 1.0750 \times (\epsilon - 88)$	88~94 $\mu\text{mm/mm}$
	$\delta\gamma_2^*$	$\delta\gamma_2 = 3.0440 \times (\epsilon - 94)$	

### 4.3 Size Effect on the Fatigue Life

As mentioned above, three different fatigue life definitions are used in this study. An example is given in Figure 4. Table 4 presents all three fatigue lives. In nearly all cases the difference between the  $N_R$  and  $N_{PH}$  is much smaller compared to difference between  $N_R$  and the traditional fatigue life,  $N_{f,50}$ . For an asphalt mixture, the classical Wöhler curve of strain versus fatigue life is generally regarded as a straight line in a double logarithmic coordinate system.

$$N = k \cdot \epsilon_0^b \tag{12}$$

where  $N$  is the fatigue life;  $\epsilon_0$  is the strain amplitude,  $\mu\text{mm/mm}$ ;  $k$  and  $b$  are the material coefficients.

All the regression equations and material coefficients of size 0.5, 1.0 and 1.5 are gathered in Table 5. It seems that the fatigue lines do not differ significantly between the different specimen sizes. In Figure 5, the data points from different specimen sizes are combined to one fatigue line. The  $R^2$  value of this fatigue function is 0.98. Therefore, in spite of the differences in  $\delta\gamma_1$  and  $\delta\gamma_2$  for the size 1.5 with respect to the sizes 0.5 and 1, the size effect on the fatigue life can be ignored for the UT/C fatigue test.

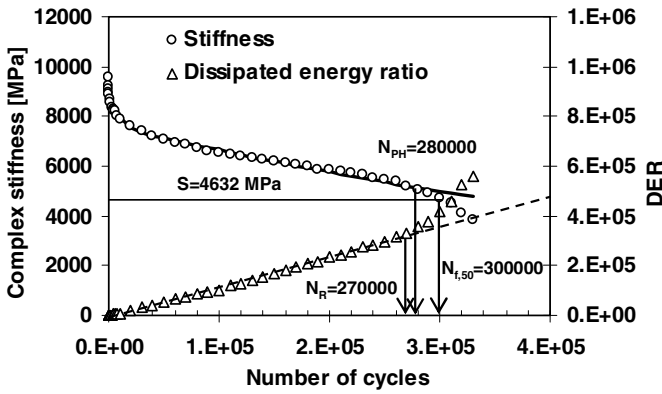


Fig. 4. Different fatigue life definitions for specimen C-3-26

Table 4. Comparison of the different fatigue life definitions

	Sample code	Average $\varepsilon_0$ [ $\mu\text{m}/\text{m}$ ]	$N_{PH}$	$N_R$	$N_{f,50}$
Size 0.5	C-3-26	130	280000	270000	300000
	C-3-4	146	115000	100000	136000
	C-3-7	162	78000	74000	80000
	C-3-14	168	70000	64000	78000
	C-3-13	188	66000	70000	70000
Size 1	C-6-11	112	530000	550000	610000
	C-8-11	144	165000	160000	170000
	C-8-12	174	82000	82000	84000
	C-7-5	240	23000	22000	18500
	C-7-4	283	9200	8900	8000
Size 1.5	C-5-1	90	1260000	1230000	1380000
	C-5-2	122	360000	360000	370000
	C-4-2	143	210000	200000	170000
	C-4-1	187	34000	32000	29000
	C-9-2	231	17000	16000	13000

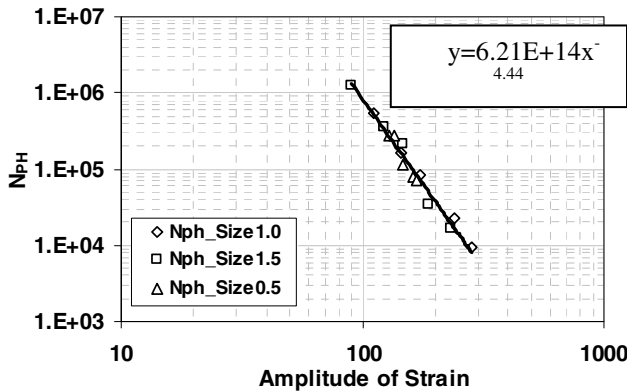


Fig. 5. Fatigue lives  $N_{PH}$  of the specimens with different sizes

Table 5. Regression equation and material coefficients of the fatigue lines

Specimen size	Material coefficients		$R^2$
	k	b	
Size 0.5	5.73E+14	-4.43	0.86
Size 1	2.50E+14	-4.24	1.00
Size 1.5	2.67E+15	-4.74	0.98
Size 0.5+1+1.5	6.21E+14	-4.44	0.98

### 5 Conclusions

Based on the results presented in this paper the following conclusions can be drawn:

1. The PH model provides a good prediction for the evolutions of the complex stiffness and phase angle. The results from the uniaxial tension and compression fatigue test can be directly used for the determination of model parameters.
2. The model parameter  $\delta\gamma_1^*$  and  $\delta\gamma_2^*$  can be used to determine the range of endurance limit, and this range does not change significantly with the increase of the specimen size.
3. Compared to the  $N_{f,50}$ , the fatigue lives determined by DER and the PH model are more close to each other.
4. For the UT/C fatigue test, the size effect is not significant for the fatigue life. It is possible to combine the fatigue results from the different specimen sizes into a unique fatigue line using the fatigue life  $N_{PH}$ .

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# Long-Life Overlays by Use of Highly Modified Bituminous Mixtures

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**Abstract.** Polymer modified asphalt mixtures have usually been used in wearing courses in order to improve both crack growth resistance and rutting performance where temperatures, vertical stresses and shear strain levels are more severe. Nonetheless, the need for either thinner yet high-performing wearing courses or ever-increasing durability provides the motivation for using higher polymer contents in the wearing course of bituminous pavements.

Therefore, instead of the conventional use of 2-3% styrene-butadiene-styrene (SBS) in polymer modified binders (PMB's), the resort to higher SBS contents in the range 6-7% allows for a phase inversion in the PMB microstructure: the swollen polymer becomes the continuous phase in which asphaltene nodules are dispersed. This significant change in PMB microstructure brings about significantly higher performances. Besides, some other benefits may be related to the possible layer thickness reduction: less natural materials (aggregate, bitumen) used, less resources required for construction (man-hours, emissions during transport and laying) and, overall, cost saving.

Microstructure, both empirical and rheological characteristics were investigated in laboratory for two different PMB's with very high SBS content (referred to as Biprene<sup>®</sup> or Orthoprene<sup>®</sup>). In addition, in-situ testing was carried out: a brief follow-up of the highly trafficked Millau Viaduct surfacing (constructed in France in 2004) where this type of PMB was used, is in particular proposed.

The paper illustrates that the proposed innovative highly modified bituminous mixes may be from now on potentially considered as a relevant solution for sustainable long-life and high-performance overlays, needing only rare surface maintenance.

## 1 Introduction

### *1.1 Technical Background on Highly Polymer Modified Binders (HPMB's)*

The development of highly polymer modified binders (HPMB's) and the use of additives (such as thermoplastics) is very much linked with the development of



new mix designs for bituminous mixes for thin surfacings, which provide improved practical qualities and durability. Indeed, when using HPMB's what is looking for is phase inversion with polymer continuous phase. Fluorescence microscopy is the most frequently used technique for assessing the state of dispersion of the polymer and bitumen phases (Brion and al) [1]. It is based on the principle that the polymers, swollen by some of the constituents of the bitumen to which they have been added, fluoresce in ultraviolet light. They emit yellow-green light while the bitumen phase remains black (Bouldin and al) [2] (Figure 1).

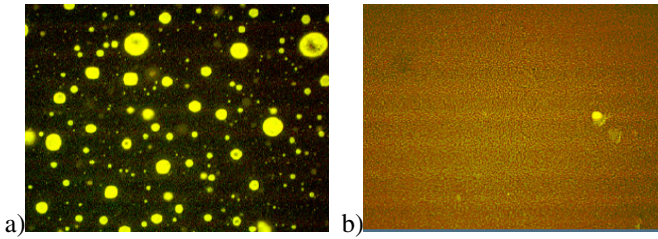


**Fig. 1.** Microstructure of bitumen / polymer blends: a) Continuous bitumen phase b) Bitumen with inter-twisted phases c) Continuous polymer phase (AIPCR) [3]

Thermoplastics triblock copolymers such as Styrene Butadiene Styrene (SBS) have a styrenic endblock (PS) and a rubbery midblock (PB). In asphalt blends, the PS endblocks are forming spherical micelles acting as physical crosslinks while the PB part is swollen by the maltene part of asphalt (saturates, aromatics and resins) (Adedeji and al) [4] (Kraus and al)[5]. The variation of their chemical structure, specifically total styrene and also the 1,2- or 1,4-butadiene vinyl contents can modify the inversion phase (Hernandez and al) [6]. Indeed, with higher styrene content (between 40 and 50%) systems are too rigid and a homogeneous dispersion is difficult to achieve. Usually, SBS with between 25 and 35% of styrene are used to allow an equilibrium point, improving the compatibility between SBS and asphalt.

This physical network can be enhanced by adding a crosslinker thus creating a chemical network between the PB parts. Indeed, macromolecular chains are linked together thanks to sulfur forming a tri dimensional network [7]. This new sulfur based network, enhances mechanical and thermal properties of PMB, in particular, crosslinking enables to stabilize polymer in the bitumen giving outstanding storage stability properties.

Usually, phase inversion occurs when adding around 5% of SBS but this rates also depends on the base bitumen (grade and nature) and if the binder is crosslinked or not (Figure 2) (Planche and al) [8]. Hence, as SBS swollen in the maltenes part of the bitumens, in the past, HPMB's were mainly developed using high grade base bitumen.



**Fig. 2.** 5% polymer a) physical blend b) Crosslinked blend

## 1.2 Technical and Environment Stakes

Polymer Modified Binders (PMB's) started being used extensively about thirty years ago with the quest for improving the mechanical performance of bituminous pavements particularly on the wearing course such as better resistance to rutting and reduction in reflective cracking. This development came in response to traffic increase, to reduce maintenance periods, which are a major source of costly traffic disturbance. In this new area of sustainable development where materials enabling durability enhancement are being asked for, highly polymer modified binders (HPMB's) are being developed. Moreover, the ever-increasing production of porous asphalts and open-graded asphalts revolves around an increase in the use of PMB's.

The principal cause of bituminous binder aging in service is commonly known to be oxidation by the oxygen from the air of certain molecules resulting in the formation of highly polar and strongly interacting oxygen containing functional groups. The chemistry of asphalt oxidation reactions is based on a dual sequential hydrocarbon oxidation mechanism (Petersen) [9] leading to the formation of both ketones and sulfoxides which are the major oxidation products. In the latter stages of oxidation in highly oxidized asphalts, dicarboxylic anhydrides and carboxylic acids are being formed in a lesser amount. However, during road service life, (i) standard binder characterizations showed that plain asphalt is much more affected by aging than the PMB and (ii) crosslinked PMB feature a significantly lower oxidation degree as measured by Fourier Transformed InfraRed Spectroscopy (Dressen and al) [10]. This can be explained by a very homogenous polymer repartition in the binder matrix (Mouillet and al) [11].

Traffic and weather conditions are also affecting binder aging. Nevertheless, the great chemical stability of the SBS polymer is responsible for its reliable long-term performances and great durability (Gallet and al.) [12]. Indeed, Gel Permeation Chromatography GPC linked to IRTF studied over 20 years showed that only the really thin surface (~15mm) is affected whereas the inferior layer remains intact giving the great durability to the PMB over years.

In an environmental context, what is mainly looking for is the reduction of the emissions of polycyclic aromatic hydrocarbons (PAHs) and semivolatiles organic compounds (SVOCs) during the manufacturing stage. As said in technical background, the SBS swollen in the maltenes part of asphalt (saturates, aromatics

and resins) leading to a two phases composed PMB: a first one inflated by bitumen maltenes and a second one containing all the bitumen components which have not been absorbed by polymer. As a result, the more important the SBS content is the more emissions could be limited due to volatile compounds from binder trapping by polymer (Gaudefroy and al) [13].

### 1.3 Objective

In order to develop long-life overlays, Eiffage Travaux Publics attempts to develop high-performance binders with polymer continuous phase. This can be easily achieved with high grade bitumen base and very high SBS content. The objective of this work is to obtain the inversion phase with all grades of bitumen and with the optimum SBS content.

## 2 Experimental Laboratory Study

Three highly polymer modified bitumens (HPMB's) with 6% of SBS triblock copolymer and using 3 different base bitumens (hard, medium and soft) were studied at EIFFAGE Travaux Publics central laboratory in Corbas (France). All of them are cross-linked. Their respective compositions are proprietary. These three HPMB's are referred as to PMB.A, PMB.B and PMB.C (cf. Table 1).

### 2.1 Empirical Tests

Properties of HPMB's were obtained doing empirical tests and following European norms (Table 1): penetration grade (NF EN 1426) [14], ring and ball softening point (NF EN 1427) [15], elastic recovery (NF EN 13398) [16], Brookfield viscosity (MOPL 102) [17], storage stability (NF EN 13399) [18] and Fraass breaking point (NF EN 12593) [19].

**Table 1.** Empirical tests on HPMBs

Effet of asphalt on HPMBs @ 6% SBS cross-linked			
Properties	PMB.A	PMB.B	PMB.C
Penetration (dmm)	30	39	62
Ring & ball softening point (°)	88	86	92.5
Elastic recovery (%)	88	90	97
Viscosity at 160°C (Po)	5.8	4.5	5.51
Storage stability test (%)	91	100	99
Fraass breaking point (°C)	-13	-13	-20

Adding 6% of SBS in a hard bitumen grade is audacious; penetration, R&B softening point and elastic recovery are acceptable however viscosity is high and

storage stability in the vicinity of boundaries. When working with softer bitumens with still a high level of polymer, viscosity is more acceptable and storage stability remains unaffected. Indeed, when the maltene content increase meaning when the grade of bitumen became softer, polymer is more swollen leading to better properties such as outstanding elastic recovery and fraass breaking point with very soft bitumen.

### 2.2 Microscopy on Binders

Fluorescence measurements of HPMB's were carried out at EIFFAGE Travaux Publics laboratory with a Zeiss Axioskop microscope. The microscope is equipped with a specific filter set composed of: a blue violet excitation filter (395-440nm), a beam splitter (FT 460nm) and an emission filter (LP 470nm). The light source is based on mercury and emits in the all wavelengths (from 350 to 650nm). The principle of fluorescence is based on electronic transitions between an excited singular state and a fundamental state; according to the Jablonski diagram.

With the fluorescence microscopy technique and using this specific filter set, the asphalt rich phase appears dark, while the polymer-rich phase appears light.

The three blends made in laboratory were studied using the microscope and the evolution of the results in function of the bitumen grade is presented in Figure 3.

At a constant polymer rate of 6%, when the maltene content increase, microstructure is changing. With hard bitumen the continuous phase seems to be bitumen (case a). Indeed, there is a black continuous phase and the high level of polymer appears very shiny. When increasing the oil content and working with softer bitumen, the inter-twisted phase is seen (case b); yellow and black parts are slightly melted. However, with very soft bitumen and a highly oil content, the continuous phase become clearly the polymer (case c) as black spots are defined among a large yellow continuous phase. This microstructure evolution highlights the role playing by maltene part in the swollen polymer.

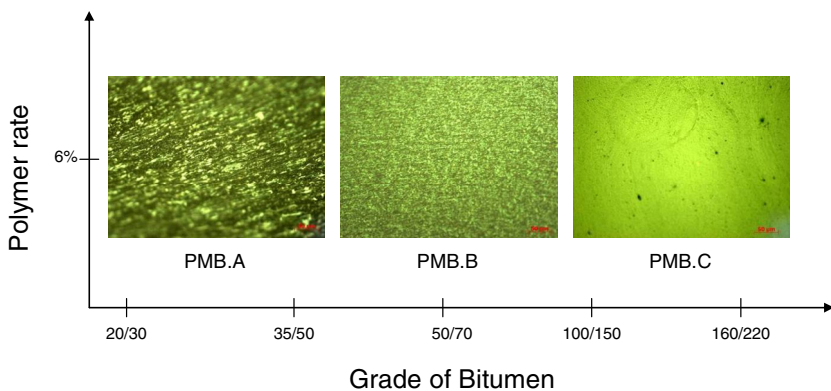


Fig. 3. Microstructure of bitumen / polymer blends

### 2.3 Rheological Tests on Binders

Complex modulus tests were performed at EIFFAGE Travaux Publics laboratory using a Dynamic Shear Rheometer DSR (Physica 501 Anton Paar) over a frequency range from 1 to 100Hz and a temperature range from -30°C to 70°C. The geometry is an 8mm diameter plate-plate configuration. Thus, thanks to the shift factor, master curves were obtained on modified bitumen (Figure 4). Then, complex modulus and Cole-Cole diagrams were obtained (Figure 5). Some fatigue tests were also carried out using the same DSR at 10°C-25Hz in order to rank HPMB's faced with the base bitumen used and the rate of polymer (Figure 6). This work has been done for PMB.A and further work is needed for the others HPMB's.

The complex modulus of a PMB is mainly influenced by the modulus of the polymer in the high-temperature and low frequency domain; as used in this case, the thermoplastic SBS has a phase angle near 0°. Those three HPMB's have the same level of SBS but not the same asymptotic elastic behaviour illustrating the influence of the maltene content on the SBS. All of the three curves are quite similar however, with a stiffer base binder, the phase angle is lower. This can be explain by the fact that in softer bitumen polymers are better swollen and thus give better elasticity to the binder. As regards fatigue curves it is shown that the increase of polymer improve the fatigue resistance at 1 million cycle.

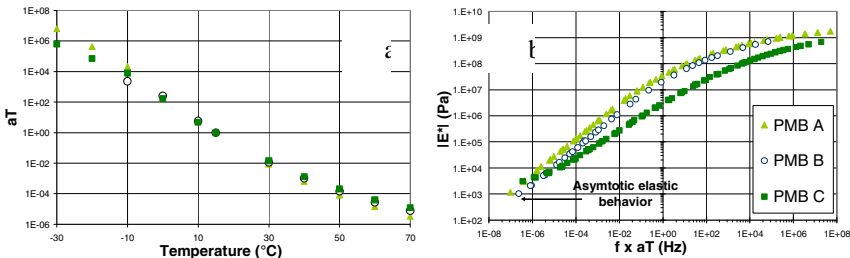


Fig. 4. Rheological results a) Shift Factor; b) Master curves at 15°C

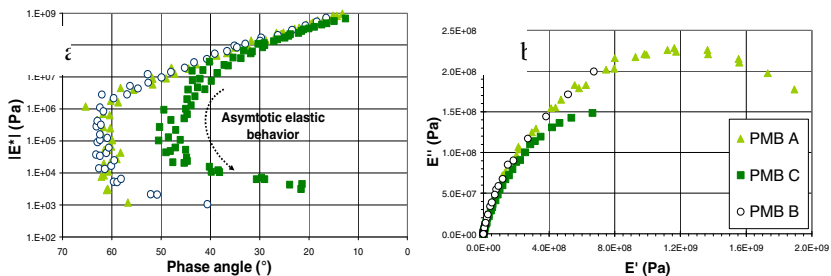


Fig. 5. Rheological results a) Black diagram; b) Cole Cole Diagram

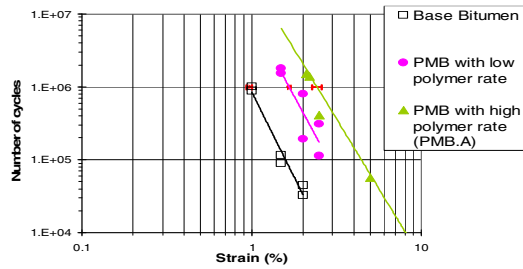


Fig. 6. Fatigue resistance curves

### 3 Development and Large Scale Roadworks with HPMB's

Polymer modified asphalt mixtures have usually been used in wearing courses in order to improve both crack growth resistance and rutting performance where temperatures, vertical stresses and shear strain levels are more severe. Nonetheless, the need for either thinner yet high-performing wearing courses or ever-increasing durability provides the motivation for using higher polymer contents in the wearing course of bituminous pavements.

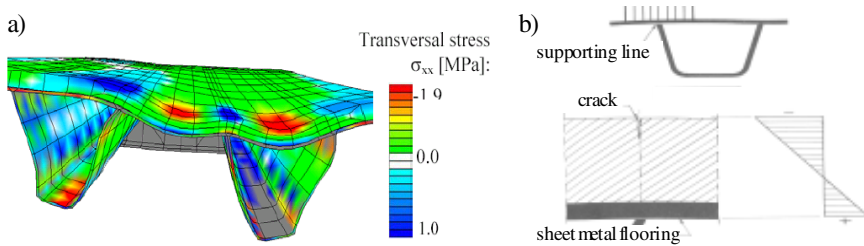
The previous laboratory results presented in section 2 were found very encouraging and led to many large scale roadworks with HPMB's. The two following sub-sections 3-1 and 3-2 present two case studies:

- section 3.1 presents the case study of the Millau viaduct surfacing with a soft bitumen modified with more than 7% of cross-linked SBS (proprietary composition);
- section 3.2 deals with the case study of a highly trafficked bus lane in Lyon city center for which a medium pen grade bitumen was modified with more than 5% of cross-linked SBS (proprietary composition).

#### 3.1 Design of a Specific Bituminous Surfacing for Orthotropic Steel Bridge Decks: The Millau Viaduct

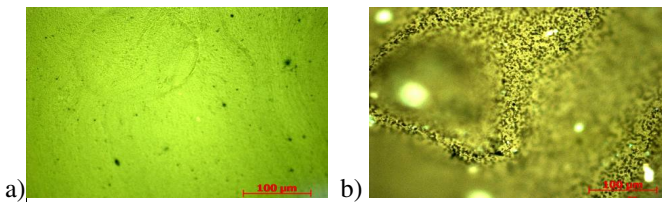
Both the geometry of the structure and the very high flexibility of metallic plates make the deformations and stresses very severe in steel bridge surfacings (Figure 7). In particular, the repeated loading make the fatigue strength be an important parameter for the design of such bituminous wearing courses. In addition, these specific surfacings must also have durability over the expected temperature range: it must be resistant to thermal cracking at low temperature and to rutting at high temperature. The technical studies led in parallel to the construction of the Millau Viaduct (France) –the tallest bridge in the world– have provided in particular the opportunity of new progress in the development of appropriate laboratory testing equipment and of original highly polymer modified surfacing [20][21][22]. Indeed, EIFFAGE Travaux Publics pulled the socks up and led a comprehensive

research program including a large laboratory testing campaign. Those studies led to an HPMB named Orthoprène<sup>®</sup> applied successfully on the bridge in December 2004.



**Fig. 7.** a) Example of deformed bridge deck (250x) with transversal stress (Huurman 2003); b) Bituminous mix on orthotropic plate (Méhue 1981) [23]

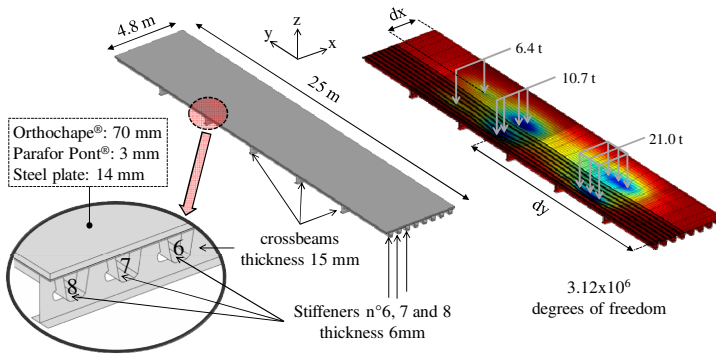
Microstructure tests as explained previously (2.2. Microscopy on binders) were hence performed on Orthoprène<sup>®</sup> (Figure 8 – a) as well as on the coated aggregate (Figure 8 – b). Photographs were carried out in 2 dimensions even for the aggregate (explaining trouble parts on the photographs).



**Fig. 8.** Microstructure observations a) Soft bitumen modified with Orthoprène<sup>®</sup> b) Arvieu aggregate coated by Orthoprène<sup>®</sup>

The microstructure of Orthoprène<sup>®</sup>, consisting of a soft bitumen modified with more than 7% cross-linked SBS, highlights a polymer continuous phase. Indeed, black spots representing the asphaltenes are surrounded by a homogeneous yellow phase representing the SBS swollen in the maltenes part of the bitumen. This particularly microstructure gives outstanding properties (resistance to rutting and fatigue tests...) to the asphalt concrete. Besides, photographs taken on the coated aggregate show that the modified binder is homogeneously spread at the surface of the aggregate.

Deformation levels are illustrated in Figure 9. According to Pouget et al. [24][25][26], maximum strain magnitudes may reach  $500 \cdot 10^{-6}$  m/m, obviously depending upon the temperature and loading rate.



**Fig. 9.** Geometry, mesh, load and vertical displacement field of the Millau Viaduct structure in the Finite Element Code

Furthermore, the high fatigue resistance of this highly SBS modified surfacing was studied with the two-point bending fatigue test; carried out following the NFEN12657-24 standard at 10°C-25Hz. For strain amplitudes below  $350 \cdot 10^{-6} \text{m/m}$ , there is no failure observed up to 10 million cycles (a plateau is observed), which indicate that  $350 \cdot 10^{-6} \text{m/m}$  is the so called "endurance limit", an outstanding value for such bituminous material.

### 3.2 Development of a New HPMB for Lyon City Center – France 2011

Making roads in city center such as Lyon is challenging as even by night the traffic can't be stop; buses and trolleys are still working until one in the night. Thus, in order to achieve a sustainable wearing course (less maintenance periods) and resistant to highly trafficked such as bus lane, a medium pen grade bitumen modified with 5% of cross-linked SBS was developed.

In this street, 2000m<sup>2</sup> (or 280t) of the BBSG 0/10mm with granitic aggregates (Lafarge La Patte at St Laurent de Chamousset 69 France) were implemented with a thickness of 5cm. This asphalt concrete was prepared with 5.1% of the innovative HPMB described previously.

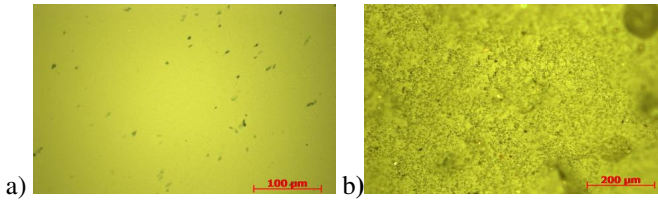
After planing the previous surface course, asphalt concrete was implemented on the paving slab just after the laying off a classic tack coat. The laying off the asphalt concrete was done with a traditional compaction train. It was observed a good behaviour of asphalt concrete during lay off and at compaction. Regarding surface texture, the asphalt concrete was great looking. Thus, this experiment was successfully done and traffic (car, buses and trolleys) started back just after the first way was done in order not to stop traffic.

After one month under traffic, the asphalt concrete behaviour is quite well but a much more accurate follow-up of this work site is planned during the next few years. Indeed, resistance to rutting and observations will be studied over months



and years to confirm the great durability of this new HPMB confronted with a very aggressive traffic (particularly from low vehicles such as buses and trolleys).

As previously, microscopy was studied on the HPMB (Figure 10 – a) used to make the experiments presented in this paper. Regarding the aggregates, photographs are also carried out in 2 dimensions (Figure 10 –b).



**Fig. 10.** Microstructure observations a) medium pen grade bitumen modified with 5% cross-linked SBS b) Coated aggregate using this HPMB

The microstructure of the binder used for this experiment and done with medium pen grade bitumen modified with 5% of cross-linked SBS, highlight a polymer continuous phase. Indeed, black spots representing the asphaltenes are surrounding by a homogeneous yellow phase representing the SBS swollen in the maltenes part of the bitumen. This particularly microstructure gives outstanding properties to the asphalt concrete. Besides, photographs taken on the aggregate show that the modified binder is homogeneously spread at the surface of the aggregate.

## 4 Conclusions and Perspectives

Nowadays, the need for either thinner yet high-performing wearing courses or ever-increasing durability provides the motivation for using higher polymer contents in the wearing course of bituminous pavements. Besides, it has been proven that working with HPMB helps reducing environmental impact and also has longer term durability than usual PMB with less oxidation and less aging of the binder. As only the really thin surface of the road is the most affected to aging, using HPMB is very useful.

HPMB's developed at Eiffage Travaux Publics (Biprene® and Orthoprene®) enabled to work on two particularly outstanding cases studies: the Millau Viaduct realised in 2004 and Lyon city center in 2011. In the first case, studies were made with a soft bitumen with more than 7% of polymer in order to have good fatigue resistance test. New HPMB developed in 2011 with a hard bitumen and more than 5% of polymer, gives both rigidity and good fatigue test resistance in the same binder; this is very encouraging. In both cases, the inversion phase is obtained and highlights a polymer continuous phase. Moreover, as hard bitumens are cheaper than soft bitumens, HPMB in hard bitumen is not only technically outstanding but also economically interesting.

To answer and respect sustainable development demands, HPMB are still being improved in Eiffage Travaux Public laboratories, in order to reach more performing and long lasting binders.

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# Investigation into Tensile Properties of Polymer Modified Bitumen (PMB) and Mixture Performance

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**Abstract.** Pavement performance in relation to cracking and durability of asphalt mixtures is largely dependant on the low temperature characteristics of the bitumen. For this reason, the use of modified binders is usually adopted to improve the low temperature performance and in this way to extend the pavement life. In this study the low temperature tensile properties of two types of modified bitumen were investigated with the Force-ductility test method. In addition, other rheological properties of the PMBs, such as the complex modulus  $G^*$  and phase angle  $\delta$ , were determined using the Dynamic Shear Rheometer (DSR). The results indicate that there is a trade-off between the elasticity and stiffness properties of the modified binders. Tests conducted on an asphalt mixture with the PMB binders show that the effect of the modification resulted in a lower initial stiffness but higher fatigue life compared to a reference mixture in a strain controlled fatigue test.

## 1 Introduction

Improved low temperature properties of a bitumen will extend the service life of pavements. In other words, the use of polymer modified bitumen (PMB) improves the crack resistance of asphalt mixtures which usually is a problem at low pavement temperatures. It is also recognized that the use of PMBs in asphalt mixtures improves the resistance to permanent deformation (rutting) at high temperatures and pavement fatigue life at intermediate temperatures [1-3]. Moreover, the improved elastic properties of modified bitumen remain in general constant during the service life of the pavement [4].

In this study the enhanced performance of asphalt mixtures with modified bitumen is investigated by studying the low temperature elastic behaviour (toughness) and other fundamental characteristics of the binder together with mixture properties. In this case, laboratory prepared modified binders were tested along with commercially available PMBs to examine their properties. The Force-ductility test method and the Dynamic Shear Rheometer (DSR) results showed enhanced binder characteristics. The results indicate a trade-off between the elasticity/ductility and stiffness properties of the modified binders. Tests conducted on an asphalt mixture with the PMB binder show

that the effect of the modification resulted in a lower initial stiffness but higher fatigue life compared to a reference mixture in a strain controlled fatigue test. In other studies it is reported that not only the fatigue properties but also the permanent deformation characteristics are improved [2]. Hence, possible increase of the overall pavement thickness with PMB mixtures will depend on the need to increase the overall fatigue resistance of the pavement structure, but not to overcome permanent deformation in the asphalt layers at high temperatures.

## 2 Materials and Testing Plan

### 2.1 Material Preparation

Two types of Polymer Modified Bitumen (PMB1 and PMB2) each with 6% polymer content were produced in the laboratory. PMB1 was produced with linear SBS polymer and PMB2 with a combination of linear SBS and EVA polymers. An additive was used to improve the storage stability of the PMBs. In addition to the laboratory prepared modified binders, commercially available PMB samples were also tested. The two types of modified binders in the laboratory were made from a 70/100 pen bitumen. An overview is given in Table 1.

**Table 1.** Materials used in the research

Material	Type	Description
Bitumen	70/100 pen	Penetration grade bitumen
Polymer	SBS (Kraton D1101*)	Styrene-Butadiene-Styrene * Styrene 31%
	EVA (Polybilt)	Ethylene-Vinyl-Acetate
Additive	Code name: Z	Added to improve storage stability

*Laboratory prepared materials:*

1. PMB-L1: Modified bitumen with SBS polymer
2. PMB-L2: Modified bitumen with SBS+EVA polymers

*Commercially available PMB products:*

1. PMB-C1: SBS modified commercial PMB
2. PMB-C2: SBS+EVA modified commercial PMB

The procedure adopted to produce the PMBs in the laboratory was as follows:

- The base bitumen was heated to its EVT temperature and kept at that temperature for about 3 hours.
- Then a high shear mixer was used to mix the polymer in the base bitumen.
- The polymer was added at low shear rate and the shearing was gradually increased to 5000 rpm.

- The mixing temperature was maintained at 170-190°C during the blending of the polymer in the base bitumen. The shearing was conducted for 1 hour.
- An additive was added after the polymer was thoroughly blended in the base bitumen and was stirred at a lower shearing rate.

Standard AC 22 base asphalt mixtures were prepared to carry-out fundamental performance tests on the mixtures. A mixture with 50% recycled material (RAP) and 70/100 pen bitumen was used as reference mixture. The other two mixtures were produced without RAP. One mixture was made with PMB-L1 and the other mixture with PMB-C1 binder.

## 2.2 Testing Plan

The testing plan for the PMBs included the determination of empirical and fundamental properties. The tests performed are described below.

1. Empirical tests
  - a. Penetration at 25°C (NEN-EN 1426)
  - b. Softening point (NEN-EN 1427)
  - c. Elastic recovery at 25°C (NEN-EN 13398)
  - d. Force-ductility at 5°C, 50 mm/min (NEN-EN 13589)
2. Fundamental tests
  - a. Complex modulus  $G^*$  and phase angle  $\delta$  (DSR)
    - Temperature
      - With 8 mm parallel plates: -10, 0, 10, 20, 30°C
      - With 25 mm parallel plates: 30, 40, 46, 58, 70, 82°C
    - Frequency 0.1 – 100 rad/s

Tests on asphalt mixtures were conducted in accordance to the test methods specified in the “Standard RAW bepalingen” [5]. The test conditions for stiffness and fatigue tests are described below.

1. Stiffness test
  - Four-point-bending (4PB) test
    - Inner clamp 120 mm, Outer clamp 420 mm
  - Beam dimension 450 x 50 x 50 mm (L x W x H)
  - Temperature 20°C
  - Strain 50  $\mu\text{m/m}$
  - Frequency 0.1, 0.2, 0.5, 1, 2, 5, 8, 10, 20, 30 Hz
2. Fatigue test
  - Frequency 30 Hz
  - Temperature 20°C
  - Strain – three strain levels 120, 150, 180  $\mu\text{m/m}$

### 3 Test Results

The test results of the PMBs are shown in Table 2.

**Table 2.** Test results of the PMBs

	Norm	Laboratory PMBs		Commercial PMBs	
	NEN-EN	PMB-L1	PMB-L2	PMB-C1	PMB-C2
Base bitumen		70/100 pen	70/100 pen		
Polymer (Lab PMBs) 6%		SBS	SBS+EVA	SBS	SBS+EVA
Penetration (0.1 mm)	1426	53	47	48	52
Softening point, $T_{R\&B}$ (°C)	1427	101.1	96.4	94.6	76.5
Elastic recovery at 25°C	13398	98	94	91	90
Force ductility at 5°C					
- $E_{max}$ (J/cm <sup>2</sup> )	13589	17.5	16.2	13.1	14.6
- E (200 – 400 mm)		7.4	8.0	7.3	7.3
- Elongation (mm)		310	371	407	360
Storage stability, $\Delta T_{RB}$ (°C)	13399	2.4	4.6	0.1	1.3
Viscosity at 135°C (Pa.s)		3.50	2.54	1.97	2.19
Viscosity at 185°C (mPa.s)	13702-2	596	904	335	382
$G^*/\sin(\delta)$					
70°C	--	5.24	3.67	1.33	2.0
82°C	--	2.42	1.34	0.53	0.8

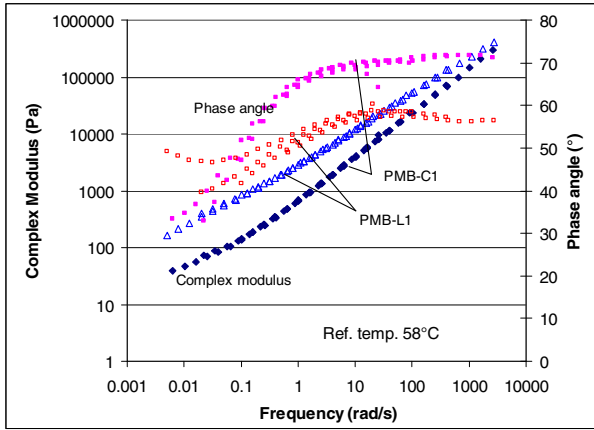
In Table 3, mixture performance test results are shown for the reference mixture (AC 22 base with 50% recycled asphalt) and the mixtures with the SBS modified binders PMB-L1 and PMB-C1. The mixtures had voids content in the range 4.5 – 5.3 %.

**Table 3.** Mixture performance test results

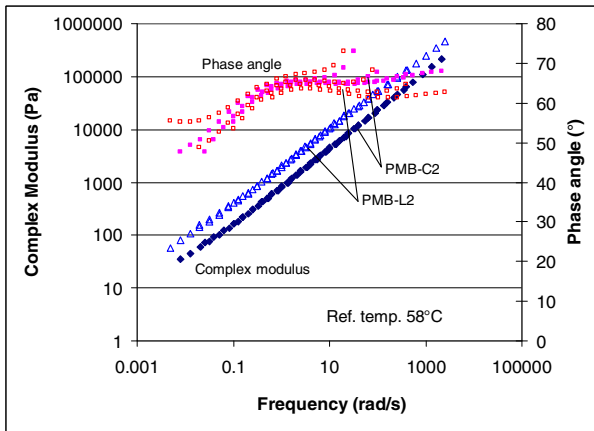
Test type	Reference Mixture	PMB-L1 mixture	PMB-C1 Mixture
1. Stiffness @ 8 Hz (MPa)	8142	4939	4522
2. Fatigue at 10 <sup>6</sup> cycles ( $\mu\text{m}/\text{m}$ )	109	152.6	142.0
3. Permanent deformation $f_c$	0.35	--	--

In Figure 1 and Figure 2 respectively the high temperature frequency sweep test results of SBS modified binders and binders modified with combined SBS+EVA polymers are shown.

As can be seen in Figure 1, the laboratory made PMB has a higher stiffness in the high temperature region than the commercial binder. The same is true with the binders modified with SBS+EVA. The phase angles of all PMBs are relatively



**Fig. 1.** Complex modulus and phase angle master curves - SBS modified binders at 58°C

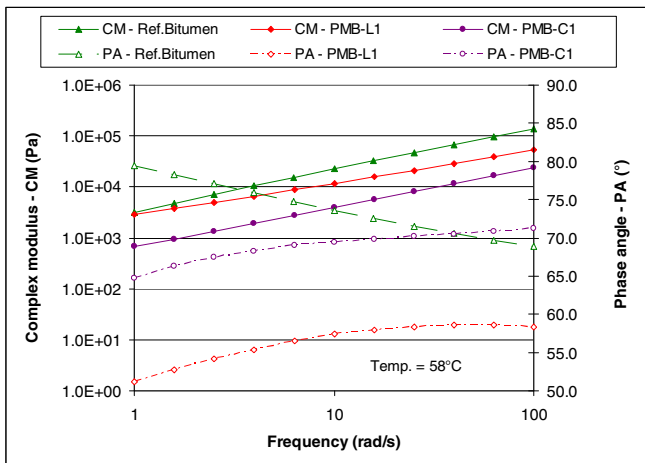


**Fig. 2.** Complex modulus and phase angle master curves - SBS+EVA modified binders at 58°C

very low in this temperature region and decrease at low frequencies even to 50° or lower, indicating still large elastic part of the complex modulus. This behaviour is very good for permanent deformation resistance.

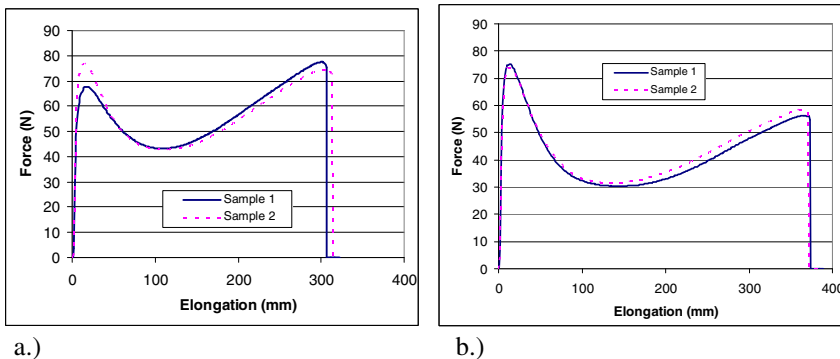
In Figure 3, the frequency sweep test results at 58°C are shown for a reference binder, i.e. binder recovered from AC 22 base asphalt mixture with 50% recycling, PMB-L1 and PMB-C1. Although the reference binder has a higher stiffness than the PMBs, it shows a sharp increase in phase angle at lower frequencies. This trend is an indication of susceptibility to permanent deformation at higher pavement temperatures.





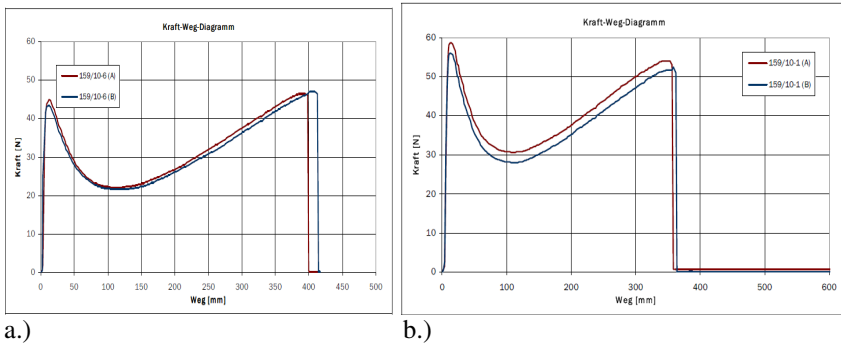
**Fig. 3.** Complex modulus and phase angle of binders at a temperature of 58°C

Results of Force-Ductility test for binder samples prepared in the lab are shown in figure 4 and the results for the commercial binders are shown in Figure 5.



**Fig. 4.** Force-ductility test results for a.) PMB-L1 and b.) PMB-L2 binders

Two peaks can be observed in the force-ductility test as shown in Figure 4 and Figure 5. The second peak is a characteristic peak for modified binders and will not be found with standard pen grade bitumens. With regards to the laboratory prepared PMBs, it can be seen that the addition of EVA has resulted in a reduction in the second peak value. The commercial binders did not show a reduction in the second peak, but even a slight increase in both peaks as shown in Figure 5. In general it is expected that addition of EVA in the binder will result in a reduction of the maximum elongation at failure. However, this is not true for the binder PMB-L2 and the reason is not clear.



**Fig. 5.** Force-ductility test results for a.) PMB-C1 and b.) PMB-C2 binders

The toughness, i.e. the area under the 200 to 400 mm elongation, is usually described as a requirement in PMB specifications [6]. The flexibility of the binder, which is related to the resistance to cracking at low temperatures, is measured both by the toughness and elongation to failure of the binder.

## 4 Discussion

Force-ductility tests were performed to evaluate the low temperature performance of the PMBs. From Figure 4 and Figure 5, it is difficult to see if the PMBs with EVA+SBS polymer show an increase in stiffness and a reduction in elastic/ductile property. It is also not clear from Table 2. A typical increase in rigidity of PMBs due to the effect of EVA modification is however well documented [7]. It could also be confirmed by the DSR frequency sweep test results shown in Figure 6 at a reference temperatures of 5°C. The effect of EVA in the PMB binder increased the stiffness and decreased the viscous component as shown in Figure 6. The trade-off between stiffness and elastic characteristics of the PMBs is an important consideration for the use of binders in different types of asphalt mixtures. It can also be noticed from Figure 6 that the complex modulus and phase angle master curves of the PMBs show a higher viscous component compared to the reference binder at 5°C. This is an indication that the PMBs are more flexible at lower temperatures. Such characteristic improves the resistance to brittle cracking of asphalt mixtures at lower temperatures.

It is apparent from Table 2 that all the SBS modified binders have comparable toughness but show different ductility properties. Especially in the elongation range between 200 – 400 mm, i.e. the specification requirement, the PMBs seem to exhibit similar toughness characteristics. But that doesn't fully show the ductile properties of the binders. For this reason it is important to consider both the toughness of the materials and the maximum elongation to failure as essential characteristics to indicate the low temperature elastic properties of PMBs.

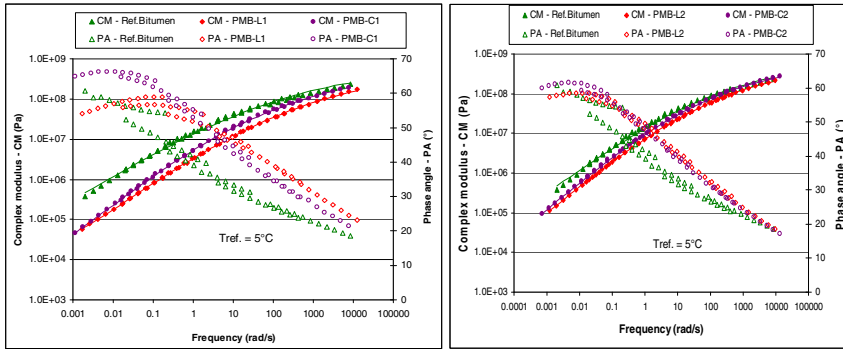


Fig. 6. Complex modulus and phase angle mastercurves of binders at a reference temperature of 5°C

In Figure 7 and 8 the stiffness and fatigue characteristics of a standard/reference AC 22 base mixture used as a base layer in pavements, and two other mixtures with the same aggregate gradation but with modified bitumen, i.e. PMB-L1 and PMB-C1, are shown. From the figures it can be observed that the mixtures with the modified bitumen show comparable stiffness values and fatigue, but they are very different from the performance of the reference mixture.

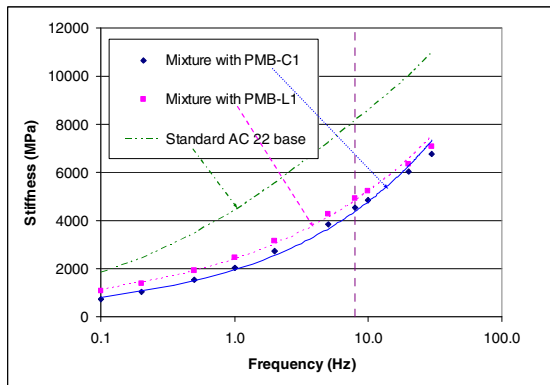
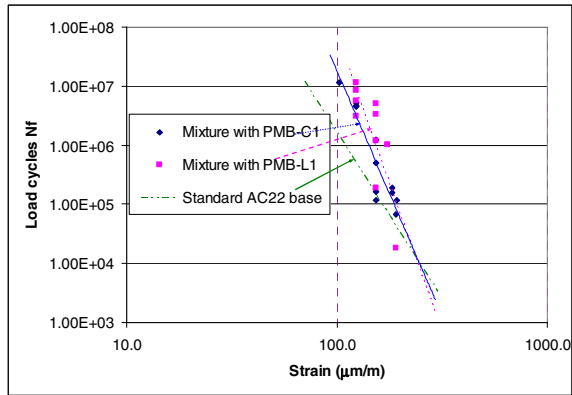


Fig. 7. Stiffness of a standard AC 22 base mixture with 50% reclaimed asphalt (RAP) and mixtures with modified binders without RAP

In the reference mixture, the combined bitumen from the reclaimed asphalt and new 70/100 pen bitumen results in a bitumen penetration value between 40-60. This value is equivalent compared to the penetration values of the PMBs. However, the effect of the polymer modification has resulted in a considerably lower stiffness and higher fatigue life of the mixture. The lower stiffness, nevertheless, does not



**Fig. 8.** Fatigue life of a standard AC 22 base mixture with 50% reclaimed asphalt (RAP) and mixtures with the modified binders without RAP

necessarily mean that the susceptibility to permanent deformation of the mixture is higher than the reference mixture. Studies indicate that the resistance to permanent deformation of mixtures with PMB at high temperatures is excellent due to the delayed elastic behaviour of the modified binders [2]. The binder stiffness and phase angle values shown in Figure 1 and Figure 2 also indicate this fact.

The PMBs improved the fatigue performance of the asphalt mixture at a strain level of 100  $\mu$ strain with a factor of about 5. This has an advantage of delaying the bottom-up crack initiation and propagation problems often encountered in the base layers of pavement structures. Figure 8 also gives the indication that the endurance limit (strain level at which fatigue is not a point anymore) of the PMB mixtures is considerably higher compared to the reference mixture. In addition to enhanced fatigue performance of the mixture at intermediate temperatures, the resistance to cracking at lower temperatures (ductility test) and the resistance to permanent deformation at higher temperatures plays an important role in prolonging the pavement service life. This in turn implies an overall reduction in maintenance cost. Future research will focus on aging tests of the modified binders to validate the effect of aging on the long term performance of the mixtures.

## 5 Conclusions

The following conclusions were drawn from the study into the binder and mixture performance of PMBs.

1. A relatively steady phase angle of PMB over a range of frequencies at higher temperatures is an indication of the stable functional characteristics of the modified binders.
2. Lower stiffness as a result of the modification does not imply reduction in mixture performance. Polymer modification enhances not only the fatigue performance of the asphalt mixtures but also the resistance to deformation.

3. To evaluate low temperature stiffness and flexibility/ductility of PMBs and make a choice for a particulate type of PMB in asphalt mixtures, both the total toughness, in addition to the toughness between 200 – 400 mm elongation, and the maximum elongation at failure are important parameters.
4. Modified bitumen showed improved low temperature elastic properties. Improved low temperature elastic behaviour of the PMBs implies improved resistance to crack initiation and growth which considerably prolongs pavement service life.

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# Effect of Polymer Dispersion on the Rheology and Morphology of Polymer Modified Bituminous Blend

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**Abstract.** Increase in axle wheel load and traffic volume has led to the use of polymer modified bitumen (PMB) on roads as it offers better rutting, thermal and fatigue performances. In this paper the viscosity function of PMB obtained at 135°C was studied in the context of polymer dispersion in the bitumen blend. Polypropylene (PP) was used as the modifier for 80/100 pen bitumen. The morphological analysis using Scanning Electron Microscopy (SEM), Atomic force Microscopy (AFM) and Field Emission Electron Microscopy (FESEM) were presented. It was found that although the polymer resin was not fully digested by the virgin bitumen, there was evidence of a significant alteration of the Newtonian behavior of virgin bitumen to non-Newtonian behavior by the addition of the polymer. Presence of thixotropic behavior in the blend can be considered beneficial in recovery of stress related deformation. SEM examination of PP resin revealed that partial breakage of the periphery of the resin was sufficient to enhance the viscosity of the PMB significantly. AFM phase images revealed that up to 2% polymer concentration in bitumen significantly enhances the viscoelastic property of the final PMB blend. The phase separated layer in PMB blend with sufficient stiffness and viscoelastic property of PP also acts as stress relaxant surface. Thus the PMB benefited by the incorporation of the polymer as it induces phase separated layer in the blend that can potentially offer better fatigue and cracking properties to the resulting mix.

## 1 Introduction

In order to predict the engineering properties of PMB, an understanding of its rheological behavior is necessary. It is essential that the bituminous mix possess a high degree of compatibility in order for it to demonstrate high resistance against stress related degradation, thermal stability, load spreading capability and chemical stability [1]. The flow behavior or rheology of PMB strongly depends on the dispersion of the polymer in the blend. The concentrations of polymer, mixing

technique and blending temperature also have a profound effect on the morphology and thus rheology of the PMB blend. The chemical structure of bitumen which is discussed as colloidal model introduces three types of bitumen namely sol (Newtonian behavior), gel (non-Newtonian behavior) and sol-gel or “elastic –sol” [2] depending on the presence of asphaltene micelle in the maltene phase. The asphaltene micelle affects the viscosity of the bitumen. Thus the behavior which is proposed on the basis of agglomeration ability of micelles [3] shows significant effect on the rheology and morphology of the bitumen. In general polymers always have the tendency for phase separation in the blend as high molecular weight polymers are immiscible with lower molecular weight bitumen [2].

Polypropylene which belongs to the thermoplastic group showed a lower tendency of dispersion but enhances the viscosity of the blend. Although the mixing was achieved at lower shear rates and at higher temperature, complete digestion of polymer in bitumen was not attained. Thus the bitumen- polymer blend shows only physical interaction, with polymer being dispersed in the bitumen, where dispersion in the blend depends on the polymer structure besides mixing technique [4]. Usually the polymer in the blend absorbs oil from the lighter component of the bitumen i.e resin and eventually swells up. However, with increase of polymer a phase inversion is observed with the flocculation of agglomerated particles, which leads to the instability of the blend [5].PMB blend will thus get profited from this optimum level of polymer concentration which incorporates for enhanced PMB performance.

Optical microscopy is used as an effective tool to investigate the morphology of immiscible, partially dispersed polymer in the blend as the flow behavior is strongly affected by its local morphology [6] as most polymers are thermodynamically incompatible with the bitumen [7].

The objective of this paper is to discuss the influence of polymer dispersion in PMB blend focusing on the flow behavior in context with the thixotropic behavior of PMB. Morphological analysis was done to know the effect of blend composition on the rheology of the blend. AFM results indicate the presence of phase separated layer, where difference in stiffness in this phase separated layer would play a positive role in preventing pavement crack propagation.

## ***1.1 Materials and Methods***

Materials used in this study includes

- 80/100 penetration grade base bitumen obtained from the PETRONAS Refinery, Malaysia.
- The polymer Polypropylene (PP) powder used for modification was supplied by PETRONAS Polypropylene Sdn Bhd Malaysia, with a melt flow index of 8g/10min and density of 0.887g/cm<sup>3</sup>.

## ***1.2 Preparation of PMB Sample***

PMB blend was prepared by mixing bitumen with polymer using Silverson laboratory mixer at 120 rpm. Slow rate of mixing was adopted in order to make

sure the polymer get dispersed into the bitumen without agglomeration. Blending continued for one hour at temperature of 170°C. The concentration of PP was kept between 1 - 3% by weight of bitumen, after concluding that blend produced with 5% to 7% polymer concentration, induces the excessive agglomeration of polymer particles in the blend.

### ***1.3 Conventional and Morphological Test Methods***

Conventional tests performed on virgin and polymer modified bitumen includes, penetration test at 25°C (ASTM D-5), softening point test (ASTM D-36). Viscosity test was conducted at 135°C (ASTM D-4402). Morphological analysis was accomplished by SEM using LEO 1430 VPSEM and FESEM with high resolution Zeiss Supra 55VP, while topographical and surface information was obtained by using AFM model SII NANO NAVI E- Sweep.

## **2 Results and Discussion**

### ***2.1 Penetration and Softening Point Test Results***

Table 1 presents conventional test results. It can be observed that there is a sharp decrease in the penetration value of 84 dmm for base bitumen to 34 dmm with the addition of only 1.0 % polymer in bitumen. The decrease in penetration value was observed for all concentration of polymer in blend. It reflects that increase in the hardness of the PMB is associated with polymer loading. The use of the high molecular weight PP having a melt flow index of 8g/10min increases the viscosity of the PMB with the increase in polymer content. It is obvious from the results that PP belonging to thermoplastics family influences more on the penetration with the increase in the viscosity of the bitumen [8]. Although PP has a melting temperature between 160-166°C, it does not completely dissolved into the bitumen but it absorbs some oil and release low molecular weight fractions into the bitumen which increases the viscosity of the PMB[9]. Thus the incompatible polymer blend with phase separated polymer layer at top act as a sheath against the penetration needle where the stiffness of the top separated layer increases with the increase in polymer concentration. The softening point of PMB shows an insignificant variation as one hour mixing time at a temperature of 170°C is insufficient to chemically break the bond in isotactic PP. The insignificant difference in softening temperature of virgin and PMB was due to the phase separated layer in the brass ring. The lower phase separated layer consisting of bitumen portion with minimum amount of partially dispersed polymer will deform at slightly higher temperature than the softening temperature of virgin bitumen while the upper separated polymer layer stays there. Thus lower phase separated layer with partially dispersed polymer was considered to be responsible for minimum increase in softening temperature till 5% polymer concentration in PMB.



**Table 1.** Properties of Virgin Bitumen and PP PMB

	Pent. (dmm)	Soft Pt. (°C)	Visc (Pa s) at 135°C
Bitumen 80/100 pen	84	53	0.44
1% PP+ Bitumen	34	54	0.78
2% PP +Bitumen	30	55	0.81
3% PP +Bitumen	28	55	0.83
5% PP+ Bitumen	15	59	1.25

## 2.2 Viscosity Test Results

The flow behavior of the material described in terms of viscosity exhibits Newtonian as well as non-Newtonian characteristics depending on the composition and source of the crude. The temperature, loading levels and internal structure of bitumen also affect the viscoelastic properties of the blend [10]. With reference to Fig. 1 base bitumen which has viscosity of 0.44 Pa s at 135°C shows an increase in viscosity with the increase in the polymer concentration. The non-Newtonian characteristics as seen by a decrease in viscosity with the increase in shear rate was observed for all polymer concentrations. The non-Newtonian phenomenon is dependent on the shear rate as it influences the internal structure of the PMB [11]. Viscosity test results show that as the shear rate is increased the viscosity of the blend reduces, but still all the values of PMB blend are well below 3 Pa s, as mentioned by ASSHTO MP1 [12] for a workable mix. This behavior of bitumen from the viscosity stand point shows that it is neither Newtonian nor non-Newtonian as there was a mild change in the viscosity observed with an increase of shear rate. It may be due to the presence of asphaltene component in the bitumen with an accompanying increase in polarity and increase in molecular mass as well as decrease in the aromatics content of the bitumen. Thus collectively they cause the formation of gel type bitumen behaving more or less like polymeric solution [13]. While mixing either using a mechanical or chemical method the differences in molecular weight and polarity of base bitumen, polymer dispersion has a crucial effect on compatibility [14]. Shear thinning phenomenon was observed for all PP concentration up to 3%. However it is very difficult to say that polymer modified bitumen purely exhibits shear thinning phenomenon with the increase in shear rate as shear thickening phenomenon was also observed for 5% polymer concentration in the blend between 2000- 4000 sec<sup>-1</sup> shear rate, as polymeric blend containing dispersed particle usually exhibit pseudoplasticity and thixotropic behavior when being sheared.[15] This pseudo plastic behaviour of PMB at higher concentration of polymer was observed because of the breakdown of structure existing in equilibrium state become more aligned thus offering lesser resistance to flow. However with the increase in shear rate, a higher resistance due to agglomeration or flocculation of particle in multiphase system becomes prominent due to inter particle forces like Brownian, van der Waals and electrostatic forces[15]. Thus this instability in colloidal or microstructure of PMB

blend would be considered beneficial to fatigue related phenomenon of pavement material (binder) where during rest period of load application on pavement, chances of healing and recovery can't be neglected. Self healing process which addresses the recovery of binder during fatigue cracking [16], based on the rearrangement of these dispersed macromolecules which rearrange themselves during rest period of repeated load application. Thus blended PMB would show promising behavior in overcoming fatigue related distress in pavement, although this instability in the rheological behavior of PMB blend would leads to morphological phase separation [17].

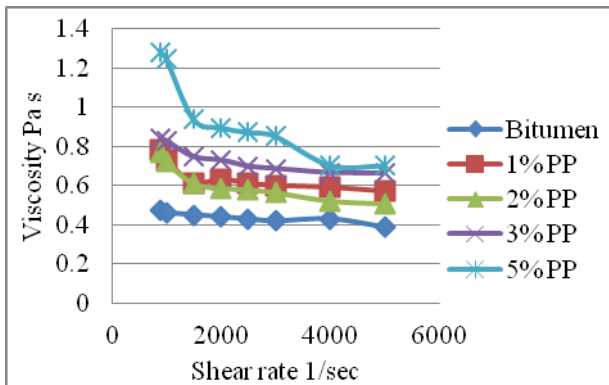
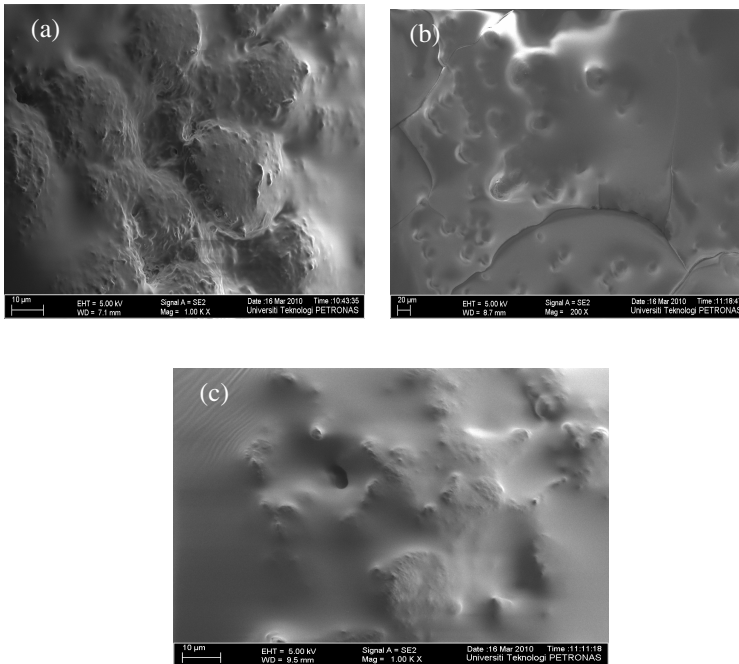


Fig. 1. Viscosity of Bitumen & PP PMB blend at 135°C

### 2.3 Morphological Analysis

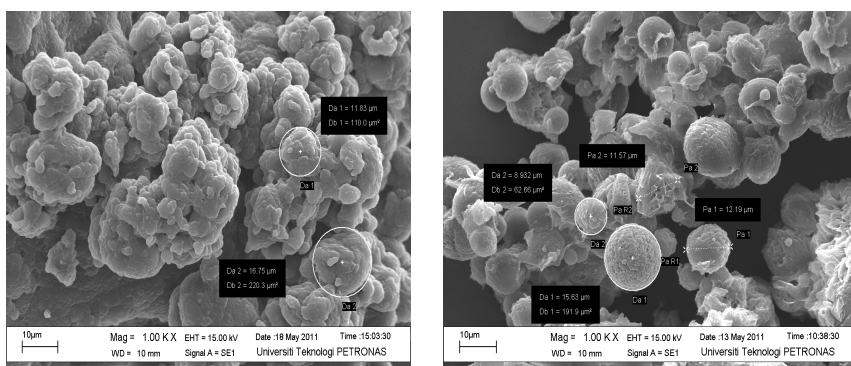
*FESEM* was used to study the internal morphology of the PMB. The analyzed samples describe the extent of the continuous phase and compatibility of the blend. From Fig. 2(a) & (b) it was observed that PP tends to swell in base bitumen till 2% concentration. As the concentration was increased from 2%, more creaming effect was observed (refer Fig.2c) due to the agglomeration of partially dissolved polymer. The main cause of this phase separation of PMB was due to Brownian coalescence followed by gravitational flocculation ending with creaming [17]. At higher temperature of mixing, partially dispersed fine particles which are present among large polymer particles coalesce after meeting each other splitting the bitumen film inducing phase separation. The movement of these tiny particles due to Brownian motion increases the volume of the resulting particle due to agglomeration, which causes an increase in the buoyancy force acting on the resulting large particle which when moves upward, it captures other slow upward moving particles in its way to the surface of the blend [18]. Thus the mechanism of this phase separation in PMB is also defined as coalescence followed by creaming [19], which is observed more for 3% PP in blend.



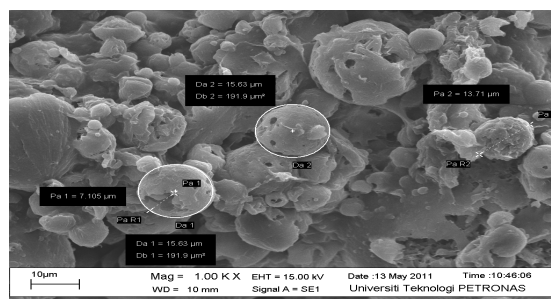
**Fig. 2.** FESEM image (a) 1% PP PMB (b) 2% PP PMB (c) 3% PP PMB

*SEM* was used to examine the toluene washed polymer resin particles from the blended bitumen to determine the extent of polymer miscibility in blend. From Fig. 3 (a) it was observed that the average nominal diameter of PP resin varies from 11.83 $\mu\text{m}$  to 16.75 $\mu\text{m}$ . From Fig 3(b) & Fig 4 it was inferred that blending at temperature of 170 $^{\circ}\text{C}$  for one hour, no major change in peripheral diameter of the polymer resin was observed. Only a slight breakage of periphery and fractured area was observed for 2% PP resin. As the concentration was increased to 3%, more breakage and fracture was observed (refer Fig. 4). Thus it was inferred that these fractured dispersed polymer particles induces creaming effect due to the coalescence of broken particles and thus becomes the major cause of phase separation.

*AFM* images were taken in tapping mode, which provides information in three dimensional topography and phase shift, as shown in the images of virgin and modified bitumen in Fig.5-10. Phase shift contrast in tapping mode of *AFM* reveals different surface compositions on a surface. Fig.5 shows a two dimensional phase image of virgin bitumen in which “bee” structure can be observed, which was believed to be asphaltene micelles [20][2]. The three dimensional phase image of the same bee structure is shown in Fig 6, in which protruding tubers from the surface of maltene fraction can be observed representing asphaltene[2][21]. Within this protruding structure two different phases can be observed with altering dark and bright region [22]. These alternating light and dark regions in bee represents portion of different relative



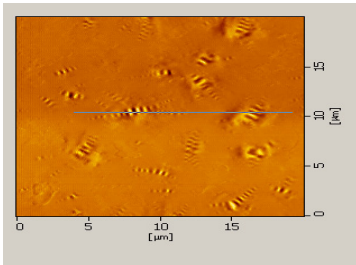
**Fig. 3.** (a) SEM image of PP resin (b) SEM image of 2% PP washed resin



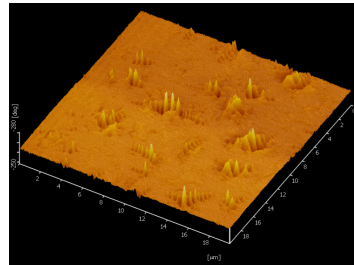
**Fig. 4.** SEM image of 3% PP washed resin

stiffness (different viscoelastic properties) having contrasting mechanical properties. According to Loeber et al [21], catanaphase or bee can be assigned to the most polar fraction of the bitumen which is asphaltene, where the surrounding phase around bee structure formed by lighter or less polar fraction maltene composed mainly of resin and aromatics. The surrounding flat surface may be concluded as non polar saturates or alkanes. The phases observed within protruding structure has varying stiffness offering different mechanical properties depending upon the amount of protruds, commonly considered as asphaltene[23], the most aromatic structure composed of fused aromatic rings stacked together forming heaviest molecular weight fraction of the bitumen. Thus the dark and light protruding tubers emerging from the base as observed in the topographic image (Fig.7) needs further investigation although it is being confirmed that it has varying viscoelastic properties. Fig.8-10 shows the evolution of phase change with the addition of PP in bitumen. Phase evolution was observed with the formation of phase separated polymer layer on the surface of bitumen whose thickness seems to be increasing with concentration of polymer in blend as higher molecular weight polymer seems to be incompatible with the lower molecular weight bitumen thus causing the phase separation [2]. The 3D phase images of PP modified bitumen shows variation in surface roughness which decreases with the increase in

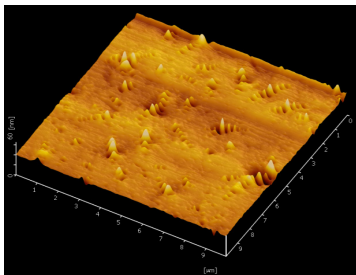
polymer concentration in the blend. From Fig.8, 1%PP PMB phase image shows phase separated polymer layer totally covering the underneath bitumen layer, as evidenced by the disappearance of the "bee" structures. It is noted in Fig.8 that the phase image of 1% PP PMB is very rough, indicating that the surface is micro-mixture of two materials having different viscoelastic properties. It is possible to interpret this image that PP segregated to the sample surface but has not covered it completely, and forming a surface that is a micro-mixture of bitumen and PP. Fig.9 of 2% PP PMB also shows surface roughness, but to much less degree compared to 1% PP PMB, indicating that the cover- up of the surface by segregated PP is more complete when PP concentration is increased to 2%. 3% PP PMB (Fig.10) phase image expose a complete flat phase separated layer of polymer above the bitumen. These AFM images unfold the surface evolution by the segregated PP. Polymer phase segregation in the blend is considered as one of the main cause of the drastic decrease in penetration value of 84 dmm for virgin bitumen to 34dmm for 1% PP modified bitumen. Besides acting as sheath, this phase separated layer of different relative stiffness potentially benefits pavement mechanical characteristics as at higher service temperature the stiffness modulus of segregated polymer layer would be higher than surrounding medium as observed by enhanced viscosity of the PP PMB while at lower service temperature the stiffness of the dispersed polymer medium is lower than surrounding medium which thus reduces its brittleness and would accommodate large stresses induced in pavement due to repeated loading.



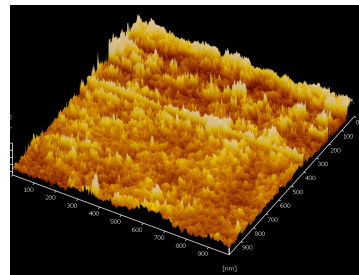
**Fig. 5.** 2D Phase image of Bitumen



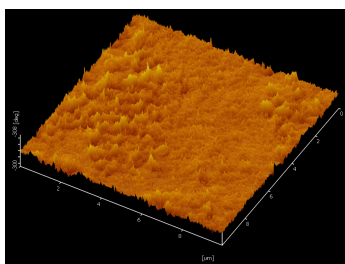
**Fig. 6.** 3D Phase image of Bitumen



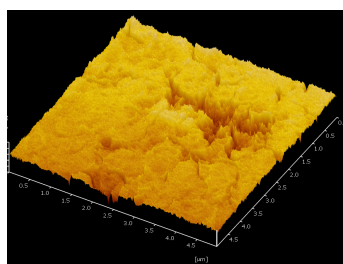
**Fig. 7.** Topo image of Bitumen



**Fig. 8.** Phase image of 1% PP PMB



**Fig. 9.** Phase image of 2% PP PMB



**Fig. 10.** Phase image of 3% PP PMB

### 3 Conclusions

The addition of polypropylene in base bitumen changes the Newtonian behavior of base bitumen to non-Newtonian. The PP induces stiffness in the blend as observed by drastic change in penetration value but at the same time shows both thixotropy and viscoelastic characteristics due to change in rheological behavior of the blend as observed by viscosity test results. The immiscible partially dispersed polymer in PMB blend would thus benefit in self healing of pavement cracks during rest periods as partially miscible dispersed polymer would retreat to its original shape on removal of stress. The phase segregated polymer layer as observed by AFM results confirms that PP PMB blend is composed of two segregated layers of different stiffness, higher stiffness PP layer at the top while the lower stiffness partially miscible polymer - bitumen layer at the bottom, which would help in inhibiting crack propagation thereby enhancing the fatigue life of in-service polypropylene modified bituminous pavement.

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# Effect of Organoclay Modified Binders on Fatigue Performance

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**Abstract.** Organoclay modification is receiving attention as a nano-modifier for asphalt binders. Nanoparticles are able to effectively mend the damaged sites of the nanocomposite without external intervention. Particle-polymer interaction results in packing particles into developed cracks, thereby mending the cracks during patch formation. This study investigates the rheological properties of organoclay modified binders with a focus on their fatigue properties. To this end, the dissipated energy concept, the ratio of dissipated energy change and plateau value (PV) energy were examined during fatigue tests using a dynamic shear rheometer. The effects of strain level, frequency and temperature on unaged and aged neat PG64-22 asphalt binder modified with organoclay were evaluated in strain-controlled time sweep fatigue tests. Also evaluated was the effect of rest periods introduced at cycles corresponding to different damage levels over the course of the time sweep tests on the fatigue life of neat and modified binders. It was found that increased amounts of organoclay and decreased levels of strain led to lower PV values and higher fatigue resistance. It was also found that organoclay modified binders heal more effectively during the rest periods, which results in higher fatigue life.

## 1 Introduction

Fatigue resistant and self-healing asphalt materials using nanoparticles is still in its early stages. Studies have shown that nanoparticles can be driven toward damaged zones in noncomposite materials and repair cracks as a result of polymer-induced depletion attraction localizing particles in the cracks to form patches [1]. The positive effects of organo modified montmorillonite (OMMT) on the physical and rheological properties of clay-asphalt composites, such as increased stiffness, resistance to aging, and thermal stability, have been investigated by several researchers [2-4]. The focus of this study is on the fatigue resistance evaluation of OMMT modified binders based on the ratio of dissipated energy change (RDEC) and the healing properties obtained from changes in the speed of microcrack growth after introduction of rest periods (RPs) during time sweep (TS) tests.

## 2 Background

Studies have shown that the fatigue response of asphalt binders is better predicted using repeated cyclic loading tests than by the evaluation of binder fatigue



resistance based on  $G^*\sin\delta$  during the initial few cycles [5]. This stems from accurate simulation of damage accumulation in an asphalt binder during repeated cyclic loading TS tests. Since the amount of energy dissipated at each loading cycle in a TS test is a good indicator of damage accumulation in asphalt, dissipated energy (DE) is a fundamental factor used to study the fatigue behaviour of asphalt [6].

Research has revealed that only a portion of DE is responsible for fatigue damage and that the energy dissipated through passive behaviors, such as plastic dissipated energy and thermal energy, should be excluded from the calculations [6, 7]. In response to this, an approach called the ratio of dissipated energy change (RDEC) has been developed in which the relative amount of energy dissipation coming from each additional cycle is taken into account. According to this definition, Eqn (1) shows the ratio of dissipated energy change [7]:

$$RDEC_m = |DE_m - DE_n| / DE_n (m - n) \tag{1}$$

where  $RDEC_m$  is the ratio of dissipated energy change at cycle  $m$ ,  $DE_m$  and  $DE_n$  are dissipated energies at loading cycles  $m$  and  $n$ , respectively, and  $m > n$ .

The dissipated energy versus the number of loading cycles under strain-controlled loading mode is divided into three distinct stages. The reduction rate of DE increases in the first stage, then DE reduces at an almost constant rate in the second stage and, in the third stage, the DE reduces at a decreasing rate. This corresponds to the three stages in the RDEC vs. loading cycle curve for HMA mixtures and asphalt binders (Figure 1). The second stage, where the RDEC value is almost constant, is considered the plateau value (PV).

The effect of introducing several rest periods at predetermined levels of damage on increasing the fatigue life or healing the asphalt has been reported by different investigators [8, 9]. These studies have recognized the healing effects and improved fatigue properties of neat and polymer modified asphalt. However, limited research has been conducted to characterize the effect of OMMT modified binders on fatigue and healing properties.

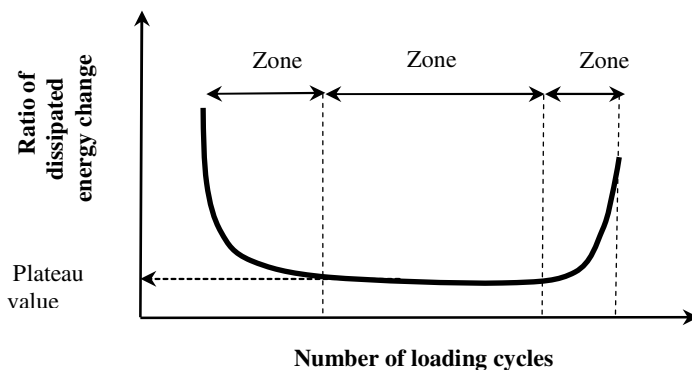


Fig. 1. Schematic RDEC plot with three behaviour zones [7]

### 3 Research Approach

Fatigue characteristics are of great importance in flexible pavement design and there is a need to enhance the fatigue behaviour of asphalt using new and more cost-effective asphalt modifiers. OMMT has been widely used as a nonometer filler to improve the fatigue resistance of polymer materials [10]. It is expected that better fatigue resistance can be achieved by the OMMT modification of asphalt due to lipophilicity and the enlargement of interlayer spacing in OMMT. The present research studied the capability of nanoclay to improve the fatigue resistance of clay-asphalt nanocomposite under various testing conditions on the basis of changes in the PV value and fatigue life calculated using a traditional TS test. The healing potential of OMMT modified samples was also investigated by introducing rest periods over the course of the TS tests. The effect of rest periods and OMMT modification on healing was quantified by defining three healing indices to reflect the changes in microcracking speed. These indices were defined to separately identify the effect of rest periods and OMMT on healing.

### 4 Experimental Design

#### 4.1 Materials

A neat PG 64-22 binder was selected as the base binder to be modified with 1%, 4% and 7% OMMT by weight of the base binder. The nanolin DK1 series of organoclay with 95% to 98% montmorillonite and a cation exchange capacity (CEC) of 110 meq/100 g was used. The modified binders, labeled B+M1%, B+M4% and B+M7%, were prepared using a high-shear melt blending process at 150°C and 4000 rpm rotation speed for 60 min. Aging simulation of asphalt samples were carried out according to AASHTO T 240 (RTFOT).

#### 4.2 Testing Methods

The fatigue characteristics of asphalt binders were assessed using TS binder fatigue testing in a dynamic shear rheometer (DSR). Specimens 8 mm in diameter and 2 mm thick were subjected to continuous shear loading in the strain-controlled mode at specific strain levels, frequencies and temperatures. Unaged samples were subjected to TS tests at 25°C, 10 Hz in frequency and a 3% strain level. A limited sensitivity study was conducted to account for the effect of control parameters on binder fatigue. To investigate the effect of rest periods (to simulate healing) on fatigue, two TS tests (with one and 10 rest periods) were performed on unaged samples at 3% and 5% strain levels to represent the state of strain of the binder in the compacted surface course. The corresponding healing indices were then calculated for each condition.

### 4.3 X-Ray Diffraction

Two types of structures exist in layered silicate modified asphalt: intercalated and exfoliated. An intercalated structure corresponds to a well organized multi-layer silicate in the binder in which asphalt chains expand the clay galleries. In an exfoliated structure the clay layers have been completely separated such that they are no longer close enough to interact and silicate layers disperse throughout the binder randomly [11]. The extent of nanoclay particle intercalation and exfoliation were examined using x-ray diffraction (XRD). XRD patterns of pure organoclay and three OMMT-modified binders were investigated using an X'Pert MPD instrument with Co K $\alpha$  radiation ( $\lambda = 1.78897 \text{ \AA}$ , 40 kV, 30 mA) at a scanning rate of 0.02  $^{\circ}$ /s from 1 $^{\circ}$  to 50 $^{\circ}$  in the 2 $\theta$  range. The XRD patterns of pristine organoclay and three modified binders are shown in Figure 2. As shown in Table 1, different crystalline peaks were found for the three modified binder samples corresponding to different interlayer spacings calculated using the Bragg equation ( $\lambda = 2d \sin\theta$ ). It was observed that the peaks shift to lower angles after binder modification.

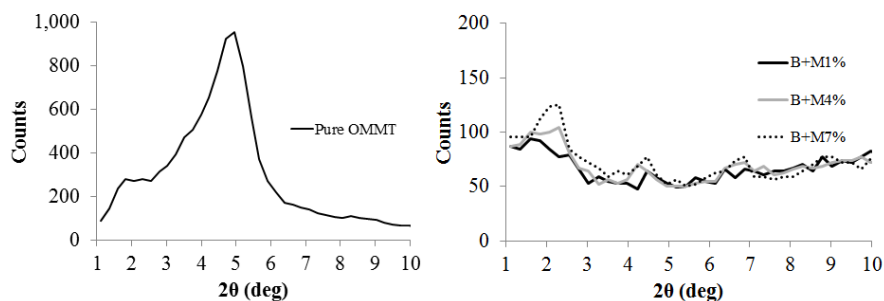


Fig. 2. XRD patterns of organoclay and modified binders

Table 1. Interlayer spacing of organoclay and modified asphalt binders.

Sample	2 $\theta$ (deg)	Intensity (AU)	d-spacing ( $\text{\AA}$ )
Pure OMMT	4.8759	973.44	21.04724
B+M1%	1.3549	98.01	75.65871
B+M4%	2.3581	100.97	43.50388
B+M7%	2.2980	125.56	44.64092

This suggests the widening of the interlayer, formation of an exfoliated structure and a good dispersion of silicate layers in binders under the aforementioned blending conditions.

## 5 Results and Discussion

The addition of 1% to 7% organoclay increased the original asphalt rotational viscosity from 7.7% to 166.8% from the exfoliation of the high aspect ratio silicate layers. This behaviour is a result of the silicate layers preventing movement of asphalt molecules. The higher viscosity is indicative of the higher degree of network build-up associated with the higher degree of exfoliation.

### 5.1 Rutting Resistance

The rutting potential of neat and modified binders were evaluated using a DSR based on the Superpave high temperature binder test for unaged and RTFO-aged samples and the multiple stress creep and recovery (MSCR) test for RTFO-aged samples according to AASHTO M-320 and ASTM D7405-08, respectively. Using the MSCR tests, average non-recoverable creep compliance ( $J_{nr}$ ) and average percent recovery ( $R$ ) for neat and modified binders were determined. Loading consisted of 10 cycles of 1 sec creep plus 9 sec of recovery time at a 100 Pa stress followed by another 10 cycles at 3200 Pa. MSCR test results at 64°C and the Superpave rutting factors are tabulated in Table 2. Both  $G^*/\sin\delta$  and  $J_{nr}$  suggest higher rut resistance with increased amounts of organoclay in asphalt. Although changes in  $R$  do not reflect a significant increase in this parameter at either stress level, the addition of higher amounts of OMMT improved the resistance of materials to rutting. The percent difference in non-recoverable creep compliance,  $J_{nr-diff}$ , showed low sensitivity of all tested binders to stress level.

### 5.2 Fatigue Characteristics Testing

Evaluation of asphalt fatigue life was performed using strain-controlled oscillation testing at constant shear strain amplitude with no rest periods. Strain-controlled testing was used to achieve a zero mean displacement during the test. The cycle corresponding to 50% reduction in the initial complex modulus ( $N_{f50}$ ) was used as the criteria for fatigue failure. PVs were then calculated for the plateau stage between  $N_{f15}$  and  $N_{f50}$ . Table 2 shows a summary of fatigue testing results

**Table 2.** Permanent deformation parameters for neat and modified binders at 64°C

Binder type	$G^*/\sin\delta$		$J_{nr}$ 100	$R$ 100	$J_{nr}$ 3200	$R$ 3200	$J_{nr-diff}$
	(kPa)		(1/kPa)	(%)	(1/kPa)	(%)	
	Unaged	RTFO aged					
Neat	1.11	2.26	2.45	6.32	3.48	1.34	29.6
B+M1%	1.21	2.53	2.18	6.94	2.52	2.08	13.5
B+M4%	2.22	3.63	1.67	9.67	2.00	2.41	16.8
B+M7%	2.34	4.06	0.97	20.53	1.33	6.48	27.1

conducted under five testing conditions. The effect of loading frequency on fatigue was evaluated by conducting TS tests at 10 Hz and 15 Hz loading at 25°C and 3% strain level. As shown in Table 3, increasing loading frequency increased the corresponding PVs, indicating higher fatigue resistance at lower frequencies from the decreased damage accumulation rate. At both loading frequencies, a higher OMMT content improved the fatigue resistance of the binders, however, no linear trend was observed between OMMT content and increase in  $N_f$  caused by lower frequencies.

In a similar manner, changes in PV for unaged samples subjected to 3% and 5% strain level TS tests at 10 Hz loading and 25°C reflect the influence of strain level on fatigue. It was evident that lower PVs at 3% strain represented better fatigue performance in all of the tested binders. Higher amounts of OMMT led to more fatigue resistance at both strain levels, while the positive effect of OMMT was more pronounced at 5% strain. In comparison with the neat binder, at 3% and 5% strain level, the B+M7% modified binder reduced the PVs up to 63% and 67%, respectively. This shows the significant fatigue resistance caused by the addition of nanoparticles to asphalt.

Also as shown in Table 3, an increase in temperature from 25°C to 30°C under 3% strain-controlled TS at 10 Hz loading decreased PVs for neat and B+M1% modified binders. Nonetheless, this temperature change resulted in a marginal decrease in PVs for 4% and 7% modified binders. Hence, the fatigue performance of samples with higher amounts of organoclay was less susceptible to temperature. A comparison between PVs before and after RTFO aging indicated that it caused a reduction in the fatigue life. However, aged samples containing higher amounts of nanoclay were more fatigue resistant, based on PV and  $N_{f50}$ . After RTFO aging, the stiffness of the tested asphalt samples increased and the RTFO-aged OMMT modified samples were found to be stiffer than the neat sample. This is also in accordance with improved aging resistance of OMMT modified binders. It should be noted that, particularly for the B+M7% binder, the slight difference in PVs before

**Table 3.** Effect of testing conditions on fatigue parameters

Time sweep test condition	PV ( $10^{-4}$ )				$N_f$ ( $10^4$ )			
	Neat	B+M 1%	B+M 4%	B+M 7%	Neat	B+M 1%	B+M 4%	B+M 7%
25°C, 3% 10 Hz, unaged	0.495	0.385	0.214	0.175	4.20	4.70	6.09	6.42
25°C, 3% 15 Hz, unaged	0.598	0.395	0.338	0.210	3.02	2.70	3.51	4.56
25°C, 5% 10 Hz, unaged	1.239	1.156	0.924	0.404	1.37	1.58	2.07	3.37
30°C, 3% 10 Hz, unaged	0.455	0.340	0.217	0.164	3.26	3.32	5.41	9.37
30°C, 3% 10 Hz RTFO aged	0.875	0.588	0.500	0.261	1.66	1.98	2.60	3.43

and after aging showed the effectiveness of nanoclay in improving the aging resistance of asphalt. This may be the result of the barrier properties of the OMMT layers to heat and oxygen [4]. PVs were plotted against  $N_f$  for all binders under different testing conditions in Figure 3, where  $R^2$  equaled 0.85, providing a good PV- $N_f$  correlation.

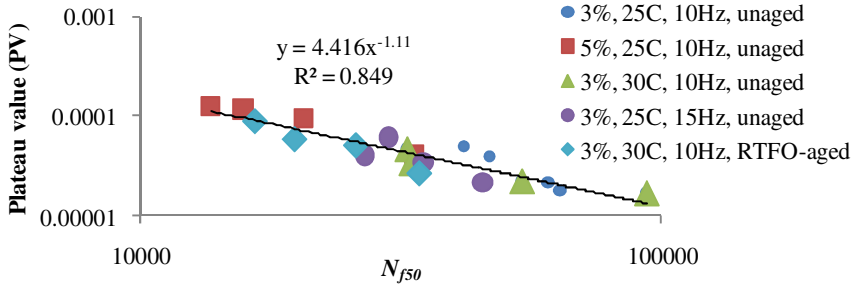


Fig. 3. PV versus  $N_{f50}$  for all binders

### 5.3 Effect of Rest Periods on Fatigue Life

Strain-controlled interrupted loading was used to investigate the effect of rest periods on asphalt fatigue and healing. The unaged samples were subjected to interrupted TS tests with ten 5-min equally-spaced rest periods or one 50-min rest period at 3% and 5% strain levels. During the TS test with 10 rest periods (10RPs) each RP was inserted at the damage level corresponding to a 5% reduction in the initial complex modulus. During the fatigue test with one rest period (1RP), a 50-min rest period was inserted at the damage level corresponding to a 50% reduction in the initial complex modulus in the TS fatigue test without RP.

The introduction of RPs at specific damage levels can effectively bring the material healing potential into consideration [9]. It was observed that, at both strain levels,  $N_f$  increased more effectively during the 10RPs tests than the 1RP; this may have been due to the shorter intervals between RPs inserted at lower damage levels (Figure 4). Nevertheless, for 4% or more nanoclay, there was a noticeable difference between the trends of fatigue life at 3% and 5% strain indicating that the the nanoclay in the binder increased the fatigue resistance at the higher strain level, particularly for 10 RPs.

In consideration of the factors influencing the healing potential of binders, three healing indices were defined in this research to characterize the role of organoclay and rest periods in the healing, as shown in Eqns. (3) to (5):

$$\text{Net healing index (NHI)} = \left| 100 \times (S(i,10) - S(0,0))/S(0,0) \right| \tag{3}$$

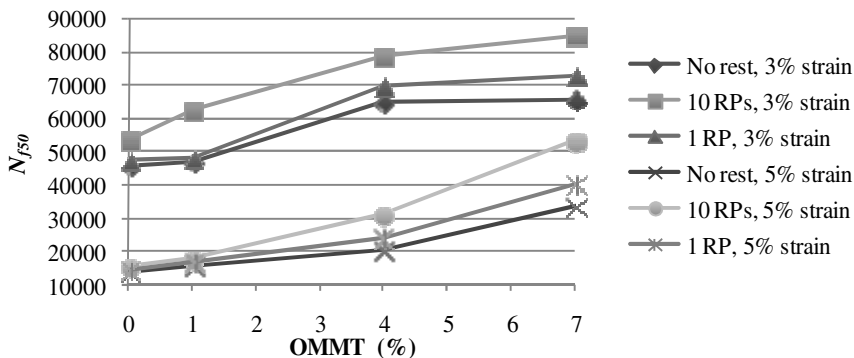


Fig. 4. Effect of rest periods on  $N_{f50}$  at 25°C and 10 Hz

$$\text{Healing agent effect index (HAI)} = \left| 100 \times (S(i, 10) - S(0, 10)) / S(0, 10) \right| \quad (4)$$

$$\text{Rest period effect index (RPI)} = \left| 100 \times (S(i, 10) - S(i, 0)) / S(i, 0) \right| \quad (5)$$

where  $S(i, j)$  is the absolute slope representing the microcracking speed for the  $i\%$  OMMT modified asphalt binder during a healing test with  $j$  number of RPs. After normalizing the complex modulus at each loading cycle to the initial complex modulus,  $S(i, 0)$  was calculated for data points corresponding to 15% to 50% modulus reduction during a fatigue test and  $S(i, 10)$  was calculated for data points corresponding to the third RP to tenth RP that best fit the straight line regression equation during a healing test. The NHI parameter presents the effectiveness of both RP insertion and OMMT addition on the microcracking speed test relative to damage growth speed during a fatigue test of the neat binder. HAI and RPI show the influence of the addition of OMMT on damage growth speed reduction relative to neat binder and the effect of rest time on speed reduction relative to the no rest condition.

Healing indices for unaged neat and modified binders at two strain levels using 10 Hz are plotted in Figure 5. Organoclay modification showed a considerable impact on the improvement of healing indices at both strains levels. This is generally consistent with the well-established concept that stiffer asphalt binders are more prone to microcracks and, thus, have more noticeable healing potential [12]. Furthermore, the higher strain level inversely affected NHI for neat and B+M1% samples, probably because of higher damage development at 5% strain, while the addition of higher amounts of OMMT appears to have prevented the opening of microcracks at the higher strain level.

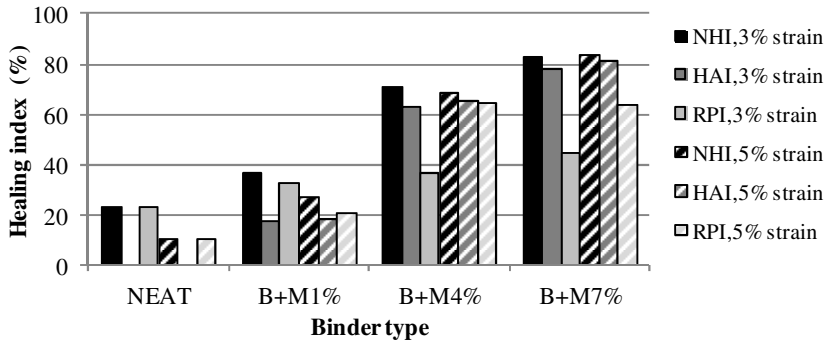


Fig. 5. Healing indices at 3% and 5% strain-controlled healing tests at 25°C

## 6 Conclusions

Rheological characteristics of different OMMT and bitumen composites were studied with a focus on fatigue properties. This research has shown that melt blending can effectively form an exfoliated structure in OMMT modified binders and OMMT modification can improve the rutting factors of asphalt binders. Using RDEC to evaluate the fatigue properties for neat and organoclay modified binders under several testing conditions revealed that nanoclay effectively increased the fatigue life and the aging resistance in accordance with changes in the mechanical properties. The good  $PV-N_f$  correlation in the results of the time sweep tests show the applicability of RDEC in fatigue life prediction of neat and OMMT modified binders. Finally, the calculation of three newly defined healing indices based on rest time and the OMMT effect on healing showed that a higher strain level and loading frequency decreased the healing potential of neat binder. However, samples containing organoclay were more prone to microcracks and more healable.

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# Effects of Polymer Modified Asphalt Emulsion (PMAE) on Pavement Reflective Cracking Performance

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**Abstract.** Hot mix asphalt (HMA) overlay is widely used to restore functional and structural capacity of existing asphalt pavements. In order to ensure that the overlay and the underlying layer act as a uniform composite layer and more effectively transfer and distribute the external load over a large area, a good bond between the overlay and underlying layer is a necessity. Overlay performance greatly depends on both the bond strength along the interface and the cracking resistance across the interface provided by the interface materials. For example, one way to potentially enhance HMA overlay cracking performance is by using a highly polymer modified asphalt emulsion (PMAE) at the interface to help relieve stress transferred across the interface, as well as to enhance bonding between layers. Consequently, it is necessary to evaluate the effects of the interface conditions on overlay cracking performance. The effects of two types of interface conditions on reflective cracking were evaluated: conventional tack coat and PMAE. Tests were performed on composite specimens with these two different interface conditions using a newly developed Composite Specimen Interface Cracking (CSIC) test. Tests were performed under repeated tensile loading while monitoring the rate of damage development. Results clearly indicated that the PMAE interface can significantly improve reflective cracking resistance. However, these test results need further experimental road test evaluation and verification.

**Keywords:** Polymer Modified Asphalt Emulsion, Interface, Cracking, Bond, Asphalt Overlay.

## 1 Introduction

Hot-mix asphalt (HMA) overlays are used to restore safety and ride quality and increase structural capacity for existing pavements as a preventive maintenance and/or rehabilitation technique. One of the concerns associated with HMA overlay is reflective cracking, which is initiated by discrete discontinuities such as cracks on existing pavement and propagates upward through the HMA overlay. The most

recognized driving force of reflective cracking is the horizontal movement concentrated at cracks and differential vertical movement across the cracks in existing pavement [1]. The resistance of HMA overlay to reflective cracking relies on the quality of overlay mixture, the bond between the overlay and existing pavement, and the conditions of the existing pavement. It is important to ensure a good bond between HMA overlay and existing pavement since poor bonding or debonding can reduce load transfer capability and lead to pavement distress such as slippage [2]. Poor tack coat between pavement layers has been reported to be among the causes of debonding [3]. Despite the potential stress relieving benefits of polymer modified asphalt emulsion (PMAE), little research has been done to evaluate the effect of PMAE on overlay reflective cracking resistance when it is applied between overlay and existing pavement.

Meanwhile, various methods have been used to reduce reflective cracking in HMA overlay including reinforcing the overlay, stress relieving interlayers, and restrengthening original pavement prior to overlaying [4]. However, field performance of interlayers in reflective cracking reduction has ranged from clear successes to total failures [5, 6, 7, 8]. In order to evaluate the effect of interlayers on pavement reflective cracking, laboratory test methods must allow reflection cracks to initiate in existing pavement and propagate across the interlayer and into the overlay without slipping along the interlayer [9, 10]. Test methods commonly used to evaluate reflective cracking resistance of interlayers require large specimens, which are relatively difficult to fabricate in the laboratory and more difficult to obtain from the field [11, 12, 13, 14, 15].

This paper presents the results of a research work that investigated the phenomenon of crack propagation through pavement layer interface and into overlay(s) using a newly developed test method, the Composite Specimen Interface Cracking (CSIC) test. Reflection cracks were simulated by installing teflon spacer in existing pavement layer of composite specimen, which can be prepared through Superpave<sup>TM</sup> gyratory compaction.

## 2 Objectives

The objectives of this study are as follows:

- To present a detailed test method development process for the evaluation of tack coat interface effect on overlay reflective cracking performance;
- To present specimen preparation, testing and data interpretation methods;
- To analyze the effect of PMAE on overlay reflective cracking performance.

## 3 Scope

This study primarily focused on evaluating effects of two different bonded interfaces on pavement reflective cracking resistance. Dense-graded mixture was

used for both composite specimen layers with two types of interface bonding agents, i.e. conventional tack coat and PMAE. Tests were conducted at one temperature (10°C), which has been determined in prior fracture research on the same material at the University of Florida to correlate well with cracking performance of pavements in the field.

## 4 Materials

A dense-graded mixture commonly used by the Florida Department of Transportation (FDOT) as a structural layer, identified as Dense-GA-Granite, was used to produce composite specimens. Its aggregate was made up of four components: coarse aggregate, fine aggregate, screenings, and sand. Its gradation is shown in Table 1. The mixture was designed according to the Superpave™ volumetric mix design method. The binder used for the mixture was PG 67-22 at the rate of 4.8%.

**Table 1.** Aggregate gradation

Sieve Size	19.00 mm	12.50 mm	9.50 mm	4.75 mm	2.36 mm	1.18 mm	600 µm	300 µm	150 µm	75 µm
% Passing	100.0	99.0	86.0	65.0	47.0	32.0	23.0	14.0	7.0	4.2

Two types of tack coats, conventional unmodified asphalt emulsion and polymer modified asphalt emulsion (PMAE, a plant-produced, anionic emulsion), were evaluated in this study. The properties of conventional tack coat (an anionic slow setting asphalt emulsion, ASTM type SS-1) and PMAE (The polymer family was of the styrene-butadiene or styrene-butadiene-styrene block copolymer type) are presented in Table 2.

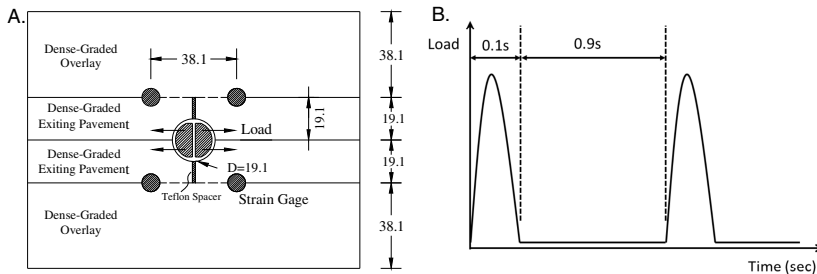
## 5 Test Method and Sample Preparation

The CSIC test system included the environmental chamber cooling system, MTS loading system, measurement and data acquisition system. The testing composite specimen geometry and loading configuration are shown in Figure 1. The test was performed by applying a repeated haversine waveform load to the specimen for a period of 0.1 second followed by a rest period of 0.9 seconds (See Figure 1-B). The distinctive features of this test are specimen symmetry and application of load inside the stress concentrator. The hole at the center of the specimen serves both as a stress concentrator and a platform for load application.

In the laboratory, composite specimens can be prepared by compacting loose dense-graded mixture on top of the pre-compacted dense-graded existing pavement

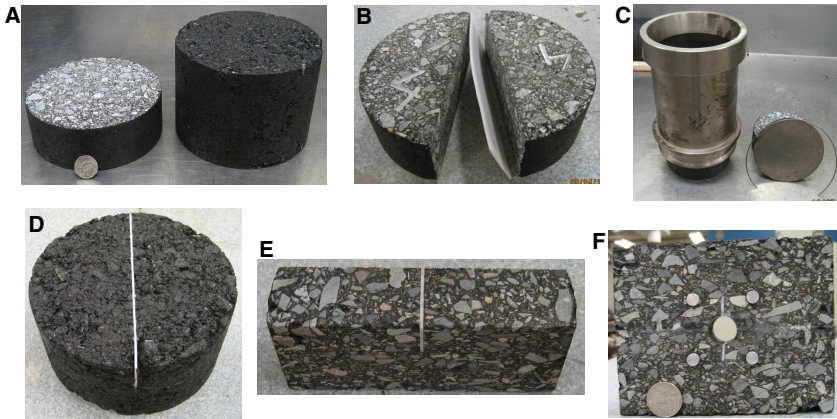
**Table 2.** Properties of conventional tack coat and PMAE

Tests on Conventional Tack Coat Residue	AASHTO/ASTM	Specification	Test Result
Penetration (dmm), 25°C(77°F), 100g, 5s	T 49 / D 5	100.0 – 200.0	129.0
Solubility in Trichloroethylene, %	T 44 / D 2042	97.5 min	99.6
Ductility, 25°C (77°F), 5cm/min	T 59 / D 113	40.0 min	58.0 +
Tests on PMAE Residue	AASHTO/ASTM	Specification	Test Result
Penetration (dmm), 25°C (77°F), 100g, 5s	AASHTO T49	90.0 – 150.0	115.0
Elastic recovery at 10°C (50°F), %	AASHTO T301	58.0 min	75.0



**Fig. 1.** A. Composite specimen geometry (Unit: mm) and B. loading mode

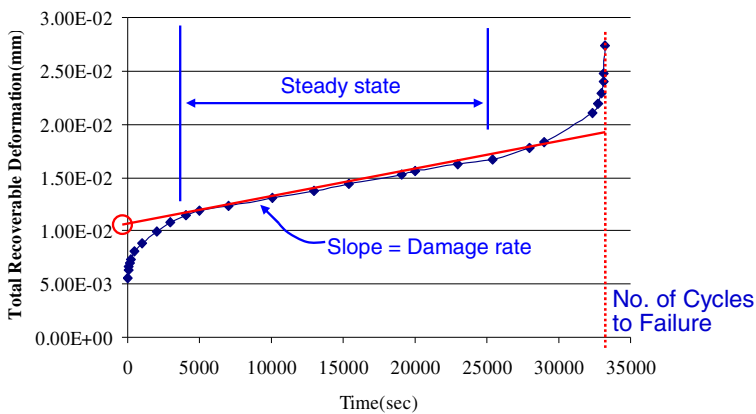
layer using Superpave™ Gyrotory Compactor (SGC). By half-slicing, two dense-graded specimens for lower layer were obtained from each of the Superpave™ gyrotory compacted specimen pill. Dense-graded lower layers were cut in half along the diameter to install the teflon spacer. The diamond saw blade was barely thinner than the teflon sheet. Interface bonding agents, conventional tack coat and PMAE, were applied on the cut surface of dense-graded specimen using a silicon rubber mold. Loose dense-graded overlay mixture was then compacted on top of the lower dense-graded layer after it was reinserted into the gyrotory compaction mold. The overlay mixture was compacted to the desired thickness to achieve the design air voids. Through a series of cutting, gluing, and grooving operations, the completed composite specimen was obtained. The composite specimen preparation process is illustrated in Figure 2. Teflon spacer (See Figure 2-F) was introduced in composite specimens to represent an existing crack.



**Fig. 2.** Composite specimen preparation A. Half slicing; B. Cut along diameter; C. Layered compaction; D. Half-composite specimen; E. Cutting; F. Final epoxying, coring stress concentrator and carbon fiber reinforcement of the ends.

### 6 Data Collection and Interpretation Method

As reported elsewhere [16], extensometer data was acquired for calculation of the specimen’s total recoverable deformation if a sudden deformation change occurred, or whenever desired. The number of loading cycles required to break the composite specimen (See Figure 3) and the damage rate were used to compare reflective cracking resistance for specimens with different interface conditions subjected to the same loading conditions. The damage rate was defined as the slope of the steady state response portion of total recoverable deformation progression curve as shown by the line in Figure 3.



**Fig. 3.** Typical total recoverable deformation and damage rate

### 7 Test Results

As part of the test method development, three replicate specimens without teflon spacer for each of the two types of interfaces, 0.453 l/m<sup>2</sup> diluted conventional tack coat and 0.905 l/m<sup>2</sup> PMAE, were first prepared for reflective cracking tests. These two application rates were selected according to manufacturer’s recommendation. The composite specimen was prepared using the sample preparation approach presented earlier without teflon spacer installation. The geometry and strain gauge distribution of testing specimens were the same as presented in Figure 1-A. Tests were performed under the loading mode stated in Figure 1-B with 2535N peak load. Tests results are presented in Figure 4 for number of loading cycles to failure (See Figure 3 for failure criterion) and Figure 5 for damage rate.

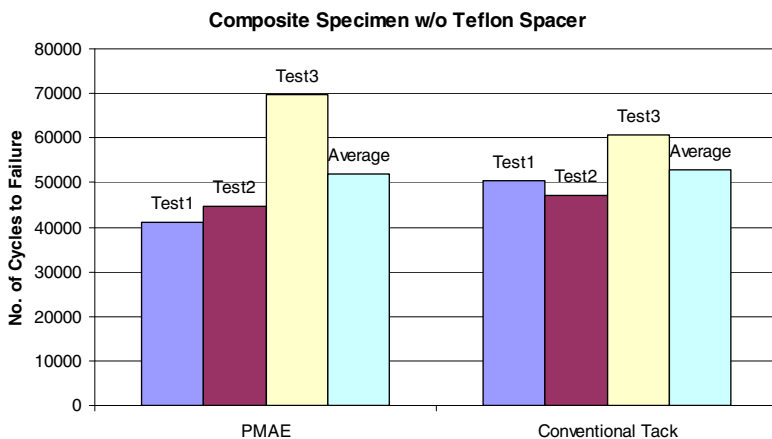


Fig. 4. Number of cycles to failure of PMAE and conventional tack

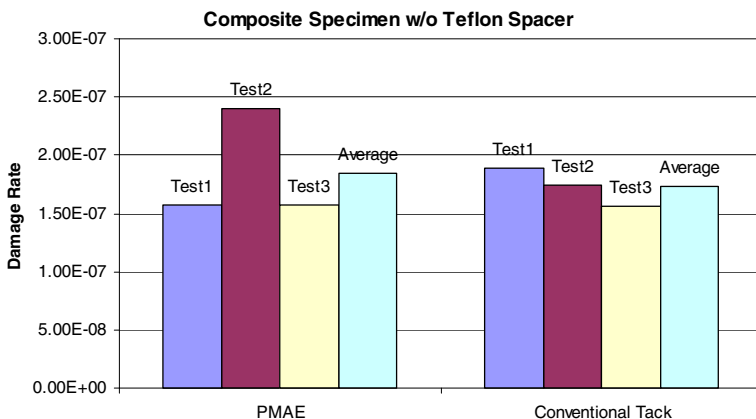
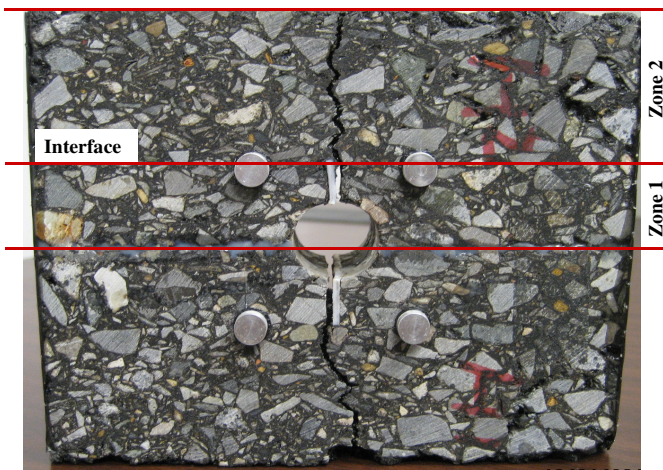


Fig. 5. Damage rate of PMAE and conventional tack

Specimens with PMAE and diluted conventional tack interface exhibited almost the same reflective cracking resistance. This might be explained by the fact that composite specimens with both types of interface conditions have the same crack length (See Zone 1+Zone 2 in Figure 6). Interface bonding agents started to dissipate stresses only after cracks propagated to the interface and considerable amount of damage was accumulated in zone 2 during zone 1 crack propagation. For both types of bonding agents, zone 2 crack propagation entered unstable/final crack propagation stage. As compared with zone 1, zone 2 crack propagation time is relatively short, which is the time allowed for PMAE to dissipate stresses. This led to the same reflective cracking resistance for both types of bonding conditions.

Three replicate specimens with teflon spacer for each of the two types of interfaces, 0.453 l/m<sup>2</sup> diluted conventional tack coat and 0.905 l/m<sup>2</sup> PMAE, were prepared. Half the load used in composite specimens without teflon spacer testing, 1245N, was applied because of the newly introduced teflon spacer stress concentration. Typical composite specimen with teflon spacer failure mode is shown in Figure 6; this appears to correspond nicely with the crack propagation phenomenon in the field. Careful examination of the strain gauge deformations indicated that the specimens were not uniformly loaded, which made the results unreliable. Therefore, the results with 1245N peak load were not included in the following analysis.



**Fig. 6.** Typical composite specimen failure mode

Peak load was increased to 1912 and 2313N to reduce the testing time. Tests results were presented in Figure 7 for number of loading cycles to failure and Figure 8 for damage rate. Results presented in Figures 7 and 8 indicate that specimens with PMAE interface exhibited higher fracture resistance than specimens with conventional tack coat interface. These results also indicate that PMAE applied at the interface took effect right from the moment of loading with the introduction of teflon spacer as stress concentrator, which leads to better cracking performance for specimens with PMAE interface.



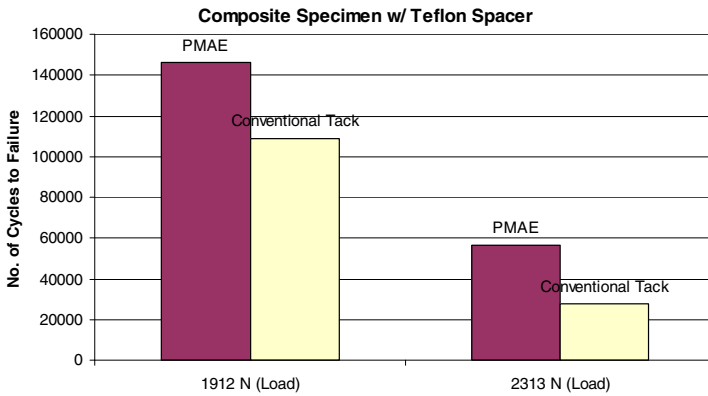


Fig. 7. Number of cycles to failure of PMAE and conventional tack

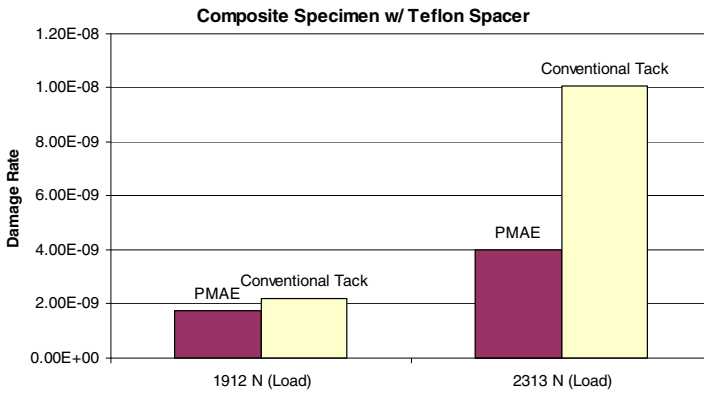


Fig. 8. Damage rate of PMAE and conventional tack

## 8 Discussion

The test results presented above indicate that composite specimens with teflon spacer successfully simulated reflective cracking in existing pavement layer and its propagation through interface. For composite specimens without teflon spacer, both reflective cracking initiation in existing pavement layer and propagation through interface and into overlay was simulated. Interface bonding agents were not engaged in stress dissipation and/or reducing stress transmitted through interface until cracks propagated to interface (Zone 1 in Figure 6). Because of high fracture energy density of dense-graded mixture in zone 1, it took large number of loading cycles for the crack to initiate and propagate through zone 1; considerable amount of damage was accumulated in zone 2 during this crack initiation and propagation throughout zone 1.

However, it took considerably less number of loading cycles for the crack to initiate and propagate through zone 1 when dense-graded mixture in zone 1 was replaced with open-graded mixture because of its low fracture energy resistance [17]. This explains the reason why composite specimen composed of open-graded friction course and dense-graded structural layer successfully identified stress dissipating benefits of interface bonding agents for top-down cracking evaluation without the introduction of teflon spacer [16]. This analysis concludes that reflection cracks represented as teflon spacer installed in existing pavement layer is a necessity for the evaluation of interface/interlayer effects on reflective cracking resistance.

## 9 Summary and Conclusions

Symmetric composite specimen with teflon spacer was successfully used to simulate reflective cracking in existing pavement for interface cracking resistance evaluation. This composite specimen testing method identified the enhancement of PMAE on reflective cracking resistance when it was applied between dense-graded overlay and existing pavement layer. The overlay reflective cracking resistance depends on both tack coat characteristics and its application rate. The PMAE helps to dissipate the stresses accumulated near the interface and/or reduce the stress transmitted through the interface.

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# Characterization of Long Term Field Aging of Polymer Modified Bitumen in Porous Asphalt

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**Abstract.** The effect of long term field aging on different types of polymer modified binders used in two-layer porous asphalt is studied using different test methods. Chemical and rheological tests are performed on samples taken from road sections at different moments in time in search of trends in long term field aging.

The results show that chemical analyses performed with GPC and infrared can be used to study the effect of short term aging. However, these tests are not accurate enough to establish the more subtle trends that play a role in long term field aging. The tests proved to be very valuable to determine the type of modification in the binder.

Master curves showing the complex modulus and the phase angle at different temperatures determined with the Dynamic Shear Rheometer (DSR) show linear trends for long term aging when an aging period of 8 years is observed. As expected the complex modulus increases with aging, while the phase angle decreases. There is one exception to this, in polymer modified binders the phase angle decrease with age at low loading frequencies which can be related to degradation of the polymer modification.

Long term field aging, polymer modified binder, GPC, FTIR, DSR.

## 1 Introduction

The performance of two layered porous asphalt surfaces is superior with respect to the reduction of the noise produced by road-tyre interaction and the amount of splash and spray during rain, compared to dense surface layers. However these open surface roads have a drawback with respect to durability, namely their relative short service life compared to dense asphalt layers. The governing damage mechanism for two layered porous asphalt is ravelling, the loss of stones due to cracking of the connecting mastic. As aging processes are accelerated by the open structure of this mixes, it is assumed that the failure mode ravelling is related to the fast aging process of open mixtures. Two-layered porous asphalt in the Netherlands is usually made with a polymer modified binder. Therefore the aging of polymer modified binder will be investigated within this research.

While aging is a well known phenomenon in asphalt [1], the long term aging behaviour in practise of porous asphalt and the mechanisms behind this are

relatively unknown. To obtain a broad view of the characteristics of aging of two layered porous asphalt an extensive research program has been set-up to monitor several parameters that are related to aging. On the one hand tests are performed to describe the change in mechanical behaviour of the material through time. On the other hand chemical tests are done to track changes in the composition of the material in order to look for driving mechanism behind aging.

In order to monitor actual aging in practice, the measurements are performed on samples obtained from field sections. The samples are taken from the so called ZEBRA sections; these are test sections of two-layered porous asphalt roads realized by Rijkswaterstaat for research purposes. At four different locations in the Dutch highway network (A15, A28, A30, A59), the same eight types of two layered porous asphalt surfaces were realized between 2002 and 2004. For this research three of the eight asphalt mixtures were selected for further research on aging of polymer modified binders. At different moments in time cores were drilled at the four locations of the three selected mixtures. Performance of the virgin binder is also incorporated within the research program.

The aim of the research is to look for trends in the material behaviour of polymer modified bitumen over time in practice. Chemical and mechanical tests are performed in order to look for trends in behaviour and the possible relation between these trends. In order to assess if the type of binder influences the aging, three different types of polymer binders are compared. In order to see if the loading influences aging four road locations and different positions in the road are evaluated. This article describes the most important observations, however as the results cover over a hundred samples, not all results can be described in detail, full results can be found in [2, 3].

## 2 Aging of Bitumen

The material properties of asphalt are closely related to the behaviour of bitumen [4]. The rheological behaviour of bitumen changes during production of asphalt and continues to change over time. This phenomenon of changing behaviour is called aging [5]. In asphalt a distinction is made between aging that occurs while producing and applying asphalt, this is referred to as short term aging and aging that occurs during the service life of the road, so called long term aging.

Aging is characterized by an increase in stiffness and an increase in viscosity of the binder. To quantify this change in mechanical behavior, a DSR test can be performed. In this test a sinusoidal loading is applied at a certain frequency and the deformation under this loading is measured. Due to the fact that bitumen is a visco-elastic material there is time delay between the loading and the deformation, this is called a phase change. Therefore this test results in a complex modulus ( $G^*$ ) and a phase angle ( $\delta$ ) which together give an impression of the visco-elastic behavior. In general for bitumen the complex modulus will increase and the phase angle will decrease due to aging.

As aging is not fully understood at the moment there are several processes that are associated with aging [5]. The processes that were thought relevant with respect to long term aging, the main topic of this research, are introduced in short

below. The test methods that are chosen in this research to monitor the described processes are also introduced. In the next paragraph more details about the used methods will be given.

With time molecules within the bitumen interconnect with each other, which increases the average molecule size. This chemical change to larger molecules leads to an increase in stiffness of the bitumen [6]. The change in molecule size can be determined with Gel Permeation Chromatography (GPC).

The aging process of bitumen is further characterized by oxidation of the bitumen. During oxidation mainly ketones (C=O) and sulfoxides (S=O) are formed. Due to the fact that this reaction turns non-polar fractions into polar fractions the viscosity of the binder increases with aging. The sum of the ketones and sulfoxides indicate the relative oxidation of the binder [1]. The amount of ketones and sulfoxides can be determined with help of Infra-red Spectroscopy (FTIR).

Steric hardening is the process that the individual molecules in bitumen tend to slowly form structures that are more energy efficient [1]. This type of hardening can be reversed by adding energy to the material true reheating or by applying a load. There are no tests performed to quantify this type of aging.

The processes where lighter constituents of the bitumen either evaporate, segregate or are absorbed by aggregates, won't be considered separately in this article as they are closer related to the short term aging which is less of interest in this research [5]. However it is possible that part of the change in behaviour measured with DSR or changes in the molecule size measured by the GPC are caused by these effects.

The previous remarks all discuss the general effects of aging of unmodified binders. However in case of this research the focus is on polymer modified binders. Therefore in analyzing the results the following has to be considered on aging of polymer modified binders. Aging of polymer modified binders will result in the degradation or break-up of the polymer [7, 8, 9]. Therefore the effectiveness of the modification could be reduced as aging progresses. However the reduction of molecule sizes will also decrease the stiffness of the binder and will therefore counteract the effects of aging.

### **3 Test Program**

#### ***3.1 Samples***

Two-layer porous asphalt has a bottom layer with coarse aggregates (11/16 mm) and a top layer with fine aggregates (2/6 or 4/8 mm). The fine top layer always contains a polymer modified binder in the Netherlands. The bottom layer can be made with normal binders or with modified binders. In this research the behaviour of three different types of two-layer porous asphalt are analyzed, A, B and C. In section A and B the same polymer modified binder has been used for the top and the bottom layer. In section C different binders are used for the layers, the binder used for the top layer is SBS modified and straight run bitumen is used for the

bottom layer. An overview of modifications per mixture is given in table 1. All three mixtures have 4/8 mm graded top layers. The binder was recovered from laboratory and field specimens following RAW 2005 test 110, which refers to a Dutch norm (NEN-3917). The method uses dichloromethane as a solvent to extract the binder from the mixes, after this the solvent is evaporated from the binder by vacuum distillation.

**Table 1.** Modification type of the bitumen samples per road section

Section code	Modification type toplayer	Modification type bottumlayer
A	SBS +EVA	SBS +EVA
B	EVA	EVA
C	SBS	-

Cores were drilled over a period of four years (2006, 2007, 2008 and 2009), as the roads were constructed from 2002 to 2004, the moment of sampling results in data points showing the performance from 2 to 7 years after construction. At each road location, of each of the three sections, seven cores were taken from the right wheel track of the right lane and seven cores from the emergency lane. The two-layer PA is cut along the interface of the top and the bottom layer, parallel to the pavement surface, in order to separate the layers. From all the top layers the bitumen was recovered. In order to reduce the amount of specimens, the bitumen was only recovered from the bottom layers of mixture B and C for three of the four sites A15, A28 and A30. Six of the seven asphalt cores are processed together in order to be able to extract enough bitumen for all tests and to obtain representative average that is representative for the road. The seventh core is kept as a back-up sample.

From two of the four locations, the A59 and the A15, samples were taken when the road was constructed, at the age of 0 years. This means that these samples show the effect of short term aging caused by construction, but long term aging effects are not yet present. As these measurements at  $t=0$  are an important reference with respect to long term aging, they are named startingpoint value within this research.

### 3.2 Test Methods

*Gel Permeation Chromatography (GPC)* is used to analyze the Molecular Weight Distribution of the (polymer modified) bitumen samples. GPC is a chromatographic method in which particles are separated based on their molecular size. In the standard testing procedure [7], the sample is solved in THF (tetra hydro furan). This method is used to analyse the 70/100 pen grade bitumen samples and SBS polymer modified samples. EVA doesn't dissolve well in THF, therefore toluene that is heated to 60°C is used as solvent with a solvation period of 30 minutes. The changes in molecular weight for the bitumen component and the modification component are evaluated separately.

*The Attenuated Total Refraction Fourier Transform Infrared (ATR/FTIR)* spectroscopy is a technique used to identify functional groups in organic compounds, which is an effective method to investigate the chemical composition of materials [10]. The apparatus used to conduct the IR test was a Galaxy Series FTIR 3000. To minimize absorption of moisture, which could influence the results of the IR spectrum, all bitumen samples were put in a desiccator. In addition, a device was connected to the IR apparatus to introduce nitrogen into the system to help decrease the presence of moisture inside the ATR/FTIR apparatus.

*Dynamic shear tests* were conducted using a Rheometrics RAA asphalt analyzer – Dynamic Shear Rheometer (DSR). With help of the DSR test the complex modulus and phase angle at different temperatures and loading frequencies were determined. The specimen is placed between two circular parallel plates. The upper plate is fixed; while the lower part oscillates applying the shear strain during testing. The test is carried out in a temperature controlled mini-oven (chamber). The temperature of the sample is controlled with air. The temperature control has an accuracy of  $\pm 0.1^\circ\text{C}$  when adequate time (usually 10 min) is provided to stabilize the temperature. In the DSR test, the bituminous materials were subjected to a sinusoidal loading of constant strain at different loading frequencies (frequency sweep). The frequency sweep test was conducted at eight different temperatures ranging between  $-10^\circ\text{C}$  and  $60^\circ\text{C}$ . Every test was carried out at frequencies ranging between 0.1 –400 rad/s. Two parallel plate geometries with a diameter of 8 mm and 25 mm were used.

## **4 Test Results**

### **4.1 General Remarks**

During the analyses of the data, the importance of having a startinpoint value became very clear. As the amount of short term aging caused by production is a very important value as a reference for the long term aging. The quality of the trends found in long term aging is less if these startingpoint values are missing. In future research on long term aging in practice the effect of short term aging should be documented more extensively.

### **4.2 Molecular Size**

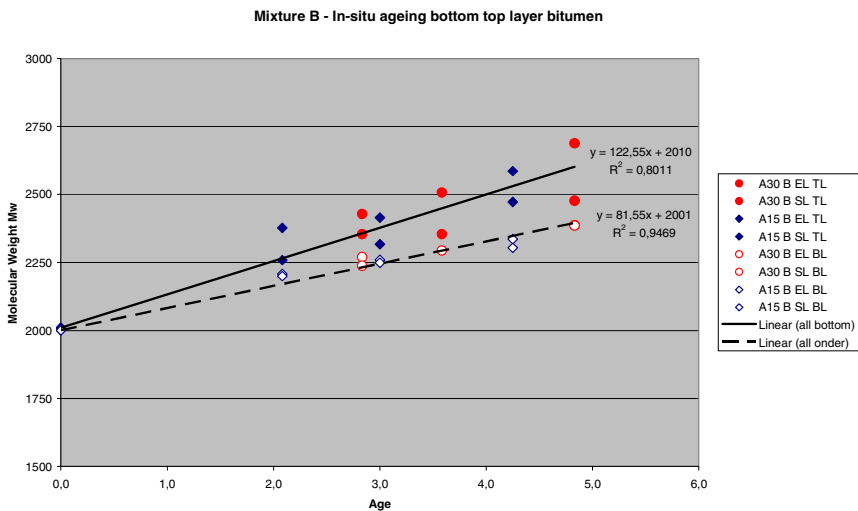
Tests with GPC showed that some bitumen samples of later constructed road sections turned out to have another “recipe”. On confrontation with this observation contractors admitted that they had tried to improve their binder from the first constructed section. These deviating samples were left out for further analyses.

The GPC results show a clear and significant reduction in the molecular size of the SBS polymer modification from virgin binder to the mixture on the road at  $t=0$ . The average reduction of the molecule size is less than half of the original



molecule size. This phenomenon is already observed in other research [7, 9]. Changes in the molecular size over time from 2 to 7 years do not lead to any trends as the differences are not statistically significant due to scatter in the data. The degradation in the first three years after construction seems to be most significant, but as we have limited data of  $t=0$  and short after, these changes are also not statistically significant. The degradation of EVA was not retraceable as the concentration of the EVA was extremely low in all retracted samples. It is assumed that this is caused due to the applied binder recovery process, where cold extraction with methylene chloride is performed.

The aging of the bitumen component is also studied. Here a slight increase in molecular size can be seen between the virgin binder and the mixture on the road at  $t=0$ , which indicates a polymerisation and/or an evaporation. A further increase in the molecular size over time is visible, but no trend in time can be deduced as the scatter data is large and not many data points are available from the first three years where the most changes are observed. However, one conclusion can be based on the data, there is a difference in aging behaviour of the bitumen component between the top and the bottom layer of mixture B, the bottom layer ages slower. This is shown in figure 1, by the fact that  $M_w$ , a parameter that indicates the amount of larger molecules, increases faster for the top layer.



**Fig. 1.** Different aging trends between bottom (open points (BL)) and top layer (closed data points (TL))with respect to molecule size  $M_w$

### 4.3 Oxidation

With help of quantitative analysis of the IR spectrum changes in oxidation levels and modification levels are examined. Aging of the bitumen component is assessed by looking for changes in the absorption peaks that correspond to the aging products

ketones (C=O) at 1700 cm<sup>-1</sup> and sulfoxides (S=O) at 1030 cm<sup>-1</sup>. For the modifications also specific peaks can be distinguished. EVA, poly(ethylene-vinylacetate), is a combination of polyethylene and polyvinylacetate. The infrared spectrum is a combination of the peaks of these two components. Characteristic peaks are the carbonyl stretch (C=O) at 1739 cm<sup>-1</sup> and the C-O single bond stretch of the acetate group at 1242 cm<sup>-1</sup>. SBS, poly(styrene-butadiene), is a combination of polystyrene and polybutadiene. The infrared spectrum is a combination of the peaks of the two components as listed above. Characteristic peaks are the C=C stretch of butadiene at 966 cm<sup>-1</sup> and the C-H bend of styrene at 699 cm<sup>-1</sup>.

The FITR results showed an increase in C=O and S=O peaks after short term aging for all mixtures. Trends with respect to long term aging (2 to 7 years) were not visible as the scatter in the data was large, as is demonstrated by figure 2. The degradation of EVA was not retraceable as the concentration of the EVA was extremely low in all retracted samples, probably due to the used extraction method. The degradation of the SBS was not clearly visible for short and long term aging in practise based on the chosen peaks, again large scatter in data is observed.

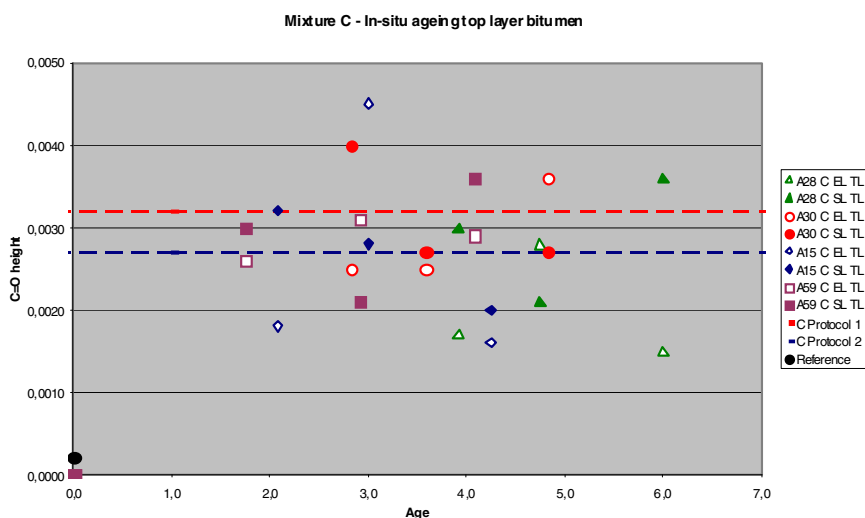


Fig. 2. Changes in the C=O peak (1700 cm<sup>-1</sup>) over time in field aging

#### 4.4 Rheological Behaviour

The master curves measured with the DSR show that as the loading is applied faster the material responds stiffer (higher complex modulus) and more elastic (lower phase angle). Using the Time-Temperature Superposition (TTS) principle master curves of the complex modulus and phase angle were constructed for a reference temperature of 20°C. Polymer modifications influence the master curve quite significantly especially at lower loading rates, as can be seen in figure 3, where the master curve of an unmodified binder and of three modified binders is shown.

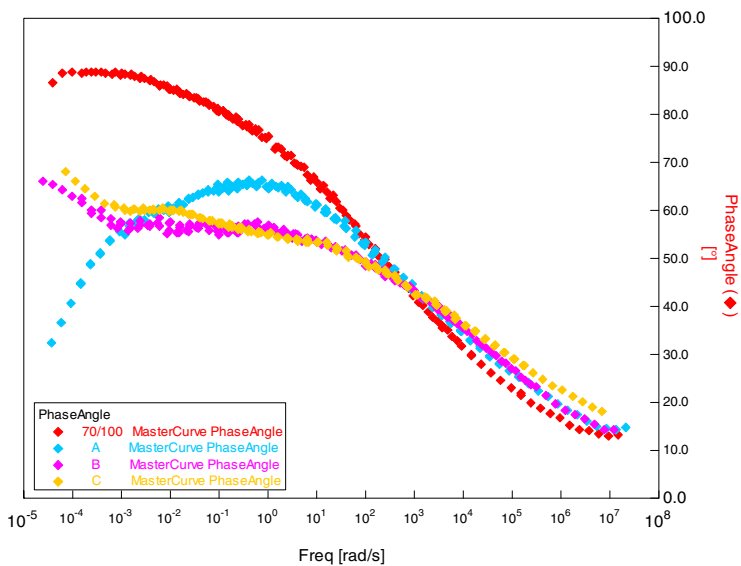


Fig. 3. Master curves of three modified and one unmodified binder

The effect of ageing for conventional bitumen is an increase in the complex modulus and a decrease in the phase angle over the whole frequency range [11]. To quantify the rate of ageing of the binders, the phase angle values and the complex modulus values were determined at four different frequencies, 0.001, 0.1, 10 and 1000 rad/sec for the different samples.

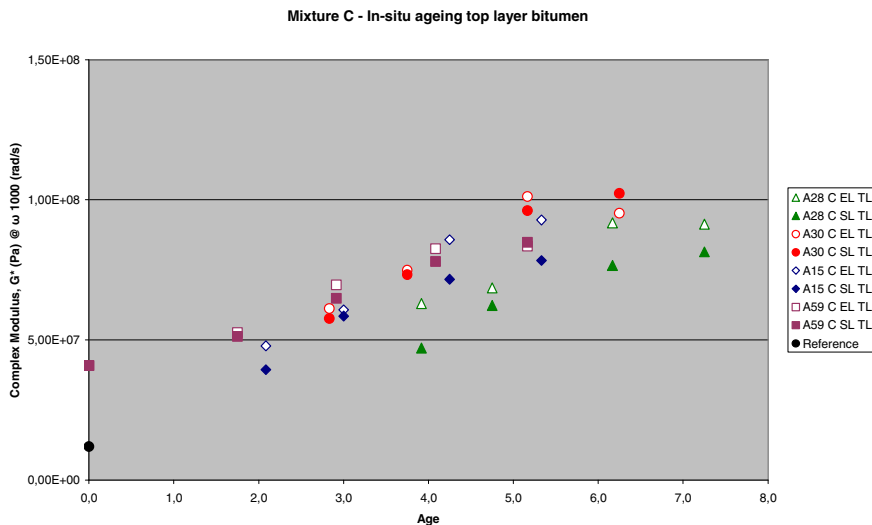


Fig. 4. Complex modulus at  $\omega$  1000 rad/sec at different moments in time

The analyses for the complex modulus and the phase angle show a trend in time, an example of a trend can be seen in figure 4. The figure shows that the binder becomes increasingly stiffer with time. Short term aging can be seen as the black spot at  $t=0$  corresponds to the virgin binder and the square at  $t=0$  with the measured value after construction. The linear trends are statistically significant both for the complex modulus as the phase angle.

At all frequencies the complex modulus is increasingly stiff as time passes. The phase angle however becomes lower for frequencies 0.1, 10 and 1000, while it increases for the frequency 0.001, see figure 5. The increase in phase angle at low frequencies might be explained by degradation of the polymer, which means that the polymer network degrades. There are two arguments that support this assumption. Looking at the GPC results trends were not statistically significant, however it seems likely that more measurements will show that the modification degrades over time. A second argument is the fact that this increase in phase angle at low frequencies isn't seen in mixture B, where the EVA modification is assumed to be lost in the extraction process. The effect of degradation of SBS polymer trough aging and its impact in the phase angle is also described in [12].

Furthermore the faster degradation of the top layers compared to the bottom layers can also be found in the complex modulus and the phase angle.

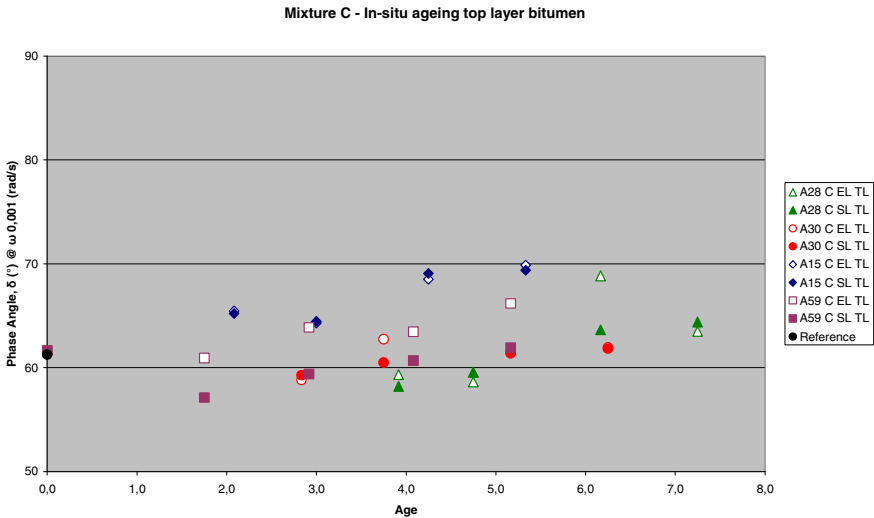


Fig. 5. Phase Angle at  $\omega$  0.001 rad/sec at different moments in time

## 5 Conclusions and Recommendations

Molecular size determination performed with GPC can be used to determine the effects of short term aging in polymer modified bitumen. However for long term aging in the field the method is less useful as the scatter is large compared to the

changes over time. Nonetheless GPC very useful to determine the type of binder. As the method shows degradation of polymers after short term aging clearly it might be interesting to check the presence of modifications in a really old polymer modified porous mixture as these differences are expected to be large compared to the scatter.

Infrared analyses results in significant changes in short term aging of polymer modified bitumen, however looking for trends in long term field aging with this method is less interesting due to a large scatter in results compared to expected changes over time. It would be interesting to investigate the absolute amount of oxidation in long term aging in general, as the oxidation observed in this research is limited.

Rheological measurements performed with the DSR show a clear trend in long term aging of polymer modified bitumen. Results measured up to 8 years show a linear trend in between the complex modulus and the phase angle and service life in the field. As expected the complex modulus increases with aging, while the phase angle decreases. There is one exception to this, the phase angle of polymer modified binders decrease with aging at low loading frequencies. This could be related to the degradation of the polymer. A next step in this research could be to match the phase angle and the complex modulus to the performance of the mixture on the road with respect to ravelling as this is the main degradation mechanism for porous asphalt.

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# Bending Beam Rheological Evaluation of Wax Modified Asphalt Binders

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**Abstract.** A simple Bending Beam Rheometer (BBR) test to determine binder low temperature properties from asphalt mixtures was recently developed by the University of Minnesota. The mixture BBR test was performed concurrent with binder BBR testing to evaluate the effect wax addition has on stiffness/physical hardening and potential for low temperature cracking in asphalt mixtures. Asphalt mixture and binder BBR tests were performed at low temperatures typical of binder grading, after equivalent low temperature conditioning in air for incremental extended periods up to 32 days. Results from temperature saturation are compared to conditioning corresponding to normal 20 hours of PAV aging and testing in accordance with parameters specified in AASHTO M320. Data produced by the BBR suggests that at temperatures close to or below the glass transition temperature mixture beams became less stiff with time when held at a constant temperature. This effect appears to be reversible if a heating/annealing cycle is applied to the beams. This paper reports further investigation of the observed phenomenon and the potential that observations may be indicative of low temperature micro-cracking and subsequent healing in asphalt mixture.

## 1 Introduction

Wax-like additives which melt in a temperature range between the highest pavement temperature and the desired compaction temperature have been used as an asphalt additive for warm mix and asphalt compaction aide applications [1]. Waxes have long been viewed as a problematic component within an asphalt binder, largely due to their negative impact on bitumen temperature susceptibility. With this in consideration, the primary concern in this study was how addition of wax to asphalt to reduce construction temperatures can be beneficial with respect to overall binder performance. More importantly, can current specifications distinguish between beneficial additives versus those that might have a negative impact on the performance of hot mix asphalt (HMA).

Typical waxes melt within the pavement service temperature range. When even a small fraction of the asphalt undergoes a phase change from solid to liquid over a short temperature range, the Shell bitumen test data charts exhibit a unique behaviour as defined by “W” type asphalts. With added wax, the resulting binder

is both harder at low pavement temperatures and softer at high pavement temperatures. Both of these characteristics are considered as detrimental performance characteristics. When hot candle-wax is poured on a surface, it quickly solidifies to a soft, pliable mass. Over time it crystallizes into to a hard, non-ductile chip which occupies significantly less volume. This volume change also causes the well-known indentation of the candle wax around the wick as the ductile amorphous wax continues to crystallize.

More recent asphalt research studies suggest that waxes also exist in bitumen as two different physical states corresponding to amorphous and microcrystalline wax. As pavements cool to low temperatures, the solid-solid phase transition between the two states is accompanied by a significant decrease in volume, which yields a corresponding increase in binder density. This phenomenon, called reversible physical hardening (RPH), was first identified by Bahia and Anderson during Strategic Highway Research Program (SHRP) studies of the Bending Beam Rheometer [2]. They noted continuous stiffening of certain asphalt beams as they were held at  $-15^{\circ}\text{C}$  for up to four days. Dilatometric studies confirmed that an increase in stiffness was directly correlated to an increase in density under the corresponding storage conditions. Brule et al. [1] used analytical tools such as Differential Scanning Calorimetry (DSC), Phase Contrast Microscopy, Polarized Light Microscopy, Dilatometric measurements, Nuclear Magnetic Resonance (NMR), and Dynamic Shear rheology to conclusively tie RPH to the wax solid-solid phase transition from amorphous to microcrystalline states. The amount of hardening is significant, and detrimental to asphalt quality. Asphalt AAM, the SHRP core asphalt highest in wax, changes from a PG 64-22 to PG 64-10 after being stored at  $-15^{\circ}\text{C}$  for four days. Upon reheating to  $60^{\circ}\text{C}$ , the wax crystals melt, and the binder is again PG 64-22. Two research teams led by Planche and Turner separately identified the crystallizable fraction as measured by DSC to be directly related to the physical hardening effect as measured by DSR [3, 4, 5].

For the purposes of the study of this paper, waxes were defined to be Paraffin and Non-paraffin wax. Paraffin waxes are those waxes which have molecular size less than C45 and have melting points less than  $70^{\circ}\text{C}$  ( $158^{\circ}\text{F}$ ). Non-paraffin waxes are those waxes that have molecular size greater than C45 and have melting points greater than  $70^{\circ}\text{C}$  ( $158^{\circ}\text{F}$ ). Paraffin waxes are, or are related to, refined/de-oiled microcrystalline waxes derived from crude oil. Non-paraffin waxes include, but are not limited to, natural waxes (animal and vegetable waxes), modified natural waxes (brown coal derived wax), partial synthetic waxes (ester and amid waxes) and synthetic waxes (Fischer Tropsch (FT) and polyethylene (PE) waxes) [6, 7].

The objective of this work was to evaluate the effect of non-paraffin wax additives on physical properties and characteristics of asphalt binders. Testing to include binder master curve development, binder true-grading, rotational viscosity profile, bending beam rheometer (BBR), direct tension (DTT), was used to evaluate changes in mechanical properties, other analytical methods were employed to offer effective means to evaluate the potential for waxy materials as warm-mix additives such as modulated differential scanning calorimetry (MDSC) to provide the glass transition temperature, change in heat capacity on melting, amount of crystallizable fraction, and melting point range of the wax in asphalt.



Further characterization of wax stereochemistry, Infrared Spectroscopy (IR) and/or Nuclear Magnetic Resonance (NMR) were used to determine the relative degree of branching in the wax molecules. Atomic Force Microscopy (AFM) was also used to evaluate the degree of crystallization of wax additives in asphalt [8].

This paper reports on the evaluation of BBR mixtures tests when the beams have been subjected to standard and extended temperature saturation.

## 2 Materials

A single source of asphalt binder was used which was selected as a PG64-22 Lion Oil produced at El Dorado, Arkansas.

Nine waxes were selected for the study. The products selected cover the range of waxes discussed earlier to include; paraffin, natural, partial synthetic and synthetic materials. In addition, the selection considered specific synthetic materials in common usage for asphalt modification (for example Sasobit). A paraffin wax (Astra Wax) that was anticipated to give properties resulting in inferior performance was also selected. Materials selected are presented in Table 1.

Asphalt binders were prepared which consisted of the one (1) neat binder and twelve (12) wax modified binders using low shear blending at 160°C. The wax modified binders were made with 3% wax additive and (for three additional modified binder blends) with 1% wax additive. The data with 1% wax blends has been reported elsewhere [8]. The control binder is referenced by a “0” in the various tables and figures of this paper whereas the wax modified binders are represented by the modifier number – 1 to 9.

**Table 1.** Waxes selected for study

Ref.	Category	Material	Notes
1	Natural	Romanta Normal Montan	
2	Natural/Synthetic	Romanta Asphaltan A	Blend of Montan normal and amide wax
3	Natural	Romanta Asphaltan B	Refined normal Montan
4	Partial synthetic	Licomont BS 100	N,N'-bisstearamide, stearic acid pitch
5	Synthetic	Sasobit	Fischer-Tropsch Wax
6	Partial synthetic	Luxco Pitch # 2	N,N'-bisstearamide, stearic acid pitch
7	Synthetic	Alphamin GHP	Also referenced as THP
8	Wax Ester	Strohmeier and Arpe Montan LGE	
9	Paraffin	Astra Wax 3816D Microcrystalline	Refined microcrystalline wax

The aggregate source selected for mixture analysis was a Vulcan Barin Quarry Granite (9205 Fortson Rd., Fortson, GA 31808). This material is described as Granite Gneiss/Amphibolite and has been used extensively in research projects such as the NCAT test track. The quarry produces both stone fractions and manufactured sand. Typical properties for this material [9] are presented in Table 2.

Asphalt mixtures were prepared with the various binders using a 12.5mm (½-inch) nominal maximum aggregate dense graded SuperPave® gyratory designed mixture, as presented in the project report [8]. This mix design contained 5.15% binder and was compacted to a nominal 7.0 % air voids for testing.

**Table 2.** Typical properties for Barin Aggregate [9]

Property	Value	Source of Information
$G_{sb}$ bulk specific gravity of aggregate	2.707	GDOT - 2009
$G_{sa}$ apparent specific gravity of aggregate	2.732	
Water Absorption	0.34	
Sand Equivalent Value	86	

### 3 Superpave® Binder Testing

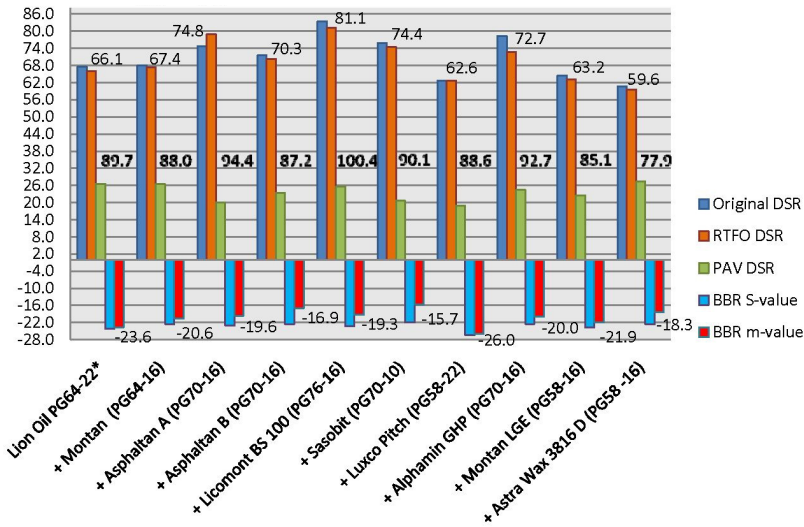
All testing other than true grading and master curve development was performed on pressure aging vessel (PAV) aged binders.

Superpave true grade was performed in accordance with AASHTO M320 Tables 1. One of the noted issues with the Superpave specifications has been that the high temperature specification parameter in Table 1 of AASHTO M320 ( $G^*/\sin\delta$ ) has been shown to relate poorly to rutting for many “premium grade,” modified asphalt binders. This has led to the development of the multiple stress creep-recovery (MSCR) (AASHTO TP70) test as the replacement for the conventional  $G^*/\sin\delta$  parameter in the specification. From the MSCR test, the new high temperature specification parameter is determined by dividing the non-recoverable (or permanent) shear strain by the applied shear stress. The result is called the non-recoverable creep compliance, or  $J_{nr}$ . In addition, the percent recovery (% recovery MSCR) is also computed which provides more efficient method of characterizing the elasticity of a binder than that currently done with the elastic recovery test (AASHTO T301). These parameters were determined for the materials considered in this project.

PG grades can be considered within the AASHTO M320 specification using either Table 1 or 2. In addition a new table has been introduced which evaluates the performance by the Multi-Stress Creep and Recovery (MSCR) test (ASSHTO MP19) and this data has been presented elsewhere [10]. In addition to grade evaluation the data from testing can also be shown as “true grades” by evaluating the pass/fail temperature for any given criteria. Data of this format has been evaluated for the various products and this is illustrated in Figure 1.

It can be observed from this data that considerable differences exist in the different products. The Astra Wax which was selected as a product unlikely to perform well has the poorest performance with a temperature spread of a mere 60.9°C. Six of the other waxes improved the performance range while two had reduced ranges. Most of the products reduced the low temperature grade by a few degrees but with careful design of modified products with the possible selection of softer products this aspect can be considered in the formulation stage of an asphalt binder.

Brookfield viscosity data obtained from the M320 specification evaluation is presented in Figure 2 which illustrates that all of the waxes reduce the viscosity within a range of 15 to 32%. However, it should be noted that the largest viscosity reduction was with the Astra wax which was selected as the “poor” performing product. This means that the range of viscosity production for the 3% wax addition is in the 15 to 23% range for possible effective products. The data with 1% wax showed smaller changes but an overall comment that could be applied is that the viscosity reduction appears to be linearly related to the percentage of wax used. It should be noted that 1% data was only obtained with 3-waxes so this comment is based on a limited data set.



Notes: Numbers at top of bars indicates high grade passing temperature. Numbers at bottom of bars indicates low grade temperature. Numbers in middle of figure indicates PG grade range using M320 Table 1 criterion.

Fig. 1. PG true grades (AASHTO M320 Table 1) for control and 3%wax modified products

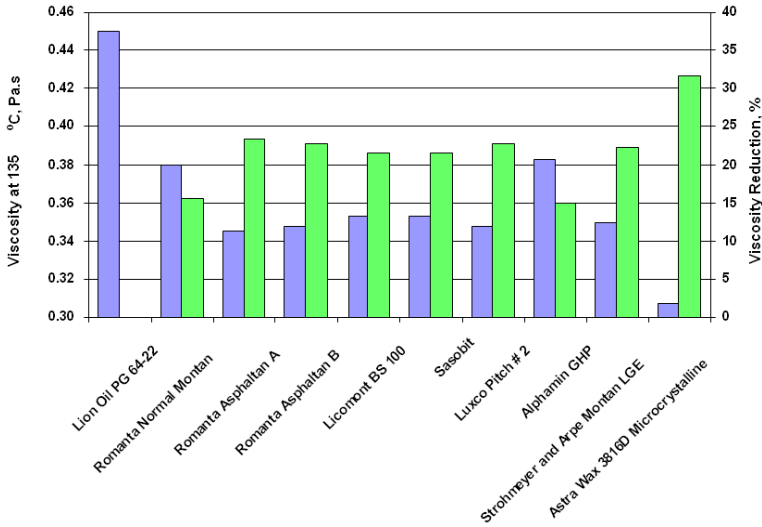


Fig. 2. Brookfield viscosity

### 3.1 BBR Binder and Mixture Testing

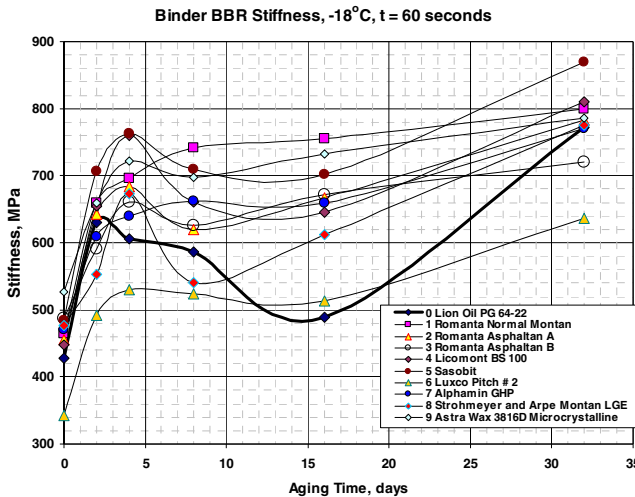
A simple BBR test to determine binder low temperature properties from asphalt mixtures was developed by Zofka et al. [11] at the University of Minnesota. This mixture BBR test was performed concurrent with binder BBR testing, BBR stiffness/physical hardening testing was performed on mixture beams. In this test the BBR measures the mid-point deflection of a beam of asphalt mixtures subjected to a constant load applied to the mid-point of the beam. The BBR operates only in the loading mode; recovery measurements were not obtained. Conditioned test beams were placed in the controlled temperature fluid bath at -12°C and -18°C (for both binder and mixture), temperature saturation testing was performed at 0, 1, 2, 4, 8, 16 and 32 days. Mixture specimens were loaded with a constant load (1961 ±50 mN or 4413 ±50 mN) for 1000s. The test load and the midpoint of deflection of the beam are monitored versus time.

The maximum bending stress at the midpoint of the beam is calculated from the dimensions of the beam, the span length, and the load applied to the beam for loading times of 8, 15, 30, 60, 120, and 240 seconds. The maximum bending strain in the beam is calculated for the same loading times from the dimensions of the beam and the deflection of the beam. The stiffness of the beam for the loading times specified is calculated by dividing the maximum stress by the maximum strain.

Data from the BBR binder and mixture testing is presented by Baumgardner et al. [8].

### 4 Discussion

Initially it was planned to construct master curves from both binder and mixture BBR data. However, when the data was inspected it became apparent that in many cases the stiffness of the BBR data collected at the colder test temperature (-18°C) was less stiff than the data at -12°C at the extended conditioning times. This can be seen by inspection of the data in Table 3 and 4. The stiffness drops as isothermal conditioning time is increased at the -18°C temperature. An example of this is shown in illustrated in Figure 3 by the variation in BBR stiffness at 60 seconds for the materials considered. It should be noted that this phenomena also occurred for the control binder as well as the wax modified products. At -12°C the data was generally as expected, with increasing stiffness with time and the large drop in stiffness at the intermediate conditioning times did not occur.

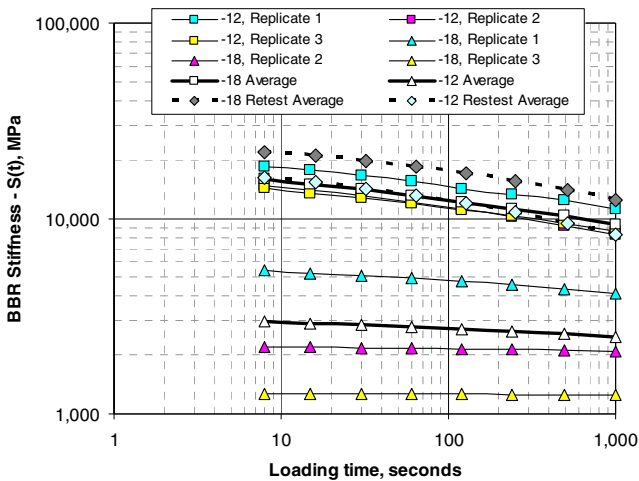


**Fig. 3.** BBR Binder Stiffness at t=60 seconds for -18°C data versus conditioning time (days)

An example of the results obtained for the mixture BBR tests is illustrated in Figure 4. The data in this figure shows the three replicates and average results for the initial testing conducted. It can be clearly seen that the -18°C data has stiffness isotherms that are considerably lower than the data collected for -12°C for the initial set of testing. After this phenomenon was observed it was decided to retest the beams two times, the first after sitting in a lab environment for a few weeks and then again after an annealing period. The annealing temperature of 64°C was selected as it was believed to represent what may actually occur in actual pavement performance with 64°C representing pavement temperature during a reasonably warm day. The test data from the annealed results are also shown in Figure 4 and these results rank in the manner expected with the data from the -18°C isotherm being higher than the -12°C isotherm. The data from the retesting

before the annealing also showed the correct order of results but with the  $-18^{\circ}\text{C}$  data lying between that obtained for the  $-12^{\circ}\text{C}$  data and that representing the  $-18^{\circ}\text{C}$  data after annealing.

In all cases what is believed to be healing of the specimen occurred after both laboratory ambient conditioning and overnight annealing at  $64^{\circ}\text{C}$  resulting in increased stiffness of the  $-18^{\circ}\text{C}$  isotherm. Most significantly, it resulted in the BBR stiffness of the  $-18^{\circ}\text{C}$  isotherm being greater than the  $-12^{\circ}\text{C}$  isotherm as was expected. This stiffness reduction is believed to be due to micro-crack formation over extended low temperature saturation and is significant at  $-18^{\circ}\text{C}$ . The result supports the research reported by Eshan et al. [12] who showed that cracking of asphalt mixtures, as monitored by acoustic emission events, started to occur at temperatures 2 to  $11^{\circ}\text{C}$  higher than the PG low temperature grade of the mix.



**Fig. 4.** BBR Mix Stiffness Isotherms of Sasobit (#5) at 16 days conditioning showing replicates, average of initial testing and average testing of annealed specimens

## 5 Conclusions

Addition of select waxes (Fischer-Tropsch and Fatty Acid Amides) to asphalt is an accepted practice in warm-mix asphalt production. Additionally Fischer-Tropsch, Montan wax and Montan wax blends have been used in Europe for several years as compaction aids for bituminous mixtures. Addition of waxes to binders prompts concern as to detrimental effects they may have on asphalt binder performance, especially fatigue and low temperature performance. In this study one base asphalt and nine wax additives, for possible use in warm-mix asphalt binders, were used to evaluate the effect binders modified with wax additives have on asphalt mixture properties in mixture bending beam rheology testing.

Results of binder testing reported by [8] revealed that the low temperature binder T<sub>g</sub> values predicted by the peak in the G'' data using the DSR are comparable to the predicted binder low PG grade values from the BBR. Low temperature binder T<sub>g</sub> values predicted by the peak in the G'' data using the DSR were also generally similar to the T<sub>g</sub> values predicted by Modulated DSC. Some significant exceptions may have been related to wax chemical type, solubility of some wax fraction in the binder, differences in heating and cooling rates or some other source. More comparative work needs to be performed to account for these results and to determine which T<sub>g</sub> value is more closely related to mixture performance. Physical hardening of binders does not appear to be a major problem at the lower addition rates and the loss of temperature range appears to be a good indication if that is a problem.

Data produced by the mixture BBR testing suggested that at temperatures close to or below the glass transition temperature the mixture beams were becoming less stiff with time when held at a constant temperature. This effect appears to be reversible if a heating/annealing cycle was applied to the beams. General assumption is that the phenomenon of loss of stiffness during long low temperature saturation and recovery of stiffness upon annealing is due to micro-cracking and healing of the asphalt binder in the mixture. This seems to be supported by the work of [12] which reports that micro-cracking may actually occur at a temperature 2-11 degrees higher than the binder low temperature PG grade temperature. It should be noted that even the control binder with no wax exhibited this behaviour.

The theory of micro cracking and healing upon extended low temperature saturation is a phenomenon that merits continued research. The acoustic emission testing performed by University of Illinois [12] could provide a useful avenue for extending the research work conducted with these binders and mixes. The variability in performance due to micro-cracking that occurs above the low temperature PG grade is not currently evaluated or understood.

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# Reducing Asphalt's Low Temperature Cracking by Disturbing Its Crystallization

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**Abstract.** This paper investigate effect of a new bio-based modifier, “bio-binder” on disturbing bituminous asphalt crystallization using molecular dynamics simulation and X-Ray powder diffraction while studying effects of introduction of bio-binder on bituminous asphalt low temperature properties and low temperature cracking resistance. The proposed bio-binder is produced from the thermochemical conversion of swine manure. Bio-binder is then blended with virgin binder to produce bio-modified binder (BMB). Bio-binder can be used as a renewable partial replacement for petroleum-based asphalt. The production and application of bio-binder can facilitate swine waste management. In addition, the bio-binder resources (swine manure) is renewable and is not competing with food supplies. This paper argues that the improved low temperature rheological properties in BMB can further enhance pavement low-temperature cracking resistance.

## 1 Introduction

The U.S. asphalt binder market is valued at approximately \$11.7 billion/year [1]. Asphalt binder supplies are shrinking, while the demand for it is increasing rapidly [2, 3]. When the price of asphalt binder increased from \$235/ton in 2004 to \$520/ton in 2007, it represented an increase of 53% in price [4]. As the price of asphalt binder increases, the demand for alternative and renewable binder resources increases. This motivated several unsuccessful attempts by researchers to produce bio-asphalt from various materials (sugar, molasses, potato starches, vegetable oils, lignin, cellulose, palm oil waste, coconut waste, and dried sewage). However, those bio-asphalts either found not to be feasible or never reached the asphalt market due to low performance or high production cost [5, 6]. To the best knowledge of the authors of this article, no one has developed a bio-asphalt from swine manure, and this is the first attempt in this area. Therefore, the main application of bio-binder is envisioned to be as a modifier for the petroleum-based asphalt binder used in pavement construction. Although other applications such as roofing, soil stabilization, crack and joint sealing and carpeting are recognized for bio-binder, considering the huge extent of the paving application, this paper focuses only on the application of bio-binder as a modifier for the asphalt binder used in pavement construction. It is estimated that the 40.2 million tons of swine manure (solid weight) produced in the U.S. annually [7] can supply about 28

million tons of bio-binder to be used in pavement (calculation based on 70% conversion efficiency [1]). Pork production is a major agricultural enterprise in the U.S., involving over 75,000 swine producers, creating 35,000 full-time equivalent jobs directly and an additional 515,000 jobs indirectly. With the sale of 116 million pigs in 2008, the U.S. hog industry generated gross income of \$16 billion [8]. Generally, growing and finishing pigs weighing 21 to 100 kg can be expected to generate 0.39 to 0.45 kg of waste per day per pig on a dry matter basis [9, 10]. Derived from swine manure, bio-binder is composed mostly of carbon (about 72%), which is sequestered from the manure [11]. Swine manure is disposed of by storing it in lagoons. This process has significant negative environmental impacts, particularly with respect to surface water and groundwater quality and to air quality as affected by odors and gaseous emissions from large-scale swine production operations [10]. To make use of manure, researchers developed various methods to convert manure to gas and oil [11,12]. Fini and her coworker further utilized the oil to produce bio-binder [13-16]. This paper discusses how introduction of bio-binder can enhance low temperature rheological properties of asphalt binder, which in turn can facilitate application of RAP and RAS in new pavement construction.

## 2 Chemical Characterization of Bio-binder

The chemical characterization of bio-binder is needed to better understand the material physical characteristics and its effect on petroleum-based asphalt when used as modifier. This in turn, can help predict the performance characteristics of the bio-modified binder before its actual placement in the field. Previous works demonstrated our initial efforts in determining the chemical characteristics of the bio-binder material and how it compares directly with petroleum asphalt binders [13, 16].

An elemental analysis was first performed on the bio-binder to determine the carbon, hydrogen, nitrogen, and oxygen content. The pig waste-derived bio-binder has much less aromatic character than conventional binders but is more polar, with roughly four times the average nitrogen level as well as 10 times the average oxygen level.

Though useful in understanding the chemical makeup, the elemental analysis does not provide enough information to determine the potential compatibility of the biomaterial with conventional binders. Several other analytical techniques were employed to improve the understanding of the material. First, a chromatographic separation of the bio-binder into the solvent-defined saturates, aromatics, resins, and asphaltenes fractions (SARA) showed major differences between the bio-binder and the typical petroleum asphalt. Our analysis showed that bio-binder mainly consists of resins and asphaltenes with almost no evidence of saturates and aromatics. The elemental and SARA results (Table 1) indicate the potential for the bio-binder material to improve mixture moisture damage resistance due to the higher concentration of polar nitrogen and oxygen containing

functional groups [17-19]. These same polar components are an indication that the bio-binder is a promising candidate for use in crack and joint sealants and roofing shingles..

**Table 1.** SARA Components of Bio-adhesive and AAD-1

Adhesive Type	Saturate (aliphatic) (wt %)	Naphthene Aromatics, (wt %)	Polar aromatic (Resin), (wt %)	Asphaltenes, Percentage, (wt %)
Bio-Adhesive	2.48	1.67	45.87	43.39
AAD-1	8.6	41.3	25.1	20.5

Further investigation of the bio-binder's chemical composition was conducted utilizing nuclear magnetic resonance (NMR) spectroscopy, gas chromatography-mass spectrometry (GC-MS) and Fourier transform infrared spectroscopy (FT-IR). Proton NMR showed the presence of olefinic carbons as well as alcohols or esters, none of which are found in petroleum asphalt and are susceptible to oxidation chemistry [13]. The carbon-13 NMR spectrum indicated that the bio-binder is comprised mainly of carbons in straight chain aliphatic compounds. The  $^1\text{H}$  NMR spectrum showed presence of olefins and alcohols. The GC-MS data agreed well with results from other techniques, indicating presence of olefinic carbons as well as a variety of oxygen and nitrogen moieties. An approximate molecular weight distribution of between 250 g/mol to 450 g/mol, also found by GC-MS, found to be much lower than the 700 g/ml estimated for petroleum binders which is comprised of a mixture of multi-ring systems and linear, aliphatics. Finally, FTIR data was in agreement with the above data that the amount and type of aliphatic hydrocarbons in bio-binder is different from petroleum asphalt with indications of olefins, amines, alcohols, and aromatics. The analysis showed that bio-binder has a high level of nitrogen relative to typical asphalt binder but a very close ratio of carbon to hydrogen content. The specific gravity of bio-binder (1.01) was found to be close to that of asphalt binder (1.03). It was also shown that both materials have similar solubility characteristics in common asphalt solvents.

### 3 Rheological Characterization

To investigate the effect of incorporation of bio-binder on pavement performance, bio-binder was added to PG 64-22. To modify the binder, bio-binder was added to the base binder (PG 64-22) at 2, 5, and 10 percent by weight of the base binder to produce bio-modified binder. Bio-binder and base binder were heated to 60°C and 120°C, respectively. The base binder (Table 2) and bio-binder were mixed thoroughly at shear rate of 3000 rpm for 30 minutes while the temperature was kept at 120°C. Specimens were prepared and tested to study low temperature rheological properties of modified and non-modified binder.

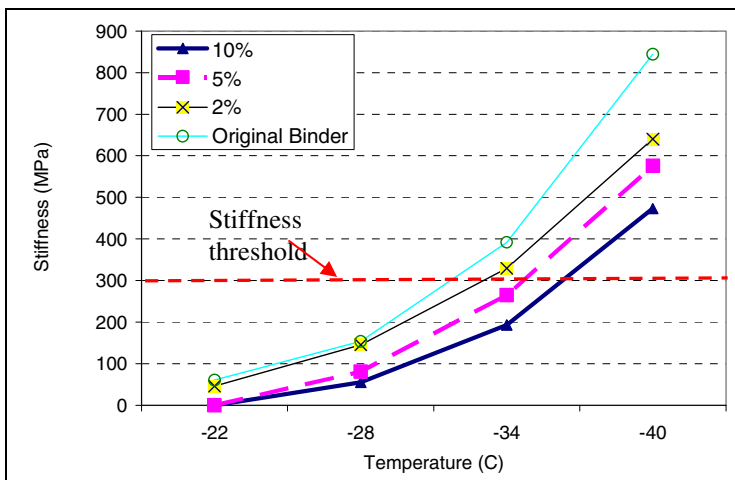
**Table 2.** Properties of Base Binder PG 64-22

Specific Gravity @ 15.6 °C	Flash Point, Cleveland Open Cup, °C	Change in Mass RTFO	Absolute Viscosity at 60 °C, Pa.s
1.039	335	-0.0129	202

### 3.1 Creep Stiffness and M-Value

To examine the effect of bio-modification on low temperature properties of binder, creep compliance, stiffness, m-value and cracking temperature were measured using Bending Beam Rheometer (BBR) according to ASTM D6648.

The BBR evaluates the binder efficiency at low temperature and its propensity to crack. Thermal cracking is caused by stresses build up during pavement contraction when the temperature drops rapidly. The accumulated stress may exceed the stress relaxation capability of the material, resulting in crack initiation. The temperature at which pavements show specific stiffness is defined as the limiting stiffness temperature. Figure 1 shows master curves at low temperature for modified and non-modified specimens. It can be seen addition of bio-binder decreases stiffness. Figure 2 shows the m-value increases due to the addition of bio-binder, improving binders' stress relaxation capability which results in less stress accumulation. At 5% and 10% modification the specimens were too soft to be tested and their deflections were above the equipment range. It is expected that the improvement in low temperature properties of the binder results in reduced low temperature cracking due to the general reduction in binder stiffness and increase in m-value.



**Fig. 1.** Stiffness for modified and non modified binder

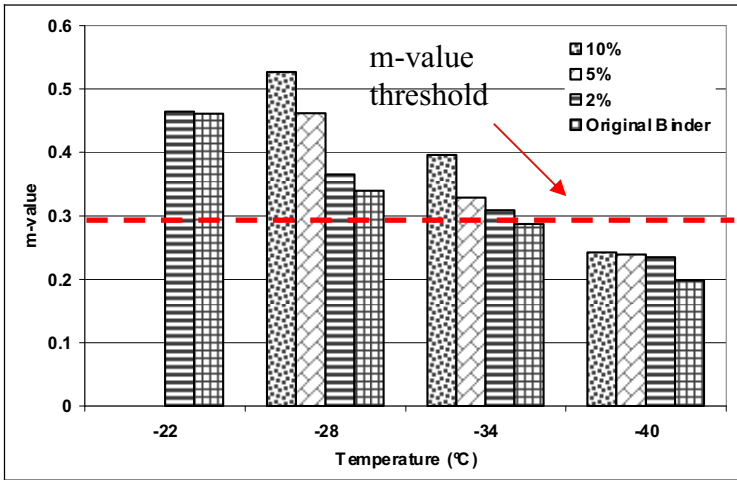


Fig. 2. M-value at various temperature for modified and non-modified binder

### 3.2 Asphalt Cracking Temperature

The cracking temperature,  $T_{cr}$ , is usually calculated from Bending Beam Rheometer (BBR) test using the AASHTO T 313 procedure. The higher value between the temperature where the stiffness at a loading time of 60s is 300 MPa and the temperature where the m-value at a loading time of 60s is 0.3 is considered as the  $T_{cr}$ . Using this method, the cracking temperatures for modified and non-modified binders were calculated. In addition to BBR test, the Asphalt Binder Cracking Device (ABCD) was used to determine the cracking temperature of the modified and non-modified asphalt binder after a progressive temperature drop from room temperature through 0°C to -60°C. In ABCD test, the micro-strains developed in the binder specimen at the cracking temperature are used as a measure of the thermal cracking resistance. Table 3 shows the cracking temperature of the tested specimen using BBR and ABCD test. As can be seen, the cracking temperature decreases as the amount of bio-binder increases with BMB-10 showing cracking temperature of -37.3 °C which is 5.6 degree lower that that of base binder which is -31.7 °C.

Table 3. Cracking Temperature of Asphalt Specimens

Binder	BBR	ABCD
PG 64-22	-31.7	
2% BMB	-33.1	-32.4
5% BMB	-34.7	-37.9
10% BMB	-36.3	-37.3

As can be seen, the cracking temperature decreases as the amount of bio-binder increases, which in return, may improve pavements' low temperature cracking resistance. To understand the mechanism at the molecular level, following molecular dynamics simulation and X-Ray analysis were conducted.

#### **4 Molecular Dynamics Simulation and X-Ray Powder Diffraction**

The enhancement in low temperature cracking of BMB can be attributed to disturbed pi-pi stacking and reduced crystallization of asphalt molecules due to presence of bio-binder. This in turn, increases flexibility of alkyl chains. Therefore, reduction of pi-pi stacking can facilitate segmental motion in asphalt molecules and increase BMB's amorphous components. To study this phenomenon in molecular level, X-ray powder diffraction analysis was conducted on BMB samples at both room temperature and after being refrigerated for 1 hour (Figure 3). Powder XRD can be used to determine the crystallinity by comparing the integrated intensity of the background pattern to that of the sharp peaks. XRD results showed significantly broader diffraction peak for BMB (2% BMB) compared to the base binder (PG 64-22). Also the peaks were broader in both cases (modified and non-modified binder) at room temperature compared to those at lower temperature when specimens were chilled to 5°C. It should be noted that in contrast to a crystalline pattern consisting of a series of sharp peaks, amorphous materials produce a broad peak. This observation further confirms that crystallized structure was increased by reduction in temperature. However, the level of crystallization in modified binder was less than non-modified binder.

To further examine the experimental observations, molecular interactions within BMB was studied using molecular dynamic simulation (MD) for base asphalt and BMB MD simulations represent a numerical implementation to solve the equations of motion of a system of atoms or molecules, to predict the motion of each atom in the material characterized by the atomic position, velocity, and acceleration. The collective behavior of atoms then is used to understand how a material undergoes deformation, phase change, or other phenomena by relating the atomic scale to meso or macroscale phenomena [21].

In this study molecular structures of BMB and base binder were constructed using the geometric parameters and the graphic resources of the Materials Studio (version 5) program [22]. The structures were initially minimized by Reax-FF reactive force field in an existing MD code called LAMMPS [23]. After constructing the atomistic models, computation experiments was conducted under NVT ensemble at 300 K. It was found that presence of bio-binder molecules changes asphalt molecules' chain conformation, hindering the pi-pi stacking of asphalt molecules. This in turn can cause BMB to remain more flexible at low temperatures compared to non-modified asphalt.

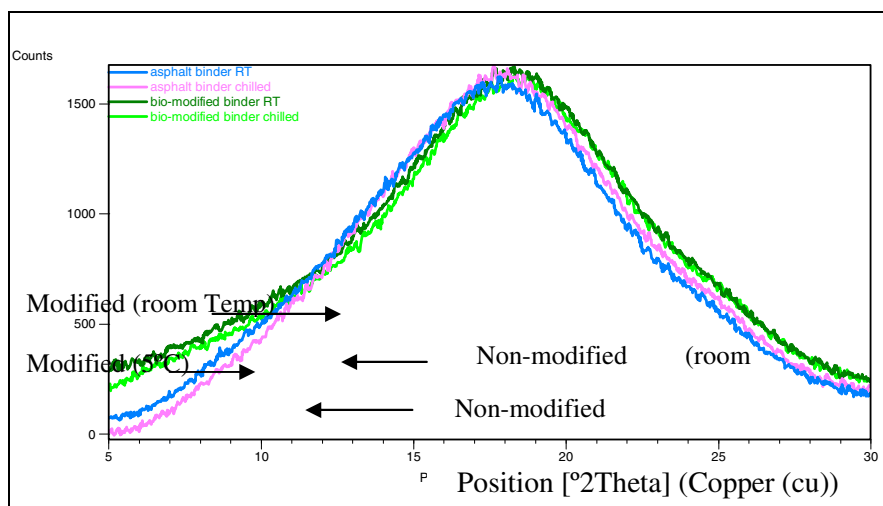


Fig. 3. X-ray powder diffraction results

## 5 Discussion

This paper discusses effect of application of bio-binder on bituminous asphalt's low temperature rheological properties. In addition, production and application of bio-binder including its impact of waste management has been discussed. The proposed bio-binder is produced from the thermochemical conversion of swine manure. Bio-binder is then blended with virgin binder to produce bio-modified binder (BMB). Bio-modified binder chemical and rheological characterization was used to determine effect of bio-modification on bituminous asphalt performance. It is shown that bio-binder, upon its introduction to bituminous asphalt binder; improves low temperature rheological properties of bituminous binder by disturbing asphalt molecules stacking resulting in delayed crystallization at low temperature. The low temperature cracking prediction using the BBR and ABCD test methods showed that cracking temperature decreases as the amount of bio-binder increases indicating that BMB is more flexible than base binder at low temperature. This was further attributed to the extended amorphous region in BMB. Extension of amorphous region due to the introduction of bio-binder was further confirmed by XRD analysis and was examined by MD simulation.

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# Mechanistic Evaluation of Lime-Modified Asphalt Concrete Mixtures

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**Abstract.** Frequently, Load associated mode of failure (rutting and fatigue) as well as, occasionally, moisture damage in some sections poorly drained are the main failure types found in some of the newly constructed road within Baghdad as well as other cities in Iraq. The use of hydrated lime in pavement construction could be one of the possible steps taken in the direction of improving pavement performance and meeting the required standards.

In this study, the mechanistic properties of asphalt concrete mixes modified with hydrated lime as a partial replacement of limestone dust mineral filler were evaluated. Seven replacement rates were used; 0, 0.5, 1, 1.5, 2, 2.5 and 3 percent by weight of aggregate. Asphalt concrete mixes were prepared at their optimum asphalt content and then tested to evaluate their engineering properties which include moisture damage, resilient modulus, permanent deformation and fatigue characteristics. These properties have been evaluated using indirect tensile strength, uniaxial repeated loading and repeated flexural beam tests. Mixes modified with hydrated lime were found to have improved fatigue and permanent deformation characteristics, also showed lower moisture susceptibility and high resilient modulus. The use of 2 percent hydrated lime as a partial replacement of mineral filler has added to local knowledge the ability to produce more durable asphalt concrete mixtures with better serviceability.

## 1 Introduction

In the recent five years, some of the newly constructed asphalt concrete pavements in Baghdad as well as other cities across Iraq have shown premature failures with consequential negative impact on both roadway safety and economy. Frequently, Load associated mode of failure (rutting and fatigue) as well as, occasionally, moisture damage in some sections poorly drained are the main failure types found in those newly constructed roads. Investigations on the reasons beyond these failure showed that it can be grouped into two categories, extrinsic and intrinsic, the first one due to the heavy axle loading coupled with relentless high summer temperatures ( ambient air temperature for nearly three months can reach 50 degree Celsius and pavement surface temperature can reach up to 60 degree Celsius) , whereas the second category is limited to the mixture itself , improper gradients, excess use of natural sand and lack of mineral filler all of these factors

acts either in collect or in single manner for the deterioration in the mix strength and also loss of durability of asphalt concrete pavement.

Based on the preceding it is clear that there is a real need to the development of modified asphalt concrete mixtures to improve the overall performance of pavements. The use of hydrated lime in pavement construction could be one of the possible steps taken in this direction. In the United States of America, Hydrated lime has been added to hot mix asphalt pavements for over 30 years, improving the mixtures in many ways and increasing the life of highways. Extensive experimental studies have revealed that the use of hydrated lime in Hot-Mix Asphalt (HMA) mixtures can reduce permanent deformation, long-term aging, and moisture susceptibility of mixtures. In addition, it increases the stiffness and fatigue resistance of mixtures. The structure of hydrated lime consists of different size fractions. The larger size fraction performs as a filler and increases the stiffness of the bituminous mixture. The smaller size fraction increases binder film thickness, enhancing viscosity of the binder, and improving the binder cohesion and stiffness.

In view of this , the primary objective of this study is to evaluate the mechanical properties of asphalt concrete mixtures containing hydrated lime (as a partial replacement of limestone filler) based on the following tests, Marshall properties (Mix Design), Indirect tensile test (Moisture susceptibility) , uniaxial repeated load test ( Resilient Modulus and permanent deformation) and repeated flexural beam test ( fatigue characteristics).

## 2 Background

Hydrated lime which is also known as calcium hydrate ( $\text{Ca(OH)}_2$ ) has been used in asphalt mixes for a long time, both as mineral filler and as an antistripping additive. Researchers observed that when hydrated lime coats an aggregate particle, it induces polar components in asphalt cement to bond to the aggregate surface. This effect also inhibits hydrophilic polar groups in the asphalt from congregating on the aggregate surface (McGennis et al. 1984). In addition, lime can neutralize acidic aggregate surfaces by replacing or coating acidic compounds and water-soluble salts on the aggregates and can react pozzolanically to remove deleterious materials (Epps et al. 2003).

Al-Suhaibani [1992] evaluated the mineral filler properties of hydrated lime and other local fillers available in Saudi Arabia. The mechanical properties of the mixes were studied using tests such as the resilient modulus test, the indirect tensile strength test, Hveem stability, and Marshall criteria. The research results revealed that the amount and characteristics of the mineral fillers can have an effect on the rutting susceptibility of flexible pavements, and that the use of hydrated lime can improve resistance of the mixes to rutting. The lime showed improved stiffening properties when incorporated into the mixture.

Shahrour and Saloukeh [1992] conducted a research study to evaluate the influence of ten types of different fillers (including hydrated lime) on the physical properties of filler-bitumen mixtures and two types of asphalt mixtures namely- Asphaltic concrete (AC) and Dense Bitumen Macadam (DBM) commonly used in Dubai, U.A.E. The mixtures were designed using Marshall mix design method, and the fillers were incorporated in various ratios to the mixtures. Marshall parameters (% VFB, % VIM, % VMA, and Bulk Specific Gravity) for asphalt mixtures were reported to be not significantly affected by changing the type of filler at specific filler contents. On the other hand, Penetration, Ring and Ball Softening point, absolute Viscosity, and Kinematic Viscosity tests on the filler-binder mixtures (mastics) showed that all types of mineral fillers acted as an extender to the binder with minimal stiffening effect. But comparing to others, hydrated lime showed superior stiffening performance. The authors also recommended to use hydrated lime as a mineral filler in a ratio of 0.5 to 0.8 of the bitumen content in the asphalt mixtures. Afterwards Paul, 1995; Khosla et al., 2000; Mohammad et. al., 2000; Little et al., 2005; Atud et al., 2007; Khattak and Kyatham, 2008 conducted numerous researches to evaluate the influence of hydrated lime on the moisture damage of HMA pavements. In those studies, hydrated lime was reported to improve the resistance against the moisture induced damages of HMA mixtures. By maintaining a good adhesion between the aggregate and the asphalt cement in the presence of water, hydrated lime worked successfully as an antistripping agent. Its ability to reduce viscosity building polar components in the asphalt binder enabled hydrated lime to show effect as an oxidation reducing agent. Also, its ability to increase mixture stiffness by filling air voids in the mixture with its tiny particles makes it effective mineral filler.

Lime-treated mixtures also showed cost efficiency in terms of pavement life. Sebaaly et al. [2003] conducted a research to quantify the improvements of pavement performance that contained lime. Performances of HMA mixtures from the northwestern part of Nevada were evaluated both in the laboratory and in the field. In the laboratory evaluation, both lime treated and untreated sections were sampled and then evaluated through laboratory test. On the other hand, pavement performance data from pavement management system (PMS) were used to assess field performance of lime treated and untreated sections. The study showed that lime treatment on HMA mixtures significantly improved their moisture resistance and resistance to multiple freeze-thaw cycles than that of untreated HMA mixtures. From the long-term pavement performance data it was also evident that under similar environmental and traffic conditions, lime treated mixtures provided better performance with lesser maintenance and rehabilitation activities. Again, the analysis of the impact of lime on pavement life indicated that lime treatment extended the performance life of HMA pavements by an average of 3 years which represented an average increase of 38% in the expected pavement life.

Hydrated lime can be introduced into asphalt mixes by several methods: lime slurry to dry or wet aggregate, dry lime to wet aggregate, dry lime to dry aggregate and dry lime to asphalt. Although little researches have been done to quantify the difference in effects of these methods, it is sufficient to say that asphalt mixes benefit from the addition of hydrated lime, no matter how it is introduced into the

mix (Epps et al. 2003). Typically, the amount of hydrated lime added is 1 to 2 percent by weight of the mix, or 10 to 20 percent by weight of the liquid asphalt binder but If an aggregate has more fines percent, it may be necessary to use more lime additive due to the increased surface area of the aggregate.

### 3 Material Characterazation

The materials used in this work, namely asphalt cement, aggregate, and fillers were characterized using routine type of tests and results were compared with State Corporation for Roads and Bridges specifications (SCRB, R/9 2003).

#### 3.1 Asphalt Cement

The asphalt cement used in this work is a 40-50 penetration grade. It was obtained from the Dora refinery, south-west of Baghdad. The asphalt properties are shown in Table (1) below.

**Table 1.** Properties of Asphalt Cement

Property	ASTM designation	Penetration grade 40-50	
		Test results	SCRB specification
1-Penetration at 25C,100 gm,5 sec. (0.1mm)	D-5	47	40-50
2- Rotational viscosity at 135°C (cP.s)	D4402	519	.....
2- Softening Point. (°C)	D-36	47	.....
3-Ductility at 25 C, 5cm/min,( cm)	D-113	>100	>100
4-Flash Point, (°C)	D-92	289	Min.232
5-Specific Gravity	D-70	1.041	.....
6- Residue from thin film oven test	D-1754		
- Retained penetration,% of original	D-5	59.5	>55
- Ductility at 25 C, 5cm/min,( cm)	D-113	80	>25

#### 3.2 Aggregate

The aggregate used in this work was crushed quartz obtained from Amanat Baghdad asphalt concrete mix plant located in Taji, north of Baghdad, its source is Al-Nibaie quarry. This aggregate is widely used in Baghdad city for asphaltic mixes. The coarse and fine aggregates used in this work were sieved and recombined in the proper proportions to meet the wearing course gradation as required by SCRB specification (SCRB, R/9 2003). The gradation curve for the aggregate is shown in Figure (1).

Routine tests were performed on the aggregate to evaluate their physical properties. The results together with the specification limits as set by the SCRB are summarized in Table (2). Tests results show that the chosen aggregate met the SCRB specifications.

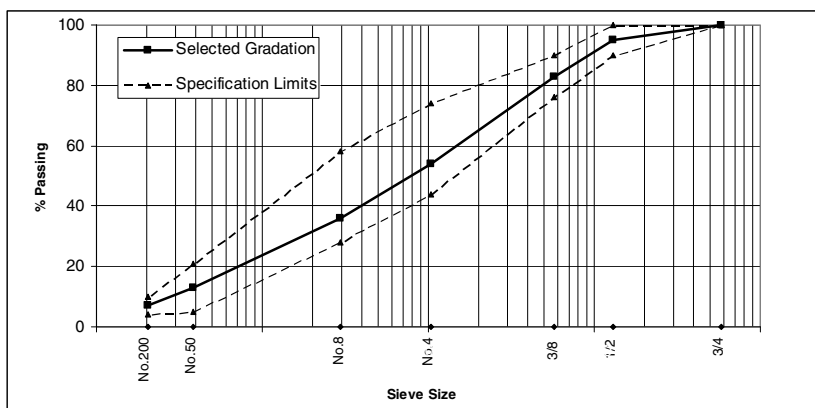


Fig. 1. Aggregate Gradation

Table 2. Physical Properties of Aggregates

Property	ASTM designation	Test results	SCRB specification
<u>Coarse aggregate</u>			
1. Bulk specific gravity	C-127	2.614	.....
2. Apparent specific gravity		2.686	.....
3. Water absorption,%		0.441	.....
4. Percent wear by Los Angeles abrasion ,%	C-131	17.5	30 Max
5. Soundness loss by sodium sulfate solution,%	C-88	3.4	10 Max
6. Fractured pieces, %		98	90 Min
<u>Fine aggregate</u>			
1. Bulk specific gravity	C-127	2.664	.....
2. Apparent specific gravity		2.696	.....
3. Water absorption,%		0.724	.....
4. Sand equivalent,%	D-2419	57	45 Min.

### 3.3 Filler

The filler is a non plastic material that passing sieve No.200 (0.075mm). In this work, the control mixes were prepared using limestone dust as a mineral filler at a content of 7 percent, this content represent the mid-range set by the SCRBSpecification for the type IIIA mixes of wearing course. Mixes in which the limestone dust was partially replaced by a hydrated lime were also prepared. The replacement percentages were 0, 0.5, 1.0, 1.5, 2, 2.5 and 3% by total weight of aggregate. The limestone dust and hydrated lime were obtained from lime factory

in Karbala governorate, south east of Baghdad. The chemical composition and physical properties of the fillers are presented in Table (3) below:

**Table 3.** Properties of Fillers

Filler type	Chemical Composition ,%							Physical Properties		
	CaO	SiO <sub>2</sub>	Al <sub>2</sub> O <sub>3</sub>	Mgo	Fe <sub>2</sub> O <sub>3</sub>	So <sub>3</sub>	L.O.I	Specific gravity	Surface area* (m <sup>2</sup> /kg)	% Passing sieve No. 200( 0.075)
Limestone Dust	68.3	2.23	-	0.32	-	1.20	27.3	2.41	244	94
Hydrated Lime	56.1	1.38	0.72	0.13	0.12	0.21	40.6	2.78	398	98

\* Blain air permeability method (ASTM C204).

## 4 Experimental Work

The experimental work was started by determining the optimum asphalt content for all the asphalt concrete mixes using the Marshall mix design method. To investigate the stiffening effect of hydrated lime on the filler-asphalt mortar, filler-asphalt mixes were then prepared and tested using the conventional binder tests, penetration and softening point. Also, asphalt concrete mixes were made at their optimum asphalt content and tested to evaluate the engineering properties which include moisture damage, resilient modulus, permanent deformation and fatigue characteristics. These properties have been evaluated using indirect tensile strength, uniaxial repeated loading and repeated flexural beam tests.

### 4.1 Marshall Mix Design

A complete mix design was conducted using the Marshall method as outlined in AI's manual series No.2 (AI, 1981) using 75 blows of the automatic Marshall compactor on each side of specimen. Based upon this method, the optimum asphalt content is determined by averaging the three values shown below:

Asphalt content at maximum unit weight

Asphalt content at maximum stability

Asphalt content at 4% air voids

For each percentage of hydrated lime content, six Marshall specimens were prepared with a constant increments rate in asphalt cement content of 0.2 percent. The selected asphalt cement content starts from 4.2 percent for the control and 0.5 percent hydrated lime mixes and increased 0.2 percent for each 1 percent increase in hydrated lime content, so for the mixes with 3 percent hydrated lime, the starting value for asphalt cement content was 4.8 percent. This procedure is followed since it was found earlier in this work that the use of low asphalt content

was not sufficient to provide proper coating for the aggregate with high content of hydrated lime.

To investigate the stiffening effect of hydrated lime upon the filler- asphalt mixture, the penetration as well as softening point tests was conducted according the ASTM –D5 and ASTM D 36, respectively for the mixes prepared using 7 percent filler but with different hydrated lime contents as a partial replace of limestone filler and corresponding optimum asphalt cement content.

### 4.3 Indirect Tensile Test

The moisture susceptibility of the asphalt concrete mixtures was evaluated using ASTM D 4867. The result of this test is the indirect tensile strength (ITS) and tensile strength ratio (TSR). In this test, a set of specimens were prepared for each mix according to Marshall procedure and compacted to  $7\pm 1\%$  air voids using different numbers of blows per face that varies from (34 to 49) according to the hydrated lime replacement rate. The set consists of six specimens and divided into two subsets, one set (control) was tested at  $25^{\circ}\text{C}$  and the other set (conditioned) was subjected to one cycle of freezing and thawing then tested at  $25^{\circ}\text{C}$ . The test involved loading the specimens with compressive load at a rate of (50.8mm/min) acting parallel to and along the vertical diametrical plane through 0.5 in. wide steel strips which are curved at the interface with specimens. These specimens failed by splitting along the vertical diameter. The indirect tensile strength which is calculated according to Eqn. (1) of the conditioned specimens ( $ITS_c$ ) is divided by the control specimens ( $ITS_d$ ), which gives the tensile strength ratio (TSR) as the following Eqn. (2).

$$ITS = \frac{2P}{\pi tD} \quad (1)$$

$$TSR = \frac{ITS_c}{ITS_d} \quad (2)$$

where

ITS= Indirect tensile strength

P = Ultimate applied load

t = Thickness of specimen

D = Diameter of specimen

Other parameters are defined previously

### 4.4 Uniaxial Repeated Loading Test

The uniaxial repeated loading tests were conducted for cylindrical specimens, 101.6 mm (4 inch) in diameter and 203.2 mm (8 inch) in height, using the



pneumatic repeated load system (shown below in fig.(2)). In these tests, repetitive compressive loading with a stress level of 0.137 mPa (20 psi ) was applied in the form of rectangular wave with a constant loading frequency of 1 Hz (0.1 sec. load duration and 0.9 sec. rest period) and the axial permanent deformation was measured under the different loading repetitions. All the uniaxial repeated loading tests were conducted at 40°C (104°F). The specimen preparation method for this test can be found elsewhere (Albayati, 2006).

The permanent strain ( $\epsilon_p$ ) is calculated by applying the following equation:

$$\epsilon_p = \frac{p_d \times 10^6}{h} \quad (3)$$

where

$\epsilon_p$ = axial permanent microstrain  
 $p_d$ = axial permanent deformation  
 $h$ = specimen height

Also, throughout this test the resilient deflection is measured at the load repetition of 50 to 100, and the resilient strain ( $\epsilon_r$ ) and resilient modulus ( $M_r$ ) are calculated as follows:

$$\epsilon_r = \frac{r_d \times 10^6}{h} \quad (4)$$

$$M_r = \frac{\sigma}{\epsilon_r} \quad (5)$$

where

$\epsilon_r$ = axial resilient microstrain  
 $r_d$ = axial resilient deflection  
 $h$ = specimen height  
 $M_r$ = Resilient modulus  
 $\sigma$  = repeated axial stress

The permanent deformation test results for this study are represented by the linear log-log relationship between the number of load repetitions and the permanent microstrain with the form shown in Eqn. (6) below which is originally suggested by Monismith et. al., (1975) and Barksdale (1972).

$$\epsilon_p = aN^b \quad (6)$$

where

$\epsilon_p$ = permanent strain  
 $N$ =number of stress applications  
 $a$ = intercept coefficient  
 $b$ = slope coefficient



Fig. 2. Photograph for the PRLS

#### 4.5 Flexural Beam Fatigue Test

Within this study, third-point flexural fatigue bending test was adopted to evaluate the fatigue performance of asphalt concrete mixtures using the pneumatic repeated load system, this test was performed in stress controlled mode with flexural stress level varying from 5 to 30 percent of ultimate indirect tensile strength applied at the frequency of 2 Hz with 0.1 s loading and 0.4 s unloading times and in rectangular waveform shape. All tests were conducted as specified in SHRP standards at 20°C (68°F) on beam specimens 76 mm (3 in) x 76 mm (3 in) x 381 mm (15 in) prepared according to the method described in (Al-khashaab, 2009). In the fatigue test, the initial tensile strain of each test has been determined at the 50th repetition by using Eqn. (7) shown below and the initial strain was plotted versus the number of repetition to failure on log scales, collapse of the beam was defined as failure, the plot can be approximated by a straight line and has the form shown below in Eqn. (8).

$$\epsilon_i = \frac{\sigma}{E_s} = \frac{12h\Delta}{3L^2 - 4a^2} \tag{7}$$

$$N_f = k_i(\epsilon_i)^{-k_2} \tag{8}$$

where

$\epsilon_i$  = Initial tensile strain

$\sigma$  =Extreme flexural stress

- $E_s$  =Stiffness modulus based on center deflection.
- $h$  =Height of the beam
- $\Delta$  =Dynamic deflection at the center of the beam.
- $L$  = Length of span between supports.
- $a$  =Distance from support to the load point ( $L/3$ )
- $N_f$ = Number of repetitions to failure
- $k_1$  = fatigue constant, value of  $N_f$  when  $\epsilon_1 = 1$
- $k_2$  = inverse slope of the straight line in the logarithmic relationship

## 5 Test Results and Discussion

### 5.1 Effects of Hydrated Lime on Filler - Asphalt Mixes

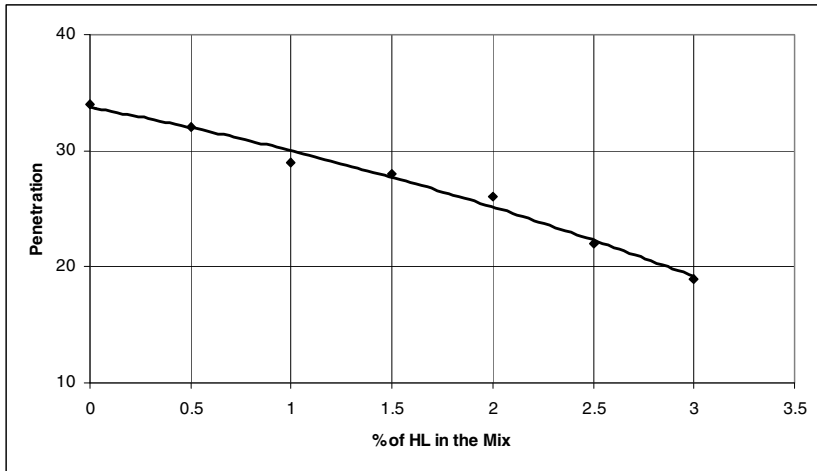
The consistency of filler-asphalt mixes with different percentage contents of hydrated lime as partial replacement of limestone dust was determined using the penetration and softening point tests, the result of tests are presented in table (4) and shown graphically in figures (3) and (4).

**Table 4.** Penetration and softening point tests result

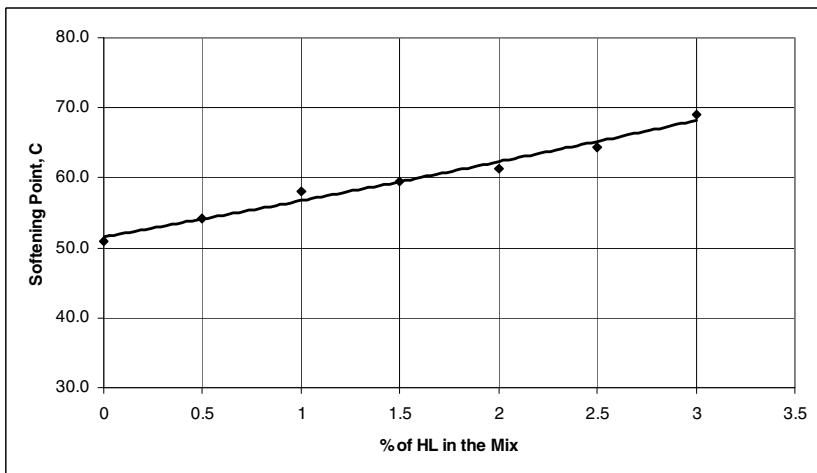
Hydrated Lime Content* , %	0	0.5	1.0	1.5	2.0	2.5	3.0
Optimum Asphalt Content, %	4.73	4.75	4.88	4.92	5.07	5.13	5.34
Test Results	Penetration at 25C,100 gm,5 sec.						
	(0.1mm) (original=47)						
	34	32	29	28	26	22	19
	Softening Point, (°C)						
	(original=47°C)						
	51.0	54.2	58.0	59.6	61.3	64.4	69.0

\* As partial replacement of limestone dust, 7 percent filler content is constant.

As can be seen from the presented data, hydrated lime content has a substantial influence on the the consistency of filler-asphalt mixes. With respect to the penetration test, the penetration decreases with increasing hydrated lime content, for example, the penetration value for 1.5 percent hydrated lime content is 0.875 times the value of 0.5 percent, the constant of proportionality which can be driven from figure (3) is approximately -4.857 (1/10 mm) for each 1 percent increase in hydrated lime content. By contrast, the softening point increases with increasing the hydrated lime content and the constant of proportionality is +5.55 (°C) for each 1 percent increase in hydrated lime content. From the above results, it may be possible to argue that the higher the replacement rate of limestone dust with hydrated lime, the higher the stiffness of the resulted filler-asphalt mixes.



**Fig. 3.** Effect of hydrated lime content on penetration



**Fig. 4.** Effect of hydrated lime content on softening point

### 5.2 Effects of Hydrated Lime on Marshall Properties

The variation of Marshall properties with hydrated lime content is shown in figure (5) which is based on the data presented in Table (5). Examinations of the presented data suggest that the mixes with higher hydrated lime content possess higher optimum asphalt cement content, the highest value of optimum asphalt content (5.34%) was obtained with 3% hydrated lime, while the lowest value (4.73%) was obtained with 0% hydrated lime which is the case that the mineral filler entirely consists of limestone dust.

**Table 5.** Summary of the Marshall properties of asphalt concrete mixes at optimum asphalt content

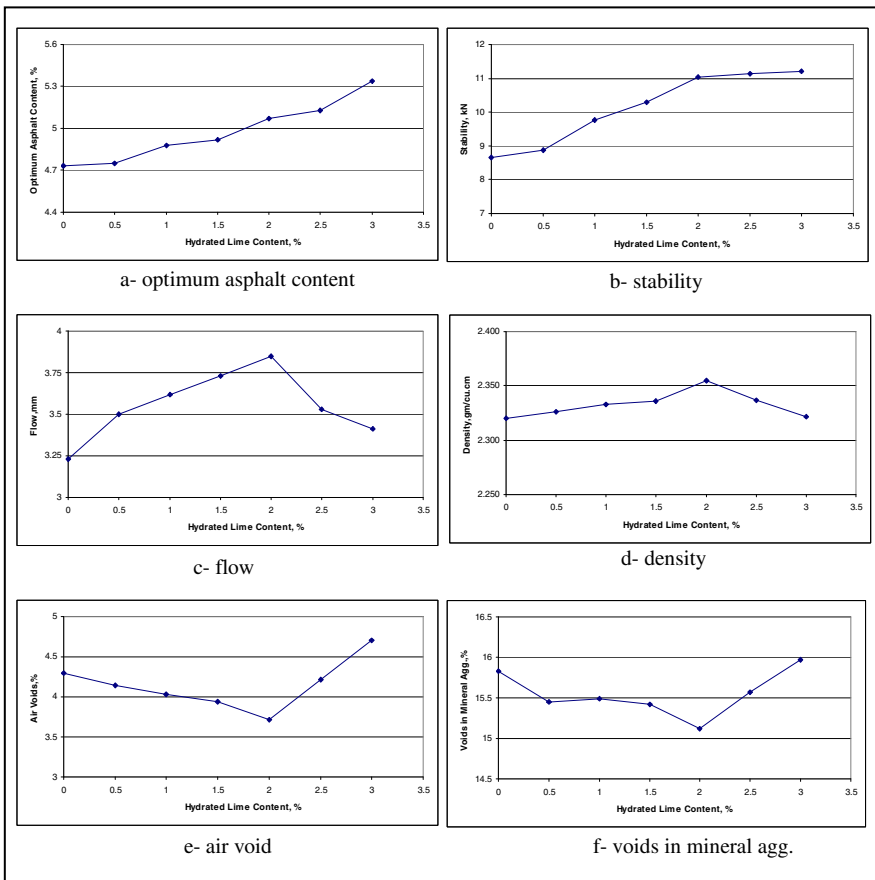
Hydrated Lime Content*, %		0	0.5	1.0	1.5	2.0	2.5	3.0
Optimum Asphalt Content, %		4.73	4.75	4.88	4.92	5.07	5.13	5.34
Marshall Properties	Stability, kN	8.65	8.87	9.76	10.3	11.05	11.14	11.2
	Flow, mm	3.23	3.5	3.62	3.73	3.8	3.53	3.41
	Density, gm/cm <sup>3</sup>	2.320	2.329	2.333	2.336	2.348	2.337	2.331
	Air Voids, %	4.3	4.14	4.03	3.94	3.71	4.21	4.7
	VMA, %	15.83	15.45	15.49	15.42	15.12	15.57	15.97

\* As partial replacement of limestone dust, 7 percent filler content is constant.

These differences can be attributed to the higher surface area of hydrated lime as compared to that of limestone dust. As shown earlier in this study, the surface area of hydrated lime is 1.63 times that of limestone dust, and hence the demand for asphalt has increased with increasing the replacement rate of limestone dust with hydrated lime. With respect to stability, the results indicate that the stability increases with increasing hydrated lime content, also the increment rate varies with hydrated lime content, the maximum rate obtained is 1.09 kN/1 percent for the hydrated lime content ranged from 0.5 to 2 percent, whereas for the hydrated lime content ranged from 0 to 0.5 percent and from 2 to 3 percent the rate was 0.44 and 0.15 kN/1 percent, respectively. From the stability plot, it may be possible to argue that the maximum benefit can be obtained with the use of 2 percent hydrated lime since further increase in hydrated lime content associated with just slight increases in stability value and require more asphalt cement content as compared to mixes with 2 percent hydrated lime.

The results of flow as a function of varying the hydrated lime content is shown in plot "c", its obvious that the flow value increases as the hydrated lime content increases from 0 to 2 percent, and then decreases as the hydrated lime content increases. This is due to the fact that air voids are too low at 2 percent hydrated lime content, addition of hydrated lime higher than this value tend to increase air voids due to insufficient compaction effort so the flow value decrease. The relationship between hydrated lime content and density which is shown in plot "d" follow the same trend of that between the hydrated lime content and Marshall flow, an optimum hydrated lime content which yields the highest Marshall density is 2 percent, further increases in hydrated lime content tend to decrease the Marshall density. As demonstrated in plot "e", the trend observed for the effect of hydrated lime content on air voids values is exactly opposite to that observed between hydrated lime content and flow , for a hydrated lime content from 0 to 2 percent, the air voids decreases with a rate of -0.295 percent for each 1 percent

change in hydrated lime content, beyond 2 percent, the air voids content increases rapidly with a rate of +0.99 percent for each 1 percent change in hydrated lime content, this can be easily explained by the fact that the hydrated lime is finer than limestone dust so it can efficiently fill the voids pockets and stiffens the mixes for a certain amount beyond which there will be a lack in the compaction effort resulting in high air voids content. Plot "f" demonstrates the effect of hydrated lime content on voids in mineral aggregate (VMA), as its clear from the plot until a 2 percent of hydrated lime content the VMA decreases as the hydrated lime content increases, the minimum VMA value corresponding to 2 percent hydrated lime is 15.12 percent which means less spaces to be accommodated by asphalt cement, after 2 percent hydrated lime content, an addition of hydrated lime result in increasing the VMA values.



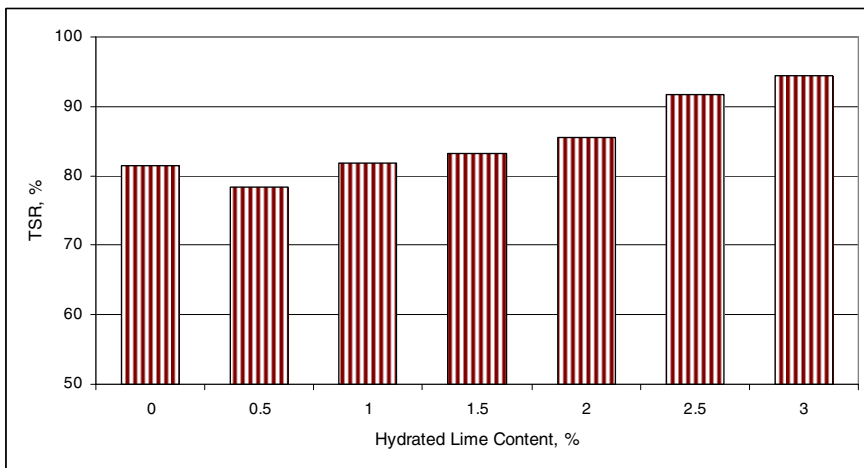
**Fig. 5.** Effect of hydrated lime content on Marshall properties

### 5.3 Effects of Hydrated Lime on Moisture Susceptibility

Based on the data shown in Table (6) and Figure (6), it appears that the examined hydrated lime contents have influence on the moisture susceptibility of the asphalt concrete mixes. The indirect tensile strength results for both control and conditioned mixes approximately linearly proportional to the hydrated lime content with constants of proportionality of +92.5 for the former and +150.5 kPa per 1 percent change in hydrated lime content for the latter. It is interesting to note that the improvement rate in the indirect tensile strength for the mixes with hydrated lime, added as part of the mineral filler, is higher in the case of conditioned mixes than that of control mixes. These findings beside that related to tensile strength ratio shown in figure (6) confirm that the resistance to moisture induced damage is enhanced in asphalt concrete pavement modified with hydrated lime.

**Table 6.** Moisture susceptibility test results

Hydrated Lime Content, %	ITS, kPa		TSR, %
	Control	Conditioned	
0	1290	1051	81.5
0.5	1342	1053	78.4
1.0	1373	1124	81.9
1.5	1441	1200	83.3
2.0	1496	1281	85.6
2.5	1532	1405	91.7
3.0	1554	1467	94.4



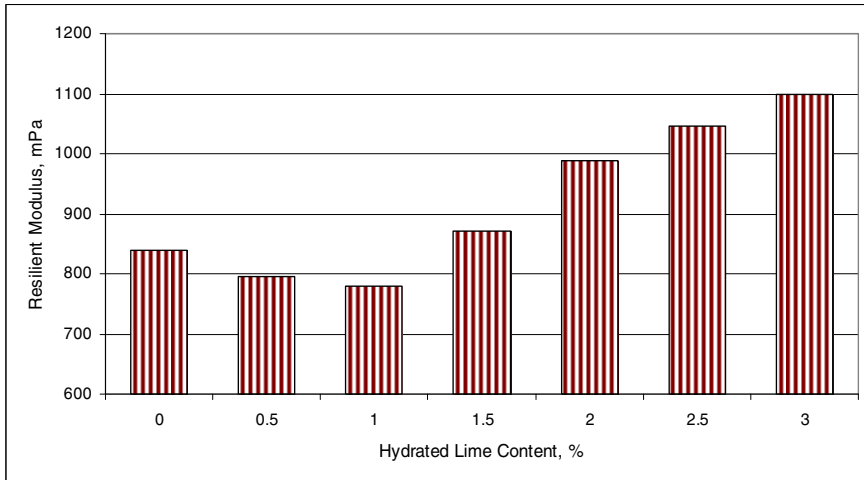
**Fig. 6.** Effect of hydrated lime content on tensile strength ratio

### 5.4 Effects of Hydrated Lime on Resilient Modulus

Table (7) as well as figure (7) exhibits the variation of the resilient modulus values with the hydrated lime content. The relation is in reverse order up to 1 percent content of hydrated lime (i.e., as the hydrated lime content increases the resilient modulus decreases), but further increase in hydrated lime content reflects this relation, the resilient modulus of the mixes with 3 percent hydrated lime (1098 mPa) is 1.4 times the value for mixes with 1 percent hydrated lime which was 779 mPa, these results can be explained as follow; since the test was conducted under relatively high temperature (40°C (104°F)), so the low level of hydrated lime content (below 1 percent) is insufficient to stiffening the asphalt concrete mixes whereas the higher values of resilient modulus resulted from the high level of hydrated lime content (above 1 percent) indicate that the hydrated lime did increase the stiffness of the asphalt concrete mix.

**Table 7.** Resilient modulus test results

Hydrated Lime Content , %	0	0.5	1.0	1.5	2.0	2.5	3.0
Resilient Modulus, mPa	838	796	779	871	989	1047	1098



**Fig. 7.** Effect of hydrated lime content on resilient modulus

### 5.5 Effects of Hydrated Lime on Permanent Deformation

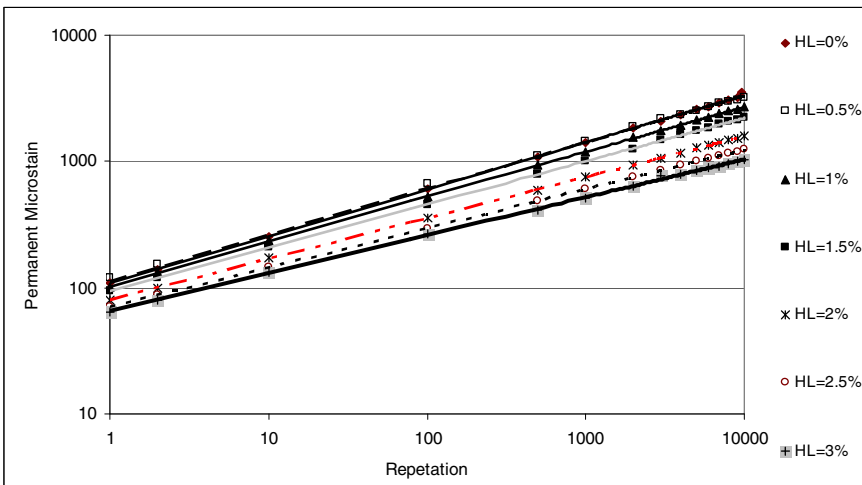
The result of permanent deformation tests is shown in figure (8) which is based on the data presented in table (8), Examinations of the presented data suggests that



the permanent deformation parameters intercept and slope generally improved with the use of hydrated lime, for mixes containing 0 percent hydrated lime, the slope value which reflects the accumulation rate of permanent deformation is approximately 20 percent higher than that of mixes with 3 percent hydrated lime. For the intercept, the value is slightly increase as the hydrated lime content increases from the 0 to 0.5 percent, but then the addition of extra amount of hydrated lime tend to decrease the intercept value in a rate of 19.7 microstrain per each 1 percent change in hydrated lime content. This finding confirms that the rutting mode of failure in asphalt concrete pavement which is enhanced at hot summer temperature can be reduced into large extent with the introduction of hydrated lime to asphalt concrete mixtures.

**Table 8.** Permanent deformation test results

Hydrated Lime Content , %	0	0.5	1.0	1.5	2.0	2.5	3.0
Intercept	108	113	102	95	80	70	66
Slope	0.372	0.366	0.355	0.341	0.324	0.312	0.300



**Fig. 8.** Effect of hydrated lime content on permanent deformation

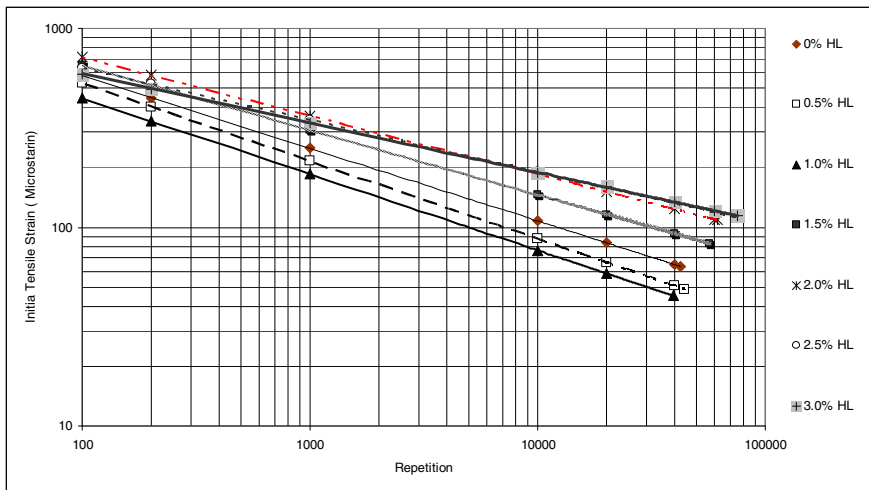
**5.6 Effects of Hydrated Lime on Fatigue Performance**

The fatigue characteristic curves for all mixtures are presented in Fig. 9. The fatigue parameters k1 and k2 are shown in Table 9. Values of k1 and k2 can be used as indicators of the effects of hydrated lime on the fatigue characteristics of a

paving mixture. The flatter the slope of the fatigue curve, the larger the value of  $k_2$ . If two materials have the same  $k_1$  value, then a large value of  $k_2$  indicates a potential for longer fatigue life. On the other hand, a lower  $k_1$  value represents a shorter fatigue life when the fatigue curves are parallel, that is,  $k_2$  is constant. Test results indicate that the use of hydrated lime with a rate of content ranged from 0 to 1 percent does not have significant effect on fatigue life but the mixes with more than 1 percent hydrated lime showed better fatigue performance, the  $k_2$  value for mixes with 2 and 3 percent hydrated lime was more than that of 1 percent hydrated lime by 30.6 and 54.4 percent, respectively. Considering  $k_1$ , it can be concluded from the data shown in table (9) that there is an agreement between the results of  $k_1$  and  $k_2$  in the field of fatigue resistance,  $k_1$  has the smallest value ( $9.561 \times 10^{-12}$ ) when the hydrated lime content was 3 percent and it

**Table 9.** Fatigue parameters result

Hydrated Lime Content , %	0	0.5	1.0	1.5	2.0	2.5	3.0
$k_1$	1.339 x E-7	4.162 x E-7	1.780 x E-7	1.555 x E-8	1.822 x E-9	5.499 x E-11	9.561 x E-12
$k_2$	2.74	2.56	2.61	3.08	3.41	3.83	4.03
Crack Index	5.34	5.47	5.38	3.19	2.63	2.01	1.45



**Fig. 9.** Effect of hydrated lime content on fatigue performance

was increase as the hydrated lime content decreased from 3 to 1 percent, but for the mixes with 0.5 percent hydrated lime content, k1 value was more than that of 0 percent hydrated lime. Using vesys5w software for analyzing pavement section consisted of a 150 mm asphalt concrete layer over a 400 mm base course layer with 1 million ESALs application during 10 years service life, the crack index value which is a dimensionless parameter providing an estimate for the amount of fatigue cracking is obtained and shown in table 9 below. 0 percent hydrated lime result in crack index value of 5.34 (severe cracking) whereas the use of 2 and 3 percent hydrated lime result in crack index value of 2.63 (moderate cracking) and 1.45 (light cracking), respectively.

## 6 Conclusions and Recommendations

The following conclusions and recommendations are based on the results of the laboratory tests and analysis presented in this study:

1. In the filler-asphalt mixes, hydrated lime has shown significant stiffening properties when mixed with asphalt cement as partial replacement for limestone dust. For each 1 percent increase in hydrated lime, the penetration value decreases at a rate of 4.857 (1/10 mm) whereas the softening point increases at a rate of +5.55 (°C).
2. The addition of different percentages of hydrated lime as a filler substitute has a significant effect on volumetric mixture properties, some of the obtained results can be summarized as follow:
  - The mixes with higher hydrated lime content possess higher optimum asphalt content, the highest value of optimum asphalt content (5.34%) was obtained with 3 percent hydrated lime, while the lowest value (4.73%) was obtained with 0 percent hydrated lime
  - An optimum hydrated lime content which yields the highest density is 2 percent, further increases in hydrated lime content tend to decrease the density
  - For mixes with a hydrated lime content ranged from 0 to 2 percent, the air voids decreases with a rate of -0.295 percent for each 1 percent change in hydrated lime content. Beyond 2 percent, the air voids content increases rapidly with a rate of +0.99 percent for each 1 percent change in hydrated lime content
3. The addition of hydrated lime has improved the indirect tensile strength for both control and conditioned mixes with a rate of +92.5 and +150.5 kPa per 1 percent increase in hydrated lime content, respectively. The resistance to moisture induced damage is enhanced in asphalt concrete pavement modified with hydrated lime.
4. The addition of hydrated lime as a filler substitute with a rate ranged from 1.5 to 3 percent has shown an increase in resilient modulus. The resilient modulus for mixes with 3 percent hydrated lime was 1.31 times that for mixes with 0 percent hydrated lime.

5. The permanent deformation parameters, slope and intercept, was significantly effected with the addition of deferent percentages of hydrated lime. The modified mixes show higher resistance to permanent deformation when the percentage of hydrated lime is increased as a filler substitute.
6. The use of hydrated lime as a filler substitute within a range of 1.5-3 percent has improved the fatigue property of the asphalt concrete mixes as determined by flexural test. The  $k_2$  value (inverse slope of fatigue line) for mixes with 2 and 3 percent hydrated lime was more than that of 0 percent hydrated lime by 24.4 and 47.0 percent, respectively.
7. The use of 2 percent hydrated lime has shown a significant improvement of asphalt concrete behavior, and has added to the local knowledge the possibility of producing more durable mixtures with higher resistance to distresses.

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# Determination of Crack Growth Parameters of Asphalt Mixtures

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**Abstract.** A test procedure has developed where the crack growth parameters of an asphalt concrete mixture can be determined easily and uniformly. The semi circular bending (SCB) test is the basis of this procedure. However, in this procedure SCB-specimens with a base length of 225 mm are used instead of 150 mm as is indicated in EN 12697-44. In this way the total crack length increases and this is beneficial for the repeatability and reproducibility of the test results. The tests are force controlled with a haversine as loading signal. The length of the crack is measured indirectly by using a crack opening displacement (COD) gauge over the notch, which is situated in the centre of the base of the SCB-specimen. With finite element simulations, the relationship between the COD and the crack length and the stress intensity factor  $K$  are determined.

All the information is used to determine the Paris' parameters  $A$  and  $n$  of the tested mixture. The (draft) procedure has been used by two laboratories on two asphalt concrete mixtures. It is concluded that with the test procedure appropriate crack growth parameters can be determined with acceptable values for the repeatability and reproducibility of the test results.

In the paper the test procedure will be presented. Also information on the tested materials and test results will be incorporated.

## 1 Introduction

Although reflective cracking is an important damage phenomenon of asphalt concrete pavements on a cement treated subbase or a cracked concrete base, there is not much practical information available on the design procedure of these types of pavements. Also the determination of crack growth parameters of the used materials is often a problem. For these reasons the use of cement treated subbase layers or the reuse of cracked concrete layers is often not an acceptable option for road authorities and contractors. From the viewpoint of sustainability and environmental friendly reuse of materials, this is not acceptable.

In the Netherlands, the use of the bearing capacity of the cracked pavement is an important criterion in the tender procedure for the rehabilitation of existing

roads. To demonstrate the value of the cracked pavement, the contractor has to prove how reflective cracking can be prevented using various kinds of solutions (e.g. SAMI's, reinforcement, highly modified mixtures). In this procedure the determination of accurate crack growth parameters of the applied asphalt concrete mixtures is one of the critical points. Especially the poor repeatability and reproducibility of the crack growth tests with small specimens (e.g. SCB-specimens with a diameter of 150 mm) is a big issue.

For this reason, a procedure for the determination of reliable crack growth parameters is developed. The main starting point for this procedure is the fact that the test can be performed in laboratories which have test facilities to perform dynamic tests to determine properties like stiffness, fatigue and/or permanent deformation. In the Netherlands all the major road contractors own this kind of equipment.

In this paper the test procedure is presented. This procedure is tested by two laboratories, performing tests on two kinds of asphalt mixtures. The compositions of these mixes are described and the test results are presented. Finally the conclusions and recommendations are given.

## **2 Test Procedure**

### ***2.1 General***

The test procedure is based on experiences from [1]. To determine the crack growth parameters, the Semi Circular Bending (SCB) test is used.

During the test, a sinusoidal load is applied on an SCB-shaped specimen. The load is always compressive to prevent contact loss between loading strip and specimen. During the test, the crack growth is measured using a crack opening displacement (COD) gauge, which is located over an artificial crack in the middle of the basis of the SCB specimen. During the test the force, the displacement and the COD-gauge are measured and recorded. These data are analysed using Paris' law [2] and the results of finite element simulations of the test.

The SCB specimens are tested in a bending frame. The characteristics of this loading frame are mentioned in EN 12697-44. In the following chapters the requirements with respect to the specimen, the test configuration and the calculations of Paris' parameters are described.

### ***2.2 Specimens***

The specimen shall have a diameter of  $(225\pm 3)$  mm. The specimen shall be cut from slabs or cored from a pavement. If the thickness is large enough, compaction cracks shall be removed. From the disk shaped core, two SCB-specimens can be cut. In case the base of the SCB specimen is not fully flat, this can be eliminated by polishing.

At mid length of the SCB-specimen, a notch is cut using a saw blade. The final width of the notch is  $(0,35 \pm 0,10)$  mm. The notch shall be perpendicular to the base of the SCB-specimen. The length of the notch shall be  $(15,0 \pm 1,0)$  mm.

The properties of the SCB-specimen are determined using a dry specimen. According to EN 12697-6, a specimen is dry when the change in mass between two weightings, which are performed with a time interval of at least one hour, is less than 0,1%. The dimensions of the specimen are determined using the following procedure (based on EN 12697-29):

- Determine on two locations the diameter  $D_{i=1,2}$  of the specimen with an accuracy of 0,1 mm. The diameter  $D$  is the average of the two measurements;
- Determine on both sides of the specimen the height  $H_{i=1,2}$  of the specimen with an accuracy of 0,1 mm. The height  $H$  is the average of the two measurements;
- Determine on 4 locations (three measurements on the basis and one on the top) the thickness  $T_{i=1,4}$  of the specimen with an accuracy of 0,1 mm. The thickness  $T$  is the average of the four measurements;
- The width and length of the artificial crack shall be determined with an accuracy of 0,1 mm.

In Table 1 the requirements with respect to the dimensions of the SCB-specimen are gathered.

**Table 1.** Required dimensions of the SCB specimen

<b>Diameter D</b>	<b>Height H</b>	<b>Thickness T</b>	<b>Notch length a</b>
$(225,0 \pm 10,0)$ mm	$(112,0 \pm 3,0)$ mm	$(50 \pm 1)$ mm	$(15,0 \pm 1,0)$ mm

The upper sieve size of the tested asphalt concrete mixture shall not exceed 25% of the height  $H$  of the specimen; the thickness  $T$  shall be at least 2 times the upper sieve size of the mixture.

To determine the crack growth parameters of an asphalt concrete mixture, at least 6 SCB-specimens are necessary:

- 2 specimens are used to determine the load level of the dynamic tests. To determine this level, 2 static tests according to EN 12697-44 are carried out on specimens with a diameter of 225 mm at the test temperature of the crack growth measurements;
- The crack growth parameters are determined by testing 4 specimens.

### **2.3 Loading Facility**

The loading frame is schematically given in Figure 1. The characteristics of the loading frame are given in EN 12697-44.



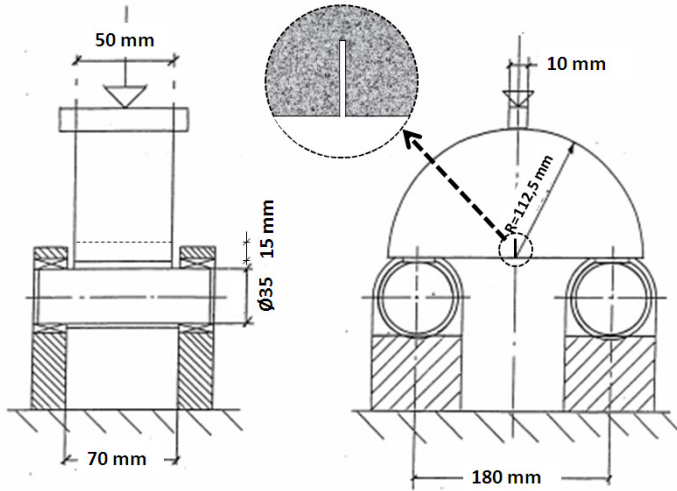


Fig. 1. The SCB loading frame with specimen

## 2.4 Test Performance

All tests are carried out in a temperature controlled room with a temperature of  $(5 \pm 1)^\circ\text{C}$ .

Before starting the actual crack growth measurements, first the load level  $F_{\text{dyn}}$  has to be determined. The magnitude of this dynamic load level  $F_{\text{dyn}}$  is such that the life span of a notched specimen in the dynamic test is about 200.000 load cycles. In practice this implies that a dynamic load level of 35% of the mean maximum load of the static tests, performed at a temperature of  $5^\circ\text{C}$  and a deformation speed of the piston of 50 mm/minute, gives a reasonable load level. So  $F_{\text{dyn,max}} = 0,35F_{\text{stat,mean}}$ .

After the static tests, the dynamic tests are performed at a loading frequency of  $30 \pm 1$  Hz. The chosen load signal is a haversine, varying between  $F_{\text{dyn,min}}$  and  $F_{\text{dyn,max}}$ . The  $F_{\text{dyn,min}}$  is 10% of the  $F_{\text{dyn,max}}$ , so the specimen is always under compression. The R-value of the test is +0,1.

During the cyclic crack growth test, the crack length is measured indirectly using a COD-gauge. This gauge is mounted over the notch at mid length of the SCB-specimen. The accuracy of the COD-gauge shall be chosen appropriately. Deformations in the range of  $10 \mu\text{m}$  are usual values found during the dynamic tests. A gauge with a measuring length of 12 mm and a dynamic measuring range of 9 mm able to measure at a frequency of 100 Hz with a non-linearity of 0,15% and an accuracy class of 0,2 can comply to these requirements.

During the dynamic crack growth test, the measurements of the force, deformation and COD-value must be carried out at least each time the stiffness of the specimen has decreased 1% with respect to its initial stiffness. For a load controlled test, this implies that data have to be recorded when the dynamic

deformation of the specimen has increased by 1% with respect to the initial deformation level.

The test will be finished when the specimen is broken into two pieces. Because the specimen will break progressively, at least 60 to 70 data points will be available after the test.

### 2.5 Determination Paris' Parameters

Based on the test conditions and the recorded data, the Paris' parameters A and n can be determined:

$$\frac{dc}{dN} = A \cdot K_I^n \tag{1}$$

where:

- c = crack length (mm);
- N = number of load repetitions (-);
- A,n = Paris' parameters;
- K<sub>I</sub> = stress intensity factor (Nmm<sup>1.5</sup> or MPa√mm)

The aim of the total procedure is to determine the value of the Paris' parameters A and n. With these parameters, each set of A and n are characteristics for a specific mixture, asphalt mixtures can be compared or the life span of a pavement structure with crack growth as design procedure can be determined. The total procedure has 6 steps (see Table 2), where:

- COD = measured COD-amplitude in the dynamic crack growth test;
- COD = f(N) means that the COD-value is a function of N.

**Table 2.** Procedure to determine Paris' parameters from COD measurements

Step	Action	Result
1	Perform the dynamic SCB-test	COD = f(N)
2a	Simulate the crack growth process with finite element calculations	K <sub>I</sub> = f(COD)
2b		c = f(COD)
3	Combine step (1) with step (2a)	K <sub>I</sub> = f(N)
4	Combine step (1) with step (2b)	c = f(N)
5	Combine step (3) with step (4)	c = f(K <sub>I</sub> )
6	Combine step (4) with step (5)	dc/dN = f(K <sub>I</sub> )

In the determination of A and n the following equations are used:

$$K_I = \sigma_{SCB} \cdot f\left(\frac{c}{H}\right) \tag{2}$$

The relation between K and c can be determined using linear elastic finite element method (FEM-)calculations. It is found that:

$$f\left(\frac{c}{H}\right) = -4,9965 + 155,58\left(\frac{c}{H}\right) - 799,94\left(\frac{c}{H}\right)^2 + 2141,9\left(\frac{c}{H}\right)^3 - 2709,1\left(\frac{c}{H}\right)^4 + 1398,6\left(\frac{c}{H}\right)^5 \tag{3}$$

where:

H = the maximum crack length. This is the height of the SCB-specimen.

In the SCB-specimen the magnitude of the stress  $\sigma_{SCB}$  is determined using equation (4):

$$\sigma_{SCB} = \frac{4,263 \cdot F_{SCB,N=0}}{T_{SCB} \cdot L_{SCB}} \tag{4}$$

where:

$F_{SCB,N=0}$  = external applied force (=F<sub>max</sub>-F<sub>min</sub>) at the start of the test (N). The value of F<sub>max</sub> is equal to F<sub>dyn,max</sub>;

$L_{SCB}$  = distance between the rollers in the SCB-test = 180 mm;

$T_{SCB}$  = thickness of the SCB specimen (mm).

In equation (3) and (4) the results of the experiment are taken into account. The effect of the stiffness modulus E and Poisson’s ratio  $\nu$  on the various parameters is investigated. From this it is concluded that the  $K_I$ -value does not depend on the stiffness and the COD-value has a linear relationship with the stiffness. Poisson’s ratio has no effect on both  $K_I$  and COD.

From the FEM-calculations also a relation between the COD-value and the crack length can be determined. It is found that:

$$\left(\frac{c}{H}\right) = 0,1642 \cdot \ln\left(\frac{COD_N}{COD_{N=1}}\right) + 0,1413 \tag{5}$$

where:

$COD_N$  = crack opening displacement value at the N<sup>th</sup> load cycle (mm);

$COD_{N=1}$  = crack opening displacement value at the first load cycle (mm).

From equations (1) to (5) the following relations can be determined:

- The relation between crack length and the number of load repetitions (using the COD-measurements from the test) and
- The relation between the  $K_I$ -value and the crack length (from the FEM-calculations).

This implies that there is a relationship between the crack length c and the stress intensity factor  $K_I$  as a function of the number of load repetitions N. From these relationships the Paris’ parameters can be determined.

Finally a set of data is available with the relationship between the number of load repetitions  $N$  and the crack length  $c$ . Now two different approaches can be followed:

- The available data can be fit using the following relationship:

$$c = C_0 + C_1(1 + C_2N)^{C_3} + C_4N^{C_5} \tag{6}$$

The coefficients  $C_1$  to  $C_5$  can be determined using a curve fitting procedure. The value of  $(C_0+C_1)$  is the length of the notch at the start of the test ( $N=0$ ). After determination of the coefficients  $C_1$  to  $C_5$  the first derivative of equation (6) can be determined and  $dc/dN$  as a function of  $N$  can be defined.

- From the available dataset between  $c$  and  $N$  the value  $\Delta c/\Delta N$  can be determined where  $\Delta c$  is the increment in crack length during an interval of load repetitions  $\Delta N$ . The  $\Delta c/\Delta N$ -values as a function of  $N$  can be used as the left term in Paris' law.

At the end the parameters  $dc/dN$  and  $K_I$  as a function of the number of load repetitions  $N$  are available. Using regression analysis, the Paris' parameters  $A$  and  $n$  can be determined using equation (1).

### 3 Test Program

To check the procedure a test program has been carried out by two laboratories using two different kinds of asphalt mixtures. Characteristics of the mixtures are presented in Table 3.

**Table 3.** Characteristics of the tested mixtures

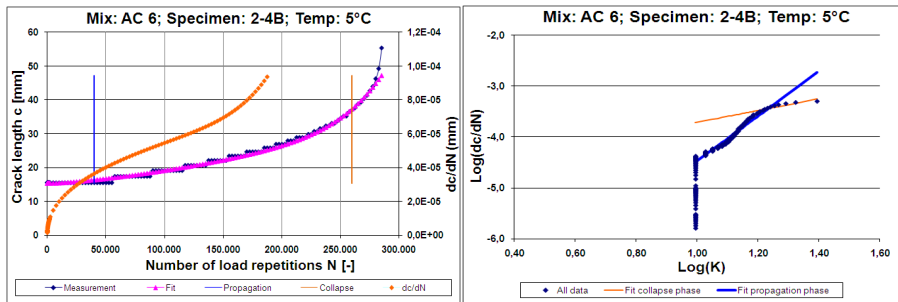
	AC 5 surf 40/60	AC 16 surf 40/60
Passing sieve:		
C22,4		100
C16		98,8
C11,2		87,5
C8	100	75,0
C5,6	98,4	62,5
2 mm	50,0	40,0
180 $\mu\text{m}$	14,4	10,3
63 $\mu\text{m}$	7,6	6,2
Bitumen content (%m/m)	6,4	5,7
Density ( $\text{kg/m}^3$ )	2280	2361
Air voids (%V/V)	5,2	2,4
Stiffness @8 Hz, 20°C (MPa)	3797	6532
$\epsilon_6$ @30 Hz, 20°C ( $\mu\text{m/m}$ )	154	102

In the test program, first the load level in the dynamic tests is determined. This load level was set at 30% of the failure load in a static test.

### 4 Test Results

In this section the results of the tests and the determination of the Paris' parameters will be presented. The average diameter  $D$  of all specimens is 224,7 mm; the average thickness  $T$  is 50,0 mm and the average height  $H$  is 109,5 mm. All tests are performed at a test temperature of 5°C.

In Figure 2 one of the results of the measurements is shown. On the left of Figure 2, the results of the COD-measurements during the test are illustrated.



**Fig. 2.** Results of the crack growth measurements using the curve fit procedure

From Figure 2 it can be concluded that the curve fit procedure can describe the measured data accurate. After fitting the data the  $dc/dN$ -values can be determined. On the right of Figure 2 the data points are presented in the Paris' plot.

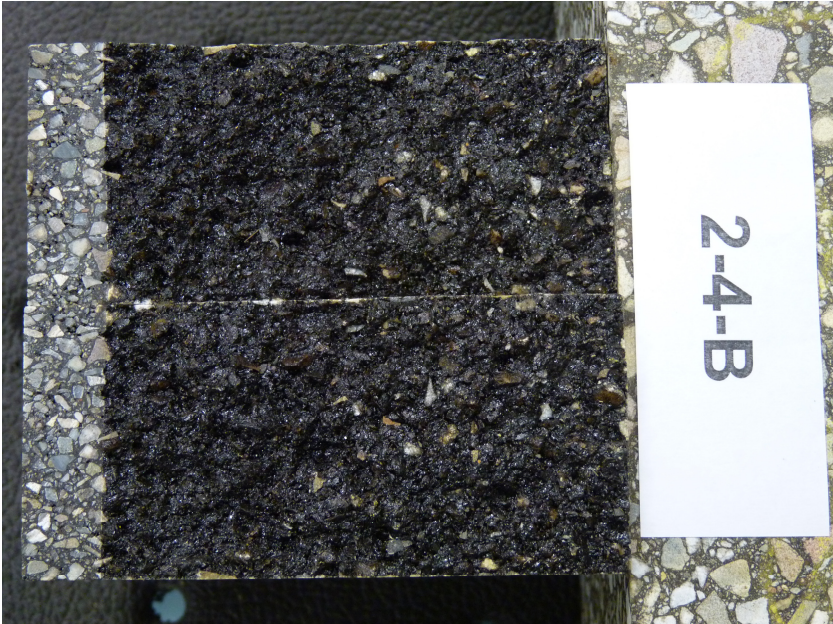
The main disadvantage of the curve fit procedure is that sometimes no solution can be found automatically. Another disadvantage is the fact that the final magnitude of the crack growth parameters sometimes depends on the start values of the curve fitting procedures.

In Figure 2 three processes can be distinguished:

- The crack initiation phase, which is represented by the data points with a  $\log(dc/dN)$ -value smaller than -4,4;
- The crack propagation phase, represented by the blue line in the right of Figure 2. On the left of Figure 2 this phase occurs in the period between the vertical blue and orange line (between 40.000 and 260.000 load repetitions);
- The progressive crack growth or collapse phase, represented by the orange line on the right in Figure 2. This phase occurs in the period between 260.000 and complete failure of the specimen. However, the slope of the progressive crack growth process is unexpected: an almost vertical line was expected ( $dc/dN$  becomes very large) and a almost horizontal line is found. An explanation of this can be the fact that the high  $K$ -values during this phase are compensated by lower  $dc/dN$ -values.

Looking closer to the cracked planes of specimen 2-4B (see Figure 3), these three phases can be recognized again. On the left of Figure 3, the notch is visible. In the

middle part (up to 55% of the height of the specimen), the crack propagation can be seen where the cracked surface has a matt appearance. The progressive collapse phase can be recognized by the shining surface. At this moment only differences in colour and light reflection are recognised in the crack surfaces. In future high accurate texture scans will be used to recognise differences in crack growth phases.



**Fig. 3.** The cracked surface of specimen 2-4B

In Figure 4 the results of the same crack growth test are presented as in Figure 2, but now using the  $\Delta c/\Delta N$ -approach to determine the Paris' parameters, so no curve fitting has been carried out on the crack length data. The main disadvantage of this procedure is that a substantial part of the data points cannot be used: because in the  $\log(\Delta c/\Delta N)$ - $\log(K_I)$ -relation only increasing crack length data can be used in the analyses.

In Table 4 the Paris' parameters of both procedures are presented.

**Table 4.** Results of the 2 procedures to determine the Paris' parameters

Crack phase	Paris' parameter	Curve fitting procedure	$\Delta c/\Delta N$ -approach
Propagation	Log(A)	-8,04	
	n	3,61	
Collapse	Log(A)	-6,52	
	n	2,45	
All data	Log(A)	-8,36	-9,47
	n	3,96	4,90

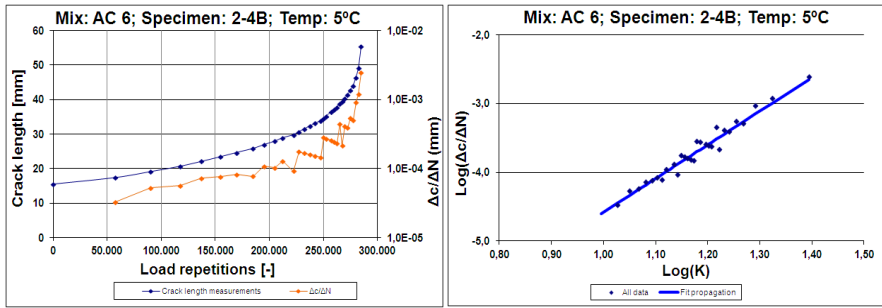


Fig. 4. Results of the crack growth measurements using the  $\Delta c/\Delta N$ -approach

So the procedure to determine Paris' parameters from the data points influences the final values of the Paris' parameters substantially. Especially the data points at the end of the crack growth process influences the final Paris' parameters.

In Table 5 the Paris' parameters of the tested specimens of both laboratories are presented. The Paris' parameters determined by Lab1 are calculated using the curve fit procedure for the crack propagation phase; Lab2 determined the Paris' parameters using the  $\Delta c/\Delta N$ -approach.

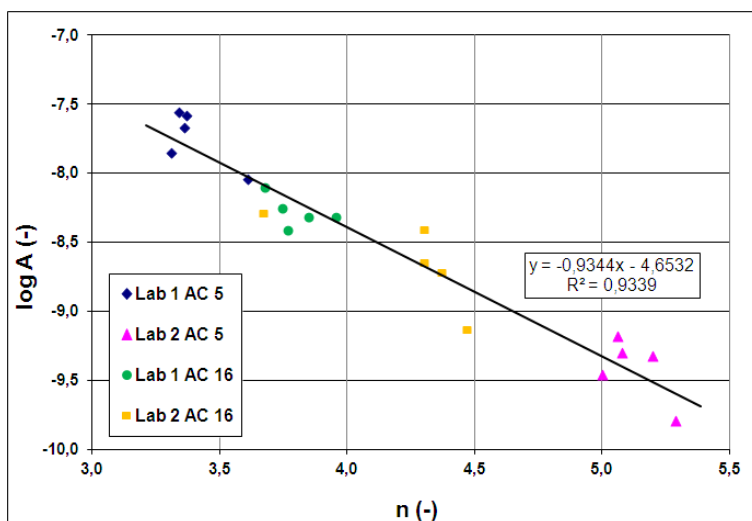
From these results the following conclusions can be formulated:

- The repeatability of the crack growth test using SCB-specimens with a base length of 225 mm is rather good. In the n-value an average variation coefficient of 2,7% is found;
- The reproducibility of the crack growth process is rather poor. This is mainly caused by the interpretation of the measured data points using a curve fitting procedure or the  $\Delta c/\Delta N$  approach;
- The results of the procedure depend on the way the data are analysed. For this reason the  $\Delta c/\Delta N$  approach is the preferred procedure and not the curve fitting procedure. The restriction of the  $\Delta c/\Delta N$  approach is that a substantial amount of data point should be available, but this is nowadays with the current data recording instruments not an issue anymore;
- The determined values of the Paris' parameters using the  $\Delta c/\Delta N$  approach comply with values found in literature (e.g. in [3]). Due to the absence of larger aggregate parts, which act as crack retarders, the n-value of the AC 6 mixture is expected to be larger than for the AC 16 mixture;
- In Figure 5 the relation between the Paris parameters n and  $\log(A)$  is given. Again it is found that there is a linear relationship between these two parameters. This implies that the  $\log(A)$ -value can be calculated if the n-value is known or vice versa.

**Table 5.** The Paris' parameters determined by the 2 laboratories on 5 specimens for each laboratory

Mix	Lab1: curve fitting procedure		Lab2: $\Delta c/\Delta N$ procedure	
	Log(A)	n	Log(A)	n
AC 5 surf 40/60	-7,56	3,34	-9,30	5,08
	-7,67	3,36	-9,32	5,20
	-7,85	3,31	-9,18	5,06
	-8,04	3,61	-9,79	5,29
	-7,58	3,37	-9,46	5,00
Average	-7,74	3,40	-9,41	5,13
Standard deviation	0,20	0,12	0,24	0,12
AC 16 surf 40/60	-8,32	3,85	-8,72	4,37
	-8,11	3,68	-8,65	4,30
	-8,32	3,96	-8,29	3,67
	-8,42	3,77	-9,13	4,47
	-8,26	3,75	-8,41	4,30
Average	-8,29	3,80	-8,64	4,22*
Standard deviation	0,11	0,11	0,30	0,08*

\*) The results of the third test are not taken into account.



**Fig. 5.** Relationship between the Paris' parameters  $\log(A)$  and  $n$



## 5 Conclusions and Recommendations

Based on the experiences from the test program, the following conclusions and recommendations can be formulated:

1. The cyclic SCB-test (the static version of the test is described in EN 12697-44), produces reliable crack growth parameters if the following starting-points are taken into account:
  - The base of the SCB-specimen shall be 225 mm;
  - The accuracy class of the COD-gauge shall be 0.2;
  - During the crack growth test, the COD-value shall be recorded regularly. An appropriate number of COD-measurements during a test is 1000;
  - In the data interpretation procedure, the  $\Delta c/\Delta N$  approach is preferred and not a curve fitting procedure;
2. There seems to be a relationship between the Paris' parameters  $n$  and  $\log(A)$ . If one of these parameters can be determined indirectly (e.g. by using the approach in [4]), maybe appropriate Paris' parameters can be determined using a simple test;
3. In the cracked surface, the three crack growth processes (crack initiation, crack propagation and progressive collapse) can be distinguished. By looking in detail to these cracked surfaces (e.g. by using highly accurate texture scans), the actual crack growth process can be coupled with the data from the crack growth process.

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# Differential Thermal Contraction of Asphalt Components

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**Abstract.** Large differences between the coefficient of thermal contraction of mineral aggregate and binder has been associated with localised damage at the aggregate-binder interface at low temperatures. In this work, the coefficients of thermal contraction of different binders, aggregates and asphalt mixtures have been determined. Binder specimens were first produced by pouring hot bitumen into 200 x 50 x 50 mm<sup>3</sup> moulds. The specimens were conditioned at various temperatures ranging from 10 to -20 °C. The change in length was then measured to determine thermal strains as a result of cooling. It was found that linear coefficients of thermal contraction varied between 115 and 175 x 10<sup>-6</sup> mm/mm/°C depending on grade and type of binder. Coefficient of thermal contraction of different aggregates was also determined. Rock specimens of the same dimension as the binder specimens were cut from large rock cores. The specimens were then conditioned at different temperatures and their change in length was measured. Three types of rocks namely limestone, granite and greywacke typically used in asphalt mixtures were employed. It was found that CTC of the aggregates varied between 7 and 10 x 10<sup>-6</sup> mm/mm/°C, thus, 10 to 25 times lower than those of the binders. The coefficient of thermal contraction of various asphalt mixtures was determined using a volumetric and a composite model. Furthermore, predicted values were compared with those determined experimentally using beam shaped asphalt specimens cut from roller compacted slabs manufactured in the laboratory.

## 1 Introduction

Pavements are subjected to a wide range of temperatures as a result of daily and seasonal temperature variation. Most materials including mineral aggregates and bituminous binders experience changes in volume due to changes in temperature, thus, they expand when the temperature rises and contract when the temperature drops. This thermovolumetric dependency is characterised in terms of the coefficient of thermal expansion (CTE) and contraction (CTC).

The thermal behaviour of an asphalt mixture depends primarily on that of its individual components i.e. mineral aggregate and bituminous binder. Coefficients of thermal contraction of these materials are, however, markedly different. Thus, at microscopic scale, thermal stresses may develop on the bituminous binder film surrounding an aggregate particle as result of differential thermal contraction

(DTC). Under extreme low temperatures these thermal stresses may cause localised damage in the form of hairline cracks that develop at the interface and propagate into the binder film. Furthermore, the presence of these cracks may accelerate moisture associated damage and reduce durability [1].

As aggregates represent about 85 % of the total volume of a typical asphalt mixture, the CTC of asphalt is greatly influenced by that of the aggregate. Moreover, the CTC of aggregates depends on the mineralogy of the rock. In general, siliceous aggregates with high quartz content exhibit high CTC whereas limestone which consists mainly of calcite exhibit low CTC [2]. Comparing to the CTC of aggregates, the CTC of a bituminous binder is between 10 to 20 times higher than those of the mineral aggregate. Typical value used for thermal stress analysis is  $170 \times 10^{-6} \text{ mm/mm}^{\circ}\text{C}$  [3]. Moreover, the CTC of an asphalt mixture can be obtained using a simple model based on the CTC of the asphalt components and the volumetric characteristics of the mixture. More complex composite models which include mixture parameters such as binder and aggregate modulus have also been developed [4].

The aim of this work was to determine the CTC of various binders and aggregates typically used in asphalt mixtures in the UK. It is believed that differential thermal contraction (DTC) due to large differences between the CTC of aggregates and binders could be the cause of localised damage at low temperatures leading to early pavement failures. CTC of aggregates and binders were also employed to calculate the CTC of various asphalt mixtures using a volumetric and a composite model. Furthermore, calculated values were compared with those determined experimentally using asphalt specimens.

## 2 Materials

### 2.1 Aggregates

Three types of aggregates namely, greywacke, granite and limestone, were used in the study. Greywacke is a type of sedimentary rock belonging to the sandstone group. Petrographic examination showed that the greywacke comprised of quartz (45 %), feldspars (15 %), chlorite (20 %) and biotite (9 %). Quartz is composed of pure silica whereas feldspars are aluminosilicates containing potassium, sodium and calcium. Chemistry of the greywacke indicated relatively high silica content of 66 %. Some of this silica combines with the main oxides to form the silicates.

Granite, on the other hand, is an intrusive igneous rock composed of interlocking crystals. Petrographic examination showed that the granite aggregate comprised mainly of quartz (25 %), orthoclase feldspars (46 %), amphibole (18 %) and biotite (5 %). Orthoclase feldspars are aluminosilicates containing potassium and are the main component in the granite. Chemistry of the granite indicated a silica content of 64 %.

Limestones are sedimentary rocks formed in a marine environment from the precipitation of calcium carbonate and compressed to form a solid rock. They are composed primarily of calcium carbonate ( $\text{CaCO}_3$ ) in the form of calcite.

Petrographic examination of the limestone used in the study showed an almost single mineral phase nature of the aggregate.

## **2.2 Binders**

Three binders were used in the present study, two penetration grade binders, a 10/20 pen and a 40/60 pen, and an elastomeric polymer modified binder (PMB 50). The 10/20 pen binder is primarily used in EME2 base and binder course mixtures whereas the 40/60 and PMB 50 are used in more generic type of mixtures.

## **2.3 Asphalt Mixtures**

Three types of materials, asphalt concrete (AC), stone mastic asphalt (SMA) and porous asphalt (PA), were used in the study. Maximum aggregate nominal size for all the mixtures was 10 mm. Design binder contents were 5.8 % (AC), 6.4 % (SMA) and 5.3 % (PA). In order to assess the effect of aggregate type on CTC, a first set of AC mixtures was produced with the same binder (PMB 50) but with three different types of aggregates. A second set was produced with the same aggregate (granite) but with three different types of binders to assess the effect of the binder type on CTC. Finally the same binder and aggregate were used to produce a set of AC, SMA and PA mixtures in order to evaluate the effect of the type of mixture on CTC.

# **3 Experimental**

## **3.1 Specimen Preparation**

Binder beam specimens were produced by pouring hot bitumen into 200 x 50 x 50 mm<sup>3</sup> steel moulds. Gang moulds suitable to produce three prisms with recessed inserts fixed to the centre of the inside faces of the moulds were used (see Figure 1). A release agent of talc and glycerol was first applied with a paint brush to the surface of the moulds. The moulds were then covered with degrease paper and sprayed with silicon.

Rock specimens were obtained by cutting 200 x 50 x 50 mm<sup>3</sup> beams from large rock cores. Three specimens per rock type were employed. Steel pegs were then glued on the centre of both sides of the prisms using epoxy resin. Rock specimens are also shown in Figure 1.

Finally, asphalt specimens were produced by cutting 200 x 50 x 50 mm<sup>3</sup> beams from 300 x 300 x 50 mm<sup>3</sup> asphalt slabs. The slabs were manufactured in the laboratory using a roller compactor. Bulk density and air voids of the beam specimens were determined before testing commenced. Three asphalt beam specimens were used for testing. Asphalt specimens are shown in Figure 1.



**Fig. 1.** Binder, rock and asphalt specimens

### **3.2 Testing**

The measuring apparatus consisted of a digital dial gauge (micrometer) mounted in a measuring frame with a recessed end which could be located on the inserts attached to the beam specimens. The apparatus is used for the determination of drying shrinkage of aggregates.

After manufacturing, the specimens were first conditioned at the initial test temperature for 24 h. After 24 h, the first measurements of the length of the beams were taken. Immediately after this the specimens were conditioned at the second test temperature for further 24 h after which the second measurement was taken. This sequence was maintained until the specimens were tested at the lowest temperature, i.e.  $-20^{\circ}\text{C}$  approximately. Due to the viscoelastic character of the binders and the asphalt mixtures, the initial test temperatures selected were  $10^{\circ}\text{C}$  for the binders and  $20^{\circ}\text{C}$  for the asphalt mixtures. At higher temperatures specimen deformation due to self weight as a result of creep was observed after 24 h conditioning. The initial test temperature for rock specimens, on the other hand, was  $60^{\circ}\text{C}$ .

## **4 Results and Discussions**

### **4.1 Thermal Contraction of Asphalt Components**

Thermal strain of binder specimens was determined by dividing the change in length as a result of cooling by the initial length measured at  $10^{\circ}\text{C}$ . Thermally induced strains at temperatures ranging from  $10^{\circ}\text{C}$  to  $-15^{\circ}\text{C}$  are presented in Figure 2. The linear coefficient of thermal contraction (CTC) was then obtained from the slope of the straight line fitted through the experimental data.

CTC of the three binders investigated are presented in Table 1. It can be seen that CTC of the hard 10/20 pen binder was the highest, followed by the 40/60 pen and the PMB 50. This might suggest that the CTC increases as the grade of the binder decreases. Also, it indicates that the CTC of a binder can be reduced by using an elastomeric polymer modifier.

CTC values obtained in this work shown in Table 1 have been found similar to those found in the literature. For instance, a typical value used for thermal stress

analysis is  $170 \times 10^{-6} \text{ mm/mm}^{\circ}\text{C}$  ( $510 \text{ ml/ml}^{\circ}\text{C}$ ) [3]. Also, Ojo et al. [5] reported values between 152 and 194  $\text{mm/mm}^{\circ}\text{C}$  ( $456 \text{ and } 583 \text{ ml/ml}^{\circ}\text{C}$ ) for various modified and unmodified binders above the glass transition temperature using a dilatometer. Work by Nam and Bahia [6] showed there were no clear trends between the CTC of different binder grades and modified binders. Furthermore, they reported a wide range of CTC values ranging from 175 to 242  $\text{mm/mm}^{\circ}\text{C}$  ( $525 \text{ to } 725 \text{ ml/ml}^{\circ}\text{C}$ ) for various modified binders above the glass transition temperature using a dilatometer glass transition test.

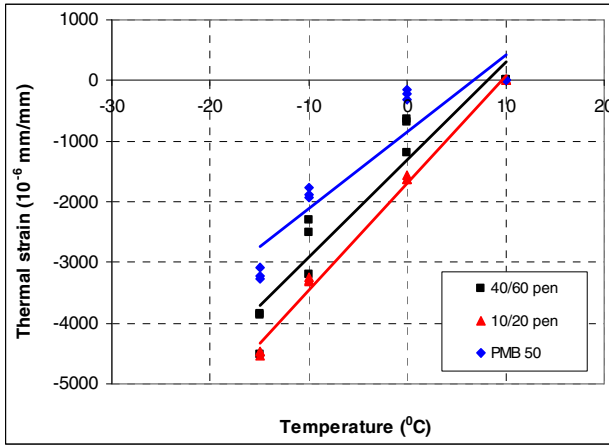


Fig. 2. Thermal strain vs temperature (binders)

Thermal strain of aggregate specimens was determined by dividing the change in length as a result of cooling by the initial length measured at 60 °C. Thermally induced strains at temperatures ranging from 60 °C to -20 °C are presented in Figure 3. The linear coefficient of thermal contraction (CTC) was then obtained from the slope of the line fitted through the experimental data.

CTC of the three aggregates investigated are presented in Table 1. It can be seen that CTC of the greywacke was the highest, followed by the granite and the limestone. CTC of an aggregate is related to the mineralogical and chemical composition. It can be seen that aggregates with relatively high silica (SiO<sub>2</sub>) content such as greywacke (66 %) and granite (64 %) have higher CTC than those with low or not silica at all such as limestone. Also, although the silica content of the greywacke and the granite were very similar, higher content of pure silica in the form of quartz crystals in greywacke (48 %) compared to that in the granite (25 %) gave higher CTC values.

CTC of aggregates reported in the literature have been compared with those obtained in this study. For instance, Mukhopadhyay et al. [2] reported values of  $6.45 \times 10^{-6} \text{ mm/mm}^{\circ}\text{C}$  for limestone,  $8.90 \times 10^{-6} \text{ mm/mm}^{\circ}\text{C}$  for granite and  $11.15 \times 10^{-6} \text{ mm/mm}^{\circ}\text{C}$  for sandstone using a dilatometer. It can be seen that these

values are similar to those obtained in the current study. Also, Kim et al. [4] reported values between  $4.0$  and  $11.4 \times 10^{-6}$  mm/mm/°C for a wide range of aggregate sources using a strain gauge technique.

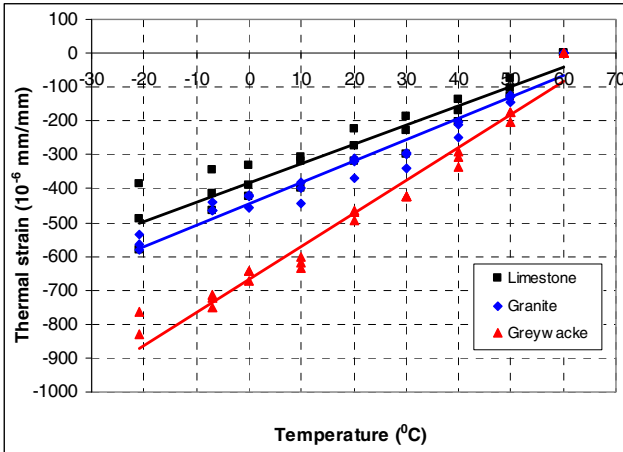


Fig. 3. Thermal strain vs temperature (aggregates)

Table 1. Coefficient of thermal contraction of binders and aggregates

Material	Type	CTC	R <sup>2</sup>
		10 <sup>-6</sup> / °C	
Binder	PMB 50	126.60	0.876
	40/60	161.21	0.923
	10/20	176.62	0.994
Aggregate	Limestone	5.69	0.903
	Granite	6.28	0.944
	Greywacke	9.74	0.964

Thermally induced damage in asphalt can be originated as a result of large differences in the CTC of the aggregate and the binder. During cooling, both the binder film surrounding and aggregate particle and the aggregate itself contract. Thermovolumetric contraction of the binder is, however, much larger than that of the aggregate as a result of higher CTC. As the binder contracts more than the aggregate, compressive stresses develop on the aggregate particles leading to tangential stresses in the bitumen film. At intermediate temperatures, these stresses may relax due to the viscoelastic character of the binder. At very low temperatures, however, the behaviour of the binder becomes practically elastic and thermally induced stresses might build up to a point where they exceed the tensile strength of the binder. When this happens, localized damage at the aggregate-binder interface in the form of micro-cracking will develop.

Once these cracks have been formed, they might propagate into the binder film. Water then may be able to penetrate and fill the spaces between the aggregate and the bitumen film causing de-bonding and loss of adhesion. So, although the failure mechanism might be associated to moisture damage it might have been originated as a result of thermal induced damage due to differential thermal contraction.

### 4.2 Thermal Contraction of Asphalt Mixtures

Thermal strain of asphalt specimens was determined by dividing the change in length as a result of cooling by the initial length measured at 20 °C. The linear coefficient of thermal contraction (CTC) was then obtained from the slope of the line fitted through the experimental data. Experimental CTC values for the mixtures investigated are presented in Table 2. It can be seen that for the same material (AC) and binder (PMB 50), the mixture produced with the greywacke aggregate had the highest CTC, followed by the granite and the limestone. Thus, as expected, the higher the CTC of the aggregate, the higher the CTC of the mixture. Similarly, for the same material (AC) and aggregate (granite), the mixture produced with the 10/20 pen binder had the highest CTC. Thus, the higher the CTC of the binder, the higher the CTC of the mixture. This, however, was not observed for the mixtures produced with 40/60 and PMB 50.

**Table 2.** CTC of asphalt mixtures

Material	Binder	Aggregate	CTC <sub>Exp</sub>	R <sup>2</sup>	CTC <sub>Theo</sub> (1)	CTC <sub>Theo</sub> (2)
			10 <sup>-6</sup> / °C		10 <sup>-6</sup> / °C	10 <sup>-6</sup> / °C
AC	PMB 50	Limestone	20.67	0.966	22.98	29.98
AC	PMB 50	Granite	21.41	0.981	22.88	24.35
AC	PMB 50	Greywacke	23.83	0.979	26.57	28.38
AC	PMB 50	Granite	21.41	0.981	22.88	24.35
AC	40/60	Granite	20.98	0.981	27.66	27.96
AC	10/20	Granite	22.28	0.997	29.79	29.57
PA	PMB 50	Greywacke	21.24	0.983	25.28	27.53
AC	PMB 50	Greywacke	23.83	0.988	26.57	28.38
SMA	PMB 50	Greywacke	24.59	0.979	28.20	29.47

CTC of different mixtures produced with then same binder (PMB 50) and aggregate (greywacke) are also presented in Table 2. It can be seen that the SMA had the highest CTC followed by the AC and the PA. This could be attributed to the relatively high binder content in the SMA compared to the AC and the PA. It is anticipated that, in general, the higher the binder content, the higher the CTC of the mixture. Also, relatively low CTC values of the PA have been attributed to lower binder content and much high air void content in the PA (19.6 %) compared to the air void content in the AC (4.3 %) and the SMA (4.3 %).



Dilatometric results for laboratory prepared asphalt beam specimens reported by Marasteanu et al. [7] showed that, during cooling, the Glass Transition Temperature ( $T_g$ ) ranged between  $-27.9$  °C and  $-47.98$  °C. Also, CTC values below  $T_g$  ranged between  $7.18$  and  $14.30 \times 10^{-6}$  mm/mm/°C whereas those above  $T_g$  ranged between  $30.48$  and  $40.74 \times 10^{-6}$  mm/mm/°C. It can be seen that, at temperatures above  $T_g$ , CTC values of these mixtures were found higher than those determined in the present study.

### 4.3 Thermal Models

The CTC of an asphalt mixture can also be predicted using a simple volumetric model where the CTC of the mixture components, i.e. aggregate and binder, and the volumetrics of the mixture are the inputs, as follows [4],

$$\alpha_{mix} = \alpha_a V_a + \alpha_b V_b \quad (1)$$

where  $\alpha_{mix}$ ,  $\alpha_a$ ,  $\alpha_b$  are the CTC of the mixture, the aggregate and the binder, and  $V_a$  and  $V_b$  are the volume fraction of the aggregate and the binder in the mixture, respectively. CTC values calculated using Equation 1 are presented in Table 2. It can be seen that the values predicted using this simple volumetric model are only slightly higher than those obtained experimentally using asphalt specimens. Furthermore, the ranking of the mixtures follows the same trend as those observed using asphalt specimens.

Alternatively, more complex models can be used to predict CTC of an asphalt mixture. The Hirsch's model [8] is a combination of a parallel model and a series model and it is used to predict the properties of a composite from those of its components. It has been used to predict, for instance, the elastic modulus of concrete based on the modulus of the cement mortar and the aggregate. In this work, a model based on Hirsch's composite model was adopted to predict CTC of asphalt mixtures. The model has been successfully used to predict the CTC of aggregates and concrete [2].

The following equation was used to calculate CTC of an asphalt mixture,

$$\alpha_{mix} = X(\alpha_a V_a + \alpha_b V_b) + (1-X) \frac{\alpha_a V_a E_a + \alpha_b V_b E_b}{V_a E_a + V_b E_b} \quad (2)$$

where  $\alpha_{mix}$ ,  $\alpha_a$ ,  $\alpha_b$  are the CTC of the mixture, the aggregate and the binder,  $V_a$  and  $V_b$  are the volume fraction of the aggregate and the binder in the mixture,  $E_a$  and  $E_b$  are elastic modulus of the aggregate and the binder, and  $X$  and  $(1-X)$  are the relative proportions of material conforming with the upper and lower bound solutions.

It can be seen that The Hirsch's model (Equation 2) becomes the series model when  $X = 0$  and the parallel model when  $X = 1$  (Equation 1). In this work a value of  $0.5$  has been adopted which indicates that the chances of occurrence of either parallel or series arrangements of the constituents components in the mixture are equal. Furthermore, the elastic modulus values of the aggregates used in the

present study were 25.2 GPa for the greywacke, 18.1 GPa for the granite and 29.1 for the limestone [9]. Also, the elastic modulus of the binder used in the calculations was 3 GPa [4].

Predicted CTC values of the asphalt mixtures using the composite model are presented in Table 2. It can be seen that the predicted values are in general higher than those obtained using the simple volumetric model and the experimental method. Main difference was observed for the AC PMB 50 Limestone mixture. The relatively high CTC value predicted for this mixture was attributed to the modulus of the limestone aggregate. Equation 2 indicates that an increase in the modulus of the aggregate and/or the binder results in an increase on the CTC value of the mixture. Nevertheless, the ranking of the mixtures in terms of CTC values follows the same trend as those observed using the experimental and volumetric approaches.

## 5 Conclusions

- CTC of the binders depended on the grade and type of binder.
- CTC of the aggregates were 10 to 25 times lower than those of the binders. Also, aggregates with relatively high silica content in the form of quartz crystals had higher CTC than those with low or not silica at all such as limestone.
- Thermally induced damage in asphalt at low temperatures can be originated as a result of large differences in the CTC of the aggregate and the binder.
- Experimental CTC values of the mixtures varied between 21 and  $24 \times 10^{-6}$  mm/mm/ $^{\circ}$ C. Also, the higher the CTC of the aggregate and/or the binder the higher the CTC of the mixture.
- CTC of the different types of asphalt mixtures suggest that mixtures with relatively low binder content and high air voids such as PA are less susceptible to thermal contraction than those with high binder content and low air voids, such as SMA.
- Predicted CTC values of the asphalt mixtures using a volumetric and a composite model were in reasonable good agreement with those obtained experimentally.

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# Mechanistic Pavement Design Considering Bottom-Up and Top-Down-Cracking

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**Abstract.** Pavement design and pavement life-time estimation are generally realized by using mechanistic-empiric pavement design tools. In this paper an improved design procedure is presented that integrates bottom-up-fatigue-cracking and low-temperature-induced top-down-fatigue-cracking in a narrow time-scale. Considering a design period of decades (e. g. 30 years), traffic data and pavement temperature data are considered in every single hour. As time-variation curve of material stiffness is displayed, traffic loads are realistically superimposed in time by temperature-induced loads. Thus, inaccurate time-independent consideration of traffic and temperature loads is avoided, as usually realized in conventional mechanistic design procedures where crucial effects of extreme loading situations are more or less neglected because of simplification by statistic clustering and averaging of load input data. For the assessment of temperature input data, time variation of climate data – i. e. air temperature, humidity, wind velocity and solar radiation – may be obtained from routine meteorological observation, or from road data observation using sensor technology. These data are used in order to assess temperature profiles occurring in the pavement on an hourly time scale. For this purpose, the finite difference method is used, which is a simple numerical procedure based on the Fourier heat equation and which describes unsteady thermal processes in an iterative way. Consequently, temperature profiles considered in short time intervals can be calculated and used in the pavement design process. Using data of hourly pavement temperatures, critical temperature gradients are incorporated in the design process.

## 1 Background

Routine mechanistic pavement design is usually based on linear elastic multilayer theory. Input data needed for design analysis are related to weather and traffic. Material properties are described in terms of parameters assessed in laboratory tests. In regard to temperature input, data are often summarized in temperature classes, each class representing a characteristic temperature distribution within the pavement with a specific annual distribution of frequency (see, e. g. [1]). For each temperature class, Young's Modulus is calculated in function of temperature distribution over pavement layers. Based on Young's Modulus, the horizontal bending tensile strain  $\epsilon$  at the bottom of the asphalt base course layer is calculated.

Fatigue strength evaluation of the asphalt layer is based on cyclic stress tests on asphalt mix samples. According to the European Standard for fatigue testing (EN 12697-24), the classical fatigue criterion is used, and determination of the number of load applications at failure  $N_{f/50}$  is undertaken. The results of the tests end in a fatigue line (called Wöhler line) which is drawn by executing a linear regression between  $N_{f/50}$  and  $\varepsilon_i$ , indicating the fatigue life duration in function of the applied load amplitude. As the Wöhler line can be expressed in the general form

$$N = \alpha_1 \cdot \varepsilon^{-\beta} , \quad (1)$$

the linear regression function in the log-log-diagram reads

$$\ln N_{f/50} = \alpha + \beta \cdot \ln \varepsilon_i , \quad (2)$$

where  $\alpha$  and  $\beta$  are experimentally derived material constants. Finally, the slope of the fatigue line and the initial strain amplitude  $\varepsilon_i$  corresponding with a fatigue life of  $10^6$  load cycles are determined, as required for CE-declaration of conformity by the European Standards (EN 13108). According to (EN 12697-46), Resistance to low temperature cracking the uniaxial cyclic tensile stress test (UCTST) is to be performed. Here a specimen is loaded with a cyclic tensile stress, which is characterized by a sinusoidal waveform for the simulation of the dynamic loading due to traffic, exposed in combination with a constant stress, which symbolizes the cryogenic stress derived from thermal stress restrained specimen tests (TSRST). The resulting Wöhler line can be expressed as follows where  $k_1$  and the exponent  $k_2$  are experimentally derived material constants [2].

$$N_{f/50} = k_1 \cdot \Delta\sigma^{k_2} . \quad (3)$$

Analysis of fatigue evolution requires a cumulative damage hypothesis. This is traditionally realized by linear summation of cyclic ratios applying Miner's law [3]; taken over from fatigue of metals to asphalt materials by Peattie in 1960 [4]. The cumulative fatigue damage  $D$  due to load repetitions reads

$$D = \sum_{i=1}^n \frac{n_i}{N_i} \leq 1 \quad (4)$$

where  $n_i$  is the number of actual traffic load application at strain/stress level  $i$ , and  $N_i$  is the number of allowable traffic load application to failure at strain/stress level  $i$ . Eqn. (4) allows predicting fatigue life in terms of the number of allowable load applications (due to traffic and thermal load cycles). Sometimes, the theoretical residual fatigue life is expressed in the unit of years. For this purpose, the number of actual traffic load applications used for design considerations is formulated by a mean value for one design year (based on traffic counts and extrapolative estimations). Fatigue life is then calculated by the ratio of the number of allowed load applications to the mean number of traffic load applications of the design year, where the number of allowed load applications is derived from fatigue testing on the asphalt base- and wearing course material by superposition of the results that were obtained from tests at various strain/stress levels.

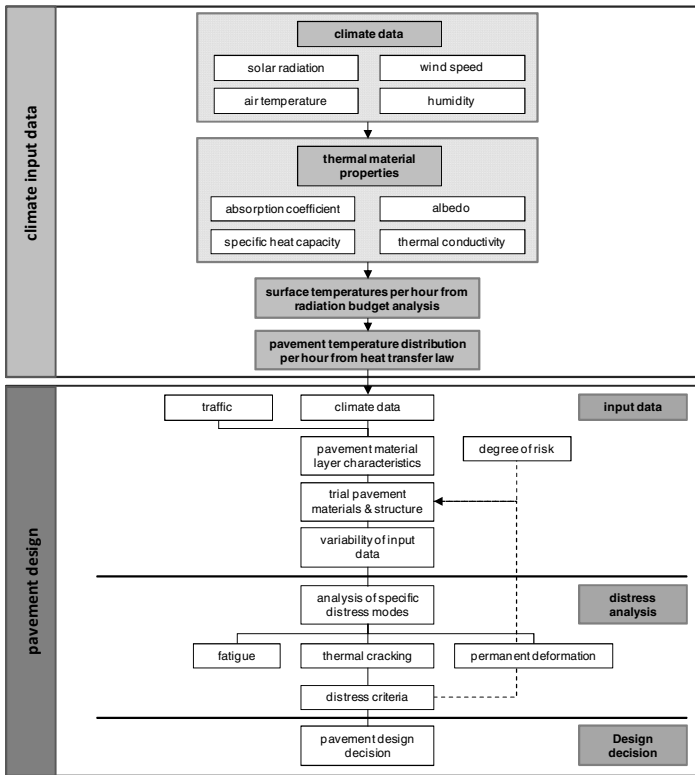


Fig. 1. Flow chart of classical design process with enhanced input approach

The calculation procedure is usually organized in an iterative mechanistic pavement design approach as illustrated in the lower part of Figure 1. In this study such an approach is followed up and climate input data are improved based on hourly calculation of temperature distribution in the pavement structure.

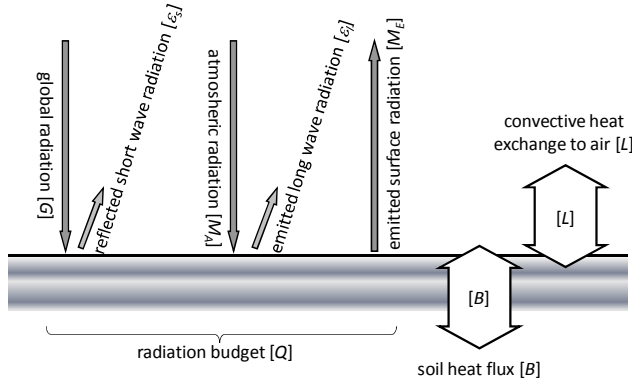
## 2 Assessment of Temperature Time Series

In this paper, design calculation is based on traffic and temperature data that are superimposed on an hourly time scale. Hence, temperature input data are needed in a rather narrow time scale.

Pavement surface temperature can be determined by heat balance equation. Pavement surface is regarded as a closed thermodynamic system. Accordingly, the radiation budget  $[Q]$ , soil heat flux  $[B]$ , convective heat exchange to air  $[L]$ , and heat flux due to evaporation and condensation of water  $[V]$  equals zero, reading

$$Q + B + L + V = 0 \tag{5}$$

In order to satisfy energy conservation law, all thermal effects need to be taken into account. Usually, heat flux  $V$  is neglected, as heat gain due to condensation over night balances with heat loss through evaporation at sunrise [5]. Figure 2 illustrates heat flux budget schematically.



**Fig. 2.** Heat flux on paving surface at sunny weather conditions

As to radiation, input parameter are global radiation  $G$  [ $\text{W}/\text{m}^2$ ], reflected short wave radiation  $\epsilon_s$  [-], atmospheric radiation  $M_A$  [ $\text{W}/\text{m}^2$ ], emitted long wave radiation  $\epsilon_l$  [-], and emitted surface radiation  $M_E$  [ $\text{W}/\text{m}^2$ ], reading

$$Q = G \cdot \epsilon_s - M_E + M_A \cdot \epsilon_l \tag{6}$$

Atmospheric radiation  $M_A$  can be expressed by

$$M_A = T_{air}^4 \cdot \sigma \cdot (a - b \cdot 10^{-c \cdot h_{rel} \cdot E_{air}}) \tag{7}$$

where  $T_{air}$  [K] is air temperature,  $\sigma$  [ $\text{W}/(\text{K}^4\text{m}^2)$ ] is Stefan-Boltzmann's-constant,  $h_{rel}$  [%] is relative air humidity,  $E_{air}$  [Pa] is air saturation vapour pressure,  $a = 0.79$ ,  $b = 0.174$ , and  $c = 9.5\text{E-}04$  [1/Pa]. Soil heat flux  $B$  [ $\text{W}/\text{m}^2$ ] in an isotropic layer of dimension  $dx$  is determined in function of temperature from

$$B = \lambda \cdot \frac{dT}{dx} \tag{8}$$

where  $\lambda$  [ $\text{W}/(\text{mK})$ ] is heat conductivity. Convective heat transfer  $L$  between air and road surface is given by

$$L = \alpha_{convection} \cdot (T_{air} - T_{road\ surface}) \tag{9}$$

with 
$$\alpha_{convection} = 10 \cdot (0,174 + 0,941 \cdot v_w^{0,366}) \tag{10}$$

where the heat transfer coefficient ( $\alpha_{convection}$ ) depends on the wind velocity  $v_w$  [6]. By using the expressions mentioned above and by introducing virtual air temperature  $T_{air}^*$ , which is given by

$$T_{air}^* = T_{air} + \frac{Q}{\alpha_{convection}} \quad (11)$$

surface temperature  $T_{Surface, k+1}$  at a given time  $k+1$  and for interval  $\Delta x$ , that represents the distance of knots where temperatures are assessed, can be calculated from

$$T_{Surface, k+1} = -\frac{T_{air, k+1}^* - T_{1, k+1}}{\left(\frac{\lambda}{\alpha_{k+1}} + \frac{\Delta x}{2}\right)} \cdot \frac{\lambda}{\alpha_{k+1}} + T_{air, k+1}^* \quad (12)$$

Extension of surface temperature calculation into pavement depth, is realized by means of

$$T_{1, k+1} = a \cdot \frac{\Delta t}{\Delta x^2} \cdot \left[ T_{2, k} - 2 \cdot T_{1, k} - \frac{T_{air, k}^* - T_{surface, k}}{\frac{\lambda}{\alpha_k}} \cdot \left(\frac{\lambda}{\alpha_k} - \frac{\Delta x}{2}\right) + T_{air, k}^* \right] + T_{1, k} \quad (13)$$

where  $T_{1, k+1}$  represents approximation of pavement temperature at depth 1 at time  $k+1$ . In order to describe temperature flows within the pavement heat transfer law is applied, reading

$$\Delta T_t = a \cdot \frac{\Delta t}{\Delta x^2} \cdot \Delta^2 T_x \quad (14)$$

where  $\Delta t$  is the time shift,  $a$  [cm<sup>2</sup>/h] is thermal diffusivity, and  $\Delta^2 T_x$  is the difference between two temperature differences at a certain time in function of pavement depth. Any temperature  $T_{n, k+1}$  within the superstructure at time  $k+1$  and depth  $n$  is calculated from

$$T_{n, k+1} = a \cdot \frac{\Delta t}{\Delta x^2} \cdot (T_{n+1, k} - 2T_{n, k} + T_{n-1, k}) + T_{n, k} \quad (15)$$

with

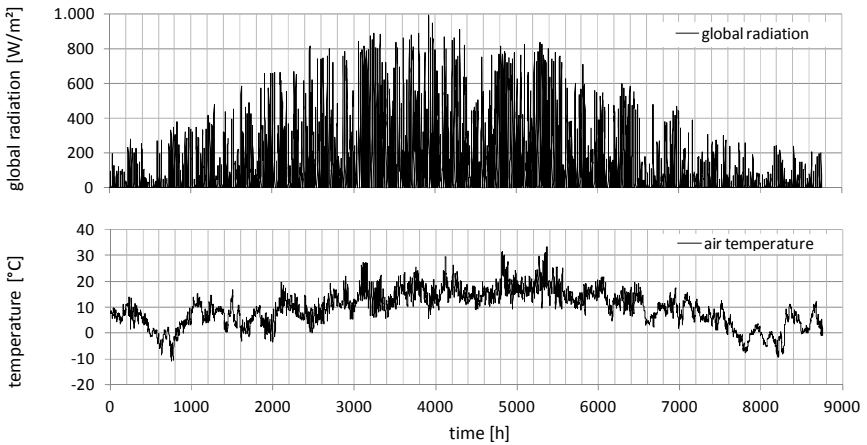
$$a = \frac{3600 \cdot \lambda}{c \cdot \rho} \quad (16)$$

Thermal diffusivity  $a$  [cm<sup>2</sup>/h] represents the ratio between thermal conductivity coefficient  $\lambda$  [W/(mK)] and specific heat capacity  $c$  [J/(gK)] multiplied by bulk density  $\rho$  [g/m<sup>3</sup>]. Adiabatic temperature conditions are assumed for a depth of 2.5 m [6]. For validation of Eqn. (5 to 16) in regard to real pavement temperatures see Wistuba in 2002 [7] and Yavuzturk et al. in 2005 [8].

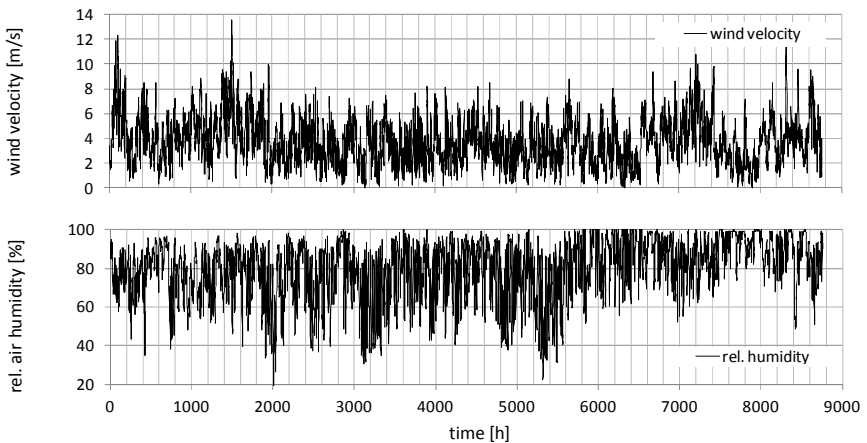


### 2.1 Input Parameter

Weather files used in this study were derived from The National Meteorological Service of Germany (DWD). The data sets contain hourly values concerning global radiation and values captured in 10 minute intervals concerning air temperature, wind velocity and relative air humidity. The corresponding weather station is located in central Germany (52°18' N, 10°27' E). Figures 4 and 5 exemplarily depict measured values for a time span of 1 year.

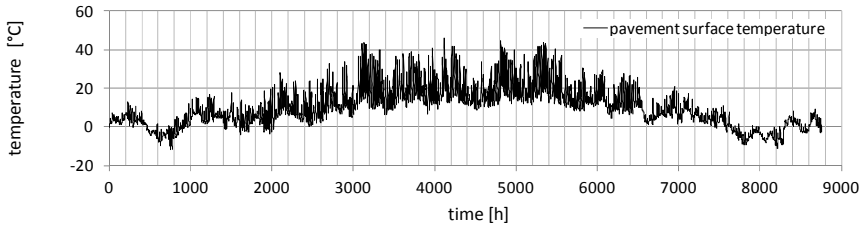


**Fig. 3.** Global radiation and air temperature data from selected weather station



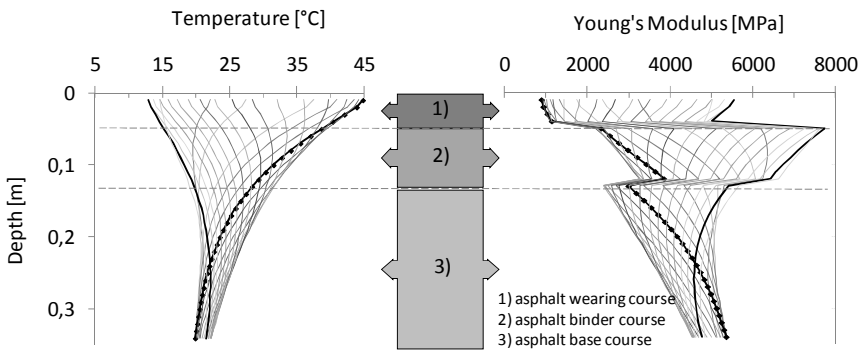
**Fig. 4.** Wind velocity and relative humidity data from selected weather station

Using asphalt values from literature concerning thermal conductivity coefficient  $\lambda$ , specific heat capacity  $c$  and bulk density  $\rho$  and a standard German highway pavement construction lead to the following pavement surface temperatures (Figure 5).



**Fig. 5.** Example for derived pavement surface temperature per hour

Figure 6 (left) shows temperatures on an hourly time scale within the pavement. Figure 6 (right) shows the corresponding distribution of derived Young’s Modulus by Indirect Tension Test (IDT).



**Fig. 6.** Pavement temperature distribution (left) and corresponding Young’s modulus (right) for 24 hours

Such processed temperature data considering a period of several years enable most detailed design analysis since the steady change of Young’s modulus with temperature distribution, is considered for calculation of stresses and strains in the superstructure.

### 3 Thermal Stresses

The estimation of thermal stresses at the surface of the asphalt wearing course is realized by Maxwell-model. Resulting stress  $\sigma(t)$  is assessed in function of initial

stress  $\sigma_0$  relaxation behaviour  $\lambda(T)$ , cooling rate ( $\dot{T}$ ) and temperature dependent Young's modulus, reading

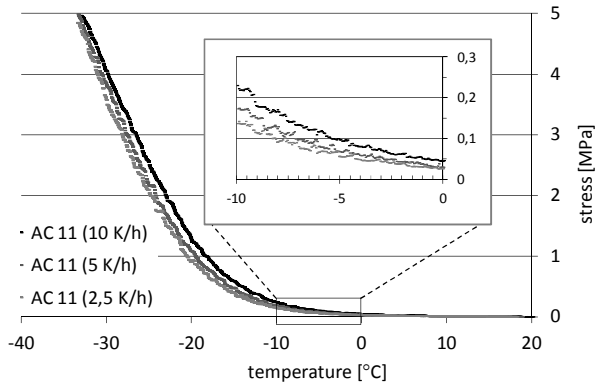
$$\sigma(t) = \sigma_0 \cdot e^{-\frac{t}{t_R}} - \beta \cdot \lambda(T) \cdot \dot{T} \cdot \left( 1 - e^{-\frac{t}{t_R}} \right), \tag{17}$$

$$\text{with } t_R = \frac{\lambda(T)}{E(T)}. \tag{18}$$

Since the relaxation behaviour  $\lambda(T)$  of asphalt correlates with the softening point ring and ball of the respective bitumen the following assumption is used [9]:

$$\log \log \lambda(T) = a + b \cdot \log T, \tag{19}$$

where  $a$  represents the constant,  $b$  the slope and  $T$  the temperature in Kelvin [K].



**Fig. 7.** TSRST data (AC 11) at different cooling rates

In order to calculate cryogenic stress from Eqn. (17), information regarding the relaxation behaviour of the deployed HMA is needed, since an increasing viscosity of the binder results in decreasing relaxation time. By approximation of parameters  $a$  and  $b$  from Eqn. (19) test results from thermal stress restrained specimen tests (TSRST) shown in Figure 7 can be modelled. The precision of the thermal stress model shows good correlation to measured stress values from TSRST (see Figure 8).

It is coherent that with a fast cooling rate the tensile stress development is increasing per time unit. Concerning the amplitude of the resulting stress level, the cooling rate is less important (see Figure 7) which complies with [10].

As pavement surface temperatures are known per hour, the cooling rate per time unit and the coherent thermal stresses can be derived.

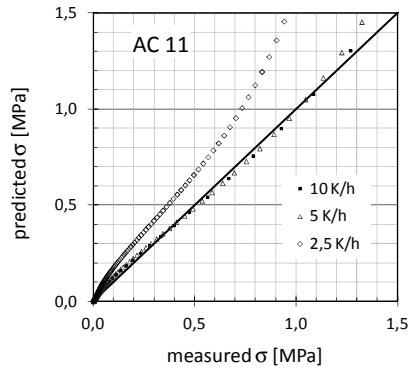


Fig. 8. Precision of thermal stress model

### 4 Application of Temperature Data for Stress-Strain-Detection

Through determination of temperature distribution within the pavement structure and its detailed development over time, input data for pavement design are advanced. Considering temperature dependency of asphalt Young’s modulus (e. g. derived from IDT) at a narrow time scale, the information of resulting stresses and strains represent conditions where single crucial effects of extreme loading situations are incorporated into the design procedure. Figure 9 (bottom) shows resulting strains per hour at the bottom of the asphalt base course due to an 11 t axle load for a period of one year (8760 h). The strains are obtained from linear elastic theory with individual layer thicknesses of 1 cm [11] where a circular tire footprint with uniform pressure serves as input parameter into the calculations.

The application of stress assessment given in previous section lead to thermal tensile stresses at the surface of the asphalt wearing course (Figure 9, top).

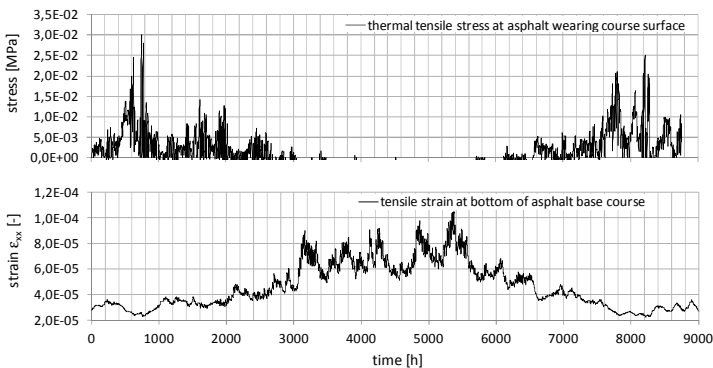


Fig. 9. Resulting thermal stresses per hour at asphalt wearing course surface (top), and resulting strains per hour at bottom of asphalt base course (bottom)

The stress-strain-distribution depicted in Figure 9 can be considered as realistic, since thermal stresses at the surface of the asphalt wearing course evolve only at low temperatures (e. g. wintertime) whereas the corresponding strains at the bottom of the asphalt base course show minor tensile strain values. This is in best agreement with an increasing stiffness of the superstructure. In summertime the strain values show controversial behaviour as only minor stress values develop at summertime.

Use of Eqn. (2, 3 and 4) respectively, results in detailed calculation of pavement design life.

## 5 Summary and Outlook

This paper presents an approach to enhance the theoretical consideration of the asphalt failure modes Bottom-Up-Fatigue-Cracking and Top-Down-Fatigue-Cracking at low temperatures. The theoretical procedure is described.

By use of real input data as regards air temperature, humidity, global radiation and air velocity, time series of temperature are calculated occurring in the pavement structure.

Through knowledge of temperature distribution within the superstructure and the incorporation of traffic information (axle distribution) on hourly time scale, classic approaches of mechanistic pavement design procedures using linear elastic theory may be advanced. Shear and tensile stresses/strains at the tire edges may be regarded in future within the top-down failure mode since they are most likely to be dominant on main highways and secondary roads [12].

Moreover, as regards future pavement design, climate prognoses (as done e. g. in projects assigned by Intergovernmental Panel on Climate Change (IPCC)) reflect future temperature distributions that may be used as input data in the design procedure.

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# Strength and Fracture Properties of Aggregates

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**Abstract.** This paper presents a study of the mechanical and fracture properties of various types of aggregates used in asphalt mixtures. Three types of rocks namely, greywacke, granite and limestone, were evaluated. Compressive and tensile characteristics of the rocks were determined by means of uniaxial compressive and indirect tensile tests, respectively. Resistance to fracture was determined by means of semi-circular bending tests. Results showed that the greywacke had the highest strength both in compression and in tension. The granite, on the other hand, had high compressive strength but the tensile strength was relatively low. Compressive tests also showed that the response of rock specimens under loading was linear elastic. It was found that the compressive elastic modulus of the limestone was the highest followed by the greywacke and the granite. Similarly, indirect tensile tests indicated that the response of the greywacke and the limestone rocks was linear elastic whereas that of the granite was non-linear. Furthermore, tensile elastic modulus values of the greywacke and the limestone were similar and about five times higher than that of the granite. As regards fracture, load-deflection curves for semi-circular bending tests indicated linear elastic behaviour of the three types of rocks. Thus, linear elastic fracture mechanics theory was applied to determine fracture toughness. Results showed that the greywacke had the highest resistance to fracture.

## 1 Introduction

Asphalt materials typically comprise a large proportion of graded aggregates bound with a bituminous binder. As a result, the performance of an asphalt mixture is greatly influenced by aggregate properties such as grading, shape and strength. As regards strength, aggregates should have the necessary strength to resist degradation during handling, construction and trafficking.

Adequate aggregate strength is required during storage, transportation, drying and mixing activities to avoid fragmentation due to impact between aggregate particles. Fragmentation during these stages reduces particle size and degrades particle shape altering the material grading. Aggregate breakdown also increases the dust content which may affect the adhesion of bitumen to the aggregate. Aggregate crushing, on the other hand, is most likely to occur when the material is initially compacted. Once the asphalt has been installed, the aggregates should also have sufficient strength to bear the load imposed on them by increased traffic

loads. When the pavement is loaded as a result of traffic, high stresses develop at the contact points between aggregates. Depending on the aggregate strength these stresses can lead to the fracture of the aggregate [1].

In order to assess aggregate strength, a range of standard tests are commonly used [2]. The majority of these tests, however, are indicator tests, rather than tests that measure fundamental mechanical and strength properties. Nevertheless, some of these tests are widely used by road authorities and suppliers to characterise aggregates.

Alternatively, compressive and tensile tests are typically employed in rock mechanics to determine the strength of rock masses [3, 4]. Fracture mechanics are also applied to describe how a crack initiates and propagates in rocks under loading. Linear elastic fracture mechanics (LEFM) principles are applied to determine fracture parameters such as fracture toughness. Different methods and test geometries are used to determine fracture resistance of rocks. A commonly used method for rock fracture is the semi-circular bending (SCB) test [5].

The aim of this work is to characterise the strength and fracture properties of various types of aggregates used in asphalt mixtures. Both compressive and indirect tensile tests were carried out on rock specimens in order to determine strength and elastic modulus. The semi-circular bending (SCB) test was also used to determine fracture toughness. It is believed that these types of tests could provide valuable information for the characterisation of crushed rock aggregate.

## 2 Materials and Specimen Preparation

Three types of rocks namely, greywacke, granite and limestone, were used in the study. Greywacke rock was sourced from Haughmond Hill Quarry in Shropshire, England. Geologically, these rocks date from the Upper Pre-Cambrian. They were formed from sediments laid down in shallow waters along the margins of a continental shelf, over 600 million years ago. Granite rock was sourced from Croft Quarry near Leicester, England. These rocks were formed about 500 million years ago from molten igneous materials deep in the earth's crust. Limestone was sourced from Topley Pike quarry, Derbyshire, England. Topley Pike is found on the outcrop of the Carboniferous Limestone series referred to locally as the Derbyshire Dome. The central part of the dome is characterised by massive and chemically pure limestone of several hundred metres total thickness.

For the preparation of specimens for testing, cores of 150 mm and 100 mm diameter were taken from large rocks (1 m<sup>3</sup> approximately) collected from the quarries. Rock cores of 100 mm diameter were then cut to a thickness of 200 mm and used for uniaxial compressive testing. Cores of 150 mm diameter, on the other hand, were cut to a thickness of 150 mm and used for indirect tensile tests. SCB specimens were obtained by cutting 150 mm diameter core specimens to a thickness of 50 mm. These cylinders were cut perpendicular to the axis to obtain the semi-circular specimens, and then notched at the mid-point along their radius.



### 3 Experimental

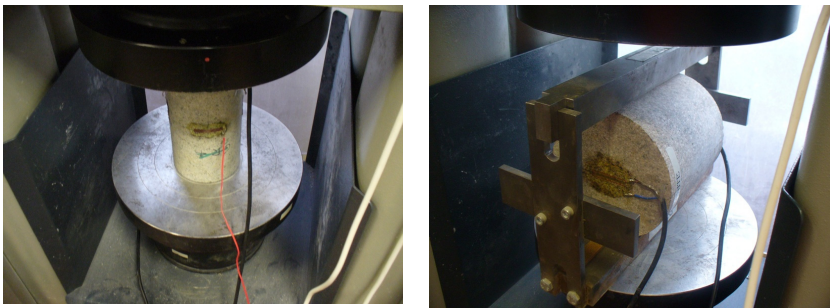
#### 3.1 Aggregate Tests

Standard tests were first carried out to characterise the different types of aggregates. These included Los Angeles (LA), aggregate crushing value (ACV), ten per cent fines (TPF) and aggregate impact value (AIV).

The LA test is a measure of the resistance of coarse aggregate to fragmentation resulting from a combination of actions including abrasion or attrition, impact and grinding. The test method is described in EN 1097-2 and is widely used to characterise aggregate. The ACV gives a relative measure of the resistance of an aggregate to crushing when subjected to a compressive load gradually applied to a specific maximum value in a prescribed time. The test method is described in BS 812-110. The TPF value gives a relative measure of the resistance of an aggregate to crushing under a gradually applied compressive load. The test method is described in BS 812-111. The AIV test gives a relative measure of the resistance of an aggregate to sudden shock or impact. The test procedure is described in BS 812-112.

#### 3.2 Uniaxial Compressive Test

Uniaxial compressive tests were carried out using a hydraulic compression machine 3000 kN maximum load. Rock core specimens of 100 mm diameter and 200 mm height approximately were employed. The specimens were instrumented with strain gauges in order to measure both axial and radial strains during loading. The axial strain was measured by means of two strain gauges glued to the specimen and positioned in the middle of it in the direction of the applied load. The radial strain was measured with only one strain gauge situated in the middle of the specimen in a direction perpendicular to the applied load. The length of the gauges,  $2L$ , was 30 mm. The strain gauges were connected to a compensation device connected to the control system. The test set-up is presented in Figure 1.



**Fig. 1.** Compressive and tensile tests set-up

Uniaxial compression tests were carried out in load control mode at a rate of 0.6 MPa/s. Five specimens of each rock type were tested. Two of these specimens were tested to failure in order to determine the compressive strength,  $\sigma_c$ . The remaining three specimens were loaded up to 100 MPa in order to determine the compressive elastic modulus,  $E_c$ , and the Poisson's ratio,  $\nu$ . The compressive elastic modulus,  $E_c$ , was defined as the slope of the linear part of the stress-axial strain relationship. The compressive stress was determined by dividing the applied load by the surface area. The Poisson's ratio was defined as the ratio of the radial strain to the axial strain and was determined from the slope of the linear relationship between radial and axial strain.

### 3.3 Indirect Tensile Test

In the indirect tensile test, a cylindrical specimen is subjected to a compressive load across its vertical diametral axis. This load originates a relatively uniform constant tensile stress at the centre of the specimen which causes the specimen to fail and crack along the vertical diameter. Indirect tensile test were carried out using rock core specimens of 150 mm diameter and 150 mm thickness. The specimens were instrumented with two strain gauges situated at the centre of each side and along the direction perpendicular to the applied load. The length of the gauges,  $2L$ , was 30 mm. The test set-up is presented in Figure 1.

The tensile elastic modulus,  $E_t$ , was determined using the following expression [6]:

$$E_t = E_s \left\{ \left( 1 - \frac{D}{L} \arctan \frac{2L}{D} \right) (1 - \nu) + \frac{2D^2(1 + \nu)}{4L^2 + D^2} \right\} \quad (1)$$

where  $E_s$  is defined as the splitting elastic modulus,  $D$  is the specimen diameter,  $L$  is the strain gauge half-length and  $\nu$  is the Poisson's ratio. The splitting elastic modulus,  $E_s$ , can be determined from the slope of the linear part of the stress-strain relationship obtained in the indirect tensile test. Sometimes, however, the evolution of the stress with the strain is non-linear. In this case,  $E_s$ , can be determined approximately using the following equation [6].

$$E_s = \frac{\sigma_{t/2}}{\varepsilon_{t/2}} \quad (2)$$

where  $\sigma_{t/2}$  is half of the tensile strength and  $\varepsilon_{t/2}$  is the tensile strain corresponding to  $\sigma_{t/2}$ .

### 3.4 Semi Circular Bending Test

For Mode I, referred to as opening or tensile mode, LEFM theory establishes that, for a material containing a flaw (micro-crack), fracture occurs when the stress intensity factor,  $K_I$ , exceeds a critical value,  $K_{IC}$ , referred to as the fracture toughness. In general, the fracture toughness,  $K_{IC}$ , can be expressed as follows:

$$K_{IC} = Y_I \sigma_0 \sqrt{\pi a} \quad (3)$$

where  $\sigma_0$  is the critical stress for crack propagation,  $a$  is the notch or crack length, and  $Y_1$  is a geometrical factor that depends on the specimen size and geometry.

For a SCB specimen loaded in a three point bending configuration and notched at the mid-point along the radius and in the direction of the load, as illustrated in Figure 2,  $\sigma_0$  and  $Y_1$  are given by the following expressions [7]:

$$\sigma_0 = \frac{P_0}{2rt} \tag{4}$$

$$Y_1 = 4.782 - 1.219\left(\frac{a}{r}\right) + 0.063\exp\left(7.045\left(\frac{a}{r}\right)\right) \tag{5}$$

where  $P_0$  is the critical load, and  $r$  and  $t$  are the specimen radius and thickness respectively. It should be noted that Equation 5 is only valid when the span to diameter ratio ( $2s/2r$ ) is 0.8.

Fracture tests were performed using a compression machine. SCB specimens were loaded monotonically at a loading rate of 5 mm/min. The span ( $2s$ ) was 120 mm which gave span to diameter ratio ( $2s/2r$ ) of 0.8. Notch length ( $a$ ) was 10 mm approximately. Specimen radius ( $r$ ) and thickness ( $t$ ) were 70 and 50 mm, respectively. During the test, the crosshead displacement and the load were recorded. Fracture test were conducted at room temperature. Two specimens per material were tested. A rock SCB specimen after testing is presented in Figure 2.

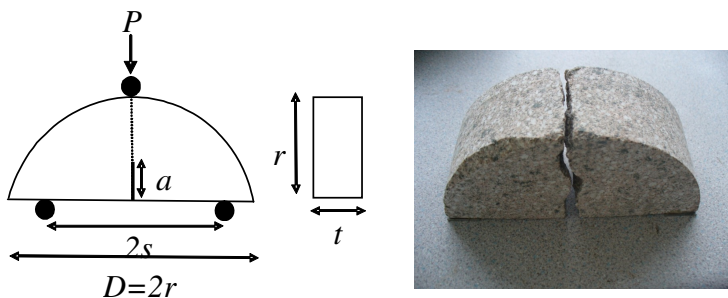


Fig. 2. Schematic SCB test and specimen after testing

## 4 Results and Discussions

### 4.1 Standard Aggregate Tests

Results of the standard aggregate tests are presented in Table 1. Results indicated that the granite and the limestone had similar strength characteristics. These tests also show that the greywacke was by far the strongest material in terms of resistance to fragmentation, crushing and impact.

**Table 1.** Standard aggregate tests results

Aggregate type	LA	ACV	TPF	AIV
		%	kN	%
Greywacke	12	10	410	9
Granite	28	20	180	27
Limestone	31	24	180	25

### 4.2 Strength and Elastic Modulus

Results from uniaxial compressive tests are presented in Table 2. It can be seen that the compressive strength ( $\sigma_c$ ) of the greywacke rock was the highest followed by the granite and the limestone. Similar values have been reported by Bearman [8] in a previous study using very similar type of rocks.

**Table 2.** Compressive and indirect tensile tests results

Rock type	$\sigma_c$	$E_c$	$\nu$	$\sigma_t$	$E_t$	$\sigma_c/\sigma_t$	$E_c/E_t$
	MPa	GPa		MPa	GPa		
Greywacke	196.8	25.2	0.195	10.5	14.6	18.7	1.7
Granite	150.6	18.1	0.171	3.9	3.1	38.6	5.8
Limestone	90.6	29.1	0.253	5.8	13.4	15.6	2.2

Figure 3 shows typical stress-strain curves obtained during a compressive test. The figure indicates that the stress-strain relationship for rock specimens is practically linear and therefore the behaviour can be considered as linear elastic. Thus, the compressive elastic modulus ( $E_c$ ) can be determined by fitting a straight line through the stress-axial strain data. The slope of this line is defined as  $E_c$ .

Table 2 shows the compressive elastic modulus values of the three types of rocks determined as before. It can be seen that the limestone had the highest modulus followed by the greywacke and the granite. Jianhong et al. [6] reported compressive elastic modulus of 20 GPa for granite, similar to the value of 18 GPa obtained in this study, and 58 GPa for limestone which it is higher than the value of 29 GPa reported here. Visual observation of the limestone rock cores showed that they had numerous defects and cracks and this might one of the reasons why the modulus was relatively low. Thuro et al. [9] also reported values of around 50 GPa for limestone. Furthermore, they showed that the size (diameter) and the shape (length/diameter ratio) of the specimens had an influence on strength and elastic modulus values.

Poisson's ratio values were determined by fitting a straight line through the radial and axial strain data and are shown in Table 2. It should be noted that due to the defects and cracks present in the limestone just one of the tests gave reliable results. Thus, the validity of this value is limited. Nevertheless, Poisson's ratios determined in this work were all within the ranges given in Gercek [10].

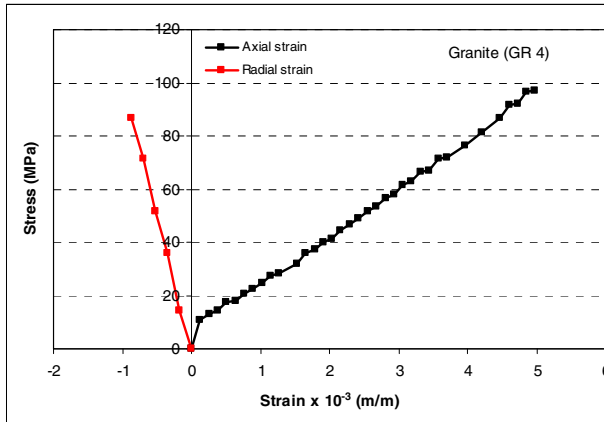


Fig. 3. Stress-strain curves for a compressive test (granite)

Results from indirect tensile tests are also presented in Table 2. Data shows that the indirect tensile strength of the greywacke was the highest followed by the limestone and the granite. Bearman [8] reported values of 3.8 MPa and 15.4 MPa for similar limestone and greywacke rocks, respectively. He also, reported a value of 10.6 MPa for a particular type of granite which is significantly higher than the value of 3.8 MPa obtained in this work.

As regards tensile elastic modulus, the stress-strain curves indicated that the behaviour of the greywacke and the limestone rocks was linear elastic. Thus, the splitting elastic modulus ( $E_s$ ) could be determined from the slope of the linear part of the stress-strain curve. The stress-strain curve of the granite was, however, non-linear, as seen in Figure 4. Equation 2 was, therefore, used to determine the splitting elastic modulus ( $E_s$ ). The tensile elastic modulus ( $E_t$ ) was then calculated using Equation 1. Results presented in Table 2 showed that tensile elastic modulus of the greywacke was the highest followed by the limestone. The elastic modulus of the granite was, however, relatively low.

Data presented in Table 2 shows that the compressive strength and elastic modulus values were higher than the tensile strength and elastic modulus. Results indicated that, for the greywacke and the limestone, the compressive elastic modulus is about twice the tensile elastic modulus. For the granite, however, the compressive modulus is about six times the tensile modulus. Rock materials are in general non-homogenous

and contain defects and microcracks. As a consequence they show different behaviour under tensile and compression conditions. Furthermore, anisotropy has also an effect when determining the mechanical properties of rocks under loading.

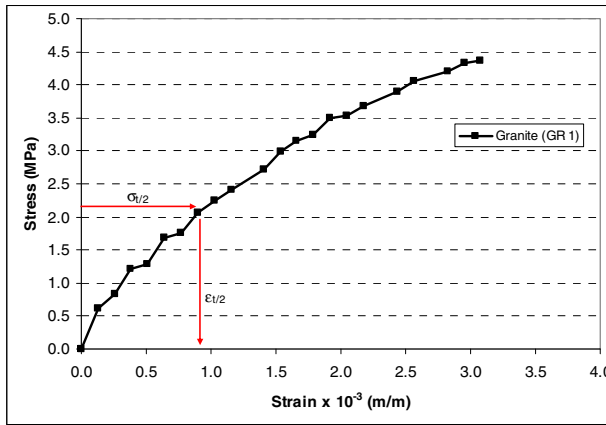


Fig. 4. Stress-strain curve for an indirect tensile test (granite)

### 4.3 Fracture Toughness

Results from SCB tests are presented in Table 3. Fracture toughness values indicated that the greywacke was more resistance to fracture in the presence of a crack (notch) than the granite or the limestone. Also, small differences were found between the fracture toughness values of granite and limestone.

Fracture toughness values reported in the literature using the SCB method varied from 0.28 MPa m<sup>1/2</sup> for sandstone to 1.72 MPa m<sup>1/2</sup> for granite [11]. Values obtained with the chevron bend (CB) test method on similar rocks to the ones tested in this study are also presented in Table 3 [8]. It can be seen that the values obtained with the CB method are higher than those obtained with the SCB method. Nevertheless, the ranking of the rocks from these two methods was the same.

Table 3. Fracture toughness values

Rock type	$K_{I(SCB)}$	$K_{I(SCB)}$ [11]	$K_{I(CB)}$ [8]
	MPa m <sup>1/2</sup>	MPa m <sup>1/2</sup>	MPa m <sup>1/2</sup>
Greywacke	1.45		3.15
Granite	0.58	0.68, 0.88, 1.72	1.83
Limestone	0.57	0.68, 0.85, 1.33	0.73

## 5 Conclusions

From the experimental work carried out in this study the following conclusions can be drawn:

- Based on standard aggregate tests, the greywacke was the strongest material in terms of resistance to fragmentation, crushing and impact.
- The greywacke rock had the highest compressive and tensile strength. The granite, on the other hand, had high compressive strength but the tensile strength was relatively low.
- Compressive test indicated that the limestone was very resistance to deformation as seen by the compressive elastic modulus value.
- The behaviour of the rocks under tension depended on the mineralogy of the rock. Thus, the response of the greywacke and the limestone rocks was linear elastic whereas that of the granite was non-linear.
- Tensile elastic modulus values of the greywacke and the limestone were similar and about five times higher than that of the granite.
- Fracture tests indicated that the greywacke had the highest resistance to fracture.

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# Cracks Characteristics and Damage Mechanism of Asphalt Pavement with Semi-rigid Base

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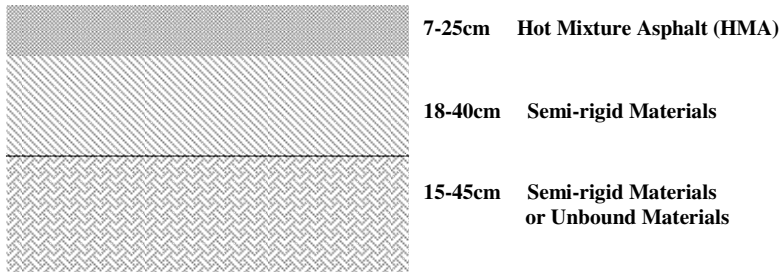
**Abstract.** As the semi-rigid base has such advantages as high bearing capacity, good plate-forming properties, excellent frost resistance and could take full advantage of the local materials, asphalt pavement with semi-rigid base has become a primary pavement structure of highway in China since 80s of the 20th century[1]. However, semi-rigid base has some inherent problems like shrinkage deformation cracking and low erosion resistance[2][3]. With the rapid growth of traffic and loading capacity, some distresses such as cracking, rutting, and pothole appear gradually, in which the cracking is the main part. In this context, the distress types, distribution characteristics and no-disease proportion of the asphalt pavement with semi-rigid base should be further explicated, and further concerns should be taken to whether the structure is fitting for the expressway. In this paper, outdoor investigations including damage observe, core sample examination, deflection test and indoor tests containing extraction test of asphalt mixture, strength test of cores samples are used to investigate the pavement using situation of 11 high-grade highways. The distribution, characteristics, cause, scale, proportion of the cracks in different zones, different climatic features and different structures are analyzed systematically to have a further understanding about the characteristics of the semi-rigid base, which provide the guidance for reducing the pavement distress, improving the performance, promoting the serviceability and its application.

## 1 Roads and Methods of Investigation

### 1.1 Roads Situations and Investigation Contents

Outdoor investigations and indoor tests are used in 11 high-grade highways to investigate, analyse and evaluate the using situation of asphalt pavement with semi-rigid base. The main pavement structure of the investigation roads is shown in figure 1.





**Fig. 1.** The main pavement structure of investigation roads

### 1.2 Investigate Methods

The investigation methods and main contents are shown in table 1.

**Table 1.** The methods and main contents of investigation

Investigation Methods	Damage Observe	Core Sample Examination	Pavement Deflection	CBR of Subgrade	Permeability Test	Extraction of Asphalt Core	Strength of Base Core
Main Contents	Types, Scale and Distribution of Damages	Damages on Surface 、 Drill Hole Condition 、 Core Sample Condition	Dynamic Rebound Deflection	CBR Strength in Different Depth of Subgrade	Permeability Coefficients of Pavement with or Without Diseases	Change of Asphalt-aggregate Ratio and Aggregate Gradation	Unconfined Compressive Strength

On the analysis of the cracks distribution and the cracking mechanism, the main ways are damage observe and core sample examination, with reference of geography, climate and traffic.

(1) Damage observes. According to observing the pavement service condition of investigate roads, the types, scale and distribution of damages were analysed. The pavement conditions are shown as figure 2, figure 3 and figure 4.



**Fig. 2.** Transverse cracks



**Fig. 3.** Massive cracks



**Fig. 4.** Massive cracks

(2) Core sample examination on the investigate roads. The core sampling positions cover running lane, overtaking lane and hard shoulder. Both external and internal situations were noticed. The external situations included surface damages and drainage development; The internal situations included coring time, difficulty and colour of running water in the coring process. After coring operation, the drilling depth, structural condition in the drill hole were scrutinized, including the thickness, uniformity of structural layer, the colour and luster of asphalt, and the bonding between layers. The core sample examinations are shown as figure 5, figure 6 and figure 7.



Fig. 5. Surface cracks



Fig. 6. Drill hole



Fig. 7. Core sample

## 2 Damage Types and Proportion of Asphalt Pavement with Semi-rigid Base

From the investigation, common damages on the asphalt pavement with semi-rigid base can be divided into crack, rutting, loosening, pothole, settlement, oil and other type, in which the crack is the primary damage type and the rutting is secondary. [4][5]The damage types and proportions are shown as figure8.

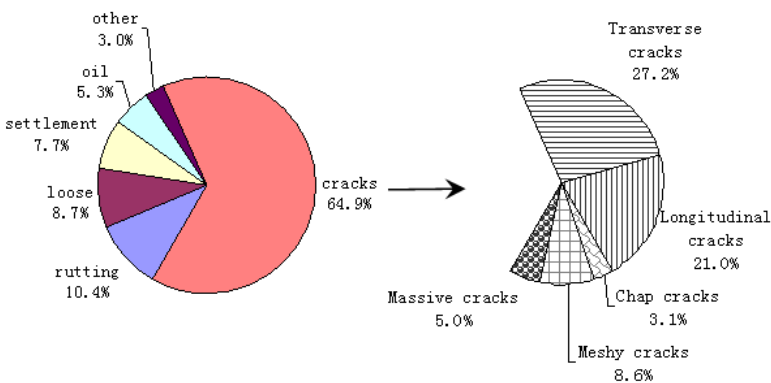


Fig. 8. Damage types and proportions of asphalt pavement with semi-rigid base

Investigation also shows that the service condition of asphalt pavement with semi-rigid base is adequate. Diseases like loosening, pothole and rutting mainly appear on the running lane where the semi-rigid base is in good condition. Damages have obvious regional characteristic, also have direct connection with traffic and vehicle load.[3] Two expressways in the north areas have serious crack diseases, but the first-class highway in the south of the Yangtze River has loosening and potholes as the primary diseases. Overall, disease sections take a proportion of 16% in asphalt pavement with semi-rigid base, the other 84% is in good condition.

### **3 Influence and Distribution Characteristics of Cracks**

#### ***3.1 Influence on Pavement Performance from Cracks***

The emergence of the cracks makes the pavement lose continuity and provide ways for water. The water will decrease the structural capacity, shorten the pavement service life, lessen the binding power between courses, and induce other damages under vehicle loads later. When the transverse cracks and longitudinal cracks crossed, the carrying mode of asphalt pavement turns into irregular limited size plates from continuum, which will accelerate the diseases.

#### ***3.2 Distribution Characteristics of Cracks***

According to the direction and appearance, pavement cracks can be divided into transverse cracks, longitudinal cracks, chap cracks, meshy cracks and massive cracks. To investigate the cracks distribution characteristics, external conditions included length, width, area and other parameters were measured or calculated.

Transverse crack is the primary damage form and appear largely in various research sections, usually most cracks are on the running lane; Longitudinal crack is also a main damage form, which principally appear on the wheel tracks of running lane and ultra-lane. Most longitudinal cracks appear in cut-fill sections, high embankment and long vertical slope; Chap crack is often along with other damages like transverse cracks, longitudinal cracks and subsidence; Meshy crack is not the main damage form, only 3 of 11 investigate highways have large area of meshy cracks; Massive cracks rarely appear, which mainly occur in the wheel tracks of running lane. The length, width, interval and area and other distribution characteristics are as table 2.

**Table 2.** The distribution characteristics of cracks on asphalt pavement with semi-rigid base

Crack types	Transverse cracks	Longitudinal cracks	Chap cracks	Meshy cracks	Massive cracks
Crack length (m)		5~50			
Crack width (mm)	5~15	5~20			
Crack interval (m)	5~50				
Cracks area (m <sup>2</sup> )			0.5~6	1~12	3~20
Distribution characteristics	Primary crack, most on running lane	Mainly on running lane, ultra-lane, cut-fill sections	Along with Transverse cracks, longitudinal cracks and subsidence, without regular area	On the wheel tracks of running lane and the cracks intersections, along with subsidence	Rarely appear, in the wheel tracks of running lane, transverse cracks

## 4 Internal Characteristics and Causes of Pavement Cracks

To analyse the internal characteristics and causes of cracks on asphalt pavement with semi-rigid base, core samples were drilled at the disease place. Tests of pavement deflection, subgrade CBR, strength of base core sample were all important methods.

### 4.1 Transverse Crack

The causes of transverse cracks from internal characteristics in asphalt pavement with semi-rigid base can be divided into the following kinds.

(1) Temperature shrinkage of surface pavement. Cracks run through the asphalt pavement and the crack widths diminish gradually from top to bottom; (2) Temperature shrinkage of top base course. Cracks run through the asphalt pavement and the crack widths diminish gradually from base surface to upward and downward, serious fracture and loosening emerge in the top base; (3) Bending breakage of bottom base course. Cracks widths diminish from bottom base to road surface, transverse cracks and longitudinal cracks intersect in middle and bottom asphalt layer. The bonding conditions between surface and base are unsatisfactory, serious fracture and loosening emerge in the bottom base; (4) Unequal settlement between structures and roads. When the roads connect with rigid structure like bridge, transverse cracks will emerge due to the unequal settlement between structure and road.

## **4.2 Longitudinal Crack**

The causes of longitudinal cracks from internal characteristics in asphalt pavement with semi-rigid base can be divided into the following kinds.

(1) Bending breakage of bottom surface course. Cracks run through the asphalt pavement, and the crack widths diminish from bottom asphalt layer to the surface, the top base or whole base is broken. The generation process of such longitudinal cracks is that the base course has low strength and breaks under vehicle load and the bending breakages of surface course occur because of losing support; (2) Bending breakage of bottom base course. Structural bonding conditions between surface layer and base layer are fine, cracks widths diminish gradually from base bottom to asphalt surface, serious fracture and loosening emerge in the bottom base; (3) Shear tensile failure of surface course. Cracks are limited to the top surface, the base layer is in good condition. This kind of longitudinal crack is caused by the combined action of horizontal shear stresses and transverse tensile stresses on the surface asphalt layer;

(4) Unequal settlement between new and old subgrade. After road widening project, there exist settlement rate difference between new subgrade and original subgrade. With the road service time increasing, vertical displacement appears in the joint of new base and original base, which will cause the longitudinal cracks; (5) Improper construction methods. Cracks are straight in the central road, serious loosening occurs around. Bonding courses between asphalt layers and the base courses are in good conditions.

When the paving size of asphalt concrete can not satisfy the whole road width, there will exist some joints. If the joints treatment did not strictly according to the demands, the asphalt concrete around the joints will be lack of bonding strength, and longitudinal cracks will emerge under long-term load.

## **4.3 Chap Crack**

The causes of chap cracks from internal characteristics can be divided into the following kinds.

(1) Water in the pavement structure. Separation appears between asphalt layers, and asphalt peels off seriously around cracks. At the same time, severe loosening occurs in bottom surface and top base. The generation process of this type meshy crack is: water entered the pavement structure and accumulates in the adhesive layer, the water damage finally happened under vehicle load; (2) Low strength of base course. Bonding courses between asphalt layers are in good condition, serious cracks occur in the bottom surface, and the whole base course is loose; Because of strength shortage, the base course become loose under the vehicle load. Excessive tensile stress appeared in bottom surface due to the shortage of bearing capacity, which led to the meshy cracks finally.

#### **4.4 Meshy Crack**

The causes of meshy cracks from internal characteristics can be divided into the following kinds.

(1) Fatigue failure of asphalt layers. There is no obvious deformation in road surface, but abundant tiny cracks appear around massive cracks. The bonding layer and the base course are all in good conditions.

Such cracks were caused by the fatigue failure of asphalt layers from long time vehicle load, and overload would exacerbate these meshy cracks; (2) Bending breakage of bottom surface course. Cracks run through the asphalt pavement, and crack widths diminish from bottom asphalt layer to the surface. The bonding courses between asphalt layers are in good conditions, while the top base is broken. Water caused the strength shortage and vehicle load led to the base loosening. Then the excessive tensile stress appears in bottom surface, which caused the meshy cracks; (3) Bending breakage of bottom base course. Cracks run through the asphalt layers and base layer, and the crack widths diminish gradually from bottom base to asphalt surface. The base course becomes loose under the vehicle load because of its strength shortage and tensile stress concentration, and cracks reflect upward to the surface road; (4) Extension of transverse cracks. Most transverse cracks in the meshy cracks are out of the meshy cracks range, while long longitudinal cracks are less. The crack widths diminish gradually from bottom to top, and usually the transverse cracks are wider than longitudinal cracks. The base course is in good condition. Transverse cracks occurred when temperature changes rapidly, then the cracks extended to the longitudinal direction, ultimately the criss-cross meshy cracks appeared.

#### **4.5 Massive Cracks**

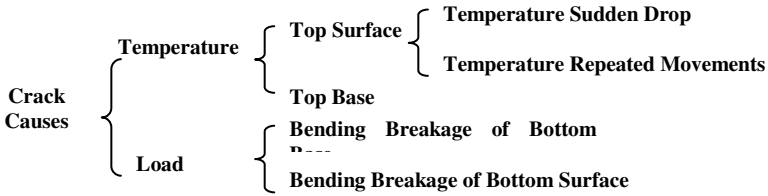
The massive cracks on asphalt pavement with semi-rigid base are chiefly caused by the bending breakage of bottom base course.

Cracks run through the whole pavement layers and their widths diminish gradually from bottom to top, the bottom base is in loose. By the long time effect from load and temperature, load fatigue and temperature shrinkage fatigue had lessened the ultimate bending strength of semi-rigid base. The low strength caused the bonding cracks in the bottom base, and then cracks reflected to the surface road.

### **5 Damage Mechanism of Pavement Cracks**

Research shows that crack is the chief damage of asphalt pavement with semi-rigid base, transverse crack and longitudinal crack are the most common cracks, which are abundant in different zones and different structures. In the cracks, transverse cracks take a proportion of 42%, longitudinal cracks 32.3%, chap cracks 4.8%, meshy cracks 13.3% and massive cracks 7.7%.

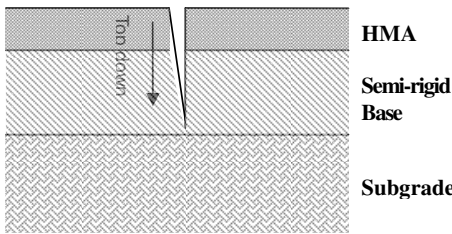
The causes of cracks can be divided into temperature, load, water effects, unequal settlement and construction wrongs, in which the temperature and load are primary causes, as shown in figure 9.



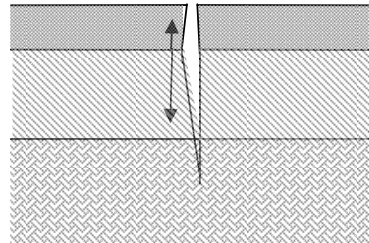
**Fig. 9.** Causes and classification of cracks on asphalt pavement with semi-rigid base

### 5.1 Mechanism of Temperature Shrinkage Crack

Temperature shrinkage cracks can be classified into two forms: the first type cracks caused by temperature shrinkage in the surface asphalt layer; the second type cracks reflected from the temperature shrinkage cracks in the surface base course. Temperature shrinkage cracks are mainly characterized by transverse cracks. The two types cracks are shown as figure 10 and figure 11.



**Fig. 10.** Shrinkage crack from surface



**Fig. 11.** Shrinkage crack from top base

Most of the first type cracks are slight, with the spacing of 10m ~ 15m and the width of 3mm ~ 10mm, the cracks run through the asphalt layers and their widths diminish gradually from top to bottom; the second type cracks have the spacing of 15m ~ 25m and the width of 5mm ~ 15mm, and the crack widths diminish gradually from top base to up and down. Temperature shrinkage cracks appear not only in the running lane, but also in the ultra-lane and hard shoulder.

(1) Mechanism of temperature shrinkage crack in the surface road. Asphalt temperature in pavement structure changes more slowly than external, and there exist temperature difference in various depths due to the temperature change and transmission. As the asphalt is temperature susceptibility material, the asphalt

concrete also has intensive temperature susceptibility. In high-temperature, the asphalt concrete has excellent stress relaxation, which can effectively avoid the stress concentration under vehicle load. But in low-temperature, stiffness modulus of asphalt concrete increases sharply, and the asphalt concrete shrinkage can easily lead to stress concentration on the road surface.

Reasons of temperature shrinkage cracks can be divided into two forms: when the temperature sudden drop, temperature stress of asphalt concrete exceeded its tensile strength, cracks appeared; temperature repeated movements caused temperature stress fatigue, which led to the degradation of ultimate tensile strain and stress relaxation of concrete, then cracks occurred in a modest temperature stress.

Usually, the first kind of cracks occur in regions with chilly winter, the second kind occur in both cold regions and warm regions where temperature varies frequently. After cracks appeared, the crack tip had become the stress focus under vehicle load and caused the cracks extension to top and bottom road.

(2) Mechanism of temperature shrinkage crack in top base course. Compared with flexible base, the semi-rigid base has less thermal capacity, lower adhesive performance with asphalt layer and larger autogenous shrinkage.

When the temperature dropped sharply, the tensile stress in base course increased quickly but the bonding strength between asphalt layer and base layer is limited. Once the tensile stress exceeded the ultimate tensile strength, cracks happened in the base course.

Most base courses are constructed in hot season, during the early molding period the base course can not be covered by the asphalt layers. The large temperature difference between day and night resulted in large thermal stress in base, which would cause cracks. Transverse cracks caused by the shrinkage in surface layer and top base are the main form of cracks, which take a proportion of 80% in the transverse cracks.

## 5.2 Mechanism of Cracks Caused by Load

Cracks caused by load can be divided into two types: bending breakage of bottom base course caused by load and bending breakage of bottom asphalt surface course.

Mechanisms of the two types cracks are shown as figure 12 and figure13.

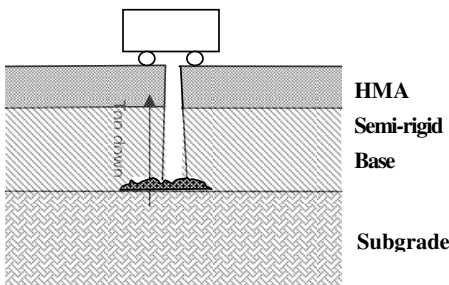


Fig. 12. Bending breakage of bottom base

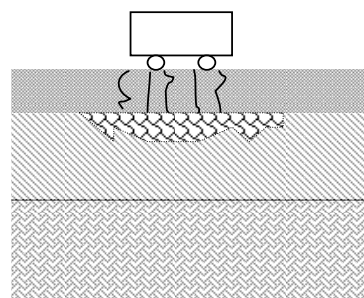


Fig. 13. Bending breakage of bottom surface



(1) Bending breakage of bottom base course caused by load. Cracks caused by this reason include transverse cracks, longitudinal cracks, meshy cracks and massive cracks. The bottom base course is in serious fracture and loosening, the cracks reflect through the whole pavement structure and crack widths diminish gradually from bottom to top.

Due to the material properties, semi-rigid base has high unconfined compressive strength and stiffness, but these in sub base are much lower. This difference makes the stiffness transition between base courses more difficult, also enlarges the tensile stress in bottom base from load. If the tensile stress exceeded the ultimate bending strength of base course, cracks occur. After base cracked, vertical shear stress increased and become the chief factor causing cracks on the road surface. The large tensile stress in bottom base will make the top sub base cracks easily.

(2) Bending breakage of bottom asphalt surface course caused by load. Cracks caused by bending breakage of bottom asphalt surface course under load include chap cracks and meshy cracks.

When there existed strength shortage or weak layer in base course, they could not provide enough bearing force to surface layers. In this case, compressive stresses in the bottom surface transited to tensile stress. Once the tensile stress exceeded its ultimate bending strength, cracks appear and reflect to road surface.

## 6 Proportion of Cracks Caused by Base Course

Serious transverse cracks have two types: caused by temperature shrinkage in top base and bending breakage of bottom base, which take a proportion of 70% in transverse cracks; longitudinal cracks caused by bending breakage of bottom base accounts for 40%; chap cracks caused by broken of base account for 50%; meshy cracks caused by semi-rigid base account for 30% and more than 90% of massive cracks are caused by semi-rigid base.

Based on above, 36% of damages on asphalt pavement with semi-rigid base relate to semi-rigid base. The proportion of cracks related to semi-rigid base is shown as table 3.

**Table 3.** Proportion of cracks related to semi-rigid base

Damage type	Transverse cracks	Longitudinal cracks	Chap cracks	Meshy cracks	Massive cracks	All cracks
Proportion of cracks related to semi-rigid base (%)	70	40	50	30	90	36

## 7 Conclusion

(1) Damages on asphalt pavement with semi-rigid base show strong regional characteristics, and their occurrences are related directly to traffic and vehicle load.

(2) The main damage forms on the asphalt pavement with semi-rigid base include crack, rutting, loosening, pothole, subsidence and oil. Cracks are the primary damage form and can be divided into transverse cracks, longitudinal cracks, chap cracks, meshy cracks and massive cracks, in which the transverse cracks and longitudinal cracks are dominant.

(3) Disease sections take a proportion of 16% in the asphalt pavement with semi-rigid base, the other 84% is in good condition.

(4) The causes of cracks on asphalt pavement with semi-rigid base can be divided into temperature, load, water effects, unequal settlement and construction wrongs, in which the temperature and load are primary.

(5) 36% damages on asphalt pavement with semi-rigid base are related to semi-rigid base. Analysis results demonstrate that asphalt pavement with semi-rigid base has strong adaptability in kinds of regions, climates and loads. The structure is suitable for current transport level in China, and can be used as a main structure in highway construction for a long period.

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# Comparing the Slope of Load/Displacement Fracture Curves of Asphalt Concrete

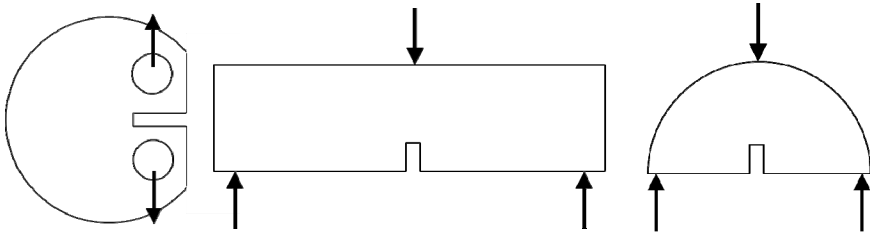
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**Abstract.** In order to quantify cracking characteristics of asphalt concrete, the use of fracture testing is becoming more common. When running fracture tests, often the load and displacement are recorded, and from these two values, the fracture energy can be calculated. Unfortunately, fracture energy is only a single value, so it is difficult to differentiate between two different mixtures that have the same fracture energy, but very different Load/Displacement curves. This research examined four sets of mixtures with similar fracture energies, but different material characteristics, including air voids, asphalt cement content, asphalt cement type, and polymer modification. After analyzing these four sets of mixtures, it was found that adding extra asphalt cement or polymer modification to a mixture increased the compliance behavior of the fracture curves for these specific mixtures. In addition, increasing the air voids decreased the compliance, while increasing the high temperature binder grade slightly increased the compliance. This indicated that important information of cracking characteristics of asphalt concrete can be overlooked when only using the fracture energy.

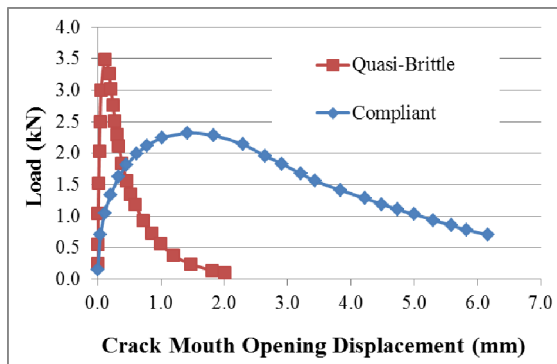
## 1 Introduction

When looking at asphalt concrete pavements (which constitute 94% of the United State's pavement surfaces), there are fifteen distresses according to the Distress Identification Manual published by the Federal Highway Administration [1]. Of these fifteen, six are related to cracking, including fatigue, block, edge, longitudinal, reflection, and transverse cracking. However, after surveying the current tests performed in the laboratory on asphalt concrete, only two tests that are commonly run measure cracking, or separation, properties of asphalt concrete: the indirect tension test [2] and bending beam fatigue test [3]. Recently, the field of fracture mechanics has been explored with great success in order to quantify and categorize the performance of asphalt concrete materials. When reviewing the literature, three fracture testing configurations have been used: the Disk-Shaped Compact Tension [DC(T)] [4], the Single-Edge Notch Beam [SE(B)] [5], and the Semi-Circular Bend [SC(B)] [6] tests, as seen in Figure 1.



**Fig. 1.** From left to right: the Disk-Shaped Compact Tension [DC(T)], Single-Edge Notch Beam [SE(B)], and Semi-Circular Bend [SC(B)] fracture tests for asphalt concrete

A common quantification taken from these fracture tests is fracture energy. The fracture energy is found by taking the area under a load/displacement curve, and dividing this number by the area of the crack face. Figure 2 shows some typical fracture energy curves of two types of asphalt concrete.



**Fig. 2.** Fracture curves of asphalt concrete

Unfortunately, fracture energy is a single number. After testing twenty eight asphalt concrete mixtures at three testing temperatures [4], the same fracture energy was calculated for several different asphalt concrete mixtures. This research examined an innovative method of analyzing load/displacement curves in an effort to better understand the material behavior of asphalt concrete.

## 2 Objectives

The load/displacement curve data from four pairs of asphalt concrete mixtures with similar fracture energy will be examined to further distinguish cracking properties of asphalt concrete mixtures. Differences in the four mixtures include

air voids, asphalt cement content, asphalt cement type, and polymer modification. The objective of this research is to develop a new analysis technique that will allow for a deeper understanding of the cracking characteristics of asphalt concrete that can differentiate between asphalt concrete mixtures with similar fracture energies.

### 3 Background

As part of the Low-Temperature Cracking Pooled-Fund Study TPF-5(080), referred to as LTC study, approximately 252 DC(T) samples were tested [5]. Fracture energy, calculated from the load/displacement curve, was collected for each of these tests. Several parameters were examined, including ten asphalt cement binders, two aggregate types, three testing temperatures, two asphalt cement contents, and two air void levels, in a non-factorial design. Interestingly, several sets of fracture energy between two mixtures were essentially the same. Table 1 summarizes four mixtures that captured this trend.

**Table 1.** Mixtures with Similar Fracture Energies but Different Properties

Set	Air Voids	Asphalt Cement			Fracture energy (J/m <sup>2</sup> )
		Content	Type	Polymer Modification	
1	4%	Optimal	PG58-28	No	316.1
	7%				314.7
2	4%	Optimal	PG58-34	Yes	646.9
		Optimal + 0.5%			632.4
3	4%	Optimal	PG58-28	No	422.2
			PG64-28		425.5
4	4%	Optimal	PG64-28	No	425.5
				Yes	429.7

In Set 1, the testing temperature was low, and the aggregate was limestone. In Set 2, the testing temperature was medium, and the aggregate was granite. In Set 3 and Set 4, the testing temperature was medium, and the aggregate was limestone. The “Low” and “Medium” temperatures were based on the low value of the PG grade in the following format:

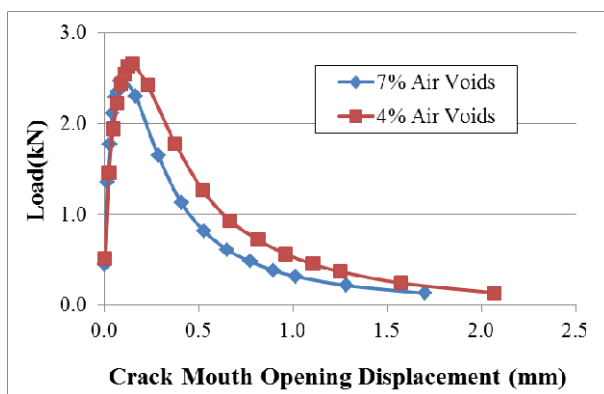
- 2°C below the low temperature grade (“Low” testing temperature)
- 10°C above the low temperature grade (“Medium” testing temperature).

For example, for a PG58-28 asphalt cement type, the asphalt concrete mixtures were tested at -30°C and -18°C. In addition to allowing a wide range of testing temperatures, these two temperatures matched PG binder testing temperatures used in the LTC study. The two aggregates studied, limestone and granite, were

chosen because they have different coefficients of thermal expansion (granite  $\approx 8.2 \times 10^{-6}$  and limestone  $\approx 3.8 \times 10^{-6}$ ). This difference emphasizes the effect of the differential contraction between the asphalt binder and aggregate. However, both aggregate gradations had a nominal maximum aggregate size of 12.5mm. Samples were compacted to both 4% and 7% air voids, at either the Superpave Optimal Binder Content, or the Superpave Optimal Binder Content plus an additional one-half percent of asphalt cement. Finally, some of the base asphalt cements were polymer modified, while some were neat.

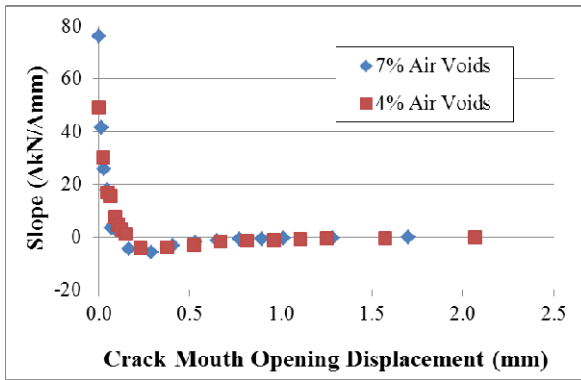
#### 4 Construction of Slope Comparisons

The two mixtures from Set 1 in Table 1 were chosen to demonstrate the process of analyzing the fracture data for this research. In the first round of data analysis, the full fracture curves were split into twenty data points with an equal Crack Mouth Opening Displacement (CMOD) spacing. However, when these points were plotted, informative trends of pre-peak behavior were being lost, since the majority of data collected occurs after the peak load is reached. Therefore, the data was reanalyzed using eighteen points, eight of which occurred pre-peak load and ten after peak load. Figure 3 shows the two mixtures from Set 1 in Table 1 plotted in this fashion. Note, the two mixtures in Figure 3 had the same testing temperature, aggregate, asphalt cement content, asphalt cement type, but different levels of air voids.



**Fig. 3.** Load/CMOD Curves of Mixtures with Identical Properties Except for Air Voids

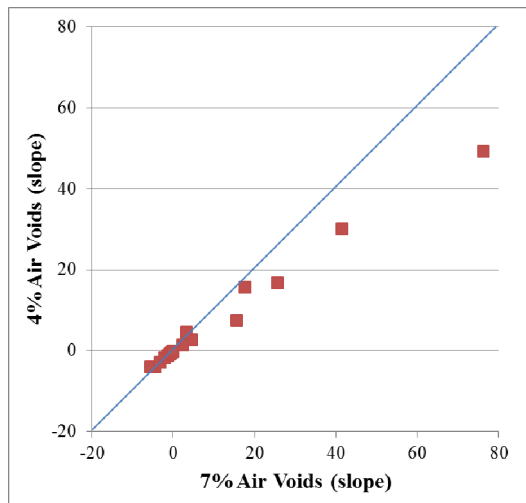
Figure 3 shows that although the two mixtures had very similar fracture energy values ( $316.1 \text{ J/m}^2$  for 4% air voids,  $314.7 \text{ J/m}^2$  for 7% air voids), the curves themselves were not the same. It appeared that the pre-peak and post-peak curves had different slopes, and the two peak loads were different. In order to understand the different slopes, the slope of the Load/Displacement curve was calculated (with units of change in  $\text{kN/mm}$ ) and graphed for the data in Figure 3, and is shown in Figure 4.



**Fig. 4.** Load/CMOD Curves of Mixtures with Identical Properties Except for Air Voids

Figure 4 clearly demonstrates that the initial slope for the 7% air voids was greater than the 4% air void, but the test ended sooner than the 4% air voids. This balance of the shape of the initial curve (pre-peak load), the peak load, the shape of the softening curve (post-peak load), and the length of the softening tail determine the fracture energy. When one or more of these components balance out, similar fracture energies with different curves occur. A second format to present a comparison of slopes is to simply plot the two slope values against each other with a line of equality. This technique is shown in Figure 5.

The difference in slopes between 4% and 7% air voids of the fracture curves is readily apparent in Figure 5. There was a small cluster of points around zero slope that appeared to be similar, but there were large differences of slope at the higher values. A higher slope at these larger values indicated a less compliant mixture; a less compliant mixture was an indication of a less crack resistant mixture.



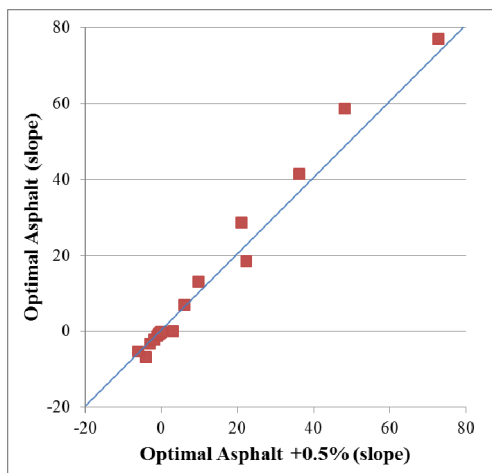
**Fig. 5.** Slope Comparison of 4% and 7% Air Voids

Therefore, when comparing the slopes of the two mixtures in Figure 5, it appeared that 7% air voids mixture was less compliant, thus less crack resistant, than the 4% air voids mixture. This was intuitive, as a higher level of air voids would indicate lower cohesion of the mixture, and thus lower cracking resistance. This analysis technique was repeated for the next three sets of mixtures.

## 5 Results

Similar to the process outlined in Figure 3 through Figure 5, the mixtures from Set 2 in Table 1 were analyzed. In set two, the testing temperature, aggregate type, air voids, and asphalt cement type were identical, including Elvaloy polymer modification. The only difference was the asphalt cement content. The mixture compacted at Superpave Optimal Asphalt Content had a fracture energy of  $646.9 \text{ J/m}^2$ , while the mixture compacted with an additional 0.5% asphalt cement had a fracture energy of  $632.4 \text{ J/m}^2$ . Figure 6 shows the slope comparison between the two mixtures.

The variation from the line of equality was not as great as the difference in air voids, but the slope was slightly higher for the optimal asphalt content mixture versus the mixture with an additional 0.5% asphalt cement. Therefore, the mixture with lower asphalt cement content was less crack resistant. This was reasonable, as the addition of extra asphalt cement should theoretically increase the cracking resistance of asphalt concrete.



**Fig. 6.** Slope Comparison of Optimal Asphalt Content and Optimal Asphalt Content +0.5%



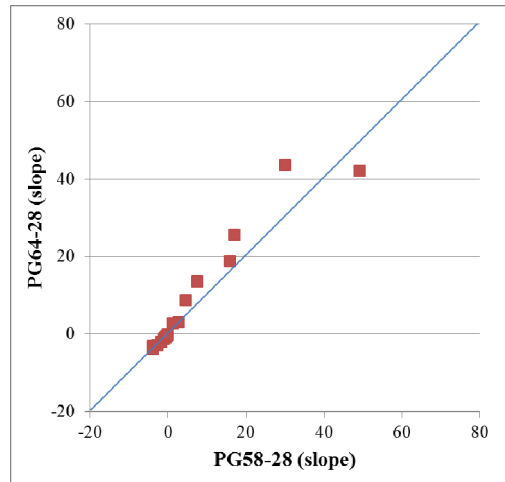
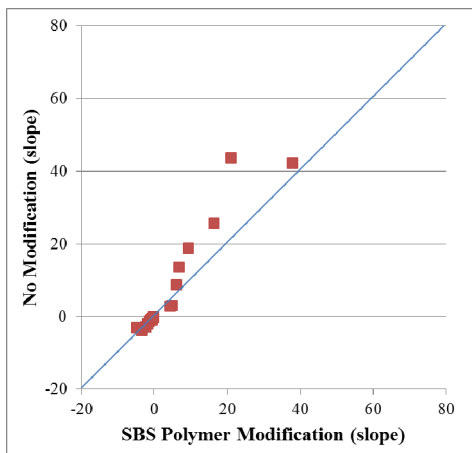


Fig. 7. Slope Comparison of PG64-28 and PG58-28

The next set of mixtures, Set 3 in Table 1, compared two mixtures that had the same testing temperature, the same aggregate type, the same air voids, and the same asphalt cement content. However, one mixture was a PG58-28 (with a fracture energy of 422.2 J/m<sup>2</sup>) and the second mixture was a PG64-28 (with a fracture energy of 425.5 J/m<sup>2</sup>). Neither asphalt cement was polymer modified. Figure 7 shows the slope comparison between the two mixtures. The analysis of set of mixtures in Figure 7 was not as straight forward as the first two sets of mixtures. While the slope from the PG64-28 was higher for a portion of the graph, at the highest slope value, the PG58-28 actually had a higher slope. A potential cause for this ambiguity is that the testing temperatures were tied to the low grade of the asphalt, and although these were two different asphalt cement grades, their low grade was the same (-28, with a -18C testing temperature). Therefore, the low temperature properties may be quite similar, as the difference between the asphalt cement may only affect the high temperature properties.

The final set of mixtures, Set 4 in Table 1, compared the effect of polymer modification. All other properties, including testing temperature, aggregate type, air voids, asphalt cement content and type were the same, but one of the asphalt cements was modified with Styrene-Butadiene-Styrene (SBS) polymer. Figure 8 shows the comparison of the two mixes.

The trends in Figure 8 were similar to the trends in Figure 7. The higher slope values seen with the no modification asphalt cement indicates less compliance, which indicates lower cracking resistance. With similar fracture energy values (425.5 J/m<sup>2</sup> for no polymer versus 429.7 J/m<sup>2</sup> for SBS modification), the further analysis of examining the slopes of the fracture curves indicates the power of analyzing the entire curve and not just the fracture energy. The entire curve shows that polymer modification increases crack resistance, while the single fracture energy value did not. However, only two mixtures were analyzed with this data, so this is an area that needs more exploring in order to better understand the ability of polymer to reduce cracking characteristics through fracture testing.



**Fig. 8.** Slope Comparison of No Polymer Modification versus Styrene-Butadiene-Styrene (SBS) Polymer Modification

### 6 Statistical Analysis

Figures 5-8 show general trends, but an important component is missing. That component is the error from the three replicates for each test. Therefore, the Coefficient of Variation (COV) was found for each set of data. The COV was calculated by taking the standard deviation of each slope point, and dividing this standard deviation by the average of each slope point. The absolute value of these COV values was taken (as some of the slopes were negative), and the average of all eighteen COV values was found. Table 2 provides a summary of the COV values.

**Table 2.** Coefficient of Variation (COV) of Eight Mixtures

Set	Air Voids	Asphalt Cement			COV (%)
		Content	Type	Polymer Modification	
1	4%	Optimal	PG58-28	No	21.8
	7%				35.7
2	4%	Optimal	PG58-34	Yes	19.3
		Optimal + 0.5%			20.1
3	4%	Optimal	PG58-28	No	21.8
			PG64-28		19.9
4	4%	Optimal	PG64-28	No	19.9
				Yes	25.6

With the exception of the 7% air void mix in Set 1, the COV values fall within typical testing variation of asphalt concrete mixtures, which is 10-20%.

## 7 Conclusions

Cracking is a significant distress of asphalt pavements. Fracture tests are becoming a more common type of test to try and quantify cracking in the laboratory. To date, the majority of fracture test analysis has captured a single number, fracture energy. However, fracture energy is a single number. On occasion, two mixtures with different properties will have very similar fracture energies. Therefore, more information needs to be extracted from current fracture tests. This research focused on developing a new method of analysis of fracture data in order to better understand the cracking characteristics of asphalt concrete.

Four sets of mixtures were investigated. Each set had very similar fracture energy values, but had one key characteristic that varied between the two mixtures. For Set 1, the air voids were 4 and 7%. For Set 2, one asphalt cement content was 0.5% higher than the other. For Set 3, two different types of asphalt cement were used. Finally, for Set 4, one asphalt cement had polymer modification while the other was unmodified. By comparing the slopes of the Load/Displacement curves collected during the fracture test, the following conclusions were found for the four sets of mixtures studied:

- 7% air voids was less crack resistant than 4% air voids
- Adding 0.5% asphalt cement above the Superpave optimal asphalt content increased the cracking resistance of the mixture
- Changing the Superpave binder grade from a PG64-28 to a PG58-28 did not have a clear effect on the cracking resistance
- The addition of Styrene-Butadiene-Styrene appeared to increase the cracking resistance of the asphalt mixtures
- The Coefficient of Variation of the slopes fell within typical asphalt concrete mixture testing, with values around 20%

This method of analysis has several drawbacks. First, the analysis was only performed at one testing temperature, giving a small window of information into the cracking characteristics of each asphalt mixture. Second, the slope of the Load/Displacement curves does not have physical significance nor is it a fundamental engineering property. Third, only eight mixtures with three replicates each were analyzed in this data set. So while some general trends can be observed by analyzing data in this method, it is difficult to extract fundamental properties. These two concerns need to be addressed in order to create better methodologies for analyzing the cracking mechanisms of asphalt concrete mixtures.

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# Cracking Behaviour of Bitumen Stabilised Materials (BSMs): Is There Such a Thing?

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**Abstract.** The behaviour of bitumen stabilised materials (BSMs) is uniquely different from all other materials used to construct road pavements. Unlike asphalt, where the bitumen as a continuum binds all the aggregate particles together, the bitumen in a BSM is dispersed selectively amongst only the finer particles, regardless of whether bitumen emulsion or foamed bitumen is used as the stabilising agent. When compacted, the isolated bitumen-rich fines are mechanically forced against their neighbouring aggregate particle, regardless of size, resulting in localised bonds which are non-continuous.

The purpose of this paper is to explore the question: Is the mode of failure of BSMs purely permanent deformation, similar to granular materials? Some engineers continue to argue that, similar to thick asphalt layers, BSMs fail in fatigue, supporting this stance by means of repeated-load tests carried out in a laboratory on beam specimens.

In this paper, the principles of fracture mechanics are employed to demonstrate that non-continuously bound materials experience different modes of failure to continuously bound materials. For a crack to propagate through a layer, the material must have sufficient internal cohesion to allow applied stresses to concentrate at the crack tip. In addition, the performance of several pavements, constructed at least five years ago, each with a base layer of high quality BSM material, is reviewed. Deflection measurements taken at regular intervals show none of the symptoms that would indicate deterioration due to fatigue initiation. Deflection measurements suggest that the pavement stiffness increases in the first year after construction.

## 1 Introduction

Bitumen Stabilised Materials or BSMs have emerged over the past 15 years as attractive base materials for pavement structures carrying medium to heavy traffic volumes. Often the use of BSM technology forms part of pavement rehabilitation, although it can also form part of new construction. BSMs incorporate either bitumen emulsion or foamed bitumen as binder, often supplemented with a small percentage of active filler, typically 1% cement or lime.

One of the challenges facing the pavement engineer, is that BSM components comprise all of the primary ingredients of pavement materials i.e. aggregates (virgin or recycled, granular, cemented or reclaimed asphalt), bitumen, active

filler, water and air. This leads to visco-elasto-plastic behaviour of a BSM. How, then, does one develop appropriate response models and damage models for BSMs?

One of the distinguishing features of BSMs is the nature of dispersion of the bitumen. Asphalt consists of a continuum of bitumen binding aggregate together whilst BSMs comprise non-continuously dispersed bitumen within the mix, with selective dispersion amongst the fine aggregate. Typically, 1.8% to 2.5% bitumen is used to produce BSMs which is approximately half of the binder content of equivalent HMA. The binder in BSMs (both bitumen and the small amount of hydraulic binder) increases the shear strength predominantly through improved cohesion associated with typically a small reduction in friction angle of the parent material. These characteristics indicate the granular-type behaviour of BSMs.

## 2 BSM Characteristics and Response Models

Extensive laboratory investigations have been carried out on the dynamic response properties of BSMs. Dynamic triaxial testing illustrates the granular-type, stress-dependent behaviour of BSMs, see Figure 1. The resilient modulus of a BSM can double within a typical range of stresses experienced by such a layer.

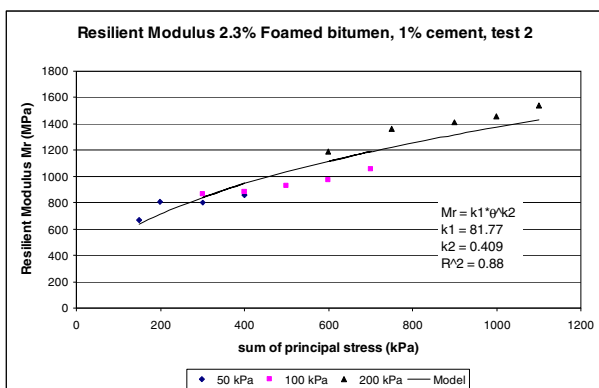


Fig. 1. Dynamic triaxial tests on BSM-foam at 25°C [1]

However, BSMs can also be expected to behave visco-elastically given their inclusion of bitumen. This has been verified by the master curves from dynamic flexural beam testing. Figure 2 verifies the dependency of BSMs on loading time and temperature. Data for two BSM-emulsions and one BSM-foam is shown in the figure. At the same time, the lower binder contents and non-continuous dispersion of the bitumen in the BSMs reflect in the flatter gradient of the master curves compared with the equivalent Half-warm (HW) and HMA mixes.

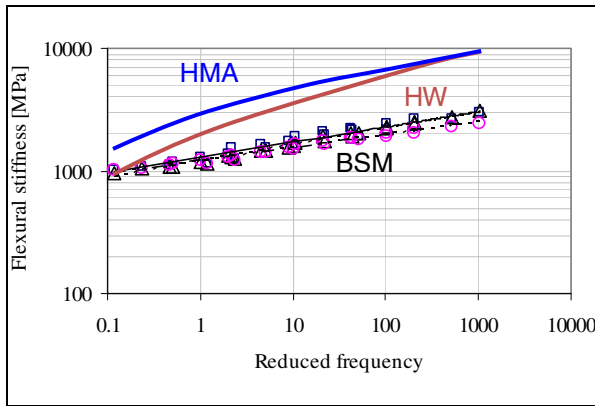


Fig. 2. Master curves of BSM-emulsion and BSM-foam Tref=20°C, after [2]

### 3 Damage Models for BSMs

In May 2009, South Africa launched the Second Edition of TG2 the Technical Guideline for the design and construction of BSMs, [3]. This guideline specifies permanent deformation as the design mechanism of failure. Does this mean that fatigue failure does not occur? This section explores the relevance of fatigue as a BSM damage mechanism.

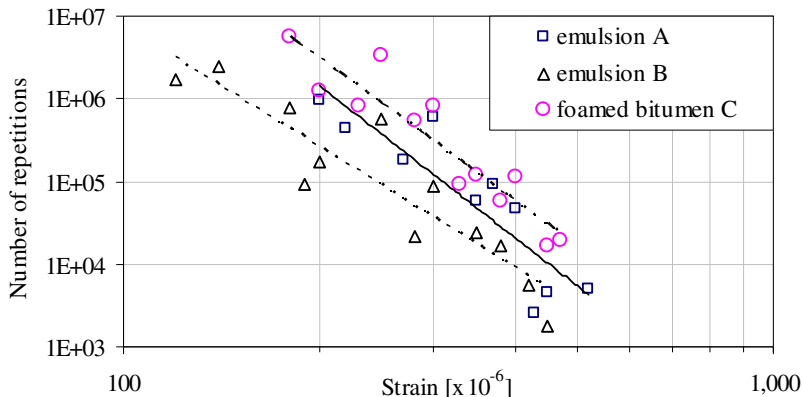
#### 3.1 Fatigue Testing of BSMs

Mathaniya *et al.* [2] carried out a range of four point beam tests on Bitumen Stabilised Materials with either emulsion or foamed bitumen as binders up to 2006. Firstly, it should be noted that these mixes included 3.6% residual binder contents, which is higher than the current practice norms for BSMs which applies typically 2 to 2.5% residual binder. The cement content used in the research i.e. 1%, complies with TG2 [3] recommendations.

The extended experimental design clearly showed that fatigue testing of BSMs is achievable, an example of which is shown in Figure 3. Mathaniya *et al.* also showed the weakness of strain-controlled testing, where BSM-foam mixes provided extended fatigue lives, primarily due to the lower initial flexural stiffness  $S_i$  than BSM-emulsion mixes, as seen in Table 1.

Table 1. Average initial stiffness ( $S_i$ ) and failure stiffness ( $S_f$ ) of beams at 5°C [2]

	Aggregate blend					
	25% RAP, 0% cem		25% RAP, 1% cem		75% RAP, 0% cem	
	$S_i$ [MPa]	$S_f$ [MPa]	$S_i$ [MPa]	$S_f$ [MPa]	$S_i$ [MPa]	$S_f$ [MPa]
A – emulsion	2432	1216	2746	1373	1612	806
B – emulsion	2521	1261	2119	1059	2119	1059
C – foamed bitumen	1590	795	1592	796	1045	522



**Fig. 3.** 4 Point Beam Fatigue of BSMs: 25% RAP + 1% Cem, 5°C and 10 Hz [2]

### 3.2 Fracture Mechanics Considerations

The principles of fracture mechanics have been successfully applied in pavement engineering to the design of asphalt overlays where reflective cracking requires analysis. Collings and Jenkins [4] showed how fracture mechanics can provide insight into the behaviour of BSMs. Paris’ Law shown in Equation 1, is used to describe crack growth in a material.

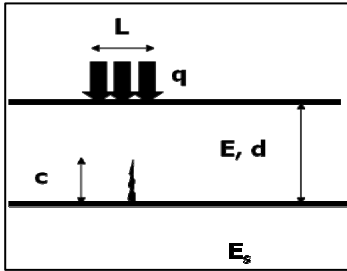
$$\frac{dc}{dN} = A \cdot K^n \tag{1}$$

where,  $\frac{dc}{dN}$  = increase in crack length per load cycle  
 K = stress intensity factor at the tip of the crack in bending or shear  
 A, n = material constants

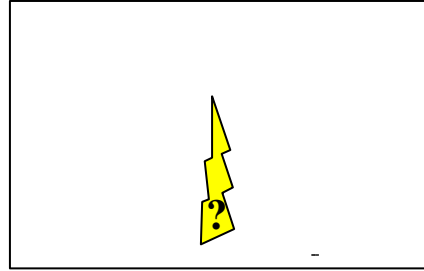
Paris’ Law is appropriate for asphalt if analysed as a homogeneous material incorporating bitumen that is distributed in a continuum. The asphalt layer can be treated, in effect, as a beam, since it is a continuously bound material. Besides other factors, the crack intensity factor is dependent on the ratio of crack length to beam thickness,  $c/d$  shown in Figure 4.

BSMs do not have a continuum of bitumen and less homogeneous than asphalt, especially when they include recycled material e.g. RAP. This is shown conceptually in Figure 5. Discrete distribution of bitumen splinters in BSM-foam makes classical fatigue and fracture mechanics inapplicable. If shear deformation between individual particles ruptures a “spot weld” of bitumen, there is no continuity of bound material that will allow a crack to develop, so  $c/d$  becomes meaningless. There is neither opportunity for a crack “head” nor stress intensity at





**Fig. 4.** Beam approach to crack-growth analysis [4]



**Fig. 5.** Non-continuous bound BSM-foam [4]

the tip to develop. A broken spot weld will result in particles re-orientating (micro-shearing), resulting in permanent deformation, as with granular material.

### 3.3 *In-Service Behaviour of BSMs*

The fact that fracture mechanics and classical fatigue do not apply does not imply that BSMs will not experience change in stiffness with time. The rupture of spot welds can influence the effective stiffness of a BSM layer. However, there are other factors such as curing that influence the in-service behaviour of BSMs. This section uses the example of a highway near Cape Town, South Africa, namely the N7, to explore full-scale BSM behaviour.

Part of the N7 highway was rehabilitated by recycling with BSM-foam (2.3% bitumen and 1% cement) on the Southbound Carriageway in 2002. The Northbound Carriageway was rehabilitated by recycling with BSM-emulsion in 2007 (2% residual bitumen and 1% cement). Both carriageways were recycled in situ and stabilised to a depth of 250mm. This allows for useful comparisons to be made between these two forms of BSMs.

*Curing.* Compaction of BSM layers is followed by a natural reduction in moisture content, known as curing. This phenomenon predominates in the first year of the BSM layer's life, followed by cyclic variations in moisture.

Physical moisture measurements of the BSM-emulsion base layer were made by Moloto who retrieved samples from the layer and tested them in the laboratory. This was supplemented by moisture button monitoring in the layer [5]. In addition, Portable Seismic Pavement Analyser (PSPA) measurements were taken on the BSM base over time, in order to evaluate the change in modulus of the base with time.

During the first seven months, the moisture content reduces asymptotically, as seen in Figure 6. The change in moisture content of the BSM-emulsion concurs with the trends found for BSM-foam curing rates, as shown by Malubila [6].

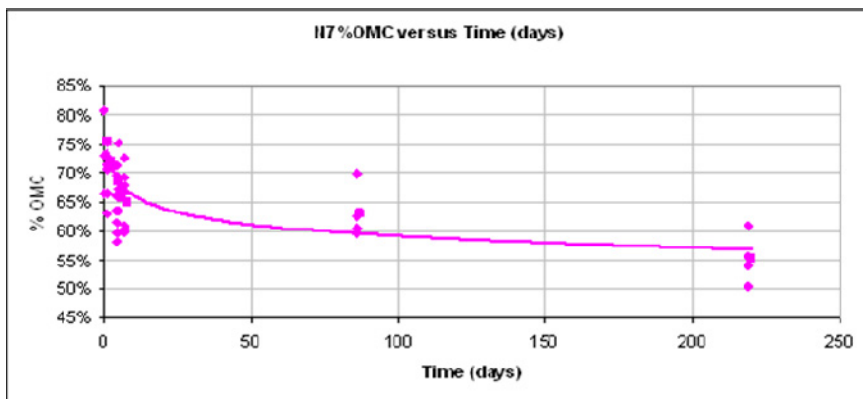


Fig. 6. Moisture content (oven dried) in BSM-emulsion base in-service [5]

The change in resilient modulus of the BSM layer was measured concurrently with the moisture sampling, by Moloto [5]. The PSPA measurements have the advantage of imparting pressure waves almost exclusively in the 250mm BSM layer, allowing for reliable analysis of relative changes in stiffness of that layer. Analysis of the PSPA results, as illustrated in Figure 7, shows the modulus to be inversely proportional to the moisture content of the BSM-emulsion layer. From these results, there are no signs of fatiguing nor stiffness reduction during the first seven months of this layer’s life. Rather, the change in moisture content of the BSM with time, has a significant impact on the stiffness in the first year of a BSM’s life.

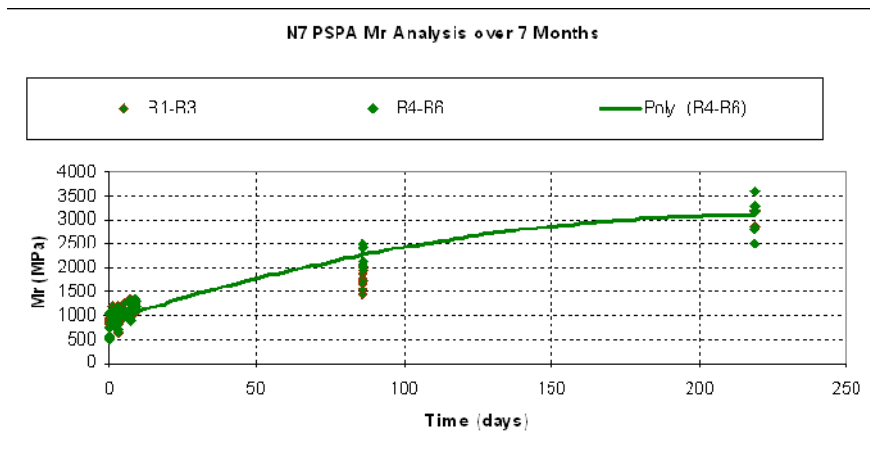


Fig. 7. Modulus of BSM-emulsion base measured with PSPA [5]

The findings of Moloto are verified by measurements on a major 6 lane Greek Highway between Athens to Corinth, which was rehabilitated in 2002/2003 using in place recycling with 2.3% foamed bitumen and 1% cement. The National Technical University of Athens NTUA carried out FWD measurements on the pavement initially as part of the rehabilitation investigation and then subsequently at 1 month, 6 months and then at yearly intervals until 4 years after construction [7]. The reduction in maximum deflection measured using the FWD is plotted in Figure 8 for the slow lane on both carriageways. The new layers in the pavement structure included only BSM-foam and HMA, so the stiffening of the pavement structure could only have emanated from the BSM layer. During the period of the deflection measurements, the pavement was exposed to some 60,000 vehicles per day (20% heavy vehicles with a legal axle load of 130 kN). The asymptotic reduction in maximum deflection with time shows that the BSM-foam layer gains the majority of its stiffness in the first 6 to 9 months after construction.

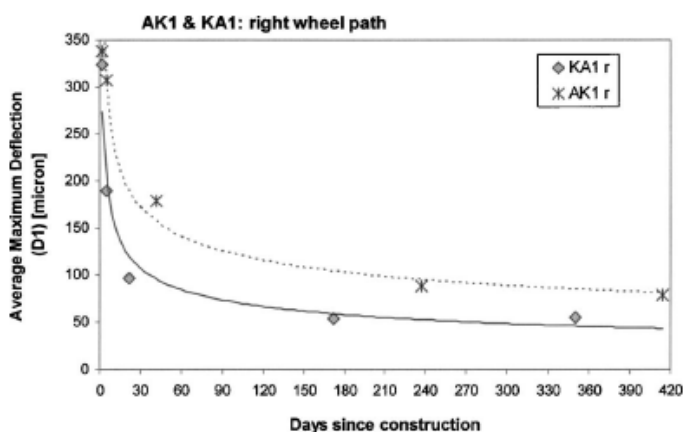
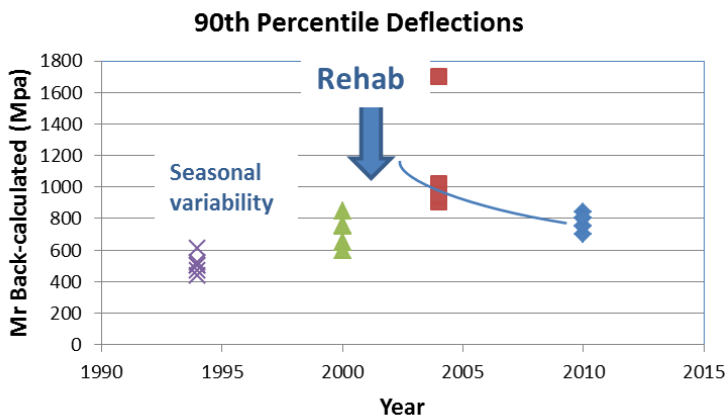


Fig. 8. Change in FWD Maximum Deflection with time [7]

*BSM Stiffness more than 1 Year after Construction.* Once the moisture content has stabilised in the BSM layer within the first year, the issue of the long term stiffness becomes important. Does stiffness reduction begin to manifest itself in the long term in a BSM layer?

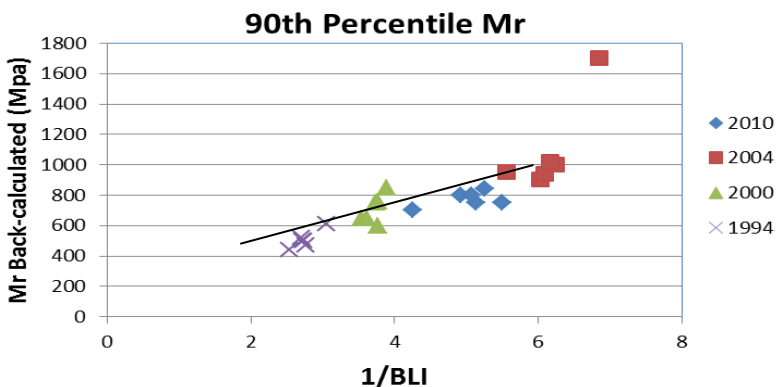
Network level FWD analysis was carried out on the N7 Highway every four to six years at 200 metre intervals. The back-calculated modulus of the base is provided in Figure 8. Up to and including 2000, the base layer comprised a high quality crushed stone layer that experienced seasonal variations in moisture content. From 2002 onwards, this section incorporated a BSM-foam layer as base. The back-calculated modulus of the 90<sup>th</sup> percentile maximum deflection and closest 6 bowls, is reflected in Figure 8.



**Fig. 8.** Back Modulus of Base after Rehabilitation on N7

During the period of 2002 to 2010 the N7 Highway experienced close to its projected design traffic of 9 million 80 kN ESALs. The increase of the BSM-foam layer stiffness during the first year after construction, is not reflected in these results, which don't span sufficiently small intervals. Nevertheless, the longer term stiffness stabilises at approximately 775 MPa which reflects a reduction from the typical initial values of 1200 MPa that were obtained in the mix design [1]. There is evidence of rupturing of the foamed bitumen "spot welds" under the influence of traffic, therefore, but with a residual benefit of the bitumen stabilisation remaining evident.

The reliability of the FWD back-analysis was tested by correlating the resilient modulus with the inverse of the Base Layer Index BLI i.e.  $\delta_{max} - \delta_{300}$ . Figure 9 reflects a strong correlation, with one outlier, thereby verifying the derived moduli.



**Fig. 9.** Correlation between Mr back-calculated and FWD Base Layer Index

## 4 Conclusions

Bitumen Stabilised Materials BSMs can neither be modelled as purely asphaltic nor granular materials. These materials clearly need to be recognised by their own unique characteristics. Both laboratory and field analysis of these materials lead to the following conclusions:

- BSMs depict both stress-dependent characteristics of granular materials and temperature and loading-time dependency of bituminous materials. As such, BSMs comprise visco-elasto-plastic behaviour.
- Curing behaviour manifest in moisture reduction, dominates the gain in resilient modulus during the first year after construction.
- The non-continuous bound nature of BSMs precludes the applicability of classical fatigue behaviour under repeated loading.
- The long-term resilient modulus of BSM shows signs of reduction under traffic loading, although residual benefits of the binder remain. The stiffness reduction is probably a result of rupture of the adhesion of the bitumen to the aggregate.

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# Experimental and Theoretical Investigation of Three Dimensional Strain Occurring Near the Surface in Asphalt Concrete Layers

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**Abstract.** Several pavement failures have been observed to be initiated at or near the surface of the hot-mix asphalt layers and some of them propagate downward through the surface layer (top-down cracking). These modes of failure are affected by heavy vehicular loading configuration, pavement structure and their interaction at the tire-pavement contact. This paper documents an experimental investigation of surface strain induced under the entire tire by using specific instruments based on fiber optic sensors. Two innovative retrofit techniques which allow measuring strains in the upper parts of the asphalt layer have been used on the IFSTTAR's test track facility. The association of these two techniques allows obtaining the strains, few centimeters below the surface, in three directions: longitudinal, transverse and vertical. Two pavement structures with two temperatures (moderate and hot) have been tested. Shape of the signal under the tire and magnitude of strain are compared with viscoelastic model pavement calculations.

## 1 Introduction

Fatigue cracking and rutting are common pavement failures resulting from traffic loading and climate environment. One type of cracking is initiated at the bottom of the asphalt layer and propagates towards the surface (bottom-up cracks). Another type is initiated at or near the surface of the hot mix asphalt layers and propagates downward through the bound layers (top-down cracking). All these cracks can also propagate among interfaces between layers. To better understand these modes of failure, the knowledge of strain distribution through the asphalt layer is necessary. This paper is part of a collaborative project between Laval University (Québec, Canada) and the IFSTTAR (Nantes, France) with the main objective to characterize the strain occurring through the asphalt layers under several loading conditions. Two different tires, with various inflation pressures, four applied loads and two asphalt temperatures have been tested. Fiber optic strain sensors were installed at different depth of the pavement structure. The objective of this paper is to contribute to a better understanding of the near-surface strains induced by dual tires in the asphalt layer. More specifically, the effect of

asphalt temperature on the transverse and vertical strains is analysed. An asphalt layer at moderate temperature around 18°C and at hot temperature around 40°C has been tested. The discussion is based on experimental results and theoretical solutions computed by the *ViscoRoute2.0*© software using a viscoelastic model [1]. The paper includes a description of the pavement structure, the material properties and the sensors. The experimental results are presented and analysed in comparison with computed strain signals from the upper part of the first layer.

## 2 Experiment Description

### 2.1 IFSTTAR's Facility, Pavement Structure

The tests were conducted at the IFSTTAR's accelerated pavement testing facility [2]. The outdoor test track is a large scale circular track and a loading system with a mean radius of 19 m, a width of 3 m and a total length of 120 m. The device includes a central motor unit and four arms. Each arm lies on a module which can be equipped with various load configurations. Each module can move during revolution around a mean position to simulate the lateral wandering of the traffic.

Two pavement sections, representing 1/3 of the whole test track, were built in February 2011 and instrumented in May 2011. The two sections present different structure in terms of asphalt concrete thickness. The first structure A (figure 1) is 22 m long and it consists of the following layers: 70 mm bituminous wearing course (layer N°1), a 60 mm binder course (layer N°2), a 300 mm granular subbase (layer N°3) and a sandy subgrade soil (layer N°4). The second structure B (figure 2) is 18 m long and it includes only one 70 mm bituminous wearing course resting on a base and a subgrade soil similar to the first structure.

### 2.2 Material Properties

Each layer of the structure is considered to be homogeneous and linear. The subbase is divided into three 100 mm-thick layers (layer N°3.1 to 3.3). The mechanical behaviour of the soil (layer N°4) and the unbound granular material are assumed to be elastic and the Poisson's ratio value is fixed to 0.35. With the help of elastic back calculations, their elastic modulus are supposed to be respectively  $E_4 = 80$  MPa,  $E_{3,3} = 160$  MPa,  $E_{3,2} = 320$  MPa and  $E_{3,1} = 640$  MPa. The bituminous wearing course is an asphalt concrete 0/10 with 5.48% of 35/50 bitumen and 7% voids. The binder course is bituminous mix 0/14 with 4.49% of 35/50 bitumen and 9.6% voids. The mechanical behaviour of asphalt materials is modelled using the Huet-Sayegh model [3] [4]. The complex modulus is represented by five viscoelastic coefficients:  $E_0$  (static elastic modulus),  $E_\infty$  (instantaneous elastic modulus),  $k$  and  $h$  (exponents of the parabolic dampers),  $\delta$  (positive dimensionless coefficient) and three thermal coefficients  $A_0, A_1, A_2$ . At frequency  $\omega$  and temperature  $\theta$ , the complex modulus is Eqn (1):

$$E^*(\omega, \theta) = E_0 + \frac{E_\infty - E_0}{1 + \delta(j\omega\tau(\theta))^{-k} + (j\omega\tau(\theta))^{-h}} \text{ and } \tau(\theta) = \exp(A_0 + A_1\theta + A_2\theta^2) \quad (1)$$

According to French standards, complex modulus tests have been performed on the two bituminous materials. The software *Viscoanalyse* [5] was used to fit the laboratory data and calculate the eight parameters of the model. These values are shown in Table 1. Poisson ratio  $\nu$  is assumed to be equal to 0.32 at moderate temperature and equal to 0.38 at hot temperature for every asphalt material.

**Table 1.** Huet-Sayegh parameters for bituminous materials

	$E_0(\text{MPa})$	$E_\infty(\text{MPa})$	$\delta$	k	h	$A_0(\text{t})$	$A_1(\text{t } ^\circ\text{C}^{-1})$	$A_2(\text{t } ^\circ\text{C}^{-2})$
Layer 1	32	28 440	2.53	0.25	0.71	2.1688	-0.3671	0.00196
Layer 2	24	29 155	2.35	0.23	0.69	1.9706	-0.3670	0.00196

### 2.3 Sensors

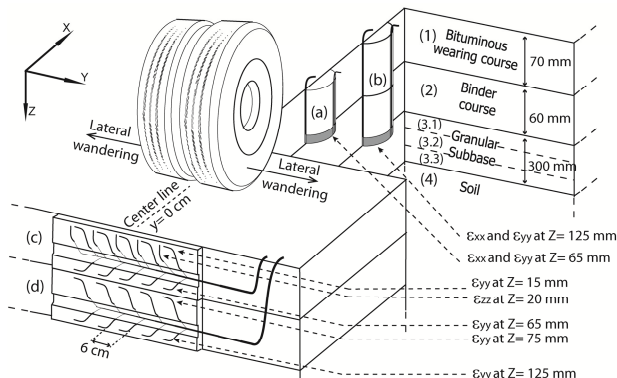
Two innovative retrofit techniques which allow measuring strains in the upper and lower parts of the asphalt layer and also at the interface between two layers have been used on the two structures. These two technologies are: an asphalt concrete core specially trimmed for the installation of optic fiber gauges [6]; and a thin polymeric plate instrumented and fixed inside a saw cut in the asphalt layer [7]. Both systems use polymeric proof bodies selected to be mechanically (elastic modulus) and thermally (coefficient of thermal expansion) compatible with asphalt concrete. The association of these two techniques allows obtaining the strains in three directions: longitudinal ( $\epsilon_{xx}$ ) transverse ( $\epsilon_{yy}$ ) and vertical ( $\epsilon_{zz}$ ).

Two optic fiber sensors are inserted into a polymeric proof body (gray area on figure 1 and figure 2) at orthogonal directions and fixed on the concrete core which allows measuring longitudinal and transverse strains. On the structure A, the sensors are first, positioned at the bottom of the first layer (figure 1-a) at Z=65 mm, then at the bottom of the second layer (figure 1-b) at Z=125 mm. On the structure B, the sensors are installed at the bottom of the layer (figure 2-b) at Z=65 mm and near the top (figure 2-a) at 10 mm.

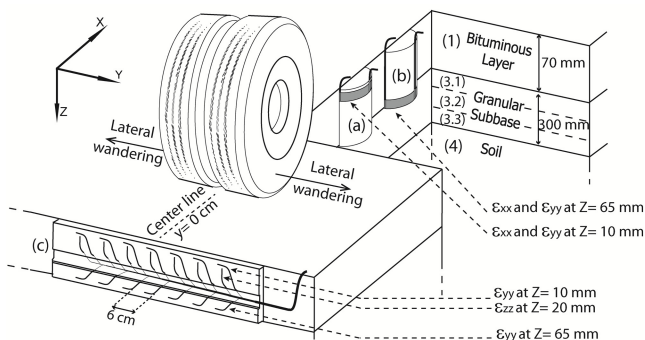
The polymeric plates have been designed to be instrumented at various positions and installed in a saw cut perpendicular to the direction of travel. To evaluate the interface conditions between the asphalt layers of the structure A, ten horizontal sensors are placed on both sides of the interface. Five are at the bottom of the first plate (figure 1-c) at Z= 65 mm, and five at the top of the second plate (figure 1-d) at Z=75 mm. The first plate is also instrumented with five horizontal sensors at Z=15 mm and five vertical sensors at Z=20 mm. Finally, five horizontal sensors are installed at the bottom of the second layer at Z=125 mm. On the structure B, the plate is instrumented with twenty one sensors (figure 2-c), seven horizontal sensors at Z=10 mm, seven vertical sensors at Z=20 mm and seven



horizontal at  $Z=65$  mm. All the plates are symmetrical, the sensors are spaced six centimeters and the central sensor is positioned directly under the center line ( $Y=0$  mm). Thermocouples are located at 48,38,23,12,9,6,3,0 cm depth inside the pavement.



**Fig. 1.** Configuration and instrumentation of the structure A (two instrumented concrete cores (a and b) and two instrumented polymeric plates (c and d))



**Fig. 2.** Configuration and instrumentation of the structure B (two instrumented concrete cores (a and b) and one instrumented polymeric plate (c))

### 2.4 Experimental Protocol

The moving load is applied using a dual tire 12.00R20. The applied load (65 kN), the inflation pressure (850 kPa) and the revolution speed (6 rpm, which correspond to about 42km/h) were maintained during the whole experimental program. The data acquisition was performed at a 1 000 Hz sampling rate. The measurements were carried out for:

- eleven lateral tire positions (five on each side of the center line spaced by 10.5 cm) aim to determine the strain basin. As shown on figure 3, this protocol allows to obtain 55 measurement points for the structure A (5 sensors multiplied by 11 positions) and 77 points for structure B (7 sensors multiplied by 11 positions).

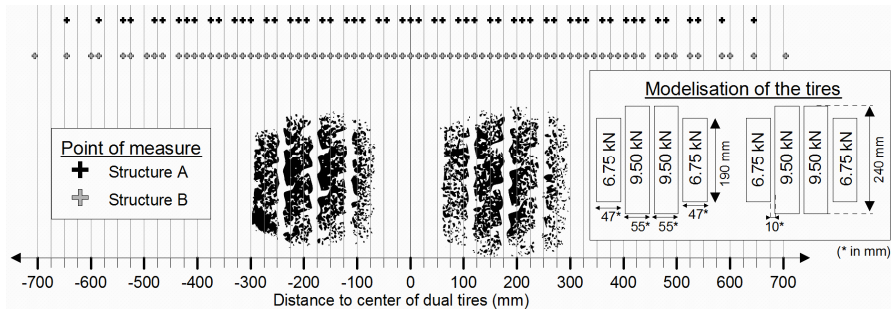


Fig. 3. Distribution of measurement points under the footprint of the tire

- two pavement temperatures. The tests took place on May 2011, allowing for moderate temperatures in the morning and hot in the afternoon. Table 2 summarizes the temperatures at different depths and their variation during tests.

Table 2. Temperature at different depths of the tested pavements

Temperature (°C)	surface	at 3 cm	at 6 cm	at 9 cm	at 12 cm	
Structure A (25/05/2011)	Moderate	15.6° ± 2.6	17.1° ± 1.3	17.4° ± 0.7	18.6° ± 0.3	20.6° ± 0.2
	Hot	41.6° ± 0.9	42.4° ± 1.2	40.3° ± 1.0	37.8° ± 0.5	33.6° ± 0.3
Structure B (24/05/2011)	Moderate	16.1° ± 0.8	18.6° ± 0.2	19.3° ± 0.3	20.8° ± 0.3	22.4° ± 0.3
	Hot	38.6° ± 3.2	38.2° ± 1.3	36.6° ± 1.1	34.9° ± 0.7	31.6° ± 0.1

### 3 Results and Data Analysis

#### 3.1 Three Dimensional Strain Occurring Near the Surface of Structure B

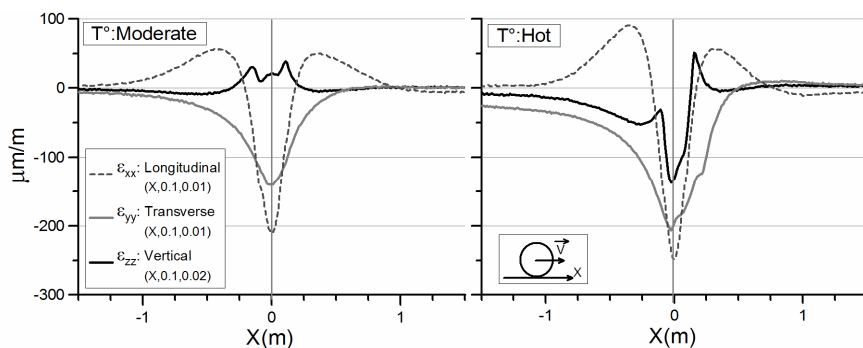
For each gauge, an elementary data acquisition consisted in recording the signal during three rotations of the carousel allowing a verification of the repeatability of the signal. The dual tire moves in the X-direction. Thus, the signal which depends on time can be converted into a signal depending on spatial position X. Figure 4 presents typical responses of strain sensors that have been recorded near the surface, on structure B, under the right tire (in the plane y=100mm). The measured longitudinal, transverse and vertical strain are given for two temperatures. In these figures, contraction is represented by negative values of strains.

The following general observations can be made:

- The shape of longitudinal strains is not perfectly symmetrical. There is two zones of extension strains, one before the passage of the tire over the gauge (X positive) and the other after the passage (X negative). The magnitude of extension strain after the passage is the largest. The two magnitudes depend on

the temperature. Due to the thermo-viscoelasticity of the material, the magnitude at higher temperatures is greater. The compressive strain zone is between both extension strain zones and it is associated with the passage of the load.

- The shape of transverse strains presents only a compressive zone. The magnitude of strain increases before the passage of the load to reach a peak under the tire and decreases slowly to zero.
- The vertical strains are extension at the front of the tire and decrease while the wheel is passing over. Despite the application of a vertical compressive stress caused by the wheel, the strain remains positive due to the Poisson's effect. After the load has passed, the strain reaches a second maximum and then decreases to zero. In contrast to the moderate temperature case, there is a contraction zone on the hot temperature curve, which occurs just in front of the tire. The signal reaches a negative peak, then decreases in two steps. The reduction is first rapid as the wheel leaves and then slower due to the relaxation of the material.



**Fig. 4.** Strains near the surface for two temperatures (plan  $y=100$  mm)

### 3.2 Modeling of the Problem

A large number of transverse and vertical gauges were analysed and results denote a high sensitivity to the position under the passing load for both structure. Depending of the position under the tire, the signal presents different shapes and magnitudes. This variation can be induced by different stress states under the grooves and ribs of the tire. Under the tire, two characteristic curves have been identified and are presented in the next sections. In order to compare the measured strain signals, the software *ViscoRoute 2.0*© [1] has been used. In the software, the structure is represented by a multilayered half-space. This program integrates the viscoelastic behaviour of asphalt materials through the Huet-Sayegh model. The layers are assumed to be perfectly bonded (no slip). The influence of sliding interface condition on the response can be evaluated using the research version of *ViscoRoute* [8]. The load is assumed to move at a constant speed of  $11.94 \text{ m}\cdot\text{s}^{-1}$ . The dual tire is discretized into eight rectangular shaped surfaces. Each rectangular load represents a

tire tread as illustrated with the footprint on figure 3. Only a uniform vertical load is applied over the rectangular areas. To take account of the thermal gradient through the pavement, temperatures at 3 cm and 9 cm depth are used to model respectively the layer 1 and the layer 2.

### 3.3 Transverse Strain Occurring Near the Surface of Structure A

For structure A, two types of signal shapes have been identified (figure 5):

- The shape N°1 for  $\epsilon_{yy}(x,0.12,0.015)$  is the similar to the one previous illustrated on figure 4. The measured strain curves are showing time retardation and asymmetry that result from the viscoelastic properties of the material. The curves do not peak at X equals 0 but slightly after. The time delay is greater at higher pavement temperatures. Calculations did not result in the exact same shape as the measured strain curves and show more viscoelasticity and a wider zone of influence. The selected calculated curve was directly under a tire rib.
- The shape N°2 for  $\epsilon_{yy}(x,-0.09,0.015)$  presents two peaks located at the front and the rear of the tire. The passage of the load imposes an inversion of the compressive strain curve. The maximum decrease is observed slightly after X equals 0. This time retardation is particularly pronounced at higher temperatures.

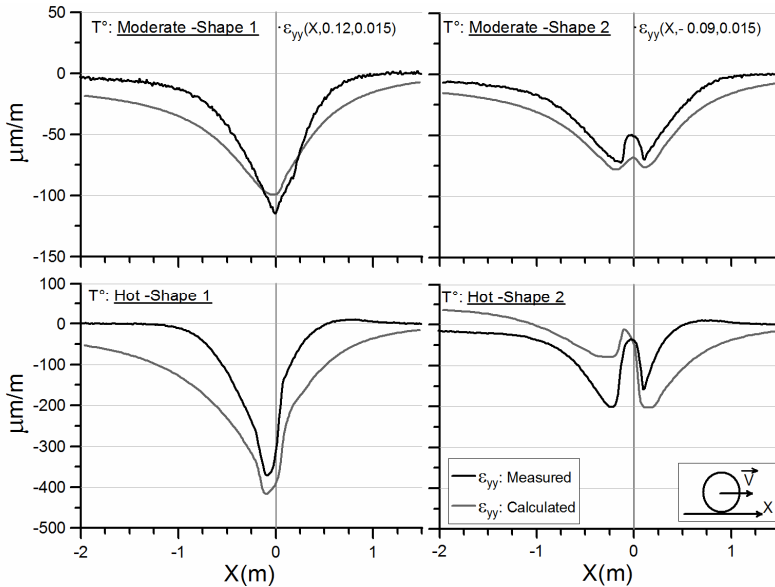


Fig. 5. Transverse strain for two temperatures (structure A) at Z= 15 mm

### 3.4 Vertical Strain Occurring Near the Surface of Structure A

For vertical strain, two shapes of the signal have been identified (figure 6):

- At moderate temperature, the curves present two peaks located before and after the passage of the wheel. Depending on the position under the tire, the vertical compressive stress can cause a significant decrease of the extension strain. The calculated strains have the same curve in the tensile zone preceding the passage of the tire. For the zone at the rear of the tire, the magnitude of calculated strains is lower. Nevertheless, the two curves are of similar order of magnitude.
- At hot temperature, the shape of the signal is the same as that described previously. Only the maximum magnitude in the compressive zone changes depending on the position under a groove (shape 1) or a rib (shape 2). The calculated strains in the tensile zone at the front of the tire are always higher than the measured ones.

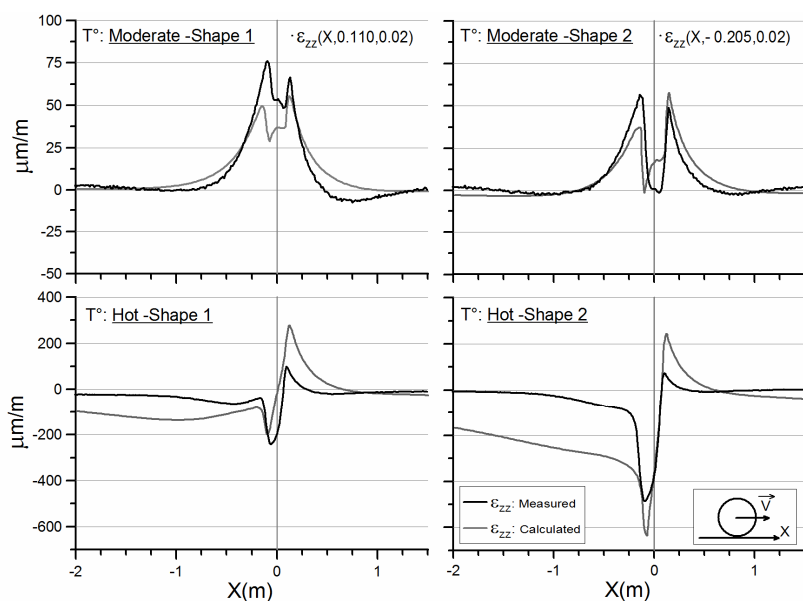


Fig. 6. Vertical strain for two temperatures (structure A) at  $Z=20$  mm

### 3.5 Strain Basin under the Tire

All signals from transverse and vertical gauges were analysed and the value of the characteristic point near  $X=0$  mm was selected. Knowing the  $Y$  position under the tire, all the value are placed on the same graphic to form the strain basin (figure 7) and compared with the calculated curve. It can be observed that:

- For transverse strain: Away from the tire edges, the strains are positive indicating an extension strain. The measured values are higher than the calculated ones. At moderate temperature, the strain under the tire remains negative and experimental values are grouped. At hot temperature, the measured values are more dispersed due to the two possible shapes of curves. This dispersion is explained by the influence of grooves and ribs of the tire.
- For vertical strain: away from the tire edges and between dual tires, the strains are positive. The maximums are measured under the outside tires edges and between the two tires. This extension strain is a consequence of the Poisson’s ratio. The experimental values are lower than the calculated ones. Under the tire the measured and calculated values are of similar order of magnitude.

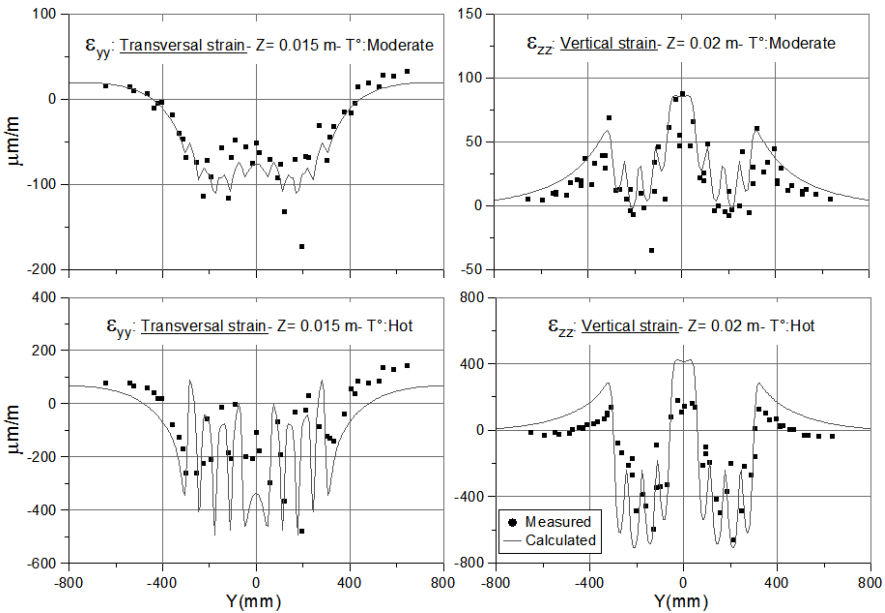


Fig. 7. Measured and computed strain basins for two temperatures (structure A)

### 3.6 Discussion

The structure behaviour modeling computations were made with a constant uniform temperature for each layer. As shown on Table 2, during measurements at moderate temperature, a negative temperature gradient was present in the layers 1 and 2. In contrast, the temperature gradient was positive at hot temperature. The stiffness differential in each asphalt layers has not been considered. Under each rib of a tire, three dimensional contact stresses are applied. In the modeling only a uniform vertical stress was considered. A more detailed load distribution with non-uniform transverse and longitudinal stresses could result in an even better fit.

The two asphalt layers have been considered fully bonded together. Recent research indicates that debonding between asphalt layers increases shear stresses near the surface [9] [10]. The interface conditions are not the same between moderate temperature and hot temperature. The effect of interface bonding condition could be integrated into the model using the information obtained with the gauges installed on each side of the interface. The measured data are the average strains over the lengths of the gauges (10mm). Thus the recorded signal is not necessarily equal to the calculated strain at the same position under the tire. The presence of an aggregate near the sensor can increase the local modulus and modify the local visco-elasticity properties.

## 4 Conclusions

The use of optic fibre sensors positioned at two different depths within the asphalt concrete layer allowed to adequately characterize the strains occurring within this layer. The analysis of strain curves clearly demonstrated the differences existing between the two temperatures and the necessity to use a viscoelastic model. A detailed analysis of the strain basins revealed critical zones under or outside the tire. The same analysis will be done for all experimental conditions in order to evaluate the impact of each criterion on the pavement damage.

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# Reasons of Premature Cracking Pavement Deterioration – A Case Study

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**Abstract.** Cracking deterioration was observed on a motorway after only few years after construction. The motorway pavement was semi-rigid with a relatively thin thickness: 4 cm wearing layer, 11 cm asphalt base course, 20 cm lean concrete base course, 20 cm cement stabilized base course, 20 cm drainage layer, subgrade. lean concrete base course was cut in spacing 2,5 m to reduce the reflective cracking in asphalt layers. Testing program included: coring and materials composition testing, FWD testing to evaluate pavement layers stiffness modulus, radar testing to measure layer thickness, and to detect water presence in pavement layers, evaluation of cracks type and spacing, interlayer bond testing, low temperature resistance of asphalt wearing course. Testing results led to following conclusions: extremely low winter temperature in combination with relatively thin pavements thickness and semi-rigid pavement type was the reason of transverse cracking, longitudinal cracking observed on one of the sections were evaluated as top-down fatigue type cracking, low interlayer bond between asphalt base layers was one of the main reason of lower fatigue resistance of the pavement, lack of drainage in motorway median caused the presence of water in the pavement layers, and increased the danger of premature pavement deterioration, unpredicted increase in road traffic caused longitudinal top-down fatigue cracking.

## 1 Introduction

Three motorway sections of total length of 150 km were constructed in the period of 2002-2004 years. Section 1 was a semi-rigid pavement with use of old pavement constructed in late 1970-ties. Sections 2 and 3 were new constructions:

- asphalt wearing course 4 cm AC 16 PMB 45/80-55 (PG 64-22)
- asphalt base course 11 cm AC 25 35/50 (PG 64-16)
- cement bound base course 20 cm,  $R_{28}$  3,5 – 7,0 MPa
- cement bound base course 20 cm,  $R_{28}$  2,0 – 3,5 MPa.

The notches were transversely cut in the upper cement bound base course in both Sections with frequency 2-3 m and filled with bitumen to minimise the risk of

reflective cracking in the semi rigid pavements (according to experience [1, 2]). At this frequency of notches, the width of crack opening is as small that neighbouring rigid plates co-work providing the load transfer. Hence, the pavement bearing capacity and fatigue distress is not reduced.

Pavement strengthening was foreseen for all sections after the traffic of 10 million 115kN axel loading. In reality, the traffic density increase was far faster and pavements have been strengthened with two asphalt layers in years 2007 (section 1), 2008 (section 2), 2009 (section 3).

## **2 Pavement Deterioration**

Transverse cracking on section 2 and block (transverse and longitudinal) cracking on section 3 appeared in January 2006 r. Cracks appeared also on section 1 but in a very low number. Further cracking intensity was observed in 2008, especially longitudinal cracking on section 3. Cracks were not significantly dangerous for road users (do not create danger or driving comfort). Cracks were systematically and effectively sealed with polymer-bitumen. Sealing reduced also the risk of water penetration through cracking into the lower layers. However, the increasing cracking frequency leads to distress intensification, especially during the winter time due to water penetration and freezing as well as brine penetration (distress of hydraulically bound subbase),

## **3 Testing Programme**

In June 2008 an extensive pavement testing programme have been performed on sections 2 and 3. Previous tests results performed in 2006 have been used in the final analysis. Testing programme included: FWD layers deflection and moduli, georadar tests to measure layers thickness and moisture, cracking inventory, cracking origin analysis, laboratory testing of pavement layers samples, properties of bituminous binders of section 3, low temperature resistance of wearing course asphalt mixture.

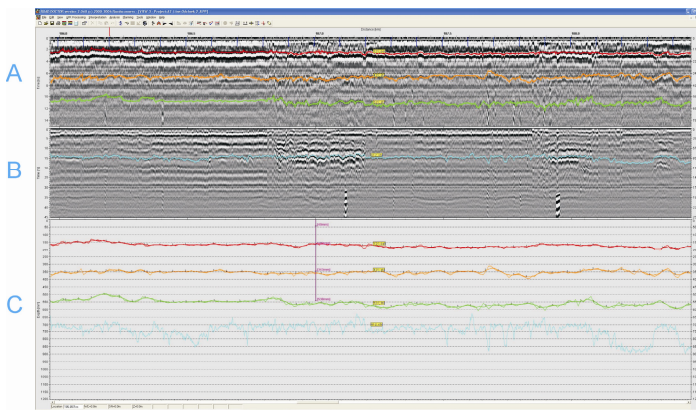
## **4 Testing Results and Analysis**

### ***4.1 Visual Evaluation***

Section 3 pavement condition was significantly worse in comparison to section 2. Distress of section 2 was of low harmfulness – lower frequency of single transverse or longitudinal cracks. Distress on section 3 was of higher harmfulness – higher frequency of single transverse and longitudinal cracks, block cracks, and cavities of loss of aggregates and binder.

## 4.2 Pavement Structure Diagnosis Based on RADAR Measurements

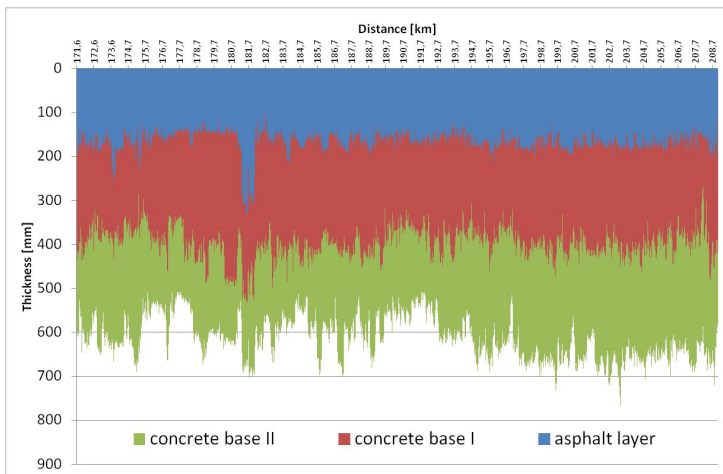
Based on the inspection of pavement boreholes in Section 2, no significant deviations from the pavement design were identified. Pavement boreholes in Section 3 revealed three asphalt layers and two layers of hydraulically bound base course, while the design provided for two asphalt layers and two layers of hydraulically bound base course. The radar measurements were performed using the Ground Penetrating Radar (GPR). Pavement structure layer thickness tests were performed on the outer lanes, with the use of a 1 GHz ‘air-coupled’ antenna and a 400 MHz ‘ground-coupled’ antenna. Measurements were taken at 20 cm intervals. The example profile in Fig. 1 shows a record of electromagnetic waves from both antennas and the results of a quantitative assessment.



**Fig. 1.** Measurement data profile; A- record of electromagnetic waves from the 1 GHz antenna, B – record of electromagnetic waves from the 400 MHz antenna, C – calculated layer thicknesses incl. data from calibration boreholes

Measurement results were analysed with the use of RoadDoctor 2.0 [3] software. Layer thicknesses were calculated based on a dielectric constant determined during equipment calibration by measuring the amplitude of the signal reflected from the pavement surface and the amplitude of the signal reflected from a metal plate. In addition, pavement boreholes were used for absolute calibration of the results obtained.. The total thickness of the asphalt layer package, the hydraulically bound base course, the cement-treated subgrade of approx. 15-20 cm in thickness, and the drainage sand layer of a similar thickness was estimated (Fig. 2). In addition, at a depth of approx. 2.0-2.2 m, a reflected signal was recorded, which indicated the presence of a groundwater table at that depth.

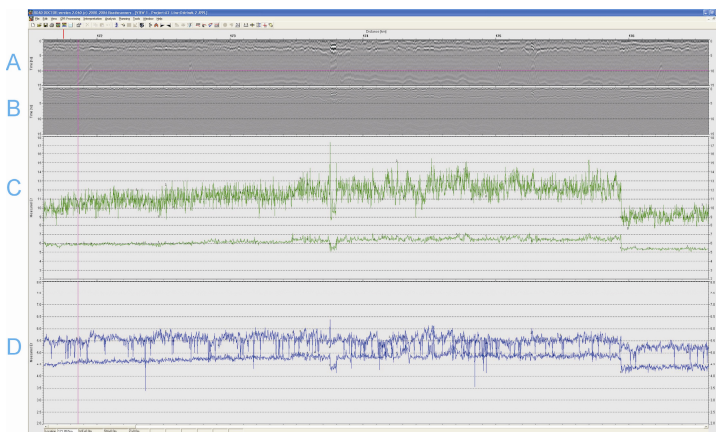
Under the structural layers there is a layer of cement-treated stabilised subgrade and a sand drainage layer. The dielectric constants calculated (from 6 through 8) did not indicate any moisture accumulation in those layers.



**Fig. 2.** Thickness of layers based on GPR measurement on the right carriageway, Section 2

### 4.3 Evaluation of the Degree of Pavement Moisture Accumulation from the Median Strip Based on Radar Measurements

Radar measurements were performed with the GPR System featuring a 1.0 GHz antenna and a 2.2 GHz antenna. Evaluation of moisture content in the asphalt layers was performed on the inner lanes. The example profile in Fig. 3 shows a record of electromagnetic waves from both antennas and the results of the



**Fig. 3.** Measurement data profile; A- record of electromagnetic waves from the 1 GHz antenna, B – record of electromagnetic waves from the 400 MHz antenna, C – calculated dielectric constants for layers to the depth of approx. 6-8 cm, D - calculated dielectric constants for layers to the depth of approx. 3-5 cm.

dielectric constant calculations. Dielectric constants were calculated based on the equipment calibration by measuring the amplitude of the signal reflected from the pavement surface and the amplitude of the signal reflected from a metal plate. Two dielectric constants were determined in each pass, i.e. for the layer at the depth of approx. 3-5 cm (wearing course) and for the layer at the depth of approx. 6-8 cm (asphalt base course).

In evaluating moisture content in the asphalt layers, the criteria were applied as specified in [4] (Table 1).

**Table 1.** Classification of moisture content in asphalt layers based on dielectric constant

Layer condition	Dielectric constant
dry layer	< 9
dry layer or slightly moist layer	9-12
moist layer	12-16
wet layer	> 16

It was determined that the dielectric constants obtained for the wearing course of Section 3 averaged at 7 while those of Section 2 averaged at 6. The wearing course in both sections was dry. On the other hand, the asphalt base course was found to be moist – the dielectric constant for Section 3 was 13 (max. 16) and that for Section 2 ranged between 10 and 14.

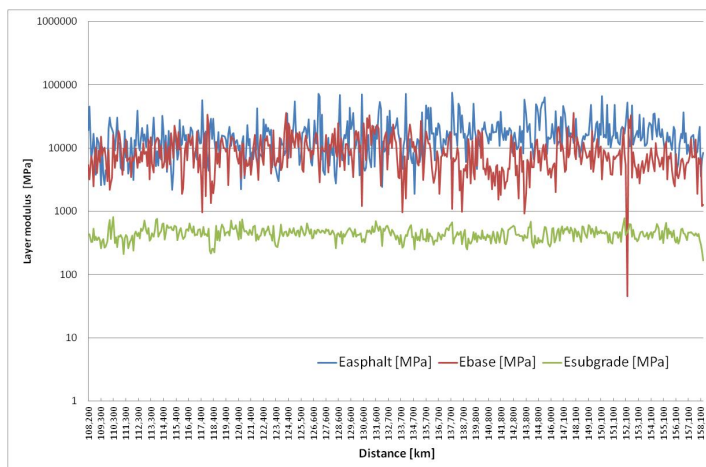
#### ***4.4 Layer Modulus Calculation Based on FWD Measurements of Pavement Deflections***

The total equivalent modulus of elasticity for all the carriageway pavement layers was as follows:

- Section 3, right (south) carriageway      2,722 MPa
- Section 3, left (north) carriageway      3,016 MPa
- Section 2, right (south) carriageway      2,802 MPa
- Section 2, left (north) carriageway      2,899 MPa.

The pavement modulus of elasticity for motorway Sections 2 and 3 has a high value, twice that of the required minimum (1,100 MPa). This demonstrates a very good load-bearing capacity of the structure.

The moduli of elasticity (rigidity) were calculated for pavement structural layers using ELMOD 5.0. To determine the calculation model, information about the arrangement of the structural layers obtained through GPR measurements (example in Fig. 4) were used. Tables 2 and 3 show mean values and the calculated standard deviation of the layer modulus converted for the equivalent temperature of 10°C. The moduli for pavement structural layers and for subgrade soil are very high. The modulus for asphalt layers in the inner lanes is greater than that in the outer lanes, on the average by approx. 25 %. It is obviously related to higher fatigue damage due to larger heavy vehicles traffic on outer lanes.



**Fig. 4.** Layer modulus, Section 3, right carriageway, right lane

**Table 2.** Mean modulus values and standard deviations – Section 2

	$E_{\text{asphalt}}$ [MPa]	$E_{\text{base}}$ [MPa]	$E_{\text{subgrade}}$ [MPa]
Right carriageway			
Right lane	19,298±13,841	7,749±5,313	438±133
Left lane	22,516±15,735	7,020±5,226	421±128
Left carriageway			
Right lane	20,176±14,030	10,331±6,866	443±133
Left lane	19,539±11,558	10,329±8,118	453±125

**Table 3.** Mean modulus values and standard deviations – Section 3

	$E_{\text{asphalt}}$ [MPa]	$E_{\text{base}}$ [MPa]	$E_{\text{subgrade}}$ [MPa]
Right carriageway			
Right lane	15,585± 11,304	9,076±5,853	444±100
Left lane	20,107±14,800	9,752±5,515	463±100
Left carriageway			
Right lane	12,037±7,943	14,157±7,156	386±80
Left lane	15,290±8,858	17308±9,475	403±87

The moduli for the asphalt layers and base course featured high variability. The standard deviation often reached a value exceeding 50 % of the mean value. The subgrade soil moduli display definitely lower variability, with the standard deviation representing up to 30 % of the mean value. The greatest differences in layer moduli occur in Section 3. In Section 2, the modulus differences are much smaller.

## 5 Laboratory Tests of Bituminous Mixtures

### 5.1 Evaluation of Shear Bond Strength

Tests on shear bond strength between bituminous layers were performed with use of Leutner method. Results show very good bonding between bitumen wearing course and base course on Section 2 and Section 3 - shear bond strength 2,1 MPa or 2,6 MPa on average, respectively. In both cases the bond considerably exceeds recommended limits of 1,3 MPa. Lower bonding was found on Section 3 between two layers of bituminous base (1,3 MPa on average in cracking zone and 1,5 MPa outside this area) due to coarser grading in relation to the thickness of each bituminous layer and lower binder content.

### 5.2 Tests and Evaluation of Properties of the Binder on Section 3

Properties of polymer modified bitumen PMB 25/55-60 from the wearing course are shown in Table 4. Rheological properties evaluated by DSR didn't show any significant differences between bitumen samples, apart from specimen number 2, that had the highest shear modulus  $G^*$  (Fig. 5).

Fourier Transform Infrared (FTIR) Spectroscopy was performed in KTH, Stockholm, according to the method described in [5]. FTIR spectra are shown in Figures 6 and 7. Peaks at 966 and 699  $\text{cm}^{-1}$  are characteristic of SBS polymer modified bitumen (Figure 6). To evaluate polymer content, IR absorbance at these specific wave numbers was calculated and compared with SBS calibration curves. The approximate polymer content was determined in the range from 3,5% to 5,1%.

The tested binders reveals properties showing their aging. The hardest binder (4) shows the lowest penetration, the highest softening point and the lowest elastic recovery. It indicates the lowest effectiveness of polymer modification.

**Table 4.** Properties of binders recovered from wearing course on Section 3

	Property	Specimen no.					
		right carriageway JPPA			left carriageway JLPA		
		1	2	3	4	5	6
Lab 1	Penetration at 25 °C, 0,1mm	23	25	22	17	21	21
	Softening point R&B, °C	68	64,2	69,0	72,6	71,6	69
	Fraass breaking point, °C	-8	-9	-14	-12	-15	-9
	Elastic recovery at 25 °C, %	67,5	74,5	63,5	52	66	61
Lab 2	Penetration at 25 °C, 0,1mm	22,0	16,5	15,8	14,4	20,1	22,2
	Softening point R&B, °C	67,8	66,8	71	76,2	72,6	69,0
	Fraass breaking point, °C	-10	-4	-10	-8	-10	-12
	Elastic recovery at 25 °C, %	69	65	62,5	-	60	65

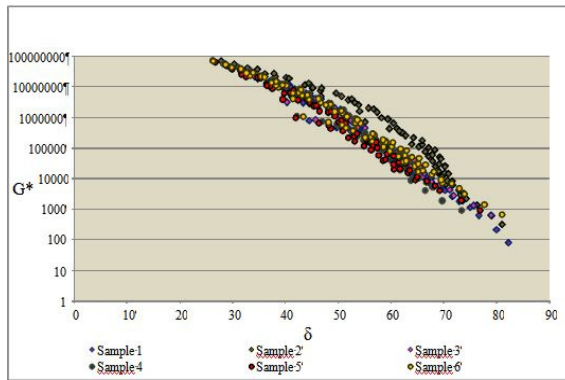


Fig. 5. Evaluation of modification degree of binders from wearing course

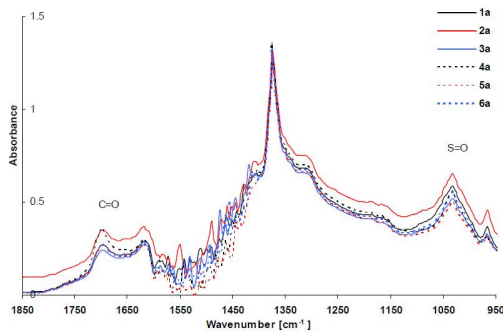


Fig. 6. FTIR spectra of binder samples (part 1)

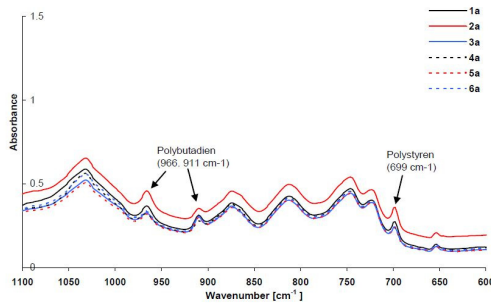


Fig. 7. FTIR spectra of binder samples (part 2)



### 5.3 Evaluation of Resistance to Low Temperature Cracking of Bituminous Mixture from Wearing Course

Low temperature cracking tests were performed with use of *Thermal Stress Restrained Specimen Test* TSRST method according to AASHTO TP10-93 on specimens cored from the wearing course in two locations – at the beginning and at the end of section (Table 5). Previous TSRST results for section 2 from 2006 showed 5°C lower cracking temperature. Low temperature properties of specimens from base and wearing course from Section 3 are worse than from Section 2.

**Table 5.** TSRST results, Section 3, 2008

Location	Average Force max [N]	Average Stress max [kPa]	Average Cracking Temperature [°C]
117+100	8598	3573	-20,0
145+900	7933	3233	-19,6

## 6 Conclusions

Transverse cracking on all three pavement sections are of thermal origin due to very low temperature, about -28°C, during winter 2006. It was confirmed by the analysis with use of MEPDG system. Cracks in semi-rigid pavement are of the dual origin – thermal in combination with reflective cracking effect (copy of dilatation notches) [6]. Relatively thin thickness of asphalt layers (15 cm) resulting from the stage construction of the pavement contributed to creation of thermal cracking. Low harmfulness of transverse cracks does not weaken the pavement bearing capacity, thanks to the pavement slabs load transfer.

Longitudinal cracks are limited to wearing course depth. Their origin is of top-down fatigue cracking. It may be presumed that the reason was the lower effectiveness of PMB binder.

Transverse and block cracking on sections 3 may have three origins. Firstly: significant growth of road traffic, exceeding 5-ve times prediction in the pavement design). Secondly: moisture in pavement asphalt subbase due to lack of drainage in motorway median (which is a known appearance eg. [7]). Thirdly: weakening of pavement bearing capacity due to dividing asphalt subbase layer into two sub-layers, which resulted in weaker compaction and interlayer bonding – with the final effect of lower pavement fatigue resistance.

After strengthening and drainage construction in motorway median, the pavement is in a good condition under a heavier traffic loading. It may be expecting that the semi rigid asphalt pavement may fulfil requirements of long life pavement, requiring only the wearing course renewal [8].

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# Effect of Thickness of a Sandwiched Layer of Bitumen between Two Aggregates on the Bond Strength: An Experimental Study

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**Abstract.** Understanding bond between bituminous binder and aggregate is an important consideration for bituminous mixes. The cohesion within the binder and the adhesion between the binder and the aggregate interface, affect the mix performance in terms of load transfer, propagation of crack, moisture sensitivity etc. In the present work, bond strength between aggregate and bituminous binder while varying bitumen film thickness has been studied. The test temperature and the displacement rate have been kept fixed as  $23\pm 1^\circ\text{C}$  and 1 mm per minute respectively. Polished surface of one aggregate is entirely covered with thin film of bitumen and then another aggregate is placed with its polished surface over the bitumen film. Vertical pulling load is then applied to this assembly. Load displacement data is recorded for various samples for different bitumen film thicknesses. The variation of peak stress, energy (toughness) are plotted with respect to the bitumen film thickness. The conditions for cohesion and adhesion failures are studied. It is envisaged that such studies would evolve as a useful input for the micro-mechanical modeling of bituminous mix.

## 1 Introduction

Bituminous mix is composed of aggregates, bitumen and air-voids. A good bonding between the aggregates and bitumen is important for satisfactory performance of the bituminous mix in terms of proper load transfer and resistance to fatigue, ravelling, moisture sensitivity etc.

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A large number of studies have been conducted to understand the bond between aggregate and bitumen. Contact angle measurement [1-4], inverse gas chromatography [5], micro-calorimetry [6, 7] methods have been used to estimate surface energy of aggregates-bitumen bonding. Some research studies report tensile strength testing between bitumen and aggregate [8, 9] or bitumen and other materials [9-13].

Marek and Herrin [9] conducted tensile strength test on bitumen film and found that with the increase in bitumen film thickness, tensile strength first increases to a peak value and then decreases and almost becomes constant. In their study, the peak strength was observed at bitumen film thickness of about 20  $\mu\text{m}$  [9]. Frolov et al. [8] found that for higher values of film thickness, cohesive strength is independent of film thickness. Canestrari et al. [10] performed a number of tests with Pneumatic Adhesion Tensile Testing Instrument (PATTI) test set-up on different types of asphalt binders and aggregates. They found that samples under dry condition primarily showed cohesive failure and water conditioned samples showed adhesive or cohesive failure depending on the affinity between aggregate-bitumen system [10]. Poulikakos and Partl [13] conducted tensile strength testing on bituminous film placed between steel or aggregates. In their study, cohesive failure was observed at 23°C and primarily adhesive-failure was observed at -10°C [13].

As can be seen from the above discussions that limited literature is available on study of tensile strength between aggregate and bitumen. This has motivated the present researchers to initiate a further study in this direction. Thus, the scope of the present study [14] is identified as:

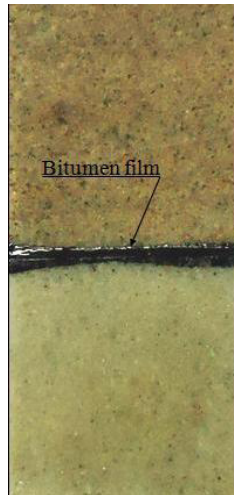
- Study of bond strength between aggregate and bitumen using tensile strength testing.
- Study on effect of bitumen film thickness on aggregate-bitumen-aggregate bond.

## 2 Experimental Study

Locally available sand-stone aggregates and bitumen of grade VG30 [15] (penetration value obtained between 60 and 70) are used in the present study. Irregular shaped aggregates are cut into small cubes (approximately 25 mm each side) by using a diamond cutter. The surface of the aggregate is polished thoroughly by using a polishing equipment with abrasive silicon carbide powder (of size 180 mesh) and water. Followed by polishing, the samples are rinsed with water to remove any abrasive powder stuck onto the polished surface. Roughness of polished surface of five representative aggregate samples are measured at the Manufacturing Sciences Laboratory, IIT Kanpur. The arithmetic mean parameter ( $R_a$ ) is obtained as 6.78  $\mu\text{m}$ .

In order to form a thin sandwiched layer of bitumen, two aggregate samples are heated in an oven to about 110°C and the polished surface of one of them is dipped inside a pool of hot and molten bitumen maintained at a temperature of about 150°C. The aggregates are brought closer (with the bitumen dipped surface

facing the polished surface of the other aggregate) and they are mildly pressed. Excess bitumen is removed using a blade. Figure-1 shows a side view of a typical aggregate-bitumen-aggregate sample.

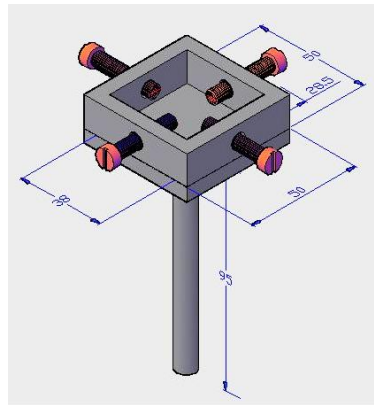


**Fig. 1.** Sandwiched layer of bitumen between two polished aggregate

The sample is brought down to ambient temperature. The sample is kept immersed inside a water bath at  $23\pm 1^\circ\text{C}$ . Care is taken so that water does not come in contact with bitumen. This is done by sealing the sample with water-tight plastic bags. The thickness of bitumen layer is measured using a slide caliper, considering the difference of total height of the two aggregates with and without bitumen film in-between. The measurement is repeated number of times and the average value is taken.

A schematic diagram of a typical sample holder is shown in Figure 2. It comprises of four screws placed in all four sides for holding the aggregate specimen properly secured. Two such sample holders are used for holding the two aggregate pieces. Suitable adjustments are done using the screw system, so that the polished faces of the aggregates (facing each other) remains horizontal. The sample holders are fixed to the jaw of an instron machine (in the ACMS Laboratory, IIT Kanpur) used for carrying out the tensile testing. The experimental set-up is shown in Figure 3(a). The load is applied vertically. In the present study, test results of about 60 samples are reported, while plotting three data points have been removed because of being outliers. the average bitumen film thickness varied between 0.011 mm to 0.64 mm.

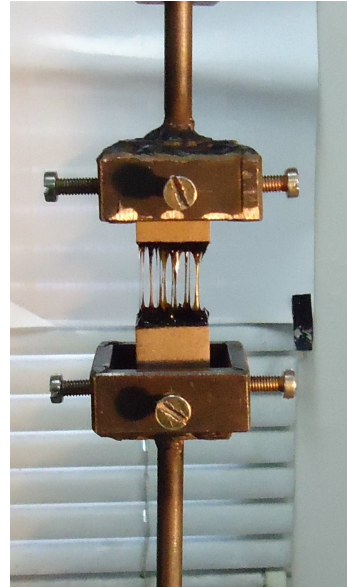
During the test, tensile load is applied at constant loading rate as 1 mm per minute, and load versus displacement data is recorded for each experiment. All tests are conducted at a constant temperature of  $23\pm 1^\circ\text{C}$ . Figure 3(b) presents a close-up view of the sample being tested.



**Fig. 2.** Schematic diagram of a sample holder (dimensions in mm)



(a) The experimental set-up



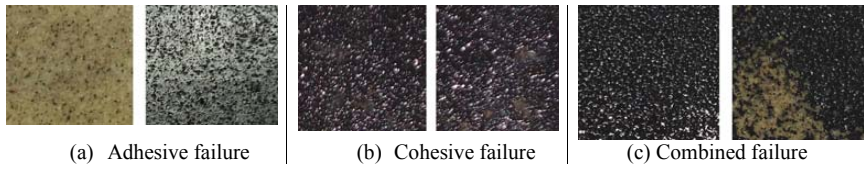
(b) Close-up view of the sample

**Fig. 3.** Test being conducted on aggregate-bitumen-aggregate sample

### 3 Results and Discussions

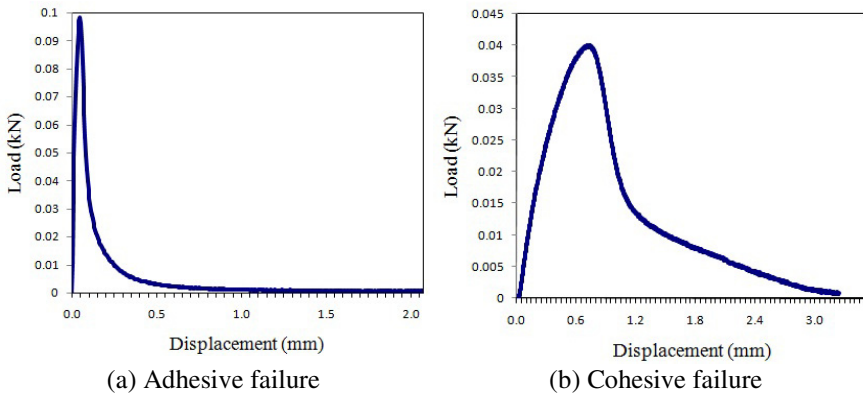
Failure of sample occurs due to development of crack at the weakest point of the aggregate-bitumen-aggregate junction. The crack may develop either at the aggregate bitumen interface (i.e. adhesive failure) or within the bitumen (i.e. cohesive failure) or combination of both. As the vertical deformation increases the

size of crack also increases. These results in separation of two aggregates from each other and the material fail to carry any further load. Figures 4(a), (b) and (c) show aggregate surfaces after adhesive, cohesive and combined failures respectively.



**Fig. 4.** Types of failure observed after testing

Figures 5(a) and (b) show typical variation between load and deformation for adhesive and cohesive failures respectively. From these figures and also from the all other experimental results, it can be seen that failure is sudden for adhesive failure and gradual for cohesive failure.

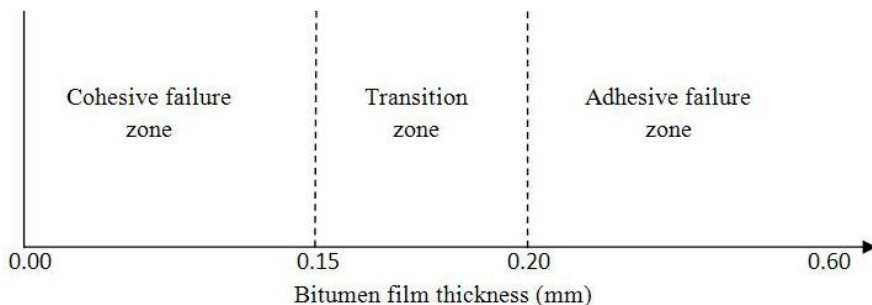


**Fig. 5.** Typical load deformation curve for adhesive and cohesive failure

As the displacement rate, test temperature, type of bitumen and aggregate are kept unchanged in the present study, it can be postulated that in the present case, it is only the bitumen film thickness which governs the adhesive or cohesive failure. In the present study, it is observed that samples with bitumen film thickness less than 0.15 mm have primarily undergone cohesive failure, samples with bitumen film thickness more than 0.2 mm have primarily undergone adhesive failure, and samples with bitumen film thickness in between 0.15 mm to 0.2 mm have primarily undergone combined adhesive-cohesive mode of failure. This aspect is schematically presented in Figure 6.

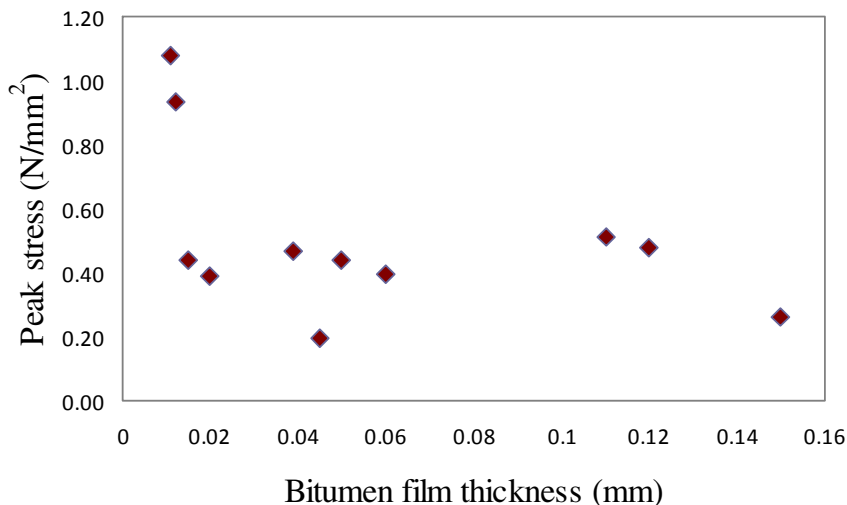
At lower bitumen film thickness cavities may form due to development of negative pressure (opposite to adhesive force). Bitumen between cavities behaves like individual column, thus reduce the film cross sectional area. But, at interface the film area is constant and equal to aggregate surface area. Reduction in film

area in between two aggregates may result in stress concentration and the sample may fail by detachment of bitumen film instead of interfacial failure. This may be postulated as a possible explanation of why cohesive failure is prominent at lower film thickness [16]. As bitumen film thickness increases the possibility of cavity formation decreases and therefore chances of adhesive failure increases.



**Fig. 6.** Dependence on cohesive or adhesive failure on bitumen film thickness

The samples which showed more than 80% of cohesive failure and the bitumen film thickness is less than equal to 0.15 mm are studied separately. The variation of peak stress versus thickness and variation of toughness versus thickness are plotted in Figures 7 and 8 respectively. Data fitting on Figure 7 suggests that peak stress is approximately inversely proportional to the 0.29 power of bitumen film thickness. Analytical derivation shows for rigid substrate and compressible, elastic adhesive material the stress is inversely proportional to square root of film thickness [17].



**Fig. 7.** Variation of peak stress with thickness for cohesive failure



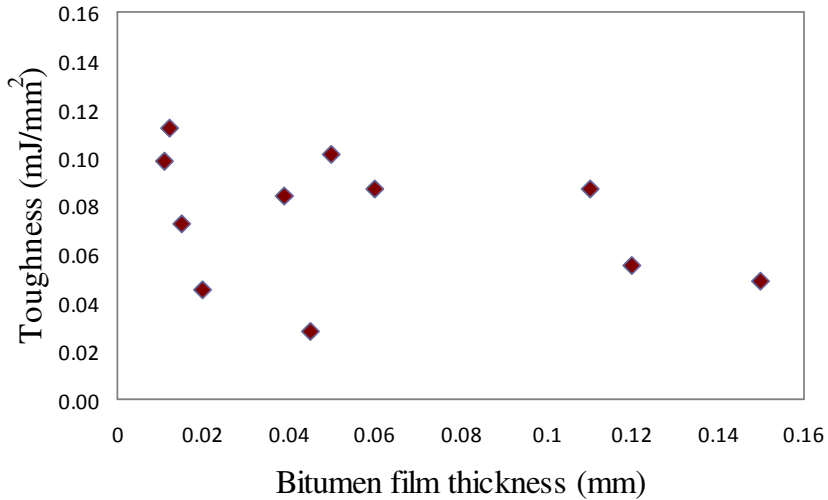


Fig. 8. Variation of toughness with thickness for cohesive failure

The samples which showed more than 80% of adhesive failure and the bitumen film thickness is more than equal to 0.20 mm are studied separately. The variation of peak stress versus thickness and variation of toughness versus thickness are plotted in Figures 9 and 10 respectively. Data fitting on Figure 9 suggests that peak stress is approximately inversely proportional to the 0.57 power of bitumen film thickness.

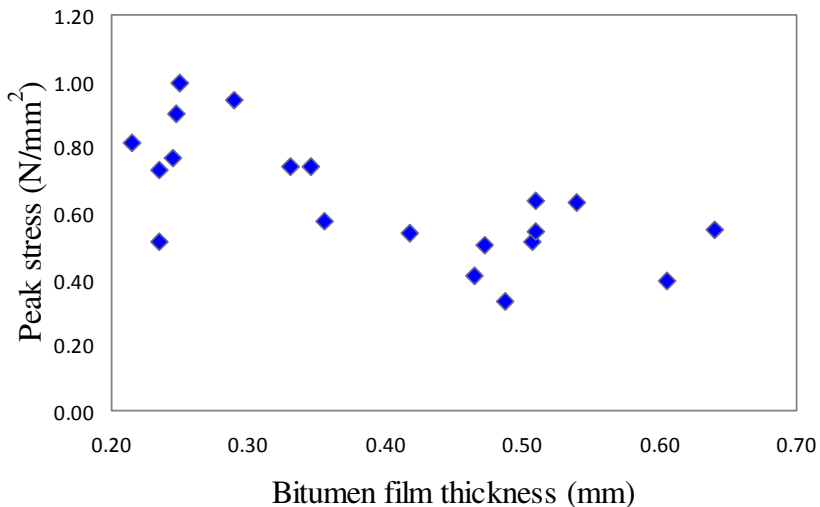


Fig. 9. Variation of peak stress with thickness for adhesive failure

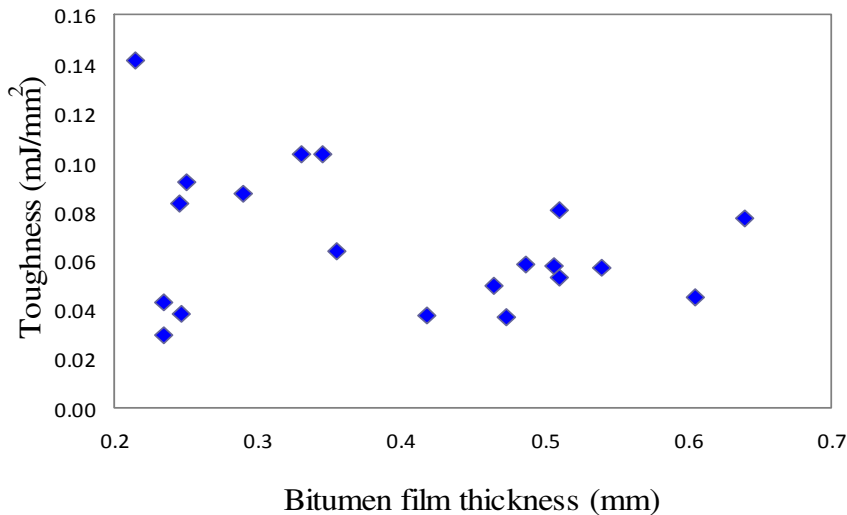


Fig. 10. Variation of toughness with thickness for adhesive failure

## 4 Conclusion

In the present study experiments on tensile strength has been performed (with deformation rate as 1 mm per minute and test temperature as  $23\pm 1^\circ\text{C}$ ) to study the failure in aggregate-bitumen-aggregate bond. Sand-stone aggregates and VG 30 grade of bitumen has been used. In the present study, it is observed that samples with bitumen film thickness less than 0.15 mm have primarily undergone cohesive failure, and samples with bitumen film thickness more than 0.2 mm have primarily undergone adhesive failure. For both cohesive and adhesive failures, the peak stress at failure is observed to be inversely proportional to the bitumen film thickness, however for adhesive failure the dependency is found to be stronger.

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# Hypothesis of Existence Semicircular Shaped Cracks on Asphalt Pavements

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**Abstract.** There are many different causes of cracks on the surface of asphalt pavements. The primary cause of cracking in asphalt layer is increasing tension stresses and related strains to the point when the tensile strength of the material is exceeded. Semi-circular shaped cracks occur at the edges of the excavations and pavements where there is poor local sub-layers, thin layer of asphalt and excessive traffic loads. Semi-circular shaped cracks are very common. However, they are often confused with the netlike cracks. The typical form occurs when the crack progresses to a certain point of contact to the outside edge of the pavement, whereas in the opposite direction (inside) of the track it provides adequate resistance against the occurrence of crack. Cracks spread in the direction of poor sub-base and are formed gradually one next to the other or independently. This paper presents semi-circular shaped cracks with a single, double or several free edge. Semi-circular shaped cracks propagated from top to bottom at the cross section.

The analysis of numerical model shows that the line deflection and maximum tensile stresses on the top surface are in a semi-circular, which indicates the formation of semi-circular shaped cracks. To determine the location of cracks it is necessary to look at the maximum tension stresses  $\sigma_{ij}$  on the top surface of the model. The location of crack is somewhere in the area of maximum tension stresses and occur when the tensile strength of the material is exceeded.

## 1 Introduction

This paper shows what it is semi-circular shaped cracks. Why and how semi-circular shaped cracks occur. Why they have such characteristic shape. It also shows how such cracks are spread on the surface pavement and the cross section. Schematic is presented the cracks with a single (one), double and several free edges. At the end of this paper is presented the numerical model that illustrate this problem and is treated by the finite elements method (FEM).

Pavement cracking can be caused by several factors, such as: structural changes of the bituminous binder in bituminous mixtures because of the aging, large temperature changes during use, excessive traffic loads and/or deficiencies in the construction [1]. Cracks in asphalt pavements can take many forms. The most common types of cracking are [2]: fatigue cracking, longitudinal cracking, transverse cracking, block cracking, slippage cracking, reflective cracking and edge cracking. On the pavement you can find cracks in semi-circular shape (Fig .1). In the

American literature we find the concept of edge cracks which formed a kind of semi-circular shaped cracks. Edge cracks typically start as crescent shapes at the edge of the pavement. They will expand from the edge until they begin to resemble alligator cracking. This type of cracking is the result of lack of support of the shoulder due to weak material or excess moisture. They may occur in a curbed section when subsurface water causes a weakness in the pavement [3]. Longitudinal cracks with in one to two feet of the outer edge of a pavement are referred to as edge cracks [6], as shown in Fig. 2 left. Longitudinal edge cracks on the pavements occur if there is low bearing capacity on lower layers through the entire edge. These cracks are not considered as semi-circular shaped cracks. In addition, the semi-circular shape crack occur at the shaft (Fig. 2 right), only to have this as a full circle, but in our view have the same cause of the accident.



**Fig. 1.** Semi-circular shaped cracks at the edges of the pavements (left) and excavations (right)



**Fig. 2.** Longitudinal edge cracks at the edge of the pavements (left) and circular shaped cracks at the shafts (right)

## 2 Semi-Circular Shaped Cracks (SCSC)

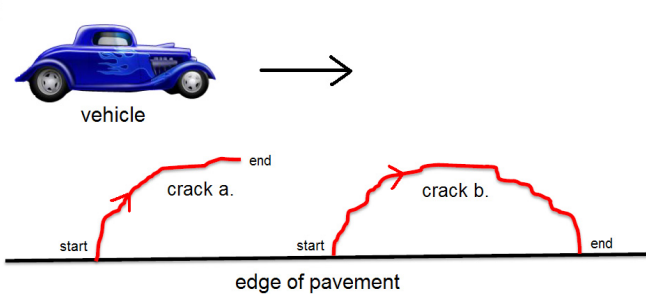
### 2.1 Characteristics of the SCSC

Semi circular shaped cracks have certain characteristics that distinguish them from other cracks. We distinguish them by:

- shape and propagate of semi-circular shaped cracks,
- propagation through the cross section and
- cause of formation.

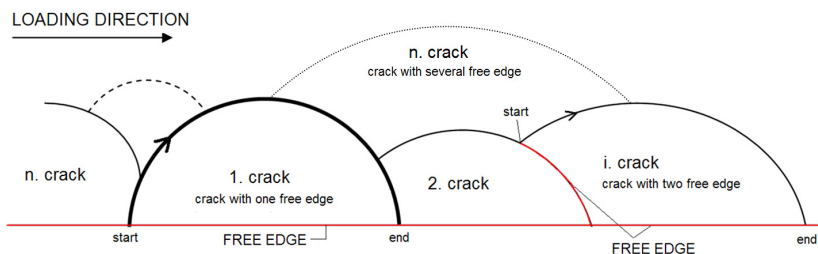
#### 2.1.1 Shape and Propagation of Semi-Circular Shaped Cracks

When the vehicle (load) carries a weak location in the pavement occur the crack that propagation into the interior (Fig. 3). A typical semi-circular crack on the pavement surface occurs when the crack progresses and stops at a particular point (crack a.) or progression route, making the characteristic circular shape, to the outer edge of the pavement (crack b.), because in the opposite direction of the pavement is more resistant to cracking. It does not run parallel to the pavement edge because at some point the support gets stronger, and so the crack turns outward [4].



**Fig. 3.** Schematic presentation of semi-circular shaped cracks propagated in the direction of low bearing capacity of lower layer with single free edge crack a. that extends into the interior and crack b. witch complete in the edge of the pavement.

Fig. 4 shows how SCSC propagates on surface of the pavement. First is formed “1.” crack and then next “2.” crack occurs at the first and touches it at a different point or they can appear completely independently. So, SCSC propagated next to each other in the direction of low bearing capacity of lower layers (sub-base or base). Fig. 4 also presents semi-circular shaped cracks with a single, double or several free edges. Semi-circular shaped cracks with a single (one) free edge are “1.” crack and the beginning and the end of the crack is at the same height. Crack with two free edges (e.g. i. crack) has the beginning and the end of the cracks at different heights and one of free edge is already formed crack, in this case “2.” crack. Crack with several free edges is crack “n.” crack.



**Fig. 4.** Schematic presentation of semi-circular shaped cracks propagated in the direction of low bearing capacity of sub-base or base layer with single (1. crack) and two free edge (i. crack)

**2.1.2 Propagation through the cross section**

On Slovenian local road, the asphalt core was drilled on semi-circular shaped crack (Fig. 5 left). Fig. 5 right shows cross sections of this drilled core and we see that the crack propagation from top to bottom. So, at the top surface we have tensile tension. This is not typical of fatigue cracks. Also, Shuler (2005) in final report concludes that edge crack propagation from top to bottom. This means that upward movement from below and/or bending is causing excessive tensile strain at the surface of the pavement [5].



**Fig. 5.** The location of asphalt core taken on semi-circular shaped cracks (left) and presentation of semi-circular shaped cracks propagated top to bottom through the cross section (right)

**2.1.3 Cause of formation**

Semi-circular shaped cracks occur at the edge of the pavement - edge cracks (near unpaved shoulder) or at the edge of the excavations on asphalt pavements. Fig. 6 shows schematically the formation of these tensile cracks in the area near the maximum tensile stress of asphalt layers. The creation of those cracks is mostly attributable to:

- lower bearing capacity of lower layers (increased flexibility); the material is very dirty (contains clay) and can keep water in it (higher moisture) or because

of weaker drainage capability at the edge of the pavement or the local lower density - called "nest" (Fig. 7),

- thin asphalt layer (the pavement is too thin for the traffic loads),
- narrow pavement (heavy vehicles drive closer to the edge of the pavement),
- heavy traffic load during spring thaw (very weak and unstable shoulder and base),
- structural (hardening of bitumen) and thermal changes (cryogenic tension) in the asphalt layer.

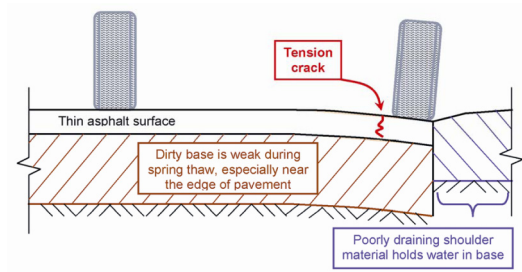


Fig. 6. Schematic view of cracking at the edge of pavement [4]

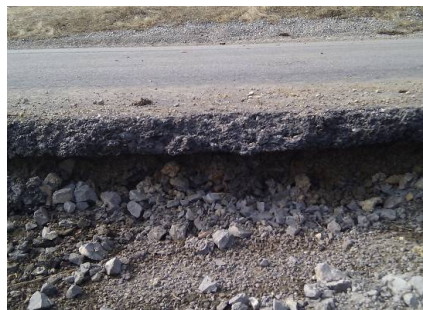


Fig. 7. Excavation on existing pavement – formation »nest«

### 3 Numerical Model of Semi-Circular Shaped Cracks

Our numerical model represents formation of semi-circular cracks. It is prepared and processed in programming environment SAP2000 with finite element method (FEM), where we analyze the size and shape of stress and strain.

With this numerical model we want to answer why semi-circular shape occur in relation to the stresses and strains.

The assumptions for our model are:

- shell-thin layer (asphalt layer thickness = 7 cm),
- material properties of asphalt (elastic modulus  $E = 4000 \text{ MPa}$ , Poisson ratio  $\nu = 0.35$ ),



- joint springs are flexible connections to ground (linear elastic) as sub-layer with modulus of soil reaction  $k_1 = 150 \text{ MPa}$  (good compacted)
- point force  $F = 50 \text{ kN}$  as wheel load at the free edge and under is area of weak sub-layer with spring modulus of soil reaction  $k_2 = 100, 130, 140 \text{ MPa}$ .

With this assumption we create the worst possible conditions for occur semi-circular shaped crack. The numerical model is presented in Fig. 8.

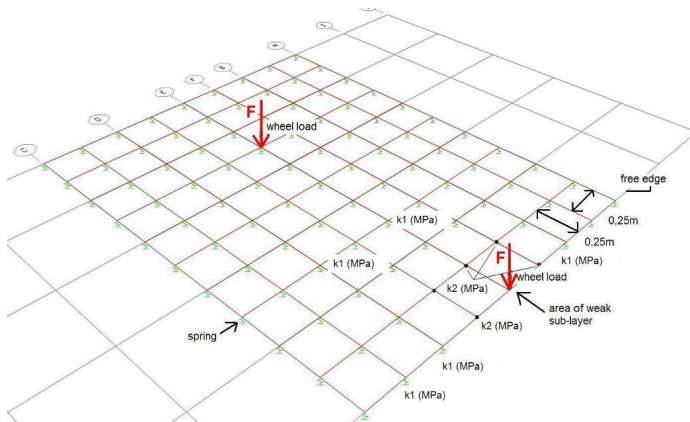


Fig. 8. Schematic view of the numerical model

The variable in this model was a modulus of soil reaction  $k_2 = 100, 130, 140 \text{ (MPa)}$ , because area of weak sub-layer it is not always the same.

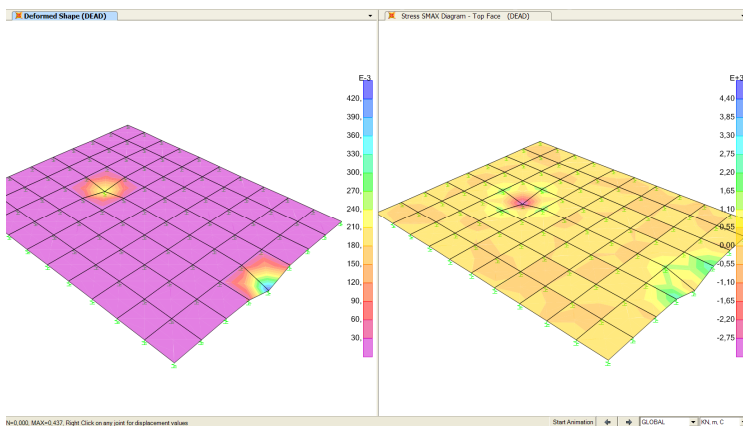
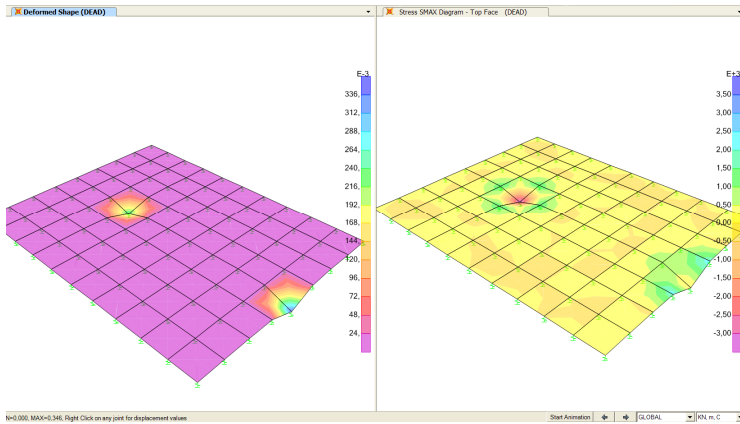
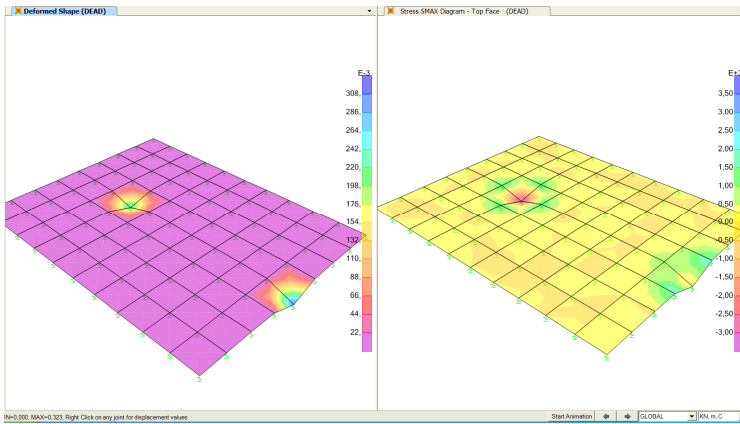


Fig. 9. The results of deflection (left) and tension stress on the top surface (right);  $k_1 = 150 \text{ MPa}$  and  $k_2 = 100 \text{ MPa}$  at force  $F = 50 \text{ kN}$



**Fig. 10.** The results of deflection (left) and tension stress on the top surface (right);  $k_1 = 150$  MPa and  $k_2 = 130$  MPa at force  $F = 50$  kN



**Fig. 11.** The results of deflection (left) and tension stress on the top surface (right);  $k_1 = 150$  MPa and  $k_2 = 140$  MPa at force  $F = 50$  kN

On Fig. 9-11 is shown the line of deflection and maximum tensile stresses. Semi-circular lines are clearly visible at the free edge, which indicates the creation of semi-circular shaped cracks. It is only questions where is the location of crack and when occur. To determine the location of cracks it is necessary to look at the maximum tension stresses  $\sigma_{ij}$  on the top surface of the model. The location of crack is somewhere in the area of maximum tension stresses and occur when the tensile strength of the material is exceeded. Also, Fig. 9-11 clearly shows the area of maximum tension stresses (light to dark green) extending from the extreme edges towards the centre of the semi-circular form. On the bottom surface is compressive stresses, conclude that the crack propagated from the top to bottom.

Fig. 9 and 10 shows that with increasing  $k_2$  decreases deflection and tensile stresses and vice versa. If we compare Fig. 9 ( $k_2 = 100$  MPa) and Fig. 10 ( $k_2 = 140$  MPa) we see that the deflection is lower at higher modulus  $k_2$  and maximum tension stresses is for almost half size higher at lower  $k_2$ .

## 4 Conclusion

This paper shows what it is semi-circular shaped cracks. Why and how semi-circular shaped cracks occur. Why they have such characteristic shape. It also shows how such cracks are spread on the surface pavement and the cross section. Schematic is presented the cracks with a single (one), double and several free edges. At the end of this paper is presented the numerical model that illustrate this problem and is treated by the finite elements method (FEM).

Semi circular shaped cracks have certain characteristics that distinguish them from other cracks. This paper shows shape and propagate of semi-circular shaped cracks, propagation through the cross section and cause of formation.

Semi-circular shaped cracks are very common, but often confused with the netlike cracks. The typical form occurs when the crack progresses to a certain point of contact to the outside edge of the pavements, whereas in the opposite direction (inside) of the track it provides adequate resistance against the occurrence of cracks. The creation of those cracks is mostly attributable to: lower bearing capacity of sub-layers, thin asphalt layer, narrow pavement, heavy traffic load during spring thaw, structural and thermal changes in the asphalt layer. On surface of the pavement they propagated in the direction of low bearing capacity sub-layers layer, and are formed gradually one next to the other or independently. SCSC propagated from top to bottom at the cross section. This means that upward movement from below and/or bending is causing excessive tensile strain at the surface of the pavement.

The analysis of numerical model shows that the line deflection and maximum tensile stresses on the toper surface are in a semi-circular, which indicates the formation of semi-circular shaped cracks. To determine the location of cracks it is necessary to look at the maximum tension stresses  $\sigma_{ij}$  on the top surface of the model. The location of crack is somewhere in the area of maximum tension stresses and occur when the tensile strength of the material is exceeded.

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# Quantifying the Relationship between Mechanisms of Failure and the Deterioration of CRCP under APT: Cointegration of Non-stationary Time Series

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**Abstract.** A statistical property of non-stationary time series is applied to quantify the long-run equilibrium relationship between mechanisms of pavement failure and deterioration. The concept is applied to measured pavement responses from an Accelerated Pavement Test (APT) conducted on the thin (185 mm) Continuously Reinforced Concrete Pavement (CRCP) of the Ben Schoeman freeway in South Africa. The statistical property is that of cointegration, which allows testing for the existence of equilibrium relationships among trending variables within fullydynamic specification frameworks. The predominant failure mechanisms leading to punchout are known to be loss of load transfer capability at transverse cracks and the deterioration of substructure support. The long-term relationship between proxy variables for the mechanisms leading to punchout and pavement deterioration is determined. The average long-term relationship between a change in relative movement and a change in surface deflection at transverse cracks is found to be 17.5. This means that on average a 1.0 % increase in relative movement will translate into a 17.5 % increase in surface deflection and *vice versa*. The empirical quantification of the long-term equilibrium relationships between pavement mechanisms of failure and deterioration offers the potential to improve the reliability of design systems and may also be used in pavement management.

## 1 Introduction

Concrete pavements are complex and dynamic systems. The concrete pavement system as a whole exhibits different characteristics than the individual components it is comprised of. The interrelations and dependencies between the various components of the concrete pavement system are difficult to specify and quantify.

In the case of a thin (150 to 185 mm) CRCP, punchout is caused by the loss of load transfer capability at transverse cracks; and the deterioration of the support structure [1-3]. The interdependence of these mechanisms and the relative contribution to deterioration of pavement performance are largely unknown.

This gap exists as one is unable to solve such a complex system in discrete time. It is fundamentally a system of simultaneous equations that is under-identified and of which the structural coefficients therefore cannot be recovered.

Researchers commonly focus on the characterisation of a specific mechanism in terms of material and/ or environmental parameters and conditions [4-6]. Whereas such studies have been achieved successfully, it is a challenge to capture and encompass the interdependence between failure mechanisms and their specific contributions to pavement deterioration.

The quantification of such interrelationships has the potential of improving reliability and confidence in pavement design. It will furthermore reduce the variance between the individual functions used to characterise the failure mechanism and the pavement-specific performance function as used in a risk-based design method [7].

The interrelationship between the different mechanisms may be quantified using the property of cointegration. The objective of this paper is to illustrate this concept by applying its principles to CRCP responses measured under APT.

## 2 Cointegration

Cointegration is a statistical property of non-stationary time series variables, and allows one to view the problem in continuous instead of discrete time. This enables the quantification of the stated interrelationships in a dynamic environment.

### 2.1 Background

Cointegration developed within the discipline of economics, where a substantial part of theory is concerned with long-run equilibrium relationships, generated by market forces and behavioural rules. As a result most empirical econometric studies involving time series' may be interpreted as attempts to evaluate such relationships in a dynamic framework [8].

Granger [9] points out that a vector of variables, of which all achieve stationarity after differencing, may have linear combinations that are stationary in levels. Engle & Granger [10] formalise the idea of integrated variables sharing an equilibrium relation that turns out to either be stationary or to have a lower degree of integration than the original series. This property is denoted as cointegration, signifying co-movements among trending variables that may be exploited to test for the existence of equilibrium relationships within a fully dynamic specification framework.

### 2.2 Integrated and Cointegrated Processes

If a process  $x_t$  is stationary, the process

$$y_t = x_t + y_{t-1} = \sum_{s=0}^{\infty} x_{t-s} \quad (1)$$

is called integrated of order one,  $I(1)$ .  $y_t$  has the property by construction that its first difference is  $x_t$  and is therefore stationary  $I(0)$ .

$$\Delta y_t = y_t - y_{t-1} = x_t \quad (2)$$

A white noise series and a stable first-order autoregressive  $AR(1)$  process are well-known examples of  $I(0)$  series; a random walk process is an example of an  $I(1)$  series, which when accumulating a random walk leads to an  $I(2)$  series.

A  $(n \times 1)$  vector time series  $Y_t = [y_{1t}, \dots, y_{nt}]'$  is said to be cointegrated if each of the series' is individually  $I(1)$ ; while some linear combination of the series  $a'Y_t$  is stationary,  $I(0)$ , for some non-zero  $(n \times 1)$  vector  $a$ . Generally speaking; if two or more integrated variables have a common stochastic trend, so that a linear combination has no stochastic trend, then they cointegrate.

### 2.3 Application to the Domain of Pavement Engineering

For the case of thin CRCP; given that one has three variables, maximum deflection ( $jd$ ), permanent deformation ( $pd$ ) and relative movement ( $rm$ ), that one expects to be cointegrated, the expected cointegration relation could be algebraically stated as Eqn. (3).

$$\alpha jd_t + \beta pd_t + \gamma rm_t + C = z_t \quad (3)$$

Where  $z_t$  is the equilibrium error, the distance that the system is away from equilibrium at any point in time. In the equation a variable  $pd_t$  typically refers to its entire sequence  $\{pd_t: t = 0, \dots, \infty\}$ .

In Eqn. (3) the coefficients  $\alpha, \beta, \gamma$  and  $C$  constitute the cointegration vector. If one finds such a vector of coefficients where the resulting linear combination is stationary for the duration of the period under investigation, or zero if a constant is included, the time series variables are regarded as cointegrated; and may have a specific meaning.

## 3 Accelerated Pavement Testing and Instrumentation

Using the Heavy Vehicle Simulator (HVS), the APT was conducted by the Council of Scientific and Industrial Research (CSIR). The test was on the 1.5 m wide CRC shoulder of the in-service Ben Schoeman freeway, with the centre of the dual wheel at a distance of 420 mm from the CRC edge. The pavement structure of the section comprises of 185 mm CRCP; 30 mm open-graded asphalt overlay; 30 mm continuously-graded asphalt surfacing; 200 mm crushed stone base; 150 mm stabilised gravel subbase and two 150 mm layers of selected subgrade. The test section had six transverse cracks of which five were instrumented.

A total of 2750200 half-axle loads were applied in canalised, bi-directional trafficking mode over a period of five months ending in May 2010. The applied loads comprised load levels of 40, 60, 80 and 100 kN respectively. Loading was applied with a dual-wheel configuration of two 12R22.5 tyres at pressures of 800 kPa. The section was artificially and continuously watered for a two-day period in order to simulate rainfall; followed by six dry days.

The maximum surface deflection and permanent surface deformation were measured by means of Joint Deflection Measuring Devices (JDMDs). The relative movement across each transverse crack, with the entire loading wheel on one side of the crack, was calculated from the surface deflection data. Thermocouples were installed to measure environmental and pavement temperatures. Environmental conditions and pavement responses were measured at 30-minute intervals.

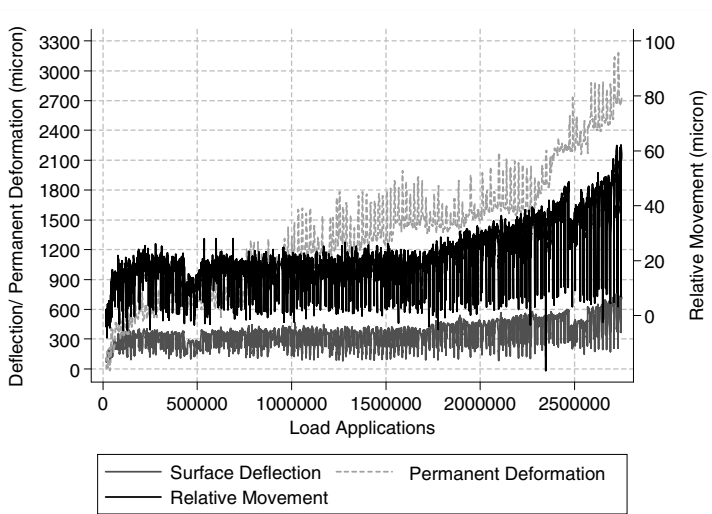


Fig. 1. Pavement responses measured under accelerated loading

#### 4 Data Description and Model Development

It is postulated that a statistically significant, stable and long-term linear relation exists between deflection, permanent deformation and relative movement.

This is presented in Eqn. (4), with  $\epsilon$  representing the stochastic error term.

$$jd = c + \alpha pd + \beta rm + \epsilon \tag{4}$$

APT data, containing 6953 sets of measurement, were used to estimate the parameters of Eqn. (4). Assumptions were made with regard to the proxies for the specific mechanisms of pavement failure and deterioration.



Maximum elastic surface deflection is the optimal proxy of pavement response and therefore performance under wheel loading. The response is a function of the structural condition of the pavement as a whole, and entails both the load transfer efficiency of the transverse cracks and the condition of the substructure.

Relative movement serves as the proxy for the load transfer capability of the transverse cracks in the pavement. The measured relative movement incorporates the effects of loss of load transfer, due to dowel action and aggregate interlock, and concrete shrinkage and curling due to environmental conditions. The condition of the substructure also influences the relative crack movement.

Due to the lack of alternatives, permanent surface deformation serves as a proxy for the deterioration of the CRC support. As the concrete layer cannot be compressed itself, permanent deformation reflects changes in the substructure. Permanent deformation is not, however, the optimal proxy variable, as it is unable to discern between sub-layer compaction, possible void formation and loss of material.

### 4.1 Non-stationarity of Individual Endogenous Variables

The non-stationarity of the individual variables is established through the Augmented Dickey-Fuller (ADF) test on the first difference of the variable in question. If the first difference is stationary, I (0), the variable in level is non-stationary and integrated of order one, I (1). Asymptotic critical values for unit root tests are used for tests of non-stationarity in the first difference [11]. Test statistics and the critical values are summarised in Table 1.

### 4.2 Basic Regression

Deflection is regressed on permanent deformation, relative movement and an intercept, robust for heterogeneity. The fitted values based on the estimated parameters are then predicted and saved. The residuals, the difference between estimated and actual values, are determined at each point in time.

**Table 1.** Test statistics and critical values for unit root tests

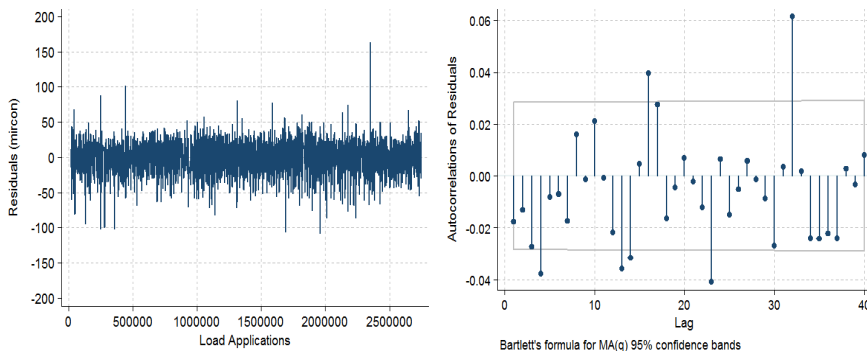
Crack nr	jd*	pd*	rm*	residual**
1	-114.526	-34.649	-120.433	-23.05
2	-110.353	-33.045	-118.754	-21.13
3	-110.082	-31.656	-121.98	-26.08
4	-112.42	-28.383	-122.564	-23.19
5	-113.136	-29.747	-120.986	-25.26

\* Critical values at 10 %, 5 % and 1 % are -2.57, -2.86 and -3.43 [12]

\*\* Critical values at 10 %, 5 % and 1 % are -3.45, -3.74 and -4.29 [12]

The stationarity of the residuals are tested by regression of the first difference on the lagged values. This regression is equivalent to the ADF-test, but should be conducted as estimated values are used in lieu of actual values [13].

The resulting test statistic, Table 1, is subsequently evaluated against asymptotic critical values [13]. If the residuals are found to be stationary, the first of the two conditions for the existence of a cointegrating relationship is met.



**Fig. 2.** Residuals (a) and autocorrelation of residuals (b) from the Autoregressive Distributed Lag (ADL) regression, Crack 3

### 5 General Autoregressive Distributed Lag Regression

A general Autoregressive Distributed Lag (ADL) regression, Eqn. (5), is conducted where lags of all three endogenous variables are included in the basic regression.

$$A(L)jd = B_1(L)pd + B_2(L)rm + c \tag{5}$$

Where  $A(L), B_1(L)$  and  $B_2(L)$  are lag operators. The ADL-regression is conducted to dispense with the autocorrelation in the residuals, Figure 2. Autocorrelation in the residuals causes underestimation of the standard errors that might lead to erroneous inference.

An F-test is conducted to establish if the sum of the coefficients of each endogenous variable and its lags are statistically significant. The sums of the estimated coefficients of  $A(L), B_1(L)$  and  $B_2(L)$  are  $\hat{\alpha}$ ,  $\hat{\beta}$  and  $\hat{\gamma}$  respectively.

If the sum of the coefficients is significantly different from zero, a definitive cointegration relation exists. As can be seen from Table (2), all coefficients are significant at one percent (1 %), except the permanent deformation coefficient of Crack 4, which is at five percent (5 %). The permanent deformation coefficients of Cracks 2 and 5 are insignificant.

**Table 2.** Sums of ADL Coefficients and p-values of the Corresponding F-tests

Crack nr	Full specification						Truncated specification			
	$\hat{\beta}$	p-value	$\hat{\gamma}$	p-value	$\hat{\alpha}$	p-value	$\hat{\beta}$	p-value	$\hat{\gamma}$	p-value
1	-0.00399	0	0.876	0	-0.0429	0	1.572	0	-0.0928	0
2	2.14E-05	0.982	1.441	0	-0.595	0	2.366	0	-0.0906	0
3	-0.00512	0.007	0.985	0	-0.48	0	0.989	0	-0.0998	0
4	0.00178	0.0215	0.969	0	-0.056	0	1.564	0	-0.0781	0
5	-5.1E-05	0.936	1.018	0	-0.067	0	1.243	0	-0.0823	0

### 5.1 Implied Long-Run Relationship

The implied long-run equilibrium relationships, Table 3, in the form of Eqn. (8) are determined from the sums of estimated coefficients as in Table 2.

$$jd = \beta^*pd + \gamma^*rm + C^* \tag{8}$$

In Eqn. (8),  $\beta^* = -\frac{\hat{\beta}}{\hat{\alpha}}$ ,  $\gamma^* = -\frac{\hat{\gamma}}{\hat{\alpha}}$  and  $C^* = -\frac{\hat{c}}{\hat{\alpha}}$ .

**Table 3.** Coefficients of the Long-Run Relationships

Crack nr	Full specification			Truncated specification	
	$\beta^*$	$\gamma^*$	$C^*$	$\gamma^*$	$C^*$
1	-0.0914	20.373	0	16.93	0
2	0	28.31	172.063	26.114	189.29
3	-0.067	12.943	190.802	9.909	159.218
4	0.031786	17.304	62.482	20.025	135.595
5	0	15.194	128.597	14.678	200.378
Average	-0.0254	18.825	110.788	17.533	136.897
Standard Deviation	0.0516	5.967	79.186	6.051	80.647

### 5.2 Comparison with Findings from Diagnostic Investigation

Analysis of the full specification yielded results that were contrary to expectation. Cointegration between the permanent deformation and the other two endogenous

variables broke down in two of the five instances. Two of the three significant, permanent deformation coefficients were furthermore negative in sign. The general expectation was that the permanent deformation coefficients would be significant and positive.

Diagnostic coring confirmed the expectation. It indicated the stripping and loss of material of the open-graded asphalt layer below the CRC. It is further assumed that the loss of the fine material, manifested through permanent deformation, will lead to increased surface deflections; a positive  $\beta^*$ -coefficient.

Possible causes for the unexpected coefficient sign were identified, investigated and delineated to the following:

- Permanent surface deformation is a poor proxy variable for the deterioration of the substructure and the bond between CRC and the underlying asphalt. Measurements at the surface gave no indication regarding the stripping of asphalt layers and loss of fine material.
- The permanent deformation data was of poor quality. Instrument drift might have influenced measured levels. The standard deviation in the first difference of the permanent deformation series was 30 micron.

### 5.3 *Truncated Model*

As result of the inconsistency between the expected and estimated coefficients, the model was adapted by omitting permanent deformation. The resulting truncated specification is presented in Eqn. (9) below. The same statistical procedure was followed to determine the implied long-run relationship, with results in Tables 2 and 3.

$$jd = c + \beta rm + \varepsilon \quad (9)$$

## 6 Interpretation of Results

The average long-term relationship between a change in relative movement and a change in maximum surface deflection is 17.5, Table 3. A one percent (1 %) change in relative movement at a transverse crack is accompanied by a 17.5 % change in maximum surface deflection. This relationship quantifies the impact of a loss of load transfer capability, albeit small, on surface deflection and ultimately pavement performance.

The estimated coefficients should be viewed as elasticities in an economic sense, and may be presented as the partial derivatives of the general specification. The elasticities are the absolute effects, and the effect of a change in relative movement through the channel of permanent deformation on deflection, should not be considered. Indirect effects are accounted for through solving of the simultaneous system as a whole, even although each of the endogenous variables is implicitly a function of the other two.

The analysis methodology is advantageous as it quantifies the empirical relationship between endogenous variables that act as proxies for CRCP performance and failure mechanisms.

## 7 Conclusions

The researcher describes the development of a model to capture the long-run equilibrium relationship between the two predominant failure mechanisms and pavement performance deterioration of a thin CRCP under APT.

The average long-term relation between a change in relative movement and a change in maximum surface deflection was found to be 17.5 for the pavement section and structure tested. The relationship was found to be statistically significant at a level of one percent for each of the five transverse cracks analysed.

The discrepancy between the results of the cointegration analysis, for the full specification of both failure mechanisms and pavement performance, and the findings of the investigation indicate one of two things:

1. Deterioration of the substructure support is at times negligible compared to the deterioration of load transfer capacity of the transverse crack, although statistically significant in the cointegration model.
2. Permanent surface deformation is not the optimal proxy variable for the deterioration of the substructure. Although statistically significant in some cases, the negative sign of the coefficient did not correspond to the stripping of the open-graded asphalt, as evident from the diagnostic investigation.

## 8 Recommendations

Based on the analysis methodology presented, it is recommended that the utilisation and application of the cointegrating relationships be investigated further through:

1. Application of the methodology to historic APT-datasets to evaluate and characterise the distributions of cointegrating relationships for specific pavement types.
2. Evaluation of alternative proxy variables for substructure support; particularly Multi-Depth Deflectometer data from similar APT tests.

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# Influence of Horizontal Traction on Top-Down Cracking in Asphalt Pavements

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**Abstract.** Traditionally, in pavement analysis and design, stress-strain analysis is carried out by assuming wheel loads as uniform vertical traction acting on a circular area. However the contact forces at the tire-pavement interface are not purely vertical. Considerable amount of horizontal traction is developed when a tire moves on the surface of the pavement. It is expected that the state of stress and strain in a pavement in such a case might be completely different. In this study, three dimensional finite element stress-strain analysis of a multi-layered pavement system subjected to both vertical and horizontal tractions was carried out. A linear viscoelastic model was used to characterize asphalt layers and a Drucker-Prager model with a linear hardening rule was used to characterize the elastic-plastic response of the granular material of the base layer. It was observed that due to the application of horizontal traction considerable horizontal tensile strains are produced at the surface of the asphalt layer. These horizontal strains at the surface are tensile to the rear of the loaded area and compressive to the front of the loaded area. Also, the maximum horizontal tensile strain occurs at the surface and not at the bottom of the asphalt layer. In order to investigate the influence of the increased horizontal tensile strains at the surface on top-down cracking, the strains obtained from the finite element analysis were used in typical top-down crack prediction models and the number of repetitions to failure was determined. It was found that the addition of horizontal traction significantly reduces the allowable number of repetitions.

## 1 Introduction

Fatigue cracking in asphalt pavements is normally related to the magnitude of the critical tensile strain in the pavement structure due to load application. The magnitude of the critical tensile strain and the location where it occurs depends on the load configuration, the structural configuration of the pavement system and the nature of mechanical response of the materials of the layers. These critical tensile strains dictate the nature in which the cracks initiate and propagate. It is normally assumed that the critical tensile strains occur at the bottom of the asphalt layer and

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hence cracks initiate at the bottom of the asphalt layer and propagate to the top. This type of cracking is called as bottom-up cracking. However several studies have clearly shown that cracks can initiate at the top and propagate to the bottom [1-2]. These are increasingly observed in flexible pavements and are reported to be the most prominent pavement distress in many parts of the world. This type of cracking known as top-down cracking occurs because the critical strains occur at the top of the asphalt layer. Top-down cracking has been attributed to a number of factors and the most important of them are tensile strains at the surface of the asphalt layer, asphalt aging, spatial temperature gradients, asphalt mix properties and segregation [1].

One important aspect related to the determination of tensile strain at the surface is the role of the stress analysis procedure and the appropriate constitutive model used. The multi-layered elastic theory based stress-strain analysis with only the normal component of traction predicts the tensile strains at the bottom of the asphalt layer. Therefore top-down cracking cannot be predicted using this approach of stress-strain analysis. For this purpose, a rigorous stress-strain analysis that considers appropriate material properties and realistic loading conditions is needed. It should be pointed out here that tensile strains at the surface of the asphalt layer can be observed when the viscoelastic nature of the asphalt layers [2] and horizontal traction [3] is considered.

In the following sections, we present a brief overview of the existing literature related to the influence of horizontal traction on top-down cracking. We then detail the computational model, material properties and the load cases considered. The subsequent section is devoted to a discussion on the results of the finite element analysis. In the final section, the strain measures determined by the finite element analysis are used in appropriate pavement distress models and the influence of horizontal traction on the allowable number of repetitions to failure is analyzed.

## 2 Literature Survey

A vast body of literature on the issues related to fatigue cracking and the possible causes of fatigue cracking exists. The influence of horizontal traction on the development of tensile strain and top-down fatigue cracking has been investigated by several workers [3-5]. Collop and Cebon [6] used the linear elastic fracture mechanics framework and the finite element analysis for stress-strain analysis and reported that horizontal traction can cause short surface cracks in the asphalt layer. Groenendijk [7] investigated the influence of non-uniform contact stresses that included vertical, longitudinal and transverse stresses as given by De Beer [8] on the stress distribution at the surface and concluded that horizontal traction can cause critical tensile stresses at the surface. Several other researchers have reported that top-down cracking is initiated due to the surface tensile stresses that are induced because of horizontal traction [9-12].



The magnitude and orientation of the induced horizontal traction depends on the nature of vehicle movement, the frictional characteristics of the pavement and tire, the mechanical response of the pavement structure and the structural properties of the tire. Extensive work in the area of quantifying tire-pavement contact stresses is due to De Beer and coworkers [8] who have used Stress-in-Motion technology to capture the spatial and temporal variation of stresses, both vertical and horizontal. It has been shown that considerable horizontal stresses develop both in the longitudinal (in the direction of movement of tire) and transverse (perpendicular to the direction of movement of tire) directions. Experiments conducted by De Beer et al. [13] suggest that the horizontal traction can be 20% of the vertical traction. Taramoeroa and de Pont [14] indicated that the magnitude of the horizontal loads for a non-steering wheel in a cornering maneuver can be as high as 100% of the vertical loads, the percentage of the horizontal loads transferred as horizontal traction dictated by the coefficient of friction. Perret [15] carried out stress-strain analysis using the finite-element method and reported that horizontal traction applied on the pavement surface has a non-negligible effect on the near surface stress regime. Novak et al. [16] used the tire-pavement contact stress distribution data from Pottinger [17] to evaluate the effect of horizontal load and concluded that horizontal traction causes high transverse shear stresses in the top asphalt layer. All the above investigations assumed that all the pavement layers were linearized elastic in nature. In this study, a linearized viscoelastic model was used to model the mechanical response of the asphalt layers while a Drucker-Prager model with a linearly hardening rule was used to model the elastic-plastic mechanical response of the base layer.

### 3 Finite Element Model

A typical pavement cross-section corresponding to a design traffic of 150 million single axles and a California bearing ratio of 10, suggested by the Indian Roads Congress design guideline IRC-37:2001 [18] was selected. The selected cross-section consisted of two asphalt layers and three granular layers and the geometry of the pavement model is shown in Figure 1. The top two asphalt layers are Bituminous Concrete (BC) and Dense Bituminous Macadam (DBM). The dimensions of the finite element model are  $5 \times 5 \times 1.25$  m. An 8-node linear brick element (C3D8R) with reduced integration and hourglass control was used to mesh the pavement model and the final pavement model consisted of 57072 elements. The finite element package, ABAQUS [19] was used to model the pavement system and to carry out the finite element analysis. It was assumed that there is no slip between the layers. The materials properties are chosen from the literature and are shown in Table 1, 2 and 3. A Poisson's ratio of 0.35 was assumed for the materials of all the layers. The viscoelastic material properties of the asphalt layer correspond to a temperature of 40 °C. The materials of the sub-base and the sub-grade were assumed to exhibit linearized elastic behavior.

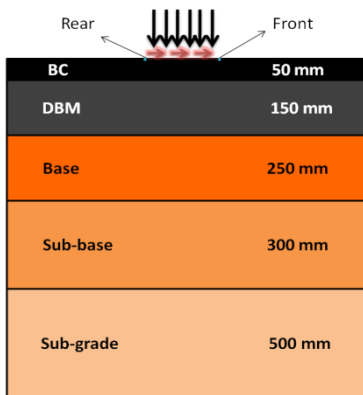


Fig. 1. Model geometry (Not to scale)

Table 1. Elastic Material properties [20]

Material	(MPa)
Bituminous Concrete(BC)	4479
Dense Bituminous Macadam(DBM)	3956
Base	200
Sub-base	150
Prepared sub-grade	60

Table 2. Viscoelastic material properties in terms of Prony series parameters [20]

Asphalt Layer	$g_1$	$g_2$	$\tau_1$	$\tau_2$
BC	0.674	0.326	0.116	2.811
DBM	0.232	0.768	0.096	1.355

Table 3. Drucker-Prager model parameters for the base layer [21]

Shear Criterion	Linear
Hardening Behaviour	Compression
Angle of Friction	40 °
Dilation Angle	40 °
Flow Potential eccentricity	0.1
Flow Stress Ratio	1
Yield Stress (kPa)	610
Absolute Plastic Strain at yield	0

## 4 Load Cases

The stress-strain analysis was carried out for different ratios of horizontal to vertical traction. A constant pressure of 650 kPa was applied on a circular area in the vertical direction and the horizontal traction was varied from 0 to 100% of the vertical traction in steps of 25% (Table 4). All the tractions were applied uniformly over a circular area of radius 16 cm. The horizontal traction was assumed to act in the direction of movement of the tire. The magnitude of the vertical traction assumed here corresponds to the contact pressure transferred for a standard axle load in pavement design.

**Table 4.** Load cases

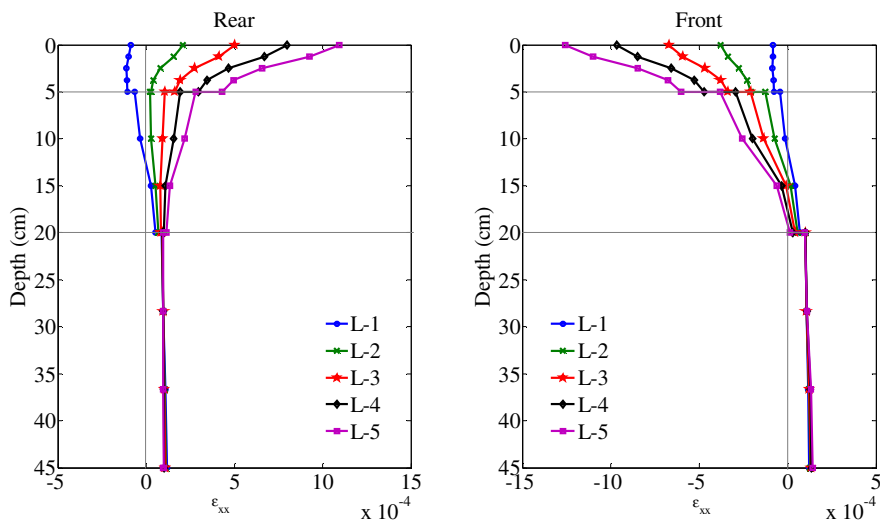
Load Case	Vertical traction (MPa)	Horizontal traction (MPa)
L-1	0.6500	0.0000
L-2	0.6500	0.1625
L-3	0.6500	0.3250
L-4	0.6500	0.4875
L-5	0.6500	0.6500

It is well known that during the movement of the tire on the pavement, the pavement is subjected to horizontal traction (longitudinal and transverse) over and above the vertical traction. Experimental investigation conducted several decades back [22] have clearly shown that one can simulate the response of pavement due to vertical traction alone by assuming a haversine load form acting in a specific zone of influence. What is not known, however, is the extent and the manner of variation of horizontal traction at a specific point in the pavement structure during the movement of the tire. In the investigation reported here, we assumed that the horizontal and vertical traction vary in the same manner for simulation purposes and a loading time of 5 s and a rest period of 5 s was given for both the tractions. The load was applied for ten cycles. However, as can be seen later, the state of stress is tensile at the rear of the tire and compressive at the front due to horizontal traction and as the tire moves on the surface of the pavement, the tensile and compressive stresses is expected to vary in a cyclic manner.

## 5 Results and Discussion

It was found that of all the strains the horizontal tensile strain in the direction of application of the horizontal traction,  $\epsilon_{xx}$ , is the most influenced by the application of horizontal traction. Therefore, the variation of  $\epsilon_{xx}$  was studied in detail. The results of some of the simulations are shown in Figures 2 to 5. It is to be noted that in all these figures, tensile stresses and strains are taken as positive.

Figure 2 shows the variation of  $\epsilon_{xx}$  with depth after 5 s of loading to the front and rear of the loading area. Considerable horizontal tensile strains were produced at the surface of the asphalt layer when horizontal traction was considered. These horizontal strains at the surface are tensile to the rear of the loaded area and compressive to the front of the loaded area. It is interesting to note that for L-1, at  $t=5$  s, the maximum tensile strain occurs at the bottom of the DBM layer whereas for L-2 to L-5, the maximum tensile strains occur at the top of the BC layer. It can be observed from Figure 2 that the influence of application of horizontal traction is restricted to the asphalt layers and is negligible in the granular layers.



**Fig. 2.** Variation of  $\epsilon_{xx}$  for different ratios of horizontal to vertical traction at  $t=5$  s

Figure 3 and 4 shows the variation of  $\epsilon_{xx}$  at different instants of time for L-1 and L-5. It can be seen from Figure 3 that for L-1, during the first cycle of loading, the maximum tensile strains occur at the bottom of the DBM layer. With the application of subsequent cycles of loading, it was observed that the maximum tensile strains occur at the top of the BC layer. Tensile strains were produced at the top of the BC layer even for L-1, the load case where no horizontal traction is applied. This correlates well with the observations of Kim et al. [2] who have showed that when the viscoelastic nature of the asphalt layers is accounted for, tensile strains at the top of the asphalt layer can be observed with the increase in the number of load applications. It should be pointed out that when the multi-layered elastic theory is used, tensile strains are predicted at the bottom of the asphalt layer and only when the viscoelastic nature of the asphalt layer is considered, for all the load cases from L-1 to L-5, tensile strains can be predicted at the top of the asphalt layer. For L-5, it can be observed that the horizontal strains to the rear of the load are tensile right from the first load cycle. The strain at the top of the BC layer increases at a much faster rate, thereby leading to considerably high tensile strains at the end of ten cycles.

In highways, the speed of the vehicle and the inter-arrival time of vehicles are all random in nature. Due to the repetitive nature of traffic loading, the materials in the layers are subjected to cycles of loading/unloading. In the rest period between load applications, stress relaxation and strain recovery takes place. The manner in which the pavement recovers all the strain or relaxes all the stresses depends on the rate at which these processes occur as well as on the inter-arrival time of the next vehicle. If the subsequent vehicle arrives before all the strain recovery takes place, accumulation of strain takes place. Hence, how the strain recovery takes place during rest period gives a fair idea about the likely strain accumulation.

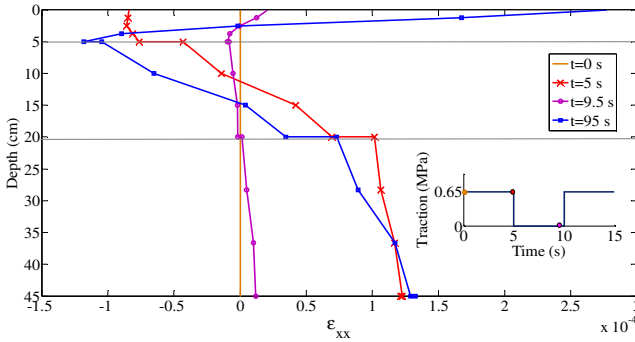


Fig. 3.  $\epsilon_{xx}$  at different instants of time to the rear of the load for L-1

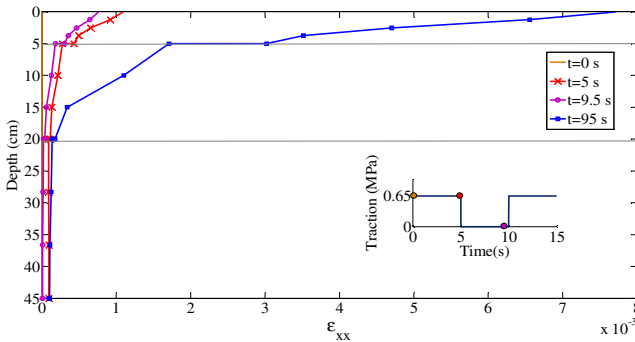


Fig. 4.  $\epsilon_{xx}$  at different instants of time to the rear of the load for L-5

In the investigation, the strain variation with time was studied at four locations in the BC layer and is shown in Figure 5. It was observed that the strain accumulation at the top is much higher than the strain accumulation at the bottom of the BC layer. There is a tensile strain accumulation to the rear of the load and compressive strain accumulation to the front of the load. The application of horizontal traction significantly increased the rate of strain accumulation. The accumulation of the tensile strains at the top of the asphalt layer can eventually lead to initiation of top-down cracks.

## 6 Pavement Distress Model

In the mechanistic-empirical pavement design procedure, the mechanistically determined pavement response is used in pavement distress models to predict the performance of the pavement. The pavement performance predicted by these pavement distress models depends on the strain measures used and if the strain measures are not realistic enough the distress prediction may be too conservative or otherwise. The strain measures that are determined using the stress-strain

analysis depend to a great extent on the material properties and the loading condition assumed. When the asphalt layers are assumed to exhibit viscoelastic response, the state of stresses and strains is markedly different from the case where the asphalt layers are assumed to exhibit elastic response. Similarly, when horizontal traction is considered in addition to vertical traction, the state of stresses and strains and the manner in which the strains are accumulated and recovered are completely different from the case where only vertical traction is assumed. It will be interesting to observe the pavement performance prediction by the currently used pavement distress models by using the increased strains obtained by considering horizontal traction. The strains obtained from the finite element analysis were used in typical top-down crack prediction models and the influence on the number of repetitions to failure was analyzed.

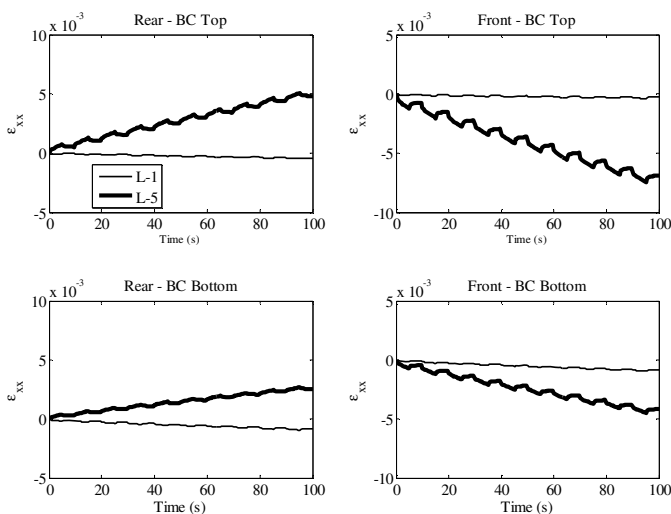


Fig. 5. Strain evolution with time

The pavement distress model to predict fatigue cracking suggested by the M-E PDG guideline [23] is of the following form:

$$N_f = Ck_1 \left(\frac{1}{\epsilon_t}\right)^{k_2} \left(\frac{1}{E}\right)^{k_3}, \tag{1}$$

where,  $N_f$  = number of repetitions to fatigue cracking,  $\epsilon_t$  = tensile strain at the critical location,  $E$  = Young’s modulus of the material,  $k_1, k_2, k_3$  = laboratory regression coefficients and  $C$  = laboratory to field adjustment factor. It is interesting to note that instead of using the tensile strains at the bottom of the asphalt layer, the tensile strains at the critical locations are used in M-E PDG [23]. These critical locations generally occur at the surface of the asphalt layer resulting in top-down cracking or at the bottom of the asphalt layer which can be related to

bottom-up cracking. The model suggested by Asphalt Institute [24] is of the following form:

$$N_f = 0.00432C \left(\frac{1}{\epsilon_t}\right)^{3.291} \left(\frac{1}{E}\right)^{0.854}, \tag{2}$$

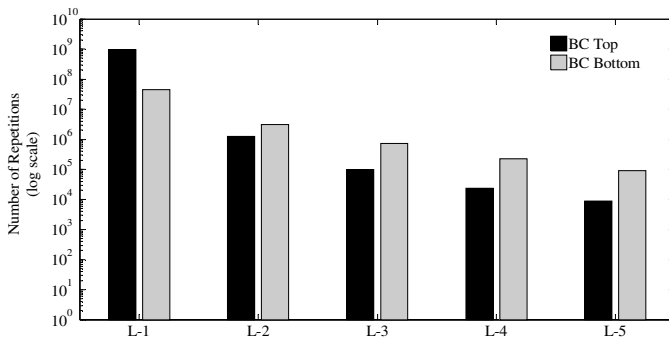
$$C = 10^M, \tag{3}$$

$$M = 4.84 \left(\frac{V_b}{V_a + V_b} - 0.69\right), \tag{4}$$

where  $V_b$  = effective binder content (%) and  $V_a$  = air voids (%). Assuming an effective bitumen content of 5% and air voids of 3%, one can rewrite eqn. 2 as,

$$N_f = 0.002093234 \left(\frac{1}{\epsilon_t}\right)^{3.291} \left(\frac{1}{E}\right)^{0.854}. \tag{5}$$

Using the Asphalt Institute model (Eqn. 5) and the maximum tensile strains at the top and bottom of the BC layer at time  $t=5$  s, the allowable number of repetitions  $N_f$  are calculated and shown in Figure 6. The authors recognize the fact that the pavement distress model used here is calibrated for a particular temperature and not for the temperature of the asphalt layer assumed in this study (40 °C). Also, the pavement distress models are calibrated by assuming only vertical traction. Therefore the results shown in Figure 6 should be read in a qualitative manner. The difference between the numbers of repetitions predicted based on the strains at the top and bottom of the BC layer in some cases is of the order of  $10^3$ . It can be seen that there is considerable decrease in the allowable number of repetitions when horizontal traction is considered. For L-1, the allowable number of repetitions based on the strains at the bottom of the BC layer is much lower when compared to the number of repetitions based on the strains at the top of the BC layer and hence governs the design. However, for L-2 to L-5, the allowable number of repetitions based on the strains at the top of the BC layer governs the



**Fig. 6.** Allowable number of repetitions as per the Asphalt Institute model

design. This finding highlights the importance of considering horizontal traction and the importance of considering the critical location of the strains while predicting pavement performance. The critical location for L-1 is at the bottom of the BC layer whereas for L-2 to L-5 is at the top of the BC layer.

## 7 Summary and Conclusions

When a vehicle moves on the surface of a pavement considerable amount of horizontal traction is induced on the pavement by the tire. This horizontal traction is not accounted for by current pavement design methods that are based on the multi-layered elastic theory framework. The multi-layered elastic theory predicts the critical tensile strains at the bottom of the asphalt layer when vertical traction is applied. When the viscoelastic nature of the asphalt layer is considered, tensile strains are produced at the surface of the asphalt layer, albeit, the strains at the top of the asphalt layer become tensile only after a number of load repetitions. In addition to considering the viscoelastic behavior of the asphalt layers, when both vertical and horizontal traction are applied, tensile strains can be seen at the surface of the asphalt layer right from the first cycle of load application. Moreover, the critical tensile strains occur at the top of the asphalt layer and not at the bottom of the asphalt layer. Distresses such as top-down cracking are due to crack initiation at the top of the asphalt layer and can be attributed to the high tensile strains at the top of the asphalt layer. Only when proper constitutive models that capture actual material response as well as realistic load conditions are used, can tensile strains be predicted at the top of the asphalt layer. Besides, the state of stresses and strains and the manner in which the strains accumulate are entirely different when horizontal traction is considered. Pavement distress predictions can be misleading if horizontal traction is not considered in the stress-strain analysis.

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# Predicting the Performance of the Induction Healing Porous Asphalt Test Section

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**Abstract.** The induction healing concept of porous asphalt was developed at Delft University of Technology and was proven very successful in the laboratory. A porous asphalt test section with this self healing concept was also paved on Dutch highway A58. This special porous asphalt contained 4% steel wool (by volume of bitumen). A number of cores were drilled from this test section to predict its performance. Beams were also prepared with the same materials as used in the test section. Experiments were done on these specimens to study the mechanical, heating and healing properties. It is found in Cantabro test that the particle loss resistance of porous asphalt concrete is improved by addition of steel wool. The improvement in particle loss resistance will delay ravelling on the pavement. It is also proven that the cores containing steel wool can be heated quickly with induction energy. Finally, it is found that the fatigue life of the beams is extended greatly by applying induction heating during the rest period. The damage (cracking) in the porous asphalt beams is healed by induction healing. Based on these findings, it is concluded that the life time of the test section will be extended by the reinforcement of steel wool and induction heating.

**Keywords:** porous asphalt, test section, steel wool, induction heating, self healing.

## 1 Introduction

Porous asphalt concrete is used very commonly as a surface material on Dutch motorways. At present, about 90% of the Dutch motorways are surfaced with porous asphalt wearing course. Noise reduction, driving comfort and driving safety are the main reasons for this extensive application of porous asphalt wearing course. However, the durability of porous asphalt wearing course has been a matter of concern, because the attractive features of porous asphalt do not last long due to clogging, stripping, and accelerated aging. Ravelling, which is the loss of aggregates from the road surface, is the main defect on porous asphalt surface wearing course [1, 2]. When ravelling occurs, the acoustical benefits and

skid resistance of porous asphalt are diminished. Moreover, Ravelling requires early maintenance. It is report that ravelling, in about 76% of the cases, is the cause for maintenance or renewing of the top layer [1]. Duo to ravelling, the service life of porous asphalt is much shorter than that of dense graded asphalt road. To extend the lifetime of porous asphalt, ravelling should be prevented.

Asphalt concrete can repair the damage autonomously. Asphalt concrete has a potential to restore its stiffness and strength, when subjected to rest periods. This self healing capability of asphalt concrete has been shown both with laboratory tests and in the field since 1960s[2-6]. As a consequence of healing, asphalt concrete can restore its stiffness and strength, and extend its fatigue life when subjected rest periods. Besides, self healing of asphalt concrete is a temperature dependent phenomenon. The temperature sensitivity of the self healing rate of asphalt concrete is highly non-linear[7]. An increase in the temperature increases the healing rate and shortens the time needed to full healing. Grant implied that, healing is immediate above a certain temperature [8]. So, it is logical to enhance the self healing rate of asphalt concrete by increasing the temperature. As a result of healing, cracks can be closed and the durability of the pavement will be improved.

To enhance the self healing rate of porous asphalt concrete, an induction healing approach was developed in Microlab, Delft University of Technology. The idea is to make porous asphalt mixture electrically conductive by addition of steel wool. When micro cracks occur in the mortar of porous asphalt pavement, induction heating will be used to heat the pavement to activate the self healing capacity of asphalt concrete. The cracks will be closed because of the high temperature self healing of bitumen and the lifetime of the pavement will be extended. This approach, using induction heating to activate and enhance the self healing rate of porous asphalt concrete, is named induction healing.

In the previous laboratory experiments, it has been proven that steel wool can reinforce porous asphalt concrete by increasing its particle loss resistance, indirect tensile strength, and fatigue resistance [9, 10]. The reinforcement of steel wool will delay ravelling and cracking on the pavement. It was also found that the self healing potential of steel wool reinforced porous asphalt was significantly improved by induction heating [11, 12]. The enhanced healing potential will also prevent ravelling. Considering the reinforcement of steel wool and the enhanced induction healing rate, it can be expected that ravelling on the pavement will be delayed via induction heating.

To apply this induction healing approach, an induction healing porous asphalt test section was paved on Dutch motorway A58 near Vlissingen in December 2010. This special porous asphalt mixture contained standard porous asphalt PA 0/16 and 4% steel wool (by volume of bitumen). The test section survived the cold winter of 2010-2011 perfectly and no damage or even small cracks could be observed by the inspection of experts.

To predict the performance of this test section, a large number of cores ( $\phi 100$  mm  $\times$  50 mm) were drilled from this test section. For comparison purpose, reference cores without steel wool were also drilled. Besides, beams ( $50 \times 50 \times 400$  mm<sup>3</sup>) were prepared by the contractor Breijn-Heijmans with the same materials as used in the test section. Experiments were done on these samples to study their mechanical, heating and healing properties.

## 2 Experiments

### 2.1 Particle Loss Test

For durability purpose, porous asphalt wearing course should have good particle loss (ravelling) resistance in itself. The particle loss resistances of the steel wool reinforced cores and reference cores were compared with Cantabro test. The test was done at 21.5 °C in a Los Angeles abrasion machine without steel balls according to the European Norm EN 12697- 17. Each specimen was initially weighed ( $W_1$ ) and placed separately into a Los Angeles drum. Thereafter, each specimen was weighed again after 300 revolutions of the drum ( $W_2$ ) in order to determine the weight loss during testing. To check reproducibility of the experiment, the tests were repeated five times for both mixtures. The test results were expressed as a percentage of weight loss in relation to the initial weight ( $W_2/W_1$ ) This weight loss is an indication of the cohesive properties of the mix. Lower weight loss means better cohesion and better ravelling resistance.

### 2.2 Induction Heating Speed Measurement

To make induction heating work, the test section should be induction heated at an acceptable heating speed. To check if the cores from the test section can be heated with induction energy, the induction heating experiments were performed using a RF-generator big 50/100 (Hüttinger Electronic, German) at a frequency of 70 kHz. The distance between the sample and the coil of the induction generator was 10 mm. The cores were induction heated for 3 min. A full colour infrared camera was used to monitor the temperature variations of the sample during heating.

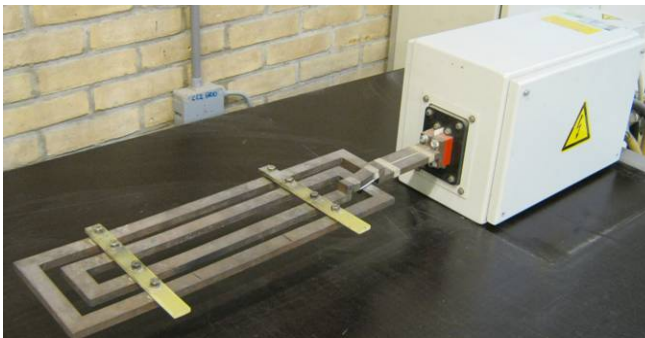


Fig. 1. The induction generator used in this research

### 2.3 Induction Heating Effect Detection

As there is no permanent deformation during four point bending fatigue test, beams instead of field cores were used to study the induction heating effect of porous asphalt concrete. Firstly, porous asphalt concrete beams were damaged with a strain amplitude of 300 microstrain (8Hz) in four point bending test. The fatigue criterion was half reduction in the stiffness. Then the beams were

induction heated to 70 °C/85 °C/100 °C and rested at 20 °C for 18 hours or directly rested at 20 °C/ 5 °C for 18 hours. Finally the extra fatigue lives of the healed beams were measured again with the same microstrain. After the test, the fatigue life extension ratio (the extra fatigue life divided by the original fatigue life) was used as a healing indication. This experiment was also conducted on aged beams (10 days ageing at 85 °C in the oven). This ageing method was equivalent to 5 years field ageing [13].

The possibility of multiple times induction heating was also examined to show that induction heating could be repeated when cracks return. A strain amplitude of 300 microstrain, at a frequency of 8 Hz, was applied on the beams for 50,000 cycles. Then, the samples were induction heated to 85°C and rested for 18 h or directly rested for 18 h for the first time. After that, another 50,000 cycles fatigue loading was applied on the beams, followed by the second time heating/resting or resting alone process. The damaging, heating/resting or resting alone and re-damaging process was repeated a few times. Finally, the beams werefatigued until the stiffness reduced to half of its initial value.

### 3 Results and Discussion

#### 3.1 Particle Loss Resistance of the Cores

The particle loss values of the reference cores and steel wool reinforced cores are presented in Figure 2. The particle loss of reference cores scatters more than that of steel wool reinforced cores. The average particle loss value of five measurements is used as an indication for ravelling resistance. The average particle loss of steel wool reinforced cores is 23.6%, much lower than that of references cores 34.7%. So, steel wool improves the particle loss value of porous asphalt concrete. As a result, ravelling on the test section will be delayed.

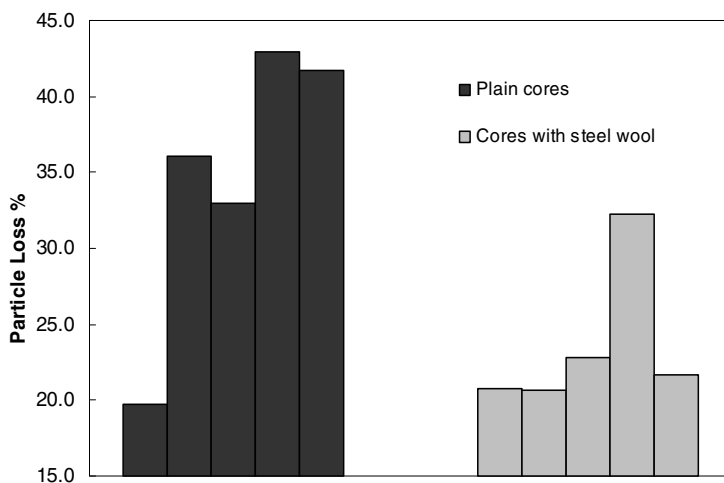
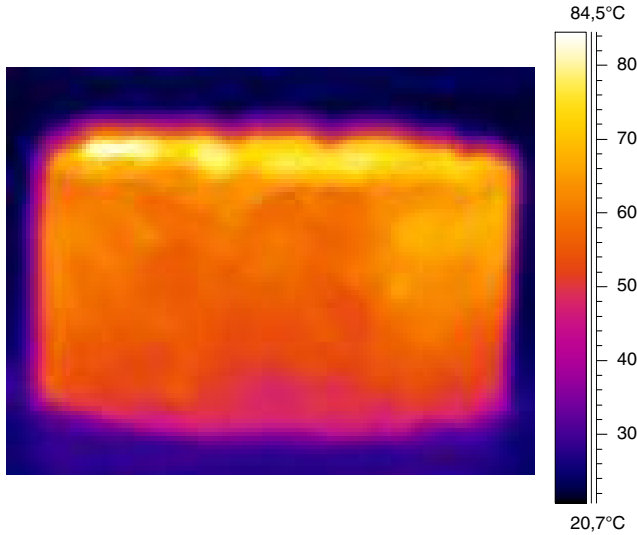


Fig. 2. Particle loss values of the reference and steel wool reinforced cores



**Fig. 3.** Infrared image of the heated field core

### 3.2 Induction Heating Speed of Field Cores from the Test Section

To have a better understanding of induction heating, an infrared image of the heated core is shown in Figure 3. As ravelling is a surface defect on porous asphalt wearing course, the temperature at the surface of the pavement is important for ravelling. So, after the experiments, the mean heating speeds at the surface of the samples were calculated (the temperature increase divided by the heating time). Given the heating speed, the heating time needed to get a certain temperature can be calculated. The heating speeds of three steel wool reinforced cores were measured and the results are summarized in Table 1. The average value of the three measurements is 0.3442 °C/s. With the induction heating speed, it takes 190 s to heat the sample from 20°C to 85 °C with the temporary heating machine. The heating speed can be improved more by optimizing the induction coil, which is currently being investigated by the research partner SGS-Intron. In the latest study, the field cores can be heated at a great speed of 2.5°C /s with another heating device in the induction generator manufacture company in Germany.

**Table 1.** Induction heating speed of the steel wool reinforced cores

Heating speed 1	Heating speed 2	Heating speed 3	average heating speed
0.3144 °C/s	0.3383 °C/s	0.38 °C/s	0.3442 °C/s

### 3.3 Induction Healing Effect of the Beams

Figure 4 shows how fatigue life extension changes as a function of resting/heating temperature. As shown in Figure 4, the fatigue life extension ratio of the beams (healing) is very temperature dependent. The fatigue life extension ratio is quite low at a low resting temperature of 5 °C and increases with increase of the resting temperature. When the heating temperature increases from 70 °C to 85 °C, the healing is improved strongly. The increment of fatigue life extension ratio is a proof of induction healing. After that, further increase of the heating temperature to 100 °C results in a decrease of the fatigue life extension ratio. The reason for this decrease can be attributed to the geometry damage and the binder drainage problem caused by overheating. In this case, a swelling problem appears in the mortar of the sample, because the mortar cannot bear the excess expansion caused by temperature increase. Besides, the binder in the sample tends to drain down at such a high temperature, reducing the healing rate. Based on the results, it is concluded that 85 °C is the optimal heating temperature for best healing effect.

However, these healing effects are not complete. The first reason for this is that the temperature increment after induction heating is very limited, because the temperature decreases to the resting temperature of 20 °C very quickly in 3 hours. The temperature difference is only in the first 3 hours, so the healing increment is limited. Another reason for the limited increment of healing can be attributed to the temperature gradient in the sample. The sample is fully damaged over its height, but induction heating tends to only heal the damage in the top part of the beam, where the temperature is much higher than in the lower part after induction heating. The tendency of healing the surface damage is just what we need to prevent surface ravelling without damaging the stone structure in the lower part.

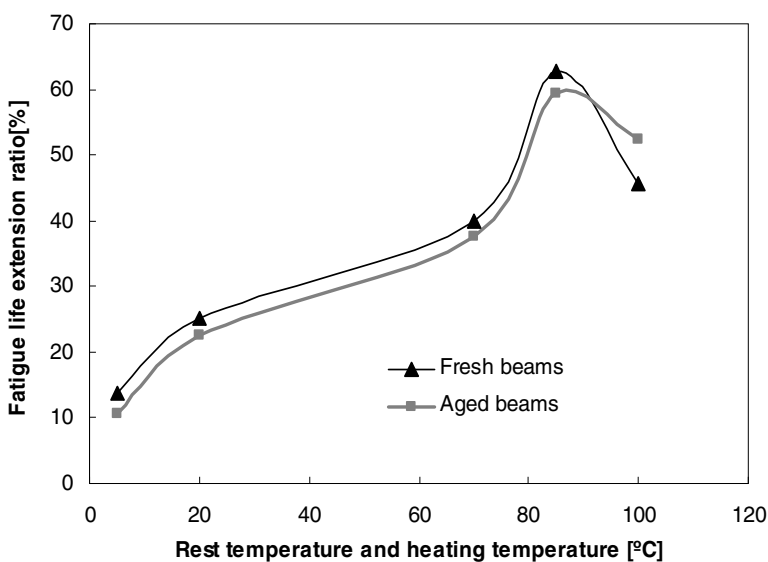


Fig. 4. Fatigue life extension of the beams against rest and heating temperature

It also can be seen from Figure 4 how ageing influences the fatigue life extension ratio of the beams. Ageing slightly decreases the fatigue life extension ratio by 3%. It means that ageing don't decrease the healing rate of porous asphalt concrete too much. As for the severe ravelling of aged porous asphalt wearing course, the cumulated damage during the service (ageing) process caused by traffic and environmental loading plays an important role. With a heating temperature of 100 °C, the healing rate of the ageing beam is higher than that of fresh beam. The reason for this can be attributed to ageing hardening behaviour of the binder. With ageing, the binder becomes harder and its softening point increases, reducing the binder drainage at 100 °C. As a result of less binder drainage, the healing of aged beam increases a bit.

The original fatigue curve, the natural healing (with rest periods) modified curve and the multiple times induction heating modified fatigue curve of the samples are compared in Figure 5 to show the fatigue life extension caused by multiple times induction heating. The original fatigue life of the sample is 95,700 cycles. With rest periods alone, the sample was fatigued after resting two times. The fatigue life of the sample with natural healing is 149,860 cycles, showing a fatigue life extension ration of 56.7%. With 4 times damage loading of 50,000 cycles followed by 4 times induction heating and resting, the modified fatigue life of the sample is 277,720, which is much longer than the original fatigue life and natural healing modified fatigue life. The fatigue life extension ratio in this case is 190%. It is definite that multiple times heating can greatly extend the fatigue life of porous asphalt concrete. It can be expected that the test section with the same materials as the beams will show a better healing effect with induction heating.

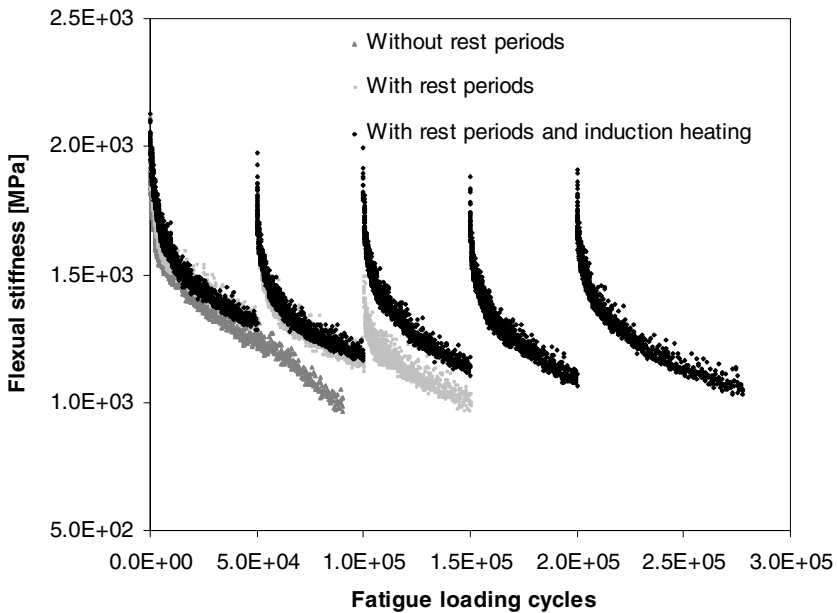


Fig. 5. Fatigue curves with and without rest periods and heating



## 4 Conclusions

Based on the results of the experiments, the following conclusions are drawn: 1) The Particle loss (ravelling) resistance of porous asphalt concrete is improved by addition of steel wool, which will delay ravelling on the test section. 2) Porous asphalt cores from the test section can be heated at a speed of  $0.3442^{\circ}\text{C/s}$  with the induction generator used at present. 3) The healing effect of porous asphalt beams is greatly enhanced by induction heating, so induction heating can be used to prevent ravelling on the test section. 4) The optimal heating temperature is  $85^{\circ}\text{C}$  for best healing effect. 5) Ageing slightly decreases healing of porous asphalt concrete. 6) Multiple times heating can greatly extent the fatigue life of porous asphalt concrete. 7) It can be expected that the durability of the test section will be improved by the reinforcement of steel wool and induction heating.

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# Determining the Healing Potential of Asphalt Concrete Mixtures--A Pragmatic Approach

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**Abstract.** Most design methods for pavements use a factor explaining the difference between pavement life predictions from design models and performance in the road [1]. Part of this correction factor is healing, the natural capacity of asphalt concrete to recover in rest periods, which generally are not present in laboratory fatigue tests in order to limit the test time. In the design method used for Dutch highways [2] a shift factor of 4 is traditionally used. This factor is based on in-practice behaviour of pavements with mixes using straight run bitumen of limited softness (mostly 40/60 and 70/100 pen bitumen). Currently many developments regarding polymer and chemical modification and the use of hard binders raise questions about the value of the shift factor for these mixtures as well as questions about how much of this factor is actually related to the healing potential of the mixes.

In the project described in this paper it is investigated whether the four point bending test used to assess the fatigue resistance in the European standard can be used in a discontinuous way to determine the healing capacity of a mix. In order to do this, a set of continuous and discontinuous tests was performed on two mixes that deviate only in the softness of their binder, 70/100 or 10/20. Although the approach appears promising in its simplicity and the consistency of the results, finding the correct interpretation remains difficult and may require linking the pragmatic with more fundamental understanding of the mechanism behind healing.

## 1 Introduction

The self healing capacity of bitumen and bituminous mixtures is a well known phenomenon, which is an integral part of most design methods [1]. In the design method used by Rijkswaterstaat in de the Netherlands [2], healing is part of a factor that describes the differences between laboratory results and practice. Originally, in the early eighties, this factor was derived by calibrating the design models to performance in reality, resulting in a factor 4. For a standard structure, this results in a reduction of pavement thickness of about 6,5 cm, which means that the pavement of a standard highway with two lanes and an emergency lane is over 100.000 euro's per kilometer cheaper than if the healing was not taken into account (healing factor equals 1).

The standard mixtures used at the time were all designed using straight run penetration binders with penetrations between 40 and 100 x0,1mm. Over the years, other binders came into use, as well as the use of reclaimed asphalt. The variety in mixtures makes a laboratory-practice calibration impractical and calls for a sound laboratory testing method to take into account the healing capacity of mixes in order to obtain a technically sound, but cost-effective pavement.

For this reason, a project was initiated to assess if the current European standard test for fatigue that is used in the Netherlands (four point bending test, EN 12697-24 – Annex D), could also be used to determine the healing potential of a mixture. The aim was to develop a pragmatic test that could be part of the updated CEN standards, allowing the healing capacity to be assessed in any road engineering laboratory with standard four point bending equipment.

It was hoped that by determining both fatigue and healing under similar conditions, the problem of assessing two parameters in design under different conditions could be circumvented. Because of the variety in results from healing research [3,4], and the fact that the shift factor between laboratory and practice contains more than just healing it is difficult to define which results to expect from the test. However, there is wide spread agreement that softer binders have a higher healing capacity than harder ones. Therefore, the tests were carried out on two mixes that differed only in the type of binder used: one 70/100 penetration and one 10/20 penetration binder and the test would be considered potentially useful if the 70/100 mixture exhibited significantly more healing than the 10/20 mixture.

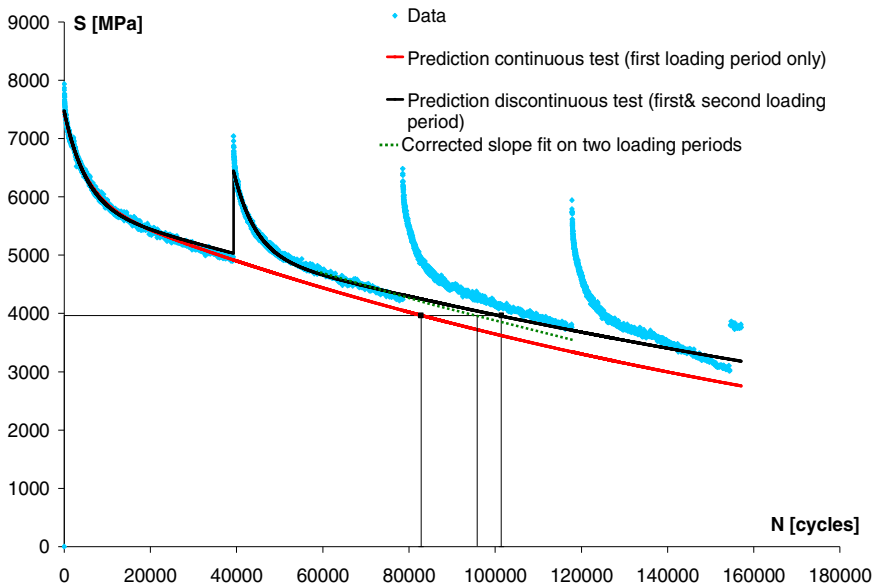
## ***1.1 Initial Approach***

It may seem logical to compare specimens tested with and without rest periods to determine the healing capacity of an asphalt mixture. However, the large natural variation of fatigue life between specimens would require a large number of tests for a reliable result. Therefore, in this project it is attempted to determine healing potential using a single specimen.

For the analysis, the Partial Healing model [5] was chosen initially. This model was developed to describe the behaviour of asphalt concrete in four point bending tests and describes the evolution of the stiffness in this test. As the name states, the model contains a certain healing component. Originally Pronk expected this model to predict healing based on a continuous test only. The model has the advantage that, unlike analyses methods based on dissipated energy [6] you do not need to measure the full response. The maximum load, and deflection per cycle are sufficient. That information, along with the phase angle, is standard output from most 4PB set-ups and as such it is something that can be used in a pragmatic approach.

The idea to use the PH-model to predict healing was based on a preliminary discontinuous four point bending test. Figure 1 shows the data from that test that was done at the Delft University of Technology. As can be seen from the measured data, the behaviour before the rest period consists of a first phase with an initially fast stiffness decrease which gradually slows down to a more or less constant decrease (the linear part) in a second phase. This will eventually be

followed by a third phase of progressive stiffness decrease, but we try to avoid this in the healing tests described here as we presume that healing predominantly occurs before this progressive deterioration sets in. After the rest period we see a marked increase in stiffness (recovery), followed by a decrease similar to that observed during the first loading period. The PH model can describe this effect, based on the data from the first loading part only. However, the model predicts that the stiffness after the rest period decreases in such a way that the second phase coincides with the linear part from the first loading step (the red line). As we can see from the data, the actual response does again reach a stage of linear decrease, but it does not fall on the red dotted line.



**Fig. 1.** Illustration of the determination of a healing factor on a single specimen

So, the PH model describes some stiffness recovery, but not all of it. The actual data stabilize at a higher stiffness level than the model predicts. This generated the idea that the PH model describes a visco-elastic phenomenon related to heating up and cooling down of the binder during the test and that the part of stiffness recovery that is not predicted, is the actual healing. This fits in with work done in France using 2PB tests, where it was also found that part of the recovery was a temporary stiffness recovery [7].

The “actual healing” we are looking for is the increase in fatigue life as the result of the rest period. Since a stiffness reduction of 50% is the end-of-life criterion in this test, this means that the number of load repetitions at which tests with and without rest reach 50% of the initial stiffness should be compared. However, running a test until this stiffness reduction is reached, leaving the

specimen to recover and then repeat the process is quite time consuming. For that reason, it was decided to run the test for a fixed number of cycles both before and after the rest period and to use the PH model to extrapolate the response. In this approach the model is first fitted on the first loading period only (red line). In the second step it is fitted on both loading periods including the rest period (black line). From the comparison between these two fits at 50% of the initial stiffness a healing factor can be determined. When this is applied to Figure 1 we find:  $N_1=82781$  and  $N_2=101397$ , which gives a healing of  $H=N_2/N_1=1.2$ . However, if we look at the fits and the data points, it can be seen that the healing value will be over-estimated because the second fit underestimates the slope of the linear response. This is due to the fact that the model expects only a temporary stiffness increase due to rest. Eventually, the stiffness is assumed to return to the original response, falling on the same straight line. Because of this, it fits a single linear line to describe the linear phase in both loading periods. Because in reality only part of the stiffness increase is lost again, fitting the same line to both linear branches results in a line that is tilted upward when compared to the actual data. The green dotted line shows the effect of using the same slope, while allowing for the increased stiffness level. This yields an  $N_2$  of 93440 cycles and a healing of 1.1. This bias in describing the data, together with some other complications in applying the model fit led to the decision to adopt a more pragmatic approach. This approach where the healing is determined directly from the test data, without the use of models or data fitting, which is described in this paper.

## 2 Test Program

As mentioned in the introduction, an objective reference frame for the healing test results does not really exist. The current factor 4 in the Dutch design method is based on a correlation with practice that entails not only healing, but multiple factors. For this reason, the mixes used varied only in the type of binder used, with the expectation that the mixture with the softer binder would exhibit considerably better healing characteristics than the one with a hard binder.

### 2.1 Mixtures Used

The mixture used was an AC 16 base mixture (EN 13108-1), usually this mixture has less binder (4,3% by mass) when made with the softer bitumen and more (5,6%) when made with the hard one, but to ensure that in the tests the differences could be attributed solely to the type of binder, in this case an intermediate binder content of 4.5% was used. The mix information is given in Table 1. As described above, the intention was to use straight run bitumen in both mixtures. However, during specimen production there was a disruption at the Q8 plant which meant the harder binder was not available. It was replaced by a non-straight run binder from another producer. Unfortunately, this was not discovered until after the tests, when additional research into the binders was being prepared. Obviously, this has

a significant impact on the project, to the extent where the assumption mentioned at the end of the introduction, that a 70/100 binder exhibits more healing capacity than a 10/20 mixture, may not necessarily hold true for these specific binders. This should be kept in mind when evaluating the healing factors found.

**Table 1.** Sieve curve and mix composition of the mixes used

Sieve curve, % m/m through sieve		Mix composition	70/100 mix	10/20 mix
sieve	% m/m	Material type	% m/m	% m/m
C22,5	100.0	Bitumen 70/100	4.50	-
C16	97.6	Bitumen 10/20	-	4.50
C8	69.0	Wigras 40K filler	5.41	5.41
C2	43.0	Production dust	0.96	0.96
C125 μm	8.0	Putman natural sand	34.47	34.47
C63 μm	6.0	Scottish granite 2/8	23.36	23.36
		Scottish granite 8/16	31.30	31.30

### 2.2 Test Conditions

The test conditions in the discontinuous test are presented in Table 2. Temperature and frequency are equal to the standard conditions of the EN 12697-24 Annex D. The strain level used in these tests is for both mixes their  $\epsilon_6$  value (i.e. the strain level at which the mixture can withstand  $1 \times 10^6$  load repetitions. This strain level was determined in continuous tests according to EN 12697-24 Annex D. The testing program used to determine  $\epsilon_6$  is shown in Table 3. The number of cycles in the loading period is determined on the basis of the continuous tests as well. It is chosen in such a way that it falls well within the linear branch, but remains sufficiently far away from the point of progressive damage. A rest period of 24 hours was selected as a workable rest period that, according to other researchers, sufficed to show healing [5, 8].

**Table 2.** Test conditions discontinuous tests

Pen mixture	10/20	70/100
Loading period	400,000 cycles	400,000 cycles
Rest period	24 h	24 h
Strain level	121 $\mu\epsilon$	102 $\mu\epsilon$
Temperature	20°C	20°C
Frequency	30 Hz	30 Hz

**Table 3.** Test conditions used for the determination of  $\epsilon_6$

Pen	# tests	strain level
70/100	3	100
70/100	3	150
10/20	1	105
10/20	2	15
10/20	3	140
10/20	2	150

### 3 Test Results

#### 3.1 Continuous Tests

The results of the continuous test are shown in Figure 2. From the figure it can be seen that one of the results for the 10/20 mixture deviates from the others to such an extent that it is considered to be an outlier. In the graph the point is shown with a circle around it. This point is not taken into account in the regression line fitted through the data. The  $\epsilon_6$  values for the two mixtures are 102  $\mu\epsilon$  for the 70/100 mixture and 121  $\mu\epsilon$  for the 10/20 mixture. The results are reported in detail in [9].

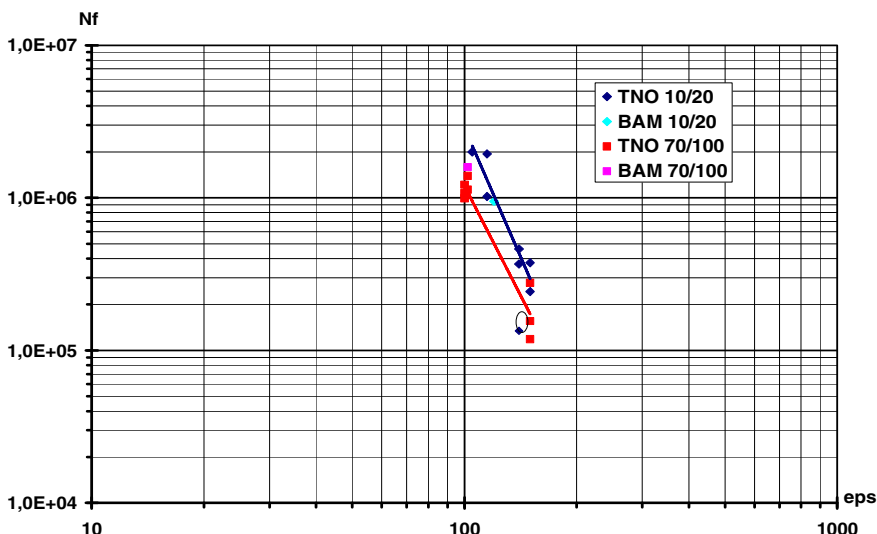


Fig. 2. Results from the continuous tests

#### 3.2 Discontinuous Tests

In most cases the mixtures showed the expected response (Figure 3). The 70/100 mixture, however, occasionally exhibited tertiary response within the 400.000 cycles of the first loading period. Since this occurred at a strain level where this mixture, on average, can withstand  $1 \times 10^6$  load repetitions, this clearly illustrates the large variability in four point bending test results. The complete series of test results is shown in [9].



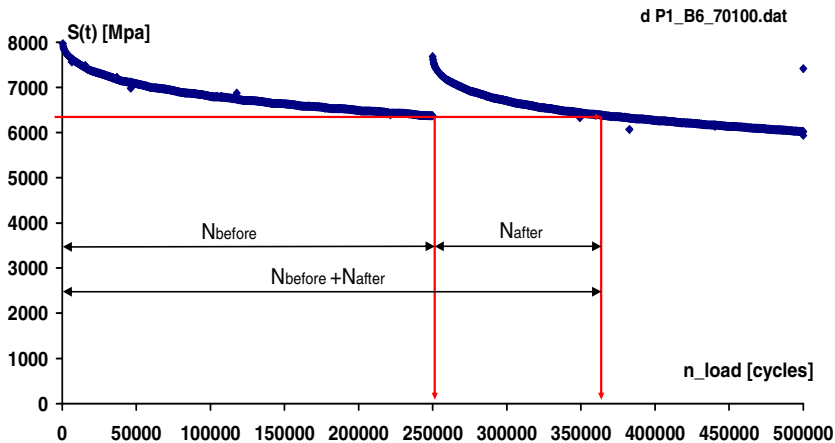


Fig. 3. Example of a typical discontinuous test (P1-B6-70100, 102  $\mu\epsilon$ )

### 4 Analysis

The approach that was used to determine the healing directly from the test data used the number of load repetitions needed for the stiffness in the second loading period to fall back to the stiffness at the end of the first loading period (Figure 3) as the increase in life time:  $H = (N_{before} + N_{after}) / N_{before}$ . This approach, that has also been used by other researchers [10], has the advantage that it allows for determining the healing capacity on a single specimen.

If the healing factor obtained using this approach is plotted as a function of the relative stiffness at the end of the first loading period, the graph in Figure 4 is obtained. The relative stiffness at any point can be seen as an indication of the amount of damage. That the healing capacity (in a fixed rest period) decreases when the damage is more extensive seems logical. The labels in this graph give the number of load repetitions until the rest period. Besides the original data points obtained with a loading period of 400.000 cycles there are additional points found using a different number of cycles or a given decrease in stiffness. These different conditions were used to get data throughout the healing-relative stiffness relation.

The consistency of the results in Figure 4 is striking considering the fact that the results are obtained in two different laboratories, at various damage levels (relative stiffnesses) and especially that in several tests the third phase behaviour had already set in at the start of the rest period. This consistency is attributed to the fact that the healing factor is determined upon a single specimen, excluding the effect of the huge scatter in fatigue life.

However this graph also raises the question at which relative stiffness  $S_{value} = S_{rest} / S_{ini}$  level the healing factor should be determined, and if this value should be different for different materials. Based on the approach described in this paper, three potential methods of comparison were considered.

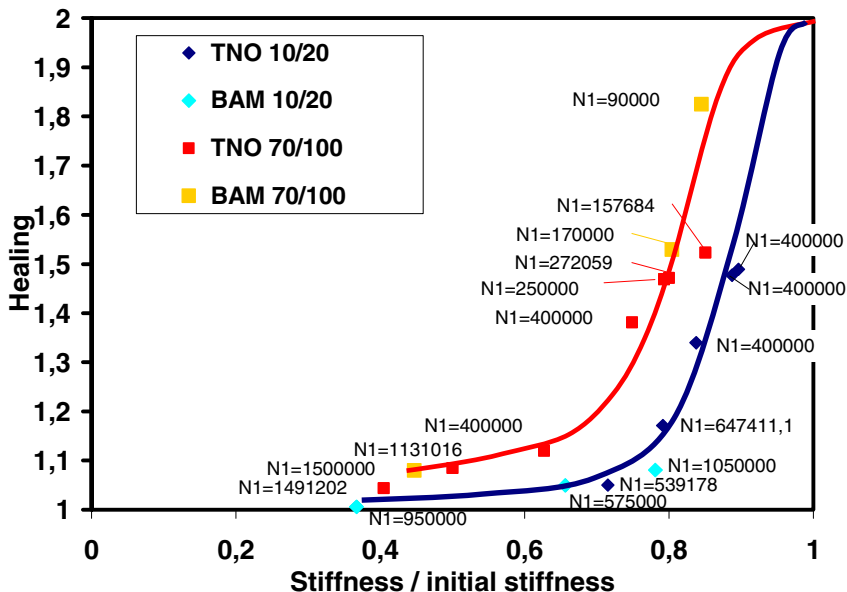


Fig. 4. Healing factors and their conceptual relation, using the pragmatic approach

First of all, compare the healing capacity at the end-of-life criterion in the fatigue test (50%  $S_{ini}$ ), since that test is the basis for the approach described in this paper and in pavement design the healing capacity is used in combination with the fatigue life.

However, this does not take into account the healing capacity at both intermediate stiffness levels, or at levels below 50%. The fact that 50% stiffness reduction proved to be a useful end-of-life criterion in fatigue testing does not automatically mean it is also appropriate for the assessment of healing capacity. Integrating the healing factor over the relative stiffness range between zero and 1 makes for a more general assessment. However, this second approach does not account for the different rates of stiffness decrease in the mixtures. As can be seen from the graphs in Figure 9 and Figure 10, the stiffness of the 10/20 mixture drops less at the onset of the test and decreases more gradually in the linear part. It is only near the end of the curve that the stiffness starts to decrease rapidly (tertiary response). When we only look at the healing capacity as a function of the relative stiffness, we may be underestimating mixtures that retain their stiffness longer: a mixture that has 50% less healing capacity at a stiffness level of 80%, but that takes three times more load repetitions to reduce the stiffness to 80% can still be the better performing mix. To allow for the influence of the stiffness decrease, a healing factor that is weighted for the shape of the stiffness decrease was calculated as well. First, the average normalized stiffness decrease was determined for both mixtures (graphs in Figure 6 and Figure 7). The graph was divided in ten sections and for the average  $N/N_f$  ratio in each section (the red vertical lines and left column in the tables) the corresponding relative stiffness ( $S/S_{ini}$ ) was determined (blue

markers and centre column). The healing capacity at those relative stiffnesses was determined from Figure 4 (right hand column in the tables). The overall healing is determined as the average value. This way, the healing is related to the life time through the rate of stiffness reduction. The values found using these three approaches are shown in Table 4.

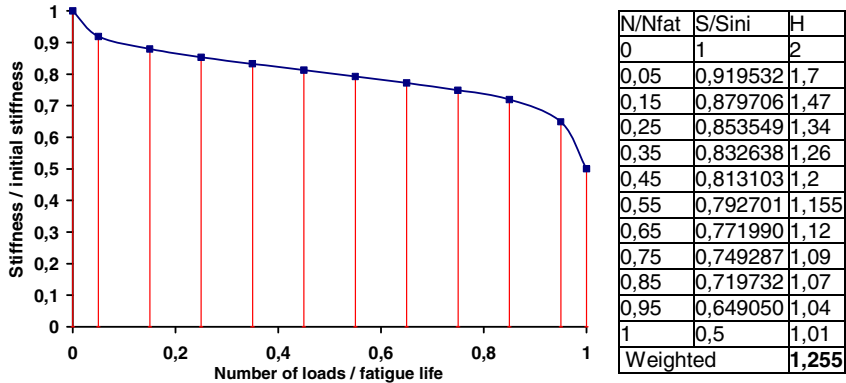


Fig. 5. Weighting of healing factor over stiffness decrease for 10/20 mix

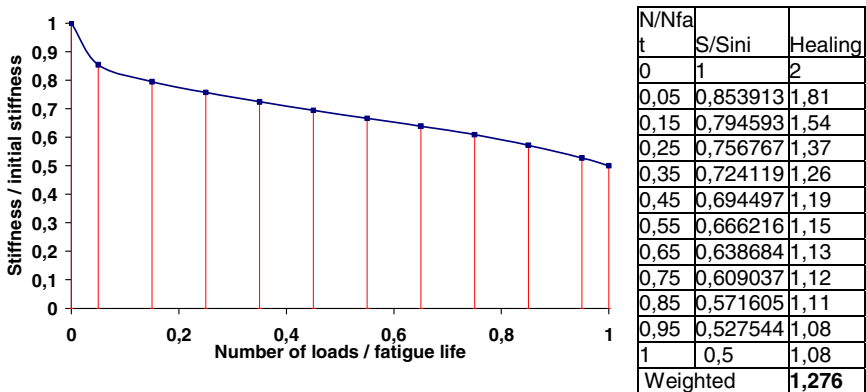


Fig. 6. Weighting of healing factor over stiffness decrease for 70/100 mix

Table 4. Values for healing using the three approaches described

	10/20	70/100	70/100:10/20
healing @ S/Sini=50%	1,01	1,08	1,07
healing integrated over S/Sini	0,13	0,25	1,86
average healing over life time weighted by stiffness	1,24	1,28	1,03

## 5 Conclusions and Further Research

The project described in this paper aimed at a pragmatic evaluation method of the healing capacity, not on understanding of the mechanism of healing itself as other researchers aim to do [6,11,12]. This approach is driven by the fact that healing is an important factor in pavement performance, yet it can not be effectively assessed at the moment. The pragmatic approach described seems promising in its simplicity and consistency and proved a wealth of information. However, it seems difficult to interpret that information without more fundamental understanding of the mechanism. Of the three approaches used to determine a healing factor based, two show hardly any difference between the two mixtures, while shows considerable difference. It is tempting to decide that in that case the approach that does distinguish between the mixtures must be the best one, because that is what we expected to find.

Especially since, due to an error in specimen production the differences between the mixtures were not as explicit as was intended. As a result, at the moment we cannot be sure which assessment of the healing capacity is the best one, nor whether the binders used should or should not be expected to perform differently in healing. Currently the binders that were used are being tested in more detail. Furthermore it is recommended to try and relate these pragmatic tests to more fundamental studies into healing in order to better understand the response. Getting results from specimens with the originally intended 10/20 binder and analyse those along with the data presented in this paper should also provide a clear indication of the usefulness of the approach. Those specimens should exhibit less healing than both mixtures described in this paper.

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# Asphalt Durability and Self-healing Modelling with Discrete Particles Approach

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**Abstract.** Asphalt is an important road paving material, where besides an acceptable price, durability, surface conditions (like roughening and evenness), age-, weather- and traffic-induced failures and degradation are relevant aspects. In the professional road engineering branch empirical models are used to describe the mechanical behaviour of the material and to address large-scale problems for road distress phenomena like rutting, ravelling, cracking and roughness. The mesoscopic granular nature of asphalt and the mechanics of the bitumen between the particles are only partly involved in this kind of approach. The discrete particle method is a modern tool that allows for arbitrary (self-)organization of the asphalt meso-structure and for rearrangements due to compaction/cyclic loading. This is of utmost importance for asphalt during the construction phase and the usage period, in forecasting the relevant distress phenomena and understand their origin on the grain-, contact-, or molecular scales. Contact models that involve visco-elasticity, plasticity, friction and roughness are state-of-the art in fields like particle technology and can now be modified for asphalt and validated experimentally on small samples. The ultimate goal is then to derive micro- and meso-based constitutive models that can be applied to modelling behaviour of asphalt pavements on the larger scales. Using the new contact models, damage and crack formation in asphalt and their propagation can be modeled. Furthermore, the possibility to trigger self-healing in the material can be investigated from a micromechanical point of view.

## 1 Introduction

Asphalt mixtures are composite materials that consist of solid particles, viscous binder/fluid (bitumen) and pores filled with air. The fluid in the mixture (that can be hot or cold, i.e. less or more viscous) lubricates the contact surfaces between the particles and can make movement of the particles easier [1]. The multiphase material has properties that depend on those of the original components. The physical properties of the skeleton (e.g. shape, surface texture, size distribution, moduli), but also the properties of the binder (e.g. grade, relaxation characteristics, cohesion) and

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binder–aggregate interactions (e.g. adhesion, absorption, physiochemical interactions) characterize the material behavior[2].When looking at asphalt, it makes sense to distinguish between three different length scales, i.e. micro-, meso- and macro-scale. The interaction between the mortar (composition of bitumen and the smallest particles) and a single large stone is defined as the micro-scale. The interaction of multiple stones of various sizes and the mortar is defined as the meso-scale. On the macro-scale, the behavior of the whole road is accounted for. Kinematics at different scales apparently governs the behavior of the material: to gain thorough knowledge of asphalt pavement behavior, one has to focus on the three length scales. As common practice in the professional asphalt branch, fundamental constitutive models able to describe the micro- and meso-mechanical behavior are hardly used. Large-scale problems are addressed by using empirical models [1] for road distress phenomena like rutting, raveling or cracking. Our ambitious goal is to bridge the gap between discrete and continuous, macro-concepts. The material behavior at the grain-scale can be combined with the granular structure in order to identify the contact law for the asphalt components and relate such kinematics with the macroscopic response at the larger scale of the road. The major goal of the project, wherever we publish here in this paper, is finding a micro-based model with enough predictive quality on the macro-level in order to do forecasting of self-healing and durability capabilities.

On the particle-scale, the interaction of the mortar with the grains and between the grains can be efficiently investigated using a Discrete Element Method (DEM) [3]. Discrete element methods simulate particulate systems by modelling the translational and rotational degrees of freedom of each particle using Newton's laws, and the forces are calculated associating proper contact models with each particle contact.

In the last twenty years, attempts for a micromechanical modelling of asphalt have been done by other researchers: a contact law to reproduce particles connected by a binder and describe the elastic behavior of an assembly of bonded particles was proposed in Ref. [4]; a micromechanical description of rutting with intergranular and aggregate-binder interactions was given in Ref. [5]; 2D modelling based on image processing are described in [2].DEM studies on cemented particulate materials include the work by Rothenburg et al. [5], Chang and Meegoda[6], Sadd and Dai [7], Buttlar and You [8] and Ullidtz[9]. Nevertheless, a well established multiscale description for the constitutive behavior of particle-bitumen systems is still missing. Particularly, very limited work has been done on 3D micromechanical modelling of the mixture, with proper visco-elasto-plastic, temperature-dependent contact/interaction models. Moreover, to our knowledge, no systematic numerical study on the microscopic processes that govern cracks (and eventually self-healing) in asphalt has been done. The novelty of our project is the application of a contact model where visco-elasto-plastic, temperature-dependent properties are taken into account to reproduce fracture and subsequent healing of asphalt at particle-level. The phenomena will be not "imposed" at macroscopic level, but fracture and healing will be modeled in large-scale processes only by mimicking proper micromechanical properties. The

detection and analysis of damage as well as microscopic self-healing mechanisms have been achieved by particle based simulations already[10][11]. So that these results now can be applied to asphalt and translated to a practicable continuum model with predictive quality[12].

In this preliminary study an approach to modelling of cohesive, sintering and self-healing particulate materials are described [13]. The mechanical evolution in time of all the particles in the material is simulated by a DEM sintering contact model[10]. This contact model mimics the physical behavior of the interaction of the particles accounting for dissipative, elasto-plastic, adhesive, and frictional effects and the pressure dependency[10]. An additional temperature-dependence, as possibly resulting from diffusion of atoms and the resulting sintering effects, can be added on top of the elasto-plastic contact model [11]. In this very first work, only the approach that we want to follow is going to be described. In particular, we want to show how powerful the methodology is in reproducing the general behavior of asphalt, already in his basic formulation (visco-elasto-plastic contact model applied to an aggregate of dry spherical particles with no interstitial fluid). The major strength of this approach involves the easy re-structuring of the grains/particles relative to each other – as relevant during fracture, damage, shear-localization or creep, but often neglected in other approaches.

Initially, some particle-samples are prepared and (isotropically) compacted in order to resemble asphalt mixtures. The spherical particles deform plastically at contact and stick to each other forming a solid sample with (after releasing pressure) zero confining stress – on which uni-axial tension or compression is further applied. The numerical results are compared with laboratory uni-axial tests from [14] on samples of dense asphalt concrete. The comparison is purely qualitative, since in this first work no attempt to calibrate the DEM model with real experiments on asphalt has been made. The paper is supposed to be a reference report to describe the details of the methodology used to describe asphalt solid samples. Interestingly, the simple cohesive model is able to properly reproduce the shape (peak and subsequent softening) in the experimental data, using poly-disperse spherical particles without any background matrix. The behavior of the material can be easily tuned, changing the ratio between compressive and cohesive properties at contact level. In the final part of the work, self-healing of damaged samples is modeled through re-sintering, a process that globally increases further the contact adhesion between particles. The details of microscopic (physical and chemical) mechanisms are not taken in account here, but just the relation between the sudden change of strength at contact level and the increase in the global resistance of the sample[11][15][16]. If the re-sintering is applied after the sample has already experienced some damage under mechanical loading, the question is to which extent the damage can be healed.

## 2 Simulation Method

The Discrete Element Method (DEM) [3] for particle systems can be used to illustrate how the macroscopic response of a solid-like, sintered sample, resembling



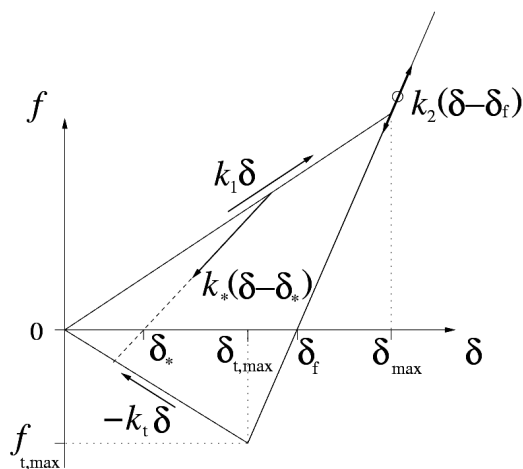
an asphalt mixture, depends on various micro- and meso-scopic properties such as the particle-particle contact network, the particle size, and the contact adhesion between particles (simulating the interaction of the bitumen with the particles), their contact friction and stiffness. In the present work, after solving the equations of motion at the particle level, the coupling between micro- and macro scale properties is performed at local level. It means that the response of the particle system (expressed in terms of macroscopic stress and strain) is obtained by averaging [17] the local quantities at the particle contact level (interparticle contact forces and displacements) over the assembly. The effective response then depends directly on the chosen particle contact model [10][18][19]. Even though recent studies have demonstrated that the accurate simulation of systems composed of non-spherical particles is possible[20][21], for simplicity we restrict ourselves here to spherical particles.

## 2.1 Sintering Contact Model

In the following, particulate material samples are (i) *prepared*, (ii) *deformed* and *damaged*, and then (iii) *self-healed* and (iv) *deformed* again. The non-linear model by Luding *et al.*[10][22][23] is used – see these references for more details, to describe the adhesive particle-particle interaction in the asphalt mixture. In Fig. 1, the normal contact force  $f$  (that is directed parallel to the line connecting the centers of two contacting particles) is plotted against the contact overlap (resembling the deformation between particles at the contact),  $\delta > 0$ . If  $\delta < 0$ , there is no contact between particles, and thus  $f = 0$ . This sign convention relates positive (*negative*) values of the contact displacement  $\delta$  to overlap/deformation (*separation*), while positive (*negative*) values of the contact force  $f$  relate to compression/repulsion (*tension/attraction*).

A contact begins at  $\delta = 0$  and, during initial compressive loading, the contact force increases with the overlap as  $f = k_1 \delta$ , with  $k_1$  the elasto-plastic contact stiffness. When the external compressive forces are compensated by the contact repulsive force at the maximum contact overlap,  $\delta_{\max}$ , for unloading, the contact stiffness increases to a value  $k_2$ , so that the elastic unloading force is  $f = k_2(\delta - \delta_f)$ . Elastic unloading to zero contact force leads to the (plastic) contact overlap  $\delta_f = (k_2 - k_1) \delta_{\max} / k_2$ . If the overlap is further decreased, the contact force gets tensile, with maximum tensile contact force  $f_{t,\max} = -k_t \delta_{t,\max}$ , realized at contact displacement  $\delta_{t,\max}$ .

For the sake of brevity, the tensile softening parameter  $k_t$  hereafter is referred to as the “contact adhesion”. Note that, mostly for practical reasons [10], for contact deformations above  $\delta_{\max}$ , the force follows the limit branch  $f = k_2(\delta - \delta_f)$ , since further loading is unrealistic anyway and would lead to much stiffer behavior if properly modeled. The extreme loading and unloading limit branches are reflected by the outer triangle in Fig. 1. Starting from the realized maximal overlap,



**Fig. 1.** Particle contact model plotted as force-displacement relation [11,22]

$\delta_{max}^* < \delta_{max}$ , unloading occurs within the outer triangle, as characterized by a branch with stiffness  $k_* = k_1 + (k_2 - k_1) \delta_{max}^* / \delta_{max}$ , and (elastic, reversible) force,  $f^* = k_*(\delta - \delta^*)$ .

The intermediate stiffness  $k_*$  follows from a linear interpolation between  $k_1$  and  $k_2$  – which is our (arbitrary) choice due to the lack of experimental data on this (probably) non-linear behavior. In summary, the model has three (“stiffness”)  $k$ -parameters that describe the three relevant physical effects at the contact: (1) elasticity, (2) plastic deformations, and (3) contact-adhesion. Furthermore, the model involves (4) a non-linear contact stiffness via the choice of  $k_*$ . This piecewise linear model is a compromise between simplicity and the need to model physical effects. Except for some early theoretical studies, see [19] and the many works that are based on it, there is no experimental/numerical literature available to our knowledge that provides enough detailed information on the force-displacement relations, involving all four physical contact properties above and their nonlinear, history-dependent behavior. If this information becomes available, the present model can be extended and generalized.

The *tangential contact force* acts parallel to the particle contact plane and is related to the tangential contact displacement through a linear elastic contact law, with the tangential stiffness  $k_s$ . The tangential contact displacement depends on both the translations and rotations of the contacting particles. Coulomb friction determines the maximum value of the tangential contact force: During sliding the ratio between the tangential contact force and the normal contact force is assumed to be limited and equal to a (constant) dynamic friction coefficient  $\mu_d$ ; during sticking, the tangential force is limited by the product of normal force and static coefficient of friction  $\mu_s$ .

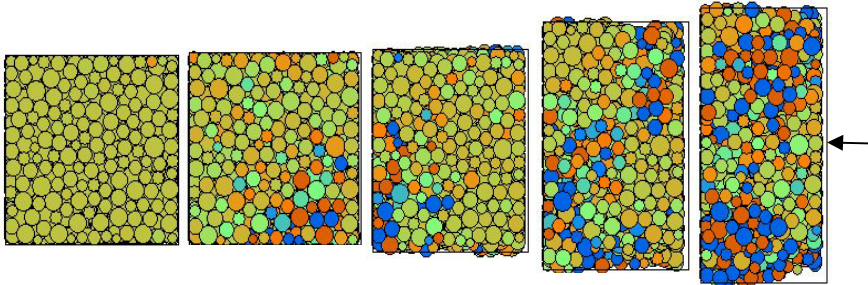
**Table 1.** Material parameters from Ref. [10], rescaled in the right column

Property	Symbol	Values [14]	SI units
Time Unit	$t_u$	1	1 ms
Length Unit	$x_u$	1	1 m
Mass Unit	$m_u$	1	1 kg
Average radius	$\bar{R}$	$0.5 \cdot 10^{-3}$	0.5 mm
Material density	$\rho$	2000	2000 kg/m <sup>3</sup>
Elastic stiffness	$k = k_2$	$5 \cdot 10^4$	$5 \cdot 10^{10}$ kg/s <sup>2</sup>
Plastic stiffness	$k_1 / k_2$	0.5	
Adhesion “stiffness”	$k_f / k_2$	[0, ..., 20]	
Friction stiffness	$k_s / k_2$	0.2	
Plasticity range	$\phi_f$	0.05	
Coulomb friction	$\mu = \mu_d = \mu_s$	1	
Normal viscosity	$\gamma = \gamma_n$	$5 \cdot 10^3$	$5 \cdot 10^6$ kg/s
Tangential viscosity	$\gamma_f / \gamma$	0.2	
Background viscosity	$\gamma_b / \gamma$	4	
Background torque	$\gamma_{br} / \gamma$	1	

### 3 Results

The simulations reported here consist of four subsequent stages, namely (i) a sample preparation (isotropic compression), (ii) a uni-axial (tensile/compressive) loading, (iii) a self-healing, and (iv) the continuation of the uni-axial loading.

Six plane, perpendicular outer walls form a cuboidal volume, with side lengths of  $L = 11.5$  mm. The samples are composed of about  $10^3$  poly-disperse spherical particles (see Fig. 2 as an example), with particle radii drawn from a Gaussian distribution around mean  $\bar{R} = 0.5$  mm[10][24]. The particle density used in the simulations is  $\rho = 2000$  kg/m<sup>3</sup>, the maximum elastic contact stiffness is  $k_2 = 5 \cdot 10^{10}$  N/m. The initial elasto-plastic stiffness (normalized by  $k_2$ ) is  $k_1/k_2 = 1/2$ , and the



**Fig. 2.** Snapshots from a compression test with  $k_1/k_2 = 0.5$ . The circles are the particles with the greyscale coding the dimensionless average stress.

contact adhesion  $k_1/k_2$  is varied. The other stiffness parameters, friction coefficients, and viscous damping parameters are summarized in Table I, most of them being dimensionless, like all quantities discussed in the rest of the paper. As final remark, we note that the choice of parameters is empirical – most of them kept fixed here, only adhesion is varied systematically.

### 3.1 Isotropic Loading

In this section the sample preparation by *pressure sintering*[22] is reported. During sintering, the particles deform plastically at contact and stick to each other due to strong, non-linearly increased van der Waals forces. At the same time, the sample shrinks, i.e. becomes denser. Such pressure-sintering results in a solid sample with bonded particles, similar to asphalt mixtures. Moreover, the sintering model can be temperature-dependent, resembling the effect of the temperature in the asphalt preparation with bitumen [11].

The process is characterized by two stages: the first stage reflects the application of a hydrostatic (or isotropic) pressure,  $\sigma_s/\sigma_0 = 4 \cdot 10^{-2}$ , to a loose assembly of particles, with the reference stress  $\sigma_0 = k_1/(2R)$ . This desired isotropic stress is slowly applied to the six outer walls. In this stage both friction and adhesion between the particles are switched off. The hydrostatic loading process is considered to be finished when the kinetic energy of the sample is negligible compared to the potential energy. For our sample, the solid volume fraction (volume of the solid particles over total volume) at the end of the hydrostatic loading process is  $v = 0.676$ , which relates to a porosity (volume of fluid and air phases with respect to total volume, in the specific case of asphalt) of  $1-v = 0.324$ .

The second stage of the preparation (pressure sintering) process is reflected by a *stress relaxation* phase, where the external hydrostatic pressure is strongly reduced, while the adhesion between particles is now made different than zero. Due to the presence of particle contact adhesion, the lateral stability of the specimen remains preserved when the hydrostatic pressure is released, i.e., a coherent and stable particulate structure is obtained that can be subsequently used in the analysis of damage and healing under uni-axial loading conditions. The solid volume fraction of the sample after stress relaxation is decreased to  $v = 0.63$ . By means of the two subsequent steps, first the density of the granular/asphalt sample is carefully tuned (compression with zero friction and adhesion) and only later the stability of the aggregate is totally entrusted to the contact adhesion.

### 3.2 Response under Uni-axial Compression and Tension

Both during the uni-axial compression and tension test, one of the two outer walls, with its normal parallel to the axial (loading) direction, is slowly moved towards (away from) the opposite wall (see Fig. 2). The change of the wall displacement in time is prescribed by a cosine function with rather large period in order to limit inertia effects.

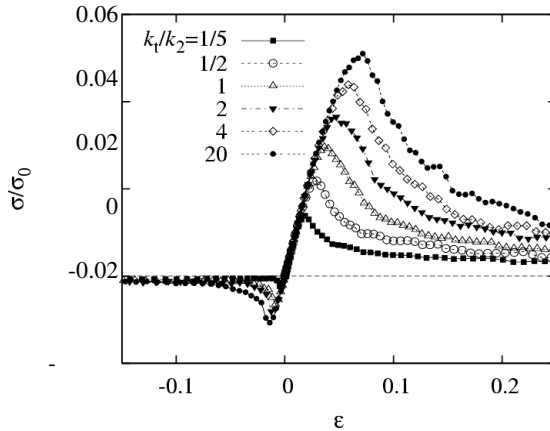
The response of the sample under uni-axial compression and uni-axial tension is shown in Fig. 3. The normal axial stress  $\sigma$ , normalized by the reference stress  $\sigma_0$ , is plotted as a function of the normal axial strain  $\epsilon$ , where positive stress (*strain*) values relate to compression (*contraction*). The stress-strain curves are depicted for different values of  $k_t$  (normalized by  $k_2$ ), which quantifies the adhesion at the particle contacts, see Fig. 1. A first interesting conclusion is detected by this numerical experiment: a larger particle contact adhesion increases the effective strength of the sample, both under uni-axial tension and compression. Furthermore, the overall strain at which the effective stress reaches its maximum increases with increasing contact adhesion,  $k_t$ .

Note that the maximum stress under uni-axial compression is order of five times larger than under uni-axial tension. A relatively high compressive strength in relation to the tensile strength is typical of various sintered materials, such as ceramics [25], and appears even in asphalt mixtures[14][26]. As further result, from Fig. 3, the softening branch under uni-axial tension is somewhat steeper than under uni-axial compression. Here we use a rate that is close to the quasi-static regime, as studied in more detail in [24]. The initial loading branch is linear up to large stress and the initial (elastic) axial stiffnesses  $\sigma_t$  in tension and compression are determined by the sample preparation procedure, and are approximately equal for all the cases considered here, i.e.,  $\sigma_t/\sigma_0 = 1.04$ . The tensile responses are all characterized by local failure at the center of the sample.

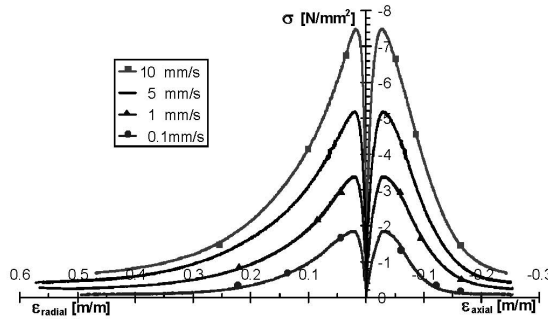
### 3.3 Comparison between Numerical Simulations and Physical Experiments

We refer to experiments in [14] to qualitatively compare our numerical investigation with laboratory tests on asphalt mixtures. The authors carry on uni-axial unconfined compression tests on compacted samples of dense asphalt concrete (DAC 0/5). The tests are performed in the displacement control mode, at constant axial deformation rates (refer to [14] for details). Mixtures such as DAC are continuously graded (as particles in our numerical sample) and derive their stability from the particles arrangement and the cohesion provided by the bitumen.

We report in Fig. 4 the stress-strain behavior for the aggregate matrix compressed at different strain rates. The comparison of Figs. 3 and 4 (axial stress versus axial strain on the right side of Fig. 4) shows that the elasto-plastic adhesive contact model is able to capture qualitatively the basic features in the behavior of the asphalt mixture. In fact both the peak and the softening behavior reported in the experimental data are perfectly reproduced in the numerical simulation. No direct comparison is made, as the dimensionless stress in Fig. 3 can not quantitatively be compared with the stress in Fig. 4. Nevertheless, the analysis of the numerical simulation show that the stress level strongly depends on the particle adhesion  $k_t/k_2$  and this quantity can be easily tuned in order to model different asphalt mixtures.



**Fig. 3.** Dimensionless axial stress versus axial strain during uni-axial compression, positive, and tension, negative, (after [13])



**Fig. 4.** Axial stress  $\sigma$  versus axial strain  $\epsilon_{axial}$  and radial strain  $\epsilon_{radial}$  for uni-axial compression tests on DAC samples at different rates and  $T=30^\circ\text{C}$  (after [14])

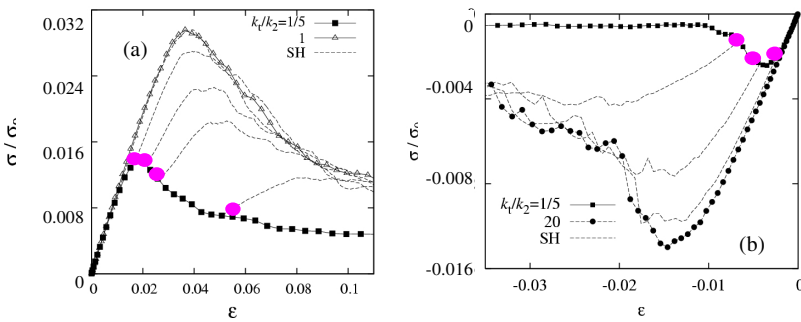
It is worthwhile to point out here that, in this preliminary work no attempt to calibrate the DEM model with real experiments on asphalt has been made yet. The paper is aimed to show the details of the methodology used to model asphalt solid samples. Results are encouraging, since the contact-model is able to mimic the general stress-strain trend, already in his basic formulation (visco-elasto-plastic contact model applied to an aggregate of spherical particles with no interstitial fluid). A detailed comparison between numerical simulations and proper quasistatic uni-axial compression experiments is now in progress.

### 3.4 Self-healing under Uni-axial Compression and Tension

In this section we show a possible modelling of induced self-healing mechanisms in asphalt [12]. During uni-axial compression, the sample is stopped at various

strains, see Fig. 5, and the self-healing is achieved by an instantaneous increase of the particle contact adhesion  $k_t$ , which is assumed to be the net-effect of a re-sintering cycle, like warming up an asphalt mixture. The details of the physio-chemical interactions driving the healing process are not highlighted here, but we want to focus on the effect that re-sintering/healing of the contacts can have on the macro stiffness of the aggregate. Technically, on the contact level, an increase of the contact adhesion  $k_t$  corresponds to an increase of the maximum tensile strength  $f_{t,max}$ , and a decrease of the corresponding displacement  $\delta_{t,max}$ , i.e. rupture occurs at large tensile strain. After the application of the re-sintering cycle (self-healing), uni-axial loading is resumed, where the effect of self-healing on the effective stress-strain response of the sample becomes apparent through a comparison of its response with that of both, the unhealed reference sample and a pre-emptively healed sample, which has the stronger contact adhesion from the beginning on.

Under uni-axial compression, see Fig. 5(a), or uni-axial tension, see Fig. 5(b), the self-healing of the initial sample with  $k_t/k_2 = 1/5$  is activated by instantaneously increasing the contact adhesion to  $k_t/k_2 = 1$  or 20, uniformly at all particle contacts. Fig. 5 shows the response curves after the initiation of self-healing (dashed lines, labeled with the abbreviation ‘SH’), together with the stress-strain responses of the relatively weak ( $k_t/k_2 = 1/5$ , solid squares), strong ( $k_t/k_2 = 1$ , triangles) and very strong ( $k_t/k_2 = 20$ , solid circles) samples. The maximum compressive strength reached after self-healing is larger for healing at smaller deformation – and thus smaller damage. A further conclusion can be drawn here: astonishingly, for all self-healing cases considered, the response eventually converges to the response of the “strong” sample with  $k_t/k_2 = 1$  or 20. The strong sample stress-response thus can be interpreted as the response of a pre-emptively “self-healed” sample, where the increase in contact adhesion is initiated at the onset of mechanical loading already. The response of the sample with  $k_t/k_2 = 1$  or 20 acts as envelope for the responses of the self-healed samples with  $k_t/k_2$  increased from 1/5 to 1 or 20, respectively.



**Fig. 5.** Axial stress versus axial strain during (a) uni-axial compression and (b) uni-axial tension, for particle contact adhesions  $k_t/k_2=1/5$  (solid squares). The self-healing stress responses are given (from the different strain points) by the dashed lines. The outer envelope corresponds to self-healing at zero strain (after [13]).

## 4 Conclusions

In this work, (1) isotropic preparation, (2) uni-axial deformation, and (3) self-healing processes in damaged adhesive granular assemblies have been studied using DEM simulations with the final goal of application to asphalt mixtures.

After isotropic compression, both uni-axial compression and tension was applied to the sample for determining the different (simulated) contact adhesion strengths. It appears from our analysis that, while the initial stiffness (slope of the stress-strain curves) is not much affected, the peak strength of the material is: the stronger the contact adhesion, the larger the peak strength. For compression, the strength appears about a factor of five larger than for tension and the softening branch is rather smooth for compression, while the tensile regime shows a sharper drop, resembling more brittle-like behavior. Moreover, at different strains, the uni-axial deformation is stopped and global self-healing is applied. The effect of self-healing is mimicked by a (global) sintering process, as modeled by increasing the particle contact adhesion from relatively “weak” to rather “strong”. The stress-strain response obtained from such self-healed samples eventually converges to the envelope curve that represents the damage response of a sample that has the “strong” contact adhesion since the onset of loading. Another result is that the maximum sample strength reached after self-healing very much depends on the deformation level at which self-healing is activated.

This preliminary work shows how powerful DEM simulations can be in describing the constitutive behavior of asphalt mixtures. In fact, discrete simulations give insights on the material microstructure and link observable (macroscopic) phenomena with the kinematics of the interacting components. Very useful information can be extracted from such microscopic analysis, e.g. on how and when act to induce self-healing in a damaged material.

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# Quantifying Healing Based on Viscoelastic Continuum Damage Theory in Fine Aggregate Asphalt Specimen

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**Abstract.** The ability of an asphalt mix to heal is an important property that influences the overall fatigue performance of the mix in the field. In this study, an experimental and analytical method based on viscoelastic continuum damage theory was developed to characterize the healing in an asphalt composite (fine aggregate matrix) as a function of the level of damage prior to the rest period and the duration of the rest period. Four different types of fine aggregate matrix (FAM) were tested to quantify overall healing at isothermal conditions. Two different verification tests were conducted to demonstrate that the percentage healing measured using the proposed method are independent of the sequence of loading or rest period. Results from the tests support the hypothesis that the healing characteristics determined using the proposed test method can be treated as a characteristic material property.

## 1 Introduction

Fatigue damage in asphalt mixtures is defined as the growth or accumulation of cracks under the action of repetitive loading. Extensive research during the past two decades has demonstrated the significance of self-healing while characterizing the fatigue cracking resistance of asphalt pavements [1-3]. Kim et al. [1] defined self-healing or micro-damage healing phenomena as partial or complete reversal of micro-damage or micro-crack growth induced due to fatigue loads. Micro damage healing in an asphalt mix is a function of the constituent binder's chemical and physical make up, level of damage prior to the rest period, duration of the rest period, mixture properties such as gradation, binder content, air voids and other external factors such as temperature.

Healing is most commonly quantified in terms of the percentage gain in the number of load cycles to fatigue failure or gain in the modulus as a function of rest period [4-7]. This approach is useful and provides a direct method to compare the

relative ability of different asphalt mixes to heal. However, a limitation to this approach is that the percentage healing reported is a function of the rate of loading, type of loading (monotonic/cyclic) and mode of loading (controlled strain/controlled stress), rendering it useful only in the context of the specific test conditions. One approach to overcome this limitation is to use the work potential or viscoelastic continuum damage theory (VECD). VECD theory relates the reduction in stiffness under fatigue loads to an internal state variable,  $S$ , that represents the overall damage within the specimen. This internal state variable is related to the loading and stiffness by a damage evolution law. The closed form relation between pseudo stiffness ( $C$ ) and a damage parameter ( $S$ ) was demonstrated to be independent of loading characteristics and unique for a particular mix [8-10]. In this study, a method was developed to use this relationship to quantify healing as a function of rest periods and damage levels prior to the rest periods.

This paper presents the findings from a study conducted to (i) evaluate the change in mechanical properties of fine aggregate matrix (FAM) specimens during a rest period and (ii) employ the VECD theory to quantify the percentage of healing as a function of the damage level immediately before the rest period as well as the duration of the rest period.

## **2 Preliminary Investigation on Healing in FAM Specimens**

### ***2.1 Materials***

Four different FAM mixes manufactured using one type of aggregate passing ASTM sieve no 16, two different types of asphalt binders (PG 67-22 and 64-16) and two different binder contents (10% and 12%) were used in this study. All tests were conducted on cylindrical FAM specimens that were 20 mm in diameter and 50 mm in height. These specimens were cored from one large 100-mm diameter and 75-mm high specimen compacted using the Superpave gyratory compactor. The cylindrical FAM test specimens were glued to end plates and used with the DSR for testing. All tests in this study were conducted at a temperature of 25°C.

### ***2.2 Test Method and Preliminary Results***

The self-healing characteristics of the FAM specimens were quantified based on the following method and metrics. A time sweep test following a sinusoidal waveform in shear was conducted with a frequency of 5Hz and constant stress amplitude of 210kPa. The test was continued until the measured complex shear modulus was 50% of the linear viscoelastic complex shear modulus measured at low stress amplitudes. At this stage, the test was stopped for a duration of 30 minutes. During this rest period, the linear viscoelastic complex shear modulus was measured by applying a shear stress following a sinusoidal wave form at a frequency of 5Hz and a stress amplitude of 10kPa for 50 cycles. The linear

viscoelastic shear modulus was measured at 0.5, 1, 3, 5, 10 and 30 minutes after the start of the rest period.

After the completion of the 30-minute rest period, the complex shear modulus of the specimen had significantly increased. At this time, the application of the high amplitude shear stress following a sinusoidal wave form with a frequency of 5Hz and stress amplitude of 210kPa was resumed. The high stress amplitude fatigue test was continued until the specimen again reached 50% of its linear viscoelastic shear stress amplitude. A second rest period of 30 minutes was introduced and the change in complex shear modulus was recorded as before. The process was repeated for a total of four rest periods with the only exception that the fourth rest period was for 60 minutes instead of 30 minutes. Figure 1 illustrates the typical increase in complex modulus as a function of time during each of the four rest periods.

Examination of results from these preliminary tests revealed two important findings. First, the increase in complex modulus during the rest period (introduced at the same level of damage) did not change significantly from the first rest period to the fourth. Second, the damage evolution curves (complex modulus versus number of cycles) following the 30-minute rest periods were similar to each other but different from the original intact specimen. In other words, although the complex modulus of the specimen increases during the rest period, the improvement in fatigue cracking resistance was not commensurate with the gain in stiffness. Based on these findings, further studies were conducted to quantify healing in terms of the state of damage in the specimen instead of the specimen stiffness.

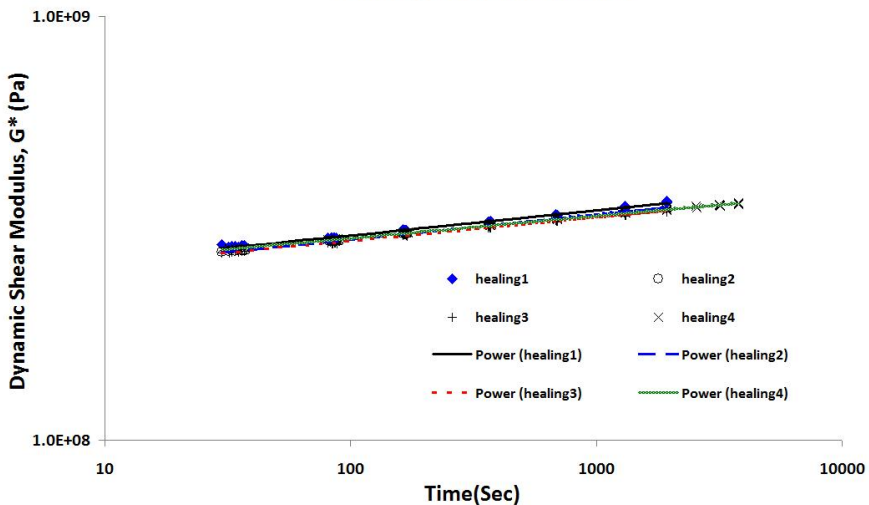


Fig. 1. Typical healing vs. time curves from four rest periods

### 3 Analytical and Experimental Method to Quantify Healing Based on State of Damage

#### 3.1 Definitions and Hypothesis

The work potential theory developed by Schapery [11], also referred to as the viscoelastic continuum damage theory (VECD) [8-10], was used to characterize fatigue cracking in asphalt concrete mixtures. According to the work potential theory, damage is defined as a process that results in an increment in the value of the internal state variable  $S$ . Studies [8-10] demonstrate that the relationship between the “damage parameter”  $S$  based on power law of crack growth, and the pseudo stiffness  $C$  is unique for a given mix design and temperature. Details pertaining to the determination of the  $C(S)$  function from fatigue tests can be found in other literature [8-10, 12]. Healing during a rest period, which is in essence the reversal of micro-damage, produces a net reduction in the value of the state variable  $S$ . Therefore, in this study healing was quantified in terms of the relative percentage reduction in the damage parameter  $S$  as:

$$\% \text{ Healing}(C, t) \equiv \frac{(S_f - S_i)}{(S_i)} * 100 \quad (1)$$

Where,  $C$  represents the pseudo stiffness immediately before the rest period,  $t$  denotes the duration of the rest period, and  $S_i(C)$  and  $S_f(C, t)$  correspond to the internal state variable representing the state of material immediately before and after the introduction of a rest period of time  $t$ , respectively.

#### 3.2 Materials and Test Procedure

This study was conducted using four different FAM specimens as described in the previous section. A test protocol was developed to determine  $S_i(C)$  and  $S_f(C, t)$  for FAM specimens. Each FAM specimen was first subjected to a creep load of 1 kPa for one minute followed by a recovery period of 15 minutes. The creep-recovery was followed by application of cyclic loads following a sinusoidal waveform with stress amplitude of 1kPa at 10Hz. These tests were conducted to obtain the linear viscoelastic properties of the FAM specimen. The specimen was then subjected to cyclic torsion following a sinusoidal waveform with a stress amplitude of 210 kPa to induce fatigue damage. In order to obtain the healing characteristics, a rest period was introduced when the specimen reached a predefined fraction of its initial stiffness. Following the rest period, the specimen was subjected to cyclic torsion until it reached the next predefined fraction of its initial stiffness and the process was repeated. The predefined levels of stiffness used in this study were  $0.8C_1$ ,  $0.7C_1$ , and  $0.6C_1$  where,  $C_1$  is the initial undamaged pseudo stiffness of the specimen. This procedure was applied to four different specimens; each specimen being subjected to a different duration of the rest period. The rest periods applied in this study were 5, 10, 20 and 40 minutes in

duration. Figure 2 illustrates a schematic of the load sequence for a specimen with a 5-minute rest period that was introduced at different fractions of its initial stiffness. At least two replicate sets consisting of four specimens each were tested following the above procedure.

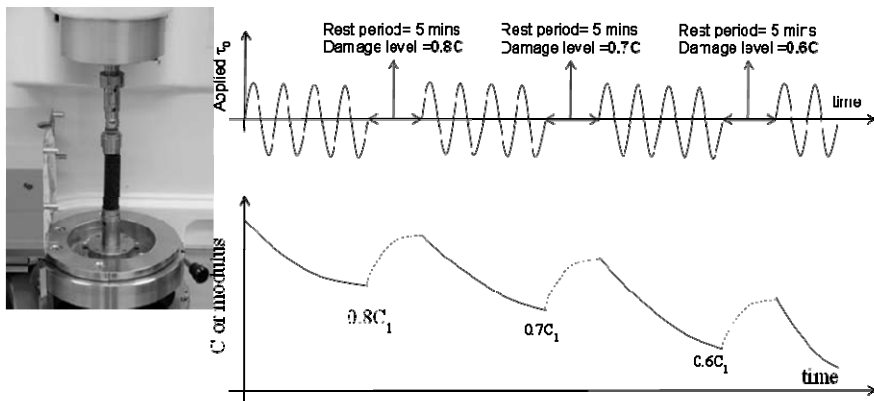


Fig. 2. Test protocol used to characterize healing as a function of pseudo stiffness

### 3.3 Analysis

A simplified version of the VECD analysis was used to determine the pseudo stiffness  $C$  of the specimen  $C_N$  at the  $N^{th}$  cycle [13]:

$$C = \frac{G^*}{G_{lve}^*} \tag{3}$$

Where,  $G^*$  is the measured complex modulus at  $N^{th}$  cycle and  $G_{lve}^*$  is the linear viscoelastic  $G^*$  measured using the low stress amplitude. The reduction in pseudo stiffness with increasing number of load cycles was then used to determine the increase in  $S$ , the internal state variable for damage, following the procedure described by Lee and Kim [8-10]:

$$S \cong \sum_{i=1}^n [0.5 * (\epsilon^R_i)^2 * (C_{i-1} - C_i)]^{\frac{\alpha}{1+\alpha}} * (t_i - t_{i-1})^{\frac{1}{1+\alpha}} \tag{4}$$

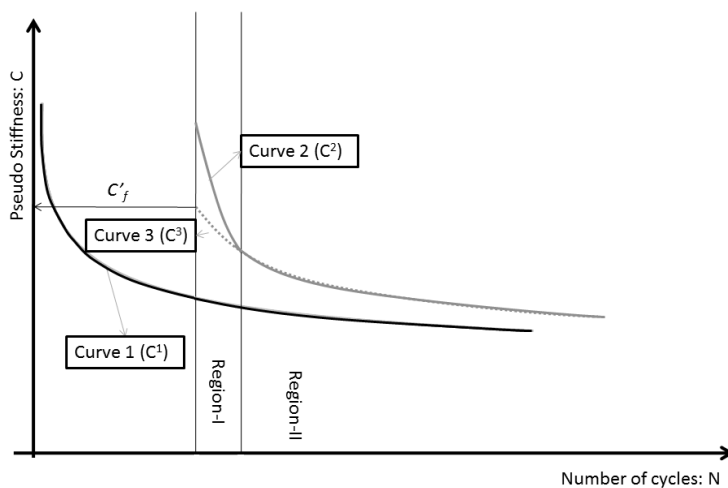
Where,  $\alpha$  is related to the material’s linear viscoelastic creep exponent  $m$  (determined from creep-recovery) and is suggested to take the value of  $(1 + \frac{1}{m})$ . Thus equation (4) was used to determine the characteristic damage evolution curve or  $C(S)$  function for each FAM mixture.

Based on equation (1), the two parameters that are required to characterize healing are  $S_i(C)$  and  $S_f(C, t)$ .  $S_i(C)$  is the value of  $S$  calculated just prior to the provision of a rest period and can be readily obtained from the  $C(S)$  function.

However  $S_f(C, t)$  is not the value of  $S$  corresponding to the pseudo-stiffness measured immediately after the rest period for the following reason.

The results from this study indicate that the evolution of damage in the specimen immediately following the rest period was very different as compared to the original specimen (Curve 2, Region-I in Figure 3). However, as the loading is continued the damage evolution in the specimen following the rest period eventually becomes similar to the damage evolution in the intact specimen (Region-II in Figure 3). This is typically after the pseudo stiffness of the post-healed specimen reduces to less than the pseudo stiffness prior to the rest period. Therefore the stiffness immediately following the rest period (starting point of Curve 2) is not a good representation of the level of damage reversal in the matrix and is not recommended to determine  $S_f(C, t)$ . Consequently, a direct measurement of the pseudo stiffness,  $C_f$ , and corresponding  $S_f(C, t)$  will over predict healing. In order to avoid this over prediction, the pseudo stiffness used to determine  $S_f(C, t)$  from the  $C(S)$  function was not the measured pseudo stiffness immediately after healing  $C_f$  but a *reduced pseudo stiffness*,  $C'_f$ . This reduced pseudo stiffness represents the equivalent effect of both partially healed and fully healed interfaces within the matrix after the rest period. The procedure used to obtain the reduced pseudo stiffness was as follows.

Curves 1 and 2 in the schematic figure 3 (denoted as  $C^1$  and  $C^2$ ) represent measured damage evolution in an intact specimen and damage evolution in the partially healed specimen, respectively. Curve 3 (denoted as  $C^3$ ) was developed using data from Region II such that it has the same functional form as Curve 1 (which is known apriori) and extrapolated backwards to predict the reduced pseudo stiffness  $C'_f$  and determine  $S_f(c, t)$  using the  $C(S)$  relationship. Finally,  $\%Healing(C, t)$  was computed using equation (2).



**Fig. 3.** Actual and modified  $C$  vs.  $N$  curve represented by Curves 2 and 3

### 4 Results

Using the experimental and analytical procedure listed in previous section, the percentage healing was calculated for the four different FAM mixes. The percentage healing is determined as a function of the pseudo stiffness at which the rest period was introduced as well as the duration of the rest period. A function of the form presented in equation 5, was used to describe the percentage healing as function of rest period for each damage level at which the rest period was introduced.

$$\% \text{ Healed} = m_1 * (1 - \exp(-m_2 * t)) \tag{5}$$

Figures 4 and 5 show the typical final results for the percentage healing as a function of the rest period and pseudo stiffness (or level of damage) immediately preceding the rest period. The results from both the replicates are shown as points and the results from the model as the solid line. These figures also illustrate verification points. These verification points correspond to healing measured on specimens subjected to a randomized sequence of rest periods or a mode of loading different from the one used to obtain the characteristics healing functions. The verification points demonstrate that the characteristic healing function is unique and not dependent on the mode of loading used to induce fatigue damage to the specimen or sequence and duration of rest periods.

The results clearly indicate that, as expected, longer rest periods introduced at a similar level of damage (or pseudo stiffness) translate into a higher healing, a trend which can also be seen in binder healing test results reported earlier by Bhasin et al. [14]. Also, higher percentage of healing is achieved when the rest periods are introduced at lower levels of damage (or higher relative pseudo stiffness) in the specimen.

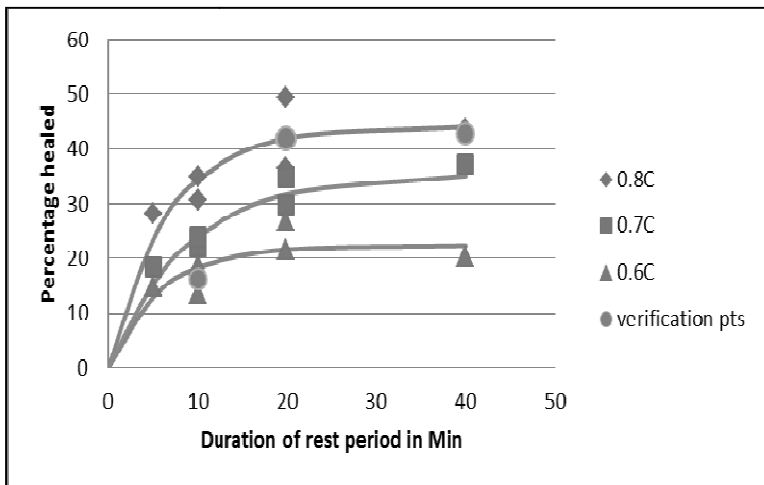


Fig. 4. Percentage healing in FAM mixes containing 10% PG 67-22 binder



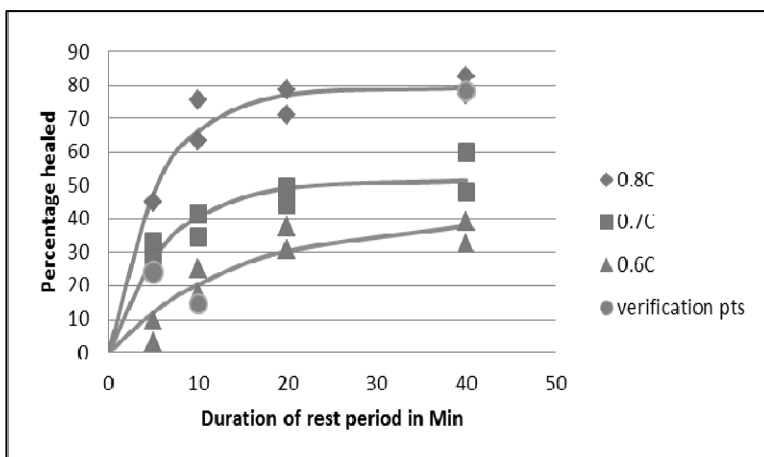


Fig. 5. Percentage healing in FAM mixes containing 12% PG 64-16 binder

## 5 Conclusions

This paper presented an experimental and analytical method to quantify healing in FAM mixes as a function of the duration of rest period and the level of damage preceding the rest period. The analytical method builds on the work potential theory and the viscoelastic continuum damage approach that was developed by Kim and co-workers [8-10] to characterize fatigue cracking and healing in asphalt mixtures. The method was applied to four different FAM mixes. Verification tests were conducted on two FAM mixes and the results demonstrated that healing characteristics obtained using the proposed method were independent of the test method or mode of loading used to induce damage in the specimen.

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# Evaluation of WMA Healing Properties Using Atomic Force Microscopy

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**Abstract.** Warm Mix Asphalt (WMA) technology has received considerable attention in past few years due to its benefits in reducing energy consumption and pollutant emissions during production and placement of asphalt mixtures, widening the paving season, and increasing the pace of the construction process. However, many concerns and questions are still unanswered regarding its long-term performance. One of those questions is the effect of using WMA technology on the healing characteristics of asphalt materials, which has significant impact on their performance.

The fundamental understanding and evaluation of the healing characteristics of WMA requires careful consideration of the micro-mechanisms that influence the adhesive bonds between the asphalt binder and the aggregate, and the cohesive bonds within the asphalt binder. However, all standard laboratory tests that have been used to evaluate the WMA examine their integral, macro-scale behavior only. Therefore, those tests are limited in their ability to validate the healing mechanisms in an asphalt system, as they cannot examine and deconvolve factors contributing to its response at the micro-scale.

In this study, an Atomic Force Microscopy (AFM) based approach was developed and used to evaluate the effect of two types of WMA additives on the healing characteristics of an asphalt binder. The considered WMA additives included Sasobit and Advera. The results of this paper indicated that the use of WMA additives enhances the adhesive intrinsic healing characteristics of the selected asphalt binder. However, the two considered WMA additives showed adverse effects on the cohesive intrinsic healing behavior of that binder. Finally, the Sasobit was found to decrease the rate of crack closure in an asphalt binder.

## 1 Background

The rising energy costs and the increased awareness of environmental impacts of asphalt mixtures have resulted in an interest in using a new type of asphalt mixtures called Warm Mix Asphalt (WMA). WMA is a generic term for an asphalt mixture placed at lower than conventional temperatures. The use of WMA technologies was developed in Europe with the aim of reducing greenhouse gases

produced by manufacturing industries (1). While heat is used to reduce asphalt viscosity and to dry aggregate during mixing of conventional asphalt mixtures, WMA reduces asphalt viscosity by including water or special organic or chemical additives in the mixture. This reduction improves the asphalt mixture workability allowing for its compaction at lower temperatures.

Several studies have been conducted in the last decade to characterize the properties of different types of WMA mixtures (2-4). The results of these studies showed that the emissions were significantly reduced during the production and placement of asphalt mixtures when WMA technologies are used as compared to the conventional Hot Mix Asphalt (HMA). In addition, those studies also demonstrated that WMA additives were able to improve the compactability of asphalt mixtures. Improved compaction was noted at temperatures as low as 190°F (87.8°C) (3). Despite these advantages, results of laboratory tests conducted on WMA mixtures have raised concerns and questions regarding their performance and durability. However, data obtained from WMA field test sections do not support the laboratory test results (3). Some data also suggests that the WMA performance improves with time and may ultimately be equivalent to that of HMA.

The healing characteristics of WMA affect their performance, and might be partially responsible for the differences observed between the laboratory and the field test results. However, these characteristics have not been studied to date. Healing, in this context, can be briefly defined as the process by which the crack growth in asphalt binders or mixtures, which occurs due to repeated loading, is partially or completely reversed.

In recent years, several studies have been conducted on the laboratory investigation of healing behavior of asphalt materials (5-9). The results of these studies clearly indicated the evidence of self-healing of those materials and its significant impact on their performance, particularly fatigue-cracking life. Healing behavior of asphalt binders was evaluated using dynamic shear rheometer (DSR). Although those studies contributed significantly to advancing the understanding the healing behavior of asphalt materials, they have used macro tests that are limited in their ability to validate the healing mechanisms in an asphalt system, as they cannot examine and deconvolve factors contributing to its response at the micro-scale.

The healing phenomena in asphalt materials can be described as a combination of wetting and intrinsic healing processes that occur across a crack interface (9). Wetting is the mechanism in which cracked surfaces come into contact with each other. It depends on the mechanical properties (including viscoelastic properties) and work of cohesion for the asphalt binder. In addition, the intrinsic healing is the strength gained by a wetted crack interface. The intrinsic healing is dictated by the adhesive forces at the asphalt-aggregate interface (adhesive healing) and cohesive forces at asphalt-asphalt interface (cohesive healing). Consequently, the fundamental understanding of the healing behavior of asphalt materials, in general, and WMA in particular requires accurate quantification of the nano and micro-scale properties and mechanisms that influence its response. The use of

nanotechnology techniques can be a viable approach for achieving that. Nanotechnology techniques have been used to study biomaterials and geomaterials during the past decade, allowing for the development of disruptive technologies that has started to affect our daily life. In particular, recent research studies were able to develop models that upscale the nanoscale properties of geomaterials to predict with high accuracy the macroscale strength and stiffness of those materials.

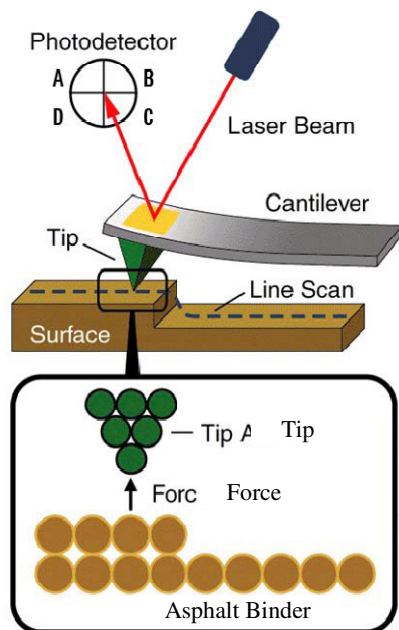
One of the nanotechnology techniques that has received increasing attention for examining the behavior of different materials is the Atomic Force Microscopy (AFM) (Figure 1). AFM is a flexible high-resolution scanning probe microscopy technique, which uses a laser-tracked cantilever with a sharp underside tip (probe) to raster over while interacting with the sample. AFM is an ideal tool for measuring nano and micro scale forces within a composite material (10,11). It has been widely used in high-tech materials, polymer, rubber, paint, biomaterials, and paper industries. The forces that can be measured in AFM include, but not limited to, mechanical contact force, friction, van der Waals forces, capillary forces, chemical bonding, electrostatic and magnetic forces. The modern AFM systems can accurately map a particular force in various imaging modes with nano meter resolution or track the dependence of different components as a function of tip-surface distance with sub-nanometer resolution.

During the past decade few research studies have used AFM to study the asphalt materials behavior (i.e. 12,13). In general those studies were limited to examining the asphalt morphology and micro-structure. Recently, Scarpas et al. (14) also developed a finite element model to study the healing phenomenon in asphalt binders, which was based on their behavior observed in AFM images.

In this paper, the recent progress in nanomechanics and material science was utilized to study the healing characteristics of asphalt binders. To this end, a new AFM-based approach was developed and used to examine the influence of two WMA additives on the healing behavior of an asphalt binder. The considered WMA additives included Sasobit and Advera.

## **2 Development AFM-Based Technique to Evaluate Healing**

An AFM-based approach was developed to evaluate the two mechanisms of healing (wetting and intrinsic healing). In this approach, AFM force spectroscopy experiments are employed to measure the nano-scale adhesive and cohesive forces in an asphalt system. Force spectroscopy experiments involves measuring the contact forces between the AFM tip and the sample as the tip approaches, probes, and withdraws from sample surface. In the developed approach, the magnitude of the molecular interactions at the asphalt-aggregate interface (i.e. adhesive forces) is measured by conducting force spectroscopy experiments using silicon nitride tips that resemble the aggregate. In addition, the interaction between asphalt molecules (i.e. cohesive forces) is examined by using tips that are chemically modified by carboxyl (-COOH) group, which is one of the chemical groups found in asphalt binders.



**Fig. 1.** Schematic diagram of AFM, adapted from (15)

The developed approach also evaluates the wetting mechanism of the healing process, by probing (indenting) the asphalt sample using the AFM tip at a fixed location and indentation depth, to create a nano-crack in the sample. AFM images are then continually taken to record the asphalt crack recovery with time. The AFM imaging is done using tapping (intermittent-contact) mode. The AFM tapping mode imaging is a versatile and powerful tool for scanning the surfaces of soft materials because it was developed to minimize sample deformation and avoid the surface damage found in contact mode AFM. In this imaging mode, the AFM cantilever/tip system is oscillated at its resonant frequency and the piezo-driver is adjusted using feedback control to maintain a constant tip-to-sample distance (set-point) (10). The amplitude of the resultant oscillations changes when the tip scans over the features on the surface. Thus, topographical characteristics of the sample can be obtained. In the developed approach, the topographical images are post-processed and analyzed to measure the closure of the initiated crack with time, which can be used to evaluate the wetting rate for the tested asphalt materials.

An Agilent AFM, 5500 LS, was used for conducting all experiments in this study. In addition, the cantilever used was 125 $\mu\text{m}$  long with a drive frequency of 300 kHz. All tests were conducted at room temperature, and the indentation depth was fixed at 750nm.

## 2.1 Materials and Sample Preparation

A polymer modified asphalt binder meeting specifications for PG 70-22M was used in this study. Different types of WMA technologies were evaluated, this includes: Sasobit, and Advera. Sasobit is paraffin wax produced from coal gasification using the Fischer Tropsch process. It is a fine crystalline, hydrophobic, long-chained aliphatic hydrocarbon. Therefore, the addition of this wax to an asphalt binder causes the binder to become more hydrophobic (16). The addition of Sasobit produces a reduction in the binder's viscosity, allowing production temperatures to be reduced. Advera WMA is an aluminosilicate or hydrated zeolite powder. It contains approximately 18-21% water by mass, which is released in the form of finely dispersed water vapor. This release of water creates a volume expansion of the binder that results in the formation of asphalt foam. This controlled foaming effect allows the asphalt mixture to become fluid at low temperature.

For mixing of WMA additives, the control binder was heated in the oven at 165°C for three hours. The heated binder was then stirred with a lab mixer and heated while the WMA additives were added slowly to it. The Sasobit and Advera loading levels used in this study were 4.5% and 1.5% of the asphalt binder weight, respectively. After mixing, a syringe was used to place about 0.5 ml on the middle of the glass substrate. The glass substrate was then placed in the oven for 15 minutes to allow for the asphalt to spread out. This was found to be the optimum protocol to form uniform and consistent surfaces required for the AFM characterization.

## 3 Results and Analysis

### 3.1 Results of Force Spectroscopy Experiments

Force distance curve is the main result obtained from force spectroscopy experiments. This curve presents a plot of the forces acting on the sample as a function of piezo-driver displacement. The forces are calculated based on the cantilever deflection using Hooke's law:

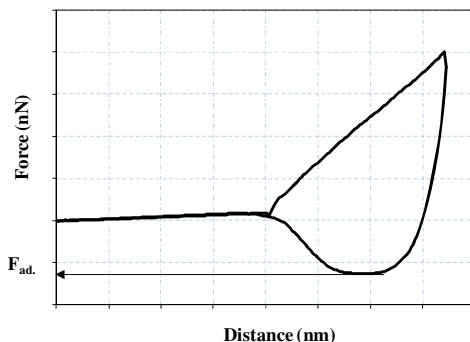
$$F = -k_c d \quad (1)$$

where  $F$  is the acting force on the sample,  $d$  is the deflection and  $k_c$  is the cantilever spring constant.

Figure 2 presents a typical force distance curves for an asphalt material. This curve can be divided into two regions: the approaching region where the tip is brought close to the sample until a contact between the tip and sample occurs and the retracting region where the tip starts to pull away from the sample. In the former region, the tip starts to approach the sample surface by moving towards the sample. Initially, the tip will be far away from the sample and no deflection will happen until it is brought close enough to the surface where it starts to deflect due to the repulsive force. The repulsive force increases until reaching a specified

depth of indentation. In the retracting region, initially the repulsive force, hence the deflection, is reduced. However, as the retraction continues the tip sticks to the sample surface due to the attractive forces for a certain time till it finally snaps off the surface and springs back to its original position. The maximum force needed to pull the tip away from the sample is called the pull-off force, which is also the adhesive force ( $F_{ad.}$ ) between the tip and the tested sample.

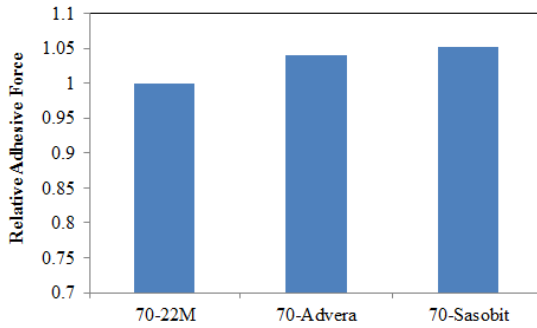
Force spectroscopy experiments were conducted on at least 32 points for each asphalt sample. The obtained force distance curves were post-processed using a FORTRAN code that was developed to allow appropriate normalization of the raw data, and to obtain the maximum pull-off forces in each force spectroscopy experiment. Figure 3 compares the average adhesive force values of the WMA binders with that of the control asphalt binder, which were obtained in experiments, conducted using silicon nitride tips. It is noted that the inclusion of the WMA additives enhanced the adhesive forces, which are related to the intrinsic healing of asphalt materials. This improvement will induce effects on the corresponding work of adhesion for the asphalt aggregate system.



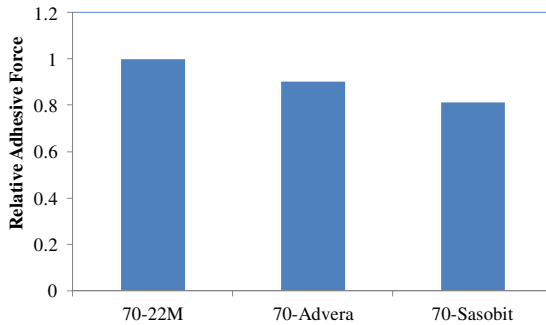
**Fig. 2.** Force-Distance curve obtained from force spectroscopy experiments on 70-22M binder

Force spectroscopy experiments were also conducted using carboxyl (-COOH) functionalized tips on the control and WMA asphalt binder samples. Figure 4 compares the maximum pull-off force for the WMA binders with that of the control one. It is noted that the WMA additives had decreased the adhesive forces between asphalt binder and -COOH tip, which can be related to the cohesive forces within the asphalt binder itself. The Sasobit resulted in more pronounced reduction in those forces compared to the Advera. This indicates that the effect of the WMA on the adhesive and cohesive forces is different. It is worth noting that the Sasobit is known to increase the hydrophobicity of an asphalt binder (12), which may explain the reduction in the adhesive forces when the hydrophilic -COOH functionalized tips are used.





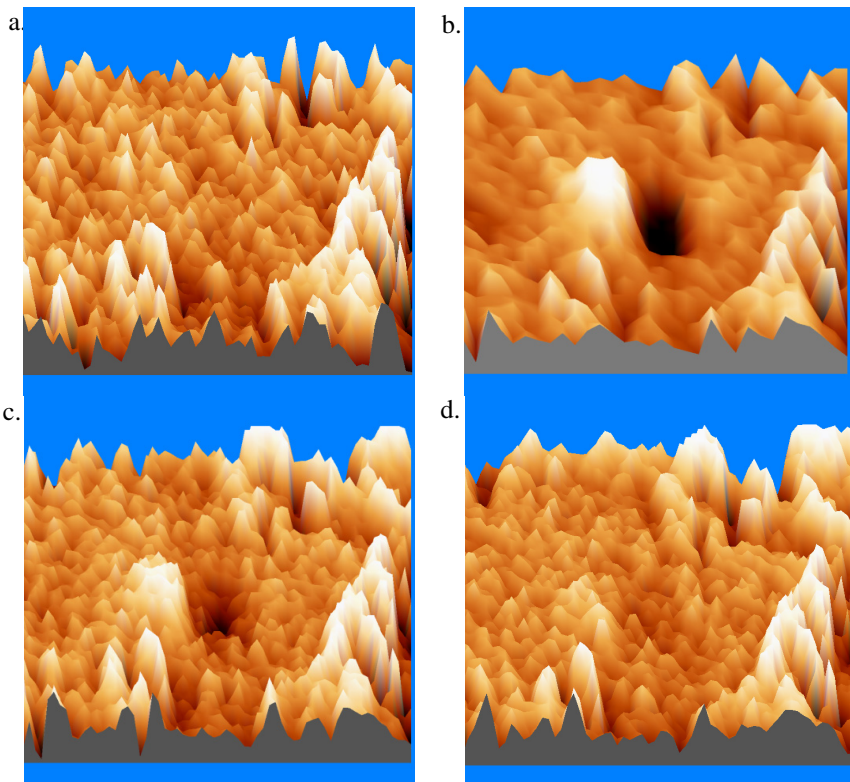
**Fig. 3.** Adhesive forces obtained using silicon nitride tips



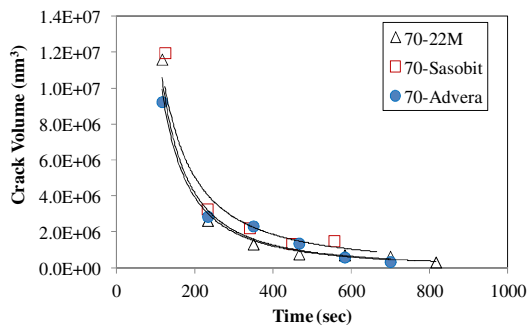
**Fig. 4.** Adhesive forces obtained using  $-COOH$  functionalized tip

### 3.2 Evaluation of Wetting Mechanism

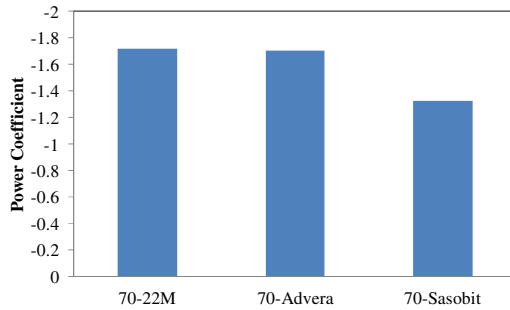
To evaluate the wetting mechanism, a crack was initiated in the asphalt binder and the closure of the crack with time was monitored by continuously taking AFM images. Figure 5 presents the topographical images that were taken before and after the 70-22 M asphalt sample was probed. As it can be noted, a relatively flat spot was identified and indented; this resulted in a nano-crack as shown in Figure 5b, which mitigated with time, Figures 5 b-d. To evaluate the closure (wetting) rate, the images were post-processed and analyzed to determine how the crack volume changed with time for different points for each sample. Figure 6 presents a typical plot of the crack volume as a function of time for the different binders. It is noted that the volume decreases with time nonlinearly for all asphalt binders evaluated. However, the rate of decrease for each binder is different. To examine that, a power function was fitted through the obtained data. Figure 7 presents the coefficients of the fitted power function for the control and WMA binders. It is noted that the Sasobit had resulted in decreasing the power function coefficient, and thus it reduced the crack closure rate, while the Advera did not have any significant effect. This result suggests that the crack closure rate ( i.e. wetting rate) is related to the cohesive forces within the asphalt binder, which is consistent with the healing model proposed by Bhasin et al. (9).



**Fig. 5.** AFM topographical images: a) Directly before probing b) 163 sec after probing c) 350 sec after probing d) 800 sec after probing



**Fig. 6.** Crack volume decrease with time



**Fig. 7.** Rate of decrease of crack volume

## 4 Conclusions

In this paper, an AFM based approach was developed to evaluate the two main healing mechanism in an asphalt binder, namely wetting and intrinsic healing mechanisms. The developed approach was employed to examine the effect of two WMA additives on the healing characteristics of an asphalt binder. Based on the results of this study the following conclusions can be drawn:

- The Sasobit and Advera WMA additives increased the adhesive forces within the asphalt system, and therefore enhanced its adhesive intrinsic healing characteristics.
- When using -COOH functionalized AFM tips, the results indicated that Sasobit led to a reduction in the adhesive/cohesive forces within the asphalt binder, indicating that it might adversely affect its cohesive intrinsic healing properties.
- The wetting in the asphalt binder was adversely affected by the addition of the Sasobit, but was not influenced by Advera.
- The novel AFM-based technique introduced in this study is a very useful tool to evaluate healing behavior of asphalt binders. However, further tests should be conducted over many crack sizes, using different types of functionalized tips with various geometries to validate results and reduce any uncertainties with the proposed technique.

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# Cracking and Healing Modelling of Asphalt Mixtures

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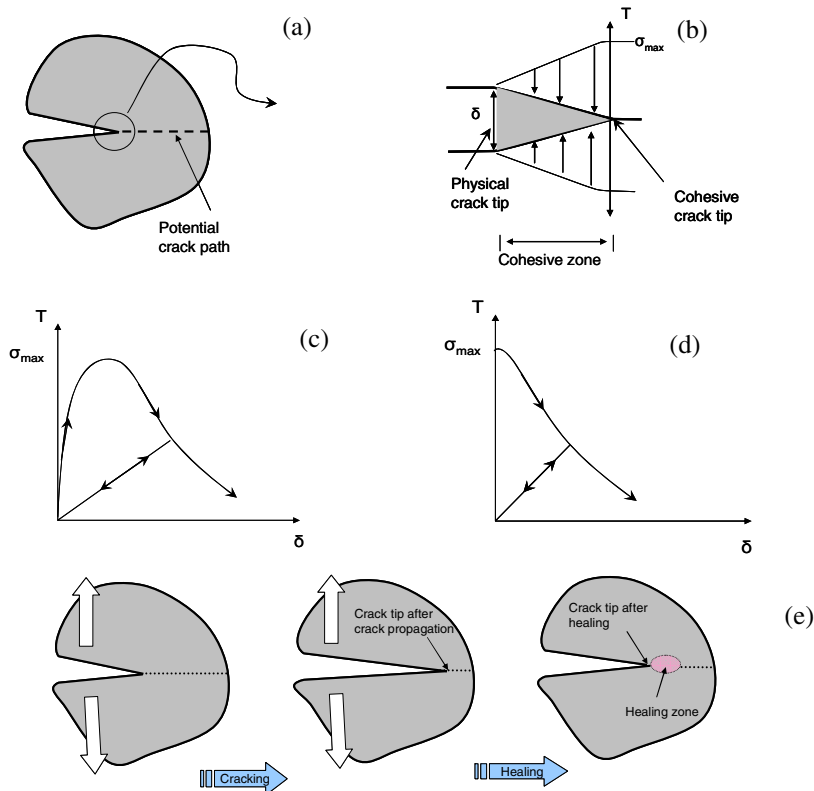
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**Abstract.** Self healing behaviour of asphalt mixture has been known for many years. This unique behaviour can help asphalt concrete to recover its strength after damage. Healing can also extend the service life of asphalt pavements. A beam on elastic foundation test setup (BOEF) was developed to investigate the self healing behaviour of asphalt mixture in a controlled and effective way. Within this setup, a notched asphalt beam was glued on a low modulus rubber foundation, and a symmetric monotonic load was applied with loading-unloading-healing-reloading cycles. The experimental results indicate the existence of the healing behaviour. Increasing reloading curves are observed for increasing healing time and healing temperatures. In order to further understand the cracking and healing phenomenon, a finite element simulation was carried out with a smeared crack cohesive zone model (CZM). By defining both properties of the bulk asphalt mixture and the cohesive zone, the global load-crack opening displacement (COD) response during cracking and healing process was simulated successfully. The model is also capable to separate the two healing phases including crack closure and strength gain. It is also shown that further implementation of the cracking and healing modelling of asphalt mixtures is important for durable asphalt pavement.

## 1 Introduction

Self healing behaviour of bituminous materials has been known for many years [1-3]. The significance of the self healing capability is that an asphalt concrete could repair itself under certain conditions such as hot summers and/or rest periods and extend the service life. Hence, the self healing capability is defined as the recovery of mechanical properties like strength, stiffness as well as an increase of the number of load repetitions to failure. According to Phillips, healing is a multi-step process consisting flow, wetting and inter-diffusion [4]. The flow and wetting is related to the crack closure process and the inter-diffusion dominates the gaining of the strength.



**Fig. 1.** Illustration of the cohesive zone model (a) cohesive zone concept; (b) the separation displacement and the corresponding traction along a cohesive surface; (c) intrinsic cohesive zone model; (d) extrinsic cohesive zone model; (e) hypothesis of cracking and healing process

In order to quantify and qualify the self healing capability of bituminous materials in a controlled and effective way, a beam on elastic foundation set-up (BOEF) was proposed by the authors of this paper [5, 6]. The experimental results indicate the existence of the healing behaviour. Increasing reloading curves are observed for increasing healing time and healing temperatures. This setup is easy to be implemented into practice for evaluating the self healing behaviours of asphalt mixtures. However, due to the complexity of the setup, there is a need for more understanding of the cracking and healing phenomenon using finite element modelling.

A so-called cohesive zone model (CZM), was developed based on non-linear fracture mechanics [7]. As it is shown in Figure 1a and 1b, a cohesive zone is defined in front of the crack tip with a certain traction-separation behaviour. When

the local stress applied is higher than the maximum traction force of the cohesive zone element, the model is active. The post-peak softening is then followed with certain traction-separation relationship until the traction diminishes. This relationship can be either intrinsic or extrinsic (Figure 1c and 1d) [8]. In an intrinsic model, a penalty stiffness is used for the pre-peak stiffness until the maximum traction is reached. After that a descending slope (softening) of the traction-separation relationship is applied. In an extrinsic model, the penalty stiffness is replaced by the stress-strain relationship for the undamaged material until the maximum traction is reached. An extrinsic model is also called a smeared crack model, which is probably more realistic than the intrinsic approach, because it does not assume the pre-existence of the cohesive element during simulation. Figure 1-e illustrates the hypothesis of the cracking and healing process under the CZM concept. The healing process is regarded as a reverse process of cracking. Under certain conditions such as rest period, temperature, compressive stress, etc, the healing process will happen to improve the cohesive strength of the healing zone, hence to bring backwards of the crack tip.

In this paper, the cracking and healing behaviours of asphalt mixtures was modelled using the smeared crack CZM approach under a commercially available FEM code FEMMASSE [9]. The influencing factors and the quality of the results of the modelling were also explored.

## 2 Experimental

As it is shown in Figure 2, a notched beam was fully glued on a low modulus rubber foundation, and a symmetric monotonic load was applied with loading-unloading-healing-reloading cycles. This setup allows a fully closure of the crack with the help of the rubber foundation, which guarantees an autonomous healing process under different crack phases [5, 6].

The experimental loading-unloading-healing-reloading procedure is given below. First a load was applied at a constant crack opening displacement (COD) speed of 0.001 mm/s until the target COD level was reached. Three target COD levels were selected: 0.2 mm, 0.6 mm and 0.9 mm, respectively. After the target COD level was reached, the specimen was unloaded with a COD closing speed of -0.001 mm/s till the load level had returned to 0. At that moment, the external load was removed, and the recovery of the COD was recorded. A rest period of 1 hour was first applied at 5 °C. Then healing periods of 3 hours and 24 hours and healing temperatures of 5 °C and 40 °C were applied, respectively. After the healing period, the specimens were reloaded at a temperature of 5 °C using a COD speed of 0.001 mm/s till a COD level of 1.5 mm. For specimens healed at a temperature of 40 °C, a conditioning time of at least 2 hours at 5 °C was applied before reloading.

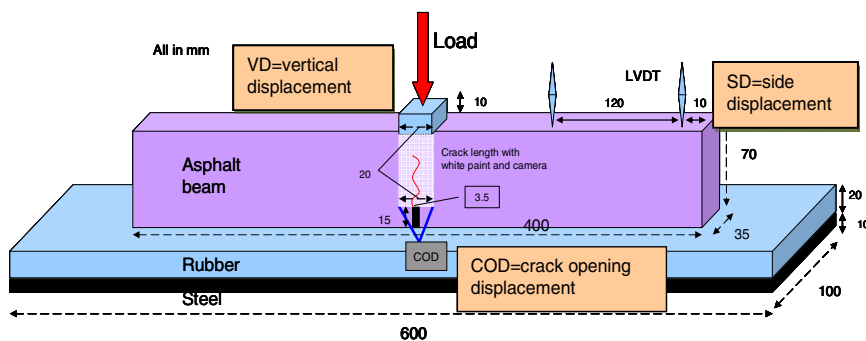


Fig. 2. Schematic of the BOEF setup (all the units are in mm)

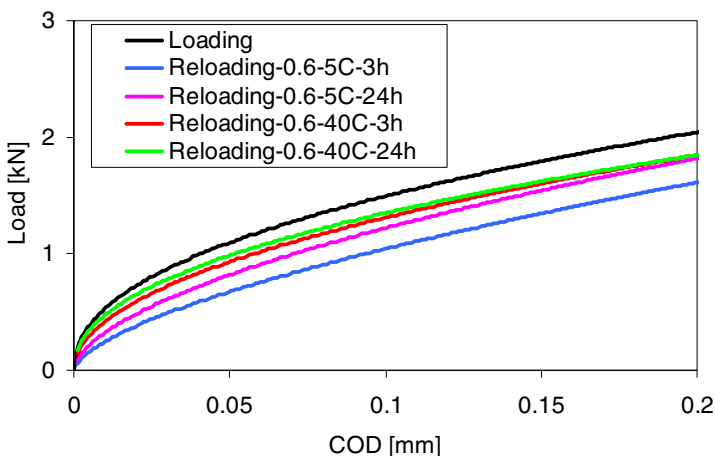


Fig. 3. Typical BOEF test results under different healing conditions for a target COD level of 0.6mm (0.6-5C-3h means healing periods of 3h at healing temperature of 5 °C)

Figure 3 shows the typical load-COD (abbreviation LC) curves for a target COD level of 0.6 mm. It should be noted that, the reloading curves were shifted such that they can be compared directly with the loading curve. Because of the influence of the rubber foundation, the LC curve does not show the abrupt failure like observed in loading tests on simply supported beams. The increase of the reloading curves marks the existence of the self healing phenomenon of asphalt mixtures, and this capability increases with the increasing healing time and healing temperature.

### 3 FEM Model

Table 1 lists the material parameters that were used for the BOEF analysis. Elastic material properties were assigned to all materials, only the asphalt mixture was



modelled visco-elastically. A Generalized Maxwell Model was used to model the visco-elastic properties of asphalt mixtures. The model parameters were calculated from information on the mixture stiffness as a function of loading frequency and temperature which was collected using a dynamic tension-compression test. The visco-elastic material response in frequency domain can be written as Eqn. (1) and Eqn. (2). And the time dependent relaxation modulus can be expressed as Eqn. (3).

$$E^* = E' + iE'' \tag{1}$$

$$E'(\omega) = E_0 \left[ 1 - \sum_{i=1}^n \alpha_i \right] + E_0 \left[ \sum_{i=1}^n \frac{\alpha_i \tau_i^2 \omega^2}{1 + \tau_i^2 \omega^2} \right] \text{ and } E''(\omega) = E_0 \left[ \sum_{i=1}^n \frac{\alpha_i \tau_i \omega}{1 + \tau_i^2 \omega^2} \right] \tag{2}$$

$$E(t) = E_0 \cdot \sum_{i=1}^n \left( 1 - \alpha_i \left( 1 - \exp\left( -t/\tau_i \right) \right) \right) \tag{3}$$

Where:

$E'(\omega)$  = storage modulus as a function of frequency,  $\omega$  [MPa];

$E''(\omega)$  = loss modulus as a function of frequency,  $\omega$  [MPa];

$E^*$  = complex modulus as a function of frequency,  $\omega$  [MPa];

$E(t)$  = relaxation modulus as a function of time, t [MPa];

$E_0$  = stiffness of instantaneous response [MPa];

$\omega$  = applied angular frequency [rad/s];

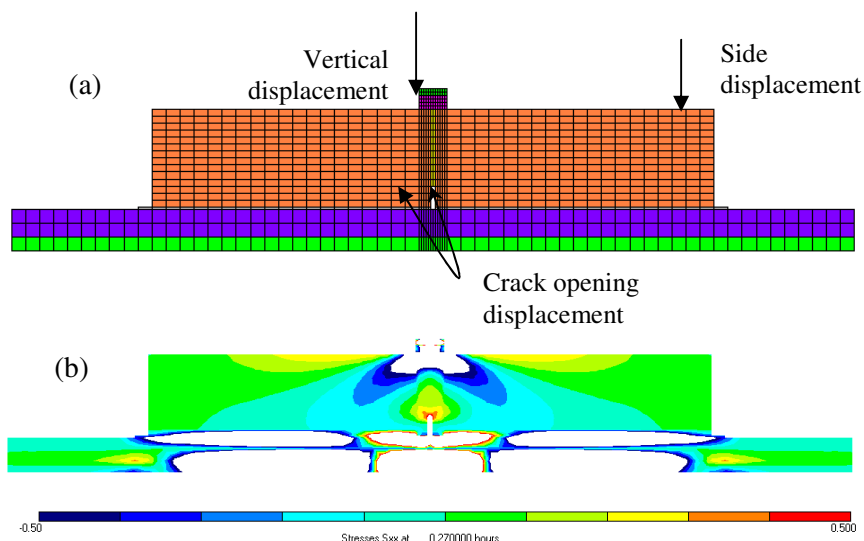
$\alpha_i$  = model parameter, i.e ith Prony E reduction ratio [-];

$\tau_i$  = model parameter, i.e. relaxation speed of the ith Prony [s];

n = number of components in the model [-].

**Table 1.** Material parameters for BOEF analysis

Elastic material parameters							
	Rubber pad	Rubber foundation	Glue	Steel			
Young's Modulus [MPa]	15	6.5	4000	200000			
Poisson's ratio	0.49	0.49	0.15	0.15			
Visco-elastic material parameters for asphalt mixtures							
$E_0$ [MPa]	n	Term1	Term2	Term3	Term4	Term5	Term6
19956	$\alpha_i$	4.52E-01	2.85E-01	2.00E-01	5.71E-02	5.09E-03	6.92E-04
	$\tau_i$ [s]	3.05E-03	7.89E-02	9.72E-01	1.15E+01	1.70E+02	1.00E+03



**Fig. 4.** FEM model assembly of BOEF setup (a) BOEF-2D FEM modelling using FEMMASSE; (b) Horizontal stress distribution  $S_{xx}$

Figure 4 shows finite element model developed in FEMMASSE to model the BOEF test. A 2D FEM model was developed and plain stress conditions were assumed to occur. The thickness of the model was 35mm. Due to complications of keeping a constant COD by applying a vertical load in the FEM simulation, the simulation was carried out with an experimental vertical load as input. Then, displacements were calculated at three locations, including the COD development (COD), which is measured over the notch with a measuring distance of 20 mm; the vertical displacement (VD); and the side displacement (SD). The SD was measured 10 mm away from the edge of the beam.

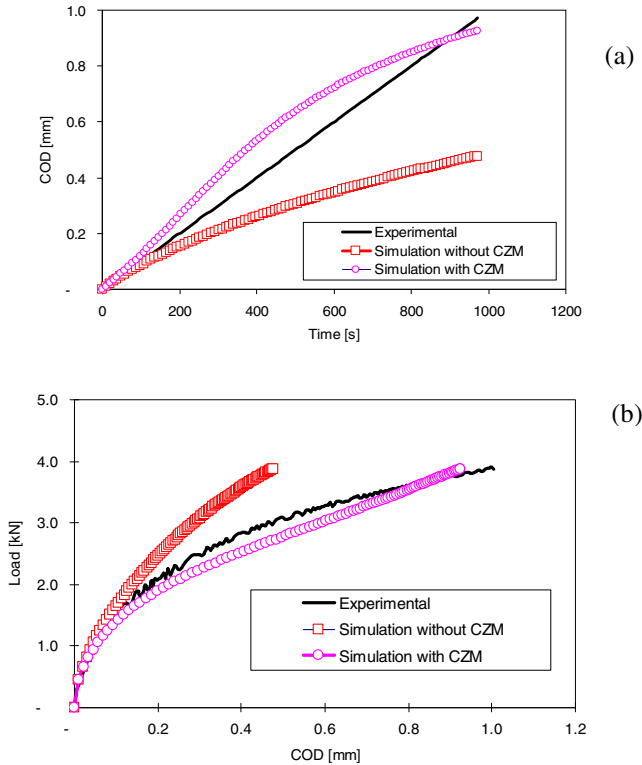
A thin layer of elements right above the notch was defined with both visco-elastic and the cohesive zone property. For simplicity, a linear traction-separation relationship was defined to this layer of elements. The traction and maximum separation were chosen as 3 MPa and 0.001 mm, respectively.

## 4 Results and Discussions

### 4.1 Modelling of Cracking Behaviour

Figure 5-a compares the simulated COD development with and without damage. The modelling with damage indicates that at a certain displacement, a crack has initiated, and the displacement increases because of crack propagation. Figure 5-b

compares the simulated and experimental LC curves. It can be seen that the LC relationship can be simulated successfully if the behaviour of the cohesive zone is modelled appropriately.



**Fig. 5.** Modelling of cracking behaviour (a) comparison of development of COD; (b) comparison of Load-COD curves

### 4.2 Modelling of Unloading Behaviour

Figure 6 shows the simulation results of the immediate reloading curves. It can be seen that the model cannot fully simulate the unloading behaviour. The simulated COD recovers immediately to zero after unloading, which overestimates the experimental COD recovery speed. This is because the experimental crack closure process is a nonlinear process, which is related to the internal compressive stress state due to confining effect of the rubber foundation and relaxation of the asphalt beam. However, the simulation is simplified in such a way that the crack closes linearly with the internal stress state. This results in a rapid recovery of the COD.

Interestingly, the simulated immediate reloading is similar to the experimental reloading after 3 hours of rest at a temperature of 5 °C. It also implies that the recovery in the first hours is related to a crack closure process as shown in the experiment [6].

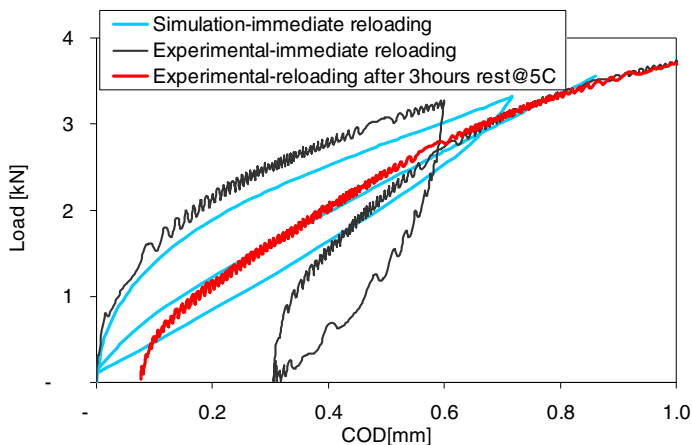


Fig. 6. Simulation of unloading behaviour

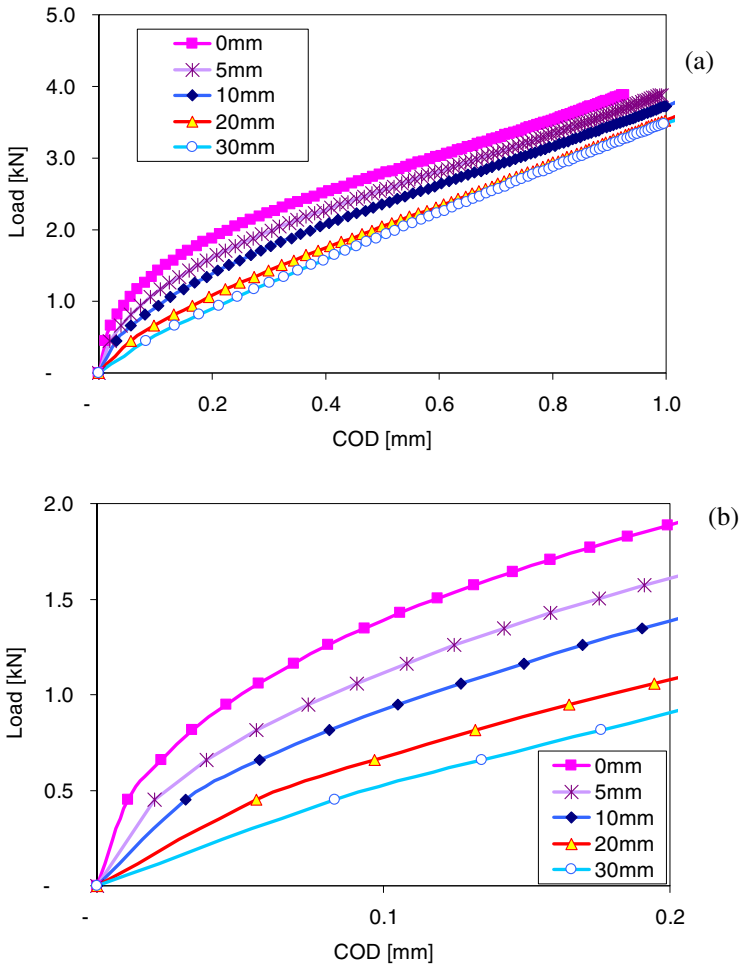
### 4.3 Modelling of Healing Behaviour

It is shown earlier that unloading and a short term healing results in the closure of the crack. So the reloading includes two possible processes, the crack reopens and crack propagates further. It is hypothesised that the crack tip is not only healed totally but also moves backwards due to healing. During simulation, a deduction of the crack length after healing was subjected manually. The same load was applied in all cases in order to see the difference. Figure 7 shows the simulation results of the reloading behaviour.

It can be observed in Figure 7 that the simulated reloading curves are decreasing with increasing crack lengths. However, this effect is less when crack length reaches 20mm and above, then the crack enters the compression zone.

When comparing Figure 7-b with the experimental results shown in Figure 3, the experimental reloading curve with increasing healing time and temperature can be seen as decrease of the crack length or decrease of the crack tip.

As a result, the possible healing can be very important for durable asphalt pavement. It is commonly known that cracking is one of the main causes for early damage of asphalt pavements. Due to healing, the crack can repair itself. This is an autonomous process, which is also believed to be a flow driven process [10, 11]. This process is also very sensitive to the healing conditions such as temperatures, rest periods, compressive stress etc. In the future, optimisation of the healing behaviour should be undertaken to enhance durable asphalt pavement.



**Fig. 7.** Simulation of reloading behaviour with different crack lengths (a) full reloading LC curves; (b) detail of reloading LC curves till 0.2mm

## 5 Conclusions

The cracking and healing modelling was carried out for a special self healing set-up named the Beam on Elastic Foundation test set-up. By using the smeared crack typed cohesive zone model, the cracking and healing behaviour was simulated successfully. Based on the simulation results and discussions, the following conclusions can be made:

- The load-COD curve is shown to be the most appropriate relationship for experimentally indentifying the cracking and healing behaviour. Upon defining the local cohesive zone parameters, the global load-COD curve was simulated successfully.

- During the unloading process, the experimental crack closes slowly resulting in a non-linear decrease of the COD. Due to the simplicity of the unloading nature of the smeared crack model, the crack surface closes totally after the load was removed. This overestimates the crack closure speed as observed in the tests.
- The reloading behaviour was simulated by using predefined crack lengths. The healing behaviour is then directly related to a decreasing crack length as a crack repairing process.

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# Effects of Glass Fiber/Grid Reinforcement on the Crack Growth Rate of an Asphalt Mix

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**Abstract.** This paper presents an application of fracture mechanics to determine crack growth rates of the suggested anti-cracking overlay systems. Two different reinforcing methodologies are applied; 1: addition of chopped glass fibers to the HMA; 2: reinforcing asphalt overlay by glass grids. Asphalt mixture designing tests, three points bending tests and fatigue crack propagation tests were carried out. Fracture toughness  $K_{IC}$  is determined for plain and reinforced asphalt concretes. The crack growth rate is determined for each type of anti-cracking systems, the cracking process is analyzed. One of the significant points in this study is the attempt to give better understanding of the crack propagation for multilayer asphalt overlay. The results indicate that the reinforcing materials improve anti-cracking characteristics of the asphalt concrete and composite structure anti cracking overlay gives a good solution for reflective cracking phenomenon over old cracked pavements.

## 1 Introduction

There is a growing need for more effective rehabilitation methodologies in both developed and developing countries. From a review of the literature, it is apparent that many field methods and analytical techniques have been investigated to minimize reflective cracking in HMA overlays. Many of the early field investigations were based on empirical relationships, which produced results, varied from successful to disastrous. Later research has employed the more favorable mechanistic approach of determining fracture properties of the HMA overlay using fracture mechanics theories. Early research guided by Lytton (1989) was based on identifying fracture properties of geo-synthetic materials using a fracture mechanics based approach. Majidzadeh et al (1985) and Monismith et al. (1980) have also made efforts to predict the fatigue life of an asphalt mixture. Complex geometry and complicated stress systems often necessitate the use of finite element methods (FEM) and extensive computer resources are needed to solve the resulting large systems of equations. RILEM Conference on Reflective Cracking in Pavements in 1989, 1993, 1996, 2000, 2004 and 2008 were organized to point out the main factors and mechanisms involved in the initiation and crack Propagation. Baek and Al-Qadi (2009) employed three-dimensional finite element modeling to investigate the fracture behavior of hot-mix asphalt HMA overlays that resulted in reflective cracking. When steel netting was used, reflective

cracking was significantly reduced in the leveling binder due to strong shear deformation support, as well as high tensile strain compensation in the overlay. This also reduces the potential of reflective cracking in the wearing surface. Braham, et al. (2011) examined asphalt mixture laboratory aging protocols from the standpoint of both mixture and binder physical properties that are believed to relate to various forms of pavement cracking.

The main objective of this research is to give more insight into the crack growth and crack resistance characteristics of asphalt concrete mixes in general, and of wearing courses over old cracked asphalt pavement in particular. The influences of reinforcing materials on fatigue crack growth are analyzed. Better understanding of the reinforced composite structure asphalt overlay in retarding crack propagation is achieved.

## 2 Material Properties

Lime stone aggregate, AH-90 bitumen, chopped glass fibres and glass fibres grid are used in this study. According to asphalt pavements constructions and tests Norm (2001).AC-10I and AK-13BI asphalt mixtures with gradations shown in Figure 1 are used in this paper. Reinforcing materials properties are illustrated in table 1 and table 2.

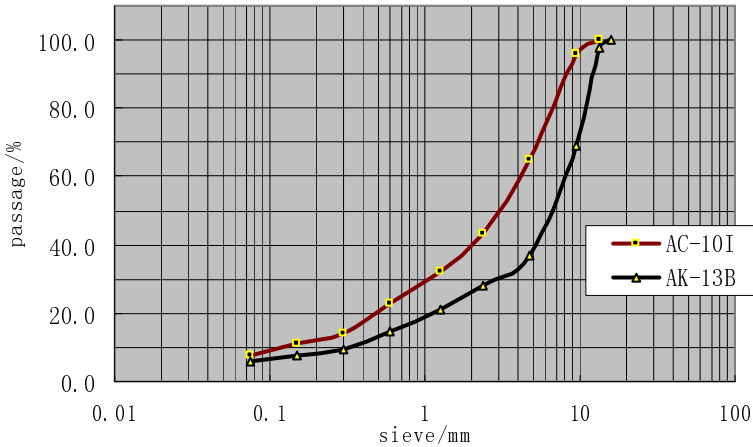
**Table 1.** Glass fibres properties

Fibre diameter /mm	Chopped length/ mm	Colour	Tensile strength/ MPa	Elongation %	Modulus of Elasticity /MPa	Density /g.cm <sup>-3</sup>
0.0058-0.0097	6	Silver White	2000	5	70 000	2.54

**Table 2.** Glass fibres grid properties

Tensile strength/kN/m		Elongation %	Thermal endure range °C	Weight g/m <sup>2</sup>	Grid size/ mm
Longitudinal direction	Horizontal direction				
35	65	< 5	-100 ~ 280	340	12*12



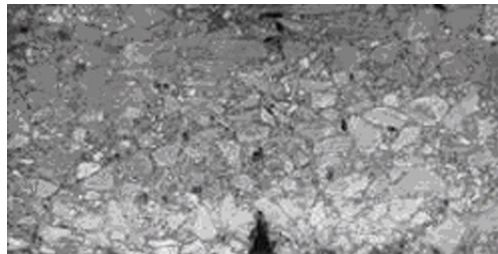


**Fig. 1.** Gradation of AC-10I and AK-13B

### 3 Three Points Bending Test

#### 3.1 Specimens Preparation

Testing temperature is 5°C; specimen dimensions are 70mm x 60mm x 250mm; span: 210 MM; For simulating the initiated crack in the overlay, a metal piece with inverted `T` shape was used, fixed on the middle of the metal mould and heated together to the proper temperature before the asphalt mixture spread on it, the mixture should be compacted and rammed by a small metal stick along the two edges of the metal piece to enhance the density in this area. Before testing, bottom surface of the specimen were polished and crack was sharpened by a saw. The initial crack length is 7 mm and crack tip angle is 20 °, as shown in Figure 2.



**Fig. 2.** Notched asphalt concrete specimen

Four specimens are tested for each of the following material groups:

- (1) AK-13B: skid resistance asphalt mixture (gradation 0/16, bitumen 4%)
- (2) AC-10I: dense asphalt concrete (gradation 0/13.2, bitumen 5%)

- (3) GFRAC: glass fibres reinforced asphalt concrete (AC-10I + glass fibres 1.75%, bitumen 5%)
- (4) GGRAC: glass grid reinforced asphalt concrete (AC-10I + glass grid: The grid is located 10mm above the bottom of the specimen.)

**Table 3.** Fracture toughness for various asphalt materials

Specimens type	Maximum applied load $P_b/N$	Critical moment $M_c/mm^4$	$a/h$	$f(a/h)$	Critical stress intensity factor $K_{IC} / MPa * \sqrt{m}$
AK-13B	4636	245459	0.0996	1.8522	<b>24.3393</b>
AC-10I	5686	304185	0.0992	1.8524	<b>29.8602</b>
FRAC	6535	345030	0.0997	1.8521	<b>34.3089</b>
GGRAC	6308	331170	0.0992	1.8524	<b>32.5634</b>

### 3.2 Fracture Toughness $K_{IC}$

Depending on bending test for notched specimens, fracture toughness can be calculated from the following formula in ZHANG (1998).

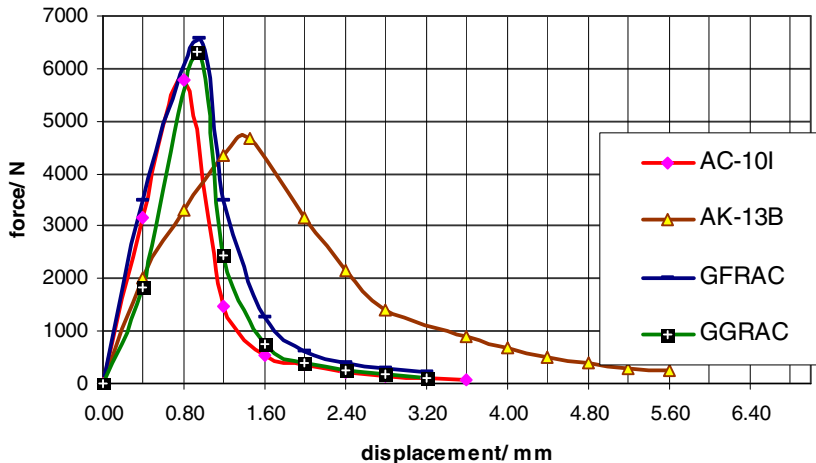
$$K_{Ic} = \frac{6M_c a^{1/2}}{bh^2} f(a/h) \quad (1)$$

In which:  $M_c$  critical moment at failure ;  $a$  notch initial length ;  $b$  specimen width ;  $h$  specimen height.

$$f(a/h) = 1.99 - 2.74(a/h) + 12.97(a/h)^2 - 23.17(a/h)^3 + 24.80(a/h)^4 \quad (2)$$

### 3.3 Test Results Analysis

From Figure 3 , we can notice that even though the crack propagation resistance for both the glass fibers reinforced asphalt concrete (GFRAC) , and the glass grid reinforced asphalt concrete (GGRAC) are relatively high.



**Fig. 3.** Force vs. displacement for notched asphalt concrete specimens

Table 3 indicates that the critical stress intensity factor for GFRAC increased only by 14.9% compared with the plain asphalt concrete, and 9.1% for GGRAC compared with the plain asphalt concrete. This result indicates that under high speed rate loading and low temperature, when the crack was initiated, then the reinforcement's efficiency in retarding crack propagation deeply decreased. Because huge stresses concentrate at the crack tip, while the surrounded areas have no sufficient stress dissipating mechanism. The reinforcement has greater efficiency when the crack does not initiate yet, in un-notched specimen the stresses distribute over large area and the reinforcement participation is big.

## 4 Fatigue Crack Propagation Test

This test is used to measure crack growth rate value ( $da/dN$ ). this value is used to determine the intrinsic parameters of the material, such as  $A$  and  $m$  in the fatigue strength law, such as Paris' law. Paris' Law relates the mean crack propagation per cycle  $da/dN$ , to the variation of the stress intensity factor ( $K_{max} - K_{min}$ ). In other words, this test makes it possible to accurately relate the values of the measurable load parameters and the values of the mechanical internal parameter, such as the stress intensity factor, so as to measure the efficiency of various anti-cracking processes. In addition to this major goal, this test provides a better understanding to the composite structure asphalt overlay, and the effectiveness of reinforcing material in retarding crack propagation.

### 4.1 Composite Structure Asphalt Overlay

It is well known that the main function of asphalt wearing course is to provide suitable skid resistance to the traffic loads and good serviceability. In case of asphalt overlay on old cracked asphalt pavement, the overlay will quickly develop cracks due to reflection phenomenon from the old cracked layers. Due to the asphalt

wearing course's gradation and structure, one single layer is not sufficient to crack propagation. Therefore, composite structure asphalt overlay is recommended to study the effectiveness of the reinforcements in this system. The specimens were formed in the laboratory in two steps, first, the plain asphalt concrete AC-10I or reinforced asphalt concrete was spread inside a metal mould and compacted to the proper density, then the skid resistance asphalt mixture AK-13B was added above it and then compacted to the proper density. Different reinforcement, gradation and structure have been used to create anti cracking overlay systems. In addition to the main types AC-10I, AK-13B, GFRAC and GGRAC, three types of composite structure asphalt specimens have been tested: Composite AK-13B/AC-10I, Composite AK-13B/GFRAC and Composite AK-13B/GGRAC. The composite structure consists of 35mm AK-13B and 35mm AC-10I or GFRAC or GGRAC. The readers are referred to reference [8] for more details.

## **4.2 Test Conditions**

The formation method of the specimens are typically as it was in the three points bending test. This test was carried out in MTS laboratory of Chang'an University. Loading frequency: 10 Hz; (loading frequency corresponds to a vehicle speed of 70 km/h) . Half sine loading wave was applied. The fatigue crack propagation test was performed for the pure opening mode only. The force controlled mode used a maximum load application of 1155 N equivalent to  $0.2 K_{IC}$  for the AC-10I type. Four specimens were tested for each of the seven studied types. Crack detectors (crack propagation gauges) bonded on the two sides of the specimen is used for measuring the crack propagation under the load repetitions, and checked by the dynamic cracking measuring unit.

## **4.3 Test Results Analysis**

### **4.3.1 For the Basic Types (Non Composite Structure Overlays)**

Figure 4 illustrates the cracking progress for the four basic types of the asphalt overlays under load cycles. Obviously the skid resistance type AK-13B took smallest number of load repetitions before failure. This is not surprising, due to the fact that AK-13B has open gradation, high void percentage, and the low bitumen content. The crack takes its way between the grains and the repetitions of load, in this case, leading to the de-bonding mechanism between the aggregates.

The crack propagation of the glass grid reinforced asphalt concrete GGRAC took the same behavior as the plain asphalt concrete at the beginning. This makes it clear that the reinforcing grid does not have a big effect on retarding the concentrated stresses in the crack tip. However, when the crack propagates further upwards and the deformation of the specimen increases, the grid at this stage plays a major role in retarding the crack propagation. The glass grid prevents further opening of the crack due to its low rate of elongation and its high tensile strength. At this point, a transfer of the stresses from the crack tip to the grid occurs and the crack growth rate decreases.

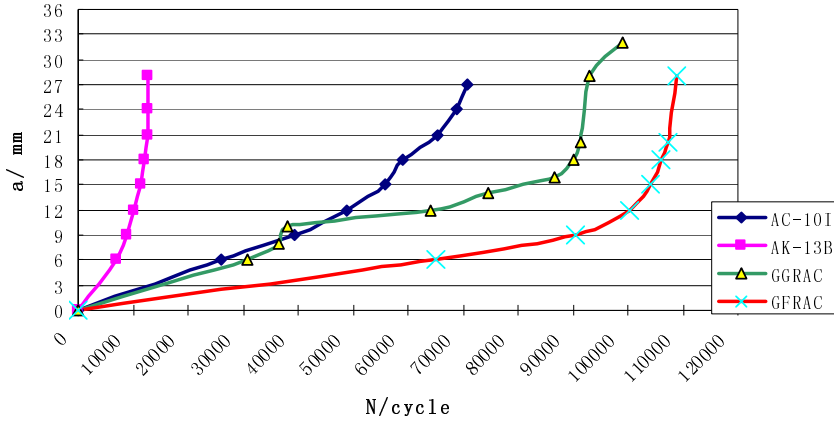


Fig. 4. Crack lengths vs. load cycles

Here a great attention should be paid to the type of grids to be used for retarding crack propagation i.e. only grids with low rate of elongation and high tensile strength should be used. The grid used in this study increases the total applied load cycles value by **40%** compared with the plain asphalt concrete. The crack propagation of the chopped glass fibers reinforced asphalt concrete GFRAC behaves differently from the plain asphalt concrete. Figure 4 shows that cracking occurs in steady progress from the beginning until the failure stage, with low crack propagation velocity along the cracking line, except failure stage. The chopped glass fibers in the asphalt concrete have a bridging effect, which makes the asphalt layer act as a whole. The addition of chopped glass fibers to the asphalt concrete increased the total applied load cycles value by **54%** compared with the plain asphalt concrete.

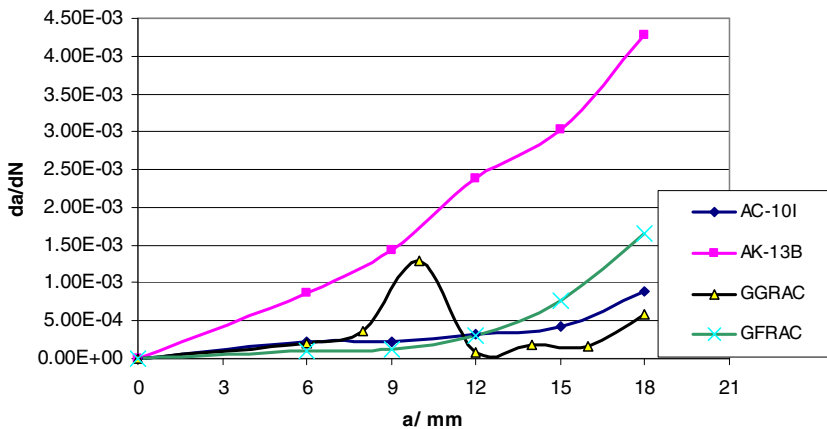


Fig. 5. Crack lengths vs. the velocity of the crack propagation

Figure 5 shows the velocity of the crack propagation in each step during the test, In general, the crack growth rate increases as the crack moves upward , but in glass grid reinforced asphalt concrete, this induction was not typical, possibly because some de-bonding between the grid and the asphalt concrete had happened. The glass grid here may have a negative effect if it is not positioned properly; the grid allows the asphalt layer to deform larger than the plain asphalt concrete can bear, if the de-bonding has happened, then the crack would grow faster than it is expected in plain asphalt layer, due to large deformation which is allowed by the grid. It is noticed that the crack growth rate did not increase in certain steps especially with reinforced asphalt concrete and in some cases the crack propagation stopped and other crack was initiated later.

### 4.3.2 Determination of the parameters A, n in Paris law

According to the Paris law, we have

$$da/dN = A(\Delta K_I)^n \tag{3}$$

In which, the stress intensity factor of different crack length can be simulated with finite element method. We then obtain the values of A and n in Table 4 by Paris law from Figure 6.

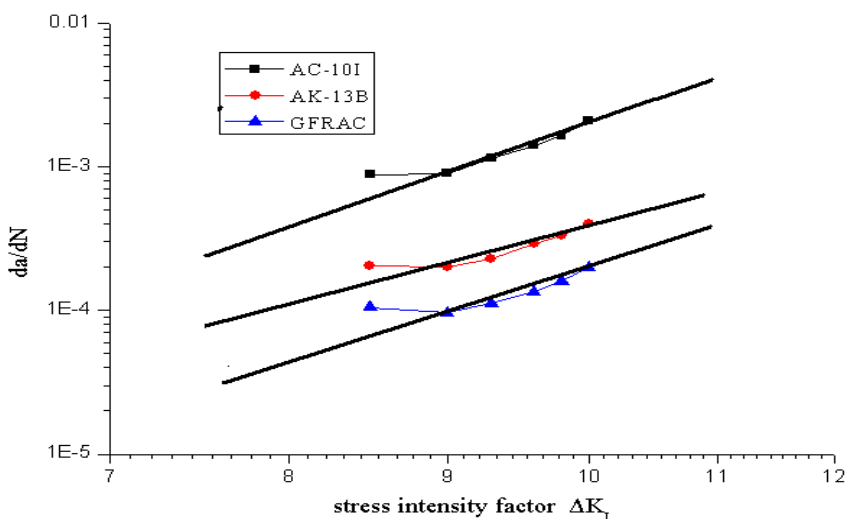


Fig. 6.  $da/dN$  vs  $\Delta K_I$

The difference propagation behavior between AC-10I and AK-13B is reflected in both n and A.

$$\frac{A_{AK-13B}}{A_{AC-10I}} = 0.32, \quad \frac{n_{AK-13B}}{n_{AC-10I}} = 1.49 \tag{4}$$

**Table 4.** Values of A and n

TYPE OF SPECIMEN	A	n	R <sup>2</sup>
AC-10I	1.6E-06	2.34	0.83
AK-13B	5.1E-07	3.49	0.86
GFRAC	2.5E-07	2.85	0.74

Compared with AC-10I, GFRAC has a lower value A and higher value n, that is to say, the function of glass fiber inside the concrete is to increase value A and decrease value n.

$$\frac{A_{GFRAC}}{A_{AC-10I}} = 0.15, \quad \frac{n_{GFRAC}}{n_{AC-10I}} = 1.22 \quad (5)$$

### 4.3.3 For the Composite Structure Anti-cracking Overlays Systems Types

Figure 7 demonstrates the cracking progress for three composite anti cracking asphalt overlays systems. Obviously the plain composite structure AK/AC-10I type took smallest number of load cycles repetition before failure, and the crack growth rate increased rapidly. The composite glass grid reinforced asphalt concrete AK/ GGRAC gave the best result in retarding crack propagation among composite structure asphalt overlay. The grid increased the total applied load cycles value by **238%** compared with the plain composite structure asphalt overlay AK/AC. This result proves that reinforcing grid placed in the lower part of the overlay blocked crack propagation in some extent.

The failure of the composite Glass grid reinforced asphalt concrete AK/ GGRAC was not complete, the ductility was obvious, and the glass grid strands were not broken completely. Also the crack propagation line grew horizontally in some stages, other cracks were initiated beside the main one, but all of them started from the pre-made notch. The addition of chopped glass fibers to the composite overlay AK/GFRAC improved the resistance to the crack propagation, but not as much as the reinforcing glass grid did. The chopped glass fibers were increased the total applied load cycles value by **155%** compared with the plain composite structure asphalt overlay AK/AC-10I. From Figure 7 the composite structure asphalt overlay reinforced with glass grid AK/GGRAC showed high deformation under load cycles compared to the other types at the beginning but without increasing crack growth rate, and the failure of composite AK/ GGRAC happened at displacement value of **30%** higher than it is for the plain asphalt

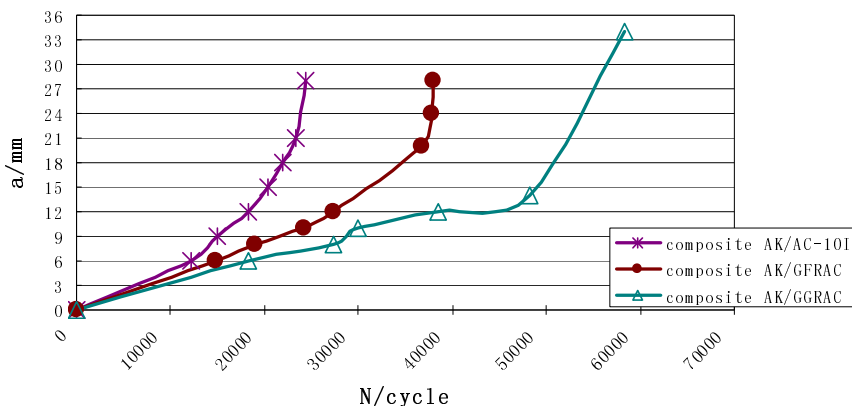


Fig. 7. Crack lengths vs. load cycles for composite anti-cracking overlays systems

concrete, actually, from the curves in Figure 7 we can notice that at the last stage of the crack propagation, the curve becomes horizontal in plain and chopped fibers reinforced composite asphalt overlay, while it continues more vertical in glass grid reinforced composite asphalt overlay, that makes it clear that the composite AK/ GGRAC has higher bearing capacity along the crack propagation process until failure.

## 5 Conclusions

- 1) Glass grid reinforcement prevents further opening of the crack due to its low rate of elongation and its high tensile strength.
- 2) Chopped glass fibers in asphalt concrete have a bridging effect, and the cracking development occurs in steady progress from the beginning until the failure stage, with low crack propagation velocity along the cracking line. Under high speed loading rate and low temperature condition, when the crack was initiated, the reinforcement's efficiency to retard crack propagation is sharply decreased
- 3) Crack growth rate both in plain and reinforced asphalt concrete grow faster at the beginning then the rate of displacement decreases and again becomes faster until the failure, and it may not increase in certain steps especially with reinforced asphalt concrete.
- 4) Glass grid composite structure reinforced asphalt concrete AK/GGRAC gives best result in retarding crack propagation among other composite structure asphalt overlay types.
- 5) The values of A and n in Paris law are obtained for three different asphalt concretes, which is of importance in the prediction of fatigue life of the asphalt mix.



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# Asphalt Rubber Interlayer Benefits in Minimizing Reflective Cracking of Overlays over Rigid Pavements

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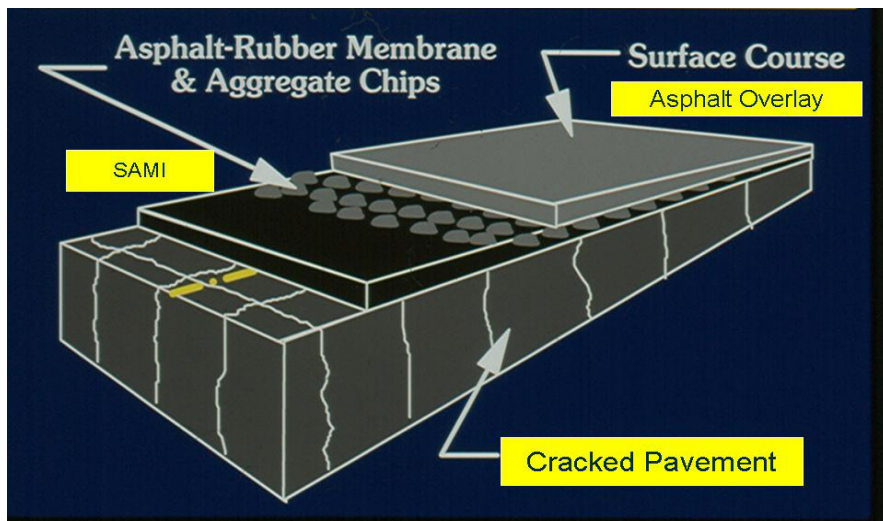
**Abstract.** This paper provides an overview of the asphalt rubber interlayer benefits on reflective crack retardation in overlays over rigid pavements. These interlayers are known in California as asphalt rubber absorbing membrane interlayers (SAMI-R) or as asphalt rubber aggregate membrane interlayers (ARAM-I) chip seals. The paper focuses on the performance in terms of field project reviews, laboratory performance tests and finite element analysis. SAMI-R has been given a reflective cracking equivalent thickness of 15 mm of asphalt rubber hot mix overlays or 30 mm of dense graded hot mix overlays. The finite element analysis confirms the quantified reflective cracking benefits of SAMI-R and provides optimum design alternatives to conventional dense grades asphalt concrete overlays. The paper concludes that SAMI-R is effective in minimizing reflective cracking distress and in extending pavement life.

## 1 Introduction

In the rehabilitation of rigid pavement structures using asphalt concrete overlays, interlayers are often used to minimize reflective cracking. One of these types of interlayers are asphalt rubber chip seals which possess low stiffness and high deformability. These interlayers dissipate the stress and strain energies that accumulate at the crack and joint tips of a rigid pavement which would otherwise get transferred to the underside of the HMA overlay. The dissipation of these high level stresses and strains minimizes the potential of reflective cracking in the HMA overlays. Reflective cracking is considered a major pavement distress which occurs as a result of cracks that reflect through an HMA overlay from cracks or joints of an existing pavement. Another additional benefit of an interlayer is its ability to prevent water intrusion into the lower layers of the pavement structure; thus protecting the structural integrity of the pavement system.

Asphalt rubber chip seals have been used effectively as interlayers over distressed flexible and rigid pavements, and as a surface treatment [1]. In California, these interlayers are known as rubberized stress absorbing membrane interlayers (SAMI-R) or asphalt rubber aggregate membrane interlayers (ARAMI) [2,3], and are often used interchangeably in the pavement technical literature and

throughout this white paper. A schematic of a pavement section showing a typical ARAMI is shown in Figure 1. When used as a surface layer such as rubberized open graded friction course, it is called asphalt rubber aggregate membrane (ARAM) or simply a rubberized chip seal.



**Fig. 1.** Schematic of a cracked pavement receiving an ARAMI prior to an HMA overlay

Interlayers can extend the life of preservation and rehabilitation strategies. The magnitude of life extension depends on many factors including existing pavement condition, traffic loading, climatic and environmental conditions, and the type and engineering properties of the interlayer used [1]. The excellent performance of these interlayers is primarily due to the (1) unique elastic properties, and (2) superior aging characteristics of the asphalt rubber binder which can withstand as much as five times more strain than the unmodified asphalt binder [4].

## 2 Performance of Interlayer Systems

The merits of ARAMI's have been proven both in the field and the laboratory. Additionally, a number of analytical studies using Finite Element Methods (FEM) have demonstrated the efficacy of interlayer systems in minimizing the potential of reflection fatigue cracking in HMA surface courses. In the following, a brief discussion of some related performance studies is presented.

Field performance of many projects in California and Arizona, since the 1970's, has shown the significant benefits of SAMI-R in retarding reflective cracking on HMA overlays [5-12]. These studies concluded the effectiveness of SAMI-R in reflective cracking retardation and the superiority of the pavement systems incorporating SAMI-R's to those without SAMI-R's.

Many laboratory experiments were conducted to investigate the effectiveness of SAMI-R's in retarding reflection cracking in new HMA overlays. Recently, Bin et al. [13] conducted a laboratory simulation study using the Hamburg wheel tracking test to compare the relative reflective cracking performance of various types of interlayers that were placed below a hot mix asphalt overlay over an existing cracked pavement. These interlayers included SBS modified asphalt sand, Asphalt rubber sand, Fiber glass polyester mat and SAMI-R in addition to a control section without an interlayer. Note, the SAMI-R is the interlayer type modeled in this paper. The tests were conducted at a rate of 52 cycles per minute to simulate the development of reflective cracking under a moving load. The test specimens were simply supported beams conditioned at -20 °C for 5 hours prior to load conditioning by the application of 8000 loading cycles to stabilize the deflection. The specimens were then subjected to loading cycles until failure; which was described as the first appearance of a crack at the bottom of the surface layer. It was found that the use of an interlayer would extend pavement life significantly when compared with the option of not using an interlayer. In addition, the SAMI-R interlayer was superior to the other types of interlayers tested in these experiments in retarding reflective cracking and extending the life of the overlay.

Additionally, a number of analytical studies have been conducted using finite element analysis to theoretically investigate the contribution of SAMI-R's to the performance of rigid and flexible pavement systems. Among the early studies are those conducted by Coetzee and Monismith [14] and Chen et al. [15]. In Coetzee and Monismith [14], 48 simulations representing various configurations of cracked concrete pavements overlaid with a rubberized stress absorption membrane followed by an asphalt overlay. In the simulations, the effect of many variables was studied including the asphalt concrete overlay modulus (varied between 100,000 psi to 1,500,000 psi) and thickness (varied between 2 to 4 inches). The modulus of the interlayer was assumed between 1,000 and 20,000 psi with a thickness between 0.125-0.5 inch. The concrete layer modulus was varied between 1,000,000 and 4,000,000 psi and its thickness between 4 and 8 inches. A crack in the concrete layer 0.25-0.5 inch wide was assumed. Finally, the base layer was assumed 12 inch thick and 20,000 psi modulus and the subgrade modulus was varied between 5000-10,000 psi. A general purpose 2-D finite element program was used in the analysis. The analysis confirmed the effectiveness of the low-modulus interlayer in reducing the crack tip effective stress (described by the Von Mises criterion), and the inhibition of reflection cracking resulting from both load (traffic loading) and temperature changes (thermal loading). The study found a significant reduction in crack tip stress with the use of the rubber asphalt interlayer. This was found to be more pronounced in those cases where the overlay modulus is 0.1-0.25 that of the cracked PCC layer. The study has also shown that crack width, interlayer modulus, and overlay thickness have significant effect on the crack tip stress, but that the ratio of overlay modulus to cracked layer modulus appears to be more influential.

Chen et al. [15] analyzed the Arizona three-layer thin-overlay system with the use of a 2-D finite element program in which an asphalt rubber concrete is placed in two lifts each 5/8 inch thick and a low-modulus asphalt rubber interlayer 3/8 inch thick placed in between. The bottom lift may be considered as a leveling course. This system, also called SAMI-R in Arizona, was commonly placed on top of cracked Portland cement concrete pavements prior to overlaying with hot mix asphalt. In the analytical studies, a 9 inch PCC layer with a crack 0.3 inch wide was assumed. An HMA overlay of various thicknesses placed over the interlayer system was analyzed under the effect of both moving traffic and thermal loadings. The results of the analysis indicated the significant benefits of using the interlayer system in reducing the critical stresses and strains and in dissipating the stress concentrations at the crack tip for HMA overlays placed over rigid pavements. The study demonstrated a significant reduction in both the effective stress and shear stress above the crack tip upon using an interlayer due to both temperature changes and traffic loading. It was also observed that upon incorporating an interlayer, the effect of overlay thickness becomes less critical leading to more economical designs.

### 3 Finite Element Analysis

Two dimensional finite element analyses were conducted to study a number of factors and their influence on the performance of rigid and flexible pavement systems incorporating ARAMI's (SAMI-R's) in comparison with systems that did not include these interlayers. Table 1 provides a summary of the parameters used in the analysis. A total of 36 scenarios involving various variables pertaining to concrete pavements overlaid with HMA were analyzed. Cracks 3 mm wide with a 60 cm spacing were assumed. The finite element models studied consisted of an HMA overlay with or without ARAMI layer, with or without leveling course on top of a rigid cracked pavement; thus representing four types of configurations.

Two types of ARAMI's, varying in their stiffness, were used in the analysis. The ARAMI was assumed to be orthotropic with regard to its modulus. The "Soft" ARAMI has a modulus of 7 MPa in the horizontal direction and 100 MPa in the vertical direction. The "Hard" ARAMI was assumed to have a modulus of 35 MPa in the horizontal direction and 100 MPa in the vertical direction. Whenever used, the ARAMI thickness was assumed to be equal to 1.0 cm.

The 2-D finite element model used in the analysis represents an HMA overlay with or without ARAMI layer, with or without leveling course on top of a rigid cracked pavement which, in turn, rests on top of a granular base layer and a subgrade layer. The model was designed considering the existence of full friction between the old and new pavement layers. The materials were modeled assuming a linear elastic behavior.

The mesh of the model was designed as a plain strain problem, by using quadrilateral, two-dimensional structural-solid elements, with eight nodes, with two degrees of freedom at each node. The mesh was designed to apply a load with

a dual wheel configuration representing a standard axle wheel of 80 kN, applied on the pavement surface in a representative area of the tire-pavement contact. The finite element model used in the numerical analysis was developed in a general finite elements code, ANSYS(R) Academic Teaching Introductory, V12.1. The 2-D finite element model used in the analysis considers typical values for thickness and stiffness as indicated in Table 1. Many strains and stresses (X, Y, and XY) were determined with the finite element models analyzed at a number of locations; both within the interlayer and in the overlays, as shown in Figure 2.

In cases where a leveling course may be used prior to placement of the interlayer and subsequently the HMA overlay, the Von Mises strain was calculated at the interface between the leveling course and ARAMI and between the ARAMI and the overlay. Also, Von Mises stresses were calculated in the same locations as for strains. Also included for the analysis is the configuration where a rigid pavement receives only a leveling course without any interlayer then an HMA overlay, as shown in Case 19-24 of Figure 2.

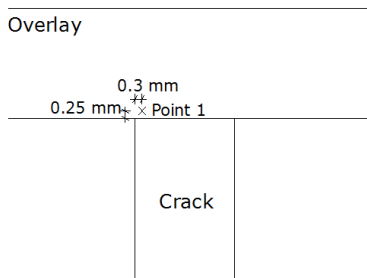
In this paper, only the effective stresses and strains defined by Von Mises criterion will be used in evaluating the benefits of the interlayers. The Von Mises stresses and strains have been used by many researchers in evaluating pavement systems [9, 14, 15]. The Von Mises stress is calculated from the principal stresses according to the following equation:

$$\sigma_{VM} = \sqrt{\frac{(\sigma_1 - \sigma_3)^2 + (\sigma_2 - \sigma_3)^2 + (\sigma_1 - \sigma_2)^2}{2}}$$

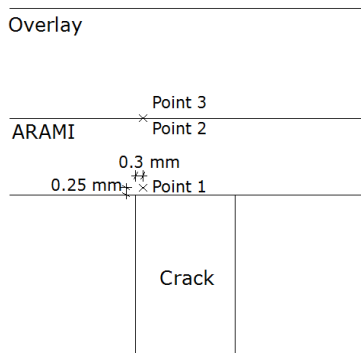
**Table 1.** Material properties used in the finite element analysis of rigid pavements

<b>Input parameter</b>	<b>Values</b>
HMA overlay thickness (cm)	2.0, 6.0, 12.0
HMA overlay stiffness (MPa)	2000, 4000
ARAMI thickness (cm)	0 (none), 1.0
ARAMI stiffness (MPa) (Horizontal, Vertical)	Case 1 (Soft interlayer): (7,100) Case 2 (Hard interlayer):(35,100)
Leveling course thickness (cm)	0 (none), 3.0
Leveling course stiffness (MPa)	Equal to that of the HMA overlay
Existing PCC thickness (cm)	20.0
Existing PCC stiffness (MPa)	20,000
Existing PCC crack spacing (cm)	60.0
Existing PCC crack opening (cm)	0.3
Aggregate base layer thickness (cm)	20.0
Aggregate base layer stiffness (MPa)	270
Subgrade stiffness (MPa)	35

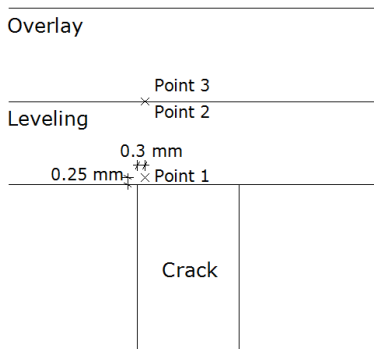
Case 1-6



Case 7-18



Case 19-24



Case 25-36

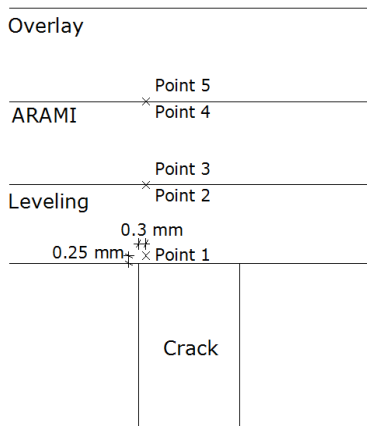


Fig. 2. Location of the points used in the analysis of rigid pavements

where  $\sigma_{VM}$  is the Von Mises stress, and  $\sigma_1$ ,  $\sigma_2$ , and  $\sigma_3$  are the major, intermediate, and minor principal stresses, respectively. A similar equation may be written for the Von Mises strain as follows:

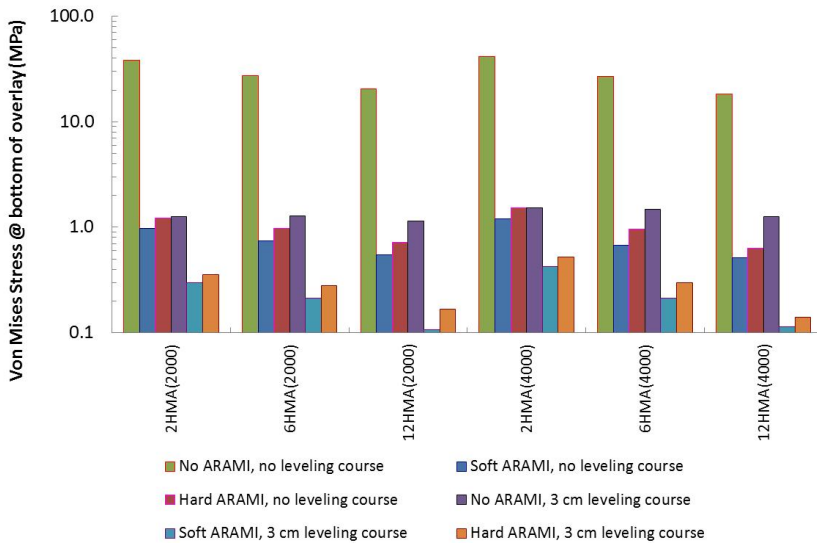
$$\epsilon_{VM} = \frac{1}{1 + \nu} \sqrt{\frac{(\epsilon_1 - \epsilon_3)^2 + (\epsilon_2 - \epsilon_3)^2 + (\epsilon_1 - \epsilon_2)^2}{2}}$$

where  $\epsilon_{VM}$  is the Von Mises strain, and  $\epsilon_1$ ,  $\epsilon_2$ , and  $\epsilon_3$  are the major, intermediate, and minor principal strains, respectively. For a 2-D system, the above two equations

are reduced by assuming  $\epsilon_3=0$  and  $\sigma_3=0$ . In order to study the benefits of interlayers in extending the fatigue life of the HMA overlay, the Von Mises stresses and strains were calculated at the underside of the HMA overlay for all the systems with and without interlayers. Once these stresses and strains are calculated, they may be used with appropriate transfer function to calculate fatigue life.

Figure 3 shows the Von Mises stress that develops at the underside of the HMA overlay in the various overlay configurations studied. Similarly, Figure 4 shows the calculated Von Mises strain at the bottom of the HMA overlay.

In order to study the effect of using ARAMI on the fatigue reflective cracking performance of HMA overlays placed over cracked rigid pavements, a transfer function would be required to describe the rate of deterioration as function of the strain at the bottom of the overlay. Since a Von Mises type of strain was used, it would be necessary to have a transfer function with such a strain in its statement. Sousa et al. [9] provided such equations for HMA and gap graded rubberized hot mix asphalt (RHMA-G) as shown in Figure 5. In these equations, the fatigue life represents the number of loading cycles until crack initiation and it does not consider crack propagation. In this study, the overlay is made of HMA (dense graded asphalt concrete) and therefore, the corresponding transfer function shown in Figure 5 will be used in computing the fatigue life of the overlay in terms of repetitions of the 80-kN axle load (1 ESALs). Figure 6 shows the calculated fatigue life for three HMA thicknesses (2, 6, and 12 cm) and the 12 pavement configurations used in the analysis (total 36 cases).



**Fig. 3.** Von Mises stress at the bottom of the HMA overlay for the rigid pavement configurations



The notation used to describe the configurations in Figure 6 consists of the HMA overlay thickness in cm followed by the HMA stiffness, in parentheses, in MPa. For example, 2HMA(2000) represents the cases where the HMA overlay is 2 cm thick and of 2000 MPa modulus. In each group, a number of cases is considered as described in the legend of Figure 6. The control case is with no ARAMI and no leveling course and represented with the green bar in Figure 6. The soft and hard interlayers are described by their modulus as shown in Table 1. Inspection of Figure 6 reveals the following:

- Strains and stresses are largest for the control cases (i.e., pavement structures without ARAMI or leveling course).
- Using a soft ARAMI results in reduced levels of stress and strain compared to using hard ARAMI.
- The use of a 3 cm leveling course tends to significantly reduce the stresses and strains compared to similar cases without the leveling course. The additional leveling course provides a structural layer that reduces the strain and stress at the bottom of the HMA overlays.
- Increasing the HMA overlay thickness up to 12 cm (when used without ARAMI or leveling course) was not able to reduce the strain and stress to the levels achieved when using ARAMI even with the thinnest HMA of 2 cm. A similar trend was observed in the studies by Coetzee and Monismith [14] and Chen et al. [15]. For example, considering the 2000 MPa modulus HMA, a 12 cm overlay without ARAMI or leveling course would produce a stress of ~20 MPa whereas using an ARAMI with a 2 cm HMA overlay resulted in a stress of only 1 MPa. Similar trends were observed with the Von Mises strains shown in Figure 4. The use of a leveling course tends to diminish the benefits of using thicker overlays by always producing nearly same level of stress and strain regardless of HMA thickness. As an example, for the 2000 modulus HMA, the use of 3 cm leveling course without ARAMI always produced about 1 MPa of stress regardless whether a 2 cm, 6 cm, or 12 cm HMA overlay was used.
- Without ARAMI or leveling course, the HMA overlays exhibit shorter life than when an interlayer or a leveling course was used.
- The use of soft ARAMI resulted in greater extension in overlay life compared to hard ARAMI.
- Without an ARAMI, the HMA overlay tends to fail immediately upon loading due to experiencing “exceptionally high” levels of Von Mises strain in the range of 0.01-0.02 (see Figure 6). It is questionable, however, if the transfer function (Figure 5) used in calculating the fatigue life is valid for this level of strain.

As can be seen, there is significant increase in the life of the overlay with the use of ARAMI. This is due to reduced level of strain upon using these interlayers. It is believed that the interlayers absorb a great amount of the stress and strain and as such only small amount of these stresses and strains arrives at the underside of the overlay.

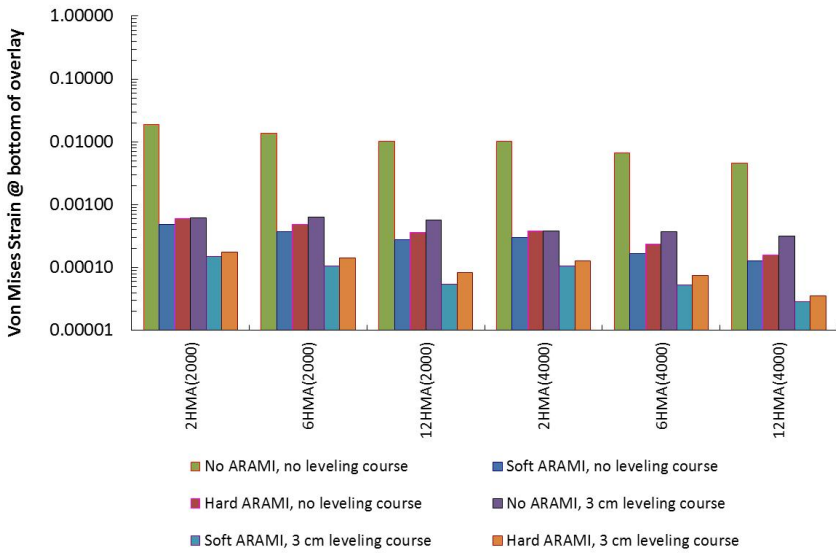


Fig. 4. Von Mises strain at the bottom of the HMA overlay for the rigid pavement configurations

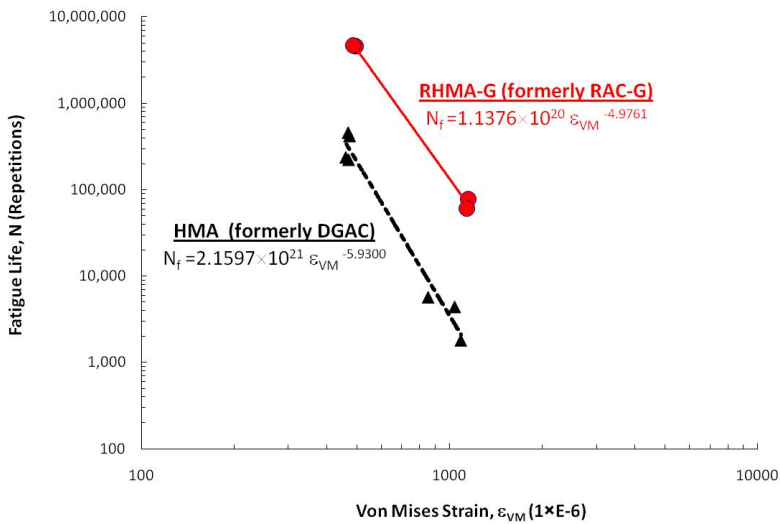


Fig. 5. Fatigue transfer function for HMA and ARHMA mixes (from Sousa et al. [9])

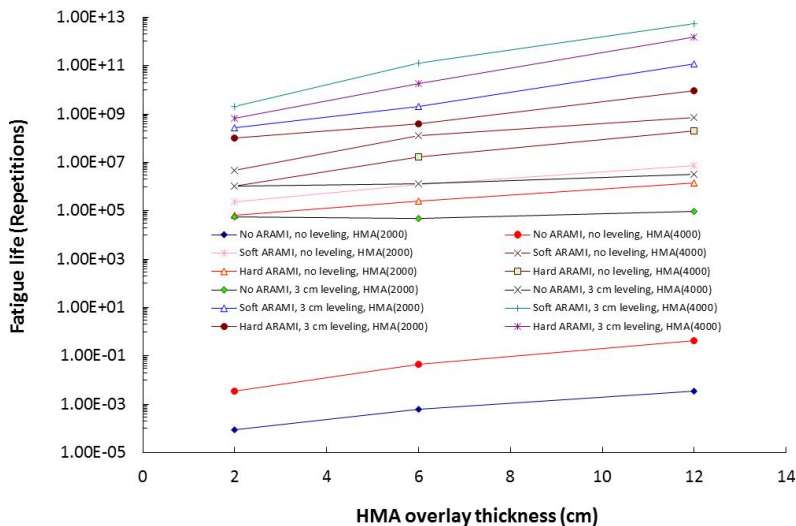


Fig. 6. Fatigue life as function of overlay thickness for the 12 concrete pavement configurations analyzed

### 4 Conclusions

This white paper has demonstrated the benefits of using overlay systems with asphalt rubber interlayers. The ARAMI (or SAMI-R) has consistently been shown to reduce reflective cracking when used as part of preservation and rehabilitation strategies. Field studies, accelerated wheel tracking experiments, laboratory testing, and analytical studies have all confirmed the significant contribution of these interlayers in extending pavement life and in minimizing reflective cracking in hot mix asphalt overlays. In this paper, 36 rigid pavement configurations representing a variety of cases were modeled and analyzed using the finite element method (FEM) to quantify the benefits of the interlayers in these systems when subjected to loading. The FEM analysis validated the outstanding performance of these composite systems when interlayers were incorporated, and further quantified the benefits of these ARAMI's in terms of critical stress and strain reduction and related pavement life extension. A stress reduction ranging from 92% to 98% was achieved with the use of ARAMI compared to non-ARAMI system. Soft ARAMI's were found to be more effective in reducing stress and strain levels compared to hard ARAMI's. It was also found that the use of leveling course below the interlayers was very beneficial in lowering the strain levels and in increasing pavement life.

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# Performance of Anti-cracking Interface Systems on Overlaid Cement Concrete Slabs – Development of Laboratory Test to Simulate Slab Rocking

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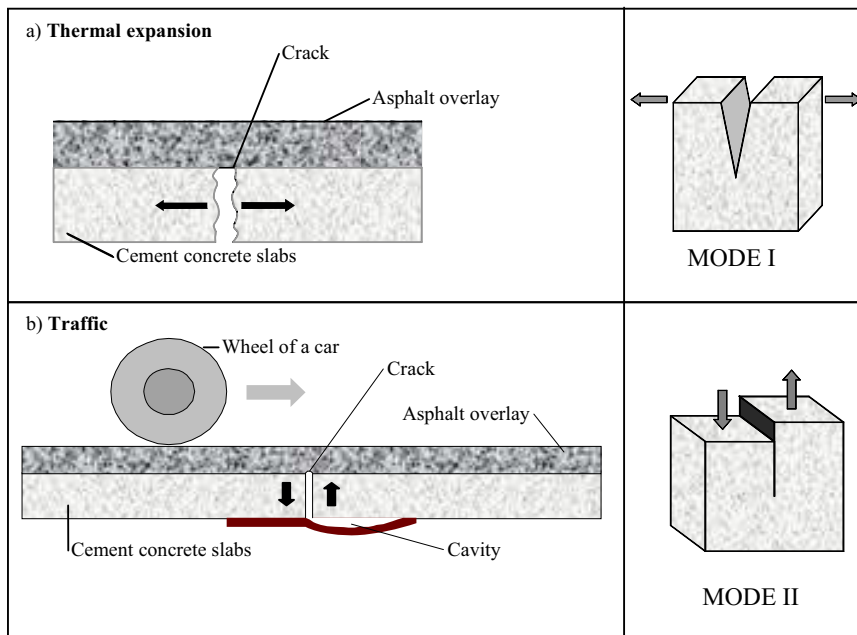
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In the past extensive testing was performed at BRRC to determine the laboratory performance of anti-cracking interfaces in case of stresses induced by thermal variations. Several experimental sites were followed during that period to set up correct laying procedures for the different types of products and to give recommendations when applying these products. Recently this study was completed by a four year research project. Important objectives of this project were: the setup of a new test to simulate the vertical movements induced by traffic on overlaid cement concrete slabs, the evaluation of the performance of different anti-cracking interface systems with this new test and the validation of these results by experimental field trials. In this article this new laboratory test to simulate the vertical movement is described in detail and the performances of a reference and four types of anti-cracking interface systems, being a stress absorbing membrane interlayer (SAMI), a geogrid, a geocomposite and a steel reinforcing netting, are compared.

## 1 Introduction

When an asphalt layer is applied to a pavement of cement concrete slabs, the joints will initiate cracks in the asphalt layer. Such cracks grow from the bottom to the top of the asphalt overlay at an average speed of 2 to 3 cm a year depending on traffic. Experimental sites showed that without an anti-cracking interface system, reflective cracking almost certainly appears at the surface of a 5 cm thick asphalt overlay within 3 years. With an anti-cracking interface system (meaning the complex consisting of an appropriate adhesive layer and an anti-cracking product), reflective cracking can be delayed to 8 years or more, depending on the state of the concrete pavement, traffic and the type of interface system. The main causes for reflective cracking can be related to the movements that occur near the cracks/joints. A first type of movement is the slow horizontal movement by repeated thermal expansion and contraction of the cement concrete as depicted in figure 1.a. A second type of movement is the vertical movement at joints. These movements are caused by the traffic and a loss of carrying capacity of the

underlay as shown figure 1.b (shear and deflection). To determine the performance of anti-cracking interface layers in case of thermal (horizontal) movement a laboratory test is available at BRRC since several years. In this research BRRC developed a new test method to simulate slab-rocking (vertical shear movement).



**Fig. 1.** Schematic overview of two causes of reflective cracking: (a) slow horizontal movement by repeated thermal expansion and contraction of the cement slabs (mode I) and (b) vertical movement at joints and cracks caused by traffic and a loss of carrying capacity of the underlay (mode II).

Development of a new test method to simulate vertical load on cement concrete slabs with an asphalt overlay

A first measurement setup (see figure 2.a) was developed and extensively tested during a first measurement programme. After analysing these results, this setup was modified and optimised (see figure 2.b). A second measurement programme was executed in this optimised setup.

The samples used in both setups have a length of 60 cm and a width of 14 cm. They are composed of a cement concrete support with a thickness of 7 cm covered with an adhesive layer and an anti-cracking product, except for the reference sample where only an adhesive layer was used. Afterwards an asphalt overlay with a thickness of 6 cm was applied. To simulate a joint, a discontinuity of 4 mm wide was implemented in the cement concrete support as shown in figure 2.

The test is executed in a climate chamber at 15°C. Before starting a measurement the test specimens are conditioned at 15°C for 4 hours. To simulate slab rocking a dynamic vertical movement with a frequency of 1Hz is induced by the stamp on one side of the joint: during half a second the stamp exerts a force on the sample, the next half second the sample is not loaded. This cycle is repeated until the sample breaks. On the other side of the joint the sample is clamped and supported by a metal frame as shown in figure 2. During the test, the vertical position of the stamp as a function of time is measured.

To take into account the loss of carrying capacity of the underlay the right hand side of the sample was only supported by a metal roller at its far end in the initial setup (see figure 2.a). After implementing a camera to obtain a detailed image of the crack formation it became clear that in this setup the intended purpose to simulate the pumping of the cement concrete slabs was not entirely achieved because of the total lack of support of the test sample on the right hand side of the joint. To increase the pumping effect we decided to fill the cavity under the sample with a piece of soft foam rubber which offers a limited support to the cement concrete.

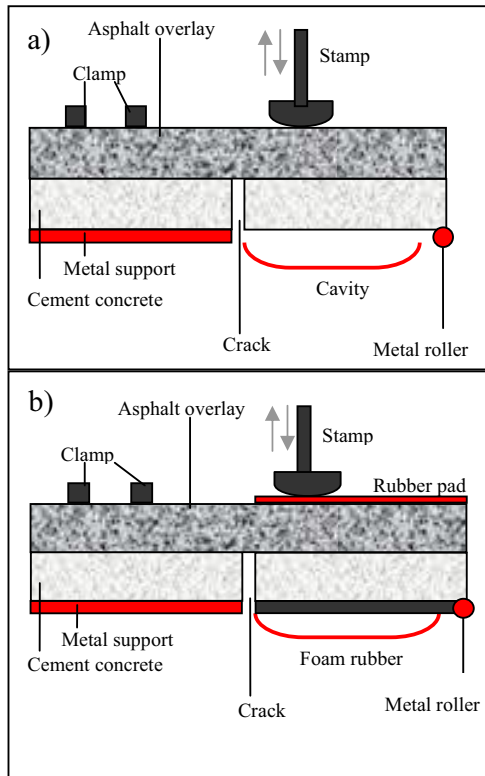


Fig. 2. Schematic overview of the initial (a) and the optimised (b) measurement setup

In the initial setup the stamp exerted a cyclic force of 4 kN on the test specimen. Failure of reference samples was recorded after maximum 1.5 hours of testing. In the optimised setup the reference samples did not fail within 7 hours of measuring when the same load of 4 kN was used. After analysing additional measurements on references with forces of 8 kN, 12 kN and 16 kN, it was decided to use a cyclic force of 12 kN in the optimised setup. A rubber pad was implemented between the stamp and sample to obtain a more homogeneous distribution of the applied force. A picture of the optimised setup can be found in figure 3.

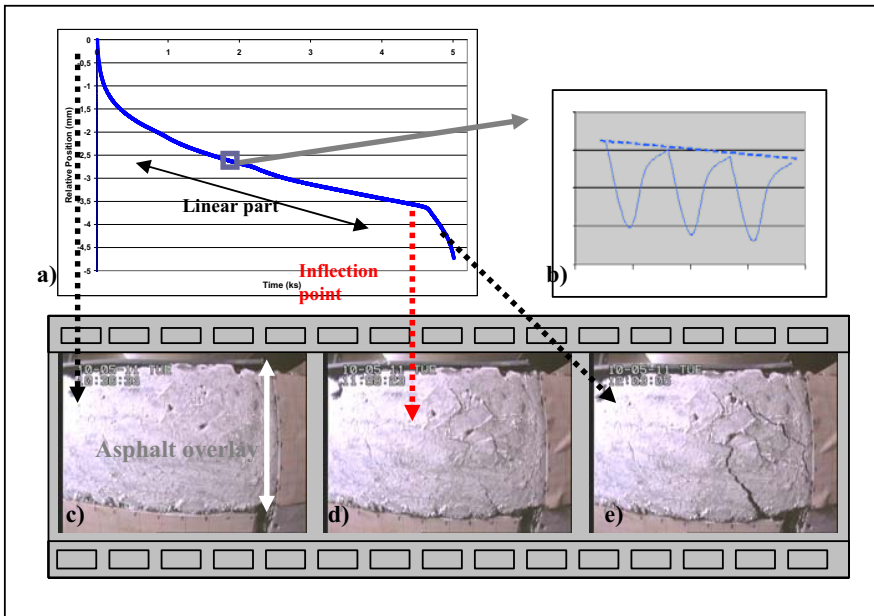


**Fig. 3.** Picture of the optimized setup

Figure 4.a shows a typical measurement. The slope of the quasi linear part and the testing time corresponding to the inflection point, are two important parameters in this research. The slope of the linear part is a measure for the evolution of micro-cracks or the gradual failure of the test specimen. The inflection point corresponds fairly well with the failure time of the sample and is a measure for the evolution of the macro-cracks. Both parameters are used to evaluate the resistance to reflective cracking of the tested sample.

Figure 4.b zooms in on the linear part of the curve shown in figure 4.a and illustrates clearly the dynamic vertical displacement of the stamp. In figures 4.c, 4.d and 4.e camera images of a sample during a test are depicted. The depicted asphalt overlay was coloured white with chalk to get a better view of the evolution of the cracks. Figure 4.d shows an image of the test specimen at the inflection point and in figure 4.e the evolution of the cracks after failure is depicted.





**Fig. 4.** A typical measurement result (a); a zoom of the linear part of the curve (b) and camera images of a sample during the test: a sample at the beginning of the test (c); at the break point (d) and after the break point (e)

## 2 Experimental Test Programme

In this research the performances of a reference and four types of anti-cracking interface systems, being a stress absorbing membrane interlayer (SAMI), a geogrid, a geocomposite (composed of a geogrid and a nonwoven geotextile) and a steel reinforcing netting, are compared. As mentioned previously two measurement programmes were carried out. A first one in the initial setup (figure 2.a) and a second one in the optimised setup (figure 2.b).

### 2.1 Preparation of the Test Samples

As mentioned above the test samples used in this research have a length of 60 cm and a width of 14 cm. The base layer was made of 2 cement concrete blocks, separated by a discontinuity of 4 mm to simulate a crack/joint. The top of the concrete blocks was brushed in order to get a rougher surface to improve adhesion with the upper layers. The top layer was an asphalt concrete wearing course with a thickness of 6 cm. The mixture and the compaction of the asphalt layers were done in the laboratories of BRRC in accordance with the European standards EN 12697-35 and EN 12697-33.

Between the base layer of cement concrete and the asphalt top layer an adhesive layer and an anti-cracking interface product was installed, with exception of the reference samples where only an adhesive layer was applied, as described below:

- Reference test samples
  - o An emulsion of 237 g/m<sup>2</sup> (residual binder content) without polymers was spread on the concrete base
- SAMI test samples
  - o A polymer modified bitumen of 2 kg/m<sup>2</sup> was spread on the concrete base
  - o A quantity of 5 kg/m<sup>2</sup> of coarse aggregate 6.3/10 was spread
- Geogrid test samples
  - o An emulsion of minimum 237 g/m<sup>2</sup> (residual binder content) without polymers was spread on the concrete base
  - o The geogrid interface system was placed
  - o A polymer modified bitumen of 1.2 kg/m<sup>2</sup> was applied
  - o A quantity of 5 kg/m<sup>2</sup> of coarse aggregate 6.3/10 was spread
- Geocomposite test samples
  - o A polymer modified emulsion of 700 g/m<sup>2</sup> (residual binder content) was spread on the concrete base
  - o A geocomposite interface system was placed
  - o A polymer modified emulsion of 500 g/m<sup>2</sup> (residual binder content) was spread on top of the geocomposite
  - o A quantity of 5 kg/m<sup>2</sup> of coarse aggregate 6.3/10 was spread
- Steel reinforcing netting test samples
  - o An emulsion of minimum 237 g/m<sup>2</sup> (residual binder content) without polymers was spread on the concrete base
  - o A steel mesh interface system was placed
  - o A slurry surfacing of 18 kg/m<sup>2</sup> was spread

Note that the application of an emulsion layer with spreading of coarse aggregate (in the case of grids and geocomposites) has two functions:

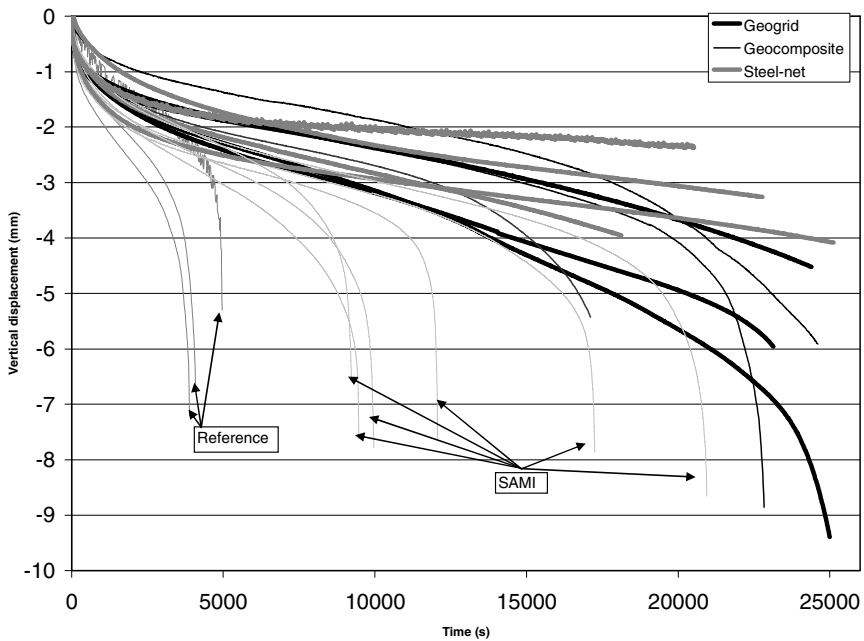
- it prevents the interface product from cracking when site traffic is passing before overlaying
- it keeps the system flat during overlaying.

It must be noticed that the preparation of the test samples is a crucial phase in this research. Samples that are badly prepared may lead to unreliable measurement results. After the preparation of the samples they should also be handled with care. Wooden supports for the samples were created to avoid damaging of the samples during transport.

## 2.2 Measurements in the Initial Setup

In the initial setup the following samples were tested:

- 3 references without an anti-cracking product
- 6 SAMI's
- 3 geogrids
- 3 geocomposites
- 4 steel reinforcing nettings



**Fig. 5.** Measurement results of the initial setup

Figure 5 shows the results of the first measurement programme. The graphs represent the vertical movement of the stamp (in mm) as a function of time (in s). Two interesting parameters can be derived from these graphs:

- the slope of the linear part from each curve as a measure for the micro-cracks or the gradual failure of the samples
- the inflection point in each curve as a measure for the macro-cracks or the time of failure or lifetime of the test specimen

**Table 1.** Overview of the slope of the linear part and the time of failure for the five different types of test samples measured in the initial setup

Test sample	Slope of the linear part [mm/ks]	Lifetime [s]
Reference	$-0.56 \pm 0.16$	$4300 \pm 1400$
SAMI	$-0.19 \pm 0.07$	$13200 \pm 5100$
Geogrid	$-0.14 \pm 0.03$	$> 22000$
Geocomposite	$-0.11 \pm 0.01$	$21500 \pm 9700$
Steel reinforcing netting	$-0.08 \pm 0.03$	$> 22000$

Table 1 leads to the following conclusions and tendencies:

- The samples with an anti-cracking interface layer have a less negative slope than those without one
- The slope of the SAMI samples is more negative than the slope of the samples with a steel reinforcing netting
- The samples with an anti-cracking interface layer have a longer lifetime than those without one
- The lifetime of the SAMI samples is shorter than the lifetime of samples with a geogrid or a steel reinforcing netting
- Within the precision of the test the slopes of the SAMI and geogrid samples can be distinguished
- Although the precision of the test does not allow to distinguish the performances of the SAMI and the geogrid samples, the geogrid tends to perform better
- The steel reinforcing netting samples do not fail within the total duration of the test
- Two out of three geogrid samples do not fail within the total duration of the test

### ***2.3 Measurements in the Optimised Setup***

In the optimised setup the following samples were tested:

- 5 references without an anti-cracking interface system
- 4 SAMI's
- 4 geogrids
- 4 geocomposites
- 4 steel reinforcing nettings

The results of these measurements are summarized in table 2.

**Table 2.** Overview of the slope of the linear part and the lifetime for the five different types of samples measured in the optimised setup

Test sample	Slope of the linear part [mm/ks]	Lifetime [s]	Remarks
Reference 1	-1.91	1180	
Reference 2	-1.88	860	
Reference 3	-1.17	1410	
Reference 4	-1.03	1790	
Reference 5	-0.03	No failure	
SAMI 1	-0.33	4940	
SAMI 2	-0.18	8080	
SAMI 3	-11.81	270	
SAMI 4	-0.15	13450	
Geogrid 1	-2.79	1640	
Geogrid 2	-0.02	No failure	
Geogrid 3	-2.54	730	
Geogrid 4	-0.14	9620	
Geocomposite 1	-0.37	5030	
Geocomposite 2	-3.09	590	
Geocomposite 3	-0.45	2730	
Geocomposite 4	-0.05	No failure	
Steel reinforcing netting 1	-0.02	No failure	
<i>Steel reinforcing netting 2</i>	<i>(-0.22)</i>	<i>(5030)</i>	<i>Difficulties in preparation *</i>
<i>Steel reinforcing netting 3</i>	<i>(-1.95)</i>	<i>(1010)</i>	<i>Difficulties in preparation*</i>
Steel reinforcing netting 4	-0.02	No failure	
<i>*It should be noticed that there were some difficulties in the preparation of the test specimens with the steel reinforcing netting. The steel meshes used for the sample preparation were stored in rolls. Because of the relative small dimensions of our specimen (14 cm by 60 cm) it was rather difficult to completely flatten the steel mesh. For sample 2 and 3 the net curled a bit at the sides which made it impossible to cover the complete net with a slurry surfacing.</i>			

Table 2 leads to the following conclusions and tendencies:

- Despite the great care taken in the preparation and handling of the samples there still is a large dispersion in measurement results.
- It is required to test at least four samples per product.
- For many interface layers and in particular the steel reinforcing nettings and geogrids, a correct positioning remains very difficult. The limited size of the specimens makes it hard to place the interface layers in a completely flat and fixed way. The use of nailing could solve this problem. Although this does not correspond with the practice on site, it at least ensures a correct functioning of the product in a laboratory test.

- It is possible to discriminate between good and bad performing systems, but a finer discrimination seems difficult:
  - o In general the references performed the worst
  - o When prepared correctly the steel reinforcing netting samples performed the best
  - o It is difficult to distinguish the performance of SAMI, geogrids and geocomposites
- The ranking obtained with the optimised setup matches the ranking of the initial setup

## **2.4 Additional Analysis**

Because of the rather large dispersion in the measurement results of the optimised setup it was decided to carry out further analyses on the samples to ensure that the dispersion between samples of a given type was not caused by differences in their preparation. The following additional analyses were performed:

- Direct tensile tests to verify the adhesion between the concrete, the anti-cracking interface and the asphalt layer
- Determination of the void content of the asphalt layer of the test samples to verify the compaction of the asphalt layer
- Determination of the binder content and grading of the asphalt layer of the test samples to verify the composition of the asphalt mixture

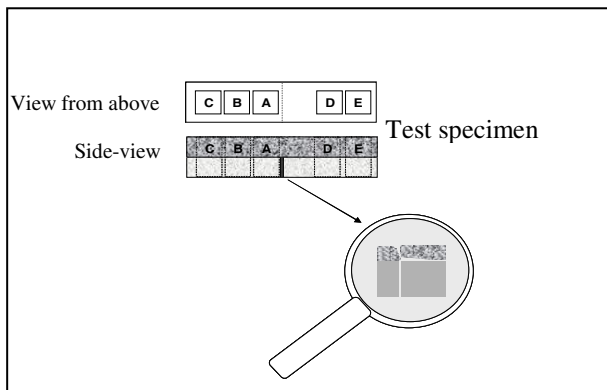
These analyses were executed on the best and worst performing sample of each type of anti-cracking interface system of the optimised setup.

*Direct tensile test.* The specimens used in the direct tensile test have a length and a width of 80 mm. The height depends on the used anti-cracking product. Metal plates are attached at both sides with two-component epoxy adhesive. The specimens are conditioned at 10°C ( $\pm 1^\circ\text{C}$ ) for at least 4 hours before testing. To test the specimens, they are fitted with a clamping system and an appropriate base (figure 6) in a tensile testing machine. A tensile load is applied in displacement controlled mode with a deformation rate of 0.5 mm/min, perpendicularly to the interface until the specimen fails. The strength is calculated from the maximum force and the cross section of the specimen. The adhesive strength is calculated as the average strength of five specimens.

To obtain the required specimens for the direct tensile test the samples tested in the optimised setup were cut in five blocks of 80 mm by 80 mm, three on the left hand side (A, B, C) of the joint and two on the right hand side (D, E) as shown in figure 7. The part of the test sample directly adjacent to the right side of the joint could not be used because of the damage caused by the crack test.



**Fig. 6.** Cubical specimen clamped in the pulling device - BRRC direct tensile test method



**Fig. 7.** Schematic overview of the division in blocks of a test sample for the direct tensile test

The direct tensile test led to the following results:

- No difference in bond strength was recorded between the blocks taken on the left and the right side of the joint, except for “geocomposite 4” where a significant difference (larger than the standard deviation) was noted.
- In general two test samples containing the same type of anti-cracking interface system had the same bond strength (no significant difference compared to the standard deviation was observed). Therefore, it can be concluded that the wide dispersion of some results in the crack test is not due to the adhesion in the system concrete – anti-cracking interface system – asphalt.
- The reference test samples had a better bond strength than the SAMI and steel reinforcing netting samples. This is in accordance with their function of “controlled debonding”.

- The differences in bond strength of the reference and geogrid samples were negligible compared to the standard deviation. Nevertheless a tendency of higher bond strengths for references compared with geogrids was observed.
- The bond strength of SAMI samples is comparable to that of steel reinforcing nettings.
- The bond strength of geogrid samples was better than that of steel reinforcing nettings.

*Analysis of the asphalt layers of the test samples.* Analysis on the asphalt layers were done after the direct tensile test, so that the asphalt had been separated from the concrete. Two blocks of asphalt were used: block A and B.

These additional tests showed that the dispersion in the results cannot be attributed to differences in interlayer adhesion or asphalt composition between samples of a given type. The dispersion is most probably related to the inherent rather random character of crack formation in such tests on the one hand, but also on the importance of a flat and fixed installation of the interface product, especially for reinforcing products such as steel reinforcing nettings and grids which have the tendency to curl when making laboratory samples. Nailing is therefore recommended for grids and steel reinforcing nettings. These results also illustrate clearly the consequences on performance of an improper installation on site e.g. when the interface product is not placed flat or is not completely fixed and can still move.

### 3 Conclusions

- A new laboratory test was developed to simulate the effect of vertical movements (slab rocking) on an asphalt layer placed on different types of anti-cracking interfaces.
- Different test conditions were evaluated. The test conditions had to be selected in such a way that most specimens would fail within a realistic measurement period and a distinction would be possible between good and badly performing systems.
- Two test programmes were done, involving 5 different interface systems. The second programme was done after the optimisation of the setup, which was based on the experience and the results of the first programme.
- The test is capable of making qualitative distinctions between some different systems, but the dispersion on the quantitative data is too large to distinguish products with a similar performance.
- Additional analyses on the test specimens have shown that the dispersion is not due to the quality or the repeatability of the specimen fabrication.

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# The Use of Bituminous Membranes and Geosynthetics in the Pavement Construction

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**Abstract.** The distress of pavements by cracking is a frequent phenomenon in the road network in the Czech Republic. One of the solutions for this problem is the use of bituminous membranes during construction, repair and reconstruction. Bituminous membranes can be used in the pavement construction for the prevention and postponing of the development of the reflective cracking. When the design of the road structure is unprofessional and an introduction of geofabrics into the pavement structure is intended, there is a danger that the unbonding of the layers will occur. This can cause a significant reduction in the lifetime of the pavement.

The introduction of the new technical regulation TP 147 [1] in the Czech Republic describes the proper use of bituminous membranes and geosynthetics in the pavement structure. The article specifies which particular materials: bituminous emulsions, binders and geofabrics should be used and mentions the regulations dealing with how they are used.

## 1 Introduction

The formation of cracks in the road network is a problem which occurs not only in Czech Republic, but also in other countries. One of the possibility for eliminating them is the use of bituminous membranes in the pavement structure. Authors of the article were addressed by the Ministry of Transport of Czech Republic to prepare a binding regulation for using bituminous membranes in the pavement structure defining not only the possibilities of their application, but also technical requirements for the materials used, describing the course of construction work and the subsequent inspection of work.

The article aims to get the professional public acquainted with the newly prepared and approved regulation - Technical Conditions No. 147 "Use of bituminous membranes and geosynthetics in the pavement structure" [1], the main ideas of which can certainly be applied in other countries as well.

## **2 Subject of Technical Conditions – The Regulation in General**

The Technical Conditions specify the principles for using bituminous membranes in construction, repair and reconstruction of pavements, airfields and other trafficked areas. Bituminous membranes can be used in the pavement structure for the prevention and postponing of the development of reflective and contracting cracks.

In the new regulation, the Stress Absorbing Membrane Interlayer (SAMI) is defined as a bituminous interlayer for the transfer of horizontal stresses, which is introduced in order to reduce copying cracks into the wearing course. Although the bituminous membrane connects both adjacent courses, it enables, to a certain extent, their independent movement. This causes reduction in the transfer of stress and, in particular, compensation for the horizontal movements of the lower course where the cracks and joints occur.

In design documentation, bituminous membrane is designated as SAMI (Stress Absorbing Membrane Interlayer). Part of the bituminous membrane may be geotextile (GTX) or geocomposite (GCO) with a fibreglass geogrid, when the fibreglass geogrid is designed to absorb horizontal forces in the pavement structure. The binder saturated geotextile forms a bituminous membrane and enables the correct installation – called gluing.

The regulation marginally mentions the use of bituminous membrane as a wearing course with resistance to crack propagation (SAM technology – Stress Absorbing Membrane). Although the use of this SAM technology has not been technically verified in the Czech Republic its use is nevertheless recommended since.

## **3 Use of Bituminous Membranes**

In the regulation, the bituminous membrane has been determined as one of the measures used in the construction of the pavement that postpones reflective cracks, particularly in the layers bonded by hydraulic binder. Bituminous membranes can be used also for repairs of concrete pavements (in covering or reinforcing with bituminous layers) or for repairs of flexible pavements (repairs of cracks, change of pavement layers, reinforcement, etc.) which has been disturbed by contracting (i.e. when frost occurs) or reflective cracks.

The use of bituminous membranes for repairs of pavements must be preceded by diagnostics of the pavement with an assessment of the type and frequency of distresses, the type, thickness and quality of layers, binding of layers, assessment of pavement strength, and consultation with specialists.

If the bituminous membrane is used on a new pavement or repaired pavement with the required increase in the pavement strength (by reinforcing), the membrane must be introduced into the calculation and evaluation of the pavement structure.

## 4 Technical Requirements

### 4.1 Tack Coat

The bituminous membrane is placed on the tack coat from cationic modified bituminous emulsion. The emulsion for the tack coat must meet the requirements of EN 13808 [8], breaking class 5 and the minimum binder content 38% by weight. The dosed amount of bituminous emulsion under the bituminous membrane is 0.2 kg/m<sup>2</sup> to 0.25 kg/m<sup>2</sup> of residual bitumen (depending on the surface texture and absorbability).

### 4.2 Bituminous Membrane

The bituminous membrane is a thin layer of modified binder which must comply with the requirements shown in Table 1. If the material used for making the membrane does not meet the parameters in Table 1, it is necessary that the contractor proves the suitability of this material for the given purpose and, at the same time, proves the ability to introduce the material into the functional membrane. The customer will assess the proof and will approve its application.

**Table 1.** Requirements for binders used for bituminous membrane [1]

Property	Unit	Requirement min.	Requirement max.	Tested acc. to
<b>a) Modified bitumen</b>				
Penetration at 25°C	0.1 mm	40		EN 1426 [2]
Softening point R&B	°C	65 <sup>1)</sup>		EN 1427 [3]
Fraass breaking point	°C		- 18	EN 12593[4]
Elastic recovery at 25°C	%	80		EN 13398[5]
Storage stability	°C		5.0	EN 13399[6]
Working temperature	°C	170	195	
<b>b) Modified emulsion - made of modified bitumen acc. to EN 14023 and complying with the parameters of EN 13808 [8]</b>				
Binder content	% by weight	63		EN 1428 [7]
Elastic recovery on recovered binder	%	80		EN 13398 [5]
Breaking class		3-5		
Working temperature	°C	60-75		

<sup>1)</sup> Due to possible vertical movements of concrete pavements slabs, the min. softening point R&B 75°C is required for bituminous membranes.

### 4.3 Non-woven Geotextile (GTX-N)

The textile used as a protective layer of the membrane must meet the requirements shown in Table 2.

**Table 2.** Requirements for non-woven geotextile [1]

Property	Unit	Requirement	Tested acc. to
Density	g/m <sup>2</sup>	min. 100 - 200	EN ISO 9864 [9]
Thickness at load 2 kN/m <sup>2</sup>	mm	min. 1,5	EN ISO 9863-1 [10]
Tensile strength	kN/m	min. 5,0	EN ISO 10319 [11]
The material must be resistant to temperatures up to 160°C for the subsequent laying of the compacted bituminous mixture.			

### 4.4 Thickness and Position of the Bituminous Membrane in the Pavement Structure

The thickness of the bituminous membrane is achieved by a dosing of the binder. The dosing is dependent on the type of protective layer and the characteristics of the surface. Dosing is shown in Table 3. The range of dosing is given by the macrotexture of the upper layer. A smooth upper layer requires minimum dosage, a coarse-graded mixture or ground surface requires medium dosage and a surface with fine milling is on the maximum dosage. In the case of non-woven textile, dosing depends on the density (thickness) of the textile used. A textile with a higher density will be used for finely milled surfaces.

**Table 3.** Dosing of modified bitumen in the bituminous membrane [1]

For membrane protection by	Min. dosage [kg/m <sup>2</sup> ]	Max. dosage [kg/m <sup>2</sup> ]
Chipping	2.0	3.0
Non-woven textile	1.5	3.0
Slurry seal layer	1.5	2.5

Experience from already implemented constructions is usually utilized in assessing the suitability of the type of bituminous membrane and its minimum and maximum dosage. The bituminous membrane (SAMI) should be placed at such a depth under the surface, that it cannot be damaged by traffic and its function cannot be lost due to low ductility in winter. In the climatic conditions of Czech Republic, the minimum depth is 70 mm. The maximum depth is determined by the thickness of bituminous layers; it is usually max. 200 mm. If the thickness of overlaying by bituminous layers is up to 100 mm, it is not recommended to use the membrane for sections with slow or stopping traffic (crossroads, bus stops, or right lanes for slow traffic) and in the places where there is a centrifugal force

action (curves up to 300 m in radius) for heavy traffic roads. Lower thickness of overlaying can be used for layers with a higher stiffness modulus.

#### 4.5 Protective Layer of Bituminous Membrane

Protective layers enable the laying of other structural layers. The following can be, for example, used as protective layers of the membrane:

- introduction of crushed aggregate acc. to EN 13043 [12], the fraction and dosage of which depending on the membrane dosage is shown in Table 4,
- non-woven textile with a density of 100 g/m<sup>2</sup>– 200 g/m<sup>2</sup>,
- slurry seal layer (in the min. amount of 12 kg/m<sup>2</sup>).

**Table 4.** Dosing of crushed aggregate into the protective layer [1]

Aggregate fraction	Binder content [kg/m <sup>2</sup> ]	Aggregate dosage [kg/m <sup>2</sup> ]
2 – 4	2	4 – 5
4 – 8	3	approx. 5
8 – 11	3	approx. 8

#### 4.6 Design Characteristics of Bituminous Membranes

When calculating the stress and deformation of the multi-layered half-space modelling the pavement with bituminous membrane, it is possible – for bitumen types complying with Table 1 and arrangement according to Tables 3 and 4 – to use the values of the modulus of elasticity (at 15°C and load frequency 10 Hz) shown in Table 5. The lateral deformation factor (Poisson's number) of 0.5 will be used in all cases.

**Table 5.** Design characteristics of the membrane elasticity modulus [1]

Membrane and the type of protective layer	E [MPa]
Membrane with chipping with crushed aggregate	250
Membrane with non-woven textile or slurry seal layer	100

## 5 Construction Work

### 5.1 Treatment of the Surface in the Case of Non-rigid Pavement

When constructing a pavement, the surface must meet the requirements and regulations according to which it was made before using the bituminous

membrane. The surface must be milled off in case of rehabilitation of the pavement. All distresses of the milled surface are to be repaired by laying and compacting a layer of fine bituminous mixture, or using a jet-patch method or by manually spraying of bituminous emulsion with chipping, eventually in two layers. All cracks are to be cleaned and sealed according to the applicable standards.

### ***5.2 Treatment of Subbase in the Case of Rigid Base Layers***

Layers of mixtures bonded by hydraulic binder must harden at least 7 days. The slabs of a concrete pavement must not show mutual vertical movement during loading before the repair by overlaying. If the pavement surface is uneven and disturbed with cracks (irregularities under a 4 m lath greater than 15 mm), the thinnest possible levelling course of bituminous carpet laid on tack coat is to be made. In the case of only local distresses, it is necessary to repair them with a special patching material or a fine-graded bituminous mixture. All cracks and joints are to be cleaned and sealed using the method stated in the applicable standards.

### ***5.3 Making the Bituminous Membrane and Protective Layers***

The tack coat is to be applied on a dust free clean surface preferably dry but perhaps on a surface that is at most only moist. Modified bituminous cationic emulsions are to be used for the tack coat. Then the bituminous membrane is applied on the tack coat. The bitumen temperature depends on the type of bitumen used. The minimum air temperature at the making of membrane is +10°C. Chipping with coarse crushed aggregate will be sprinkled into hot bitumen. After the aggregate is applied, compaction is not performed, the aggregate is fixed in the membrane by using its dead weight and the adhesion of the bituminous binder to the aggregate. It is forbidden to travel or drive over the chipped membrane with steel rollers. Non-woven textile is to be laid manually into the warm bitumen with a special laying machine that operates parallelly with the pavement axis. When connecting the geotextile by overlapping, it is necessary to carry out manual spraying of the overlap to saturate the geotextile with bitumen. The slurry seal layer is laid with a laying machine on the cold membrane. It is useful to wet the laying machine wheels with water to prevent the bituminous membrane from sticking to the wheels.

### ***5.4 Laying of Other Layers***

One must not allow traffic on the bituminous membrane without laying the subsequent layers. Overlaying of the bituminous membrane with protective geotextile layer with an asphalt layer must be performed immediately after laying the geotextile. When the asphalt mixture is laid down on the membrane, the

movement of all construction devices must be smooth without sharp curves, full braking and with a reduction of waiting vehicles. In the case of an occurrence of places with disturbed protection, such places must be repaired without delay before laying the subsequent bituminous layer.

The newly approved regulation further contains chapters regarding testing and quality control, climatic limitations and environmental protection during construction work, and also a chapter focused on occupational health and safety.

## 6 Conclusion

The aim of this article was to acquaint the reader with the main features of the new regulations which deals with the problems of reflective cracks in the pavement structures that use bituminous membranes. Although the application of bituminous membranes has not been so often in the Czech Republic yet, the data of several test sections found on roads of all categories were evaluated before drawing up the requirements. Authors of the regulation (i.e. authors of this paper) believe, that its issue by the Ministry of Transport will help to effect a wider use of this perspective technology on the road network and hope, that the readers can take these suggestions and use them for the construction of roads.

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# Stress Relief Asphalt Layer and Reinforcing Polyester Grid as Anti-reflective Cracking Composite Interlayer System in Pavement Rehabilitation

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**Abstract.** An anti-reflective composite interlayer system, composed by a stress relief asphalt layer and a reinforcing polyester grid, was discussed based upon the results of field and laboratory research programs. The stress relief asphalt layers dissipate the stresses in the cracks tip, and reduce vertical and horizontal displacements of the subjacent crack in the new overlay. Polyester grid is a high tensile strength material that slows or even stops the reflective crack in the overlay. The field research program consisted of a highway trial section subjected to an accelerated test by using a transit simulator (HVS – Heavy Vehicle Simulator). A wheel reflective cracking test was carried out in laboratory, using the Displacement Meter CAM (Crack Activity Meter) to measure the horizontal and vertical movements on the crack or joint slab during the wheel load cycles. The results showed that both relief asphalt layer and reinforcing polyester grid work together as composite solution, technically and economically viable, which delay or block the crack reflection.

## 1 Introduction

Pavement structures are subjected to two types of mechanical effects: thermal and traffic loading. Vertical and horizontal movements are generated during a wheel load passage on a discontinuity in the old pavement surfacing layer. Crack opening and closing movements are due to temperature too. The general term “overlay system” was proposed in order to describe the combination of a bituminous overlay and an interface system, placed on an underlying road structure. The most important component of an overlay system is the asphalt layer itself. Improvements in crack resistance can be obtained in the asphalt layer by modifying its composition or its components. The use of some types of fibers and/or polymer modified binders have proven to be very efficient according to different authors [1-2].

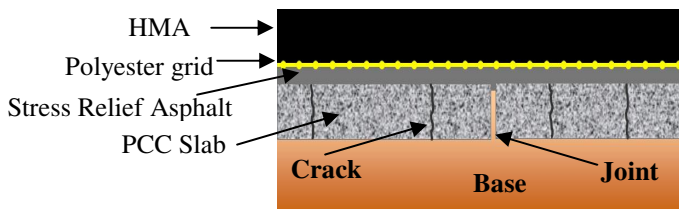
The interface system consists of an interlayer product (stress absorbing membrane, non woven, reinforcement grid, etc...). A great variety of products covering a wide range of tensile stiffness, bond properties and asphalt retention capability are now available on the market, to fulfill different functions in this application. It is necessary to clearly define where and how to use each product in an optimized way. The role of an interlayer system in the road structure depends mainly on its components. They may be:

- Taking up the localized stresses in the vicinity of cracks and, then, reducing the stresses in the bituminous overlay on the crack tip. The products in that case act as reinforcement. This is the case of reinforcing polymer grids.
- Providing a resilient that can deform horizontally without breaking, in order to absorb large movements taking place in the vicinity of cracks. This is the case for impregnated nonwovens, SAMI (Stress Absorbing Membrane Interlayer) and sand asphalt. Often this function is also described as “controlled debonding”.
- Providing waterproofing function and keeping the road structure waterproof even after the reappearance of the cracks on the road surface. This is often the case of impregnated nonwovens.

A combination of a stress relief asphalt layer and a reinforcing polyester grid is proposed as an efficient solution of anti-reflective composite interlayer system. In order to study and prove its efficiency, field and laboratory research programs were carried out in Brazil.

## 2 The Proposed Composite Interlayer System

This composite interlayer system is intended to be a technical and economical alternative to some expensive or time consuming solutions for rehabilitation of severely cracked pavement, such as partial reconstruction, in the case of flexible pavements, and crack & seat or rubblization, in the case of rigid pavements.



**Fig. 1.** Layout of the composite system

The proposed composite interlayer system is composed by a stress relief asphalt layer and a reinforcing polyester grid (Figure 1).

## ***2.1 Why Use a Stress Relief Asphalt Interlayer?***

The stress relief asphalt interlayer is a highly flexible hot mix asphalt layer (HMA), normally very thin (between 20mm and 30mm), composed by fine aggregates (< 9,5mm) and elastic polymer modified asphalt (PMA), in high contents (between 7% and 7,5% in weight). This interlayer absorbs part of the vertical and horizontal movements of the crack walls, reduces the shear stresses in the interface and delays reflective cracking. Due to the high content of asphalt, it protects the existing pavement structures from water damage.

A laboratory research program was carried out in University of São Paulo (USP) to analyze the behavior of 4 modified asphalt products: 1 rubber and 3 polymer modified asphalt products, from different suppliers [3]. A series of Semi Circular Bending Tests was done. The 3 polymer modified asphalt products performed better than the rubber modified asphalt. Among the polymers, the best result was obtained by Strata, supplied by Betunel.

## ***2.2 Why Use a Reinforcing Polyester Grid?***

The reinforcing grid is a bi-directional polymeric mesh, composed by high tensile strength fibers arranged in a grid structure and covered with an asphalt coating or a pressure sensitive adhesive. Due to the tensile and interface adherence properties, the grid absorbs stresses on the crack tip, delaying or even blocking the reflective cracking in the overlay.

The grid can be produced mainly with 3 different raw materials: glass, polyester and polyvinyl alcohol (PVA) fibers. These fibers have different behavior regarding tensile properties and fatigue resistance. As the grid has to guarantee a longer life for the overlay, it should be designed not only to absorb short term tensions, but also to maintain its properties throughout the pavement lifetime. In other words, the fiber strength should not be affected by the traffic dynamic loads during the project lifetime, otherwise the material would lose efficiency and performance.

Technical reports in United States [4-6] showed that glass grids may deteriorate faster under the action of traffic loading, particularly when the differential vertical movements of crack walls (shear mode) is the main factor of reflective cracking. The evaluation of the five road sections of rigid pavements with glass grids showed an early reflection of transverse cracks, which were propagated from the underlying joints of the concrete pavements. In these cases, the glass grid products did not prove to be highly effective in retarding the development of reflection cracks in old jointed concrete pavements. These failures could be explained by the short lifetime of glass fibers when submitted the fatigue, becoming brittle after some load cycles.

A test equipment was developed in the University of São Paulo, with the purpose of evaluating the fatigue behavior of polyester and glass fibers for the use in rigid pavement rehabilitation. This test imposes cyclically alternate vertical displacements, generating fatigue in the fiber in shear mode (Figure 2).

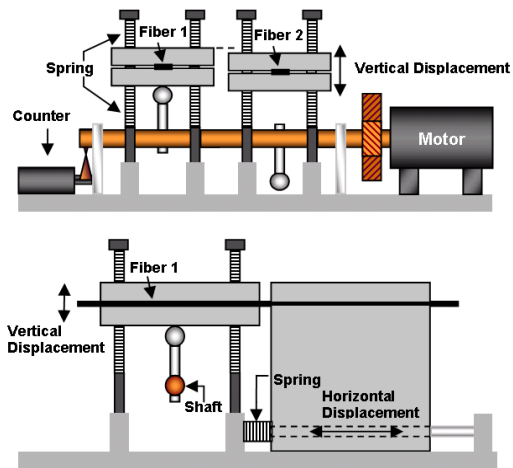


Fig. 2. Layout of the yarn fatigue test equipment

The results showed a superior performance of polyester grid in comparison to glass. The glass broke between 16.000 to 21.000 cycles (Figure 3c) and the polyester grid did not break after 160.000 cycles (Figure 3b), when the test was interrupted [7].

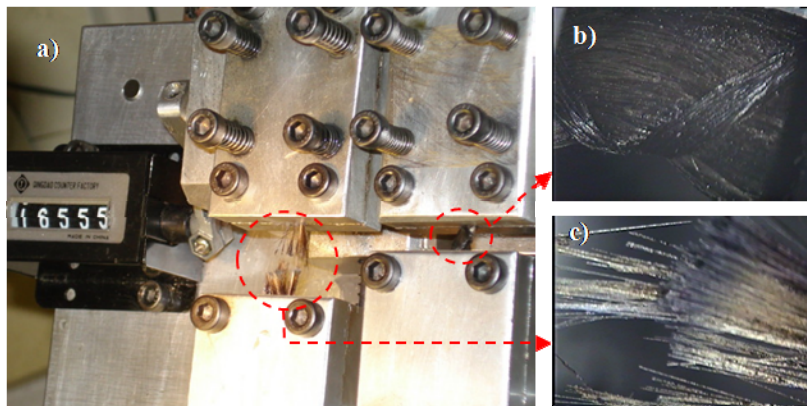


Fig. 3. a) Equipment; b) Polyester fiber (not broken) c) Glass fiber (broken)

*Reinforcing grid properties:* The grid used in the following field and laboratory researches was Hatelit C 40/17, supplied by Huesker, composed by high tenacity polyester yarns with bituminous coating. Weight (DIN EN 965): 270 g/m<sup>2</sup>. Ultimate tensile strength (DIN EN ISO 10.319): ≥ 50 kN/m (longitudinal and transversal). Tensile strength at 3% strain (DIN EN ISO 10.319): ≥ 12 kN/m (longitudinal and transversal). Strain at nominal tensile strength (ISO 10.319): < 12 % (longitudinal and transversal). Mesh size: 40 mm. Melting point: 250 °C.

### 3 Field Research: Highway Test with Traffic Simulator

A 100m long test section was constructed on the Rio-Teresopolis highway, under the administration of CRT concessionary. Half section (50m) was rehabilitated with a conventional overlay. Reinforcing polyester grid in combination with 20mm of a stress relief asphalt layer was applied as an interlayer system in the other half section. The total overlay thickness was 70mm (20mm stress relief + polyester grid + 50mm hot mix asphalt). This research was performed by the Federal University of Rio de Janeiro-Brazil [8]. In the conventional section, the cracks came out after 192.000 cycles. The cracks propagated in the entire thickness of the asphalt overlay, from bottom to top. In the section with the composite interlayer system, the test was stopped after 220.500 cycles, because some cracks had appeared on the surface. A specimen was extracted and then it was observed that there was no the crack propagation above the polyester grid (Figure 4). The observed cracks on the surface were top-down cracks (Figure 5a, 5b), which were attributed to a possible oxidation of the hot mix asphalt in the plant. The composite interlayer system was able to block the crack propagation during the period of this test.

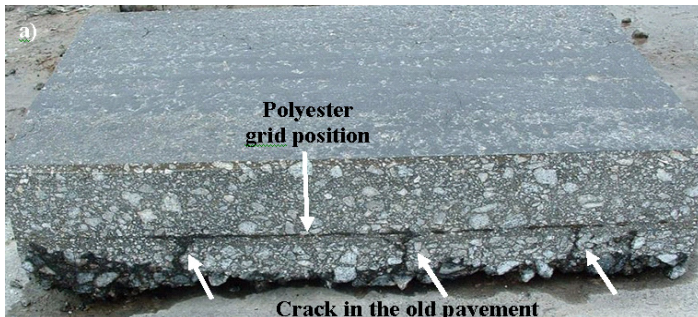


Fig. 4. Crack propagation stopped in the level of the polyester grid

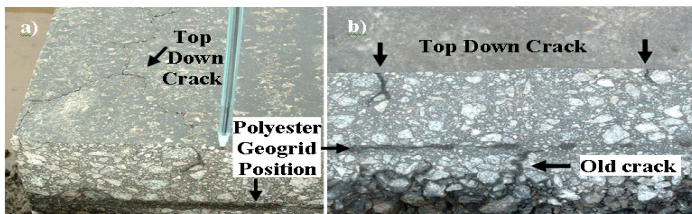
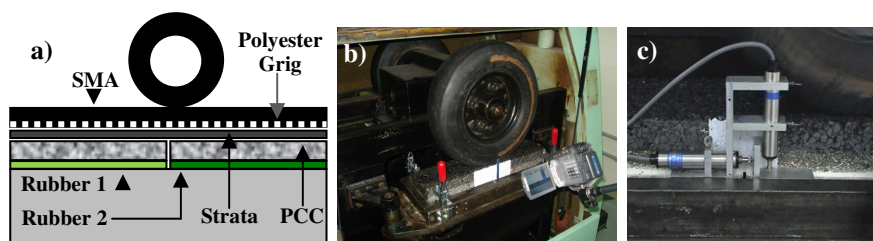


Fig. 5. Detail of the extracted specimen: although top-down cracks were observed on the surface, no crack propagation occurred above polyester grid

## 4 Laboratory Research: Wheel Reflective Cracking Test

A laboratory research program was carried out in the LTP Laboratory of the São Paulo University, with the objective of studying the pattern of crack incitation and propagation in the proposed interlayer system, as well as measuring its performance in comparison with traditional overlay. The LCPC laboratory simulator, typically used for "Wheel Tracking Rutting Test", was adapted to perform the "Wheel Reflective Cracking Test" in this research. Three overlay systems were tested: the first was the conventional solution (60mm of HMA - Hot Mix Asphalt), the second was the use of 20mm of relief asphalt layer (Strata) plus 40mm of Stone Matrix Asphalt (SMA), and the third was similar to the second, but with the addition of a polyester grid (Hatelit), between Strata and SMA. On the bottom, a concrete slab with a joint in the middle was supported by two types of rubber mats with different densities, in order to impose a differential vertical movement in the joint. Before the placement of the overlay system, vertical and horizontal relative movements of the joint were measured by using the CAM (Figure 6c). The test was developed using three levels of vertical movement or shear displacement ( $\partial_c$ ): low ( $\partial_c = 30 \times 10^{-3}$  mm), medium ( $\partial_c = 100 \times 10^{-3}$  mm) and high ( $\partial_c = 500 \times 10^{-3}$  mm).

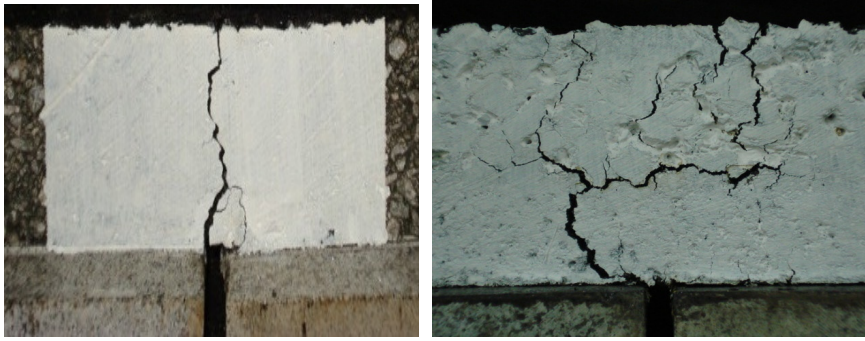
The visualization of the crack was enhanced by painting both faces of the specimen in white. A loaded wheel with a pneumatic tire is rolled back and forward on the specimen surface. The test was considered finished when the crack reached the top surface on both faces. Visual observations were made and the number of cycles was recorded. Two advantages were observed: (1) better simulation of field conditions and, (2) both crack initiation and growth can be monitored.



**Fig. 6.** (a) Test Layout; (b) Crack propagation equipment; (c) Crack Activity Meter installation

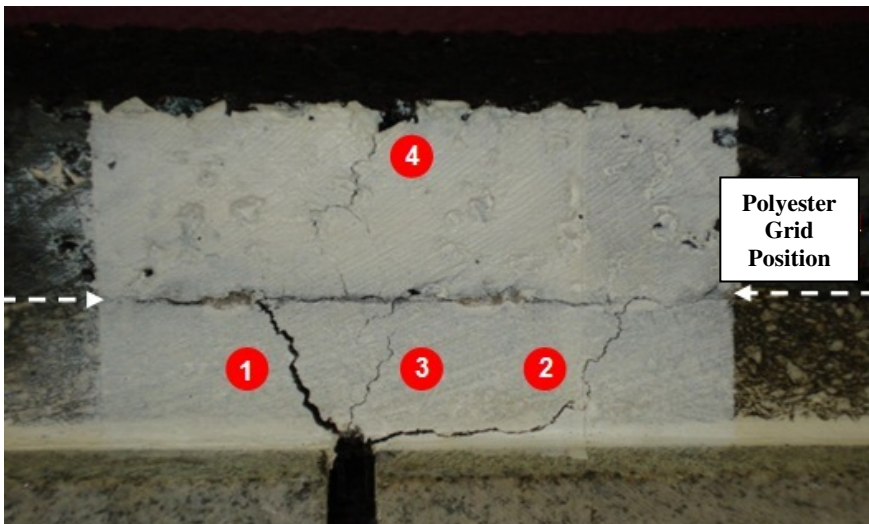
### 4.1 Qualitative Discussion on Crack Initiation and Propagation

*First case (conventional - 60mm of HMA):* Typically, a dominating reflective crack appeared in the overlay, over the concrete slab joint, and grew vertically upwards (Figure 7a). The cracking initiated earlier the overlay started earlier and its propagation rate was faster. The tests stopped when the crack reached the overlay surface, breaking the specimen in two pieces.



**Fig. 7.** (a) Typical crack propagation in conventional overlay; (b) Typical crack propagation in Strata/SMA overlay for medium vertical displacement

*Second case (20mm of Stress Relief Asphalt + 40mm of SMA):* A crack (initiated over the joint) propagated gradually upwards through the stress relief asphalt layer (Strata). At the interface Strata and SMA layers, a horizontal direction change in the crack propagation was observed, due to debonding located between the two layers (Figure 7b). The energy dissipated in the crack horizontal propagation delayed the appearance of the reflective crack in the SMA layer. The horizontal crack propagation stopped when some reflective cracks appeared on SMA layer, propagating vertically towards the surface. The low modulus Strata layer acted as a SAMI (“Stress Absorbing Membrane Interlayer”).



**Fig. 8.** Sequence of the reflective crack propagation in composite interlayer system (strata+polyester grid + SMA)

*Third case (20mm of Stress Relief Asphalt + Polyester grid + 40mm of SMA):* A first reflective crack was initiated on one side of concrete slab joint, and its propagation was interrupted when the polyester grid position was reached (Figure 8, crack 1). The same occurred with a second crack initiated on the other side of the joint (Figure 8, crack 2). Another crack appeared in the strata layer with vertical propagation, but also without crossing the geogrid position (Figure 8, crack 3). After a large number of cycles, all cracks in the stress relief layer stopped their propagation in the polyester grid. Then, a new "top-down crack" on layer appeared on the surface of SMA layer (Figure 8, crack 4). The polyester grid acted as reinforcement, interrupting the vertical propagation of reflective cracks.

*Comments:* It was possible to observe 3 different patterns of reflective cracking. In the first case (conventional), there was a very quickly crack propagation from bottom to top. In the second case (stress relief layer), there was a delay in the crack growth due to dissipation of energy in the horizontal propagation and interface debonding. In the third case, crack growth was first delayed due to the stress relief asphalt layer and then interrupted due to the action of the reinforcing grid. It was possible to observe very well the different behavior of each component the proposed composite interlayer system.

## 4.2 Quantitative Results and Comparative Performance

The number of cycles of each test was recorded and the results are show in the Table 1. The factor of efficiency of the interlayer system ( $FE$ ) was obtained as follows:  $FE = N_{(system)} / N_{(conventional)}$ , where  $N$  is the number cycles of the wheel load for each case.

**Table 1.** Fatigue crack propagation results

	Vertical Displacement		
	Low ( $30 \times 10^{-3}$ mm)	Medium ( $100 \times 10^{-3}$ mm)	High ( $500 \times 10^{-3}$ mm)
$N_{\text{Conventional HMA}}$ (cycles)	10.255	4.385	1.898
$N_{\text{Strata + SMA}}$ (cycles)	28.560	8.274	3.240
$FE_{(\text{Strata}/\text{conventional})}$	<b>2,8</b>	<b>1,9</b>	<b>1,7</b>
$N_{\text{Strata + PET grid + SMA}}$ (cycles)	194.133	26.760	10.580
$FE_{(\text{System}/\text{conventional})}$	<b>18,9</b>	<b>6,1</b>	<b>5,6</b>

Regarding reflective cracking, 20mm of a stress relief asphalt layer plus 40mm of SMA overlay showed to be around 2 times more efficient than 60mm of HMA conventional overlay. The inclusion of a polyester reinforcing grid in the interface between the stress relief asphalt layer and the SMA layer increased more than 3 times the number of cycles for medium and high vertical displacements of the bottom joint ( $100 \times 10^{-3}$  mm and  $500 \times 10^{-3}$  mm, respectively), and more than 6 times for low vertical displacements ( $30 \times 10^{-3}$  mm). The combination of stress relief asphalt layer and a reinforcing polyester grid proved to be a very efficient solution for the simulated situation.



## 5 Conclusions

A combination of a stress relief asphalt layer and a reinforcing polyester grid was proposed as anti-reflective cracking interlayer system. Field and laboratory research were carried out in order to study and measure the performance of the system. The main conclusions are:

- The LCPC laboratory simulator can be adapted to perform “Wheel Reflective Cracking Test”. The advantage of this equipment is to simulate better the field conditions of load and crack displacements.
- The CAM (Crack Activity Meter) plays an essential part in identifying the underlying crack movement.
- The glass fiber grid is not recommended for the proposed system because it becomes brittle after some load cycles and presents a shorter fatigue life in shear mode compared to polyester grid.
- The stress relief asphalt layer (Strata) has a retarding effect on crack initiation and crack propagation.
- The reinforcing polyester grid interrupted the crack propagation in the field and in the laboratory research.
- The stress relief-reinforcement interlayer is a potential composite solution to some reflective cracking problems. In the laboratory tests, this composite system showed an increase in the fatigue life between 5,6 and 18,9 times, in comparison with conventional Hot Mix Asphalt overlay with no interlayer system.

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# Characterizing the Effects of Geosynthetics in Asphalt Pavements

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**Abstract.** Retarding reflective cracking in asphalt overlays is a serious and inevitable challenge to asphalt pavement engineers. Anti-reflective cracking systems using geosynthetic interlayers are believed to be a solution able to improve the pavement performance.

The paper evaluates the effects of the inclusion of different types of geosynthetic and is focusing on the results of monotonic and dynamic tests. Shear tests and indirect tensile strength tests were carried out as interface characterization tools, showing the ability of the geosynthetics to prevent separation.

Non-conventional fatigue tests were performed on reinforced, and unreinforced, notched beams to obtain a better understanding of the behaviour of the geosynthetics in retarding crack propagation. The main parameters, such as the applied load, the crack opening and the vertical displacements were monitored. The void structure of the beams was visualized using X-ray tomography, giving useful information about the interlayer effects on compaction.

Furthermore a finite element model, based on the experimental results, was developed to predict reinforced specimens behaviour.

The findings showed the benefits of using the geosynthetics in asphalt overlays, to prevent crack propagation.

## 1 Introduction

Reflective cracking is a challenging topic in road engineering, representing one of the major distresses of asphalt overlays. Increasing traffic loads together with thermal loadings and local differential settlements can result in a rapid decrease of the pavement performance [1, 2]. The rehabilitation of damaged roads by simply placing additional layer of asphalt over the existing pavement is rarely a durable solution, because asphalt overlays are not able to take over the tensile stresses [3]. Therefore reinforcing interlayers to delay or retard the crack propagation are needed. Several types of reinforcement have been developed. Geogrids, nets, stress adsorbing membrane interlayers (SAMI) and geocomposites have found increasing application worldwide, showing their benefits to the pavement [4-7]. In particular, geocomposites are intended to fulfill different functions, such as sealing, stress relief and reinforcement and they were demonstrated to prolong the service life of the overlay against cracking [8, 9]. Geosynthetic interlayers are recommended when

high horizontal and vertical displacement are likely to occur. The easiness of application together with the advantages in pavement performance makes the geocomposite interlayer a good solution. More findings are however needed to better understand the benefits of the reinforcements to pavement performance.

The present paper is aimed at investigating the effects of geosynthetic interlayers with high modulus glass fibers embedded into asphalt structures. Double-layer systems with and without a geosynthetic interlayer have been prepared, figuring out their effects on asphalt pavements. To gain this aim, conventional monotonic tests to failure have been carried out, such as Leutner shear test and indirect tensile strength tests. Moreover a non conventional fatigue test has been considered to investigate the reinforced structures. Beams on elastic foundation have been subjected to cyclic loadings, while monitoring the crack opening displacements at different locations during the whole test.

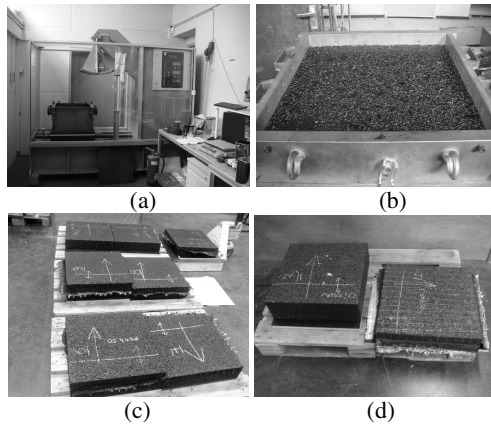
Finally, a finite element model has been created to simulate the dynamic stiffness test and to get more information about the stress distribution in both unreinforced and reinforced specimens.

## 2 Laboratory Activity

A large testing programme was conducted in order to get an insight into the behaviour of asphalt pavements with different interlayer elements. Double-layer systems with and without geosynthetic as reinforcement were then prepared in the laboratory by producing an asphalt mixture having a nominal maximum aggregate size of 11 mm and a 60 penetration grade not-modified bitumen (Table 1). The air voids and the bitumen content were set at 5% by volume and 5% by mass, respectively. The geosynthetic materials used are made up of polypropylene non-woven and glass fibers with a high tensile strength of 50 kN/mm<sup>2</sup> (Type A) and 100 kN/mm<sup>2</sup> (Type B). A total amount of nine double-layer slabs, 500 mm wide and long and 150 or 100 mm thick, were prepared in the laboratory by means of an automatic steel roller compactor (Figure 1), obtaining three slabs per each type of interlayer: (I) with a tack coat interlayer, (II) with geosynthetic interlayer Type A and (III) with geosynthetic interlayer Type B. The recommended amount of emulsified tack coat, consisting of 68% of bitumen was adopted to guarantee adhesion between the asphalt layers in slabs without any reinforcement and between asphalt and geosynthetic in the reinforced slabs.

**Table 1.** Mixture composition

AC composition				
CNR UNI 23/7			UNI EN 10014-64	
mm	%	% passing	Minimum	Maximum
16	0.0	100.0	100	100
11.2	3.8	96.2	75	92
8	20.0	80.0	63	85
5.6	38.6	61.4	55	75
2	67.3	32.7	25	38
0.063	92.3	7.7	6	10

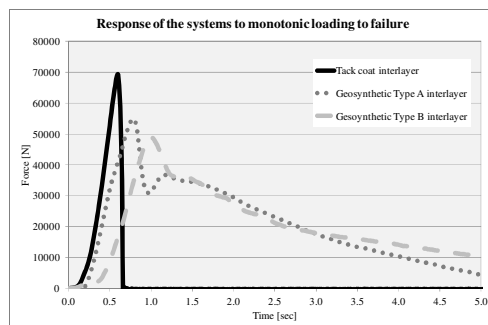


**Fig. 1.** Slabs preparation: (a) roller compactor, (b) steel mould and (c-d) compacted slabs

A description of the monotonic and cyclic tests to failure performed and their results are reported hereafter in this paper.

### 2.1 Monotonic Tests

The stiffness, the shear strength of geosynthetic interlayer and its bond between the bottom and the upper layers constitute elements of main importance in reinforced pavements. In order to investigate the interface, traditional shear tests (Leutner test) and indirect tensile strength tests (IDT) were performed on the double-layer specimens drilled from the prepared slabs. The test temperatures were set to 5 and 25°C and the rate of loading was set to 0.85 mm/sec (Marshall speed), according to the European Standards [10, 11]. The specimens had a diameter of 150 mm and a height of 100 mm for the shear test and 100 mm diameter and 84 mm height for the IDT, respectively. In the IDT specimens, the reinforcement was in the middle, perpendicular to the vertical direction, the load was applied along. Three replicates were tested for each condition.



**Fig. 2.** Example of the response of specimens to indirect tensile strength test at 5°C

The tests exhibited higher values of shear and tensile strength in the specimens with tack coat interlayer compared to those with geosynthetic interlayer.

The shear strength values were, at 5°C (and 25°C): 5.566 (1.159) MPa for the tack coat interlayer, 1.932 (0.302) MPa for the geosynthetic Type A interlayer and 1.669 (0.314) MPa for the geosynthetic Type B interlayer.

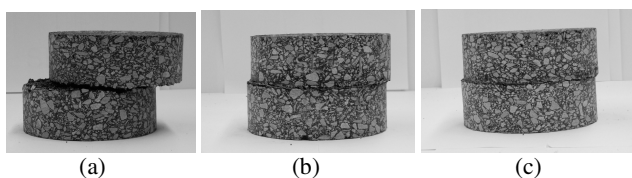
The tensile strength values were, at 5°C (and 25°C): 5.167 (1.077) MPa for the tack coat interlayer, 4.197 (0.853) MPa for the geosynthetic Type A interlayer and 3.947 (0.815) MPa for the geosynthetic Type B interlayer.

Despite of that, the graphs obtained show a steep slope in the post-peak regime typical of brittle fracture for the tack coat interlayer and a much slower decay typical of tough fracture for specimens with a geosynthetic interlayer (Figure 2). This softening phase is caused by the bridging effect of the reinforcement.

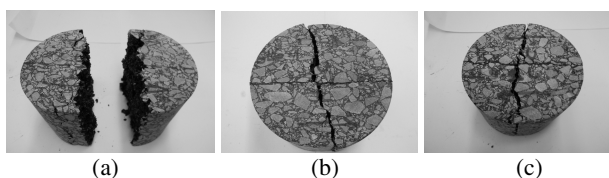
In detail, the shear tests showed a slippage till failure of the two halves of the specimen in the system without any reinforcement (Figure 3a), while, in presence of geosynthetic type A and type B, the slippage is avoided by the adhesion between the reinforcement and the asphalt layers (Figure 3b and 3c).

The indirect tensile strength test demonstrated the ability of the glass fibers to keep the two halves of the specimens together, as clearly shown in Figure 4.

The interlayer is characterized by good load transmission and adhesion, in particular at 5°C, when the material is stiffer.



**Fig. 3.** Shear test specimens: (a) tack coat interlayer, (b) geosynthetic interlayer Type A, (c) geosynthetic interlayer Type B

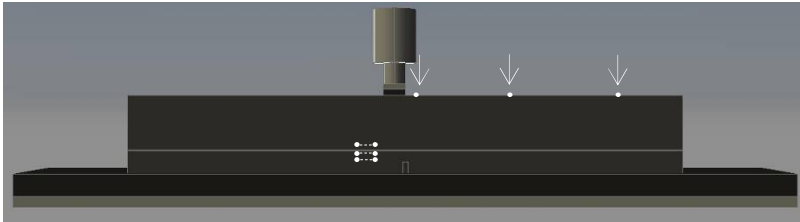


**Fig. 4.** ITT test specimens: (a) tack coat interlayer, (b) geosynthetic interlayer Type A, (c) geosynthetic interlayer Type B

## 2.2 Fatigue Tests

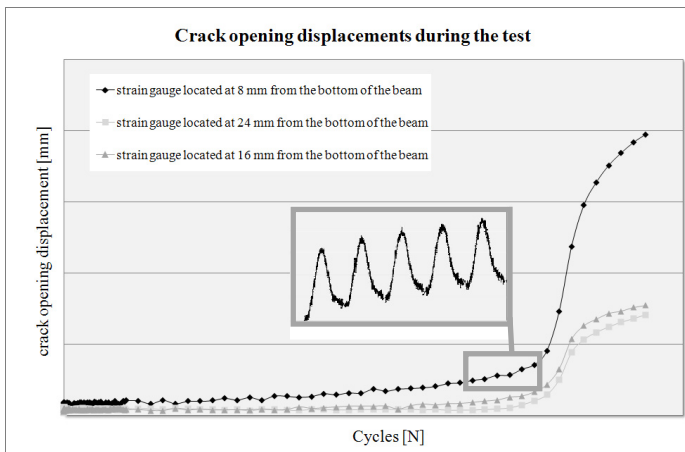
The effects of the geosynthetic interlayers studied are mainly connected to the mitigation of reflective cracking. The second part of the paper is then aimed at investigating the effectiveness of the geosynthetic interlayers in reducing crack initiation and propagation.

A non-conventional fatigue test procedure was followed. Double-layer beams 80 mm wide, 500 mm long and 70 mm thick, mechanically notched in the middle of the lower part, were sawn from the slabs and glued to a specifically designed rubber, that was glued to a steel plate (Figure 5). After 4 hours conditioning at the test temperature of 5°C, the beam was subjected to a compressive haversinusoidal load of 5 Hz till it was totally failed. Four peak-peak load levels were chosen: 5, 4, 3 and 2.5 kN, corresponding to 60 to 30% of the tensile strength as measured in the ITT test. A closed circuit of three air tubes, a control unit and a climate chamber, was adopted to maintain the temperature during the test.



**Fig. 5.** Fatigue set-up and location of the monitored key-points

The crack propagates along the thickness starting from the corner of the notch, as expected; in fact it generates a stress concentration that causes crack initiation. As shown in Figure 5, each sample was instrumented with three extensometers to measure the crack opening displacement and three vertical deformation transducers to measure the beam curvature, all recorded as a function of the number of cycles.

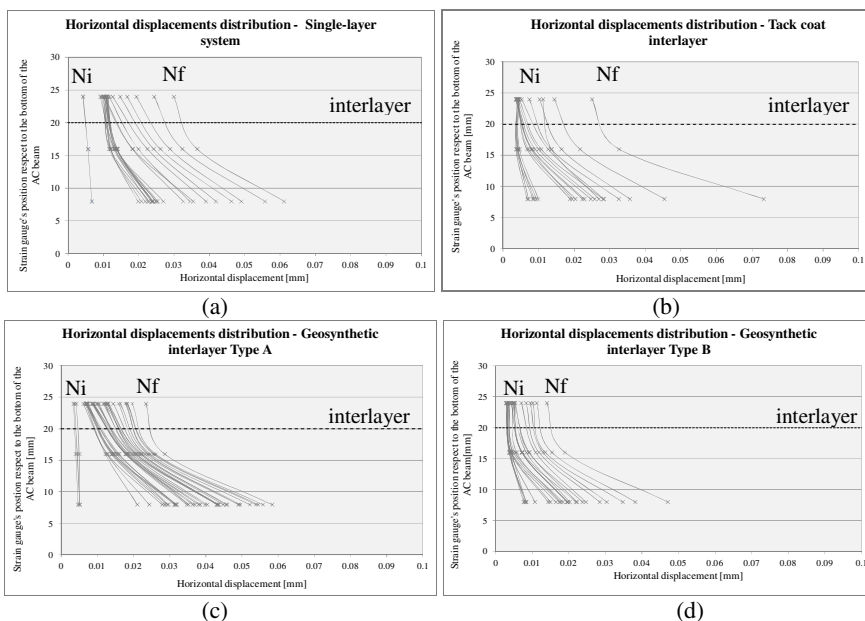


**Fig. 6.** Typical fatigue test output representing the detail of the cyclic displacements measured

Structural damage occurs primarily in the form of microcracks, that develop mainly in the brittle matrix and aggregate [4]. This phase corresponds to the first part of the graph reported in Figure 6. After that, debonding occurs at the interface between grain and matrix leading to the crack propagation phase, the beginning of which is represented by the change of slope. The crack develops along the thickness till the top of the beam. After that the third phase occurs, in which the beam is completely broken. A good interlocking guarantees connection and requires additional energy for disconnecting the elements, being a key element to prevent failure of the specimen. Moreover, the geosynthetic interlayer, thanks to its higher stiffness and its good bonding to the asphalt layer, creates a strong bridging zone between the upper and lower layer, delaying the crack propagation.

The crack opening displacements distribution along the thickness during the whole test well explain the behaviour of the different systems analyzed (Figure 7). A single-layer reference beam was also tested.

A single-layer reference beam was also tested.



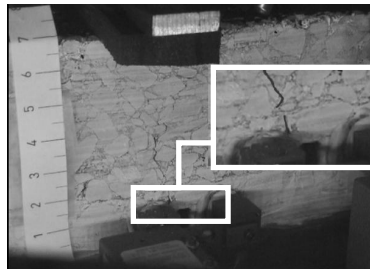
**Fig. 7.** Example of horizontal cyclic displacement distributions under 2.5 kN cyclic loading from  $N_i$  (initial number of cycles) to  $N_f$  (failure number of cycle): (a) single-layer system, (b) system with tack coat interlayer, (c) system with geosynthetic interlayer Type A, (d) system with geosynthetic interlayer Type B

The horizontal movements are significantly reduced immediately above and below the geosynthetic; this is clearly shown in Figures 7c and 7d. Once the crack starts to propagate from the notch till the top, the systems without any geosynthetic interlayer show an increasing displacements distribution along the thickness, while the reinforced systems maintain the values below a certain

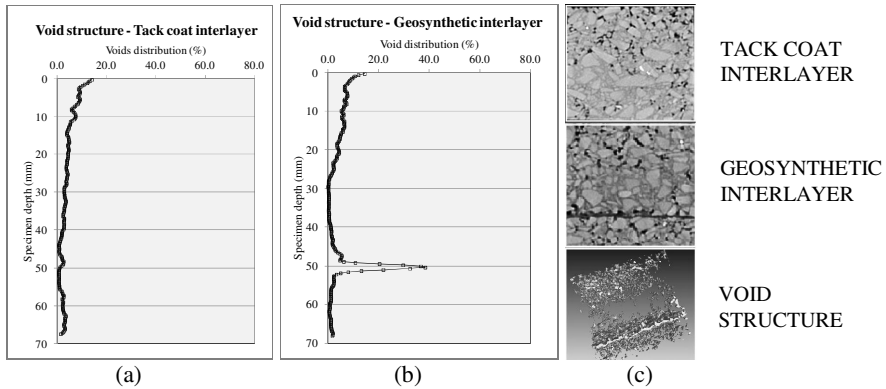
threshold. The fatigue tests conducted at the other three load levels confirmed this behaviour, obtaining similar horizontal displacement distributions.

The characteristic fracture process was monitored by making movies of the tests, highlighting the different path of the crack in the systems studied. A clear picture of the crack path in reinforced system is shown in Figure 8, focusing on the area around the interlayer. The reinforcement remained intact favouring the beam connection. Even after the crack appeared and propagated, the geosynthetic material was still intact, holding the overlay together.

X-ray tomography was also done to get the void structure of the beams around the crack area before and after the tests (Figure 9). Figure 9b showed a non-uniform voids distribution in the structure with the geosynthetic embedded in it. The voids around the interlayer are filled up with the tack coat, constituting a very strong and compacted area. On the other side, the high air void concentration in the upper part constitutes a weak zone, where sometimes the crack starts from.



**Fig. 8.** Crack path in a reinforced beam and detail of the crack around the interlayer



**Fig. 9.** Example of voids, mortar and rock structure along the thickness, before the fatigue test, for a) tack coat interlayer system and b) geosynthetic interlayer system; c) X-ray tomography of the systems and 3d reconstruction of the voids in a reinforced system

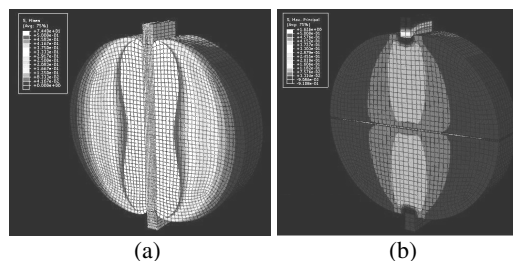


### 3 Numerical Modelling

As early discussed in this paper, the inclusion of geosynthetic interlayers in asphalt pavements leads to benefits in terms of response to monotonic and cyclic loadings. Thus, stress and strain distributions need to be studied to investigate the behaviour of reinforced structures compared to unreinforced ones. The finite element method (FEM) was adopted to simulate the dynamic indirect tension test (ITT), a simple test used to get an insight into the visco-elastic material response.

A circular specimen clamped between two steel strips is loaded by a dynamic vertical load. In the model the interaction between the specimen and the steel strips, the asphalt layers and the geosynthetic are taken into account by interface elements. The asphalt concrete is assumed to be a visco-elastic material, characterized by Prony series parameters the values of which were obtained experimentally. The reinforced specimens include the geosynthetic, modelled as a composite material made up of textile elements and glass fibers, connected to the asphalt concrete with interface elements representing the tack coat used in the laboratory.

The FE models reported hereafter (Figure 10) compare the stress distributions in unreinforced and reinforced specimens, showing a significant reduction of the maximum principal stress values around the interlayer in the reinforced specimens. Assuming a test temperature of 5°C, a frequency of 0.5 Hz and a target peak deformation of 2 ( $\mu\text{m}$ ), the unreinforced system presents a central area with high stresses (around 0.50 MPa), while the corresponding reinforced system presents lower stress values (around 0.15 MPa) and a different distribution, in fact immediately above and below the geosynthetic the stresses are close to the minimum values.



**Fig. 10.** Maximum Principal stress distribution in (a) specimen with tack coat interlayer and (b) specimen with geosynthetic interlayer

### 4 Conclusion

Preventing crack propagation is the most efficient way to reduce maintenance costs. The main purpose of this study was to provide an insight into the effects of geosynthetic embedded in asphalt pavement.

Based on the findings described, it can be concluded that this type of reinforcement provides benefits to road pavements. The output of monotonic tests to

failure showed the ability of the material studied to guarantee a good bond at the interface preventing the two halves of a specimen from separation. The asphalt performs as a brittle material without the geosynthetic interlayer and as a ductile one with the geosynthetic. In fact the cracked geosynthetic specimens can be reloaded again.

By means of a non-conventional fatigue test, it was also proven that such an interlayer reduces the crack opening displacements under cyclic loadings to failure. The horizontal displacements around the interlayer are reduced by about 20% when compared to the unreinforced system. This reduction together with reduction of crack propagation speed is dependent upon compaction, the stones size and characteristics and the degree of bonding, as observed in the fatigue tests. In fact X-ray tomography gave useful information about the void structure, underlining the need of a more homogeneous void distribution. A better compaction technique is then required.

The 3D finite element model of the stiffness test demonstrated that also different stress distributions developed in the systems analysed, highlighting the stress values reduction around the geosynthetic and, consequently, in the whole specimen.

**Acknowledgments.** The research presented herein was carried out under the collaboration between Politecnico di Milano and TU Delft. The authors gratefully acknowledge the company TenCate Geosynthetics, for supporting the research work and OOMS Nederland Holding bv, for the help in preparing the double-layer slabs.

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# Geogrid Interlayer Performance in Pavements: Tensile-Bending Test for Crack Propagation

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**Abstract.** The roads durability is an objective that gains more and more importance because of the economical – environmental factors. A durable road surface should be provided in the course of repair operations. Geogrids (carbon, glass or steel fibbers) are used for increasing the durability of overlaid asphalt surfaces. They reduce fatigue cracks as well as thermal cracking and prevent structural deformation. This article presents experimental results based on the thermal shrinkage-bending test. The mechanical performances of two double layers complexes (unreinforced or reinforced by grids) are discussed and analysed. In terms of durability, ecology and economical reasons, the three solutions presented in this article, wants to expose the behaviour of a mixture that is reinforced (in three ways) to improve the consumption of eco-elements (like stone and bitumen) and also to improve the life time of the future work. After analyse the three solutions UN, FP and CF we can conclude that a weak mixture (in eco-elements) can be very well improved in using the two reinforcing solution, presented below.

## 1 Introduction

It's important to say from the beginning that this work is at its first attempt in characterize this reinforcement solutions. Delaying cracks is the purpose of all reinforcement solution, also of the solutions presented in this article. The objective of this article is to present in an international environment like RILEM congress, first achievements after an experimental campaign with the french (exclusive) dispositive. The durability of a pavement is defined by its capacity to resist to traffic and climate effect during conventional lifetime in function of its economic and society importance (LCPC-SETRA, 1994). One of the pavement rehabilitation techniques enable the user to mitigate reflective cracking and rutting with minimum of surface thickness layer. This construction method use grids at the

interface between layers. This solution has been studied a lot between 1990 and 2000 mainly for the rigid pavements [1, 2, 3, 4, 5]. Now days, with the environmental aspect, this technique is coming back [6, 7, 8, 9]. Other while we have to better understand the mechanical behavior of reinforced multilayered pavements and interfaces.

This paper is about the reflective cracking performance of two reinforced (carbon fiber and glass fiber grids) systems, compared with a reference one.

Experimental results are from the bending-tensile test. This device has been conceived by Laboratoire Régional des Ponts et Chaussées [10, 11] for traffic effect (bending) and thermal effect (tensile) simulation and reinforcement performances evaluation.

## 2 Pavement Cracking Backgrounds

It is well known that pavement cracking is due to climate and traffic effects. For semi-rigid pavement or bituminous reinforced pavement, theoretical and experimental studies show that reflective crack initiation is most of the time due to thermal effects [12, 13]. Top-Down cracking can be also observed due to traffic shear effects [14, 15]. Construction defects, such as problems of interlayer bonding [16, 17] and ageing bitumen phenomena [18] are others cracking contributors. Figure 1. [19] give each reflective cracking path in multilayered pavements reinforced or not, in function of interface boundaries.

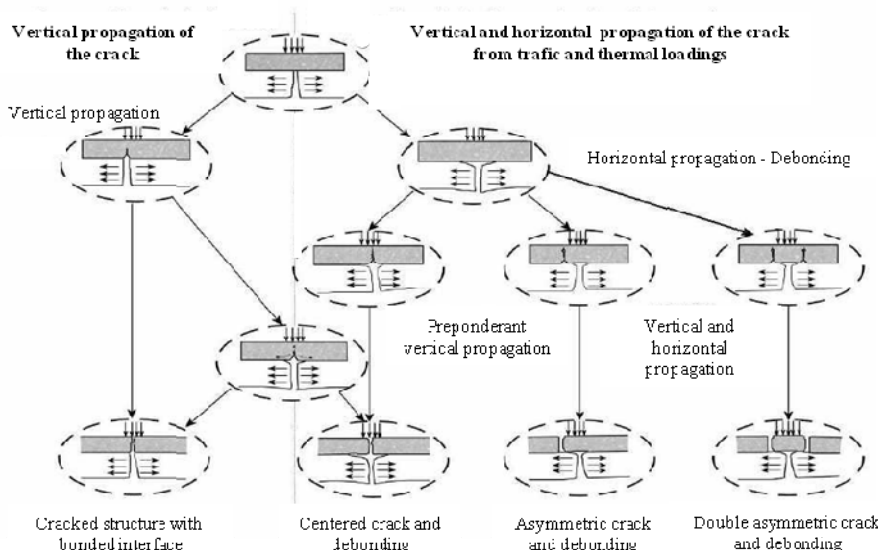


Fig. 1. Path cracking in function of interface conditions (Perez S., 2008)

We can notice debonding and delayed cracking in several cases. For reinforced pavement by grids it is important to get a good contact between HMA and the grid. Most of the time the bonding is realized by the way of tack coat application.

### 3 The Tensile-Bending Device

The tensile-bending test has been conceived in 1987, the first version [10, 11] was able to compare different systems with a reference one called Stress Absorbing Membrane Interlayer (SAMI) by analysis of reflective cracking velocity. Each sample ( $560 \times 110 \times 95 \text{ mm}^3$ ) is submitted to constant temperature ( $5^\circ\text{C}$ ), tensile loading and bending loading in the same time:

- the tensile longitudinal loading is slow and is given by displacement of half part at  $0,01 \text{ mm/min}$ . This loading is corresponding to thermal effect.
- a cyclic vertical bending is given by pneumatic cylinder (amplitude  $0.2 \text{ mm}$ , frequency  $1 \text{ Hz}$ ). This cyclic loading is simulating  $5 \text{ km/h}$  traffic loading.

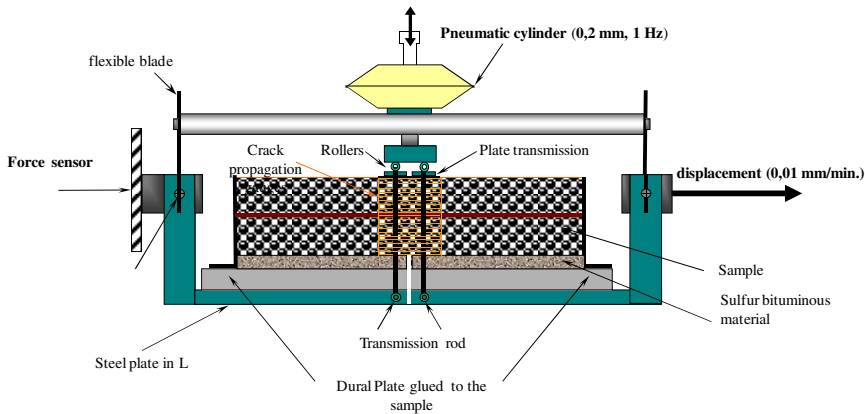


Fig. 2. Tensile-bending test, after [11]

### 4 Reinforced Systems Tested

The materials are sawed in an experimental pavement constructed in Italy for RILEM Technical Committee (TC ATB/SIB, TG4). The pavement was made of two  $50 \text{ mm}$  thick layers of AC11 (Standard EN 12697), with pure bitumen 50/70, and calcareous granular material. The interface between each layer is :

- tack coat with  $210 \text{ g/m}^2$  residual bitumen (UN);
- carbon fiber grid with grid size  $20 \times 20 \text{ mm}^2$  (CF) (cf. Table 1);
- glass fiber grid with grid size  $33 \times 33 \text{ mm}^2$  (FP) (cf. Table 1);

Slabs (650x650x100 mm<sup>3</sup>) have been cut in the pavement in order to perform laboratory tests. The CF and FP slabs have been carved by the two sides, to reach out the fibers. This action has been made to obtain in the final sample a transversal carbon fiber (or glass fiber) and a longitudinal glass fiber, in the middle part of the specimen. Each slab has then been re-cut in the compaction direction to get sample size 560x110x100 mm<sup>3</sup> and both layers are reduced to 40 mm thickness each. The densities of each sample are measured according to French Standard NF P 98-250.5

**Table 1.** Characteristic of Geogrids CF and FP

	Geogrid CF [Longitudinal] Glass fiber	Geogrid CF [Transversal] Carbon fiber	Geogrid FP Glass Polymerized Fiber
Grid size [mm]	20x20		33x33
Tensile modulus of elasticity [N/mm <sup>2</sup> ]	73,000	240,000	23,000
Elongation at rupture [%]	3 – 4.5	1.5	3
Ultimate tensile force [kN/m]	111 (at 2.7%)	249 (at 1.5%)	211

A sulfur bituminous material 15 mm thick is laid over a tack coat above the lower layer and then, sawed and notched 3 mm in all the thickness of this layer. So the top of the notch is just at the bottom of the HMA bottom layer (cf. Figure 2).

## 5 Experimental Measurements

Cracking detectors are installed in the front side of samples. On the back side several strain gauges are glued (five - 20mm long) longitudinal strain gauges and four (10 mm long) vertical strain gauges are located such as mentioned in Figure 3 [20].

The collected data are :

- the notch opening displacement, and the vertical displacement due to bending effects. These measurements are done on each side of the sample.
- the tensile horizontal load,
- the vertical crack propagation on the front side,
- the longitudinal and vertical deformations from back side,
- the sample temperature with PT100 thermal sensor.

Datas are stored during time (50 data / minute acquisition rate continuously the first 600 seconds, and then during 5 seconds, every 900 seconds). Each test is repeated three times for the three systems UN, CF and FP.

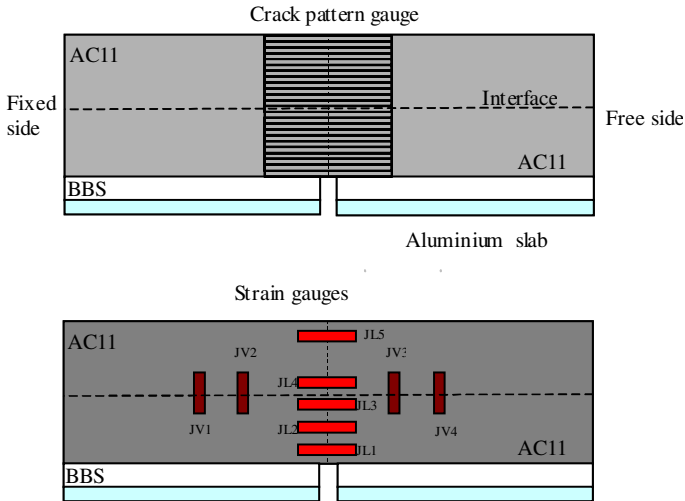


Fig. 3. Instrumentation on both sides of the sample

## 6 Results

Figures 4-5-6 show the crack growth (measured from the bottom of lower layer) and the mean and amplitude horizontal tensile force against time. After initiation phase, two different macro-crack paths have been observed : a reflective cracking followed by a top down cracking in the upper layer in the same time the reflective crack tip is close to the interface. The crack path is vertical and quite symmetric on both sides. The evolution of mean horizontal tensile force correlate well to the three cracking phases (initiation, reflective cracking and top-down cracking). The FP system response is clearly different from the others (UN, CF), in the same way, this system is the only one that leads to debonding after the crack arrives at the interface (Figures 7-8-9).

The FP grid seems to improve the bearing capacity of the system, even if it's early debonded.

Figures 7-8-9 present the crack propagation of the three samples tested for each system. Even some dispersion is seen as usual for fatigue tests, these data show that the system failure is obtained first for the UN, second for the CF, third for the FP.

Figures 10 and 11 shows the mean longitudinal strain data from strain gauges during the initiation phase and propagation phase for both kinds of reinforced samples. For both systems, the upper layer is in compression in the beginning of the test and passes in tension after around 5000 seconds. We observe that for CF grid, the strains are greater than for FP grid at the same time that is at the same horizontal displacement and bending number of cycles. But the CF grid system brings a central rigidity about a characteristic length (10 mm) close to the interface. On Figure 11, debonding occurs between 10.255 s and 17.519 s.

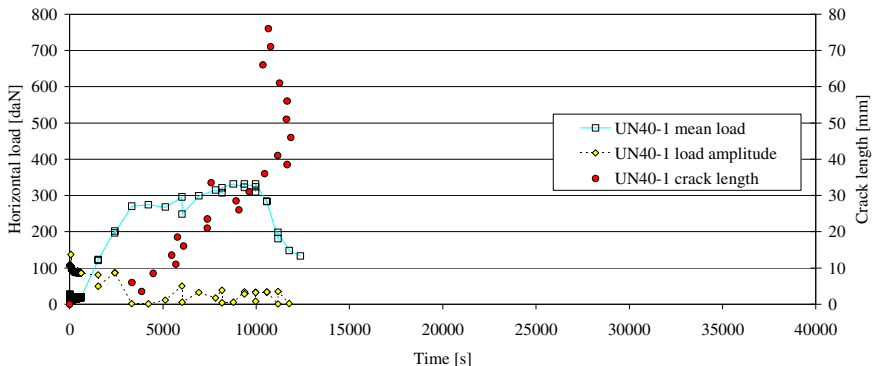


Fig. 4. Crack propagation and horizontal force evolution. ( UN 40-1)

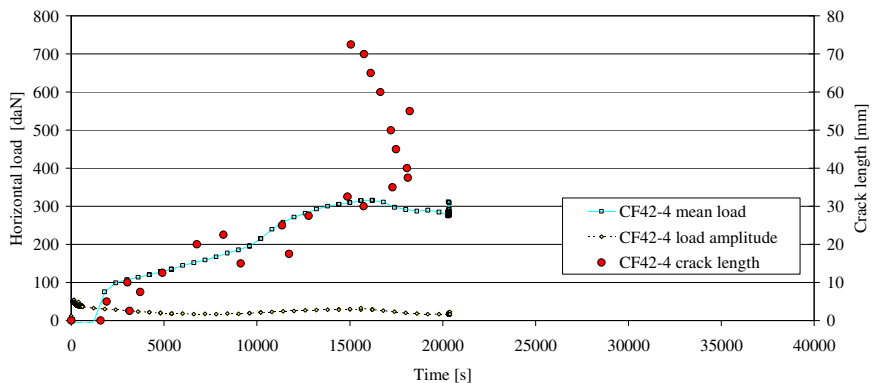


Fig. 5. Crack propagation and horizontal force evolution. ( CF 42-4)

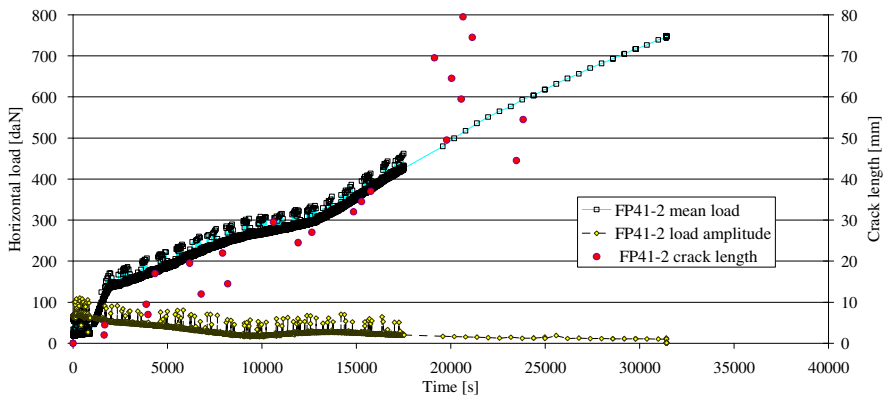


Fig. 6. Crack propagation and horizontal force evolution. ( FP 41-2)



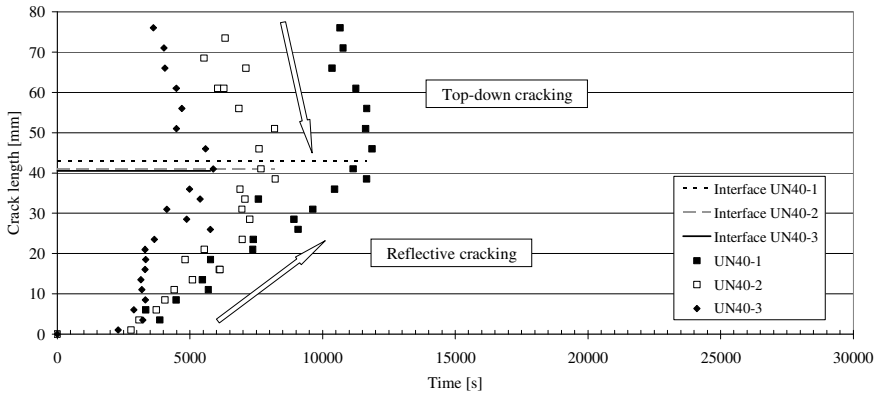


Fig. 7. Crack path of UN samples

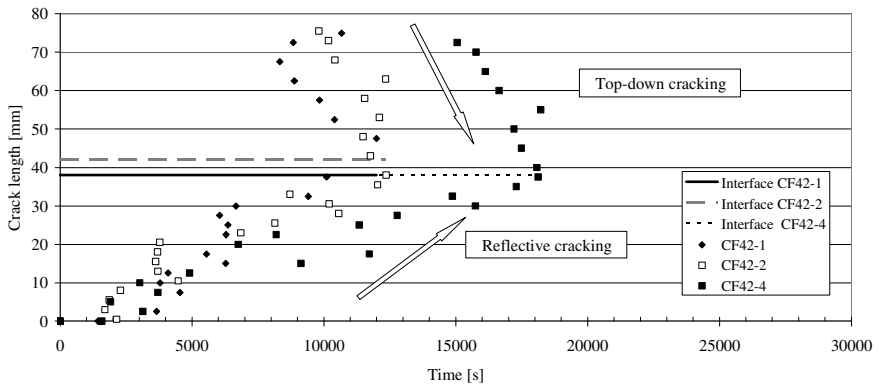


Fig. 8. Crack path of CF samples

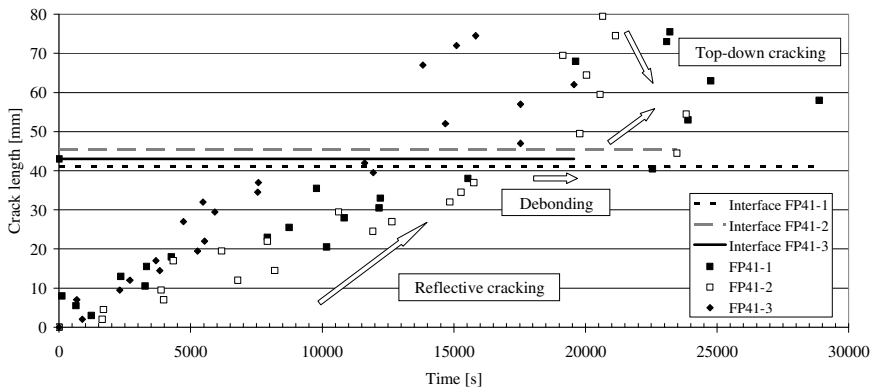
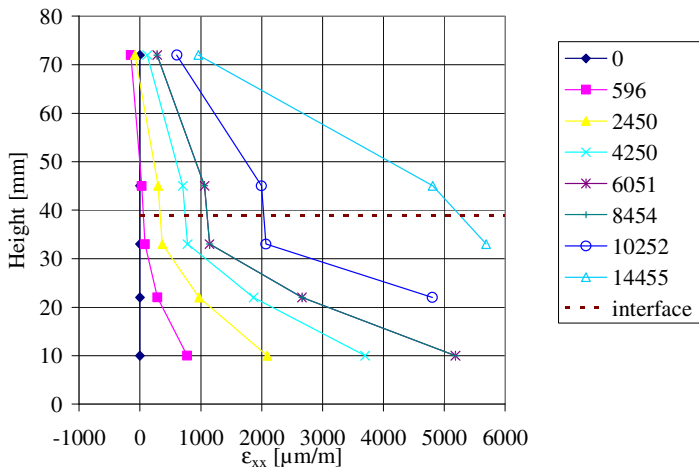
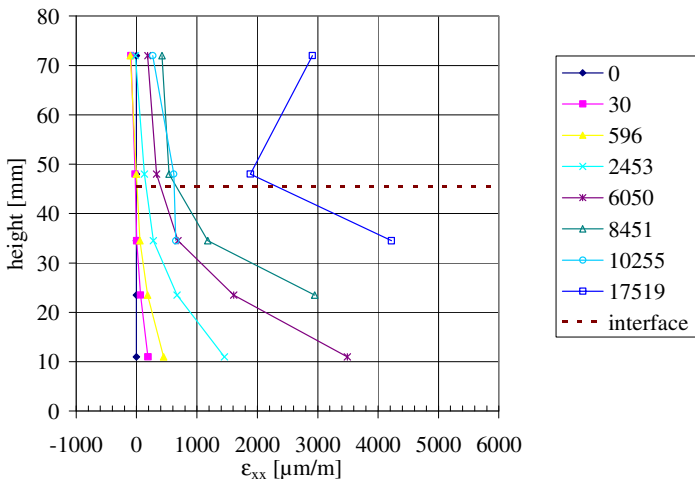


Fig. 9. Crack path of FP samples



**Fig. 10.** Longitudinal strains distribution - CF 42-4 (mean values, different curves at different times in second)



**Fig. 11.** Longitudinal strains distribution - FP 41-2 (mean values, different curves at different times in second)

## 7 Conclusions

The present paper shows first results of the TC-RILEM SIB. The tensile-bending test has been chosen in order to compare the reflective cracking performance of each system. We can conclude that the three solutions discussed and analyzed in this article, are performing at interface level (regarding mixture quality). Their capability to resist under the double solicitation bending and traction is in order to their rigidity/flexibility. We have seen that a rigid grid is more efficient under the

excitation (traffic and thermal). The FP grid, acts like a metallic reinforcement, but it's more efficient in terms of time performances (it will not corrodes) and also mechanical. The order of efficiency is: FP grid, CF grid and normally the UN witness. The bending components coupled with the thermal traction are the two most common reasons of road cracking. In terms of impact over the environment and economical components, the reinforcement solutions, presented in this article, wants to put in evidence that: the presence at the interface level of a reinforcement element, diminish the mixture quantity. It's very well known that the mixture elements components are in the main part from the environment. Reducing the quantity of bioecological components we contribute to a sustainable road development and also to a sustainable intervention works that must be done periodical. In perspective, other tests will be done without bending component. Monotonic and fatigue shear performances will be evaluated. Scale effects will be studied, because just in this manner we will be able to characterize in a real mode the behavior of these reinforcement solutions.

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# Theoretical and Computational Analysis of Airport Flexible Pavements Reinforced with Geogrids

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**Abstract.** In recent years the need to increase pavement service life and guarantee high performance has turned a greater attention on the use of pavement reinforcements. In this paper the effectiveness of geogrids as reinforcement of HMA layers in an airport flexible pavement was investigated.

The study proposes a numerical investigation by using the Finite Element Method (FEM) analysing the importance of the geogrids in the pavement behaviour under hard aircraft impact load. The aim of this investigation is to evaluate the stress concentration over the geogrids under impulsive load propagation. The non-homogeneous action is able to develop stress concentration, local damage and fracture with localized weakening. The results show that geogrids can provide a significant contribution to the stress resistance.

## 1 Introduction

Geosynthetic materials are frequently used to rehabilitate and/or improve pavement mechanical performances [1-7]. Geogrids are the Geosynthetic materials with widespread use for pavement applications, which, depending on the grid constituent material, the mesh shape and size and the stiffness and position in the pavement structure, are able to increase fatigue resistance, reduce rutting and limit reflective cracking. The application of geosynthetics in roads and airfields has become popular in recent years due their high mechanical performances and ability to relieve stresses by reinforcing pavements. Several researches have studied the application of geosynthetics for improving roads and airport pavement performance [5, 6, 8].

Previous studies [2, 5, 6, 9] show that geotextiles provided less resistance against lateral movements than that provided by glass fiber grids. The stiffness of the fabric material reinforcing the hot mix asphalt (HMA) layer needs to be greater than that of the surrounding HMA. High tensile strength and elastic stiffness of glass fiber grids has made them an attractive choice for reinforcing pavement systems. Different studies state that there is a significant benefit in using asphalt geogrids reinforcement: Herbst et al. [10] illustrated an interesting set of data from an experimental site in Austria, where the comparative benefits of geogrids and geotextiles could be directly assessed. Elsing & Sobolewski [11]

proposed, in their experience, a factor of 4 on the life of a pavement as a result of the inclusion of a polyester geogrid. The same geogrid was used by Kassner [12] in his experience, he demonstrated the effectiveness of reinforcement at a depth of 100mm over jointed concrete subject to severe temperature variations. Huhnholz [13] presented direct evidence that a polymer geogrid gave a life enhancement factor of at least 3. Penman & Hook [14] described how glass-fiber based geogrids had successfully used as interlayers to extend the design life of asphalt pavements on airport runways, taxiways and aprons. The results obtained by Palacios et al. [15] revealed a partial improvement in reflective cracking resistance due to the incorporation of fiber-reinforced interlayer. It is clear from these studies that many practitioners see significant benefit in using asphalt geogrid reinforcement. Designing a flexible pavement reinforced with glass fiber grid and evaluating the effectiveness of reinforced pavement performance is a complex problem requiring considerable research and study. In this paper, the use of glass-based geogrids as reinforcement materials was analysed by FEM analysis. Use of glass grids in pavement sections is expected to improve pavement performance because of its excellent bonding characteristics with the asphalt and also due to low creep properties.

In the simulation, glass grids were placed within the asphalt layer (HMA). Computer analyses were to investigate the response of the reinforced pavement section under a high impacting load.

## 2 Mechanical and Constitutive Aspects

Here, we will develop an adequate behaviour mechanical model to perform, subsequently, a computational linear analysis by FEM procedure. For this, we focused our attention over the superficial layer of the pavement section, composed by asphalt mixture (HMA) with the embedded glass grids. The first layer can be modelled as well as a heterogeneous fibres reinforced solid, where the asphalt mixture is the matrix and the geo-grids have the reinforcement role. Under the hypothesis of orthotropic linear elastic behaviour and plane stress, we considered a composite plane solid having strengthening as cross-ply type with, respectively,  $0^\circ$  and  $90^\circ$  fixed way. According to previous theoretical studies [16, 17], let us:

$$D = \begin{bmatrix} E_l & \nu_{lt}E_l & 0 \\ \nu_{tl}E_t & E_t & 0 \\ 0 & 0 & G_{lt} \end{bmatrix} \quad (1)$$

The stiffness matrix of the reinforcement mesh where  $E$ ,  $\nu$ ,  $G$  respectively are: the elastic modulus ( $l = \text{longitudinal}$  and  $t = \text{transversal}$ ), the Poisson coefficient and the shear modulus. To make clear, there are two  $D$  matrixes, specifically  $D^0$  and  $D^{90}$  since the grids elements can have different thickness. Let us  $h$  the total thickness and  $s$  the grid element thickness then putting:

$$l_1 = s^0/h \quad l_2 = s^{90}/h \quad (2)$$

The stiffness matrix terms can be split as membrane and flexural types. Under a symmetric geometric composition we found as membrane type the follow:

$$D^m = h \begin{bmatrix} (E_t + E_l)/2 & \nu_{tl}E_t & 0 \\ \nu_{tl}E_t & (E_t + E_l)/2 & 0 \\ 0 & 0 & G_{tl} \end{bmatrix} \tag{3}$$

Under a normal biaxial stresses  $N$ , the strain field follows as in the form:

$$\begin{bmatrix} \epsilon_x \\ \epsilon_y \end{bmatrix} = \frac{1}{h(D_{11}^2 - D_{12}^2)} \begin{bmatrix} D_{11} & -D_{12} \\ -D_{12} & D_{11} \end{bmatrix} \begin{bmatrix} (1-\xi)N_x \\ \xi N_x \end{bmatrix} \tag{4}$$

furnishing the principal stresses in the form:

$$\sigma_l = E_t(\epsilon_l + \nu_{tl}\epsilon_t) \tag{5}$$

$$\sigma_t = E_t(\epsilon_t + \nu_{tl}\epsilon_l)$$

Likewise the flexural matrix in the form:

$$D^f = \frac{h^3}{12} \begin{bmatrix} (E_t - E_l)\beta + E_l & \nu_{tl}E_t & 0 \\ \nu_{tl}E_t & (E_t + E_l)\beta + E_l & 0 \\ 0 & 0 & G_{tl} \end{bmatrix} \tag{6}$$

Finally, applying Tsai-Hill criterion for all fibres:

$$\frac{\sigma_l^2}{\sigma_{lR}^2} + \frac{\sigma_t^2}{\sigma_{tR}^2} - \frac{\sigma_l \sigma_t}{\sigma_{lR}^2} = 1 \tag{7}$$

We find that maximum values of the  $N_x/h$  relationship depend on the  $\xi$  parameter. Rational methods have been developed to analyse the mechanical behaviour of heterogeneous composite solids under various kinds of loading.

Materials properties are derived by methods of micromechanics, whereas structural properties are derived by macro mechanics methods. The mechanical aspects of these heterogeneous solids, has two ways to be analysed. The first one is the micro mechanics approach deals with the resulting materials properties in terms of the constituent materials. Here the most important aspects are local stiffness and basic failure mechanics of the material. Macro mechanics is the latter, deals with the resulting structural properties and structural configuration. Here the most important questions are the stiffness and strength of the entire composite pavement package.

### 2.1 Micromechanics Approach

There is various methods to treat the constitutive properties of reinforced composite but it's our opinion to apply the more accurate this. With the micromechanics approach, the use of the mixture theory [18] allows to find the

percentage relations among matrix and reinforcement fibres. We will use the following notation:  $V^{(f)}$  is the volume fraction of the fibres,  $V^{(m)}$  the volume fraction of the matrix and  $\rho^{(f)}$ ,  $\rho^{(m)}$  the respective mass density. Then the mass density of the complete solids:

$$\rho = \rho^{(f)} V^{(f)} + \rho^{(m)} V^{(m)} \tag{8}$$

Assuming a perfect heterogeneous package, namely no voids, perfect bonding between exactly aligned equally distributed fibres and a homogeneous matrix and considering the strength predictions of mono axial tensile loads. Here should be done two possible characterizations about the failure modes. If the fibres volume fraction is sufficiently large ( $\xi > \xi_{min}$ ) the asphalt matrix will not be able to support the entire load after the failure of the fibres which is assumed to take place if the solid is strained to the fibres fracture strain  $\epsilon^{(f)}_{(u)}$ . Then the ultimate tensile strength  $\sigma^*$ , when  $\xi > \xi_{min}$ , assumes the form:

$$\sigma^* = \sigma^{(f)*} \xi + \sigma^{(m)*} (1 - \xi) \tag{9}$$

Otherwise, for rather small fibres volume fraction,  $\xi < \xi_{min}$ , the matrix will be able to support the entire load when the fibres are broken. Then the ultimate strength is:

$$\sigma^* = \sigma^{(m)*} (1 - \xi) \tag{10}$$

It's very easy to compare Eqn. (9) to (10) and finding the  $\xi_{min}$  value.

### 2.2 Behaviour under Impact Load Conditions

Focusing the general question that we will treat us, here we consider the contact conditions among the aircraft wheel and the heterogeneous composite solids (asphalt/fibre-reinforced). Without loss generality we suppose a contact without friction, complete bonding and rigid punch as aircraft wheel impact. So, the contact is modelled as rigid over an orthotropic half-plane ( $0 \leq x \leq L$ ) and, the governing equations relating the loads to the stress fields, follows:

$$p(x) = \frac{\cosh(\pi\eta)}{\sqrt{1-x^2}} \left\{ \frac{2}{\pi} \cos\psi(x) + \sigma^* \kappa [x \sin\psi(x) - 2\eta \cos\psi(x)] \right\} \tag{11}$$

$$q(x) = \frac{\cosh(\pi\eta)}{\sqrt{1-x^2}} \rho \left\{ \frac{2}{\pi} \sin\psi(x) - \sigma^* \kappa [x \cos\psi(x) + 2\eta \sin\psi(x)] \right\} \tag{12}$$

where  $\eta$ ,  $\kappa$  and  $\rho$  are material parameters. Here  $p(x)$  and  $q(x)$  represent the contact pressure distribution respectively as contact pressure and contact shear stress. In the equation (11) and (12) the function  $\psi$  having the form:

$$\psi(x) = \eta \ln \left( \frac{1+x}{1-x} \right) \tag{13}$$



While for the material parameters the follow relationship appears:

$$\eta = \frac{1}{2\pi} \ln \left( \frac{\pi + \sqrt{\nu\nu'}}{\pi - \sqrt{\nu\nu'}} \right) \quad k = \frac{1/\sqrt{\alpha\beta}}{\alpha + \beta} \quad \rho = \sqrt{\alpha\beta} \quad (14)$$

Whereas  $\nu$  and  $\nu'$  are the Poisson coefficients in the governing equations of the half-plane depending on the orthotropic properties of the half-plane. Again,  $\alpha$  and  $\beta$  are deduced by the elastic constants in the generalised constitutive law for an orthotropic solid. It's easy to see that the contact stress functions approach infinite values and their oscillating behaviour grows stronger as  $x$  approaches unity.

### 3 Computer Analysis

In this study several 3D FEM analysis were performed to analyse non-reinforced and reinforced airport flexible pavement. These simulations were used to investigate the efficiency of glass fiber grid inside asphalt layer on pavement response under a heavy impact caused by aircraft landing gear wheels.

The pavement section is comprised of asphalt concrete and crushed aggregate, as shown in Figure 1. The pavement structure in the application is based on the structure as found for the runway of the Reggio Calabria airport. All pavement layers except the glass grid were modelled by using 3D deformable solid homogeneous elements.

Table 1 shows the elastic properties used in finite element analysis (modulus of elasticity and Poisson's ratio), obtained by conducting laboratory testing on HMA materials and field non-destructive evaluation of granular and subgrade materials.

Glass grid was modelled by using membrane elements.

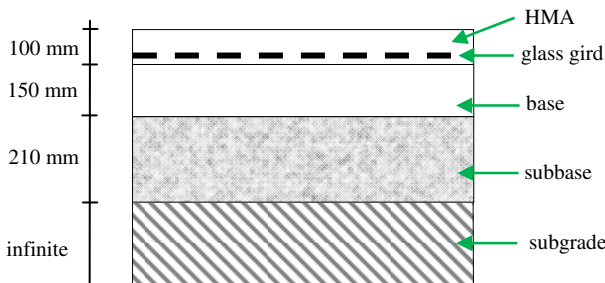


Fig. 1. Pavement section

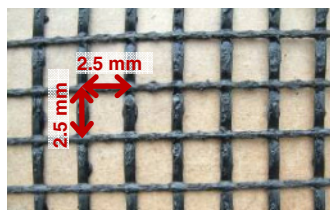
Table 2 show the glass grid mechanical and dimensional characteristics, it was considered as a linear elastic material since it has very low creep characteristics.

**Table 1.** Layers thickness and elastic material properties

Layer	Thickness [mm]	Modulus of elasticity [MPa]	Poisson's ratio
Surface	100	7000	0.30
Base	150	2000	0.35
Subbase	210	400	0.35
Subgrade	infinite	70	0.33

**Table 2.** Typical specification for paving fiber glass grids

<b>Mass</b>	Nominal	[g/m <sup>2</sup> ]	185
	Length	[kN/m]	50
<b>Tensile Strength</b>	Elongation at Break	[%]	<5
	Width	[kN/m]	50
	Elongation at Break	[%]	<5
<b>Melting Point</b>	Min.	[°C]	>218
<b>Grab Strength</b>	Warp	[N]	700
	Weft	[N]	425
<b>Dimensions</b>	Grid Size	[mm]	25x25
	Roll Length	[m]	150
	Roll Width	[m]	1.5



The tyre contact areas considered in the model were Airbus 321 tyre [19]. The most common way of applying wheel loads in a finite element analysis is to apply pressure loads to a circular or rectangular equivalent contact area with uniform tyre pressure [20]. For the finite element model, the contact area,  $A_c$  was represented as a rectangle having a length  $L$  and a width  $L' = 0.7 \cdot L$ . To evaluate the pavement load in exceptional condition, the dynamic parameters of a “hard” landing, that caused the broken of some gear components, were considered [21].

Starting from this, considering the damping effect of the gear system, it is possible to calculate the acceleration graph during the hard landing [22]. This value of acceleration was used to calculate the maximum wheel load. Under this load the contact area is:

$$A_c = \frac{397025}{1.36} = 291930 \text{ (mm}^2\text{)} \quad (15)$$

Form Eqn. (15) the footprint dimensions are:  $L = 648$  mm and  $L' = 453$  mm. The finite element mesh developed has the following dimensions: 5 m in  $x$  and  $y$  directions and 2.5 m in the  $z$ - direction.

The model presented has 50713 elements and 76586 nodes. Eight-noded linear brick elements C3D8R were used to mesh all the layers of the pavement and four-noded quadrilateral elements M3D4R were used to mesh the glass grid [7].

The loads (vertical and horizontal) were uniformly applied on the surfaces, which were created to be the same size as the wheel imprint of an airbus A321.

Since the boundary conditions have a significant influence in predicting the response of the model, the model was constrained at the bottom (encastre:  $U_1 = U_2 = U_3 = UR_1 = UR_2 = UR_3 = 0$ ); X-Symm ( $U_1 = UR_2 = UR_3 = 0$ ) on the sides parallel to y-axis; and Y-Symm ( $U_2 = UR_1 = UR_3 = 0$ ) on the sides parallel to x-axis. All layers were considered perfectly bonded to one another so that the nodes at the interface of two layers had the same displacements in all three (x, y, z) directions.

Assuming perfect bond at the layer interfaces implies that there will be no slippage at the interface.

This assumption is more applicable to hot mix asphalt layers, since the possibility of slippage is greater at the subbase/subgrade interface [23]. Glass grid was considered embedded in “host” pavement elements using the embedded element technique [24]. Figure 2 shows the deformed shape of the glass fiber grid at impacting instant.

Figure 3 and 4 shows the Mises stress distribution and the deformations in the pavement section.

The results do not shows a significant influence of grid on displacements. The computed displacements under the impacting loads show that the reduction due to grid was about 1%. The reduction of peak stresses in the base layer instead was significant, about the 6% as shown in Figure 5.

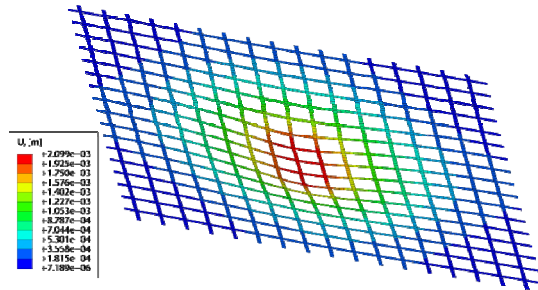


Fig. 2. Deformed glass fiber grid

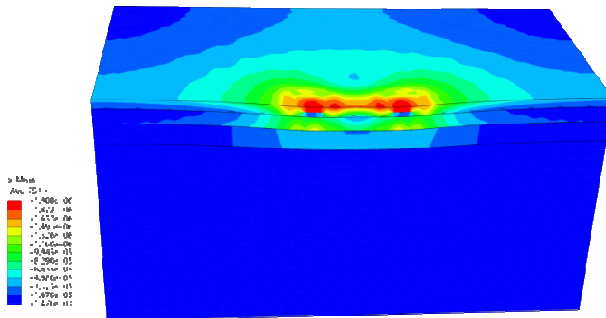


Fig. 3. Mises stress distribution for reinforced pavement

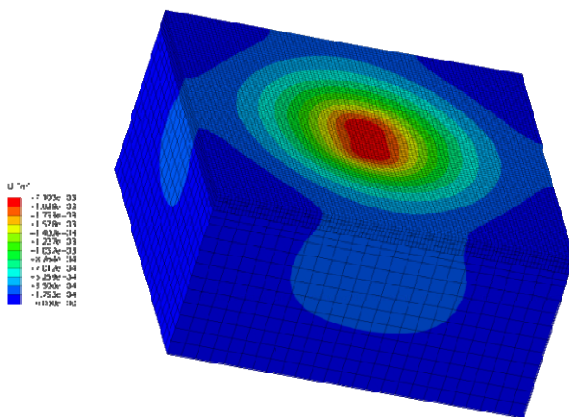


Fig. 4. Deformations in the reinforced pavement section

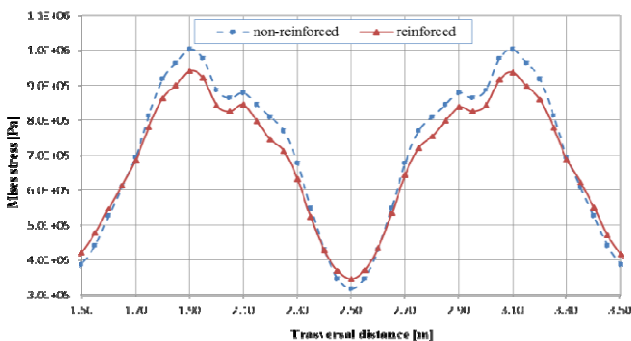


Fig. 5. Mises stress in base layer along the transversal direction of the pavement

### 4 Discussion and Conclusions

In this paper computational studies were performed to analyse the influence of synthetic fiber glass grids in the HMA layer on the performance of airport pavements. In particular the behaviour of the pavement structure was investigated what it is subjected to the action of a hard landing of an Airbus 321.

The results show how the reinforcement in the asphalt layer causes an interesting reduction in the base vertical stress.

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# Optimization of Geocomposites for Double-Layered Bituminous Systems

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**Abstract.** In order to improve pavement service life, reinforcement systems can be employed in asphalt layers. In this regard, geocomposites obtained by combining geomembranes with geogrids represent a promising option because they should allow both waterproofing and improved mechanical properties of asphalt pavements. However, the presence of reinforcement may cause an interlayer de-bonding effect that negatively influences overall pavement strength. Given this background, the present research aimed at evaluating the effectiveness of pavement rehabilitation with geocomposites in the laboratory. In particular, the present experimental study intended to implement new products by selecting the optimum combination among different geomembrane compounds, reinforcement types, reinforcement positions and interface conditions. The laboratory investigation was preliminarily organized to perform interface shear tests by means of the ASTRA apparatus. Then, on the basis of the results of the previous phase, the more promising configurations were selected to be further evaluated by means of the three-point bending tests. Specimens were obtained from double-layered slabs compacted in the laboratory. The results presented in this paper enabled the preliminary tuning for the selection of optimized composites to be submitted, in the near future, to performance-related dynamic tests and in situ monitoring of real scale trial sections.

## 1 Introduction

Road pavements are subjected to high traffic volumes generating accelerated functional and structural distresses which need frequent and expensive maintenance. In this sense, reinforcement systems can be appropriately chosen and employed in asphalt layers in order to improve, when correctly installed, the mechanical properties of pavements against cracking due to repeated loading [1–5] and reflective phenomena [5–8]. However, it is worth noting that the presence of a reinforcement at the interface causes an interlayer de-bonding effect [4, 6, 8, 9] that influences pavement response in terms of stress-strain distribution [10, 11]. Among the wide range of reinforcing products available on the market, geocomposites obtained by combining geomembranes and geogrids can represent a promising option. In fact, this kind of reinforcement system intends to combine improved tensile properties of reinforcements with stress absorbing and waterproofing effects of membranes. Up till now only few studies have documented the extended service life and/or the more cost-effective maintenance process of road structures including

membrane interlayer systems reinforced with geotextiles [10, 12, 13] or chopped glass fibres [14]. On the other hand, no literature can be easily found about geogrid-reinforced geomembranes.

Given this background, the research presented in this paper aims at evaluating the effectiveness of asphalt pavement rehabilitation with reinforced geomembranes. In particular, the experimental study intended to implement new products for asphalt interfaces by selecting the optimum combination among different geomembrane compounds, reinforcement types, reinforcement positions and interface conditions. To this purpose, shear and flexural tests were carried out on samples prepared in the laboratory combining two geomembrane compounds, two reinforcement types, two reinforcement positions and four interface conditions. The results presented in this paper enabled the preliminary tuning for the selection of optimized composites to be submitted, in further studies, to performance-related dynamic tests and in situ monitoring of real scale trial sections.

## **2 Materials and Methods**

### ***2.1 Asphalt Concrete***

Double-layered slabs were prepared in the laboratory using, for both layers, the same type of asphalt concrete, classified as AC 10 surf 70/100 according to EN 13108-1. This material, prepared with limestone aggregates and plain bitumen, classified as 70/100 according to EN 12591, is characterized by a maximum aggregate size of 10 mm and a bitumen content of 5.6% by the weight of the mix. A preliminary study on the volumetric characteristics of the selected asphalt concrete through 100 gyrations of the Shear Gyratory Compactor showed that an air void content of about 5.5% can be obtained.

### ***2.2 Bonding Materials***

During experimental investigation, two types of bituminous materials were applied as bonding agent at the interface of the double-layered slabs: an SBS polymer modified emulsion, hereafter named ME, classified as C 69 BP 3 according to EN 13808, and a water-based elastomeric bituminous primer, hereafter named EP, specifically formulated for the application of the studied geocomposites and characterized by a binder content of 40% by the weight of the material. In both cases, 0.15 kg/m<sup>2</sup> of residual bitumen was spread at the interface before the positioning of the geocomposite.

### ***2.3 Geocomposite Materials***

Four geocomposites, obtained combining two membrane compounds and two reinforcing materials, were used as reinforcement for this experimental study.

As far as the bituminous compound is concerned, two polymer modifiers were selected to manufacture the geomembranes: atactic polypropylene plastomeric polymers, hereafter named APP, and styrene-butadiene-styrene synthetic elastomeric copolymers, hereafter named SBS (Table 1).

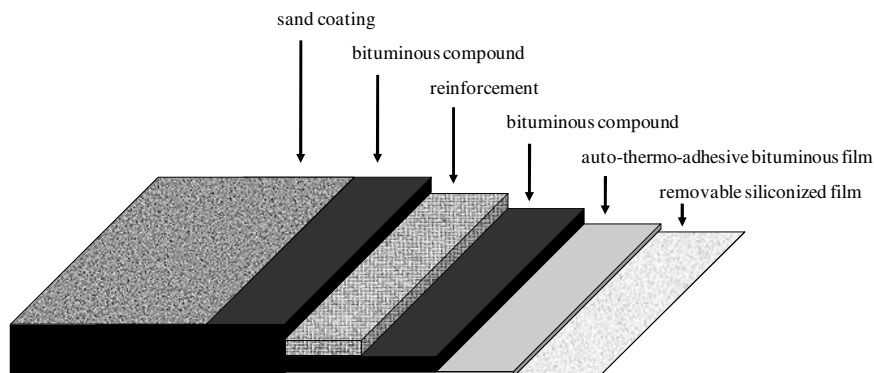
These polymer modified bituminous membranes were reinforced with two similar fibreglass geogrids characterized by different mesh sizes. In particular, a geogrid having a mesh dimension of  $12.5 \times 12.5 \text{ mm}^2$ , hereafter named FG12.5, and a geogrid characterized by a mesh dimension of  $5.0 \times 5.0 \text{ mm}^2$ , hereafter named FG5.0, were selected (Table 1).

Finally, the reinforcement position within the geomembrane was selected as further variable for the optimization of the studied materials: the reinforcing geogrids, in fact, were placed either in the proximity of the upper side or in the proximity of the lower side of the geomembrane (Table 1).

It is worth mentioning that the upper side of all materials was coated with a fine sand ( $\Phi < 0.5 \text{ mm}$ ) whereas the lower side was characterized by an auto-thermo-adhesive SBS-modified bituminous film (Figure 1).

**Table 1.** Description of geocomposites

Geocomposite	Geomembrane compound	Reinforcement type	Reinforcement position	Tensile strength [kN/m]
A	APP	FG12.5	Upper side	$40 \times 40$
B	APP	FG12.5	Lower side	$40 \times 40$
C	APP	FG5.0	Upper side	$40 \times 40$
D	SBS	FG5.0	Upper side	$40 \times 40$



**Fig. 1.** Typical cross-section of reinforced geomembrane

## 2.4 Laboratory Specimen Preparation

Double-layered slabs were compacted in the laboratory by means of a roller compactor compliant with EN 12697-33. In the first step, the lower layer was



compacted with a thickness of 30 mm, assuming a target of 5.5% air void content. Then, the bituminous bonding material, if planned, was spread at the interface and exposed to the air for breaking. Finally, depending on interface configurations, the appropriate geocomposite was applied and a 45 mm thick upper layer was compacted with the same target of 5.5% air void content. From the laboratory slabs, two types of specimens were obtained: 95 mm diameter cores for Ancona Shear Testing Research and Analysis (ASTRA) tests and 305 mm long, 90 mm wide and 75 mm thick beams for three-point bending (3PB) tests.

## 2.5 ASTRA Test

The ASTRA device, compliant with the Italian Standard UNI/TS 11214, is a direct shear box, similar to the device usually used in soil mechanics. The specimen is installed in two half-boxes separated by an unconfined interlayer shear zone [11, 15, 16]. During the test, a constant displacement rate of 2.5 mm/min occurs while a constant vertical load, perpendicular to the interface plane, is applied in order to generate a given normal stress. This test returns a data-set where interlayer shear stress ( $\tau$ ), horizontal ( $\xi$ ) and vertical ( $\eta$ ) displacement are reported, allowing the calculation of the maximum interlayer shear stress ( $\tau_{\text{peak}}$ ).

## 2.6 Three-Point Bending Test

The prismatic specimens selected for 3PB tests are placed on supports with a span of 240 mm and subjected to flexural loading at displacement control. Both load and beam deflection in the middle span are measured until failure by means of a load cell and an LVDT, respectively.

Performance of double-layered reinforced systems is indicated by maximum pre-cracking flexural load  $P_{\text{max}}$ , dissipated energy to failure  $D$ , i.e., the area under the load-vertical deformation curve until the maximum pre-cracking load of the system is reached (Figure 2-left), and the total fracture energy or toughness  $T$ , i.e., the area under the whole load-vertical deformation curve (Figure 2-right). In particular, the dissipated energy to failure  $D$  may account for crack initiation, whereas toughness  $T$  could provide an indication of the performance of the reinforcement versus crack propagation [5]. In fact, toughness represents the amount of stress energy required to fracture the system. A system with adequate toughness generally includes a ductile aspect of the fracturing mechanism.

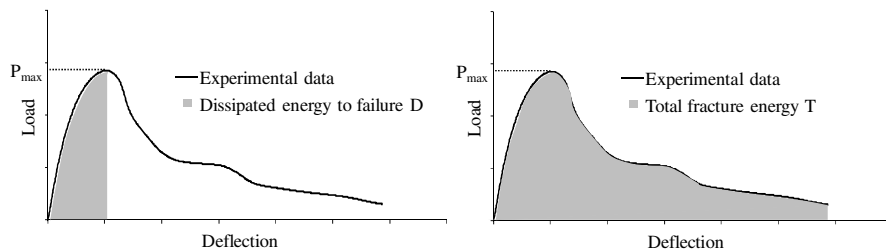


Fig. 2. Analysis of 3PB test results

### 3 Experimental Program

Ten interface configurations obtained combining different geomembrane compounds, reinforcement types, reinforcement positions and interface bonding materials (Table 2) were considered in order to identify the reinforced solution that would guarantee the best performance in terms of shear and flexural properties. As it can be seen, the curing time (1 hour or 3 hours) of the water-based primer EP was also selected as test variable.

**Table 2.** Summary of tested configurations

Configuration	1	2	3	4	5	6	7	8	9	10
Geocomposite	A	B	C	C	C	C	D	D	D	D
Bonding material	EP	EP	-	ME	EP	EP	-	ME	EP	EP
Curing time	3h	3h	-	1h	1h	3h	-	1h	1h	3h

The laboratory investigation was preliminarily planned to perform ASTRA interface shear tests on cylindrical specimens. This first phase of the experimental program was subdivided into further two steps. In the first step, geocomposites C and D (configurations 3÷10) were tested with the ASTRA equipment in order to establish the interface condition that assures the best shear properties. Then, the remaining two composite materials (A and B) were tested in combination with the interface condition selected in the previous step. ASTRA tests were carried out at one test temperature (20 °C) and two normal stress conditions ( $\sigma = 0.0$  and 0.2 MPa) performing three repetitions for each test configurations. This allowed the evaluation of both the standard test condition ( $\sigma = 0.2$  MPa) and the pure cohesion resistance of the interface ( $\sigma = 0.0$  MPa) that is the mechanical parameter strongly affected by the presence of the reinforcement. Finally, on the basis of the results of the previous phase, the more promising configurations, characterized by 3 hours cured elastomeric primer at the interface (configurations 1, 2, 6, 10), were selected to be further evaluated by means of three point bending tests. Three-point bending experiments were carried out at 20 °C at a constant rate of 50.8 mm/min on double-layered reinforced systems performing 3 repetitions for each test configuration.

## 4 Results and Analysis

### 4.1 ASTRA Test Results

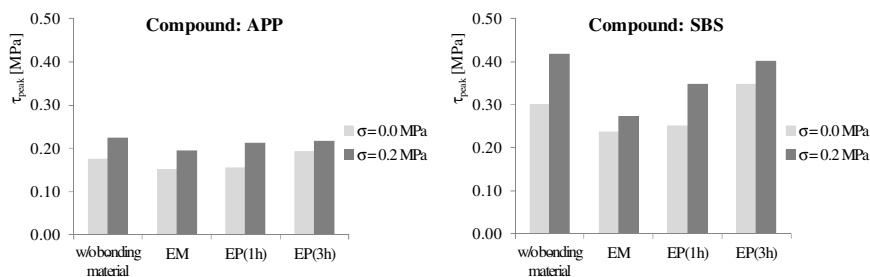
Overall ASTRA test results are presented in Table 3 in terms of mean maximum interlayer shear stress ( $\tau_{\text{peak}}$ ) for each configuration obtained applying two normal stresses ( $\sigma = 0.0 - 0.2$  MPa).

**Table 3.** Summary of results of ASTRA tests

configuration	1	2	3	4	5	6	7	8	9	10
$\tau_{\text{peak}}^{0.0}$	0.17	0.16	0.18	0.15	0.16	0.19	0.30	0.24	0.25	0.35
$\tau_{\text{peak}}^{0.2}$	0.23	0.22	0.23	0.20	0.21	0.22	0.42	0.27	0.35	0.40

*Influence of geomembrane compound.* Results depicted in Figure 3 clearly show that geocomposite D, characterized by elastomeric bituminous compound (SBS), offered higher interface shear resistance than the corresponding material (geocomposite C) prepared with plastomeric modifiers (APP). In fact, for the same interface condition, it can be assumed that the interface shear strength provided by SBS-modified compound was about 60÷80% higher than the corresponding APP-modified membrane. This evident finding, if confirmed by flexural tests, clearly suggests that the production of such reinforcing materials should be addressed towards geocomposites prepared with SBS modified bituminous compound.

*Influence of interface condition.* Figure 3 also seems to demonstrate that the application of a bonding material at the interface before the laying of the geocomposite, prepared with both SBS and APP compound, inhibits the adhesive properties of the auto-thermo-adhesive film present at the lower side of the tested membranes. In fact, interface shear resistance decreased when the polymer modified emulsion EM or the elastomeric bituminous primer EP were laid at the interface 1 hour before the application of the reinforcing composite material. On the other hand, if the specifically formulated primer EP can cure for at least 3 hours before the application of the geocomposite, the de-bonding effect tends to disappear and the pure cohesion resistance at the interface (obtained with  $\sigma = 0.0$  MPa) becomes higher than the one corresponding to configurations without tack coat. On the basis of these results, geocomposites A and B (Table 1) were laid at the interface 3 hours after the application of the elastomeric bituminous primer.

**Fig. 3.** ASTRA test – influence of compound and interface condition

*Influence of reinforcement mesh size.* The comparison between configurations 1 and 6 (Table 2) allowed to evaluate the influence of the reinforcement mesh size on interface shear properties of double-layered reinforced bituminous systems. Results summarized in Figure 4-left show very similar performance among the selected materials. This could suggest that the reinforcement placed inside the bituminous geomembrane moderately affects interface shear properties with respect to the influence of the compound. On the other hand, it is worth noting that the geocomposites A and C used for configurations 1 and 6, respectively, were prepared with APP-modified compound that could have negatively levelled maximum interlayer shear stress values  $\tau_{peak}$ . In this sense, it will be appropriate to study the influence of reinforcement mesh size on shear properties in depth in the following steps of the research project when analogous geocomposites will be prepared with SBS-modified compound.

*Influence of reinforcement position.* Finally, the comparison between configurations 1 and 2 (Table 2) allowed to evaluate the influence of the reinforcement position on shear performance of reinforced systems. Figure 4-right shows that no difference apparently exists if reinforcement is placed near the lower side or near the upper side of the tested geocomposites. Again, this specific aspect will be further analyzed in the following steps of the research project when analogous geocomposites will be prepared with SBS-modified compound.

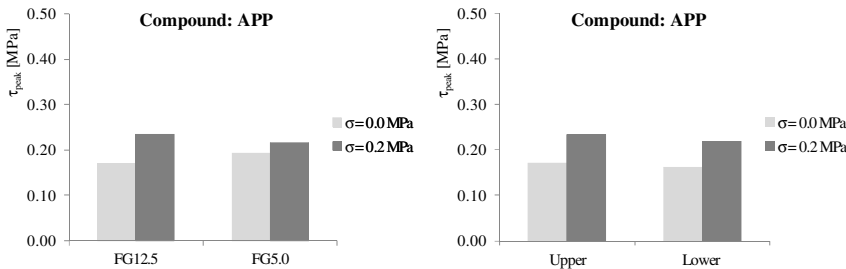


Fig. 4. ASTRA test – influence of reinforcement mesh size and position

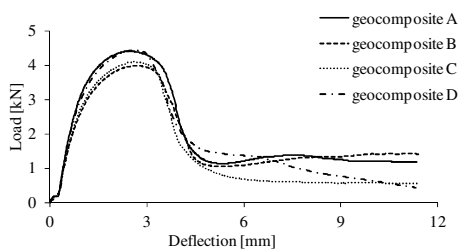
### 4.2 3PB Test Results

Overall 3PB test results are presented in Table 4 in terms of maximum pre-cracking flexural load  $P_{max}$  and corresponding deflection  $\delta$ , dissipated energy to failure  $D$  and total fracture energy, i.e., the toughness  $T$ . It is worth reminding that all geocomposites, coupled with 3 hours cured elastomeric primer at the interface (configurations 1, 2, 6, 10), were selected to be further evaluated by means of three point bending tests.

**Table 4.** Summary of 3PB test results

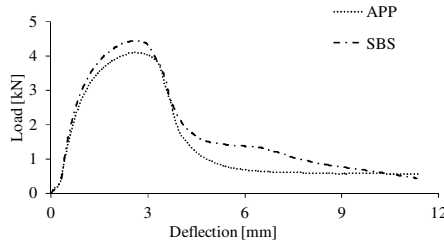
Configuration	Geocomposite	$P_{max}$	$\delta$	D	T
		kN	mm	N×m	N×m
1	A	4.41	2.51	7.60	22.88
2	B	3.98	2.70	7.40	21.52
6	C	4.10	2.61	7.24	17.14
10	D	4.45	2.63	7.99	20.59

Results listed in Table 4 and shown in Figure 5 denote quite similar behaviours among tested double-layered systems. Nevertheless, it is interesting to note that the best performance in the pre-cracking phase, expressed by  $P_{max}$  and D values, was showed by configuration 10 that also exhibited the highest interface properties, i.e., the lowest de-bonding between the asphalt layers. Figure 5 also represents the ductile characteristics conferred to double-layered bituminous systems by introducing a geocomposite reinforcement at the interface. In fact, after the fracture process, the reinforced systems did not rapidly lose their resistance until failure, but they showed a tendency to retain a residual flexural resistance also for high deflection values. This result is due to the fact that the grid inside the geocomposite acts as reinforcement and it is able to absorb part of the applied flexural stress thanks to its tensile properties. This reflects in enhanced post peak energy and thus in a better inhibition of crack propagation which means ductility. Given this background, it clearly appears that the effects of employing reinforcement in a paving system should be properly quantified using toughness as a performance-based parameter.

**Fig. 5.** 3PB mean test results

*Influence of geomembrane compound.* Figure 6 compares flexural behaviour of geocomposite C, produced with reinforcement FG5.0 and plastomeric bituminous compound (APP), and the corresponding geocomposite D, including elastomeric modifiers (SBS) instead of plastomeric ones. As it can be seen (Table 4), SBS-modified compound showed enhanced performance, both in the pre-cracking phase ( $P_{max}$ , D) and in the post-fracture phase (T), with respect to APP-based material, confirming the good interface shear properties evidenced above (Table

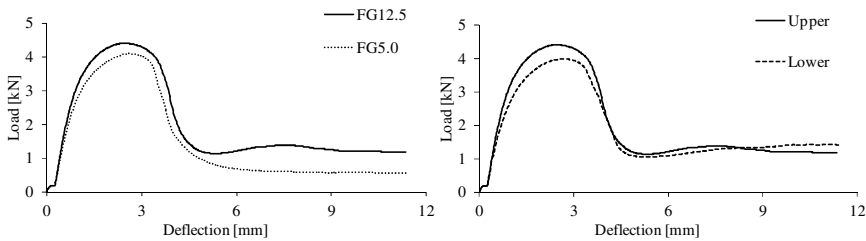
3). Such results suggest, for a given reinforcement type, the possibility of predicting flexural behaviour of reinforced bituminous systems starting from their interface shear properties.



**Fig. 6.** 3PB test – influence of geomembrane compound

*Influence of reinforcement mesh size.* The comparison between geocomposite A and C (Table 1) is plotted in the graph of Figure 7-left. It is worth noting (Table 4) that the fibreglass geogrid with a mesh dimension of  $5.0 \times 5.0 \text{ mm}^2$  (FG5.0) involved a reduction in the flexural properties of reinforced double-layered systems with respect to the fibreglass geogrid having a mesh dimension of  $12.5 \times 12.5 \text{ mm}^2$  (FG12.5) despite the fact that the two geocomposites were characterized by the same tensile strength (Table 1). This experimental finding could be due to the fact that the thinner mesh wires of reinforcement FG5.0 probably suffered higher damage during the compaction of the upper asphalt layer with respect to geogrid FG12.5.

*Influence of reinforcement position.* Finally, Figure 7-right gives information about the influence of reinforcement position on flexural performance of reinforced bituminous systems. Experimental data seem to suggest that the reinforcement placed in the proximity of the lower side of the geocomposite negatively affected pre-cracking flexural behaviour of the tested samples with respect to the same reinforcement type placed in the proximity of the upper side of the geocomposite. Similar results, even if less marked, were achieved through ASTRA interface shear tests (Table 3). Thus, it can be asserted that higher interface shear properties lead to enhanced pre-cracking flexural strength.



**Fig. 7.** 3PB test – influence of reinforcement mesh size and position

## 5 Conclusions

The present research aimed at implementing new geocomposites for pavement rehabilitation by selecting the optimum combination among different geomembrane compounds, reinforcement types, reinforcement positions and interface conditions. To this purpose, ten configurations were analyzed in the laboratory through interface shear tests, by means of the ASTRA apparatus, and three-point bending tests.

On the basis of the experimental results obtained during the present research study, the following general remarks can be drawn:

- geocomposite prepared with SBS-modified bituminous compound showed enhanced interface shear properties and flexural resistance;
- the application of a bonding material at the interface seemed to inhibit the adhesive properties of the auto-thermo-adhesive film present at the lower side of the tested membranes;
- the de-bonding effect due to the application of a bonding material at the interface disappeared when the specifically formulated bituminous primer was exposed to the air for 3 hour for curing;
- selected reinforcement mesh sizes and positions showed a limited influence on interface shear properties of the tested asphalt systems;
- the fibreglass geogrid having a mesh dimension of  $12.5 \times 12.5 \text{ mm}^2$  demonstrated higher flexural resistance than the fibreglass geogrid characterized by a mesh dimension of  $5.0 \times 5.0 \text{ mm}^2$ ;
- reinforcement in the proximity of the lower side of the geocomposite seemed to negatively affect the flexural behaviour of the tested double-layered systems with respect to the same reinforcement type placed in the proximity of the upper side of the geocomposite;
- for a given reinforcement, ASTRA shear tests are able to detect the interface conditions that also guarantee valuable flexural properties;
- higher interface shear properties are strictly correlated to enhanced crack initiation resistance, whereas reinforcement characteristics principally affect the crack propagation rate.

The results presented in this paper enabled the preliminary tuning for the selection of optimized composites to be further tested and validated also by means of dynamic four-point bending tests currently in progress.

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# Sand Mix Interlayer Retarding Reflective Cracking in Asphalt Concrete Overlay

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**Abstract.** This study evaluated the performance effectiveness of sand mix interlayer in controlling reflective cracking in asphalt concrete (AC) overlaid existing jointed concrete pavement (JCP) using a three-dimensional finite element (FE) model. A cohesive zone model was incorporated into the FE model to characterize the fracture behavior of the AC overlay under transient vehicular loading. A limit state load approach was used to determine the resistance of the AC overlay to reflective cracking in terms of normalized axle load of an overload equivalent to an 80-kN single-axle load. The study concluded that the sand mix interlayer enhanced the fracture resistance of the AC overlay due to its relatively high fracture energy. A macro-crack level of reflective cracking was initiated in the wearing course in the AC overlay earlier than in the leveling binder, so-called crack jumping. The softer the sand mix, the tougher it may be, but it may cause shear rutting in the AC overlay. As the bearing capacity of the JCP becomes lower, more fractured area was developed in the AC overlay and the performance effectiveness of the sand mix interlayer was better.

## 1 Introduction

Pavement rehabilitation is needed to restore the structural and/or functional capacity of deteriorated pavements. Typical pavement rehabilitations include restoration, recycling, resurfacing, and reconstruction. The proper rehabilitation method is determined based on the type and condition of the existing pavement. For a moderately deteriorated Portland cement concrete (PCC) pavement, resurfacing existing pavement with a relatively thin asphalt concrete (AC) layer, known as an AC overlay, is regarded as an efficient method. AC overlays are designed to support anticipated traffic volume over a specific period of time. When AC overlays are built on a jointed concrete pavement (JCP) or a cracked surface, reflective cracking can develop shortly after the overlay application because of stress intensity at the vicinity of the discontinuities.

Several remedial techniques have been incorporated into AC overlays to control reflective cracking, including placing a thin layer at the interface between an existing pavement and an HMA overlay, rubberizing existing concrete

pavement, cracking and sealing existing concrete pavement, and increasing the thickness of the AC overlay. Among these techniques, interlayer systems have been effective in controlling reflective cracking when used appropriately and selected based on their distinct characteristics. Interlayer systems made of softer, stiffer, and tougher materials can absorb excessive stresses, reinforce HMA overlays, and resist crack developments, respectively. The efficiency of these interlayer systems depends on the type and condition of the interlayer systems, installation approach, and characteristics of the existing pavement and AC overlay.

Fracture mechanics based finite element (FE) models were widely used to examine the fracture behavior of AC overlays. Among them, a cohesive zone model (CZM) has been adapted to facilitate modeling the entire crack process for AC pavements [1–6]. To date, the fracture behavior of AC overlays under more realistic traffic loading has not been investigated. Also, the performance of interlayer systems depends on the circumstances of AC overlay design and installation conditions. Therefore, it needs to understand the mechanism of interlayer systems on controlling reflective cracking due to moving traffic loading in order to (1) evaluate the performance of these interlayer systems and (2) specify their appropriate circumstances relevant to HMA overlay design.

## **2 Research Objective and Approach**

This study evaluated the performance of the sand mix interlayer system in controlling reflective cracking using an FE model. It was built a three-dimensional FE model which consists of the AC overlay and existing JCP. A bilinear cohesive zone model (CZM) was incorporated into the FE model to characterize the fracture behavior of the AC overlay. Using the bilinear CZM, reflective cracking initiation and propagation were simulated. Transient moving vehicular loading was applied across a joint to develop reflective cracking. In order to force reflective cracking development by one pass of load application, various levels of overload were applied. Finally the performance of sand mix interlayer in controlling reflective cracking was examined under various conditions.

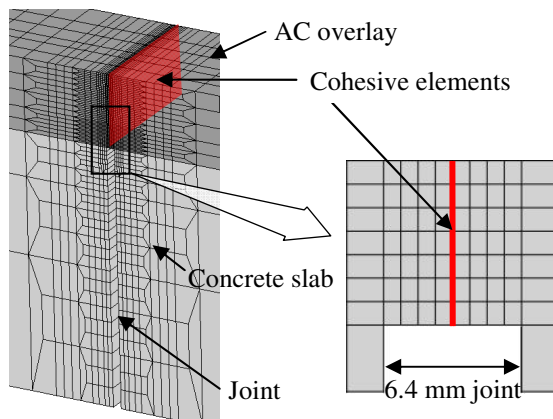
## **3 Finite Element Modelling**

### ***3.1 Geometry and Boundary Condition***

A three-dimensional FE model was built for a typical AC overlay placed on a JCP. The pavement consists of a 57-mm-thick AC overlay; two 200-mm-thick concrete slabs with a 6.4-mm-wide transverse joint and 6.0 m of joint spacing; a 150-mm-thick base layer; and a 9,000-mm-thick subgrade layer. The AC overlay consists of a 19-mm-thick leveling binder layer and a 28-mm-thick wearing surface. No dowel bars or aggregate interlocking were considered to make the pavement

system more valuable to reflective cracking. The dimensions of a concrete slab are 6.0 m in length and 3.6 m in width. Since each concrete slab is geometrically symmetric with respect to the center of the slab, one quarter of the slab was chosen to simplify the pavement model. Symmetric boundary conditions were applied accordingly to the three faces surrounding the two concrete slabs. Infinite elements were placed at a far-field zone to minimize stress wave reflection at the boundary. A vehicular loading with 80 kN of single-axle and dual-assembly tires configuration at a speed of 8 km/h was applied on the AC overlay surface; the travel distance of the loading was 600 mm across the joint. Non-uniform vertical contact pressures measured at approximately 5 km/h were employed for each tread of the tires [7]. The total imprint area of the two tires was 338.8 cm<sup>2</sup>. Applied vertical contact pressure was 0.7 MPa on average.

In addition to continuum elements, cohesive elements were inserted at the AC overlay directly over the joint where reflective cracking has potential to develop. Figure 1 illustrates the location of the cohesive elements [8]. Actually, the cohesive elements governed by the bilinear CZM connect two parts of HMA overlay sections by means of traction. Since the cohesive elements have zero apparent thickness in a normal direction, the initial geometry of the pavement model is unchanged, despite the insertion of cohesive elements.



**Fig. 1.** Geometry of AC overlaid JPCP and the location of cohesive elements

### 3.2 Material Characterization

Among pavement materials used in the AC overlay model, AC is the key material to govern pavement responses related to reflective cracking. The continuum and fracture properties of the AC were obtained from complex (dynamic) modulus and disk-shape compact tension (DCT) tests conducted at -10°C [9, 10]. Based on complex modulus test results, a linear viscoelastic model was constituted with

Prony series expansion parameters of an instantaneous Young's modulus ( $E_0$ ), Poisson's ratio ( $\mu$ ), dimensionless shear ( $g_i$ ) and bulk ( $k_i$ ) relaxation moduli, and corresponding relaxation time ( $\tau_i$ ). Fracture energy ( $\Gamma_c$ ) and tensile strength ( $T_0$ ) obtained from the DCT test are used to specify the bilinear CZM. Other materials used in sublayers of the AC overlay were characterized simply by using a linear elastic model. Their material properties were selected within typical ranges from the literature. Detailed material properties of the pavement materials are referred in the previous works [9, 10].

### **3.3 Sand Mix Interlayer**

Sand mix interlayer designed to enhance AC's fracture resistance is placed between the wearing course layer and the existing JCP layer as a substitute layer for the leveling binder. Compared to conventional leveling binder, the sand mix interlayer is made of finer graded aggregates and highly polymerized asphalt binder. Corresponding nominal maximum aggregate size (NMAS) of the sand mix and leveling binder are 4.75 mm and 9.5 mm, respectively. The sand mix has 8.6% polymer-modified PG 76-28 asphalt binder; the leveling binder has 5.6% unmodified PG 62-22 asphalt binder. Since the sand mix interlayer has similar compositions to the leveling binder, the bulk and fracture properties were obtained using the same laboratory tests for the AC [9, 10]. The sand mix interlayer has approximately 20% lower relaxation modulus than the leveling binder. However, the fracture energy of the sand mix,  $593 \text{ J/m}^2$ , is approximately two-fold of that of the leveling binder,  $274 \text{ J/m}^2$  at  $-10^\circ\text{C}$ . In this study, the AC overlay with and without the sand mix interlayer is designated as Design A and Design B, respectively.

## **4 Effectiveness of the Sand Mix Interlayer**

### **4.1 Quantification of Fractured Area in the AC Overlay**

In this study, one pass of an overload was applied to force reflective cracking in the AC overlay instead of considerable number of normal 80-kN loads. A total axle load of the overload is amplified, keeping the same speed, contact area, and normalized vertical contact stress distribution of the normal load. A limit state load is determined when a macro-crack level of reflective cracking occurs in the entire cross section of the AC overlay. Hence, the limit state load can represent the capacity of the AC overlay to withstand reflective cracking. Herein, the fracture area of the AC overlay was quantified with a representative fractured area (RFA) which was proposed in a previous study [5]. The RFA is an average degradation of stiffness of cohesive elements over a specific area, ranging from 0.0 (no crack) to 1.0 (macro-crack development).

The RFA was calculated at several levels of overloads for the AC overlay without the interlayer (Design A). Figure 2 shows RFA variations with respect to a normalized axle load of 80 kN ( $P_{80}$ ) for Design A. The RFA does not increase notably until  $2P_{80}$ , then starts to increase rapidly from 0.08 at  $3P_{80}$  to 0.85 at  $8P_{80}$ , and then converges to 1.0. Using a generalized logistic function, RFA could be specified as a function of  $P_{80}$ . Using the fitting curve, the RFA that corresponds to a certain overload can be estimated for Design A.

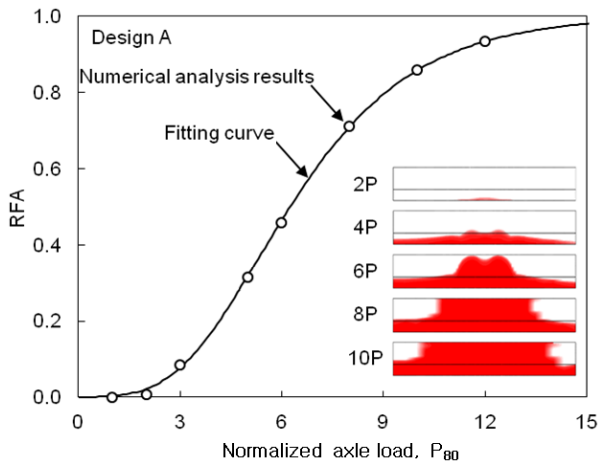
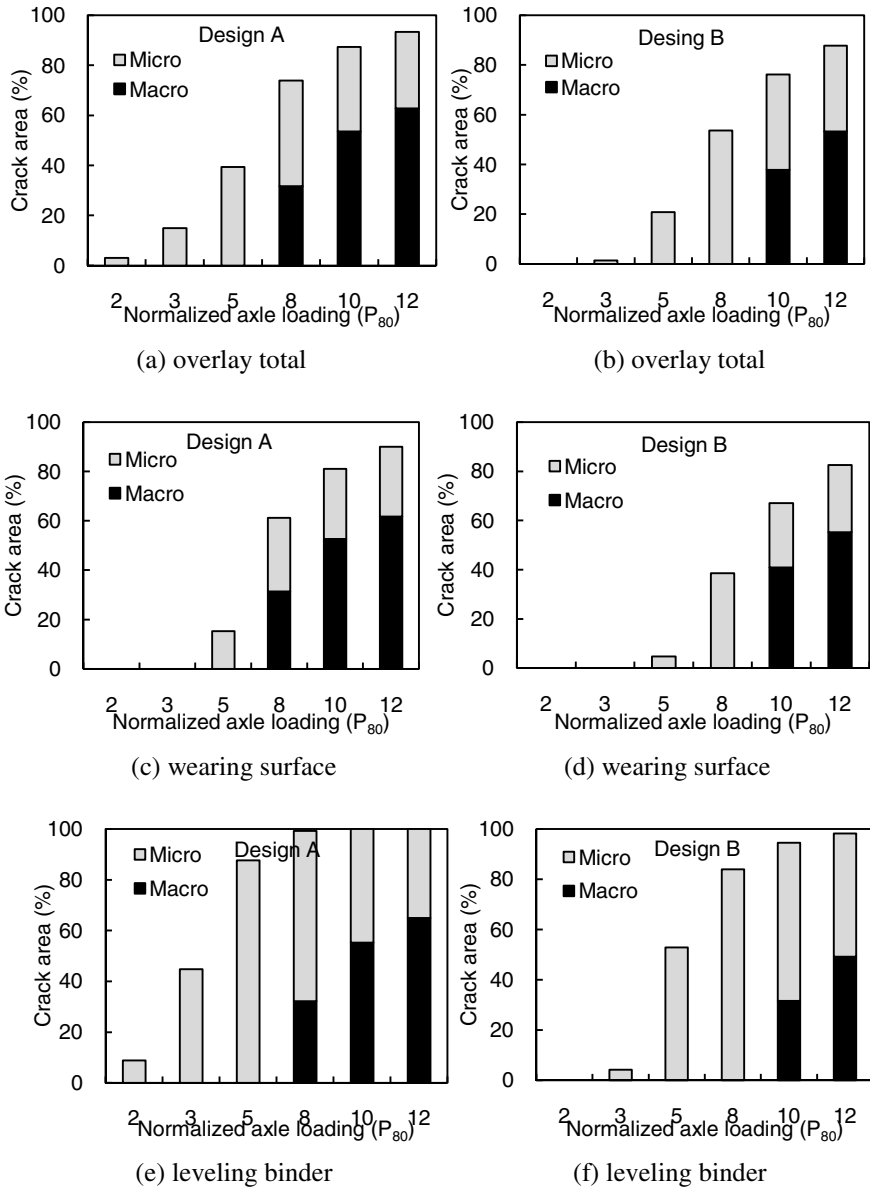


Fig. 2. Fractured area versus overloads (Design A)

### 4.2 Macro-and-Micro Crack Development

As reflective cracking develops, micro- and macro-cracks exist together in the AC overlay. Among fractured area, macro-cracks exist only in a certain area where the stiffness degradation parameter,  $D$  of cohesive elements is equal to 1.0. For Designs A and B, fractured area by micro- and macro-cracks in total overlay, wearing surface, and leveling binder are compared in Figure 3. Macro-cracks were initiated at  $8P_{80}$  in Design A and at  $10P_{80}$  in Design B. These macro-cracks occurred simultaneously in the wearing surface and leveling binder. In Design A, macro-cracks in the wearing surface and binder layer represent more than 50% of total cracked area; in Design B, macro-cracks in the wearing surface account for more than 50% of total cracked area, but macro-cracks in the binder layer represent less than 50% of total cracked area. For example, at  $12P_{80}$ , 64.9% and 49.2% of the area in the binder layer is fractured by macro-cracks in Design A and Design B, respectively. This means that the sand mix interlayer system reduced micro-cracks by 15.7%, while the difference in total cracked area is only 1.2%. Hence, the performance of the sand mix interlayer more significantly delays the occurrence of macro-crack-level reflective cracking.



**Fig. 3.** Percentage of micro- and macro-cracks: (a), (c), and (e) in Design A; (b), (d), and (f) in Design B

### 4.3 Effect of Fracture Property

The fracture property of sand mix interlayer depends on its component materials. For example, a sand anti-fracture (SAF) interlayer system, a sort of sand mix, possessed a fracture energy of  $1800 \text{ J/m}^2$  [9]. To examine the effect of fracture energy of the sand mix interlayer on controlling reflective cracking, the reflective cracking service life was obtained for three fracture energies of  $474 \text{ J/m}^2$  ( $1.0\Gamma_{IC}$ ),  $948 \text{ J/m}^2$  ( $2.0\Gamma_{IC}$ ), and  $1886 \text{ J/m}^2$  ( $4.0\Gamma_{IC}$ ), with the same cohesive strength of  $3.6 \text{ MPa}$  ( $1.0T^0$ ). As a reference, Design A was added, with a fracture energy of  $50\%$  ( $0.5\Gamma_{IC}$ ) and cohesive strength of  $70\%$  ( $0.7T^0$ ) of the sand mix. For the three Design B cases, the RFA decreased as fracture energy increased. As a result, reflective cracking resistnace factor,  $\Phi_r$  of Design B which is the ratio of the  $P_{80}$  of Design B to that of Design A becomes 1.43, 2.22, and 3.23 for Design B with  $1.0\Gamma_{IC}$ ,  $2.0\Gamma_{IC}$ , and  $4.0\Gamma_{IC}$ , respectively. Hence, the sand mix interlayer can delay reflective cracking development; consequently, extends the service life of the HMA overlay regarding reflective cracking.

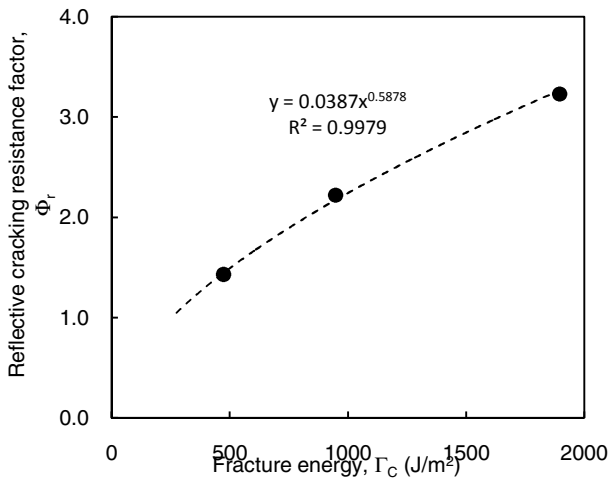
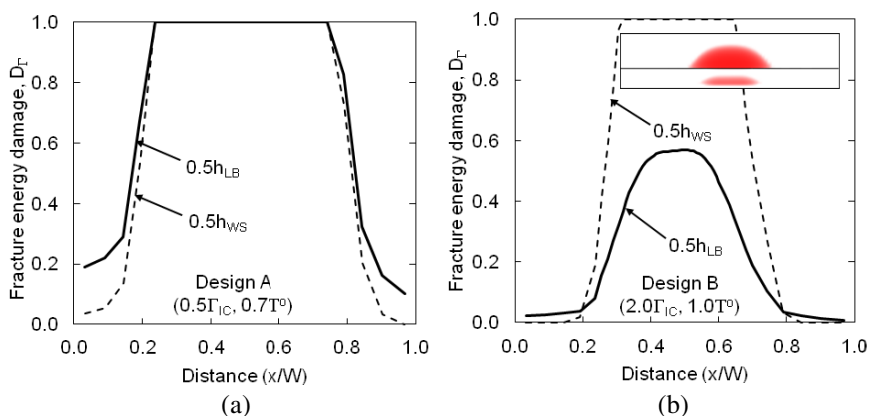


Fig. 4. Reflective cracking control factor versus fracture energy of the sand mix

During the development of reflective cracking, the fracture energy of the material can be degraded progressively. Degradation of fracture energy in the AC overlay was examined in terms of fracture energy damage parameter,  $D_r$ , which is defined as the ratio of the dissipated energy to fracture energy.  $D_r$  calculated at the center of the wearing course ( $0.5h_{WS}$ ) was compared with the leveling binder ( $0.5h_{LB}$ ) for the three cases of Design A, Design B with  $948 \text{ J/m}^2$  ( $2.0\Gamma_{IC}$ ). Figure 5 demonstrates  $D_r$  variations with respect to horizontal distance at a higher

level of an overload,  $10P_{80}$ . For Design A, fracture energy of the AC overlay is fully dissipated, that is, macro-cracks are initiated under the wheel path as well as beyond the wheel path ( $0.24W \leq x \leq 0.74W$ ). In Design B with  $2.0\Gamma_{IC}$ , macro-cracks occur solely in the wearing surface at  $0.32W \leq x \leq 0.62W$ , and micro-cracks initiated in the sand mix that replaces the leveling binder in Design A. Hence, macro-crack-level reflective cracking does not develop in the sand mix, but instead jumps to the wearing surface because of the higher crack tolerance of the sand mix. In other words, macro-crack-level reflective cracking is not channelized through the AC overlay. This crack jump phenomenon can play an important role in performance of the AC overlay because it can prevent moisture penetration into underlying pavement layers as well as material loss by pumping.

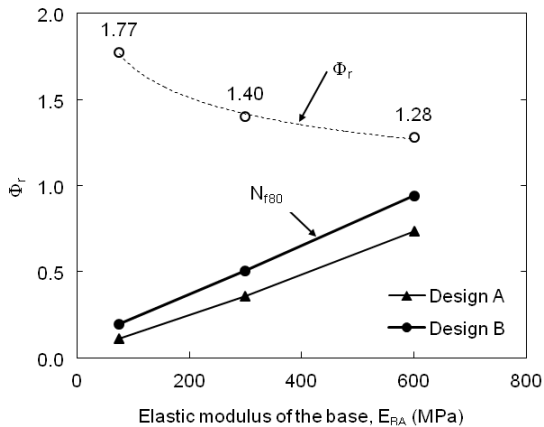


**Fig. 5.** Fracture energy damage parameter variations at  $10P_{80}$  for (a) Design A ( $0.5\Gamma_{IC}$ ) and (b) Design B ( $2.0\Gamma_{IC}$ )

#### 4.4 Effect of Bearing Capacity

The effect of the bearing capacity of the existing JCP on reflective cracking development in Design B was examined. Fractured area in Design B induced by a moderate level of an overload,  $5P_{80}$ , was obtained for three bearing capacity conditions: 1)  $E_{BA}$  of 75 MPa and  $E_{SB}$  of 35 MPa, 2)  $E_{BA}$  of 300 MPa and  $E_{SB}$  of 140 MPa, and 3)  $E_{BA}$  of 600 MPa and  $E_{SB}$  of 280 MPa. The limit state load,  $P_{80f}$  and  $\Phi_r$  corresponding to each bearing capacity of the JCP for Design A and Design B is compared in Figure 6. As the bearing capacity of the JCP is greater,  $P_{80f}$  for Designs A and B increase, but  $\Phi_r$  decreases because of relatively greater  $P_{80f}$  in Design A. It can infer that as the bearing capacity of an existing JCP is lower, the performance of the sand mix interlayer is relatively better; but the enhancement of the reflective cracking service life becomes insignificant.





**Fig. 6.** Reflective cracking resistance factor of Design B versus the elastic modulus of the base

## 5 Conclusions

In this study, a three-dimensional FE model was built for an AC overlay on an existing JCP with and without sand mix interlayer. The performance of the sand mix interlayer in controlling reflective cracking caused by traffic loading was evaluated under various conditions. The sand mix interlayer is sufficiently effective in controlling reflective cracking. The sand mix interlayer system extends the service life of the AC overlay in terms of reflective cracking. The increase in service life depends on fracture energy of the sand mix. Also, as the bearing capacity of existing JCP increases, the performance effectiveness of the sand mix interlayer gradually decreases. Due to higher fracture tolerance of the sand mix, macro-crack level of reflective cracking is initiated in the wearing course in the AC overlay, so-called crack jumping. In some cases, the crack jump phenomenon can play an important role in the performance of the AC overlay because it can prevent both penetration of moisture into underlying pavement layers as well as material loss by pumping.

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# Full Scale Tests on Grid Reinforced Flexible Pavements on the French Fatigue Carrousel

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**Abstract.** Grids are increasingly used. They have proved their efficiency, but there is presently no widely accepted design method to predict the long term life of grid reinforced pavements. This paper describes a full scale experiment carried out on the large pavement fatigue carrousel of IFSTTAR, to test simultaneously 3 pavement sections with different types of grids, in comparison with an unreinforced pavement structure. The tests are carried out on typical French low traffic pavement structures. Results up to approximately 800 000 loads are presented. The experiment is planned to continue to load the test sections up to at least 1 million loads. During the experiment, the behaviour of the pavement sections has been followed by deflection and rut depth measurements, and surface distress analysis (observation of cracks and other degradations). As observed on the circular APT for low traffic pavements with thin bituminous layers, crack development was following a transversal orientation. This experiment shows the necessity to better understand the grid behaviour by means of modelling, experiments and use of new measurement techniques as planned in the new Rilem TC-SIB and TC-MCD.

## 1 Introduction

Grids are increasingly used, both for reinforcement of existing pavements, and for improving fatigue and reflective cracking resistance of new pavements. These techniques have proved their efficiency, but require attention to achieve a good bonding between the system and the pavement layers during construction. There is presently no widely accepted design method to predict the long term life of grid reinforced pavements. Therefore, full scale tests are needed to evaluate both the construction procedures and the long term performance of these products [1] [2].

This paper describes a full scale experiment carried out on the large pavement fatigue carrousel of IFSTTAR in Nantes, to test simultaneously 3 pavement sections with different types of grids, in comparison with an unreinforced pavement structure. The paper presents the construction, instrumentation of the pavements, and the first results of the tests.

## 2 Description of the Full Scale Experiment

The objective of the experiment is to test and compare simultaneously the fatigue behaviour of 4 flexible pavement sections, under typical French axle loading (half axles loaded at 6.5 tons with dual wheels), for a total of 1 million load cycles. The pavement fatigue carrousel of IFSTTAR is a large scale circular outdoor facility, unique in Europe by its size (120 m length, 6 m width) and loading capabilities (maximum loading speed 100 km/h, loading rate 1 million cycles per month, 4 arms equipped possibly with different wheel configurations, lateral wandering of the loads to reproduce real traffic) [3].

### 2.1 Test Sections and Material Characteristics

The tests are carried out on typical French low traffic pavement structures [4] consisting of an 80 mm thick bituminous wearing course, over a granular subbase (300 mm thick), and a sandy subgrade soil, with a bearing capacity of about 80 MPa. Four structures, each 10 m long, are tested, representing 1/3 of the whole test track. Structures A and B are reinforced with grids incorporating a special film designed to ensure good bonding and replacing the tack coat. Structure C is reinforced with a traditional grid with a tack coat. Structure D is a reference structure without reinforcement. The three different grids are placed in the lower part of the bituminous layer, 2 cm above the interface (Figure 1).

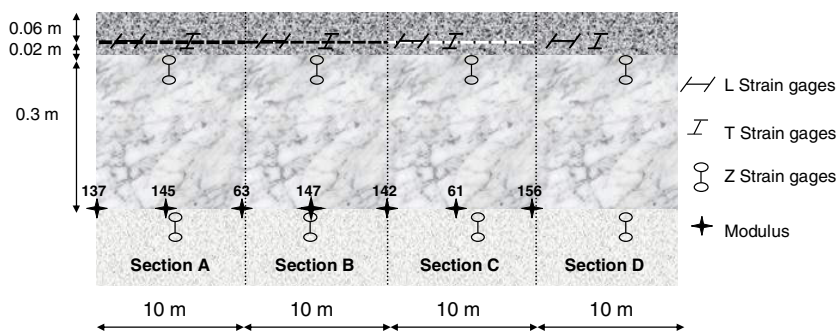


Fig. 1. Pavement test sections and implementation of sensors

The bituminous mix is a standard French 0/10 mm wearing course bituminous material, (BBSG 0/10). This material contains 5.5 % of grade 35/50 bitumen. The mechanical behaviour of this mix was characterised by classical complex modulus and fatigue tests on trapezoidal specimens (EN 12697-31 and EN 12697-24). The specimens had an average void content of 6.2 %. The reference complex modulus of the mix at 15°C and 10Hz is 11320MPa. The Huet Sayegh viscoelastic model parameters obtained for this mix are presented in Table 1. These parameters can be used for viscoelastic pavement structure calculations [5].

**Table 1.** Material characteristics

$E_0$ (MPa)	$E_{inf}$ (MPa)	$\delta$	k	h	$A_0$ (s)	$A_1$ (s.°C <sup>-1</sup> )	$A_2$ (s.°C <sup>-2</sup> )
10.0	27180	2.29	0.22	0.65	4.0617	-0.38792	0,0016399

The fatigue law of the mix is approximated by Eqn. (1).

$$\epsilon/\epsilon_6 = \left(N/10^6\right)^b \quad (1)$$

With:  $\epsilon_6$ , the strain leading to failure for 1 million loads, and b, the slope of the fatigue curve. Experimentally, the fatigue parameters obtained for the mix are  $\epsilon_6 = 116$   $\mu$ strains and  $b = -0.206$ .

## 2.2 Characteristics of the Tested Grids

The three grids tested are all a high-strength open fiberglass geogrid custom knitted in a stable construction and coated with a patent-pending elastomeric polymer and self-adhesive glue (Tensile Strength: 100kN/m×100kN/m). The mesh of grid from test section A is half smaller than those from test section B and C (25×25mm<sup>2</sup>). Grids of the test section A and B contain a patent-pending, highly engineered film designed to replace the need for a tack coat. These two new grids have shown a better behaviour during specific 3 point bending fatigue tests [6].

## 3 Construction and Instrumentation of the Test Sections

The pavement structures were built on the existing subgrade of the test track, which is a sand with 10 % fines, sensitive to water. The granular base consisted of 30 cm of 0/31.5 mm unbound granular material (UGM). After construction, this base was covered by a spray seal. A 2 cm thick bituminous layer was first laid and compacted on all 4 sections. This layer cooled rapidly down to about 10 °C (ambient temperature - March 1st, 2011). On sections A and B, the grids were placed without tack coat, due to the adhesive film. On sections C and D (see Figure 2 a-c) a tack coat with 300g/m<sup>2</sup> of residual bitumen, was applied. Then, longitudinal and transversal strain gauges were put in place (Figure 2.d). The final 6 cm thick bituminous layer was laid on the 4 sections, and compacted successively with a steel drum vibrating roller and a rubber-tyred roller. To ensure melting of the film attached to grids from section A and B, the bituminous mix was put in place at temperatures above 150°C. After compaction, the average in situ void content of the mix was about 7.0 % (0.8 % more than for the specimens tested in the laboratory). The 4 test sections were instrumented with longitudinal and transversal strain gauges placed on the top of the grids (at 6cm depth – see Figure 2.d); temperature sensors and vertical strain gauges at the top of the UGM layer and of the subgrade (Figure 1).



**Fig. 2.** Construction: a) Grids of section A and B ; b) Grids after compaction; c) Tack coat application; d) Placement of strain gauges before overlay

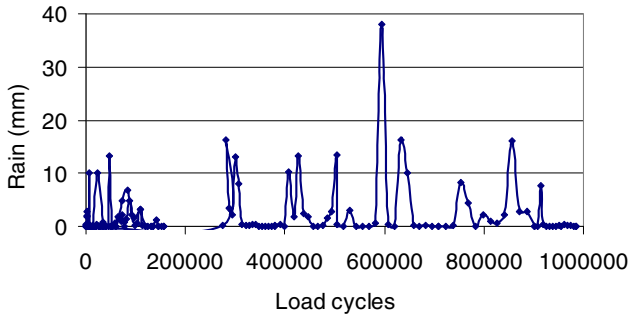
The circular shape of the test sections required to cut the grids in relatively narrow bands, 5 m long by 1.5 m wide. To cover the test section, one 1.5 m wide band was placed in the centre of the wheelpath, and then two smaller bands on each side (see figure 2 a). Due to this layout, some construction problems occurred on section A, and potentially on section B, during the laying and compaction of the bituminous overlay, and the results obtained on section A will not be presented. Thorough investigations will be made on section B after completion of the testing to verify the state of the grid and of the interface.

#### 4 Initial Measurements and Test Programme

The modulus of the subgrade has been measured with dynamic plate load test and results are shown on Figure 1. These moduli have been measured during construction, during a rainy period, and it is probably the reason of their variability. After construction, drainage has taken place, and the bearing capacity has become more homogeneous. Controls after construction have indicated an average thickness of the bituminous layer of 70 mm, instead of 80 mm. The end of section D is thinner than the other sections, because it is the end of the construction zone, and the transition with another existing pavement structure, which makes the control of the thickness more difficult.

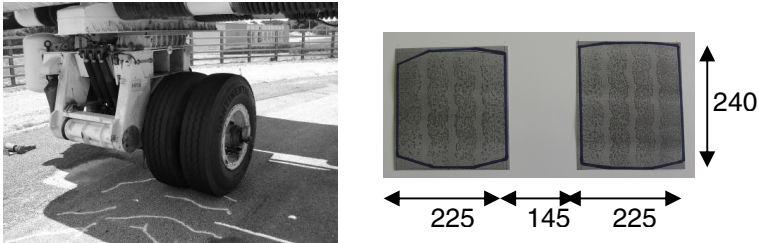
The loading programme started in April 2011. Until September 2011, approximately 800 000 loads have been applied. During the experiment, loading

has been stopped approximately every 100 000 cycles to perform various distress measurements. Response of internal transducers has also been recorded regularly. The ambient temperature conditions were practically constant throughout the tests, with daily temperatures in the range 10 to 28°C (mild summer). The rainfall on the test site is presented on Figure 3. It can be noticed that around 600 000 cycles, the rainfall level was 2.5 higher than during the other periods.



**Fig. 3.** Rainfall measurements on the test site

The four arms of the fatigue carrousel have been equipped with standard dual wheels, loaded at 65 kN (standard French equivalent axle load). The tyres used are Dunlop 1200 R20 SP321, inflated at 850 kPa (Figure 4). The loading speed was 6 rounds/minute (43 km/h). Its lateral wandering was +/-52.5 cm. Between 50000 and 150000 load cycles, one arm was also equipped with a wide single tyre; loaded at 40 or 50 kN, in order to compare strain distributions under single and dual tyres. Measurements under the wide single tyre will not be discussed here.



**Fig. 4.** Dual wheel load and its dimensions in mm

## 5 First Results

### 5.1 Deflection Measurements

Deflection measurement between the two wheels was performed every 3 meters using a Benkelman beam, under the 65 kN load at about 2 km/h. For temperatures

varying between 20 and 28°C, Figure 5 shows that up to 381 000 load cycles, the mean deflection levels were close to 70 mm/100 on the three sections with some scatter which may be due to temperature variations. No significant difference in deflection was observed between the reinforced sections (B, C) and the unreinforced section (D). After 537 000 load cycles, the mean deflection on section B increased to 83 mm/100. On sections C and D, the deflection level remained practically constant up to 813 000 loads. There seems to be some relationship between deflection levels and pavement cracking. On section B, the first cracks appeared after 600 000 cycles, and simultaneously, an increase of the deflection was observed. On sections C and D, where very little cracking was observed, the deflections remained constant.

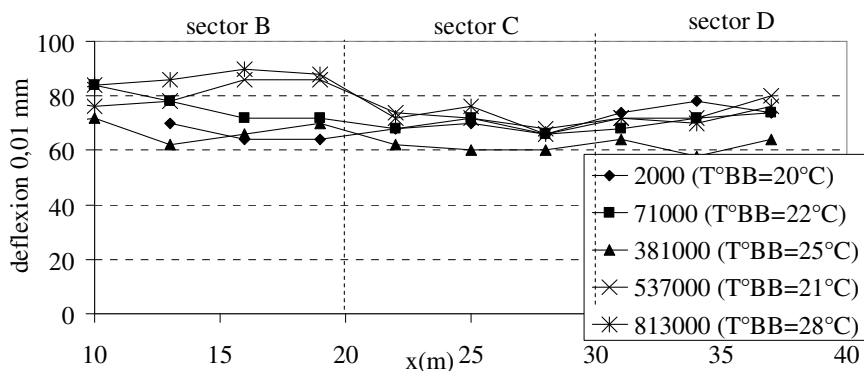


Fig. 5. Results of deflection measurements on the 3 sections

## 5.2 Rut Depth Measurements

Due to the lateral wandering of the loads, the width of the circulated area is approximately 1.6 m. The transversal profile of the pavement is measured using a 2 meters long ruler, every 3 meters. For each measurement point, the maximum rut depth is determined as the maximum vertical distance between the ruler and the pavement surface. Maximum rut depths measured on the 3 sections, at different load levels are presented on Figure 5. These measurements indicate that, at the beginning, sector B presents a lower rut depth than the other sections, until about 600000 cycles. At this stage, heavy rainfall occurred (Figure 3), and this may explain an increase of the rate of rutting on section B. At, 600000 cycles, section B already presented some cracks (Figure 6), which allowed water to infiltrate in the pavement foundation contrary to the other sections which still presented no damage. On sections C and D, the rut depths are very similar. After 600 000 cycles, the evolution of rutting is the same on all 3 sections, with an average final rut depth of 14.3 mm.



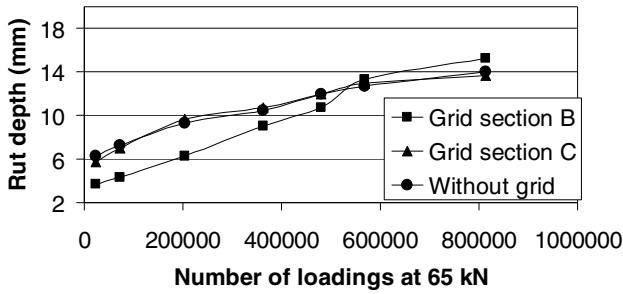


Fig. 6. Rut depth evolution on the 3 sections

### 5.3 Crack Monitoring

The first cracks were observed on section B, after about 600 000 load cycles, and then on section D after 800 000 load cycles. Section C presents no cracking up to now. Crack patterns were very similar on sections B and D: first, very fine isolated transversal cracks appeared. Then, under traffic, these cracks started to open, and fines started to come out. Other thin transversal cracks developed nearby. The transversal orientation of the cracks is typical of fatigue cracking observed on the carousel, for pavements with thin bituminous layers [7]. Figure 7 presents the evolution of the extent of cracking, as a function of the level of traffic. It corresponds to the percentage of the length of the pavement affected by cracks (for a transversal crack, the affected length is considered, arbitrarily, to be 500 mm).

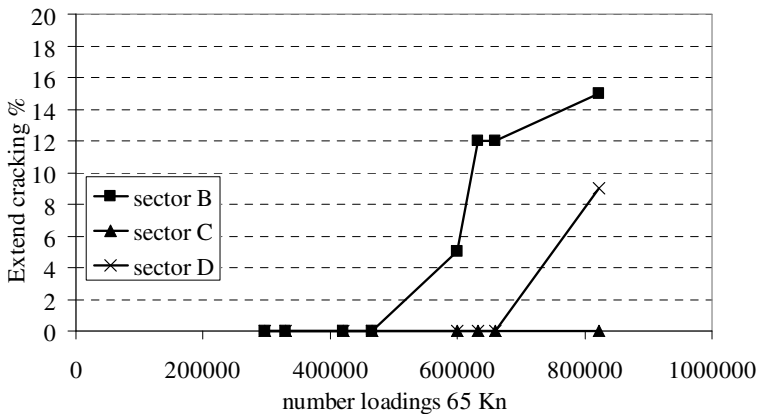
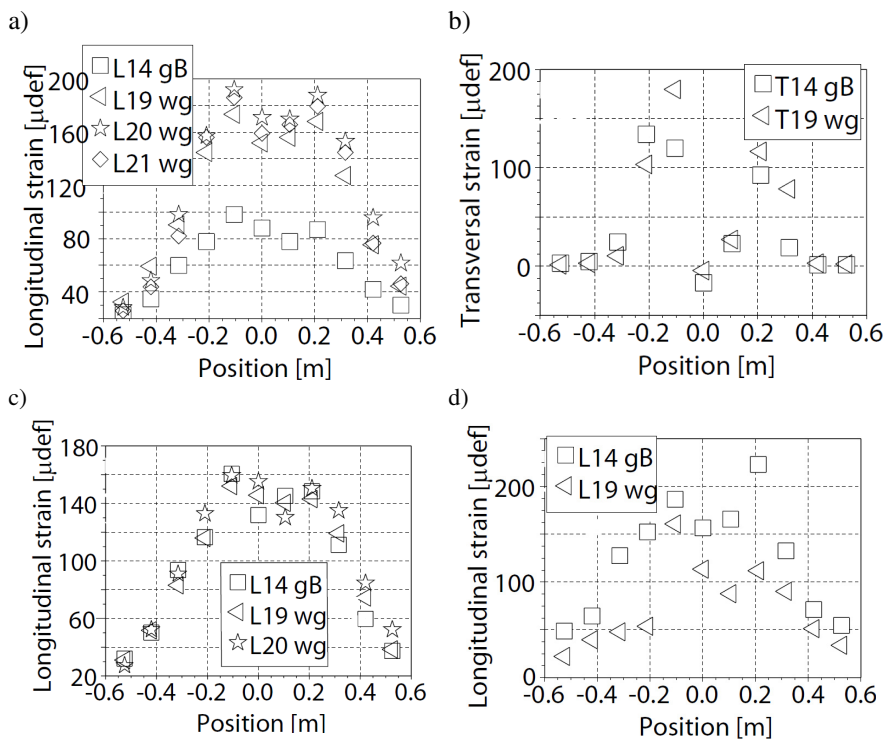


Fig. 7. Extent of cracking, in percent, on the 3 sections

### 5.4 Strain Measurements

Longitudinal and transversal strain measurements, for a temperature of about 20°C (at 40 mm depth) and a loading speed of 43km/h, are presented on Figure 8. On section C, no measurements are available because the strain gauges were broken during the compaction. The sensors were located in the middle of the wheel path, at position  $y = 0$  mm, and their measurements were recorded for different lateral positions of the dual wheels, varying between -550 and + 550 mm. Positions  $y = -210$  mm, and + 210 mm correspond to the situation when the centre of one wheel is located on the top of the sensors (Figure 4).



**Fig. 8.** Maximum longitudinal  $\epsilon_L$  and transversal  $\epsilon_T$  strains measured: a) and b):  $\epsilon_L$  and  $\epsilon_T$  at 12000 cycles, 19.2°C; c)  $\epsilon_L$  at 152000 cycles, 21.1°C; d)  $\epsilon_L$  at 676000 cycles, 21.6°C

At the beginning of the test, at 12000 cycles, (Figure 8 a-b), longitudinal strains measured on section B (gB) (gauge L14) are around half the longitudinal strains measured on section D without grid (wg) (gauges L19, L20, L21). For transversal strains, there is no clear difference between the measurements on section B (gauge T14) and on section D without grid (gauge T19). On section D, longitudinal and transversal strains are of the same level of magnitude. On the reinforced section, longitudinal strains are lower.

At 152000 (Figure 8.c), the longitudinal strains on sections B have increased and they are similar to those on section D without grid. At 676000 cycles (Figure 8 d), the longitudinal strains measured on section B are greater than those measured on section D. The same trend is observed for the deflection (Figure 5), which is higher on section B, after 530 000 cycles, than on section D. This increase of the strains and deflection can be due to the development of cracks as shown on Figure 7. For transversal strains, no significant evolution is observed at the different load levels, and the response of sections B and D remains similar.

Elastic back calculations have been made to estimate the moduli of the unbound granular material and of the soil from deflection measurements. The ALIZE design software used is based on Burmister's multi-layer linear elastic model [8]. Simulations have shown that the soil modulus is 80MPa. According to the French pavement design method, the modulus of the unbound granular materials has been taken equal to 200 MPa (modulus of the soil multiplied by 2.5). The longitudinal strains  $\epsilon_L$  and transversal strains  $\epsilon_T$  calculated under the centre of the dual wheel axle (position  $y = 0$ ) are respectively  $\epsilon_L = 89\mu\text{def}$  and  $\epsilon_T = 15\mu\text{def}$ . These results are of the same order of magnitude as the strains measured at the start of the experiment, on section B. After a significant level of traffic, the measured strains increased on section B, indicating a probable deterioration of this section, due to traffic.

## 6 Conclusion

In this experiment, several different grids were tested as reinforcement of new pavements, with relatively thin bituminous layers (80 mm); the objective was to compare the behaviour of the reinforced sections, in comparison with a reference section without grid.

During construction, there were some difficulties to place the grids on the circular test track, which obliged to cut the grids in relatively narrow bands. Due to this layout, some construction problems, leading possibly to debonding of the reinforced layer, occurred on section B.

Concerning the behaviour of the pavements, it was found that:

- Cracking appeared first on section B after a rainy period, after around 60000 cycles, and section D (without grid) after 800 000 cycles. Section C presents no cracking up to now. The worse performance of section B may be related with the construction problems.
- Rutting was somewhat lower on section B up to 600 000 cycles, and after that, the levels of rutting were very similar on the 3 sections;
- Strain measurements indicated lower longitudinal strains on the reinforced sections at the beginning of the experiment, but this difference disappeared after about 150 000 cycles, leading to similar deformations on all sections.

As the experiment is not finished, it is only possible to conclude that a positive effect of the grids on the resistance to cracking is observed on one section (C). It is

planned to continue the loading until at least 1 million loads, in order to attain higher levels of damage, and confirm the differences between the 3 tested sections.

At the end of the experiment, detailed investigations (cores, trenches, FWD) will be made to understand the possible cracking scenarios, and explain the behaviour of section B in particular, which may be due to debonding problems.

These observations, as those reported elsewhere [9-10-11], will also be completed by means of additional modelling, and non destructive testing, in relation with the two new Rilem Technical committees TC-SIB (TG4 – Advanced interface Testing of Geogrids in Asphalt Pavements) and TC MCD (Mechanisms of Cracking and Debonding in asphalt and composite pavements) in TG 3 on “Advanced Measurement Systems for Crack Characterization”

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# Low-Temperature Cracking of Recycled Asphalt Mixtures

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**Abstract.** The thermo-mechanical behavior of asphalt mixtures, with Recycled Asphalt Pavement (RAP) and other recycled materials was investigated. The cracking behavior at low temperature was studied considering Thermal Stress Restrained Specimen Tests (TSRST). The experimental setup was improved as the radial strains were measured during the performed TSRST. Tri-dimensional behavior could thus be investigated. Mixes made with different RAP content (up to 25%) and manufacturing-waste asphalt roofing shingle content (up to 10%) were studied. The influence of the content of RAP and shingle was analysed. A ranking of the different mixes was proposed based on the classical TSRST outputs, stress and temperature at failure.

**Keywords:** asphalt mixture, TSRST, radial strain, RAP, manufacturing-waste shingles, failure.

## 1 Introduction

Cracking is one of the main failure modes of asphalt pavements. Various types of cracks may occur in pavements: fatigue cracking caused by repetition of traffic loading, cracks explained by thermo-mechanical coupling effects resulting from contraction/dilation induced by repeated temperature cycles, cracks caused by frost heaving, etc. In the cold climates, thermal or low-temperature cracking of bituminous pavements is a serious problem. Low temperature properties of asphalt mixtures should be correctly studied in order to ensure adequate structural design.

The Thermal Strain Restrained Specimen Test (TSRST) allows a characterization of this behavior by coupling the thermal and mechanical effects. Different research works were conducted using this test and confirmed its good potential to well assess the thermal cracking resistance of asphalt mixtures ([1- 6]).

In this research, TSRST is used to evaluate the behaviour of asphalt mixtures containing different combinations of recycled bituminous materials. The two types

of recycled materials considered in this study are: reclaimed asphalt pavement (RAP) and manufacturing waste asphalt roofing shingles. The use of RAP and shingles in pavement construction increases continuously and this is due to both environmental and economical reasons. However, some studies have shown that the addition of RAP may have a negative influence on low temperature cracking characteristics of the HMA ([7-10]). The impact of the addition of manufacturing-waste asphalt shingles in the HMA on the behaviour of asphalt mixes was also studied ([11, 12]). The results show that the low temperature cracking of mixes with up to 8% of shingles was not affected negatively. The purpose of this study is to determine the effects of RAP and manufacturing-waste shingles, incorporated together in the HMA, on the low temperature cracking performance of asphalt mixture.

In this paper, the experimental test setup and the tested materials are first presented. The second part presents the effect of recycled materials on the low-temperature properties of the tested mixtures. The experimental results are then analysed and compared and the withdrawn conclusions are presented.

## 2 Experimental Investigation

### 2.1 Test Equipment and Procedure

TSRST experiments are carried out with a hydraulic press having a maximum capacity of  $\pm 25$  kN and a  $\pm 50$  mm axial stroke.

A thermal chamber is used for the thermal conditioning of the tested specimens during the test. The temperature is measured using a thermal gauge (PT100 temperature probe) glued at the specimen surface.

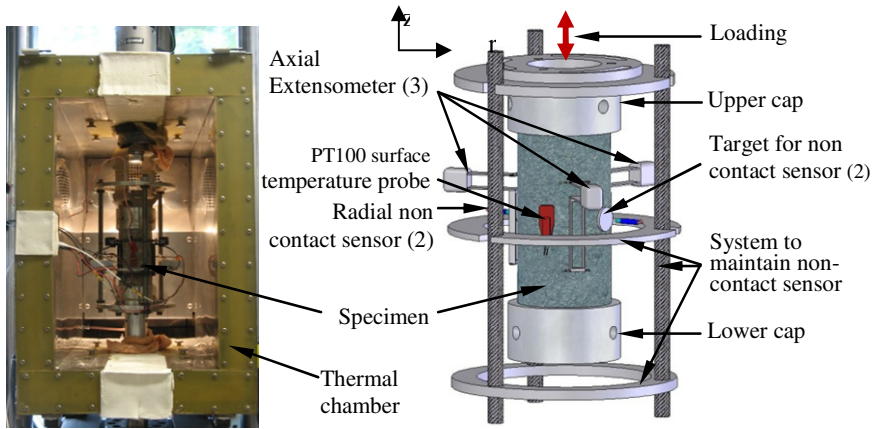
Three axial extensometers located at  $120^\circ$  around the specimen are used to measure the axial strain (Figure 1). Axial strain is calculated as the average of the three measurements. To obtain radial strain, non-contact displacement transducers (range  $500\mu\text{m}$ ) measure the radial displacement at the mid-height of the specimen. The radial strain is determined from these two measurements.

A general view of the specimen and strain measurement devices is presented in Figure 1.

The principle of the TSRST is to keep the length of the specimen (axial strain) constant while decreasing the temperature inside the thermal chamber at a constant cooling rate of  $10^\circ\text{C}/\text{h}$ . Cooling incites the specimen to contract, but the servo-hydraulic press prevents it. The thermal stress induced inside the specimen increases until the specimen breaks.

The strain tensor  $\underline{\underline{\varepsilon}}$  can be defined as the sum of a mechanical strain tensor  $\underline{\underline{\varepsilon}}^{mechanical}$  caused by stress field and a thermal strain tensor,  $\underline{\underline{\varepsilon}}^{thermal}$  caused by temperature change:

$$\underline{\underline{\varepsilon}} = \underline{\underline{\varepsilon}}^{thermal} + \underline{\underline{\varepsilon}}^{mechanical} \quad (1)$$



**Fig. 1.** General view of the experiment (left) and strain measurement devices (right) developed at ENTPE to measure axial strain and radial strain

The thermal strain tensor, assumed isotropic, is equal to:

$$\underline{\underline{\epsilon}}^{thermal} = \alpha \Delta T \underline{\underline{\delta}} \tag{2}$$

with  $\alpha$ , the thermal dilation coefficient (supposed independent of the direction),  $\Delta T$ , the increment of temperature and  $\underline{\underline{\delta}}$  isotropic tensor whose determinant is 1.

In our test, with axisymmetric notation it comes:

$$\epsilon_{axial} = \epsilon_z = \epsilon_z^{thermal} + \epsilon_z^{mechanical} \tag{3}$$

$$\epsilon_{radial} = \epsilon_r = \epsilon_r^{thermal} + \epsilon_r^{mechanical} \tag{4}$$

As the axial strain is restrained,  $\epsilon_z = 0$  for TSRS tests, it comes:

$$\epsilon_z^{mechanical} = -\epsilon_z^{thermal} = -\alpha \times \Delta T \tag{5}$$

$$\epsilon_r = \alpha \times \Delta T + \epsilon_r^{mechanical} \tag{6}$$

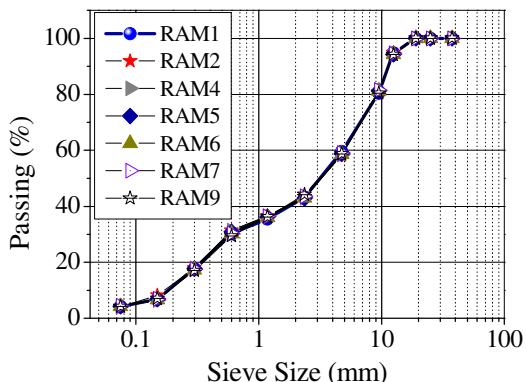
Before performing TSRST on bituminous materials, a calibration of the complete device must be carefully carried out. During the tests, the cooling induces some artefact effects on the strain measurement device. The Zerodur®, a material for which the thermal dilation coefficient is perfectly known, was used for calibration. The artefact effects due to cooling are then evaluated and can be corrected during TSRST tests.

## 2.2 Materials

Seven bituminous mixes with different combinations of RAP and shingles were studied. Table 1 gives RAP and shingles dosage rates and compaction levels for each of the seven mixes tested. The particle size distribution was the same for all mixes (shown in Figure 2). The maximal particle size is 12.5 mm. The binder content of each mix was determined using the Superpave gyratory compactor. A laboratory mixer and a plate compactor with two rubber tyres (French LPC wheel compactor) were used to produce slabs of 600 x 400 x 60 mm. From each slab, five cylindrical specimens were cored and sawn at the DGCB laboratory of ENTPE. These cylindrical specimens were used for the TSRST test. The diameter of tested specimens is  $59 \pm 0.5$  mm and the length  $225 \pm 3$  mm. Three specimens were tested from each mix.

**Table 1.** RAP & shingle contents and compaction levels of tested materials

	RAM1	RAM2	RAM4	RAM5	RAM6	RAM7	RAM9
RAP (%)	0	15	15	15	20	20	25
Shingles (%)	0	0	3	5	5	7	10
Compaction levels (%)							
specimen 1 (sp1)	94.5	93.6	94.2	95.2	96.0	94.0	94.3
specimen 2 (sp2)	94.6	94.3	93.9	95.0	96.1	95.0	95.2
specimen 3 (sp3)	93.8	93.6	94.0	95.3	95.9	94.5	95.3



**Fig. 2.** Aggregate gradation of tested mixes

## 3 Results and Analysis

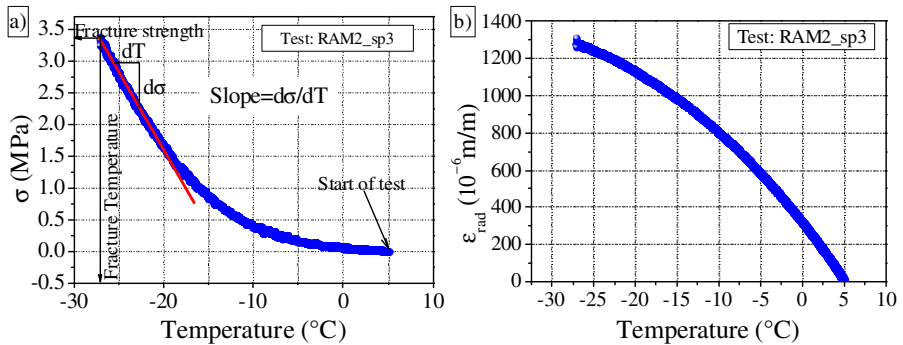
### 3.1 Typical TSRST Results

Main outputs of the TSRST are the variation of the thermally induced stress in function of the temperature and the temperature and the stress values at failure (Figure 3a). Typical TSRST results are shown on Figure 3a.



At failure, stress reaches its highest value which is referred to as the fracture strength. The slope of the stress-temperature curve  $d\sigma/dT$  increases progressively until a certain temperature where it becomes constant (the stress-temperature curve becomes linear). The temperature corresponding to the inflexion point of the curve is designated as the transition temperature. The transition temperature and  $d\sigma/dT$  may play an important role in characterizing the rheological behavior of bituminous mixtures at cold temperatures [13].

The radial strain is measured during the TSRST using a measurement setup designed for this purpose. The variation of radial strain with the temperature is plotted on Figure 3b. When the absolute value of the radial strain increases, it means that the diameter of the sample decreases with the cooling. This diameter decrease is explained by the tensile stresses induced in the sample during cooling.



**Fig. 3.** a) Stress-temperature curve; b) radial strain-temperature curve of TSRST test on RAM2\_sp3 specimen

### 3.2 General Results for the Tested Materials

A total of 21 tests were carried out for 7 different materials. Three specimens were tested of each material. The repeatability of TSRST is first evaluated. Two different characteristics must be studied:

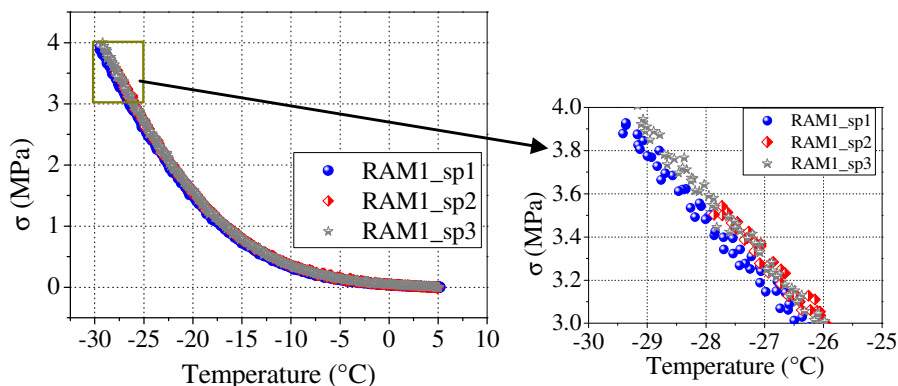
- the general variation of the stress in function of temperature,
- the values of the stress and the temperature at failure.

Concerning the variation of stress in function of temperature, examination of Figure 4 shows that the three curves obtained from three different tests on the mix RAM1 are well superimposed.

In order to quantify the difference between the three tests on a same material, the area under the stress-temperature curve was calculated. This area was limited at the right by the vertical line passing by a chosen reference temperature. Table 2 presents this temperature and the results of calculation. For each mix, a reference

test is chosen, which could be considered as an “average” or “representative” test of the considered mix. The calculated area for other tests is compared with the one of “representative” test. The maximum relative difference (in percentage in Table 2) is lower than 15% for all cases. For RAM1 mix, for which the three tests are very similar, the value is close to 3 or 4%. It can be concluded that repeatability of TSRST is rather good, considering the variation of stress in function of temperature, and that the choice of a “representative” test is pertinent to compare the different mixes behavior.

The variation of the stress in function of the temperature is presented in Figure 5 for each mix with the selected “representative” test. Examination of Figure 5 shows different behaviors. Particularly, in the first phase of the tests, the stress relaxation ability of RAM1 mix (without recycled materials) is more important than that of RAM2 mix containing 15% of RAP.



**Fig. 4.** Stress- temperature for tests on materials RAM1 (Three tests: RAM1\_sp1, RAM1\_sp2 and RAM1\_sp3)

**Table 2.** Area under stress-temperature curve of tested material and relative difference considering representative test

		RAM1	RAM2	RAM4	RAM5	RAM6	RAM7	RAM9
Area under stress-temperature curve (MPa×°C)	sp1	28.50 (-3.75%)	28.72 (3.71%)	29.60 (ref)	30.40 (-10.0%)	33.68 (3.17%)	31.25 (-4.31%)	29.60 (-2.50%)
	sp2	29.61 (ref)	27.70 (ref)	27.93 (-5.66%)	33.79 (ref)	31.79 (-2.62%)	32.66 (ref)	31.65 (4.28%)
	sp3	29.67 (0.22%)	26.97 (-2.62)	34.08 (-15.1%)	36.08 (6.78%)	32.65 (ref)	33.21 (1.68%)	30.35 (ref)
Limit temperature for area calculation (°C)		-27.9	-27.5	-25.5	-26.2	-24.1	-24.0	-22.2

*ref* = representative test.

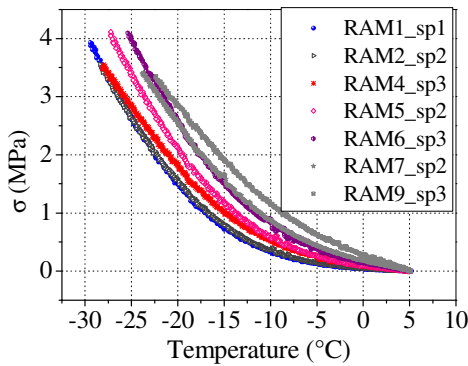


Fig. 5. TSRST response for selected “representative” tested materials

Temperature and stress values obtained at failure are presented in Figure 6 for all performed tests. The average values (temperature and stress) for each mix are also presented. In Table 3, these average values and standard deviation (three tests for each mix) are summarized. For temperature at failure, standard deviation remains below 5 percent for all mixes. For stress value at failure, standard deviation is higher but remains below 10 percent, except on RAM4 material. Even if the repeatability of TSRST is good, for variation of stress in function of temperature, the repeatability for the failure point is not so good.

All these results show that the content of recycled materials influenced the low temperature properties of mixes. As can be seen in Figure 6, the fracture temperature and the variation of stress according to the temperature are affected by the content of recycled material. The fracture temperature of reference material (RAM 1) is better than the others. At high dosage rates shingles and RAP, the low temperature performances decrease. The difference of average temperature between reference material (RAM1) and RAM9 (with 25% of RAP and 10% of shingle) is about 9°C. The values of stress at failure are more scattered and the influence of the recycled materials content is less obvious.

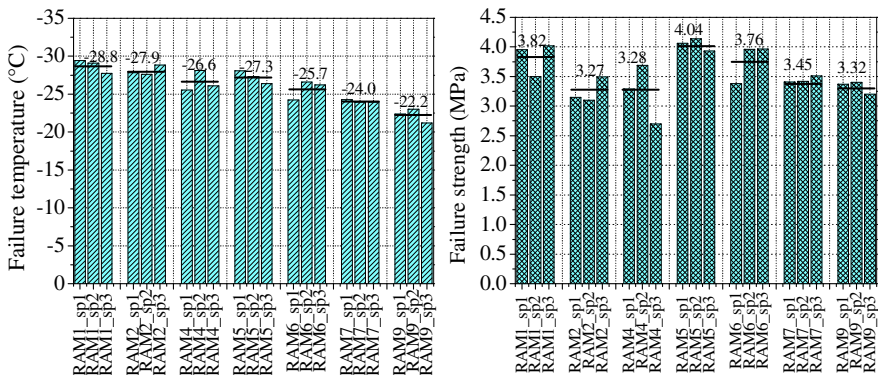


Fig. 6. Effect of dosage rates of recycled materials on the low temperature performance of asphalt mixes

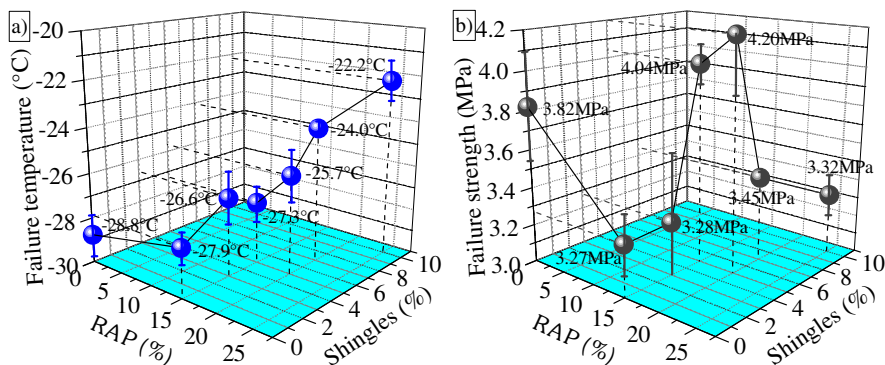
**Table 3.** Average and standard deviation of tested materials

		RAM1	RAM2	RAM4	RAM5	RAM6	RAM7	RAM9
$T_f$ (°C)	Average of 3 tests	-28.8	-27.9	-26.6	-27.3	-25.7	-24.04	-22.2
	Standard deviation	0.89 (3.1%)	0.73 (2.6%)	1.29 (4.8%)	0.84 (3.1%)	1.28 (5.0%)	0.19 (0.8%)	0.93 (4.2%)
$\sigma_f$ (MPa)	Average of 3 tests	3.82	3.27	3.28	4.04	4.20	3.45	3.32
	Standard deviation	0.28 (7.3%)	0.18 (5.5%)	0.42 (12.8%)	0.11 (2.7%)	0.35 (8.3%)	0.06 (1.7%)	0.11 (3.3%)

### 3.3 Influence of RAP and Shingles Content

The values of the failure temperature and failure stress obtained from the TSRST tests for all tested mixes are presented Figure 7 in function of the content of RAP and shingles. The vertical bars at each value represent the standard deviation of the three measures. For asphalt mixes incorporating up to 15% RAP and up to 5% shingles, the low temperature performance seems to be slightly affected as their values of failure temperatures are very close (< 2.2°C) to that of the reference mix (RAM1).

For asphalt mixes incorporating higher substitution rates (>20% RAP and > 7% shingle), the low temperature performance seems to be significantly affected. These mixes cannot be used at the same conditions as the reference mix. Premature transverse cracks may appear on the pavements due to the lack of resistance to thermally induced tension stresses in cold areas requiring bitumen grades to be specified lower than -28°C [12]. However, these mixes may be used in warm regions where the low temperature cracking is not an issue.



**Fig. 7.** a) Failure temperature and standard deviations at different dosage rates of RAP and shingles; b) Stress at Failure and standard deviations at different dosage rates of RAP and shingles

## 4 Conclusion

The objective of the research work presented in this paper was to study the low-temperature cracking of asphalt mixtures containing recycled materials. Seven mixtures with different recycled materials contents were investigated. From the obtained results, the following conclusions could be withdrawn:

- The repeatability of TSRST was evaluated based on the variation of stress in function of temperature and classical outputs, stress and temperature at failure. It is noted that repeatability was very good before failure and reasonable for stress at failure.
- The TSRST set-up was successfully improved which allowed the measurement of the radial strains during the test.
- The impact of the addition of RAP and shingle on the low temperature behavior and cracking characteristics of mixes was investigated. The cracking temperature of asphalt mixes with up to 15% RAP and 5% shingles was quite similar to that of the reference mix (RAM1). Beyond this limit, the low temperature performances may be significantly affected.

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# Thermal Cracking Potential in Asphalt Mixtures with High RAP Contents

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**Abstract.** Asphalt recycling is a key component of the sustainable practices in the pavement industry. Use of reclaimed asphalt pavement (RAP) minimizes the construction cost as well as consumption of natural resources. Adding RAP, though, is believed to make the asphalt mixtures prone to thermal cracking by increasing their stiffness. A semi-circular bend (SCB) test was conducted to evaluate the low temperature cracking potential of asphalt mixtures with RAP, whereas, a flow number test was conducted to provide some insight into the gained stiffness. Eight asphalt mixtures with a high amount (up to 50%) of RAP were designed using two material sources. Two additional softer binders were used to prepare testing samples in order to evaluate the effect of binder grade bumping. Flow number test data showed significant improvement in potential rutting resistance of asphalt mixtures when RAP was added. However, thermal cracking potential may increase when RAP is added to asphalt mixtures. This effect could be reduced when binder double-pumped grade is used.

## 1 Introduction

Reclaimed asphalt pavement (RAP), acquired by milling or ripping and breaking the asphalt pavement, is primarily used in asphalt pavement recycling. Minimizing the requirement of new aggregates and asphalt binder in mixtures with RAP has twofold benefits; cost savings and conservation of natural resources. Addition of RAP introduces significant complexities in asphalt concrete mix design due to RAP's aged asphalt binder and degraded aggregates. The asphalt binder becomes stiffer and brittle

in the field due to many factors including oxidation, volatilization, polymerization, thixotropy, syneresis, and separation [1]. Asphalt pavements made with recycled material without accounting for the effects of RAP are bound to fail prematurely. The most common issue with designing asphalt mixtures with high RAP contents is controlling the mix volumetrics including voids in mineral aggregate (VMA).

Researchers have reported contradictory results regarding VMA of asphalt mixtures with RAP; a few studies reported a decrease in VMA [2, 3, 4, & 5], while others reported an opposite trend [6, 7]. It is important to highlight that unless the volumetrics of the asphalt mixtures with and without RAP are comparable, conclusions as to the effectiveness of including RAP in asphalt mixture can be misleading. Moreover, use of corresponding aggregate bulk specific gravity,  $G_{sb}$ , values for the RAP is crucial in assuring comparable volumetric properties between the various blends. Research work that uses, aggregate effective specific gravity,  $G_{se}$ , for RAP can result in blends that are not truly comparable in VMA, even though the calculated VMA values suggest they are.

Thermal cracking caused by high thermal stresses developed at low temperatures is one of the predominant asphalt pavement failure modes in cold regions, such as the northern part of the US. Propensity of asphalt pavements to crack under thermal and traffic loading is believed to be amplified when RAP is used due to the stiffened aged RAP asphalt binder. Li et al. [8] investigated the effect of RAP percentage and sources on the thermal cracking of asphalt mixtures by performing semi-circular beam (SCB) test. The results indicated that asphalt mixtures with 20% RAP exhibited similar fracture resistance abilities to that of control asphalt mixtures. The addition of 40% RAP to asphalt mixture significantly decreased the low-temperature fracture resistance. Tam et al. [9] looked into the thermal cracking of recycled asphalt mix and showed that recycled asphalt mixtures are less resistant to thermal cracking than control asphalt mixes. The thermal cracking potentials of laboratory and field mixes were analysed using the McLeod's limiting stiffness criteria and pavement fracture temperature (FT) method. Gardiner and Wagner [10] found that adding RAP to asphalt mixtures decreased the rutting potential and increased the potential for low temperature cracking.

This paper presents the effect of incorporating high RAP contents in asphalt mixture on its low temperature cracking and rutting potentials. The flow number test was performed to estimate the changes in potential rutting due to RAP addition into the asphalt mixtures, whereas, SCB test was conducted to measure the fracture energy of the asphalt mixtures at low temperature. Glass transition temperatures for all binders used in this study were measured to better understand the asphalt mixture behaviour at low temperature.

## 2 Material and Mix Designs

In this study, virgin aggregates and RAP materials were procured from Illinois Department of Transportation (IDOT) District 1 and District 5. District 1 and District 5 virgin aggregate materials were mainly crushed dolomitic limestone. RAP was obtained in two sizes, i.e. +9.5 mm and -9.5 mm from both the sources.



In addition to the use of the base binder (PG 64-22), two softer binders, i.e. PG 58-22 and PG 58-28, were used to evaluate the effect of binder grade bumping on the fracture potential of the asphalt mixtures with RAP. The performance grades of extracted RAP binders were found to be PG 82-10 and PG 88-10, respectively, for District 1 and District 5 RAP materials. Table 1 shows the true and PG binder grades of the acquired asphalt binders. Although at the borderline, PG 58-28 turned out to be PG 58-22, which may have implications on fracture behavior of the asphalt mixtures.

For each material source, a control (0% RAP) mix and three asphalt mixes with 30%, 40%, and 50% RAP were designed in accordance with the IDOT specifications utilizing the Bailey method [11] of aggregate packing. Control gradation was effectively achieved by fractionating both virgin aggregates and RAP materials in different sieve sizes. Details of the mix design process are available elsewhere [12]. Similar VMA of the asphalt mixtures, as shown in Table 2, would ensure that the difference in performance of the four asphalt mixes is not driven by volumetric changes.

**Table 1.** True and PG grades for virgin and RAP binders

Binder Type	True Grades	PG Grades
District 1 PG 64-22	66.7-24.2	64-22
District 5 PG 64-22	67.0-22.9	64-22
PG 58-22	62.3-22.4	58-22
PG 58-28*	61.4-27.4	58-22
District 1 RAP	82.4-13.7	82-10
District 5 RAP	89.3-14.9	88-10

\* Not a true PG 58-28

### 3 Experimental Program

This paper is part of a study [12] that encompassed a detailed experimental plan to evaluate the laboratory performance of asphalt mixtures with high percentages of RAP (up to 50%). While the results from SCB test are discussed in detail, results from flow number (FN) test are described briefly to explain the effect of RAP on asphalt mixture potential rutting.

A flow number test is used as a performance indicator for permanent deformation resistance of asphalt mixtures. The flow number is usually considered at the cycle number where the strain rate starts increasing with loading cycle (as shown in Figure 1).

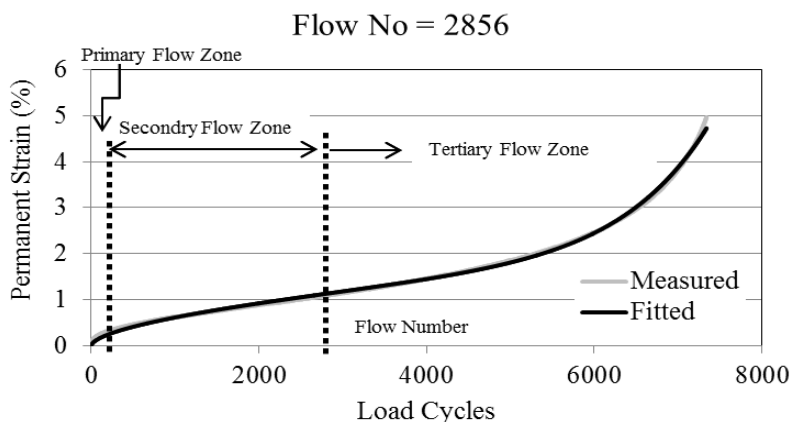
A higher flow number indicates higher resistance to permanent deformation (rutting). The flow number test was performed at 58 °C, a total deviator stress of 200 kPa, and a frequency of 10 Hz. The test was conducted until the completion of 10,000 cycles or 5% permanent strain, whichever occurred first.

**Table 2.** Asphalt mixtures' volumetrics

Mix Type	Asphalt Content (%)	Air Voids (%)	VMA (%)	VFA <sup>1</sup> (%)
D1 <sup>2</sup> -Control	4.9	4.0	13.7	70.8
D1-30% RAP	4.9	4.0	13.6	70.6
D1-40% RAP	5.1	4.0	13.7	70.8
D1-50% RAP	5.0	4.0	13.7	70.8
D5 <sup>2</sup> -Control	5.2	4.0	13.8	71.0
D5-30% RAP	5.2	4.0	13.8	71.0
D5-40% RAP	5.2	4.0	13.6	70.8
D5-50% RAP	5.2	4.0	13.5	70.4

<sup>1</sup> Voids filled with Asphalt

<sup>2</sup> D1 = District 1, D5 = District 5

**Fig. 1.** Typical flow number data

The SCB test is used to evaluate low temperature cracking potential of asphalt mixtures. The test output includes fracture toughness and fracture energy which are used to assess the asphalt mixture's potential for low temperature cracking. A 50-mm-thick specimen was used instead of 25-mm-thick, typical test specimen thickness, due to the relatively large nominal maximum aggregate size (NMAS), 19 mm, of the asphalt mixtures. The test was conducted at 10 °C above the lower base binder performance grade, i.e. -12 °C for PG 64-22. A sitting load of 0.1 kN was applied before actual test loading. The test was controlled using the crack mouth opening displacement (CMOD) rate at 0.1 mm/min. The test was stopped when the load level dropped to 0.1 kN. The test setup is shown in Figure 2. Three replicates of asphalt mixtures were tested for each combination of RAP content and binder type as presented in Table 3. Control mixture was prepared with base binder only, i.e. PG 64-22. Asphalt mixtures with various RAP contents were prepared using all three binders (PG 64-22, PG 58-22, and PG 58-28) to study the effect of binder grade bumping on the asphalt concrete fracture behavior.

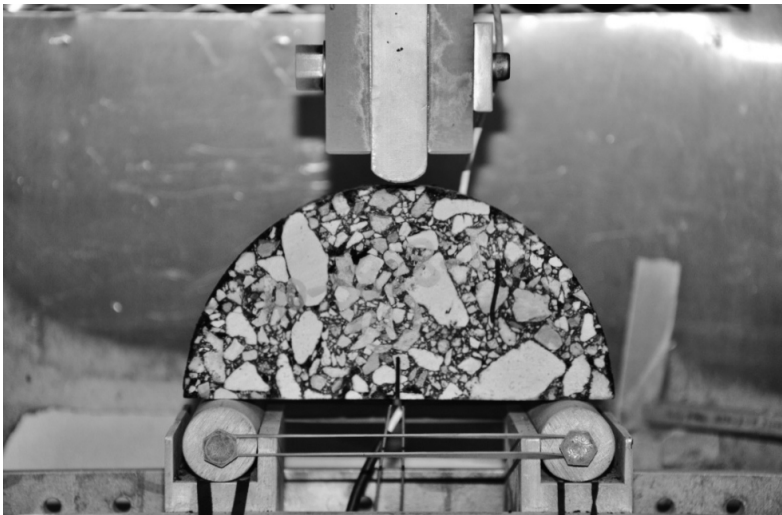


Fig. 2. Setup for semi-circular bend test

Table 3. Test matrix for SCB test

Binder Grade	RAP Content (%)			
	0	30	40	50
PG 64-22	3	3	3	3
PG 58-22	0	3	3	3
PG 58-28	0	3	3	3

## 4 Results and Discussion

### 4.1 Flow Number Test Results

Figure 3 shows the average flow number for three replicates for each mix and binder type combination for all asphalt mixtures. A consistent trend of flow number increase with increasing RAP content was observed in District 1 asphalt mixtures. Since higher flow number implies higher resistance to permanent deformation, asphalt mixtures with 50% RAP showed the highest resistance to rutting followed by the mixtures with 40% and 30% RAP, and the control mix.

Although the effect of softer binder i.e. binder grade bumping is obvious and quite consistent on the flow number of the asphalt mixtures with RAP, the effect diminishes with an increase in RAP content used in the mix. While the flow number of asphalt mixture with 30% RAP using PG 58-28 is 57.5% less than that with 30% RAP using PG 64-22, only 11% reduction in the flow number of asphalt

mixture with 50% RAP resulted when double-bumped grade binder was used. This diminished effect can be attributed to the increasing effect of the RAP binder when a higher RAP content is used in the mixtures.

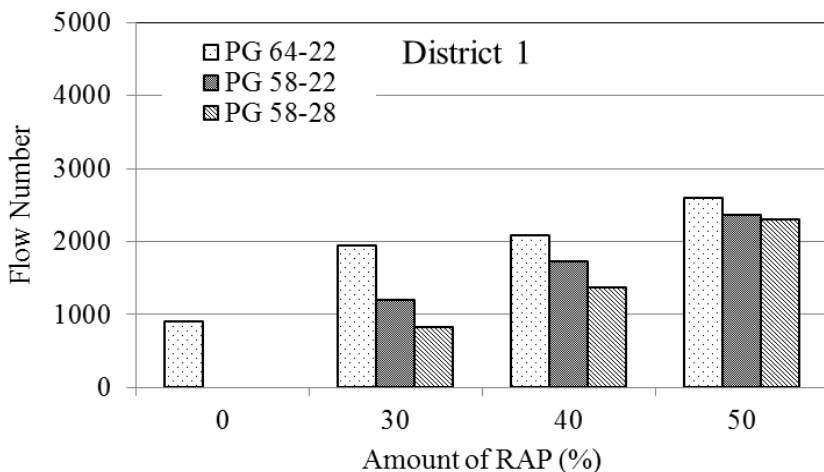


Fig. 3. Flow number for District 1 mixtures

Flow number results for District 5 asphalt mixtures are shown in Figure 4. The District 5 control mixture showed the highest rutting potential (least flow number) compared to other mixtures. The effect of increasing RAP content is quite obvious with base binder grade, i.e. PG 64-22. Using soft binders, grade bumping, resulted in reducing the flow number.

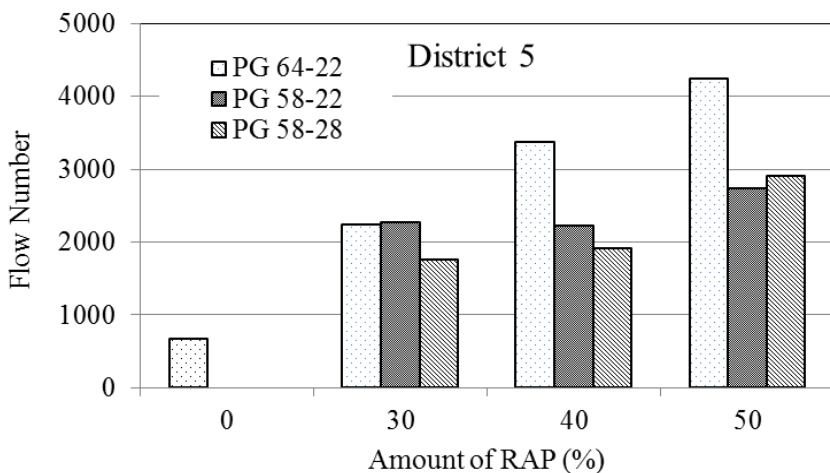


Fig. 4. Flow number for District 5 mixtures

## 4.2 Semi-circular Bend Fracture Test

Fracture energy is determined from the fracture work obtained over the area under the load-CMOD curve. Since the plane stress conditions might have been violated when a 50-mm-thick specimen was used instead of the 25-mm-thick specimen, fracture toughness of the mixtures was not determined in this study. Higher fracture energy suggests more energy is required to create a unit surface area of a crack. Therefore, the lower the fracture energy, the greater the potential for thermal cracking.

Glassy transition temperature ( $T_g$ ) was measured for all binders, i.e. virgin binders and extracted RAP binders for both District 1 and District 5. A Differential Scanning Calorimeter (DSC) was used to determine  $T_g$  of the asphalt binders. DSC measures the amount of energy (heat) absorbed or released by a sample as it is heated, cooled or held at a constant (isothermal) temperature. The  $T_g$  for the binders is shown in Table 4.

**Table 4.** Glassy transition temperatures

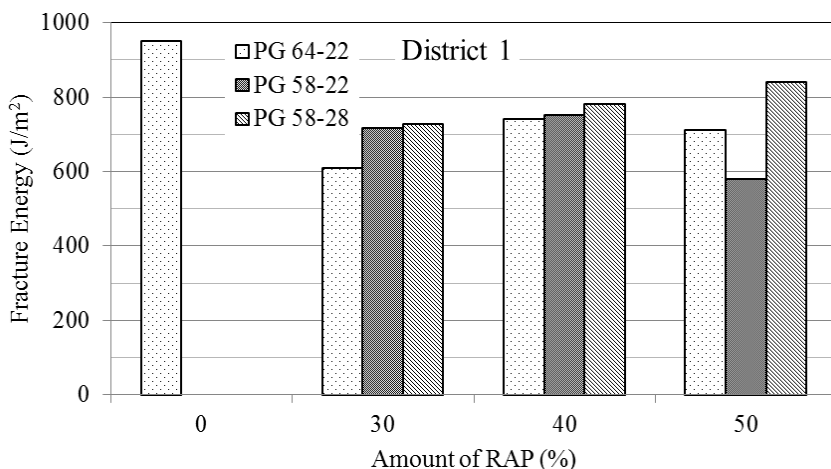
S. No.	Binder Type	$T_g$ ( $^{\circ}\text{C}$ )	
		Onset <sup>1</sup>	Peak
1	District 1-PG 64-22	-9.9	-16.3
2	District 1- RAP extracted RAP Binder	-12.1	-14.5
3	District 5-PG 64-22	-11.8	-16.1
4	District 5- extracted RAP binder	-10.2	-14.7
5	PG 58-22	-16.1	-18.3
6	PG 58-28	-17.2	-18.2

<sup>1</sup>the temperature at the onset of the spike

Figure 5 shows the effect of RAP content and binder grade on the fracture energies of District 1 asphalt mixtures. The control mixture showed the highest fracture energy, which sharply plummeted for the asphalt mixture with 30% RAP. Further addition of RAP content in asphalt mixtures didn't result in significant change in the fracture energy.

The SCB test measures global fracture energy which consists of localized fracture behavior plus the energy dissipated due to creep. At  $-12^{\circ}\text{C}$ , the material is still at the verge of viscoelastic range as shown by the  $T_g$  values. Hence, asphalt concrete creep contributes to the total measured fracture energy. Therefore, greater energy is required to initiate and propagate a crack above  $T_g$ . In addition, at relatively low temperature, the crack tends to propagate in a straight path irrespective of the presence of aggregate and mastic, whereas, at a temperature above  $T_g$ , cracks tend to circumnavigate the aggregate particles and propagate through the softer mastic. This longer meandering path may, in turn, cause an increase in the fracture energy.

The effect of binder grade bumping was also evaluated on the fracture properties. An increase in the fracture energy was observed when single-bumped grade binder (PG 58-22) was used with District 1 asphalt mixtures with 30% RAP, whereas, binder grade double bumping (PG 58-28) did not show any further improvement in the fracture energies when compared to the mixtures prepared with single-bumped grade binder PG 58-22. For asphalt mixtures with 40% RAP, the fracture energy slightly increased as the binder becomes softer. This increase in fracture energy is expected as the mixture becomes more ductile and its resistant to cracking improves. For asphalt mixtures with 50% RAP content, binder grade double bumping improved fracture energy. The behavior of asphalt mixtures with 50% RAP using PG 58-22 could not be explained; and appeared as an outlier. It is important to note that the low-temperature true grade of PG 58-28 was determined to be -27.4 which may explain the insignificant difference in the fracture energies of mixtures prepared with PG 58-28 and PG 58-22 binders.



**Fig. 5.** Fracture energies for District 1 mixtures

For District 5, fracture energy results, presented in Figure 6, do not manifest effect of RAP on fracture behavior. A decrease in fracture energy was observed when 30% RAP was added in asphalt mixture. No further significant reduction in fracture energy was noticed when RAP content was increased beyond 30% in the asphalt mixtures. In addition, it was evident that softer binder, especially double-bumping grade, improved the fracture energy.

Although the effect of RAP content and the use of softer binder on the fracture energy are evident, the asphalt mixture's relatively large NMAS and testing at a temperature greater than the binder's  $T_g$  influenced the total fracture energy results. Hence, testing at a temperature below  $T_g$  is recommended.

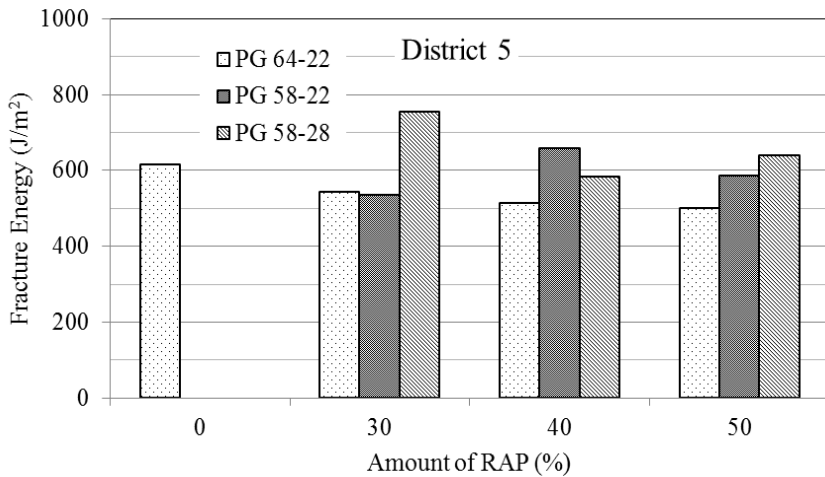


Fig. 6. Fracture energies for District 5 mixtures

## 5 Summary and Conclusion

Thermal cracking potential of asphalt mixtures with high RAP contents was investigated. Two material sources were utilized to design asphalt mixtures with 0%, 30%, 40%, and 50% RAP. Flow number test data showed significant improvement in potential rutting resistance of asphalt mixtures when RAP was added. However, thermal cracking potential may increase when RAP is added to asphalt mixtures. Using softer binder proved to be helpful in reducing the effect of RAP aged binder on mixture stiffening at low temperature. Fracture test results should be carefully evaluated when asphalt mixture is tested above binder's  $T_g$  value because the resulting global fracture energy may include creep effect.

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The contents of this paper reflect the view of the authors, who are responsible for the facts and the accuracy of the data presented herein. The contents do not necessarily reflect the official views or policies of the Illinois Center for Transportation, the Illinois Department of Transportation, or the Federal Highway Administration. This paper does not constitute a standard, specification, or regulation. The significant help provided by Jim Meister, Illinois Center for Transportation Senior Research Engineer, is also appreciated.

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# Micro-mechanical Investigation of Low Temperature Fatigue Cracking Behaviour of Bitumen

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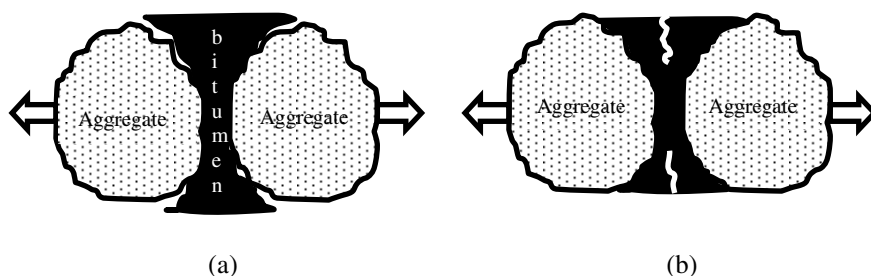
**Abstract.** In an effort to understand the effect of low temperature fatigue cracking, atomic force microscopy (AFM) was used to characterize the morphology of bitumen. In addition, thermal analysis and chemical characterization was done using differential scanning calorimetry (DSC) and thin-layer chromatography / flame ionization detection (TLC/FID), respectively. The AFM topographic and phase contrast image confirmed the existence of bee-shaped microstructure and different phases. The bitumen samples were subjected to both environmental and mechanical loading and after loading, micro-cracks appeared in the interfaces of the bitumen surface, confirming bitumen itself may also crack. It was also found that the presence of wax and wax crystallization plays a vital role in low temperature cracking performance of bitumen.

## 1 Introduction

Low temperature cracking is known to be one of the major distresses that result in both structural and functional problems for asphalt pavements. When the temperature decreases, asphalt mixtures tend to contract which results in an increase in tensile stresses inside the pavement. If these tensile stresses exceed the tensile strength of the asphalt mixture, cracks may start propagating inside the material and this often results in visible transverse cracks on the pavement surface [1]. These cracks may then lead to other types of pavement deteriorations, since water can now freely access the structure and traffic loading may give added local impact forces. Cracking is therefore a significant contributor to the overall reduction of the pavement service life and an increase in maintenance cost.

There are, of course, many different causes for cracks to initiate and propagate in an asphaltic pavement. Even on top of a rut, which is caused at higher temperatures, often a crack appears. Nevertheless, cracking may develop due to a critical low ambient air temperature drop which is known as low temperature cracking or due to several thermal cycles which is known as thermal fatigue cracking. This paper is mainly focused on thermal fatigue cracking. Asphalt mixtures consist of a matrix of bitumen and mineral aggregates where each component has its own stiffness, strength and contraction-expansion rates. Because of this change in properties,

cracks may develop in the interfaces between the aggregate and bitumen, as shown in Figure 1 (a). The existence of microstructures in bitumen matrix found in several studies proves the heterogeneity of bitumen [2-4]. This inhomogeneity is creating internal interfaces within the bitumen matrix. Bitumen have therefore zones that, just like mineral-bitumen interfaces, have a tendency to act as natural stress inducers. Thus, at low temperatures when the bitumen becomes stiff, this induced stress may cause cracking which could propagate through bitumen, as shown in Figure 1 (b).



**Fig. 1.** Two possible ways of crack initiation due to thermal cracking: a) interface and b) through bitumen

Over many years, a great number of efforts have been made to investigate the complexity of the thermal fatigue problem of asphalt pavements. Models for predicting low temperature cracking have also been proposed. Nevertheless, until now a test method is not available to investigate mastic (i.e., bitumen and fillers) and bitumen resistance to thermal fatigue. Also, most of the existing specifications and theories do not address cracking of bitumen itself due to thermal fatigue. Yet, according to many researchers, bitumen is an important component that needs to be considered when investing low temperature or thermal fatigue cracking of asphalt mixtures [5-6].

For this reason, the present study is focusing on a micro-mechanical investigation of the thermal fatigue cracking behaviour of the bitumen itself, where the hypothesis is that bitumen itself may also crack due to its inherent the heterogeneities under environmental and traffic loading.

### ***1.1 Low Temperature Properties of Bitumen***

Bitumen is a thermoplastic material that at high temperatures has a very low and at low temperatures has a very high viscosity. Initially when a pavement cools, the bitumen is viscous enough to shrink due to its stress relaxation behaviour. The bitumen stiffens upon further cooling and reaches a certain temperature at which the thermal stress can no longer relax through this viscous mechanism. This phenomenon also occurs with bitumen when subjected to several thermal cycles. From a chemical point of view at lower temperatures the fluid non-polar molecules

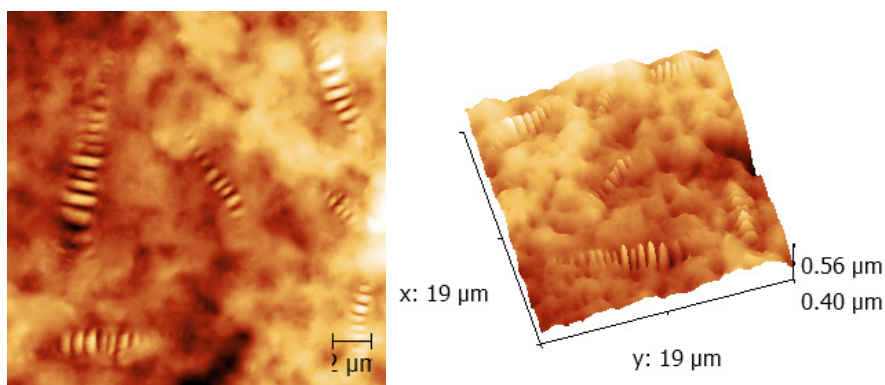
begin to organize themselves into a structured form. Since bitumen contains both polar and non-polar components, at low temperatures these non-polar molecules combine with the already-structured polar molecules and make asphalt more rigid and likely to fracture when exposed to stresses.

In addition to this phenomenon, waxes are also often an important component to consider in the thermal fatigue behaviour of bitumen, even though its behaviour is not fully understood to date. Natural waxes may be present at all times at various concentration in bitumen, depending on the crude source type and refinery process, and sometimes commercial waxes are added into bitumen or asphalt mixtures to allow for sufficient flow when reducing the mixing and compaction temperature [7]. Wax modification may also have a positive healing effect on bitumen, thus improving the long-term performance of asphalt pavements [8-9]. Crystallizing wax in bitumen may have negative effect on bitumen properties and may increase the sensitivity to plastic deformation or cracking in asphalt pavement. Thus wax crystallization and melting in bitumen is considered an important issue when it comes to quality and performance [10].

## ***1.2 The Importance of Understanding the Fundamental Behaviour***

Thermorheological behaviour of bitumen is an important factor for understanding the performance-based optimization of asphalt mixture. The temperature dependent rheological behaviour of bitumen depends on the chemical structure and intermolecular associations (structuring) [4]. This structuring is largely responsible for the physical properties of bitumen thus the prediction of the performance of asphalt pavements should also directly be related to this [2, 11]. Structuring may occur at various ranges from molecular to macroscopic but most of the asphalt researchers have concerned themselves with the microstructures of the bitumen itself. Bitumen is a complex mixture of molecules of different size and polarity, for which microstructural knowledge is still rather incomplete. Once we have knowledge at that level, by upscaling material parameters from microscale observations, a multiscale model can be proposed which will allow us to predict cracks in the pavement, based on the fundamental knowledge on smaller scales.

From extensive atomic force microscopy (AFM) investigations shown in earlier papers [2-4, 11-14], it has been shown that bitumen has the tendency to phase separate under certain kinetic conditions, leading to a predominant clustering of two types of phases, illustrated in Figure 2. To ensure that the found phenomena of phase separation in bitumen is not a side effect of sample preparation or a surface effect in the AFM, several other experiments were also performed, among which an extensive neutron scattering study [12]. From mechanical considerations, it is known that the interfaces between two materials with different stiffness properties serve as natural stress inducers. This means that when the material is exposed to mechanical and or environmental loading, these interfaces will attract high stresses and are prone to cracking.



**Fig. 2.** Topographic 2D (left) and 3D right AFM image ( $19\mu\text{m} \times 19\mu\text{m}$ ) of bitumen indicating evidence of microstructures

Thus, it would be of great interest to understanding the conditions under which this phase behaviour occurs and the speed, or mobility, of this microstructure appearance. For this reason, this paper is presenting preliminary results of an on-going project to characterize bitumen behaviour on multiple scales.

### 3 Experimental Study

#### 3.1 Material

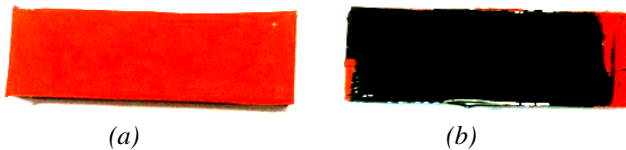
An unaged bitumen was used in this study provided by Nynan Bitumen, Sweden. The physical-chemical properties of this bitumen are presented in Table 1. The penetration and softening point were measured in accordance with the European standards EN 1426 and EN 1427, respectively. The chemical characterization (SARA analysis) of bitumen was done by using the thin-layer chromatography with flame ionization detection (TLC-FID) of which the detail procedure can be found in a previous study [15].

**Table 1.** Physical chemical properties of bitumen

	Characteristics	Result
Physical properties	Penetration ( $25^{\circ}\text{C}$ , 0.1 mm)	86
	Softening point ( $^{\circ}\text{C}$ )	46.4
Chemical components	Saturates (%)	11
	Aromatics (%)	55
	Resins (%)	19
	Asphaltenes (%)	15

### 3.2 Atomic Force Microscopy (AFM)

As described in earlier section, a detailed knowledge of microstructure is needed to understand the physico-chemistry of bitumen, which can serve as the direct link between the molecular structure and the rheological behaviour. Optical microscopy techniques have been employed to have a better understanding and visualization of bitumen microstructures [10]. However, because of the opacity and adhesive properties of bitumen, optical microscopy has not received much attention from the asphalt industry. To overcome some of the limitations of optical microscopy, researcher in the asphalt field have chosen to use scanning probe microscopy such as the AFM. AFM is capable of measuring topographic features at atomic and molecular resolutions as compared to the resolution limit of optical microscopy of about 200nm. Moreover, AFM has the advantage of imaging almost any type of surface which opens the window for investigating micro-cracks due to thermal fatigue.



**Fig. 3.** (a) Silicon bar of 60mm  $\times$  20mm  $\times$  7mm (b) bitumen film on top of it

*Sample preparation:* In this study, AFM (using a VECO Dimension 3100 device) was used for the microstructural characterization of the investigated bitumen. Approximately 30mg of hot bitumen was carefully placed on a rectangular silicon bar (60mm  $\times$  20mm  $\times$  7mm) and it was spread out with a blade to form a relatively thin film, as shown in Figure 3. Then the bitumen film was cooled to room temperature and covered to prevent dust pick-up. After that the sample was annealed at 25°C for a minimum of 24 h before imaging [13]. The scanning was carried out in tapping mode at room temperature (25°C) and scan rate was 1Hz. With Veeco Nanoprobe TM cantilevers with spring constant 40N/m and resonant frequency 300 kHz. AFM images were acquired at several locations on the sample surface to have complete information of the bitumen sample.

### 3.3 Thermal Fatigue Procedure

The bitumen film over the silicon bar was then subjected to thermal fatigue with heating and cooling cycles. A freezer was used to regulate the low temperature at -20°C and a room with controlled temperature at 25°C was used for thawing. The experimental method of thermal fatigue cycles (each cycle took 40 minutes) in this study is explained in the Figure 4. In between each thermal cycle the sample was subjected to additional tensile stress. This was done by bending at the mid-point of the silicon bar at a controlled angle of 3 degrees. The sample underwent 15 cycles of thermal loading; after the last freezing, the sample was placed under the AFM to investigate change in micro-structure due to the thermal fatigue.

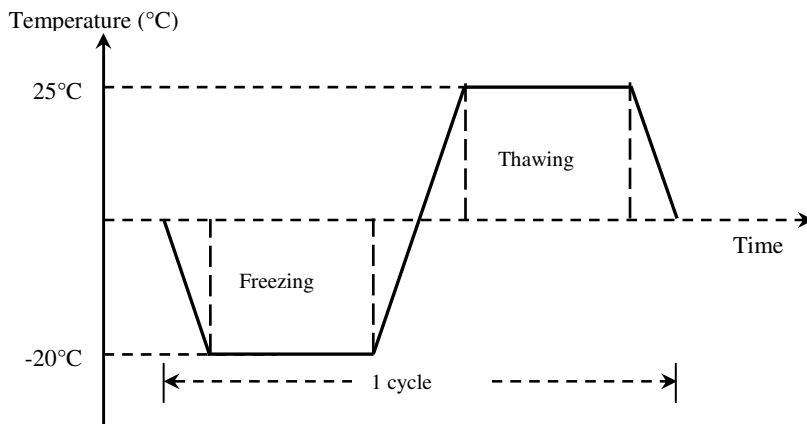


Fig. 4. Thermal loading cycle

### 3.4 Differential Scanning Calorimetry (DSC)

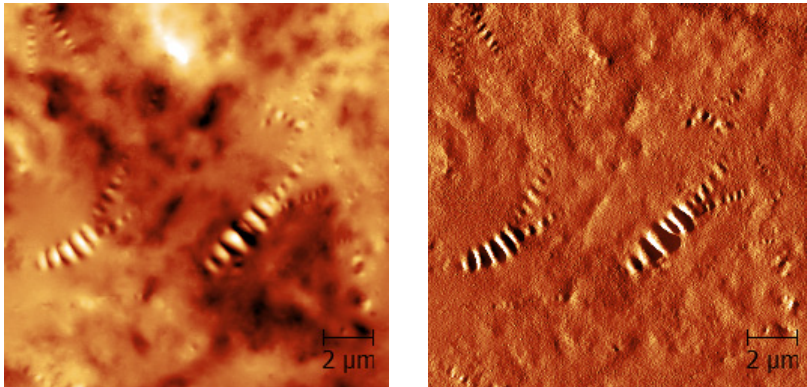
DSC analysis was conducted to obtain the heat flow characteristics of bitumen. The tests were performed using a TA instrument (model TA Q2000) equipped with a refrigerated cooling system. Approximately 15 mg of bitumen was scraped from the sample container and hermetically sealed into DSC sample pan. The sample pan was then placed horizontally on a hot plate at 80°C for 15 minutes to let the bitumen touch the pan bottom and also level out the surface. After that the sample was annealed at 25°C for a minimum of 24 h. The sample was cooled from 25°C to -80°C and kept at this temperature for 5 min. Data was recorded during heating from -80°C to +90°C and cooling from +90°C to -80°C. Finally, a second heating was done from -80°C to +90°C and data was recorded. In total, for each sample one cooling and two heating scans data was recorded. The heating and cooling rate was 10°C/min. Wax content was determined from endothermic pick during the heating scan and while calculation a constant melting enthalpy of 121 J/g was used as reference [10].

## 4 Results and Discussions

### 4.1 Basic Morphology

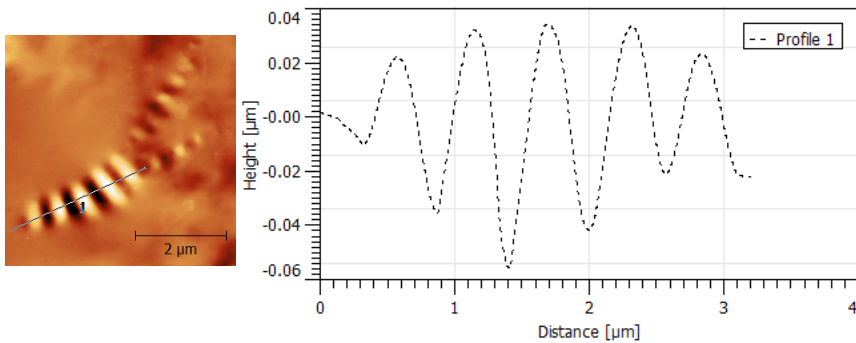
The basic morphology of the bitumen was investigated in a clean room environment with a controlled temperature. Typical topographic and phase contrast image of the bitumen surface obtained at 25°C are presented in Figure 5, where one can easily observe the existing of phase separation in the bitumen matrix as reported earlier by several researchers [2-4, 11-14]. The rippled microstructures are also observed which are often referred to as bee-structures. The pale and dark lines indicate rise

and drop of the topographic profile against the background, which are also known as peaks and valleys, respectively. A phase shift less than  $10^\circ$  can be detected between the bright and darker network. The shades in the phase contrast images indicate the relative stiffness of the phases as obtained from sample-tip interaction. The greater sample-tip interaction results softer phase and dark shade in the phase image. Thus, the evidence of different stiffness in the bitumen matrix can also be observed.



**Fig. 5.** AFM topographic (left) and phase contrast (right) images of bitumen at 25°C (15μm × 15μm)

All of the previous research [2-4, 11-14] on bitumen under AFM mentioned the so called bee-shaped structures, thus it would be a great interest to zoom into bees to have a clear idea. Figure 6 shows a line profile along a bee which illustrates the earlier mentioned higher and lower parts of the bee-shaped structures. The mean topographical change and the distance between higher parts of bees are recorded as 68 nm and 567 nm, respectively. These measured values are consistent to those reported by Loeber et al. (1996), Jäger et al. (2004) and Masson et al. (2007) [2,3,14].



**Fig. 6.** Topographic image (5μm × 5μm) and a line profile along bee-shaped structure

## 4.2 DSC Characterisation

DSC has widely applied to determine glass transition temperature, wax content, wax crystallisation starting and melting out temperature of bituminous materials. In the case of bitumen, the interpretation of DSC curve is rather complicated since there are several overlapping phenomena to consider. Bitumen is a complex mixture of different molecules thus the glass transition phenomenon of bitumen occurs over a large temperature range. It can be seen from heat flow diagram (cf. Figure 7), the glass transition stated at around  $-40^{\circ}\text{C}$  and followed by a weak exothermic effect around  $-10^{\circ}\text{C}$  caused by cold crystallization of wax which could not crystallize through the cooling cycle due to limited mobility. In the cooling cycle, an exothermic transition occurs at around  $+50^{\circ}\text{C}$  which usually is interpreted as starting of crystallisation. It can be also found that the crystallization takes place during a range of temperatures, indicating the presence of molecules with different crystallization points. At around  $+40^{\circ}\text{C}$  to  $+60^{\circ}\text{C}$  the wax completely melted. The wax content according to DSC was found 6.2%.

According to Pauli et al. (2011) [4], this wax crystallization is influencing for much of the development and appearance of microstructures, including the well-known bee-structures (cf. Figure 5). In addition, paraffin waxes could exhibit crystalline forms at ambient temperature, which could also responsible for micro-structuring. Thus, the appearance of surface structuring is related to the wax types and concentrations. It is important to consider wax crystallization of bitumen used in asphalt pavement because it occurs in a temperature range that coincides with the pavement service temperature. Moreover, it is highly probable that wax crystallization affect low temperature properties of bitumen.

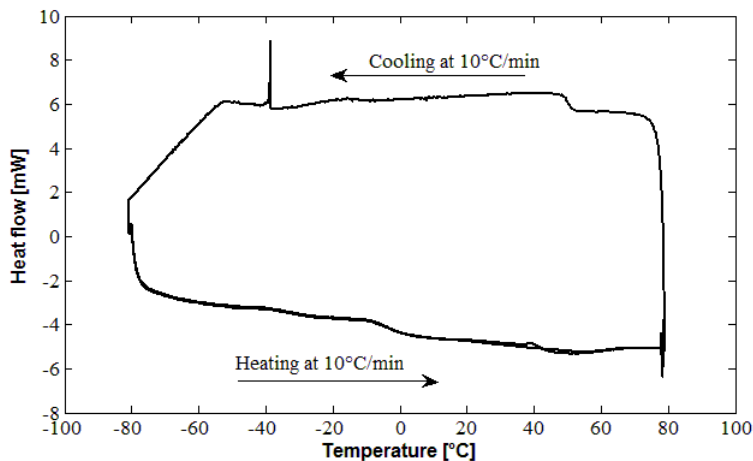
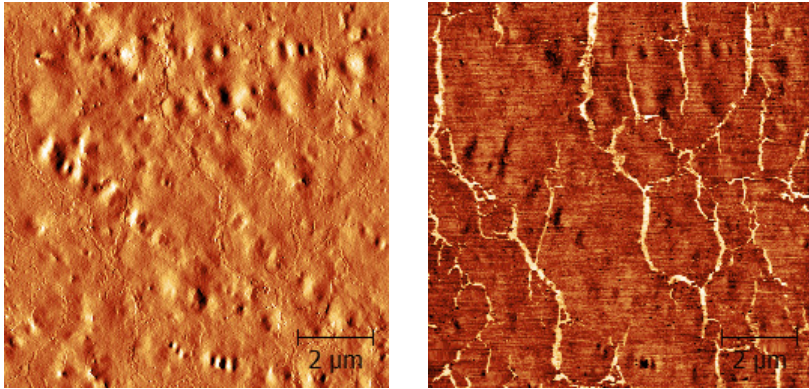


Fig. 7. Heat-flow diagram by DSC



### 4.3 AFM after Thermal Fatigue Cycles

From the above discussion, it can be concluded that bitumen has the tendency to phase separate out structures with predominantly wax. The interface between different phases with different stiffness could generate high stresses due to environmental or mechanical loading. In this study, the sample was exposed to thermal cycles and controlled tensile stresses thus the degraded material properties results into micro-cracks (crazing pattern), as depicted in Figure 8. If this process would continue, these micro-cracks would continue developing and finally form macro-crack.



**Fig. 8.** Evidence of micro-crack through AFM scanning: topographic (left) and phase contrast image (right)

## 5 Conclusions

Atomic force microscopy was used to reveal the microstructures of the bitumen surface which was subjected to both thermal fatigue and controlled stress. As expected, the micro-cracks were found at the interface of the different phases due to stress concentration. This phenomenon proves bitumen itself may also crack under certain kinetic conditions. In addition, wax crystallization is a very important factor to understand the physico-mechanical behaviour of bitumen as micro structuring is associated with it.

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# The Study on Evaluation Methods of Asphalt Mixture Low Temperature Performance

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**Abstract.** In this paper, beam bending test, thermal stress restrained specimen test (TSRST) and direct tensile relaxation test were adopted to evaluate two categories (AC and SMA), six kinds of asphalt mixtures low temperature performance.

It can be concluded from test results that low temperature cracking resistances performance of asphalt mixture evaluated by the index of bending strength is not consistent with the failure strain obtained from beam bending test, it is because the low temperature performance of asphalt mixture determined by many factors, not only determined by strength characteristics or deformation capacity. By the same token, relaxation time obtained from direct tensile relaxation test cannot be used to evaluate the low temperature performance of asphalt mixture;

The curve of strain versus stress can be obtained through the beam bending test of asphalt mixture. The greater bending the strain energy density, the better low temperature performance. From the regression analysis it can be found that there is a good correlation between the bending strain energy density and fracture temperature. This correlation shows that the critical values of bending strain energy density can be used to determine the low temperature performance of asphalt mixture in the absence of fracture temperature.

## 1 Background

Low temperature cracking induced by seasonal and daily thermal cyclic loading is one of the main critical distresses in asphalt pavements which is a significant cause of premature pavement deterioration and quite harmful to the service life and quality of the road [1, 2]. Therefore, how to improve the anti-cracking performance of asphalt pavement is a hot research filed, and how to evaluate low temperature anti-cracking performance accurately is the most important premise [3-5]. To understand the behavior of asphalt mixture at low service temperatures and to predict their field performance, a constitutive stress-strain relationship must be described. For this purpose, many models were established [6-8]. To evaluate low temperature performance of asphalt mixture, various methods are developed and many researches are carried out [9-11].

From the literature reviewed it can be found that lots of work focuses on the properties of asphalt mixture and the influence factors at the low temperature, few of them compared the direct tensile relaxation test results with other test results.

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\* Corresponding author.

## 2 Objective

Through comparison of the test results and analysis of the relationship between indices obtained from three kinds of tests, an index which can reflect low temperature performance of asphalt mixture accurately will be proposed.

## 3 Materials and Methods

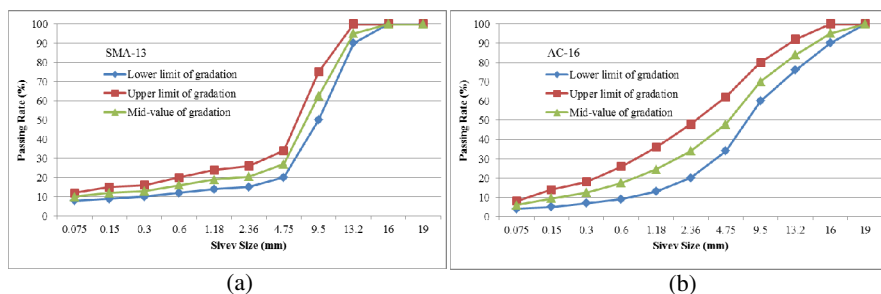
### 3.1 Materials Used

**Asphalt.** Three types of asphalt binders namely AH-90, diatomite modified asphalt and SBS modified asphalt were used in this study. The basic properties of the studied binders as per Chinese specifications (JTJ052-2000) are shown in Table 1.

**Table 1.** The Basic Properties of Asphalts

Evaluation index		AH-90	Diatomite modified asphalt	SBS modified asphalt
Penetration, 100g, 5s (0.1mm)	25°C	91	79	95
Ductility 5cm/min (cm)	10°C	>100	36.5	>100
	15°C	>140	54.8	>100
Softening Point $T_{R\&B}$ °C	--	44.8	47.6	74

**Aggregate and grading.** Figure 1 shows the recommended gradation limits by Chinese specifications (JTGF40-2004) for dense graded asphalt mixture (AC-16) and SMA mixture (SMA-13) and the selected gradation in this research was in the middle of the limits.



**Fig. 1.** The grading curve used in test: (a) the gradation of AC-16 and (b) the gradation of SMA-13

The properties of the aggregates are presented in Table 2 and Table 3.

**Table 2.** Mechanical Indexes of Coarse Aggregate

Index	Test results		Technical requirements
	10-20mm	5-10mm	
Crushing value %	11.2	—	≥ 28
Los Angeles abrasion value %	16.3	—	≥ 30
Water Absorption %	0.48	0.51	≥ 2.0
Apparent density (g/cm <sup>3</sup> )	2.896	2.885	≤ 2.60
Asphalt Adhesion	5		≤ 4
Elongated particle contents %	8.5	8.6	≥ 15

**Table 3.** Density of Different Size Aggregate

Mesh Size (mm)	16	13.2	9.5	4.75	2.36
Density (g/cm <sup>3</sup> )	2.815	2.770	2.783	2.768	2.753
Mesh Size (mm)	1.18	0.6	0.3	0.15	0.075
Density (g/cm <sup>3</sup> )	2.715	2.765	2.703	2.727	2.625

**Mixture design.** The mix design procedures for AC-16 and SMA-13 in this paper were determined as per the Chinese specification JTJ052-2000 (T0703-1993). Locally available materials that meet the normal AC-16 and SMA-13 specifications were used to produce the mixes. Six kinds of asphalt mixture (A, B, C, D, E and F) are made under the condition of optimal asphalt content to maintain consistency through the study. The results are shown in the following Table 4.

**Table 4.** The Category of Asphalt Mixtures

Grading type	Asphalt type	OAC (%)	Mixture ID
AC-16	AH-90	4.7	A
	Diatomite modified asphalt	5.4	B
	SBS modified asphalt	5.3	C
SMA-13	AH-90	6.0	D
	Diatomite modified asphalt	6.5	E
	SBS modified asphalt	6.2	F

It should be mentioned that diatomite as a modifier used in asphalt can improve the performance of asphalt mixture.

### 3.2 Performance Tests

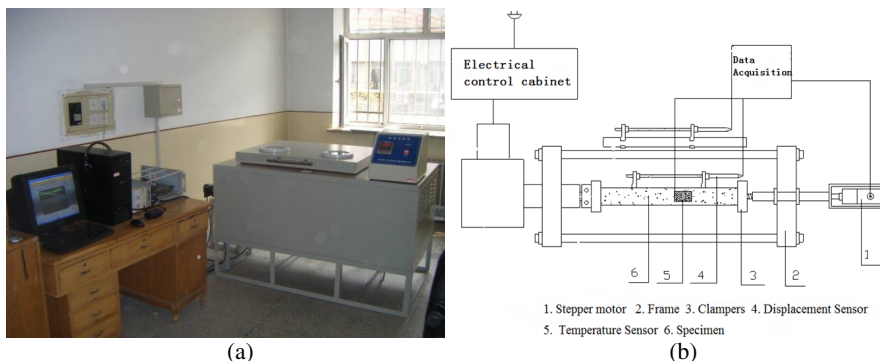
Three performance tests in the laboratory were adopted. The tests performed were beam bending test (three points bending test), Thermal Stress Restrained Specimen Test (TSRST) and direct tensile relaxation test.

Beam bending test was carried out as per Chinese specification with Materials Testing System (MTS-810). Through this test bending strength and bending strain can be obtained, its calculation equations are shown as follow.

$$R_B = 3LP_B / 2bh^2, \varepsilon_B = 6hd / L^2 \quad (1)$$

In which,  $R_B$  is bending strength (MPa);  $\varepsilon_B$  means bending strain;  $P_B$  is peak of load (N);  $d$  is the deflection when specimen destroyed (mm).

Thermal Stress Restrained Specimen Test (TSRST) and direct tensile relaxation test are carried out using the developed equipment by highway lab in Harbin Institute of Technology (HIT) and as shown in Figure 2. This equipment consists temperature control cabinet (-60 °C to 20 °C with  $\pm 0.5$  °C accuracy). TSRST and direct tensile relaxation test were done on small beams of the dimension 220 × 35 × 35mm.



**Fig. 2.** TSRST Equipment: (a) TSRST Equipment and (b) schematic diagram of TSRST equipment

From the curve of Time-Stress, relaxation time and relaxation modulus can be obtained. Relaxation module were calculated as follow:

$$Q(t) = \frac{\sigma(t)}{\varepsilon} \quad (2)$$

The definition of relaxation time in this paper is that the total time of the stress reduces to 50% initial stress. Three of tests were to monitor the low temperature behavior of mixes.

## 4 Results and Discussion

### 4.1 Thermal Stress Restrained Specimen Test Results and Discussion

Thermal Stress Restrained Specimen Test results are shown in Table 5.

**Table 5.** The Summary of TSRST Results

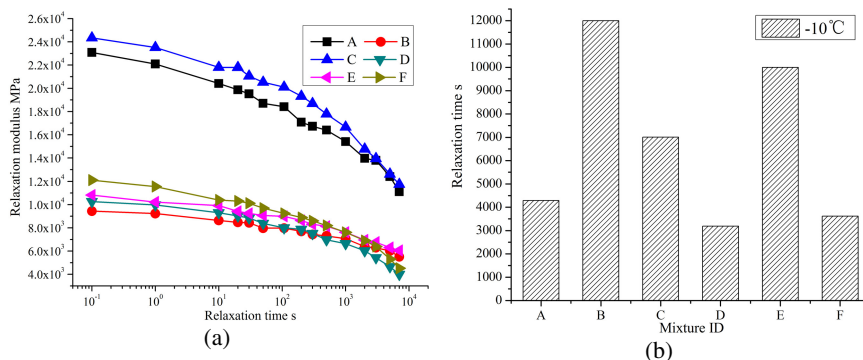
Evaluation index	Mixture ID					
	A	B	C	D	E	F
Fracture temperature (°C)	-21.4	-24.5	-26.1	-23.5	-25.3	-30.1
Coefficient of variation (%)	6.58	4.16	5.24	2.13	2.20	2.79
Fracture strength (MPa)	3.96	4.93	4.96	3.37	4.52	4.56
Coefficient of variation (%)	13.0	7.0	10.8	12.2	15.2	18.9
Transition temperature (°C)	-16.2	-17.7	-17.8	-18.3	-20.1	-20
Coefficient of variation (%)	4.05	2.04	2.25	8.84	5.12	2.88
Slope (MPa/°C)	-0.444	-0.39	-0.361	-0.305	-0.436	-0.287
Coefficient of variation (%)	22.9	10.8	28.8	34.5	30.4	17

Because TSRST can simulate the actual material properties with the temperature changes as well as the fracture temperature evaluation index has explicit meaning, it was selected as reference in this paper.

It can be inferred from Table 5 that different kinds of asphalt mixtures have different growth slope, and it may result in different fracture temperature. Table 5 also shows that the low temperature performance of the six asphalt mixtures results ordered from F as higher value to A as lower one (F > C > E > B > D > A). From test results, an interesting phenomenon can be found in both AC asphalt mixture (A, B and C) and SMA asphalt mixture (D, E and F), the asphalt mixture mixed with neat asphalt has the worst low temperature performance and the asphalt mixture mixed with SBS modified asphalt has the best low temperature performance. It illustrates that the asphalt type has a great effect on the low temperature performance of asphalt mixes, and the modified asphalt can increase the low temperature performance of asphalt mixes.

## 4.2 Direct tensile Relaxation Test Results and Discussion

Direct tensile relaxation test results are shown in Figure 3.



**Fig. 3.** Direct tensile relaxation test results ( $-10^\circ\text{C}$ ) (a) the relaxation curves of asphalt mixtures and (b) the relaxation time of different asphalt mixtures

From Figure 3 (a) it can be found that the six mixes have different relaxation abilities at the same tensile stress level. The neat asphalt mixes (A and D) and SBS modified asphalt mixes (C and F), the SMA asphalt mixes have a lower relaxation modulus than AC asphalt mixes and it also can be inferred from Figure 3 (b) that the SMA asphalt mixes have a less relaxation time than AC asphalt mixes. It may be because the OAC of SMA asphalt mixes are higher than AC asphalt mixes', that is the SMA asphalt mixes are softer than AC asphalt mixes at the same low temperature, which results in the lower relaxation modulus and less relaxation time.

Relaxation time can reflect the asphalt mixture relaxation ability. The shorter relaxation time means the better ability of stress relaxation. Under the low temperature condition, the better relaxation ability will reduce the temperature stress faster, which may lessen the low temperature cracking. From Figure 3 it can be inferred that the relaxation time of the six asphalt mixtures ordered from B as higher value to D as lower one ( $B > E > C > A > F > D$ ). Analyzing the results can be found that the diatomite modified asphalt mixtures have the longest relaxation time. From the definition of relaxation time, the B and E mixes have the worst low temperature property, and the A and D mixes have the best low temperature property. But considering the results of TSRST, there are some conflicts. In the TSRST, the F and C mixes are proved to be the best and the A and D mixes are the worst. That is because the relaxation time only reflect one aspect low temperature property of mixes, however, as known, the low temperature property of mixes are determined by many factors. So the results from direct tensile relaxation cannot be used to evaluate the low temperature performance of asphalt mixture but can be a reference with other index.



### 4.3 Beam Bending Test Results and Discussion

Beam bending test results are shown in Figure 4.

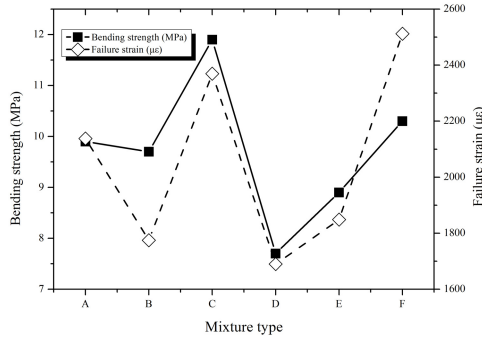


Fig. 4. Beam Bending Test Results

It can be concluded from Figure 4 that low temperature cracking resistances performance of asphalt mixture, evaluated by the indexes of bending strength and failure strain obtained from beam bending test, are not consistent. It is because the low temperature performance of asphalt mixture determined by many factors, not only determined by strength characteristics but also deformation capacity, any one of them is inappropriate to evaluate asphalt low temperature performance.

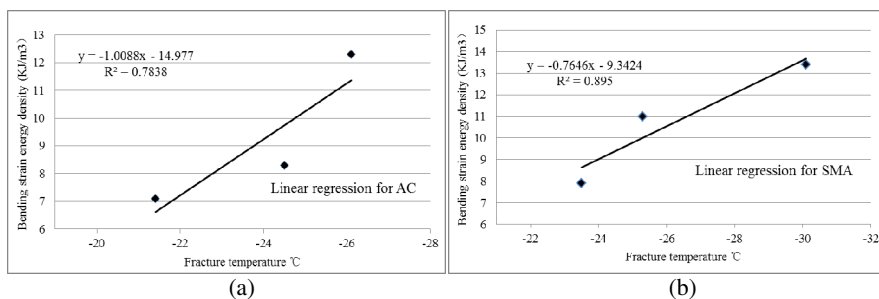
According to the material damage principle, the crack is due to the energy accumulated greater than the critical value, so the strain energy density of asphalt mixture is much better than other and adopted to evaluate the low temperature performance in this study.

The strain energy density of asphalt mixture obtained from beam bending test, and the area under the strain – stress curve when the beam is failure is the bending strain energy density of asphalt mixture. The greater bending strain energy density, the better low temperature performance. The critical values of bending strain energy density of the above six kinds of asphalt mixture are shown in Table 6.

Table 6. The Critical Value of Bending Strain Energy Density of Asphalt Mixtures

Mixture type	A	B	C	D	E	F
Critical value of bending strain energy density (KJ/m <sup>3</sup> )	7.1	8.3	12.3	7.9	11.0	13.4

The order of bending strain energy density results is the same to the TSRST results. In order to further study the relevance between fracture temperature and bending strain energy, the linear regression method is adopted. The regression results are shown in Figure 5.



**Fig. 5.** The linear regression results between fracture temperature and bending strain energy for AC and SMA: (a) the results of AC-16 and (b) the results of SMA-13

From Figure 5 it can be found that the relevance between fracture temperature and bending strain energy, both AC asphalt mixes and SMA asphalt mixes, is good, respectively 0.7838 and 0.895. This results illustrate bending strain energy can be used to evaluate the low temperature performance of asphalt in the absences of TSRST.

## 5 Conclusions and Further Work

From the above discussion, some conclusions can be made as to the materials used in this research. These conclusions are:

1. From the TSRST results, it can be found that the materials used in this study that SBS modified asphalt can improve the low temperature performance of asphalt mixture significantly, the fracture temperature is 7 °C lower than the neat asphalt mixture; The diatomite modified asphalt has the similar effect.
2. From the direct tensile relaxation test results, it can be found that the six mixes have different relaxation abilities at the same tensile stress level. The SMA asphalt mixes have a lower relaxation modulus and a less relaxation time than AC asphalt mixes.
3. From the beam bending test, it can be concluded that the low temperature property evaluated by the indexes of bending strength and failure strain are not consistent, it is because the low temperature performance of asphalt mixture is determined by many factors, not only determined by strength characteristics or deformation capacity. By the same token, relaxation time obtained from direct tensile relaxation test cannot be used to evaluate the low temperature performance of asphalt mixture, but it can be a reference with other index.
4. From the relevance analysis between fracture temperature and bending strain energy, it can be found there is a good relevance between them. So when the TSRST is in the absence, bending strain energy can be used to evaluate the low temperature performance of asphalt mixtures.

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# Permanent Deformations of WMAs Related to the Bituminous Binder Temperature Susceptibility

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**Abstract.** The purpose of this study is to evaluate the growth of permanent deformations in Warm Mix Asphalts related to their binder temperature susceptibility. Rutting builds up in the pavement under the repeated loading generated by the traffic along the wheels path. The distress develops in two different ways, the pre-consolidation rutting, deformation at variable volume, and the instability rutting, deformation at constant volume. These phenomena are directly related to the loading time, the shape of aggregates, the content of air voids, the type of binder and its temperature susceptibility. This study focuses on the binder contribution to rutting when subjected to high temperature variation. An accurate laboratory investigation was completed to assess how this material property influences the different propagation of permanent deformations. The EN 12697 - 22 Wheel Tracking test was performed at three different temperatures (40 - 50 - 60°C) on two gap graded asphalt mixtures. In order to evaluate how, at these temperatures, the properties of the bitumen act on the asphalt concrete tendency to exhibit permanent deformations, a series of DSR rheological tests were also conducted.

## 1 Introduction

Permanent deformation (rutting) is a common form of pavement distress. Since today researchers have used different fundamental, empirical and simulative tests to evaluate the rutting potential of asphalt concretes. The main aim of this research is to provide a deeper insight into the contribution to the permanent deformation resistance coming from the different components of asphalt mixtures, related to their temperature susceptibility.

A large portion of the rut resistance of a mix is attributable to the inter-granular friction of the aggregate particles. The binder holds the aggregates together, but as the temperature increases, its stress dependency changes and the binder properties become prevalent in defining the rut resistance. To confirm how the asphalt components influence the rutting behavior in relation to their temperature

dependence, a series of laboratory tests were conducted on a Warm Mix Asphalt (WMA) and on a PmB Hot Mix Asphalt (PmB HMA).

Two gap graded mixtures, with a maximum nominal size of 11 mm, have been chosen to conduct this research, these mixes are based on the volumetrics of a typical SMA used in Italy for road construction. The binder content and type were varied to observe the different behavior of a traditional PmB and a Warm Binder. Resistance to permanent deformations was evaluated using both the Wheel Tracking tests at different temperatures on compacted slabs and a series of DSR tests on the sole bituminous binders.

## 2 Background on Permanent Deformations

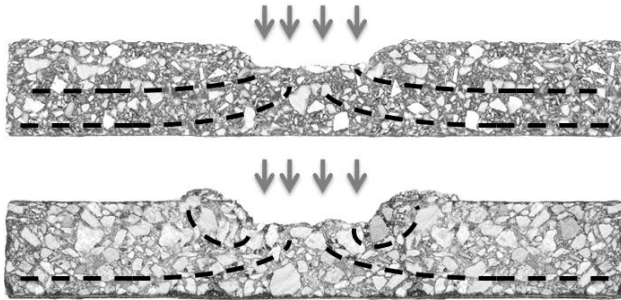
The permanent deformation of asphalt concrete has a major impact on the performance of a pavement throughout its life. Rutting not only reduces the service life of pavements, but it may also affect basic vehicle handling, which can be hazardous to all road users. Rutting develops gradually as the number of load applications increases and it appears as longitudinal depressions in the wheel paths. It is caused by a combination of densification and shear deformation inside the asphalt mixture [1].

The densification is the further compaction of asphalt mixtures generated by traffic after construction. When compaction is poor, the channelized traffic provides a repeated loading action in the wheel path and completes the consolidation. A considerable amount of rutting can occur if thick layers of asphalt are consolidated by the traffic especially when the initial air voids content is higher. The lateral plastic flow of the asphalt mixtures due to wheel tracks results in rutting. The use of excessive binder in the mix causes the loss of internal friction and cohesion between the aggregates, what provokes that traffic loads are supported by the asphalt cement rather than by the aggregate structure. Plastic flow can be minimized using large size aggregates, angular and rough textured coarse and fine aggregates, stiffer binders, as well as by providing suitable compaction during construction [1].

Under hot conditions or under sustained loads, asphalt binder behaves like a viscous liquid and flows. Viscous liquids are sometimes called “plastic” because, once they start flowing, they do not return to their original position. This is why, in hot weather, some asphalt pavements flow under repeated wheel loads and wheel path ruts appear (*Figure 1*). However, the rheological behavior of the binder phase has a great importance on the mobility of aggregates. It is known that bitumen is thermo-sensitive. At low temperatures, visco-plastic deformation is less important and is even blocked due to stiffening of the binder. Nevertheless, when increasing the temperature, the lubricating effect of bitumen increases and promotes visco-plastic deformations [1].

The bitumen temperature susceptibility may be evaluated by measurements of various viscous and elastic parameters (e.g., storage and loss modulus, dynamic, and complex viscosities) at different temperatures and frequencies [2]. Asphalt mixtures containing binders with lower temperature susceptibility should be more resistant to cracking and rutting at low and high temperatures, respectively. Temperature susceptibility is usually defined as the change in binder properties as

a function of temperature. Since binder properties may be characterized by means of various parameters, different approaches have been proposed to evaluate the temperature susceptibility [3].



**Fig. 1.** Pre – consolidation Rutting (above), Instability Rutting (below)

## 2 Experimental Program

In the present study two mixtures with the same gradation and different binders were compared. The permanent deformations resistance was evaluated by means of a series of WT tests conducted on compacted slabs. The binder influence on the rutting resistance was defined via rheological tests.

### 2.1 Mixtures Characterization

The aggregates gradation used for both mixes is a typical gap graded curve (Table 1). This kind of mix is widespread in Italy and known as Splitt Mastix Asphalt (SMA). The aggregates sources are calcareous with a maximum nominal size of 11 mm.

**Table 1.** Mixes gradation

Sieve [mm]	16	11	8	5.6	4	2	1	0.5	0.25	0.125	0.0063
Passing [%]	100	95.4	80.5	65.3	52.8	37.9	25.1	17.4	12.7	8.9	6.2

### 2.2 Binder

The tested binders are commonly used in Italy to produce wearing course mixtures, their properties are summarized in table 2. Both asphalt cements were obtained from a single base bitumen modified by a SBS polymer (5% in weight). Furthermore, one bitumen was additivated with a wax in order to evaluate its

temperature susceptibility in comparison to the unadditivated binder. The wax used in this study is the Sasobit®, produced by Sasol Wax (Germany). It is classified as a modifier or asphalt flow improver. It has a long chain aliphatic hydrocarbon and it is obtained from coal gasification using the Fischer-Tropsch process. It melts after mixing at 85° to 115°C causing a marked reduction of the binder viscosity. The manufacturer reports a reduction in mixing and compaction temperatures of 30 to 50°C [4].

**Table 2.** Binders properties

Property	Binder A	Binder B	Eur. Stand.
Penetration [dmm]	35	32	EN 1426
Softening Point [°C]	78	80	EN 1427
Dyn. Visc. @ 160°C	0.75 Pa s	0.45 Pa s	EN 13701 – 2
Breaking Point [°C]	-16	-15	EN 12593
Pol. Mod. SBS [%]	5.00	5.00	-
Wax [%]	-	2.00	-

### 2.3 Mix Design

In this study the mixes are compacted in accordance to EN 12697 – 33, with a standard roller compactor. The optimum binder content was evaluated to achieve the target air voids of 6.00%. The bitumen amount varied of about 0.4 % between Mix A and Mix B, the binder content is referred to the mass of aggregates. The 6.00% target air voids was chosen as it is a common requirement in many Italian specifications for wearing courses (*Table 3*).

**Table 3.** Mixture properties after compaction

Property	Mix A	Mix B	Eur. Stand.
Binder content [%]	6.80	6.40	EN 12697 – 1
Max. density [g/cm <sup>3</sup> ]	2.405	2.405	EN 12697 – 5
Air voids [%]	6.03	6.00	EN 12697 – 8

## 3 Analysis of Results

### 3.1 Wheel Tracking Tests

The Wheel Tracking tests were conducted in accordance to EN 12697 – 22. Each mix was tested at three different temperatures: 40, 50 and 60°C. Six slabs were compacted and tested for each mixture.

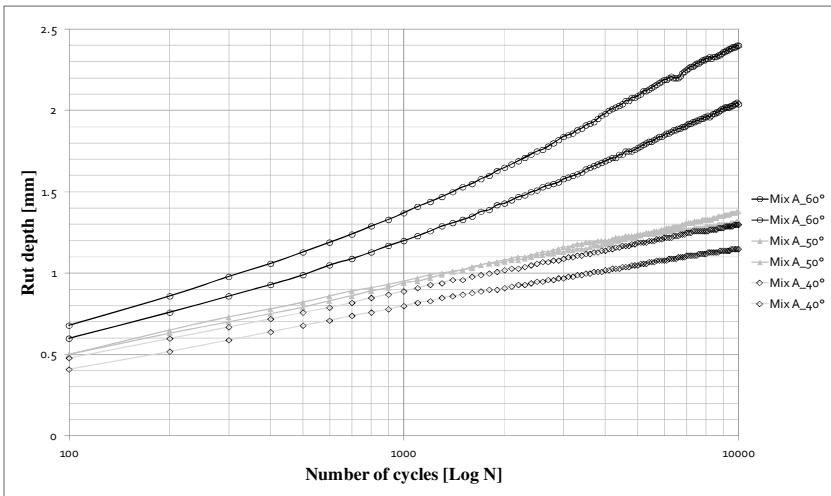


Fig. 2. Mix A\_WT @ 40 - 50 - 60°C

In figure 2 and 3, the rutting curves illustrate the different behaviors of the mixes. The data display a linear trend for both mixtures at 40 and 50°C. It may occur that, at these temperatures, the materials tend to compact under repeated loading with a change in volume. The performance drastically changes with the increase in temperature from 50 to 60°C. Both mixes, darker lines, exhibit a non-linear trend that reveals a higher deformation rate, generating deeper ruts.

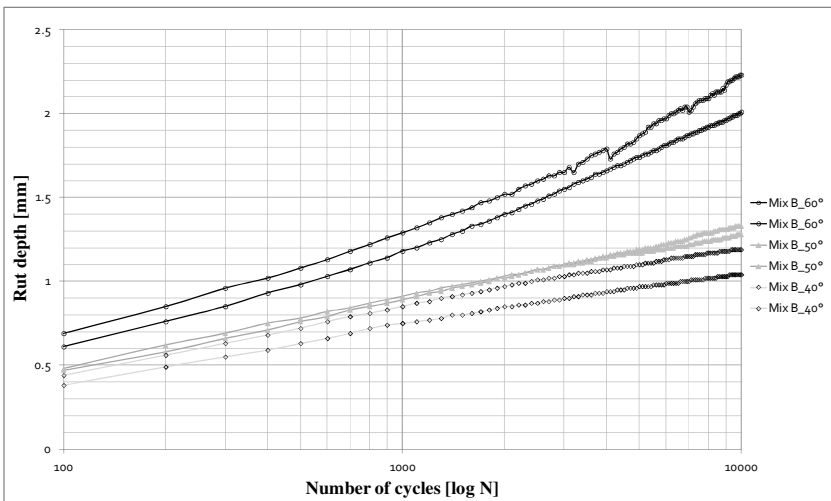


Fig. 3. Mix B\_WT @ 40 - 50 - 60°C



Analyzing the data, the final rut depth accumulated after the test at 40 and 50°C is comparable in the two mixes, even though Mix B has a slightly better performance (*Table 4*). Comparing the average rut depth accumulated at those test temperatures by Mix A and Mix B, the difference ranges from 2 to 9% of the highest value (Mix A).

**Table 4.** Mix A & Mix B\_WT results

Sample	Testing temperature [°C]	Final rut depth [mm]	Average rut depth [mm]
Mix A_1a – 1b	40	1.32 – 1.15	<b>1.24</b>
Mix B_1a – 1b	40	1.04 – 1.19	<b>1.12</b>
Mix A_2a – 2b	50	1.38 – 1.30	<b>1.34</b>
Mix B_2a – 2b	50	1.28 – 1.33	<b>1.31</b>
Mix A_3a – 3b	60	2.40 – 2.04	<b>2.22</b>
Mix B_3a – 3b	60	2.23 – 2.01	<b>2.12</b>

The accumulated deformation at 60°C is the highest: each mix significantly reduces its performance. In both mixes at this temperature, the rut depth increases more than 90% with respect to the correspondent values obtained at 40°C (*Table 4*). The average rut depths at 60°C are similar and their difference is less than 5%.

The WT tests show that also at the highest temperature, the WMA performs better than the HMA, but to confirm the effective increase in performance, it would be necessary to carry out a series of test using different wax contents.

The 2% of Sasobit® was chosen since the optimum percentage of wax addition ranges between 2 and 3% by weight, considering the effectiveness of using such an additive and the overall economics [4].

The different mechanical behavior shown by the mixes at the lowest temperatures (40-50°C), if compared to the highest (60°C), suggests an exhaustive volumetric study. In fact, a mixture rutting resistance is influenced by its binder rheology related to the variation in temperature, as the aggregates interlocking phenomena terminates. The analysis was conducted on the slabs tested with the Wheel Tracking (*Table 5*). The results demonstrate that, at the lowest temperatures, both mixes reduce their air voids content following a re-arrangement of the aggregates skeleton under the repeated loading. Differently, at 60°C, a reduced volume change is recorded, indicating that instability rutting occurs. In this case, the bitumens behavior influences the materials response more than the aggregates arrangement. Consequently the cyclic loading generates an accumulated deformation with the displacement of material and with a reduced volume change [5].

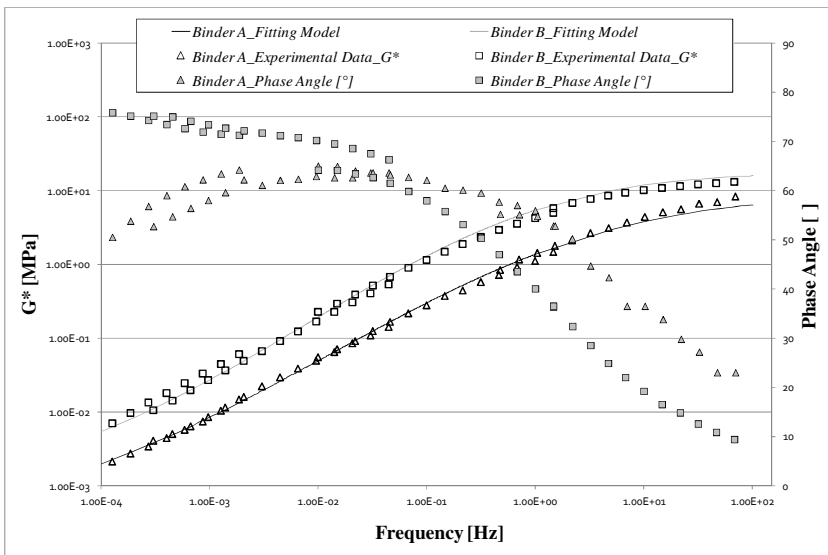
**Table 5.** Volumetric analysis after testing (Initial values, Table 3)

Testing Temp. [°C]	Mix A – Average Air voids [%]	Mix B – Average Air voids [%]
40	5.35	5.53
50	4.83	5.19
60	5.23	5.46

Better to understanding the deformation process at higher temperatures, a series of rheological test were conducted aiming to assess how the bitumens consistency characterizes the mixtures resistance.

### 3.2 Rheology Tests

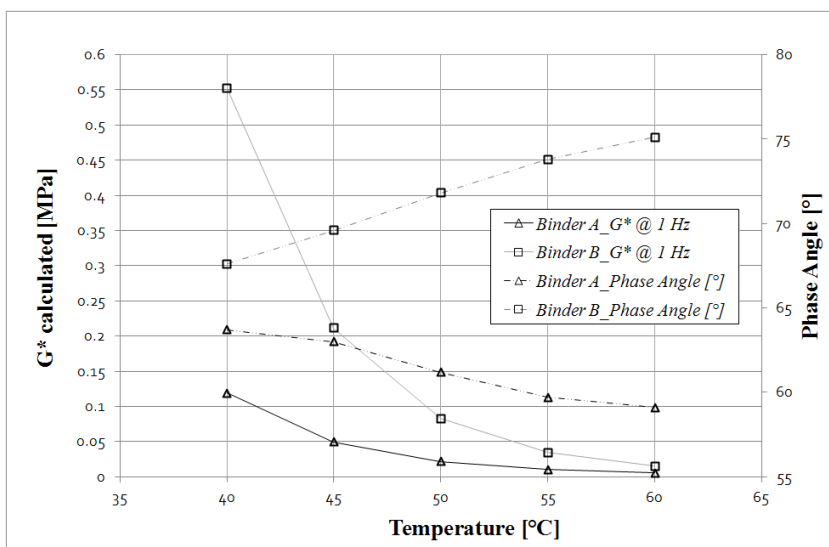
Rutting is more prevalent at high temperatures than at intermediate or low ones; the properties related to rutting should therefore be measured in the upper range of service temperatures. The binder has the leading role on rutting resistance, for this reason a series of DSR tests were performed (two replicates). The 25-mm plate was used and measurements were taken in frequency sweep to cover the range from 0.01 to 1.47 Hz at five different temperatures (5, 10, 25, 40 and 55 °C) and all data were referred to 25°C. Master curves show a comparable materials behaviour even though Binder A has generally a lower  $G^*$  than Binder B within all the frequency range. The same binder plots a phase angle curve typical of



**Fig. 4.** Experimental data and Fitted Master Curves,  $T_{rif} = 25^\circ\text{C}$

PmBs. On the contrary, Binder B has a discontinuous phase angle trend at frequencies below 0.1 Hz, despite it carries an equivalent amount of modifier. This is potentially due to the percentage of wax used to additivate and it could be explained analyzing the wax rheology: at lower frequencies, i.e. higher temperatures, could correspond the wax melting point (*Figure 4*). Successively the experimental data were fitted using a sigmoidal model developed by Medani-Huurman for asphalt concretes [6].

This model has a typical S-shape and to better fit the data, a lower  $G^*_{\min}$  value was chosen. This variable is fitted to better minimize the model root mean square using the solver function in the Excel spreadsheet (*Figure 4*).



**Fig. 5.**  $G^*$  Calculated Temperature Sensitivity Curves @ 1 Hz and Phase Angle

The model permits to obtain the complex modulus  $G^*$  at one reference frequency in correspondence of different temperatures; this representation is also known as Temperature Sensitivity Curve. The chosen frequency is 1 Hz as closest DSR frequency to WT testing. Figure 5 shows that Binder A and Binder B have a different response under temperature variation. The wax bitumen is more susceptible to the increase of temperature, even if the additive generates a raise in shear performance, like shown in the master curves [4].

Analyzing the phase angle data, the trends are different; in Binder A, the value decreases with temperature increase, while opposite is Binder B response. This aspect was met during the Phase Angle-Frequency curves interpretation and was observed during WT testing. In fact, WT results have shown an higher increase of accumulated deformations passing from 50 to 60°C tests.

Better to understanding the mixes behavior, in Figure 6 are shown the trend of the loss modulus  $G''$  and the storage modulus  $G'$  for the tested binders. The  $G''$ , associated with viscous effects, dominates in all the higher temperatures range for both asphalt binders. The wax bitumen  $G'$  decreases of 9% with the temperature variation if compared to the value obtained at 40°C. In the same range, the  $G''$  of Binder A increases of 13%: this reveals an higher viscous behavior and a loss in performance at higher temperatures (Figure 6).

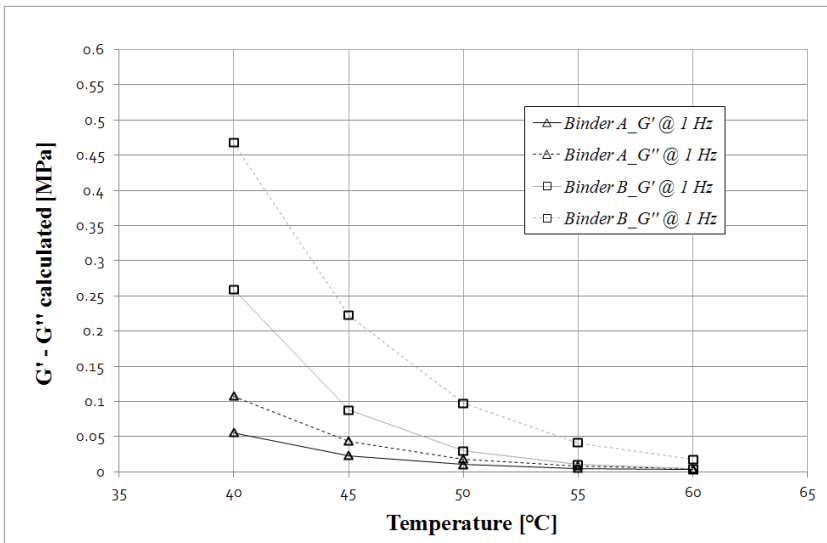


Fig. 6.  $G'$  and  $G''$  Calculated Temperature Sensitivity Curves @ 1 Hz

Although the WMA bitumen has an higher phase angle than the HMA binder, it allows the accumulation of less permanent deformations, as it has been proven with the WT tests. This is mainly due to the higher stiffness of the wax bitumen that are shown in the master curve graphs.

### 4 Conclusions

The results have shown that adding a 2% wax to the studied PmB bitumen improves its mechanical performances. This was confirmed by the results obtained from the Wheel Tracking tests carried out on two different mixes and by the rheological tests on binders.

The WT results have shown that there is a significant change in performance for both mixes when tested at 40-50°C and 60°C. The WMA performs slightly better at all temperatures and tests. The volumetric properties evaluated on the tested slabs, confirm that both mixes change their behavior in accordance with the test temperature. At 40 and 50°C the mixtures are compacted under the repeated

load, the air voids content decreases and a deformation with volume change occurs. At 60°C instead, the rut depth increases for both mixes with a reduced decrease of air voids content. These results suggest that at 40 and 50°C the pre-consolidation rutting is prevalent while at the highest temperature instability rutting develops.

These findings advise to investigate the influence of the bitumen properties on rut resistance. Rheological data show how higher stiffness magnitudes (Binder B) ensure better rutting performances. Although the phase angle of Binder A is lower than that of Binder B and displays an opposite trend (*Figure 5*), the wax affects the binder  $G^*$  Modulus reducing the tendency to accumulate permanent deformations.

It is foreseen a rheological analysis on the wax in order to assess how its amount and melting point are related to the bitumen phase angle at high temperatures.

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# Cracking Resistance of Recycled Asphalt Mixtures in Relation to Blending of RA and Virgin Binder

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**Abstract.** The degree of blending between the reclaimed asphalt (RA) binder and the virgin bitumen during the asphalt recycling mixing process is presumed to greatly influence the performance properties of recycled asphalt mixtures. Studies on the effect of different mixing methods using different quantities of RA in the laboratory, showed that tensile strength of RA mixtures and their fatigue characteristics are affected to some extent by the preheating conditions and moisture content of the RA. The effects however are not very significant. These somewhat unexpected results are believed to be due to the low void content of the mixtures tested but they also might be influenced by the amount of blending that has taken place between the RA binder and the virgin binder.

This paper will report on how well the virgin binder blends with the old binder which is part of the RA. It is believed that by knowing precisely the degree of blending of RA binders, one can develop much more realistic mix designs and modify or select better mixing processes. This will result in more durable pavements.

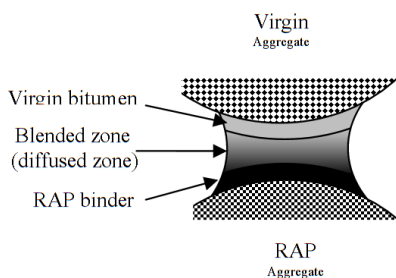
Nano-indentation is employed to measure the mechanical properties of the binder layer and to determine the degree of blending between the hard RA binder and the soft virgin binder. Also the characteristics of the interface zone between binder and aggregate were measured in this way. For this purpose mono sized sea sand particles and glass beads up to 4mm were mixed with a virgin soft and hard bitumen separately to produce different mixtures in order to simulate different levels of blending and interface properties. RA was simulated by mixing aggregate with hard bitumen. Nano-indentation was employed to determine the resilient modulus and hardness at each location and these results were used to determine the degree of blending.

Although current reported nanoindentation results in this paper didn't allow to determine blending degree between two binders; further nanoindentations will be performed on different types of samples to analyze the degree of blending.

## 1 Introduction

Using high amounts of reclaimed asphalt in producing new asphalt concrete mixtures has become common practice in the Netherlands as well as many other

countries. Environmental issues including strict regulations on dumping old asphalt, has resulted in a situation in the Netherlands whereby producing asphalt mixtures for base layers with at least 50% RA has become inevitable. Since RA binder is already hardened due to aging in the pavement during its service life, one need to add 50% soft bitumen to compensate its hardness in order to meet the stiffness requirements defined for hot mix asphalt. Figure 1 shows how RA binder which is still covering the RA aggregates might be blended with the virgin binder that is covering the virgin aggregates.



**Fig. 1.** Blended zone between RA and virgin aggregate

The question however is whether this hypothetical model is correct. In order to check this a full scale trial was made in which virgin aggregate was preheated in the inner drum of a double drum mixer and then mixed with RA in the outer drum of the double drum mixer. The results showed that the virgin aggregate was coated by the binder of the RA. Figure 2 shows how the mixture looked like when leaving the drum. The figure shows that the RA binder is not sticking only to the RA aggregates but also sticks to the virgin aggregate. This simple test showed that the model as proposed in figure 1 might be too simple to simulate reality.



**Fig. 2.** Mixture of 50%RA with virgin aggregate without adding virgin bitumen

However when producing recycled mixtures with a double barrel drum, the hot virgin aggregates first come in contact with the RA before virgin bitumen is added to the mixture. This would imply that in this mixing process both the RA and virgin aggregates are coated with RA binder and afterwards with virgin binder. If this is the case then the principles outlined in figure 1 are still valid.

The grade of added bitumen in the recycled asphalt mixture is usually determined by blending charts or equations such as the log-pen rule assuming that 100% of RA and soft virgin binder will thoroughly and homogeneously blend.

Several laboratory and field studies have however shown that this latter assumption is seldom completely valid [1-5]. Even in some cases designers don't count on any blending in the mixture and they consider the RA aggregates as black rocks. However the experimental results shown in figure 2 indicate that this assumption is not completely true when mixing is done with a double barrel mixer.

Non homogeneous and partial blending of RA with soft virgin bitumen could decrease the fatigue life [6]. These results indicate the necessity of investigating the blending degree between RA binder and virgin bitumen. This includes a study on the effect of the mixing procedures used since each research has followed its own method of mixing and this has strong effect on the extent in which blending happens.

Several researches have been done to determine the blending degree by means of two different approaches being a *Mechanistic* and an *Interface Detection* approach. In the *Mechanistic approach*, they have tried to indirectly determine the amount of blending by comparing rheological properties of RA mixture and ideal mixtures in which extracted RA is already blended with virgin bitumen prior to mixing [7,8,9].

In the *Interface Detection approaches*, blending measurements are mainly done based on studying the diffusion between two binders or between binder and rejuvenator. Stage extraction [4,10], DSR [11] and FTIR-AR tests [12] have often been used to determine the degree of blending degree by measuring the degree of diffusion.

Difference in viscosity, rheology and chemical composition were considered in previous studies to differentiate two different binders. In this study the authors have tried to detect and observe the interface between two binders by differentiating them by means of *nanindentation*. Also fatigue properties of RA mixtures prepared by three mixing methods, are presented in this Paper. Initially the goal of these tests was to study the effect of blending on fatigue properties due to RA preheating and moisture conditions.

## 3 Materials

### 3.1 Materials for Fatigue Testing

30% and 60% crushed RA were used to produce 8 mixtures with three different mixing methods. The first method is the so called "Standard Method" (SM) which is currently used and prescribed in the Netherlands for the design of mixtures containing RA. The disadvantage of this method is that the RA is preheated to a high temperature which is not used in practice. Therefore a second method is used in which the RA is preheated to 130° C as is done in practice by using a parallel drum. This is called the "Partial Warming" (PW) method. The third method is the



so called “Upgraded Method” (UPG) and was meant to simulate to some extent the mixing procedure of the double drum mixer. The mixing conditions are shown in table 1. Preheating temperatures in PW and UPG methods are in the range of real plants preheating temperatures to achieve 170°C final mixture.

**Table 1.** Mixing conditions in sample preparation for fatigue test

Lab mixing method	code	Virgin aggregate Preheating temperatures (°C)		RA conditions		Produced sample identification
		Virgin	RA	Moisture	Content	
Standard Method	SM	170	170	0%	0, 30, 60	SM0, SM30 SM60
Partial Warming	PW	240,330	130	0%	30, 60	PW30,PW60
Upgraded method	UPG	290,345 430,515	23	0%, 4%	30, 60	UPG0-30,UPG0-60 UPG4-30,UPG4-60

The aggregate gradation, bitumen and filler content were kept constant in all mixtures. 70/100 pen graded virgin bitumen was used as soft binder to add to the mixture. The reference SM0 mixture (without RA) was prepared with 40/60 pen bitumen. All mixtures were compacted at 170° C and aged in oven for 30 minutes. The diameter of fabricated cylindrical samples was 100 mm.

### 3.2 Materials for Nanoindentation

Standard mono-size glass beads and mono-size river sand was used in the mixtures. Pen 20/30 bitumen was used to simulate the RA binder. The soft binder was a pen 160/220 bitumen.

**Table 2.** Aggregate sizes in each mixture

<i>Specimen ID</i>	<i>Type and size of aggregate coated with soft bitumen (160/220)</i>	<i>Type and size of aggregate coated with hard bitumen (20/30)</i>
BL1	GB 2mm	GB 2mm
BL2	GB 1mm	GB 2mm
BL3	GB 1mm	GB 1mm
BL4	S 4-2mm	S 1-2mm
BL5	S 1-2mm	S 4-2mm
BL6	S 0.5-1mm	S 0.5-1mm
BL7	S 0.5mm	S 0.5-1mm

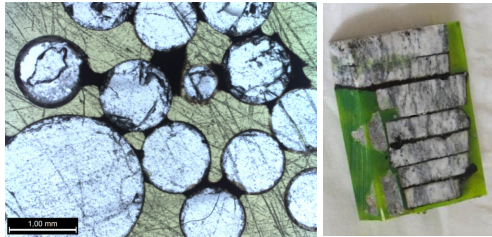
S: Sand, GB: Glass Bead.

50% of the aggregates were coated by layer of 20µm soft binder and the rest were coated with the hard one prior to mixing. The required amount of bitumen to coat the aggregate was determined by calculating the specific area of the aggregates.

Different sizes of glass beads and sieved river sand were used to make 7 specimens (BL1~BL7). BL3 was used for nanoindentation and the rest of the specimens were used to make thin sections for optical microscopy. The properties of each mixture are listed in table 2.

Since the mixtures were consist of mono-size aggregates and no filler was added, they were not strong enough for sawing and polishing. Therefore they were molded in a paper cup without compaction and then they were impregnated with epoxy to stabilize them (figure 3). Another sample (BL-0) was made of granite stone slices. One side of each stone was covered by a thin layer of hard and the other with soft bitumen. Then they were put on top of each other in such a way that soft bitumen was exposed to the hard bitumen. Since as the first step we wanted to see a clear zone between the two binders, we just kept them at 50°C for an hour in oven. Although this temperature might not allow full blending between two binder, it was hoped that a limited blending area in the interface zone between the two binders could be detected.

All samples were cut to proper sizes. Then they were polished consequently with several rough and soft sand papers to achieve smooth surfaces. All samples were constantly cooled by ice water at 0°C. Other samples were polished with sand paper and rollers to make thin slices (30µm) for optical microscopy. Figure 3 illustrates a sections of BL3 and a cut sample of BL0 which were used for nanoindentations in this research.



**Fig. 3.** BL3 (left) and BL0 (right)

## 4 Experiments and Results

### 4.1 Indirect Tensile Strength and Fatigue Results

ITS test was conducted on each mix design in order to determine the stress level at which the stress controlled fatigue test was supposed to be done. All ITS tests were done at 5°C and the constant displacement speed of 5mm/min. The results are shown in figure 4. However Increasing RA in SM and PW has improved the ITS in SM, PW and UPG0 but ITS in UPG method with 4% moisture and 60%RA shows less ITS comparing with 30% RA. It was most probably caused by less RA binder blending in this mixture due to high amount of RA with 4% moisture.

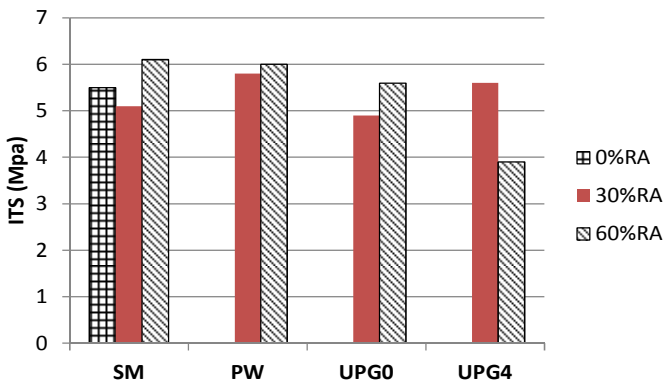


Fig. 4. Indirect tensile strength results

Indirect tension fatigue testing was done on cylindrical samples. All fatigue tests were conducted at the same stress level of 220kPa at constant temperature of 20°C and at 8 Hz frequency. Also a non-standard test was performed because it was hypothesized that the mixing of the super-heated aggregates with the moist and cool RA could have a negative effect of the adhesion of the binder to the aggregates and doing fatigue tests on submerged specimens might reveal this effect. Results of both fatigue test under dry standard conditions and saturated conditions are presented in figure 5.

According to figure 4, increasing RA content in PW and UPG method didn't have negative effect on fatigue life while it is the other way around in SM. Furthermore fatigue results obtained for the UPG samples are not less than those obtained from the other mixing methods (SM and PW). Also adding moisture to

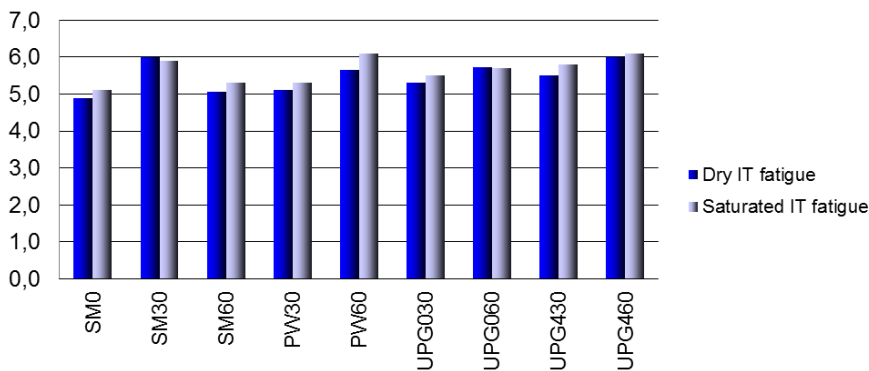


Fig. 5. Fatigue results obtained under dry and saturated conditions at 20°C, 8Hz and a stress level of 220kPa

RA prior to mixing has not deteriorated the fatigue properties. Comparing results of two fatigue test method shows saturation conditioning also didn't have negative effect on fatigue life probably because of the low air voids content in the compacted samples.

Because the UPG and PW mixing methods using different amounts of RA did not result in lower fatigue results when compared to the reference mixture SM0, it was hypothesized that full blending most probably had occurred. It is admitted however that no proof for this statement exists.

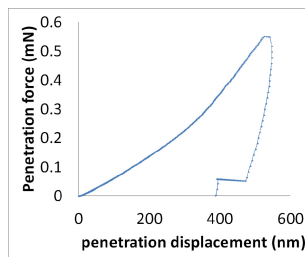
### 4.3 Nanoindentation Results

All indentation tests were done with a G200-Nanoindenter instrument using a Berkovich tip. An extra setup was attached beneath the sample holder to maintain the surface temperature at  $-10^{\circ}\text{C}$  during the indentation. Figure 6 is illustrating a load vs. penetrating displacement curve.

First indentation was done on a selected line on BL3 (see figure 6). Indentation started from a point on the glass bead and ended on the binder. This was done to observe properties of the aggregate and binder interfaces. 100 indentations were done every  $3\mu\text{m}$ . The maximum penetration was 200 nm; the effect of two indenting impression on two neighboring points was not taken into account.

In analyzing the data attention had to be paid to the fact that the binder behavior is viscoelastic and the stone can be taken as an elastic material. The software default calculation method was used to analyze the indentations made on both the aggregate and the binder. The software calculates the modulus at the maximum load.

Values for hardness and modulus at max load for each point are plotted in figure 7.



**Fig. 6.** An example of load vs. penetrating displacement in Nanoindentation test

Another indentation test was done on a stone-binder-stone interface in BL-0. Nano indentions were again done at  $-10^{\circ}\text{C}$  with a maximum penetration depth of 500nm. 150 points were indented every  $3\mu\text{m}$ . The modulus results are shown in figure 8. In this figure the modulus of binder zone is shown separately below the main chart in order to magnify the modulus difference in this zone.

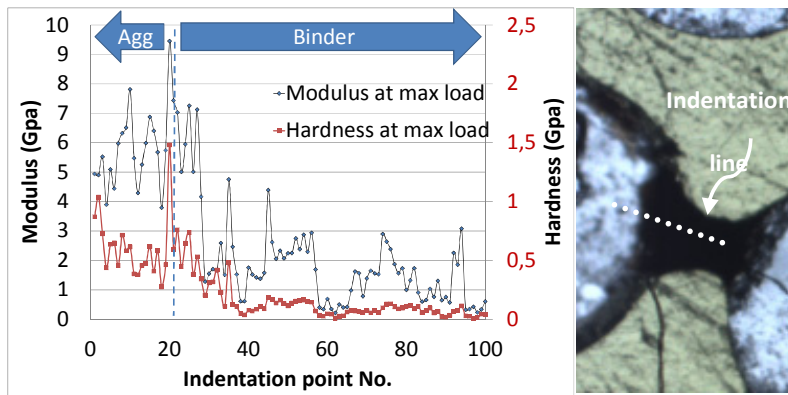


Fig. 7. Modulus and hardness on a glass-bitumen interface (BL-3)

#### 4.4 BL-3 Results

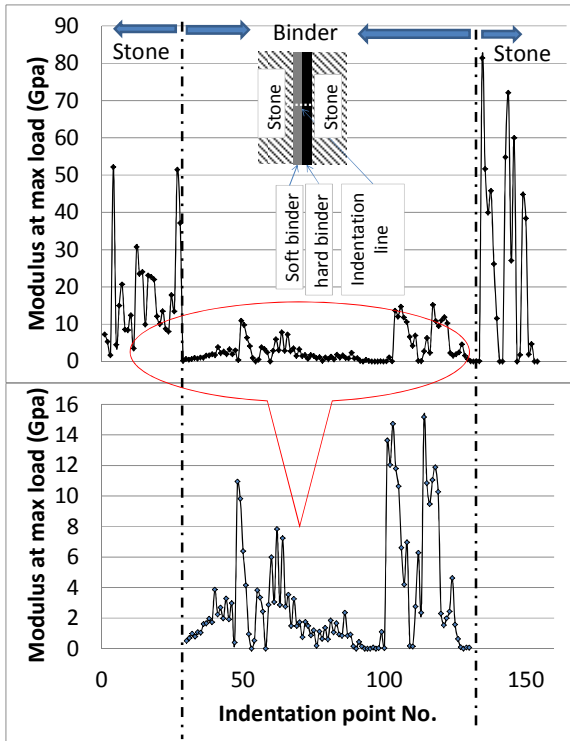
According to figure 7, modulus values are almost in the same range until point 20. They are between 4 and 8 GPa. Then between point 20 and 35, the modulus gradually starts to decrease to less than 2 GPa. This zone, which is as wide as 45 micron, is the interface between binder and the glass. The modulus decrease in this zone could have two reasons;

- (1) The binder zone close to the aggregate might be stiffer because of losing a part of its light fraction due to absorbance by or polarity of the aggregate. This phenomenon has been studied earlier by means of bitumen stage extraction [10].
- (2) Figure 9 shows how binder film thickness look likes around the aggregate on the surface of the mixture. Thus measured modulus values could vary due to thickness of the binder at each point.

In the zone between point 35 to 100, one can observe repetitively increase and decrease in the modulus within almost every 20 points (every 60  $\mu\text{m}$ ). repetitive rise and fall in the modulus curve could suggest the presence of higher molecular weight micelles which are dissolved in a softer and lower molecular weight medium as is hypothesized in [13]. Peak values on the micelles are between 2 and 3 GPa. Away from the peak values (on the micelles) the modulus decreases gradually to less than 0.5 GPa. The peak values are decreasing in each repetition most probably because of moving from the hard to the soft binder. Knowing the properties of each binder separately enable us to recognize the interface or border between the two bitumen.

### 4.5 BL-0 Results

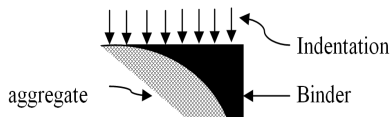
Nano-indentation on BL-0 was done in a different sequence. As described earlier, BL-0 consists of parallel granite stone sheets. It was done on a line starting from one stone and ending on the other stone by passing the bituminous “bridge” consisting of soft and hard binder.



**Fig. 8.** Modulus results obtained from nanoindentation on a stone-binder-stone interface in BL-0 (below: data obtained on the binder zone)

Three different zones are detectable in figure 8. First of all two stone zones can be recognized which consist of points 1-30 and points 130-150. The third zone is the binder zone having a thickness of 300 $\mu$ m. This zone consists of points 31-129. The modulus values of the stone zone are between 25 and 70 GPa. In the binder zone again one can see periodic rise and fall at every 20 points. Also there is a region (points no. 100-125) in which the modulus is less than the stone modulus and is much higher than the values for the bitumen obtained in the area from points 30-99.

This could indicate the hard bitumen but this is not sure because the values in the 100-125 area are very high for bitumen even for a hard bitumen. Because of this it is not clear whether the “jump” in modulus at position 100 indicates the interface between the two types of bitumen.



**Fig. 9.** Binder film thickness around the aggregate while surveying the surface of a cut sample.

## 5 Conclusions

Based on the results reported in this paper, the following conclusions have been drawn.

- The amount of RA as well as the moisture content of the RA does not have negative effects on the fatigue properties of the investigated recycled mixtures when compared to those of a reference mixture made of virgin materials.
- The degree of blending between the RA binder and virgin bitumen seems to be a key factor controlling the mechanical properties of recycled mixtures.
- The results of the indentation tests successfully showed the interface between binder and aggregates thanks to their huge difference in their elasticity modulus values. Detecting the interface between two binders interface was however difficult.
- Modulus values in the binder zones as determined by means of nanoindentation showed a continuous increase and decrease at every 60  $\mu\text{m}$ . The peak values could represent the denser and harder functional group of bitumen molecules such as asphaltenes and low modulus values could be due to the softer phase of molecules.
- Modulus measurement by determining the slope of the curve in unloading part is very sensitive to the shape of the curve which sometimes ended up with very scattered results during continuous indentation.

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# Warm Mix Asphalt Performance Modeling Using the Mechanistic-Empirical Pavement Design Guide

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**Abstract.** Warm mix asphalt (WMA) is a cost effective means for reducing the mixing and compaction temperature of hot mix asphalt (HMA). These additives, which come in several forms, reduce the mixing and compaction temperatures by approximately 30°C. The challenge for researchers has been determining how the additives impact or change traditional HMA mixes. The purpose of this research is to use collected laboratory data as inputs into the Mechanistic-Empirical Pavement Design Guide (MEPDG) to determine if there are statistically significant differences in the amount of pavement cracking when comparing HMA and WMA. The MEDPG is a model that has been developed into software for the purpose to provide state-of-the-practice pavement design for both new and rehabilitated pavement structures. The pavement design includes site condition parameters such as traffic, climate, subgrade, and existing pavement conditions in the case of rehabilitation. The MEPDG evaluates a proposed design for various distresses such as rutting, fatigue cracking, longitudinal cracking, transverse cracking, and roughness. Samples from field produced WMA mixes have been tested and material information has been collected and statistically analyzed over a period of several years. Data analysis showed some statistically significant differences in laboratory dynamic modulus ( $E^*$ ) data when comparing HMA control mix with the WMA mix. This study will use the laboratory measured  $E^*$  as input into the MEPDG model to predict the pavement cracking in order to determine if there are differences in the pavement distresses. Within the design inputs, various factors can be manipulated such as various traffic loading and pavement thickness. Changing these inputs show which site condition variables have the most impact on pavement performance, how pavement distresses may differ when comparing HMA and WMA and how this translates to differences in pavement life.

**Keywords:** dynamic modulus-warm mix asphalt-pavement performance.

## 1 Introduction

WMA is produced at approximately 30°C lower than traditional HMA mixes. The benefits of using WMA additives includes reduced fuel consumption, reduced

emissions, cooler temperature paving, longer haul distances and less compaction effort. One hypothesized disadvantage is that the lower temperatures lead to incomplete drying of aggregate which may lead to moisture susceptibility issues. The long term effects of using WMA additives in traditional HMA mixes are being discussed in many owner agencies across the nation. The purpose of this study is to begin investigating the impact of laboratory performance test results using the Mechanistic-Empirical Pavement Design Guide (MEPDG) as a model. In the dynamic modulus data, there are statistical differences that appear among various treatment effects. The MEPDG uses dynamic modulus data as a parameter for predicting pavement distress over a 20 year design life. This study looks at how the dynamic modulus data impacts the predicted pavement performance and if there are differences between the various factors.

Four field WMA mixes and four HMA control mixes were used in this research project. Each mix was produced for a different project at different plant locations. The WMA was produced first and the HMA control mixture was produced on the following day unless weather delayed paving. The corresponding control mixes to each WMA mix differed only by the WMA additive. For each project, loose HMA and WMA mix was collected at the time of production and binder from the tank was collected for each mix. The WMA additives were terminally blended and no laboratory binder blending was performed. The field sampled binder and mix was taken to the Iowa State University asphalt laboratory for subsequent asphalt binder testing and mix performance testing.

## ***1.1 MEPDG Background***

The MEPDG is a software program that utilizes both mechanistic and empirical design methods. The AASHTO road test, performed in the 1950's, is what many of the empirical pavement design principles are currently based on. Since the 1950's the typical traffic loads have increased and design of pavement material has improved, e.g. polymer-modified asphalts. The MEPDG provides a framework in which the engineer determines design inputs for traffic, desired reliability, climate, and pavement structure [1]. The MEPDG also allows for engineers to assign a "level of reliability" to their pavement designs. The higher the level of reliability, the more conservative the pavement design will be to account for variability. There are also different levels of input depending on how much data was collected for this particular pavement design. Level 1 is the most detailed data and Level 3 is general design inputs. The various input levels impact the reliability because it is assumed there is more uncertainty in Level 3 inputs; therefore, the program accounts for the higher degree of variability in the different levels. The MEPDG also allows for design of rehabilitated pavements. The ability of the engineer to input detailed material information, in this case  $E^*$  and  $G^*/\sin(\delta)$ , allows for the engineer to see how differences in the pavement materials will impact the pavement design.

## 2 Objectives

The objective of this paper is to begin investigating how adding warm mix asphalt may potentially impact pavement performance using the MEPDG. This research focuses this effort by looking at three main objectives. First, determine how changes in  $E^*$  will impact pavement performance and determine which types of pavement cracking change the most. Second, show how changes in  $E^*$  are sensitive to various traffic loading and pavement designs. Finally, investigate if the variables studied impact long term pavement performance when  $E^*$  values prove to be statistically different.

## 3 Experimental Plan

Prior studies have shown that the MEPDG is sensitive to the  $E^*$  values of the AC layer and that reasonable pavement performance prediction can be obtained using the software which gives reasonable pavement performance results [2,3]. The research presented in this paper is part of a two phase study. Phase I of the experimental plan gives the background information of the project and explains the variables studied. Phase II explains how the data gathered in phase I is used in predicting pavement performance in order to compare the variables important in this study.

The Phase I experimental plan involves field produced mixes that incorporate both an HMA control and a WMA experimental mix. This was repeated for four different control/experimental mix pairs, testing a total of three different WMA additives. Each of the mixes were produced at a different locations within the state of Iowa. The experimental (WMA) and control (HMA) mix design was the same except for the addition of a WMA technology and day to day plant and stockpile variability. Mix was compacted at each job site and collected in order to reheat samples and compact at a later date. This was done to investigate whether reheating WMA changed any mix properties which could impact an agency's current quality control/quality assurance program. Half of all dynamic modulus samples were moisture conditioned according to AASHTO T-283 [4]. The moisture conditioning investigated how the  $E^*$  changes due to the moisture induced damage and portrays the moisture susceptibility of each mix. Dynamic modulus tests were performed on all samples and the  $E^*$  results were statistically analyzed.

Phase II experimental plan uses the laboratory data collected in Phase I as inputs into the MEPDG software in order to investigate the long term impact of the variables studied in Phase I. Phase I statistical analysis of the  $E^*$  data shows that the three main comparisons are statistically significant. Phase II will help show whether the statistical differences in the  $E^*$  laboratory data correspond with predicted pavement performance using the MEPDG as a model. Model runs will be performed by varying only the asphalt binder and the  $E^*$  properties. Three different pavement designs and traffic loads were investigated to detect sensitivity to layer thickness in conjunction with reduced traffic loads. The model will help make comparisons between the following variables: HMA vs. WMA, field compacted vs. lab compacted (reheated) samples, moisture conditioned vs. not moisture conditioned and a high, medium and low traffic pavement design. Figure 1 shows the variables tested for each field mix.

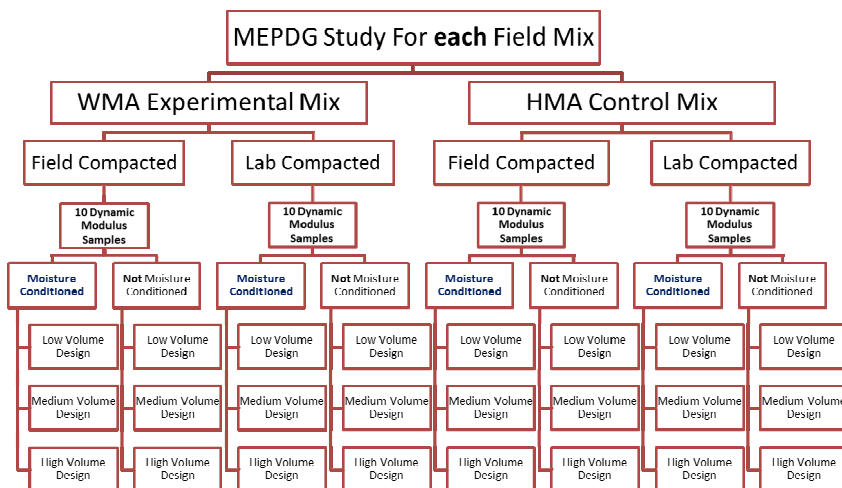


Fig. 1. Model simulation variables for each mix

### 3.1 Materials and Performance Tests

The projects for this study are shown in Table 1 with the exception of Field Mix 1 (FM1) which was a county project that used Revix®/Evotherm 3G® as the WMA technology. Other technologies studied include Sasobit® and Astec Double Barrel Green® foaming. Sasobit® is similar to paraffin waxes that are found in crude oil, except that it has a higher molecular weight. Sasobit is a crystalline, long chain aliphatic polymethylene hydrocarbon produced from natural gas using the Fischer-Tropsch (F-T) process [5]. Evotherm is a chemical modifier produced by Meadwestvaco. Evotherm is added to the asphalt typically at a dosage rate of 0.25 to 0.75 percent by weight of binder [6]. The Astec Double Barrel Green® is a plant modification which uses a multi-nozzle manifold to make warm mix using only water injection as the foaming agent [7].

Table 1. Project summary for Iowa DOT WMA projects

Code	Year	Project Number	WMA Technology	Design	Binder Grade	RAP
FM2	2009	NHSX-218-9(129)--3H-34	Revix/Evotherm	12.5mm/10M ESALS	PG 64-28	17%
FM3	2009	STP-143-1(4)--2C-18	Sasobit	12.5mm/3M ESALS	PG 64-22	20%
FM4	2009	STP-065-3(57)--2C-91	Water Injection	12.5mm/3M ESALS	PG 64-22	19%

Sample preparation was performed at the mixing plant in the field and in the laboratory. To produce the field samples, loose mix was collected and the Superpave Gyrotory Compactor (SGC) was used to compact samples at the plant the day of

production without reheating the mix. The laboratory samples were compacted in the same manner but compaction occurred at a later date and mix was reheated.

Dynamic modulus tests were performed according to NCHRP 547. The dynamic modulus samples, compacted to the precise size, are 100 mm diameter and 150 mm in height. Each field produced mix has ten field compacted dynamic modulus samples as well as ten laboratory compacted dynamic modulus samples. Half of the lab compacted samples and half of the field compacted samples were moisture conditioned and represent the experimental samples whereas the unconditioned samples are the control samples. The purpose of dynamic modulus testing is to define the materials stress to strain relationship under continuous sinusoidal loading [8]. Dynamic modulus testing measures the stiffness of the asphalt under dynamic loading at various temperatures and frequencies thus it is used to determine which mixes may be more susceptible to performance issues including rutting, fatigue cracking and thermal cracking. The test was performed under strain controlled conditions. The target strain was 80 microstrain which is considered to be well within the elastic region of the material. The dynamic modulus test is considered to be a non-destructive test at low levels of strain in theory. The strain response of the material was measured using 3 LVDTs that were positioned on mounted brackets at the beginning of each test. The test is performed at three temperatures (4, 21, 37°C) and nine frequencies (25, 15, 10, 5, 3, 1, 0.5, 0.3, 0.1 Hz) for each sample and yields 27 test results per sample. The dynamic modulus values ( $E^*$ ) are used to construct master curves which can be used to compare the various categories [8].

### ***3.2 MEPDG Input Design Parameters***

Three pavement designs were used to see how the pavement distresses varied from different thicknesses and traffic loading. The pavement structures, Figure 2, represent low, medium and high traffic level designs with average annual daily truck traffic (AADTT) of 100, 700 and 2000, respectively. The traffic distributions utilized the default values regardless of traffic level. The pavement structures are based on typical Iowa roadway thicknesses that use standard Iowa aggregates, for each of the given AADTT traffic levels. The climate file remained the same for all model runs and was generated by interpolating several Iowa stations. A typical Iowa subgrade classification of A-7-6 was used. All MEPDG inputs were a level three design with the exception of the material properties of the asphalt layers. All data inputs remained the same except for the pavement designs, traffic levels and asphalt material properties.

The MEPDG requires dynamic modulus inputs for 5 temperatures and 6 frequencies. The dynamic modulus testing was performed at 3 temperatures and 9 frequencies. The  $E^*$  data can be shifted based on the theory of time-temperature superposition [8]. If an asphalt sample is loaded at a high frequency at a lower temperature, the material response can be correlated to a lower frequency at a higher temperature using shift factors. The relationship between temperature and shift factor is linear. A linear equation can be used to determine the shift factor at a higher or lower temperatures which can then be used to shift the  $E^*$  values to give the  $E^*$  value that corresponds to material responses at -10°C and 54°C.

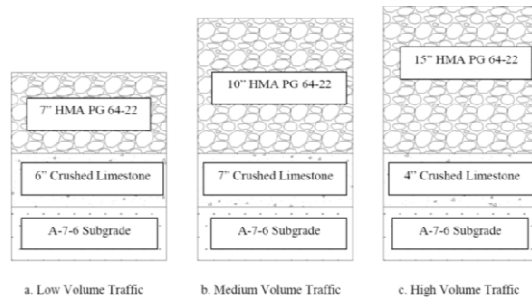


Fig. 2. Pavement designs for low, medium and high traffic levels

### 4 Results

A statistical analysis was conducted in detail during Phase I of the experiment. The ANOVA table, Table 2, shows the important factors studied and their interactions. A significance level of  $\alpha < 0.05$  was used. For the analysis, each mix was analyzed separately. The assumptions needed for the ANOVA analysis are: independence, normally distributed data and equal variance. The assumptions of normal distribution and independence were met but the variance was not constant. In order for the constant variance assumption to be satisfied, a square root transformation was performed on the  $E^*$  values.

Table 2. ANOVA tables for  $\sqrt{E^*}$  statistical analysis separated by mix

	Source	DF	Type I SS	Mean Square	F Value	Pr > F ( $\alpha$ )	Higher Average $E^*$ Treatment
Field Mix #1	mix	1	6076743.8	6076743.8	492.57	<0.001	HMA
	comp	1	2399557.4	2399557.4	194.5	<0.001	Lab
	mix*comp	1	76266.3	76266.3	6.18	0.0132	
	mcond	1	6062662.8	6062662.8	491.43	<0.001	No Moisture Conditioning
	mix*mcond	1	23343.8	23343.8	1.89	0.1694	
	comp*mcond	1	61417.7	61417.7	4.98	0.026	
	mix*comp*mcond	1	825077.8	825077.8	66.88	<0.001	
Field Mix #2	Source	DF	Type I SS	Mean Square	F Value	Pr > F ( $\alpha$ )	Higher Average $E^*$ Treatment
	mix	1	1015250.7	1015250.7	90.31	<0.001	HMA
	comp	1	197722	197722	17.59	<0.001	Field
	mix*comp	1	8961.4	8961.4	0.8	0.3722	
	mcond	1	925236.4	925236.4	82.3	<0.001	No Moisture Conditioning
	mix*mcond	1	1051377.6	1051377.6	93.53	<0.001	
	comp*mcond	1	680	680	0.06	0.8058	
mix*comp*mcond	1	597982	597982	53.19	<0.001		
Field Mix #3	Source	DF	Type I SS	Mean Square	F Value	Pr > F ( $\alpha$ )	Higher Average $E^*$ Treatment
	mix	1	4891633	4891633	364.96	<0.001	HMA
	comp	1	1550413	1550413	115.68	<0.001	Lab
	mix*comp	1	922370	922370	68.82	<0.001	
	mcond	1	3612270	3612270	269.51	<0.001	No Moisture Conditioning
	mix*mcond	1	289625	289625	21.61	<0.001	
	comp*mcond	1	108601	108601	8.1	0.0045	
mix*comp*mcond	1	532800	532800	39.75	<0.001		
Field Mix #4	Source	DF	Type I SS	Mean Square	F Value	Pr > F ( $\alpha$ )	Higher Average $E^*$ Treatment
	mix	1	3249873	3249873	319.58	<0.001	WMA
	comp	1	4709	4709	0.46	0.4964	
	mix*comp	1	1017906	1017906	100.1	<0.001	No Moisture Conditioning
	mcond	1	3356027	3356027	330.02	<0.001	
	mix*mcond	1	140236	140236	13.79	0.0002	
	comp*mcond	1	194105	194105	19.09	<0.001	
mix*comp*mcond	1	133330	133330	13.11	0.0003		

Phase II results are shown in Figures 3, 4, and 5 [9]. The figures present alligator cracking, total rutting and IRI, respectively, as calculated by the MEPDG. The data is categorized by all of the variables studied. There are two data points in each category, one field compacted and the other is the reheated laboratory response. The differences between field and lab compacted can be observed by noting how far apart the data points in each category are from each other. All pavement distresses appear to follow the same trend between the various pavement distresses. The medium level pavement design consistently had higher pavement distresses with a few exceptions. The interactions of “mix” (HMA vs. WMA), “moisture conditioning” or “mcond” (conditioned vs. not conditioned), and “compaction” or “comp” (field vs. laboratory compaction) were evaluated in any combination. For this study, the MEPDG model used averages so only two way interactions of the factors listed were evaluated. These interactions can be compared with the laboratory data to determine if there are trends in both the laboratory data and the pavement performance model.

For FM1, there is a large difference between field and laboratory compacted HMA samples as shown by the large separation of the black dots in each category. Moisture conditioned samples appear to have slightly higher average but it does not appear to be significant even though moisture conditioning was a statistically significant factor for the E\* laboratory data as shown in Table 2. The differences between average pavement distresses for HMA and WMA don't appear to be significant except in the case of IRI. The HMA has a higher average roughness compared to the WMA values. There are differences between the pavement performance data and the E\* data. This may be due to averaging E\* for the model runs, in order to reduce the number of runs and also the ANOVA analysis looks at overall trends but doesn't specifically break each E\* value into its specific category. Interaction plots were plotted using averages to see if there may be interactions that showed up in the E\* data. The interaction plots showed an interaction between mix and moisture conditioning which was not evident in laboratory E\* data.

Field mix 2 shows the pavement performance for HMA and WMA are similar with the exception of several categories showing WMA with a slightly higher average pavement distress for the moisture conditioned samples. There doesn't appear to be a difference in the pavement distresses when comparing whether the samples were moisture conditioned or not. The data points with each category are spaced close together which indicates that there is no noticeable difference in the modeled pavement distresses when comparing field or laboratory (reheated) compaction. The interaction plots developed using the distress data reflects the mix and moisture conditioned interaction shown in the E\* ANOVA table.

Field mix 3 shows similar trends to field mix 2. There are little differences in the pavement performance data for all variables. The only noticeable differences is the HMA average distress appears to be slightly lower than WMA for the moisture conditioned samples, alligator cracking and total rutting. The interaction of mix and compaction is the only detectible interaction in the pavement distresses.

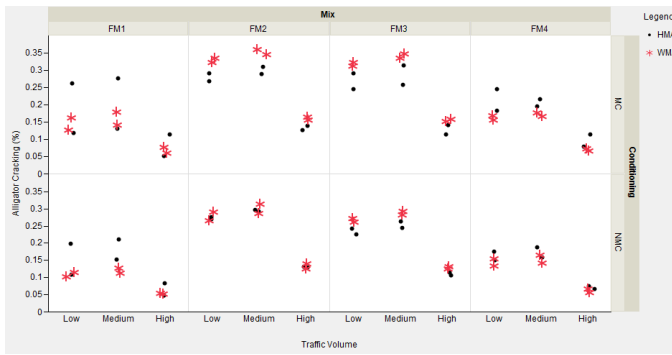


Fig. 3. MEPDG predicted alligator cracking

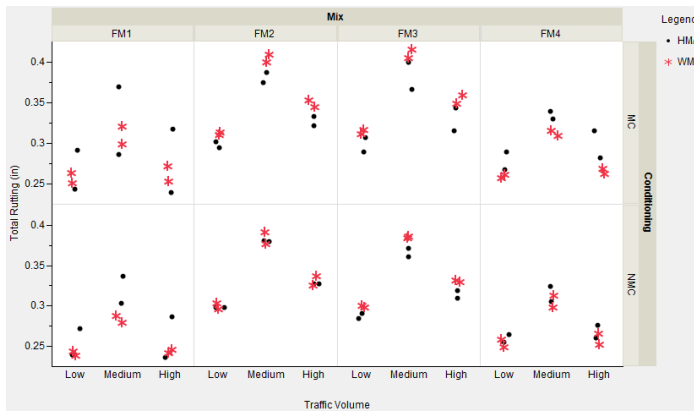


Fig. 4. MEPDG predicted total rutting

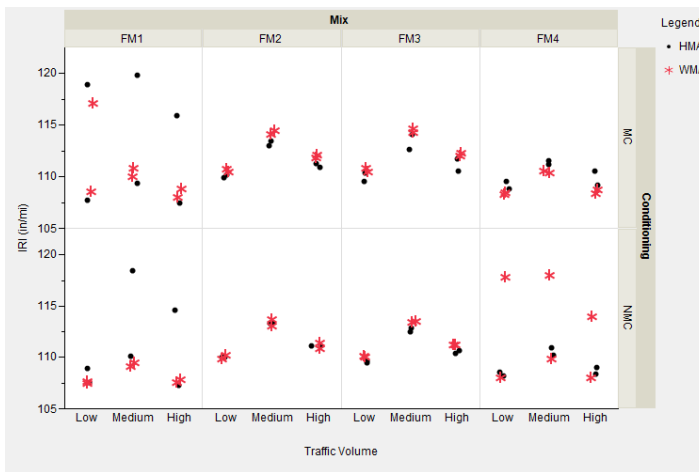


Fig. 5. MEPDG predicted IRI



Field mix 4 doesn't show differences in the variables for the alligator cracking and the total rutting but there is a large difference in the category of WMA/NMC/Field compacted for the IRI values. This is interesting because the other two pavement performance distresses did not indicate this difference. Mix\*compaction appeared to be an interaction that also appear in the pavement performance data.

## 5 Conclusions

The MEPDG can be used as a tool to help designers reasonably choose the pavement design that best fits their needs based upon pavement performance predictions. The MEPDG predicted pavement responses show that, in most cases, there was little to no difference when comparing HMA and WMA over a long period of time. The data shows some differences between the various treatment conditions and some distress responses that reflect the phase I laboratory data analysis but specific trends were not seen in every mix variable studied. This may be due general field variability. Total rutting and alligator cracking followed similar trends but the IRI would, at times, display a result that wouldn't match with the rutting and alligator cracking trends. The pavement designs showed similar trends in most cases, with the medium level pavement design having the highest distress levels. The ANOVA table in Phase I appeared to show more differences than the MEPDG pavement performance data. In this study, average  $E^*$  values were used for the model runs. Each mix had 24 categories for a total of 96 runs. In order to study the distribution of all mix samples, 960 runs will need to be performed. Doing this will help to show statistically what the differences are and further strengthen the conclusions. Generating an MEPDG run for each sample will give a distribution and variance for each sample set within each treatment category. This will allow a more detailed statistical analysis of the MEPDG pavement performance data. The MEPDG is a powerful tool for pavement design and material engineers; however, further model validation and calibration is necessary but continuing these efforts will provide for faster pavement material evaluation and pavement designs which result in longer pavement life.

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# Shrinkage and Creep Performance of Recycled Aggregate Concrete

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**Abstract.** With the growing emphasis on sustainability in the concrete industry, there has been a renewed interest in the use of recycled concrete as aggregate in new concrete. Recycled aggregate concrete is not a new concept, but it is normally met with resistance due to reduction in strength and an increase in drying shrinkage. The organizations that allow for recycled concrete aggregates in concrete give few guidelines. One of the common requirements is that the recycled aggregates should be soaked prior to use. The goal of this study was to investigate how the initial moisture state of the aggregates affect the creep and shrinkage properties. Tests included free shrinkage of prisms, restrained shrinkage ring tests and an actively restrained tensile creep test. By using the recycled concrete aggregate at or near SSD conditions, the mixtures were workable and had lower free shrinkage. The recycled aggregate mixes did have higher tensile creep but this is not detrimental in paving applications where it would work to prevent shrinkage cracking.

## 1 Introduction

The O'Hare Modernization Program (OMP) is a major public works program to improve the O'Hare International Airport in Chicago. Construction at O'Hare generates a large volume of concrete waste every year as in-place pavements are removed even as new aprons, taxiways, and runways are constructed. The OMP and the City of Chicago has placed high value on sustainability goals at the airport, and has aspired to be seen as an international sustainability leader. Toward that end, OMP is today using recycled concrete aggregate (RCA) as an aggregate source for new concrete. RCA in concrete is an established concept dating back to the 1940s, but nevertheless the use of recycled concrete has struggled to gain wide acceptance [1]. Most research and field trials have demonstrated that the use of RCA in concrete has negative effects on both fresh and hardened properties. The creep and shrinkage of RCA concrete tends to be adversely affected, and both of these properties are associated with premature degradation of concrete pavement.

In recent years, more research has been pursued to determine if these negative effects can be overcome. One step that has become commonplace in manuals of

practice is to presoak the RCA to control the effect of high water demand on the fresh concrete [2,3]. Other research has considered alterations to the mixing procedure in order to improve the bond between the paste and RCA to improve the hardened properties and improve workability [4, 5]. Other researchers have developed methods to remove the old mortar from RCA, essentially reclaiming the virgin aggregate from the old concrete [6]. Many organizations and researchers advocate soaking RCA prior to using it in concrete, but there is a lack of detailed understanding regarding the connection between initial moisture state and its effect on hardened properties [2, 3]. The initial moisture of the aggregate affects the internal moisture of hardened concrete and therefore can be expected to affect the hardened properties of the concrete. Since the absorption capacity of the RCA is higher than virgin aggregate, a significant amount of free water must be added to the concrete mixture to compensate for air dry RCA. Additionally, the rate of adsorption is generally too slow to allow RCA to achieve SSD condition prior to the time of cement setting. Thus, this approach leaves the concrete with a higher w/c than anticipated, leading to problems associated with high w/c concrete mixtures.

This study is part of larger research program studying recycled concrete aggregates. In the concrete mixtures, the initial moisture content of the RCA was varied from oven dry, to 80% of SSD and SSD. These mixtures used RCA for the entire coarse fraction and were compared to a mixture using only virgin coarse aggregate. In concrete pavements, drying shrinkage and tensile creep have a significant effect whether or not the pavement will crack due to environmental factors. The tests presented here were selected to assess the resistance of RCA pavement to cracking.

## 2 Materials

For all of these tests, a consistent source of RCA was used supplied by a contractor working with the OMP. Old airport pavements were broken up, crushed, washed and graded to a CA7 gradation. The RCA did contain up to 3% bitumen, the content was small enough that it would not adversely affect the performance of resulting concrete. The RCA was free from steel and other contaminants.

The mixture proportions for the virgin and RCA concrete are found in Table 2.

The virgin aggregate was used at an air dry state. The coarse aggregate in the virgin aggregate concrete was a blend of two gradations in order to closely replicate the RCA gradation. The two-stage mixing procedure proposed by Tam [4, 5] was used for all mixtures because it was shown to improve fresh and hardened concrete properties. All of the specimens were prepared in accordance to ASTM C192 [7]. Prior to casting the specimens, slump and air content were measured and the results are recorded in Table 1. Cylinders were cast and tested in splitting-tension according to ASTM C496 [8].

**Table 1.** Virgin and recycled concrete mixture compositions

	SG	VAC	RCA (0, 80, 100)
		(kg/m <sup>3</sup> )	(kg/m <sup>3</sup> )
<b>Water</b>	<b>1.00</b>	129	129
<b>Cement</b>	<b>3.15</b>	246	246
<b>Fly Ash</b>	<b>2.20</b>	61	61
<b>CA 7</b>	<b>2.60</b>	848	0
<b>CA 16</b>	<b>2.70</b>	237	0
<b>RCA</b>	<b>2.41</b>	0	977
<b>Sand</b>	<b>2.57</b>	759	853
<b>Slump</b>	<b>cm</b>	2.5	5.1
<b>Air</b>	<b>%</b>	2.7	2.5
	<b>w/c</b>	<b>0.42</b>	<b>0.42</b>

### 3 Experimental Procedure

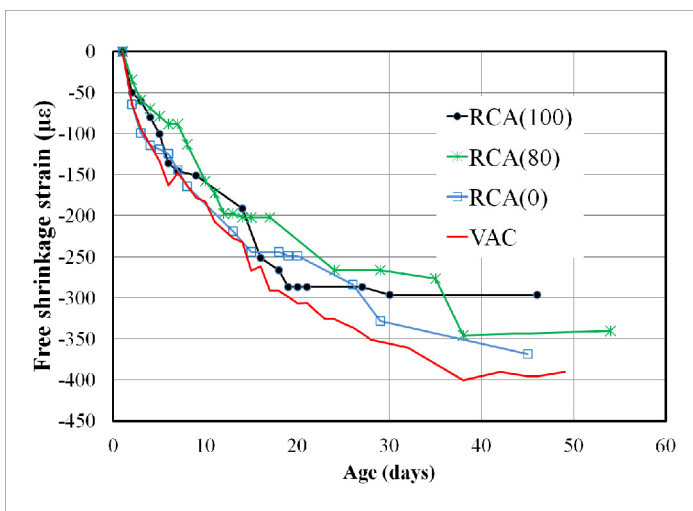
The test program was designed to assess the performance of the RCA concrete in a paving application. The tests included free shrinkage of prisms, restrained ring shrinkage, actively restrained tensile creep, and internal moisture. The free shrinkage tests followed a modified ASTM C490 [9] procedure. The prisms were covered with foil tape on two opposite sides to allow for one directional drying which replicates the drying conditions that pavements are subjected to.

The shrinkage ring tests followed the AASHTO PP34 [10] standard which is a 76.2 mm ring of concrete cast on the outside of a 12.7 mm steel ring. The steel ring passively restrains the concrete from drying shrinkage and by doing so tensile stresses develop in the ring. The strain measurements taken on the inside of the steel ring can be used to determine the stress developed in the concrete. In addition, the time to cracking is noted for the tests which can be used to compare the cracking resistance of different mixtures. This test does not allow creep or drying shrinkage to be directly measured, but It is useful to assess relative early age cracking resistance between different concrete mixtures.

Tensile creep properties were directly measured using an actively restrained tensile creep test. This test uses a hydraulic actuator to actively restrain a dogbone shaped specimen. Using an second dogbone that is unrestrained, the free shrinkage of the material can also be determined. By using the measurements from the two specimens, tensile creep properties can be calculated [11].

### 4 Results and Discussion

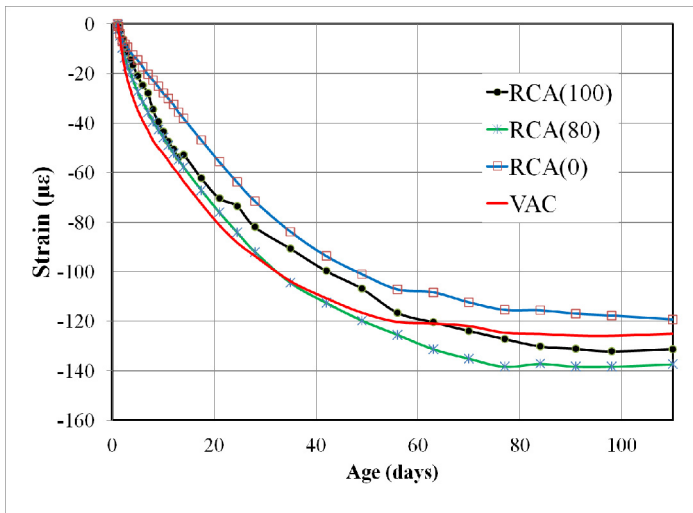
The prism free shrinkage results, located in Figure 1, show that all of the mixtures were within 100 microstrain of each other after 45 days. In this trial all of the RCA mixtures had lower shrinkage than the virgin aggregate mixture.



**Fig. 1.** Free shrinkage of concrete prisms

This result disagrees with what some other researchers have found [12, 13], but some research has shown that presoaking the RCA leads to a significant reduction in drying shrinkage [14]. Comparing the RCA mixtures, free shrinkage increased with decreasing initial moisture at later ages (past 40 days). An explanation for this could be that since the RCA is more porous, the mixtures started with higher water contents (mix water and water stored in the aggregate). The RCA would have provided a stable volume in which to store the additional water allowing the internal moisture of the specimens to remain higher over time when compared to the virgin aggregate mixture. The higher moisture levels would result in less drying shrinkage. Even though all of the RCA mixtures performed quite well, it is apparent that keeping the RCA at or near SSD resulted in lower drying shrinkage. This behavior is due to the amount of free water in the cement paste prior to set. In the SSD RCA there is no correction for initial moisture taken and so the apparent and the actual w/c are the same. For the oven dry RCA there is a large correction in the water and therefore the apparent w/c is higher than the actual. The oven dry RCA does absorb some of the free water from the cement paste but from measuring absorption of the aggregates the time to full saturation is much greater than the time it takes for the cement to set. This results in the real w/c being somewhere between the actual and the apparent. Since the real w/c is higher than the actual, the resulting concrete would be expected to have lower strength and higher free shrinkage.

The strain measurements from the ring test are shown in Figure 2. Each of the RCA mixtures has lower strain than the virgin aggregate mixture until about 28 days in age. After this point the strain in the RAC(80) and RAC(100) mixtures exceeds that of the virgin aggregate mixture.



**Fig. 2.** Strain developed in steel ring

None of the specimens cracked during the test which demonstrated that all of them had high cracking resistance. After, 80 days the strains began to level off which meant that the tensile creep in the specimens were equalizing with the shrinkage. Beyond this point the specimens would probably never crack. The ring test deals with both the drying shrinkage and tensile creep behavior of a material. These competing properties make the results difficult to interpret directly, but it does show reasonably well that none of the mixtures have an affinity to cracking.

The free shrinkage results from the restrained tensile creep test in Figure 3 show the same trend that the free shrinkage prisms did when comparing the RCA mixtures to the virgin aggregate mixtures. The trend within the RCA mixtures for the dogbone differs in that the SSD and oven dried RCA mixtures are nearly identical and the RCA(80) mixtures falls in between the VAC and other RCA mixtures. Though the dogbone results deviate from the prisms, this behavior is somewhat mirrored in the ring test where at early ages the RCA(80) mixture had a higher strain value than the other two RCA mixtures. The total creep results from the dogbone are shown in Figure 4. Again this does not agree with some previous research that shows creep to be higher in RCA mixtures [Gomez, Domingo]. The total creep behavior is similar to the dogbone free shrinkage where the RCA(100) and RCA(0) mixtures have lower values than the VAC. The RCA(80) mixture had the highest total creep of all of the mixtures. Figure 5 shows specific creep which normalizes the creep strain with the applied restraint force. Since this is a test for early age creep behavior, it is better to have higher specific creep. Having higher tensile creep for given loading will help to prevent restrained concrete from cracking due to drying shrinkage. This is the reason that the RCA(100) was the first to crack even though it had low values of shrinkage. The RCA(80) does not

follow the behavior of the other RCA mixtures. In the dogbone test, the free shrinkage and creep values are higher than the other mixtures. This behavior is unexpected and further testing will be needed to understand it. For the purposes of this testing program, it was more important that the RCA mixtures performed as well as the VAC mixtures.

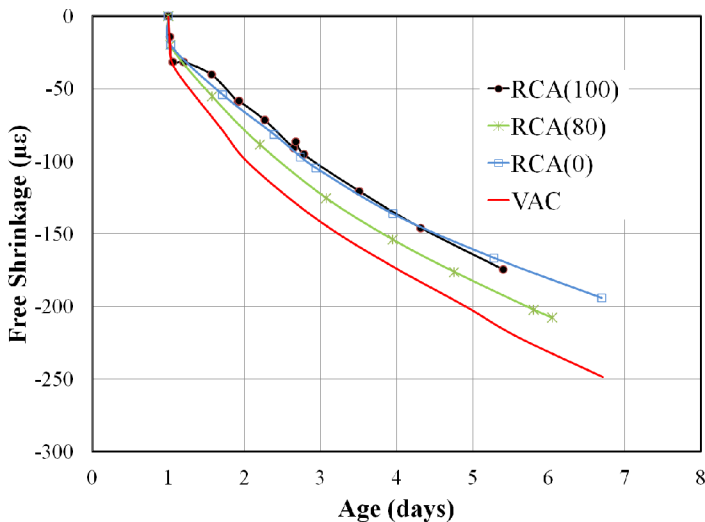


Fig. 3. Free shrinkage measured in restrained creep test

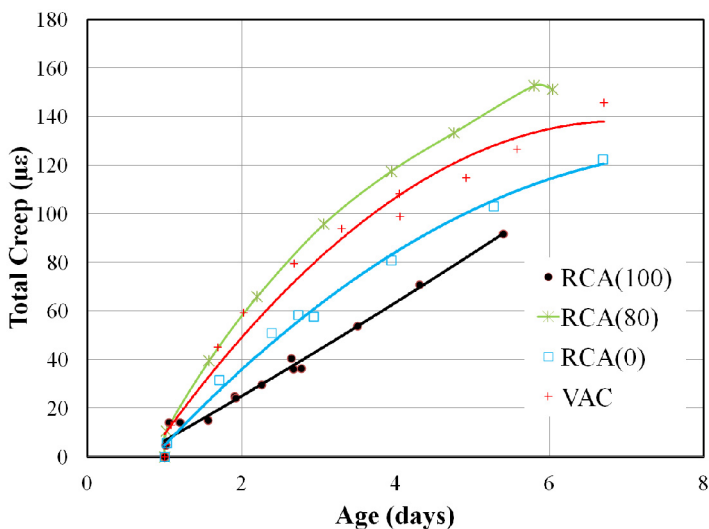


Fig. 4. Total creep strain from tensile creep test



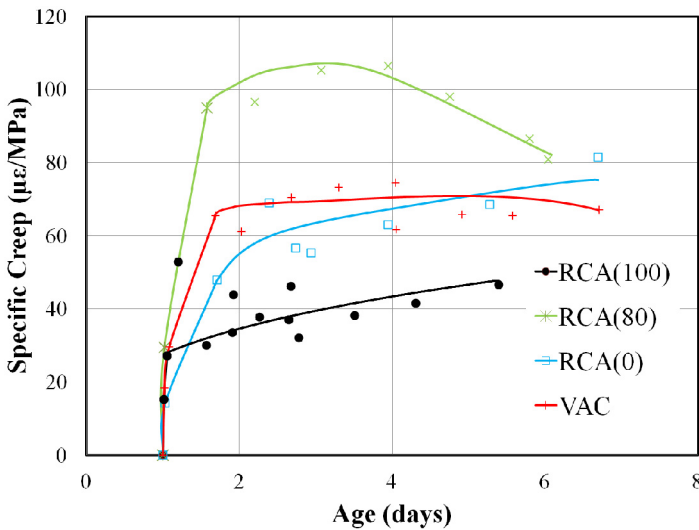


Fig. 5. Specific creep from restrained tensile creep test

Table 2 shows the comparison between the splitting tensile strength and stress at fracture for the restrained creep tests. The ratios between stress and strength give indication of the cumulative damage caused by tensile creep. The RCA(80) had the highest total creep and this may be explanation for why it exhibited the lowest stress to strength ratio. This result suggests that creep and or internal microcracking of RCA(80) may have served to dissipate shrinkage strain and initiate fracture at a relatively low load.

Table 2. Comparison of strength results

		RCA(100)	RCA(80)	RCA(0)	VAC
Split Tensile Strength (7day)	Mpa	2.45	2.74	1.83	3.08
Fracture Stress (Tensile creep test)		1.97	1.69	1.50	2.18
Fracture Stress/ Split Tensile		0.80	0.62	0.82	0.71

Considering the free shrinkage results, the RCA mixtures performed better than the virgin aggregate mixture, and by increasing the moisture in the aggregates decreased the shrinkage. This relation did not hold true in the restrained creep test which showed that the RCA(80) had the highest early creep and the RCA(100) had the lowest of all the mixtures. As discussed above, higher early creep can help to prevent the concrete from cracking. This effect can be seen in the age at which the tensile creep tests cracked. The RCA(80) cracked 1.5 days after the RCA(100) cracked even though the free shrinkage of the RCA(80) was higher throughout the test. The RCA(0) also performed very well in these tests. The problem with using the RCA at oven dry conditions is that workability was quickly lost and the

concrete became very difficult to place. Loss of workability (i.e. slump loss) has been seen in literature related to applications of RCA concrete as one of the main reasons most researchers favor presoaking the RCA prior to its use in concrete.

## 5 Conclusions

The free shrinkage, restrained ring, and restrained tensile creep tests all demonstrated that concrete produced with RCA can perform as well or better than concrete with virgin aggregate. None of the mixtures produced for this study exhibited abnormally high free shrinkage or high susceptibility to cracking. The key to effectively using RCA is to presoak the aggregate, and use it when it is at or just below SSD condition. By doing this, the concrete will exhibit reduced shrinkage and similar cracking resistance to virgin aggregate concrete. Using RCA in dry state will decrease the workability of the fresh concrete as well as increase shrinkage and possibly reduce early age creep. Using the RCA at just below SSD results in a balance between free shrinkage and early creep.

**Acknowledgements.** The authors gratefully acknowledge support for this research research from the O'Hare Modernization Program through the Center of Excellence for Airport Technology.

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# Effect of Reheating Plant Warm SMA on Its Fracture Potential

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**Abstract.** The primary objective of this study is to evaluate the fracture characteristics of stone mastic asphalt (SMA) with warm mix additives during its curing process. To this end, three SMA mixtures, prepared using different warm mix technologies (i.e. Evotherm additive, Sasobit additive, and foaming process) and one conventional SMA, were evaluated using the semi-circular bending (SCB) test. To investigate the aging effect due to mixture reheating, specimens tested in this study were compacted using both fresh and reheated plant mixtures. Specimens were tested at -12°C at 1 day, 3 days, 7 days, 3 weeks, 6 weeks and 12 weeks after compaction. The fracture energies of tested specimens were determined by calculating the areas underneath the loading-crack mouth opening distance (CMOD) curves obtained from the SCB test. This study revealed that the effect of curing time on the fracture potential of warm SMA is not statistically significant. In addition, reheating mixture for testing specimen preparation increases the fracture potential of both warm SMA and control SMA mixtures. The Evotherm SMA provided greater fracture potential than the control SMA when fresh plant mixtures were used to prepare the SCB test specimens. However, this relation was reversed when the SCB test was performed on specimens prepared using reheated plant mixtures, suggesting the importance of laboratory aging on laboratory test results.

## 1 Introduction

The use of warm-mix asphalt (WMA) in the U.S. has increased rapidly in recent years due to its significant environmental benefits. Compared to traditional hot-mix asphalt (HMA), WMA production consumes less energy, emits less greenhouse gas, and allows for an extended construction season. Three WMA techniques have been

commonly used to facilitate the mixing of binder and aggregates: organic additives, foaming techniques, and chemical additives. Using organic additives and foaming techniques reduce the effective viscosity of binder while chemical additives serve as a surface-active agent to reduce friction at bitumen-aggregate interfaces. However, due to different WMA preparation techniques, the physical and chemical properties of the mixture can be altered, which can result in different mechanical behavior of the mixture. Therefore, many studies have been conducted to evaluate the short-term and long-term performance of mixtures produced with various WMA techniques [1-6]. However, most of these studies have focused on the WMA rutting potential at high temperature, while the WMA low-temperature fracture potential is still not well studied.

## 2 Research Objective and Scope

The objective of this study is to experimentally characterize the fracture resistance of warm mix with various additives. Specifically, three stone mastic asphalt (SMA) mixtures, prepared using various warm mix technologies (i.e., Evotherm additive, Sasobit additive, and foaming process), and one conventional control SMA, were evaluated using the semi-circular bending (SCB) test. The following research tasks were conducted:

- 1) Investigated the curing time effect on the fracture potential of the warm and control SMA mixtures.
- 2) Evaluated the aging effect due to mixture reheating on the fracture potential of the warm and control SMA mixtures.

## 3 Testing Material and Experimental Plan

### 3.1 Testing Material

Three WMA techniques were used in this study to produce the warm SMA: Evotherm additive (a chemical additive), Sasobit additive (an organic additive), and foaming process. A typical SMA binder mix that has been used by Chicago area contractors on many large-scale expressway overlay projects was selected as the control mixture. As Table 1 shows, the control SMA and the Evotherm SMA had the same mixture design, which includes PG 64-22 binder with 12% ground tire rubber (GTR) and 8% recycled asphalt pavement (RAP). PG 64-22 binder with 12% GTR was used in the foamed SMA; but it contained 13% RAP. SBS-modified PG 70-22 binder, 5% RAP, and 5% recycle asphalt shingle (RAS) were used in the SMA with Sasobit additive. The compaction temperatures of the three warm SMA's were 15-25 °C lower than that of the control SMA.

**Table 1.** Composition of Asphalt Mixtures with Various Warm Mix Additives

Mix	N <sub>des</sub> <sup>a</sup>	NMAS <sup>b</sup> (mm)	Binder	RAP	RAS	Compaction Temp. (°C)	WMA Additive
Control SMA	80	12.5	6.2% PG 64-22 with 12% GTR	8%	NA	152	NA
Evotherm SMA			6.2% PG 64-22 with 12% GTR		NA	127	0.5% of binder
Foamed SMA			6.2% PG 64-22 with 12% GTR	13%	NA	127	1.0% of binder <sup>c</sup>
Sasobit SMA			6.2% PG 70-22 SBS modified	5%	5%	127-137	1.5% of binder

<sup>a</sup> N<sub>des</sub> = Design number of gyrations

<sup>b</sup> NMAS = Nominal maximum aggregate size

<sup>c</sup> Water was considered as the WMA additive.

### 3.2 Semi-circular Bending Test and Specimen Preparation

Fracture potential of asphalt concrete (AC) mixtures was characterized based on the fracture energy obtained from the SCB fracture test (Figure 1) [7]. 150-mm-diameter cylindrical specimens were sliced into 50-mm thick cylinders and cut in half along the diameter. A 15-mm notch was cut into each half of the specimen. The test was performed at a temperature of -12°C, which is 10°C warmer than the low-temperature binder grade. The test was conducted at a constant crack mouth opening displacement (CMOD) rate (0.7mm/min), and the load, displacement, and CMOD were recorded. The work of fracture was obtained from the SCB test by calculating the area under the load-CMOD curve using Eqn. (1).

$$W_f = \int Pdu \tag{1}$$

where  $W_f$  is work of fracture,  $P$  is applied load and  $u$  is CMOD. The fracture energy was then obtained by dividing the work of fracture by the area of the ligament.



**Fig. 1.** Semi-circular beam fracture test setup

### 3.3 Testing Plan

One key issue for any laboratory performance test is that the measured mixture property may be affected by the type of mixtures used for preparing the specimen. Using reheated plant mixture may cause additional aging to the mixture, while using lab-mixed materials may not completely represent the field mixtures either. The most appropriate way to represent the curing that occurs in the field is to compact the specimens in the field using fresh plant mixtures without reheating. Another issue which may affect the fracture potential of the warm mix is the testing time after the specimen is compacted. Due to the use of warm mix additives, a time-dependent hardening, called curing, may occur in WMA as asphalt binder in the WMA regains its original viscosity and/or a certain amount of entrapped moisture is evaporated from the WMA.

In this study, the testing plan was designed to consider the effects of both mixture reheating and curing time on the WMA fracture potential. To evaluate the curing time effect on the mixtures' fracture property, SCB specimens were compacted in the plant using fresh mixtures right after they were produced. These specimens were then transported to the Advanced Transportation Research and Engineering Laboratory (ATREL) of the University of Illinois, and tested at 1 day, 3 days, 7 days, 3 weeks, 6 weeks, and 12 weeks after compaction. To investigate the aging effect due to mixture reheating on its fracture potential, loose mixture samples were collected from the plant. These loose samples were reheated and then compacted at ATREL. The SCB specimens prepared using the reheated mixtures were tested at the same curing times as those of the specimens prepared using fresh plant mixtures.

## 4 Test Results and Discussion

### 4.1 Air Void Contents of Prepared Specimens

The air void contents of the gyratory-compacted specimens were measured before each test. Table 2 summarizes the measured air void contents of the specimens using fresh and reheated plant mixtures. The data show that the average air void contents are within the range of  $6.0 \pm 0.5\%$ .

**Table 2.** Air Voids for Testing Specimens

Mix	Fresh Mix		Reheated Mix	
	Average Air Void (%)	COV <sup>a</sup>	Average Air Void (%)	COV
Control SMA	6.5	5%	6.4	3%
Evotherm SMA	5.9	2%	5.9	2%
Foamed SMA	5.5	2%	5.5	6%
Sasobit SMA	6.0	3%	5.9	4%

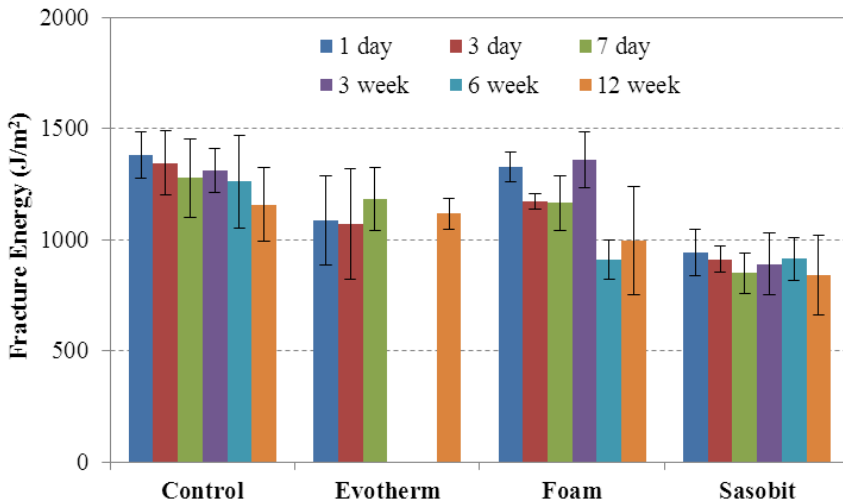
<sup>a</sup>Coefficient of Variance.

### 4.2 Effect of Curing Time on Fracture Potential

Figure 2 shows the determined fracture energy at -12°C for the control SMA mixture and the mixtures containing various warm mix additives using specimens prepared with fresh plant mixtures. Note that the testing results for 3-week and 7-week Evotherm SMA mixtures are missing, because these specimens were damaged during the specimen preparation. Table 3 summarizes the variation of fracture energy due to curing time for various SMA mixtures.

**Table 3.** Variation of Fracture Energy Due to Curing Time (Fresh Plant Mix)

Fracture Energy (J/m <sup>2</sup> )	SMA Mixture			
	Control	Evotherm	Foam	Sasobit
Average	1275.9	1137.9	1206.9	896.6
COV	6%	3%	12%	6%



**Fig. 2.** Fracture energy of various fresh SMA mixtures

The test results were statistically analyzed using the Statistical Analysis System (SAS) program. A Fisher LSD (Least Significant Difference) test was performed at a significant level of 0.05. The statistical significance of the changes in the fracture energy as a function of curing time was analyzed. The test results were ranked using letters and the letter was changed when the mean was statistically different from others. The letter A was assigned to the best performer followed by the other letters in alphabetic order. A double letter, such as A/B, indicates that the difference in the means is not statistically significant and that the results could fall in either group.

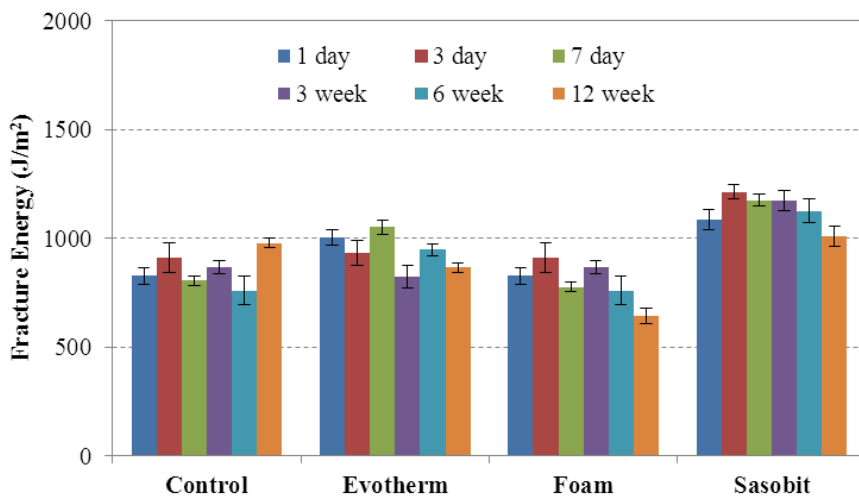
Table 4 presents the effect of curing time on the fracture energy when the data are clustered for all mixture types. The results show that there is relatively small or no significant difference in fracture potential as a function of curing time. As the curing time increases, the fracture potential slightly increases. Except for 6 weeks, the fracture potential at the other five curing periods can be considered statistically same.

**Table 4.** Fisher LSD Test Results for the Effect of Curing Time

Curing time					
1day	3day	7day	3week	6week	12week
A	A/B	A/B	A/B	B	A/B

### 4.3 Effect of Aging on Fracture Potential due to Mixture Reheating

In this study, the loose mixtures collected from the asphalt plant were reheated in the laboratory to investigate the influence of sample reheating on fracture potential. The reheating process can artificially age the mixture because chemical reactions may take place in the reheating process regardless of the duration of heating. The reheated specimens were tested at the same curing times as those of the specimens without reheating. Figure 3 presents the fracture energy for the control SMA mixture and the mixtures containing various warm mix additives using specimens prepared with reheated plant mixtures. Table 5 summarizes the variation of mixture properties due to curing time for various SMA mixtures after reheating. It is clear that fracture energy has been reduced for all mixes due to the lab heating.



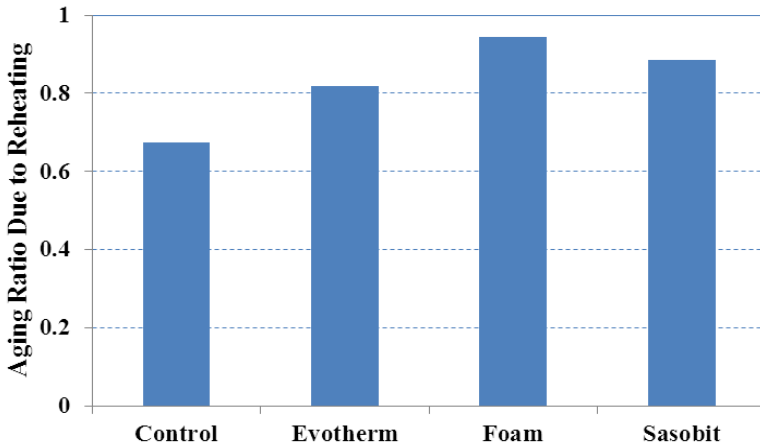
**Fig. 3.** Fracture energy of various reheated SMA mixtures



**Table 5.** Variation of Fracture Energy Due to Curing Time (Reheated Plant Mix)

Fracture Energy (J/m <sup>2</sup> )	SMA Mixture			
	Control	Evotherm	Foam	Sasobit
Average	862.1	931.0	1137.9	793.1
COV	9%	9%	7%	12%

An aging ratio was used to quantify the extent of binder hardening effect on mixtures’ fracture potential. The aging ratio was calculated as the ratio of the fracture energy of the reheated mixtures with respect to that of the fresh mixtures. The average fracture energy at different curing times for each mixture with and without reheating was used to calculate the aging ratio. Figure 4 compares the aging ratios due to sample reheating for various SMA mixtures. The results show that the reheating process reduces the fracture resistance of all four mixtures. This is expected because the viscosity of binder could increase significantly during the reheating process and the binder becomes stiffer and more brittle. It was also found that the effect of reheating is more significant for the control mixture, compared to the mixtures containing warm mix additives. This is probably because the reheating temperature for the control mixture (152°C) is higher than those for the mixtures containing warm mix additives (127 to 137°C), which are corresponding to their compaction temperatures.



**Fig. 4.** Aging ratios of mixture properties due to reheating

**4.4 Performance Comparison between Mixtures**

Since the foamed SMA and Sasobit SMA had different mixture components than the control SMA, their performances were not directly compared. Instead, this paper focuses on the performance comparison between the control SMA and Evotherm SMA, which had the same job mix formula.

Fisher LSD test was conducted to evaluate the effect of fresh mixture type on the fracture potential for the control SMA and Evotherm SMA. The result shows that compared to control mixture, the mixture containing Evotherm had statistically higher fracture potential. This could be due to the effect of the less aged binder in the asphalt mixture containing Evotherm, because these two mixtures shared the same mixture components except for the Evotherm additive.

On the other hand, Fisher LSD results show that the order of the control SMA and Evotherm SMA was reversed after the mixture reheating. As indicated earlier, this could be related to the fact that reheating temperature for the control SMA mixture was greater than that of the Evotherm SMA mixture. Therefore, different aging effects due to variation in mixture reheating temperatures must be taken into account when comparing mixture fracture potentials for hot and warm mixtures using reheated samples. Otherwise, the performance of the warm and hot mixtures could be erroneously presented.

## 5 Summary

This study characterized the fracture potential of warm SMA at various curing times using both fresh and reheated plant mixtures. The following summarizes the findings of this study:

- The effect of curing time on the fracture potential of warm SMA is not statistically significant.
- Reheating mixture for testing specimen preparation increases the fracture potential of both warm SMA and control SMA mixtures. Due to the relatively higher reheating temperature, the reheating effect is more pronounced for the control SMA mixture compared to the warm SMA mixture.
- For the two mixtures with the same job mix formula, the Evotherm SMA provided greater fracture potential than the control SMA when fresh plant mixtures were used to prepare the SCB test specimens. However, this relation was reversed when the SCB test was performed on specimens prepared using reheated plant mixtures. This suggests that lab reheating temperature is important. Higher temperature used for control SMA compared to warm SMA caused significant greater aging to the mixture and hence lower fracture energy.
- A further study using lab-mixed mixture to prepare SCB test specimens is currently underway.

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# Fatigue Cracking Characteristics of Cold In-Place Recycled Pavements

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**Abstract.** For the rehabilitation of heavily damaged pavements, Cold In-Place Recycling (CIR) offers an attractive alternative, in comparison to other pavement rehabilitation options. One issue, however, that still has limited research information available is the fatigue cracking characteristics of CIR pavements, especially in regards to the in situ behavior of heavy-duty pavements. For this reason, a field experiment was conducted on a CIR heavy-duty highway pavement that utilized the foamed asphalt technique for rehabilitation. In order to achieve this goal, a systematic monitoring of pavement performance and a data analysis research study was performed by the Laboratory of Highway Engineering of the National Technical University of Athens (NTUA) for approximately one year on the in service pavement. The present study focuses on the in situ estimation of the fatigue cracking characteristics / tendencies of CIR pavements, using in situ Non Destructive Tests (NDTs) and facilitated with advanced analysis tools. The data analysis results, indicate improved fatigue cracking characteristics of the cured foamed asphalt recycled material and a low fatigue cracking tendency of the asphalt concrete overlay.

## 1 Introduction

As the cost of hot mixed asphalt mixtures continuously increases and the availability of good materials is limited, Cold In-Place Recycling (CIR) has gained ground as an attractive alternative to other pavement rehabilitation options. CIR is an advantageous rehabilitation technique, as it is ideally suited for the reworking of the upper layers of distressed pavements [1]. Among the multiple cold recycling systems available, the foamed asphalt (FA) technique [2] has gained popularity in recent years for its efficient use of salvaged construction materials.

The majority of research related to the specific technique, until recently, has concentrated on material characterization and mix designs performed in the laboratory. In recent years however, research has also began to focus on the characterization of the in situ behavior of FA mixtures and CIR pavements, including strain response analysis based on field collected data.

The research done by [3] on the behavior of FA treated materials based mainly on Heavy Vehicle Simulator (HVS) tests showed that the resilient modulus of the FA treated base layer starts at a relatively high value and then decreases under the action of traffic until a constant resilient modulus is reached. In contrast to the

above mentioned research, more recent analysis results of Non Destructive Tests (NDT) on a heavy-duty CIR recycled pavement using the foamed asphalt technique [4], showed high modulus values of the cured FA material and no obvious evidence of reduction with time and traffic. Taking into account that the recycled layer plays the role of the base / subbase, an adequate structural condition (expressed by the modulus of the recycled material) is needed, in order to reduce the induced critical stains. Moreover, due to the fact that in heavy-duty CIR pavements the thickness of the asphalt concrete (AC) overlay is lower in comparison with conventional flexible pavements, it is very important to investigate the in situ fatigue cracking tendency of the AC overlay for the performance of the CIR pavement.

For this reason a respective field experiment was undertaken by the NTUA Laboratory of Highway Engineering on sections of a heavily used Greek highway that was rehabilitated with CIR utilizing foamed asphalt as a stabilization agent. A comprehensive research study was performed involving monitoring the performance approximately one year of the in service pavement using NDTs and related data analysis tools. The fatigue cracking tendency of the AC overlay mix for the design period was investigated by calculating the in situ critical strains after the curing of the recycled material and using the appropriate fatigue law. The main findings of the data analysis concerning the conducted field experiment are presented and discussed in the present research work.

## 2 Test Sections and Mix Design Characteristics

The experiment was performed along three test sections hereafter referred to as S1, S2 and S3, respectively. Due to the fact that the base and the subbase of the pavement were initially constructed from a cement bound material (CBM) with a nominal thickness of 38 cm, the FA recycled layer is based on a CBM remaining layer.

Prior to the CIR implementation, foamed asphalt mix designs were undertaken on several blends of material recovered from test pits. These blends were treated with foamed bitumen using the appropriate laboratory unit and several briquettes were manufactured for testing purposes to determine the indirect tensile strength (ITS), the unconfined compressive strength (UCS), the cohesion ( $c$ ) and the angle of internal friction ( $\Phi$ ), as well as the determination of the indirect tensile stiffness modulus (ITSM). The aim of the mix design was to establish the application rates for foamed asphalt and active filler (cement), in order to achieve optimal strengths and to determine the strength characteristics of the mix.

According to the mix design, 3% foamed bitumen (from 80/100 Pen grade bitumen) and 1% ordinary Portland cement as an active filler was used for the composition of the FA mix. The decision to introduce 1% cement was based on the improvement in the achieved soaked strengths. Since milling machines produce few fines, it was decided to introduce 30% (by volume) natural fine sandy material to blend with the recovered material. For analytical pavement design purposes the maximum stiffness modulus was considered to be 3000 MPa, which is equal to the maximum expected (at the design stage) moduli of the FA layer, as estimated from ITSM laboratory [5] results on the FA specimens.

The AC overlay was comprised of two layers, a 50 mm binder course (a dense AC mix) and a 40 mm semi-open graded surface course. For analytical pavement design purposes the stiffness modulus of the AC overlay (composite modulus of both binder and surface courses) was considered to be 3000 MPa. The profile of the investigated pavement is presented in Figure 1.

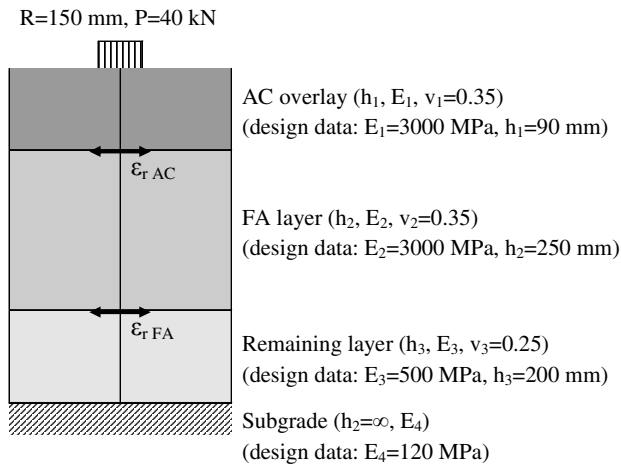
### 3 Field Data Collection and Analysis

Non Destructive Tests (NDT) were conducted approximately one year after the sections were opened to traffic, in order to evaluate the pavement after the curing of the FA material [4].

In situ NDT using the Falling Weight Deflectometer (FWD) were performed along the outer wheel path of the heavy traffic lane at nine, twelve and thirteen specific test points of the test sections S1, S2 and S3 respectively.

The GPR system of the NTUA Laboratory of Highway Engineering [6] was utilized to gather the data required for the analysis to estimate the thickness of the recycled pavements. This data is useful for the backanalysis procedures. The system used, is appropriate for the evaluation of the upper part of the pavement structure since it produces reliable information to an approximately 0.70 m penetration depth [7]. The system follows the principles of [8] and is supported by the appropriate software [9].

A detailed backanalysis was performed using the Elmod software [10]. Considering the level of the subgrade at the bottom of the CBM layer, the backanalysis model consisted of four layers. For backanalysis purposes, the thicknesses of all layers were estimated using the GPR analysis results. Figure 1 shows the pavement modelling for the backanalysis, including the loading condition parameters (R, P) and the layers characterization through the parameters of the layer properties i.e. moduli ( $E_i$ ), thickness ( $h_i$ ) and Poisson ratio ( $\nu_i$ ).



**Fig. 1.** Pavement modelling for structural pavement analysis (moduli, strains)

The horizontal (tensile) strains at the bottom of the FA layer ( $\epsilon_r$  FA), as well as at the top of the FA layer, i.e. bottom of the AC layers ( $\epsilon_r$  AC) (see Figure 1), were calculated using a multi-layer linear elastic analysis [11]. These locations were selected, taking into account the results of the strain response analysis based on back-calculated moduli at characteristic locations within the body of the recycled layer in a similar pavement structure, which is further detailed in [12]. Tensile strains at these locations are considered to be critical in regards to possible fatigue failure and are directly related to the performance of the pavement structure. The load used for the calculations was a 40 kN single wheel with a 150 mm radius. The backcalculated moduli as well as the GPR-determined thicknesses of the different pavement layers were also used for the analysis. For analysis purposes, the modulus of the AC overlay in terms of the composite modulus of both binder and surface courses (as determined from the backanalysis) was considered. Strains were also calculated using data from the analytical pavement design following the related pavement model (Figure 1).

A laboratory fatigue test (ITFT) was performed in the laboratory on characteristic dense AC mixes used in Greece, similar to the one used in the AC overlay. The fatigue characteristics of the AC material, expressed by the relevant fatigue law, were further used in the analysis, in order to estimate the fatigue cracking tendency of the AC overlay.

## 4 Analysis Results

### 4.1 *GPR-Determined Layer Thicknesses and Backcalculated Moduli*

The GPR analysis confirmed, more or less, the design thickness data. More specifically, according to the GPR analysis results, the AC overlay of the three test sections were on average 19 mm to 35 mm thicker than the design value (90 mm). The GPR-determined FA layer thicknesses averages ranged from 247 mm to 275 mm (design value: 250 mm). The thicknesses of the remaining CBM layer averages ranged from 115 mm to 200 mm (design value: 200 mm). The GPR-determined average thicknesses of the test sections S1, S2 and S3 and the design data are presented graphically in Figure 2.

The temperature measured at the mid depth of the bituminous overlay during the related monitoring ranged between 29 and 31°C. Taking this fact into account, no adjustment of the backcalculated AC and FA moduli was conducted. Figure 3 illustrates the average backcalculated moduli of the AC, FA and remaining CBM layers for the test sections S1, S2 and S3. It must be noted that limited outliers (very high moduli) were discarded from the analysis, in order to eliminate some inaccurate modulus estimations. The coefficient of variation (CoV) of the moduli values ranged for the AC from 24% to 29%, for the FA from 42% to 47% and for the CBM from 28% to 50%. From the analysis results, the following conclusions were drawn.

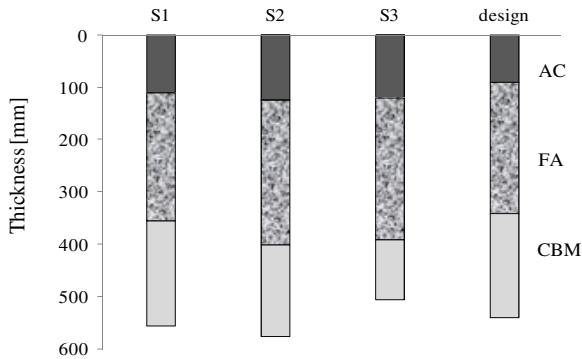


Fig. 2. GPR-determined average thicknesses and design data

During monitoring approximately one year after construction, the average backcalculated moduli were much greater than the related pavement design values. This is probably an indication of completion of the curing procedure of the recycled material, also referred to in [13].

The very high average moduli values of the FA layer and the remaining CBM layer (especially for test section S3) is an indication of a stiff recycled pavement structure.

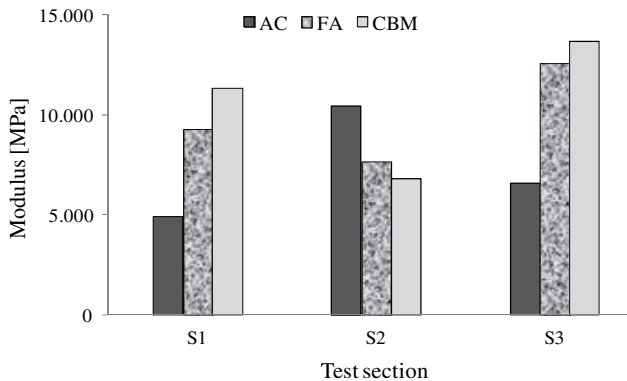


Fig. 3. Backcalculated average moduli

As shown in Figures 2 and 3, the “soil support” of the FA layer (i.e. the remaining CBM layer) of the three test sections differs in thickness and stiffness. In addition, the backcalculated AC and FA moduli were also varied. The variation of the moduli for the three test sections may result in a different behaviour, concerning the tendency of fatigue cracking of the AC overlay and FA layers. This was investigated, taking into account the strains induced in the body of the CIR pavement.



## 4.2 Calculated Tensile Strains

The average tensile strains were calculated at the bottom of the AC overlay ( $\epsilon_r$  AC) and at the bottom the FA layer ( $\epsilon_r$  FA), (see Figure 1) of the test sections S1, S2 and S3, respectively. The tensile strains at the same locations in the body of the CIR pavement were also calculated using the pavement design data. The results are presented graphically in Figure 4.

According to the analysis results, at the bottom of the AC overlay the average tensile strains were lower than the calculated one using data from the pavement design. At the bottom of the FA layer the average tensile strains were much lower than the calculated strain using data from the pavement design.

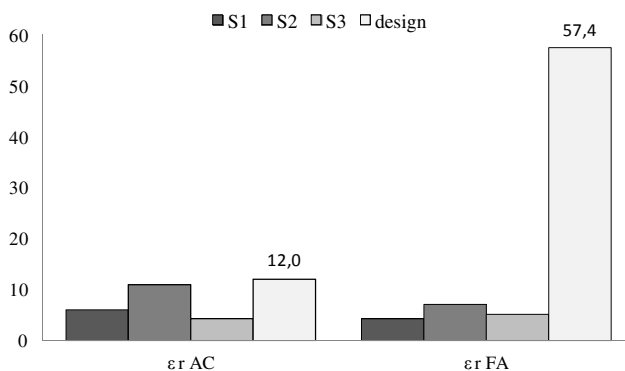


Fig. 4. Calculated average tensile strains

The very low values of the tensile strain at the bottom of the FA layer ( $\epsilon_r$  FA), is an indication that fatigue cracking is not critical for the recycled material. However, the stiff pavement structure could result in an increased tendency for reflection of possible cracks coming from the surface of the remaining CBM layer. The latest comment may be supported through the results of a research work done by [14]. The strains at the bottom of the AC overlay ( $\epsilon_r$  AC) were also lower than the relative one taking into account the pavement design data. More precise results and conclusions concerning the “damage” and consequently the tendency of fatigue cracking of the AC overlay were drawn, taking into account the analysis results using the in situ fatigue characteristics of the AC overlay.

## 4.3 In Situ Fatigue Characteristics of the AC Overlay

The fatigue characteristics of the AC material can be expressed by an equation with the general form (1).

$$N_{AC} = a * \epsilon_{r \max}^b \quad (1)$$

Where

- $N_{AC}$ : Cycles to failure of the AC material
- $\epsilon_{r\ max}$ : Maximum (critical) tensile strain
- a and b: Coefficients determined from laboratory tests

Each traffic load causes a certain amount of damage to the pavement structure that accumulates over time and eventually leads to pavement failure. The damage per pass, or the relative damage ( $d_{rel}$ ) of a pavement material represents the damage caused by a standard axle load and it is expressed by the following equation (2).

$$d_{rel} = \frac{1}{N} \tag{2}$$

Where

- N: Cycles to failure of the material

The in situ fatigue cracking tendency of the AC overlay was estimated using the damage ratio ( $d_{ratio}$ ), i.e. comparing the relative damage ( $d_{rel}$ ) using both the design data and the in situ collected data after the curing of the recycled material (1 year). The  $d_{ratio}$  was calculated using the following equation (3).

$$d_{ratio} = \frac{d_{rel} (design)}{d_{rel} (field)} = \frac{N_{field}}{N_{design}} = \left( \frac{\epsilon_{r\ field}}{\epsilon_{r\ design}} \right)^b \tag{3}$$

Where:

- $d_{ratio}$ : Damage determined from recycled pavement design data, in comparison with the damage determined from in situ collected data (1 year)
- $d_{rel}(field)$ : Relative damage of the AC overlay determined from in situ collected data (1 year)
- $d_{rel}(design)$ : Relative damage of the AC overlay determined from pavement design data
- $N_{field}$ : Cycles to fatigue failure of the AC overlay determined from in situ collected data (1 year)
- $N_{design}$ : Cycles to fatigue failure of the AC overlay taking into account the recycled pavement design data
- $\epsilon_{r\ field}$ : Maximum (critical) tensile strain determined from in situ collected data (1 year)
- $\epsilon_{r\ design}$ : Maximum (critical) tensile strain determined from pavement design data
- b: Coefficient defined from laboratory fatigue tests ( $b=-4.78$ )

The damage ratio ( $d_{ratio}$ ) was calculated at each test section S1, S2 and S3, respectively, using equations (1), (2) and (3). The average tensile strains at the bottom of the AC overlay ( $\epsilon_r$  AC) were used for the analysis (see Figure 4). The results (considered as average  $d_{ratio}$  values) are presented in Table 1.

**Table 1.** Damage ratio of the AC overlay determined from recycled pavement design data, in comparison with damage determined from in situ collected data

	$d_{ratio}$
Test section S1	28
Test section S2	1.6
Test section S3	179

According to the results presented in Table 1 and considering equation (3), it can be concluded that the relative damage of the AC overlay is much lower than the relative damage using the design data. Consequently, severe fatigue cracking problems are not expected for the AC overlay. Moreover, the variation of the  $d_{ratio}$  values is indicative for the different fatigue cracking behaviour of the AC overlay for the three test sections.

## 5 Conclusions

The present research study has attempted to contribute towards providing increased information regarding the fatigue cracking characteristics of cold in-place recycled (CIR) pavements using the foamed asphalt (FA) technique, with a focus on the fatigue cracking tendencies of the AC overlay. The main findings and discussion points are the following:

The in situ critical strains in the body of the FA layer were much lower than the maximum calculated tensile stain using data from the pavement analytical design. This fact is an indication of improved fatigue cracking characteristics of the recycled material.

The relative damage of the AC overlay was much lower than the relative one using the design data. Consequently, low fatigue cracking tendency of the AC overlay is expected in terms of the performance of the CIR pavement.

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